

National Marine Fisheries Service  
Office for Law Enforcement

ALASKA ENFORCEMENT DIVISION

**QUARTERLY REPORT**



Second Quarter FY03  
January 1 - March 31, 2003

To report fisheries violations,  
call our National Hotline at 1-800-853-1964.

<http://www.nmfs.noaa.gov/ole/Alaska/index.html>

ALASKA ENFORCEMENT DIVISION

**Quarterly Report  
January 1 - March 31, 2003**

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## **SIGNIFICANT ACTIONS**

### **A. Quarterly Milestone Activity**

No milestone activity this quarter.

### **B. Major Cases**

#### ***Illegal Crab Seizures***

In late January, cases were initiated against five U.S. crab vessels for fishing in Russian waters. The illegal crab was seized from the vessels and each received a NOVA for violation of the Magnuson-Stevens Act. The seized crab was sold for more than \$348,000.

#### ***IFQ reporting errors***

As a result of an investigation into IFQ reporting errors, Westward Seafoods was fined \$8,000 for 13 infractions.

#### ***NPHC Investigation***

Agents began an investigation into alleged fraudulent statements to the North Pacific Fisheries Management Council.

#### ***SSL Rookery Incursions***

F/V Dynasty and F/V Kasatka incursions into SSL rookery at Sugarloaf Island have resulted in \$2,500 NOVA's. F/V Poseidon, F/V Pacific Ram, and F/V Icy Mist incursions into SSL rookery at Akun Island and F/V Northwest Explorer incursion into Kasatochi Rookery have each resulted in \$2,500 Summary Settlement payments.

### **C. COPPS**

#### ***COMFISH***

COMFISH is the largest commercial fishing event in Alaska. AED completed several broad-based outreach efforts during this 3-day event. Both officers and agents of the Kodiak field office staffed a booth and questions were answered on a variety of issues from marine mammal concerns to regulatory interpretations.

#### ***Anchorage Boat Show***

The 10-day Anchorage Boat Show attracts more than 20,000 Alaskan boaters. AED manned a booth focusing our efforts on beluga whales, legal and illegal baleen from bowhead whales and registration of marine mammal parts. More than 700 contacts were made.

#### ***Cook Inlet Beluga Whale Protection***

We completed the 2003 Cook Inlet Beluga Whale Enforcement Plan and are preparing to implement it during April.

### ***IFQ Regulations***

Juneau SA's paid COPPS visits to the USCG and local processors early in the fishing season to standardize the understanding of regulations regarding trucking IFQ fish away from the landing site and to gain better compliance. Enforcement of this regulation had been a problem in the past. As a result of our COPPS efforts, community relations, understanding of the regulations, and compliance are expected to improve significantly in 2003.

## **D. VMS**

### ***Area 4 Exemption***

IPHC implemented regulations allowing Area 4 fishermen an exemption to the vessel clearance requirements if they use VMS.

## **E. OTHER ITEMS OF INTEREST**

### ***IFQ Season***

The 2003 IFQ season opened March 1, rather than March 15. No significant violations occurred in conjunction with the opening.

### ***Offload Audits***

In March a team of 10 agents and officers conducted offload audits in Dutch Harbor. Complete accounting of three large offloads was completed. These are the first full audits in more than two years. Each offload can take more than 24 hours and involves thousands of boxes of fish. Each vessel had numerous discrepancies to be investigated and resolved. NOVAs may be appropriate.

Additional audits are planned.

### ***Infrastructure***

One officer transferred to the SWD in February. The second special agent assigned to the observer program reported for duty in March. Two new officers were hired; one is the COPPS program lead and the other reported for duty in Juneau. A special agent vacancy was re-classified and filled with an enforcement tech. At quarter's end, the Division remains down four officer positions.

**NUMBER OF CASES OPENED BY INVESTIGATION TYPE**  
**FY02 and FY03**

Investigation Type	FY02 2nd Quarter	FY02 Total	FY03 2nd Quarter	FY03 Total
American Fisheries Act	0	1	0	0
Documentation/Safety	0	9	23	30
ESA	1	18	11	18
Lacey Act	0	2	10	17
Magnuson Act	80	741	169	291
MMPA	3	56	10	21
North Pacific Halibut Act	3	27	1	5
Non-Fisheries Federal Regs	6	27	1	4
State Regulations	8	46	5	6
Other Fisheries Investigation	3	5	1	1
International Whaling Commission	0	1	0	0
<b>TOTAL</b>	<b>106</b>	<b>935</b>	<b>234</b>	<b>396</b>

## COMPLAINT ACTION

1/01/2003 - 3/31/2003

Investigation Type	No. Complaints Opened	No. Complaints Unfounded
Magnuson Act (MFCMA)	3	
Marine Mammal (MMPA)	3	2
Endangered Species Act (ESA)	1	
North Pacific Halibut Act	0	
Documentation/Safety	22	
Other ( <i>list</i> ) - State Regs	1	
Other ( <i>list</i> ) - Non-Fisheries Regs	1	
Other ( <i>list</i> ) - Other Federal Regs	1	
Lacey Act	1	
<b>TOTAL</b>	33	

Number of Complaints Assigned CAR's	33
Number of Complaints Upgraded	5
Unfounded Complaints Assigned CAR's	2
Number of Complaints not Assigned CAR's	0
Number of Hotline Complaints	

**AGENT/OFFICER HOURS BY PROGRAM**  
**FY02 and FY03**

Program	Program Codes	Hours			
		FY02 2nd Quarter	FY02 Total	FY03 2nd Quarter	FY03 Total
Magnuson Act					
IFQ	6793	1047.0	8340.0	2,332.0	4,098.5
Alaska EEZ General	679	3036.5	10812.8	5,622.0	8,161.5
BSA Groundfish	6791	0.0	32.5	0.0	0.0
GOA Groundfish	6792	22.0	24.0	40.0	93.5
MFCMA General	31	29.5	107.5	17.0	39.0
MFCMA Subjects	600/660	3.0	115.0	0.0	18.0
U.S. vessels fishing in Russia	299	0.00	7.00	0.0	0.0
Scallops	6795	0.00	0.00	0.0	0.0
CDQ	6794	0.00	0.00	0.0	0.0
Research Plan	677	0.00	0.00	0.0	0.0
Salmon - Alaska	674	0.00	1.00	0.0	0.0
<b>Totals:</b>		4,138.0	19,439.8	8,011.0	12,410.5
Lacey Act	20/21/22/23/400	5.0	47.5	336.5	499.0
Marine Mammal Protection Act	1	249.5	1,609.0	279.5	589.5
North Pacific Halibut Act	3	71.5	356.5	168.0	181.5
North Pacific Fisheries Act	7	2.0	75.0	0.0	0.0
Endangered Species Act	2/200-210	146.5	592.0	149.0	231.5
High Seas Salmon	11	4.0	226.5	0.0	40.0
High Seas Fish. Compliance Act	33	30.5	178.5	22.0	22.0
American Fisheries Act	16	8.5	9.5	1.5	1.5
Other Federal Regulations	10/12/30/32	34.5	74.5	1.5	52.5
State Regulations	24/25	54.5	223.0	41.5	71.5
General	36	7,392.3	23,000.8	7,587.3	14,817.8
Leave	38	1,524.5	7,306.5	1,871.5	4,471.0
Other - Sky Marshal Program	901	0.0	2,005.5	0.0	0.0
Other - Home Land Security	902	43.0	122.0	12.0	16.0
Other - Canadian Boundary	298	0.0	0.0	0.0	0.0
Other - MM Subjects	100/110	26.0	415.0	353.0	403.5
<b>TOTAL</b>		13,730.3	55,681.6	18,834.3	33,807.8

**AGENT/OFFICER HOURS BY ACTIVITY**  
**FY02 and FY03**

Activity	Activity Codes	Hours			
		FY02 2nd Quarter	FY02 Total	FY03 2nd Quarter	FY03 Total
Investigations, Special Ops	02,03,04,05 20,21,22,23	1,701.0	10,018.8	4,808.0	7,152.0
Case Preparation	06	621.0	3,273.0	744.5	1,468.5
Complaint Response	07	102.5	980.3	165.5	383.5
Air Patrol	10/11	8.0	129.5	15.0	67.0
Surface Patrol	12-15	334.0	841.5	127.0	205.0
Inspection	17-19	245.8	1,063.8	317.5	474.5
VCP	30-34	217.8	538.1	568.5	777.5
General (Administrative, supervision, etc.)	40-48	5,319.8	19,866.8	7,057.8	12,289.8
Training	50-53	2,578.5	6,642.0	1,732.5	3,711.0
Leave	61-67	1,524.5	8,015.5	1,917.5	4,761.0
Maintenance	70-72	290.0	1,030.5	258.5	426.0
Travel	90	787.5	2,103.5	789.5	1,489.5
All Other	08	299.5	1,203.5	356.5	632.0
<b>TOTAL</b>		<b>14,029.9</b>	<b>55,706.8</b>	<b>18,858.3</b>	<b>33,837.3</b>



## CASES OPENED

1/01/2003 - 3/31/2003

Total Number of Cases Opened = 230

Case Number	Cnt	Type	Regulation	Description of Violation
AK021053A	1	FM/I	INTEL ONLY	INTEL ONLY
AK023229A	1	FO/C	CANADIAN LAW	UNLAWFUL TAKE OF MARINE MAMMAL (SEALS)
AK023230A	1	MM/I	216.11(a)	UNLAWFUL TAKE OF MARINE MAMMALS (USING EXPLOSIVES)
AK024105A	1	DS/C	4.05-01(a)	FAIL TO REPORT A MARINE CASUALTY
AK024106A	1	DS/C	4.05-01(a)	FAIL TO REPORT A MARINE CASUALTY
AK024107A	1	DS/C	4.05-01(a)	FAIL TO REPORT A MARINE CASUALTY
AK024108A	1	DS/C	4.05-01(a)	FAIL TO REPORT A MARINE CASUALTY
AK024109A	1	DS/C	4.05-01(a)	FAIL TO REPORT A MARINE CASUALTY
AK024110A	1	DS/C	4.05-01(a)	FAIL TO REPORT A MARINE CASUALTY
AK024111A	1	DS/C	4.05-01(a)	FAIL TO REPORT A MARINE CASUALTY
AK024112A	1	DS/C	4.05-01(a)	FAIL TO MAINTAIN SAFE CONDITIONS ON THE VESSEL
AK024113A	1	DS/C	4.05-01(a)	FAIL TO REPORT A MARINE CASUALTY
AK024114A	1	DS/C	4.05-01(a)	FAIL TO REPORT A MARINE CASUALTY
AK024115A	1	DS/C	4.05-01(a)	FAIL TO MAINTAIN SAFE CONDITIONS ON THE VESSEL
AK024116A	1	DS/C	MARPOL	DUMP PLASTICS
AK024117A	1	DS/C	MARPOL	DUMP PLASTICS
AK024118A	1	DS/C	MARPOL	DUMP PLASTICS
AK024119A	1	DS/C	MARPOL	DUMP PLASTICS
AK024120A	1	DS/C	MARPOL	DUMP PLASTICS
AK024121A	1	DS/C	MARPOL	DUMP PLASTICS
AK024122A	1	DS/C	MARPOL	DISCHARGE HYDRAULIC FLUID
AK024123A	1	DS/C	MARPOL	DUMP PLASTICS
AK024123A	2	DS/C	MARPOL	DISCHARGE HYDRAULIC FLUID
AK024130A	1	FM/I	679.50(f)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MINS PRIOR TO LANDING CATCH
AK024130A	2	FM/I	679.21(b)(2)(ii)	FAIL TO RETURN HALIBUT TO SEA WITH MINIMUM OF INJURY
AK024131A	1	FM/I	679.7(a)(10)(iii)	SUBMIT INACCURATE INFO
AK024135A	1	FM/I	679.50(f)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MINS PRIOR TO LANDING CATCH
AK024136A	1	FM/I	679.50(f)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MINS PRIOR TO LANDING CATCH
AK024137A	1	FM/I	679.27(d)	DISCARD IR/IU SPECIES
AK024139A	1	FM/I	679.50(f)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MINS PRIOR TO LANDING CATCH
AK024140A	1	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM THE HOOK

Case Number	Cnt	Type	Regulation	Description of Violation
AK024141A	1	FM/I	600.725(o)	HARASS AN OBSERVER
AK024142A	1	FM/I	679.50(f)(1)(i)	FAIL TO PROVIDE ADEQUATE ACCOMMODATIONS
AK024143A	1	FM/I	679.7(g)(6)	FAIL TO OBTAIN OBSERVER COVERAGE
AK024144A	1	FM/I	679.50(f)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MINS PRIOR TO LANDING CATCH
AK024145A	1	FM/I	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK024147A	1	DS/C	4.05-01(a)	MARINE CASUALTY
AK024148A	1	DS/C	USCG REGS	MARPOL
AK024149A	1	DS/C	4.05-01(a)	MARINE CASUALTY
AK024150A	1	FM/I	679.27(e)	DISCARD IR/IU SPECIES
AK024151A	1	MM/C	229.6(a)	FAIL TO REPORT INCIDENTAL INJURY WITHIN 48 HRS
AK024152A	1	FM/I	679.50	FAIL TO PROVIDE ADEQUATE ACCOMMODATIONS
AK024153A	1	FM/I	679.50	FAIL TO PROVIDE ADEQUATE ACCOMMODATIONS
AK024154A	1	FM/I	679.50	FAIL TO PROVIDE ADEQUATE ACCOMMODATIONS
AK024155A	1	FM/I	679.7(g)(2)	BIAS OBSERVER SAMPLING PROCEDURES
AK024155A	2	FM/I	679.32(4)(iv)	FAIL TO WEIGH EACH HAUL SEPARATELY
AK024156A	1	FM/I	679.7(g)(2)	BIAS OBSERVER SAMPLING PROCEDURES
AK024157A	1	FM/I	679.7(g)(4)	FAIL TO PROVIDE OBSERVER REASONABLE ASSISTANCE
AK024158A	1	FM/I	679.50(f)(1)(i)	FAIL TO PROVIDE ADEQUATE ACCOMMODATIONS
AK024159A	1	FM/I	679.7(g)(2)	BIAS OBSERVER SAMPLING PROCEDURES
AK024160A	1	FM/I	679.7(g)(2)	BIAS OBSERVER SAMPLING PROCEDURE
AK024160A	2	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM THE HOOK
AK024161A	1	FM/I	679.7(g)(5)	CREATE AN INTIMIDATING WORK ENVIRONMENT FOR OBSERVER
AK024161A	2	FM/I	679.50(f)(1)(vii)	FAIL TO ALLOW ACCESS TO VESSEL'S RECORDS
AK024161A	3	FM/I	679.50(f)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MINS PRIOR TO LANDING CATCH
AK024161A	4	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM THE HOOK
AK024162A	1	DS/I	4.05-01(a)	FAIL TO REPORT A MARINE CASUALTY
AK030001A	1	FM/W	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK030002A	1	FM/X	679.7(a)(10)	LOG NOT MAINTAINED IN TIMELY MANNER
AK030004A	1	FM/I	679.7(f)(1)	SUBMIT INACCURATE INFO ON IFQ PNOL
AK030005A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFO ON IFQ PNOL
AK030006A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFO ON IFQ PNOL
AK030007A	1	FM/X	300.65-16(2)	FAILURE TO MAINTAIN IFQ HALIBUT LOGBOOK
AK030008A	1	FM/X	679.7(a)(10)	FAILURE TO LOG IN A TIMELY MANNER
AK030009A	1	FM/V	679.7(a)(10)	CLERICAL ERROR
AK030009A	2	FM/V	679.7(a)(10)	FAILURE TO MAINTAIN SUBSTANTIVE LOG ENTRY
AK030009A	3	FM/V	679.7(a)(10)	SUBSTANTIVE OMISSIONS ON CHECK-IN OR CHECK-OUT REPORT
AK030009A	4	FM/V	679.7(a)(10)	FAILURE TO SUBMIT CHECK-IN RPT PRIOR TO GROUND FISH HARVEST
AK030010A	1	FM/V	679.7(a)(10)(i)	FAIL TO SUBMIT CHECK-IN REPORT PRIOR TO GROUND FISH HARVEST

Case Number	Cnt	Type	Regulation	Description of Violation
AK030010A	2	FM/V	679.7(a)(10)(i)	FAILURE TO MAINTAIN SUBSTANTIVE LOGBOOK ENTRIES
AK030012A	1	FM/V	679.7(a)(10)(i)	FAILURE TO MAINTAIN SUBSTANTIVE LOGBOOK ENTRIES
AK030012A	2	FM/V	679.7(a)(10)	FAILURE TO SUBMIT SEPARATE WPRS TO REPORT PRODUCTION
AK030012A	3	FM/V	679.7(a)(10)	FAILURE TO SUBMIT CHECK-IN REPORT PRIOR TO GROUND FISH HARVE
AK031001A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031002A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031003A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031004A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031005A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031006A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031007A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031008A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031009A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031010A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031012A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031013A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031014A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031015A	1	FM/V	300.15(1)	FAILURE TO COMPLY WITH IPHC AREA 4 CLEARANCE POLICY
AK031016A	1	FM/V	679.7(a)(2)	DIRECTED FISHING FOR P COD IN A CLOSED AREA
AK031017A	1	FM/W	679.7(a)(10)(iii)	SUBMIT INACCURATE INFO ON ANY REPORT
AK031018A	1	FM/V	679.7(b)(2)	GOA, 300K LB, POLLOCK TRIP LIMIT OVERAGE
AK031019A	1	FM/V	679.7(b)(2)	GOA, 300K LB, POLLOCK TRIP LIMIT OVERAGE
AK031020A	1	ES/V	216.11(b)	TAKE OR HARASS STELLAR SEA LIONS BY INTENTIONAL FEEDING
AK031021A	1	FM/V	679.7(a)(16)	POLLOCK BYCATCH OVERAGE
AK031021A	1	FM/V	679.7(a)(16)	POLLOCK BYCATCH OVERAGE
AK031022A	1	FM/V	679.7(a)(16)	POLLOCK BYCATCH OVERAGE
AK031023A	1	FM/I	679.7(i)(2)	FISH FOR LLP GROUND FISH W/O VALID LICENSE
AK031024A	1	ES/I	223.202(a)(2)(i)	NO TRANSIT ZONE INCURSION
AK031025A	1	FM/W	679.27(e)	DISCARD IR/IU SPECIES
AK031026A	1	FM/W	679.27(e)	DISCARD IR/IU SPECIES
AK031027A	1	FM/W	679.27(e)	DISCARD IR/IU SPECIES
AK031028A	1	FM/W	679.27(e)	DISCARD IR/IU SPECIES
AK031029A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK031030A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK031032A	1	FM/I	679.7(a)(10)(iii)	FAIL TO REPORT GROUND FISH OVERAGE
AK031032A	2	FM/I	300.65(9)(2)	PURCHASE, SELL HALIBUT OUT OF SEASON
AK031033A	1	FM/V	679.7(b)(2)	GOA, 300K, POLLOCK TRIP LIMIT OVERAGE
AK031034A	1	FM/V	679.7(a)(10)(iii)	FAILURE TO SUBMIT ACCURATE INFO ON WPR

Case Number	Cnt	Type	Regulation	Description of Violation
AK031035A	1	FM/I	679.7(i)(2)	FISH FOR LLP GROUND FISH W/O VALID GROUND FISH LICENSE
AK031036A	1	FM/V	679.7(f)(1)	SUBMIT INACCURATE INFO ON IFQ SHIPMENT REPORT
AK031037A	1	FM/I	679.7(a)(16)	GROUND FISH BYCATCH OVERAGE
AK031038A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK031039A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK031040A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK031041A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK031042A	1	FM/I	679.7(a)(3)(i)	OBSERVER COVERAGE
AK031043A	1	ES/I	224.103(d)	ALLEGATION OF SHOOTING STELLER SEA LIONS
AK032001A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032002A	1	LA/V	3372(a)(2)(A)	TRANSPORT LIVE DUNGENESS CRAB OUTSIDE STATE OF AK
AK032002A	2	LA/V	3372(a)(2)(A)	FAIL TO SUBMIT ADF&G FISH TICKET PRIOR TO TRANSPORTING FISH
AK032003A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032004A	1	MM/I	216.11(b)	ILLEGAL TAKE OF MARINE MAMMAL (HARBOR SEAL)
AK032005A	1	SR/I	8AAC08.54.720(a)(14)	GUIDING W/O A LICENSE
AK032006A	1	FM/I	679.7(f)(3)(i)	RETAIN HALIBUT WITHOUT IFQ PERMIT
AK032007A	1	LA/I	3372(a)(2)(A)	VIOLATION OF AK STATE FISHERIES EXPORT REGULATIONS
AK032008A	1	MM/I	216.11(b)	ILLEGAL TAKE OF MARINE MAMMAL (SEA LION)
AK032009A	1	FM/V	679.7(g)(2)	INTERFERE W/ THE SAMPLING PROCEDURE EMPLOYED BY AN OBSERVER
AK032010A	1	MM/I	216.11(b)	ILLEGAL TAKE OF MARINE MAMMAL (SEALS)
AK032011A	1	FM/V	679.7(a)(16)	BYCATCH OVERAGE
AK032012A	1	FM/V	679.7(a)(16)	BYCATCH OVERAGE (ROCKFISH)
AK032013A	1	FM/V	679.7(a)(16)	BYCATCH OVERAGE (ROCKFISH)
AK032014A	1	FM/V	679.7(a)(16)	BYCATCH OVERAGE (ROCKFISH) (SR/RE)
AK032016A	1	FM/V	679.7(a)(16)	BYCATCH OVERAGE (ROCKFISH) (SR/RE & DSR)
AK032017A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (THORNYHEADS)
AK032018A	1	FM/V	679.7(a)(16)	(DSR) ROCKFISH BYCATCH OVERAGE
AK032019A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032020A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032021A	1	LA/I	3372(a)(2)(A)	POSSESSED/EXPORTED/SOLD FISH IN VIOLATION OF STATE LAW/ REG
AK032022A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032023A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032024A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032025A	1	FM/V	679.7(f)(3)(i)	RETAIN IFQ SABLEFISH W/O A VALID IFQ PERMIT
AK032026A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFORMATION
AK032027A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032028A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032029A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE

Case Number	Cnt	Type	Regulation	Description of Violation
AK032030A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032031A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032032A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032033A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032034A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032035A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032036A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032037A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK032038A	1	FM/I	679.7(f)(2)	SUBMIT FALSE INFO ON AN IFQ LANDING REPORT
AK032039A	1	FM/W	679.7(f)(12)	PERMIT NOT REPORTED ON IFQ PNOL
AK032040A	1	FM/V	679.5(3)(I)(A)	FAIL TO COMPLETE IFQ HALIBUT SHPMT RPT PRIOR TO FISH LEAVING
AK032041A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032042A	1	ES/V	16USC703	ILLEGAL TAKE OF BALD EAGLE
AK032043A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032044A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032045A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032046A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032047A	1	FM/V	679.7(a)(1)(i)	FISHING W/O FFP ONBOARD VESSEL
AK032047A	2	FM/V	679.28(f)(3)(ii)	UNLAWFULLY TURNED OFF VMS DURING FISHING OPERATIONS
AK032048A	1	FM/I	679.7(f)(12)	NO IFQ PNOL
AK032048A	2	FM/I	679.7(f)(10)	MAKE IFQ LANDING OTHER THAN DIRECTLY TO A REG BUYER
AK032049A	1	SR/I	AS 16.05.665	FALSIFICATION OF LICENSE APPLICATION
AK032050A	1	MM/I	216.11(b)	ILLEGAL TAKE OF MARINE MAMMAL (SEALS)
AK032051A	1	FM/W	679.7(f)(12)	NO IFQ PNOL
AK032052A	1	SR/V	AS 16.05.665	FALSIFICATION OF LICENSE APPLICATION
AK032053A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFO ON ANY REPORT
AK032054A	1	MM/I	216.11(b)	ILLEGAL TAKE OF MARINE MAMMAL(SEALS)
AK032055A	1	FM/W	679.7(f)(10)	MAKE IFQ LANDING OTHER THAN DIRECTLY TO A REG BUYER
AK032056A	1	LA/I	3372(a)(2)(A)	EXPORT WILDLIFE TRANSPORTED IN VIOLATION OF STATE LAW
AK033001A	1	SR/V	\$500 Misdemeanor Warrant	F'TA ARRAIGNMENT ON 11/15/02 OC NO VALID PICTURE ID
AK033002A	1	FM/C	1857(1)(1)	TESTIFY OR SUBMIT FALSE INFO TO A COUNCIL
AK033003A	1	FR/C	668(a)	UNLAWFUL TAKE OF A BALD EAGLE
AK033004A	1	MM/C	216.13(b)	TRANSPORT AND SALE OF MARINE MAMMAL PARTS
AK033005A	1	MM/C	216.11(b)	ILLEGAL TAKE OF MARINE MAMMAL
AK033006A	1	FM/I	679.7(f)(2)	SUBMIT FALSE INFO, RECORD, STATEMENTS FOR IFQ LANDING
AK033007A	1	FM/I	679.7(f)(2)	SUBMIT FALSE INFO, RECORD, STATEMENTS FOR IFQ LANDING
AK033008A	1	MM/I	216.11(b)	UNLAWFUL TAKE OF MARINE MAMMAL
AK033009A	1	SR/V	5 AAC 28.371	FAILURE TO LAND GROUND FISH WITHIN 24 HOURS OF CLOSURE

Case Number	Cnt	Type	Regulation	Description of Violation
AK033010A	1	FM/I	679.5	PROHIBITS ALTERATION OF PERMIT CARD OR IFQ DOCUMENT
AK033010A	2	FM/V	679.7(F)(1)	PROHIBITS SUBMISSION OF FALSE OR INACCURATE INFORMATION
AK033011A	1	FH/I	679.7(f)(2)	INTENTIONALLY SUBMIT FALSE IFQ INFORMATION
AK033011A	2	FH/I	679.7f(3)(i)	NO VALID IFQ CARD OR PERMIT
AK033012A	1	FM/W	679.28(f)(3)(iii)	FAIL TO MAINTAIN VMS TRANSMISSION AS REQUIRED
AK033013A	1	FM/I	679.7(f)(2)	INTENTIONALLY SUBMIT INACCURATE INFO ON IFQ LANDING RPT
AK033014A	1	FM/I	679.7(a)(16)	GROUND FISH BYCATCH OVERAGE
AK033015A	1	FM/I	679.7(f)(12)	INTENTIONALLY SUBMIT INACCURATE INFO ON IFQ HALIBUT RPT
AK033016A	1	FM/I	679.7(a)(16)	GROUND FISH BYCATCH OVERAGE
AK033017A	1	FM/I	679.7(a)(16)	GROUND FISH BYCATCH OVERAGE
AK034001A	1	FM/I	679.50(g)(1)	FAIL TO PROVIDE ADEQUATE ACCOMMODATIONS
AK034001A	2	FM/I	679.7(g)(2)	BIAS OBSERVER SAMPLING PROCEDURES
AK034001A	3	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM HOOK
AK034001A	4	FM/I	679.7(g)(5)	HARASS AN OBSERVER
AK034002A	1	FM/I	679.50(f)(2)	FAILURE TO NOTIFY OBSERVER OF EXPECTED RECEIPT OF GROUND FISH
AK034003A	1	FM/I	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK034003A	2	FM/I	679.27(d)	BLEEDING RELEASING IR/IU SPECIES PRIOR TO BEING BROUGHT ONBOARD
AK034004A	1	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM HOOK
AK034004A	2	FM/I	679.7(d)(18)	HARVEST CDQ OR PSQ SPECIES W/O VALID SAMPLE CERTIFICATE
AK034005A	1	FM/I	679.21(b)(2)(ii)	FAIL TO RETURN PROHIBITED SPECIES
AK034006A	1	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ONBOARD
AK034007A	1	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MINS PRIOR TO BRING FISH ONBOARD
AK034007A	2	FM/I	679.50(g)(1)(viii)	FAIL TO PROVIDE REASONABLE ASSISTANCE
AK034008A	1	FM/I	679.50(i)(2)(i)(C)	FAIL TO HAVE A VALID, SIGNED CONTRACT WITH OBSERVER
AK034009A	1	FM/I	679.50(g)(1)(i)	FAIL TO PROVIDE ADEQUATE ACCOMMODATIONS
AK034010A	1	FM/I	679.21(b)(2)(ii)	FAIL TO RETURN PROHIBITED SPECIES TO SEA W/MINIMAL INJURY
AK034010A	2	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM HOOK
AK034010A	3	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MINS PRIOR TO BRING FISH ONBOARD
AK034012A	1	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MINS PRIOR TO BRING FISH ONBOARD
AK034013A	1	FM/I	679.50(g)(1)(i)	FAIL TO PROVIDE ADEQUATE ACCOMMODATIONS
AK034014A	1	FM/I	679.24(e)(3)	FAIL TO EMPLOY REQUIRED SEABIRD AVOIDANCE MEASURES
AK034015A	1	FM/I	679.7(a)(10)(i)	FAIL TO COMPLY WITH R&R REQUIREMENTS
AK034016A	1	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MINS PRIOR TO BRING FISH ONBOARD
AK034105A	1	FM/I	679.7(a)(10)(i)	
AK034200A	1	FM/I	679.7(g)(5)	HARASS AN OBSERVER (INTIMIDATING/HOSTILE WORK ENVIRONMENT)
AK034201A	1	FM/I	679.50(j)(2)(ii)(D)(1)	OBSERVER MISCONDUCT, ILLEGAL DRUG ACTIVITY
AK035000A	1	FM/I	679.7(g)(6)	FISH OR PROCESS FISH WITHOUT OBSERVER
AK035001A	1	FM/I	679.7(a)(18)	DIRECTED FISHING FOR P-COD W/O VMS UNIT

Case Number	Cnt	Type	Regulation	Description of Violation
AK035002A	1	FM/I	679.4(f)(1) & 679.7(a)(10)	OPERATE W/O OBTAINING FFP
AK035002A	2	FM/I	679.7(a)(10)	FAIL TO COMPLETE CHECK-IN REPORT PRIOR TO BECOMING ACTIVE
AK035002A	3	FM/I	16 USC 1857(1)(A)&679.7(a)	FAIL TO SUBMIT DAILY PRODUCTION REPORTS
AK035003A	1	LA/I	3371-3378	LACEY ACT: FISHING IN EEZ
AK035004A	1	LA/V	300.156(a), (n)&(o)	LACEY ACT: FISHING IN EEZ
AK035004A	2	LA/V	3371-3378	LACEY ACT: FISHING IN EEZ
AK035005A	1	LA/V	3372(a)(2)(A)	LACEY ACT: FISHING IN EEZ
AK035005A	2	LA/V	3372(a)(2)(A)	LACEY ACT: FISHING IN EEZ
AK035006A	1	LA/V	300.156(a), (n)&(o)	LACEY ACT: FISHING IN EEZ
AK035006A	2	LA/V	3372(a)(2)(A)	LACEY ACT: FISHING IN EEZ
AK035007A	1	LA/V	300.156(a), (n)&(o)	LACEY ACT: FISHING IN EEZ
AK035007A	2	LA/V	3372(a)(2)(A)	LACEY ACT: FISHING IN EEZ
AK035008A	1	LA/V	300.156(a), (n)&(o)	LACEY ACT: FISHING IN EEZ
AK035008A	2	LA/V	3371-3378	LACEY ACT: FISHING IN EEZ
AK035009A	1	FM/I	679.7(a)(10)	FAIL TO SUBMIT LOG BOOKS ON A QRTLY BASIS
AK035010A	1	FM/I	679.7(a)(10)	FAIL TO SUBMIT LOG BOOKS ON QRTLY BASIS
AK035011A	1	FM/I	679.7(a)(10)	FAIL TO SUBMIT LOG BOOKS ON QRTLY BASIS
AK035012A	1	FM/W	679.7(i)(3)	FISH FOR CRAB W/ VESSEL NAME MISSING ON LLC
AK035013A	1	FM/I	679.7(k)(8)	PROCESS CRAB IN EXCESS OF CAP
AK035014A	1	ES/V	226.202(a)	POLLOCK FISHING IN SSL ROOKERY
AK035015A	1	FM/W	679.7(i)(3)	FISH FOR CRAB W/ LLC NO VESSEL NAME ON LICENSE
AK035016A	1	FM/W	679.7(i)(3)	FISH FOR CRAB W/ LLC NO VESSEL NAME ON LICENSE
AK035017A	1	FM/W	679.7(i)(3)	FISH FOR CRAB W/ LLC NO VESSEL NAME ON LICENSE
AK035018A	1	FM/W	679.7(i)(3)	FISH FOR CRAB W/LLC THAT DOESN'T HAVE VESSEL NAME ON LICENSE
AK035019A	1	FM/W	679.7(i)(3)	FISH FOR CRAB W/LLC THAT DOESN'T HAVE VESSEL NAME ON LICENSE
AK035020A	1	FM/I	679.7(a)(2) & 679.20(D)	FISHING FOR P-COD IN CENTRAL GULF AFTER AREA CLOSED
AK035021A	1	ES/V	223.202(a)(2)(i)	UNLAWFULLY APPROACH WITHIN 3NM OF SSL ROOKERY
AK035022A	1	FM/I	679.7(a)(10)	FAILURE TO SUBMIT CHECK IN REPORT
AK035023A	1	FM/I	679.7(k)(8)	PROCESS CRAB IN EXCESS ON CAP
AK035024A	1	FM/I	679.7(a)(10)	FAILED TO TIMELY FILL OUT PTR
AK035025A	1	FM/I	679.7(a)(9)	FISHING WITH IMPROPER GEAR
AK035026A	1	FM/I	679.7(a)(10)	ELOG AND WEEKLY DO NOT AGREE
AK035027A	1	FM/I	679.7(a)(10)	FAILURE TO SUBMIT WEEKLY PRODUCTION REPORTS TIMELY
AK035028A	1	FM/I	679.(a)(2)	RETAIN SALMON
AK035028A	2	FM/I	679.7(a)(2)	RETAIN SALMON
AK035028A	3	FM/W	679.7(a)(10)	FAILURE TO SUBMIT ACCURATE CASE COUNT WEIGHTS
AK035028A	4	FM/W	679.7(i)(8)(i)	CATCH AND RETAIN SCALLOPS
AK035028A	5	FM/W	679.7(a)(10)	FAILURE TO REPORT RETENTION OF SABLEFISH

Case Number	Cnt	Type	Regulation	Description of Violation
AK035029A	1	ES/V	223.202(a)(2)(1)	SEA LION PROTECTION INCURSION/AKUTAN
AK035030A	1	ES/I	223.202(a)(2)(i)	INTEL ONLY
AK035031A	1	FM/V	679.50(d)(1)	OBSERVER COVERAGE FOR SHORESIDE PROCESSOR
AK035032A	1	FM/I	679.7(a)(18)	FAIL TO CONFIRM VMS TRANSMISSION PRIOR TO LEAVING PORT
AK035032A	2	FM/I	679.7(a)(18)	OPERATE IN ALASKAN WATERS W/PCOD ENDORSEMENT W/O VMS
AK035032A	3	FM/I	679.7(g)(6)	LACK OF OBSERVER COVERAGE
AK035033A	1	FM/I	679.5(g) & 679.7(a)(10)	FAILED TO REPORT PTRS
AK035034A	1	FM/V	679.7(a)(10)	NO DCPL FM 2/2503-3/8/03
AK035035A	1	ES/V	223.202(a)(2)(i)	UNLAWFULLY APPROACH WITHIN 3NM OF SSL ROOKERY
AK035036A	1	FM/I	679.7(a)(10)	FAIL TO SUBMIT PTR IN 2002 & 2003
AK035037A	1	ES/I	223.202(a)(2)(i)	UNLAWFULLY TRANSIT WITHIN 3 NM OF SSL ROOKERY
AK035038A	1	ES/V	223.202(a)(2)(1)	UNLAWFULLY APPROACH WITHIN 3NM OF SSL ROOKERY



**CATCH SEIZURES**

1/01/2003 - 3/31/2003

Total Number of Catch Seizures = 1

Case Number	Date of Seizure	Vessel	Description of Seizure	Value of Seizure
AK031016A	13-JAN-2003	SHEMYA	PROCEEDS FROM SALE OF SEIZED PACIFIC COD	7,915.84

**TOTAL: \$7,915.84**

# CASES FORWARDED TO GENERAL COUNSEL FOR FURTHER ACTION

1/01/2003 - 3/31/2003

Total Number of Cases Forwarded to GC = 32

Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK021106A	1	600.505(a)(1)	UNLAWFUL PURCHASE OF FISH TAKEN IN VIOLATION OF MAGNUSON-STE	
AK021148A	1	679.7(d)(4)	INELIGIBLE VESSEL FISHING GROUND FISH CDQ	
AK021152A	1	223.202(a)(2)(i)	NO APPROACH IN LISTED SSL BUFFER AREAS	
AK021153A	1	223.202(a)(2)(i)	NO APPROACH IN LISTED SSL BUFFER AREAS	
AK021171A	1	679.7(d)(5)/679.50(d)	EXCEEDED CDQ POLLOCK (BSAI)	
AK021172A	1	679.7(d)(5)	EXCEEDED CDQ POLLOCK IN BSAI	
AK021182A	1	679.7(a)(2)	FISHING FOR P-COD AFTER SEASON CLOSED	747.97
AK021184A	1	223.202(a)(2)(i)	UNLAWFULLY APPROACH WITHIN 3NM OF SSL ROOKERY	
AK022167A	1	679.9(f)(9); NPHA;	EXCEED VSL IFQ CAP	
AK022183A	1	IPHC 13.(1)(a)	UNDERSIZED HALIBUT	
AK022184A	1	3372(a)(2)(A)	AK STATE FISHERIES VIOLATIONS	
AK022184A	2	3372(a)(4)	VIOLATION OF ALASKA STATE FISHERIES EXPORT REGULATIONS	
AK022184B	1	3372(a)(2)(A)	AK STATE FISHERIES VIOLATIONS	
AK022184B	2	3372(a)(4)	VIOLATION OF ALASKA STATE FISHERIES EXPORT REGULATIONS	
AK022185A	1	679.7(f)(3)(i)	RETAIN IFQ HALIBUT CAUGHT W/FIXED GEAR W/O PERMIT	
AK022185B	1	679.7(f)(3)(i)	RETAIN IFQ HALIBUT CAUGHT W/FIXED GEAR W/O PERMIT	
AK022185C	1	679.7(f)(3)(i)	RETAIN IFQ HALIBUT CAUGHT W/FIXED GEAR W/O PERMIT	
AK023210A	1	216.82	UNLAWFULLY ALLOW DOG ON PRIBILOF ISLANDS	
AK023218A	1	679.7(f)(11)	DISCARDED IFQ SABLEFISH WHILE HAVING SUFFICIENT QUOTA	
AK024019A	1	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM HOOK	
AK024125A	1	679.7(a)(3)(i)	FAIL TO NOTIFY OBSERVER OF RECEIPT OF GROUND FISH	
AK025094A	1	679.7(a)(iii)	FAIL TO SUBMIT OR SUBMIT INACCURATE INFO ON IFQ REPORT	
AK031016A	1	679.7(a)(2)	DIRECTED FISHING FOR P COD IN A CLOSED AREA	7,915.84
AK034200A	1	679.7(g)(5)	HARASS AN OBSERVER (INTIMIDATING/HOSTILE WORK ENVIRONMENT)	
AK035000A	1	679.7(g)(6)	FISH OR PROCESS FISH WITHOUT OBSERVER	
AK035001A	1	679.7(a)(18)	DIRECTED FISHING FOR P-COD W/O VMS UNIT	
AK035002A	1	679.4(f)(1)	OPERATE W/O OBTAINING FFP	
AK035002A	2	679.7(a)(10)	FAIL TO COMPLETE CHECK-IN REPORT PRIOR TO BECOMING ACTIVE	
AK035002A	3	16 USC 1857(1)(A)	FAIL TO SUBMIT DAILY PRODUCTION REPORTS	
AK035004A	1	300.156(a),(n) & (O)	LACEY ACT: FISHING IN EEZ	74,926.50
AK035004A	2	3371-3378	LACEY ACT: FISHING IN EEZ	74,926.50
AK035005A	1	3372(a)(2)(A)	LACEY ACT: FISHING IN EEZ	126,101.55

Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK035005A	2	3372(a)(2)(A)	LACEY ACT: FISHING IN EEZ	126,101.55
AK035008A	1	300.156(a),(n) & (O)	LACEY ACT: FISHING IN EEZ	111,683.39
AK035008A	2	3371-3378	LACEY ACT: FISHING IN EEZ	111,683.39
AK035020A	1	679.7(a)(2)	FISHING FOR P-COD IN CENTRAL GULF AFTER AREA CLOSED	
AK035031A	1	679.50(d)(1)	OBSERVER COVERAGE FOR SHORESIDE PROCESSOR	
AK035032A	1	679.7(a)(18)	FAIL TO CONFIRM VMS TRANSMISSION PRIOR TO LEAVING PORT	
AK035032A	2	679.7(a)(18)	OPERATE IN ALASKAN WATERS W/PCOD ENDORSEMENT W/O VMS	
AK035032A	3	679.7(g)(6)	LACK OF OBSERVER COVERAGE	
AK035033A	1	679.5(g)	FAILED TO REPORT PTRS	

**TOTAL: \$634,086.69**

## OTHER CASE ACTIONS

1/01/2003 - 3/31/2003

Number of Cases	Action	Summary Settlement Amount	NOVA Amount	Final Penalty	Forfeited Proceeds and/or Property
0	Declined by ENF				
20	Dismissed by ENF no violation				
9	Closed by ENF due to lack of evidence				
25	Closed by ENF due to lack of resources				
33	Transferred to another Region or agency				
9	Verbal Warning Issued				
22	Written warning issued by ENF				
3	Written warning issued by GC				
2	Written warning (FIX-IT) issued by F/EN				
4	Written warning (FIX-IT) issued by USCG/GC				
17	Property forfeited or abandoned				\$31,147.69
11	Summary Settlement issued by field or USCG				
18	Summary settlement paid	\$29,513.25			
0	Case Merged				
12	Intel only				
0	Dismissed by GC				
18	NOVA issued		\$298,150.00		
20	Settlement agreement signed by GC			\$316,080.33	
1	Settlemt agree conditions not met; case closed				
2	Extension of time granted respondent				
1	PPIP filed by GC				
0	Return by GC to F/EN for further investigation				
4	Prosecution Decline by GC				
1	Req for hearing on NOVA,NOPS, or NIDP				
2	Suspended; case remains open				
234	Total				