Commons Working Group

Jan. 11, 2004

San Antonio, TX

CGAP Update

Oct./Nov. Pilot

- Modular R01s, no subcontracts (R03, R15, R21)

- Received 20 submissions

- 13 submissions processed through Recpt. & Referral (Waiting for Feb peer review meetings)

- All submission sent paper as backup and some requested that the paper be sent to review

Oct./Nov. Pilot
Participating Service Providers

- RAMS

- ERA Software Systems (GAMS)

- InfoEd

- Cayuse

- Clinical Tools Inc. (HealthProposal.net) *

    * Did not successfully transmit proposals

Oct./Nov. Pilot
Participating Institutions

- Penn State University
- Virginia Commonwealth University
- University of Michigan
- University of Miami
- Oregon Research Institute
- St. Jude's Children's Hospital

- University of Connecticut
- University of Illinois
- Washington University
- Johns Hopkins University
- Olin Neuropsychiatry Research Center
- Children's Mercy Hospital
- University of Massachusetts

Signature Process

- PI & SO logged into Commons
- Viewed application
- Clicked button acknowledging same language as on paper forms

Major Issues

- Key Personnel
- 24-hour wait to view and sign off on PDF
- PI and Institution info not matching between application and profile
- PDF not exactly the same as standard forms
  - Pagination issues
  - Table of Contents
- PDF Quality (mostly SP conversion issues)
- Cryptic error messages

Feedback

- Systems weren't ready
- Constant flux and changes (comfort level)
- SRA didn't know what was going on
- Compressed timeline cause other issues (i.e., assignment mailers)
- At least one school will skip a cycle before trying again

Next Pilot Phase

- March deadline (not February)

- 12–24 Applications

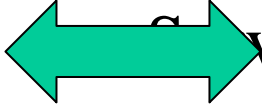- Same mechanisms and scope (R01s, etc.)

Major Changes

- Key Personnel
- Web Services to retrieve/validate personnel/organization info
- Validation Service
- More notifications
- Immediate viewing of PDF

Misc. Information

- 1–2 schools have shown interest in becoming their own SP
- June/July deadline
  - Full budgets
  - Revisions
- Possibly out of cycle RFA/PA before June/July deadline
- Instructions and other info on eRA Web site

Business Relationships

- Three different relationships:
  - NIH ⟷ Institution
  - NIH ⟷ Service Provider
  - Institution ⟷ Service Provider

- How do we formalize these relationships?

Grants.gov

- March pilot
- 3–5 testers
- Paper and electronic
- Paper can be printed PureEdge forms or Standard 398 Forms
- Depends on successful delivery of PureEdge 398 forms
- Electronic Paper Process
- Grants.gov has tentatively decided to use NIH 398 Schema

Organizational Hierarchy

Plan

- Want to use same scheme for all of eRA
- Probably about a year before implementation
- Want to use existing structure for short term
- Later, implement new structure
  - without user interruption
  - all backend changes
  - inst. will be more flexible

Issues—Security Related

- Security will be tied to hierarchy level and role

- Delegations to be tied to hierarchy level

- Unassigned people will default to root

- Two types of SO
  - Institutional
  - School level (distributed environment)

Issues—Grant Related

- Hierarchy ties to rankings
- Lag time in NIH making major component and dept. assignments
- Who should see unassigned grants through Status?
- Considering letting institution make and change major component and dept. assignments
- Need to guard against "stacking"

Demo Time

View Hierarchy Prototype