



NIH Request for Information

- Coordinate with OSTP
 - OSTP – Probe level of support for overall concept of multiple PIs across all federal agencies
- NIH
 - Issues specific to NIH
 - Desirability of apportionment of dollars
 - Impact on and value of Departmental Ranking Tables
 - Desirability of offering linked awards when PIs are at different Institutions
 - Collect information through Web site



NIH Draft - RFI

- Tentative Implementation Plan
 - Grant applications permitting more than one PI
 - Shared responsibility and accountability
 - Identifying information captured in databases and reflected in reports
 - Possibility of identifying “contact” PI
 - Leadership Plan
 - Like cooperative agreements
 - Define roles and responsibilities
 - Plans to resolve disagreements
 - Implement by the end of FY07



NIH Draft - RFI

- Allocation of funds
 - Linked to recognition in some institutions
 - May be quantitatively different from intellectual recognition
 - Potential impact on fluidity of funds within project
 - Potential for prior approval
 - Pose neutral questions



NIH Draft - RFI

- Impact on Departmental Ranking Tables
 - Rank departments in medical schools according to awarded dollars
 - PIs from more than one department would make this difficult
 - RFI assess the value of the tables and how the tables are used
 - RFI assess the possibility that lists of awards with identified PIs and affiliated departments would satisfy the need for comparison



NIH Draft - RFI

- Linked awards
 - Collaboration between institutions normally managed using subcontracts or other consortial arrangements
 - Some cases where two or more awards are made
 - Linkage currently not possible but might be desirable
 - Probes to determine if subcontracts foster collaborative project more than linked
 - Probes desirability of linked awards



Regulatory Change

- Regulations at 42 CFR 52.2
 - Current Definition
 - Principal investigator means a single individual designated by the grantee in the grant application and approved by the Secretary, who is responsible for the scientific and technical direction of the project.
 - Proposed Definition
 - Principal investigator means *one or more individuals* designated by the grantee in the grant application and approved by the Secretary, who *is or are* responsible for the scientific and technical direction of the project.



Proposed Workplan

1. Concept endorsement - **done**
2. Presentations at GMAC, POPOF, RPC, EPMC, ITWG - **done**
3. Approval at EAWG - **done**
4. Approval at Roadmap Interdisciplinary Working Group – **done**
5. Issue/coordinate NIH RFI with OSTP RFI – **nearly complete**
6. Incorporate comments from NIH and OSTP-RFI – **Summer 2005**
7. OGC opinion on signature/regulatory issues – **done**
8. Begin formal eRA planning – **Summer 2005**
9. eRA design and development – **Fall 2005**
10. Decision whether to seek OMB approval for 398/2590 multi-PI mid-course modifications or use 424 R&R – **Fall 2005**
11. Pilot data collection for multi-PI using 424 R&R or revised 398/2590 - **Spring 2006**
12. Implement multi-PI reporting capability for Review, NGA, CRISP – **Summer 2006**
13. Full implementation with multiple project grants – **Fall 2007**