NIMS Implementation Matrix for States and Territories
(October 1, 2006 – September 30, 2007)

Introduction

Homeland Security Presidential Directive 5 (HSPD-5) *Management of Domestic Incidents* called for the establishment of a single, comprehensive National Incident Management System (NIMS). The NIMS is a system that improves response operations through the use of the Incident Command System (ICS) and other standard procedures and preparedness measures. It also promotes development of multi-jurisdictional, statewide and interstate regional mechanisms for coordinating incident management and obtaining assistance during large-scale or complex incidents. HSPD-5 dictated that Federal departments and agencies shall make adoption of the NIMS a requirement for the provision of Federal preparedness assistance funds.

HSPD-5 also established and designated the NIMS Integration Center (NIC) as the lead Federal agency to coordinate NIMS compliance. One of the primary functions of the NIC is to ensure NIMS remains an accurate and effective management tool through refining and adapting compliance requirements to address ongoing preparedness needs. To accomplish this, the NIC relies on input from Federal, state, local, tribal, multi-discipline and private authorities to assure continuity and accuracy of ongoing efforts.

As recent events have illustrated, all levels of government as well as community-based organizations and the private-sector must work together to prevent, prepare for, respond to, and recover from any emergency or disaster. NIMS compliance must be an ongoing effort as new personnel must be trained and plans must be revised to reflect lessons learned.

States play an important role in ensuring the effective implementation of the NIMS. They must ensure that the systems and processes are in place to communicate the NIMS requirements to local jurisdictions and support them in implementing the NIMS. The NIMS implementation requirements for local jurisdictions are available in a separate matrix to support this communication and coordination between the states and local jurisdictions. States must also implement specific NIMS implementation actions as outlined in this matrix. States should encourage and support a regional approach to NIMS implementation among its jurisdictions. In some instances smaller communities may not have the resources to implement all elements of NIMS on their own. However, by working together with other localities in their regions, they will be able to pool their resources to implement NIMS.

Goal

As NIMS becomes more incorporated into incident management, state/territorial and tribal/local jurisdictions will be able to:

- Ensure common and proven incident management doctrine, practices and principles are used to plan for, protect against, respond to and recover from emergency incidents and preplanned events;

- Maintain a response operation capable of expanding to meet an escalating situation and the ability to integrate resources and equipment from intrastate and interstate mutual aid agreements, state-provided assistance and federal government response;

- Order and track response assets using common resource typing and definitions, and draw on mutual aid agreements for additional assistance;

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- Establish staging and allocation plans for the re-distribution of equipment, supplies and aid coming into the area from other localities, states or the federal government through mutual aid agreements;

- Conduct situational assessments and establish the appropriate ICS organizational structure to effectively manage the incident; and

- Establish communication processes, procedures and protocols that will ensure effective interoperable communications among emergency responders, 9-1-1 centers and multi-agency coordination systems such as Emergency Operations Centers (EOC).

The long-term goal of NIMS is to provide state, territorial, local, and tribal jurisdictions with a consistent operational framework for all aspects of incident management. This framework should be sustainable, flexible, and scalable to meet changing incident needs and allow for integration of other resources from various partners through mutual aid agreements.

NIMS Compliance

In previous years, the NIC took steps toward achieving this goal of NIMS implementation and compliance. In October 2005, the NIC disseminated the FY06 NIMS Compliance Requirements Matrices to the state, territory, tribal and local partners. Included was a letter to the nation's governors emphasizing the need to take the initial actions (as described in the matrices) to build a consistent operational framework for the nation. During FY06, states/territories were asked to self-certify their NIMS compliance by completing a NIMS certification form. Some states utilized the National Incident Management Capability Assessment Support Tool (NIMCAST) to assist in determining their NIMS compliance baseline.

The current NIMCAST tool is being redesigned to better meet stakeholder needs. Once released (in early 2007), the NIMCAST will be the preferred tool for use by states to assess NIMS compliance. All jurisdictions will be encouraged to use the NIMCAST to assess their compliance; however, other independently-developed electronic tools may be used for this purpose, provided that those tools can replicate the questions and metrics NIMCAST will assess.

In FY07, the self-certification approach will transition to performance-based questions and a measurement system of "metrics" which were derived, in part, from the required actions for compliance specified in the FY06 matrices. A collaborative approach with various state and local stakeholders was used to develop the metrics. This approach was based on the broad experiences of the subject matter experts from within the stakeholder group. Overall these metrics will measure the specific compliance requirements of state, territorial, tribal, and local jurisdictions for NIMS implementation in a consistent and achievable manner.

The metrics were separated into two categories:

- **Tier 1** metrics and questions (**bold type**) were deemed critical to measuring FY07 compliance. The Tier 1 metrics were derived from previously established NIMS requirements, and are fundamental to the success of NIMS implementation.

  All Tier 1 questions require answers in the affirmative to achieve compliance by the end of FY 07.

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- All other metrics and questions (not in bold type) were deemed necessary in establishing a clear path ahead. These metrics and questions form the basis of FY 08 NIMS requirements and will be deemed critical at the end of FY 08. At present, they should be used to assess current implementation activities and revise long-term planning to ensure compliance in FY 08.

All other questions <u>do not require</u> answers in the affirmative to achieve compliance by the end of FY 07.

NIMS refinement will continue to evolve as policy and technical issues are further developed and clarified. This may well result in additional requirements being issued by the NIC as to what will constitute NIMS compliance in FY07 and beyond. With the completion of the FY07 actions, state, territorial, local, and tribal governments will have the foundational support for future NIMS implementation and compliance. The effective and consistent implementation of the NIMS nationwide will result in a strengthened national capability to prevent, prepare for, respond to and recover from any type of incident.

FY07 Compliance Matrix

The FY07 NIMS Implementation Matrices have been updated to reflect the transition from self-certification to specific performance-based metrics (see table below). The matrices describe the actions that states/territories must take by the end of FY07 (September 30, 2007) to be compliant with NIMS. These implementation requirements are in addition to the FY06 NIMS requirements as established in October, 2005. These requirements are available on the NIMS web page at: http://www.fema.gov/emergency/nims/index.shtm.

Specific updates include:

- **New Required Compliance Actions**: These are indicated by "**NEW FOR FY07**" and should be addressed in FY07.
- **Specific Areas of Measure:** This new column indicates the specific areas that will be measured by the NIMS Integration Center. Additionally, areas determined essential to successful NIMS implementation are emphasized in **BOLD** (supporting areas are in regular font).

NIMS Implementation Matrix		
Required Actions for Compliance	**Specific Areas of Measure**	**Guidance and Technical Assistance Resources**
Section Title		
Current Compliance Requirement	• **Essential area required for compliance in FY07** • Supporting area to be measured in FY07	• Guidance and resources to assist in performing the required action
NEW FOR FY07: New FY07 Compliance Requirement	• New area to be developed in FY07 and measured in FY08	• Guidance and resources to assist in performing the required action

More information on NIMS and NIMS compliance, and answers to frequently asked questions are available on the NIMS Integration Center web page: (www.fema.gov/emergency/nims/nims.shtm).

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FY07 Compliance Activities		
Required Compliance Actions	**Specific Areas of Measure** **Bold** = "Tier 1"	**Guidance and Technical Assistance Resources**
State Adoption and Infrastructure		
Adopt NIMS at the state/territorial level for all government departments and agencies; as well as promote and encourage NIMS adoption by associations, utilities, non-governmental organizations (NGOs) and private sector incident management and response organizations. Monitor formal adoption of NIMS by all tribal and local jurisdictions.	• **Formal NIMS adoption achieved by all states/territories.** • **State/territory promotes and encourages NIMS adoption by all stakeholders (associations, utilities, NGOs, and appropriate private sectors partners).** • State/territory monitors formal NIMS adoption.	• Adopt NIMS through executive order, proclamation, resolution or legislation as the state's official all-hazards, incident response system. • Develop a baseline assessment of NIMS requirements that your jurisdiction already meets, and using that baseline, develop a strategy for full NIMS implementation and maintenance. • NIMS Capability Assessment Support Tool (NIMCAST): www.fema.gov/nimcast • NIMS Adoption Tools and Templates: www.fema.gov/emergency/nims/nims_toolsandtemplates.shtm
Establish a planning process to ensure the communication and implementation of NIMS requirements across the state/territory, including tribal/local governments. This process must provide a means for measuring progress and facilitate reporting.	• **Measurable processes are in place for communication, implementation and reporting of NIMS compliance requirements by state/territory to state-level departments and/or agencies, and tribal/local stakeholders by all states/territories.**	• NIMS Capability Assessment Support Tool (NIMCAST): www.fema.gov/nimcast • NIMS Implementation Plan Tools and Templates: www.fema.gov/emergency/nims/nims_toolsandtemplates.shtm • FY07 Tribal/local NIMS Compliance Matrix

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FY07 Compliance Activities		
Required Compliance Actions	**Specific Areas of Measure** **Bold** = "Tier 1"	**Guidance and Technical Assistance Resources**
Designate a single point of contact within the state/territorial government to serve as principal coordinator for NIMS implementation statewide.	• **Single point of contact for overall NIMS implementation designated by all states/territories.** • NIMS implementation point of contact has appropriate authority.	• Consider establishing new or leverage existing cross-jurisdictional and cross-discipline advisory group to assist and ensure full implementation of NIMS. • NIMS Implementation Plan Tools and Templates: www.fema.gov/emergency/nims/nims_toolsandtemplates.shtm
To the extent permissible by law, ensure that federal preparedness funding to state/territorial departments and/or agencies, as well as tribal/local jurisdictions, is linked to the satisfactory progress in meeting the requirements related to FY06 NIMS implementation requirements.	• **As appropriate, state/territory verifies NIMS compliance as a condition for the distribution of DHS preparedness funding.** • As appropriate, state/territory verifies NIMS compliance as a condition for the distribution of other (non-DHS) Federal preparedness funding to local and/or tribal jurisdictions.	• National Incident Management System (NIMS): www.fema.gov/emergency/nims • NIMS Capability Assessment Support Tool (NIMCAST): www.fema.gov/nimcast • 2006 Homeland Security Grant Program Guidance: www.ojp.usdoj.gov/odp/docs/fy2006hsgp.pdf • National Preparedness Goal and National Preparedness Guidance: www.ojp.usdoj.gov/odp/docs/NationalPreparednessGuidance.pdf
To the extent permissible by state/territorial law and regulations, audit agencies and review organizations should routinely include NIMS implementation requirements in all audits associated with federal preparedness grant funds. This process will validate the self-certification process for NIMS compliance.	• **State/territorial audit agencies and review organizations evaluate NIMS implementation and compliance as part of grant-related audits for DHS funding.** • State/territorial audit agencies and review organizations evaluate NIMS implementation and compliance as part of grant-related audits for other (non-DHS) Federal preparedness funding.	• National Incident Management System (NIMS): www.fema.gov/emergency/nims • NIMS Capability Assessment Support Tool (NIMCAST): www.fema.gov/nimcast • 2006 Homeland Security Grant Program Guidance: • www.ojp.usdoj.gov/odp/docs/fy2006hsgp.pdf • A list of the Federal preparedness grant programs: www.ojp.usdoj.gov/odp/grants_programs.htm • National Preparedness Goal and National Preparedness Guidance: www.ojp.usdoj.gov/odp/docs/NationalPreparednessGuidance.pdf

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FY07 Compliance Activities		
Required Compliance Actions	**Specific Areas of Measure** **Bold** = "Tier 1"	**Guidance and Technical Assistance Resources**
NEW FOR FY07: Monitor and assess outreach and implementation of NIMS requirements across the state/territory, including tribal/local jurisdictions.	• State/territory assesses outreach and implementation of NIMS compliance requirements.	• Conduct assessment of the implementation of NIMS requirements by state/territorial departments and agencies, local jurisdictions, and tribal jurisdictions. • NIMS Implementation Plan Tools and Templates: www.fema.gov/emergency/nims/nims_toolsandtemplates.shtm • NIMS Capability Assessment Support Tool (NIMCAST): www.fema.gov/nimcast
NEW FOR FY07: For departments and/or agencies identified within the state/territorial NIMS implementation plan, designate a single point of contact to serve as principal coordinator for NIMS implementation.	• Single Point of Contact for NIMS implementation designated by each state/territorial department and/or agency identified in the state/territorial NIMS Implementation Plan.	• Example: state/territorial department and/or agency representative designated to coordinate NIMS implementation within that department and/or agency. • NIMS Capability Assessment Support Tool (NIMCAST): www.fema.gov/nimcast • NIMS Implementation Plan Tools and Templates: www.fema.gov/emergency/nims/nims_toolsandtemplates.shtm

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Required Compliance Actions	**Specific Areas of Measure** **Bold** = "Tier 1"	**Guidance and Technical Assistance Resources**
Command and Management		

<u>Incident Command System (ICS):</u> Manage all emergency incidents and preplanned (recurring/special) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning (IAP) and Common Communications Plans (CCP), as appropriate.	• **State/territorial responders utilize NIMS-prescribed ICS during all-hazards incident response.**
	• State/territorial responders utilize NIMS-prescribed ICS during preplanned events.
	• State/territorial consistently applies NIMS-prescribed ICS to IAPs.
	• State/territorial consistently applies NIMS-prescribed ICS to CCPs.
	• Utilization of ICS verified by exercises.
		• Institutionalize ICS: Terms and definitions: www.fema.gov/txt/nims/institutionalizing_ics.txt
		• Incorporate concepts and principles of NIMS Chapter II, Command and Management including ICS characteristics such as:
		  • common terminology
		  • modular organization
		  • management by objectives
		  • incident action planning
		  • manageable span of control
		  • pre-designated incident facilities
		  • comprehensive resource management
		  • integrated communications
		  • transfer of command
		  • unity of command
		  • unified command
		  • personnel and resource accountability
		  • information and intelligence management

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FY07 Compliance Activities		
Required Compliance Actions	**Specific Areas of Measure** **Bold** = "Tier 1"	**Guidance and Technical Assistance Resources**
Multi-agency Coordination System: Coordinate and support emergency incident and event management through the development and use of integrated multi-agency coordination systems, i.e. - develop and maintain connectivity capability between local Incident Command Posts (ICP), local 911 Centers, local Emergency Operations Centers (EOCs), the state EOC and regional and/federal EOCs and NRP organizational elements.	• MACS utilized for preplanned (recurring/special) events, incident-specific hazards, no-notice events and/or specific incidents.	• NIMS Chapter II, Command and Management. • IS-701 NIMS Multi-agency Coordination System: http://training.fema.gov/EMIWeb/IS/is701.asp • FEMA NIMS Training: www.fema.gov/emergency/nims/nims_training.shtm • National Emergency Training Center 2006-2007 Training Catalog: Introduction to Unified Command for Multi-Agency and Catastrophic Incidents: www.usfa.dhs.gov/downloads/pdf/nfa/fa-273-nfa.pdf • Multi-agency Coordination System Best Practices will be available at: www.fema.gov/emergency/nims

Public Information System:
Institutionalize, within the framework of ICS, the Public Information System, comprising of the Joint Information System (JIS) and a Joint Information Center (JIC). The Public Information System will ensure an organized, integrated, and coordinated mechanism to perform critical emergency information, crisis communications and public affairs functions which is timely, accurate, and consistent. This includes training for designate participants from the Governor's office and key state agencies.
	• The State Emergency Operations Plan (EOP) has provisions for utilizing a NIMS-prescribed Public Information System including the establishment of:
	  • Joint Information System (JIS)
	  • Joint Information Center (JIC)
		• NIMS Chapter II, Command and Management.
		• Public Information Training (E388, Advanced Public Information Officers and G290, Basic Public Information Officers).
		• IS-702 National Incident Management Systems (NIMS) Public Information Systems: http://training.fema.gov/EMIWeb/IS/is702.asp
		• Public Information System Best Practices will be available at: www.fema.gov/emergency/nims

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FY07 Compliance Activities		
Required Compliance Actions	**Specific Areas of Measure** **Bold** = "Tier 1"	**Guidance and Technical Assistance Resources**
NEW FOR FY07: Ensure that the state/territorial Public Information System can gather, verify, coordinate, and disseminate information during an incident.	• Public Information System can gather, verify, coordinate, and disseminate information during an incident • Public Information System capability verified by exercises.	• Utilize existing Public Information System and/or other communication systems for best practices and technical aids.
Preparedness Planning		
Establish the state/territorial NIMS baseline against the FY05 and FY06 implementation requirements.	• **State/territory has completed NIMS baseline against the FY05 and FY06 implementation requirements.**	• States have upgraded their Homeland Security strategy, NIMS Implementation Plan, and any other state preparedness strategies and plans as appropriate to close capability gap. • NIMS Capability Assessment Support Tool (NIMCAST): www.fema.gov/nimcast • NIMS Implementation Plan Tools and Templates: www.fema.gov/emergency/nims/nims_toolsandtemplates.shtm
Coordinate and leverage all federal preparedness funding to implement the NIMS.	• **DHS Federal preparedness funding is being coordinated towards state/territorial and/or tribal/local level preparedness and response activities.** • Other (non-DHS) Federal preparedness funding is being coordinated towards state/territorial and/or tribal/local level preparedness and response activities.	• A list of the Federal preparedness grant programs that have been reported to the NIC are available on the NIMS web page at: www.fema.gov/emergency/nims • 2006 Homeland Security Grant Program Guidance: www.ojp.usdoj.gov/odp/docs/fy2006hsgp.pdf • National Preparedness Goal and National Preparedness Guidance: www.ojp.usdoj.gov/odp/assessments/hspd8.htm • Catalog of Federal Domestic Preparedness Assistance (CFDA): www.cfda.gov

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FY07 Compliance Activities		
Required Compliance Actions	**Specific Areas of Measure** **Bold** = "Tier 1"	**Guidance and Technical Assistance Resources**
Revise and update plans and SOPs to incorporate NIMS and National Response Plan (NRP) components, principles and policies, to include planning, training, response, exercises, equipment, evaluation and corrective actions.	• **State/territory plans, Standard Operating Guidance (SOG), and Standard Operating Procedures (SOPs) are consistent with NIMS and NRP.**	• Plans and SOP/SOGs and have been updated, incorporating lessons learned and best practices from exercises and response operations. • National Response Plan (NRP): www.dhs.gov/nationalresponseplan • 2006 Homeland Security Grant Program Guidance: www.ojp.usdoj.gov/odp/docs/fy2006hsgp.pdf • National Preparedness Goal and National Preparedness Guidance: www.ojp.usdoj.gov/odp/assessments/hspd8.htm • National Incident Management System (NIMS): www.fema.gov/emergency/nims
Promote intrastate and interagency mutual aid agreements (to include agreements with the private sector and non-governmental organizations).	• **State/territory promotes the use of interagency and interstate mutual aid agreements within its departments and/or agencies.**	• Review, support and adopt the ongoing efforts of the NIC to develop a national credentialing system. • Expand mutual aid agreements beyond support services and equipment to include information sharing. • EMAC model state-county mutual aid deployment contract: www.emacweb.org/?123 • EMAC model intrastate mutual aid legislation: www.emacweb.org/docs/NEMA%20Proposed%20Intrastate%20Model-Final.pdf
Preparedness Training		
Leverage training facilities to coordinate and deliver NIMS training requirements in conformance with the NIMS National Standard Curriculum.	• **NIMS-related training conforms to the NIMS National Standard Curriculum.** • Training facilities are being utilized to provide NIMS training.	• NIMS National Standard Curriculum Training Development Guidance: www.fema.gov/pdf/nims/nims_training_development.pdf • FEMA NIMS Training: www.fema.gov/emergency/nims/nims_training.shtm

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Required Compliance Actions	**Specific Areas of Measure** **Bold** = "Tier 1"	**Guidance and Technical Assistance Resources**

Complete IS-700 NIMS: An Introduction.	• **Completion of IS-700 by:**
	  • **Entry level first responders and disaster workers**
	  • **First line supervisors**
	  • **Middle management**
	  • **Command and general staff**
		• All personnel with a direct role in emergency preparedness, incident management or response must complete this training.
		• NIMS National Standard Curriculum Training Development Guidance: www.fema.gov/pdf/nims/nims_training_development.pdf
		• FEMA NIMS Training: www.fema.gov/emergency/nims/nims_training.shtm
		• IS-700 An Introduction: http://training.fema.gov/EMIWeb/IS/is700.asp
		• NIMS National Standard Curriculum Training Development Guidance: www.fema.gov/pdf/nims/nims_training_development.pdf

Complete IS-800 National Response Plan: An Introduction.	• **Completion of IS-800 A by:**
	  • **Emergency management personnel in middle management**
	  • **Emergency management personnel in command and general staff**
		• NIMS National Standard Curriculum Training Development Guidance: www.fema.gov/pdf/nims/nims_training_development.pdf
		• FEMA NIMS Training: www.fema.gov/emergency/nims/nims_training.shtm
		• IS-800 A NRP An Introduction: training.fema.gov/EMIWeb/IS/is800a.asp
		• The NIMS web page provides guidance for who should complete this training. www.fema.gov/emergency/nims

Complete ICS-100 and ICS-200 Training.	• **Completion of ICS-100 by:**
	  • **Entry level first responders and disaster workers**
	  • **First Line Supervisors**
	  • **Middle management**
	  • **Command and general staff**
	
	• **Completion of ICS 200 by:**
	  • **First line Supervisors**
	  • **Middle management**
	  • **Command and general staff**
		• ICS 100: www.training.fema.gov/emiweb/IS/is100.asp
		• ICS 100: www.usfa.fema.gov/training/nfa
		• ICS 200: www.training.fema.gov/emiweb/IS/is200.asp
		• ICS 200: http://www.usfa.fema.gov/training/nfa
		• NIMS National Standard Curriculum Training Development Guidance: www.fema.gov/pdf/nims/nims_training_development.pdf
		• The NIMS web page provides guidance for who should complete this training: www.fema.gov/emergency/nims

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FY07 Compliance Activities		
Required Compliance Actions	**Specific Areas of Measure** **Bold** = "Tier 1"	**Guidance and Technical Assistance Resources**

NEW FOR FY07: Complete ICS-300 and ICS-400 Training.	• Completion of ICS-300 by:
	   • Middle management
	   • Command and general staff
	• Completion of ICS 400 by:
	   • Command and general staff
		• FEMA Training FY06 Requirements: www.fema.gov/pdf/nims/06_training.pdf
		• FEMA Training Overview: http://training.fema.gov/EMIWeb/IS/ICSResource/ICSResCntr_Training.htm
		• FEMA Emergency Management Institute: http://training.fema.gov/EMIWeb/

Preparedness Exercises		
Incorporate NIMS/ICS into all state/territorial and regional training and exercises.	• **State/territorial exercises incorporate NIMS.**	• DHS Exercise Information: www.ojp.usdoj.gov/odp/exercises.htm • Homeland Security Exercise and Evaluation Program (HSEEP) IS-120 Online Course: http://209.176.175.84/hseep2/IS120/login.asp • FEMA NIMS Training: www.fema.gov/emergency/nims/nims_training.shtm • NIMS National Standard Curriculum Training Development Guidance: www.fema.gov/pdf/nims/nims_training_development.pdf
Participate in an all-hazard exercise program based on NIMS that involves responders from multiple disciplines and multiple jurisdictions.	• **State/territorial exercises evaluate NIMS implementation.** • **Multiple disciplines and multiple jurisdictions participate in exercises.** • State/territorial exercises evaluate the National Response Plan components.	• DHS Exercise Information: www.ojp.usdoj.gov/odp/exercises.htm • Homeland Security Exercise and Evaluation Program (HSEEP) IS-120 Online Course: http://209.176.175.84/hseep2/IS120/login.asp • NIMS National Standard Curriculum Training Development Guidance: www.fema.gov/pdf/nims/nims_training_development.pdf • 2006 Homeland Security Grant Program Guidance: www.ojp.usdoj.gov/odp/docs/fy2006hsgp.pdf • National Preparedness Goal and National Preparedness Guidance: www.ojp.usdoj.gov/odp/assessments/hspd8.htm

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FY07 Compliance Activities		
Required Compliance Actions	**Specific Areas of Measure** **Bold** = "Tier 1"	**Guidance and Technical Assistance Resources**
Incorporate corrective actions into preparedness and response plans and procedures.	• **Corrective actions have been incorporated into preparedness and response plans and procedures.**	• DHS Exercise Information: www.ojp.usdoj.gov/odp/exercises.htm • Homeland Security Exercise and Evaluation Program (HSEEP) IS-120 Online Course: http://209.176.175.84/hseep2/IS120/login.asp
Resource Management		
Inventory state response assets to conform to homeland security resource typing standards.	• **State/territory has inventoried its response resources.** • State/territory has developed a response asset inventory. • State/territorial resource typing conforms to DHS standards as identified by the NIC.	• Resource Typing Guidance: www.fema.gov/pdf/emergency/nims/resource_typing_qadoc.pdf • IS-703 NIMS Resource Management: www.training.fema.gov/emiweb/IS/is703.asp • Propose modifications or new resource definitions to the NIC for inclusion in the resource typing effort.
To the extent permissible by state and local law, ensure that relevant national standards and guidance to achieve equipment, communication and data interoperability are incorporated into state and local acquisition programs.	• **State/territory aligns acquisitions with state/territorial-wide interoperable standards for equipment, communications, and data.**	• DHS Equipment Program: www.ojp.usdoj.gov/odp/grants_goals.htm • 2006 Homeland Security Grant Program Guidance: www.ojp.usdoj.gov/odp/docs/fy2006hsgp.pdf • National Preparedness Goal and National Preparedness Guidance: www.ojp.usdoj.gov/odp/assessments/hspd8.htm • DHS SAFECOM Program: www.safecomprogram.gov/SAFECOM
NEW FOR FY07: Validate that the inventory of response assets conforms to homeland security resource typing standards.	• State/territory response asset inventory conforms to DHS resource typing standards as identified by the NIC.	• Resource Typing Guidance: www.fema.gov/pdf/emergency/nims/resource_typing_qadoc.pdf • IS-703 NIMS Resource Management: www.training.fema.gov/emiweb/IS/is703.asp • Identify resources to include in a state/territory-wide database to serve as a response asset inventory.

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Required Compliance Actions	**Specific Areas of Measure** **Bold** = "Tier 1"	**Guidance and Technical Assistance Resources**

NEW FOR FY07: Utilize the state/territory response asset inventory for Intra and Inter-State Mutual Aid (such as Emergency Management Assistance Compact (EMAC)) requests, exercises, and actual events.	• State/territory-wide response asset inventory utilized for:
	   • Mutual aid
	   • Exercises
	   • Actual events
		• FEMA Resource Management Course IS-703: NIMS Resource Management: www.training.fema.gov/emiweb/IS/is703.asp
		• FEMA Introductory EMAC training information: http://training.fema.gov/EMIweb/downloads/EMAC_Full_Precourse_04_14_05.pdf
		• Emergency Management Assistance Compact: www.emacweb.org
		• Centers for Disease Control and Prevention support for EMAC: www.bt.cdc.gov/planning/emac/

Communication and Information Management		
Apply standardized and consistent terminology, including the establishment of plain language communications standards across public safety sector.	• **State/territory implements standardized terminology and plain language for all multi-jurisdictional and/or multi-agency response activities.**	• Incident response communications (during exercises and actual incidents) should feature plain language commands so they will be able to function in a multi-jurisdiction environment. Field manuals and training should be revised to reflect the plain language standard. • '10' codes may continue to be used during non-emergency, internal department communications. • The Emergency Management Institute (EMI) is currently developing an independent study and classroom course on NIMS Communication and Information Management. Additional information will be posted on the NIMS Integration Center web page when available at: www.fema.gov/emergency/nims

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FY07 Compliance Activities		
Required Compliance Actions	**Specific Areas of Measure** **Bold** = "Tier 1"	**Guidance and Technical Assistance Resources**
NEW FOR FY07: Develop systems, tools, and processes to present consistent and accurate information to incident managers at all levels (e.g., common operating picture).	• Common operating picture exists for all incident managers during actual events.	• A common operating picture allows incident managers at all levels to make effective, consistent, and timely decisions. Integrated systems for communication, information management, and intelligence and information sharing allow data to be continuously updated during an incident, providing a common framework that covers the incident's life cycle across jurisdictions and disciplines. A common operating picture helps ensure consistency at all levels of incident management across jurisdictions, as well as between various governmental jurisdictions and private-sector and nongovernmental entities that are engaged. • Systems, tools, and/or processes may include written plans and/or functional tools (e.g. tabletop exercises, software).