Section 1 Metrics: State Adoption and Infrastructure

Compliance Requirement: Adopt NIMS at the State/Territorial level for all government departments and/or agencies; as well as promote and encourage NIMS adoption by associations, utilities, non-governmental organizations (NGOs) and private sector incident management and response organizations.
Monitor formal adoption of NIMS by all local and tribal jurisdictions.
1. Has the state/territory formally adopted NIMS as the all-hazards, incident management system for implementation by all state/territory-level departments and/or agencies?
[] Yes [] No
If "Yes," what legal authority was used to adopt NIMS: [Check all that apply.]
 [] Executive Order [] Proclamation [] Resolution [] Legislation [] Other legal authority, explain: []
If "No," which of the following impedes adoption: [Check all that apply.]
[] Policy [] Personnel [] Funding [] Exercise [] Education [] Other impediments, explain: []
2. For each of the following, indicate to which groups and with which methods the state/territory has promoted and encouraged the adoption of NIMS: [Check all that apply.]

	Meetings (e.g.,. committees, conferences, working groups, etc.)	Mailings (e.g., newsletters, letters, etc.)	Email or other electronic means (e.g., websites)	Exercises (e.g., drills, tabletop, full-scale)	Other methods used to promote and encourage the adoption of NIMS
 Associations (e.g., professional organization or trade associations) 	[]	[]	[]	[1]	[]
Critical Infrastructure	[]	[]	[]	[]	[]
Utilities (e.g., transportation, electric, water)	[]	[]	[]	[]	[]
Private sector incident management and response organizations	[]	[]	[]	[]	[]
Non-governmental organizations (NGOs)	[]	[]	[]	[]	[]
State/territorial departments and/or agencies	[]	[]	[]	[]	[]

Describe efforts made to	promote and encourage	NIMS adoption:	[Narrative.
Describe efforts made to	promote and encourage	NIMS adoption:	Narrative.

•	Which of the following	j impedes the	promotion and encourage	gement of NIMS adoption:	[Check all that apply.
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[] Plans	
[] Policy	
[] Personnel	
[] Funding	
[] Training	
[] Exercise	
[] Education	
[] Other impediments, explain: [1

3. D	oes the state/ter	rritory monitor the formal a	doption of NIMS by tribal	/local jurisdictions?
	[] Yes [] No			
	■ If "Yes," to \	what extent does the state/t	erritory monitor the form	al adoption of NIMS for the following jurisdictions:
	• Loca	al Jurisdictions		
			to monitor NIMS adoption	doption of NIMS on, but local jurisdictions have NOT been monitored formal NIMS adoption, and periodic monitoring is ongoing
	• Triba	al Jurisdictions		
	■ If "No," expl	[] All tribal jurisdictions have	o monitor NIMS adoption, I	ion of NIMS out tribal jurisdictions have NOT been monitored al NIMS adoption, and periodic monitoring is ongoing
	, ·			
4. Ir	n the table below	, indicate the number of ju	risdictions that have forn	nally adopted NIMS: (Note: If tribal jurisdictions do not apply, indicate 0/0)
		Jurisdictions that	Total number of	
		have adopted NIMS	jurisdictions	
	Local	[]	[]	
_	■ Which of the	e following impedes the add	option of NIMS: 「Check a	ill that apply.]
	[] Plans [] Polic [] Perso [] Fund [] Train [] Educ	s y onnel ing ing	1	
F		Jurisdictions that have adopted NIMS	Total number of jurisdictions	
	Tribal	[]	[]	

 Which of the following impedes the adoption of N Plans Policy Personnel Funding Training Education Other impediments, explain: [IIMS: [Check all that ap	pply.]		
Compliance Requirement: Establish a planning process including local/tribal jurisdictions. This process must prove				,
5. Has the state/territory developed a process to comjurisdictions do not apply, indicate "N/A.")	municate, monitor, an	d implement NIMS red	uirements to the following: (Note: If tribal	
	State/territory	Local	Tribal	
Communicate NIMS requirements	Yes, No	Yes, No	Yes, No, N/A	
Develop a NIMS implementation strategy (e.g. schedules, tables, etc.)	Yes, No	Yes, No	Yes, No, N/A	
 Which of the following impedes establishing [] Plans [] Policy [] Personnel [] Funding [] Training [] Education [] Other impediments, explain: [a process to commun	cate, monitor and imp	lement NIMS requirements: [Check all that apply	<i>[</i> .]
Compliance Requirement: Designate a single point of implementation statewide.	contact within the state/	territorial government to	serve as the principal coordinator for NIMS	
6. Has the state/territory designated a single point of coordinator for overall statewide NIMS implement [] Yes [] No		te/territorial governme	nt with the authority to serve as the principal	

If "Yes," who has been designated?	(Nam	e, Title, Email Add	ress, Phone Number, and Mailing Address)
 What was the date of appointment? 		<u></u>	
 If "No," when will the state/territory designate a sir 	ngle point of cont	act for NIMS imple	mentation? (Date)
 Which of the following impedes design 	ating a single poi	nt of contact for N	IMS implementation: [Check all that apply.]
[] Plans [] Policy [] Personnel [] Funding [] Training [] Education [] Other impediments, explain: [1	
Compliance Requirement: To the extent permissible by law, tribal/local jurisdictions is linked to the satisfactory progress in			
7. Does the state/territory monitor compliance with FY06 N Federal preparedness funding to the following: State/territorial departments and/or agencies:	Yes	No	as a condition to distribute DHS funding and other
DHS Funding	[]	[]	
If "No," explain: [L J	[]	
_		T	
	Yes	No	
Other Federal Preparedness Funding	[]	[]	
If "No," explain: [
 Which of the following impedes monitoring complied in the comples of the complete of the comples of the complete of the complete	ance with FY06 N	IIMS implementatio	on requirements: [Check all that apply.]

Has the state/territory completed NIMCAST?				
[]Yes				
[] No				
 If "No," which of the following impedes 	completing NIMCAST:	[Check all that apply.	.]	
[] Plans [] Policy [] Personnel [] Funding [] Training [] Exercise [] Education [] Other impediments, explain: [1			
Local jurisdictions:				
	Yes	No		
DHS Funding	[]	[]		
If "No," explain: []				
			1	
	Yes	No]	
Other Federal Preparedness Funding	[]	[]		
If "No," explain: [
Which of the following impedes menitoring as	mpliance with EV06 N	MC implementation r	roquiromonto: [Choo	uk all that apply 1
 Which of the following impedes monitoring con 	inpliance with F100 Ni	ivio implementation i	equirements. [Chec	k ali tilat apply.]
[] Plans				
[] Policy				
[] Personnel				
[] Funding				
[] Training				
[] Exercise				
[] Education				
[] Other impediments, explain: []			
Fribal jurisdictions:				
•	Yes	No	N/A]
DHS Funding	[]	[]	[]	1
Explain: []		[[]	[[]	-
схріаіп. [J

Other Federal Preparedness Funding	[]	[]	[]	
Explain: []				
Which of the following impedes monitoring compliance	ce with FY06 NIMS ir	nplementation requirer	nents: [Check all that	apply.]
[] Plans [] Policy [] Personnel [] Funding [] Training [] Exercise [] Education [] Other impediments, explain: [1			
Compliance Requirement: To the extent permissible by standard stan				
8. Does the state/territory review NIMS compliance as a p state/territorial departments and/or agencies:			s of:	
DUC Fronting	Yes	No		
DHS Funding	[]	[]		
Explain: []				
	Yes	No		
Other Federal Preparedness Funding	[]	[]		
Explain: []				
Which of the following impedes the state/territorist that apply.] [] Plans [] Policy [] Personnel [] Funding [] Training [] Exercise [] Education [] Other impediments, explain: [Local jurisdictions:	al review of NIMS c	ompliance for state/te	erritorial departments	and/or agencies: [Check all

		Yes	No		
DHS Funding		[]	[]		
Explain: []				
		Vac	No		
Other Federal Preparedness Funding		Yes	No		
Explain: [1	[]	[]		
<u> </u>	1				
Which of the following impedes the	e state/territori	ial review of NIMS co	ompliance for local jui	isdictions: [Check all that app	ly.]
[] Policy [] Personnel [] Funding [] Training [] Exercise [] Education [] Other impediments, explain					
[] Other impediments, explain): [1			
ribal jurisdictions:	ı: [1			
	:: [J Yes	No	N/A	
	:: [Yes	No []	N/A []	
ribal jurisdictions:]				
ribal jurisdictions: DHS Funding]				
Tribal jurisdictions: OHS Funding Explain: []	[]	[]	[]	

las the state/territory monitored and assessed outreach a	State/territory	Local	Tribal	7
Conduct a baseline assessment for the implementation of NIMS requirements	Yes, No	Yes, No	Yes, No, N/A	
Develop a strategy for full NIMS implementation and	Yes, No	Yes, No	Yes, No, N/A	
monitoring If "No," which of the following impedes monitoring ar	·	·		that apply 1
 [] Plans [] Policy [] Personnel [] Funding [] Training [] Education [] Other impediments, explain: []			
V FOR FY07 Compliance Requirement: For departmentle point of contact to serve as principal coordinator for N Has the state/territory required departments and/or agen	IMS implementation.			
contact as principal coordinator for NIMS implementation [] Yes [] No If "Yes," indicate in the table below the number of pr	?		omentation plan to decigna	to a omigio point (
Number of NIMS principal coordinators	Total number of departme	ents and/or agencies		
[]	[]		
 If "No," which of the following impedes designating a [] Plans [] Policy [] Personnel [] Funding [] Training [] Education [] Other impediments, explain: [a single point of contact for	r NIMS implementation:	 [Check all that apply.]	

Section 2 M	letrics: (Command	and	Manag	gement
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Compliance Requirement: Incident Command System (ICS): Manage all emergency incidents and preplanned (recurring/special) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.

Planning and Common Communications Plans, as appropriate.
1. Does the state/territory implement NIMS-prescribed ICS for all-hazards incident response? [] Yes [] No
 If "No," which of the following impedes implementation: [Check all that apply.] [] Plans [] Personnel [] Funding [] Education [] Training [] Exercise [] Other impediments, explain: []
Does the state/territory implement NIMS-prescribed ICS for managing preplanned events? [] Yes
[] No
If "No," which of the following impedes implementation: [Check all that apply.]
[] Plans [] Personnel [] Funding [] Education [] Training [] Exercise [] Other impediments, explain: []

3. Does	ICS implementation include the consistent application of incident action planning?
	[] Yes [] No
•	If "No," which of the following impedes the consistent application of incident action planning: [Check all that apply.]
	[] Plans [] Personnel [] Funding [] Education [] Training [] Exercise [] Other impediments, explain: []
4. Does	ICS implementation include the consistent application of common communications plans?
	[]Yes []No
•	If "No," which of the following impedes the consistent application of common communication plans: [Check all that apply.]
	 [] Plans [] Personnel [] Funding [] Education [] Training [] Exercise [] Other impediments, explain: []

Do incident action plans incorporate the following ICS concepts::		
	Yes	No
Designation of measurable objectives	[]	[]
■ If "No," explain: []		
Designation of command staff positions	[]	[]
■ If "No," explain: []		
Manageable span of control	[]	[]
■ If "No," explain: [
Clear chain of command	[]	[]
■ If "No," explain: [
Use of plain language	[]	[]
■ If "No," explain: []		

6. Do common communication plans address:		
	Yes	No
Utilization of communications equipment and facilities assigned to the incident	[]	[]
If "No," explain: []		
Installation and testing of all communications equipment	[]	[]
■ If "No," explain: [
Supervision and operation of the incident communications	[]	[]
■ If "No," explain: [
Distribution and recovery of communications equipment assigned to incident personnel	[]	[]
■ If "No," explain: [
Maintenance and repair of communications equipment on site	[]	[]
■ If "No," explain: [

Compliance Requirement: Multi-agency Coordination System: Coordinate and support emergency incident and event management through the development and use of integrated multi-agency coordination systems, i.e. - develop and maintain connectivity capability between local Incident Command Posts (ICP), local 911 Centers, local Emergency Operations Centers (EOCs), the state/territorial EOC and regional/Federal EOCs and NRP organizational elements.

7. Which of the following does the state/territory provide to encourage the use of multi-agency coordination systems (MACS):

	Yes	No
Framework (e.g., organizational capacities, state EOPs, state disaster plans)	[]	[]
■ If "No," explain: []	
Training Curriculum	[]	[]
■ If "No," explain: []	
Exercises	[]	[]
■ If "No," explain: []	

Does the state/territory designate or utilize MACS for:		
	Yes	No
Preplanned Events (Recurring/Special)	[]	[]
 If "Yes," explain how a MACS has been successfully utilized to m 	anage preplanned events: []
Incident-specific Hazards	[]	[]
 If "Yes," explain how a MACS has been successfully utilized to m 	anage incident-specific hazar	ds: []
No-notice Events	[]	[]
 If "Yes," explain how a MACS has been successfully utilized to m 	anage no-notice events: []
Specific Events	[]	[]
 If "Yes," explain how a MACS has been successfully utilized to sp 	pecific events: []
 Which of the following impedes establishing a MACS: [Check all that a [] Plans [] Policy [] Training [] Organization [] Personnel [] Funding [] Other impediments, explain: [] 	apply.]	
Which of the following primary functions is coordinated by a State/territory M [] Situation assessment [] Critical resource acquisition and allocation [] Local/tribal, State/territory, and Federal disaster coordination [] Coordination with elected and appointed officials [] Coordination of summary information [] Incident priority determination [] Other functions that state/territorial MACS provide, explain: [MACS: [Check all that apply.]	

Compliance Requirement: Public Information System: Institutionalize, within the framework of ICS, the Public Information System, comprising of the Joint Information System (JIS) and a Joint Information Center (JIC). The Public Information System will ensure an organized, integrated, and coordinated mechanism to perform critical emergency information, crisis communications and public affairs functions which is timely, accurate, and consistent. This includes training for designated participants from the Governor's office and key state/territory departments and agencies.
10. Does the state/territorial Emergency Operations Plan (EOP) include processes and procedures for utilizing a Public Information System (PIS), including

	es the state/territorial Emergency Operations Plan (EOP) include processes and procedures for utilizing a Public Information System (PIS), including ablishment of a Joint Information System (JIS) and a Joint Information Center (JIC) as incidents dictate?
	[]Yes []No
•	If "Yes," how many individuals are trained in utilizing the PIS [] out of a total of []?
•	If "No," explain: []
	 Which of the following impedes the inclusion of processes and procedures for utilizing a PIS into the state/territorial EOP: [Check all that apply.]
	 [] Plans [] Personnel [] Funding [] Education [] Training [] Exercise [] Other impediments, explain: []

NEW FOR FY07 Compliance Requirement: Ensure that the state/territorial Public Information System can gather, verify, coordinate, and disseminate information during an incident.

11. During incidents, can the PIS gather, verify, coordinate, and disseminate the following types of information: [Check all that apply.]

	Gather	Verify	Coordinate	Disseminate
Critical Emergency Information	[]	[]	[]	[]
Crisis Communication	[]	[]	[]	[]
Public Affairs	[]	[]	[]	[]
Other types of information	[]	[]	[]	[]

Section 3 Metrics: Preparedness Planning

Compliance Requirement: Establish the state/territory's NIMS baseline against the FY05 and FY06 implementation requirements. 1. Has a state/territory-level NIMS baseline been established for FY05 and/or FY06? []Yes [] No If "Yes," what tool was used: [] NIMCAST [] Other, explain: [1 If "No," explain: [Which of the following impedes establishing a NIMS baseline: [Check all that apply.] [] Plans [] Personnel [] Funding [] Education [] Training [] Other impediments, explain: [Compliance Requirement: Coordinate and leverage all Federal preparedness funding to implement the NIMS. 2. Has the State/territory utilized Federal preparedness funding to improve NIMS preparedness and response activities? []Yes [] No If "Yes," indicate the Federal preparedness funding sources used: [] DHS, specify funding sources: [[] Other Federal preparedness funding If "No," explain: [Which of the following impedes utilizing Federal preparedness funding to improve activities: [Check all that apply.] [] Plans [] Personnel

[] Funding [] Education

[] Training [] Exercise [] Other impediments, explain: []	
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Compliance Requirement: Revise and update plans and SOPs to incorporate NIMS and National Response Plan (NRP) components, principles, and policies, to include planning, training, response, exercises, equipment, evaluation, and corrective actions.

3. Indicate in the table below the number of state/territorial departments and/or agencies with an emergency management or emergency response function that have incorporated NIMS into the following activities:

	Number of departments and/or agencies that have incorporated NIMS		Total number of departments and/or agencies	
Planning]	1	[]
Training Programs]]	[1
Response Activities]	1	[1
Exercise Program	[1	[1
Equipment Acquisition]	1	[1
Evaluations	[1	[1
Corrective Actions	[1	[1

4. To what extent have the following NIMS concepts and principles been incorporated into incident management policies and SOPs/SOGs:

	Not Incorporated	Partially Incorporated	Fully Incorporated
Flexibility	[]	[]	[]
Scalability	[]	[]	[]
Standardization	[]	[]	[]
Interoperability & Compatibility	[]	[]	[]

5. During an incident, can the following plans be integrated	ed with the Federal	response as descril	ped in the NRP:
	Yes	No	
State Emergency Operations Plan (EOP)	[]	[]	
Other plans, explain [[]	[]	
If "No," explain: []			
Compliance Requirement: Promote intrastate and interorganizations).	ragency mutual aid	agreements (to inclu	ude agreements with the private sector and non-governmental
 6. What actions have been taken by the state/territory [] Developed working groups and/or committed it is groups and/or committed in it is groups and/or communicated it is groups and it is groups and/or communicated it is groups and/or committed it is groups and/	tees emorandum of Agr none/email to state/territorial of to local jurisdiction to tribal jurisdiction o promote intrasta	reement departments and/o ns ns ite and interagency	r agencies r mutual aid agreements, explain: [

7. What actions have been taken by the state/territory to promote mutual aid agreements with private sector and non-governmental organizations: [Check all that apply.]	
 [] Developed working groups and/or committees [] Signed Memorandum of Understanding/Memorandum of Agreement [] Engaged in regular correspondence via phone/email [] Developed mutual aid templates [] Other actions taken by the state/territory to promote mutual aid agreements with private sector and non-governmental organizations, explain: [] Other actions taken by the state/territory to promote mutual aid agreements with private sector and non-governmental organizations, explain: 	
[] No actions have been taken, explain: []	

8. Indicate the types of mutual aid agreements that the state/territory has trained and/or exercised:

	Interstate	Intrastate	Interagency
Training	[]	[]	[]
Exercises	[]	[]	[]

Secti	ion 4 Metrics	: Preparedne	ess: Training	
Compliance Requirement: Leverage training Curriculum.	ng facilities to coordinate ar	nd deliver NIMS training requ	uirements in conformance wit	th the NIMS National Standard
Does state/territory NIMS-related trainir	ng conform to the NIMS N	ational Standard Curriculu	m?	
[] No				
If "No," which of the following imp apply.]	pedes NIMS-related trainin	g from conforming to the	NIMS National Standard Cu	urriculum: [Check all that
[] Plans [] Policy [] Training [] Organization [] Personnel				
[] Funding [] Other impediments, explair	n: []			
2. Indicate in the table below the type and nu	umber of facilities currently b	peing utilized to deliver NIMS	S training.	
Type of Facility	Number of Faci	lities Utilized To	tal Number of Available Fac	ilities
e.g., Community College, Police Training Facilities, Fire/Rescue Training Facilities or Other Training Facilities	g s [1	[]	
 Which of the following impedes utilizing 	ing facilities to deliver NIMS	training: [Check all that app	bly.]	
[] Plans [] Policy [] Training [] Organization [] Personnel [] Funding [] Other impediments, explain:	[]			
3. The state/territory has: [Check all that appl	ly.]			
	State/territorial departments and/or	Local jurisdictions	Tribal jurisdictions	Other emergency response organizations

[]	[]	[]	r 1				
			[]				
Explain any unchecked boxes: []							
[]	[]	[]	[]				
Explain any unchecked boxes: []							
[]	[]	[]	[]				
1							
[]	[]	[]	[]				
]							
[]	[]	[]	[]				

4. Does the state/territory document training status of personnel from:

	Yes	No	Not applicable
State/territorial departments and/or agencies	[]	[]	
Local jurisdictions	[]	[]	
Tribal jurisdictions	[]	[]	[]
Other emergency response organizations	[]	[]	

Compliance Requirement: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training.

NEW FOR FY07 Compliance Requirement: Complete ICS-300 and ICS-400 Training.

5. In the following table, indicate the number of people trained in the following courses (out of the total number of people identified): [Gray boxes are not applicable.]

	Entry-level first responders	First line supervisors	supervisors management and response personnel in middle command and management and response personnel in command and general staff		Personnel trained as ICS trainers
IS-700	[]	[]	[]	[]	
IS-800			[]	[]	
ICS-100	[]	[]	[]	[]	[]
ICS-200	[]	[]	[]	[]	[]
ICS-300			[]	[]	[]
ICS-400			[]	[]	[]

Section 5 Metrics: Preparedness Exercises

Compliance Requirement: Incorporate NIMS/ICS into all state/territory and regional training and exercises.

1. Into which of the following activities are NIMS/ICS incorporated:

	Training	Exercises
State/territory Level	[]	[]
Regional Level	[]	[]

	L 3		
Regional Level	[]	[]	
 Which of the following impedes income [] Plans [] Personnel [] Funding [] Education [] Training [] Other impediments, explain: 		ICS into state/terr	itory and regional training and exercises: [Check all that apply.]
Compliance Requirement: Participate in an autrisdictions.	all-hazard exercis	e program based o	n NIMS that involves responders from multiple disciplines and multiple
2. Indicate the number of exercises of each [/] Drills [/] Tabletop Exercises (TTX) [/] Functional Exercises (FE) [/] Full-Scale Exercises (FSE) [/] Other types of exercises in v • Which of the following NIMS compo [] Preparedness [] Communication and Informa [] Resource Management [] Command and Management [] Mutual Aid [] Interoperability [] Participation of non-governn [] Other NIMS-related compone	which NIMS has be nents are evaluation Managemen	een implemented ted through the e t	xercises: [Check all that apply.]

	How have each of these exercises been evalua	ated for effectiver	ness of NIMS implementation? Explain: []
•	Which of the following impedes evaluating NIM	MS implementation	on during exercises: [Check all that apply.]	
	[] Plans [] Personnel [] Funding [] Education [] Training	·		
	[] Other impediments, explain: []		
3. Do	es the state/territory have an all-hazards exercis [] Yes [] No If "No," which of the following impedes develo		rds exercise program: [Check all that apply.]	
	[] Plans [] Personnel [] Funding [] Education [] Training [] Other impediments, explain: [1		
4. Ind	cate the number of functional exercises that inv	olve a multi-disc	iplinary and/or multi-jurisdictional component:	

	FEMA Region		Multi-State		Intrastate		Other		None	
Multi- disciplinary	[]	[]]]	[]	[]
Multi- jurisdictional	[]	[]	[]	[]	[]

• Of these, in which of the following types of exercises has NIMS been implemented as the all-hazards, incident management system: [Check all that apply.]

	Drills	Tabletop Exercises	Functional Exercises	Full-Scale Exercises	Other
Multi- disciplinary	[]	[]	[]	[]	[]
Multi- jurisdictional	[]	[]	[]	[]	[]

• E	explain how these exercises evaluate the effectiveness of NIMS implementation: []
- V	Vhat number of exercises used HSEEP methodology? (Out of total number of exercises) [/]
• j	state/territory does not participate in multi-jurisdictional and/or multi-disciplinary, all-hazards exercises, explain: [
- V	Which of the following disciplines are included in multi-disciplinary exercises that evaluate NIMS implementation: [Check all that apply.]
- \	[] Public Works [] Health and Medical [] Fire/Hazardous Materials (HAZMAT) [] Emergency Medical Services (EMS) [] Law Enforcement [] Search and Rescue [] Animal Control and Veterinary Health [] Incident Management [] Other disciplines, explain: [] Which of the following impedes the implementation of NIMS in training and exercises: [Check all that apply.] [] Plans [] Policy [] Equipment [] Funding [] Education
	[] Other impediments, explain: []
	FY06, how many state/territorial exercise scenarios required the activation of the National Response Plan (NRP)? (Out of a total number of exercise rios) [/]
[ercises being planned in FY07 that are expected to require the activation of the National Response Plan?] Yes] No
Complia	nce Requirement: Incorporate corrective actions into preparedness and response plans and procedures.
7. Do sta	te/territorial departments and/or agencies develop after action reports and/or lessons learned following exercises?
Ī] Yes] No "No," explain: [
•	Which of the following impedes developing after action reports and/or lessons learned: [Check all that apply.] [] Plans

	[] Policy [] Personnel [] Funding [] Education [] Training	
	[] Other impediments, explain: []	
8. State/territorial dep	epartments and/or agencies incorporate corrective action plans, after action repork	s, and/or lessons learned into which of the
	e plans e procedures y plans and procedures	
	e following impedes incorporating corrective action plans, after action reports, and	l/or lessons learned: [Check all that apply.]
[] Plans [] Policy [] Person [] Fundin [] Educat [] Trainin [] Other i	y onnel ling ation	
9. Does the state/territor	ritory utilize improvement plans and after action reports to maintain a Corrective Action P	rogram?
[] Yes [] No		
■ If "No," explain	in: []	

Section 6 Metrics: Resource Management
Compliance Requirement: Inventory state/territory response assets to conform to homeland security resource typing standards.
1. Has the state/territory inventoried its response resources?
[] Yes [] No
 If "No," which of the following impedes inventorying response resources: [Check all that apply.]
[] Policy [] Personnel [] Equipment [] Training [] Funding [] Guication [] Other impediments, explain: []
2. Has the state/territory developed a response asset inventory?
[] Yes [] No
 If "No," which of the following impedes developing a response asset inventory: [Check all that apply.]
 [] Plans [] Policy [] Personnel [] Equipment [] Training [] Funding [] Education [] Other impediments, explain: []
[] o m.e. m.pos.m.e.m. []
3. Does the state/territory use resource typing for response assets?
[] Yes [] No
If "Yes," has the state/territory typed its response assets to conform to DHS standards, as identified by the NIC?

[]Yes []No						
■ If "No," explain: []					
4. Indicate in the table below t	the number of jurisdictions that have provided an in	nventory of resources to the state/territory:				
	Number of jurisdictions that have provided an inventory of resources	Total number of jurisdictions	Not applicable			
Local	[]	[]				
Tribal	[]	[]	[]			
 Are these resources t 	yped in accordance with NIMS?					
[] Yes [] No						
 Which of the following impedes the adoption of resource typing: [Check all that apply.] 						
[] Plans [] Federal Standards [] Policy [] Training [] Funding						
[] Education	aanta aymisia. I					
[] Other impedim		diment. [
• II more than or	ne impediment checked, identify the greatest imper	alment: [
Compliance Requirement: To the extent permissible by state/territorial and local/tribal law, ensure that relevant national standards and guidance to achieve equipment, communication and data interoperability are incorporated into state/territorial and local/tribal acquisition programs.						
5. To what extent does the state/territory require its acquisitions and nurchases to adopt interoperable standards:						

5. To what extent does the state/territory require its acquisitions and purchases to adopt interoperable standards

	Not Required	Partially Required	Fully Required
Equipment	[]	[]	[]
Communications	[]	[]	[]

	Data	[]	[]	[]		
	Which of the following in [] Plans [] Policy [] Personnel [] Equipment [] Training [] Exercise [] Funding [] Education [] Other impediment	npedes acquisitions and pur	chases from ado	pting interope	rable standards:	: [Check all that apply.]
	To what extent does the state/te data when purchasing interoper [] Not Incorporated [] Partially Incorporated [] Fully Incorporated		gram incorporate	the Standard Ed	quipment List (SE	EL) and other Federal equipment standards
NE	W FOR FY07 Compliance Req	quirement: Validate that the in	ventory of respons	se assets confo	rms to homeland	security resource typing standards.
7. 1	Has the state/territory validated to [] Yes [] No If "Yes," does the inver [] Yes [] No If "No," does the state/	ntory conform to homeland sec		ing standards?		
				Yes	No	
	Build or adopt a databas	se to serve as a response asse	et inventory	[]	[]	
	Identify resources for a	response asset inventory		[]	[]	
	Compile data and expar	nd the response asset inventor	y	[]	[]	

NEW FOR FY07 Compliance Requirement: Utilize the state/territory response asset inventory for Intra and Inter-State Mutual Aid (such as Emergency Management Assistance Compact (EMAC)) requests, exercises, and actual events.

8. Does the state/territory utilize the response asset inventory for:

	Yes	No
Mutual Aid	[]	[]
■ If "No," explain: []	
Exercises	[]	[]
■ If "No," explain: []	
Actual Events	[]	[]
■ If "No," explain: []	

Section 7 Metrics: Communication and Information Management

Compliance Requirement: Apply standardized and consistent terminology, including the establishment of plain language communications standards across the public safety sector.

1. During a multi-jurisdictional and/or multi-agency event, to what extent does the state/territory implement the following communication standards:

	Not Implemented	Partially Implemented	Fully Implemented
Plain Language	[]	[]	[]
Standardized terminology in response operations	[]	[]	[]
Standardized terminology in publications	[]	[]	[]

Which of the following impedes implementing communication standards: [Check all that apply.]

[] Plans

[] Policy

[] Personnel [] Equipment

[] Training

[] Exercise

[] Funding

[] Education

[] Other impediments, explain: [

]

NEW FOR FY07 Compliance Requirement: Develop systems, tools, and processes to present consistent and accurate information to incident managers at all levels (e.g., common operating picture).

2. Which of the following has the state/territory developed to present consistent and accurate information during an incident:

	Systems	Tools	Processes
Communications	[]	[]	[]
Intelligence	[]	[]	[]
Information Management	[]	[]	[]
Information Sharing	[]	[]	[]
Resource Status	[]	[]	[]