Instructions for Form RE

Read these instructions before completing the application.

- ► Use this form for works published or registered from January 1, 1964, through December 31, 1977.
- Do not use this form for works published or registered before January 1, 1964, or after December 31, 1977.

See circular 15, *Renewal of Copyright*, and Code of Federal Regulations (37 CFR 202.17), *Registration of Claims to Copyright–Renewals*, for more detailed information.

All Copyright Office forms and circulars are available at www.copyright.gov.

How to complete this form

Use a black pen or type information.

Leave blank any question that does not apply to your copyright claim.

Use Continuation Form RE/CON to add the names of authors or statutory claimants if there are more than four, or if you need additional space for titles.

Complete a *Form RE/Addendum* in addition to a Form RE if the work, or the collective work in which it was first published, was not registered during the original term of copyright.

To obtain additional forms

Visit the Copyright Office website at *www.copyright.gov* and click on Forms under Publications. Scroll down to Renewals and select the appropriate forms. Or call the Copyright Office Forms Hotline at (202) 707-9100 and request Form RE and instructions. Also, request Form RE/CON and/or Form RE/Addendum, if necessary.

What to send

i • *Forms* • Form RE (completed and signed) and Form RE/CON (if any) if the work was registered during the original term. If the work, or the collective work in which it was first published, was not registered during the original term, send Form RE and Form RE/Addendum.

2 • *Filing fee(s)* • Each Form RE (including RE/CON) requires a fee of \$75. The Form RE/Addendum requires a separate fee of \$220. If you send a Form RE and a Form RE/Addendum, the combined fee for both forms is \$295. Send a check or money order made payable to Register of Copyrights for the entire amount. If you are filing from outside the United States your check or money order must be redeemable in U.S. dollars without a service or exchange fee. If you are sending multiple renewal claims together, you may send a single check or money order for the entire amount due. Or, if you have a deposit account with the Copyright Office, give the account number in Section 5.

3 • **Deposit** • A deposit copy must accompany a Form RE/Addendum. For deposit copy requirements, see instructions for Form RE/Addendum. **Exception**: A deposit copy is not required when the sole purpose of the Form RE/Addendum is to establish eligibility of a contribution to a work that was registered during its original term.

Where to send your application

Mail the signed application, fee(s), and deposit copy (if any) in the same package to:

Library of Congress U.S. Copyright Office-RE 101 Independence Avenue SE Washington, DC 20559-6239

If your package includes a deposit copy that is electronic in nature, use a box rather than an envelope for mailing to the Copyright Office to avoid damage from Library security measures.

Questions about completing the application?

The instructions will guide you; however, copyright specialists are available in the Public Information Office between 8:00 AM and 5:00 PM Monday–Friday, eastern time, except federal holidays, and can be reached by phone at (202) 707-5959. You may also send an email message directly from the website at *www.copyright.gov*.

Line-by-Line Instructions

Section 1 · Title and Original Term Information

na · Title of work as first published or registered · Give the title (or an identifying phrase that could serve as a title) as it was given in the original registration (or in the published edition, if the work was never registered). If the complete title will not fit, give the remainder in space B on Form RE/CON. If the work is a periodical, give the volume, number, issue date, and ISSN, if any. If the work is a contribution to a periodical or other collective work, give the title of the contribution. If the work is an episode in a series, give the episode title and complete line c to give title information about the series.

ib • *Alternative title* • Complete line 1b *only* if the work was published under a different title than the one given in the original registration. *Do not include contents titles here*.

IC • If first published as a contribution to a periodical or other collective work • If the work was first published as a contribution to a periodical or other collective work, give the title of the periodical or other collective work on this line and the volume, number, issue date, and ISSN, if any. NOTE: If the claim is in a contribution by a U.S. author to an English-language periodical or literary work first published abroad, a Form RE/Addendum is required to establish eligibility for registration of the contribution. *id* · *Has this work (or the work in which it was first published, if a contribution) ever been registered?* · If the work (or the work in which the contribution or material was first published) *was* registered during its original term of copyright, check "yes," and give the registration number. If the work (or the work in which the contribution or material was first published) was not registered, check "no" and complete a Form RE/Addendum to provide information about the original copyright term and eligibility for registration. **Note:** If you do not know whether the work (or the work in which the contribution or material was first published) was registered, you may either conduct a search of our records here at the Copyright Office in Washington, DC, or request the Copyright Office to do the search for you. Information about requesting a search can be found in Circular 22. See also note in 1c above.

ie • *Publication or registration date* • Give the complete date of first publication (month/day/year). Or, if the work was registered prior to its publication as an unpublished work, give the date of registration. If the work was registered as an unpublished work, give the latest date stamped in the lower left corner of the second page of the original certificate of registration. **NOTE:** Under the 1909 Copyright Law, statutory copyright protection was secured on the date of first publication, provided the work was published with the required copyright notice, or on the date of registration, if the work was registered prior to its publication.

f · Year given in copyright notice, if earlier than publication ·
If the year in the copyright notice in the published edition is earlier than the year of publication, give the earlier year in the notice.
If there are multiple years in the copyright notice, list all of them.
NOTE: Generally, the original and renewal copyright terms are calculated
from the year in the copyright notice, if it is earlier than the year of publication. If an International Standard Book Number was assigned to
the work, give the number.

1g • **Original copyright claimant(s)** • Give the name(s) of the copyright claimant(s) as identified in the original registration *or* in the copyright notice on the published edition, if the work was not registered during the original term. If there were multiple claimants, separate the names with commas. If you need more space, use space C of Form RE/CON.

 $1h \cdot If$ the work was originally registered in Class Ai or Bi, was a U.S. edition registered? • Answer this question only if the renewal claim is based on an ad interim registration (Ai or Bi classes). **NOTE:** Ad interim copyright was a short-term U.S. copyright available to nondramatic English-language books and periodicals that were manufactured and first published abroad prior to 1978. It was secured by registration within six months of first publication abroad and lasted for a maximum of five years from publication. If a U.S. edition was manufactured and published in the U.S. with the required copyright notice before the ad interim copyright expired, full-term protection was secured. If ad interim copyright was subsisting or was capable of subsisting on December 31, 1977, the work may be eligible for renewal registration even if copies were not manufactured and published in the U.S. within five years of publication abroad. Also, if a work was manufactured and published in the U.S. within five years of publication abroad, but the U.S. edition was not registered, renewal registration may be possible for both editions. For more information about ad interim copyright, see Circular 15.

ii • If the original registration record was corrected or amplified by supplementary registration on Form CA • Complete this line only if a supplementary registration was made during the original term of copyright to correct or amplify the information in the original registration record. Give the registration number and effective date of the supplementary registration.

Section 2 · Work or Material Claimed and Author Information

2a • **Copyright for the renewal term is claimed in** • Check only one option. Check option 1 if the renewal claim covers the entire work as originally registered or first published. Check option 2 if the claim is in a contribution first published in a periodical or other collective work. Check option 3 if the claim covers only the revisions in a previously registered or published edition of the work (such as a new musical arrangement or revised text), or option 4 if the claim is limited to a separate element of authorship created by an author (such as illustrations or photographs in a literary work). NOTE: *Option 4 does not apply to joint works (such as songs) or to works incorporating elements of authorship that are integral to the work as a whole (such as motion pictures)*. Or check option 5 if the renewal claim is based on the first publication of a work that was registered as an unpublished work (such as a song or other musical or dramatic work).

2b • **The following author(s) contributed to the material claimed** • Name only the author(s) who contributed to the work or the material claimed in line 2a. *Examples*: If you checked option 1, name all authors identified in the original registration or in the published edition. If the original registration did not name an author (as in the case of periodicals), give the name of the author at the time of creation. If you checked option 2, name the author(s) of the contribution. If you checked options 3 or 4, name only the author(s) of the material added to the derivative work or the separate element of authorship. If you checked option 5, name the author(s) of the original work; do not name the author(s) of any material added to the published work. If there are more than 4 authors, use space D of Form RE/CON.

Name • If the author is an individual, give the name as it appears in the original registration, or in the published edition, as well as the pseudonym, if given in the original registration or published edition. If the author is an organization, give the name as it appeared in the original registration or the complete legal name of the organization at the time the work was created, if the work was not registered during the original term.

This author created • Complete this line if the original registration gave an authorship statement for the author, or if the work was not registered during the original term. **NOTE:** Do not give terms that are not subject to copyright protection, such as idea, process, concept, title, or name.

Date of death \cdot Give the date of death for any author who is deceased. If you do not know the complete date of death, you may give a partial date such as the month and year, or only the year.

Section 3 · Renewal Term Ownership Information

Please read the following paragraphs before attempting to complete this section of the application.

Information about who is entitled by law to claim copyright for the renewal term. Section 304 of the current law states that, if a renewal registration is not made in the Copyright Office before the expiration of the original term of copyright, copyright for the renewal term vests automatically in the person or entity entitled to claim that right on the last day of the original term of copyright, that is, on December 31st of the 28th year following publication or registration as an unpublished work. Generally, the individual author is so entitled, if still alive on that date. When the author dies during the original term, the law prescribes that the following persons are entitled to claim copyright for the renewal term in this order:

- 1 the *widow(er)* and/or surviving *child(ren)*;
- 2 the *executor* named in the author's will, if there is no widow(er) or surviving child;
- 3 a person recognized by state law as the *next of kin of the author*, provided there was no widow(er) or surviving child, and the author died intestate.

NOTE: Based on case law, if the author's will failed to name an executor, or if the executor died or was no longer acting in that capacity at the time of registration, a court-appointed administrator may claim on behalf of the legatees.

For certain types of works, such as composite and posthumous works and works made for hire, the law provides that *the copyright proprietor* on the last day of the original term of copyright may claim copyright for the renewal term.

All of these parties—the author, the deceased author's heirs, the proprietor—are known as *statutory claimants*. **NOTE:** *Copyright cannot vest in a deceased person or a defunct organization. To be named as a statutory claimant, an individual must be alive or, in the case of an organization, still be in existence at the time of registration.*

The law also states that an *assignee* or a *successor* of a statutory claimant in whom the renewal copyright vested may submit an application for the renewal term, *provided* a claim has not been registered already in the name of the statutory claimant. For example, if the statutory claimant assigned the copyright for the renewal term, but did not register a claim to copyright for the renewal term, the assignee may submit the application. Likewise, if a statutory claimant dies before registering a renewal claim, the successor under the will of the statutory claimant in whom the renewal copyright vested may submit an application. **NOTE:** *Such registrations must identify the statutory claimant in whom the renewal copyright vested*, *as well as the assignee or successor*. *For more information about who may submit an application for the renewal copyright*, *see 37 CFR 202.17*.

3a • **Name the party or parties** • Name the party or parties so entitled. Do not include any statutory claimants you do not represent, or on whose behalf renewal claims have already been registered. Use space E of Form RE/CON if there are more than four statutory claimants. **NOTE:** To calculate when the original term ended, add 28 years to the year of publication or registration from space 1e. Or, if the work was published with an earlier year date in the copyright notice, add 28 years to the year given in space 1f.

As the \cdot Give the basis of the claim for each statutory claimant. For example, if the individual author created the work in his or her personal capacity, the statutory claimant may claim as the "author," the "widow(er) or child of the deceased author," the "executor of the deceased author" or the "next of kin of the deceased author, there being no will." (If a court-appointed administrator was acting in the capacity of an executor on that date, the administrator may claim as the "administrator c.t.a. (or d.b.n.c.t.a.) of the deceased author.") If more than one author is named in section 2, specify which author is deceased; for example, "widow of the deceased author, Benjamin Bracket." If the work is a posthumous or composite work, or if it was created as a "work made for hire," the statutory claimant may claim as "proprietor of copyright in a work made for hire (or in a posthumous or composite work.)" **Note:** For a work to be "posthumous" for renewal registration purposes, it had to be unpublished at the time of the author's death, with no assignment of copyright or other exploitation having occurred during the author's lifetime. A separate written statement to this effect must accompany a renewal claim in a posthumous work.

Address • Give the *current* and complete address of each statutory claimant, or give the year of death, if the statutory claimant is an individual who died after the renewal copyright vested. If the address is an "in care of" address, include the name of the addressee (representative). If you do not know the address of a claimant, do not name that claimant.

3b • This application is submitted by or on behalf of the • Check only one option. If the party submitting the application is, or represents, the statutory claimant(s) named in line 3a, check option 1 and go on to section 4. Or, if the party submitting the application is, or represents, the assignee or successor of the statutory claimant named in line 3a check option 2. In this case, complete 3c.

3c • Information about the assignee/successor • Give the name and address of the assignee or successor and designate the means of transfer. **NOTE:** An assignee or successor may submit an application only if a claim in the name of the statutory claimant has not already been registered.

Section 4 · Certification

The applicant must certify that he or she is, or represents, the statutory claimant(s) or the assignee/successor, and that the information given on the application is accurate.

Section 5 · Payment, Correspondence, and Mailing Information

By completing the deposit account information, the applicant authorizes the Copyright Office to deduct the required fee(s) from the deposit account. Otherwise, a check or money order must accompany the application (and deposit copy, if any). Give contact information for correspondence regarding registration issues and a complete mailing address for mailing the certificate. That address, as given, will appear in the window of the envelope.

5	ht Office	REGISTRATION NU	WBER	
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IF THERE ARE ADDITIONAL AUTHORS, USE SPACE D ON FORM RE/CON.

APPLICATION RECEIVED:

CORRESPONDENCE: YES

EXAMINED BY:

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE FORM RE/CON.

FUNDS RECEIVED:

Α

RENEWAL TERM OWNERSHIP INFORMATION

Name the party or parties entitled by law to claim the renewal copyright on the last day of the original 28-year term of copyright. For each statutory claimant named, give the basis of the claim, and provide a current address. Read instructions.

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CERTIFICATION

I, the undersigned, hereby certify that I am the statutory claimant, the assignee/successor, or the duly authorized agent of the statutory claimant or assignee/successor, and that the statements made by me in this application are correct.

Typed or printed name: _	Date:
51	

Handwritten signature: ____

PAYMENT, CORRESPONDENCE, AND MAILING INFORMATION

Deposit account number:	Account name:

Contact information for correspondence, including name and mailing address:

Phone: (_____) _____ Fax: (_____) _____ Email: _____

MAILING ADDRESS FOR CERTIFICATE:

NAME			
NUMBER/STREET			APT/SUITE
CITY/TOWN	STATE/PROVINCE	ZIP/POSTAL CODE	COUNTRY

17 USC \$506(e): Any person who knowingly makes a false representation for sports, and person wind knowing ymaks a raise representation of a material fact in the application for copyright registration provided for by section 409, or in any written statement filed in connection with the application, shall be fined not more than \$2,500.