Pt. 405

hearings held under this part. As a fact-finding forum, each hearing held under this part is nonadversarial and there are no formal pleadings or adverse parties. Any rule issued in a proceeding in which a hearing is held is not based exclusively on the record of the hearing, but on the entire record of the rulemaking proceeding.

(b) The Associate Administrator designates a representative to conduct any hearing held under this part. The FAA Chief Counsel designates a legal officer for the hearing.

[53 FR 11013, Apr. 4, 1988, as amended by Amdt. 404–2, 68 FR 35289, June 13, 2003]

PART 405—INVESTIGATIONS AND ENFORCEMENT

Sec.

405.1 Monitoring of licensed, permitted, and other activities.

405.3 Authority to modify, suspend or revoke.

405.5 Emergency orders.

AUTHORITY: 49 U.S.C. 70101-70121.

SOURCE: Docket No. 43810, 53 FR 11014, Apr. 4, 1988, unless otherwise noted.

§ 405.1 Monitoring of licensed, permitted, and other activities.

Each licensee or permittee must allow access by and cooperate with Federal officers or employees or other individuals authorized by the Associate Administrator to observe licensed facilities and activities, including launch sites and reentry sites, as well as manufacturing, production, testing, and training facilities, or assembly sites used by any contractor, licensee, or permittee to produce, assemble, or test a launch or reentry vehicle and to integrate a payload with its launch or reentry vehicle. Observations are conducted to monitor the activities of the licensee, permittee, or contractor at such time and to such extent as the Associate Administrator considers reasonable and necessary to determine compliance with the license or permit or to perform the Associate Administrator's responsibilities pertaining to payloads for which no Federal license, authorization, or permit is required.

[Doc. No. FAA-2006-24197, 72 FR 17016, Apr. 6, 2007]

§ 405.3 Authority to modify, suspend or revoke.

- (a) The FAA may modify a license or permit issued under this chapter upon application by the licensee or permittee or upon the FAA's own initiative, if the FAA finds that the modification is consistent with the requirements of the Act.
- (b) The FAA may suspend or revoke any license or permit issued to such licensee or permittee under this chapter if the FAA finds that a licensee or permittee has substantially failed to comply with any requirement of the Act, any regulation issued under the Act, the terms and conditions of a license or permit, or any other applicable requirement; or that public health and safety, the safety of property, or any national security or foreign policy interest of the United States so require.
- (c) Unless otherwise specified by the Office, any modification, suspension or revocation made by the Office under this section:
 - (1) Takes effect immediately; and
- (2) Continues in effect during any review of such action under Part 406 of this chapter.
- (d) Whenever the FAA takes any action under this section, the FAA immediately notifies the licensee or permittee in writing of the FAA's finding and the action, which the FAA has taken or proposes to take regarding such finding.

[Docket No. 43810, 53 FR 11014, Apr. 4, 1988, as amended by Amdt. 405–3, 72 FR 17016, Apr. 6, 2007]

§ 405.5 Emergency orders.

The Associate Administrator may immediately terminate, prohibit, or suspend a licensed or permitted launch, reentry, or operation of a launch or reentry site if the Associate Administrator determines that—

(a) The licensed or permitted launch, reentry, or operation of a launch or reentry site is detrimental to public health and safety, the safety of property, or any national security or foreign policy interest of the United States; and

(b) The detriment cannot be eliminated effectively through the exercise of other authority of the Office.

[53 FR 11014, Apr. 4, 1988, as amended by Amdt. 405–1, 65 FR 56657, Sept. 19, 2000; Amdt. $405\text{--}3,\,72\;\mathrm{FR}\;17017,\,\mathrm{Apr.}\;6,\,2007]$

PART 406—INVESTIGATIONS, EN-FORCEMENT, AND ADMINISTRA-**TIVE REVIEW**

Subpart A—Investigations and **Enforcement**

406.1 Hearings in license, permit, and payload actions.

406.3 Submissions; oral presentation in license, permit, and payload actions.

406.5 Administrative law judge's ommended decision in license, permit, and payload actions.

406.7 [Reserved] 406.9 Civil penalties.

406.10-406.100 [Reserved]

Subpart B-Rules of Practice in FAA Space **Transportation Adjudications**

406.101 Applicability.

406.103 Definitions that apply in part 406.

406.105 Separation of functions for prosecuting civil penalties and advising the FAA decisionmaker.

406.107 Appearances of parties, and attorneys and representatives.

406.109 Administrative law judges—powers and limitations.

406.111 Signing documents.

406.113 Filing of documents with the Docket Management System (DMS) and sending documents to the administrative law judge and Assistant Chief Counsel for Litigation.

406.115 Serving documents on other parties.

406.117 Confidential information.

406.119 Computation of time.

406.121 Extension of time.

406.123 Waivers.

406.127 Complaint and answer in civil penalty adjudications.

406.133 Amendment of pleadings.

406.135 Withdrawal of complaint or request for hearing.

406.137 Intervention.

406.139 Joint procedural or discovery schedule.

406.141 Motions. 406.143

Discovery. 406.147 Notice of hearing.

406.149 Evidence.

406.151 Standard of proof.

406.153 Burden of proof.

406.155 Offer of proof.

406.157 Expert or opinion witnesses.

406.159 Subpoenas

406.161 Witness fees.

406.163 Record.

Argument before the administrative 406.165 law judge.

406.167 Initial decision.

406.173 Interlocutory appeals.

406.175 Appeal from initial decision.

406.177 Petition to reconsider or modify a final decision and order of the FAA decisionmaker on appeal.

406.179 Judicial review of a final decision and order.

AUTHORITY: 49 U.S.C. 70101-70121.

Source: Docket No. FAA-2001-8607, 66 FR 2180, Jan. 10, 2001, unless otherwise noted.

Subpart A—Investigations and Enforcement

§ 406.1 Hearings in license, permit, and payload actions.

- (a) Pursuant to 49 U.S.C. 70110, the following are entitled to a determination on the record after an opportunity for a hearing in accordance with 5 U.S.C. 554.
- (1) An applicant for a license and a proposed transferee of a license regarding any decision to issue or transfer a license with conditions or to deny the issuance or transfer of such license;
- (2) An owner or operator of a payload regarding any decision to prevent the launch or reentry of the payload;
- (3) A licensee regarding any decision to suspend, modify, or revoke a license or to terminate, prohibit, or suspend any licensed activity;
- (4) An applicant for a permit regarding an FAA decision to issue a permit with conditions or to deny the issuance of the permit: and
- (5) A permittee regarding any decision to suspend, modify, or revoke a permit or to terminate, prohibit, or suspend any permitted activity.
- (b) An administrative law judge will be designated to preside over any hearing held under this part.

[Docket No. FAA-2001-8607, 66 FR 2180, Jan. 10, 2001, as amended by Amdt. 406-4, 72 FR 17017, Apr. 6, 2007]