Status of cowcod, Sebastes levis, in the Southern California Bight



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Cowcod drawing adapted from Fish Bulletin No. 157 (CDF&G, 1972)

Executive Summary

Stock: This is an assessment of *Sebastes levis* in the Southern California Bight (SCB), defined as U.S. waters off California and south of Point Conception (34°27'). Waters north and south of the SCB are not considered in this assessment due to sparse data and possible differences in abundance trends (Piner et al., 2005). The assumption of an isolated stock remains untested, and no information is available regarding dispersal across the northern or southern stock boundaries.

Catch: Retention of cowcod has been prohibited since January 2001. Recreational catches in this assessment are identical to those in the previous assessment, but estimates of commercial catches have been updated to reflect three additional data sources: 1) recovered port samples from Southern California (1983-1985), 2) regional summaries of total rockfish landings (1928-1968) provided by the NMFS SWFSC Environmental Research Division, and 3) California rockfish landings by region (1916-1927), published in CDF&G Fish Bulletin No. 105 (1958). From 2001 to the present, we assume a discard rate of 0.25 metric tons per year, per fishery (Table ES1).

Year	Commercial	Recreational	Total
 1997	7.30	1.85	9.15
1998	1.21	2.81	4.03
1999	3.47	3.77	7.24
2000	0.45	4.49	4.94
2001	0.25	0.25	0.5
2002	0.25	0.25	0.5
2003	0.25	0.25	0.5
2004	0.25	0.25	0.5
2005	0.25	0.25	0.5
2006	0.25	0.25	0.5

Table ES1: Recent catch [metric tons] of cowcod in the Southern California Bight

Figure ES1: Estimated cowcod catch, 1900-2006



Data and assessment: The model is an age-structured production model, with three estimated parameters: virgin recruitment (R_0), catchability for the CPFV logbook index, and catchability for the visual survey biomass estimate. In the previous assessment (Piner et al., 2005), the selectivity curves for the combined recreational/commercial fishery and CPFV logbook index were inadvertently set equal to female fecundity. Changing the selectivity curve to mirror the female maturity schedule as originally intended causes the 2005 estimate of depletion to drop from 17.8% to 9.4%.

In this assessment, the commercial fishery (all gears combined) is modeled separately from the recreational fishery. Gear selectivity for the commercial fleet is set equal to the female maturity schedule, as was the intention of Piner et al. (2005). Cowcod length data from a CDF&G observer study are used to estimate a selectivity curve for the recreational fishery and CPFV logbook index. Changes to the historical catch data (Fig. ES1) are described in detail in the main document. The period modeled in the 2005 assessment (1916-2007) was extended (1900-2007) by assuming a linear ramp in catch from 0.1 metric tons in 1900 to the revised catch estimate for 1916.

An index derived from Commercial Passenger Fishing Vessel (CPFV) logbook data was reconstructed using a revised spatial stratification, but logbook data from 2001 to the present are excluded due to the effects of management. A revised estimate of cowcod biomass in 2002 (524 mt; Yoklavich et al., 2007) from the submersible line-transect survey is modeled as a relative abundance index with a prior probability distribution on catchability. The revised estimate and its effect on the assessment were reviewed and approved by the Science and Statistical Committee (SSC) during their November 2007 meeting. This document has been updated to reflect the change with the exception of some preliminary analyses as indicated in the main text.

The length-at-age relationship was slightly adjusted based on evidence that lengths recorded during the ageing process were total length rather than fork length. The steepness parameter (h) in the Beverton-Holt stock-recruitment curve was fixed at 0.6 based on a recent meta-analysis of several rockfish stocks, as opposed to 0.5 in the previous assessment. Natural mortality (M) was fixed at 0.055.

The base model was bracketed by evaluating alternative values of steepness (0.4 and 0.8), and by examining the effect of removing the CPFV logbook index. Removing the CPFV index reduces the model to a deterministic trajectory that is forced through the 2002 biomass estimate. Stock Synthesis 2 (SS2), version 2.00c was used to fit the model.

Unresolved problems and major uncertainties

The most important unresolved problem for this assessment is the lack of data to inform us about productivity of the stock and recent biomass trends. The base model fixes steepness at 0.6 based on the expectation of a prior distribution from a meta-analysis of rockfish steepness parameters. The CPFV logbook index of relative abundance ends in 2000, and no informative abundance indices are currently available to monitor recent trends. Together, these characteristics imply that conclusions regarding rebuilding success are highly uncertain. Indications of recent stock increases are inferred from the model but have not been confirmed by observations.

It is likely that the base model underestimates our uncertainty about this stock's status. Simple models such as this require stronger assumptions (e.g. fixed steepness and natural mortality, recruitments drawn from the stock-recruitment curve, catches are known without error), and estimates from the base model are unrealistically precise. To better capture our uncertainty about the stock's status, the Stock Assessment Team (STAT) identified the steepness parameter and the inclusion of the CPFV logbook index as the two dominant sources of uncertainty in the model. Other sources of uncertainty such as natural mortality, historical catch, gear selectivity, and recruitment variability are also important to consider, but are difficult to estimate with the available data. Our analyses show that estimates of both steepness (h) and the natural mortality rate (M) are highly uncertain, and both parameters are treated as fixed and known. Models without the visual survey were not considered due to unreasonably high estimates of annual exploitation rate (total catch divided by summary biomass). The exploitation rates in the base model are also quite high considering what we know about the life history characteristics of cowcod, and the STAT considers this issue an important topic for future research.

Historical commercial landings are based on a ratio estimator that tracks rockfish landings in the Southern California Bight, rather than statewide rockfish landings. The amount of cowcod in these landings is estimated using data from relevant ports and gear types, using the earliest data for which we have actual samples. However, our uncertainty in the percentage of cowcod in total rockfish landings is not well understood, and this percentage is assumed to be constant over the historical period. Sensitivity analyses for different levels of historical landings are explored.

The CPFV logbook index is a long-term time series (1963-2000) of relative abundance which shows declining catch rates over time in the SCB. It is estimated from logbook records of catch and effort that are aggregated by year, month, and CDFG block. This level of aggregation makes it difficult to determine the amount of effective effort for cowcod. Given the model assumptions, the biomass trajectory is unable to match the rate of decline exhibited by this index, i.e. a 'hyperdepletion' pattern exists.

The biomass estimate from the 2002 visual survey is expanded to represent the biomass in the entire SCB via an estimated catchability coefficient with an informative prior distribution. This data point and the CPFV survey provide conflicting information about the status of the stock in 2002. The influence of the visual survey on model results is largely determined by the assumed precision of the prior on the catchability coefficient. The STAT believes that a reasonable lower bound for the CV of the prior (20%) was derived in Appendix 4 of the previous assessment. The base model uses a CV of 50%, based on the previous assessment. Future surveys should aim for adequate spatial coverage within the SCB to avoid this issue.

Reference points: For *Sebastes*, the PFMC currently uses $F_{50\%}$ as a proxy for the fishing mortality rate that achieves maximum sustainable yield (F_{MSY}). Spawning biomass (SB) in 2007 is estimated to be between 3.4% and 16.3% of the unfished level (Table ES2). The poor precision of this estimate is due to 1) a lack of data to inform our estimates of stock productivity, and 2) conflicting information from fishery-dependent and fishery–independent data. Even the most optimistic model presented here, which assumes a high-productivity stock and ignores declines in CPFV catch rates, suggests that spawning biomass has been below 25% since 1980

(Fig. ES2). Retention of cowcod is prohibited and bycatch is thought to be minimal, so it is unlikely that overfishing is currently an issue. In the previous assessment and a previous draft of this report, spawning biomass was reported as mature biomass of males and females. In this document spawning biomass refers to the biomass of mature females only.

Model Description								
	h = 0.4	h = 0.6	h = 0.8	-				
Reference Point	CPFV Logbook + Visual Survey	CPFV Logbook + Visual Survey	Visual Survey	units				
Unfished summary (age-1+) biomass	5905	5291	5080	metric tons				
Unfished female spawning biomass (SB $_0$)	2777	2488	2389	metric tons				
Unfished recruitment (R ₀)	123	110	106	1000s of fish				
40% of SB $_0$ (proxy for SB _{MSY})	1111	995	956	metric tons				
Exploitation rate at $F_{50\%}$ (proxy for F_{MSY})	2.7%	2.7%	2.7%	percent				
Spawning biomass in 2007 (SB ₂₀₀₇)	94	94	389	metric tons				
SB ₂₀₀₇ / SB ₀	3.4%	3.8%	16.3%	percent				

Table ES2: Reference points from the base model (h = 0.6) and alternative low- and high-productivity models.

Spawning stock biomass: Estimates of female spawning stock biomass in 2007 are highly uncertain. The current models suggest that spawning biomass has declined from an unfished biomass of 2389-2777 mt to 94-389 mt in 2007 (Fig. ES2, Table ES2).

Figure ES2: Time series of spawning biomass



Relative depletion: Estimates of relative depletion in 2007 range from 3.4% to 16.3% (Fig. ES3). Indications of recent stock increases (Table ES3) are inferred from the model but have not been confirmed by observations.



Figure ES3: Time series of depletion (biomass as a percentage of unfished biomass).

	Table	ES3:	Recent	trends in	n cowcod	biomass	and o	depletion
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	h = 0.4, CPFV index & visual survey			h = 0.6, CPFV index	& visual	survey	h = 0.8, visual survey only			
year	Age 1+ biomass [mt]	SB [mt]	SB/SB ₀	Age 1+ biomass [mt]	SB [mt]	SB/SB ₀	Age 1+ biomass [mt]	SB [mt]	SB/SB ₀	
1998	150	62	2.2%	129	48	1.9%	490	184	7.7%	
1999	156	66	2.4%	137	53	2.1%	533	204	8.5%	
2000	159	68	2.4%	143	56	2.2%	575	223	9.3%	
2001	164	71	2.5%	150	59	2.4%	620	243	10.2%	
2002	172	75	2.7%	162	65	2.6%	671	265	11.1%	
2003	180	79	2.8%	174	71	2.8%	724	288	12.1%	
2004	188	83	3.0%	186	76	3.1%	779	312	13.1%	
2005	196	87	3.1%	198	82	3.3%	835	337	14.1%	
2006	203	91	3.3%	211	88	3.5%	894	363	15.2%	
2007	211	94	3.4%	224	94	3.8%	954	389	16.3%	

Recruitment: Predicted recruitments were taken directly from the assumed stock-recruitment relationship, estimating only virgin recruitment. The base model suggests that recruitment declined rapidly from about 1970-1990, followed by an increasing trend (Fig. ES4, Table ES4).



Figure ES4: Time series of estimated recruitment

Table ES4: Estimated recruitments from the base model's stock-recruitment curve.

Year	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
Rec.	11.6	12.6	13.3	14.1	15.2	16.4	17.5	18.7	19.8	20.9

Exploitation status: The 2005 assessment combined landings from the recreational and commercial fisheries into a single fishery. The correction to the selectivity curve increased the estimates of annual exploitation rate (total catch / age 1+ biomass) after the mid-1980s (Fig. ES5). However, this comparison does not reflect changes among models in the exploitation rate at the target fishing mortality ($F_{50\%}$). A comparison of relative exploitation rates (each model's annual exploitation rates divided by its exploitation rate at target F) is a more informative comparison of exploitation histories (Fig. ES6). The higher relative exploitation rates from the 2007 base model are mainly the result of increased estimates of historical catches and catches from the mid-1980s. The current model separates the catch into a commercial fishery (all gears combined) and a recreational fishery (Fig. ES7).

Figure ES5: Estimated annual exploitation rates (total catch / age 1+ biomass) from the previous assessment, showing the effect of changing the selectivity curve to mirror the female maturity schedule rather than female fecundity.



Figure ES6: Comparison of annual exploitation rates (total catch / age 1+ biomass) from the current assessment and previous models, relative to their respective exploitation rates at the target fishing mortality rate ($F_{50\%}$). A value of 1 is the relative exploitation rate at the target mortality rate ($F_{50\%}$).



Figure ES7: Exploitation rates (catch / age 1+ biomass) by fishery for the 2007 base model.



Table ES5: Recent exploitation rates (catch / age 1+ biomass) from the 2007 base model. Rates since 2001 are based on assumed catch (discard) of 0.5 mt per year.

Year	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
Expl.	0.0312	0.0527	0.0346	0.0033	0.0031	0.0029	0.0027	0.0025	0.0024	0.0022

The history of exploitation according to the base model is summarized here with two phase diagrams. Figure ES8(a) shows annual exploitation rate (catch / age 1+ biomass) relative to the exploitation rate at $F_{50\%}$, plotted against spawning biomass relative to target spawning biomass (SB_{40%}). Figure ES8(b) replaces exploitation rates with spawning potential ratios (SPR), the ratio of equilibrium spawning output per recruit under fished conditions to spawning output per recruit in the virgin population.



Figure ES8(a): Phase diagram of cowcod exploitation history (relative exploitation rate)

Figure ES8(b): Phase diagram of cowcod exploitation history (SPR)



Management performance: Retention of cowcod is currently prohibited. Catch statistics suggest that landings in the SCB have not exceeded the OY limits in recent years. Piner et al. (2005) and Butler et al. (1999) describe the history of management measures.

Table ES6: Recent management performance										
	Years	ABC [mt]	OY [mt]	Catch [mt]						
	2001-2004	5	2.4	< 1						
	2005-2006	5	2.1	< 1						

Forecasts / Rebuilding Projections: These are presented as part of a separate rebuilding analysis.

Decision table: Three alternative states of nature were defined during the Stock Assessment Review (STAR) panel, bracketing values of the Beverton-Holt steepness parameter and considering models with and without the CPFV logbook index (Table ES7). Projected catches are divided equally between the commercial and recreational fisheries, based on relative catches in each fishery during the period 1990-1999.

				Low Productivity Stock Steepness (h) = 0.4		Base Model Steepness (h) = 0.6		High Productivity Stock Steepness (h) = 0.8	
				Visual survey	/ and CPFV	Visual survey	and CPFV	Cloophood	(1) 0.0
		Landing	gs [mt]	logbook	index	logbook	index	Visual Sur	vey only
Management Action	Year	Comm.	Rec.	Sp. Bio. [mt]	% Virgin	Sp. Bio. [mt]	% Virgin	Sp. Bio. [mt]	% Virgin
	2008	0.25	0.25	98	3.5%	99	4.0%	417	17.4%
	2009	0.25	0.25	101	3.6%	105	4.2%	445	18.6%
	2010	0.25	0.25	104	3.7%	111	4.5%	474	19.9%
Status quo catch (zoro	2011	0.25	0.25	107	3.9%	118	4.7%	505	21.1%
rotantian 0 5 mt accumed	2012	0.25	0.25	110	4.0%	124	5.0%	536	22.4%
discord)	2013	0.25	0.25	113	4.1%	131	5.3%	567	23.8%
uiscaru)	2014	0.25	0.25	116	4.2%	138	5.5%	600	25.1%
	2015	0.25	0.25	119	4.3%	145	5.8%	633	26.5%
	2016	0.25	0.25	123	4.4%	153	6.1%	667	27.9%
	2017	0.25	0.25	126	4.5%	161	6.5%	702	29.4%
Management Action	Year	Comm.	Rec.	Sp. Bio. [mt]	% Virgin	Sp. Bio. [mt]	% Virgin	Sp. Bio. [mt]	% Virgin
	2008	0.41	0.41	98	3.5%	99	4.0%	417	17.4%
	2009	0.43	0.43	101	3.6%	105	4.2%	445	18.6%
	2010	0.46	0.45	104	3.7%	111	4.5%	474	19.8%
Landings from E90% Harvost	2011	0.48	0.48	107	3.8%	117	4.7%	504	21.1%
Control Pule applied to base	2012	0.51	0.50	109	3.9%	123	5.0%	535	22.4%
	2013	0.53	0.53	112	4.0%	130	5.2%	567	23.7%
model	2014	0.56	0.56	115	4.1%	137	5.5%	599	25.1%
	2015	0.59	0.59	118	4.2%	144	5.8%	632	26.4%
	2016	0.62	0.62	121	4.3%	151	6.1%	665	27.9%
	2017	0.65	0.65	124	4.4%	159	6.4%	700	29.3%

Table ES7: Spawning biomass and depletion (% Virgin) trajectories for alternative management actions and states of nature.

Research and data needs

There is an urgent need for an informative abundance index that can monitor the recovery of this stock. The submersible line-transect survey (Yoklavich et al., in review) used in this assessment is a direct measure of cowcod abundance and was formally reviewed in 2004. A pilot study for an acoustical-optical survey (D. Demer, pers. comm.) has estimated cowcod abundance by first estimating rockfish biomass using echosounders, and then apportioning that biomass to species based on video and still camera images. These types of non-lethal surveys could potentially monitor the recovery of cowcod, and given the projected length of time to recovery it may be sufficient to conduct the surveys on a less-than-annual basis.

Our understanding of uncertainty in historical landings estimates could improve from additional analysis. Sampling coverage in Southern California has been sparse relative to the number of sampling strata. This becomes particularly problematic for rare species such as cowcod. The assumption that recreational catch was zero prior to 1951 should be reevaluated.

The accelerated schedule for this assessment did not allow for a complete review of all available data sets (e.g. CalCOFI, West Coast Slope/Shelf Combination Groundfish Survey, etc.). Future assessments should revisit all available data sources

Regional management: The current model assumes that cowcod in the Southern California Bight are isolated from cowcod north of Point Conception and south of the U.S.-Mexico border. This assumption remains untested. Cowcod landings in California (1969-2005) primarily occur within the current stock boundaries (Fig. ES9). The magnitude of Mexican catches is unknown.



Figure ES9: Cowcod Landings by California Port Complex, 1969-2005

CDF&G Port Complex

Introduction

This assessment revises the last full assessment of cowcod, *Sebastes levis*, in the Southern California Bight (Piner et al., 2005). The stock boundary (Fig. 1) is defined as U.S. waters off California and south of Point Conception (34°27'). Waters north and south of the SCB are not considered in this assessment due to sparse data and possible differences in abundance trends (Piner et al., 2005). The assumption of an isolated stock remains untested, and no information is available regarding dispersal across the northern or southern stock boundaries.

The current assessment was originally prepared as an "update" stock assessment. While preparing the update, an error was discovered in the previous assessment's specification of the selectivity curve. The Stock Assessment Team (STAT) also proposed several revisions including new estimates of historical landings, a corrected growth curve, and a two-fishery model. The Scientific and Statistical Committee (SSC) concluded that the assessment did not meet the terms of reference for an update assessment due to the resulting changes in depletion, historical exploitation rates, and consistency with the visual survey. The SSC agreed that further analysis of the proposed revisions would be fruitful, and the Council requested that a full review of the assessment be conducted during the darkblotched rockfish Stock Assessment Review (STAR) panel.

Due to the accelerated schedule, this report focuses on six topics: (1) correcting the selectivity error from the previous assessment, (2) correcting length data that were used in estimating the growth curve, (3) a revision of the historical catch series based on recovery of a substantial number of "early" southern California port samples from CDFG and an improved stratification scheme, (4) analysis of the recreational CPUE time series to better account for the last two years in the time series and to obtain a more parsimonious statistical model, (5) consideration of developing a two-fishery model (commercial and recreational), and (6) evaluating the effect of using a Bayesian prior distribution of spawner-recruit steepness obtained from a recently conducted hierarchical meta-analysis.

The STAT refers the reader to the previous two cowcod assessments (Butler et al., 1999; Piner et al., 2005) for general information regarding the fisheries and biology of cowcod. Due to time constraints some items from the Stock Assessment Terms of Reference have been omitted.

Data

Life History Parameters

Weight-at-length and maturity-at-length relationships for cowcod were published by Love et al. (1990), and their estimates are used in this assessment. Natural mortality (M) was estimated using the method of Beverton (1992), and estimates of total mortality (Z) were calculated from Hoenig's (1983) method and a catch curve regression (Table 1). Age data used for the catch curve were prepared for the 1999 cowcod assessment and are further described by Butler et al. (1999, 2003). The slope of the catch curve based on ages 12-44 (Fig. 2) was –0.055, with a 95% confidence interval of (-0.072, -0.038). We assume that natural mortality is constant with respect to age, and M is fixed at 0.055 in the base model. Profiles over a range of natural mortality rates are presented in the Uncertainty Analysis section.

A previous study examined length-at-age for 131 cowcod from the commercial fishery, 129 cowcod from the recreational fishery, and 4 juveniles caught as bycatch in the spot

prawn fishery (Butler et al., 2003). Cowcod otoliths in the study were primarily collected prior to 1993, and evidence suggests that the recorded lengths were total length, rather than fork length as reported in the study. Prior to 1993, the standard measure of fish length used by California's port samplers was total length (D. Pearson, pers. comm.). In 1993 a decision was made to adopt fork length as the standard measure of length, and all lengths in the CALCOM database were converted to fork length. We confirmed that lengths from the commercial samples used in the age study were total length by examining a subset of the original port samplers' data sheets and also by comparing aged fish to matching records in CALCOM. Since the lengths of the aged fish appear to be total length, the conversion from fork length to total length in the 2005 assessment was unnecessary. We fit the von Bertalanffy growth function (VBGF) to length-at-age data, external to the model, treating lengths as total length. We compared predicted length at age from the 2005 assessment with results from the base model (Table 2, Fig. 3).

To specify the error structure for the length-at-age model, we plotted the CV of observed lengths at age versus mean length at age, using only ages with greater than four observed lengths (Fig. 4). To approximate the observed level of variability in length at age, we extrapolated the linear fit shown in Figure 4 to a CV of 26.5% at a length of 16.2 cm (predicted mean length at age 2). We visually evaluated the error structure by plotting the 95% confidence intervals of the fitted VBGF against the observed data (Fig. 5). The revised growth curve has a small, but noticeable effect on the spawning biomass trajectory (Fig. 6, dotted line) but only changes depletion by about 0.5% (Table 3).

Landings

Historical commercial landings, 1916-1968

Butler et al. (1999) developed a time series of historical landings of cowcod by the commercial fisheries (1916-1981) using a ratio estimator applied to published landings of total rockfish in California (CDF&G Fish Bulletin No. 149, 1970). Since their assessment, other sources of information have become available that provided us an opportunity to revise the historical landings. As described below, we used this information to develop a ratio estimator stratified by port complex and gear group, based on the earliest available data from the SCB.

In his "Rockfish Review" (CDF&G Fish Bulletin No. 105, 1958), J.B. Phillips provided a record of total rockfish landings by region (Southern, Central, and Northern California) for the period 1916-1956 (Table 4). These data combine the genus *Sebastolobus* (thornyheads) with *Sebastes*, and include rockfish caught in foreign waters but landed at U.S. ports. The regional data show that the relative proportion of California's commercial rockfish landed in each area has changed dramatically over time (Fig. 7). This result prompted us to develop a ratio estimator that tracks rockfish landings in the SCB rather than statewide rockfish landings.

The NMFS SWFSC Environmental Research Division (ERD) currently hosts a liveaccess server (<u>http://las.pfeg.noaa.gov/LAS/CA_market_catch.html</u>) with commercial landings originally published in the CDF&G Fish Bulletin series. Similar to the data from Fish Bulletin No. 105, rockfish landings in this dataset include thornyheads (up to 1977), however, the ERD data exclude fish caught in foreign waters. We queried this database to obtain total rockfish landings by region for the period 1928-1968 (Table 4). The 6 geographic regions in the ERD database are San Diego (San Diego County), Los Angeles (Los Angeles and Orange Counties), Santa Barbara (San Luis Obispo Santa Barbara, and Ventura Counties), Monterey (Santa Cruz and Monterey Counties), San Francisco (Sonoma, Marin, San Mateo and San Francisco Counties, plus San Francisco Bay), and Eureka (Del Norte, Humboldt and Mendocino Counties). The "Southern" area described by Phillips (CDF&G Fish Bulletin No. 105, 1958) is spatially equivalent to the San Diego, Los Angeles, and Santa Barbara regions in the ERD database. The "Central" area is spatially equivalent to the ERD's Monterey and San Francisco areas, and the "Northern" area is equivalent to the ERD's Eureka region. When the ERD data from Southern California are spatially aggregated to mimic the Southern rockfish landings in Fish Bulletin No. 105, the ERD landings are consistently smaller than the Fish Bulletin landings. This is expected, because the ERD data only include fish caught in U.S. waters. To account for this difference, we calculated annual estimates of "foreign-caught rockfish" (Table 5) as the difference between the sum of the ERD landings in the San Diego, Los Angeles, and Santa Barbara regions and the "Southern" landings in Fish Bulletin No. 105. To estimate the amount of foreign-caught rockfish prior to 1928, we used a ratio estimator based on the years 1928-1933. This estimate (0.74%) was applied as a correction factor to the Fish Bulletin Southern-area data for years 1916-1927.

The "Santa Barbara" region as defined in the Fish Bulletin series (and hence the ERD database) includes San Luis Obispo (SLO) County, which is north of Point Conception and is therefore outside the stock boundary as defined in this assessment. Therefore, it was necessary to adjust the rockfish landings in this region to exclude catches north of Point Conception. Beginning in 1949, CDF&G's Fish Bulletin series reported port-specific rockfish landings for the Santa Barbara region. We entered these data and observed that in the mid-1950s rockfish landings in the Santa Barbara region increased dramatically due to landings at Morro Bay and Avila (Fig. 8, Table 5). We subtracted the rockfish landed at these two ports to create an "adjusted Santa Barbara" region that reflects rockfish catch within the assumed stock boundary (Table 5). In doing so, we assume that annual rockfish landings are zero at other ports north of Point Conception but within the Santa Barbara region (e.g. San Simeon). This is unlikely to have a major effect on our results due to the relative size of landings at Morro Bay and Avila compared to other ports in the region. For the years 1928-1949, we extrapolated Morro Bay and Avila landings using a ratio estimator based on the fraction of rockfish in the Santa Barbara region landed at each port during the years 1949-1951 (Table 5). The rockfish catch in Avila was not reported in 1952-53 or 1958-61, so we calculated ratio estimates for these years using catches in proximal years (Table 5).

To extend our time series of rockfish landings in the Los Angeles, San Diego, and adjusted Santa Barbara regions back to 1916, we subtracted our estimates of foreign-caught rockfish from the total rockfish landings in the Southern area. We then used a ratio estimator based on landings from 1928-1933 to estimate the fraction of rockfish caught in each region during the period 1916-1927. For example, we divided the sum of rockfish landings in the Los Angeles region from 1928-1933 by the sum of rockfish landings in the San Diego, Los Angeles, and adjusted Santa Barbara regions during the same years. We assume that this percentage (64.6%) of rockfish caught in the Southern area and landed in the Los Angeles region is constant from 1916-1927. By the same method, ratio estimates for the San Diego and adjusted Santa Barbara regions were 33.4% and 0.97%, respectively. The final time series of historical rockfish landings by region, 1916-1968, is illustrated in Fig. 9.

The final step in deriving the historical commercial landings was to determine the fraction (by weight) of the rockfish landings that was cowcod. We based our estimates on 5-year averages from the earliest years for which we have actual samples (1984-1988) in all port complexes (Table 6). Gear types were chosen to be consistent with the historical fisheries. Hook

& line was the dominant gear group for rockfish prior to 1944 (CDF&G Fish Bulletin No. 126, 1964), and prior to 1968 it was illegal to process a trawl net south of Ventura County (Frey, 1971). Therefore, we estimated the percentage of rockfish that was cowcod in the Los Angeles and San Diego regions from their respective hook and line fisheries. In Santa Barbara the trawl fishery developed in the mid-1940s, so we based our estimates on the combination of line and trawl gears beginning in 1944, and on the hook and line fishery for years prior to 1944. The annual fraction of cowcod in rockfish landings was variable, but without trend, in the San Diego hook and line fishery, whereas the fraction in the Los Angeles and Santa Barbara fisheries showed steep declines during the 1980s (Fig. 10).

The 1984-88 ratio estimate of the fraction of cowcod in the Los Angeles hook & line fishery is large relative to other fisheries and relative to subsequent years in the same fishery. Most of the strata were well sampled during this period (Table 7), but it is unknown whether estimates based on these five years are representative of previous years. The results of additional analyses are presented under "Responses to STAR panel requests." As a sensitivity analysis, we compared the base model to a model with one half of the estimated historical commercial catch (Table 8). The effect on depletion in 2007 was less than 1%.

Revised CALCOM landings, 1969-1985

Landings from 1969 through 1985 were re-estimated for this assessment because a total of 611 new market samples were recovered following the 2005 assessment. The new samples all came from southern California port complexes (Santa Barbara, Los Angeles, and San Diego), and were collected in 1983, 1984, and 1985. In Piner et al. (2005), no samples were available for the SCB prior to 1986. Thus, landings prior to 1986 for the SCB relied on samples collected in 1986. These samples were used to estimate the landings back to 1969 using the standard expansion protocols developed by CALCOM (California Cooperative Survey: CDFG, Belmont, CA; PSMFC, Belmont, CA; NMFS, Santa Cruz, CA).

Appendix A describes changes to CALCOM since the last assessment that affect cowcod landings between 1969 and 1985. Don Pearson (NMFS, SWFSC, FED) is preparing an extensive publication that describes the relative reliability of California commercial landings by species.

Landings since 1986 have not changed since the last assessment, with the obvious exception of an additional two years of data (we assume 0.25 mt discard per year, per fishery). Retention of cowcod has been prohibited since January 2001. Figure 11 illustrates the differences between the revised CALCOM landings (1969 – present) and those used in the 2005 assessment. The recovered market samples from 1984 and 1985 resulted in a 34% and 46% increase in cowcod landings, respectively. The revised estimation method increased estimates of cowcod landings from 1969-1983, largely due to the recovered market samples from 1984 and 1985. Figure 12 shows the contribution of each gear group to commercial landings.

The final time series of estimated cowcod landings is provided as Table 9. Although very little catch information is available prior to 1916, rockfish are known to have been commercially important since at least 1875 (CDF&G Fish Bulletin No. 105, 1958). In this assessment, cowcod landings were assumed to increase linearly from 0.01 mt in 1900 to the estimated catch in 1916 of 85.36 mt.

Recreational landings, 1951-2000

Landings from the recreational fishery (1980 - 2000) were queried from the online RecFIN database using the following criteria: Southern California, ocean only, party boat and private rentals, catch type A + B1. Recreational catch from 1951 through 1979 is assumed to be the same as reported in the previous assessment (Table 9).

Length data

CDF&G conducted creel onboard observer surveys from 1975-78 and 1986-89 for the CPFV fishery in Southern California. The survey data were never published, but a brief description is provided in Piner et al. (2005). These data were evaluated for the purpose of estimating a selectivity curve for the recreational fishery and CPFV logbook index.

The length compositions from the 1970s were assigned to 'shift years' (Nov-Apr) to mimic the approach used for the CPFV logbook index (Fig. 13). In summer months the effective effort for cowcod decreases due to targeting of pelagic species (Butler et al., 1999). The data from shift-year 1974 were removed due to small sample sizes. Larger fish were caught in 1977, a year in which a larger proportion of observed offshore locations were visited (Fig. 14). In 1978, the vast majority of cowcod caught on observed trips were from a single block, so data from this year were not included in our analysis. An examination of cowcod length versus depth fished did not show a conclusive pattern (Fig. 15). Since these patterns are only representative of the observed trips, not the fishery, we examined annual changes in effort data from CPFV logbooks (months of November through April and blocks where at least one cowcod was caught in that year). These data suggest that the spatial distribution of the observer data for these three years is not a reflection of the distribution of effort in the fishery (Fig 16).

A major change since the previous assessment was our choice to model separate commercial and recreational fisheries, using the length comps from 1975-77 to estimate a simple logistic selectivity curve for the recreational fishery and CPFV index (Fig. 17). At first, we attempted to develop a model with freely estimated selectivity parameters for the recreational fishery. However, even after tuning effective sample sizes, the length data tended to overwhelm the likelihood components for the CPFV index and visual. This effect seemed unreasonable given that length data are only being used to estimate a selectivity curve, and not changes in recruitment. Therefore in the base model recreational selectivity is fixed at the model-estimated values, and length data were removed.

Length data from the commercial fisheries were obtained from CALCOM, and we plotted length compositions by major gear group (Fig. 18). The net fisheries had the largest sample size, but length compositions varied considerably among years and showed no clear modal progression (Fig. 19). For lack of better information, we set the commercial selectivity curve (for all gears combined) equal to the female maturity curve, as was the intention of Piner et al. (2005). The final selectivity curves used in the base model are illustrated in Figure 20.

CPFV Logbook CPUE

K. Hill (SWFSC) provided logbook data from commercial passenger fishing vessels (CPFV) for the period 1964-2000. The data are aggregated by year, month, and CDFG block and include the catch (in numbers) of cowcod and total rockfish. Prior to 1964, cowcod were

combined with total rockfish, and data after 2000 were excluded due to the effects of management. Additional information about the CPFV logbook data is available in Hill and Schneider (1999), Butler et al. (1999), and Piner et al. (2005). Although the raw data for the index have not changed, we chose to revisit the model structure due to the importance of the index in this assessment.

Butler et al. (1999) used a generalized additive model to estimate separate trends in CPUE (catch per unit effort) for each pseudo-block. Pseudo-blocks were defined as single blocks if a continuous time series was available. Blocks with missing data in some years were aggregated into pseudo-blocks according to quartiles of mean CPUE to complete the time series for that pseudo-block. Complete time series in each pseudo-block allowed Butler et al. to estimate a year-area interaction term in the standardization model. Blocks in the 1st quartile of mean CPUE were excluded from the analysis, as they were unlikely to be informative about trends in cowcod abundance. The spatial stratification and year-area interaction term were attempts to capture onshore/offshore movement of the fishery over time. The final index was an area-weighted sum of 30 time series of relative abundance (Fig. 44 in Butler et al., 1999). A problem with this approach, however, is that blocks are aggregated based on quartiles of mean catch rate, and not by spatial relationships.

We began our analysis by visually inspecting the stratification scheme used in the 1999 assessment (Fig. 21). Blocks with complete time series ("independent" blocks, shown in grey) were primarily around the islands and nearshore areas, while the offshore fishing areas (e.g. Tanner, Cortes, San Nicolas Island, 43-Fathom) were estimated as part of the aggregated pseudoblocks, each of which covers a large portion of the SCB. This might limit the model's ability to track movement of the fishery over time. Also, areas of contiguous habitat were often modeled as several independent time series. For example, the 1999 stratification fits six CPUE trends around Catalina Island, and six trends around Santa Barbara Island/hidden reef (Fig. 21). Given the inherent variability of logbook data, we were concerned about over-fitting the data. A yeararea interaction term adds considerable complexity to a GLM model, requiring (30-1 blocks) \times (37-1 years) = 1044 parameters, although a GAM may have a smaller effective number of parameters. Since the final index was an area-weighted sum of the individual time series, we calculated the amount of cowcod habitat (defined as area between 50-300m) in each pseudoblock (Table 10). Pseudo-blocks 2, 3, and 4 account for 15%, 23%, and 21% of the total area, respectively. Each of the remaining 27 blocks had areas (weights) of between 4.2% and 0.2% of the total habitat. The final index, therefore, was largely driven by the area-weighted sum of pseudo-blocks 2, 3, and 4, and integrated trends over large areas.

The 2005 assessment used a simplified spatial stratification (Fig. 22), defining 3 pseudoblocks, weighted by the number blocks in each pseudo-block. This reduces the number of parameters in the year-area interaction term, but retains the assumption that abundance trends are identical among blocks with similar mean CPUE.

To address these issues, we developed a new spatial stratification that is based largely on the assumption that adjacent (or nearby) blocks are likely to have similar trends in CPUE (Fig. 23). Similar to the previous two assessments, we excluded blocks below the first quartile of mean CPUE, as well as any data from the months of May-October due to seasonal changes in target species. We also excluded data from blocks that represent data of uncertain location, and catch reported in blocks that don't exist. Blocks with very sparse time series (<3 years with positive catch of cowcod) were dropped from the analysis. Finally, we defined a fishing "season" to include the month of November through April the following year.

We plotted changes in mean CPUE by region and decade, and noted a consistent pattern of declining CPUE across regions (Fig. 24). Data from three regions (North Islands, San Nicolas, and San Pedro Channel) showed an initial increase in CPUE, followed by steep declines. The reason for this initial increase is unknown at this time, although more detailed knowledge of these fishing grounds may have improved targeting during the initial phase of fishery development.

An additional source of information in the CPFV data is the catch (in numbers) of total rockfish. Minami et al. (2007) used the abundance of co-occurring species (tuna) as a covariate in their model for shark bycatch. Although the CPFV data are heavily aggregated, we feel it is reasonable to assume that blocks with high rockfish catch (excluding cowcod) in a given year and month are likely to have more cowcod than blocks that have reported little or no rockfish catch. We acknowledge that some cowcod were probably reported as part of the rockfish total (and perhaps vice-versa), but for this analysis we assume the reported values are correct. In our revised CPFV index we include the natural log of total rockfish catch as a covariate, after subtracting the mean of the log-transformed data to remove correlation between the intercept and slope parameters.

Our revised index is a delta-GLM model (Lo et al., 1992, Stefansson, 1996), composed of a binomial GLM with logit link and a normal linear model for the natural log of cowcod CPUE, defined as cowcod per angler hour. In both models, the initial set of covariates was year, month, region, and log(rockfish). Given the inherent variability of the logbook data and the large amount of data (7,782 observations), we used the Bayesian Information Criterion (BIC) in stepwise model selection routines (Table 11). The stepwise procedure was initiated with models that included all 2-way interactions and associated main effects. According to this criterion, month effects were not supported by the data (results omitted to simplify presentation). The BIC 'best' models for the components of the delta-GLM were

Binomial GLM: $cpue^* = year + region + logRF$, $(cpue^*=1 \text{ if } cpue>0, else cpue^*=0)$

Gaussian GLM: log(cpue) = year + region + logRF + region:logRF

The binomial GLM did not converge when the year:region interaction term was included (68 cells had either all zeros or ones), but the data did not support any of the other 2-way interactions. As an approximate test for the year:region interaction, we compared a main-effects negative binomial model (Δ BIC=0) to a model with main-effects and a year:region interaction term (Δ BIC>1600). This suggests that the data do not support the inclusion of the year:region interaction term, given the observed level of variability. The negative binomial model was not considered for the final index due to potential bias in parameter estimates (Minami et al., 2006). We attempted to fit zero-inflated negative binomial (ZINB) models, but had problems with model convergence. We then compared the fit of our revised model structure to the spatial stratification used in the 1999 assessment, with and without the year:block interaction term (Table 11). In both cases, the revised model structure was the BIC-preferred model.

To compare the revised index (Table 12) to previous results (Table 7 in Butler et al., 1999, and Table 3 in Piner et al., 2005) we scaled the trends to a unit mean and plotted them on a log scale (Fig. 25). We also compared CVs from each version of the index, prior to any iterative reweighting procedure (Fig. 25). In the 2005 assessment, the population trajectory was unable to fit the CPFV index in 1999 and 2000 without a substantial inflation of the original CVs. The

revised index produces estimates for these last two years that are more consistent with the predicted trend in abundance. CVs for the revised index are consistently larger than the 2005 index for years after 1982. We compared the fit of the base model using the 2005 CPFV index, to the base model with the revised index, without iteratively reweighting the CVs. There is a 206 point reduction in the total negative log likelihood when the model is fit to the revised index. Tuning the model with the 2005 index results in CVs 4.5 times larger than the original values, while the tuned CVs of the revised index are 2.4 times the original values. The model fit to the revised CPFV logbook index with iteratively reweighted CVs is shown in Figure 26. Clearly, the model still has trouble fitting the revised index, but we feel that the improvement in fit is substantial, especially given the parsimonious model structure.

Visual line-transect survey

One of the data sets included in the 2007 assessment was an estimate of cowcod biomass in 2002 based on a visual transect survey conducted from an occupied submersible (Yoklavich et al., 2007). A formal review of this survey was conducted in 2004 with the assistance of the Center for Independent Experts (http://www.rsmas.miami.edu/groups/cie/) and the biomass estimate was included in the last assessment as a relative index of abundance with an informative prior on the catchability parameter (Piner et al., 2005). In this way, estimated biomass from the survey area was adjusted to reflect the expected biomass in the entire Southern California Bight.

An error was recently discovered in the visual survey methodology, related to the calculation of mean weight (M. Yoklavich, pers. comm.). During the survey, cowcod at greater distances were easier to detect if they were large. Although the originally reported numbers and densities of cowcod remain unchanged, the total biomass estimate (940 metric tons) was based on estimates of mean weight that did not account for this effect. The survey investigators therefore adjusted their estimates of mean weight to include only cowcod sighted within 2.7 meters of the transect line. Within this distance they found no relationship between fish size and distance. Their revised estimate of cowcod biomass in the survey area is 524 metric tons, 56% of the previous estimate.

The survey is briefly described as item 8 under "Responses to STAR panel requests" and is fully documented in Yoklavich et al. (2007). The cowcod biomass estimate was included in the 2005 assessment as a relative index with a prior distribution on catchability, with mean 0.75 and standard error of 0.5 (Piner et al., 2005, Appendix IV).

Whereas the visual survey had a very minor effect on the 2005 assessment, models with the corrected selectivity curve (including this assessment) are highly sensitive to the visual survey and removing the survey causes a substantial change in estimated levels of depletion (Table 13). We ran sensitivities of the base model to the assumed value of the prior's CV. Appendix IV of the 2005 assessment estimated catchability of the visual survey as 0.751 with a standard error of 0.147. We profiled over CVs of 1%, 20%, 50%, and 100% (Table 14).

Other data sources

The STAR panel requested a list of data sets that were not included in this assessment. For each data set we have included references to the literature, previous assessments, or preliminary analyses included in this report.

- 1. California Cooperative Fisheries Investigations (CalCOFI) (www.calcofi.org; Butler et al., 2003; Piner et al., 2005)
- 2. Los Angeles County and Orange County sanitation departments outfall trawl indices (Butler et al., 2003; Piner et al., 2005)
- 3. Acoustic in combination with Remotely Operated Vehicle Survey (Piner et al., 2005; D. Demer, pers. comm.)
- 4. Cowcod intensive sampling (Piner et al., 2005)
- 5. NWFSC Hook and Line survey (Piner et al., 2005)
- RecFIN recreational fishery CPUE (Piner et al., 2005; see item 9 under "Responses to STAR panel requests")
- 7. NMFS NWFSC West Coast Slope/Shelf Combination Groundfish survey (see item 14 under "Responses to STAR panel requests")

Assessment model

The model is an age-structured production model, with three estimated parameters: virgin recruitment (R_0), catchability for the CPFV logbook index, and catchability for the visual survey biomass estimate. The likelihood is composed of three components: the CPFV logbook index, the 2002 visual survey, and the prior distribution for catchability of the visual survey. Natural mortality (M) is fixed at 0.055. Recruitments are drawn from a Beverton-Holt stock recruitment curve, with steepness (h) fixed at 0.6. Catches are assumed known without error, and are divided into a commercial and recreational fishery. Gear selectivity for the commercial fishery mirrors the female maturity schedule, and selectivity for the recreational fishery was internally estimated from length data, but later fixed in the model. Length at age was estimated externally and fixed in the model.

Major changes in the base model since the last assessment include 1) correction of the gear selectivity curve for the commercial fishery, 2) revised historical landings estimates, 3) modeling separate commercial and recreation fisheries rather than a single combined fishery, 4) a revised selectivity curve for the recreational fishery and the CPFV logbook index, 5) a revised model structure for the CPFV logbook CPUE index, and 6) a correction to the data used in the length-at-age analysis.

Incremental changes due to the two corrections (selectivity and growth) are presented in Table 3, with comparisons to the base model and alternative states of nature. The assessment model was fit using Stock Synthesis 2, version 2.00c. Data, control, and forecast files are attached as Appendix B.

Uncertainty analysis

We profiled each component of the base model's negative log likelihood (NLL) over a grid of values for natural mortality (0.04 - 0.07) and the Beverton-Holt steepness parameter (0.3 – 0.9). This analysis was conducted prior to the revision of the 2002 biomass estimate from the visual survey, but the results show that the data do not support models with combinations of high steepness and high natural mortality (Table 15). A bivariate 95% confidence region is bounded by a difference of 3 likelihood points from the minimum (min(NLL) + $\chi_2^2(0.95)/2$, where $\chi_2^2(0.95) \cong 6$). For most assumed values of steepness, the goodness-of-fit is similar across the

three different assumptions about natural mortality. The CPFV logbook index dominates the total NLL, with an improved fit for lower values of steepness.

The two major axes of uncertainty defined for this assessment are steepness and inclusion of the CPFV logbook index. Other sources of uncertainty such as natural mortality, historical catch, gear selectivity, and recruitment variability are also important to consider, but are difficult to estimate with the available data. Our analyses show that estimates of both steepness and the natural mortality rate are highly uncertain, and both parameters are treated as fixed and known. Models without the visual survey were not considered due to unreasonably high estimates of annual exploitation rate (total catch divided by summary biomass). The exploitation rates in the base model are also quite high considering what we know about the life history characteristics of cowcod, and the STAT considers this issue an important topic for future research.

Markov Chain Monte Carlo simulations were generated for the base (3-parameter) model, and we also attempted to estimate steepness and natural mortality with informative priors, as per the request of the STAR panel. Results are presented as Appendix C.

Informative prior on steepness

The steepness parameter (h) of the Beverton-Holt stock-recruitment relationship is a major axis of uncertainty in this assessment, and was fixed (assumed known) in all models discussed up to this point. We estimated steepness using an informative beta prior distribution based on a meta-analysis of steepness for west-coast rockfish (M. Dorn, pers. comm.), and compared results to the base model (Table 16). The steepness and virgin recruitment parameters are highly correlated (-0.999) and the reduced value of steepness (0.32) is associated with an increase in estimated virgin biomass. The overall fit is similar to the base model, indicating that the data do not effectively discriminate between very different interpretations of the stock.

Responses to STAR panel requests

These responses and the associated tables and figures refer to results from the base model at the time of the STAR panel. Due to subsequent changes (e.g. the revised 2002 visual survey estimate), values may differ from the results presented in the Executive Summary and/or previous sections of the main document.

1. Determine how harvest rate was calculated in Figure ES4 (and in SS2).

The STAR panel noted that in the draft assessment, the comparison of harvest rates in the 2005 assessment to harvest rates in the 'corrected' 2005 assessment was incorrect, since harvest rates (catch divided by vulnerable biomass) depend on selectivity. The STAT clarified the definitions of "harvest rate" and "exploitation rate" as used in SS2, and identified two measures of fishing intensity:

- a) Total catch divided by age "x+" biomass (aka "exploitation rate")
- b) SPR (equilibrium spawning output per recruit under fished conditions, divided by spawning output per recruit under no fishing)

2. Compare biomass estimates from the three assessments on the same basis, i.e., female spawning stock biomass and base model plus plots of exploitation rate from each model on a comparable basis.

Spawning biomass trends from the 2005 assessment, the 2005 assessment with corrected selectivity curve, and 2007 base model are shown in Fig. 6. Revised estimates of historical landings in the 2007 assessment produce an increased estimate of virgin biomass, relative to the 2005 assessment.

Exploitation rates for the 2005 assessment were compared using age 11+ biomass (Fig. 27). Predicted mean length at age 11 is approximately 43 cm, the assumed length of 50% maturity, and 50% selectivity in the commercial fishery. Using this metric, exploitation rates for the 2007 model are very high, even exceeding 1 in one year (Fig. 28). The STAR panel suggested bracketing our uncertainty in these rates (see response to request #7) by calculating 'lower-bound' exploitation rates based on length at 50% selectivity in the recreational fishery (Fig. 28). The assumed length at 50% selectivity is 34 cm for the recreational fishery, and predicted length at age 8 is approximately 35 cm. Fishing intensity, defined as 1 - SPR, was also compared among the three models (Fig. 29).

3. Obtain CalCOFI data with the intent of looking at the time series again to see if it can provide information for the recent years for monitoring recovery of the stock.

There was insufficient time under the accelerated assessment schedule to complete an adequate analysis of the most recent CalCOFI data. These data were not previously examined because the assessment was classified as an update, and the time series was not included in the 2005 assessment. The STAT agrees that future assessments should investigate recent results from this survey, as it might provide information about trends in spawning stock biomass.

4. Obtain more details on the recovered CALCOM data with respect to whether or not the data were representative of landings in general or were more restricted with respect to species, etc.

Don Pearson (pers. comm.) provided an Excel chart showing the distribution of landings between market categories, with information on how sample coverage was used to estimate the landings (Fig. 30). The distribution of actual samples suggests that the recovered samples were not directed toward a particular market category.

5. Further investigation of the GLM analysis of CPFV [*sic*] requires more models to be run. In particular, need models for no log(rockfish catch), log(rockfish catch) for binomial model only. Compare annual trends for predicted CPFV [*sic*] from all three models.

The STAT presented time series of CPUE based on delta-GLM models with the log(rockfish catch) covariate in both GLMs, in the binomial GLM only, and in neither GLM (Fig. 31). The STAT and STAR panel disagreed about the appropriateness of including this covariate in the standardization model, but agreed that the differences had little effect on the assessment as a whole. The trend in the CPUE index is primarily driven by the binomial GLM (Fig. 32).

In the CPFV fishery, the probability of catching a cowcod increases with (log) total rockfish catch (Tables 11 and 17). Species associations have previously been used to determine effective effort and/or as a proxy for habitat (Stephens and MacCall, 2004; Minami et al., 2007). In some years and regions, there is a negative correlation between the log(CPUE) and log(rockfish catch) (Figs. 33 and 34, Table 18). One possible explanation for this is the rockfish bag-limit (15 fish). An angler that catches a large number of rockfish could be limited in the number of cowcod he or she could retain. This effect would be lessened if catch were shared among anglers on a given trip. Standardized residual plots from the GLM for positive observations are presented in Figure 35.

6. Plot CPUE data from CPFV series over time by region.

As described in the Data section, the STAT developed a revised CPUE index from the CPFV logbook data. Model selection for this index was based on the BIC criterion, evaluated for a set of candidate models that included all main effects and 2-way interactions whenever possible. The best from the set of candidate models did not have an interaction between years and spatial strata. The STAR panel expressed some concern about this result, indicating that the set of candidate models was perhaps too limited to detect a year-area interaction. Specifically, the panel recommended evaluating a set of models with an intermediate number of effective parameters (e.g. GAMs), that would allow for year-area interactions without imposing such a severe penalty for increased model complexity.

The STAT presented several time series of average CPUE by region (e.g. Fig. 36). These plots suggest that a year-area interaction may exist, and the STAT agrees that this is an important topic for future research. However, a comparison of indices used in the past three assessments (including the 1999 GAM-based index) suggests that the index may be relatively robust to these alternative model specifications (Fig. 25).

7. Plot selectivity curve against the commercial length frequencies.

The 2007 base model assumes that gear selectivity for the commercial fishery mirrors the female maturity schedule, with 50% selectivity at a length of 43 cm. The STAT presented length frequency data from the net and hook-and-line fisheries, aggregated across years (Fig. 37). Logistic selectivity curves were fitted to the data, external to the model, and compared to the assumed selectivity curve in the base model.

For the net fishery, the ascending limb of the selectivity curve might be better approximated by a curve shifted to the left of the maturity ogive. If fish are selected at lengths smaller than the current model assumption, this would inflate exploitation rates (catch / summary biomass) that are based on the current selectivity curve. The lengthfrequency data from the hook-and-line fishery are more consistent with the assumed length at 50% selectivity, but the slope of the curve at the inflection point may be reduced relative to current assumption. The STAT and STAR panel agreed that selectivity curves for the commercial fisheries should be re-examined in future assessments.

8. Present background information on visual survey including copy of paper to appear in the Canadian Journal of Fisheries and Aquatic Science.

The STAT distributed a draft of Yoklavich et al. (in press) to the panel, and a brief summary of the visual survey:

- Manned submersible visual survey in 2002
- · Sampled eight rocky banks within the Cowcod Conservation Area
- · Banks were chosen from prior information that they were likely cowcod habitat
- Transects within 1.5km² blocks randomly chosen from grid placed over banks
- Survey biomass estimate of cowcod in the study area: 940 mt (CV = 25%)

The STAT summarized the survey's treatment in the 2005 assessment:

- Survey treated as relative index of abundance with prior on (log) catchability
- Analysis of CPUE and estimated habitat area suggests q = 0.75 with standard error 0.147 (Appendix IV, Piner et al., 2005). This is likely to be a minimum estimate of the actual error in this estimate.
- · CV of the prior for (log) catchability was fixed at 0.5.

The STAT also provided a sensitivity analysis comparing models based on different values of the CV for the prior probability distribution for the catchability parameter (Table 14). As illustrated in Tables 13 and 14, the assessment is very sensitive to the visual survey data and the assumed precision of its catchability coefficient (in effect, the 'weight' given to the survey). This emphasizes the need for future surveys to provide adequate coverage of areas inside and outside the CCAs.

9. Contact observer program re: CPFV observer data from charter boat on species composition.

During the panel, it was suggested that recent data from CPFV observer programs in Southern California might inform recent trends. The STAT obtained location-specific data from 1999-2006 (Wade Van Buskirk, pers. comm.), during which time a total of 35 cowcod were recorded as kept or returned, based on a query of the recommended NODC8, ALPHA5, and RecFIN species codes. The database contained records from over 16,000 site visits. Although efforts could be made to better determine effective effort for cowcod, it appears that this species is not observed often enough in these surveys to provide meaningful trend information.

10. Follow up with knowledgeable Southwest Fisheries Science Center staff concerning the LA outfall bottom trawl survey and CalCOFI data. STAT should present these data in supporting documentation as being used historically.

The STAR panel requested that the CalCOFI data and outfall bottom trawl survey data be presented in the current assessment. The STAT agrees that these and other potentially informative data sources should be catalogued and examined during the course of a full stock assessment. During the 2005 assessment both data sets were evaluated, but ultimately omitted. The STAT was unable to complete additional analyses of these two data sets, given the accelerated schedule.

11. Need to know how many samples were taken in recent years versus what we see now with the recovered market samples. Construct a table of distribution of found samples by port, market category and year by gear. Do something simple to see how sensitive model results are to our concerns about the landings once a base model has been developed

An unresolved issue in this assessment is the accuracy of the estimated proportion of cowcod in the historical rockfish catch. Some members of the STAR panel expressed concern that the samples used to determine these proportions could be less representative of the fishery than samples taken in later years.

The STAT compared the number of samples taken from 1984-1988 (the five years used to determine the percentage of cowcod in total rockfish catch) with adjacent years for which we have samples (Table 19). The STAR panel concluded that there was little evidence that samples taken during this time period were less representative than adjacent years.

12. Would like to see a plot of the prior on the catchability for the visual survey and final estimate.

The STAT plotted the prior distribution for log catchability along with the point estimate (posterior mode) from the fitted model (Fig. 38). This illustrates how the CPFV logbook index and visual transect survey provide conflicting information about stock status in 2002. Since this plot does not take into account posterior uncertainty, we compare MCMC draws from the posterior with the prior distribution in Appendix C.

13. Call from Observer Program. There may be observer data. Follow up.

See response to item 9.

14. NWFSC staff working on NWFSC survey and sending all tows in SCB. Follow up.

Jim Hastie and Beth Horness provided data from the West Coast Slope/Shelf Combination Groundfish survey, including the number, combined weight, and individual lengths of cowcod caught during all tows in the SCB from 2003-2006. Trawl surveys are limited as indices of abundance for cowcod, in that they cannot access rocky, high-relief habitat. The survey caught a total of 45 cowcod over the 4-year period, between the depths of 127 and 288 meters. There were 141 tows between 50-300m. For each of these tows, the STAT calculated the number of cowcod per hectare of area swept by the trawl (Table 20). The proportion of tows that caught at least one cowcod ranged from 7% - 17%. The number of tows within the 50-300m depth range ranged from 30 - 41 per year. Given the short time series, the limitations regarding trawlable habitat, and the large number of zero observations the STAT feels that this index is not suitable for the current assessment, but suggests that it be re-evaluated in future assessments.

15. Calculate harvest rate as total catch over summary biomass defined by 50% selectivity for the recreational fishery.

See response to item 2 and Fig. 28.

16. Sensitivity runs for the abundance indices:

- a) Drop visual, keep CPFV
- b) Keep visual, drop CPFV
- c) Keep visual and CPFV add power term for CPFV

Removing the visual survey has a dramatic effect on the 2005 assessment with corrected selectivity curve (Table 13) and the 2007 assessment. Depletion in 2007 is estimated at 2.1% when the visual survey is removed (Table 21). The STAT did not use models without the visual survey to bracket uncertainty because the associated exploitation rates (total catch over age 11+ summary biomass) became impossibly high, exceeding 1.5 in some years.

The "Visual Survey only" run in Table 21 only differs from the "high-productivity" model (Table ES2, third column) in that steepness is fixed at 0.6 versus 0.8. Both models are simply calculating the level of virgin recruitment required to match the 2002 visual survey biomass estimate, given the model assumptions.

The STAR panel requested a run in which the CPFV logbook index is fit with a power term (Table 21). This relaxes the proportionality assumption and improves the fit to the CPFV index and visual survey. Adding the additional parameter adds complexity that is not, in the STATs opinion, well understood or justified. Instead, the STAT recommends additional research regarding the nature of the observed hyperdepletion pattern.

17. Investigate the impact of different scenarios for the level of landings during the historical period in this fishery. Try runs of the model with one half and double (or some other factor at the STAT discretion) the landings from 1900 to 1968 using the case with both visual and CPFV in the model.

The STAT completed model runs with \pm 50% of the historical commercial catch from 1900-1968 (Table 22). Estimates of spawning biomass in 2007 are relatively insensitive to this change, but estimates of unfished spawning biomass range from 4646 mt to 6063 mt. Absolute depletion changes by less than 1% in either direction, but the relative change is about \pm 12%.

18. The Panel requested an MCMC run on the full model with the following characteristics.

Results from preliminary Bayesian models are presented as Appendix C.

19. STAT to provide summary of runs to date to establish the range of uncertainties to be captured with the base run.

The STAR panel requested a set of runs profiling values of natural mortality and steepness, for models with both the CPFV logbook index and the visual survey as well as models with either the CPFV index or visual survey. The STAT produced estimates of unfished spawning biomass, 2007 spawning biomass and 2007 depletion for 27 model runs

(Table 23). Natural mortality was fixed at either 0.04, 0.055, or 0.07., and steepness was fixed at 0.4, 0.6, or 0.8.

Models that included both the CPFV index and visual survey produced estimates of depletion ranging from 3.8% to 8.9% and estimates of SB₀ between 2008 mt and 3153 mt. Removing the CPFV logbook index (using only the visual survey) produced estimates of depletion between 18.8% and 30.5%, with SB₀ between 2143 and 3465 mt.

We bracket uncertainty in this assessment using three values of steepness (0.4, 0.6, and 0.8) and by excluding the CPFV logbook index from the model with steepness fixed at 0.8. The estimated biomass trajectory from the model that assumes a relatively high level of productivity and ignores the declining catch rates in the CPFV index still falls below the overfished threshold from 1981 until 2005 (Fig. ES3).

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Tables

Table 1. Mortality estimates; natural (M) or total (Z)

Method	М	Z	range (if available)
Hoenig (1983); GM regression for all groups		0.072	n/a
Catch curve; age at full recruitment = 12		0.055	(0.038, 0.072)
Beverton (1992); Tmax = 55	0.045		(0.027, 0.064)

Table 2. Parameters for the revised growth curve, compared to values in the 2005 assessment.

	2005 assessment	
parameter [units]	(converted from SS2 .tpl file)	2007 assessment
L_{∞} [mm, total length]	914	870
k [years ⁻¹]	0.056	0.052
t ₀ [years]	-0.46	-1.94

Table 3. Incremental changes associated with corrections to selectivity and growth in the 2005 assessment, with comparisons to the 2007 base model and possible alternative (low- and high-productivity) states of nature. Natural mortality is fixed at 0.055 in all models. Increased estimates of virgin biomass are largely due to revised estimates of historical landings. The high-productivity (h=0.8) model calculates the level of virgin recruitment required to match the 2002 biomass estimate, given the model assumptions. Therefore, likelihood components are not informative and are not reported for this model.

	2005 (S	S2 v1.23d, h = 0.5	5, M = 0.055)	2007 assessment (SS2 v2.00c)			
	(unchanged)	selex = maturity	selex = maturity	h = 0.4	h = 0.6	h = 0.8	
			& revised growth	CPFV index &	CPFV index &	Visual survey	
Reference Points				visual survey	visual survey	only	
Unfished female spawning biomass (SB_0)	1522	1660	1568	2777	2488	2389	
Unfished summary (age-1+) biomass	3191	3481	3333	5905	5291	5080	
40% of SB ₀ (proxy for SB _{MSY})	609	664	627	1111	995	956	
Exploitation rate at $F_{50\%}$ (proxy for $F_{MSY})$	3.3%	2.8%	2.9%	2.7%	2.7%	2.7%	
Female spawning biomass in final year	271	157	155	94	94	389	
SB in final year / unfished SB	17.8%	9.4%	9.9%	3.4%	3.8%	16.3%	
Parameter Estimates							
Unfished recruitment (R ₀)	59.6	65.0	69.2	122.7	109.9	105.6	
Catchability for CPFV logbook index	1.46E-05	5.95E-05	5.72E-05	2.05E-04	2.16E-04	n/a	
Catchability for visual survey	1.49	2.34	2.36	2.22	2.30	0.75	
Initial fishing mortality	9.25E-05	6.13E-04	6.48E-04	n/a	n/a	n/a	
Likelihood components							
Total negative log likelihood	13.43	14.98	14.36	15.90	16.54	n/a	
CPFV logbook index	12.23	11.83	11.16	12.92	13.34	n/a	
Visual survey	0.23	0.63	0.64	0.64	0.68	n/a	
Prior on visual survey	0.91	2.52	2.56	2.35	2.51	n/a	
penalties	0.06	0.00	0.00	0.00	0.00	n/a	

Table 4. Regional rockfish landings (metric tons) from CDF&G Fish Bulletin No. 105 (1958) and the NMFS SWFSC ERD Live-Access Server (<u>http://las.pfeg.noaa.gov/LAS/CA_market_catch.html</u>).

	CDF&G F	ish Bulleti	n No. 105	105 NMFS ERD Live Access Server					
year	Southern	Central	Northern	San Diego	Los Angeles	Santa Barbara	Monterey	San Francisco	Eureka
1916	966.62	1258.10	6.48						
1917	1559.70	1953.81	12.74						
1918	1422.29	2286.85	29.72						
1919	850.46	1591.24	6.84						
1920	923.72	1622.13	9.28						
1921	806.94	1339.01	13.91						
1922	794.00	1151.53	10.37						
1923	1063.85	1244.55	3.39						
1924	1426.24	715.81	9.29						
1925	1564.44	895.04	30.12						
1926	1941.86	1448.95	29.71						
1927	1611.49	1230.84	56.40						
1928	1373.50	1489.87	48.65	554.76	769.85	46.65	1037.07	452.80	48.65
1929	1389.53	1231.60	116.94	641.80	687.26	44.60	744.37	487.23	116.94
1930	1415.63	1747.90	113.84	477.91	906.13	21.15	1281.84	466.06	113.84
1931	1617.81	1635.24	48.06	400.30	1182.35	30.91	1162.02	473.23	48.06
1932	1135.48	1380.64	40.48	298.47	797.37	34.76	929.54	451.10	40.48
1933	907.47	1250.11	14.12	252.63	588.30	46.54	734.27	515.84	14.12
1934	857.00	1178.65	52.70	129.53	510.38	127.60	762.08	413.50	57.76
1935	741.23	1377.44	72.72	77.85	373.92	177.65	975.39	402.05	72.72
1936	424.05	1579.23	85.01	69.72	122.80	181.88	1188.37	390.87	85.01
1937	460.65	1425.30	60.52	65.18	156.84	166.26	954.94	470.30	60.52
1938	309.18	1092.21	248.39	33.82	126.04	72.76	838.72	253.49	248.15
1939	389.66	779.56	342.66	92.01	140.83	91.19	602.61	176.25	341.65
1940	396.32	958.58	264.72	66.63	153.11	136.40	752.37	206.21	264.06
1941	470.11	867.78	206.88	42.15	202.95	131.57	662.24	205.29	206.26
1942	192.96	329.34	123.36	10.13	74.46	38.27	297.51	31.76	123.36
1943	226.43	402.58	623.90	5.17	89.07	38.61	310.60	91.98	623.75
1944	43.38	363.18	2506.52	4.63	10.34	22.14	331.89	31.28	2505.76
1945	92.92	617.92	5315.58	4.56	26.97	44.95	533.96	84.16	5313.17
1946	161.19	608.31	4293.16	8.71	79.60	48.78	508.01	100.30	4005.49
1947	185.46	785.98	2883.46	8.79	131.60	26.85	690.04	95.94	2496.14
1948	287.68	886.56	1792.71	24.12	200.08	36.11	748.25	122.98	1594.18
1949	412.09	847.60	1492.66	36.64	258.88	61.88	611.25	236.35	1274.85
1950	427.87	1555.09	1698.35	33.67	294.00	85.96	1106.22	448.88	1555.57
1951	470.81	2440.55	2074.55	14.55	328.93	121.63	1440.72	999.83	2051.35
1952	366.25	3301.04	1195.31	9.47	218.59	108.15	1676.93	1624.11	1089.52
1953	298.74	3845.54	1402.36	14.71	179.44	88.66	1953.92	1891.82	1335.43
1954	583.02	3702.04	1448.42	14.10	247.22	263.09	2348.59	1353.71	1262.75
1955	1810.39	2595.75	1346.19	48.45	199.07	1532.34	1886.96	708.79	1224.17
1956	1481.43	3882.16	1414.68	35.07	257.45	1168.67	2547.45	1334.71	1304.76
1957				32.08	227.86	1522.51	2481.72	1278.15	1675.42
1958				141.03	228.89	1425.89	2656.71	1902.85	1609.67
1959				94.83	264.46	671.00	2130.96	2232.76	1365.33
1960				89.91	238.78	1280.67	1616.42	1492.34	1299.30
1961				98.52	174.94	1052.77	1464.21	1007.77	884.82
1962				70.09	172.42	916.79	1294.95	902.29	808.21
1963				112.15	220.54	1180.38	1118.88	1069.85	1331.18
1964				87.01	207.47	718.63	986.50	793.93	767.33
1965				132.79	248.71	786.04	1187.70	714.95	1081.89
1966				136.44	226.38	1026.92	1535.84	731.57	821.78
1967				167.07	250.56	1313.09	1155.41	388.93	1074.81
1968				126.06	242.67	1187.51	1086.20	264.96	1271.15

Table 5. Data and derived quantities used to develop ratio estimates of total rockfish landings in the SCB. Gray shading indicates ratio estimate (see text for details). "Ratio years" are the range of years over which ratio estimates were calculated. Sources include the NMFS SWFSC ERD Live Access Server and several volumes of the CDF&G Fish Bulletin (FB) series.

	FB 105	NMFS	ERD live-acc	ess server	foreign catch	Major SL	O Ports	Source of	adjusted	ratio
year	Southern	San Diego	Los Angeles	Santa Barbara	landed in U.S.	Morro Bay	Avila	SLO catch	Santa Barbara	years
1916	966.62	330.18	620.06		7.11			ratio	9.27	1928-33
1917	1559.70	532.76	1000.51		11.47			ratio	14.96	1928-33
1918	1422.29	485.83	912.36		10.46			ratio	13.64	1928-33
1919	850.46	290.50	545.55		6.26			ratio	8.16	1928-33
1920	923.72	315.52	592.54		6.80			ratio	8.86	1928-33
1921	806.94	275.63	517.63		5.94			ratio	7.74	1928-33
1922	794.00	271.21	509.33		5.84			ratio	7.61	1928-33
1923	1063.85	363.39	682.43		7.83			ratio	10.20	1928-33
1924	1426.24	487.18	914.90		10.49			ratio	13.68	1928-33
1925	1564.44	534.38	1003.54		11.51			ratio	15.00	1928-33
1926	1941.86	663.30	1245.65		14.29			ratio	18.62	1928-33
1927	1611.49	550.45	1033.73		11.86			ratio	15.45	1928-33
1928	1373.50	554.76	769.85	46.65	2.24	17.44	13.90	ratio	15.31	1949-51
1929	1389.53	641.80	687.26	44.60	15.86	16.68	13.28	ratio	14.64	1949-51
1930	1415.63	477.91	906.13	21.15	10.44	7.91	6.30	ratio	6.94	1949-51
1931	1617.81	400.30	1182.35	30.91	4.25	11.56	9.21	ratio	10.14	1949-51
1932	1135.48	298.47	797.37	34.76	4.88	13.00	10.35	ratio	11.41	1949-51
1933	907.47	252.63	588.30	46.54	19.99	17.40	13.86	ratio	15.27	1949-51
1934	857.00	129.53	510.38	127.60	89.49	47.72	38.01	ratio	41.88	1949-51
1935	741.23	77.85	373.92	177.65	111.81	66.43	52.92	ratio	58.30	1949-51
1936	424.05	69.72	122.80	181.88	49.65	68.02	54.18	ratio	59.69	1949-51
1937	460.65	65.18	156.84	166.26	72.37	62.17	49.52	ratio	54.56	1949-51
1938	309.18	33.82	126.04	72.76	76.56	27.21	21.67	ratio	23.88	1949-51
1939	389.66	92.01	140.83	91.19	65.63	34.10	27.16	ratio	29.93	1949-51
1940	396.32	66.63	153.11	136.40	40.18	51.01	40.63	ratio	44.76	1949-51
1941	470.11	42.15	202.95	131.57	93.44	49.20	39.19	ratio	43.18	1949-51
1942	192.96	10.13	74.46	38.27	70.11	14.31	11.40	ratio	12.56	1949-51
1943	226.43	5.17	89.07	38.61	93.57	14.44	11.50	ratio	12.67	1949-51
1944	43.38	4.63	10.34	22.14	6.27	8.28	6.60	ratio	7.27	1949-51
1945	92.92	4.56	26.97	44.95	16.45	16.81	13.39	ratio	14.75	1949-51
1946	161.19	8.71	79.60	48.78	24.10	18.24	14.53	ratio	16.01	1949-51
1947	185.46	8.79	131.60	26.85	18.22	10.04	8.00	ratio	8.81	1949-51
1948	287.68	24.12	200.08	36.11	27.37	13.50	10.76	ratio	11.85	1949-51
1949	412.09	36.64	258.88	61.88	54.69	20.62	22.95	FB 80	18.30	
1950	427.87	33.67	294.00	85.96	14.24	41.23	28.68	FB 86	16.05	
1951	470.81	14.55	328.93	121.63	5.71	38.91	28.63	FB 89	54.08	
1952	366.25	9.47	218.59	108.15	30.04	32.53	25.91	FB 95. ratio	49.72	1949-51
1953	298.74	14.71	179.44	88.66	15.94	56.38	5.04	FB 102, ratio	27.23	1954-56
1954	583.02	14.10	247.22	263.09	58.61	183.91	43.30	FB 102	35.88	
1955	1810.39	48.45	199.07	1532.34	30.52	1393.82	119.73	FB 105	18.79	
1956	1481.43	35.07	257.45	1168.67	20.23	1026.90	69.94	FB 105	71.83	
1957		32.08	227.86	1522.51		1298.20	71.55	FB 108	152.76	
1958		141.03	228.89	1425.89		1136.08	88.64	FB 108, ratio	201.17	1954-57
1959		94.83	264.46	671.00		470.07	36.68	FB 111, ratio	164.25	1954-57
1960		89.91	238.78	1280.67		910.70	71.06	FB 117, ratio	298.92	1954-57
1961		98.52	174.94	1052.77		550.97	42.99	FB 121, ratio	458.81	1954-57
1962		70.09	172.42	916.79		602.72	56.92	FB 125	257.15	
1963		112.15	220.54	1180.38		652.24	230.78	FB 129	297.36	
1964		87.01	207 47	718 63		467.92	114 14	FB 132	136 56	
1965		132.79	248.71	786.04		453.99	40.04	FB 135	292.00	
1966		136 44	226 38	1026 92		666 11	82 68	FB 138	278 13	
1967		167.07	250.56	1313.09		721.16	96.73	FB 144	495.20	
1968		126.06	242.67	1187.51		612.31	34.81	FB 149	540.39	
	•									

Table 6. Estimated percentages (by weight) of cowcod in rockfish landings based on 5-year averages (1984-1988). Estimates for the Los Angeles, San Diego, and Santa Barbara (1916-1943) strata are from their respective hook-and-line fisheries. The estimate for the Santa Barbara (1944-1968) stratum is based on the combined trawl and hook-and-line fisheries.

Region (time period)	% cowcod, 1984-88
Santa Barbara (1916-1943)	4.95%
Santa Barbara (1944-1968)	5.56%
Los Angeles (1916-1968)	12.85%
San Diego (1916-1968)	2.10%

Table 7. Number of port samples and number of sampled rockfish (RF) by stratum (year, gear, port complex) for the five earliest-sampled years in the SCB (1984-1988).

Year	SB Hook	SB Hook & Line		SB Trawl		LA Hook & Line		SD Hook & Line	
	# samp.	# RF	# samp.	# RF	# samp.	# RF	# samp.	# RF	
1984	11	297	11	366	15	485	19	492	
1985	19	514	6	196	38	1098	19	739	
1986	43	1335	5	215	38	1262	64	2388	
1987	3	99	7	315	37	1422	55	2007	
1988	15	537	0	0	9	316	25	848	

Table 8. Effect of a 50% decrease in the estimated historical commercial catch (1900-1968).

		Historical commercial catch	
Reference Point	Base model	(1900-68) reduced by 50%	units
Unfished summary (age-1+) biomass	5291	4632	metric tons
Unfished spawning biomass (SB_0)	2488	2179	metric tons
Unfished recruitment (R ₀)	110	96.3	1000s of fish
40% of SB $_0$ (proxy for SB _{MSY})	995	871	metric tons
Exploitation rate at $F_{50\%}$ (proxy for F_{MSY})	2.7%	2.7%	percent
Spawning biomass in 2007 (SB ₂₀₀₇)	94	92	metric tons
SB ₂₀₀₇ / SB ₀	3.8%	4.2%	percent
Table 9. Estimated recreational and commercial landings of cowcod [mt] in the Southern California Bight, 1900-2007.

year	recreational	commercial	total	year	recreational	commercial	total
1900		0.01	0.01	1954	24	34.05	58.05
1901		5.34	5.34	1955	42	27.62	69.62
1902		10.68	10.68	1956	49	37.80	86.80
1903		16.01	16.01	1957	37	38.43	75.43
1904		21.35	21.35	1958	33	43.54	76.54
1905		26.68	26.68	1959	22	45.09	67.09
1906		32.02	32.02	1960	36	49.18	85.18
1907		37.35	37.35	1961	33	50.05	83.05
1908		42.68	42.68	1962	35	37.92	72.92
1909		48.02	48.02	1963	30	47.21	77.21
1910		53.35	53.35	1964	34	36.07	70.07
1911		58.69	58.69	1965	43	50.97	93.97
1912		64.02	64.02	1966	85	47.41	132.41
1913		69.35	69.35	1967	110	63.22	173.22
1914		74.69	74.69	1968	77	63.87	140.87
1915		80.02	80.02	1969	53	94.98	147.98
1916		85.36	85.36	1970	79	55.92	134.92
1917		137.73	137.73	1971	62	68.06	130.06
1918		125 59	125 59	1972	90	102 51	192 51
1919		75 10	75 10	1973	97	108 79	205 79
1920		81 57	81 57	1974	129	114 26	243.26
1920		71.26	71.26	1975	109	112 47	221 47
1922		70.11	70.11	1976	140	131.35	271.35
1022		93.94	93.94	1977	100	132.44	232.44
1020		125 94	125 94	1978	73	147 75	202.44
1025		128.15	128.15	1970	86	187 52	273 52
1026		171 / 8	171 / 8	1979	96.43	142.62	230.05
1020		1/2 30	1/1.40	1081	26 55	107 50	200.00
1020		142.30	142.30	1082	20.55	228 55	224.14
1020		102.48	102.48	1083	15 13	126 55	1/1 68
1020		102.40	102.40	1084	21.22	221 14	242.35
1930		120.70	120.70	1085	21.22	221.14	242.33
1032		100.00	100.00	1905	15 99	1/6 00	102.08
1033		81.64	81.64	1900	40.99	76.62	192.90
1034		70.36	70.36	1088	13 01	86.60	100.70
1934		70.30 52.56	70.30 52.56	1900	20.60	17.20	27.09
1935		20.10	20.10	1000	20.00	10.41	32.01
1930		20.19	20.19	1990	21.00	7 10	28.00
1937		24.22	24.22	1991	20.90	17.10	20.00
1930		21 50	21 50	1992	20.70	17.21	24.53
1939		21.00	21.00	1995	9.00	14.05	24.55
1940		20.20	20.20	1994	20.01	13.03	25.03
1941		29.10	29.10	1995	1.75	23.30	20.04
1942		10.40	10.40	1990	1 95	24.57	29.93
1943		12.10	12.10	1997	C0.1	7.30	9.15
1944		1.00	1.00	1990	2.01	1.21	4.03
1945		4.38	4.38	1999	3.77	3.47	7.24
1940		17.50	11.30	2000	4.49	0.45	4.94
1947		17.50	17.50	2001	0.25	0.25	0.50
1948		20.01 25.05	20.87	2002	0.25	0.25	0.50
1949		30.05	35.05	2003	0.25	0.25	0.50
1950	<u>^</u>	39.31	39.37	2004	0.25	0.25	0.50
1951	9	45.57	54.57	2005	0.25	0.25	0.50
1952	10	31.05	41.05	2006	0.25	0.25	0.50
1953	13	24.88	37.88	2007	0.25	0.25	0.50

pseudo-block	area [km²]	% of total habitat
3	1417	23.2%
4	1289	21.1%
2	910	14.9%
684	254	4.2%
685	203	3.3%
667	195	3.2%
690	164	2.7%
710	163	2.7%
878	137	2.2%
683	125	2.0%
762	108	1.8%
867	108	1.8%
765	106	1.7%
740	82	1.3%
739	82	1.3%
861	81	1.3%
850	79	1.3%
806	73	1.2%
682	69	1.1%
725	64	1.0%
738	59	1.0%
761	54	0.9%
829	52	0.9%
709	46	0.7%
708	45	0.7%
707	43	0.7%
724	39	0.6%
807	38	0.6%
737	16	0.3%
719	9	0.2%

Table 10: Area (km²) between 50-300m in pseudo-blocks as defined by Butler et al. (1999).

Table 11: Model selection for the delta-GLM CPFV logbook index. BIC selects the revised spatial stratification over the 1999 model structure, with and without the year-area interaction term. * Due to minor differences between the data used in the 1999 index and the revised index, it was impossible to exactly replicate the spatial stratification from the 1999 assessment.

Spatial stratification	model	distribution	# of parameters	BIC	delta-BIC
1999*	log(cpue) = year + month + pseudo.block	normal	70	9089.8	182.8
1999	log(cpue) = year + month + pseudo.block + year:pseudo.block	normal	1032	12095.9	3188.9
revised	log(cpue) = year + region	normal	48	9079.4	172.4
revised	log(cpue) = year + region + log.RF	normal	49	8914.5	7.6
revised	log(cpue) = year + region + log.RF + region:log.RF	normal	58	8907.0	0.0
revised	log(cpue) = year + region + log.RF + year:region	normal	382	10360.8	1453.8
1999	cpue = year + month + pseudo.block	binomial	69	8617.4	913.9
1999	cpue = year + month + pseudo.block + year:pseudo.block	binomial	1031	failed to	converge
revised	cpue = year + region	binomial	47	8901.0	1197.5
revised	cpue = year + region + log.RF	binomial	48	7703.5	0.0
revised	cpue = year + region + log.RF + year:region	binomial	381	failed to	converge

Table 12: Revised CPFV logbook index with jackknife CVs

year	index	CV
1963	0.51167	0.330
1964	0.39318	0.253
1965	0.27507	0.225
1966	0.23974	0.231
1967	0.14688	0.246
1968	0.17299	0.178
1969	0.18585	0.237
1970	0.20804	0.273
1971	0.25156	0.195
1972	0.13262	0.211
1973	0.22675	0.141
1974	0.21390	0.157
1975	0.26081	0.149
1976	0.15214	0.152
1977	0.13932	0.198
1978	0.10625	0.218
1979	0.08861	0.187
1980	0.06066	0.167
1981	0.08139	0.168
1982	0.04213	0.190
1983	0.06033	0.154
1984	0.05002	0.178
1985	0.03699	0.205
1986	0.04158	0.196
1987	0.02307	0.225
1988	0.03375	0.241
1989	0.02558	0.234
1990	0.03275	0.212
1991	0.04156	0.182
1992	0.03030	0.244
1993	0.03317	0.349
1994	0.02111	0.290
1995	0.01769	0.337
1996	0.01610	0.299
1997	0.00879	0.458
1998	0.01075	0.274
1999	0.00309	0.444
2000	0.00291	0.672

Table 13. Effect of removing visual survey from the 2005 assessment (with corrected selectivity). Steepness was fixed at h = 0.5.

	2005 assessmer		
Reference Point	with visual survey	without visual survey	units
Unfished summary (age-1+) biomass	3481	3389	metric tons
Unfished spawning biomass (SB $_0$)	3320	3232	metric tons
Unfished recruitment (R ₀)	65.0	63.3	1000s of fish
40% of SB ₀ (proxy for SB _{MSY})	1328	1293	metric tons
Exploitation rate at $F_{50\%}$ (proxy for $F_{MSY})$	2.8%	2.8%	percent
Spawning biomass in end year (SB $_{\rm end})$	313	61	metric tons
SB _{end} / SB ₀	9.4%	1.9%	percent
Catchability coefficient for visual survey	2.3	n/a	n/a

Table 14. Profile over the CV of the prior distribution for catchability of the visual survey. These model runs were conducted as part of our preliminary analyses and therefore do not represent final model runs. The qualitative behavior from altering the CV of the prior distribution for catchability remains unchanged.

	CV of prior on (log) catchability for visual survey					
Reference Points	1%	20%	50%	100%		
Unfished spawning biomass (SB0)	2542	2521	2495	2484		
Unfished summary (age-1+) biomass	5405	5361	5306	5282		
40% of SB0 (proxy for SBMSY)	1017	1008	998	994		
Spawning biomass in final year	266	204	118	78		
SB in final year / unfished SB	10.5%	8.1%	4.7%	3.1%		
Demonstra Fatimates						
Parameter Estimates						
Unfished recruitment (R0)	112.3	111.4	110.3	109.8		
Catchability for CPFV logbook index	1.71E-04	1.84E-04	2.07E-04	2.23E-04		
Catchability for visual survey	0.75	1.20	2.98	5.75		
Likelihood components						
Total negative log likelihood	28.6	25.1	18.4	13.9		
CPFV logbook index	21.6	17.9	12.9	11.1		
Visual survey	6.99	4.41	1.20	0.21		
Prior on visual survey	0.01	2.77	4.27	2.66		

Table 15. Bivariate likelihood profiles for the Beverton-Holt steepness parameter (h) and natural mortality (M), with associated estimates of unfished female spawning biomass, depletion, catchability for the visual survey, and MSY. These model runs were conducted as part of our preliminary analyses and therefore do not represent final model runs. The qualitative behavior of altering steepness and natural mortality remains unchanged.

Tota	al Ne	gative	Log Like	elihood	(NLL)	Visual S	urvey l	NLL		
			Natu	Iral Mort	ality			Natu	iral Mor	tality
			0.040	0.055	0.070			0.040	0.055	0.070
		0.30	16.5	16.6	16.6		0.30	0.91	0.92	0.93
	less	0.45	17.5	17.4	17.3	less	0.45	1.02	1.01	1.00
	epn	0.60	18.1	17.9	17.7	epn	0.60	1.08	1.05	1.01
	Ste	0.75	18.6	18.3	18.1	Ste	0.75	1.11	1.04	0.86
		0.90	19.4	21.6	26.0		0.90	1.06	0.42	0.17
CPF	V In	dex NL	L			Visual S	urvey l	Prior (NL	.L)	
			Natu	iral Mort	ality			Natu	iral Mor	tality
			0.040	0.055	0.070			0.040	0.055	0.070
		0.30	11.99	11.98	11.94		0.30	3.63	3.70	3.72
	less	0.45	12.38	12.39	12.35	less	0.45	4.06	4.04	3.98
	eepr	0.60	12.65	12.67	12.66	eepr	0.60	4.34	4.19	4.03
	S	0.75	12.99	13.10	13.78	St	0.75	4.46	4.14	3.43
		0.90	14.06	19.44	25.02		0.90	4.23	1.68	0.68
Unfi	ishe	d Spaw	ning Bio	omass (S	6B ₀)	Catchat	oility for	r 2002 vi	sual sur	vey
			Natu	Iral Mort	ality			Natu	Iral Mor	ality
			0.040	0.055	0.070			0.040	0.055	0.070
	S	0.30	3387	3052	2754	Ś	0.30	2.89	2.92	2.94
	nes	0.45	3068	2692	2373	nes	0.45	3.12	3.11	3.08
	teep	0.60	2886	2494	2170	teep	0.60	3.27	3.19	3.10
	S	0.75	2762	2361	2037	S	0.75	3.34	3.17	2.78
		0.90	2663	2271	1958		0.90	3.22	1.88	1.35
_										
Dep	letio	on (SB ₂₀	₀₀₇ / SB ₀)			MSY				
			Natu	Iral Mort	ality			Natu	Iral Mor	ality
			0.040	0.055	0.070			0.040	0.055	0.070
	ŝ	0.30	3.7%	3.9%	4.1%	Ś	0.30	0.00	0.00	0.00
	sanc	0.45	3.8%	4.2%	4.6%	ones	0.45	39.57	46.20	51.54
	je l	0.60	4.0%	4.6%	5.2%	Steep	0.60	53.19	61.19	67.39
	ž	0 75	4 00/	E 00/	0 401		~ ~ ~ ~ ~ ~		0F 00	74 00
	Ste	0.75	4.2%	5.0%	6.4%		0.75	57.87	65.89	71.99

Table 16. Comparison of base model results (fixed h = 0.6) to a model that estimates steepness with a prior probability distribution from a meta-analysis of rockfish stocks.

Reference Points	base model	estimate steepness with prior
Unfished spawning biomass (SB0)	2488	2988
Unfished summary (age-1+) biomass	5291	6353
40% of SB0 (proxy for SBMSY)	995	1195
Spawning biomass in final year	94	97
SB in final year / unfished SB	3.8%	3.2%
Parameter Estimates		
Unfished recruitment (R0)	109.9	132.0
Catchability for CPFV logbook index	2.16E-04	2.00E-04
Catchability for visual survey	2.30	2.14
Steepness	0.6 (fixed)	0.32
Likelihood components		
Total negative log likelihood	16.5	16.1
CPFV logbook index	13.3	12.7
Visual survey	0.68	0.59
Prior on visual survey	2.51	2.79

Table 17. Summary statistics from the binomial GLM in the CPFV logbook delta-GLM model.

Call: glm(formula = cpue ~ season + region + logRF, family = binomial, data = bindat)

Deviance Residuals: 1Q Median ЗQ Max Min -2.4471 -0.7508 -0.3831 0.7736 2.8519 Coefficients: Estimate Std. Error z value Pr(>|z|)(Intercept) 0.94993 0.31499 3.016 0.002563 ** -0.09233 0.31936 -0.289 0.772505 season1964 season1965 0.25317 0.31383 0.807 0.419826 season1966 0.15198 0.30862 0.492 0.622389 -0.27623 0.31847 -0.867 0.385747 season1967 season1968 0.15311 0.31134 0.492 0.622864 season1969 -0.53414 0.31098 -1.718 0.085864 . season1970 -0.37944 0.31557 -1.202 0.229219 -0.24020 0.31200 -0.770 0.441376 season1971 0.30483 -0.466 0.640962 season1972 -0.14216 3.409 0.000651 *** season1973 1.02891 0.30179 0.30422 2.255 0.024158 * season1974 0.68591 0.30923 4.486 7.27e-06 *** 1.38708 season1975 0.77587 0.30034 2.583 0.009786 ** season1976 season1977 0.22333 0.29943 0.746 0.455744 0.37217 1.851 0.064157 . season1978 0.68891 season1979 0.13610 0.31073 0.438 0.661377 season1980 0.47993 0.29158 1.646 0.099772 . season1981 0.47224 0.29235 1.615 0.106246 season1982 -0.01647 0.29626 -0.056 0.955675 season1983 0.71169 0.29634 2.402 0.016324 * season1984 0.10 -0.41398 -0.15969 -0.73989 -0.72032 -0.60857 -45486 0.29598 0.633 0.526914 0.31015 -1.335 0.181947 0.18727 season1985 0.31048 -0.514 0.607013 season1986 season1987 0.31100 -2.379 0.017355 * 0.30421 -2.368 0.017893 * season1988 0.30795 -1.976 0.048135 * season1989 -0.45486 0.30450 -1.494 0.135235 season1990 season1991 -0.07619 0.29725 -0.256 0.797718 -0.37193 0.31357 -1.186 0.235574 season1992 -1.27221 0.34226 -3.717 0.000201 *** season1993 season1994 -1.11143 0.33248 -3.343 0.000829 *** season1995 -1.05965 0.32653 -3.245 0.001174 ** -0.86865 0.31566 -2.752 0.005925 ** season1996 season1997 -1.72993 0.38497 -4.494 7.00e-06 *** season1998 -0.94803 0.32510 -2.916 0.003545 ** season1999 -2.04664 0.44463 -4.603 4.16e-06 *** 0.59788 -3.516 0.000438 *** season2000 -2.10206 0.20891 -5.068 4.03e-07 *** regionBackside Catalina -1.05866 0.19323 -13.762 < 2e-16 *** regionNorth_islands -2.65911 regionOceanside -1.67080 0.24216 -6.900 5.21e-12 *** 0.20922 -3.117 0.001830 ** regionOffshore banks -0.65207 regionSan_Clemente -2.10109 0.21342 -9.845 < 2e-16 *** regionSan_Nicolas -1.77770 0.20612 -8.625 < 2e-16 *** regionSan Nicolas regionSan Pedro Channel -1.31484 0.19457 -6.758 1.40e-11 *** regionSB Hidden Reef -1.59668 0.20143 -7.927 2.25e-15 *** regionSouth coastal -2.68037 0.21435 -12.504 < 2e-16 *** 0.76015 0.02590 29.347 < 2e-16 *** logRF Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

Null deviance: 9789.1 on 7781 degrees of freedom Residual deviance: 7273.4 on 7734 degrees of freedom

Table 18. Summary statistics from the Gaussian GLM in the CPFV logbook delta-GLM model. glm(formula = log(cpue) ~ season + region + logRF + region:logRF, family = gaussian) Deviance Residuals: 1Q Median 3Q Max Min 0.0913 0.9178 -4.4785 -0.8141 5.7089 Coefficients: Estimate Std. Error t value Pr(>|t|) (Intercept) -1.209800 0.283006 -4.275 1.99e-05 *** -0.236781 0.312932 -0.757 0.449331 season1964 season1965 -0.685057 0.300901 -2.277 0.022891 * season1966 -0.798242 0.296449 -2.693 0.007136 ** 0.307126 -3.787 0.000156 *** season1967 -1.163025 -1.124844 0.299193 -3.760 0.000174 *** season1968 -0.833012 season1969 0.304861 -2.732 0.006332 ** -0.778900 0.308135 -2.528 0.011541 * season1970 0.304601 -2.091 0.036601 * 0.298521 -4.383 1.22e-05 *** -0.637021 season1971 season1972 -1.308468 0.278340 -3.633 0.000285 *** season1973 -1.011320 0.283891 -3.597 0.000328 *** season1974 -1.021159 -0.908640 0.276620 -3.285 0.001035 ** season1975 -1.376032 0.281108 -4.895 1.05e-06 *** season1976 season1977 season1978 season1979 season1980 season1981 season1982 season1983 season1984 season1985 season1986 season1987 season1988 season1989 season1990 season1991 season1992 -2.195748 0.366933 -5.984 2.49e-09 *** season1993 season1994 -2.737882 0.350334 -7.815 8.08e-15 *** 0.342562 -8.589 < 2e-16 *** season1995 -2.942286 0.326410 -9.597 < 2e-16 *** season1996 -3.132509 season1997 -3.233369 0.435156 -7.430 1.49e-13 *** -3.497139 season1998 0.340066 -10.284 < 2e-16 *** 0.509022 -7.958 2.65e-15 *** 0.707020 -5.754 9.80e-09 *** -4.050691 season1999 season2000 -4.068197 regionBackside_Catalina -1.270068 regionNorth_islands -1.279452 0.160118 -7.932 3.24e-15 *** 0.150594 -8.496 < 2e-16 *** 0.222795 -9.672 < 2e-16 *** -2.154937 regionOceanside

 0.524952
 0.156033
 3.364
 0.0000775

 -1.124961
 0.181650
 -6.193
 6.90e-10

 -0.310789
 0.169905
 -1.829
 0.067493
 .

 -1.738109
 0.148275
 -11.722
 < 2e-16</td>

 -0.310715
 0.161100
 -3.144
 0.001686
 **

regionOffshore_banks regionSan_Clemente regionSan_Nicolas regionSan Nicolas regionSan Pedro Channel regionSB_Hidden_Reef -0.506515 0.161100 -3.144 0.001686 **

regionBackside Catalina:logRF -0.129265 0.131973 -0.979 0.327441 regionNorth_islands:logRF -0.277307 0.111539 -2.486 0.012978 *

-0.627487

-1.758563 0.197066 -8.924 < 2e-16 *** -0.005808 0.100492 -0.058 0.953919

0.1899999 -3.303 0.000972 *** 0.149875 -2.052 0.040257 * 0.153253 -0.275 0.782967

regionSouth coastal

regionOceanside:logRF

regionOffshore_banks:logRF -0.062/487 regionOffshore_banks:logRF -0.307573 regionSan_Clemente:logRF -0.042219

logRF

regionSan_Nicolas:logRF -0.359699 0.127861 -2.813 0.004944 ** regionSan_Pedro_Channel:logRF -0.233015 0.109458 -2.129 0.033369 * regionSB_Hidden_Reef:logRF -0.451922 0.121956 -3.706 0.000216 *** regionSouth_coastal:logRF -0.890979 0.132332 -6.733 2.06e-11 *** ---(Dispersion parameter for gaussian family taken to be 1.733394)

Null deviance: 7477.7 on 2511 degrees of freedom Residual deviance: 4255.5 on 2455 degrees of freedom Table 19. Number of port samples taken by gear, port complex, market category, and year in the SCB, 1983-1990. Species compositions from 1984-1988 (grey) were used to estimate the fraction of total rockfish that was cowcod in the historical fisheries. HKL = hook and line, TWL = trawl, OLA = Los Angeles, OSB = Santa Barbara, OSD = San Diego. Source: CALCOM, 2007.

Sum of sample_ct			year								
gear_grp	port_complex	mark_cat	1983	1984	1985	1986	1987	1988	1989	1990	Grand Total
HKL	OLA	250		3	9	1	3				16
		667		2	12	13	14	2	3		46
		956		6	3	8	7	3	10		37
		959		4	14	16	13	4	17		68
	OLA Total	-		15	38	38	37	9	30		167
	OSB	245							1		1
		250		3	8	17	2	2	1		33
		667			1	2	1	6	12	3	25
		956	1	3	6	16		2	7	1	36
		959	1	5	3	8		5	13	2	37
		960			1						1
	OSB Total		2	11	19	43	3	15	34	6	133
	OSD	250	10	17	8	52	35	15	5	3	145
		252		1							1
		269				1					1
		657								1	1
		667		1	8	3	3	4		1	20
		956						1	1		2
		959			3	8	17	5	10	4	47
	OSD Total		10	19	19	64	55	25	16	9	217
HKL Total			12	45	76	145	95	49	80	15	517
TWL	OSB	245		1							1
		250	5	2	1		5				13
		253	2	6							8
		956		1	3	4	1				9
		959		1	2						3
	OSB Total	-	7	11	6	4	6				34
TWL Total			7	11	6	4	6				34
Grand Total			19	56	82	149	101	49	80	15	551

Table 20. Summary of West Coast Slope/Shelf Combination Groundfish survey data within the Southern California Bight. Analysis restricted to tows between 50-300m. cc = cowcod.

Survey Year	# tows	sum area (sq m)	# cowcod	prop. pos.	avg. cc per hectare (avg. of ratios)	sum(cc) / sum(hectares)
2003	30	527239	4	0.067	0.072	0.076
2004	34	606968	11	0.118	0.185	0.181
2005	36	616654	11	0.167	0.179	0.178
2006	41	634469	19	0.146	0.275	0.299

Table 21. Comparison of requested model runs to base model (see item 16 under Responses to STAR panel requests).

	Model Description						
	base model, h = 0.6	h = 0.6	h = 0.6	h = 0.6			
Reference Point	CPFV Logbook & Visual Survey	CPFV Logbook only	Visual Survey only	CPFV Logbook with power term & Visual Survey	units		
Unfished summary (age-1+) biomass	5303	5267	5764	5403	metric tons		
Unfished female spawning biomass (SB $_0$)	2494	2477	2711	2541	metric tons		
Unfished recruitment (R ₀)	110	109	120	112	1000s of fish		
40% of SB $_0$ (proxy for SB _{MSY})	997	991	1084	1016	metric tons		
Exploitation rate at $F_{50\%}$ (proxy for $F_{MSY})$	2.7%	2.7%	2.7%	2.7%	percent		
Spawning biomass in 2007 (SB ₂₀₀₇)	113	52	658	264	metric tons		
SB ₂₀₀₇ / SB ₀	4.6%	2.1%	24.3%	10.4%	percent		
CPFV catchability exponent	1 (fixed)	1 (fixed)	n/a	1.56	n/a		
Visual survey log catchability	1.16	n/a	-0.286	0.468	metric tons		

Table 22. Sensitivity to estimates of historical commercial catch (+/- 50% relative to the base model).

Reference Point	Base model	Historical commercial catch (1900-68) reduced by 50%	Historical commercial catch (1900-68) increased by 50%	units
Unfished summary (age-1+) biomass	5303	4646	6063	metric tons
Unfished spawning biomass (SB_0)	2494	2185	2851	metric tons
Unfished recruitment (R ₀)	110	96.5	126.0	1000s of fish
40% of SB ₀ (proxy for SB _{MSY})	997	874	1141	metric tons
Exploitation rate at $F_{50\%}$ (proxy for F_{MSY})	2.7%	2.7%	2.7%	percent
Spawning biomass in 2007 (SB ₂₀₀₇)	113	112	115	metric tons
SB ₂₀₀₇ / SB ₀	4.6%	5.1%	4.0%	percent

Table 23. Summary of runs requested to help bracket uncertainty in the base model. The three final models (shown in grey) used steepness values of 0.4, 0.6, and 0.8, and included either the visual survey and CPFV logbook index or only the visual survey. Models with only the CPFV index were not considered due to extreme estimates of exploitation rates.

	Quantity	M = 0.04 Steepness			M = 0.055 Steepness			M = 0.07 Steepness		
Data Visual Survey &		0.4	0.6	0.8	0.4	0.6	0.8	0.4	0.6	0.8
CPFV index	SB0	3153	2886	2727	2785	2494	2324	2471	2170	2008
	SB ₂₀₀₇	119	115	117	115	113	121	111	112	179
	depletion	3.8%	4.0%	4.3%	4.1%	4.6%	5.2%	4.5%	5.2%	8.9%
Visual Survey only	SB ₀	3465	3145	2943	3062	2711	2496	2721	2359	2143
	SB ₂₀₀₇	652	677	701	635	658	681	613	634	653
	depletion	18.8%	21.5%	23.8%	20.7%	24.3%	27.3%	22.5%	26.9%	30.5%
CPFV index only	SB0	3123	2866	2713	2761	2477	2314	2449	2155	2005
	SB ₂₀₀₇	51	47	50	53	52	79	54	56	171
	depletion	1.6%	1.6%	1.9%	1.9%	2.1%	3.4%	2.2%	2.6%	8.5%

Figures





Figure 2. Catch curve estimation of total mortality (Z). The assumed age at full recruitment is 12 years old, and ages greater than 44 years were excluded due to consistently small sample sizes.



Figure 3. von Bertalanffy growth curve fit to length-at-age data (sexes combined).





Figure 4. CVs of length at age versus mean length for cowcod. The linear trend was extrapolated to better approximate the observed variability in length at age (see Figure 5).

mean length [cm] Figure 5. Updated von Bertalanffy growth curve, assumed CVs as a function of age, and 95% confidence intervals used in the base model.

0%



Figure 6. Incremental effects of the corrected selectivity curve and growth function on the spawning biomass trajectory for cowcod, with comparison to the base model.



Figure 7. Total rockfish landings by area in California, 1916-1968. See text for definition of regions. Data from 1916-1927 are from CDF&G Fish Bulletin No. 105 (1958), and data after 1927 are from the NMFS SWFSC ERD Live-Access Server.



Figure 8. Total rockfish landings in Southern California, 1928-1968, from the ERD database. Landings include thornyheads (genus *Sebastolobus*) and exclude foreign catch. Increased catch in the Santa Barbara region (1954+) is largely due to landings at Morro Bay and Avila.



Figure 9. Total rockfish landings in Southern California by region, 1916-1968. Catch in the Santa Barbara region has been adjusted to exclude landings at Morro Bay and Avila (Table 2).



Figure 10. Percent cowcod in rockfish landings, 1984-2000, by year, port, and gear. Moving averages for the Santa Barbara hook & line fishery do not include data from 1988 (open circle).



54

Figure 11. Southern California cowcod landings, 1969-2000, from CALCOM. The 2007 estimates reflect recovered port samples from the region (1983-1985) and the revised expansion procedure.



Figure 12. Commercial catches of cowcod by gear type (CALCOM, 2007). Gear groups are hook & line (HKL), trawl (TWL), net (NET), and other (OTH).



Figure 13. Length compositions by shift-year from CDFG onboard observer creel surveys



Figure 14. Locations of cowcod caught during 1970s CPFV observer study. Light grey = 1-9 cowcod, dark grey = 10 - 49 cowcod, black = 50+ cowcod.



Figure 15. Length of cowcod versus depth fished from CPFV observer data from the SCB. Years are "shift-years" as described in text. The group of larger fish in 1977 was all caught in a single month and block.



reported depth [m]

Figure 16: Distribution of effort recorded in CPFV logbook database. Effort is defined as the sum of angler hours between the months of Nov - Apr. for blocks in which 1+ cowcod were caught.



Figure 17: Comparison of selectivity curves; solid black line is curve fitted to 1970s CPFV observer data, broken line mirrors the female maturity schedule.



Figure 18: Cowcod length compositions from commercial fisheries, by gear group, in the SCB. TWL = trawl, HKL = hook and line.



Figure 19: Cowcod length compositions from the commercial net fishery in the SCB.





Figure 20. Final selectivity curves for the base model.



Figure 21: Spatial stratification of the 1999 CPFV logbook index (Butler et al., 1999).

Figure 22: Spatial stratification of the 2005 CPFV logbook index (Piner et al., 2005).





Figure 23: Spatial stratification of the CPFV logbook index in the 2007 assessment.

Figure 24: Changes in average cowcod CPUE by decade and region.



Figure 25: Comparison of CPFV logbook indices and unweighted CVs from the 2007 assessment to results from previous assessments. Note the log scale for indices. The axis for the CVs has been vertically extended to visually separate the two sets of data.



Figure 26: Base model fit to the revised CPFV logbook index, with tuned CVs.



Figure 27: Effect of the corrected selectivity curve in the 2005 assessment on exploitation rates (catch divided by age 11+ biomass).



Figure 28: Exploitation rates based on alternative summary ages for the 2007 base model.





Figure 29: Time series of fishing intensity defined as 1 - SPR.

Figure 30: Rockfish landings in the southern California bight (1983 – 1985) by market category and method with which sample coverage was used to estimate the landings (CALCOM, 2007).



Figure 31: CPFV logbook indices, with and without log(rockfish catch) as a covariate.



compare delta-GLM indices

Figure 32: Components of the delta-GLM model from the revised CPFV logbook index.



Figure 33: Natural log of CPUE (number of cowcod per angler hour) as a function of log(rockfish catch) with mean subtracted, by shift-year (aka "season") defined as the months of November – April. All regions are combined. Data for the 1963 season are in the lower left corner, and years increase from left to right, then upward by row.



Given : season

logRF

Figure 34: Natural log of CPUE (number of cowcod per angler hour) as a function of log(rockfish catch) with mean subtracted, shown by region (see Fig. 23) for all years. Data from 43-fathom bank are in the lower left corner, and regions progress as per the legend from left to right, and upward by row.



Given : region





-2

log(#RF)-E{log(#RF)}



Figure 36: Time series of mean CPUE, by region, for the CPFV logbook data.

Figure 37: Length frequencies from the a) commercial net and b) hook-and-line fisheries.


Figure 38: Comparison of the prior probability distribution for the logarithm of the visual survey catchability parameter to the posterior mode. See Appendix C for a comparison of the prior and MCMC draws from the marginal posterior distribution.



Prior = N(-0.2863,0.5^2), Posterior mode = 1.226

Appendix A

California Commercial Rockfish Landing Estimation Methods for 1969-1983

In September 2005, the California Cooperative Groundfish Survey (CCGS) incorporated newly acquired commercial landings statistics from 1969-77 into the CALCOM database. Species-specific rockfish landings were estimated using stratified species compositions gathered during the earliest years when port sampling was conducted. Stratification of CCGS port samples typically includes year, port, gear, quarter, and market category as classification variables. However, analysis of the data indicated that during the earlier period, when no port samples were available (1969-77), at least one market category had been redefined, resulting in serious errors in the landing estimates. In October 2006, the 1969-77 landings were re-estimated using a ratio estimator approach that dropped market category as a classification variable. In addition, since port samples for Los Angeles, Santa Barbara, and San Diego were not available until 1984, the landings for these three ports were re-estimated for 1978 through 1983 using the same approach. The ratio estimator was based on pooling the three earliest years in which port sampling was conducted, with stratification by port, gear, and quarter (i.e., market category was dropped). Species compositions that could be applied to the combined "rockfish" landings during the earlier time period were estimated as the sum of the landings for a species divided by the sum of the total rockfish landings by port, gear, and quarter. A brief explanation of the reasons for the re-estimation of early landings statistics is provided here.

When the yelloweye rockfish (*Sebastes ruberrimus*) stock assessment was being conducted in 2005 (Wallace et al. 2006), it was noticed that yelloweye landings between 1969 through 1977 were estimated to be unrealistically high. This initiated a careful examination of expansion procedures to determine the cause. The current approach to estimating rockfish landings in California relies on stratifying by year, port, gear, condition (live or dead), market category, and quarter. Market category usage has been highly dynamic over time (Figure A1). Note, for example, that there are currently over 50 defined market categories, whereas during the 1970s there were less than 20 categories in use. This highlights why market category is an essential stratum for catch expansions. However, its use depends on the assumption that market category definitions are stable, especially when they are applied over an extended time period. While new market categories can be added, it is important that the definition of existing market categories must not change within an expansion time interval; if they do, landing estimates can be strongly affected. This is what occurred in the early 1980's with market category 265 (currently defined as nominal "yelloweye rockfish").

In the 1970's, a large fraction of the rockfish was landed in market category 265 (up to 18% of the landings) (see Figure A2). Because not all strata (=years) had been sampled, species compositions gathered later in the time series were applied to these earlier landings: this was a mistake. As can be seen in Figure A2, the fraction of rockfish landed in market category 265 declines to nearly zero in 1982 and remains very small thereafter.

In Figure A3, the species compositions using samples from market category 265 before 1982 (n=26) are compared to compositions taken from 1991-1993 (n=31). Less than 2% of market category 265 was actually *Sebastes ruberrimus* prior to 1982, while more than 98% was

later on. Furthermore, market category 265 nearly disappears after 1982 and market category 959 (defined as "group red"), starts to show up in 1983 (Figure A2). Examination of the species composition of market category 959 after 1982 indicates that many of the species previously landed in market category 265 were landed in market category 959. Taken altogether we feel that this indicates that market category 265 was redefined in 1982.

The next question that needs to be asked is what market category was used to land yelloweye rockfish if market category 265 was not being used. In Figure A4, it can be seen that the majority of *S. ruberrimus* have been landed in the well-sampled market category 250 ("unspecified rockfish"). Large landings are also made in market category 959 after 1982. Figure A4 is based on actual samples and does not represent all estimated landings, but it is clear that market category 265 does not account for the preponderance of landings.

Given that yelloweye rockfish sorting practices in commercial markets have changed markedly, it is not surprising that landings estimates of other species have been altered as well. This is because the total catch of all *Sebastes* spp. must still sum to the reported "rockfish" catch. Since estimates of yelloweye rockfish catch in the 1970s were reduced, catch estimates for other taxa were increased.

Currently the CCGS is preparing a written report on the reliability of landing estimates for all groundfish in the database, with a final version due by the end of 2007. Nonetheless, current landing estimates in CALCOM are now deemed to be the best available data by the State of California. The report that is in preparation will provide guidance to authors on how reliable the estimates are for any given species.

Wallace, F., T. Tsou, T. Jagielo, and Y. W. Cheng. 2006. Status of yelloweye rockfish off the U.S. west coast in 2006. In: Stock Assessment and Fishery Evaluation. Pacific Fishery Management Council, 2130 SW Fifth Ave., Suite 224, Portland, OR, 97210.



Fig A1: Rockfish Landings and Number of Market Categories

Fig. A2: Percent of Rockfish Landings By Selected Market Cateories











```
##
##
   SS2 Version 2.00
##
## Data & Control Files
moo3 base.dat
moo3 base.ctl
##
0
       # Read PAR File (0 = No, 1 = Yes)
1
      # Verbosity Flag
1
      # Write Report File
      # Number of Bootstrap Files
0
4
      # Last Phase
Code version :
                     # Code Version Label
     # Burn In MCMC
1
1
      # Thinning MCMC
0.0 # Jitter Value
0.01 # Push Value
    # Min Year SP BIO
-1
   # Max Year SP BIO
-1
1.0e-6 # Convergence Criteria
0 # Retrospective Year
1
      # Keep Catches; set to 0 when calc'ing dynamic B0
0.2 # Ball Park F
-1
      # Ball Park Year (negative value omits from optimization, ignores ball park F)
1
      # Pope's Approximation (1=Pope's, 0=estimate F's)
1
      # Summary Age
1
      # Forecast Option # 0 = no forecast; 1 = use target F
1
      # MSY Option; 1 = set F(msy) = F(spr); 2=calc F(MSY); 3=set F(MSY) equal to F(Btarget)
      # West Coast Groundfish Rebuilder Program Option
0
2000 # Start Year Rebuilder
2007 # End Year Rebuilder
# control file for 2007 cowcod assessment
# Stock Synthesis 2, version 2.00c
# E.J. Dick, NMFS SWFSC Santa Cruz Lab
# December 2007
1
       # N Growth Patterns
1
       # N submorphs
1
       # N areas
```

- 1 1 1 1 # area assignments for each fishery and survey
- 1 #_recruit_design_(G_Pattern_x_birthseas_x_area)_X_(0/1_flag)
- 0 #_recr_distr_interaction
- 0 #_Do_migration
- 0 0 0 # movement pattern (for each season x source x destination) input (0/1 flag) minage maxage

0 0.5 1000 1 1 2	#_Nblc #_frac #_subm #vectc #_natM #_natM	ock_Desig cfemale morph_bet or_submor 1_amin 1_amax	gns tween/wi tphdist_	thin (-1_firs	st_val_f	or_norma	al_ar	opr	ox))						
2 37 0 0	<pre>#_Growth_Age-at-L1 (Amin) #_Growth_Age-at-L2 (Amax) #_SD_add_to_LAA (set equal to 0.1 to mimic SS2 v1.xx) #_CV_Growth_Pattern (0 = CV(LAA))</pre>															
1 1 3 1 -1	#_matu #_Firs #_para #_env/ #_MGpa	arity_opt st_Mature ameter_of 'block/de arm_Dev_F	cion; 1 e_Age th ffset_ap ev_adjus Phase	= length at can s proach; t_methoo	n logist spawn, a 3 = off d(1/2)	ic s per sp sets san	pecif me as	fie s S	d r S2	nat v1	ur: .xx	Lty «	į o	gi	ve	
# mort	ality &	growth	parms													
# LO	HI	INIT -	PRIOR	PR typ	e SD		PHA	ASE								
0.01	0.1	0.055	0.055	0	0.0076	53	-1			0 (0 (0	0.	.5	0 () # natural mortality young
0	0	0	0	0	0.0076	53	-1			0 (0 (0	0.	.5	0 () # natural mortality old (offset)
10	20	16.2	16.2	0	10		-1			0 (0 (0	0.	.5	0 () # length at Amin
70	80	75.6	75.6	0	0.8		-1			0 (0 (0	0.	.5	0 () # length at Amax
0.01	0.25	0.052	0.052	0	0.8		-1			0 (0 0	0	0.	.5	0 () # k, von Bertalanffy growth coef.
0.01	0.5	0.265	0.265	0	99		-1			0 (0 (0	0.	.5	0 (0 # CV young
0	1	-1.781	-1.781	0	0.8		-1			0 (0 0	0	0.	.5	0 () # CV old (exp. offset)
ш 1			u al la avava	/1	la + a' a-la i	L										
#_wt=1	.en, mat	urity, a	na leggs E 1 010	5/kg]=a+ 5 0	o o	1	0 0	0	0	0	5 (`			
-3	3	3 003	3 003	5 0	0.0	-1 -1			0	0.	50) N			
-3	3	13	13	0	0.0	-1 -1) ()) ()	0	0.	50		ן ר			
-3	3	-0 510	4J 6 = 0 510	60	0.0	-1 -1) ()) ()	0	0.	50		ן ר			
-5	1	1	1	0.0	0.0	-1 -1) ()) ()	0	0.	50		ן ר			
0	1	1	1	0	0.0	-1	0 0	0	0	0.	5 (ן ר			
0	-	Ū	Ū	0	0.0	-	0 0		0	•••	0					
# recr	uitment	apporti	onment													
-4	4	0	0	-1	99	-3	0 0	0 (0	Ο.	5 () ()			<pre># recrdistribution by growth pattern</pre>
-4	4	0	0	-1	99	-3	0 0	0 (0	Ο.	5 () ()			# recrdistribution by area 1
-4	4	4	0	-1	99	-3	0 0	0 (0	Ο.	5 () ()			# recrdistribution by season 1
1	1	1	1	-1	99	-3	0 0	0 0	0	Ο.	5 () ()			#_cohort_growth_deviation
0 #_cu	istom_MG	-env_set	up													
0 #_cu	istom_MG	-block_s	etup													
#_Spaw 1 # SF	ner-Rec	ruitment on														
# T.O	HT	 TNTT	PRIOR	PR two	e SD	PHASE										

# LO	HI	INIT	PRIOR	PR type	e SD	PHASE	
2	8	7	4.5	-1	100	1	<pre># virgin recruitment</pre>
0.2	1	0.6	0.597	2	0.183	-2	# steepness

0.01 0.4 0 1000 -3 0 2 # sigma-r 0 0 1 -3 -5 5 0 # env-link 1 -3 -5 5 0 0 0 # offset for initial equilibrium 0 0.5 0 0 -1 99 -2 # [reserve for future autocorrelation] 0 # SR env link # SR env target 1=devs; 2=R0; 3=steepness 1 0 #do recr dev: 0=none; 1=devvector; 2=simple deviations #first yr last yr min log res max log res phase 2006 2005 -15 15 -3 # recr devs 1492 # first yr fullbias adj in MPD # initial F parms # LO HI INIT PRIOR PR type SD PHASE 0 0.2 0 0 0 1000 -1 0 0.2 0 0 0 1000 -1 # Q setup # A=do power, B=env-var, C=extra SD, D=devtype(<0=mirror, 0/1=none, 2=cons, 3=rand, 4=randwalk), # E=0=num/1=bio, F=err type # A B C D E F 0 0 0 0 1 0 0 0 0 0 1 0 0 0 0 2 0 0 0 0 0 2 1 0 # Q parms(if any) # LO HI INIT PRIOR PR type SD PHASE -14 -1 -9.5 -9 -1 1000 1 # catchability for CPFV index -2.3 2.3 -0.28630 0.5 1 0.5 # catchability for visual survey # size selex types # Pattern Discard Male Special 1 0 0 0 # 11 0 0 0 # 2 5002#3 0 0 0 0 # 4 # age selex types # Pattern Discard Male Special 10000#110 0 0 0 # 2 10 0 0 0 # 3 11 0 0 0 # 4 # selex parms # LO HI INIT PRIOR PR type SD PHASE env-var use dev dev minyr dev maxyr dev stddev Block Block Fxn # size sel: 1 -- commercial fishery; mirrors maturity ogive 40 46 43 43 0 1000 -1 0 0 0 0 0.5 0 0 5 6 5.767 5.767 0 1000 -1 0 0 0 0 0.5 0 0

size sel: 2 -- recreational fishery 10 50 34.06 35 0 1000 -1 0 0 0 0 0.5 0 0 5 15 7.52 7 0 1000 -1 0 0 0 0 0.5 0 0 # size sel: 3 -- CPFV index; mirrors recreational fishery 10 50 -1 35 0 1000 -1 0 0 0 0 0.5 0 0 5 15 -1 7 0 1000 -1 0 0 0 0 0.5 0 0 # size sel: 4 # age sel: 1 # age sel: 2 # age sel: 3 # age sel: 4 -- visual survey - 1 0 0 0 1000 -1 0 0 0 0 0.5 0 0 0 79 80 80 80 0 1000 0 0 0 0 0.5 0 0 -1 1 # env/block/dev adjust method(1/2) 0 # custom sel-env setup 0 # custom sel-block setup -1 # selparmdev-phase # Variance adjustments to input values # 1 2 3 4 0 0.255 0 # add to survey CV 0 0 0 0 # add to discard CV 0 0 0 0 # add to bodywt CV 1 0 1 1 # mult by lencomp N 1 1 1 1 # mult by agecomp N 1 1 1 1 # mult by size-at-age N 30 # DF for discard like 30 # DF for meanbodywt like 1 # maxlambdaphase 0 # sd offset # lambdas (columns for phases) # commercial fishery 0 0 # recreational fishery 1 # CPFV logbook index 1 # visual survey 0 # discard: 1 0 # discard: 2 0 # discard: 3 # discard: 4 0 0 # meanbodyweight 0 # lencomp: 1 # lencomp: 2 0 0 # lencomp: 3 0 # lencomp: 4 0 # agecomp: 1 0 # agecomp: 2

0 # agecomp: 3

- 0 # agecomp: 4
- 0 # size-age: 1
- 0 # size-age: 2
- 0 # size-age: 3
- 0 # size-age: 4
- 0 # init equ catch
- 0 # recruitments
- 1 # parameter-priors
- 0 # parameter-dev-vectors
- 100 # crashPenLambda
- 0.9 # maximum allowed harvest rate

999

data file for 2007 cowcod assessment # REVISED 2002 BIOMASS ESTIMATE FROM VISUAL SURVEY # Stock Synthesis 2, version 2.00c # Revised December 2007 # MODEL DIMENSIONS # _____ 1900 # start year 2007 # end year # number of seasons per year 1 12 # vector with N months in each season 1 # spawning occurs at the beginning of this season 2 # number of fishing fleets 2 # number of surveys # string containing names for all fisheries and surveys, delimited by the "%" character commercial%recreational%CPFV%visual # fraction of season elapsed before CPUE measured or survey conducted 0.5 0.5 0.5 0.5 # 1 # number of genders; females are gender 1 80 # accumulator age # # CATCH DATA # _____ 0 0 # initial equilibrium catch for each fishery # catch biomass (mtons); catch is retained catch, not total catch # comm rec vear 0.01 0 # 1900 5.34 0 10.68 0 16.01 0 21.35 0 26.68 0 32.02 0 37.35 0

42.68 48.02 53.35 58.69 64.02 69.35 74.69 80.02 85.36	0 0 0 0 0 0 0	#	1910
137.73 125.59 75.1 81.57 71.26 70.11 93.94 125.94 138.15	0 0 0 0 0 0 0 0 0	#	1920
171.48 142.3 111.3 102.48 126.78 160.8 109.27 81.64 70.36		#	1930
52.56 20.19 24.22 18.08 21.5 23.28 29.1 10.4 12.18 1.83		#	1940
4.30 11.3 17.58 26.87 35.05 39.37 45.57 31.05 24.88 34.05 27.62 37.80 38.43	0 0 0 0 9 10 13 24 42 49 37	#	1950

45.09 49.18 50.05 37.92 47.21 36.07 50.97 47.41 63.22 62.27	22 36 33 35 30 34 43 85 110	#	1960					
94.98 55.92 68.06 102.51 108.79 114.26 112.47 131.35 132.44 147.75	77 53 79 62 90 97 129 109 140 100 73	#	1970					
$187.52 \\ 142.62 \\ 197.59 \\ 228.55 \\ 126.55 \\ 221.14 \\ 204.75 \\ 146.99 \\ 76.62 \\ 86.60 \\$	86 96.43 26.55 96.99 15.13 21.22 35.99 45.99 29.14 13.91	#	1980					
17.38 10.41 7.10 17.21 14.85 13.63 23.30 24.57 7.30	20.60 21.60 20.90 20.70 9.68 26.01 1.75 5.36 1.85 2.81	#	1990					
3.47 0.45 0.25 0.25 0.25 0.25 0.25 0.25	3.77 4.49 0.25 0.25 0.25 0.25 0.25 0.25	#	2000					
0.25 #	0.25	#	2007					
# ABUNDANCE INDICES								

#					
#					
39	# numb	ber of c	bservations		
#					
#vear	seasor	n type	value	CV	
1963	1	3	0 511667932	0 3302199	
1964	1	3	0 30318353	0 2527121	
1005	1	2	0.075071005	0.202/121	
1965	1	3	0.275071085	0.2246714	
1966	1	3	0.239/39296	0.2308946	
1967	1	3	0.146883176	0.2463383	
1968	1	3	0.172989635	0.1777022	
1969	1	3	0.185848155	0.2369852	
1970	1	3	0.208035274	0.2734493	
1971	1	3	0.251555595	0.1952652	
1972	1	3	0.132619837	0.211407	
1973	1	3	0.22675229	0.1413628	
1974	1	3	0.213903213	0.1574918	
1975	1	3	0 260807514	0 1488574	
1976	1	3	0 152136187	0 1515156	
1077	1	2	0 120220010	0.1000102	
1070	1	3	0.139320919	0.1980102	
1978	1	3	0.106248194	0.21841/3	
1979	1	3	0.08860/116	0.186//6/	
1980	1	3	0.060658815	0.1674501	
1981	1	3	0.081386727	0.1680148	
1982	1	3	0.042134063	0.190058	
1983	1	3	0.060328342	0.1540601	
1984	1	3	0.050024814	0.1784306	
1985	1	3	0.036993343	0.2046437	
1986	1	3	0.041577946	0.1963785	
1987	1	3	0.023065175	0.2253322	
1988	1	3	0.033749003	0.24057	
1989	1	3	0.025582052	0.2341604	
1990	1	3	0.032747243	0.2118718	
1991	1	3	0.041559421	0.182387	
1992	1	3	0.030297922	0.2437875	
1993	1	3	0.033171318	0.3494245	
1994	1	3	0.021107241	0.2903738	
1995	1	3	0 017687439	0 3372674	
1996	1	3	0 016099821	0 2987764	
1997	1	3	0 008792843	0 4584879	
1998	1	3	0.010754417	0.2743454	
1000	1	3	0.003002846	0 443504	
2000	1	3	0.002014665	0 6721232	
2000	⊥ 		0.002914000	0.0721232	
# revis	1	mass es	Limate as of Oct.	2007	
2002	Ţ	4	524.3 0.26		
# DTQC3		100.00			
# DISCA	YKD RIO	MASS			
#					
Ħ 1					
Ţ	# 1=b:	Lomass (m	it) alscarded; 2=1	raction of total	catch discarded

0 # number of observations

```
#
# MEAN BODY WEIGHT
# _____
0
      # number of observations
#
# COMPOSITION CONDITIONERS
# _____
-1 # negative value causes no compression
0.0001 # constant added to proportions at length & age (renormalized to sum to 1 after constant is added)
#
# LENGTH COMPOSITION
# _____
46 # number of length bins
# vector containing lower edge of length bins
10 12 14 16 18 20 22 24 26 28 30 32 34 36 38 40 42 44 46 48 50 52 54 56 58 60 62 64 66 68 70 72 74 76 78 80 82 84 86 88 90 92 94 96 98
100
#
0
       # number of lines of length comp observations
#
# AGE COMPOSITIONS
# _____
0
      # number of age bins
#
0
      # number of unique ageing error matrices
      # number of age observations
0
#
# MEAN SIZE-AT-AGE
# _____
    # number of size-at-age observations; negative value excludes from likelihood
-1
#
# ENVIRONMENTAL DATA
# _____
0
      # number of environmental variables
0
      # number of environmental observations
#
    # end of data file
999
# forecast file for cowcod assessment, 2007
#
0.5
    # target SPR
1
      # number of forecast years
      # number of forecast years with stddev
1
0
      # emphasis for the forecast recrutment devs that occur prior to endyyr+1
0
      # fraction of bias adjustment to use with forecast recruitment devs before endyr+1
      # fraction of bias adjustment to use with forecast recruitment devs after endyr
0
0.40 # topend of 40:10 option; set to 0.0 for no 40:10
0.10 # bottomend of 40:10 option
1.0 # OY scalar relative to ABC
1990 # first yr for average fish selex to use in MSY and forecast
```

- 2000 # last yr for average fish selex to use in MSY and forecast
- # for forecast: 1=set relative F from endyr; 2=use relative F read below 1
- 1 1 # relative F for forecast when using F; seasons; fleets within season
- 999 # verification read for end of the correct number of relative F reads

Appendix C: Results of a Preliminary Bayesian Analysis

This appendix refers to results from the base model at the time of the STAR panel. Due to subsequent changes (e.g. the revised 2002 visual survey estimate), values may differ from the results presented in the Executive Summary and/or previous sections of the main document.

The STAR panel requested a MCMC run for a model with the following specifications:

- 1. Use Dorn's prior for h.
- 2. M: Normal with 95% within 0.04 and 0.07.
- 3. q: for Visual as before.
- 4. Recruitment fixed, no recruit deviations (recdevs)
- 5. R0: uniform prior on log R0
- 6. Log(q): uniform for CPFV (bounds at author's discretion).
- 7. Thinning, burn-in and total number of runs will be determined based on how much time this takes---author's discretion.

We presented preliminary results for this request, which appeared promising at first. Subsequent, longer runs failed to converge, as was clearly apparent from visual inspection of trace plots and running means (e.g. Fig. C1). Simulations with alternative starting values were explored, with similar results. Two runs with fixed natural mortality were simulated for 10 million iterations, thinned to every 10,000th iteration, and appeared to be making progress towards convergence but took four days to complete. Results of this analysis were not complete as of this report.

The base model has fixed steepness and natural mortality, estimating only virgin recruitment and catchability coefficients for the CPFV index and visual survey. MCMC is easy for this model, so we ran two simulations. Each chain consisted of 450,000 iterations, thinned every 30th iteration, for a total of 15,000 samples per chain. Visual inspection of the trace plots (Fig. C2) suggest that a burn-in of 5000 samples was more than sufficient. The first chain was initialized with the MLEs, and appeared to converge immediately. MCMC diagnostics were generated with the "boa" package in R (Table C1, <u>http://www.public-health.uiowa.edu/boa</u>). A thinning interval of 30 appeared to be sufficient, the convergence criteria were met, and parameter correlations were sufficiently small (Table C1, Fig. C3). We plotted posterior densities for each chain and model parameter (Fig. C4).

Although this is one of the simplest models for generating MCMC simulations, it does provide some useful information. The point estimate of depletion from the current base model is based on the posterior mode. The posterior distribution for depletion is necessarily skewed as it approaches zero (depletion cannot be negative). As illustrated by the MCMC results we see that the mode < median < mean (Table C2, Fig. C5). From the MCMC results, the posterior mean for depletion is 5.1%, with a 95% posterior interval of (2.8%, 8.3%), compared to the base model's point estimate (posterior mode) of 4.55% with a 95% asymptotic interval of (2.1%, 7.0%). This suggests that for severely depleted stocks, the posterior mode might present an overly pessimistic point estimate of depletion. Of course, as stocks rebuild this effect will usually diminish.

Not surprisingly, the precision of the parameter estimates and derived quantities from this model are unrealistically high (Table C2, Figs. C4 and C5). Simple models with limited data

necessarily make strong assumptions, such as fixing steepness and natural mortality and not estimating recruitment deviations. The 3-parameter model suggests that we know unfished recruitment to within 2000 fish and MSY to within one metric ton. In short, the MCMC results from this simple model do not solve the problems associated with quantifying our uncertainty about stock status.

We conclude this preliminary analysis with a comparison of the prior and posterior distributions for log catchability of the visual survey (Fig. C6). The results are qualitatively similar to the comparison of the point estimate and prior in Fig. 38, but the MCMC results provide more information about our uncertainty regarding this parameter. All 20,000 samples (chains combined) were larger than the prior mean, illustrating the strong tension between the CPFV index and visual survey in the base model.

Table C1: Output from Bayesian Output Analysis Program (BOA) for MCMC, version 1.1.6-1 (http://www.public-health.uiowa.edu/boa)

LAGS AND AUTOCORRELATIONS: _____ Chain: parm.cl _____ Lag 1 Lag 5 Lag 10 Lag 50 Qparm1 0.05059082 -0.003040157 -0.001018786 0.001758045 Qparm2 0.07085449 -0.008748758 0.003910217 0.001163979 SRparm1 0.15567511 -0.011644322 0.014002676 -0.005173011 Chain: parm.c2 _____ Lag 1 Lag 5 Lag 10 Lag 50 Qparm1 0.08559620 0.012673099 0.001173011 -0.001624859 Qparm2 0.06745626 -0.001882962 -0.013923475 -0.009092964 SRparm1 0.16756270 -0.019647828 -0.007815128 -0.010236029 CROSS-CORRELATION MATRIX: _____ Chain: parm.cl _____ Qparm1 Qparm2 SRparm1 Qparm1 1 Qparm2 0.3833836 1 SRparm1 -0.5392519 -0.6908106 1 Chain: parm.c2 _____ Qparm1 Qparm2 SRparm1 Qparm1 1 Qparm2 0.3771232 1 SRparm1 -0.5330452 -0.6946958 1 HIGHEST PROBABILITY DENSITY INTERVALS: _____ Alpha level = 0.05Chain: parm.cl _____ Lower Bound Upper Bound
 Qparm1
 -8.693740
 -8.30580

 Qparm2
 0.483681
 1.70890

 SRparm1
 4.696540
 4.71214
 Chain: parm.c2 _____ Lower Bound Upper Bound Qparm1-8.686700-8.29990Qparm20.4616831.70944 4.71241 -SRparm1 4.696580 SUMMARY STATISTICS: _____

Bin size for calculating Batch SE and (Lag 1) ACF = 50

Chain: parm.cl _____ SD Naive SE MC Error Batch SE Batch ACF Mean Qparm1 -8.492155 0.099174685 9.917468e-04 1.203833e-03 1.123891e-03 0.06224635 Qparm2 1.096091 0.313570001 3.135700e-03 3.276657e-03 3.381300e-03 -0.03303373 SRparm1 4.703869 0.004201987 4.201987e-05 4.529227e-05 5.000215e-05 -0.08289252 0.025 0.5 0.975 MinIter MaxIter Sample Qparm1-8.6869597-8.491095-8.29847650011500010000Qparm20.48233421.0971501.70800550011500010000SRparm14.69743004.7032504.71371050011500010000 Chain: parm.c2 _____ Mean SD Naive SE MC Error Batch SE Batch ACF Qparm1 -8.494836 0.099321550 9.932155e-04 0.001303578 1.164007e-03 0.11249145 Qparm2 1.091375 0.317588837 3.175888e-03 0.003198754 3.452430e-03 -0.07255780 SRparm1 4.703946 0.004233298 4.233298e-05 0.000052233 5.121901e-05 0.02502094 0.025 0.5 0.975 MinIter MaxIter Sample Qparm1-8.6896645-8.494055-8.30235450011500010000Qparm20.46846621.0920551.71713850011500010000SRparm14.69740004.7033954.71373050011500010000 BROOKS, GELMAN, AND RUBIN CONVERGENCE DIAGNOSTICS: _____ Iterations used = 10001:15000Potential Scale Reduction Factors _____ Qparm1 Qparm2 SRparm1 1.000212 1.000119 1.000269 Multivariate Potential Scale Reduction Factor = 1.000300 Corrected Scale Reduction Factors _____ Estimate 0.975 Qparm1 1.000213 1.001469 Qparm2 1.000183 1.001064 SRparm1 1.000582 1.002069 GEWEKE CONVERGENCE DIAGNOSTIC: _____ Fraction in first window = 0.1Fraction in last window = 0.5Chain: parm.cl _____ Qparm1 Qparm2 SRparm1 Z-Score 0.1815178 1.3707555 -0.4795196 p-value 0.8559612 0.1704512 0.6315690 Chain: parm.c2 _____ Qparm1 Qparm2 SRparm1 Z-Score 0.1994390 0.9027804 -1.0762908 p-value 0.8419194 0.3666425 0.2817972

HEIDLEB	ERGER	AND WELC	H STA	FIONARIT	Y AND	INTE	RVAL	HALFWIDT	H TESTS:	
						=====	=====			
Halfwidt	h test	accuracy	= 0.1							
Chain: p	arm.c1									
	 Statio	narity Te	st Kee	p Discar	d C-	von-M	Half	width Test	Mean	Halfwidth
Qparml		pass	ed 1000	0	0.12	65813		passed	-8.492155	2.359470e-03
Qparm2		pass	ed 1000	0	0.21	34562		passed	1.096091	6.422131e-03
SRparml		pass	ed 1000	0	0.14	01630		passed	4.703869	8.877121e-05
Chain: p	arm.c2									
	Statio	narity Te	st Kee	p Discar	d C-	von-M	Half	width Test	Mean	Halfwidth
Qparm1		pass	ed 1000	0	0.21	81740		passed	-8.494836	0.0025549662
Qparm2		pass	ed 1000	0	0.29	35478		passed	1.091375	0.0062694434
SRparml		pass	ed 1000	00	0.37	30528		passed	4.703946	0.0001023748
RAFTERY	AND I	LEWIS CON	IVERGEI	NCE DIAG	NOSTI	С:				
						==				
Ouantil.	o = 0	025								
Accurac	v = +v	/- 0 005								
Probabi	j	= 0.95								
	- 7									
Chain:]	parm.c	c1								
	Thin	 Burn-in	Total	Lower B	ound	Denen	dence	- Factor		
Oparm1	1	2	3802	HOWEL D	3746	Depen	.uciic(1 014949		
Oparm2	1	2	3897		3746			1 040310		
SBnarm1	1	2	3942		3746		-	1 052322		
SKparmi	T	2	5542		JIIU		-	1.052522		
Chain:]	parm.c	22								
	Thin	Burn-in	Total	Lower B	ound	Depen	dence	e Factor		
Qparml	1	2	3929		3746		-	1.048852		
Qparm2	1	3	4061		3746		-	1.084090		
SRparml	1	2	3797		3746		-	1.013615		

 Table C2: Posterior summaries of derived quantities, based on combined samples from chains 1 and 2 (20,000 samples total).

	0.025	median	mean	0.975
SPB_Vir	2482	2497	2498	2523
Recr_Vir	109663	110314	110379	111467
SPB_2007	70	123	128	209
Depl.endyr	2.8%	4.9%	5.1%	8.3%
Bmsy	1985	1997	1998	2018
MSY	60.9	61.3	61.3	61.9

Figure C1: Example of trace plots from one MCMC run as per STAR panel request. 500,000 iterations. M = Mgparm1, R0 = SRparm1, h = SRparm2, CPFV catchability = Qparm1, visual survey catchability = Qparm2.





Figure C2: Trace plots from 2 MCMC simulations for the 3-parameter base model. Chain 1 was initialized with values from the optimization stage (posterior modes) and chain 2 was initialized with alternative values. The first 5000 iterations were removed from both chains prior to analysis.





Figure C3: Scatterplot of posterior simulations from the 3-parameter base model.

Figure C4: Posterior densities of parameters in the 3-parameter base model. Qparm1 = log catchability for the CPFV index, Qparm2 = log catchability for the visual survey, and Srparm1 = log unfished recruitment. Results are shown for two chains (solid and dotted lines) of 300,000 iterations each, thinned every 30 iterations, for a total of 10,000 samples per chain.



Estimated Posterior Density

SRparm1

Figure C5: Posterior densities of derived quantities from the 3-parameter base model. Results are shown for two chains (solid and dotted lines) of 300,000 iterations each, thinned every 30 iterations, for a total of 10,000 samples per chain.



Figure C6: Comparison of the prior distribution for log catchability and 20,000 draws from the posterior distribution. The prior is normal with mean -0.2863 and standard deviation 0.5. The posterior mean is 1.09, with a 99% posterior interval of (0.29, 1.89).

