

Voluntary Identification of New Filings

Selected Case Types Processed at the FERC

This document may be used with new filings made at the FERC. To use, mark in the first column the type of case being filed. Mark all filing types that apply.

The public disclosure of information originally supplied by the Federal Government to the recipient for the purpose of disclosure to the public is not included within "the definition of collection of information". 5 CFR 1320.3(c)(2)

Check all that apply	Reference	Filing Type	Description of Filings
		GENERIC FILINGS	
		Complaints	A filing alleging contravention or violation of a statute, rule, order or other law over which the Commission has jurisdiction. Rule 206
		Petition for Declaratory Order	Requests for orders stating the position the Commission would take under specific circumstances. Rule 207(a)(1).
		Petition for Rulemaking	Request for the Commission to instigate a Rulemaking procedure. Rule 207(a)(4).
		HYDRO FILINGS	
	PURPA § 405 ESA § 94	Exemption From Licensing - 5MW Application	Request for exemption from licensing/5MW; Stat 611; CFR Part 4 Subparts D & K.
	FPA § 405	Exemption from Licensing-Conduit Application	Request for exemption from licensing/conduit; CFR Part4 Subparts D & J.
	FPA § 4(e)	License or New License Application	Request to construct or operate hydroelectric project works; CFR Part 4 Subparts D, E, F & G or FPA 14,15; CFR Part16.
	FPA § 4(f), 5, 7(a)	Preliminary Permit Application	Request to maintain priority for application for a license; CFR Part 4 Subpart I.
	FPA § 3(11), 4(3)	Transmission Line License Application	Application for Transmission Line license; CFR Part4 Subpart H
	FPA § 23(b)	Declaration of Intention	Statement declaring entities intention to operate an unlicensed project or construct a hydroelectric project; CFR 24, 385.207 & 381.302(b).
	FPA § 23(b)	Determination of Jurisdiction	Request to determine whether a hydroelectric project falls under FERC's jurisdiction.
	FPA § 23(b)	Petition for finding Lack of Jurisdiction	Request for a determination that a hydroelectric facility is not subject to FERC's jurisdiction; CFR 24, 385.207 & 381.302(b).
	FPA § 23(b)	Petition to Investigate Jurisdictional Status	Request for determination whether an operational hydroelectric facility should be licensed; CFR 24, 385.207 & 381.302(b)
	FPA § 6, 7(a)	Surrender of License,	Application to surrender license, exemption or

		Exemption or Preliminary Permit	preliminary permit; CFR Part 6, Sections 4.102, 4.84
	FPA § 8	Transfer of License	Request to transfer license to another person; CFR Part 9.
		Amendments Related to a Project's Land Resources	Filings for approval, both voluntary and pursuant to orders, concerning recreation plans, shorelines management, archeological surveys, etc; CFR Part 4, Subpart L.
		Amendments Related to a project's aquatic and terrestrial resources	Filings for approval, both voluntary and pursuant to orders, concerning minimum flow studies, plans regarding fish, water quality, terrestrial (wildlife and vegetation) resources, etc; CFR Part 4, Subpart L.
		Amendments Related to a Project's Engineering Resources	Filings for approval, both voluntary and pursuant to orders, concerning project structures or works design, location, operating criteria, capacity, design drawings, project modifications, as-build exhibits, etc; CFR Part 4, Subpart L.
		Extensions of Time	Applications to amend filing or action deadlines specified in Commission orders; CFR Part 4, Subpart L.
		Amendments to Allow Non-Project Use or Change in Project Lands or Rights	Applications for approval to use project lands for non-hydropower purpose, or to dispose of project land. CFR Part 4, Subpart L.
	FPA § 24	Request for Determination for Power Site Reserve, Classification and Designation and Geothermal Lease	Request for determination for power site reserve, classification and designation (right-of-way of lease of federal lands) and geothermal lease; CFR Part 25.
	FPA § 24	Request for Determination on Mineral Lease	Request for determination on mineral lease; CFR Part 25.
	FPA § 24	Request for Determination on Mining Claim	Request for determination on mining claim; CFR Part 25
	FPA § 24	Request for Determination on Power Site Lands, Restoration, Withdrawal and Vacation	Request for determination on power site land, restoration, withdrawal and vacation; CFR Part 25.
		ELECTRIC FILINGS	
	FPA § 205	Tariff-Transmission	Application to establish or modify open access transmission tariff
	FPA § 205	Tariff-Power Sales	Application to establish a power sale agreement pursuant to existing tariff.
	FPA § 205	Tariff-Other	Application to establish or amend opportunity sales and interchange agreements, change in terms and conditions, extension of contract term, change in contract demand or delivery point, ISO meter service agreements, scheduling coordinator agreement, and power pool membership.
	FPA § 205		Application to establish service agreements (firm,

		Tariff	non-firm, network; short-term, long-term) under Open Access Tariff.
	FPA § 205	Rate Schedule - Power Sales	Application to establish power sale at cost based rate.
	FPA § 205	Rate Schedule - Requirements service or facilities agreements	Application to establish power sale agreements, and contribution in aid of construction agreements.
	FPA § 203	RTO's, Transco's, ISO's, PX's	Proposals to transfer transmission facilities or establish market clearinghouse by transmission organizations, ISO's, and Power Exchanges
	FPA § 205	Stranded Cost Filings	Applications to recover costs associated with facilities no longer used as a result of competition and implementation of Order 888.
		Federal Rate Cases	Rate Schedules filed by the Department of Energy on behalf of the Federal Power Agencies.
	FPA § 205	Market Based Authority	Requests by utilities for authority to charge market based rates for power sales and/or ancillary service
		Notices of Cancellation	Notice of cancellation of service.
		Standards of Conduct of Public Utility	Filings by transmission providers submitting written procedures to implement the standards of conduct conforming to section 37.4; Order No. 889
		Report of Refund Obligation	Report of refund obligation.
	FPA § 204	Application for Securities Issuance	Application for issuance of securities or assumption of liabilities.
	FPA § 203	Application for Merger Authority	Request to transfer control of jurisdictional facilities to another entity by merger or consolidation
	FPA § 203	Application for Authority to Acquire or Dispose of Facilities	Request for dispositions/acquisition of jurisdictional facilities (other than by merger).
	FPA § 301	Accounting Request	Request for accounting interpretation or approval; 18 CFR Part 101.
		Application for Exempt Wholesale Generator Request	Request by power producer to qualify as EWG under PUHCA & EPACT.
		Application for Certification / Re-certification of Cogeneration Facilities	Request for qualifying facility status of a cogeneration facility under CFR Section 207(b).
		Application for Certification/ Re-certification of Small Power Production Facilities	Request for qualifying facility status of a small Power Production facility under CFR Section 207(b) .
		Notices of Self-Certification of Cogeneration Facilities	Notice of Self-Certification by owner or operator of a Cogeneration Facility to acquire a QF status under CFR Section 292.207(a)
		Notices of Self-Certification of Small Power Production	Notice of Self-Certification by owner or operator of a Small Power Production Facility to acquire a QF

		Facilities	status under CFR Section 292.207(a).
	FPA § 305(b)	Application to Hold Interlocking Positions	Applications by persons seeking to hold more than one office in a public utility or corporation.
		Informational Filings	Periodic filings used to monitor transactions either pursuant to Commission Order, company settlement, or contract.
	FPA § 210; FPA § 211	Electric Interconnection or Transmission Service	Application for order requiring interconnection or transmission service.
		PIPELINE CERTIFICATE FILINGS	
	NGA § 7(c)	Prior Notices -- Request Under Blanket Certificate Per CFR Section 157.	Routine filings requiring minor review, including the construction and operation of certain facilities costing between \$7.3 million and \$20.2 million under CFR Part 157.
	NGA § 7(f)	Application for Service Area Determination	Application for Approval of NGA Section 7(f) Service Area Determination.
	NGA § 7(b)	Application for Abandonment of Facilities	Application to abandon pipeline facilities under NGA Section 7(b); CFR Part 157.
	NGA § 7(b)	Application for Abandonment of Services	Application to abandon transmission services under NGA Section 7(b); CFR Part 157.
	NGA § 7(c)	Application for Blanket Certificate by Hinshaw Company or Local Distribution Company	Application for approval under NGA Section 7 to perform routine activities under a blanket certificate under CFR Section 284.224.
	NGA § 7(c)	Application for Blanket Certificate to Provide Transportation Service	Application for approval under NGA Section 7 to perform routine activities under a blanket certificate under CFR Part 284 (A).
	NGA § 7(c)	Application for Certificate to Construct & Operate Facilities (under threshold in Section 375.307)	Application for approval under NGA Section 7 to construct and operate facilities costing less than the threshold in CFR Section 375.307, currently \$20.2 Million.
	NGA § 7(c)	Application for Certificate to Construct & Operate Facilities (equal to or over threshold in Section 375.307)	Application for approval under NGA Section 7 to construct and operate facilities costing more than the threshold in CFR Section 375.307, currently \$20.2 Million.
	NGA § 7(c)	Requests for Waiver of 30 day Notice for Construction or Replacement of Facilities	Request for waiver of notice requirements for construction or replacement of facilities.
	NGA § 3	Application for Presidential Permit & Siting Authority	Application to site border facilities under NGA Section 3; CFR Part 153.
	NGA § 1	Applications for Exemption under Section 1C	Application for exemption under Section 1C of the NGA.
		GAS RATE & OIL CASES	

	NGA § 4	Tariff Filings (Do not change rates or revenue requirements)	Applications to modify non-rate tariff language, CFR Part 154
	NGA § 4	Filing to Change Rate	Application to change existing rates for pipeline transmission & storage services, CFR Section 154.201.
	NGA § 4	Filing to Initiate a New Rate Schedule	Filing to Initiate a New Rate Schedule under CFR Section 154.202.
	NGA § 4	Tracker Filing	Adjustments to rates pursuant to tariff provisions or Commission order
	NGA § 8	Accounting Request	Request for accounting or approval; 18 CFR Part 201
	NGPA § 311	Intrastate Filing	Self-implementing sales, transportation or assignment of gas in interstate commerce by intrastate entities.
	NGPA § 502	Petition for Adjustment Relief	Petition for adjustment relief under NGPA Section 502 (C) and CFR Subpart K of Section 385 .
	NGA § 4	Service Agreement, Contracts	Non-conforming service agreements.
		Standards of Conduct	Filings by market affiliates complying with requirements of CFR Part 161 and Section 250.16.
		Report of Refund Obligation	Report by pipelines on the return of monies found to be in excess of appropriate levels.
	ICA § 6	Rate/Tariff Filings	Tariff filings providing rates, rules and regulations for oil pipeline transportation; may include Fourth Section and Special Permission applications.
	ICA § 20	Accounting Request	Request for accounting interpretation or approval; 18 CFR Part 352.
		Petition for Review of DOE Remedial Orders or Denial of Adjustment.	Petition for Review of DOE Remedial Orders or Denial of Adjustment.