

REQUEST FOR PROPOSALS December 19th, 2008

PERIOD OF PROPOSAL SUBMISSION ENDS: 5:30 PM Wednesday, January 21st, 2009

The U.S.-China Economic and Security Review Commission (hereafter "the Commission") invites submission of proposals to provide a one-time unclassified report examining the state of U.S. export control policy as it relates to the People's Republic of China (PRC).

ABOUT THE COMMISSION. The Commission was established by Congress in 2000 to monitor and report to Congress on the economic and national security dimensions of the United States' trade and economic ties with the PRC. Further details about the Commission are available on its website at: www.ussec.gov.

ABOUT PROPOSALS. The Commission solicits proposals from contractors capable of providing a one-time unclassified report that would examine the recent history and current state of U.S. export control policy as it pertains to the PRC.

Key issues and questions to be addressed by the report are:

- Perform a general survey of sales of equipment and technology subject to export controls from the United States to the PRC since the imposition by the U.S. government of restrictions on the sales of certain such items in the wake of the 1989 Tiananmen crackdown. Provide a general assessment as to the extent to which U.S. policy over the past two decades has been successful in preventing the export to China of technology with military applications.
- Identify and explicate examples of technology transfers from the U.S. to the PRC over the past two decades that have, or likely have, increased the capabilities of the Chinese armed forces.
- Examine the provisions and assess the effectiveness of the Commerce Department's Validated End User (VEU) program, which is intended to allow selected Chinese companies of assessed greater reliability to enjoy greater latitude in purchasing controlled items from the United States. Building off of the findings of a September 2008 Government Accountability Office study of the VEU program, explicate and analyze the VEU evaluation process as it relates to Chinese firms, with a particular eye towards case study analysis of the Chinese firms who are current participants in the program. Assess (if sufficient evidence is

- available) the likely future success of the VEU program in preventing the spread of dual-use technologies to the Chinese military.
- Assess the likely impacts that transfers of controlled technologies to the PRC, in line with current policies, have had on the competitiveness of U.S. industry.
- Examine and assess whether or not recent exports by U.S. firms to the PRC of certain types of security & police equipment may have violated U.S. export control restrictions.

Additional Requirements of the Commission:

- Prior to responding to this RFP, the responding entity must be currently registered in the federal Central Contractor Registry (CCR), and it shall confirm its current registration in its proposal.
- Once a contractor has been selected for this project by the Commission and a contract signed, public notice of this will be made on the Commission's website.
- Once a contractor has been selected by the Commission and a contract signed, a draft of the report must be submitted to the Commission for review no later than 120 days from the date of contract confirmation. The Commission will then endeavor to provide comments and requests for adjustments within 30 days; subsequently, the final report must be submitted within 30 days of formal receipt of the Commission's comments.
- As work on the report progresses, the Commission's Research Coordinator shall act as a representative of the Commission in monitoring the progress, quality, and relevance of the report to the identified major issues of concern. The Research Coordinator shall, on request to the contractor, be entitled to informal briefings on the status of the research work and to readings of the draft in progress.
- The contract shall be subject to termination if the Commission deems that the work is of unsatisfactory quality.
- At the Commission's discretion, the report procured via this Request for Proposal may be posted on the Commission's website.
- Each organization or individual responding to this request must warrant that it/he/she will perform this work solely for the Commission, and that the resulting report will not be shared with other parties without the prior written consent of the Commission.
- The Commission expects contractors to identify all personnel working on the contract, and that there not be any delegation of responsibilities to other parties without prior approval of the Commission.

Primary Selection Criteria:

- The Commission will determine which organization or individual responding to this request will be awarded the contract based on a comprehensive "best value" analysis of the proposals received, to include costs, technical value, and ability to complete the work satisfactorily and on time.
- The primary weighting criterion in selection shall be the assessed qualifications and ability of an organization or individual to address the fundamental research points enunciated above ("issues and questions to be addressed by the report").

- The cost and amount of time necessary to complete the report will also be considered as criteria in the selection process.

Proposal submissions should include:

- A statement of the applicant's relevant qualifications to satisfy the terms of this request for proposals (RFP);
- An description of the research methodology the applicant proposes to employ;
- An estimate of the time the applicant will need to complete the required work;
- The price the applicant will charge to the Commission to complete the work set forth in this RFP.

Firms and individuals wishing to submit a proposal in response to this Request for Proposal must ensure that the response arrives at the following location by **5:30 p.m. on Wednesday, January 21st, 2009**, or it will not be accepted or considered.

Electronic submissions are acceptable. Proposals, as well as any other correspondence related to this matter, should be directed to:

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