U.S. Department of Education Office of Elementary and Secondary Education Academic Improvement and Teacher Quality Programs Washington, D.C. 202-6200

Fiscal Year 2008

Application for New Grants Under the Javits Gifted and Talented Program

CFDA 84.206A



Dated Material - Open Immediately

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If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Teresa Cahalan, Javits Gifted and Talented program, U.S. Department of Education, 400 Maryland Avenue, SW, 5W218, Washington D.C. 20202-8243.

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United States Department of Education

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION ACADEMIC IMPROVEMENT AND TEACHER QUALITY PROGRAMS

Dear Colleague:

Thank you for your interest in the Javits Gifted and Talented program, administered by the Office of Elementary and Secondary Education of the U.S. Department of Education. This information is for applicants seeking Fiscal Year (FY) 2008 funding under the Javits Gifted and Talented program, authorized under Title V, Part D, Subpart 6 of the Elementary and Secondary Education Act of 1965 (ESEA) (20 U.S.C. 7253), as amended by the No Child Left Behind Act of 2001 (P.L. 107-110). The purpose of this program is to carry out a coordinated program of scientifically based research, demonstration projects, innovative strategies, and similar activities designed to build and enhance the ability of elementary and secondary schools nationwide to meet the special educational needs of gifted and talented students.

Please take the time to review the applicable priorities, selection criteria, and all of the application instructions thoroughly. An application will not be evaluated for funding if the applicant does not comply with all of the procedural rules that govern the submission of the application or the application does not contain the information required under the program (EDGAR §75.216 (b) and (c)).

For this competition, the program has established a **new absolute priority** from the notice of final priority, requirements, and selection criteria for fiscal year (FY) 2008 and subsequent years' funds published in the Federal Register on April 21, 2008. Additional information can be found within the application package.

For this competition it is **mandatory** for applicants to use the new government-wide website, Grants.gov (http://www.grants.gov), to apply. Please note that the Grants.gov site works differently than the U.S. Department of Education's e-Application System. We strongly encourage you to familiarize yourself with Grants.gov and strongly recommend that you register early and submit early.

Using FY 2008 funds, the Department expects to award \$2,680,876.00 for new grants under this competition. We will award discretionary grants on a competitive basis for a project period of up to 60 months. Grants are expected to be awarded in August.

Please visit our program website at www.ed.gov/programs/javits for further information. If you have any questions about the program after reviewing the application package, please contact Teresa Cahalan at (202) 401-3947 (e-mail: jacobk.javits@ed.gov).

Joseph Conaty Director

Program Background Information

Frequently Asked Questions

Who is eligible to receive a grant?

State educational agencies, local educational agencies (LEAs), institutions of higher education, other public agencies, and private agencies and organizations, including Indian tribes and Indian organizations as defined by the Indian Self-Determination and Education Assistance Act, and Native Hawaiian organizations.

Note: Participation of Private School Children and Teachers

LEAs or other entities applying for the Jacob K. Javits Gifted and Talented Students Education Program must provide for the equitable participation of private school children and teachers in private nonprofit elementary and secondary schools, including the participation of teachers and other personnel in professional development programs serving such students, located in areas served by the grant recipient. In order to ensure that grant program activities address the needs of private school children, teachers, and other educational personnel, the LEA or other entity must engage in timely and meaningful consultation with private school officials during the design and development of the program. This consultation must take place before any decision is made that would affect the opportunities of eligible private school children, teachers, and other educational personnel to participate in the Jacob K. Javits Gifted and Talented Students Education Program.

What is the duration and maximum amount of the grant awards?

For a 60-month grant, an eligible entity may receive, \$300,000 to \$450,000. The actual size of awards would be based on a number of factors, including the scope, quality, and comprehensiveness of the proposed project, and the range of awards indicated in the application.

What priorities apply to this program?

There is one priority for this program: an absolute priority from the notice of final priority, requirements, and selection criteria for fiscal year (FY) 2008 and subsequent years' funds published in the Federal Register on April 21, 2008.

Absolute Priority. For new awards made using FY 2008 funds and any subsequent year in which we make awards from the list of unfunded applicants from this competition, this priority is an absolute priority. Under 34 CFR 75.105(c)(3) we consider only applications propose to "scale up" and evaluate models designed to increase the number of gifted and talented students from underrepresented groups who, through gifted and talented education programs, perform at high levels of academic achievement.

For this priority, "scaling up" means selecting a model designed to increase the number of gifted and talented students from underrepresented groups who, through gifted and talented education programs, perform at high levels of academic achievement that has demonstrated effectiveness on a small scale and expanding the model for use with gifted and talented students in broader settings (such as in multiple schools, grade levels, or districts, or in other educational settings) or with different populations of gifted and talented students (i.e., different populations of these students based on differences such as the socioeconomic, racial, ethnic, geographic, and linguistic backgrounds of the students and their families).

With regard to this priority, the term "underrepresented groups" includes economically disadvantaged individuals, individuals with limited English proficiency, and individuals with disabilities.

To meet this priority, applicants must include all of the following in their applications:

- (1) Evidence from one or more scientifically based research and evaluation studies indicating that the proposed model has raised the achievement of gifted and talented students from one or more underrepresented groups in one or more core subject areas.
- (2) Evidence from one or more scientifically based research and evaluation studies that the proposed model has resulted in the identification of and provision of services to increased numbers of gifted and talented students from underrepresented groups who participate in gifted and talented education programs.
- (3) Evidence that the applicant has significant expertise on its leadership team in each of the following areas: Gifted and talented education, research and program evaluation, content knowledge in one or more core academic subject areas, and working with underrepresented groups.
- (4) A sound plan for implementing the model in multiple settings or with multiple populations.
- (5) A research and evaluation plan that employs an experimental or quasi-experimental design to measure the impact of the model on the achievement of students in underrepresented groups, including students who are economically disadvantaged or limited English proficient, or who have disabilities, and on the number of these students who are identified as gifted and talented and served through gifted and talented programs.

Note: Evaluation methods using an experimental design are best for determining program effectiveness. Thus, when feasible, the project must use an experimental design under which participants (e.g., students, teachers, classrooms, or schools) are randomly assigned to participate in the project activities being evaluated or to a control group that does not participate in the project activities being evaluated.

If random assignment is not feasible, the project may use a quasi-experimental design with carefully matched comparison conditions. This alternative design attempts to approximate a randomly assigned control group by matching participants with non-participants that have similar characteristics before the model is implemented.

What selection criteria apply to this competition?

The maximum score for all selection criteria is 100 points. The points or weights assigned to each criterion are indicated in parentheses.

Need for the Project (10)

In determining the need for the proposed project, we will consider the extent to which specific gaps or weaknesses in services, infrastructure, or opportunities have been identified and will be addressed in the proposed project, including the nature and magnitude of those gaps or weaknesses.

Quality of the Project Design (25)

In determining the quality of the design of the proposed project, we will consider the extent to which--

- (1) The goals, objectives, and outcomes to be achieved by the proposed project are clearly specified and measurable;
- (2) The design of the proposed project is appropriate to, and will successfully address, the needs of the target population or other identified needs; and

(3) The proposed project represents an exceptional approach for meeting statutory purposes and requirements.

Quality of the Project Personnel (15)

In determining the quality of project personnel, we will consider the extent to which the applicant encourages applications for employment from persons who are members of groups that have traditionally been underrepresented based on race, color, national origin, gender, age, or disability. We will also consider the following—

- (1) The qualifications, including relevant training and experience, of the project director or prinicipal investigator; and
- (2) The qualifications, including relevant training and experience, of key project personnel.

Quality of the Management Plan (20)

In determining the quality of the management plan for the proposed project, we will consider the adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks.

Quality of the Project Evaluation (30)

In determining the quality of the project evaluation, we will consider the extent to which--

- (1) The methods of evaluation are thorough, feasible, and appropriate to the goals, objectives, and outcomes of the proposed project; and
- (2) The evaluation will provide guidance about effective strategies suitable for replication or testing in other settings.

What regulations apply to this program?

The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 79, 80, 81, 82, 84, 85, 97, 98, and 99 and the notice of final priority, requirements, and selection criteria published in the April 21, 2008, issue of the Federal Register.

Who should I contact for more information?

Please contact Teresa Cahalan at (202) 401-3947 or by e-mail at jacobk.javits@ed.gov

By when must applications be submitted?

Your application must be fully uploaded and submitted, and must be date/time stamped by the Grants.gov system no later than **4:30:00 p.m.**, Washington, DC time, on **June 5, 2008**.

Application Transmittal Instructions

Applications for grants under this competition <u>must</u> be submitted electronically, unless you qualify for an exception to the electronic submission requirement in accordance with the instructions from the Federal Register found in this application.

We will reject your application if you submit it in paper format unless, as described elsewhere in this application, you qualify for one of the exceptions to the electronic submission requirement and submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions.

Attention Electronic Applicants

Please note that you must follow the Application Procedures as described in the Federal Register notice announcing the grant competition.

This program requires the electronic submission of applications--specific requirements and instructions can be found in the Federal Register notice. Your application must be fully uploaded and submitted, and must be date/time stamped by the Grants.gov system no later than 4:30:00 p.m., Washington, DC time, on the application deadline date.

Applications Submitted Electronically

You must submit your grant application through the Internet using the software provided on the Grants.gov Web site (http://www.grants.gov) by **4:30:00 p.m.** (Washington, D.C. time) on the application deadline date. If you submit your application through the Internet via the Grants.gov Web site, you will receive an automatic acknowledgment when we receive your application.

For more information on using Grants.gov, please refer to the Notice Inviting Applications that was published in the <u>Federal Register</u>, the Grants.gov Submission Procedures and Tips document found in the application package instructions, and visit http://www.grants.gov.

Please note the following:

- You must attach any narrative sections of your application as files in a .DOC (document), .RTF (rich text), or .PDF (Portable Document) format. If you upload a file type other than the three file types specified in this paragraph or submit a password-protected file, we will not review that material.
- Your electronic application must comply with any page-limit requirements described in this application package.
- Grants.gov cannot process an application that includes two or more files that have the same name within a grant submission.
- When attaching files, applicants should limit the size of their file names. Lengthy file names could result in difficulties with opening and processing your application. We recommend your file names be less than 50 characters.

- The amount of time it can take to upload an application will vary depending on a variety of factors, including the size of the application and the speed of your Internet connection. Therefore, we strongly recommend that you do not wait until the application deadline date to begin the submission process through Grants.gov.
- If you are experiencing problems submitting your application through Grants.gov, please contact the Grants.gov Support Desk, toll free, at 1-800-518-4726. You must obtain a Grants.gov Support Desk Case Number and must keep a record of it.

According to the instructions found in the Federal Register notice, those <u>requesting and</u> <u>qualifying</u> for an Exception to the electronic submission requirement may submit an application via mail, commercial carrier or by hand delivery.

Applications Sent by Mail:

If you qualify for an exception to the electronic submission requirement, you may mail (through the U.S. Postal Service or a commercial carrier) your application to the Department. You must mail the original and two copies of your application, on or before the application deadline date, to the Department at the applicable following address:

By mail through the U.S. Postal Service:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.206A) 400 Maryland Avenue, SW. Washington, DC 20202-4260

<u>or</u>

By mail through a commercial carrier:

U.S. Department of Education Application Control Center – Stop 4260 Attention: (CFDA Number 84.206A) 7100 Old Landover Road Landover, MD 20785-1506

Regardless of which address you use, you must show proof of mailing consisting of one of the following:

- (1) A legibly dated U.S. Postal Service postmark,
- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service,
- (3) A dated shipping label, invoice, or receipt from a commercial carrier, or
- (4) Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education.

If you mail your application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

- (1) A private metered postmark, or
- (2) A mail receipt that is not dated by the U.S. Postal Service.

If your application is postmarked after the application deadline date, we will not consider your application.

Note: The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

Applications Delivered by Hand:

If you qualify for an exception to the electronic submission requirement, you (or a courier service) may deliver your paper application to the Department by hand. You must deliver the original and two copies of your application by hand, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.206A) 550 12th Street, SW. Room 7041, Potomac Center Plaza Washington, DC 20202-4260

The Application Control Center accepts hand deliveries daily between 8:00 a.m. and 4:30 p.m., Washington, DC time, except Saturdays, Sundays, and Federal holidays.

Note for Mail or Hand Delivery of Paper Applications: If you mail or hand deliver your application to the Department:

- (1) You must indicate on the envelope and-- if not provided by the Department-- in Item 11 of the SF 424 the CFDA number, including suffix letter, if any, of the competition under which you are submitting your application.
- (2) The Application Control Center will mail a grant application receipt acknowledgment to you. If you do not receive the grant application receipt acknowledgment within 15 business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

Education Submission Procedures and Tips for Applicants

Please note that the Grants.gov site works differently than the U.S. Department of Education's (Department) e-Application system. To facilitate your use of Grants.gov, this document includes important submission procedures you need to be aware of to ensure your application is received in a timely manner and accepted by the Department of Education.

- 1) **Register Early** Grants.gov registration may take five or more business days to complete. You may begin working on your application while completing the registration process, but you cannot submit an application until all of the Registration steps are complete. For detailed information on the Registration Steps, please go to: http://www.grants.gov/applicants/get_registered.jsp. [Note: Your organization will need to update its Central Contractor Registry (CCR) registration annually.]
- 1) Submit Early We strongly recommend that you do not wait until the last day to submit your application. Grants.gov will put a date/time stamp on your application and then process it after it is fully uploaded. The time it takes to upload an application will vary depending on a number of factors including the size of the application and the speed of your Internet connection, and the time it takes Grants.gov to process the application will vary as well. If Grants.gov rejects your application (see step three below), you will need to resubmit successfully before 4:30:00 pm on the deadline date.

Note: To submit successfully, you must provide the DUNS number on your application that was used when your organization registered with the CCR (Central Contractor Registry).

- 2) **Verify Submission is OK** You will want to verify that Grants.gov and the Department of Education receive your Grants.gov submission timely and that it was validated successfully. To see the date/time your application was received, login to Grants.gov and click on the Track My Application link.
 - For a successful submission, the date/time received should be earlier than 4:30:00 p.m. on the deadline date, *AND* the application status should be: Validated, Received by Agency, or Agency Tracking Number Assigned.
 - If the date/time received is later than 4:30:00 p.m. Washington, D.C. time, on the closing date, your application is late.
 - If your application has a status of "Received" it is still awaiting validation by Grants.gov. Once validation is complete, the status will either change to "Validated" or "Rejected with Errors."
 - If the status is "Rejected with Errors," your application has not been received successfully.

Some of the reasons Grants.gov may reject an application can be found on the Grants.gov site: http://www.grants.gov/applicants/applicant_faqs.jsp#54. For more detailed information on why an application may be rejected, you can review Application Error Tips http://www.grants.gov/section910/ApplicationErrorTips.pdf. If you discover your application is late or has been rejected, please see the instructions below.

Note: You will receive a series of confirmations both online and via email about the status of your application. Please do not rely solely on e-mail to confirm whether your application has been received timely and validated successfully.

Submission Problems - What should you do?

You **must** submit an electronic application before 4:30:00 p.m., unless you follow the procedures in the Federal Register notice and qualify for one of the exceptions to the electronic submission requirement <u>and</u> submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions. (See the Federal Register notice for detailed instructions.)

If you have problems submitting to Grants.gov before the closing date, please contact Grants.gov Customer Support at 1-800-518-4726 and obtain and keep a record of a Grants.gov Support Desk Case Number or use the customer support available on the Web site: http://www.grants.gov/applicants/applicant-help.jsp.

If electronic submission is <u>required</u>, you must submit an electronic application before 4:30:00 p.m., unless you follow the procedures in the Federal Register notice and qualify for one of the exceptions to the electronic submission requirement <u>and</u> submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions. (See the Federal Register notice for detailed instructions.)

Helpful Hints When Working with Grants.gov

Please note, once you download an application from Grants.gov, you will be working offline and saving data on your computer. Please be sure to note where you are saving the Grants.gov file on your computer. You will need to logon to Grants.gov to upload and submit the application. You must provide on your application the DUNS number that was used when your organization registered with the CCR.

Please go to http://www.grants.gov/applicants/applicant_help.jsp for help with Grants.gov. For additional tips related to submitting grant applications, please refer to the Grants.gov Submit Application FAQs found on the Grants.gov http://www.grants.gov/help/submit_application_faqs.jsp.

Dial-Up Internet Connections

When using a dial up connection to upload and submit your application, it can take significantly longer than when you are connected to the Internet with a high-speed connection, e.g. cable modem/DSL/T1. While times will vary depending upon the size of your application, it can take a few minutes to a few hours to complete your grant submission using a dial up connection. If you do not have access to a high-speed connection and electronic submission is required, you may want to consider following the instructions in the Federal Register notice to obtain an exception to the electronic submission requirement no later than two weeks before the application deadline date. (See the Federal Register notice for detailed instructions.)

MAC Users

If you do not have a Windows operating System, you will need to use the Citrix solution discussed on Grants.gov or a Windows Emulation program to submit an application using Grants.gov. For additional information, review the FAQs for non-windows users http://www.grants.gov/help/download_software.jsp-pureedge. Also, to view white paper for Macintosh users published by Pure Edge go to the following link: http://www.grants.gov/section678/PureEdgeSupportforMacintosh.pdf, and/or contact Grants.gov Customer Support (http://www.grants.gov/contactus/contactus.jsp) for more information. If electronic submission is required and you are concerned about your ability to submit electronically as a non-windows user, please follow instructions in the Federal Register notice to obtain an exception to the electronic submission requirement no later than two weeks before the application deadline date. (See the Federal Register notice for detailed instructions.)

Important Information for Microsoft Vista and Word Users

Please note that Grants.gov does <u>not</u> currently support the new Microsoft Vista Operating system. The PureEdge software used by Grants.gov for forms is not compatible with Vista. Grants.gov will be reviewing this new product to determine if it can be supported in the future.

In addition, the new version of Microsoft Word saves documents with the extension **.DOCX**. The Grants.gov system does not process Microsoft Word documents with the extension **.DOCX**. When submitting Microsoft Word attachments to Grants.gov, please use the version of Microsoft Word that ends in **.DOC**. If you have any questions regarding this matter please email the Grants.gov Contact Center at support@grants.gov or call 1-800-518-4726.

Attaching Files – Additional Tips

As described above applicants should not upload a Word 2007 (.DOCX) file when attaching narrative files to their application. In addition, please ensure that you only attach the Education approved file types detailed in the Federal Register application notice (.DOC, .PDF or .RTF).

Please note that Grants.gov cannot process an application that includes two or more files that have the same name within a grant submission. When attaching files, applicants should limit the size of their file names. Lengthy file names could result in difficulties with opening and processing your application. We recommend your file names be less than 50 characters.

Legal and Regulatory Information

Notice inviting applications for new awards using FY 2008 funds

4000-01-U

DEPARTMENT OF EDUCATION

Office of Elementary and Secondary Education

Overview Information

Jacob K. Javits Gifted and Talented Students Education Program

Notice inviting applications for new awards for fiscal year (FY) 2008.

Catalog of Federal Domestic Assistance (CFDA) Number: 84.206A.

Dates:

Applications Available: April 21, 2008.

Deadline for Transmittal of Applications: June 5, 2008. Deadline for Intergovernmental Review: August 4, 2008.

Full Text of Announcement

I. Funding Opportunity Description

<u>Purpose of Program</u>: The purpose of the Jacob K. Javits Gifted and Talented Students Education Program is to carry out a coordinated program of scientifically based research, demonstration projects, innovative strategies, and similar activities designed to build and enhance the ability of elementary and secondary schools nationwide to meet the special educational needs of gifted and talented students.

<u>Priority:</u> This priority is from the notice of final priority for this program, published elsewhere in this issue of the Federal Register.

<u>Absolute Priority:</u> For FY 2008 and any subsequent year in which we make awards from the list of unfunded applicants from this competition, this priority is an absolute priority. Under 34 CFR 75.105(c)(3) we consider only applications that meet this priority.

This priority is: Javits Demonstration Programs

Program Authority: 20 U.S.C. 7253 et seq.

<u>Applicable Regulations:</u> (a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99. (b) The notice of final priority, published elsewhere in this issue of the Federal Register.

Note: The regulations in 34 CFR part 79 apply to all applicants except federally recognized Indian tribes.

Note: The regulations in 34 CFR part 86 apply to institutions of higher education only.

II. Award Information

Type of Award: Discretionary grants.

<u>Estimated Available Funds</u>: \$2,646,000. Contingent upon the availability of funds and the quality of applications, we may make additional awards in FY 2009 from the list of unfunded applicants from this competition.

Estimated Range of Awards: \$300,000 - \$450,000.

Estimated Average Size of Awards: \$441,000.

Estimated Number of Awards: 6.

Note: The Department is not bound by any estimates in this notice.

Project Period: Up to 60 months.

III. Eligibility Information

1. <u>Eligible Applicants:</u> State educational agencies, local educational agencies (LEAs), institutions of higher education, other public agencies, and private agencies and organizations, including Indian tribes and Indian organizations as defined by the Indian Self-Determination and Education Assistance Act, and Native Hawaiian organizations.

Note: Participation of Private School Children and Teachers

LEAs or other entities applying for the Jacob K. Javits Gifted and Talented Students Education Program must provide for the equitable participation of private school children and teachers in private nonprofit elementary and secondary schools, including the participation of teachers and other personnel in professional development programs serving such students, located in areas served by the grant recipient. In order to ensure that grant program activities address the needs of private school children, teachers, and other educational personnel, the LEA or other entity must engage in timely and meaningful consultation with private school officials during the design and development of the program. This consultation must take place before any decision is made that would affect the opportunities of eligible private school children, teachers, and other educational personnel to participate in the Jacob K. Javits Gifted and Talented Students Education Program.

2. <u>Cost Sharing or Matching</u>: This program does not require cost sharing or matching.

IV. Application and Submission Information

1. Address to Request Application Package: You can obtain an application package via the Internet or from the Education Publications Center (ED Pubs). To obtain a copy via the Internet use the following addresses: http://www.grants.gov or http://www.ed.gov/programs/javits/applicant.html. To obtain a copy from ED Pubs, write, fax, or call the following: Education Publications Center, P.O. Box 1398, Jessup, MD 20794-1398. Telephone, toll free: 1-877-433-7827. FAX: (301) 470-1244. If you use a telecommunications device for the deaf (TDD), call, toll free: 1-877-576-7734.

You can contact ED Pubs at its Web site, also: www.ed.gov/pubs/edpubs.html or at its e-mail address: edpubs@inet.ed.gov

If you request an application from ED Pubs, be sure to identify this competition as follows: CFDA number 84.206A.

Individuals with disabilities can obtain a copy of the application package in an alternative format (e.g., Braille, large print, audiotape, or computer diskette) by contacting the program contact person listed in this section.

- 2. <u>Content and Form of Application Submission</u>: Requirements concerning the content of an application, together with the forms you must submit, are in the application package for this competition. Page Limit: The application narrative is where you, the applicant, address the selection criteria that reviewers use to evaluate your application. You must limit the application narrative to the equivalent of no more than 20 pages, using the following standards:
- A "page" is 8.5" x 11", on one side only, with 1" margins at the top, bottom, and both sides.
- Double space (no more than three lines per vertical inch) all text in the application narrative, except titles, headings, footnotes, quotations, references, captions, and all text in charts, tables, and graphs.
- Use one of the following fonts: Times New Roman, Courier, Courier New, or Arial. An application submitted in any other font (including Times Roman or Arial Narrow) will not be accepted.
- Use a font that is either 12-point or larger or no smaller than 10 pitch (characters per inch).
- Begin numbering at the right bottom of the first page in Arabic numerals ("1") and number the pages consecutively throughout the document.
- Include all critical information in the program narrative.

The page limit does not apply to the cover sheet; the budget section, including the narrative budget justification; the assurances and certifications; or the one-page abstract, the resumes, the bibliography, or the letters of support. However, the page limit does apply to all of the application narrative section.

We will reject your application if you exceed the page limit; or if you apply other standards and exceed the equivalent of the page limit.

3. Submission Dates and Times:

Applications Available: April 21, 2008.

Deadline for Transmittal of Applications: June 5, 2008.

Applications for grants under this competition must be submitted electronically using the Grants.gov Apply site (Grants.gov). For information (including dates and times) about how to submit your application electronically, or in paper format by mail or hand delivery if you qualify for an exception to the electronic submission requirement, please refer to section IV. 6. Other Submission Requirements in this notice.

We do not consider an application that does not comply with the deadline requirements.

Individuals with disabilities who need an accommodation or auxiliary aid in connection with the application process should contact the person listed under <u>For Further Information Contact</u> in section VII in this notice. If the Department provides an accommodation or auxiliary aid to an individual with a disability in connection with the application process, the individual's application remains subject to all other requirements and limitations in this notice.

Deadline for Intergovernmental Review: August 4, 2008.

- 4. <u>Intergovernmental Review</u>: This competition is subject to Executive Order 12372 and the regulations in 34 CFR part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this competition.
- 5. <u>Funding Restrictions</u>: We reference regulations outlining funding restrictions in the Applicable Regulations section in this notice.
- 6. Other Submission Requirements: Applications for grants under this competition must be submitted electronically unless you qualify for an exception to this requirement in accordance with the instructions in this section.
 - a. Electronic Submission of Applications.

Applications for grants under the Jacob K. Javits Gifted and Talented Students Education Program, CFDA Number 84.206A, must be submitted electronically using the Governmentwide Grants.gov Apply site at http://www.Grants.gov. Through this site, you will be able to download a copy of the application package, complete it offline, and then upload and submit your application. You may not e-mail an electronic copy of a grant application to us.

We will reject your application if you submit it in paper format unless, as described elsewhere in this section, you qualify for one of the exceptions to the electronic submission requirement and submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions. Further information regarding calculation of the date that is two weeks before the application deadline date is provided later in this section under Exception to Electronic Submission Requirement.

You may access the electronic grant application for the Jacob K. Javits Gifted and Talented Students Education Program at http://www.Grants.gov You must search for the downloadable application package for this competition by the CFDA number. Do not include the CFDA number's alpha suffix in your search (e.g., search for 84.206, not 84.206A).

Please note the following:

- When you enter the Grants.gov site, you will find information about submitting an application electronically through the site, as well as the hours of operation.
- Applications received by Grants.gov are date and time stamped. Your application must be fully uploaded and submitted and must be date and time stamped by the Grants.gov system no later than 4:30:00

p.m., Washington, DC time, on the application deadline date. Except as otherwise noted in this section, we will not accept your application if it is received--that is, date and time stamped by the Grants.gov system after 4:30:00 p.m., Washington, DC time, on the application deadline date. We do not consider an application that does not comply with the deadline requirements. When we retrieve your application from Grants.gov, we will notify you if we are rejecting your application because it was date and time stamped by the Grants.gov system after 4:30:00 p.m., Washington, DC time, on the application deadline date.

- The amount of time it can take to upload an application will vary depending on a variety of factors, including the size of the application and the speed of your Internet connection. Therefore, we strongly recommend that you do not wait until the application deadline date to begin the submission process through Grants.gov.
- You should review and follow the Education Submission Procedures for submitting an application through Grants.gov that are included in the application package for this program to ensure that you submit your application in a timely manner to the Grants.gov system. You can also find the Education Submission Procedures pertaining to Grants.gov at http://e-Grants.ed.gov/help/GrantsgovSubmissionProcedures.pdf
- To submit your application via Grants.gov, you must complete all steps in the Grants.gov registration process (see http://www.grants.gov/applicants/get_registered.jsp). These steps include (1) registering your organization, a multi-part process that includes registration with the Central Contractor Registry (CCR); (2) registering yourself as an Authorized Organization Representative (AOR); and (3) getting authorized as an AOR by your organization. Details on these steps are outlined in the Grants.gov 3-Step Registration Guide (see http://www.grants.gov/section910/Grants.govRegistrationBrochure.pdf). You also must provide on your application the same D-U-N-S Number used with this registration. Please note that the registration process may take five or more business days to complete, and you must have completed all registration steps to allow you to submit successfully an application via Grants.gov. In addition you will need to update your CCR registration on an annual basis. This may take three or more business days to complete.
- You will not receive additional point value because you submit your application in electronic format, nor will we penalize you if you qualify for an exception to the electronic submission requirement, as described elsewhere in this section, and submit your application in paper format.
- You must submit all documents electronically, including all information you typically provide on the following forms: Application for Federal Assistance (SF 424), the Department of Education Supplemental Information for SF 424, Budget Information--Non-Construction Programs (ED 524), and all necessary assurances and certifications. Please note that two of these forms--the SF 424 and the Department of Education Supplemental Information for SF 424--have replaced the ED 424 (Application for Federal Education Assistance).
- You must attach any narrative sections of your application as files in a .DOC (document), .RTF (rich text), or .PDF (Portable Document) format. If you upload a file type other than the three file types specified in this paragraph or submit a password-protected file, we will not review that material.
- Your electronic application must comply with any page-limit requirements described in this notice.
- After you electronically submit your application, you will receive from Grants.gov an automatic notification of receipt that contains a Grants.gov tracking number. (This notification indicates receipt by Grants.gov only, not receipt by the Department.) The Department then will retrieve your application from Grants.gov and send a second notification to you by e-mail. This second notification indicates that the Department has received your application and has assigned your application a PR/Award number (an ED-specified identifying number unique to your application).
- We may request that you provide us original signatures on forms at a later date.

 <u>Application Deadline Date Extension in Case of Technical Issues with the Grants.gov System:</u> If you are experiencing problems submitting your application through Grants.gov, please contact the Grants.gov Support

Desk, toll free, at 1-800-518-4726. You must obtain a Grants.gov Support Desk Case Number and must keep a record of it.

If you are prevented from electronically submitting your application on the application deadline date because of technical problems with the Grants.gov system, we will grant you an extension until 4:30:00 p.m., Washington, DC time, the following business day to enable you to transmit your application electronically or by hand delivery. You also may mail your application by following the mailing instructions described elsewhere in this notice.

If you submit an application after 4:30:00 p.m., Washington, DC time, on the application deadline date, please contact the person listed under <u>For Further Information Contact</u> in section VII in this notice and provide an explanation of the technical problem you experienced with Grants.gov, along with the Grants.gov Support Desk Case Number. We will accept your application if we can confirm that a technical problem occurred with the Grants.gov system and that that problem affected your ability to submit your application by 4:30:00 p.m., Washington, DC time, on the application deadline date. The Department will contact you after a determination is made on whether your application will be accepted.

<u>Note:</u> The extensions to which we refer in this section apply only to the unavailability of, or technical problems with, the Grants.gov system. We will not grant you an extension if you failed to fully register to submit your application to Grants.gov before the application deadline date and time or if the technical problem you experienced is unrelated to the Grants.gov system.

<u>Exception to Electronic Submission Requirement</u>: You qualify for an exception to the electronic submission requirement, and may submit your application in paper format, if you are unable to submit an application through the Grants.gov system because--

- You do not have access to the Internet; or
- You do not have the capacity to upload large documents to the Grants.gov system; and
- No later than two weeks before the application deadline date (14 calendar days or, if the fourteenth calendar day before the application deadline date falls on a Federal holiday, the next business day following the Federal holiday), you mail or fax a written statement to the Department, explaining which of the two grounds for an exception prevent you from using the Internet to submit your application.

If you mail your written statement to the Department, it must be postmarked no later than two weeks before the application deadline date. If you fax your written statement to the Department, we must receive the faxed statement no later than two weeks before the application deadline date.

Address and mail or fax your statement to: Teresa Cahalan, U.S. Department of Education, 400 Maryland Avenue, SW., room 5W218, Washington, DC 20202-8243. FAX: (202) 401-0220.

Your paper application must be submitted in accordance with the mail or hand delivery instructions described in this notice.

b. Submission of Paper Applications by Mail.

If you qualify for an exception to the electronic submission requirement, you may mail (through the U.S. Postal Service or a commercial carrier) your application to the Department. You must mail the original and two copies of your application, on or before the application deadline date, to the Department at the applicable following address:

By mail through the U.S. Postal Service:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.206A) 400 Maryland Avenue, SW. Washington, DC 20202-4260

By mail through a commercial carrier:

U.S. Department of Education

Application Control Center, Stop 4260

Attention: (CFDA Number 84.206A)

7100 Old Landover Road

Landover, MD 20785-1506

Regardless of which address you use, you must show proof of mailing consisting of one of the following:

- (1) A legibly dated U.S. Postal Service postmark.
- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.
- (3) A dated shipping label, invoice, or receipt from a commercial carrier.
- (4) Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education. If you mail your application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:
 - (1) A private metered postmark.
 - (2) A mail receipt that is not dated by the U.S. Postal Service.

If your application is postmarked after the application deadline date, we will not consider your application.

<u>Note:</u> The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

c. <u>Submission of Paper Applications by Hand Delivery.</u>

If you qualify for an exception to the electronic submission requirement, you (or a courier service) may deliver your paper application to the Department by hand. You must deliver the original and two copies of your application by hand, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education

Application Control Center

Attention: (CFDA Number 84.206A)

550 12th Street, SW.

Room 7041, Potomac Center Plaza

Washington, DC 20202-4260

The Application Control Center accepts hand deliveries daily between 8:00 a.m. and 4:30:00 p.m., Washington, DC time, except Saturdays, Sundays, and Federal holidays.

Note for Mail or Hand Delivery of Paper Applications: If you mail or hand deliver your application to the Department--

- (1) You must indicate on the envelope and--if not provided by the Department--in Item 11 of the SF 424 the CFDA number, including suffix letter, if any, of the competition under which you are submitting your application; and
- (2) The Application Control Center will mail to you a notification of receipt of your grant application. If you do not receive this notification within 15 business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

V. Application Review Information

1. <u>Selection Criteria</u>: The following selection criteria for this competition are from 34 CFR 75.210 of EDGAR and are listed in the application package. The maximum score for all selection criteria is 100 points. The points or weights assigned to each criterion are indicated in parentheses.

(a) Need for the Project (10)

In determining the need for the proposed project, we will consider the extent to which specific gaps or weaknesses in services, infrastructure, or opportunities have been identified and will be addressed by the proposed project, including the nature and magnitude of those gaps or weaknesses.

(b) Quality of the Project Design (25)

In determining the quality of the design of the proposed project, we will consider the extent to which--

- (1) The goals, objectives, and outcomes to be achieved by the proposed project are clearly specified and measurable;
- (2) The design of the proposed project is appropriate to, and will successfully address, the needs of the target population or other identified needs; and
- (3) The proposed project represents an exceptional approach for meeting statutory purposes and requirements.

(c) Quality of the Project Personnel (15)

In determining the quality of project personnel, we will consider the extent to which the applicant encourages applications for employment from persons who are members of groups that have traditionally been underrepresented based on race, color, national origin, gender, age, or disability. We will also consider the following—

- (1) The qualifications, including relevant training and experience, of the project director or principal investigator; and
 - (2) The qualifications, including relevant training and experience, of key project personnel.

(d) Quality of the Management Plan (20)

In determining the quality of the management plan for the proposed project, we will consider the adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks.

(e) Quality of the Project Evaluation (30)

In determining the quality of the project evaluation, we will consider the extent to which--

- (1) The methods of evaluation are thorough, feasible, and appropriate to the goals, objectives, and outcomes of the proposed project; and
- (2) The evaluation will provide guidance about effective strategies suitable for replication or testing in other settings.

VI. Award Administration Information

- 1. <u>Award Notices</u>: If your application is successful, we notify your U.S. Representative and U.S. Senators and send you a Grant Award Notification (GAN). We may notify you informally, also. If your application is not evaluated or not selected for funding, we notify you.
- 2. <u>Administrative and National Policy Requirements</u>: We identify administrative and national policy requirements in the application package and reference these and other requirements in the <u>Applicable</u> Regulations section in this notice.

We reference the regulations outlining the terms and conditions of an award in the <u>Applicable</u> <u>Regulations</u> section in this notice and include these and other specific conditions in the GAN. The GAN also incorporates your approved application as part of your binding commitments under the grant.

3. Reporting: At the end of your project period, you must submit a final performance report, including financial information, as directed by the Secretary. If you receive a multi-year award, you must submit an annual performance report that provides the most current performance and financial expenditure information as directed by the Secretary under 34 CFR 75.118. The Secretary may also require more frequent performance reports under 34 CFR 75.720(c). For specific requirements on reporting, please go to http://www.ed.gov/fund/grant/apply/appforms/appforms.html

4. <u>Performance Measures:</u> Pursuant to the Government Performance and Results Act of 1993 (GPRA), the Department developed the following three measures for evaluating the overall effectiveness of projects funded under this competition: (1) the quality of project designs, based on an expert panel review; (2) significant gains in academic achievement among target student populations, based on an expert panel review; and (3) the quality of project designs for effective professional development, based on expert panel review. As part of their interim and final performance reports, grantees will be expected to submit data to the Department as needed to assess progress using these measures. Projects that do not include a professional development component will not be assessed through the GPRA measure in (3) in this section.

VII. Agency Contact

<u>For Further Information Contact</u>: Teresa Cahalan, U.S. Department of Education, 400 Maryland Avenue, SW., room 5W218, Washington, DC 20202-8343. Telephone: (202) 401-3947 or by e-mail: jacobk.javits@ed.gov. If you use a TDD, call the Federal Relay Service (FRS), toll free, at 1-800-877-8339.

VIII. Other Information

Alternative Format: Individuals with disabilities can obtain this document and a copy of the application package in an alternative format (e.g., Braille, large print, audiotape, or computer diskette) on request to the program contact person listed under For Further Information Contact in section VII in this notice. Electronic Access to This Document: You may view this document, as well as all other documents of this Department published in the Federal Register, in text or Adobe Portable Document Format (PDF) on the Internet at the following site: www.ed.gov/news/fedregister

To use PDF you must have Adobe Acrobat Reader, which is available free at this site. If you have questions about using PDF, call the U.S. Government Printing Office (GPO), toll free, at 1-888-293-6498; or in the Washington, DC, area at (202) 512-1530.

<u>Note</u>: The official version of this document is the document published in the Federal Register. Free Internet access to the official edition of the Federal Register and the Code of Federal Regulations is available on GPO Access at: www.gpoaccess.gov/nara/index.html

| Dated |
|-------|
|-------|

/s/ Kerri L. Briggs,

Keili L. Bliggs,

Assistant Secretary for Elementary and Secondary Education.

Notice of Final Priority

4000-01-U

DEPARTMENT OF EDUCATION

Jacob K. Javits Gifted and Talented Students Education Program

Agency: Office of Elementary and Secondary Education, Department of Education.

Action: Notice of final priority.

Summary: The Assistant Secretary for Elementary and Secondary Education announces a priority under the Jacob K. Javits Gifted and Talented Students Education Program. The Assistant Secretary will use this priority for competitions in fiscal year (FY) 2008 and later years. We take this action to support the implementation of models with demonstrated effectiveness in identifying and serving gifted and talented students (including economically disadvantaged individuals, individuals with limited English proficiency, and individuals with disabilities) who may not be identified and served through typical strategies for identifying gifted and talented children. We intend the priority to increase the availability of proven models for increasing the number of students from underrepresented groups participating in gifted and talented education programs.

Effective Date: This priority is effective April 21, 2008.

<u>For Further Information Contact</u>: Teresa Cahalan, U.S. Department of Education, 400 Maryland Avenue, SW., room 5W218, Washington, DC 20202-8243. Telephone: (202) 401-3947 or via Internet: <u>jacobk.javits@ed.gov</u> If you use a telecommunications device for the deaf (TDD), you may call the Federal Relay Service (FRS), toll free, at 1-800-877-8339.

Individuals with disabilities can obtain this document in an alternative format (e.g., Braille, large print, audiotape, or computer diskette) on request to the contact person listed under <u>For Further Information Contact</u>.

Supplementary Information

General

The purpose of the Jacob K. Javits Gifted and Talented Students Education Program is to carry out a coordinated program of scientifically based research, demonstration projects, innovative strategies, and similar activities designed to build and enhance the ability of elementary schools and secondary schools nationwide to meet the special educational needs of gifted and talented students.

Pursuant to section 9101(22) of the Elementary and Secondary Education Act of 1965, as amended by the No Child Left Behind Act of 2001 (ESEA), for purposes of the Jacob K. Javits Gifted and Talented Students Education Program, gifted and talented students are students who give evidence of high achievement capability in areas such as intellectual, creative, artistic, or leadership capacity, or in specific academic fields, and who need services or activities not ordinarily provided by the school in order to fully develop those capabilities.

Under the statutory authority for the Jacob K. Javits Gifted and Talented Students Education Program, section 5465(b) of ESEA requires that no less than 50 percent of the applications approved in each fiscal year address the general priority described in section 5465(a)(2) of ESEA. This general priority focuses on assisting schools in the identification of, and provision of services to, gifted and talented students (including economically disadvantaged individuals, individuals with limited English proficiency, and individuals with disabilities) who may not be identified and served through traditional assessment methods (see 20 U.S.C. 7253d).

We published a notice of proposed priority for this program in the Federal Register on January 14, 2008 (73 FR 2228). Pages 2229 through 2230 of this notice included a discussion of the significant issues pertaining to the proposed priority.

Analysis of Comments and Changes

In response to our invitation in the notice of proposed priority, 44 parties submitted comments on the proposed priority. An analysis of the comments and of any changes in the priority since publication of the notice of proposed priority follows.

Generally, we do not address technical and other minor changes--and suggested changes the law does not authorize us to make under the applicable statutory authority.

<u>Comment</u>: Several commenters recommended that we clarify whether or not the priority applies to capacity-building grants authorized under section 5464(c) of ESEA.

<u>Discussion</u>: This priority implements the second general priority established in section 5465(a)(2) of ESEA and applies only to competitions for which we invite applications pursuant to that authority. This priority does not apply to, and this year we are not announcing, a competition under section 5464(c) or 5465(a)(1) of the Act. Change: None.

<u>Comment</u>: Numerous commenters expressed concern that references in the proposed priority to raising achievement levels suggested that the Department intended to circumvent the statutory intent of ESEA by diverting Jacob K. Javits Gifted and Talented Students Education Program funds from services for gifted and talented students to services for students who are not gifted and talented. These commenters expressed concern that under this priority program funds would be used in a manner that is contrary to the intent of ESEA, to enhance the academic achievement of all students instead of just gifted and talented students. Some of these commenters requested that the priority be modified to demonstrate that the intent of the priority is to implement section 5462 of ESEA by enhancing the ability of elementary and secondary schools to meet the special education needs of gifted and talented students.

<u>Discussion</u>: The priority is intended to identify and serve gifted and talented students. The priority is intended to implement the statutory service priority in section 5465(b) of ESEA that requires that no less than 50 percent of the applications approved under 5464(a)(2) of ESEA in a fiscal year be used to assist schools in the identification of, and provision of services to, gifted and talented students from underrepresented groups who may not be identified and served through traditional assessment methods. Funds awarded under this priority are intended to serve the needs of gifted and talented students from underrepresented groups. We note, however, that under section 5463 of ESEA, a grantee can serve gifted and talented students simultaneously with students who have similar educational needs but who are not gifted and talented, in the same educational settings, as appropriate. Thus, students who are not gifted and talented may benefit from projects funded under this competition.

<u>Changes</u>: We have revised the priority to clarify that projects supported through this competition must focus on identifying and educating gifted and talented students from underrepresented groups, by: (1) revising our definition of the term "scaling up" to indicate that we mean selecting a model designed to increase the number of gifted and talented students from underrepresented groups who, through gifted and talented education programs, perform at high levels of academic achievement that has demonstrated effectiveness on a small scale and expanding the model for use with gifted and talented students in broader settings or with broader populations of gifted and talented students; (2) indicating in paragraph (2) of the priority that the model selected must be shown to have resulted in both the identification of, and the provision of services to, increased numbers of gifted and talented students from underrepresented groups who participate in gifted and talented programs; and (3) adding the words "gifted and talented" to modify the word "students" in several additional places throughout the priority, where we had not already done so.

<u>Comment</u>: A few commenters expressed concern that the priority violated the intent of Congress as expressed in the Jacob K. Javits Gifted and Talented Students Education Act of 2001 by targeting programs aimed at what one commenter referred to as "low-performing" students rather than encouraging the development of models and strategies appropriate for teaching gifted and talented students. The commenters requested that we reissue

the priority to align it with the statutory purpose and the intent of Congress to target programs that serve primarily students identified as gifted and talented, or that we clarify that the priority is not intended to lower the bar for gifted and talented students.

<u>Discussion</u>: As discussed in response to the previous comment, this priority is intended to identify and serve gifted and talented students. The focus of this priority is on the identification of, and provision of services to, gifted and talented students (including economically disadvantaged individuals, individuals with limited English proficiency, and individuals with disabilities) who may not be identified and served through traditional assessment methods. The priority is designed to ensure that all gifted and talented students are identified and served.

<u>Change</u>: We have revised the priority to clarify that projects supported through this competition must focus on the identification of, and provision of service to, gifted and talented students from underrepresented groups. <u>Comment</u>: One commenter expressed concern that under the priority, Jacob K. Javits Gifted and Talented Students Education Program funds would be used to provide what the commenter referred to as outreach to disadvantaged students. The commenter expressed the view that other Federal financial assistance was already available to address the needs of low achieving, underachieving, and disadvantaged students and that projects funded under the Jacob K. Javits Gifted and Talented Students Education Program authority should serve only gifted and talented students.

<u>Discussion</u>: Funds under this priority must be used to identify and serve gifted and talented students from underrepresented groups, which could include students who are economically disadvantaged. To the extent that the commenter would consider this activity outreach, it is authorized under the general priority established in section 5465(a)(2) of ESEA, which we are implementing through this priority.

Change: None.

<u>Comment</u>: Several commenters recommended that we clarify the meaning of the terms "broader settings" and "different populations" as they pertain to the requirement in the priority that applicants propose to scale up a model that has demonstrated effectiveness on a small scale.

<u>Discussion</u>: We agree with the commenters that it would be beneficial to clarify the terms "broader settings" and "different populations" in the priority.

<u>Change</u>: We have revised the priority by adding examples of "broader settings" and "different populations" for clarification. To clarify that the term "broader settings" refers to the places where models can be implemented, we have listed the following examples of "broader settings": multiple schools or multiple grade levels. To clarify that the term "different populations" refers to groupings of students based on common characteristics, we have provided specific examples of "different populations" in the priority. These examples show that projects might test whether findings can be replicated across groups of students with different socioeconomic, racial, ethnic, and linguistic backgrounds.

Comment: Several commenters asked whether eligibility for a grant under this priority is restricted to applicants that demonstrate that they intend to both identify and serve gifted and talented students. Discussion: Section 5465(a)(2) requires that projects under this priority assist schools in both the identification of, and provision of services to, gifted and talented students. Thus, eligibility for a grant under this priority is restricted to applicants that demonstrate that they intend both to identify and serve gifted and talented students. Change: We have revised the priority to make it clear that projects are required both to identify gifted and talented students and to provide these students with gifted and talented education services. Specifically, in paragraphs (2) and (5) of the priority, we have added references both to the identification of, and to the provision of services to, gifted and talented students.

<u>Comment</u>: Several commenters requested clarification of the language in paragraph (5) of the priority, in which we refer to students prepared to participate in gifted and talented education programs. The commenters requested that the Department clarify whether, in addressing this element of the priority, an applicant must demonstrate the presence of an established gifted and talented program.

<u>Discussion</u>: We have revised paragraph (5) of the priority in response to a previous comment. Specifically, we deleted the language the commenters referenced regarding students prepared to participate in gifted and talented education programs and revised the priority to clarify that applicants must demonstrate how they will provide gifted and talented education services to the students identified through the project. To the extent that applicants are required to use models with demonstrated effectiveness in the identification of, and provision of services to, gifted and talented students from underrepresented groups, these models must include established gifted and talented education programs.

<u>Changes</u>: As stated previously in this notice, we have revised the priority to make it clear that projects are required both to identify gifted and talented students and to provide these students with gifted and talented education services. Specifically, in paragraphs (2) and (5) of the priority, we have added references both to the identification of, and to the provision of services to, gifted and talented students.

<u>Comment</u>: One commenter recommended that we place greater emphasis on the applicant's expertise in gifted education, either by requiring that applicants demonstrate that they have significant expertise in this area, or by adding a competitive preference for applicants that include a team leader with gifted education expertise.

<u>Discussion</u>: Paragraph (3) of the priority already requires applicants to demonstrate that their leadership team has significant expertise in gifted and talented education. However, we agree with the commenter that highlighting the need for expertise in gifted and talented education may be helpful.

<u>Change</u>: We have revised the order of the areas of required expertise listed in paragraph (3) of the priority to place greater emphasis on the need for expertise in gifted and talented education.

<u>Comment</u>: One commenter recommended that, in order to expand the advocacy infrastructure for this program, we fund demonstration projects in States that do not house the National Research and Development Center. Discussion: Although we recognize the importance of supporting demonstration projects that address the

<u>Discussion</u>: Although we recognize the importance of supporting demonstration projects that address the diverse needs of the different student populations and geographic areas served by gifted and talented education programs, the Department relies upon the advice of experts in our peer review panels to select the proposals that are of highest quality from among those we receive, regardless of the State in which the applicant resides.

<u>Change</u>: None.

Comment: None.

<u>Discussion</u>: Upon further review of this priority, we noticed that we refer to models and interventions and in most instances we mean the same thing. For the sake of clarity, we have revised the priority to refer to "models" throughout. By "models," we mean instructional approaches, practices, or curricula.

Changes: We have replaced references to the term "intervention" with the term "model."

<u>Note</u>: This notice does not solicit applications. In any year in which we choose to use this priority, we invite applications through a notice in the <u>Federal Register</u>. When inviting applications we designate the priority as absolute, competitive preference, or invitational. The effect of each type of priority follows:

Absolute priority: Under an absolute priority we consider only applications that meet the priority (34 CFR 75.105(c)(3)).

Competitive preference priority: Under a competitive preference priority we give competitive preference to an application by either (1) awarding additional points, depending on how well or the extent to which the application meets the competitive priority (34 CFR 75.105(c)(2)(i)); or (2) selecting an application that meets the competitive priority over an application of comparable merit that does not meet the priority (34 CFR 75.105(c)(2)(ii)).

<u>Invitational priority</u>: Under an invitational priority we are particularly interested in applications that meet the invitational priority. However, we do not give an application that meets the invitational priority a competitive or absolute preference over other applications (34 CFR 75.105(c)(1)).

Priority:

Javits Demonstration Programs

Under this priority, grantees must "scale up" and evaluate models designed to increase the number of gifted and talented students from underrepresented groups who, through gifted and talented education programs, perform at high levels of academic achievement.

For this priority, "scaling up" means selecting a model designed to increase the number of gifted and talented students from underrepresented groups who, through gifted and talented education programs, perform at high levels of academic achievement that has demonstrated effectiveness on a small scale and expanding the model for use with gifted and talented students in broader settings (such as in multiple schools, grade levels, or districts, or in other educational settings) or with different populations of gifted and talented students (i.e., different populations of these students based on differences such as the socioeconomic, racial, ethnic, geographic, and linguistic backgrounds of the students and their families). With regard to this priority, the term "underrepresented groups" includes economically disadvantaged individuals, individuals with limited English proficiency, and individuals with disabilities.

To meet this priority, applicants must include all of the following in their applications:

- (1) Evidence from one or more scientifically based research and evaluation studies indicating that the proposed model has raised the achievement of gifted and talented students from one or more underrepresented groups in one or more core subject areas.
- (2) Evidence from one or more scientifically based research and evaluation studies that the proposed model has resulted in the identification of and provision of services to increased numbers of gifted and talented students from underrepresented groups who participate in gifted and talented education programs.
- (3) Evidence that the applicant has significant expertise on its leadership team in each of the following areas: Gifted and talented education, research and program evaluation, content knowledge in one or more core academic subject areas, and working with underrepresented groups.
- (4) A sound plan for implementing the model in multiple settings or with multiple populations.
- (5) A research and evaluation plan that employs an experimental or quasi-experimental design to measure the impact of the model on the achievement of students in underrepresented groups, including students who are economically disadvantaged or limited English proficient, or who have disabilities, and on the number of these students who are identified as gifted and talented and served through gifted and talented programs.

<u>Note</u>: Evaluation methods using an experimental design are best for determining program effectiveness. Thus, when feasible, the project must use an experimental design under which participants (e.g., students, teachers, classrooms, or schools) are randomly assigned to participate in the project activities being evaluated or to a control group that does not participate in the project activities being evaluated.

If random assignment is not feasible, the project may use a quasi-experimental design with carefully matched comparison conditions. This alternative design attempts to approximate a randomly assigned control group by matching participants with non-participants that have similar characteristics before the model is implemented. Executive Order 12866

This notice of final priority has been reviewed in accordance with Executive Order 12866. Under the terms of the order, we have assessed the potential costs and benefits of this regulatory action.

The potential costs associated with the notice of final priority are those resulting from statutory requirements and those we have determined as necessary for administering this program effectively and efficiently. In assessing the potential costs and benefits--both quantitative and qualitative--of this notice of final priority, we have determined that the benefits of the final priority justify the costs.

We have also determined that this regulatory action does not unduly interfere with State, local, and tribal governments in the exercise of their governmental functions.

We summarized the costs and benefits in the notice of proposed priority.

<u>Intergovernmental Review</u>

This program is subject to Executive Order 12372 and the regulations in 34 CFR part 79. One of the objectives of the Executive order is to foster an intergovernmental partnership and a strengthened federalism. The Executive order relies on processes developed by State and local governments for coordination and review of proposed Federal financial assistance.

This document provides early notification of our specific plans and actions for this program.

Electronic Access to This Document

You may view this document, as well as all other Department of Education documents published in the Federal Register, in text or Adobe Portable Document Format (PDF) on the Internet at the following site:

www.ed.gov/news/fedregister

To use PDF you must have Adobe Acrobat Reader, which is available free at this site. If you have questions about using PDF, call the U.S. Government Printing Office (GPO), toll free, at 1-888-293-6498; or in the Washington, DC, area at (202) 512-1530.

<u>Note</u>: The official version of this document is the document published in the Federal Register. Free Internet access to the official edition of the Federal Register and the Code of Federal Regulations is available on GPO Access at:

http://www.gpoaccess.gov/nara/index.html

(Catalog of Federal Domestic Assistance Number 84.206A Jacob K. Javits Gifted and Talented Students Education Program)

| Program Authority: | 20 U. | S.C. | 7253. |
|--------------------|-------|------|-------|
|--------------------|-------|------|-------|

Dated:

/s/

Kerri L. Briggs,

Assistant Secretary for Elementary and Secondary Education.

Program Statute

Electronic version of the statute available online: www.ed.gov/programs/javits/legislation

SEC. 5461. SHORT TITLE.

This subpart may be cited as the 'Jacob K. Javits Gifted and Talented Students Education Act of 2001'.

SEC. 5462. PURPOSE.

The purpose of this subpart is to initiate a coordinated program of scientifically based research, demonstration projects, innovative strategies, and similar activities designed to build and enhance the ability of elementary schools and secondary schools nationwide to meet the special educational needs of gifted and talented students.

SEC. 5463. RULE OF CONSTRUCTION.

Nothing in this subpart shall be construed to prohibit a recipient of funds under this subpart from serving gifted and talented students simultaneously with students with similar educational needs, in the same educational settings, where appropriate.

SEC. 5464. AUTHORIZED PROGRAMS.

(a) ESTABLISHMENT OF PROGRAM-

- (1) IN GENERAL- The Secretary (after consultation with experts in the field of the education of gifted and talented students) is authorized to make grants to, or enter into contracts with, State educational agencies, local educational agencies, institutions of higher education, other public agencies, and other private agencies and organizations (including Indian tribes and Indian organizations (as such terms are defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b)) and Native Hawaiian organizations) to assist such agencies, institutions, and organizations in carrying out programs or projects authorized by this subpart that are designed to meet the educational needs of gifted and talented students, including the training of personnel in the education of gifted and talented students and in the use, where appropriate, of gifted and talented services, materials, and methods for all students.
- (2) APPLICATION- Each entity seeking assistance under this subpart shall submit an application to the Secretary at such time, in such manner, and containing such information as the Secretary may reasonably require. Each such application shall describe how —
- (A) the proposed gifted and talented services, materials, and methods can be adapted, if appropriate, for use by all students; and
- (B) the proposed programs can be evaluated.
- (b) USE OF FUNDS- Programs and projects assisted under this section may include each of the following:
- (1) Conducting —
- (A) scientifically based research on methods and techniques for identifying and teaching gifted and talented students and for using gifted and talented programs and methods to serve all students; and

- (B) program evaluations, surveys, and the collection, analysis, and development of information needed to accomplish the purpose of this subpart.
- (2) Carrying out professional development (including fellowships) for personnel (including leadership personnel) involved in the education of gifted and talented students.
- (3) Establishing and operating model projects and exemplary programs for serving gifted and talented students, including innovative methods for identifying and educating students who may not be served by traditional gifted and talented programs (such as summer programs, mentoring programs, service learning programs, and cooperative programs involving business, industry, and education).
- (4) Implementing innovative strategies, such as cooperative learning, peer tutoring, and service learning.
- (5) Carrying out programs of technical assistance and information dissemination, including assistance and information with respect to how gifted and talented programs and methods, where appropriate, may be adapted for use by all students.
- (6) Making materials and services available through State regional educational service centers, institutions of higher education, or other entities.
- (7) Providing funds for challenging, high-level course work, disseminated through technologies (including distance learning), for individual students or groups of students in schools and local educational agencies that would not otherwise have the resources to provide such course work.
- (c) SPECIAL RULE- To the extent that funds appropriated to carry out this subpart for a fiscal year beginning with fiscal year 2002 exceed such funds appropriated for fiscal year 2001, the Secretary shall use such excess funds to award grants, on a competitive basis, to State educational agencies, local educational agencies, or both, to implement activities described in subsection (b).

(d) CENTER FOR RESEARCH AND DEVELOPMENT-

- (1) IN GENERAL- The Secretary (after consultation with experts in the field of the education of gifted and talented students) shall establish a National Research Center for the Education of Gifted and Talented Children and Youth through grants to, or contracts with, one or more institutions of higher education or State educational agencies, or a combination or consortium of such institutions and agencies and other public or private agencies and organizations, for the purpose of carrying out activities described in subsection (b).
- (2) DIRECTOR- The National Center shall be headed by a Director. The Secretary may authorize the Director to carry out such functions of the National Center as may be agreed upon through arrangements with institutions of higher education, State educational agencies, local educational agencies, or other public or private agencies and organizations.
- (3) FUNDING- The Secretary may use not more than 30 percent of the funds made available under this subpart for fiscal year 2001 to carry out this subsection.
- (e) COORDINATION- Scientifically based research activities supported under this subpart —

- (1) shall be carried out in consultation with the Office of Educational Research and Improvement to ensure that such activities are coordinated with and enhance the research and development activities supported by such Office; and
- (2) may include collaborative scientifically based research activities which are jointly funded and carried out with such Office.

SEC. 5465. PROGRAM PRIORITIES.

- (a) GENERAL PRIORITY- In carrying out this subpart, the Secretary shall give highest priority to programs and projects designed to develop new information that —
- (1) improves the capability of schools to plan, conduct, and improve programs to identify and serve gifted and talented students; and
- (2) assists schools in the identification of, and provision of services to, gifted and talented students (including economically disadvantaged individuals, individuals with limited English proficiency, and individuals with disabilities) who may not be identified and served through traditional assessment methods.
- (b) SERVICE PRIORITY- The Secretary shall ensure that not less than 50 percent of the applications approved under section 5464(a)(2) in a fiscal year address the priority described in subsection (a)(2).

SEC. 5466. GENERAL PROVISIONS.

- (a) PARTICIPATION OF PRIVATE SCHOOL CHILDREN AND TEACHERS- In making grants and entering into contracts under this subpart, the Secretary shall ensure, where appropriate, that provision is made for the equitable participation of students and teachers in private nonprofit elementary schools and secondary schools, including the participation of teachers and other personnel in professional development programs serving such students.
- (b) REVIEW, DISSEMINATION, AND EVALUATION- The Secretary shall —
- (1) use a peer review process in reviewing applications under this subpart;
- (2) ensure that information on the activities and results of programs and projects funded under this subpart is disseminated to appropriate State educational agencies, local educational agencies, and other appropriate organizations, including nonprofit private organizations; and
- (3) evaluate the effectiveness of programs under this subpart in accordance with section 9601, in terms of the impact on students traditionally served in separate gifted and talented programs and on other students, and submit the results of such evaluation to Congress not later than 2 years after the date of enactment of the No Child Left Behind Act of 2001.
- (c) PROGRAM OPERATIONS- The Secretary shall ensure that the programs under this subpart are administered within the Department by a person who has recognized professional qualifications and experience in the field of the education of gifted and talented students and who shall —
- (1) administer and coordinate the programs authorized under this subpart;

- (2) serve as a focal point of national leadership and information on the educational needs of gifted and talented students and the availability of educational services and programs designed to meet such needs;
- (3) assist the Assistant Secretary for Educational Research and Improvement in identifying research priorities that reflect the needs of gifted and talented students; and
- (4) shall disseminate, and consult on, the information developed under this subpart with other offices within the Department.

Application Instructions

Electronic Application Format

Applications for grants under this competition <u>must</u> be submitted electronically, unless you qualify for an exception to the electronic submission requirement in accordance with the instructions in this application package. The deadline for submission of Javits Gifted and Talented Program applications through Grants.gov is June 5, 2008.

In accordance with EDGAR §75.216 (b) and (c), an application will not be evaluated for funding if the applicant does not comply with all of the procedural rules that govern the submission of the application or the application does not contain the information required under the program.

Electronic Application Submission Checklist

It is recommended that your electronic application be organized in the following manner and include the following parts in order to expedite the review process. To apply electronically via Grants.gov, you must download, complete and submit the Pure Edge application forms found on Grants.gov.

Instructions for all parts and forms of the application are found either on the following pages of the application package or individually for each form on Grants.gov.

Note: Please do not attach any narratives, supporting files, or application components to any forms unless it is specifically required by the instructions for the individual section of the application. Although several forms accept attachments, the Department of Education will only review materials/files attached in accordance with the instructions provided within this application package.

Review your electronic application to ensure you have completed the following forms and sections:

| Pal | rt 1: Preliminary Documents |
|-----|--|
| | Application for Federal Assistance (form SF 424) |
| | ED Supplemental Information for SF 424 |
| | rt 2: Budget Information ED Budget Information Non-Construction Programs (ED Form 524) |
| _ | rt 3: ED Abstract Form Project Abstract |
| | rt 4: Project Narrative Attachment Form Application Narrative |

| _ | t 5: Budget Narrative Attachment Form |
|-----|--|
| ш. | Budget Narrative |
| Par | t 6: Other Attachments Form |
| | Individual Resumes for Project Directors & Key Personnel |
| | Copy of Indirect Cost Rate Agreement |
| Par | t 7: Assurances and Certifications |
| | Assurances – Non-Construction Programs (SF 424B Form) |
| | Disclosure of Lobbying Activities (Standard Form LLL) |
| | Grants.gov Lobbying Form |
| | General Education Provisions Act (GEPA) Requirements – Section 427 (ED GEPA427 form) |
| | Survey on Ensuring Equal Opportunity for Applicants (form 1890-0014) |
| Par | t 8: Intergovernmental Review (Executive Order 12372) |
| | State Single Point of Contact (SPOC) List |

| Pa | rt 1: Preliminary Documents |
|----|--|
| | Application for Federal Assistance (Form SF 424) |
| | ED Supplemental Information for SF 424 |

These forms require basic identifying information about the applicant and the application. Please provide all requested applicant information (including name, address, e-mail address and DUNS number).

When applying electronically via Grants.gov, you will need to ensure that the DUNS number you enter on your application is the same as the DUNS number your organization used when it registered with the Central Contractor Registry.

Applicants are advised to complete the Application for Federal Assistance (Form SF 424) <u>first</u>. Grants.gov will automatically insert the correct CFDA and program name automatically wherever needed on other forms.

NOTE: Please do not attach any narratives, supporting files, or application components to the Standard Form (SF 424). Although this form accepts attachments, the Department of Education will only review materials/files attached in accordance with the instructions provided within this application.

Instructions for the SF-424

Public reporting burden for this collection of information is estimated to average 60 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form (including the continuation sheet) required for use as a cover sheet for submission of preapplications and applications and related information under discretionary programs. Some of the items are required and some are optional at the discretion of the applicant or the Federal agency (agency). Required items are identified with an asterisk on the form and are specified in the instructions below. In addition to the instructions provided below, applicants must consult agency instructions to determine specific requirements.

| Item | Entry: | Item | Entry: |
|-----------|--|------|---|
| 1. | Type of Submission: (Required): Select one type of submission in accordance with agency instructions. Preapplication Application | 10. | Name Of Federal Agency: (Required) Enter the name of the Federal agency from which assistance is being requested with this application. |
| | Changed/Corrected Application – If requested by the agency, check if this submission is to change or correct a previously submitted application. Unless requested by the agency, applicants may not use this to submit changes after the closing date. | 11. | Catalog Of Federal Domestic Assistance Number/Title: Enter the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested, as found in the program announcement, if applicable. |
| 2. | Type of Application: (Required) Select one type of application in accordance with agency instructions. New – An application that is being submitted to an agency for the first time. | 12. | Funding Opportunity Number/Title: (Required) Enter the Funding Opportunity Number and title of the opportunity under which assistance is requested, as found in the program announcement. |
| | Continuation - An extension for an additional funding/budget period for a project with a projected completion date. This can include renewals. Revision - Any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision, enter the appropriate letter(s). More than one may be | 13. | Competition Identification Number/Title: Enter the Competition Identification Number and title of the competition under which assistance is requested, if applicable. |
| | selected. If "Other" is selected, please specify in text box provided. A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration E. Other (specify) | 14. | Areas Affected By Project: List the areas or entities using the categories (e.g., cities, counties, states, etc.) specified in agency instructions. Use the continuation sheet to enter additional areas, if needed. |
| 3. | Date Received: Leave this field blank. This date will be assigned by the Federal agency. | 15. | Descriptive Title of Applicant's Project: (Required) Enter a brief descriptive title of the project. If appropriate, attach a map showing project location (e.g., construction or real |
| 4. | Applicant Identifier : Enter the entity identifier assigned by the Federal agency, if any, or applicant's control number, if applicable. | | property projects). For preapplications, attach a summary description of the project. |
| 5a 5b. | Federal Entity Identifier: Enter the number assigned to your organization by the Federal Agency, if any. Federal Award Identifier: For new applications leave blank. For a continuation or revision to an existing award, enter the previously assigned Federal award identifier number. If a changed/corrected application, enter the Federal Identifier in accordance with agency instructions. | 16. | Congressional Districts Of: (Required) 16a. Enter the applicant's Congressional District, and 16b. Enter all District(s) affected by the program or project. Enter in the format: 2 characters State Abbreviation – 3 characters District Number, e.g., CA-005 for California 5th th district, CA-012 for California 12 th district, NC-103 for North Carolina's 103 rd district. If all congressional districts in a state are affected, enter |
| 6. 7. | Date Received by State: Leave this field blank. This date will be assigned by the State, if applicable. State Application Identifier: Leave this field blank. This identifier will be assigned by the State, if applicable. | | "all" for the district number, e.g., MD-all for all congressional districts in Maryland. If nationwide, i.e. all districts within all states are affected, enter US-all. |
| 8. | Applicant Information : Enter the following in accordance with agency instructions: | | If the program/project is outside the US, enter 00-000. |
| | a. Legal Name: (Required): Enter the legal name of applicant that will undertake the assistance activity. This is the name that the organization has registered with the Central Contractor Registry. Information on registering with CCR may be obtained by visiting the Grants.gov website. b. Employer/Taxpayer Number (EIN/TIN): (Required): Enter the | 17. | Proposed Project Start and End Dates: (Required) Enter the proposed start date and end date of the project. |
| | Employer or Taxpayer Identification Number (EIN or TÍN) as assigned by the Internal Revenue Service. If your organization is not in the US, enter 44-4444444. c. Organizational DUNS: (Required) Enter the organization's DUNS or DUNS+4 number received from Dun and Bradstreet. Information on obtaining a DUNS number may be obtained by visiting the Grants.gov website. | | Estimated Funding: (Required) Enter the amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines, as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in |

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| | d. Address: Enter the complete address as follows: Street address (Line 1 required), City (Required), County, State (Required, if country is US), Province, Country (Required), Zip/Postal Code (Required, if country is US). | | parentheses. |
|----|---|-----|---|
| - | e. Organizational Unit: Enter the name of the primary organizational unit (and department or division, if applicable) that will undertake the assistance activity, if applicable. f. Name and contact information of person to be contacted on matters involving this application: Enter the name (First and last name required), organizational affiliation (if affiliated with an organization other than the applicant organization), telephone number (Required), fax number, and email address (Required) of the person to contact on matters related to this application. | | Is Application Subject to Review by State Under Executive Order 12372 Process? Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process. Select the appropriate box. If "a." is selected, enter the date the application was submitted to the State |
| | | | Is the Applicant Delinquent on any Federal Debt? (Required) Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes. If yes, include an explanation on the continuation sheet. |
| 9. | Type of Applicant: (Required) Select up to three applicant type(s) instructions. A. State Government B. County Government C. City or Township Government D. Special District Government E. Regional Organization F. U.S. Territory or Possession G. Independent School District H. Public/State Controlled Institution of Higher Education I. Indian/Native American Tribal Government (Federally Recognized) J. Indian/Native American Tribal Government (Other than Federally Recognized) K. Indian/Native American Tribally Designated Organization L. Public/Indian Housing Authority M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education) N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education) O. Private Institution of Higher Education P. Individual Q. For-Profit Organization (Other than Small Business) S. Hispanic-serving Institution T. Historically Black Colleges and Universities (HBCUs) V. Alaska Native and Native Hawaiian Serving Institutions W. Non-domestic (non-US) Entity X. Other (specify) | 21. | Authorized Representative: (Required) To be signed and dated by the authorized representative of the applicant organization. Enter the name (First and last name required) title (Required), telephone number (Required), fax number, and email address (Required) of the person authorized to sign for the applicant. A copy of the governing body's authorization for you to sign this application as the official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.) |

Instructions for the ED Supplemental Information for SF 424

- 1. **Project Director.** Name, address, telephone and fax numbers, and e-mail address of the person to be contacted on matters involving this application.
- 2. Novice Applicant. Check "Yes" or "No" only if assistance is being requested under a program that gives special consideration to novice applicants. Otherwise, leave blank.

Check "Yes" if you meet the requirements for novice applicants specified in the regulations in 34 CFR 75.225 and included on the attached page entitled "Definitions for Department of Education Supplemental Information for SF 424." By checking "Yes" the applicant certifies that it meets these novice applicant requirements. Check "No" if you do not meet the requirements for novice applicants.

3. Human Subjects Research. (See I. A. "Definitions" in attached page entitled "Definitions for Department of Education Supplemental Information For SF 424.")

If Not Human Subjects Research. Check "No" if research activities involving human subjects are not planned at any time during the proposed project period. The remaining parts of Item 3 are then not applicable.

If Human Subjects Research. Check "Yes" if research activities involving human subjects are planned at any time during the proposed project period, either at the applicant organization or at any other performance site or collaborating institution. Check "Yes" even if the research is exempt from the regulations for the protection of human subjects. (See I. B. "Exemptions" in attached page entitled "Definitions for Department of Education Supplemental Information For SF 424.")

If Human Subjects Research is Exempt from the Human Subjects Regulations. Check "Yes" if all the research activities proposed are designated to be exempt from the regulations. Insert the exemption number(s) corresponding to one or more of the six exemption categories listed in I. B. "Exemptions." In addition, follow the instructions in II. A. "Exempt Research Narrative" in the attached page entitled "Definitions for Department of Education Supplemental Information For SF 424."

If Human Subjects Research is Not Exempt from Human Subjects Regulations. Check "No" if some or all of the planned research activities are covered (not exempt).

In addition, follow the instructions in II. B. "Nonexempt Research Narrative" in the page entitled "Definitions for Department of Education Supplemental Information For SF 424

Human Subjects Assurance Number. If the applicant has an approved Federal Wide (FWA) on file with the Office for Human Research Protections (OHRP), U.S. Department of Health and Human Services, that covers the specific activity, insert the number in the space provided. If the applicant does not have an approved assurance on file with OHRP, enter "None." In this case, the applicant, by signature on the SF-424, is declaring that it will comply with 34 CFR 97 and proceed to obtain the human subjects assurance upon request by the designated ED official. If the application is recommended/selected for funding, the designated ED official will request that the applicant obtain the assurance within 30 days after the specific formal request.

Note about Institutional Review Board Approval. ED does not require certification of Institutional Review Board approval with the application. However, if an application that involves non-exempt human subjects research is recommended/selected for funding, the designated ED official will request that the applicant obtain and send the certification to ED within 30 days after the formal request.

Paperwork Burden Statement. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1890-0017. The time required to complete this information collection is estimated to average between 15 and 45 minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, DC 20202-4700. If you have comments or concerns regarding the status of your individual submission of this form write directly to: Joyce I. Mays, Application Control Center, U.S. Department of Education, Potomac Center Plaza, 550 12th Street, S.W. Room 7076, Washington, DC 20202-4260.

Definitions for ED Supplemental Information for SF 424

Definitions:

Novice Applicant (See 34 CFR 75.225). For discretionary grant programs under which the Secretary gives special consideration to novice applications, a novice applicant means any applicant for a grant from ED that—

- Has never received a grant or subgrant under the program from which it seeks funding;
- Has never been a member of a group application, submitted in accordance with 34 CFR 75.127-75.129, that received a grant under the program from which it seeks funding; and
- Has not had an active discretionary grant from the Federal government in the five years before the deadline date for applications under the program. For the purposes of this requirement, a grant is active until the end of the grant's project or funding period, including any extensions of those periods that extend the grantee's authority to obligate funds.

In the case of a group application submitted in accordance with 34 CFR 75.127-75.129, a group includes only parties that meet the requirements listed above.

PROTECTION OF HUMAN SUBJECTS IN RESEARCH

I. Definitions and Exemptions

A. Definitions.

A research activity involves human subjects if the activity is research, as defined in the Department's regulations, and the research activity will involve use of human subjects, as defined in the regulations.

-Research

The ED Regulations for the Protection of Human Subjects, Title 34, Code of Federal Regulations, Part 97, define research as "a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge." *If an activity follows a deliberate plan whose purpose is to develop or contribute to generalizable knowledge it is research*. Activities, which meet this definition, constitute research whether or not they are conducted or

supported under a program that is considered research for other purposes. For example, some demonstration and service programs may include research activities.

—Human Subject

The regulations define human subject as "a living individual about whom an investigator (whether professional or student) conducting research obtains (1) data through intervention or interaction with the individual, or (2) identifiable private information." (1) If an activity involves obtaining information about a living person by manipulating that person or that person's environment, as might occur when a new instructional technique is tested, or by communicating or interacting with the individual, as occurs with surveys and interviews, the definition of human subject is met. (2) If an activity involves obtaining private information about a living person in such a way that the information can be linked to that individual (the identity of the subject is or may be readily determined by the investigator or associated with the information), the definition of human subject is met. [Private information includes information about behavior that occurs in a context in which an individual can reasonably expect that no observation or recording is taking place, and information which has been provided for specific purposes by an individual and which the individual can reasonably expect will not be made public (for example, a school health record).]

B. Exemptions.

Research activities in which the <u>only</u> involvement of human subjects will be in one or more of the following six categories of *exemptions* are not covered by the regulations:

- (1) Research conducted in established or commonly accepted educational settings, involving normal educational practices, such as (a) research on regular and special education instructional strategies, or (b) research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods.
- (2) Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior, unless: (a) information obtained is recorded in such a manner that human subjects can be identified, directly or through identifiers linked to the subjects; and

- (b) any disclosure of the human subjects' responses outside the research could reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation. If the subjects are children, exemption 2 applies only to research involving educational tests and observations of public behavior when the investigator(s) do not participate in the activities being observed. Exemption 2 does not apply if children are surveyed or interviewed or if the research involves observation of public behavior and the investigator(s) participate in the activities being observed. [Children are defined as persons who have not attained the legal age for consent to treatments or procedures involved in the research, under the applicable law or jurisdiction in which the research will be conducted.]
- (3) Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior that is not exempt under section (2) above, if the human subjects are elected or appointed public officials or candidates for public office; or federal statute(s) require(s) without exception that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter.
- (4) Research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in a manner that subjects cannot be identified, directly or through identifiers linked to the subjects.
- (5) Research and demonstration projects which are conducted by or subject to the approval of department or agency heads, and which are designed to study, evaluate, or otherwise examine: (a) public benefit or service programs; (b) procedures for obtaining benefits or services under those programs; (c) possible changes in or alternatives to those programs or procedures; or (d) possible changes in methods or levels of payment for benefits or services under those programs.
- (6) Taste and food quality evaluation and consumer acceptance studies, (a) if wholesome foods without additives are consumed or (b) if a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe, by the Food and Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection Service of the U.S. Department of Agriculture.

II. Instructions for Exempt and Nonexempt Human Subjects Research Narratives

If the applicant marked "Yes" for Item 3 of Department of Education Supplemental Information for SF 424, the applicant must provide a human subjects "exempt research" or "nonexempt research" narrative. Insert the narrative(s) in the space provided. If you have multiple projects and need to provide more than one narrative, be sure to label each set of responses as to the project they address.

A. Exempt Research Narrative.

If you marked "Yes" for item 3 a. and designated exemption numbers(s), provide the "exempt research" narrative. The narrative must contain sufficient information about the involvement of human subjects in the proposed research to allow a determination by ED that the designated exemption(s) are appropriate. The narrative must be succinct.

B. Nonexempt Research Narrative.

If you marked "No" for item 3 a. you must provide the "nonexempt research" narrative. The narrative must address the following seven points. Although no specific page limitation applies to this section of the application, be succinct.

- (1) Human Subjects Involvement and Characteristics: Provide a detailed description of the proposed involvement of human subjects. Describe the characteristics of the subject population, including their anticipated number, age range, and health status. Identify the criteria for inclusion or exclusion of any subpopulation. Explain the rationale for the involvement of special classes of subjects, such as children, children with disabilities, adults with disabilities, persons with mental disabilities, pregnant women, prisoners, institutionalized individuals, or others who are likely to be vulnerable
- (2) **Sources of Materials**: Identify the sources of research material obtained from individually identifiable living human subjects in the form of specimens, records, or data. Indicate whether the material or data will be obtained specifically for research purposes or whether use will be made of existing specimens, records, or data.
- (3) **Recruitment and Informed Consent**: Describe plans for the recruitment of subjects and the consent procedures to be followed. Include the circumstances under which consent will be sought and obtained, who

will seek it, the nature of the information to be provided to prospective subjects, and the method of documenting consent. State if the Institutional Review Board (IRB) has authorized a modification or waiver of the elements of consent or the requirement for documentation of consent.

- (4) **Potential Risks**: Describe potential risks (physical, psychological, social, legal, or other) and assess their likelihood and seriousness. Where appropriate, describe alternative treatments and procedures that might be advantageous to the subjects.
- (5) **Protection Against Risk**: Describe the procedures for protecting against or minimizing potential risks, including risks to confidentiality, and assess their likely effectiveness. Where appropriate, discuss provisions for ensuring necessary medical or professional intervention in the event of adverse effects to the subjects. Also, where appropriate, describe the provisions for monitoring the data collected to ensure the safety of the subjects.
- (6) Importance of the Knowledge to be Gained: Discuss the importance of the knowledge gained or to be gained as a result of the proposed research. Discuss why the risks to subjects are reasonable in relation to the anticipated benefits to subjects and in relation to the

importance of the knowledge that may reasonably be expected to result.

(7) **Collaborating Site(s)**: If research involving human subjects will take place at collaborating site(s) or other performance site(s), name the sites and briefly describe their involvement or role in the research.

Copies of the Department of Education's Regulations for the Protection of Human Subjects, 34 CFR Part 97 and other pertinent materials on the protection of human subjects in research are available from the Grants Policy and Oversight Staff, Office of the Chief Financial Officer, U.S. Department of Education, Washington, DC 20202-4250, telephone: (202) 245-6120, and on the U.S. Department of Education's Protection of Human Subjects in Research Web Site:

http://www.ed.gov/about/offices/list/ocfo/humansub.html

NOTE: The **State Applicant Identifier** on the SF 424 is for State Use only. Please complete it on the OMB Standard 424 in the upper right corner of the form (if applicable).

Part 2: Budget Information

☐ ED Budget Information Non-Construction Programs (ED Form 524)

This part of your application contains information about the Federal funding you are requesting. Remember that you must provide all requested budget information for each year of the project (up to 60 months) and the total column in order to be considered for Federal funding. Specific instructions for completing the budget forms are provided within this application package.

Instructions for completing ED Form 524 Section A:

Name of Institution/Organization: Enter the name of the applicant in the space provided.

<u>Personnel (line 1):</u> Enter project personnel salaries and wages only. Include fees and expenses for consultants on line 6.

<u>Fringe Benefits (line 2):</u> The institution's normal fringe benefits contribution may be charged to the program. Leave this line blank if fringe benefits applicable to direct salaries and wages are treated as part of the indirect cost.

<u>Travel (line 3):</u> Indicate the travel costs of employees and participants only. Include travel of persons such as consultants on line 6. *Applicants must set aside adequate funds within their proposed budget each year of the project to attend a Javits Project Directors' meeting in Washington, DC. Each trip will be for two days for up to two project staff.*

Equipment (line 4): Indicate the cost of tangible, non-expendable personal property that has a usefulness greater than one year and acquisition costs that are the lesser of the capitalization level established by the applicant entity for financial statement purposes or \$5,000 per article. Lower limits may be established to maintain consistency with the applicant's policy.

<u>Supplies (line 5):</u> Show all tangible, expendable personal property. Direct supplies and materials differ from equipment in that they are consumable, expendable, and of a relatively low unit cost. Supplies purchased with grant funds should directly benefit the grant project and be necessary for achieving the goals of the project.

<u>Contractual (line 6):</u> The contractual category should include all costs specifically incurred with actions that the applicant takes in conjunction with an established internal procurement system. Include consultant fees, expenses, and travel costs in this category if the consultant's services are obtained through a written binding agreement or contract.

Construction (line 7): Not applicable.

Other (line 8): Indicate all direct costs not covered on lines 1-6. For example, include costs such as space rental, required fees, honoraria and travel (where a contract is not in place for services), training, and communication and printing costs. *Do not include costs that are included in the indirect cost rate.*

<u>Total Direct Costs (line 9):</u> The sum of lines 1-8.

<u>Indirect Costs (line 10):</u> Indicate the applicant's approved, unrestricted, indirect cost rate, per sections 75.560 – 75.580 of EDGAR. If an applicant is an LEA and does not have an approved indirect cost rate agreement, then the applicant should contact its SEA to have one calculated and approved. SEAs and other eligible applicants that do not have an approved indirect cost rate agreement with a cognizant Federal agency must apply to the Department for a temporary indirect cost rate if they wish to charge indirect costs to the grant. For more information, go to the Department's website at: http://www.ed.gov/about/offices/list/ocfo/fipao/icgindex.html.

<u>Training Stipends (line 11):</u> This line item is not applicable to this program. The training stipend line item only pertains to costs associated with long term training programs and college or university coursework, not workshops or short-term training supported by this program. Salary stipends paid to teachers and other school personnel for participating in short-term professional development should be reported in <u>Personnel</u> (line 1).

<u>Total Cost (line 12):</u> This should equal to sum of lines 9-11 (total direct costs + indirect + stipends). The sum for column one, labeled *Project Year 1* (a), should also be equal to item 15a on the application cover sheet (SF Form 424).

Instructions for ED 524

General Instructions

This form is used to apply to individual U.S. Department of Education (ED) discretionary grant programs. Unless directed otherwise, provide the same budget information for each year of the multi-year funding request. Pay attention to applicable program specific instructions, if attached. Please consult with your Business Office prior to submitting this form.

Section A - Budget Summary U.S. Department of Education Funds

All applicants must complete Section A and provide a breakdown by the applicable budget categories shown in lines 1-11.

Lines 1-11, columns (a)-(e): For each project year for which funding is requested, show the total amount requested for each applicable budget category.

Lines 1-11, column (f): Show the multi-year total for each budget category. If funding is requested for only one project year, leave this column blank.

Line 12, columns (a)-(e): Show the total budget request for each project year for which funding is requested.

Line 12, column (f): Show the total amount requested for all project years. If funding is requested for only one year, leave this space blank.

Indirect Cost Information:

organization has an Indirect Cost Rate Agreement that was "yes" in (1), indicate in (2) the beginning and ending dates indirect cost rate that is included on your approved Indirect covered by the Indirect Cost Rate Agreement. In addition, Cost Rate Agreement or whether you are using a restricted Note: State or local government agencies may not use the If you are requesting reimbursement for indirect costs on approved by the federal government. (2): If you checked indirect cost rate that complies with 34 CFR 76.564(c)(2) approved agreement. (3): If you are applying for a grant under a Restricted Rate Program (34 CFR 75.563 or indicate whether ED or another federal agency (Other) issued the approved agreement. If you check "Other," specify the name of the federal agency that issued the 76.563), indicate whether you are using a restricted line 10, this information is to be completed by your Business Office. (1): Indicate whether or not your

provision for a restricted indirect cost rate specified in 34 CFR 76.564(c)(2). Check only one response. Leave blank, if this item is not applicable.

Section B - Budget Summary Non-Federal Funds

If you are required to provide or volunteer to provide matching funds or other non-federal resources to the project, these should be shown for each applicable budget category on lines 1-11 of Section B.

Lines 1-11, columns (a)-(e): For each project year, for which matching funds or other contributions are provided, show the total contribution for each applicable budget category.

Lines 1-11, column (f): Show the multi-year total for each budget category. If non-federal contributions are provided for only one year, leave this column blank.

Line 12, columns (a)-(e): Show the total matching or other contribution for each project year.

Line 12, column (f): Show the total amount to be contributed for all years of the multi-year project. If non-Federal contributions are provided for only one year, leave

Section C - Budget Narrative [Attach separate sheet(s)]

Pay attention to applicable program specific instructions, if attached.

- Provide an itemized budget breakdown, and justification by project year, for each budget category listed in Sections A and B. For grant projects that will be divided into two or more separately budgeted major activities or sub-projects, show for each budget category of a project year the breakdown of the specific expenses attributable to each sub-project or activity.
- If applicable to this program, provide the rate and base on which fringe benefits are calculated.
- 3. If you are requesting reimbursement for indirect costs on line 10, this information is to be completed by your Business Office. Specify the estimated amount of the base to which the indirect cost rate is applied and the total indirect expense. Depending on the grant program to which you are applying and/or your approved Indirect Cost

Rate Agreement, some direct cost budget categories in your grant application budget may not be included in the base and multiplied by your indirect cost rate. For example, you must multiply the indirect cost rates of "Training grants" (34 CFR 75.562) and grants under programs with "Supplement not Supplant" requirements ("Restricted Rate" programs) by a "modified total direct cost" (MTDC) base (34 CFR 75.563 or 76.563). Please indicate which costs are included and which costs are excluded from the base to which the indirect cost rate is applied.

When calculating indirect costs (line 10) for "Training grants" or grants under "Restricted Rate" programs, you must refer to the information and examples on ED's website at: http://www.ed.gov/fund/grant/apply/appforms/appf

You may also contact (202) 377-3838 for additional information regarding calculating indirect cost rates or general indirect cost rate information.

orms.html.

Provide other explanations or comments you deem necessary.

Paperwork Burden Statement

improving this form, please write to: U.S. Department of information unless such collection displays a valid OMB instructions, search existing data sources, gather the data control number. The valid OMB control number for this information collection is 1890-0004. The time required of 17.5 hours per response, including the time to review According to the Paperwork Reduction Act of 1995, no vary from 13 to 22 hours per response, with an average to complete this information collection is estimated to Education, Washington, DC 20202-4651. If you have (insert program office), U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. collection. If you have any comments concerning the individual submission of this form, write directly to comments or concerns regarding the status of your accuracy of the time estimate(s) or suggestions for persons are required to respond to a collection of needed, and complete and review the information

Part 3: ED Abstract Form

This section should be attached as a single document to the ED Abstract Form in accordance with the instructions found on Grants.gov and should be organized in the following manner and include the following parts in order to expedite the review process.

You must attach any narrative sections of your application as files in a **.DOC** (document), **.RTF** (rich text), or **.PDF** (Portable Document) format. If you upload a file type other than the three file types specified in this paragraph or submit a password-protected file, we will not review that material.

Please note that Grants.gov cannot process an application that includes two or more files that have the same name within a grant submission.

When attaching files, applicants should limit the size of their file names. Lengthy file names could result in difficulties with opening and processing your application. We recommend your file names be less than 50 characters.

☐ Project Abstract

The project abstract should not exceed **two** double spaced pages and should include a concise description of the following information:

- Project objectives and activities,
- Applicable priorities
- Proposed project outcomes
- Number of participants to be served
- Number and location of proposed sites

Part 4: Project Narrative Attachment Form

This section should be attached as a **single** document to the Project Narrative Attachment Form in accordance with the instructions found on Grants.gov and should be organized in the following manner and include the following parts in order to expedite the review process.

You must attach any narrative sections of your application as files in a **.DOC** (document), **.RTF** (rich text), or **.PDF** (Portable Document) format. If you upload a file type other than the three file types specified in this paragraph or submit a password-protected file, we will not review that material.

Please note that Grants.gov cannot process an application that includes two or more files that have the same name within a grant submission.

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The Table of Contents shows where and how the important sections of your proposal are organized and should not exceed **one** double spaced page.

☐ Application Narrative

The application narrative responds to the selection criteria found in this application package and should follow the order of the selection criteria.

We encourage applicants to this section of the application to the equivalent of no more than $\underline{20}$ pages and adhere to the following guidelines:

- · A "page" is 8.5" x 11", on one side only, with 1" margins at the top, bottom, and both sides.
- Double space (no more than three lines per vertical inch) all text in the application narrative, except titles, headings, footnotes, quotations, references, captions, and all text in charts, tables, and graphs.
- · Use one of the following fonts: Times New Roman, Courier, Courier New, or Arial. An application submitted in any other font (including Times Roman or Arial Narrow) will not be accepted.
- · Use a font that is either 12-point or larger or no smaller than 10 pitch (characters per inch).
- · Begin numbering at the right bottom of the first page in Arabic numerals ("1") and number the pages consecutively throughout the document.
- · Include all critical information in the program narrative.
- The page limit does not apply to the cover sheet; the budget section, including the budget narrative justification; the assurances and certifications; or the one-page abstract, the resumes, the bibliography, or the letters of support. However, the page limit does apply to all of the application narrative section.

We will reject your application if you exceed the page limit; or if you apply other standards and exceed the equivalent of the page limit.

Selection Criteria for Program Narrative

The maximum score for all selection criteria is 100 points. The points or weights assigned to each criterion are indicated in parentheses. Non-Federal peer reviewers will review each application. They will be asked to evaluate and score each program narrative against the following selection criteria:

A. Need for the Project (10)

In determining the need for the proposed project, we will consider the extent to which specific gaps or weaknesses in services, infrastructure, or opportunities have been identified and will be addressed in the proposed project, including the nature and magnitude of those gaps or weaknesses.

B. Quality of the Project Design (25)

In determining the quality of the design of the proposed project, we will consider the extent to which--

- (1) The goals, objectives, and outcomes to be achieved by the proposed project are clearly specified and measurable;
- (2) The design of the proposed project is appropriate to, and will successfully address, the needs of the target population or other identified needs; and
- (3) The proposed project represents an exceptional approach for meeting statutory purposes and requirements.

C. Quality of the Project Personnel (15)

In determining the quality of project personnel, we will consider the extent to which the applicant encourages applications for employment from persons who are members of groups that have traditionally been underrepresented based on race, color, national origin, gender, age, or disability. We will also consider the following—

- (1) The qualifications, including relevant training and experience, of the project director or principal investigator; and
- (2) The qualifications, including relevant training and experience, of key project personnel.

D. Quality of the Management Plan (20)

In determining the quality of the management plan for the proposed project, we will consider the adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks.

E. Quality of the Project Evaluation (30)

In determining the determining the quality of the project evaluation, we will consider the extent to which--

- (1) The methods of evaluation are thorough, feasible, and appropriate to the goals, objectives, and outcomes of the proposed project; and
- (2) The evaluation will provide guidance about effective strategies suitable for replication or testing in other settings.

Part 5: Budget Narrative

This section should be attached as a single document to the Budget Narrative Attachment Form in accordance with the instructions found on Grants.gov. It should be organized in the following manner and include the following parts in order to expedite the review process.

You must attach any narrative sections of your application as files in a .DOC (document), .RTF (rich text), or .PDF (Portable Document) format. If you upload a file type other than the three file types specified in this paragraph or submit a password-protected file, we will not review that material.

Please note that Grants.gov cannot process an application that includes two or more files that have the same name within a grant submission.

When attaching files, applicants should limit the size of their file names. Lengthy file names could result in difficulties with opening and processing your application. We recommend your file names be less than 50 characters.

Each application must also provide a Budget Narrative (which serves to meet the requirements of ED Form 524, Section C) for requested Federal funds. The Budget Narrative for requested Federal funds should provide a justification of how the money requested for each budget item will be spent.

This section requires an **itemized budget breakdown** for each project year and the **basis for estimating the costs** of personnel salaries, benefits, project staff travel, materials and supplies, consultants and subcontracts, indirect costs and any other projected expenditures. Be sure to complete an itemized budget breakdown and narrative for each year of the proposed project (up to 60 months).

The Budget Narrative provides an opportunity for the applicant to identify the nature and amount of the proposed expenditures. The applicant should provide sufficient detail to enable reviewers and project staff to understand how requested funds will be used, how much will be expended, and the relationship between the requested funds and project activities and outcomes.

Important Notes

- Applicants are encouraged to review OMB Circular A-21, Cost Principles for Educational Institutions [OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments or OMB Circular A-122, Cost Principles for Nonprofit Organizations] in preparing their budget and budget narrative.
- OMB Circular A-21 may be found at the following link:
 http://www.whitehouse.gov/omb/circulars/a021/a21_2004.html
- OMB Circular A-87 may be found at the following link: http://www.whitehouse.gov/omb/circulars/a087/a87 2004.html
- OMB Circular A-122 may be found at the following link: http://www.whitehouse.gov/omb/circulars/a122/a122 2004.html

Suggested Guidelines for the Budget Narrative

In accordance with 34 CFR 75.232, Department of Education staff perform a cost analysis of the each recommended project to ensure that costs relate to the activities and objectives of the project, are reasonable, allowable and allocable. We may delete or reduce costs from the budget during this review.

To facilitate the review of your Budget Narrative, we encourage each applicant to include the following information for each year of the project:

1. Personnel

- Provide the title and duties of each position to be compensated under this project.
- Provide the salary for each position under this project.
- Provide the amounts of time, such as hours or percentage of time to be expended by each position under this project.
- Explain the importance of each position to the success of the project.
- Provide the basis for cost estimates or computations.

2. Fringe Benefits

- Give the fringe benefit percentages of all personnel included under <u>Personnel</u>.
- Provide the rate and base on which fringe benefits are calculated.

3. Travel

- Explain the purpose of the travel, how it relates to project success, how it aligns with the project goals and objectives and which program participants or staff will participate.
- Submit an estimate for the number of trips, points of origin and destination, and purpose of travel
- Submit an itemized estimate of transportation and/or subsistence costs for each trip.
- Provide the basis for cost estimates or computations.

4. Equipment

- Indicate the estimated unit cost for each item to be purchased.
- Identify each type of equipment.
- Provide adequate justification of the need for items of equipment to be purchased.
- Explain the purpose of the equipment, and how it relates to project success.
- Provide the basis for cost estimates or computations.

5. Supplies

- Provide an itemized estimate of materials and supplies by nature of expense or general category (e.g., instructional materials, office supplies, etc.).
- Explain the purpose of the supplies and how they relate to project success.
- Provide the basis for cost estimates or computations.

6. Contractual

- Provide the purpose and relation to project success.
- Describe the products to be acquired, and/or the professional services to be provided.
- Provide a brief justification for the use of the contractors selected.
- Identify the name(s) of the contracting party, including consultants, if available.

- Provide the cost per contractor.
- Provide the amount of time that the project will be working with the contractor(s).
- For professional services contracts, provide the amounts of time to be devoted to the project, including the costs to be charged to this proposed grant award.
- Provide a brief statement that you have followed the procedures for procurement under 34 CFR Parts 74.40 74.48 and Part 80.36.
- Provide the basis for cost estimates or computations.

7. Construction

• Not applicable.

8. Other

- List and identify items by major type or category (e.g., communications, printing, postage, equipment rental, etc.).
- Provide the cost per item (printing = \$500, postage = \$750).
- Provide the purpose for the expenditures and relation to project success.
- Provide the basis for cost estimates or computations.

9. Total Direct Costs

• The amount that is the sum of expenditures, per budget category, of lines 1-8.

10. Indirect Costs

- Identify indirect cost rate (if the applicant will charge indirect costs to the grant)
- *Note*: remember to provide a copy of the most recent approved indirect cost agreement in the Other Attachments form section of the application.

11. Training Stipends

• Not applicable.

12. Total Costs

- Sum total of direct costs, indirect costs, and stipends.
- Please provide total costs for each year of the project as well as grand total cost for the entire project period (up to 60 months)

Important Information Regarding Indirect Cost Rates

The Department of Education (ED) reimburses grantees for its portion of indirect costs that a grantee incurs on projects funded by the Javits Gifted and Talented program (84.206A). In order to charge indirect costs to this program, a grantee must have a currently approved Indirect Cost Rate (ICR) agreement. The ICR must be negotiated with and approved by the grantee's cognizant agency, i.e., either (1) the federal agency from which it has received the most direct funding, subject to indirect cost support; (2) the federal agency specifically assigned cognizance by the Office of Management and Budget; or (3) the State agency that provides the most subgrant funds to the grantee (if no direct federal awards are received).

Note: Applicants should pay special attention to specific questions on the application budget form (ED 524) about their cognizant agency and the ICR being used in the budget. Applicants should be aware that ED is very often not the cognizant agency for its grantees. Rather, ED accepts the currently approved ICR established by the appropriate cognizant agency.

Applicants are encouraged to have an accountant calculate a proposed ICR using current information in the audited financial statements, actual cost data or the Internal Revenue Service Form 990. Applicants should use this proposed rate in the application materials and indicate the documentation used to calculate the rate. Guidance related to calculating an ICR can be found on ED's website at http://www.ed.gov/about/offices/list/ocfo/fipao/icgindex.html.

An applicant selected for funding, that does not have a currently approved ICR, must review and follow the final regulations published at 34 CFR 75.560 in the Federal Register on December 7, 2007 (72 FR 69145). The rules allow for a temporary ICR of 10% of budgeted salaries and wages and require the grantee to submit an ICR proposal within 90 days after issuance of the grant award notification.

Applicants with questions about charging indirect costs on this program should contact the program contact person noted elsewhere in this application package.

Part 6: Other Attachments Form

Attach one or more documents to the Other Attachments Form in accordance with the instructions found on Grants.gov. You may provide all of the required information in a single document, or in multiple documents.

You must attach any narrative sections of your application as files in a **.DOC** (document), **.RTF** (rich text), or **.PDF** (Portable Document) format. If you upload a file type other than the three file types specified in this paragraph or submit a password-protected file, we will not review that material.

Please note that Grants.gov cannot process an application that includes two or more files that have the same name within a grant submission.

When attaching files, applicants should limit the size of their file names. Lengthy file names could result in difficulties with opening and processing your application. We recommend your file names be less than 50 characters.

| Individual Resumes for Project Directors and Key Personnel : Provide brief resumes or job descriptions that describe their qualifications for the responsibilities they will carry out under the project. |
|--|
| Copy of Indirect Cost Rate Agreement: If an applicant decides to charge indirect costs to this program and there is an indirect cost rate in place, the applicant shall provide a copy of the most recent approved Indirect Cost Agreement in the Other Attachments form section of the application. |

If an applicant decides to charge indirect costs to this program and there is a **no** indirect cost rate in place, the applicant must follow the instructions found in the Important Information Regarding Indirect Cost Rates section found in this application package.

Part 7: Assurances and Certifications

| Be certain to complete all required assurances and certifications in Grants.gov, and include all |
|--|
| required information in the appropriate place on each form. The assurances and certifications |
| required for this application are: |
| ☐ Assurances – Non-Construction Programs (SF 424B Form) |
| ☐ Disclosure of Lobbying Activities (SF LLL Form) |
| ☐ Grants.gov Lobbying Form |
| ☐ General Education Provisions Act (GEPA) Requirements – Section 427 |
| ☐ Survey on Ensuring Equal Opportunity for Applicants (form 1890-0014) |

Instructions for Completion of SF-LLL, Disclosure of Lobbying Form

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
- 2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
- 4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
- 6. Enter the name of the federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
- 8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitations for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Included prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
- 10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
 - (b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503

Instructions for Meeting the General Education Provisions Act (GEPA) Section 427 Requirements

All applicants <u>must</u> include information in their applications to address this provision in order to receive funding under this program.

Section 427 <u>requires</u> each applicant to include in its application a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its federally-assisted program for students, teachers, and other program beneficiaries with special needs.

This section allows applicants discretion in developing the required description. The statute highlights six barriers that can impede equitable access or participation that you may address: *gender*, *race*, *national origin*, *color*, *disability*, or *age*.

A general statement of an applicant's nondiscriminatory hiring policy is <u>not</u> sufficient to meet this requirement. Applicants must identify potential barriers and explain steps they will take to overcome these barriers.

Applicants are required to address this provision by attaching a statement (not to exceed three pages) to the *ED GEPA427 form* that is included in the electronic application package in Grants.gov.

Provide the applicant's (organization) name and DUNS number and the grant name and CFDA number.

- 1. 501(c)(3) status is a legal designation provided on application to the Internal Revenue Service by eligible organizations. Some grant programs may require nonprofit applicants to have 501(c)(3) status. Other grant programs do not.
- 2. For example, two part-time employees who each work half-time equal one full-time equivalent employee. If the applicant is a local affiliate of a national organization, the responses to survey questions 2 and 3 should reflect the staff and budget size of the local affiliate.
- 3. Annual budget means the amount of money your organization spends each year on all of its activities.
- 4. Self-identify.
- 5. An organization is considered a community-based organization if its headquarters/service location shares the same zip code as the clients you serve.
- 6. An "intermediary" is an organization that enables a group of small organizations to receive and manage government funds by administering the grant on their behalf.
- 7. Self-explanatory.
- 8. Self-explanatory.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1890-0014. The time required to complete this information collection is estimated to average five (5) minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and and review the information complete collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 2202-4651.

If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Joyce I. Mays, Application Control Center, U.S. Department of Education, 7th and D Streets, SW, ROB-3, Room 3671, Washington, D.C. 20202-4725

OMB No. 1890-0014 Exp. (Approved)

Part 8: Intergovernmental Review (Executive Order 12372)

It is estimated that in 2008 the Federal Government will outlay \$449 billion in grants to State and local governments. Executive Order 12372, "Intergovernmental Review of Federal Programs," was issued with the desire to foster the intergovernmental partnership and strengthen federalism by relying on State and local processes for the coordination and review of proposed Federal financial assistance and direct Federal development. The Order allows each State to designate an entity to perform this function.

This program is subject to the requirements of Executive Order 12372 (Intergovernmental Review of Federal Programs) and the regulations in 34 CFR part 79.

Applicants must contact the appropriate State single point of contact to find out about, and to comply with, the State's process under Executive Order 12372. Applicants proposing to perform activities in more than one State should immediately contact the single point of contact for each of those States and follow the procedures established in each State under the Executive order.

In keeping with the Executive Order, the Office of Management and Budget has established and maintains a list of the States participating in the program. The list below provides the names, addresses, telephone and fax numbers of designated State single points of contact and can be electronically accessed at: http://www.whitehouse.gov/omb/grants/spoc.html

Any State process recommendation and other comments submitted by a State single point of contact and any comments from State, area-wide, regional, and local entities must be mailed or hand-delivered by the date indicated in this notice to the following address:

The Secretary **EO 12372**U.S. Department of Education
Room 7E200
400 Maryland Avenue SW
Washington, DC 20202

Proof of mailing will be determined on the same basis as applications (see 34 CFR § 75.102). Recommendations or comments may be hand-delivered until 4:30 p.m. (eastern time) on the closing date indicated in this notice.

<u>Important note:</u> The above address is not the same address as the one to which the applicant submits its completed applications. <u>Do not send applications to the above address.</u>

States that are not listed on the following pages have chosen not to participate in the intergovernmental review process, and therefore do not have a SPOC. If you are located within a State that does not have a SPOC, you may send application materials directly to the Department as described in the Federal Register notice announcing the grant competition.

ARKANSAS

Tracy L. Copeland
Manager, State Clearinghouse
Office of Intergovernmental Services
Department of Finance and
Administration
1515 W. 7th St., Room 412

Little Rock, Arkansas 72203 Telephone: (501) 682-1074

Fax: (501) 682-5206

tracy.copeland@dfa.state.ar.us

CALIFORNIA

Grants Coordination State Clearinghouse

Office of Planning and Research P.O. Box 3044, Room 222

Sacramento, California 95812-3044

Telephone: (916) 445-0613 Fax: (916) 323-3018

state.clearinghouse@opr.ca.gov

DELAWARE

Jennifer L. Carlson
Associate Fiscal and Policy Analyst
Office of Management and Budget
Budget Development, Planning &
Administration
Haslet Armory, Third Floor
122 William Penn Street
Dover, Delaware 19901

Telephone: (302) 739-4206 Fax: (302) 739-5661

jennifer.carlson@state.de.us

DISTRICT OF COLUMBIA

Donna Bexley

DC Government Office of Partnerships and Grants Development 441 4th Street, NW

Washington, DC 20001 Telephone: (202) 727-6437

Fax: (202) 727-1652 <u>Donna.bexley@dc.gov</u>

FLORIDA

Lauren P. Milligan
Florida State Clearinghouse
Florida Dept. of Environmental
Protection
3900 Commonwealth Blvd.
Mail Station 47

Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161

Fax: (850) 245-2190

Lauren.Milligan@dep.state.fl.us

GEORGIA

Barbara Jackson Georgia State Clearinghouse 270 Washington Street, SW, 8th Floor Atlanta, Georgia 30334

Telephone: (404) 656-3855 Fax: (404) 656-7916

gach@opb.state.ga.us

IOWA

Kathy Mabie Iowa Department of Management State Capitol Building Room G12 1007 E Grand Avenue Des Moines, Iowa 50319

Telephone: (515) 281-8834 Fax: (515) 242-5897

Kathy.Mabie@iowa.gov

KENTUCKY

Lee Nalley

The Governor's Office for Local

Development

1024 Capital Center Drive, Suite 340

Frankfort, Kentucky 40601

Telephone: (502) 573-2382 Ext. 274

Fax: (502) 573-1519 Lee.Nalley@ky.gov

MAINE

Joyce Benson State Planning Office 184 State Street 38 State House Station Augusta, Maine 04333 Telephone: (207) 287-3261 (Direct) (207) 287-1461 Fax: (207) 287-6489

Joyce.benson@maine.gov

MARYLAND

Linda C. Janey, J.D.
Director, Maryland State Clearinghouse
For Intergovernmental Assistance
301 West Preston Street, Room 1104
Baltimore, Maryland 21201-2305
Telephone: (410) 767-4490

Fax: (410) 767-4480 ljaney@mdp.state.md.us

MICHIGAN

William Parkus
Southeast Michigan Council of
Governments
535 Griswold, Suite 300
Detroit, Michigan 48226
Telephone: (313) 961-4266
Fax: (313) 961-4869
mailto:parkus@semcog.org

MISSISSIPPI

Janet Riddell
Clearinghouse Officer
Department of Finance and
Administration
1301 Woolfolk Building, Suite E
501 North West Street
Jackson, Mississippi 39201
Telephone: (601) 359-6762
Fax: (601) 359-6758
JRiddell@dfa.state.ms.us

MISSOURI

Sara VanderFeltz
Federal Assistance Clearinghouse
Office of Administration
Commissioner's Office
Capitol Building, Room 125
Jefferson City, Missouri 65102
Telephone: (573) 751-0337
Fax: (573) 751-1212
sara_vanderfeltz@oa.mo.gov

NEVADA

Gosia Sylwesprzak
Department of Administration
Nevada State Clearinghouse
Coordinator/SPOC
209 E. Musser Street, Room 200
Carson City, Nevada 89701
Telephone: (775) 684-0209
Fax: (775) 684-0260
clearinghouse@budget.state.nv.us

NEW HAMPSHIRE

Amy Ignatius, Acting Director
New Hampshire Office of Energy and
Planning
Attn: Intergovernmental Review
Process
Mark Toussiant
57 Regional Drive
Concord, New Hampshire 03301

Concord, New Hampshire 03301 Telephone: (603) 271-2155

Fax: (603) 271-2615 amy.ignatius@nh.gov

NORTH DAKOTA

Jim Boyd ND Department of Commerce 1600 East Century Avenue, Suite 2 P.O. Box 2057 Bismarck, North Dakota 58502-2057 Telephone: (701) 328-2676 Fax: (701) 328-2308 iboyd@state.nd.us

RHODE ISLAND

Bill McKenna

Department of Administration

One Capitol Hill

Providence, Rhode Island 02908 Telephone: (401) 222-6181

Fax: (401) 222-2083 ikarger@doa.state.ri.us

TEXAS

Denise S. Francis

Director, State Grants Team Governor's Office of Budget and

Planning

P.O. Box 12428 Austin, Texas 78711

Telephone: (512) 305-9415 Fax: (512) 936-2681

Grants@governor.state.tx.us

WEST VIRGINIA

Bobby Lewis

Director, Community Development

Division

West Virginia Development Office

Building #6, Room 553

Charleston, West Virginia 25305

Telephone: (304) 558-4010 Fax: (304) 558-3248

rlewis@wvdo.org

AMERICAN SAMOA

Pat M. Galea'i

Federal Grants/Programs Coordinator Office of Grants Policy/Office of the

Governor

Department of Commerce American Samoa Government Pago Pago, American Samoa 96799

Telephone: (684) 633-5155/4116

Fax: (684) 633-4195/2269 pmgaleai@yahoo.com

SOUTH CAROLINA

Jean Ricard

Office of State Budget 1201 Main Street, Suite 870

Columbia, South Carolina 29201 Telephone: (803) 734-1314

Fax: (803) 734-0645 JRicard@budget.sc.gov

UTAH

Tenielle Young

Utah State Clearinghouse

Governor's Office of Planning and Budget

Utah State Capitol Complex Suite E210, PO Box 142210 Salt Lake City, Utah 84114-2210 Telephone: (801) 538-1570

Fax: (801) 538-1547

Tenielleyoung@utah.gov

WISCONSIN

Division of Intergovernmental Relations Wisconsin Department of Administration

101 East Wilson Street, 10th Floor

P.O. Box 8944

Madison, Wisconsin 53708

Telephone: (608) 261-7533 Fax: (608) 267-6917 spoc@wisconsin.gov

GUAM

Roland C.P. Villaverde

Administrator

Guam State Clearinghouse

Office of I Segundo na Maga'lahen

Guåhan

Office of the Governor

P.O. Box 2950

Hågatña, Guam 96932

Telephone: (671) 475-9380 ext. 901

Fax: (671) 477-2007

administrator@guamclearinghouse.com

| NORTH MARIANA ISLANDS | PUERTO RICO |
|------------------------------------|--------------------------------------|
| Antonio S. Muna | Ing. David Rodríguez / Luz H. Olmeda |
| Special Assistant for Management | Puerto Rico Planning Board |
| Office of Management and Budget | Federal Proposals Review Office |
| Office of the Governor | PO Box 41119 |
| Saipan, MP 96950 | San Juan, Puerto Rico 00940-1119 |
| Telephone: (670) 664-2289 | Telephone: 787-723-6190 |
| Fax: (670) 323-2272 | Fax: 787-722-6783 |
| e_macaranas@yahoo.com | Olmeda_L@jp.gobierno.pr |
| VIRGIN ISLANDS | |
| Debra Gottlieb (Acting Director) | |
| Director, Office of Management and | |
| Budget | |
| #41 Norre Gade Emancipation Garden | |
| Station, Second Floor | |
| Saint Thomas, Virgin Islands 00802 | |
| Telephone: (340) 774-0750 | |
| Fax: (340) 776-0069 | |
| dbgottlieb@omb.gov.vi | |

Changes to this list can be made only after OMB is notified by a State's officially designated representative. E-mail messages can be sent to Hai M. Tran@omb.eop.gov. If you prefer, you may send correspondence to the following postal address:

Office of Management and Budget Attn: Grants Management New Executive Office Building, Suite 6025 725 17th Street, NW Washington, DC 20503

Please note: Inquiries about obtaining a Federal grant should not be sent to the OMB e-mail or postal address shown above. The best source for this information is the *Catalog of Federal Domestic Assistance* or CFDA (http://www.cfda.gov) and the Grants.gov website (http://www.grants.gov).

Reporting and Accountability

Successful applicants with multi-year grants must submit an **annual performance report** demonstrating their progress in meeting approved project objectives. Grantees must also provide the most current financial and performance measure data for each year of the project.

At the end of the project period, applicants will also be required to submit a **final performance report**.

Under the Government Performance and Results Act (GPRA), the following performance indicators have been established to evaluate the overall effectiveness of the Javits Gifted and Talented Program:

Pursuant to the Government Performance and Results Act of 1993 (GPRA), the Department developed the following three measures for evaluating the overall effectiveness of projects funded under this competition:

- (1) the quality of project designs, based on a review by an expert panel;
- (2) significant gains in academic achievement among target student populations, based on an expert panel review; and
- (3) the quality of project designs for effective professional development based on expert panel review.

As part of their interim and final performance reports, grantees will be expected to submit data to the Department as needed to assess progress using these measures. Projects that do not include a professional development component will not be assessed through the GPRA measure in (3) in this section.

For specific requirements on grantee reporting, please go to the ED Performance Report Form 524B at http://www.ed.gov/fund/grant/apply/appforms/appforms.html.