



**National Aeronautics and  
Space Administration  
Langley Research Center**

**Scientific and Technical  
Information Program Office**

# **Scientific and Technical Aerospace Reports**

# STAIR

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## NASA STI Program ... in Profile

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- **TECHNICAL MEMORANDUM.** Scientific and technical findings that are preliminary or of specialized interest, e.g., quick release reports, working papers, and bibliographies that contain minimal annotation. Does not contain extensive analysis.
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- **CONFERENCE PUBLICATION.** Collected papers from scientific and technical conferences, symposia, seminars, or other meetings sponsored or co-sponsored by NASA.
- **SPECIAL PUBLICATION.** Scientific, technical, or historical information from NASA programs, projects, and missions, often concerned with subjects having substantial public interest.
- **TECHNICAL TRANSLATION.** English-language translations of foreign scientific and technical material pertinent to NASA's mission.

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- Fax your question to the NASA STI Help Desk at (301) 621-0134
- Phone the NASA STI Help Desk at (301) 621-0390
- Write to:  
NASA STI Help Desk  
NASA Center for AeroSpace Information  
7115 Standard Drive  
Hanover, MD 21076-1320

# Introduction

*Scientific and Technical Aerospace Reports (STAR)* is an online information resource listing citations and abstracts of NASA and worldwide aerospace-related scientific and technical information (STI). Updated biweekly, *STAR* highlights the most recent additions to the NASA Aeronautics and Space Database. Through this resource, the NASA STI Program provides timely access to the most current aerospace-related research and development (R&D) results.

*STAR* subject coverage includes all aspects of aeronautics and space research and development, supporting basic and applied research, and application, as well as aerospace aspects of Earth resources, energy development, conservation, oceanography, environmental protection, urban transportation and other topics of high national priority. The listing is arranged first by 11 broad subject divisions, then within these divisions by 76 subject categories and includes two indexes: subject and author.

*STAR* includes citations to R&D results reported in:

- NASA, NASA contractor, and NASA grantee reports
- Reports issued by other U.S. Government agencies, domestic and foreign institution, universities, and private firms
- Translations
- NASA-owned patents and patent applications
- Other U.S. Government agency and foreign patents and patent applications
- Domestic and foreign dissertations and theses

## The NASA STI Program

The NASA STI Program was established to support the objectives of NASA's missions and research to advance aeronautics and space science. By sharing information, the NASA STI Program ensures that the U.S. maintains its preeminence in aerospace-related industries and education, minimizes duplication of research, and increases research productivity.

Through the NASA Center for AeroSpace Information (CASI), the NASA STI Program acquires, processes, archives, announces, and disseminates both NASA's internal STI and worldwide STI. The results of 20th and 21st century aeronautics and aerospace research and development, a worldwide investment totaling billions of dollars, have been captured, organized, and stored in the NASA Aeronautics and Space Database. New information is continually announced and made available as it is acquired, making this a dynamic and historical collection of value to business, industry, academia, federal institutions, and the general public.

The STI Program offers products and tools that allow efficient access to the wealth of information derived from global R&D efforts. In addition, customized services are available to help tailor this valuable resource to meet your specific needs.

For more information on the most up-to-date NASA STI, visit the STI Program's Web site at <http://www.sti.nasa.gov>.

# NASA STI Availability Information

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Each citation in *STAR* indicates a 'Source of Availability.' When CASI is indicated, the user can order this information directly from CASI using the [STI Online Order Form](#), e-mail to [help@sti.nasa.gov](mailto:help@sti.nasa.gov), or telephone the STI Help Desk at 301-621-0390. Before ordering you may access [price code tables](#) for STI documents and videos. When information is not available from CASI, the source of the information is indicated when known.

NASA STI is also available to the public through Federal information organizations. NASA CASI disseminates publicly available NASA STI to the National Technical Information Service (NTIS) and to the Federal Depository Library Program (FDLP) through the Government Printing Office (GPO). In addition, NASA patents are available online from the U.S. Patent and Trademark Office.

## National Technical Information Service (NTIS)

The National Technical Information Service serves the American public as a central resource for unlimited, unclassified U.S. Government scientific, technical, engineering, and business related information. For more than 50 years NTIS has provided businesses, universities, and the public timely access to well over 2 million publications covering over 350 subject areas. Visit NTIS at <http://www.ntis.gov>.

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## The U.S. Patent and Trademark Office (USPTO)

The U.S. Patent and Trademark Office provides online access to full text patents and patent applications. The database includes patents back to 1976 plus some pre-1975 patents. Visit the USPTO at <http://www.uspto.gov/patft/>.

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[Personal Author Index](#)

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# SCIENTIFIC AND TECHNICAL AEROSPACE REPORTS

*A Biweekly Publication of the National Aeronautics and Space Administration*

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VOLUME 45, NUMBER 3

FEBRUARY 20, 2007

## 01

### AERONAUTICS (GENERAL)

Includes general research topics related to manned and unmanned aircraft and the problems of flight within the Earth's atmosphere. Also includes manufacturing, maintenance, and repair of aircraft. For specific topics in aeronautics, see categories 02 through 09. For information related to space vehicles see 12 Astronautics.

**20070005038** Boeing Co., Chicago, IL, USA

#### **Fast Access, Low Memory, Pair Catalog**

Alstad, J. P.; Needelman, D. D.; Li, R.; Fowell, R. A.; Lai, P. C.; 24 Jun 04; 10 pp.; In English

Patent Info.: Filed 24 Jun 04; US-Patent-Appl-SN-10-710 177

Report No.(s): PB2007-102743; No Copyright; Avail.: CASI: [A02](#), Hardcopy

A system (18) includes: (1) A vehicle (12) includes an attitude or angular velocity control system (38), a plurality of star trackers or star sensors (22) each having a field of view (28); (2) a memory (30) having a star catalog (32), a star pair catalog (58) and a reference table (56) stored therein; and (3) a processor (24) coupled to the attitude or angular velocity control system (38), the star trackers or star sensors (22), and the memory (30). The processor (24) determines the vehicle inertial attitude or angular velocity or sensor alignment, based, in part, on the star pair catalog (58) and reference table (56). The design of the star pair catalog (58) and reference table (56) is suitable for rapid determination of attitude or angular velocity or sensor alignment, and an efficient use of memory.

NTIS

*Angular Velocity; Attitude Control; Catalogs (Publications); Patent Applications*

## 02

### AERODYNAMICS

Includes aerodynamics of flight vehicles, test bodies, airframe components and combinations, wings, and control surfaces. Also includes aerodynamics of rotors, stators, fans, and other elements of turbomachinery. For related information see also 34 Fluid Mechanics and Thermodynamics.

**20070003596** NASA Langley Research Center, Hampton, VA, USA

#### **Three-Dimensional Computational Model for Flow in an Over-Expanded Nozzle With Porous Surfaces**

Abdol-Hamid, K. S.; Elmiligui, Alaa; Hunter, Craig A.; Massey, Steven J.; [2006]; 15 pp.; In English; Eighth International Congress of Fluid Dynamics and Propulsion - ICFDP 8, 14-17 Dec. 2006, Cairo, Egypt; Original contains color and black and white illustrations

Contract(s)/Grant(s): WBS 581-02-08-07; Copyright; Avail.: CASI: [A03](#), Hardcopy

A three-Dimensional computational model is used to simulate flow in a non-axisymmetric, convergent-divergent nozzle incorporating porous cavities for shock-boundary layer interaction control. The nozzle has an expansion ratio (exit area/throat area) of 1.797 and a design nozzle pressure ratio of 8.78. Flow fields for the baseline nozzle (no porosity) and for the nozzle with porous surfaces of 10% openness are computed for Nozzle Pressure Ratio (NPR) varying from 1.29 to 9.54. The three dimensional computational results indicate that baseline (no porosity) nozzle performance is dominated by unstable, shock-induced, boundary-layer separation at over-expanded conditions. For NPR less than or equal to 1.8, the separation is three dimensional, somewhat unsteady, and confined to a bubble (with partial reattachment over the nozzle flap). For NPR greater than or equal to 2.0, separation is steady and fully detached, and becomes more two dimensional as NPR increased. Numerical simulation of porous configurations indicates that a porous patch is capable of controlling off design separation in the nozzle by either alleviating separation or by encouraging stable separation of the exhaust flow. In the present paper, computational simulation results, wall centerline pressure, mach contours, and thrust efficiency ratio are presented, discussed and compared with experimental data. Results indicate that comparisons are in good agreement with experimental data. The



three-dimensional simulation improves the comparisons for over-expanded flow conditions as compared with two-dimensional assumptions.

Author

*Boundary Layer Separation; Convergent-Divergent Nozzles; Shock Wave Interaction; Three Dimensional Models; Flow Distribution; Dimensional Analysis*

**20070004895** NASA Glenn Research Center, Cleveland, OH, USA

**Optimal Control of Shock Wave Turbulent Boundary Layer Interactions Using Micro-Array Actuation**

Anderson, Bernhard H.; Tinapple, Jon; Surber, Lewis; December 2006; 21 pp.; In English; 3rd AIAA FLOW Control Conference, 5-8 Jun. 2006, San Francisco, CA, USA; Original contains color and black and white illustrations

Contract(s)/Grant(s): WBS 984754.02.07.03.03.01

Report No.(s): NASA/TM-2006-214373; AIAA Paper 2006-3197; E-15654; Copyright; Avail.: CASI: [A03](#), Hardcopy

The intent of this study on micro-array flow control is to demonstrate the viability and economy of Response Surface Methodology (RSM) to determine optimal designs of micro-array actuation for controlling the shock wave turbulent boundary layer interactions within supersonic inlets and compare these concepts to conventional bleed performance. The term micro-array refers to micro-actuator arrays which have heights of 25 to 40 percent of the undisturbed supersonic boundary layer thickness. This study covers optimal control of shock wave turbulent boundary layer interactions using standard micro-vane, tapered micro-vane, and standard micro-ramp arrays at a free stream Mach number of 2.0. The effectiveness of the three micro-array devices was tested using a shock pressure rise induced by the 10 shock generator, which was sufficiently strong as to separate the turbulent supersonic boundary layer. The overall design purpose of the micro-arrays was to alter the properties of the supersonic boundary layer by introducing a cascade of counter-rotating micro-vortices in the near wall region. In this manner, the impact of the shock wave boundary layer (SWBL) interaction on the main flow field was minimized without boundary bleed.

Author

*Boundary Layers; Supersonic Boundary Layers; Turbulent Boundary Layer; Wave Interaction; Flow Distribution; Actuators*

**20070004899** NASA Glenn Research Center, Cleveland, OH, USA

**Aerodynamic Performance Measurements for a Forward Swept Low Noise Fan**

Fite, E. Brian; December 2006; 49 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): NASA3-27752; WBS 22-781-30-41

Report No.(s): NASA/TM-2006-214413; E-15693; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070004899>;

Avail.: CASI: [A03](#), Hardcopy

One source of noise in high tip speed turbofan engines, caused by shocks, is called multiple pure tone noise (MPT's). A new fan, called the Quiet High Speed Fan (QHSF), showed reduced noise over the part speed operating range, which includes MPT's. The QHSF showed improved performance in most respects relative to a baseline fan; however, a partspeed instability discovered during testing reduced the operating range below acceptable limits. The measured QHSF adiabatic efficiency on the fixed nozzle acoustic operating line was 85.1 percent and the baseline fan 82.9 percent, a 2.2 percent improvement. The operating line pressure rise at design point rotational speed and mass flow was 1.764 and 1.755 for the QHSF and baseline fan, respectively. Weight flow at design point speed was 98.28 lbm/sec for the QHSF and 97.97 lbm/sec for the baseline fan. The operability margin for the QHSF approached 0 percent at the 75 percent speed operating condition. The baseline fan maintained sufficient margin throughout the operating range as expected. Based on the stage aerodynamic measurements, this concept shows promise for improved performance over current technology if the operability limitations can be solved.

Author

*Aerodynamic Characteristics; Turbofan Engines; Fan Blades; Noise Generators; Aerodynamic Noise; Acoustic Delay Lines*

**20070004939**, Federal Aviation Administration, Tenton, WA, USA

**Investigations of Performance of Pneumatic Deicing Boots, Surface Ice Detectors, and Scaling of Intercycle Ice**

Hill, E.; Rios, M.; Riley, J. T.; Dumont, C. J.; Uppuluri, S.; Nov. 2006; 160 pp.; In English

Report No.(s): PB2007-103675; No Copyright; Avail.: CASI: [A08](#), Hardcopy

This report represents the results from collaborative icing wind tunnel and flight test investigations of pneumatic deicing boot deicing performance. Also presented are the results of icing wind tunnel investigations into ice accumulations prior to activation of an ice protection system, scaling of intercycle ice accretions, and detection of ice accretion aft of the deicing boots using commercially available surface ice detectors. A 36-inch chord hybrid model of the National Advisory Committee

for Aeronautics 23012 airfoil, with leading-edge ordinates of a 72-inch, full-scale airfoil, was used for the investigations. The tests were part of a collaborative icing research program of the Federal Aviation Administration, the National Aeronautics and Space Administration, Goodrich Aerospace Corporation, the University of Illinois at Urbana-Champaign, Empresa Brasileira de Aeronautica S.A (EMBRAER), and other airplane manufacturers. Ice shapes were documented with photographs, video recordings, tracings, and ice thickness measurements. Selected cases of special interest were documented with molds from which ice castings were made. These castings are available for subsequent aerodynamic testing and other purposes. Icing wind tunnel tests were performed at a true airspeed of 170 knots (195 miles per hour), which is representative of maneuvering and holding airspeeds used by turbopropeller regional air transports. Flight testing of the deicing boots intercycle ice using a fully instrumented EMBRAER EMB-120 aircraft showed lift losses of 25 to 27 percent at the airplane angle of attack for the control column pusher. The lift losses are greater at the aerodynamic stall angles of attack.

NTIS

*Deicing; Ice; Ice Formation; Pneumatics*

**20070005008** NASA Langley Research Center, Hampton, VA, USA

**Parametric Evaluation of Thin, Transonic Circulation-Control Airfoils**

Schlecht, Robin; Anders, Scott; [2007]; 25 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA

Contract(s)/Grant(s): WBS 561581.02.10.07.05

Report No.(s): AIAA Paper 2007-0272; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005008>; Avail.: CASI:

A03, Hardcopy

Wind-tunnel tests were conducted in the NASA Langley Transonic Dynamics Tunnel on a 6 percent-thick, elliptical circulation-control airfoil with upper-surface and lower-surface blowing capability. Results for elliptical Coanda trailing-edge geometries, biconvex Coanda trailing-edge geometries, and leading-edge geometries are reported. Results are presented at subsonic and transonic Mach numbers of 0.3 and 0.8, respectively. When considering one fixed trailing-edge geometry, for both the subsonic and transonic conditions it was found that the [3.0:1] ratio elliptical Coanda surface with the most rounded leading-edge [03] performed favorably and was determined to be the best compromise between comparable configurations that took advantage of the Coanda effect. This configuration generated a maximum  $(\Delta)C_{sub 1} = 0.625$  at a  $C_{sub \mu} = 0.06$  at  $M = 0.3$ ,  $\alpha = 6\text{deg}$ . This same configuration generated a maximum  $(\Delta)C_{sub 1} = 0.275$  at a  $C_{sub \mu} = 0.0085$  at  $M = 0.8$ ,  $\alpha = 3\text{deg}$ .

Author

*Circulation Control Airfoils; Transonic Speed; Wind Tunnel Tests; Blowing; Trailing Edges; Coanda Effect; Convexity*

**20070005149** NASA Langley Research Center, Hampton, VA, USA

**Discrete Roughness Transition for Hypersonic Flight Vehicles**

Berry, Scott A.; Horvath, Thomas J.; [2007]; 17 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA

Contract(s)/Grant(s): WBS 732759.07.05

Report No.(s): AIAA Paper 2007-0307; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005149>; Avail.: CASI:

A03, Hardcopy

The importance of discrete roughness and the correlations developed to predict the onset of boundary layer transition on hypersonic flight vehicles are discussed. The paper is organized by hypersonic vehicle applications characterized in a general sense by the boundary layer: slender with hypersonic conditions at the edge of the boundary layer, moderately blunt with supersonic, and blunt with subsonic. This paper is intended to be a review of recent discrete roughness transition work completed at NASA Langley Research Center in support of agency flight test programs. First, a review is provided of discrete roughness wind tunnel data and the resulting correlations that were developed. Then, results obtained from flight vehicles, in particular the recently flown Hyper-X and Shuttle missions, are discussed and compared to the ground-based correlations.

Author

*Boundary Layer Transition; Hypersonic Vehicles; Surface Roughness; Transition Flight; Flight Tests*

**20070005157** NASA Langley Research Center, Hampton, VA, USA

**Fluorescence Visualization of Hypersonic Flow Past Triangular and Rectangular Boundary-layer Trips**

Garcia, A. P.; Borg, Stephen E.; Dyakonov, Artem A.; Berry, Scott A.; Inman, Jennifer A.; Alderfer, David W.; [2007]; 14 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 599489.02.07.07.01

Report No.(s): AIAA Paper 2007-0536; Copyright; Avail.: CASI: [A03](#), Hardcopy

Planar laser-induced fluorescence (PLIF) flow visualization has been used to investigate the hypersonic flow of air over surface protrusions that are sized to force laminar-to-turbulent boundary layer transition. These trips were selected to simulate protruding Space Shuttle Orbiter heat shield gap-filler material. Experiments were performed in the NASA Langley Research Center 31-Inch Mach 10 Air Wind Tunnel, which is an electrically-heated, blowdown facility. Two-mm high by 8-mm wide triangular and rectangular trips were attached to a flat plate and were oriented at an angle of 45 degrees with respect to the oncoming flow. Upstream of these trips, nitric oxide (NO) was seeded into the boundary layer. PLIF visualization of this NO allowed observation of both laminar and turbulent boundary layer flow downstream of the trips for varying flow conditions as the flat plate angle of attack was varied. By varying the angle of attack, the Mach number above the boundary layer was varied between 4.2 and 9.8, according to analytical oblique-shock calculations. Computational Fluid Dynamics (CFD) simulations of the flowfield with a laminar boundary layer were also performed to better understand the flow environment. The PLIF images of the tripped boundary layer flow were compared to a case with no trip for which the flow remained laminar over the entire angle-of-attack range studied. Qualitative agreement is found between the present observed transition measurements and a previous experimental roughness-induced transition database determined by other means, which is used by the shuttle return-to-flight program.

Author

*Laser Induced Fluorescence; Flow Visualization; Turbulent Boundary Layer; Boundary Layer Transition; Hypersonic Flow*

**20070005163** NASA Langley Research Center, Hampton, VA, USA

**Fluorescence Visualization of Hypersonic Flow over Rapid Prototype Wind-Tunnel Models**

Alderfer, D. W.; Danehy, P. M.; Inma, J. A.; Berger, K. T.; Buck, G. M.; Schwartz, R J.; [2007]; 17 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 599489.02.07.07; Copyright; Avail.: CASI: [A03](#), Hardcopy

Reentry models for use in hypersonic wind tunnel tests were fabricated using a stereolithography apparatus. These models were produced in one day or less, which is a significant time savings compared to the manufacture of ceramic or metal models. The models were tested in the NASA Langley Research Center 31-Inch Mach 10 Air Tunnel. Most of the models did not survive repeated tests in the tunnel, and several failure modes of the models were identified. Planar laser-induced fluorescence (PLIF) of nitric oxide (NO) was used to visualize the flowfields in the wakes of these models. Pure NO was either seeded through tubes plumbed into the model or via a tube attached to the strut holding the model, which provided localized addition of NO into the model's wake through a porous metal cylinder attached to the end of the tube. Models included several 2-inch diameter Inflatable Reentry Vehicle Experiment (IRVE) models and 5-inch diameter Crew Exploration Vehicle (CEV) models. Various configurations were studied including different sting placements relative to the models, different model orientations and attachment angles, and different NO seeding methods. The angle of attack of the models was also varied and the location of the laser sheet was scanned to provide three-dimensional flowfield information. Virtual Diagnostics Interface technology, developed at NASA Langley, was used to visualize the data sets in post processing. The use of calibration 'dotcards' was investigated to correct for camera perspective and lens distortions in the PLIF images. Lessons learned and recommendations for future experiments are discussed.

Author

*Fluorescence; Flow Visualization; Hypersonic Flow; Wind Tunnel Models; Prototypes; Reentry Vehicles; Flow Distribution*

**20070005418** Technion - Israel Inst. of Tech., Haifa, Israel

**Aerodynamic Analysis of Body-Strake Configurations**

Sigal, Asher; Jun 2006; 52 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): FA8655-05-1-3005

Report No.(s): AD-A460110; No Copyright; Avail.: CASI: [A04](#), Hardcopy

This report results from a contract tasking Technion - Israel Institute of Science and Technology as follows: The Grantee will investigate methods to improve the rapid aerodynamic prediction of configurations that feature strakes instead of conventional wings. The longitudinal characteristics of body-strake configurations will be estimated using a hybrid approach

that will consider two contributions. (1) The linear (potential) contribution will be estimated; (2) The nonlinear contribution of the whole configuration will be estimated based on cross-flow methods. Analytical results will be generated for five baseline configurations and compared with experimental data.

DTIC

*Aerodynamic Characteristics; Aerodynamics; Cross Flow; Design Analysis; Strakes; Vortices*

**20070005487** Naval Postgraduate School, Monterey, CA USA

**Process Improvement at the Aircraft Intermediate Maintenance Detachment (AIMD) at Naval Air Station Whidbey Island**

Jafar, Eric; Mejos, Terence N; Yang, Chieh; Dec 2006; 123 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460312; No Copyright; Avail.: CASI: [A06](#), Hardcopy

This project focuses on the J52-P408 engine repair process and the implementation of the 'AIRSpeed' program at the Aircraft Intermediate Maintenance Department (AIMD) at Naval Air Station Whidbey Island (NASWI), WA. The project was conducted with the sponsorship and assistance of Program Executive Office Ships (PEO SHIPS) and Program Executive Office Integrated Warfare Systems (PEO IWS). The goal of this project is to analyze how the leadership of AIMD incorporated Theory of Constraints (TOC), Just in Time (JIT), Lean, Six-Sigma, and Lean-Six-Sigma methodologies in the engine repair process, and examine the effects of its application in relation to repair cycle time and overall readiness level. This report will describe and compare the earlier and the current AIRSpeed engine removal and repair processes, starting from the flight line to the ready for issue (RFI) pool at AIMD. Using simulation modeling tools and private industry production and inventory management philosophies, we will make recommendations for further improvement in the repair process. We will examine how the application of AIRSpeed processes contributes to the mission readiness of the USA Navy and Marine Corps fleet of EA-6B Prowler aircraft, while reducing operation and maintenance cost.

DTIC

*Aircraft; Detachment; Maintainability; Maintenance*

### 03

#### AIR TRANSPORTATION AND SAFETY

Includes passenger and cargo air transport operations; airport ground operations; flight safety and hazards; and aircraft accidents. Systems and hardware specific to ground operations of aircraft and to airport construction are covered in 09 Research and Support Facilities (Air). Air traffic control is covered in 04 Aircraft Communications and Navigation. For related information see also 16 Space Transportation and Safety and 85 Technology Utilization and Surface Transportation.

**20070003553** NASA Langley Research Center, Hampton, VA, USA

**Human Factors Considerations for Performance-Based Navigation**

Barhydt, Richard; Adams, Catherine A.; December 2006; 70 pp.; In English

Contract(s)/Grant(s): WBS 931-02-07-07

Report No.(s): NASA/TM-2006-214531; L-19296; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003553>;

Avail.: CASI: [A04](#), Hardcopy

A transition toward a performance-based navigation system is currently underway in both the USA and around the world. Performance-based navigation incorporates Area Navigation (RNAV) and Required Navigation Performance (RNP) procedures that do not rely on the location of ground-based navigation aids. These procedures offer significant benefits to both operators and air traffic managers. Under sponsorship from the Federal Aviation Administration (FAA), the National Aeronautics and Space Administration (NASA) has undertaken a project to document human factors issues that have emerged during RNAV and RNP operations and propose areas for further consideration. Issues were found to include aspects of air traffic control and airline procedures, aircraft systems, and procedure design. Major findings suggest the need for human factors-specific instrument procedure design guidelines. Ongoing industry and government activities to address air-ground communication terminology, procedure design improvements, and chart-database commonality are strongly encouraged.

Author

*Area Navigation; Human Factors Engineering; NASA Programs; Flight Management Systems; Aircraft Performance*

**20070003595** NASA Ames Research Center, Moffett Field, CA, USA

**Operational Interventions to Maintenance Error**

Kanki, Barbara G.; Walter, Diane; Dulchinos, Vicki; [1997]; 1 pp.; In English; Ohio State Symposium on Aviation Psychology, 27 Apr. - 1 May 1997, Columbus, OH, USA

Contract(s)/Grant(s): NASA Order H-3889; No Copyright; Avail.: Other Sources; Abstract Only

A significant proportion of aviation accidents and incidents are known to be tied to human error. However, research of flight operational errors has shown that so-called pilot error often involves a variety of human factors issues and not a simple lack of individual technical skills. In aircraft maintenance operations, there is similar concern that maintenance errors which may lead to incidents and accidents are related to a large variety of human factors issues. Although maintenance error data and research are limited, industry initiatives involving human factors training in maintenance have become increasingly accepted as one type of maintenance error intervention. Conscientious efforts have been made in re-inventing the team7 concept for maintenance operations and in tailoring programs to fit the needs of technical operations. Nevertheless, there remains a dual challenge: 1) to develop human factors interventions which are directly supported by reliable human error data, and 2) to integrate human factors concepts into the procedures and practices of everyday technical tasks. In this paper, we describe several varieties of human factors interventions and focus on two specific alternatives which target problems related to procedures and practices; namely, 1) structured on-the-job training and 2) procedure re-design. We hope to demonstrate that the key to leveraging the impact of these solutions comes from focused interventions; that is, interventions which are derived from a clear understanding of specific maintenance errors, their operational context and human factors components.

Author

*Aircraft Accidents; Human Factors Engineering; Pilot Error; Aircraft Maintenance*

**20070003630** California Univ., Santa Cruz, CA USA

**Throughput and Fairness of Collision Avoidance Protocols in Ad Hoc Networks**

Jan 2004; 36 pp.; In English

Contract(s)/Grant(s): F49620-00-1-0330; DAAD19-01-C-0026

Report No.(s): AD-A459685; No Copyright; Avail.: Defense Technical Information Center (DTIC)

No abstract available

*Collision Avoidance; Protocol (Computers); Communication Networks*

**20070003789** National Transportation Safety Board, Washington, DC USA

**National Transportation Safety Board Aircraft Accident Report: Runway Overrun and Collision, Platinum Jet Management, LLC, Bombardier Challenger CL-600-1A11, N370V, Teterboro, New Jersey, on February 2, 2005**

Oct. 31, 2006; 126 pp.; In English

Report No.(s): PB2007-910401; NTSB/AAR-06/04; No Copyright; Avail.: CASI: [A07](#), Hardcopy

This report explains the accident involving a Bombardier Challenger CL-600-1A11, N370V, operated by Platinum Jet Management, LLC, which ran off the departure end of runway 6 at Teterboro Airport, Teterboro, New Jersey, during a rejected takeoff. Safety issues addressed in this report include weight and balance procedures; flight crew actions, training, and procedures; company oversight and operational control; Federal Aviation Administration responsibility and oversight; cabin aide actions, training, and procedures; and runway safety areas.

NTIS

*Accident Investigation; Collisions; Cost Analysis; Platinum; Runways; Safety; Safety Management; Transportation*

**20070004659** Armstrong Lab., Brooks AFB, TX USA

**Using Observer Ratings to Assess Situational Awareness in Tactical Air Environments**

Mar 1997; 12 pp.; In English

Contract(s)/Grant(s): Proj-1123

Report No.(s): AD-A459801; AL/HR-TP-1996-0050; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Situational Awareness; Air Defense; Optical Countermeasures*

**20070004713** Mitre Corp., Bedford, MA, USA, Massachusetts Inst. of Tech., Lexington, MA, USA

**Collision Avoidance for Unmanned Aircraft: Proving the Safety Case**

Zeitlin, A.; Lacher, A.; Kuchar, J.; Drumm, A.; Oct. 2006; 18 pp.; In English

Report No.(s): PB2007-101934; MITRE-MP-060219; MIT-LL-42-1017; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Applications for Unmanned Aircraft Systems (UAS) abound from military and homeland security to commercial services. The ability to integrate unmanned and manned aircraft into the same civil airspace is a critical capability that will enable growth in the industry, expansion of applications, and greater utility for UAS operators. Collision avoidance is emerging as a key enabler to UAS civil airspace access as well as an important capability for the integration of manned and unmanned

missions in military theaters of operation. UAS collision avoidance capabilities must be interoperable and compatible with existing collision and separation assurance capabilities including the Traffic Alert and Collisions Avoidance System (TCAS) and the requirement for a pilot to see and avoid other aircraft consistent with the right of way rules. The operational and technical challenges of UAS collision avoidance are further complicated by the wide variety of unmanned aircraft, their associated missions, and their ground control capabilities. While the technology research activities are important to the development of these standards, analysis will be required to ensure that the technical solutions provide a satisfactory level of safety. The intent of this paper is to present one perspective on the system safety studies necessary for the community to reach consensus on the appropriate standards—a necessary step so that a collision avoidance capability of unmanned aircraft can be certified by the FAA.

NTIS

*Collision Avoidance; Pilotless Aircraft; Safety*

**20070004798** Next Generation Air Transportation System, Washington, DC, USA

**Next Generation Air Transportation System Integrated Plan**

January 2004; 40 pp.; In English

Report No.(s): PB2007-104563; No Copyright; Avail.: National Technical Information Service (NTIS)

The USA has been at the forefront of aviation since the day the Wright Flyer made its historic 12-second flight. Since then, Americans have become the most mobile society on Earth. Imagine, though, what would happen to our economy and quality of life if we could no longer depend on air transportation for overnight delivery or we could no longer depend on arriving when we need to arrive. The U.S. air transportation system as we know it is under stress. The demand for air transportation is outpacing our ability to increase capacity in our airports. Operating and maintenance costs of the air traffic system are outpacing revenues and the air carrier industry is going through significant change. The terrible events of September 11, 2001, radically altered our country and they exposed a new impediment to the future of the air transportation industry. New security requirements are significantly impacting costs and the ability to efficiently move people and cargo.

NTIS

*Air Transportation; Planning; Civil Aviation*

**20070004800** Next Generation Air Transportation System, Washington, DC, USA

**Next Generation Air Transportation System 2005 Progress Report**

January 2005; 28 pp.; In English

Report No.(s): PB2007-104564; No Copyright; Avail.: National Technical Information Service (NTIS)

In 2003, President Bush and Congress took the first critical step towards transformation with the enactment of VISION 100--Century of Aviation Reauthorization Act (P.L.108-176)--which laid out the mandate for the multi-government agency Next Generation Air Transportation System (NGATS or Next Generation System) and formally created the Joint Planning and Development Office (JPDO) to manage the work associated with it. The overarching vision was for a system that addresses critical safety and economic needs in civil aviation, such as increased capacity, while fully integrating environmental impact, national defense and homeland security improvements--and in a cost effective manner. This vision involves the Departments of Transportation (DOT), Homeland Security (DHS), Defense (DoD), Commerce (DOC), Federal Aviation Administration (FAA) National Aeronautics and Space Administration (NASA), and the White House Office of Science and Technology Policy. The vision would encompass all areas of the aviation community, including all of General Aviation, commercial and public safety helicopter operators as well as traditional commercial and business flight operations.

NTIS

*Air Transportation; Civil Aviation; Management Planning*

**20070004806** Illinois Univ. at Urbana-Champaign, Savoy, IL, USA

**Development of Air Traffic Control Measurement Database**

Rantanen, E. M.; Oct. 2006; 12 pp.; In English

Contract(s)/Grant(s): DTFAAC-06-P-08010

Report No.(s): PB2007-105629; AHFD-06-08; No Copyright; Avail.: National Technical Information Service (NTIS)

The Air Traffic Control Specialist (ATCS) Performance Measurement Database provides a compilation of techniques that have been proven effective for use in human factor research related to air traffic control. The FAA has established strategic goals of improved ATC system safety and capacity. Performance measures are necessary to determine which elements of the system need to be changed in order to attain these goals, and to determine when progress has been achieved. The primary goal

is to develop a comprehensive set of ATCS performance measures that relate to ATC system safety and capacity. The development of this database is one of several objectives required to achieve this goal.

NTIS

*Abilities; Air Traffic Control; Air Traffic Controllers (Personnel); Data Bases; Human Factors Engineering*

**20070004808** Government Accountability Office, Washington, DC, USA

**Next Generation Air Transportation System: Progress and Challenges Associated with the Transformation of the National Airspace System**

Nov. 2006; 62 pp.; In English

Report No.(s): PB2007-103723; GAO-07-25; No Copyright; Avail.: CASI: [A04](#), Hardcopy

In 2003, Congress created the Joint Planning and Development Office (JPDO) to plan for and coordinate, with federal and nonfederal stakeholders, a transformation from the current air traffic control system to the 'next generation air transportation system' (NGATS) by 2025. Housed within the Federal Aviation Administration (FAA), JPDO has seven partner agencies: the Departments of Transportation, Commerce, Defense, and Homeland Security; FAA; the National Aeronautics and Space Administration (NASA); and the White House Office of Science and Technology Policy. FAA will have primary responsibility for implementing NGATS. This report addresses (1) the status of JPDO's efforts to plan for NGATS, (2) the key challenges facing JPDO, and (3) the key challenges facing FAA as it implements the transformation. To address these issues, GAO reviewed relevant documents, interviewed agency officials and stakeholders, and conducted an expert panel.

NTIS

*Air Traffic Control; Air Transportation; National Airspace System; NASA Programs*

**20070004864** Texas Univ., Austin, TX, USA

**Evaluation and Integration of Texas Airports into the Trans-Texas Corridor, Project Summary**

Walton, C. M.; Bomba, M. S.; Aug. 31, 2004; 2 pp.; In English

Report No.(s): PB2007-103695; RTI-PS-0-4644; No Copyright; Avail.: CASI: [A01](#), Hardcopy

The Trans-Texas Corridor (TTC) system has been proposed to provide quick, safe, and reliable movement of people and goods by automobile and rail throughout the state of Texas. The corridor system is expected to relieve congestion on existing roadways, divert hazardous materials away from urban areas, and stimulate economic growth and development. However, in order to ensure that the proposed Trans-Texas Corridor routes will be fully integrated into the states transportation system, the corridors proximity to and potential effects on the existing airport network, as well as any future airport growth, must also be considered in the planning process.

NTIS

*Airports; Corridors; Texas*

**20070005196** Hughes Training, Inc. Mesa, AZ USA

**Human Factors Evaluation of the Aerial Gunner Scanner Simulator**

Silverman, Denise R; Spiker, Alan; Nullmeyer, Robert T; Jun 1997; 63 pp.; In English

Contract(s)/Grant(s): F41624-95-C-5011; Proj-1123

Report No.(s): AD-A459604; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459604>; Avail.: CASI: [A04](#), Hardcopy

This report describes a human factors evaluation of the Aerial Gunner Scanner Simulator (AGSS) that was installed at Kirkland Air Force Base, New Mexico, and is being operated by the 58th Special Operations Wing (SOW). A brief review of the literature concerning the levels of immersion associated with virtual reality (VR) is provided to help establish the nature of the VR environment provided by the AGSS and to give some context for the issues that accompany using VR for training. The evaluation was conducted using structured interviews with questions regarding the fidelity and/or ease of use of components from each of the AGSS's main systems, (i.e., trainee stations, instructor/operator stations, visual, and weapons) and its training capability for selected aerial gunner/scanner (AG/S) skills. Eleven experienced rotary-wing instructors volunteered to participate. The resulting ratings and comments were quite favorable, indicating that most of the AGSS components were acceptable. Two notable exceptions were the spaceball and object detection. Both areas were judged as being significantly poor and received low ratings. We report comments and discuss recommendations associated with the components that were judged acceptable or significantly poor. We conclude with a summary of the main findings and recommendations for improvement with their associated cost estimates.

DTIC

*Flight Simulators; Human Factors Engineering; Simulators*

**20070005214** Aerospace Medical Research Labs., Wright-Patterson AFB, OH USA

**Minimizing the Sequenced Delay Time for Escape From High-Speed Low-Level Flight Profiles**

Raddin, James H; Specker, Lawrence J; Brinkley, James W; Oct 1979; 12 pp.; In English

Report No.(s): AD-A459680; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459680>; Avail.: CASI: A03, Hardcopy

The time delay that occurs between the actuation of an escape system and the actual initiation of the ejection catapult acceleration to separate the crew from an aircraft is one of the critical factors in the design of escape systems for high-speed low-level (HSLL) flight conditions. This delay may preclude what could otherwise be a successful escape from certain HSLL profiles. The purpose of this paper is to examine the significance of current operational delay times and describe techniques to minimize the delays. Operational through-the-canopy ejection data are presented to assess the risk of injury incurred in eliminating the delay time altogether. Experimental data from tests with human volunteers are presented to demonstrate the potential for significantly reducing the time required for upper torso retraction. Finally, the implications of available aeromedical evidence are evaluated in the definition of the most promising approaches to minimize the time required for a HSLL escape sequence.

DTIC

*Ejection; Escape Systems; Flight Paths; High Speed*

**20070005217** Hughes Training, Inc. Mesa, AZ USA

**Intelligent Agents for Computer-Generated Forces**

George, Gary R; Mallery, Ellen; Pope, Marie; Aug 1996; 29 pp.; In English

Contract(s)/Grant(s): F41624-95-C-5011; Proj-2743

Report No.(s): AD-A459709; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459709>; Avail.: CASI: A03, Hardcopy

Computer-generated forces (CGF) are an important part of today's training devices. When linked to manned simulators, these computer-generated entities provide a dynamic and realistic environment for interaction of human participants. It also allows the addition of many players, which might not be cost effective using many networked, manned devices as an alternative. These CGFs are comprised of two specific objects: equipment model and a behavioral or cognitive model. The equipment model represents the machine which, in this case, is an aircraft with its associated dynamics, weapons systems, controls and avionics systems. The cognitive model corresponds to how the machine operator, a pilot in this case, reacts in the dynamic environment. This will be based on mission knowledge, tactical doctrine, and situation awareness. Modeling of the cognitive portion of the computer-generated forces has been accomplished using several techniques including classical artificial intelligence(AI) techniques such as SOAR ('talking a State, applying an operator, And generating Results'), other AI formulations such as FuzzyCLIPS and Modular Knowledge, Acquisition Tool (M-KAT), adoption of analytical military models such as Suppressor, and specialized CGFs such as Modular Semi-Automated Force(ModSAF) and Interactive Tactical Environment Management System(ITEMS). This paper overviews these cognitive modeling techniques focusing on 14 specific features associated with intelligent agents.

DTIC

*Artificial Intelligence; Flight Simulation; Training Devices*

**20070005221** Armstrong Lab., Williams AFB, AZ USA

**R&D Advances in USAF Pilot Training**

Carroll, Lynn A; Andrews, Dee H; Sep 1996; 13 pp.; In English

Contract(s)/Grant(s): Proj-1123

Report No.(s): AD-A459730; AL/HR-TP-1996-0015; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459730>; Avail.: CASI: A03, Hardcopy

Recent advances in aircrew training methods and technologies now allow the Air Force to conceptualize training as the peacetime manifestation of war. That is, ground-base pilot training can now move beyond simply training procedural skills to training wartime mission skills on a much more frequent basis than past training range has allowed. We discuss R&D advances in three key areas that will truly allow the US Air Force to train as it intends to fight. These three areas are 'Warfighter Training Behavioral Research,' 'Distributed Mission Training Engineering Development,' 'Night Vision Device Training R&D.' Under each of these three main categories of R&D, we discuss specific advances made at the Armstrong Laboratory, Human Resource Directorate, Aircrew Training research Division. We also discuss future directions that we



believe aircrew R&D should advance in order to provide synthetic training environments that will allow the full measure of warfighting skills to be trained.

DTIC

*Flight Simulation; Flight Simulators; Flight Training; Pilot Training; Training Devices*

**20070005247** Woods Hole Oceanographic Inst., MA USA

**An Autonomous Glider Network for the Monterey Bay Predictive Skill Experiment / AOSN-II**

Fratantoni, David M; Dec 13, 2006; 8 pp.; In English

Contract(s)/Grant(s): N00014-02-1-0846

Report No.(s): AD-A459840; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459840>; Avail.: CASI: [A02](#), Hardcopy

Our long-term goal is to develop a relocatable, sustainable, infrastructure-free ocean observing system composed of low-cost, high-endurance vehicles with near-global range and a modular sensor payload. Particular emphasis is placed on the development of adaptive sampling strategies and the automated control of large glider fleets.

DTIC

*Autonomous Navigation; Autonomy; Dynamic Range; Gliders; Models; Monterey Bay (CA); Oceans; Predictions*

**20070005411** Civil Aeromedical Inst., Oklahoma City, OK USA

**A Summary of Unmanned Aircraft Accident/Incident Data: Human Factors Implications**

Williams, Kevin W; Dec 2004; 17 pp.; In English

Report No.(s): AD-A460102; DOT/FAA/AM-04/24; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A review and analysis of unmanned aircraft (UA) accident data was conducted to identify important human factors issues related to their use. UA accident data were collected from the U.S. Army, Navy, and Air Force. Classification of the accident data was a two-step process. In the first step, accidents were classified into the categories of human factors, maintenance, aircraft, and unknown. Accidents could be classified into more than one category. In the second step, those accidents classified as human factors-related were classified according to specific human factors issues of alerts/alarms, display design, procedural error, skill-based error, or other. Classification was based on the stated causal factors in the reports, the opinion of safety center personnel, and personal judgment of the author. The percentage of involvement of human factors issues varied across aircraft from 21% to 68%. For most of the aircraft systems, electromechanical failure was more of a causal factor than human error. One critical finding from an analysis of the data is that each of the fielded systems is very different, leading to different kinds of accidents and different human factors issues. A second finding is that many of the accidents that have occurred could have been anticipated through an analysis of the user interfaces employed and procedures implemented for their use. This paper summarizes the various human factors issues related to the accidents.

DTIC

*Accidents; Aircraft Accidents; Drone Vehicles; Errors; Failure; Human Factors Engineering; Maintenance; Pilotless Aircraft*

**20070005414** Civil Aeromedical Inst., Oklahoma City, OK USA

**A Milestone of Aeromedical Research Contributions to Civil Aviation Safety: The 1000th Report in the CARI/OAM Series**

Collins, William E; Wade, Katherine; Mar 2005; 107 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460106; DOT/FAA/AM-05/3; No Copyright; Avail.: CASI: [A06](#), Hardcopy

A historical, largely photographic retrospective is presented in recognition of the 1000th published report emanating from the FAA aeromedical research center officially established as the Civil Aeromedical Research Institute (CARI) in August 1960. The publications include 57 CARI reports (1961-1963), 1 CARI technical publication (1963), and 942 reports (1964-present) under the aegis of the (now) Office of Aerospace Medicine (OAM). The retrospective includes an historical section on the early development of civil aeromedical research. Additional, theme-related sections provide an indication of some of the varied research contributions and safety achievements of the Institute and cite some of the many individuals who contributed to the Institute's accomplishments.

DTIC

*Aerospace Medicine; Civil Aviation*

**20070005460** Hughes Training, Inc. Mesa, AZ USA

**Evaluation of the Modular Semi-Automated Force Air Entity Simulation**

Conquest, Michael T; Lerman, David J; Bell, Herbert H; Sep 1996; 25 pp.; In English

Contract(s)/Grant(s): F41624-95-C-5011; Proj-2743

Report No.(s): AD-A460182; AL/HR-TP-1996-0011; No Copyright; Avail.: CASI: [A03](#), Hardcopy

This report describes the Modular Semi-Automated Force (ModSAF) system's capability to provide realistic computer-generated aircraft for use in Advanced Distributed Simulation exercises. This evaluation looked at selected flight performance and mission behavior as well as aerodynamic and flight dynamic exercises. Results indicate that ModSAF provides only a rudimentary capability to realistically depict modern fighter aircraft. Although the ModSAF software architecture is sound and well-organized, incorrect modeling of aircraft and mission behaviors presents serious limitations in using ModSAF to represent current Air Force weapons systems. Recommendations include a complete redesign of the fixed-wing aircraft algorithms and data files as well as an expanded set of mission behaviors.

DTIC

*Computer Programs; Computerized Simulation; Evaluation; Fighter Aircraft; Flight Simulation; System Effectiveness*

**20070005624** Texas Univ., Austin, TX, USA, Texas Dept. of Transportation, Austin, TX, USA, Federal Highway Administration, Austin, TX USA

**Trans-Texas Corridor and the Texas Airport System: Opportunities and Challenges**

Thompson, K. A.; Bomba, M. S.; Walton, C. M.; Botticello, J. E.; May 2006; 110 pp.; In English

Report No.(s): PB2007-103694; FHWA/TX-06/0-4644-1; No Copyright; Avail.: National Technical Information Service (NTIS)

The proposed Trans-Texas Corridor (TTC) will allow for faster and safer movement of people and goods throughout Texas, relieve congestion on existing roadways, divert hazardous materials away from urban areas, and stimulate economic growth and development along its path. However, to become fully integrated with the Texas transportation network, the TTC must also consider connections with the states extensive airport system. While the TTC could produce significant opportunities for commercial services and general aviation airports, many of its planners and engineers are not familiar with the special land-use and connectivity needs of airports. While the TTC offers prospects for producing significant opportunities to commercial service and general aviation airports, it also has the potential to limit their safety, operation, and expansion if planned poorly. Possible airport benefits include increased usage because of improved airport user access and indirectly because of economic development along its path. Potential challenges include infringement on approaches and approach procedures, restriction of airport growth, limited accessibility or connectivity to the TTC, and competition with land-based modes for passenger and freight movement. Integrating Texas airports into the overall multimodal TTC design will leverage intermodal transportation for intercity travel and freight movement throughout Texas.

NTIS

*Airports; Corridors*

## 04

### AIRCRAFT COMMUNICATIONS AND NAVIGATION

Includes all modes of communication with and between aircraft; air navigation systems (satellite and ground based); and air traffic control. For related information see also 06 Avionics and Aircraft Instrumentation; 17 Space Communications, Spacecraft Communications, Command and Tracking; and 32 Communications and Radar.

**20070005415** Civil Aeromedical Inst., Oklahoma City, OK USA

**Complexity and Automation Displays of Air Traffic Control: Literature Review and Analysis**

Xing, Jing; Manning, Carol A; Apr 2005; 24 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460107; DOT/FAA/AM-05/4; No Copyright; Avail.: CASI: [A03](#), Hardcopy

This report reviewed a number of measures of complexity associated with visual displays and analyzed the potential to apply these methods to assess the complexity of air traffic control (ATC) displays. Through the literature review, we identified three basic complexity factors: numeric size, variety, and rules. Essentially, all the complexity measures could be described by these factors. Through the analysis of available complexity measures, we showed that neither information complexity that focused on the system nor cognitive complexity that aimed at observers could provide a complete description for ATC application. The great variety in complexity measures reflected the fact that the contribution of each of the three factors to overall complexity depended on how information is processed by users. We generalized that complexity is the integration of

the observer with the three basic factors. Therefore, to develop objective complexity measures for ATC displays, the methods presented in this report need to be integrated with the ATC display specifications.

DTIC

*Air Traffic; Air Traffic Control; Display Devices*

## 05

### AIRCRAFT DESIGN, TESTING AND PERFORMANCE

Includes all stages of design of aircraft and aircraft structures and systems. Also includes aircraft testing, performance and evaluation, and aircraft and flight simulation technology. For related information see also 18 Spacecraft Design, Testing and Performance and 39 Structural Mechanics. For land transportation vehicles see 85 Technology Utilization and Surface Transportation.

**20070003564** NASA Langley Research Center, Hampton, VA, USA

#### **Method for Correcting Control Surface Angle Measurements in Single Viewpoint Photogrammetry**

Burner, Alpheus W., Inventor; Barrows, Danny A., Inventor; October 31, 2006; 8 pp.; In English; Original contains black and white illustrations

Patent Info.: Filed 20 Sep. 2005; US-Patent-7,130,725; US-Patent-Appl-SN-239,457; NASA-Case-LAR-17021-1; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003564>; Avail.: CASI: [A02](#), Hardcopy

A method of determining a corrected control surface angle for use in single viewpoint photogrammetry to correct control surface angle measurements affected by wing bending. First and second visual targets are spaced apart from one another on a control surface of an aircraft wing. The targets are positioned at a semispan distance along the aircraft wing. A reference target separation distance is determined using single viewpoint photogrammetry for a 'wind off condition. An apparent target separation distance is then computed for 'wind on.' The difference between the reference and apparent target separation distances is minimized by recomputing the single viewpoint photogrammetric solution for incrementally changed values of target semispan distances. A final single viewpoint photogrammetric solution is then generated that uses the corrected semispan distance that produced the minimized difference between the reference and apparent target separation distances. The final single viewpoint photogrammetric solution set is used to determine the corrected control surface angle.

Official Gazette of the U.S. Patent and Trademark Office

*Control Surfaces; Correction; Photogrammetry; Angles (Geometry)*

**20070003726** NASA Langley Research Center, Hampton, VA, USA

#### **Progress in Flaps Down Flight Reynolds Number Testing Techniques at the NTF**

Payne, Frank; Bosetti, Cris; Gatlin, Greg; Tuttle, Dave; Griffiths, Bob; [2007]; 10 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007; Original contains color illustrations

Contract(s)/Grant(s): WBS 581-02-08-07-20-03

Report No.(s): AIAA Paper 2007-0751; Copyright; Avail.: CASI: [A02](#), Hardcopy

A series of NASA/Boeing cooperative low speed wind tunnel tests was conducted in the National Transonic Facility (NTF) between 2003 and 2004 using a semi-span high lift model representative of the 777-200 aircraft. The objective of this work was to develop the capability to acquire high quality, low speed (flaps down) wind tunnel data at up to flight Reynolds numbers in a facility originally optimized for high speed full span models. In the course of testing, a number of facility and procedural improvements were identified and implemented. The impact of these improvements on key testing metrics data quality, productivity, and so forth - was significant, and is discussed here, together with the relevance of these metrics as applied to cryogenic wind tunnel testing in general. Details of the improvements at the NTF are discussed in AIAA-2006-0508 (Recent Improvements in Semi-span Testing at the National Transonic Facility). The development work at the NTF culminated with validation testing of a 787-8 semi-span model at full flight Reynolds number in the first quarter of 2006.

Author

*Flapping; Flight Tests; Reynolds Number; Transonic Wind Tunnels; Wind Tunnel Tests; Semispan Models; Boeing 777 Aircraft*

**20070003727** NASA Langley Research Center, Hampton, VA, USA

#### **Review of Cranked-Arrow Wing Aerodynamics Project: Its International Aeronautical Community Role**

Lamar, John E.; Obara, Clifford J.; [2007]; 30 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color and black and white illustrations

Contract(s)/Grant(s): WBS 581-02-08-07

Report No.(s): AIAA Paper 2007-0487; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003727>; Avail.: CASI: [A03](#), Hardcopy

This paper provides a brief history of the F-16XL-1 aircraft, its role in the High Speed Research (HSR) program and how it was morphed into the Cranked Arrow Wing Aerodynamics Project (CAWAP). Various flight, wind-tunnel and Computational Fluid Dynamics (CFD) data sets were generated during the CAWAP. These unique and open flight datasets for surface pressures, boundary-layer profiles and skinfriction distributions, along with surface flow data, are described and sample data comparisons given. This is followed by a description of how the project became internationalized to be known as Cranked Arrow Wing Aerodynamics Project International (CAWAPI) and is concluded by an introduction to the results of a 4 year CFD predictive study of data collected at flight conditions by participating researchers.

Author

*Wind Tunnel Tests; Aerodynamic Configurations; Aeronautics; Computational Fluid Dynamics; F-16 Aircraft; Civil Aviation; Swept Wings; Aerodynamic Characteristics; Arrow Wings; International Cooperation*

**20070003835** Air Force Inst. of Tech., Wright-Patterson AFB, OH USA

**C-17A Special Operations Low Level II (SOLL II) Supporting the Combatant Commander**

Williamson, Jr, Richard E; Jun 2004; 63 pp.; In English

Report No.(s): AD-A459036; AFIT/GMO/ENS/04P-01; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459036>;

Avail.: CASI: [A04](#), Hardcopy

Shortly after the tragedy of September 11, 2001 and the start of the USA Global War on Terrorism, a dramatic change in the use of airlift forces was realized. The traditional use of strategic and tactical airlift forces was intermingled and the full capabilities of the newest USAF airlifter, the C-17A Globemaster III were put to the test. An elite unit deep within AMC's airlift forces, the SOLL II C-17As at Charleston AFB, provided outstanding results to combatant commanders. This paper did a comparative analysis of three recent military operations relying heavily on airlift to answer the overriding research question: How well, and in what situations, has AMC satisfied the airlift requirement of High Priority, 'External Users' during the USGWOT? Starting with the history of the C-17As role in operations: Allied Force, Enduring Freedom and Iraqi Freedom, this paper described the transformation in airlift and the growing demand for its use in succeeding operations. Each operation was analyzed with respect to how the C-17A forces were employed as tactical assets but remained under strategic control of AMC. The literature review delineated the nuances of operational control of forces during peacetime or contingency operations and showed that AMC was executing authority within the latitude granted by doctrine. The analysis did reveal however that missed opportunities and possible greater success by combatant commanders may have been achieved if control of forces, even time limited tactical control were released to the Joint Force Commander during contingency operations.

DTIC

*Transport Aircraft; Combat; Military Operations*

**20070004581** NASA Langley Research Center, Hampton, VA, USA

**USM3D Unstructured Grid Solutions for CAWAPI at NASA LaRC**

Lamar, John E.; Abdol-Hamid, Khaled S.; [2007]; 31 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 581-02-08-07

Report No.(s): AIAA Paper 2007-0682; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070004581>; Avail.: CASI:

[A03](#), Hardcopy

In support the Cranked Arrow Wing Aerodynamic Project International (CAWAPI) to improve the Technology Readiness Level of flow solvers by comparing results with measured F-16XL-1 flight data, NASA Langley employed the TetrUSS unstructured grid solver, USM3D, to obtain solutions for all seven flight conditions of interest. A newly available solver version that incorporates a number of turbulence models, including the two-equation linear and non-linear k-epsilon, was used in this study. As a first test, a choice was made to utilize only a single grid resolution with the solver for the simulation of the different flight conditions. Comparisons are presented with three turbulence models in USM3D, flight data for surface pressure, boundary-layer profiles, and skin-friction results, as well as limited predictions from other solvers. A result of these comparisons is that the USM3D solver can be used in an engineering environment to predict flow physics on a complex configuration at flight Reynolds numbers with a two-equation linear k-epsilon turbulence model.

Author

*Arrow Wings; Unstructured Grids (Mathematics); Swept Wings; Aerodynamic Configurations; Computational Fluid Dynamics; Computer Programs*

**20070004737** Army Research Lab., Aberdeen Proving Ground, MD USA  
**Failure Analysis of a CH-47 Horizontal Hinge Pin Assembly, P/N 114RS226**  
Dec 2006; 38 pp.; In English  
Report No.(s): AD-A459785; ARL-TR-4011; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Hinges; Failure Analysis; CH-47 Helicopter; Pins*

**20070004791** Armstrong Lab., Williams AFB, AZ USA  
**Advanced Distributed Simulation and the Fog-of-Simulation: Lessons Learned from the Cockpit**  
Mar 1, 1997; 10 pp.; In English  
Contract(s)/Grant(s): Proj-1123  
Report No.(s): AD-A459545; AL/HR-TP-1996-0038; No Copyright; Avail.: CASI: [A02](#), Hardcopy  
No abstract available  
*Cockpits; Fog; Simulation*

**20070004936** NASA Langley Research Center, Hampton, VA, USA  
**High Altitude Long Endurance Air Vehicle Analysis of Alternatives and Technology Requirements Development**  
Nickol, Craig L.; Guynn, Mark D.; Kohout, Lisa L.; Ozoroski, Thomas A.; [2007]; 17 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains black and white illustrations  
Contract(s)/Grant(s): WBS 561581.02.08.07; Copyright; Avail.: CASI: [A03](#), Hardcopy  
The objective of this study was to develop a variety of High Altitude Long Endurance (HALE) Unmanned Aerial Vehicle (UAV) conceptual designs for two operationally useful missions (hurricane science and communications relay) and compare their performance and cost characteristics. Sixteen potential HALE UAV configurations were initially developed, including heavier-than-air (HTA) and lighter-than-air (LTA) concepts with both consumable fuel and solar regenerative (SR) propulsion systems. Through an Analysis of Alternatives (AoA) down select process, the two leading consumable fuel configurations (one each from the HTA and LTA alternatives) and an HTA SR configuration were selected for further analysis. Cost effectiveness analysis of the consumable fuel configurations revealed that simply maximizing vehicle endurance can lead to a sub-optimum system solution. An LTA concept with a hybrid propulsion system (solar arrays and a hydrogen-air proton exchange membrane fuel cell) was found to have the best mission performance; however, an HTA diesel-fueled wing-body-tail configuration emerged as the preferred consumable fuel concept because of the large size and technical risk of the LTA concept. The baseline missions could not be performed by even the best HTA SR concept. Mission and SR technology trade studies were conducted to enhance understanding of the potential capabilities of such a vehicle. With near-term technology SR-powered HTA vehicles are limited to operation in favorable solar conditions, such as the long days and short nights of summer at higher latitudes. Energy storage system specific energy and solar cell efficiency were found to be the key technology areas for enhancing HTA SR performance.  
Author  
*High Altitude; Pilotless Aircraft; Requirements; Technology Utilization; Aerodynamic Configurations*

**20070004937** NASA Langley Research Center, Hampton, VA, USA  
**High-Lift System for a Supercritical Airfoil: Simplified by Active Flow Control**  
Melton, LaTunia Pack; Schaeffler, Norman W.; Lin, John C.; 2007; 20 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color and black and white illustrations  
Contract(s)/Grant(s): WBS 984754  
Report No.(s): AIAA Paper 2007-0707; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070004937>; Avail.: CASI: [A03](#), Hardcopy

Active flow control wind tunnel experiments were conducted in the NASA Langley Low-Turbulence Pressure Tunnel using a two-dimensional supercritical high-lift airfoil with a 15% chord hinged leading-edge flap and a 25% chord hinged trailing-edge flap. This paper focuses on the application of zero-net-mass-flux periodic excitation near the airfoil trailing edge flap shoulder at a Mach number of 0.1 and chord Reynolds numbers of  $1.2 \times 10^6$  to  $9 \times 10^6$  with leading- and trailing-edge flap deflections of 25 deg. and 30 deg., respectively. The purpose of the investigation was to increase the zero-net-mass-flux options for controlling trailing edge flap separation by using a larger model than used on the low Reynolds number version of this model and to investigate the effect of flow control at higher Reynolds numbers. Static and dynamic surface pressures and wake pressures were acquired to determine the effects of flow control on airfoil performance. Active flow

control was applied both upstream of the trailing edge flap and immediately downstream of the trailing edge flap shoulder and the effects of Reynolds number, excitation frequency and amplitude are presented. The excitations around the trailing edge flap are then combined to control trailing edge flap separation. The combination of two closely spaced actuators around the trailing-edge flap knee was shown to increase the lift produced by an individual actuator. The phase sensitivity between two closely spaced actuators seen at low Reynolds number is confirmed at higher Reynolds numbers. The momentum input required to completely control flow separation on the configuration was larger than that available from the actuators used.

Author

*Lift; Wind Tunnel Tests; Supercritical Airfoils; Low Turbulence; Aerodynamic Configurations; Active Control; Actuators; Separated Flow*

**20070004941** Dayton Univ. Research Inst., OH, USA

**Statistical Loads Data for the Boeing 777-200ER Aircraft in Commercial Operations**

Tipps, D. O.; Skinn, D. A.; Rustenburg, J. W.; Jones, T.; Harris, D. A.; Nov. 2006; 104 pp.; In English  
Report No.(s): PB2007-103674; UDR-TR-2005-00106; No Copyright; Avail.: CASI: [A06](#), Hardcopy

The University of Dayton Research Institute supports the Federal Aviation Administration (FAA) by conducting research on the structural integrity requirements for the U.S. commercial transport airplane fleet. The primary objective of this task was to support the FAA's Airborne Data Monitoring Systems Research by developing new and improved methods and criteria for processing and presenting large commercial transport airplane flight and ground loads usage data. The scope of activities included: (1) defining the service-related factors that affect the operational life of commercial aircraft; (2) designing an efficient software system to reduce, store, and process large quantities of optical quick access recorder data; and (3) reducing, analyzing, and providing processed data in statistical formats for the FAA to reassess existing certification criteria. Equally important, these new data also will enable the FAA, the aircraft manufacturers, and the airlines to better understand and control those factors that influence the structural integrity of commercial transport aircraft. Presented herein are Boeing 777-200ER aircraft operational usage data collected from 10,047 flights, representing 67,000 flight hours, recorded by a single international operator. Data are presented that will provide the user with statistical information on aircraft usage, ground and flight loads occurrences, and system operational usage based on actual B-777-200ER operational usage. The aircraft usage data include statistics on aircraft weights, flight distances, altitudes, speeds, and flight attitudes.

NTIS

*Boeing 777 Aircraft; Loads (Forces)*

**20070005310** Air War Coll., Maxwell AFB, AL USA

**Tanker-Force Structure: Recapitalization of the KC-135**

Narvid, Juan C; Aug 2004; 38 pp.; In English

Report No.(s): AD-A459949; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459949>; Avail.: CASI: [A03](#), Hardcopy

Aerial refueling is key to the nation's global reach in response to operations in all parts of the world. As such, aerial refueling provides the bridge for air, joint, and coalition forces to deploy anywhere, anytime around the world. It is important in this era of transformation that the tanker force and doctrine of aerial refueling also meet the challenges of the Air Force's task force concept of operations (CONOPS). The KC-135 aircraft has been an outstanding platform for aerial refueling, and through some enhancements, it has been able to leverage some of its capabilities in airlift and communication. However, the Air Force has the opportunity with its next class of tankers to field a tanker with capabilities that can serve all the services in the more demanding joint and coalition warfare of the future. The author challenges air mobility warriors to develop a tanker-force structure that overcomes the thinking of old to launch new concepts and capabilities for the future. He argues that the future of warfare will require a tanker that is able to operate as a force enabler across the full spectrum of operations. The Boeing 767 is being considered as a replacement for the older KC-135s, which the author agrees with. However, he argues, it will not fit the bill when it comes to meeting the challenges of the future -- instead, a tanker designed from the ground up should recapitalize the KC-135 fleet. The tanker of the future cannot resemble the single-role tanker of the past. The author outlines a conceptual tanker that combines airlift and aerial-refueling capabilities, is able to survive in a combat environment, and is able to act as a platform to enhance network-centric warfare. He also examines the chronology of the tanker, and the role it has played throughout military history.

DTIC

*C-135 Aircraft; Military Technology; Tanker Aircraft*

**20070005312** Air Univ., Maxwell AFB, AL USA

**Transport Bombers: A Conceptual Shift in Precision-Guided Munitions Delivery**

Benson, Bryan J; Jun 1996; 51 pp.; In English

Report No.(s): AD-A459951; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459951>; Avail.: CASI: [A04](#),  
Hardcopy

The U.S. Department of Defense has identified a shortfall in bomber and transport capabilities necessary to execute the two nearly simultaneous major regional contingencies called for in the president's national security strategy. One option to fill the bomber and transport shortfall, though one not discussed in current studies, is to develop transport bombers. This study addresses three questions regarding the transport bomber's usefulness. The first question is whether commanders could use such an aircraft in ways that truly enhance force application and mobility operations without unduly undermining one in favor of the other? The answer, because of technology enhancements and budget constraints, is definitely 'yes.' The second question targets technology by asking whether engineers could place some elements of both missions on a single aircraft? Again, the answer appears to be positive. This study analyzes budgetary and operational constraints in an attempt to answer the third question, which is what is the appropriate force mix? The answer is that either three squadrons of C-17s or two squadrons of B-747-400s would provide the necessary capability. The C-17 is a more versatile and flexible mobility platform than the B-747, and engineers have identified all the technological challenges that will allow it to rapidly convert into a bomber. The B-747, on the other hand, can employ twice as many missiles, carry more than 2 1/2 times the number of cargo pallets, and fly farther than the C-17. However, its ability to 'swing' promptly remains unproven, and it requires intra-theater airlift support to move its cargo to forward operating bases.

DTIC

*Bomber Aircraft; Transport Aircraft*

**20070005336** Naval Research Lab., Washington, DC USA

**Project Clinker, Hydraulic Carriage for Airship Installation of Optical Equipment**

Daly, P; Rosenberg, T; Sep 22, 1954; 11 pp.; In English

Report No.(s): AD-A459988; NRL-MEMO-REPT-381; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459988>;  
Avail.: CASI: [A03](#), Hardcopy

Airship installation of delicate optical equipment often requires special rigging equipment not available commercially. One problem at this Laboratory called for the installation of an 8.5-foot-diameter mirror weighing nearly 2000 pounds, in a type ZPM-4 airship. The mirror was required to pass beneath a conical windscreen having a 5-foot clearance above the ground and be mounted within the screen at an angle of 45 degrees. One answer to this problem was a carriage having a top deck pivoted at one end. The movable deck was raised to the required angle by a motor driven hydraulic hoist of the type used commercially for dump trucks. The mirror was mounted on this movable deck by means of a wooden cradle which could then be drawn up the incline into position for installation in the airship. The inclined deck contains steel rollers to reduce friction and the cradle moves upward by means of an electric chain hoist. This hydraulic carriage was used successfully in many operations involving installation and removal of the mirror and resulted in a great saving of man-hours of rigging time.

DTIC

*Airships; Carriages; Installing; Optical Equipment*

**20070005349** Air Univ., Maxwell AFB, AL USA

**A Need to Know: The Role of Air Force Reconnaissance in War Planning, 1945-1953**

Farquhar, John T; Feb 2004; 235 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460023; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460023>; Avail.: CASI: [A11](#),  
Hardcopy

On 1 April 2001, a U.S. Navy EP-3 Aries II surveillance aircraft collided with a People's Liberation Army Air Force J-8 fighter plane that resulted in the loss of the Chinese pilot and an emergency landing on Hainan Island by the Navy plane. The Chinese government's 11-day internment of the Navy flight crew shocked and amazed the American public. The ensuing diplomatic crisis and war of words reminded many of similar incidents from the supposedly defunct Cold War. It also focused world attention upon a still little known but highly significant aspect of the Cold War -- strategic aerial reconnaissance. The term refers to the use of aircraft to collect strategic intelligence using photographic or electronic means. According to the Joint Chiefs of Staff (JCS), strategic intelligence refers to intelligence that is required for the formation of policy and military plans at national and international levels. Strategic intelligence includes information provided by sources other than aircraft, including naval vessels, ground communications intercept sites, satellites, published literature, defectors, and spies. But because Air Force aircraft provided the bulk of information used by American war plans from 1945 to 1953, this book focuses

on the origins of the USAF strategic aerial reconnaissance. Although official JCS publications did not specifically list strategic aerial reconnaissance, the term may be defined as the use of aircraft to gather information necessary to conduct strategic air war, also called strategic air bombardment. At the core of the topic, recently declassified JCS emergency war plans indicate that a strategic air bombardment campaign formed the heart of American military strategy from the end of World War II to the Korean conflict. A question still remains: Did reconnaissance aircraft merely serve as a tool of war planners, or did strategic reconnaissance actually shape military strategy?

DTIC

*Aerial Reconnaissance; Intelligence; International Relations; Military Operations; Planning; Politics; Reconnaissance; Warfare*

**20070005380** Air Mobility Warfare Center, Fort Dix, NJ USA

**Aircraft Fuel Cell Repair Equipment (AFCRE)**

Yerger, John M; Aug 9, 2006; 11 pp.; In English

Report No.(s): AD-A460061; AMB-05-005; No Copyright; Avail.: CASI: [A03](#), Hardcopy

PROBLEM: Aircraft fuel cell repair time is quite lengthy due to dated troubleshooting tools, sealant cure time and repair validation. PROPOSED SOLUTION: Use helium gas to troubleshoot leaks and validate repairs. Use new technology to remove and cure sealant.

DTIC

*Fuel Cells; Maintenance*

**20070005396** Air Force Research Lab., Wright-Patterson AFB, OH USA

**Characterization and Modeling of Bonded Piezoelectric Sensor Performance and Durability in Simulated Aircraft Environments (Preprint)**

Blackshire, James L; Martin, Steve; Cooney, Adam; Apr 2006; 9 pp.; In English

Report No.(s): AD-A460083; No Copyright; Avail.: CASI: [A02](#), Hardcopy

The performance characteristics of surface-bonded piezoelectric sensors were studied under accelerated exposure conditions typically found in operational aircraft environments. In particular, sensor performance was studied for freeze-thaw, elevated heat levels, electrochemical attack, substrate bend and tensile strains, and dynamic vibration conditions. Evidence of both gradual and abrupt sensor performance degradation was experimentally observed due to undesired load transfer processes, which resulted in adverse sensor disbond and cracking events. Models were developed to better understand the critical shear-strain and viscoelastic conditions present in a typical surface-bonded sensor system, which permitted key material parameters related to bond and piezoelectric material type to be identified. Preliminary results will be presented for making improved bonded sensor system design choices based on the long-term exposure conditions expected in typical aircraft flight environments. Future activities are focused on verifying system performance using accelerated environmental testing, with the ultimate goal of improving the durability and survivability of surface-bonded piezoelectric sensor systems in typical aerospace environments.

DTIC

*Aerospace Environments; Coatings; Durability; Performance Prediction; Piezoelectricity; Shear Stress*

**20070005410** Civil Aeromedical Inst., Oklahoma City, OK USA

**Index to FAA Office of Aerospace Medicine Reports: 1961 through 2004**

Collins, William E; Wayda, Michael E; Wade, Katherine; Jan 2005; 85 pp.; In English

Report No.(s): AD-A460101; DOT/FAA/AM-05/1; No Copyright; Avail.: CASI: [A05](#), Hardcopy

An index to Federal Aviation Administration (FAA) Office of Aerospace Medicine reports (OAM) (1964-2004) and Civil Aerospace Medical Institute (CAMI) reports (1961-1963) is presented for those engaged in aviation medicine and related activities. The CAMI is the medical certification, research, education, and occupational health wing of the FAA's OAM. The Institute's mission has not changed over the years: its only purpose is to further aviation safety. At CAMI, researchers study the factors that influence human performance in the aviation environment, find ways to understand them, and communicate that understanding to the aviation community. Communicating research findings to the public is achieved in several ways: published reports in professional journals and newsletters, proceedings reports, and formal technical reports. OAM Reports is the major part of the communications effort. Published continuously since 1961, these reports are the distillation of FAA aeromedical research efforts in aviation safety. Through 2004, CAMI has published 997 reports on a wide range of subjects, from Angular Acceleration to Workload Effects on Complex Performance. The index lists all FAA aerospace medicine



technical reports published from 1961 through 2004 in three ways: chronologically, alphabetically by author, and alphabetically by subject. A foreword describes the index's sections and explains how to obtain copies of published OAM technical reports. A historical vignette describes the earliest efforts to establish new medical leadership at Washington headquarters and CAMI.

DTIC

*Aerospace Medicine; Aircraft Safety; Civil Aviation; Flight Safety; United States*

**20070005419** North Carolina State Univ., Raleigh, NC USA

**Design of Autonomous Navigation Controllers for Unmanned Aerial Vehicles Using Multi-Objective Genetic Programming**

Barlow, Gregory J; Mar 2004; 182 pp.; In English

Report No.(s): AD-A460111; No Copyright; Avail.: CASI: [A09](#), Hardcopy

Unmanned aerial vehicles (UAVs) have become increasingly popular for many applications, including search and rescue, surveillance, and electronic warfare, but almost all UAVs are controlled remotely by humans. Methods of control must be developed before UAVs can become truly autonomous. While the field of evolutionary robotics (ER) has made strides in using evolutionary computation (EC) to develop controllers for wheeled mobile robots, little attention has been paid to applying EC to UAV control. EC is an attractive method for developing UAV controllers because it allows the human designer to specify the set of high level goals that are to be solved by artificial evolution. In this research, autonomous navigation controllers were developed using multi-objective genetic programming (GP) for fixed wing UAV applications. Four behavioral fitness functions were derived from flight simulations. Multi-objective GP used these fitness functions to evolve controllers that were able to locate an electromagnetic energy source, to navigate the UAV to that source efficiently using on-board sensor measurements, and to circle around the emitter. Controllers were evolved in simulation. To narrow the gap between simulated and real controllers, the simulation environment employed noisy radar signals and a sensor model with realistic inaccuracies.

DTIC

*Autonomous Navigation; Control Systems Design; Drone Vehicles; Genetics; Pilotless Aircraft*

**20070005458** Air War Coll., Maxwell AFB, AL USA

**Near Space: Should Air Force Space Command Take Control of Its Shore?**

Hall, Kurt D; Sep 2006; 39 pp.; In English

Report No.(s): AD-A460177; No Copyright; Avail.: CASI: [A03](#), Hardcopy

One lesson the DOD realized from recent conflicts, as well as humanitarian and relief operations, involves significant shortfalls in command, control, communications, computers, intelligence, surveillance, and reconnaissance (C4ISR), the enabling mission area that supports joint operations across the range of military operations. These shortfalls include tactical beyond-line-of-sight (BLOS) communications on the move, persistent ISR, red-force tracking, change detection, detection of mines and improvised explosive devices, and all-weather imaging. To correct these shortfalls, Gen John P. Jumper, the former Air Force chief of staff, tasked Air Force Space Command (AFSPC) with the responsibility of developing, fielding, and executing tactical and operationally responsive space (ORS) capabilities near and through space. Although it has expertise in providing capabilities through and in space, AFSPC possesses no such skills in near space—the portion of Earth's atmosphere above internationally controlled airspace (65,000 feet) and below the recognized limit of orbital space (60 miles). Thus, AFSPC created the Joint Warfighting Space (JWS) initiative, which focuses on near space due to the claim of achieving space-like capabilities at a lower cost and providing them directly to tactical commanders. AFSPC claims that future near-space systems will have BLOS communications and ISR persistence measured in days, weeks, and months, greatly exceeding the capabilities of long-endurance vehicles such as unmanned aerial vehicles (UAV). These systems will look like neither satellites nor launch vehicles but more like balloons and blimps. The USA has had experience with the latter two since the 1930s—but at aircraft altitudes. Accordingly, the JWS team galvanized universities and commercial companies to improve current near-space capabilities, and recent experiments with balloons and tactical radios for BLOS communications show promise.

DTIC

*Command and Control*

**20070005485** Naval Postgraduate School, Monterey, CA USA

**Cost Valuation: A Model for Comparing Dissimilar Aircraft Platforms**

Long, Eric J; Dec 2006; 59 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460310; No Copyright; Avail.: CASI: [A04](#), Hardcopy

The purpose of this MBA Project was to investigate and provide an overview of current cost valuation methods used to compare aircraft and then determine if the current methods were satisfactory for comparing dissimilar aircraft platforms. The goal of the project was to develop a model using O&S and procurement cost inputs together with aircraft inventory and utilization data in order to produce a cost per unit hour for any given aircraft. A demonstration of the model's validity using aircraft and cost data from the Predator UAV and the F-16 was then performed to illustrate how it can be used to aid comparisons of dissimilar aircraft platforms that perform similar missions.

DTIC

*Aircraft; Cost Analysis; Costs; Mathematical Models; Procurement*

**20070005490** Naval Postgraduate School, Monterey, CA USA

**An Analysis of Earned Value Management Implementation Within the F-22 System Program Office's Software Development**

Dibert, John C; Velez, John C; Dec 2006; 143 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460316; No Copyright; Avail.: CASI: [A07](#), Hardcopy

Department of Defense (DoD) use of Earned Value Management (EVM) program control tool has significantly increased in the last ten years. DoD acquisition policy and training promotes EVM as a cost and schedule management tool, tracking the earned value of the work completed per the baseline plan. Acquisition Category ID programs like the US Air Force F-22 fighter program use EVM to manage their software development efforts, but has the program's implementation of EVM followed the industry-recognized 32 criteria outlined in ANSI/EIA-748-A-1998 (Earned Value Management System Standards) necessary to successfully implement EVM? Using these 32 criteria, an evaluation was performed, aimed at assessing the implementation of EVM in the F-22 program. The goal: to academically appraise the program's use of EVM in managing Spiral 2, an F-22 avionics software modernization effort. To accomplish this goal a detailed evaluation of how the program meets the 32 criteria was conducted along with analysis of program data, interviews of subject matter experts and a statistical questionnaire conducted with F-22 personnel. Results indicated areas of possible improvement in the use of EVM and potential changes to the F-22 development environment to improve planning, scheduling and budgeting of the EVM baseline.

DTIC

*Acquisition; Computer Programming; F-22 Aircraft; Fighter Aircraft; Software Engineering; Systems Engineering*

## 06

### AVIONICS AND AIRCRAFT INSTRUMENTATION

Includes all avionics systems, cockpit and cabin display devices, and flight instruments intended for use in aircraft. For related information see also 04 Aircraft Communications and Navigation; 08 Aircraft Stability and Control; 19 Spacecraft Instrumentation and Astrionics; and 35 Instrumentation and Photography.

**20070003598** NASA Dryden Flight Research Center, Edwards, CA, USA

**Turbulence and Mountain Wave Conditions Observed with an Airborne 2-Micron Lidar**

Teets, Edward H., Jr.; Ehernberger, Jack; Bogue, Rodney; Ashburn, Chris; [2007]; 9 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains black and white illustrations

Report No.(s): AIAA Paper 2007-79; Copyright; Avail.: CASI: [A02](#), Hardcopy

Joint efforts by the National Aeronautics and Space Administration (NASA), the Department of Defense, and industry partners are enhancing the capability of airborne wind and turbulence detection. The Airborne Coherent Lidar for Advanced In-Flight Measurements (ACLAIM) was flown on three series of flights to assess its capability over a range of altitudes, air mass conditions, and gust phenomena. This paper describes the observation of mountain waves and turbulence induced by mountain waves over the Tehachapi and Sierra Nevada mountain ranges in southern California by lidar onboard the NASA Airborne Science DC-8 airplane. The examples in this paper compare lidar-predicted mountain waves and wave-induced turbulence to subsequent aircraft-measured true airspeed. Airplane acceleration data is presented describing the effects of the wave-induced turbulence on the DC-8 airplane. Highlights of the lidar-predicted airspeed from the two flights show increases of 12 m/s at the mountain wave interface and peak-to-peak airspeed changes of 10 m/s and 15 m/s in a span of 12 s in moderate turbulence.

Author

*Turbulence; Airborne Equipment; Radar Measurement; Optical Radar; Detection; Air Masses; Airspeed*

**20070003685** Turpin Technologies, Foster City, CA, USA

**Comanche Helmet-Mounted Display Heading-Tape Simulation**

Turpin, Terry; Dowell, Susan; Atencio, Adolph; October 2006; 164 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): NAS2-01055

Report No.(s): NASA/CR-2006-212833; A-0600014; Copyright; Avail.: CASI: [A08](#), Hardcopy

The Aeroflightdynamics Directorate (AMRDEC) conducted a simulation to assess the performance associated with a Contact Analog, world-referenced heading tape as implemented on the Comanche Helmet Integrated Display Sight System (HIDSS) when compared with a Compressed heading tape similar to that specified by the former Military Standard (MIL-STD) 1295. Six experienced pilots flew three modified Aeronautical Design Standards (ADS)-33 maneuvers (Hover Turn, Bob-up, Transient Turn) and a precision traffic pattern in the NASA Vertical Motion Simulator (VMS). Analysis of the pilot objective performance data and subjective handling qualities ratings (HQRs) showed the following: Compressed symbology in the Velocity Stabilization (VelStab) flight mode generally produced the most precise performances over Contact Analog symbology with respect to the heading, altitude, position, and time criteria specified for the maneuvers tested. VelStab outperformed the Automatic Flight Control System (AFCS) on all maneuvers achieving desired performance on most maneuvers for both symbol sets. Performance in the AFCS mode was generally desirable to adequate for heading and altitude and did not meet adequate standards for hover position and time for the Hover Turn and Bob-up maneuvers. VelStab and AFCS performance were nearly the same for the Transient Turn. Pilot comments concerning the Contact Analog heading-tape implementation were generally unfavorable in spite of the achieved levels of performance. HQRs showed Compressed symbology in the VelStab flight mode produced the lowest mean HQR, encompassing mixed ratings of satisfactory handling and needing improvement. All other symbology/flight-mode combinations yielded higher HQRs, which characterized opinions that deficiencies in aircraft handling due to HMD symbology would need improvement. Contact Analog heading tape and other symbology require improvement, especially when operating in the AFCS mode. NASA-TLX rated Compressed symbology in the VelStab flight mode as the least demanding on resources, closely followed by ratings for Contact Analog in the VelStab mode. In a similar pattern, TLX ratings for maneuvers completed in the AFCS mode yielded a higher level of resource demand with even slighter differences between Contact Analog and Compressed symbology sets. Further research should be conducted where objective data and subjective HQR ratings indicate a need for improvement. The areas requiring attention are those where the symbology implementation, the flight control system, or a combination of both caused workload to reach an objectionable level where adequate performance was either difficult to achieve or unachievable. These areas are clearly identified in this report. Symbology that received negative HQR comments by a majority of pilots should also be examined. The summary of pilot comments can be found in appendix A. Additional simulation trials should be considered to address the identified issues.

Author

*Helmet Mounted Displays; Flight Simulation; Controllability; Pilot Performance*

**20070003686** Turpin Technologies, Foster City, CA, USA

**Comanche Helmet-Mounted Display Symbology Simulation**

Turpin, Terry S.; Dowell, Susan R.; Szoboszlai, Zoltan; October 2006; 96 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): NAS2-01055

Report No.(s): NASA/CR-2006-212834; A-0600015; Copyright; Avail.: CASI: [A05](#), Hardcopy

The Aeroflightdynamics Directorate (AMRDEC) conducted a simulation to examine the performance of the Comanche Contact Analog world-referenced symbology displayed on the Comanche HIDSS when compared with a compressed symbology design similar to that specified by the former MIL-STD 1295. Six experimental test pilots flew one modified ADS-33 maneuver (hover turn, bob-up), an unusual attitude recovery, and two terrain flight tactical tasks in the NASA Vertical Motion Simulator (VMS). Analysis of the pilot objective performance data and subjective data showed the following results. Objective test results showed that 1295 symbology yielded more rapid maneuvering in the hover turn bob-up than Contact Analog symbology. The average margin of difference in the time to complete the maneuver was approximately two seconds, which was statistically significant. There were no significant differences measured between symbology sets with respect to altitude or position performance measures for all other maneuvers. The NOE target ID task data showed improved accuracy in determining heading to target when using Contact Analog over MIL-STD-1295. Subjective test results, including handling qualities ratings (HQRs) and NASA-TLX workload ratings, showed small but consistent advantages of 1295 symbology over Contact Analog for most parameters. For the bob-up maneuver, 1295 symbology handling qualities were rated Desired for lateral position error and time to complete whereas Contact Analog was rated adequate. The average HQRs for all other maneuvers were rated the same for both symbology sets. Pilot comments and the results of an online questionnaire more strongly favored 1295 over Contact Analog. Repeated comments from all six pilots led to a focus on design issues with six

Contact Analog symbols. Those symbols were the heading tape, horizon line, radar altitude six-second predictor, the position of the torque symbol, the absence of a hover position cue, and the widespread positioning of the symbology to the outer edges of the display field-of-view. Pilots rated the present design of three of the six symbols as having safety-of-flight implications. Those symbols were the heading tape, horizon line, and six-second predictor. To summarize, test results showed no objective data that would warrant restricting experimental test pilots from flying constrained tasks. Small but consistent advantages were recorded for the MILSTD-1295 symbology design when executing ADS-33 constrained tasks. No general performance differences were recorded for the operational maneuvers (NOE, contour flight modes) except for the azimuth-to-target task, which favored the Contact Analog heading tape design. There were consistent and strong pilot comments supporting the MIL-STD-1295 design over Contact Analog in both this current simulation and in Comanche Sim I. Three Contact Analog symbols warrant modification and further evaluation to mitigate safety-of-flight implications noted by participating test pilots. A plan for symbology redesign and testing was developed. However, the Comanche program was cancelled by Department of Army in February 2004.

Author

*Helmet Mounted Displays; Controllability; Flight Simulation; Pilot Performance; Flight Control*

**20070005129** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**NASA CEV Reference Entry GN&C System and Analysis**

Munday, S.; Madsen, C.; Broome, J.; Gay, R.; Tigges, M.; Strahan, A.; [2007]; 1 pp.; In English; 2007 AAS Guidance and Control Conference, 3-7 Feb. 2007, USA

Contract(s)/Grant(s): CEV 644423.02.36.15.10; No Copyright; Avail.: Other Sources; Abstract Only

As part of its overall objectives, the Orion spacecraft will be required to perform entry and Earth landing functions for Low Earth Orbit (LEO) and Lunar missions. Both of these entry scenarios will begin with separation of the Service Module (SM), making them unique from other Orion mission phases in that only the Command Module (CM) portion of the Crew Exploration Vehicle (CEV) will be involved, requiring a CM specific Guidance, Navigation and Control (GN&C) system. Also common to these mission scenarios will be the need for GN&C to safely return crew (or cargo) to earth within the dynamic thermal and structural constraints of entry and within acceptable accelerations on the crew, utilizing the limited aerodynamic performance of the CM capsule. The lunar return mission could additionally require an initial atmospheric entry designed to support a precision skip and second entry, all to maximize downrange performance and ensure landing in the USA. This paper describes the Entry GN&C reference design, developed by the NASA-led team, that supports these entry scenarios and that was used to validate the Orion System requirements. Description of the reference design will include an overview of the GN&C functions, avionics, and effectors and will relate these to the specific design drivers of the entry scenarios, as well as the desire for commonality in vehicle systems to support the different missions. The discussion will also include the requirement for an Emergency Entry capability beyond that of the nominal performance of the multi-string GNC system, intended to return the crew to the earth in a survivable but unguided manner. Finally, various analyses will be discussed, including those completed to support validation efforts of the current CEV requirements, along with those on-going and planned with the intention to further refine the requirements and to support design development work in conjunction with the prime contractor. Some of these ongoing analyses will include work to size effectors (jets) and fuel budgets, to refine skip entry concepts, to characterize navigation performance and uncertainties, to provide for SM disposal offshore and to identify requirements to support target site selection.

Author

*Crew Exploration Vehicle; Spacecraft Control; Systems Analysis; Avionics; Entry Guidance (STS); Autonomous Navigation; NASA Space Programs*

**20070005131** NASA Johnson Space Center, Houston, TX, USA

**NASA CEV Reference GN&C Architecture**

Tambllyn, Scott; Hinkel, Heather; Saley, Dave; Feb. 3, 2007; 20 pp.; In English; 30th Annual AAS Guidance and Control Conference, 3-7 Feb. 2007, Breckenridge, CO, USA

Contract(s)/Grant(s): WBS 644423.02.36.15.10

Report No.(s): AAS07-071; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005131>; Avail.: CASI: A03,

Hardcopy

The Orion Crew Exploration Vehicle (CEV) will be the first human spacecraft built by NASA in almost 3 decades and will be the first vehicle to perform both Low Earth Orbit (LEO) missions and lunar missions since Apollo. The awesome challenge of designing a Guidance, Navigation, and Control (GN&C) system for this vehicle that satisfies all of its various mission requirements is countered by the opportunity to take advantage of the improvements in algorithms, software, sensors,

and other related GN&C technology over this period. This paper describes the CEV GN&C reference architecture developed to support the overall NASA reference configuration and validate the driving requirements of the Constellation (Cx) Architecture Requirements Document (CARD, Reference 1) and the CEV System Requirements Document (SRD, Reference 2). The Orion GN&C team designed the reference architecture based on the functional allocation of GN&C roles and responsibilities of CEV with respect to the other Cx vehicles, such as the Crew Launch Vehicle (CLV), Earth Departure Stage (EDS), and Lunar Surface Area Module (LSAM), across all flight phases. The specific challenges and responsibilities of the CEV GN&C system from launch pad to touchdown will be introduced along with an overview of the navigation sensor suite, its redundancy management, and flight software (FSW) architecture. Sensors will be discussed in terms of range of operation, data utility within the navigation system, and rationale for selection. The software architecture is illustrated via block diagrams, commensurate with the design aspects.

Author

*Crew Exploration Vehicle; NASA Space Programs; Space Missions; Spacecraft Guidance; Spacecraft Control; Architecture (Computers); Avionics; Software Engineering*

## 07

### AIRCRAFT PROPULSION AND POWER

Includes primary propulsion systems and related systems and components, e.g., gas turbine engines, compressors, and fuel systems; and onboard auxiliary power plants for aircraft. For related information see also 20 Spacecraft Propulsion and Power; 28 Propellants and Fuels; and 44 Energy Production and Conversion.

**20070004888** NASA Stennis Space Center, Stennis Space Center, MS, USA

#### **Overview of Engineering Design and Analysis at the NASA John C. Stennis Space Center**

Ryan, Harry; Congiardo, Jared; Junell, Justin; Kirkpatrick, Richard; [2007]; 1 pp.; In English; Mississippi Engineering Society Meeting, 25-27 Feb. 2007, Jackson, MS, USA; No Copyright; Avail.: Other Sources; Abstract Only

A wide range of rocket propulsion test work occurs at the NASA John C. Stennis Space Center (SSC) including full-scale engine test activities at test facilities A-1, A-2, B-1 and B-2 as well as combustion device research and development activities at the E-Complex (E-1, E-2, E-3 and E-4) test facilities. The propulsion test engineer at NASA SSC faces many challenges associated with designing and operating a test facility due to the extreme operating conditions (e.g., cryogenic temperatures, high pressures) of the various system components and the uniqueness of many of the components and systems. The purpose of this paper is to briefly describe the NASA SSC Engineering Science Directorate's design and analysis processes, experience, and modeling techniques that are used to design and support the operation of unique rocket propulsion test facilities.

Author

*Design Analysis; Rocket Test Facilities; Engine Tests; Full Scale Tests*

**20070004892** United Technologies Research Center, East Hartford, CT, USA

#### **Solid Oxide Fuel Cell APU Feasibility Study for a Long Range Commercial Aircraft Using UTC ITAPS Approach, Volume 1, Aircraft Propulsion and Subsystems Integration Evaluation**

Srinivasan, Hari; Yamanis, Jean; Welch, Rick; Tulyani, Sonia; Hardin, Larry; December 2006; 40 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): NAS3-01138; WBS 561581.02.08.03.06.01

Report No.(s): NASA/CR-2006-214458/VOL1; E-15722; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070004892>;

Avail.: CASI: A03, Hardcopy

The objective of this contract effort was to define the functionality and evaluate the propulsion and power system benefits derived from a Solid Oxide Fuel Cell (SOFC) based Auxiliary Power Unit (APU) for a future long range commercial aircraft, and to define the technology gaps to enable such a system. The study employed technologies commensurate with Entry into Service (EIS) in 2015. United Technologies Corporation (UTC) Integrated Total Aircraft Power System (ITAPS) methodologies were used to evaluate system concepts to a conceptual level of fidelity. The technology benefits were captured as reductions of the mission fuel burn and emissions. The baseline aircraft considered was the Boeing 777-200ER airframe with more electric subsystems, Ultra Efficient Engine Technology (UEET) engines, and an advanced APU with ceramics for increased efficiency. In addition to the baseline architecture, four architectures using an SOFC system to replace the conventional APU were investigated. The mission fuel burn savings for Architecture-A, which has minimal system integration, is 0.16 percent. Architecture-B and Architecture-C employ greater system integration and obtain fuel burn benefits of 0.44 and

0.70 percent, respectively. Architecture-D represents the highest level of integration and obtains a benefit of 0.77 percent.  
Author

*Auxiliary Power Sources; Solid Oxide Fuel Cells; Boeing 777 Aircraft; Ceramics; Airframes*

**20070004901** NASA Glenn Research Center, Cleveland, OH, USA

**A Bearingless Switched-Reluctance Motor for High Specific Power Applications**

Choi, Benjamin B.; Siebert, Mark; December 2006; 21 pp.; In English; 42nd Joint Propulsion Conference and Exhibit, 9-12 Jul. 2006, Sacramento, CA, USA; Original contains color and black and white illustrations

Contract(s)/Grant(s): WBS 561581.02.08.03.06.04

Report No.(s): NASA/TM-2006-214486; AIAA Paper 2006-4804; E-15743; Copyright; Avail.: CASI: [A03](#), Hardcopy

A 12-8 switched-reluctance motor (SRM) is studied in bearingless (or self-levitated) operation with coil currents limited to the linear region to avoid magnetic saturation. The required motoring and levitating currents are summed and go into a single motor coil per pole to obtain the highest power output of the motor by having more space for motor coil winding. Two controllers are investigated for the bearingless SRM operation. First, a model-based controller using the radial force, which is adjusted by a factor derived from finite element analysis, is presented. Then a simple and practical observation-based controller using a PD (proportional-derivative) control algorithm is presented. Both controllers were experimentally demonstrated to 6500 rpm. This paper reports the initial efforts toward eventual self levitation of a SRM operating into strong magnetic core saturation at liquid nitrogen temperature.

Author

*Electric Motors; Magnetic Bearings; Bearingless Rotors; Controllers; Proportional Control*

**20070004966** Waddey and Patterson, Nashville, TN, USA

**Recuperator Assembly and Procedures**

Kang, Y.; McKeirnan, R. D.; 12 Aug 04; 28 pp.; In English

Contract(s)/Grant(s): DE-FC02-00CH11058

Patent Info.: Filed Filed 12 Aug 04; US-Patent-Appl-SN-10-917 118

Report No.(s): PB2007-100884; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A construction of recuperator core segments is provided which insures proper assembly of the components of the recuperator core segment, and of a plurality of recuperator core segments. Each recuperator core segment must be constructed so as to prevent nesting of fin folds of the adjacent heat exchanger foils of the recuperator core segment. A plurality of recuperator core segments must be assembled together so as to prevent nesting of adjacent fin folds of adjacent recuperator core segments.

NTIS

*Gas Turbines; Patent Applications; Regenerators*

**20070005005** Harper (M. Bruce), Virginia Beach, VA, USA

**Method and Device for Creating a Micro Plasma Jet**

Mohamed, A. A. H.; Kolb, J. F.; Schoenbach, K. H.; 31 May 05; 12 pp.; In English

Contract(s)/Grant(s): AFOSR-F49620-00-1-0079

Patent Info.: Filed Filed 31 May 05; US-Patent-Appl-SN-11-141-723

Report No.(s): PB2007-103892; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A microhollow cathode discharge assembly capable of generating a low temperature, atmospheric pressure plasma micro jet is disclosed. The microhollow assembly has at two electrodes: an anode and a cathode separated by a dielectric. A microhollow gas passage is disposed through the three layers, preferably in a taper such that the area at the anode is larger than the area at the cathode. When a potential is placed across the electrodes and a gas is directed through the gas passage into the anode and out the cathode, along the tapered direction, then a low temperature micro plasma jet can be created at atmospheric pressure.

NTIS

*Ignition; Patent Applications; Plasmas (Physics)*

**20070005142** NASA Langley Research Center, Hampton, VA, USA

**The Art of Extracting One-Dimensional Flow Properties from Multi-Dimensional Data Sets**

Baurle, R. A.; Gaffney, R. L.; [2007]; 19 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 599489.02.07.07.01

Report No.(s): AIAA Paper 2007-0639; Copyright; Avail.: CASI: **A03**, Hardcopy

The engineering design and analysis of air-breathing propulsion systems relies heavily on zero- or one-dimensional properties (e.g: thrust, total pressure recovery, mixing and combustion efficiency, etc.) for figures of merit. The extraction of these parameters from experimental data sets and/or multi-dimensional computational data sets is therefore an important aspect of the design process. A variety of methods exist for extracting performance measures from multi-dimensional data sets. Some of the information contained in the multi-dimensional flow is inevitably lost when any one-dimensionalization technique is applied. Hence, the unique assumptions associated with a given approach may result in one-dimensional properties that are significantly different than those extracted using alternative approaches. The purpose of this effort is to examine some of the more popular methods used for the extraction of performance measures from multi-dimensional data sets, reveal the strengths and weaknesses of each approach, and highlight various numerical issues that result when mapping data from a multi-dimensional space to a space of one dimension.

Author

*Flow Characteristics; Air Breathing Engines; Combustion Efficiency; Propulsion System Configurations; Design Analysis; Propulsion System Performance*

**20070005392** Air Force Research Lab., Wright-Patterson AFB, OH USA

**Pulse Detonation Physiochemical and Exhaust Relaxation Processes**

Schauer, Frederick R; May 2006; 44 pp.; In English

Contract(s)/Grant(s): Proj-2308

Report No.(s): AD-A460078; AFRL-PR-WP-TR-2006-2125; No Copyright; Avail.: CASI: **A03**, Hardcopy

The objective of this program is to establish the scientific knowledge of detonation initiation, propagation, and blow-down needed to develop a pulse detonation engine (PDE) that will function on hydrocarbon fuels. The complex interaction of chemistry, gas dynamics, turbulent mixing, and geometry are responsible for the success or failure of the detonation phenomena required to operate a PDE. Detonation tube exhaust blow-down conditions, which are predicted to have a significant impact upon performance, will be explored in order to achieve basic understanding of the relationships between detonation, nozzles, and multiple detonation tube interactions.

DTIC

*Detonation; Physiochemistry; Pulse Detonation Engines; Pulsejet Engines*

## 08

### AIRCRAFT STABILITY AND CONTROL

Includes flight dynamics, aircraft handling qualities, piloting, flight controls, and autopilots. For related information see also 05 Aircraft Design, Testing and Performance; and 06 Avionics and Aircraft Instrumentation.

**20070003558** NASA Langley Research Center, Hampton, VA, USA

**Angle Measurement System (AMS) for Establishing Model Pitch and Roll Zero, and Performing Single Axis Angle Comparisons**

Crawford, Bradley L.; January 2007; 10 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color and black and white illustrations

Contract(s)/Grant(s): WBS 581-02-09-07

Report No.(s): AIAA Paper 2007-1162; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003558>; Avail.: CASI: **A02**, Hardcopy

The angle measurement system (AMS) developed at NASA Langley Research Center (LaRC) is a system for many uses. It was originally developed to check taper fits in the wind tunnel model support system. The system was further developed to measure simultaneous pitch and roll angles using 3 orthogonally mounted accelerometers (3-axis). This 3-axis arrangement is used as a transfer standard from the calibration standard to the wind tunnel facility. It is generally used to establish model pitch and roll zero and performs the in-situ calibration on model attitude devices. The AMS originally used a laptop computer running DOS based software but has recently been upgraded to operate in a windows environment. Other improvements have

also been made to the software to enhance its accuracy and add features. This paper will discuss the accuracy and calibration methodologies used in this system and some of the features that have contributed to its popularity.

Author

*Angles (Geometry); Pitch (Inclination); Roll; Wind Tunnel Models; Accelerometers*

**20070003597** NASA Dryden Flight Research Center, Edwards, CA, USA

**Tailored Excitation for Frequency Response Measurement Applied to the X-43A Flight Vehicle**

Baumann, Ethan; January 2007; 29 pp.; In English; Original contains color and black and white illustrations

Report No.(s): NASA/TM-2007-214609; H-2679; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003597>;

Avail.: CASI: [A03](#), Hardcopy

An important aspect of any flight research project is assessing aircraft stability and flight control performance. In some programs this assessment is accomplished through the estimation of the in-flight vehicle frequency response. This estimation has traditionally been a lengthy task requiring separate swept sine inputs for each control axis at a constant flight condition. Hypersonic vehicles spend little time at any specific flight condition while they are decelerating. Accordingly, it is difficult to use traditional methods to calculate the vehicle frequency response and stability margins for this class of vehicle. A technique has been previously developed to significantly reduce the duration of the excitation input by tailoring the input to excite only the frequency range of interest. Reductions in test time were achieved by simultaneously applying tailored excitation signals to multiple control loops, allowing a quick estimate of the frequency response of a particular aircraft. This report discusses the flight results obtained from applying a tailored excitation input to the X-43A longitudinal and lateral-directional control loops during the second and third flights. The frequency responses and stability margins obtained from flight data are compared with preflight predictions.

Author

*Frequency Ranges; Hypersonic Vehicles; Excitation; Flight Control; Stability; Aircraft Control; Directional Control; Lateral Control*

**20070003677** NASA Ames Research Center, Moffett Field, CA, USA

**Feedback Linearized Aircraft Control Using Dynamic Cell Structure**

Jorgensen, C. C.; [1998]; 1 pp.; In English; WAC 98, 10-14 May 1998, Anchorage, AK, USA; No Copyright; Avail.:

Other Sources; Abstract Only

A Dynamic Cell Structure (DCS ) Neural Network was developed which learns a topology representing network (TRN) of F-15 aircraft aerodynamic stability and control derivatives. The network is combined with a feedback linearized tracking controller to produce a robust control architecture capable of handling multiple accident and off-nominal flight scenarios. This paper describes network and its performance for accident scenarios including differential stabilator lock, soft sensor failure, control, stability derivative variation, and turbulence.

Author

*Aircraft Control; Feedback Control; Linearization; Dynamic Control; Neural Nets; Topology*

**20070005019** NASA Dryden Flight Research Center, Edwards, CA, USA

**Guidance and Control of an Autonomous Soaring UAV**

Allen, Michael J.; February 2007; 31 pp.; In English; Original contains color illustrations

Report No.(s): NASA/TM-2007-214611; H-2682; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005019>;

Avail.: CASI: [A03](#), Hardcopy

Thermals caused by convection in the lower atmosphere are commonly used by birds and glider pilots to extend flight duration, increase cross-country speed, improve range, or simply to conserve energy. Uninhabited Aerial Vehicles (UAVs) can also increase performance and reduce energy consumption by exploiting atmospheric convection. An autonomous soaring research project was conducted at the NASA Dryden Flight Research Center to evaluate the concept through flight test of an electric-powered motor-glider with a wingspan of 4.27 m (14 ft). The UAV's commercial autopilot software was modified to include outer-loop soaring guidance and control. The aircraft total energy state was used to detect and soar within thermals. Estimated thermal size and position were used to calculate guidance commands for soaring flight. Results from a total of 23 thermal encounters show good performance of the guidance and control algorithms to autonomously detect and exploit thermals. The UAV had an average climb of 172 m (567 ft) during these encounters.

Author

*Soaring; Flight Tests; Air Currents; Automatic Pilots; Autonomy; Climbing Flight; Convection Currents; Pilotless Aircraft*



**20070005228** Boeing Co., Seattle, WA USA

**Flight Display Integration**

French, Guy A; Hopper, Darrel G; Reising, John M; Snow, Michael P; Oct 18, 2006; 64 pp.; In English

Contract(s)/Grant(s): Proj-7184

Report No.(s): AD-A459774; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459774>; Avail.: CASI: [A04](#),  
Hardcopy

This report begins with a discussion of the analysis of the human and display factors relevant to synthetic vision in a military cockpit environment. It then describes the results of studies examining the impact of synthetic vision displays on pilot situation awareness and workload. Concluding remarks on additional factors affecting the use of synthetic vision and a future concept for its implementation are described.

DTIC

*Enhanced Vision; Flight Control*

**09**

**RESEARCH AND SUPPORT FACILITIES (AIR)**

Includes airports, runways, hangars, and aircraft repair and overhaul facilities; wind tunnels, water tunnels, and shock tubes; flight simulators; and aircraft engine test stands. Also includes airport ground equipment and systems. For airport ground operations see *03 Air Transportation and Safety*. For astronomical facilities see *14 Ground Support Systems and Facilities (Space)*.

**20070003589** NASA Langley Research Center, Hampton, VA, USA

**Test Capability Enhancements to the NASA Langley 8-Foot High Temperature Tunnel**

Harvin, S. F.; Cabell, K. F.; Gallimore, S. D.; Mekkes, G. L.; [2006]; 16 pp.; In English; JANNAF 41st Combustion/29th Airbreathing Propulsion/23rd Propulsion Systems Hazards Joint Subcommittee Meeting, 4-8 Dec. 2006, San Diego, Ca, USA; Original contains color and black and white illustrations

Contract(s)/Grant(s): WBS 759-07-06; Copyright; Avail.: CASI: [A03](#), Hardcopy

The NASA Langley 8-Foot High Temperature Tunnel produces true enthalpy environments simulating flight from Mach 4 to Mach 7, primarily for airbreathing propulsion and aerothermal/thermo-structural testing. Flow conditions are achieved through a methane-air heater and nozzles producing aerodynamic Mach numbers of 4, 5 or 7 and have exit diameters of 8 feet or 4.5 feet. The 12-ft long free-jet test section, housed inside a 26-ft vacuum sphere, accommodates large test articles. Recently, the facility underwent significant upgrades to support hydrocarbon fueled scramjet engine testing and to expand flight simulation capability. The upgrades were required to meet engine system development and flight clearance verification requirements originally defined by the joint NASA-Air Force X-43C Hypersonic Flight Demonstrator Project and now the Air Force X-51A Program. Enhancements to the 8-Ft. HTT were made in four areas: 1) hydrocarbon fuel delivery; 2) flight simulation capability; 3) controls and communication; and 4) data acquisition/processing. The upgrades include the addition of systems to supply ethylene and liquid JP-7 to test articles; a Mach 5 nozzle with dynamic pressure simulation capability up to 3200 psf, the addition of a real-time model angle-of-attack system; a new programmable logic controller sub-system to improve process controls and communication with model controls; the addition of MIL-STD-1553B and high speed data acquisition systems and a classified data processing environment. These additions represent a significant increase to the already unique test capability and flexibility of the facility, and complement the existing array of test support hardware such as a model injection system, radiant heaters, six-component force measurement system, and optical flow field visualization hardware. The new systems support complex test programs that require sophisticated test sequences and precise management of process fluids. Furthermore, the new systems, such as the real-time angle of attack system and the new programmable logic controller enhance the test efficiency of the facility. The motivation for the upgrades and the expanded capabilities is described here.

Author

*Aerothermodynamics; Augmentation; Engine Tests; Flight Simulation; Flight Tests; High Temperature; Hypersonic Speed; Supersonic Speed; Vacuum Tests; Wind Tunnels*

**20070004706** Oak Ridge National Lab., TN USA

**Automation & Characterization of US Air Force Bench Top Wind Tuunnels. Summary Report**

Hardy, J. E.; McKnight, T. E.; Jones, R. W.; Feb. 2006; 68 pp.; In English

Report No.(s): DE2006-886016; No Copyright; Avail.: National Technical Information Service (NTIS)

The USA Air Force Precision Measurement Equipment Laboratories (PMEL) calibrate over 1,000 anemometer probes per

year. To facilitate a more efficient calibration process for probe-style anemometers, the Air Force Metrology and Calibration Program underwent an effort to modernize the existing PMEL bench top wind tunnels. Through a joint effort with the Department of Energy's Oak Ridge National Laboratory, the performance of PMEL wind tunnels was improved. The improvement consisted of new high accuracy sensors, automatic data acquisition, and a software-driven calibration process. As part of the wind tunnel upgrades, an uncertainty analysis was completed, laser Doppler velocimeter profiling was conducted to characterize the velocities at probe locations in the wind tunnel, and pitot tube calibrations of the wind tunnel were verified.

NTIS

*Anemometers; Velocity Measurement; Wind Tunnels*

**20070005272** RAND Corp., Santa Monica, CA USA

**The Maintenance Costs of Aging Aircraft: Insights from Commercial Aviation**

Dixon, Matthew; Jan 2006; 110 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): F49642-01-C-0003

Report No.(s): AD-A459880; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459880>; Avail.: Defense Technical Information Center (DTIC)

This monograph examines 'aging effects' - i.e., how commercial aircraft maintenance costs change as aircraft grow older. Although commercial aircraft clearly differ from military aircraft, commercial aviation aging-effect estimates might help the Air Force to project how its maintenance costs will change over time.

DTIC

*Airline Operations; Commercial Aircraft; Costs; Maintenance*

**20070005399** Army Engineer Research and Development Center, Vicksburg, MS USA

**Seismic Measurement of Concrete Strength Properties**

Bell, Haley P; Dec 2006; 84 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): MIPR-3FDPWRPM10

Report No.(s): AD-A460086; ERDC/GSL-TR-06-27; No Copyright; Avail.: CASI: [A05](#), Hardcopy

An assessment of the portable seismic pavement analyzer (PSPA) was conducted during the period February to April 2005 on three military airfields in order to determine the feasibility of rapidly obtaining the modulus and flexural strength of portland cement concrete and asphalt concrete pavements. The PSPA is a nondestructive testing device that measures seismic modulus using ultrasonic surface waves. The objective of this research is to evaluate the PSPA as an alternative to core sampling. This would potentially reduce the amount of time required for testing and eliminate the need for laboratory testing of concrete cores. This report provides (a) background information on the PSPA, (b) a summary of recent PSPA research, (c) test methods used in airfield evaluations, (d) field testing information, (e) data analysis, and (f) recommendations for utilizing flexural strength relationships.

DTIC

*Airports; Analyzers; Asphalt; Concretes; Flexural Strength; Landing Sites; Pavements; Surface Waves*

## 12

### ASTRONAUTICS (GENERAL)

Includes general research topics related to space flight and manned and unmanned space vehicles, platforms or objects launched into, or assembled in, outer space; and related components and equipment. Also includes manufacturing and maintenance of such vehicles or platforms. For specific topics in astronautics see *categories 13 through 20*. For extraterrestrial exploration see *91 Lunar and Planetary Science and Exploration*.

**20070004681** Oak Ridge National Lab., TN USA, Swales Aerospace, Beltsville, MD, USA

**Technology Development Program for an Advanced Potassium Rankine Power Conversion System Compatible with Several Space Reactor Designs**

Yoder, G. L.; Carbajo, J. J.; Murphy, R. W.; Moriarty, M. P.; Widman, F. J.; Sep. 2005; 216 pp.; In English

Report No.(s): DE2006-885992; No Copyright; Avail.: National Technical Information Service (NTIS)

This report documents the work performed during the first phase of the National Aeronautics and Space Administration (NASA), National Research Announcement (NRA) Technology Development Program for an Advanced Potassium Rankine Power Conversion System Compatible with Several Space Reactor Designs. The document includes an optimization of both

100-kW(sub e) and 250-kW(sub e) (at the propulsion unit) Rankine cycle power conversion systems. In order to perform the mass optimization of these systems, several parametric evaluations of different design options were investigated. These options included feed and reheat, vapor superheat levels entering the turbine, three different material types, and multiple heat rejection system designs.

NTIS

*Nuclear Reactions; Potassium; Rankine Cycle; Reactor Design*

**20070004980** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA, ION Beam Optics, Inc., Thousand Oaks, CA, USA

**Concept for Lightweight Spaced-Based Deposition Technology**

Fulton, M. L.; Anders, A.; Apr. 2006; 7 pp.; In English

Report No.(s): DE2006-889329; LBNL-59774; No Copyright; Avail.: National Technical Information Service (NTIS)

In this contribution we will describe a technology path to very high quality coatings fabricated in the vacuum of space. To accomplish the ambitious goals set out in NASA's Lunar-Mars proposal, advanced thin-film deposition technology will be required. The ability to deposit thin-film coatings in the vacuum of lunar-space could be extremely valuable for executing this new space mission. Developing lightweight space-based deposition technology (goal: <math>\leq 300\text{ g}</math>, including power supply) will enable the future fabrication and repair of flexible large-area space antennae and fixed telescope mirrors for lunar-station observatories. Filtered Cathodic Arc (FCA) is a proven terrestrial energetic thin-film deposition technology that does not need any processing gas but is well suited for ultra-high vacuum operation. Recently, miniaturized cathodic arcs have already been developed and considered for space propulsion. It is proposed to combine miniaturized pulsed FCA technology and robotics to create a robust, enabling space-based deposition system for the fabrication, improvement, and repair of thin films, especially of silver and aluminum, on telescope mirrors and eventually on large area flexible substrates.

NTIS

*Deposition; Spacecraft Design*

**20070005160** Sandia National Labs., Albuquerque, NM USA

**Survey of the Hypervelocity Impact Technology and Applications**

Chhabildas, L.; Orphal, D.; May 2006; 26 pp.; In English

Report No.(s): DE2006-887254; SAND2006-3087; No Copyright; Avail.: Department of Energy Information Bridge

HVIS 2005 was a clear success. The Symposium brought together nearly two hundred active researchers and students from thirteen countries around the world. The 84 papers presented at HVIS 2005 constitute an update on current research and the state-of-the-art of hypervelocity science. Combined with the over 7000 pages of technical papers from the eight previous Symposia, beginning in 1986, all published in the International Journal of Impact Engineering, the papers from HVIS 2005 add to the growing body of knowledge and the progressing state-of-the-art of hypervelocity science. It is encouraging to report that even with the limited funding resources compared to two decades ago, creativity and ingenuity in hypervelocity science are alive and well. There is considerable overlap in different disciplines that allows researchers to leverage. Experimentally, higher velocities are now available in the laboratory and are ideally suited for space applications that can be tied to both civilian (NASA) and DoD military applications. Computationally, there is considerable advancement both in computer and modeling technologies. Higher computing speeds and techniques such as parallel processing allow system level type applications to be addressed directly today, much in contrast to the situation only a few years ago. Needless to say, both experimentally and computationally, the ultimate utility will depend on the curiosity and the probing questions that will be incumbent upon the individual researcher. It is quite satisfying that over two dozen students attended the symposium. Hopefully this is indicative of a good pool of future researchers that will be needed both in the government and civilian industries. It is also gratifying to note that novel thrust areas exploring different and new material phenomenology relevant to hypervelocity impact, but a number of other applications as well, are being pursued. In conclusion, considerable progress is still being made that is beneficial for continuous development of hypervelocity impact technology and applications even with the relatively limited resources that are being directed in this field.

NTIS

*Hypervelocity Impact; Surveys; Technology Utilization*

**20070005208** Air Force Doctrine Center, Maxwell AFB, AL USA

**Space Operations**

Aug 23, 1998; 40 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459658; AFDD-2-2; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459658>; Avail.: Defense Technical Information Center (DTIC)

The USA is the world's foremost aerospace power, and our space forces are essential elements of that power. Space systems and capabilities enhance the precision, lethality, survivability, and agility of all operations -- air, land, sea, and special operations. Space operations are key elements in achieving global awareness and maintaining information superiority. Space assets contribute significantly to overall aerospace superiority and support the full spectrum of military actions in theaters of operations. The USA Air Force is an aerospace force comprised of both air and space systems and the people who employ and support these systems. Space Operations doctrine defines space's attributes and its contribution to aerospace power. This document provides employment concepts that integrate space capabilities into theater campaigns. Space Operations describes a command structure for responsive space force operations. As a keystone doctrine document, it underscores the seamless integration of space into the total aerospace effort.

DTIC

*Aerospace Environments; Military Operations*

**20070005385** Air Force Research Lab., Kirkland AFB, NM USA

**Issues and Implications of the Thermal Control System on Responsive Space Missions**

Williams, Andrew D; Palo, Scott E; Aug 2006; 13 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460070; AFRL-VS-PS-TP-2006-1043; No Copyright; Avail.: CASI: [A03](#), Hardcopy

One aspect that poses a significant hurdle to achieving the goals of Operationally Responsive Space (ORS) is the thermal control system (TCS). Traditionally the TCS must be vigorously designed, analyzed, tested, and optimized from the ground up for every satellite mission. This reinvention of the wheel is costly and time intensive. Current design cycles require years. Next generation satellite thermal management must be robust, modular, and scalable in order to cover a wide range of applications, orbits, and mission requirements. To provide a better understanding of the issues and implications of the TCS and to help bound the problem for the development of robust and modular thermal designs, a preliminary analysis was conducted to determine the upper and lower design bounds for a small responsive satellite. In addition, the range of external heat loads for small satellites in low earth orbit were evaluated. From this analysis, the worst hot and cold cases conditions were identified. Using these two cases, various design parameters were evaluated, three different design approaches were compared, and the feasibility of a one-size-fits-all approach was assessed.

DTIC

*Space Missions; Temperature Control*

**20070005471** Cincinnati Univ., OH USA

**Conference Support - Surgery in Extreme Environments - Center for Surgical Innovation**

Doarn, Charles R; Jan 2007; 48 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460281; W81XWH-05-1-0414; No Copyright; Avail.: CASI: [A03](#), Hardcopy

This report is a summary of a symposium that was planned and held on December 5-6, 2005, in Houston, TX. The focus of this symposium was on surgery in extreme environments. During this two day event, invited experts from academia, government, and industry gathered to discuss what has already been accomplished in the area of surgery during spaceflight. This included activities underway within DoD, TATRO, NASA, the Russian Space Program, and other activities in which a surgical presence, although limited, was used in extreme environments. This symposium looked at the many challenges that are faced in providing advanced surgical care.

DTIC

*Aerospace Medicine; Conferences; Space Flight; Surgery*

**20070005488** Naval Postgraduate School, Monterey, CA USA

**Analyzing the Structure of Air Force Space Acquisitions**

Coon, Edith-Dawnn; Gonzalez, Gerardo O; Martin, Jennifer L; Dec 2006; 121 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460313; No Copyright; Avail.: CASI: [A06](#), Hardcopy

The purpose of this research is to analyze the current structure of the acquisition arm of Air Force Space Command using the Policies and Process cornerstone of the Framework for Assessing the Acquisition Function at Federal Agencies. The acquisition arm belonged to Air Force Materiel Command until 1 October 2001, when the reigns were transferred to Air Force Space Command to provide cradle-to-grave management from concept through development, acquisition, sustainment, and final disposal of space systems. The objective of this research is to determine if the current Air Force Space Acquisition policies and processes are efficient and effective according to the GAO-05-218G 'Framework for Assessing the Acquisition

Function at Federal Agencies'. This research will provide results of a questionnaire and will provide an assessment of that questionnaire.

DTIC

*Acquisition; Aerospace Systems*

### 13 ASTRODYNAMICS

Includes powered and free flight trajectories; orbital and launching dynamics.

**20070005245** Boston Coll., Chestnut Hill, MA USA

#### **Environmental Support to Space Launch**

Thorp, Sheryl F; Kapel, Mike; May 31, 2006; 62 pp.; In English

Contract(s)/Grant(s): FA8718-05-C-0085; Proj-1010

Report No.(s): AD-A459835; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459835>; Avail.: CASI: [A04](#),

Hardcopy

We investigated environmental impacts to space launch at the Eastern and Western Ranges. In order to develop a truly responsive launch capability significant research needs to be conducted in specification and prediction of the atmosphere below 50,000 ft. Present research shows that weather is the leading cause of cancelled space launches (51% at Eastern Range and 58% at Western Range). The ability to forecast weather in support of current requirements was examined. Almost four years (2000 - 2004) of metric data was obtained from the Air Force Space Command (AFSPC). Metrics include weather warnings, weather advisories (watches) and forecasts of Launch Commit Criteria (LCC). The criteria were chosen based on the meteorological conditions found in the LCC. Results demonstrate current shortfalls in forecasting across several key environmental parameters which include lightning, convective and non-convective winds, precipitation and temperature. Both ranges show a large number of false alarms (forecasted but did not verify) for some of the environmental parameters. Even more significant are the low success scores or the probability of issued warnings meeting the desired lead time based on LCC. Ongoing research is focused on improvements in weather prediction which will lead to significant increases in operational responsiveness and decreased cost. Further research is required to improve weather forecasting so that responsive space launch will be realized.

DTIC

*Environmental Surveys; Forecasting; Spacecraft Launching*

### 15 LAUNCH VEHICLES AND LAUNCH OPERATIONS

Includes all classes of launch vehicles, launch/space vehicle systems, and boosters; and launch operations. For related information see also *18 Spacecraft Design, Testing and Performance*; and *20 Spacecraft Propulsion and Power*.

**20070004775** Massachusetts Inst. of Tech., Cambridge, MA USA

#### **Fractionated Spacecraft Architectures Seeding Study**

Mathieu, Charlotte; Weigel, Annalisa; Apr 3, 2006; 94 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): FA9453-05-M-0211; Proj-DARP

Report No.(s): AD-A459448; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459448>; Avail.: CASI: [A05](#),

Hardcopy

The report introduces the concept of spacecraft fractionation, which transforms a traditional monolithic spacecraft into a network of elements where a free-flying payload module is supported by nearby free-flying infrastructure modules supplying communications, data handling, power, etc. Models were developed from a customer-centric perspective to assess different fractionated spacecraft architectures relative to traditional spacecraft architectures using multi-attribute analysis. Along with traditional attributes of mass and cost, nontraditional attributes of maintainability, scalability, flexibility, and responsiveness were included in the assessment. A framework was created to clearly define and evaluate these non-traditional attributes, and appropriate metrics were constructed. This study demonstrates that if those non-traditional attributes are valued enough, customers would choose fractionated spacecraft rather than traditional ones.

DTIC

*Fractionation; Transformations (Mathematics); Modules; Aerospace Systems*

**20070004908** NASA Johnson Space Center, Houston, TX, USA

**Crew Exploration Vehicle Ascent Abort Coverage Analysis**

Abadie, Marc J.; Berndt, Jon S.; Burke, Laura M.; Falck, Robert D.; Gowan, John W., Jr.; Madsen, Jennifer M.; [2007]; 14 pp.; In English; 2007 AAS Guidance and Control Conference, 3-7 Feb. 2007, Breckenridge, CO, USA; Original contains color and black and white illustrations

Contract(s)/Grant(s): CEV 6444423.02.36.15.10; Copyright; Avail.: CASI: [A03](#), Hardcopy

An important element in the design of NASA's Crew Exploration Vehicle (CEV) is the consideration given to crew safety during various ascent phase failure scenarios. To help ensure crew safety during this critical and dynamic flight phase, the CEV requirements specify that an abort capability must be continuously available from lift-off through orbit insertion. To address this requirement, various CEV ascent abort modes are analyzed using 3-DOF (Degree Of Freedom) and 6-DOF simulations. The analysis involves an evaluation of the feasibility and survivability of each abort mode and an assessment of the abort mode coverage using the current baseline vehicle design. Factors such as abort system performance, crew load limits, thermal environments, crew recovery, and vehicle element disposal are investigated to determine if the current vehicle requirements are appropriate and achievable. Sensitivity studies and design trades are also completed so that more informed decisions can be made regarding the vehicle design. An overview of the CEV ascent abort modes is presented along with the driving requirements for abort scenarios. The results of the analysis completed as part of the requirements validation process are then discussed. Finally, the conclusions of the study are presented, and future analysis tasks are recommended.

Author

*Ascent; Aborted Missions; Crew Exploration Vehicle; Liftoff (Launching); Upper Stage Rocket Engines*

**20070005255** L'Garde, Inc., Tustin, CA USA

**Developing an Inflatable Solar Array**

Malone, Patrick K; Crawford, Larry; Williams, Geoffrey T; Jan 1993; 12 pp.; In English

Report No.(s): AD-A459855; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459855>; Avail.: CASI: [A03](#), Hardcopy

L'Garde is developing a light weight deployable solar array wing in the 200-1000 watt range, on the Inflatable Torus Solar Array Technology Demonstration (ITSAT Demo) Project. The power density goal is 90-100 W/Kg for a 200 W wing, including structure and deployment mechanisms. In Phase 1, a proof of concept torus and array was constructed and deployed in the laboratory. A revised Phase 2 Torus and Array are now being fabricated. Phase 3 will be a space flight test. The current design uses crystalline Si cells on an A0 protected flexible Kapton film substrate folded accordion style for stowage. The support structure is a rectangular frame comprised of two inflated cylinders, the array stowage box and its cover. The cylinders, flattened, folded and stored for launch, are deployed inflating with N<sub>2</sub> and rigidized by straining the cylinder laminate material controllably beyond the elastic limit. This array is designed for optimum power density but, due to availability, some of the components come from excess production runs. Because of this, the actual power density of the engineering prototype will be about 15% less than the baseline program array, which uses 2.2 mil crystalline silicon cells, 4 in diameter inflatable tubes, and a 4:1 aspect ratio. This project is funded by ARPA with technical management oversight by the Phillips Laboratory.

DTIC

*Artificial Satellites; Panels; Silicon; Solar Arrays; Solar Cells*

**20070005326** Air Univ. Press, Maxwell AFB, AL USA

**Into the Unknown Together. The DOD, NASA, and Early Spaceflight**

Erickson, Mark; Sep 2005; 683 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459973; B-98; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459973>; Avail.: CASI: [A99](#), Hardcopy

Between the 4 October 1957 launching by the Soviet Union of the first artificial earth satellite, Sputnik I, and the successful American landing and return from the moon in July 1969, the USA sponsored five human-spaceflight programs. The author examines the NASA-DOD relationship in human-spaceflight programs by looking at three issues. -- First, what was the attitude of presidents Dwight D. Eisenhower, John F. Kennedy, and Lyndon B. Johnson toward the use of space exploration as a tool to secure international prestige and national pride as part of the Cold War struggle? -- Second, what institutional relationship existed between NASA and the DOD, the level of support, coordination, and rivalry during each president's term(s)? What specific instances and programs illustrate these dynamics? How did NASA achieve greater independence by lessening its reliance on the DOD over those 12 years? -- The third examination will focus on the actual

projects themselves: Mercury, Gemini, Apollo, Dynasoar, and MOL. What was each designed to accomplish and why?  
DTIC

*Manned Spacecraft; Space Flight*

**20070005381** Air Force Research Lab., Hanscom AFB, MA USA

**Reply to Comment by M. Bodeau on ‘Charging of Mirror Surfaces in Space’**

Lai, Shu T; Jan 2006; 2 pp.; In English

Contract(s)/Grant(s): Proj-5021

Report No.(s): AD-A460063; No Copyright; Avail.: CASI: [A01](#), Hardcopy

Sputtering can slowly remove material from the surface of a spacecraft mirror, and even at low rates, will shorten the useful life of mirrors. Sputtering will only cause gradual degradation to solar cells, not the sudden stepwise degradation that sudden discharges can cause. These conclusions are not in disagreement with the comment of M. Bodeau. Future Boeing 702 series satellites will be equipped with conventional solar arrays, rather than mirrors. The main point in the Lai article is emphasized: the low photoemission of mirrors may cause differential charging if the space plasma is hot enough, as other parts of the satellite emit photoelectrons and the mirrors emit few photoelectrons.

DTIC

*Mirrors; Sputtering*

## 17

### SPACE COMMUNICATIONS, SPACECRAFT COMMUNICATIONS, COMMAND AND TRACKING

Includes space systems telemetry; space communications networks; astronavigation and guidance; and spacecraft radio blackout. For related information see also *04 Aircraft Communications and Navigation*; and *32 Communications and Radar*.

**20070005040** NASA Johnson Space Center, Houston, TX, USA

**Numerical Skip-Entry Guidance**

Tigges, Michael; Crull, Timothy; Rea, Jeremy; Johnson, Wyatt; February 08, 2006; 21 pp.; In English; 2007 AAS Guidance and Control Conference, 3-7 Feb. 2007, Breckenridge, CO, USA; Original contains color illustrations

Contract(s)/Grant(s): CEV 644423.02.36.15.10; Copyright; Avail.: CASI: [A03](#), Hardcopy

This paper assesses a preliminary guidance and targeting strategy for accomplishing Skip-Entry (SE) flight during a lunar return-capsule entry flight. One of the primary benefits of flying a SE trajectory is to provide the crew with continuous Continental USA (CONUS) landing site access throughout the lunar month. Without a SE capability, the capsule must land either in water or at one of several distributed land sites in the Southern Hemisphere for a significant portion of a lunar month using a landing and recovery scenario similar to that employed during the Apollo program. With a SE trajectory, the capsule can land either in water at a site in proximity to CONUS or at one of several distributed landing sites within CONUS, thereby simplifying the operational requirements for crew retrieval and vehicle recovery, and possibly enabling a high degree of vehicle reusability. Note that a SE capability does not require that the vehicle land on land. A SE capability enables a longer-range flight than a direct-entry flight, which permits the vehicle to land at a much greater distance from the Entry Interface (EI) point. This does not exclude using this approach to push the landing point to a water location in proximity of CONUS and utilizing water or airborne recovery forces.

Author

*Trajectories; Guidance (Motion); Position (Location); Landing Sites; Water Landing*

## 18

### SPACECRAFT DESIGN, TESTING AND PERFORMANCE

Includes satellites; space platforms; space stations; spacecraft systems and components such as thermal and environmental controls; and spacecraft control and stability characteristics. For life support systems see *54 Man/System Technology and Life Support*. For related information see also *05 Aircraft Design, Testing and Performance*; *39 Structural Mechanics*; and *16 Space Transportation and Safety*.

**20070003580** NASA Marshall Space Flight Center, Huntsville, AL, USA

**Electrodynamic Tether**

Johnson, Charles L., Inventor; Ballance, Judy L., Inventor; Welzyn, Kenneth J., Inventor; Vaughn, Jason A., Inventor; Lorenzini, Enrico, Inventor; Schuler, Peter S., Inventor; October 10, 2006; 11 pp.; In English; Original contains black and white illustrations

Patent Info.: Filed 17 Oct. 2003; US-Patent-7,118, 074; US-Patent-Appl-SN-690161; NASA-Case-MFS-31490-1; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003580>; Avail.: CASI: A03, Hardcopy

A tether system for providing thrust to or power subsystems of an artificial satellite in a low earth orbit. The tether has three main sections, an insulated section connected to the satellite, a conducting section connected to the insulating section for drawing in and releasing electrons from the space plasma and a non-conducting section for providing a tension to the other sections of the tether. An oxygen resistant coating is applied to the bare wire of the conducting section as well as the insulated wires of the insulated section that prevents breakdown during tether operations in the space plasma. The insulated and bare wire sections also surround a high tensile flexible polymer core to prevent any debris from breaking the tether during use. Official Gazette of the U.S. Patent and Trademark Office  
*Tethering; Electrodynamics; Artificial Satellites; Thrust*

**20070003591** United Space Alliance, Houston, TX, USA

#### **Lessons Learned From Seven Space Shuttle Missions**

Goodman, John; January 2007; 52 pp.; In English; Original contains color and black and white illustrations  
Report No.(s): NASA/CR-2007-213697; S-994; Copyright; Avail.: CASI: A04, Hardcopy

Much can be learned from well-written descriptions of the technical and organizational factors that lead to an accident. Subsequent analysis by third parties of investigation reports and associated evidence collected during the investigations can lead to additional insight. Much can also be learned from documented close calls that do not result in loss of life or a spacecraft, such as the Mars Exploration Rover Spirit software anomaly, the SOHO mission interruption, and the NEAR burn anomaly. Seven space shuttle incidents fall into the latter category: Rendezvous Target Failure On STS-41B; Rendezvous Radar Anomaly and Trajectory Dispersion-STS-32 ;Rendezvous Lambert Targeting Anomaly-STS-49; Rendezvous Lambert Targeting Anomaly-STS-51; Zero Doppler Steering Maneuver Anomaly-STS-59; Excessive Propellant Consumption During Rendezvous-STS-69; Global Positioning System Receiver and Associated Shuttle Flight Software Anomalies-STS-91 Procedural work-arounds or software changes prevented them from threatening mission success. Extensive investigations, which included the independent recreation of the anomalies by multiple Shuttle Program organizations, were the key to determining the cause, accurately assessing risk, and identifying software and software process improvements. Lessons learned from these incidents not only validated long-standing operational best practices, but serve to promote discussion and mentoring among Program personnel and are applicable to future space flight programs.

Author

*Rendezvous Trajectories; Space Transportation System Flights; Space Shuttle Missions; Mars Exploration; Flight Control; Soho Mission*

**20070003680** NASA Johnson Space Center, Houston, TX, USA

#### **Orbiter Gap Filler Bending Model for Re-entry**

Campbell, Charles H.; [2007]; 3 pp.; In English; AIAA Aerospace Sciences Meeting, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 816-06-02-05-03-05-04; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003680>;  
Avail.: CASI: A01, Hardcopy

Pressure loads on a protruding gap filler during an Orbiter reentry are investigated to evaluate the likelihood of extraction due to pressure loads, and to ascertain how much bending will be induced by re-entry pressure loads. Oblique shock wave theory is utilized to develop a representation of the pressure loads induced on a gap filler for the ISSHVF trajectory, representative of a heavy weight ISS return. A free body diagram is utilized to react the forces induced by the pressure forces. Preliminary results developed using these methods demonstrate that pressure loads, alone, are not likely causes of gap filler extraction during reentry. Assessment of the amount a gap filler will bend over is presented. Implications of gap filler bending during re-entry include possible mitigation of early boundary layer transition concerns, uncertainty in ground based measurement of protruding gap fillers from historical Orbiter flight history, and uncertainty in the use of Orbiter gap fillers for boundary layer prediction calibration. Authors will be added to the author list as appropriate.

Author

*Bending; Fillers; Spacecraft Reentry; International Space Station; Space Shuttles; Models*



**20070004568** NASA Langley Research Center, Hampton, VA, USA

**A Simplified Approach for the Rapid Generation of Transient Heat-Shield Environments**

Wurster, Kathryn E.; Zoby, E. Vincent; Mills, Janelle C.; Kamhawi, Hilmi; [2007]; 37 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 423-02-39-04-10-03

Report No.(s): AIAA Paper 2007-0411; Copyright; Avail.: CASI: **A03**, Hardcopy

A simplified approach has been developed whereby transient entry heating environments are reliably predicted based upon a limited set of benchmark radiative and convective solutions. Heating, pressure and shear-stress levels, non-dimensionalized by an appropriate parameter at each benchmark condition are applied throughout the entry profile. This approach was shown to be valid based on the observation that the fully catalytic, laminar distributions examined were relatively insensitive to altitude as well as velocity throughout the regime of significant heating. In order to establish a best prediction by which to judge the results that can be obtained using a very limited benchmark set, predictions based on a series of benchmark cases along a trajectory are used. Solutions which rely only on the limited benchmark set, ideally in the neighborhood of peak heating, are compared against the resultant transient heating rates and total heat loads from the best prediction. Predictions based on using two or fewer benchmark cases at or near the trajectory peak heating condition, yielded results to within 5-10 percent of the best predictions. Thus, the method provides transient heating environments over the heat-shield face with sufficient resolution and accuracy for thermal protection system design and also offers a significant capability to perform rapid trade studies such as the effect of different trajectories, atmospheres, or trim angle of attack, on convective and radiative heating rates and loads, pressure, and shear-stress levels.

Author

*Aerodynamic Heating; Heat Shielding; Transient Heating; Systems Engineering*

**20070004787** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**Control of the ST7 Disturbance Reduction System Flight Experiment**

Maghami, P. G.; Hsu, O. C.; ODonnell, J. R., Jr.; [2007]; 10 pp.; In English; No Copyright; ONLINE:

<http://hdl.handle.net/2060/20070004787>; Avail.: CASI: **A02**, Hardcopy

The Space Technology 7 (ST7) experiment will perform an on-orbit system-level validation of two specific Disturbance Reduction System technologies: colloidal micronewton thrusters and drag-free control. The ST7 Disturbance Reduction System (DRS) is designed to maintain the spacecraft's position with respect to a free-floating test mass while limiting the residual accelerations of that test mass over the frequency range of 1 to 30 mHz. This paper presents the overall design and analysis of the spacecraft drag-free and attitude controllers, with particular attention given to its primary mission mode. These controllers close the loop between the drag-free sensors and the colloidal micronewton thrusters.

Author

*Colloids; Space Technology Experiments; Thrusters; Control Systems Design; Systems Engineering*

**20070005006** NASA Langley Research Center, Hampton, VA, USA

**Autonomous Aerobraking Using Thermal Response Surface Analysis**

Prince, Jill L.; Dec, John A.; Tolson, Robert H.; [2007]; 10 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 23; Copyright; Avail.: CASI: **A02**, Hardcopy

Aerobraking is a proven method of significantly increasing the science payload that can be placed into low Mars orbits when compared to an all propulsive capture. However, the aerobraking phase is long and has mission cost and risk implications. The main cost benefit is that aerobraking permits the use of a smaller and cheaper launch vehicle, but additional operational costs are incurred during the long aerobraking phase. Risk is increased due to the repeated thermal loading of spacecraft components and the multiple attitude and propulsive maneuvers required for successful aerobraking. Both the cost and risk burdens can be significantly reduced by automating the aerobraking operations phase. All of the previous Mars orbiter missions that have utilized aerobraking have increasingly relied on onboard calculations during aerobraking. Even though the temperature of spacecraft components has been the limiting factor, operational methods have relied on using a surrogate variable for mission control. This paper describes several methods, based directly on spacecraft component maximum temperature, for autonomously predicting the subsequent aerobraking orbits and prescribing apoapsis propulsive maneuvers to maintain the spacecraft within specified temperature limits. Specifically, this paper describes the use of thermal response surface analysis in predicting the temperature of the spacecraft components and the corresponding uncertainty in this temperature prediction.

Author

*Aerobraking; Payloads; Mars Missions; Attitude (Inclination); Temperature Effects; Cost Effectiveness*

**20070005041** NASA White Sands Test Facility, NM, USA

**Pressure Effects on Oxygen Concentration Flammability Thresholds of Materials for Aerospace Applications**

Hirsch, David; Williams, Jim; Beeson, Harold; October 2006; 6 pp.; In English; Original contains black and white illustrations; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005041>; Avail.: CASI: A02, Hardcopy

Spacecraft materials selection is based on an upward flammability test conducted in a quiescent environment in the highest-expected oxygen-concentration environment. However, NASA's advanced space exploration program is anticipating using various habitable environments. Because limited data is available to support current program requirements, a different test logic is suggested to address these expanded atmospheric environments through the determination of materials self-extinguishment limits. This paper provides additional pressure effects data on oxygen concentration and partial pressure self-extinguishment limits under quiescent conditions. For the range of total pressures tested, the oxygen concentration and oxygen partial pressure flammability thresholds show a near linear function of total pressure. The oxygen concentration/oxygen partial pressure flammability thresholds depend on the total pressure and appear to increase with increasing oxygen concentration (and oxygen partial pressure). For the Constellation Program, the flammability threshold information will allow NASA to identify materials with increased flammability risk because of oxygen concentration and total pressure changes, minimize potential impacts, and allow for development of sound requirements for new spacecraft and extraterrestrial landers and habitats.

Author

*Spacecraft Construction Materials; Flammability; Pressure Effects; Oxygen; Aerospace Engineering*

19

**SPACECRAFT INSTRUMENTATION AND ASTRIONICS**

Includes the design, manufacture, or use of devices for the purpose of measuring, detecting, controlling, computing, recording, or processing data related to the operation of space vehicles or platforms. For related information see also *06 Avionics and Aircraft Instrumentation*; for spaceborne instruments not integral to the vehicle itself see *35 Instrumentation and Photography*; for spaceborne telescopes and other astronomical instruments see *89 Astronomy*.

**20070005110** NASA Johnson Space Center, Houston, TX, USA

**NASA CEV On-Orbit GN&C Analysis**

DSouza, Chris; Brazzel, Jack P.; Crane, Tim; [2007]; 1 pp.; In English; 2007 AAS Guidance and Control Conference, 3-7 Feb. 2007, USA

Contract(s)/Grant(s): CEV 644423.02.36.15.10; No Copyright; Avail.: Other Sources; Abstract Only

The Orion spacecraft orbit GN&C system will be required to perform both ISS servicing missions and Lunar sortie and outpost crew transportation. While certain aspects of these two missions are complementary, the two missions are also distinct in many ways. Common between the missions is a Low Earth Orbit (LEO) rendezvous, one with the ISS and the other with the LSAM/EDS stack prior to trans-lunar insertion. The lunar missions will additionally require Orion to perform orbit maintenance in LLO, perform contingency lunar orbit operations including RPOD with the LSAM, perform the TEI maneuver sequence, and execute the trans-Earth cruise. The NASA-led team developed a reference configuration orbit GN&C system capable of executing all of these activities with the same navigation sensor suite and control effectors while fully meeting the requirements being developed for System Requirements Review in advance of prime contractor selection. This paper will present an overview of the analyses performed to support system trade studies, demonstrate the feasibility of the NASA reference configuration, and validate the Orion system requirements. These analyses include linear covariance techniques, 3-DOF Monte Carlo simulations, 6-DOF Monte Carlo simulations, and analytical evaluations of GN&C systems performed by the NASA-led Orion team to characterize the sensitivities of Orion's various missions and assess the unique challenges of each. The first part of this paper will describe the trade studies that were performed in order to characterize the RPOD system performance for both the ISS and the lunar missions including development of an RPOD operations concept, RPOD trajectories, contingency scenarios, docking mechanism and associated contact conditions, post-contact thrust issues, relative navigation sensors, relative navigation sensor target infrastructure. The paper will also discuss concepts for both manually-piloted and automatically executed scenarios, as well as the remotely-piloted contingency scenario in LLO. The second part of the paper will discuss the analyses performed for lunar and cislunar GN&C performance including the accuracy of the post-insertion lunar orbit, the ability of Orion to hit a particular Earth return entry corridor, attitude control solutions for long-duration surface operations, and autonomous navigation in support of TEI without ground tracking.

Author

*Spacecraft Guidance; Spacecraft Control; Crew Exploration Vehicle; Spacecraft Orbits; Space Missions; NASA Space Programs; Autonomous Navigation*

## SPACECRAFT PROPULSION AND POWER

Includes main propulsion systems and components, e.g., rocket engines; and spacecraft auxiliary power sources. For related information see also *07 Aircraft Propulsion and Power*, *28 Propellants and Fuels*, *15 Launch Vehicles and Launch Operations*, and *44 Energy Production and Conversion*.

**20070003551** Yale Univ., New Haven, CT USA

### Heavy Ion Propulsion in the Megadalton Range

Nov 2006; 13 pp.; In English

Contract(s)/Grant(s): AFSOR-F49620-01-1-0416

Report No.(s): AD-A459691; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Ion Propulsion; Heavy Ions*

**20070003730** NASA Stennis Space Center, Stennis Space Center, MS, USA

### Runtime and Pressurization Analyses of Propellant Tanks

Field, Robert E.; Ryan, Harry M.; Ahuja, Vineet; Hosangadi, Ashvin; Lee, Chung P.; [2007]; 2 pp.; In English; 43rd AIAA/ASME/SAE/ASEE Joint Propulsion Conference and Exhibit, 8-11 Jul. 2007, Cincinnati, OH, USA; Original contains color illustrations

Contract(s)/Grant(s): NNS06AA12C

Report No.(s): SSTI-2200-0077; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003730>; Avail.: CASI: [A01](#), Hardcopy

Multi-element unstructured CFD has been utilized at NASA SSC to carry out analyses of propellant tank systems in different modes of operation. The three regimes of interest at SSC include (a) tank chill down (b) tank pressurization and (c) runtime propellant draw-down and purge. While tank chill down is an important event that is best addressed with long time-scale heat transfer calculations, CFD can play a critical role in the tank pressurization and runtime modes of operation. In these situations, problems with contamination of the propellant by inclusion of the pressurant gas from the ullage causes a deterioration of the quality of the propellant delivered to the test article. CFD can be used to help quantify the mixing and propellant degradation. During tank pressurization under some circumstances, rapid mixing of relatively warm pressurant gas with cryogenic propellant can lead to rapid densification of the gas and loss of pressure in the tank. This phenomenon can cause serious problems during testing because of the resulting decrease in propellant flow rate. With proper physical models implemented, CFD can model the coupling between the propellant and pressurant including heat transfer and phase change effects and accurately capture the complex physics in the evolving flowfields. This holds the promise of allowing the specification of operational conditions and procedures that could minimize the undesirable mixing and heat transfer inherent in propellant tank operation. It should be noted that traditional CFD modeling is inadequate for such simulations because the fluids in the tank are in a range of different sub-critical and supercritical states and elaborate phase change and mixing rules have to be developed to accurately model the interaction between the ullage gas and the propellant. We show a typical run-time simulation of a spherical propellant tank, containing RP-1 in this case, being pressurized with room-temperature nitrogen at 540 R. Nitrogen, shown in blue on the right-hand side of the figures, enters the tank from the diffuser at the top of the figures and impinges on the RP-1, shown in red, while the propellant is being continuously drained at the rate of 1050 lbs/sec through a pipe at the bottom of the tank. The sequence of frames in Figure 1 shows the resultant velocity fields and mixing between nitrogen and RP-1 in a cross-section of the tank at different times. A vortex is seen to form in the incoming nitrogen stream that tends to entrain propellant, mixing it with the pressurant gas. The RP-1 mass fraction contours in Figure 1 are also indicative of the level of mixing and contamination of the propellant. The simulation is used to track the propagation of the pure propellant front as it is drawn toward the exit with the evolution of the mixing processes in the tank. The CFD simulation modeled a total of 10 seconds of run time. As is seen from Figure 1d, after 5.65 seconds the propellant front is nearing the drain pipe, especially near the center of the tank. Behind this pure propellant front is a mixed fluid of compromised quality that would require the test to end when it reaches the exit pipe. Such unsteady simulations provide an estimate of the time that a high-quality propellant supply to the test article can be guaranteed at the modeled mass flow rate. In the final paper, we will discuss simulations of the LOX and propellant tanks at NASA SSC being pressurized by an inert ullage. Detailed comparisons will be made between the CFD simulations and lower order models as well as with test data. Conditions leading to cryo collapse in the tank will also be identified.

Author

*Computational Fluid Dynamics; Fuel Tank Pressurization; Propellant Tanks; Propellants; Simulation*

**20070004891** Minnesota Univ., Minneapolis, MN, USA

**Heat Transfer and Fluid Dynamics Measurements in the Expansion Space of a Stirling Cycle Engine**

Jiang, Nan; Simon, Terrence W.; December 2006; 19 pp.; In English; International Mechanical Engineering Congress and Exposition, 5-10 Nov. 2006, Chicago, IL, USA; Original contains color and black and white illustrations

Contract(s)/Grant(s): NAG3-2482; NCC04GB62G; WBS 138494.04.01.01

Report No.(s): NASA/CR-2006-214454; IMECE 2006-15631; E-15718; No Copyright; ONLINE:

<http://hdl.handle.net/2060/20070004891>; Avail.: CASI: A03, Hardcopy

The heater (or acceptor) of a Stirling engine, where most of the thermal energy is accepted into the engine by heat transfer, is the hottest part of the engine. Almost as hot is the adjacent expansion space of the engine. In the expansion space, the flow is oscillatory, impinging on a two-dimensional concavely-curved surface. Knowing the heat transfer on the inside surface of the engine head is critical to the engine design for efficiency and reliability. However, the flow in this region is not well understood and support is required to develop the CFD codes needed to design modern Stirling engines of high efficiency and power output. The present project is to experimentally investigate the flow and heat transfer in the heater head region. Flow fields and heat transfer coefficients are measured to characterize the oscillatory flow as well as to supply experimental validation for the CFD Stirling engine design codes. Presented also is a discussion of how these results might be used for heater head and acceptor region design calculations.

Author

*Stirling Engines; Stirling Cycle; Heat Transfer; Fluid Dynamics; Thermal Energy; Flow Distribution; Computational Fluid Dynamics*

**20070004897** NASA Glenn Research Center, Cleveland, OH, USA

**Responding to Mechanical Antigravity**

Millis, Marc G.; Thomas, Nicholas E.; December 2006; 22 pp.; In English; 42nd Joint Propulsion Conference and Exhibit, 9-12 Jul. 2006, Sacramento, CA, USA; Original contains black and white illustrations

Contract(s)/Grant(s): WBS 599487.02.07.03

Report No.(s): NASA/TM-2006-214390; AIAA Paper 2006-4913; E-15668; Copyright; Avail.: CASI: A03, Hardcopy

Based on the experiences of the NASA Breakthrough Propulsion Physics Project, suggestions are offered for constructively responding to proposals that purport breakthrough propulsion using mechanical devices. Because of the relatively large number of unsolicited submissions received (about 1 per workday) and because many of these involve similar concepts, this report is offered to help the would-be submitters make genuine progress as well as to help reviewers respond to such submissions. Devices that use oscillating masses or gyroscope falsely appear to create net thrust through differential friction or by misinterpreting torques as linear forces. To cover both the possibility of an errant claim and a genuine discovery, reviews should require that submitters meet minimal thresholds of proof before engaging in further correspondence; such as achieving sustained deflection of a level-platform pendulum in the case of mechanical thrusters.

Author

*Antigravity; Gyroscopes; Mechanical Devices; Oscillations; Friction; Propulsion*

**20070005113** William J. Hughes Technical Center, Atlantic City, NJ, USA, Syport Systems, Inc., Mays Landing, NJ, USA  
**Spark Ignition Aircraft Engine Endurance Test of Aviation-Grade Ethanol 85**

Atwood, D.; Ivanov, A.; Dec. 2006; 63 pp.; In English

Report No.(s): PB2007-103774; No Copyright; Avail.: National Technical Information Service (NTIS)

In 2004, the Federal Aviation Administration (FAA) funded the South Dakota State University in a multiyear effort to research the use of aviation-grade ethanol 85 (AGE-85), a blend of at least 85% ethanol denatured with 2% automotive gasoline, less than 1% biodiesel, and pentane isomerate. The test evaluated engine endurance performance at severe and controlled conditions addressing such issues as wear, performance, materials compatibility, range, efficiency, oil dilution, and deposit formation. The majority of the testing was performed at full-rated power and engine speed under maximum engine and oil temperatures, at best power fuel mixture setting.

NTIS

*Engine Tests; Ethyl Alcohol; Fuels; Internal Combustion Engines; Spark Ignition*

## CHEMISTRY AND MATERIALS (GENERAL)

Includes general research topics related to the composition, properties, structure, and use of chemical compounds and materials as they relate to aircraft, launch vehicles, and spacecraft. For specific topics in chemistry and materials see *categories 25 through 29*. For astrochemistry see category *90 Astrophysics*.

**20070003605** Geological Survey, Washington, DC USA

### **Chemical Character of Ground Water in the Shallow Water-Table Aquifer at Selected Localities in the Memphis Area, Tennessee**

Jan 1981; 33 pp.; In English

Report No.(s): AD-A459554; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Aquifers; Ground Water; Shallow Water; Water Tables*

**20070003606** Geological Survey, Washington, DC USA

### **Chemical Analyses of Water Samples From the Picher Mining Area, Northeast Oklahoma and Southeast Kansas**

Jan 1987; 59 pp.; In English

Report No.(s): AD-A459534; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Chemical Analysis; Kansas; Mining; Oklahoma; Water*

**20070003736** Lawrence Livermore National Lab., Livermore, CA USA

### **Isothermal (Delta)/(Alpha-Prime) Transformation and TTT Diagram in a Plutonium Gallium Alloy**

Oudot, B. J. P.; Blobaum, K. J. M.; Wall, M. A.; Schartz, A. J.; Nov. 15, 2005; 12 pp.; In English

Report No.(s): DE2006-888614; UCRL-CONF-217122; No Copyright; Avail.: National Technical Information Service (NTIS)

Differential scanning calorimetry (DSC) is used as an alternative approach to determining the time-temperature-transformation (TTT) diagram for the martensitic delta to alpha-prime transformation in a Pu-2.0 at% Ga alloy. Previous work suggests that the TTT diagram for a similar alloy exhibits an unusual double-C curve for isothermal holds of less than 100 minutes. Here, we extend this diagram to 18 hours, and confirm the double-C curve behavior. When the sample is cooled prior to the isothermal holds, the delta to alpha-prime transformation is observed as several overlapping exothermic peaks. These peaks are very reproducible, and they are believed to be the result of different kinds of delta to alpha-prime martensitic transformation. This may be due to the presence of different nucleation sites and/or different morphologies.

NTIS

*Gallium Alloys; Phase Diagrams; Plutonium; Plutonium Alloys*

**20070003761** Iowa State Univ. of Science and Technology, Ames, IA USA

### **Nature of the Distinctive Microscopic Features in R<sub>5</sub>(Si<sub>x</sub>Ge<sub>1-x</sub>)<sub>4</sub> Magnetic Refrigeration Materials**

Ugurlu, O.; January 2006; 108 pp.; In English

Report No.(s): DE2006-888951; No Copyright; Avail.: Department of Energy Information Bridge

Magnetic refrigeration is a promising technology that offers a potential for high energy efficiency. The giant magnetocaloric effect of the R<sub>(sub 5)</sub>(Si<sub>(sub x)</sub>, Ge<sub>(sub 1-x)</sub>)<sub>(sub 4)</sub> alloys (where R=rare-earth and O (le) x (le) 1), which was discovered in 1997, make them perfect candidates for magnetic refrigeration applications. In this study the microstructures of Gd<sub>(sub 5)</sub>(Si<sub>(sub x)</sub>Ge<sub>(sub 1-x)</sub>)<sub>(sub 4)</sub> alloys have been characterized using electron microscopy techniques, with the focus being on distinctive linear features first examined in 1999. These linear features have been observed in R<sub>(sub 5)</sub>(Si<sub>(sub x)</sub>, Ge<sub>(sub 1-x)</sub>)<sub>(sub 4)</sub> alloys prepared from different rare-earths (Gd, Tb, Dy and Er) with different crystal structures (Gd<sub>(sub 5)</sub>Si<sub>(sub 4)</sub>-type orthorhombic, monoclinic and Gd<sub>(sub 5)</sub>Ge<sub>(sub 4)</sub>-type orthorhombic). Systematic scanning electron microscope studies revealed that these linear features are actually thin-plates, which grow along specific directions in the matrix material. The crystal structure of the thin-plates has been determined as hexagonal with lattice parameters a=b=8.53 (angstrom) and c=6.40 (angstrom) using selected area diffraction (SAD). Energy dispersive spectroscopy analysis, carried out in both scanning and transmission electron microscopes, showed that the features have a composition approximating to R<sub>(sub 5)</sub>(Si<sub>(sub x)</sub>,Ge<sub>(sub 1-x)</sub>)<sub>(sub 3)</sub> phase. Orientation relationship between the matrix and the thin-plates has been calculated as (-1010)(1-211)<sub>(sub p)</sub>//(010)(10-2)<sub>(sub m)</sub>. The growth direction of the thin plates are calculated as (22 0 19) and (-22 0 19) by applying the Ag approach of Zhang and Purdy to the SAD patterns of this system. High Resolution TEM images of the

Gd(sub 5)Ge(sub 4) were used to study the crystallographic relationship. A terrace-ledge structure was observed at the interface and a 7(sup o) rotation of the reciprocal lattices with respect to each other, consistent with the determined orientation relationship, was noted. Both observations are consistent with the stated hypothesis that the growth direction of the thin-plates is parallel to an invariant line direction.

NTIS

*Electron Microscopy; Magnetic Cooling; Magnetic Materials; Refrigerating*

**20070003773** Lawrence Livermore National Lab., Livermore, CA USA

**CALE EOS Form 2 Fits for High Pressure Fused Silica Hugoniot Data**

Hare, D. E.; Managan, R. A.; Jan. 05, 2006; 20 pp.; In English

Report No.(s): DE2006-888612; UCRL-TR-217957; No Copyright; Avail.: Department of Energy Information Bridge

The Hugoniot data on fused silica that are displayed on page 321 of the well-known volume 'LASL Shock Hugoniot Data, Stanley P. Marsh, Editor' are fit to the EOS form 2 such as is used in CALE and other hydrocodes. Two fits are given: one to represent that data set over its full range (up to 84 GPa (840 kbar)) as well as a better fit for the pressure range below about 33 GPa (330 kbar). These EOSs have the strong point of being relatively simple for the user to implement and should be used to roughly represent the beyond-elastic response of fused silica in hydrocode simulations. They will not correctly reproduce the complex multiple-wave ramp-shock structure known to exist in fused silica at lower pressures.

NTIS

*High Pressure; Silica Glass; Silicon Dioxide*

**20070004667** Air Force Research Lab., Tyndall AFB, FL USA

**Electrothermal Desorption of CWA Simulants from Activated Carbon Cloth**

Sep 2006; 15 pp.; In English

Contract(s)/Grant(s): F08637-03-C-6006; Proj-ARMT

Report No.(s): AD-A459754; AFRL-ML-TY-TP-2006-4565; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Activated Carbon; Carbon Fibers; Joule-Thomson Effect*

**20070004692** Army Research Lab., Aberdeen Proving Ground, MD USA

**Effect of the FlashJet Paint Removal System on Rotor Blade Skin Materials**

Dec 2006; 36 pp.; In English

Report No.(s): AD-A459742; ARL-TR-4003; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Paint Removal; Rotor Blades (Turbomachinery)*

**20070004718** Bechtel BWXT Idaho, LLC, Idaho Falls, ID, USA

**Structures Including Network and Topology for Identifying, Locating and Quantifying Physical Phenomena**

Richardson, J. G.; Moore, K. A.; Carrington, R. A.; 17 Nov 04; 14 pp.; In English

Contract(s)/Grant(s): DE-AC07-99ID13727

Patent Info.: Filed Filed 17 Nov 04; US-Patent-Appl-SN-10-992 440

Report No.(s): PB2007-100879; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A method and system for detecting, locating and quantifying a physical phenomena such as strain or a deformation in a structure. A plurality of laterally adjacent conductors may each include a plurality of segments. Each segment is constructed to exhibit a unit value representative of a defined energy transmission characteristic. A plurality of identity groups are defined with each identity group comprising a plurality of segments including at least one segment from each of the plurality of conductors. The segments contained within an identity group are configured and arranged such that each of their associated unit values may be represented by a concatenated digit string which is a unique number relative to the other identity groups. Additionally, the unit values of the segments within an identity group maintain unique ratios with respect to the other unit values in the identity group.

NTIS

*Deformation; Identifying; Patent Applications; Physical Factors; Position (Location); Topology*

**20070004736** Argonne National Lab., IL, USA

**Fuel-Cladding Interaction Layers in Irradiated U-Zr and U-Pu Zr Fuel Elements**

Keiser, D. D.; January 2006; 50 pp.; In English

Report No.(s): DE2006-885496; ANL-NT-240; No Copyright; Avail.: Department of Energy Information Bridge

Argonne National Laboratory is developing an electrometallurgical treatment for spent nuclear fuels. The initial demonstration of this process is being conducted on U-Zr and U-Pu-Zr alloy fuel elements irradiated in the Experimental Breeder Reactor-II (EBR-II). The electrometallurgical treatment process extracts usable uranium from irradiated fuel elements and places residual fission products, actinides, process Zr, and cladding hulls (small segments of tubing) into two waste forms--a ceramic and a metal alloy. The metal waste form will contain the cladding hulls, Zr, and noble metal fission products, and it will be disposed of in a geologic repository. As a result, the expected composition of the waste form will need to be well understood. This report deals with the condition of the cladding, which will make up a large fraction of the metal waste form, after irradiation in EBR-II and before insertion into the electrorefiner. Specifically, it looks at layers that can be found on the inner surface of the cladding due to in-reactor interactions between the alloy fuel and the stainless steel cladding that occurs after the fuel has swelled and contacted the cladding. Many detailed examinations of fuel elements irradiated in EBR-II have been completed and are discussed in the context of interaction layer formation in irradiated cladding. The composition and thickness of the developed interaction layers are identified, along with the irradiation conditions, cladding type, and axial location on fuel elements where the thickest interaction layers can be expected to develop. It has been found that the largest interaction zones are observed at combined high power and high temperature regions of fuel elements and for fuel elements with U-Pu-Zr alloy fuel and D9 stainless steel cladding. The most prevalent, non-cladding constituent observed in the developed interaction layers are the lanthanide fission products.

NTIS

*Cladding; Irradiation; Spent Fuels; Uranium; Plutonium; Zirconium*

**20070004763** Army Research Lab., Aberdeen Proving Ground, MD USA

**Compression and Instrumented Indentation Measurements on Biomimetic Polymers**

Sep 2006; 14 pp.; In English

Contract(s)/Grant(s): Proj-622105.AH76

Report No.(s): AD-A459773; ARL-RP-135; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Biomimetics; Indentation; Polymers; Measurement; Compression Tests*

**20070004783** Brown Univ., Providence, RI USA

**Virtual Design and Testing of Materials: A Multiscale Approach**

Curtin, W A; Needleman, A; Ortiz, M; Phillips, R; Kaxiras, E; Cedar, G; Carter, E; Miller, R; Woodward, C; Farkas, D; Jun 30, 2006; 14 pp.; In English

Contract(s)/Grant(s): F49620-99-1-0272

Report No.(s): AD-A459263; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459263>; Avail.: CASI: [A03](#),

Hardcopy

The aim of the work proposed here is the development of a virtual testing and design capability that can be used to test -- and suggest design strategies for -- new advanced structural materials. Our main objective is thus to develop a hierarchy of methods involving both seamless coupling of information from different scales (electronic to atomic; atomic to microstructural; micro- to macrostructural) and information transfer from one level of hierarchy to the next. As a secondary objective, each methodological advance will also be used to investigate specific phenomena at a single scale. The overall outcome of our work will be a coherent set of computational tools and advances in fundamental understanding of many issues in the thermomechanical performance of materials.

DTIC

*Virtual Reality; Design Analysis; Information Transfer*

**20070004790** Armed Forces Pest Management Board, Washington, DC USA

**Ultra Low Volume Dispersal of Insecticides by Ground Equipment**

Dec 1999; 39 pp.; In English

Report No.(s): AD-A459546; AFPMB-TIM-13; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Ground Support Equipment; Insecticides; Dispersing*

**20070004957** Bruckner (John), P.C, Austin, TX, USA

**Vertically Aligned Nanostructure Scanning Probe Microscope Tips**

Guillorn, M. A.; Ilic, B.; Melechko, A. V.; Merklöv, V. I.; Lowndes, D. H.; 10 Nov 03; 50 pp.; In English

Contract(s)/Grant(s): DE-AC05-00OR22725

Patent Info.: Filed Filed 10 Nov 03; US-Patent-Appl-SN-10-716-770

Report No.(s): PB2007-100942; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Methods and apparatus are described for cantilever structures that include a vertically aligned nanostructure, especially vertically aligned carbon nanofiber scanning probe microscope tips. A method includes a method, includes fabricating a cantilever structure having a vertically aligned nanostructure including: forming a doped layer at a first side of a substrate; depositing an etch mask layer on a second side of the substrate; forming a plurality of alignment marks that are coupled to the first side of the substrate; depositing a catalyst nanoparticle at a deterministic site that is coupled to the doped layer; growing the vertically aligned nanostructure at the deterministic site with the catalyst nanoparticle; depositing a first protective layer that surrounds at least a portion of the vertically aligned nanostructure; patterning the first protective layer to define an outline of a cantilever body; transferring the outline of the cantilever body from the first protective layer into the doped layer to form the cantilever body from the doped layer; depositing a second protective layer that coats at least a portion of a surface of the cantilever body; patterning the etch mask layer to define an outline of a relieved volume; transferring the outline of the relieved volume from the etch mask layer into the second side of the substrate to remove the relieved volume from the substrate, where the cantilever body is not removed.

NTIS

*Nanostructure (Characteristics); Nanostructures (Devices); Patent Applications; Scanners*

**20070004969** Whitham, Curtis and Christofferson, PC, Reston, VA, USA

**Ionic Solvents Used in Ionic Polymer Transducers, Sensors and Actuators**

Bennett, M.; Leo, D.; Wallace, G.; Spinks, G.; 19 Aug 04; 19 pp.; In English

Contract(s)/Grant(s): NSF-CMS0070042

Patent Info.: Filed Filed 19 Aug 04; US-Patent-Appl-SN-10-921-347

Report No.(s): PB2007-100938; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Ionic liquids are incorporated into transducers, actuators or sensors which employ the ionic polymer membranes. The ionic liquids have superior electrochemical stability, low viscosity and low vapor pressure. The transducers, actuators and sensors which utilize ionic polymer membranes solvated with ionic liquids have long term air stability. Superior results are achieved when a conductive powder and ionomer mixture is applied to the ionic polymer membrane to form the electrodes during or after the ionic liquid is imbibed into the ionic polymer membrane.

NTIS

*Actuators; Liquids; Patent Applications; Solvents; Transducers*

**20070005013** Banaras Hindu Univ., Varanasi, India, Rensselaer Polytechnic Inst., Troy, NY, USA

**Carbon Nanotube Filter**

Ajayan, P. M.; Talapatra, S.; Vajtal, R.; Srivastava, A.; Srivastava, O. N.; 1 Aug 05; 16 pp.; In English

Contract(s)/Grant(s): NSF-DMR-011792

Patent Info.: Filed Filed 1 Aug 05; US-Patent-Appl-SN-11-193-340

Report No.(s): PB2007-103886; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Monolithic, macroscopic, nanoporous nanotube filters are fabricated having radially aligned carbon nanotube walls. The freestanding filters have diameters and lengths up to several centimeters. A single-step filtering process was demonstrated in two important settings: the elimination of multiple components of heavy hydrocarbons from petroleum, a crucial step in post-distillation of crude oil, and the elimination of bacterial contaminants such as Escherichia coli or the nanometer-sized poliovirus from drinking water. All the filtration processes were repeated several times with completely reproducible results. These nanotube filters can be cleaned repeatedly after each filtration process to regain their full filtering efficiency.

NTIS

*Carbon Nanotubes; Filters; Fabrication*

**20070005023** Environmental Protection Agency, Washington, DC USA

**Toxic Substances Control Act (TSCA): ASCII Text Data, January 2007, PMN Number to EPA Accession Number Link (Raw Data on CD-ROM)**

Jan. 01, 2007; In English

Report No.(s): PB2007-500006; No Copyright; Avail.: National Technical Information Service (NTIS)



The TSCA Chemical Substance Inventory provides chemical identity information for the non-confidential substances on the TSCA Master Inventory File. The datafile contains no TSCA Confidential Business Information. New versions of the TSCA Inventory are issued at approximately six month intervals. The data provided for each chemical substance include the CAS Registry Number, Preferred CA Index Name, molecular formula, and other appropriate information, such as valid chemical names reported by submitters. The entries are in ascending CAS Registry Number order. The PMN Number to EPA Accession Number Link provides a cross-reference of these number for commenced PMNs on the confidential portion of the TSCA Master Inventory File. Neither this cross-reference nor the additional information included is TSCA Confidential Business Information.

NTIS

*CD-ROM; Inventories; Texts; Toxicity*

**20070005024** Texas Univ., Austin, TX, USA

**Performance Comparison of Hot Rubber Crack Sealants to Emulsified Asphalt Crack Sealants**

Yildirim, Y.; Korkmaz, A.; Prozzi, J.; May 2006; 64 pp.; In English

Report No.(s): PB2007-106110; REPT-0-4061-3; No Copyright; Avail.: CASI: [A04](#), Hardcopy

This is the final report from the Center for Transportation Research on Project 4061. It presents the results, findings, conclusions, and recommendations based on the surveys, lab tests, and information collected on test sections for the 4-year study. Sealing and filling cracks has always been an important consideration in pavement maintenance. Hot rubber asphalt has been the most commonly used material for this purpose, providing good performance in most cases. Some Texas Department of Transportation (TxDOT) districts have been using cold pour asphalt emulsion crack sealants because of the ease of use. However, cold pour crack sealant requires longer setting and curing time, especially in areas of high humidity. The performance history of these cold sealants is not known or not well documented in comparison to the performance of hot pour crack sealants. Furthermore, the cost associated with the use of this material versus hot pour rubber asphalt is not well documented or determined. The intent of this research project is to compare the cost-effectiveness, performance, and lifecycle costs for hot pour rubber asphalt crack sealant and cold pour asphalt emulsion crack sealant. The comparison includes seven different crack and joint sealants: three cold pour and four hot pour. Eight different roads in five districts were selected for comparison of the sealants, for a total of thirty-three different test sections. The survey and field study results indicate that hot pour sealants performed better than cold pour sealants. In addition, hot pour sealants had lower average annual cost values than cold pour sealants. Modifications to the specifications for crack sealants currently used at TxDOT were suggested.

NTIS

*Asphalt; Cracks; Pavements; Rubber; Sealers; Sealing*

**20070005027** Greenlee Winner and Sullivan, P.C., Boulder, CA, USA

**High-Throughput Methods for Determining Electron Density Distributions and Structures of Crystals**

Lin, D.; Liu, Z. J.; Praissman, J.; Rose, J. P.; Tempel, W.; 26 Aug 05; 47 pp.; In English

Contract(s)/Grant(s): NIH-GM62407

Patent Info.: Filed Filed 26 Aug 05; US-Patent-Appl-SN-11-213-619

Report No.(s): PB2007-103901; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Disclosed are high-throughput methods for determining crystal structures from X-ray diffraction data, for example high-throughput crystal structure determination methods employing flexible, high-throughput modular computational pipelines, such as Bioperl computational pipelines. High-throughput methods for determining crystal structures can be fully or partially automated, and can be fully or partially computer executed. Crystal structure determination methods employing a pipeline interface, work flow manager and/or output parsers can be used to optimize the amount of structural information derived from an X-ray diffraction data set and increase the efficiency of calculating crystal structures from X-ray diffraction data.

NTIS

*Crystals; Density Distribution; Electron Density (Concentration)*

**20070005085** William J. Hughes Technical Center, Atlantic City, NJ, USA, Hi-Tech Systems, Inc., Columbus, GA, USA, Air Force Research Lab., Tyndall AFB, FL, USA

**Evaluation of a New Liquid Fire-Extinguishing Agent for Combustible Metal Fires**

Bagot, K.; Subbotin, N.; Kalberer, J.; Nov. 2006; 19 pp.; In English

Report No.(s): PB2007-103676; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A new liquid fire-extinguishing agent for combustible metal fires was evaluated. Aircraft rescue fire fighters may confront metal fires, such as magnesium and titanium, in aircraft brake assemblies, landing gear components, aircraft engines, and other structural components of aircraft. A combustible metal on fire could be a possible ignition source or a continuing source of ignition in an aircraft fire. The standard method for extinguishing combustible metal fires consists of using sodium chloride dry powder to smother the burning metal. This evaluation determined the optimum chemical formulation and best extinguishing method using FEM-12 SC in hand-held extinguishers during the Federal Aviation Administration (FAA) Aircraft Rescue and Firefighting (ARFF) Research Program's combustible metal fire-testing protocol. A further evaluation included aquatic-toxicity testing of FEM-12 SC, and the extinguishing performance of FEM-12 SC compared to sodium chloride dry powder in accordance with the parameters set forth in the American National Standards Institute/Underwriters Laboratories Incorporated 711 'Rating and Testing of Fire Extinguishers,' Section 10.2, Magnesium Fire Tests, Section 10.2.28, Magnesium Casting Fire Tests. The tests conducted by the FAA ARFF Research Program determined optimum chemical formulation, FEM-12 SC, and the best extinguishing method using 240 pounds per square inch, high-pressure extinguishers in a straight-stream configuration. The aquatic-toxicity test results showed that FEM-12 SC was tested at 675 parts per million (ppm) median lethal concentration and was within the acceptable accuracy range of greater than 500 ppm. The extinguishing performance comparison results showed that sodium chloride extinguished a magnesium fire in an average of 102 seconds, twice as fast as FEM-12 SC. However, it created a potential long-term fire hazard due to its inability to cool the metal, which could redevelop into a fire if the sodium chloride-covered metal was disturbed. FEM-12 SC provided better cooling than sodium chloride so that the magnesium could be handled with bare hands within minutes of extinguishment. However, when FEM-12 SC came in direct contact with the burning magnesium, violent flare ups of the fire and flying magnesium sparks created potential fire hazards. Once the fire was extinguished, the fire hazards were eliminated.

NTIS

*Combustion; Evaluation; Fire Extinguishers; Fires; Performance Tests*

**20070005094** Delaware Univ., Newark, DE, USA

**Novel Hydrogels and Uses Thereof**

Schneider, J. P.; Pochan, D. J.; 28 Jul 04; 59 pp.; In English

Contract(s)/Grant(s): NIH-1-P20-RR-17716-01

Patent Info.: Filed Filed 28 Jul 04; US-Patent-Appl-SN-10-900-344

Report No.(s): PB2007-103860; No Copyright; Avail.: CASI: [A04](#), Hardcopy

The present invention provides novel hydrogels and methods of making and using such hydrogels. The present invention provides hydrogels that may be formed by the self-assembly of peptides in solution. Such self-assembly may be brought about by a change in one or more characteristics of the solution. Characteristics of the solution that may be changed include pH, ionic strength, temperature, and concentration of one or more specific ions. In addition, hydrogels of the invention may be disassembled by changing one or more characteristic of the hydrogel such as pH, ionic strength, temperature, and concentration of one or more specific ions.

NTIS

*Gels; Patent Applications; Peptides; Polymers*

**20070005125** Lawrence Livermore National Lab., Livermore, CA USA

**Different Precursor Populations Revealed by Microscopic Studies of Bulk Damage in KDP and DKDP Crystals**

DeMange, P.; Negres, R. A.; Radosky, H. B.; Demos, S. G.; Nov. 04, 2005; 14 pp.; In English

Report No.(s): DE2006-886666; No Copyright; Avail.: Department of Energy Information Bridge

We present experimental results aiming to reveal the relationship between damage initiating defect populations in KDP and DKDP crystals under irradiation at different wavelengths. Our results indicate that there is more than one type of defects leading to damage initiation, each defect acting as damage initiators over a different wavelength range. Results showing disparities in the morphology of damage sites from exposure at different wavelengths provides additional evidence for the presence of multiple types of defects responsible for damage initiation.

NTIS

*Crack Initiation; Crystals; Damage; Defects; Populations*

**20070005305** Naval Research Lab., Washington, DC USA

**Theoretical Considerations Governing the Dehydration of Fuels by Gas Blowing**

Krynitsky, John A; Feb 1957; 21 pp.; In English

Contract(s)/Grant(s): NA-350-062; Proj-TED-NRL-042

Report No.(s): AD-A459937; NRL-C01-01; XB-NRL/MR/6100; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459937>; Avail.: CASI: A03, Hardcopy

The theory governing the removal of free and dissolved water by gas blowing is discussed and equations for pre-drying the performance of continuous counter current flow drying units are developed using a concept of 'effective theoretical plates.' From the general equation derived, it is shown that the performance of any particular fuel drying unit is dependent on the efficiency of the drying tower employed (effective number of theoretical plates), the ratio of the rates of gas to fuel flow used, the dryness of the inlet gas, the moisture content of the entering fuel and the temperature of operation. A number of solutions applicable to the dehydration of JP-5 fuel under a variety of operating conditions are included to demonstrate the relative importance of the above parameters and to illustrate the type of performance possible.

DTIC

*Blowing; Dehydration; Fuels; Gases; JP-5 Jet Fuel*

**20070005337** Naval Research Lab., Washington, DC USA

**Passive Badge Assessment for Long-Term, Low-Level Air Monitoring on Submarines: VOC Badge Validation**

Williams, Kimberly P; Rose-Pehrsson, Susan L; Kidwell, David A; Dec 18, 2006; 23 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459989; NRL/MR/6180-06-9016; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459989>; Avail.: CASI: A03, Hardcopy

Passive badge monitors for benzene, toluene, and xylene detection (cumulatively) were tested for analyte-specific air analysis onboard U.S. Navy (USN) nuclear submarines. Long-term sampling efficiency was evaluated for a 28-day period by comparing the response of the passive badge to an active tube sampling method. The badges and tubes were exposed to benzene, toluene, and xylene vapors at concentrations ranging from 0.33 to 1.98 ppm, resulting in time-weighted-average exposures ranging from 47-283 ppb. High-and low-level concentrations were tested to examine the response range of the badge. The badges continued to accumulate the analyte for 28 days, with no change in sampling rate over time. Badge results appeared to be stable and consistent, but were different than the results observed from tubes. Accumulation of benzene onto badges was consistently higher than accumulation onto tubes (+24%), while the badge response to toluene and xylene was lower than that of the tubes (-40%, -49%). A correction factor may need to be applied to the analytical results to obtain more accurate, quantitative data. Appendixes include raw data in table form for active sampling tubes and passive sampling badges; tube/badge comparisons at high-level and low-level exposures; and tube/badge comparisons (replacement badges) at high-level and low-level exposures.

DTIC

*Air Quality; Environmental Monitoring; Sampling; Submarines; Test Equipment; Vapors; Volatile Organic Compounds*

## 24

### COMPOSITE MATERIALS

Includes physical, chemical, and mechanical properties of laminates and other composite materials.

**20070003583** NASA Glenn Research Center, Cleveland, OH, USA

**Carbon Materials Metal/Metal Oxide Nanoparticle Composite and Battery Anode Composed of the Same**

Hung, Ching-Cheh, Inventor; August 22, 2006; 9 pp.; In English; Original contains black and white illustrations

Patent Info.: Filed 10 Jun. 2003; US-Patent-7,094,499; US-Patent-Appl-SN-457433; NASA-Case-LEW-17309-01; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003583>; Avail.: CASI: A02, Hardcopy

A method of forming a composite material for use as an anode for a lithium-ion battery is disclosed. The steps include selecting a carbon material as a constituent part of the composite, chemically treating the selected carbon material to receive nanoparticles, incorporating nanoparticles into the chemically treated carbon material and removing surface nanoparticles from an outside surface of the carbon material with incorporated nanoparticles. A material making up the nanoparticles alloys with lithium.

Official Gazette of the U.S. Patent and Trademark Office

*Anodes; Metal Oxides; Composite Materials; Nanoparticles; Lithium Batteries; Carbon*

**20070003809** Missouri Univ., Rolla, MO USA

**Functionally Graded Shape Memory Alloy Composites Optimized for Passive Vibration Control**

Nov 20, 2006; 23 pp.; In English

Contract(s)/Grant(s): W911NF-06-1-0189

Report No.(s): AD-A459593; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Shape Memory Alloys; Vibration Damping; Composite Materials*

**20070004792** NASA Langley Research Center, Hampton, VA, USA

**Materials Development for Hypersonic Flight Vehicles**

Glass, David E.; Dirling, Ray; Croop, Harold; Fry, Timothy J.; Frank, Geoffrey J.; 2006; 13 pp.; In English; 14th AIAA/AHI International Space Planes and Hypersonics Systems and Technologies Conference, 6-9 Nov. 2006, Canberra, Australia; Original contains color illustrations

Contract(s)/Grant(s): WBS 685771.01.04.9SYO.01

Report No.(s): AIAA Paper 2006-8122; Copyright; Avail.: CASI: [A03](#), Hardcopy

The DARPA/Air Force Falcon program is planning to flight test several hypersonic technology vehicles (HTV) in the next several years. A Materials Integrated Product Team (MIPT) was formed to lead the development of key thermal protection system (TPS) and hot structures technologies. The technologies being addressed by the MIPT are in the following areas: 1) less than 3000 F leading edges, 2) greater than 3000 F refractory composite materials, 3) high temperature multi-layer insulation, 4) acreage TPS, and 5) high temperature seals. Technologies being developed in each of these areas are discussed in this paper.

Author

*Flight Tests; Hypersonic Vehicles; Composite Materials; Technology Utilization; Launch Vehicles; Fabrication*

**20070004906** NASA Glenn Research Center, Cleveland, OH, USA

**Probabilistic Design of Composite Structures**

Chamis, Christos C.; December 2006; 13 pp.; In English; ICCES07: International Conference on Computational and Experimental Engineering and Sciences, 3-8 Jan. 2007, Miami Beach, FL, USA; Original contains black and white illustrations

Contract(s)/Grant(s): WBS 732.759.03.01.03.01

Report No.(s): NASA/TM-2006-214660; E-15788; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070004906>;

Avail.: CASI: [A03](#), Hardcopy

A formal procedure for the probabilistic design evaluation of a composite structure is described. The uncertainties in all aspects of a composite structure (constituent material properties, fabrication variables, structural geometry, and service environments, etc.), which result in the uncertain behavior in the composite structural responses, are included in the evaluation. The probabilistic evaluation consists of: (1) design criteria, (2) modeling of composite structures and uncertainties, (3) simulation methods, and (4) the decision-making process. A sample case is presented to illustrate the formal procedure and to demonstrate that composite structural designs can be probabilistically evaluated with accuracy and efficiency.

Author

*Structural Design; Composite Structures; Design Analysis*

**20070004912** Michigan Univ., Ann Arbor, MI USA

**Innovative Mechanism-Based Textile Composite Damage Modeling Basing on a Nonlinear Fiber Model and Enhanced Homogenization Method**

Aug 31, 2006; 47 pp.; In English

Contract(s)/Grant(s): W911NF-05-1-0501

Report No.(s): AD-A459497; F013433; DRDA-05-4171; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Textiles; Damage; Homogenizing; Composite Materials*

**20070004977** Licatla and Tyrrell, P.C., Marlton, NJ, USA

**Polymeric Bioresorbable Composites Containing an Amorphous Calcium Phosphate Polymer Ceramic for Bone Repair and Replacement**

Laurencin, C. T.; Ambrosio, A. M.; Sahota, J.; 14 Mar 02; 5 pp.; In English

Contract(s)/Grant(s): NSF-NSG-BES9817872

Patent Info.: Filed Filed 14 Mar 02; US-Patent-Appl-SN-10-469 617

Report No.(s): PB2007-100898; No Copyright; Avail.: CASI: [A01](#), Hardcopy

A bioresorbable composite of a non-crystalline calcium phosphate ceramic synthesized within an encapsulating microspheres of bioresorbable polymeric material for use in bone repair and replacement is provided. Also provides are methods for producing these composites as well as porous, 3-dimensional scaffold produced by sintering together microspheres of this bioresorbable composite.

NTIS

*Amorphous Materials; Bones; Calcium Phosphates; Ceramics; Patent Applications; Polymers; Replacing*

**20070004979** Georgia Tech Research Inst., Atlanta, GA, USA

**Macroscopic Fiber Comprising Single-Wall Carbon Nanotubes and Acrylonitrile-Based Polymer and Process for Making the Same**

Veedu, S. T.; Kumar, S.; 22 Nov 04; 21 pp.; In English

Contract(s)/Grant(s): ONR-N00014-01-0657; AFOSR-F49620-00-1-0147

Patent Info.: Filed Filed 22 Nov 04; US-Patent-Appl-SN-10-994 892

Report No.(s): PB2007-100897; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The present invention relates to a high modulus macroscopic fiber comprising single-wall carbon nanotubes (SWNT) and an acrylonitrile-containing polymer. In one embodiment, the macroscopic fiber is a drawn fiber having a cross-sectional dimension of at least 1 micron. In another embodiment, the acrylonitrile polymer-SWNT composite fiber is made by dispersing SWNT in a solvent, such as dimethyl formamide or dimethyl acetamide, admixing an acrylonitrile-based polymer to form a generally optically homogeneous polyacrylonitrile polymer-SWNT dope, spinning the dope into a fiber, drawing and drying the fiber. Polyacrylonitrile/SWNT composite macroscopic fibers have substantially higher modulus and reduced shrinkage versus a polymer fiber without SWNT. A polyacrylonitrile/SWNT fiber containing 10 wt % SWNT showed over 100% increase in tensile modulus and significantly reduced thermal shrinkage compared to a control fiber without SWNT. With 10 wt % SWNT, the glass transition temperature of the polymer increased by more than 40.degree. C.

NTIS

*Acrylonitriles; Carbon Fibers; Carbon Nanotubes; Nitrogen Polymers*

**20070005097** Mainstream Engineering Corp., Rockledge, FL, USA

**Nanotube Composites and Methods for Producing**

Saringe, R. P.; Back, D. D.; Meyer, J. A.; 27 Jul 04; 15 pp.; In English

Contract(s)/Grant(s): NSWC-N00164-03-C-6023; NSWC-N0016703-C-0064

Patent Info.: Filed Filed 27 Jul 04; US-Patent-Appl-SN-10-898-933

Report No.(s): PB2007-103859; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A method for producing a carbon nanotube composite, in which carbon nanotubes are grown on a support substrate and metal catalyst. The carbon nanotubes, support substrate, and catalyst are combined in at least a partially unpurified form with a matrix material.

NTIS

*Carbon Nanotubes; Composite Materials; Nanotubes; Patent Applications*

**20070005117** Oak Ridge National Lab., TN USA, Pittsburgh Univ., PA, USA

**Fracture Toughness and Strength in a New Class of Bainitic Chromium-Tungsten Steels**

Jun. 2006; 48 pp.; In English

Report No.(s): DE2006-886702; ORNL/TM-2006/44; No Copyright; Avail.: Department of Energy Information Bridge

This project dealt with developing an understanding of the toughening and strengthening mechanism for a new class of Fe-3Cr-W(V) steels developed at Oak Ridge National Laboratory (ORNL) in collaboration with Nooter Corporation and other industrial partners. The new steel had 50% higher tensile strength up to 650DGC than currently used steels and the potential for not requiring any postweld heat treatment (PWHT) and for reducing equipment weight by 25%. This project was closely related to the Nooter project described in the report Development of a New Class of Fe-3Cr-W(V) Ferritic Steels for Industrial Process Applications (ORNL/TM-2005/82). The project was carried out jointly by the University of Pittsburgh and ORNL. The University of Pittsburgh carried out fracture toughness measurements and microstructural analysis on base metal and welded plates prepared at ORNL.

NTIS

*Bainitic Steel; Chromium Steels; Composite Materials; Fracture Strength; Steels; Tungsten*

**20070005301** Composite Technology Development, Inc., Lafayette, CO USA

**Effect of Inclusion Morphology on the Coefficient of Thermal Expansion in Filled Epoxy Matrix (Preprint)**

Mallick, Kaushik; Cronin, John; Arzberger, Steven; Apr 2006; 13 pp.; In English

Contract(s)/Grant(s): HQ0006-04-C-7070

Report No.(s): AD-A459928; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459928>; Avail.: CASI: A03, Hardcopy

The paper presents material development research performed at Composite Technology Development, Inc. towards optimization of the design for linerless composite cryogenic tanks. In a cryogenic composite tank, large thermal strains develop through the thickness of the tank laminate due to the mismatch in the coefficient of thermal expansion of adjacent plies with different fiber orientations. These thermal strains are primarily caused by the thermal contraction of the matrix material. Excessive thermal strains can cause microcracks in the matrix and inter-ply delamination, leading to leakage of the fluid contained by the tank. Reduction of the thermal expansion of the matrix is viewed as an essential design tool for optimizing these tanks. Addition of inclusions that are much stiffer than the matrix is an effective means to reduce thermal expansion of the matrix for the composite, as long as it doesn't compromise the toughness. The paper presents an analytical scheme to predict the effective thermal expansion properties of the filled matrix with embedded inclusions and investigates the effect of inclusion morphology, shape and aspect ratio on the same. The analytical predictions are compared with actual test results of thermal expansion measured for the matrix. The inductions and trends are being used to select the best material and to improve their processing to achieve the most optimized cryogenic tank design.

DTIC

*Composite Materials; Cryogenics; Epoxy Matrix Composites; Morphology; Thermal Expansion*

## 25

### INORGANIC, ORGANIC AND PHYSICAL CHEMISTRY

Includes the analysis, synthesis, and use of inorganic and organic compounds; combustion theory; electrochemistry; and photochemistry. For related information see category *34 Fluid Dynamics and Thermodynamics*. For astrochemistry see category *90/Astrophysics*.

**20070003579** NASA Kennedy Space Center, Cocoa Beach, FL, USA

**Concentration of Hydrogen Peroxide**

Parrish, Clyde F., Inventor; October 17, 2006; 6 pp.; In English; Original contains black and white illustrations

Patent Info.: Filed 11 May 2004; US-Patent-7,122,166; US-Patent-Appl-SN-845607; NASA-Case-KSC-12666; No Copyright;

ONLINE: <http://hdl.handle.net/2060/20070003579>; Avail.: CASI: A02, Hardcopy

Methods for concentrating hydrogen peroxide solutions have been described. The methods utilize a polymeric membrane separating a hydrogen peroxide solution from a sweep gas or permeate. The membrane is selective to the permeability of water over the permeability of hydrogen peroxide, thereby facilitating the concentration of the hydrogen peroxide solution through the transport of water through the membrane to the permeate. By utilizing methods in accordance with the invention, hydrogen peroxide solutions of up to 85% by volume or higher may be generated at a point of use without storing substantial quantities of the highly concentrated solutions and without requiring temperatures that would produce explosive mixtures of hydrogen peroxide vapors.

Official Gazette of the U.S. Patent and Trademark Office

*Hydrogen Peroxide; Concentration (Composition); Methodology*

**20070003717** Illinois Univ. at Urbana-Champaign, Urbana, IL USA

**Investigating the Interface of Superhydrophobic Surfaces in Contact With Water**

Jun 11, 2005; 8 pp.; In English

Contract(s)/Grant(s): DAAD19-03-1-0227

Report No.(s): AD-A459324; No Copyright; Avail.: CASI: A02, Hardcopy

No abstract available

*Water; Hydrophobicity; Interfaces; Surface Properties*

**20070003759** Iowa State Univ. of Science and Technology, Ames, IA USA

**Efficient Execution of Electronic Structure Calculations on SMP Clusters**

Ustemirov, N.; January 2006; 46 pp.; In English

Report No.(s): DE2006-888952; No Copyright; Avail.: National Technical Information Service (NTIS)

Applications augmented with adaptive capabilities are becoming common in parallel computing environments. For large-scale scientific applications, dynamic adjustments to a computationally-intensive part may lead to a large pay-off in facilitating efficient execution of the entire application while aiming at avoiding resource contention. Application-specific knowledge, often best revealed during the run-time, is required to initiate and time these adjustments. In particular, General Atomic and Molecular Electronic Structure System (GAMESS) is a program for ab initio quantum chemistry that places significant demands on the high-performance computing platforms. Certain electronic structure calculations are characterized by high consumption of a particular resource, such as CPU, main memory, or disk I/O. This may lead to resource contention among concurrent GAMESS jobs and other programs in the dynamically changing environment. Thus, it is desirable to improve GAMESS calculations by means of dynamic adaptations. In this thesis, we show how an application- or algorithm-specific knowledge may play a significant role in achieving this goal. The choice of implementation is facilitated by a module-driven middleware easily integrated with GAMESS that assesses resource consumption and invokes GAMESS adaptations to the system environment.

NTIS

*Electronic Structure; Quantum Chemistry*

**20070003762** Iowa State Univ. of Science and Technology, Ames, IA USA

**Structure and Function Evolution of Thiolate Monolayers on Gold**

Edwards, G. A.; January 2005; 117 pp.; In English

Report No.(s): DE2006-888936; No Copyright; Avail.: Department of Energy Information Bridge

The use of n-alkanethiolate self-assembled monolayers on gold has blossomed in the past few years. These systems have functioned as models for common interfaces. Thiolate monolayers are ideal because they are easily modified before or after deposition. The works contained within this dissertation include interfacial characterization (inbred reflection absorption spectroscopy, ellipsometry, contact angle, scanning probe microscopy, and heterogeneous electron-transfer kinetics) and various modeling scenarios. The results of these characterizations present ground-breaking insights into the structure, function, and reproducible preparation of these monolayers. Surprisingly, three interfacial properties (electron-transfer, contact angle, and ellipsometry) were discovered to depend directly on the odd-even character of the monolayer components. Molecular modeling was utilized to investigate adlayer orientation, and suggests that these effects are adlayer structure specific. Finally, the electric force microscopy and theoretical modeling investigations of monolayer samples are presented, which show that the film dielectric constant, thickness, and dipole moment directly affect image contrast. In addition, the prospects for utilization of this emerging technique are outlined.

NTIS

*Gold; Thiols; Molecular Structure*

**20070003763** Iowa State Univ. of Science and Technology, Ames, IA USA

**Rare-earth Transition-Metal Intermetallics: Structure-Bonding-Property Relationships**

Han, M. K.; January 2006; 233 pp.; In English

Report No.(s): DE2006-888946; No Copyright; Avail.: Department of Energy Information Bridge

The purpose of this thesis is to explore novel rare-earth, transition metal compounds and to investigate their structure and bonding and how these influence their properties. We have focused on the synthesis, characterization and electronic structure investigations, as well as physical properties of ternary rare-earth Fe-rich intermetallics. The main investigatory techniques used in this thesis are powder and single crystal X-ray diffractions, magnetic properties measurements, and electronic structure calculations. X-ray diffraction is a powerful technique which can provide information about atomic ordering and bonding within the structure. In addition to careful crystallography, electronic structure calculations are an essential tool for understanding the relationship between structure and chemical bonding in these compounds. Useful theoretical tools are the energy density of states (DOS), crystal orbital Hamilton population (COHP) and the electronic band structure. Manipulations of the density of states allow classification of bonding types and assignment of electron density to various atoms in a material.

NTIS

*Bonding; Electronic Structure; Intermetallics; Rare Earth Elements*

**20070003764** Iowa State Univ. of Science and Technology, Ames, IA USA

**Biological Applications and Transmission Electron Microscopy Investigation of Mesoporous Silica Nanoparticles**

Trewyn, B. G.; January 2006; 151 pp.; In English

Report No.(s): DE2006-888950; No Copyright; Avail.: Department of Energy Information Bridge

The research presented and discussed within involves the development of novel biological applications of mesoporous silica nanoparticles (MSN) and an investigation of mesoporous material by transmission electron microscopy. Mesoporous silica nanoparticles organically functionalized shown to undergo endocytosis in cancer cells and drug release from the pores was controlled intracellularly and intercellularly. Transmission electron microscopy investigations demonstrated the variety of morphologies produced in this field of mesoporous silica nanomaterial synthesis. A series of room-temperature ionic liquid (RTIL) containing mesoporous silica nanoparticle (MSN) materials with various particle morphologies, including spheres, ellipsoids, rods, and tubes, were synthesized. By changing the RTIL template, the pore morphology was tuned from the MCM-41 type of hexagonal mesopores to rotational moire type of helical channels, and to wormhole-like porous structures. These materials were used as controlled release delivery nanodevices to deliver antibacterial ionic liquids against *Escherichia coli* KZ2.

NTIS

*Nanoparticles; Silicon Dioxide; Transmission Electron Microscopy*

**20070003765** Iowa State Univ. of Science and Technology, Ames, IA USA

**Electron Transfer Reactivity Patterns at Chemically Modified Electrodes: Fundamentals and Application to the Optimization of Redox Recycling Amplification Systems**

Bergren, A. J.; January 2005; 213 pp.; In English

Report No.(s): DE2006-888934; No Copyright; Avail.: Department of Energy Information Bridge

Electroanalytical chemistry is often utilized in chemical analysis and fundamental studies. Important advances have been made in these areas since the advent of chemically modified electrodes: the coating of an electrode with a chemical film in order to impart desirable, and ideally, predictable properties. These procedures enable the exploitation of unique reactivity patterns. This dissertation presents studies that investigate novel reaction mechanisms at self-assembled monolayers on gold. In particular, a unique electrochemical current amplification scheme is detailed that relies on a selective electrode to enable a reactivity pattern that results in regeneration of the analyte (redox recycling). This regenerating reaction can occur up to 250 times for each analyte molecule, leading to a notable enhancement in the observed current. The requirements of electrode selectivity and the resulting amplification and detection limit improvements are described with respect to the heterogeneous and homogeneous electron transfer rates that characterize the system.

NTIS

*Amplification; Electrodes; Electron Transfer; Oxidation-Reduction Reactions; Reactivity; Recycling*

**20070003768** University of Southern California, Los Angeles, CA, USA

**Novel Anionic Clay Adsorbents for Boiler-Blow Down Waters Reclaim and Reuse. (Final Report, September 1, 2004-August 31, 2005)**

Sahimi, M.; Tsotsis, T. T.; Dec. 2005; 61 pp.; In English

Report No.(s): DE2006-888921; No Copyright; Avail.: National Technical Information Service (NTIS)

U.S. electric utilities are a large user of water. New regulations to diminish the effect of power generation on aquatic life will mean, that Utilities will have to retrofit from the once-through cooling technology, to recirculating cooling towers, and to reclaim/reuse discharged water throughout the power-plant (e.g., boiler blow-down water). Concerns exist today, in particular, about heavy metals, such as Hg, As and Se, found in many of the power-plant effluents. Most of these streams fall today under the category of high volume, too clean to clean effluents. They require highly efficient treatment techniques, particularly for the removal of trace-level metal contaminants. Little emphasis, so far, has been placed on such discharges. The focus of this project is on treating and reusing such effluents, particularly on dealing with Se and As impacted boiler blow-down streams. Our goal is to study the utilization of novel anionic clay sorbents for treating and reclaiming/reusing power-plant effluents, in particular, boiler blow-down waters containing heavy metals, such as As and Se.

NTIS

*Adsorbents; Anions; Blowing; Boilers; Clays; Negative Ions*

**20070003769** Lawrence Livermore National Lab., Livermore, CA USA

**Methods to Calculate Corrosion Rates for Alloy 22 from Polarization Resistance Experiments**

Wong, L. L.; Martin, S. I.; Rebak, R. B.; Jul. 2006; 10 pp.; In English

Report No.(s): DE2006-888865; No Copyright; Avail.: Department of Energy Information Bridge

The general corrosion rate may be measured using immersion tests or electrochemical tests. Electrochemical tests are fast and can be used for a rapid screening of environmental effects such as temperature and electrolyte composition.



Electrochemical tests are described in ASTM standards G 59 and G 102. The basis of these tests is to calculate the resistance to polarization in a voltage vs. current plot and to convert these values to corrosion rates using Faraday's law. Commercial software can calculate the corrosion rate based on inputs from the operator. This paper discusses three ways of calculating the corrosion rate (Methods 1, 2, and 3) based on a fixed set of acquired data of voltage vs. current. It is concluded that the way the corrosion rate is calculated does not greatly impact the absolute value of the corrosion rate.

NTIS

*Corrosion; Corrosion Resistance; Nickel Alloys; Polarization*

**20070003771** Lawrence Livermore National Lab., Livermore, CA USA, Kobe Steel Ltd., Japan

**Comparative Corrosion Behavior of Two Palladium-Containing Titanium Alloys**

Lian, T.; Yashiki, T.; Nakayama, T.; Nakanishi, T.; Rebak, R. B.; Jul. 2006; 9 pp.; In English

Report No.(s): DE2006-888858; No Copyright; Avail.: Department of Energy Information Bridge

The ASTM standard B 265 provides the requirements for the chemical composition of titanium (Ti) alloys. It is planned to use corrosion resistant and high strength titanium alloys to fabricate the drip shield at the proposed Yucca Mountain Repository. Titanium grade (Gr) 7 (R52400) and other Ti alloys are currently being characterized for this application. Ti Gr 7 contains 0.15% Palladium (Pd) to increase its corrosion performance. In this article we report results on the comparative short term corrosion behavior of Ti Gr 7 and a Ruthenium (Ru) containing alloy (Ti Gr 33). Ti Gr 33 also contains a small amount of Pd. Limited electrochemical testing such as polarization resistance and cyclic potentiodynamic curves showed that both alloys have a similar corrosion behavior in the tested environments.

NTIS

*Corrosion; Corrosion Resistance; Palladium; Palladium Alloys; Titanium Alloys*

**20070003772** Fluor Daniel Hanford, Inc., Richland, WA, USA

**Action Concentration for Mixtures of VOCs, Methane and Hydrogen**

Marusich, R. M.; Jul. 2006; 26 pp.; In English

Report No.(s): DE2006-888828; HNF-29785; No Copyright; Avail.: Department of Energy Information Bridge

Waste containers may contain volatile organic compounds (VOCs), methane, hydrogen and possibly propane. These constituents may occur individually or in mixtures. Determining if a waste container contains a flammable concentration of flammable gases and vapors (from VOCs) is important to the safety of the handling, repackaging and shipping activities. This report provides the basis for determining the flammability of mixtures of flammable gases and vapors. The concentration of a mixture that is at the lowest flammability limit for that mixture is called the action concentration. The action concentration can be determined using total VOC concentrations or actual concentration of each individual VOC. The concentrations of hydrogen and methane are included with the total VOC or individual VOC concentration to determine the action concentration. Concentrations below this point are not flammable. Waste containers with gas/vapor concentrations at or above the action concentration are considered flammable.

NTIS

*Hydrogen; Methane; Organic Compounds*

**20070004664** Auburn Univ., AL USA

**Enzyme-Encapsulated Silica Monolayers for Rapid Functionalization of a Gold Surface PREPRINT**

Jul 2006; 9 pp.; In English

Contract(s)/Grant(s): F08637-03-C-6006; Proj-4915

Report No.(s): AD-A459758; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Enzymes; Gold; Silicon Dioxide; Amorphous Materials*

**20070004668** Army Research Lab., Aberdeen Proving Ground, MD USA

**Simulation of Organic Magnetic Resonance Force Microscopy Experiments**

Dec 2006; 30 pp.; In English

Report No.(s): AD-A459753; ARL-TR-4016; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Microscopy; Simulation; Organic Materials; Signal to Noise Ratios*

**20070004703** Case Western Reserve Univ., Cleveland, OH USA

**Framework for the Analysis of Localized Corrosion at the Proposed Yucca Mountain Repository**

January 2006; 26 pp.; In English

Report No.(s): DE2006-886041; No Copyright; Avail.: National Technical Information Service (NTIS)

Corrosion is a primary determinant of waste package performance at the proposed Yucca Mountain Repository: (1) the most likely degradation process; (2) controls the delay time for radionuclide transport from the waste package; and (3) determines when packages will be penetrated and the shape size and distribution of those penetrations. In this presentation a framework for the analysis of localized corrosion is presented and demonstrated for a scenario: (1) water chemistry of mixed salt solutions (sodium chloride-potassium nitrate); and (2) time-temperature-relative humidity profiles for a hot, mid and cool temperature waste package.

NTIS

*Corrosion; Mountains; Radioactive Wastes; Waste Management*

**20070004704** Lawrence Livermore National Lab., Livermore, CA USA, Pacific Northwest National Lab., Richland, WA, USA

**Thermodynamics of Neptunium(V) Fluoride and Sulfate at Elevated Temperatures**

Rao, L.; Tian, G.; Xia, Y.; Friese, J. I.; January 2006; 10 pp.; In English

Report No.(s): DE2006-886039; No Copyright; Avail.: Department of Energy Information Bridge

Complexation of neptunium(V) with fluoride and sulfate at elevated, temperatures was studied by microcalorimetry. Thermodynamic parameters, including the equilibrium constants and enthalpy of protonation of fluoride and sulfate, and the enthalpy of complexation between Np(V) and fluoride and sulfate at 25-70 C were determined. Results show that the complexation of Np(V) with fluoride and sulfate is endothermic and that the complexation is enhanced by the increase in temperature--a threefold increase in the stability constants of  $\text{NpO}(\text{sub } 2)\text{F}(\text{aq})$  and  $\text{NpO}(\text{sub } 2)\text{SO}(\text{sub } 4)(\text{sup } -)$  as the temperature is increased from 25 to 70 C.

NTIS

*Fluorides; High Temperature; Neptunium; Sulfates; Thermodynamics*

**20070004728** California Univ., Berkeley, CA USA

**Dynamical Study of Guest-Host Orientational Interaction in Liquid Crystalline Materials**

Truong, T. V.; Oct. 2005; 118 pp.; In English

Report No.(s): DE2006-888975; No Copyright; Avail.: Department of Energy Information Bridge

Guest-host interaction has long been a subject of interest in many disciplines. Emphasis is often on how a small amount of guest substance could significantly affect the properties of a host material. This thesis describe our work in studying a guest-host effect where dye-doping of liquid crystalline materials greatly enhances the optical Kerr nonlinearity of the material. The dye molecules, upon excitation and via intermolecular interaction, provides an extra torque to reorient the host molecules, leading to the enhanced optical Kerr nonlinearity. We carried out a comprehensive study on the dynamics of the photoexcited dyedoped liquid crystalline medium. Using various experimental techniques, we separately characterized the dynamical responses of the relevant molecular species present in the medium following photo-excitation, and thus were able to follow the transient process in which photo-excitation of the dye molecules exert through guest-host interaction a net torque on the host LC material, leading to the observed enhanced molecular reorientation.

NTIS

*Crystallinity; Liquid Crystals; Orientation; Molecular Interactions*

**20070004732** Department of Energy, Washington, DC USA

**Adaptive Sampling and Analysis Programs (ASAPs)**

Aug. 2001; 34 pp.; In English

Report No.(s): DE2006-885502; DOE/EM-0592; No Copyright; Avail.: National Technical Information Service (NTIS)

Table of Contents: Summary; Technology description; Performance; Technology applicability and alternatives; Cost; Regulatory and policy issues; Lessons learned and Appendices.

NTIS

*Contamination; Hazardous Materials; Sampling*

**20070004903** NASA Glenn Research Center, Cleveland, OH, USA

**Characterization of Lubricants on Ball Bearings by FT-IR Using an Integrating Sphere**

Street, K. W.; Pepper, S. V.; Wright, A. A.; Grady, B.; January 2007; 15 pp.; In English; Original contains black and white illustrations

Contract(s)/Grant(s): WBS 22-066-30-04

Report No.(s): NASA/TM-2007-214472; E-15764; Copyright; Avail.: CASI: [A03](#), Hardcopy

Fourier Transform-Infrared reflectance microspectroscopy has been used extensively for the examination of coatings on nonplanar surfaces such as ball bearings. While this technique offers considerable advantages, practical application has many drawbacks, some of which are easily overcome by the use of integrating sphere technology. This paper describes the use of an integrating sphere for the quantification of thin layers of lubricant on the surface of ball bearings and the parameters which require optimization in order to obtain reliable data. Several applications of the technique are discussed including determination of lubricant load on 12.7 mm steel ball bearings and the examination of degraded lubricant on post mortem specimens.

Author

*Microanalysis; Infrared Spectroscopy; Lubricants; Ball Bearings; Reflectance; Fourier Transformation*

**20070004986** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA, California Univ., Berkeley, CA USA

**Graphene Layer Growth: Collision of Migrating Five-Member Rings**

Whitesides, R.; Kollias, A. C.; Domin, D.; Lester, W. A.; Frenklach, M.; Aug. 2006; 27 pp.; In English

Report No.(s): DE2006-889313; No Copyright; Avail.: Department of Energy Information Bridge

A reaction pathway is explored in which two cyclopenta groups combine on the zigzag edge of a graphene layer. The process is initiated by H addition to a five-membered ring, followed by opening of that ring and the formation of a six-membered ring adjacent to another five-membered ring. The elementary steps of the migration pathway are analyzed using density functional theory to examine the region of the potential energy surface associated with the pathway. The calculations are performed on a substrate modeled by the zigzag edge of tetracene. Based on the obtained energetics, the dynamics of the system are analyzed by solving the energy transfer master equations. The results indicate energetic and reaction-rate similarity between the cyclopenta combination and migration reactions. Also examined in the present study are desorption rates of migrating cyclopenta rings which are found to be comparable to cyclopenta ring migration.

NTIS

*Collisions; Combustion; Energy Transfer; Migration; Soot*

**20070005118** Department of Energy, Washington, DC USA

**Alkaline Electrolysis. Final Technical Report**

January 2006; 28 pp.; In English

Report No.(s): DE2006-886689; No Copyright; Avail.: National Technical Information Service (NTIS)

Hydrogen is an attractive fuel for mobile and stationary applications because it generates only water when reacted. However, many methods of producing hydrogen generate CO<sub>2</sub> and other emissions. One way to produce hydrogen with no emissions is to electrolyze water. If the electricity source used to power the electrolyzer does not generate CO<sub>2</sub>, then the entire cycle of energy production and consumption can be free of greenhouse gas generation. To date, electrolysis has not been the preferred method for large scale hydrogen production because it is more costly than reforming. Costs for electrolysis hydrogen are typically over \$8 per kilogram, while hydrogen made by large scale steam methane reformers may cost less than \$2 per kilogram. A key aspect of the Department of Energy's HFCIT (Hydrogen Fuel Cell Infrastructure Technology) program is to make the environmental benefits of electrolysis hydrogen possible by reducing the price of electrolysis hydrogen to under \$3 per kg. In this project, GE developed electrolyzer stack technologies to meet DOE's goals for low cost electrolysis hydrogen. The main barrier to meeting the targets for electrolyzer cost was in stack assembly and construction. GE's invention of a single piece or monolithic plastic electrolyzer stack reduces these costs considerably. In addition, GE developed low cost cell electrodes using a novel application of metal spray coating technology. Bench scale stack testing and cost modeling indicates that the DOE targets for stack capital cost and efficiency can be met by full-scale production of industrial electrolyzers incorporating GE's stack technology innovations. A multicell monolithic plastic stack was demonstrated to DOE HFCIT Production Team leader Peter Devlin on 15 April 2005. This 5-cell operating stack and system exceeds the specified project goal of a 3-cell bench scale stack. Utility customers, for whom capital cost is the primary driver of hydrogen cost, have been identified as early adopters of the technology. The GE research team quantified the performance targets necessary to meet the needs of these customers and developed a strategy to meet these needs at capital cost targets consistent with the hydrogen cost

goals set by DOE. Achieving these capital cost targets is also critical for meeting the needs of the distributed and central hydrogen production scenarios detailed by the H2A program.

NTIS

*Electrolysis; Fuels; Hydrogen*

**20070005343** Air Force Research Lab., Hanscom AFB, MA USA

**Aluminum Nitride Substrate Growth by Halide Vapor Transport Epitaxy**

Bliss, D F; Tassev, V L; Weyburne, D; Bailey, J S; Jan 31, 2003; 7 pp.; In English

Contract(s)/Grant(s): Proj-4916

Report No.(s): AD-A460001; AFRL-SN-HS-TP-2003-1022; No Copyright; ONLINE:

<http://hdl.handle.net/100.2/ADA460001>; Avail.: CASI: A02, Hardcopy

High-quality AlN layers with thickness up to 50 micrometers have been grown by HVTE at growth rates up to 60 micrometers/h at deposition temperatures of 1000-1100 degrees C in the pressure range of 50-760 Torr. The HVT process uses an aluminum chloride amine adduct as the aluminum source and ammonia for the nitrogen. This new technique eliminates the main difficulties of the conventional HVPE growth, where aluminum oxidation and the strong reactivity of aluminum chloride with quartz create the potential for oxygen contamination. The crystalline layer quality as determined by X-ray rocking curve measurement shows FWHM of 300-900 and 500-1300 arcsec for (002) and (102) planes, respectively.

DTIC

*Aluminum Nitrides; Epitaxy; Halides; Substrates; Vapor Deposition; Vapors*

**20070005398** Brown Univ., Providence, RI USA

**Template-Growth of Highly Ordered Carbon Nanotube Arrays on Silicon POSTPRINT**

Yin, Aijun; Tzolov, Marian; Cardimona, David; Xu, Jimmy; Sep 2006; 5 pp.; In English

Report No.(s): AD-A460085; No Copyright; Avail.: CASI: A01, Hardcopy

This paper reports on the success in and the key conditions for direct growth of carbon nanotubes on unprecedented uniformity on silicon. The uniformity is ensured through the growth within the highly ordered nanopores of an alumina oxide template, which is in turn formed on silicon through anodization of aluminum of unprecedented thickness evaporated on silicon. The formation of highly ordered nanopore array by anodization of thick aluminum evaporated on a noncompliant substrate such as silicon is made possible through a specially designed process for evaporating thick aluminum of high quality and good adhesion.

DTIC

*Adhesion; Aluminum Oxides; Carbon Nanotubes; Nanostructure Growth; Silicon; Templates*

**20070005408** Universal Technology Corp., Dayton, OH USA

**Molybdenum Disulfide as a Lubricant And Catalyst in Adaptive Nanocomposite Coatings (Preprint)**

Muratore, C; Voevodin, A A; Aug 2006; 19 pp.; In English

Contract(s)/Grant(s): F33615-03-D-5801; Proj-4349

Report No.(s): AD-A460098; No Copyright; Avail.: CASI: A03, Hardcopy

Nanocomposite YSZ-Ag-Mo-MoS<sub>2</sub> with different MoS<sub>2</sub> additions (0-100 atomic percent) coatings were deposited with a hybrid pulsed laser/magnetron sputtering/filtered cathodic arc process. Wear testing was performed from 25-700 degrees C for each of the coatings. Electron microscopy and other characterization techniques were used to examine the surfaces and wear tracks of the coatings to determine the mechanisms resulting in the measured tribological properties. Adaptive coatings containing 8 atomic percent MoS<sub>2</sub> demonstrated a friction coefficient of 0.2 throughout the temperature range examined here, compared to 0.4 for YSZ-Ag-Mo with no MoS<sub>2</sub>. Characterization of the YSZ-Ag-Mo-8%MoS<sub>2</sub> coating revealed that MoS<sub>2</sub> and silver provided lubrication at temperatures less or equal 300 degrees C, while silver molybdate phases and MoO<sub>3</sub> were lubricious at higher temperatures. Silver molybdate was not observed in the coatings containing 0% MoS<sub>2</sub>. The role of sulfur in the formation of silver molybdate is briefly discussed.

DTIC

*Catalysts; Lubricants; Molybdenum Compounds; Molybdenum Disulfides; Nanocomposites; Tribology*

**20070005468** Air Force Research Lab., Wright-Patterson AFB, OH USA

**Effect of O<sub>2</sub> Partial Pressure on YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7- $\delta$</sub>  Thin Film Growth by Pulsed Laser Deposition**

Haugan, T J; Barnes, P N; Brunke, L; Maartense, I; Murphy, J; Apr 2004; 13 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): Proj-3145

Report No.(s): AD-A460193; AFRL-PR-WP-TP-2006-206; No Copyright; Avail.: CASI: [A03](#), Hardcopy

YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7- $\delta$</sub>  thin films were processed by pulsed laser deposition on (1 0 0) LaAlO<sub>3</sub> substrates using O<sub>2</sub> partial pressures from 120 to 1200 mTorr. The effect of O<sub>2</sub> pressure on film properties, including room temperature resistivities and microstructures, was studied for a unique set of deposition parameters. The film quality was observed to remain high over a wide range of O<sub>2</sub> partial pressures, with much less sensitivity to O<sub>2</sub> pressure than previous studies which are compared. For O<sub>2</sub> pressures from 200 to 1200 mTorr, superconducting transition temperatures consistently reached values  $\geq 91.5$  K and transport critical current densities were 3-5 MA/sq cm (77 K, self-field). It is proposed that less sensitivity of film properties to O<sub>2</sub> pressure is achieved by: (1) reducing the particle velocity of the plume below a critical threshold, and (2) using a deposition temperature of 785 degrees C for adequate surface activation.

DTIC

*Deposition; Oxygen Tension; Partial Pressure; Pressure Effects; Pulsed Laser Deposition; Pulsed Lasers; Thin Films; YBCO Superconductors*

## 26

### METALS AND METALLIC MATERIALS

Includes physical, chemical, and mechanical properties of metals and metallic materials; and metallurgy.

**20070003689** NASA Johnson Space Center, Houston, TX, USA

**The Effects of Laser Peening and Shot Peening on Mechanical Properties in Friction Stir Welded 7075-T7351 Aluminum**

Hatamleh, Omar; [2006]; 23 pp.; In English; Original contains color illustrations; No Copyright; ONLINE:

<http://hdl.handle.net/2060/20070003689>; Avail.: CASI: [A03](#), Hardcopy

Peening techniques like laser peening and shot peening were used to modify the surface of friction stir welded 7075-T7351 Aluminum Alloy specimens. The tensile coupons were machined such as the loading was applied in a direction perpendicular to the weld direction. The peening effects on the global and local mechanical properties through the different regions of the weld were characterized and assessed. The surface hardness levels resulting from various peening techniques were also investigated for both sides of the welds. Shot peening resulted in an increase to surface hardness levels, but no improvement was noticed on the mechanical properties. In contrast, mechanical properties were improved by laser peening when compared to the unpeened material.

Author

*Aluminum Alloys; Friction Stir Welding; Lasers; Mechanical Properties; Shot Peening*

**20070003787** Lawrence Livermore National Lab., Livermore, CA USA

**Effect of Solution Annealing on Alloy 22 Weld Properties**

El-Dasher, B. S.; Torres, S. G.; Nov. 10, 2005; 14 pp.; In English

Report No.(s): DE2006-887277; No Copyright; Avail.: National Technical Information Service (NTIS)

The effect of solution annealing temperature on the microstructure and observed corrosion attack mode in Alloy 22 welds was assessed. Specimens were examined in the as-welded state as well as solution annealed for 20 minutes at temperatures ranging from 1075C to 1300C. The microstructures of the specimens were first mapped using electron backscatter diffraction to determine the grain structure evolution due to solution annealing. Full recrystallization of the fusion zone was only observed in the 1200C and 1300C specimens, although the 1300C specimen showed abnormal grain growth. As-welded, 1121C and 1200C specimens were also subjected to electrochemical testing in a 6 molal NaCl + 0.9 molal KNO<sub>3</sub> environment to initiate crevice corrosion. Examination of the specimen surfaces after corrosion testing showed that in the as-welded specimen, corrosion was present in both the weld dendrites as well as around the secondary phases. However, the specimen solution annealed at 1121C showed corrosion only at secondary phases and the specimen annealed at 1200C showed pitting corrosion only in a handful of grains.

NTIS

*Annealing; Corrosion; Temperature Effects; Alloys; Weld Tests*

**20070004573** Idaho Univ., Moscow, ID USA  
**Advanced Microwave Ferrite Research (AMFeR): Phase Two**  
Dec 31, 2006; 48 pp.; In English  
Contract(s)/Grant(s): N00014-05-1-0239  
Report No.(s): AD-A459628; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Ferrites; Microwaves*

**20070004716** Reed Intellectual Property Law Group, Menlo Park, CA, USA  
**Methods and Apparatuses for Producing Metallic Compositions via Reduction of Metal Halides**  
Sanjurjo, A.; Thiers, E.; Lau, K. H.; Hildenbrand, D. L.; Krishnan, G. N.; 6 Aug 04; 14 pp.; In English  
Contract(s)/Grant(s): DARPA-MDA972-03-C-0032  
Patent Info.: Filed Filed 6 Aug 04; US-Patent-Appl-SN-10-913 688  
Report No.(s): PB2007-100880; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Methods and apparatuses for producing a solid metallic composition by reacting a gaseous metal halide with a reducing agent are described. The method generally includes reacting a gaseous metal halide with a reducing agent in a manner effective to form a nonsolid reaction product, wherein the metal halide has the formula  $MX(\text{sub } i)$  in which M is a metal selected from a transition metal of the periodic table, aluminum, silicon, boron, and combinations thereof, X is a halogen, i is greater than 0, and the reducing agent is a gaseous reducing agent selected from hydrogen and a compound that releases hydrogen, and combinations thereof; and solidifying the reaction product, thereby forming a metallic composition comprising M that is substantially free from halides. The invention may be used to produce high-purity metallic compositions, particularly titanium particles and alloys thereof for use in powder metallurgy applications.

NTIS

*Metal Halides; Composition (Property); Transition Metals*

**20070004749** Universal Energy Systems, Inc., Dayton, OH USA  
**Development of Low Density CaMg-Al-Based Bulk Metallic Glasses (Preprint)**  
Oct 2006; 18 pp.; In English  
Contract(s)/Grant(s): FA8650-04-D-5233; Proj-2311  
Report No.(s): AD-A459780; No Copyright; Avail.: Defense Technical Information Center (DTIC)  
No abstract available

*Metallic Glasses; Magnesium; Calcium; Low Density Materials*

**20070004754** Universal Energy Systems, Inc., Dayton, OH USA  
**Composition Range and Glass Forming Ability of Ternary Ca-Mg-Cu Bulk Metallic Glasses (Preprint)**  
Oct 2006; 20 pp.; In English  
Contract(s)/Grant(s): FA8650-04-D-5233; Proj-2311  
Report No.(s): AD-A459779; No Copyright; Avail.: Defense Technical Information Center (DTIC)  
No abstract available

*Glass; Metallic Glasses; Carbon; Magnesium; Copper*

**20070004756** Universal Energy Systems, Inc., Dayton, OH USA  
**Precipitation in Al-Zn-Mg-Cu Alloys Modified With Sc and Zr During Aging (Preprint)**  
Dec 2006; 12 pp.; In English  
Contract(s)/Grant(s): F04611-02-C-0014; Proj-2311  
Report No.(s): AD-A459778; No Copyright; Avail.: Defense Technical Information Center (DTIC)  
No abstract available

*Aluminum Alloys; Magnesium Alloys; Zinc Alloys; Precipitation*

**20070004758** Air Force Research Lab., Wright-Patterson AFB, OH USA

**Effect of Strain-Path Reversal on Microstructure Evolution and Cavitation During Hot Torsion Testing of Ti-6Al-4V (Preprint)**

Oct 2006; 35 pp.; In English

Contract(s)/Grant(s): Proj-4347

Report No.(s): AD-A459776; AFRL-ML-WP-TP-2006-506; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Cavitation Flow; Microstructure; Torsion; Titanium; Strain Energy Release Rate*

**20070004971** Pietragallo, Botic and Gordon, Pittsburgh, PA, USA

**Reactive Materials and Thermal Spray Methods of Making Same**

Langan, T.; Buchta, W. M.; Riley, M. A.; 21 Jun 04; 15 pp.; In English

Contract(s)/Grant(s): ASMD-C-DASG60-03-C-0025; AF-F08630-03-C-0022

Patent Info.: Filed 21 Jun 04; US-Patent-Appl-SN-10-873 411

Report No.(s): PB2007-100902; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The present invention relates to reactive materials formed by thermal spray techniques. The thermally sprayed reactive materials have low porosity and high structural integrity. The reactive materials are useful for applications such as shaped charges, thermite welding, near net shaped components and the like.

NTIS

*Patent Applications; Reactivity; Sprayers; Thermal Analysis*

**20070005011** NASA Glenn Research Center, Cleveland, OH, USA

**Development of GRCop-84 for Rocket Engine Applications**

Ellis, David L.; Nathal, Michael V.; [2007]; 10 pp.; In English; The Composites at Lake Louise Conference, 10 Oct. - 5 Nov. 2006, Alberta, Canada; Original contains color illustrations

Contract(s)/Grant(s): WBS 599489.02.07.03; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005011>; Avail.:

CASI: [A02](#), Hardcopy

GRCop-84 (Cu-8 at.% Cr-4 at.% Nb) has been under development at the NASA Glenn Research Center for several years. The alloy possesses a unique combination of good thermal conductivity, high elevated temperature strength, long creep lives and long low cycle fatigue lives. The alloy is also more oxidation resistant than pure copper and most competitive alloys. The combination of properties has attracted attention from major rocket engine manufacturers who are interested in the alloy for the combustion chamber liner in their next generation of regeneratively cooled engines. It is also a strong candidate for various components in hypersonic vehicles, which also experience very high heat flux conditions. This presentation will discuss the alloy design strategies used to develop GRCop-84 and the various processing routes available for manufacturing components. The microstructure and mechanical properties of the alloy will be reviewed, as well as the results of actual hot fire testing of subscale rocket combustion chambers. The use of environmental and thermal barrier coatings to extend the performance to even higher levels will also be discussed.

Author

*Thermal Conductivity; Rocket Engines; Creep Properties; Thrust Chambers; Mechanical Properties; Regenerative Cooling; Alloys; Copper; Thermal Control Coatings*

**20070005112** Lawrence Livermore National Lab., Livermore, CA USA

**In-Situ Observation of Phase Transformations During Welding of 1045 Steel Using Spatially Resolved and Time Resolved X-Ray Diffraction**

Elmer, J.; Palmer, T.; DebRoy, T.; Nov. 02, 2005; 16 pp.; In English

Report No.(s): DE2006-886670; No Copyright; Avail.: National Technical Information Service (NTIS)

Synchrotron-based methods have been developed at Lawrence Livermore National Laboratory (LLNL) for the direct observation of microstructure evolution during welding. These techniques, known as spatially resolved (SRXRD) and time resolved (TRXRD) x-ray diffraction, allow insitu experiments to be performed during welding and provide direct observations of high temperature phases that form under the intense thermal cycles that occur. This paper presents observations of microstructural evolution that occur during the welding of a medium carbon AISI 1045 steel, using SRXRD to map the phases that are present during welding, and TRXRD to dynamically observe transformations during rapid heating and cooling. SRXRD was further used to determine the influence of welding heat input on the size of the high temperature austenite region,

and the time required to completely homogenize this region during welding. These data can be used to determine the kinetics of phase transformations under the steep thermal gradients of welds, as well as benchmark and verify phase transformation models.

NTIS

*Phase Transformations; Steels; Welding; X Ray Diffraction*

**20070005133** NASA Glenn Research Center, Cleveland, OH, USA

**High Temperature Stability of Dissimilar Metal Joints in Fission Surface Power Systems**

Locci, Ivan E.; Nesbitt, James A.; Ritzert, Frank J.; Bowman, Cheryl L.; [2007]; 8 pp.; In English; STAIF-2007, 11-15 Feb. 2007, Albuquerque, NM, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 997180.10.03.01; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005133>; Avail.:

CASI: [A02](#), Hardcopy

Future generations of power systems for spacecraft and lunar surface systems will likely require a strong dependence on nuclear power. The design of a space nuclear power plant involves integrating together major subsystems with varying material requirements. Refractory alloys are repeatedly considered for major structural components in space power reactor designs because refractory alloys retain their strength at higher temperatures than other classes of metals. The relatively higher mass and lower ductility of the refractory alloys make them less attractive for lower temperature subsystems in the power plant such as the power conversion system. The power conversion system would consist more likely of intermediate temperature Ni-based superalloys. One of many unanswered questions about the use of refractory alloys in a space power plant is how to transition from the use of the structural refractory alloy to more traditional structural alloys. Because deleterious phases can form when complex alloys are joined and operated at elevated temperatures, dissimilar material diffusion analyses of refractory alloys and superalloys are needed to inform designers about options of joint temperature and operational lifetime. Combinations of four superalloys and six refractory alloys were bonded and annealed at 1150 K and 1300 K to examine diffusional interactions in this study. Joints formed through hot pressing and hot isostatic pressing were compared. Results on newer alloys compared favorably to historical data. Diffusional stability is promising for some combinations of Mo-Re alloys and superalloys at 1150 K, but it appears that lower joint temperatures would be required for other refractory alloy couples.

Author

*Refractory Metal Alloys; Thermal Stability; Annealing; Heat Resistant Alloys; Hot Isostatic Pressing; Nuclear Power Plants; Space Power Reactors; Diffusion; Fission; Metal Joints*

**20070005275** Army Research Development and Engineering Command, Warren, MI USA

**Corrosion Preventing Characteristics of Military Hydraulic Fluids. Part 2**

Jackman, Rachel; Tebbe, Jill M; Villahermosa, Luis A; Apr 16, 2007; 9 pp.; In English

Report No.(s): AD-A459883; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459883>; Avail.: CASI: [A02](#),

Hardcopy

Hydraulic systems are widely used in a variety of military applications including ground vehicles, aircraft, and weapon systems. The impact of corrosion on hydraulic systems and its components is well understood; however, the protection provided by different hydraulic fluids is not equal. Review of military vehicle hydraulic systems has identified that the most common occurrences of critical corrosion are found in hoses, hose end fittings, actuator arms, pistons, cylinders, and rams. To prevent corrosion in hydraulic systems, the U.S. Army has specified the use of hydraulic fluids with corrosion preventing and rust inhibiting characteristics for ground vehicles.

DTIC

*Corrosion; Corrosion Prevention; Hydraulic Equipment; Hydraulic Fluids*

**20070005304** Texas Univ. Health Science Center, San Antonio, TX USA

**17Beta-Estradiol Modulates the Response of Human Osteoblasts to Titanium Surface Roughness**

Tandy, Elizabeth M; Mar 2002; 31 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459934; CI04-1822; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459934>; Avail.: Defense Technical Information Center (DTIC)

Estrogen (17beta-estradiol) is involved in the regulation of bone formation, and estrogen deficiency results in decreased bone density and bone mass, as well as a decrease in pull-out strengths of implants. In previous studies, implant surface roughness was shown to affect the morphology, proliferation and differentiation of MG63 osteoblast-like cells, by inducing a more mature phenotype and by increasing production of local factors. The purpose of the current study was to investigate



whether primary cultures of normal human female osteoblast (NHOst) cells respond in a similar fashion to these same surfaces and how these surfaces modulate cell response to 17beta-estradiol. Much of the Branemark literature supports the use of machined titanium surfaces for cases of suitable bone quality. Patients who present with less than ideal bone quality (i.e. osteoporotic bone) present a unique challenge to clinicians to enhance osseointegration that will allow masticatory function for many years to come. The possible effects of estrogen or estrogen deficiency on osseointegration are unclear. The in vitro model described here was designed to elucidate what effect, if any, did estrogen have on osteoblast response to titanium surface roughness, and conversely, what effect surface microtopography had on osteoblasts response to estrogen.

DTIC

*Estrogens; Metal Surfaces; Osteoblasts; Surface Roughness; Titanium*

## 27

### NONMETALLIC MATERIALS

Includes physical, chemical, and mechanical properties of plastics, elastomers, lubricants, polymers, textiles, adhesives, and ceramic materials. For composite materials see *24 Composite Materials*.

**20070004579** California Univ., Santa Barbara, CA USA

#### **Study of Self-Assembly Monolayers for Colloidal Processing of Ceramics and Textured, Super-Hydrophobic**

Aug 18, 2006; 9 pp.; In English

Contract(s)/Grant(s): DAAD19-02-1-0380

Report No.(s): AD-A459620; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Ceramics; Colloids; Hydrophobicity; Self Assembly*

**20070004686** Sandia National Labs., Albuquerque, NM USA

#### **Ultra High Temperature Ceramics for Hypersonic Vehicle Applications**

Loehman, R.; Corral, E.; Dumm, H. P.; Kotula, P.; Tandon, R.; Jun. 2006; 50 pp.; In English

Report No.(s): DE2006-887260; SAND2006-2925; No Copyright; Avail.: National Technical Information Service (NTIS)

HfB<sub>2</sub> and ZrB<sub>2</sub> are of interest for thermal protection materials because of favorable thermal stability, mechanical properties, and oxidation resistance. We have made dense diboride ceramics with 2 to 20 % SiC by hot pressing at 2000DGC and 5000 psi. High-resolution transmission electron microscopy (TEM) shows very thin grain boundary phases that suggest liquid phase sintering. Fracture toughness measurements give RT values of 4 to 6 MPam<sup>1/2</sup>. Four-pt flexure strengths measured in air up to 1450DGC were as high as 450 500 MPa. Thermal diffusivities were measured to 2000DGC for ZrB<sub>2</sub> and HfB<sub>2</sub> ceramics with SiC contents from 2 to 20%. Thermal conductivities were calculated from thermal diffusivities and measured heat capacities. Thermal diffusivities were modeled using different two-phase composite models. These materials exhibit excellent high temperature properties and are attractive for further development for thermal protection systems.

NTIS

*Ceramics; Fracturing; High Temperature; Hypersonic Vehicles*

**20070004738** Argonne National Lab., IL, USA

#### **Plutonium-238 Alpha-Decay Damage Study of the Ceramic Waste Form**

Frank, S. M.; Barber, T. L.; Esh, D. G.; Giglio, J. J.; Goff, K. M.; January 2006; 82 pp.; In English

Report No.(s): DE2006-885495; ANL-NT-239; No Copyright; Avail.: National Technical Information Service (NTIS)

An accelerated alpha-decay damage study of a glass-bonded sodalite ceramic waste form has recently been completed. The purpose of this study was to investigate the physical and chemical durability of the waste form after significant exposure to alpha decay. This accelerated alpha-decay study was performed by doping the ceramic waste form with (sup 238)Pu which has a much greater specific activity than (sup 239)Pu that is normally present in the waste form. The alpha-decay dose at the end of the four year study was approximately 1 x 10<sup>(sup 18)</sup> alpha-decays/gram of material. An equivalent time period for a similar dose of (sup 239)Pu would require approximately 1100 years. After four years of exposure to (sup 238)Pu alpha decay, the investigation observed little change to the physical or chemical durability of the ceramic waste form (CWF). Specifically, the (sup 238)Pu-loaded CWF maintained its physical integrity, namely that the density remained constant and no cracking or phase de-bonding was observed. The materials chemical durability and phase stability also did not change significantly over the duration of the study. The only significant measured change was an increase of the unit-cell lattice parameters of the plutonium oxide and sodalite phases of the material and an increase in the release of salt components and

plutonium of the waste form during leaching tests, but, as mentioned, these did not lead to any overall loss of waste form durability. The principal findings from this study are: (1) (sup 238)Pu-loaded CWF is similar in microstructure and phase composition to referenced waste form. (2) Pu was observed primarily as oxide comprised of aggregates of nano crystals with aggregates ranging in size from submicron to twenty microns in diameter. (3) Pu phases were primarily found in the intergranular glassy regions. (4) PuO phase shows expected unit cell volume expansion due to alpha decay damage of approximately 0.7%, and the sodalite phase unit cell volume has expanded slightly by 0.3% again, presumably due to alpha-decay damage. (5) No bulk sample swelling was observed. (6) No amorphization of sodalite or actinide bearing phases was observed after four years of alpha-decay damage. (7) No microcracks or phase de-bonding were observed in waste form samples aged for four years. (8) In some areas of the (sup 238)Pu doped ceramic waste form material bubbles and voids were found. Bubbles and voids with similar size and density were also found in ceramic waste form samples without actinide. These bubbles and voids are interpreted as pre-existing defects. However, some contribution to these bubbles and voids from helium gas can not be ruled out. (9) Chemical durability of (sup 238)Pu CWF has not changed significantly after four years of alpha-decay exposure except for an increase in the release of salt components and Pu. Still, the plutonium release from CWF is very low at less than 0.005 g/m(sup 2).

NTIS

*Alpha Decay; Ceramics; Damage; Plutonium 238*

**20070004803** Research Inst. of National Defence, Umea, Sweden

**Round Robin Testing Exercise on Method 8C of the STANAG 4360**

Arnoldsson, K. C.; Claesson, O.; Jansson, A.; Oct. 2005; 24 pp.; In English

Report No.(s): PB2007-103377; FOI-R-1732-SE; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The resistance of paints to chemical agents is tested according to method BC of the SI ANAU 43bU. In OrGer10 validate the revised method a Round Robin testing exercise has been performed on panels provided by NATO. This report contains the Swedish results of absorbed and desorbed amounts of mustard, soman or VX. The Swedish results from paint system NATO in the present study is well in agreement with the results from the other participating nations. All nations measured an absorbed amount of HD above the limit. Two nations (Sweden and the Netherlands) measured a desorbed amount of HD above the limit. All nations measured absorbed and desorbed amounts below the limits for nerve gases. The report discusses method parameters such as number of extractions, extraction efficiency and recovery.

NTIS

*Military Operations; Paints; Physical Exercise; Toxic Hazards*

**20070004973** Iowa State Univ. of Science and Technology, Ames, IA USA

**Ultra-Hard Low Friction Coating Based on ALMGB14 for Reduced Wear on MEMS and Other Tribiological Components and System**

Cook, B. A.; Tian, Y.; Harringa, J. L.; Constant, A. P.; Russell, A. M.; 21 Sep 04; 10 pp.; In English

Contract(s)/Grant(s): DE-W-7405-ENG-82; NSF-DMI-0084969

Patent Info.: Filed Filed 21 Sep 04; US-Patent-Appl-SN-10-946 051

Report No.(s): PB2007-100901; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Performance and reliability of microelectromechanical system (MEMS) components enhanced dramatically through the incorporation of protective thin film coatings. Current-generation MEMS devices prepared by the LIGA technique employ transition metals such as Ni, Cu, Fe, or alloys thereof, and hence lack stability in oxidizing, corrosive, and/or high temperature environments. Fabrication of a superhard, self-lubricating coating based on a ternary boride compound AlMgB.sub.14 is described in this letter as a potential breakthrough in protective coating technology for LIGA microdevices. Nanoindentation tests show that hardness of AlMgB.sub.14 films prepared by pulsed laser deposition ranges from 45 GPa to 51 GPa, when deposited at room temperature and 573 K, respectively. Extremely low friction coefficients of 0.04-0.05, which are thought to result from a self-lubricating effect, have also been confirmed by nanoscratch tests on the AlMgB.sub.14 films. Transmission electron microscopy studies show that the as-deposited films are amorphous, regardless of substrate temperature; however, analysis of FTIR spectra suggests that the higher substrate temperature facilitates formation of the B.sub.12 icosahedral framework, therefore leading to the higher hardness.

NTIS

*Borides; Coating; Friction; Microelectromechanical Systems; Patent Applications; Wear*

**20070004975** Sandia Corp., Albuquerque, NM, USA

**Polymerization Welding and Application to Microfluidics**

Simmons, B. A.; Crocker, R.; Dentinger, P. M.; Hunter, M. C.; Patel, K.; 12 Nov 03; 16 pp.; In English

Contract(s)/Grant(s): DE-AC04-94AL85000

Patent Info.: Filed 12 Nov 03; US-Patent-Appl-SN-10-712 808

Report No.(s): PB2007-100900; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Methods and materials are described for the joining of plastics and other materials wherein polymerizable substances are diffused into the material to form a surface diffusion zone adjacent to the surface of the plastic workpiece to be joined. The surfaces are brought into contact and the polymerization reactions in the surface diffusion zone are initiated, creating thereby a strong bond across the contacting surfaces. High-performance engineered plastics such as polyetherimides, polyphenylenes, and polyether-ether-ketones are among the materials that are advantageously joined by this technique. Polymerizable substances including styrene and divinylbenzene are shown to give good bonds. Such joining methods can bond dissimilar materials difficult or impossible to join by other techniques. The surfaces to be joined are dry prior to initiation of the polymerization reaction, permitting repositioning and realignment of the surfaces as often as desired before joining. The present joining techniques do not clog or interfere with the structure of microfeatures on the surface of the workpieces to be joined, making this joining techniques especially advantageous for the fabrication of microfluidic devices. Such devices fabricated from high-performance engineered plastic joined by the present bonding techniques are shown to be capable of routine operation at high pressures and to withstand high-pressure cycling without damage.

NTIS

*Microfluidic Devices; Patent Applications; Plastics; Polymerization; Welding*

**20070005054** Southwest Research Inst., San Antonio, TX USA, EPRI Ltd., Charlotte, NC, USA

**Combustion Turbine (CT) Hot Section Coating Life Management. (Final Report)**

Gandy, D.; Viswanathan, R.; Cheruvu, S.; Krzywosz, K.; Mar. 31, 2006; 101 pp.; In English

Report No.(s): DE2006-888937; No Copyright; Avail.: National Technical Information Service (NTIS)

The integrity of coatings used in hot section components of combustion turbines is crucial to the reliability of the buckets. This project was initiated in recognition of the need for predicting the life of coatings analytically, and non-destructively; correspondingly, four principal tasks were established. Task 1, with the objective of analytically developing stress, strain and temperature distributions in the bucket and thereby predicting thermal fatigue (TMF) damage for various operating conditions; Task 2 with the objective of developing eddy current techniques to measure both TMF damage and general degradation of coatings and, Task 3 with the objective of developing mechanism based algorithms. Task 4 is aimed at verifying analytical predictions from Task 1 and the NDE predictions from Task 3 against field observations.

NTIS

*Coating; Combustion; Combustion Chambers; Protective Coatings; Turbines*

**20070005150** Sandia National Labs., Albuquerque, NM USA

**Biological Restoration of Major Transportation Facilities Domestic Demonstration and Application Project (DDAP): Technology Develop(ment at Sandia National Laboratories**

Griffith, R. O.; Ramsey, J. L.; Finley, P. D.; Melton, B. J.; Brockmann, J. E.; Jun. 2006; 55 pp.; In English

Report No.(s): DE2006-889000; SAND2006-3560; No Copyright; Avail.: National Technical Information Service (NTIS)

The Bio-Restoration of Major Transportation Facilities Domestic Demonstration and Application Program (DDAP) is a designed to accelerate the restoration of transportation nodes following an attack with a biological warfare agent. This report documents the technology development work done at SNL for this DDAP, which include development of the BROOM tool, an investigation of surface sample collection efficiency, and a flow cytometry study of chlorine dioxide effects on Bacillus anthracis spore viability.

NTIS

*Bacillus; Biological Weapons; Laboratories; Restoration; Transportation*

**20070005155** Sandia National Labs., Albuquerque, NM USA

**Phase Transformation of 'Chem-prep' PZT 95/5-2Nb HF 1035 Ceramic Under Quasi-static Loading Conditions**

Lee, M. Y.; Montgomery, S. T.; Hofer, J. H.; Meier, D. A.; Jul. 2006; 86 pp.; In English

Report No.(s): DE2006-888995; SAND2006-4387; No Copyright; Avail.: National Technical Information Service (NTIS)

Specimens of poled and unpoled chem-prep PNZT ceramic from batch HF1035 were tested under hydrostatic, uniaxial,

and constant stress difference loading conditions at 55, 25, and 75DGC. The objective of this experimental study was to characterize the mechanical properties and conditions for the ferroelectric (FE) to antiferroelectric (AFE) phase transformations of this chem-prep PNZT ceramic to aid grain-scale modeling efforts in developing and testing realistic response models for use in simulation codes. As seen from a previously characterized material (batch HF803), poled ceramic from HF1035 was seen to undergo anisotropic deformation during the transition from a FE to an AFE phase. Also, the phase transformation was found to be permanent for the two low temperature conditions, whereas the transformation can be completely reversed at the highest temperature. The rates of increase in the phase transformation pressures with temperature were practically identical for both unpoled and poled PNZT HF1035 specimens. We observed that temperature spread the phase transformation over mean stress analogous to the observed spread over mean stress due to shear stress. Additionally, for poled ceramic samples, the FE to AFE phase transformation was seen to occur when the normal compressive stress, acting perpendicular to a crystallographic plane about the polar axis, equals the hydrostatic pressure at which the transformation otherwise takes place.

NTIS

*Ceramics; Ferroelectric Materials; Phase Transformations; Static Loads*

**20070005393** Universal Energy Systems, Inc., Dayton, OH USA

**Computational Design of UHTC Materials for Hypersonic Applications (Preprint)**

Parthasarathy, Triplicane A; Kerans, R J; Chellapilla, S; Roy, A; Jan 2006; 28 pp.; In English

Contract(s)/Grant(s): FA8650-04-D-5233; FA33615-01-C-5214; Proj-2302

Report No.(s): AD-A460079; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Ultra-High Temperature Ceramics (UHTC) are attractive candidates for use as leading edge components. This work explores the possibility of using computational methods to design a structure of higher strength and toughness within the constraint of 2D isotropy. The use of low-aspect ratio bone-shaped short fibers (BSSF) to improve fracture toughness and the use of composition tailoring to increase fiber strength were analyzed. Computational models show that significant improvements in fracture toughness can be realized with an aspect ratio of 15 if the fiber strengths can be raised to 1.5 GPa. The use of a single outer layer of lower thermal expansivity composition is predicted to increase strength by a factor of two, while multilayers of reasonable thickness result in strengthening by a factor of 3. It is predicted that these designs will offer significant leverage to increments from processing advances. An optimal design for a 2D fibrous monolith UHTC is suggested.

DTIC

*Ceramics; High Temperature; Hypersonics*

**20070005422** KE Fibertec NA, Abingdon, MD USA

**Textile Based Ventilation**

Feb 26, 2004; 48 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460114; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Why use KE textile systems? \* Uniform and correct air distribution (no dead zones) \* Draft-free air distribution \* No condensation problems, no insulation needed \* Easy and inexpensive installation \* Low weight \* Specially developed alu-rail (Safetrack) \* No growth of micro-organisms \* Silent (soft material, no sharp edges).

DTIC

*Air Flow; Flow Distribution; Textiles; Ventilation*

**20070005437** Air Force Research Lab., Wright-Patterson AFB, OH USA

**Flux Pinning and Properties of Solid-Solution (Y,Nd)<sub>1-x</sub>Ba<sub>2-x</sub>Cu<sub>3O7-δ</sub> Superconductors Processed in Air and Partial Oxygen Atmospheres (Preprint)**

Haugan, T J; Evans, J M; Tolliver, J C; Maartense, I; Barnes, P N; Wong-Ng, W; Cook, L P; Shull, R D; Apr 2004; 8 pp.; In English

Contract(s)/Grant(s): Proj-3145

Report No.(s): AD-A460143; AFRL-PR-WP-TP-2006-204; No Copyright; Avail.: CASI: [A02](#), Hardcopy

The effect of chemical composition substitutions on the flux pinning and physical properties of (Y,Nd)<sub>1-x</sub>(XB(α<sub>2</sub>-x)Cu<sub>3O7-γ</sub>) superconductors was studied in powders processed by solid-state reaction and equilibrated in air at 910 deg C. The powders were subsequently processed in 1% O<sub>2</sub> atmosphere at 920 deg C to increase the superconducting transition temperature (T<sub>c</sub>) and critical current density (J<sub>c</sub>). After processing in air, the powders were nearly pure single-phase compositions as determined by X-ray diffraction. Powders were finally annealed in 100% O<sub>2</sub>

atmosphere at temperatures  $\leq 500$  deg C to maximize  $T_c$ . The  $T_c$  of the powders were measured by ac susceptibility and dc magnetization methods. Annealing powders with a final step in 1% O<sub>2</sub> atmosphere compared to processing in air significantly enhanced  $T_c$  from 65-90 K to  $\approx 92$  K for all compositions tested, and also increased from about 1000 - 100,000 A/sq cm to approximately  $10^6$  A/sq cm. The flux pinning properties varied depending on exact composition, and the intrinsic behaviors changed with the final 1% O<sub>2</sub> annealing treatment.

DTIC

*Flux Pinning; Oxygen; Solid Solutions; Substitutes; Superconductors (Materials); YBCO Superconductors*

**20070005455** National Chiao Tung Univ., Hsinchu, Taiwan, Province of China

**Spatial Position Control of CdS Nanoclusters using a Self-Assembled Diblock Copolymer Template**

Yeh, Siao-Wei; Wu, Tsung-Lun; Wei, Kung-Hwa; Sep 21, 2004; 25 pp.; In English

Contract(s)/Grant(s): F62562-03-P-0398

Report No.(s): AD-A460173; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Core-shell structured quantum dots (QDs) such as CdSe/ZnS and Au/CdSe/ZnS nanoparticles are synthesized, and enhanced photoluminescent properties are observed in these nanoparticles as compared to that of bare core nanoparticles. For controlling the spatial position of nanoparticles with block copolymers, it was found that the mercapto-ethanol modified CdS nanoparticles have a preferential binding to the poly(ethylene oxide) block of polystyrene-b-poly(ethylene oxide) diblock copolymer, with a saturated concentration of 7% in volume fraction, and the composites have a better thermal stability. When CdS clusters have been sequestered into the PEO domain, the morphology of CdS/PS-b-PEO composite thin film was found to be transformed from cylinders to spheres by the presence of hydrogen bonds between surface-hydroxylated CdS and PEO. In another case Pyridine-modified CdSe nanoparticles were found to selectively disperse in the poly(4-vinylpyridine) block of polystyrene-b-poly(4-vinylpyridine) diblock copolymer, with their luminescence retaining as in the pure state. Moreover, the photoluminescence and electroluminescence of the dendritic copolyfluorene are dramatically enhanced when a few percentage of CdS nanoparticles was incorporated.

DTIC

*Block Copolymers; Cadmium Sulfides; Copolymers; Templates*

**20070005498** Army Research Lab., Aberdeen Proving Ground, MD USA

**The Effect of Hot Isostatic Pressing on the Optical Properties Of Spinel**

Gilde, Gary; Patel, Parimal; Patterson, Philip; Blodgett, David; Duncan, Donald; Hahn, Daniel; May 20, 2004; 18 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460327; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The effect of different hot isostatic pressing (HIP) temperatures and pressures on the optical transmission of hot pressed spinel was studied. The transmittance and extinction coefficient were measured. The transmittance data was used to determine the relative size of the scattering sites. HIP temperatures as low as 1500 degree C were seen to be effective in increasing the transmittance. The transmittance increased with increasing HIP temperature and pressure. The size of the scattering sites were large relative to the wavelengths of light measured. Interestingly the size of the scattering site was found to increase with increasing HIP temperature and pressure.

DTIC

*Hot Pressing; Optical Properties; Spinel*

## 28

### PROPELLANTS AND FUELS

Includes rocket propellants, igniters, and oxidizers; their storage and handling procedures; and aircraft fuels. For nuclear fuels see *73 Nuclear Physics*. For related information see also *07 Aircraft Propulsion and Power*; *20 Spacecraft Propulsion and Power*, and *44 Energy Production and Conversion*.

**20070003554** NASA Stennis Space Center, Stennis Space Center, MS, USA

**Modeling and Analysis of Facility Systems for A Hybrid Materials Test Program**

Congiardo, Jared F.; [2007]; 1 pp.; In English

Report No.(s): SSTI-8080-0014; No Copyright; Avail.: Other Sources; Abstract Only

Analytic modeling and analysis processes employed at NASA-SSC in rocket propulsion systems testing are discussed in this paper with application to test facility propellant supply system design, activation and test of a hybrid rocket motor

provided. This paper discusses the analytic model employed, its utilization across project phases and reviews performance results.

Author

*Mathematical Models; Systems Engineering; Test Facilities; Hybrid Propellant Rocket Engines*

**20070003602** Army Tank-Automotive Research and Development Command, Warren, MI USA  
**Elastomer Impact When Switch-Loading Synthetic Fuel Blends and Petroleum Fuels**

Jul 2006; 38 pp.; In English

Report No.(s): AD-A459513; TARDEC-16028; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Crude Oil; Elastomers; Fuels; Mixtures; Switches; Synthetic Fuels*

**20070004822** Army Tank-Automotive Research and Development Command, Warren, MI USA  
**Near-Infrared Fuel Analysis**

May 3, 2006; 22 pp.; In English

Report No.(s): AD-A459511; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Fuels; Near Infrared Radiation; Spectroscopy; Analyzing*

**20070004858** Jensen (Rolf) and Associates, Inc., Springfield, VA, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Documentation of the Fuel System for Emergency Power in World Trade Center 7. NIST NCSTAR 1-1J**

Grill, R. A.; Johnson, D. A.; Sep. 2005; 84 pp.; In English

Report No.(s): PB2007-104578; No Copyright; Avail.: CASI: [A05](#), Hardcopy

This report was prepared to support the analysis of building and fire codes and standards of the National Institute of Standards and Technology World Trade Center (WTC) Investigation. As part of the investigation of WTC 7, the fuel oil distribution system is being analyzed as a possible cause of fire initiation. The purpose of this report is to document the fuel oil distribution systems (including all fuel oil tanks, pumps, generators, routing of the piping, and system functions) and the associated fire protection features of the fuel oil system that existed in WTC 7 at the time of the collapse.

NTIS

*Buildings; Damage; Emergencies; Fire Prevention; Fuel Systems*

**20070004887** ManTech Environmental Technology, Inc., Dayton, OH USA

**Pharmacokinetic Modeling of JP-8 Jet Fuel Components: II. A Conceptual Framework**

Dec 2003; 41 pp.; In English

Contract(s)/Grant(s): Proj-1710

Report No.(s): AD-A459472; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*JP-8 Jet Fuel; Pharmacology*

**20070005377** Army Tank-Automotive and Armaments Command, Warren, MI USA

**Biodegradability of JP-8 Fuel in the Aquatic Environment**

Rhee, In-Sik; Sep 30, 2003; 13 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460052; TACOM-13955; No Copyright; Avail.: CASI: [A03](#), Hardcopy

JP-8 is a versatile kerosene-based aviation turbine fuel and is interchanged under NATO Code Number F-34. The U.S. military and NATO Nations have used JP-8 fuel for several decades as the single fuel on the battlefield. Its composition is essentially identical to JET A-i, used by commercial airlines for worldwide operations, except for the presence of an additive package consisting of a very small amount of a fuel system icing inhibitor, corrosion inhibitor/lubricity improver, and static dissipator additive (SDA). Under the single fuel concept for the military air and land battlefield operations, the U.S. Army also uses JP-8 for all diesel and gas turbine powered ground vehicles and equipment. JP-8 is currently procured under MIL-DTL-831331 in U.S.A and the other nations procure it under NATO STANAG 3747 Guide Specifications2.

DTIC

*Biodegradability; Fuels; JP-8 Jet Fuel*

**20070005486** Naval Postgraduate School, Monterey, CA USA

**A Comparison of the Navy and the Air Force Budgeting and Execution Process for Aviation Fuel (AVFUEL)**

Herring, Larry J; Mack, Daniel R; Shimp, Samuel P; Dec 2006; 101 pp.; In English; Original contains color illustrations  
Report No.(s): AD-A460311; No Copyright; Avail.: CASI: [A06](#), Hardcopy

This research project provides background and explores issues related to management of Aviation Fuel (AVFUEL), Cost Per Flying Hour (CPFH), and the overarching Flying Hour Programs (FHP) for the Navy and the Air Force. Due to the variables used in the CPFH formulation and the complexity of flying hour budget formulation and execution, each armed service uses somewhat differing procedures in managing the FHP. This research focuses primarily on aircraft flying hours, and specifically the management of Aviation Fuel (AVFUEL). This research provides an overview to explain how the CPFH is used as well as analysis of the tasks of monitoring and managing the FHP based on the continuous flow of execution information from operating units. Also provided is a detailed evaluation of the CPFH concept in practice and a description of the structure used for each service, comparing the two. The research project focuses on the importance of management and decisions made at the Air Type Commander (TYCOM) and Major Command (MAJCOM) levels. The project reviews the Air Force process of FHP management centralization, in part to see whether there are lessons from the Air Force approach that may be applicable to improving FHP formulation and execution in the Navy.

DTIC

*Aircraft Fuels; Armed Forces (United States); Budgeting; Federal Budgets; Navy*

**31**

**ENGINEERING (GENERAL)**

Includes general research topics related to engineering and applied physics, and particular areas of vacuum technology, industrial engineering, cryogenics, and fire prevention. For specific topics in engineering see *categories 32 through 39*.

**20070005187** Army Tank-Automotive and Armaments Command, Warren, MI USA

**A Ground Vehicle Simulation Design to Study Driver Display Concepts**

Meldrum, AnnMarie; Paul, Victor J; Reid, Alexander; Zywiol, Jr, Harry J; Jun 2, 2003; 9 pp.; In English  
Report No.(s): AD-A459578; 13866; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459578>; Avail.: CASI: [A02](#), Hardcopy

The Tank Automotive Research, Development and Engineering Center's (TARDEC) Motion Base Technologies (MBT) team develops and applies simulation capabilities for the evaluation of emerging vehicle technologies. Currently, the MBT team is configuring its Ride Motion Simulator (RMS) [1] to investigate vehicle-driving performance using two indirect vision display methods. The investigation will focus on a driving simulation comparing three, flat-panel displays with a Head Mounted Display (HMD). This study will involve several subjects who will drive a modeled Stryker vehicle across a rendering of Aberdeen Proving Ground's (APG) Churchville terrain. Subjects will drive across different segments of the course at various speeds with each display type. Measures of driving performance will be taken and compared for each display type.

DTIC

*Display Devices; Simulation*

**20070005238** Aptima, Inc., Woburn, MA USA

**STRATA: Observer-based Measurement Agent Research Support**

Freeman, Jared; Diedrich, Frederick; Ayers, Jeanine; Nov 30, 2006; 49 pp.; In English

Contract(s)/Grant(s): N00014-06-M-0122

Report No.(s): AD-A459826; AP-R-1366; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459826>; Avail.: CASI: [A03](#), Hardcopy

When learning to perform a task it is critical to have opportunities to practice the required behaviors. However, to be maximally effective, it is also the case that such practice needs to be accompanied by meaningful feedback to guide learning. Moreover, when considering how best to measure performance for feedback, it is critical to realize that there are multiple classes of performance measurement that are each essential, and unique, in their own right, including systems-based and observer-based measures. In the work reported here, we address this issue by focusing on a system that enables the collection and the integration of systems-based and observer-based measures. By providing an ability to collect and represent these measures in a common format, we have enabled the use of a wide range of feedback for trainees in simulation-based environments. Not only can complex measures be taken, but observer-based feedback can be integrated quickly and

seamlessly, bypassing the traditional time associated with manual processing of observations.

DTIC

*Education; Measurement; Real Time Operation; Simulation; Strata*

**20070005350** Army Tank-Automotive and Armaments Command, Warren, MI USA

**Algorithm for the Iterative Design of Observer Field Tests**

Bennett, John G; Aug 2, 2002; 8 pp.; In English

Report No.(s): AD-A460026; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460026>; Avail.: Defense Technical Information Center (DTIC)

In a previous paper, the author described a technique for designing an observer test in an iterative manner. In field tests to compare the observability of combat vehicles, the test designer must select the optimum number of observation opportunities to balance collecting enough data to draw valid conclusions against the high cost of supporting vehicles and personnel at a test site. The test designer can select the number of observations, N, so that a given experimental difference in detectability will be statistically significant at a given confidence level. Alternatively, the test designer can select N so that the probability of rejecting a given underlying difference in detectability is less than a given amount. The test designer, however, generally lacks key parameters for the efficient design of the test. Namely, the designer lacks the detection probabilities of the vehicles at each range. The standard deviation of the difference in detection probability depends upon the detection probability itself. Therefore, the test designer must select the number of observations for each range based upon the conservative assumption that the probabilities are near 50%, the probability for the maximum standard deviation. In the previous paper, an iterative technique of test design was described. In this technique, the test designer modifies the test matrix as the test progresses. Early test results yield estimates of the probability of detection for each vehicle at each range. Based on these estimates, the test designer reallocates the number of observations among the ranges, improving the efficiency of the test. In this paper, the author presents an algorithm to implement this iterative technique.

DTIC

*Algorithms; Combat; Design Analysis; Detection; Experiment Design; Field Tests*

**20070005432** Army Tank-Automotive and Armaments Command, Warren, MI USA

**An Introduction to Heavy-Duty Diesel Engine Frictional Losses and Lubricant Properties Affecting Fuel Economy - Part 1**

Comfort, Allen; Dec 20, 2004; 14 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460134; TACOM-13961; No Copyright; Avail.: CASI: [A03](#), Hardcopy

This paper examines sources and contributions of friction in heavy-duty diesel engines. Current and past work done on the characterization of diesel engine friction will be reviewed. It is also a goal to analyze each component system from a basic mechanics viewpoint highlighting some of the key friction producing phenomena. Different regimes of lubricated friction will be illustrated using a generic Stribeck diagram, with a focus on loading and relative velocities. Part II of this study will review lubricant effects on individual engine component friction as well as present data generated using commercial and military qualified lubricants in various bench tests, fired engine screening tests, and a modified version of the SAE J1321 Fuel Consumption Test using Army equipment.

DTIC

*Diesel Engines; Friction Factor; Lubricants*

**20070005466** Army Tank-Automotive and Armaments Command, Warren, MI USA

**Techniques for Iterative Design of Observer Field Tests**

Bennett, John G; Apr 2002; 8 pp.; In English

Report No.(s): AD-A460191; TACOM-16146; No Copyright; Avail.: CASI: [A02](#), Hardcopy

In field tests to compare the observability of combat vehicles, the test designer must select the optimum number of observation opportunities in order to balance collecting enough data to draw valid conclusions against the high cost of supporting vehicles and personnel at a test site. The test designer, however, generally lacks key parameters for the efficient design of the test. Namely, the designer lacks the detection probabilities of the vehicles at each range. The standard deviation of the difference in detection probability depends upon the detection probability itself. Therefore, the test designer must select the number of observations for each range based upon the conservative assumption that the probabilities are near 50%, the



probability for the maximum standard deviation In this paper, an iterative technique of test design is explored in order to improve the efficiency of observability tests.

DTIC

*Combat; Field Tests*

**20070005495** Naval Postgraduate School, Monterey, CA USA

**The Development of a Business Case Analysis for the Acquisition of the Agile Rapid Global Combat Support System Used for the USA Marine Corps' Ground Equipment**

Jupiter, David L; Reuter, Lisa J; Dec 2006; 57 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460324; No Copyright; Avail.: CASI: [A04](#), Hardcopy

Agile Rapid Global Combat Support (ARGCS) system is a new Automatic Test System being developed through the Department of Defense's Advanced Concept Technology Demonstration program. This business case analyzes ARGCS benefits for the Marine Corps as an option to replace their current Automated Test Systems for ground equipment. The business case analyzes the Marine Corps' current systems, specifically the Marine Corps' Third Echelon Test Set, and quantifies the relevant differences between these systems and the Agile Rapid Global Combat Support System.

DTIC

*Combat; Commerce; Ground Support Equipment; Maintenance; Support Systems; Test Equipment; United States*

**20070005517** Turing Associates, Inc., Ann Arbor, MI USA

**Intelligent Mobility Laboratory**

Witus, Gary; Sep 26, 2006; 13 pp.; In English

Contract(s)/Grant(s): DAAE07-01-C-L066

Report No.(s): AD-A460367; TURING-06-14; No Copyright; Avail.: CASI: [A03](#), Hardcopy

This report documents activities to develop and equip a laboratory for robot mobility research and development. The laboratory includes mobile robots, testing systems, instrumentation, analysis tools, as well as test and analysis procedures. Robot mobility tests and analyses were conducted to confirm the facilities and procedures.

DTIC

*Evaluation; Mobility; Robots; System Effectiveness; Systems Engineering; Unmanned Ground Vehicles*

**32**

**COMMUNICATIONS AND RADAR**

Includes radar; radio, wire, and optical communications; land and global communications; communications theory. For related information see also 04 Aircraft Communications and Navigation; and 17 *Space Communications, Spacecraft Communications, Command and Tracking*; for search and rescue, see 03 *Air Transportation and Safety*, and 16 *Space Transportation and Safety*.

**20070003518** Joint C4ISR Battle Center, Suffolk , VA USA

**Risk Driven Outcome-Based Command and Control (C2) Assessment**

McBeth, Michael S; Jan 2000; 24 pp.; In English; Original contains color illustrations

Report No.(s): AD-A458928; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458928>; Avail.: CASI: [A03](#),

Hardcopy

This paper outlines an analysis approach that combines risk assessment, systems dynamics modeling, end-to-end testing, and fine-grained linked simulations to surface deficiencies and identify enhancements for Joint Task Force (JTF) Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (C4ISR) architectures. Conventional wisdom calls for building architecture framework products and executable simulations to understand and assess architecture behavior and performance. Unfortunately, in many cases these framework products do not exist and creating products for an architecture as large as a JTF before deployment is not realistic. The Joint C4ISR Outcome Based Integrated Architecture Assessment (JCOBIAA) team is pursuing an outcome based approach that uses risk assessment and systems dynamics modeling to prioritize issues and reduce the scope of the integrated architecture to be analyzed. The concept of functional threads for task accomplishment is used as a mechanism for analysis. In addition, end-to-end testing is proposed as a technique to address problem areas like interface compatibility and message exchanges since configuring actual hardware and software components in a distributed test environment can be easier and more reliable than developing or employing digital simulations.

DTIC

*Command and Control; Risk*

**20070003546** Naval Research Lab., Washington, DC USA  
**A Wide-Aperture HF Direction-Finder with Sleeve Antennas**  
Aug 20, 1958; 36 pp.; In English  
Report No.(s): AD-A459666; NRL-MR-843; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Apertures; Radio Direction Finders; Antenna Design; Sleeves*

**20070003548** Naval Research Lab., Washington, DC USA  
**Navigation Systems**  
Oct 22, 1957; 40 pp.; In English  
Report No.(s): AD-A459665; NRL-5005; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Navigation; Systems Engineering*

**20070003693** Massachusetts Inst. of Tech., Cambridge, MA USA  
**Synthesis, Analysis, and Processing of Fractal Signals**  
Oct 1991; 239 pp.; In English  
Contract(s)/Grant(s): N00014-89-J-1489; AFOSR-91-0034  
Report No.(s): AD-A459698; RLE-TR-566; No Copyright; Avail.: CASI: [A11](#), Hardcopy  
No abstract available  
*Fractals; Signal Processing*

**20070003708** California Univ., Santa Cruz, CA USA  
**Medium Access Control in Ad Hoc Networks With Omni-Directional and Directional Antennas**  
Jun 2004; 168 pp.; In English  
Contract(s)/Grant(s): DAA19-01-C-0026; F49620-00-1-0330  
Report No.(s): AD-A459453; No Copyright; Avail.: Defense Technical Information Center (DTIC)  
No abstract available  
*Access Control; Omnidirectional Antennas; Directional Antennas*

**20070003810** Mitre Corp., Bedford, MA USA  
**Intrusion Detection for Air Force Networks: Environment Forecast**  
Oct 1997; 23 pp.; In English  
Contract(s)/Grant(s): F19628-C-00001  
Report No.(s): AD-A459591; MTR-97B0000084R1; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Detection; Forecasting; Warning Systems*

**20070003811** Mitre Corp., Bedford, MA USA  
**Intrusion Detection for Air Force Networks: Operational, Performance, and Implementation Goals**  
Oct 1997; 35 pp.; In English  
Contract(s)/Grant(s): F19628-94-C-0001  
Report No.(s): AD-A459590; MTR-97B0000035; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Detection; Warning Systems*

**20070003843** Stanford Research Inst., Menlo Park, CA USA  
**Experiments in Speech Understanding System Control**  
Paxton, William H; Aug 1976; 24 pp.; In English  
Contract(s)/Grant(s): DAAG29-76-C-0011  
Report No.(s): AD-A458903; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458903>; Avail.: CASI: [A03](#),  
Hardcopy

A series of experiments was performed concerning control strategies for a speech understanding System. The main

experiment tested the effects on performance of four major choices: focus attention by inhibition or use an unbiased best-first method, 'island-drive' or process left to right, use context checks in priority setting or do not, and map words all at once or map only as called for. Each combination of choices was tested with 60 simulated utterances of lengths varying from 0.8 to 2.3 seconds. The results include analysis of the effects and interactions of the design choices with respect to aspects of system performance such as overall sentence accuracy, processing time, and storage. Other experiments include tests of acoustic processing performance and a study of the effects of increased vocabulary and improved acoustic accuracy.

DTIC

*Speech Recognition; Words (Language)*

**20070003848** Washington Univ., Seattle, WA USA

**CARAVAN: Providing Location Privacy for VANET**

Sampigethaya, Krishna; Huang, Leping; Li, Mingyan; Poovendran, Radha; Matsuura, Kanta; Sezaki, Kaoru; Jan 2005; 16 pp.; In English

Contract(s)/Grant(s): W911NF-05-1-0491

Report No.(s): AD-A459198; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459198>; Avail.: CASI: A03, Hardcopy

In vehicular ad hoc networks (VANET), it is possible to locate and track a vehicle based on its transmissions, during communication with other vehicles or the road-side infrastructure. This type of tracking leads to threats on the location privacy of the vehicle's user. In this paper, we study the problem of providing location privacy in VANET by allowing vehicles to prevent tracking of their broadcast communications. We first, identify the unique characteristics of VANET that must be considered when designing suitable location privacy solutions. Based on these observations, we propose a location privacy scheme called CARAVAN, and evaluate the privacy enhancement achieved under some existing standard constraints of VANET applications, and in the presence of a global adversary.

DTIC

*Position (Location); Surface Vehicles*

**20070003881** Massachusetts Inst. of Tech., Cambridge, MA USA

**Low Complexity Signal Processing and Optimal Joint Detection for Over-Saturated Multiple Access Communications**

Dec 29, 1995; 20 pp.; In English

Contract(s)/Grant(s): F19628-95-C-0002; F49620-95-1-0083

Report No.(s): AD-A459653; LIDS-P-2315; No Copyright; Avail.: CASI: A03, Hardcopy

No abstract available

*Multiple Access; Signal Processing; Telecommunication*

**20070004548** Naval Research Lab., Washington, DC USA

**System Considerations of a Wide-Open, Crystal-Video, Two-Channel Direction Finder**

Jun 8, 1956; 28 pp.; In English

Report No.(s): AD-A459636; NRL-MR-605; No Copyright; Avail.: CASI: A03, Hardcopy

No abstract available

*Crystals; Radio Direction Finders; Navigation Aids; Position Indicators*

**20070004802** Swedish Defence Research Establishment, Linköping, Sweden

**Positionering av Radiosaendare i Svar Radionmiljoe (Radio DF in Difficult Environment)**

Amsby, J.; Nystroem, K.; Rasmussen, P.; Vaelberg, S.; Sep. 2005; 36 pp.; In Swedish

Report No.(s): PB2007-103378; FOI-R-1752-SE; No Copyright; Avail.: CASI: A03, Hardcopy

This report discusses the possibilities of locating radio transmitters in hard radio environments, and suggest the use of a number of sensors that monitors the overall signal strength. This is compared to a calibrated database and from this the system gets the position. A test system for the method is also suggested.

NTIS

*Deuterium Fluorides; Radio Transmitters*

**20070004825** Michigan Univ., Ann Arbor, MI USA  
**Antigone: Implementing Policy in Secure Group Communication**  
Jan 2000; 34 pp.; In English  
Contract(s)/Grant(s): ATM-9873025; F30602-00-2-0508  
Report No.(s): AD-A459517; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Policies; Communication; Semantics; Groups*

**20070004827** Michigan Univ., Ann Arbor, MI USA  
**Ismene: Provisioning and Policy Reconciliation in Secure Group Communication**  
Jan 2000; 22 pp.; In English  
Contract(s)/Grant(s): ATM-9873025; F30602-00-2-0508  
Report No.(s): AD-A459520; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Policies; Provisioning; Communication; Groups*

**20070004832** Massachusetts Inst. of Tech., Cambridge, MA USA  
**Signal Processing and Communication with Solitons**  
Jun 1996; 143 pp.; In English  
Contract(s)/Grant(s): N00014-89-J-1489; N00014-93-1-0686  
Report No.(s): AD-A459529; RLE-TR-599; No Copyright; Avail.: CASI: [A07](#), Hardcopy  
No abstract available  
*Signal Processing; Solitary Waves; Communication*

**20070004862** Massachusetts Inst. of Tech., Cambridge, MA USA  
**Spread Spectrum Modulation and Signal Masking Using Synchronized Chaotic Systems**  
Feb 1992; 43 pp.; In English  
Contract(s)/Grant(s): AFOSR-91-0034-A; N00014-91-C-0125  
Report No.(s): AD-A459567; RLE TR-570; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Modulation; Spread Spectrum Transmission; Chaos; Synchronism*

**20070004866** Massachusetts Inst. of Tech., Cambridge, MA USA  
**Dynamic Articulatory Model of Speech Production Using Computer Simulation**  
Sep 1966; 129 pp.; In English  
Contract(s)/Grant(s): AF19(628)-5661; NONR-4102(01)  
Report No.(s): AD-A459565; No Copyright; Avail.: CASI: [A07](#), Hardcopy  
No abstract available  
*Computerized Simulation; Dynamic Models; Articulation (Speech)*

**20070004872** Space and Naval Warfare Systems Center, San Diego, CA USA  
**Motivations to Resolve Communication Dilemmas in Database-Mediated Collaboration**  
Apr 2002; 31 pp.; In English  
Contract(s)/Grant(s): SBR9602055; SBR9422537  
Report No.(s): AD-A459562; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Data Bases; Motivation; Communication*

**20070004929** Massachusetts Inst. of Tech., Cambridge, MA USA  
**Distributed Decision and Communication Problems in Tactical USAF Command and Control**  
Jul 30, 1983; 10 pp.; In English  
Contract(s)/Grant(s): AFOSR-80-0229  
Report No.(s): AD-A459569; LIDS-IR-1310; No Copyright; Avail.: CASI: [A02](#), Hardcopy  
No abstract available  
*Command and Control; Communication; Decision Making; Defense Program; Problem Solving*

**20070004947** Honeywell International, Inc., Morristown, NJ, USA

**Bias-Adjusted Giant Magnetoresistive (GMR) Devices for Magnetic Random Access Memory (MRAM) Applications**

Katti, R. R.; 6 Nov 03; 14 pp.; In English

Contract(s)/Grant(s): DTRA-01-00-C-0002

Patent Info.: Filed Filed 6 Nov 03; US-Patent-Appl-SN-10-702 974

Report No.(s): PB2007-100888; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A bias-adjusted giant magnetoresistive (GMR) device includes a ferromagnetic reference layer, which has a magnetization that remains relatively fixed when a range of magnetic fields is applied, and a ferromagnetic switching layer, which has a magnetization that can be changed by applying a relatively small magnetic field. In MRAM applications, the switching layer stores data in the form of the particular orientation of its magnetization relative to the magnetization of the reference layer. At least one of the reference and switching layers is split into at least two ferromagnetic layers separated by one or more layers of a nonmagnetic conductor, such that the hysteresis curve of resistance versus applied magnetic field is substantially symmetric about zero applied magnetic field.

NTIS

*Bias; Magnetic Storage; Magnetoresistivity; Patent Applications; Random Access Memory*

**20070004961** Sandia National Labs., Albuquerque, NM USA, Ohio State Univ., Columbus, OH, USA

**Hardware-in-the-Loop Testing of Wireless Systems in Realistic Environments**

Burkholder, R. J.; Gupta, I. J.; Schniter, P.; Mariano, R.; Jun. 2006; 16 pp.; In English

Report No.(s): DE2006-889418; SAND2006-3518; No Copyright; Avail.: Department of Energy Information Bridge

This document describes an approach for testing of wireless systems in realistic environments that include intentional as well as unintentional radio frequency interference. In the approach, signal generators along with radio channel simulators are used to carry out hardware-in-the-loop testing. The channel parameters are obtained independently via channel sounding measurements and/or EM simulations.

NTIS

*Telecommunication; Hardware-in-the-Loop Simulation; Wireless Communication*

**20070005002** Honeywell International, Inc., Morristown, NJ, USA

**Communications System Based on Real-Time Neurophysiological Characterization**

Dorneich, M. C.; Whitlow, S. D.; Verver, P. M.; Carciofini, J. C.; Creaser, J.; 9 Jun 05; 13 pp.; In English

Contract(s)/Grant(s): DARPA-DAAD16-03-C-0054

Patent Info.: Filed Filed 9 Jun 05; US-Patent-Appl-SN-11-148-537

Report No.(s): PB2007-103902; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A communications system is provided that includes a communications scheduler adapted to receive messages from a plurality of message sources and sensors. The messages comprise human and generated messages. The sensors comprise situational, neurophysiological and physiological sensors. The cognitive state profile processing unit receives sensor data and produces a current cognitive state profile for the user. The communications scheduler includes a context manager that receives outputs from the plurality of sensors, monitors a current user's tasks, and retains information about the user's environment, a message characterization unit that characterizes the messages using the attributes of the message, outputs from the sensors, and the user's specific baseline profile data and a presentation unit that receives the characterized messages, the cognitive state profile, and context information and queues the characterized messages into a prioritized message list and presents the message list to the user via the display unit.

NTIS

*Characterization; Neurophysiology; Patent Applications; Real Time Operation; Scheduling; Telecommunication*

**20070005004** Balck Lowe and Graham, PLLC, Seattle, WA, USA

**Metamaterial Scanning Lens Antenna Systems and Methods**

Davis, M. R.; Gregor, R. B.; Li, K.; Nelson, J. A.; Parazzoli, C. G.; 5 Aug 04; 9 pp.; In English

Contract(s)/Grant(s): MDA 972-01-2-0016

Patent Info.: Filed Filed 5 Aug 04; US-Patent-Appl-SN-10-913-109

Report No.(s): PB2007-103893; No Copyright; Avail.: CASI: [A02](#), Hardcopy

The present invention is directed to systems and methods for radiating radar signals, communication signals, or other similar signals. In one embodiment, a system includes a controller that generates a control signal and an antenna coupled to

the controller. The antenna includes a first component that generates at least one wave based on the generated control signal and a metamaterial lens positioned at some predefined focal length from the first component. The metamaterial lens directs the generated at least one wave.

NTIS

*Antenna Design; Controllers; Lens Antennas; Lenses; Scanners*

**20070005148** Ohio State Univ., Columbus, OH, USA, Sandia National Labs., Albuquerque, NM USA

**EM Threat Analysis for Wireless Systems**

Burkholder, R. J.; Gupta, I. J.; Schniter, P.; Mariano, R. J.; Jun. 2006; 64 pp.; In English

Report No.(s): DE2006-889002; SAND2006-3517; No Copyright; Avail.: National Technical Information Service (NTIS)

Modern digital radio systems are complex and must be carefully designed, especially when expected to operate in harsh propagation environments. The ability to accurately predict the effects of propagation on wireless radio performance could lead to more efficient radio designs as well as the ability to perform vulnerability analyses before and after system deployment. In this report, the authors - experts in electromagnetic (EM) modeling and wireless communication theory - describe the construction of a simulation environment that is capable of quantifying the effects of wireless propagation on the performance of digital communication.

NTIS

*Electromagnetic Interference; Radio Communication; Telecommunication; Vulnerability*

**20070005192** BBN Systems and Technologies Corp., Cambridge, MA USA

**Adaptation to New Microphones Using Tied-Mixture Normalization**

Anastasakos, Anastasios; Kubala, Francis; Makhoul, John; Schwartz, Richard; Jan 1994; 6 pp.; In English

Contract(s)/Grant(s): N00014-91-C-0115; N00014-92-C-0035

Report No.(s): AD-A459585; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459585>; Avail.: CASI: A02, Hardcopy

In this paper, we present several approaches designed to increase the robustness of BYBLOS, the BBN continuous speech recognition system. We address the problem of increased degradation in performance when there is mismatch in the characteristics of the training and the test microphones. We introduce a new supervised adaptation algorithm that computes a transformation from the training microphone codebook to that of a new microphone, given some information about the new microphone. Results are reported for the development and evaluation test sets of the 1993 ARPA CSR Spoke 6 WSJ task, which consist of speech recorded with two alternate microphones, a stand-mount and a telephone microphone.

DTIC

*Adaptation; Microphones; Speech Recognition*

**20070005263** North Carolina State Univ., Raleigh, NC USA

**Performance Analysis of Adaptive Transmission Aided by Long Range Channel Prediction for Realistic Single- and Multi-Carrier Mobile Radio Channels**

Yang, Tung-Sheng; Jan 2004; 134 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459867; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459867>; Avail.: CASI: A07, Hardcopy

No abstract available

*Adaptation; Frequency Division Multiplexing; Radio Frequencies; Reliability Analysis; Telecommunication; Wireless Communication*

**20070005293** Massachusetts Inst. of Tech., Cambridge, MA USA

**Perceptual Evaluation of Video-Realistic Speech**

Geiger, Gadi; Ezzat, Tony; Poggio, Tomaso; Feb 2003; 19 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-00-1-0907; N00014-02-1-0915

Report No.(s): AD-A459909; AI-M-2003-003; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459909>; Avail.: CASI: A03, Hardcopy

With many visual speech animation techniques now available, there is a clear need for systematic perceptual evaluation schemes. We describe here our scheme and its application to a new video-realistic (potentially indistinguishable from real recorded video) visual-speech animation system, called Mary 101. Two types of experiments were performed: a)

distinguishing visually between real and synthetic image-sequences of the same utterances, (Turing tests) and b) gauging visual speech recognition by comparing lip-reading performance of the real and synthetic image-sequences of the same utterances (Intelligibility tests). Subjects that were presented randomly with either real or synthetic image-sequences could not tell the synthetic from the real sequences above chance level. The same subjects when asked to lip-read the utterances from the same image-sequences recognized speech from real image-sequences significantly better than from synthetic ones. However, performance for both, real and synthetic, were at levels suggested in the literature on lip-reading. We conclude from the two experiments that the animation of Mary 101 is adequate for providing a percept of a talking head. However, additional effort is required to improve the animation for lip-reading purposes like rehabilitation and language learning. In addition, these two tasks could be considered as explicit and implicit perceptual discrimination tasks. In the explicit task (a), each stimulus is classified directly as a synthetic or real image-sequence by detecting a possible difference between the synthetic and the real image-sequences. The implicit perceptual discrimination task (b) consists of a comparison between visual recognition of speech of real and synthetic image-sequences. Our results suggest that implicit perceptual discrimination is a more sensitive method for discrimination between synthetic and real image-sequences than explicit perceptual discrimination.

DTIC

*Speech Recognition; Visual Perception*

**20070005309** Brown Univ., Providence, RI USA

**Extensions of Proportional-Fair Sharing Algorithms for Multi-Access Control of Mobile Communications: Constraints, Finite Queues and Bursty Data Processes**

Kushner, Harold J; Jan 2004; 7 pp.; In English

Contract(s)/Grant(s): DAAD19-02-1-0425; NSF-ECS-0097447

Report No.(s): AD-A459948; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459948>; Avail.: CASI: A02,

Hardcopy

We are concerned with the scheduling decisions (allocation of transmitter time, bandwidth and power) for multi-access mobile communications for data communications when the channels are randomly time varying. Time is divided into small scheduling intervals, called slots, and information on the channel rates for the various users is available at the start of the slot, when the user selections are made. There is a conflict between selecting the user that can get the most immediate data through and helping users with poor average throughputs. The Proportional Fair Sharing method (PFS) deals with such conflicts. In [4], [6] the convergence and basic qualitative properties were analyzed via stochastic approximation methods. The paths of the (suitably interpolated) throughputs converge to the solution of an ODE, akin to a mean flow. The behavior of the ODE completely describes the behavior of PFS. It has a unique equilibrium point that is asymptotically stable and optimal for PFS in that it is the maximizer of a concave utility function. There is a large family of such algorithms, each member corresponding to a concave utility function. Most past work assumed an infinite backlog of data. In many applications, the data arrival process for some users is bursty and data is queued until transmission, there might be minimal throughput constraints, or a balance between queue length (or delay) and throughput sought. The fact that some queues might be empty at times raises new issues. Natural modifications of PFS for these cases are shown to have the same properties.

DTIC

*Algorithms; Multiple Access; Telecommunication*

**20070005354** Air Force Inst. of Tech., Wright-Patterson AFB, OH USA

**MAFFS and Military Support to Civil Authority: A Case Study in Command and Control**

Lindsey, Brian W; May 2004; 92 pp.; In English

Report No.(s): AD-A460033; AFIT/GMO/ENS/04E-11; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460033>;

Avail.: CASI: A05, Hardcopy

Unity of Effort in Military Support to Civil Authority operations is an area of critical concern especially to the fledgling USA Northern Command. The nature and importance of command relationships between active duty and reserve forces involved in domestic operations has been highlighted in recent planning and operations. Through the reconstruction of the Command and Control structure during the planning and execution phases of the Modular Airborne Fire Fighting System employment during the 2003 Southern California Wild Fire Fighting season, critical areas are identified and possible Command and Control structure alternatives are developed. These areas and structures are filtered through a group of subject matter experts. The results of the interviews provide key insights into the nature of the critical areas and on the relevance of the proposed Command and Control structures for the Modular Airborne Fire Fighting System (MAFFS) mission as well as

other Military Support for Civil Authority (MSCA) missions. While it is determined there is no single Command and Control construct for MSCA

DTIC

*Command and Control; Fire Fighting*

**20070005402** Naval Postgraduate School, Monterey, CA USA

**Cross-Layer Design and Optimization for Wireless Sensor Networks**

Lee, Lim T; Mar 2006; 83 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460089; No Copyright; Avail.: CASI: [A05](#), Hardcopy

Cross-layer design and optimization is a new technique which can be used to design and improve the performance in both wireless and wire line networks. The central idea of cross-layer design is to optimize the control and exchange of information over two or more layers to achieve significant performance improvements by exploiting the interactions between various protocol layers. In this thesis, a cross-layer design and optimization framework was proposed and the concept of using the optimization agent to provide the exchange and control of information between the protocol layers was also introduced. The approach for this thesis is to investigate the effects of the wireless channel and the performance of a small scale wireless sensor network (WSN) to develop insights that can be used in the design and development of the optimization agent in the proposed cross-layer framework. A tap delay line (TDL) model was developed and simulated in MATLAB to investigate the effects of the wireless channel impairments due to mobility and multipath fading. Performance measurements were also conducted to study the effects of interference and transmission range for a group of networked wireless sensors.

DTIC

*Communication Networks; Design Optimization; Wireless Communication*

**20070005425** Defence Science and Technology Organisation, Edinburgh, Australia

**Simulation Activities Using Gateway and Tactical Digital Information Links**

Filippidis, Arthur; Blandford, Steve; Foster, Kate; Moran, Gary; Sep 2006; 33 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460117; DSTO-TR-1918; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Under the auspices of the Air Platform Connectivity Research Task Air 05/086, novel gateway, Tactical Digital Information Link (TADIL) and Network Centric Warfare simulation activities involving Australian Defence Force (ADF) platforms and Mission System Test-beds (MSTs) are currently in progress in the Air Operations Division. Several recent TADIL activities involve investigations using the Rosetta Data Link Gateway product from the ANZUS Alliance and Northrop Grumman's Dual Link Simulator with Extended Capability. An overview of Airborne Mission System's contribution to the Variable Messaging Format demonstration at the 2005 International Data Link Symposium is also presented.

DTIC

*Data Systems; Digital Data; Digital Systems; Information; Simulation*

**20070005453** Mitre Corp., Bedford, MA USA

**Dual Use of Commercial Avionics Data Links for the U.S. Air Force**

Girard, Mary M; Brady, Richard F; Hill, Stephen W; Jan 1999; 6 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460170; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Avionics; Data Links; Digital Systems; Voice Communication*

**20070005472** Carnegie-Mellon Univ., Pittsburgh, PA USA

**Preserving Privacy by De-identifying Facial Images**

Newton, Elaine; Sweeney, Latanya; Malin, Bradley; Mar 2003; 26 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00024-98-D-8124

Report No.(s): AD-A460282; CMU-CS-03-119; No Copyright; Avail.: CASI: [A03](#), Hardcopy

In the context of sharing video surveillance data, a significant threat to privacy is face recognition software, which can automatically identify known people, such as from a database of drivers license photos, and thereby track people regardless of suspicion. This paper introduces an algorithm to protect the privacy of individuals in video surveillance data by de-identifying faces such that many facial characteristics remain but the face cannot be reliably recognized. A trivial solution to de-identifying faces involves blacking out each face. This thwarts any possible face recognition, but because all facial



details are obscured, the result is of limited use. Many ad hoc attempts, such as covering eyes or randomly perturbing image pixels, fail to thwart face recognition because of the robustness of face recognition methods. This paper presents a new privacy-enabling algorithm, named k-Same, that scientifically limits the ability of face recognition software to reliably recognize faces while maintaining facial details in the images. The algorithm determines similarity between faces based on a distance metric and creates new faces by averaging image components, which may be the original image pixels (k-Same-Pixel) or eigenvectors (k-Same-Eigen). Results are presented on a standard collection of real face images with varying k.

DTIC

*Identifying; Images; Privacy; Surveillance; Video Signals; Visual Perception*

**20070005505** BBN Systems and Technologies Corp., Cambridge, MA USA

**Improving State-of-the-Art Continuous Speech Recognition System Using the N-Best Paradigm with Neural Networks**

Austin, S; Zavaliagkost, G; Makhoul, J; Schwartz, R; Jan 1992; 6 pp.; In English

Report No.(s): AD-A460339; No Copyright; Avail.: CASI: [A02](#), Hardcopy

In an effort to advance the state of the art in continuous speech recognition employing hidden Markov models (HMM), Segmental Neural Nets (SNN) were introduced recently to ameliorate the well-known limitations of HMMs, namely, the conditional-independence limitation and the relative difficulty with which HMMs can handle segmental features. We describe a hybrid SNN/I-IMM system that combines the speed and performance of our HMM system with the segmental modeling capabilities of SNNs. The integration of the two acoustic modeling techniques is achieved successfully via the N-best rescoring paradigm. The N-best lists are used not only for recognition, but also during training. This discriminative training using N-best is demonstrated to improve performance. When tested on the DARPA Resource Management speaker-independent corpus, the hybrid SNN/HMM system decreases the error by about 20% compared to the state-of-the-art HMM system.

DTIC

*Neural Nets; Speech Recognition*

**20070005506** BBN Systems and Technologies Corp., Cambridge, MA USA

**Continuous Speech Recognition Using Segmental Neural Nets**

Austin, S; Makhoul, J; Schwartz, R; Zavaliagkos, G; Jan 1991; 5 pp.; In English

Report No.(s): AD-A460342; No Copyright; Avail.: CASI: [A01](#), Hardcopy

We present the concept of a 'Segmental Neural Net' (SNN) for phonetic modeling in continuous speech recognition. The SNN takes as input all the frames of a phonetic segment and gives as output an estimate of the probability of each of the phonemes, given the input segment. By taking into account all the frames of a phonetic segment simultaneously, the SNN overcomes the well-known conditional-independence limitation of hidden Markov models (HMM). However, the problem of automatic segmentation with neural nets is a formidable computing task compared to HMMs. Therefore, to take advantage of the training and decoding speed of HMMs, we have developed a novel hybrid SNN/HMM system that combines the advantages of both types of approaches. In this hybrid system, use is made of the N-best paradigm to generate likely phonetic segmentations, which are then scored by the SNN. The HMM and SNN scores are then combined to optimize performance. In this manner, the recognition accuracy is guaranteed to be no worse than the HMM system alone.

DTIC

*Neural Nets; Speech Recognition*

**33**

**ELECTRONICS AND ELECTRICAL ENGINEERING**

Includes development, performance, and maintainability of electrical/electronic devices and components; related test equipment; and microelectronics and integrated circuitry. for related information see also *60 Computer Operations and Hardware*; and *76 Solid-State Physics*. For communications equipment and devices see *32 Communications and Radar*.

**20070003499** Optical Sciences Corp., Huntsville, AL USA

**Dynamic IR Scene Projector Based Upon the Digital Micromirror Device**

Beasley, D B; Bender, Matt; Crosby, Jay; Messer, Tim; Saylor, Daniel A; Jan 2001; 8 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAAH01-00-C-R093

Report No.(s): AD-A459086; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459086>; Avail.: CASI: [A02](#), Hardcopy

Optical Sciences Corporation has developed a new dynamic infrared scene projector technology called the Micromirror Array Projector System (MAPS). The MAPS is based upon the Texas Instruments Digital Micromirror Device (DMD) which has been modified to project images which are suitable for testing sensor and seekers operating in the UV, visible, and IR wavebands. The projector may be used in several configurations which are optimized for specific applications. This paper provides an overview of the design and performance of the MAPS projection system, as well as example imagery from prototype projector systems.

DTIC

*Images; Microelectromechanical Systems; Projectors*

**20070003533** Ljubljana Univ., Ljubljana, Slovenia

**Electrotechnical Review, Volume 73, No.4**

Zajc, Baldomir, Editor; Trost, Andrej, Editor; 2006; ISSN 0013-5852; 84 pp.; In English; See also 20070003534 - 20070003544; Original contains black and white illustrations; Copyright; Avail.: Other Sources

The topics discussed include: 1) Possibilities and Limitations of Electric Power Transmission Over Long Distances Part 2 - Long distance AC transmission; 2) Development of the system for determination of the Size-of-Source Effect; 3) Self-calibration on a three-sampler network analyzer with nonstandard connectors; 4) Modulation in Stator Flux Control of Induction Motor for Reduced Torque Ripple; 5) Development of multi service provisioning platform in synchronous digital hierarchy systems; 6) Some issues at sampled-data identification and conversion of the identified models into the continuous-time domain; 7) Power System Dynamic Security Assessment using digital simulation; 8) E-LOTOS-Based Compositional Service-Based Synthesis of Multi-Party Time-Sharing-Based Protocols; 9) Mesh Partitioning with Ant Colonies; 10) Is validity of the Convolution theorem questionable? and 11) Importance of cooling system optimization with regard to lifetime and reliability of power transformers

CASI

*Electrical Engineering; Electric Power Transmission; Protocol (Computers)*

**20070003535** Ljubljana Univ., Ljubljana, Slovenia

**Some Issues at Sampled-Data Identification and Conversion of the Identified Models into the Continuous-Time Domain**

Blazic, Saso; Electrotechnical Review, Volume 73, No.4; 2006, pp. 195-200; In Slovene; See also 20070003533; Copyright; Avail.: Other Sources

The paper deals with identification of continuous plants where the continuous model is not identified directly. Rather, it is obtained by converting the identified discrete-time model into the continuous-time domain. Two problems are exposed. Firstly, if the discrete-time model possesses poles on negative real axis, the conversion into the continuous-time domain is not possible. Secondly, due to many factors the identified model can be of non-minimum phase even though that does not hold for the plant itself. The virtual nonminimum phase property of the model unnecessarily limits the accessible performance of the closed-loop system. Two solutions to overcome each of the two problems are proposed. To ensure that the discrete-time model does not possess poles on the negative real axis, an algorithm that projects discrete poles to the right-hand-side half-plane is added to the on-line identification. The other possibility to circumvent the unwanted poles is to simply cancel them with zeros. This is done after identification and is justified since these poles are not the result of the actual modes in the plant. For the non-minimum phase zeros, the problem is solved by mirroring the zeros over the circular line into the unit circle. This transformation can be performed in each step of the on-line identification or just once after identification. One experiment was performed that tests the two proposed algorithms for avoidance of poles on negative real axis (the results are shown in Fig. 2 and Table 1). The other experiment tested the two proposed algorithms for avoidance of zeros outside the unit circle (the results are shown in Fig. 3 and Table 2).

Author

*Sampled Data Systems; Mathematical Models; Discretization (Mathematics); Algorithms; Time Domain Analysis*

**20070003536** Ljubljana Univ., Ljubljana, Slovenia

**Development of the System for Determination of the Size-of-Source Effect**

Pusnik, Igor; Grgic, Goran; Electrotechnical Review, Volume 73, No.4; 2006, pp. 173-178; In Slovene; See also 20070003533; Copyright; Avail.: Other Sources

In calibration of radiation thermometers one of the contributions to the total measurement uncertainty depends on the target size. The phenomenon is known as the size-of-source effect (SSE). It is a result of radiation with the origin outside the instrument nominal target area, as defined by the field of view. The result is a different measured temperature as the size of

the measured source is changed. Many radiation thermometers, especially commercial thermometers, which operate in the infrared spectrum, that is at lower temperatures (below 600 C), suffer from a bad SSE characteristic. Currently, there is no standard available in thermometry defining a method for determination of the SSE of the radiation thermometer. Generally two methods are used, the direct and the indirect method. The direct method is more appropriate at lower temperatures. The radiation thermometer is focused on the blackbody having a variable diameter of the aperture. The ratio between the signal at a given radius and the signal at the maximum radius is a measure for the SSE. For measuring the SSE with the direct method we developed a system based on a water-cooled holder of aluminium plates with different diameters of the apertures (Figs. 3, 4 and 6). The SSE characteristic for the radiation thermometer with a linearized signal was measured. Results of the used direct method without correction of the background radiation are presented in Fig. 7 and those with correction in Fig. 8. The background radiation was found negligible at target temperatures above 200 C. Whenever applicable, the SSE should be determined at the highest temperature of the thermometer measuring range. The SSE characteristic is usually determined for targets larger than the nominal target of the radiation thermometer. If the SSE characteristic is known, a correction to the radiation thermometer reading can be applied for targets equal or slightly larger than the nominal target size. When the SSE is unknown, the measured target size shall be at least twice as large as the nominal target size of a radiation thermometer.

Author

*Thermometers; Systems Engineering; Calibrating; Black Body Radiation*

**20070003537** Nuklearni Inst. Jozef Stefan, Ljubljana, Macedonia

#### **Mesh Partitioning with Ant Colonies**

Korosec, Peter; Silc, Jurij; Robic, Borut; *Electrotechnical Review*, Volume 73, No.4; 2006, pp. 215-220; In Slovene; See also 20070003533; Copyright; Avail.: Other Sources

Many real-world engineering problems can be expressed in terms of partial differential equations and solved by using the finite-element method, which is usually parallelized, i.e. the mesh is divided among several processors. To achieve high parallel efficiency it is important that the mesh is partitioned in such a way that workloads are well balanced and interprocessor communication is minimized. In this paper we present an enhancement of a technique that uses a nature-inspired metaheuristic approach to achieve higher-quality partitions. We present two heuristic mesh-partitioning methods, both of which build on the multiple ant-colony algorithm in order to improve the quality of the mesh partitions. The first method augments the multiple ant-colony algorithm with a multilevel paradigm, whereas the second uses the multiple antcolony algorithm as a refinement to the initial partition obtained by vector quantization. The two methods are experimentally compared with the well-known mesh-partitioning programs, p-METIS and Chaco.

Author

*Partitions (Mathematics); Grid Generation (Mathematics); Optimization; Algorithms*

**20070003538** Milan Vidmar Electric Power Research Inst., Ljubljana, Slovenia

#### **Importance of Cooling System Optimization with Regard to Lifetime and Reliability of Power Transformers**

Gradnik, Tim; Babuder, Maks; Koncan-Gradnik, Maja; *Electrotechnical Review*, Volume 73, No.4; 2006, pp. 232-240; In Slovene; See also 20070003533; Copyright; Avail.: Other Sources

The paper presents different risk aspects of transformer insulation breakdown caused by ageing of transformer insulation. Evaluation of recent artificial ageing of paper-in-oil transformer insulation results explains the effect of winding temperature on the achievement of the targeted transformer lifetime. These results and findings of two studies made at the Milan Vidmar Electric Power Research Institute (EIMV) 2004/2005 assessing the ageing and cooling system state of large power transformers provided the basis for highlighting the occurrence of unexpected early ageing of particular large transformers that had been installed in the Slovenian power grid around 1980. Issues of temperature measurement and cooling system control associated with oil-forced type of transformer cooling are discussed in detail. Oil-forced type cooling has been installed in majority of the 400 kV and 220 kV large power transformers operating in the Slovenian power grid. The paper also discusses the importance of cooling optimization in order to have transformer residual lifetime extended and its life-cycle cost minimized.

Author

*Cooling Systems; Reliability; Transformers; Electrical Insulation; Optimization; Electric Power*

**20070003539** Ljubljana Univ., Ljubljana, Slovenia

#### **Power System Dynamic Security Assessment using Digital Simulation**

Kerin, Uros; Bizjak, Grega; *Electrotechnical Review*, Volume 73, No.4; 2006, pp. 201-206; In Slovene; See also 20070003533; Copyright; Avail.: Other Sources

Electrical energy plays an extremely important role in the world's constantly increasing growth in economy and industry. This is why, power systems have been growing and are nowadays most complicated man-made systems in the world. To meet the increasing demand and to assure secure operation, an intensive planning and development of power systems have to be made. All over the world, engineers are using various approaches and methods to predict the systems behavior in most common conditions. Nowadays, the most widely used methods and simulations are made with neural networks and other fast and reliable procedures. Their main advantage is the speed of the calculation process. However, to prepare the neurons for it, they have to be trained, which is a much time consuming and complicated procedure. In this paper, we propose a new approach, based on the NETOMAC program system. The software already uses fast calculation methods and does not require any special additions. We use the NETOMAC's abilities to develop the basics for the new algorithm providing us with the Dynamic Security Assessment of the network. For testing purposes we utilize the topology of the Hydro-Quebec's 735kV transmission level. During the simulation, the system is exposed to various contingencies. They are different for each system and are normally reduced to the most severe ones. The paper presents theoretical basics of stability, structure of the developed framework and obtained results.

Author

*Digital Simulation; Electricity; Security*

**20070003540** Ljubljana Univ., Ljubljana, Slovenia

### **Modulation in Stator Flux Control of Induction Motor for Reduced Torque Ripple**

Nedeljkovic, David; Nemec, Mitja; Ambrozic, Vanja; Electrotechnical Review, Volume 73, No.4; 2006, pp. 1830188; In Slovene; See also 20070003533; Copyright; Avail.: Other Sources

The paper introduces an improved for Immediate Flux Control (IFC) of VSI-fed induction motor drive. IFC with single a voltage vector modulation, which is based on direct current control (DCC), reduces the commutation frequency in comparison with SVM [1]-[3]. With this method, an appropriate active volt  $v$  (sub I) impressed only during a precalculated time interval  $t$ (sub on) (1-6) within the sampling interval  $\Delta t$  to minimize the predicted stator flux error  $\epsilon$ (sub lambda) (Fig. 1). In the same manner, the new modulation approach combines complementary ions (7-13) of two optimal active voltage vectors  $v$ (sub I) and  $v$ (sub II) within the sampling interval (Fig. 2). Both active vectors are selected according to the sector of stator voltage reference  $v$ (sub S)(sup \*); (Fig. 3, Fig. 4, and Table 2). Simulation results for an induction motor (Table 3), being controlled by IFC and predictive torque control (PTC) [4], show improved stator flux accuracy (Fig. 5, Fig. 6), while the commutation frequency is slightly increased; nevertheless it is still lower than in SVM. Torque response (Fig. 7) of the proposed method is as fast as in DTC [5]-[12] with significantly reduced torque ripple (Fig. 8). On the other hand, this modulation method has an important drawback, since the dead-time insertion between non-subsequent active voltage vectors requires major modifications in established control of inverter.

Author

*Induction Motors; Ripples; Stators; Torque; Modulation; Flux (Rate)*

**20070003541** Nuklearni Inst. Jozef Stefan, Ljubljana, Macedonia

### **E-LOTOS-Based Compositional Service-Based Synthesis of Multi-Party Time-Sharing-Based Protocols**

Kapus-Kolar, Monika; Electrotechnical Review, Volume 73, No.4; 2006, pp. 207-214; In English; See also 20070003533; Copyright; Avail.: Other Sources

In an earlier paper, we proposed a LOTOS/T+-based method for compositional service-based construction of multi-party time-sharing-based protocols. In the present paper, we generalize the method to services with data, real-time constraints, iteration, exception handling, multi-process synchronization and process suspension/resumption, and adapt it to work with the standard specification language E-LOTOS enhanced with weak sequencing. We also report a minor error in the earlier method and propose a more flexible event-reporting scheme.

Author

*Protocol (Computers); Time Sharing; Computer Programming; Distributed Processing*

**20070003543** Ljubljana Univ., Ljubljana, Slovenia

### **Is Validity of the Convolution Theorem Questionable?**

Kosir, Andrej; Electrotechnical Review, Volume 73, No.4; 2006, pp. 227-231; In Slovene; See also 20070003533; Copyright; Avail.: Other Sources

According to the convolution theorem, every linear system can be modeled by its impulse response- 'This is one of the fundamental tools in the linear system analysis and synthesis. The paper [ 13 presents a counterexample to the classical

convolution theorem and raises the question of its impact on the real-world systems analysis and design. For demonstration purposes only, a simple electric circuit analysis as an example of convolution theorem application in practice is presented. An engineering view on the matter presented in the paper is given. A construction of a simple counterexample to the classical form of the convolution theorem is described and confronted by the proof of the classical convolution theorem. Prior to the discussion, the classical formulation of convolution theorem together with definitions and the outline of its proof is introduced. It appears the central point of validity of the convolution theorem are the definition of the convolution operator  $*$  and the domain (family of functions) it is defined on. The conclusion is that the counterexample has no effect whatsoever on the realworld systems analysis and synthesis.

Author

*Mathematical Models; Convolution Integrals; Linear Operators; Theorem Proving*

**20070003544** Ljubljana Univ., Ljubljana, Slovenia

**Possibilities and Limitations of Electric Power Transmission Over Long Distances Part 2 - Long distance AC transmission**

Mihalic, Rafael; Povh, Dusan; Electrotechnical Review, Volume 73, No.4; 2006, pp. 161-166; In Slovene; See also 20070003533; Copyright; Avail.: Other Sources

During the past decades, individual power systems have been developing and have later been interconnected with the neighboring systems to gain technical and economical advantages. Thus interconnected systems may become extremely large, covering whole continents. Main task of interconnections is power exchange between the systems. The tendency for the future, in the liberalized electricity market environment is to have power transmitted over very long distances. However, the size of thus needed interconnected systems may be limited by technical or economical factors. In the paper, some technical problems of long distance AC transmission are investigated and presented by using typical examples. Such transmission should be effected over high-voltage levels due to transmission angle limitations. o maintain the acceptable voltage profile, lines have to be compensated. The impact of series and parallel compensation on the voltage profile is investigated. Power losses, which represent one of the most important factors for the economy of power transmission, are evaluated. Attention is also paid to the impact of an additional local power transmission between subsystems on the total local power transmission losses.

Author

*Alternating Current; Electric Power Transmission; Electrical Engineering; Distance*

**20070003566** NASA Langley Research Center, Hampton, VA, USA

**Carbon Nanotube Based Light Sensor**

Wincheski, russell A., Inventor; Smits, Jan M., Inventor; Jordan, Jeffrey D., Inventor; Watkins, Anthony Neal, Inventor; Ingram, JoAnne L., Inventor; October 31, 2006; 14 pp.; In English; Original contains black and white illustrations  
Patent Info.: Filed 10 Sep. 2004; US-Patent-7,129,467; US-Patent-Appl-SN-943831; NASA-Case-LAR-16573-1; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003566>; Avail.: CASI: A03, Hardcopy

A light sensor substrate comprises a base made from a semi-conductive material and topped with a layer of an electrically non-conductive material. A first electrode and a plurality of carbon nanotube (CNT)-based conductors are positioned on the layer of electrically non-conductive material with the CNT-based conductors being distributed in a spaced apart fashion about a periphery of the first electrode. Each CNT-based conductor is coupled on one end thereof to the first electrode and extends away from the first electrode to terminate at a second free end. A second or gate electrode is positioned on the non-conductive material layer and is spaced apart from the second free end of each CNT-based conductor. Coupled to the first and second electrode is a device for detecting electron transfer along the CNT-based conductors resulting from light impinging on the CNT-based conductors.

Official Gazette of the U.S. Patent and Trademark Office

*Carbon Nanotubes; Sensors; Substrates; Light (Visible Radiation)*

**20070003567** Ljubljana Univ., Ljubljana, Slovenia

**Electrotechnical Review, Volume 73, No. 5**

Zajc, Baldomir, Editor; Trost, andrej, Editor; 2006; ISSN 0013-5852; 83 pp.; In English; In Slovene; See also 20070003568 - 20070003578; Original contains black and white illustrations; Copyright; Avail.: Other Sources

The topics discussed include: 1) Possibilities and Limitations of Electric Power Transmission Over Long Distances; 2) Semantic Web Rule Languages; 3) Model-driven architecture and its impact on the software development process; 4) The Flores mixed integer linear programming model for the optimal response of hydro cascades in the electricity spot market; 5)

On-line fault detection and isolation using analytical redundancy; 6) Statistical Alignment Models in Machine Translation from Slovenian to English; 7) Statistical Comparisons of Classifiers in Machine Learning; 8) Analysis of Flicker Levels in the Slovenian Transmission Network; 9) Analysis of Industrial Power Networks; 10) Uncertainty of the Characteristic of the Size-of-Source Effect in Radiation Thermometers; and 11) Softswitch architecture remodelling for new generation IP Multimedia Subsystem environment

CASI

*Electrical Engineering; Computer Programming; Mathematical Models*

**20070003568** Ljubljana Univ., Ljubljana, Slovenia

**Softswitch Architecture Remodelling for New Generation IP Multimedia Subsystem Environment**

Volk, Mojca; Krenker, Andrej; Bester, Janez; Kos, Andrej; *Electrotechnical Review*, Volume 73, No. 5; 2006, pp. 309-314; In English; See also 20070003567; Copyright; Avail.: Other Sources

Widespread adoption of the next generation concepts in telecommunications has substantially affected the overall system architecture as well as each network element in particular. The softswitch is a heterogeneous control, service and management element. It is composed of several different logical functionalities that are vital to system operation of any kind and whose migration strategy has not yet been clearly defined. We present a general strategy of softswitch remodelling to meet IMS requirements and characteristics that might be interesting for different softswitch providers with respective architectural options in fixed or wireless domains. In the proposed solution, the initial softswitch architecture is presented in an independent and generalized way. Additional feature enhancements provide virtual parallel protocol environments inside a softswitch, each enabling specific advantages common to its features. The SIP segment is separated and introduced as an independent subsystem. Further architectural modularity assures a level of independency between parallel protocol environments and future openness with regard to IMS requirements. As a result, the IMS softswitch encompasses basic SIP/IMS functionalities while some of the existent compounds take over different roles of element interworking functions and partially functionalities of standalone IMS entities. The proposed solution is limited to basic functionalities and is of a smaller scale but is open and IMS-ready.

Author

*Architecture (Computers); Multimedia; Protocol (Computers); Internets; Communication Networks*

**20070003569** Ljubljana Univ., Ljubljana, Slovenia

**Statistical Comparisons of Classifiers in Machine Learning**

Demsar, Janez; *Electrotechnical Review*, Volume 73, No. 5; 2006, pp. 279-284; In Slovene; See also 20070003567; Copyright; Avail.: Other Sources

Comparisons between classifiers are a crucial element in most studies that introduce new machine learning algorithms or modifications of the existing ones. Despite their importance, there is no consensus in the community regarding which test should be applied in a certain situation, and excellent machine learning papers quite often conclude with statistical tests that are conceptually or statistically inappropriate. The situation is especially bad in comparisons of multiple classifiers, where the tests designed for comparisons of two samples are often used on each pair of classifiers instead of using omnibus tests like ANOVA or at least applying the appropriate corrections for multiple hypotheses testing. We analyzed the tests which are or which should (in our opinion) be used in machine learning studies: the paired t-test, the Wilcoxon signed-ranks test and the sign test for comparison of two classifiers, and ANOVA and the Friedman test with appropriate post-hoc tests for comparisons of multiple classifiers [10]. We checked what the tests really measure and what assumptions they make about the data; specifically, the parametric tests require commensurability of the results across different domains assume that the results of classifiers are distributed normally. Since both of these conditions for the use of parametric tests are most probably violated, we dissuade from using the parametric tests. On the other hand, the described non-parametric tests suffer from none of these deficiencies. The same conclusion in favour of non-parametric tests is reached in the experimental part of the paper where we compare the tests on a selection of standard machine learning algorithms using the data sets from the UCI machine learning repository [1]. The non-parametric tests seem to have more power and be more replicable than the parametric ones both in comparisons between two (Fig. 1) and between multiple classifiers (Fig. 3), with the only exception of the Dunnett test for post-hoc comparisons of one classifier against all others, which rejects a somewhat larger number of hypotheses than the corresponding nonparametric alternative. Altogether, we recommend the use of nonparametric tests for comparisons of classifiers, but warn that other criteria beyond the grasp of statistics should be considered and possibly even favoured over the pure improvements in predictive power of classifiers.

Author

*Algorithms; Classifiers; Machine Learning; Statistical Tests; Artificial Intelligence*

**20070003570** Ljubljana Univ., Ljubljana, Slovenia

**Possibilities and Limitations of Electric Power Transmission Over Long Distances**

Povh, Dusan; Mihalic, Rafael; Electrotechnical Review, Volume 73, No. 5; 2006, pp. 241-247; In Slovene; See also 20070003567; Copyright; Avail.: Other Sources

During the past decades, individual power systems have been developing and have later been interconnected with the neighboring systems to gain technical and economical advantages. Thus interconnected systems may become extremely large, covering whole continents. Main task of interconnections is power exchange between the systems. The tendency for the future, in the liberalized electricity market environment is to have power transmitted over very long distances. However, the size of thus needed interconnected systems may be limited by technical or economical factors. In the paper, some technical problems of long distance AC transmission are investigated and presented by using typical examples. Such transmission should be effected over high-voltage levels due to transmission angle limitations. To maintain the acceptable voltage profile, lines have to be compensated. The impact of series and parallel compensation on the voltage profile is investigated. Power losses, which represent one of the most important factors for the economy of power transmission, are evaluated. Attention is also paid to the impact of an additional local power transmission between subsystems on the total local power transmission losses.

Author

*Distance; Electric Power Transmission; Electrical Engineering; Alternating Current*

**20070003571** Ljubljana Univ., Ljubljana, Slovenia

**Analysis of Industrial Power Networks**

Kerin, Uros; Bizjak, Grega; Zunko, Peter; Electrotechnical Review, Volume 73, No. 5; 2006, pp. 297-302; In Slovene; See also 20070003567; Copyright; Avail.: Other Sources

In this paper we propose guidelines for analyzing industrial power networks. We present basic structural differences that distinguish industrial power networks from other distribution networks, shortly describe the possible operating states and classify them with regard to various operating conditions. For testing purposes we built a model of a typical industrial power network. Its topology consists of different types of loads, and in particular asynchronous motors and a number of generators, able to assure partial autonomy of the network during critical operating states. In the simulation process, the model is put under stress of various contingencies causing dynamic changes in the load flow, voltage and frequency oscillations or even partial network islanding. To determine some particular characteristics of the network, the network response is monitored and afterwards analyzed. Simulation processes are made by using the Netomac power system, which is a powerful software tool designed for simulation and analysis of electrical power systems. With our model, the following operating states or contingencies are simulated: steady states, one-and-three phase short circuits, three-phase short circuits in utility's network followed by islanding of the part of the investigated network and starting-up of two 6 kV motors. In each case the network response is individually analyzed and presented either by numerical or graphical results.

Author

*Industries; Topology; Mathematical Models; Electric Power; Electric Networks*

**20070003572** Maribor Univ., Maribor, Slovenia

**On-Line Fault Detection and Isolation Using Analytical Redundancy**

Valh, Drago; Bratina, Bozidar; Tovornik, Boris; Electrotechnical Review, Volume 73, No. 5; 2006, pp. 267-272; In English; See also 20070003567; Copyright; Avail.: Other Sources

In this paper fault detection and isolation schemes using an extended Luenberger observer for non-linear systems and linear fault sensitive filters are presented. The idea is to implement both approaches on the same plant, achieve on-line fault detection and show some practical issues related to on-line fault detection implementation on a real laboratory plant, where for evaluation of residuals and fault isolation an adaptive threshold together with Boolean decision logic is used. FDI methods tested in this paper were performed on a most popular case study, namely the three-tank system, which has in our case an unusual structure as the inflow to the tanks is mounted at the bottom of the tanks and contributes to additional non-linear behaviour. Online data acquisition was realized by local controller using Ethernet communication and OPC interface, and FDI schemes were performed in the Matlab/Simulink environment. The implemented fault detection schemes proved themselves well even when small abrupt changes/faults were generated in the real process.

Author

*Fault Detection; On-Line Systems; Redundancy; Hydraulic Equipment*

**20070003574** Milan Vidmar Electric Power Research Inst., Ljubljana, Slovenia

**The Flores Mixed Integer Linear Programming Model for the Optimal Response of Hydro Cascades in the Electricity Spot Market**

Bregar, Zvonko; Electrotechnical Review, Volume 73, No. 5; 2006, pp. 161-166; In Slovene; See also 20070003567; Copyright; Avail.: Other Sources

Mixed integer linear programming (MILP) models have in the last decade gained considerable interest in simulation of deregulated electricity markets. They are based on an in-depth knowledge of all relevant technical characteristics (for example a cascade of hydro power plants), of the current state (for example the regulating basins states), and the forecast of external parameters (for example the 24 hours inflows forecast) of the system under study, and the hour-by-hour forecast of the electricity marginal price for the following day. Similar as in the linear programming (LP) models, in MILPs, too, all technical operation limitations are expressed as a set of linear equations and inequations. The optimization function in MILPs remains linear and is usually expressed as the maximum of the expected revenue from the electricity market. It is very important to note that some variables in MILPs can be of the integer type which allows far better modelling of system technical characteristics than in LPs. When applying MILPs, one does not usually do the math since it suffices to use the available computer solvers. This paper presents the theory of the new MILP Flores model that has been developed at the Milan Vidmar Electric Power Research Institute. It is based on the Institute's PRAK model that calculates the hydro cascades production. The know-how follows the general LP energy flow MESSAGE model of the International Atomic Energy Agency. Two examples are added: the cascade of three existing runoff-river plants on the Soca river including the new pumping hydro plant Avce and the weekly-cycle cascade of the two rehabilitated plants Moste II+III on the upper Sava river.

Author

*Linear Programming; Hydroelectric Power Stations; Hydroelectricity; Electric Power Transmission*

**20070003575** Ljubljana Univ., Ljubljana, Slovenia

**Model-driven Architecture and Its Impact on the Software Development Process**

Vavpotic, Damjan; Krisper, Marjan; Electrotechnical Review, Volume 73, No. 5; 2006, pp. 255-260; In Slovene; See also 20070003567; Copyright; Avail.: Other Sources

The idea of model-driven software development is not new. It has been practiced in certain development fields for several years (e.g. data modeling). The trials to spread the idea to other fields of software development have been quite unsuccessful and never widely used in practice (e.g. CASE). Based on the gained experience, the architecture for model-driven development of software systems, i.e. model-driven architecture (MDA), has been developed under the umbrella of the Object management group (OMG). MDA offers a theoretical basis that enables the development of software on a higher abstraction level. Its use also affects the software development process; on one hand, analysis and design become the most important parts of the development and on the other, implementation and testing turn to be less important. The first section of the paper briefly presents the basic concepts of MDA. The second section describes the impact of model-driven development and MDA on a software development process and especially focuses on a comparison between model driven development and agile processes.

Author

*Mathematical Models; Software Development Tools; Architecture (Computers)*

**20070003576** Ljubljana Univ., Ljubljana, Slovenia

**Analysis of Flicker Levels in the Slovenian Transmission Network**

Blazic, Bostjan; Kobav, Matej B.; Pfajfar, Tomaz; Kerin, Uros; Matvos, Dejan; Skok, Kostja; Papic, Igor; Electrotechnical Review, Volume 73, No. 5; 2006, pp. 291-296; In Slovene; See also 20070003567; Copyright; Avail.: Other Sources

With deregulation of the Slovenian electricity market power quality has become an important issue for the transmission system operator (TSO), since poor power quality is no longer tolerated by electricity consumers. One of the greatest disturbances affecting power quality in the Slovenian transmission network is voltage flicker. It is mostly caused by arc furnaces producing voltage amplitude fluctuations resulting from strongly fluctuating power consumption. Voltage variations at frequencies between 0.5 and 25 Hz are of a special concern. They give rise to flicker, reflecting itself in changes in luminosity of lamps. As these disturbances may travel over a large area of the transmission network, they affect a considerable number of unsatisfied and consequently complaining consumers connected to distribution networks. A common equivalent circuit of arc furnace connection to the high-voltage (HV) network is shown in Fig. 1. The flicker level at the point of arc furnace connection to the transmission network is determined by the arc furnace operation and network impedances (Eq. 1). In large and complex networks, spreading of flicker distortion is influenced by many factors making analytical formulation difficult. Such networks can be analysed by means of simulation where special attention is paid to correct representation of



network topology, generators and flicker sources. Various power quality [1] measurements have already been made in the Slovenian HV transmission network (measurement points are listed in Table 1) in parallel with some permanent measurements made by the Slovenian TSO (ELES) [2]-[7]. The measurement results confirm that the main sources of flicker are large arc-furnaces in iron works Ravne, Store and Jesenice. The measurement results for the selected points are presented in Table 2 and geographical areas with flicker with the value above 1 are shown in Fig. 2. Simulation models of the Slovenian transmission network were implemented in SINCAL and PSCAD. Besides the basic network elements (generators, transformers, transmission lines and linear loads), an arc furnace model [8]-[11] and IEC-based flicker-meter model [12], [13] were also developed. The arc-furnace model operation is described with equations (2)-(5). The complete network model and the arc furnaces models were calibrated to reflect as much as possible of the real network conditions and measurement results. With simulations in SINCAL, the flicker levels for the whole HV network were determined. On the other hand, the PSCAD model provides the basis for the analysis and evaluation of different flicker mitigation solutions. Flicker levels obtained with the two simulation tools are given in Table 2 allowing for a comparison with the measurement results. Table 3 shows the share of nodes in the HV network based on the flicker value. It is evident that flicker exceeds the value of 1 in 39% of all the nodes in 110 kV level

Author

*Electricity; Flicker; Transmission Lines; Network Analysis; Mathematical Models*

**20070003578** Ljubljana Univ., Ljubljana, Slovenia

#### **Uncertainty of the Characteristic of the Size-of-Source Effect in Radiation Thermometers**

Pusnik, Igor; Grgic, Goran; *Electrotechnical Review*, Volume 73, No. 5; 2006, pp. 303-307; In Slovene; See also 20070003567; Copyright; Avail.: Other Sources

In calibration of radiation thermometers one of contributions to the total uncertainty of measurement depends on the target size. The phenomenon is known as the size-of-source effect (SSE). It is caused by radiation from an origin outside the instrument's nominal target area as defined by the field of view. As the size of the measured source varies, many radiation thermometers, especially the commercial thermometer, which operate in the infrared spectrum, that is at temperatures below 600 C, suffer from a bad SSE characteristic. The result are different measured temperatures. Generally two methods are available, the direct and the indirect method. The direct method is more appropriate at lower temperatures. In the direct method, a radiation thermometer is focused on the blackbody (radiation source), where the diameter of aperture is variable. The ratio between the signal at a given radius and the signal at the maximum radius is a measure for the SSE. We measured the SSE characteristic with the direct method for a radiation thermometer with a direct reading of temperature. Such radiation thermometers are most frequently used in practice. Correction for the SSE has to be evaluated in terms of its uncertainty. The paper presents evaluation of uncertainty in measuring the SSE with the direct method for a radiation thermometer with direct reading of temperature. A comparison is given between evaluation of uncertainty with consideration of the Planck's law and its approximation, which is the Wien's law. When the SSE is unknown, the measured target size shall be at least twice as large as the nominal target size of a radiation thermometer and even than.

Author

*Calibrating; Thermometers; Instrument Errors; Temperature Measurement; Black Body Radiation; Size (Dimensions)*

**20070003697** Massachusetts Inst. of Tech., Cambridge, MA USA

#### **Generating Efficient Layouts from Optimized MOS Circuit Schematics**

Jan 1988; 204 pp.; In English

Contract(s)/Grant(s): AFOSR-86-0164; Proj-2305

Report No.(s): AD-A459613; RLE-TR-535; No Copyright; Avail.: CASI: [A10](#), Hardcopy

No abstract available

*Circuits; Layouts; Metal Oxide Semiconductors*

**20070003738** National Renewable Energy Lab., Golden, CO USA

#### **Evaluation of Utility System Impacts and Benefits of Optimally Dispatched Plug-In Hybrid Electric Vehicles**

Denholm, P.; Short, W.; Jul. 2006; 29 pp.; In English

Report No.(s): DE2006-888683; NREL/TP-620-40293; No Copyright; Avail.: Department of Energy Information Bridge

Hybrid electric vehicles with the capability of being recharged from the grid may provide a significant decrease in oil consumption. These 'plug-in' hybrids (PHEVs) will affect utility operations, adding additional electricity demand. Because many individual vehicles may be charged in the extended overnight period, and because the cost of wireless communication

has decreased, there is a unique opportunity for utilities to directly control the charging of these vehicles at the precise times when normal electricity demand is at a minimum. We evaluated the effects of optimal PHEV charging, under the assumption that utilities will indirectly or directly control when charging takes place, providing consumers with the absolute lowest cost of driving energy. By using low-cost off-peak electricity, PHEVs owners could purchase the drive energy equivalent to a gallon of gasoline for under 75 cents, assuming current national average residential electricity prices.

NTIS

*Electric Motor Vehicles; Transportation; Utilities*

**20070003757** Massachusetts Inst. of Tech., Cambridge, MA USA

**Physics and Fabrication of Quasi-One-Dimensional Conductors**

Apr 1993; 136 pp.; In English

Contract(s)/Grant(s): DAAL03-92-C-0001; ECS-90-16437

Report No.(s): AD-A459599; RLE-TR-578; No Copyright; Avail.: CASI: [A07](#), Hardcopy

No abstract available

*Conductors; Fabrication*

**20070003780** National Renewable Energy Lab., Golden, CO USA

**Device Performance**

January 2006; 8 pp.; In English

Report No.(s): DE2006-887347; No Copyright; Avail.: National Technical Information Service (NTIS)

We measure the performance of PV cells and modules with respect to standard reporting conditions defined as a reference temperature (25 deg C), total irradiance (1000 Wm<sup>(sup -2)</sup>), and spectral irradiance distribution (IEC standard 60904-3). Typically, these are 'global' reference conditions, but we can measure with respect to any reference set. To determine device performance, we conduct two general categories of measurements: spectral responsivity (SR) and current versus voltage (I-V). We usually perform these measurements using standard procedures, but we develop new procedures when required by new technologies. We also serve as an independent facility for verifying device performance for the entire PV community. We help the PV community solve its special measurement problems, giving advice on solar simulation, instrumentation for I-V measurements, reference cells, measurement procedures, and anomalous results. And we collaborate with researchers to analyze devices and materials.

NTIS

*Photovoltaic Conversion; Solar Cells*

**20070003820** Massachusetts Inst. of Tech., Cambridge, MA USA

**A Noise Theory for the Magnetron. I. The Temperature Limited Low Current Density Magnetron**

Twiss, R Q; Nov 20, 1949; 44 pp.; In English

Contract(s)/Grant(s): DA36-039-SC-100

Report No.(s): AD-A459319; TR-116; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459319>; Avail.: CASI: [A03](#), Hardcopy

In Part I of this paper a noise theory is given for a temperature limited magnetron, valid for sufficiently low current densities, from which one can calculate the shot noise produced by such a tube in terms of the magnetron parameters and of the orbit of an individual electron. This theoretical noise output is compared with that actually produced by an experimental c-w magnetron type QK61 and two important differences are noted. The observed noise is as much as 30 db above the theoretical shot noise under certain conditions, while the observed rate of change of noise power with plate voltage is many times greater than that predicted for the theoretical shot noise. A general discussion of the possible origins of this excess noise is given and a theory to explain it is given in Part II of this paper, Technical Reports Nos. 117 and 118.

DTIC

*Low Currents; Magnetrons*

**20070003847** Massachusetts Inst. of Tech., Cambridge, MA USA

**The Throughput of Wavelength Routing Networks**

Barry, Richard A; Humblet, Pierre A; Aug 1994; 3 pp.; In English

Contract(s)/Grant(s): MDA972-92-J-1038

Report No.(s): AD-A458814; LIDS-P-2263; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458814>; Avail.: CASI: [A01](#), Hardcopy

We consider the problem of interconnecting N local area networks (LANs) through a wavelength routing all optical network (wavelength-routing AON) supporting F wavelengths at R b/s per wavelength. A wavelength-routing AON is one in which the path of a signal is a function only of the signal wavelength and the origin of the signal. We allow the possibility of wavelength changing so that a signal may arrive at a destination on a different wavelength than it originated on. Furthermore, we assume a slotted system, where each wavelength supports T periodic time slots. A session, i.e. connection between a transmitter and a receiver, is assumed to require one frequency-time slot of bandwidth, i.e. R/T b/s. Each LAN has one outgoing fiber, one incoming fiber, and an unspecified but large number of users. The outgoing (incoming) fiber of a LAN is connected by a broadcast star to all the transmitters (receivers) of that LAN. We assume that there is exactly one active session between each pair of LANs. Therefore the network supports N-squared sessions. Define the capacity, C, as the largest value of N-squared possible as a function of F and T.

DTIC

*Local Area Networks; Wavelengths*

**20070003893** Oak Ridge National Lab., TN USA

**Real Time Flux Control in PM Motors**

Ottaduy, P. J.; McKeever, J. W.; Sep. 2005; 38 pp.; In English

Report No.(s): DE2006-885965; No Copyright; Avail.: National Technical Information Service (NTIS)

Significant research at the Oak Ridge National Laboratory (ORNL) Power Electronics and Electric Machinery Research Center (PEEMRC) is being conducted to develop ways to increase (1) torque, (2) speed range, and (3) efficiency of traction electric motors for hybrid electric vehicles (HEV) within existing current and voltage bounds. Current is limited by the inverter semiconductor devices' capability and voltage is limited by the stator wire insulation's ability to withstand the maximum back-electromotive force (emf), which occurs at the upper end of the speed range. One research track has been to explore ways to control the path and magnitude of magnetic flux while the motor is operating. The phrase, real time flux control (RTFC), refers to this mode of operation in which system parameters are changed while the motor is operating to improve its performance and speed range. RTFC has potential to meet an increased torque demand by introducing additional flux through the main air gap from an external source. It can augment the speed range by diverting flux away from the main air gap to reduce back-emf at high speeds. Conventional RTFC technology is known as vector control.

NTIS

*Electric Generators; Real Time Operation; Electromotive Forces; Electric Motor Vehicles*

**20070004550** Naval Research Lab., Washington, DC USA

**VLF Signal Reception Capability of Three Experimental Crossed-Loop Antennas**

Sep 19, 1955; 44 pp.; In English

Report No.(s): AD-A459635; NRL-MR-522; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Very Low Frequencies; Loop Antennas; Signal Reception*

**20070004565** Massachusetts Inst. of Tech., Cambridge, MA USA

**Challenges to Control in Signal Processing and Communications**

Sep 1986; 15 pp.; In English

Contract(s)/Grant(s): AFOSR-82-0258

Report No.(s): AD-A459630; LIDS-P-1591; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Signal Processing; Telecommunication; Control*

**20070004670** Eidgenoessische Technische Hochschule, Lausanne, Switzerland

**Development of High Efficiency, Low-Cost Flexible Dye-Sensitized Solar Cells**

Aug 30, 2006; 49 pp.; In English

Contract(s)/Grant(s): FA8655-03-M-13068; Proj-4397

Report No.(s): AD-A459752; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Dyes; Solar Cells*

**20070004676** Oak Ridge National Lab., TN USA

**Fractional-Slot Surface Mounted PM Motors with Concentrated Windings for HEV Traction Drives**

Oct. 2005; 48 pp.; In English

Report No.(s): DE2006-885979; No Copyright; Avail.: National Technical Information Service (NTIS)

High-power density and efficiency resulting from elimination of rotor windings and reduced magnetic-flux losses have made the rare earth permanent magnet (PM) motor a leading candidate for the Department of Energy's Office of FreedomCAR and Vehicle Technologies (FCVTs) traction drive motor. These traction drives are generally powered by radial-gap motors, having the magnets on or embedded in a rotating cylinder separated from the inside surface of a slotted cylindrical stator by an annular gap. The two main types of radial-gap PM rotors are those with magnets mounted on the surface of a supporting back iron, called PM surface mounted (PMSM) motors, and those with magnets mounted in slots in the rotor, called interior PM (IPM) motors. Most early PM motor research was on the PMSM motor, which was thought to have an inherently low stator inductance. A low stator inductance can lead to currents dangerously exceeding rated current as the back-emf across the inductance increases with speed; consequently, part of the attempted solution has been to increase the stator inductance to reduce the rate of current rise. Although analysis suggested that there should be no problem designing sufficiently high stator inductance into PMSMs, attempts to do so were often not successful and a motor design was sought that would have a higher intrinsic inductance.

NTIS

*Direct Current; Mechanical Drives; Permanent Magnets; Slots; Winding*

**20070004683** Oak Ridge National Lab., TN USA

**Wide-Bandgap Semiconductors**

Nov. 2005; 202 pp.; In English

Report No.(s): DE2006-886008; No Copyright; Avail.: National Technical Information Service (NTIS)

With the increase in demand for more efficient, higher-power, and higher-temperature operation of power converters, design engineers face the challenge of increasing the efficiency and power density of converters. Development in power semiconductors is vital for achieving the design goals set by the industry. Silicon (Si) power devices have reached their theoretical limits in terms of higher-temperature and higher-power operation by virtue of the physical properties of the material. To overcome these limitations, research has focused on wide-bandgap materials such as silicon carbide (SiC), gallium nitride (GaN), and diamond because of their superior material advantages such as large bandgap, high thermal conductivity, and high critical breakdown field strength. Diamond is the ultimate material for power devices because of its greater than tenfold improvement in electrical properties compared with silicon; however, it is more suited for higher-voltage (grid level) higher-power applications based on the intrinsic properties of the material. GaN and SiC power devices have similar performance improvements over Si power devices.

NTIS

*Energy Gaps (Solid State); Semiconductors (Materials)*

**20070004685** Oak Ridge National Lab., TN USA

**Interior Permanent Magnet Reluctance Machine with Brushless Field Excitation**

Sep. 2005; 66 pp.; In English

Report No.(s): DE2006-886009; No Copyright; Avail.: National Technical Information Service (NTIS)

In a conventional permanent magnet (PM) machine, the air-gap flux produced by the PM is fixed. It is difficult to enhance the air-gap flux density due to limitations of the PM in a series-magnetic circuit. However, the air-gap flux density can be weakened by using power electronic field weakening to the limit of demagnetization of the PMs. This paper presents the test results of controlling the PM air-gap flux density through the use of a stationary brushless excitation coil in a reluctance interior permanent magnet with brushless field excitation (RIPM-BFE) motor. Through the use of this technology the air-gap flux density can be either enhanced or weakened. There is no concern with demagnetizing the PMs during field weakening. The leakage flux of the excitation coil through the PMs is blocked. The prototype motor built on this principle confirms the concept of flux enhancement and weakening through the use of excitation coils.

NTIS

*Demagnetization; Excitation; Permanent Magnets; Reluctance*

**20070004688** Oak Ridge National Lab., TN USA

**Floating Refrigerant Loop Based on R-134a Refrigerant Cooling of High-Heat Flux Electronics**

Sep. 2005; 28 pp.; In English

Report No.(s): DE2006-886010; No Copyright; Avail.: National Technical Information Service (NTIS)

The Oak Ridge National Laboratory (ORNL) Power Electronics and Electric Machinery Research Center (PEEMRC) have been developing technologies to address the thermal issues associated with hybrid vehicles. Removal of the heat generated from electrical losses in traction motors and their associated power electronics is essential for the reliable operation of motors and power electronics. As part of a larger thermal control project, which includes shrinking inverter size and direct cooling of electronics, ORNL has developed U.S. Patent No. 6,772,603 B2, Methods and Apparatus for Thermal Management of Vehicle Systems and Components, and patent pending, Floating Loop System for Cooling Integrated Motors and Inverters Using Hot Liquid Refrigerant. The floating-loop system provides a large coefficient of performance (COP) for hybrid-drive component cooling. This loop (based on R-134a) is integrated with a vehicle's existing air-conditioning (AC) condenser, which dissipates waste heat to the ambient air.

NTIS

*Air Conditioning; Alternating Current; Automobiles; Cooling; Floating; Heat Flux; Refrigerants*

**20070004745** Stanford Univ., Stanford, CA USA

**Do Capacitively Coupled Electric Fields Accelerate Tibial Stress Fracture Healing**

Dec 2005; 8 pp.; In English

Contract(s)/Grant(s): DAMD17-98-1-8519

Report No.(s): AD-A459781; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Electric Fields; Fracturing; Healing; Tibia*

**20070004750** National Inst. of Standards and Technology, Gaithersburg, MD, USA

**Competing for the Future: Unlocking Small Wonders for Tomorrow's Nanoelectronic Uses**

Oct. 2006; 46 pp.; In English

Report No.(s): PB2007-103596; No Copyright; Avail.: National Technical Information Service (NTIS)

It has been suggested that by 2025 at least half of the companies comprising today's Dow Jones Index will be replaced by nanotechnology companies. Nanoelectronics is defined as advanced electronic and optical systems that depend upon innovations in nanotechnology. The field of nanoelectronics embraces a range of technologies, all ultimately for the purpose of creating and improving the functionality, cost, and performance of tomorrow's electronic systems. This report examines the historical role the Advanced Technology Program (ATP) has played in fueling innovation and American competitiveness in areas of nanotechnology that will lead to totally new-to-the-world electronic and optical products.

NTIS

*Histories; Nanotechnology*

**20070004752** Woods Hole Oceanographic Inst., MA, USA

**Competing for the Future: A Historical Review of NIST ATP Investments in Semiconductor and Micro/Nano-Electronics**

Jun. 2006; 41 pp.; In English

Report No.(s): PB2007-103595; No Copyright; Avail.: National Technical Information Service (NTIS)

The semiconductor and micro/nano-electronics industry plays a vital role in the continued growth of the US economy and national security. Since 1991, the Advanced Technology Program (ATP) of the National Institute of Standards and Technology (NIST), Technology Administration, U.S. Department of Commerce, has funded path-breaking new technologies of importance to this industry and the infrastructure upon which it depends. This report provides a historical review of ATP's investments in technologies of importance to this industry.

NTIS

*Histories; Industries; Semiconductors (Materials); Technology Utilization*

**20070004757** Air Force Research Lab., Wright-Patterson AFB, OH USA

**GHz Modulation of GaAs-Based Bipolar Cascade VCSELs (Preprint)**

Nov 2006; 6 pp.; In English

Contract(s)/Grant(s): Proj-2002

Report No.(s): AD-A459777; AFRL-SN-WP-TP-2006-128; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Gallium Arsenides; Modulation; Bipolarity*

**20070004779** NASA Glenn Research Center, Cleveland, OH, USA

**Series Connected Buck-Boost Regulator**

Birchenough, Arthur G., Inventor; October 03, 2006; 12 pp.; In English; Original contains black and white illustrations  
Patent Info.: Filed 25 Jul. 2005; US-Patent-7,116,568; US-Patent-Appl-SN-188962; US-Patent-Appl-SN-629875;  
NASA-Case-LEW-17353-2; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070004779>; Avail.: CASI: **A03**,  
Hardcopy

A Series Connected Buck-Boost Regulator (SCBBR) that switches only a fraction of the input power, resulting in relatively high efficiencies. The SCBBR has multiple operating modes including a buck, a boost, and a current limiting mode, so that an output voltage of the SCBBR ranges from below the source voltage to above the source voltage.

Official Gazette of the U.S. Patent and Trademark Office

*Regulators; Electric Connectors; Acceleration (Physics); Electrical Engineering*

**20070004797** National Inst. of Standards and Technology, Gaithersburg, MD, USA

**Competing for the Future: A Historical Review of NIST ATP Investments in Photonics and Optical Technologies**

May 08, 2006; 7 pp.; In English

Report No.(s): PB2007-103591; No Copyright; Avail.: CASI: **A02**, Hardcopy

The photonics and optical technologies industry within the U.S. is largely built upon the innovations and ideas that have emerged from within academic and laboratory entities across the country, and then brought to the market - typically by small and medium sized companies that may be located within photonics regional clusters. Because of the complex nature of the innovation, expertise across multiple entities is often needed. These characteristics are reflected within ATP's (Advanced Technology Program) funding history. Between 1990 and 2004, approximately 72% of all ATP projects in photonics and optical technologies have involved small or medium sized U.S. companies. Over 36% of all ATP P&O awards have involved teaming among multiple joint venture participants. Thus, funding by ATP in photonics and optical technologies has been especially successful in aiding the competitive foundation of the U.S. photonics and optics industry, has benefited small and medium sized entrepreneurs, and has stimulated teaming between corporations and needed partners.

NTIS

*Adenosine Triphosphate; Histories; Photonics*

**20070004799** National Inst. of Standards and Technology, Gaithersburg, MD, USA

**Trends and Opportunities in Photonics Technologies: Solid-State Lighting and Healthcare**

White, G. S.; Bertness, K. A.; Jun. 2006; 59 pp.; In English

Report No.(s): PB2007-103584; NISTIR-7305; No Copyright; Avail.: CASI: **A04**, Hardcopy

Photonics, the use of photons in optics, laser technology, electrical engineering, materials science, or information storage and processing, has migrated from being almost exclusively associated with research laboratories into mainstream industrial and consumer markets across the economy, including information technology, healthcare, security and safety, and lighting. In this document, we consider emerging photonic applications in the areas of solid-state lighting (frequently referred to as SSL) and medical applications. Our purpose is to provide a snapshot of the current state of photonics, as applied to SSL and healthcare, as well as to provide an estimate of future directions and anticipated achievements in these fields in the five to ten year time frame. At the same time, we will endeavor to highlight major technical barriers, as currently perceived, to reaching those goal. We base this document on a variety of sources of information. As detailed in Appendices I and II, we have interviewed NIST staff, both in the ATP and in the laboratories, representatives of other government agencies, and representatives from a variety of private companies. In addition, we have consulted reports and roadmaps generated by industrial consortia and government funding agencies. Because the issues in SSL and healthcare are quite different, the two topics will be considered in separate sections. However, the format for the sections will be the same: (1) a description of the current status, including a synopsis of both the technology and the current applications, (2) a discussion of where the technology and applications are expected to be moving in the 1 to 10 year time frame, and (3) the technical/scientific barriers that need to be overcome for anticipated progress to occur.

NTIS

*Illuminating; Photonics; Solid State; Trends; Technology Utilization*

**20070004837** Georgia Inst. of Tech., Atlanta, GA USA

**Development of a Non-Linear Element Code for the Improvement of Piezoelectric Actuator Design and Reliability**

Jun 30, 2006; 20 pp.; In English

Contract(s)/Grant(s): DAAD19-02-1-0241

Report No.(s): AD-A459521; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Actuators; Nonlinearity; Piezoelectricity; Reliability*

**20070004846** Washington Univ., Seattle, WA USA

**Broadcast with Heterogeneous Node Capability**

Jan 2004; 7 pp.; In English

Contract(s)/Grant(s): N00014-04-1-0479; ANI-0093187

Report No.(s): AD-A459483; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Broadcasting; Heterogeneity; Nodes (Standing Waves)*

**20070004875** Air Force Research Lab., Edwards AFB, CA USA

**The USAF Electric Propulsion Research Program (Postprint)**

Jul 14, 2000; 12 pp.; In English

Report No.(s): AD-A459560; AFRL-PR-ED-TP-2000-152; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Electric Propulsion; Research Projects; Air Defense*

**20070004910** California Univ., Los Angeles, CA USA

**A Quantum Dot Optical Modulator for Integration With Si CMOS**

Aug 1, 2005; 6 pp.; In English

Contract(s)/Grant(s): W911NF-05-1-0422

Report No.(s): AD-A459498; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Quantum Dots; Modulators; CMOS*

**20070004949** Quarles and Brady LLP, Milwaukee, WI, USA

**Simplified Hybrid Secondary Uncluttered Machine and Method**

Hsu, J. S.; 12 Nov 03; 14 pp.; In English

Contract(s)/Grant(s): DE-AC05-000R22725

Patent Info.: Filed Filed 12 Nov 03; US-Patent-Appl-SN-10-706 331

Report No.(s): PB2007-100889; No Copyright; Avail.: CASI: [A03](#), Hardcopy

An electric machine (40, 40 feet) has a stator (43) and a rotor (46) and a primary air gap (48) has secondary coils (47c, 47d) separated from the rotor (46) by a secondary air gap (49) so as to induce a slip current in the secondary coils (47c, 47d). The rotor (46, 76) has magnetic brushes (A, B, C, D) or wires (80) which couple flux in through the rotor (46) to the secondary coils (47c, 47d) without inducing a current in the rotor (46) and without coupling a stator rotational energy component to the secondary coils (47c, 47d). The machine can be operated as a motor or a generator in multi-phase or single-phase embodiments. A method of providing a slip energy controller is also disclosed.

NTIS

*Electric Motors; Patent Applications*

**20070004959** Agilent Technologies, Inc., Leoveland, CO, Leoveland, CO, USA

**Tunable Temporal Dispersion and Compensated Angular Dispersion in Optical Switching Systems**

Stone, T. W.; 18 Nov 03; 14 pp.; In English

Contract(s)/Grant(s): AF-F 30602-98-C-0079

Patent Info.: Filed Filed 18 Nov 03; US-Patent-Appl-SN-10-717-414

Report No.(s): PB2007-100941; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Methods for utilizing optical systems in order to introduce digitally tunable amounts of temporal dispersion into optical

signals and methods and systems for providing angular dispersion compensated output from optical switching/routing systems. The method for introducing controlled amounts of temporal dispersion into a signal includes the steps of (a) selectively directing an electromagnetic radiation beam to a predetermined optical path, and (b) subsequently selectively directing the electromagnetic radiation beam to another predetermined optical path. The angular dispersion compensated optical system includes a switching/routing optical system and a beam deflection element optically disposed on an output side of the switching/routing optical system. During operation of the angular dispersion compensated optical system, the beam deflection element selectively deflects an output electromagnetic radiation beam originating from the switching/routing optical system in order to render, after selective deflection, a direction of propagation of the electromagnetic radiation output beam parallel to the direction of propagation of an input beam of the switching/routing optical system.

NTIS

*Optical Switching; Patent Applications*

**20070004967** UT-Battelle, LLC., Philadelphia, PA, USA

**Devices with Small-Scale Channels and the Fabrication Thereof by Etching**

Ramsey, J. M.; Haynes, T. E.; Geldman, L. C.; Zehner, D. M.; 30 Jul 04; 27 pp.; In English

Contract(s)/Grant(s): DE-AC05-00OR22725

Patent Info.: Filed Filed 30 Jul 04; US-Patent-Appl-SN-10-903-310

Report No.(s): PB2007-100939; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A method of producing a novel small-scale flow channel in a mesoscale analytic device from a solid multi-layered heterostructure with one or more channel layers sandwiched between adjoining barrier layers. The channel layer consists of a thin-film of a material of different composition than the barrier layers. At least one of the layers has a defined small-scale thickness. A channel or recess is formed in the heterostructure by etching away the channel layer to a preselected depth. The amount of the channel layer which is etched away determines the depth of the channel, and the thickness of the channel layer determines the width. A method of producing a die for forming a small-scale channel is also disclosed.

NTIS

*Etching; Fabrication; Microelectronics; Patent Applications*

**20070004981** Quarles and Brady LLP, Milwaukee, WI, USA

**Integrated Inverter for Driving Multiple Electric Machines**

Su, G. J.; Hu, J. S.; 12 Nov 03; 7 pp.; In English

Contract(s)/Grant(s): DE-AC05-00OR22725

Patent Info.: Filed Filed 12 Nov 03; US-Patent-Appl-SN-10-706 256

Report No.(s): PB2007-100894; No Copyright; Avail.: CASI: [A02](#), Hardcopy

An electric machine drive (50) has a plurality of inverters (50a, 50b) for controlling respective electric machines (57, 62), which may include a three-phase main traction machine (57) and two-phase accessory machines (62) in a hybrid or electric vehicle. The drive (50) has a common control section (53, 54) for controlling the plurality of inverters (50a, 50b) with only one microelectronic processor (54) for controlling the plurality of inverters (50a, 50b), only one gate driver circuit (53) for controlling conduction of semiconductor switches in the plurality of inverters (50a, 50b), and also includes a common dc bus (70), a common dc bus filtering capacitor and a common dc bus voltage sensor (67). The electric machines (57, 62) may be synchronous machines, induction machines, or PM machines and may be operated in a motoring mode or a generating mode.

NTIS

*Electric Motor Vehicles; Inverters; Patent Applications*

**20070005007** Schwegman, Lundberg, Woessner and Kluth, P.A., Minneapolis, MN, USA

**Semiconductor Conductive Layers**

Hill, P. O.; Dawson, L. R.; Dowd, P.; Krishna, S.; 15 Jun 05; 16 pp.; In English

Contract(s)/Grant(s): AFOSR-F496204-03-10437

Patent Info.: Filed Filed 15 Jun 05; US-Patent-Appl-SN-11-153-245

Report No.(s): PB2007-103889; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Structures and methods for electronic devices with improved conductive regions are provided. The conductive region may include digital alloy superlattice structures, which allow higher doping levels to be achieved than for a bulk (random) alloy with the same average composition. Furthermore, the superlattice structures may improve the resistivity of the region,



improving the current spreading of the region and hence the electronic properties of electronic devices such as optoelectronic devices.

NTIS

*Patent Applications; Semiconductor Devices; Semiconductors (Materials)*

**20070005010** Du Pont de Nemours (E. I.) and Co., Wilmington, DE, USA

**Electronic Device and Process for Forming Same**

MacPherson, C. D.; Stainer, M.; Anzlowar, M.; Sant, P. A.; Venkatesh, S.; 3 Aug 04; 20 pp.; In English

Contract(s)/Grant(s): DARPA-4332

Patent Info.: Filed Filed 3 Aug 04; US-Patent-Appl-SN-10-910-496

Report No.(s): PB2007-103888; No Copyright; Avail.: CASI: [A03](#), Hardcopy

An electronic device includes a substrate, a structure having openings, and a first electrode overlying the structure and lying within the openings. From a cross-sectional view, the structure, at the openings, has a negative slope. From a plan view, each opening has a perimeter that may or may not substantially correspond to a perimeter of an organic electronic component. The portions of the first electrode overlying the structure and lying within the openings are connected to each other. In a process for forming the electronic device, an organic active layer may be deposited within the opening, wherein the organic active layer has a liquid composition.

NTIS

*Electronic Equipment; Electrical Engineering*

**20070005046** Griecci ( John A.) Law Office, Hemosa Beach, CA, USA

**Lamination Cooling System Formation Methods**

Rippel, W. E.; Kobayashi, D. M.; 3 Oct 05; 28 pp.; In English

Contract(s)/Grant(s): DE-FG03-OOER829-40

Patent Info.: Filed Filed 3 Oct 05; US-Patent-Appl-SN-11-242-823

Report No.(s): PB2007-103879; No Copyright; Avail.: CASI: [A03](#), Hardcopy

An electric motor, transformer or inductor having a lamination cooling system comprising a stack of laminations, each defining a plurality of apertures at least partially coincident with apertures of adjacent laminations. The apertures define a plurality of cooling-fluid passageways through the lamination stack, and gaps between the adjacent laminations are sealed to prevent a liquid cooling fluid in the passageways from escaping between the laminations. The gaps are sealed by injecting a heat-cured sealant into the passageways, expelling excess sealant, and heat-curing the lamination stack. The apertures of each lamination can be coincident with the same-sized apertures of adjacent laminations to form straight passageways, or they can vary in size, shape and/or position to form non-axial passageways, angled passageways, bidirectional passageways, and manifold sections of passageways that connect a plurality of different passageway sections. Manifold members adjoin opposite ends of the lamination stack, and each is configured with one or more cavities to act as a manifold to adjacent passageway ends. Complex manifold arrangements can create bidirectional flow in a variety of patterns.

NTIS

*Cooling Systems; Electric Motors; Laminates*

**20070005078** Hamilton, Brook, Smith and Reynolds, Concord, MA, USA

**Large Mode-Area Microstructure Optical Fiber**

Ranka, J. K.; 11 Mar 05; 15 pp.; In English

Contract(s)/Grant(s): F19628-00-C-0002

Patent Info.: Filed Filed 11 Mar 05; US-Patent-Appl-SN-11-077 982

Report No.(s): PB2007-101285; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A large mode-area microstructured optical fiber includes a core, at least one axially oriented element disposed in the core, and a cladding about the core. The axially oriented element has a refractive index less than a refractive index of the core. The axially oriented element(s) defines sectional regions in the core. The sectional regions defined by the axially oriented element(s) can discriminate between symmetric and antisymmetric modes of an optical beam that propagates through the optical fiber.

NTIS

*Microstructure; Optical Fibers; Light Beams*

**20070005082** Johns Hopkins Univ., Laurel, MD, USA

**Digital Nulling Pulse Inductive Metal Detector**

Nelson, C. V.; 16 Sep 04; 11 pp.; In English

Contract(s)/Grant(s): DAAB-15-00-C-1008

Patent Info.: Filed Filed 16 Sep 04; US-Patent-Appl-SN-10-942-145

Report No.(s): PB2007-100949; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A method of improving pulse inductive metal detector sensitivity by minimizing adverse ground responses and transmitter coil transients is disclosed. The method provides a calibration mode for a typical pulsed EMI metal detector. The purpose of the calibration mode is to determine and record a nulling signal representative of the transmitter coil coupling to the receiver coil and a ground response that has no metal. The nulling signal is then used during normal operation of the metal detector by combining it with the instant receiver coil signal in a difference amplifier. The difference amplifier effectively subtracts the nulling signal from the instant signal yielding a response signal that has removed the ground response that may be present in the instant signal. The metal detector can be periodically re-calibrated. It may also be re-calibrated upon discovery of a metal target to provide the most up to date nulling signal for the ground around the metal target.

NTIS

*Signal Processing; Digital Techniques; Target Recognition; Amplifiers; Electromagnetic Interference*

**20070005083** Johns Hopkins Univ., Laurel, MD, USA

**Step Current Inductive Antenna for Pulse Inductive Metal Detector**

Nelson, C. V.; Cooperman, C. B.; 16 Sep 04; 12 pp.; In English

Contract(s)/Grant(s): DAAB-15-00-C-1008

Patent Info.: Filed Filed 16 Sep 04; US-Patent-Appl-SN-10-942-160

Report No.(s): PB2007-100948; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A device and method to improve pulse inductive metal detector (MD) sensitivity uses multiple, current steps to reduce the voltage rating of electronic components. Current reduction in one implementation is done with multiple switched resistors coupled to a voltage source and connected to the transmitter coil. The current reduction in another implementation is done with multiple switched current sources that are connected to the transmitter coil and are switched inactive one by one to reduce the total current to the transmitter coil. The current is stepped down in rapid secession with a time delay between current transitions less than the time constant of the metal target under investigation.

NTIS

*Target Recognition; Infrared Detectors; Signal Processing; Antennas; Current Regulators*

**20070005084** National Hispanic Univ., Oakland, CA, USA

**Magnetolectric Multilayer Composites for Field Conversion**

Srinivasan, G.; 14 Sep 04; 39 pp.; In English

Contract(s)/Grant(s): NSF-DMR-0072144

Patent Info.: Filed Filed 14 Sep 04; US-Patent-Appl-SN-10-940-139

Report No.(s): PB2007-100947; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Magnetolectric multilayer composites comprising alternate layers of a bimetal ferrite wherein one of the metals is zinc and a piezoelectric material for facilitating conversion of an electric field into a magnetic field or vice versa. The preferred composites include cobalt, nickel, or lithium zinc ferrite and PZT films which are arranged in a bilayer or in alternating layers, laminated, and sintered at high temperature. The composites are useful in sensors for detection of magnetic fields (10); sensors for measuring rotation speed, linear speed, or acceleration; read-heads in storage devices by converting bits in magnetic storage devices to electrical signals; magnetolectric media for storing information; and high frequency devices for electric field control of magnetic devices or magnetic field control of electric devices.

NTIS

*Composite Materials; Bimetals; Signal Processing; Electric Fields; Magnetic Fields*

**20070005086** Chico State Coll., CA, USA

**Method and System for Determining the Position of a Short Circuit in a Branched Wiring System**

Rogovin, D. N.; 29 Jul 04; 22 pp.; In English

Contract(s)/Grant(s): FAA-DTFA-03-C-00014

Patent Info.: Filed Filed 29 Jul 04; US-Patent-Appl-SN-10-902-522

Report No.(s): PB2007-103872; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Methods, systems, and articles of manufacture consistent with the present invention provide for determining the location of a short circuit in a branched wiring system. The distance from the short circuit to an impedance measurement point is determined based on a measured impedance of the branched wiring system. The branch in which the short circuit is located is then determined by identifying a calculated high-frequency impedance phase spectrum for the branched wiring system with one of the branches short-circuited that correlates to a measured high-frequency impedance phase spectrum for the branched wiring system. The measured high-frequency impedance phase spectrum is measured from the impedance measurement point.

NTIS

*Patent Applications; Short Circuits; Wiring*

**20070005087** Chico State Coll., CA, USA

**Methods and Systems for Detecting and Locating Damage in a Wire**

Rogovin, D. N.; 29 Jul 04; 25 pp.; In English

Contract(s)/Grant(s): FAA-DTFA-03-C-00014

Patent Info.: Filed Filed 29 Jul 04; US-Patent-Appl-SN-10-901-878

Report No.(s): PB2007-103871; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Methods, systems, and articles of manufacture consistent with the present invention provide for identifying and locating wire damage on a wire. Broadband impedance phase and magnitude information for the wire is obtained. Potential wire damage on the wire is identified by analyzing the wire's low-frequency impedance phase information. The location of the wire damage is found by analyzing the wire's low-frequency impedance magnitude information.

NTIS

*Damage; Damage Assessment; Detection; Patent Applications; Position (Location); Wire*

**20070005088** Chico State Coll., CA, USA

**Methods and Systems for Testing Wire Insulation**

Rogovin, D. N.; Kendig, M. W.; 29 Jul 04; 12 pp.; In English

Contract(s)/Grant(s): FAA-DTFA-03-C-0014

Patent Info.: Filed Filed 29 Jul 04; US-Patent-Appl-SN-10-902-513

Report No.(s): PB2007-103870; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Methods, systems, and articles of manufacture consistent with the present invention provide for determining the environmental resistance of a wire insulation. The broadband impedance of the wire is obtained before and after the wire is exposed to an environmental condition. The real and imaginary components of the dielectric functions are then extracted from the broadband impedances. A tangent of a ratio of the imaginary component to the real component of the dielectric function of the wire prior to exposure is compared to a tangent of a ratio of the imaginary component to the real component of the dielectric function of the wire after to exposure. The two tangents are then compared to determine the environmental resistant of the insulation.

NTIS

*Electrical Insulation; Insulation; Patent Applications; Wire*

**20070005093** Sonnenschein Nath and Rosenthal, Washington, DC, USA

**Method and System for Identifying Damage to a Wire**

Rogovin, D. N.; Kendig, M. W.; 29 Jul 04; 16 pp.; In English

Contract(s)/Grant(s): FAA-DTFA-03-C-00014

Patent Info.: Filed Filed 29 Jul 04; US-Patent-Appl-SN-10-901-577

Report No.(s): PB2007-103869; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Methods, systems, and articles of manufacture consistent with the present invention determine the type of damage to a wire, the amount of damage, and the location of the damage based on the wire's broadband impedance measured from a single measurement point. The type of damage is determined by comparing the wire's calculated dielectric function, resistance and inductance to known values that correspond to types of wire damage. The amount of damage is determined by comparing the wire's low-frequency impedance phase to known low-frequency impedance phase information that corresponds to a known amount of wire damage. The location of damage is determined by comparing the wire's high-frequency impedance phase to

known high-frequency impedance phase information that corresponds to a known location of wire damage.  
NTIS

*Damage; Damage Assessment; Identifying; Patent Applications; Wire*

**20070005101** Illinois Univ., Urbana-Champaign, IL, USA

**Tem Mems Device Holder and Method of Fabrication**

Zhang, M.; Petrov, I.; Wen, J.; Stach, E. A.; Allen, L. H.; 28 Jul 05; 13 pp.; In English

Contract(s)/Grant(s): DEFG02-91-ER54539; DEAC03-76SF00098

Patent Info.: Filed Filed 28 Jul 05; US-Patent-Appl-SN-11-192-300

Report No.(s): PB2007-103840; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A device and method for fabricating a device holder for use with a standard holder body of a transmission electron microscope for use with in situ microscopy of both static and dynamic mechanisms. One or more electrical contact fingers is disposed between a baseplate and a frame, with a MEMS device making contact with the electrical contact fingers. A connector is provided to matingly engage the transmission electron microscope and the device holder to couple the device holder to the transmission electron microscope. Once clamped between the baseplate and frame, the electrical contact fingers may be separated from the template.

NTIS

*Electron Microscopes; Fabrication; Holders; Microelectromechanical Systems; Patent Applications*

**20070005104** Vanderbilt Univ., Nashville, TN, USA, Auburn Univ., AL, USA

**Inclusion of Nitrogen at the Silicon Dioxide-Silicon Carbide Interface for Passivation of Interface Defects**

Chung, G. Y.; Tin, C. C.; Williams, R.; McDonald, K.; De Ventra, M.; 5 May 05; 12 pp.; In English

Contract(s)/Grant(s): DARPA-MDA972-1-0007

Patent Info.: Filed Filed 5 May 05; US-Patent-Appl-SN-11-122-474

Report No.(s): PB2007-103839; No Copyright; Avail.: CASI: [A03](#), Hardcopy

In one aspect the present invention provides a method for manufacturing a silicon carbide semiconductor device. A layer of silicon dioxide is formed on a silicon carbide substrate and nitrogen is incorporated at the silicon dioxide/silicon carbide interface. In one embodiment, nitrogen is incorporated by annealing the semiconductor device in nitric oxide or nitrous oxide. In another embodiment, nitrogen is incorporated by annealing the semiconductor device in ammonia. In another aspect, the present invention provides a silicon carbide semiconductor device that has a 4H-silicon carbide substrate, a layer of silicon dioxide disposed on the 4H-silicon carbide substrate and a region of substantial nitrogen concentration at the silicon dioxide/silicon carbide interface.

NTIS

*Defects; Dioxides; Metal Oxide Semiconductors; Nitrogen; Nitrogen Dioxide; Passivity; Patent Applications; Silicon; Silicon Carbides; Silicon Dioxide*

**20070005106** Illinois Univ., Urbana-Champaign, IL, USA

**Methods for Controlling Dopant Concentration and Activation in Semiconductor Structures**

Seebauer, E. G.; Braatz, R. D.; Jung, M. Y. L.; Gunawan, R.; 28 Jul 05; 36 pp.; In English

Contract(s)/Grant(s): NSF-CTS-98-06329; NSF-02-03237

Patent Info.: Filed Filed 28 Jul 05; US-Patent-Appl-SN-11-192-339

Report No.(s): PB2007-103838; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The present invention provides methods for fabricating semiconductor structures and devices, particularly ultra-shallow doped semiconductor structures exhibiting low electrical resistance. Methods of the present invention use modification of the composition of semiconductor surfaces to allow fabrication of a doped semiconductor structure having a selected dopant concentration depth profile, which provides useful junctions and other device components in microelectronic and nanoelectronic devices, such as transistors in high density integrated circuits. Surface modification in the present invention also allows for control of the concentration and depth profile of defects, such as interstitials and vacancies, in undersaturated semiconductor materials.

NTIS

*Metal Oxide Semiconductors; Patent Applications; Semiconductor Devices; Semiconductors (Materials)*

**20070005111** Ssenterfitt (Akerman), West Palm Beach, FL, USA

**High Sensitivity Array-Based Detection System**

Allman, S. L.; Farquar, H. D.; Chen, C. H.; 30 Jul 04; 9 pp.; In English

Contract(s)/Grant(s): NIJ-2089Q362A1

Patent Info.: Filed Filed 30 Jul 04; US-Patent-Appl-SN-10-903-569

Report No.(s): PB2007-103836; No Copyright; Avail.: CASI: A02, Hardcopy

A high sensitivity array-based detection system includes a plurality of probes immobilized onto a plurality of locations provided on a surface of a patterned array, such as a microarray. At least one target selectively binds to at least one of the plurality of probes when disposed proximate thereto under appropriate conditions, such as temperature and pH. The target includes at least one bound particle. The bound particle includes a plurality of label molecules. Alternatively, the target can be immobilized to the surface of the array, such as from a sample suspected of including the target. An excitation light source provides excitation light incident to one or more locations on the patterned array. A photodetector detects emanated signals from the patterned array. The photodetector can be a commercially available digital camera.

NTIS

*Detection; Patent Applications; Photometers; Sensitivity*

**20070005128** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**How Does Collisionless Magnetic Reconnection Work in the Presence of a Guide Magnetic Field?**

Hesse, Michael; [2006]; 1 pp.; In English; Cosmic Plasma Physics Consortium, 30-31 Oct. 2006, Bochum, Germany; No Copyright; Avail.: Other Sources; Abstract Only

The dissipation mechanism of guide field magnetic reconnection remains a subject of intense scientific interest. On one hand, one set of recent studies have shown that particle inertia-based processes, which include thermal and bulk inertial effects, provide the reconnection electric field in the diffusion region. On the other hand, a second set of studies emphasizes the role of wave-particle interactions in providing anomalous resistivity in the diffusion region. In this presentation, we present analytical theory results, as well as 2.5 and three-dimensional PIC simulations of guide-field magnetic reconnection. We will show that diffusion region scale sizes in moderate and large guide field cases are determined by electron Larmor radii, and that analytical estimates of diffusion region dimensions need to include description of the heat flux tensor. The dominant electron dissipation process appears to be based on thermal electron inertia, expressed through nongyrotropic electron pressure tensors. We will argue that this process remains viable in three dimensions by means of a detailed comparison of high resolution particle-in-cell simulations.

Author

*Magnetic Field Reconnection; Simulation; Energy Dissipation; Three Dimensional Models*

**20070005198** Massachusetts Inst. of Tech., Cambridge, MA USA

**Procedural Layout of a High-Speed Floating-Point Arithmetic Unit**

Armstrong, Robert C; Jun 1985; 118 pp.; In English

Contract(s)/Grant(s): F49620-84-C-0004

Report No.(s): AD-A459612; RLE-TR-508; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459612>; Avail.:

CASI: A06, Hardcopy

This thesis presents a case study in the procedural design of the layout of a complex digital circuit. This is the task of writing a program which constructs a VLSI circuit layout given a set of variable parameters which specify the desired functionality of the circuit. We present a set of techniques for guaranteeing that the constructed circuit obeys the geometric and electrical design rules imposed by the underlying circuit technology. These include a set of simple circuit forms and composition rules for building precharged combinatorial circuits which are free of critical race conditions and charge-sharing problems. As an example, we carry out the creation of a program for building a floating-point addition unit which has selectable number of bits of exponent and fraction in the floating-point representation. The high-level design of a companion floating-point multiplication unit is also discussed.

DTIC

*Arithmetic and Logic Units; Digital Electronics; Floating Point Arithmetic; High Speed; Layouts; Logic Circuits*

**20070005207** Massachusetts Inst. of Tech., Cambridge, MA USA

**Macromodeling CMOS Circuits for Timing Simulation**

Brocco, Lynne M; Jun 1987; 96 pp.; In English

Contract(s)/Grant(s): AFOSR-86-0164

Report No.(s): AD-A459654; RLE TR-459; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459654>; Avail.: CASI: [A05](#), Hardcopy

A macromodeling and timing simulation technique is presented that allows fast, accurate delay calculations for CMOS circuits. This method is well suited for delay calculations of regular structure VLSI circuits, as well as circuits designed from standard cell libraries. Timing models for both logic gate and transmission gate circuit forms are developed. For logic gates, output transition time and delay time are functions of input transition time and load impedance. Effective resistances for conducting transmission gates and switching transmission gates are functions of input transition time and load capacitance. Transmission gate circuits are then modeled as equivalent RC circuits. Separate waveform models and delay calculation methods exist for both types of circuit forms, with an interface to enable the use of both methods in the same simulation. An experimental event-driven simulator was developed to test the accuracy of the macromodels and to estimate improvements in execution time with respect to SPICE. Typical delay times were within 5% for logic gate circuits and 10% for transmission gate circuits when compared with SPICE. The execution time of the experimental simulator was over two orders of magnitude faster than SPICE.

DTIC

*Accuracy; Circuits; CMOS; Simulation; Very Large Scale Integration*

**20070005215** Massachusetts Inst. of Tech., Cambridge, MA USA

### **Graph-based Representations and Coupled Verification of VLSI Schematics and Layouts**

Bamji, Cyrus S; Oct 1989; 202 pp.; In English

Contract(s)/Grant(s): AFOSR-86-0164

Report No.(s): AD-A459681; MIT-RLE-TR-547; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459681>; Avail.:

CASI: [A10](#), Hardcopy

Structural verification of Very Large Scale Integration (VLSI) schematics and layouts is formalized. Both schematics and layouts are modeled as graphs and structural correctness is tied to a rigorous set of graph composition rules which define how blocks of schematics and layouts may be composed. Novel, non-heuristic verification techniques which allow structural verification to be performed for a continuum of schematic and layout block sizes are introduced. Using one potent structural verification mechanism, these techniques provide a unified approach to schematic design style verification, layout design rule verification and schematic vs. layout comparison. The verification techniques are fast and can be performed incrementally as the schematics and layouts are created. For schematic design style verification the composition rules are captured by graph transformations akin to context free grammatical productions. The productions describe how a small set of module symbols may be composed. Using these productions a hierarchical parse tree that can demonstrate the correctness of the schematic is constructed. For layouts the composition rules are represented by graph templates. Design rule verification is achieved by covering the layout graph with these templates. Schematic vs. layout correspondence verification is achieved by allowing individual templates to span both schematics and layouts and simultaneously covering the schematic and layout with these templates.

DTIC

*Electric Networks; Layouts; Network Analysis; Very Large Scale Integration*

**20070005222** Mitre Corp., Bedford, MA USA

### **Multipath Mitigation Performance of Planar GPS Adaptive Antenna Arrays for Precision Landing Ground Stations**

Williams, Jonathan H; Davis, Robert J; Rosario, Eddie N; Jan 2000; 9 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459739; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459739>; Avail.: CASI: [A02](#),

Hardcopy

No abstract available

*Adaptation; Antenna Arrays; Global Positioning System; Ground Stations; Multipath Transmission*

**20070005226** Army Research Lab., Aberdeen Proving Ground, MD USA

### **Numerical Methods for Analysis of Charged Vacancy Diffusion in Dielectric Solids**

Clayton, John D; Chung, Peter W; Greenfield, Michael A; Nothwang, William D; Dec 2006; 40 pp.; In English

Report No.(s): AD-A459751; ARL-TR-4002; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459751>; Avail.:

Defense Technical Information Center (DTIC)

A theory for charged vacancy diffusion in elastic dielectric materials is formulated and implemented numerically in a finite difference code. The governing equations consist of Maxwell's equations of electrostatics coupled with kinetic equations for

vacancy diffusion, with the chemical potential accounting for both mixing energy of vacancies and electrostatically-driven charge migration. A second-order accurate implicit scheme is used to solve Maxwell's parabolic equations, while an explicit method is used to integrate the elliptic evolution equations for transient vacancy concentration. In addition to the theoretical background and numerical methodology, user documentation is included for the computer implementation, presently limited to one-dimensional analysis. Provided here are descriptions of the code structure, user instructions, and a representative application of the software for analysis of barium strontium titanate thin films containing charged oxygen vacancies. The source code is included in the appendix.

DTIC

*Coding; Computer Programs; Dielectrics; Diffusion; Numerical Analysis; Solids; Thin Films*

**20070005235** Sigma Technologies International, Inc., Tucson, AZ USA

**High Energy Density Polymer Film Capacitors**

Boufelfel, Ali; Oct 2006; 105 pp.; In English

Contract(s)/Grant(s): DTRA01-99-C-0082; Proj-OH

Report No.(s): AD-A459821; DTRA-TR04-15-FINAL-PH-II; No Copyright; ONLINE:

<http://hdl.handle.net/100.2/ADA459821>; Avail.: CASI: [A06](#), Hardcopy

High-energy-density capacitors that are compact and light-weight are extremely valuable in a number of critical DoD systems that include portable field equipment, pulsed lasers, detection equipment, and electromagnetic weaponry. Commercial applications in need of high-power sources are also numerous. We can cite: high intensity flash lamps, defibrillators, lasers, and portable field generators. Applications that require high voltage, short pulse length and high rep rates are limited to low loss dielectrics such as polypropylene. Lower rep rate applications can be served with higher loss dielectrics that include polyester (PET), polyphenylene sulfide (PPS), polyethylene naphthalene (PEN) and polyvinylidene difluoride (PVDF). High-energy-density capacitors that are compact and light-weight are extremely valuable in a number of critical DoD systems that include portable field equipment, pulsed lasers, detection equipment, and electromagnetic weaponry. Commercial applications in need of high-power sources are also numerous. We can cite: high intensity flash lamps, defibrillators, lasers, and portable field generators. Applications that require high voltage, short pulse length and high rep rates are limited to low loss dielectrics such as polypropylene. Lower rep rate applications can be served with higher loss dielectrics that include polyester (PET), polyphenylene sulfide (PPS), polyethylene naphthalene (PEN) and polyvinylidene difluoride (PvDF).

DTIC

*Capacitors; Flux Density; Polymeric Films*

**20070005241** Air Force Research Lab., Hanscom AFB, MA USA

**Josephson Junction Triangular Prism Qubits Coupled to a Resonant LC Bus: Qubits and Gates for a Holonomic Quantum Computer**

Yukon, Stanford P; Jan 2004; 12 pp.; In English

Contract(s)/Grant(s): Proj-2304

Report No.(s): AD-A459830; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459830>; Avail.: CASI: [A03](#),

Hardcopy

We investigate the properties of Josephson junction triangular prism qubits coupled by mutual inductance to a resonant LC bus. We show how the symmetries of the qubit potential may be used to implement the Duan Cirac Zoller scheme for holonomic quantum computation.

DTIC

*Josephson Junctions; Prisms*

**20070005261** North Carolina State Univ., Raleigh, NC USA

**Radio Frequency Applications of Barium Strontium Titanate Thin Film Tunable Capacitors**

Tombak, Ali; Jan 2000; 64 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459864; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459864>; Avail.: CASI: [A04](#),

Hardcopy

Properties of thin film barium strontium titanate (BST) based capacitors for RF and microwave components were studied. The capacitors were measured for their tunability, loss tangent, frequency dependence of dielectric permittivity, and behavior at large RF signal amplitudes. A nonlinear equivalent circuit model for tunable BST capacitors was developed. Analysis of a tunable low pass filter fabrication using BST capacitors along with its intermodulation distortion measurements was given.

Several simulations for bandpass filters were performed. Furthermore, a periodically loaded coplanar waveguide phase shifter utilizing the BST capacitors was designed.

DTIC

*Barium Titanates; Capacitors; Radio Frequencies; Strontium Titanates; Thin Films*

**20070005292** Air Force Research Lab., Wright-Patterson AFB, OH USA

**Radiation and Scattering Compact Antenna Laboratory**

Radcliffe, Joshua; Aug 2006; 21 pp.; In English

Contract(s)/Grant(s): Proj-7622

Report No.(s): AD-A459908; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459908>; Avail.: CASI: A03, Hardcopy

In-house applied RF aperture development in the RASCAL laboratory. This interim report contains research centered on leaky wave antenna technology and wideband spiral antenna interferometry. Novel, thin profile, wideband apertures (leaky wave phenomena) have a bright future amongst small vehicle installation. Robust modeling, fabrication, and in-house measurement have led to unique breakthroughs in bandwidth, high efficiency, and end termination schemes. Wideband spiral antenna interferometry has been a new area of direction finding which brings wideband performance to the accuracy of interferometric systems. The validation of this system performance was successfully accomplished via in-house design and measurements.

DTIC

*Antennas; Broadband; Scattering*

**20070005313** FOM-Inst. voor Atoom- en Molecuulfysica, Amsterdam, Netherlands

**E-MRS Spring Meeting - Nanophotonic Materials Session**

Polman, Albert; Lezec, Henri; Atwater, Harry A; May 27, 2004; 21 pp.; In English

Contract(s)/Grant(s): FA8655-04-1-5025

Report No.(s): AD-A459957; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459957>; Avail.: CASI: A03, Hardcopy

The Final Proceedings for E-MRS Spring Meeting - Nanophotonic Materials Session 24 May 2004 - 28 May 2004 .This is an interdisciplinary conference. Topics include Surface plasmon optics in thin films Nanoparticle plasmonic structures, Nanocrystal and nanowire lasers and LEDs, Molecular scale photonics, Nanophotonic mechanisms for waveguiding in and light extraction from optoelectronic devices, Subwavelength scale imaging and pattern transfer Lithographic and non lithographic fabrication methods for nanophotonic materials. Computational methods for nanophotonic materials and devices, Negative index and left-handed materials, Nanophotonic materials for sensors, Materials and structures for inhibited/enhanced optical emission Integration of nanophotonic materials and devices with microphotonics (e.g. photonic crystal devices and other monolithic integrated photonic technologies) Subwavelength, scale photonics at non-optical (infrared microwave) frequencies.

DTIC

*Electro-Optics; Nanotechnology; Optical Materials; Optical Measuring Instruments*

**20070005341** Air Force Research Lab., Hanscom AFB, MA USA

**Improved Temperature Characteristics in Quantum Dot Lasers with Indirect-Bandgap Barriers**

Soref, Richard A; Sun, Gregory; Khurgin, Jacob B; Jun 1, 2003; 4 pp.; In English

Contract(s)/Grant(s): Proj-2305

Report No.(s): AD-A459997; AFRL-SN-HS-TP-2002-1021; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459997>; Avail.: CASI: A01, Hardcopy

This study demonstrates that a much high characteristic- temperature can be achieved with quantum dot lasers of indirect barrier compared to those of direct barrier. The temperature dependence of threshold current is reduced because the injected carriers residing in the barrier at high temperatures recombine slowly.

DTIC

*Energy Gaps (Solid State); Lasers; Quantum Dots; Semiconductors (Materials)*

**20070005361** Naval Research Lab., Washington, DC USA

**Application of Tactitron RCA Type 6441 to Pulse Circuitry**

Cumings, Richard G; Jun 8, 1956; 32 pp.; In English

Contract(s)/Grant(s): Proj-NR-682-230



Report No.(s): AD-A460044; NRL-MR-606; XB-NRL/MR/5300; No Copyright; ONLINE:  
<http://hdl.handle.net/100.2/ADA460044>; Avail.: CASI: A03, Hardcopy

The application of a grid controlled gas discharge tube, tacitron, RCA Type 6441, to radar pulse amplifiers and modulators is examined. The tacitron is found useful in some amplifier applications and one method of extending the applications with external excitation is described. As a modulator for a constant starting phase pulsed R.F. oscillator of one type, the tacitron proves to be a useable tube. As a pulse amplifier for pulse widths under 1,000 microseconds with a very low percentage distortion or as a capacity modulator, it has limited applications.

DTIC

*Circuits; Thyratrons*

**20070005404** Massachusetts Inst. of Tech., Cambridge, MA USA

**Optical Flow Computation via Multiscale Regularization**

Leutgen, Mark R; Karl, W C; Willsky, Alan S; Jun 30, 1992; 41 pp.; In English

Contract(s)/Grant(s): N00014-91-J-1004; AFOSR-88-0032

Report No.(s): AD-A460093; LIDS-P-2115; No Copyright; Avail.: CASI: A03, Hardcopy

The apparent motion of brightness patterns in an image is referred to as the optical flow. In computational vision, optical flow is an important input into higher level vision algorithms performing tasks such as segmentation, tracking, object detection, robot guidance and recovery of shape information. In addition, methods for computing optical flow are an essential part of motion compensated coding schemes. In this paper, we present a new approach to the problem of computing optical flow. Standard formulations of this problem require the computationally intensive solution of an elliptic partial differential equation which arises from the often used 'smoothness constraint' regularization term. We utilize the interpretation of the smoothness constraint as a 'fractal prior' to motivate regularization based on a recently introduced class of multiscale stochastic models. These models are associated with efficient multiscale smoothing algorithms, and experiments on several image sequences demonstrate the substantial computational savings that can be achieved through their use.

DTIC

*Computational Fluid Dynamics; Optical Data Processing; Optical Properties*

**20070005424** Maryland Univ., Baltimore, MD USA

**Analysis of PMD Compensators With Fixed DGD Using Importance Sampling**

Lima, Jr , I T; Biondini, G; Marks, B S; Kath, W L; Menyuk, C R; Dec 20, 2001; 4 pp.; In English

Report No.(s): AD-A460116; No Copyright; Avail.: CASI: A01, Hardcopy

In this letter, we use importance sampling to analyze polarization-mode dispersion compensators with a constant differential group delay (DGD) element. We optimize the value of the fixed DGD element of the compensator with respect to the outage probability. We show that the optimum value of the fixed DGD element of the compensator can reduce the outage probability by several orders of magnitude, even though it does not provide a substantial reduction of the average penalty due to polarization-mode dispersion in the cases that we studied. By contrast, choosing the fixed DGD element to maximally reduce the average penalty may lead to an outage probability that is orders of magnitude larger than the optimal choice.

DTIC

*Birefringence; Compensators; Fiber Optics; Optical Communication; Optical Fibers; Sampling*

**20070005427** North Carolina State Univ., Raleigh, NC USA

**An Integrated Tool for High Speed Circuit Design Including Substrate Effects**

Bollapragada, Rajesh; Jan 2003; 63 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460121; No Copyright; Avail.: CASI: A04, Hardcopy

An integrated environment for layout-oriented design of high speed circuits is the main target of this study. The simulation environment would include a full-wave electromagnetic simulator and a circuit simulator (FREEDA, with a front engine), which is the major emphasis in this document. FREEDA implements local reference node instead of a global ground and this is crucial for distributed circuits. The models are implemented in object-oriented fashion and uses automatic differentiation. The same model can be used for DC, transient and harmonic balance analysis.

DTIC

*Circuits; High Speed; Integrated Circuits; Substrates*

**20070005441** North Carolina State Univ., Raleigh, NC USA

**High Power Spatial Combiners: Tile and Tray Approaches**

Ortiz, Sean C; Jan 2001; 195 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAAG55-97-0132

Report No.(s): AD-A460148; No Copyright; Avail.: CASI: [A09](#), Hardcopy

Spatial power combining is a method of coherently combining the power of many amplifying devices using free space as the power dividing/combining medium in contrast to traditional circuit based combiners. The spatial combiner is formed from an array of amplifying unit cells, with each cell receiving a signal, amplifying it, and then radiating it into free space. Two methods of spatial power combining, tile and tray, are investigated in this thesis. The tile-based spatial combiner consists of a thick ground plane with receiving microstrip patch antennas on one side and transmitting microstrip patch antennas on the other. In addition, amplifiers are placed on both sides of the thick ground plane, which provides efficient heat removal. This research is focused on the optimal array spacing, biasing, and feeding of tile-based arrays to achieve high output power levels at Ka-band (Lockheed Martin was specifically interested in achieving greater than 25 Watts of radiated power under a DARPA MAFET-3 program). Several arrays were developed, consisting of 13, 45, and 98 elements. Noteworthy results were obtained from the experiments with this design approach. A tray-based approach is also investigated in this thesis. This approach differs from the tile-based approach by having multiple ground planes (trays) containing amplifiers stacked to form an array of amplifying unit cells. In addition, microstrip patch antennas are placed at the ends of the trays and radiate in an end-fire pattern with respect to the tray containing the amplifiers. For this purpose, an approach has been developed for the feeding of the microstrip patch antennas. This feeding mechanism allows the amplifiers and radiating elements to be isolated. Thus more room is allowed for the amplifiers, while minimizing coupling that may cause spurious oscillations. This study examines the effect of device failure on the gain, power output, and radiation pattern.

DTIC

*Antenna Arrays; Extremely High Frequencies; Power Amplifiers; Tiles; Trays*

**20070005454** Mitre Corp., Bedford, MA USA

**Measured Effects of a Narrowband Interference Suppressor on GPS Receivers**

Capozza, P T; Holland, B J; Hopkinson, T M; Li, C; Moulin, D; Pacheco, P; Rifkin, R; Jun 1999; 8 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460171; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Global Positioning System; Narrowband; Receivers; Suppressors*

**20070005456** Air Force Research Lab., Hanscom AFB, MA USA

**A Low-Sidelobe Partially Overlapped Constrained Feed Network for Time-Delayed Subarrays**

Mailloux, Robert J; Jul 27, 2000; 13 pp.; In English

Contract(s)/Grant(s): Proj-2304

Report No.(s): AD-A460175; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Completely overlapped space-fed subarrays have been shown to provide sufficient pattern control to enable (modestly) wide-band arrays using time delays at the input to each subarray and phase shifters at the array face. These configurations are bulky, but have been proposed for space-based use as well as for certain ground-based applications that do not have severe volume constraints. Other applications for space-based and airborne radar require much more compact, constrained array feed networks, but until now there have been few appropriate constrained networks for inserting time delay at the subarray ports without causing high sidelobes. This paper describes one such network that, at the outset, provided far lower sidelobes than the usual contiguous subarrays, but retained closely spaced high lobes near the main beam. This paper presents a synthesis procedure that alters the subarray pattern and reduces nearly all array sidelobes to levels determined by tolerance errors. Several examples are presented that synthesize sidelobes at -40 dB. The resulting network operates over 70% to 80% of the maximum theoretical bandwidth.

DTIC

*Antenna Feeds; Delay; Sidelobes*

**20070005459** Space and Naval Warfare Systems Center, San Diego, CA USA

**Ultra Small Aperture Terminal (USAT) Phased Array Technology Demonstrator**

Axford, Jr, Roy A; Nov 25, 2003; 6 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460178; No Copyright; Avail.: CASI: [A02](#), Hardcopy

This paper describes a K/Ka-band ('two-way Ka') active phased array antenna and mobile terminal technology development project co-sponsored by the Office of Naval Research (ONR) Future Naval Capability (FNC) Program and the Defense Advanced Research Projects Agency (DARPA) Future Combat Systems Communications (FCSC) Program. The objective of the ONRIDARPA Ultra Small Aperture Terminal (USAT) Project is to advance the state-of-the-art in K/Ka-band active phased array antenna components and miniaturized frequency converters to provide wideband satellite communications on the move (COTM) capabilities for mobile vehicles. By using a mechanically augmented phased array (MAPA) antenna, USAT demonstrates these technologies in a prototype that requires one transmit array and one receive array to achieve full-hemispherical coverage.

DTIC

*Apertures; Arrays; Phased Arrays; Proving; Satellite Communication*

**20070005462** Southern Univ., Baton Rouge, LA USA

**Predictive Computations of Properties of Wide-Gap and Nano-Semiconductors**

Bagayoko, Diola; Zhao, G L; Jan 2007; 67 pp.; In English

Contract(s)/Grant(s): N00014-05-1-0009

Report No.(s): AD-A460186; No Copyright; Avail.: CASI: [A04](#), Hardcopy

We report the progress made in the implementation of the afore-mentioned project. To date, we have strictly adhered to the provisions in the proposal relative to the research personnel, materials under study, and the overall research tasks (i.e., computations and theoretical analysis). We have consequently generated new knowledge that is reported in several articles. Twelve (12) articles have already been published, including six (6) in refereed journals and four (4) in refereed conference proceedings. These articles are appended to this report. We have made fourteen (14) technical presentations on our findings, including at two (2) national and two (2) international conferences. The utter significance of our findings resides in the fact that they have confirmed our resolution of a long-standing problem in materials science stemming from a 30 to 50% or more underestimation, by theory, of the measured energy gaps (atoms, molecules, and clusters) and band gaps (semiconductors and insulators). Specifically, density functional theory (DFT) and its local density approximation (LDA) had been blamed, before our work, for the resulting gross disagreements between theory and experiment. Unlike previous works, we have obtained the experimentally measured band gaps of wurtzite InN, ZnO, and of several single walled carbon nanotubes. This feat was accomplished by our utilization of the Bagayoko, Zhao, and Williams (BZW) method. Further, we have predicted the band gap of cubic InN for which no experimental results are available. It is befitting that this ONR funded project exonerated DFT and LDA that were obtained by a project funded by ONR and for which Dr. Walter Kohn received the 1998 Nobel Prize in Chemistry.

DTIC

*Nanotechnology; Prediction Analysis Techniques; Predictions; Semiconductors (Materials)*

**20070005518** Naval Postgraduate School, Monterey, CA USA

**A Methodology for Improving the Shipyard Planning Process: Using KVA Analysis, Risk Simulation and Strategic Real Options**

Housel, Thomas; Hom, Sandra; Mun, Jonathan; Sep 30, 2006; 97 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460369; NPS-GSBPP-06-022; No Copyright; Avail.: CASI: [A05](#), Hardcopy

The U.S. Navy must be extremely diligent with its maintenance policies to ensure that ships and submarines meet national defense objectives. Maximizing the Navy's readiness requires continuous process improvement and innovation, and making information technology (IT) acquisitions that leverage technological advances to reduce costs and increase efficiency levels. Measurement tools are essential to define, capture, measure and evaluate the total value of potential IT acquisitions to ensure the likelihood of success. This paper describes research conducted on the Knowledge Value Added/Real Options (KVA+RO) Valuation Framework. A comprehensive tool, KVA+RO was applied to Naval maintenance processes in a case study analyzing

DTIC

*Commercial Off-the-Shelf Products; Maintenance; Policies; Risk; Shipyards; Simulation; Systems Integration*

## FLUID MECHANICS AND THERMODYNAMICS

Includes fluid dynamics and kinematics and all forms of heat transfer; boundary layer flow; hydrodynamics; hydraulics; fluidics; mass transfer and ablation cooling. For related information see also *02 Aerodynamics*.

**20070003678** NASA Ames Research Center, Moffett Field, CA, USA

### **Arcjet Semi-Elliptic Nozzle Simulations and Validation in Support of TPS Testing**

Loomis, Mark; Polsky, Susan; Venkatapaty, Ethiraj; Bailboni, John; Terrazas-Salinas, Imelda; [2998]; 1 pp.; In English; 36th AIAA Aerospace Sciences Meeting and Exhibit, 12-15 Jan. 1998, Reno, NV, USA

Contract(s)/Grant(s): RTOP 242-33-01; No Copyright; Avail.: Other Sources; Abstract Only

Much of the ground based testing of advanced thermal protection system (TPS) components for the X33 program is done in arc-heated wind tunnels such as those located in the Arc-Jet Complex at NASA Ames Research Center. These facilities are capable of simulating the high temperature, chemically reacting flow environment experienced by the vehicle during flight. This allows one to test critical design issues such as maximum reuse temperatures, seals, gaps, and increases in heating due to interfaces between different materials. Computational fluid dynamics (CFD) has evolved to the point where it now can be used in the vehicle design process for accurate and timely prediction of trajectory based aerothermal heating environments for re-entry vehicles. It can also be used for simulation of the flow environments in ground based facilities such as arcjets. By utilization of the same CFD code and solution methodology, the important differences between ground test and flight may be quantified. The goal of this paper is to utilize CFD to provide validated simulations of the flow environment in the NASA-Ames semi elliptic nozzle arcjet facilities. The validation of the ground simulations will come from comparison to existing calibration data. Specific tests in support of the X33 TPS test program will also be simulated. In this manner, the differences between the ground test simulation and the flight environment can be identified for a measure of ground test to flight traceability.

Author

*Computational Fluid Dynamics; Simulation; Thermal Protection; Nozzle Design; Arc Jet Engines; Wind Tunnel Tests*

**20070003681** NASA Johnson Space Center, Houston, TX, USA

### **Assessment of Turbulent Shock-Boundary Layer Interaction Computations Using the OVERFLOW Code**

Oliver, A. B.; Lillard, R. P.; Schwing, A. M.; Blaisdell, G/g A.; Lyrintzis, A. S.; [2007]; 26 pp.; In English; 45th AIAA Aerospace Sciences Meeting, 8-12 Jan. 2007, Reno, NV, USA

Contract(s)/Grant(s): NNJ04HI12G; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003681>; Avail.: CASI: A03, Hardcopy

The performance of two popular turbulence models, the Spalart-Allmaras model and Menter's SST model, and one relatively new model, Olsen & Coakley's Lag model, are evaluated using the OVERFLOW code. Turbulent shock-boundary layer interaction predictions are evaluated with three different experimental datasets: a series of 2D compression ramps at Mach 2.87, a series of 2D compression ramps at Mach 2.94, and an axisymmetric cone-flare at Mach 11. The experimental datasets include flows with no separation, moderate separation, and significant separation, and use several different experimental measurement techniques (including laser doppler velocimetry (LDV), pitot-probe measurement, inclined hot-wire probe measurement, preston tube skin friction measurement, and surface pressure measurement). Additionally, the OVERFLOW solutions are compared to the solutions of a second CFD code, DPLR. The predictions for weak shock-boundary layer interactions are in reasonable agreement with the experimental data. For strong shock-boundary layer interactions, all of the turbulence models overpredict the separation size and fail to predict the correct skin friction recovery distribution. In most cases, surface pressure predictions show too much upstream influence, however including the tunnel side-wall boundary layers in the computation improves the separation predictions.

Author

*Boundary Layers; Computational Fluid Dynamics; Shock Wave Interaction; Turbulence Models*

**20070003682** NASA Marshall Space Flight Center, Huntsville, AL, USA

### **NASA/MSFC's Calculation for Test Case 1a of ATAC-FSDC Workshop on After-body and Nozzle Flows**

Ruf, Joseph H.; November 16, 2006; 4 pp.; In English; ATAC-FSDC Workshop on Afterbody and Nozzle Flows, 15-16 Nov. 2006, Noordwijk, Netherlands; Original contains color and black and white illustrations; No Copyright; ONLINE:

<http://hdl.handle.net/2060/20070003682>; Avail.: CASI: A01, Hardcopy

Mr. Ruf of NASA/MSFC executed the CHEM computational fluid dynamics (CFD) code to provide a prediction of the test case 1 a for the ATAC-FSDC Workshop on After-body and Nozzle Flows. CHEM is used extensively at MSFC for a wide

variety of fluid dynamic problems. These problems include; injector element flows, nozzle flows, feed line flows, turbomachinery flows, solid rocket motor internal flows, plume vehicle flow interactions, etc.

Author

*Afterbodies; Computational Fluid Dynamics; Nozzle Flow; Solid Propellant Rocket Engines*

**20070003715** Army Research Lab., Aberdeen Proving Ground, MD USA

**Application of Computational Fluid Dynamics to a Preliminary Extended Area Protection System (EAPS) Projectile**

Sep 2006; 30 pp.; In English

Contract(s)/Grant(s): Proj-622618H80

Report No.(s): AD-A459349; ARL-MR-649; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Computational Fluid Dynamics; Projectiles; Protection*

**20070004666** Louisiana State Univ., Baton Rouge, LA USA

**Boussinesq Modeling of Alongshore Swash Zone Currents**

Dec 2006; 20 pp.; In English

Contract(s)/Grant(s): N00014-04-1-0310

Report No.(s): AD-A459755; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Splashing; Models; Wave Propagation; Shorelines; Water Currents*

**20070004719** Sandia Corp., Albuquerque, NM, USA

**Mobile Monolithic Polymer Elements for Flow Control in Microfluidic Devices**

Hasselbrink, E. F.; Rehm, J. E.; Shepodd, T. J.; Kirby, B. J.; 4 Sep 03; 20 pp.; In English

Patent Info.: Filed Filed 4 Sep 03; US-Patent-Appl-SN-10-655 337

Report No.(s): PB2007-100878; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A cast-in-place and lithographically shaped mobile, monolithic polymer element for fluid flow control in microfluidic devices and method of manufacture. Microfluid flow control devices, or microvalves that provide for control of fluid or ionic current flow can be made incorporating a cast-in-place, mobile monolithic polymer element, disposed within a microchannel, and driven by fluid pressure (either liquid or gas) against a retaining or sealing surface. The polymer elements are made by the application of lithographic methods to monomer mixtures formulated in such a way that the polymer will not bond to microchannel walls. The polymer elements can seal against pressures greater than 5000 psi, and have a response time on the order of milliseconds. By the use of energetic radiation it is possible to depolymerize selected regions of the polymer element to form shapes that cannot be produced by conventional lithographic patterning and would be impossible to machine.

NTIS

*Control Equipment; Microfluidic Devices; Polymers*

**20070004720** Los Alamos National Lab., NM USA

**Noninvasive Characterization of a Flowing Multiphase Fluid Using Ultrasonic Interferometry**

18 Nov 04; 17 pp.; In English

Contract(s)/Grant(s): DE-W-7405-ENG-36

Patent Info.: Filed Filed 18 Nov 04; US-Patent-Appl-SN-10-993 045

Report No.(s): PB2007-100877; No Copyright; Avail.: CASI: [A03](#), Hardcopy

An apparatus for noninvasively monitoring the flow and/or the composition of a flowing liquid using ultrasound is described. The position of the resonance peaks for a fluid excited by a swept-frequency ultrasonic signal have been found to change frequency both in response to a change in composition and in response to a change in the flow velocity thereof. Additionally, the distance between successive resonance peaks does not change as a function of flow, but rather in response to a change in composition. Thus, a measurement of both parameters (resonance position and resonance spacing), once calibrated, permits the simultaneous determination of flow rate and composition using the apparatus and method of the present invention.

NTIS

*Interferometry; Ultrasonics*

**20070004847** National Inst. of Standards and Technology, Gaithersburg, MD, USA

**Benchmark Experimental Database for Multiphase Combustion Model Input and Validation: A Users Follow-Up**

Presser, C.; January 2005; 6 pp.; In English

Report No.(s): PB2007-105058; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Optimization of the performance of industrial combustion systems is relying increasingly on computational models and simulations to provide relevant process information in a cost-effective manner. Although computational fluid dynamics (CFD) offers a cost-effective alternative to experiments, the accuracy of the CFD models must first be assured. This is accomplished by two means: verification and validation. This paper describes a benchmark case suitable for validation of multiphase combustion models and submodels. The benchmark includes a reference spray combustor to provide well-defined input and boundary conditions, enabling measurements to characterize the fuel spray, combustion air, wall temperatures, exhaust gas temperatures and species concentrations. The characteristics (i.e., size, velocity, volume flux, etc.) of the methanol spray were determined using phase Doppler interferometry. Fourier-transform infrared spectroscopy was used to measure species concentrations in the reactor exhaust and the conversion of methanol. The inlet combustion air was characterized using particle image velocimetry and a five-hole pitot probe. The measurements constitute a database sufficiently complete for code validation; subsequently, several research groups have carried out simulations of the facility to varying degrees of success. This paper describes the development of the database and some of the modeling issues and needs.

NTIS

*Combustion; Combustion Physics; Data Bases; Fluid Dynamics*

**20070004850** National Inst. of Standards and Technology, Gaithersburg, MD USA, Hughes Associates, Inc., Baltimore, MD, USA

**Fire Dynamics Simulator (Version 3) User's Guide**

McGrattan, K. B.; Forney, G. P.; Floyd, J. E.; Hostikka, S.; Prasad, K.; Nov. 2002; 81 pp.; In English

Report No.(s): PB2007-105106; NISTIR-6784-2002-ED; No Copyright; Avail.: National Technical Information Service (NTIS)

Fire Dynamics Simulator (FDS) is a computational fluid dynamics (CFD) model of fire-driven fluid flow. The software described in this document solves numerically a form of the Navier-Stokes equations appropriate for low-speed, thermally-driven flow with an emphasis on smoke and heat transport from fires. The formulation of the equations and the numerical algorithm are contained in a companion document, called Fire Dynamics Simulator (Version 3) Technical Reference Guide. Smokeview is a visualization program that is used to display the results of an FDS simulation. The basics of Smokeview are described in this document. A more detailed description can be found in a companion document, called Users Guide for Smokeview Version 3.1 A Tool for Visualizing Fire Dynamics Simulation Data.

NTIS

*Combustion Physics; Computational Fluid Dynamics; Fires; Simulators*

**20070004851** National Inst. of Standards and Technology, Gaithersburg, MD USA

**Fire Dynamics Simulation (Version 2): Technical Reference Guide**

McGrattan, K. B.; Baum, H. R.; Rehm, R. G.; Hamins, A.; Forney, G. P.; Nov. 2001; 48 pp.; In English

Report No.(s): PB2007-105062; NISTIR-6783; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The idea that the dynamics of a fire might be studied numerically dates back to the beginning of the computer age. Indeed, the fundamental conservation equations governing fluid dynamics, heat transfer, and combustion were first written down over a century ago. Despite this, practical mathematical models of fire (as distinct from controlled combustion) are relatively recent due to the inherent complexity of the problem. Indeed, in his brief history of the early days of fire research, Hoyt Hottel noted a case can be made for fire being, next to the life processes, the most complex of phenomena to understand. The difficulties revolve about three issues: First, there are an enormous number of possible fire scenarios to consider due to their accidental nature. Second, the physical insight and computing power necessary to perform all the necessary calculations for most fire scenarios are limited. Any fundamentally based study of fires must consider at least some aspects of bluff body aerodynamics, multi-phase flow, turbulent mixing and combustion, radiative transport, and conjugate heat transfer; all of which are active research areas in their own right. Finally, the fuel in most fires was never intended as such. Thus, the mathematical models and the data needed to characterize the degradation of the condensed phase materials that supply the fuel may not be available. Indeed, the mathematical modeling of the physical and chemical transformations of real materials as they burn is still in its infancy. In order to make progress, the questions that are asked have to be greatly simplified. To begin with, instead of seeking a methodology that can be applied to all fire problems, we begin by looking at a few scenarios that seem to be most amenable to analysis. Hopefully, the methods developed to study these simple problems can be generalized over time so that more

complex scenarios can be analyzed. Second, we must learn to live with idealized descriptions of fires and approximate solutions to our idealized equations. Finally, the methods should be capable of systematic improvement. As our physical insight and computing power grow more powerful, the methods of analysis can grow with them. To date, three distinct approaches to the simulation of fires have emerged. Each of these treats the fire as an inherently three dimensional process evolving in time. The first to reach maturity, the zone models, describe compartment fires. Each compartment is divided into two spatially homogeneous volumes, a hot upper layer and a cool lower layer. Mass and energy balances are enforced for each layer, with additional models describing other physical processes appended as differential or algebraic equations as appropriate. Examples of such phenomena include fire plumes, flows through doors, windows and other vents, radiative and convective heat transfer, and solid fuel pyrolysis. An excellent description of the physical and mathematical assumptions behind the zone modeling concept is given by Quintiere, who chronicles developments through 1983. Model development since then has progressed to the point where documented and supported software implementing these models are widely available.

NTIS

*Combustion Physics; Computational Fluid Dynamics; Fires; Simulation*

**20070004940** Lockheed Martin Energy Systems, Inc., Oak Ridge, TN, USA

**Methods for Forming Small-Volume Electrical Contacts and Material Manipulations with Fluidic Microchannels**

Jacobson, S. C.; Ramsey, J. M.; 23 Sep 03; 30 pp.; In English

Contract(s)/Grant(s): DE-AC05-96-OR22464

Patent Info.: Filed 23 Sep 03; US-Patent-Appl-SN-10-668 930

Report No.(s): PB2007-100885; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A microfabricated device employing a bridging membrane and methods for electrokinetic transport of a liquid phase biological or chemical material using the same are described. The bridging membrane is deployed in or adjacent to a microchannel and permits either ionic current flow or the transport of gas species, while inhibiting the bulk flow of material. The use of bridging membranes in accordance with this invention is applicable to a variety of processes, including electrokinetically induced pressure flow in a region of a microchannel that is not influenced by an electric field, sample concentration enhancement and injection, as well as improving the analysis of materials where it is desired to eliminate electrophoretic bias. Other applications of the bridging membranes according to this invention include the separation of species from a sample material, valving of fluids in a microchannel network, mixing of different materials in a microchannel, and the pumping of fluids.

NTIS

*Electric Contacts; Fluidics; Microchannels; Patent Applications*

**20070005051** Sandia National Labs., Albuquerque, NM USA

**Validation Experiments to Determine Radiation Partitioning of Heat Flux to an Object in a Fully Turbulent Fire**

Ricks, A.; Blanchat, T.; Jernigan, D.; Jun. 2006; 80 pp.; In English

Report No.(s): DE2006-887481; SAND2006-3494; No Copyright; Avail.: National Technical Information Service (NTIS)

It is necessary to improve understanding and develop validation data of the heat flux incident to an object located within the fire plume for the validation of SIERRA/ FUEGO/SYRINX fire and SIERRA/CALORE. One key aspect of the validation data sets is the determination of the relative contribution of the radiative and convective heat fluxes. To meet this objective, a cylindrical calorimeter with sufficient instrumentation to measure total and radiative heat flux had been designed and fabricated. This calorimeter will be tested both in the controlled radiative environment of the Penlight facility and in a fire environment in the FLAME/Radiant Heat (FRH) facility. Validation experiments are specifically designed for direct comparison with the computational predictions. Making meaningful comparisons between the computational and experimental results requires careful characterization and control of the experimental features or parameters used as inputs into the computational model. Validation experiments must be designed to capture the essential physical phenomena, including all relevant initial and boundary conditions. A significant question of interest to modeling heat flux incident to an object in or near a fire is the contribution of the radiation and convection modes of heat transfer. The series of experiments documented in this test plan is designed to provide data on the radiation partitioning, defined as the fraction of the total heat flux that is due to radiation.

NTIS

*Fires; Heat Flux; Radiant Heating; Radiative Heat Transfer; Turbulence*

**20070005098** Jefferson (Thomas) Lab. Computer Center, Newport News, VA, USA

**New High Capacity Getter for Vacuum Insulated Mobile Liquid Hydrogen Storage Systems**

Londer, H.; Myneni, G. R.; Adderley, P.; Bartlok, G.; Setina, J.; January 2005; 16 pp.; In English  
Report No.(s): DE2006-886686; No Copyright; Avail.: Department of Energy Information Bridge

Current Non evaporable getters (NEGs), based on the principle of metallic surface sorption of gas molecules, are important tools for the improving the performance of many vacuum systems. High porosity alloys or powder mixtures of Zr, Ti, Al, V, Fe and other metals are the base materials for this type of getters. The continuous development of vacuum technologies has created new challenges for the field of getter materials. The main sorption parameters of the current NEGs, namely, pumping speed and sorption capacity, have reached certain upper limits. Chemically active metals are the basis of a new generation of NEGs. The introduction of these new materials with high sorption capacity at room temperature is a long-awaited development. These new materials enable the new generation of NEGs to reach faster pumping speeds, significantly higher sticking rates and sorption capacities up to 104 times higher during their lifetimes. Our development efforts focus on producing these chemically active metals with controlled insulation or protection. The main structural forms of our new getter materials are spherical powders, granules and porous multi-layers. The full pumping performance can take place at room temperature with activation temperatures ranging from room temperature to 650C. In one of our first pilot projects, our proprietary getter solution was successfully introduced as a getter pump in a double-wall mobile LH2 tank system. Our getters were shown to have very high sorption capacity of all relevant residual gases, including H2. This new concept opens the opportunity for significant vacuum improvements, especially in the field of H2 pumping which is an important task in many different vacuum applications.

NTIS

*Getters; High Vacuum; Liquid Hydrogen; Storage Tanks*

**20070005139** NASA Langley Research Center, Hampton, VA, USA

**Probabilistic Thermal Analysis During Mars Reconnaissance Orbiter Aerobraking**

Dec, John A.; [2007]; 11 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Report No.(s): AIAA Paper 2007-1214; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005139>; Avail.: CASI: A03, Hardcopy

A method for performing a probabilistic thermal analysis during aerobraking has been developed. The analysis is performed on the Mars Reconnaissance Orbiter solar array during aerobraking. The methodology makes use of a response surface model derived from a more complex finite element thermal model of the solar array. The response surface is a quadratic equation which calculates the peak temperature for a given orbit drag pass at a specific location on the solar panel. Five different response surface equations are used, one of which predicts the overall maximum solar panel temperature, and the remaining four predict the temperatures of the solar panel thermal sensors. The variables used to define the response surface can be characterized as either environmental, material property, or modeling variables. Response surface variables are statistically varied in a Monte Carlo simulation. The Monte Carlo simulation produces mean temperatures and 3 sigma bounds as well as the probability of exceeding the designated flight allowable temperature for a given orbit. Response surface temperature predictions are compared with the Mars Reconnaissance Orbiter flight temperature data.

Author

*Thermal Analysis; Aerobraking; Probability Theory; Mars Reconnaissance Orbiter; Solar Arrays*

**20070005147** NASA Langley Research Center, Hampton, VA, USA

**Development of Supersonic Combustion Experiments for CFD Modeling**

Baurle, Robert; Bivolaru, Daniel; Tedder, Sarah; Danehy, Paul M.; Cutler, Andrew D.; Magnotti, Gaetano; [2007]; 13 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Contract(s)/Grant(s): NNL06AA16A; WBS 599489.02.07.07

Report No.(s): AIAA Paper 2007-0978; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005147>; Avail.: CASI: A03, Hardcopy

This paper describes the development of an experiment to acquire data for developing and validating computational fluid dynamics (CFD) models for turbulence in supersonic combusting flows. The intent is that the flow field would be simple yet relevant to flows within hypersonic air-breathing engine combustors undergoing testing in vitiated-air ground-testing facilities. Specifically, it describes development of laboratory-scale hardware to produce a supersonic combusting coaxial jet, discusses design calculations, operability and types of flames observed. These flames are studied using the dual-pump coherent anti-



Stokes Raman spectroscopy (CARS) - interferometric Rayleigh scattering (IRS) technique. This technique simultaneously and instantaneously measures temperature, composition, and velocity in the flow, from which many of the important turbulence statistics can be found. Some preliminary CARS data are presented.

Author

*Computational Fluid Dynamics; Supersonic Combustion; Supersonic Jet Flow; Hypersonics; Air Breathing Engines; Flames*

**20070005218** Connecticut Univ., Storrs, CT USA

**Unsteady Storm Drainage Modeling Within the U.S. Army Corps of Engineers GSSHA Model**

Ogden, Fred L; Jan 2004; 95 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAAD19-03-1-0355

Report No.(s): AD-A459712; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459712>; Avail.: CASI: A05,

Hardcopy

The ability to specifically simulate unsteady hydraulics in subsurface storm and tile drains was included in the formulation of the Gridded Surface Subsurface Hydrologic Analysis (GSSHA) model. Simulations were performed to determine model sensitivity to parameters, and the hydrologic significance of subsurface drains.

DTIC

*Drainage; Engineers; Hydraulics; Sewers; Storms; Surface Water*

**20070005236** Scripps Institution of Oceanography, La Jolla, CA USA

**Analysis and Modeling of Surfzone Turbulence and Bubbles**

Feddersen, Falk; Nov 29, 2006; 6 pp.; In English

Contract(s)/Grant(s): N00014-04-1-0218

Report No.(s): AD-A459822; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459822>; Avail.: CASI: A02,

Hardcopy

Turbulence in the surfzone and nearshore mixes momentum vertically, transmits stress to the sea bed, influences the structure of the cross- and alongshore currents, and controls the suspension of sediment from the sea bed. In many coastal and shelf environments, the sea-bed is the primary source of turbulence due to bottom induced shear. In the surfzone, the breaking-wave generated turbulence likely dominates over bottom generated turbulence. However, the dynamics of turbulence in the nearshore and surfzone under breaking waves is poorly understood. Realistic three-dimensional simulations of surfzone hydrodynamics and sediment transport, which are currently being attempted, for example, in the recent nearshore NOPP project, will not be possible without at least a rudimentary understanding of nearshore turbulence dynamics. Long term goals include addressing some of the unresolved science issues through analysis and modeling of existing field measurements to quantify turbulence dynamics.

DTIC

*Bubbles; Models; Turbulence*

**20070005334** Massachusetts Inst. of Tech., Cambridge, MA USA

**Efficient Multiscale Regularization with Applications to the Computation of Optical Flow**

Luetten, Mark R; Karl, W C; Willsky, Alan S; Apr 1993; 54 pp.; In English

Contract(s)/Grant(s): AFOSR-92-J-0002

Report No.(s): AD-A459986; MIT-LIDS-P-2175; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459986>; Avail.:

CASI: A04, Hardcopy

A new approach to regularization methods for image processing is introduced and developed using as a vehicle the problem of computing dense optical flow fields in an image sequence. Standard formulations of this problem require the computationally intensive solution of an elliptic partial differential equation which arises from the often used 'smoothness constraint' type regularization. We utilize the interpretation of the smoothness constraint as a 'fractal prior' to motivate regularization based on a recently introduced class of multiscale stochastic models. The solution of the new problem formulation is computed with an efficient multiscale algorithm.

DTIC

*Flow Distribution; Images*

## INSTRUMENTATION AND PHOTOGRAPHY

Includes remote sensors; measuring instruments and gages; detectors; cameras and photographic supplies; and holography. For aerial photography see *43 Earth Resources and Remote Sensing*. For related information see also *06 Avionics and Aircraft Instrumentation*; and *19 Spacecraft Instrumentation and Astrionics*.

**20070003517** University of Southern California, Marina del Rey, CA USA

**Acquisition of Time-Varying Participating Media**

Hawkins, Tim; Einarsson, Per; Debevec, Paul; Jan 2005; 5 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459153; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459153>; Avail.: CASI: A01,

Hardcopy

We present a technique for capturing time-varying volumetric data of participating media. A laser sheet is swept repeatedly through the volume, and the scattered light is imaged using a high-speed camera. Each sweep of the laser provides a near-simultaneous volume of density values. We demonstrate rendered animations under changing viewpoint and illumination, making use of measured values for the scattering phase function and albedo.

DTIC

*Computer Graphics; Fluid Flow; Time; Variations*

**20070003691** Massachusetts Inst. of Tech., Cambridge, MA USA

**Face Detection in Still Gray Images**

May 2000; 28 pp.; In English

Contract(s)/Grant(s): N00014-93-1-3085; N00014-95-1-0600

Report No.(s): AD-A459705; No Copyright; Avail.: CASI: A03, Hardcopy

No abstract available

*Image Processing; Image Analysis; Image Reconstruction; Face (Anatomy)*

**20070003701** Army Tank-Automotive and Armaments Command, Warren, MI USA

**A Support Vector Machine Application on Vehicles**

Del Rose, Michael; Reed, Jack; Jul 2001; 7 pp.; In English

Report No.(s): AD-A459231; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459231>; Avail.: CASI: A02,

Hardcopy

In this paper, methods of choosing a vehicle out of an image are explored. Digital images are taken from a monocular camera. Image processing techniques are applied to each single frame picture to create the feature vector. Finally the resulting features are used to classify whether there is a car in the picture or not using support vector machines. The result are compared to those obtained using a neural network. A discussion on techniques to enhance the feature vector and the results from both learning machines will be included.

DTIC

*Image Processing; Vehicles*

**20070003845** Massachusetts Inst. of Tech., Cambridge, MA USA

**On the Distributions of Optimized Multiscale Representations**

Krim, Hamid; Dec 1996; 6 pp.; In English

Contract(s)/Grant(s): DAAL03-92-G-115; F49620-95-1-0083

Report No.(s): AD-A458890; LIDS-P-2376; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458890>; Avail.:

CASI: A02, Hardcopy

Adapted wavelet analysis of signals is achieved by optimizing a selected criterion. We recently introduced a majorization framework for constructing selection functionals, which can be as well suited to compression as entropy or others. We show how these functionals operate on the basis selection and their effect on the statistics of the resulting representation.

DTIC

*Signal Processing; Optimization*

**20070004662** Army Tank-Automotive and Armaments Command, Warren, MI USA

**Target/Background Polarization Profiles Using a COTS Digital Camera**

Aug 15, 2000; 13 pp.; In English

Report No.(s): AD-A459795; TACOM-16232; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Digital Cameras; Targets; Optical Polarization; Image Analysis; Image Enhancement*

**20070004733** Army Research Lab., Aberdeen Proving Ground, MD USA

**Test Report on the November 2005 NATO RTG-40 Active Imager Land Field Trials**

Dec 2006; 74 pp.; In English

Report No.(s): AD-A459787; ARL-TR-4010; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*North Atlantic Treaty Organization (NATO); Imaging Techniques; Thermoelectric Generators; Radioisotope Batteries*

**20070005202** Naval Research Lab., Washington, DC USA

**A Deception Repeater for Conical-Scan Automatic Tracking Radars**

Brandenburg, R L; Sep 27, 1956; 31 pp.; In English

Report No.(s): AD-A459647; NRL-4811; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459647>; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Automatic Control; Conical Scanning; Deception; Radar Tracking; Repeaters; Tracking (Position)*

**20070005203** Naval Research Lab., Washington, DC USA

**A HF Pulsed Transmitter for an Experimental Cross-Correlation Radar System**

Boyd, F E; Cumings, R G; Nov 1, 1956; 25 pp.; In English

Report No.(s): AD-A459648; NRL-4848; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459648>; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Cross Correlation; Radar Transmitters; Transmitters*

**20070005205** Naval Research Lab., Washington, DC USA

**A Wide-Band Video Noise Source, 50 KC to 10 MC**

Griffin, Fred T; Apr 2, 1957; 13 pp.; In English

Report No.(s): AD-A459651; NRL-4889; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459651>; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Broadband; Noise Generators; Radar Transmitters; Video Signals*

**20070005317** California Inst. of Tech., Pasadena, CA USA

**Voltage-Controlled Tunable GaAs/AlGaAs Multistack Quantum Well Infrared Detector**

Grave, I; Shakouri, A; Kuze, N; Yariv, A; May 11, 1992; 4 pp.; In English

Report No.(s): AD-A459962; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459962>; Avail.: CASI: [A01](#), Hardcopy

We describe a new type of intersubband GaAs/AlGaAs infrared detector consisting of three stacks of quantum wells; the quantum wells in a given stack-are identical, but are different from stack to stack. Each stack is designed to yield an absorption and a photoresponse at a different peak wavelength. The resulting device is an infrared detector which can operate in a number of modes. Among the features of this device are a wide-band detection domain, a tunable response and excellent responsivities and noise figures. The tunable operation includes a sharp peak-switching response which follows the formation, expansion, and readjustment of electric field domains within the multiquantum well region.

DTIC

*Aluminum Gallium Arsenides; Electric Potential; Gallium Arsenides; Infrared Detectors; Quantum Wells; Semiconductors (Materials)*

**20070005342** California Inst. of Tech., Pasadena, CA USA

**Quantum Interference Effect and Electric Field Domain Formation in Quantum Well Infrared Photodetectors**

Xu, Yuanjian; Shakouri, Ali; Yariv, Amnon; Jun 1995; 4 pp.; In English

Report No.(s): AD-A459998; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459998>; Avail.: CASI: A01, Hardcopy

An observation of quantum interference effect in photocurrent spectra of a weakly coupled bound-to-continuum multiple quantum well photodetector is reported. This effect persists even at high biases where the Kronig Penney minibands of periodic superlattice potential in the continuum are destroyed. Our results show that electrons remain coherent over a distance of 40 50 nm. The observation was used to investigate electric field domain formation induced by sequential resonant tunneling in the superlattice. A large off-resonant energy level alignment between two neighboring wells in the high field domain was observed.

DTIC

*Electric Fields; Infrared Radiation; Photometers; Quantum Wells*

**20070005372** Army Chemical Research and Development Center, Aberdeen Proving Ground, MD USA

**Chemical Vapor Detection with a Multispectral Thermal Imager**

Althouse, Mark L G; Chang, Chein-I; Nov 1991; 10 pp.; In English

Report No.(s): AD-A460011; No Copyright; Avail.: CASI: A02, Hardcopy

Detection of chemical vapors with a remote sensor is necessary for both military defense and civilian pollution control. The thermal imager is a natural instrument from which to build a chemical sensor since most chemical vapors of interest are spectrally active in its operating wavelength range. A system has been designed to place a chemical detection capability as an adjunct function in a military thermal imager. An additional detector array, which is spectrally filtered at the focal plane, is added to the imager. Real-time autonomous detection and alarm is also required. A detection system model by Warren, based on a Gaussian vapor concentration distribution is the basis for detection algorithms. Algorithms recursive in both time and spectral frequency have been derived using Kalman filter theory. Adaptive filtering is used for preprocessing clutter rejection. Various components of the detection system have been tested individually and an integrated system is now being fabricated.

DTIC

*Thermal Mapping; Vapors*

**20070005431** North Carolina State Univ., Raleigh, NC USA

**Multiscale Signal Processing and Shape Analysis for an Inverse Sar Imaging System**

He, Yun; Jun 2001; 176 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460126; No Copyright; Avail.: CASI: A09, Hardcopy

The great challenge in signal processing is to devise computationally efficient and statistically optimal algorithms for estimating signals from noisy background and understanding their contents. This thesis treats the problem of multiscale signal processing and shape analysis for an Inverse Synthetic Aperture Radar (ISAR) imaging system. To address some of the limitations of conventional techniques in radar image processing, an information theoretic approach for target motion estimation is first proposed. A wavelet based multiscale method for shape enhancement is subsequently derived and followed by a regression technique for shape recognition.

DTIC

*Imaging Techniques; Shapes; Signal Processing; Synthetic Aperture Radar*

**20070005435** Army Tank-Automotive and Armaments Command, Warren, MI USA

**A Comparison of the Detection Rates for Infrared and Visual Imagery of a Person Holding an RPG**

Sohn, Euijung; Meitzler, Tom; Bryk, Darryl; Jozwiak, Rachel; Bednarz, David; Lane, Kim; Bankowski, Elena; Vala, John; Mar 29, 2004; 10 pp.; In English

Report No.(s): AD-A460141; TACOM-16126; No Copyright; Avail.: CASI: A02, Hardcopy

Detection of potential threats that are camouflaged or concealed is important not only for military acquisition problems but, also for crowd surveillance as well as tactical use such as on border patrols. Imaging and display technologies that take advantage of photo-simulation and sensor fusion are discussed in this paper. A comparison of the detection rates of visible, infrared (IR) and sensor-fused imagery of scenes that contain a Rocket Propelled Grenade (RPG) were made. Image fusion

was achieved using a Gaussian Laplacian pyramidal approach with wavelets for edge enhancement. Three types of images were also compared in terms of better detection of concealed weapons.

DTIC

*Images; Infrared Detectors; Infrared Imagery*

**20070005470** Army Tank-Automotive and Armaments Command, Warren, MI USA

**Live Modeling of a Dyna Vision SPR-02 Sensor for Rangefinding Application**

DiCesare, Deborah; Fredrick, David; Modi, Mitul; Smith, Timothy; Aug 18, 1998; 8 pp.; In English

Report No.(s): AD-A460199; TACOM-16208; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Terrain sensing in high clutter environments commonly encountered in battlefield operations continues to be an operational concern. Clutter, such as battlefield debris, dust, smoke, and obscurants make the use of non-touch terrain sensing solutions difficult. In the first step to obtaining a non-touch sensor solution, live modeling of a DynaVision SPR-02 Intelligent Single Point Sensor will be conducted. This sensor is a laser source non-contact optical displacement measurement system. Scanning is accomplished by projecting a continuous light beam onto a surface and detecting the wave image of this beam on a Charge Coupled Device (CCD) array.

DTIC

*Optical Measuring Instruments; Rangefinding*

**20070005509** Georgetown Univ., Washington, DC USA

**Workshop for Open Source Universal Picture Archiving and Communication Systems (PACS)**

Tohme, Walid G; Dec 2006; 79 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): W81XWH-05-2-0073

Report No.(s): AD-A460346; No Copyright; Avail.: CASI: [A05](#), Hardcopy

MHS has fully embraced digital imaging technologies. PACS allow for the archiving and management of these images across different MTFs. It has become increasingly difficult to cope with image management requirements and challenges associated with managing network security. Two workshops were organized around each of these topics with the intent of defining the issues and determining potential solutions. The 'Open Source Universal PACS Archive' workshop focused on current challenges of and open source solutions to the management of images and other clinical information in multi-center settings. The 'Network Security for Medical Devices and Systems' workshop assessed emergent issues and operational impacts related to the imposition of non-medical Information Assurance and network security processes to the healthcare delivery domain. The following three recommendations are the output of the conference: (1) establish a medical Community of Interest (COI), (2) protect the medical COI; and (3) establish guidance for the medical device industry.

DTIC

*Documents; Images; Telecommunication*

## 36

### LASERS AND MASERS

Includes lasing theory, laser pumping techniques, maser amplifiers, laser materials, and the assessment of laser and maser outputs. For cases where the application of the laser or maser is emphasized see also the specific category where the application is treated. For related information see also *76 Solid-State Physics*.

**20070003679** NASA Ames Research Center, Moffett Field, CA, USA

**Zeeman Tuning Rates in the  $\nu_3$  Band of NO<sub>2</sub>**

Mahon, C. H.; Chackerian, C.; Giver, L. P.; [1997]; 1 pp.; In English; 52nd Ohio State University International Symposium on Molecular Spectroscopy, 16-20 Jun. 1997, Columbia, OH, USA

Contract(s)/Grant(s): RTOP 622-67-67-10; No Copyright; Avail.: Other Sources; Abstract Only

Zeeman spectra of the  $\nu_3$  fundamental (at approximately 1620  $\text{cm}^{-1}$ ) of nitrogen dioxide ( $^{14}\text{N}_2\text{O}$ ) have been recorded with magnetic fields of approximately 500 Gauss using a FTS (at the Kitt Peak National Observatory), as well as a tunable diode laser. At low magnetic fields the Zeeman effect is small compared to the spin-rotation interaction, and the Zeeman tuning rates are expected to be linear with magnetic field. Measured tuning rates of the Q-branch ( $K_A = 2 - 9$ ,  $N = 2 - 6$ ) sigma transitions are compared to those expected for  $2\Sigma$  transitions in low magnetic fields,  $2\mu_B/(2N+1)$ . These measurements are

required in the data analysis of an ultra-sensitive (pptv) in situ detector based on magnetic-rotation spectroscopy.

Author

*Nitrogen Dioxide; Spectral Bands; Tuning; Zeeman Effect*

**20070003696** Naval Research Lab., Washington, DC USA

**Low-Voltage Infrared Free-Electron Lasers Based on Gyrotron-Powered RF Wigglers**

Nov 15, 1996; 17 pp.; In English

Report No.(s): AD-A459614; NRL/FR/6790--96-9834; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Free Electron Lasers; Infrared Lasers; Low Voltage; Radio Frequencies; Wiggler Magnets; Cyclotron Resonance Devices*

**20070003718** University of Central Florida, Orlando, FL USA

**Semiconductor 1.7 W Volume Bragg Laser with Divergence Close to a Diffraction Limit**

Jan 2004; 3 pp.; In English

Contract(s)/Grant(s): HR-01-1041-0004

Report No.(s): AD-A459606; No Copyright; Avail.: CASI: [A01](#), Hardcopy

No abstract available

*Diffraction; Divergence; Lasers; Semiconductors (Materials)*

**20070005318** Naval Research Lab., Washington, DC USA

**Dispersion Management in a Harmonically Mode-Locked Fiber Soliton Laser**

Carruthers, Thomas F; Duling, III, Irl N; Horowitz, Moshe; Menyuk, Curtis R; Oct 31, 1999; 4 pp.; In English

Report No.(s): AD-A459963; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459963>; Avail.: CASI: [A01](#), Hardcopy

Harmonically mode-locked Er-fiber soliton lasers have become a reliable source of high-repetition-rate picosecond pulses in high-speed communications and photonic analog-to-digital conversion systems because of their low-noise, dropout-free operation. We have fabricated such a laser with a strongly dispersion-managed cavity and modeled its operation, and we have found that dispersion management significantly extends the power range over which uninterrupted single-pulse production is attained and dramatically decreases the effects of amplified spontaneous emission on the phase noise of the laser.

DTIC

*Analog to Digital Converters; Fiber Lasers; Laser Mode Locking; Lasers*

**20070005323** California Inst. of Tech., Pasadena, CA USA

**Performance Improvement in Quantum Well Lasers by Optimizing Band Gap Offset at Quantum Well Heterojunctions**

Zhao, B; Chen, T R; Shakouri, A; Yariv, A; Jul 26, 1993; 4 pp.; In English

Report No.(s): AD-A459969; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459969>; Avail.: CASI: [A01](#), Hardcopy

We analyze the influence of the band gap offset at the quantum well (QW) heterojunctions on the performance of QW lasers. It is shown that, in addition to the strain, optimization of the band gap offset also leads to improved performance in QW lasers, especially in enabling a simultaneous attainment of ultralow threshold current and high speed. The improvement stems from the reduction of state filling in the QW lasers since the asymmetry between the conduction band and the valence band structures in the optical confining region is compensated by the corresponding optimal band gap offset at the QW heterojunctions. The results provide general guidelines to the design of high performance of QW lasers as well as suggest applications to other active laser devices.

DTIC

*Energy Gaps (Solid State); Heterojunctions; Quantum Well Lasers; Quantum Wells*

**20070005344** California Inst. of Tech., Pasadena, CA USA

**Sub-100  $\mu$ A Current Operation of Strained InGaAs Quantum Well Lasers at Low Temperatures**

Zhao, B; Chen, T R; Eng, L E; Zhuang, Y H; Shakouri, A; Yariv, A; Oct 3, 1994; 4 pp.; In English

Report No.(s): AD-A460002; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460002>; Avail.: CASI: [A01](#), Hardcopy

Very low threshold currents ( $\sim 100 \mu\text{A}$ ) have been achieved in InGaAs strained single quantum well lasers at cryogenic temperatures. Threshold currents of 38 and 56  $\mu\text{A}$  and external quantum efficiency  $\sim 1 \text{ mW/mA}$  have been demonstrated under cw operation condition at temperatures of 6 and 77 K, respectively. The external quantum efficiency increased by about a factor of 2 at low temperatures ( $\sim 100 \text{ K}$ ) in comparison to that at room temperature. These results are relevant to the prospect of integration of semiconductor lasers with low temperature electronics for high performance

DTIC

*Indium Gallium Arsenides; Quantum Well Lasers*

**20070005409** Missouri Univ., Rolla, MO USA

**A Multi-Scale Modeling of Laser Cladding Process (Preprint)**

Cao, J; Choi, J; Apr 2006; 42 pp.; In English

Contract(s)/Grant(s): FA8650-04-C-5704; Proj-2510

Report No.(s): AD-A460099; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Laser cladding is an additive manufacturing process that a laser generates a melt-pool on the substrate material while a second material, as a powder or a wire form, is injected into that melt-pool. Among all laser manufacture processes, laser cladding offers the most extensive variety of possibilities to alter a component at its surface. Despite immense potentials and advancements, the process model of microstructure evolution and its coupling with macro parameter of laser cladding process has not been fully developed. To address this issue, a process model of microstructure evolution has been studied by utilizing a phase-field method. Phase-field method has become a widely used computation tool for the modeling of microstructure evolution with the advantage of avoiding of tracking the interface explicitly and satisfying interfacial boundary conditions. In present work, the numerical solutions of a phase-field model are analyzed. The connection of macro-process and microstructure evolution is examined by considering the relationship of macro- and micro- parameters. The effects of thermal noise and melt undercooling on the final microstructure have also been studied. The prediction results are compared with other researchers' results and good agreement was found. Different solidification morphologies of different locations in the melt pool are also investigated. It was found that not the mass transfer but the heat transfer in the melt pool dominates the solidification process.

DTIC

*Cladding; Laser Applications; Lasers*

## 37

### MECHANICAL ENGINEERING

Includes mechanical devices and equipment; machine elements and processes. For cases where the application of a device or the host vehicle is emphasized see also the specific category where the application or vehicle is treated. For robotics see *63 Cybernetics, Artificial Intelligence, and Robotics*; and *54 Man/System Technology and Life Support*.

**20070003565** NASA Ames Research Center, Moffett Field, CA, USA

**Intelligent Weather Agent**

Spirkovska, Liljana, Inventor; October 31, 2006; 24 pp.; In English; Original contains black and white illustrations

Patent Info.: Filed 26 Feb. 2004; US-Patent-7,129,857; US-Patent-Appl-SN-789049; NASA-Case-ARC-12970-1; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003565>; Avail.: CASI: [A03](#), Hardcopy

Method and system for automatically displaying, visually and/or audibly and/or by an audible alarm signal, relevant weather data for an identified aircraft pilot, when each of a selected subset of measured or estimated aviation situation parameters, corresponding to a given aviation situation, has a value lying in a selected range. Each range for a particular pilot may be a default range, may be entered by the pilot and/or may be automatically determined from experience and may be subsequently edited by the pilot to change a range and to add or delete parameters describing a situation for which a display should be provided. The pilot can also verbally activate an audible display or visual display of selected information by verbal entry of a first command or a second command, respectively, that specifies the information required.

Official Gazette of the U.S. Patent and Trademark Office

*Weather; Automatic Control; Systems Engineering; Display Devices*

**20070003581** NASA Ames Research Center, Moffett Field, CA, USA

**Powder Handling Device for Analytical Instruments**

Sarrazin, Philippe C., Inventor; Blake, David F., Inventor; September 26, 2006; 18 pp.; In English; Original contains black and white illustrations

Patent Info.: Filed 17 Mar. 2004; US-Patent-7,113,265; US-Patent-Appl-SN-808704; NASA-Case-ARC-15101-1; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003581>; Avail.: CASI: [A03](#), Hardcopy

Method and system for causing a powder sample in a sample holder to undergo at least one of three motions (vibration, rotation and translation) at a selected motion frequency in order to present several views of an individual grain of the sample. One or more measurements of diffraction, fluorescence, spectroscopic interaction, transmission, absorption and/or reflection can be made on the sample, using light in a selected wavelength region.

Official Gazette of the U.S. Patent and Trademark Office

*Powder (Particles); Holders; Mechanical Devices; Measuring Instruments*

**20070003737** National Renewable Energy Lab., Golden, CO USA

**Case Study: Ebus Hybrid Electric Buses and Trolleys**

Barnitt, R.; Jul. 2006; 50 pp.; In English

Report No.(s): DE2006-888678; NREL/TP-540-38749; No Copyright; Avail.: National Technical Information Service (NTIS)

The U.S. Department of Energy's (DOE) Advanced Vehicle Testing Activity (AVTA) provides unbiased evaluations on alternative fuel and advanced transportation technologies that reduce U.S. dependence on foreign oil while improving the nation's air quality. AVTA's role is to bridge the gap between research and development (R&D) and the commercial availability of alternative fuels and advanced vehicle technologies. AVTA supports DOE's FreedomCAR & Vehicle Technologies Program by examining market factors and customer requirements, evaluating the performance and durability of alternative fuel and advanced technology vehicles, and assessing the performance of these vehicles in fleet applications.

NTIS

*Electric Motor Vehicles; Transportation*

**20070004671** Army Research Lab., Aberdeen Proving Ground, MD USA

**Procedure for Near-Simultaneous Testing**

Dec 2006; 28 pp.; In English

Contract(s)/Grant(s): Proj-622618H8099

Report No.(s): AD-A459761; ARL-TN-266; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Impact; Projectiles; Impact Tests*

**20070004705** Army Tank-Automotive Research and Development Command, Warren, MI USA

**Using Motion-Base Simulation to Guide Future Force Systems Design**

Feb 2, 2006; 9 pp.; In English

Report No.(s): AD-A459735; 15506; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Motion Simulation; Systems Engineering; Loads (Forces)*

**20070004909** NASA Glenn Research Center, Cleveland, OH, USA

**Application of Carbon Based Nano-Materials to Aeronautics and Space Lubrication**

Street, Kenneth W., Jr.; Miyoshi, Kazuhisa; Wal, Randy L. Vander; January 2007; 33 pp.; In English; Original contains black and white illustrations

Contract(s)/Grant(s): WBS 984754.01.03

Report No.(s): NASA/TM-2007-214473; E-15765; Copyright; Avail.: CASI: [A03](#), Hardcopy

The tribology program at NASA Glenn Research Center in Cleveland, Ohio, is investigating carbon based nano-particles for their potential in advanced concept lubrication products. Service conditions range from high temperature atmospheric to low temperature vacuum. Some of the lubricants and surface coatings of tribological significance that we have evaluated include neat nano-particles, both grown in-situ and as bulk material deposited on the substrate, and nano-particles dispersed in oils which are all highly substrate interactive. We discuss results of testing these systems in a spiral orbit tribometer (SOT) and a unidirectional pin-on-disc (PoD) tribometer. A nano-onions/Krytox mixture evaluated as a lubricant for angular contact bearings in air caused a marked lowering of the coefficient of friction (CoF) (0.04 to 0.05) for the mixture with an eight-fold improvement in lifetime over that of the Krytox alone. In vacuum, no effect was observed from the nano-onions. Multi-walled nanotubes (MWNT) and graphitized MWNT were tested under sliding friction in both air and vacuum. The MWNT which



were grown in-situ oriented normal to the sliding surface exhibited low CoF (0.04) and long wear lives. Bulk MWNT also generate low CoF (0.01 to 0.04, vacuum; and 0.06, air) and long wear life ( $\approx$ 1 million orbits, vacuum; and  $\approx$ 3.5 million, air). Dispersed graphitized MWNT were superior to MWNT and both were superior to aligned MWNT indicating that orientation is not an issue for solid lubrication. Single-walled nanotubes (SWNT) were modified by cutting into shorter segments and by fluorination. All SWNTs exhibited low CoF in air, with good wear lives. The SWNT with slight fluorination yielded an ultra-low CoF of 0.002 although the best wear life was attributed to the nascent SWNT.

Author

*Tribology; Lubricants; Carbon; Nanotubes; High Temperature; Graphitization; Lubrication; Sliding Friction*

**20070004965** Liell and McNeil Attorneys, P.C., Bloomington, IN, USA

**Mixed Mode Fuel Injector and Injection System**

Stewart, C. L.; Tian, Y.; Wang, L.; Shafer, S. F.; 23 Jun 03; 16 pp.; In English

Contract(s)/Grant(s): DE-FC05-970R22605

Patent Info.: Filed 23 Jun 03; US-Patent-Appl-SN-10-601 451

Report No.(s): PB2007-100883; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A fuel injector includes a homogenous charge nozzle outlet set and a conventional nozzle outlet set that are controlled respectively by first and second three way needle control valves. Each fuel injector includes first and second concentric needle valve members. One of the needle valve members moves to an open position for a homogenous charge injection event, while the other needle valve member moves to an open position for a conventional injection event. The fuel injector has the ability to operate in a homogenous charge mode with a homogenous charge spray pattern, a conventional mode with a conventional spray pattern or a mixed mode.

NTIS

*Fuel Injection; Injection; Patent Applications*

**20070004992** Kansas State Univ., Manhattan, KS, USA

**Cost-Effective Reciprocating Engine Emissions Control and Monitoring for E&P Field and Gatherings Engines. Report 14. Technical Report, April 1, 2006-June 30, 2006**

Chapman, K. S.; Nuss-Warren, S. R.; Jul. 2006; 13 pp.; In English

Report No.(s): DE2006-889641; No Copyright; Avail.: Department of Energy Information Bridge

Continuing work in controlled testing uses a one cylinder Ajax DP-115 (a 13.25 in bore OE 16 in stroke, 360 rpm engine) to assess a sequential analysis and evaluation of a series of engine upgrades. As with most of the engines used in the natural gas industry, the Ajax engine is a mature engine with widespread usage throughout the gas gathering industry. The end point is an assessment of these technologies that assigns a cost per unit reduction in NOX emissions. Technologies including one pre-combustion chamber, in-cylinder sensors, the means to adjust the air-to-fuel ratio, and modification of the air filter housing have been evaluated in previous reports. Current work focuses on final preparations for testing pre-combustion chambers with different characteristics and using mid-to-high-pressure fuel valves and initial runs of these tests. By using the Ajax DP-115 these tests are completed in a low-cost and efficient manner. The various technologies can be quickly exchanged with different hardware, and it is inexpensive to run the engine. Progress in moving toward field testing is discussed, and changes to the first planned field test are presented. Although changes have been made to the previous plan, it is expected that several new sites will be selected soon.

NTIS

*Combustion Products; Cost Effectiveness; Costs; Exhaust Emission; Exhaust Gases; Internal Combustion Engines; Natural Gas; Piston Engines*

**20070005014** NASA Glenn Research Center, Cleveland, OH, USA

**Post Irradiation Evaluation of Thermal Control Coatings and Solid Lubricants to Support Fission Surface Power Systems**

Bowman, Cheryl L.; Jaworske, Donald A.; Stanford, Malcolm K.; Persinger, Justin A.; Khorsandi, Behrooz; Blue, Thomas E.; [2007]; 8 pp.; In English; Space Technology Applications International Forum, 11-15 Feb. 2007, Albuquerque, Mexico; Original contains color illustrations

Contract(s)/Grant(s): WBS 453189.04.03; Copyright; Avail.: CASI: [A02](#), Hardcopy

The development of a nuclear power system for space missions, such as the Jupiter Icy Moons Orbiter or a lunar outpost, requires substantially more compact reactor design than conventional terrestrial systems. In order to minimize shielding

requirements and hence system weight, the radiation tolerance of component materials within the power conversion and heat rejection systems must be defined. Two classes of coatings, thermal control paints and solid lubricants, were identified as material systems for which limited radiation hardness information was available. Screening studies were designed to explore candidate coatings under a predominately fast neutron spectrum. The Ohio State Research Reactor Facility staff performed irradiation in a well characterized, mixed energy spectrum and performed post irradiation analysis of representative coatings for thermal control and solid lubricant applications. Thermal control paints were evaluated for 1 MeV equivalent fluences from 10(exp 13) to 10(exp 15) n per square centimeters. No optical degradation was noted although some adhesive degradation was found at higher fluence levels. Solid lubricant coatings were evaluated for 1 MeV equivalent fluences from 10(exp 15) to 10(exp 16) n per square centimeters with coating adhesion and flexibility used for post irradiation evaluation screening. The exposures studied did not lead to obvious property degradation indicating the coatings would have survived the radiation environment for the previously proposed Jupiter mission. The results are also applicable to space power development programs such as fission surface power for future lunar and Mars missions.

Author

*Fission; Irradiation; Solid Lubricants; Thermal Control Coatings; Nuclear Power Reactors*

**20070005016** NASA Glenn Research Center, Cleveland, OH, USA

#### **Turbomachinery Clearance Control**

Chupp, Raymond E.; Hendricks, Robert C.; Lattime, Scott B.; Steinetz, Bruce M.; Aksit, Mahmut F.; [2007]; 135 pp.; In English; Copyright; Avail.: CASI: [A07](#), Hardcopy

Controlling interface clearances is the most cost effective method of enhancing turbomachinery performance. Seals control turbomachinery leakages, coolant flows and contribute to overall system rotordynamic stability. In many instances, sealing interfaces and coatings are sacrificial, like lubricants, giving up their integrity for the benefit of the component. They are subjected to abrasion, erosion, oxidation, incursive rubs, foreign object damage (FOD) and deposits as well as extremes in thermal, mechanical, aerodynamic and impact loadings. Tribological pairing of materials control how well and how long these interfaces will be effective in controlling flow. A variety of seal types and materials are required to satisfy turbomachinery sealing demands. These seals must be properly designed to maintain the interface clearances. In some cases, this will mean machining adjacent surfaces, yet in many other applications, coatings are employed for optimum performance. Many seals are coating composites fabricated on superstructures or substrates that are coated with sacrificial materials which can be refurbished either in situ or by removal, stripping, recoating and replacing until substrate life is exceeded. For blade and knife tip sealing an important class of materials known as abradables permit blade or knife rubbing without significant damage or wear to the rotating element while maintaining an effective sealing interface. Most such tip interfaces are passive, yet some, as for the high-pressure turbine (HPT) case or shroud, are actively controlled. This work presents an overview of turbomachinery sealing. Areas covered include: characteristics of gas and steam turbine sealing applications and environments, benefits of sealing, types of standard static and dynamics seals, advanced seal designs, as well as life and limitations issues.

Author

*Clearances; Turbomachinery; Control Theory; Tribology; Systems Engineering*

## 38

### QUALITY ASSURANCE AND RELIABILITY

Includes approaches to, and methods for reliability analysis and control, quality control, inspection, maintainability, and standardization.

**20070004931** NASA Marshall Space Flight Center, Huntsville, AL, USA

#### **Laser Shearography Inspection of TPS (Thermal Protection System) Cork on RSRM (Reusable Solid Rocket Motors)**

Lingbloom, Mike; Plaia, Jim; Newman, John; January 2006; 40 pp.; In English; American Society of Non-Destructive Testing, 13-17 Mar. 2006, Orlando, FL, USA; Original contains color and black and white illustrations

Contract(s)/Grant(s): NAS8-97238; Copyright; Avail.: CASI: [A03](#), Hardcopy

Laser Shearography is a viable inspection method for detection of de-bonds and voids within the external TPS (thermal protection system) on to the Space Shuttle RSRM (reusable solid rocket motors). Cork samples with thicknesses up to 1 inch were tested at the LTI (Laser Technology Incorporated) laboratory using vacuum-applied stress in a vacuum chamber. The testing proved that the technology could detect cork to steel un-bonds using vacuum stress techniques in the laboratory environment. The next logical step was to inspect the TPS on a RSRM. Although detailed post flight inspection has confirmed that ATK Thiokol's cork bonding technique provides a reliable cork to case bond, due to the Space Shuttle Columbia incident

there is a great interest in verifying bond-lines on the external TPS. This interest provided an opportunity to inspect a RSRM motor with Laser Shearography. This paper will describe the laboratory testing and RSRM testing that has been performed to date. Descriptions of the test equipment setup and techniques for data collection and detailed results will be given. The data from the test show that Laser Shearography is an effective technology and readily adaptable to inspect a RSRM.

Author

*Bonded Joints; Shearography; Nondestructive Tests; Cork (Materials)*

## 39

### STRUCTURAL MECHANICS

Includes structural element design, analysis and testing; dynamic responses of structures; weight analysis; fatigue and other structural properties; and mechanical and thermal stresses in structures. For applications see *05 Aircraft Design, Testing and Performance*; and *18 Spacecraft Design, Testing and Performance*.

**20070003749** NASA Langley Research Center, Hampton, VA, USA, General Dynamics Advanced Information Systems, Chantilly, VA, USA

#### **Bearing-Load Modeling and Analysis Study for Mechanically Connected Structures**

Knight, Norman F., Jr.; December 2006; 50 pp.; In English; Original contains color and black and white illustrations

Contract(s)/Grant(s): NNL05AD09D; GS-00F-0067M; WBS 759-07-09

Report No.(s): NASA/CR-2006-214529; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003749>; Avail.: CASI:

A03, Hardcopy

Bearing-load response for a pin-loaded hole is studied within the context of two-dimensional finite element analyses. Pin-loaded-hole configurations are representative of mechanically connected structures, such as a stiffener fastened to a rib of an isogrid panel, that are idealized as part of a larger structural component. Within this context, the larger structural component may be idealized as a two-dimensional shell finite element model to identify load paths and high stress regions. Finite element modeling and analysis aspects of a pin-loaded hole are considered in the present paper including the use of linear and nonlinear springs to simulate the pin-bearing contact condition. Simulating pin-connected structures within a two-dimensional finite element analysis model using nonlinear spring or gap elements provides an effective way for accurate prediction of the local effective stress state and peak forces.

Author

*Mathematical Models; Bearings; Mechanical Properties; Holes (Mechanics); Joints (Junctions); Axial Loads*

**20070004789** Library of Congress, Washington, DC USA

#### **Border Security: Barriers Along the U.S. International Border**

Nunez-Neto, Blas; Vina, Stephen; Oct 30, 2006; 47 pp.; In English

Report No.(s): AD-A459132; CRS-RL33659; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459132>; Avail.:

CASI: A03, Hardcopy

Congress has been considering expanding the barriers currently deployed along the U.S. international land border. Currently, the USA Border Patrol (USBP) deploys fencing, which aims to impede the illegal entry of individuals, and vehicle barriers, which aim to impede the illegal entry of vehicles (but not individuals) along the border. The USBP first began erecting barriers in 1990 to deter illegal entries and drug smuggling in its San Diego sector. The ensuing 14 mile-long San Diego 'primary fence' formed part of the USBP's 'Prevention Through Deterrence' strategy, which called for reducing unauthorized migration by placing agents and resources directly on the border along population centers in order to deter would-be migrants from entering the country. In 1996, Congress passed the Illegal Immigration Reform and Immigrant Responsibility Act which, among other things, explicitly gave the Attorney General (now the Secretary of the Department of Homeland Security) broad authority to construct barriers along the border and authorized the construction of a secondary layer of fencing to buttress the completed 14 mile primary fence. The Secure Fence Act of 2006 directs DHS to construct 850 miles of additional border fencing. While the San Diego fence, combined with an increase in agents and other resources in the USBP's San Diego sector, has proven effective in reducing the number of apprehensions made in that sector, there is considerable evidence that the flow of illegal immigration has adapted to this enforcement posture and has shifted to the more remote areas of the Arizona desert. A number of policy issues concerning border barriers generally and fencing specifically may be of interest to Congress, including, but not limited to, their effectiveness, costs versus benefits, location, design, environmental impact, potential

diplomatic ramifications, and the costs of acquiring the land needed for construction.

DTIC

*Patrols; United States*

**20070004839** Ghosh (S. K.) Associates, Inc., Northbrook, IL, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Comparison of Building Code Structural Requirements. NIST NCSTAR 1-1B**

Ghosh, S. K.; Liang, X.; Sep. 2005; 272 pp.; In English

Report No.(s): PB2007-104569; No Copyright; Avail.: CASI: [A12](#), Hardcopy

This report provides a comparison of the structural provisions of: (1) the New York City Building Code, 1968 edition; (2) the New York City Building Code, 2001 edition; (3) the New York State Building Construction Code, 1964 edition; (4) the Municipal Code of Chicago, 1967 edition; and (5) the Building (known as BOCA) Basic Building Code, 1965 edition. Detailed comparisons are provided in a tabular form. The comparisons are summarized in the body of the report.

NTIS

*Buildings; Damage; Fire Prevention*

**20070004840** Ghosh (S. K.) Associates, Inc., Northbrook, IL, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Maintenance and Modifications to Structural Systems. NIST NCSTAR 1-1C. (Appendices not included)**

Fanella, D. A.; Derecho, A. T.; Ghosh, S. K.; Sep. 2005; 176 pp.; In English

Report No.(s): PB2007-104570; No Copyright; Avail.: CASI: [A09](#), Hardcopy

This report documents maintenance and modifications that were made to the structural systems of World Trade Center (WTC) 1, 2, and 7. Included are the Port Authority of New York and New Jersey (PANYNJ or Port Authority) guidelines for inspection, repair, and modifications to the structural systems of WTC 1, 2, and 7. Discussed are the guidelines that governed the inspection and strengthening of existing structural members. Also contained in this report is a summary of the structural inspection programs that were undertaken during the occupancy of WTC 1, 2, and 7. Included are the summaries of the facility condition survey reports that were produced for WTC 1, 2, and 7 and descriptions of the structural integrity inspection programs that were undertaken for WTC 1 and WTC 2. The significant modifications and repairs that were made to structural systems of WTC 1, 2, and 7 from initial occupancy to September 11, 2001, are also documented. A discussion on the repairs that were made after the February 1993 bombing of WTC 1 is also included.

NTIS

*Buildings; Damage; Fire Prevention; Maintenance*

**20070004841** Jensen (Rolf) and Associates, Inc., Springfield, VA, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Fire Protection and Life Safety Provisions Applied to the Design and Construction of WTC 1, 2, 7 and Post-Construction Provisions Applied After Occupancy. NIST NCSTAR 1-1D**

Grill, R. A.; Johnson, D. A.; Sep. 2005; 202 pp.; In English

Report No.(s): PB2007-104572; No Copyright; Avail.: CASI: [A10](#), Hardcopy

This report was prepared to support the analysis of building and fire codes and standards of the National Institute of Standards and Technology World Trade Center (WTC) Investigation. To best analyze the performance of WTC 1, 2, and 7 in response to the September 11, 2001 attacks, the provisions of the design and construction of the buildings must first be understood. The purpose of this report is to summarize the fire protection (both passive and active) and life safety provisions that were used to design and construct WTC 1, 2, and 7 and to document the changes in building code regulations that occurred after their construction. Determination of the applicable building provisions was a multi-step task. First, documentation (such as drawings, memoranda, and New York City building regulations) was analyzed to identify the initial construction provisions at the times of construction of the three buildings. Second, New York City amended building provisions. Third, the building provisions were analyzed to determine their applicability to the building characteristics of WTC 1, 2, and 7.

NTIS

*Buildings; Construction; Damage; Fire Prevention; Safety*

**20070004842** Port Authority of New York and New Jersey, Jersey City, NJ, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Design and Construction of Structural Systems. Appendices A-G**

Sep. 2005; 216 pp.; In English

Report No.(s): PB2007-104571; No Copyright; Avail.: CASI: [A10](#), Hardcopy

The collapse of World Trade Center (WTC) 1, 2, and 7 resulted from structural damage from direct and indirect effects of aircraft impact and the ensuing fires. Thus, for collapse analyses of these buildings, knowledge of the physical state of the structural and fire safety systems prior to the aircraft impact is essential. To obtain information for the collapse analysis of the buildings, National Institute of Standards and Technology reviewed design and construction documents, correspondence, and memoranda related to the building projects; interviewed individuals involved in the design, construction, and maintenance of the buildings; obtained information from regulatory and emergency services agencies of New York City; and reviewed books and published journal and magazine articles related to the WTC building projects. Information obtained from various sources are synthesized and summarized in this report. Specifically, this report presents (1) provisions used to design and construct the structural, fire protection and egress systems of the buildings; (2) tests performed to support the design of these systems; (3) criteria that governed the design of the structural and fire protection systems; (4) methods used to proportion structural members and other components of the buildings; (5) innovative features, technologies and materials that are incorporated in design and construction of the structural and fire protection systems; (6) details of deviations to the contract documents granted by Port Authority of New York and New Jersey; (7) fabrication and inspection requirements at the fabrication yard; and (8) inspection protocols during construction.

NTIS

*Buildings; Damage; Fire Prevention*

**20070004843** Jensen (Rolf) and Associates, Inc., Springfield, VA, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Comparison of Codes, Standards, and Practices in Use at the Time of the Design and Construction of World Trade Center 1, 2, and 7. NIST NCSTAR 1-1E**

Razza, J. C.; Grill, R. A.; Sep. 2005; 100 pp.; In English

Report No.(s): PB2007-104573; No Copyright; Avail.: CASI: [A05](#), Hardcopy

This report was prepared to support the goals and objectives of the analysis of building and fire codes and practices of the National Institute of Standards and Technology World Trade Center (WTC) Investigation. The report provides a comparison and summary of significant differences between the 1968 Building Code of the City of New York (determined to be the current building code at the time of construction of WTC 1 and WTC 2), the provisions of the New York State Building Construction Code, and the City of Chicago Building Code that were available at that time.

NTIS

*Buildings; Damage; Fire Prevention; Procedures*

**20070004845** Jensen (Rolf) and Associates, Inc., Springfield, VA, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Comparison of the 1968 and Current (2003) New York City Building Code Provisions. NIST NCSTAR 1-1F**

Razza, J. C.; Grill, R. A.; Sep. 2005; 92 pp.; In English

Report No.(s): PB2007-104574; No Copyright; Avail.: CASI: [A05](#), Hardcopy

This report was prepared to support the analysis of building and fire codes and practices of the National Institute of Standards and Technology World Trade Center (WTC) Investigation. The report provides a comparison and summary of significant differences between the 1968 Building Code of the City of New York (determined to be the current building code at the time of construction of WTC 1 and WTC 2) and the provisions of the 2003 (current) edition of the Building Code of the City of New York.

NTIS

*Buildings; Damage; Fire Prevention; New York City (NY)*

**20070004849** National Inst. of Standards and Technology, Gaithersburg, MD USA, Applied Research Associates, Inc., Albuquerque, NM, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Analysis of Aircraft Impacts into the World Trade Center Towers. Appendix A. Still Images of the Video Records Used in Chapter 7**

Sep. 2005; 10 pp.; In English

Report No.(s): PB2007-104984; No Copyright; Avail.: CASI: [A02](#), Hardcopy

This appendix provides still images of the video records used to estimate the initial impact conditions of the aircraft that impacted World Trade Center (WTC) 1 and WTC 2 (see Chapter 7). A short description of each of these videos is provided in Table 7-1.

NTIS

*Buildings; Damage; Fire Prevention; Towers*

**20070004852** National Inst. of Standards and Technology, Gaithersburg, MD USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: The Emergency Response Operations. Appendices A-L**

Sep. 2005; 514 pp.; In English

Report No.(s): PB2007-104983; No Copyright; Avail.: CASI: [A22](#), Hardcopy

The collapse of World Trade Center (WTC) 1, 2, and 7 resulted from structural damage from direct and indirect effects of aircraft impact and the ensuing fires. Thus, for collapse analyses of these buildings, knowledge of the physical state of the structural and fire safety systems prior to the aircraft impact is essential. To obtain information for the collapse analysis of the buildings, National Institute of Standards and Technology reviewed design and construction documents, correspondence, and memoranda related to the building projects; interviewed individuals involved in the design, construction, and maintenance of the buildings; obtained information from regulatory and emergency services agencies of New York City; and reviewed books and published journal and magazine articles related to the WTC building projects. Information obtained from various sources are synthesized and summarized in this report. Specifically, this report presents (1) provisions used to design and construct the structural, fire protection and egress systems of the buildings; (2) tests performed to support the design of these systems; (3) criteria that governed the design of the structural and fire protection systems; (4) methods used to proportion structural members and other components of the buildings; (5) innovative features, technologies and materials that are incorporated in design and construction of the structural and fire protection systems; (6) details of deviations to the contract documents granted by Port Authority of New York and New Jersey; (7) fabrication and inspection requirements at the fabrication yard; and (8) inspection protocols during construction.

NTIS

*Buildings; Damage; Emergencies; Fire Prevention*

**20070004853** NuStats Partners, LP, Austin, TX, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Technical Documentation for Survey Administration. Questionnaires, Interviews, and Focus Groups. NIST NCSTAR 1-7B**

Zmud, J.; Sep. 2005; 79 pp.; In English

Report No.(s): PB2007-104982; No Copyright; Avail.: CASI: [A05](#), Hardcopy

This report documents the methods and outcomes of the telephone survey, face-to-face interviews, and focus groups that were conducted in support of the federal building and fire safety investigation of the World Trade Center disaster. In total, 803 telephone interviews were completed, 220 face-to-face interviews were completed, and 6 focus groups involving 28 individuals were completed. All interview material was transferred to National Institute of Standards and Technology investigators for analysis.

NTIS

*Buildings; Damage; Fire Prevention; Surveys; Telephones*

**20070004854** National Inst. of Standards and Technology, Gaithersburg, MD USA, Society of Fire Protection Engineers, Bethesda, MD, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Post-Construction Fires Prior to September 11, 2001. NIST NCSTAR 1-4A**

Kuligowski, E. D.; Evans, D. D.; Peacock, R. D.; Sep. 2005; 140 pp.; In English

Report No.(s): PB2007-104580; No Copyright; Avail.: CASI: [A07](#), Hardcopy

Fires occurred in World Trade Center (WTC) 1, 2, and 7 prior to September 11, 2001. This report documents the facts of significant fires in the buildings after first occupancy as they relate to the performance of the automatic sprinkler, manual suppression, fire detection, and smoke purge systems. The ultimate goal of this review was to identify from New York City Fire Department (FDNY) records significant but not well-known fires for further study. From the information contained in FDNY fire reports and fire investigation records provided to the National Institute of Standards and Technology (NIST), 47 fires occurred in WTC 1, 2, and 7 that were of sufficient size and duration. To activate multiple sprinklers or were estimated

by NIST to be capable of doing so, over the time period the buildings were occupied. This total does not include the major 1975 office fire in WTC 1 or the 1993 bombing.

NTIS

*Buildings; Construction; Damage; Fire Prevention; Fires*

**20070004855** National Fire Protection Association, Boston, MA, USA, National Research Council of Canada, Ottawa, Ontario, Canada

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Analysis of Published Accounts of the World Trade Center Evacuation. NIST NCSTAR 1-7A**

Fahy, R. F.; Proulx, G.; Sep. 2005; 70 pp.; In English

Report No.(s): PB2007-104981; No Copyright; Avail.: CASI: [A04](#), Hardcopy

Published stories of the survivors of the World Trade Center (WTC) attacks were collected to document the event and as background material to guide the development of the National Institute of Standards and Technology (NIST) investigation on occupant behavior during the evacuation of the WTC. These first-person accounts came from newspapers, radio and television programs, e-mail exchanges, and a variety of web sites. The accounts analyzed were from survivors located in several areas in each tower, providing a distribution of floors from the upper, middle, and lower strata of the two towers. In total, 745 accounts were analyzed, representing 435 survivors from WTC 1 and WTC 2.

NTIS

*Buildings; Damage; Fire Prevention*

**20070004856** National Inst. of Standards and Technology, Boulder, CO, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Contemporaneous Structural Steel Specifications. NIST NCSTAR 1-3A**

Luecke, W. E.; Siewert, T. A.; Gayle, F. W.; Sep. 2005; 86 pp.; In English

Report No.(s): PB2007-104579; No Copyright; Avail.: CASI: [A05](#), Hardcopy

This report reviews the contemporaneous (1960s era) steel and welding standards used to construct the 110-story World Trade Center (WTC) towers. It describes the major structural elements in the towers and the many grades of steels relevant to the WTC investigation. Although ASTM International structural steel standards have evolved since the towers were built, the changes are generally minor and not significant for estimating mechanical properties.

NTIS

*Buildings; Damage; Fire Prevention; Steels*

**20070004857** Jensen (Rolf) and Associates, Inc., Springfield, VA, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Post-Construction Modifications to Fire Protection and Life Safety, and Structural Systems of World Trade Center 7. NIST NCSTAR 1-1I**

Grill, R. A.; Johnson, D. A.; Fanella, D. A.; Sep. 2005; 46 pp.; In English

Report No.(s): PB2007-104577; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The collapse of World Trade Center (WTC) 1, 2, and 7 resulted from structural damage from direct and indirect effects of aircraft impact and the ensuing fires. Thus, for collapse analyses of these buildings, knowledge of the physical state of the structural and fire safety systems prior to the aircraft impact is essential. To obtain information for the collapse analysis of the buildings, National Institute of Standards and Technology reviewed design and construction documents, correspondence, and memoranda related to the building projects; interviewed individuals involved in the design, construction, and maintenance of the buildings; obtained information from regulatory and emergency services agencies of New York City; and reviewed books and published journal and magazine articles related to the WTC building projects. Information obtained from various sources are synthesized and summarized in this report. Specifically, this report presents (1) provisions used to design and construct the structural, fire protection and egress systems of the buildings; (2) tests performed to support the design of these systems; (3) criteria that governed the design of the structural and fire protection systems; (4) methods used to proportion structural members and other components of the buildings; (5) innovative features, technologies and materials that are incorporated in design and construction of the structural and fire protection systems; (6) details of deviations to the contract documents granted by Port Authority of New York and New Jersey; (7) fabrication and inspection requirements at the fabrication yard; and (8) inspection protocols during construction.

NTIS

*Buildings; Construction; Damage; Fire Prevention; Safety*

**20070004859** Jensen (Rolf) and Associates, Inc., Springfield, VA, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Post-Construction Modifications to Fire Protection and Life Safety Systems of the World Trade Center Towers. NIST NCSTAR 1-1H**

Grill, R. A.; Johnson, D. A.; Sep. 2005; 142 pp.; In English

Report No.(s): PB2007-104576; No Copyright; Avail.: CASI: [A07](#), Hardcopy

The collapse of World Trade Center (WTC) 1, 2, and 7 resulted from structural damage from direct and indirect effects of aircraft impact and the ensuing fires. Thus, for collapse analyses of these buildings, knowledge of the physical state of the structural and fire safety systems prior to the aircraft impact is essential. To obtain information for the collapse analysis of the buildings, National Institute of Standards and Technology reviewed design and construction documents, correspondence, and memoranda related to the building projects; interviewed individuals involved in the design, construction, and maintenance of the buildings; obtained information from regulatory and emergency services agencies of New York City; and reviewed books and published journal and magazine articles related to the WTC building projects. Information obtained from various sources are synthesized and summarized in this report. Specifically, this report presents (1) provisions used to design and construct the structural, fire protection and egress systems of the buildings; (2) tests performed to support the design of these systems; (3) criteria that governed the design of the structural and fire protection systems; (4) methods used to proportion structural members and other components of the buildings; (5) innovative features, technologies and materials that are incorporated in design and construction of the structural and fire protection systems; (6) details of deviations to the contract documents granted by Port Authority of New York and New Jersey; (7) fabrication and inspection requirements at the fabrication yard; and (8) inspection protocols during construction.

NTIS

*Buildings; Construction; Damage; Fire Prevention; Safety; Towers*

**20070004861** Jensen (Rolf) and Associates, Inc., Springfield, VA, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Amendments to the Fire Protection and Life Safety Provisions of the New York City Building Code by Local Laws Adopted While World Trade Center 1, 2, and 7 Were in Use. NIST NCSTAR 1-1G**

Razza, J. C.; Grill, R. A.; Sep. 2005; 64 pp.; In English

Report No.(s): PB2007-104575; No Copyright; Avail.: CASI: [A04](#), Hardcopy

This report was prepared to support the analysis of building and fire codes and practices of the National Institute of Standards and Technology (NIST) World Trade Center (WTC) Investigation. This report supports the objective of documenting the requirements that governed the design and construction of WTC 1, 2, and 7. The purpose of this report is to provide evolution of the life safety provisions of the Building Code of the City of New York (BCNYC) since the design of WTC 1 and WTC 2, including retroactive provisions for existing high-rise office towers. It has been previously established that WTC 1 and WTC 2 were designed and constructed in accordance with the BCNYC as enacted by Local Law No 76 for the year 1968, effective December 6, 1968. The evolution of the BCNYC begins with a brief history of the Building Code prior to 1968 and reviews the various local laws that have amended fire protection and life safety provisions of the Code from 1968 until the collapse of the WTC towers on September 11, 2001.

NTIS

*Buildings; Damage; Fire Prevention; Laws; New York City (NY); Safety*

**20070004879** NASA Dryden Flight Research Center, Edwards, CA, USA

**Incorporation of Half-Cycle Theory Into Ko Aging Theory for Aerostructural Flight-Life Predictions**

Ko, William L.; Tran, Van T.; Chen, Tony; January 2007; 88 pp.; In English; Original contains black and white illustrations

Report No.(s): NASA/TP-2007-214608; H-2673; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070004879>; Avail.:

CASI: [A05](#), Hardcopy

The half-cycle crack growth theory was incorporated into the Ko closed-form aging theory to improve accuracy in the predictions of operational flight life of failure-critical aerostructural components. A new crack growth computer program was written for reading the maximum and minimum loads of each half-cycle from the random loading spectra for crack growth calculations and generation of in-flight crack growth curves. The unified theories were then applied to calculate the number of flights (operational life) permitted for B-52B pylon hooks and Pegasus adapter pylon hooks to carry the Hyper-X launching vehicle that air launches the X-43 Hyper-X research vehicle. A crack growth curve for each hook was generated for visual observation of the crack growth behavior during the entire air-launching or captive flight. It was found that taxiing and the



takeoff run induced a major portion of the total crack growth per flight. The operational life theory presented can be applied to estimate the service life of any failure-critical structural components.

Author

*Crack Propagation; Aircraft Structures; B-52 Aircraft; Life (Durability); Bristol-Siddeley BS 53 Engine*

**20070004889** NASA Langley Research Center, Hampton, VA, USA

**Simulation of Delamination Propagation in Composites Under High-Cycle Fatigue by Means of Cohesive-Zone Models**  
Turon, Albert; Costa, Josep; Camanho, Pedro P.; Davila, Carlos G.; December 2006; 34 pp.; In English; Original contains color and black and white illustrations

Contract(s)/Grant(s): MAT2003-09768-C03-01; PDCTE/50354/EME/2003

Report No.(s): NASA/TM-2006-214532; L-19304; Copyright; Avail.: CASI: [A03](#), Hardcopy

A damage model for the simulation of delamination propagation under high-cycle fatigue loading is proposed. The basis for the formulation is a cohesive law that links fracture and damage mechanics to establish the evolution of the damage variable in terms of the crack growth rate  $dA/dN$ . The damage state is obtained as a function of the loading conditions as well as the experimentally-determined coefficients of the Paris Law crack propagation rates for the material. It is shown that by using the constitutive fatigue damage model in a structural analysis, experimental results can be reproduced without the need of additional model-specific curve-fitting parameters.

Author

*Fracture Mechanics; Delaminating; Cohesion; Structural Analysis; Crack Propagation; Fatigue (Materials); Damage*

**20070005212** Goodwin (R. Christopher) and Associates, Inc., Frederick, MD USA

**National Register Assessment of the Broadmoor Neighborhood, New Orleans, Orleans Parish, Louisiana**

Kuranda, Kathryn M; Coyle, Katy; Sep 2003; 173 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DACW29-97-D-0018-0033

Report No.(s): AD-A459677; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459677>; Avail.: CASI: [A08](#), Hardcopy

R. Christopher Goodwin & Associates, Inc., completed the current study of the Broadmoor neighborhood of New Orleans, Louisiana. The goal of this study was to identify and to evaluate the built resources within the Broadmoor area through a reconnaissance-level architectural survey and the application of the National Register criteria for evaluation [36 CFR 60 (a-d)]. These investigations were conducted following the identification of potential historic properties in the vicinity of the ongoing Southeast Louisiana Urban Flood Control Project (SELA), a cooperative effort among the Corps of Engineers and Orleans, Jefferson, and St. Tammany parishes to improve drainage and to provide flood control in the New Orleans metropolitan area. The identification of a potential historic property in, and in the vicinity of the current SELA project prompted the USACE to reopen consultation under Section 106 of the National Historic Preservation Act of 1966, as amended (NHPA). As directed under Section 800.13 (Post-Review Discoveries), the USACE has continued consultation with the Division of Historic Preservation. In addition, the USACE has undertaken a reconnaissance-level survey to define further the potential historic district for the purposes of Section 106 consultation and to develop mitigation for any adverse effects to previously unidentified historic properties. Two concentrations of buildings were identified that may qualify as historic districts. These are the area of initial development in Broadmoor (District #1), and the area defined the intersection of Claiborne and Louisiana Avenue.

DTIC

*Buildings; Surveys*

**20070005369** General Accounting Office, Washington, DC USA

**Defense Infrastructure: Continuing Challenges in Managing DOD Lodging Programs as Army Moves to Privatize Its Program**

Holman, Barry W; Kennedy, Michael; Dickey, Claudia; Lenane, Kate; Sarapu, Leslie; Silvers, Julie; Weissman, Cheryl; Dec 2006; 42 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460005; GAO-07-164; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The Department of Defense (DoD) transient lodging programs were established to provide quality temporary facilities for authorized personnel, and to reduce travel costs through lower rates than commercial hotels. DoD has approximately 82,000 temporary duty (TDY) and permanent-change-of-station (PCS) rooms worldwide. These accommodations were reported to cost about \$860 million in appropriated and nonappropriated funds to operate in fiscal year 2005. While the Army plans to

privatize its lodging in the USA, there are concerns as to whether these plans are cost-effective, and about how they relate to DoD-wide lodging efforts. GAO was asked to address the following issues: (1) how each military service and DoD manages, funds, and assesses the performance of its lodging programs to meet short- and long-term needs; and (2) the effect that lodging privatization would have on costs to the Army and on its ability to maintain and recapitalize facilities. GAO also is providing information on the status of DoD's actions on prior recommendations regarding the lodging program. GAO obtained data from the Office of the Secretary of Defense, the military services, and nine military installations. GAO is making recommendations to improve DoD's oversight of the lodging program. In commenting on a draft of this report, DoD agreed with the recommendations.

DTIC

*Cost Effectiveness; Management Planning; Policies*

**20070005430** Curt Bjork Fastighet and Konsult AB, Sweden

**Energy Savings Assessment Methodology - Cost Effective Ways of Establishing the Action Plan**

Bjoerk, Curt; Feb 25, 2004; 29 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460125; No Copyright; Avail.: CASI: [A03](#), Hardcopy

These briefing charts go with a consultant's lecture on systematic energy system analysis.

DTIC

*Cost Effectiveness; Energy Conservation*

**42**

**GEOSCIENCES (GENERAL)**

Includes general research topics related to the Earth sciences, and the specific areas of petrology, mineralogy, and general geology. For other specific topics in geosciences see *categories 42 through 48*.

**20070003527** Geological Survey, Washington, DC USA

**Drainage-Area Data for Wisconsin Streams**

Jan 1983; 327 pp.; In English

Report No.(s): AD-A459549; No Copyright; Avail.: CASI: [A15](#), Hardcopy

No abstract available

*Drainage; Streams; Wisconsin*

**20070003528** Geological Survey, Washington, DC USA

**Installation and Sampling of Observation Wells and Analyses of Water From the Shallow Aquifer at Selected Waste-Disposal Sites in the Memphis Area, Tennessee**

Jan 1989; 37 pp.; In English

Report No.(s): AD-A459550; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Aquifers; Installing; Sampling; Waste Disposal; Water; Wells*

**20070003529** Geological Survey, Washington, DC USA

**Ground-Water and Pond Levels, Cape Code, Massachusetts, 1950-82**

Jan 1984; 89 pp.; In English

Report No.(s): AD-A459544; 26; No Copyright; Avail.: CASI: [A05](#), Hardcopy

No abstract available

*Ground Water; Ponds*

**20070003530** Geological Survey, Washington, DC USA

**Ground-Water Quality Data From the Northern Mississippi Embayment--Arkansas, Missouri, Kentucky, Tennessee, and Mississippi**

Jan 1985; 20 pp.; In English

Report No.(s): AD-A459541; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Ground Water; Mississippi; Water Quality*

**20070003603** Geological Survey, Washington, DC USA

**Drainage Areas of Streams at Selected Locations in Kentucky**

Jan 1981; 122 pp.; In English

Report No.(s): AD-A459553; No Copyright; Avail.: CASI: [A06](#), Hardcopy

No abstract available

*Drainage; Position (Location); Streams*

**20070003604** Geological Survey, Washington, DC USA

**Construction, Geologic, and Water-Level Data for Observation Wells Near Brentwood, Williamson County, Tennessee**

Jan 1989; 32 pp.; In English

Report No.(s): AD-A459537; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Construction; Tennessee; Water; Wells*

**20070003608** Geological Survey, Washington, DC USA

**Water Resources Publications of the U.S. Geological Survey for Tennessee, 1906-1987**

Jan 1988; 38 pp.; In English

Report No.(s): AD-A459532; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Geological Surveys; Water Resources*

**20070003813** Mitre Corp., Bedford, MA USA

**State of the Art in Anomaly Detection and Reaction**

Jul 1999; 38 pp.; In English

Contract(s)/Grant(s): F19628-99-C-0001

Report No.(s): AD-A459588; MP-99B0000020; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Anomalies; Reaction; Detection*

**20070003874** Earth Search, Inc., New Orleans, LA USA

**Assessment of Historic Landscape, Highway 45 Borrow Pit, Jefferson Parish, Louisiana**

Oct 2003; 92 pp.; In English

Contract(s)/Grant(s): DAWC29-97-D-0016-0029

Report No.(s): AD-A459655; No Copyright; Avail.: CASI: [A05](#), Hardcopy

No abstract available

*Highways; Terrain; Topography*

**20070004823** Army Tank-Automotive Research and Development Command, Warren, MI USA

**Passive Panoramic Image Fusion (PPIF) for 360 Degree Situational Awareness to Support Operations in Urban Terrain**

Aug 2006; 10 pp.; In English

Report No.(s): AD-A459512; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Situational Awareness; Terrain; Cities; Images*

**20070005032** NASA Johnson Space Center, Houston, TX, USA

**Not So Rare Earth? New Developments in Understanding the Origin of the Earth and Moon**

Righter, Kevin; [2007]; 71 pp.; In English; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005032>; Avail.:

CASI: [A04](#), Hardcopy

A widely accepted model for the origin of the Earth and Moon has been a somewhat specific giant impact scenario involving an impactor to proto-Earth mass ratio of 3:7, occurring 50-60 Ma after T(sub 0), when the Earth was only half accreted, with the majority of Earth's water then accreted after the main stage of growth, perhaps from comets. There have been many changes to this specific scenario, due to advances in isotopic and trace element geochemistry, more detailed, improved, and realistic giant impact and terrestrial planet accretion modeling, and consideration of terrestrial water sources

other than high D/H comets. The current scenario is that the Earth accreted faster and differentiated quickly, the Moon-forming impact could have been mid to late in the accretion process, and water may have been present during accretion. These new developments have broadened the range of conditions required to make an Earth-Moon system, and suggests there may be many new fruitful avenues of research. There are also some classic and unresolved problems such as the significance of the identical O isotopic composition of the Earth and Moon, the depletion of volatiles on the lunar mantle relative to Earth's, the relative contribution of the impactor and proto-Earth to the Moon's mass, and the timing of Earth's possible atmospheric loss relative to the giant impact.

Author

*Earth-Moon System; Planetary Evolution; Earth (Planet); Geochemistry; Mass Ratios; Moon*

## 43

### EARTH RESOURCES AND REMOTE SENSING

Includes remote sensing of earth features, phenomena and resources by aircraft, balloon, rocket, and spacecraft; analysis of remote sensing data and imagery; development of remote sensing products; photogrammetry; and aerial photography. For related instrumentation see *35 Instrumentation and Photography*.

**20070003594** NASA Ames Research Center, Moffett Field, CA, USA

#### **Global Analysis of Empirical Relationships Between Annual Climate and Seasonality of NDVI**

Potter, C. S.; [1997]; 1 pp.; In English; 1997 Spring Meeting, American Geophysical Union, 26-29 May 1997, Baltimore, MD, USA; No Copyright; Avail.: Other Sources; Abstract Only

This study describes the use of satellite data to calibrate a new climate-vegetation greenness function for global change studies. We examined statistical relationships between annual climate indexes (temperature, precipitation, and surface radiation) and seasonal attributes of the AVHRR Normalized Difference Vegetation Index (NDVI) time series for the mid-1980s in order to refine our empirical understanding of intraannual patterns and global abiotic controls on natural vegetation dynamics. Multiple linear regression results using global 1(sup o) gridded data sets suggest that three climate indexes: growing degree days, annual precipitation total, and an annual moisture index together can account to 70-80 percent of the variation in the NDVI seasonal extremes (maximum and minimum values) for the calibration year 1984. Inclusion of the same climate index values from the previous year explained no significant additional portion of the global scale variation in NDVI seasonal extremes. The monthly timing of NDVI extremes was closely associated with seasonal patterns in maximum and minimum temperature and rainfall, with lag times of 1 to 2 months. We separated well-drained areas from 1(sup o) grid cells mapped as greater than 25 percent inundated coverage for estimation of both the magnitude and timing of seasonal NDVI maximum values. Predicted monthly NDVI, derived from our climate-based regression equations and Fourier smoothing algorithms, shows good agreement with observed NDVI at a series of ecosystem test locations from around the globe. Regions in which NDVI seasonal extremes were not accurately predicted are mainly high latitude ecosystems and other remote locations where climate station data are sparse.

Author

*Calibrating; Normalized Difference Vegetation Index; Time Series Analysis; Image Classification; Remote Sensing; Satellite Imagery; Satellite Observation; Climate Change*

**20070003599** NASA Stennis Space Center, Stennis Space Center, MS, USA

#### **Sensor to User - NASA/EOS Data for Coastal Zone Management Applications Developed from Integrated Analyses: Verification, Validation and Benchmark Report**

Hall, Callie; Arnone, Robert; [2006]; 50 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): NNS05AB28; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003599>; Avail.: CASI: A03, Hardcopy

The NASA Applied Sciences Program seeks to transfer NASA data, models, and knowledge into the hands of end-users by forming links with partner agencies and associated decision support tools (DSTs). Through the NASA REASoN (Research, Education and Applications Solutions Network) Cooperative Agreement, the Oceanography Division of the Naval Research Laboratory (NRLSSC) is developing new products through the integration of data from NASA Earth-Sun System assets with coastal ocean forecast models and other available data to enhance coastal management in the Gulf of Mexico. The recipient federal agency for this research effort is the National Oceanic and Atmospheric Administration (NOAA). The contents of this report detail the effort to further the goals of the NASA Applied Sciences Program by demonstrating the use of NASA satellite products combined with data-assimilating ocean models to provide near real-time information to maritime users and coastal

managers of the Gulf of Mexico. This effort provides new and improved capabilities for monitoring, assessing, and predicting the coastal environment. Coastal managers can exploit these capabilities through enhanced DSTs at federal, state and local agencies. The project addresses three major issues facing coastal managers: 1) Harmful Algal Blooms (HABs); 2) hypoxia; and 3) freshwater fluxes to the coastal ocean. A suite of ocean products capable of describing Ocean Weather is assembled on a daily basis as the foundation for this semi-operational multiyear effort. This continuous realtime capability brings decision makers a new ability to monitor both normal and anomalous coastal ocean conditions with a steady flow of satellite and ocean model conditions. Furthermore, as the baseline data sets are used more extensively and the customer list increased, customer feedback is obtained and additional customized products are developed and provided to decision makers. Continual customer feedback and response with new improved products are required between the researcher and customer. This document details the methods by which these coastal ocean products are produced including the data flow, distribution, and verification. Product applications and the degree to which these products are used successfully within NOAA and coordinated with the Mississippi Department of Marine Resources (MDMR) is benchmarked.

Author

*Data Management; Education; Flow Distribution; Information Flow; Real Time Operation; Ocean Models; Oceanography; Data Products; Remote Sensing; Technology Utilization*

**20070003725** NASA Stennis Space Center, Stennis Space Center, MS, USA

**Extending NASA Research Results to Benefit Society: Rapid Prototyping for Coastal Applications**

Glorioso, Mark V.; Miller, Richard L.; Hall, Callie M.; McPherson, Terry R.; [2006]; 1 pp.; In English; Original contains color illustrations; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003725>; Avail.: CASI: [A01](#), Hardcopy

The mission of the NASA Applied Sciences Program is to expand and accelerate the use of NASA research results to benefit society in 12 application areas of national priority. One of the program's major challenges is to perform a quick, efficient, and detailed review (i.e., prototyping) of the large number of combinations of NASA observations and results from Earth system models that may be used by a wide range of decision support tools. A Rapid Prototyping Capacity (RPC) is being developed to accelerate the use of NASA research results. Here, we present the conceptual framework of the Rapid Prototyping Capacity within the context of quickly assessing the efficacy of NASA research results and technologies to support the Coastal Management application. An initial RPC project designed to quickly evaluate the utility of moderate-resolution MODIS products for calibrating/validating coastal sediment transport models is also presented.

Author

*Rapid Prototyping; NASA Programs; Remote Sensing; Coastal Water*

**20070005219** Goodwin (R. Christopher) and Associates, Inc., New Orleans, LA USA

**Phase I Cultural Resources Survey and Archeological Inventory of a Proposed 1.12 ha (2.87 ac) Borrow Pit and an Associated Access Road, Ascension Parish, Louisiana**

Labadia, Catherine; Pokrant, Marie; Pincoske, Jeremy; George, David; Oct 2004; 96 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DACW29-01-D-0017-0007

Report No.(s): AD-A459726; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459726>; Avail.: CASI: [A05](#), Hardcopy

This document presents the results of a Phase I cultural resources survey of a proposed borrow pit and associated access road in Ascension Parish, Louisiana. This survey was conducted by R. Christopher Goodwin & Associates, Inc., in July 2003; it was performed on behalf of the U.S. Army Corps of Engineers, New Orleans District. The proposed borrow pit is located on the right descending bank of the Mississippi River (northeast of River Mile 180), and it measures approximately 1.16 ha (2.87 ac) in size. This area was subject to pedestrian survey and backhoe trenching in order to identify any subsurface cultural features or material. In addition, the proposed access road that measures approximately 165 m (541 ft) in length by 15 m (49 ft) in width also was subjected to survey using the same field methods. Therefore, a total of 1.4 ha (3.4 ac) was examined for cultural resources as part of the proposed undertaking. The proposed project items were characterized as possessing moderate to high probability for containing intact cultural deposits based on results of the previous cultural resources investigation entitled Phase I Cultural Resources Survey and Archeological Inventory of the Alhambra to Hohen-Solms and Hohen-Solms to Modeste Project Items, Ascension and Iberville Parishes, Louisiana (George et al. 2000). Despite an intensive field effort, his investigation failed to result in the identification of any archeological sites or historic structures within the Areas of Potential Effect. Phase I cultural resources survey and archeological inventory of the proposed borrow pit and access road

project items resulted in the identification of only modern (i.e., post 1950) cultural material. No additional testing of the proposed borrow pit and associated access road is recommended.

DTIC

*Alternating Current; Archaeology; Cultural Resources; Inventories; Roads; Surveys*

**20070005233** North Carolina Univ., Chapel Hill, NC USA

**Use of Stochastic Modeling of Stratigraphic Relationships in High Resolution Seismic Reflection Data for Prediction of the Distribution of Acoustic and Geotechnical Property Variability in Near Surface Sediments on the East China Sea Continental Margin**

Bartek, Louis; Sep 2004; 25 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-99-1-0602

Report No.(s): AD-A459818; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459818>; Avail.: CASI: A03,

Hardcopy

During cruises in May and June of 1999, 7991 km of single channel high resolution seismic data and 5278 km of chirp sub-bottom and side-scan sonar data were collected from the continental margin of the East China Sea (ECS) and Yellow Sea. When these data are pooled with data collected in this region during cruises in 1993 and 1996 the total data available for analyses is 15,408 km of seismic profiles and 13,295 km of chirp sub-bottom and side-scan sonar data along with 51 gravity cores. Copies of these data were provided to the Naval Oceanographic Office at Bay St. Louis, Mississippi. These data were processed to minimize noise and subjected to seismic stratigraphic analyses. Correlation of the data with published drill core descriptions indicate that the seismic profiles image stratigraphy that extends back to at least 200,000 years before present. The results of these analyses revealed that the stratigraphy of the margin was strongly impacted by glacially driven sea level fluctuations that produced regional unconformities that can be used to subdivide the stratigraphy into Lowstand (LST), Transgressive (TST) and (Highstand) systems tracts.

DTIC

*Acoustic Properties; China; Distribution (Property); Geotechnical Engineering; High Resolution; Seas; Sediments; Stochastic Processes; Stratigraphy; Variability*

## 44

### ENERGY PRODUCTION AND CONVERSION

Includes specific energy conversion systems, e.g., fuel cells; and solar, geothermal, windpower, and waterwave conversion systems; energy storage; and traditional power generators. For technologies related to nuclear energy production see *73 Nuclear Physics*. For related information see also *07 Aircraft Propulsion and Power*; *20 Spacecraft Propulsion and Power*, and *28 Propellants and Fuels*.

**20070003706** Army Construction Engineering Research Lab., Champaign, IL USA

**New Technologies for New and Existing Buildings Allowing for Energy Conservation**

Sep 1, 2004; 132 pp.; In English

Report No.(s): AD-A459461; ERDC/CERL-SR-04-26; No Copyright; Avail.: CASI: A07, Hardcopy

No abstract available

*Buildings; Energy Conservation; Technology Utilization*

**20070003779** Nexant, CA, USA, Kearney and Associates, Vashon, WA, USA

**Thermal Storage Commercial Plant Design Study for a 2-Tank Indirect Molten Salt System. Final Report for May 13, 2002 to December 31, 2004**

Kelly, B.; Kearney, D.; Jul. 2006; 36 pp.; In English

Report No.(s): DE2006-887348; NREL/SR-550-40166; No Copyright; Avail.: National Technical Information Service (NTIS)

The development of a near-term thermal energy storage (TES) option is a major breakthrough for parabolic trough solar power plant technology. The 2-tank indirect TES concept proposed by Nexant and SunLab is being implemented in the AndaSol 50 MWe (net) trough plant currently under development in Spain. The project will have between 6-12 hours of TES depending on the economic optimization. In the U.S., Duke Solar is seriously considering this option where operational and economic factors favor its use. The economic optimum depends on the cost and performance of the TES system. The cost and performance of the indirect TES system are not independent because the size (cost) of the heat exchanger affects the physical size of the storage system and the performance of the turbine. The objectives of this Task were to develop a set of conceptual

designs for 2-tank molten salt thermal energy storage (TES) systems of varying thermal capacities for trough plants, and to estimate levelized electricity costs for optimized plant configurations with storage. The solar plant assumed in the analysis is the Solar Electric Generating System (SEGS)-type plant using VP-1 for the solar field heat transport fluid.

NTIS

*Heat Storage; Molten Salts; Plant Design; Solar Collectors*

**20070003781** National Renewable Energy Lab., Golden, CO USA

#### **Surface Analysis**

January 2006; 8 pp.; In English

Report No.(s): DE2006-887346; No Copyright; Avail.: National Technical Information Service (NTIS)

Surface analytical techniques help to determine the chemical, elemental, and molecular composition, and electronic structure of material surfaces and interfaces. The properties of the surface and outer few micrometers of a material often control the electrical, chemical, or mechanical properties of that material hence, this region is of extreme importance. Our techniques use ions, electrons, and X-ray or ultraviolet photons in high vacuum to probe surfaces and interfaces of a material. We map the elemental and chemical composition of specimens, study impurities and grain boundaries, gather bonding and chemical-state information, measure surface electronic properties, and perform depth profiles to determine doping and elemental distributions. We have analyzed a wide range of materials, including photovoltaics, microelectronics, polymers, and biological specimens. We work collaboratively with you to solve materials- and device related R&D problems. This sheet describes our major technique capabilities.

NTIS

*Photovoltaic Conversion; Surface Properties*

**20070003782** National Renewable Energy Lab., Golden, CO USA

#### **Electro-Optical Characterization**

January 2006; 8 pp.; In English

Report No.(s): DE2006-887345; No Copyright; Avail.: National Technical Information Service (NTIS)

We use various electrical and optical experimental techniques to relate photovoltaic device performance to the methods and materials used to produce them. The types of information obtained by these techniques range from small-scale atomic-bonding information to large-scale macroscopic quantities such as optical constants and electron-transport properties. Accurate, timely measurements of electro-optical properties as a function of device processing provide the knowledge needed to troubleshoot problems and develop the knowledge base for reducing cost, maximizing efficiency, improving reliability, and enhancing manufacturability. We work collaboratively with you to solve materials- and device-related R&D problems. This sheet summarizes our primary techniques and capabilities.

NTIS

*Characterization; Electro-Optics; Photovoltaic Conversion; Solar Cells*

**20070003783** Nexant, CA, USA, Kearney and Associates, Vashon, WA, USA

#### **Nexant Parabolic Trough Solar Power Plant Systems Analysis. Task 2: Comparison of Wet and Dry Rankine Cycle Heat Rejection. Report for January 20, 2005 to December 31, 2005**

Kelly, B.; Jul. 2006; 32 pp.; In English

Report No.(s): DE2006-887344; NREL/SR-550-40163; No Copyright; Avail.: National Technical Information Service (NTIS)

Subcontract report by Nexant, Inc., regarding a system analysis comparing solar parabolic trough plants with wet and dry rankine cycle heat rejection.

NTIS

*Dry Heat; Electricity; Parabolic Reflectors; Rankine Cycle; Systems Analysis*

**20070003784** Nexant, CA, USA, Kearney and Associates, Vashon, WA, USA

#### **Nexant Parabolic Trough Solar Power Plant Systems Analysis. Task 3: Multiple Plants at a Common Location. Report for January 20, 2005 to December 31, 2005**

Kelly, B.; Jul. 2006; 36 pp.; In English

Report No.(s): DE2006-887342; NREL/SR-550-40164; No Copyright; Avail.: National Technical Information Service (NTIS)

Nine Solar Electric Generating Station (SEGS) parabolic trough solar power plants, ranging in capacity from 13.5 MWe to 89 MWe, are located in the southern California desert. Each of the plants is located adjacent to at least one other plant: SEGS I and II at Daggett; SEGS III through VII at Kramer Junction; and SEGS VIII and IX at Harper Lake. The plants are co-located to take advantage of common site permits, evaporation ponds, access to utility transmission lines, and sharing of the operation and maintenance staff. However, each of the projects was privately financed and constructed separately from the adjoining projects. This is a Subcontract report by Nexant, Inc., regarding a system analysis of multiple solar parabolic trough plants at a common location.

NTIS

*Construction; Electric Power Plants; Parabolic Reflectors; Position (Location); Solar Energy Conversion; Systems Analysis*

**20070003786** Nexant, CA, USA

**Nexant Parabolic Trough Solar Power Plant Systems Analysis. Task 1: Preferred Plant Size. Report for January 20, 2005 to December 31, 2005**

Kelly, B.; Jul. 2006; 64 pp.; In English

Report No.(s): DE2006-887340; NREL/SR-550-40162; No Copyright; Avail.: National Technical Information Service (NTIS)

The Rankine cycles for commercial parabolic trough solar projects range in capacity from 13.5 MWe at the Solar Electric Generating Station I (SEGS I) plant, to a maximum of 89 MWe at the SEGS VIII / IX plants. The series of SEGS projects showed a consistent reduction in the levelized energy cost due to a combination of improvements in collector field technology and economies of scale in both the Rankine cycle and the operation and maintenance costs. Nonetheless, the question of the optimum Rankine cycle capacity remains an open issue. The capacities of the SEGS VIII / IX plants were limited by Federal Energy Regulatory Commission and Public Utility Regulatory Policy Act requirements to a maximum net output of 80 MWe. Further improvements in the Rankine cycle efficiency, and economies of scale in both the capital and the operating cost, should be available at larger plant sizes. An analysis was conducted to determine the effect of Rankine cycle capacities greater than 80 MWe on the levelized energy cost.

NTIS

*Cost Estimates; Electric Power Plants; Parabolic Reflectors; Solar Energy Conversion; Systems Analysis*

**20070004974** Department of Energy, Washington, DC, USA

**Parabolic Trough VSHOT Optical Characterization in 2005-2006**

Wendelin, T.; January 2006; 32 pp.; In English

Report No.(s): DE2006-889350; NREL/PR-550-40024; No Copyright; Avail.: National Technical Information Service (NTIS)

This presentation regarding parabolic trough VSHOT optical characterization describes trough deployment and operation phases including: development, manufacture/installation, and maintenance/operation.

NTIS

*Characterization; Optical Properties; Optical Scanners; Parabolic Reflectors; Solar Collectors*

**20070004976** National Renewable Energy Lab., Golden, CO USA

**Trough Receiver Heat Loss Testing**

Lewandowski, A.; Feik, C.; Hansen, R.; Phillips, S.; Bingham, C.; Feb. 2006; 15 pp.; In English

Report No.(s): DE2006-889349; NREL/PR-550-40023; No Copyright; Avail.: National Technical Information Service (NTIS)

This presentation describes the design, fabrication, and qualification of an experimental capability for thermal loss testing of full-size trough receiver elements; and the testing on a variety of receivers.

NTIS

*Cooling; Parabolic Reflectors; Receivers; Solar Collectors; Troughs*

**20070005068** National Renewable Energy Lab., Golden, CO USA, PowerLight Corp., Berkeley, CA, USA

**Accelerating PV Cost Effectiveness Through Systems Design, Engineering, and Quality Assurance. Phase 1 Annual Technical Report, November 4, 2004-November 3, 2005**

Botkin, J.; Mitchell, R. L.; Jul. 2006; 87 pp.; In English

Report No.(s): DE2006-889146; NREL/SR-520-40335; No Copyright; Avail.: National Technical Information Service (NTIS)



During Phase I of this PV Manufacturing R&D subcontract, PowerLight Corporation has made significant progress toward the reduction of installed costs for commercial-scale, rooftop PV systems. PowerLight has worked to reduce operating costs by improving long-term reliability and performance through the development of more sophisticated tools used in system design and monitoring. Additionally, PowerLight has implemented design improvements with the goal of reducing cost while maintaining and/or improving product quality. As part of this effort, PowerLight also modified manufacturing and shipping processes to accommodate these design changes, streamline material flow, reduce cost, and decrease waste streams. During Phase II of this project, PowerLight plans to continue this work with the goal of reducing system cost and improving system performance.

NTIS

*Cost Effectiveness; Manufacturing; Photovoltaic Conversion; Quality Control; Solar Energy; Systems Engineering*

**20070005100** National Renewable Energy Lab., Golden, CO USA

**Powered by the Sun, 2007 Solar Decathlon**

Jun. 2006; 8 pp.; In English

Report No.(s): DE2006-886837; DOE/GO-102006-2311; No Copyright; Avail.: National Technical Information Service (NTIS)

Originally conceived in 1999, the simple idea to test the power of the sun in 10 contests grew into one of the most ambitious and inspiring events in the country the Solar Decathlon. The 2007 Solar Decathlon challenges 20 college teams from around the globe in 10 contests to see which team can design, build, and operate the most livable and energy-efficient completely solar-powered house. And, while the competition will crown a first-place finisher, this truly is a competition we all win. Through their work, the students will demonstrate winning choices about living with abundance, style, and comfort using only the power of the sun. That is the promise of the Solar Decathlon.

NTIS

*Solar Energy; Sun*

**20070005136** NASA Glenn Research Center, Cleveland, OH, USA

**Re-Evaluating Satellite Solar Power Systems for Earth**

Landis, Geoffrey A.; [2006]; 4 pp.; In English; 4th World Conference on Photovoltaic Energy Conversion, 7-12 May 2006, Waikoloa, HI, USA

Contract(s)/Grant(s): WBS 22-390-30-20; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005136>; Avail.: CASI: A01, Hardcopy

The Solar Power Satellite System is a concept to collect solar power in space, and then transport it to the surface of the Earth by microwave (or possibly laser) beam, where it is converted into electrical power for terrestrial use. The recent increase in energy costs, predictions of the near-term exhaustion of oil, and prominence of possible climate change due to the 'greenhouse effect' from burning of fossil fuels has again brought alternative energy sources to public attention, and the time is certainly appropriate to reexamine the economics of space based power. Several new concepts for Satellite Power System designs were evaluated to make the concept more economically feasible.

Author

*Solar Power Satellites; Spacecraft Power Supplies; Microwaves; Laser Beams; Energy Technology*

**20070005145** Sandia National Labs., Albuquerque, NM USA

**Thermally-Related Safety Issues Associated with Thermal Batteries**

Guidotti, R. A.; Jun. 2006; 18 pp.; In English

Report No.(s): DE2006-889003; SAND2006-1902; No Copyright; Avail.: Department of Energy Information Bridge

Thermal batteries can experience thermal runaway under certain usage conditions. This can lead to safety issues for personnel and cause damage to associated test equipment if the battery thermally self destructs. This report discusses a number of thermal and design related issues that can lead to catastrophic destruction of thermal batteries under certain conditions. Contributing factors are identified and mitigating actions are presented to minimize or prevent undesirable thermal runaway.

NTIS

*Electric Batteries; Safety; Thermal Batteries*

**20070005265** South Carolina Univ., Columbia, SC USA

**Soldier System Power Sources**

Dougal, Roger A; Gao, Lijun; Dec 31, 2006; 31 pp.; In English

Contract(s)/Grant(s): N00014-03-1-0952

Report No.(s): AD-A459870; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459870>; Avail.: CASI: [A03](#),  
Hardcopy

This work addressed five key issues related to effective use of electric energy by dismounted troops, working both at the individual level and at the squad level, with the fundamental goal of reducing the total mass of the electric power sources carried by a Marine in the Expeditionary Forces while still meeting all of his electric power demands. To achieve that goal, this work investigated the effectiveness of hybrid power sources composed variously of batteries, fuel cells, and super capacitors, it developed control algorithms for those hybrid power sources, it assessed the value of recovering energy from partially spent primary cells, it developed more-efficient methods of capturing energy from photovoltaic sources, and it developed simulation-based tools for planning the carriage of sufficient electric energy to power specific suites of equipment as necessary to accomplish specific missions.

DTIC

*Capacitors; Electric Batteries; Fuel Cells; Supplying*

**20070005277** Washington Univ., Seattle, WA USA

**Secure Broadcast in Energy-Aware Wireless Sensor Networks**

Lazos, Loukas; Poovendran, Radha; Jan 2002; 3 pp.; In English

Contract(s)/Grant(s): DAAD19-02-1-0242

Report No.(s): AD-A459885; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459885>; Avail.: CASI: [A01](#),  
Hardcopy

This paper considers the problem of securing multicast communications in an energy-constrained ad-hoc network environment. The authors show that existing efficient key distribution techniques for wired networks that rely on logical hierarchies are extremely energy inefficient for energy-constrained wireless ad-hoc networks. They also show that the joint consideration of routing and physical layer algorithms is critical for developing energy-efficient key distribution. They formulate the correct problem and show that the solution is hard to compute, and then present a greedy, routing-aware key-distribution algorithm that is easy to compute\*.

DTIC

*Broadcasting; Computer Networks; Data Transmission; Energy Conservation; Security; Wireless Communication*

**20070005278** Washington Univ., Seattle, WA USA

**VP3: Using Vertex Path and Power Proximity for Energy Efficient Key Distribution**

Lazos, Loukas; Salido, Javier; Poovendran, Radha; Jan 2004; 6 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAAD19-02-1-0242; DAAD19-01-2-0011

Report No.(s): AD-A459886; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459886>; Avail.: CASI: [A02](#),  
Hardcopy

This paper investigates the problem of energy-efficient key distribution for securing multicast communications in wireless ad hoc networks. Recently, the authors showed that a cross-layer design approach for key distribution, incorporating network layer (routing) as well as physical layer (energy) parameters, leads to energy savings. They also showed that heuristics are needed to reduce computational complexity. In this paper, the authors show that further reduction in energy expenditure is achieved by assigning common keys to nodes that receive messages from a sender via a common path. They develop a computationally viable heuristic called VP3 that uses codewords to represent paths and groups nodes based on the length of the common path, derived by the Hamming distance between codewords. They also present simulation results to illustrate the improvements achieved by VP3.

DTIC

*Computer Networks; Data Transmission; Energy Conservation; Security; Wireless Communication*

**20070005279** Washington Univ., Seattle, WA USA

**Maximizing Static Network Lifetime of Wireless Broadcast Adhoc Networks**

Kang, Intae; Poovendran, Radha; Jan 2003; 7 pp.; In English

Contract(s)/Grant(s): DAAD19-02-1-0242

Report No.(s): AD-A459887; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459887>; Avail.: CASI: [A02](#),  
Hardcopy

The authors investigate the problem of energy-efficient broadcast routing over wireless static ad-hoc networks in which host mobility is not involved. They define the lifetime of a network as the duration of time until the first node failure due to battery depletion. They provide a globally optimal solution to the problem of maximizing a static network lifetime through a graph theoretic approach. They also provide extensive comparative simulation studies.

DTIC

*Broadcasting; Computer Networks; Energy Conservation; Signal Processing; Wireless Communication*

**20070005280** Washington Univ., Seattle, WA USA

**Cross-Layer Design for Energy-Efficient Secure Multicast Communications in Ad Hoc Networks**

Lazos, Loukas; Poovendran, Radha; Jan 2004; 8 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAAD19-02-1-0242; DAAD19-01-2-0011

Report No.(s): AD-A459888; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459888>; Avail.: CASI: A02,

Hardcopy

The authors consider the problem of secure multicast in an energy-constrained wireless environment. They present an analytical formulation of the energy expenditure associated with the communication overhead of key management, and highlight its dependence on network topology and key distribution method. They show that the optimal solution of this formulation does not scale with multicast group size and propose a sub-optimal, cross-layer, low-complexity algorithm for energy-efficient key distribution. Simulation studies are presented to show the energy savings achieved by their scheme, and its performance is compared when different routing algorithms are employed (i.e., Broadcast Incremental Power (BIP), the Embedded Wireless Multicast Advantage (EWMA), the Minimum Spanning Tree (MST), and Shortest Path Routing (SPR)).

DTIC

*Communication Networks; Computer Networks; Data Transmission; Energy Conservation; Security; Wireless Communication*

**20070005281** Washington Univ., Seattle, WA USA

**A Comparison of Power-Efficient Broadcast Routing Algorithms**

Kang, Intae; Poovendran, Radha; Jan 2003; 7 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAAD19-02-1-0242

Report No.(s): AD-A459889; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459889>; Avail.: CASI: A02,

Hardcopy

Following the seminal work of Wieselthier et al. on power-efficient broadcast routing, a novel technique called Embedded Wireless Multicast Advantage (EWMA) was proposed to further reduce the total transmit power of a broadcast routing tree. In the authors' previous work, they showed that when the network lifetime is defined as the time for the first node failure due to battery depletion, the total transmit power is not the only measure of power efficiency. They proved that either maximum transmit power or link longevity plays a crucial role in extending the network lifetime. In this paper, they compare the performance of four known power-efficient algorithms (and their variants), not only in terms of the total transmit power, but also in terms of other performance measures such as static network lifetime, total receive and interference power, and maximum and average hop count, which have direct impacts on physical, link, and MAC layers, and on end-to-end network delay.

DTIC

*Algorithms; Broadcasting; Computer Networks; Embedding; Energy Conservation; Power Efficiency; Signal Processing; Wireless Communication*

**20070005282** Washington Univ., Seattle, WA USA

**A Novel Power-Efficient Broadcast Routing Algorithm Exploiting Broadcast Efficiency**

Kang, Intae; Poovendran, Radha; Jan 2003; 6 pp.; In English

Contract(s)/Grant(s): DAAD19-02-1-0242

Report No.(s): AD-A459890; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459890>; Avail.: CASI: A02,

Hardcopy

It has been shown that the problem of finding a broadcast routing tree with minimum total transmit power is NP-hard. Hence, developing a heuristic power-efficient algorithm is crucial. The seminal work in this area is the well-known Broadcast Incremental Power (BIP) algorithm with a recent addition called Embedded Wireless Multicast Advantage (EWMA) algorithm. In this paper, the authors present yet another novel power-efficient algorithm for broadcast routing tree construction

called Greedy Perimeter Broadcast Efficiency (GPBE) algorithm. They also compare the performance of these algorithms.  
DTIC

*Algorithms; Broadcasting; Energy Conservation; Heuristic Methods; Power Efficiency; Topology; Wireless Communication*

**20070005347** Naval Facilities Engineering Command, Philadelphia, PA USA

**Navy Energy/Water Program and Applicable Process Technologies**

Gates, Gary; Feb 25, 2004; 112 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460019; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460019>; Avail.: CASI: [A06](#),

Hardcopy

WHY CONSERVE ENERGY? Sec. 202. Energy Efficiency Improvement Goals. Through life-cycle cost-effective measures, each agency shall reduce energy consumption per gross square foot of its facilities, excluding facilities covered in section 203 of this order, by 30 percent by 2005 and 35 percent by 2010 relative to 1985. No facilities will be exempt from these goals unless they meet new criteria for exemptions, to be issued by the Department of Energy (DOE). \* Sec. 203. Industrial and Laboratory Facilities. Through life-cycle cost-effective measures, each agency shall reduce energy consumption per square foot, per unit of production, or per other unit as applicable by 20 percent by 2005 and 25 percent by 2010 relative to 1990. No facilities will be exempt from these goals unless they meet new criteria for exemptions, as issued by DOE.

DTIC

*Energy Conservation; Military Operations; Navy; Water*

**20070005348** Department of the Army, Fort Lewis, WA USA

**Energy and Utilities Readiness for the Army Industrial Activities**

Heumphreus, Garey R; Thiesse, Monty; Miller, Ron; Ullery, Duane; Feb 25, 2004; 18 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460020; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460020>; Avail.: CASI: [A03](#),

Hardcopy

Preparing Army Installations for the 21st Century: (1) Reduce Waste; (2) Reduce Costs; (3) Improve Use of Space; (4) Reduce Process Times and Improve Efficiencies; (5) Measure Customer Satisfaction.

DTIC

*Energy Conservation; Maintainability; Utilities*

**20070005373** Technical Research Centre of Finland, Helsinki, Finland

**Session 7: Energy Saving Performance Contracts: Forms and Financing Options. Overview of Activities Related to Energy Efficiency Improvement in Finland**

Pietilainen, Jorma; Sep 1, 2004; 49 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460013; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Key Elements of Energy Conservation Programme: (1) Development and commercialisation of energy efficient technology; (2) Economic means of steering; (3) Building regulation (e.g., new EU Directive); (4) Voluntary energy conservation agreements; (5) Energy audits and ESCO activities; (6) Information, training and motivating activities.

DTIC

*Buildings; Energy Conservation; Environmental Surveys; Finland*

**20070005397** California Univ., Santa Cruz, CA USA

**Nanoscale Devices for Solid State Refrigeration and Power Generation**

Shakouri, Ali; Baskin, Jack; Jan 2004; 11 pp.; In English

Report No.(s): AD-A460084; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A brief review of various techniques to engineer nanoscale thermal and electrical properties of materials is given. The main emphasis is on various energy conversion mechanisms, particularly, thermo electric refrigeration and power generation. Recent experimental and theoretical results on superlattice and quantum dot thermoelectrics and solidstate and vacuum thermionic thin film devices are reviewed. We also present an overview of the research activities at the multi university Thermionic Energy Conversion Center on the design of solid-state and vacuum devices that could convert heat into electricity with hot side temperatures ranging from 300 to 650C and with high conversion efficiency.

DTIC

*Nanotechnology; Refrigerating; Solid State; Thermoelectric Power Generation; Thermoelectricity*

**20070005423** Army Construction Engineering Research Lab., Champaign, IL USA

**Workshop Introduction - Building Energy Performance Improvement Through Advanced Technologies, Smart Organization, and Financing**

Sep 1, 2004; 15 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460115; ERDC/CERL-SR-04-26; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Energy savings performance contracts (ESPCs) are a relatively recent contracting development. ESPCs are used to obtain a variety of energy services ranging from commodity provision on a regional basis; to assumption of operation of utility plants and distribution system; to identification, implementation, and maintenance of energy and water efficiency capital improvements. ESPCs provide a means of obtaining needed resources such as manpower and technical expertise by paying for those resources through savings from reductions in facility energy use. Additional benefits may include reductions in greenhouse gas emissions and oil consumption, increases in energy efficiency, expansion of the use of renewable energy sources, and identification and implementation of energy and water saving measures. Depending on the nature of the agreement, ESPCs allow the private sector and Federal agencies to reduce energy consumption and improve efficiency in facilities, with potentially no capital investment from the end-user. However, it seems that ESPC contractors have exhausted the 'low hanging fruit' opportunities for energy savings. Future projects will likely be increasingly complex and require technical and methodological support that will allow for more detailed energy systems assessment, better understanding of the available technologies and their level of their maturity, accurate replacement technology benchmarking and economic guidance.

DTIC

*Economics; Energy Conservation; Energy Consumption*

**20070005433** Department of Energy, Chicago, IL USA

**ESPC Issues: DOE Super Energy Savings Performance Contracts**

Drawer, Gordon; Oct 7, 2003; 22 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460136; ERDC/CERL-SR-04-26; No Copyright; Avail.: CASI: [A03](#), Hardcopy

To reduce the cost and environmental impact of the Federal government by advancing energy efficiency and water conservation, promoting the use of distributed and renewable energy, and improving utility management decisions at Federal sites. The mission involves helping agencies meet their federal energy management goals Reduce energy consumption - Standard building energy per square foot to be reduced by 30 percent in 2005 and 35 percent in 2010 relative to 1985. -Industrial/laboratory energy to be reduced by 20 percent in 2005 and 25 percent in 2010 relative to 1990. Expand use of renewable energy - 2.5% of Federal facility electricity consumption by 2005. - 2,000 solar energy systems by 2000; 20,000 by 2010. Implement best management practices for water conservation in 80% of Federal facilities by 2010. Reduce greenhouse gas emissions 30 percent by 2010 compared to 1990.

DTIC

*Contract Management; Energy Conservation; Energy Consumption; Energy Policy; Industrial Plants; Water Management*

**20070005443** California Univ., Santa Cruz, CA USA

**Solid-State and Vacuum Thermionic Energy Conversion**

Shakouri, A; Bian, Z; Singh, R; Zhang, Y; Vashae, D; Humphrey, T E; Schmidt, H; Zide, J M; Zeng, G; Bahk, J-H; Jan 2005; 17 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460151; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A brief overview of the research activities at the Thermionic Energy Conversion (TEC) Center is given. The goal is to achieve direct thermal to electric energy conversion with  $\geq 20\%$  efficiency and  $\geq 1\text{W}/\text{cm}^2$  power density at a hot side temperature of 300-650 C. Thermionic emission in both vacuum and solid-state devices is investigated. In the case of solid-state devices, hot electron filtering using heterostructure barriers is used to increase the thermoelectric power factor. In order to study electron transport above the barriers and lateral momentum conservation in thermionic emission process, the current-voltage characteristic of ballistic transistor structures is investigated. Embedded ErAs nanoparticles and metal/semiconductor multilayers are used to reduce the lattice thermal conductivity. Cross-plane thermoelectric properties and the effective ZT of the thin film are analyzed using the transient Harman technique. Integrated circuit fabrication techniques are used to transfer the n- and p-type thin films on AlN substrates and make power generation modules with hundreds of thin film elements. For vacuum devices, nitrogen-doped diamond and carbon nanotubes are studied for emitters. Sb-doped highly oriented diamond and low electron affinity AlGaN are investigated for collectors. Work functions below 1.6eV and vacuum

thermionic power generation at temperatures below 700 C have been demonstrated.

DTIC

*Energy Conversion; Solid State; Vacuum*

**20070005463** Technische Hochschule, Stuttgart, Germany

**Energy Assessment Strategy and Energy Concepts for Industrial Facilities**

Leven, Bernd; Weber, Christoph; Feb 25, 2004; 13 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460187; No Copyright; Avail.: CASI: [A03](#), Hardcopy

SUMMARY: (1) Energy Concepts for Enterprises: \* Cover the procurement, conversion, distribution and utilization of energy; \* Based on a detailed analysis of the initial situation and planned modifications; \* Should compare different options of measures and concepts; (2) Applicable Methodologies: \* Energy characteristic (on different operational level); \* Sankey diagrams and load curves; (3) Standardized Procedures and Software Tools: \* Can significantly reduce time and costs.

DTIC

*Electric Generators; Electric Power Plants; Industrial Plants*

45

**ENVIRONMENT POLLUTION**

Includes atmospheric, water, soil, noise, and thermal pollution.

**20070003600** NASA Langley Research Center, Hampton, VA, USA

**Comparison of Satellite Observations of Nitrogen Dioxide to Surface Monitor Nitrogen Dioxide Concentration**

Kleb, Mary M.; Pippin, Margaret R.; Pierce, R. Bradley; Neil, Doreen O.; Lingenfelter, Gretchen; Szykman, James J.; December 2006; 294 pp.; In English; Original contains color and black and white illustrations

Contract(s)/Grant(s): WBS 018-02-13-01-46

Report No.(s): NASA/TM-2006-214527; L-19315; Copyright; Avail.: CASI: [A13](#), Hardcopy

Nitrogen dioxide is one of the U. S. EPA s criteria pollutants, and one of the main ingredients needed for the production of ground-level ozone. Both ozone and nitrogen dioxide cause severe public health problems. Existing satellites have begun to produce observational data sets for nitrogen dioxide. Under NASAs Earth Science Applications Program, we examined the relationship between satellite observations and surface monitor observations of this air pollutant to examine if the satellite data can be used to facilitate a more capable and integrated observing network. This report provides a comparison of satellite tropospheric column nitrogen dioxide to surface monitor nitrogen dioxide concentration for the period from September 1996 through August 1997 at more than 300 individual locations in the continental US. We found that the spatial resolution and observation time of the satellite did not capture the variability of this pollutant as measured at ground level. The tools and processes developed to conduct this study will be applied to the analysis of advanced satellite observations. One advanced instrument has significantly better spatial resolution than the measurements studied here and operates with an afternoon overpass time, providing a more representative distribution for once-per-day sampling of this photochemically active atmospheric constituent.

Author

*Nitrogen Dioxide; Air Pollution; Satellite Observation; Earth Sciences; Photochemical Reactions; Ozone; Contaminants*

**20070003766** 4th Wave Imaging Corp., Aliso Viejo, CA, USA

**Time-Lapse Seismic Modeling and Inversion Modeling and Inversion of CO2 Saturation for Sequestration and Enhanced Oil Recovery**

Meadows, M. A.; Mar. 31, 2006; 10 pp.; In English

Report No.(s): DE2006-888933; No Copyright; Avail.: Department of Energy Information Bridge

Injection of carbon dioxide (CO2) into subsurface aquifers for geologic storage/sequestration, and into subsurface hydrocarbon reservoirs for enhanced oil recovery, has become an important topic to the nation because of growing concerns related to global warming and energy security. In this project we developed new ways to predict and quantify the effects of CO2 on seismic data recorded over porous reservoir/aquifer rock systems. This effort involved the research and development of new technology to: (1) quantitatively model the rock physics effects of CO2 injection in porous saline and oil/brine reservoirs (both miscible and immiscible); (2) quantitatively model the seismic response to CO2 injection (both miscible and

immiscible) from well logs (1D); and (3) perform quantitative inversions of time-lapse 4D seismic data to estimate injected CO<sub>2</sub> distributions within subsurface reservoirs and aquifers.

NTIS

*Carbon Dioxide; Inversions; Oil Recovery; Saturation*

**20070003774** CH2M/Hill Hanford Group, Inc., Richland, WA, USA

**Technical Basis Document for Natural Event Hazards**

Kripps, L. J.; Jul. 2006; 38 pp.; In English

Report No.(s): DE2006-888844; No Copyright; Avail.: Department of Energy Information Bridge

This technical basis document was developed to support the documented safety analysis (DSA) and describes the risk binning process and the technical basis for assigning risk bins for natural event hazard (NEH)-initiated accidents. The purpose of the risk binning process is to determine the need for safety-significant structures, systems, and components (SSC) and technical safety requirement (TSR)-level controls for a given representative accident or represented hazardous conditions based on an evaluation of the frequency and consequence. Note that the risk binning process is not applied to facility workers, because all facility worker hazardous conditions are considered for safety-significant SSCs and/or TSR-level controls.

NTIS

*Disasters; Hazards; Radiation*

**20070004730** California Univ., Berkeley, CA, USA

**Review of Hazardous Chemical Species Associated with CO<sub>2</sub> Capture from Coal-Fired Power Plants and their Potential Fate during CO<sub>2</sub> Geologic Storage**

Apps, J. A.; Mar. 2006; 64 pp.; In English

Report No.(s): DE2006-888971; No Copyright; Avail.: Department of Energy Information Bridge

Conventional coal-burning power plants are major contributors of excess CO<sub>2</sub> to the atmospheric inventory. Because such plants are stationary, they are particularly amenable to CO<sub>2</sub> capture and disposal by deep injection into confined geologic formations. However, the energy penalty for CO<sub>2</sub> separation and compression is steep, and could lead to a 30-40 percent reduction in useable power output. Integrated gas combined cycle (IGCC) plants are thermodynamically more efficient, i.e., produce less CO<sub>2</sub> for a given power output, and are more suitable for CO<sub>2</sub> capture. Therefore, if CO<sub>2</sub> capture and deep subsurface disposal were to be considered seriously, the preferred approach would be to build replacement IGCC plants with integrated CO<sub>2</sub> capture, rather than retrofit existing conventional plants. Coal contains minor quantities of sulfur and nitrogen compounds, which are of concern, as their release into the atmosphere leads to the formation of urban ozone and acid rain, the destruction of stratospheric ozone, and global warming. Coal also contains many trace elements that are potentially hazardous to human health and the environment. During CO<sub>2</sub> separation and capture, these constituents could inadvertently contaminate the separated CO<sub>2</sub> and be co-injected. The concentrations and speciation of the co-injected contaminants would differ markedly, depending on whether CO<sub>2</sub> is captured during the operation of a conventional or an IGCC plant, and the specific nature of the plant design and CO<sub>2</sub> separation technology.

NTIS

*Air Pollution; Carbon Dioxide; Coal; Coal Gasification; Combustion; Cycles; Pollution Control*

**20070004943** Forest Service, Portland, OR USA

**Northwest Forest Plan-The First 10 Years (1994-2003): Synthesis of Monitoring and Research Results**

Haynes, R. W.; Bormann, B. T.; Lee, D. C.; Martin, J. R.; Oct. 2006; 317 pp.; In English

Report No.(s): PB2007-103673; FSGTR-PNW-651; No Copyright; Avail.: National Technical Information Service (NTIS)

It has been 10 years since the Northwest Forest Plan (the Plan) came into being at the direction of President Clinton. This report synthesizes the status and trends of five major elements of the Plan: older forests, species, aquatic systems, socioeconomics, and adaptive management and monitoring. It synthesizes new science that has resulted from a decade of research. The report also contains key management implications for federal agencies. This report is a step in the adaptive management approach adopted by the Plan, and there is the expectation that its findings will lead to changes in the next decade of Plan implementation. Although most of the monitoring has been underway for less than a decade and many of the Plan's outcomes are expected to evolve over decades, the monitoring is already producing a wealth of data about the status and trends in abundance, extent, diversity, and ecological functions of older forests, the species that depend on them, and how humans relate to them.

NTIS

*Environmental Monitoring; Forests; United States*

**20070004945** Environmental Protection Agency, Research Triangle Park, NC USA  
**NERL Air Quality Research: Moving Forward. EPA-NOAA Scientists-to-Scientists Meeting. Held on March 2-3, 2004**  
Foley, G.; Mar. 2004; 19 pp.; In English  
Report No.(s): PB2007-103657; No Copyright; Avail.: National Technical Information Service (NTIS)  
No abstract available  
*Air Quality; Exposure; Research*

**20070004985** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA  
**Leakage from Geologic Storage Reservoirs of CO<sub>2</sub>**  
Pruess, K.; Mar. 2006; 4 pp.; In English  
Report No.(s): DE2006-889325; No Copyright; Avail.: National Technical Information Service (NTIS)  
Large amounts of CO<sub>2</sub> would need to be injected underground to achieve a significant reduction of atmospheric emissions. The large areal extent expected for CO<sub>2</sub> plumes makes it likely that caprock imperfections will be encountered, such as fault zones or fractures, which may allow some CO<sub>2</sub> to escape from the primary storage reservoir. Leakage of CO<sub>2</sub> could also occur along wellbores. Concerns with escape of CO<sub>2</sub> from a primary geologic storage reservoir include (1) acidification of groundwater resources, (2) asphyxiation hazard when leaking CO<sub>2</sub> is discharged at the land surface, (3) increase in atmospheric concentrations of CO<sub>2</sub>, and (4) damage from a high-energy, eruptive discharge (if such discharge is physically possible). In order to gain public acceptance for geologic storage as a viable technology for reducing atmospheric emissions of CO<sub>2</sub>, it is necessary to address these issues and demonstrate that CO<sub>2</sub> can be injected and stored safely in geologic formations.  
NTIS  
*Carbon Dioxide; Leakage; Reservoirs*

**20070004990** Lawrence Livermore National Lab., Livermore, CA USA  
**Resistance of HEPA Filters in a Ventilation Duct on Leakpath Factor Calculations**  
Ma, C. W.; Apr. 24, 2006; 20 pp.; In English  
Report No.(s): DE2006-889449; UCRL-CONF-220799; No Copyright; Avail.: National Technical Information Service (NTIS)  
The purpose of this report is to study the impact of the flow resistance of HEPA filters on leak path factor calculations.  
NTIS  
*Air Filters; Ducts; Ventilation*

**20070004991** Lawrence Livermore National Lab., Livermore, CA USA  
**LLNL Heavy Element Facility-Facility Management, Authorization Basis, and Readiness Assessment Lessons Learned in the Heavy Element Facility (B251) Transition from Category II Nuclear Facility to Radiological Facility**  
Mitchell, M.; Anderson, B.; Brown, E.; Gray, L.; Apr. 12, 2006; 24 pp.; In English  
Report No.(s): DE2006-889434; UCRL-CONF-220555; No Copyright; Avail.: National Technical Information Service (NTIS)  
This paper presents Facility Management, Readiness Assessment, and Authorization Basis experience gained and lessons learned during the Heavy Element Facility Risk Reduction Program (RRP). The RRP was tasked with removing contaminated glove boxes, radioactive inventory, and contaminated ventilation systems from the Heavy Element Facility (B251) at Lawrence Livermore National Laboratory (LLNL). The RRP was successful in its goal in April 2005 with the successful downgrade of B251 from a Category II Nuclear Facility to a Radiological Facility. The expertise gained and the lessons learned during the planning and conduct of the RRP included development of unique approaches in work planning/work control ('Expect the unexpected and confirm the expected') and facility management. These approaches minimized worker dose and resulted in significant safety improvements and operational efficiencies. These lessons learned can help similar operational and management activities at other sites, including facilities restarting operations or new facility startup. B251 was constructed at LLNL to provide research areas for conducting experiments in radiochemistry using transuranic elements. Activities at B251 once included the preparation of tracer sets associated with the underground testing of nuclear devices and basic research devoted to a better understanding of the chemical and nuclear behavior of the transuranic elements.  
NTIS  
*Heavy Elements; Radioactive Wastes; Radiology; Waste Management*



**20070005017** Humphreys Engineer Center Support Activity, Alexandria, VA, USA  
**Method and System for Treating Contaminants and Odors in Airborne Emissions**

Kim, B. J.; 4 Aug 04; 23 pp.; In English

Patent Info.: Filed Filed 4 Aug 04; US-Patent-Appl-SN-10-911-763

Report No.(s): PB2007-103883; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A second-generation rotating biofilter employing microorganisms in a microbiological film (biofilm) 'mineralizes' contaminants, such as VOCs and odoriferous contaminants. Contaminated fluid, such as air from manufacturing processes, is directed radially outward from a perforated pipe through porous foam attached to the pipe. The pipe serves as the axis upon which layers of foam suitable for supporting formation of biofilms are placed. In one embodiment, an octagonal-shaped drum incorporates eight baskets. In each basket, foam is layered outwardly from the pipe in trapezoidal-shaped layers each of approximately 3.8 cm thickness, each layer separated by air gaps of approximately 1.3 cm to prevent clogging. Seven layers in each of eight baskets comprise the octagonal drum. When the drum is sprayed on one side, water soaks the media and it is heavier on that side, thus facilitating rotation of the drum. Further, the biofilms are supplied with moisture and supplemental nutrients as needed.

NTIS

*Air Pollution; Contaminants; Odors; Pollution Control*

**20070005018** Environmental Monitoring Systems, Riverhead, NY, USA  
**National Symposium on Recent Advances in Pollutant Monitoring of Ambient Air and Stationary Sources, Held at Raleigh, North Carolina May 3-6, 1983**

Jan. 1984; 810 pp.; In English

Report No.(s): PB2007-106174; EPA 600/9-84/001; No Copyright; Avail.: CASI: [A99](#), Hardcopy

Measurement and monitoring research efforts are designed to anticipate potential environmental problems, to support regulatory actions by developing an in-depth understanding of the nature and processes that impact health and the ecology, to provide innovative means of monitoring compliance with regulations and to evaluate the effectiveness of health and environmental protection efforts through the monitoring of long-term trends. The Environmental Monitoring Systems Laboratory, Research Triangle Park, North Carolina, has the responsibility for: assessment of environmental monitoring technology and systems; implementation of agency-wide quality assurance programs for air pollution measurement systems; and supplying technical support to other groups in the Agency including the Office of Air, Noise and Radiation, the Office of Pesticides and Toxic Substances and the Office of Solid Waste and Emergency Response. This symposium is part of a continuing effort to explore recent advances in pollutant monitoring of ambient air and stationary sources. It serves as a forum for exchange of ideas and scientific information. In response to the Agency regulatory needs, this symposium focused on acid deposition, personal exposure and toxic substances. This publication is intended to assist those researchers interested in furthering the science of air monitoring.

NTIS

*Air Pollution; Air Sampling; Conferences; Contaminants; Pollution Monitoring*

**20070005026** Environmental Protection Agency, Washington, DC, USA  
**Inventory of Sources and Environmental Releases of Dioxin-Like Compounds in the USA for the Years 1987, 1995, and 2000**

Nov. 2006; 677 pp.; In English

Report No.(s): PB2007-104361; EPA/600/P-03/002F; No Copyright; Avail.: National Technical Information Service (NTIS)

The purpose of this document is to present a comprehensive inventory and overview of sources and environmental releases of dioxin-like compounds in the USA. The major identified sources of environmental releases of dioxin-like compounds are grouped into six broad categories: combustion sources, metals smelting, refining and process sources, chemical manufacturing sources, natural sources, and environmental reservoirs. Estimates of annual releases to land, air, and water are presented for each source category and summarized for reference years 1987, 1995, and 2000. The quantitative results are expressed in terms of the toxicity equivalence (TEQ) of the mixture of polychlorinated dibenzo-p-dioxin (CDD) and polychlorinated dibenzofuran (CDF) compounds present in environmental releases using a procedure sanctioned by the World Health Organization (WHO) in 1998. This TEQ procedure translates the complex mixture of CDDs and CDFs characteristic of environmental releases into an equivalent toxicity concentration of 2,3,7,8-tetrachlorodibenzo-p-dioxin (2,3,7,8-TCDD), the most toxic member of this class of compounds. Using this WHO procedure, the annual releases of TEQDF-WHO98 to the U.S. environment over the three reference years are 13,965 g in 1987, 3,444 g in 1995, and 1,422 g in 2000. This analysis indicates that between reference years 1987 and 2000, there was approximately a 90% reduction in the releases of dioxin-like

compounds to the circulating environment of the USA from all known sources combined. In 1987 and 1995, the leading source of dioxin emissions to the U.S. environment was municipal waste combustion; however, because of reductions in dioxin emissions from municipal waste combustors, it dropped to the fourth ranked source in 2000. Burning of domestic refuse in backyard burn barrels remained fairly constant over the years, but in 2000, it emerged as the largest source of dioxin emissions to the U.S. environment.

NTIS

*Air Pollution; Inventories; United States*

**2007005036** Constellation Technology Corp., Saint Petersburg, FL, USA

**Chemical Agent Detector**

Manoosingh, L. L.; 21 Jan 04; 15 pp.; In English

Contract(s)/Grant(s): DTRA02-99-C-01 87

Patent Info.: Filed 21 Jan 04; US-Patent-Appl-SN-10-761 729

Report No.(s): PB2007-102749; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A chemical agent detector utilizing surface acoustic wave (SAW) sensors for detecting the presence of a multitude of chemical agents by sampling ambient air is provided. A pressure-differential manifold having an air intake port, an exhaust port, a valve and a pump is used to draw the ambient air into the manifold to be tested. A plurality of SAW sensors mounted on sensor driver boards which are in turn mounted on the manifold come into contact with the ambient air sample. Each SAW sensor is coated with a substance that has an affinity for detecting a particular chemical agent. Each SAW sensor driver board generates a continuous RF signal which emits a frequency shift if a particular chemical agent is detected. A power cycler module turns each sensor driver board on and off such that only one sensor driver board is powered-on at a given point in time. An RF multiplexor receives the continuous RF signals generated by the sensor driver boards and outputs one of the RF signals to a microprocessor based upon a timing signal generated by the microprocessor. The microprocessor interprets the frequency shift as the detection of a chemical agent and provides an alarm that a particular chemical agent has been detected.

NTIS

*Sound Waves; Surface Waves; Air Sampling; Pollution Monitoring*

**2007005115** Lawrence Livermore National Lab., Livermore, CA USA

**Study of Stability Conditions in an Urban Area**

Chan, S. T.; Lundquist, J. K.; Nov. 05, 2005; 14 pp.; In English

Report No.(s): DE2006-886669; No Copyright; Avail.: National Technical Information Service (NTIS)

Accurate numerical prediction of airflow and tracer dispersion in urban areas depends, to a great extent, on the use of appropriate stability conditions. Due to the lack of relevant field measurements or sufficiently sophisticated turbulence models, modelers often assume that nearly neutral conditions are appropriate to use for the entire urban area being simulated. The main argument for such an assumption is that atmospheric stability (as defined by the Richardson number) is determined by both mechanical stresses and buoyant forcing but, for a typical urban setting with a given thermal stability or sensible heat flux, building-induced mechanical stresses can become so dominant to drive the resulting stability toward nearly neutral conditions.

NTIS

*Air Pollution; Cities; Pollution Monitoring; Stability*

**2007005116** Arizona Dept. of Environmental Quality, Phoenix, AZ, USA

**Arizona Department of Environmental Quality: Air Quality Annual Report, 2005**

January 2006; 132 pp.; In English

Report No.(s): PB2007-103704; No Copyright; Avail.: National Technical Information Service (NTIS)

This report presents the results of air quality monitoring conducted throughout Arizona in the 2004 calendar year. Data from more than 100 monitoring sites are included in this report. Many of the sites have multiple instruments measuring a variety of gaseous, particulate and visibility parameters. The majority of the air quality measurements are for criteria pollutants (ozone, particulate matter, sulfur dioxide, carbon monoxide, nitrogen dioxide and lead) for which EPA has established National Ambient Air Quality Standards (NAAQS). Visibility-related measurements are an increasing part of air monitoring activities in Arizona. In addition to the ADEQ monitoring network, air quality agencies in Maricopa, Pima and Pinal counties also operated networks, as did several industrial facilities. Their data are summarized in this report.

NTIS

*Air Quality; Environmental Quality*

**20070005417** Ljubljana Univ., Ljubljana, Slovenia

**The Role of Dosimetry in High-Quality EMI Risk Assessment**

Sep 14, 2006; 92 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): FA8655-06-1-5063

Report No.(s): AD-A460109; No Copyright; Avail.: CASI: [A05](#), Hardcopy

The Final Proceedings for The role of EMF dosimetry in high quality risk assessment 13 September 2006 - 15 September 2006 In the last three decades the use of devices that emit electromagnetic fields (EMF) has increased dramatically. The proliferation of EMF devices has been accompanied by increased concern about ensuring the safety of their use. Thus accurate dosimetry represents an essential element of the research in determining the biological effects of electromagnetic fields. The seminar is covering the state of the science in the numerical and experimental dosimetry exposure assessment and problems in assessment of the corresponding uncertainty. It is extremely important to assess the uncertainty of the results due to the compliance requirements of the Directive of the European Parliament and of the Council 2004/40/EC. The aim of the EMF seminar is a proactive discussion of upcoming issues of human exposure assessment to reassure high-quality of the assessment and reliable results which can further contribute to the needed human health protecting strategies. The seminar is organized in following areas: numerical and experimental methods oriented to deal with uncertainty exposure assessment in environment and workplaces standardization legislation and accreditation.

DTIC

*Assessments; Dosimeters; Electromagnetic Fields; Ionizing Radiation; Risk*

**20070005428** Plymovent Ltd., Oxfordshire, UK

**Energy Savings with a Scalable Source Capture Ventilation**

Barnkow, Steve; Feb 26, 2004; 27 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460122; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Briefing charts go with a talk discussing on-demand variable speed ventilation systems by PlymoVent, LTD.

DTIC

*Energy Conservation; Ventilation; Workstations*

**20070005429** Palm International, Inc., Lavergne, TN USA

**Reduced Air Emissions for Hard Chrome Plating at the NADEP NAS North Island, San Diego Using an Alternative Emission Control Technology**

Hutchins, Terry; Feb 26, 2004; 29 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460123; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The Naval Air Systems Command Depot (NADEP) facility located on the Naval Air Station (NAS) North Island, San Diego, CA currently has five (5) hard chrome plating tanks in operation. The facility typically plates 3-5 million amp-hours per year and is identified as a Small Hard Chromium Electroplating Facility by the San Diego Air Pollution Control District. This presentation addresses the implementation of encapsulating tank covers, hereafter referred to as the Chrome Plating Emission Elimination Device (EED), on the NADEP's hard chrome plating process tanks, as an alternate control device used to eliminate Cr+6 emissions to the outside environment, reduce operating costs, and provide enhanced operator safety and exposure in the chrome plating facility.

DTIC

*Air Quality; Chromium; Electroplating; Plating*

**47**

**METEOROLOGY AND CLIMATOLOGY**

Includes weather observation forecasting and modification.

**20070003609** Naval Research Lab., Monterey, CA USA

**Software User's Manual for the Coupled Ocean/Atmosphere Mesoscale Prediction System (COAMPS)**

Nov 1996; 81 pp.; In English

Report No.(s): AD-A459798; NRL/MR/7543--96-7227; No Copyright; Avail.: CASI: [A05](#), Hardcopy

No abstract available

*Mesometeorology; Mesoscale Phenomena; User Manuals (Computer Programs); Oceanography*

**20070003742** Pacific Northwest National Lab., Richland, WA, USA

**Analysis of Wintertime Winds in Washington, D.C**

Berg, L. K.; Allwine, K. J.; May 2006; 38 pp.; In English

Report No.(s): DE2006-888709; PNNL-15799; No Copyright; Avail.: National Technical Information Service (NTIS)

This report describes the wintertime climatology of wind speed and wind direction around the National Mall in Washington, D.C. Meteorological data for this study were collected at Ronald Reagan Washington National Airport (Reagan National), Dulles International Airport (Dulles), and a set of surface meteorological stations located on several building tops around the National Mall. We present a 5-year wintertime climatology of wind speed and wind direction measured at Reagan National and Dulles. Also included is a more detailed analysis completed for the period December 2003 through February 2004 using data gathered from stations located around the National Mall, Reagan National, and Dulles.

NTIS

*District of Columbia; Meteorological Parameters; Winter*

**20070004637** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**Model Calculations of Solar Spectral Irradiance in the 3.7 Micron Band for Earth Remote Sensing Applications**

Platnick, Steven; Fontenla, Juan M.; [2006]; 35 pp.; In English; Original contains black and white illustrations

Contract(s)/Grant(s): NAS5-97045; 621-30-92; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070004637>; Avail.:

CASI: A03, Hardcopy

Since the launch of the first Advanced Very High Resolution Radiometer (AVHRR) instrument aboard TIROS-N, measurements in the 3.7 micron atmospheric window have been exploited for use in cloud detection and screening, cloud thermodynamic phase and surface snow/ice discrimination, and quantitative cloud particle size retrievals. The utility of the band has led to the incorporation of similar channels on a number of existing satellite imagers and future operational imagers. Daytime observations in the band include both reflected solar and thermal emission energy. Since 3.7 micron channels are calibrated to a radiance scale (via onboard blackbodies), knowledge of the top-of-atmosphere solar irradiance in the spectral region is required to infer reflectance. Despite the ubiquity of 3.7 micron channels, absolute solar spectral irradiance data comes from either a single measurement campaign (Thekaekara et al. 1969) or synthetic spectra. In this study, we compare historical 3.7 micron band spectral irradiance data sets with the recent semi-empirical solar model of the quiet-Sun by Fontenla et al. (2006). The model has expected uncertainties of about 2 % in the 3.7 pm spectral region. We find that channel-averaged spectral irradiances using the observations reported by Thekaekara et al. are 3.2-4.1% greater than those derived from the Fontenla et al. model for MODIS and AVHRR instrument bandpasses; the Kurucz spectrum (1995) as included in the MODTRAN4 distribution, gives channel-averaged irradiances 1.2-1.5 % smaller than the Fontenla model. For the MODIS instrument, these solar irradiance uncertainties result in cloud microphysical retrievals uncertainties comparable with other fundamental reflectance error sources.

Author

*Advanced Very High Resolution Radiometer; Thermal Emission; Solar Energy; Remote Sensing; Irradiance; MODIS (Radiometry); Cloud Physics; Thermal Energy*

**20070004930** ENSCO, Inc., Cocoa Beach, FL, USA

**Objective Lightning Probability Forecasting for Kennedy Space Center and Cape Canaveral Air Force Station**

Lambert, Winifred; Wheeler, Mark; 2005; 54 pp.; In English; Original contains black and white illustrations

Contract(s)/Grant(s): NAS10-01052

Report No.(s): NASA/CR-2005-212564; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070004930>; Avail.:

A04, Hardcopy

Five logistic regression equations were created that predict the probability of cloud-to-ground lightning occurrence for the day in the KSC/CCAFS area for each month in the warm season. These equations integrated the results from several studies over recent years to improve thunderstorm forecasting at KSC/CCAFS. All of the equations outperform persistence, which is known to outperform NPTI, the current objective tool used in 45 WS lightning forecasting operations. The equations also performed well in other tests. As a result, the new equations will be added to the current set of tools used by the 45 WS to determine the probability of lightning for their daily planning forecast. The results from these equations are meant to be used as first-guess guidance when developing the lightning probability forecast for the day. They provide an objective base from which forecasters can use other observations, model data, consultation with other forecasters, and their own experience to create the final lightning probability for the 1100 UTC briefing.

Author

*Cloud-to-Ground Discharges; Thunderstorms; Weather Forecasting; Forecasting*

**20070004934** NASA Langley Research Center, Hampton, VA, USA

**Validation of the Archived CERES Surface and Atmosphere Radiation Budget (SARB) at SGP**

Charlock, Thomas P.; Rose, Fred G.; Rutan, David A.; [2003]; 6 pp.; In English; Thirteenth ARM Science Team Meeting Proceedings, 31 Mar. - 4 Apr. 2003, Broomfield, CO, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 109.431.07.01; Copyright; Avail.: CASI: [A02](#), Hardcopy

The CERES Surface and Atmosphere Radiation Budget (SARB) product (Charlock et al, 2002) includes the vertical profile of broadband SW, broadband LW, and 8-12 micron window (WN) fluxes; upwelling and downwelling at TOA, 70 hPa, 200 hPa, 500 hPa, and the surface; and for all-sky and clear-sky conditions. We test the archived CERES TRMM record of SARB for January-August 1998 and focus on discrepancies with ground-based measurements at SGP. The CERES SARB is generated by a highly modified Fu-Liou radiative transfer code (Fu and Liou, 1993). The most critical inputs for this application are cloud optical properties (fractional area, optical depth, particle size and phase, height of top, and estimate of geometrical thickness Minnis et al., 2002) from the narrowband VIRS imager. Numerous VIRS pixels (approx. 2km resolution at nadir) are matched to each of the large (approx. 20km) CERES broadband footprints (Wielicki et al, 1996). Other inputs include temperature and humidity from ECMWF (Rabier et al, 1998) , NCEP ozone profiles from SBUV and TOVS (Yang et al, 2001), aerosol optical thickness (AOT) from the Model for Atmospheric Transport and Chemistry (MATCH) aerosol assimilation (Collins et al., 2001) or alternately from the VIRS imager (Ignatov and Stowe, 2000). VIRS AOT is available for clear and partly cloudy ocean footprints during daylight; and only when viewing geometry renders a contribution from sunglint as unlikely. For other footprints, AOT is taken from MATCH. AOT is apportioned into fractions of dust (Tegan and Lacis, 1996), sea salt, sulfate, dust, soluble organic, insoluble organic, and soot (Hess et al., 1996) using the 6-hourly MATCH output. Tuned fluxes are retrieved by adjusting inputs to nudge computed TOA fluxes toward CERES observations (Rose et al, 1997). In clear conditions, the fields of humidity, surface skin temperature, surface albedo and AOT are adjusted to produce a closer match of computed and observed fluxes at TOA. When CERES footprints have clouds, the cloud optical thickness, fractional area within the footprint, and temperature of cloud top are adjusted by the tuning algorithm. Both tuned and untuned fluxes are archived, as are the respective adjustments to any parameters at the surface or within the atmosphere.

Author

*Atmospheric Radiation; Earth Radiation Budget; Radiation Measurement; Earth Surface; Clouds (Meteorology)*

**20070004935** NASA Langley Research Center, Hampton, VA, USA

**Global, Multi-Year Analysis of Clouds and Earth's Radiant Energy System Terra Observations and Radiative Transfer Calculations**

Charlock, T. P.; Rose, F. G.; Rutan, D. A.; Coleman, L. H.; Caldwell, T.; Zentz, S.; [2005]; 8 pp.; In English; 15th ARM Science Team Meeting, 14-18 Mar. 2005, Daytona Beach, FL, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 109.431.07.01; Copyright; Avail.: CASI: [A02](#), Hardcopy

An extended record of the Terra Surface and Atmosphere Radiation Budget (SARB) computed by CERES (Clouds and Earth's Radiant Energy System) is produced in gridded form, facilitating an investigation of global scale direct aerosol forcing. The new gridded version (dubbed FSW) has a spacing of 1° at the Equator. A companion document (Rutan et al. 2005) focuses on advances to (and validation of) the ungridded, footprint scale calculations (dubbed CRS), primarily in clear-sky conditions. While mainly intended to provide observations of fluxes at the top of atmosphere (TOA), CERES (Wielicki et al. 1996) includes a program to also compute the fluxes at TOA, within the atmosphere and at the surface, and also to validate the results with independent ground based measurements (Charlock and Alberta 1996). ARM surface data has been a focus for this component of CERES. To permit the user to infer cloud forcing and direct aerosol forcing with the computed SARB, CERES includes surface and TOA fluxes that have been computed for cloud-free (clear) and aerosol free (pristine) footprints; this accounts for aerosol effects (SW scattering and absorption, and LW scattering, absorption and emission) to both clear and cloudy skies.

Derived from text

*Atmospheric Radiation; Atmospheric Heat Budget; Clouds (Meteorology); Terra Spacecraft; Radiative Transfer; Earth Atmosphere*

**20070004938** Washington Univ., Seattle, WA, USA

**Use of Weather Data to Predict Non-Recurring Traffic Congestion**

Dailey, D. J.; Aug. 2006; 23 pp.; In English

Report No.(s): PB2007-103681; No Copyright; Avail.: National Technical Information Service (NTIS)

The goal of this project is to demonstrate the quantitative relationship between weather patterns and surface traffic conditions. The aviation and maritime industries use weather measurements and predictions as a normal part of operations,

and this can be extended to surface transportation. While it is generally asserted that there is a causal relationship between weather and transportation system delays, this relationship has not been quantified in a way that allows the effects on surface transportation systems to be predicted. This research has the potential to accomplish two very important things: (1) prediction of non-recurring traffic congestion and (2) prediction of conditions under which incidents or accidents can have a significant impact on the freeway system. This linkage of weather to traffic may be one of the only non-recurring congestion phenomena that can be accurately predicted. If the research is successful, it will create a report that describes an algorithm and implementation to correlate weather and traffic congestion. Furthermore, it may provide a means for traffic management to proactively place resources to clear incidents.

NTIS

*Congestion; Forecasting; Traffic*

**20070005039** Office of Management and Budget, Washington, DC USA

**Temperature Trends in the Lower Atmosphere: Steps for Understanding and Reconciling Differences. Synthesis and Assessment Product 1.1**

Apr. 2006; 180 pp.; In English

Report No.(s): PB2007-104306; No Copyright; Avail.: National Technical Information Service (NTIS)

A primary objective of the U. S. Climate Change Science Program (CCSP) is to provide the best possible scientific information to support public discussion and government and private sector decision-making on key climate-related issues. To help meet this objective, the CCSP has identified an initial set of 21 synthesis and assessment products that address its highest priority research, observation, and decision-support needs. This Synthesis/Assessment Report, the first of the 21 Reports, focuses on understanding the causes of the reported differences between independently produced data sets of atmospheric temperature trends from the surface through the troposphere to the lower stratosphere.

NTIS

*Climate Change; Lower Atmosphere; Trends*

**20070005059** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

**Sectoral Trends in Global Energy Use and Greenhouse Gas Emissions**

Price, L.; de la Rue du Can, S.; Sinton, J.; Worrell, E.; Nan, Z.; January 2006; 60 pp.; In English

Report No.(s): DE2006-888753; No Copyright; Avail.: National Technical Information Service (NTIS)

In 2000, the Intergovernmental Panel on Climate Change (IPCC) published a new set of baseline greenhouse gas (GHG) emissions scenarios in the Special Report on Emissions Scenarios (SRES) (Nakicenovic et al., 2000). The SRES team defined four narrative storylines (A1, A2, B1 and B2) describing the relationships between the forces driving GHG and aerosol emissions and their evolution during the 21st century. The SRES reports emissions for each of these storylines by type of GHG and by fuel type to 2100 globally and for four world regions (OECD countries as of 1990, countries undergoing economic reform, developing countries in Asia, rest of world). Specific assumptions about the quantification of scenario drivers, such as population and economic growth, technological change, resource availability, land-use changes, and local and regional environmental policies, are also provided. End-use sector-level results for buildings, industry, or transportation or information regarding adoption of particular technologies and policies are not provided in the SRES. The goal of this report is to provide more detailed information on the SRES scenarios at the end use level including historical time series data and a decomposition of energy consumption to understand the forecast implications in terms of end use efficiency to 2030. This report focuses on the A1 (A1B) and B2 marker scenarios since they represent distinctly contrasting futures. The A1 storyline describes a future of very rapid economic growth, low population growth, and the rapid introduction of new and more efficient technologies. Major underlying themes are convergence among regions, capacity building, and increased cultural and social interactions, with a substantial reduction in regional differences in per capita income. The B2 storyline describes a world with an emphasis on economic, social, and environmental sustainability, especially at the local and regional levels. It is a world with moderate population growth, intermediate levels of economic development, and less rapid and more diverse technological change (Nakicenovic et al., 2000). Data were obtained from the SRES modeling teams that provide more detail than that reported in the SRES. For the A1 marker scenario, the modeling team provided final energy demand and carbon dioxide (CO<sub>2</sub>) emissions by fuel for industry, buildings, and transportation for nine world regions. Final energy use and CO<sub>2</sub> emissions for three sectors (industry, transport, buildings) for the four SRES world regions were provided for the B2 marker scenario. This report describes the results of a disaggregation of the SRES projected energy use and energy-related CO<sub>2</sub> emissions for the industrial, transport, and buildings sectors for 10 world regions to 2030. An example of further disaggregation of the two SRES scenarios

for the residential buildings sector in China is provided, illustrating how such aggregate scenarios can be interpreted at the end use level.

NTIS

*Climate; Energy Consumption; Exhaust Emission; Exhaust Gases; Greenhouse Effect; Trends*

**20070005090** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**Modeling the Observed Solar Cycle Variations of the Quasi-biennial Oscillation (QBO): Amplification by Wave Forcing**

Mayr, Hans G.; Mengel, John G.; Huang, Frank T.; Chan, Kwing L.; [2007]; 16 pp.; In English; Copyright; Avail.: CASI: [A03](#), Hardcopy

In several papers, the solar cycle (SC) effect in the lower atmosphere has been linked observationally to the Quasi-biennial Oscillation (QBO) of the zonal circulation, which is generated primarily by small-scale gravity waves (GW). Salby and Callaghan (2000) in particular analyzed the QBO, covering more than 40 years, and discovered that it contains a large SC signature at 20 km. With our Numerical Spectral Model (NSM), we conducted a 3D study to describe the QBO under the influence of the SC, and some results have been published (Mayr et al., GRL, 2005,2006). For a SC period of 10 years, the relative amplitude of radiative forcing is taken to vary exponentially with height, i.e., 0.2% at the surface, 2% at 50 km, 20% at 100 km and above. Applying spectral analysis to filter out and identify the SC signature, the model generates a relatively large modulation of the QBO, which reproduces the observations qualitatively. Our numerical results demonstrate that the modulation of the QBO, with constant phase relative to the SC, persist at least for 60 years. The same model run generates in the seasonal variations a hemispherically symmetric Equatorial Annual Oscillation (EAO, with 12-month period), which is confined to low latitudes like the QBO and is also modulated by the SC. Although the amplitude of the EAO is relatively small, its SC modulation is large, and it is in phase with that of the QBO. The SC modulated EAO is evidently the pathway and pacemaker for the solar influence on the QBO. To shed light on the dynamical processes involved, we present model results that show how the seasonal cycle induces the SC modulations of the EAO and QBO. Our analysis further demonstrates that the SC modulations of the QBO and EAO are amplified by the GW interaction with the flow. The GW momentum source clearly shows a SC modulation that is in phase with the corresponding modulations of the QBO and EAO. By tapping the momentum from the upward propagating GWs, the QBO and EAO apparently serve as conduits to amplify and transfer to lower altitudes the larger SC variations in the UV absorbed in the mesosphere. Our model also produces in the temperature variations of the QBO and EAO measurable SC modulations at polar latitudes near the tropopause, and such signatures have been reported in the literature. Contrary to conventional interpretation, however, we suggest that the effects are generated at least in part by the meridional circulation, and planetary waves presumably, which redistribute the energy from the equatorial region where wave forcing is very efficient and thereby amplifies the SC influence.

Author

*Amplification; Mathematical Models; Planetary Waves; Quasi-Biennial Oscillation; Solar Cycles; Variations; Spectrum Analysis*

**20070005092** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**An Integrated High Resolution Hydrometeorological Modeling Testbed using LIS and WRF**

Kumar, Sujay V.; Peters-Lidard, Christa D.; Eastman, Joseph L.; Tao, Wei-Kuo; [2007]; 28 pp.; In English; Original contains black and white illustrations

Contract(s)/Grant(s): NASA ESTO/AIST NRA-02-OES-04; Copyright; Avail.: CASI: [A03](#), Hardcopy

Scientists have made great strides in modeling physical processes that represent various weather and climate phenomena. Many modeling systems that represent the major earth system components (the atmosphere, land surface, and ocean) have been developed over the years. However, developing advanced Earth system applications that integrates these independently developed modeling systems have remained a daunting task due to limitations in computer hardware and software. Recently, efforts such as the Earth System Modeling Ramework (ESMF) and Assistance for Land Modeling Activities (ALMA) have focused on developing standards, guidelines, and computational support for coupling earth system model components. In this article, the development of a coupled land-atmosphere hydrometeorological modeling system that adopts these community interoperability standards, is described. The land component is represented by the Land Information System (LIS), developed by scientists at the NASA Goddard Space Flight Center. The Weather Research and Forecasting (WRF) model, a mesoscale numerical weather prediction system, is used as the atmospheric component. LIS includes several community land surface models that can be executed at spatial scales as fine as 1km. The data management capabilities in LIS enable the direct use of high resolution satellite and observation data for modeling. Similarly, WRF includes several parameterizations and schemes for modeling radiation, microphysics, PBL and other processes. Thus the integrated LIS-WRF system facilitates several

multi-model studies of land-atmosphere coupling that can be used to advance earth system studies.

Author

*Numerical Weather Forecasting; Prediction Analysis Techniques; Hydrometeorology; Mesoscale Phenomena; Mesometeorology; Computer Programs; Earth Atmosphere; Earth Surface*

**20070005114** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**Mesospheric Dynamical Changes Induced by the Solar Proton Events in October-November 2003**

Jackman, Charles H.; Roble, Raymond G.; Fleming, Eric L.; [2007]; 21 pp.; In English; Copyright; Avail.: CASI: [A03](#), Hardcopy

The very large solar storms in October-November 2003 caused solar proton events (SPEs) at the Earth that impacted the upper atmospheric polar cap regions. The Thermosphere Ionosphere Mesosphere Electrodynamic General Circulation Model (TIME-GCM) was used to study the atmospheric dynamical influence of the solar protons that occurred in Oct-Nov 2003, the fourth largest period of SPEs measured in the past 40 years. The highly energetic solar protons caused ionization, as well as dissociation processes, and ultimately produced odd hydrogen (HOx) and odd nitrogen (NOy). Significant short-lived ozone decreases (10-70%) followed these enhancements of HOx and NOy and led to a cooling of most of the lower mesosphere. This cooling caused an atmospheric circulation change that led to adiabatic heating of the upper mesosphere. Temperature changes up to plus or minus 2.6 K were computed as well as wind (zonal, meridional, vertical) perturbations up to 20-25% of the background winds as a result of 22 the solar protons. The solar proton-induced mesospheric temperature and wind perturbations diminished over a period of 4-6 weeks after the SPEs. The Joule heating in the mesosphere, induced by the solar protons, was computed to be relatively insignificant for these solar storms with most of the temperature and circulation perturbations caused by ozone depletion in the sunlit hemisphere.

Author

*Mesosphere; Solar Protons; Solar Storms; Annual Variations; Atmospheric Circulation; Earth Atmosphere*

**20070005162** NASA Langley Research Center, Hampton, VA, USA

**Uncertainty Analysis of Historical Hurricane Data**

Green, Lawrence L.; [2007]; 27 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 604746.901.02.04

Report No.(s): AIAA Paper 2007-1102; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005162>; Avail.: CASI: [A03](#), Hardcopy

An analysis of variance (ANOVA) study was conducted for historical hurricane data dating back to 1851 that was obtained from the U. S. Department of Commerce National Oceanic and Atmospheric Administration (NOAA). The data set was chosen because it is a large, publicly available collection of information, exhibiting great variability which has made the forecasting of future states, from current and previous states, difficult. The availability of substantial, high-fidelity validation data, however, made for an excellent uncertainty assessment study. Several factors (independent variables) were identified from the data set, which could potentially influence the track and intensity of the storms. The values of these factors, along with the values of responses of interest (dependent variables) were extracted from the data base, and provided to a commercial software package for processing via the ANOVA technique. The primary goal of the study was to document the ANOVA modeling uncertainty and predictive errors in making predictions about hurricane location and intensity 24 to 120 hours beyond known conditions, as reported by the data set. A secondary goal was to expose the ANOVA technique to a broader community within NASA. The independent factors considered to have an influence on the hurricane track included the current and starting longitudes and latitudes (measured in degrees), and current and starting maximum sustained wind speeds (measured in knots), and the storm starting date, its current duration from its first appearance, and the current year fraction of each reading, all measured in years. The year fraction and starting date were included in order to attempt to account for long duration cyclic behaviors, such as seasonal weather patterns, and years in which the sea or atmosphere were unusually warm or cold. The effect of short duration weather patterns and ocean conditions could not be examined with the current data set. The responses analyzed were the storm latitude, longitude and intensity, as recorded in the data set, 24 or 120 hours beyond the current state. Several ANOVA modeling schemes were examined. Two forms of validation were used: 1) comparison with official hurricane prediction performance metrics and 2) cases studies conducted on hurricanes from the 2005 season, which were not included within the model construction and ANOVA assessment. In general, the ANOVA technique did not perform as well as the established official prediction performance metrics published by NOAA; still, the technique did remarkably well in this demonstration with a difficult data set and could probably be made to perform better with more knowledge of hurricane



development and dynamics applied to the problem. The technique provides a repeatable prediction process that eliminates the need for judgment in the forecast.

Author

*Analysis of Variance; Hurricanes; Time Measurement; Predictions; Forecasting; Error Analysis; Independent Variables*

**20070005209** Washington Univ., Seattle, WA USA

**Calibrated Probabilistic Mesoscale Weather Field Forecasting: The Geostatistical Output Perturbation (GOP) Method**

Gel, Yulia; Raftery, Adrian E; Gneiting, Tilmann; Mar 12, 2003; 20 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-01-10745

Report No.(s): AD-A459674; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459674>; Avail.: Defense Technical Information Center (DTIC)

Probabilistic weather forecasting consists of finding a joint probability distribution for future weather quantities or events. It is typically done by using a numerical weather prediction model, perturbing the inputs to the model in various ways, often depending on data assimilation, and running the model for each perturbed set of inputs. The result is then viewed as an ensemble of forecasts, taken to be a sample from the joint probability distribution of the future weather quantities of interest. This is typically not feasible for mesoscale weather prediction carried out locally by organizations without the vast data and computing resources of national weather centers. Instead, we propose a simpler method which breaks with much previous practice by perturbing the outputs, or deterministic forecasts, from the model. Forecast errors are modeled using a geostatistical model, and ensemble members are generated by simulating realizations of the geostatistical model. The method is applied to 48-hour mesoscale forecasts of temperature in the US Pacific Northwest in 2000 and 2002. The resulting forecast intervals turn out to be well calibrated for individual meteorological quantities, to be sharper than those obtained from approximate climatology, and to be consistent with aspects of the spatial correlation structure of the observations.

DTIC

*Calibrating; Mesometeorology; Mesoscale Phenomena; Perturbation Theory; Probability Theory; Weather*

**20070005240** Washington Univ., Seattle, WA USA

**Using Bayesian Model Averaging to Calibrate Forecast Ensembles**

Raftery, Adrian E; Balabdaoui, Fadoua; Gneiting, Tilmann; Polakowski, Michael; Dec 15, 2003; 33 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-01-10745

Report No.(s): AD-A459828; UW-STAT-TR-440; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459828>; Avail.: CASI: A03, Hardcopy

Ensembles used for probabilistic weather forecasting often exhibit a spread-skill relationship, but they tend to be underdispersive. This paper proposes a principled statistical method for postprocessing ensembles based on Bayesian model averaging (BMA), which is a standard method for combining predictive distributions from different sources. The BMA predictive probability density function (PDF) of any quantity of interest is a weighted average of PDFs centered around the individual (possibly bias-corrected) forecasts, where the weights are equal to posterior probabilities of the models generating the forecasts, and reflect the models' skill over the training period. The BMA PDF can be represented as an unweighted ensemble of any desired size, by simulating from the BMA predictive distribution. The BMA weights can be used to assess the usefulness of ensemble members, and this can be used as a basis for selecting ensemble members. The BMA predictive variance can be decomposed into two components, one corresponding to the between-forecast variability, and the second to the within-forecast variability. Predictive PDFs or intervals based solely on the ensemble spread incorporate the first component but not the second. Thus BMA provides a theoretical explanation of the tendency of ensembles to exhibit a spread-skill relationship but yet to be underdispersive. The method was applied to 48-hour forecasts of sea-level pressure in the Pacific Northwest, using the University of Washington MM5 mesoscale ensemble. The predictive PDFs were much better calibrated than the raw ensemble, the BMA forecasts were sharp in that 90% BMA prediction intervals were 62% shorter on average than those produced by sample climatology. As a byproduct, BMA yields a deterministic point forecast, and this had RMSE 11% lower than any of the ensemble members, and 6% lower than the ensemble mean. Similar results were obtained for forecasts of surface temperature.

DTIC

*Bayes Theorem; Calibrating; Forecasting; Probability Density Functions*

**20070005242** Washington Univ., Seattle, WA USA

**Calibrated Probabilistic Forecasting at the Stateline Wind Energy Center: The Regime-Switching Space-Time (RST) Method**

Gneiting, Tilmann; Larson, Kristin; Westrick, Kenneth; Genton, Marc G; Aldrich, Eric; Sep 2004; 28 pp.; In English  
Contract(s)/Grant(s): N00014-01-10745

Report No.(s): AD-A459831; UW-STAT-TR-464; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459831>; Avail.: CASI: **A03**, Hardcopy

With the global proliferation of wind power, accurate short-term forecasts of wind resources at wind energy sites are becoming paramount. Regime-switching space-time (RST) models merge meteorological and statistical expertise to obtain accurate and calibrated, fully probabilistic forecasts of wind speed and wind power. The model formulation is parsimonious, yet takes account of all the salient features of wind speed: alternating atmospheric regimes, temporal and spatial correlation, diurnal and seasonal non-stationarity, conditional heteroscedasticity, and non-Gaussianity. The RST method identifies forecast regimes at the wind energy site and fits a conditional predictive model for each regime. Geographically dispersed meteorological observations in the vicinity of the wind farm are used as off-site predictors. The RST technique was applied to 2-hour ahead forecasts of hourly average wind speed at the Stateline wind farm in the US Pacific Northwest. In July 2003, for instance, the RST forecasts had root-mean-square error (RMSE) 28.6% less than the persistence forecasts. For each month in the test period, the RST forecasts had lower RMSE than forecasts using state-of-the-art vector time series techniques. The RST method provides probabilistic forecasts in the form of predictive cumulative distribution functions, and those were well calibrated and sharp. The RST prediction intervals were substantially shorter on average than prediction intervals derived from univariate time series techniques. These results suggest that quality meteorological data from sites upwind of wind farms can be efficiently used to improve short-term forecasts of wind resources. It is anticipated that the RST technique can be successfully applied at wind energy sites all over the world.

DTIC

*Calibrating; Forecasting; Probability Distribution Functions; Switching; Windpower Utilization*

**48**

**OCEANOGRAPHY**

Includes the physical, chemical and biological aspects of oceans and seas; ocean dynamics; and marine resources. For related information see also *43 Earth Resources and Remote Sensing*.

**20070003878** Army Engineer Research and Development Center, Vicksburg, MS USA

**Evaluating a Prefabricated Submerged Breakwater and Double-T Sill for Beach Erosion Prevention, Cape May Point, NJ**

Jan 2003; 17 pp.; In English

Report No.(s): AD-A459542; No Copyright; Avail.: CASI: **A03**, Hardcopy

No abstract available

*Beaches; Breakwaters; Water Erosion; Prevention*

**20070004877** Army Engineer Research and Development Center, Vicksburg, MS USA

**National Shoreline Erosion Control Demonstration Program Overview**

Aug 2000; 5 pp.; In English

Report No.(s): AD-A459558; No Copyright; Avail.: CASI: **A01**, Hardcopy

No abstract available

*Shorelines; Water Erosion; Erosion*

## LIFE SCIENCES (GENERAL)

Includes general research topics related to plant and animal biology (non-human); ecology; microbiology; and also the origin, development, structure, and maintenance of animals and plants in space and related environmental conditions. For specific topics in life sciences see *categories 52 through 55*.

**20070003503** University of Southern California, Marina del Rey, CA USA

**A Virtual Reality Exposure Therapy Application for Iraq War Post Traumatic Stress Disorder**

Pair, Jarrell; Allen, Brian; Dautricourt, Matthieu; Treskunov, Anton; Liewer, Matt; Graap, Ken; Reger, Greg; Rizzo, Albert; Jan 2006; 7 pp.; In English

Report No.(s): AD-A459227; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459227>; Avail.: CASI: A02, Hardcopy

Post-Traumatic Stress Disorder (PTSD) is reported to be caused by traumatic events that are outside the range of usual human experiences, including (but not limited to) military combat, violent personal assault, being kidnapped or taken hostage, and terrorist attacks. Initial data suggests that 1 out of 6 Iraqi War veterans are exhibiting symptoms of depression, anxiety, and PTSD. Virtual Reality (VR) exposure treatment has been used in previous treatments of PTSD patients with reports of positive outcomes. The aim of the current paper is to present the rationale, technical specifications, application features, and user-centered design process for the development of a Virtual Iraq PTSD VR therapy application. The VR treatment environment is being created via the recycling of virtual graphic assets that were initially built for the U.S. Army-funded combat tactical simulation scenario and commercially successful X-Box game, 'Full Spectrum Warrior,' in addition to other available and newly created assets. Thus far, the authors have created a series of customizable virtual scenarios designed to represent relevant contexts for exposure therapy to be conducted in VR, including a city and a desert road convoy environment. User-Centered tests with the application are currently underway at the Naval Medical Center, San Diego, and within an Army Combat Stress Control Team in Iraq, with clinical trials scheduled to commence in February 2006.

DTIC

*Combat; Exposure; Injuries; Iraq; Psychotherapy; Simulation; Therapy; Virtual Reality; Warfare*

**20070003532** NASA Ames Research Center, Moffett Field, CA, USA

**Application of NASA's Advanced Life Support Technologies in Polar Regions**

Bubenheim, David L.; [1997]; 1 pp.; In English; Alaska Water and Wastewater Management Association Research Development Conference on Rural Sanitation, 22 Apr. 1997, Fairbanks, AK, USA; No Copyright; Avail.: Other Sources; Abstract Only

The problems of obtaining adequate pure drinking water and disposing of liquid and solid waste in the U.S Arctic, a region where virtually all water is frozen solid for much of the year, has led to unsanitary solutions. Sanitation and a safe water supply are particularly problems in rural villages. These villages are without running water and use plastic buckets for toilets. The outbreak of diseases is believed to be partially attributable to exposure to human waste and lack of sanitation. Villages with the most frequent outbreaks of disease are those in which running water is difficult to obtain. Waste is emptied into open lagoons, rivers, or onto the sea coast. It does not degrade rapidly and in addition to affecting human health, can be harmful to the fragile ecology of the Arctic and the indigenous wildlife and fish populations. Current practices for waste management and sanitation pose serious human hazards as well as threaten the environment. NASA's unique knowledge of water/wastewater treatment systems for extreme environments, identified in the Congressional Office of Technology Assessment report entitled An Alaskan Challenge: Native Villag Sanitation, may offer practical solutions addressing the issues of safe drinking water and effective sanitation practices in rural villages. NASA's advanced life support technologies are being combined with Arctic science and engineering knowledge to address the unique needs of the remote communities of Alaska through the Advanced Life Systems for Extreme Environments (ALSEE) project. ALSEE is a collaborative effort involving the NASA, the State of Alaska, the University of Alaska, the North Slope Borough of Alaska, Ilisagvik College in Barrow and the National Science Foundation (NSF). The focus is a major issue in the State of Alaska and other areas of the Circumpolar North; the health and welfare of its people, their lives and the subsistence lifestyle in remote communities, economic opportunity, and care for the environment. As advanced technologies are transferred to the commercial sector the ALSEE project Offers the potential for development of new industries in Alaska to supply the products to support remote communities of the globe.

Author

*Polar Regions; Life Support Systems; Technology Assessment; Biological Hazards*

**20070003555** Long Island Jewish Medical Center, Lake Success, NY USA  
**Neural Protein Synuclein (SNCG) in Breast Cancer Progression**  
Aug 2005; 11 pp.; In English  
Contract(s)/Grant(s): DAMD17-01-1-0352  
Report No.(s): AD-A459728; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Breast; Cancer; Proteins; Life Sciences*

**20070003559** Howard Univ., Washington, DC USA  
**A Training Program in Breast Cancer Research Using NMR Techniques**  
Jul 2006; 86 pp.; In English  
Contract(s)/Grant(s): DAMD17-00-1-0291  
Report No.(s): AD-A459727; No Copyright; Avail.: Defense Technical Information Center (DTIC)  
No abstract available  
*Breast; Cancer; Education; Nuclear Magnetic Resonance*

**20070003563** NASA Ames Research Center, Moffett Field, CA, USA  
**Bucky Paper as a Support Membrane in Retinal Cell Transplantation**  
Loftus, David J., Inventor; Leng, Theodore, Inventor; Huie, Philip, Inventor; Fishman, Harvey, Inventor; November 14, 2006;  
7 pp.; In English; Original contains black and white illustrations  
Patent Info.: Filed 4 Sep. 2002; US-Patent-7,135,172; US-Patent-Appl-SN-238515; NASA-Case-ARC-14940-1; No  
Copyright; ONLINE: <http://hdl.handle.net/2060/20070003563>; Avail.: CASI: [A02](#), Hardcopy  
A method for repairing a retinal system of an eye, using bucky paper on which a plurality of retina pigment epithelial cells and/or iris pigment epithelial cells and/or stem cells is deposited, either randomly or in a selected cell pattern. The cell-covered bucky paper is positioned in a sub-retinal space to transfer cells to this space and thereby restore the retina to its normal functioning, where retinal damage or degeneration, such as macular degeneration, has occurred.  
Official Gazette of the U.S. Patent and Trademark Office  
*Membranes; Retina; Stem Cells; Transplantation; Cell Membranes (Biology)*

**20070003639** Army Medical Research and Materiel Command, Fort Detrick, MD USA  
**Showcase for Biotechnology 2005**  
Nov 2006; 25 pp.; In English  
Contract(s)/Grant(s): W81XWH-05-1-0541  
Report No.(s): AD-A459725; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Biotechnology; Life Sciences*

**20070003640** Children's Hospital, Boston, MA USA  
**Mouse Model of Human Breast Cancer Initiated by a Fusion Oncogene**  
Sep 2006; 14 pp.; In English  
Contract(s)/Grant(s): W81XWH-05-1-0502  
Report No.(s): AD-A459723; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Breast; Cancer; Mice; Oncogenes; Models*

**20070003641** California Univ., San Diego, CA USA  
**Glycopeptide-Based Immunotherapy for Prophylaxis and Treatment of Mammary Adenocarcinomas**  
Jun 2006; 25 pp.; In English  
Contract(s)/Grant(s): DAMD17-02-1-0436  
Report No.(s): AD-A459722; No Copyright; Avail.: Defense Technical Information Center (DTIC)  
No abstract available  
*Mammary Glands; Prophylaxis; Peptides; Immunology; Therapy; Cancer*

**20070003642** Massachusetts Inst. of Tech., Cambridge, MA USA

**Are Anti-Inflammatory Lymphocytes Able to Induce Remission of Breast Cancer**

Aug 2006; 17 pp.; In English

Contract(s)/Grant(s): W81XWH-05-1-0460

Report No.(s): AD-A459721; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Breast; Cancer; Lymphocytes*

**20070003661** Oregon Univ., Portland, OR USA

**The Role of CRELD1 Isoform 9b in the Pathogenesis of Breast Cancer**

Oct 2006; 7 pp.; In English

Contract(s)/Grant(s): W81XWH-04-1-0634

Report No.(s): AD-A459720; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Breast; Cancer; Pathogenesis; Life Sciences*

**20070003662** Connecticut Univ., Storrs, CT USA

**Monitoring Cancer Oxygenation Changes Induced by Ultrasound**

Jun 2006; 8 pp.; In English

Contract(s)/Grant(s): DAMD17-02-1-0358

Report No.(s): AD-A459719; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Cancer; Oxygenation; Ultrasonics; Life Sciences*

**20070003663** Georgetown Univ., Washington, DC USA

**Gene Environment Interactions in Women With Breast and Secondary Lung Cancer**

Jul 2006; 52 pp.; In English

Contract(s)/Grant(s): DAMD17-03-1-0300

Report No.(s): AD-A459717; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Breast; Cancer; Females; Lungs; Genes*

**20070003664** Howard Univ., Washington, DC USA

**Computer-Aided Detection of Mammographic Masses in Dense Breast Images**

Jun 2006; 56 pp.; In English

Contract(s)/Grant(s): DAMD17-03-1-0314

Report No.(s): AD-A459716; No Copyright; Avail.: Defense Technical Information Center (DTIC)

No abstract available

*Breast; Computer Techniques; Images; Detection*

**20070003665** Mount Sinai School of Medicine, New York, NY USA

**Increasing Breast Cancer Surveillance Among African American Breast Cancer Survivors**

Jul 2006; 27 pp.; In English

Contract(s)/Grant(s): DAMD17-03-1-0454

Report No.(s): AD-A459715; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Breast; Cancer; Surveillance; Race Factors; Africa; Life Span*

**20070003667** Mount Sinai School of Medicine, New York, NY USA

**Restoration of Epithelial Polarity in Metastatic Tumors**

Jul 2006; 6 pp.; In English

Contract(s)/Grant(s): W81XWH-05-1-0475

Report No.(s): AD-A459714; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Metastasis; Polarity; Restoration; Tumors; Epithelium*

**20070003668** West Virginia Univ., Morgantown, WV USA

**Exercise and Bone Density: Meta-Analysis**

Oct 2005; 10 pp.; In English

Contract(s)/Grant(s): DAMD17-98-1-8513

Report No.(s): AD-A459713; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Bones; Physical Exercise; Density Measurement; Life Sciences*

**20070003669** Mount Sinai School of Medicine, New York, NY USA

**Bone Geometry as a Predictor of Tissue Fragility and Stress Fracture Risk**

Oct 2006; 76 pp.; In English

Contract(s)/Grant(s): DAMD17-001-1-0806

Report No.(s): AD-A459711; No Copyright; Avail.: CASI: [A05](#), Hardcopy

No abstract available

*Bones; Risk; Tissues (Biology); Cracking (Fracturing); Stress (Biology)*

**20070003722** NASA Johnson Space Center, Houston, TX, USA

**Locomotor Dysfunction after Spaceflight: Characterization and Countermeasure Development**

Mulavara, A. P.; Cohen, H. S.; Peters, B. T.; Miller, C. A.; Brady, R.; Bloomberg, Jacob J.; [2007]; 2 pp.; In English; 24th Annual Houston Conference on Biomedical, 8-9 Feb. 2007, Houston, TX, USA

Contract(s)/Grant(s): NCC9-58; Copyright; Avail.: CASI: [A01](#), Hardcopy

Astronauts returning from space flight show disturbances in locomotor control manifested by changes in various sub-systems including head-trunk coordination, dynamic visual acuity, lower limb muscle activation patterning and kinematics (Glasauer, et al., 1995; Bloomberg, et al., 1997; McDonald, et al., 1996; 1997; Layne, et al., 1997; 1998, 2001, 2004; Newman, et al., 1997; Bloomberg and Mulavara, 2003). These post flight changes in locomotor performance, due to neural adaptation to the microgravity conditions of space flight, affect the ability of crewmembers especially after a long duration mission to egress their vehicle and perform extravehicular activities soon after landing on Earth or following a landing on the surface of the Moon or Mars. At present, no operational training intervention is available pre- or in- flight to mitigate post flight locomotor disturbances. Our laboratory is currently developing a gait adaptability training program that is designed to facilitate recovery of locomotor function following a return to a gravitational environment. The training program exploits the ability of the sensorimotor system to generalize from exposure to multiple adaptive challenges during training so that the gait control system essentially 'learns to learn' and therefore can reorganize more rapidly when faced with a novel adaptive challenge. Ultimately, the functional goal of an adaptive generalization countermeasure is not necessarily to immediately return movement patterns back to 'normal'. Rather the training regimen should facilitate the reorganization of available sensorimotor sub-systems to achieve safe and effective locomotion as soon as possible after space flight. We have previously confirmed that subjects participating in adaptive generalization training programs, using a variety of visuomotor distortions and different motor tasks from throwing to negotiating an obstacle course as the dependent measure, can learn to enhance their ability to adapt to a novel sensorimotor environment (Roller et al., 2001; Cohen et al. 2005). Importantly, this increased adaptability is retained even one month after completion of the training period. Our laboratory is currently developing adaptive generalization training procedures and the associated flight hardware to implement such a training program, using variations of visual flow, subject loading, and treadmill speed; during regular in-flight treadmill operations.

Author

*Locomotion; Coordination; Extravehicular Activity; Flight Characteristics; Psychomotor Performance; Muscular Function*

**20070003836** Uniformed Services Univ. of the Health Sciences, Bethesda, MD USA

**The Oligodendrocyte Progenitor Response to Demyelination**

Vana, Adam C; Jan 2006; 119 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459050; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459050>; Avail.: CASI: [A06](#),

Hardcopy

In multiple sclerosis (MS), demyelination results in impaired axon conduction and functional deficits. Remyelination is often observed early in the MS disease course, but over time becomes limited. The general belief is that remyelination requires robust oligodendrocyte progenitor (OP) amplification prior to remyelination. With MS being a chronic disease we were interested in the responses that occurred following chronic demyelination. Studies using the chronic cuprizone model of

demyelination display limited remyelination, a depleted pool of OPs, and decreased oligodendrocytes. We now show that after chronic demyelination apoptosis continues even after cessation of cuprizone to evaluate means to promote remyelination. Overexpression of platelet-derived growth factor-A (PDGF-A) was tested with chronic cuprizone demyelination in hPDGF-A transgenic (tg) mice. Remyelination was improved in hPDGF-A tg mice during recovery after chronic demyelination. OP density and proliferation increased only transiently in hPDGF-A tg mice during acute demyelination but not during chronic demyelination or recovery. Importantly, hPDGF-A tg mice had increased oligodendrocyte regeneration associated with reduced apoptosis during recovery. The effect of increased PDGF-A is likely as a survival factor during the regeneration of oligodendrocytes and remyelination, as preventing apoptosis of oligodendrocytes may be important not only during acute demyelination but also during chronic demyelination. Overall, we found that following demyelination Myt1 may have a potential role in the regeneration of oligodendrocyte lineage cells, whereas the overexpression of PDGF-A appears to enhance survival of newly differentiated myelinating oligodendrocytes.

DTIC

*Axons; Diseases; Oligomers*

**20070003840** Uniformed Services Univ. of the Health Sciences, Bethesda, MD USA

**Spatial-Temporal Mapping of the T Cell Receptor NF-kappaB Signaling Pathway**

Rossman, Jeremy S; May 30, 2006; 131 pp.; In English; Original contains color illustrations

Report No.(s): AD-A458940; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458940>; Avail.: CASI: [A07](#),

Hardcopy

T lymphocytes are critical mediators of adaptive immunity that recognize antigen through the T cell receptor (TCR). Stimulation of the TCR leads to a complex signal transduction cascade resulting in the activation of the transcription factors NFAT, AP-1 and NF-kappaB. The activation of these transcription factors is a crucial step in T lymphocyte activation. TCR stimulation results in the spatial redistribution of several proteins involved in signal transduction to NF-kappaB. We find that the signaling intermediate Bcl10 forms cytoplasmic oligomers, called POLKADOTS, upon antigen stimulation. The formation of these structures requires the interaction between Bcl10 and MALT1 and is correlated with the activation of NF-kappaB. Our research shows that POLKADOTS are foci for functional interactions between signaling intermediates in TCR-mediated activation of NF-kappaB. In addition to forming POLKADOTS in the cytoplasm in response to antigen signals, a significant portion of cellular Bcl10 localizes to the nucleus in the steady state. Observations of high enrichment of Bcl10 in the nucleus of MALT lymphoma tumor cells suggest that Bcl10 nuclear localization may be actively regulated by signaling processes. Aberrant redistribution of Bcl10 to the nucleus in MALT lymphomas may contribute to tumorigenesis or pathogenesis. We show that Bcl10 is found in the nucleus of T lymphocytes, that this localization is regulated by PKCtheta, and that dose-dependent interactions with MALT1 mediate the nuclear export of Bcl10. We also show that the N-terminus of Bcl10 is essential for NF-kappaB activation, possibly by functioning as a transcriptional enhancer for NF-kappaB-responsive genes. These results may further suggest a pathogenic role for nuclear localization of Bcl10 in MALT lymphomas. In summary, through spatial-temporal analysis of Bcl10 subcellular localization and protein-protein interactions, we have further elucidated the role played by Bcl10 in health and in disease.

DTIC

*Lymphocytes; Cells (Biology); Antigens; Genetics*

**20070003855** Library of Congress, Washington, DC USA

**The National Biodefense Analysis and Countermeasures Center: Issues for Congress**

Shea, Dana A; Nov 21, 2006; 19 pp.; In English

Report No.(s): AD-A459125; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459125>; Avail.: CASI: [A03](#),

Hardcopy

The mission of the National Biodefense Analysis and Countermeasures Center (NBACC) is to understand current and future biological threats; assess vulnerabilities and determine potential consequences; and provide a national capability for conducting forensic analysis of evidence from bio-crimes and bio-terrorism. The NBACC is operational, with a program office and several component centers occupying interim facilities. A laboratory facility dedicated to executing the NBACC mission and to contain two NBACC component centers is being built at Fort Detrick, Maryland, as part of the National Interagency Biodefense Campus. The laboratory facility, with an estimated construction cost of \$141 million, will be the first Department of Homeland Security laboratory specifically focused on biodefense. Its programmatic contents and component organization appear to be evolving, as conflicting information has been provided during previous budget cycles.

DTIC

*Countermeasures; Security; Warfare; Biological Weapons*

**20070004577** North Shore-Long Island Jewish Research Inst., Manhasset, NY USA  
**Anti-HMGB1 Antibodies and Alpha-7 Agonists as Experimental Therapeutics as BW Countermeasures**  
Nov 10, 2006; 4 pp.; In English  
Contract(s)/Grant(s): W911NF-05-1-0323  
Report No.(s): AD-A459623; 500302; No Copyright; Avail.: CASI: [A01](#), Hardcopy  
No abstract available  
*Antibodies; Countermeasures*

**20070004663** Florida Univ., Gainesville, FL USA  
**Silanol, a New Class of Antimicrobial Agent**  
Apr 2006; 17 pp.; In English  
Contract(s)/Grant(s): F08637-02-C-7021; Proj-ARMT  
Report No.(s): AD-A459762; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Antibiotics; Antiinfectives and Antibacterials; Microorganisms*

**20070004665** British Columbia Univ., Vancouver, British Columbia Canada  
**A Phase I/II Study of Combination Neoadjuvant Hormone Therapy and Weekly OGX-011 Prior to Radical Prostatectomy in Patients with Localized Prostate Cancer**  
Aug 2006; 22 pp.; In English  
Contract(s)/Grant(s): DAMD17-02-1-0226  
Report No.(s): AD-A459756; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Cancer; Prostate Gland; Therapy*

**20070004680** Nebraska Univ., Omaha, NE USA  
**Role of Estrogen Metabolism in the Initiation of Prostate Cancer: Biomarkers of Susceptibility and Early Detection**  
May 2006; 13 pp.; In English  
Contract(s)/Grant(s): DAMD17-02-1-0660  
Report No.(s): AD-A459745; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Prostate Gland; Biomarkers; Detection*

**20070004684** Johns Hopkins Univ., Baltimore, MD USA  
**HOXB7: An Oncogenic Gene in Breast Cancer**  
May 2006; 19 pp.; In English  
Contract(s)/Grant(s): DAND17002-1-0426  
Report No.(s): AD-A459744; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Cancer; Breast; Carcinogens; Tumors*

**20070004687** Texas Univ., Houston, TX USA  
**The Role of C-SRC Activation in Prostate Tumor Progression**  
Jul 2006; 27 pp.; In English  
Contract(s)/Grant(s): DAMD17-03-1-0484  
Report No.(s): AD-A459743; No Copyright; Avail.: Defense Technical Information Center (DTIC)  
No abstract available  
*Prostate Gland; Tumors*



**20070004700** Nebraska Univ., Omaha, NE USA  
**Characterization of Genetic Modifiers of Estrogen-Induced Mammary Cancer**  
Jul 2006; 10 pp.; In English  
Contract(s)/Grant(s): DAMD17-03-1-0477  
Report No.(s): AD-A459737; No Copyright; Avail.: CASI: [A02](#), Hardcopy  
No abstract available  
*Cancer; Mammary Glands; Genetics; Estrogens*

**20070004702** State Univ. of New York, Stony Brook, NY USA  
**Enhancing Bone Accretion Using Short Duration, Low-Level Mechanical Vibrations**  
Oct 2005; 7 pp.; In English  
Contract(s)/Grant(s): DAMD17-03-10777  
Report No.(s): AD-A459736; No Copyright; Avail.: Defense Technical Information Center (DTIC)  
No abstract available  
*Bones; Vibration; Deposition*

**20070004734** Virginia Commonwealth Univ., Richmond, VA USA  
**Lysophosphatidic Acid Regulation and Roles in Human Prostate Cancer**  
Aug 2006; 78 pp.; In English  
Contract(s)/Grant(s): DAMD17-02-1-0060  
Report No.(s): AD-A459786; No Copyright; Avail.: Defense Technical Information Center (DTIC)  
No abstract available  
*Cancer; Prostate Gland; Acids*

**20070004739** Texas Univ., Houston, TX USA  
**Molecular Mechanism by Which Retinoids Prevent Breast Cancer Development**  
Jun 1, 2006; 37 pp.; In English  
Contract(s)/Grant(s): W81XWH-04-1-0505  
Report No.(s): AD-A459784; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Breast; Cancer; Carotenoids*

**20070004741** Dartmouth Coll., Hanover, NH USA  
**Three Dimensional Reconstruction Algorithm for Imaging Pathophysiological Signals Within Breast Tissue Using Near Infrared Light**  
Jul 2006; 129 pp.; In English  
Contract(s)/Grant(s): DAMD17-03-1-0405  
Report No.(s): AD-A459783; No Copyright; Avail.: CASI: [A07](#), Hardcopy  
No abstract available  
*Algorithms; Imaging Techniques; Breast; Near Infrared Radiation*

**20070004743** Indiana Univ., Bloomington, IN USA  
**Role of the Neddylaton Enzyme Uba3, A New Estrogen Receptor Corepressor in Breast Cancer**  
Sep 2006; 131 pp.; In English  
Contract(s)/Grant(s): DAMD17-02-1-0418  
Report No.(s): AD-A459782; No Copyright; Avail.: CASI: [A07](#), Hardcopy  
No abstract available  
*Breast; Cancer; Enzymes; Estrogens*

**20070004761** Georgia Inst. of Tech., Atlanta, GA USA

**Silica-Immobilized Enzyme Reactors; Application to Cholinesterase-Inhibition Studies**

Mar 2006; 10 pp.; In English

Contract(s)/Grant(s): F08637-03-C-6006; Proj-4915

Report No.(s): AD-A459775; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Cholinesterase; Enzymes; Silicon Dioxide*

**20070004780** NASA Johnson Space Center, Houston, TX, USA

**A Hypothetical Perspective on the Relative Contributions of Strategic and Adaptive Control Mechanisms in Plastic Recalibration of Locomotor Heading Direction**

Richards, J. T.; Mulavara, A. P.; Ruttley, T.; Peters, B. T.; Warren, L. E.; Bloomberg, J. J.; [2006]; 2 pp.; In English; Seventh Symposium on the Role of the Vestibular, 7-9 Jun. 2006, Noordwijk, Netherlands

Contract(s)/Grant(s): NCC9-58; Copyright; Avail.: CASI: [A01](#), Hardcopy

We have previously shown that viewing simulated rotary self-motion during treadmill locomotion causes adaptive modification of the control of position and trajectory during over-ground locomotion, which functionally reflects adaptive changes in the sensorimotor integration of visual, vestibular, and proprioceptive cues (Mulavara et al., 2005). The objective of this study was to investigate how strategic changes in torso control during exposure to simulated rotary self-motion during treadmill walking influences adaptive modification of locomotor heading direction during over-ground stepping.

Author

*Locomotion; Treadmills; Computerized Simulation; Adaptive Control; Plastics; Calibrating*

**20070004782** NASA Marshall Space Flight Center, Huntsville, AL, USA

**Trichococcus Patagoniensis sp. nov., a Facultative Anaerobe that grows at -5 C, Isolated from Penguin Guano in Chilean Patagonia**

Pikuta, Elena V.; Hoover, Richard B.; Bej, Asim K.; Marsic, Damien; Whitman, William B.; Krader, Paul E.; Tang, Jane; International Journal of Systematic and Evolutionary Microbiology; 2006; Volume 56, pp. 2055-2062; In English; Original contains black and white illustrations; Copyright; Avail.: Other Sources

A novel, extremely psychrotolerant, facultative anaerobe, strain PmagG1(sup T), was isolated from guano of Magellanic penguins (*Spheniscus magellanicus*) collected in Chilean Patagonia. Gram-variable, motile cocci with a diameter of 1.3-2.0 micrometers were observed singularly or in pairs, short chains and irregular conglomerates. Growth occurred within the pH range 6.0-10.0, with optimum growth at pH 8.5. The temperature range for growth of the novel isolate was from -5 to 35 C, with optimum growth at 28-30 C. Strain PmagG1(sup T) did not require NaCl, as growth was observed in the presence of 0-6.5% NaCl with optimum growth at 0.5% (w/v). Strain PmagG1(sup T) was a catalase-negative chemo-organoheterotroph that used sugars and some organic acids as substrates. The metabolic end products were lactate, formate, acetate, ethanol and Con. Strain PmagG1(sup T) was sensitive to ampicillin, tetracycline, chloramphenicol, rifampicin, kanamycin and gentamicin. The G+C content of its genomic DNA was 45.8 mol%. 16S rRNA gene sequence analysis showed 100 % similarity of strain PmagG1(sup T) with *Trichococcus collinsii* ATCC BAA-296(sup T), but DNA-DNA hybridization between them demonstrated relatedness values of less than 45 plus or minus 1%. Another phylogenetically closely related species, *Trichococcus pasteurii*, showed 99.85 % similarity by 16s rRNA sequencing and DNA-DNA hybridization showed relatedness values of 47 plus or minus 1.5%. Based on genotypic and phenotypic characteristics, the novel species *Trichococcus patagoniensis* sp. nov. is proposed, with strain PmagG1(sup T) (=ATCC BAA-756(sup T)=JCM 12176(sup T)=CIP 108035(sup T)) as the type strain.

Author

*Anaerobes; Mesophiles; Genome; Chile*

**20070004784** NASA Johnson Space Center, Houston, TX, USA

**Natural Calcium Isotopic Composition of Urine as a Marker of Bone Mineral Balance**

Skulan, Joseph; Bullen, Thomas; Puzas, J. Edward; Shackelfod, Linda; LeBlanc, Adrian; Smith, Scott M.; [2007]; 18 pp.; In English; Original contains black and white illustrations

Contract(s)/Grant(s): HIH-P30-ES-01247-24; Copyright; Avail.: CASI: [A03](#), Hardcopy

This study tests whether changes in the natural (that is, tracer-less) isotopic composition of calcium in human urine track changes in net bone mineral balance, as predicted by a model of calcium isotopic behavior in vertebrates. If so, isotopic

analysis of natural urine or blood calcium could be used to monitor short-term changes in bone mineral balance that cannot be detected with other techniques.

Author

*Bones; Minerals; Urine; Biomarkers; Calcium Isotopes; Bone Mineral Content; Mathematical Models*

**20070004785** NASA Johnson Space Center, Houston, TX, USA

**An Optimization of Pulsed ElectroMagnetic Fields Study**

Goodwin, Thomas J.; [2006]; 99999 pp.; In English; Orthofix Basic Science Summit, 7-8 Dec. 2006, Philadelphia, PA, USA; No Copyright; Avail.: Other Sources; Abstract Only

To date, in our research we have focused on the use of normal human neuronal progenitor (NHNP) cells because of their importance in human nervous system regeneration, development and maintenance, but we have developed 2-D and 3-D bioreactors that can accommodate any cell line. In this Project, we will include the use of tissues important for physiological regeneration: Human osteoblasts or chondrocytes, and vascular cells. Our initial results with the NHNP cells were quite startling using extremely low-level electromagnetic fields (5 microtesla at 10Hz; 6mA). The low-amplitude, rapidly time-varying electromagnetic fields exert a very potent effect on the proliferation, morphology, and gene expression of the cells in culture, both in standard 2-dimensional culture plates as well as cells organized into 3-dimensional tissue-like assemblies (TLAs) in a 3D bioreactor. We have replicated our preliminary results many, many times, have analyzed the gene expression using gene arrays (followed by Luminex analysis for protein production), and have monitored cell proliferation, orientation, morphology, and glucose metabolism, and we are confident that we have a stable and reliable model to study the control of high-level cellular processes by application of low-amplitude, time varying electromagnetic fields (TVEMF) (1, 2). In additional studies at the University of Michigan, we have been able to generate functional in vitro engineered mammalian skeletal muscle, and have employed nerve-muscle co-culture techniques to promote axonal sprouting. We believe that nearly all tissues, in particular, neural, are susceptible to the influences of low-level TVEMF.

Author

*Regeneration (Physiology); Tissues (Biology); Optimization; Electromagnetic Fields; Bioreactors; Pulsed Radiation*

**20070004816** Texas A&M Univ., College Station, TX USA

**Making Lipid Membranes Rough, Tough, and Ready to Hit the Road**

Jul 2006; 6 pp.; In English

Contract(s)/Grant(s): W911NF-05-1-0494; FA9550-06-C-0006

Report No.(s): AD-A459504; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Lipids; Life Sciences; Cell Membranes (Biology)*

**20070004821** Monell Chemical Senses Center, Philadelphia, PA USA

**Speed-Accuracy Tradeoff in Olfaction**

Aug 2, 2006; 13 pp.; In English

Contract(s)/Grant(s): DAAD19-03-1-0224

Report No.(s): AD-A459510; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Olfactory Perception; Odors; Psychophysiology*

**20070004904** Rand Arroyo Center, Santa Monica, CA USA

**Implementation of the Diabetes Practice Guideline in the Army Medical Department: Final Evaluation**

Jan 2005; 177 pp.; In English

Contract(s)/Grant(s): DASW01-01-C-0003

Report No.(s): AD-A459485; MG-277; No Copyright; Avail.: CASI: [A09](#), Hardcopy

No abstract available

*Metabolic Diseases; Military Operations; Diabetes Mellitus; Evaluation; Medical Services*

**20070004907** New Mexico Univ., Albuquerque, NM USA

**Effect of Palm Cooling with Negative Pressure on Heat Balance During Exercise in a Hot, Dry Environment**

Nov 15, 2006; 78 pp.; In English

Contract(s)/Grant(s): W911NF-06-1-0025

Report No.(s): AD-A459500; No Copyright; Avail.: Defense Technical Information Center (DTIC)

No abstract available

*High Temperature Environments; Physical Exercise; Cooling; Heat Balance*

**20070005108** NASA Johnson Space Center, Houston, TX, USA

**Effects of Speed and Visual-Target Distance on Toe Trajectory During the Swing Phase of Treadmill Walking**

Miller, Christopher A.; Feiveson, Al; Bloomberg, Jacob J.; [2007]; 29 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): NCC9-58; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005108>; Avail.: CASI: [A03](#),

Hardcopy

Toe trajectory during swing phase is a precise motor control task that can provide insights into the sensorimotor control of the legs. The purpose of this study was to determine changes in vertical toe trajectory during treadmill walking due to changes in walking speed and target distance. For each trial, subjects walked on a treadmill at one of five speeds while performing a dynamic visual acuity task at either a far or near target distance (five speeds two targets distances = ten trials). Toe clearance decreased with increasing speed, and the vertical toe peak just before heel strike increased with increasing speed, regardless of target distance. The vertical toe peak just after toe-off was lower during near-target visual acuity tasks than during far-target tasks, but was not affected by speed. The ankle of the swing leg appeared to be the main joint angle that significantly affected all three toe trajectory events. The foot angle of the swing leg significantly affected toe clearance and the toe peak just before heel strike. These results will be used to enhance the analysis of lower limb kinematics during the sensorimotor treadmill testing, where differing speeds and/or visual target distances may be used.

Author

*Psychomotor Performance; Trajectories; Treadmills; Visual Acuity; Walking; Feet (Anatomy); Body Kinematics; Velocity*

**20070005253** Baylor Coll. of Medicine, Houston, TX USA

**The Expression of Sprouty1, an Inhibitor of Fibroblast Growth Factor Signal Transduction, Is Decreased in Human Prostate Cancer**

Kwabi-Addo, Bernard; Wang, Jianghua; Erdem, Halime; Vaid, Ajula; Castro, Patricia; Ayala, Gustavo; Ittmann, Michael; Jul 15, 2004; 9 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-01-1-0021; P50CA058204

Report No.(s): AD-A459849; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459849>; Avail.: CASI: [A02](#),

Hardcopy

A considerable body of evidence indicates that alterations of fibroblast growth factors (FGFs) and their receptors contribute to prostate cancer progression. Recently, a new family of regulators of FGF activity has been identified. The Sprouty gene family negatively regulates FGF signaling in a variety of systems and could potentially limit the biological activity of FGFs in prostate cancer. Immunohistochemical analysis of normal and neoplastic prostate tissues using tissue microarrays revealed that Sprouty1 protein is down-regulated in approximately 40% of prostate cancers when compared with matched normal prostate. By quantitative real-time PCR analysis, we found that Sprouty1 mRNA levels were significantly decreased in prostate cancers in vivo in comparison with normal prostate. In prostate cancer cell lines, there is loss of the normal upregulation of Sprouty1 mRNA and protein in response to FGFs. The decrease in Sprouty1 expression in the human prostate cancer, despite elevated levels of FGF ligands and FGF receptors, implies a loss of an important growth regulatory mechanism in prostate cancers that may potentiate the effects of increased FGF and FGF receptor expression in prostate cancer.

DTIC

*Cancer; Fibroblasts; Genes; Genetics; Inhibitors; Prostate Gland; Transferring*

**20070005257** Utah Univ., Salt Lake City, UT USA

**Using Genetically Engineered Mice to Probe the Role of Bioactive Lipids in Prostate Carcinogenesis**

Stafforini, Diana M; Jul 2006; 9 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): W81XWH-05-1-0135

Report No.(s): AD-A459858; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459858>; Avail.: CASI: [A02](#),

Hardcopy

Prostate cancer (PCa) is the most common malignancy in North American men and represents the second most common cause of cancer death. PCa is characterized by many different stages including very aggressive forms that disseminate to bone, lymph nodes, and other tissues. Tumors release factors that attract and activate cells of the immune system including macrophages. In some tumors, macrophages can both stimulate and inhibit cancer growth and proliferation. One of the changes that take place when macrophages are exposed to specific stimuli is the transcriptional activation of a gene encoding a phospholipase A2, platelet-activating factor acetylhydrolase (PAF-AH) that has anti-inflammatory properties owing to its ability to hydrolyze a large group of bioactive lipids. The levels of PAF-AH are dramatically increased in PCa compared to normal prostate tissues; the source is likely macrophages recruited to PCa sites. We are testing the hypothesis that elevated PAF-AH derived from macrophages recruited to PCa sites alters the rate of PCa progression using both in vivo and in vitro methodologies. We have successfully generated PAF-AH-deficient mice in a model of PCa (the TRAMP transgenic model) that recapitulates many salient aspects of human PCa. We are analyzing how deficiency of PAF-AH modulates PCa progression in vivo. In addition, we have used cellular approaches to establish that members of the PAF signaling axis promote growth of PCa cells and that overexpression of PAF-AH decreases cellular proliferation either by inhibiting cell division or by promoting apoptosis.

DTIC

*Cancer; Carcinogens; Genes; Lipids; Mice; Prostate Gland*

**20070005259** Pittsburgh Univ., Pittsburgh, PA USA

**Annual Safar Symposium (3rd) Held at University of Pittsburgh School of Medicine on 23 June 2005**

Kochanek, Patrick M; Jul 2005; 11 pp.; In English

Contract(s)/Grant(s): W81XWH-05-C-0143

Report No.(s): AD-A459860; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459860>; Avail.: CASI: A03,

Hardcopy

This grant funded expenses related to the third Safar Symposium held at the University of Pittsburgh School of Medicine on June 23, 2005. This symposium is held each year in honor of the late Dr. Peter Safar, pioneer of CPR, resuscitation, critical care, and disaster medicine. The symposium focused on two aspects of medical research of importance to the field of resuscitation medicine in its broadest scope, namely, a morning session entitled The Inflammatory Response in Resuscitation and an afternoon session on Advances in Human Simulation Education. The symposium featured 10 speakers and was well received by over 200 attendees, including physicians, scientists, medical residents, fellows, and students, nurses, paramedics, and other allied professionals in the field of resuscitation medicine. The Symposium was linked to the annual Peter and Eva Safar Lecture for the Sciences and Humanities at the University of Pittsburgh School of Medicine along with the first clinical consortium of trauma investigators (both civilian and military) focused on a potential clinical trial of a novel resuscitation approach to traumatic arrest called Emergency Preservation and Resuscitation.

DTIC

*Conferences; Resuscitation*

**20070005262** Virginia Univ., Charlottesville, VA USA

**Nuclear Imaging for Assessment of Prostate Cancer Gene Therapy**

Pan, Dongfeng; Apr 2006; 12 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-02-1-0261

Report No.(s): AD-A459865; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459865>; Avail.: CASI: A03,

Hardcopy

Background: Combination of the cytotoxic viral thymidine kinase (tk) and the prodrug, acyclovir (ACV) has been reported to inhibit the growth of the C4-2 tumor, a subline of LNCaP. However, it remains unsolved to non-invasively detect the in vivo distribution, expression and persistence of the toxic gene as well as to evaluate the therapeutic effect. In this project, we will develop a nuclear gene imaging approach to assist the cytotoxic gene therapy study for prostate cancer. Objective/Hypothesis: The distribution, expression, and persistence of the prostate specific Ad-PSA-tk in the C4-2 tumor xenograft model will be noninvasively and repeatedly determined in vivo by tracing the radiolabeled TK substrates with a SPECT imaging modality. Specific Aim of the first year: To synthesize a radiolabeled TK substrate, 2'-Deoxy-2-fluoro-5-{3-oxo[N,N-bis(2-mercaptoethyl)ethylenediamino][Tc-99m] technetium(V)-1(E)-propenyl}uridine, for TK detection using a small animal gamma detector. Progress and outcome: In last report of 2003 which covers from September of 2002 to March of 2003, we reported our efforts to synthesize fragments A and B. In this report we successfully linked the radiometal chelator with fluorothymidine. We will characterize the structure of the final tracer and test the pharmacokinetics and pharmacodynamics of the tracer in next research year. Also, the Adenoviral vectors with reporter genes of tk and luciferase

were constructed. The luciferase gene expression in live mouse model was non-invasively imaged and the result was posted in 2003 Annual Meeting of ASGT (American Society of Gene Therapy).

DTIC

*Cancer; Diseases; Gene Therapy; Genetics; Imaging Techniques; Prostate Gland*

**20070005264** Wayne State Univ., Detroit, MI USA

**Lymphedema Prophylaxis Utilizing Perioperative Education**

Kosir, Mary A; Sep 2006; 23 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-00-1-0495

Report No.(s): AD-A459869; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459869>; Avail.: CASI: A03, Hardcopy

The purpose is to evaluate perioperative training for lymphedema assessment and protection. The hypothesis is that structured perioperative training in lymphedema protection will decrease lymphedema, the episodes of infection, the time to detection of lymphedema and improve the QOL in patients undergoing axillary dissection and/or radiation therapy for breast cancer as compared to a control group. The specific questions (scope) are 1) what is the incidence of lymphedema and infection during the first three years after surgery among breast cancer patients who received perioperative training in lymphedema protection as compared to a control group? 2) What are the differences in the measured QOL among breast cancer patients during the first three years after surgery that received perioperative education in lymphedema protection as compared to a control group? 3) What are the retention of information on lymphedema protection, and the compliance with arm precautions among breast cancer patients who received perioperative lymphedema training as compared to a control group? Major Findings: The incidence of lymphedema was 60.1% with a majority occurring within the first year after surgery. Teaching LE protection methods did not reduce the incidence of LE nor improve QOL except at 24 months for those with LE. Those with LE also had increased knowledge of LE protection methods as compared to a control group but only significant at 6 months associated with a booster session. Significance: The LE rate is greater than reported in the literature primarily because prospective measurements were obtained including the first year after surgery when a majority of cases were observed. Other factors that may impact the occurrence of LE without regard to knowledge of protection measures include impaired lymphatic healing after surgery, persistence of activity despite knowing it may cause harm to the extremity, and uncontrolled hypertension which may increase the risk for LE.

DTIC

*Education; Health; Infectious Diseases; Lymphatic System; Prophylaxis*

**20070005269** Army Medical Dept. Center and School, Fort Sam Houston, TX USA

**Foundations in the Law: Classic Cases in Medical Ethics**

Zucker, K W; Allen, Tracy L; Boyle, Martin J; Burton, Amy R; Smyth, Vito S; Jan 2007; 287 pp.; In English

Report No.(s): AD-A459875; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459875>; Avail.: CASI: A13, Hardcopy

The ethics of a people, as demonstrated through public policy, are generally thought to inform that people's legal system and its decisions. The converse is also true: decisions within a legal system inform, or impact, ethics -specifically medical ethics. The cases discussed in this paper are at the foundation of medical ethics in the USA. They address informed consent, abortion, refusal of medical care, the right to die, surrogate motherhood, and medical research, among other topics. Cases unique to the military are also included. This monograph includes significant excerpts from 25 cases. The excerpts include those portions of the decisions that address the most important ethical issues. Appendices include the USA Constitution, the vocabulary of medical ethics, a chronological list of cases, cases grouped by subject, a table of all cited cases, and biographies of the editors.

DTIC

*Ethics; Law (Jurisprudence); Medical Science; Medical Services; Policies*

**20070005284** Ottawa Univ., Ontario Canada

**Dietary Heterocyclic Amines and Polymorphic Variants in the Etiology of Prostate Cancer**

Birkett, Nicholas; Jan 2006; 62 pp.; In English

Contract(s)/Grant(s): W81XWH-05-1-0148

Report No.(s): AD-A459895; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459895>; Avail.: CASI: A04, Hardcopy

This project is exploring the hypothesis that higher intake of dietary heterocyclic amines is associated with elevated risk of prostate cancer. It is also exploring the potential that polymorphic variation in key metabolism genes may affect risk. The project is using epidemiological method to conduct a case-control study. Progress through-out the first year has been to finalize methods, hire and train staff and finalize field work procedures. Pilot testing of the proposed methods has been completed. The main field for the project will begin in 2006, once final ethics approval has been received.

DTIC

*Amines; Cancer; Diets; Epidemiology; Etiology; Heterocyclic Compounds; Metabolism; Polymorphism; Prostate Gland*

**20070005285** Colorado Univ., Boulder, CO USA

**Identification of the Downstream Promoter Targets of Smad Tumor Suppressors in Human Breast Cancer Cells**

Liu, Xuedong; Jul 1, 2006; 13 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-02-1-0350

Report No.(s): AD-A459896; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459896>; Avail.: CASI: A03,

Hardcopy

Members of TGF-beta superfamily ligands are potent regulators of cell proliferation, differentiation and animal development. The biological effects of these ligands are mediated mainly through activation of the Smad family protein which serves as transcription factors to regulate gene expression. We analyzed the cis-regulatory elements that are responsible for conveying TGF-BETA/Activin responses at the genomic levels. Activin A and TGF-BETA transcriptional responses in immortalized normal human mammary epithelial cells were examined by gene expression profiling and computational analysis the regulatory regions of TGFbeta- responsive genes using a new algorithm, which is based on frequency of occurrence, and cross-species conservation. Our analysis revealed that a distinct set of cis-regulatory elements conserved across species is either unique or over-represented in TGF-BETA-regulated genes. A set of bioinformatics tools were developed for browsing promoters in mammalian cells.

DTIC

*Breast; Cancer; Genetics; Mammary Glands; Suppressors; Targets; Tumors*

**20070005286** California Univ., Berkeley, CA USA

**Simulation of Biomolecular Nanomechanical Systems**

Chakraborty, Arup K; Majumdar, Arunava; Oct 2006; 34 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): F30602-01-2-0540; Proj-E117

Report No.(s): AD-A459897; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459897>; Avail.: CASI: A03,

Hardcopy

This report documents results from the BioNEMS project. Computer simulation methods and theoretical tools that can be applied to guide the design of microdevices relying on the concept of translating biomolecular binding to mechanical forces were developed. These computational tools were applied, in synergy with experiments, to define the important factors that determine device performance. One important result is that molecular-level self assembly of probe molecules determines microdevice performance, and this has had a big impact on the design of cantilever-based microdevices. These findings were used to establish design guidelines and utilized in the fabrication of a prototype device that is being transitioned in to a commercial product. Efforts to translate mechanical signals to electronic ones are also described in this context. New discoveries regarding how T lymphocytes of the immune system detect pathogens can be exploited to create synthetic pathogen detectors that exhibit extraordinary sensitivity and selectivity were examined. Computer simulations exploring T cell signaling were completed as part of this research.

DTIC

*Biochemistry; Biological Effects; Biomimetics; Computerized Simulation; Detection; Detectors; Microelectromechanical Systems; Pathogens; Simulation*

**20070005287** Army Research Inst. of Environmental Medicine, Natick, MA USA

**Validation of a Shortened Electronic Version of the Environmental Symptoms Questionnaire**

Beidleman, Beth A; Muza, Stephen R; Fulco, Charles S; Rock, Paul B; Cymerman, Allen; Nov 2006; 18 pp.; In English

Report No.(s): AD-A459898; USARIEM-T07-03; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459898>; Avail.:

CASI: A03, Hardcopy

Acute Mountain Sickness (AMS) is a syndrome that is characterized by headache, insomnia, anorexia, nausea, dizziness, and fatigue, but without abnormal neurological findings (11, 22). The severity and incidence of AMS is primarily related to

the initial altitude, the rate of ascent, the altitude reached, and the duration of exposure to altitude (9, 13, 16, 21, 30). Additional factors that affect the severity and incidence of AMS are the degree of hyperemia (2, 5, 10, 18), level of physical exertion performed (8, 24), individual susceptibility (25, 31), and degree of prior altitude acclimatization (12, 15). Symptoms of AMS typically become evident in the first few hours of altitude exposure and reach peak severity in 24 to 48 h (22). The chief significance of AMS is that people rapidly exposed to altitude may be completely incapacitated in the first few days at altitude (22). Additionally, in a few individuals, AMS may progress to life-threatening high-altitude cerebral edema or high-altitude pulmonary edema, where evacuation is required.

DTIC

*Altitude Sickness; Signs and Symptoms*

**20070005291** Stanford Univ., Stanford, CA USA

**Quantitative Developments of Biomolecular Databases, Measurement Methodology, and Comprehensive Transport Models for Bioanalytical Microfluidics**

Santiago, Juan G; Sundaram, Shankar; Krishnamoorthy, S; Przekwas, Andrzej J; Meinhart, Carl; Myszka, David G; Ricco, Antonia; Boone, Travis; Knio, Omar; Najm, Habib; Oct 2006; 156 pp.; In English; Original contains color illustrations  
Contract(s)/Grant(s): F30602-00-2-0609; DARPA ORDER-J406; Proj-E117

Report No.(s): AD-A459906; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459906>; Avail.: CASI: A08, Hardcopy

The goal of this project was the development of novel on-chip assay devices and modeling capabilities to enable optimized design processes and create new methods to realize robust, field-portable microfluidic devices. The team developed, validated and commercialized new multiphysics models to the Bio-electro-mechanical systems (MEMS) community (over 50 organizations) through CFD-ACE+. The team further developed rapid (e.g., 1000x faster) biokinetics data extraction methods for antibody assays; and discovered and created models for an electrokinetic instability and used it to create 1000x faster a micromixer. The team developed a novel on-chip assay device that combines isoelectric focusing and electrophoresis to achieve a 2D assay in 1/30th of the time of a traditional system. Lastly, the team developed a method that achieved 1100x fold on-chip electrophoretic sample preconcentration which lead to an additional task focused on developing rapid sample pre-concentration methods to improve on-chip assay. The team developed new codes for eletrokinetic convective-diffusion assays with fast reaction kinetics capability. The team experimentally demonstrated million-fold sample concentration increase (three orders of magnitude improvement), using optimized isotachophoresis.

DTIC

*Assaying; Data Bases; Fluidics; Microelectromechanical Systems*

**20070005294** Duke Univ., Durham, NC USA

**Miniature and Molecularly Specific Optical Screening Technologies for Breast Cancer**

Ramanujam, Nimmi; Oct 2006; 21 pp.; In English; Original contains color illustrations  
Contract(s)/Grant(s): W81XWH-05-1-0363

Report No.(s): AD-A459910; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459910>; Avail.: CASI: A03, Hardcopy

The goal of this proposal is to harness the power of light to create 'miniature and molecularly specific optical technologies' for breast cancer diagnosis and detection. The miniature technologies will leverage on millimeter scale silicon detectors and LEDs to make compact devices that can be used in a practical clinical setting for breast cancer detection. The features that will be exploited for optical detection/diagnosis of breast cancer will include the physiological, structural and molecular alterations that accompany the transformation of a cell from a normal to malignant state.

DTIC

*Breast; Cancer; Mammary Glands; Miniaturization; Silicon*

**20070005314** RAND Corp., Santa Monica, CA USA

**Implementation of the Asthma Practice Guideline in the Army Medical Department: Evaluation of Process and Effects**

Farley, Donna O; Cretin, Shan; Vernez, Georges; Pieklik, Suzanne; Quiter, Elaine; Ashwood, J S; Tu, Wenli; Jan 2005; 213 pp.; In English

Contract(s)/Grant(s): DASW01-01-C-0003

Report No.(s): AD-A459958; RAND/MG-319; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459958>; Avail.: Defense Technical Information Center (DTIC)



The Army Medical Department (AMEDD) has made a commitment to establishing a structure and process to support its military treatment facilities (MTFs) in implementing evidence-based practice guidelines with the goal of achieving best practices that reduce variation and enhance quality of medical care. The Quality Management Directorate of the Army Medical Command (MEDCOM) contracted with RAND to work as a partner in the development and testing of guideline implementation methods for ultimate application to an Army-wide guideline program. Three practice guideline demonstrations were fielded over a two-year period, in each of which participating Army MTFs implemented a different clinical practice guideline. All the demonstrations worked with practice guidelines that were established collaboratively by the Department of Veterans Affairs (VA) and Department of Defense (DoD).

DTIC

*Asthma; Medical Services; Military Operations*

**20070005315** Pennsylvania Univ., Philadelphia, PA USA

**Modeling, Analysis, Simulation, and Synthesis of Biomolecular Networks**

Ruben, Harvey; Kumar, Vijay; Sokolsky, Oleg; Oct 2006; 18 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): F30602-01-2-0563; Proj-BIOC

Report No.(s): AD-A459959; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459959>; Avail.: CASI: A03, Hardcopy

This project under the DARPA BIOCAMP program integrated fundamental scientific investigations in the field of molecular systems biology, algorithm development for biomolecular modeling, and open source, object based software implementation. Major accomplishments were 1) experimental gene knockout strain investigations of the *V.fisheri* quorum sensing system that yielded a mathematical model of its regulatory proteins, 2) a model of stringent response in *E.coli* and *M.tuberculosis* describing the role of enzyme RelMtb, 3) a first example of reachability analysis applied to a biomolecular system (lactose induction), 4) a model of tetracycline resistance that discriminates between two possible mechanisms for tetracycline diffusion through the cell membrane, and 5) a new method for investigating the producibility of a metabolite by a network of chemical reactions from an available set of nutrients using sets of gene knockouts. Accomplishments in algorithm/implementation were 1) reachability and other metabolic analysis tools for non-linear biomolecular networks aiding construction of a hybrid systems-based abstraction, 2) a Systems Biology Markup Language compatible reachability algorithm using a piecewise multi-affine hybrid system method, and 3) a metabolic network producibility analysis algorithm for large scale metabolic networks predicting the possibility of producing a set of metabolites from a set of available nutrients, complementing biomass flux optimization.

DTIC

*Algorithms; Biosynthesis; Mathematical Models; Molecular Biology; Network Analysis; Networks; Simulation*

**20070005319** Scripps Research Inst., La Jolla, CA USA

**Novel Angiogenic Domains: Use in Identifying Unique Transforming and Tumor Promoting Pathways in Human Breast Cancer**

Deuel, Thomas F; Oct 2004; 72 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-00-1-0151

Report No.(s): AD-A459964; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459964>; Avail.: CASI: A04, Hardcopy

Breast cancers in humans often grow slowly or even remain undetectable for long periods of time only to reappear in discreet stages as progressively more malignant tumors. Recently studies in both human cancers and experimental cancers in animals have established that cancers become progressively more aggressive in incremental steps that result from genetic mutations or 'switches' in the tumor cells themselves. We have found that the two growth/differentiation promoting cytokines pleiotrophin (PTN) and midkine (MK) act as 'switches' when introduced into breast cancer cells to stimulate more aggressive growth and induce new intratumor blood vessel formation, ie, an 'angiogenic switch.' Different studies have found constitutive expression of either the PTN or MK genes in over 50% of human breast cancers, suggesting our data is very important and relevant to human breast cancer. We now plan to pursue the mechanism of PTN signaling in both MMTV driven pleiotrophin gain of function mice and 'knock-out' pleiotrophin mice developed in the laboratory and the mechanisms of downstream PIN signaling with different 'chip technology'-driven strategies available to us in the laboratory.

DTIC

*Angiogenesis; Breast; Cancer; Identifying; Mammary Glands; Tumors*

**20070005357** Naval Medical Research Center, Silver Spring, MD USA

**Enhancing the Immunogenicity of a Dengue-2 DNA Vaccine with Adjuvants and Anti-Fc(gamma)RI Antibodies**

Porter, Kevin R; Wu, Shuenn-Jue; Raviprakash, Kanakatte; Oct 2004; 15 pp.; In English

Contract(s)/Grant(s): MIPR-1LCCDDM1149

Report No.(s): AD-A460036; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460036>; Avail.: CASI: A03, Hardcopy

The objective is to use adjuvants to enhance the immunogenicity of a dengue (DEN) DNA vaccine. The adjuvants to be evaluated in this project include aluminum phosphate, tetanus toxoid and anti-Fc(gamma)R monoclonal antibodies chemically linked to the DNA vaccine. Multiple attempts to link the anti-Fc(gamma)R monoclonal antibody to the DNA vaccine resulted in a poor efficiency in the linkage reaction using thiol linkage chemistry. An alternative approach using hydrazine and aldehyde linkage methods is currently being pursued. Experiments were initiated to evaluate the ability of tetanus toxoid (TT) to enhance the immunogenicity of the DEN DNA vaccine. The antibody responses to the vaccine given IM were enhanced slightly by combining it with TT. However, the ELISA and neutralizing doses of the vaccine given by Biojector, TT appeared to have an inhibitory effect. Aluminum Phosphate was demonstrated to slightly enhance anti-dengue neutralizing antibody responses, only when the DNA vaccine was given ID at suboptimal doses. There was essentially no effect when the vaccine was administered IM.

DTIC

*Antibodies; Deoxyribonucleic Acid; Infectious Diseases; Vaccines*

**20070005358** Rockefeller Univ., New York, NY USA

**Rational Design of Regulators of Programmed Cell Death in Human Breast Cancer**

Cowburn, David; Jul 2003; 24 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-99-1-9362

Report No.(s): AD-A460041; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460041>; Avail.: CASI: A03, Hardcopy

The BH3 motif of the pro-survival family of proteins, BCL, is also present in pro-apoptotic proteins like BID and BAX. Homo and hetero-oligomerization interactions of the BH3 motif are generally recognized as the critical component of their apoptotic activities. In full length BID, the putative hydrophobic binding surface of its BH3 motif is substantially occluded by intramolecular contacts, many of which are removed on BID's transformation to tBID by cleavage with caspase 8, required for tBID's proapoptotic action on mitochondria, thereby releasing cytochrome c. As a step to more complete characterization of the hetero-oligomeric complexes of BID and BCL family molecules, we report here the formation of a tight complex of the BH3 motif sequence of BID with BCL-XL. In contrast to the previously reported of BAK BH3 motif with BCL-XL, the BID BH3 peptide (PDSSESQEEIMHNIARKLAQIGDDI) forms an  $\alpha$ -helix significantly extended to the N-terminus, as monitored by  $^{15}\text{N}$  {1H} nOe determination, and by  $^{13}\text{C}$  chemical shifts. Modeling the BID BH3 motif/ BCL-XL on the basis of the previous structure shows the extended helix well fitted to an extended cavity in BCL-XL. Mutagenesis of the peptide and of BCL-XL on the basis of this model identified the key residues in the BH3 motif, but suggests that some conformational flexibility is likely in the BCL surface.

DTIC

*Apoptosis; Breast; Cancer; Mammary Glands; Regulators*

**20070005363** Army Research Inst. of Environmental Medicine, Natick, MA USA

**Software Tool for Analysis of Variance of DNA Microarray Data**

Khatri, Purvesh; Chen, Dechang; Reifman, Jaques; Lilly, Craig M; Sonna, Larry A; Dec 2006; 89 pp.; In English

Report No.(s): AD-A460048; T07-05; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460048>; Avail.: CASI: A05, Hardcopy

This report describes a software tool for two-way analysis of variance (ANOVA) with repeated measures on one factor for use in a multitude of problems, including the analysis of Affymetrix GeneChip™ microarray data. The proposed software has been used to analyze more than 22,000 probe sequences in less than 1 minute. The tool is entirely written in Java and as a result, is platform-independent. The current implementation of the tool only allows for one-way and two-way ANOVA. However, the internal data structure is designed to be able to hold data for multi-way ANOVA. The output is written to a tab-delimited text file and, accordingly, the tool can be easily extended to save the results directly into a relational database.

DTIC

*Analysis of Variance; Computer Programs; Deoxyribonucleic Acid; Software Development Tools*

**20070005368** Fox Chase Cancer Center, Philadelphia, PA USA

**Facilitating Treatment Decision Making, Adjustment, and Coping in Men Newly Diagnosed with Prostate Cancer. Addendum**

Diefenbach, Michael A; Miller, Suzanne M; May 2005; 7 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-01-1-0006

Report No.(s): AD-A459866; No Copyright; Avail.: CASI: [A02](#), Hardcopy

This study evaluated the efficacy of a patient-spouse centered cognitive-affective counseling session (CARE: cognitive-affective reactions and expectations), to facilitate treatment decision-making for localized prostate cancer (PrCa). Methods: CARE identified treatment-related values and goals and focused on improving knowledge about treatment options. A time and attention matched General Health Information (GHI) session provided nutrition information. Couples (N=300) were enrolled after diagnosis with PrCa, but before a definite treatment decision was made. Data were assessed separately for patients and spouses at baseline, at 6-months, and at 12 months post intervention. Results: Both sessions were well accepted among participants. The goal of CARE to facilitate treatment decision making was best achieved for spouses and among those patient/partners who chose a non-invasive treatment option. Partners were more distressed about the treatment decision compared to patients, especially in the CARE condition and when considering invasive procedures. We interpret this result as an indication that participants in CARE processed the relevant information and that momentarily higher levels of distress were the cost of such processing. At 6-mo this effect disappears, underscoring its temporal nature. Conclusions: The results demonstrate the usefulness of integrating a brief counseling session into the decision-making process, and that increases in perceived difficulty of decision-making are short-term.

DTIC

*Cancer; Decision Making; Human Beings; Males; Prostate Gland*

**20070005370** Air Force Research Lab., Tyndall AFB, FL USA

**Evolution of Catabolic Pathways for Synthetic Compounds Bacterial Pathways for Degradation of 2,4-dinitrotoluene and Nitrobenzene**

Johnson, Glenn R; Spain, Jim C; May 15, 2003; 15 pp.; In English

Contract(s)/Grant(s): F08637-98-C-6002; Proj-2312

Report No.(s): AD-A460007; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The pathways for 2,4-dinitrotoluene (2,4-DNT) and nitrobenzene offer fine illustrations of how the ability to assimilate new carbon sources evolves in bacteria. Studies of the degradation pathways provide insight about two principal strategies for overcoming the metabolic block imposed by nitro- substituents on aromatic compounds. The 2,4-DNT pathway uses novel oxygenases for oxidative denitration and subsequent ring-fission. The nitrobenzene pathway links facile reduction of the nitro-substituent, a novel mutase enzyme, and a conserved operon encoding aminophenol degradation for mineralization of nitrobenzene. Molecular genetic analysis with comparative biochemistry reveals how the pathways were assembled in response to the recent appearance of the two synthetic chemicals in the biosphere.

DTIC

*Bacteria; Biochemistry; Catabolism; Degradation; Explosives; Metabolism; Nitrobenzenes*

**20070005375** Army Medical Research Inst. of Infectious Diseases, Fort Detrick, MD USA

**Fully Virulent Bacillus Anthracis Does Not Require the Immunodominant Protein BclA for Pathogenesis**

Bozue, J; Cote, C K; Moody, L; Welkos, S L; Oct 20, 2006; 5 pp.; In English

Report No.(s): AD-A460050; TR-06-092; No Copyright; Avail.: CASI: [A01](#), Hardcopy

The BclA protein is the immunodominant epitope on the surface of Bacillus anthracis spores; however, its roles in pathogenesis are unclear. We constructed a BclA deletion mutant (bclA) of the fully virulent Ames strain. This derivative retained full virulence in several small animal models of infection despite the bclA deletion.

DTIC

*Bacillus; Infectious Diseases; Pathogenesis; Proteins*

**20070005379** Army Medical Research Inst. of Infectious Diseases, Fort Detrick, MD USA

**Poly-gamma-Glutamate Capsule-Degrading Enzyme Treatment Enhances Phagocytosis and Killing of Encapsulated Bacillus Anthracis**

Scorpio, Angelo; Chabot, Donald J; Day, William A; O'Brien, David K; Vietri, Nicholas J; Itoh, Yoshifumi; Mohamadzadeh, Mansour; Friedlander, Arthur; Oct 14, 2006; 9 pp.; In English

Report No.(s): AD-A460058; TR-06-005; No Copyright; Avail.: CASI: [A02](#), Hardcopy

The poly gamma-D-glutamic acid capsule confers antiphagocytic properties on *Bacillus anthracis* and is essential for virulence. In this study, we showed that two recombinant glutamylases: CapD, a gamma-polyglutamic acid depolymerase encoded on the *B. anthracis* capsule plasmid, and PghP, a gamma-polyglutamic acid hydrolase encoded by bacteriophage Phi, NIT1, degraded purified capsule and removed the capsule from the surface of anthrax bacilli. Treatment with CapD or PghP induced macrophage phagocytosis of encapsulated *B. anthracis*. Additionally, enzyme treatment enabled human neutrophils to kill encapsulated organisms. The levels of both phagocytosis and killing corresponded to the degree of capsule degradation; CapD was more effective than PghP.

DTIC

*Bacillus; Bacteriophages; Degradation; Enzymes; Glutamates; Infectious Diseases; Leukocytes*

**20070005382** Pennsylvania Univ., Philadelphia, PA USA

**Effect of Chimaerins, Novel Receptors for Phorbol Esters, on Breast Cancer Cell Proliferation and Cell Cycle Progression**

Yang, Chengfeng; Kazanietz, Marcelo G; Jul 2006; 46 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-03-1-0469

Report No.(s): AD-A460064; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Chimaerins are a family of intracellular receptors for the phorbol ester tumor promoters and the second messenger diacylglycerol. The discovery of chimaerins challenges the traditional view that protein kinase C is the only family of receptors for phorbol esters. This proposal was designed to investigate the biological functions of chimaerins. We found that (1) the mRNA levels of beta2-chimaerin, one of the most widely expressed chimaerin isoforms, were significantly lower in breast cancer cells and tissues than that in breast normal cells and tissues; (2) re-expression of beta2-chimaerin or its catalytical domain beta-GAP using an adenoviral gene delivery technique induced cell cycle arrest at G1 phase and subsequently inhibited breast cancer cell proliferation; (3) the effect of beta2- chimaerin on cell cycle progression and cell proliferation entirely depended on its Rac-GAP activity; (4) heregulin beta1 (HRG), an EGF-like growth factor and a mitogen for breast cancer cells, is a strong activator of Rac in breast cancer cells and promotes breast cancer cell proliferation through ErbB receptors/PI3K/Rac/Erk-dependent up-regulation of cyclin D1 and p21 expression; (5) expression of beta2-chimaerin inhibited HRG-induced Rac activation and impaired Rac-dependent responses including cell migration, Erk1/2 activation, cyclin D1 and p21 expression, and cell proliferation. These findings suggest that beta2-chimaerin may act as a tumor suppressor.

DTIC

*Breast; Cancer; Cells (Biology); Enzymes; Esters; Mammary Glands; Phosphorus; Regeneration (Physiology); Ribonucleic Acids; Suppressors*

**20070005383** Louisiana State Univ., Baton Rouge, LA USA

**Weight Measurements and Standards for Soldiers**

Williamson, Donald A; Stewart, Tiffany; Ryan, Donna H; Newton, Jr , Robert; Martin, Corby; Bathalon, Gaston; Sigrist, Lori; Oct 1, 2006; 14 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): W81XWH-05-2-0082

Report No.(s): AD-A460067; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The purpose of this three-year study is to: 1) implement a computerized database to track the fatness and physical performance of Reservists assigned to the 94th RRC and 804th Medical Brigade; 2) provide the 94th RRC and 804th Medical Brigade with an environmental/internet-based intervention to increase health risk communication and promote healthy body weight/fatness and physical performance; 3) monitor the fatness and physical performance of the Reservists for two years following a one-year baseline period to evaluate the efficacy of the intervention; and 4) evaluate consumer satisfaction with the intervention.

DTIC

*Body Weight; Lipids; Military Personnel; Weight Measurement*

**20070005384** Johns Hopkins Univ., Baltimore, MD USA

**Antineoplastic Efficacy of Novel Polyamine Analogues in Human Breast Cancer**

Huang, Yi; Jun 2006; 47 pp.; In English

Contract(s)/Grant(s): DAMD17-03-1-00376

Report No.(s): AD-A460069; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The critical role of polyamines in cell growth has led to the development of a number of agents that interfere with

polyamine metabolism including a novel class of polyamine analogues, oligoamines. This proposal was designed to elucidate the molecular mechanisms and the therapeutic efficacy of oligoamines in treatment of human breast cancer. In the third year of this award, we demonstrated that oligoamines specifically suppress the expression of estrogen receptor (ER ) and its target genes. Further analysis demonstrated that oligoamines disrupted the DNA binding activity of Sp1 transcription factors to an ER minimal promoter element containing GC/CA rich boxes. Treatment of tumor cells with the JNK specific inhibitor SP600125, or expression of the c-Jun dominant negative inhibitor, TAM67, blocked the oligoamine-activated JNK/c-Jun pathway and enhanced oligoamine-inhibited ER expression, suggesting that AP-1 is a positive regulator of ER expression and that oligoamine activated JNK/AP-1 activity may antagonize the down-regulation of ER induced by oligoamines. These results suggest a novel antiestrogenic mechanism for specific polyamine analogues in human breast cancer cells.

DTIC

*Analogs; Breast; Cancer; Mammary Glands*

**20070005386** Boston Univ., Boston, MA USA

**The Role of AhR in Breast Cancer Development**

Yang, Xinhai; Jul 2006; 16 pp.; In English

Contract(s)/Grant(s): DAMD17-03-1-0406

Report No.(s): AD-A460071; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The aryl hydrocarbon receptor (AhR) is an environmental carcinogen-activated transcription factor associated with tumorigenesis. Little is known of the transcriptional consequences of constitutive AhR activation. The effects of constitutively active and environmental ligand-induced AhR on c-myc, an oncogene, were investigated. Results indicate that: (1) the AhR constitutively binds the c-myc promoter; (2) there is a low but significant baseline level of c-myc promoter activity, which is not regulated by NF-kappaB and is not affected by an environmental AhR ligand; (3) deletion of any one of the AhREs has no effect on constitutive reporter activity, while deletion of all six increases reporter activity approximately fivefold; (4) a similar increase in reporter activity occurs when constitutively active AhR is suppressed by transfection with an AhR repressor plasmid (AhRR); (5) AhRR transfection significantly increases background levels of endogenous c-myc mRNA and c-Myc protein. These results suggest that the AhR influences the expression of c-Myc, a protein critical to malignant transformation.

DTIC

*Breast; Cancer; Mammary Glands*

**20070005388** Health Research, Inc., Buffalo, NY USA

**Prostate Derived Ets Factor, an Immunogenic Breast Cancer Antigen**

Sood, Ashwani; Sep 2006; 6 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): W81XWH-05-1-0524

Report No.(s): AD-A460073; No Copyright; Avail.: CASI: [A02](#), Hardcopy

The goal of the proposed research was to test the following concept. Due to prostate restricted expression of Pse in normal tissues of mice, Pse is likely to be immunogenic in female mice. T cell responses of male and female mice to Pse and a control antigen Her-2/neu were compared. Two different assays were used for this purpose; the ELISPOT assay and the Cytotoxicity assay. To date, we have found that in the FVB strain of mice immunization with Pse induces specific T cell responses in female mice but not in male mice. In contrast, Her-2/neu is immunogenic in both female and male mice. These results are novel and significant and they support our concept and suggest that PDEF (the human homologue of Pse) is likely to be similarly immunogenic in female breast cancer patients. Our results also suggest that lack of immunogenicity of Pse in male mice is likely due to some mechanism of tolerance to a self protein since Pse/PDEF is strongly expressed in the normal prostate tissue of males.

DTIC

*Antigens; Breast; Cancer; Females; Mammary Glands; Mice; Prostate Gland*

**20070005389** Florida Univ., Gainesville, FL USA

**Central Leptin Gene Therapy to Reduce Breast Cancer Risk Factors**

Iwaniec, Urzula T; Wronski, Thomas J; Mar 2006; 8 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): W81XWH-04-1-0701

Report No.(s): AD-A460074; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Obesity is a risk factor for breast cancer, especially in postmenopausal women. Explanations for this association include increased production of estrogenic compounds due to aromatization of androgens to estrone in adipose tissue, and increased

production of serum hormones/cytokines identified as promoters of breast tumor formation and growth. The long-term goal of the proposed research is to determine if control of obesity through centrally administered, recombinant adeno-associated virus leptin gene (rAAV-lep) therapy will decrease the incidence of mammary tumor formation, progression, or metastasis in the rat model. Leptin functions as a messenger in a feedback loop between adipose tissue and the hypothalamus and contributes to the regulation of energy intake, energy expenditure, and adaptation to starvation. The objective of this research was to determine whether central rAAV-lep gene therapy will cause a decrease in serum levels of positive risk factors for breast cancer. The results show that central leptin gene therapy is effective in preventing age-related weight gain in adult rats. We also show that the gene therapy is effective in decreasing circulating levels of several breast cancer risk factors, including leptin, insulin, and IGF-I. The results indicate that increasing hypothalamic leptin to control weight has the added benefit of reducing breast cancer risk factors.

DTIC

*Breast; Cancer; Estrogens; Gene Therapy; Hormones; Insulin; Mammary Glands; Obesity; Risk*

**20070005390** University of Southern Illinois, Springfield, IL USA

**Dysregulation of RNA Interference in Breast Cancer**

Mo, Yin-Yuan; Jul 2006; 20 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): W81XWH-05-1-0471

Report No.(s): AD-A460075; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The newly discovered RNA interference is a novel type of gene regulation mechanism, which is required for normal expression of genes. This study tests the hypothesis that breast tumor carries dysregulated RNA interference pathways, and thus, some tumor suppressor genes will be down-regulated while other genes (e.g., oncogenes) will be up-regulated, leading to tumor cell proliferation and survival. Using real time RT-PCR, we demonstrate that microRNA-21 is overexpressed in breast tumors compared to the matched normal breast tissue. Furthermore, we show that antisense oligonucleotide against microRNA-21 can suppress the endogenous microRNA-21 and causes tumor cell growth inhibition. Experiments with a xenograft carcinoma mouse model reveal that the antisense microRNA-21 oligonucleotide also inhibits tumor growth. Therefore, microRNA-21 is a potential therapeutic target for breast cancer therapy.

DTIC

*Breast; Cancer; Mammary Glands; Ribonucleic Acids*

**20070005391** Battelle Columbus Labs., OH USA

**A Medical Research and Evaluation Facility (MREF) and Studies Supporting the Medical Chemical Defense Program**

Olson, Carl T; Casillas, Robert P; Jun 2006; 9 pp.; In English

Contract(s)/Grant(s): W81XWH-05-D-0001

Report No.(s): AD-A460077; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Under Task Order 0001 the MREF's laboratories and facilities were maintained and operated in compliance with government regulations. The MREF successfully passed all inspections and certifications. Major contract activities performed include: conducting inventories of CA and maintaining usage reports preparing seven Test Execution Plans (TEP) for task orders and scientific meetings with DoD Team representatives to develop current and projected tasks. A TEP was prepared for Task Order 0002 to support government testing of Skin Exposure Reduction Paste Against Chemical Warfare Agents (SERPACWA). Task Order 0003 is for performance of toxicity testing of MMB-4 dimethanesulfonate (MMB-4 DMS). Task Order 0004 is to compare cutaneous sulfur mustard injuries with thermal burns in a weanling pig models and Task Order 0005 is to use this model to determine efficacy of Epitram-B in improving healing of superficial dermal sulfur mustard injuries. Task Order 0006 is an in vitro study to investigate skin penetration of a non-traditional agent (NTA). Task Order 0007 is for toxicity testing of 4-pyridinealdoxime.

DTIC

*Chemical Defense; Chemical Warfare; Defense Program; Medical Science*

**20070005394** Winston-Salem State Univ., Winston-Salem, NC USA

**Breast Cancer Prevention by Inducing Apoptosis in DCIS Using Breast Ductal Lavage**

Koty, Patrick P; Sep 2006; 9 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-02-1-0683

Report No.(s): AD-A460080; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Current prevention focuses on oral administration of chemopreventive agents which decreases breast cancer incidence but

increases the risk for secondary treatment-induced disease. In addition, these chemopreventive agents may not be effective in preventing those lesions that are estrogen receptor (ER) negative. We hypothesize that programmed cell death is dysregulated in premalignant breast cells which permits these cells to avoid cell death. We are currently investigating whether treating premalignant breast cells with a molecular genetic-based agent may be effective alone or in concert with tamoxifen treatment to induce cell death in both ER-positive and ER-negative cells. Our preliminary studies indicate a DCIS primary explant cell line overexpresses the anti-apoptotic proteins Bcl-2 and Bcl-xL compared to normal breast tissue. Our initial studies also show an increase in programmed cell death in the DCIS primary explant cell line after treatment with an antisense bcl-2/bcl-xl and/or tamoxifen. Ultimately, we envision delivering genetic-based preventive agents and/or a chemopreventive agent directly to the breast ductal lobe of these high risks eliminating any potential for secondary treatment-induced disease.

DTIC

*Apoptosis; Breast; Cancer; Chemotherapy; Health; Mammary Glands; Prevention*

**20070005407** Armed Forces Radiobiology Research Inst., Bethesda, MD USA

**Toxicological Evaluation of Depleted Uranium in Rats: Six-Month Evaluation Point**

Pellmar, T C; Hogan, J B; Benson, K A; Landauer, M R; Feb 1998; 30 pp.; In English

Contract(s)/Grant(s): 95MM5530

Report No.(s): AD-A460097; AFFRI-SP-98-1; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The use of depleted uranium (DU) munitions during Desert Storm resulted in a unique type of battlefield casualty, DU shrapnel wounds. The toxicity associated with embedded DU may differ significantly from other metals or other routes of uranium administration. This is a 6-month interim report of an 18-month study that is designed to assess the toxicity of implanted DU pellets. This study evaluates kidney, behavioral, and neural toxicity associated with intramuscularly implanted DU pellets (1-mm x 2-mm) and assesses tissues for histological changes and for uranium content. Rats were assigned to five experimental groups: 1) a non-implanted sham-surgical control group, 2) rats implanted with 20 tantalum (Ta) to control for fragment implantation, 3) rats implanted with low-dose DU (4 DU and 16 Ta pellets), 4) rats implanted with medium-dose DU (10 DU and 10 Ta pellets), and 5) rats implanted with high-dose DU (20 DU pellets). Uranium levels were high and dose-dependent in the kidney, urine, and bone. Despite high uranium levels in the kidney, no renal toxicity was evident. Between 23-26 weeks body weight in high-DU dose animals was significantly lower than controls. Unexpectedly, uranium was found in the brain of DU-implanted animals. No behavioral neurotoxicity was evident. Excitability of hippocampal neurons was reduced in the high DU dose animals at 6 months. These data suggest that at the 6-month time point, renal toxicity may be less of a hazard than anticipated. While these results indicate that toxicity is not evident at 6 months with exposure to embedded DU, there is a need to further investigate long-term effects in light of the high levels accumulated in some body tissues.

DTIC

*Pellets; Spent Fuels; Toxicity; Uranium*

**20070005413** Jackson (Henry M.) Foundation, Rockville, MD USA

**Epidemic Outbreak Surveillance (EOS)**

Scofield, Thomas C; Jul 2005; 5 pp.; In English

Contract(s)/Grant(s): W81XWH-04-1-0669

Report No.(s): AD-A460105; No Copyright; Avail.: CASI: [A01](#), Hardcopy

This funding was granted to The Henry M. Jackson Foundation for the Advancement of Military Medicine, Inc. (HJF) to provide the administrative, management, logistical, and programmatic services in collaboration with HQ, USAF/SGR in accordance with the statement of work and with tasks developed by the research. The goal of this project is to develop and test new technologies for the diagnosis and surveillance of respiratory tract pathogens. The funding supported the hiring of technical and administrative personnel necessary to carry out protocols to standardize methods of specimen collection and to optimize the processing of these specimens. After these processes were established, initial enrollment of healthy, ill, and recovered Basic Military Trainees (BMTs) began. In accordance with the statement of work, HJF successfully established administrative and programmatic support services to support the operations and management of the Epidemic Outbreak Surveillance (EOS) project. HJF continues to provide administrative, management, and programmatic support services as deemed necessary for implementation and as requested by HQ, USAF/SGR for the development of an integrated health surveillance venue focused upon epidemic outbreaks of acute respiratory disease (ARD) and other endemic and seasonal respiratory infections.

DTIC

*Infectious Diseases; Microorganisms; Pathogens; Research Management; Respiratory Diseases; Surveillance*

**20070005416** South Carolina Univ., Columbia, SC USA

**The Effect of a Home-Based Walking Intervention on Quality of Life, Body Composition, and Estrogen Metabolism in Postmenopausal Breast Cancer Survivors**

Wilcox, Sara; Sep 2006; 8 pp.; In English

Contract(s)/Grant(s): DAMD17-01-1-0628

Report No.(s): AD-A460108; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Increased incidence of and survival from breast cancer have resulted in growth of the number of women who have survived this disease and are faced with the subsequent consequences of their diagnosis and treatment. Physical activity (PA) is a modifiable health behavior that has the potential to address both the emotional and physical needs of women with early stage breast cancer. However, for PA to be seen as a viable treatment option, and for a change in routine care to occur, its effectiveness must be determined. Thus, the objectives of this pilot study were to: 1) quantify the effect of a 12-week homebased walking intervention on quality of life, body composition, and estrogen metabolism in survivors of breast cancer, and 2) develop and test the feasibility of PA intervention materials for future studies in this population. A total of 33 participants were enrolled and 21 of these were randomized to the walking program. No participants met inclusion criteria for the estrogen metabolism sub-study. Completion of counseling calls and adherence to the walking prescription were high among the walking group. Medium to large increases in PA as well as medium improvements in many domains of health-related quality of life were found among walking but not control participants. Home-based walking programs appear to be a feasible and promising form of intervention for breast cancer survivors.

DTIC

*Breast; Cancer; Estrogens; Mammary Glands; Metabolism; Walking*

**20070005457** University of Southern Mississippi, Hattiesburg, MS USA

**Coatings and Biodegradable and Bioabsorbable Films**

Thames, Shelby F; Rawlins, James W; Sep 2006; 59 pp.; In English

Contract(s)/Grant(s): N00014-04-1-0703

Report No.(s): AD-A460176; No Copyright; Avail.: CASI: [A04](#), Hardcopy

Research and development activities focused on environmentally friendly monomer, polymer and composite materials for Navy coating and packaging needs. Specifically focusing on the plasticizing effects of vegetable oil macromonomers as incorporated into emulsion polymers for efficient almost zero VOC film formation and the additional benefit of auto-oxidative polymerization after application. The resulting formulated coatings met or exceeded each of the specified Military specifications and are currently being evaluated for larger surface area application ease in combination with monomer and polymer scale up and commercialization. Polyesters and polyester-urethane block copolymers were evaluated for potential as biodegradable food packaging and pallet stretch wrap. The screening studies have resulted in materials with similar toughness as conventional materials and cursory data suggest acceptable biodegradability. Further evaluations will be performed in conjunction with the Natick Soldier Center.

DTIC

*Biodegradability; Biodegradation; Coatings*

**20070005469** Naval Health Research Center, San Diego, CA USA

**Analysis of fMRI Data by Blind Separation into Independent Spatial Components**

McKeown, Martin J; Makeig, Scott; Brown, Greg G; Jung, Tzyy-Ping; Kindermann, Sandra S; Bell, Anthony J; Sejnowski, Terrence J; Jun 1997; 31 pp.; In English

Report No.(s): AD-A460194; NHRC-REPT-97-42; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Current analytical techniques applied to functional magnetic resonance imaging (fMRI) data require a priori knowledge or specific assumptions about the time courses of processes contributing to the measured brain electrical signals. Here we describe a new method for analyzing fMRI data based on the independent component analysis (ICA) algorithm of Bell and Sejnowski. We decomposed eight fMRI data sets from 4 normal subjects performing various cognitive tasks. By utilizing higher-order statistics to enforce successively stricter criteria for spatial independence between component maps, both the ICA algorithm and related fourth-order decomposition technique were superior to principal component analysis (PCA) in determining the spatial and temporal extent of task-related activation. ICA appears to be a highly promising method for the analysis of fMRI data from normal and clinical populations.

DTIC

*Brain; Imaging Techniques; Magnetic Resonance; Spatial Distribution*



**20070005473** Jackson (Henry M.) Foundation, Rockville, MD USA

**Molecular Biology and Prevention of Endometrial Cancer**

Maxwell, George L; Jul 2006; 18 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-02-1-0183

Report No.(s): AD-A460283; No Copyright; Avail.: CASI: [A03](#), Hardcopy

To increase our understanding of the molecular aberrations associated with endometrial carcinogenesis and the biologic mechanisms underlying the protective effect of oral contraceptive (OC) therapy. 1) Oligonucleotide microarray analysis was performed on a panel of endometrial cancers. 2) A subset of adenocarcinoma cases from the International DES Registry (IDESR) was analyzed for MSI 3) A case-control study of the CASH database was performed to evaluate the relationship between progestin potency and endometrial cancer risk. 4) An analysis of endometrium samples from cymologous macaques that were exposed to long term progestins was performed. 5) A clinical trial comparing progestin versus placebo is underway that will facilitate investigation of the effects of progestin exposure on the endometrial lining. 1) Different histological types of endometrial cancer have unique genomic expression patterns. 2) The poor quality DNA from the majority of IDESR samples prohibited an adequate analysis of the case set. 3) A case-control study has suggested higher progestin- potency OCs may be more protective than lower progestin potency OCs among women with a larger body habitus. 4) Macaque studies have suggested that induction of apoptosis may be a mechanism underlying the chemoprotective effects of progestin on the endometrium. 5) Regulatory hurdles have resulted in delays in initiation of the clinical trial. Final FDA approval is expected within the next 3-4 months and the original objectives in the statement of work will be addressed.

DTIC

*Cancer; Chemotherapy; Drugs; Females; Hormones; Molecular Biology; Prevention*

**20070005475** California Univ., Irvine, CA USA

**Dietary Determinants of Prostate Cancer**

Chu, Lisa W; Mar 2006; 8 pp.; In English

Contract(s)/Grant(s): DAMD17-03-1-0157

Report No.(s): AD-A460285; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Prostate Cancer (PCa) accounts for nearly 30% of all newly diagnosed cancers among American men. Epidemiologic studies suggest that dietary factors may be important in the etiology of this disease. The objective of our research is to determine how nutritional compounds genistein, betasitosterol (SIT), and omega-6 fatty acids (FA) function as modulators of PCa. In the third and final year of this fellowship, the fellow joined the National Cancer Institute's Cancer Prevention Fellowship Program and has continued working on the DOD-PCRP Postdoctoral Fellowship project and 30% effort (with knowledge and approval from the DOD-PCRP). During this year, we finished printing our custom microarray as well as optimized the hybridization protocol. We are currently performing expression analysis using the microarrays for both the in vitro and in vivo experiments using well established cell lines. Finally, we have initiated xenograft in vivo experiments with a novel PCa model that was developed recently in a collaborating laboratory.

DTIC

*Cancer; Determinants; Diets; Epidemiology; Etiology; Nutrition; Prostate Gland*

**20070005476** Texas A&M Univ., College Station, TX USA

**Inhibitory Ah Receptor-Androgen Receptor Crosstalk in Prostate Cancer**

Safe, Stephen; Feb 2006; 93 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-02-1-0147

Report No.(s): AD-A460286; No Copyright; Avail.: CASI: [A05](#), Hardcopy

Treatment for prostate cancer depends on multiple factors including the stage of the tumor and expression of the androgen receptor (AR). Endocrine therapy can be used for treatment of early stage androgen-responsive tumors, whereas chemotherapy for later stage androgen non responsive tumors is problematic. We investigated the aryl hydrocarbon receptor (AhR) as a potential target for treating prostate cancer using a new series of relatively non-toxic selective AhR modulators (SAhRMs). Initial studies showed that 22RV1, PC3 and LNCaP prostate cancer are Ah-responsive. Two SAhRMs, namely diindolylmethane (DIM) and 6-methyl-1,3,8- trichlorodibenzofuran (6-MCDF), inhibited growth of AR-positive 22RV1 and ARnegative PC3 cells. AhR ligands inhibited dihydrotestosterone-induced upregulation of AR protein in 22RV1 cells, suggesting a possible mechanism for inhibitory AhR-AR crosstalk. The growth inhibitory effects of SAhRMs in PC3 cells suggests that AhR ligands also inhibit growth of androgen-nonresponsive cells. In addition, substituted DIMs inhibit growth

of prostate cancer cells and modulate AR expression, and these are currently being investigated.

DTIC

*Cancer; Chemotherapy; Crosstalk; Hormones; Males; Prostate Gland*

**20070005480** West Virginia Univ., Morgantown, WV USA

**Randomized Trial of Neuroprotective Effects of Erythropoietin in Patients Receiving Adjuvant Chemotherapy for Breast Cancer: Position Emission Tomography and NE**

Abraham, James; Sep 2006; 7 pp.; In English

Contract(s)/Grant(s): DAMD17-02-1-0621

Report No.(s): AD-A460291; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Adjuvant treatment with Adriamycin and cyclophosphamide (AC) clearly prolongs the overall survival in women with breast cancer. Cognitive deficits (e.g. problems with memory and concentration) are common during and after adjuvant breast cancer chemotherapy, but the pathophysiology of cognitive phenomens is unknown. The goal of this project is to study the pathophysiology of cognitive dysfunction in patients receiving adjuvant treatment using Positron Emission Tomography (PET) scan.

DTIC

*Breast; Cancer; Chemotherapy; Cyclic Compounds; Drugs; Mammary Glands; Pathogenesis; Patients; Positrons; Tomography*

**20070005481** Virginia Commonwealth Univ., Richmond, VA USA

**Molecular Mechanisms of Prostate Cancer Progression**

Holt, Shawn E; Elmore, Lynne W; Jan 2006; 35 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-02-1-0152

Report No.(s): AD-A460292; No Copyright; Avail.: CASI: [A03](#), Hardcopy

To define the mechanisms involved in prostate cancer progression we have found that molecular chaperones are elevated causing increased telomerase activity. In order to determine the importance of the chaperones during prostate cancer progression we proposed 2 specific aims: 1-define whether ectopic chaperone expression results in transformation and 2-determine whether chaperones are targets for prostate cancer therapy. The hsf-1 transcription factor was over-expressed in non-tumorigenic prostate cells resulting in increased hsp90 and hsp70 expression an upregulation of telomerase through a global chaperone increase and no effect on tumorigenicity. Using both a pharmacologic (radicol) and genetic (siRNA) approaches depletion of functional Hsp90 in prostate cancer cells caused dramatic telomere shortening followed by apoptosis. Of particular significance these cells exhibit a high level of nitric oxide synthase (NOS)-dependent free radical production and simultaneous treatment of cells with the NOS inhibitor L-NAME resulted in telomere elongation and prevention of apoptosis. In addition we observe significant DNA damage assessed by telomere dysfunction although in the absence of a classical DNA damage response. Overall our data suggest a novel mechanism whereby inhibition of Hsp90 disrupts free radical homeostasis and contributes directly to telomere erosion further implicating Hsp90 as a potential therapeutic target for prostate cancer.

DTIC

*Cancer; Homeostasis; Prostate Gland*

**20070005482** California Univ., San Francisco, CA USA

**Soy and Tamoxifen for Breast Cancer Prevention in High Risk Pre-Menopausal Women**

Tice, Jeffrey A; Oct 2005; 20 pp.; In English

Contract(s)/Grant(s): DAMD17-01-1-0198

Report No.(s): AD-A460295; No Copyright; Avail.: CASI: [A03](#), Hardcopy

We conducted a feasibility study to assess the efficacy and safety of dietary soy for breast cancer prevention in pre-menopausal women at elevated risk of breast cancer. Mammographic breast density, a potential surrogate marker for breast cancer risk, was used as the primary entry criterion and the primary outcome. 47 pre-menopausal women with breast density ! 50% on mammography were randomized to either 25 mg/d of soy protein containing 50 mg total isoflavones or 25 mg/day of milk protein containing 0 mg of total isoflavones for 6 months. At randomization, the average 5-year Gail risk was 2.0% and the average breast density was 73% (range 59%-90%). The average change in percentage breast density was -2.7% in the soy arm and -2.4% in the placebo arm (p=0.48). There were no differences between groups in the change in IGF-I or IGFBP3. The results of this study do not support the hypothesis that 6 months of soy protein reduces the risk of breast cancer in

pre-menopausal women. However, the intervention was relatively short and the primary outcomes were surrogate markers of risk.

DTIC

*Breast; Cancer; Females; Mammary Glands; Prevention; Proteins; Risk*

**20070005483** Duke Univ., Durham, NC USA

**Optical Spectroscopy and Multiphoton Imaging for the Diagnosis and Characterization of Hyperplasias in the Mouse Mammary**

Skala, Melissa C; Sep 2006; 53 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): W81XWH-04-1-0330

Report No.(s): AD-A460297; No Copyright; Avail.: CASI: [A04](#), Hardcopy

The purpose of the first part of this project is to develop a method to diagnose mammary gland hyperplasias in an animal model in vivo using optical spectroscopy, so that the progression of benign lesions could be studied over time. The absorption and scattering parameters extracted from diffuse reflectance spectra measured in vivo were used to differentiate normal tissue (n=23) and benign lesions (n=16) in the mammary glands of ENU-treated FVBxB6 ApcMin/+ mice. Wilcoxon rank sum tests revealed a statistically significant increase (p<0.05) in total hemoglobin concentration for benign lesions compared to the normal mammary gland. Statistically significant differences (p<0.05) in the mean reduced scattering coefficient were also found between two sub-classes of benign lesions. The purpose of the second part of this project is to establish fluorescence lifetime imaging of NADH as an in vivo metabolic imaging technique, which could be used for pre-cancer diagnosis and for monitoring cancer therapies. Multiphoton microscopy of the fluorescence lifetime of normal (n=9) and pre-cancerous (n=12) epithelial tissues in vivo revealed a decrease in the lifetime of protein-bound NADH with pre-cancer development (p<0.05), and cell culture experiments on MCF10A human breast cells reveal that NADH fluorescence lifetimes are sensitive to changes in oxidative phosphorylation and glycolysis.

DTIC

*Breast; Cancer; Diagnosis; Glycolysis; Imaging Techniques; Lesions; Mammary Glands; Mice; Phosphorylation; Spectroscopy*

**20070005484** George Washington Univ., Washington, DC USA

**Naked DNA Immunization of Prevention of Prostate Cancer in a Dunning Rat Prostate Tumor Model**

Mincheff, Milcho; Jun 2006; 93 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-02-1-0239

Report No.(s): AD-A460300; No Copyright; Avail.: CASI: [A05](#), Hardcopy

After cloning a truncated (no peptide leader sequence) versions of the human prostate acid phosphatase (H-PAP-T), the human prostate-specific antigen (HPSA- T) and the rat analogue of the human PSMA (R- PSMA -S), all plasmids were produced under GLP conditions. Their safety was tested in rats and the efficacy to stimulate T cells and to prevent development of transplantable tumors was shown in a rat model. Best protection was obtained following immunization with a cocktail containing all plasmids and a plasmid encoding rat GM-CSF. None of the animals immunized with the truncated plasmids developed antibodies against the native antigen while immunization with a plasmid encoding for an antigen that was secreted did. The antibodies were of mixed (Th1 and Th2) type (IgG1 and IgG2a). When priming was performed with the truncated version of the vaccines (HPSMA-T or HPSA-T), however and boosting with the secreted ones, the antibodies were mainly of the Th1 (complement-binding) type (IgG2a and IgG2b). The best protection was achieved when priming was performed with a plasmid encoding a xenogeneic protein and boosting with a plasmid encoding a syngeneic one. Genetic modification of rat tumor cells that led to expression of human (xeno) antigens, made them immunogenic, identifying other possible practical applications for vaccine design.

DTIC

*Cancer; Deoxyribonucleic Acid; Genetics; Immunology; Prevention; Prostate Gland; Rats; Tumors*

**20070005500** McGill Univ., Montreal, Quebec Canada

**Nuclear Receptor Interactions in Breast Cancer: The Role of Kinase Signaling Pathways**

Pettersson, Filippa; Jul 2006; 9 pp.; In English

Contract(s)/Grant(s): DAMD17-03-1-0472

Report No.(s): AD-A460331; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Retinoids and rexinoids are vitamin A derivatives, which cause growth inhibition and/or apoptosis in various cell types,

including some breast cancer cells. Retinoids bind and activate the nuclear receptor RAR, whereas rexinoids specifically bind to the related receptor RXR. While retinoids like RA inhibit the growth of estrogen receptor (ER) positive and not ER negative breast cancer cells, rexinoids appear to have activity in both ER positive and ER negative models. In addition, it has been reported that the rexinoid bexarotene can prevent the development of multidrug resistance following exposure to chemotherapy agents. In this report I describe studies of the interactions of different nuclear receptors in breast cancer cells. I have studied how expression of ER affects the phosphorylation status and activity of RAR, and found that reexpression of either ER or increases phosphorylation of RAR and also increases the activity of MAPK and PKC pathways. I have also studied how rexinoids modulate the activity of the SXR/RXR heterodimer, and have found that several rexinoids suppress activation of SXR by SXR agonist such as Rifampicin and the chemotherapeutic agent Taxol, which may provide a mechanism to explain how rexinoids can prevent the development of drug resistance.

DTIC

*Breast; Cancer; Mammary Glands; Nuclear Interactions*

**20070005510** Oregon State Univ., Corvallis, OR USA

**DNA Precursor Metabolism and Mitochondrial Genome Stability**

Mathews, Christopher K; Apr 1, 2003; 11 pp.; In English

Contract(s)/Grant(s): DAAD19-03-1-0032

Report No.(s): AD-A460347; No Copyright; Avail.: CASI: [A03](#), Hardcopy

This project investigated DNA precursor metabolism in mammalian mitochondria, attempting to define relationships between deoxyribonucleoside triphosphate (dNTP) metabolism and mutagenesis in the mitochondrial genome. Specific contributions include: (1) We found that conditions altering the normal balance among the four dNTP pools within the mitochondrion stimulate both point and deletion mutagenesis; (2) dNTP pools in mitochondria from some tissues are highly asymmetric contributes toward the elevation of mitochondrial mutation rates compared to nuclear mutation rates; (3) Mitochondrial dNTP pools do not show significant age-related changes in the rat, ruling out such changes as causative agents in aging-related accumulation of mitochondrial mutations; (4) A protein previously identified as a mitochondrial deoxyribonucleotide carrier, responsible for dNTP uptake into mitochondria, plays a quite different metabolic role; (5) Mammalian mitochondria contain a novel ribonucleotide reductase, which may play a significant role in mitochondrial dNTP synthesis.

DTIC

*Deoxyribonucleic Acid; Genome; Metabolism; Mitochondria; Stability*

**20070005516** Nebraska Univ., Omaha, NE USA

**Estrogen-Induced Depurination of DNA: A Novel Target for Breast Cancer Prevention**

Cavalieri, Ercole L; May 2006; 126 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAMD17-03-1-0229

Report No.(s): AD-A460366; No Copyright; Avail.: CASI: [A07](#), Hardcopy

The COE investigators made outstanding progress in the third year. We found that we can analyze 40 metabolites, conjugates and depurinating DNA adducts of estrogens in the urine and serum of women. The women with breast cancer had high levels of depurinating adducts in the urine, whereas the control women had baseline levels. For the first time, it was demonstrated that E2 induces complete transformation of human epithelial cells with formation of tumors in SCID mice. Furthermore, the ability to characterize cell transformation at the combined levels of the complete genome and the individual gene was determined. The lac I rats showed low mutagenic activity of the mammary tissue when treated with 4-OHE2 and no mutagenicity after treatment with 2-OHE2. The ERKO mice without estrogen receptors, E2 induced tumors in mammary tissue and produced genotoxic metabolites. All these findings provide strong support that estrogens can become genotoxic compounds and eventually initiate breast cancer.

DTIC

*Breast; Cancer; Deoxyribonucleic Acid; Estrogens; Mammary Glands; Prevention; Targets*

52  
**AEROSPACE MEDICINE**

Includes the biological and physiological effects of atmospheric and space flight (weightlessness, space radiation, acceleration, and altitude stress) on the human being; and the prevention of adverse effects on those environments. For psychological and behavioral effects of aerospace environments, see *53 Behavioral Sciences*. For the effects of space on animals and plants see *51 Life Sciences*.

**20070003643** Institute of Space Medico-Engineering, Beijing, China

**Space Medicine and Medical Engineering, Volume 19, No. 5**

Chen, Shan-guang, Editor; Wang, Xian-min, Editor; Bai, Jing, Editor; Bai, Yan-qiang, Editor; Sun, Xi-qing, Editor; Hong, Feng, Editor; Su, Hong yu, Editor; Jiang, Shi-zhong, Editor; Wang, Zhi-kui, Editor; Lu, Yao-feng, Editor; October 2006; ISSN 1002-0837; 83 pp.; In Chinese; See also 20070003644 - 20070003660; Original contains black and white illustrations

Report No.(s): CN11-2774/R; Copyright; Avail.: Other Sources

The topics discussed include: 1) Protection Effects of a New Combined Anti-G Measure; 2) Fitting APD Restitution with Artificial Neural Network; 3) Prophylactic Effects of Choline Chloride on Muscle Atrophy in Tail-suspended Rats; 4) Effects of Tail Suspension on Renin-angiotensin System in Rat Myocardium; 5) Effects of Irradiation with Different Dose Rates of X-ray on Mouse Immune System; 6) Protective Effects of Vitamin E on Injury to Male Reproductive Function in Tail-suspended Rats; 7) Development of Kunming Mouse Early Embryos in Vitro and Expression of G6PD under Simulated Microgravity; 8) Simulation Study on Performance of Control by Man and Machine in the Stage of Final Approaching for Rendezvous and Docking; 9) Development of a Complex Experimental System for Studies of Controlled Ecological Life Support Technique; 10) Research on ECG Denoising during Spaceflight; 11) Study on Operational Reliability of Astronaut in Spacecraft Cabin; 12) Research of Biomechanical Evaluation Methods of Hand Performance Fatigue; 13) Study on Modified Delphi Method as Used for Index Filtration in Ergonomic Evaluation of Cockpit Display; 14) sEMG Dynamics of Muscle Fatigue Induced by Maximal Voluntary Isometric Contractions; 15) Design of a Wearable Respiratory Inductive Plethysmograph and Its Applications; 16) Development of Controlled Ecological Life-support System in Russia; and 17) Effects of Microgravity on Human Spatial Orientation in Space Flight

CASI

*Aerospace Medicine; Bioengineering; Medical Electronics; Artificial Intelligence*

**20070003644** Fudan Univ., Shanghai, China

**Fitting APD Restitution with Artificial Neural Network**

Han, Xiao-dong; Fang, Zu-xiang; Yang, Cui-wei; Wu, Xiao-mei; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 319-323; In English; See also 20070003643

Contract(s)/Grant(s): NNSF-30400102; MOE-20030246025; Copyright; Avail.: Other Sources

To study the complex restitution relation between the present action potential duration (APD) and its previous diastole interval (DI) and APD. The Luo-Rudy model was paced with different protocols to draw the coordinate curves between the pre D and its first previous DI. These curves were fit with the artificial neural network (ANN). Different pacing protocols caused different coordinate curves, and there was no one-to-one relation between present APD and its first previous DI. ANN fits these curves satisfactorily. There is a complex relation between the present APD and the APDs and DIs of the first three previous beats. This relation can be modeled accurately with ANN.

Author

*Neural Nets; Artificial Intelligence; Dynamic Models; Time*

**20070003645** Institute of Aviation Medicine, Beijing, China

**Protection Effects of a New Combined Anti-G Measure**

Jin, Zhao; Geng, Xi-chen; Zhang, Li-fan; Zhang, Li-hui; Li, Bao-hui; Li, Qian; Wang, Hong; Yan, Gui-ding; Li, Yi-feng; Li, Li-hua; Xu, Yan; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 313-318; In English; See also 20070003643; Copyright; Avail.: Other Sources

To observe the protection effects of a new combined anti-G measure, which is composed of new bladder anti-G system, unassisted positive pressure breathing for G (PBG) and PHP maneuver. The problem of fatigue and pain when using this measure is also discussed. Each of the six fully qualified centrifuge subjects experienced 5 groups of centrifuge runs:1) relaxed + Gz tolerance without anti-G equipments and PHP maneuver;2) + Gz tolerance with FLH-x + KT-x;3) + Gz tolerance when performing PBG with FLH-x, KT-x, YM-x and TK-x;4) +6.5 Gz for 45 s using the same anti-G equipments as the 3rd group;5) +9.0 Gz for 15 s using the same anti-G equipments as the 3rd group and performing PHP maneuver. There were no incidents of G-induced loss of consciousness in this study. The protective effects of FLH-x + KT-x, PBG and PHP maneuver were 2.5

G, 1.67 G and 1.23 G respectively. All the subjects had accomplished the 6.5 G 45 s and 9.0 G 15 s runs with the new combined anti-G measure. The pain occurred on neck, waist, arm and hands. The new combined anti-G measure can provide enough anti-G protection for modern high performance aircraft. How to prevent the occurrence of neck injury and alleviate the pain induced by G when using this measure needs further investigation.

Author (revised)

*Antigravity; Protection; Pressure Breathing; Bladder; Aerospace Medicine*

**20070003646** Institute of Space Medico-Engineering, Beijing, China

**Effects of Microgravity on Human Spatial Orientation in Space Flight**

Song, Jian; Liu, Xu-feng; Miao, Dan-min; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 388-390; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

In the researches of space flight, studies of the effects of microgravity on visual orientation were relatively few. This paper reviews recent progress on this topic. It surveys the spatial orientation problems encountered in spaceflight including 0-G inversion illusions, visual reorientation illusions, EVA height vertigo, and spatial memory problems described by astronauts. A vector model for sensory cue interaction was briefly described.

Author

*Microgravity; Manned Space Flight; Aerospace Medicine; Attitude (Inclination)*

**20070003647** China Astronaut Research and Training Center, Beijing, China

**Simulation Study on Performance of Control by Man and Machine in the Stage of Final Approaching for Rendezvous and Docking**

Zhou, Qian-xiang; Qu, Zhan-sheng; Wang, Chun-hui; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 345-349; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

To study the performance evaluation of integration rendezvous and docking (RVD) control by man and machine in order to provide reference for function allocation between man and machine. By a rendezvous and docking experimental system, 30 male volunteers aged 20-40 took part in the performance evaluation experiments. The RVD integration control success rates and total thruster ignition time were chosen as evaluation indices. The experiment showed that if less than three RVD parameters control tasks were completed by the subjects and the rest of parameters control task completed by automation, the RVD success rate would be larger than 80.47% and the fuel consumption would be optimized. In addition, there were ten subjects who finished the whole 6 RVD parameters control tasks by enough train. If the astronaut's role should be integrated into the RVD control, it is suitable for them to finish the heading, pitch and roll control in order to assure the man-machine system high performance. When astronauts were needed to finish all parameters control, two points should be taken into consideration, enough fuel and unconstrained operation time.

Author (revised)

*Man Machine Systems; Orbital Rendezvous; Simulation; Spacecraft Docking; Approach*

**20070003648** China Astronaut Research and Training Center, Beijing, China

**Development of a Complex Experimental System for Studies of Controlled Ecological Life Support Technique**

Guo, Shuang-sheng; Tang, Yong-kang; Zhu, Jing-tao; Wang, Xiao-xia; Yin, Yong-li; Feng, Hong-qi; Ai, Wei-dang; Liu, Xiang-yang; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 350-353; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

To develop a complex experimental system as a test platform for further integrated experiments and material close-loop experiments of the controlled ecological life support system (CELSS). Basing on a large amount of plan investigations, plan designs and drawing designs, the system was built through the steps of processing, installation and joined debugging. The system comprises a cabin with the volume of about 40 cubic meters; its interior atmospheric parameters such as temperature, relative humidity, oxygen concentration, carbon dioxide concentration, total pressure, lighting intensity, photoperiod, water content in the growing-matrix and ethylene concentration were all monitored and controlled automatically and effectively, and remained good stability. The growing system consists of two rows of racks along its left-and-right sides separately, and either of which has upper and lower layers; eight growing beds hold a total area of about 8.4 square meters, and the vertical distance between the two layers can be adjusted automatically and independently; lighting sources consist of a combination of red and blue light-emitting diodes. The system provides essential conditions for future large-scale integrated studies of controlled ecological life support techniques.

Author (revised)

*Closed Ecological Systems; Complex Systems; Automatic Control; Ecosystems*

**20070003649** BeiHang Univ., Beijing, China

**Study on Modified Delphi Method as Used for Index Filtration in Ergonomic Evaluation of Cockpit Display**

Li, Yin-xia; Uiam. Xoi-gam; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 368-372; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

To build an index system for ergonomic evaluation of cockpit display. The modified Delphi method was proposed to build the index system. Firstly, on the basis of systematic, in-depth analysis and integration of the related information such as military standards, norms and technical productions both domestic and abroad, an index system for cockpit display ergonomic evaluation was primarily built. Then, 23 fighter pilots were referred twice as the specialized consultants upon preliminary index system with the modified Delphi method. Finally, the comments of the specialized consultants were statistically analyzed. Based on the two times of information from the specialized consultants, the indexes that could cause more impact on pilots' efficiency were selected. Modified Delphi method characterized with referring and synthesizing experimental results from experts is scientific and operatable.

Author (revised)

*Cockpits; Delphi Method (Forecasting); Display Devices; Human Factors Engineering*

**20070003650** BeiHang Univ., Beijing, China

**Development of Controlled Ecological Life-support System in Russia**

Liu, Hong; Ui. Cjemg-ying; Pang, Li-ping; Wang, Jun; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 382-387; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

Controlled Ecological Life-support System (CELSS) is one of the key technologies that must be solved before founding permanent base in space such as Lunar or Mars base. After discussing with Russian experts and analysing the data, the author introduced something important about CELSS in Russia, including research methods, achievements and problems to be solved urgently. In the end a proposal for developing CELSS research in China was given based on them.

Author

*Closed Ecological Systems; Russian Federation; Technology Utilization; Controlled Atmospheres*

**20070003651** Institute of Space Medico-Engineering, Beijing, China

**sEMG Dynamics of Muscle Fatigue Induced by Maximal Voluntary Isometric Contractions**

Zhang, Hai-hong; Wang, Jian; Yang, Zhen; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 373-376; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

To investigate the effect of muscle fatigue on linear and nonlinear dynamics of sEMG from biceps brachii during maximal voluntary isometric contractions. Ten young volunteers subjects performed non-fatiguing isometric contractions with four load levels and fatiguing maximal voluntary isometric contractions. Linear and nonlinear analysis were used to examine the sEMG signals and the changes of sEMG signals when MVC decreased to levels of 80% MVC, 70% MVC, 60%MVC and 50% MVC compared with the signals of sEMG during non-fatiguing contractions. Statistical analyses revealed that AEMG and %DET were significantly higher at fatigue states than those at non fatigue states, while MPF and C(n) were significantly lower than those at non fatigue states. With the development of muscle fatigue, MPF and % DET significantly decreased during maximal effort, while AEMG and % DET stayed stable. The interaction of load level and fatigue state for AEMG, MPF and C(n) were significant. MPF and C(n) significantly decrease during maximal voluntary isometric contractions. sEMG dynamics between fatigue state and non-fatigue state is significantly different.

Author (revised)

*Muscles; Fatigue (Biology); Electromyography; Contraction*

**20070003652** Institute of Space Medico-Engineering, Beijing, China

**Effects of Tail Suspension on Renin-angiotensin System in Rat Myocardium**

Bao, Jun-xiang; Zhang Li-fan; Ma, Jin; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 329-332; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

To study the changes of gene and protein expressions of key components of renin- angiotensin system (RAS) in myocardium of simulated weightless rats. Tail-suspended rats were adopted as animal model of simulated weightlessness. RT-PCR and Western blot analysis were performed to examine mRNA and protein expressions in rat myocardium, respectively. After 1 week tail-suspension, no obvious changes in angiotensinogen (AGT), angiotensin converting enzyme (ACE), Ia and Ib subtype of type 1 angiotensin II receptor (AT(sub 1a) and AT(sub 1b)), key components of RAS in myocardium were observed. But after 4 weeks tail-suspension, the expressions of AGT mRNA and higher molecular weight

band of AGT protein increased significantly (P less than 0.05), which the lower molecular weight band had no evident change. mRNA expression of AT(sub 1a) increased significantly (P less than 0.05), but its protein expression didn't show significant change. The increased expression of the key components of RAS in rat myocardium after 4 weeks tail suspension, might be related to elevated fibrosis in myocardium during weightlessness or simulated weightlessness.

Author (revised)

*Myocardium; Rats; Renin; Vasoconstrictor Drugs; Angiotensins; Hindlimb Suspension*

**20070003653** Academia Sinica, Lanzhou, China

#### **Effects of Irradiation with Different Dose Rates of X-ray on Mouse Immune System**

Xie, Yi; Dang, Bing-rong; Bing, Tao; Zhang, Hong; Hao, Ji-fang; Guo, Hong-yun; Wang, Xiao-hu; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 333-336; In Chinese; See also 20070003643; Copyright;

Avail.: Other Sources

To investigate the effects of irradiation with different dose rates of X-ray on mouse immune system. Eighteen BalB/C mice were divided into 3 groups randomly: control group, low dose rate irradiated group (20 cGy/min) and high dose irradiated rate group (300 cGy/min). The low and high dose rate irradiated groups were irradiated with 1 Gy of X-ray at 20 cGy/min and 300 cGy/min. Lymphocyte cycle and apoptosis were determined by flow cytometry, and thymus and spleen indices were measured by weight. The damages caused to exposure at low dose rate to peripheral blood lymphocytes were less than that at higher dose rate. Meanwhile, thymus and spleen indices declined with the increase of dose rate. The irradiation effects on male-mice were stronger than those on females. The lower dose rate can reduce radiation damage to the immune system than the higher dose rate; and female mice are more radiation resistant than males.

Author (revised)

*Dosage; Irradiation; Mice; X Rays; Immune Systems*

**20070003654** China Astronaut Research and Training Center, Beijing, China

#### **Research on ECG Denoising during Spaceflight**

Liang, Zhong-gang; Wang, Qin; Yan, Hong; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 354-357; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

To find a method for denoising of ECG signals during spaceflight. According to noise character of ECG during spaceflight, a new denoising method that combines wavelet transform and shape filter was put forward. By practical application and simulation test, it was proved that this method could eliminate efficiently noise from ECG during spaceflight. The method that combines wavelet transform and shape filter can be used for ECG denoising during spaceflight.

Author (revised)

*Electrocardiography; Manned Space Flight; Research and Development; Noise Reduction*

**20070003655** Institute of Space Medico-Engineering, Beijing, China

#### **Prophylactic Effects of Choline Chloride on Muscle Atrophy in Tail-suspended Rats**

Gao, Yun-fang; He, Zhi-xian; Fan, Xiao-li; Wu, Su-di; Song, Xin-ai; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 324-328; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

To study the effects of choline chloride on activities of myosin adenosine triphosphatase (mATPase) of muscle fibres and muscle atrophy in tail-suspended rats. Twenty four adult female Sprague-dawley rats were averagely divided into 3 groups matched for body mass : control group (CON), tail suspension group (TS) and tail suspension group associated with choline chloride (TS + Cch). Weightlessness was simulated by 14 d tail suspension of rats; gastric lavage with choline chloride was given to 1/2 of the rats during tail suspension (15 mg/kg body weight. i. g.). Activities of myosin adenosine triphosphatase (mATPase) of intrafusal and extrafusal fibres in soleus (SOL) muscle were determined by Ca<sup>2+</sup>-ATPase method. Paraffin sections of the soleus muscle were prepared by routine histochemistry method. After tail suspension for 14 d it was found: 1) percentage of type II fibre in SOL of rats treated with choline chloride decreased distinctly as compared with that in the untreated rats (P less than 0.001) ; 2) gaps of fascicles were closer in choline chloride treated group, and cross sectional area (CSA) of (type I and II) all increased obviously as compared with those in untreated group (P less than 0.001) ; 3) the activities of mATPase in intrafusal fibres were similar to the two groups except one nuclear chain fibre. Choline chloride effectively prevents muscle atrophy, as well as the transformation of slow-twitch muscle to fast-twitch muscle caused by tail suspension. It is also showed that the suppression on the increase of mATPase activities caused by weightlessness might be the essential mechanism.

Author (revised)

*Atrophy; Chlorides; Choline; Muscles; Rats; Hindlimb Suspension; Prophylaxis*



**20070003656** China Astronaut Research and Training Center, Beijing, China

**Study on Operational Reliability of Astronaut in Spacecraft Cabin**

Wang, Zheng; Wang, Li; Xu, Run-tao; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 358-362; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

To analyze human operational reliability, and to provide suggestions for design of controllers in spacecraft cabin. Twenty-two male subjects participated in 924 person times of experiments. The experiments were divided into 6 groups to study the effect of space suit glove, time interval and task on human operational reliability in spacecraft cabin. 1) Task had obvious effects on operational reliability and time (P less than 0.001) .2) With space suit glove, there was considerable increase in operational time (P less than 0.05). 3) Time interval affected operational time observably (P less than 0.001). Human operational reliability decreases when time interval was delayed or shortened. 4) Subject type had significant effects on operational reliability (P less than 0. 01). Veteran subjects were more reliable than inexpert subjects in operation. According to the results, two suggestions were provided for the design of controllers in spacecraft cabin.

Author (revised)

*Astronauts; Spacecraft Cabins; Human Factors Engineering; Flight Operations*

**20070003657** BeiHang Univ., Beijing, China

**Research of Biomechanical Evaluation Methods of Hand Performance Fatigue**

Ding, Li; Yang, Feng; Chen, Shou-ping; Yang, Chun-xin; Yuan, Xiu-gan; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 363-367; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

To find a biomechanical evaluation methods of manual performance fatigue, for providing the feasible methods for the hand fatigue of spacesuit glove. Twelve females and fourteen males in two groups served as the subjects and participated in the experiments of changeless force fatigue, including gripping, pinching and screwing, and took part in the nondimensional force fatigue. Manual performance fatigue could be fully evaluated based on gripping, pinching and screwing. The nondimensional force fatigue had widely applied ranges and well veracity. The combination of nondimensional subjective and objective evaluations was the optimal fatigue evaluation method. The results can provide the feasible methods for performance evaluation of spacesuit glove system.

Author (revised)

*Biodynamics; Hand (Anatomy); Fatigue (Biology); Aerospace Medicine; Research and Development*

**20070003658** Institute of Space Medico-Engineering, Beijing, China

**Development of Kunming Mouse Early Embryos in Vitro and Expression of G6PD under Simulated Microgravity**

Wu, Chang-li; Gao, Shu-jing; Jiang, Qing-yan; Feng, Ding-yuan; Tian, Xiu-chun; Yang, Xiang-zhong; Zhang, Shou-quan; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 340-344; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

To detect gene expression of G6PD in Kunming mouse preimplantation embryos which were cultured in vitro under 1g gravity and simulated microgravity. Two sets of inner and outer primers were designed and synthesized according to cDNA sequences of G6PD. This gene expression was detected in Kunming mouse preimplantation embryos by nested RT-PCR (reverse transcription-polymerase chain reaction). Under simulated microgravity condition, the percentages of passing 2-cell development block embryos and blastocysts in CZB + 10%FCS were lower than those under 1g gravity. It is suggested that the frequency of early embryonic lethality is possibly increased by simulated microgravity. With two pairs of inner and outer primers of G6PD gene, G6PD gene mRNA expression were observed in Kunming mouse 4-, 8-cell embryos, morula, blastocyst and degenerated blastocyst in vitro under 1 g gravity condition. In Embryos cultured under the simulated microgravity condition, G6PD gene mRNA expression were observed in 4-cell embryos, morula, blastocyst, and degenerated morula embryos, but not in 2-cell embryos collected from oviduct and degenerated in vitro. It is concluded that there is no difference in gene expression of G6PD between 1 g gravity and simulated microgravity in vitro.

Author (revised)

*Embryos; Gene Expression; In Vitro Methods and Tests; Mice; Microgravity; Simulation*

**20070003659** Academy of Military Medical Sciences, Tianjin, China

**Design of a Wearable Respiratory Inductive Plethysmograph and Its Applications**

Zhang, Zheng-Bo; Yu, Meng-sun; Li, Ruo-xin; Wu, Tai-hu; Wu, Jia-long; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 377-381; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

To develop a new type of respiratory inductive plethysmograph to achieve high signal-noise rate( SNR) and low system

power cost, and also to eliminate the cross-talk between chest and abdominal band sensors. Either of the two bands was powered by a very high power oscillator in a very short time, and these two bands were switched on in turn. The sensor structure of the respiratory inductive plethysmograph was modified so that these two bands could be embedded in a shirt conveniently. With these new designs, the cross-talk between these two bands was greatly eliminated and high SNR and low system power cost were achieved. This new wearable respiration monitoring system is easy to use, and can be used for long time and ambulatory monitoring. This new system meets the design requirement with excellent performance. With this new wearable respiration monitoring system, non-invasive measurement of ventilation and non-intrusive detection of sleep apnea event can be achieved.

Author (revised)

*Plethysmography; Protective Clothing; Ventilation; Respiration*

**20070003660** Institute of Space Medico-Engineering, Beijing, China

**Protective Effects of Vitamin E on Injury to Male Reproductive Function in Tail-suspended Rats**

Zhou, Dang-xia; Qiu, Shu-dong; Wang, Zhi-yong; Zhang, Jie; Space Medicine and Medical Engineering, Volume 19, No. 5; October 2006, pp. 337-339; In Chinese; See also 20070003643; Copyright; Avail.: Other Sources

To study the protective effects of vitamin E(VE) on injury to male reproductive function in tail-suspended rats. Method Thirty adult male Sprague-Dawley (SD) rats were randomly divided into three groups: control, TS (tail-suspension) and VE + TS groups. TS and VE + TS groups were tail-suspended for 14 d, and VE was given orally to VE + TS group during tail-suspension. After the treatment, weight and morphology of testes quality and amount of sperm, as well as serum hormones were observed. As compared with the control group, weight of testes, quantity and quality of sperm, as well as serum testosterone decreased significantly in TS group (P less than 0.05). VE treatment was helpful in restoring these parameters (P less than 0.05). In addition, hematoxylin and eosin (HE) stained specimens showed atrophy of seminiferous tubules, decrease of spermatogenic cells, disintegrated and shed seminiferous epithelial cells, and azoospermic lumina in rats of TS group. VE treatment significantly improved the testicular structures. VE has protective effects on the injury to male reproductive function induced by tail-suspension in rats.

Author (revised)

*Injuries; Males; Rats; Tocopherol; Hindlimb Suspension*

**20070004776** Army Research Inst. of Environmental Medicine, Natick, MA USA

**Heat Related Illnesses**

Carter, R; Chevront, S N; Sawka, M N; Jan 2006; 9 pp.; In English

Report No.(s): AD-A459434; MISC-06-12; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459434>; Avail.: Defense Technical Information Center (DTIC)

Heat illnesses range in severity from mild (heat rash, heat syncope, cramps) to serious (heat exhaustion, heat injury, heat stroke). Although heat illness can occur in anyone, an increased risk is associated with a variety of environmental factors, personal characteristics, health conditions, and medications. The risk of serious heat illness can be markedly reduced by implementing a variety of countermeasures, including becoming acclimated to the heat, managing heat stress exposure, and maintaining hydration. Athletes, coaches, training staff, and medical personnel should be vigilant for signs and symptoms of heat related illnesses. If warning signs are acted upon and body cooling rapidly administered, serious heat illness can be avoided. If heat stroke is suspected, rapid body cooling by immersion or soaking in cold water or ice water should be initiated. DTIC

*Heat Stroke; Sicknesses; Exhaustion; Heat Tolerance; Signs and Symptoms*

**20070004932** NASA Johnson Space Center, Houston, TX, USA

**Development of Training Programs to Optimize Planetary Ambulation**

Bloomberg, J. J.; Mulavara, A. P.; Peters, B. T.; Cohen, H. S.; Miller, C. A.; Brady, R.; Warren, L. E.; Rutley, T. M.; Kozlovskaya, I. B.; [2007]; 1 pp.; In English; NASA Human Research Program Investigators Workshop, 12-14 Feb. 2007, League City, TX, USA

Contract(s)/Grant(s): NCC 9-58; Copyright; Avail.: CASI: A01, Hardcopy

Astronauts experience disturbances in functional mobility following their return to Earth due to adaptive responses that occur during exposure to the microgravity conditions of space flight. Despite significant time spent performing in-flight exercise routines, these training programs have not been able to mitigate postflight alterations in postural and locomotor function. Therefore, the goal of our two inter-related projects (NSBRI-ground based and ISS flight study, 'Mobility') is to

develop and test gait training programs that will serve to optimize functional mobility during the adaptation period immediately following space flight, thereby improving the safety and efficiency of planetary ambulation. The gait training program entails manipulating the sensory conditions of treadmill exercise to systematically challenge the balance and gait control system. This enhances the overall adaptability of locomotor function enabling rapid reorganization of gait control to respond to ambulation in different gravitational environments. To develop the training program, we are conducting a series of ground-based studies evaluating the training efficacy associated with variation in visual flow, body loading, and support surface stability during treadmill walking. We will also determine the optimal method to present training stimuli within and across training sessions to maximize both the efficacy and efficiency of the training procedure. Results indicate that variations in both visual flow and body unloading during treadmill walking leads to modification in locomotor control and can be used as effective training modalities. Additionally, the composition and timing of sensory challenges experienced during each training session has significant impact on the ability to rapidly reorganize locomotor function when exposed to a novel sensory environment. We have developed the capability of producing support surface variation during gait training by mounting a treadmill on a six-degree-of-freedom motion device. This hardware development will allow us to evaluate the efficacy of this type of training in conjunction with variation in visual flow and body unloading.

Derived from text

*Adaptation; Astronauts; Gait; Astronaut Training; Astronaut Locomotion*

**20070005164** NASA Johnson Space Center, Houston, TX, USA

**Organization, Management and Function of International Space Station (ISS) Multilateral Medical Operations**

Duncan, James M.; Bogomolov, V. V.; Castrucci, F.; Koike, Y.; Comtois, J. M.; Sargsyan, A. E.; [2007]; 1 pp.; In English; IAA Humans in Space Symposium, 20-24 May 2007, Beijing, China; Copyright; Avail.: Other Sources; Abstract Only

Long duration crews have inhabited the ISS since November of 2000. The favorable medical outcomes of its missions can be largely attributed to sustained collective efforts of all ISS Partners medical organizations. In-flight medical monitoring and support, although crucial, is just a component of the ISS system of Joint Medical Operations. The goal of this work is to review the principles, design, and function of the multilateral medical support of the ISS Program. The governing documents, which describe the relationships among all ISS partner medical organizations, were evaluated, followed by analysis of the roles, responsibilities, and decision-making processes of the ISS medical boards, panels, and working groups. The degree of integration of the medical support system was evaluated by reviewing the multiple levels of the status reviews and mission assurance activities carried out throughout the last six years. The Integrated Medical Group, consisting of physicians and other essential personnel in the mission control centers represents the front-line medical support of the ISS. Data from their day-to-day activities are presented weekly at the Space Medicine Operations Team (SMOT), where known or potential concerns are addressed by an international group of physicians. A broader status review is conducted monthly to project the state of crew health and medical support for the following month, and to determine measures to return to nominal state. Finally, a comprehensive readiness review is conducted during preparations for each ISS mission. The Multilateral Medical Policy Board (MMPB) issues medical policy decisions and oversees all health and medical matters. The Multilateral Space Medicine Board (MSMB) certifies crewmembers and visitors for training and space flight to the Station, and physicians to practice space medicine for the ISS. The Multilateral Medical Operations Panel (MMOP) develops medical requirements, defines and supervises implementation of operational countermeasures, environmental monitoring, medical care, and emergency medical services. MMOP assures the medical readiness of the Station for each subsequent mission or critical event. All boards and panels have functioned effectively and without interruptions even in various challenging circumstances. Based on the experience of the authors, consensus has prevailed as the primary nature of decisions made by all ISS medical groups, at all levels. The six first years of piloted operation have demonstrated the ability of the ISS medical authority groups and the medical infrastructure to implement medical policies and requirements, effectively interface with non-medical groups, and maintain the health and productivity of the crew in an integrated, multilaterally coordinated fashion. The medical support system appears to be mature and ready for further expansion of all Partners roles, and for the anticipated increase in the size of ISS crews.

Author

*Aerospace Medicine; International Space Station; Medical Services; Organizations; Management Planning*

**20070005316** Calspan Corp., Buffalo, NY USA

**Effects of Head Mounted Devices on Head-Neck Dynamic Response to +GZ Accelerations**

Privitzer, Eberhardt; Kaleps, Ints; Armstrong, Harry O; Apr 1989; 16 pp.; In English

Report No.(s): AD-A459960; AAMRL-TR-88-044; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459960>;

Avail.: CASI: A03, Hardcopy

An investigation is described which addresses the inertial loading effects of Head Mounted Devices (HMD) on aviator head-neck-spine dynamic response during high +Gz acceleration exposure. The primary objectives of this study were to develop a methodology which could be used to establish limits on HMD inertial properties and to apply this methodology to the evaluation of the severity of the internal loads -- occurring in the neck and upper spine -- associated with certain specific HMD ensembles. This paper describes how the Head-Spine Model (HSM), a highly discretized, 3-D mathematical representation of the human head-spine-torso structure, was used to: 1) establish a set of baseline response criteria (BRC); 2) establish a preliminary methodology for setting limits on HMD inertial properties; and 3) evaluate the severity of the loading associated with possible chemical defense (CD) ensembles.

DTIC

*Acceleration Tolerance; Dynamic Response; Helmets; Inertia; Spine*

**20070005330** Armstrong Lab., Wright-Patterson AFB, OH USA

**Loads Induced in the Lumbar Spine of Seated Restrained Humans by Sideward (+Gy) Impact**

Strzelecki, Joseph P; Jan 1994; 12 pp.; In English

Report No.(s): AD-A459981; AFRL-HE-WP-SR-1998-0004; No Copyright; ONLINE:

<http://hdl.handle.net/100.2/ADA459981>; Avail.: CASI: [A03](#), Hardcopy

The parameters used to calculate the Dynamic Response (DR - the currently accepted injury criteria for multi-axis impact) for sideward impact are based on a very limited data set. In addition, the current model for spinal injury due to z axis acceleration is based on displacement and cannot account for the effects of off-axis loads. METHODS: Seated, restrained human volunteers were subjected to sideward impacts ranging from 0.031 to 0.250 seconds duration and amplitudes of from 4 to 7 G. Loads were measured at all restraint points and used to calculate dynamic coefficients for a model of upper body response and lumbar spine shear loading. RESULTS: Lumbar spine shear loads can be predicted using a second-order lumped parameter model with a natural frequency of 58 rad/sec and damping ratio of 0.45. CONCLUSIONS: Combining these results with similar models for the x and z axes and correlating then with injury data will allow a comprehensive model of lumbar spinal injury to be produced.

DTIC

*Dynamic Loads; Dynamic Response; Impact Acceleration; Injuries; Loads (Forces); Lumbar Region; Spine*

**20070005339** Systems Research Labs., Inc., Dayton, OH USA

**Mass Properties and Inertial Loading Effects of Head Encumbering Devices**

Settecerri, Jeffrey J; Mckenzie, Jennifer; Privitzer, Eberhardt; Beecher, Robert M; Dec 1986; 8 pp.; In English

Report No.(s): AD-A459991; AAMRL-TR-88-044; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459991>;

Avail.: CASI: [A02](#), Hardcopy

The purpose of this investigation is to provide data relevant to the analytical and experimental assessment of the severity of head-neck system loading induced by the protective and performance enhancing equipment worn by today's aviator. Mass properties of various head encumbering devices (e.g., helmets, gas masks, night vision goggles, etc.) have been measured using the automated mass properties measurement system of the U.S. Air Force Armstrong Aerospace Medical Research Laboratory (AAMRL). By using a Hybrid III anthropomorphic manikin head, results were expressed within a standard head anatomical coordinate system. Dynamic tests were conducted on the Air Force 6-inch HYGE vertical impact facility. The repeatable half-sine carriage acceleration for the tests was a profile of 20 G peak acceleration and 50 millisecond duration. Head encumbering devices were mounted onto the Hybrid III manikin head-neck assembly to evaluate inertial loading effects. The procedure for measuring the mass properties is presented along with locations of encumbrance centers of gravity, and principal moments and directions defined within a head anatomical coordinate system for eight different ensembles. HYGE test results of both unencumbered and eight encumbered configurations are also presented. Comparisons are made between two specific fighter gear and chemical defense configurations.

DTIC

*Dynamic Loads; Helmets; Impact Acceleration; Inertia; Protective Clothing*

**20070005508** Veterans Medical Research Foundation, San Diego, CA USA

**PR01 - The Effects of Total Sleep Deprivation and Recovery Sleep on Cognitive Performance and Brain Function**

Drummond, Sean P; Aug 1, 2006; 57 pp.; In English

Contract(s)/Grant(s): DAMD17-02-1-0201

Report No.(s): AD-A460345; No Copyright; Avail.: CASI: [A04](#), Hardcopy

An ever-increasing number of military personnel and civilians alike must work daily without adequate sleep. Although considerable data show that sleep deprivation alters many aspects of behavior, little is known about changes in the brain substrate underlying the behavioral effects, and even less is known about the cerebral effects of recovery sleep. The overarching objective of this study is to investigate the effects of 2 full nights of sleep loss (66 hours total) and 2 full nights of recovery sleep on cognitive performance and brain function. We have studied 40 individuals for 6 nights and 6 days. Over the course of this period, subjects received 4 polysomnograms and 10 functional magnetic resonance imaging (fMRI) sessions. During the fMRI sessions, functional brain imaging data was collected while subjects performed each of 3 cognitive tasks. These data provide a rich amount of information concerning the effects of prolonged total sleep deprivation and recovery sleep on cognitive performance and the cerebral underpinnings of that performance. Early analyses of these data are revealing the course of deterioration and recovery in cognitive performance, the specific component processes of cognition affected by sleep deprivation, and the changes in brain function associated with sleep deprivation. We have also initially reported distinct patterns of recovery for different sleep parameters after sleep deprivation, and the possibility of using the fMRI measures to identify neural correlates of vulnerability and resilience to sleep deprivation.

DTIC

*Brain; Cognition; Mental Performance; Sleep; Sleep Deprivation*

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## BEHAVIORAL SCIENCES

Includes psychological factors; individual and group behavior; crew training and evaluation; and psychiatric research.

**20070003550** Baker (Wilfred) Engineering, Inc., San Antonio, TX USA

**Realistic Modeling of Simple and Complex Cell Tuning in the HMAX Model, and Implications for Invariant Object Recognition in Cortex**

Jul 2004; 13 pp.; In English

Contract(s)/Grant(s): N00014-02-1-0915; MDA972-04-1-0037

Report No.(s): AD-A459692; AI-MEMO-2004-017; CBCL-MEMO-239; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Pattern Recognition; Cerebral Cortex; Information Processing (Biology); Models*

**20070003610** Aerospace Medical Research Labs., Wright-Patterson AFB, OH USA

**Investigation of the Effects of Restraint Design Variations on Human Responses to Impact**

May 1979; 4 pp.; In English

Report No.(s): AD-A459796; No Copyright; Avail.: CASI: [A01](#), Hardcopy

No abstract available

*Constraints; Responses; Impact; Human Factors Engineering*

**20070004885** Massachusetts Inst. of Tech., Cambridge, MA USA

**Sensorimotor Interactions in the Haptic Perception of Virtual Objects**

Jan 1997; 197 pp.; In English

Contract(s)/Grant(s): N61339-94-C-0087; N61339-93-C-0083

Report No.(s): AD-A459473; RLE-TR-607; No Copyright; Avail.: CASI: [A09](#), Hardcopy

No abstract available

*Sensory Feedback; Sensory Perception; Sensory Stimulation; Touch*

**20070004890** Massachusetts Inst. of Tech., Cambridge, MA USA

**Human Haptic Interaction with Soft Objects: Discriminability, Force Control, and Contact Visualization**

Jan 1998; 208 pp.; In English

Contract(s)/Grant(s): N00014-91-J-1454; N00014-92-J-1814

Report No.(s): AD-A459471; RLE-TR-619; No Copyright; Avail.: CASI: [A10](#), Hardcopy

No abstract available

*Touch; Sensory Perception; Human Reactions*

## MAN/SYSTEM TECHNOLOGY AND LIFE SUPPORT

Includes human factors engineering, bionics, man-machine systems, life support, space suits and protective clothing. For related information see also *16 Space Transportation and Safety* and *52 Aerospace Medicine*.

**20070003500** Space and Naval Warfare Systems Center, San Diego, CA USA

### **Using Human Systems Integration and Knowledge Engineering to Define and Design Anti-Terrorism/Force Protection Systems and Solutions**

Lulue, D; Wilford, G; Gill-Hesselgrave, D; Oct 2006; 69 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459320; SSC/SD-TR-1949; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459320>; Avail.: Defense Technical Information Center (DTIC)

This report provides study analyses, findings, and improvement recommendations based on work domain data collected by SSC San Diego and SSC Charleston. 'Worked examples' of how to best use Human Systems Integration (HSI), Knowledge Engineering (KE), Business Process Modeling (BPM), and User-Centered Design (UCD) elements to investigate, model, and re-engineer AT/FP processes are included. The authors' hypothesis throughout their investigations was that by following these processes and applying the principles of HSI, KE, BPM, and UCD, key decision-makers and customers of the acquisition process can make more informed decisions. The report also includes conclusions and recommendations from the SSC San Diego and SSC Charleston team studies.

DTIC

*Command and Control; Expert Systems; Human Factors Engineering; Knowledge Representation; Protection; Security; Systems Engineering; Systems Integration; Terrorism; User Requirements*

**20070003844** Massachusetts Inst. of Tech., Cambridge, MA USA

### **Observability of Discrete Event Dynamic Systems. Revision**

Ozveren, Cuneyt M; Willsky, Alan S; Oct 27, 1989; 42 pp.; In English

Contract(s)/Grant(s): AFOSR-88-0032; DAAL03-86-K-0171

Report No.(s): AD-A458896; LIDS-P-1861-REV; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458896>; Avail.: CASI: A03, Hardcopy

A finite state automaton is adopted as a model for Discrete Event Dynamic Systems (DEDS). Observations are assumed to be a subset of the event alphabet. Observability is defined as having perfect knowledge of the current state at points in time separated by bounded numbers of transitions. A polynomial test for observability is given. It is shown that an observer may be constructed and implemented in polynomial time and space. A bound on the cardinality of the observer state space is also presented. A notion of resiliency is defined for observers, and a test for resilient observability and a procedure for the construction of a resilient observer are presented.

DTIC

*Control Theory; Mathematical Models; Dynamic Control*

**20070004576** Armstrong Lab., Williams AFB, AZ USA

### **Estimating the Training Effectiveness of Interactive Air Combat Simulation**

Feb 1997; 12 pp.; In English

Contract(s)/Grant(s): Proj-1123

Report No.(s): AD-A459625; No Copyright; Avail.: CASI: A03, Hardcopy

No abstract available

*Combat; Computerized Simulation; Education; Estimating*

**20070004578** Armstrong Lab., Williams AFB, AZ USA

### **Training Benefits of Interactive Air Combat Simulation**

Mar 1997; 13 pp.; In English

Contract(s)/Grant(s): Proj-1123

Report No.(s): AD-A459622; No Copyright; Avail.: CASI: A03, Hardcopy

No abstract available

*Combat; Computerized Simulation; Education*

**20070004696** Army Tank-Automotive Research and Development Command, Warren, MI USA  
**Energy Absorber for Vehicle Occupant Safety and Survivability**

Mar 27, 2006; 18 pp.; In English

Report No.(s): AD-A459740; 15547; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Safety; Energy Absorption; Survival; Automobiles*

**20070004715** Army Tank-Automotive Research and Development Command, Warren, MI USA  
**Joint Survivability Experiment with Navair**

Apr 14, 2005; 8 pp.; In English

Report No.(s): AD-A459732; TARDEC-14751; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Survival; Experimentation*

**20070005044** Michigan Univ., Ann Arbor, MI USA

**Safety and Usability of Speech Interfaces for In-Vehicle Tasks while Driving: A Brief Literature Review**

Baron, A.; Green, P.; Feb. 2006; 38 pp.; In English

Report No.(s): PB2007-101959; UMTRI-2006-5; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The report summarizes the human factors literature on the use of speech interfaces for tasks such as music selection, email processing, dialing, and destination entry while driving. A total of 15 papers were reviewed covering 15 experiments, with subject samples ranging from 4 to 48 (mode of 24). Studies were conducted using moderate fidelity simulators, on the road, using low fidelity simulations, and on a test track. The speech interfaces were true speech recognition systems, Wizard-of-Oz simulations, or unspecified.

NTIS

*Safety; Verbal Communication*

**20070005289** Army Aeromedical Research Lab., Fort Rucker, AL USA

**A Unified Taxonomic Approach to the Laboratory Assessment of Visionic Devices**

Pinkus, Alan R; Rash, Clarence E; Sep 2006; 15 pp.; In English

Contract(s)/Grant(s): Proj-879

Report No.(s): AD-A459901; AARL-2006-14; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459901>; Avail.:

CASI: [A03](#), Hardcopy

The increased usage of visionic devices necessitates the development of a unified approach to testing and evaluation of such devices. A NATO working group was established to achieve this goal. This presentation describes a taxonomy to classify a given visionic device (based on optical design and display type) and to recommend specific test parameters that should be measured to ensure planned operational performance is delivered in the final product.

DTIC

*Display Devices; Helmet Mounted Displays; Night Vision; Optical Properties; Taxonomy*

**20070005329** Army Research Inst. of Environmental Medicine, Natick, MA USA

**Clothing Ventilation Estimates From Manikin Measurements**

Berglund, Lary G; Gonzalez, Julio A; Jul 2006; 8 pp.; In English

Report No.(s): AD-A459979; MISC.06-21; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459979>; Avail.:

CASI: [A02](#), Hardcopy

Clothing ventilation air exchange can be deduced from energy balance results of clothing insulation studies on dry and sweating thermal manikins over a range of air speeds. This paper demonstrates that energy balances at the skin of the clothed dry heated manikin operating in a 20 deg. C 50% RH environment can determine the energy carried away by ventilation air. The volume flow rates are calculated assuming intrinsic insulation is unchanged by air speed and that the ventilation air leaves the clothing system at skin temperature. Similarly energy balances on the clothed sweating manikin operating in a 35 deg. C 50% RH environment (air temperature = skin temperature) can determine the latent energy carried away by the ventilation air assuming the ventilation air leaves saturated. Clothing ventilation rate estimates at three air speeds determined on the dry and

sweating manikin are compared. Demonstration is conducted on military clothing with and without body armour and on fuel handler's protective coverall.

DTIC

*Air Flow; Clothing; Estimates; Exchanging; Ventilation*

**20070005362** Army Research Inst. of Environmental Medicine, Natick, MA USA

**Forced Ventilation of Protective Garments for Hot Industries**

Gonzalez, Julio A; Berglund, Larry G; Endrusick, Tom L; Kolka, Meg A; Jul 2006; 5 pp.; In English

Report No.(s): AD-A460047; USARIEM-MISC-06-19; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460047>;

Avail.: CASI: [A01](#), Hardcopy

The performance of a battery powered, forced air distribution system for ventilation under protective clothing (torso body armor) was evaluated on a sweating thermal manikin in a 35 deg C and 50% RH environment. The ventilation system, delivering 9 L dot s(-1) of ambient air increased the heat loss from the manikin by 45 W. Measurements made on the manikin indicated that the ventilation decreased the dry thermal resistance and the vapor resistance of the clothing system by 17 and 20% respectively.

DTIC

*Armor; Garments; Industries; Protective Clothing; Ventilation*

**20070005436** Air Force Research Lab., Wright-Patterson AFB, OH USA

**Principles of Fit to Optimize Helmet Sizing**

Harrison, Catherine R; Robinette, Kathleen M; May 2006; 18 pp.; In English

Contract(s)/Grant(s): Proj-7184

Report No.(s): AD-A460142; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The present research sought to apply underlying principles that determine helmet fit to develop a scientific design method for determining the minimum number of helmet sizes to accommodate the full anthropometric variability of the population. The method was tested on a prototype helmet concept using a stratified sample of males and females drawn to represent the Joint Strike Fighter population. Asian- and African American subjects were specifically included in order to examine the effects of racial anthropometric variability on fit. While the ranges of accommodation for the initial design was broad, it encompassed only a portion of subjects who fell within the 99% probability ellipse, best meeting the fitting needs of a very small subset of the population. Applying a fit mapping method determined that two helmet sized, sized and shaped differently than those initially proposed and with a modified fitting concept, would accommodate 99% of both males and females. The fit mapping process also provided specific, quantified feedback to the designers on size and shape modifications needed to make the helmet to provide better fit for the full range of the population. Determining the parameters that link anthropometric principles to fit of a specific piece of equipment permit design modifications to equipment to be made early in the design process using only a single size prototype, resulting in fewer sizes while ensuring accommodation of the desired population.

DTIC

*Computer Aided Design; Fitting; Helmets; Optimization*

**20070005442** Air Force Research Lab., Wright-Patterson AFB, OH USA

**Two Chapters of Technical Report: Unmanned Military Vehicles - Human Factors of Augmenting the Force Chapter 1: Introduction Chapter 8: Summary: Issues and Conclusions**

Draper, Mark; Reising, John; Taylor, Robert; Sep 2006; 19 pp.; In English

Contract(s)/Grant(s): Proj-7184

Report No.(s): AD-A460149; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The terms of Reference (TOR) for the Task Group (TG) lists as its objective to seek to augment the force using uninhabited military vehicles (UMV's) by leveraging the potential advantages of UMV's to act as force multipliers. Since there are no truly uninhabited systems - operators will always be in the loop in some fashion - human factors issues become crucial to the successful operation of these systems. In modern asymmetric warfare, well-organized belligerents ignore the legal requirement under international law to be readily distinguished from the civilian population. They merge with the civilian population, they do not travel in identifiable military vehicles and the use sophisticated deception tactics. Thus, in modern warfare, it is very difficult for an autonomous machine to discriminate between civilians and military targets.

DTIC

*Deception; Human Factors Engineering; Human Performance; Tactics; Teams*



**20070005491** Naval Postgraduate School, Monterey, CA USA

**Industry Analysis for Body Armor Procurement**

Foust, Coleen; Jenson, Christopher; Dec 2006; 97 pp.; In English; Original contains color illustrations  
Report No.(s): AD-A460317; No Copyright; Avail.: CASI: [A05](#), Hardcopy

In order to leverage buying power, reduce costs and improve supplier management, the USA Air Force (USAF) needs to take a strategic approach to acquiring goods and services. Both academic and business literature promotes strategic sourcing as a viable method of minimizing cost and guarding against materials disruptions. In addition, the Office of Management and Budget has required that each agency create strategic sourcing initiatives, monitor the cost savings and report the results to the Office of Federal Procurement Policy. However, utilization of strategic sourcing techniques and processes within the USAF is relatively new and its full benefit has not been realized. Continued efforts by the USAF to integrate strategic sourcing into its acquisition processes will yield further cost savings and other related supply chain improvements. To assist with improving the strategic sourcing efforts of the USAF, this research seeks to identify viable methodologies for conducting industry analysis. This is important and relevant because conducting an industry analysis is a critical step toward developing an effective sourcing strategy.

DTIC

*Armor; Government Procurement; Industries; Procurement*

**59**

**MATHEMATICAL AND COMPUTER SCIENCES (GENERAL)**

Includes general topics and overviews related to mathematics and computer science. For specific topics in these areas see *categories 60 through 67*.

**20070003577** Maribor Univ., Maribor, Slovenia

**Statistical Alignment Models in Machine Translation from Slovenian to English**

Maucec, Mirjam Sepesy; Brest, Janez; Kacic, Zdravko; Electrotechnical Review, Volume 73, No. 5; 2006, pp. 273-278; In English; See also 20070003567; Copyright; Avail.: Other Sources

This paper deals with statistical machine translation. The quality of translation system strongly depends on characteristics of the training corpus. In this paper we address the problem of very sparse training corpora. In languages with a very rich morphology, learning methods suffer from a significant sparseness problem. We present and compare various statistical models for computing word alignments that are the core of any translation model. The basic idea is to find a suitable training schedule for a specific task in terms of computing the 'optimal' number of iterations for each translation model. For this purpose experiments are shown having been done on the IJS-ELAN bilingual corpus. We show that from the point of view of pure statistical translation the extent of data sparsity would most likely make such optimization impossible.

Author

*Alignment; Machine Translation; Mathematical Models; Words (Language); Linguistics; Statistical Analysis*

**20070003607** Mitre Corp., Bedford, MA USA

**JPEG 2000 and WSQ Image Compression Interoperability**

Feb 2001; 53 pp.; In English

Contract(s)/Grant(s): DAAB07-00-C-C201

Report No.(s): AD-A459568; MTR-00B0000063; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Data Compression; Image Processing; Interoperability*

**20070003631** Massachusetts Inst. of Tech., Cambridge, MA USA

**Realization Theory for Deterministic Boundary-Value Descriptor Systems**

Jun 1989; 16 pp.; In English

Contract(s)/Grant(s): AFOSR-88-0032

Report No.(s): AD-A459684; LIDS-P-1879; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Boundary Value Problems; Systems Engineering; Applications of Mathematics*

**20070003695** Army Tank-Automotive and Armaments Command, Warren, MI USA  
**Monte Carlo Evaluation of an Iterative Technique for the Design of Observer Field Tests**  
Apr 2002; 7 pp.; In English  
Report No.(s): AD-A459616; No Copyright; Avail.: CASI: [A02](#), Hardcopy  
No abstract available  
*Field Tests; Monte Carlo Method; Iteration*

**20070003724** Massachusetts Inst. of Tech., Cambridge, MA USA  
**A Multiscale Approach to Solving One Dimensional Inverse Problems**  
Jan 1992; 5 pp.; In English  
Contract(s)/Grant(s): N00014-91-J-1004; DAAL03-92-G-0115  
Report No.(s): AD-A459602; LIDS-P-2121; No Copyright; Avail.: CASI: [A01](#), Hardcopy  
No abstract available  
*Inversions; Wavelet Analysis*

**20070003750** Massachusetts Inst. of Tech., Cambridge, MA USA  
**PAC Learning with Generalized Samples and an Application to Stochastic Geometry**  
Jun 1991; 19 pp.; In English  
Contract(s)/Grant(s): DAAL03-86-K-0171; F19628-90-C-0002  
Report No.(s): AD-A459600; LIDS-P-2044; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Education; Stochastic Processes; Geometry*

**20070003752** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA  
**Query-Driven Network Flow Data Analysis and Visualization. Final Report**  
Bethel, E. W.; Jun. 14, 2006; 4 pp.; In English  
Report No.(s): DE2006-888963; No Copyright; Avail.: Department of Energy Information Bridge  
This document is the final report for a limited-scope and duration project conducted through Lawrence Berkeley National Laboratory's (LBNL) Work-For-Others (WFO) program for the National Visualization and Analytics Center (NVAC) at Pacific Northwest National Laboratory (PNNL). The original statement of work, submitted and accepted in February 2005, focused on architectural issues for a broad analytics data processing framework. The statement of work was revised and accepted on approximately September 2005 and the work period extended through June 2006. The new statement of work focused on 'analytics research' for new capabilities that would enable rapid analysis and visualization of large collections of Network Flow data. This document is the final report and deliverable for the project.  
NTIS  
*Data Management; Flow Visualization; Network Analysis*

**20070003767** Massachusetts Inst. of Tech., Cambridge, MA USA  
**Active Learning Using Arbitrary Binary Valued Queries**  
Oct 1990; 13 pp.; In English  
Contract(s)/Grant(s): DAAL03-06-K-0171; F19628-90-C-0002  
Report No.(s): AD-A459598; LIDS-P-1996; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Education; Learning; Digital Systems*

**20070003770** Massachusetts Inst. of Tech., Cambridge, MA USA  
**A Framework for Non-Gaussian Signal Modeling and Estimation**  
Jun 1999; 240 pp.; In English  
Contract(s)/Grant(s): F49620-96-1-0072; DAAL01-06-2-0001  
Report No.(s): AD-A459597; RLE-TR-626; No Copyright; Avail.: CASI: [A11](#), Hardcopy  
No abstract available  
*Signal Processing; Estimating*

**20070003776** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

**Survey of Codes and Algorithms Used in NERSC Material Science Allocations**

Wang, L. W.; January 2006; 12 pp.; In English

Report No.(s): DE2006-887444; No Copyright; Avail.: National Technical Information Service (NTIS)

We have carried out a survey of codes and algorithms used on NERSC computers within the science category of material science. This is part of the effort to track the usage of different algorithms in NERSC community. This survey is based on the data provided in the ERCAP application of FY06. To figure out the usage of each code in one account, we have multiplied the total high performance computer (HPC) time allocation (MPP hours) of this account with the percentage usage of this code as estimated by the users in the ERCAP application. This is not the actual usage time, but should be a good estimation of it, and it represents the intention of the users.

NTIS

*Algorithms; Allocations; Computers; Surveys*

**20070003785** Lawrence Livermore National Lab., Livermore, CA USA

**Parallel Computer Implementation of Fast Low-Rank QR Approximation of the Biot-Savart Law**

White, D. A.; Fassenfest, B. J.; Stowell, M. L.; Nov. 10, 2005; 12 pp.; In English

Report No.(s): DE2006-887290; UCRL-CONF-216989; No Copyright; Avail.: National Technical Information Service (NTIS)

In this paper we present a low-rank QR method for evaluating the discrete Biot-Savart law on parallel computers. It is assumed that the known current density and the unknown magnetic field are both expressed in a finite element expansion, and we wish to compute the degrees-of-freedom (DOF) in the basis function expansion of the magnetic field. The matrix that maps the current DOF to the field DOF is full, but if the spatial domain is properly partitioned the matrix can be written as a block matrix, with blocks representing distant interactions being low rank and having a compressed QR representation. The matrix partitioning is determined by the number of processors, the rank of each block (i.e. the compression) is determined by the specific geometry and is computed dynamically. In this paper we provide the algorithmic details and present computational results for large-scale computations.

NTIS

*Biot-Savart Law; Computers; Parallel Computers*

**20070003799** Massachusetts Inst. of Tech., Cambridge, MA USA

**Variable Background Born Inversion by Wavefield Backpropagation**

Nov 1986; 47 pp.; In English

Contract(s)/Grant(s): DAAG29-84-K-0005; AFOSR-85-0227

Report No.(s): AD-A459595; LIPS-P-1536; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Backpropagation (Artificial Intelligence); Neural Nets; Inversions*

**20070003806** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

**High Performance Visualization using Query-Driven Visualization and Analytics**

Bethel, E. W.; Campbell, S.; Dart, E.; Shalf, J.; Stockinger, K.; January 2006; 2 pp.; In English

Report No.(s): DE2006-888965; No Copyright; Avail.: National Technical Information Service (NTIS)

Query-driven visualization and analytics is a unique approach for high-performance visualization that offers new capabilities for knowledge discovery and hypothesis testing. The new capabilities--akin to finding needles in haystacks--are the result of combining technologies from the fields of scientific visualization and scientific data management. This approach is crucial for rapid data analysis and visualization in the petascale regime. This article describes how query-driven visualization is applied to a 'hero-sized' network traffic analysis problem.

NTIS

*Data Management; Scientific Visualization; Query Languages*

**20070003815** SRI International Corp., Menlo Park, CA USA

**Application of Theorem Proving to Problem Solving**

Mar 1969; 23 pp.; In English

Contract(s)/Grant(s): F30602-69-C-0056; AF30602-4147

Report No.(s): AD-A459656; SRI-TR-4; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Problem Solving; Theorem Proving*

**20070003818** SRI International Corp., Menlo Park, CA USA

**A Probabilistic Model for Uncertain Problem Solving**

Dec 1981; 49 pp.; In English

Contract(s)/Grant(s): N00014-81-C-0115

Report No.(s): AD-A458836; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Problem Solving; Probability Theory; Mathematical Models*

**20070003858** Massachusetts Inst. of Tech., Cambridge, MA USA

**Asymptotic Orders of Reachability in Perturbed Linear Systems**

Aug 1987; 40 pp.; In English

Contract(s)/Grant(s): AFOSR-82-0258; DAAG-29-84-K-0005

Report No.(s): AD-A459592; LIDS-P-1698; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Linear Systems; Perturbation; Asymptotic Methods*

**20070003883** Stanford Univ., Stanford, CA USA

**Large Deviations and Applications: The Finite Dimensional Case**

Apr 1991; 100 pp.; In English

Contract(s)/Grant(s): AFOSR-89-0276B

Report No.(s): AD-A459540; LIDS-R-2030; No Copyright; Avail.: CASI: [A05](#), Hardcopy

No abstract available

*Statistical Analysis; Deviation; Applications of Mathematics*

**20070003887** Army Engineer Research and Development Center, Vicksburg, MS USA

**Grid Nesting with STWAVE**

Jun 2002; 11 pp.; In English

Report No.(s): AD-A459646; ERDC/CHL CHETN-1-66; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Grid Computing (Computer Networks); Grid Generation (Mathematics); Computational Grids*

**20070003914** Washington Univ., Seattle, WA USA

**Model-Based Clustering for Image Segmentation and Large Datasets Via Sampling**

Feb 13, 2003; 27 pp.; In English

Contract(s)/Grant(s): N00014-01-10745; NIH-IR01CA094212-01

Report No.(s): AD-A459638; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Imaging Techniques; Sampling; Data Bases; Cluster Analysis*

**20070004556** Massachusetts Inst. of Tech., Cambridge, MA USA

**On the Relation of Anticipative Stratonovich and Symmetric Integrals: A Decomposition Formula**

Feb 1988; 12 pp.; In English

Contract(s)/Grant(s): AFOSR-85-0227-B

Report No.(s): AD-A459634; LIDS-P-1743; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Decomposition; Integrals; Symmetry*

**20070004563** Massachusetts Inst. of Tech., Cambridge, MA USA  
**Some Issues in Distributed Asynchronous Routing in Virtual Circuit Data Networks**  
Sep 1986; 4 pp.; In English  
Contract(s)/Grant(s): ECS-8217668  
Report No.(s): AD-A459631; LIDS-P-1601; No Copyright; Avail.: CASI: [A01](#), Hardcopy  
No abstract available  
*Circuits; Synchronism; Computer Networks; Distributed Processing*

**20070004575** Massachusetts Inst. of Tech., Cambridge, MA USA  
**Neural Mechanisms of Object Recognition**  
Jan 2002; 8 pp.; In English  
Report No.(s): AD-A459627; No Copyright; Avail.: CASI: [A02](#), Hardcopy  
No abstract available  
*Pattern Recognition; Neural Nets; Image Analysis*

**20070004580** Army Tank-Automotive and Armaments Command, Warren, MI USA  
**Game Theory and Trade-Off Analysis**  
Mar 27, 2006; 11 pp.; In English  
Report No.(s): AD-A459731; TACOM-15629; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Game Theory; Tradeoffs*

**20070004689** Arizona State Univ., Tempe, AZ USA  
**Verification Test Problems for the Calculation of Probability of Loss of Assured Safety in Temperature-Dependent Systems with Multiple Weak and Strong Links**  
Helton, J. C.; Johnson, J. D.; Oberkampf, W. L.; January 2006; 52 pp.; In English  
Report No.(s): DE2006-887251; SAND2006-2785; No Copyright; Avail.: Department of Energy Information Bridge  
Four verification test problems are presented for checking the conceptual development and computational implementation of calculations to determine the probability of loss of assured safety (PLOAS) in temperaturedependent systems with multiple weak links (WLs) and strong links (SLs). The problems are designed to test results obtained with the following definitions of loss of assured safety: (i) Failure of all SLs before failure of any WL, (ii) Failure of any SL before failure of any WL, (iii) Failure of all SLs before failure of all WLs, and (iv) Failure of any SL before failure of all WLs. The test problems are based on assuming the same failure properties for all links, which results in problems that have the desirable properties of fully exercising the numerical integration procedures required in the evaluation of PLOAS and also possessing simple algebraic representations for PLOAS that can be used for verification of the analysis.  
NTIS  
*Losses; Probability Theory; Proving; Safety; Temperature Dependence*

**20070004694** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA  
**Scientific Data Management Center**  
Shoshani, A.; Jun. 2006; 6 pp.; In English  
Report No.(s): DE2006-886956; LBNL/PUB-964; No Copyright; Avail.: National Technical Information Service (NTIS)  
With the increasing volume and complexity of data produced by ultra-scale simulations and high-throughput experiments, understanding the science is largely hampered by the lack of comprehensive, end-to-end data management solutions ranging from initial data acquisition to final analysis and visualization. The Scientific Data Management (SDM) Center is bringing a set of advanced data management technologies to DOE scientists in various application domains including astrophysics, climate, fusion, and biology. Equally important, it has established collaborations with these scientists to better understand their science as well as their forthcoming data management and data analytics challenges. The SDM center has provided advanced data management technologies to DOE domain scientists in the areas of storage efficient access, data mining and analysis, and scientific process automation.  
NTIS  
*Data Management; End-to-End Data Systems; Technology Utilization; Science*

**20070004695** Sandia National Labs., Albuquerque, NM USA

**Sensitivity in Risk Analyses with Uncertain Numbers**

Ferson, S.; Tucker, W.; Jul. 2006; 86 pp.; In English

Report No.(s): DE2006-886899; SAND2006-2801; No Copyright; Avail.: National Technical Information Service (NTIS)

Sensitivity analysis is a study of how changes in the inputs to a model influence the results of the model. Many techniques have recently been proposed for use when the model is probabilistic. This report considers the related problem of sensitivity analysis when the model includes uncertain numbers that can involve both aleatory and epistemic uncertainty and the method of calculation is Dempster-Shafer evidence theory or probability bounds analysis. Some traditional methods for sensitivity analysis generalize directly for use with uncertain numbers, but, in some respects, sensitivity analysis for these analyses differs from traditional deterministic or probabilistic sensitivity analyses.

NTIS

*Risk; Sensitivity Analysis; Number Theory; Uncertain Systems*

**20070004707** Oak Ridge National Lab., TN USA, Wisconsin Univ., Madison, WI, USA

**Using Pin as a Memory Reference Generator for Multiprocessor Simulation**

McCurdy, C.; Fischer, C.; January 2006; 10 pp.; In English

Report No.(s): DE2006-886014; No Copyright; Avail.: National Technical Information Service (NTIS)

In this paper we describe how we have used Pin to generate a multithreaded reference stream for simulation of a multiprocessor on a uniprocessor. We have taken special care to model as accurately as possible the effects of cache coherence protocol state, and lock and barrier synchronization on the performance of multithreaded applications running on multiprocessor hardware. We first describe a simplified version of the algorithm, which uses semaphores to synchronize instrumented application threads and the simulator on every memory reference. We then describe modifications to that algorithm to model the microarchitectural features of the Itanium2 that affect the timing of memory reference issue. An experimental evaluation determines that while cycle-accurate multithreaded simulation is possible using our approach, the use of semaphores has a negative impact on the performance of the simulator.

NTIS

*Multiprocessing (Computers); Simulation; Memory (Computers); Synchronism*

**20070004709** Army Tank-Automotive Research and Development Command, Warren, MI USA

**New Real-time Modeling and Simulation Products and Applications**

May 9, 2005; 7 pp.; In English

Report No.(s): AD-A459734; TARDEC-14917; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Computerized Simulation; Real Time Operation; Utilization*

**20070004722** Massachusetts Inst. of Tech., Cambridge, MA USA

**A Distributed and Iterative Method for Square Root Filtering in Space-Time Estimation**

Jan 19, 1994; 29 pp.; In English

Contract(s)/Grant(s): F49620-92-J-002; N00014-91-J-1120

Report No.(s): AD-A459794; LIDS-P-2226; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Space-Time Functions; Estimating; Iteration; Distributed Parameter Systems*

**20070004725** Washington Univ., Seattle, WA USA

**Bayesian Inference for Color Image Quantization via Model-Based Clustering Trees**

Nov 2, 2001; 23 pp.; In English

Contract(s)/Grant(s): N00014-96-1-0192

Report No.(s): AD-A459791; TR-402; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Bayes Theorem; Color; Inference; Cluster Analysis; Measurement*

**20070004729** Army Tank-Automotive and Armaments Command, Warren, MI USA

**Vector-Valued Support Vector Regression**

Apr 14, 2006; 9 pp.; In English

Report No.(s): AD-A459789; TACOM-15692; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Vector Analysis; Vectors (Mathematics); Regression Analysis*

**20070004731** Royal Inst. of Tech., Stockholm, Sweden

**Average Volume of a Random Tetrahedron in a Tetrahedron**

Philip, J.; Mar. 13, 2006; 34 pp.; In English

Report No.(s): PB2007-103376; TRITA-MAT-06-MA-02; No Copyright; Avail.: CASI: [A03](#), Hardcopy

We calculate the average size of the volume of a random tetrahedron inside a mother tetrahedron. The result is not new, but the method is different from that of previous papers.

NTIS

*Tetrahedrons; Volume; Geometry*

**20070004786** Colorado Univ., Boulder, CO USA

**Hemingway, A Distributed Shared Memory System**

Mar 23, 1995; 18 pp.; In English

Contract(s)/Grant(s): DABT63-94-C-0029; NSF-ASC-9217394

Report No.(s): AD-A459176; CU-CS-813-96; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Distributed Memory; Memory (Computers)*

**20070004818** Massachusetts Inst. of Tech., Cambridge, MA USA

**A Technique for Speeding Up the Solution of the Lagrangean Dual**

Apr 10, 1991; 32 pp.; In English

Contract(s)/Grant(s): AFOSR-88-0088

Report No.(s): AD-A459506; OR-248-91; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Algorithms; Relaxation Method (Mathematics); Polynomials; Linear Programming; Lagrangian Function*

**20070004860** Technische Univ., Munich, Germany

**Choosing the Link Function and Accounting for Link Uncertainty in Generalized Linear Models using Bayes Factors**

Oct 16, 2001; 25 pp.; In English

Contract(s)/Grant(s): N00014-96-1-1092

Report No.(s): AD-A459482; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Bayes Theorem; Links; Uncertain Systems; Linear Systems*

**20070004867** Massachusetts Inst. of Tech., Cambridge, MA USA

**Lyapunov Exponents for Filtering Problems**

Apr 1988; 15 pp.; In English

Contract(s)/Grant(s): AFOSR-85-0227B

Report No.(s): AD-A459564; LIDS-P-1764; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Exponents; Liapunov Functions*

**20070004868** Purdue Univ., West Lafayette, IN USA

**On Sampling Methods and Annealing Algorithms**

Dec 1990; 15 pp.; In English

Contract(s)/Grant(s): ECS-8910073; 89-0276B

Report No.(s): AD-A459479; LIDS-P-2008; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Algorithms; Annealing; Sampling*

**20070004874** Massachusetts Inst. of Tech., Cambridge, MA USA  
**A Canonical Correlations Approach to Multiscale Stochastic Realization**  
Nov 1996; 41 pp.; In English  
Contract(s)/Grant(s): F49620-03-1-0604; DAAL03-92-G-0015  
Report No.(s): AD-A459475; LIDS-P-2372; No Copyright; Avail.: Defense Technical Information Center (DTIC)  
No abstract available  
*Correlation; Stochastic Processes*

**20070004893** California Univ., Santa Cruz, CA USA  
**A Novel Group Coordination Protocol for Collaborative Multimedia Systems**  
Jan 1998; 7 pp.; In English  
Contract(s)/Grant(s): F19628-96-C-0038  
Report No.(s): AD-A459469; No Copyright; Avail.: CASI: [A02](#), Hardcopy  
No abstract available  
*Coordination; Multimedia; Protocol (Computers)*

**20070004900** Carnegie-Mellon Univ., Pittsburgh, PA USA  
**A Media-Independent Content Language for Integrated Text and Graphics Generation**  
Jan 1998; 8 pp.; In English  
Contract(s)/Grant(s): DAA1593-K-0005  
Report No.(s): AD-A459464; No Copyright; Avail.: CASI: [A02](#), Hardcopy  
No abstract available  
*Texts; Language Programming; Computer Graphics*

**20070004902** Massachusetts Inst. of Tech., Cambridge, MA USA  
**Proof of Correctness of Proposed ATM Retransmission Scheme**  
Aug 1993; 20 pp.; In English  
Report No.(s): AD-A459501; LIDS-P-2191; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Proving; Correction*

**20070004913** Baker (Wilfred) Engineering, Inc., San Antonio, TX USA  
**Stimulus Simplification and Object Representation: A Modeling Study**  
Mar 2002; 9 pp.; In English  
Contract(s)/Grant(s): N00014-00-1-0907; IIS-0085836  
Report No.(s): AD-A459496; AL MEMO-2002-004; CBCL MEMO-215; No Copyright; Avail.: CASI: [A02](#), Hardcopy  
No abstract available  
*Simplification; Stimuli; Object-Oriented Programming*

**20070004915** Johns Hopkins Univ., Baltimore, MD USA  
**Hierarchical Reconstruction Using Geometry and Sinogram Restoration**  
Jan 1991; 53 pp.; In English  
Contract(s)/Grant(s): N00014-91-J-1004; DAAL03-86-K-0171  
Report No.(s): AD-A459493; LIDS-P-2094; No Copyright; Avail.: CASI: [A04](#), Hardcopy  
No abstract available  
*Hierarchies; Restoration; Geometry*

**20070004916** Maryland Univ., College Park, MD USA  
**A Parallel Line Detection Algorithm Based on HMM Decoding**  
Dec 2003; 29 pp.; In English  
Contract(s)/Grant(s): MDA-9040-2C-0406  
Report No.(s): AD-A459492; LAMP-TR-109; CAR-TR-994; No Copyright; Avail.: CASI: [A03](#), Hardcopy  
No abstract available  
*Algorithms; Decoding; Detection*



**20070004917** Massachusetts Inst. of Tech., Cambridge, MA USA

**Aggregation and Multi-Level Control in Discrete Event Dynamic Systems**

Aug 1989; 55 pp.; In English

Contract(s)/Grant(s): AFOSR-88-0032; DAAI03-80-K0171

Report No.(s): AD-A459491; LIDS-P-1902; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Discrete Functions; Dynamic Characteristics; Control*

**20070004918** Massachusetts Inst. of Tech., Cambridge, MA USA

**Output Stabilizability of Discrete Event Dynamic Systems**

Jun 21, 1989; 50 pp.; In English

Contract(s)/Grant(s): AFOSR-88-0032; DAAL03-86-K-0171

Report No.(s): AD-A459490; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Stabilization; Stability Tests; Output; Discrete Functions; Dynamic Stability*

**20070004950** Department of Justice, Washington, DC, USA

**Privacy Technology Focus Group: Executive Summary**

January 2006; 8 pp.; In English

Report No.(s): PB2007-103617; No Copyright; Avail.: CASI: [A02](#), Hardcopy

On November 1-3, 2005, after weeks of preparatory analysis, the carefully selected group of practitioners, policymakers, and technologists met in Phoenix, Arizona, to identify existing and emerging technologies to support justice-related privacy policies. Focus Group members: - Identified what they considered to be the most important issues in privacy policy and technology. - Narrowed the focus to areas that could be adequately addressed in the given timeframe. - Outlined tangible, targeted technology solutions. - Developed specific recommendations for action.

NTIS

*Privacy; Policies; Technology Assessment*

**20070004952** Department of Justice, Washington, DC, USA

**Privacy Technology Focus Group: Final Report and Recommendations**

January 2006; 83 pp.; In English

Report No.(s): PB2007-103616; No Copyright; Avail.: CASI: [A05](#), Hardcopy

On November 1-3, 2005, after weeks of preparatory analysis, the carefully selected group of practitioners, policymakers, and technologists met in Phoenix, Arizona, to identify existing and emerging technologies to support justice-related privacy policies. Focus Group members: - Identified what they considered to be the most important issues in privacy policy and technology. - Narrowed the focus to areas that could be adequately addressed in the given timeframe. - Outlined tangible, targeted technology solutions. - Developed specific recommendations for action.

NTIS

*Privacy; Policies; Technology Assessment*

**20070004984** Lawrence Livermore National Lab., Livermore, CA USA

**Birds of a Feather: Supporting Secure Systems**

Braswell, H. V.; Apr. 24, 2006; 24 pp.; In English

Report No.(s): DE2006-889430; UCRL-CONF-220869; No Copyright; Avail.: Department of Energy Information Bridge

Over the past few years Lawrence Livermore National Laboratory has begun the process of moving to a diskless environment in the Secure Computer Support realm. This movement has included many moving targets and increasing support complexity. We would like to set up a forum for Security and Support professionals to get together from across the Complex and discuss current deployments, lessons learned, and next steps. This would include what hardware, software, and hard copy based solutions are being used to manage Secure Computing. The topics to be discussed include but are not limited to: Diskless computing, port locking and management, PC, Mac, and Linux/UNIX support and setup, system imaging, security setup documentation and templates, security documentation and management, customer tracking, ticket tracking, software download

and management, log management, backup/disaster recovery, and mixed media environments.

NTIS

*Computer Information Security; Artificial Intelligence*

**20070005028** National Inst. of Standards and Technology, Gaithersburg, MD, USA

**Assessment of Access Control Systems**

Hu, V. C.; Ferraiolo, D. F.; Kuhn, D. R.; Sep. 2006; 60 pp.; In English

Report No.(s): PB2007-104342; NISTIR-7316; No Copyright; Avail.: CASI: [A04](#), Hardcopy

Adequate security of information and information systems is a fundamental management responsibility. Nearly all applications that deal with financial, privacy, safety, or defense include some form of access control. Access control is concerned with determining the allowed activities of legitimate users, mediating every attempt by a user to access a resource in the system. In some systems, complete access is granted after successful authentication of the user, but most systems require more sophisticated and complex control. In addition to the authentication mechanism (such as a password), access control is concerned with how authorizations are structured. In some cases, authorization may mirror the structure of the organization, while in others it may be based on the sensitivity level of various documents and the clearance level of the user accessing those documents. This publication explains some of the commonly used access control services available in information technology systems.

NTIS

*Access Control; Information Systems; Security*

**20070005029** National Inst. of Standards and Technology, Gaithersburg, MD, USA

**Personal Identity Verification Demonstration Summary**

McCallister, E.; Ferraiolo, H.; Aug. 2006; 19 pp.; In English

Report No.(s): PB2007-104341; NISTIR-7337; No Copyright; Avail.: CASI: [A03](#), Hardcopy

On August 27, 2004, the President signed Homeland Security Presidential Directive 12 (HSPD-12), entitled 'Policy for a Common Identification Standard for Federal Employees and Contractors.' HSPD-12 required the development and implementation of a government-wide standard for secure and reliable forms of identification for Federal employees and contractors. As required by HSPD-12, the National Institute of Standards and Technology (NIST) issued Federal Information Processing Standard 201 (FIPS 201). To ensure interoperability, NIST created a conformance test suite for Personal Identity Verification (PIV) card applications and middleware, which is being used by independent laboratories to conduct the conformance testing. With the October 27, 2006, deadline for agencies to implement FIPS 201 fast approaching, NIST sought voluntary participation by companies offering products and services supporting FIPS 201 for the PIV Demonstration. The PIV Demonstration provided NIST the opportunity to conduct proof of concept and interoperability demonstrations of products supporting FIPS 201 and accompanying Special Publications. The demonstration resulted in a useful exchange of information among Federal agencies, vendors, and NIST.

NTIS

*Contractors; Identities; Information Systems; Particle Image Velocimetry; Personnel*

**20070005034** North Carolina Univ., Chapel Hill, NC, USA

**Frontiers in Evolutionary Biology. Report of a Workshop**

Mar. 2005; 16 pp.; In English; *Frontiers in Evolutionary Biology. Report of a Workshop.*, March 2005, USA

Contract(s)/Grant(s): NSF-DEB-0500314

Report No.(s): PB2007-104327; No Copyright; Avail.: CASI: [A03](#), Hardcopy

In reflecting on research frontiers that have emerged since 1998, two related trends are evident. First, evolutionary approaches and perspectives are increasingly an integral part of all areas of biological research, from molecular biology to macroecology. As a result, we are increasingly able to explore the mechanisms, processes and patterns of evolutionary change at multiple levels of biological organization from the gene to the ecosystem. Second, technological advances in genomics, computation, and informatics have provided a world of new tools and information to apply to evolutionary questions.

NTIS

*Biological Evolution; Molecular Biology; Ecosystems; Computation*

**20070005037** Children's Bureau, Washington, DC, USA

**Disclosure of Confidential Child Abuse and Neglect: Summary of State Laws**

Apr. 2005; 71 pp.; In English

Report No.(s): PB2007-104316; No Copyright; Avail.: CASI: [A04](#), Hardcopy

The records of child abuse and neglect reports are maintained by State child protection or social services agencies to aid in the investigation, treatment, and prevention of child abuse cases and to maintain statistical information for staffing and funding purposes. In many States, these records and the results of investigations are maintained in databases, often known as central registries. The type of information contained in registry and department records varies from State to State, as does access to the information maintained.

NTIS

*Laws; Protection; Prevention*

**20070005047** Fish and Neve IP Group, Boston, MA, USA

**Motion-Based Visualization, Part Two**

Bobrow, R. J.; Roberts, R. B.; Ware, C.; Pickett, R. M.; 10 May 06; 16 pp.; In English

Contract(s)/Grant(s): NMA 401-01-C-0019

Patent Info.: Filed Filed 10 May 06; US-Patent-Appl-SN-11-431 678

Report No.(s): PB2007-101416; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A data-display system employs a display in which the representations of data objects are caused to move on the display in order to convey information about the represented data objects. In one example, icons in a link-analysis display that represent data objects satisfying a selection criterion are made to execute distinctive motion. In another example, three-dimensional models of moving bodies in whose features components of respective data objects are encoded are projected onto a screen plane, and the resultant values are used to generate the display. A data-display system employs a display in which the representations of data objects are caused to move on the display in order to convey information about the represented data objects. In one example, icons in a link-analysis display that represent data objects satisfying a selection criterion are made to execute distinctive motion. In another example, three-dimensional models of moving bodies in whose features components of respective data objects are encoded are projected onto a screen plane, and the resultant values are used to generate the display.

NTIS

*Display Devices; Patent Applications; Data Systems*

**20070005052** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

**Concurrent Single-Executable CCSM with MPH Library**

He, Y.; Ding, C.; May 2006; 6 pp.; In English

Report No.(s): DE2006-888986; No Copyright; Avail.: Department of Energy Information Bridge

Community Climate System Model (CCSM) is currently a multi-executable system based on the Multi-Program Multi-Data (MPMD) mechanism. Each component is compiled into a separate executable. MPMD is normally cumbersome in usage and vendor support is sometimes limited or completely unavailable, such as on BlueGene/L. Also smaller groups and institutions would like to run CCSM locally, rather than relying on large computer centers. So, single-executable CCSM is under request. We are developing a multi-executable and single-executable coexisting version of CCSM. In single-executable, each component is organized as a subroutine, which is called from a master program. Different components run simultaneously. This is accomplished by redesigning the top level CCSM structures using the Multi-Program Handshaking (MPH) library.

NTIS

*Climate Models; Computerized Simulation; Libraries*

**20070005055** Princeton Univ., NJ, USA

**Nonlinear Dynamical Systems**

January 2006; 10 pp.; In English

Report No.(s): DE2006-888778; No Copyright; Avail.: Department of Energy Information Bridge

This award is approaching the end of a second and final year of no-cost extension, and the following is the final report on the work completed since the start of the second renewal period: Jan 1, 2001 It supplements the annual reports submitted in 2001 and 2002. In the renewal proposal I envisaged work in three main areas: 1. Analytical and topological tools for studying flows and maps; 2. Low dimensional models of fluid flow; 3. Models of animal locomotion.

NTIS

*Dynamical Systems; Nonlinear Systems*

**20070005063** Fish and Neve IP Group, Boston, MA, USA

**Motion-Based Visualization, Part One**

Bobrow, R. J.; Roberts, R. B.; Ware, C.; Pickett, R. M.; 9 May 06; 16 pp.; In English

Contract(s)/Grant(s): NMA 401-01-C-0019

Patent Info.: Filed Filed 9 May 06; US-Patent-Appl-SN-11-431 338

Report No.(s): PB2007-101415; No Copyright; Avail.: CASI: A03, Hardcopy

A data-display system employs a display in which the representations of data objects are caused to move on the display in order to convey information about the represented data objects. In one example, icons in a link-analysis display that represent data objects satisfying a selection criterion are made to execute distinctive motion. In another example, three-dimensional models of moving bodies in whose features components of respective data objects are encoded are projected onto a screen plane, and the resultant values are used to generate the display.

NTIS

*Display Devices; Data Systems*

**20070005071** Pacific Northwest National Lab., Richland, WA, USA

**PNNL OS3700 Tritium Monitoring System Software and Hardware Operations Manual Rev. 0**

Barnett, J. M.; Duchsherer, D. J.; Sisk, D. R.; Carrell, D. M.; Carrell, D. D.; Nov. 2005; 47 pp.; In English

Report No.(s): DE2006-889091; PNNL-15491; No Copyright; Avail.: National Technical Information Service (NTIS)

The PNNL OS3700 Tritium Monitoring System Software and Hardware Operations Manual describes herein how to install and operate the software and hardware on a personal computer in conjunction with the Berthold LB110 flow-through proportional counter detector system. Included are operational details for the software functions, how to read and use the drop-down menus, how to understand readings and calculations, and how to access the database tables. The tritium stack monitoring system is controlled by a personal computer (PC) and measures tritium concentration in real time. It consists of the data acquisition system (OS3700 software) designed solely for use with the Berthold LB110 flow-through proportional counter detector system, a pump, and the detector system. The software and the associated acquisition electronics are not intended for use with any other instrument.

NTIS

*Computer Programs; Computers; Proportional Counters; Tritium*

**20070005081** College of William and Mary, Williamsburg, VA, USA, Jefferson (Thomas) Lab. Computer Center, Newport News, VA, USA

**Efficient Subtorus Processor Allocation in a Multi-Dimensional Torus**

Mao, W.; Chen, J.; Watson, W.; January 2006; 12 pp.; In English

Report No.(s): DE2006-887122; No Copyright; Avail.: Department of Energy Information Bridge

Processor allocation in a mesh or torus connected multicomputer system with up to three dimensions is a hard problem that has received some research attention in the past decade. With the recent deployment of multicomputer systems with a torus topology of dimensions higher than three, which are used to solve complex problems arising in scientific computing, it becomes imminent to study the problem of allocating processors of the configuration of a torus in a multi-dimensional torus connected system. In this paper, we first define the concept of a semitorus. We present two partition schemes, the Equal Partition (EP) and the Non-Equal Partition (NEP), that partition a multi-dimensional semitorus into a set of sub-semitori. We then propose two processor allocation algorithms based on these partition schemes. We evaluate our algorithms by incorporating them in commonly used FCFS and backfilling scheduling policies and conducting simulation using workload traces from the Parallel Workloads Archive. Specifically, our simulation experiments compare four algorithm combinations, FCFS/EP, FCFS/NEP, backfilling/EP, and backfilling/NEP, for two existing multi-dimensional torus connected systems. The simulation results show that our algorithms (especially the backfilling/NEP combination) are capable of producing schedules with system utilization and mean job bounded slowdowns comparable to those in a fully connected multicomputer.

NTIS

*Toruses; Multiprocessing (Computers); Algorithms*

**20070005127** Lawrence Livermore National Lab., Livermore, CA USA

**Size Selection Initiation Model Extended to Include Shape and Random Factors**

Trenholme, J. B.; Feit, M. D.; Rubenchik, A. M.; Nov. 08, 2005; 20 pp.; In English

Report No.(s): DE2006-886665; No Copyright; Avail.: Department of Energy Information Bridge

The Feit-Rubenchik size-selection damage model has been extended in a number of ways. More realistic thermal deposition profiles have been added. Non-spherical shapes (rods and plates) have been considered, with allowance for their orientation dependence. Random variations have been taken into account. An explicit form for the change of absorptivity with precursor size has been added. A simulation tool called GIDGET has been built to allow adjustment of the many possible parameters in order to fit experimental data of initiation density as a function of fluence and pulse duration. The result is a set of constraints on the possible properties of initiation precursors.

NTIS

*Deposition; Shapes; Mathematical Models*

**20070005140** Sandia National Labs., Albuquerque, NM USA

**Model Reduction of Systems with Localized Nonlinearities**

Segalman, D. J.; Mar. 2006; 70 pp.; In English

Report No.(s): DE2006-886648; No Copyright; Avail.: Department of Energy Information Bridge

An LDRD funded approach to development of reduced order models for systems with local nonlinearities is presented. This method is particularly useful for problems of structural dynamics, but has potential application in other fields. The key elements of this approach are (1) employment of eigen modes of a reference linear system, (2) incorporation of basis functions with an appropriate discontinuity at the location of the nonlinearity. Galerkin solution using the above combination of basis functions appears to capture the dynamics of the system with a small basis set. For problems involving small amplitude dynamics, the addition of discontinuous (joint) modes appears to capture the nonlinear mechanics correctly while preserving the modal form of the predictions. For problems involving large amplitude dynamics of realistic joint models (macro-slip), the use of appropriate joint modes along with sufficient basis eigen modes to capture the frequencies of the system greatly enhances convergence, though the modal nature the result is lost. Also observed is that when joint modes are used in conjunction with a small number of elastic eigen modes in problems of macro-slip of realistic joint models, the resulting predictions are very similar to those of the full solution when seen through a low pass filter. This has significance both in terms of greatly reducing the number of degrees of freedom of the problem and in terms of facilitating the use of much larger time steps.

NTIS

*Nonlinearity; Mathematical Models*

**20070005159** University of Western Ontario, London, Ontario, Canada

**Temporal Analysis of Social Networks Using Three-Way DEDICOM**

Bader, B. W.; Harshman, R. A.; Kolda, T. G.; Jun. 2006; 30 pp.; In English

Report No.(s): DE2006-887253; SAND2006-2161; No Copyright; Avail.: National Technical Information Service (NTIS)

DEDICOM is an algebraic model for analyzing intrinsically asymmetric relationships, such as the balance of trade among nations or the flow of information among organizations or individuals. It provides information on latent components in the data that can be regarded as properties or aspects of the objects, and it finds a few patterns that can be combined to describe many relationships among these components. When we apply this technique to adjacency matrices arising from directed graphs, we obtain a smaller graph that gives an idealized description of its patterns. Three-way DEDICOM is a higher-order extension of the model that has certain uniqueness properties. It allows for a third mode of the data, such as time, and permits the analysis of semantic graphs. We present an improved algorithm for computing three-way DEDICOM on sparse data and demonstrate it by applying it to the adjacency tensor of a semantic graph with time-labeled edges. Our application uses the Enron email corpus, from which we construct a semantic graph corresponding to email exchanges among Enron personnel over a series of 44 months. Meaningful patterns are recovered in which the representation of asymmetries adds insight into the social networks at Enron.

NTIS

*Algorithms; Asymmetry; Mathematical Models; Semantics*

## COMPUTER OPERATIONS AND HARDWARE

Includes hardware for computer graphics, firmware and data processing. For components see *33 Electronics and Electrical Engineering*. For computer vision see *63 Cybernetics, Artificial Intelligence and Robotics*.

**20070003534** Iskra Sistemi d.d., Ljubljana, Slovenia

### **Development of multi service provisioning platform in synchronous digital hierarchy systems**

Vaupotic, Marko; Muscet, Marinko; Zemva, Andrej; *Electrotechnical Review*, Volume 73, No.4; 2006, pp. 189-194; In Slovene; See also 20070003533; Copyright; Avail.: Other Sources

This paper describes a development project whose goal was to design a new multi-service provisioning platform for SDH systems with integrated proprietary microwave line interfaces. The next-generation network elements are a fairly new breed of network elements based on the standard SDH equipment such as add-drop multiplexers, terminal multiplexers, and digital cross-connectors. Over the years, the expansion of packet-based data have made the producers add data-aware interfaces, such as Ethernet, SAN, DVB-SI and others, to the legacy equipment. A new type of products has been born. Protocols that enable an easy and efficient integration of packet-based systems in the predominant TDM transport networks are Generic Framing Procedure (GFP), Virtual Concatenation (VCAT) and Link Capacity Adjustment Scheme (LCAS). The main part of the paper presents development of the MSSP and provides a further insight into the hardware subsystems of the central module, which in fact builds the main functionality of the whole system. Because of the cost and available resources related issues, we chose PM5337, an application specific integrated circuit, for the core of our MSPP system. The paper also describes the implemented software with an emphasis on open-source programs like embedded Linux for the operating system and Net SNMP for management architecture. For the time being, SNMP is the most widely deployed and used protocol for network management. It allowed for integration of our products in a large network management system of HP OpenView type. For the graphical user interface, we made use of the Java powerful features with which we were able to deploy the element management systems on a number of different host computers. Another feature implemented in the system, which is currently getting acceptance by other network element manufacturers, is the use of the IP protocol in data communication channels within SDH regeneration and multiplexer sections.

Author

*Computers; Data Systems; Digital Systems; Multiplexing; Protocol (Computers); Computer Techniques*

**20070003890** University of Southern California, Marina del Rey, CA USA

### **Real-Time High-Dynamic Range Texture Mapping**

Jan 2001; 10 pp.; In English

Report No.(s): AD-A459538; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Dynamic Range; Real Time Operation; Binary Digits; Memory (Computers); Computer Aided Mapping*

**20070005273** North Carolina State Univ., Raleigh, NC USA

### **Curve and Polygon Evolution Techniques for Image Processing**

Bozkurt, Gozde; Jan 2002; 156 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459881; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459881>; Avail.: CASI: [A08](#), Hardcopy

No abstract available

*Image Processing; Polygons*

**20070005288** State Univ. of New York, Binghamton, NY USA

### **Using Heterogeneous High Performance Computing Cluster for Supporting Fine-Grained Parallel Applications**

Abu-Gazaleh, Nael; Oct 2006; 23 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): FA8750-05-1-0130; Proj-NBGQ

Report No.(s): AD-A459900; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459900>; Avail.: CASI: [A03](#), Hardcopy

The use of a heterogeneous cluster comprised of host processors and Field Programmable Gate Arrays (FPGAs) was investigated for accelerating the performance of parallel fine-grained applications using a direct FPGA to FPGA communication channel. The communication channel is implemented with an all-to-all board that attaches directly to the

FPGA boards via their I/O interface. Test scripts were written to test the all-to-all board. The necessary communication support was designed, tested, and implemented to allow message exchange over the all-to-all board. The all-to-all support provides a low latency, low-bandwidth, communication channel for the FPGAs that can considerably extend the range of parallel applications. The Parallel Discrete Event Simulation was used to demonstrate that this computing model can accelerate the performance of parallel applications.

DTIC

*Field-Programmable Gate Arrays; Heterogeneity; Parallel Processing (Computers)*

**20070005387** Defence Science and Technology Organisation, Victoria, Australia

**Coloured Petri Net Modelling of a Generic Avionics Mission Computer**

Dodd, R B; Apr 2006; 94 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460072; DSTO-TN-0692; No Copyright; Avail.: Defense Technical Information Center (DTIC)

A Coloured Petri Net has been developed to model real-time task scheduling in avionics mission computers. The model has been applied to a generic avionics mission computer specification, and results are presented for a range of task scheduling protocols. Model input data, output data and complete design are documented to support application of the model to other mission computer hardware and software architectures.

DTIC

*Airborne/Spaceborne Computers; Architecture (Computers); Avionics; Color; Petri Nets*

## 61

### COMPUTER PROGRAMMING AND SOFTWARE

Includes software engineering, computer programs, routines, algorithms, and specific applications, e.g., CAD/CAM. For computer software applied to specific applications, see also the associated category.

**20070003501** University of Southern California, Marina del Rey, CA USA

**Modeling Dynamic Perceptual Attention in Complex Virtual Environments**

Kim, Youngjun; van Velsen, Martin; Hill, Jr, Randall W; Jan 2005; 13 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459223; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459223>; Avail.: CASI: A03,

Hardcopy

An important characteristic of a virtual human is the ability to direct its perceptual attention to entities and areas in a virtual environment in a manner that appears believable and serves a functional purpose. In this paper, we describe a perceptual attention model that integrates perceptual attention that mediates top-down and bottom-up attention processes of virtual humans within complex virtual environments.

DTIC

*Cognition; Virtual Reality*

**20070003505** University of Southern California, Marina del Rey, CA USA

**Simulation Meets Hollywood: Integrating Graphics, Sound, Story and Character for Immersive Simulation**

Swartout, W; Gratch, J; Hill, R; Hovy, E; Lindheim, R; Marsella, S; Rickel, J; Traum, D; Jan 2005; 26 pp.; In English;

Original contains color illustrations

Contract(s)/Grant(s): DAAD-19-99-D-0046

Report No.(s): AD-A459196; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459196>; Avail.: CASI: A03,

Hardcopy

The Institute for Creative Technologies was created at the University of Southern California with the goal of bringing together researchers in simulation technology to collaborate with people from the entertainment industry. The idea was that much more compelling simulations could be developed if researchers who understood state-of-the-art simulation technology worked together with writers and directors who knew how to create compelling stories and characters. This paper presents our first major effort to realize that vision, the Mission Rehearsal Exercise Project, which confronts a soldier trainee with the kinds of dilemmas he might reasonably encounter in a peacekeeping operation. The trainee is immersed in a synthetic world and interacts with virtual humans: artificially intelligent and graphically embodied conversational agents that understand and generate natural language, reason about world events and respond appropriately to the trainee's actions or commands. This project is an ambitious exercise in integration, both in the sense of integrating technology with entertainment industry content, but also in that we have also joined a number of component technologies that have not been integrated before. This integration

has not only raised new research issues, but it has also suggested some new approaches to difficult problems. In this paper we describe the Mission Rehearsal Exercise system and the insights gained through this large-scale integration.

DTIC

*Simulation; Virtual Reality*

**20070003507** University of Southern California, Marina del Rey, CA USA

**Towards Integrating AI Story Controllers and Game Engines: Reconciling World State Representations**

Riedl, Mark O; Jan 2006; 7 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459217; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459217>; Avail.: CASI: [A02](#),

Hardcopy

Recently, many AI researchers working on interactive storytelling systems have turned to off-the-shelf game engines for simulation and visualization of virtual 3D graphical worlds. Integrating AI research into game engines can be difficult due to the fact that game engines typically do not use symbolic or declarative representations of characters, settings, or actions. This is particularly true for interactive storytelling applications that use an AI story controller to subtly manipulate a virtual world in order to bring about a structured narrative experience for the user. In this paper, I describe a general technique for translating between an arbitrary game engine's proprietary and procedural world state representation into a declarative form that can be used by an AI story controller. The work is placed in the context of building a narrative-based training simulation.

DTIC

*Artificial Intelligence; Controllers; Game Theory*

**20070003508** University of Southern California, Marina del Rey, CA USA

**Development of a Data Management Tool for Investigating Multivariate Space and Free Will Experiences in Virtual Reality**

Morie, Jacquelyn F; Iyer, Kumar; Luigi, Donat-Pierre; Williams, Josh; Dozois, Aimee; Rizzo, Albert; Jan 2006; 10 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459216; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459216>; Avail.: CASI: [A02](#),

Hardcopy

Virtual Reality (VR) has become mature enough to be successfully used in clinical applications such as exposure therapy, pain distraction and neuropsychological assessment. However, we now need to go beyond the outcome data from this research and conduct the detailed scientific investigations required to better understand what factors influence why VR works (or doesn't) in these types of clinical applications. This knowledge is required to guide the development of VR applications in the key areas of education, training and rehabilitation and to further evolve existing VR approaches. One of the primary assets obtained with the use of VR is the ability to simulate the complexity of real world environments, within which human performance can be tested and trained. But this asset comes with a price in terms of the capture, quantification and analysis of large, multivariate and concurrent data sources that reflect the naturalistic behavioral interaction that is afforded in a virtual world. As well, while achieving realism has been a main goal in making convincing VR environments, just what constitutes realism and how much is needed is still an open question situated firmly in the research domain. Just as in real reality, such factors in virtual reality are complex and multivariate, and the understanding of this complexity presents exceptional challenges to the VR researcher. For certain research questions, good behavioral science often requires consistent delivery of stimuli within tightly controlled lab-based experimental conditions. However, for other important research questions we do not want to constrain naturalistic behavior and limit VR's ability to replicate real world conditions, simply because it is easier to study human performance with traditional lab-based methodologies. By doing so we may compromise the very qualities that comprise VR's unique capacity to mimic the experiences and challenges that exist in everyday life.

DTIC

*Data Management; Multivariate Statistical Analysis; Software Development Tools; Virtual Reality*

**20070003510** Carnegie-Mellon Univ., Pittsburgh, PA USA

**The Case for an Open Data Model**

Myers, Brad A; Aug 1998; 28 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N66001-94-C-6037; ARPA ORDER-B326

Report No.(s): AD-A459454; CMU-CS-98-153; CMU-HCII-98-101; No Copyright; ONLINE:

<http://hdl.handle.net/100.2/ADA459454>; Avail.: CASI: [A03](#), Hardcopy

The trend in modern software systems such as Java is to support 'reflection,' wherein independent software can query to



find out the properties of objects. The authors have been investigating the implications of taking this property even further, so that all aspects of an application are open and available to inspection by external software. By giving the fundamental data structures of the application a standard format, external components can access the information they need without requiring a complex protocol. The authors have found that this gives the application developer and end users many important benefits, including support for increased automation, extensive end-user customization capabilities, external agents and tutors, sophisticated search and replace, scripting and macros, alternative interfaces without re-implementing the application, plug-ins that operate in the same space, and significantly higher re-use of common code. Many of these benefits are demonstrated in their Amulet user interface development environment, which uses the open data model.

DTIC

*Application Programming Interface; Computer Programming; Data Management; Graphical User Interface; Object-Oriented Programming; Software Engineering*

**20070003513** University of Southern California, Marina del Rey, CA USA

**Scalable Solutions for Interactive Virtual Humans that can Manipulate Objects**

Kallmann, Marcelo; Jan 2005; 7 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459163; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459163>; Avail.: CASI: A02, Hardcopy

This paper presents scalable solutions for achieving virtual humans who are able to manipulate objects in interactive virtual environments. The scalability trades computational time with the ability to address increasingly difficult constraints. In time-critical environments, arm motions are computed in a few milliseconds using fast analytical Inverse Kinematics. For other types of applications in which collision-free motions are required, a randomized motion planner capable of generating motions of average complexity in approximately a second of computation time is employed. The steps required for defining and computing different types of manipulations are described in this paper.

DTIC

*Collision Avoidance; Computer Animation; Computer Graphics; Computerized Simulation; Kinematics; Motion; Virtual Reality*

**20070003515** University of Southern California, Marina del Rey, CA USA

**Human Emotional State and its Relevance for Military VR Training**

Rizzo, Albert; Morie, Jacquelyn F; Williams, Josh; Pair, Jarrell; Buckwalter, J G; Jul 2005; 11 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459161; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459161>; Avail.: CASI: A03, Hardcopy

Combat environments by their nature can produce a dramatic range of emotional responses in military personnel. When immersed in the emotional 'fog of war', the potential exists for optimal human decision-making and performance of goal-directed activities to be seriously compromised. Real world military training often naturally includes stress induction that aims to promote a similarity of internal emotional stimulus cues with what is expected to be present on the battlefield. Current Virtual Reality military training approaches are noteworthy in their emphasis on creating hi-fidelity graphic and audio realism with the aim to foster better transfer of training. However, less emphasis is typically placed on the creation of emotionally evocative virtual training scenarios that can induce emotional stress in a manner similar to what is typically experienced under real world training conditions. As well, emotional issues in the post-combat aftermath need to be addressed. In view of these issues, the USC Institute for Creative Technologies (ICT) has initiated a research program to study emotional issues that are relevant to VR military applications. This paper will present the rationale and status of two ongoing VR research programs at the ICT that address sharply contrasting ends of the emotional spectrum relevant to the military: 1. The Sensory Environments Evaluation (SEE) Project is examining basic factors that underlie emotion as it occurs within VR training environments and how this could impact transfer of training, and 2. The Full Spectrum Warrior (FSW) Post Traumatic Stress Disorder Project which is currently in the process of converting the existing FSW combat tactical simulation training scenario (and X-Box game) into a VR treatment system for the conduct of graduated exposure therapy in Iraq war military personnel with Post Traumatic Stress Disorder.

DTIC

*Combat; Education; Emotional Factors; Emotions; Exposure; Simulation; Therapy; Virtual Reality*

**20070003523** University of Southern California, Marina del Rey, CA USA

**The Fidelity of 'Feel': Emotional Affordance in Virtual Environments**

Morie, Jacquelyn F; Williams, Josh; Dozois, Aimee; Luigi, Donat-Pierre; Jul 2005; 11 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459193; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459193>; Avail.: CASI: [A03](#),  
Hardcopy

Virtual environments (VEs) should be able to provide experiences as rich and complex as those to be had in real life. While this seems obvious, it is not yet possible to create a perfect simulacrum of the real world, so such correspondence requires the development of design techniques by which VEs can be made to appear more real. It also requires evaluation studies to determine if such techniques produce the desired results. As emotions are implicated in our phenomenological understanding of the physical world, they should also play an integral role in the experience of the virtual one. Therefore, a logical sequence of experimentation to understand how VEs can be made to function as emotion-induction systems is in order. The Sensory Environments Evaluation (SEE) research program has developed a twofold design process to explore if we react to virtually supplied stimuli as we do to the real world equivalents. We look at manipulating both the sensory and emotional aspects of not only the environment but also the participant. We do this with the focus on what emotional affordances this manipulation will provide. Our first evaluation scenario, DarkCon, was designed in this way to produce a strong sense of presence. Sixty-four subjects have been fielded to date and the data is currently being analyzed for results. We hope to find that rich design techniques along with the frame of mind with which a VR experience is approached will predictably influence perception and behavior within a virtual world. We will use these results to inform continuing research into the creation of more emotionally affective VEs.

DTIC

*Emotional Factors; Emotions; Virtual Reality*

**20070003524** University of Southern California, Marina del Rey, CA USA

**Mixing Story and Simulation in Interactive Narrative**

Riedl, Mark O; Stern, Andrew; Dini, Don; Jan 2006; 3 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459181; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459181>; Avail.: CASI: [A01](#),  
Hardcopy

Simulation is a common feature in computer entertainment. However, in computer games, simulation and story are often kept distinct by interleaving periods of interactive play and cut scenes -- short noninteractive scenes that transition from one mission to the next, providing the player with goals and motivation for the next segment of game play. In this mode of alternating between game play and cut scenes, story elements and simulation are kept strictly separate. The authors describe a technique for an 'Interactive Narrative' system that more closely integrates simulation and storyline. 'Interactive Narrative' is an approach to interactive entertainment that enables the player to make decisions that directly affect the direction and/or outcome of the narrative experience being delivered by the computer system. The technique uses a combination of semi-autonomous character agents and high-level story direction. The storyline is decomposed into directives to character agents to achieve particular world states. Otherwise, character agents are allowed to behave autonomously. When the player's actions create inconsistency between the simulation state and storyline, the storyline is dynamically adapted and repaired to resolve any inconsistencies.

DTIC

*Adaptation; Computer Graphics; Computerized Simulation; Games; Simulation; Virtual Reality*

**20070003525** University of Southern California, Marina del Rey, CA USA

**Building Robust Planning and Execution Systems for Virtual Worlds**

Dini, Don M; van Lent, Michael; Carpenter, Paul; Iyer, Kumar; Jan 2006; 8 pp.; In English

Report No.(s): AD-A459180; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459180>; Avail.: CASI: [A02](#),  
Hardcopy

Planning and execution systems have been used in a wide variety of systems to create practical and successful automation. They have been used for everything from performing scientific research on the surface of Mars to controlling enemy characters in video games to performing military air campaign planning. After reviewing past work on these various planning and execution systems, we believe that most lack one or more key components contained in another system. To enable future researchers to build more complete systems, and avoid possible serious system failure, we identify the major technical problems any implementer of such a system would have to face. In addition we cite recent solutions to each of these technical

problems. We limit our focus to planning and execution for virtual worlds and the unique problems faced therein.  
DTIC

*Artificial Intelligence; Virtual Reality*

**20070003526** University of Southern California, Marina del Rey, CA USA

**Nonverbal Behavior Generator for Embodied Conversational Agents**

Lee, Jina; Marsella, Stacey; Jan 2006; 14 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459134; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459134>; Avail.: CASI: [A03](#),  
Hardcopy

Believable nonverbal behaviors for embodied conversational agents (ECA) can create a more immersive experience for users and improve the effectiveness of communication. This paper describes a nonverbal behavior generator that analyzes the syntactic and semantic structure of the surface text as well as the affective state of the ECA and annotates the surface text with appropriate nonverbal behaviors. A number of video clips of people conversing were analyzed to extract the nonverbal behavior generation rules. The system works in real time and is user extensible so that users can easily modify or extend the current behavior generation rules.

DTIC

*Extraction; Real Time Operation; Semantics; Syntax; Texts*

**20070003560** NASA Langley Research Center, Hampton, VA, USA, National Inst. of Aerospace, Hampton, VA, USA

**A High-Level Formalization of Floating-Point Number in PVS**

Boldo, Sylvie; Munoz, Cesar; October 2006; 23 pp.; In English; Original contains black and white illustrations

Contract(s)/Grant(s): NCC1-02043; WBS 23-065-10-22; NIA-Proj. 2204

Report No.(s): NASA/CR-2006-214298; NIA-Rept-2006-01; No Copyright; ONLINE:

<http://hdl.handle.net/2060/20070003560>; Avail.: CASI: [A03](#), Hardcopy

We develop a formalization of floating-point numbers in PVS based on a well-known formalization in Coq. We first describe the definitions of all the needed notions, e.g., floating-point number, format, rounding modes, etc.; then, we present an application to polynomial evaluation for elementary function evaluation. The application already existed in Coq, but our formalization shows a clear improvement in the quality of the result due to the automation provided by PVS. We finally integrate our formalization into a PVS hardware-level formalization of the IEEE-854 standard previously developed at NASA.

Author

*Floating Point Arithmetic; Formalism; Numerical Analysis; Real Numbers*

**20070003561** Massachusetts Inst. of Tech., Cambridge, MA USA

**Modeling Stock Order Flows and Learning Market-Making from Data**

Jun 2002; 9 pp.; In English

Contract(s)/Grant(s): N00014-00-1-0907; N00014-00-1-O637

Report No.(s): AD-A459806; AI-MEMO-2002-009; CBCL-MEMO-217; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Models; Data Processing; Market Research*

**20070003632** SRI International Corp., Menlo Park, CA USA

**Introduction to SPARK. Version 0.3**

Jul 13, 2004; 24 pp.; In English

Contract(s)/Grant(s): NBCHD-03-0010

Report No.(s): AD-A459669; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Artificial Intelligence; Language Programming*

**20070003633** Stanford Research Inst., CA USA

**QLISP: A Language for the Interactive Development of Complex Systems**

Mar 1976; 27 pp.; In English

Contract(s)/Grant(s): DAHC04-75-C-0005; DAAG29-76-C-0012

Report No.(s): AD-A459668; SRI-TN-120; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Complex Systems; Language Programming; Artificial Intelligence*

**20070003670** Hughes Training, Inc. Mesa, AZ USA

**Distributive Interactive Simulation Network Interface for A-10 Simulator in Support of IITSEC '95 Conference Demonstrations**

Jul 1996; 11 pp.; In English

Contract(s)/Grant(s): F41624-95-C-5011; Proj-1123

Report No.(s): AD-A459708; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Computerized Simulation; Conferences; Simulators; Computer Graphics*

**20070003672** Massachusetts Inst. of Tech., Cambridge, MA USA

**Face Recognition with Support Vector Machines: Global versus Component-Based Approach**

Jan 2001; 8 pp.; In English

Contract(s)/Grant(s): N00014-93-1-3085; N00014-00-1-0907

Report No.(s): AD-A459707; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Vector Processing (Computers); Machine Learning; Pattern Recognition*

**20070003702** University of Southern California, Marina del Rey, CA USA

**Toward a New Generation of Virtual Humans for Interactive Experiences**

Rickel, Jeff; Marsella, Stacy; Gratch, Jonathan; Hill, Randall; Traum, David; Swartout, William; Jan 2002; 8 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-95-C-0179; N00014-97-1-0598

Report No.(s): AD-A459229; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459229>; Avail.: CASI: [A02](#), Hardcopy

Interactive virtual worlds provide a powerful medium for entertainment and experiential learning. Army lieutenants can gain valuable experience in decision-making in scenarios like the example above. Others can use the same technology for entertaining role-playing even if they never have to face such situations in real life. Similarly, students can learn about, say, ancient Greece by walking through its virtual streets, visiting its buildings, and interacting with its people. Scientists and science fiction fans alike can experience life in a colony on Mars long before the required infrastructure is in place. The range of worlds that people can explore and experience with virtual-world technology is unlimited, ranging from factual to fantasy and set in the past, present, or future. Our goal is to enrich such worlds with virtual humans autonomous agents that support face-to-face interaction with people in these environments in a variety of roles, such as the sergeant, medic, or even distraught mother. Existing virtual worlds, such as military simulations and computer games, often incorporate virtual humans with varying degrees of intelligence. However, these characters ability to interact with human users is usually very limited: Typically, users can shoot at them and they can shoot back. Those characters that support more collegial interactions, such as in children's educational software, are usually very scripted and offer human users no ability to carry on a dialogue. In contrast, we envision virtual humans that cohabit virtual worlds with people and support face-to-face dialogues situated in those worlds, serving as guides, mentors, and teammates. Although our goals are ambitious, we argue here that many key building blocks are already in place.

DTIC

*Virtual Reality; Human Body*

**20070003703** University of Southern California, Marina del Rey, CA USA

**Creating Interactive Virtual Humans: Some Assembly Required**

Gratch, Jonathan; Rickel, Jeff; Andre, Elisabeth; Cassell, Justine; Petajan, Eric; Badler, Norman; Aug 2002; 11 pp.; In English

Contract(s)/Grant(s): DAAD19-99-D-0046; F33615-99-D-6001-0008

Report No.(s): AD-A459228; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459228>; Avail.: CASI: [A03](#), Hardcopy

Science fiction has long imagined a future populated with artificial humans- human-looking devices with human-like intelligence. Although Asimov's benevolent robots and the Terminator movies' terrible war machines are still a distant fantasy, researchers across a wide range of disciplines are beginning to work together toward a more modest goal building virtual humans. These software entities look and act like people and can engage in conversation and collaborative tasks, but they live in simulated environments. With the untidy problems of sensing and acting in the physical world thus dispensed, the focus

of virtual human research is on capturing the richness and dynamics of human behavior. This broad range of requirements poses a serious problem. Researchers working on particular aspects of virtual humans cannot explore their component in the context of a complete virtual human unless they can understand results across this array of disciplines and assemble the vast range of software tools (for example, speech recognizers, planners, and animation systems) required to construct one. Moreover, these tools were rarely designed to interoperate and, worse, were often designed with different purposes in mind. For example, most computer graphics research has focused on high fidelity offline image rendering that does not support the fine-grained interactive control that a virtual human must have over its body. In the spring of 2002, about 30 international researchers from across disciplines convened at the University of Southern California to begin to bridge this gap in knowledge and tools. Our ultimate goal is a modular architecture and interface standards that will allow researchers in this area to reuse each other's work. This goal can only be achieved through a close multidisciplinary collaboration. Towards this end, the workshop gathered a collection of experts representing the range of required research areas. Here we discuss some of the key issues.

DTIC

*Virtual Reality; Human Body*

**20070003712** Colorado Univ., Boulder, CO USA

**The DINO Parallel Programming Language**

Apr 1990; 45 pp.; In English

Contract(s)/Grant(s): AFOSR-85-0251; DCR-8420944

Report No.(s): AD-A459435; CU-CS-457-90; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Parallel Programming; Language Programming; Artificial Intelligence*

**20070003860** Pennsylvania Univ., Philadelphia, PA USA

**Identifying Semantic Roles Using Combinatory Categorial Grammar**

Jan 2003; 9 pp.; In English

Contract(s)/Grant(s): MDA904-00-C-2136; NSF-ITR-0205-456

Report No.(s): AD-A459462; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Grammars; Semantics; Identifying*

**20070004724** Washington Univ., Seattle, WA USA

**MCLUST: Software for Model-Based Clustering, Density Estimation and Discriminant Analysis**

Oct 1, 2002; 51 pp.; In English

Contract(s)/Grant(s): N00014-96-1-0192; N00014-96-1-0330

Report No.(s): AD-A459792; TR-415; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Discriminant Analysis (Statistics); Cluster Analysis; Estimating; Computer Programs; Density*

**20070004767** Army Research Lab., Aberdeen Proving Ground, MD USA

**Evaluating Co-Array Fortran and Unified Parallel C**

Nov 2006; 26 pp.; In English

Contract(s)/Grant(s): Proj-6UH7CL

Report No.(s): AD-A459771; ARL-MR-654; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*FORTTRAN; Programming Languages; C++ (Programming Language); Parallel Programming*

**20070004829** Thinking Machines Corp., Cambridge, MA USA

**An Optimal Parallel Implementation of a Quadratic Transportation Algorithm**

Mar 7, 1990; 9 pp.; In English

Contract(s)/Grant(s): AFOSR-89-0145

Report No.(s): AD-A459551; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Algorithms; Transportation; Parallel Computers; Quadratic Programming; Optimization*

**20070004833** University of Southern California, Marina del Rey, CA USA

**NL Generation for Virtual Humans in a Complex Social Environment**

Jan 2003; 9 pp.; In English

Contract(s)/Grant(s): DAAD19-99-D-0046

Report No.(s): AD-A459528; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Sociology; Virtual Reality; Human Beings; Environments; Natural Language (Computers)*

**20070004836** Texas Univ. at Dallas, Richardson, TX USA

**Computational Limitations of Model Based Recognition**

Feb 1991; 15 pp.; In English

Contract(s)/Grant(s): DAAL03-86-K-0171; F19628-90-C-0002

Report No.(s): AD-A459522; LIDS-P-2020; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Image Processing; Pattern Recognition; Models; Computational Geometry*

**20070004870** Mitre Corp., Bedford, MA USA

**A Business Case Study of Open Source Software**

Jul 2001; 89 pp.; In English

Contract(s)/Grant(s): DAAB07-01-C-C201

Report No.(s): AD-A459563; MP-01B0000048; No Copyright; Avail.: Defense Technical Information Center (DTIC)

No abstract available

*Commerce; Open Source Licensing (Computers); Computer Programs*

**20070004873** Massachusetts Inst. of Tech., Cambridge, MA USA

**A Class of Adaptive Control Problems Solved via Stochastic Control**

Aug 1988; 13 pp.; In English

Contract(s)/Grant(s): AFOSR-85-0227B

Report No.(s): AD-A459561; LIDS-P-1765; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Adaptive Control; Stochastic Processes*

**20070005194** Mitre Corp., Bedford, MA USA

**State of the Art in Anomaly Detection and Reaction: An Update**

LaPadula, Leonard J; Feb 24, 2000; 21 pp.; In English

Contract(s)/Grant(s): F19628-99-C-0001

Report No.(s): AD-A459587; MP-99B0000020SUPP1; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459587>;

Avail.: CASI: [A03](#), Hardcopy

This paper is a supplement to the author's May 1999 report, 'State of the Art in Anomaly Detection and Reaction,' (MP-99B000020). Although this supplement claims there are no major trends discernible since publication of the report, it should nevertheless have utility for anyone interested in the state of the art in anomaly detection and reaction as it is described in the 1999 report. There have been some noteworthy developments in the past year or so, including new commercial tools being released and new government research initiatives. The author first considers who the market leaders are and takes a look at mergers, acquisitions, and product transfers. He revisits commercial offerings and government research and development efforts. Based on these short reviews and other information gathered over the past year, he considers technical trends. This supplement is organized as follows: (1) Commercial Products: -- a look at the marketplace and a summary of commercial products based on an updated ADR Compendium; (2) Research and Development -- identification of some new initiatives; and (3) Technical Trends -- discussion of trends and commentary on what the state of affairs augurs for military sponsors. The appendix is a summary of COTS ADR products in table form that includes name of tool, type, release date, and vendor.

DTIC

*Anomalies; Commercial Off-the-Shelf Products; Computer Networks; Responses; Warfare*

**20070005211** Stottler Henke Associates, Inc., San Mateo, CA USA

**Using Computer Games to Train Information Warfare Teams**

Cramer, Michael J; Ramachandran, Sowmya; Viera, Janelle K; Jan 2004; 11 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459676; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459676>; Avail.: CASI: A03, Hardcopy

Information warfare and security are crucial to maintaining homeland security. An important mission of the information warfare force is to ensure that secure information and facilities are well protected. One way to ensure this is to try to gain access to this information as outsiders and see how well the practices and policies designed to protect data are being enforced. Teams of Information Warfare personnel (a.k.a. the Red Teams) are dedicated to the mission of testing the security of information and assets crucial to American interests. Most such missions necessitate deception in order to test the extent to which data is protected from strangers and parties who are not trusted. High-levels of stress are inevitable, and the Red Teams need to be highly skilled in thinking creatively under such stress. Given the criticality and the degree of danger of these missions, they have to be carefully trained. For computer-based approaches, providing realistic simulations is essential for successful training. Engaging the trainee emotionally to elicit the types of stress responses they will experience on real missions is crucial. 3D computer games have proved themselves to be highly effective in engaging players motivationally and emotionally. This effort, therefore, uses gaming technology to provide realistic simulations. These games are augmented with Artificial Intelligence techniques for enabling trainees to interact with the simulation using natural language, intelligent evaluation of the student's performance, and automated after-action review that allows the trainees to assess their own performance and provide justifications for their actions. This paper describes the details of this approach, providing examples of the simulations and after-action reviews, and discusses its benefits and limitations.

DTIC

*Computerized Simulation; Education; Games; Security; Warfare*

**20070005266** Carnegie-Mellon Univ., Pittsburgh, PA USA

**Analytical Design of Evolvable Software for High-Assurance Computing**

Hoover, Carol L; Feb 14, 2001; 351 pp.; In English

Contract(s)/Grant(s): F30602-96-2-0240

Report No.(s): AD-A459872; CMU-CS-01-111; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459872>; Avail.: CASI: A16, Hardcopy

Software is a ubiquitous feature of today's world. The goodness of products and services is frequently dependent on the goodness of the related software. Optimal software performs correctly and requires minimal effort and cost to develop and maintain. The development of optimal software is an admirable goal but is difficult to achieve. In particular, software maintenance and evolution is costly and error-prone. The significance of the problem is magnified for high-assurance applications that require the certainty that the software will behave reliably despite budget constraints and product evolution. Though automated software development is the ideal solution, design for evolution is the practical solution. For most applications, analysis of the required behavior (behavioral analysis) and translation into a blueprint for building the software (software design) are necessary. High-level design involves the organization of the required behavior into building blocks or components. Design for evolution is the generation of a software architecture that can be changed with minimal human effort to produce a class of similar applications. Design for evolution makes feasible the cost effective development of high-assurance applications. This dissertation presents a semi-automatable research approach for designing an evolvable software architecture. The research approach focuses on the partition of basic elements of a software solution into reusable components that localize the effects of change. The input to the partitioning process is a set of software requirements along with an analysis of the required behavior and planned or feasible evolution of the product line. The output is a partition of the required behavior into components that reduce the effort associated with developing a software product line. The dissertation provides an analytical verification of the research approach through proof and constructive examples.

DTIC

*Architecture (Computers); Computer Programming; Software Engineering*

**20070005274** Mississippi State Univ., Starkville, MS USA

**User Manual for Graphical User Interface Version 2.4 with Fire and Smoke Simulation Model (FSSIM) Version 1.2**

Haupt, Tomasz A; Henley, Greg; Sura, Bhargavi; Kirkland, Robert; Floyd, Jason; Scheffey, Joseph; Tatem, Patricia A; Williams, Frederick W; Dec 18, 2006; 68 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00173-03-C-2005; N00173-05-C-2009

Report No.(s): AD-A459882; NRL/MR/6180--06-9013; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459882>; Avail.: CASI: A04, Hardcopy

The collaborative work of Hughes Associates, Inc., the Naval Research Laboratory, and a group at Mississippi State University resulted in development of a simulation system including a Graphical User Interface (GUI) for setting up Fire and Smoke Simulations and for visualization of results. The simulation environment provides a runtime for a third-party Fire and Smoke SIMulator (FSSIM). The user's manual provides documentation of the GUI and detailed discussion of features of the output display.

DTIC

*Computerized Simulation; Fires; Graphical User Interface; Manuals; Simulation; Smoke; User Manuals (Computer Programs)*

**20070005276** Georgia Tech Research Inst., Atlanta, GA USA

**Standardization of Object Oriented Extensions to Vector Signal and Image Processing Library (VSIPL)**

Campbell, Daniel P; Oct 2006; 17 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): FA8750-05-1-0217; Proj-HPEC

Report No.(s): AD-A459884; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459884>; Avail.: CASI: A03, Hardcopy

The Vector Signal and Image Processing Library (VSIPL) is an industry standard Application Programming interface for embedded signal processing tasks. The High Performance Embedded Computing Software Initiative (HPEC-SI) program is a collaborative program to establish extensions to the VSIPL specification to support Object Oriented elements of the C++ programming language, and encapsulated support for data parallel processing. The program goals include the simultaneous threefold improvement in software portability, threefold improvement in developer productivity, and fifty per cent improvement in software performance compared to standard practices.

DTIC

*Computer Programs; Image Processing; Libraries; Object-Oriented Programming; Signal Processing; Standardization; Vector Processing (Computers)*

**20070005308** Molecular Sciences Inst., Berkeley, CA USA

**Methods, Knowledge Support, and Experimental Tools for Modeling**

Brent, Roger; Lok, Larry; Oct 2006; 20 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): F30602-01-2-0565; Proj-BIOC

Report No.(s): AD-A459947; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459947>; Avail.: CASI: A03, Hardcopy

Our goal was to provide software and experimental abilities to support quantitative modeling of eukaryotic systems and thus to help enable new kinds of molecular logic. The most significant accomplishments were the development of two programs. One was a collaborative annotation, MONOD. MONOD embodied a number of genuinely novel ideas, particularly in the data structure, which constituted a middle ground between the highly structured relations of objects in a relational database and the unstructured representation of human text-based discourse and notes. The second, Molecuizer, addressed an important problem in simulating chemical reaction networks, the proliferation of closely related species of molecular complexes. This rule-based approach has since been widely adopted. Since the project period, the two programs have had different fates. Despite the notional advantages of MONOD, for the main purpose of knowledge support, we and others have opted for the free form text embodiment in a wiki (at [www.openwetware.org](http://www.openwetware.org)). By contrast, work on Molecuizer continues at MSI with money from the Japanese E-cell project, and we have worked with a number of groups to port the basic concepts to other simulation software.

DTIC

*Knowledge Based Systems; Simulation*

**20070005351** Colorado Univ., Boulder, CO USA

**NoPumpG: Creating Interactive Graphics With Spreadsheet Machinery**

Lewis, Clayton; Aug 1987; 42 pp.; In English

Contract(s)/Grant(s): CCR-9357740; N00014-96-1-0720

Report No.(s): AD-A460027; CU-CS-372-87; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460027>; Avail.: CASI: A03, Hardcopy



The spreadsheet has made computing power widely accessible to nonprogrammers. By adding a small number of new concepts to the basic spreadsheet framework NoPumpG makes it possible to create interactive graphics, including animation, of the sort seen in physics and geometry tutorial demonstrations, without programming. While not as powerful as some other systems of this kind, NoPumpG appears to offer a favorable balance between power and conceptual simplicity.

DTIC

*Computer Graphics; Spreadsheets*

**20070005352** Air Force Inst. of Tech., Wright-Patterson AFB, OH USA

**Reverse Logistics: Has the Implementation of Reverse Logistics Met the Objectives of Air Mobility Command**

DeVore, Jeffrey W; May 2004; 95 pp.; In English

Report No.(s): AD-A460029; AFIT/GMO/ENS/04E-03; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460029>;

Avail.: CASI: **A05**, Hardcopy

The concept of reverse logistics is an important part of any supply chain in which the military participates. Air Mobility Command (AMC) plays an integral role in this process by providing transportation assets for support. This research paper represents an in-depth look at the AMC reverse logistics process to see if its implementation is meeting its intended objectives. Specifically, it focuses on what the goals and objectives of AMC's reverse logistics program are, and in what situations they fell short of their intentions. It also addresses improvement areas by applying two civilian models to the program. The researched areas revealed the AMC reverse logistics process has not met its objectives for several reasons. Internally, funding and software issues have halted the program. Externally, the non-inclusion of other armed services into the initial strategy has not given the program the correct environment in which it is supposed to function. The whole idea of the Strategic Distribution Management Initiative and the transformation movement of the logistics world is to operate under one standardized and common supply chain which will allow joint logistics movement in both peace and war. AMC's program, while supporting certain Air Force assets, has not expanded its scope to incorporate the entire DoD. The AMC reverse logistics program has limited written guidance and AMC is facing issues right now which are hindering its progress. By reviewing and analyzing the data, weaknesses of the program have been identified for future process refinement.

DTIC

*Logistics; Logistics Management; Mobility*

**20070005355** Carnegie-Mellon Univ., Pittsburgh, PA USA

**ORA: Organization Risk Analyzer**

Carley, Kathleen M; Reminga, Jeff; Jul 2004; 50 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-07-1-0037; N00014-02-1-0973

Report No.(s): AD-A460034; CMU-ISRI-04-106; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460034>; Avail.:

CASI: **A03**, Hardcopy

ORA is a network analysis tool that detects risks or vulnerabilities of an organization's design structure. The design structure of an organization is the relationship among its personnel, knowledge, resource, and task entities. These entities and relationships are represented by the Meta-Matrix. Measures that take as input a Meta-Matrix are used to analyze the structural properties of an organization for potential risk. ORA contains over 50 measures which are categorized by which type of risk they detect. Measures are also organized by input requirements and by output. ORA generates formatted reports viewable on screen or in log files, and reads and writes networks in multiple data formats to be interoperable with existing network analysis packages. In addition, it has tools for graphically visualizing Meta-Matrix data and for optimizing a network's design structure. ORA uses a Java interface for ease of use, and a C++ computational backend. The current version ORA 1.2 software is available.

DTIC

*C (Programming Language); Interoperability; Risk*

**20070005438** Cybernet Systems Corp., Ann Arbor, MI USA

**Improving Human Interfaces in Military Simulation Applications**

Rowe, Steve; Band, Joshua; Cohen, Charles; Haas, Michael; Sep 2006; 5 pp.; In English

Contract(s)/Grant(s): FA8650-05-M-6567; Proj-3005

Report No.(s): AD-A460144; No Copyright; Avail.: CASI: **A01**, Hardcopy

This paper highlights some of the key human factors issues that we found in OneSAF, and how we chose to remedy them in our own system design. The goal is to provide guidelines for application human interface design standards so that future

tools developed for the Department of Defense allow the operator to perform more effectively.

DTIC

*Human-Computer Interface; Military Technology; Prototypes; Simulation*

**20070005444** Wright State Univ., Dayton, OH USA

**Specification for Visual Requirements of Work-Centered Software Systems**

Knapp, James R; Chung, Soon M; Schmidt, Vincent A; Sep 2006; 10 pp.; In English

Contract(s)/Grant(s): F33601-03-F-0065; Proj-2830

Report No.(s): AD-A460152; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Work-centered software systems function as inherent work-aiding systems. Based on the design concept for a work-centered support system (WCSS), these software systems support user tasks and goals through both direct and indirect aiding methods within the interface client. In order to ensure the coherent development and delivery of work-centered software products, WCSS visual requirements must be specified to capture the cognitive aspects of the user interface design. A work-centered specification language based on the User Interface Markup Language (UIML) is an effective solution to bridging this gap between cognitive systems engineering and software engineering. A visual requirements specification language can capture and describe work-centered visual requirements within a semi-formal syntax. The proposed language can also be easily integrated into a UML object model via the use of UML's extensibility features. A specification language for visual requirements could be employed by cognitive engineers and design teams to help convey requirements in a comprehensible format that is suitable for a software engineer. Such a solution provides coherency in the software modeling process of developing work-centered software systems.

DTIC

*Requirements; Software Development Tools; Software Engineering; Specifications; Visual Perception*

**20070005449** Massachusetts Univ., Amherst, MA USA

**LIFT - The LIsp Framework for Testing**

King, Gary; Jan 2001; 15 pp.; In English

Contract(s)/Grant(s): F49620-97-1-0485; DASG60-99-C-0074

Report No.(s): AD-A460160; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A sometimes overlooked value of software testing is that it enables fearless programming and constant refactoring. Since the design is often the first causality of sitting down to code, having a complete test suite improves productively and allows for rapid change and experimentation. This report describes LIFT: the LIsp Framework for Testing. LIFT supports the construction of a hierarchical suite of tests that can be run in batch (for regression testing) or interactively (which suits the style of Lisp). The philosophy, design and use of LIFT are discussed and LIFT is compared with several other Lisp testing frameworks.

DTIC

*Batch Processing; Coding; Hierarchies; LISP (Programming Language)*

**20070005496** Army Research Inst., Alexandria, VA USA

**Joint Synthetic Battlespace Desert Pivot Experiment (JDPE)**

Kwak, S D; Andrew, Emily; Murtha, Jack; Brown, Don; Mar 2003; 10 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460325; No Copyright; Avail.: CASI: [A02](#), Hardcopy

The goal of the Joint Synthetic Battlespace Experiment (JDPE) is to prove the underlying concept of the Joint Synthetic Battlespace (JSB) in the context of the warfighters, i.e., USAF operational users. The JSB has been conceptualized and prototyped to support the transforming USAF into a capability oriented standard, such as Global Strike Task Forces (GSTF), rather than the current weapon platform centric. The new capability can only be realized by synergistic integration of existing and new weapons/C2/ISR systems. JSB plans on being the very tool for CONOP development, R&D, acquisition, training, mission planning, rehearsal, and even disposal of component systems in the future USAF. Initially, JSB was focused on supporting new weapon/C2/ISR system conceptualization, development, and acquisition. The next application domain is USAF operational use. Air Combat Command's (ACC) Tactical Air Command and Control Simulation Facility (TACCSF) conducts a quarterly Desert Pivot (DP) exercise in conjunction with Red Flag of Nellis AFB. The first JSB Experiment, which is called JDPE Event 1, was conducted during DP 03-01 in October 2002. This paper discusses the JDPE architecture and the details of the JDPE Event 1.

DTIC

*Computerized Simulation; Deserts; Education; Pivots*

**20070005497** Mitre Corp., Bedford, MA USA

**Protocol Independence through Disjoint Encryption**

Guttman, Joshua D; Thayer Fabrega, F J; Apr 10, 2000; 13 pp.; In English

Contract(s)/Grant(s): DAAB07-99-C-C201

Report No.(s): AD-A460326; No Copyright; Avail.: CASI: [A03](#), Hardcopy

One protocol (called the primary protocol) is independent of other protocols (jointly called the secondary protocol) if the question whether the primary protocol achieves a security goal never depends on whether the secondary protocol is in use. In this paper, we use multiprotocol strand spaces to prove that two cryptographic protocols are independent if they use encryption in non-overlapping ways. This theorem (Proposition 7.2) applies even if the protocols share public key certificates and secret key tickets. We use the method of authentication tests to study penetrator paths, namely sequences of penetrator actions connecting regular nodes (message transmissions or receptions) in the two protocols. Of special interest are inbound linking paths, which lead from a message transmission in the secondary protocol to a message reception in the primary protocol. We show that bundles can be modified to remove all inbound linking paths, if encryption does not overlap in the two protocols. The resulting bundle does not depend on any activity of the secondary protocol. We illustrate this method using the Neuman-Stubblebine protocol as an example.

DTIC

*Cryptography; Protocol (Computers)*

**20070005515** University of Southern California, Marina del Rey, CA USA

**Emotionally Evocative Environments for Training**

Morie, J F; Iyer, K; Valanejad, K; Sadek, R; Miraglia, D; Milam, D; Jan 2002; 7 pp.; In English

Contract(s)/Grant(s): DAAD19-99-D-0046

Report No.(s): AD-A460361; No Copyright; Avail.: CASI: [A02](#), Hardcopy

This paper describes a project currently in progress at the University of Southern California's Institute for Creative Technologies (ICT). Much of the research at ICT involves developing better graphics, sound and artificial intelligence to be used in creating the next generation of training tools for the USA Army. Our project focuses on the use of emotional responses as an enhancement for training. Research indicates that an emotional connection is a strong factor in how and what we remember. In addition, real world situations often evoke surprising and significant emotional reactions that soldiers must deal with. Few current immersive training scenarios, however, focus on the emotional state of the trainee, limiting training scenarios to basic objective elements. The Sensory Environments Evaluation (SEE) Project at ICT is investigating the potential of emotionally compelling environments for more effective training. We do this by skillfully combining the sensory inputs available in virtual environments. Our current efforts concentrate on sight and sound; smell will be included as scent delivery methods improve. Evaluation studies are planned to determine the effectiveness of the techniques we are developing.+

DTIC

*Computer Programming; Education; Software Engineering*

**62**

**COMPUTER SYSTEMS**

Includes computer networks and distributed processing systems. For information systems see *82 Documentation and Information Science*. For computer systems applied to specific applications, see the associated category.

**20070003542** Ljubljana Univ., Ljubljana, Slovenia

**Self-calibration on a Three-sampler Network Analyzer with Nonstandard Connectors**

Kostevc, Drago; Mlakar, Joze; Electrotechnical Review, Volume 73, No.4; 2006, pp. 179-182; In English; See also 20070003533; Copyright; Avail.: Other Sources

The authors show a simple method enabling a self-calibration technique with a three-sampler automatic vector network analyzer. The main purpose is to determine internal reflections of the analyzer with standard connectors by using any calibration method for the three-sampler analyzer. The rest of the error model of the analyzer with non-standard connectors, and thus the complete model, is determined by calibrating the analyzer using one of the self-calibration techniques.

Author

*Calibrating; Connectors; Network Analysis; Computer Networks*

**20070003573** Ljubljana Univ., Ljubljana, Slovenia

**Semantic Web Rule Languages**

Lavbic, Dejan; Bajec, Marko; Krisper, Marjan; Electrotechnical Review, Volume 73, No. 5; 2006, pp. 249-254; In Slovene; See also 20070003567; Copyright; Avail.: Other Sources

Semantic Web rule languages play an important role in making Semantic Web valued and are gaining support. Rules can be used for describing declarative knowledge of ontology languages and to express restrictions or transformations that can include the portion of description logic. On the other hand rules can express policy enforcement, define responses to changes, specify intelligent agent behaviors and be used for other purposes. Therefore, Semantic Web rule languages will play an important role for being lingua franca in interchanging rules among different systems and tools, enabling developing, running, publishing and interacting among Semantic Web rules. It is important to emphasize that reuse and interchange of rules at a higher abstract layer is required due to the fact that during the knowledge acquisition process rules are captured at the business level. To enable rules to be used at the information system level, some translation is required to establish several abstraction layers. In the paper we present a framework for knowledge representation that uses ontologies and rules. Our main focus is on the dynamic, heterogeneous environment and use of the knowledge base where ontologies and rules are combined thus extending OWL DL expressiveness. The merging phase is supported by converters, because there are presently no reasoning engines available to support the latest SWRL proposal. This paper also presents several approaches to rule representation on the Semantic Web, from SWRL, ORL to RuleML.

Author

*Information Systems; Knowledge Representation; Languages; Computer Networks; Protocol (Computers); Information Transfer*

**20070003634** Department of Defense, Washington, DC USA

**Network Centric Warfare**

Jul 27, 2001; 201 pp.; In English

Report No.(s): AD-A459663; No Copyright; Avail.: CASI: [A10](#), Hardcopy

No abstract available

*Electronic Warfare; Computer Networks*

**20070003692** California Univ., Santa Cruz, CA USA

**Collision Avoidance and Resolution Multiple Access (CARMA)**

Jan 1998; 31 pp.; In English

Contract(s)/Grant(s): DAAB07-95-C-D157; DAAH04-96-1-0210

Report No.(s): AD-A459699; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Collision Avoidance; Multiple Access; Software Engineering; Computer Programs; Network Control*

**20070003731** NASA Stennis Space Center, Stennis Space Center, MS, USA

**Sensor Networking Testbed with IEEE 1451 Compatibility and Network Performance Monitoring**

Gurkan, Deniz; Yuan, X.; Benhaddou, D.; Figueroa, F.; Morris, Jonathan; [2007]; 4 pp.; In English; Sensors Applications Symposium/IEEE, 6-8 Feb. 2007, San Diego, CA, USA; Original contains color and black and white illustrations

Contract(s)/Grant(s): NNS04AB67T; NNS06ZBA001C

Report No.(s): SSTI-2200-0078; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003731>; Avail.: CASI: [A01](#), Hardcopy

Design and implementation of a testbed for testing and verifying IEEE 1451-compatible sensor systems with network performance monitoring is of significant importance. The performance parameters measurement as well as decision support systems implementation will enhance the understanding of sensor systems with plug-and-play capabilities. The paper will present the design aspects for such a testbed environment under development at University of Houston in collaboration with NASA Stennis Space Center - SSST (Smart Sensor System Testbed).

Author

*Compatibility; Sensors; Multisensor Fusion; Computer Networks; Network Analysis*

**20070003812** Mitre Corp., Bedford, MA USA

**Security Guards for the Future Web**

Sep 2004; 154 pp.; In English

Report No.(s): AD-A459589; MTR-04W0000092; No Copyright; Avail.: CASI: [A08](#), Hardcopy

No abstract available

*Security; Computer Security; World Wide Web*

**20070003825** Carnegie-Mellon Univ., Pittsburgh, PA USA

**'Black-Box' Probabilistic Verification**

Sep 2004; 18 pp.; In English

Contract(s)/Grant(s): DAAD19-01-1-0485

Report No.(s): AD-A458539; CMU-CS-04-162; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Probability Theory; Program Verification (Computers)*

**20070003830** Idaho Univ., Moscow, ID USA

**Implementation-Oriented Secure Architectures**

Conte de Leon, Daniel; Alves-Foss, Jim; Oman, Paul W; Jan 2006; 11 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): F30602-02-1-0178

Report No.(s): AD-A459080; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459080>; Avail.: CASI: [A03](#),

Hardcopy

We propose a framework for constructing secure systems at the architectural level. This framework is composed of an implementation-oriented formalization of a system's architecture, which we call the formal implementation model, along with a method for the construction of a system based on elementary analysis, implementation, and synthesis steps. Using this framework, security vulnerabilities can be avoided by constraining the architecture of a system to those architectures that can be rigorously argued to implement all corresponding functional and security requirements, and no other. Furthermore, the framework enables the verification and validation of system correctness by enforcing traceability of final system components to their corresponding design, architecture, and requirement work products.

DTIC

*Architecture (Computers); Computer Information Security*

**20070003857** Washington Univ., Seattle, WA USA

**A Set-Covering Approach for Modeling Attacks on Key Predistribution in Wireless Sensor Networks**

Tague, Patrick; Lee, Jooyoung; Poovendran, Radha; Jan 2005; 7 pp.; In English

Contract(s)/Grant(s): DAAD19-01-2-0011; N00014-04-1-0479

Report No.(s): AD-A459109; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459109>; Avail.: CASI: [A02](#),

Hardcopy

We study attacks by adversaries which aim to compromise links in a wireless sensor network through various techniques which are modeled using the set-covering problem. We discuss the effects of the attacks and present techniques which can be used to mitigate the effects of the attacks. Furthermore, we analyze the performance of various key predistribution schemes with and without the mitigation techniques.

DTIC

*Communication Networks; Wireless Communication; Models; Sensors*

**20070004723** Naval Postgraduate School, Monterey, CA USA

**Joint Fire Support in 2020: Development of a Future Joint Fires Systems Architecture for Immediate, Unplanned Targets**

Dec 2006; 277 pp.; In English

Report No.(s): AD-A459793; NPS-97-07-002; No Copyright; Avail.: CASI: [A13](#), Hardcopy

No abstract available

*Architecture (Computers); Fires; Targets*

**20070004826** Michigan Univ., Ann Arbor, MI USA

**Smart Register Files for High-Performance Microprocessors**

Jun 28, 1999; 52 pp.; In English

Contract(s)/Grant(s): DABT63-97-C-0047

Report No.(s): AD-A459519; No Copyright; Avail.: Defense Technical Information Center (DTIC)

No abstract available

*Microprocessors; Registers (Computers); Files; Computer Systems Programs*

**20070004828** Mitre Corp., Bedford, MA USA

**Intrusion Detection System Requirements. A Capabilities Description in Terms of the Network Monitoring and Assessment Module of CSAP21**

Sep 2000; 33 pp.; In English

Contract(s)/Grant(s): F19628-C-99-0001

Report No.(s): AD-A459552; MP-00B0000046; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Warning Systems; Intrusion Detection (Computers); Computer Networks; Requirements*

**20070004830** Mitre Corp., Bedford, MA USA

**Intrusion Reaction: Recommendations for Obtaining Reaction Capabilities**

Sep 1998; 38 pp.; In English

Contract(s)/Grant(s): F19628-94-C-0001

Report No.(s): AD-A459533; MTR-98B0000066; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Intrusion Detection (Computers); Computer Networks; Command and Control; Computer Information Security*

**20070004831** Massachusetts Inst. of Tech., Cambridge, MA USA

**Scaling Results for the Variational Approach to Edge Detection**

Jan 1991; 38 pp.; In English

Contract(s)/Grant(s): AFOSR-89-0279B; DAAL03-86-K-0171

Report No.(s): AD-A459531; LIDS-P-2016; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Edge Detection; Calculus of Variations*

**20070004926** Massachusetts Inst. of Tech., Cambridge, MA USA

**State Feedback I(sub 1)-Optimal Controllers can be Dynamic**

Aug 14, 1991; 12 pp.; In English

Contract(s)/Grant(s): F33615-90-C-3608

Report No.(s): AD-A459573; LIDS-P-2051; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Controllers; Dynamical Systems; Feedback Control; Optimal Control*

**20070005283** Idaho Univ., Moscow, ID USA

**How to Prevent Type-Flaw Guessing Attacks on Password Protocols**

Malladi, Sreekanth; Alves-Foss, Jim; Jan 2003; 12 pp.; In English

Contract(s)/Grant(s): F30602-2-1-0178

Report No.(s): AD-A459892; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459892>; Avail.: CASI: [A03](#),

Hardcopy

A message in a protocol is said to have a type-flaw if it was created with an intended type, but is later received and treated as a different type. A type-flaw guessing attack is an attack in which a type-flaw is induced in a protocol to enable a password guessing attack to occur. Heather, Lowe, and Schneider in 'How to Prevent Type Flaw Attacks on Security Protocols' (July 2000) prove that attacks that use type-flaws can be prevented if honest agents tag messages with their intended types. However, their tagging scheme cannot be used in a password protocol since it allows a guess to be directly verified using the tags inside

password encryptions. In this paper, the authors prove that following a modification of Heather et al.'s scheme, most type-flaw guessing attacks can still be prevented.

DTIC

*Access Control; Computer Information Security; Computer Networks; Cryptography; Defects; Marking; Numerical Control; Prevention; Protocol (Computers)*

**20070005325** Massachusetts Inst. of Tech., Cambridge, MA USA

**Optimally Robust Redundancy Relations for Failure Detection in Uncertain Systems**

Lou, Xi-Cheng; Willisky, Alan S; Verghese, George C; Mar 1985; 34 pp.; In English

Contract(s)/Grant(s): N00014-77-C-0224

Report No.(s): AD-A459971; MIT-LIDS-P-1447; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459971>; Avail.:

CASI: **A03**, Hardcopy

All failure detection methods are based, either explicitly or implicitly, on the use of redundancy, i.e. on (possibly dynamic) relations among the measured variables. The robustness of the failure detection process consequently depends to a great degree on the reliability of the redundancy relations, which in turn is affected by the inevitable presence of model uncertainties. In this paper, we address the problem of determining redundancy relations that are optimally robust, in a sense that includes several major issues of importance in practical failure detection, and that provides a significant amount of intuition concerning the geometry of robust failure detection. We also give a procedure, involving the construction of a single matrix and its singular value decomposition, for the determination of a complete sequence of redundancy relations, ordered in terms of their level of robustness. This procedure also provides the basis for comparing levels of robustness in redundancy provided by different sets of sensors.

DTIC

*Detection; Failure; Redundancy; Uncertain Systems*

**20070005346** Carnegie-Mellon Univ., Pittsburgh, PA USA

**CORES - Complex Organizational Reasoning System**

Kowalchuck, Michael; Singh, Siddhartha; Carley, Kathleen M; Sep 2004; 64 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAAH01-03-C-R169

Report No.(s): AD-A460017; CMU-ISRI-04-131; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460017>; Avail.:

CASI: **A04**, Hardcopy

In Operations Other Than War (OOTW), there is a need for intelligence analysts and military planners to anticipate the actions and responses of complex networked organizations such as terrorist groups, nation-states and key actors (such a high-level leaders). The Complex Organizational Reasoning System (CORES) is a multi-agent network simulation model that uses organizational, social, political and economic dynamics to generate predictions of the likely actions and responses of these actors when involved in an adversarial context.

DTIC

*Complex Systems; Cores*

**20070005365** California Univ., Santa Cruz, CA USA

**Floor Acquisition Multiple Access with Collision Resolution**

Garces, Rodrigo; Garcia-Luna-Aceves, J J; Jan 1996; 12 pp.; In English

Contract(s)/Grant(s): DAAB07-95-C-D157

Report No.(s): AD-A459700; No Copyright; Avail.: CASI: **A03**, Hardcopy

Collision avoidance and resolution multiple access (CARMA) protocols are presented and analyzed. These protocols use a floor acquisition multiple access (FAMA) strategy based on carrier sensing, together with collision resolution of floor requests (RTS) based on a tree-splitting algorithm. For analytical purposes, an upper bound is derived for the average costs of resolving collisions of floor requests using the tree-splitting algorithm. This bound is then applied to the computation of the average channel utilization in a fully connected network with a large number of stations. Under light-load conditions, CARMA protocols achieve the same average throughput as FAMA protocols. It also is shown that, as the arrival rate of RTSs increases, the throughput achieved by CARMA protocols is close to the maximum throughput that any FAMA protocol can achieve if propagation delays and the control packets used to acquire the floor are much smaller than the data packet trains sent by stations. Simulation results validate the simplifying approximations made in the analytical mode. The authors' analysis

results indicate that collision resolution makes floor acquisition multiple access much more effective.

DTIC

*Collision Avoidance; Collisions; Computer Networks; Floors; Multiple Access; Packet Switching; Protocol (Computers); Wireless Communication*

**20070005366** California Univ., Santa Cruz, CA USA

**A Near-Optimum Channel Access Protocol Based on Incremental Collision Resolution and Distributed Transmission Queues**

Garces, Rodrigo; Garcia-Luna-Aceves, J J; Jan 1998; 9 pp.; In English

Contract(s)/Grant(s): DAAB07-95-C-D157; DAAH04-96-1-0210

Report No.(s): AD-A459701; No Copyright; Avail.: CASI: [A02](#), Hardcopy

The authors introduce a new stable multiple access protocol for broadcast channels shared by multiple stations, which they call the incremental collision resolution multiple access (ICRMA) protocol. ICRMA dynamically divides the channel into cycles of variable length; each cycle consists of a contention period and a queue-transmission period. The queue-transmission period is a variable-length train of packets that are transmitted by stations that have been added to the distributed transmission queue by successfully completing a collision-resolution round in a previous contention period. During the contention period, stations with one or more packets to send compete for the right to be added to the data-transmission queue using a deterministic tree-splitting algorithm. A single round of collision resolution is allowed in each contention period. Analytical results show that collision resolution in ICRMA is much more efficient than DQRAP's. Simulation and analytical results show that ICRMA's throughput is within 5% of the throughput achieved by the ideal channel access protocol based on a distributed transmission queue and incremental collision resolution.

DTIC

*Broadcasting; Collision Avoidance; Collisions; Local Area Networks; Multiple Access; Packet Switching; Protocol (Computers); Wireless Communication*

**20070005421** North Carolina State Univ., Raleigh, NC USA

**Scalable Authorization in Role-Based Access Control Using Negative Permissions and Remote Authorization**

Shah, Arpan P; Jan 2003; 79 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): F30602-00-C-0068

Report No.(s): AD-A460113; No Copyright; Avail.: CASI: [A05](#), Hardcopy

Administration of access control is a major issue in large-scale computer systems. Many such computer systems proposed over recent years aim at reducing the effort required to govern access. Role-based access control (RBAC) systems are a huge benefit to this point. They reduce the tasks of an administrator or authorities when users take on different roles in an organization and need to be assigned different access rights or privileges based on these roles. RBAC is a very expressive and flexible access control mechanism that makes it possible to have security policies based on the principle of least privilege, static and dynamic separation of duties, conflicts between roles and permissions, and many more. This research proposes the use of negative permissions and remote authorization for improving the scalability of an RBAC implementation.

DTIC

*Access Control; Numerical Control*

**20070005501** Mitre Corp., Bedford, MA USA

**Exploring Speech-Enabled Dialogue with the Galaxy Communicator Infrastructure**

Bayer, Samuel; Doran, Christine; George, Bryan; Jan 2001; 4 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAAB07-99-C201

Report No.(s): AD-A460333; No Copyright; Avail.: CASI: [A01](#), Hardcopy

This demonstration will motivate some of the significant properties of the Galaxy Communicator Software Infrastructure and show how they support the goals of the DARPA Communicator program.

DTIC

*Galaxies; Interprocessor Communication; Speech*



**20070005521** Carnegie-Mellon Univ., Pittsburgh, PA USA

**Defense in Depth: Foundation for Secure and Resilient IT Enterprises**

May, Christopher J; Hammerstein, Josh; Mattson, Jeff; Rush, Kristopher; Sep 2006; 366 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): FA8721-05-C-0003

Report No.(s): AD-A460375; CMU/SEI-2006-HB-003; No Copyright; Avail.: CASI: [A16](#), Hardcopy

The Defense-in-Depth Foundational Curriculum is designed for students, ranging from system administrators to CIOs, who have some technical understanding of information systems and want to delve into how technical assurance issues affect their entire organizations. The course material takes a big-picture view while also reinforcing concepts presented with some details about implementation. Therefore, this course can be a useful pursuit for system administrators and IT security personnel who would like to step up to the management level. It also can provide a refresher for IT managers and executives who want to stay up to date on the latest technological threats facing their enterprises. The curriculum consists of eight main modules: (1) Compliance Management, (2) Risk Management, (3) Identity Management, (4) Authorization Management, (5) Accountability Management, (6) Availability Management, (7) Configuration Management, and (8) Incident Management. The document also contains an introduction, 'Foundations of Information Assurance,' which focuses on how the overarching concepts of confidentiality, integrity, and availability can lead to a comprehensive security strategy.

DTIC

*Depth; Information Systems; Security*

**20070005523** Product Data Integration Technology, Long Beach, CA USA

**ARN II Program, Final Technical Report**

O'Connell, Michael H; Dec 8, 2006; 157 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): SP0103-02-D-0016-0003

Report No.(s): AD-A460386; No Copyright; Avail.: CASI: [A08](#), Hardcopy

The DLA and DSCP sponsored Apparel Research Network (ARN) program's primary goals are to reduce total supply chain costs and inventory levels while minimizing retail shortages. The foundation for the achievement of these goals is the existence of a web accessible database that provides total supply chain asset visibility to all functions that make decisions or consume apparel items. PDIT's ARN assignments were to create the web accessible database, create decision support tools that utilize this database, and develop tools for use by apparel manufacturers and bill-and-hold contractors that capture the data needed to fill voids in the total supply chain asset visibility picture. PDIT initiated three key projects to address these assignments. The ARN Asset Visibility System database (AAVS DataMart) was developed to create the central repository for total supply chain asset visibility. VIM (Virtual Item Manager) was created to provide visibility and decision support tools. VIM-ASAP (ARN Supply-chain Automated Processing) was developed to support apparel manufacturers and bill-and-hold contractors while capturing order and shipment status data needed to make more informed decisions.

DTIC

*Clothing; Computer Storage Devices; Data Storage; Inventory Controls*

**63**

**CYBERNETICS, ARTIFICIAL INTELLIGENCE AND ROBOTICS**

Includes feedback and control theory, information theory, machine learning, and expert systems. For related information see also *54 Man/System Technology and Life Support*.

**20070003502** University of Southern California, Marina del Rey, CA USA

**Virtual Rapport**

Gratch, Jonathan; Okhmatovskaia, Anna; Lamothe, Francois; Marsella, Stacy; Morales, Mathieu; Werf, R J van der; Morency, Louis-Philippe; Jan 2006; 15 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459210; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459210>; Avail.: CASI: [A03](#), Hardcopy

Effective face-to-face conversations are highly interactive. Participants respond to each other, engaging in nonconscious behavioral mimicry and backchanneling feedback. Such behaviors produce a subjective sense of rapport and are correlated with effective communication, greater liking and trust, and greater influence between participants. Creating rapport requires a tight sense-act loop that has been traditionally lacking in embodied conversational agents. Here we describe a system, based on psycholinguistic theory, designed to create a sense of rapport between a human speaker and virtual human listener. We

provide empirical evidence that it increases speaker fluency and engagement.

DTIC

*Human-Computer Interface; Psycholinguistics*

**20070003504** University of Southern California, Marina del Rey, CA USA

**Commonsense Psychology and the Functional Requirements of Cognitive Models**

Gordon, Andrew S; Jul 2005; 8 pp.; In English

Report No.(s): AD-A459197; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459197>; Avail.: CASI: [A02](#),  
Hardcopy

In this paper we argue that previous models of cognitive abilities (e.g. memory, analogy) have been constructed to satisfy functional requirements of implicit commonsense psychological theories held by researchers and nonresearchers alike. Rather than working to avoid the influence of commonsense psychology in cognitive modeling research, we propose to capitalize on progress in developing formal theories of commonsense psychology to explicitly define the functional requirements of cognitive models. We present a taxonomy of 16 classes of cognitive models that correspond to the representational areas that have been addressed in large-scale inferential theories of commonsense psychology. We consider the functional requirements that can be derived from inferential theories for one of these classes, the processes involved in human memory. We argue that the breadth coverage of commonsense theories can be used to better evaluate the explanatory scope of cognitive models, as well as facilitate the investigation of larger-scale cognitive systems.

DTIC

*Functional Design Specifications; Psychology*

**20070003506** University of Southern California, Marina del Rey, CA USA

**Dealing with Out of Domain Questions in Virtual Characters**

Patel, Ronakkumar; Leuski, Anton; Traum, David; Jan 2006; 13 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459219; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459219>; Avail.: Defense Technical Information Center (DTIC)

We consider the problem of designing virtual characters that support speech-based interactions in a limited domain. Previously we have shown that classification can be an effective and robust tool for selecting appropriate in-domain responses. In this paper, we consider the problem of dealing with out-of-domain user questions. We introduce a taxonomy of out-of-domain response types. We consider three classification architectures for selecting the most appropriate out-of-domain responses. We evaluate these architectures and show that they significantly improve the quality of the response selection making the user's interaction with the virtual character more natural and engaging.

DTIC

*Artificial Intelligence; Symbols*

**20070003509** University of Southern California, Marina del Rey, CA USA

**Automated Commonsense Reasoning About Human Memory**

Swanson, Reid; Gordon, Andrew S; Jan 2005; 7 pp.; In English

Report No.(s): AD-A459177; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459177>; Avail.: CASI: [A02](#),  
Hardcopy

Metacognitive reasoning in computational systems will be enabled by the development of formal theories that have broad coverage over mental states and processes as well as inferential competency. In this paper we evaluate the inferential competency of an existing formal theory of commonsense human memory by attempting to use it to validate the appropriateness of a commonsense memory strategy. We formulate a particular memory strategy (to create an associated obstacle) as a theorem in first-order predicate calculus. We then attempt to validate this strategy by showing that it is entailed by the axioms of the theory we evaluated. These axioms were encoded into the syntax of an automated reasoning system, which was used to automatically generate inferences and search for formal proofs.

DTIC

*Memory*

**20070003516** New York Univ., New York, NY USA

**A Unified Construction of the Glushkov, Follow, and Antimirov Automata**

Allauzen, Cyril; Mohri, Mehryar; Jan 2006; 17 pp.; In English

Contract(s)/Grant(s): W23RYX-3275-N605

Report No.(s): AD-A459063; NYU-TR-2006-880; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459063>;  
Avail.: CASI: A03, Hardcopy

Many techniques have been introduced in the last few decades to create epsilon-free automata representing regular expressions: Glushkov automata, the so-called follow automata, and Antimirov automata. This paper presents a simple and unified view of all these epsilon-free automata both in the case of unweighted and weighted regular expressions. It describes simple and general algorithms with running time complexities at least as good as that of the best previously known techniques, and provides concise proofs. The construction methods are all based on two standard automata algorithms: epsilon-removal and minimization. This contrasts with the multitude of complicated and special-purpose techniques and proofs put forward by others to construct these automata. Our analysis provides a better understanding of epsilon-free automata representing regular expressions: they are all the results of the application of some combinations of epsilon-removal and minimization to the classical Thompson automata. This makes it straightforward to generalize these algorithms to the weighted case, which also results in much simpler algorithms than existing ones. For weighted regular expressions over a closed semiring, we extend the notion of follow automata to the weighted case. We also present the first algorithm to compute the Antimirov automata in the weighted case.

DTIC

*Automata Theory; Construction*

**20070003521** University of Southern California, Marina del Rey, CA USA

**Reflective Tutoring for Immersive Simulation**

Lane, H C; Core, Mark; Gomboc, Dave; Solomon, Steve; van Lent, Michael; Rosenberg, Milton; Jan 2006; 4 pp.; In English  
Report No.(s): AD-A459150; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459150>; Avail.: CASI: A01,  
Hardcopy

Reflection is critically important for time-constrained training simulations that do not permit extensive tutor-student interactions during an exercise. Here, we describe a reflective tutoring system for a virtual human simulation of negotiation. The tutor helps students review their exercise, elicits where and how they could have done better, and uses explainable artificial intelligence (XAI) to allow students the chance to ask questions about the virtual human's behavior.

DTIC

*Artificial Intelligence; Computer Assisted Instruction; Simulation*

**20070003522** University of Southern California, Marina del Rey, CA USA

**Explainable Artificial Intelligence for Training and Tutoring**

Lane, H C; Core, Mark G; van Lent, Michael; Solomon, Steve; Gomboc, Dave; Jan 2005; 4 pp.; In English  
Report No.(s): AD-A459148; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459148>; Avail.: CASI: A01,  
Hardcopy

This paper describes an Explainable Artificial Intelligence (XAI) tool that allows entities to answer questions about their activities within a tactical simulation. We show how XAI can be used to provide more meaningful after-action reviews and discuss ongoing work to integrate an intelligent tutor into the XAI framework.

DTIC

*Artificial Intelligence; Computer Assisted Instruction; Education*

**20070003531** SimSurgery, Oslo, Norway

**Development of a Portable Simulator for Training Robot Assisted Surgery**

Dec 2006; 11 pp.; In English  
Contract(s)/Grant(s): W81XWH-06-1-0161  
Report No.(s): AD-A459724; No Copyright; Avail.: CASI: A03, Hardcopy

No abstract available

*Robots; Surgery; Training Simulators*

**20070003547** Massachusetts Inst. of Tech., Cambridge, MA USA

**Speech Synthesis by Rule: An Acoustic Domain Approach**

Jun 1967; 215 pp.; In English  
Report No.(s): AD-A459694; No Copyright; Avail.: CASI: A10, Hardcopy

No abstract available

*Speech; Computer Techniques*

**20070003635** SRI International Corp., Menlo Park, CA USA

**Monitored Execution of Robot Plans Produced by Strips**

Apr 1971; 7 pp.; In English

Contract(s)/Grant(s): NASW-2164

Report No.(s): AD-A459662; SRI-TR-55; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Robots; Artificial Intelligence; Computer Programs*

**20070003637** SRI International Corp., Menlo Park, CA USA

**A Mobile Automaton: An Application of Artificial Intelligence Techniques**

Jan 1969; 31 pp.; In English

Report No.(s): AD-A459660; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Artificial Intelligence; Automation*

**20070003694** Minnesota Univ., Minneapolis, MN USA

**Differential Invariant Signatures and Flows in Computer Vision: A Symmetry Group Approach**

Dec 10, 1993; 46 pp.; In English

Contract(s)/Grant(s): DMS-9204192; DMS-8811084

Report No.(s): AD-A459617; LIDS-P-2219; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Signatures; Symmetry; Computer Vision*

**20070003704** Army Tank-Automotive Research and Development Command, Warren, MI USA

**Robotic Follower Experimentation Results**

Jaczkowski, Jeffrey J; Jan 2003; 8 pp.; In English

Report No.(s): AD-A459224; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459224>; Avail.: CASI: [A02](#), Hardcopy

Robotics is a fundamental enabling technology required to meet the U.S. Army's vision to be a strategically responsive force capable of domination across the entire spectrum of conflict. The U. S. Army Research, Development and Engineering Command (RDECOM) Tank Automotive Research, Development & Engineering Center (TARDEC), in partnership with the U.S. Army Research Laboratory, is developing a leader-follower capability for Future Combat Systems. The Robotic Follower Advanced Technology Demonstration (ATD) utilizes a manned leader to provide a high-level proofing of the follower's path, which operates with minimal user intervention. This paper will give a programmatic overview and discuss both the technical approach and operational experimentation results obtained during testing conducted at Ft. Bliss, New Mexico in February-March 2003.

DTIC

*Robotics; Technology Utilization; Research and Development; Defense Program*

**20070003705** University of Southern California, Marina del Rey, CA USA

**Cognitive and Emotive Empathy in Discourse: Towards an Integrated Theory of Mind**

Martinovsky, Bilyana; CogSci 2006; Jan 2006, pp. 1783-1788; In English; Annual Conference of the Cognitive Science Society (28th), CogSci 2006., 26-29 Jul. 2006, Vancouver, Canada

Report No.(s): AD-A459214; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459214>; Avail.: CASI: [A02](#), Hardcopy

This paper presents an empirical qualitative analysis of eliciting, giving and receiving empathy in discourse. The study identifies discursive and linguistic features, which realize cognitive, emotive, parallel and reactive empathy and suggests that imitation, simulation and representation could be non-exclusive processes in Theory of Mind reasoning.

DTIC

*Psycholinguistics; Brain*

**20070003728** University of Southern California, Marina del Rey, CA USA

**Evaluating Social Causality and Responsibility Models: An Initial Report**

Mao, Wenji; Gratch, Jonathan; Jan 2005; 16 pp.; In English

Report No.(s): AD-A459213; ICT-TR-03-2005; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459213>; Avail.:

CASI: [A03](#), Hardcopy

Intelligent virtual agents are typically embedded in a social environment and must reason about social cause and effect. Social causal reasoning is qualitatively different from physical causal reasoning that underlies most current intelligent systems. Besides physical causality, the assessments of social cause emphasize epistemic variables including intentions, foreknowledge and perceived coercion. Modeling the process and inferences of social causality can enrich believability and cognitive capabilities of social intelligent agents. In this report, we present a general computational model of social causality and responsibility, and empirical results of a preliminary evaluation of the model in comparison with several other approaches.

DTIC

*Social Factors; Embedding; Enrichment*

**20070003814** Massachusetts Inst. of Tech., Cambridge, MA USA

**A Biological Model of Object Recognition with Feature Learning**

May 21, 2003; 69 pp.; In English

Contract(s)/Grant(s): N00014-00-1-0907; N00014-02-1-0915

Report No.(s): AD-A459618; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Pattern Recognition; Feature Identification and Location Exper; Education; Bionics*

**20070003822** SRI International Corp., Menlo Park, CA USA

**IDA: An Intelligent Data Access Program**

Jun 1977; 40 pp.; In English

Contract(s)/Grant(s): DAAG29-76-C-0012

Report No.(s): AD-A458709; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Artificial Intelligence; Computer Programs; Data Processing*

**20070003834** Idaho Univ., Moscow, ID USA

**Crawler Control Strategies and Their Influence on Mine Finding Capabilities**

Welling, Douglas M; Edwards, Dean B; Jan 2005; 5 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-03-1-0634; N00014-03-1-0848

Report No.(s): AD-A459055; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459055>; Avail.: CASI: [A01](#),

Hardcopy

Autonomous underwater vehicles (AUVs) have found a permanent application doing mine countermeasures (MCM). Autonomous crawlers can be used to perform mine search patterns, but in doing so can encounter many problems, one of which is obstacles. For a crawler, knowing how to avoid obstacles and what to do after avoiding obstacles is important to performing searches quickly and effectively. A fuzzy logic controller was developed to perform a comparison between point to point control and trajectory control with mine finding capability being the basis for comparison. A random walk control method was also simulated to show how it compared to the other methods. Search times were found to be similar, but the trajectory control was found to be more reliable at finding a larger percentage of mines. The trajectory control was optimized to more closely follow the trajectory and a comparison was performed between the baseline and optimized trajectory controls. After optimization, the crawler was able to stay on a straight line path for a larger percentage of the search time. This resulted in better mine finding performance than the preoptimization trajectory control.

DTIC

*Underwater Vehicles; Controllers; Mine Detectors*

**20070003841** SRI International Corp., Menlo Park, CA USA

**A Formal Theory of Knowledge and Action**

Moore, Robert C; Feb 1984; 88 pp.; In English

Contract(s)/Grant(s): F49620-82-K-0031

Report No.(s): AD-A458917; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458917>; Avail.: CASI: [A05](#),

Hardcopy

Most work on planning and problem solving within the field of artificial intelligence assumes that the agent has complete knowledge of all relevant aspects of the problem domain and problem situation. In the real world, however, planning and acting must frequently be performed without complete knowledge. This imposes two additional burdens on an intelligent agent trying to act effectively. First, when the agent entertains a plan for achieving some goal, he must consider not only whether the physical prerequisites of the plan have been satisfied, but also whether he has all the information necessary to carry out the plan. Second, he must be able to reason about what he can do to obtain necessary information that he lacks. In this paper, we present a theory of action in which these problems are taken into account, showing how to formalize both the knowledge prerequisites of action and the effects of action on knowledge.

DTIC

*Artificial Intelligence; Formalism*

**20070004712** Army Tank-Automotive Research and Development Command, Warren, MI USA

**Robotic Technologies for the Future Force - The ART STO**

Apr 11, 2005; 8 pp.; In English

Report No.(s): AD-A459733; 2005-01-0839; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Robotics; Technology Utilization*

**20070004717** Army Tank-Automotive and Armaments Command, Warren, MI USA

**Rudimentary Force Feedback for Safe Guarded Teleoperation of Unmanned Vehicles: A Simulations and Training Approach**

Mar 21, 2006; 15 pp.; In English

Report No.(s): AD-A459765; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Unmanned Ground Vehicles; Teleoperators; Feedback; Simulation; Education*

**20070004778** Georgia Inst. of Tech., Atlanta, GA USA

**Geometric Variational Methods for Controlled Active Vision**

Tannenbaum, Allen; Aug 2006; 25 pp.; In English

Contract(s)/Grant(s): DAAD19-02-1-0378

Report No.(s): AD-A459371; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459371>; Avail.: CASI: [A03](#),

Hardcopy

The key objective of this project is the use of visual information in a feedback loop, the underlying problem of controlled active vision. The problem of controlled active vision, and in particular visual tracking requires the integration of techniques from control theory, signal processing, and computer vision. For some time now the role of control theory in vision has been recognized. In particular, the branches of control that deal with system uncertainty, namely adaptive and robust, have been proposed as essential tools in coming to grips with the problems of both machine and biological vision. Visual tracking provides a fundamental example of the need for controlled active vision. While tracking in the presence of a disturbance is a classical control problem, visual tracking raises new issues. First since cameras are part of the system, one must consider the nature of the disturbance from imaging sensors. The feedback signal may require some interpretation of the image, for example segmentation of a target from its background, or an inference about an occluder. In the project, we expressly emphasize active vision, because the result may be viewpoint dependent. In particular, calibration may influence the control law. And finally, as visual processing becomes more complex, the issue of processing time arises. Each of these problems must be answered before target detection, and visually-mediated control can be provided for advanced weapon systems.

DTIC

*Computer Vision; Feedback; Loops; Control Theory*

**20070004795** University of Southern California, Marina del Rey, CA USA

**Building Explainable Artificial Intelligence Systems**

Core, Mark G; Lane, H C; van Lent, Michael; Gomboc, Dave; Solomon, Steve; Rosenberg, Milton; Jan 2006; 9 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459166; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459166>; Avail.: CASI: [A02](#),

Hardcopy

As artificial intelligence (AI) systems and behavior models in military simulations become increasingly complex, it has been difficult for users to understand the activities of computer-controlled entities. Prototype explanation systems have been added to simulators, but designers have not heeded the lessons learned from work in explaining expert system behavior. These new explanation systems are not modular and not portable; they are tied to a particular AI system. In this paper, we present a modular and generic architecture for explaining the behavior of simulated entities. We describe its application to the Virtual Humans, a simulation designed to teach soft skills such as negotiation and cultural awareness.

DTIC

*Artificial Intelligence; Expert Systems; Numerical Control; Graphical User Interface*

**20070004824** University of Southern California, Los Angeles, CA USA

**Toward a Multi-Robot Coordination Formalism**

Jan 2004; 12 pp.; In English

Contract(s)/Grant(s): F30602-00-2-0573

Report No.(s): AD-A459516; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Coordination; Formalism; Robots*

**20070004919** Baker (Wilfred) Engineering, Inc., San Antonio, TX USA

**A Detailed Look at Scale and Translation Invariance in a Hierarchical Neural Model of Visual Object Recognition**

Aug 2002; 15 pp.; In English

Contract(s)/Grant(s): N00014-00-1-0907; IIS-0085836

Report No.(s): AD-A459489; AL MEMO 2002-011; CBCL MEMO 218; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Invariance; Pattern Recognition; Translating; Neural Nets; Mathematical Models*

**20070004920** University of Southern California, Los Angeles, CA USA

**Adaptive Division of Labor in Large-Scale Minimalist Multi-Robot Systems**

Jan 2003; 7 pp.; In English

Contract(s)/Grant(s): F30602-00-2-0573; EIA-0121141

Report No.(s): AD-A459488; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Labor; Robots; Robotics*

**20070004921** Baker (Wilfred) Engineering, Inc., San Antonio, TX USA

**Investigating Shape Representation in Area V4 with HMAX: Orientation and Grating Selectivities**

Sep 2003; 16 pp.; In English

Contract(s)/Grant(s): N00014-00-1-0907; IIS-0085836

Report No.(s): AD-A459487; AL MEMO 2003-021; CBCL MEMO 231; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Shapes; Visual Fields; Gratings (Spectra); Mathematical Models; Representations*

**20070005050** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**A Model-Driven Architecture Approach for Modeling, Specifying and Deploying Policies in Autonomous and Autonomic Systems**

Pena, Joaquin; Hinchey, Michael G.; Sterritt, Roy; Ruiz-Cortes, Antonio; Resinas, Manuel; [2006]; 9 pp.; In English; 2nd IEEE International Conference on Dependable, Autonomic and Secure Computing (DASC 2006), 29 Sept. - 1 Oct. 2006, Indianapolis, IN, USA; Original contains black and white illustrations; Copyright; Avail.: CASI: [A02](#), Hardcopy

Autonomic Computing (AC), self-management based on high level guidance from humans, is increasingly gaining momentum as the way forward in designing reliable systems that hide complexity and conquer IT management costs. Effectively, AC may be viewed as Policy-Based Self-Management. The Model Driven Architecture (MDA) approach focuses on building models that can be transformed into code in an automatic manner. In this paper, we look at ways to implement Policy-Based Self-Management by means of models that can be converted to code using transformations that follow the MDA philosophy. We propose a set of UML-based models to specify autonomic and autonomous features along with the necessary

procedures, based on modification and composition of models, to deploy a policy as an executing system.

Author

*Autonomy; Models; Architecture (Computers); Policies; Systems Engineering*

**20070005216** Massachusetts Inst. of Tech., Cambridge, MA USA

**Biologically Plausible Neural Model for the Recognition of Biological Motion and Actions**

Giese, Martin A; Poggio, Tomaso; Aug 2002; 27 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-00-1-0907; IIS-0085836

Report No.(s): AD-A459682; AI-MEMO-2002-012; CBCL-MEMO-219; No Copyright; ONLINE:

<http://hdl.handle.net/100.2/ADA459682>; Avail.: CASI: A03, Hardcopy

The visual recognition of complex movements and actions is crucial for communications and survival in many species. Remarkable sensitivity and robustness of biological motion perception have been demonstrated in psychophysical experiments. In recent years, neurons and cortical areas involved in action recognition have been identified in neurophysiological and imaging studies. However, the detailed neural mechanisms that underlie the recognition of such complex movement patterns remain largely unknown. This paper reviews the experimental results and summarizes them in terms of a biologically plausible neural model. The model is based on the key assumption that action recognition is based on learned prototypical patterns and exploits information from the ventral and dorsal pathway. The model makes specific predictions that motivate new experiments.

DTIC

*Computer Vision; Models; Motion*

**20070005232** Army Tank-Automotive Research and Development Command, Warren, MI USA

**Robotic Follower Experimentation Results**

Jaczkowski, Jeffrey J; Jun 12, 2003; 8 pp.; In English

Report No.(s): AD-A459814; TARDEC-13868; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459814>; Avail.:

CASI: A02, Hardcopy

Robotics is a fundamental enabling technology required to meet the U.S. Army's vision to be a strategically responsive force capable of domination across the entire spectrum of conflict. The U.S. Army Research, Development and Engineering Command (RDECOM) Tank Automotive Research, Development and Engineering Center (TARDEC), in partnership with the U.S. Army Research Laboratory, is developing a leader-follower capability for Future Combat Systems. The Robotic Follower Advanced Technology Demonstration (ATD) utilizes a manned leader to provide a high-level proofing of the follower's path, which operates with minimal user intervention. This paper will give a programmatic overview and discuss both the technical approach and operational experimentation results obtained during testing conducted at Ft. Bliss, New Mexico in February-March 2003.

DTIC

*Combat; Robotics; Weapon Systems*

**20070005303** Massachusetts Univ., Amherst, MA USA

**Designing a Self-Stabilizing Robot for Dynamic Mobile Manipulation**

Deegan, Patrick; Thibodeau, Bryan J; Grupen, Roderic; Jan 2006; 7 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): W911NF-05-1-0396; NNJ05HB61A-5710001842

Report No.(s): AD-A459932; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459932>; Avail.: CASI: A02,

Hardcopy

The UMASS uBot-4 is a two wheeled, dynamically stable, bimanual mobile manipulator. It is a compact, safe, and cost effective platform with many features such as whole body postural control, force sensing actuators, two 4-DOF arms, and a small footprint. It is the latest in a series of small mobile robots that originated with the uBot-0.5 (ca. 1997). This paper presents the motivations for the design of the uBot series and describes how the platform evolved from a small reactive heat-seeking robot to a highly capable mobile manipulator.

DTIC

*Degrees of Freedom; Robots; Stabilization*



**20070005306** BBN Systems and Technologies Corp., Cambridge, MA USA

**Byblos Speech Recognition Benchmark Results**

Kubala, F; Austin, S; Barry, C; Makhoul, J; Placeway, P; Schwartz, R; Jan 1991; 7 pp.; In English

Contract(s)/Grant(s): N00014-89-C-0008

Report No.(s): AD-A459943; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459943>; Avail.: CASI: [A02](#), Hardcopy

This paper presents speech recognition test results from the BBN BYBLOS system on the Feb 91 DARPA benchmarks in both the Resource Management (RM) and the Air Travel Information System (ATIS) domains. In the RM test, we report on speaker-independent (SI) recognition performance for the standard training condition using 109 speakers and for our recently proposed SI model made from only 12 training speakers. Surprisingly, the 12-speaker model performs as well as the one made from 109 speakers. Also within the RM domain, we demonstrate that state-of-the-art SI models perform poorly for speakers with strong dialects. But we show that this degradation can be overcome by using speaker adaptation from multiple-reference speakers. For the ATIS benchmarks, we ran a new system configuration which first produced a rank-ordered list of the N-best word-sequence hypotheses. The list of hypotheses was then reordered using more detailed acoustic and language models. In the ATIS benchmarks, we report SI recognition results on two conditions. The first is a baseline condition using only training data available from NIST on CD-ROM and a word-based statistical bi-gram grammar developed at MIT/Lincoln. In the second condition, we added training data from speakers collected at BBN and used a 4-gram class grammar. These changes reduced the word error rate by 25%.

DTIC

*Education; Information Systems; Speech Recognition*

**20070005311** SRI International Corp., Menlo Park, CA USA

**Stressed and Unstressed Pronouns: Complementary Preferences**

Kameyama, Megumi; Aug 27, 1996; 23 pp.; In English

Contract(s)/Grant(s): IRI-9314961

Report No.(s): AD-A459950; SRI-TN-545-REV; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459950>; Avail.: CASI: [A03](#), Hardcopy

I present a unified account of interpretation preferences of stressed and unstressed pronouns in discourse. The central intuition is the Complementary Preference Hypothesis that predicts the interpretation preference of a stressed pronoun from that of an unstressed pronoun in the same discourse position. The base preference must be computed in a total pragmatics module including commonsense preferences. The focus constraint in Rooth's theory of semantic focus is interpreted to be the salient subset of the domain in the local attentional state in the discourse context independently motivated for other purposes in Centering Theory.

DTIC

*Linguistics; Natural Language Processing; Semantics*

**20070005321** Baker (Wilfred) Engineering, Inc., San Antonio, TX USA

**Selectivity of Local Field Potentials in Macaque Inferior Temporal Cortex**

Kreiman, Gabriel; Hung, Chou; Poggio, Tomaso; DiCarlo, James; Sep 2004; 42 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459966; MIT-AI-MEMO-2004-020; MIT-CBCL-MEMO-240; No Copyright; ONLINE:

<http://hdl.handle.net/100.2/ADA459966>; Avail.: CASI: [A03](#), Hardcopy

While single neurons in inferior temporal (IT) cortex show differential responses to distinct complex stimuli, little is known about the responses of populations of neurons in IT. We recorded single electrode data, including multi-unit activity (MUA) and local field potentials (LFP), from 618 sites in the inferior temporal cortex of macaque monkeys while the animals passively viewed 78 different pictures of complex stimuli. The LFPs were obtained by low-pass filtering the extracellular electrophysiological signal with a corner frequency of 300 Hz. As reported previously, we observed that spike counts from MUA showed selectivity for some of the pictures. Strikingly, the LFP data, which is thought to constitute an average over large numbers of neurons, also showed significantly selective responses. The LFP responses were less selective than the MUA responses both in terms of the proportion of selective sites as well as in the selectivity of each site. We observed that there was only little overlap between the selectivity of MUA and LFP recordings from the same electrode. To assess the spatial organization of selective responses, we compared the selectivity of nearby sites recorded along the same penetration and sites recorded from different penetrations. We observed that MUA selectivity was correlated on spatial scales up to 800 micrometers while the LFP selectivity was correlated over a larger spatial extent, with significant correlations between sites separated by

several mm. Our data support the idea that there is some topographical arrangement to the organization of selectivity in inferior temporal cortex and that this organization may be relevant for the representation of object identity in IT.

DTIC

*Cells (Biology); Cerebral Cortex; Monkeys; Nervous System*

**20070005338** SRI International Corp., Menlo Park, CA USA

**Learning and Recognition in Natural Environments**

Pentland, Alex P; Bolles, R; Jun 5, 1987; 34 pp.; In English

Contract(s)/Grant(s): DACA76-85-C-0004; DCR-83-12766

Report No.(s): AD-A459990; SRI-TN-421; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459990>; Avail.:

Defense Technical Information Center (DTIC)

We present a system for learning descriptions of objects, and for subsequently recognizing learned objects, that functions in outdoor, natural environments. We describe in detail two modes of functioning within this system: (1) unguided, bottom-up learning of object descriptions directly from image data; (2) top-down recognition of objects whose approximate position and structure are known by use of image-level matching. We then argue that the systems's performance in these two modes indicates that robust outdoor performance can be achieved within the structure of our vision system.

DTIC

*Computer Vision; Machine Learning*

**20070005340** SRI International Corp., Menlo Park, CA USA

**Object-Centered Surface Reconstruction: Combining Multi-Image Stereo and Shading**

Fua, P; Leclerc, Y G; Jan 1995; 38 pp.; In English

Report No.(s): AD-A459994; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459994>; Avail.: Defense Technical Information Center (DTIC)

Our goal is to reconstruct both the shape and reflectance properties of surfaces from multiple images. We argue that an object-centered representation is most appropriate for this purpose because it naturally accommodates multiple sources of data, multiple images (including motion sequences of a rigid object), and self-occlusions. We then present a specific object-centered reconstruction method and its implementation. The method begins with an initial estimate of surface shape provided, for example, by triangulating the result of conventional stereo. The surface shape and reflectance properties are then iteratively adjusted to minimize an objective function that combines information from multiple input images. The objective function is a weighted sum of stereo, shading, and smoothness components, where the weight varies over the surface. For example, the stereo component is weighted more strongly where the surface projects onto highly textured areas in the images, and less strongly otherwise. Thus, each component has its greatest influence where its accuracy is likely to be greatest. Experimental results on both synthetic and real images are presented.

DTIC

*Computer Vision; Image Processing*

**20070005345** SRI International Corp., Menlo Park, CA USA

**Registration without Correspondences**

Fua, Pascal V; Leclerc, Yvan G; Aug 20, 1994; 19 pp.; In English

Contract(s)/Grant(s): DACA76-92-C-0008; DACA76-92-C-0034

Report No.(s): AD-A460014; SRI-TN-537-REV; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460014>; Avail.:

Defense Technical Information Center (DTIC)

In this paper, we present a method for registering images of complex 3-D surfaces that does not require explicit correspondences between features across the images. Our method relies on the use of a full 3-D model of the surface to adjust the position and orientation of the camera by minimizing an objective function based on the projections of the images onto the model. This approach constrains the camera parameters strongly enough so that the models do not need, initially, to be accurate to yield good results. When registration has been achieved, the models can be refined and the fine details recovered. We use the 3-D surface models to adjust not only the surface's shape but also the position and orientation of the cameras by minimizing an objective function based on the projections of the model into the images. The method presented here complements our approach, described in previous publications, to the recovery of 3-D surface models from multiple images whose camera parameters are known. Our method is applicable to the calibration of stereo imagery, the precise registration of new images of a scene and the tracking of deformable objects. It can therefore lead to important applications in fields such

as augmented reality in a medical context or data compression for transmission purposes. We demonstrate its applicability by using both synthetic images and real images of faces and of terrain.

DTIC

*Calibrating; Image Processing; Pattern Registration*

**20070005371** SRI International Corp., Menlo Park, CA USA

**Using 3-Dimensional Meshes to Combine Image-Based and Geometry Constraints**

Fua, Pascal V; Leclerc, Yvan G; Aug 24, 1994; 31 pp.; In English

Contract(s)/Grant(s): DACA76-92-C-0008; DACA76-92-C-0034

Report No.(s): AD-A460009; SRI-TN-536-REV; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A unified framework for 3-D shape reconstruction allows us to combine image-based and geometry-based information sources. The image information is akin to stereo and shape-from-shading, while the geometric information may be provided in the form of 3-D points, 3-D features or 2-D silhouettes. A formal integration framework is critical in recovering complicated surfaces because the information from a single source is often insufficient to provide a unique answer. Our approach to shape recovery is to deform a generic object-centered 3-D representation of the surface so as to minimize an objective function. This objective function is a weighted sum of the contributions of the various information sources. We describe these various terms individually, our weighting scheme, and our optimization method. Finally, we present results on a number of difficult images of real scenes for which a single source of information would have proved insufficient.

DTIC

*Image Analysis; Image Processing; Shapes*

**20070005378** Massachusetts Univ., Amherst, MA USA

**UMass at TREC 2002: Cross Language and Novelty Tracks**

Larkey, Leah S; Allan, James; Connell, Margaret E; Bolivar, Alvaro; Wade, Courtney; Jan 2002; 13 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N66001-99-1-8912; N66001-02-1-8903

Report No.(s): AD-A460055; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The University of Massachusetts participated in the cross-language and novelty tracks this year. The cross-language submission was characterized by combination of evidence to merge results from two different retrieval engines and a variety of different resources - stemmers, dictionaries, machine translation, and an acronym database. We found that proper names were extremely important in this year's queries. For the novelty track, we applied variants of techniques that have been employed for other problems. In addition, we created additional training data by manually annotating 48 additional topics.

DTIC

*Machine Translation; Queueing Theory*

**20070005403** Naval Academy, Annapolis, MD USA

**New Hyperspectral Discrimination Measure for Spectral Characterization**

Du, Yingzi; Chang, Chein-I; Ren, Hsuan; Chang, Chein-Chi; Jensen, James O; D'Amico, Francis M; Feb 17, 2004; 11 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460090; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The spectral angle mapper (SAM) has been widely used in multispectral and hyperspectral image analysis to measure spectral similarity between substance signatures for material identification. It has been shown that the SAM is essentially the Euclidean distance when the spectral angle is small. Most recently, a stochastic measure, called the spectral information divergence (SID), has been suggested to model the spectrum of a hyperspectral image pixel as a probability distribution, so that spectral variations among spectral bands can be captured more effectively in a stochastic manner. This paper develops a new hyperspectral spectral discrimination measure, which combines the SID and the SAM into a mixed measure. More specifically, let  $r$  and  $r'$  denote two hyperspectral image pixel vectors with their corresponding spectra specified by  $s$  and  $s'$ . Then  $SAM(s, s')$  measures the spectral angle between  $s$  and  $s'$ . Similarly,  $SID(s, s')$  measures the information divergence between the probability distributions generated by  $s$  and  $s'$ . The proposed new measure, referred to as the SID-SAM mixed measure, can be implemented in two versions, given by  $SID(s, s') \times \tan(SAM(s, s'))$  and  $SID(s, s') \times \sin(SAM(s, s'))$ , where  $\tan$  and  $\sin$  are the usual trigonometric functions. The spectral discriminability of such a mixed measure is greatly enhanced by multiplying the spectral abilities of the two measures. In order to demonstrate its utility, a comparative study is conducted among the SID-SAM mixed measure, the SID, and the SAM. Our experimental results have shown that the discriminatory

ability of the (SID,SAM) mixed measure can be a significant improvement over the SID and SAM.  
DTIC

*Characterization; Imagery; Mapping; Spectra; Spectrum Analysis; Stochastic Processes*

**20070005448** Army Tank-Automotive and Armaments Command, Warren, MI USA

**The Bekker Model Analysis for Small Robotic Vehicles**

Gerhart, Grant R; Jul 29, 2004; 10 pp.; In English

Report No.(s): AD-A460159; No Copyright; Avail.: CASI: [A02](#), Hardcopy

This paper uses the Bekker model for land locomotion analysis to compare ground vehicle vehicles with different running gear configurations. The Bekker model is inherently phenomenological in nature and requires empirical data to both calibrate and validate the methodology for realistic soil/terrain conditions. This formalism consists of two fundamental equations. The first uses the Coulomb-Mohr law and a linear, one degree of freedom spring/mass/damper model to predict terrain shear rates from maximum vehicle tractive effort. The second empirically predicts soil sinkage as a function of ground pressure loading. The latter contains no phenomenological link to the continuum mechanics of terrain materials and conditions.

DTIC

*Locomotion; Robotics; Transportation*

**20070005465** Mitre Corp., Bedford, MA USA

**Authentication Tests and the Structure of Bundles**

Guttman, Joshua D; Fabrega, F J; Nov 13, 2000; 56 pp.; In English

Contract(s)/Grant(s): DAAB07-99-C-C201

Report No.(s): AD-A460189; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Bundles; Computer Information Security; Cryptography*

**20070005479** University of Southern California, Marina del Rey, CA USA

**Applying Perceptually Driven Cognitive Mapping to Virtual Urban Environments**

Hill, Jr , Randall W; Han, Changhee; van Lent, Michael; Jan 2002; 9 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAAD19-99-C-0046

Report No.(s): AD-A460290; No Copyright; Avail.: CASI: [A02](#), Hardcopy

This paper describes a method for building a cognitive map of a virtual urban environment. Our routines enable virtual humans to map their environment using a realistic model of perception. We based our implementation on a computational framework proposed by Yeap and Jefferies (Yeap & Jefferies 1999) for representing a local environment as a structure called an Absolute Space Representation (ASR). Their algorithms compute and update ASRs from a 2-1/2D sketch of the local environment, and then connect the ASRs together to form a raw cognitive map. Our work extends the framework developed by Yeap and Jefferies in three important ways. First, we implemented the framework in a virtual training environment, the Mission Rehearsal Exercise (Swartout et al. 2001). Second, we describe a method for acquiring a 2- 1/2D sketch in a virtual world, a step omitted from their framework, but which is essential for computing an ASR. Third, we extend the ASR algorithm to map regions that are partially visible through exits of the local space. Together, the implementation of the ASR algorithm along with our extensions will be useful in a wide variety of applications involving virtual humans and agents who need to perceive and reason about spatial concepts in urban environments.

DTIC

*Cities; Cognition; Mapping; Virtual Reality*

**20070005511** Michigan Univ., Ann Arbor, MI USA

**Towards a Factored Analysis of Legged Locomotion Models**

Altendorfer, Richard; Koditschek, Daniel E; Holmes, Philip; Jan 2002; 10 pp.; In English

Contract(s)/Grant(s): N00014-98-1-0747

Report No.(s): AD-A460353; No Copyright; Avail.: CASI: [A02](#), Hardcopy

In this paper, we report on a new stability analysis for hybrid legged locomotion systems based on factorization of return maps. We apply this analysis to a family of models of the Spring Loaded Inverted Pendulum (SLIP) with different leg recirculation strategies. We obtain a necessary condition for the asymptotic stability of those models, which is formulated as an exact algebraic expression despite the non-integrability of the SLIP dynamics. We outline the application of this analysis

to other models of legged locomotion and its importance for the stability of legged robots and animals.

DTIC

*Locomotion; Robots*

**20070005512** University of Southern California, Marina del Rey, CA USA

**Training Tree Transducers**

Graehl, Jonathan; Knight, Kevin; Jan 2004; 9 pp.; In English

Contract(s)/Grant(s): F49620-00-1-0337; MDA904-02-C-450

Report No.(s): AD-A460355; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Many probabilistic models for natural language are now written in terms of hierarchical tree structure. Tree-based modeling still lacks many of the standard tools taken for granted in (finite-state) string-based modeling. The theory of tree transducer automata provides a possible framework to draw on, as it has been worked out in an extensive literature. We motivate the use of tree transducers for natural language and address the training problem for probabilistic tree-to-tree and tree-to-string transducers.

DTIC

*Education; Hierarchies; Mathematical Models; Natural Language (Computers); Strings; Transducers*

**64**

**NUMERICAL ANALYSIS**

Includes iteration, differential and difference equations, and numerical approximation.

**20070003549** Massachusetts Inst. of Tech., Cambridge, MA USA

**Fast Approximation Schemes for Multi-Criteria Flow, Knapsack, and Scheduling Problems**

Jan 1995; 52 pp.; In English

Contract(s)/Grant(s): AFOSR-88-0088; NSF-DDM-8921835

Report No.(s): AD-A459693; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Approximation; Scheduling; Optimization; Problem Solving*

**20070003629** Massachusetts Inst. of Tech., Cambridge, MA USA

**A Capacity Scaling Algorithm for the Constrained Maximum Flow Problem**

Jul 1993; 23 pp.; In English

Contract(s)/Grant(s): AFOSR-88-0088

Report No.(s): AD-A459686; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Algorithms; Scaling; Problem Solving; Flow Theory*

**20070003636** Massachusetts Inst. of Tech., Cambridge, MA USA

**Toward a Theory of Nonlinear Stochastic Realization**

Oct 1981; 17 pp.; In English

Contract(s)/Grant(s): AFOSR-78-3519; AFOSR-77-3281D

Report No.(s): AD-A459661; LIDS-P-1154; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Nonlinearity; Stochastic Processes; Numerical Analysis; Theorems*

**20070003676** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA, NASA Ames Research Center, Moffett Field, CA, USA

**HARP: A Fast Spectral Partitioner**

Simon, Horst D.; Sohn, Andrew; Biswas, Rupak; [1997]; 10 pp.; In English; Ninth ACM Symposium on Parallel Algorithms and Architectures, June 1997, Newport, RI, USA; Original contains black and white illustrations

Contract(s)/Grant(s): NAS2-14303

Report No.(s): NAS-96-009; Copyright; Avail.: CASI: [A02](#), Hardcopy

Partitioning unstructured graphs is central to the parallel solution of computational science and engineering problems. Spectral partitioners, such recursive spectral bisection (RSB), have proven effective in generating high-quality partitions of realistically-sized meshes. The major problem which hindered their widespread use was their long execution times. This paper presents a new inertial spectral partitioner, called HARP. The main objective of the proposed approach is to quickly partition the meshes at runtime in a manner that works efficiently for real applications in the context of distributed-memory machines. The underlying principle of HARP is to find the eigenvectors of the unpartitioned vertices and then project them onto the eigenvectors of the original mesh. Results for various meshes ranging in size from 1000 to 100,000 vertices indicate that HARP can indeed partition meshes rapidly at runtime. Experimental results show that our largest mesh can be partitioned sequentially in only a few seconds on an SP2 which is several times faster than other spectral partitioners while maintaining the solution quality of the proven RSB method. A parallel MPI version of HARP has also been implemented on IBM SP2 and Cray T3E. Parallel HARP, running on 64 processors SP2 and T3E, can partition a mesh containing more than 100,000 vertices into 64 subgrids in about half a second. These results indicate that graph partitioning can now be truly embedded in dynamically-changing real-world applications.

Author

*Partitions (Mathematics); Unstructured Grids (Mathematics); Genetic Algorithms; Euclidean Geometry; Parallel Processing (Computers)*

**20070003688** NASA Johnson Space Center, Houston, TX, USA

**Comparison of Fixed and Variable Time Step Trajectory Integration Methods for Cislunar Trajectories**

Weeks, Michael W.; Thrasher, Stephen W.; [2007]; 16 pp.; In English; 17th AAS/AIAA Space Flight Mechanics Conference, 28 Jan. - 1 Feb. 2007, Sedona, AZ, USA; Copyright; Avail.: CASI: [A03](#), Hardcopy

Due to the nonlinear nature of the Earth-Moon-Sun three-body problem and non-spherical gravity, CEV cislunar targeting algorithms will require many propagations in their search for a desired trajectory. For on-board targeting especially, the algorithm must have a simple, fast, and accurate propagator to calculate a trajectory with reasonable computation time, and still be robust enough to remain stable in the various flight regimes that the CEV will experience. This paper compares Cowell's method with a fourth-order Runge-Kutta integrator (RK4), Encke's method with a fourth-order Runge-Kutta-Nystrom integrator (RKN4), and a method known as Multi-Conic. Additionally, the study includes the Bond-Gottlieb 14-element method (BG14) and extends the investigation of Encke-Nystrom methods to integrators of higher order and with variable step size.

Author

*Trajectories; Cislunar Space; Numerical Integration; Measure and Integration; Three Body Problem*

**20070003698** Massachusetts Inst. of Tech., Cambridge, MA USA

**Generalized Riccati Equations for Two-Point Boundary-Value Descriptor Systems**

Aug 1987; 4 pp.; In English

Contract(s)/Grant(s): AFOSR-82-0258; ECS-8700903

Report No.(s): AD-A459611; LIDS-P-1701; No Copyright; Avail.: CASI: [A01](#), Hardcopy

No abstract available

*Riccati Equation; Boundary Value Problems; Applications of Mathematics*

**20070003699** Massachusetts Inst. of Tech., Cambridge, MA USA

**Metropolis-type Annealing Algorithms for Global Optimization in IRD**

May 1990; 31 pp.; In English

Contract(s)/Grant(s): AFOSR-89-0276

Report No.(s): AD-A459610; LIDS-P-1977; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Algorithms; Annealing; Optimization*

**20070003700** Delaware Univ., Newark, DE USA

**The Inverse Source Problem for Maxwell's Equations**

Albanese, Richard A; Monk, Peter B; Oct 2006; 14 pp.; In English

Contract(s)/Grant(s): FA8650-04-1-6535; Proj-7184

Report No.(s): AD-A459256; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459256>; Avail.: CASI: [A03](#),

Hardcopy

The inverse source problem for Maxwell's equations is considered. We show that the problem of finding a volume current density from surface measurements does not have a unique solution, and we characterize the non-uniqueness. We also show that if further information is available the inverse source problem may have a unique solution. The method is useful for the quantitative determination of interior brain currents from surface electroencephalographic measurements. The application is to prosthesis control.

DTIC

*Maxwell Equation; Problem Solving*

**20070003707** George Washington Univ., Washington, DC USA

**The Normal Kernel Coupler: An Adaptive Markov Chain Monte Carlo Method for Efficiently Sampling From Multi-Modal Distributions**

Mar 1, 2001; 38 pp.; In English

Contract(s)/Grant(s): N00014-96-1-0192

Report No.(s): AD-A459460; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Kernel Functions; Monte Carlo Method; Markov Chains; Sampling*

**20070003709** Drexel Univ., Philadelphia, PA USA

**On Achieving Fairness in the Joint Allocation of Processing and Bandwidth Resources: Principles and Algorithms**

Jul 2003; 16 pp.; In English

Contract(s)/Grant(s): F30602-00-2-0501; NSF-CCR-9984161

Report No.(s): AD-A459450; DU-CS-03-02; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Algorithms; Bandwidth*

**20070003713** Massachusetts Inst. of Tech., Cambridge, MA USA

**The Schur Algorithm and Its Applications**

Feb 1984; 57 pp.; In English

Contract(s)/Grant(s): AFOSR-82-0135A

Report No.(s): AD-A459351; LIDS-P-1362; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Algorithms; Numerical Analysis*

**20070003714** Massachusetts Inst. of Tech., Cambridge, MA USA

**Parallel Smoothing Algorithms for Casual and Acausal Systems**

Mar 1991; 20 pp.; In English

Contract(s)/Grant(s): DAAL03-86-K-0171; AFOSR-88-0032

Report No.(s): AD-A459350; LIDS-P-2027; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Algorithms; Parallel Computers; Data Smoothing*

**20070003837** Carnegie-Mellon Univ., Pittsburgh, PA USA

**Response Surface Methodology**

Carley, Kathleen M; Kamneva, Natalia Y; Reminga, Jeff; Oct 2004; 32 pp.; In English

Contract(s)/Grant(s): N00014-97-1-0037; NAG-2-1569

Report No.(s): AD-A459032; CMU-ISRI-04-136; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459032>; Avail.:

CASI: [A03](#), Hardcopy

There is a problem faced by experimenters in many technical fields, where, in general, the response variable of interest is  $y$ , and there is a set of predictor variables  $x_1, x_2, \dots, x_k$ . For example, in Dynamic Network Analysis (DNA) Response Surface Methodology (RSM) might be useful for sensitivity analysis of various DNA measures for different kinds of random groups and errors.

DTIC

*Responses; Methodology; Network Analysis*

**20070003839** Yale Univ., New Haven, CT USA

**Diagonal Representation of Certain Matrices**

Tygert, Mark; Dec 21, 2004; 5 pp.; In English

Report No.(s): AD-A458941; YALEU/DCS/RR-1313; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458941>;

Avail.: CASI: [A01](#), Hardcopy

An explicit expression is provided for the characteristic polynomial of a matrix  $M$  of the form  $M = D - (0 \text{ over } ba(T) \text{ ab}(T) \text{ over } 0)$ , where  $D$  is a diagonal matrix, and  $a$  and  $b$  are column vectors. Also, an explicit expression is provided for the matrix of normalized eigenvectors of  $M$ , in terms of the roots of the characteristic polynomial (i.e., in terms of the eigenvalues of  $M$ ).

DTIC

*Matrices (Mathematics); Eigenvectors*

**20070003842** Yale Univ., New Haven, CT USA

**On Interpolation and Integration in Finite-Dimensional Spaces of Bounded Functions**

Martinsson, Per-Gunnar; Rokhlin, Vladimir; Tygert, Mark; Mar 9, 2005; 8 pp.; In English

Contract(s)/Grant(s): F49620-03-C-0041; DMS-0139914

Report No.(s): AD-A458904; YALEU/DCS/RR-1317; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458904>;

Avail.: CASI: [A02](#), Hardcopy

We observe that, under very mild conditions, an  $n$ -dimensional space of functions (with a finite  $n$ ) admits numerically stable  $n$ -point interpolation and integration formulae. The proof relies entirely on linear algebra, and is virtually independent of the domain and of the functions to be interpolated.

DTIC

*Interpolation; Numerical Analysis*

**20070003850** Washington Univ., Seattle, WA USA

**Long-Run Performance of Bayesian Model Averaging**

Jul 17, 2003; 25 pp.; In English

Contract(s)/Grant(s): N00014-01-1-0745

Report No.(s): AD-A459659; TR-433; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Bayes Theorem; Average; Performance Tests*

**20070003852** University of Southern California, Marina del Rey, CA USA

**Evaluating a Computational Model of Emotion**

Gratch, Jonathan; Marsella, Stacy; Jan 2006; 15 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459183; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459183>; Avail.: CASI: [A03](#),

Hardcopy

Spurred by a range of potential applications, there has been a growing body of research in computational models of human emotion. To advance the development of these models, it is critical that we evaluate them against the phenomena they purport to model. In this paper, we present one method to evaluate an emotion model that compares the behavior of the model against human behavior using a standard clinical instrument for assessing human emotion and coping. We use this method to evaluate the EMA model of emotion. The evaluation highlights strengths of the approach and identifies where the model needs further development.

DTIC

*Emotions; Mathematical Models; Human Behavior*

**20070003917** Massachusetts Inst. of Tech., Cambridge, MA USA

**Nonlinear Dynamic Maximum Power Theorem, with Numerical Method**

Sep 1983; 20 pp.; In English

Contract(s)/Grant(s): F29620-81-C-0054; N00014-80-C-0622

Report No.(s): AD-A459637; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Nonlinearity; Theorems; Numerical Analysis*



**20070004571** North Carolina State Univ., Raleigh, NC USA

**Nonhydrostatic Numerical Investigations of Oscillating Flow over Sills: Generation of Internal Tides and Solitary Waves**

Dec 20, 2006; 3 pp.; In English

Contract(s)/Grant(s): N00014-04-1-0430

Report No.(s): AD-A459629; No Copyright; Avail.: CASI: [A01](#), Hardcopy

No abstract available

*Internal Waves; Oscillating Flow; Solitary Waves*

**20070004766** Air Force Research Lab., Wright-Patterson AFB, OH USA

**FDTD Analysis of a New Leaky Traveling Wave Antenna**

Jul 2006; 6 pp.; In English

Contract(s)/Grant(s): Proj-7622

Report No.(s): AD-A459772; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Finite Difference Time Domain Method; Waveguide Antennas; Finite Difference Theory*

**20070004781** Maryland Univ., College Park, MD USA

**Video Summarization by Curve Simplification**

DeMenthon, Daniel; Kobla, Vikrant; Doermann, David; Jul 1998; 22 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): MDA904-96-C-1250

Report No.(s): AD-A459300; LAMP-TR-018; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459300>; Avail.:

CASI: [A03](#), Hardcopy

A video sequence can be represented as a trajectory curve in a high dimensional feature space. This video curve can be analyzed by tools similar to those developed for planar curves. In particular, the classic binary curve splitting algorithm has been found to be a useful tool for video analysis. With a splitting condition that checks the dimensionality of the curve segment being split, the video curve can be recursively simplified and represented as a tree structure, and the frames that are found to be junctions between curve segments at different levels of the tree can be used as keyframes to summarize the video sequences at different levels of detail. These keyframes can be combined in various spatial and temporal configurations for browsing purposes. We describe a simple video player that displays the keyframes sequentially and lets the user change the summarization level on the fly with a slider. We also describe an approach to automatically selecting a summarization level that provides a concise and representative set of keyframes.

DTIC

*Simplification; Sequencing; Display Devices*

**20070004876** Massachusetts Inst. of Tech., Cambridge, MA USA

**Rollout Algorithms for Stochastic Scheduling Problems**

Apr 1998; 28 pp.; In English

Contract(s)/Grant(s): F49620-97-C-0013

Report No.(s): AD-A459559; LIDS-P-2413; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Algorithms; Scheduling; Stochastic Processes*

**20070004927** Massachusetts Inst. of Tech., Cambridge, MA USA

**Efficient Implementations of 2-D Noncasual IIR Filters**

Mar 10, 1995; 43 pp.; In English

Contract(s)/Grant(s): N00014-91-J-1004; F49620-95-1-0083

Report No.(s): AD-A459571; LIDS-P-2294; No Copyright; Avail.: Defense Technical Information Center (DTIC)

No abstract available

*Signal Processing; IIR Filters; Two Dimensional Models*

**20070004928** Massachusetts Inst. of Tech., Cambridge, MA USA

**Output Stabilizability of Discrete Event Dynamic Systems**

Sep 1989; 7 pp.; In English

Contract(s)/Grant(s): AFOSR-88-0032; DAAL03-86-K0171

Report No.(s): AD-A459570; LIDS-P-1912; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Dynamical Systems; Discrete Functions; Stability; Automatic Control; Output*

**20070005188** Boston Univ., Boston, MA USA

**Fast Search Algorithms for Connected Phone Recognition Using the Stochastic Segment Model**

Digalakis, V; Ostendorf, M; Rohlicek, J R; Jan 1990; 7 pp.; In English

Contract(s)/Grant(s): NSF-IRI-8902124

Report No.(s): AD-A459580; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459580>; Avail.: CASI: [A02](#),

Hardcopy

In this paper we present methods for reducing the computation time of joint segmentation and recognition of phones using the Stochastic Segment Model (SSM). Our approach to the problem is twofold: first, we present a fast segment classification method that reduces computation by a factor of 2 to 4, depending on the confidence of choosing the most probable model. Second, we propose a Split and Merge segmentation algorithm as an alternative to the typical Dynamic Programming solution of the segmentation and recognition problem, with computation savings increasing proportionally with model complexity. Even though our current recognizer uses context-independent phone models, the results that we report on the TIMIT database for speaker independent joint segmentation and recognition are comparable to that of systems that use context information.

DTIC

*Algorithms; Mathematical Models; Speech Recognition; Stochastic Processes*

**20070005190** Johns Hopkins Univ., Baltimore, MD USA

**Named Entity Recognition as a House of Cards: Classifier Stacking**

Florian, Radu; Jan 2002; 5 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-01-1-0685; NSF-IIS-9985033

Report No.(s): AD-A459582; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459582>; Avail.: CASI: [A01](#),

Hardcopy

This paper presents a classifier stacking-based approach to the named entity recognition task (NER henceforth). Transformation-based learning (Brill, 1995), Snow (sparse network of winnows (Mu oz et al., 1999)) and a forward-backward algorithm are stacked (the output of one classifier is passed as input to the next classifier), yielding considerable improvement in performance. In addition, in agreement with other studies on the same problem, the enhancement of the feature space (in the form of capitalization information) is shown to be especially beneficial to this task.

DTIC

*Classifiers; Information Retrieval; Natural Language (Computers)*

**20070005195** Massachusetts Inst. of Tech., Cambridge, MA USA

**Inversion of Generalized Parabolic Projections**

Oebek, Ali; Levy, Bernard C; Mar 1987; 8 pp.; In English

Contract(s)/Grant(s): AFOSR-85-0227; ECS-83-12921

Report No.(s): AD-A459603; LIDS-P-1659; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459603>; Avail.:

CASI: [A02](#), Hardcopy

The multidimensional inverse scattering problem for all acoustic medium is considered within the homogeneous background Born approximation. A constant density acoustic medium is probed by a wideband plane wave source, and the scattered field is observed along a receiver array located outside the medium. The inversion problem is formulated as a generalized tomographic problem. It is shown that the observed scattered field can be appropriately filtered so as to obtain generalized projections of the scattering potential. For a 2-D experimental geometry, these projections are weighted integrals of the scattering potential over regions of parabolic support. The inversion problem is therefore similar to that of x-ray tomography, except that instead of being given projections of the object to be reconstructed along straight lines, projections along parabolas are given. The inversion procedure that we propose is similar to the x-ray solution, in the sense that it consists of a back projection operation followed by 2-D space invariant filtering. A 'Projection-Slice Theorem' is derived relating the

generalized projections and the scattering potential in the Fourier transform domain.

DTIC

*Fourier Transformation; Inversions; Parabolas*

**20070005197** Massachusetts Inst. of Tech., Cambridge, MA USA

**A Hierarchical Algorithm for Neural Training and Control. Revision**

Theodosopoulos, T V; Branicky, M S; Livstone, M M; Oct 1992; 11 pp.; In English

Contract(s)/Grant(s): F49620-86-C-0127

Report No.(s): AD-A459605; LIDS-P-2124-REV; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459605>;

Avail.: CASI: **A03**, Hardcopy

Lately, there has been an extensive interest in the possible uses of neural networks for nonlinear system identification and control. In this paper, we provide a framework for the simultaneous identification and control of a class of unknown, uncertain nonlinear systems. The identification portion relies on modeling the system by a neural network which is trained via a local variant of the Extended Kalman Filter. We will discuss this local algorithm for training a neural network to approximate a nonlinear feedback system. We also give a dynamic programming-based method of deriving near optimal control inputs for the real plant based on this approximation and a measure of its error (covariance). Finally, we combine these methods in a hierarchical algorithm for identification and control of a class of uncertain, unknown systems. The complexity of the whole algorithm is analyzed.

DTIC

*Algorithms; Education; Neural Nets; Nonlinear Systems*

**20070005199** Massachusetts Inst. of Tech., Cambridge, MA USA

**Layer by Layer Reconstruction Methods for the Earth Resistivity from Direct Current Measurements**

Levy, Bernard C; May 1985; 40 pp.; In English

Contract(s)/Grant(s): ECS-83-12921; AFOSR-82-0135B

Report No.(s): AD-A459621; LIDS-P-1388-REV; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459621>;

Avail.: CASI: **A03**, Hardcopy

Several methods for reconstructing the resistivity profile of a layered, laterally homogeneous earth from direct current measurements are described. These methods recover the resistivity of the earth layer by layer in a recursive way, and require a very small amount of computational effort. They are obtained by transforming the inverse resistivity problem into an equivalent inverse scattering problem, and by applying efficient signal processing algorithms such as the Schur, fast Cholesky or Levinson recursions to the transformed problem. These algorithms operate on a layer stripping or layer accumulation principle, and are shown to be related to previous reconstruction techniques of Pekeris, Koefoed, Kunetz and Rocroi, and others.

DTIC

*Algorithms; Direct Current; Electrical Resistivity; Inverse Scattering*

**20070005200** Massachusetts Inst. of Tech., Cambridge, MA USA

**An Operator-Theoretic Approach to the Mixed-Sensitivity Minimization Problem**

Fagnani, Fabio; Dec 1987; 33 pp.; In English

Contract(s)/Grant(s): AFOSR-85-0227

Report No.(s): AD-A459632; LIDS-P-1722; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459632>; Avail.:

CASI: **A03**, Hardcopy

In this paper, we consider the mixed-sensitivity minimization problem (scalar case). It gives rise to the so-called two-block problem on the algebra  $H(\infty)$ ; we analyze this problem from an operator point of view, using Krein space theory. We obtain a necessary and sufficient condition for the uniqueness of the solution and a parameterization of all solutions in the non-uniqueness case. Moreover, an interpolation interpretation is given for the finite-dimensional case.

DTIC

*Control Theory; Optimization; Sensitivity*

**20070005201** Massachusetts Inst. of Tech., Cambridge, MA USA

**Simultaneous Inversion of Velocity and Density Profiles**

Ozbek, Ali; Levy, Bernard C; May 1989; 6 pp.; In English

Contract(s)/Grant(s): AFOSR-85-0227; ECS-83-12921

Report No.(s): AD-A459642; LIDS-P-1875; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459642>; Avail.: CASI: [A02](#), Hardcopy

The multidimensional inverse scattering problem for an acoustic medium is considered within the homogeneous background Born approximation. The objective is to reconstruct simultaneously the velocity and density profiles of the medium. The medium is probed by wide-band plane-wave sources, and the time traces observed at the receivers are appropriately filtered to obtain generalized projections of the velocity and density scattering potentials, which are related to the velocity and density variations in the medium. The generalized projections are weighted integrals of the scattering potentials; in the two-dimensional geometry the weighting functions are concentrated along parabolas. The reconstruction problem for the generalized projections is formulated in a way similar to the problem of x-ray, or straight-line tomography. The solution is expressed as a back-projection operation followed by a two dimensional space-invariant filtering operation. In the Fourier domain, the resulting image is a inlinear combination of the velocity and density scattering potentials, where the coefficients depend on the angle of incidence of the probing wave. Therefore, two or more different angles of incidence are necessary to solve for the velocity and density scattering potentials separately. The technique of defining a back-projection operator and relating it to the unknown medium for the case of zero-offset problems,, where projections over circles arise, was introduced by Fawcett (1985). With a similar technique, Ozbek & Levy (1987) solved the velocity inversion problem in constant-density acoustic media under plane-wave illumination, where parabolic projections are the data. This work extends this work to the joint reconstruction of velocity and density. Only the 2D case is presented here, for the 3D case and more detailed development, see Ozbek & Levy (1988).

DTIC

*Inversions; Plane Waves; Velocity Distribution*

**20070005224** Tata Inst. of Fundamental Research, Bombay, India

#### **Lattice Approximation in the Stochastic Quantization of $(\phi^4)_2$ Fields**

Borkar, Vivek S; Mitter, Sanjoy K; Aug 1988; 12 pp.; In English

Contract(s)/Grant(s): DAAL03-86-K-0171; AFOSR-85-0277

Report No.(s): AD-A459749; LIDS-P-1807; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459749>; Avail.:

CASI: [A03](#), Hardcopy

The Parisi-Wu program of stochastic quantization [8] involves construction of a stochastic process which has a prescribed Euclidean quantum field measure as its invariant measure. This program was rigorously carried out for a finite volume  $(\phi^4)_2$  measure by G. Jona-Lasinio and P. K. Mitter in [6]. These results were extended in [2], which also proves a finite to infinite volume limit theorem. The aim of this note is to prove a related limit theorem, viz., that of the finite dimensional processes obtained by stochastic quantization of the lattice  $(\phi^4)_2$  fields to their continuum limit, i.e., the  $(\phi^4)_2$  process of [2], [6]. The proof imitates that of the limit theorem of [2] in broad terms, though the technical details differ. Note that this limit theorem can also be construed as an alternative construction of the  $(\phi^4)_2$  process - in finite volume. - The next section recalls the finite volume  $(\phi^4)_2$  process. Section III summarizes the relevant facts about the lattice approximation to the  $(\phi^4)_2$  field from Sections 9.5 and 9.6 of [4]. Section IV proves the limit theorem.

DTIC

*Approximation; Measurement; Stochastic Processes*

**20070005237** Washington Univ., Seattle, WA USA

#### **Design Issues on Broadcast Routing Algorithms using Realistic Cost-Effective Smart Antenna Models**

Kang, Intae; Poovendran, Radha; Jan 2004; 6 pp.; In English

Contract(s)/Grant(s): DAAD19-02-1-0242; NSF-ANI-0093187

Report No.(s): AD-A459825; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459825>; Avail.: CASI: [A02](#),

Hardcopy

Wireless ad hoc or sensor networks usually operate over strictly or partially battery energy limited environment. To prolong the network operation time, energy-efficiency should be carefully considered at every layer of the network protocols and algorithms. Moreover, cross-layer effects and interactions have to be carefully analysed and utilized. While a significant amount of research on directional and smart antennas has been conducted at the physical layer and device level, a system-wide level analysis using directional antennas is still very rare especially for the broadcast routing problem over wireless ad hoc networks. In this paper we investigate the effects of various classes of directional antenna systems and consider system-level

design principles for a power-efficient broadcast routing algorithm. By introducing the concept of optimal decision space, we provide various valuable insights for algorithm design.

DTIC

*Algorithms; Broadcasting; Cost Effectiveness; Radiotelephones*

**20070005244** Massachusetts Inst. of Tech., Lexington, MA USA

**BSAR Computational Analysis and Proposed Mapping, Revision 1**

Arakawa, M; Nov 30, 2006; 65 pp.; In English

Contract(s)/Grant(s): FA8721-05-C-0002; Proj-1048

Report No.(s): AD-A459834; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459834>; Avail.: CASI: A04, Hardcopy

This report details the MIT Lincoln Laboratory computational performance analysis of the CBASS BSAR. We describe: (1) the BSAR algorithm, in both the original mode and the new, default mode; (2) the algorithm's computational workload for both nodes; (3) the 12-Hammerhead DR on which the BSAR algorithm is executed; (4) a proposed mapping of the algorithm onto the DR; (5) an estimated execution time for the algorithm in both modes using the proposed mapping; (6) a memory usage analysis. Our analysis indicates that the DR will be able to handle the BSAR algorithm in both the original and the new, default modes with sufficient spare processor capacity. Furthermore, there is sufficient memory for the various input, intermediate, and output data products, although some of the memory margin will be consumed in the original algorithm mode.

DTIC

*Algorithms; Analysis (Mathematics); Fast Fourier Transformations; Mapping*

**20070005249** Massachusetts Inst. of Tech., Cambridge, MA USA

**Multiresolution Optimal Interpolation and Statistical Analysis of Topex/Poseidon Satellite Altimetry**

Fieguth, P W; Karl, W C; Willsky, A S; Wunsch, C; Oct 1, 1994; 31 pp.; In English

Contract(s)/Grant(s): N00014-91-J-1004; F49620-92-J-0002

Report No.(s): AD-A459844; LIDS-P-2271; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459844>; Avail.: CASI: A03, Hardcopy

A recently developed multiresolution estimation framework offers the possibility of highly efficient statistical analysis, interpolation, and smoothing of extremely large data sets in a multiscale fashion. This framework enjoys a number of advantages not shared by other statistically-based methods. In particular, the algorithms resulting from this framework have complexity that scales only linearly with problem size, yielding constant complexity load per grid point independent of problem size. Furthermore these algorithms directly provide interpolated estimates at multiple resolutions, accompanying error variance statistics of use in assessing resolution/accuracy tradeoffs and in detecting statistically significant anomalies, and maximum likelihood estimates of parameters such as spectral power law coefficients. In this paper, we demonstrate a realization of this potential by applying the multiresolution framework to a problem of considerable current interest - the interpolation and statistical analysis of ocean surface data from the Topex/ Poseidon altimeter.

DTIC

*Algorithms; Image Resolution; Interpolation; Poseidon Satellite; Satellite Altimetry; Statistical Analysis; Topex*

**20070005250** Massachusetts Inst. of Tech., Cambridge, MA USA

**Fractal Estimation using Models on Multiscale Trees**

Fieguth, Paul W; Willsky, Alan S; Jan 1995; 14 pp.; In English

Contract(s)/Grant(s): N00014-91-J-1004; F49620-93-1-0604

Report No.(s): AD-A459845; LIDS-P-2287; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459845>; Avail.: CASI: A03, Hardcopy

In this paper we estimate the fractal dimension of stochastic processes with 1/f-like spectra by applying a recently-introduced multiresolution framework. This framework admits an efficient likelihood function evaluation, allowing us to compute the maximum likelihood estimate of this fractal parameter with relative ease. In addition to yielding results that compare well to other proposed methods, and in contrast to other approaches, our method is directly applicable, with at most very simple modification, in a variety of other contexts including fractal estimation given irregularly sampled data or nonstationary measurement noise and the estimation of fractal parameters for 2-D random fields.

DTIC

*Fractals; Stochastic Processes*

**20070005295** Maryland Univ., Baltimore, MD USA

**Estimating Grammar Parameters using Bounded Memory**

Oates, Tom; Heeringa, Brent; Jan 2002; 15 pp.; In English

Contract(s)/Grant(s): DASG60-99-C-0074

Report No.(s): AD-A459912; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459912>; Avail.: CASI: [A03](#),  
Hardcopy

Estimating the parameters of stochastic context-free grammars (SCFGs) from data is an important, well-studied problem. Almost without exception, existing approaches make repeated passes over the training data. The memory requirements of such algorithms are ill-suited for embedded agents exposed to large amounts of training data over long periods of time. We present a novel algorithm, called HOLA, for estimating the parameters of SCFGs that computes summary statistics for each string as it is observed and then discards the string. The memory used by HOLA is bounded by the size of the grammar, not by the amount of training data. Empirical results show that HOLA performs as well as the Inside-Outside algorithm on a variety of standard problems, despite the fact that it has access to much less information.

DTIC

*Algorithms; Context Free Languages; Estimating; Grammars; Stochastic Processes*

**20070005298** Massachusetts Univ., Amherst, MA USA

**Two Algorithms for Learning the Parameters of Stochastic Context-Free Grammars**

Heeringa, Brent; Oates, Tim; Jan 2001; 7 pp.; In English

Contract(s)/Grant(s): DASG60-99-C-0074

Report No.(s): AD-A459920; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459920>; Avail.: CASI: [A02](#),  
Hardcopy

Stochastic context-free grammars (SCFGs) are often used to represent the syntax of natural languages. Most algorithms for learning them require storage and repeated processing of a sentence corpus. The memory and computational demands of such algorithms are ill-suited for embedded agents such as a mobile robot. Two algorithms are presented that incrementally learn the parameters of stochastic context-free grammars as sentences are observed. Both algorithms require a fixed amount of space regardless of the number of sentence observations. Despite using less information than the inside-outside algorithm, the algorithms perform almost as well.

DTIC

*Algorithms; Context Free Languages; Grammars; Stochastic Processes*

**20070005299** Massachusetts Univ., Amherst, MA USA

**A Framework for Learning Declarative Structure**

Hart, Stephen; Ou, Shichao; Sweeney, John; Grupen, Rod; Jan 2006; 6 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): W911NF-05-1-0396; NNJ05HB61A-5710001842

Report No.(s): AD-A459921; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459921>; Avail.: CASI: [A02](#),  
Hardcopy

This paper provides a framework with which a humanoid robot can efficiently learn complex behavior. In this framework, a robot is rewarded by learning how to generate novel sensorimotor feedback a form of native motivation. This intrinsic drive biases the robot to learn increasingly complex knowledge about itself and its effect on the environment. The framework includes a mechanism for uncovering hidden state in a well-structured state and action space. We present an example wherein the robot, Dexter, learns a sequence of manual skills: (1) searching for and grasping an object, (2) the length of its arms, and (3) how to portray its intentions to human teachers in order to induce them to help.

DTIC

*Feedback; Machine Learning; Robots*

**20070005307** University of Southern California, Marina del Rey, CA USA

**Fast Decoding and Optimal Decoding for Machine Translation**

Germann, Ulrich; Jahr, Michael; Knight, Kevin; Marcu, Daniel; Yamada, Kenji; Jan 2001; 9 pp.; In English

Contract(s)/Grant(s): N66001-00-1-9814

Report No.(s): AD-A459945; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459945>; Avail.: CASI: [A02](#),  
Hardcopy

A good decoding algorithm is critical to the success of any statistical machine translation system. The decoder's job is

to find the translation that is most likely according to set of previously learned parameters (and a formula for combining them). Since the space of possible translations is extremely large, typical decoding algorithms are only able to examine a portion of it, thus risking to miss good solutions. In this paper, we compare the speed and output quality of a traditional stack-based decoding algorithm with two new decoders: a fast greedy decoder and a slow but optimal decoder that treats decoding as an integer-programming optimization problem.

DTIC

*Algorithms; Decoding; Machine Translation*

**20070005333** Massachusetts Inst. of Tech., Cambridge, MA USA

**Conditions for Scale-Based Decompositions in Singularly Perturbed Systems**

Lou, Sheldon X; Verghese, George C; Willsky, Alan S; Coxson, Pamela G; Feb 1987; 26 pp.; In English

Contract(s)/Grant(s): AFOSR-82-0258

Report No.(s): AD-A459985; MIT-LIDS-P-1651; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459985>; Avail.:

CASI: **A03**, Hardcopy

Singularly perturbed models of the form  $\dot{x}(t) = A(\epsilon)x(t)$ , with  $A(\epsilon)$  analytic at 0, nonsingular for  $\epsilon > 0$ , arise naturally in various problems of systems and control theory. Under a so-called multiple semi-simple null structure or MSSNS condition on  $A(\epsilon)$ , the eigenstructure of this matrix has a multiple scale property that allows the asymptotic eigenstructure of the matrix to be studied via reduced-order matrices associated with the separate scales. Under a stronger multiple semi-stability or MSST condition, this eigenstructure decomposition translates into a time-scale decomposition of the solution  $x(t)$  of the system. This paper is aimed at illuminating the MSSNS and MSST conditions.

DTIC

*Decomposition; Perturbation Theory*

**20070005401** Defence Science and Technology Organisation, Edinburgh, Australia

**Structural Uncertainties in Numerical Induction Models**

Warren, Lewis; Jul 2006; 61 pp.; In English

Report No.(s): AD-A460088; DSTO-TR-1895; No Copyright; Avail.: CASI: **A04**, Hardcopy

This report delineates a number of ways in which the results of numerical induction models, which aggregate lower measures into meta-measures for decision making, can be unnecessarily compromised. Examples of numerical induction models include complex models for performance evaluation, measures of effectiveness synthesis, and for strategic decision analysis. A framework is proposed for identifying different types of modelling uncertainty that may be present and several of these uncertainties are discussed in detail. Some popular decision analysis techniques are also analyzed highlighting any features that may introduce unnecessary uncertainty into the results. The purpose of describing these potential pitfalls is to reduce the structural uncertainty forms that may be unwittingly added to the uncertainties that already exist in the input information leading to outputs that are more meaningful. More meaningful outputs should then naturally result in improved decisions when such models are applied to Defense problems.

DTIC

*Mathematical Models; Numerical Analysis*

**20070005452** Mitre Corp., Bedford, MA USA

**Algorithms using Java for Spreadsheet Dependent Cell Recomputation**

Francoeur, Joe; Dec 3, 2002; 24 pp.; In English

Contract(s)/Grant(s): DAAB07-01-C-C201

Report No.(s): AD-A460168; No Copyright; Avail.: CASI: **A03**, Hardcopy

Java implementations of algorithms used by spreadsheets to automatically recompute the set of cells dependent on a changed cell are described using a mathematical model for spreadsheets based on graph theory. These solutions comprise part of a Java API that allows a client application to read, modify, and maintain spreadsheet data without using the spreadsheet application program that produced it. Features of the Java language that successfully improve the running time performance of the algorithms are also described.

DTIC

*Algorithms; Computation; Spreadsheets*

**20070005502** SRI International Corp., Menlo Park, CA USA

**Microphone-Independent Robust Signal Processing Using Probabilistic Optimum Filtering**

Neumeyer, Leonardo; Weintraub, Mitchel; Jan 1994; 7 pp.; In English

Contract(s)/Grant(s): NSF-IRI-9014829; N00014-93-C-0142

Report No.(s): AD-A460335; No Copyright; Avail.: CASI: [A02](#), Hardcopy

A new mapping algorithm for speech recognition relates the features of simultaneous recordings of clean and noisy speech. The model is a piecewise nonlinear transformation applied to the noisy speech feature. The transformation is a set of multidimensional linear least-squares filters whose outputs are combined using a conditional Gaussian model. The algorithm was tested using SRI's DECIPHER(Trademark) speech recognition system. Experimental results show how the mapping is used to reduce recognition errors when the training and testing acoustic environments do not match.

DTIC

*Acoustics; Algorithms; Microphones; Signal Processing; Speech; Speech Recognition*

**20070005522** BBN Systems and Technologies Corp., Cambridge, MA USA

**Is N-Best Dead?**

Nguyen, Long; Schwartz, Richard; Zhao, Ying; Zavaliagkos, George; Jan 1994; 5 pp.; In English

Contract(s)/Grant(s): N00014-92-C-0035

Report No.(s): AD-A460381; No Copyright; Avail.: CASI: [A01](#), Hardcopy

We developed a faster search algorithm that avoids the use of the N-Best paradigm until after more powerful knowledge sources have been used. We found, however, that there was little or no decrease in word errors. We then showed that the use of the N-Best paradigm is still essential for the use of still more powerful knowledge sources, and for several other purposes that are outlined in the paper.

DTIC

*Algorithms; Speech Recognition*

**65**

**STATISTICS AND PROBABILITY**

Includes data sampling and smoothing; Monte Carlo method; time series analysis; and stochastic processes.

**20070003520** Xerox Palo Alto Research Center, CA USA

**Speed and Accuracy in Shallow and Deep Stochastic Parsing**

Kaplan, Ronald M; Riezler, Stefan; King, Tracy H; Maxwell, III, John T; Vasserman, Alexander; Crouch, Richard; Jan 2004; 9 pp.; In English

Contract(s)/Grant(s): MDA904-03-C-0404

Report No.(s): AD-A458874; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458874>; Avail.: CASI: [A02](#), Hardcopy

This paper reports some experiments that Compare the accuracy and performance of two stochastic parsing systems. The currently popular Collins parser is a shallow parser whose output contains more detailed semantically relevant information than other such parsers. The XLE parser is a deep-parsing system that couples a Lexical Functional Grammar to a log-linear disambiguation component and provides much richer representations theory. We measured the accuracy of both systems against a gold standard of the PARC 700 dependency bank, and also measured their processing times. We found the deep-parsing system to be more accurate than the Collins parser with only a slight reduction in parsing speed.

DTIC

*Accuracy; Depth; Grammars; Parsing Algorithms; Stochastic Processes*

**20070003628** Washington Univ., Seattle, WA USA

**Calibrated Probabilistic Forecasting Using Ensemble Model Output Statistics and Minimum CRPS Estimation**

May 5, 2004; 36 pp.; In English

Contract(s)/Grant(s): N00014-01-10745

Report No.(s): AD-A459688; UW-STAT-TR-449; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Calibrating; Probability Theory; Statistical Analysis; Models*



**20070003777** Institut de Recherche en Informatique et Systemes Aleatoires, Rennes, France

**Multi-Scale Autoregressive Processes**

Jun 1989; 82 pp.; In English

Contract(s)/Grant(s): AFOSR-88-0032; ECS-8700903

Report No.(s): AD-A459596; LIDS-P-1880; No Copyright; Avail.: CASI: [A05](#), Hardcopy

No abstract available

*Autoregressive Processes; Statistical Analysis; Regression Analysis*

**20070003849** Technical Univ. of Istanbul, Turkey

**Gappy Data: To Krig or Not To Krig**

Gunes, Hasan; Sirisup, Sirod; Karniadakis, George E; May 2005; 31 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459195; 2005-17; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459195>; Avail.: CASI: [A03](#),

Hardcopy

Data recovery and reconstruction methods for unsteady flow fields with spatio-temporal missing data are studied based on proper orthogonal decomposition (POD) and on Kriging interpolation. It is found that for sufficient temporal resolution, POD-based methods outperform Kriging interpolation. However, for insufficient temporal resolution, large spatial gappiness or for flow fields with black zones, Kriging interpolation is more effective. The comparison is performed based on randomly generated laminar and turbulent flow fields obtained from simulations of uniform flow past a circular cylinder.

DTIC

*Interpolation; Kriging; Flow Distribution*

**20070003885** Purdue Univ., West Lafayette, IN USA

**Adaptive Bayesian Signal Reconstruction with A Priori Model Implementation and Synthetic Examples for X-Ray Crystallography**

Feb 26, 1991; 38 pp.; In English

Contract(s)/Grant(s): AFOSR-89-0276

Report No.(s): AD-A459539; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Bayes Theorem; Crystallography; Signal Processing; X Rays; Mathematical Models*

**20070004682** Oak Ridge National Lab., TN USA

**Statistical Methods and Software for the Analysis of Occupational Exposure Data with Non-Detectable Values**

Frome, E. L.; Wambach, P. F.; Sep. 2005; 52 pp.; In English

Report No.(s): DE2006-885994; No Copyright; Avail.: Department of Energy Information Bridge

Environmental exposure measurements are, in general, positive and may be subject to left censoring; i.e., the measured value is less than a detection limit. In occupational monitoring, strategies for assessing workplace exposures typically focus on the mean exposure level or the probability that any measurement exceeds a limit. Parametric methods used to determine acceptable levels of exposure, are often based on a two parameter lognormal distribution. The mean exposure level, an upper percentile, and the exceedance fraction are used to characterize exposure levels, and confidence limits are used to describe the uncertainty in these estimates. Statistical methods for random samples (without non-detects) from the lognormal distribution are well known for each of these situations. In this report, methods for estimating these quantities based on the maximum likelihood method for randomly left censored lognormal data are described and graphical methods are used to evaluate the lognormal assumption. If the lognormal model is in doubt and an alternative distribution for the exposure profile of a similar exposure group is not available, then nonparametric methods for left censored data are used.

NTIS

*Computer Programs; Exposure; Statistical Analysis*

**20070004697** Sandia National Labs., Albuquerque, NM USA

**Survey of Sampling-Based Methods for Uncertainty and Sensitivity Analysis**

Helton, J. C.; Johnson, J. D.; Sallaberry, C. J.; Storlie, C. B.; Jun. 2006; 88 pp.; In English

Report No.(s): DE2006-886897; SAND2006-2901; No Copyright; Avail.: Department of Energy Information Bridge

Sampling-based methods for uncertainty and sensitivity analysis are reviewed. The following topics are considered: (1) Definition of probability distributions to characterize epistemic uncertainty in analysis inputs, (2) Generation of samples from

uncertain analysis inputs, (3) Propagation of sampled inputs through an analysis, (4) Presentation of uncertainty analysis results, and (5) Determination of sensitivity analysis results. Special attention is given to the determination of sensitivity analysis results, with brief descriptions and illustrations given for the following procedures/techniques: examination of scatterplots, correlation analysis, regression analysis, partial correlation analysis, rank transformations, statistical tests for patterns based on gridding, entropy tests for patterns based on gridding, nonparametric regression analysis, squared rank differences/rank correlation coefficient test, two dimensional Kolmogorov-Smirnov test, tests for patterns based on distance measures, top down coefficient of concordance, and variance decomposition.

NTIS

*Sampling; Sensitivity Analysis; Surveys*

**20070004777** Massachusetts Inst. of Tech., Cambridge, MA USA

**Multiscale Representations of Markov Random Fields**

Luetzgen, M R; Karl, W C; Willsky, A S; Tenney, R R; Sep 8, 1992; 60 pp.; In English

Contract(s)/Grant(s): AFOSR-92-J-0002; F49620-91-C-0047

Report No.(s): AD-A459389; LIDS-P-2130; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459389>; Avail.:

CASI: [A04](#), Hardcopy

No abstract available

*Markov Processes; Recursive Functions; Wavelet Analysis*

**20070004794** Massachusetts Inst. of Tech., Cambridge, MA USA

**Can One Decide the Type of the Mean from the Empirical Measure?**

Apr 1990; 7 pp.; In English

Contract(s)/Grant(s): DAAL03-86-K-0171

Report No.(s): AD-A459509; LIDS-P-1965; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Statistical Analysis; Mean; Statistical Decision Theory; Probability Theory*

**20070004820** Massachusetts Inst. of Tech., Cambridge, MA USA

**Estimation and Detection with Chaotic Systems**

Feb 1994; 215 pp.; In English

Contract(s)/Grant(s): F49620-92-J-0255; AFOSR-91-0034-C

Report No.(s): AD-A459508; RLE-TR-581; No Copyright; Avail.: CASI: [A10](#), Hardcopy

No abstract available

*Detection; Chaos; Algorithms; Systems Analysis*

**20070004865** Massachusetts Inst. of Tech., Cambridge, MA USA

**Markov Random Fields, Stochastic Quantization and Image Analysis**

Jan 1990; 13 pp.; In English

Contract(s)/Grant(s): AFOSR-89-0276

Report No.(s): AD-A459566; LIDS-P-2013; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Image Analysis; Stochastic Processes; Measurement*

**20070004881** NASA Langley Research Center, Hampton, VA, USA

**Statistical Analysis of CFD Solutions from the Third AIAA Drag Prediction Workshop**

Morrison, Joseph H.; Hemsch, Michael J.; 2007; 21 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 732759

Report No.(s): AIAA Paper 2007-0254; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070004881>; Avail.: CASI:

[A03](#), Hardcopy

The first AIAA Drag Prediction Workshop, held in June 2001, evaluated the results from an extensive N-version test of a collection of Reynolds-Averaged Navier-Stokes CFD codes. The code-to-code scatter was more than an order of magnitude larger than desired for design and experimental validation of cruise conditions for a subsonic transport configuration. The

second AIAA Drag Prediction Workshop, held in June 2003, emphasized the determination of installed pylon-nacelle drag increments and grid refinement studies. The code-to-code scatter was significantly reduced compared to the first DPW, but still larger than desired. However, grid refinement studies showed no significant improvement in code-to-code scatter with increasing grid refinement. The third Drag Prediction Workshop focused on the determination of installed side-of-body fairing drag increments and grid refinement studies for clean attached flow on wing alone configurations and for separated flow on the DLR-F6 subsonic transport model. This work evaluated the effect of grid refinement on the code-to-code scatter for the clean attached flow test cases and the separated flow test cases.

Author

*Statistical Analysis; Computational Fluid Dynamics; Drag; Separated Flow; Navier-Stokes Equation; Aerodynamic Configurations; Subsonic Speed*

**20070005189** Johns Hopkins Univ., Baltimore, MD USA

**Cross-Lingual Lexical Triggers in Statistical Language Modeling**

Kim, Woosung; Khudanpur, Sanjeev; Jan 2003; 9 pp.; In English

Contract(s)/Grant(s): NSF-ITR-0225656; NSF-IIS-9982329

Report No.(s): AD-A459581; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459581>; Avail.: CASI: [A02](#),

Hardcopy

We propose new methods to take advantage of text in resource-rich languages to sharpen statistical language models in resource-deficient languages. We achieve this through an extension of the method of lexical triggers to the cross-language problem, and by developing a likelihood-based adaptation scheme for combining a trigger model with an N-gram model. We describe the application of such language models for automatic speech recognition. By exploiting a side-corpus of contemporaneous English news articles for adapting a static Chinese language model to transcribe Mandarin news stories, we demonstrate significant reductions in both perplexity and recognition errors. We also compare our cross-lingual adaptation scheme to monolingual language model adaptation, and to an alternate method for exploiting cross-lingual cues, via crosslingual information retrieval and machine translation, proposed elsewhere.

DTIC

*Actuators; Mathematical Models; Statistical Analysis*

**20070005204** George Washington Univ., Washington, DC USA

**HYDRA: A Java Library for Markov Chain Monte Carlo**

Warnes, Gregory R; Mar 2002; 33 pp.; In English

Contract(s)/Grant(s): N00014-96-1-0192; NIH-1PO1CA76466

Report No.(s): AD-A459649; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459649>; Avail.: Defense Technical Information Center (DTIC)

Hydra is an open-source, platform-neutral library for performing Markov Chain Monte Carlo. It implements the logic of standard MCMC samplers within a framework designed to be easy to use, extend, and integrate with other software tools. In this paper, we describe the problem that motivated our work, outline our goals for the Hydra project, and describe the current features of the Hydra library. We then provide a step-by-step example of using Hydra to simulate from a mixture model drawn from cancer genetics, first using a variable-at-a-time Metropolis sampler and then a Normal Kernel Coupler. We conclude with a discussion of future directions for Hydra.

DTIC

*Libraries; Markov Chains; Markov Processes; Monte Carlo Method*

**20070005223** Washington Univ., Seattle, WA USA

**Model Validation and Spatial Interpolation by Combining Observations with Outputs from Numerical Models via Bayesian Melding**

Fuentes, Montserrat; Raftery, Adrian E; Nov 8, 2001; 33 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-1-96-1092; N00014-01-1-0745

Report No.(s): AD-A459748; TR-408; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459748>; Avail.: CASI:

[A03](#), Hardcopy

Constructing maps of pollution levels is vital for air quality management, and presents statistical problems typical of many environmental and spatial applications. Ideally, such maps would be based on a dense network of monitoring stations, but this does not exist. Instead, there are two main sources of information in the U.S.: one is pollution measurements at a sparse set

of about 50 monitoring stations called CASTNet, and the other is pollution emissions data. The pollution emissions data do not give direct information about pollution levels, but instead are combined with numerical models of weather and the emissions process and information about land use and cover (collectively called Models-3), to produce maps. Here we develop a formal method for combining these two sources of information. We specify a simple model for both the Models-3 output and the CASTNet observations in terms of the unobserved ground truth, and estimate the model in a Bayesian way. This yields solutions to the spatial prediction, model validation and bias removal problems simultaneously. It provides improved spatial prediction via the posterior distribution of the ground truth, allows us to validate Models-3 via the posterior predictive distribution of the CASTNet observations, and enables us to remove the bias in the Models-3 output by estimating additive and multiplicative bias parameters in the model. We apply our methods to data on SO<sub>2</sub> concentrations.

DTIC

*Air Pollution; Bayes Theorem; Inference; Interpolation; Mathematical Models; Pollution Monitoring*

**20070005227** Washington Univ., Seattle, WA USA

**Easy Computation of Bayes Factors and Normalizing Constants for Mixture Models via Mixture Importance Sampling**

Emond, Mary J; Raftery, Adrian E; Steele, Russell J; Jul 1, 2001; 38 pp.; In English

Contract(s)/Grant(s): N00014-96-1-0192; N00014-96-1-0330

Report No.(s): AD-A459760; TR-398; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459760>; Avail.: CASI: A03, Hardcopy

No abstract available

*Bayes Theorem; Sampling*

**20070005229** Washington Univ., Seattle, WA USA

**Determining the Number of Colors or Gray Levels in an Image Using Approximate Bayes Factors: The Pseudolikelihood Information Criterion (PLIC)**

Stanford, Derek C; Raftery, Adrian E; Feb 15, 2001; 26 pp.; In English

Contract(s)/Grant(s): N00014-96-1-0192; N00014-96-1-0330

Report No.(s): AD-A459788; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459788>; Avail.: CASI: A03, Hardcopy

We propose a method for choosing the number of colors, or true gray levels, in an image. This is motivated by medical and satellite image segmentation, and may also be useful for color and gray scale image quantization, the display and storage of computer-generated holograms, and the use of cooccurrence matrices for assessing texture in images. Our underlying probability model is a hidden Markov random field. Each number of colors considered is viewed as corresponding to a statistical model for the image, and the resulting models are compared via approximate Bayes factors. The Bayes factors are approximated using BIC, where the required maximized likelihood is approximated by the Qian-Titterton pseudolikelihood. We call the resulting criterion PLIC (Pseudolikelihood Information Criterion). We also discuss a simpler approximation, MMIC (Marginal Mixture Information Criterion), which is based only on the marginal distribution of pixel values. This turns out to be useful for initialization, and also to have moderately good, albeit suboptimal, performance in its own right. We apply PLIC to three examples: a simulated two-band image, a medical segmentation problem, and a satellite image, and in each case it gives good results in practice.

DTIC

*Bayes Theorem; Color; Criteria; Images*

**20070005231** Washington Univ., Seattle, WA USA

**Robust Estimation of cDNA Microarray Intensities with Replicates**

Gottardo, Raphael; Raftery, Adrian E; Yeung, Ka Yee; Bumgarner, Roger E; Dec 1, 2003; 28 pp.; In English

Contract(s)/Grant(s): N00014-01-1-0745

Report No.(s): AD-A459797; TR-438; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459797>; Avail.: CASI: A03, Hardcopy

No abstract available

*Bayes Theorem; Complementary DNA; Markov Processes*

**20070005243** Washington Univ., Seattle, WA USA

**Bilinear Mixed Effects Models for Dyadic Data**

Hoff, Peter D; Jul 2, 2003; 21 pp.; In English

Contract(s)/Grant(s): N00014-02-1-1011

Report No.(s): AD-A459832; UW-STAT-TR-403; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459832>; Avail.: CASI: A03, Hardcopy

This article discusses the use of a symmetric multiplicative interaction effect to capture certain types of third-order dependence patterns often present in social networks and other dyadic datasets. Such an effect, along with standard linear fixed and random effects, is incorporated into a generalized linear model, and a Markov chain Monte Carlo algorithm is provided for Bayesian estimation and inference. In an example analysis of international relations data, accounting for such patterns improves model fit and predictive performance.

DTIC

*Algorithms; Markov Chains; Mathematical Models; Monte Carlo Method*

**20070005246** Washington Univ., Seattle, WA USA

**Easy Estimation of Normalizing Constants and Bayes Factors from Posterior Simulation: Stabilizing the Harmonic Mean Estimator**

Satagopan, Jaya M; Newton, Michael A; Raftery, Adrian E; Nov 2000; 23 pp.; In English

Contract(s)/Grant(s): N00014-96-1-1092

Report No.(s): AD-A459836; TR-382; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459836>; Avail.: CASI: A03, Hardcopy

No abstract available

*Bayes Theorem; Stabilization*

**20070005248** Massachusetts Inst. of Tech., Cambridge, MA USA

**Bagging Regularizes**

Poggio, Tomaso; Rifkin, Ryan; Mukherjee, Sayan; Rakhlin, Alex; Mar 2002; 9 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-00-1-0907; IIS-0085836

Report No.(s): AD-A459843; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459843>; Avail.: CASI: A02, Hardcopy

Intuitively, we expect that averaging - or bagging - different regressors with low correlation should smooth their behavior and be somewhat similar to regularization. In this note we make this intuition precise. Using an almost classical definition of stability, we prove that a certain form of averaging provides generalization bounds with a rate of convergence of the same order as Tikhonov regularization - similar to fashionable RKHS-based learning algorithms,

DTIC

*Expert Systems; Regression Analysis; Stability*

**20070005251** Baker (Wilfred) Engineering, Inc., San Antonio, TX USA

**Risk Bounds for Mixture Density Estimation**

Rakhlin, Alexander; Panchenko, Dmitry; Mukherjee, Sayan; Jan 2004; 13 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-00-1-0907; N00014-02-1-0915

Report No.(s): AD-A459846; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459846>; Avail.: CASI: A03, Hardcopy

In this paper we focus on the problem of estimating a bounded density using a finite combination of densities from a given class. We consider the Maximum Likelihood Procedure (MLE) and the greedy procedure described by Li and Barron. Approximation and estimation bounds are given for the above methods. We extend and improve upon the estimation results of Li and Barron, and in particular prove a bound on the estimation error which does not depend on the number of densities in the estimated combination.

DTIC

*Maximum Likelihood Estimates; Risk*

**20070005320** Naval Research Lab., Washington, DC USA

**Intensity Analysis of Recurrence Plots for the Detection of Deterministic Signals in Noise**

Dissinger, Bryan M; Nichols, J M; Rohde, G K; Rhodes, R B; Bucholtz, F; Dec 12, 2006; 28 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459965; NRL/MR/5650--06-9004; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459965>; Avail.: CASI: [A03](#), Hardcopy

This report describes a new method for the detection of deterministic signals in the presence of additive white Gaussian noise. The new method, based on recurrence plots, relies on a sliding-window technique combined with histogram analysis. Performance of the new approach is quantified in terms of receiver-operator characteristic (ROC) curves. The report also provides background information on recurrence plots and ROC curves necessary to understand the new approach.

DTIC

*Signal Detection*

**20070005322** Massachusetts Inst. of Tech., Cambridge, MA USA

**Multiscale Representations of Markov Random Fields**

Luetgen, Mark R; Karl, William C; Willsky, Alan S; Tenney, Robert R; Jun 27, 1993; 40 pp.; In English

Contract(s)/Grant(s): N00014-91-J-1004

Report No.(s): AD-A459967; MIT-LIDS-P-2157; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459967>; Avail.: CASI: [A03](#), Hardcopy

Recently, a framework for multiscale stochastic modeling was introduced based on coarse-to-fine scale-recursive dynamics defined on trees. This model class has some attractive characteristics which lead to extremely efficient, statistically optimal signal and image processing algorithms. In this paper, we show that this model class is also quite rich. In particular, we describe how 1-D Markov processes and 2-D Markov random fields (MRF's) can be represented within this framework. The recursive structure of 1-D Markov processes makes them simple to analyze, and generally leads to computationally efficient algorithms for statistical inference. On the other hand, 2-D MRF's are well known to be very difficult to analyze due to their non-causal structure, and thus their use typically leads to computationally intensive algorithms for smoothing and parameter identification. In contrast, our multiscale representations are based on scale-recursive models and thus lead naturally to scale-recursive algorithms, which can be substantially more efficient computationally than those associated with MRF models. In 1-D, the multiscale representation is a generalization of the mid-point deflection construction of Brownian motion. The representation of 2-D MRF's is based on a further generalization to a 'mid-line' deflection construction. The exact representations of 2-D MRF's are used to motivate a class of multiscale approximate MRF models based on one-dimensional wavelet transforms. We demonstrate the use of these latter models in the context of texture representation and, in particular, we show how they can be used as approximations for or alternatives to well-known MRF texture models.

DTIC

*Inference; Markov Processes; Radio Frequencies*

**20070005327** Army Tank-Automotive and Armaments Command, Warren, MI USA

**Survivability: A Markov Process**

Parks, Jack G; Apr 1, 2001; 7 pp.; In English

Report No.(s): AD-A459974; 16199; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459974>; Avail.: CASI: [A02](#), Hardcopy

Previous articles in this series have covered the time behavior of force strengths, battle trajectories, and recursive relationships between battle parameters. In this edition, the general solution to the Markov equation is derived and effects of segmented battle are compared with traditional conflict modes. Survivability, lethality, and repair are compared as command options. New graphic techniques are explored to reveal fundamental features of this combat structure.

DTIC

*Lethality; Markov Processes; Segments*

**20070005353** Carnegie-Mellon Univ., Pittsburgh, PA USA

**A Network Optimization Approach for Improving Organizational Design**

Carley, Kathleen M; Kamneva, Natalia Y; Jan 2004; 25 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-02-1-0973; N00014-97-1-0037

Report No.(s): AD-A460030; CMU-ISRI-04-102; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460030>; Avail.: CASI: [A03](#), Hardcopy

Organizations are frequently designed and redesigned, often in efforts to improve performance or meet various managerial goals for coordination and communication. Such design is often done through the review of a few of options and the use of managerial and possibly personnel insight into how the new design might work. In contrast, we provide a systematic

optimization based approach. In this approach, the user can pick one or more Dynamic Network Analysis (DNA) metrics and then use one or more of the available optimizers to find a design that more closely meets this ideal. The optimizer utilizes heuristic based optimization procedures to generate an optimized organizational design given a particular mission. DNA metrics, such as Communication Congruence, Resource Congruence, Cognitive Load, and Actual Workload, serve to define criteria. The Optimizer can perform multi-criteria optimization in order to improve several metrics simultaneously. Two optimization methods can be used Monte Carlo and Simulated Annealing, both of which are statistical methods of finding a global optimum. DNA metrics used in the optimizations are computed by ORA. This report describes this optimizer.

DTIC

*Network Analysis; Optimization; Organizations; Workloads (Psychophysiology)*

**20070005406** Army Tank-Automotive and Armaments Command, Warren, MI USA

**Techniques for the Statistical Analysis of Observer Data**

Bennett, John G; Feb 14, 2001; 6 pp.; In English

Report No.(s): AD-A460096; No Copyright; Avail.: CASI: [A02](#), Hardcopy

For vehicle designers, the main goal of experiments on the observability of combat vehicles is a comparison of the probability of detection of two vehicles as a function of range. This paper investigates two statistical techniques for analyzing data from fixed observer tests. The two techniques are as follows: (1) fitting logistic curves to the vehicle data, and (2) using the Fisher Exact Test to compare the probability of detection of the two vehicles at each range. The paper also discusses the issues of background variability and confidence levels for hypothesis testing. Results show that the Fisher Exact Test has advantages over fitting a logistic curve. Because data are compared only at the same range, the effect of variability of background with range is avoided. Experimental sample sizes can be calculated for comparison of proportions to insure that a given difference in probability of detection (Pd) will be significant. Moreover, the Fisher Exact Test lends itself to quantitative hypothesis testing.

DTIC

*Combat; Curve Fitting; Detection; Field Tests; Statistical Analysis*

**20070005439** Polytechnic Univ., Brooklyn, NY USA

**Enhancement of Stochastic Resonance by Tuning System Parameters and Adding Noise Simultaneously**

Wu, Xingxing; Jiang, Zhong-Ping; Repperger, Daniel; Nov 2005; 8 pp.; In English

Contract(s)/Grant(s): Proj-2313

Report No.(s): AD-A460145; No Copyright; Avail.: CASI: [A02](#), Hardcopy

The stochastic resonance effect can be realized by tuning system parameters or by adding noise. This paper investigates the possibility to enhance the stochastic resonance effect by tuning system parameters and adding noise simultaneously. First, we use some examples to demonstrate the situation where only the system parameters or noise can be adjusted for maximizing the stochastic resonance effect. Then, it is shown using standard optimization theory that the normalized power normal  $\hbar C \gamma$  of the bistable double-well system with a periodic input signal can reach a larger maximal value by tuning the system parameter and adding noise simultaneously. Finally, for the purpose of practical implementation, searching for the optimal system parameter and noise intensity is realized by an on-line fast-converging optimization algorithm.

DTIC

*Augmentation; Resonance; Stochastic Processes; Tuning*

**20070005445** Massachusetts Inst. of Tech., Cambridge, MA USA

**Simulated Annealing Type Algorithms for Multivariate Optimization**

Gelfand, Saul B; Mitter, Sanjoy K; Jan 1989; 23 pp.; In English

Contract(s)/Grant(s): AFOSR-85-0227B

Report No.(s): AD-A460156; LIDS-P-1845; No Copyright; Avail.: CASI: [A03](#), Hardcopy

We study the convergence of a class of discrete-time continuous-state stimulated annealing type algorithms for multivariate optimization. The general algorithm that we consider is of the form  $X_{k+1} = X_k - a(k)(\text{del}U(X_k) + x_{ik}) + b_k W_k$ . Here  $U(\cdot)$  is a smooth function on a compact subset of real number  $r$ ,  $\{x_{ik}\}$  is a sequence of real number  $r$ -valued random variables,  $\{W_k\}$  is a sequence of independent standard  $r$ -dimensional Gaussian random variables, and  $\{a_k\}$ ,  $\{b_k\}$  are sequences of positive numbers which tend to zero. These algorithms arise by adding slowly decreasing white Gaussian noise to gradient descent, random search, and stochastic approximation algorithms. We show that under suitable conditions on  $U(\cdot)$ ,

{xik}, {ak} and {bk} that  $X_k$  converges in probability to the set of global minima of  $U(\cdot)$ .

DTIC

*Algorithms; Multivariate Statistical Analysis; Optimization; Simulated Annealing; Stochastic Processes*

**20070005514** Polytechnic Univ., Brooklyn, NY USA

**Enhancement of Stochastic Resonance Using Optimization Theory**

Wu, Xingxing; Jiang, Zhong-Ping; Repperger, Daniel W; Guo, Yi; Sep 2006; 22 pp.; In English

Contract(s)/Grant(s): ECS-009317; OISE-0408925; Proj-2313

Report No.(s): AD-A460357; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The traditional stochastic resonance is realized by adding an optimal amount of noise, while the parameter tuning stochastic resonance is realized by optimally tuning the system parameters. The further improvement of the maximal normalized power norm of the bistable double-well dynamic system with white Gaussian noise input can be converted to an optimization problem with constraints on system parameters and noise intensity, which is proven to have one and only one local maximum for the Gaussian-distributed weak input signal.

DTIC

*Augmentation; Optimization; Random Noise; Signal to Noise Ratios; Stochastic Processes*

**20070005519** SRI International Corp., Menlo Park, CA USA

**Stereo Matching by Hierarchical, Microcanonical Annealing**

Barnard, Stephen T; Feb 6, 1987; 16 pp.; In English

Contract(s)/Grant(s): DCA76-85-C-0004; MDA903-86-C-0084

Report No.(s): AD-A460372; TN-414; No Copyright; Avail.: CASI: [A03](#), Hardcopy

An improved stochastic stereo-matching algorithm is presented. It incorporates two substantial modifications to an earlier version: a new variation of simulated annealing that is faster, simpler, and more controllable than the conventional 'heat-bath' version, and a hierarchical, coarse-to- fine-resolution control structure. The Hamiltonian used in the original model is minimized, but far more efficiently. The basis of micro canonical annealing is the Creutz algorithm. Unlike its counterpart, the familiar Metropolis algorithm, the Creutz algorithm simulates a thermally isolated system at equilibrium. The hierarchical control structure, together with a Brownian state-transition function, tracks ground states across scale, beginning with small, coarsely coded levels. Results are shown for a 512 x 512 pair with 50 pixels of disparity.

DTIC

*Algorithms; Annealing; Stochastic Processes*

66

**SYSTEMS ANALYSIS AND OPERATIONS RESEARCH**

Includes mathematical modeling of systems; network analysis; mathematical programming; decision theory; and game theory.

**20070003831** University of Southern California, Marina del Rey, CA USA

**Mitigation Theory: An Integrated Approach**

Martinovski, Bilyana; Mao, Wenji; Gratch, Jonathan; Marsella, Stacy; Jan 2005; 7 pp.; In English

Report No.(s): AD-A459212; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459212>; Avail.: CASI: [A02](#),

Hardcopy

The purpose of this paper is to develop a theoretical model of mitigation by integrating cognitive and discourse approaches to appraisal and coping. Mitigation involves strategic, emotional, linguistic, and Theory of Mind processes on different levels of consciousness. We emphasize that discourse analysis can assist our understanding of these processes.

DTIC

*Social Factors; Mathematical Models; Psychology*

**20070003833** Texas Univ., Arlington, TX USA

**Iterative Structure Discovery in Graph-Based Data**

Coble, Jeffrey A; Rathi, Runu; Cook, Diane J; Holder, Lawrence B; Jan 2005; 25 pp.; In English

Contract(s)/Grant(s): F30602-01-2-0570

Report No.(s): AD-A459054; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459054>; Avail.: CASI: [A03](#),

Hardcopy



Much of current data mining research is focused on discovering sets of attributes that discriminate data entities into classes, such as shopping trends for a particular demographic group. In contrast, we are working to develop data mining techniques to discover patterns consisting of complex relationships between entities. Our research is particularly applicable to domains in which the data is event-driven or relationally structured. In this paper we present approaches to address two related challenges; the need to assimilate incremental data updates and the need to mine monolithic datasets. Many realistic problems are continuous in nature and therefore require a data mining approach that can evolve discovered knowledge over time. Similarly, many problems present data sets that are too large to fit into dynamic memory on conventional computer systems. We address incremental data mining by introducing a mechanism for summarizing discoveries from previous data increments so that the globally-best patterns can be computed by mining only the new data increment. To address monolithic datasets we introduce a technique by which these datasets can be partitioned and mined serially with minimal impact on the result quality. We present applications of our work in both the counter-terrorism and bioinformatics domains.

DTIC

*Information Retrieval; Iteration; Graphs (Charts); Data Mining*

**20070004635** Aerospace Corp., USA

**Constellation Stretch Goals: Review of Industry Inputs**

Lang, John; December 19, 2006; 148 pp.; In English; Constellation Stretch Goals, 19- Dec. 2006, Washington, DC, USA; Original contains color illustrations

Contract(s)/Grant(s): NNL04AA09B; WBS 604746.01.98.04; No Copyright; ONLINE:

<http://hdl.handle.net/2060/20070004635>; Avail.: CASI: A07, Hardcopy

Many good ideas received based on industry experience: a) Shuttle operations; b) Commercial aircraft production; c) NASA's historical way of doing business; d) Military and commercial programs. Aerospace performed preliminary analysis: a) Potential savings; b) Cost of implementation; c) Performance or other impact/penalties; d) Roadblocks; e) Unintended consequences; f) Bottom line. Significant work ahead for a 'Stretch Goal' to become a good, documented requirement: 1) As a group, the relative 'value' of goals are uneven; 2) Focused analysis on each goal is required: a) Need to ensure that a new requirement produces the desired consequence; b) It is not certain that some goals will not create problems elsewhere. 3) Individual implementation path needs to be studied: a) Best place to insert requirement (what level, which document); b) Appropriate wording for the requirement. Many goals reflect 'best practices' based on lessons learned and may have value beyond near-term CxP requirements process.

Derived from text

*Industries; Commerce; Commercial Aircraft; Costs; Military Operations; Space Transportation System Flights*

**20070004817** Massachusetts Inst. of Tech., Cambridge, MA USA

**Analysis, Estimation, and Control for Perturbed and Singular Systems and for Systems Subject to Discrete Events**

Oct 1988; 25 pp.; In English

Contract(s)/Grant(s): AFOSR-88-0032

Report No.(s): AD-A459505; LIDS-R-1826; No Copyright; Avail.: CASI: A03, Hardcopy

No abstract available

*Linear Systems; Systems Analysis; Perturbation; Asymptotes; Control Systems Design*

**20070004925** Massachusetts Inst. of Tech., Cambridge, MA USA

**Analytical Verification of Undesirable Properties of Direct Model Reference Adaptive Control Algorithms**

Aug 1981; 65 pp.; In English

Contract(s)/Grant(s): AFOSR-77-3281

Report No.(s): AD-A459574; LIDS-P-1122; No Copyright; Avail.: CASI: A04, Hardcopy

No abstract available

*Algorithms; Model Reference Adaptive Control; Mathematical Models; Feedback Control*

**20070005185** Military Academy, West Point, NY USA

**Risk-Based Decision Support of Water Resource Management Alternatives**

West, Paul D; Trainor, Timothy E; Dec 2006; 28 pp.; In English

Report No.(s): AD-A458328; DSE-TR-0721; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458328>; Avail.:

CASI: A03, Hardcopy

This report describes a risk-based decision support system for designing and managing large-scale water resource projects. A model is presented that combines a new risk assessment methodology with traditional decision-making tools to enable systems engineers to capture the full spectrum of operational risks during the design process. Enhancing public welfare through the deliberate management of water resources is vital for every society. Pollution, overuse, and consumption challenge a society's ability to develop and sustain water supplies for municipal, agricultural, industrial, and recreational use while protecting fisheries and wetlands. Water resource management decisions are complex and involve risk. This project identifies a risk taxonomy to help managers identify those risks and their severity. These risk factors provide the foundation for a multi-attribute utility decision support tool for managers and policymakers. Quantifying the risks in competing courses of action is an essential first step. The risk taxonomy identifies 13 risk factors that comprise the physical, logical, and environmental domains. Attributes of the essential risk elements are viewed in terms of utility and drive the decision process through traditional multi-attribute utility analysis. The result is a set of feasible alternatives that is both risk-based and value-focused for the decision maker to consider. The project is presented in the context of the Susquehanna River Basin that spans three states in the USA, with management interests at the state, regional, and national levels. The Susquehanna River is the 16th largest river in the USA and its tributaries drain 27,510 square miles. The project builds on work supporting the Susquehanna River Basin Commission's decision on managing the 14-mile-long Conowingo Pool near the river's terminus. This project was conducted for the NATO Advanced Research Workshop held in Istanbul, Turkey, 12-16 Oct 2006.

DTIC

*Alternatives; Decision Support Systems; Resources Management; Risk; Rivers; Susquehanna River Basin (MD-NY-PA); Taxonomy; Water Resources*

**20070005186** Massachusetts Inst. of Tech., Cambridge, MA USA

**On the Design of Information-Processing and Decisionmaking Organizations**

Boettcher, Kevin L; Levis, Alexander H; Sep 1982; 20 pp.; In English

Contract(s)/Grant(s): AFOSR-80-0229

Report No.(s): AD-A459577; LIDS-P-1241; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459577>; Avail.:

CASI: A03, Hardcopy

An effectiveness measure for comparing alternative organizational structures is derived and then applied to the evaluation of two three-person organizations. The modeling and analysis of the organizations is carried out using an information theoretic framework in which decisionmakers are described by a two-stage model consisting of a situation assessment and a response selection stage. The total rate of internal processing of each decisionmaker is constrained by bounded rationality, while the performance of the organization must satisfy specified goals. The interrelationship between performance and bounded rationality is expressed in a generalized strategy space that forms the basis for the effectiveness analysis.

DTIC

*Data Processing; Decision Making; Mathematical Models; Organizations*

**20070005191** Massachusetts Inst. of Tech., Cambridge, MA USA

**Modeling the Interacting Decision Maker with Bounded Rationality**

Boettcher, Kevin L; Levis, Alexander H; Jul 1981; 34 pp.; In English

Contract(s)/Grant(s): AFOSR-80-0229

Report No.(s): AD-A459583; LIDS-P-1110; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459583>; Avail.:

CASI: A03, Hardcopy

An analytic characterization of the process of executing a well-defined decision-making task by a human decision maker is presented. A basic two-stage model of this process is introduced in which external situations are first assessed and then responses are selected. An information theoretic framework is used in which total internal activity is described in terms of internal coordination and internal decision-making, as well as throughput and blockage. A constraint on the rate of internal processing is suggested as a model of bounded rationality. The model is extended to include basic interactions in an organizational context: Direct control is modeled as a restriction on internal decision-making by external commands while indirect control is incorporated through an auxiliary situation assessment input received from the organization.

DTIC

*Decision Making; Decision Theory*

**20070005297** Army Tank-Automotive Research and Development Command, Warren, MI USA

**A Comparison of Mamdani and Sugeno Methods for Modeling Visual Perception Lab Data**

Meitzler, Thomas J; Sohn, Euijung; Jun 1, 2005; 6 pp.; In English

Report No.(s): AD-A459918; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459918>; Avail.: CASI: [A02](#), Hardcopy

A laboratory test procedure is described by the authors in which a baseline Light Armored Vehicle (LAV) is compared to a treated LAV in the TARDEC Visual Perception Laboratory (VPL). The test imagery was collected from the field and then adjusted for display in the laboratory. The experimental visual detection values obtained in the lab were modeled using the Mamdani and Sugeno/ANFIS Fuzzy Reasoning techniques. The results of each modeling approach are compared to the experimental detection values obtained in the laboratory.

DTIC

*Detection; Fuzzy Systems; Military Vehicles; Visual Perception*

**20070005360** Brigham Young Univ., Provo, UT USA

**Characteristic Shape Sequences for Measures on Images**

Pinge, Rachael L; Abramson, Mark A; Asaki, Thomas J; Dennis, J E; Nov 22, 2006; 17 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): F49620-01-1-0013

Report No.(s): AD-A460043; TR06-17; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460043>; Avail.: CASI: [A03](#), Hardcopy

Researchers in many fields often need to quantify the similarity between images using metrics that measure qualities of interest in a robust quantitative manner. We present here the concept of image dimension reduction through characteristic shape sequences. We formulate the problem as a nonlinear optimization program and demonstrate the solution on a test problem of extracting maximal area ellipses from two dimensional image data. To solve the problem numerically, we augment the class of mesh adaptive direct search (MADS) algorithms with a filter, so as to allow infeasible starting points and to achieve better local solutions. Results here show that the MADS filter algorithm is successful in the test problem of finding good characteristic ellipse solutions from simple but noisy images.

DTIC

*Optimization; Sequencing; Shapes*

**20070005364** Massachusetts Inst. of Tech., Cambridge, MA USA

**Robust Controller Design: Minimizing Peak-to-Peak Gain**

Dahleh, Munther A; Sep 1992; 98 pp.; In English

Contract(s)/Grant(s): F33615-90-C-3608

Report No.(s): AD-A460049; LIDS-P-2129; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460049>; Avail.: CASI: [A05](#), Hardcopy

In this report, we address the general problem of designing controllers that minimize the maximum peak-to-peak gain, otherwise known as the  $H_\infty$  optimal control problem, in the presence of structured uncertainty.

DTIC

*Controllers; Feedback*

**20070005376** Massachusetts Inst. of Tech., Cambridge, MA USA

**Parallel Algorithms for the Assignment and Minimum-Cost Flow Problems**

Orlin, James B; Stein, Clifford; Jul 1992; 11 pp.; In English

Contract(s)/Grant(s): AFOSR-88-0088

Report No.(s): AD-A460051; SLOAN-WP-3440-92-MSA; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Let  $G = (V, E)$  be a network for an assignment problem with  $2n$  nodes and  $m$  edges, in which the largest edge cost is  $C$ . Recently the class of instances of bipartite matching problems has been shown to be in RNC provided that  $C$  is  $O(\log(\exp k) n)$  for some fixed  $k$ . We show how to use scaling so as to develop an improved parallel algorithm and show that bipartite matching problems are in the class RNC provided that  $C = O(n \log(\exp k) n)$  for some fixed  $k$ . We then generalize these results to minimum-cost flow problems. Let  $U$  be an upper bound on the capacities of the edges and on the largest demand. We show that the minimum cost flow problem is in the class RNC, provided that  $\log(C + U) = O(\log(\exp k) n)$  for some fixed  $k$ . Thus the minimum-cost flow problem is in the class RNC even when the magnitude of the costs and capacities are allowed

to grow faster than any polynomial in  $n$ . The key to our approach is to reduce the number of processors needed from an amount that is proportional to the magnitude of the largest edge cost to an amount that is independent of the magnitude of the largest edge cost. The tradeoff is an increase in the running time that grows linearly in  $\log(C + U)$ .

DTIC

*Algorithms; Allocations; Cost Effectiveness*

**20070005503** Mitre Corp., Hampton, VA USA

**XML-Native Constraint Evaluation**

Cokus, M; Costello, R; Malloy, M A; Masek, E; Winkowski, D; Jan 2004; 25 pp.; In English

Report No.(s): AD-A460336; XC-AC2ISRC; No Copyright; Avail.: CASI: [A03](#), Hardcopy

This paper discusses approaches to validating XML documents for compliance to constraints. Our particular focus is on structural and content constraints that go beyond what is readily expressible in XML Schema technologies. We provide examples and solutions drawn from our specific experience building an XML-native constraint validator based on a mathematical language called Structural Notation (SN). SN is used to express operational constraints as machine-processible Rules against a particular category of hierarchically structured, text-oriented military messages, called Message Text Formats (MTFs), which have been migrated to a corresponding XML-based representation. We discuss the challenges we faced in implementing this XML-native constraint evaluator. For example, we discuss how, to build a Rule validator, we found it necessary to extend the underpinnings of logical evaluation in XPath 2.0 to use three-valued logic (3VL) rather than two-valued logic. We detail some general principles for expressing and enforcing constraints against regularly structured text, when rendered as an XML document. We enumerate minimal capabilities needed by a constraint language and evaluator for XML documents and suggest some ways our approaches can be generalized for use in other domains. Because the need to apply constraints to incomplete or flawed documents is not unique to the military messaging world, a constraint evaluation model such as we propose, grounded in 3VL, is relevant to the XML user community at large.

DTIC

*Document Markup Languages; Format; Messages; Texts*

**20070005520** Army Research Inst. of Environmental Medicine, Natick, MA USA

**Preliminary Derivation of Test Item Clusters for Predicting Injuries, Poor Physical Performance, and Overall Attrition in Basic Combat Training**

Allison, Stephen C; Knapik, Joseph J; Sharp, Marilyn A; Dec 2006; 59 pp.; In English

Report No.(s): AD-A460374; USARIEM-T07-06; No Copyright; Avail.: CASI: [A04](#), Hardcopy

Analytic methods including test item clusters (TICs) employed in medical diagnostic testing have potential for estimating probabilities of negative military training outcomes in individual trainees. Baseline attributes and performance scores that discriminate between groups experiencing negative vs. positive training outcomes were combined to maximize predictive power and accuracy. Predictive models were derived from 15 baseline variables using existing data (518 men and 416 women Basic Combat Training (BCT) trainees) to predict: Army Physical Fitness Test (APFT) failure at week 7; overuse injury during BCT; and failure to complete BCT with peers. The models included from one to four predictors per TIC. Large shifts in pre-test to post-test probability (as high as from 16% pre-test probability to 90% post-test probability) were observed with TICs to predict APFT failure for both men and women, and to predict overuse injuries in men. Smaller probability shifts were seen with the single tests identified to predict BCT attrition for both men and women. No useful model for predicting overuse injuries in women was derived from the methods and data employed in this study. This study suggests good potential for these analytic methods to derive useful combinations of prognostic variable for predicting negative outcomes in BCT.

DTIC

*Combat; Education; Injuries; Performance Prediction; Physical Fitness*

**20070005524** Maryland Univ., College Park, MD USA

**Summarization-Inspired Temporal-Relation Extraction: Tense-Pair Templates and Treebank-3 Analysis**

Dorr, Bonnie; Gaasterland, Terry; Dec 2006; 12 pp.; In English

Contract(s)/Grant(s): HR0011-06-2-0001

Report No.(s): AD-A460392; UMIACS-TR-2006-58; CS-TR-4844; No Copyright; Avail.: CASI: [A03](#), Hardcopy

This document describes the information used for summarization-inspired temporal-relation extraction [Dorr and Gaasterland, 2007]. We present a set of tense/aspect extraction templates that are applied to a Penn Treebank-style analysis of the input sentence. We also present an analysis of tense-pair combinations for different temporal connectives based on a

corpus analysis of complex tense structures in Treebank-3. Finally, we include analysis charts and temporal relation tables for all combinations of intervals/points for each legal BTS combinations.

DTIC

*Linguistics; Natural Language Processing; Templates*

## 67

### THEORETICAL MATHEMATICS

Includes algebra, functional analysis, geometry, topology, set theory, group theory and number theory.

**20070003519** University of Southern California, Marina del Rey, CA USA

#### **Evaluating a Computational Model of Social Causality and Responsibility**

Mao, Wenji; Gratch, Jonathan; Jan 2006; 9 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459151; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459151>; Avail.: CASI: [A02](#),

Hardcopy

Intelligent agents are typically situated in a social environment and must reason about social cause and effect. Such reasoning is qualitatively different from physical causal reasoning that underlies most intelligent systems. Modeling social causal reasoning can enrich the capabilities of multi-agent systems and intelligent user interfaces. In this paper, we empirically evaluate a computational model of social causality and responsibility against human social judgments. Results from our experimental studies show that in general, the model's predictions of internal variables and inference process are consistent with human responses, though they also suggest some possible refinement to the computational model.

DTIC

*Artificial Intelligence; Bias; Cognition; Models*

**20070004561** Massachusetts Inst. of Tech., Cambridge, MA USA

#### **On the Onsager-Machlup Functional of Diffusion Processes Around Non C2 Curves**

Aug 1988; 31 pp.; In English

Contract(s)/Grant(s): AFOSR-85-0227

Report No.(s): AD-A459633; LIDS-P-1742; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Diffusion; Curves; Functionals*

**20070005206** Massachusetts Inst. of Tech., Cambridge, MA USA

#### **Analysis of Continuous Switching Systems: Theory and Examples**

Branicky, Michael S; Nov 1993; 29 pp.; In English

Contract(s)/Grant(s): F49620-86-C-0127; SBF861-0436

Report No.(s): AD-A459652; LIDS-P-2215; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459652>; Avail.:

CASI: [A03](#), Hardcopy

This paper details work on ordinary differential equations that continuously switch among regimes of operation. In the first part, we develop some tools for analyzing such systems. We prove an extension of Bendixson's Theorem to the case of Lipschitz continuous vector fields. We also prove a lemma dealing with the robustness of differential equations with respect to perturbations that preserve a linear part, which we call the Linear Robustness Lemma. We then give some simple propositions that allow us to use this lemma in studying certain singular perturbation problems. In the second part, the attention focuses on example systems and their analysis. We use the tools from the first part and develop some general insights. The example systems arise from a realistic aircraft control problem. The extension of Bendixson's Theorem and the Linear Robustness Lemma have applicability beyond the systems discussed in this paper.

DTIC

*Differential Equations; Digital Systems; Switching; Switching Theory*

**20070005230** Washington Univ., Seattle, WA USA

#### **Incremental Model-Based Clustering for Large Datasets With Small Clusters**

Fraley, Chris; Raftery, Adrian; Wehrens, Ron; Dec 10, 2003; 24 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-01-10745

Report No.(s): AD-A459790; TR-439; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459790>; Avail.: CASI:

[A03](#), Hardcopy

Clustering is often useful for analyzing and summarizing information within large datasets. Model-based clustering methods have been found to be effective for determining the number of clusters, dealing with outliers, and selecting the best clustering method in datasets that are small to moderate in size. For large datasets, current model-based clustering methods tend to be limited by memory and time requirements and the increasing difficulty of maximum likelihood estimation. They may fit too many clusters in some portions of the data and/or miss clusters containing relatively few observations. We propose an incremental approach for data that can be processed as a whole in memory, which is relatively efficient computationally and has the ability to find small clusters in large datasets. The method starts by drawing a random sample of the data, selecting and fitting a clustering model to the sample, and extending the model to the full dataset by additional EM iterations. New clusters are then added incrementally, initialized with the observations that are poorly fit by the current model. We demonstrate the effectiveness of this method by applying it to simulated data, and to image data where its performance can be assessed visually.

DTIC

*Algorithms; Data Processing; Mathematical Models; Set Theory*

**20070005234** Massachusetts Inst. of Tech., Cambridge, MA USA

**Dissociated Dipoles: Image Representation via Non-local Comparisons**

Balas, Benjamin J; Sinha, Pawan; Aug 2003; 16 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459820; AL MEMO 2003-018; CBCL MEMO 229; No Copyright; ONLINE:

<http://hdl.handle.net/100.2/ADA459820>; Avail.: CASI: A03, Hardcopy

A fundamental question in visual neuroscience is how to represent image structure. The most common representational schemes rely on differential operators that compare adjacent image regions. While well-suited to encoding local relationships, such operators have significant drawbacks. Specifically, each filter's span is confounded with the size of its sub-fields, making it difficult to compare small regions across large distances. We find that such long-distance comparisons are more tolerant to common image transformations than purely local ones, suggesting they may provide a useful vocabulary for image encoding. We introduce the Dissociated Dipole, or Sticks operator, for encoding non-local image relationships. This operator de-couples filter span from sub-field size, enabling parametric movement between edge and region-based representation modes. We report on the perceptual plausibility of the operator, and the computational advantages of non-local encoding. Our results suggest that non-local encoding may be an effective scheme for representing image structure.

DTIC

*Differential Equations; Images*

**20070005239** Washington Univ., Seattle, WA USA

**Strictly Proper Scoring Rules, Prediction and Estimation**

Gneiting, Tilmann; Raftery, Adrian E; Sep 2004; 30 pp.; In English

Contract(s)/Grant(s): N00014-01-10745

Report No.(s): AD-A459827; UW-STAT-TR-463; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459827>; Avail.:

CASI: A03, Hardcopy

Scoring rules assess the quality of probabilistic forecasts, by assigning a numerical score based on the forecast and on the event or value that materializes. A scoring rule is strictly proper if the forecaster maximizes the expected score for an observation drawn from the distribution  $F$  if she issues the probabilistic forecast  $F$ , rather than any  $G$  not equal  $F$ . In prediction problems, strictly proper scoring rules encourage the forecaster to make careful assessments and to be honest. In estimation problems, strictly proper scoring rules provide attractive loss and utility functions that can be tailored to the scientific problem at hand. This paper characterizes strictly proper scoring rules on general probability spaces, and proposes and discusses examples of such. The continuous ranked probability score applies to probabilistic forecasts that take the form of predictive cumulative distribution functions; it generalizes the absolute error and forms a special case of a new and very general type of score, the energy score. Proper scoring rules for quantile and interval forecasts are also discussed. We relate proper scoring rules to Bayes factors and to cross-validation, and show that a particular form of cross-validation, random-fold cross-validated likelihood, corresponds to a proper scoring rule. This also allows us to define proper scoring rules when parameters defining the rule are estimated from the data. A case study on probabilistic weather forecasts in the North American Pacific Northwest illustrates the importance of strict propriety. Optimum score approaches to point estimation are noted, and the intuitively appealing interval score is proposed as a utility function in interval estimation that addresses width as well as coverage.

DTIC

*Prediction Analysis Techniques; Scoring*

**20070005256** Massachusetts Inst. of Tech., Cambridge, MA USA

**Statistical Learning: Stability is Sufficient for Generalization and Necessary and Sufficient for Consistency of Empirical Risk Minimization**

Mukherjee, Sayan; Niyogi, Partha; Poggio, Tomaso; Rifkin, Ryan; Jan 2004; 56 pp.; In English

Contract(s)/Grant(s): N00014-00-1-0907

Report No.(s): AD-A459857; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459857>; Avail.: CASI: A04, Hardcopy

Solutions of learning problems by Empirical Risk Minimization (ERM) -- and almost-ERM when the minimizer does not exist -- need to be consistent, so that they may be predictive. They also need to be well-posed in the sense of being stable, so that they might be used robustly. We propose a statistical form of leave-one-out stability, called CVEEEE(loo) stability. Our main new results are two. We prove that for bounded loss classes CVEEEE(loo) stability is (a) sufficient for generalization, that is convergence in probability of the empirical error to the expected error, for any algorithm satisfying it and, (b) necessary and sufficient for generalization and consistency of ERM. Thus CVEEEE(loo) stability is a weak form of stability that represents a sufficient condition for generalization for general learning algorithms while subsuming the classical conditions for consistency of ERM. We discuss alternative forms of stability. In particular, we conclude that for ERM a certain form of well-posedness is equivalent to consistency.

DTIC

*Consistency; Learning; Optimization; Risk; Stability*

**20070005290** State Univ. of New York, Binghamton, NY USA

**On Developing Theory and Application of Community Generation**

Zhang, Zhongfei; Oct 2006; 24 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): FA8750-04-1-0234; Proj-558B

Report No.(s): AD-A459905; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459905>; Avail.: CASI: A03, Hardcopy

The primary focus of this project was to develop a theory on community generation. Specific techniques as the Block Value Decomposition (BVD) and soft correspondence ensemble clustering frameworks, spectral relational clustering algorithm, and the general relation summary network model were designed and built. The BVD framework is a general framework for co-clustering dyadic relational data, which is a typical type of relational data in many applications. The soft correspondence ensemble clustering framework is a general framework for combining different clustering results together to deliver the optimal clustering result, which has many applications in distributed data mining and privacy-preserving data mining. The spectral relational clustering algorithm we have developed is a powerful relational data clustering algorithm that can be used for any type of relational data clustering. Finally, the relation summary network is the most general model that incorporates all the previous work as well as many existing models and algorithms in the literature which may be considered as the special cases of this model.

DTIC

*Algorithms; Relational Data Bases*

**20070005335** Massachusetts Inst. of Tech., Cambridge, MA USA

**A Wavelet-Based Method for Multiscale Tomographic Reconstruction**

Bhatia, M; Karl, W C; Willisky, A S; Dec 18, 1993; 32 pp.; In English

Contract(s)/Grant(s): F49620-92-J-0002

Report No.(s): AD-A459987; MIT-LIDS-P-2182; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459987>; Avail.: Defense Technical Information Center (DTIC)

We represent the standard ramp filter operator of the filtered back-projection (FBP) reconstruction in different bases composed of Haar and Daubechies compactly supported wavelets. The resulting multiscale representation of the ramp filter matrix operator is approximately diagonal. The accuracy of this diagonal approximation becomes better as wavelets with larger number of vanishing moments are used. This wavelet-based representation enables us to formulate a multiscale tomographic reconstruction technique wherein the object is reconstructed at multiple scales or resolutions. A complete reconstruction is obtained by combining the reconstructions at different scales. Our multiscale reconstruction technique has the same computational complexity as the FBP reconstruction method. It differs from other multiscale reconstruction techniques in that (1) the object is defined through a multiscale transformation of the projection domain, and (2) we explicitly account for noise in the projection data by calculating maximum a posteriori probability (MAP) multiscale reconstruction estimates based on a chosen fractal prior on the multiscale object coefficients. The computational complexity of this MAP

solution is also the same as that of the FBP reconstruction. This is in contrast to commonly used methods of statistical regularization which result in computationally intensive optimization algorithms. The framework for multiscale reconstruction presented here can find application in object feature recognition directly from projection data, and regularization of imaging problems where the projection data are noisy.

DTIC

*Image Reconstruction; Tomography; Wavelet Analysis*

**20070005356** Carnegie-Mellon Univ., Pittsburgh, PA USA

**A Boolean Approach to Unbounded, Fully Symbolic Model Checking of Timed Automata**

Seshia, Sanjit A; Bryant, Randal E; Mar 2003; 26 pp.; In English

Contract(s)/Grant(s): DAAD19-01-1-0485

Report No.(s): AD-A460035; CNA-CMU-CS-03-117; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460035>;

Avail.: CASI: A03, Hardcopy

We present a new approach to unbounded, fully symbolic model checking of timed automata that is based on an efficient translation of quantified separation logic to quantified Boolean logic. Our technique preserves the interpretation of clocks over the reals and can check any property expressed in the timed  $\mu$  calculus. The core operations of eliminating quantifiers over real variables and deciding separation logic are respectively translated to eliminating quantifiers on Boolean variables and checking Boolean satisfiability (SAT). We can thus leverage well-known techniques for Boolean formulas, including Binary Decision Diagrams (BDDs) and recent advances in SAT and SAT-based quantifier elimination. We present preliminary empirical results for a BDD-based implementation of our method.

DTIC

*Automata Theory; Boolean Algebra*

## 70

### PHYSICS (GENERAL)

Includes general research topics related to mechanics, kinetics, magnetism, and electrodynamics. For specific areas of physics see *categories 71 through 77*. For related instrumentation see *35 Instrumentation and Photography*; for geophysics, astrophysics, or solar physics see *46 Geophysics, 90 Astrophysics, or 92 Solar Physics*.

**20070003735** Lawrence Livermore National Lab., Livermore, CA USA

**Predictive Science Academic Alliances Program (PSAAP) Technical White Paper Turbulent Mixing and Hydrodynamics**

Schilling, O.; Steinkamp, M.; Baer, M.; Feb. 24, 2006; 12 pp.; In English

Report No.(s): DE2006-888627; UCRL-TR-219287; No Copyright; Avail.: Department of Energy Information Bridge

The design of efficient, high-gain capsules for inertial confinement fusion (ICF) and the modeling of supernova implosions and explosions requires a detailed understanding of the consequences of material interpenetration, hydrodynamic instabilities and mixing at molecular (or atomic) scales arising from perturbations at material interfaces, i.e., the Rayleigh-Taylor, Richtmyer-Meshkov and Kelvin-Helmholtz instabilities (buoyancy-, shock- and shear-induced instabilities, respectively). From a computational point of view, this requires the development of models for hydrodynamic instability growth from initial perturbations through the weakly- and strongly-nonlinear phases, and finally, to the late-time turbulent regime. In particular, modeling these processes completely and accurately is critical for demonstrating the feasibility and potential success of contemporary ICF capsule designs. A predictive computational capability for the effects of turbulent mass, momentum, energy and species transport, as well as material mixing, on the thermonuclear fusion process in ICF entails the development of turbulent transport and mixing or subgrid-scale models based on statistically-averaged or filtered evolution equations, respectively. The former models are typically referred to as Reynolds-averaged Navier-Stokes (RANS) (and related) models and the latter are referred to as large-eddy simulation (LES) models. The strong nonlinearity of the equations describing the hydrodynamics, thermodynamics, material properties and other multi-scale phenomena, together with the formal ensemble averaging or filtering procedure, introduce correlations of strongly-fluctuating fields and other a priori unclosed quantities that must be explicitly modeled to close the set of equations describing the implosion dynamics and burning of an ICF capsule.

NTIS

*Confinement; Explosions; Hydrodynamics; Predictions; Thermodynamics; Turbulent Mixing*



**20070003740** Thomas Jefferson National Accelerator Facility, Newport News, VA, USA, Lancaster Univ., UK  
**Simulation and Optimisation of a 100MA DC Photo-Injector**

Hannon, F. E.; Hernandez-Garcia, C.; January 2006; 8 pp.; In English

Report No.(s): DE2006-888666; No Copyright; Avail.: National Technical Information Service (NTIS)

A prototype 100mA injector is presently being designed and manufactured jointly between Thomas Jefferson National Accelerator Facility (JLab) and Advanced Energy Systems (AES). This paper discusses the physics optimization and performance of the injector which has been studied using the space-charge tracking code ASTRA. The objective is to operate the 7MeV injector with 135pC electron bunches at 748.5MHz repetition rate. We show that the longitudinal and transverse electron bunch properties can be realized within the constraints of the design.

NTIS

*Injectors; Optimization; Simulation*

**20070003744** Pacific Northwest National Lab., Richland, WA, USA

**FY 2005 Miniature Spherical Retroreflectors Final Report**

Anheier, N. C.; Bernacki, B. E.; Johnson, B. R.; Riley, B. J.; Sliger, W. A.; Dec. 2005; 42 pp.; In English

Report No.(s): DE2006-888714; PNNL-15577; No Copyright; Avail.: National Technical Information Service (NTIS)

Research done by the Infrared Photonics team at Pacific Northwest National Laboratory (PNNL) is focused on developing miniature spherical retroreflectors using the unique optical and material properties of chalcogenide glass to reduce both performance limiting spherical and chromatic aberrations. The optimized optical performance will provide efficient signal retroreflection that enables a broad range of remote detection scenarios for mid-wave infrared (MWIR) and long-wave infrared (LWIR) sensing applications. Miniature spherical retroreflectors can be developed to aid in the detection of signatures of nuclear proliferation or other chemical vapor or radiation signatures. Miniature spherical retroreflectors are not only well suited to traditional bistatic LIDAR methods for chemical plume detection and identification, but could enable remote detection of difficult semi-volatile chemical materials or low level radiation sources.

NTIS

*Miniaturization; Retroreflectors*

**20070003745** Thomas Jefferson National Accelerator Facility, Newport News, VA, USA

**Performances of High Purity Niobium Cavities with Different Grain Sizes**

Ciovati, G.; Kneisel, P.; Myneni, G. R.; Shattopadhyay, S.; January 2006; 8 pp.; In English

Report No.(s): DE2006-888727; No Copyright; Avail.: National Technical Information Service (NTIS)

Grain boundaries have for some time been suspected of influencing the performance of RF cavities made from high purity niobium by limiting the temperature dependent BCS surface resistance to a residual resistance because of impurity segregation and by causing field limitations due to flux penetration. We have carried out a comparative study of the RF behavior of 2.2 GHz TM<sub>010</sub> cavities of identical shape, fabricated from single crystal niobium, niobium of grain sizes of the order of several cm<sup>2</sup> and standard poly-crystalline material. All the cavities were treated with buffered chemical polishing (BCP), post-purified at 1250 C and 'in-situ' baked at 120 C. This contribution reports about the results of the measurements of the temperature dependence of the surface resistance  $R_s(T)$  and the  $Q_0$  vs.  $E_{acc}$  behavior at 2 K. From the analysis of the  $R_s(T)$  data at low RF fields material parameters such as gap value, mean free path and residual resistance could be extracted. The dependence of the Q-value on RF field was analyzed with respect to the medium field Q-slope, 'Q-drop' at high fields and the 'quench' fields. The best performance resulted in a breakdown field of (approx.) 165 mT, corresponding to an accelerating gradient of  $E_{acc}$  (approx.) 38 MV/m.

NTIS

*Cavities; Grain Size; Niobium; Purity*

**20070003754** Thomas Jefferson National Accelerator Facility, Newport News, VA, USA

**Investigation of Hot-Spots as a Function of Material Removal in a Large-Grain Niobium Cavity**

Ciovati, G.; Kneisel, P.; January 2006; 8 pp.; In English

Report No.(s): DE2006-888823; No Copyright; Avail.: National Technical Information Service (NTIS)

The performance of a single-cell cavity made of RRR  $\approx$  200 large-grain niobium has been investigated as a function of material removal by buffered chemical polishing. Temperature maps of the cavity surface at 1.7 and 2.0 K were taken for each step of chemical etching and revealed several 'hot-spots', which contribute to the degradation of the cavity quality factor as a function of the RF surface field, mostly at high field levels. It was found that the number of 'hot-spots' decreased for larger

material removal. Interestingly, the losses of the 'hot spots' at different locations evolved differently for successive material removal. The cavity achieved peak surface magnetic fields of about of 130 mT and was limited mostly by thermal quench. By measuring the temperature dependence of the surface resistance at low field between 4.2 K and 1.7 K, the variation of niobium material parameters as a function of material removal could also be investigated. This contribution shows the results of the RF tests along with the temperature maps and the analysis of the losses caused by the 'hot-spots'.

NTIS

*Cavities; Niobium*

**20070003755** Stanford Linear Accelerator Center, CA, USA, London Univ., UK

**Measurement of the CKM Matrix Element  $V_{cb}$  and  $V_{ub}$  at the B-Factories**

Menges, W.; January 2006; 8 pp.; In English

Report No.(s): DE2006-888782; SLAC-PUB-11958; No Copyright; Avail.: Department of Energy Information Bridge

Recent results on inclusive and exclusive semileptonic B decays from B-factories are presented. The impact of these measurements on the determination of the CKM matrix elements ( $V_{ub}$ ) and ( $V_{cb}$ ) is discussed.

NTIS

*Mesons; Matrices (Mathematics)*

**20070003788** Lawrence Livermore National Lab., Livermore, CA USA

**Phase Effects on Mesoscale Object X-ray Attenuation Radiographs**

Martz, H. E.; Aufderheide, M. B.; Barty, A.; Hau-Riege, S.; Lehman, S. K.; Nov. 14, 2005; 8 pp.; In English

Report No.(s): DE2006-887289; UCRL-TR-217053; No Copyright; Avail.: National Technical Information Service (NTIS)

Digital x-ray radiography and computed tomography methods are commonly used to characterize mesoscale objects (mm size objects with (micro)m size features). However the ability of these methods to provide high spatial resolution images is dependent, in part, on object recovery algorithms that account for phase effects (1). The objective of this work is the development and validation of algorithms to model phase-contrast effects observed in x-ray radiographic systems, and to use these algorithms for quantitative object recovery. This work has three distinct tasks. First, we are modifying HADES (2,3) to model x-ray phase contrast and are investigating whether multislice techniques within the object are needed to fully capture the physics seen in x-ray data. Second, we are developing object recovery approaches. Third, we are validating these simulations against x-ray systems using well-known objects. At the end of this R&D, we will have a set of validated x-ray forward modeling codes including the effects of phase and an understanding of the current object recovery methods limitations.

NTIS

*Algorithms; Computer Aided Tomography; Mesoscale Phenomena; Radiography; X Rays*

**20070003790** Stanford Linear Accelerator Center, CA, USA

**New Perspectives for QCD From AdS/CFT**

Brodsky, S. J.; January 2006; 14 pp.; In English

Report No.(s): DE2006-887468; SLAC-PUB-11976; No Copyright; Avail.: National Technical Information Service (NTIS)

The AdS/CFT correspondence between conformal field theory and string states in an extended space-time has provided new insights into not only hadron spectra, but also their light-front wavefunctions. We show that there is an exact correspondence between the fifth-dimensional coordinate of anti-de Sitter space  $z$  and a specific impact variable,  $\sigma$ , which measures the separation of the constituents within the hadron in ordinary space-time. This connection allows one to predict the form of the light-front wavefunctions of mesons and baryons, the fundamental entities which encode hadron properties and scattering amplitudes. A new relativistic Schrodinger light-cone equation is found which reproduces the results obtained using the fifth-dimensional theory.

NTIS

*Baryons; Hadrons; Quantum Chromodynamics*

**20070003791** Lawrence Livermore National Lab., Livermore, CA USA

**MEMS Actuated Deformable Mirror**

Papavasiliou, A.; OPilver, S.; Barbee, T.; Walton, C.; Cohn, M.; Nov. 11, 2005; 16 pp.; In English

Report No.(s): DE2006-888610; UCRL-TR-217023; No Copyright; Avail.: Department of Energy Information Bridge

This ongoing work concerns the creation of a deformable mirror by the integration of MEMS actuators with Nanolaminate

foils through metal compression bonding. These mirrors will use the advantages of these disparate technologies to achieve dense actuation of a high-quality, continuous mirror surface. They will enable advanced adaptive optics systems in large terrestrial telescopes. While MEMS actuators provide very dense actuation with high precision they can not provide large forces typically necessary to deform conventional mirror surfaces. Nanolaminate foils can be fabricated with very high surface quality while their extraordinary mechanical properties enable very thin, flexible foils to survive the rigors of fabrication. Precise metal compression bonding allows the attachment of the fragile MEMS actuators to the thin nanolaminate foils without creating distortions at the bond sites. This paper will describe work in four major areas: (1) modeling and design, (2) bonding development, (3) nanolaminate foil development, (4) producing a prototype. A first-principles analytical model was created and used to determine the design parameters. A method of bonding was determined that is both strong, and minimizes the localized deformation or print through. Work has also been done to produce nanolaminate foils that are sufficiently thin, flexible and flat to be deformed by the MEMS actuators. Finally a prototype was produced by bonding thin, flexible nanolaminate foils to commercially available MEMS actuators.

NTIS

*Deformable Mirrors; Deformation; Microelectromechanical Systems; Mirrors*

**20070003792** Lawrence Livermore National Lab., Livermore, CA USA

**Certification of Completion of Level-2 Milestone 461: Deploy First Phase of I/O Infrastructure for Purple**

Gary, M.; Wiltzius, D.; Nov. 23, 2005; 16 pp.; In English

Report No.(s): DE2006-888607; UCRL-TR-217288; No Copyright; Avail.: National Technical Information Service (NTIS)

This report describes the deployment and demonstration of the first phase of the I/O infrastructure for Purple. The report and the references herein are intended to certify the completion of the following Level 2 Milestone from the ASC FY04-05 Implementation Plan, due at the end of Quarter 4 in FY05. The milestone is defined as follows: 'External networking infrastructure installation and performance analysis will be completed for the initial delivery of Purple. The external networking infrastructure includes incorporation of a new 10 Gigabit Ethernet fabric linking the platform to the LLNL High Performance Storage System (HPSS) and other center equipment. The LLNL archive will be upgraded to HPSS Release 5.1 to support the requirements of the machine and performance analysis will be completed using the newly deployed I/O infrastructure. Demonstrated throughput to the archive for this infrastructure will be a minimum of 1.5GB/s with a target of 3GB/s. Since Purple delivery is not scheduled until late Q3, demonstration of these performance goals will use parts of Purple and/or an aggregate of other existing resources.

NTIS

*Certification; Computer Networks*

**20070003793** Jefferson (Thomas) National Accelerator Facility, Newport News, VA, USA

**JLAB Ampere-Clast Cryomodule Conceptual Design**

Rimber, R. A.; Ciovati, G.; Daly, E. F.; Elliott, T.; Hick, W. R.; January 2006; 8 pp.; In English

Report No.(s): DE2006-888556; No Copyright; Avail.: National Technical Information Service (NTIS)

For the next generation of compact high-power FELs a new cryomodule is required that is capable of accelerating up to Ampere levels of beam current. Challenges include strong HOM damping, high HOM power and high fundamental-mode power (in operating scenarios without full energy recovery). For efficient use of space a high real-estate gradient is desirable and for economic operation good fundamental-mode efficiency is important. The technology must also be robust and should be based on well-proven and reliable technologies. For Ampere-class levels of beam current both halo interception and beam break-up (BBU) are important considerations. These factors tend to drive the designs to lower frequencies where the apertures are larger and the transverse impedances are lower. To achieve these goals we propose to use a compact waveguide-damped multi-cell cavity packaged in an SNS-style cryomodule.

NTIS

*Damping; Rocks; Modules*

**20070003794** Brookhaven National Lab., Upton, NY USA

**QT-Reumation for Polarized Semi-Inclusive Deep Inelastic Scattering**

Koike, Y.; Nagashima, J.; Vogelsang, W.; January 2006; 10 pp.; In English

Report No.(s): DE2006-888482; BNL-76758; No Copyright; Avail.: Department of Energy Information Bridge

We study the transverse-momentum distribution of hadrons produced in semiinclusive deep-inelastic scattering. We consider cross sections for various combinations of the polarizations of the initial lepton and nucleon or the produced hadron,

for which we perform the resummation of large double-logarithmic perturbative corrections arising at small transverse momentum. We present phenomenological results for the process  $ep \rightarrow eX$  for the typical kinematics in the COMPASS experiment. We discuss the impact of the perturbative resummation and of estimated non-perturbative contributions on the corresponding cross sections and their spin asymmetry.

NTIS

*Hadrons; Inelastic Scattering; Transverse Momentum*

**20070003795** Brookhaven National Lab., Upton, NY USA

**Summary of Beam Cooling and Intrabeam Scattering**

Fedotov, A. V.; Meshkov, I. N.; Wei, J.; January 2006; 10 pp.; In English

Report No.(s): DE2006-888481; BNL-76754; No Copyright; Avail.: Department of Energy Information Bridge

For heavy-particle beams in storage rings where there is no significant synchrotron radiation damping, beam cooling is an essential tool in obtaining high phase-space density high brightness beams. Advances in various types of cooling such as electron, stochastic, laser and muon cooling are covered in dedicated Conferences. In this series of Workshops (HB2002-06), discussions are aimed only at a few specific subjects which are crucial for future projects. The discussion topics in our session closely followed those discussed during the HB2004 workshop. Specifically, we concentrated on the topics of electron cooling and intrabeam scattering, motivated by the design of the future high-energy coolers. These cooling projects at high-energy require accurate numerical modeling and experimental verification. A variety of tasks were put together at HB2004. In our working group we discussed a progress in addressing these tasks. We had 10 presentations (with additional presentations in the joint sessions) which followed by dedicated discussions. Our main topics of discussions: intrabeam scattering (IBS), electron cooling, and beam stability are summarized.

NTIS

*Cooling; Scattering; Storage Rings (Particle Accelerators)*

**20070003796** Brookhaven National Lab., Upton, NY USA

**Parton Bubble Model for Two Particle Angular Correlations at RHIC/LHC**

Jun. 2006; 42 pp.; In English

Report No.(s): DE2006-888478; BNL-76747; No Copyright; Avail.: Department of Energy Information Bridge

In an earlier paper we developed a bubble model, based on a view we had shared with van Hove for over two decades. Namely, that if a quark-gluon plasma is produced in a high energy heavy ion collider, then its hadronization products would likely be emitted from small bubbles localized in phase space containing plasma. In this paper we refined the model to become a parton bubble model in which each localized bubble contains initially 3-4 partons which are almost entirely gluons forming a gluon hot spot. We greatly expanded the transverse momentum interval investigated, and thus are able to treat recombination effects within each bubble. We again utilize two particle correlations as a sensitive method for detecting the average bubble substructure. In this manuscript we make many predictions for angular correlations detectable at RHIC and which will be later modified to LHC conditions. Some early available low precision correlation analyses is qualitatively explained. However a critical consistency test of the model can be made with high precision data expected in the near future.

NTIS

*Angular Correlation; Bubbles; Partons*

**20070003797** Brookhaven National Lab., Upton, NY USA

**High Field Solenoid Magnets for Muon Cooling**

Kahn, S. A.; Alsharo'a, M.; Hanlet, P.; Johnson, R. P.; Kuchnir, M.; Jun. 2006; 10 pp.; In English

Report No.(s): DE2006-888477; BNL-76744; No Copyright; Avail.: National Technical Information Service (NTIS)

Magnets made with high-temperature superconducting (HTS) coils operating at low temperatures have the potential to produce extremely high fields for use in accelerators and beam lines. The specific application of interest that we are proposing is to use a very high field (of the order of 50 Tesla) solenoid to provide a very small beta region for the final stages of cooling for a muon collider. With the commercial availability of HTS conductor based on BSCCO technology with high current carrying capacity at 4.2 K, very high field solenoid magnets should be possible. In this paper we will evaluate the technical issues associated with building this magnet. In particular we address how to mitigate the high Lorentz stresses associated with this high field magnet.

NTIS

*Cooling; High Field Magnets; Magnets; Muons; Solenoids*

**20070003798** Brookhaven National Lab., Upton, NY USA

**Analysis of the Magnetized Friction Force**

January 2006; 12 pp.; In English

Report No.(s): DE2006-888476; No Copyright; Avail.: Department of Energy Information Bridge

A comprehensive examination of theoretical models for the friction force, in use by the electron cooling community, was performed. Here, they present their insights about the models gained as a result of comparison between the friction force formulas and direct numerical simulations, as well as studies of the cooling process as a whole.

NTIS

*Cooling; Friction; Magnetization; Mathematical Models*

**20070003800** Brookhaven National Lab., Upton, NY USA

**Experimental Studies of IBS in RHIC and Comparison with Theory**

Fedotov, A. V.; Fishcer, W.; Tepikian, S.; Wei, J.; January 2006; 10 pp.; In English

Report No.(s): DE2006-888475; BNL-76739; No Copyright; Avail.: Department of Energy Information Bridge

A high-energy electron cooling system is presently being developed to overcome emittance growth due to Intra-beam Scattering (IBS) in RHIC. A critical item for choosing appropriate parameters of the cooler is an accurate description of the IBS. The analytic models were verified vs dedicated IBS measurements. Analysis of the 2004 data with the Au ions showed very good agreement for the longitudinal growth rates but significant disagreement with exact IBS models for the transverse growth rates. Experimental measurements were improved for the 2005 run with the Cu ions. Here, we present comparison of the 2005 data with theoretical models.

NTIS

*Cooling; Heat Exchangers; Scattering*

**20070003802** Brookhaven National Lab., Upton, NY USA

**Parton Orbital Angular Momentum**

Bunce, G.; Fields, D.; Vogelsang, W.; January 2006; 116 pp.; In English

Report No.(s): DE2006-888470; BNL-75937; No Copyright; Avail.: Department of Energy Information Bridge

The joint UNM/RBRC Workshop on Parton Orbital Angular Momentum was held on February 24th through 26th at the University of New Mexico Department of Physics and Astronomy in Albuquerque, New Mexico, and was sponsored by The University of New Mexico (Physics Department, New Mexico Center for Particle Physics, Dean of Arts and Sciences, and Office of the Vice Provost for Research and Economic Development) and the NUN-BNL Research Center. The workshop was motivated by recent and upcoming experimental data based on methods which have been proposed to access partonic angular momenta, including Deeply Virtual Compton Scattering, measuring the Sivers functions, and measuring helicity dependent kt in jets. Our desire was to clarify the state of the art in the theoretical understanding in this area, and to help define what might be learned about partonic orbital angular momenta from present and upcoming high precision data, particularly at RHIC, Jlab, COMPASS and HERMES.

NTIS

*Angular Momentum; Conferences; Partons*

**20070003803** Sandia National Labs., Albuquerque, NM USA

**Energy Sustainability**

Robinett, R. D.; Wilson, D. G.; Reed, A. W.; May 2006; 44 pp.; In English

Report No.(s): DE2006-887482; SAND2006-2759; No Copyright; Avail.: Department of Energy Information Bridge

Exergy is the elixir of life. Exergy is that portion of energy available to do work. Elixir is defined as a substance held capable of prolonging life indefinitely, which implies sustainability of life. In terms of mathematics and engineering, exergy sustainability is defined as the continuous compensation of irreversible entropy production in an open system with an impedance and capacity-matched persistent exergy source. Irreversible and nonequilibrium thermodynamic concepts are combined with self-organizing systems theories as well as nonlinear control and stability analyses to explain this definition. In particular, this paper provides a missing link in the analysis of self-organizing systems: a tie between irreversible thermodynamics and Hamiltonian systems. As a result of this work, the concept of 'on the edge of chaos' is formulated as a set of necessary and sufficient conditions for stability and performance of sustainable systems. This interplay between exergy rate and irreversible entropy production rate can be described as Yin and Yang control: the dialectic synthesis of opposing power flows. In addition, exergy is shown to be a fundamental driver and necessary input for sustainable systems, since exergy

input in the form of power is a single point of failure for self-organizing, adaptable systems.

NTIS

*Entropy; Thermodynamics*

**20070003804** Rutherford Appleton Lab., Chilton, UK

**Recent tau Physics Studies at BABAR**

Wilson, F. F.; January 2006; 10 pp.; In English

Report No.(s): DE2006-887472; SLAC-PUB-11885; No Copyright; Avail.: National Technical Information Service (NTIS)

Recent results from (tau) physics studies at BABAR are presented with an emphasis on hadronic decays and lepton flavor violation studies.

NTIS

*Hadrons; Leptons; Particle Decay*

**20070003805** Stanford Linear Accelerator Center, CA, USA, Saclay Research Centre, Gif-sur-Yvette, France, Montreal Univ., Quebec, Canada

**Monitoring of Interaction-Point Parameters Using the 3-Dimensional Luminosity Distribution Measured at Pep-II**

Viaud, B. F.; Kozanecki, W.; O'Grady, C.; Thompson, J.; Jul. 2006; 8 pp.; In English

Report No.(s): DE2006-887471; SLAC-PUB-11900; No Copyright; Avail.: National Technical Information Service (NTIS)

The 3-D luminosity distribution at the IP of the SLAC B-Factory is monitored using  $e(\text{sup } +)e(\text{sup } -)$  (yields)  $e(\text{sup } +)e(\text{sup } -)$ ,  $(\mu)(\text{sup } +)(\mu)(\text{sup } -)$  events reconstructed online in the BABAR detector. The transverse centroid and spatial orientation of the luminosity ellipsoid reliably monitor IP orbit drifts. The longitudinal centroid is sensitive to small variations in the average relative RF phase of the beams and provides a detailed measurement of the phase transient along the bunch train. The longitudinal luminosity distribution depends on the  $e(\text{sup } +,-)$  overlap bunch length and the vertical IP (beta)-functions. Relative variations in horizontal luminous size are detectable at the micron level. In addition to continuous on-line monitoring of all the parameters above, we performed detailed studies of their variation along the bunch train to investigate a temporary luminosity degradation. We also compare  $(\beta)_y$  measurements, collected over a year of high-luminosity operation, with HER and LER lattice functions measured by resonant transverse excitation. Our bunch-length measurements are consistent with those obtained by other methods and provide direct evidence for bunch-length modulation.

NTIS

*Luminosity; Three Dimensional Models*

**20070003807** Stanford Linear Accelerator Center, CA, USA

**Probing QCD with Rare Charmless B Decays**

Gradl, W.; Jul. 2006; 8 pp.; In English

Report No.(s): DE2006-885507; SLAC-PUB-11942; No Copyright; Avail.: Department of Energy Information Bridge

Rare charmless hadronic B decays are a good testing ground for QCD. In this paper we describe a selection of new measurements made by the BABAR and BELLE collaborations.

NTIS

*Mesons; Quantum Chromodynamics*

**20070003808** Stanford Linear Accelerator Center, CA, USA, Stanford Univ., Stanford, CA USA

**Evaluation of TCP Congestion Control Algorithms on the Windows Vista Platform**

Li, Y. T.; Jun. 2006; 34 pp.; In English

Report No.(s): DE2006-885508; SLAC-TN-06-005; No Copyright; Avail.: National Technical Information Service (NTIS)

CTCP, an innovative TCP congestion control algorithm developed by Microsoft, is evaluated and compared to HSTCP and StandardTCP. Tests were performed on the production Internet from Stanford Linear Accelerator Center (SLAC) to various geographically located hosts to give a broad overview of the performances. We find that certain issues were apparent during testing (not directly related to the congestion control algorithms) which may skew results. With this in mind, we find that CTCP performed similarly to HSTCP across a multitude of different network environments. However, to improve the fairness and to reduce the impact of CTCP upon existing StandardTCP traffic, two areas of further research were investigated. Algorithmic additions to CTCP for burst control to reduce the aggressiveness of its cwnd increments demonstrated beneficial improvements in both fairness and throughput over the original CTCP algorithm. Similarly,  $(\gamma)$  auto-tuning algorithms were investigated to dynamically adapt CTCP flows to their network conditions for optimal performance. While the effects

of these auto-tuning algorithms when used in addition to burst control showed little to no benefit to fairness nor throughput for the limited number of network paths tested, one of the auto-tuning algorithms performed such that there was negligible impact upon StandardTCP. With these improvements, CTCP was found to perform better than HSTCP in terms of fairness and similarly in terms of throughput under the production environments tested.

NTIS

*Algorithms; Windows (Computer Programs); Performance Tests*

**20070004691** Stanford Linear Accelerator Center, CA, USA, Saclay Research Centre, Gif-sur-Yvette, France, Montreal Univ., Quebec, Canada

**Characterization of the Pep-II Colliding-Beam Phase Space by the Boost Method**

Weaver, M.; Kozanecki, W.; Viaud, B.; January 2006; 8 pp.; In English

Report No.(s): DE2006-887069; SLAC-PUB-11906; No Copyright; Avail.: National Technical Information Service (NTIS)

We present a novel approach to characterize the colliding-beam phase space at the interaction point of the energy-asymmetric PEP-II B-Factory. The method exploits the fact that the transverse-boost distribution of  $e(\text{sup } +)e(\text{sup } -)$  (yields)  $(\mu)(\text{sup } +)(\mu)(\text{sup } -)$  events reconstructed in the BABAR detector reflects that of the colliding electrons and positrons. The mean boost direction, when combined with the measured orientation of the luminous ellipsoid, determines the  $e(\text{sup } +)-e(\text{sup } -)$  crossing angles. The average angular spread of the transverse boost vector provides an accurate measure of the angular divergence of the incoming high-energy beam, confirming the presence of a sizeable dynamic-(beta) effect. The longitudinal and transverse dependence of the boost angular spread also allow to extract from the continuously-monitored distributions detailed information about the emittances and IP (beta)-functions of both beams during high-luminosity operation.

NTIS

*Storage Rings (Particle Accelerators); Characterization; Beams (Radiation)*

**20070004699** Brookhaven National Lab., Upton, NY USA

**Threshold Resummation Effects in the Polarized Drell-Yan Process at GSI and J-PARC**

Yokoya, H.; Vogelsang, W.; January 2006; 10 pp.; In English

Report No.(s): DE2006-886844; BNL-75904-2006-CP; No Copyright; Avail.: Department of Energy Information Bridge

We present studies of QCD corrections to dilepton production in transversely polarized  $pp$  and  $(\bar{p}p)$  scattering. In particular we briefly discuss the effects of NNLL threshold resummation on the rapidity distribution of the lepton pair.

NTIS

*Quantum Chromodynamics; Polarization (Waves)*

**20070004708** Stanford Linear Accelerator Center, CA, USA

**SABER Optical Design**

Erickson, R.; BAne, K.; Emma, P.; Nosochkov, Y.; Jul. 2006; 8 pp.; In English

Report No.(s): DE2006-885506; SLAC-PUB-11944; No Copyright; Avail.: National Technical Information Service (NTIS)

SABER, the South Arc Beam Experimental Region, is a proposed new beam line facility designed to replace the Final Focus Test Beam at SLAC. In this paper, we outline the optical design features and beam parameters now envisioned for SABER. A magnetic chicane to compress positron bunches for SABER and a bypass line that could transport electrons or positrons from the two-thirds point of the linac to SABER, bypassing the LCLS systems, are also discussed.

NTIS

*Design Analysis; Optical Equipment; Linear Accelerators*

**20070004714** General Electric Co., Niskayuna, NY, USA

**Gallium Nitride Crystals and Wafers and Method of Making**

D'Evelyn, M. P.; Park, D. S.; LeBoeuf, S. F.; Burton, L.; Narang, K. J.; 13 Dec 04; 30 pp.; In English

Contract(s)/Grant(s): NIST-70NANB9H3020

Patent Info.: Filed Filed 13 Dec 04; US-Patent-Appl-SN-11-010 507

Report No.(s): PB2007-100881; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A GaN crystal having up to about 5 mole percent of at least one of aluminum, indium, and combinations thereof. The GaN

crystal has at least one grain having a diameter greater than 2 mm, a dislocation density less than about  $10^{14}$  cm<sup>-2</sup>, and is substantially free of tilt boundaries.

NTIS

*Crystals; Gallium Nitrides; Nitrides; Wafers*

**20070004726** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA, Paul Scherrer Inst., Wuerenlingen, Switzerland, Rijeka Univ. formerly (Yugoslavia), Serbia

#### **SLS Optics Beamline**

Flechsig, U.; Abela, R.; Betemps, R.; Blumer, H.; Frank, K.; January 2006; 4 pp.; In English

Report No.(s): DE2006-888979; No Copyright; Avail.: National Technical Information Service (NTIS)

A multipurpose beamline for tests and developments in the field of x-ray optics and synchrotron radiation instrumentation in general is under construction at the Swiss Light Source (SLS) bending magnet X05DA. The beamline uses a newly developed UHV compatible, 100 micron thick, brazed CVD diamond vacuum window. The very compact cryogenically cooled channel cut Si(111) monochromator and bendable 1:1 toroidal focusing mirror at 7.75 m from the source point are installed inside the shielding tunnel. The beamline covers a photon energy range of about 6 to 17 keV. We expect  $5 \times 10^{11}$  photons/s within a 100 micron spot and a resolving power of 1300. The monochromator and focusing mirror can be retracted independently for unfocused monochromatic and focused 'white' light operation respectively.

NTIS

*Synchrotron Radiation; Light Sources; X Ray Optics*

**20070004727** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

#### **Studies of E-Cloud Build up the the FNAL Main Injector and for the LHC**

Furman, M. S.; January 2006; 5 pp.; In English

Report No.(s): DE2006-888977; No Copyright; Avail.: National Technical Information Service (NTIS)

We present a summary of recent simulation studies of the electron-cloud (EC) build-up for the FNAL MI and for the LHC. In the first case we pay particular attention to the dependence on bunch intensity  $N_{(sub\ b)}$  at injection energy assuming the nominal bunch spacing  $t_{(sub\ b)} = 19$  ns, and we focus on the dipole magnets and field-free regions. The saturated value of the average EC density shows a clear threshold in  $N_{(sub\ b)}$  beyond which the beam will be approximately neutralized on average. For the case of the LHC we limit our discussion to arc dipoles at collision energy, and bunch spacings  $t_{(sub\ b)} = 25$  ns or  $t_{(sub\ b)} = 75$  ns. The main variables exercised in this study are  $N_{(sub\ b)}$  and the peak value of the secondary emission yield (SEY)  $(\delta)_{(sub\ max)}$ . For  $t_{(sub\ b)} = 25$  ns we conclude that the EC power deposition is comfortably below the available cooling capacity of the cryogenic system if  $(\delta)_{(sub\ max)}$  is below (approx.) 1.2 at nominal  $N_{(sub\ b)}$ . For  $t_{(sub\ b)} = 75$  ns, the EC power deposition is insignificant. As a byproduct of this exercise, we reach a detailed understanding of the significant role played by the backscattered secondary electrons.

NTIS

*Injectors; Electron Clouds; Electron Beams; Secondary Emission*

**20070004740** Argonne National Lab., IL, USA

#### **History of the ZGS 500 MeV Booster**

Apr. 2006; 34 pp.; In English

Report No.(s): DE2006-885494; ANL-HEP-TR-06-44; No Copyright; Avail.: National Technical Information Service (NTIS)

The history of the design and construction of the Argonne 500 MeV booster proton synchrotron from 1969 to 1982 is described. This accelerator has since been in steady use for the past 25 years to power the Argonne Intense Pulsed Neutron Source (IPNS).

NTIS

*Accelerators; Neutron Sources*

**20070004914** Pan American Univ., Edinburg, TX USA

#### **Study of Nonlinear Oscillations of Elastic Membrane**

Sep 26, 2006; 5 pp.; In English

Contract(s)/Grant(s): DAAD19-03-1-0204

Report No.(s): AD-A459494; No Copyright; Avail.: CASI: [A01](#), Hardcopy

No abstract available

*Membrane Structures; Oscillations; Elastic Properties; Nonlinearity*



**20070004924** Aerospace Medical Research Labs., Wright-Patterson AFB, OH USA

**Dynamic Preload as an Impact Protection Concept**

Jan 1982; 7 pp.; In English

Report No.(s): AD-A459555; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Prestressing; Protection; Impact; Dynamic Characteristics*

**20070004953** Radiation Monitoring Devices, Inc., Watertown, MA, USA

**Lu(sub 1)xI(sub 3):Ce(sub x): A Scintillator for Gamma Ray Spectroscopy and Time-Of Flight Pet**

Shah, K. S.; 23 Sep 04; 21 pp.; In English

Contract(s)/Grant(s): DE-AC03-76SF00098; NIH-R01-CA6791-1

Patent Info.: Filed Filed 23 Sep 04; US-Patent-Appl-SN-10-948-914

Report No.(s): PB2007-100944; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The present invention concerns very fast scintillator materials comprising lutetium iodide doped with Cerium (Lu(sub 1)-xI(sub 3))(Ce(sub x) LuI(sub 3)Ce). The LuI(sub 3) scintillator material has surprisingly good characteristics including high light output, high gamma ray stopping efficiency, fast response, low cost, good proportionality, and minimal afterglow that the material is useful for gamma ray spectroscopy, medical imaging, nuclear and high energy physics research, diffraction, non-destructive testing, nuclear treaty verification and safeguards, and geological exploration. The timing resolution of the scintillators of the present invention provide compositions capable of resolving the position of an annihilation event within a portion of a human body cross-section.

NTIS

*Cerium; Gamma Ray Spectrometers; Iodides; Lutetium; Patent Applications; Positrons; Scintillation Counters; Tomography*

**20070004954** Stanford Linear Accelerator Center, CA, USA, Fermi National Accelerator Lab., Batavia, IL, USA, Deutsches Elektronen-Synchrotron, Hamburg, Germany

**High Precision SC Cavity Diagnostics with Hom Measurements**

Frisch, J.; Hendrickson, L.; McCormick, D.; May, J.; Molloy, S.; Aug. 2006; 5 pp.; In English

Report No.(s): DE2006-889665; SLAC-PUB-12066; No Copyright; Avail.: National Technical Information Service (NTIS)

Experiments at the FLASH linac at DESY have demonstrated that the Higher Order Modes induced in Superconducting Cavities can be used to provide a variety of beam and cavity diagnostics. The centers of the cavities can be determined from the beam orbit which produces minimum power in the dipole HOM modes. The phase and amplitude of the dipole modes can be used as a high resolution beam position monitor, and the phase of the monopole modes to measure the beam phase relative to the accelerator RF. Beam orbit feedback which minimizes the dipole HOM power in a set of structures has been demonstrated. For most SC accelerators, the existing HOM couplers provide the necessary signals, and the down mix and digitizing electronics are straightforward, similar to those for a conventional BPM.

NTIS

*Cavities; Diagnosis; Superconducting Cavity Resonators*

**20070004955** Radiation Monitoring Devices, Inc., Watertown, MA, USA

**Very Fast Doped LaBr(sub 3) Scintillators and Time-Of-Flight Pet**

Shah, K. S.; 23 Sep 04; 23 pp.; In English

Contract(s)/Grant(s): DE-AC03-76SF00098; NIH-R01-CA6791-1

Patent Info.: Filed Filed 23 Sep 04; US-Patent-Appl-SN-10-948-913

Report No.(s): PB2007-100943; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The present invention concerns very fast scintillator materials capable of resolving the position of an annihilation event within a portion of a human body cross-section. In one embodiment, the scintillator material comprises LaBr(sub 3) doped with cerium. Particular attention is drawn to LaBr(sub 3) doped with a quantity of Ce that is chosen for improving the timing properties, in particular the rise time and resultant timing resolution of the scintillator, and locational capabilities of the scintillator.

NTIS

*Bromides; Cerium; Doped Crystals; Lanthanum; Patent Applications; Positrons; Scintillation Counters; Tomography*

**20070004956** Stanford Univ., Stanford, CA USA, Stanford Linear Accelerator Center, CA, USA

**Topological Twisted Sigma Model with H-Flux Revisited**

Chuang, W. Y.; January 2006; 16 pp.; In English

Report No.(s): DE2006-889667; SLAC-PUB-12049; No Copyright; Avail.: Department of Energy Information Bridge

In this paper we revisit the topological twisted sigma model with H-flux. We explicitly expand and then twist the worldsheet Lagrangian for bi-Hermitian geometry. We show that the resulting action consists of a BRST exact term and pullback terms, which only depend on one of the two generalized complex structures and the B-field. We then discuss the topological feature of the model.

NTIS

*Lagrangian Function; Mathematical Models*

**20070004958** Birmingham Univ., UK, California Univ., Berkeley, CA USA, Cambridge Univ., Cambridge, UK, Deutsches Elektronen-Synchrotron, Hamburg, Germany

**Direct Measurement of Geometric and Resistive Wakefields in Tapered Collimators for the International Linear Collider**

Watson, N. K.; Adey, D.; Stockton, M. C.; Kolomensky, Y.; Slater, M.; January 2006; 3 pp.; In English

Report No.(s): DE2006-889668; SLAC-PUB-12029; No Copyright; Avail.: Department of Energy Information Bridge

Precise collimation of the beam halo is required in the International Linear Collider (ILC) to prevent beam losses near the interaction region that could cause unacceptable backgrounds for the physics detector. The necessarily small apertures of the collimators lead to transverse wakefields that may result in beam deflections and increased emittance. A set of collimator wakefield measurements has previously been performed in the ASSET region of the SLAC Linac. We report on the next phase of this program, which is carried out at the recently commissioned End Station A (ESA) test facility at SLAC. Measurements of resistive and geometric wakefields using tapered collimators are compared with model predictions from MAFIA and GdfidL and with analytic calculations.

NTIS

*Collimators; Linear Accelerators; Geometry; Analysis (Mathematics)*

**20070004960** Stanford Linear Accelerator Center, CA, USA

**Measurement of the  $B^+$  Yields  $\eta^+ \nu$  and  $B^+$  Yields  $\eta^+ \nu$  Branching Fractions Using Upsilon(4S) yields  $B \bar{B}$  Event Tagged by a Fully Reconstructed B Meson. The BABAR Collaboration**

January 2006; 18 pp.; In English

Report No.(s): DE2006-889669; SLAC-PUB-11996; No Copyright; Avail.: Department of Energy Information Bridge

We report preliminary measurements of the exclusive charmless semileptonic branching fractions of the  $B(\text{sup } +)$  (yields)  $(\eta)(\text{ell})(\text{sup } +)(\nu)$  and  $B(\text{sup } +)$  (yields)  $(\eta)(\text{ell})(\text{sup } +)(\nu)$  decays. These measurements are based on 316 fb(sup -1) of data collected at the Upsilon(4S) resonance by the BABAR detector. In events in which the decay of one B meson to a hadronic final state is fully reconstructed, the semileptonic decay of the recoiling B meson is identified by the detection of a charged lepton and an  $(\eta)$  or  $(\eta)$ . We measure the branching fraction  $(\text{Beta})(B(\text{sup } +)$  (yields)  $(\eta)(\text{ell})(\text{sup } +)(\nu)) = (0.84 (+-) 0.27 (+-) 0.21) \times 10(\text{sup } -4)$ , where the first error is statistical and the second one systematic. We also set an upper limit on the branching fraction of  $(\text{Beta})(B(\text{sup } +)$  (yields)  $(\eta)(\text{ell})(\text{sup } +)(\nu)) \leq 1.4 \times 10(\text{sup } -4)$  and  $(\text{Beta})(B(\text{sup } +)$  (yields)  $(\eta)'(\text{ell})(\text{sup } +)(\nu)) \leq 1.3 \times 10(\text{sup } -4)$  at the 90% confidence level.

NTIS

*Mesons; Hadrons; Confidence Limits; Errors*

**20070004962** Stanford Linear Accelerator Center, CA, USA

**Measurement of Decay Amplitudes of B to  $c\bar{c} K^*$  with an Angular Analysis, for  $c\bar{c} = J/\Psi, \Psi(2S)$  and  $\chi_{c1}$ . The BABAR Collaboration**

Jul. 28, 2006; 19 pp.; In English

Report No.(s): DE2006-889670; SLAC-PUB-11995; No Copyright; Avail.: Department of Energy Information Bridge

We perform the first three-dimensional measurement of the amplitudes of B (yields)  $(\psi)(2S)K^*$  and B (yields)  $(\chi)(\text{sub } c1)K^*$  decays and update our previous measurement for B (yields)  $J/\psi K^*$ . We use a data sample collected with the BABAR detector at the PEP-II storage ring, representing 232 million produced  $B(\bar{B})$  pairs. The longitudinal polarization of decays

to the  $1(\text{sup } ++)$  ( $\chi$ )(sub c1) meson together with a  $K^*$  meson, is found to be larger than that for the decay to the  $1(\text{sup } --)$  ( $\Psi$ ) mesons. No direct CP-violating charge asymmetry is observed.

NTIS

*Asymmetry; Storage Rings (Particle Accelerators); Mesons; Particle Decay*

**20070004963** Sandia National Labs., Albuquerque, NM USA

### **Quantum Gate Decomposition Algorithms**

Slepoy, A.; Jul. 2006; 12 pp.; In English

Report No.(s): DE2006-889415; SAND2006-3440; No Copyright; Avail.: Department of Energy Information Bridge

Quantum computing algorithms can be conveniently expressed in a format of a quantum logical circuits. Such circuits consist of sequential coupled operations, termed 'quantum gates', or quantum analogs of bits called qubits. We review a recently proposed method for constructing general 'quantum gates' operating on  $n$  qubits, as composed of a sequence of generic elementary 'gates'.

NTIS

*Algorithms; Decomposition*

**20070004964** Stanford Linear Accelerator Center, CA, USA

### **Measurement of Time-Dependent CP Asymmetries in $B(\text{sup } 0)$ Yields $K(\text{sup } 0)(\text{sub } s)$ Decays. The BABAR Collaboration**

Aug. 17, 2006; 17 pp.; In English

Report No.(s): DE2006-889671; SLAC-PUB-11987; No Copyright; Avail.: Department of Energy Information Bridge

The authors present an updated measurement of the time-dependent CP-violating asymmetry in  $B(\text{sup } 0)$  ( $\text{yields } K(\text{sub } S)(\text{sup } 0)K(\text{sub } S)(\text{sup } 0)K(\text{sub } S)(\text{sup } 0)$ ) decays based on 347 million ( $\text{Upsilon}(4S)$  ( $\text{yields } B(\text{bar } B)$ ) decays collected with the BABAR detector at the PEP-II asymmetric-energy B factory at SLAC. The authors obtain the CP asymmetries  $S(\text{sub } f) = -0.66 (+-) 0.26 (+-) 0.08$  and  $C(\text{sub } f) = -0.14 (+-) 0.22 (+-) 0.05$ , where the first uncertainties are statistical and the second systematic.

NTIS

*Asymmetry; Time Dependence*

**20070004968** Thomas Jefferson National Accelerator Facility, Newport News, VA, USA

### **Nucleon resonance studies in phenomenological analysis of the CLAS data on double charged pion photo and electroproduction**

Mokeev, V. I.; Burkert, V. D.; Oct. 2005; 3 pp.; In English

Report No.(s): DE2006-889376; No Copyright; Avail.: Department of Energy Information Bridge

First comprehensive data on the evolution of nucleon resonance photocouplings with photon virtuality  $Q(\text{sup } 2)$  are presented for excited proton states in the mass range from 1.4 to 2.0 GeV.

NTIS

*Nucleons; Phenomenology; Pions*

**20070004970** Jefferson (Thomas) Lab. Computer Center, Newport News, VA, USA

### **High Gradient Operation with the CEBAF Upgrade RF Control System**

Hovater, C.; Davis, K.; Dong, H.; Hofler, A.; King, L.; January 2006; 3 pp.; In English

Report No.(s): DE2006-889367; No Copyright; Avail.: National Technical Information Service (NTIS)

The CEBAF Accelerator at Jefferson Lab is presently a 6 GeV five pass electron accelerator consisting of two superconducting linacs joined by independent magnetic transport arcs. Energy will be upgraded to 12 GeV with the addition of 10 new high gradient cryomodules (17+ MV/m). The higher gradients pose significant challenges beyond what the present analog low level RF (LLRF) control systems can handle reliably; therefore, a new LLRF control system is needed. A prototype system has been developed incorporating a large FPGA and using digital down and up conversion to minimize the need for analog components. The new system is more flexible and less susceptible to drifts and component nonlinearities. Because resonance control is critical to reach high gradients quickly, the new cryomodules will include a piezoelectric tuner for each cavity, and the LLRF controls must incorporate both feedback and feed-forward methods to achieve optimal resonance control performance. This paper discusses development of the new RF system, system performance for phase and amplitude stability

and resonance control under Lorentz detuning measured during recent tests on a prototype cryomodule.

NTIS

*Gradients; Linear Accelerators; Radio Frequencies*

**20070004972** Jefferson (Thomas) Lab. Computer Center, Newport News, VA, USA

**Commissioning of the Digital LLRF for CEBAF Injector/Separator**

Plawski, T.; Dong, H.; Hovater, C.; Lahti, G.; King, L.; January 2006; 3 pp.; In English

Report No.(s): DE2006-889366; No Copyright; Avail.: National Technical Information Service (NTIS)

The design and production of the 499 MHz digital Low-Level RF control system for the CEBAF accelerator has been completed. The first five systems have been installed for use with the CEBAF Separator RF deflecting cavities operating at 499 MHz. The next four systems were installed in the injector on the chopping cavities (also 499 MHz deflecting cavities). The new LLRF system replaced an analog system that was over 15 years old. For initial testing, an extensive acceptance plan along with a LLRF test stand was developed and incorporated to assure system performance as well as reliability. Various VHDL firmware was developed to support operation of this system and included specific operational diagnostics. Once the acceptance tests were completed, the new systems were installed in the accelerator in parallel with the existing analog LLRF for extensive in-situ testing and comparison. Once commissioned, the new RF systems were assigned to the CEBAF accelerator and turned over to Accelerator Operations. This paper will address the VHDL firmware evolution, the automated tests, and the performance measurements made throughout the installation and commissioning process.

NTIS

*Injectors; Linear Accelerators; Separators*

**20070004982** Stanford Linear Accelerator Center, CA, USA

**Comparison Between H-Ion and Heat Cleaning of CU-Metal Cathodes**

Dowell, D. H.; King, F. K.; Kirby, R. E.; Schmerge, J. F.; January 2006; 3 pp.; In English

Report No.(s): DE2006-889663; SLAC-PUB-12070; No Copyright; Avail.: National Technical Information Service (NTIS)

Understanding the quantum efficiency (QE) of a metal photocathode in an s-band RF gun is important to limit the drive laser energy requirement and provide the best quality electron beam. Systematic measurements of the QE vs. wavelength for varying surface contamination have been performed on copper samples using x-ray photoelectron spectroscopy (XPS). The sample is first cleaned to the theoretical limit of QE using a 1 keV hydrogen ion beam. The H-ion beam cleans an area approximately 1cm in diameter and has no effect on the surface roughness while removing essentially all contaminants and lowering the work function to 4.3eV. The sample is then exposed to atmospheric contaminants (nitrogen and oxygen) and measured again with XPS to determine the degree of contamination and their effect on the QE. These results and comparison with theory are presented.

NTIS

*Cathodes; Cleaning; Hydrogen Ions*

**20070004983** Lawrence Livermore National Lab., Livermore, CA USA

**Analysis of a Gross Counting Decision Metric for Use in Threat Detection During Cargo Container Inspection**

Hall, J.; Apr. 28, 2006; 20 pp.; In English

Report No.(s): DE2006-889431; UCRL-TR-221251; No Copyright; Avail.: National Technical Information Service (NTIS)

LLNL is actively engaged in the development of a variety of advanced technologies for use in detecting potential threats in sea-going cargo containers, particularly the presence of hidden special nuclear materials (SNM). One such project is the so-called 'Nuclear Car Wash' (NCW), which uses a high-energy neutron probe to scan the container. High-energy, (Beta)-delayed (Gamma)-rays emitted during the decay of short-lived, neutron-induced fission products are then taken as a signature of fissionable material. There are a number of different threat decision metrics that one could imagine using in conjunction with an inspection system such as the NCW; however, the most straightforward approach might be to simply compare the total number of counts that our detector records during some suitably chosen time interval to the average background signal that one would expect from a 'clean' container during the same interval. The purpose of this report is to describe the basic statistical properties of a decision metric of this sort and outline the procedures for using it in experimental practice.

NTIS

*Cargo; Counting; Fission Products; Inspection*

**20070004987** Lawrence Livermore National Lab., Livermore, CA USA

**LFR Lead Cooled Fast Reactor**

Cinotti, L.; Fazio, C.; Knebel, J.; Moti, S.; Abderrahim, H. A.; May 16, 2006; 28 pp.; In English

Report No.(s): DE2006-889453; UCRL-CONF-221396; No Copyright; Avail.: National Technical Information Service (NTIS)

The main purpose of this paper is to present the current status of development of the Lead-cooled Fast Reactor (LFR) in Generation IV (GEN IV), including the European contribution, to identify needed R&D and to present the corresponding GEN IV International Forum (GIF) R&D plan (1) to support the future development and deployment of lead-cooled fast reactors. The approach of the GIF plan is to consider the research priorities of each member country in proposing an integrated, coordinated R&D program to achieve common objectives, while avoiding duplication of effort. The integrated plan recognizes two principal technology tracks: (1) a small, transportable system of 10-100 MWe size that features a very long refuelling interval, and (2) a larger-sized system rated at about 600 MWe, intended for central station power generation. This paper provides some details of the important European contributions to the development of the LFR. Sixteen European organizations have, in fact, taken the initiative to present to the European Commission the proposal for a Specific Targeted Research and Training Project (STREP) devoted to the development of a European Lead-cooled System, known as the ELSY project; two additional organizations from the US and Korea have joined the project.

NTIS

*Fast Nuclear Reactors; Research and Development; Coolants*

**20070004988** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

**Intrabeam Scattering in the NLC Main Damping Rings**

Wolski, A.; Jun. 08, 2004; 9 pp.; In English

Report No.(s): DE2006-889310; No Copyright; Avail.: National Technical Information Service (NTIS)

We use Banes approximation to the Bjorken-Mtingwa theory of intrabeam scattering to calculate the emittance growth as a function of bunch charge in the KEK ATF. We find that our results are consistent with the experimental data. We then calculate the emittance growth in the NLC Main Damping Rings using the same formulae; we allow for some uncertainty in the ATF data by using two different values for the Coulomb log factor in the formulae for the emittance growth rates. We find that despite the IBS emittance growth, it should still be possible to achieve the specified transverse and longitudinal emittances in the NLC Main Damping Rings at the specified bunch charge.

NTIS

*Damping; Scattering*

**20070004994** Lawrence Livermore National Lab., Livermore, CA USA

**High Magnetic Field Generation for Laser-Plasma Experiments**

Pollock, B. B.; Froula, D. H.; Davis, P. F.; Ross, J. S.; Fulkerson, S.; May 07, 2006; 12 pp.; In English

Report No.(s): DE2006-889448; UCRL-CONF-221183; No Copyright; Avail.: National Technical Information Service (NTIS)

An electromagnetic solenoid was developed to study the effect of magnetic fields on electron thermal transport in laser plasmas. The solenoid, which is driven by a pulsed power system supplying 30 kJ, achieves magnetic fields of 13 T. The field strength was measured on the solenoid axis with a magnetic probe and optical Zeeman splitting. The measurements agree well with analytical estimates. A method for optimizing the solenoid design to achieve magnetic fields exceeding 20 T is presented.

NTIS

*Laser Plasmas; Lasers; Magnetic Fields; Plasma Diagnostics*

**20070004995** Lawrence Livermore National Lab., Livermore, CA USA

**Time-Resolved Soft X-Ray Imaging (SXRI) Diagnostic for Use at the NIF and OMEGA Lasers**

Schneider, M. B.; Holder, J. P.; James, D. L.; Bruns, H. C.; Celeste, J. R.; May 05, 2006; 28 pp.; In English

Report No.(s): DE2006-889445; UCRL-CONF-221117; No Copyright; Avail.: National Technical Information Service (NTIS)

The soft x-ray imager (SXRI) built for the first experiments at the National Ignition Facility (NIF) has four soft x-ray channels and one hard x-ray channel. The SXRI is a snout that mounts to a four strip gated imager. This produces four soft x-ray images per strip, which can be separated in time by (approx.) 60psec. Each soft x-ray channel consists of a mirror plus a filter. The diagnostic was used to study x-ray burnthrough of hot hohlraum targets at the NIF and OMEGA lasers. The SXRI

snout design and issues involved in selecting the desired soft x-ray channels are discussed.

NTIS

*Lasers; Plasma Diagnostics; X Ray Imagery*

**20070004996** Lawrence Livermore National Lab., Livermore, CA USA

**Solid-Density Plasma Characterization with X-Ray Scattering on the 200-J Janus Laser**

Neumayer, P. B.; Gregori, G.; Ravasio, A.; Price, D.; Bastea, M.; May 07, 2006; 10 pp.; In English

Report No.(s): DE2006-889444; UCRL-CONF-221178; No Copyright; Avail.: National Technical Information Service (NTIS)

We present collective x-ray scattering (CXS) measurements using a Chlorine He-(alpha) x-ray source pumped with less than 200 J of laser energy. The experimental scattering spectra show plasmon resonances from shocked samples. These experiments use only 10(sup 12) x-ray photons at the sample of which 10(sup -5) have been scattered and detected with a highly efficient curved crystal spectrometer. Our results demonstrate that x-ray scattering is a viable technique on smaller laser facilities making CXS measurements accessible to a broad scientific community.

NTIS

*Characterization; Janus; Lasers; Plasma Diagnostics; Plasmas (Physics); X Ray Scattering; X Ray Sources*

**20070004998** Lawrence Livermore National Lab., Livermore, CA USA

**Analysis Procedures for Double-Shell Target Concentricity and Wall Thickness**

Sain, W. D.; Brown, W. D.; Martz, H. E.; Schneberk, D. J.; Mar. 28, 2006; 28 pp.; In English

Report No.(s): DE2006-889447; UCRL-TR-220178; No Copyright; Avail.: National Technical Information Service (NTIS)

The LLNL Target Fabrication Team (TFT) asked the Center for Non-Destructive Characterization (CNDC) to use CNDC's KCAT or Xradia's Micro computed tomography (CT) system to collect three-dimensional (3D) tomographic data of a set of double-shell targets and determine, among other items, the following: (1) the concentricity of the outer surface of the inner shell with respect to the inner surface of the outer shell with an accuracy of 1-2 micrometers, and (2) the wall thickness uniformity of the outer shell with an accuracy of 1-2 micrometers. The CNDC used Xradia's Micro CT system to collect the data. Bill Brown performed the concentricity analysis, and John Sain performed the wall thickness uniformity analysis. Harry Martz provided theoretical guidance, and Dan Schneberk contributed technical (software) support. This document outlines the analysis procedures used in each case.

NTIS

*Concentricity; Laser Targets; Target Thickness; Walls*

**20070004999** Lawrence Livermore National Lab., Livermore, CA USA

**How Accurately Can We Calculate Neutrons Slowing Down in Water**

Cullen, D. E.; Blomquist, R. N.; Greene, M.; Lent, E.; MacFarlane, R.; Apr. 01, 2006; 60 pp.; In English

Report No.(s): DE2006-889442; UCRL-TR-220605; No Copyright; Avail.: National Technical Information Service (NTIS)

We have compared the results produced by a variety of currently available Monte Carlo neutron transport codes for the relatively simple problem of a fast source of neutrons slowing down and thermalizing in water. Initial comparisons showed rather large differences in the calculated flux; up to 80% differences. By working together we iterated to improve the results by: (1) insuring that all codes were using the same data, (2) improving the models used by the codes, and (3) correcting errors in the codes; no code is perfect. Even after a number of iterations we still found differences, demonstrating that our Monte Carlo and supporting codes are far from perfect; in particularly we found that the often overlooked nuclear data processing codes can be the weakest link in our systems of codes. The results presented here represent the today's state-of-the-art, in the sense that all of the Monte Carlo codes are modern, widely available and used codes.

NTIS

*Neutrons; Water*

**20070005000** Lawrence Livermore National Lab., Livermore, CA USA

**Pressure Model for the Vacuum System for the Electron Gun and Injector for LCLS Final Design Report**

Tung, L. S.; Eriksson, L.; May 09, 2006; 32 pp.; In English

Report No.(s): DE2006-889436; UCRL-TR-221253; No Copyright; Avail.: National Technical Information Service (NTIS)

The vacuum system of the injector for the Linac Coherent Light Source (LCLS) has been analyzed and configured by the Lawrence Livermore National Laboratory's New Technologies Engineering Division (NTED) as requested by the

SLAC/LCLS program. The vacuum system layout and detailed analyses for the injector are presented in this final design report. The vacuum system was analyzed and optimized using a coupled gas load balance model of sub-volumes of the components to be evacuated.

NTIS

*Electron Guns; Injectors; Vacuum Systems*

**20070005001** Lawrence Livermore National Lab., Livermore, CA USA

**Multispectral X-Ray Imaging for Core Temperature and Density Maps Retrieval in Direct Drive Implosions**

Tommasini, R.; Koch, J. A.; Izumi, N.; Welser, L. A.; Mancini, R. C.; May 07, 2006; 10 pp.; In English

Report No.(s): DE2006-889439; UCRL-CONF-221179; No Copyright; Avail.: National Technical Information Service (NTIS)

We report on the experiments aimed at obtaining core temperature and density maps in direct drive implosions at the OMEGA Laser Facility using multi-monochromatic X-ray imagers. These instruments use an array of pinholes and a flat multilayer mirror to provide unique multi-spectral images distributed over a wide spectral range. Using Argon as a dopant in the DD-filled plastic shells produces emission images in the Ar He-b and Ly-b spectral regions. These images allow the retrieval of temperature and density maps of the plasma. We deployed three identical multi-monochromatic X-ray imagers in a quasi-orthogonal line-of-sight configuration to allow tomographic reconstruction of the structure of the imploding core.

NTIS

*Argon; Implosions; X Rays; Imaging Techniques*

**20070005003** Lawrence Livermore National Lab., Livermore, CA USA

**High Energy X-Ray Imager for Inertial Confinement Fusion at the National Ignition Facility**

Tommasini, R.; Koch, J. A.; Young, B.; Ng, E.; Phillips, T.; May 07, 2006; 12 pp.; In English

Report No.(s): DE2006-889438; UCRL-CONF-221181; No Copyright; Avail.: National Technical Information Service (NTIS)

X-ray imaging is a fundamental diagnostic tool for inertial confinement fusion (ICF) research, and provides data on the size and the shape of the core in implosions. We report on the feasibility and performance analysis of an ignition x-ray imager to be used on cryogenic DT implosions at the National Ignition Facility. The system is intended to provide time-integrated, broadband, moderate-energy x-ray core images of imploding ICF capsules. It is optimized with respect to spatial-resolution, signal-to-background and signal-to-noise ratios, taking into account the extreme operating conditions expected at NIF due to high expected neutrons yields, gamma-rays, and x-rays from laser-plasma interactions.

NTIS

*Ignition; Inertial Confinement Fusion; X Rays; Imaging Techniques*

**20070005021** Fish and Richardson, P.C., Dallas, TX, USA

**Characterization of Materials with Optically Shaped Acoustic Waveforms**

Choi, J. D.; Paxton, B.; Feurer, T.; Yamaguchi, M.; Nelson, K. A.; 21 Jul 05; 4 pp.; In English

Contract(s)/Grant(s): NSF-CHE-0212375; DE-FG02-00ER15087

Patent Info.: Filed Filed 21 Jul 05; US-Patent-Appl-SN-11-186-401

Report No.(s): PB2007-103881; No Copyright; Avail.: CASI: [A01](#), Hardcopy

A method for characterizing one or more properties of a sample using acoustic waveforms is disclosed, and comprises directing a sequence of at least three optical pulses to the sample to generate an acoustic response in the sample at a frequency corresponding to the pulse sequence, varying the timing of one or more of the pulses in the sequence to vary the frequency of the acoustic response in the sample, and measuring the strength of the acoustic response as a function of the varied frequency to determine information about the sample.

NTIS

*Sound Waves; Waveforms; Characterization; Acoustics*

**20070005049** California Univ., Lawrence Livermore Lab., Livermore, CA, USA

**Particle Tracking and Simulation on the .NET Framework**

Nishimura, H.; Scarvie, T.; January 2006; 3 pp.; In English

Report No.(s): DE2006-888987; LBNL-60607; No Copyright; Avail.: Department of Energy Information Bridge

Particle tracking and simulation studies are becoming increasingly complex. In addition to the use of more sophisticated

graphics, interactive scripting is becoming popular. Compatibility with different control systems requires network and database capabilities. It is not a trivial task to fulfill all the various requirements without sacrificing runtime performance. We evaluated the effectiveness of the .NET framework by converting a C++ simulation code to C# (pound sign). The portability to other platforms is mentioned in terms of Mono.

NTIS

*Computerized Simulation; Particle Motion; Particle Tracks*

**20070005053** Sandia National Labs., Albuquerque, NM USA, Barcelona Univ., Spain, Bergen Univ., Norway, California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

**B Meson Decays to  $\omega K^*$ ,  $\omega p$ ,  $\omega \omega$ ,  $\omega \phi$  and  $\omega F(\text{sub } \omega)$**

Aubert, B.; Baraate, R.; Bona, M.; Boutigny, D.; Couderc, R.; January 2006; 12 pp.; In English

Report No.(s): DE2006-887473; SLAC-PUB-11848; No Copyright; Avail.: National Technical Information Service (NTIS)

The authors describe searches for B meson decays to the charmless vector-vector final states  $(\omega)K^*$ ,  $(\omega)(\rho)$ ,  $(\omega)(\omega)$ , and  $(\omega)(\phi)$ , with  $233 \times 10^{(sup 6)}$   $B(\text{overbar } B)$  pairs produced in  $e(\text{sup } +) e(\text{sup } -)$  annihilation at square root  $(S) = 10.58 \text{ GeV}$ .

NTIS

*Mesons; Particle Decay*

**20070005056** Thomas Jefferson National Accelerator Facility, Newport News, VA, USA

**Recent Developments in SRF Cavity Science and Performance**

Ciovati, G.; January 2006; 5 pp.; In English

Report No.(s): DE2006-889028; No Copyright; Avail.: National Technical Information Service (NTIS)

The performances of SRF cavities made of high purity bulk niobium have been improving in the last few years and surface magnetic fields ( $B_p$ ) close to the thermodynamic critical field of niobium have been achieved in a few cases. The recommendation made in 2004 in favor of SRF as the technology of choice for the International Linear Collider (ILC), requires improving the reliability of multi-cell cavities operating at accelerating gradients ( $E_{acc}$ ) of the order of 35 MV/m. Additionally, a better understanding of the present limitations to cavity performance, such as the high-field Q-drop is needed. This contribution presents some recent developments in SRF cavity science and performance. Among the most significant advances of the last few years, new cavity shapes with lower ratio  $B_p/E_{acc}$  were designed and tested. Cavities made of large-grain niobium became available, promising lower cost at comparable performance to standard fine-grain ones and several tests on single-cell cavities were done to gain a better understanding of highfield losses. In addition, studies to improve the reliability of electropolishing are being carried out by several research groups.

NTIS

*Cavities; Linear Accelerators*

**20070005057** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

**Baryon-Strangness Correlations: A Diagnostic of Strongly Interacting Matter**

Koch, V.; Majumder, A.; Randrup, J.; January 2006; 4 pp.; In English

Report No.(s): DE2006-888755; No Copyright; Avail.: Department of Energy Information Bridge

The correlation between baryon number and strangeness elucidates the nature of strongly interacting matter. This diagnostic can be extracted theoretically from lattice QCD calculations and experimentally from event-by-event fluctuations. The analysis of present lattice results above the critical temperature severely limits the presence of qq bound states, thus supporting a picture of independent (quasi)quarks. Details may be found in (1).

NTIS

*Baryons; Strangeness; Correlation*

**20070005058** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA, National Lab. for High Energy Physics, Ibaraki, Japan

**Space Charge and Equilibrium Emittances in Damping Rings**

Venturini, M.; Oide, K.; Wolski, A.; January 2006; 4 pp.; In English

Report No.(s): DE2006-889307; No Copyright; Avail.: National Technical Information Service (NTIS)

We present a model of dynamics to account for the possible impact of space charge on the equilibrium emittances in



storage rings and apply the model to study the current design of the International Linear Collider (ILC) damping rings.  
NTIS

*Damping; Emittance; Space Charge*

**20070005060** Brookhaven National Lab., Upton, NY USA

**Novel Chamber Design for an In-Vacuum Cryo-Cooled Mini-Gap Undulator**

Hu, J. P.; Forester, C. L.; Skaritka, J. R.; Waterman, D.; January 2006; 10 pp.; In English

Report No.(s): DE2006-889291; BNL-76802-2006-CP; No Copyright; Avail.: National Technical Information Service (NTIS)

A stainless steel, Ultra-High Vacuum (UHV) chamber, featuring a large vertical rectangular port (53W by 16H), has been fabricated to house the one-meter magnet assembly of a newly installed undulator insertion device for beamline X-25 at the National Synchrotron Light Source. To achieve UHV, the new chamber is equipped with a differential ion pump, NEG pump, nude ion gauge, residual gas analyzer, and an all metal roughing valve. Temperature of the magnet assembly is maintained below 90oC during vacuum bake. The large rectangular port cover is sealed to the main flange of the chamber using a one-piece flat aluminum gasket and special sealing surfaces developed exclusively by Nor-Cal Products, Inc. The large flange provides easy access to the gap of the installed magnet girders for in situ magnetic measurements and shimming. Special window ports were designed into the cover and chamber for manipulation of optical micrometers external to the chamber to provide precise measurements of the in-vacuum magnet gap. The vacuum chamber assembly features independently vacuum-isolated feedthroughs that can be used for either water-or-cryogenic refrigeration/cooling of the monolithic magnet girders. This would allow for cryogenic cooled permanent magnet operation and has been successfully tested within temperature range of +100oC to -150oC. Details of the undulator assembly for beamline X-25 is described in the paper.

NTIS

*Cryogenics; Stainless Steels; Vacuum; Vacuum Chambers; Wiggler Magnets*

**20070005061** Brookhaven National Lab., Upton, NY USA

**Cooling Force Measurement in CELSIUS**

Galnander, B.; Fedotov, A. V.; Litvinenko, V. N.; Lofnes, T.; Sidorin, A.; January 2006; 12 pp.; In English

Report No.(s): DE2006-888474; BNL-76740; No Copyright; Avail.: Department of Energy Information Bridge

The design of future high energy coolers relies heavily on extending the results of cooling force measurements into new regimes by using simulation codes. In order to carefully benchmark these codes we have accurately measured the longitudinal friction force in CELSIUS by recording the phase shift between the beam and the RF voltage while varying the RF frequency. Moreover, parameter dependencies on the electron current, solenoid magnetic field and magnetic field alignment were carried out.

NTIS

*Cooling; Computerized Simulation*

**20070005062** Brookhaven National Lab., Upton, NY USA

**Proposal for an Experimental Program in Neutrino Physics and Proton Decay in the Homestake Laboratory**

Diwan, M.; Jul. 2006; 60 pp.; In English

Report No.(s): DE2006-889290; BNL-76798-2006-IR; No Copyright; Avail.: Department of Energy Information Bridge

This report is intended to describe first, the principal physics reasons for an ambitious experimental program in neutrino physics and proton decay based on construction of a series of massive water Cherenkov detectors located deep underground (4850 ft) in the Homestake Mine of the South Dakota Science and Technology Authority (SDSTA); and second, the engineering design of the underground chambers to house the Cherenkov detector modules; and third, the conceptual design of the water Cherenkov detectors themselves for this purpose. In this proposal we show the event rates and physics sensitivity for beams from both FNAL (1300 km distant from Homestake) and BNL (2540 km distant from Homestake). The program we propose will benefit with a beam from FNAL because of the high intensities currently available from the Main Injector with modest upgrades. The possibility of tuning the primary proton energy over a large range from 30 to 120 GeV also adds considerable flexibility to the program from FNAL. On the other hand the beam from BNL over the larger distance will produce very large matter effects, and consequently a hint of new physics (beyond CP violation) can be better tested with that configuration. In this proposal we focus on the CP violation physics. Included in this document are preliminary costs and time-to-completion estimates which have been exposed to acknowledged experts in their respective areas. This presentation is not, however, to be taken as a technical design report with the extensive documentation and contingency costs that a TDR

usually entails. Nevertheless, some contingency factors have been included in the estimates given here. The essential ideas expressed here were first laid out in a letter of intent to the interim director of the Homestake Laboratory on July 26, 2001. Since that time, the prospect of a laboratory in the Homestake Mine has been realized, and the design of a long baseline neutrino experiment has been refined. The extrapolation contained in this proposal is within the common domain of thinking in the area of physics discussed here. It needs now only the encouragement of the funding agencies, NSF and DOE.

NTIS

*Cerenkov Counters; Neutrinos; Particle Decay; Protons*

**20070005065** Brookhaven National Lab., Upton, NY USA

#### **Vertical Beam Size Control in TLS and TPS**

Kuo, C. C.; Chen, J. R.; Chou, P. J.; Chang, H. P.; Hsu, K. T.; Jul. 2006; 5 pp.; In English

Report No.(s): DE2006-889289; BNL-75466-2006-CP; No Copyright; Avail.: Department of Energy Information Bridge

Vertical beam size control is an important issue in the light source operations. The horizontal-vertical betatron coupling and vertical dispersion were measured and corrected to small values in the TLS 1.5 GeV storage ring. Estimated beam sizes are compared with the measured values. By employing an effective transverse damping system, the vertical beam blow-up due to transverse coherent instabilities, such as the fast-ion beam instability, was suppressed. As a result, the light source is very stable. In NSRRC we are designing an ultra low emittance 3-GeV storage ring and its designed vertical beam size could be as small as a few microns. The ground and mechanic vibration effects, and coherent instabilities could spoil the expected photon brightness due to blowup of the vertical beam size if not well taken care of. The contributions of these effects to vertical beam size increase will be evaluated and the counter measures to minimize them will be proposed and reported in this paper.

NTIS

*Betatrns; Brightness; Light Sources*

**20070005067** Brookhaven National Lab., Upton, NY USA

#### **Comparative Studies of Proton Accelerators for High Power Applications**

Weng, W. T.; Jun. 2006; 7 pp.; In English

Report No.(s): DE2006-889287; BNL-75669-2006-CP; No Copyright; Avail.: Department of Energy Information Bridge

There are many applications requiring high power proton accelerators of various kinds. However, each type of proton accelerator can only provide beam with certain characteristics, hence the match of accelerators and their applications needs careful evaluation. In this talk, the beam parameters and performance limitations of linac, cyclotron, synchrotron, and FFAG accelerators are studied and their relative merits for application in neutron, muon, neutrino, and ADS will be assessed in terms of beam energy, intensity, bunch length, repetition rate, and beam power requirements. A possible match between the applications and the accelerator of choice is presented in a matrix form. The accelerator physics and technology issues and challenges involved will also be discussed.

NTIS

*Evaluation; Performance Tests; Protons*

**20070005069** Stanford Linear Accelerator Center, CA, USA, Ohio State Univ., Columbus, OH, USA

#### **New Developments in Measurements of CP Violation**

Benelli, G.; Aug. 2006; 6 pp.; In English

Report No.(s): DE2006-889183; SLAC-PUB-12056; No Copyright; Avail.: Department of Energy Information Bridge

We present several alternative techniques used by the BABAR Collaboration in order to measure the Unitarity Triangle angle  $\alpha$ . We also present the results of two searches designed to improve the measurements of  $\sin(2\alpha)$  using penguin B decay modes by reducing the hadronic corrections uncertainties.

NTIS

*CP Violation; Invariance; Particle Decay*

**20070005070** Stanford Linear Accelerator Center, CA, USA

#### **Gravitational Gauge Mediation**

Kitano, R.; Aug. 2006; 11 pp.; In English

Report No.(s): DE2006-889163; SLAC-PUB-11953; No Copyright; Avail.: Department of Energy Information Bridge

It is often the case that naive introduction of the messenger sector to supersymmetry breaking models causes the

supersymmetry restoration. We discuss a possibility of stabilizing the supersymmetry broken vacuum by the gravitational interaction. August 2006

NTIS

*Gravitational Effects; Measuring Instruments*

**20070005072** Stanford Linear Accelerator Center, CA, USA

**ILC Linac R and D at SLAC**

Adolphsen, C.; Aug. 2006; 4 pp.; In English

Report No.(s): DE2006-889162; SLAC-PUB-12046; No Copyright; Avail.: Department of Energy Information Bridge

Since the ITRP recommendation in August 2004 to use superconducting rf technology for a next generation linear collider, the former NLC Group at SLAC has been actively pursuing a broad range of R&D for this collider (the ILC). In this paper, the programs concerning linac technology are reviewed. Current activities include the development of a Marx-style modulator and a 10 MW sheet-beam klystron, operation of an L-band (1.3 GHz) rf source using an SNS HVCM modulator and commercial klystrons, design of a more efficient and less costly rf distribution system, construction of a coupler component test stand, fabrication of a prototype positron capture cavity, beam tests of prototype S-band linac beam position monitors and preparations for magnetic center stability measurements of a prototype SC linac quad.

NTIS

*Linear Accelerators; Research and Development*

**20070005074** Stanford Linear Accelerator Center, CA, USA

**Spin Rotation and Energy Compression in the ILC Linac-to-Ring Beamline**

Batygin, Y. K.; Aug. 04, 2006; 19 pp.; In English

Report No.(s): DE2006-889149; SLAC-PUB-12044; No Copyright; Avail.: Department of Energy Information Bridge

The Linac-to Ring (LTR) beamline for positron beam injection into the damping ring at an energy of 5 GeV is considered. The beamline performs spin rotation and energy compression of the positron beam extracted from the booster linac. The lattice includes four 90-deg phaseadvance FODO cells with bending magnets placed between them, a solenoid and RF structure. Basic parameters and optimal configuration details are discussed. An analytical treatment of the beamline is performed.

NTIS

*Linear Accelerators; Positrons; Rotation*

**20070005075** Grenoble-1 Univ., Annecy, France, Barcelona Univ., Spain, Bari Univ., Italy, Academia Sinica, Beijing, China  
**Measurements of the Decays  $B^0 \rightarrow \bar{D}^0 \bar{p} p$ ,  $B^0 \rightarrow \bar{D}^0 p \bar{p}$ ,  $B^0 \rightarrow \bar{D}^0 p p/\pi^+$ , and  $B^0 \rightarrow \bar{D}^0 p \bar{p}/\pi^+$**

Aubert, B.; Barate, R.; Bona, M.; Boutigny, D.; Coderc, F.; Jul. 2006; 14 pp.; In English

Report No.(s): DE2006-887470; SLAC-PUB-11933; No Copyright; Avail.: Department of Energy Information Bridge

We present measurements of branching fractions of  $B^0$  decays to multi-body final states containing protons, based on 232 million  $(\gamma)(4S)$  yields  $B^0$  decays collected with the BABAR detector at the SLAC PEP-II asymmetric-energy B factory.

NTIS

*Mesons; Particle Decay*

**20070005076** Jefferson (Thomas) Lab. Computer Center, Newport News, VA, USA

**Spin Structure Functions: Proton/Deuteron Measurements in the Resonance Region**

Jones, M. K.; Oct. 2005; 10 pp.; In English

Report No.(s): DE2006-887157; No Copyright; Avail.: Department of Energy Information Bridge

The RSS experiment ran in Hall C at Jefferson Lab and measured the proton and deuteron beam-target asymmetries for parallel and perpendicular target fields over a W range from pion threshold to 1.9 GeV at  $Q^2$  (approx) 1.3 GeV<sup>2</sup>. Preliminary results for the proton spin structure functions  $g_1$  and  $g_2$  are presented.

NTIS

*Asymmetry; Deuterons; Protons; Targets*

**20070005077** Jefferson (Thomas) Lab. Computer Center, Newport News, VA, USA

**Factorization and Transverse Momentum in SIDIS at JLab**

Bosted, P. E.; January 2005; 12 pp.; In English

Report No.(s): DE2006-887161; No Copyright; Avail.: Department of Energy Information Bridge

Data for pion electroproduction from both hydrogen and deuterium targets satisfy factorization tests in the kinematic region  $Q^2 \gtrsim 1 \text{ GeV}^2$ ,  $0.15 \leq x \leq 0.45$ ,  $W \gtrsim 2 \text{ GeV}$ ,  $M(\text{sub } x) \gtrsim 1.5 \text{ GeV}$ , and  $0.3 \leq z \leq 0.6$ , for both spin-averaged and spin-dependent scattering. The  $(\pi^+)/(\pi^-)$  ratio of polarized SIDIS is found to exhibit a surprisingly large  $p(\text{sub } t)$  dependence.

NTIS

*Factorization; Transverse Momentum*

**20070005080** Jefferson (Thomas) Lab. Computer Center, Newport News, VA, USA

**Jefferson Lab Phenomenology: Selected Highlights**

Melnitchouk, W.; January 2006; 14 pp.; In English

Report No.(s): DE2006-887119; No Copyright; Avail.: National Technical Information Service (NTIS)

An overview of recent experimental highlights from Jefferson Lab is presented. We review the status of baryon spectroscopy, including the search for pentaquarks, as well as measurements of electromagnetic form factors of the nucleon, featuring the proton  $G(\text{sub } E)/G(\text{sub } M)$  ratio and the determination of the strangeness form factors. In inclusive scattering, we describe recent studies of quark-hadron duality in structure functions in the resonance-scaling transition region, and outline future physics plans at an energy upgraded 12 GeV facility.

NTIS

*Baryons; Phenomenology; Spectroscopy*

**20070005095** North Carolina Central Univ., Durham, NC, USA, Saint Petersburg State Univ., Saint Petersburg, Russian Federation

**Study of the  $(\text{sub } \Lambda)(\text{sup } 7)\text{He}$  Hypernucleus in Formalism of the Faddeev Equations**

Filikhin, I.; Suslov, V. M.; Vlahovic, B.; Feb. 01, 2006; 10 pp.; In English

Report No.(s): DE2006-886688; No Copyright; Avail.: Department of Energy Information Bridge

P-shell  $A = 7$  hypernuclei are considered in the cluster  $(\text{sub } \Lambda)(\text{sup } 5)\text{He} + N + N$  model. The folding procedure are applied to construct the  $(\text{sub } \Lambda)(\text{sup } 5)\text{He}-N$  interaction. We use the OBE simulating NSC97f potential for  $(\Lambda)N$  interaction and various phenomenological potentials for  $(\alpha)(\Lambda)$  interaction. Configuration space Faddeev calculations are performed for hyperon binding energy of the  $(\text{sub } \Lambda)(\text{sup } 7)\text{He}(1/2(\text{sup } +))$  and  $(\text{sub } \Lambda)(\text{sup } 7)\text{Li}(1/2(\text{sup } +)$  and  $3/2(\text{sup } +)$ ,  $T=0$ ) nuclei. Predicted value for  $B(\text{sub } \Lambda)((\text{sub } \Lambda)(\text{sup } 7)\text{He})$  is 5.35 MeV. This value is obtained when the  $(\text{sup } \Lambda)(\text{sup } 6)\text{He}(2(\text{sup } -))$  excitation energy is equal 0.26 MeV by the adjustment of pair  $(\text{sub } \Lambda)(\text{sup } 5)\text{He}-N$  effective potential to reproduce the experimental value of the  $(\text{sub } \Lambda)(\text{sup } 7)\text{Li}(3/2(\text{sup } +))$  excitation energy. Our results are compared with those of E. Hiyama et al.

NTIS

*Faddeev Equations; Formalism; Hypernuclei*

**20070005096** Institute of Gas Technology, Chicago, IL, USA, Fermi National Accelerator Lab., Batavia, IL, USA, California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA, Argonne National Lab., IL USA

**Muon Cooling RF R and D Program**

Torun, Y.; Bross, A.; Li, D.; Moretti, A.; Norem, J.; Mar. 2006; 10 pp.; In English

Report No.(s): DE2006-886687; No Copyright; Avail.: Department of Energy Information Bridge

Cooling muon beams in flight requires absorbers to reduce the muon momentum, accelerating fields to replace the lost momentum in the longitudinal direction, and static solenoidal magnetic fields to focus the muon beams. The process is most efficient if both the magnetic fields and accelerating fields are high and the rf frequency is low. We have conducted tests to determine the operating envelope of high-gradient accelerating cavities in strong static magnetic fields. These studies have already produced useful information on dark currents, magnetic fields and breakdown in cavities. In addition to continuing our program at 805 MHz, we are starting to test a 201 MHz cavity and are planning to look at a variety of appropriate geometries and materials. In parallel with these activities, we are supporting R&D on models and surface structure.

NTIS

*Cooling; Muons; Radio Frequencies; Research and Development*

**20070005107** Deutsches Elektronen-Synchrotron, Hamburg, Germany

**Perfect Electrode to Suppress Secondary Electrons Inside the Magnets**

Wang, L.; Fukuma, H.; Kurokawa, S.; Pivi, M.; Jul. 2006; 8 pp.; In English

Report No.(s): DE2006-887068; SLAC-PUB-11962; No Copyright; Avail.: National Technical Information Service (NTIS)

An electron cloud due to multipacting in the positron ring of B-factories and the damping ring of the International Linear Collider (ILC) is one of the main concerns. The electron cloud in the drift region can be suppressed by a solenoid. However, the solenoid doesn't work inside a magnet. Numerical studies show that there is strong multipacting in a dipole magnet of a B-factory positron ring. Electrons also can be trapped inside quadrupole and sextupole magnets. The electron cloud from dipole magnets and wigglers in the positron damping ring of the ILC gives a critical limitation on the choice of a circumference of the damping ring, which directly results in a choice of two 6km rings as the baseline for the positron damping ring. Various electrodes have been studied using the program CLOUDLAND. Our studies show that a wire type of the electrode with a few hundred voltages works perfectly to kill the secondary electrons inside various magnets.

NTIS

*Damping; Electrodes; Electrons; Magnets*

**20070005120** Thomas Jefferson National Accelerator Facility, Newport News, VA, USA, Massachusetts Inst. of Tech., Cambridge, MA, USA

**Local Duality in Spin Structure Functions  $g_1(p)$  and  $g_1(d)$**

Prok, Y.; Feb. 2006; 4 pp.; In English

Report No.(s): DE2006-887116; No Copyright; Avail.: Department of Energy Information Bridge

Inclusive double spin asymmetries obtained by scattering polarized electrons off polarized protons and deuterons have been analyzed to address the issue of quark hadron duality in the polarized spin structure functions  $g_1$  and  $g_d$ . A polarized electron beam, solid polarized  $\text{NH}_3$  and  $\text{ND}_3$  targets and the CEBAF Large Acceptance Spectrometer (CLAS) in Hall B were used to collect the data. The resulting  $g_1$  and  $g_d$  were averaged over the nucleon resonance energy region ( $M \approx 1.2$  GeV), and three lowest lying resonances individually for tests of global and local duality.

NTIS

*Deuterons; Electron Spin; Asymmetry*

**20070005138** Grenoble-1 Univ., Annecy, France, Barcelona Univ., Spain, Bari Univ., Italy, Academia Sinica, Beijing, China

**Measurement of B Decays to  $\Phi K \Gamma$**

Aubert, B.; Barate, R.; Bona, M.; Boutigny, D.; Couderc, F.; Jul. 20, 2006; 20 pp.; In English

Report No.(s): DE2006-887469; SLAC-PUB-11967; No Copyright; Avail.: National Technical Information Service (NTIS)

We measure the branching fraction of the radiative  $B^0$  decay  $(B^0 \rightarrow \Phi K^0 \Gamma) = (3.46 \pm 0.57) \times 10^{-6}$ , and set an upper limit on the radiative  $\bar{B}^0$  decay  $(\bar{B}^0 \rightarrow \Phi \bar{K}^0 \Gamma) \leq 2.71 \times 10^{-6}$  at 90% confidence level. We also measure the direct CP asymmetry of the  $B^0 \rightarrow \Phi K^0 \Gamma$  mode  $(A_{CP}^{\text{dir}}) = (-26.4 \pm 14.3 \pm 4.8)\%$ . The uncertainties are statistical and systematic, respectively. These measurements are based on 207 fb $^{-1}$  of data collected at the  $(\Upsilon(4S))$  resonance with the BABAR detector.

NTIS

*High Energy Interactions; Particle Decay; Branching (Physics)*

**20070005143** Stanford Linear Accelerator Center, CA, USA

**Projected Life of the SLAC Linac Braze Joints: Braze Integrity and Corrosion of Cooling Water Hardware on Accelerator Sections**

Glesener, W. F.; Garwin, E. L.; Jul. 2006; 12 pp.; In English

Report No.(s): DE2006-887074; SLAC-TN-06-006; No Copyright; Avail.: National Technical Information Service (NTIS)

The objective of this study was to ascertain the condition of braze joints and cooling water hardware from an accelerator section after prolonged use. Metallographic analysis was used to examine critical sites on an accelerator section that had been in use for more than 30 years. The end flange assembly showed no internal operational damage or external environmental effects. The cavity cylinder stack showed no internal operational damage however the internal surface was highly oxidized. The internal surface of the cooling water tubing was uniformly corroding at a rate of about 1 mil per year and showed no evidence of pitting. Tee fitting internal surfaces are corroding at non-uniform rates due to general corrosion and pitting. Remaining service life of the cooling water jacket is estimated to be about 20 years or year 2027. At this time, water supply

pressure will exceed allowable fitting pressure due to corrosion of tubing walls.

NTIS

*Brazing; Cooling; Corrosion; Linear Accelerators; Soldered Joints; Water*

**20070005144** Old Dominion Univ., Norfolk, VA, USA, Tel-Aviv Univ., Ramat-Aviv, Tel-Aviv, Israel, Pennsylvania State Univ., University Park, PA, USA, Jefferson (Thomas) Lab. Computer Center, Newport News, VA, USA

**Transverse Imaging of the Proton in Exclusive Diffractive PP Scattering**

Hyde-Wright, C. E.; Frankfurt, L.; Strikman, M.; Weiss, C.; Jul. 24, 2006; 8 pp.; In English

Report No.(s): DE2006-887319; No Copyright; Avail.: Department of Energy Information Bridge

In a forthcoming paper we describe a new approach to rapidity gap survival (RGS) in the production of high-mass systems ( $H = \text{dijet, Higgs, etc.}$ ) in exclusive double-gap diffractive pp scattering,  $pp \rightarrow g p + H + p$ . It is based on the idea that hard and soft interactions are approximately independent (QCD factorization), and allows us to calculate the RGS probability in a model-independent way in terms of the gluon generalized parton distributions (GPDs) in the colliding protons and the pp elastic scattering amplitude. Here we focus on the transverse momentum dependence of the cross section. By measuring the 'diffraction pattern', one can perform detailed tests of the interplay of hard and soft interactions, and even extract information about the gluon GPD in the proton from the data.

NTIS

*Diffraction; Elastic Scattering; Imaging Techniques; Protons; Scattering*

**20070005146** Lawrence Livermore National Lab., Livermore, CA USA

**Gratings for High Energy Petawatt Lasers**

Nguyen, H. T.; Britten, J. A.; Carlson, T. C.; Nissen, J. D.; Summers, L. J.; Nov. 11, 2005; 14 pp.; In English

Report No.(s): DE2006-887293; UCRL-CONF-217007; No Copyright; Avail.: National Technical Information Service (NTIS)

To enable high-energy petawatt laser operation we have developed the processing methods and tooling that produced both the worlds largest multilayer dielectric reflection grating and the worlds highest laser damage resistant gratings. We have successfully delivered the first ever 80 cm aperture multilayer dielectric grating to LLNLs Titan Intense Short Pulse Laser Facility. We report on the design, fabrication and characterization of multilayer dielectric diffraction gratings.

NTIS

*Dielectrics; High Power Lasers; Gratings (Spectra)*

**20070005151** Lawrence Livermore National Lab., Livermore, CA USA

**Relativistic Effects on the Equation of State of the Light Actinides**

Landa, A.; Soderlind, P.; Nov. 09, 2005; 12 pp.; In English

Report No.(s): DE2006-887283; UCRL-CONF-216957; No Copyright; Avail.: Department of Energy Information Bridge

The effect of the relativistic spin-orbit (SO) interaction on the bonding in the early actinides has been investigated by means of electronic-structure calculations. Specifically, the equation of state (EOS) for the face-centered cubic (fcc) model systems of these metals have been calculated from the first-principles density-functional theory (DFT). Traditionally, the SO interaction in electronic-structure methods is implemented as a perturbation to the Hamiltonian that is solved for basis functions that explicitly do not depend on SO coupling. Here this approximation is shown to compare well with the fully relativistic Dirac treatment. It is further shown that SO coupling has a gradually increasing effect on the EOS as one proceeds through the actinides and the effect is diminished as density increases.

NTIS

*Actinide Series; Equations of State; Relativistic Effects*

**20070005156** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA, Tech-X Corp., Boulder, CO, USA, California Univ., Berkeley, CA USA

**New Simulation Capabilities of Electron Clouds in Ion Beams with Large Tune Depression**

Vay, J. L.; Furman, M. A.; Seidl, P. A.; Cohen, R. H.; Stoltz, P. H.; January 2006; 4 pp.; In English

Report No.(s): DE2006-888989; No Copyright; Avail.: National Technical Information Service (NTIS)

We have developed a new, comprehensive set of simulation tools aimed at modeling the interaction of intense ion beams and electron clouds (e-clouds). The set contains the 3-D accelerator PIC code WARP and the 2-D slice e-cloud code POSINST (M. Furman, this workshop, paper TUAX05), as well as a merger of the two, augmented by new modules for impact ionization

and neutral gas generation. The new capability runs on workstations or parallel supercomputers and contains advanced features such as mesh refinement, disparate adaptive time stepping, and a new drift-Lorentz particle mover for tracking charged particles in magnetic fields using large time steps. It is being applied to the modeling of ion beams (1 MeV, 180 mA, K+) for heavy ion inertial fusion and warm dense matter studies, as they interact with electron clouds in the High-Current Experiment (HCX) (experimental results discussed by A. Molvik, this workshop, paper THAW02). We describe the capabilities and present recent simulation results with detailed comparisons against the HCX experiment, as well as their application (in a different regime) to the modeling of e-clouds in the Large Hadron Collider (LHC).

NTIS

*Electron Clouds; Ion Beams; Simulation*

**20070005161** Thomas Jefferson National Accelerator Facility, Newport News, VA, USA

**Physics at the Thomas Jefferson National Accelerator Facility**

Cardman, L. S.; January 2006; 16 pp.; In English

Report No.(s): DE2006-887123; No Copyright; Avail.: National Technical Information Service (NTIS)

The Continuous Electron Accelerator Facility, CEBAF, located at the Thomas Jefferson National Accelerator Facility, is devoted to the investigation of the electromagnetic structure of mesons, nucleons, and nuclei using high energy, high duty-cycle electron and photon beams. Selected experimental results of particular interest to the MAMI community are presented.

NTIS

*Linear Accelerators; Flavor (Particle Physics)*

**20070005258** Naval Research Lab., Washington, DC USA

**Delta-Sigma UHF Digital Waveform Generator**

Talapatra, Sukomal; Alatishe, Jimmy O; Leibowitz, Lawrence M; Sep 26, 2006; 19 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459859; NRL/FR/5344--06-10139; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459859>; Avail.: CASI: [A03](#), Hardcopy

The Naval Research Laboratory (NRL) has developed and built a prototype UHF Digital Waveform Generator (DWG) based on the Delta-Sigma algorithm, which allows arbitrary waveform generation. It provides predicted low phase and spurious noise. Much of the design uses field programmable gate arrays (FPGAs) for single-bit digital waveform generation. Four filter topologies were initially considered, including cascade, hybrid, parallel, and transposed. The cascade and parallel forms were eliminated because they imposed a heavy computational burden on the system. After analyzing the transposed topology, quantization error in higher-order filters led to the selection of the hybrid form of the digital filter because it performed well. A 12th order hybrid filter was selected and implemented using FPGAs. The NRL Development demonstrates that a simple-to-code single-bit Delta-Sigma DWG can cost-effectively provide the same resolution as a 16-bit or greater digital-to-analog converter (DAC) DWG. These results provide the promise of low-cost diverse waveform generation capability in future high-performance Navy radar systems.

DTIC

*Ultrahigh Frequencies; Waveforms*

**20070005296** Air Force Research Lab., Wright-Patterson AFB, OH USA

**Magnetization Losses in Multifilament Coated Superconductors (Postprint)**

Levin, G A; Barnes, P N; Amemiya, N; Kasai, S; Yoda, K; Jiang, Z; Aug 2006; 5 pp.; In English

Contract(s)/Grant(s): AOARD-03-4031; Proj-3145

Report No.(s): AD-A459915; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459915>; Avail.: CASI: [A01](#), Hardcopy

We report the results of a study of the magnetization losses in experimental multifilament, as well as control (uniform) coated superconductors exposed to time-varying magnetic fields of various frequencies. Both the hysteresis loss, proportional to the sweep rate of the applied magnetic field, and the coupling loss, proportional to the square of the sweep rate, have been observed. A scaling is found that allows us to quantify each of these contributions and extrapolate the results of the experiment beyond the envelope of accessible field amplitude and frequency. The combined loss in the multifilament conductor is reduced

by about 90% in comparison with the uniform conductor at full field penetration at a sweep rate as high as 3 T/s.  
DTIC

*Coatings; Losses; Magnetization; Superconductors (Materials)*

**20070005328** California Inst. of Tech., Pasadena, CA USA

**Control of Electric Field Domain Formation in Multiquantum Well Structures**

Shakouri, A; Grave, I; Xu, Y; Ghaffari, A; Yariv, A; Jun 9, 1993; 4 pp.; In English

Report No.(s): AD-A459977; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459977>; Avail.: CASI: A01, Hardcopy

The formation of electric field domains (EFD) was first observed in bulk GaAs and is mostly known as the cause of Gunn oscillations. It is explained in terms of the negative differential resistance (NDR) which occurs because of the electron transfer from the r to the X or L valleys. Esaki and Chang<sup>2</sup> first observed the formation of static EFDs in multiquantum wells (MQW); this phenomenon was attributed to the NDR which arises due to sequential resonant tunneling (SRT) between subbands in adjacent wells.<sup>3-6</sup>

DTIC

*Electric Fields; Quantum Wells*

**20070005395** South Carolina Univ., Columbia, SC USA

**Crack Growth and Stress Intensity Prediction Techniques. Delivery Order 0027-1: Mixed Mode Failure Criteria**

Sutton, Michael A; Deng, Xiaomin; Reynolds, Anthony; Mar 2006; 126 pp.; In English

Contract(s)/Grant(s): F33615-98-D-3210-0027; Proj-A02P

Report No.(s): AD-A460082; No Copyright; Avail.: CASI: A07, Hardcopy

The challenges of designing modern aircraft continue to drive the development of more advanced analytical tools; often these more advanced analytical tools themselves require development of other enabling technologies such as powerful computers and associated software. The primary historical objective of this project was to develop the theoretical foundation for the development of a continuum-based, general stable crack extension criterion in ductile materials.

DTIC

*Crack Propagation; Ductility; Failure; Prediction Analysis Techniques; Stress Intensity Factors*

**20070005625** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

**Direct Space Charge Effects on the ILC Damping Rings: Task Force Report**

Venturini, M.; Feb. 2006; 27 pp.; In English

Report No.(s): DE2006-889308; LBNL-59511; No Copyright; Avail.: National Technical Information Service (NTIS)

In 2005 a global effort was initiated to conduct studies for a baseline recommendation for the various components of the International Linear Collider (ILC). Work for the damping rings was subdivided in a number of tasks. This Report contains the contribution to this effort by the Authors as Coordinators of the Task Force on space charge. (A slightly reduced version of this document can also be found as part of the Configuration Studies and Recommendations for the ILC Damping Rings, Edts. A. Wolski, et al., LBNL-Report-59449.) The studies documented in this Report were carried out for several of the reference lattices considered for the baseline recommendation. Space charge effects were found to be quite noticeable in the lattices with the longest circumference. Although it does not appear that they could prevent operation of any machine having such lattices they do favor a choice of a ring design with shorter ( 6km) circumference at 5 GeV.

NTIS

*Damping; Space Charge*

## 71 ACOUSTICS

Includes sound generation, transmission, and attenuation. For noise pollution see *45 Environment Pollution*. For aircraft noise see also *02 Aerodynamics* and *07 Aircraft Propulsion and Power*.

**20070003584** NASA Langley Research Center, Hampton, VA, USA

**MEMS Based Acoustic Array**

Sheplak, Mark, Inventor; Nishida, Toshikazu, Inventor; Humphreys, William M., Inventor; Arnold, David P., Inventor; August 15, 2006; 26 pp.; In English; Original contains black and white illustrations



Patent Info.: Filed 28 Nov. 2001; US-Patent-7,092,539; US-Patent-Appl-SN-997113; NASA-Case-LAR-16231-1; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003584>; Avail.: CASI: A03, Hardcopy

Embodiments of the present invention described and shown in the specification aid drawings include a combination responsive to an acoustic wave that can be utilized as a dynamic pressure sensor. In one embodiment of the present invention, the combination has a substrate having a first surface and an opposite second surface, a microphone positioned on the first surface of the substrate and having an input and a first output and a second output, wherein the input receives a biased voltage, and the microphone generates an output signal responsive to the acoustic wave between the first output and the second output. The combination further has an amplifier positioned on the first surface of the substrate and having a first input and a second input and an output, wherein the first input of the amplifier is electrically coupled to the first output of the microphone and the second input of the amplifier is electrically coupled to the second output of the microphone for receiving the output signal from the microphone. The amplifier is spaced from the microphone with a separation smaller than 0.5 mm.

Official Gazette of the U.S. Patent and Trademark Office

*Microelectromechanical Systems; Acoustics; Microphones; Arrays*

**20070003638** Massachusetts Inst. of Tech., Cambridge, MA USA

**Acoustic Measurements for Speaker Recognition**

Sep 1969; 105 pp.; In English

Report No.(s): AD-A459696; No Copyright; Avail.: Defense Technical Information Center (DTIC)

No abstract available

*Acoustic Measurement; Speech Recognition*

**20070003739** Lawrence Livermore National Lab., Livermore, CA USA

**Performance, Thermal, and Vibration Qualification Testing of Zetec Acoustic Transducers, Model Z0002659-2, Sondicator Probes**

Jacobson, G.; Gemberling, S.; Laviertes, A.; Mar. 13, 2006; 50 pp.; In English

Report No.(s): DE2006-888621; UCRL-TR-219738; No Copyright; Avail.: National Technical Information Service (NTIS)

This report is a result of Qualification Test Plan No.001 prepared by Anthony Laviertes. The Qualification Test Plan outlines a list of requirements for thermal and vibrational testing of Zetec's Z0002659-2 Sondicator Probe acoustic transducers (hereafter called 'transducers'). The Zetec transducers are used in a system that employs an array of 7 acoustic transducers. Qualification testing of these transducers was required since they are a modified version of a standard catalog item from the manufacturer. This report documents the thermal, vibrational, and performance testing that was performed on a sampling of these transducers in order to qualify them for flight. A total of 14 transducers were tested. All 14 passed qualification testing with no failures.

NTIS

*Acoustics; Electroacoustic Transducers; Performance Tests; Sound Transducers; Transducers; Vibration*

**20070003905** Massachusetts Inst. of Tech., Cambridge, MA USA

**Simultaneous Linearized Inversion of Velocity and Density Profiles for Multidimensional Acoustic Media**

May 1989; 43 pp.; In English

Contract(s)/Grant(s): AFOSR-85-0227; ECS-87-00903

Report No.(s): AD-A459643; LIDS-P-1874; No Copyright; Avail.: CASI: A03, Hardcopy

No abstract available

*Inversions; Velocity Distribution; Acoustics*

**20070003908** Washington State Univ., Pullman, WA USA

**Scattering of Evanescent Acoustic Waves by Regular and Irregular Objects**

Dec 2006; 19 pp.; In English

Contract(s)/Grant(s): N00014-03-1-0585

Report No.(s): AD-A459639; No Copyright; Avail.: CASI: A03, Hardcopy

No abstract available

*Acoustic Scattering; Evanescent Waves; Sound Waves; Wave Dispersion*

**20070004878** Massachusetts Inst. of Tech., Cambridge, MA USA

**Parsimony and Wavelet Methods for Denoising**

Apr 1998; 6 pp.; In English

Contract(s)/Grant(s): F49620-98-1-0349

Report No.(s): AD-A459557; LIDS-P-2416; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Wavelet Analysis; Methodology*

**20070004905** NASA Glenn Research Center, Cleveland, OH, USA

**Rotating Rake Mode Measurements Over Passive Treatment in a Ducted Fan**

Sutliff, Daniel L.; December 2006; 19 pp.; In English; 35th International Congress and Exposition on Noise Control Engineering (INTER-Noise 2006), 3-6 Dec. 2006, Honolulu, HI, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 561581.02.08.03.18.03

Report No.(s): NASA/TM-2006-214493; IN06-558; E-15785; No Copyright; ONLINE:

<http://hdl.handle.net/2060/20070004905>; Avail.: CASI: [A03](#), Hardcopy

The NASA Glenn Research Center's Rotating Rake mode measurement system has been successful in measuring the modal content propagating in hardwall ducts. This paper proposes an extension of the Rotating Rake measurement and analysis technique to treated sections by developing basis functions based on wall impedance boundary conditions for flow conditions (i.e., constant duct area and Mach number) where the closed form analytical solution exists. Analytical equations developed to estimate mode power are incorporated. This method is verified by decomposing and analyzing radial pressure profiles generated numerically by the Eversman propagation code. Several modes, frequencies and impedances are evaluated. Data from a low-speed ducted fan with several different impedance conditions was acquired and reduced to determine the best fit to the data. Using the impedance boundary conditions result in better mode measurement solutions.

Author

*Ducted Fans; Aeroacoustics; Rotation; Low Speed; Impedance; Pressure Distribution*

**20070004978** National Renewable Energy Lab., Golden, CO USA

**International Electrotechnical Commission Standard IEC 61400-11 and Other Procedures. Acoustic Noise Measurement Techniques**

Huskey, A.; Jun. 2006; 13 pp.; In English

Report No.(s): DE2006-889338; NREL/PR-500-39978; No Copyright; Avail.: National Technical Information Service (NTIS)

This document is a presentation on acoustic noise measurement techniques for wind turbines.

NTIS

*Acoustic Measurement; Noise (Sound); Noise Measurement; Wind Turbines*

**20070005324** Massachusetts Inst. of Tech., Cambridge, MA USA

**A Wavelet Packet Approach to Transient Signal Classification**

Learned, Rachel E; Willsky, Alan S; Sep 27, 1993; 36 pp.; In English

Contract(s)/Grant(s): AFOSR-92-J-0002

Report No.(s): AD-A459970; MIT-LIDS-P-2199; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459970>; Avail.:

CASI: [A03](#), Hardcopy

Time-frequency transforms, including wavelet and wavelet packet transforms, are generally acknowledged to be useful for studying non-stationary phenomena and, in particular, have been shown or claimed to be of value in the detection and characterization of transient signals. In many applications time-frequency transforms are simply employed as a visual aid to be used for signal display. Although there have been several studies reported in the literature, there is still considerable work to be done investigating the utility of wavelet and wavelet packet time-frequency transforms for automatic transient signal classification. In this paper, we contribute to this ongoing investigation by exploring the feasibility of applying the wavelet packet transform to automatic detection and classification of a specific set of transient signals in background noise. In particular, a noncoherent wavelet-packet-based algorithm specific to the detection and classification of underwater acoustic signals generated by snapping shrimp and sperm whale clicks is proposed. We develop a systematic feature extraction process which exploits signal class differences in the wavelet packet transform coefficients. The wavelet-packet-based features

obtained by our method for the biologically generated underwater acoustic signals yield excellent classification results when used as input for a neural network and a nearest neighbor rule.

DTIC

*Classifications; Signal Transmission; Sound Waves; Underwater Acoustics; Wavelet Analysis*

**20070005440** Sytronics, Inc., Dayton, OH USA

**The Effect of Microphone Placement on Localization Accuracy with Electronic Pass-Through Earplugs**

Brungart, Douglas S; Eades, Cynthia S; Simpson, Brian D; Kordik, Alex J; Sep 2006; 6 pp.; In English

Contract(s)/Grant(s): Proj-7184

Report No.(s): AD-A460146; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Many audio applications make use of electronic pass-through listening devices that intercept the signals entering a listener's ears and electronically process them in real time. An important issue in designing such devices is ensuring that they maintain the listener's natural ability to localize sound sources. Previous research suggests that optimal localization requires a completely-in-the-canal (CIC) design with a system bandwidth of at least 13 kHz. However, most practical designs have to make engineering compromises in terms of bandwidth and/or microphone placement that cause some degradation in localization performance. This paper compares open-ear localization to localization with seven different pass-through devices: five custom-molded earplugs with different microphone configurations; a CIC hearing aid; and an electronic earmuff. The results show that earplugs interfere substantially less with localization than earmuffs, but that the frequency response has a larger impact on performance than does physical configuration in earplug systems that are bandlimited to frequencies below 6 kHz.

DTIC

*Ear Protectors; Microphones; Position (Location)*

## 72

### ATOMIC AND MOLECULAR PHYSICS

Includes atomic and molecular structure, electron properties, and atomic and molecular spectra. For elementary particle physics see [73 Nuclear Physics](#).

**20070005331** California Inst. of Tech., Pasadena, CA USA

**Direct Measurement of Population-Induced Broadening of Quantum Well Intersubband Transitions**

Xu, Yuanjian; Almogy, Gilad; O'Brien, John; Shakouri, Ali; Xu, Weihua; Salvatore, Randal A; Yariv, Amnon; Aug 11, 1997; 4 pp.; In English

Report No.(s): AD-A459983; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459983>; Avail.: CASI: [A01](#), Hardcopy

In the single band model, also known as the parabolic effective mass model, intersubband transitions in quantum wells (QWs) are discrete. In practice, electron-electron interaction, QW width nonuniformity, electron interactions with rough interfaces and with impurities and their enhancement by electric fields, and the optical and acoustic phonons contribute to the experimentally observed linewidth of intersubband transition in QWs.<sup>1-5</sup> There have been quite a few theoretical reports to explain the experimental observation,<sup>1-8</sup> and, there has been controversy over the contribution of population density to the intersubband broadening. Bandara et al.<sup>6,7</sup> predicted that the dependence of the exchange interaction on the in-plane momentum ( $k_{||}$ ) could contribute a substantial fraction of experimentally observed linewidths. Zaluzny,<sup>8</sup> on the other hand, claimed that the  $k_{||}$  dependence is offset by the depolarization and excitonlike manybody effects. In this letter, we report on the experimental study of the dependence of intersubband transition broadening on electron population using a structure consisting of 50 periods of an asymmetric coupled double QWs (ACDQWs). External applied bias was used to shift the population between the coupled QWs whose absorption was measured with a monolithically integrated QW infrared photodetector (QWIP) directly on the ACDQW structure.<sup>9,10</sup>

DTIC

*Electron Transitions; Populations; Quantum Theory; Quantum Wells*

**20070005405** Edgewood Research Development and Engineering Center, Aberdeen Proving Ground, MD USA

**Target Detection in Multispectral Images using the Spectral Co-Occurrence Matrix and Entropy Thresholding**

ALthouse, Mark L; Chang, Chein-I; Jan 26, 1995; 15 pp.; In English

Report No.(s): AD-A460095; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Relative entropy thresholding techniques have been used for segmentation of objects from background in gray-level images. These techniques are related to entropy-based segmentations computed for the statistics of a spatial co-occurrence matrix. For detection of spectrally active targets such as chemical vapor clouds in multispectral or hyperspectral imagery, a spectral co-occurrence matrix is employed. Using the entropy of various regions of the matrix, thresholds can be derived that will segment an image family based on the spectral characteristics of the intended target. Experiments are presented that show the detection of a chemical vapor cloud in multispectral thermal imagery. Several manners of dividing the co-occurrence matrix into regions are explored. Thresholds are determined on both a local and global basis and compared. Locally generated thresholds are treated as a distribution and separated into classes. The point of class separation is used as a global threshold with improved results.

DTIC

*Detection; Entropy; Spectra; Target Acquisition*

## 73

### NUCLEAR PHYSICS

Includes nuclear particles; and reactor theory. For space radiation see *93 Space Radiation*. For atomic and molecular physics see *72 Atomic and Molecular Physics*. For elementary particle physics see *77 Physics of Elementary Particles and Fields*. For nuclear astrophysics see *90 Astrophysics*.

**20070003582** NASA Marshall Space Flight Center, Huntsville, AL, USA

#### **Radio-Frequency Driven Dielectric Heaters for Non-Nuclear Testing in Nuclear Core Development**

Sims, William Herbert, III, Inventor; Godfroy, Thomas J., Inventor; Bitteker, Leo, Inventor; August 22, 2006; 14 pp.; In English; Original contains black and white illustrations

Patent Info.: Filed 13 Sep. 2004; US-Patent-7,095,000; US-Patent-Appl-SN-943827; NASA-Case-MSF-31823-1; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003582>; Avail.: CASI: A03, Hardcopy

Apparatus and methods are provided through which a radiofrequency dielectric heater has a cylindrical form factor, a variable thermal energy deposition through variations in geometry and composition of a dielectric, and/or has a thermally isolated power input.

Official Gazette of the U.S. Patent and Trademark Office

*Dielectrics; Heaters; Radio Frequencies; Nuclear Energy*

**20070004674** Oak Ridge National Lab., TN USA

#### **Scaling of Thermal-Hydraulic Experiments for a Space Ranking Cycle and Selection of a Preconceptual Scaled Experiment Design**

Sulfredge, C. D.; Yoder, G. L.; Sep. 30, 2005; 60 pp.; In English

Report No.(s): DE2006-885976; ORNL/TM-2005/213; No Copyright; Avail.: National Technical Information Service (NTIS)

To assist with the development of a space-based Rankine cycle power system using liquid potassium as the working fluid, a study has been conducted on possible scaled experiments with simulant fluids. This report will consider several possible working fluids and describe a scaling methodology to achieve thermal-hydraulic similarity between an actual potassium system and scaled representations of the Rankine cycle boiler or condenser. The most practical scaling approach examined is based on the selection of perfluorohexane (FC-72) as the simulant. Using the scaling methodology, a series of possible solutions have been calculated for the FC-72 boiler and condenser. The possible scaled systems will then be compared and preconceptual specifications and drawings given for the most promising design. The preconceptual design concept will also include integrating the scaled boiler and scaled condenser into a single experimental loop. All the preconceptual system specifications appear practical from a fabrication and experimental standpoint, but further work will be needed to arrive at a final experiment design.

NTIS

*Experiment Design; Rankine Cycle; Fabrication; Hydraulics*

**20070004678** Oak Ridge National Lab., TN USA

#### **Status of Physics and Safety Analyses for the Liquid Salt Cooled Very High Temperature Reactor (LS-VHTR)**

Ingersoll, D. T.; Dec. 2005; 104 pp.; In English

Report No.(s): DE2006-885991; No Copyright; Avail.: National Technical Information Service (NTIS)

A study has been completed to develop a new baseline core design for the liquid-salt-cooled very high-temperature reactor (LS-VHTR) that is better optimized for liquid coolant and that satisfies the top-level operational and safety targets, including strong passive safety performance, acceptable fuel cycle parameters, and favorable core reactivity response to coolant voiding. Three organizations participated in the study: Oak Ridge National Laboratory (ORNL), Idaho National Laboratory (INL), and Argonne National Laboratory (ANL). Although the intent was to generate a new reference LS-VHTR core design, the emphasis was on performing parametric studies of the many variables that constitute a design. The results of the parametric studies not only provide the basis for choosing the optimum balance of design options, they also provide a valuable understanding of the fundamental behavior of the core, which will be the basis of future design trade-off studies.

NTIS

*Coolants; High Temperature; Nuclear Reactors; Safety*

**20070004834** Defense Science Board, Washington, DC USA

**Report of the Defense Science Board Task Force on Nuclear Capabilities Report Summary**

Dec 2006; 59 pp.; In English

Report No.(s): AD-A459527; No Copyright; Avail.: Defense Technical Information Center (DTIC)

No abstract available

*Defense Program; Nuclear Weapons*

## 74 OPTICS

Includes light phenomena and the theory of optical devices; for specific optical devices see also *35 Instrumentation and Photography*. For lasers see *36 Lasers and Masers*.

**20070003545** Naval Research Lab., Washington, DC USA

**An Inductively Coupled Goniometer for Wide-Aperture DF Arrays**

Aug 12, 1958; 47 pp.; In English

Report No.(s): AD-A459667; NRL-MR-832; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Apertures; Deuterium Fluorides; Goniometers*

**20070003556** NASA Stennis Space Center, Stennis Space Center, MS, USA

**Fiber Optic Raman Sensor to Monitor Concentration Ratio of Nitrogen and Oxygen in a Cryogenic Mixture**

Tiwari, Vidhu S.; Kalluru, Rajamohan R.; Yueh, Fang-Yu; Singh, Jagdish P.; SaintCyr, William; [2007]; 21 pp.; In English; Original contains color and black and white illustrations

Contract(s)/Grant(s): NNS04AA34C

Report No.(s): SSTI-2200-0079-FLUIDS; Copyright; Avail.: CASI: [A03](#), Hardcopy

A spontaneous Raman scattering optical fiber sensor is developed for a specific need of NASA/SSC for long-term detection and monitoring of the quality of liquid oxygen (LOX) in the delivery line during ground testing of rocket engines. The sensor performance was tested in the laboratory and with different excitation light sources. To evaluate the sensor performance with different excitation light sources for the LOX quality application, we have used the various mixtures of liquid oxygen and liquid nitrogen as samples. The study of the sensor performance shows that this sensor offers a great deal of flexibility and provides a cost effective solution for the application. However, an improved system response time is needed for the real-time, quantitative monitoring of the quality of cryogenic fluids in harsh environment.

Author

*Cryogenic Fluids; Fiber Optics; Liquid Nitrogen; Liquid Oxygen; Raman Spectra; Mixtures; Sensors*

**20070003675** Massachusetts Inst. of Tech., Cambridge, MA USA

**People Recognition in Image Sequences by Supervised Learning**

Jun 2000; 14 pp.; In English

Contract(s)/Grant(s): N00014-93-1-3085; N00014-95-1-0600

Report No.(s): AD-A459706; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Sequencing; Images; Pattern Recognition; Education*

**20070003711** Pennsylvania State Univ., University Park, PA USA

**Extreme Nonlinear Optics With Liquid Crystals**

Oct 31, 2006; 24 pp.; In English

Contract(s)/Grant(s): DAAD19-03-1-0234

Report No.(s): AD-A459438; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Liquid Crystals; Nonlinear Optics*

**20070003716** University of Southern California, Marina del Rey, CA USA

**Postproduction Re-Illumination of Live Action Using Time-Multiplexed Lighting**

Jun 14, 2004; 5 pp.; In English

Contract(s)/Grant(s): DAAD19-99-D-0046

Report No.(s): AD-A459343; ICT-TR-05-2004; No Copyright; Avail.: CASI: [A01](#), Hardcopy

No abstract available

*Illuminating; Multiplexing*

**20070003743** Lawrence Livermore National Lab., Livermore, CA USA

**Initial Demonstration of Mercury Wavefront Correction System**

Liao, Z. M.; Feb. 07, 2006; 14 pp.; In English

Report No.(s): DE2006-888617; UCRL-TR-218721; No Copyright; Avail.: National Technical Information Service (NTIS)

High average power operation of the Mercury Laser induces dynamic aberrations to the laser beam wavefront. Analysis of recent data indicates that up to 4 waves of low order aberration (mainly focus error or power, with spatial resolution  $\sim 0.5$  cm(sup -1)) could be expected at each pass. Because of the magnitude of the wavefront error, the logical position is to place a deformable mirror (DM) at the M11 position, where the DM will correct the beam between passes 1 & 2 and 3 & 4. Currently, there are only two established commercial vendors offering complete adaptive optic (AO) systems that can accommodate the Mercury beam size (45 x 75 mm) which are compatible with high damage threshold coatings. Xinetics (MA, USA) offers a complete AO system along with a Shack-Hartmann wavefront sensor. The Xinetics DM is based on lead magnesium niobate (PMN) technology. A number of US aerospace firms as well as NIF use Xinetics PMN technology for their DMs. Phasics (Paris, France) offers a complete AO solution with its proprietary SID-4, a four-way shearing interferometric wavefront sensor capable of high resolution (over 100 x 100 sampling points). The Phasics system includes a bimorph deformable mirror from Night-n-Opt (Moscow, Russia) that uses lead zirconate titanate (PZT) technology. Various high power laser laboratories around the world such as LULI (France), HELEN (UK), and GEKKO (Japan) are using the PZT-based bimorph DM in their system. While both DM technologies are equivalent and have been deployed in high-energy laser systems, the PZT based bimorph DM offers two distinct features that makes it more attractive for high average power laser systems. The bimorph DM uses two layers of PZT actuators with the outer layer acting as power correctors, capable of correcting up to 20 waves of power. The Xinetics DM offers a maximum stroke of 4 waves. In addition, Night-N-Opt has also designed a water-cooled DM with a silicon based substrate (as opposed to a glass substrate) specifically for high average power laser systems--an option that is currently not available for PMN based DMs.

NTIS

*Lasers; Mercury (Metal); Spatial Resolution; Wave Fronts*

**20070004819** Maryland Univ., College Park, MD USA

**Fiber Optic Detection of Action Potentials in Axons**

Dec 2006; 9 pp.; In English

Contract(s)/Grant(s): W911NF-06-1-0041

Report No.(s): AD-A459507; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Axons; Fiber Optics; Detection*

**20070004871** California Univ., Santa Barbara, CA USA

**Integrated Cooling for Optoelectronic Devices**

Jan 2000; 8 pp.; In English

Report No.(s): AD-A459476; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Cooling; Optoelectronic Devices; Integrated Optics*

**20070004942** Lawrence Livermore National Lab., Livermore, CA USA

**Method of Defining Features on Materials with a Femtosecond Laser**

Roos, E. V.; Roeske, F.; Lee, R. S.; Benterou, J. L.; 7 Nov 03; 7 pp.; In English

Contract(s)/Grant(s): DE-W-7405-ENG-48

Patent Info.: Filed Filed 7 Nov 03; US-Patent-Appl-SN-10-704 459

Report No.(s): PB2007-100886; No Copyright; Avail.: CASI: [A02](#), Hardcopy

The invention relates to a pulsed laser ablation method of metals and/or dielectric films from the surface of a wafer, printed circuit board or a hybrid substrate. By utilizing a high-energy ultra-short pulses of laser light, such a method can be used to manufacture electronic circuits and/or electromechanical assemblies without affecting the material adjacent to the ablation zone.

NTIS

*Lasers; Patent Applications*

**20070004944** Chicago Univ., Chicago, IL USA

**Apparatus for Using Optical Tweezers to Manipulate Materials**

Grier, D. G.; Dufresne, E. R.; Curtis, J. E.; Koss, B. A.; 10 Dec 04; 18 pp.; In English

Contract(s)/Grant(s): NSF-DMR-9730189; NSF-DMR-9808595

Patent Info.: Filed Filed 10 Dec 04; US-Patent-Appl-SN-11-010 004

Report No.(s): PB2007-100887; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A method and apparatus for control of optical trap arrays and formation of particle arrays using light that is in the visible portion of the spectrum. The method and apparatus provides a laser and a time variable diffractive optical element to allow dynamic control of optical trap arrays and consequent control of particle arrays and also the ability to manipulate singular objects using a plurality of optical traps. By avoiding wavelengths associated with strong absorption in the underlying material, creating optical traps with a continuous-wave laser, optimizing the efficiency of individual traps, and trapping extended samples at multiple points, the rate of deleterious nonlinear optical processes can be minimized.

NTIS

*Optical Equipment; Optical Materials; Patent Applications*

**20070004951** Woodard, Emhardt, Moriarty, McNett and Henry, LLP, Indianapolis, IN, USA

**Laser-Based Spectroscopic Detection Techniques**

Harper, W. W.; 10 Sep 04; 15 pp.; In English

Contract(s)/Grant(s): DE-AC0676RLO1831

Patent Info.: Filed Filed 10 Sep 04; US-Patent-Appl-SN-10-938 967

Report No.(s): PB2007-100890; No Copyright; Avail.: CASI: [A03](#), Hardcopy

One embodiment of the present invention includes: a modulated laser light source to transmit an interrogation light beam to a region including a substance for spectroscopic evaluation, a detector responsive to modulated light from the region to provide a corresponding modulated signal, and a signal processing subsystem responsive to the modulated signal to generate a spectroscopic absorption peak representation. This subsystem also estimates absorbance based on a derivative form of an absorption peak and a residual amplitude modulation level corresponding to the absorption peak.

NTIS

*Detection; Lasers; Optical Measurement; Patent Applications; Spectroscopy*

**20070004997** Lawrence Livermore National Lab., Livermore, CA USA

**Directed Energy System for Defeat of Improvised Explosive Devices and Landmines**

Boley, C.; Fochs, S.; Parker, J.; Rotter, M.; Rubenchik, A.; Mar. 27, 2006; 16 pp.; In English

Report No.(s): DE2006-889443; UCRL-PROC-220154; No Copyright; Avail.: National Technical Information Service (NTIS)

We describe a laser system, built in our laboratory at LLNL, that has near-term, effective applications in exposing and neutralizing improvised explosive devices and landmines. We discuss experiments with this laser, demonstrating excavation capabilities and relevant material interactions. Model results are also described.

NTIS

*Explosive Devices; Explosives; Mines (Ordnance)*

**20070005009** Naval Undersea Warfare Center, Newport, RI, USA

**Natural Fiber Spin Reflectometer Providing a Virtual Differential Signal Sensing Array Capability**

Payton, R. M.; 7 Feb 05; 35 pp.; In English

Patent Info.: Filed Filed 7 Feb 05; US-Patent-Appl-SN-11-056-631

Report No.(s): PB2007-103897; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A CW lightwave modulated by a continuously reiterated pseudorandom (PN) code sequence is launched into an end of a span of ordinary optical fiber cable. Portions of the launched lightwave back propagate to the launch end from a continuum of locations along the span because of innate fiber properties including Rayleigh scattering. This is picked off the launch end and heterodyned producing a r.f. beat signal. The r.f. beat signal is processed by a plurality (which can be thousands) of correlator pseudonoise code sequence demodulation and phase demodulator units operated in different delay time relationships to the timing base of the reiterated modulation sequences. Pairs of outputs of the units are connected to respective subtractor circuits, each providing a signal representative of a differential signal between acoustic, or other forms of, signals incident the bounds of virtual increments of the span.

NTIS

*Detection; Reflectometers; Sensors; Signal Detectors*

**20070005064** Grigoryan (Vladimir S.), Eldridge, MD, USA

**Optical Regenerative Amplifier for Binary Phase Shift-Keying Signals**

Grigoryan, V.; Kumar, P.; 26 Feb 06; 10 pp.; In English

Contract(s)/Grant(s): DARPA-AFRL-F30602-01-0528

Patent Info.: Filed Filed 26 Feb 06; US-Patent-Appl-SN-11-307 868

Report No.(s): PB2007-101286; No Copyright; Avail.: CASI: [A02](#), Hardcopy

There is provided an optical regenerative amplifier for regenerative amplification of a binary phase shift-keying (BPSK) sequence of optical signals having a predetermined time slot between any adjacent signals. The optical regenerative amplifier comprises a first delay interferometer, wherein an input sequence of BPSK signals is split between two arms of an interferometer, a relative delay by the time slot between the adjacent signals is introduced in one of the arms of the interferometer to produce two mutually anti-symmetric trains of amplitude shift-keying (ASK) signals; a discriminative limiting amplifier to amplify the said two trains of anti-symmetric ASK signals, wherein the amplification for the spaces is smaller compared to the amplification for the marks and the amplification for the marks is limiting; and a second delay interferometer, wherein the said two trains of anti-symmetric ASK signals are recombined, delayed, and interfered to reproduce a regeneratively amplified BPSK sequence of signals and to separate noise from the signals.

NTIS

*Binary Phase Shift Keying; Light Amplifiers; Optical Communication; Patent Applications*

**20070005073** Pacific Northwest National Lab., Richland, WA, USA

**FY 2005 Quantum Cascade Laser Alignment System. Final Report**

Myers, T. L.; Cannon, B. D.; Wojcik, M. D.; Brooks, B. T.; Stewart, T. L.; Dec. 2005; 52 pp.; In English

Report No.(s): DE2006-889069; PNNL-15600; No Copyright; Avail.: National Technical Information Service (NTIS)

The Alignment Lasers Task of Pacific Northwest National Laboratory's (PNNL's) Remote Spectroscopy Project (Project PL211I) is a co-funded project between DOE NA-22 and a Classified Client. This project, which began in the second half of FY03, involved building and delivering a Quantum Cascade (QC) Laser Alignment System to be used for testing the pupil alignment of an infrared sensor by measuring the response from four pairs of diametrically opposed QC lasers. PNNL delivered the system in FY04 and provided technical assistance in FY05 culminating into a successful demonstration of the system. This project evolved from the Laser Development Task of PL211I, which is involved in developing novel laser technology to support development of advanced chemical sensors for detecting the proliferation of nuclear weapons. The laser systems are based on quantum cascade (QC) lasers, a new semiconductor source in the infrared. QC lasers can be tailored to emit light throughout the infrared region (3.5 17  $\mu\text{m}$ ) and have high output power and stability. Thus, these lasers provide an infrared source with superb power and spectral stability enabling them to be used for applications such as alignment and calibration in addition to chemical sensing. The QC Laser Alignment System consists of four Alignment Source Assemblies (ASAs) with two QC lasers on each ASA and a computer control system with graphical user interface (GUI) for simple, reliable operation. Each ASA has a temperature sensor and heater for controlling the temperature to enable stable and reproducible output power. In addition, each laser has an on-board photodetector to measure the output power from the rear facet. The computer control system monitors the compliance voltage across the QC laser, the temperature, the current, and the signal from the optical detectors to ensure the system is operating within the specified tolerances. The technical achievements



for this project include 1) assembly and construction of the QC Laser Alignment System, 2) far-field characterization of all eight QC lasers, 3) delivery of the QC Laser Alignment System to the Using subcontractor in September 2004, and 4) successful demonstration of the QC Laser Alignment System in March 2005.

NTIS

*Alignment; Lasers; Nuclear Weapons; Quantum Cascade Lasers*

**20070005079** Holland and Knight, Miami, FL, USA

**All-Optical Controllable Photonic Switch**

Li, D.; 9 Mar 05; 12 pp.; In English

Contract(s)/Grant(s): DASG60-03-C-0021

Patent Info.: Filed Filed 9 Mar 05; US-Patent-Appl-SN-11-075 776

Report No.(s): PB2007-101284; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A photonic switch matrix is disclosed. The photonic switch matrix includes a first pair of power splitters, each power splitter including one input and two output ports and a second pair of power splitters, each power splitter including two input ports and one output port. The photonic switch matrix further includes four optical fibers doped with gain controllable substances under light pumping, the four optical fibers connecting the first pair and the second pair of power splitters, wherein each input port of the second pair of power splitters is connected to an output port of the first pair of power splitters. The photonic switch matrix further includes four multiplexers, each multiplexer coupled with one of the four optical fibers, and at least one light pump connected to each multiplexer, wherein light pumped into a multiplexer defines an optical path of the photonic switch matrix.

NTIS

*Matrices (Circuits); Optical Switching; Switching Circuits*

**20070005109** Lawrence Livermore National Lab., Livermore, CA USA

**Multi Dimensional Investigation of Laser Conditioning in KDP and DKDP Crystals**

DeMange, P.; Negres, R. A.; Carr, C. W.; Radousky, H. B.; Demos, S. G.; Nov. 04, 2005; 16 pp.; In English

Report No.(s): DE2006-886671; No Copyright; Avail.: Department of Energy Information Bridge

We present a multi-parametric experimental investigation of laser conditioning efficiency and behavior in KDP and DKDP crystals as a function of laser wavelength, fluence, number of pulses, and conditioning protocol. Our results expose complex behaviors associated with damage initiation and conditioning at different wavelengths that provide a major step towards revealing the underlying physics. In addition, we reveal the key parameters for optimal improvement to the damage performance from laser conditioning.

NTIS

*Crystals; Lasers*

**20070005123** Lawrence Livermore National Lab., Livermore, CA USA

**Wave Based Inversion and Imaging for the Optical Quadrature Microscope**

Lehman, S. K.; Nov. 14, 2005; 24 pp.; In English

Report No.(s): DE2006-886667; No Copyright; Avail.: Department of Energy Information Bridge

The Center for Subsurface Sensing & Imaging Systems (CenSSIS) Optical Quadrature Microscope (OQM) is a narrow band visible light microscope capable of measuring both amplitude and phase of a scattered field. We develop a diffraction tomography, that is, wavebased, scattered field inversion and imaging algorithm, for reconstructing the refractive index of the scattering object.

NTIS

*Imaging Techniques; Inversions; Microscopes; Optical Microscopes; Quadratures; Refractivity*

**20070005137** Lawrence Livermore National Lab., Livermore, CA USA

**In-vivo Imaging of the Photoreceptor Mosaic in Retinal Dystrophies and Correlation with Visual Function**

Choi, S.; Doble, N.; Hardy, J.; Jones, S.; Keltner, J.; Oct. 27, 2005; 44 pp.; In English

Report No.(s): DE2006-886664; No Copyright; Avail.: Department of Energy Information Bridge

AO fundus photography is a reliable technique for assessing and quantifying the changes in the photoreceptor layer as

disease progresses. Furthermore, this technique can be useful in cases where visual function tests give borderline or ambiguous results, as it allows visualization of individual photoreceptors.

NTIS

*Diseases; Imaging Techniques; In Vivo Methods and Tests; Mosaics; Photoreceptors; Retina*

**20070005153** Sandia National Labs., Albuquerque, NM USA

**Investigation of Temporal Contrast Effects in Femtosecond Pulse Laser Micromachining of Metals**

Palmer, J. A.; Campbell, B. R.; Jun. 2006; 28 pp.; In English

Report No.(s): DE2006-887259; SAND2006-2664; No Copyright; Avail.: Department of Energy Information Bridge

Femtosecond pulse laser drilling has evolved to become a preferred process for selective (maskless) micromachining in a variety of materials, including metals, polymers, semiconductors, ceramics, and living tissue. Manufacturers of state-of-the-art femtosecond laser systems advertise the inherent advantage of micromachining with ultra short pulses: the absence of a heat affected zone. In the ideal case, this leads to micro and nano scale features without distortion due to melt or recast. However, recent studies have shown that this is limited to the low fluence regime in many cases. High dynamic range autocorrelation studies were performed on two commercial Ti:sapphire femtosecond laser systems to investigate the possible presence of a nanosecond pedestal in the femtosecond pulse produced by chirped pulse amplification. If confirmed, nanosecond temporal phenomena may explain many of the thermal effects witnessed in high fluence micromachining. The material removal rate was measured in addition to feature morphology observations for percussion micro drilling of metal substrates in vacuum and ambient environments. Trials were repeated with proposed corrective optics installed, including a variable aperture and a nonlinear frequency doubling crystal. Results were compared. Although the investigation of nanosecond temporal phenomena is ongoing, early results have confirmed published accounts of higher removal rates in a vacuum environment.

NTIS

*Laser Drilling; Laser Machining; Metals; Pulsed Lasers*

**20070005154** Lawrence Livermore National Lab., Livermore, CA USA

**Summary of Recent Damage-Initiation Experiments on KDP Crystals**

Carr, C. W.; Feit, M. D.; Rubenchik, A. M.; Trenholme, J. B.; Spaeth, M. L.; Nov. 08, 2005; 14 pp.; In English

Report No.(s): DE2006-887280; UCRL-PROC-216929; No Copyright; Avail.: Department of Energy Information Bridge

We summarize recent investigations of the density and morphology of bulk damage in KDP crystals as a function of pulse duration, temporal profile, wavelength, and energy fluence. As previously reported by Runkel et al.1, we also find that the size of bulk damage sites varies roughly linearly with pulse duration for pulses between 1 ns and 9 ns. However this trend no longer applies at pulse durations below 1 ns. Experiments measuring the damage density and size distribution as a function of wavelength confirm many previous works which indicated a strong dependence of damage density with wavelength. However, we also find that the size of damage sites is relatively insensitive to wavelength. Further we see damage due to Flat-In-Time (FIT) pulses has different pulse length and fluence dependence than Gaussian pulses. We demonstrate that a simple thermal diffusion model can account for observed differences in damage densities due to square and Gaussian temporally shaped pulses of equal fluence. Moreover, we show that the key laser parameter governing size of the bulk damage sites is the length of time the pulse remains above a specific intensity. The different dependences of damage density and damage site size on laser parameters suggest different absorption mechanisms early and late in the damaging pulse.

NTIS

*Crack Initiation; Crystals; Lasers*

**20070005220** Massachusetts Inst. of Tech., Cambridge, MA USA

**Direction Estimation of Pedestrian from Images**

Simizu, Hiroaki; Poggio, Tomaso; Aug 2003; 13 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-00-1-0907; N00014-02-1-0915

Report No.(s): AD-A459729; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459729>; Avail.: CASI: A03,

Hardcopy

The capability of estimating the walking direction of people would be useful in many applications such as those involving autonomous cars and robots. We introduce an approach for estimating the walking direction of people from images, based on learning the correct classification of a still image by using SVMs. We find that the performance of the system can be improved by classifying each image of a walking sequence and combining the outputs of the classifier. Experiments were performed to

evaluate our system and estimate the trade-off between number of images in walking sequences and performance.

DTIC

*Images; Walking*

**20070005260** Naval Research Lab., Washington, DC USA

**Design Considerations for the Second-Generation NPOI Fringe Tracker and Science Beam Combiner**

Zhang, Xiaolei; Armstrong, Tom; Restaino, Sergio; Mozurkewich, Dave; Dec 18, 2006; 30 pp.; In English

Report No.(s): AD-A459862; NRL/MR/7210--06-9018; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459862>;

Avail.: CASI: [A03](#), Hardcopy

We describe the motivations for and the conceptual design of the second-generation back-end beam combiner and fringe tracker for the Navy Prototype Optical Interferometer. The new back end is expected to result in much-improved data quality and sensitivity compared to the existing back end. It will also enable the observation of geostationary satellites in the visible and near infrared regions of the spectrum.

DTIC

*Interferometers; Optical Measurement*

**20070005478** Raytheon Integrated Defense Systems, Andover, MA USA

**Transparent Yttria for IR Windows and Domes - Past and Present**

Hogan, Patrick; Stefanik, Todd; Willingham, Charles; Gentilman, Richard; May 19, 2004; 65 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-02-C-0141; N00014-04-C-0100

Report No.(s): AD-A460289; No Copyright; Avail.: CASI: [A04](#), Hardcopy

Yttria (Y<sub>2</sub>O<sub>3</sub>) has excellent optical performance through the full mid wavelength infrared (MWIR) atmospheric transmission band at both ambient and elevated temperatures. Current state-of-the-art yttria's thermomechanical properties are adequate for a number of IR window and dome applications, but only marginal for the most demanding missions. Although conventional yttria's strength and hardness are lower than the more durable but less transmitting MWIR materials (sapphire, ALON, spinel), its thermal shock performance is similar. In fact, 7 out of 7 flat yttria windows were successfully wind-tunnel tested under hypersonic conditions simulating representative surface-to-air interceptor missile flights. Recent renewed interest in yttria windows and domes has prompted efforts to enhance mechanical properties by producing materials with micron or nano-size grains. Three vendors were selected to provide nanoscale powders for testing and evaluation, and they were compared to a conventional yttria powder previously used to prepare transparent ceramic yttria. While all of the nanopowders evaluated had impurity levels that were too high to allow processing to full transparency, two were processed to full density and moderate transparency. Ultrasonic attenuation as a technique for measuring particle size distributions in slurries was explored and found to be an invaluable tool when processing colloidal suspensions of nanopowders. In this paper, the optical, thermal, and mechanical properties of conventional transparent yttria are reviewed and compared with other candidate MWIR window/dome materials. The status of on-going Navy-sponsored development of nano-grain yttria is also presented.

DTIC

*Infrared Windows; Transparency; Yttrium Oxides*

## 75

### PLASMA PHYSICS

Includes magnetohydrodynamics and plasma fusion. For ionospheric plasmas see *46 Geophysics*. For space plasmas see *90 Astrophysics*.

**20070004768** Army Research Lab., Aberdeen Proving Ground, MD USA

**Confocal Microscopy Studies for Plasma Surface Modified Films and Fibers**

Dec 2006; 28 pp.; In English

Report No.(s): AD-A459768; ARL-TR-4004; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Microscopy; Plasmas (Physics)*

## SOLID-STATE PHYSICS

Includes condensed matter physics, crystallography, and superconductivity. For related information see also *33 Electronics and Electrical Engineering*; and *36 Lasers and Masers*.

**20070004673** Oak Ridge National Lab., TN USA, Centre d'Etudes de Cadarache, Sante Paul lez Durance, France  
**Neutron Resonance Parameters of  $^{238}\text{U}$  and the Calculated Cross Sections from the Reich-Moore Analysis of Experimental Data in the Neutron Energy Range from 0 keV to 20 keV**

Derrien, H.; Leal, L. C.; Larson, N. M.; Courcelle, A.; Nov. 2005; 94 pp.; In English

Report No.(s): DE2006-885973; ONRL/TM-2005/241; No Copyright; Avail.: Department of Energy Information Bridge

The neutron resonance parameters of (sup 238)U were obtained from a SAMMY analysis of high-resolution neutron transmission measurements and high-resolution capture cross section measurements performed at the Oak Ridge Electron Linear Accelerator (ORELA) in the years 1970-1990, and from more recent transmission and capture cross section measurements performed at the Geel Linear Accelerator (GELINA). Compared with previous evaluations, the energy range for this resonance analysis was extended from 10 to 20 keV, taking advantage of the high resolution of the most recent ORELA transmission measurements. The experimental database and the method of analysis are described in this report. The neutron transmissions and the capture cross sections calculated with the resonance parameters are compared with the experimental data. A description is given of the statistical properties of the resonance parameters and of the recommended values of the average parameters. The new evaluation results in a slight decrease of the effective capture resonance integral and improves the prediction of integral thermal benchmarks by 70 pcm to 200 pcm.

NTIS

*Linear Accelerators; Neutrons; Particle Accelerators*

**20070004835** Illinois Univ. at Urbana-Champaign, Urbana, IL USA  
**Self-Assembled Colloidal Crystals for Photonic Applications and Its Outlook**

Jan 2006; 6 pp.; In English

Contract(s)/Grant(s): DAAD19-03-1-0227

Report No.(s): AD-A459526; No Copyright; Avail.: Defense Technical Information Center (DTIC)

No abstract available

*Colloids; Photonics; Self Assembly; Crystals*

**20070005022** Williams, Morgan and Ameron, P.C., Houston, TX, USA  
**High Tensile Strength Carbon Nanotube Film and Process for Making the Same**

Zhnag, X.; Veedu, S. T.; Liu, T.; Kumar, S.; 9 Nov 04; 13 pp.; In English

Contract(s)/Grant(s): AFOSR-F492620-03-1-0134; ONR-N00014-01-10657

Patent Info.: Filed Filed 9 Nov 04; US-Patent-Appl-SN-10-984-619

Report No.(s): PB2007-103905; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A conductive carbon nanotube film having high tensile strength and initial tensile modulus comprises primarily oxidized small-diameter carbon nanotubes wherein the diameter of the small-diameter carbon nanotubes are at most about 3 nm. A method for making the film comprises refluxing an aqueous mixture comprising carbon nanotubes and an oxidizing agent to form a refluxed nanotube dispersion; forming a carbon nanotube film from the refluxed carbon nanotube dispersion; optionally removing nitric acid or other oxidizing agent from the carbon nanotube film; drying the carbon nanotube film; and heat-treating the carbon nanotube film to form a heat-treated carbon nanotube film. The method can also comprise sonicating the nanotubes prior to or after refluxing. A heat-treated small-diameter carbon nanotube film can have a tensile strength of over 70 MPa and an initial tensile modulus of about 5 GPa.

NTIS

*Carbon Nanotubes; High Strength; Strain Rate; Tensile Strength*

**20070005099** Los Alamos National Lab., NM USA  
**Enhanced Pinning in YBCO Films with Bazo3 Nanoparticles**

Driscoll, J. L.; Foltyn, S. R.; 27 Jul 04; 10 pp.; In English

Contract(s)/Grant(s): DE-W-7405-ENG-36

Patent Info.: Filed 27 Jul 04; US-Patent-Appl-SN-10-900-639

Report No.(s): PB2007-103841; No Copyright; Avail.: CASI: [A02](#), Hardcopy

A process and composition of matter are provided and involve flux pinning in thin films of high temperature superconductive oxides such as YBCO by inclusion of particles including barium and a group 4 or group 5 metal, such as zirconium, in the thin film.

NTIS

*Barium Oxides; Composite Materials; Copper Oxides; Nanoparticles; Nanostructures (Devices); Patent Applications; Pinning; Superconducting Films; Thin Films; Yttrium Oxides*

**20070005103** Istituto Nazionale di Fisica Nucleare, Frascati, Italy

**Design and Testing of Gproto bunch-by-bunch Signal Processor**

Teytelman, D.; Rivetta, C.; Van Winkle, D.; Akre, R.; Fox, J.; Jul. 2006; 8 pp.; In English

Report No.(s): DE2006-887071; SLAC-PUB-11943; No Copyright; Avail.: National Technical Information Service (NTIS)

A prototype programmable bunch-by-bunch signal acquisition and processing channel with multiple applications in storage rings has been developed at SLAC. The processing channel supports up to 5120 bunches with bunch spacings as close as 1.9 ns. The prototype has been tested and operated in five storage rings: SPEAR-3, DAINE, PEP-II, KEKB, and ATF damping ring. The testing included such applications as transverse and longitudinal coupled-bunch instability control, bunch-by-bunch luminosity monitoring, and injection diagnostic. In this contribution the prototype design will be described and its operation will be illustrated with the data measured at the above-mentioned accelerators.

NTIS

*Linear Accelerators; Particle Accelerators; Signal Analyzers; Signal Processing*

**20070005105** Stanford Linear Accelerator Center, CA, USA

**Amplitude Linearizers for PEP-II 1.2 MW Klystrons and LLRF Systems**

Van Winkle, D.; Browne, M.; Fox, J.; Mastorides, T.; Hector Rivetta, C.; Jul. 2006; 8 pp.; In English

Report No.(s): DE2006-887070; SLAC-PUB-11945; No Copyright; Avail.: National Technical Information Service (NTIS)

The PEP-II B-factory has aggressive current increases planned for luminosity through 2008. At 2.2A (HER) on 4A (LER) currents, we estimate that longitudinal growth rates will be comparable to the damping rates currently achieved in the existing low level RF and longitudinal feedback systems. Prior to having a good non-linear time domain model it was postulated that klystron small signal gain non-linearity may be contributing to measured longitudinal growth rates being higher than linearly predicted growth rates. Five prototype klystron amplitude modulation linearizers have been developed to explore improved linearity in the LLRF system. The linearizers operate at 476 MHz with 15 dB dynamic range and 1 MHz linear control bandwidth. Results from lab measurements and high current beam tests are presented. Future development plans, conclusions from beam testing and ideas for future use of this linearization technique are presented.

NTIS

*Klystrons; Luminosity; Particle Accelerators*

**20070005121** Thomas Jefferson National Accelerator Facility, Newport News, VA, USA

**Bunch Length Measurements at the JLab FEL Using Coherent Transition and Synchrotron Radiation**

Evtushenko, P.; Coleman, J.; Jordan, K.; Klopff, J. M.; Neil, G.; May 2006; 12 pp.; In English

Report No.(s): DE2006-887107; No Copyright; Avail.: Department of Energy Information Bridge

The JLab FEL is routinely operated with sub-picosecond bunches. The short bunch length is important for high gain of the FEL. Coherent transition radiation has been used for the bunch length measurements for many years. This diagnostic can be used only in the pulsed beam mode. It is our goal to run the FEL with CW beam and a 74.85 MHz micropulse repetition rate, which, with the 135 pC nominal bunch charge corresponds to the beam average current of 10 mA. Hence it is very desirable to have the possibility of making bunch length measurements when running CW beam with any micropulse frequency. We use a Fourier transform infrared (FTIR) interferometer, which is essentially a Michelson interferometer, to measure the spectrum of the coherent synchrotron radiation generated in the last dipole of the magnetic bunch compressor upstream of the FEL wiggler. This noninvasive diagnostic provides bunch length measurements for CW beam operation at any micropulse frequency. We also compare the measurements made with the help of the FTIR interferometer with data obtained using the Martin-Puplett interferometer. Results of the two diagnostics agree within 15 %. Here we present a description of

the experimental setup, data evaluation procedure and results of the beam measurements.

NTIS

*Coherent Radiation; Free Electron Lasers; Length; Particle Accelerators; Synchrotron Radiation*

**20070005122** Thomas Jefferson National Accelerator Facility, Newport News, VA, USA

**SNS Cryogenic Systems Commissioning**

Hatfield, D.; Casagrande, F.; Campisi, I.; Gurd, P.; Howell, D.; January 2006; 12 pp.; In English

Report No.(s): DE2006-887104; No Copyright; Avail.: Department of Energy Information Bridge

The Spallation Neutron Source (SNS) is under construction at Oak Ridge National Laboratory. The cold section of the Linac consists of 81 superconducting radio frequency cavities cooled to 2.1K by a 2400 watt cryogenic refrigeration system. The major cryogenic system components include warm helium compressors with associated oil removal and gas management, 4.5K cold box, 7000L liquid helium dewar, 2.1K cold box (consisting of 4 stages of cold compressors), gaseous helium storage, helium purification and gas impurity monitoring system, liquid nitrogen storage and the cryogenic distribution transfer line system. The overall system commissioning and future plans will be presented.

NTIS

*Cryogenics; Linear Accelerators; Neutron Sources; Particle Accelerators; Spallation*

**20070005124** Stanford Linear Accelerator Center, CA, USA, Liverpool Univ., UK

**Ion Effects in the Electron Damping Ring of the International Linear Collider**

Wang, L.; Raubenheimer, T.; Wolski, A.; Jun. 2006; 8 pp.; In English

Report No.(s): DE2006-887079; SLAC-PUB-11932; No Copyright; Avail.: National Technical Information Service (NTIS)

Ion-induced beam instabilities and tune shifts are critical issues for the electron damping ring of the International Linear Collider (ILC). To avoid conventional ion trapping, a long gap is introduced in the electron beam by omitting a number of successive bunches out of a long train. However, the beam can still suffer from the fast ion instability, driven by ions that last only for a single passage of the electron bunches. Our study shows that the ion effects can be significantly mitigated by using multiple gaps, so that the stored beam consists of a number of relatively short bunch trains. The ion effects in the ILC damping rings are investigated using both analytical and numerical methods.

NTIS

*Damping; Particle Accelerators; Storage Rings (Particle Accelerators); Ions*

**20070005126** Rice Univ., Houston, TX, USA, Stanford Univ., Stanford, CA, USA

**Wakefields in the LCLS Undulator Transitions**

Bane, K. L. F.; Zagorodnov, I.; Jun. 2006; 8 pp.; In English

Report No.(s): DE2006-887078; SLAC-PUB-11937; No Copyright; Avail.: Department of Energy Information Bridge

For a short bunch in an elliptical collimator we demonstrate that, as in a purely round collimator, the wake can be estimated from the primary fields of the beam alone. We obtain the wakes in the LCLS rectangular-to-round, undulator transitions using a hybrid method that includes indirect numerical (field) integration and an analytical potential energy term. For the LCLS 1 nC bunch charge configuration, we find the wake-induced energy change in the transitions to be small compared to that due to the resistance of the beam pipe walls.

NTIS

*Collimators; Particle Accelerators; Electron Bunching*

**20070005130** Rice Univ., Houston, TX, USA, Bane (K. L. F.), Stanford, CA, USA

**Wakefield Calculations for 3D Collimators**

Zagorodnov, I.; Bane, K. L. F.; Jun. 2006; 8 pp.; In English

Report No.(s): DE2006-887077; SLAC-PUB-11938; No Copyright; Avail.: Department of Energy Information Bridge

Using a recently developed time domain numerical approach we calculate the short-range geometric wakefields of 3D collimators and compare with analytical models. We find, in the diffractive regime, that the transverse mode kick factor can be approximated from the change in field energy between the beam pipe and the collimator if the collimator is long, or using a field clipping estimate if it is short. For collimators of past and present measurements at SLAC, numerical, analytical, and measurement results are compared.

NTIS

*Collimators; Three Dimensional Models*

**20070005134** Stanford Linear Accelerator Center, CA, USA, Tufts Univ., Boston, MA, USA, Oregon Univ., Eugene, OR, USA

**Comparison of 2 mrad and 14/20 mrad Crossing Angle Extraction Lines**

Moffeit, K.; Maruyama, T.; Nosochkov, Y.; Seryi, A.; Woods, M.; Jul. 11, 2006; 26 pp.; In English

Report No.(s): DE2006-887075; SLAC-PUB-11956; No Copyright; Avail.: National Technical Information Service (NTIS)

A study of the beam distributions in the 2 mrad and 14/20 mrad extraction lines are presented. Beam losses, energy losses due to synchrotron radiation and spin diffusion are shown. Synchrotron radiation distributions generated by the beam as it traverses the extraction lines are studied.

NTIS

*Crossings; Extraction; Particle Accelerators*

**20070005135** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

**Ion Motion in the Adiabatic Focuser**

Henestroza, E.; Sessler, A. M.; Yu, S. S.; January 2006; 8 pp.; In English

Report No.(s): DE2006-887204; No Copyright; Avail.: National Technical Information Service (NTIS)

In this paper we numerically study the effect of ion motion in an adiabatic focuser, motivated by a recent suggestion that ion motion in an adiabatic focuser might be significant and even preclude operation of the focuser as previously envisioned. It is shown that despite ion motion the adiabatic focuser should work as well as originally envisioned.

NTIS

*Ion Beams; Ion Motion; Particle Accelerators; Numerical Analysis*

**20070005158** Jefferson (Thomas) Lab. Computer Center, Newport News, VA, USA

**Jefferson Lab's Distributed Data Acquisition**

Allison, T.; Powers, T.; January 2006; 10 pp.; In English

Report No.(s): DE2006-887125; No Copyright; Avail.: National Technical Information Service (NTIS)

Jefferson Labs Continuous Electron Beam Accelerator Facility (CEBAF) occasionally experiences fast intermittent beam instabilities that are difficult to isolate and result in downtime. The Distributed Data Acquisition (Dist DAQ) system is being developed to detect and quickly locate such instabilities. It will consist of multiple Ethernet based data acquisition chassis distributed throughout the seven-eighths of a mile CEBAF site. Each chassis will monitor various control system signals that are only available locally and/or monitored by systems with small bandwidths that cannot identify fast transients. The chassis will collect data at rates up to 40 Msp/s in circular buffers that can be frozen and unrolled after an event trigger. These triggers will be derived from signals such as periodic timers or accelerator faults and be distributed via a custom fiber optic event trigger network. This triggering scheme will allow all the data acquisition chassis to be triggered simultaneously and provide a snapshot of relevant CEBAF control signals. The data will then be automatically analyzed for frequency content and transients to determine if and where instabilities exist.

NTIS

*Data Acquisition; Electron Beams; Linear Accelerators; Particle Accelerators*

**20070005332** California Inst. of Tech., Pasadena, CA USA

**The Effect of Temperature on the Resonant Tunneling and Electric Field Domain Formation in Multiple Quantum Well Superlattices**

Xu, Yuanjian; Xhakouri, Ali; Yariv, Amnon; Feb 15, 1997; 4 pp.; In English

Report No.(s): AD-A459984; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459984>; Avail.: CASI: A01, Hardcopy

Analyzing the photocurrent spectra and the I-V characteristics of weakly coupled GaAs/AlGaAs multi-quantum well structures, different transport regimes are distinguished. At low temperatures (below ~50 K), due to the electron coherence over a few periods of the superlattice, electron transport is dominated by sequential resonant tunneling. At higher temperatures, evidences for the increased contribution of nonresonant transport processes, and the subsequent modification in the electric field distribution in the device, are presented.

DTIC

*Electric Fields; Quantum Wells; Resonant Tunneling; Superlattices; Temperature Distribution; Temperature Effects*

**20070005420** Universidad Politecnica de Madrid, Madrid, Spain

**Micromechanics and Microstructure Evolution: Modeling, Simulation and Experiments. Conference Held in Madrid, Spain, 12-16 Sep 2005**

Oct 30, 2006; 95 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): FA8655-05-1-5027

Report No.(s): AD-A460112; No Copyright; Avail.: CASI: [A05](#), Hardcopy

The aim of this conference is to bring together scientists who are working on the modeling and simulation of the deformation behavior of materials and on material microstructural evolution. The focus will be on the interplay between behaviors at different length scales in particular the atomistic nano/mesoscales and continuum aspects necessary to describe the processes that lead to changes in microstructure. Of particular interest are investigations that discuss the bridging of length scales and the prediction of material properties from theory and computation. Experimental validation of the approaches is also important to differentiate between competing theories. Novel experimental techniques to guide or verify the modeling and simulation efforts also pertain to the theme of the conference. The session topics are: Atomistic Dislocation Dynamics Microstructure Evolution Continuum and Experimental.

DTIC

*Conferences; Micromechanics; Microstructure; Models; Simulation; Spain*

77

**PHYSICS OF ELEMENTARY PARTICLES AND FIELDS**

Includes quantum mechanics; theoretical physics; and statistical mechanics. For related information see also *72 Atomic and Molecular Physics*, *73 Nuclear Physics*, and *25 Inorganic, Organic and Physical Chemistry*.

**20070005270** Army Research Inst. of Environmental Medicine, Natick, MA USA

**Use of a Spacer Vest to Increase Evaporative Cooling Under Military Body Armor**

Endrusick, Thomas L; Berglund, Larry G; Gonzalez, Julio A; Gallimore, Richard; Zheng, James; Jul 2006; 6 pp.; In English

Report No.(s): AD-A459877; MISC.06-18; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459877>; Avail.:

CASI: [A02](#), Hardcopy

U.S. military forces are currently using the Interceptor Body Armor (IBA) system which can increase human thermal stress when worn in arid environments. This study investigated a spacer vest (SV) designed to distance the IBA from the wearer's skin surface, increasing evaporative cooling around the torso. A series of lightweight SV designed to be worn under the IBA was tested for thermal insulation and water vapor permeability on a sweating thermal manikin (TM). The TM was dressed in 3 configurations: with the U.S. Army Temperate Battle Dress Uniform (TBDU); with the IBA over the TBDU; and with the IBA over the various SV and the TBDU. TM results were used as input to a computer model predicting core temperature, skin temperature, heart rate, sweat rate, skin wettedness, and total body water loss. Output described responses when exposed to desert environments with air temperatures of 30, 40 and 50 degrees C during repeated, intermittent exercise (10 min rest/ 30 min walk). TM results showed thermal insulation increased and water vapor permeability decreased when IBA was worn over the TBDU. Use of a SV between the IBA and TBDU reduced thermal insulation and increased water vapor permeability. This translated into a theoretical increase in whole body evaporative cooling potential of approximately 20% when wearing a SV compared to wearing the IBA without a SV.

DTIC

*Armor; Cooling; Evaporation; Evaporative Cooling; Spacers; Vests*

**20070005271** Army Research Inst. of Environmental Medicine, Natick, MA USA

**Transient Sweat Rate Calculation from Humidity Measurements Under Clothing**

Yokota, Miyo; Berglund, Larry G; Gonzalez, Julio A; Blanchard, Laurie A; Jul 2006; 10 pp.; In English

Report No.(s): AD-A459878; MISC.06-20; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459878>; Avail.:

CASI: [A02](#), Hardcopy

This study demonstrates a method for monitoring evaporative sweat rates (EvapSR) during steady and intermittent activities. The method was validated on a sweating thermal manikin wearing a long sleeved shirt and trousers (standard military battle dress uniform) instrumented with temperature-humidity sensors under the clothing. The manikin tests were at steady state conditions in an environmental chamber at 35 degrees C/50%RH and wind speed ranging between 0.36 and 1.94 m.s-1. The manikin was adjusted to produce sweat rates between 0 and 150 g.m-2. h-1. EvapSR was estimated from weighted measured skin wettedness and the maximum evaporative rate, and compared to the manikin's sweat rate. This technique was



further validated with humans engaged in intermittent work. Overall, this is a simple promising approach for estimating EvapSR. The method is non-invasive and enables monitoring and assessment for safety, health and hydration status of industrial and military personnel engaged in a wide range of situations.

DTIC

*Clothing; Humidity; Humidity Measurement; Measurement; Perspiration; Sweat*

**20070005300** California Univ., Santa Cruz, CA USA

**Cooling Enhancement Using Inhomogeneous Thermoelectric Materials**

Bian, Zhixi; Shakouri, Ali; Jan 2006; 5 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459926; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459926>; Avail.: CASI: A01, Hardcopy

The maximum cooling temperature of a thermoelectric refrigerator made of uniform bulk material is limited by its dimensionless figure-of-merit ZT. Cascaded stages are typically needed in order to obtain a higher cooling temperature. Multiple stage configurations have disadvantages of device complexity, and reduced efficiency due to the non-ideal heat spreading between different stages. In this paper, we prove that the maximum cooling temperature can be increased by using a single stage made of inhomogeneous material. This optimization is different from conventional graded materials where there is a large temperature gradient and local material properties are optimized in order to achieve the highest ZT at the local temperature under operation. The new optimization is attributed to the redistribution of the Joule heating and Peltier cooling profiles along the current and heat flow directions. The cooling efficiency can also be increased by a moderate amount. Numerical simulations are used to optimize the doping profile for a thermoelectric cooler based on single crystal silicon.

DTIC

*Augmentation; Cooling; Thermoelectric Cooling; Thermoelectric Materials*

**20070005302** Air Force Research Lab., Hanscom AFB, MA USA

**A Multi-Josephson Junction Qubit**

Yukon, Stanford P; Jun 25, 2002; 5 pp.; In English

Contract(s)/Grant(s): Proj-2304

Report No.(s): AD-A459930; AFRL-SN-HS-JA-2001-0234; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459930>; Avail.: CASI: A01, Hardcopy

We have designed a persistent supercurrent multi-Josephson junction (JJ) qubit whose circuit is based on a flattened JJ triangular prism. The Schroedinger equation for the 1D constrained system is equivalent to the Whittaker Hill equation, for which exact solutions have been found [1]. Symmetric or antisymmetric coupling of the qubit to an external magnetic field, will excite only the corresponding symmetric or antisymmetric terms in the Hamiltonian. This specificity allows coupling to a system bus comprised of an LC resonant loop. We indicate how separate buses might be coupled into a larger branching network.

DTIC

*Josephson Junctions; Quantum Dots*

**20070005400** California Univ., Santa Cruz, CA USA

**Material Optimization for Heterostructure Integrated Thermionic Coolers**

Shakouri, Ali; LaBounty, Chris; Sep 1999; 6 pp.; In English

Report No.(s): AD-A460087; No Copyright; Avail.: CASI: A02, Hardcopy

The material figure-of-merit for conventional thermoelectrics is  $\mu\text{meff}/\beta$  where  $\mu$  is the electron or hole mobility,  $\text{meff}$  its effective mass, and  $\beta$  the material thermal conductivity. From the electronic point of view, in order to optimize the cooler performance, there is a trade off between electron effective mass and its mobility. While high mobility is inherently important to facilitate electron transport in the material and reduce the Joule heating, a large effective mass is only required due to the symmetry of electronic density-of-states with respect to the Fermi energy in an energy range on the order of thermal energy ( $k_B T$ ) near the Fermi level. It is possible to increase this asymmetry by using doping densities so that the Fermi level is close to the band edge. In this case there is a small number of electrons participating in the conduction and the net transport of heat is small. We clarify how this trade off is alleviated in high barrier thermionic coolers. Prospects for different material systems to realize bulk and superlattice thermionic coolers are also discussed.

DTIC

*Coolers; Electrical Resistivity; Thermoelectricity*

**20070005451** Naval Medical Research Inst., Bethesda, MD USA

**A Review of Manned Thermal Garment Diving Studies With Lessons Learned for the SDV Operator and Combat Swimmer**

Valaik, Daniel J; Jul 1996; 68 pp.; In English

Contract(s)/Grant(s): Proj-407BB

Report No.(s): AD-A460166; NMRI-96-47; No Copyright; Avail.: CASI: [A04](#), Hardcopy

This report reviews 11 of the most relevant manned thermal studies applicable to SDV and combat-swimmer diving. It comments upon them in three broad categories, as follows: 1. Wet-Suit Studies 2. Dry-Suit Studies 3. Other Special Operations Cold-Water Diving-Related Studies Lessons learned from the studies are translated into practical recommendations for the SDV operator and/or combat swimmer.

DTIC

*Combat; Diving (Underwater); Garments; Shuttle Derived Vehicles; Thermodynamic Properties*

**80**

**SOCIAL AND INFORMATION SCIENCES (GENERAL)**

Includes general research topics related to sociology; educational programs and curricula. For specific topics in these areas see *categories 81 through 85*.

**20070004626** Army War Coll., Carlisle Barracks, PA USA

**Human Dimensions of Strategic Leadership: A Selected Bibliography**

Dec 2006; 29 pp.; In English

Report No.(s): AD-A459809; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Bibliographies; Leadership; Human Performance*

**81**

**ADMINISTRATION AND MANAGEMENT**

Includes management planning and research.

**20070003721** NASA Johnson Space Center, Houston, TX, USA

**Effective Teamwork: The EVA NBL Experience**

Crocker, Lori; Feb. 7, 2007; 14 pp.; In English; PM Challenge, 6-7 Feb. 2007, Galveston, TX, USA; Original contains color illustrations; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003721>; Avail.: CASI: [A03](#), Hardcopy

This viewpoint presentation reviews the experience of improving the operation of the ExtraVehicular Activity (EVA) Neutral Buoyancy Laboratory as a team of NASA employees and contractors. It reviews specific recommendations to use in turning a struggling organization around as a NASA/contractor team

CASI

*Contractors; Employee Relations; Human Resources; Personnel Management; Government/Industry Relations; Morale; Leadership; Personnel*

**20070004801** National Inst. of Standards and Technology, Gaithersburg, MD USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Design, Construction, and Maintenance of Structural and Life Safety Systems. NIST NCSTAR 1-1**

Lew, H. S.; Bukowski, R. W.; Carino, N. J.; Sep. 2005; 280 pp.; In English

Report No.(s): PB2007-104565; No Copyright; Avail.: CASI: [A13](#), Hardcopy

The collapse of World Trade Center (WTC) 1, 2, and 7 resulted from structural damage from direct and indirect effects of aircraft impact and the ensuing fires. Thus, for collapse analyses of these buildings, knowledge of the physical state of the structural and fire safety systems prior to the aircraft impact is essential. To obtain information for the collapse analysis of the buildings, National Institute of Standards and Technology reviewed design and construction documents, correspondence, and memoranda related to the building projects; interviewed individuals involved in the design, construction, and maintenance of the buildings; obtained information from regulatory and emergency services agencies of New York City; and reviewed books and published journal and magazine articles related to the WTC building projects. Information obtained from various sources

are synthesized and summarized in this report. Specifically, this report presents (1) provisions used to design and construct the structural, fire protection and egress systems of the buildings; (2) tests performed to support the design of these systems; (3) criteria that governed the design of the structural and fire protection systems; (4) methods used to proportion structural members and other components of the buildings; (5) innovative features, technologies and materials that are incorporated in design and construction of the structural and fire protection systems; (6) details of deviations to the contract documents granted by Port Authority of New York and New Jersey; (7) fabrication and inspection requirements at the fabrication yard; and (8) inspection protocols during construction.

NTIS

*Buildings; Construction; Damage; Fire Prevention; Maintenance; Safety*

**20070004804** Ghosh (S. K.) Associates, Inc., Northbrook, IL, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Design and Construction of Structural Systems. NIST NCSTAR 1-1A. (Appendices not included)**

Fanella, D. A.; Derecho, A. T.; Ghosh, S. K.; Sep. 2005; 166 pp.; In English

Report No.(s): PB2007-104566; No Copyright; Avail.: CASI: [A08](#), Hardcopy

This report describes the provisions that were used to design and construct World Trade Center 1, 2, and 7. Included is a summary of the major provisions in the codes and standards together with the loads and load combinations that were used to design the buildings. Methods used to proportion the structural members and other components of the buildings are also discussed, as well as tests that were performed to support the design. It is shown that the loads that were used to design the members were at equal to those prescribed in the applicable codes and standards, and that the methods used to proportion the structural members followed the requirements in the applicable material design standards available at that time. Also included in this report are the innovative systems, technologies, and materials that were used in the buildings, and the Port Authority's acceptance procedures for such items. Fabrication and inspection requirements at the fabrication yard and inspection protocol during construction are discussed. Also covered are the details of the deviations to contract documents that were granted by the Port Authority, including the justifications for those deviations.

NTIS

*Buildings; Damage; Fire Prevention*

**20070004805** Port Authority of New York and New Jersey, Jersey City, NJ, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Design and Construction of Structural Systems. Appendices A-B**

Sep. 2005; 84 pp.; In English

Report No.(s): PB2007-104567; No Copyright; Avail.: CASI: [A05](#), Hardcopy

Appendix A contains the supporting documents that are referenced in Chapter 2 of this report. All of the documents contained in this appendix are reproduced with permission of The Port Authority of New York and New Jersey. Table A-1 contains a summary of supporting documents and their location within this appendix. The footnote numbers given in the table correspond to those in Chapter 2. Appendix B contains the supporting documents that are referenced in Chapter 3 of this report. All of the documents (with the exception of the Laclede Steel Company correspondence) contained in this appendix are reproduced with permission of The Port Authority of New York and New Jersey. Table B-1 contains a summary of supporting documents and their location within this appendix. The footnote numbers given in the table correspond to those in Chapter 3.

NTIS

*Buildings; Damage; Fire Prevention; Construction*

**20070004807** Port Authority of New York and New Jersey, Jersey City, NJ, USA

**Federal Building and Fire Safety Investigation of the World Trade Center Disaster: Design and Construction of Structural Systems. Appendices C-G**

Sep. 2005; 304 pp.; In English

Report No.(s): PB2007-104568; No Copyright; Avail.: CASI: [A14](#), Hardcopy

The collapse of World Trade Center (WTC) 1, 2, and 7 resulted from structural damage from direct and indirect effects of aircraft impact and the ensuing fires. Thus, for collapse analyses of these buildings, knowledge of the physical state of the structural and fire safety systems prior to the aircraft impact is essential. To obtain information for the collapse analysis of the buildings, National Institute of Standards and Technology reviewed design and construction documents, correspondence, and

memoranda related to the building projects; interviewed individuals involved in the design, construction, and maintenance of the buildings; obtained information from regulatory and emergency services agencies of New York City; and reviewed books and published journal and magazine articles related to the WTC building projects. Information obtained from various sources are synthesized and summarized in this report. Specifically, this report presents (1) provisions used to design and construct the structural, fire protection and egress systems of the buildings; (2) tests performed to support the design of these systems; (3) criteria that governed the design of the structural and fire protection systems; (4) methods used to proportion structural members and other components of the buildings; (5) innovative features, technologies and materials that are incorporated in design and construction of the structural and fire protection systems; (6) details of deviations to the contract documents granted by Port Authority of New York and New Jersey; (7) fabrication and inspection requirements at the fabrication yard; and (8) inspection protocols during construction.

NTIS

*Buildings; Damage; Fire Prevention; Construction*

## 82

### DOCUMENTATION AND INFORMATION SCIENCE

Includes information management; information storage and retrieval technology; technical writing; graphic arts; and micrography. For computer program documentation see *61 Computer Programming and Software*.

**20070003498** International Computer Science Inst., Berkeley, CA USA

#### **Question Answering Based on Semantic Structures**

Narayanan, Srini; Harabagiu, Sanda; Jan 2004; 10 pp.; In English

Report No.(s): AD-A458871; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458871>; Avail.: CASI: [A02](#),

Hardcopy

The ability to answer complex questions posed in Natural Language depends on (1) the depth of the available semantic representations and (2) the inferential mechanisms they support. In this paper we describe a QA architecture where questions are analyzed and candidate answers generated by 1) identifying predicate argument structures and semantic frames from the input and 2) performing structured probabilistic inference using the extracted relations in the context of a domain and scenario model. A novel aspect of our system is a scalable and expressive representation of actions and events based on Coordinated Probabilistic Relational Models (CPRM). In this paper we report on the ability of the implemented system to perform several forms of probabilistic and temporal inferences to extract answers to complex questions. The results indicate enhanced accuracy over current state-of-the-art Q/A systems.

DTIC

*Natural Language (Computers); Semantics*

**20070003511** University of Southern California, Marina del Rey, CA USA

#### **Automated Story Capture From Conversational Speech**

Gordon, Andrew S; Ganesan, Kavita; Oct 5, 2005; 9 pp.; In English

Report No.(s): AD-A459167; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459167>; Avail.: CASI: [A02](#),

Hardcopy

While storytelling has long been recognized as an important part of effective knowledge management in organizations, knowledge management technologies have generally not distinguished between stories and other types of discourse. In this paper we describe a new type of technological support for storytelling that involves automatically capturing the stories that people tell to each other in conversations. We describe our first attempt at constructing an automated story extraction system using statistical text classification and a simple voting scheme. We evaluate the performance of this system and demonstrate that useful levels of precision and recall can be obtained when analyzing transcripts of interviews, but that performance on speech recognition data is not above what can be expected by chance. This paper establishes the level of performance that can be obtained using a straightforward approach to story extraction, and outlines ways in which future systems can improve on these results and enable a wide range of knowledge socialization applications.

DTIC

*Extraction; Information Management; Speech Recognition*

**20070003562** Maryland Univ., College Park, MD USA

**Feature Normalization for Video Indexing and Retrieval**

Nov 1996; 40 pp.; In English

Report No.(s): AD-A459805; LAMP-TR-003; CFAR-TR-847; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Video Communication; Indexing (Information Science)*

**20070003753** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

**HDF5-Fast Query: An API for Simplifying Access to Data Storage, Retrieval, Indexing and Querying**

Bethel, E. W.; Gosink, L.; Shalf, J.; Stockinger, K.; Wu, K.; January 2006; 2 pp.; In English

Report No.(s): DE2006-888964; No Copyright; Avail.: Department of Energy Information Bridge

This work focuses on research and development activities that bridge a gap between fundamental data management technology--index, query, storage and retrieval--and use of such technology in computational and computer science algorithms and applications. The work has resulted in a streamlined applications programming interface (API) that simplifies data storage and retrieval using the HDF5 data I/O library, and eases use of the FastBit compressed bitmap indexing software for data indexing/querying. The API, which we call HDF5-FastQuery, will have broad applications in domain sciences as well as associated data analysis and visualization applications.

NTIS

*Data Base Management Systems; Data Storage; Simplification*

**20070003832** Texas Univ., Arlington, TX USA

**Subdue: Compression-Based Frequent Pattern Discovery in Graph Data**

Ketkar, Nikhil S; Holder, Lawrence B; Cook, Diane J; Jan 2005; 7 pp.; In English

Contract(s)/Grant(s): F30602-01-2-0570

Report No.(s): AD-A459053; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459053>; Avail.: CASI: [A02](#), Hardcopy

A majority of the existing algorithms which mine graph datasets target complete, frequent sub-graph discovery. We describe the graph-based data mining system Subdue which focuses on the discovery of sub-graphs which are not only frequent but also compress the graph dataset, using a heuristic algorithm. The rationale behind the use of a compression-based methodology for frequent pattern discovery is to produce a fewer number of highly interesting patterns than to generate a large number of patterns from which interesting patterns need to be identified. We perform an experimental comparison of Subdue with the graph mining systems gSpan and FSG on the Chemical Toxicity and the Chemical Compounds datasets that are provided with gSpan. We present results on the performance on the Subdue system on the Mutagenesis and the KDD 2003 Citation Graph dataset. An analysis of the results indicates that Subdue can efficiently discover best-compressing frequent patterns which are fewer in number but can be of higher interest.

DTIC

*Information Retrieval; Data Compression; Graphs (Charts)*

**20070003838** Carnegie-Mellon Univ., Pittsburgh, PA USA

**Fully Automatic Cross-Associations**

Chakrabarti, Deepayan; Modha, Dharmendra S; Papadimitriou, Spiros; Fabloutsos, Christos; Aug 2004; 24 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N66001-00-1-8936; NSF-IIS-9817496

Report No.(s): AD-A459025; CMU-CALD-04-107; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459025>; Avail.: CASI: [A03](#), Hardcopy

Large, sparse binary matrices arise in numerous data mining applications, such as the analysis of market baskets, web graphs, social networks, co-citations, as well as information retrieval, collaborative filtering, sparse matrix reordering, etc. Virtually all popular methods for the analysis of such matrices e.g., k-means clustering, METIS graph partitioning, SVD/PCA and frequent itemset mining require the user to specify various parameters, such as the number of clusters, number of principal components, number of partitions, and support. Choosing suitable values for such parameters is a challenging problem. Cross-association is a joint decomposition of a binary matrix into disjoint row and column groups such that the rectangular intersections of groups are homogeneous. Starting from first principles, we furnish a clear, information theoretic criterion to choose a good cross-association as well as its parameters, namely, the number of row and column groups. We provide scalable

algorithms to approach the optimal. Our algorithm is parameter-free, and requires no user intervention. In practice it scales linearly with the problem size, and is thus applicable to very large matrices. Finally, we present experiments on multiple synthetic and real-life datasets, where our method gives high-quality, intuitive results.

DTIC

*Information Retrieval; Automatic Control; Algorithms*

**20070003846** Mitre Corp., Bedford, MA USA

**Global Grid Architecture Concept**

Schiavone, Leonard J; Oct 1999; 4 pp.; In English

Contract(s)/Grant(s): F19628-94-C-0001

Report No.(s): AD-A458854; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA458854>; Avail.: CASI: A01, Hardcopy

Military operations are becoming increasingly reliant on a communications and information infrastructure that provides seamless, interoperable connectivity between all forces, anytime and everywhere. This operational emphasis is changing the manner in which command and control (C2) systems are defined, developed and fielded. Communication systems can no longer be 'application-centric'. Instead, we must define a communications infrastructure to support a variety of applications and missions. In particular, communications systems must be interconnected to create a network accessible by all applications and users. This network-centric communications infrastructure has been coined the Global Grid. In many ways, the tremendous technology advances over the past ten years in the commercial world are making the Global Grid realizable.

DTIC

*Command and Control; Architecture (Computers)*

**20070004711** Applied Research Associates, Inc., Champaign, IL, USA

**Advanced Quality Systems: Guidelines for Establishing and Maintaining Construction Quality Databases**

Rao, C.; Darter, M. I.; Smit, A. F.; Mallela, J.; Smith, K. L.; Nov. 2006; 110 pp.; In English

Contract(s)/Grant(s): DTFH61-05-D-00027

Report No.(s): PB2007-103341; No Copyright; Avail.: CASI: A06, Hardcopy

The main objective of this study was to develop and present guidelines for State highway agencies (SHAs) in establishing and maintaining database systems geared towards construction quality issues for asphalt and concrete paying projects. To accomplish this, a literature search and review was performed on the subject matter, followed by a survey of construction quality practices at nine States and a more detailed review of practices at four of those nine States. Information collected from the survey responses and the in-depth interviews provided insight into the multiple databases maintained by the agencies, the data categories stored, the analyses performed, links to other State databases, and the reports generated. Results indicated that the nature of information collected, the level of detail in the process, and the length over which this information is retained, differ significantly from agency to agency. In addition, the current systems differ considerably in their architecture, purpose, data collection and access procedures.

NTIS

*Construction; Data Bases; Highways*

**20070004735** Argonne National Lab., IL, USA, Sarah Lawrence Coll., Bronxville, NY, USA

**Ecology, Environmental Research at Argonne National Laboratory, 1955-1985**

Schloegel, J. J.; Sep. 2005; 68 pp.; In English

Report No.(s): DE2006-885500; ANL/HIST-4; No Copyright; Avail.: National Technical Information Service (NTIS)

No abstract available

*Bibliographies; Ecology; Environmental Laboratories*

**20070004744** Department of Justice, Washington, DC, USA

**Fusion Center Guidelines: Developing and Sharing Information and Intelligence in a New Era**

Aug. 2006; 104 pp.; In English

Report No.(s): PB2007-103615; No Copyright; Avail.: CASI: A06, Hardcopy

The need to develop and share information and intelligence across all levels of government has significantly changed over the last few years. The long-standing information sharing challenges among law enforcement agencies, public safety agencies, and the private sector are slowly disappearing. Yet, the need to identify, prevent, monitor, and respond to terrorist and criminal

activities remains a significant need for the law enforcement, intelligence, public safety, and private sector communities.  
NTIS

*Intelligence; Information Dissemination; Computer Information Security*

**20070004747** Indian Health Service, Rockville, MD, USA

**Risk Management and Medical Liability: A Manual for Indian Health Service and Tribal Health Care Professionals. Second Edition**

Heath, S. W.; Apr. 2006; 59 pp.; In English

Report No.(s): PB2007-103602; No Copyright; Avail.: CASI: [A04](#), Hardcopy

An initiative began in 1986 at the Indian Health Service (IHS) Headquarters level to more thoroughly review and assess each medical malpractice tort claim that involved care within the IHS or Tribal network of hospitals and clinics. A database was developed to track these claims through the system and provide summary reports and feedback to the service units. The first edition of this Manual in 1996 reported the IHS experience with medical malpractice tort claims over a ten year period, and gave recommendations on risk management practices. Now, ten years later, many things have changed. The number of claims filed against IHS and Tribal facilities has increased and the processes by which claims are reviewed have also evolved. More recently, the IHS has become a reporting entity to the National Practitioner Data Bank (NPDB), which has brought a whole new dimension to the Agency's Risk Management Program. This revision of the Manual delineates the process changes that have taken place, updates data on tort claims, describes the IHS role in NPDB reporting, and provides additional risk management guidance for local programs and health care professionals.

NTIS

*Data Bases; Health; Liabilities; Manuals; Risk*

**20070004748** Federal Bureau of Investigation, Washington, DC, USA

**Developments in the National Incident-Based Reporting System (NIBRS) (Updated July 2006)**

January 2006; 61 pp.; In English

Report No.(s): PB2007-103600; No Copyright; Avail.: CASI: [A04](#), Hardcopy

As law enforcement agencies change from the Summary reporting system to NIBRS, they have called upon many vendors to develop incident-based systems that comply with the Uniform Crime Reporting (UCR) Program standards yet accommodate their agency's unique requirements. In laying the foundation for NIBRS, the FBI published a series of documents to assist agencies and vendors in building successful records management systems. To help all vendors and other interested parties keep abreast of the most current information concerning NIBRS, the FBI has extracted pertinent information from UCR State Program Bulletins and is providing it in this document, which will be updated as needed. This document contains a synopsis of each of the NIBRS publications, all of which are maintained in their entirety on the FBI's UCR Web page, which now includes Portable Document Format (PDF) files of the Uniform Crime Reporting Handbook, NIBRS Edition (1992) and Volume 3: Approaches to Implementing an Incident-Based Reporting (IBR) System. This document also contains excerpts from UCR State Program Bulletins that provide a historical perspective of the evolution of NIBRS including procedural changes, reporting clarifications, and policy additions that have occurred from 1999 to July 2006.

NTIS

*Crime; Law (Jurisprudence)*

**20070004848** National Center for Education Statistics, Washington, DC, USA, RTI International, Research Triangle Park, NC, USA

**Postsecondary Institutions in the USA: Fall 2005 and Degrees and Other Awards Conferred: 2004-05. First Look**

Knapp, L. G.; Kelly-Reid, J. E.; Whitmore, R. W.; Miller, E.; Dec. 2006; 34 pp.; In English

Report No.(s): PB2007-105123; NCES-2007-167; No Copyright; Avail.: CASI: [A03](#), Hardcopy

This First Look presents findings from the Integrated Postsecondary Education Data System (IPEDS) fall 2005 data collection, which included two survey components: Institutional Characteristics for the 2005-06 academic year, and Completions covering the period July 1, 2004, through June 30, 2005. These data were collected through the IPEDS web-based data collection system. The data on which this report is based are available to researchers and the public through the IPEDS Peer Analysis System and College Opportunities Online Locator. Both of these sources can be found at <http://nces.ed.gov/ipeds>. This First Look report continues the series previously named E.D. TABs based on the collection of

data from over 6,500 postsecondary education institutions that participate in Title IV federal student financial aid programs.  
NTIS

*Data Systems; Education; Systems Integration*

**20070004922** Baker (Wilfred) Engineering, Inc., San Antonio, TX USA

**Model Selection in Summary Evaluation**

Dec 2002; 14 pp.; In English

Contract(s)/Grant(s): N00014-00-1-0907; N00014-02-1-0916

Report No.(s): AD-A459486; AL MEMO 2002-023; CBCL MEMO 222; No Copyright; Avail.: Defense Technical Information Center (DTIC)

No abstract available

*Evaluation; Models; Summaries*

**20070004946** Department of Housing and Urban Development, Washington, DC, USA

**Evolution of the U.S. Housing Finance System: A Historical Survey and Lessons for Emerging Mortgage Markets**

Oct. 22, 2004; 44 pp.; In Spanish

Report No.(s): PB2007-103645; No Copyright; Avail.: CASI: [A03](#), Hardcopy

In the past 180 years, the U.S. housing finance system (HFS) has evolved from an informal/communal institutional arrangement to one of the most well-functioning and extensive financial intermediation systems in the world. This evolution did not develop in a linear fashion in that policy changes were made in response to economic shocks and that innovations such as mortgage products, mortgage-related securities, specialized institutions, and risk management tools appeared discretely over time in government policies. This study seeks to provide a critical survey of this evolutionary process focusing on milestone events and the shaping of key functions of the U.S. HFS over time. It also aims to infer lessons from the U.S. experience for assisting emerging mortgage market systems. Four particular countries representing diverse market and institutional conditions are selected for contrast with the U.S. HFS: Mexico, Korea, South Africa, and Poland.

NTIS

*Finance; Histories; Industries; Market Research; Surveys*

**20070004948** Department of Housing and Urban Development, Washington, DC, USA

**Evolution of the U.S. Housing Finance System: A Historical Survey and Lessons for Emerging Mortgage Markets**

Oct. 22, 2004; 43 pp.; In French

Report No.(s): PB2007-103644; No Copyright; Avail.: CASI: [A03](#), Hardcopy

In the past 180 years, the U.S. housing finance system (HFS) has evolved from an informal/communal institutional arrangement to one of the most well-functioning and extensive financial intermediation systems in the world. This evolution did not develop in a linear fashion in that policy changes were made in response to economic shocks and that innovations such as mortgage products, mortgage-related securities, specialized institutions, and risk management tools appeared discretely over time in government policies. This study seeks to provide a critical survey of this evolutionary process focusing on milestone events and the shaping of key functions of the U.S. HFS over time. It also aims to infer lessons from the U.S. experience for assisting emerging mortgage market systems. Four particular countries representing diverse market and institutional conditions are selected for contrast with the U.S. HFS: Mexico, Korea, South Africa, and Poland.

NTIS

*Finance; Histories; Industries; Market Research; Surveys*

**20070005012** Colburn (Cantor), LLP, Bloomfield, CT, USA

**Architecture for Rendering Graphics on Output Devices Over Diverse Connections**

Chun, W. S.; Napoli, J.; Purtell, T. J.; 7 Jul 05; 20 pp.; In English

Contract(s)/Grant(s): NIST-70NANB3H3028

Patent Info.: Filed Filed 7 Jul 05; US-Patent-Appl-SN-11-176-482

Report No.(s): PB2007-103894; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A system for displaying graphical information. The system includes an asset server for storing information and a rendering server in communication with the asset server. The rendering server receives a graphics command and renders graphic display



data in response to the graphics command and to the information. The rendering server is independently addressable from the asset server.

NTIS

*Display Devices; Graphs (Charts); Patent Applications*

**20070005020** Computer Sciences Corp., Falls Church, VA, USA, Montana State Coll., Bozeman, MT, USA

**CETIS: Complex Effluents Toxicity Information System. CETIS Retrieval System User's Manual**

Gueldner, D. R.; Pilli, A.; Crane, J. L.; Sivertson, D. J.; Nov. 1984; 70 pp.; In English

Report No.(s): PB2007-106164; EPA 600/8-84-030; No Copyright; Avail.: CASI: [A04](#), Hardcopy

A computerized Complex Effluent Toxicity Information System (CETIS) data base has been developed to assemble the results of effluent toxicity tests so toxicity characteristics of complex effluents can be determined on an industry-by-industry basis. The information is available through the National Computer Center (NCC) to state or regional environmental offices to assist them in determining where to use toxicity testing, in interpreting the results, and in setting discharge limits. This data base was designed collaboratively by the Environmental Research Laboratory-Duluth (ERL-D) of the Office of Research and Development and the Permits Division of the Office of Water.

NTIS

*Complex Systems; Effluents; Information Systems; Toxicity; User Manuals (Computer Programs); Water Pollution*

**20070005031** National Cancer Inst., Bethesda, MD, USA

**Report Back from the NCI-Wide Workshop: Enhancing Interactions to Reduce Cancer Health Disparities. Held on July 17th, 2006**

Johnson, L.; Bennett, L. M.; Jul. 2006; 23 pp.; In English

Report No.(s): PB2007-104335; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Audio Equipment; Cancer; Health*

**20070005141** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

**Tariff Analysis Project: A Database and Analysis Platform for Electricity Tariffs**

Coughlin, K.; White, R.; Boulduc, C.; Fisher, D.; Rosenquist, G.; May 2006; 56 pp.; In English

Report No.(s): DE2006-887433; No Copyright; Avail.: National Technical Information Service (NTIS)

Much of the work done in energy research involves an analysis of the costs and benefits of energy-saving technologies and other measures from the perspective of the consumer. The economic value in particular depends on the price of energy (electricity, gas or other fuel), which varies significantly both for different types of consumers, and for different regions of the country. Ideally, to provide accurate information about the economic value of energy savings, prices should be computed directly from real tariffs as defined by utility companies. A large number of utility tariffs are now available freely over the web, but the complexity and diversity of tariff structures presents a considerable barrier to using them in practice. The goal of the Tariff Analysis Project (TAP) is to collect and archive a statistically complete sample of real utility tariffs, and build a set of database and web tools that make this information relatively easy to use in cost-benefit analysis. This report presents a detailed picture of the current TAP database structure and web interface. While TAP has been designed to handle tariffs for any kind of utility service, the focus here is on electric utilities within the USA. Electricity tariffs can be very complicated, so the database structures that have been built to accommodate them are quite flexible and can be easily generalized to other commodities. This report is organized as follows: In the rest of this section we discuss the project background, and provide an overview of how electricity tariffs function and what kinds of prices they define. In Section 2 we describe the TAP database in detail, including definitions of all the TAP tables and the fields they contain. Section 3 discusses how to input data to TAP, and presents a set of Perl scripts that can be used to do bill calculations from TAP data. In Section 4 we describe the web interface that has been built to view, enter and edit TAP data, and the OnTAP SOAP server. These applications are written in PHP (3). In the Appendix we provide a set of tables detailing the database structure and summarizing the functionality and dependencies of the web scripts.

NTIS

*Data Bases; Utilities; Electricity*

**20070005193** University of Southern California, Los Angeles, CA USA

**Exploiting Secondary Sources for Unsupervised Record Linkage**

Michalowski, Martin; Thakkar, Snehal; Knoblock, Craig A; Jan 2004; 7 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): F49620-01-1-0053; FA9550-04-1-0105

Report No.(s): AD-A459586; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459586>; Avail.: Defense Technical Information Center (DTIC)

XML, Web services, and the Semantic Web have opened the door for new and exciting information integration applications. Information sources on the web are controlled by different organizations or people, utilize different text formats, and have varying inconsistencies. Therefore, any system that integrates information from different data sources must identify common entities from these sources. Data from many online sources does not contain enough information to accurately link the records using state of the art record linkage systems. There is an inherent need for learning in these systems, most of the time requiring a user in the loop, to accurately link records across datasets. In this paper we describe a novel approach to exploiting additional data sources to design an unsupervised record linkage method. Our evaluation using real world data sets shows that the performance of unsupervised learning in a record linkage system is on par with traditional supervised learning methods.

DTIC

*Data Processing; Internets; Linkages; Machine Learning*

**20070005213** Drexel Univ., Philadelphia, PA USA

**Hierarchical Role-based Viewing for Multi-level Information Security in Collaborative CAD**

Cera, Christopher D; Kim, Taeseong; Braude, Ilya; Han, JungHyun; Regli, William C; Jan 2004; 21 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N00014-01-1-0618

Report No.(s): AD-A459679; DU-CS-04-01; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459679>; Avail.:

CASI: [A03](#), Hardcopy

Information security and assurance are new frontiers for collaborative design. In this context, information assurance (IA) refers to methodologies to protect engineering information by ensuring its availability, confidentiality, integrity, non-repudiation, authentication, and access control. In collaborative design, IA techniques are needed to protect intellectual property, establish security privileges, and create 'need to know' protections on critical features. This paper provides a framework for information assurance within collaborative design based on a technique the authors call 'Role-based Viewing.' They extend upon prior work to present 'Hierarchical Role-based Viewing' as a more flexible and practical approach since role hierarchies naturally reflect an organization's line of authority and responsibility. They establish a direct correspondence between multi-level security and multiresolution surfaces where a hierarchy is represented as a weighted directed acyclic graph. The permission discovery process is formalized as a graph reachability problem and the path cost is used as input to a multiresolution function. By incorporating security with collaborative design, the costs and risks incurred by multi-organizational collaboration can be reduced. The authors believe that this work is the first of its kind to unite multi-level security and information clouding with geometric data, including multiresolution surfaces, in the fields of computer-aided design and collaborative engineering.

DTIC

*Access Control; Computer Aided Design; Computer Graphics; Internets; Numerical Control; Security; Viewing*

**20070005225** Mitre Corp., Bedford, MA USA

**MiTAP for Real Users, Real Data, Real Problems**

Damianos, Laurie; Wohlever, Steve; Kozeriok, Robyn; Ponte, Jay; Apr 2003; 3 pp.; In English

Contract(s)/Grant(s): DAAB07-01-C-C201

Report No.(s): AD-A459750; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459750>; Avail.: CASI: [A01](#),

Hardcopy

The MiTAP system was developed as an experimental prototype using human language technologies for monitoring disease outbreaks. The system provides timely, multi-lingual, global information access to analysts, medical experts and individuals involved in humanitarian assistance. Thousands of articles from electronic information sources spanning multiple languages are automatically captured, translated, tagged, summarized, and presented to users in a variety of ways. Real users access MiTAP daily to solve real problems. The successful adoption of MiTAP is attributed to its user-focused design that accommodates the imperfect component technologies and allows users to interact with the system in familiar ways. We will

discuss the problem, design process, and implementation from the perspective of services provided and how these services support system capabilities that satisfy user requirements.

DTIC

*Diseases; Information Systems*

**20070005252** Department of Defense, Washington, DC USA

**Tasks, Domains, and Languages**

Onyshkevych, Boyan; Okurowski, Mary E; Carlson, Lynn; Jan 1993; 12 pp.; In English

Report No.(s): AD-A459848; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459848>; Avail.: CASI: [A03](#),  
Hardcopy

The Fifth Message Understanding Conference (MUC-5) involved the same tasks, domains and languages as the information extraction portion of the ARPA TIPSTER program. These tasks center on automatically filling object-oriented data structures, called templates, with information extracted from free text in news stories (for discussion of templates and objects, see 'Template Design for Information Extraction' in this volume). For each task, a generic type of information that is specified for extraction corresponds to each of the slots in the templates. With text as input, the MUC-5 systems first detect whether the text contains relevant information. If available, the systems extract specific instances of The generic types from the text and output that information by filling the template slots with the appropriately formatted data representations. These slots are then scored by using an automatic scoring program with analyst-produced templates as the keys. Human analysts also prepared development set templates for each domain, which served as training models for system developers (for discussion of the data preparation effort, see 'Corpora and Data Preparation' in this volume).

DTIC

*Data Processing; Domains; Information Retrieval; Languages; Templates; Texts*

**20070005254** Army Research Lab., Adelphi, MD USA

**When is an Embedded MT System 'Good Enough' for Filtering?**

Voss, Clare R; Van Ess-Dykema, Carol; Jan 2000; 9 pp.; In English

Report No.(s): AD-A459850; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459850>; Avail.: CASI: [A02](#),  
Hardcopy

This paper proposes an end-to-end process analysis template with replicable measures to evaluate the filtering performance of a Scan-OCR-MT system. Preliminary results across three language-specific FALCon2 systems show that, with one exception, the derived measures consistently yield the same performance ranking: Haitian Creole at the low end, Arabic in the middle, and Spanish at the high end.

DTIC

*Data Processing; Information Retrieval; Texts*

**20070005267** Carnegie-Mellon Univ., Pittsburgh, PA USA

**Tri-Plots: Scalable Tools for Multidimensional Data Mining**

Traina, Agma; Traina, Caetano; Papadimitriou, Spiros; Faloutsos, Christos; Jan 2001; 21 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N66001-00-1-8936; N66001-97-C-8517

Report No.(s): AD-A459873; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459873>; Avail.: CASI: [A03](#),  
Hardcopy

We focus on the problem of finding patterns across two large, multidimensional datasets. For example, given feature vectors of healthy and of non-healthy patients, we want to answer the following questions: Are the two clouds of points separable? What is the smallest/largest pair-wise distance across the two datasets? Which of the two clouds does a new point (feature vector) come from? We propose a new tool, the tri-plot, and its generalization, the pq-plot, which help us answer the above questions. We provide a set of rules on how to interpret a tri-plot, and we apply these rules on synthetic and real datasets. We also show how to use our tool for classification, when traditional methods (nearest neighbor, classification trees) may fail.

DTIC

*Data Mining; Information Retrieval*

**20070005268** Columbia Univ., New York, NY USA

**Geneways for Biocomputing**

Rzhetsky, Andrey; Oct 2006; 37 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): FA8750-04-2-0123; Proj-S277

Report No.(s): AD-A459874; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459874>; Avail.: Defense Technical Information Center (DTIC)

The immense growth in the volume of research literature and experimental data in the field of molecular biology calls for efficient automatic methods to capture and store information. In recent years, several groups have worked on specific problems in this area, such as automated selection of articles pertinent to molecular biology, or automated extraction of information using natural-language processing, information visualization, and generation of specialized knowledge bases for molecular biology. GeneWays is an integrated system that combines several such subtasks. It analyzes interactions between molecular substances, drawing on multiple sources of information to infer a consensus view of molecular networks. GeneWays is designed as an open platform, allowing researchers to query, review, and critique stored information.

DTIC

*Extraction; Information Management; Information Retrieval; Machine Learning*

**20070005359** Cymfony Net, Inc., Williamsville, NY USA

**Information Extraction Supported Question Answering**

Srihari, Rohini; Li, Wei; Oct 15, 1999; 13 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): F30602-98-C-0043; F30602-99-C-0102

Report No.(s): AD-A460042; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA460042>; Avail.: CASI: A03, Hardcopy

This paper discusses the use of our information extraction (IE) system, Textract, in the question-answering (QA) track of the recently held TREC-8 tests. One of our major objectives is to examine how IE can help IR (Information Retrieval) in applications like QA. Our study shows: (1) IE can provide solid support for QA; (2) low-level IE like Named Entity tagging is often a necessary component in handling most types of questions; (3) a robust natural language shallow parser provides a structural basis for handling questions; (4) high-level domain independent IE, i.e. extraction of multiple relationships and general events, is expected to bring about a breakthrough in QA.

DTIC

*Extraction; Natural Language (Computers); Pattern Recognition*

**20070005367** Defense Technical Information Center, Fort Belvoir, VA USA

**Strategic Plan: 2000-2005**

Jan 2005; 20 pp.; In English; Original contains color illustrations

Report No.(s): AD-A459813; No Copyright; Avail.: CASI: A03, Hardcopy

This Strategic Plan for the Defense Technical Information Center (DTIC) contains the following information: A 10-point Mission Statement; Six Critical Success Factors; External Factors/General Assumptions regarding the global information age, recognition of the importance of STI, national information infrastructure, technological growth, and resources; Visionary Concepts in the areas of information sharing and management, knowledge management and data warehousing, tools and processes, and administration; Visionary Approaches; and Strategic Goals and Objectives. The section on Strategic Goals and Objectives addresses four goals: (1) Provide excellent customer service, (2) Make access to information easy, (3) Promote the use of information to enhance decision-making and leverage the technology base, and (4) Promote excellence in human resources. For each goal, the following objectives are discussed: Basis for the goal, Metrics for measuring accomplishment, Strategic objectives, and How the goal/objectives will be achieved. The final section of the report describes the program evaluations DTIC has undergone since 1997.

DTIC

*Data Management; Human Resources; Planning*

**20070005374** SRI International Corp., Menlo Park, CA USA

**A Storage System for Scalable Knowledge Representation**

Karp, Peter D; Paley, Suzanne M; Greenberg, Ira; Aug 23, 1994; 10 pp.; In English

Contract(s)/Grant(s): F30602-92-C-0115; R29-LM-05413-01A1

Report No.(s): AD-A460016; SRI-TN-547; No Copyright; Avail.: CASI: A02, Hardcopy

Twenty years of AI research in knowledge representation has produced frame knowledge representation systems (FRSs) that incorporate a number of important advances. However, FRSs lack two important capabilities that prevent them from scaling up to realistic applications: they cannot provide high-speed access to large knowledge bases (KBs), and they do not support shared, concurrent KB access by multiple users. Our research investigates the hypothesis that one can employ an existing database management system (DBMS) as a storage subsystem for an FRS, to provide high-speed access to large, shared KBs. We describe the design and implementation of a general storage system that incrementally loads referenced frames from a DBMS, and saves modified frames back to the DBMS, for two different FRSs: LOOM and THEO. We also present experimental results showing that the performance of our prototype storage subsystem exceeds that of flat files for simulated applications that reference or update up to one third of the frames from a large LOOM KB.

DTIC

*Data Base Management Systems; Data Bases; Data Management; Knowledge Representation*

**20070005412** Naval Postgraduate School, Monterey, CA USA

**Study of Attrition Documentation at the U.S. Navy Recruit Training Command**

Condon, Nancy K; Eckenrode, John E; Mar 2006; 183 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460104; No Copyright; Avail.: CASI: [A09](#), Hardcopy

This thesis examines the administrative separation process and attrition documentation as well as the characteristics of recruits who attrite from the U.S. Navy's Recruit Training Command (RTC). A random sample of 754 'retained files' from Customer Service Desk RTC was examined for attrition documentation and the information obtained was compared with attrition documentation contained in the Corporate Enterprise Training Activity Resource System (CETARS). The comparison was used to determine the accuracy of CETARS in documenting the reasons for medical and psychiatric attrition and its relationship to Separation Program Designator Codes (SPD) listed on the DD 214 discharge form. The results indicate that CETARS is 95.2 percent accurate in documenting medical reasons for attrition and 94.2 percent accurate for psychiatric reasons. It was unclear whether a relationship existed between SPD codes and CETARS in documenting attrition. The specific reasons for psychiatric attrition include the following: Personality Disorder, Adjustment Disorder, Borderline Personality Disorder, and Attention Deficit Hyperactivity Disorder. In addition to the analysis of attrition documentation, the authors analyzed data on 216,028 recruits entering RTC between fiscal year 2000 and 2004 to determine the predictors of non-psychiatric attrites versus psychiatric attrites. Logit regression found that the predictors of both types of attrition were similar.

DTIC

*Accuracy; Coding; Education; Information Systems; Military Personnel; Navy*

**20070005426** Massachusetts Univ., Amherst, MA USA

**UMass at TREC 2004: Novelty and HARD**

Abdul-Jaleel, Nasreen; Allan, James; Croft, W B; Diaz, Fernando; Larkey, Leah; Li, Xiaoyan; Smucker, Mark D; Wade, Courtney; Jan 2004; 14 pp.; In English

Contract(s)/Grant(s): N66001-02-1-8903

Report No.(s): AD-A460118; No Copyright; Avail.: CASI: [A03](#), Hardcopy

For the TREC 2004 Novelty track, UMass participated in all four tasks. Although finding relevant sentences was harder this year than last, we continue to show marked improvements over the baseline of calling all sentences relevant, with a variant of tfidf being the most successful approach. We achieve 5-9% improvements over the baseline in locating novel sentences, primarily by looking at the similarity of a sentence to earlier sentences and focusing on named entities. For the High Accuracy Retrieval from Documents (HARD) track, we investigated the use of clarification forms, fixed- and variable-length passage retrieval, and the use of metadata. Clarification form results indicate that passage level feedback can provide improvements comparable to user supplied related-text for document evaluation and outperforms related-text for passage evaluation. Document retrieval methods without a query expansion component show the most gains from related-text. We also found that displaying the top passages for feedback outperformed displaying centroid passages. Named entity feedback resulted in mixed performance. Our primary findings for passage retrieval are that document retrieval methods performed better than passage retrieval methods on the passage evaluation metric of binary preference at 12,000 characters, and that clarification forms improved passage retrieval for every retrieval method explored. We found no benefit to using variable-length passages over fixed-length passages for this corpus. Our use of geography and genre-metadata resulted in no significant changes in retrieval performance.

DTIC

*Information Retrieval; Sentences; User Requirements*

**20070005434** Mitre Corp., Bedford, MA USA

**MiTAP for Bio-Security: A Case Study**

Damianos, Laurie; Ponte, Jay; Wohlever, Steve; Reeder, Florence; Day, David; Wilson, George; Hirschman, Lynette; Jan 2002; 11 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): DAAB07-01-C-C201

Report No.(s): AD-A460137; No Copyright; Avail.: CASI: [A03](#), Hardcopy

MiTAP (MITRE Text and Audio Processing) is a prototype system available for monitoring infectious disease outbreaks and other global events. MiTAP focuses on providing timely, multi-lingual, global information access to medical experts and individuals involved in humanitarian assistance and relief work. Multiple information sources in multiple languages are automatically captured, filtered, translated, summarized, and categorized by disease, region, information source, person, and organization. Critical information is automatically extracted and tagged to facilitate browsing, searching, and sorting. The system supports shared situational awareness through collaboration, allowing users to submit other articles for processing, annotate existing documents, post directly to the system, and flag messages for others to see. MiTAP currently stores over one million articles and processes an additional 2000 to 10,000 daily, delivering up-to-date information to dozens of regular users.

DTIC

*Infectious Diseases; Information Systems*

**20070005446** Michigan State Univ., East Lansing, MI USA

**Computational Autonomous Mental Development: A White Paper for Suggesting a New Initiative**

Weng, Juyang; McClelland, James; Pentland, Alex; Sporns, Olaf; Stockman, Ida; Sur, Mriganka; Thelen, Ether; Oct 3, 2000; 17 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460157; No Copyright; Avail.: CASI: [A03](#), Hardcopy

A new synthesis of the neural, behavioral, and computer sciences is on the horizon. The topic that promises to unite these disparate fields is computational autonomous mental development. The term 'mental' refers to cognitive, behavioral and other mental skills that are exhibited by humans, higher animals and artificial systems. Computational autonomous mental development refers to the computational process by which a brain-like machine, natural or artificial, develops mental skills under the guidance of an intrinsic developmental program and through its own autonomous activities using its sensors and effectors to interact with its environment. The developmental program for an animal resides in the genes as a result of many generations of evolution; while that for a machine is initially programmed into the machine by humans but the environment changes the ways that the developmental program operates. The synthesis is inspired by new discoveries in neuroscience that highlight the exquisite plasticity of the brain with experience through infancy and adulthood, by new theories and computational modeling of human cognitive development, and by methodological and computational advances in AI and robotics that make it possible for machines to autonomously develop their own intelligence. Potentially, there are enormous benefits as a result of this synthesis: For behavioral and neural scientists, it promises a deeper, more precise and more systematic understanding about the ways our brain works through the computational study of its developmental processes. For the engineering and computer sciences, there is the vision of greatly enhanced capability for machines to interact with humans and to process information to a degree that requires kinds of machine intelligence other than those possible before.

DTIC

*Artificial Intelligence; Autonomy; Extremely Low Frequencies; Memory; Neural Nets; Robotics; Software Reliability*

**20070005447** SRI International Corp., Menlo Park, CA USA

**A Personalized Calendar Assistant**

Berry, Pauline M; Gervasio, Melinda; Uribe, Tomas E; Myers, Karen; Nitz, Ken; Jan 2004; 7 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): NBCHD-03-0010

Report No.(s): AD-A460158; No Copyright; Avail.: CASI: [A02](#), Hardcopy

Many calendar tools have become available to organize, display, and track a user's commitments. However, most people still spend a considerable amount of time personally organizing meetings and managing the constant changes and adjustments that must be made to their schedules. Our goal is to provide the technology necessary to manage an individual individual's calendar. The resulting agent will let the user retain control of decisions when necessary and relinquish control to the assistant at other times. Meanwhile, the agent will be sensitive to the user user's wishes and preferences. The key elements in our approach are the creation of a process framework that captures possible interactions with users and other agents, learning technology to capture the user user's preferences, and advisability to enable direct instruction by the user at various levels of

abstraction. As the system improves its model of the user over time, reliance on user interaction will decrease.

DTIC

*Calendars; Decision Making; Organizations; Scheduling*

**20070005450** Colorado Univ., Boulder, CO USA

**Enhancing Incremental Learning Processes With Knowledge-Based Systems**

Fischer, Gerhard; Lemke, Andreas; Nieper-Lemke, Helga; Mar 1988; 32 pp.; In English

Contract(s)/Grant(s): N00014-85-K-0842

Report No.(s): AD-A460163; CU-CS-392-88; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The purpose of this project was to investigate the enhancement of incremental learning processes with knowledge-based support systems. The research was carried out in the context of learning to use high- functionality computer systems. As a basis for the design of support systems, the structure of learning spaces was studied. The model of increasingly complex microworlds was found to adequately describe the relationships between the units of expertise. The usability of systems can be increased by reducing and by enhancing learning processes. Construction sets and design environments are support systems which reduce learning processes. Two prototypical systems (WIDES and TRIKIT) demonstrate techniques for achieving this goal. Critics are task-oriented intelligent systems to enhance learning processes. As an instance of a critic, the LisPCRiTic was designed and implemented. It contains knowledge about FRANzLisP which is utilized to make programs either more cognitively efficient or more machine efficient. The LisPCRmc supports learning by suggesting improvements and explaining relevant concepts.

DTIC

*Expert Systems; Information Systems; Knowledge Based Systems; Learning*

**20070005464** Mitre Corp., Colorado Springs, CO USA

**Information Feasibility: Using the Concept for Planning the Information Needs of Deploying Forces**

Beckner, Stanley G; Jun 2000; 30 pp.; In English

Contract(s)/Grant(s): F19628-00-C-001

Report No.(s): AD-A460188; MITRE-TR-00B0000031; No Copyright; Avail.: CASI: [A03](#), Hardcopy

Information superiority seeks to ensure that force elements receive the right information at the right time to optimally influence the outcome of an operation. Currently the ad hoc planning for force information exchanges does not optimally support early dominance and rapid mission success. This paper proposes that Information Feasibility be used in advanced planning of C2 information distribution requirements. Information feasibility analysis is a concept that treats information advanced planning requirements in a way that is very similar to that of other force deployment needs. An example is provided using a set of validated Information Exchange Requirements (IERS). The paper concludes that an Information Plan derived from Information Feasibility analysis and based on prioritized IERS, is the way to improve advance information planning, especially in a coalition environment where predeployment planning is often limited.

DTIC

*Deployment*

**20070005467** Massachusetts Univ., Amherst, MA USA

**UMass at TREC 2003: HARD and QA**

AbdulJaleel, Nasreen; Corrada-Emmanuel, Andres; Li, Qi; Liu, Xiaoyong; Wade, Courtney; Allan, James; Jan 2003; 12 pp.; In English; Original contains color illustrations

Contract(s)/Grant(s): N66001-02-1-8903; MDA904-01-C-0984

Report No.(s): AD-A460192; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The Center for Intelligent Information Retrieval (CIIR) at UMass Amherst participated in two tracks for TREC 2003: High Accuracy Retrieval from Documents (HARD) and Question Answering (QA). In the HARD track, we developed document metadata to correspond to query metadata requirements; implemented clarification forms based on query expansion, passage retrieval, and clustering; and retrieved variable length passages deemed most likely to be relevant. This work is discussed at length in Section 1. In the QA track, we focused on retrieving passages that were likely to contain the answer to the question. In the QA track, we developed a dynamic passaging retrieval system to identify passages likely to contain answers. CIIR last participated in the QA task in TREC 9 (2000). At that time we fielded the Marsha system [11]. This system was based on an INQUERY document retrieval engine followed by the application of a series of heuristics rules to identify 250-byte long passages in the retrieved documents that were likely to contain the desired answers. This year's passage sub-task

in the QA track has allowed us to participate once again utilizing our current approach to passage retrieval with language models. We developed a dynamic passaging system that retrieved document passages based on the simplest implementation of language models: cross-entropy between bag-of-word models for a question and a candidate passage.

DTIC

*Accuracy; Information Retrieval*

**20070005474** Dragon Systems, Inc., Newton, MA USA

**Rapid Match Training for Large Vocabularies**

Gillick, Larry; Peskin, Barbara; Roth, Robert; Jan 1992; 6 pp.; In English

Contract(s)/Grant(s): N00039-86-C-0307

Report No.(s): AD-A460284; No Copyright; Avail.: CASI: [A02](#), Hardcopy

This paper describes a new algorithm for building rapid match models for use in Dragon's continuous speech recognizer. Rather than working from a single representative token for each word, the new procedure works directly from a set of trained hidden Markov models. By simulated traversals of the HMMs, we generate a collection of sample tokens for each word which are then averaged together to build new rapid match models. This method enables us to construct models which better reflect the true variation in word occurrences and which no longer require the extensive adaptation needed in our original method. In this preliminary report, we outline this new procedure for building rapid match models and report results from initial testing on the Wall Street Journal recognition task.

DTIC

*Education*

**20070005477** Dragon Systems, Inc., Newton, MA USA

**Large Vocabulary Recognition of Wall Street Journal Sentences at Dragon Systems**

Baker, James; Baker, Janet; Bamberg, Paul; Bishop, Kathleen; Gillick, Larry; Helman, Vera; Huang, Zezhen; Ito, Yoshiko; Lowe, Stephen; Peskin, Barbara; Roth, Robert; Scattoni, Francesco; Jan 1992; 7 pp.; In English

Contract(s)/Grant(s): N00039-86-C-0307

Report No.(s): AD-A460288; No Copyright; Avail.: CASI: [A02](#), Hardcopy

In this paper we present some of the algorithm improvements that have been made to Dragon's continuous speech recognition and training programs, improvements that have more than halved our error rate on the Resource Management task since the last SLS meeting in February 1991. We also report the 'dry run' results that we have obtained on the 5000-word speaker-dependent Wall Street Journal recognition task, and outline our overall research strategy and plans for the future. In our system, a set of output distributions, known as the set of PELs (phonetic elements), is associated with each phoneme. The HMM for a PIC (phoneme-in-context) is represented as a linear sequence of states, each having an output distribution chosen from the set of PELs for the given phoneme, and a (double exponential) duration distribution. In this paper we report on two methods of acoustic modeling and training. The first method involves generating a set of (unimodal) PELs for a given speaker by clustering the hypothetical frames found in the spectral models for that speaker, and then constructing speaker-dependent PEL sequences to represent each PIC. The 'spectral model' for a PIC is simply the expected value of the sequence of frames that would be generated by the PIC. The second method represents the probability distribution for each parameter in a PEL as a mixture of a fixed set of unimodal components, the mixing weights being estimated using the EM algorithm. In both models we assume that the parameters are statistically independent.

DTIC

*Sentences; Streets; Walls*

**20070005489** Naval Postgraduate School, Monterey, CA USA

**The Development of a Logistical Body of Knowledge for the Department of Defense**

Yardley, Thomas E; Pavlik, David E; Curl, Gregory A; Dec 2006; 45 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460315; No Copyright; Avail.: CASI: [A03](#), Hardcopy

The purpose of this MBA Project was to identify and provide a basic but comprehensive overview of current logistical and supply chain management practices relevant to the Department of Defense. This project was conducted with the assistance of Naval Postgraduate School professors versed in Transportation, Logistical, and Financial Management disciplines. Presented in an annotated bibliography format, the project identifies concepts, theories, articles, journals, and perspectives that will be useful to all Department of Defense users. The objective is to examine the logistics culture from these perspectives



and provide a tool allowing a standardized level of knowledge subsequently generating a dynamic pool of knowledge encompassing logistical theories and qualitative decision-making tools.

DTIC

*Defense Program; Information Management; Logistics*

**20070005492** SRI International Corp., Menlo Park, CA USA

**A Team User's Guide**

Archbold, Armar A; Grosz, Barbara; Sagalowicz, Daniel; Dec 21, 1981; 68 pp.; In English

Contract(s)/Grant(s): N00039-80-C-0645; DARPA ORDER-3988

Report No.(s): AD-A460318; TN-254; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Data Bases; Information Systems*

**20070005493** Naval Postgraduate School, Monterey, CA USA

**A Critical Analysis of the Acquisition Review Journal: Are We in Step with the Field?**

Miranda, Cristina M; Spann, Cheronda V; Dec 2006; 103 pp.; In English; Original contains color illustrations

Report No.(s): AD-A460320; No Copyright; Avail.: CASI: [A06](#), Hardcopy

The purpose of this study is to provide contributing authors with an understanding of the trends in article submission to the Acquisition Review Journal (ARJ) with regard to the types of research performed, research design, and data analysis. This research will provide future contributors with insight that will improve both the quality of the ARJ and future research for the Acquisition Corps. It will also provide guidance and recommendations for future research articles within the ARJ. This study analyzed and classified 233 articles that were published in the ARJ over the last 13 years (1994-2006). Content and statistical analyses were performed on the themes, research types, research designs, and data analysis methods employed. Moreover, trends such as educational and institutional affiliations of contributing authors were also reviewed. The ARJ has shown some distinctive trends, which are reflected in its publication of a number of qualitative studies; however, it has also shown progress in the number of published quantitative studies. The academe and practitioners contributions remained steady, while civilian contributions have been rising. These trends are in line with current recommendations of the research community. For a relatively new journal, such trends are a good representation of a growing community.

DTIC

*Acquisition; Statistical Analysis*

**20070005494** SRI International Corp., Menlo Park, CA USA

**The Database as Model: A Metatheoretic Approach**

Konolige, Kurt; Sep 1981; 40 pp.; In English

Contract(s)/Grant(s): N00030-80-C-0645; N00039-80-C-0645

Report No.(s): AD-A460323; No Copyright; Avail.: CASI: [A03](#), Hardcopy

This paper presents a method of formally representing the information that is available to a user of a relational database. The intended application area is deductive question-answering systems that must access an existing relational database. To respond intelligently to user inquiries, such systems must have a more complete representation of the domain of discourse than is generally available in the tuple sets of a relational database. Given this more expressive representation, the problem arises of how to reconcile the information present in the database with the domain representation so that database queries can be derived to answer the user's inquiries. In this paper, the author takes the formal approach of describing a relational database as the model of a first-order language. Another first-order language, the metalanguage, is used both to represent the domain of discourse and to describe the relationship of the database to the domain. The formal advantages of this approach are presented and contrasted with other work in this area.

DTIC

*Artificial Intelligence; Data Bases; Information Retrieval; Interrogation; Languages; Natural Language (Computers); Relational Data Bases*

**20070005499** National Defense Univ., Washington, DC USA

**Information Warfare and International Law**

Greenberg, Lawrence T; Goodman, Seymour E; Soo Hoo, Kevin J; Jan 1998; 60 pp.; In English

Report No.(s): AD-A460329; No Copyright; Avail.: CASI: [A04](#), Hardcopy

The development of 'information warfare' presents international legal issues that will complicate nations' efforts both to execute and to respond to certain information warfare attacks, specifically those using computers, telecommunications, or networks to attack adversary information systems. Some legal constraints will certainly apply to information warfare, either because the constraints explicitly regulate particular actions, or because more general principles of international law govern the effects of those actions. Nevertheless, the novelty of certain information warfare techniques may remove them from application of established legal categories. Furthermore, the ability of signals to travel across international networks and affect systems in distant countries conflicts with the longstanding principle of national, territorial sovereignty. First, it has not been established that information attacks, particularly when they are not directly lethal or physically destructive, constitute the use of 'force' or 'armed attack' under such provisions as the United Nations Charter. Second, it is equally unclear whether some of the damage that information warfare attacks could inflict, as by disrupting government or private databases and systems, is the sort of damage that international humanitarian law is intended to restrain. Finally, where attacks can be executed across international networks, the USA (among others) may need to rely upon foreign assistance in identifying and responding to those who have attacked it. The ambiguous state of international law regarding information warfare may leave space for the USA to pursue information warfare activities. Conversely, it may permit adversaries to attack the USA and its systems. This monograph discusses several, nonexclusive international legal approaches that the USA may pursue to protect its systems or clarify its offensive, defensive, and retaliatory options.

DTIC

*International Law; Responses; United States; Warfare*

**20070005504** Engineering Technologies Associates, Inc., Ellicott City, MD USA

**Remedial Investigation and Feasibility Study of the Defense Property Disposal Office, Fort George G. Meade, Maryland. Final Quality Control Plan**

May 1995; 108 pp.; In English

Contract(s)/Grant(s): DACA31-92-D-0045-0010

Report No.(s): AD-A460338; No Copyright; Avail.: CASI: [A06](#), Hardcopy

This Quality Control Plan (QCP) was prepared by Engineering Technologies Associates, Inc. (ETA) under Contract No. DACA31-92-D-0045, Delivery Order 0010, for the U. S. Army Environmental Center (USAEC) to address the Remedial Investigation and Feasibility Study of the Defense Reutilization and Marketing Office (DRMO) Yard at Fort Meade, formerly referred to as the Defense Property and Disposal Office (DPDO). This Quality Control Plan (QCP) has been developed in accordance with the USA Toxic and Hazardous Materials Agency (USATHAMA) Geotechnical Requirements, and the USATHAMA Quality Assurance Program and the Guidance for Conducting Remedial Investigations and Feasibility Studies Under CERCLA. This QCP for the RI/FS at the DRMO Yard has been developed to comply with the requirements of the USAEC Quality Assurance Program, USATHAMA PAM 11-41, Revision No. 0, January 1990 and appropriate EPA Region III Quality Assurance Guidance as applicable. Our subcontracted laboratory will be DataChem Laboratories, of Salt Lake City, Utah. They will provide chemical analyses of environmental samples collected during this investigation. Therefore the QA Program Plan from DataChem Laboratories is included as Attachment A. ETAs corporate policy includes a commitment to a high standard of quality in the work it performs for and delivers to its clients. This policy is reflected in the quality of our general operating policies and procedures and the quality of the workmanship that is produced for our clients. We expect the same level of commitment to quality from our subcontractors. The objective of the USAEC Quality Assurance Program is to establish a QA system and proper QC procedures associated with the Quality Control Plan for specific projects, such as the Remedial Investigation and Feasibility Study at the DRMO Yard at Fort Meade.

DTIC

*Environmental Surveys; Feasibility; Gas Chromatography; Quality Control*

**20070005507** Massachusetts Inst. of Tech., Cambridge, MA USA

**Experiments in Evaluating Interactive Spoken Language Systems**

Polifroni, Joseph; Hirschman, Lynette; Seneff, Stephanie; Zue, Victor; Jan 1992; 7 pp.; In English

Contract(s)/Grant(s): N00014-89-J-1332

Report No.(s): AD-A460343; No Copyright; Avail.: CASI: [A02](#), Hardcopy

As the DARPA spoken language community moves towards developing useful systems for interactive problem solving, we must explore alternative evaluation procedures that measure whether these systems aid people in solving problems within the task domain. In this paper, we describe several experiments exploring new evaluation procedures. To look at end-to-end evaluation, we modified our data collection procedure slightly in order to experiment with several objective task completion measures. We found that the task completion time is well correlated with the number of queries used. We also explored log

file evaluation, where evaluators were asked to judge the clarity of the query and the correctness of the response based on examination of the log file. Our results show that seven evaluators were unanimous on more than 80% of the queries, and that at least 6 out of 7 evaluators agreed over 90% of the time. Finally, we applied these new procedures to compare two systems, one system requiring a complete parse and the other using the more flexible robust parsing mechanism. We found that these metrics could distinguish between these systems: there were significant differences in ability to complete the task, number of queries required to complete the task, and score (as computed through a log file evaluation) between the robust and the non-robust modes.

DTIC

*Data Acquisition; Evaluation; Linguistics; Natural Language Processing; Problem Solving; Speech; System Effectiveness*

**20070005513** University of Southern California, Marina del Rey, CA USA

**The Rate of Progress in Natural Language Processing**

Sondheimer, Norman K; Jan 1987; 5 pp.; In English

Contract(s)/Grant(s): FQ8671-84-01007; MDA903-81-C-0335

Report No.(s): AD-A460356; No Copyright; Avail.: CASI: [A01](#), Hardcopy

The rate of progress in natural language processing has been disappointing to many, including myself. It is not just that the popular press has had overblown expectations, but that we at this meeting have. The consequences of these errors could be severe. Hopefully, this short note will give an accurate evaluation of our rate of progress, identify what some of the problems have been, and present some reasonable suggestions on what can be done to improve the situation. Given that we want to take our ideas down the chain from theoretical research to empirical study and beyond and that natural language is an extremely difficult task, how can we proceed? There is only one answer: work within our current limits. Let's treat our work as that of successive approximations. Let us forget about the unexplored problems for the time being. Let us see what we can really do with the proposals we have that seem to work. Basically, let us emphasize building systems and full-scale components for a while. For example, why don't a group of us take the best parser, the best semantic interpreter, the best generator, the best inference system, etc., and tie them together? Then let's pick a domain of discourse and make them work for more than a few sentences. Let's beat on them until they work for as much of language as they appear capable. While we are at it, let's make the system as fast, as robust, as portable, as maintainable, etc., as we possibly can. Similarly, let's beat on individual components in the same way. I know there is no guarantee this approach will produce a useful system or component. But even if we fail to produce something worth going further with, we will have learned a lot about what works and what doesn't. If those results are not allowed to be lost, the next effort can do better. Of course, a problem with this approach lies in the source of our funds.

DTIC

*Data Bases; Data Processing; Linguistics; Natural Language (Computers); Natural Language Processing; Progress; Systems Engineering*

**20070005525** Air Force Academy, CO USA

**Information Warfare Arms Control: Risks and Costs**

Thom, Maxie C; Mar 2006; 76 pp.; In English

Report No.(s): AD-A460393; INSS-OP-63; No Copyright; Avail.: CASI: [A05](#), Hardcopy

Since the end of the 1991 Gulf War, information warfare has taken a prominent role in transforming the military as envisioned in Joint Vision 2010. However, due to the rapid changes in information technologies and the low cost, wide availability and high payoff of information warfare weapons, some have seen it as a destabilizing influence and have called for international arms control agreements to govern its use. Although the international legal system and the modern concept of arms control were able to provide for national and international collective security during the Cold War, information warfare presents many challenges that question their viability. The most significant challenges are to the international legal system, which include undermining the ordering principle of the post-Westphalian international system. Despite these challenges, an information warfare arms control regime is still achievable; however, at potentially significant costs and risks. Although some of these costs would be similar to previous nuclear, biological, and chemical weapons arms control agreements, the lack of available data makes it difficult to determine the expected costs with any degree of accuracy. In addition, some of these costs cannot be expressed in budgetary terms; therefore, they are presented as risks and include increased proliferation, intelligence loss, cheating, and a false sense of security. Since there are also political risks by not becoming a signatory to international agreements on this issue, the U.S. would be best served by staying engaged in the discourse to shape the norm for information warfare in the international arena.

DTIC

*Costs; Risk; Threat Evaluation; Warfare*

**ECONOMICS AND COST ANALYSIS**

Includes cost effectiveness studies.

**20070004622** Naval Postgraduate School, Monterey, CA USA

**Public-Private Partnerships for Government Financing, Controlling Risk, and Value-for-Money: The UK Experience**

Sep 1, 2006; 59 pp.; In English

Report No.(s): AD-A459811; NPS-GSBPP-06-020; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Finance; Risk; Governments*

**20070004672** Naval Postgraduate School, Monterey, CA USA

**Using Public-Private Partnerships and Energy Savings Contracts to Fund DoD Mobile Assets**

Sep 30, 2006; 71 pp.; In English

Report No.(s): AD-A459747; NPS-GSBPP-06-021; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Energy Conservation; Contracts*

**20070004675** Naval Postgraduate School, Monterey, CA USA

**Lean Six Sigma for Reduced Cycle Costs and Improved Readiness**

Sep 30, 2006; 57 pp.; In English

Report No.(s): AD-A459746; NPS-GSBPP-06-019; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Cost Reduction; Cycles; Improvement; Upgrading*

**LAW, POLITICAL SCIENCE AND SPACE POLICY**

Includes aviation law; space law and policy; international law; international cooperation; and patent policy.

**20070003690** NASA Johnson Space Center, Houston, TX, USA

**Mission Success and Environmental Protection: Orbital Debris Considerations**

Johnson, Nicholas; [2007]; 22 pp.; In English; Expendable Launch Vehicle Payload Safety, 5-7 Feb. 2007, Santa Barbara, CA, USA; Original contains color illustrations; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003690>; Avail.:

CASI: [A03](#), Hardcopy

The current U.S. National Space Policy specifically calls on U.S. Government entities 'to follow the USA Government Orbital Debris Mitigation Standard Practices, consistent with mission requirements and cost effectiveness, in the procurement and operation of spacecraft, launch services, and the operation of tests and experiments in space. Early assessment (pre-PDR) of orbital debris mitigation compliance is essential to minimize development impacts. Orbital debris mitigation practices today are the most effective means to protect the near-Earth space environment for future missions.

Derived from text

*Space Debris; Mission Planning; Aerospace Environments; Spacecraft Launching; Space Law*

**TECHNOLOGY UTILIZATION AND SURFACE TRANSPORTATION**

Includes aerospace technology transfer; urban technology; surface and mass transportation. For related information see also *03 Air Transportation and Safety*, *16 Space Transportation and Safety*, and *44 Energy Production and Conversion*. For specific technology transfer applications see also the category where the subject is treated.

**20070003611** Army Research Development and Engineering Command, Warren, MI, USA

**A Lesson from the Past for Safer Future Tactical Vehicles**

May 8, 2003; 15 pp.; In English

Report No.(s): AD-A459799; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Tactics; Surface Vehicles*

**20070003864** Army Tank-Automotive and Armaments Command, Warren, MI USA

**Present and Future Diagnostics and Prognostics of Ground Combat Vehicles**

Jul 9, 2003; 7 pp.; In English

Report No.(s): AD-A459548; TACOM-13903; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Combat; Surface Vehicles; Transportation*

**20070004679** Oak Ridge National Lab., TN USA

**Supplemental Release Limits for the Directed Reuse of Steel in Road Barriers and Lead in Shielding Products by the Department of Energy**

Coleman, R. L.; Bogard, J. S.; Apr. 2005; 58 pp.; In English

Report No.(s): DE2006-886001; No Copyright; Avail.: Department of Energy Information Bridge

The DOE National Center of Excellence for Metals Recycle (NMR) proposes to define and implement a complex-wide directed reuse strategy for surplus radiologically impacted lead (Pb) and steel as part of the U.S. Department of Energy's commitment to the safe and cost-effective recycle or reuse of excess materials and equipment across the DOE complex. NMR will, under this proposal, act on behalf of the DOE Office of Environmental Management, Office of Technical Program Integration (specifically EM-22), as the Department's clearinghouse for DOE surplus lead, steel and products created from these materials by developing and maintaining a cost-effective commercially-based contaminated lead and steel recycle program. It is NMR's intention, through this directed reuse strategy, to mitigate the adverse environmental and economic consequences of managing surplus lead and steel as a waste within the complex. This approach promotes the safe and cost-effective reuse of scrap metals in support of the Department's goals of resource utilization, energy conservation, pollution prevention and waste minimization. This report discusses recommendations for supplemental radiological release limits for the directed reuse of contaminated lead and steel by the DOE within the nuclear industry.

NTIS

*Lead (Metal); Radiation Shielding; Roads; Shielding; Steels*

**20070004923** Army Tank-Automotive Research and Development Command, Warren, MI USA

**Ground Mobility M&S Technology Development and Application**

Sep 20, 2004; 11 pp.; In English

Report No.(s): AD-A459556; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Mobility; Technology Utilization; Simulation; Models*

**88**

**SPACE SCIENCES (GENERAL)**

Includes general research topics related to the natural space sciences. For specific topics in space sciences see *categories 89 through 193*.

**20070005033** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**MEMS Microshutter for James Webb Space Telescope**

Li, Mary J.; Beamwilder, Michael; Babu, Sachi; Bajikar, Sateesh; Ewin, Audrey; Franz, Dave; Hess, Larry; Hu, Ron; Jhabvala, Murzy; Kelly, Dan; King, Todd; Kletetschkar, Gunther; Kutyrev, Alexander; Lynch, Barney; Moseley, Harvey; Mott, Brent; Oh, Lance; Rapchum, Dave; Ray, Chris; Sappington, Carol; Silverberg, Robert; Smith, Wayne; Snodgrass, Steve; Steptoe-Jackson, Rosalind; Valeriano; [2006]; 1 pp.; In English; Smart Materials, Nano, and Micro Smart Systems, 10-13 Dec. 2006, Adelaide, Australia; No Copyright; Avail.: Other Sources; Abstract Only

MEMS microshutter arrays are being developed at NASA Goddard Space Flight Center for use as an aperture array for a Near-Infrared Spectrometer (NirSpec). The instruments will be carried on the James Webb Space Telescope (JWST), the next generation of space telescope after Hubble Space Telescope retires. The microshutter arrays are designed for the selective transmission of light with high efficiency and high contrast. Arrays are close-packed silicon nitride membranes with a pixel size of 100x200 microns. Individual shutters are patterned with a torsion flexure permitting shutters to open 90 degrees with a minimized mechanical stress concentration. Light shields are made on to each shutter for light leak prevention so to enhance

optical contrast, Shutters are actuated magnetically, latched and addressed electrostatically. The shutter arrays are fabricated using MEMS technologies.

Author

*James Webb Space Telescope; Apertures; Infrared Spectrometers; Microelectromechanical Systems; Spaceborne Telescopes; Silicon Nitrides; Membranes*

**20070005043** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**Titan Orbiter with Aerover Mission (TOAM)**

Sittler, Edward C.; Cooper, J. F.; Mahaffey, P.; Esper, J.; Fairbrother, D.; Farley, R.; Pitman, J.; Kojiro, D. R.; [2006]; 1 pp.; In English; 38th Annual Division of Planetary Sciences Meeting, 9-13 Oct. 2006, Pasadena, CA, USA; Copyright; Avail.: Other Sources; Abstract Only

We propose to develop a new mission to Titan called Titan Orbiter with Aerover Mission (TOAM). This mission is motivated by the recent discoveries of Titan, its atmosphere and its surface by the Huygens Probe, and a combination of in situ, remote sensing and radar mapping measurements of Titan by the Cassini orbiter. Titan is a body for which Astrobiology (i.e., prebiotic chemistry) will be the primary science goal of any future missions to it. TOAM is planned to use an orbiter and balloon technology (i.e., aerover). Aerobraking will be used to put payload into orbit around Titan. The Aerover will probably use a hot air balloon concept using the waste heat from the MMRTG approx. 500 watts. Orbiter support for the Aerover is unique to our approach for Titan. Our strategy to use an orbiter is contrary to some studies using just a single probe with balloon. Autonomous operation and navigation of the Aerover around Titan will be required, which will include descent near to the surface to collect surface samples for analysis (i.e., touch and go technique). The orbiter can provide both relay station and GPS roles for the Aerover. The Aerover will have all the instruments needed to sample Titan's atmosphere, surface, possible methane lakes-rivers, use multi-spectral imagers for surface reconnaissance; to take close up surface images; take core samples and deploy seismometers during landing phase. Both active and passive broadband remote sensing techniques will be used for surface topography, winds and composition measurements.

Author

*Titan; Autonomous Navigation; Cassini Mission; Core Sampling; Mariner Mark 2 Spacecraft; Remote Sensing; Aerobraking; Huygens Probe*

**20070005152** NASA Langley Research Center, Hampton, VA, USA

**Electron Beam Freeform Fabrication in the Space Environment**

Hafley, Robert A.; Taminger, Karen M. B.; Bird, R. Keith; [2001]; 9 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 706801.04.15.01.03; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005152>; Avail.:

CASI: A02, Hardcopy

The influence of reduced gravitational forces (in space and on the lunar or Martian surfaces) on manufacturing processes must be understood for effective fabrication and repair of structures and replacement parts during long duration space missions. The electron beam freeform fabrication (EBF3) process uses an electron beam and wire to fabricate metallic structures. The process efficiencies of the electron beam and the solid wire feedstock make the EBF3 process attractive for use in-space. This paper will describe the suitability of the EBF3 process in the space environment and will highlight preliminary testing of the EBF3 process in a zero-gravity environment.

Author

*Weightlessness; Electron Beams; Lunar Surface; Fabrication; Replacing; Aerospace Environments; Gravitational Fields*

Includes observations of celestial bodies; astronomical instruments and techniques; radio, gamma-ray, x-ray, ultraviolet, and infrared astronomy; and astrometry.

**20070003587** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**Five Millennium Canon of Solar Eclipses: -1999 to +3000 (2000 BCE to 3000 CE)**

Espenak, Fred; Meeus, Jean; October 2006; 660 pp.; In English

Report No.(s): NASA/TP-2006-214142; Rept-2005-02440-1; Copyright; Avail.: CASI: C01, CD-ROM: A99, Hardcopy

During 5,000-year period from -1999 to +3000 (2000BCE to 3000CE), Earth will experience 11,898 eclipses of the Sun.

The statistical distribution of eclipse types for this interval is as follows: 4,200 partial eclipses, 3956 annular eclipses, 3173 total eclipses, and 569 hybrid eclipses. Detailed global maps for each of the 11,898 eclipses delineate the geographic regions of visibility for both the penumbral (partial) and umbral or antumbral (total, annular, or hybrid) phases of every event. Modern political borders are plotted to assist in the determination of eclipse visibility. The uncertainty in Earth's rotational period expressed in the parameter  $(\Delta)T$  and its impact on the geographic visibility of eclipses in the past and future is discussed.

Author

*Eclipses; Penumbrae; Statistical Distributions; Sun*

**20070004788** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**GRO J1655-40: Early Stages of the 2005 Outburst**

Shaposhnikov, N.; Swank, Jean; Shrader, C. R.; Rupen, M.; Beckmann, V.; Markwardt, C. B.; Smith, D. A.; [2007]; 42 pp.; In English; Copyright; Avail.: CASI: [A03](#), Hardcopy

The black-hole X-ray binary transient GRO J1655-40 underwent an outburst beginning in early 2005. We present the results of our multi-wavelength observational campaign to study the early outburst spectral and temporal evolution, which combines data from X-ray (RXTE, INTEGRAL), radio (VLA) and optical (ROTSE, SMARTS) instruments. During the reported period the source left quiescence and went through four major accreting black hole states: low-hard, hard intermediate, soft intermediate and high-soft. We investigated dipping behavior in the RXTE band and compare our results to the 1996-1997 case, when the source was predominantly in the high-soft state, finding significant differences. We consider the evolution of the low frequency quasi-periodic oscillations and find that the frequency strongly correlates with the spectral characteristics, before shutting off prior to the transition to the high-soft state. We model the broad-band high-energy spectrum in the context of empirical models, as well as more physically motivated thermal and bulk-motion Comptonization and Compton reflection models. RXTE and INTEGRAL data together support a statistically significant high energy cut-off in the energy spectrum at approximately equal to 100 - 200 keV during the low-hard state. The RXTE data alone also show it very significantly during the transition, but cannot see one in the high-soft state spectra. We consider radio, optical and X-ray connections in the context of possible synchrotron and synchrotron self-Compton origins of X-ray emission in low-hard and intermediate states. In this outburst of GRO J1655-40, the radio flux does not rise strongly with the X-ray flux.

Author

*Black Holes (Astronomy); X Ray Binaries; Radio Observation; Very Large Array (VLA); Broadband*

**20070004989** Lawrence Livermore National Lab., Livermore, CA USA

**AO Group Annual Report**

Olivier, S.; Nov. 11, 2005; 14 pp.; In English

Report No.(s): DE2006-889452; UCRL-TR-217011; No Copyright; Avail.: National Technical Information Service (NTIS)

The Adaptive Optics (AO) Group in I Division develops and tests a broad range of advanced wavefront control technologies. Current applications focus on: Remote sensing, High power lasers, Astronomy, and Human vision. In the area of remote sensing, the AO Group leads a collaborative effort with LLNL's Nonproliferation, Arms Control & International Security (NAI) Directorate on Enhanced Surveillance Imaging. The ability to detect and identify individual people or vehicles from long-range is an important requirement for proliferation detection and homeland security. High-resolution imaging along horizontal paths through the atmosphere is limited by turbulence, which blurs and distorts the image. For ranges over (approx.) one km, visible image resolution can be reduced by over an order of magnitude. We have developed an approach based on speckle imaging that can correct the turbulence-induced blurring and provide high resolution imagery. The system records a series of short exposure images which freeze the atmospheric effects. We can then estimate the image magnitude and phase using a bispectral estimation algorithm which cancels the atmospheric effects while maintaining object information at the diffraction limit of the imaging system.

NTIS

*Adaptive Optics; Wave Fronts; Trajectory Control*

**20070005045** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**Dynamics of the DAZd Disks**

Kuchner, Marc; [2006]; 1 pp.; In English; Cambridge University, 16 Aug. 2006, Cambridge, UK; No Copyright; Avail.: Other Sources; Abstract Only

New observations of DAZ dwarfs suggest that many of these stars---the DAZd subclass---host rings of debris. We will discuss the minerology and dynamics of these rings as inferred from models of new mid-infrared spectra and photometry taken

with the Spitzer space telescope, I will show that the DAZd rings do not need to be recently supplied by a single comet; they can survive for approx. 1 Gyr.

Author

*Debris; Dwarf Stars; Mineralogy; Dynamics*

**20070005048** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**Future Gamma-Ray Observations of Pulsars and their Environments**

Thompson, David J.; [2006]; 26 pp.; In English; Original contains black and white illustrations; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005048>; Avail.: CASI: A03, Hardcopy

Pulsars and pulsar wind nebulae seen at gamma-ray energies offer insight into particle acceleration to very high energies under extreme conditions. Pulsed emission provides information about the geometry and interaction processes in the magnetospheres of these rotating neutron stars, while the pulsar wind nebulae yield information about high-energy particles interacting with their surroundings. During the next decade, a number of new and expanded gamma-ray facilities will become available for pulsar studies, including Astro-rivelatore Gamma a Immagini LEggero (AGILE) and Gamma-ray Large Area Space Telescope (GLAST) in space and a number of higher-energy ground-based systems. This review describes the capabilities of such observatories to answer some of the open questions about the highest-energy processes involving neutron stars.

Author

*Gamma Ray Telescopes; Pulsars; Gamma Ray Observatory; Stellar Atmospheres*

**20070005089** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**The Swift/BAT Hard X-ray Survey**

Tueller, Jack; Markwardt, C. B.; Mushotzky, R. F.; Barthelmy, S. D.; Gehrels, N.; Krimm, A.; Skinner, G. K.; Falcone, A.; Kennea, J. A.; [2006]; 1 pp.; In English; HEAD - High Energy Astrophysics Division, 3-7 Oct. 2006, San Francisco, CA, USA; Copyright; Avail.: Other Sources; Abstract Only

The BAT instrument on Swift is a wide field (70 deg. '100 deg.) coded aperture instrument with a CdZnTe detector array sensitive to energies of 14-200 keV. Each day, the BAT survey typically covers 60% of the sky to a detection limit of 30 millicrob. BAT makes hard X-ray light curves of similar sensitivity and coverage to the X-ray light curves from XTE/ASM, but in an energy range where sources show remarkably different behavior. Integrating the BAT data produces an all sky map with a source detection limit at 15 months of a few  $10(\text{exp } -11)$  ergs per square centimeter per second, depending on the exposure. This is the first uniform all-sky survey at energies high enough to be unaffected by absorption since HEAO 1 in 1977-8. BAT has detected greater than 200 AGN and greater than 180 galactic sources. At high galactic latitudes, the BAT sources are usually easy to identify, but many are heavily absorbed and there are a few quite surprising identifications. The BAT selected galaxies can be used to calculate LogN/LogS and the luminosity function for AGN which are complete and free from common systematics. Several crucial parameters for understanding the cosmic hard x-ray background are now determined.

Author

*X Rays; Telescopes; Gamma Ray Bursts; Swift Observatory; Sky Surveys (Astronomy)*

**20070005132** Stanford Linear Accelerator Center, CA, USA, Rice Univ., Houston, TX, USA

**Lensing Signals in the Hubble Ultra-Deep Field Using All 2nd-Order Shape Deformations**

Irwin, J.; Shmakova, M.; Anderson, J.; Jul. 2006; 14 pp.; In English

Report No.(s): DE2006-887076; SLAC-PUB-11940; No Copyright; Avail.: National Technical Information Service (NTIS)

The long exposure times of the HST Ultra-Deep Field plus the use of an empirically derived position-dependent PSF, have enabled us to measure a cardioid/displacement distortion map coefficient as well as improving upon the sextupole map coefficient. We confirmed that curved background galaxies are clumped on the same angular scale as found in the HST Deep Field North. The new cardioid/displacement map coefficient is strongly correlated to a production of the sextupole and quadrupole coefficients. One would expect to see such a correlation from the background galaxies with quadrupole and sextupole moments. Events that depart from this correlation are expected to arise from map coefficient changes due to lensing, and several galaxy subsets selected using this criteria are indeed clumped.

NTIS

*Deformation; Displacement; Shapes; Telescopes*



**20070005210** Naval Research Lab., Washington, DC USA

**The Scientific Program of the U.S. Naval Research Laboratory**

Jul 1, 1958; 33 pp.; In English

Report No.(s): AD-A459675; NRL-5175; No Copyright; ONLINE: <http://hdl.handle.net/100.2/ADA459675>; Avail.: CASI: A03, Hardcopy

No abstract available

*Astronomy; Astrophysics; Electricity*

**90**

**ASTROPHYSICS**

Includes cosmology; celestial mechanics; space plasmas; and interstellar and interplanetary gases and dust.

**20070003684** California Univ., San Diego, La Jolla, CA, USA

**Black Hole Spectral States and Physical Connections**

Tomsick, John A.; Advances in Space Research; 2006; ISSN 0273-1177; Volume 38, pp. 2805-2809; In English; Original contains color illustrations

Contract(s)/Grant(s): NNG04GP08G; NAG5-13055; NNG04GA49G; NAG5-12703; Copyright; Avail.: Other Sources

The dramatic changes seen in the X-ray spectral and timing properties of accreting black hole candidates (BHCs) provide important clues about the accretion and jet formation processes that occur in these systems. Dividing the different source behaviors into spectral states provides a framework for studying BHCs. To date, there have been three main classification schemes with Luminosity-based, Component-based, or Transition-based criteria. The canonical, Luminosity-based criteria and physical models that are based on this concept do not provide clear explanations for several phenomena, including hysteresis of spectral states and the presence of jets. I discuss the re-definitions of states, focusing on an application of the Component-based states to more than 400 RXTE observations of the recurrent BHC 4U 1630<sup>+</sup>17. We compare the X-ray properties for the recent 2002-2004 outburst to those of an earlier (1998) outburst, during which radio jets were observed. The results suggest a connection between hysteresis of states and major jet ejections, and it is possible that both of these are related to the evolution of the inner radius of the optically thick accretion disk.

Author

*Black Holes (Astronomy); Accretion Disks; Radio Jets (Astronomy); Luminosity; Classifications; X Rays*

**20070003747** Stanford Univ., CA, USA, California Univ., Santa Cruz, CA, USA, Max-Planck-Inst. fuer Extraterrestrische Physik, Garching, Germany

**Inverse Compton Emission from Galactic Supernova Remnants: Effect of the Interstellar Radiation Field**

Porter, T. A.; Moskalenko, I. V.; Strong, A. W.; Jul. 2006; 8 pp.; In English

Report No.(s): DE2006-888781; No Copyright; Avail.: National Technical Information Service (NTIS)

The evidence for particle acceleration in supernova shells comes from electrons whose synchrotron emission is observed in radio and X-rays. Recent observations by the HESS instrument reveal that supernova remnants also emit TeV (gamma)-rays; long awaited experimental evidence that supernova remnants can accelerate cosmic rays up to the 'knee' energies. Still, uncertainty exists whether these (gamma)-rays are produced by electrons via inverse Compton scattering or by protons via (pi)(sup 0)-decay. The multi-wavelength spectra of supernova remnants can be fitted with both mechanisms, although a preference is often given to (pi)(sup 0)-decay due to the spectral shape at very high energies. A recent study of the interstellar radiation field indicates that its energy density, especially in the inner Galaxy, is higher than previously thought. In this paper we evaluate the effect of the interstellar radiation field on the inverse Compton emission of electrons accelerated in a supernova remnant located at different distances from the Galactic Centre. We show that contribution of optical and infra-red photons to the inverse Compton emission may exceed the contribution of cosmic microwave background and in some cases broaden the resulted (gamma)-ray spectrum.

NTIS

*Compton Effect; Interstellar Radiation; Radiation Distribution; Radiation Effects; Supernovae*

**20070003748** Stanford Univ., CA, USA, California Univ., Santa Cruz, CA, USA, Max-Planck-Inst. fuer Extraterrestrische Physik, Garching, Germany

**Inverse Compton Scattering on Solar Photons, Heliospheric Modulation, and Neutrino Astrophysics**

Moskalenko, I. V.; Porter, T. A.; Digel, S. W.; Jul. 2006; 8 pp.; In English

Report No.(s): DE2006-888780; SLAC-PUB-12006; No Copyright; Avail.: National Technical Information Service (NTIS)

We study the inverse Compton scattering of solar photons by Galactic cosmic-ray electrons. We show that the (gamma)-ray emission from this process is significant with the maximum flux in the direction of the Sun; the angular distribution of the emission is broad. This previously neglected foreground should be taken into account in studies of the diffuse Galactic and extragalactic (gamma)-ray emission. Furthermore, observations by GLAST can be used to monitor the heliosphere and determine the electron spectrum as a function of position from distances as large as Saturn's orbit down to close proximity of the Sun, thus enabling studies of solar modulation in the most extreme case. This paves the way for the determination of other Galactic cosmic-ray species, primarily protons, near the solar surface leading to accurate predictions of (gamma)-rays from pp-interactions in the solar atmosphere. These albedo (gamma)-rays will be observable by GLAST, allowing the study of deep atmospheric layers, magnetic field(s), and cosmic-ray cascade development. The latter is necessary to calculate the neutrino flux from pp-interactions at higher energies ( $\geq 1$  TeV). The corresponding neutrino flux from the Sun can be used as a 'standard candle' for upcoming km<sup>3</sup> neutrino detectors, such as IceCube. Since the solar core is opaque for very high-energy neutrinos, it may be possible to directly study the mass distribution of the Sun.

NTIS

*Astrophysics; Compton Effect; Electron Scattering; Heliosphere; Inverse Scattering; Modulation; Neutrinos; Photons*

**20070003775** California Univ., Lawrence Berkeley National Lab., Berkeley, CA, USA

#### **First Results from IceCube**

Klein, S. R.; January 2006; 12 pp.; In English

Report No.(s): DE2006-887446; No Copyright; Avail.: National Technical Information Service (NTIS)

IceCube is a 1 km<sup>3</sup> neutrino observatory being built to study neutrino production in active galactic nuclei, gamma-ray bursts, supernova remnants, and a host of other astrophysical sources. High-energy neutrinos may signal the sources of ultra-high energy cosmic rays. IceCube will also study many particle-physics topics: searches for WIMP annihilation in the Earth or the Sun, and for signatures of supersymmetry in neutrino interactions, studies of neutrino properties, including searches for extra dimensions, and searches for exotica such as magnetic monopoles or Q-balls. IceCube will also study the cosmic-ray composition. In January, 2005, 60 digital optical modules (DOMs) were deployed in the South Polar ice at depths ranging from 1450 to 2450 meters, and 8 ice-tanks, each containing 2 DOMs were deployed as part of a surface air-shower array. All 76 DOMs are collecting high-quality data. After discussing the IceCube physics program and hardware, I will present some initial results with the first DOMs.

NTIS

*Cosmic Rays; Neutrinos; Particle Production*

**20070003801** Brookhaven National Lab., Upton, NY USA

#### **Nuclear Reaction and Structure Databases of the National Nuclear Data Center**

Pritychenko, B.; Herman, M. W.; Mughabghab, S. F.; Oblozinsky, P.; Jun. 2006; 12 pp.; In English

Report No.(s): DE2006-888473; BNL-76738; No Copyright; Avail.: National Technical Information Service (NTIS)

We discuss nuclear data resources of the National Nuclear Data Center (NNDC) of relevance to nuclear astrophysics applications. These resources include databases, tools and powerful web service at [www.nndc.bnl.gov](http://www.nndc.bnl.gov). Our objective is to provide an overview of nuclear databases, related products and demonstrate nuclear astrophysics potential of the ENDF/B-VII beta2 library. A detailed discussion on the Maxwellian neutron capture cross sections obtained from the ENDF/B-VII beta2 library is presented.

NTIS

*Astrophysics; Data Bases; Nuclear Reactions; Universe*

**20070004677** Oak Ridge National Lab., TN USA

#### **Proceedings of the Oak Ridge Electron Linear Accelerator (ORELA) Workshop**

Dunn, M. E.; Feb. 2005; 274 pp.; In English

Report No.(s): DE2006-886000; No Copyright; Avail.: National Technical Information Service (NTIS)

The Oak Ridge National Laboratory (ORNL) organized a workshop at ORNL July 14-15, 2005, to highlight the unique measurement capabilities of the Oak Ridge Electron Linear Accelerator (ORELA) facility and to emphasize the important role of ORELA for performing differential cross-section measurements in the low-energy resonance region that is important for nuclear applications such as nuclear criticality safety, nuclear reactor and fuel cycle analysis, stockpile stewardship, weapons research, medical diagnosis, and nuclear astrophysics. The ORELA workshop (hereafter referred to as the Workshop) provided the opportunity to exchange ideas and information pertaining to nuclear cross-section measurements and their importance for

nuclear applications from a variety of perspectives throughout the U.S. Department of Energy (DOE). Approximately 50 people, representing DOE, universities, and seven U.S. national laboratories, attended the Workshop. The objective of the Workshop was to emphasize the technical community endorsement for ORELA in meeting nuclear data challenges in the years to come. The Workshop further emphasized the need for a better understanding of the gaps in basic differential nuclear measurements and identified the efforts needed to return ORELA to a reliable functional measurement facility.

NTIS

*Conferences; Electron Accelerators; Linear Accelerators; Particle Accelerators*

**20070004698** Stanford Linear Accelerator Center, CA, USA

**X-Ray Spectral Study of the Photoionized Stellar Wind in Vela X-1**

Watanabe, S.; Sako, M.; Ishida, M.; Ishisaki, Y.; Kahn, S. M.; Jul. 03, 2006; 26 pp.; In English

Report No.(s): DE2006-886790; SLAC-PUB-11917; No Copyright; Avail.: National Technical Information Service (NTIS)

We present results from quantitative modeling and spectral analysis of the high mass X-ray binary system Vela X-1 obtained with the Chandra High Energy Transmission Grating Spectrometer. The observations cover three orbital phase ranges within a single binary orbit. The spectra exhibit emission lines from H-like and He-like ions driven by photoionization, as well as fluorescent emission lines from several elements in lower charge states. The properties of these X-ray lines are measured with the highest accuracy to date. In order to interpret and make full use of the high-quality data, we have developed a simulator, which calculates the ionization and thermal structure of a stellar wind photoionized by an X-ray source, and performs Monte Carlo simulations of X-ray photons propagating through the wind. The emergent spectra are then computed as a function of the viewing angle accurately accounting for photon transport in three dimensions including dynamics.

NTIS

*Photoionization; Spectra; Spectrum Analysis; Stellar Winds; X Ray Sources; X Rays*

**20070004701** Michigan State Univ., East Lansing, MI, USA, National Optical Astronomy Observatories, Tucson, AZ, USA, Stanford Univ., Stanford, CA USA, Oxford Univ., Oxford, UK

**Luminosity Function of Faint Globular Clusters in M87**

Waters, C. Z.; Zepf, S. E.; Lauer, T. R.; Baltz, E. A.; Silk, J.; Jul. 2006; 26 pp.; In English

Report No.(s): DE2006-886783; SLAC-PUB-11959; No Copyright; Avail.: Department of Energy Information Bridge

We present the luminosity function to very faint magnitudes for the globular clusters in M87, based on a 30 orbit Hubble Space Telescope (HST) WFPC2 imaging program. The very deep images and corresponding improved false source rejection allow us to probe the mass function further beyond the turnover than has been done before. We compare our luminosity function to those that have been observed in the past, and confirm the similarity of the turnover luminosity between M87 and the Milky Way. We also find with high statistical significance that the M87 luminosity function is broader than that of the Milky Way. We discuss how determining the mass function of the cluster system to low masses can constrain theoretical models of the dynamical evolution of globular cluster systems. Our mass function is consistent with the dependence of mass loss on the initial cluster mass given by classical evaporation, and somewhat inconsistent with newer proposals that have a shallower mass dependence.

NTIS

*Elliptical Galaxies; Globular Clusters; Luminosity*

**20070004863** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**Observations of the Li, Be, and B Isotopes and Constraints on Cosmic-ray Propagation**

deNolfo, G. A.; Moskalenko, I. V.; Binns, W. R.; Christian, E. R.; Cummings, A. C.; Davis, A. J.; George, J. S.; Hink, P. L.; Israel, M. H.; Leske, R. A.; Lijowski, M.; Mewaldt, R. A.; Stone, E. C.; Strong, A. W.; vonRosenvinge, T. T.; Wiedenbeck, M. E.; Yanasak, N. E.; [2007]; 9 pp.; In English; To appear in Journal of Advances in Space Research

Contract(s)/Grant(s): NAG5-6912; Copyright; Avail.: CASI: [A02](#), Hardcopy

The abundance of Li, Be, and B isotopes in galactic cosmic rays (GCR) between  $E=50-200$  MeV/nucleon has been observed by the Cosmic Ray Isotope Spectrometer (CRIS) on NASA's ACE mission since 1997 with high statistical accuracy. Precise observations of Li, Be, B can be used to constrain GCR propagation models. We find that a diffusive reacceleration model with parameters that best match CRIS results (e.g. B/C, Li/C, etc) are also consistent with other GCR observations. A approx. 15-20% overproduction of Li and Be in the model predictions is attributed to uncertainties in the production

cross-section data. The latter becomes a significant limitation to the study of rare GCR species that are generated predominantly via spallation.

Author

*Lithium Isotopes; Beryllium Isotopes; Boron Isotopes; Propagation; Galactic Cosmic Rays*

**20070004911** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**Direct Imaging of Stellar Surfaces: Results from the Stellar Imager (SI) Vision Mission Study**

Carpenter, Kenneth; Schrijver, Carolus; Karovska, Margarita; [2006]; 1 pp.; In English; Cool Stars Workshop (poster presentation), 5-12 Nov. 2006, Pasadena, CA, USA; No Copyright; Avail.: Other Sources; Abstract Only

The Stellar Imager (SI) is a UV-Optical, Space-Based Interferometer designed to enable 0.1 milli-arcsecond (mas) spectral imaging of stellar surfaces and stellar interiors (via asteroseismology) and of the Universe in general. SI is identified as a 'Flagship and Landmark Discovery Mission' in the 2005 Sun Solar System Connection (SSSC) Roadmap and as a candidate for a 'Pathways to Life Observatory' in the Exploration of the Universe Division (EUD) Roadmap (May, 2005). The ultra-sharp images of the Stellar Imager will revolutionize our view of many dynamic astrophysical processes: The 0.1 mas resolution of this deep-space telescope will transform point sources into extended sources, and snapshots into evolving views. SI's science focuses on the role of magnetism in the Universe, particularly on magnetic activity on the surfaces of stars like the Sun. SI's prime goal is to enable long-term forecasting of solar activity and the space weather that it drives in support of the Living With a Star program in the Exploration Era. SI will also revolutionize our understanding of the formation of planetary systems, of the habitability and climatology of distant planets, and of many magneto-hydrodynamically controlled processes in the Universe. In this paper we will discuss the results of the SI Vision Mission Study, elaborating on the science goals of the SI Mission and a mission architecture that could meet those goals.

Author

*Imaging Techniques; Interferometers; Stellar Interiors; Astrophysics; Optical Measuring Instruments; Solar Activity*

**20070005025** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**The ROSSI X-Ray Timing Explorer: Capabilities, Achievements and Aims**

Swank, J. H.; [2007]; 8 pp.; In English; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070005025>; Avail.: CASI; A02, Hardcopy

The prime scientific objectives of the Rossi X-Ray Timing Explorer (RXTE) were the study of astrophysical compact objects: black holes (galactic and extragalactic), many types of neutron stars, and accreting white dwarfs. RXTE was successful in achieving its original observing objectives of large area and high time resolution observations with broadband (2-200 keV) spectra, scheduled flexibly enough to enable observations of targets of opportunity on any timescale greater than a few hours. These capabilities enabled qualitatively new discoveries about dynamical timescale phenomena related to neutron stars and black holes, phenomena which probe basic physics in the most extreme environments of gravity, density, and magnetic fields. RXTE has extended its lifetime by applying the proportional counter area selectively and maintains schedule flexibility by making use of the distribution of targets around the sky. Proposed future observations emphasize opportunity to discover and study additional millisecond pulsars, pursue the high frequency quasi-periodic oscillations in black hole transients, and connect high frequency phenomena with longer term characteristics. RXTE will continue to strongly support, for both galactic and extragalactic targets, combining RXTE observations with other wavelengths (from IR to TeV) or with other capabilities, such as high spectral resolution.

Author

*Black Holes (Astronomy); Neutron Stars; White Dwarf Stars; High Resolution; X Ray Timing Explorer; Gravitational Fields; Dynamic Characteristics*

**20070005035** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**Trains of Magnetic Holes and Magnetic Humps in the Heliosheath**

Burlaga, Leonard; Acuna, Mario; Ness, Norm; August 23, 2006; 1 pp.; In English; Heliospheric Workshop and Voyager/ACE SSG Meetings, 5-10 Nov. 2006, Oxnard, CA, USA; Copyright; Avail.: Other Sources; Abstract Only

This paper discusses the existence of trains (sequences) of magnetic holes and magnetic humps in the heliosheath, based on Voyager 1 observations made in the intervals DOY 312.9707 - 317.0879, 2005 and DOY 185.2762 - 186.7957, 2005. These two trains represent a class of compressive fluctuations in the heliosheath. Varying from one region or time interval to another, this class of fluctuations probably depends on the varying conditions upstream the Termination Shock and its nature. The trains

of magnetic holes in the heliosheath resemble certain magnetic field strength fluctuations observed in planetary magnetosheaths.

Author

*Heliosphere; Voyager 1 Spacecraft; Sequencing; Magnetic Flux*

**20070005102** Stanford Linear Accelerator Center, CA, USA

**Exact Attractive Non-BPS STU Black Holes**

Kalosh, R.; Sivanandam, N.; Soroush, M.; Jun. 27, 2006; 28 pp.; In English

Report No.(s): DE2006-887072; SLAC-PUB-11926; No Copyright; Avail.: Department of Energy Information Bridge

We develop some properties of the non-BPS attractive STU black hole. Our principle result is the construction of exact solutions for the moduli, the metric and the vectors in terms of appropriate harmonic functions. In addition, we find a spherically-symmetric attractor carrying  $p$  (sup 0) (D6 brane) and  $q$  (sub a) (D2 brane) charges by solving the non-BPS attractor equation (which we present in a particularly compact form) and by minimizing an effective black hole potential. Finally, we make an argument for the existence of multicenter attractors and conjecture that if such solutions exist they may provide a resolution to the existence of apparently unstable non-BPS 'attractors'.

NTIS

*Black Holes (Astronomy); Vectors (Mathematics); Attractors (Mathematics)*

**20070005461** Cornell Univ., Ithaca, NY USA

**Telescopic Imaging of Heater-Induced Airglow at HAARP**

Kelley, Michael C; Jan 2007; 6 pp.; In English

Contract(s)/Grant(s): N00014-03-1-0978

Report No.(s): AD-A460185; CU-44467; No Copyright; Avail.: CASI: [A02](#), Hardcopy

HF-induced fine-scale electron density variations and/or enhanced airglow in the ionosphere were investigated. These irregularities appear to trap waves and cause them to 'self-focus.' Knowing what irregularities exist is important for improving communications and for pure discovery research on wave-particle interactions in the lower ionosphere at high latitudes. To develop accurate models of its behavior, lower ionospheric structure must be known. Under this grant, we conducted telescopic imaging of heater-induced airglow at HAARP to optically measure fine structure in the ionosphere and to study airglow sources. In the presence of aurora and a strong blanketing E layer, HAARP was modulated at intervals of several seconds. For several cycles, small bright airglow spots were observed whenever HAARP was on. These spots are elongated horizontally, indicating drift motion, and are the same order of brightness as the aurora. Such bright artificial airglow was never recorded previously. These results were published in the journal Nature.

DTIC

*Airglow; Auroras; Heaters; Images; Imaging Techniques; Telescopes*

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**LUNAR AND PLANETARY SCIENCE AND EXPLORATION**

Includes planetology; selenology; meteorites; comets; and manned and unmanned planetary and lunar flights. For spacecraft design or space stations see *18 Spacecraft Design, Testing and Performance*.

**20070003683** NASA Marshall Space Flight Center, Huntsville, AL, USA

**Origin and Evolution of Deep Plasmaspheric Notches**

Gallagher, D. L.; Adrian, M. L.; Liemohn, M. W.; Journal of Geophysical Research; Sep. 1, 2005; ISSN 0148-0227; Volume 100; 11 pp.; In English; Original contains color and black and white illustrations

Report No.(s): Paper-2004JA010906; Copyright; Avail.: Other Sources

Deep plasmaspheric notches can extend over more than 2  $R$  (sub E) in radial distance and 3 hours MLT in the magnetic equatorial plane, as observed by the extreme ultraviolet (EUV) imager on the IMAGE mission. They are among the largest evacuated features in the exterior plasmaspheric boundary. They can last for days and exhibit a variety of shapes. It appears that weak convection and limited erosion precedes notch formation at the westward, near-Earth edge of the convection plume. Eighteen clear notch events were found and analyzed in 2000. Among these events, notches were found to drift as slowly as 44% of corotation. In only one case was a notch found to drift at the corotation rate within measurement error. On average, these notches drift at about 21.5 h d (sup -1) or 90% of the corotational rate. Notches sometimes exhibit an interior structure that appears as an extended prominence of dense plasma, which forms a W- or M-like feature in IMAGE/EUV images,

depending on viewing perspective. Initial modeling suggests that notches and notch prominences may be caused in part by intense small-scale potential structures that result from the localized injection of ring current plasma. Plasma filling rates during recovery are examined in three L shell ranges from  $L = 2$  to  $L = 3.5$  with rates ranging from 5 to 140  $\text{cm}(\text{sup } -3) \text{ d}(\text{sup } -1)$ . Plasma loss during a minor substorm is found to extend to surprisingly low L shell with rates ranging from 100 to 130  $\text{cm}(\text{sup } -3) \text{ d}(\text{sup } -1)$  across the L shells examined.

Author

*Noches; Plasmasphere; Space Missions; Planetary Evolution; Earth Sciences*

**20070003687** NASA Johnson Space Center, Houston, TX, USA

**Exploration Life Support Technology Development Challenges**

Chambliss Joe; Rulis, Susan; [2007]; 1 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA

Contract(s)/Grant(s): WBS 572-01-04; Copyright; Avail.: Other Sources; Abstract Only

The Exploration Life Support project is developing technologies to address the needs for life support during NASA's exploration missions. The focus of development is Air Revitalization, Water Recovery, and Waste Management Systems (ARS, WRS, and WMS). The approach to meeting exploration needs for life support intrinsically involves processing mixtures of gases, liquids and solids; thus the effects of micro or hypo gravity must be considered in developing and verifying the technologies. This paper provides an overview of the ELS project, how ELS technologies are planned to be used in exploration vehicles and the challenges being addressed.

Author

*Life Support Systems; Technology Utilization; Space Exploration; NASA Space Programs; Space Missions*

**20070003720** NASA Johnson Space Center, Houston, TX, USA

**Ar-39-Ar-40 Ages of Two Nakhrites, MIL03346 and Y000593: A Detailed Analysis**

Park, Jisun; Garrison, Daniel; Bogard, Donald; [2007]; 2 pp.; In English; Lunar and Planetary Science Conference, 12-16 Mar. 2007, Houston, TX, USA; Original contains color illustrations; Copyright; Avail.: CASI: [A01](#), Hardcopy

Radiometric dating of martian nakhrites by several techniques have given similar ages of approx. 1.2-1.4 Ga [e.g. 1, 2]. Unlike the case with shergottites, where the presence of martian atmosphere and inherited radiogenic Ar-40 produce apparent Ar-39-Ar-40 ages older than other radiometric ages, Ar-Ar ages of nakhrites are similar to ages derived by other techniques. However, even in some nakhrites the presence of trapped martian Ar produces some uncertainty in the Ar-Ar age. We present here an analysis of such Ar-Ar ages from the MIL03346 and Y000593 nakhrites.

Derived from text

*Argon Isotopes; Chronology; Nakhrites; Radiometers; Mars (Planet); Radiogenic Materials*

**20070003723** NASA Johnson Space Center, Houston, TX, USA

**Rb-Sr and Sm-Nd Isotopic Studies of Martian Depleted Shergottites SaU 094/005**

Shih, C.-Y.; Nyquist, L. E.; Reese, Y.; [2007]; 2 pp.; In English; Lunar and Planetary Science Conference, 12-16 Mar. 2007, Houston, TX, USA; Original contains color illustrations; Copyright; Avail.: CASI: [A01](#), Hardcopy

Sayh al Uhaymir (SaU) 094 and SaU 005 are olivine-phyric shergottites from the Oman desert and are considered as pairs. [e.g., 1]. They are very similar to the Libyan desert shergottite Dar al Gani (DaG) 476 in petrology, chemistry and ejection age [2-6]. This group of shergottites, also recognized as depleted shergottites [e.g. 7] has been strongly shocked and contains very low abundances of light rare earth elements (REE). In addition, terrestrial contaminants are commonly present in meteorites found in desert environments. Age-dating these samples is very challenging, but lower calcite contents in the SaU meteorites suggest that they have been subjected to less severe desert weathering than their DaG counterparts [3-4]. In this report, we present Rb-Sr and Sm-Nd isotopic results for SaU 094 and SaU 005, discuss the correlation of their ages with those of other similar shergottites, and discuss their petrogenesis.

Author

*Shergottites; Rubidium Isotopes; Strontium Isotopes; Samarium Isotopes; Neodymium Isotopes; Mars (Planet); Depletion*

**20070003732** NASA Johnson Space Center, Houston, TX, USA

**Active Thermal Control System Development for Exploration**

Westheimer, David; [2007]; 22 pp.; In English; 45th AIAA Aerospace Sciences Meeting and Exhibit, 8-11 Jan. 2007, Reno, NV, USA; Original contains color illustrations

Contract(s)/Grant(s): WBS 103-04-01-01-10; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003732>; Avail.: CASI: [A03](#), Hardcopy

All space vehicles or habitats require thermal management to maintain a safe and operational environment for both crew and hardware. Active Thermal Control Systems (ATCS) perform the functions of acquiring heat from both crew and hardware within a vehicle, transporting that heat throughout the vehicle, and finally rejecting that energy into space. Almost all of the energy used in a space vehicle eventually turns into heat, which must be rejected in order to maintain an energy balance and temperature control of the vehicle. For crewed vehicles, Active Thermal Control Systems are pumped fluid loops that are made up of components designed to perform these functions. NASA has been actively developing technologies that will enable future missions or will provide significant improvements over the state of the art technologies. These technologies have been targeted for application on the Crew Exploration Vehicle (CEV), or Orion, and a Lunar Surface Access Module (LSAM). The technologies that have been selected and are currently under development include: fluids that enable single loop ATCS architectures, a gravity insensitive vapor compression cycle heat pump, a sublimator with reduced sensitivity to feedwater contamination, an evaporative heat sink that can operate in multiple ambient pressure environments, a compact spray evaporator, and lightweight radiators that take advantage of carbon composites and advanced optical coatings.

Author

*Active Control; Temperature Control; Control Systems Design; Space Exploration*

**20070003733** NASA Johnson Space Center, Houston, TX, USA

**A New Modal Analysis Method to put Constraints on the Aqueous Alteration of CR Chondrites and Estimate the Unaltered CR Composition**

Perronnet, M.; Zolensky, M. E.; Gounelle, M.; Schwandt, C. S.; [2007]; 38 pp.; In English; Meteoritics and Planetary Science, projected release date Jan. 1, 2007; Original contains black and white illustrations; Copyright; Avail.: CASI: [A03](#), Hardcopy

CR carbonaceous chondrites are of the major interest since they contain one of the most primitive organic matters. However, aqueous alteration has more or less overprinted their original features in a way that needed to be assessed. That was done in the present study by comparing the mineralogy of the most altered CR1 chondrite, GRO 95577, to a less altered CR2, Renazzo. Their modal analyses were achieved thanks to a new method, based on X-ray elemental maps acquired on electron microprobe, and on IDL image treatment. It allowed the collection of new data on the composition of Renazzo and confirmed the classification of GRO 95577 as a CR1. New alteration products for CRs, vermiculite and clinocllore, were observed. The homogeneity of the Fe-poor clays in the CR1 and the distinctive matrix composition in the two chondrites suggest a wide-range of aqueous alteration on CRs. The preservation of the outlines of the chondrules in GRO 95577 and the elemental transfers of Al, Fe and Ca throughout the chondrule and of Fe and S from the matrix to the chondrule favor the idea of an asteroidal location of the aqueous alteration. From their mineralogical descriptions and modal abundances, the element repartitions in Renazzo and GRO 95577 were computed. It indicates a possible relationship between these two chondrites via an isochemical alteration process. Knowing the chemical reactions that occurred during the alteration, it was thus possible to decipher the mineralogical modal abundances in the unaltered CR body.

Author

*Carbonaceous Chondrites; Meteoritic Composition; Mineralogy; Organic Materials*

**20070003734** NASA Johnson Space Center, Houston, TX, USA

**In-situ micro-FTIR Study of Thermal Changes of Organics in Tagish Lake Meteorite: Behavior of Aliphatic Oxygenated Functions and Effects of Minerals**

Kebukawa, Yoko; Nakashima, Satoru; Nakamura-Messenger, Keiko; Zolensky, Michael E.; [2007]; 28 pp.; In English; Copyright; Avail.: CASI: [A03](#), Hardcopy

Systematic in-situ FTIR heating experiments of Tagish Lake meteorite grains have been performed in order to study thermal stability of chondritic organics. Some aliphatic model organic substances have also been used to elucidate effects of hydrous phyllosilicate minerals on the thermal stability of organics. The experimental results indicated that organic matter in the Tagish Lake meteorite might contain oxygenated aliphatic hydrocarbons which are thermally stable carbonyls such as ester and/or C=O in ring compounds. The presence of hydrous phyllosilicate minerals has a pronounced effect on the increase of the thermal stability of aliphatic and oxygenated functions. These oxygenated aliphatic organics in Tagish Lake can be formed during the aqueous alteration in the parent body and the formation temperature condition might be less than 200 C, based

especially on the thermal stability of C-O components. The hydrous phyllosilicates might provide sites for organic globule formation and protected some organic decomposition

Author

*Aliphatic Hydrocarbons; Meteorites; Minerals; Organic Materials; Oxygenation; In Situ Measurement; Fourier Transformation; Infrared Spectroscopy*

**20070003741** NASA Johnson Space Center, Houston, TX, USA

**Genesis Silicon Carbide Concentrator Target 60003 Preliminary Ellipsometry Mapping Results**

Calaway, M. J.; Rodriquez, M. C.; Stansbery, E. K.; [2007]; 2 pp.; In English; Lunar and Planetary Science Conference, 12-16 Mar. 2007, Houston, TX, USA; Original contains color illustrations; No Copyright; ONLINE:

<http://hdl.handle.net/2060/20070003741>; Avail.: CASI: A01, Hardcopy

The Genesis concentrator was custom designed to focus solar wind ions primarily for terrestrial isotopic analysis of O-17/O-16 and O-18/O-16 to +/-1%, N-15/N-14 to +/-1%, and secondarily to conduct elemental and isotopic analysis of Li, Be, and B. The circular 6.2 cm diameter concentrator target holder was comprised of four quadrants of highly pure semiconductor materials that included one amorphous diamond-like carbon, one C-13 diamond, and two silicon carbide (SiC). The amorphous diamond-like carbon quadrant was fractured upon impact at Utah Test and Training Range (UTTR), but the remaining three quadrants survived fully intact and all four quadrants hold an important collection of solar wind. The quadrants were removed from the target holder at NASA Johnson Space Center Genesis Curation Laboratory in April 2005, and have been housed in stainless steel containers under continual nitrogen purge since time of disintegration. In preparation for allocation of a silicon carbide target for oxygen isotope analyses at UCLA, the two SiC targets were photographed for preliminary inspection of macro particle contamination from the hard non-nominal landing as well as characterized by spectroscopic ellipsometry to evaluate thin film contamination. This report is focused on Genesis SiC target sample number 60003.

Author

*Chemical Analysis; Concentrators; Ellipsometry; Oxygen Isotopes; Solar Wind; Sample Return Missions*

**20070003746** NASA Johnson Space Center, Houston, TX, USA

**Decontamination of Genesis Array Materials by UV Ozone Cleaning**

Calaway, Michael J.; Burnett, D. S.; Rodriquez, M. C.; Sestak, S.; Allton, J. H.; Stansbery, E. K.; [2007]; 2 pp.; In English; Lunar and Planetary Science Conference, 12-16 Mar. 2007, Houston, TX, USA; Copyright; Avail.: CASI: A01, Hardcopy

Shortly after the NASA Genesis Mission sample return capsule returned to earth on September 8, 2004, the science team discovered that all nine ultra-pure semiconductor materials were contaminated with a thin molecular organic film approximately 0 to 100 angstroms thick. The organic contaminate layer, possibly a silicone, situated on the surface of the materials is speculated to have formed by condensation of organic matter from spacecraft off-gassing at the Lagrange 1 halo orbit during times of solar exposure. While the valuable solar wind atoms are safely secured directly below this organic contamination and/or native oxide layer in approximately the first 1000 angstroms of the ultra-pure material substrate, some analytical techniques that precisely measure solar wind elemental abundances require the removal of this organic contaminate. In 2005, Genesis science team laboratories began to develop various methods for removing the organic thin film without removing the precious material substrate that contained the solar wind atoms. Stephen Sestak and colleagues at Open University first experimented with ultraviolet radiation ozone (UV/O3) cleaning of several non-flight and flown Genesis silicon wafer fragments under a pure flowing oxygen environment. The UV/O3 technique was able to successfully remove organic contamination without etching into the bulk material substrate. At NASA Johnson Space Center Genesis Curation Laboratory, we have installed an UV/O3 cleaning devise in an ambient air environment to further experimentally test the removal of the organic contamination on Genesis wafer materials. Preliminary results from XPS analysis show that the UV/O3 cleaning instrument is a good non-destructive method for removing carbon contamination from flown Genesis array samples. However, spectroscopic ellipsometry results show little change in the thickness of the surface film. All experiments to date have shown UV/O3 cleaning method to be the best non-destructive method for removing organic contamination from the surface of the Genesis materials. The UV/O3 cleaning process can also clean carbon contamination to levels below non-flight standards. This can be seen by comparing sample 60260's carbon 10667 cps with non-flight Si carbon 21675 cps. Therefore, surface carbon contamination should not hinder the analysis of solar wind.

Derived from text

*Cleaning; Decontamination; Ozone; Sample Return Missions; Surface Properties*



**20070003751** NASA Johnson Space Center, Houston, TX, USA

**Sm-Nd Isotopic Systematics of Troctolite 76335**

Edmunson, J.; Nyquist, L. E.; Borg, L. E.; [2007]; 2 pp.; In English; Lunar and Planetary Science Conference, 12-16 Mar. 2007, Houston, TX, USA; Copyright; Avail.: CASI: [A01](#), Hardcopy

A study of the Sm-Nd isotopic systematics of lunar Mg-suite troctolite 76335 was undertaken to further establish the early chronology of lunar magmatism. Because the Rb-Sr isotopic systematics of similar sample 76535 yielded an age of 4570 +/- 70 Ma [2,  $\lambda = 1.402 \times 10(\exp -11)$ ], 76335 was expected to yield an old age. In contrast, the Sm-Nd and K-Ar ages of 76535 indicate that the sample is approximately 4260 Ma old, one of the youngest ages obtained for a Mg-suite rock. This study establishes the age of 76335 and discusses the constraints placed on its petrogenesis by its Sm-Nd isotope systematics. The Sm-Nd isotopic system of lunar Mg-suite troctolite 76335 indicates an age of 4278 +/- 60 Ma with an initial epsilon (sup 143)(sub Nd) value of 0.06 +/- 0.39. These values are consistent with the Sm-Nd isotopic systematics of similar sample 76535. Thus, it appears that a robust Sm-Nd age can be determined from a highly brecciated lunar sample. The Sm-Nd isotopic systematics of troctolites 76335 and 76535 appear to be different from those dominating the Mg-suite norites and KREEP basalts. Further analysis of the Mg-suite must be completed to reveal the isotopic relationships of these early lunar rocks.

Derived from text

*KREEP; Samarium Isotopes; Neodymium Isotopes; Magnesium; Chronology*

**20070003756** NASA Johnson Space Center, Houston, TX, USA, NASA Kennedy Space Center, Cocoa Beach, FL, USA

**STS-114 Micrometeoroid/Orbital Debris (MMOD) Post-Flight Assessment**

Hyde, J.; Bernhard, R.; Christiansen, E.; Orbital Debris Quarterly News; [2007], pp. 2-3; In English; Original contains color illustrations; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070003756>; Avail.: CASI: [A01](#), Hardcopy

NASA Johnson Space Center (JSC) personnel assisted Kennedy Space Center (KSC) inspection teams in the identification of 41 micrometeoroid/orbital debris (MMOD) impact sites on the OV-103 vehicle (Discovery) during STS-114 postflight inspections. There were 14 MMOD impacts reported on the crew module windows (Figure 1). The largest impact feature, a 6.6 mm x 5.8 mm crater on window #4, was caused by a particle with an estimated diameter of 0.22 mm (Figure 2). This impact was among the largest ever recorded on a crew module window. The window was removed and replaced. Scanning Electron Microscope/Energy Dispersive X-ray (SEM/EDX) analysis of dental mold samples from the impact site to determine particle origin was inconclusive, possibly due to contamination picked up on the ferry flight from Edwards Air Force Base to KSC. The radiators on the inside of the payload bay doors sustained 19 impacts (Figure 3) with one of the impacts causing a face sheet perforation. The 0.61 mm diameter hole was produced by a particle with an estimated diameter of 0.4 mm, which approaches the 0.5-mm critical particle diameter of the wing leading edge reinforced carbon-carbon (RCC) panel high-temperature regions (Zone 3, Figure 4) that was established during Return to Flight testing of the RCC panels. An inspection of the payload bay door exterior insulation (FRSI) revealed a 5.8 mm x 4.5 mm defect that was caused by an MMOD particle with unknown composition, as the sample obtained was contaminated. Figure 5 provides a summary of the exterior surface survey that was conducted following the STS-114 mission. Two windows were removed and replaced due to hypervelocity impact. Nineteen impacts were recorded on the payload bay door radiators, with one face sheet penetration. Three impact sites were identified on the FRSI. There were four hypervelocity impact sites detected on the wing leading edge RCC panels. One impact was detected on the top cover of the TPS sample box (TSB) payload that was mounted on a carrier in the aft portion of the payload bay.

Author

*Micrometeoroids; Space Debris; Postflight Analysis; Discovery (Orbiter); Space Shuttle Missions; Hypervelocity Impact*

**20070003758** NASA Johnson Space Center, Houston, TX, USA

**The Origin of Dark Inclusions in Allende: New Evidence from Lithium Isotopes**

Sephton, Mark A.; James, Rachael H.; Zolensky, Michael E.; Meteoritics and Planetary Science; [2006]; Volume 41, No. 7; 5 pp.; In English

Report No.(s): IARC-Contrib-2005-0932; Copyright; Avail.: CASI: [A01](#), Hardcopy

Aqueous and thermal processing of primordial material occurred prior to and during planet formation in the early solar system. A record of how solid materials were altered at this time is present in the carbonaceous chondrites, which are naturally delivered fragments of primitive asteroids. It has been proposed that some materials, such as the clasts termed dark inclusions found in type III chondrites, suggest a sequence of aqueous and thermal events. Lithium isotopes (Li-6 and Li-7) can reveal the role of liquid water in dark inclusion history. During aqueous alteration, Li-7 passes preferentially into solution leaving Li-6 behind in the solid phase and, consequently, any relatively extended periods of interaction with Li-7-rich fluids would have left the dark inclusions enriched in the heavier isotope when compared to the meteorite as a whole. Our analyses of

lithium isotopes in Allende and its dark inclusions reveal marked isotopic homogeneity and no evidence of greater levels of aqueous alteration in dark inclusion history.

Author

*Inclusions; Lithium Isotopes; Allende Meteorite; Planetary Evolution; Sedimentary Rocks*

**20070003760** NASA Johnson Space Center, Houston, TX, USA

**Sm-Nd and Rb-Sr Ages for MIL 05035: Implications for Surface and Mantle Sources**

Nyquist, L. E.; Shih, C-Y.; Reese, Y. D.; [2007]; 2 pp.; In English; Lunar and Planetary Science Conference, 12-16 Mar. 2007, Houston, TX, USA; Original contains color illustrations; Copyright; Avail.: CASI: [A01](#), Hardcopy

The Sm-Nd and Rb-Sr ages and also the initial Nd and Sr isotopic compositions of MIL 05035 are the same as those of A-881757. Comparing the radiometric ages of these meteorites to lunar surface ages as modeled from crater size-frequency distributions as well as the TiO<sub>2</sub> abundances and initial Sr-isotopic compositions of other basalts places their likely place of origin as within the Australe or Humboldtianum basins. If so, a fundamental west-east lunar asymmetry in compositional and isotopic parameters that likely is due to the PKT is implied.

Author

*Samarium; Neodymium; Rubidium; Strontium; Geochronology; Lunar Surface; Meteorites*

**20070004583** NASA Johnson Space Center, Houston, TX, USA

**Digital Learning Network Education Events of NASA's Extreme Environments Mission Operations**

Paul, Heather; Guillory, Erika; [2007]; 1 pp.; In English; International Conference on Environmental Systems, 9-12 Jul. 2007, Chicago, IL

Contract(s)/Grant(s): WBS 371-04-01-05-01; Copyright; Avail.: Other Sources; Abstract Only

NASA's Digital Learning Network (DLN) reaches out to thousands of students each year through video conferencing and web casting. The DLN has created a series of live education videoconferences connecting NASA's Extreme Environment Missions Operations (NEEMO) team to students across the USA. The programs are also extended to students around the world live web casting. The primary focus of the events is the vision for space exploration. During the programs, NEEMO Crewmembers including NASA astronauts, engineers and scientists inform and inspire students about the importance of exploration and share the impact of the project as it correlates with plans to return to the moon and explore the planet Mars. These events highlight interactivity. Students talk live with the aquanauts in Aquarius, the National Oceanic and Atmospheric Administration's underwater laboratory. With this program, NASA continues the Agency's tradition of investing in the nation's education programs. It is directly tied to the Agency's major education goal of attracting and retaining students in science, technology, and engineering disciplines. Before connecting with the aquanauts, the students conduct experiments of their own designed to coincide with mission objectives. This paper describes the events that took place in September 2006.

Author

*Education; NASA Programs; Pulse Communication; Machine Learning; Space Missions*

**20070004584** NASA Johnson Space Center, Houston, TX, USA

**Powered Descent Trajectory Guidance and Some Considerations for Human Lunar Landing**

Sustaric, Ronald R.; [2007]; 17 pp.; In English; 2007 AAS Guidance and Control Conference, 3-7 Feb. 2007, Breckenridge, CO, USA; Original contains color illustrations

Contract(s)/Grant(s): 152-04-05-01-10

Report No.(s): AAS-07-051; No Copyright; ONLINE: <http://hdl.handle.net/2060/20070004584>; Avail.: CASI: [A03](#), Hardcopy

The Autonomous Precision Landing and Hazard Detection and Avoidance Technology development (ALHAT) will enable an accurate (better than 100m) landing on the lunar surface. This technology will also permit autonomous (independent from ground) avoidance of hazards detected in real time. A preliminary trajectory guidance algorithm capable of supporting these tasks has been developed and demonstrated in simulations. Early results suggest that with expected improvements in sensor technology and lunar mapping, mission objectives are achievable.

Author

*Autonomy; Descent Trajectories; Hazards; Lunar Landing; Trajectory Control; Manned Space Flight; Thrust*

**20070004933** NASA Johnson Space Center, Houston, TX, USA

**Layered Sediments, Rampart Craters, and Potential Fluvio-Lacustrine Activity in S.W. Arabia Terra, Mars: Support for a History of Aqueous Conditions**

Oehler, D. Z.; Allen, C. C.; Venechuk, E. M.; Paris, K. N.; [2007]; 2 pp.; In English; Lunar and Planetary Science Conference, 12-16 Mar. 2007, Houston, TX, USA; Original contains color and black and white illustrations; Copyright; Avail.: CASI: A01, Hardcopy

Arabia Terra is a unique area on Mars in that it is the only major, equatorial region characterized by high abundances of near-surface water (as measured by gamma ray and neutron spectroscopy). Vernal Crater is a 55 km-diameter structure in southwest Arabia Terra, centered at 6 N, 355.5 E. The crater includes layered sediments, potential remnants of fluvio-lacustrine activity, and indications of aeolian processes. Regional considerations, along with new THEMIS and MOC data, are being assessed to gain insight into the significance of the geomorphic units within Vernal Crater and the geologic history of SW Arabia Terra.

Author

*Mars Surface; Mars Craters; Extraterrestrial Water; Equatorial Regions*

**20070005066** Brookhaven National Lab., Upton, NY USA

**CCD and PIN-CMOS Developments for Large Optical Telescope**

Radeka, V.; Apr. 2006; 16 pp.; In English

Report No.(s): DE2006-889288; BNL-76772-2006-CP; No Copyright; Avail.: Department of Energy Information Bridge

Higher quantum efficiency in near-IR, narrower point spread function and higher readout speed than with conventional sensors have been receiving increased emphasis in the development of CCDs and silicon PIN-CMOS sensors for use in large optical telescopes. Some key aspects in the development of such devices are reviewed.

NTIS

*Charge Coupled Devices; CMOS; Pins; Technology Assessment; Telescopes*

**20070005091** NASA Goddard Space Flight Center, Greenbelt, MD, USA

**Titan Ion Composition at Magnetosphere-Ionosphere Transition Region**

Sittler, Edward C.; Hartle, R. E.; Shappirio, M.; Simpson, D. J.; Cooper, J. F.; Burger, M. H.; Johnson, R. E.; Bertucci, C.; Luhman, J. G.; Ledvina, S. A.; Szego, K.; Coates, A. J.; Young, D. T.; September 05, 2006; 1 pp.; In English; 38th Annual Division of Planetary Sciences Meeting, 9-13 Oct. 2006, Pasadena, CA, USA; Copyright; Avail.: Other Sources; Abstract Only

Using Cassini Plasma Spectrometer (CAPS) Ion Mass Spectrometer (IMS) ion composition data, we will investigate the compositional changes at the transition region between Saturn's magnetospheric flow and Titan's upper ionosphere. It is this region where scavenging of Titan's upper ionosphere can occur, where it is then dragged away by the magnetospheric flow as cold plasma for Saturn's magnetosphere. This cold plasma may form plumes as originally proposed by (1) during the Voyager 1 epoch. This source of cold plasma may have a unique compositional signature such as methane group ions. Water group ions that are observed in Saturn's outer magnetosphere (2,3) are relatively hot and probably come from the inner magnetosphere where they are born from fast neutrals escaping Enceladus (4) and picked up in the outer magnetosphere as hot plasma (5). This scenario will be complicated by pickup methane ions within Titan's mass loading region, as originally predicted by (6) based on Voyager 1 data and observationally confirmed by (3,7) using CAPS IMS data. But, CH<sub>4</sub>(+) ions or their fragments can only be produced as pickup ions from Titan's exosphere which can extend beyond the transition region of concern here, while CH<sub>5</sub>(+) ions can be scavenged from Titan's ionosphere. We will investigate these possibilities.

Author

*Exosphere; Ions; Magnetosphere-Ionosphere Coupling; Methane; Titan Atmosphere; Planetary Composition*

**20070005119** Lawrence Livermore National Lab., Livermore, CA USA

**Evolution of Intermediate and Low Mass Binary Systems**

Eggleton, P. P.; Oct. 27, 2005; 20 pp.; In English

Report No.(s): DE2006-886668; No Copyright; Avail.: Department of Energy Information Bridge

There are a number of binaries, fairly wide and with one or even two evolved giant components, that do not agree very well with conventional stellar evolution: the secondaries are substantially larger (oversized) than they should be because their masses are quite low compared with the primaries. I discuss the possibility that these binaries are former triples, in which a merger has occurred fairly recently in a short-period binary sub-component. Some mergers are expected, and may follow a

phase of contact evolution. I suggest that in contact there is substantial transfer of luminosity between the components due to differential rotation, of the character observed by helioseismology in the Sun's surface convection zone.

NTIS

*Mass; Stellar Evolution*

## 92 SOLAR PHYSICS

Includes solar activity, solar flares, solar radiation and sunspots. For related information see *93 Space Radiation*.

**20070004634** NASA Marshall Space Flight Center, Huntsville, AL, USA

### **Radiation and Plasma Environments for Lunar Missions**

Minow, Joseph I.; Edwards, David L.; Altstatt, Richard L.; Diekmann, Anne M.; Blackwell, William C., Jr.; Harine, Katherine J.; [2006]; 38 pp.; In English; 47th International Astronautical Congress, 2-6 Oct. 2006, Valencia, Spain; Original contains black and white illustrations

Report No.(s): IAC-06-D5.2.05; Copyright; Avail.: CASI: [A03](#), Hardcopy

Space system design for lunar orbit and extended operations on the lunar surface requires analysis of potential system vulnerabilities to plasma and radiation environments to minimize anomalies and assure that environmental failures do not occur during the mission. Individual environments include the trapped particles in Earth's radiation belts, solar energetic particles and galactic cosmic rays, plasma environments encountered in transit to the moon and on the lunar surface (solar wind, terrestrial magnetosheath and magnetotail, and lunar photoelectrons), and solar ultraviolet and extreme ultraviolet photons. These are the plasma and radiation environments which contribute to a variety of effects on space systems including total ionizing dose and dose rate effects in electronics, degradation of materials in the space environment, and charging of spacecraft and lunar dust. This paper provides a survey of the relevant charged particle and photon environments of importance to lunar mission design ranging from the lowest (approx. few 10 eV) photoelectron energies to the highest (approx. GeV) cosmic ray energies.

Author

*Aerospace Environments; Plasma Radiation; Lunar Orbits; Terrestrial Radiation; Radiation Belts; Energetic Particles; Charged Particles*

**20070005042** NASA Goddard Space Flight Center, Greenbelt, MD, USA

### **Alfven Waves in the Solar Wind, Magnetosheath, and Outer Magnetosphere**

Sibeck, D. G.; [2007]; 1 pp.; In English; 2006 Huntsville Workshop Outstanding Problems in Geospace Connections Modeling, 2-6 Oct. 2006, Huntsville, TN, USA; No Copyright; Avail.: Other Sources; Abstract Only

Alfven waves Propagating outward from the Sun are ubiquitous in the solar wind and play a major role in the solar wind-magnetosphere interaction. The passage of the waves generally occurs in the form of a series of discrete steepened discontinuities, each of which results in an abrupt change in the interplanetary magnetic field direction. Some orientations of the magnetic field permit particles energized at the Earth's bow shock to gain access to the foreshock region immediately upstream from the Earth's bow shock. The thermal pressure associated with these particles can greatly perturb solar wind plasma and magnetic field parameters shortly prior to their interaction with the Earth's bow shock and magnetosphere. The corresponding dynamic pressure variations batter the magnetosphere, driving magnetopause motion and transient compressions of the magnetospheric magnetic field. Alfven waves transmit information concerning the dynamic pressure variations applied to the magnetosphere to the ionosphere, where they generate the traveling convection vortices (TCVs) seen in high-latitude ground magnetograms. Finally, the sense of Alfvenic perturbations transmitted into the magnetosheath reverses across local noon because magnetosheath magnetic field lines drape against the magnetopause. The corresponding change in velocity perturbations must apply a weak torque to the Earth's magnetosphere.

Author

*Solar Wind; Magnetohydrodynamic Waves; Magnetic Field Configurations; Magnetosheath; Plasma Waves; Shock Waves; Interplanetary Magnetic Fields; Air Water Interactions*

93  
SPACE RADIATION

Includes cosmic radiation; and inner and outer Earth radiation belts. For biological effects of radiation on plants and animals see *51 Life Sciences*; on human beings see *52 Aerospace Medicine*. For theory see *73 Nuclear Physics*.

**20070004574** NASA Langley Research Center, Hampton, VA, USA

**A Dynamic/Anisotropic Low Earth Orbit (LEO) Ionizing Radiation Model**

Badavi, Francis F.; West, Katie J.; Nealy, John E.; Wilson, John W.; Abrahms, Briana L.; Luetke, Nathan J.; December 2006; 32 pp.; In English; Original contains color and black and white illustrations

Contract(s)/Grant(s): WBS 759-07-09

Report No.(s): NASA/TP-2006-214533; L-19312; Copyright; Avail.: CASI: [A03](#), Hardcopy

The International Space Station (ISS) provides the proving ground for future long duration human activities in space. Ionizing radiation measurements in ISS form the ideal tool for the experimental validation of ionizing radiation environmental models, nuclear transport code algorithms, and nuclear reaction cross sections. Indeed, prior measurements on the Space Transportation System (STS; Shuttle) have provided vital information impacting both the environmental models and the nuclear transport code development by requiring dynamic models of the Low Earth Orbit (LEO) environment. Previous studies using Computer Aided Design (CAD) models of the evolving ISS configurations with Thermo Luminescent Detector (TLD) area monitors, demonstrated that computational dosimetry requires environmental models with accurate non-isotropic as well as dynamic behavior, detailed information on rack loading, and an accurate 6 degree of freedom (DOF) description of ISS trajectory and orientation.

Author

*Low Earth Orbits; Dynamic Models; Extraterrestrial Radiation; Ionizing Radiation; Anisotropy; International Space Station*

**20070005030** NASA Johnson Space Center, Houston, TX, USA

**Evaluating Shielding Effectiveness for Reducing Space Radiation Cancer Risks**

Cucinotta, Francis A.; Kim, Myung-Hee Y.; Ren, Lei; [2007]; 34 pp.; In English; Copyright; Avail.: CASI: [A03](#), Hardcopy

We discuss calculations of probability distribution functions (PDF) representing uncertainties in projecting fatal cancer risk from galactic cosmic rays (GCR) and solar particle events (SPE). The PDF s are used in significance tests of the effectiveness of potential radiation shielding approaches. Uncertainties in risk coefficients determined from epidemiology data, dose and dose-rate reduction factors, quality factors, and physics models of radiation environments are considered in models of cancer risk PDF s. Competing mortality risks and functional correlations in radiation quality factor uncertainties are treated in the calculations. We show that the cancer risk uncertainty, defined as the ratio of the 95% confidence level (CL) to the point estimate is about 4-fold for lunar and Mars mission risk projections. For short-stay lunar missions (h180 d), SPE s present the most significant risk, however one that is mitigated effectively by shielding, especially for carbon composites structures with high hydrogen content. In contrast, for long duration lunar (g180 d) or Mars missions, GCR risks may exceed radiation risk limits, with 95% CL s exceeding 10% fatal risk for males and females on a Mars mission. For reducing GCR cancer risks, shielding materials are marginally effective because of the penetrating nature of GCR and secondary radiation produced in tissue by relativistic particles. At the present time, polyethylene or carbon composite shielding can not be shown to significantly reduce risk compared to aluminum shielding based on a significance test that accounts for radiobiology uncertainties in GCR risk projection.

Author

*Probability Distribution Functions; Galactic Cosmic Rays; Cancer; Risk; Solar Corpuscular Radiation; Radiation Dosage; Radiation Shielding; Relativistic Particles; Epidemiology*

99  
GENERAL

Includes aeronautical, astronautical, and space science related histories, biographies, and pertinent reports too broad for categorization; histories or broad overviews of NASA programs such as Apollo, Gemini, and Mercury spacecraft, Earth Resources Technology Satellite (ERTS), and Skylab; NASA appropriations hearings.

**20070003552** Naval Research Lab., Washington, DC USA

**The Passive Countermeasures Program at the Naval Research Laboratory**

Jul 1, 1957; 23 pp.; In English

Report No.(s): AD-A459664; NRL-MR-701; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Countermeasures; Navy; Research and Development*

**20070003710** Navy Personnel Research Studies and Technology, Millington, TN USA

**Development of a Navy Job-Specific Vocational Interest Model**

Dec 2006; 99 pp.; In English

Report No.(s): AD-A459442; NPRST-TN-07-2; No Copyright; Avail.: CASI: [A05](#), Hardcopy

No abstract available

*Navy; Tasks; Models*

**20070003853** Air Force Research Lab., Wright-Patterson AFB, OH USA

**Defense Display Strategy and Roadmaps**

Aug 6, 2002; 8 pp.; In English

Report No.(s): AD-A459657; ASC-02-1985; No Copyright; Avail.: CASI: [A02](#), Hardcopy

No abstract available

*Military Technology; Civil Defense; Mapping*

**20070003904** Virginia Commonwealth Univ., Richmond, VA USA

**Synthesis of Multifunctional Materials**

Sep 2006; 23 pp.; In English

Contract(s)/Grant(s): N00014-04-1-0347

Report No.(s): AD-A459645; VCU-TR-529196; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Synthesis; Materials Science*

**20070004623** Army Tank-Automotive and Armaments Command, Warren, MI USA

**Between Imprudent and Impossible, Survivability Implications of Threat Analysis or What Threats Should We Design Against**

Apr 2002; 13 pp.; In English

Report No.(s): AD-A459810; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Threat Evaluation; Warning Systems; Situational Awareness*

**20070004629** Massachusetts Inst. of Tech., Cambridge, MA USA

**Building from the Bottom Up**

May 2003; 9 pp.; In English

Report No.(s): AD-A459808; No Copyright; Avail.: Defense Technical Information Center (DTIC)

No abstract available

*Buildings; Construction Materials*

**20070004631** Army Tank-Automotive Research and Development Command, Warren, MI USA

**External View of the DARPA Grand Challenge**

Apr 17, 2006; 12 pp.; In English

Report No.(s): AD-A459807; 15666; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Viewing; Imagery*

**20070004632** Naval Postgraduate School, Monterey, CA USA

**U.S. Security Posture in the Middle East: Need for a Change**

Dec 2002; 84 pp.; In English

Report No.(s): AD-A459802; No Copyright; Avail.: Defense Technical Information Center (DTIC)

No abstract available

*Security; Middle East; Governments*

**20070004693** Army Test and Evaluation Command, Aberdeen Proving Ground, MD USA

**Standardized UXO Technology Demonstration Site Open Field Scoring Record No. 802**

Nov 2006; 56 pp.; In English

Contract(s)/Grant(s): Proj-8-CO-160-UXO-021

Report No.(s): AD-A459741; ATC-9235; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Scoring; Standardization*

**20070004769** Mitre Corp., Bedford, MA USA

**Toward the Use of an Upper Ontology for U.S. Government and U.S. Military Domains: An Evaluation**

Sep 2004; 43 pp.; In English

Contract(s)/Grant(s): FA9721-04-0001

Report No.(s): AD-A459575; MTR-04B0000063; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Evaluation; Domains; Military Operations*

**20070004793** Hochschule der Bundeswehr, Strausberg, Germany

**Right-Wing Extremism in Germany and the Consequences for the Armed Forces (Rechtsextreme orientierungen in deutschland und ihre folgen fuer die bundeswehr)**

Jun 2001; 62 pp.; In English

Report No.(s): AD-A459543; No Copyright; Avail.: CASI: [A04](#), Hardcopy

No abstract available

*Armed Forces; Germany*

**20070004838** Massachusetts Inst. of Tech., Cambridge, MA USA

**Performance Analysis of Poll-Based Retransmission Schemes**

Aug 1993; 25 pp.; In English

Report No.(s): AD-A459502; LIDS-P-2190; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Reliability Analysis; Performance Tests*

**20070004844** Baker (Wilfred) Engineering, Inc., San Antonio, TX USA

**On the Difficulty of Feature-Based Attentional Modulations in Visual Object Recognition: A Modeling Study**

Jan 2004; 40 pp.; In English

Contract(s)/Grant(s): N00014-00-1-0907; IIS-0085836

Report No.(s): AD-A459484; AL MEMO 2004-004; CBCL MEMO 235; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Modulation; Pattern Recognition; Models*

**20070004869** Massachusetts Inst. of Tech., Cambridge, MA USA

**Design of Error Detection Scheme for Class C Service in ATM**

Jun 1993; 21 pp.; In English

Report No.(s): AD-A459477; LIDS-P-2187; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Detection; Errors*

**20070004894** California Univ., Santa Cruz, CA USA

**Comparison of Floor Control Protocols for Collaborative Multimedia Environments**

Jan 1998; 13 pp.; In English

Contract(s)/Grant(s): F19628-96-C-0038

Report No.(s): AD-A459468; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Multimedia; Protocol (Computers)*

**20070004896** California Univ., Santa Cruz, CA USA

**Efficacy of Floor Control Protocols in Distributed Multimedia Collaboration**

Jan 1999; 31 pp.; In English

Contract(s)/Grant(s): F19628-96-C-0038; DAAB07-97-C-D607

Report No.(s): AD-A459467; No Copyright; Avail.: CASI: [A03](#), Hardcopy

No abstract available

*Multimedia; Protocol (Computers)*



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National Symposium on Recent Advances in Pollutant Monitoring of Ambient Air and Stationary Sources, Held at Raleigh, North Carolina May 3-6, 1983 – 138

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