

May 2008



NATIONAL INSTITUTES OF HEALTH (NIH) EXTRAMURAL DATA BOOK









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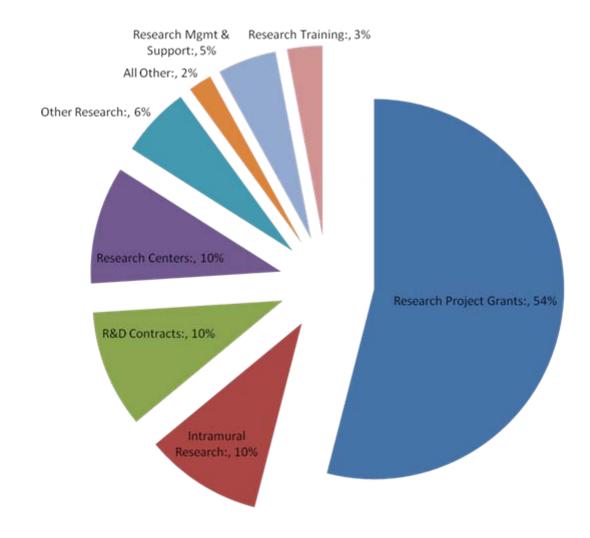
NIH BUDGET FISCAL YEARS 2007 AND 2008







FY 2007 ACTUAL TOTAL NIH BUDGET AUTHORITY: \$29.128 BILLION

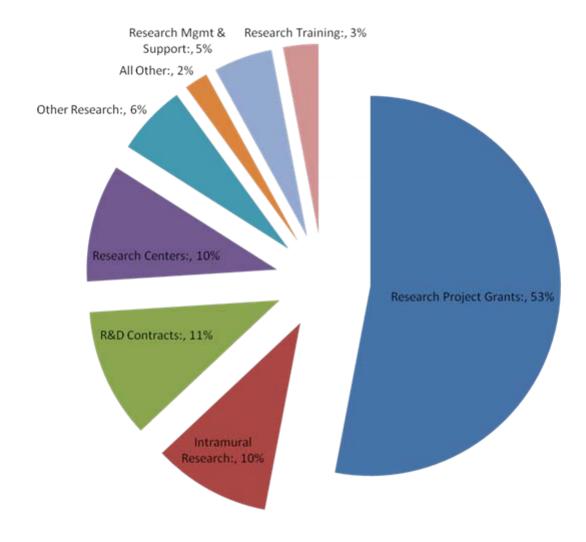








FY 2008 ENACTED TOTAL NIH BUDGET AUTHORITY: \$29.457 BILLION











RESEARCH PROJECT GRANTS (RPGs)

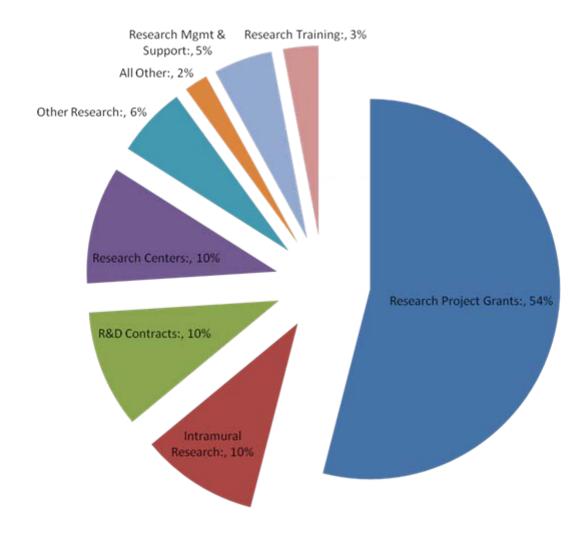
Size of Awards







RESEARCH PROJECT GRANTS BUDGET SHARE OF ACTUAL TOTAL NIH BUDGET FY 2007

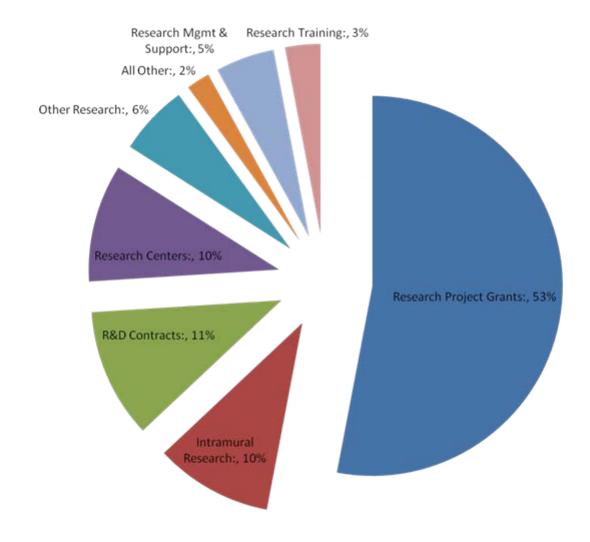








RESEARCH PROJECT GRANTS BUDGET SHARE OF ENACTED TOTAL NIH BUDGET FY 2008

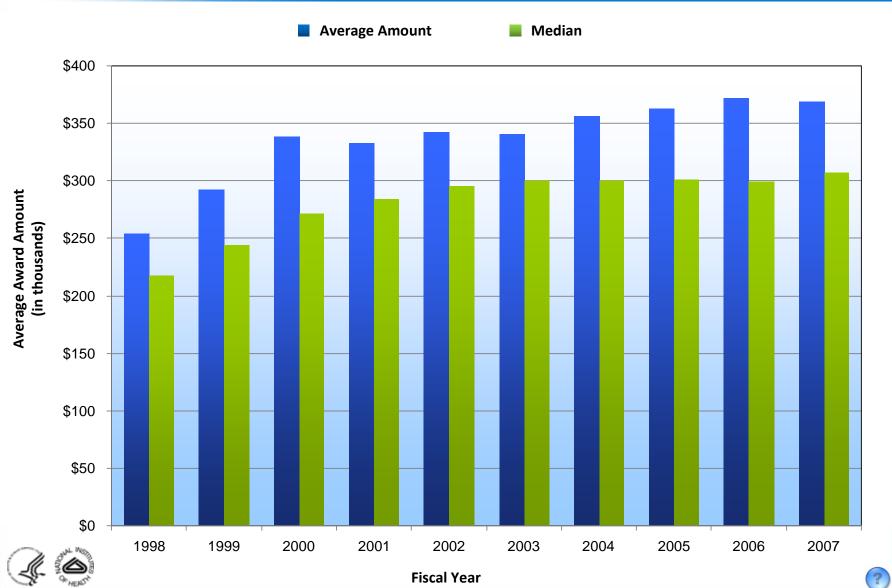




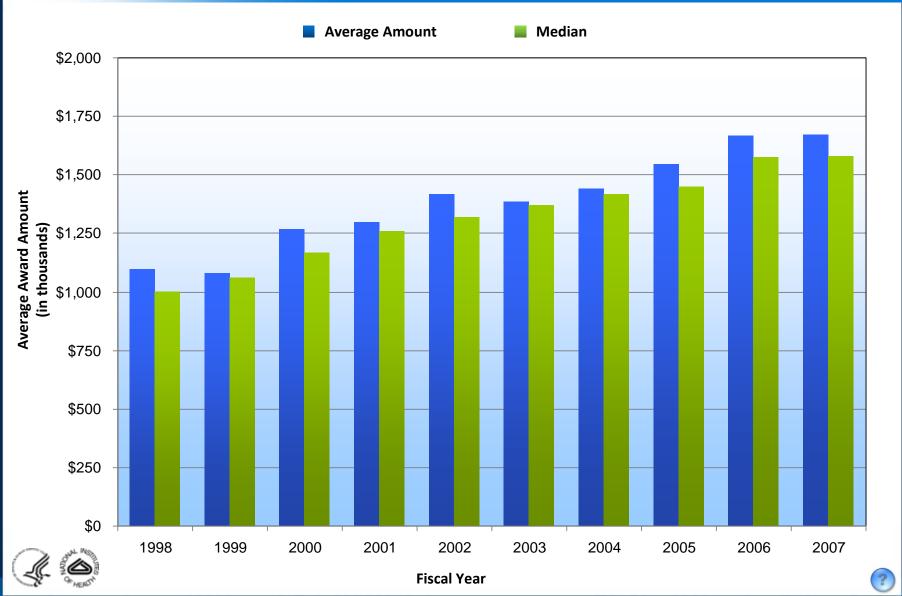




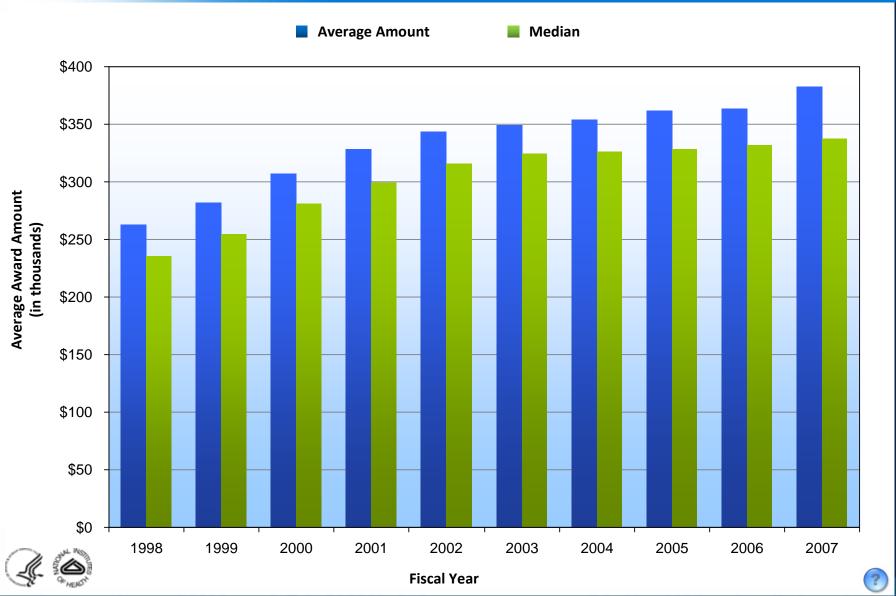
AVERAGE AWARD AMOUNT OF ALL RESEARCH PROJECT GRANTS



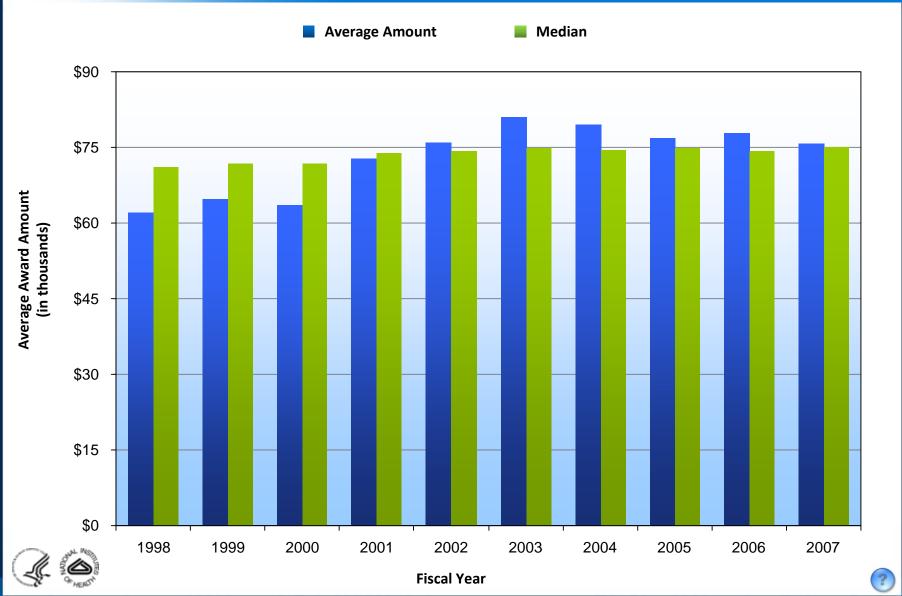




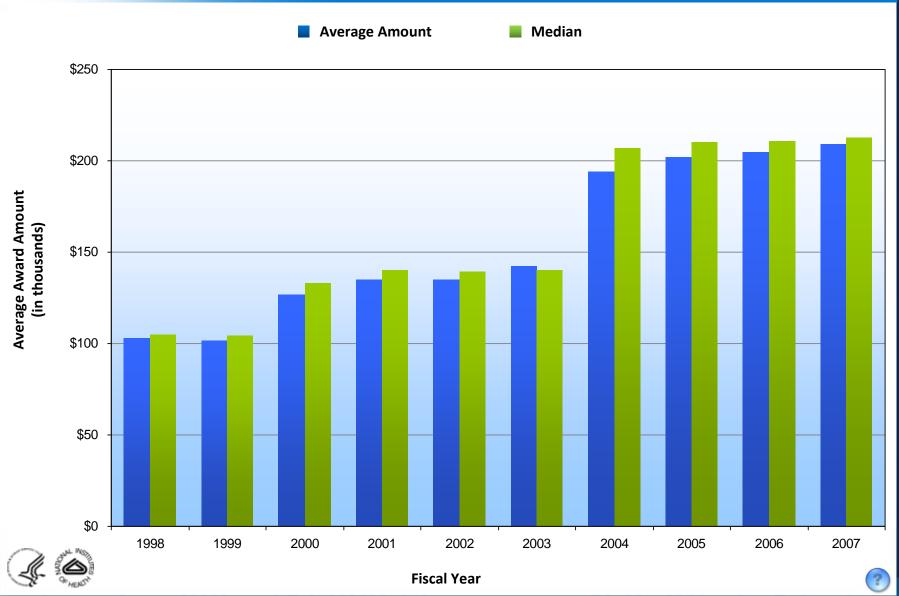




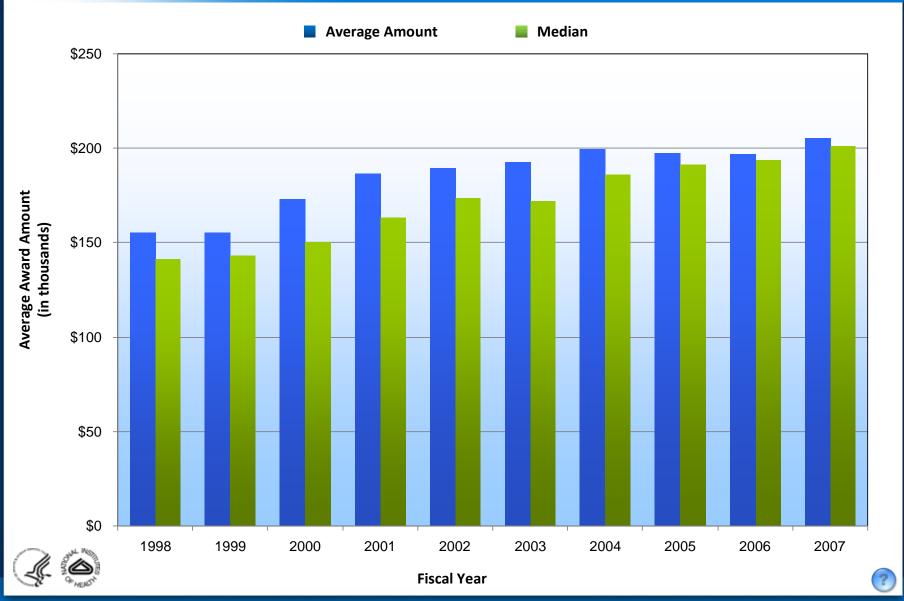




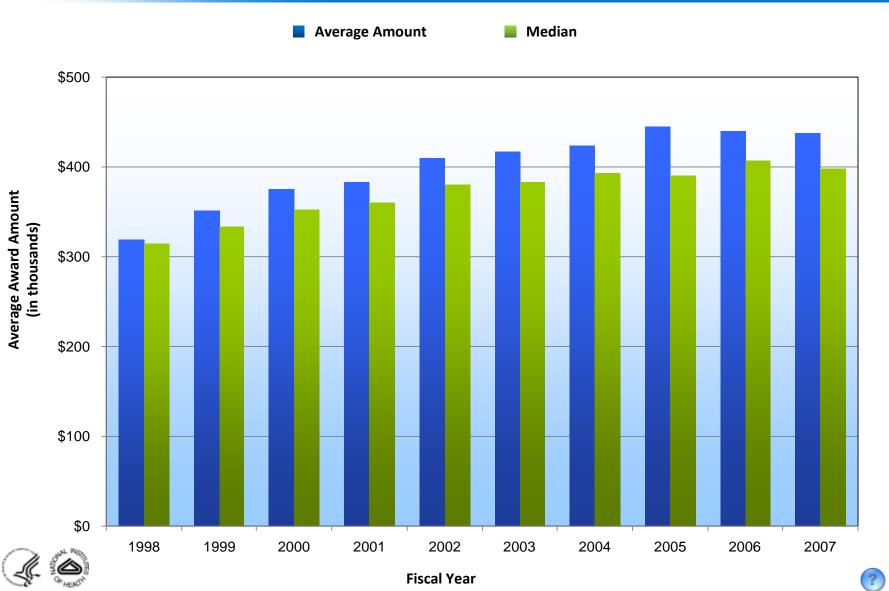




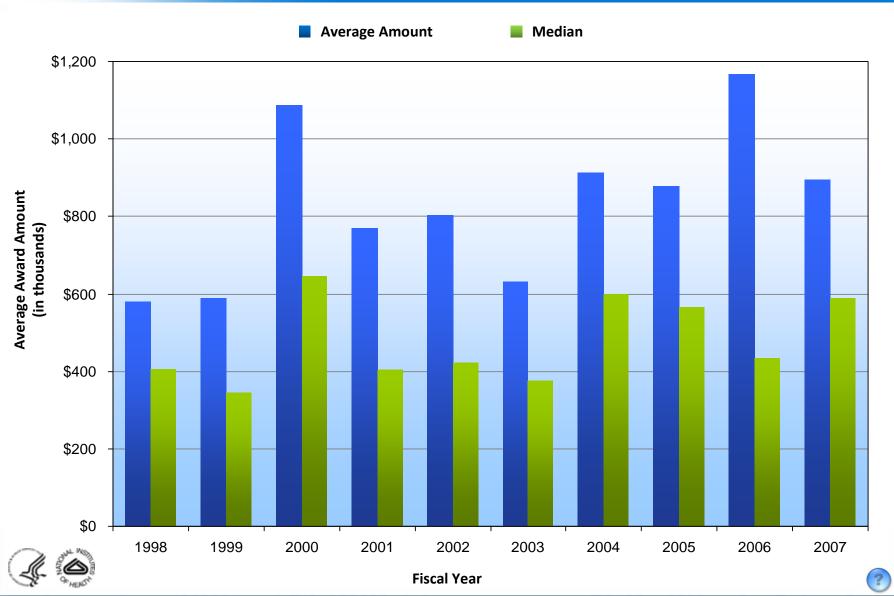




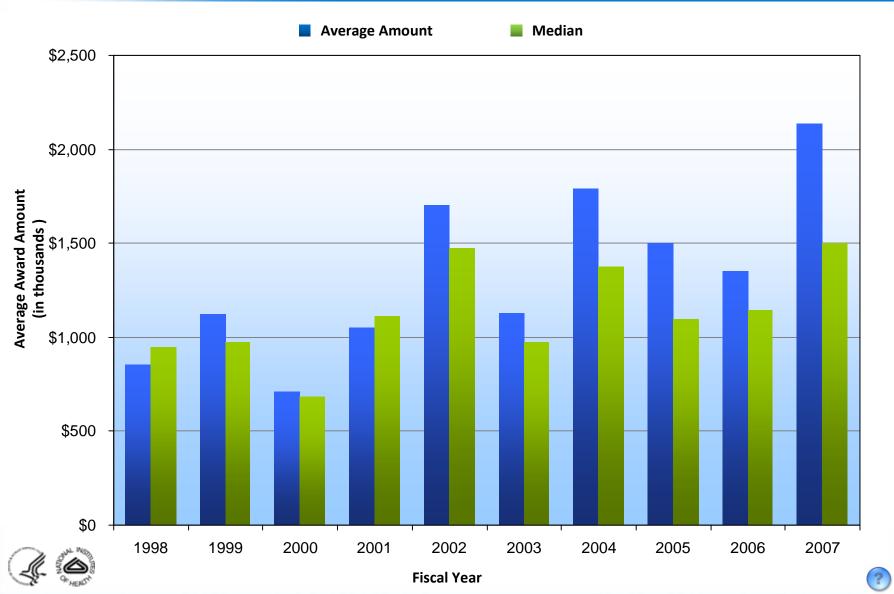






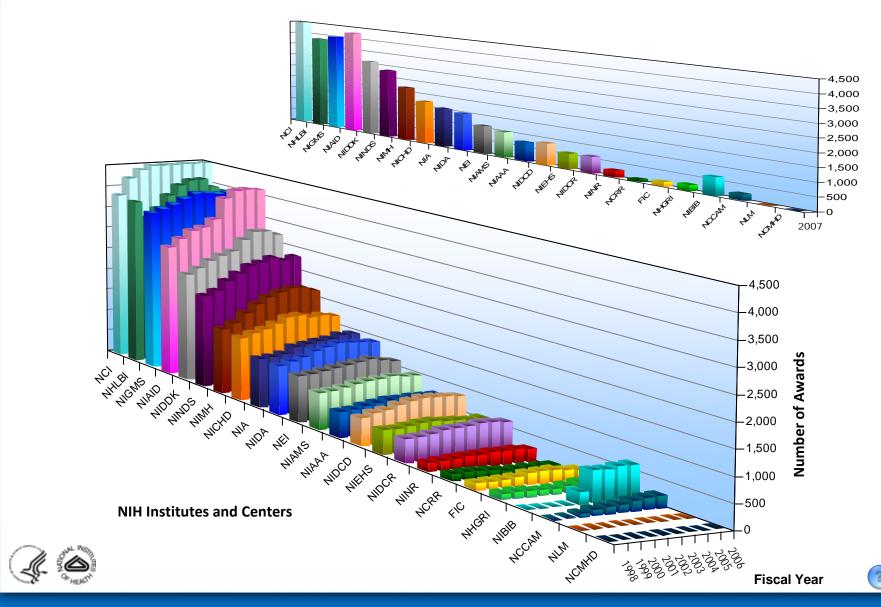








NUMBER OF RESEARCH PROJECT GRANTS BY INSTITUTES AND CENTERS







RESEARCH PROJECT GRANTS (RPG)

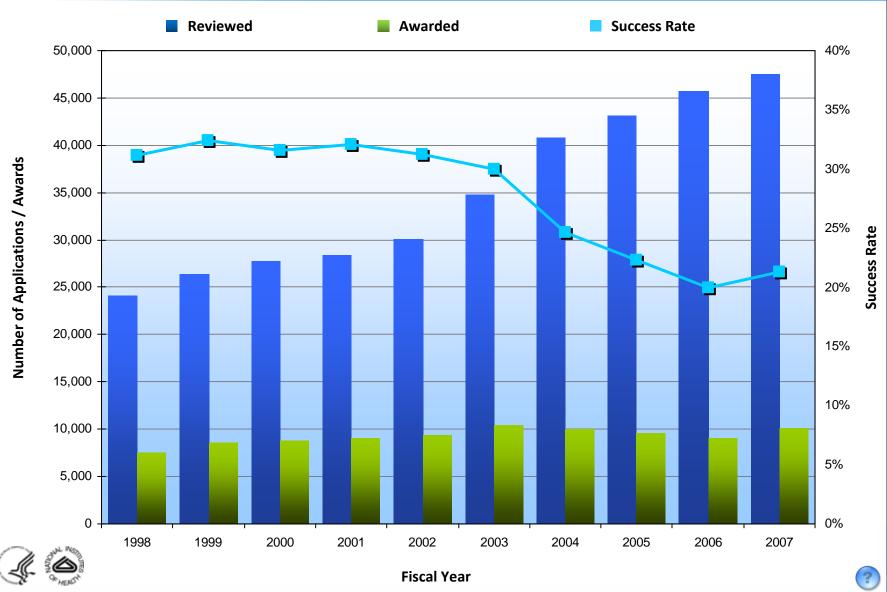
Success Rates and Funding Rates





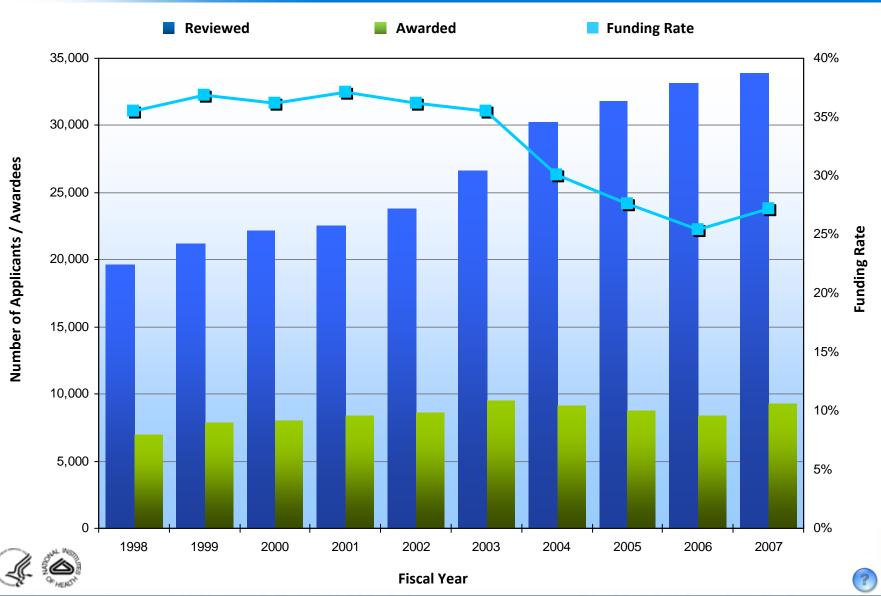


SUCCESS RATES OF RESEARCH PROJECT GRANT APPLICATIONS





FUNDING RATE OF RESEARCH PROJECT GRANT APPLICANTS







RESEARCH PROJECT GRANTS (RPGs)

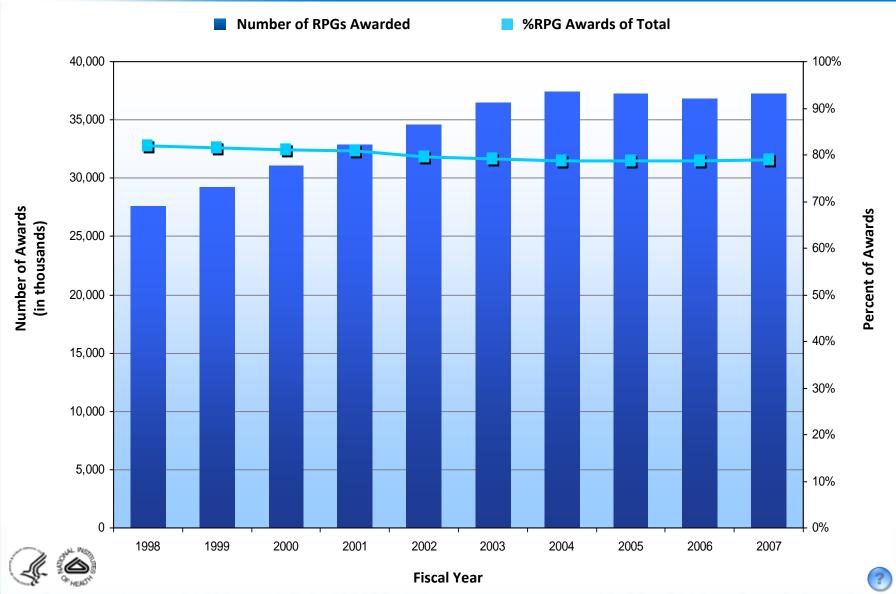
as a Percent of All Research Grants and Solicited Awards





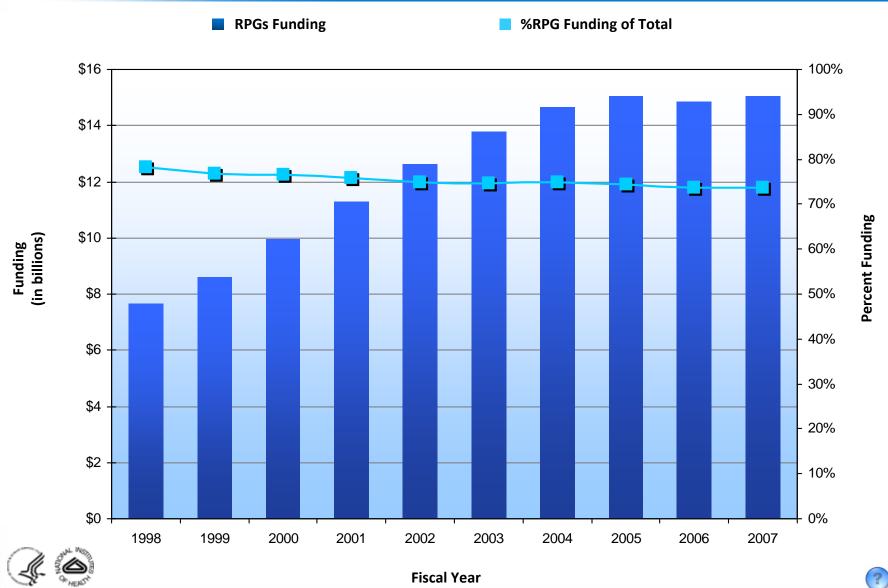


NUMBER OF RESEARCH PROJECT GRANT AWARDS AS A PERCENT OF ALL RESEARCH GRANT AWARDS



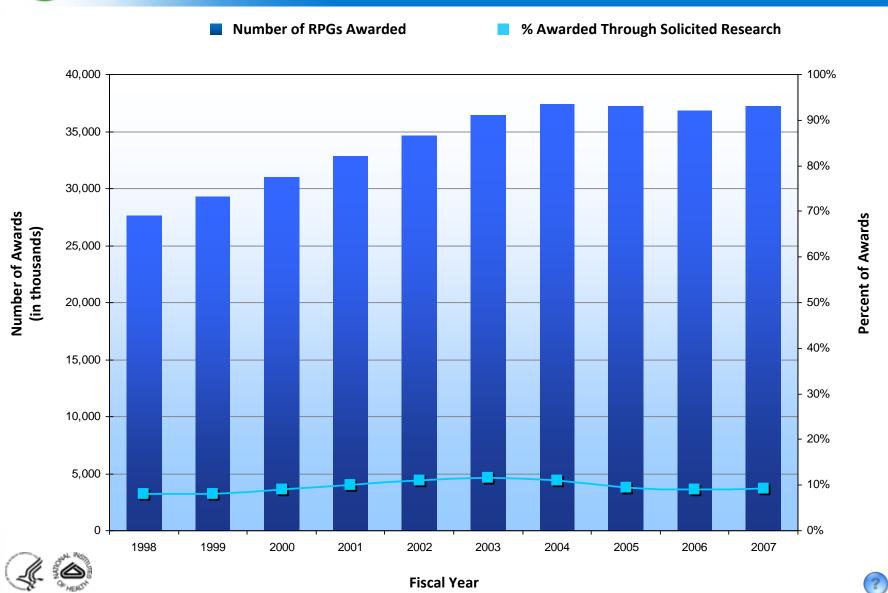


RESEARCH PROJECT GRANT FUNDING AS A PERCENT OF ALL RESEARCH GRANT FUNDING





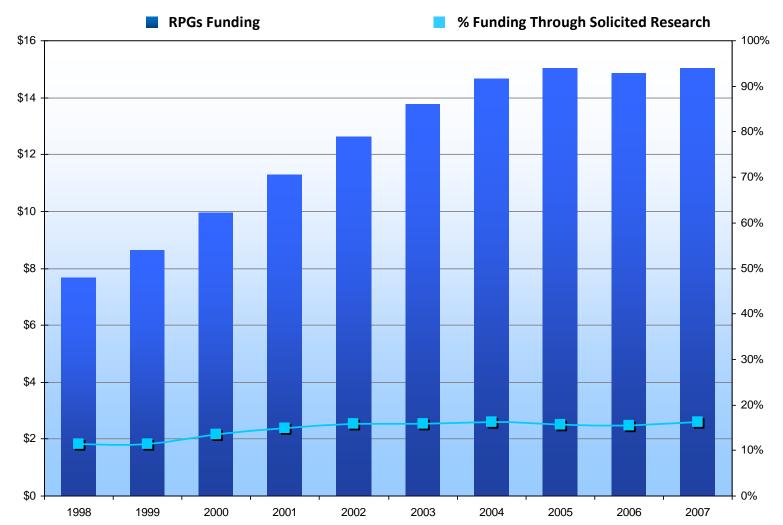
NUMBER OF RESEARCH PROJECT GRANTS AWARDED THROUGH SOLICITED RESEARCH





Funding (in billions)

RESEARCH PROJECT GRANTS FUNDED THROUGH SOLICITED RESEARCH







Percent Funding





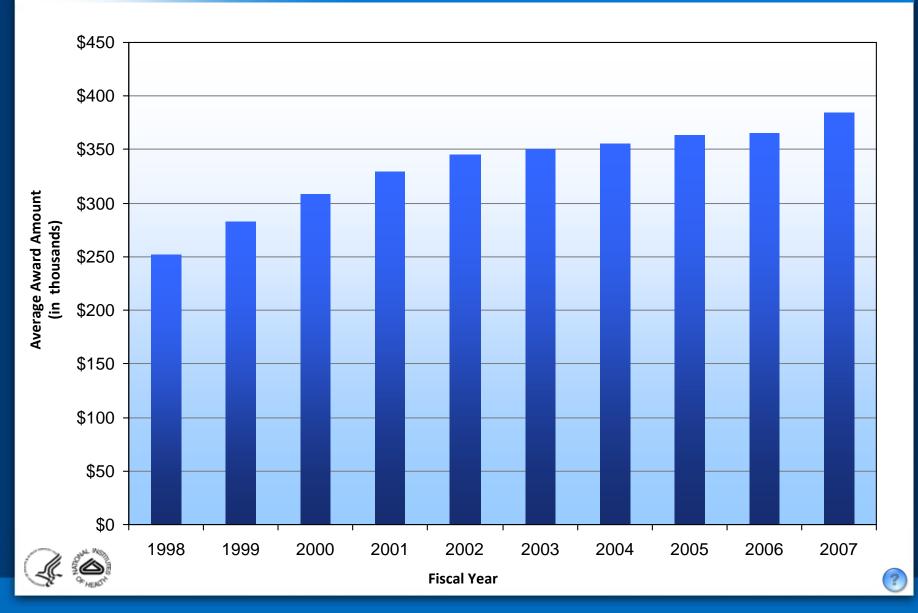
R01 EQUIVALENT GRANTS (R01 EQ)





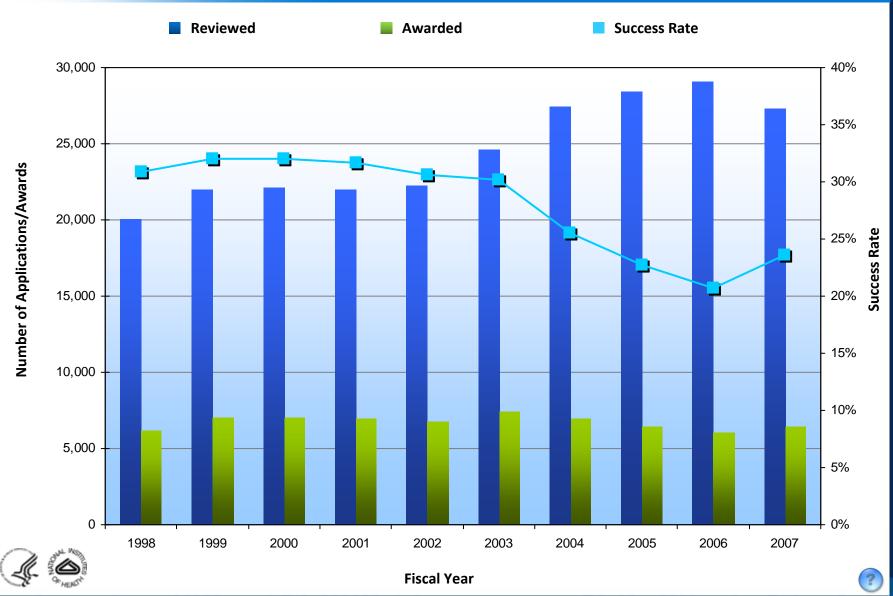


AVERAGE AWARD AMOUNT OF R01 EQUIVALENT GRANTS



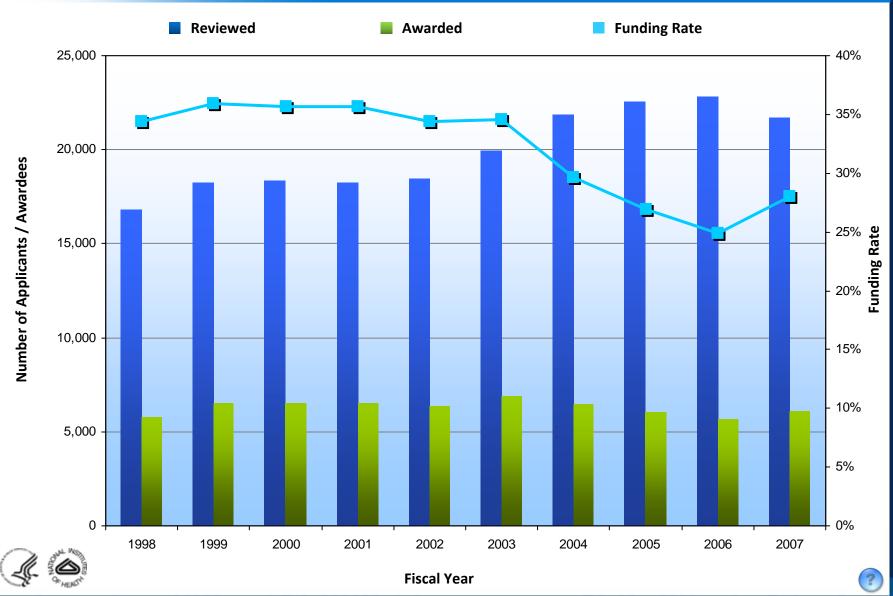


SUCCESS RATE OF R01 EQUIVALENT GRANT APPLICATIONS





FUNDING RATE OF R01 EQUIVALENT GRANT APPLICANTS







R01 EQUIVALENT GRANTS (R01 EQ)

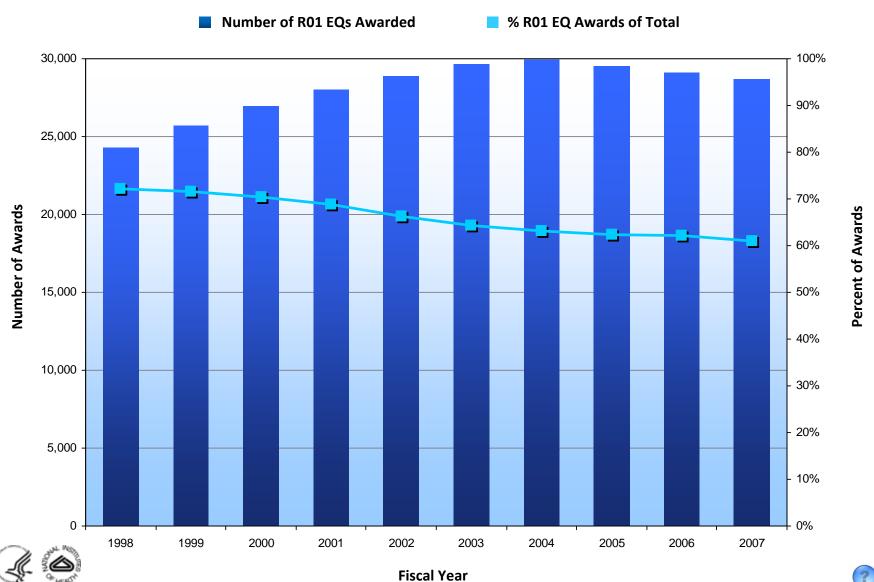
as a Percent of All Research Grants and Solicited Awards





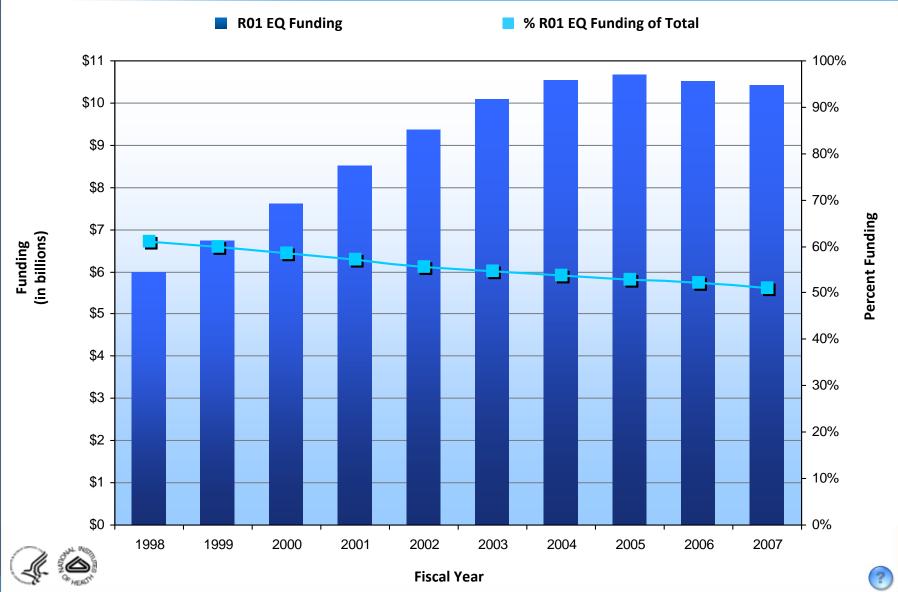


R01 EQUIVALENT GRANT AWARDS AS A PERCENT OF ALL RESEARCH GRANT AWARDS



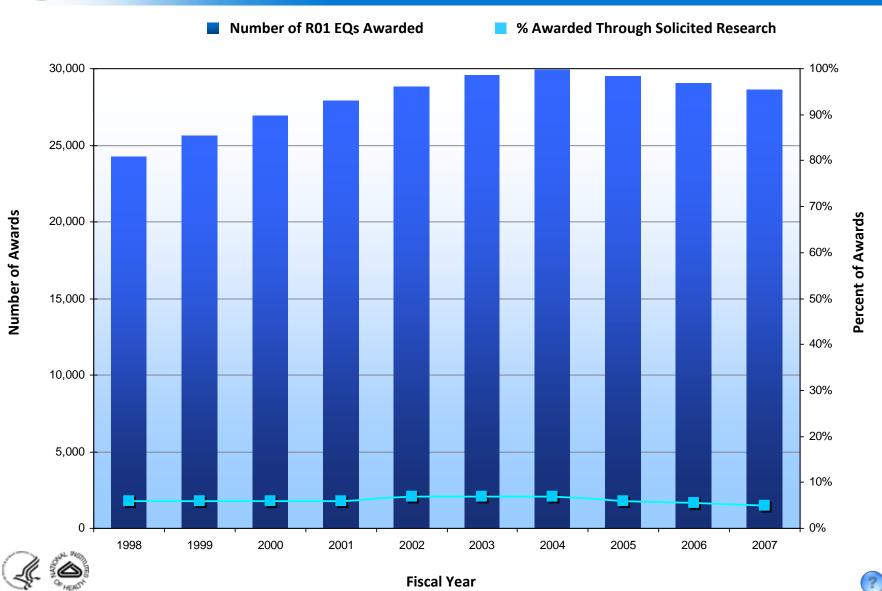


R01 EQUIVALENT GRANT FUNDING AS A PERCENT OF ALL RESEARCH GRANT FUNDING



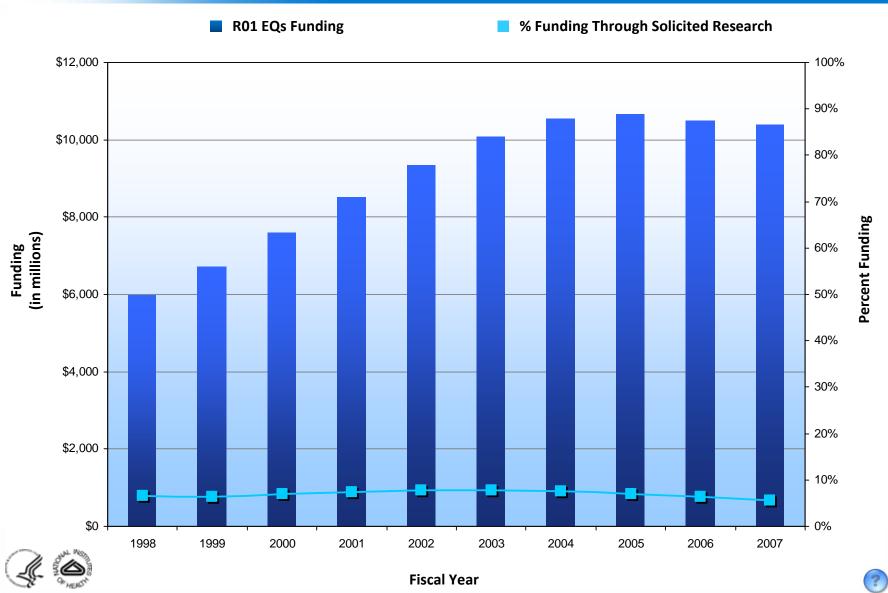


R01 EQUIVALENT GRANTS AWARDED THROUGH SOLICITED RESEARCH





R01 EQUIVALENT GRANTS FUNDED THROUGH SOLICITED RESEARCH







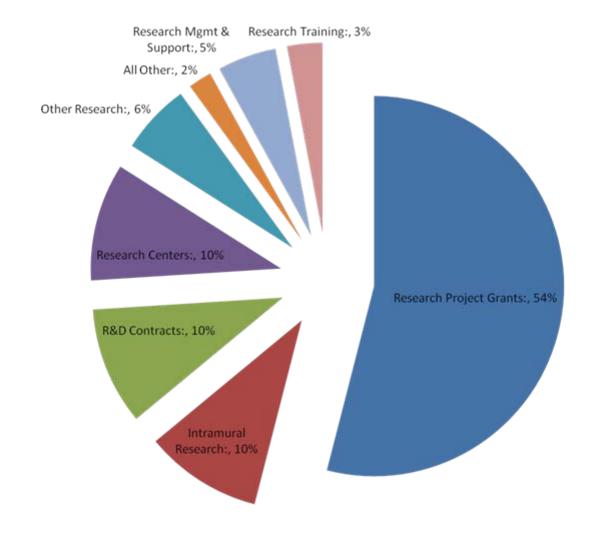
RESEARCH CENTER GRANTS







RESEARCH CENTERS BUDGET SHARE OF ACTUAL TOTAL NIH BUDGET FY 2007



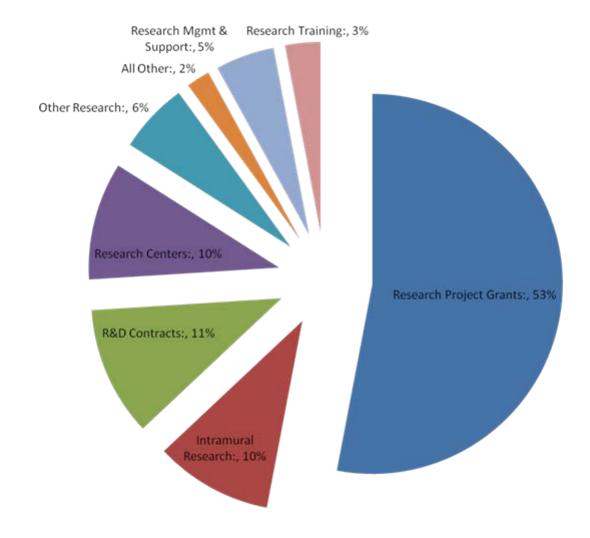




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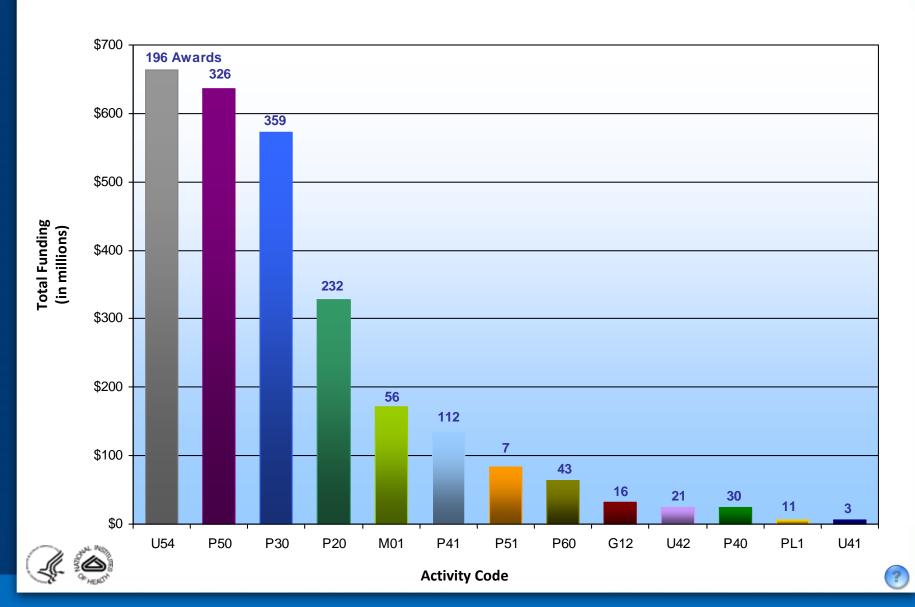
RESEARCH CENTERS BUDGET SHARE OF ENACTED TOTAL NIH BUDGET FY 2008



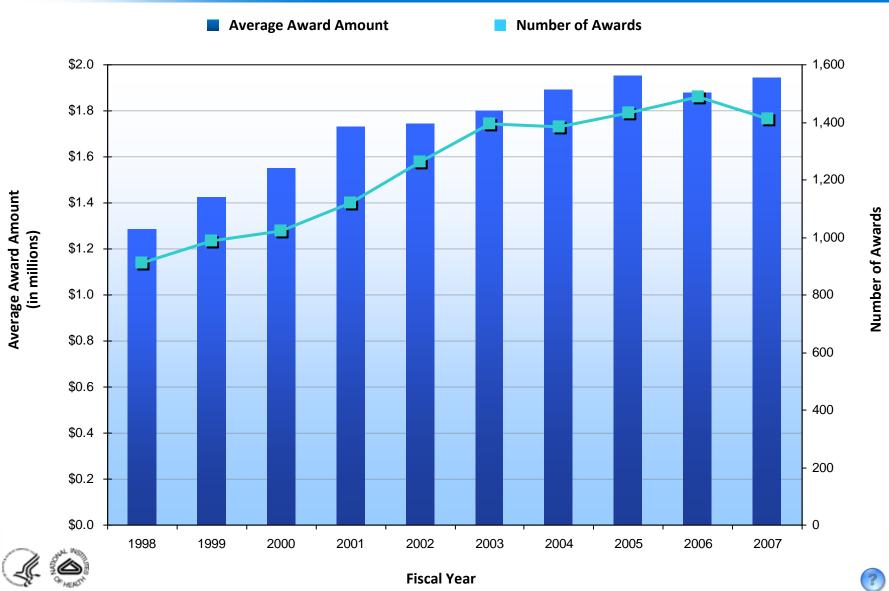








NUMBER AND AVERAGE AWARD AMOUNT OF RESEARCH CENTER GRANTS





NIH OFFICE OF EXTRAMURAL RESEARCH



RESEARCH GRANTS BY MECHANISM:

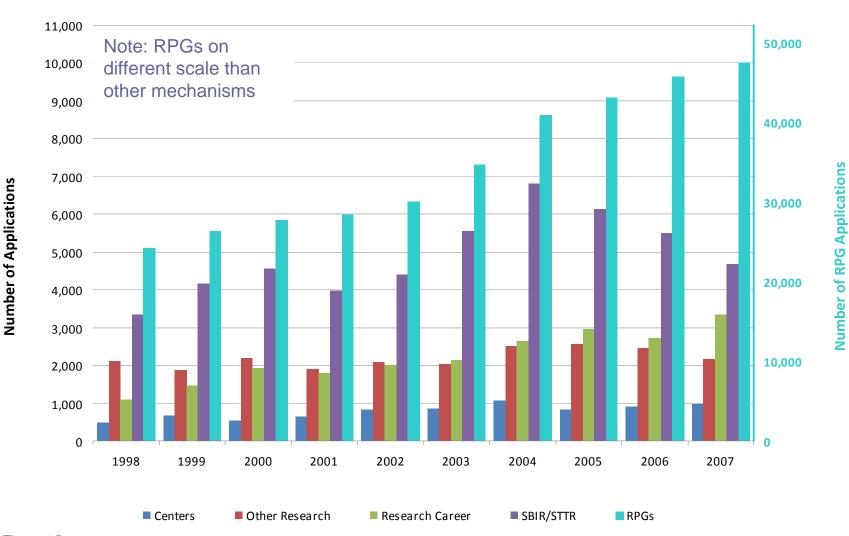
Research Career, Research Centers, Research Project Grants (RPGs), and Small Business Innovation Research/Small Business Technology Transfer (SBIR/STTR) Awards







NUMBER OF RESEARCH GRANT APPLICATIONS BY BUDGET MECHANISM

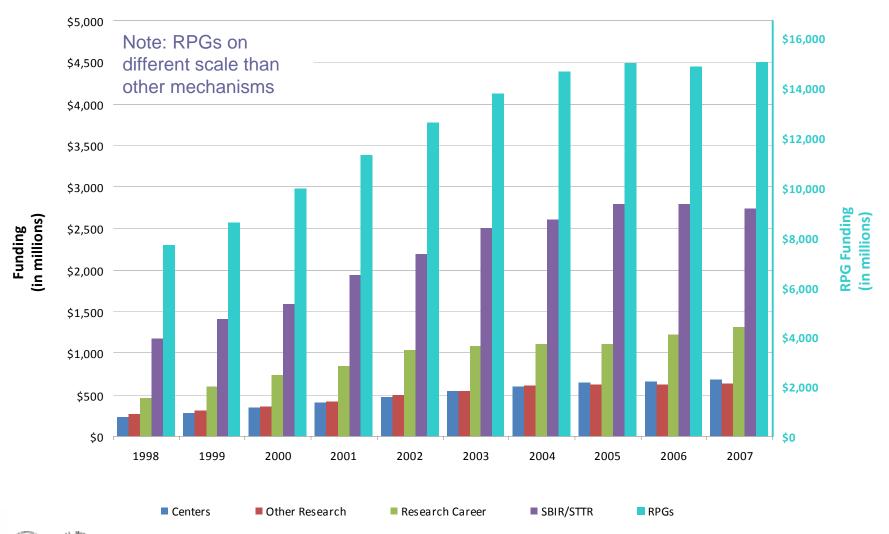








FUNDING OF RESEARCH GRANT AWARDS BY BUDGET MECHANISM



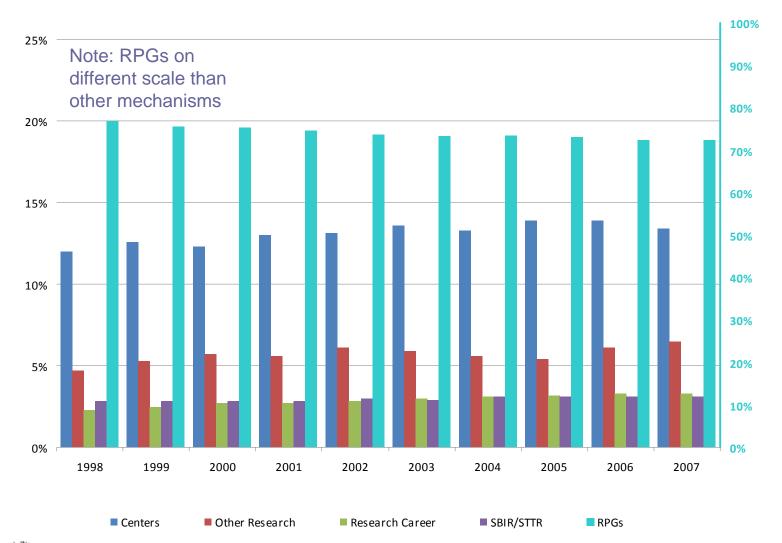






Percent of All Research Grants

PERCENT OF RESEARCH GRANT AWARDS BY BUDGET MECHANISM





RPGs as a Percent of All Research Grants





NIH OFFICE OF EXTRAMURAL RESEARCH



RESEARCH GRANTS BY MECHANISM:

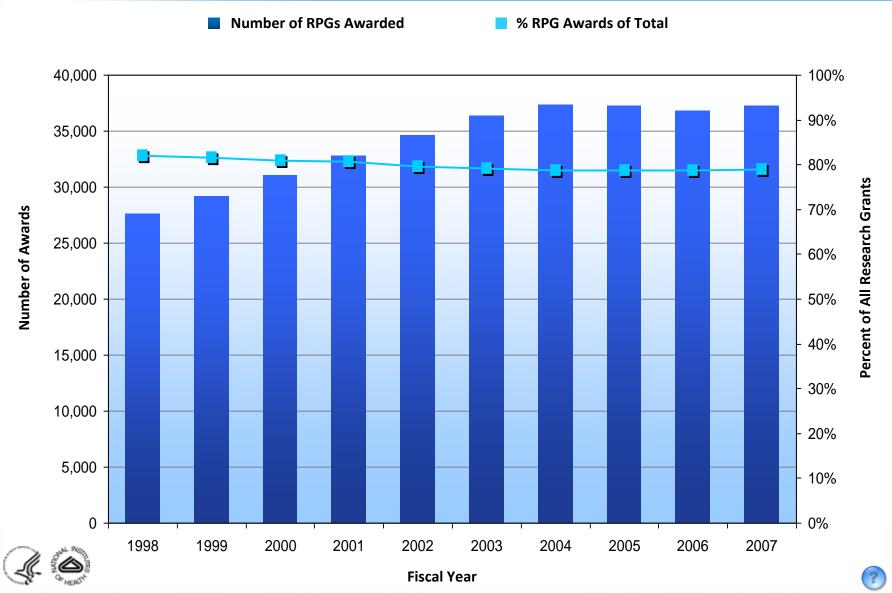
Research Project Grants (RPGs) as a Percent of All Research Grants





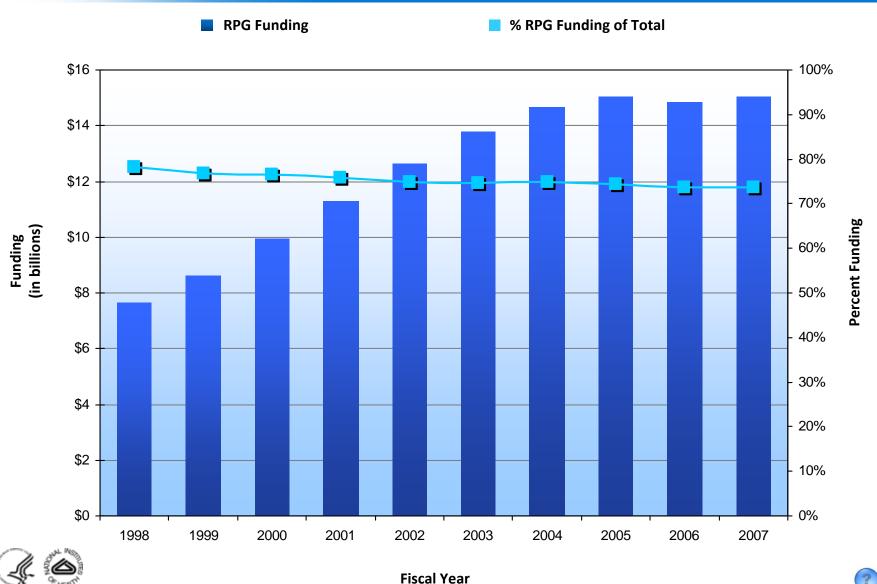


RESEARCH PROJECT GRANT AWARDS AS A PERCENT OF ALL RESEARCH GRANT AWARDS





RESEARCH PROJECT GRANT FUNDING AS A PERCENT OF ALL RESEARCH GRANT FUNDING





NIH OFFICE OF EXTRAMURAL RESEARCH



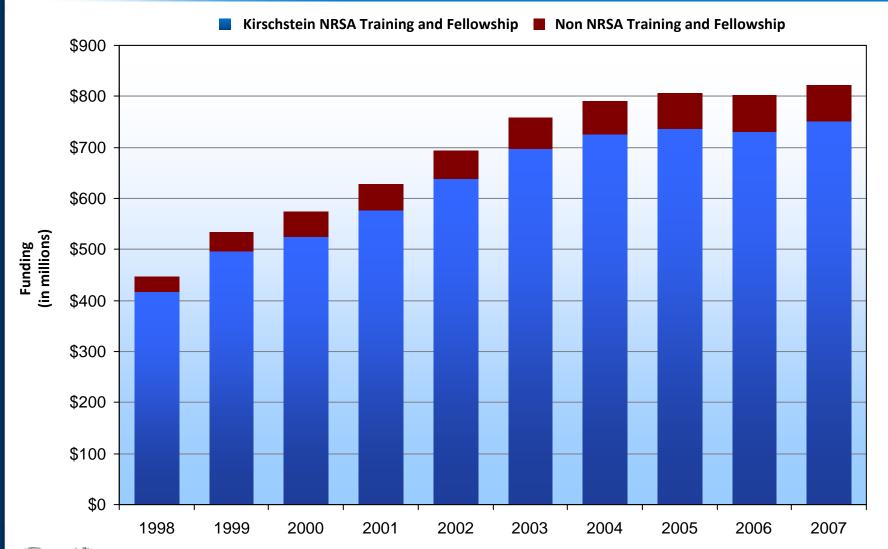
TRENDS IN RESEARCH TRAINING AND FELLOWSHIPS







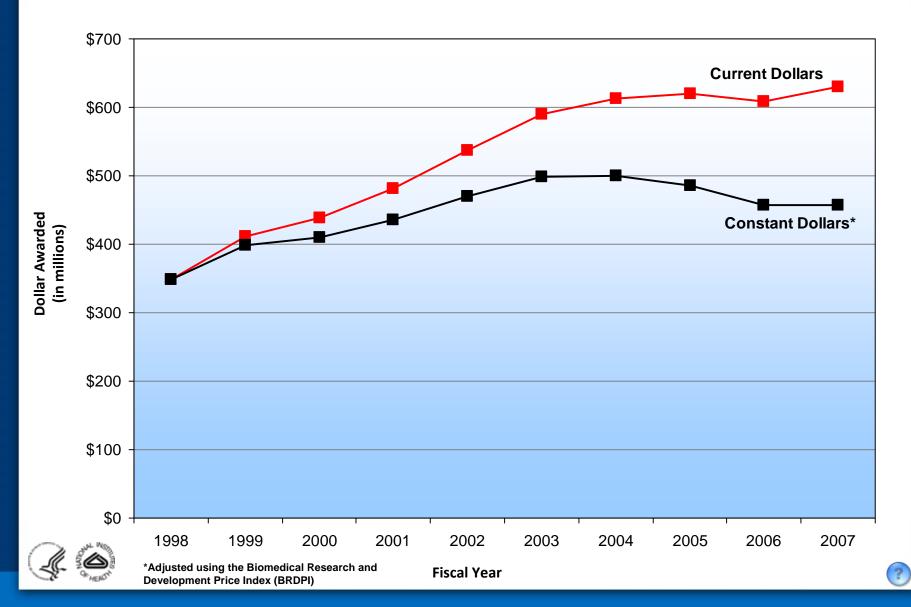
FUNDING OF KIRSCHSTEIN-NRSA AND NON-NRSA RESEARCH TRAINING AND FELLOWSHIP AWARDS





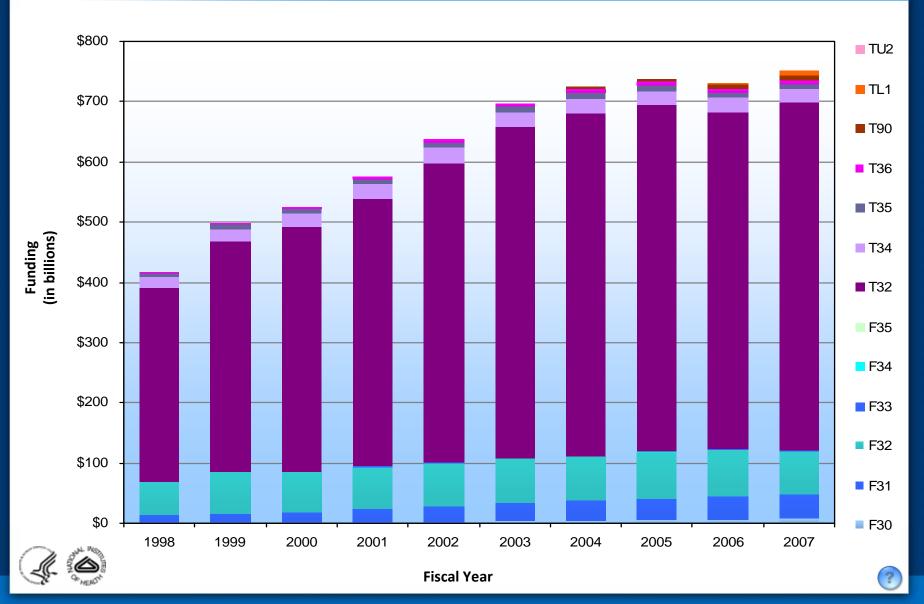


CURRENT AND CONSTANT KIRSCHSTEIN-NRSA RESEARCH TRAINING DOLLARS AWARDED



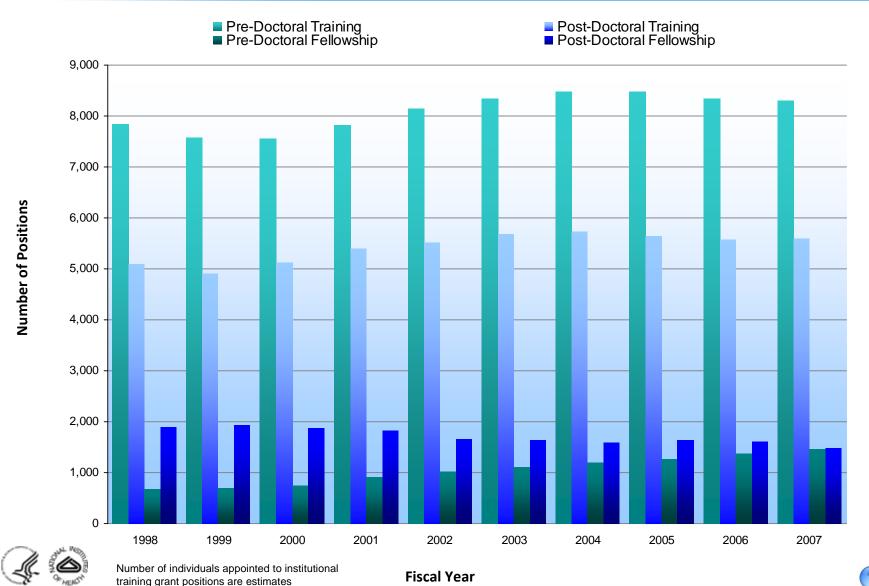


KIRSCHSTEIN-NRSA RESEARCH TRAINING AND FELLOWSHIP FUNDING BY ACTIVITY



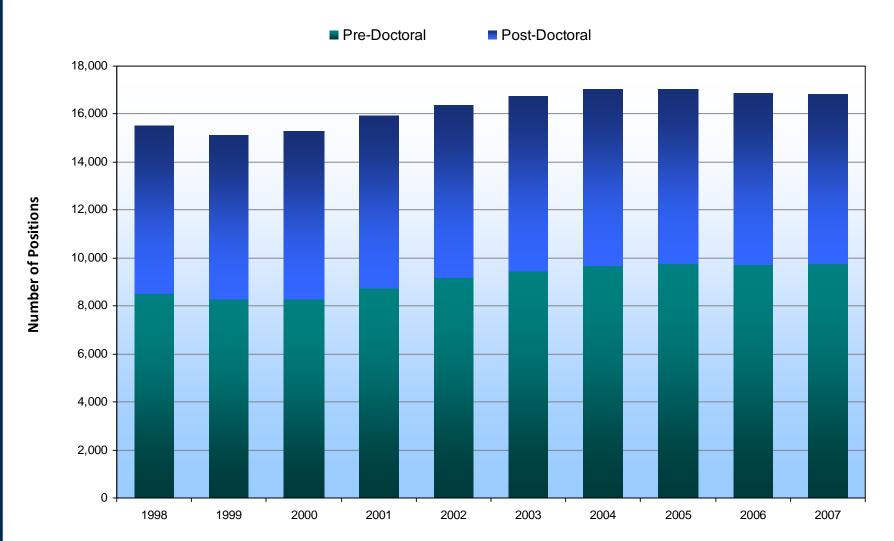


PRE AND POST-DOCTORAL POSITIONS ON KIRSCHSTEIN-NRSA RESEARCH TRAINING GRANTS AND FELLOWSHIPS





KIRSCHSTEIN-NRSA RESEARCH TRAINING GRANTS AND FELLOWSHIPS BY PRE AND POST-DOCTORAL POSITIONS





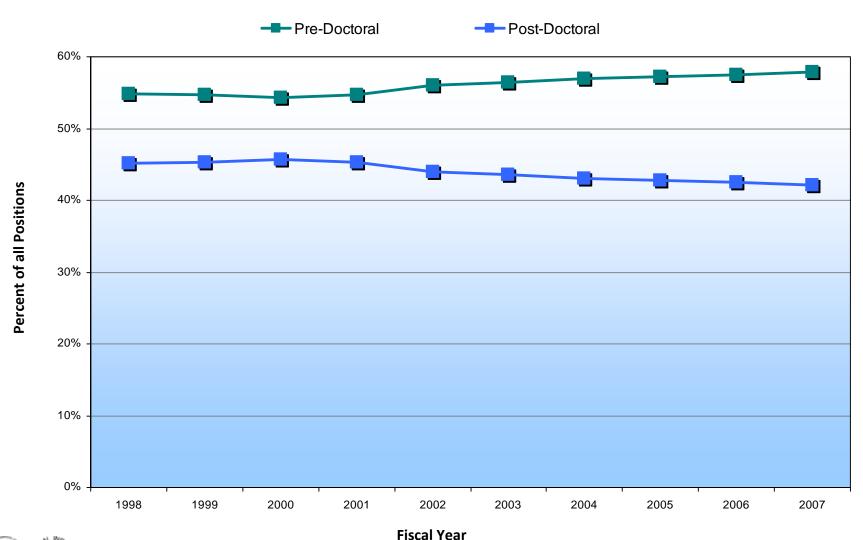
Number of individuals appointed to institutional training grant positions are estimates

Fiscal Year





PRE AND POST-DOCTORAL POSITIONS AS A PERCENT OF ALL KIRSCHSTEIN-NRSA POSITIONS





Number of individuals appointed to institutional training positions are estimates





PRE AND POST-DOCTORAL POSITIONS ON KIRSCHSTEIN-NRSA RESEARCH TRAINING GRANTS AND FELLOWSHIPS FY 2007



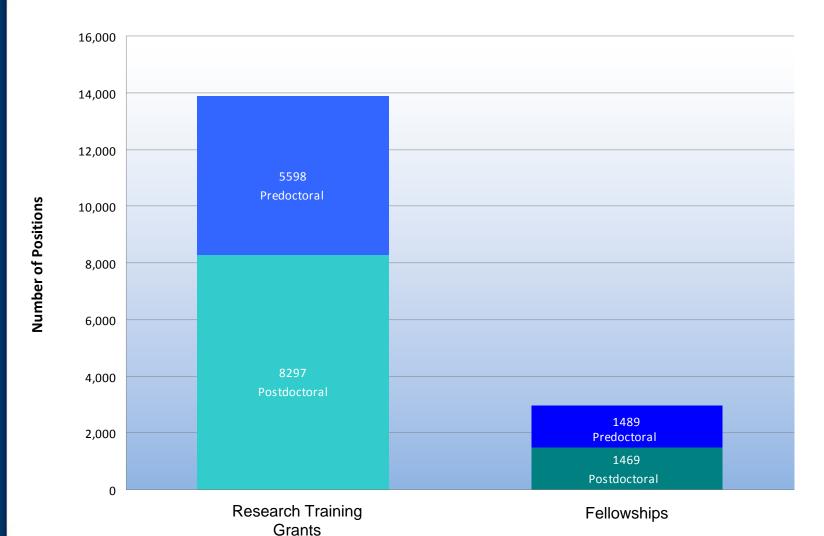


Number of individuals appointed to institutional training grant positions are estimates





KIRSCHSTEIN-NRSA RESEARCH TRAINING GRANTS AND FELLOWSHIPS BY PRE AND POST-DOCTORAL POSITIONS FY 2007





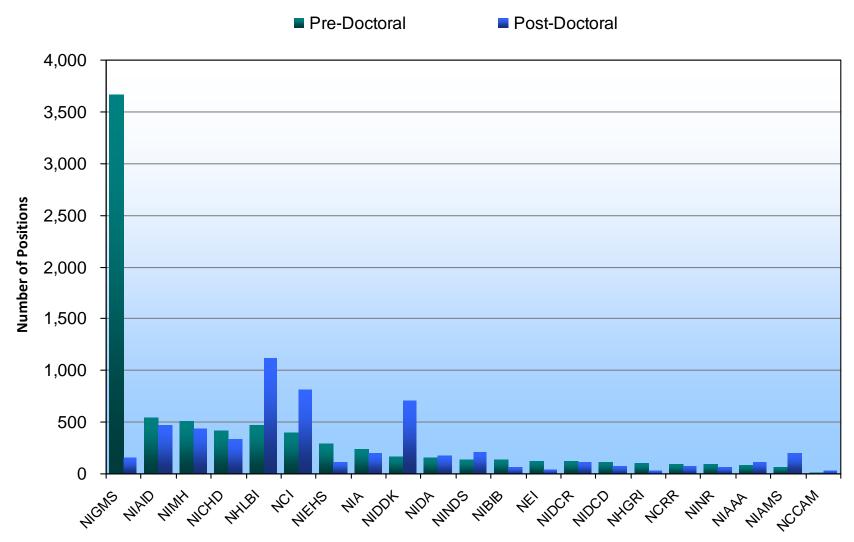
Number of individuals appointed to institutional training grant positions are estimates





PRE AND POST-DOCTORAL KIRSCHSTEIN-NRSA RESEARCH TRAINING POSITIONS **BY PARTICIPATING INSTITUTES AND CENTERS**







Number of individuals appointed to institutional training positions are estimates

Participating NIH Institutes and Centers

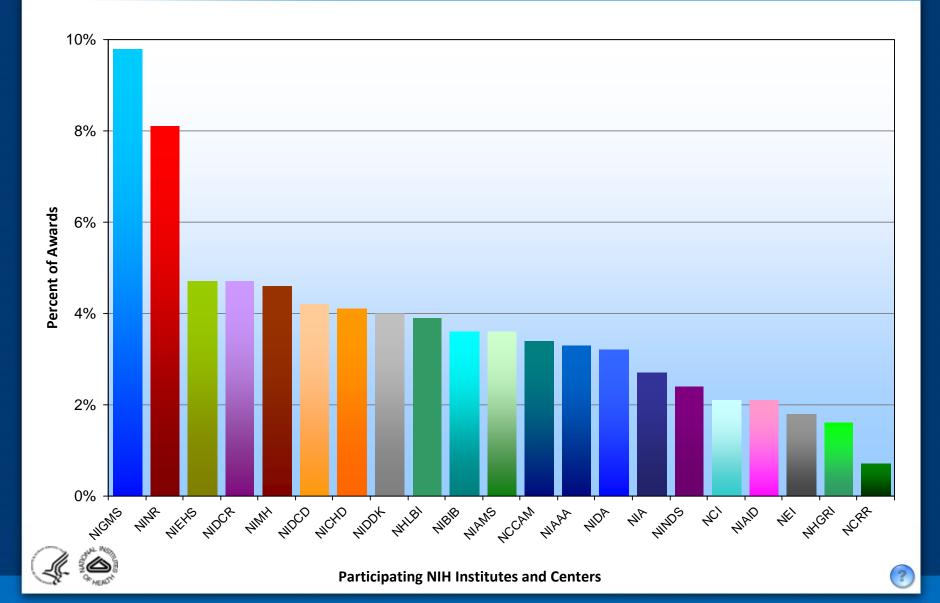


NEDB 57

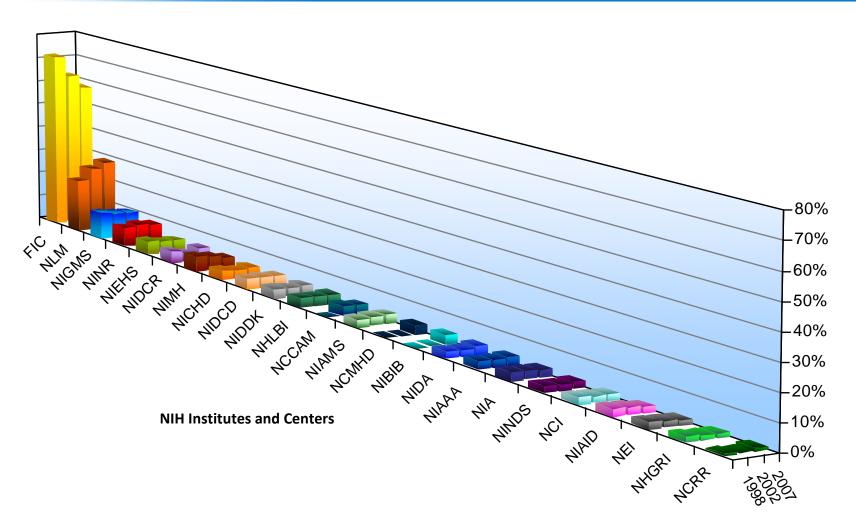


PERCENT OF EXTRAMURAL AWARDS TO KIRSCHSTEIN-NRSA RESEARCH TRAINING AND FELLOWSHIP GRANTS BY PARTICIPATING INSTITUTES AND CENTERS













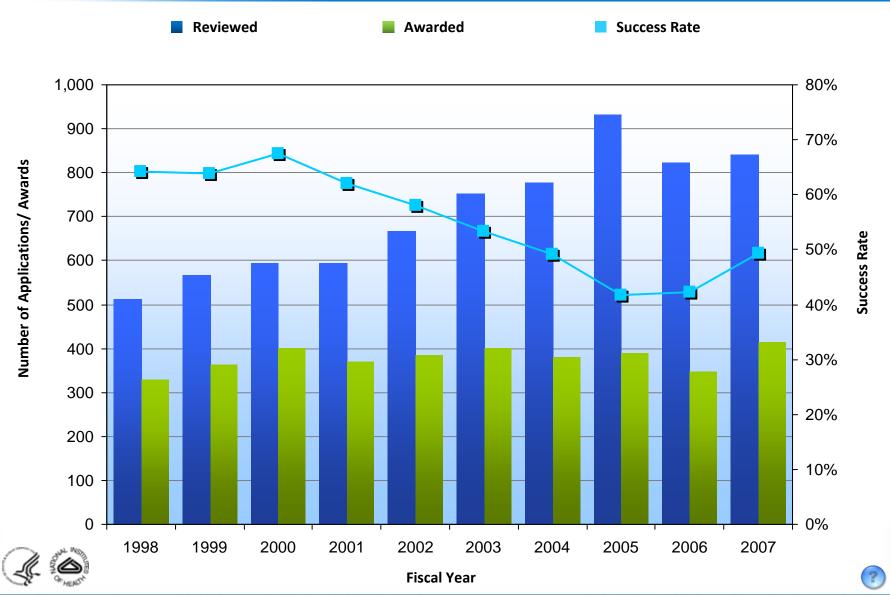




NEDB 59

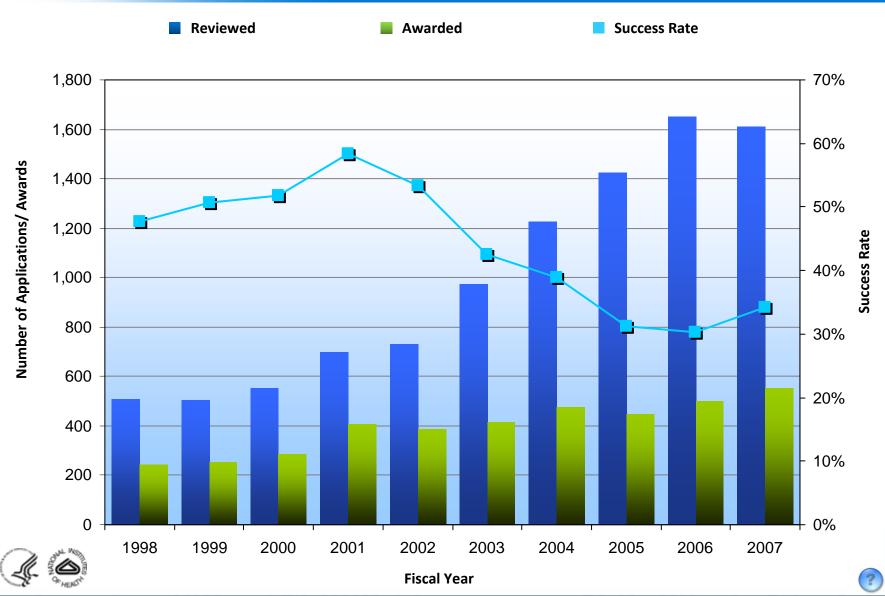


SUCCESS RATE OF KIRSCHSTEIN-NRSA RESEARCH TRAINING GRANT (T32) APPLICATIONS



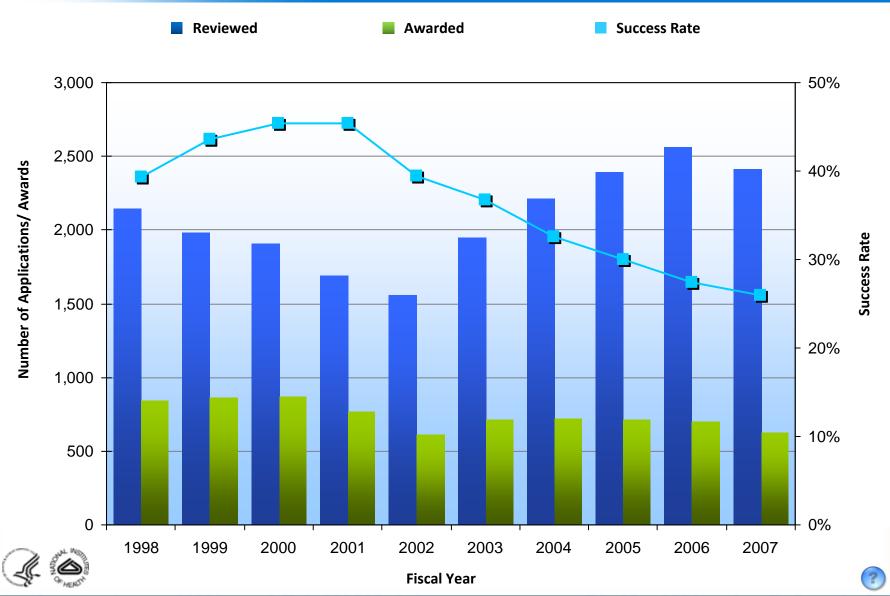


SUCCESS RATE OF KIRSCHSTEIN-NRSA PRE-DOCTORAL FELLOWSHIP (F31) APPLICATIONS





SUCCESS RATE OF KIRSCHSTEIN-NRSA POST-DOCTORAL FELLOWSHIP (F32) APPLICATIONS





NIH OFFICE OF EXTRAMURAL RESEARCH



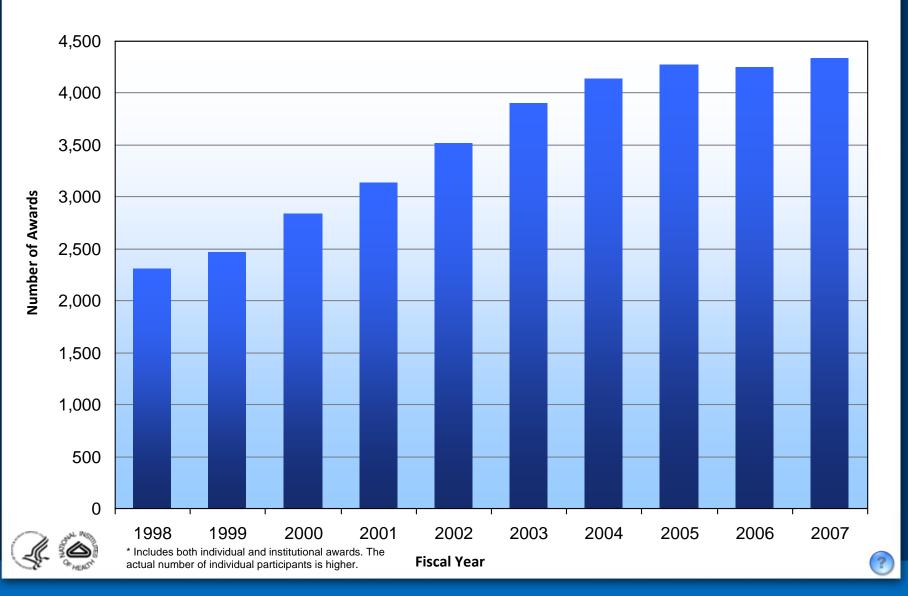
Trends in Research Career Development (K Awards)





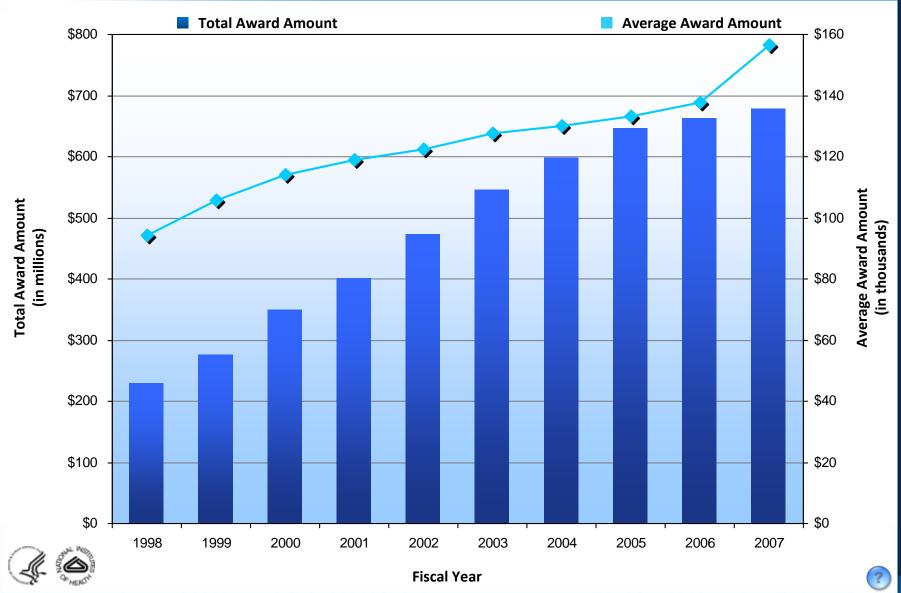






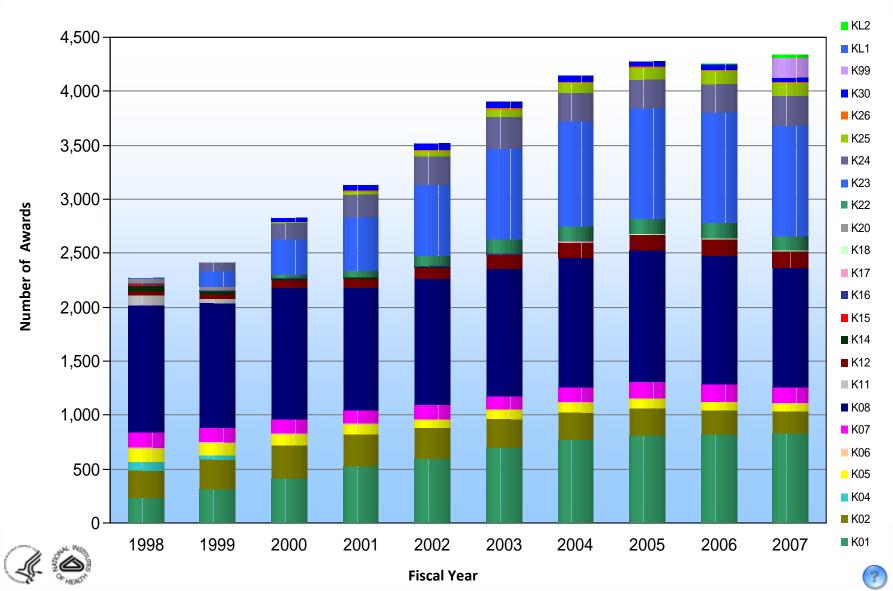


TOTAL AND AVERAGE AWARD AMOUNT OF INDIVIDUAL RESEARCH CAREER AWARDS



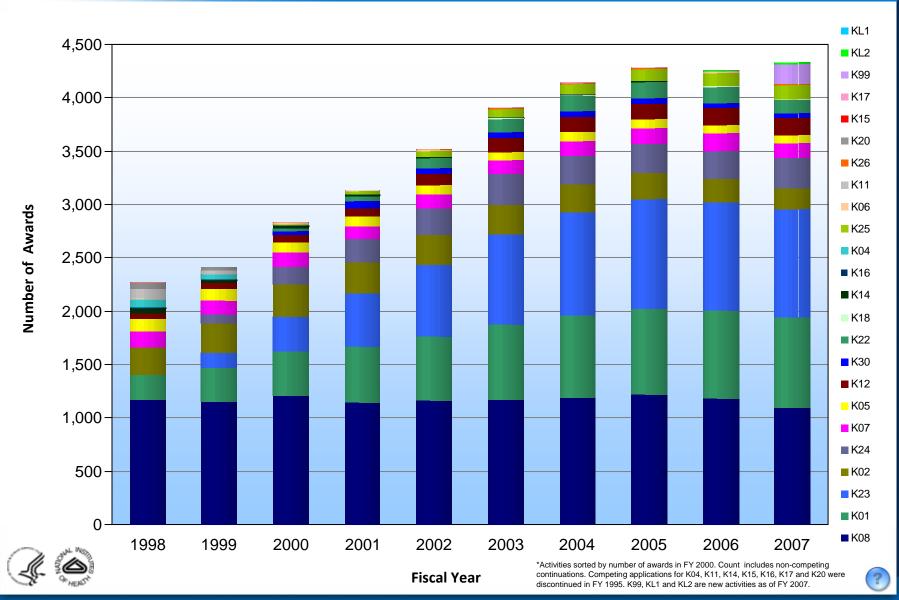


NUMBER OF RESEARCH CAREER AWARDS BY ACTIVITY



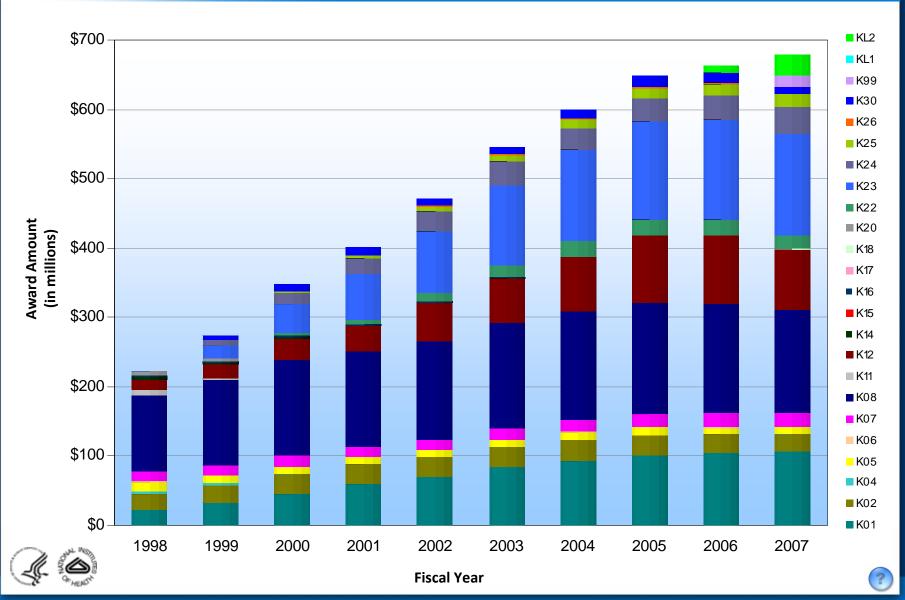


NUMBER OF RESEARCH CAREER AWARDS BY DISTRIBUTION OF AWARDS



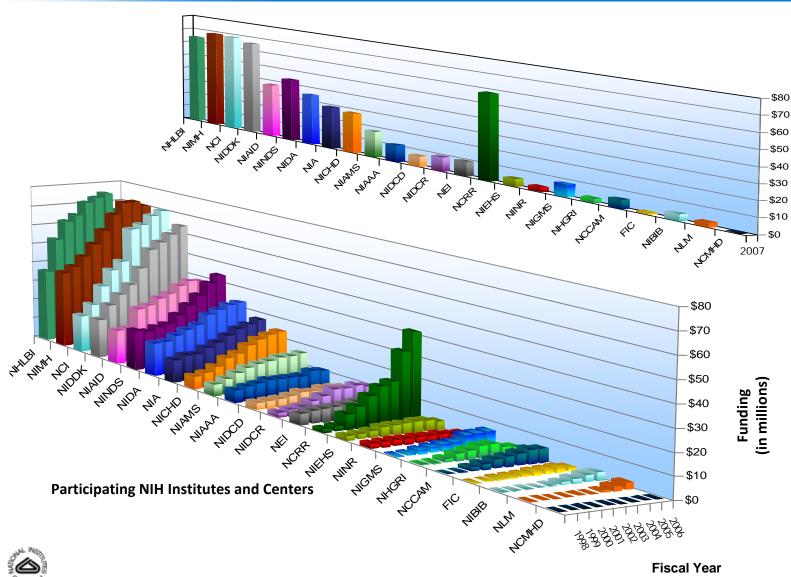


TOTAL COMPETING DOLLARS AWARDED TO RESEARCH CAREER ACTIVITIES



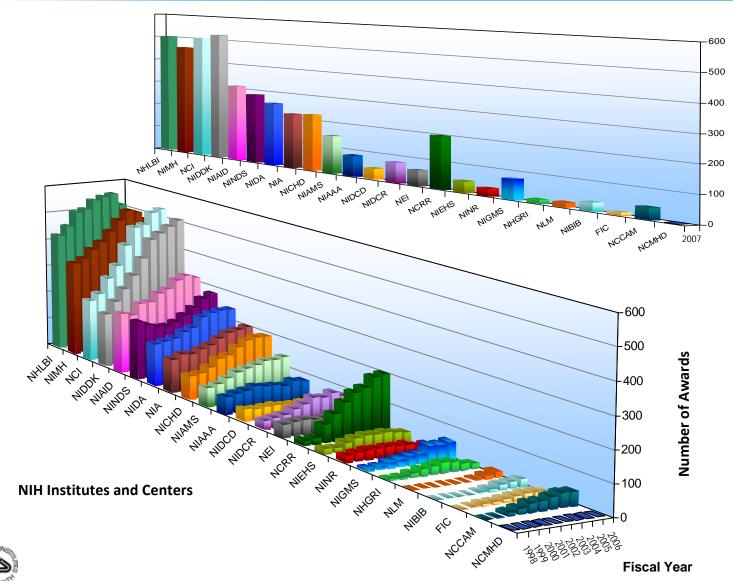


TOTAL FUNDING FOR COMPETING RESEARCH CAREER AWARDS BY INSTITUTES AND CENTERS





NUMBER OF INDIVIDUAL RESEARCH CAREER AWARDS BY INSTITUTES AND CENTERS

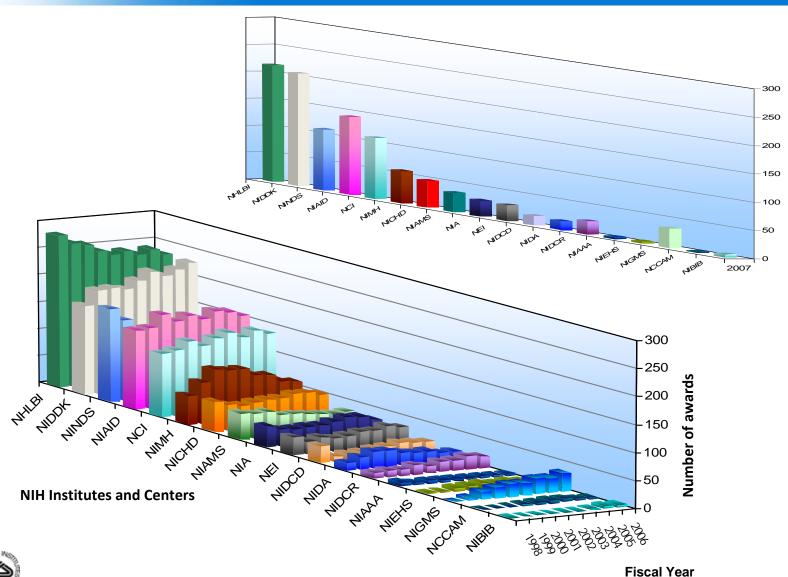


NEDB 70



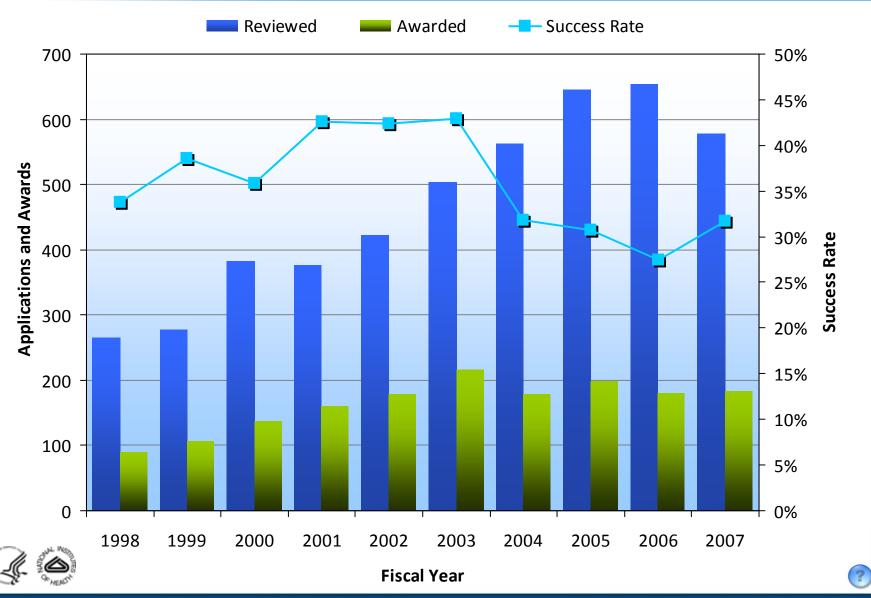
NIH Extramural Data Book – last update May 2008

NUMBER OF K08 AWARDS BY PARTICIPATING INSTITUTES AND CENTERS



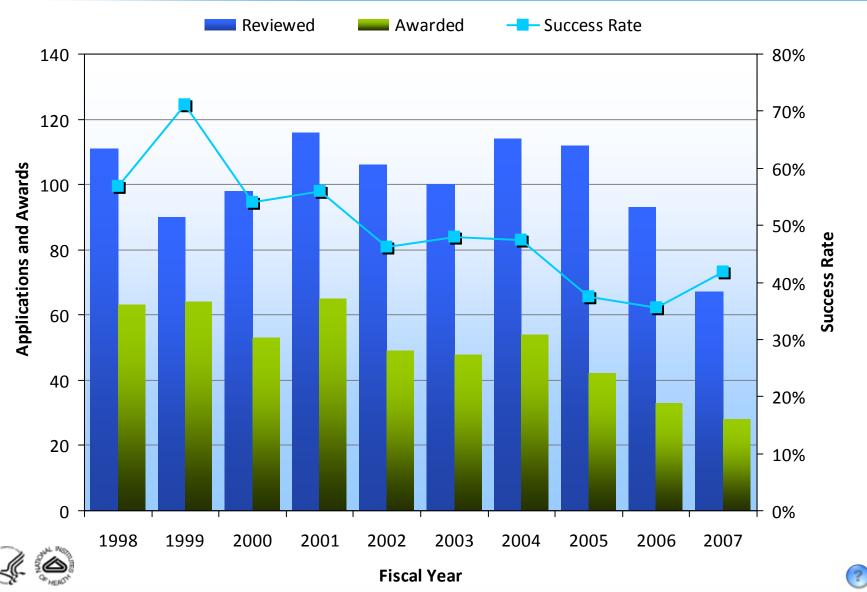


RESEARCH CAREER DEVELOPMENT AWARDS: K01s APPLICATIONS, AWARDS, AND SUCCESS RATES





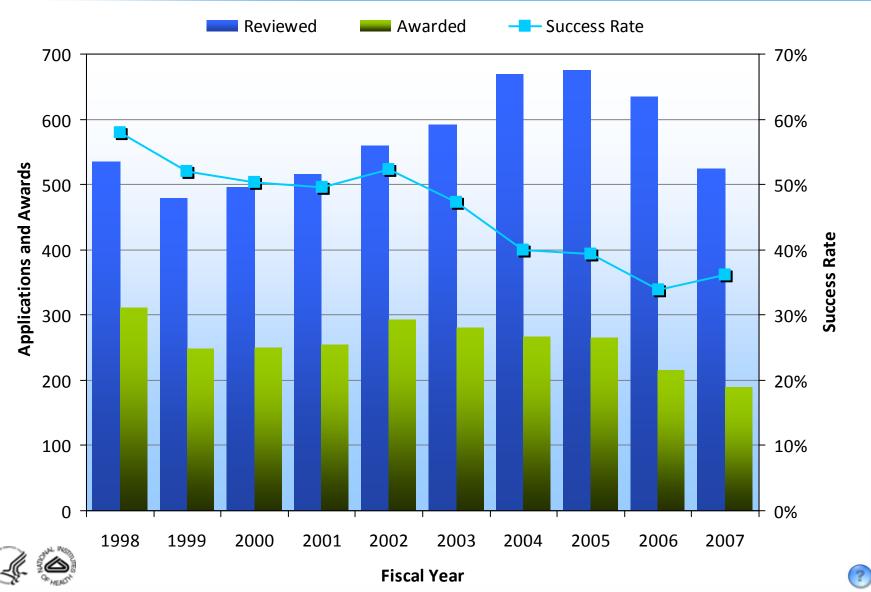
RESEARCH CAREER DEVELOPMENT AWARDS: K02s APPLICATIONS, AWARDS, AND SUCCESS RATES



NEDB 73

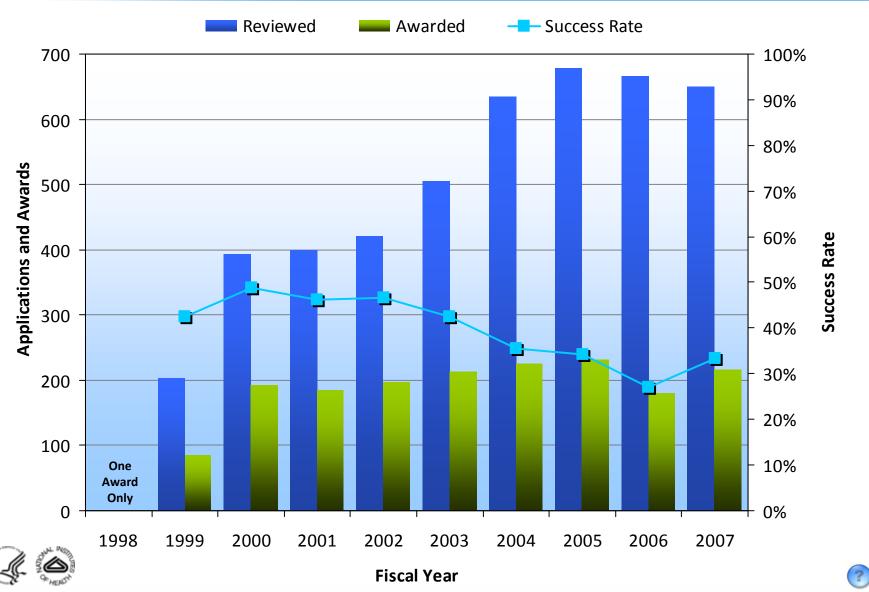


RESEARCH CAREER DEVELOPMENT AWARDS: K08s APPLICATIONS, AWARDS, AND SUCCESS RATES



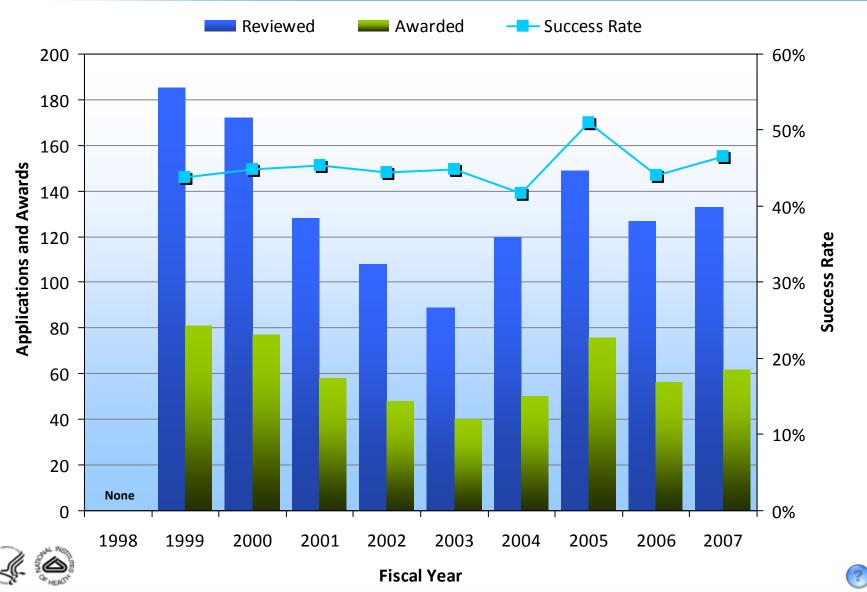


RESEARCH CAREER DEVELOPMENT AWARDS: K23s APPLICATIONS, AWARDS, AND SUCCESS RATES



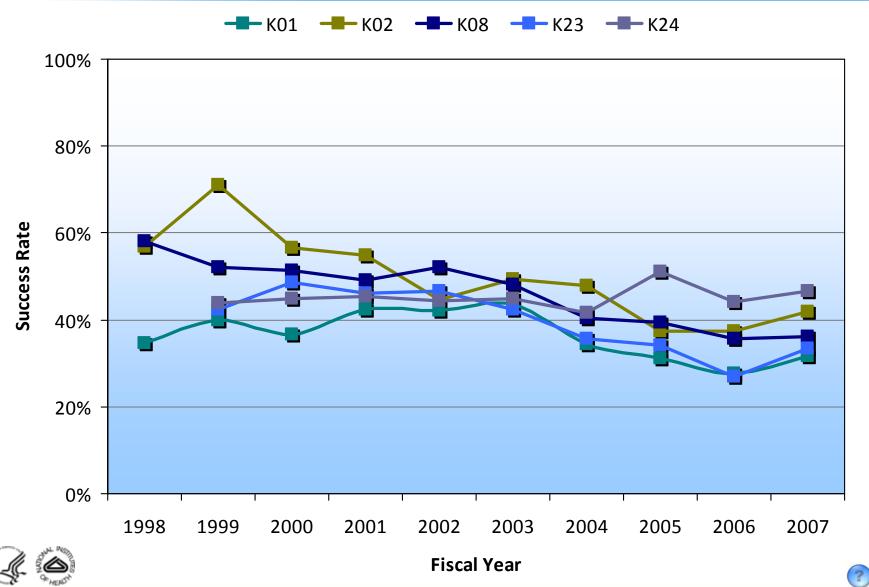


RESEARCH CAREER DEVELOPMENT AWARDS: K24s APPLICATIONS, AWARDS, AND SUCCESS RATES



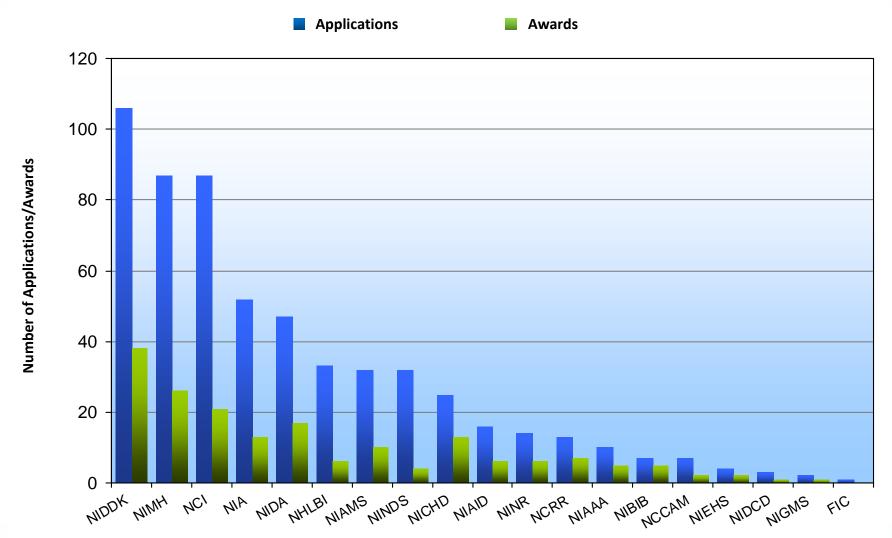


SELECT RESEARCH CAREER DEVELOPMENT AWARDS APPLICATIONS, AWARDS, AND SUCCESS RATES





K01 APPLICATIONS AND AWARDS BY PARTICIPATING INSTITUTES AND CENTERS FY 2007



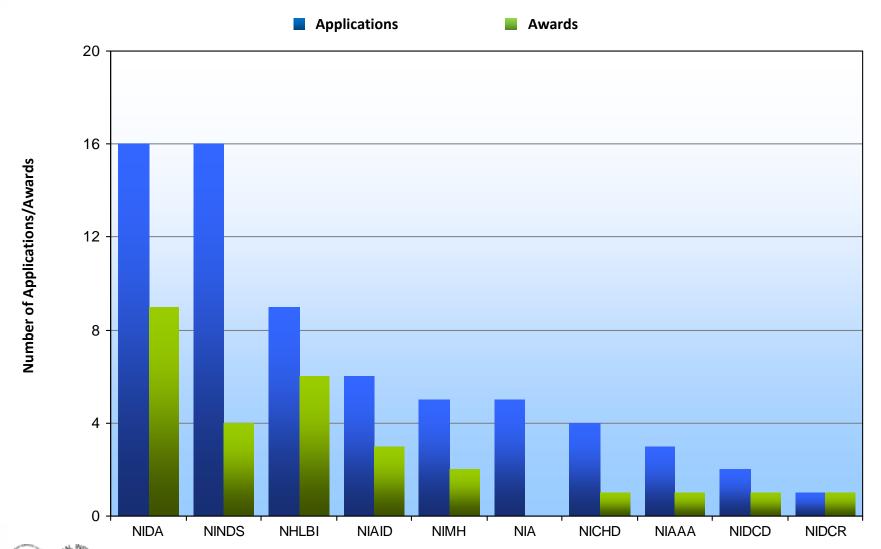


Participating NIH Institutes and Centers





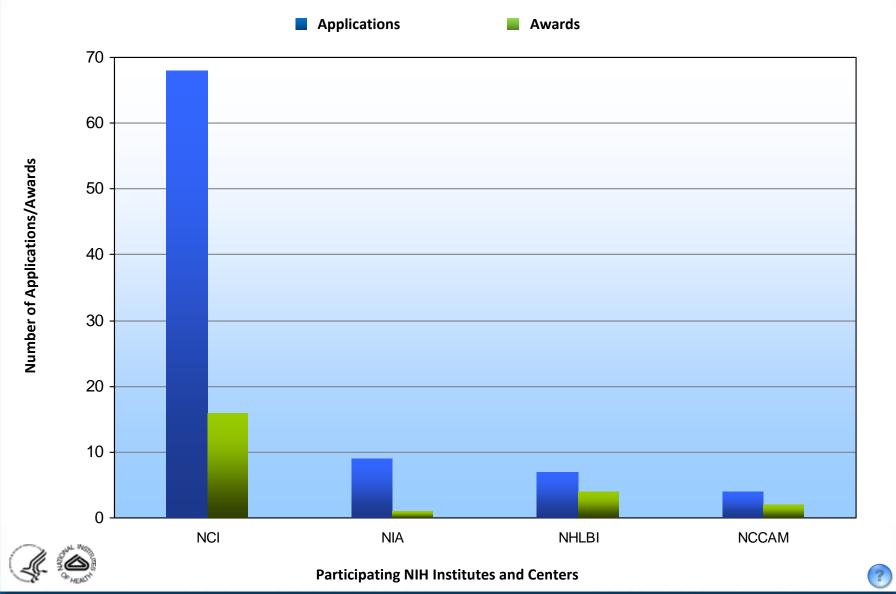
K02 APPLICATIONS AND AWARDS BY PARTICIPATING INSTITUTES AND CENTERS FY 2007





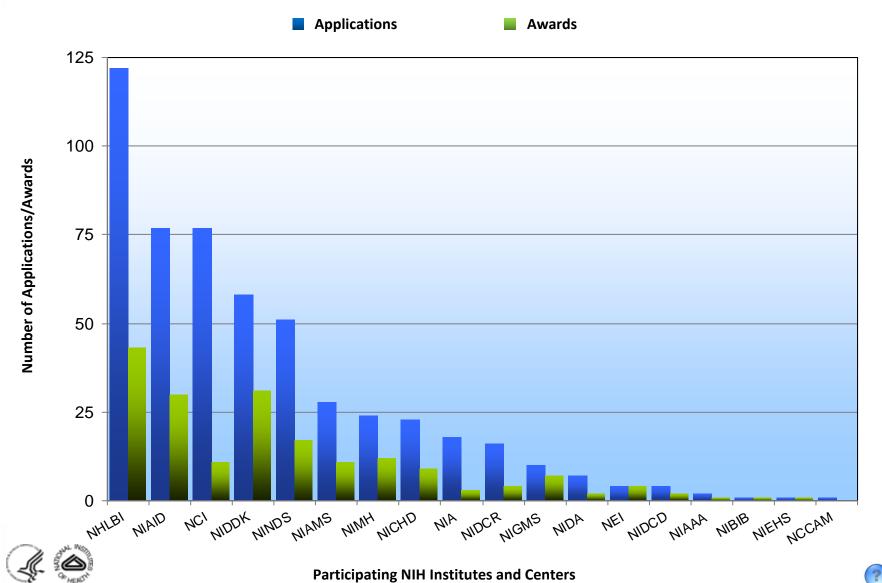


K07 APPLICATIONS AND AWARDS BY PARTICIPATING INSTITUTES AND CENTERS FY 2007



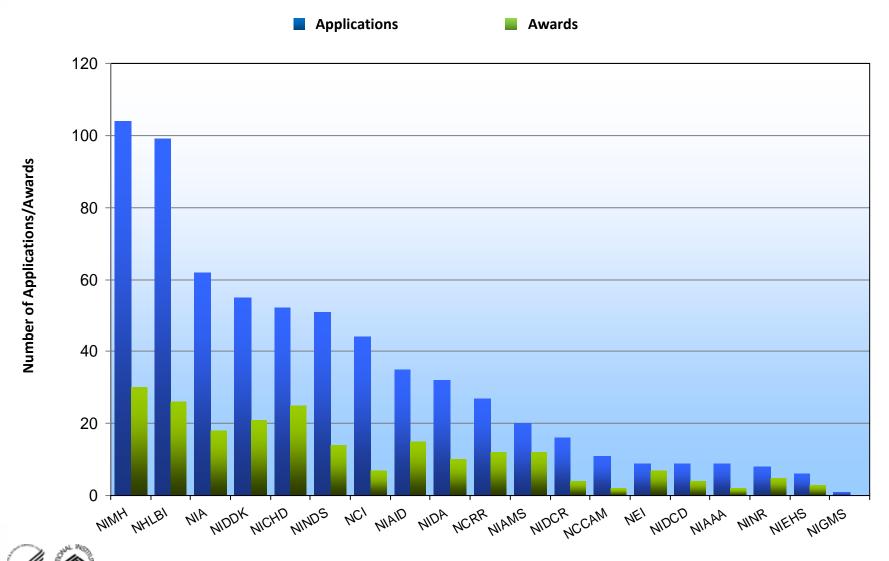


K08 APPLICATIONS AND AWARDS BY PARTICIPATING INSTITUTES AND CENTERS FY 2007





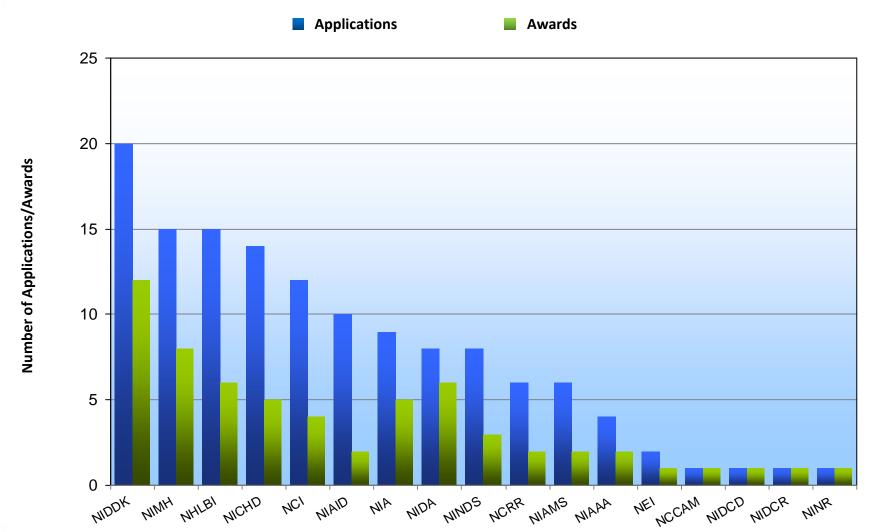
K23 APPLICATIONS AND AWARDS BY PARTICIPATING INSTITUTES AND CENTERS FY 2007



Participating NIH Institutes and Centers



K24 APPLICATIONS AND AWARDS BY PARTICIPATING INSTITUTES AND CENTERS FY 2007



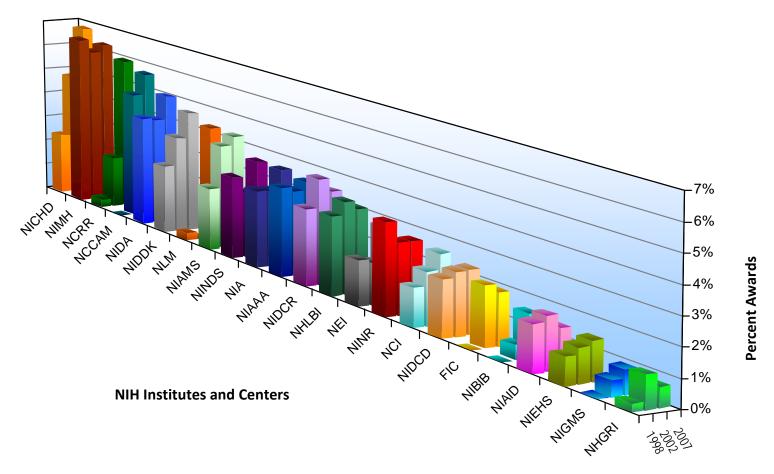




NEDB 83



PERCENT OF EXTRAMURAL AWARDS TO RESEARCH CAREER DEVELOPMENT BY INSTITUTES AND CENTERS FY 1998, 2002 and 2007



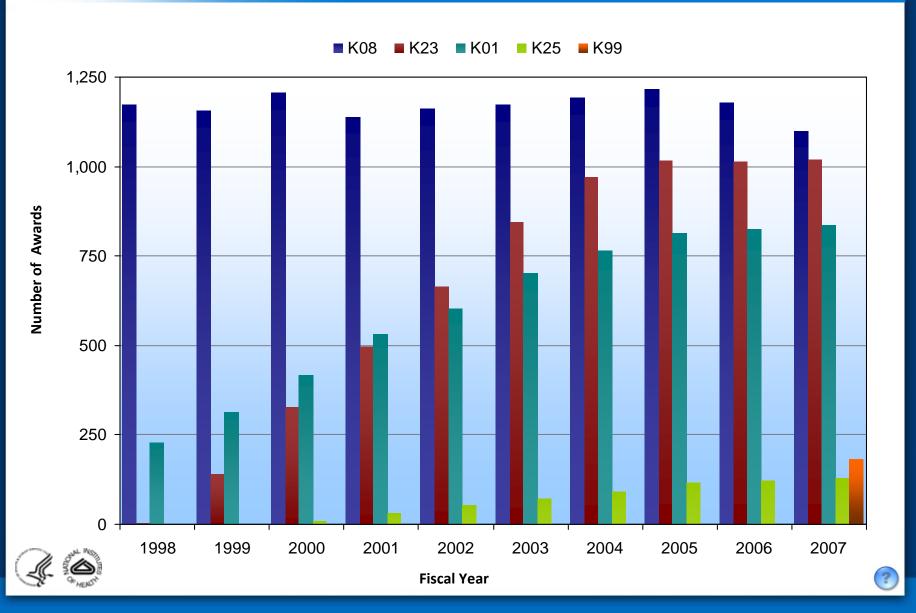








NUMBER OF ENTRY LEVEL RESEARCH CAREER AWARDS





NIH OFFICE OF EXTRAMURAL RESEARCH



Small Business Innovation Research (SBIR)

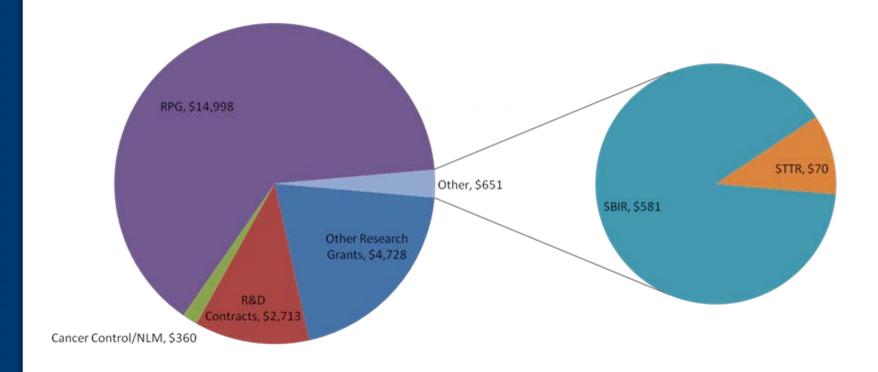






SBIR/STTR BUDGET SHARE OF EXTRAMURAL R&D FY 2007

(amounts shown in millions of dollars)





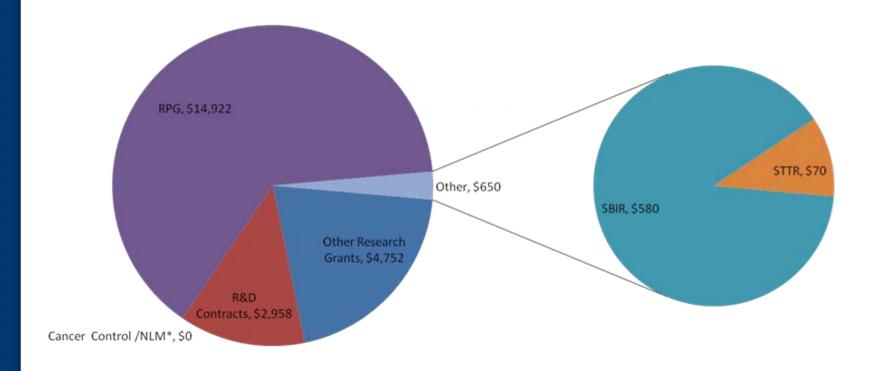


NEDB 87



SBIR/STTR BUDGET SHARE OF EXTRAMURAL R&D FY 2008

(amounts shown in millions of dollars)



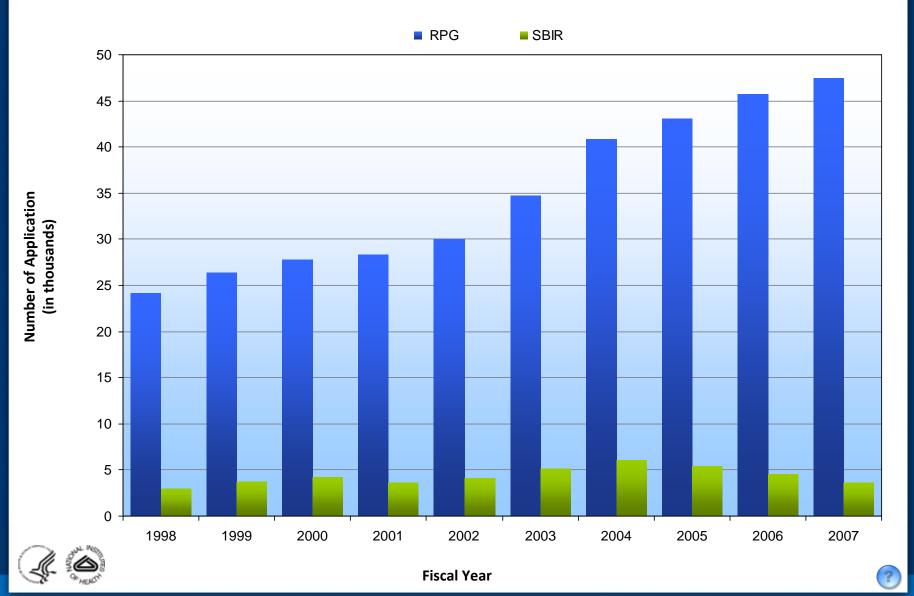




^{*} As of 2008 NIH has modified its traditional budget display by mechanism so that activities of National Cancer Institute's Awards are allocated among the various trans-NIH mechanism

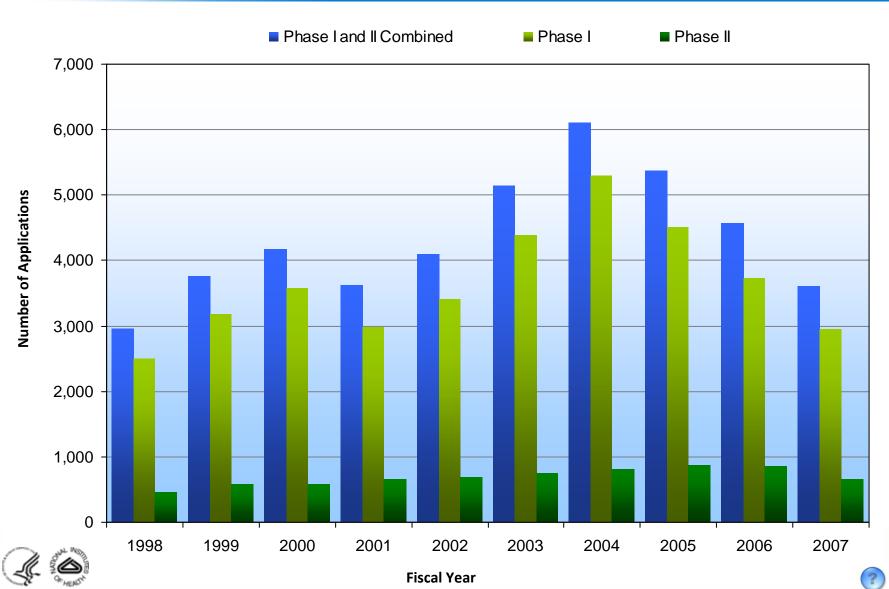


NUMBER OF RPG AND SBIR APPLICATIONS REVIEWED BY FISCAL YEAR



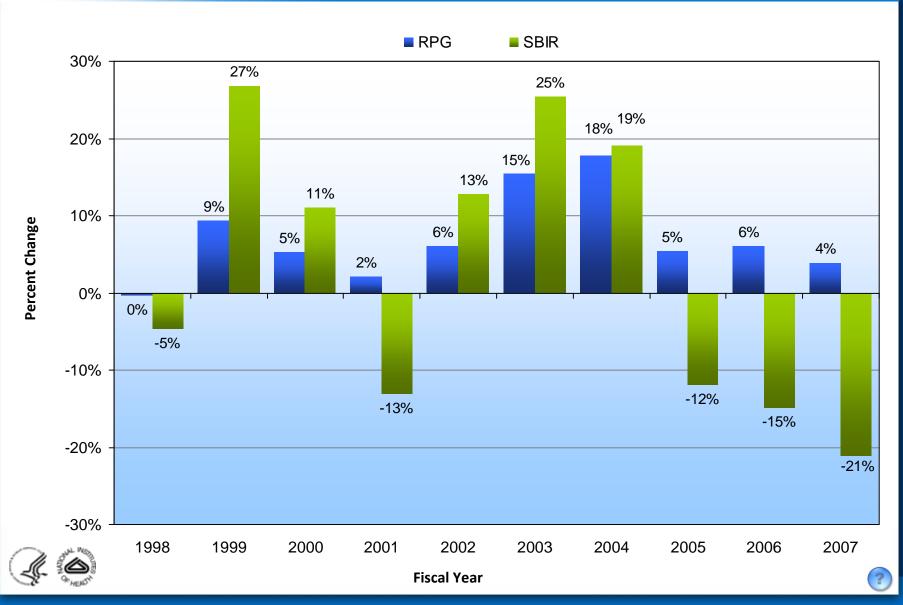


NUMBER OF SBIR APPLICATIONS BY PHASE



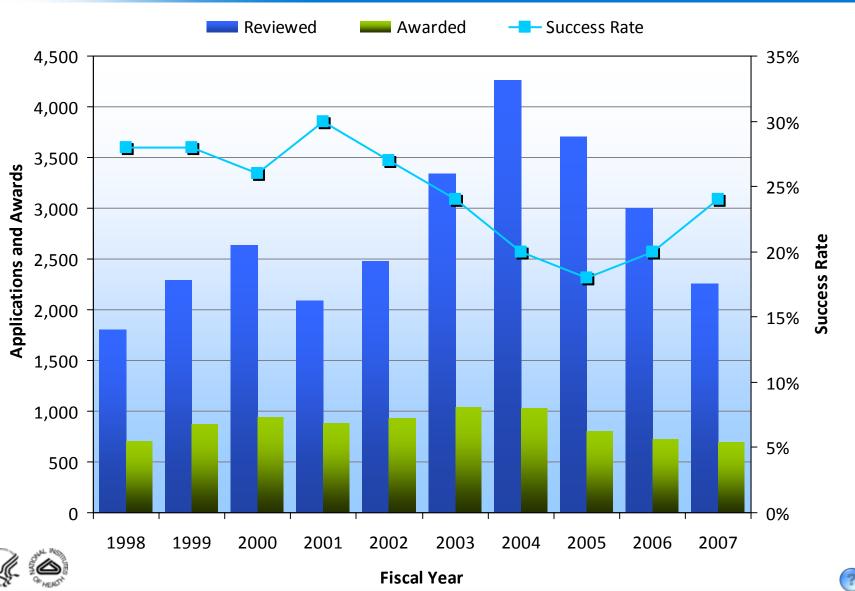


PERCENT CHANGE IN RPG & SBIR APPLICATIONS



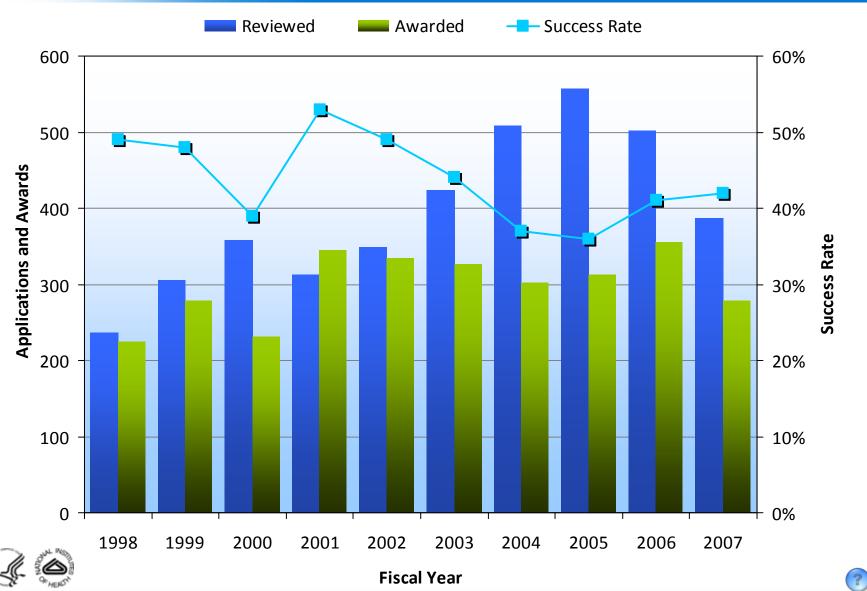


SUCCESS RATE OF SBIR PHASE I APPLICATIONS



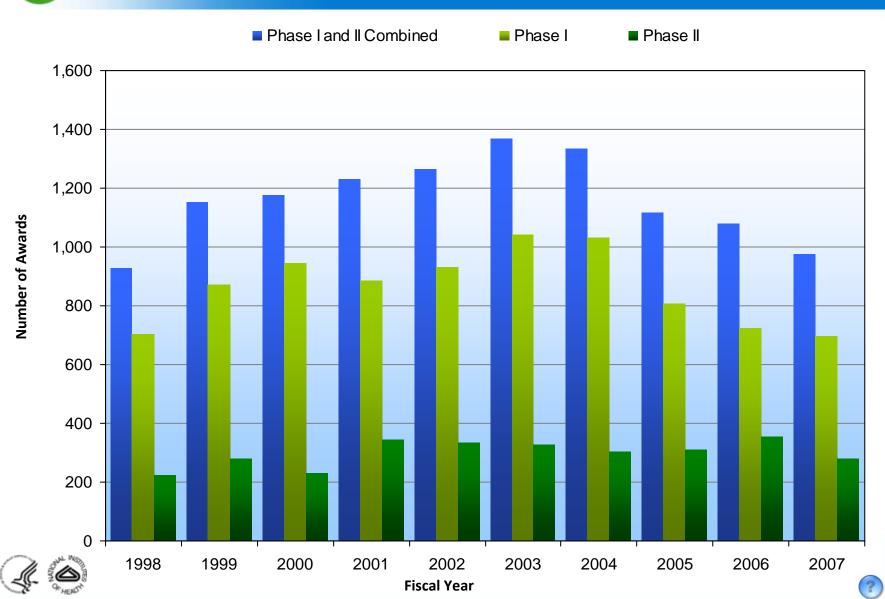


SUCCESS RATE OF SBIR PHASE II APPLICATIONS



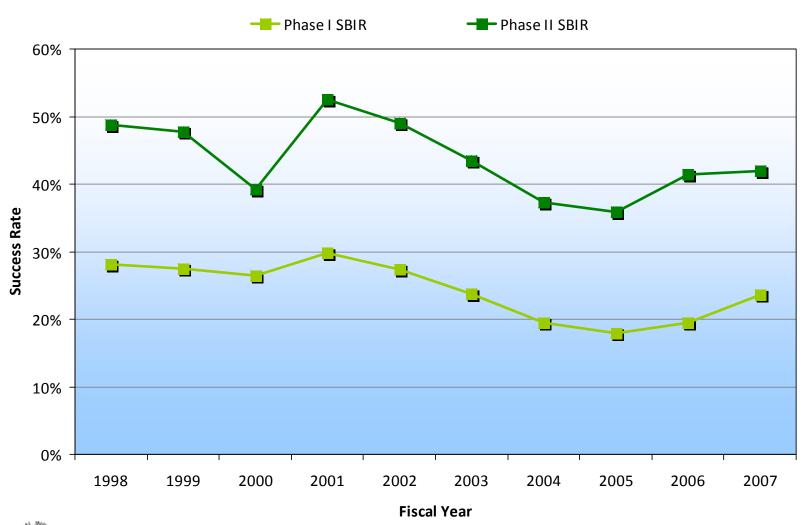


NUMBER OF SBIR AWARDS BY PHASE





SUCCESS RATES OF SBIR APPLICATIONS

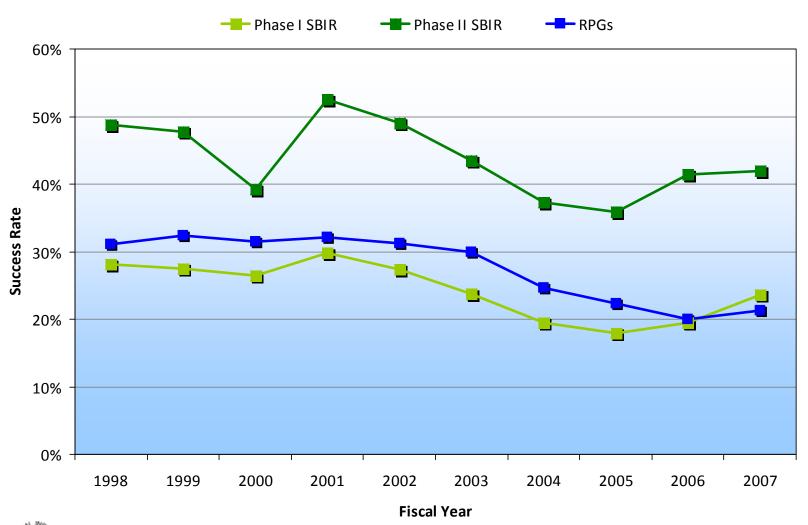








SUCCESS RATES OF RPG & SBIR APPLICATIONS



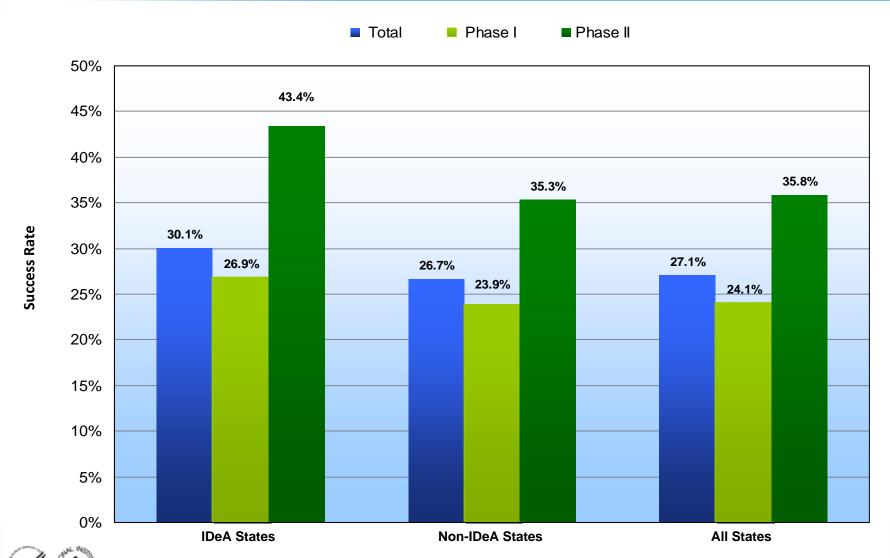




NEDB 96



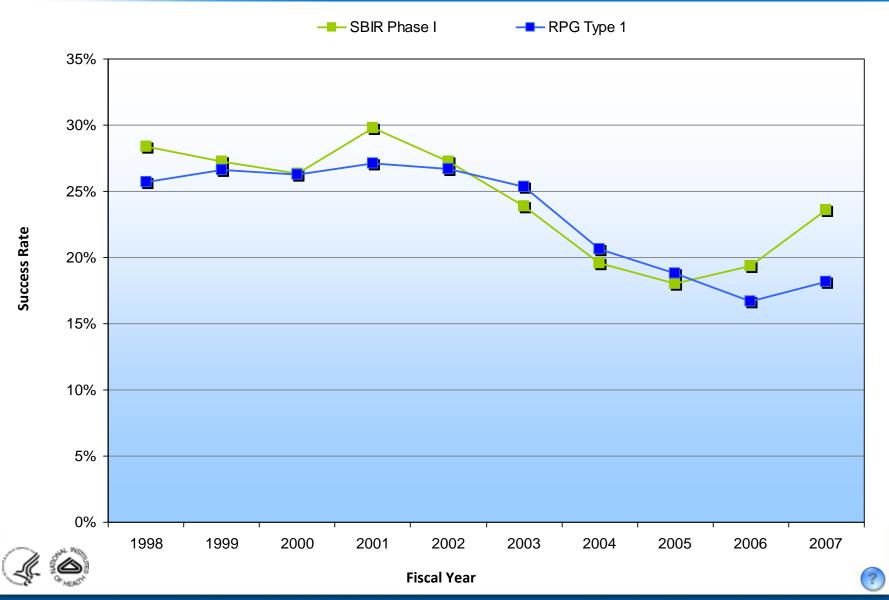
SUCCESS RATES OF SBIR APPLICATIONS BY INSTITUTIONAL DEVELOPMENT AWARD (IDEA) STATES





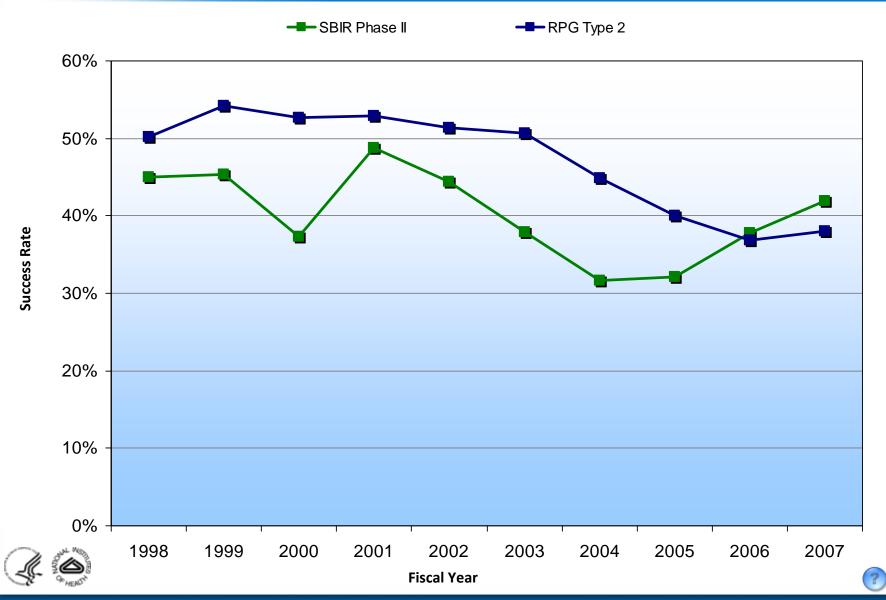


COMPARISON OF SUCCESS RATES OF RPG TYPE 1 AND SBIR PHASE I APPLICATIONS



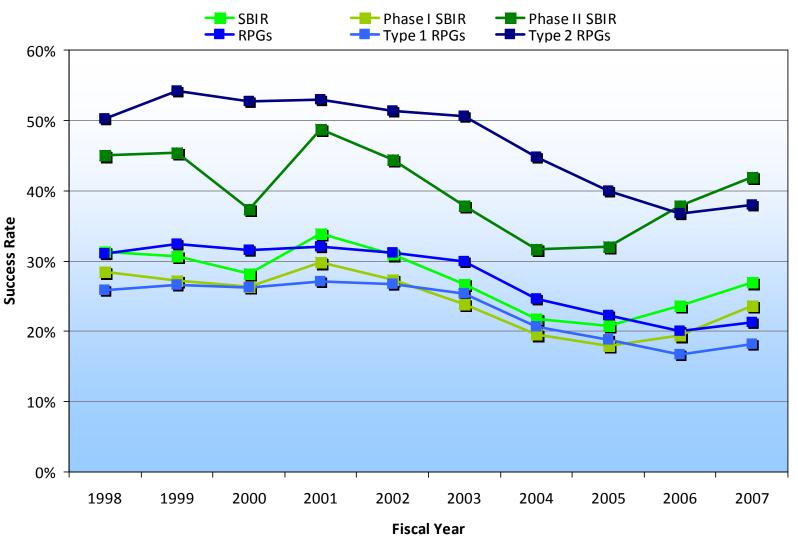


COMPARISON OF SUCCESS RATES OF RPG TYPE 2 AND SBIR PHASE II APPLICATIONS





COMPARISON OF SUCCESS RATES OF RPG AND SBIR APPLICATIONS

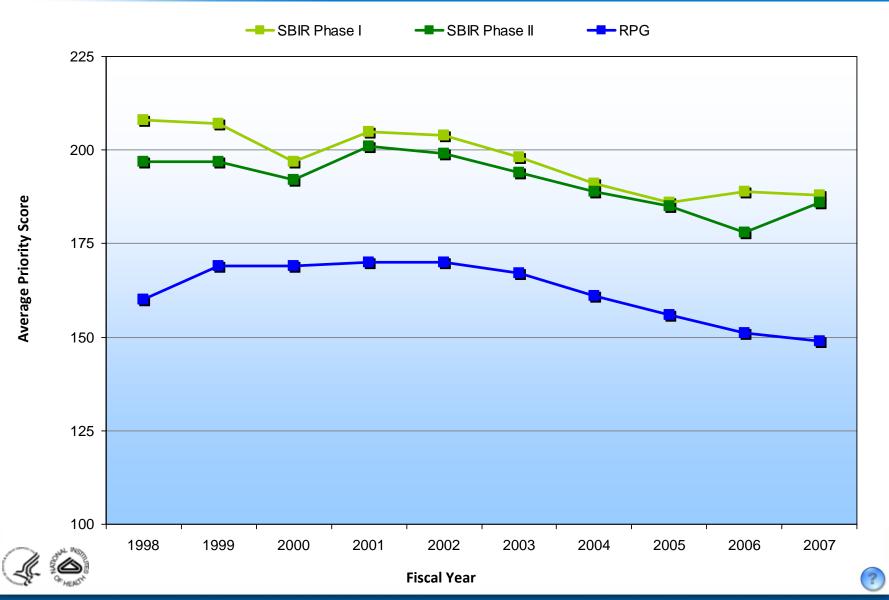








COMPARISON OF PRIORITY SCORES OF RPG AND SBIR AWARDS BY PHASE





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SUCCESS RATES

A success rate is the percentage of reviewed applications receiving funding, computed on a fiscal year basis. It is determined by dividing the number of competing applications funded by the sum of the total number of competing applications reviewed and the number of funded carryovers. Note that applications having one or more amendments in the same fiscal year are only counted once.







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SUCCESS RATES:

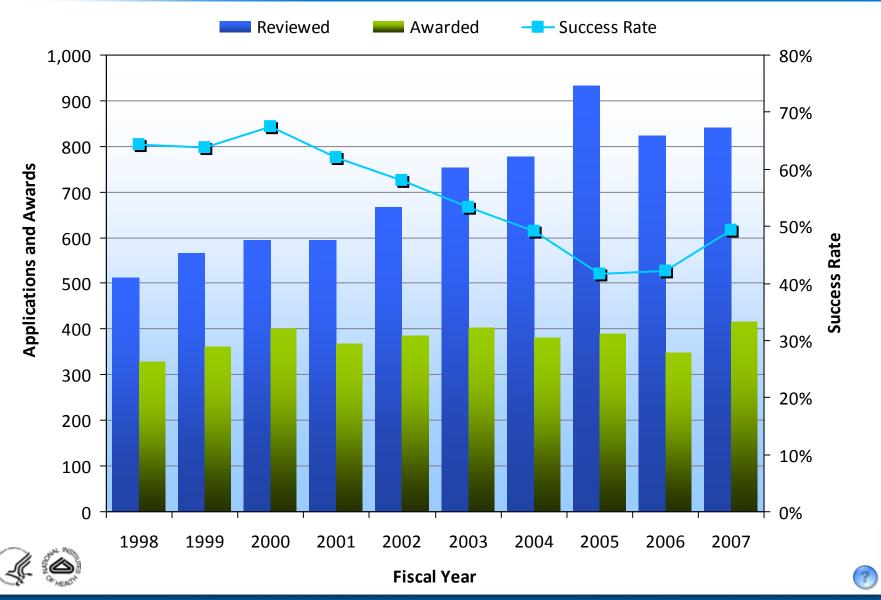
Training Grants and Fellowships





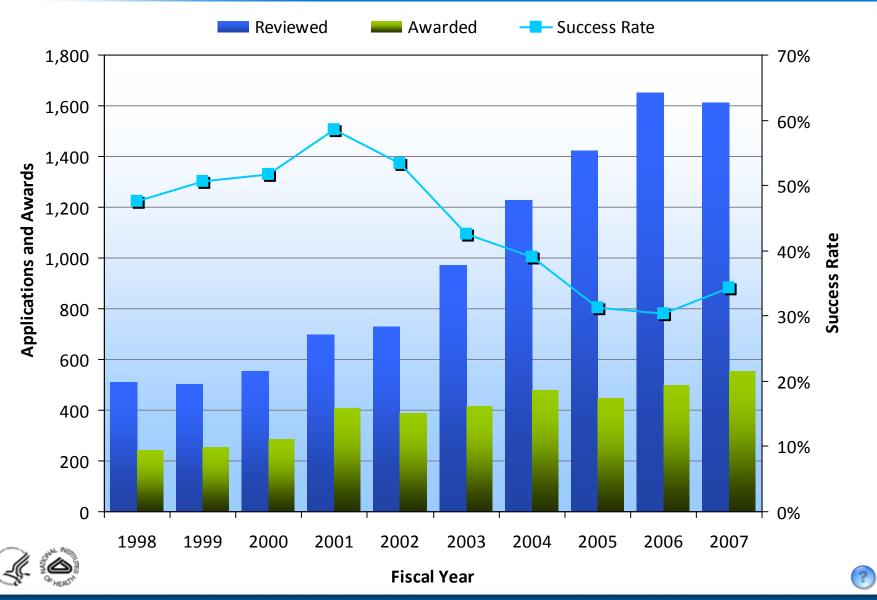


KIRSCHSTEIN-NRSA RESEARCH TRAINING GRANTS (T32s) APPLICATIONS, AWARDS, AND SUCCESS RATES



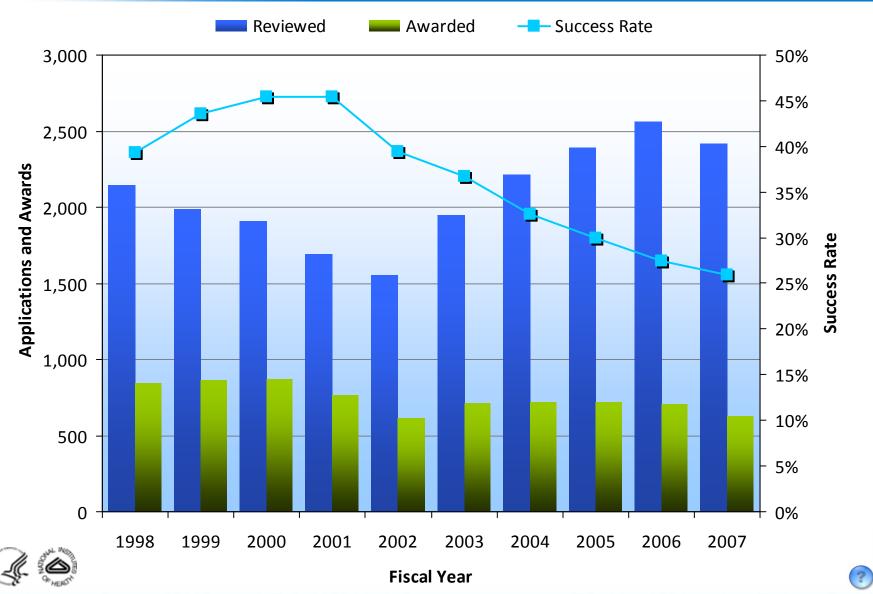


KIRSCHSTEIN-NRSA PRE-DOCTORAL FELLOWSHIPS (F31s) APPLICATIONS, AWARDS, AND SUCCESS RATES





KIRSCHSTEIN-NRSA POST-DOCTORAL FELLOWSHIPS (F32s) APPLICATIONS, AWARDS, AND SUCCESS RATES





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SUCCESS RATES:

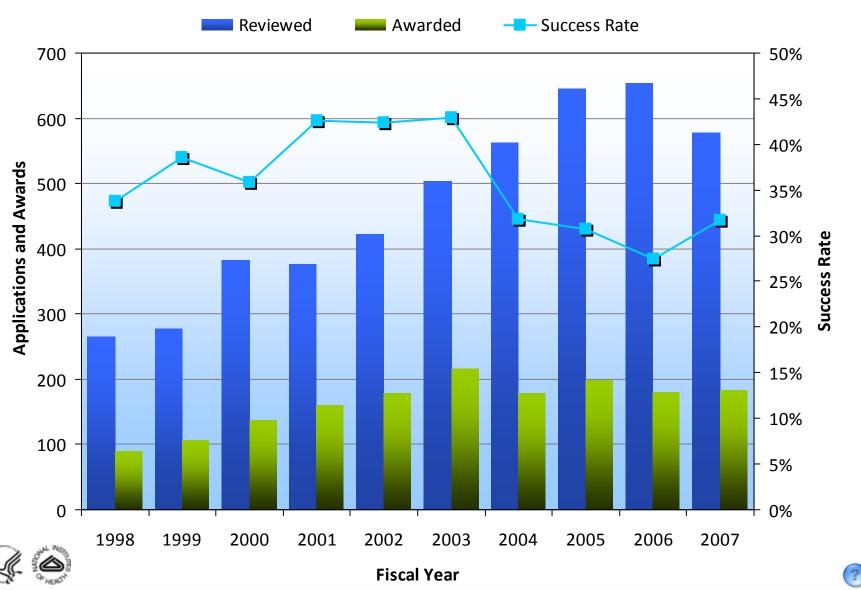
RESEARCH CAREER DEVELOPMENT AWARDS





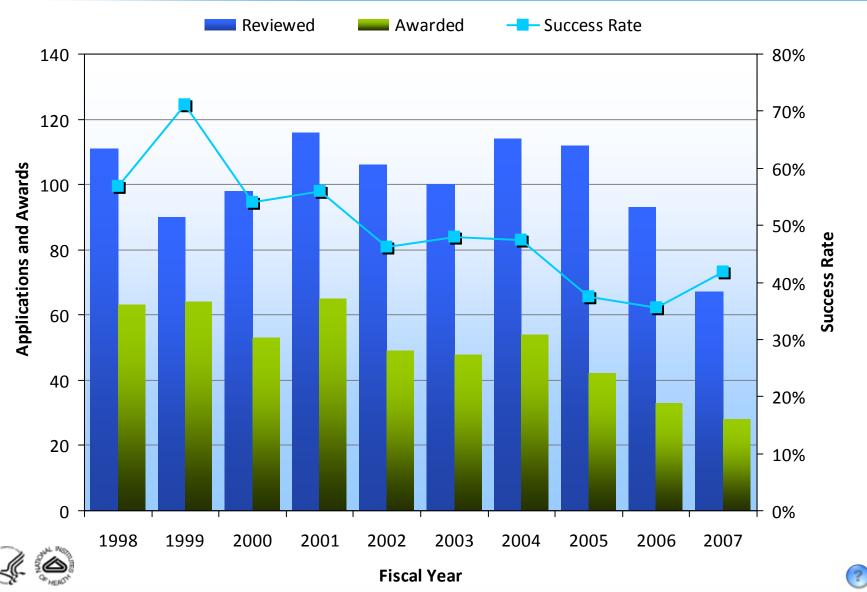


RESEARCH CAREER DEVELOPMENT AWARDS: K01s APPLICATIONS, AWARDS, AND SUCCESS RATES



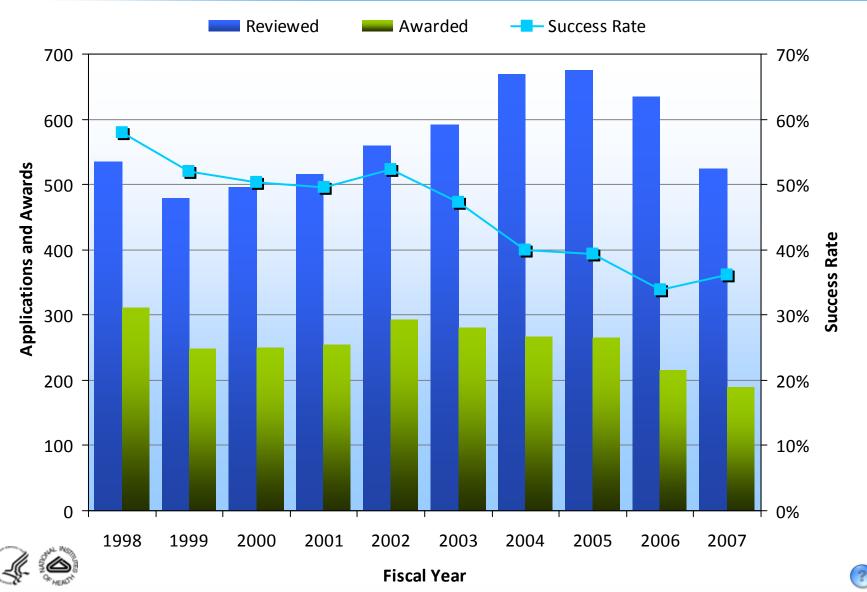


RESEARCH CAREER DEVELOPMENT AWARDS: K02s APPLICATIONS, AWARDS, AND SUCCESS RATES



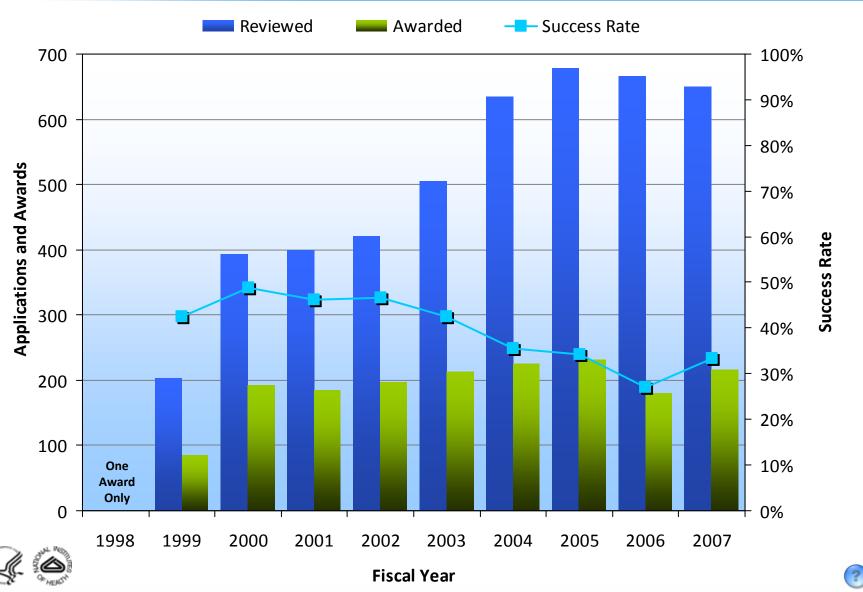


RESEARCH CAREER DEVELOPMENT AWARDS: K08s APPLICATIONS, AWARDS, AND SUCCESS RATES



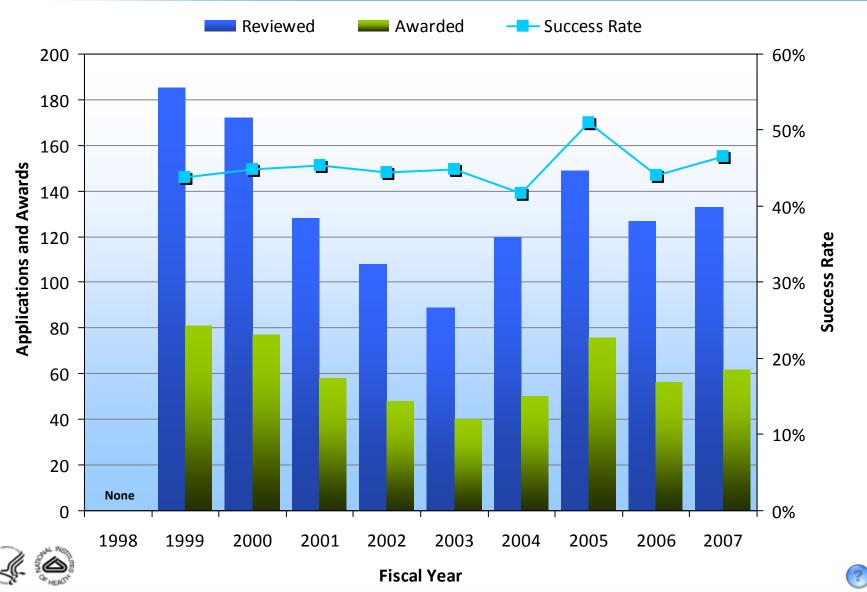


RESEARCH CAREER DEVELOPMENT AWARDS: K23s APPLICATIONS, AWARDS, AND SUCCESS RATES



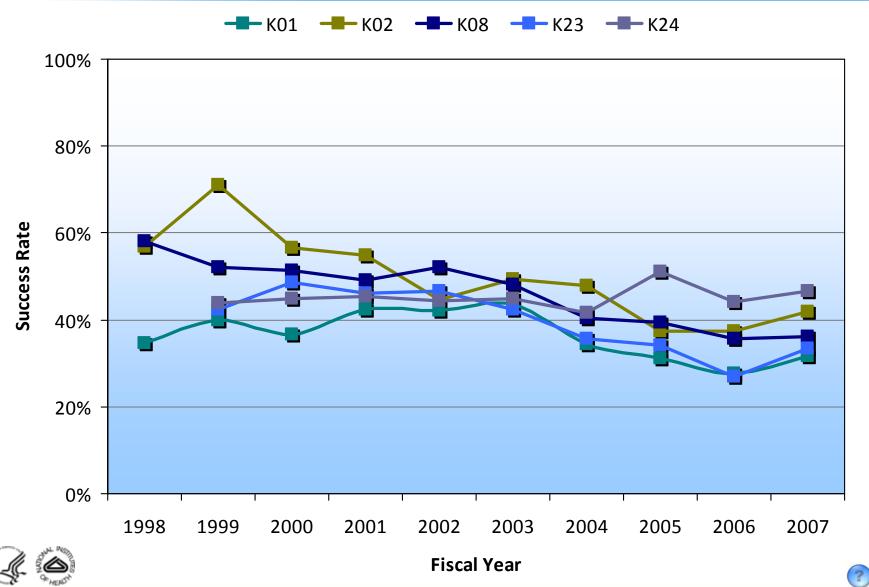


RESEARCH CAREER DEVELOPMENT AWARDS: K24s APPLICATIONS, AWARDS, AND SUCCESS RATES





SELECT RESEARCH CAREER DEVELOPMENT AWARDS APPLICATIONS, AWARDS, AND SUCCESS RATES





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SUCCESS RATES:

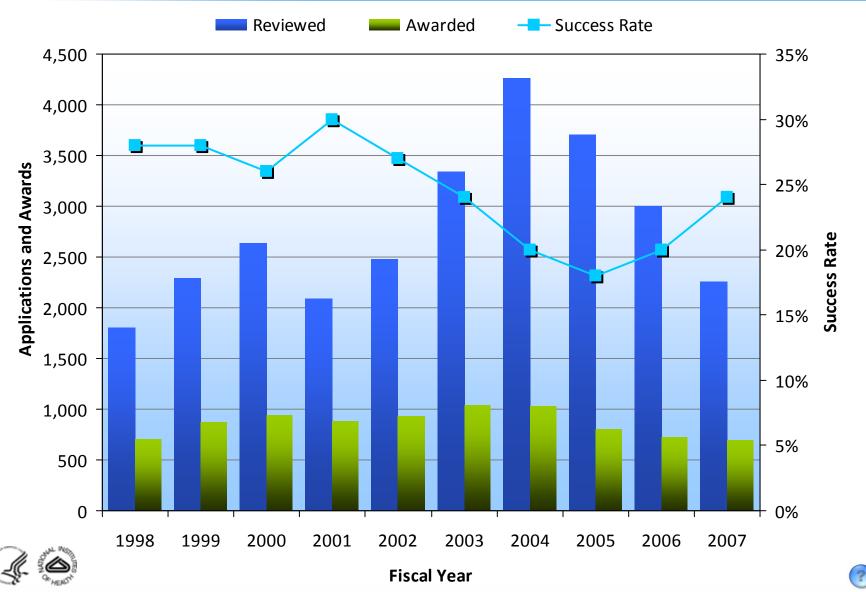
SMALL BUSINESS INNOVATION RESEARCH GRANTS





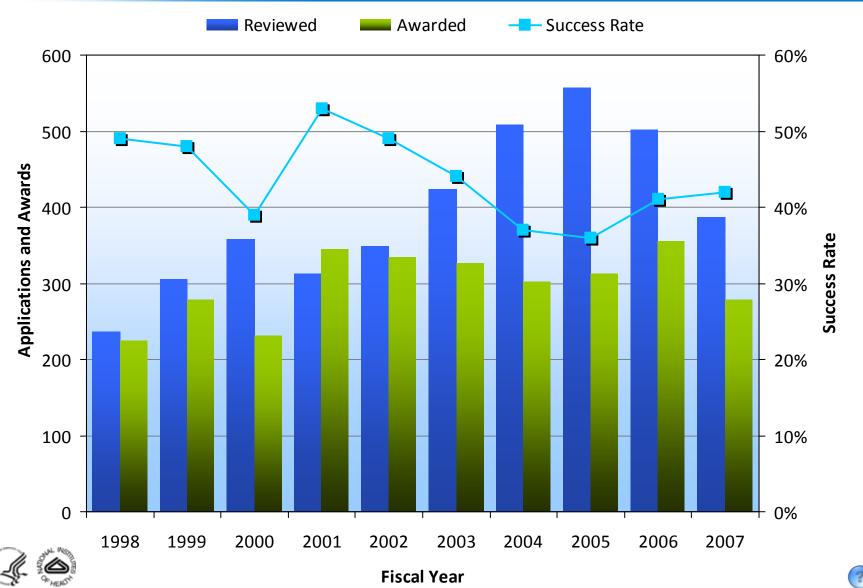


PHASE I SBIR GRANTS APPLICATIONS, AWARDS, AND SUCCESS RATES



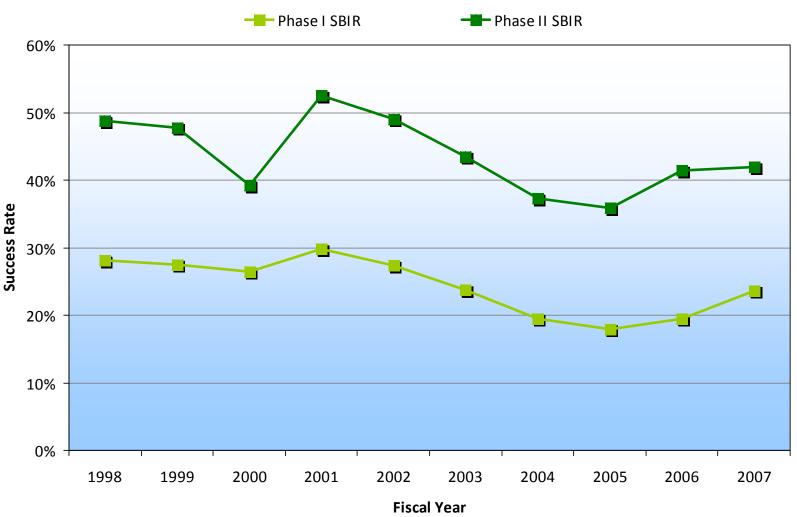


PHASE II SBIR GRANTS APPLICATIONS, AWARDS, AND SUCCESS RATES







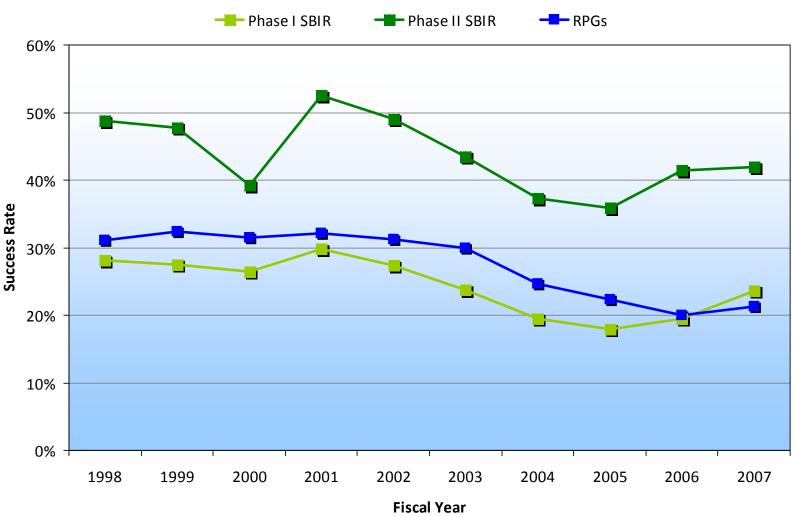










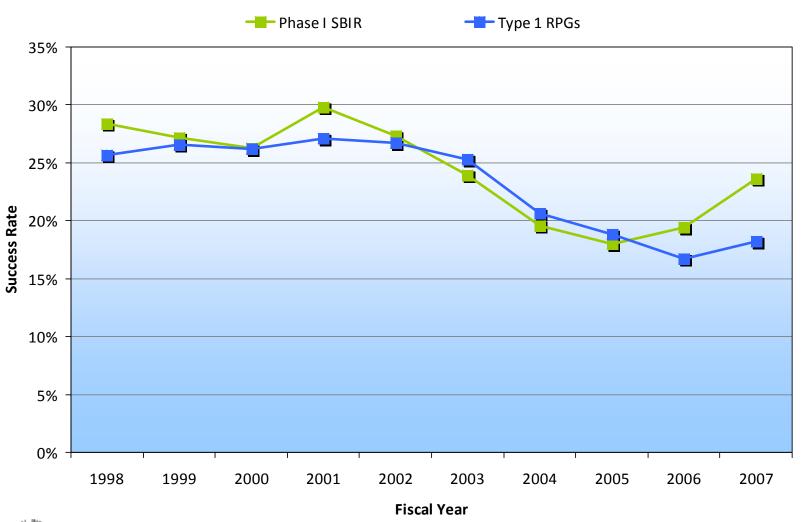








PHASE I SBIR AND TYPE 1 RPG SUCCESS RATES

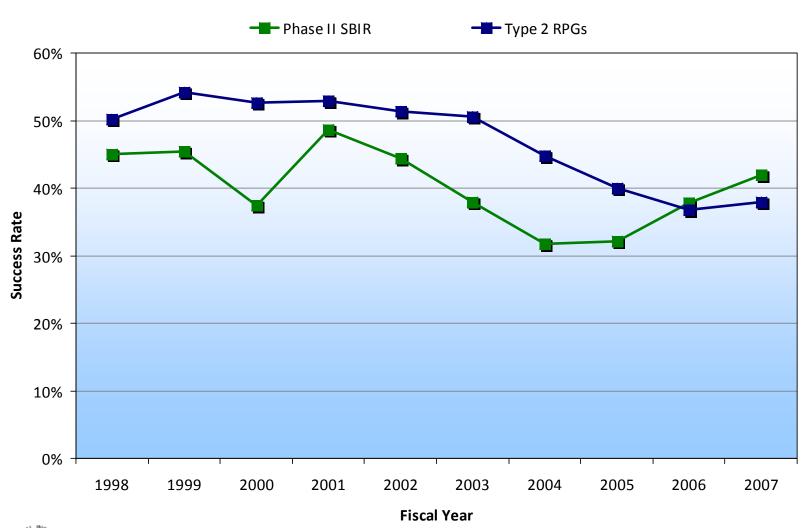








PHASE II SBIR AND TYPE 2 RPG SUCCESS RATES

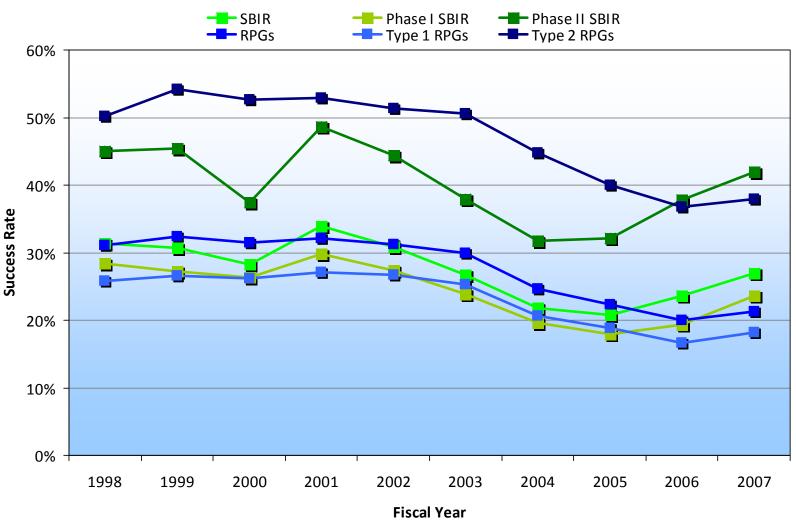










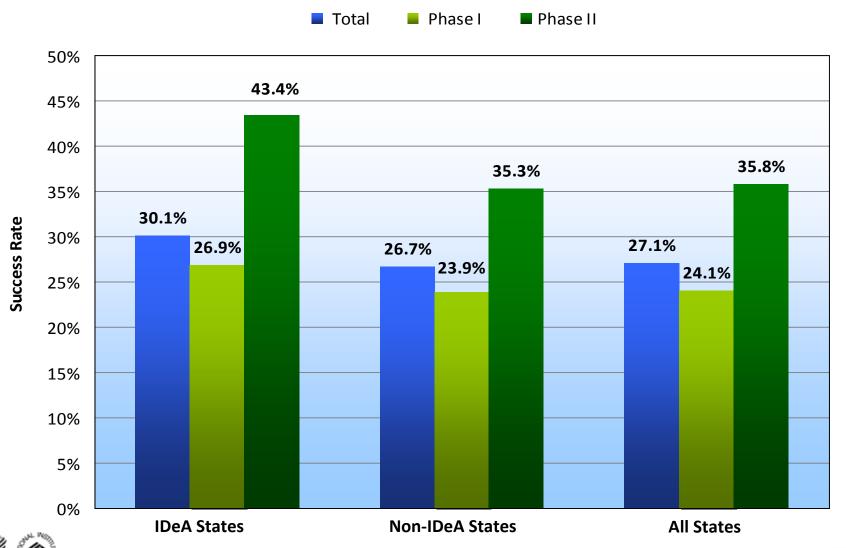








SUCCESS RATES OF SBIR APPLICATIONS: INSTITUTIONAL DEVELOPMENT AWARD (IDeA) AND OTHER STATES FY 2007





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SUCCESS RATES:

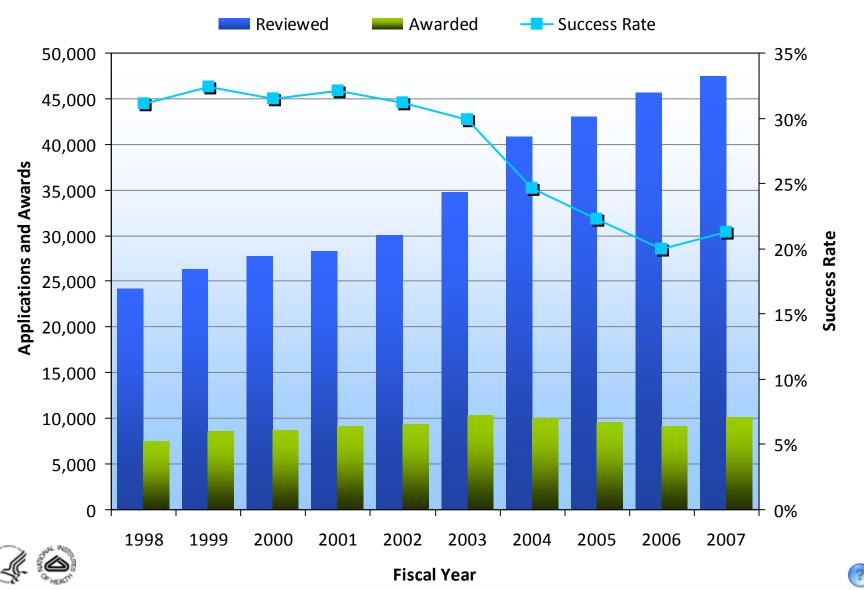
RESEARCH PROJECT GRANTS







RESEARCH PROJECT GRANTS APPLICATIONS, AWARDS, AND SUCCESS RATES





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SUCCESS RATES:

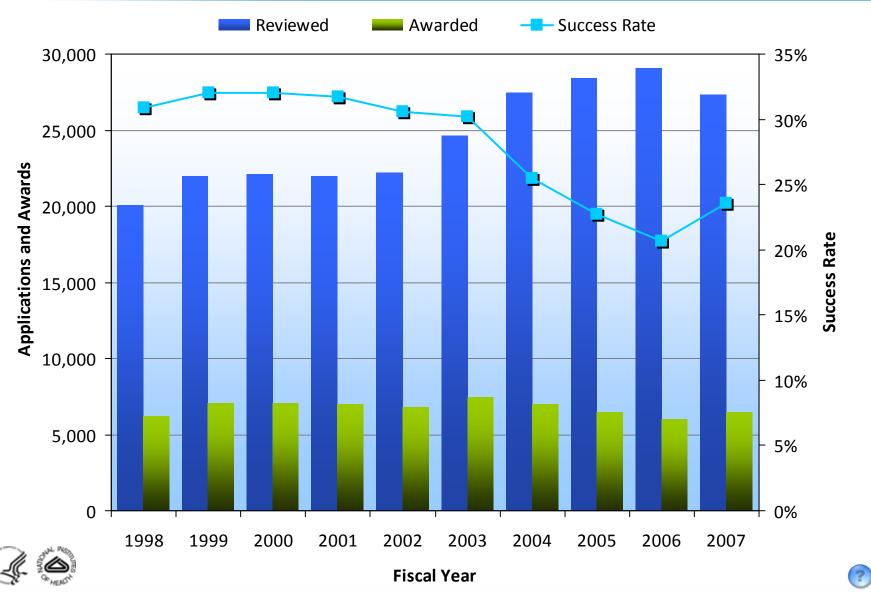
R01-Equivalent Grants







R01-EQUIVALENT GRANTS APPLICATIONS, AWARDS, AND SUCCESS RATES





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SUCCESS RATES:

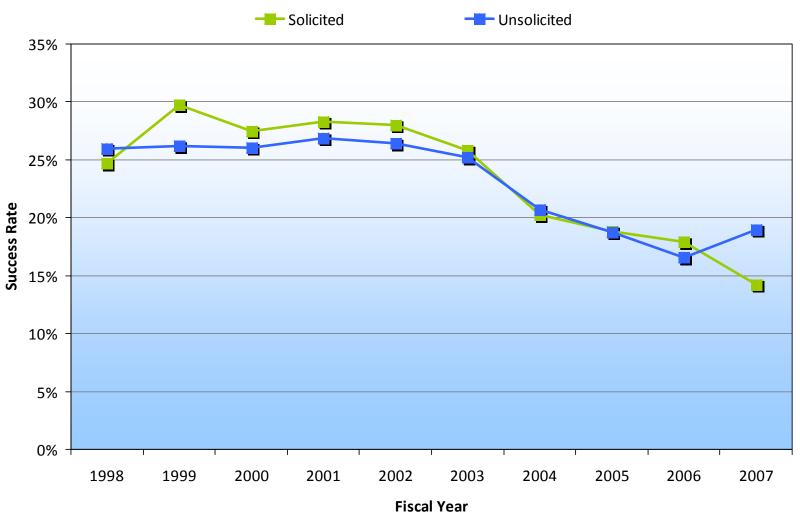
Targeted Research







SUCCESS RATES OF SOLICITED AND UNSOLICITED NEW (TYPE 1) RESEARCH PROJECT GRANT APPLICATIONS









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SUCCESS RATES:

Women in Research

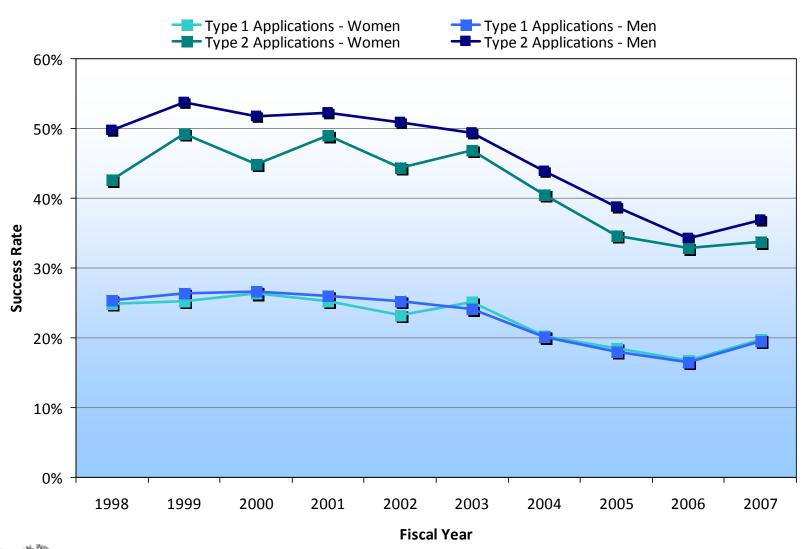


Indication of sex/gender is a voluntary field on the personal data form of the grant application. Information presented in this slide set is based upon those individual who have selected a sex/gender on the grant application. A small percentage of grants have uncoded sex/gender information and have therefore been excluded from the data presented.





SUCCESS RATES OF WOMEN AND MEN ON NEW AND CONTINUING R01 APPLICATIONS

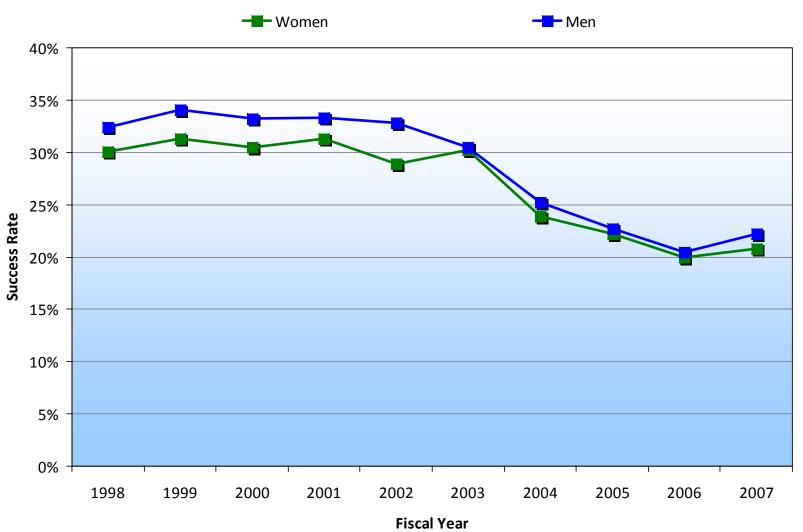








SUCCESS RATES OF WOMEN AND MEN ON COMPETING RESEARCH PROJECT GRANT APPLICATIONS









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SUCCESS RATES:

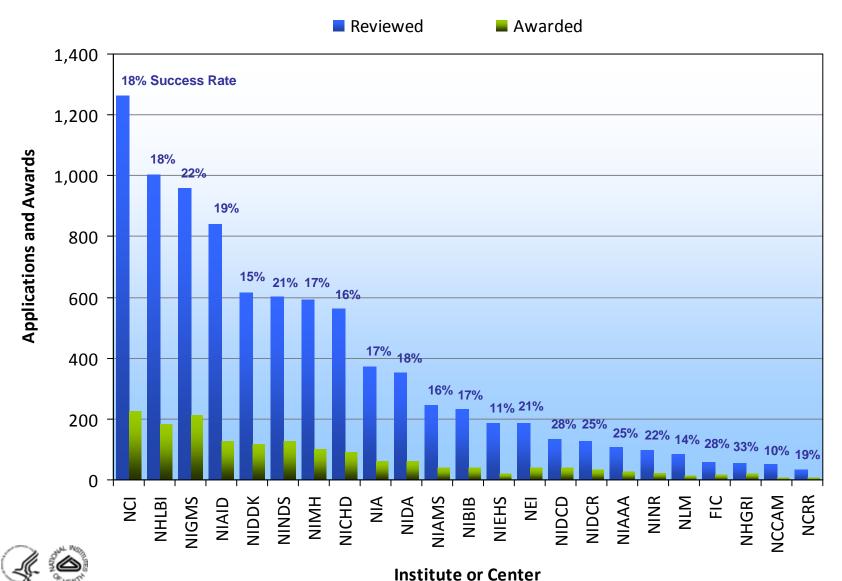
First-Time Investigators





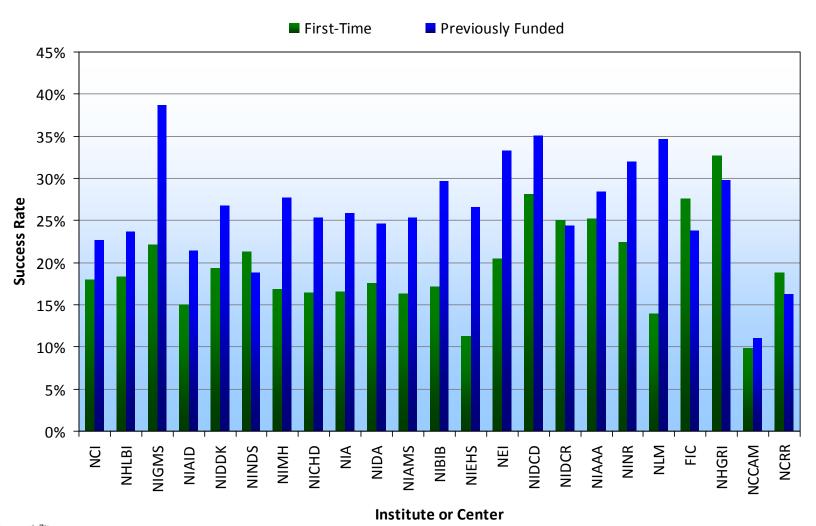


FIRST-TIME INVESTIGATORS APPLICATIONS, AWARDS, AND SUCCESS RATES FY 2007





SUCCESS RATES OF R01-EQUIVALENT APPLICATIONS FROM FIRST-TIME AND ESTABLISHED INVESTIGATORS BY INSTITUTE OR CENTER, FY 2007

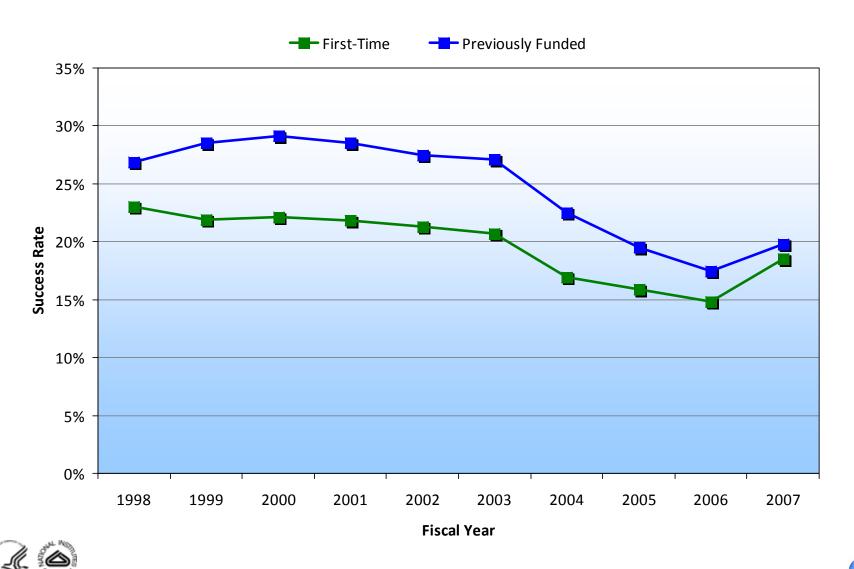








SUCCESS RATES OF NEW (TYPE 1) R01-EQUIVALENT APPLICATIONS FROM FIRST-TIME AND ESTABLISHED INVESTIGATORS







TERM	DEFINITION	ADDITIONAL INFORMATION
Continuing Applications (Type 2)	Renewal (Competing Continuation) – Request for an additional term of support based on a funded previous project; must compete for available funds.	
Funding Rate	Indicates the percentage of applicants receiving awards on a fiscal year basis. This percentage is computed by dividing the number of applicants that received funding by the total number of applicants that competed for a grant in the same fiscal year. Funding rates differ from success rates in that calculated percentages are based on applicants (individuals) and not applications.	
IDeA eligible states	Alaska, Arkansas, Delaware, Hawaii, Idaho, Kansas, Kentucky, Louisiana, Maine, Mississippi, Montana, Nebraska, Nevada, New Hampshire, New Mexico, North Dakota, Oklahoma, Rhode Island, South Dakota, South Carolina, Vermont, West Virginia, Wyoming and Puerto Rico.	Institutional Development Awards
Intramural Research	Research conducted by, or in support of, employees of the NIH.	Intramural Research Scientific Programs
Kirschstein – NRSA (Ruth L. Kirschstein National Research Service Award) Institutional Research Training and Fellowship Mechanisms	NIH's primary research training program which provides awards to both individuals and institutions to provide research training in specified health-related areas. Activities are as follows: T32,T34,T35, T36, T90, TL1, TL2, TU2, F30, F31, F32, F33, F3 and F35 (until 1998).	Ruth L. Kirschstein National Research Service Award (NRSA)



To access data tables for each slide while in presentation view right-click on the slide and select "edit slides" Then double-click on the graph image and the datasheet should appear in a separate window or select the data tab from the spreadsheet. If the datasheet does not appear, right-click in the chart area and select "datasheet"



TERM	DEFINITION	ADDITIONAL INFORMATION
New Application (Type 1)	Request for Department of Health and Human Services support of a project that has not yet been funded	
Non-NRSA Institutional Research Training and Fellowship Grant Mechanisms	Research training that comes under the training authorities of NLM or FIC or otherwise falls outside of the Kirschstein-NRSA program. Activities are as follows: F05, F37, F38, D43, D71, T14, T15, T37, R90, and U2R	Activity Code Descriptions
	These training mechanisms do not fall under the training budget as reported by the Office of Budget.	
Other Research	Research grants not classified as research project or research centers.	
R01 Equivalent Grants	R01, R23, R29 and R37 activities.	Activity Code Descriptions
Research Career	Funding mechanisms for Ph.D.s and clinicians who wish to develop a career in biomedical research. Activities are as follows: K01, K02, K04, K05, K06, K07, K08, K11, K12, K14, K15, K16, K17, K18, K20, K22, K23, K24, K25, K36, K30, K99, KL1 and KL2	K Kiosk – Information about NIH Career Development Awards
Research Centers	Center grants are awarded to institutions on behalf of program directors and groups of collaborating investigators. They provide support for long-term, multi-disciplinary programs of research and development.	
	Activities are as follows: G12, M01, P20, P30, P40, P41, P50, P51, P60, R07, U30, U40, U41, U42, U50 and U54.	





TERM	DEFINITION	ADDITIONAL INFORMATION
Research and Development (R&D) Contracts	A funding mechanism by which the NIH procures specific services. These are negotiated contracts which may be funded from intramural or extramural accounts. Excluded are inter/intra-Agency agreements (Y01 and Y02), resource and support contracts (N02), and station support contracts (N03).	
Research Grants	Extramural awards made for Other Research Grants, Research Centers , Research Projects, and SBIR/STTRs. Includes the following: R, P, M, S, K, U series (excluding UC6) DP1, DP2, D42,G12	Activity Code Descriptions
Research Project Grants (RPGs)	Includes the following selected Research Grant and Cooperative Agreement activities: R00, R01, R03, R15, R21, R22, R23, R29, R33, R34, R35, R36, R37, R55, R56, RL1, RL2, RL5, RL9, P01, P42, PN1, UC1, UC7, U01, U19, U34, DP1, DP2. Also includes RPG's from NLM as of FY07. Exceptions: In 1980 & 1981 activity code, U01 was not a research project. From 1989 until 1992 activity code, R55 was not a research project. In 1986 NINR did not have any research projects. From 1984 until 1989 NCRR did not have any research projects. FIC did not have any research projects until 1994. From 1991 until 1996 NCRR did not consider R21's research projects. NLM had no research projects prior to 2007. In FY 2002, P41s not RPG for NIGMS.	Activity Code Descriptions
Research Training	Awards designed to support the research training of scientists for careers in the biomedical and behavioral sciences, as well as help professional schools to establish, expand, or improve programs of continuing professional education. Training awards consist of institutional training grants (T) and individual fellowships (F).	NIH Research Training Opportunities





TERM	DEFINITION	ADDITIONAL INFORMATION
SBIR	SBIR awards are designed to support projects from small businesses ultimately having commercial viability. STTR awards are a A 3-year pilot program begun in FY 1994 under the Small Business Innovation Research Program designed to foster technological innovations through cooperative efforts between small business and research institutions.	SBIR and STTR Programs SBIR Funding Opportunities
	Activities are as follows: R43, R44, U43 and U44.	
SBIR Phase I	R43 and U43	
SBIR Phase II	R44 and U44	
STTR	A 3-year pilot program begun in FY 1994 under the Small Business Innovation Research Program designed to foster technological innovations through cooperative efforts between small business and research institutions. The STTR grants are awarded for projects having potential for commercialization. For the computation of success rates, STTR awards are not included in the count of RPGs. Activities are as follows: R41, R42	SBIR and STTR Programs
Solicited Research	Responses to Requests for Applications (RFA's)	
Success Rate	Indicates the percentage of reviewed applications receiving funding computed on a fiscal year basis. It is determined by dividing the number of competing applications funded by the sum of the total number of competing applications reviewed and the number of funded carryovers. Success rates differ from funding rates in that calculated percentages are based on applications and not applicants (individuals).	
W NO	NOTE: Applications having one or more amendments in the same fiscal year are only counted once.	



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