

National Marine Fisheries Service
Office for Law Enforcement

ALASKA ENFORCEMENT DIVISION

QUARTERLY REPORT



Second Quarter FY02
January 1 - March 31, 2002

To report fisheries violations,
call our National Hotline at 1-800-853-1964.

<http://www.nmfs.noaa.gov/ole/Alaska/index.html>

ALASKA ENFORCEMENT DIVISION

Quarterly Report
January 1, 2002 - March 31, 2002

Contents

Significant Actions	3
Number of Cases Opened by Investigation Type	5
Complaint Action	6
Agent/Officer Hours by Program	7
Agent Officer Hours by Activity	8
Cases Opened	9
Catch Seizures	12
Cases Forwarded to General Counsel for Further Action	13
Other Case Actions	14

SIGNIFICANT ACTIONS

A. Quarterly Milestone Activity

AED has prepared a Plan of Action for enforcement measures to protect Steller sea lions. Since the 1980's the population of Steller sea lions has been in decline and they are now listed as endangered in their western range. Recent suits and court decisions have resulted in increased protection measures. To implement these new requirements, the Alaska Enforcement Division (AED) will focus on four areas during the 2002 fishing season. These are patrol/boardings, liaison/outreach, pro-active intelligence gathering/threat analysis, and investigation.

B. Major Cases

Nothing significant to report this quarter.

C. COPPS

ASAC Hansen was asked to sit on an ad hoc committee for pursuing a funding source for a hydrophone and related interpretative exhibit at a lookout site at Abercrombie Point in Alaska. During the summer, this location hosts a large Humpback whale population, which are a very popular attraction by residents and visitors. It is a frequent generator of complaints of harassment by vessels and kayakers. The interpretative displays will feature information on the new minimum viewing distances, harassment, and provide information on reporting observed harassment/approach violations.

D. VMS

Many hours were spent this quarter preparing for the implementation of Vessel Monitoring Systems on approximately 600 vessels in Alaska. On June 10, 2002, vessels permitted for directed fishing for Pollock, Pacific cod, and Atka mackerel will be required to carry VMS in federal regulatory areas while those directed fisheries are opened to directed fishing. VMS workshops are scheduled in ten (10) communities throughout Alaska. These workshops will take place in April and May.

E. OTHER ITEMS OF INTEREST

Joint Enforcement Agreement (JEA)

A JEA between NOAA OLE and the Alaska Department of Public Safety was signed in March. The JEA provides for increased enforcement efforts in those fisheries of mutual interest and concern such as federally permitted groundfish and crab vessels and patrols of Steller sea lion critical habitat areas.

F. INFRASTRUCTURE

The new DSAC, Jeanne Van Lancker, has arrived in Juneau. Kevin Heck was promoted to the ASAC position in Anchorage, while Ron Antaya was promoted to the Sitka ASAC taking over Bill McNally's position following his retirement. Tina Antonson, Tim Donaldson and Al Duncan were all promoted into Special Agent positions. Special Agent Sherrie Tinsley-Meyers arrived in Juneau to fill the gap left by Ron Antaya's departure. At the end of the second quarter, we are short 6 SA's, 5 EO's, and a VCP EO.

ASAC Ron Antaya received an award from NOAA General Council recognizing his efforts in the creation of a video featuring information on the new minimum viewing distances, harassment, and reporting observed harassment/approach violations of the Humpback Whale.

NUMBER OF CASES OPENED BY INVESTIGATION TYPE
FY01 and FY02

Investigation Type	FY01 2nd Quarter	FY01 Total	FY02 2nd Quarter	FY02 Total
ESA	3	34	1	3
Lacey Act	0	1	0	1
Magnuson Act	130	1112	80	258
MMPA	10	72	3	8
North Pacific Halibut Act	6	46	3	6
Non-Fisheries Federal Regs	1	23	6	17
State Regulations	1	34	8	13
Other Fisheries Investigation	0	1	3	3
TOTAL	151	1323	101	309

COMPLAINT ACTION

01/01/2002 - 03/31/2002

Investigation Type	No. Complaints Opened	No. Complaints Unfounded
Magnuson Act (MFCMA)	2	1
Marine Mammal (MMPA)	1	0
Endangered Species Act (ESA)	1	0
Other (<i>list</i>) - State Regs	0	0
Other (<i>list</i>) - Non-Fisheries Regs	0	0
Other (<i>list</i>) - Other Federal Regs	1	0
TOTAL	5	1

Number of Complaints Assigned CAR's	5
Number of Complaints Upgraded	0
Unfounded Complaints Assigned CAR's	1
Number of Complaints not Assigned CAR's	0
Number of Hotline Complaints	0

AGENT/OFFICER HOURS BY PROGRAM FY01 and FY02

Program	Program Codes	Hours			
		FY01 2nd Quarter	FY01 Total	FY02 2nd Quarter	FY02 Total
Magnuson Act					
IFQ	6793	2,813.0	12,951.3	1,047.0	3292.0
Alaska EEZ General	679	3,223.0	11,855.0	3,036.5	5750.0
BSA Groundfish	6791	758.0	1,995.5	0.0	32.5
GOA Groundfish	6792	844.0	2101.0	22.0	33.0
MFCMA General	31	60.0	441.0	29.5	50.5
U.S. vessels fishing in Russia	299	0.0	7.0	0.0	7.0
Scallops	6795	3.0	28.5	0.0	0.0
CDQ	6794	12.5	3.0	0.0	0.0
Research Plan	677	0.0	0.0	0.0	0.0
Salmon - Alaska	674	0.0	7.0	0.0	0.0
Total		7,713.5	29,389.3	4,133.0	9,163.0
Lacey Act	20/21/22/23	4.0	45.5	5.0	5.0
Marine Mammal Protection Act	1	364.0	1,583.5	249.5	471.0
North Pacific Halibut Act	3	87.5	378.0	71.5	97.0
North Pacific Fisheries Act	7	0.0	0.0	2.0	75.0
Endangered Species Act	2/200-210	1,049.0	1,992.0	146.5	282.5
High Seas Salmon	11	301.0	788.0	4.0	25.0
High Seas Fish. Compliance Act	33	113.0	162.0	30.5	30.5
American Fisheries Act	16	0.0	1.0	8.5	8.5
Other Federal Regulations	10/12/30/32	19.0	110.0	34.5	62.5
State Regulations	24/25	124.5	420.0	54.5	92.0
General	36	5,992.0	22,335.5	7,392.3	12,712.3
Leave	38	3,258.5	1,524.5	1,524.5	4,652.5
Other - Sky Marshal Program	901	0.0	0.0	0.0	2,005.5
Other - Home Land Security	902	0.0	0.0	43.0	84.5
Other - MFCMA Subjects	600/660	0.0	61.5	3.0	17.0
Other - Canadian Boundary	298	0.0	9.0	0.0	0.0
Other - Lacey Act Subjects	400	0.0	17.0	0.0	0.0
Other - MM Subjects	100/110	8.0	1,861.0	26.0	30.5
TOTAL		19,034.0	60,677.8	14,777.3	29,788.3

AGENT/OFFICER HOURS BY ACTIVITY
FY00 and FY01

Activity	Activity Codes	Hours			
		FY01 2nd Quarter	FY01 Total	FY02 2nd Quarter	FY02 Total
Investigations, Special Ops	02,03,04,05 20,21,22,23	3,671.0	13,974.5	1,701.0	5,307.0
Case Preparation	06	1,222.0	5,068.5	621.0	1,483.5
Complaint Response	07	122.5	1,188.5	102.5	244.5
Air Patrol	10/11	38.5	390.0	8.0	15.0
Surface Patrol	08, 12-15	252.5	915.5	334.0	681.5
Inspection	17-19	617.0	2,360.0	245.8	409.8
VCP	30-34	398.5	1,608.5	217.8	310.8
General (Administrative, supervision, etc.)	40-48	6,387.5	23,595.8	5,319.8	10,384.3
Training	50-53	1,861.7	5,979.7	2,578.5	4,497.5
Leave	61-67	2,218.5	9,821.5	1,524.5	4,660.5
Maintenance	70-72	381.5	1,301.5	290.0	508.5
Travel	90	534.3	2,203.8	787.5	1,313.5
All Other	08	2.0	24,162.8	299.5	621.5
TOTAL		92,570.6	68,974.8	14,029.8	30,437.8

CASES OPENED

01/01/2002 - 03/31/2002

Total Number of Cases Opened = 101

Case Number	Cnt	Type	Regulation	Description of Violation
AK012303A	1	FM/V	679.7(f)94)	IFQ HALIBUT OVERAGE
AK012304A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK012305A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK012306A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK014089A	1	FM/I	679.7(g)(1)	INTIMIDATION OR INTERFERENCE OF OBSERVER
	2	FM/I	679.50(f)(vi)	FAILURE TO NOTIFY OBSERVER OF HAULBACK
AK020001A	1	MM/W	216.11(b)	UNLAWFUL TAKE OF MARINE MAMMAL(HARBOR SEAL)
AK020004A	1	FM/V	223.202(a)(2)(i)	TRANSIT THRU/ANCHOR W/IN STELLAR SEA LION BUFFER ZONE
AK020005A	1	FM/X	679.4(d)(1)	FAILURE TO MAINTAIN ONBOARD OR PRESENT IFQ PERMIT
AK020006A	1	FH/X	300.65-16(2)	FAIL TO MAINTAIN HALIBUT LOGS IN ACCURATE/TIMELY MANNER
AK020007A	1	FH/X	300.65-16(2)	FAIL TO MAINTAIN HALIBUT LOGS IN ACCURATE/TIMELY MANNER
AK021001A	1	FM/I	679.7(a)(3)	FAILURE TO OBTAIN 30% OBS COVERAGE
AK021002A	1	FM/W	679.7(A)(10)	FAILURE TO SUBMIT LOGBOOKS TO NMFS
AK021003A	1	FM/W	679.79(a)(1)	NO FEDERAL FISHERIES PERMIT ONBOARD
AK021004A	1	FM/W	679.7(a)(1)	NO FEDERAL FISHERIES PERMIT ONBOARD
AK021005A	1	FM/W	679.7(a)(1)	NO FEDERAL FISHERIES PERMIT ONBOARD
AK021006A	1	FM/W	679.7(a)(1)	NO FEDERAL FISHERIES PERMIT ONBOARD
AK021007A	1	FM/W	679.7(a)(1)	NO FEDERAL FISHERIES PERMIT ONBOARD
AK021008A	1	FM/I	679.7(a)(7)	OPERATING VESSEL IN BOTH INSHORE AND OFFSHORE COMPONENTS
AK021009A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
	2	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK021010A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
	2	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK021011A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK021012A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK021013A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK021016A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK021017A	1	FM/V	679.7(A)(16)	PACIFIC COD BYCATCH OVERAGE
AK021018A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK021019A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK021020A	1	MM/I	216	DEAD WHALE - CAUSE OF DEATH UNKNOWN
AK021021A	1	FM/V	679.7(j)(2)	MORE THAN 300,00 LB OF UNPROCESSED POLLOCK RETAINED ONBOARD
AK021022A	1	FM/I	679.7(a)(1)	FISHING FOR IFQ HALIBUT W/O VALID FFP ONBOARD
AK021023A	1	FM/I	679.7(F)(12)	IFQ LANDING MADE WITHOUT PNOL
AK021026A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK021027A	1	FM/V	679.7(j)(2)	EXCEED POLLOCK TRIP LIMIT
AK022001A	1	FM/C	679.7(a)(a6)	ROCKFISH BYCATCH OVERAGE
AK022002A	1	FR/I	OTHER FEDERAL REGS	ILLEGAL TAKE OF SEAGULL
AK022003A	1	FM/V	679.7(A)(16)	ROCKFISH BYCATCH OVERAGE
AK022004A	1	ES/C	17.21(c)(1)	PROHIBITED TAKE/POSSESSION OF ENDANGERED SPECIES
AK022005A	1	FM/V	679.7(a)(16)	DEMERSAL SHELF ROCKFISH OVERAGE
AK022006A	1	FM/V	679.7(a)(16)	DSR ROCKFISH OVERAGE

CASES OPENED (continued)

AK022007A	1	FM/V	679.7(a)(16)	DSR OVERAGE
AK022008A	1	FM/W	679.7(f)(1)	INACCRAUTE INFORMATION SUBMITTED ON IFQ LANDING REPORT
AK022009A	1	FM/I	679.7	INACCURATE INFORMATION ON IFQ LANNDING REPORT
AK022010A	1	FM/V	679.7(a)(16)	SABLEFISH, ROCKFISH & HALIBUT BYCATCH OVERAGE
AK022011A	1	FM/I	679.7	LANDING MADE PRIOR TO PNOL TIME
AK022012A	1	FM/I	679.7(f)(12)	NO PNOL
AK022013A	1	FM/I	679.7(f)	INCORRECT LANDING DATE
AK022014A	1	FM/I	679.7(f)	INCORRECT PERMIT NUMBER
AK022015A	1	FM/I	679.7(f)	LANDING LOCATIONS DIFFERENT THAN PNOL
AK022016A	1	FM/V	679.7(f)(5)	FISHING IN AREA 2C/SE WITH HIRED SKIPPER
AK022017A	1	FM/I	679.5	VSL/REG BUYER COMBINATION NOT FOUND IN ACTIVE PNOL
AK022018A	1	FM/I	679.5	FAILURE TO SUBMIT ACCURATE INFORMATION
AK023001A	1	MM/C	216.11	ILLEGAL TAKE OF MARINE MAMMAL (STELLAR SEA LION)
AK023002A	1	DS/V	67.323	OPERATION W/O DOCUMENTATION
AK023003A	1	DS/W	67.163	EXPIRED CERTIFICATE OF DOCUMENTATION
AK023004A	1	FR/V	67.313	CERT. OF DOCUMENTATION NOT ON BOARD
AK023005A	1	SR/W	39.110	NOT IN POSSESSION OF FISHING LISCENSE
AK023006A	1	SR/V	Felony DWI	ARREST WARRANT-FAILURE TO APPEAR FOR SENTENCING
AK023007A	1	FR/V	67.167(b)	INVALID CERT OF DOCUMENTATION
AK023008A	1	SR/V	39.130	FAILURE TO RPT RETAINED BYCATCH ON STATE FISH TCKT
AK023009A	1	SR/V	ARREST WARRANT	FTC W/CONDITION OF PROB. ORG. CHRQ PETITION TO REVOKE
AK023010A	1	SR/V	39.130	FAILURE TO RPT RETAINED BYCATCH ON STATE FISH TCKT
AK023011A	1	FM/I	679.7(F)(4)	IFQ HALIBUT OVERAGE
AK023012A	1	FH/I	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK023013A	1	FM/W	679.7(a)(1)	FISHING FOR GROUNDFISH W/O VALID FFP ONBOARD
	2	FM/W	679.7(i)(2)	CONDUCT DIRECTED FISHING W/O VALID LLG LICENSE
	3	FH/W	679.7(f)(3)(i)	RETAINED HALIBUT WITHOUT VALID IFQ PERMIT ONBOARD
AK023014A	1	FM/W	679.7(a)(1)	FISH FOR GROUNDFISH W/O VALID FFP ONBOARD
	2	FM/W	679.7(i)(2)	CONDUCT DIRECTED FISHING W/O VALID LLG LICENSE
	3	FH/W	679.7(f)(3)(i)	RETAINED HALIBUT/SABLEFISH W/O VALID IFQ PERMIT ONBOARD
AK023015A	1	FM/X	679.4(f)(6)(ii)	FAILURE TO PROVIDE FFP FOR INSPECTION
AK023016A	1	FM/I	679.7(f)(12)	IFQ LANDING W/O PNOL
AK023016B	1	FM/I	679.7(f)(12)	IFQ LANDING W/O PNOL
AK023017A	1	FM/I	679.7(f)(1)	FAILURE TO RPT IFQ HALIBUT RETAINED
AK023018A	1	FM/V	679.7(f)(1)	IFQ LANNDING W/O PNOL
AK023019A	1	FM/I	679.7(12)	EARLY OFFLOAD
AK023020A	1	FM/W	679.7(f)(12)	IFQ LANDING W/O PNOL
	2	FM/W	679.7(1)	SUBMIT INACCURATE INFO
AK023021A	1	FM/W	679(f)(12)	EARLY OFFLOAD
AK023022A	1	MM/V	216.11(b)	UNLAWFUL FEEDING STELLAR SEA LIONS
AK023023A	1	FM/I	679.7(f)(1)	SUBMITTED INACCURATE INFORMATION
AK024001A	1	FM/W	679.28(d)(2)(ii)	FAILURE TO MAINTAIN CLEAR UNOBSTRUCTED PASSAGE
	2	FM/V	679.79(a)(13)(iv)	STRIP HALIBUT FROM HOOK
AK024002A	1	FR/I	USCG REGS	MARPOL-DUMPING OIL FILTERS
AK024003A	1	FM/I	679.50(f)(1)(i)	FAILURE TO PROVIDE ADEQUATE ACCOMMODATIONS
AK024004A	1	FM/I	679.50(f)(1)(vi)	FAILURE TO NOTIFY OBSERVER OF HAULBACKS
AK024006A	1	FM/I	MARPOL	DISCHARGING OIL
AK024007A	1	FM/I	679.50(f)(1)(vi)	FAILURE TO PROVIDE EQUIVALENT ACCOMODATIONS

CASES OPENED (continued)

AK024008A	1	FM/I	679.50(f)(1)(vi)	FAI TO NOTIFY OBS 15 MIN PRIOR TO BRINGING FISH ONBOARD
	2	FM/I	679.21(b)(2)(ii)	FAIL TO RTN HALIBUT TO SEA WITH MINIMAL INJURY
AK024009A	1	FM/I	679.50(f)(1)(ii)(B)	NO VALID SAFETY DECAL
AK024010A	1	FM/I	679.7(g)(3)	TAMPER WITH, DESTROY, OBSERVER EQUIPMENT
AK024011A	1	FR/C	USCG MARPOL	MARPOL
AK024012A	1	FM/I	679.50(h)(2)(ii)(2)	USE OF ILLEGAL DRUGS
AK025001A	1	FM/I	679.7(c)(2)	UNLAWFUL FISHING IN CLOSED AREA
AK025002A	1	FM/I	679.7(a)(2)	VMS/FISHING IN CLOSED AREA
AK025003A	1	FM/I	679.7(a)(2)	ILLEGAL FISHING IN CRITICAL HABITAT AREA
AK025004A	1	FM/I	679.7(a)(2)	VMS/FISHING IN CLOSED AREA
AK025005A	1	FM/I	679.7(a)(2)	VMS/FISHING IN A CLOSED AREA
AK025006A	1	AF/W	679.27	IR/IU
AK025007A	1	FM/V	679.7(vii)(16)	EXCEED MAX RETAINABLE POLLOCK BY-CATCH AMT
AK025008A	1	FM/I	679.7(g)(1)	INTIMIDATE OR INTERFERE WITH OBSERVER
AK025009A	1	FM/I	679.27(f)	IRIU PROGRAM
AK025010A	1	FR/V	1001	MAKING FALSE STATEMENTS
	2	FR/V	1512(B)(1)	WITNESS TAMPERING
	3	FR/V	1505	OBSTRUCTION OF JUSTICE
AK025011A	1	FM/I	1001	FALSE STATEMENT
	2	FM/I	371	CONSPIRACY TO COMMIT OFFENSE BY DEFRAUD
	3	FM/I	1018	FALSE CERTIFICATION
AK025012A	1	FM/I	679.5(1)(2)(ii)	IFQ MANUAL LANDING
AK025013A	1	FM/W	679.7(f)(12)	MAKE IFQ LANDING WITHOU PNOL
AK025014A	1	FM/I	679.7(a)(3)	OBSERVER MISCONDUCT
	2	FM/I	679.50(h)(2)(ii)(D)(1)	OBSERVER MISCONDUCT
AK025015A	1	FO/I	SEARCH WARRANT	ASSIST USCG IN CONDUCTING SEARCH WARRANT

CATCH SEIZURES

01/01/2002 - 03/31/2002

Case Number	Date of Seizure	Vessel	Description of Seizure	Value of Seizure
AK012303A	06-FEB-2002	SEANNA	PROCEEDS FROM IFQ HALIBUT OVERAGE	1,906.46
AK012304A	08-FEB-2002	LONE STAR	PROCEEDS FROM IFQ HALIBUT OVERAGE	788.32
AK012305A	06-FEB-2002	KATRINA	PROCEEDS FROM IFQ SABLEFISH OVERAGE	1,206.28
AK012306A	06-FEB-2002	ROCKET II	PROCEEDS FROM IFQ SABLEFISH OVERAGE	619.34
AK021002A	28-JAN-2002	FIERCE ALLEGIANCE	YELLOW COPIES OF 2000 & 2001 DAILY FISHING LOGBOOK	.00
AK022005A	27-FEB-2002	LISA JEAN	467 LBS HALIBUT	
TOTAL:				\$4,799.59

CASES FORWARDED TO GENERAL COUNSEL FOR FURTHER ACTION

01/01/2002 - 03/31/2002

Total Number of Cases Forwarded to GC =18

Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK001111A	1	679.7(d)(5)	EXCEED CDQ ALLOTMENT FOR BERING SEA SABLEFISH	
AK011006A	1	600.725(a)	UNLAWFUL RETENTION/SALE OF SABLEFISH/ROCKFISH/PACIFIC COD	
AK011155A	1	679.7(g)	OBSERVER HARASSMENT	
AK011215A	1	679.7(d)(5)	CDQ OVERAGE	
AK011243A	1	679.7(d)(5)	CDQ OVERAGE (POLLOCK)	
AK011248A	1	679.7(d)(5)	EXCEEDED ALLOCATED CDQ FOR POLLOCK	
AK012025A	1	679.7(a)(3)	FAILURE TO COMPLY WITH OBSERVER COVERAGE REQUIREMENTS	
AK012056A	1	679.7(a)(3)	FAILURE TO COMPLY WITH OBSERVER COVERAGE REQUIREMENTS	
AK012278A	1	679.7(f)(14)	LAND IFQ HALIBUT OTHER THAN TO RB	
AK013185A	1	679.7(l)(1)	FAILED TO MAKE IFQ PNOL	
	2	300.65-13(1)(a)	ILLEGAL RETENTION OF UNDERSIZE HALIBUT	1.00
AK013206A	1	679.7(a)10	FAILURE TO SUBMIT QUARTERLY LOGBOOK PAGES	
	2	679.7(a)(3)	FAILURE TO COMPLY WITH OBSERVER COVERAGE REQUIREMENTS	
	3	679.7(a)(10)	FAILURE TO ACCOUNT OF EACH DAY OF FISHING YEAR	
AK015113A	1	679.7(c)(2)	RETAINING PROHIBITED SPECIES	
AK020002A	1	679.7(a)(10)	FAILURE TO PROPERLY MAINTAIN LOGBOOK	
AK020003A	1	300.65-16(1)	FAILURE TO MAINTAIN IPHC APPROVED (HALIBUT) LOGBK	
AK020004A	1	223.202(a)(2)(i)	TRANSIT THRU/ANCHOR W/IN STELLAR SEA LION BUFFER ZONE	
AK025008A	1	679.7(g)(1)	INTIMIDATE OR INTERFERE WITH OBSERVER	
AK993037A	1	679.40(5)(ii)(D)	IFQ VESSEL CLASS EXCEEDS IFQ PERMIT CLASS	
AK993056A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	847.20

OTHER CASE ACTIONS

01/01/2002 - 03/31/2002

Number of Cases	Action	Summary Settlement Amount	NOVA Amount	Final Penalty	Forfeited Proceeds and/or Property
4	Declined by ENF				
30	Dismissed by ENF., no violation				
9	Closed by ENF. due to lack of evidence				
16	Closed by ENF. due to lack of resources				
15	Transferred to another Region or agency				
9	Verbal Warning Issued				
16	Written warning issued by Enf.				
4	Written warning issued by GC				
2	Written warning (FIX-IT) issued by F/EN				
3	Written warning (FIX-IT) issued by USCG				
8	Property forfeited or abandoned				14,799.82
11	Summary Settlement issued by field or USCG				
20	Summary settlement paid	28,851.14			
1	Case Merged				
5	Intel only				
1	Dismissed by GC				
8	NOVA issued		4000.00		
4	Settlement agreement signed by GC				
5	Settlement agreement conditions met				
2	Extension of time granted to respondent				
2	Referred to USA for criminal prosecution				
1	Initial Decision issued by ALJ				
2	All judicial conditions satisfied				
2	Criminal prosecution completed				
3	Criminal prosecution declined				
2	Suspended; case remains open				