



UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
NATIONAL MARINE FISHERIES SERVICE
Silver Spring, MD 20910

Ms. Marilee Menard
Alliance of Marine Mammal Parks and Aquariums
418 North Pitt Street
Alexandria, Virginia 22314

MAR 14 2007

Kristi West, Ph.D.
University of Hawaii at Manoa
Department of Physiology
John A. Burns School of Medicine
1960 East-West Rd., Biomed T-608
Honolulu, Hawaii 96822

Dear Ms. Menard and Dr. West:

Enclosed is Permit No.1076-1789, issued to the Alliance of Marine Mammal Parks and Aquariums, 418 North Pitt Street, Alexandria, Virginia 22314 [Marilee Menard, Responsible Party; Kristi West, Ph.D., Principal Investigator (PI)], which authorizes the receipt, import and export marine mammal specimens for the purpose of scientific research.

Please note that this permit is not valid until our office receives the signed file copy of the signature page. Therefore, please review the permit carefully to ensure it accurately reflects what you requested unless otherwise stated and that you understand what is authorized, and sign and date both the original signature page and the one marked "file copy." To validate your permit, please return the signature page marked file copy to the Permits, Conservation and Education Division, 1315 East-West Highway, Silver Spring, MD 20910. You may also submit the file copy of the signature page by facsimile to (301) 427-2521 and confirm it by mail. Your permit is valid from the date we receive the signed file copy of the signature page through February 29, 2012.

In addition, this permit does not authorize collection or receipt of marine mammal specimens from animals stranded dead or alive in the U.S. under NMFS jurisdiction (cetaceans and pinnipeds except walrus). To obtain samples from stranded animals under NMFS jurisdiction, you should contact the appropriate Regional Stranding Network Coordinator (list attached) to become a designated stranding responder or authorized recipient of such specimens. Arrangements may also be made with the various NMFS Science Centers (list attached) for parts from marine mammals that are killed incidental to commercial fisheries in the U.S.

The NMFS Marine Mammal Health and Stranding Response program along with the National Institute of Standards and Technology maintain the National Marine Mammal Tissue Bank. Please contact Dr. Teresa K. Rowles, NOAA/NMFS/PR2, 1315 East-West Highway, Silver Spring, Maryland 20910 [(301) 713-2322, Ext. 178, Teri.Rowles@noaa.gov] or Dr. Paul R. Becker, NIST, 219 Fort Johnson Road



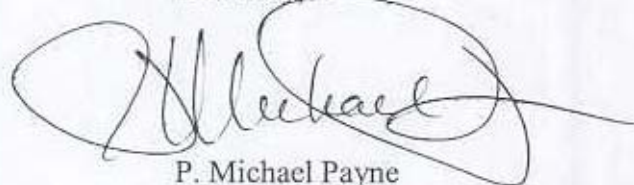
Charleston, South Carolina 29412 [(843) 762-8503, Paul.Becker@nist.gov] about possibly contributing some of your samples to the archive. Visit the following web site for more information: <http://www.nmfs.noaa.gov/pr/health/tissue/>.

The import and export of species listed on the Appendices to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) requires a valid CITES permit. Any specimens of species listed in the Appendices to CITES must be accompanied by valid CITES documentation from the CITES Management Authority of the exporting country, and in the case of Appendix-I species and Appendix I and II species collected in the open ocean (i.e., in the marine environment outside of any country's territorial jurisdiction), from the CITES Management Authority of the importing country. For further information regarding CITES requirements please contact Ms. Lisa Lierheimer, USFWS, Division of Management Authority, 4401 N. Fairfax Drive, Room 700, Arlington, VA 22203 (1-800-358-2104, x 1991).

The USFWS declaration requirements (50 CFR 14.61) specify that a completed Declaration for Importation or Exportation of Fish or Wildlife (Form 3-177), signed by the importer or importer's agent, must be filed with the USFWS at the designated port(s) of entry (50 CFR 14.52). Form 3-177 can be obtained online at <http://www.le.fws.gov/faqs.htm>. For additional information regarding completion of the form, contact your local USFWS inspection office. Designated ports of entry are listed in Condition B.10. Prior to importing or exporting specimens, the USFWS wildlife inspectors (list attached) must be notified at least 48 hours in advance. Further, any port of entry other than the designated ports listed in Condition B.10. must be approved in writing by the USFWS Law Enforcement Regional Office.

As the Responsible Party and PI of this permit, you are ultimately responsible for all activities of any individual operating under its authority. Please review the permit carefully before signing it and return the file copy of the signature page to us to activate your permit. If you have any problems or questions, please contact Jennifer Skidmore or Amy Sloan at (301) 713-2289.

Sincerely,

A handwritten signature in black ink, appearing to read "P. Michael Payne", written over a large, stylized circular flourish.

P. Michael Payne
Chief, Permits, Conservation and
Education Division
Office of Protected Resources

Enclosures



UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
NATIONAL MARINE FISHERIES SERVICE
Silver Spring, MD 20910

Permit No.: 1076-1789
Expiration Date: February 29, 2012
Reports Due: May 31, annually
Final Report Due: August 31, 2012

PERMIT TO RECIEVE/IMPORT/EXPORT MARINE MAMMAL SPECIMENS

I. Authorization

The Alliance of Marine Mammal Parks and Aquariums, 418 North Pitt Street, Alexandria, Virginia 22314 [Marilee Menard, Responsible Party; Kristi West, Ph.D., Principal Investigator (PI)], is hereby authorized to receive, import and export marine mammal specimens in the manner specified below for the purpose of scientific research, subject to the provisions of the Marine Mammal Protection Act of 1972, as amended (MMPA; 16 U.S.C. 1361 *et seq.*), the regulations governing the taking and importing of marine mammals (50 CFR part 216), the Fur Seal Act of 1966, as amended (FSA; 16 U.S.C. 1151 *et seq.*), the Endangered Species Act of 1973 (ESA; 16 U.S.C. 1531 *et seq.*), the regulations governing the taking, importing, and exporting of endangered and threatened species (50 CFR parts 222-226), and the Terms and Conditions hereinafter set out.

II. Abstract

The purpose of this research is to study and document the health and biology of wild marine mammals and marine mammals maintained in public display, research, and stranding facilities. Specific studies include diseases of marine mammals, effects of environmental contaminants, artificial insemination, stock structure, age determination, mortality determinations, pregnancy rates, calf production, feeding habits and nutrition, and distribution.

III. Terms and Conditions

The activities authorized herein must occur by the means, in the areas, and for the purposes set forth in the permit application, and as limited by the Terms and Conditions specified in this permit.

A. Number and Kind of Marine Mammals

1. At any time of the year, the Permit Holder may, subject to the conditions herein, receive, import/export, re-import, and re-export on a worldwide basis hard and soft parts from the marine mammal and endangered species listed in the tables below.



Table 1: Permit No. 1076-1789 - Annual Receipt, Import and/or Export of Parts for Diagnostics/Animal Health research and other research as described in the application (except AI research; see Table 2)		
Species	Source	Number of Samples per year
Cetaceans (whales, dolphins, porpoises)	Taken from beached/dead at sea/stranded animals	150
Cetaceans (whales, dolphins, porpoises)	Taken from exhibit animals	150
Pinnipeds (seals and sea lions, except for walrus)	Taken from beached/dead at sea/stranded animals	25
Pinnipeds (seals and sea lions, except for walrus)	Taken from exhibit animals	25

Table 2: Permit No. 1076-1789 - Annual Import and/or Export of Parts for AI research		
Species (scientific and common names)	Source	Number of Samples per year
<i>Tursiops truncatus</i> Bottlenose dolphin	Taken from beached/dead at sea/stranded animals	100
<i>Tursiops truncatus</i> Bottlenose dolphin	Taken from exhibit animals	100
<i>Delphinapterus leucas</i> Beluga whale	Taken from beached/dead at sea/stranded animals	50
<i>Delphinapterus leucas</i> Beluga whale	Taken from exhibit animals	50
<i>Orcinus orca</i> Killer whale	Taken from beached/dead at sea/stranded animals	10
<i>Orcinus orca</i> Killer whale	Taken from exhibit animals	10
Other Cetaceans (Genus: <i>Lagenorhynchus</i>)	Taken from beached/dead at sea/stranded animals or taken from exhibit animals	50

2. Specimens may be obtained from the following sources:

- a) Dead stranded animals in foreign countries, where taking of such samples is legal;
- b) Specimens from other permitted collections in academic, federal, and state institutions involved in marine mammal research; and

- c) Captive stocks where such specimens were collected as a result of routine husbandry procedures, and captive animals that have died of natural causes; a list of approved facilities for the collection of specimens to be imported/exported is attached.
3. No animals may be intentionally killed for the purpose of collecting specimens, and no remuneration, either financial or in-kind, can be offered for the taking of animals from the wild. This does not preclude legitimate logistical collection and transportation expenses. It does, however, apply to paying bounties or incentive pay for the removal of animals from the wild.
 4. Samples collected from live captive animals must come from animals behaviorally conditioned for non-intrusive sample donation as part of their routine husbandry management. Sample collection in the U.S. may only be performed on animals that are maintained under the U.S. Department of Agriculture, Animal and Plant Health Inspection Service guidelines, in compliance with the regulations and provisions of the Animal Welfare Act (AWA).

B. Research Conditions

1. The authority to receive, import, and export the marine mammal specimens authorized in this permit shall extend from the date of issuance through **February 29, 2012**.
2. Dr. West as the Principal Investigator has been designated to coordinate the authorized research. Co-Investigators (CIs) from each cooperating member facility have been identified to receive, import, and/or export samples (Attachment 4).
3. The Permit Holder shall not import specimens into the U.S. from marine mammals:
 - a) Taken in any high seas driftnet fishery after December 31, 1992;
 - b) Deliberately killed or harassed for the express purposes of fulfilling this permit;
 - c) Taken illegally in the country of origin;
 - d) Taken during whaling activities not approved by the International Whaling Commission; or
 - e) Taken in a directed fishery, including "drive fisheries."
4. The use of any samples obtained, imported, or exported under the authority of this permit in assisted reproductive techniques and research thereof must be carried out under this permit or an equivalent scientific research permit issued to another party for that purpose. Persons receiving parts obtained under this permit for

assisted reproductive techniques must either be designated as a CI on this permit or be a holder of or CI on a permit that authorizes such research on marine mammal assisted reproductive techniques.

5. All specimen materials obtained under this authority shall be maintained according to accepted curatorial standards. After completion of initial research goals, any remaining samples or specimens shall be deposited into a *bona fide* scientific collection that meets the minimum standards of collection, curation, and data cataloging as established by the scientific community. See Conditions B.4. and B.11. through B.13. regarding subsequent transfer of specimens.
6. Marine mammal parts imported under the authority of this permit must be taken in a humane manner, and in compliance with the Marine Mammal Protection Act, the Endangered Species Act, the Animal Welfare Act [9 CFR Chapter 1, SubChapter A], and any applicable foreign law. Importation of marine mammal parts is subject to the provisions of 50 CFR parts 14, 23 [CITES], 216, and 222.
7. Any specimens of species listed in the Appendices to CITES must be accompanied by valid CITES documentation from the exporting country, and, in the case of Appendix I species, and Appendix I and II species collected in the open ocean (i.e., in the marine environment outside of any country's territorial jurisdiction), from the CITES Management Authority of the importing country.
8. All specimens imported into the U.S. must be cleared through a USFWS port designated for wildlife and shall be accompanied by documentation giving a description of each animal from which specimen materials were taken including, if possible, identification, age, size, sex, reproductive condition; date and location of acquisition; circumstances causing death or nature of specimen collection.
9. The Permit Holder must maintain a record of all specimens obtained under this permit. This record must include: the species and type(s) of specimens, circumstances surrounding the specimen acquisition (e.g., stranding, incidental take from commercial fishery, etc.), date(s) acquired, the date shipped, the location(s) of collection and who collected the sample(s), and the authorizing government agency.
10. Designated Ports of Entry: The following ports of entry are designated for the importation or exportation of wildlife and are referred to hereafter as "designated ports" (50 CFR 14.12). Please notify the USFWS wildlife inspectors (list attached) at these ports at least 48 hours prior to import or export.

1) Anchorage, AK	10) Louisville, KY
2) Atlanta, GA	11) Memphis, TN
3) Baltimore, MD	12) Miami, FL
4) Boston, MA	13) New Orleans, LA
5) Chicago, IL	14) New York, NY
6) Dallas/Fort Worth, TX	15) Newark, NJ
7) Honolulu, HI	16) Portland, OR
8) Houston, TX	17) San Francisco, CA
9) Los Angeles, CA	18) Seattle, WA

To use a port of entry other than the designated ports listed above, the Permit Holder or PI must obtain a Designated Port Exception Permit from the USFWS as required in 50 CFR 14.31 and 14.32. Additional information may be obtained from the USFWS website:

http://www.fws.gov/le/ImpExp/Info_Importers_Exporters.htm .

11. The Terms and Conditions of the permit shall remain in effect as long as the marine mammal specimens received and imported hereunder are maintained under the authority and responsibility of the Permit Holder. Attached is 50 CFR 216.37 of the NMFS regulations governing the taking and importing of marine mammals that contains additional conditions regarding maintaining and transferring marine mammal parts. These regulations are made a part hereof. Also see Research Condition B.4.
12. Under no circumstances may any marine mammal parts obtained, imported or exported under the authority of this permit be bought or sold.
13. Samples may be made available to other scientists upon request, if the research request does not conflict with the original intent of collected specimens and upon the approval of the Permit Holder and NMFS (see Condition B.4. and B.11.)
14. All U.S. born progeny of those species authorized by this permit are subject to the MMPA and shall be reported as a result of research authorized under Permit No. 1076-1789 upon their birth. All progeny not listed under the ESA shall subsequently be considered public display animals and maintained in the inventory collection of the holder¹ (see Reporting Condition C.3.). Any progeny of species listed under the ESA may only be held in the U.S. under a scientific research and/or enhancement permit.

¹ Holder means any person or entity who has legal custody of a captive marine mammal.

C. Reporting Conditions

1. Annual Reports: Each year the permit is valid, the Permit Holder must submit an annual report for the reporting period of March 1 through February 28, due by May 31 of each year, describing the specific activities that have been conducted. The annual report must be both tabular and narrative in nature. An example blank table is amended to the permit.
 - a) The annual report must include, in tabular form:
 1. The date and type of action (e.g., import, export, transfer);
 2. Species (common and scientific name);
 3. Description (including age and sex of animal, if determined), number of parts, date and circumstances of animal death if known;
 4. Country of origin and authorizing government agency;
 5. Sample origination (e.g., stranding, commercial fishing); date, location, and method of original sample collection;
 6. Disposition of any marine mammal parts, including an identification of the part as required by 50 CFR 216.37(a)(4) and the manner of disposition; and
 7. A description of how that part is being used, including disposition that involves a transfer to another institution.
 - b) The annual report must also include, in narrative form:
 1. How the results of this reporting period demonstrate the accomplishments of your research goal and, if applicable, how such goals pertain to the species conservation plan;
 2. An updated list of all Co-Investigators for each cooperating member facility including any deletions or additions. Additional CIs should be added in accordance with Condition E.1.b.;
 3. A list of any offspring resulting from this research during the year and the identifiers (e.g., NOAA ID Nos. for U.S. source marine mammals under NMFS jurisdiction) of the sire and dam; and

4. A description of when or if any results have been published or otherwise made public during the reporting period.
2. Final Report: A final report shall be submitted within 180 days of the expiration date of this permit (August 31, 2012). The final report shall include information requested in number 1 above, and:
 - a) A summary of research objectives and results of research as it relates to the objectives; and
 - b) An indication of where and when the research results will be published.
 3. In the event of the birth of any progeny related to this research, including stillbirths, the appropriate holder must notify the NMFS within 30 days by submitting a Marine Mammal Data Sheet (enclosed) for the animal indicating that the birth was the result of Permit No.1076-1789.
 4. All reports and any papers or manuscripts published as a result of the research authorized herein must include a credit, acknowledgment, or caption indicating that the research was conducted under NMFS Permit No. 1076-1789 under the authority of the MMPA and ESA.
 5. All reports and any papers or manuscripts published as a result of the research authorized herein, shall be submitted to the Chief, Permits, Conservation and Education Division, Office of Protected Resources, NMFS, U.S. Department of Commerce, 1315 East-West Hwy., Silver Spring, Maryland 20910.
- D. USFWS Special Conditions: This permit does not authorize takes of USFWS species at this time and this part is reserved.
- E. General Conditions
1. The Permit Holder has ultimate responsibility for all activities of any individual who is operating under the authority of the permit. The Principal Investigator (PI) has direct supervision over the conduct of the research.
 - a) Restrictions: Only the PI and CI's may conduct the research activities authorized by this permit.
 - b) The Permit Holder or PI may add one or more CI(s) to this permit, but must submit a CI designation request to the Chief, Permits, Conservation and Education Division, Office of Protected Resources. The request must include the individuals' resume, curriculum vitae, or bio-sketch, and duty(s) to be performed. Approval by NMFS is based on the individual's

qualifications to perform the requested activity(s). To expedite this process, the letter and CV may be submitted by facsimile (301-427-2521) followed by mail confirmation. Research may commence upon review by NMFS and letter of authorization from the NMFS Chief of Permits, Conservation and Education Division.

2. Individuals conducting activities authorized under the permit must possess qualifications commensurate with their duties and responsibilities, or must be under the direct supervision of a person with such qualifications.
3. Persons who require state or Federal licenses to conduct activities authorized under the permit must be duly licensed when undertaking such activities.
4. Special exception permits are not transferable or assignable to any other person, and a Permit Holder may not require any direct or indirect compensation from another person in return for requesting authorization for such person to conduct the take, import, or export activities authorized under this permit.
5. The Permit Holder, PI, or CI(s) shall possess a copy of the permit when engaged in a permitted activity, when the marine mammal part is in transit incidental to such activity, and whenever marine mammal parts are in the possession of the Permit Holder, PI, or CI. A copy of the permit shall be affixed to any container, package, enclosure, or other means of containment, in which the marine mammal parts are placed for purposes of transit. For marine mammal parts in storage, a copy of the permit shall be kept on file in the storage facility.
6. Inspection: Upon request of NMFS' enforcement agents or personnel designated by the Director, Office of Protected Resources, the Permit Holder, PI and/or CI(s) must make available for inspection: records; facilities; marine mammals; marine mammal parts; copies of photographs, motion picture films, and/or video tapes; and any other information related to any inspection of records associated with this permit.
7. Permit Amendments: The Director, Office of Protected Resources, NMFS, may amend the provisions of this permit in response to or independent of a request from the Permit Holder.
8. NMFS shall be the sole arbiter of whether a given activity is within the scope and bounds of the authorization granted in this permit. The Permit Holder is on notice that if the Permit Holder is unsure whether an activity is within the scope of the permit, the Permit Holder should contact the NMFS Permits, Conservation and Education Division for verification before conducting the activity. Failure to verify, where NMFS subsequently determines that the activity was outside the

scope of the permit, may be used as evidence of a violation of the permit, the MMPA, and the ESA in any enforcement actions.

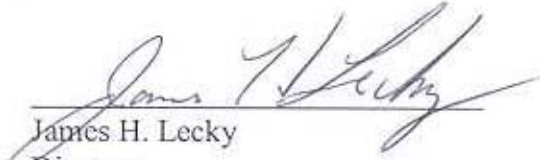
- 9. Any falsification of information pertaining to the permitted activities, including information provided to NOAA/NMFS personnel will be considered a violation of the permit.
- 10. The Permit Holder and Principal Investigator, in signing this permit, will be considered to have accepted and to be prepared to comply with the provisions of this permit, applicable Regulations (50 CFR parts 216 and 222-226), and the MMPA and ESA.

F. Penalties and Permit Sanctions

- 1. Any person who violates any provision of this permit is subject to civil and criminal penalties, permit sanctions and forfeiture as authorized under MMPA, ESA, and 15 CFR part 904.
- 2. All permits are subject to suspension, revocation, modification and denial in accordance with the provisions of subpart D of 15 CFR part 904.

Date: MAR 13 2007

FILE COPY



James H. Lecky

Director
Office of Protected Resources
National Marine Fisheries Service

Date: 4-2-2007

Date: 03-19-07



Marilee Menard

Responsible Party
Alliance of Marine Mammal Parks and Aquariums



Kristi West, Ph.D.

Principal Investigator
University of Hawaii at Manoa

Attachment 1: NATIONAL MARINE FISHERIES SERVICE STRANDING COORDINATORS
<http://www.nmfs.noaa.gov/pr/health/coordinators.htm>

HEADQUARTERS COORDINATOR:

Drs. Teri Rowles & Janet Whaley, National Coordinators
Office of Protected Resources
Marine Mammal Division, F/PR2
National Marine Fisheries Service, NOAA
1315 East-West Highway
Silver Spring, MD 20910-3226
Phone (301) 713-2322; Fax (301) 427-2522

REGIONAL COORDINATORS:

AK	Aleria Jensen, Alaska Region National Marine Fisheries Service, NOAA P.O. Box 21668 Juneau, AK 99802-1668 Phone (907) 586-7248; Fax (907) 586-7012
CT, DE, IL, IN, ME, MD, MA, MI, MN, NH, NJ, NY, OH, PA, RI, VT, VA, WV, WI	Mendy Garron, Northeast Region National Marine Fisheries Service, NOAA One Blackburn Drive Gloucester MA 01930 Phone (978) 281-9300 x6528; Fax (978) 281-9394
CO, ID, MT, ND, OR, SD, UT, WA, WY	Brent Norberg, Northwest Region National Marine Fisheries Service, NOAA 7600 Sand Point Way, NE, BIN C15700, Bldg. 1 Seattle, WA 98115-0070 Phone (206) 526-6733; Fax (206) 526-6736
AL, AR, FL, GA, IA, KS, KY, LA, MS, MO, NC, NE, OK, PR, SC TN, TX, VI, NM	Blair Mase-Guthrie, Southeast Region National Marine Fisheries Service, NOAA 75 Virginia Beach Dr. Miami, FL 33149 Phone (305) 361-4586; Fax (305) 361-1462
AZ, CA, NV	Joseph Cordero, Southwest Region National Marine Fisheries Service, NOAA 501 West Ocean Blvd., Suite 4200 Long Beach, CA 90802-4213 Phone (562) 980-4017; Fax (562) 980-4027
HI, Guam, American Samoa Northern Mariana Islands	David Schofield, Pacific Islands Region 1601 Kapiolani Blvd., Rm 1110 Honolulu, HI 96814 Phone (808) 944-2269; Fax (808) 973-2941

Attachment 2: NATIONAL MARINE FISHERIES SERVICE SCIENCE CENTERS

<p>Alaska Fisheries Science Center National Marine Fisheries Service 7600 Sand Point Way NE PO Box 15700 Seattle, WA 98115</p> <p>Phone (206) 526-4000 Fax (206) 526-4004</p>	<p>Northwest Fisheries Science Center National Marine Fisheries Service 2725 Montlake Blvd. E, West Bldg., Rm. 363 Seattle, WA 98112</p> <p>Phone (206) 860-6795 Fax (206) 860-3217</p>
<p>Southwest Fisheries Science Center National Marine Fisheries Service 8640 La Jolla Shores Drive La Jolla, CA 92037</p> <p>Phone (858) 546-7067 Fax (858) 546-5655</p>	<p>Northeast Fisheries Science Center National Marine Fisheries Service 166 Water Street, Rm. 312 Woods Hole, MA 02543</p> <p>Phone (508) 495-2233 Fax (508) 495-2232</p>
<p>Southeast Fisheries Science Center National Marine Fisheries Service 75 Virginia Beach Dr., Rm. 207 Miami, FL 33149</p> <p>Phone (305) 361-4285 Fax (305) 361-4219</p>	<p>Pacific Islands Fisheries Science Center National Marine Fisheries Service 2570 Dole Street Honolulu, HI 96822</p> <p>Phone (808) 983-5303 Fax (808) 983-2902</p>

Attachment 3: U.S. Fish and Wildlife Service Wildlife Inspectors

Wildlife, including parts and products, must be imported/exported through one of the designated ports listed below. **Please call the Designated Port or the FWS Law Enforcement Regional office nearest your location (see reverse), to determine port availability, possible clearance and inspection costs, and other requirements.** Under special circumstances, other ports referred to as non-designated ports may be used if authorized by Law Enforcement under a valid Designated Port Exception permit. For more information contact the FWS Law Enforcement Regional office or see the information at http://www.le.fws.gov/Contact_Info_Ports.htm. A Wildlife Declaration Form 3-177 (obtained at the port or at http://www.le.fws.gov/Info_Importers_Exporters.htm) must be filed with the FWS inspector at the time of importation/exportation.

DESIGNATED PORTS	
U.S. Fish and Wildlife Service, Division of Law Enforcement	
Anchorage - <u>Designated</u> P.O. Box 190045 Anchorage, Alaska, USA 99519 Phone: (907)271-6198 Fax: (907)271-6199	Los Angeles - <u>Designated</u> 370 Amapola Ave. #114 Torrance, California 90501 Phone: (310)328-6307 Fax: (310)328-6399
Atlanta - <u>Designated</u> P.O. Box 45287 Atlanta, Georgia 30320 Phone: (404)763-7959 Fax: (404)763-7560	Memphis - <u>Designated</u> University of Memphis- South Camp Memphis, Tennessee 38512 Phone: (901)360-7007 Fax: (901)360-7010
Baltimore - <u>Designated</u> 40 S. Gay Street, #223 Baltimore, Maryland 21202 Phone: (410)865-2127 Fax: (410)865-2129	Miami - <u>Designated</u> 10426 N.W. 31 st Terrace Miami, Florida 33172 Phone: (305)526-2610 Fax: (305)526-2695
Boston - <u>Designated</u> 70 Everett Avenue, Suite 315 Chelsea , Massachusetts 02150 Phone: (617)892-6616 Fax: (617)889-1980	New Orleans - <u>Designated</u> 2424 Edenborn, Room 100 Metairie, Louisiana 70001 Phone: (504)219-8870 Fax: (504)219-8868
Chicago - <u>Designated</u> Wildlife Inspection Program P.O. Box 66726 Chicago, Illinois 60666-0726 Phone: (773)894-2910 Fax: (773)894-2916	Newark - <u>Designated</u> 1210 Corbin St. SeaLand Bldg., 2 nd Fl. Elizabeth, New Jersey 07201 Phone: (973)645-6171 Fax: (973)645-6533
Dallas/Ft. Worth - <u>Designated</u> 1717 West 23 rd , Suite 104 DFW Airport, Texas 75261 Phone: (972)574-3254 Fax: (972)574-4669	New York - <u>Designated</u> 70 E. Sunrise Hwy. #419 Valley Stream, New York 11580 Phone: (516)825-3950 Fax: (516)825-1929 - Inspectors Fax: (516)825-3597 - Special Agents
Houston - <u>Designated</u> 16639 W. Hardy Houston, Texas 77060-6230 Phone: (281) 446-1284 Fax: (281)540-0357	Portland - <u>Designated</u> 7000 NE Airport Way, Rm. C2732 Portland, Oregon 97238 Phone: (503)231-6135 Fax: (503)231-6133
Honolulu - <u>Designated</u>	San Francisco - <u>Designated</u>

3375 Koapaka St., #F275 Honolulu, Hawaii 96819 Phone: (808)861-8525 Fax: (808)861-8515	1633 Old Bayshore Hwy., Ste. 248 Burlingame, California 94010 Phone: (650)876-9078 Fax: (650)876-9701
Louisville - <u>Designated</u> 601 Broadway, Suite 115-A Louisville, Kentucky 40202 Phone: (502)582-5989 Fax: (502)582-5981	Seattle - <u>Designated</u> 2580 South 156 th Street Seattle, Washington 98158 Phone: (206)764-3463 Fax: (206)764-3485

Attachment 4. Facilities/Co-investigators authorized to receive, import and/or export marine mammal samples.

1. Brookfield Zoo/Chicago Zoological Society – Rita Stacey Vondra, MS
2. Dolphin Connection – Doug Messinger
3. Dolphin Discovery Cozumel - Renato Lenzi, Ph.D. (Mexico)
4. Dolphin Encounters Ltd. – Kim Terrell (The Bahamas)
5. UNEXSO Dolphin Experience – Veronica Cuccurullo, BS (The Bahamas)
6. Dolphin Quest Bermuda – Jay Sweeney, V.M.D. (Bermuda)
7. Moorea Dolphin Center/Dolphin Quest French Polynesia – Cecile Gaspar, D.V.M. (French Polynesia)
8. Dolphin Quest Hawaii – Jay Sweeney, V.M.D.
9. Dolphin Quest Oahu – Jay Sweeney, V.M.D.
10. Dolphin Research Center – Jayne Shannon
11. Gulf World – Kevin Walsh
12. Dolfinarium Harderwijk Holland - Jan Mosterd (Holland)
13. Hubbs SeaWorld Research Institute – Pamela Yochem, DVM
14. Indianapolis Zoo – Dave Merritt, BA
15. Jardim Zoológico e de Aclimação em Portugal/Lisbon Zoo – Arlete Sogorb, D.V.M. (Portugal)
16. Disney’s Living Seas – Michael Stamper, D.V.M.
17. Miami Seaquarium – Robert Rose, BS
18. Minnesota Zoological Gardens – Diane Fusco, BS
19. Mystic Aquarium – Dr. Tracy Romano, Ph.D.
20. New York Aquarium/Wildlife Conservation Society – David DeNardo, BS
21. Ocean Park – Suzanne Gendron, BA (Hong Kong)
22. Point Defiance Zoo and Aquarium – John Rupp, BS, MS
23. Sea Life Park Hawaii – Michael Moraco
24. Shedd Aquarium – Dr. Jeff Boehm, D.V.M.
25. Six Flags Marine World – Dave Blasko
26. Tampereen Sarkanniemi Oy – Kai Mattsson (Finland)
27. Theater of the Sea – Beverley Osborne, BS
28. Vancouver Aquarium – Lance Barrett-Lennard, Ph.D. (Canada)
29. Virginia Aquarium – Mark Swingle, BS, MS
30. Mundo Aquático SA - Zoomarine – Elio Vicente, Ms.C (Portugal)

Attachment 5: 50 CFR §216.37 Marine mammal parts

With respect to marine mammal parts acquired by take or import authorized under a permit issued under this subpart:

(a) Marine mammal parts are transferrable if:

- (1) The person transferring the part receives no remuneration of any kind for the marine mammal part;
- (2) The person receiving the marine mammal part is:
 - (i) An employee of NMFS, the U.S. Fish and Wildlife Service, or any other governmental agency with conservation and management responsibilities, who receives the part in the course of their official duties;
 - (ii) A holder of a special exception permit which authorizes the take, import, or other activity involving the possession of a marine mammal part of the same species as the subject part; or
 - (iii) In the case of marine mammal parts from a species that is not depleted, endangered or threatened, a person who is authorized under section 112(c) of the MMPA and subpart C of this part to take or import marine mammals or marine mammal parts;
 - (iv) Any other person specifically authorized by the Regional Director, consistent with the requirements of paragraphs (a)(1) and (a)(3) through (6) of this section.
- (3) The marine mammal part is transferred for the purpose of scientific research, maintenance in a properly curated, professionally accredited scientific collection, or education, provided that, for transfers for educational purposes, the recipient is a museum, educational institution or equivalent that will ensure that the part is available to the public as part of an educational program;
- (4) A unique number assigned by the permit holder is marked on or affixed to the marine mammal part or container;
- (5) The person receiving the marine mammal part agrees that, as a condition of receipt, subsequent transfers may only occur subject to the provisions of paragraph (a) of this section; and
- (6) Within 30 days after the transfer, the person transferring the marine mammal part notifies the Regional Director of the transfer, including a description of the part, the person to whom the part was transferred, the purpose of the transfer, certification that the recipient has agreed to comply with the requirements of paragraph (a) of this section for subsequent transfers, and, if applicable, the recipient's permit number.

(b) Marine mammal parts may be loaned to another person for a purpose described in paragraph (a)(3) of this section and without the agreement and notification required under paragraphs (a)(5) and (6) of this section, if:

- (1) A record of the loan is maintained; and
- (2) The loan is for not more than one year. Loans for a period greater than 12 months, including loan extensions or renewals, require notification of the Regional Director under paragraph (a)(6).

(c) Unless other disposition is specified in the permit, a holder of a special exception permit may retain marine mammal parts not destroyed or otherwise disposed of during or after a scientific research or enhancement activity, if such marine mammal parts are:

- (1) Maintained as part of a properly curated, professionally accredited collection; or
- (2) Made available for purposes of scientific research or enhancement at the request of the Office Director.

(d) Marine mammal parts may be exported and subsequently reimported by a permit holder or subsequent authorized recipient, for the purpose of scientific research, maintenance in a properly curated, professionally accredited scientific collection, or education, provided that:

- (1) The permit holder or other person receives no remuneration for the marine mammal part;
- (2) A unique number assigned by the permit holder is marked on or affixed to the marine mammal specimen or container;
- (3) The marine mammal part is exported or reimported in compliance with all applicable domestic and foreign laws;
- (4) If exported or reimported for educational purposes, the recipient is a museum, educational institution, or equivalent that will ensure that the part is available to the public as part of an educational program; and
- (5) Special reports are submitted within 30 days after both export and reimport as required by the Office Director under §216.38.

