

**§ 655.1**

**49 CFR Ch. VI (10–1–02 Edition)**

**Subpart F—Drug and Alcohol Testing Procedures**

- 655.51 Compliance with testing procedures requirements.
- 655.52 Substance abuse professional (SAP).
- 655.53 Supervisor acting as collection site personnel.
- 655.54–655.60 [Reserved]

**Subpart G—Consequences**

- 655.61 Action when an employee has a verified positive drug test result or has a confirmed alcohol test result of 0.04 or greater, or refuses to submit to a test.
- 655.62 Referral, evaluation, and treatment.
- 655.63–655.70 [Reserved]

**Subpart H—Administrative Requirements**

- 655.71 Retention of records.
- 655.72 Reporting of results in a management information system.
- 655.73 Access to facilities and records.
- 655.74–655.80 [Reserved]

**Subpart I—Certifying Compliance**

- 655.81 Grantee oversight responsibility.
- 655.82 Compliance as a condition of financial assistance.
- 655.83 Requirement to certify compliance.
- APPENDIX A TO PART 655—DRUG TESTING MANAGEMENT INFORMATION SYSTEM (MIS) DATA COLLECTION FORM
- APPENDIX B TO PART 655—DRUG TESTING MANAGEMENT INFORMATION SYSTEM (MIS) “EZ” DATA COLLECTION FORM
- APPENDIX C TO PART 655—ALCOHOL TESTING MANAGEMENT INFORMATION SYSTEM (MIS) DATA COLLECTION FORM
- APPENDIX D TO PART 655—ALCOHOL TESTING MANAGEMENT INFORMATION SYSTEM (MIS) “EZ” DATA COLLECTION FORM

AUTHORITY: 49 U.S.C. 5331; 49 CFR 1.51.

SOURCE: 66 FR 42002, Aug. 9, 2001, unless otherwise noted.

**Subpart A—General**

**§ 655.1 Purpose.**

The purpose of this part is to establish programs to be implemented by employers that receive financial assistance from the Federal Transit Administration (FTA) and by contractors of those employers, that are designed to help prevent accidents, injuries, and fatalities resulting from the misuse of alcohol and use of prohibited drugs by employees who perform safety-sensitive functions.

**§ 655.2 Overview.**

(a) This part includes nine subparts. Subpart A of this part covers the general requirements of FTA’s drug and alcohol testing programs. Subpart B of this part specifies the basic requirements of each employer’s alcohol misuse and prohibited drug use program, including the elements required to be in each employer’s testing program. Subpart C of this part describes prohibited drug use. Subpart D of this part describes prohibited alcohol use. Subpart E of this part describes the types of alcohol and drug tests to be conducted. Subpart F of this part addresses the testing procedural requirements mandated by the Omnibus Transportation Employee Testing Act of 1991, and as required in 49 CFR Part 40. Subpart G of this part lists the consequences for covered employees who engage in alcohol misuse or prohibited drug use. Subpart H of this part contains administrative matters, such as reports and recordkeeping requirements. Subpart I of this part specifies how a recipient certifies compliance with the rule.

(b) This part must be read in conjunction with 49 CFR Part 40, Procedures for Transportation Workplace Drug and Alcohol Testing Programs.

**§ 655.3 Applicability.**

(a) Except as specifically excluded in paragraph (b) of this section, this part applies to:

- (1) Each recipient and subrecipient receiving Federal assistance under:
  - (i) 49 U.S.C. 5307, 5309, or 5311; or
  - (ii) 23 U.S.C. 103(e)(4); and
- (2) Any contractor of a recipient or subrecipient of Federal assistance under:
  - (i) 49 U.S.C. 5307, 5309, or 5311; or
  - (ii) 23 U.S.C. 103(e)(4).

(b) A recipient operating a railroad regulated by the Federal Railroad Administration (FRA) shall follow 49 CFR Part 219 and § 655.83 for its railroad operations, and shall follow this part for its non-railroad operations, if any.

**§ 655.4 Definitions.**

For this part, the terms listed in this section have the following definitions. The definitions of additional terms