CWU Pilot FAQ

If I do not submit my CWU properly, will I lose my filing date?	No. All CWU submissions are considered a supplement to material already present in the specification, and not a replacement of this material. Participation in this pilot is optional for all applicants.
Will I need to change the way I file my patent applications if I participate in this pilot?	No. You should continue to file your applications as you have in the past. All CWU submissions are considered a supplement to material already present in the specification, and not a replacement of this material.
Does a CWU file count against the application size, for fee purposes?	Electronic files submitted in accordance with this pilot are not considered to be a part of the specification or drawings. Documents submitted in accordance with this pilot will not be included for purposes of determining the application size fee.
What does the USPTO hope to accomplish with the pilot program?	Information obtained during the pilot will allow the Office to determine the feasibility of accepting and using chemical structures, tables, mathematical equations, and three-dimensional protein crystalline structures in specific electronic formats. The Office hopes that accepting these CWUs will improve the communication between applicants and the office and improve accuracy of the publication process.
Who can I contact to find out more information about the CWU pilot?	More detailed information pertaining to this pilot is posted on the USPTO web site at If you would like to make suggestions and give feedback about the pilot, please contact CWUPilotSupport@uspto.gov.
Are there benefits to an applicant to participate in the CWU pilot?	Participation in the pilot will allow applicants to guide and influence future PTO practices. In addition, this pilot will potentially improve examination of applications, and publication of pre-grant publications and patent grants.
If the CWU submission is different than what is in my specification, what are the ramifications?	In the event of any discrepancy between the paper or PDF specification and the electronic version of a complex work unit, the paper or PDF version is the authority version.
Do I have to submit the CWU at the time of filing?	No. The submission is a supplement to material already present in the specification, and can be filed at any time, including the date of filing. You may also submit CWU source files for applications filed before the start of the pilot.

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I don't have any Math, Chemistry, or Protein structures in my specifications, but there are other things I think the USPTO could use. What should I do?	We would like your feedback regarding possible future initiatives. Please contact us at CWUPilotSupport@uspto.gov.
What is a CWU?	A CWU is something that may be more useful in it's native format than it is when represented as an image or on a page. For example, a table that is submitted to the USPTO on paper will present the intended data, but in a format that may be hard to work with. If the USPTO had the table in it's original electronic format, the ability to manipulate the data would be greatly improved.
I use .xyz files, but I'm not sure that the USPTO can use them. Should I submit them anyway?	Yes. The Office wants to gather as much information as possible about file formats and processes that applicants use to determine the feasibility of accepting and using chemical structures, tables, mathematical equations, and three-dimensional protein crystalline structures in specific electronic formats. Any CWUs that are not in ASCII text format (PDB, MathML, or InChI are ASCII text formats) should be submitted to the USPTO on a CD-ROM.