## DEPARTMENT OF HEALTH AND HUMAN SERVICES FOOD AND DRUG ADMINISTRATION

## PROCESSING IN STEAM IN STILL RETORTS

(Retort Survey)

## **INSTRUCTIONS**

Complete the question blocks below. Narrative responses to each item can be entered in the item's "comments" area or where otherwise prompted. Draw a diagram of the retort or obtain one from the firm and attach it to the EIR as an exhibit. Report all pipe sizes as inside diameter (ID). Cross-sectional area =  $3.14r^2$  (r =  $\frac{1}{2}$  diameter).

If problems are found with the firm's retort equipment or processing system, refer the reader to the narrative Turbo EIR under "Objectionable Conditions and Management's Response," and include a narrative explanation of specific problems and evidence under the subheading "Supporting Evidence and Relevance." Submit the completed form as an EIR attachment.

RETORT DESCRIPTION				
RETORT NO.	TYPE OF RETORT  Vertical Horizontal Vertical (Crateless)	LENGTH OR HEIGHT	DIAMETER	
FOR VERTICAL RETORTS, E (SHALL REQUIREMENT) COMMENTS:	BOTTOM CRATE SUPPORTS ARE PR	ESENT.	Yes  No	
(SHALL NOT BE USED IN TI	ENT IN THE BOTTOM OF RETORT? HE BOTTOM OF STEAM STILL RETO EAM RETORTS BECAUSE THEY CAN RIBUTOR PIPES.)	ORTS (113.40(a)(6)) – BAFFLE PL	ATES ARE UNDESIRABLE IN	
ARE THERE ANY PROTRUSIONS INSIDE THE RETORT OR THE RETORT DOOR CASING WHICH COULD DAMAGE CONTAINERS DURING LOADING/UNLOADING OF CRATES?				
	COMPUTER	CONTROLS		
DOES A COMPUTER CONTR	ROL ANY OF THE RETORT FUNCTIO	NS?	Yes No 🗌	
DOES THE FIRM HAVE DOC	UMENTATION ON HAND THAT INDIC	TATES THAT THE COMPUTER SY	'STEM HAS BEEN VALIDATED? Yes ☐ No ☐	
	OF THE COMPUTER FUNCTION? KEEPING COMPLY WITH 21CFR PA			

COMMENTS:
INDICATING MERCURY-IN-GLASS THERMOMETER (113.40(a)(1))
IS THE RETORT EQUIPPED WITH AT LEAST ONE MERCURY-IN-GLASS (MIG) THERMOMETER?
IS THE RETORT EQUIPPED WITH ANOTHER TYPE OF TEMPERATURE INDICATOR DEVICE?
ARE SCALE DIVISIONS EASILY READABLE TO 1°F (.5°C)?
NO. OF DEGREES F OR C/IN. OF GRADUATED SCALE: (TEMP. RANGE MUST NOT EXCEED 17°F(8°C) PER INCH (4°C/CM) OF GRADUATED SCALE. ALSO, SEE LACF GUIDE, P. 14.)  DATE LAST TESTED FOR ACCURACY:  (THERMOMETERS SHALL BE TESTED FOR ACCURACY AGAINST A KNOWN ACCURATE STANDARD THERMOMETER UPON INSTALLATION AND AT LEAST ONCE A YEAR THEREAFTER; RECORDS OF ACCURACY CHECKS THAT SPECIFY DATE, STANDARD USED, METHOD USED, AND PERSON PERFORMING THE TEST SHOULD BE MAINTAINED. EACH THERMOMETER SHOULD HAVE A TAG, SEAL, OR OTHER MEANS OF IDENTITY THAT INCLUDES THE DATE IT WAS LAST TESTED FOR ACCURACY.)  COMMENTS:
STANDARD USED FOR THE TEST:
NAME AND TITLE OF PERSON WHO PERFORMED TEST:
IS THE LAST TEST DATE IDENTIFIED ON THE THERMOMETER?
DESCRIBE THE FIRM'S ACTIONS REGARDING MIG THERMOMETERS THAT WERE OUT OF CALIBRATION:
IS THE MERCURY UNDIVIDED?

WHEN MIG THERMOMETERS ARE FOUND TO BE PROVIDING READINGS ABOVE THE ACTUAL TEMPERATURES, DOES THE FIRM EVALUATE PRODUCTS PRODUCED USING THOSE THERMOMETERS?			
DESCRIBE THE FIRM'S PROCEDURES:			
IS THE THERMOMETER LOCATED WHERE IT IS EASY TO READ ACCURATELY?			
(SHALL REQUIREMENT)			
COMMENTS:			
THE SENSOR BULB IS LOCATED IN THE			
COMMENTS:			
DIAMETER OF OPENING FROM RETORT TO EXTERNAL WELL: BLEEDER SIZE:			
(DIA. MUST BE AT LEAST 3/4-IN.) (1/16-IN. MINIMUM)			
COMMENTS:			
DOES THE BLEEDER EMIT STEAM CONTINUOUSLY DURING PROCESSING?			
IF NO, EXPLAIN (SHALL REQUIREMENT):			
IF A MUFFLER IS USED ON BLEEDER(S), WHAT EVIDENCE DOES THE FIRM HAVE THAT IT DOES NOT RESTRICT FREE			
FLOW OF STEAM? (113.87(g))			
IS THE MERCURY THERMOMETER USED AS THE REFERENCED INSTRUMENT DURING PROCESSING? Yes  \( \text{ No } \(  \)			
(SHALL REQUIREMENT)			
COMMENTS:			
TEMPERATURE RECORDING DEVICE (113.40(a)(2))			
IS THE RETORT EQUIPPED WITH A TEMPERATURE RECORDING DEVICE?			
TYPE OF TEMPERATURE RECORDERRound Circular Chart Strip Chart Other IF OTHER, DESCRIBE:			
ii Onien, beoonibe.			
DO THE CHART SPECIFICATIONS MEET THE REQUIREMENTS OF PART 113?Yes			
(GRADUATIONS ON THE TEMPERATURE-RECORDING DEVICE SHALL NOT EXCEED 2°F (1°C) WITHIN A RANGE OF 10°F			
(5.5°C) OF THE PROCESSING TEMPERATURE. EACH CHART SHALL HAVE A WORKING SCALE OF NOT MORE THAN 55°F/IN			
(12°C/CM) WITHIN A RANGE OF 20°F (10°C) OF THE PROCESSING TEMPERATURE. ALSO, SEE P. 14 OF LACF FIELD GUIDE- PART 2.)			
COMMENTS:			

SHALL REQUIREMENT – NOTE ANY DIFFERENCE BETWEEN THE RECORDING THERMOMETER AND THE MERCUR	No   Y-IN-
GLASS THERMOMETER AND WHICH READING IS HIGHER.)	
COMMENTS:	
S THERE A MEANS FOR PREVENTING UNAUTHORIZED ADJUSTMENTS?	No 🗌
'A MEANS OF PREVENTING UNAUTHORIZED CHANGES IN ADJUSTMENTS <u>SHALL</u> BE PROVIDED. A LOCK OR NOTION FROM MANAGEMENT STATING "ONLY AUTHORIZED PERSONS ARE PERMITTED TO MAKE ADJUSTMENTS" & POSTE NEAR THE RECORDING DEVICE IS A SATISFACTORY MEANS FOR PREVENTING UNAUTHORIZED CHANGES.) COMMENTS:	
S THE CHART DRIVE TIMING MECHANISM ACCURATE?	No 🗌
S THE RECORDER COMBINED WITH A STEAM CONTROLLER TO FUNCTION AS A RECORDING/CONTROLLING NSTRUMENT?	No 🗌
THE TEMPERATURE SENSING BULB IS INSTALLED IN THE	Well
DOES THE TEMPERATURE RECORDER BULB WELL HAVE A 1/16-IN. DIA. OR LARGER BLEEDER THAT EMITS STEAM CONTINUOUSLY DURING THE PROCESSING PERIOD?	N/A 🗌
F A MUFFLER IS USED ON THE BLEEDER, WHAT EVIDENCE DOES THE FIRM HAVE THAT IT DOES NOT RESTRICT T FLOW OF STEAM? (113.87(g))	HE.
SHOULD REQUIREMENT) COMMENTS:	
PRESSURE GAGE (113.40(a)(3))	
F A PRESSURE GAGE IS PRESENT, IS IT GRADUATED IN DIVISIONS OF 2 LBS. OR LESS?	No 🗌
AUTOMATIC STEAM CONTROLLER (113.40(a)(4))	
S THE STEAM CONTROLLER AUTOMATIC?	No 🗆

(EACH RETORT <u>SHALL</u> BE EQUIPPED WITH AN AUTOMATIC STEAM CONTROLLER TO MAINTAIN THE RETORT TEMPERATURE.)
COMMENTS:
IS THE STEAM CONTROLLER TEMPERATURE OR PRESSURE ACTUATED? Temp Press
(THE STEAM CONTROLLER MAY BE ACTUATED BY A TEMPERATURE SENSOR POSITIONED NEAR THE MERCURY-IN-GLASS THERMOMETER; A STEAM CONTROLLER ACTIVATED BY THE STEAM PRESSURE OF THE RETORT IS ACCEPTABLE IF IT IS CAREFULLY MAINTAINED SO IT OPERATES SATISFACTORILY.) COMMENTS:
COMMENTS.
REPORT THE MANUFACTURER, MODEL, TYPE AND SIZE OF THE AUTOMATIC STEAM CONTROL VALVE:
IF THE TEMPERATURE (STEAM) CONTROLLER IS AIR OPERATED, DOES THE SYSTEM HAVE AN ADEQUATE FILTER TO ASSURE A SUPPLY OF CLEAN, DRY AIR?
(AIR OPERATED TEMPERATURE CONTROLLERS SHOULD HAVE ADEQUATE FILTER SYSTEMS TO ASSURE A SUPPLY OF CLEAN, DRY AIR.) COMMENTS:
STEAM INLETS (113.40(a)(5))
IF THE RETORT IS OVER 30 FT. LONG, ARE THERE 2 STEAM INLETS?
IF NO, HOW MANY?
( <u>SHOULD</u> REQUIREMENT)
COMMENTS:
ARE STEAM INLETS LOCATED OPPOSITE THE VENT?
IF NO, EXPLAIN.
(STEAM SHALL ENTER THE PORTION OF THE RETORT OPPOSITE THE VENT.)
INSIDE DIAMETER(S) OF SMALLEST RESTRICTION IN THE STEAM INLET LINES (INCLUDE THE TEMPERATURE (STEAM) CONTROL VALVE AS A RESTRICTION):
CALCULATED CROSS SECTIONAL AREA OF SMALLEST RESTRICTION =
$(A = 3.14(r)^2)$
COMMENTS:
STEAM SPREADER (113.40(a)(7))
DESCRIBE SHAPE AND DIMENSIONS:

(NOTE - STEAM SPREADERS ARE REQUIRED FOR HORIZONTAL STILL RETORTS. THE SPREADER PIPE SHOULD BE PERFORATED ALONG THE TOP 90° OF THE PIPE. VERTICAL STILL RETORTS ARE NOT REQUIRED TO HAVE STEAM SPREADERS. HOWEVER, IF THEY HAVE THEM, THEY SHOULD BE PERFORATED ALONG THE CENTER LINE OF THE PIPE FACING THE INTERIOR OF THE RETORT OR ALONG THE SIDES OF THE PIPE.) COMMENTS: NUMBER OF PERFORATIONS: \_\_\_\_\_ DIAMETER OF PERFORATIONS: \_\_\_\_\_ LOCATION OF PERFORATIONS: \_\_\_ COMMENTS: No 🗌 IF NO, EXPLAIN: THE CALCULATED TOTAL CROSS-SECTIONAL AREA OF THE PERFORATIONS: \_\_\_ (NO. OF PERFORATIONS) X (3.14) X (r2) IS THIS AREA 1.5 TO 2 TIMES THE TOTAL CROSS SECTIONAL AREA OF THE SMALLEST RESTRICTIONS IN THE STEAM (THE NUMBER OF PERFORATIONS SHOULD BE SUCH THAT THE TOTAL CROSS-SECTIONAL AREA OF THE PERFORATIONS IS EQUAL TO 1.5 TO 2 TIMES THE CROSS-SECTIONAL AREA OF THE SMALLEST RESTRICTION IN THE STEAM INLET LINE.) IF THE TOTAL CROSS SECTIONAL AREA OF ALL PERFORATIONS IN THE STEAM SPREADER PIPE IS NOT 1-1/2 TO 2 TIMES THE CROSS-SECTIONAL AREA OF THE SMALLEST RESTRICTION IN THE STEAM INLET LINE, DOES THE FIRM HAVE DOCUMENTATION OF A TEMPERATURE DISTRIBUTION STUDY SUPPORTING THE EXISTING NUMBER AND SIZE OF PERFORATIONS IN THE SPREADER PIPE? ......Yes No 🗌 COMMENTS: IS THE STEAM SPREADER IN GOOD REPAIR? (FOR EXAMPLE, HOLES HAVE NOT BEEN PLUGGED BY RUST OR SEDIMENT. COMMENTS: **BLEEDERS** (113.40(a)(8)) NUMBER OF BLEEDERS: \_\_ LOCATION (INCLUDE DISTANCE BETWEEN BLEEDERS ON HORIZONTAL RETORTS): \_ COMMENTS:

IF NO, EXPLAIN (OR ANY OTHER COMMENTS):

(SHALL REQUIREMENT)

No 🗌

IF A MUFFLER IS USED OVER THE BLEEDERS, WHAT EVIDENCE DOES THE FIRM HAVE THAT IT DOES NOT RESTRICT FREE FLOW OF STEAM? (113.87(g))

(SHOULD REQUIREMENT)

## **BLEEDERS ON CRATELESS RETORTS**

FOR RETORTS HAVING A TOP STEAM INLET AND BOTTOM VENTING, A BLEEDER SHALL BE INSTALLED IN THE BOTTOM TO REMOVE CONDENSATE – 113.40(a)(8). IT IS RECOMMENDED THAT THERE BE 1 OR MORE 3/8-INCH OR LARGER CONDENSATE BLEEDERS AT THE BOTTOM OF THE RETORT. IN ADDITION, WHEN A FALSE BOTTOM (A PERFORATED STEEL PLATE) IS EMPLOYED, IT IS USEFUL TO HAVE A 1/8-INCH BLEEDER WITH ITS OPENING AT A POINT HIGHER THAN THE CONDENSATE BLEEDER AND JUST BELOW THE FALSE BOTTOM (SEE NFPA BUL 26-L, 13<sup>TH</sup> EDITION, P. 14 AND LACF FIELD GUIDE-PART 2, P. 26).

IN SOME SYSTEMS, A CONDENSATE TRAP MAY BE USED TO COLLECT CONDENSATE BUILDUP AT THE BOTTOM OF THE RETORT IN LIEU OF A STEAM CONDENSATE BLEEDER – IN THIS CASE, THE REMOVAL OF CONDENSATE MAY NOT BE VISIBLE, HOWEVER, A VISIBLE STEAM BLEEDER SHOULD BE LOCATED ABOVE THE CONDENSATE TRAP (JUST BELOW THE FALSE BOTTOM). THIS STEAM BLEEDER SHOULD EMIT ONLY STEAM AND NO CONDENSATE OR WATER DURING THERMAL PROCESSING.

IS THE RETORT EQUIPPED WITH A FALSE BOTTOM TO PREVENT CONTAINERS FROM CONTACTING CONDENSATE?  Yes No No
IF SO, IS CONDENSATE REMOVED BY A
WHAT IS THE DIAMETER OF THE STEAM CONDENSATE BLEEDER AND WHERE IS IT POSITIONED?
IS IT VISIBLE TO THE RETORT OPERATOR?
IF A STEAM TRAP IS USED, WHERE IS IT POSITIONED?
COMMENTS:
IS THE RETORT EQUIPPED WITH A STEAM BLEEDER(S) BETWEEN THE FALSE BOTTOM DOOR AND THE BOTTOM OF THE RETORT?
DOES THE OPERATOR OBSERVE A FREE FLOW OF STEAM FROM THIS BLEEDER PRIOR TO BEGINNING THE RETORT THERMAL PROCESS TIMING AND AT INTERVALS OF SUFFICIENT FREQUENCY DURING THE PROCESS? Yes No
ARE THESE OBSERVATIONS RECORDED AT THE TIME THEY ARE MADE?
IF A STEAM CONDENSATE BLEEDER(S) IS PRESENT, DOES THE OPERATOR ALSO OBSERVE THE FREE FLOW OF STEAM CONDENSATE FROM THIS BLEEDER JUST BEFORE AND DURING THE THERMAL PROCESS?
ARE THESE OBSERVATIONS RECORDED AT THE TIME THEY ARE MADE?
CONTINIENTS.
AIR OR WATER COOLING LINE VALVES (113.40(a)(10) to (11))
IS WATER OR COMPRESSED AIR USED DURING COOLING?

COMMENTS:
TYPE OF VALVE ON WATER COOLING LINES SUPPLYING RETORT:
WERE WATER LINES OBSERVED TO BE LEAKING?
TYPE OF VALVE ON THE AIR SUPPLY LINE TO THE RETORT:
WERE AIR LINES OBSERVED TO BE LEAKING?
VENTS (113.40(a)(12))
NUMBER OF VENTS:         SIZE(S) – DIAMETER:           LENGTH:
WHAT IS THE VALVE TYPE?
ARE VENTS FULLY OPEN DURING VENTING?
IS A STEAM BY-PASS VALVE USED DURING VENTING?
(NOTE – VENTING PROCEDURES AND ARRANGEMENTS MUST BE THE SAME AS USED DURING THE TEMPERATURE DISTRIBUTION STUDY THAT WAS CONDUCTED ON THE RETORT TO ESTABLISH THE VENT SCHEDULE.)
ARE VENTS LOCATED OPPOSITE THE STEAM INLET?
(VENTS SHALL BE LOCATED OPPOSITE STEAM INLET.)
IF VENTS ARE CONNECTED TO A RETORT MANIFOLD, WHAT IS THE MANIFOLD VALVE TYPE?
Gate ☐ Plug Cock ☐ Other ☐ IF OTHER, SPECIFY:
(WHERE A RETORT MANIFOLD CONNECTS SEVERAL VENT PIPES FROM A SINGLE RETORT, IT SHALL BE CONTROLLED BY A GATE, PLUG COCK OR OTHER ADEQUATE TYPE VALVE. (113.40(a)(12))
RETORT MANIFOLD DIAMETER AND CROSS-SECTIONAL AREA: DIA. = $A = $ (CROSS SEC. AREA = (3.14) $X$ ( $r^2$ ))

NUMBER OF VENTS CONNECTING TO MANIFOLD:	DIAMETER OF CONNECTING VENTS:
THE CROSS-SECTIONAL AREA OF ALL CONNECTING VENTS:	$(A = (NO. VENTS) X (3.14) X (r^2))$
IS THIS LARGER THAN THE CROSS-SECTIONAL AREA OF THE RE (THE RETORT MANIFOLD SHALL BE OF A SIZE THAT THE CROSS- TOTAL CROSS-SECTIONAL AREA OF ALL CONNECTING VENTS. (1 COMMENTS:	SECTIONAL AREA OF THE PIPE IS LARGER THAN THE
DOES THE VENT, RETORT MANIFOLD OR MANIFOLD HEADER BRI IF YES, WHERE? IF NO, EXPLAIN.	EAK TO THE ATMOSPHERE? Yes  No
(A MANIFOLD HEADER CONNECTING VENTS OR MANIFOLDS FRO ATMOSPHERE – 113.40(a) (12).)	OM SEVERAL STILL RETORTS SHALL LEAD TO THE
DIAMETER AND CROSS-SECTIONAL AREA OF MANIFOLD HEADER DIAMETER = AREA =	R (IF APPLICABLE):
DIAMETERS AND TOTAL CROSS-SECTIONAL AREA OF CONNECTION SIMULTANEOUSLY:  DIAMETERS =	PLDS)X(3.14)X(r²)) EQUAL TO THIS AREA?Yes \( \text{No} \) ESECTIONAL AREA IS AT LEAST EQUAL TO THE TOTAL
IS THERE A VALVE ON THE MANIFOLD HEADER?(THE MANIFOLD HEADER SHALL NOT BE CONTROLLED BY A VAL' COMMENTS:	
DO VENTING ARRANGEMENTS AND METHODS COMPLY WITH ONE  IF NO, DOES THE FIRM HAVE TEMPERATURE DISTRIBUTION DATA TESTS HAVE BEEN PERFORMED?  (113.40(a)(12)(III))  COMMENTS:	OR SUITABLE DOCUMENTATION THAT APPROPRIATE

IF VENTS ARE EQUIPPED WITH MUFFLERS, SPECIFY TYPE AND PERFORMANCE CHARACTERISTICS. WHAT EVIDENCE DOES THE FIRM HAVE THAT THE MUFFLER(S) ALLOWS ADEQUATE VENTING (SEE 113.87(g))?

DIVIDER PLATES AND RETORT BASKET – 113.40(a)(9)		
ARE DIVIDER PLATES USED TO SEPARATE CAN LAYERS?		
THE PLATES ARE UNIFORMLY PERFORATED?		
COMMENTS:		
ARE RETORT BASKETS UNIFORMLY PERFORATED?		
DO BASKET BOTTOMS HAVE AT LEAST 1-IN. HOLES ON 2-IN. CENTERS OR THE EQUIVALENT?		
DOES FIRM HAVE DOCUMENTATION ON FILE THAT PERMITS VENTING USING DIVIDER PLATES AND THE CURRENT BASKET DESIGN?		