

FAA Orders, Advisory Circulars, and Other Federal Directives Referring to Airport Planning Grants

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Background: An accounting of FAA orders, advisory circulars and other Federal directives referring to airport planning grants.

Applicability: Documents listed below provide guidance on administering AIP planning grants from application through closeout. Not listed are documents that provide guidance on how to conduct airport planning or the components of airport planning. Guidance for these can be found in AC 150/5070-6B (Airport Master Plans) and AC 150/5070-7 (The Airport System Planning Process). Both AC 150/5070-6B and AC 150/5070-7 contain an appendix (Appendix B for each AC) including an extensive list of additional useful reference material for airport planning.

References for noise compatibility planning are also not included.

List by topic:

The AIP Handbook (FAA Order 5100.38C) is the primary source for information on administering airport planning grants. Specific references to FAA Order 5100.38C and to other directives are provided for each topic.

A. AIP Eligibility

- Airport must be in the NPIAS
 - Paragraph 1-8, FAA Order 5090.3C
 - Section 47105(b)(2)-49 CFR Part 18
 - Planning project must be in Airports Capital Improvement Plan to receive a grant. FAA Order 5100.39A
- General eligibility of airport planning
 - Paragraph 300, FAA Order 5100.38C
 - Paragraph 302, FAA Order 5100.38C
 - Paragraph 400, FAA Order 5100.38C
 - Categorical Exclusion-paragraph 307o, FAA Order 1050.1E, "Environmental Impacts: Policies and Procedures"
 - Section 40117(a)(3)(A), 49 CFR Part 18
 - Section 47114(d)(6)-49 CFR Part 18
- Sponsor eligibility
 - Chapter 2, FAA Order 5100.38C
 - Section 47105(a), 49 CFR Part 18
- Eligibility of work elements

- Paragraph 401, 403-406 FAA Order 5100.38C
- Appendix 1, FAA Order 5100.38C
- Paragraph 306, FAA Order 5100.38C

B. Costs

- Allowable/Non-allowable Costs
 - Paragraphs 310-311, FAA Order 5100.38C
 - Section 47110, 49 CFR Part 18
 - OMB Circular A-87, "Cost Principles for State, Local and Indian Tribal Governments"
- Use of Force Account-paragraph 1004, FAA Order 5100.38C

C. Pre-award for grant

- Pre-planning conference-paragraph 411, FAA Order 5100.38C
- Project formulation-paragraph 1000, FAA Order 5100.38C
- Application form and format
 - i. Paragraph 412, paragraph 1010, 1011- FAA Order 5100.38C
 - ii. Paragraph 1c, OMB Circular A-102, "Grants and Cooperative Agreements with State and Local Governments"
- Application review-paragraph 413, FAA Order 5100.38C
- PERADA-PGL 07-01
- Intergovernmental review-paragraph 1006, FAA Order 5100.38C
- Grant Application Forms Appendices 3-5, FAA Order 5100.38C
- Channeling act-paragraph 1090c, FAA Order 5100.38C
- Project phasing-paragraph 305, AC 150/5070-6B

D. Procurement/Consultant selection/Contracts

- Paragraphs 420-424, FAA Order 5100.38C
- Chapter 9, FAA Order 5100.38C
- Appendix 25, FAA Order 5100.38C
- Paragraphs 1022-1027, FAA Order 5100.38C
- Paragraphs 302 and 304, AC 150/5070-6B
- AC 150/5100-14D, "Architectural, Engineering and Planning Consultant Services for Airport Grant Projects"

E. Planning grant administration

- Paragraphs 425-427, FAA Order 5100.38C
- Grant termination or suspension
 - i. Paragraphs 1150-1156, FAA Order 5100.38C

F. Grant payment, close out and audit

- Payment/Financial
 - i. Paragraphs 1300-1305, FAA Order 5100.38C
 - ii. PGL 05-02, "Letters of Credit Project Payments"
 - iii. Section 47111-49 CFR Part 18
 - iv. OMB Circular A102-paragraph 2c
 - v. Appendix 18, FAA Order 5100.38C
- Closeout
 - i. Paragraphs 1310-1318, FAA Order 5100.38C
 - ii. OMB Circular A-102, paragraph 3a
- Audit
 - i. Paragraphs 1320-1329, FAA Order 5100.38C
 - ii. OMB Circular A133-"Audits of States, Local Governments and Non-Profit Organizations"
 - iii. Section 47121-49 CFR Part 18

G. FAA approval actions (i.e. forecast, ALP, site selection, NPIAS, etc.)

- Paragraph 428, FAA Order 5100.38C

H. Grant assurances

- AC 150/5100-16A Grant Assurances
- PGL 05-03, "Updated Grant Assurances"

I. Special conditions

- Appendix 7, paragraph 1120-1122, FAA Order 1500.38C