



## Office of Security Policy

### Zero-Based Policy Review

The [Office of Security Policy](#) (HS-70) maintains the Department of Energy's security integrity through the development and promulgation of safeguards and security policy for the protection of the National Security and other critical assets entrusted to the Department. The Office also manages DOE-wide activities for foreign national visits and assignments and determinations of foreign ownership, control or influence.

HS-70 continues to enhance communication with our stakeholders, as well as within HSS, to provide a customer focused, 'service-first' based program. HS-70 will team with DOE Headquarters and field elements to improve safeguards and security policy through increased involvement of programmatic managers and field practitioners in the policy formulation process, to ensure that policy is sufficient to ensure appropriate protection, is affordable, is performance based, and is practical to implement. While this teaming approach does not relieve HS-70 of the responsibility for developing and promulgating safeguards and security policy, we will create a forum where all concerns are heard and accommodated when possible.

Recently, HS-70 began a "zero-based" review of the safeguards and security DOE 470 series of directives. This review will identify national directives associated with each policy requirement; determine whether the requirement is necessary and effective; and modify or delete those found to be unnecessary or ineffective. An essential element in our review effort was to compose our teams with Federal and contractor subject matter experts from various sites across the DOE complex and from DOE Headquarters. These subject matter experts form our working groups, consisting of six to eight persons, and red teams, consisting of two to four persons, were established.

The working groups' review will entail the evaluation of all requirements in the current directives, correlation of each requirement with any external driver that it may address, evaluation of the need to retain and/or modify the requirement, and then a restructuring of the residual requirements set into a modified format. Independent red teams will be used to critique the draft policy and assess the impact of implementing the policy and provide critical feedback.

Our review effort is on tract and we plan to have the DOE 470 series ready for Departmental review by December 2007:

- In August 2007, we began a review of DOE M 470.4-1c1, *Program Planning and Management*, which is currently ongoing.
- In May 2007, we initiated a review of a review of DOE M 470.4-2c1, *Physical Protection*; DOE M 470.4-4, *Information Security*; and DOE M 470.4-5, *Personnel Security*. Drafts for these three policies will be prepared and sent to the respective red teams for review by 1 September 2007.

- In February 2007 the first directives reviewed were DOE M 470.4-3c1, *Protective Force*, and DOE M 470A-6c1, *Nuclear Material Control and Accountability*; which have been reviewed and commented by their topic specific red teams.

Our policy revitalization effort, with increased interaction with Headquarters and field staff during policy formulation will eliminate redundancy and marginally valuable requirements. A significant effort to improve in these areas, accompanied by greatly enhanced effort to “market” the initiatives could greatly increase the value of safeguards and security directives to the Department and lead to a greater understanding and acceptance of these requirements by the field.

If you have any questions or comments on this or other policy issues please contact us at (301) 903-4642.