1	IN THE SUPREME COURT OF THE UNITED STATES
2	X
3	THOMAS JOE MILLER-EL, :
4	Petitioner :
5	v. : No. 01-7662
6	JANIE COCKRELL, DIRECTOR, :
7	TEXAS DEPARTMENT OF CRIMINAL:
8	JUSTI CE, INSTITUTI ONAL :
9	DIVISION. :
10	X
11	Washi ngton, D. C.
12	Wednesday, October 16, 2002
13	The above-entitled matter came on for oral
14	argument before the Supreme Court of the United States at
15	11: 03 a.m.
16	APPEARANCES:
17	SETH P. WAXMAN, ESQ., Washington, D.C.; on behalf of the
18	Petitioner.
19	GENA A. BUNN, ESQ., Chief, Capital Litigation Division,
20	Assistant Attorney General, Austin, Texas; on behalf
21	of the Respondent.
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1	PROCEEDINGS
2	(11:03 a.m.)
3	CHIEF JUSTICE REHNQUIST: We'll hear argument
4	next in No. 01-7662, Thomas Joe Miller-El v. Janie
5	Cockrell.
6	Mr. Waxman.
7	ORAL ARGUMENT OF SETH P. WAXMAN
8	ON BEHALF OF THE PETITIONER
9	MR. WAXMAN: Mr. Chief Justice, and may it
10	please the Court:
11	Hernandez v. New York described a hypothetical
12	case, a case in which the evidence of racial
13	discrimination during jury selection was so strong that,
14	quote, "a finding of no discrimination is simply too
15	incredible to be accepted." This is truly that
16	exceptional case, and therefore the Court should use it as
17	a model, a model of the weight of evidence sufficient to
18	render objectively unreasonable a trial judge's rejection
19	of a Batson challenge. Indeed, whatever
20	QUESTION: Well, now you you Mr Mr.

Waxman, you've got two presumptions going against you

presumption. So I -- I hope you'll take those into

here: the -- the first, the Hernandez presumption that

the trial court has to be deferred to, and then the AEDPA

21

22

23

24

25

account.

3

- 1 MR. WAXMAN: I want to embrace them, Mr. Chief
- 2 Justi ce.
- 3 (Laughter.)
- 4 MR. WAXMAN: I fully recognize, as an officer of
- 5 this Court and somebody who has followed this
- 6 juri sprudence, that even on direct -- that the deference
- 7 that is paid to a trial judge in a Batson hearing is so
- 8 strong that even in Hernandez, this case said on direct
- 9 review -- and Hernandez made reference to the high
- 10 standards of proof in habeas -- even on direct review, we
- 11 are going to require proof that a trial court's finding of
- 12 fact in a Batson hearing is erroneous by clear and
- 13 convincing evidence.
- 14 And to that, AEDPA enacted subsection
- 15 2254(d)(2), which says that you -- a writ may not issue
- 16 unless the State court adjudication resulted in a decision
- 17 that was based on an unreasonable determination of the
- 18 facts in light of the evidence presented.
- There are very good reasons to provide these
- 20 dual levels of deference to the trial judge. The trial
- 21 judge in the case, of course, is the finder of fact, and
- 22 in Hernandez this Court has made clear that the ultimate
- 23 finding in this case, the finding of whether or not the
- 24 trial prosecutor engaged in intentional discrimination in
- 25 making race the tipping factor, a but-for factor with

- 1 respect to the strike of any peremptory -- of any juror
- 2 under a peremptory challenge -- is a factual
- 3 determination.
- 4 But (d) (2) and the clear and convincing evidence
- 5 standard are there for a reason. They are there for the
- 6 truly exceptional case in which there are reasons to
- 7 believe that the trial judge's findings are deluded, and
- 8 there are reasons to believe that the evidence suggesting
- 9 that the trial judge's finding was unreasonable are
- 10 overwhel mi ng.
- 11 And I'd like to address the first first and the
- 12 second second, because I think it is entirely clear that
- 13 given the facts of this case and the way in which the --
- 14 the trial judge in this case conducted the hearing, that
- 15 whatever this Court decides, this case is going to stand
- 16 as a benchmark, either that these facts represent an
- 17 extreme that cannot be tolerated or that even these
- 18 extreme facts are tolerable under Batson.
- 19 Now, let me speak first to the trial judge.
- The trial judge was conscientious and he was, of
- 21 course, to some extent disabled by the fact that the trial
- 22 in this case immediately preceded this Court's decision in
- 23 Batson. That is, this is one of these few cases where the
- 24 trial occurred before Batson, but the case was pending on
- 25 direct appeal when Batson was decided.

- 1 And so we have in this case a trial judge who
- 2 conducted the Batson hearing over 2 years after the jurors
- 3 testified and after the trial prosecutors gave their
- 4 reasons for all but two of the jurors. And therefore, the
- 5 one hallmark of deference, which is that the trial judge
- 6 is a percipient witness of the res gestae, if you will,
- 7 did not exist in this case. The -- the decided cases
- 8 under the Texas Court of Criminal Appeals reflect
- 9 significantly more than a dozen cases decided by this
- 10 judge in that 2-year interim period. He saw thousands of
- 11 venire members and undoubtedly heard hundreds, if not
- 12 thousands, of Batson explanations.
- 13 And moreover, whereas it is true that the trial
- 14 judge observes the demeanor of the witnesses and a
- 15 prosecutor can certainly use as a race-neutral reason
- 16 questions raised about a juror's fitness or suitability
- 17 based on demeanor, the objections in this case, with one
- 18 limited exception, the prosecutor said nothing about the
- 19 demeanor. The prosecutor's stated objections to the 10 of
- 20 the 11 African Americans who were struck were substantive
- 21 reasons there on the record.
- In addition, the trial judge in this case did
- 23 not --
- QUESTION: Well, but certainly demeanor could
- 25 play a part in that even though you don't -- you don't say

- 1 it.
- 2 MR. WAXMAN: I --
- 3 QUESTION: You say he's -- he's prejudiced
- 4 against the Government and, you know, perhaps the way he
- 5 answered questions may give you a reason to think that,
- 6 that the transcript doesn't.
- 7 MR. WAXMAN: I can -- I -- I embrace that, Mr.
- 8 Chief Justice. For many years before I entered this line
- 9 of work, I was a trial lawyer and I can remember
- 10 exercising peremptory strikes just because of hesitation.
- 11 My only limited point here is that unlike many
- 12 voir dire hearings -- and I've now reviewed many -- there
- 13 was only one single isolated instance in which the
- 14 prosecutor, in giving his reasons either at the time or in
- 15 the Batson hearing, said he hesitated or his demeanor led
- 16 me to question it. And so in that one respect, I -- again
- 17 I think this case is more susceptible to meaningful
- 18 appellate review.
- But I have two more points I think it's very
- 20 important for the Court to consider about the way this
- 21 trial judge conducted this unusual case.
- 22 QUESTION: At -- at what stage, Mr. Waxman? You
- 23 say this was 2 years after the actual trial? There was a
- 24 -- a State habeas proceeding or something?
- 25 MR. WAXMAN: Oh, no. It was direct appeal. The

- 1 case was tried. There was an immediate appeal taken.
- 2 During the -- right after -- I think a month after the
- 3 case was tried, Batson was decided, and 2 years later, the
- 4 Texas Court of Criminal Appeals abated -- the Texas Court
- 5 of Criminal Appeals said 10 of 11 African Americans were
- 6 struck. That raises an inference of discrimination under
- 7 Batson. Remand it for a Batson hearing. And it was at
- 8 that hearing that the judge made the findings of fact and
- 9 conclusions of law that are reflected in the -- in the
- 10 joint appendix.
- 11 There was a subsequent habeas proceeding in the
- 12 State courts, but the habeas proceeding didn't deal with
- 13 the Batson issue because it had been fully exhausted.
- 14 The trial judge, in evaluating Batson, did not
- 15 look at the very substantial evidence of pattern and
- 16 practice evidence with respect to what was going on in
- 17 Dallas County at this time, evidence that the magistrate
- 18 deemed appalling. He was told by the State not to look at
- 19 it. He did not consider what the State acknowledges to be
- 20 racially disparate -- disparate questioning of the jurors
- 21 in venire on the question -- their ability to impose a
- 22 minimum sentence --
- 23 QUESTION: How do we know -- how do we know he
- 24 didn't look at that?
- 25 MR. WAXMAN: We -- we don't know to a certainty

- 1 that he didn't. He was told by the State that all of that
- 2 evidence was irrelevant. Indeed, the State took the
- 3 position that a -- that comparative evidence between white
- 4 jurors and black jurors was irrelevant. That was the
- 5 ground on which they urged this Court to deny the petition
- 6 for certiorari on direct appeal. All I can say is it's
- 7 nowhere reflected in the district judge's opinion. The --
- 8 the trial judge's opinion addresses only the $six\ jurors\ in$
- 9 question that my predecessor claimed were struck in
- 10 violation of Batson.
- 11 QUESTION: But he did say, didn't he, when he
- 12 admitted the -- as you pointed out, when he admitted the
- 13 -- the newspaper article, that he'd take it for what it
- 14 was worth?
- MR. WAXMAN: He did. And there's an ambiguity,
- and that's why we think, interestingly, that this case is
- 17 a (d)(2) case rather than a (d)(1) case. In this Court,
- 18 as opposed to in the State courts, the State of Texas is
- 19 here before you saying the judge considered everything.
- 20 The Texas Court of Criminal Appeals considered everything.
- 21 They don't have to discuss everything that they
- 22 considered, and therefore, there is no legal error that
- 23 was committed. And our submission to you is I don't know
- 24 if that's right or not. It doesn't appear to be right,
- 25 but whether it's right or not, the result that they

- 1 reached, the conclusion that they made that there was no
- 2 -- that -- that race was not a but for factor with respect
- 3 to not one, not two, but six of these people.
- 4 Under the record of this case and in light of
- 5 the way they conducted the jury shuffle in this case,
- 6 which cannot be justified on non-racial grounds --
- 7 QUESTION: Before you get to the jury shuffle,
- 8 how long before the -- the voir dire in this case had the
- 9 newspaper article surfaced? And there were, I guess, two
- 10 sitting judges who had once been prosecutors and they
- 11 said, well, we had a manual that we work with. Could
- 12 there be an argument that that -- that the last indication
- 13 that the manual was being used was 5 years before this
- 14 trial? Or is there evidence that it was being used right
- 15 up to the time of the voir dire? Could you tell me about
- 16 that?
- 17 MR. WAXMAN: I'll address the newspaper articles
- 18 first, I guess you were asking about, and also the manual.
- 19 QUESTION: Yes.
- 20 MR. WAXMAN: The newspaper articles -- there
- 21 were two series of newspaper articles. There were three
- 22 articles that came out in the first or second week of
- 23 March which was either just as the 4 or 5 weeks of jury
- 24 selection was closing or after it, but it was before the
- 25 trial began. And those articles precipitated the -- what

- 1 was called a Swain challenge but it -- what became a
- 2 Swain-Batson challenge.
- There were -- there were other articles that
- 4 were subsequently issued after the -- after the trial in
- 5 the case but before the direct appeal and the Batson
- 6 remand that looked at the racial percentages in capital
- 7 cases which mirrored the -- in many ways the -- the March
- 8 9th article that examined jury selection in 100 felony
- 9 cases.
- 10 Now, the manual. There were two manuals in the
- 11 case. One of them, the earlier, more explicit 1963
- 12 manual, was not offered. It is discussed in the March 9th
- 13 Dallas Morning News article which was admitted in evidence
- 14 at the Batson hearing.
- 15 The other manual, the John Sparling manual --
- 16 Mr. Sparling testified. There was testimony in the case
- 17 by Judge Baraka and one other witness -- and it may have
- 18 been Mr. Sparling -- that they were not sure when the
- 19 manual ceased to be used. The Texas Court of Criminal
- 20 Appeals in a case called Halliburton concluded that the
- 21 manual was in use at least through the early 1980's I
- 22 think or in -- by 1980 or something like --
- QUESTION: And this trial was '85?
- 24 MR. WAXMAN: This trial was '86 I believe.
- 25 But one of the things that's most striking in

- 1 the case is -- there's -- there -- it is clear that at
- 2 least one of the two prosecutors in this case was trained
- 3 by this office at a time when the manual was concededly in
- 4 use. The other one may have been.
- 5 But one of the things that I found striking,
- 6 just going through the juror information cards yesterday
- 7 actually in preparing for the oral argument, which appear
- 8 in the joint lodging at pages 54 to 108 -- these are the
- 9 juror cards that people get, the prosecutors and trial
- 10 lawyers get, before voir dire begins. If you look at what
- 11 is noted there, the race and the gender and religion and
- 12 beards and things like that, there is a remarkable
- 13 coincidence with the issues and factors that are
- 14 specifically addressed in the Sparling training manual.
- 15 It's not our submission that it's proof they were trained
- 16 by it. It's not our submission that they adhered to it or
- 17 they used it. We're using this pattern and practice
- 18 evidence just as a --
- 19 QUESTION: Mr. Waxman, could I just interrupt to
- 20 ask one question? When were those notations put on those
- 21 cards, do you know?
- MR. WAXMAN: The answer must be that they were
- 23 put on at different times. If you look at the notations
- 24 that say -- the race and the gender notations, each one
- 25 has it.

- 1 QUESTION: Now, these are --
- 2 MR. WAXMAN: Those had to have been put on
- 3 before any questioning occurred because there -- they are
- 4 on those cards for jurors that were never reached or were
- 5 never questioned. There are other notations about answers
- 6 that appear to be in different handwriting, and I don't
- 7 think the record shows it at all, but you can infer that
- 8 they were noted at the same time that the prosecution made
- 9 notes on the jury questionnaires which are also in the --
- in the joint lodging.
- But the -- the other point I just want to make
- 12 about the trial judge is the -- we know -- there's not a
- 13 question about whether the trial judge's findings are
- 14 unreasonable in light of the evidence. Finding number 6,
- 15 the trial judge said there was no disparate examination of
- any venire member.
- Well, in this Court, the State has totally
- 18 disavowed that. The State acknowledges that when jurors
- 19 were questioned about their ability to impose the minimum
- 20 punishment for the lesser included offense of murder, they
- 21 used two different scripts. And when they talked about
- 22 the means of execution, they used a graphic script that
- went on in detail to explain how somebody is executed in
- 24 Texas, and a regular script that just said, how do you
- 25 feel about the death penalty? Now, they --

- 1 QUESTION: If we agree -- if we agree with your
- 2 analysis of number 6, does that suffice to vitiate number
- 3 2?
- 4 MR. WAXMAN: I --
- 5 QUESTION: That the -- that the court finds that
- 6 the explanations given by the prosecutors in each --
- 7 prosecutor in each case were completely credible.
- 8 MR. WAXMAN: I think it does. The one that
- 9 we're -- that -- that you have to focus most directly on
- 10 is number 10 --
- 11 QUESTION: That's the conclusion.
- 12 MR. WAXMAN: -- which is the -- the finding,
- 13 which is the ultimate finding.
- But my point is that there are a number of
- 15 findings that this judge made that are at the very least
- 16 suspect and one in which the State has completely walked
- 17 away from.
- 18 And I want -- I want to spend, if I can, just a
- 19 few minutes talking about the jury shuffle and the
- 20 disparate questioning because I've been a trial lawyer for
- 21 many years, but never tried a case in this system, and it
- 22 was sort of a little bit confusing to me.
- But with respect to the disparate questioning,
- 24 each of the prospective jurors was questioned about
- 25 whether they could impose the minimum punishment if they

- 1 found that the offense was murder and not capital murder,
- 2 and the minimum punishment being 5 years. And they were
- 3 also asked about their views about capital punishment.
- 4 Now, some of those people who were asked about
- 5 their views about capital punishment were first treated to
- 6 a graphic description of how that punishment occurs. And
- 7 some of the people who were questioned about minimum
- 8 punishment were told in advance the range is 5 years to 99
- 9 years. Could you do either? And many of them were just
- 10 asked, what do you think the minimum penalty is that you
- 11 would impose for willful, deliberate, intentional, cold-
- 12 blooded murder where there was no robbery?
- And our submission in our principal brief and
- 14 below was that the State used those disparities in a
- 15 racially discriminatory manner and that bears tremendously
- on the intent of the prosecutor in executing the
- 17 peremptory strikes. And their --
- 18 QUESTION: Are you saying -- are you saying that
- 19 the prosecutor on voir dire must ask, when he gets to the
- 20 question of, you know, how do you feel about -- must ask
- 21 the same questions to every juror?
- 22 MR. WAXMAN: Not at all. They don't have to ask
- 23 the same questions. They don't have to ask them in the
- 24 same way. All that we're saying is that when they do ask
- 25 the same questions and they ask them in a way which is

- 1 acknowledged to be different and they acknowledge that
- 2 they --
- 3 QUESTION: Well now, wait a minute. You say
- 4 when they do ask the same questions and they ask them in a
- 5 way that's acknowledged to be different, that seems like a
- 6 contradiction just starting out.
- 7 MR. WAXMAN: I think I -- I managed to confuse
- 8 even myself.
- 9 QUESTI ON: Good.
- 10 (Laughter.)
- 11 MR. WAXMAN: The prosecution -- I believe that
- 12 Ms. Bunn will confirm. The prosecution said we had two
- 13 different ways of questioning about these two subjects,
- 14 and we used them deliberately at -- with different jurors
- 15 and we did it in order to remove jurors that we thought
- 16 were weak on the death penalty. That is the reason that
- 17 they give and that's the reason against which their
- 18 justification must be judged, and it is --
- 19 QUESTION: You would concede that would be
- 20 legitimate, that that --
- 21 MR. WAXMAN: Sure, if you -- I -- if you --
- 22 somebody says, look, I -- there's no way I can impose the
- 23 death penalty, and -- as a -- as a prosecutor I don't want
- 24 -- I don't know if I can use a for cause strike, I don't
- 25 want to use a peremptory, let me see if I can't get them

- 1 to say something that will allow the judge to knock them
- 2 out for cause, that's entirely legitimate.
- The question is why did they do it. Because it
- 4 would be illegitimate if they were doing it deliberately
- 5 disproportionately against black jurors because they were
- 6 black and it would be legitimate if they were saying,
- 7 okay, you know, people who expressed hesitation about the
- 8 death penalty -- we're going to do everything we can to
- 9 try and get rid of these people for cause.
- 10 And let's just look at the State's own
- 11 statistics. This is their statistics from their brief at
- 12 pages 17 and 18 and notes 38 and 39.
- 13 With respect to minimum punishment, seven of the
- 14 eight African American jurors who were questioned about
- 15 minimum punishment were not told in advance that the
- 16 minimum punishment was 5 years. And when they were asked
- what they thought minimum punishment was, they said 20
- 18 years, life. One person said that he thought the death
- 19 penalty was the minimum -- minimum -- punishment.
- For the whites, 36 white jurors were questioned.
- 21 Two -- only two were not told that it was 5 years in
- 22 advance. Now, they say that's not fair because you only
- 23 need to compare those jurors who had expressed hesitation
- 24 about the death penalty because that was our factor. And
- 25 they identify in their brief 10 white jurors and 10 black

- 1 jurors who expressed hesitation.
- Well, two things. Okay, we'll use your numbers.
- 3 That means that of the 10 black jurors who expressed
- 4 hesitation, 7 were given this trick questioning and 2 --
- 5 QUESTION: What was the -- what was the purpose
- 6 of the prosecution in asking about what they thought the
- 7 minimum penalty --
- 8 MR. WAXMAN: Under Texas -- Mr. Chief Justice,
- 9 under Texas law, that is grounds for disqualification for
- 10 cause and the prosecution did seek --
- 11 QUESTION: What is -- what is --
- 12 MR. WAXMAN: The inability to impose the minimum
- 13 punishment -- to consider the minimum punishment that the
- 14 law allows. And they did try and strike two black jurors
- 15 for inability to impose minimum punishment, however odd
- 16 that seems given the role of the prosecution in a case.
- 17 They say there were 10 of each and even taking
- 18 -- 10 hesitant jurors, and even taking their numbers at --
- 19 at their word, that means, nonetheless, that a black
- 20 hesitant juror was three-and-a-half times more likely than
- 21 a white to be struck. And it doesn't account for the fact
- 22 that their 10 white jurors wildly understates the number
- 23 of white jurors who expressed hesitation about the death
- 24 penalty. It doesn't even include a white juror that they
- 25 struck for cause for inability to impose the death

- 1 penalty, Mr. Sohner. And there are at least 8 or 9 other
- 2 jurors that we identify in our papers that are not in
- 3 their list of 10. So even if you take their universe at
- 4 its will, blacks were three-and-a-half times more likely
- 5 to be tricked by this minimum punishment ploy than whites.
- Now, on the graphic script about the death
- 7 penalty, they said, you bet, there were some people that
- 8 we went through all the gory details of how execution
- 9 occurs because we were trying to get those people off the
- 10 jury because they were hesitant about the death penalty,
- 11 but we did it with hesitant white jurors and we did it
- 12 with hesitant black jurors.
- 13 Let's look at their numbers. Eight of the 15
- 14 African American jurors were given the graphic script, 53
- 15 percent. Three of the 49 white jurors were given the
- 16 graphic script.
- 17 QUESTION: But might it not be a justification
- 18 there that more black jurors showed hesitancy about the
- 19 death penalty than the white jurors?
- 20 MR. WAXMAN: Well, it might -- it might be, but
- 21 if couldn't be on this record because there were only 15
- 22 black jurors. So out of the whole -- I'm using the entire
- 23 universe of everyone who was questioned.
- Let's then go to their explanation. They say it
- 25 only applied to jurors who expressed hesitation about the

- 1 death penalty. Let's just look at that universe. There
- 2 was 10 of -- there were 10 of each. Okay. That means
- 3 that 7 of the 10 black jurors that they say expressed
- 4 hesitation were given this graphic script, and of the 10
- 5 white jurors that say expressed hesitation, 2 were given
- 6 this. And that to us is evidence of conduct that occurred
- 7 during voir dire that admits of only a racial explanation
- 8 just as their conduct of the jury shuffle, which is a
- 9 ubiquitous and unique Texas practice that exists only in
- 10 the State of Texas.
- 11 And we go through in our brief -- perhaps in as
- 12 not as much detail as -- as would be appropriate but,
- 13 nonetheless, given the page limits -- and show that the
- 14 State on at least three occasions used the -- its
- 15 prerogative to shuffle the jury for the obvious purpose of
- 16 moving African American jurors who were in the front of
- 17 the venire where they would be questioned to the back of
- 18 the venire each week where they would not.
- 19 And here it's important to understand something
- 20 about the procedure in this case. There was a different
- 21 venire called every week. Jury selection extended over 5
- 22 weeks. Each week either 40 or 50 new venire members were
- 23 brought into the courtroom, and they were seated in order.
- 24 And as soon as they sat down, the record reflects, the
- 25 judge asked the State first and then the defense whether

- 1 they wanted to, quote, shuffle the jury.
- 2 QUESTION: What does that mean?
- 3 QUESTION: What does it mean?
- 4 QUESTION: Would you tell us?
- 5 MR. WAXMAN: I will try to tell you and I would
- 6 respectfully refer you, in case I fail, to the Texas Bar
- 7 Journal article that we cited in our reply brief by a
- 8 professor at, I think, the University of Houston which
- 9 explains this practice.
- 10 But in Texas, jurors of course are -- venires
- 11 are required to be chosen randomly, but there has always
- 12 been a practice -- and it's now codified by statute --
- 13 that allows the -- the lawyers in the case -- this is in
- 14 civil and criminal cases -- to look at the venire as it's
- 15 arrayed in order and based on what Judge Holcomb explained
- 16 for the Texas Court of Criminal Appeals, based on, quote,
- 17 visual preference, because they haven't questioned these
- 18 jurors at all, the State and then the defense can say we
- 19 want to reshuffle the order. We don't like the order.
- 20 And it is well understood in Texas that the principal
- 21 reason for doing that is race and gender.
- QUESTION: What are the consequences of, quote,
- 23 reshuffling the order?
- 24 MR. WAXMAN: What happens is you take the -- the
- 25 juror cards and it's either done in the central jury room

- 1 or in the courtroom, and they are shuffled either by the
- 2 clerk shuffling the way you would shuffle a deck or, in
- 3 this case, they were apparently, according to the record,
- 4 put into a metal basket and the lawyer would sort of run
- 5 his hands around and they'd pick them out, and they would
- 6 then be in a new order. And after the State exercised its
- 7 shuffle or declined to exercise its shuffle, the defense
- 8 could choose whether to shuffle or not.
- 9 QUESTION: Are the jurors actually then reseated
- 10 or are they just called in --
- 11 MR. WAXMAN: No. They are reseated so that --
- 12 QUESTION: Everybody gets up and moves and the
- 13 back people get in the front, the front people get in the
- 14 back.
- 15 MR. WAXMAN: Indeed, Indeed, and it can be done
- 16 not once, but twice. And it's particularly critical here
- 17 because what the judge --
- 18 QUESTION: What's the consequence? I --
- 19 MR. WAXMAN: Here's the -- here's the
- 20 consequence. The way the jury was picked here, they
- 21 questioned -- they questioned between 15 and -- generally
- 22 between 15 and 20. One week they got to 30 jurors because
- 23 they were doing individual voir dire. And so if you were
- 24 not in the first 15 out of the 50 or certainly in the
- 25 first 30 out of the 50, you weren't going to be questioned

- 1 at all. In fact, the judge told them at the outset, that
- 2 if they were -- when the order was finally set, if they
- 3 were in the last two rows, they could just go home that
- 4 day and never come back.
- 5 And so the consequence of shuffling in this case
- 6 wasn't the consequence you'd have if you had 50 jurors
- 7 picked -- this is a capital case. We're going to ask all
- 8 of you -- all of you -- questions, and it's just not that
- 9 consequential what order you're in. But here the order
- 10 meant everything. If you could get -- if the -- if the
- 11 prosecution could get the black jurors out of the first 15
- or 20 and into the back, they wouldn't have to worry about
- 13 the disparate questioning or exercising peremptory
- 14 challenges. And the -- the record in this case I think --
- 15 it doesn't involve an admission but it is very, very
- 16 strong evidence.
- 17 I -- I just want to say, before sitting down for
- 18 rebuttal, a point that may be obvious, but it is
- 19 surpassingly important for this Court to decide the merits
- 20 of the Batson challenge. There are many areas in the law
- 21 in which -- in which this Court delineates the contours of
- 22 constitutional doctrine by demonstrating what result a
- 23 given set of facts yields. And so too Batson's abstract
- 24 rules, its doctrines about the burden of proof and the
- 25 quantum of proof, can also only be demonstrated and

- 1 meaningfully elucidated by a model. This is the kind of
- 2 area like voluntariness, ineffective assistance of
- 3 counsel, First Amendment actual malice in which this Court
- 4 can and, I submit, under (d)(2) must model a case for the
- 5 State courts and the lower Federal courts to show what
- 6 quantum of evidence is sufficient to require a conclusion
- 7 that a trial court's acceptance of a prosecutor as
- 8 Batson's avowed reasons are objectively unreasonable.
- 9 And I'll please reserve the balance of my time.
- 10 QUESTION: Very well, Mr. Waxman.
- 11 Ms. Bunn, we'll hear from you.
- 12 ORAL ARGUMENT OF GENA A. BUNN
- ON BEHALF OF THE RESPONDENT
- MS. BUNN: Mr. Chief Justice, and may it please
- 15 the Court:
- Prosecutors in this case exercised their
- 17 peremptory challenges to remove prospective jurors whom
- 18 they believed were biased against the State, a belief
- 19 based not on stereotypical assumptions but on the views
- 20 actually expressed by these jurors during voir dire.
- 21 The trial judge, who observed firsthand the
- 22 entire voir dire process, credited the prosecutors' race-
- 23 neutral, case-related reasons for the strikes. The
- 24 judge's findings of no purposeful discrimination are fully
- 25 supported by the record and they are entitled to deference

- 1 in this Court.
- 2 QUESTION: Suppose you have a case in which 10
- 3 jurors are excused because of their expressed views on the
- 4 death penalty, excused by the State. Five of those jurors
- 5 are white and five of those jurors are black. But with
- 6 the five black jurors, the questioning was qualitatively
- 7 and quantitatively different. It went on much longer with
- 8 much more searching, a different script. What result
- 9 then?
- 10 MS. BUNN: Well, Your Honor, I would say that is
- 11 circumstantial evidence of some kind of disparate
- 12 treatment. If those are the facts, if these jurors had --
- 13 had expressed very similar or equivalent views on the
- 14 death penalty in their juror questionnaires and then were
- 15 treated differently by the prosecutors, that would be
- 16 circumstantial evidence of disparate treatment. It is
- 17 evidence that is not in existence in this case, however.
- 18 First, petitioner's attempts to make comparisons
- 19 with white jurors are not supported by the record. They
- 20 do not have equivalent views, and in any event, to the
- 21 extent that disparate questioning happened, it was
- 22 certainly based on the -- the -- either in the case of the
- 23 graphic script questioning based on the jurors' responses
- 24 to questions on the questionnaires or in the case of the
- 25 minimum punishment question -- questioning on a

- 1 combination of the responses in the questionnaires and the
- 2 responses to questioning in the voir dire. So that would
- 3 be circumstantial evidence, but it is not present in this
- 4 case.
- 5 QUESTION: But may I ask on that -- that
- 6 question that if the different script was based on concern
- 7 about the person's attitude toward the death penalty,
- 8 would it not be true, because the script is -- comes very
- 9 early in the examination, that the doubt about the death
- 10 penalty must either have been shown in the written
- 11 response questionnaire or something said right before that
- 12 script took -- took place?
- 13 MS. BUNN: Yes, Your Honor, that's true and
- 14 that's supported by the record in this case.
- 15 QUESTION: You think it is, yes.
- MS. BUNN: Yes, Your Honor. Every prospective
- 17 juror who was questioned -- with -- with the exception of
- 18 one white juror, every juror questioned in -- with the
- 19 graphic script at the outset of the questioning had
- 20 expressed some level of opposition to the death penalty in
- 21 their juror questionnaire.
- 22 QUESTION: Ms. Bunn, how do you define --
- 23 QUESTION: Now, the one -- may I just follow
- 24 with one question? Now, the one juror who said there were
- 25 four categories of death penalty and he said he was

- 1 somewhere between one and two, would that have been
- 2 sufficient to justify that kind of script?
- 3 MS. BUNN: Well, perhaps following up with it.
- 4 Now, that particular juror -- I believe you're referring
- 5 to Edwin Rand -- had no -- there was no indication on his
- 6 questionnaire of opposition to the death penalty. That's
- 7 why the prosecutor did not lead off with the graphic
- 8 script for Mr. Rand. However, after responses like that
- 9 and then the response of Mr. Rand that he didn't know if
- 10 he could -- maybe today he could impose the death penalty,
- 11 but tomorrow maybe he couldn't, after that kind of
- 12 questioning, then the prosecutor did lead up further along
- in the examination with a semi-graphic script, but
- 14 certainly not at the outset.
- 15 QUESTION: Thank you.
- 16 QUESTION: There is -- I think on your numbers
- 17 there were 10 white venire members and 10 black who
- 18 expressed, on the questionnaire, hesitancy about the death
- 19 penalty. But of those, there were only two of the white
- 20 jurors who got the graphic script and there were I think
- 21 eight of the blacks.
- MS. BUNN: Well, Your Honor, first off, that's
- 23 not -- that's not precisely true according to the record.
- 24 We did list in a footnote 10 white jurors who had, at some
- 25 point, expressed hesitancy about the death penalty.

- 1 However, unfortunately, we do not in a sense have a
- 2 complete record because the juror questionnaires of the
- 3 white jurors are not in the record in this case. Defense
- 4 counsel at trial only presented -- offered into the record
- 5 the juror questionnaires of the African American jurors.
- 6 QUESTION: But you did say that there were that
- 7 number 10 --
- 8 MS. BUNN: Who at some point --
- 9 QUESTION: -- of the whites who expressed
- 10 hesi tancy.
- 11 MS. BUNN: Yes, Justice Ginsburg. Who at some
- 12 point in their examination expressed hesitancy about the
- 13 death penalty. But we don't know of those jurors who had
- 14 expressed hesitation about the death penalty in their
- 15 initial questionnaire. By example --
- 16 QUESTION: Well, which is something you could
- 17 have put in I presume, the State could have put in, if --
- 18 if it had thought it had relevant evidence at that point,
- 19 and it didn't. So don't we have to, in effect, make our
- 20 judgment based on the figures that Justice Ginsburg has
- 21 just mentioned?
- MS. BUNN: Well, Your Honor, the -- for one
- 23 thing, defense counsel never raised a disparate
- 24 questioning argument before the trial judge, and that's
- 25 where the State would have put that -- that evidence in.

- 1 And if -- if defense counsel felt like there were a
- 2 disparate questioning argument, it would have been defense
- 3 counsel, given that he had the burden --
- 4 QUESTION: The graphic script and the minimum
- 5 punishment. That never came up before the trial judge?
- 6 MS. BUNN: The defense counsel did not argue at
- 7 all the issue of -- of disparate questioning. The State
- 8 -- the prosecution brought it up as a factor to consider
- 9 and -- and argued, as we have argued here, that the State
- 10 certainly did use different lines of questioning from
- 11 different jurors based on their views but not on race.
- 12 QUESTION: But if the -- if the prosecution
- 13 brought it up, it's -- I assume it's there for us to get
- 14 into it, and we've got to get into it on the record that
- 15 was made. And if the prosecution didn't make a further
- 16 record on that, it's -- it's, it seems to me, appropriate
- 17 for us to -- to look into the issue on the record that we
- 18 have. And if we do, we come back to Justice Ginsburg's
- 19 numbers.
- 20 MS. BUNN: Well, again, Your Honor, the -- the
- 21 record in this case doesn't support those numbers also
- 22 because we can look to an -- as analogy to the African
- 23 American jurors, several of whom did not express
- 24 hesitation about the death penalty in their initial juror
- 25 questionnaire but who came back later on in their

- 1 examinations and did make comments --
- 2 QUESTION: All right. But those --
- 3 QUESTION: I'm getting confused. I thought the
- 4 numbers we were talking about were your numbers, 10 of
- 5 each.
- 6 MS. BUNN: They were -- they were numbers that
- 7 we set forth in talking about -- in discussing the
- 8 disparate questioning, jurors -- white jurors who had at
- 9 some point expressed hesitancy about the death penalty.
- 10 However, to infer from those numbers that it was that
- 11 universe of jurors who the -- who the prosecutors had to
- 12 consider their juror questionnaires in determining whether
- 13 at the outset to -- to use the graphic script, that is not
- 14 -- that is not how we intended the footnote.
- 15 QUESTION: I see. You mean some of that
- 16 reservation of the death penalty may come later in the --
- in the questioning.
- 18 MS. BUNN: Yes, Your Honor.
- 19 QUESTION: Well, why couldn't the prosecutor
- 20 have then used the graphic script, or it would have been
- 21 too late?
- 22 MS. BUNN: Well, in some instances the
- 23 prosecutor did both with African American and white
- 24 jurors, jurors who initially in their juror questionnaires
- 25 did not indicate any opposition to the death penalty, but

- 1 into their question -- into their voir dire examination
- 2 did, and there are instances where the prosecutor did go
- 3 into the graphic script later on in the examination. But
- 4 that is not what the petitioner is relying on here. He --
- 5 QUESTION: Why --
- 6 QUESTION: Isn't the fact that we are having
- 7 this colloquy with you about the significance of the
- 8 numbers a pretty good argument for the proposition that at
- 9 least reasonable jurists might disagree about the
- 10 significance of it, and therefore there should have been a
- 11 -- a certificate of appeal issued here?
- 12 MS. BUNN: Well, Your Honor, given the level of
- deference that the trial judge's findings were entitled to
- 14 in this case, we do not think that it -- it presents that
- 15 kind of case regardless of the --
- QUESTION: Well, it seems to me your argument on
- 17 -- I'm not talking now about the -- the ultimate
- 18 resolution of it which Mr. Waxman addressed. I'm just
- 19 addressing the -- kind of the threshold question of
- 20 whether there should have been a COA here. And it sounds
- 21 to me as though your argument is saying that unless the
- 22 defense has got just a slam dunk Batson argument at the
- 23 end of the day, there shouldn't be a COA, which -- which
- 24 perhaps reflects what the -- the court below was doing
- 25 when it seemed to -- to say that there wasn't going to be

- 1 a COA because at the end of the day, the -- the Batson
- 2 claim was not meritorious.
- 3 MS. BUNN: Well, Your Honor, it is our position
- 4 that the court -- the court below correctly determined
- 5 that no COA should issue in this case given the multiple
- 6 levels of deference that the trial judge's findings were
- 7 entitled to, not only the Hernandez standard --
- 8 QUESTION: But isn't -- isn't the degree of
- 9 deference and the significance of that degree of deference
- 10 with respect to specific claims something that is worthy
- of being determined in the appellate process rather than
- 12 something that ought to be determined at the threshold
- 13 before the appellate argument has even been made?
- MS. BUNN: Petitioner has -- has never argued
- 15 that he was not able to make any level of detailed
- 16 argument in this case in the court below.
- 17 QUESTION: Well, regardless of what the
- 18 petitioner may have said, what's the answer to my
- 19 question? I mean, aren't you -- aren't we making out a
- 20 pretty good case again by our colloquy here for the fact
- 21 that there was something for the court of appeals to
- 22 consider here on the -- on the -- the reasonable
- 23 disagreement among jurists standard?
- MS. BUNN: If the issue were looked at de novo,
- 25 the issue alone of Batson, then yes, it presents

- 1 compelling evidence both ways. However, in -- viewed to
- 2 the scheme of 2254(d), it is, as you referred to, a slam
- 3 dunk case. And petitioner did not meet the COA burden,
- 4 and that is -- our position is that the court -- court
- 5 below correctly denied COA given the deference entitled --
- 6 that -- that the trial judge's findings were entitled to
- 7 in this case.
- 8 And getting back to the trial judge's findings,
- 9 just a few things that we disagree with the petitioner
- 10 about in characterizing the trial judge's handling of this
- 11 case.
- 12 First, the fact that technically the Batson
- 13 hearing was conducted 2 years after the trial, that is
- 14 true. However, when you look at the record of voir dire
- in this case, though it was pre-Batson, when defense
- 16 counsel raised an objection to the strike of an African
- 17 American juror, the prosecution came forward with his
- 18 race-neutral reasons immediately, contemporaneous --
- 19 QUESTION: But that's not true in every case.
- 20 MS. BUNN: Every case where defense counsel
- 21 objected to the strike of that particular juror. And that
- 22 is true with every juror challenged here with the
- 23 exception of Mr. Joe Warren.
- 24 QUESTION: Well, for example, the -- Joe
- 25 Warren's case was most interesting to me because the

- 1 prosecutor just exercised the peremptory without any
- 2 explanation whatsoever. And then the judge made quite a
- 3 speech to the juror about how he had been a very fine
- 4 juror, and that was sort of the end of it. And then later
- 5 on, we find out that he was -- he was not a very fine
- 6 juror.
- 7 MS. BUNN: Again, Your Honor, the -- the trial
- 8 judge -- the trial judge's comments to the juror don't --
- 9 certainly don't vitiate the prosecutor's reasons for
- 10 striking him. But nonetheless, defense counsel --
- 11 QUESTION: The prosecutor did not give a reason
- 12 for striking that juror.
- 13 MS. BUNN: Defense counsel didn't object to the
- 14 striking -- the prosecutor's striking of that juror.
- 15 Certainly there was nothing even --
- 16 QUESTION: Well, as I understand it, the defense
- 17 counsel had a kind of a running objection and made clear
- 18 in each record when a black juror was stricken. The
- 19 record -- he made it -- that be made part of the record.
- 20 But he didn't object on a juror-by-juror basis. He didn't
- 21 have any standing to do that.
- 22 MS. BUNN: He objected --
- 23 QUESTION: Because this was a Swain hearing
- 24 rather than a Batson hearing at the time.
- 25 MS. BUNN: That is true. But he did in this

- 1 case -- defense counsel did in this case object to the
- 2 striking of every single African American juror with the
- 3 exception of Joe Warren and Paul Bailey. So he was not
- 4 using a running objection kind of conduct. He -- he
- 5 specifically objected to 8 of the 10 African American
- 6 jurors struck. And for whatever reason, he did not object
- 7 to the prosecutor striking Joe Warren. While this doesn't
- 8 give rise to a procedural default, it could, in fact, be
- 9 an indication that defense counsel thought there were
- 10 legitimate reasons for the prosecutor's strike of Joe
- 11 Warren.
- 12 QUESTION: You were -- you were on the -- the
- 13 theme of saying that the hearing was conducted properly 2
- 14 years later, and I don't want to get you off of -- of
- 15 that. But at -- at some point just answer this question,
- 16 and maybe it's consistent with the two -- the hearing
- 17 you're about to describe.
- Mr. Waxman told us -- and -- and he's fair about
- 19 these things -- that -- that you've walked away from
- 20 number 6, that there was no disparate prosecutorial
- 21 examination. But you started your argument by -- by
- 22 indicating that the answers were -- were sufficient to --
- 23 to support that. Are you saying that there was disparate
- 24 examination but that it was justified?
- 25 MS. BUNN: Yes, Your Honor, that's what we're

- 1 saying, and that is --
- 2 QUESTION: Because of the answers that they gave
- 3 on the questionnaire and -- and answers that they gave
- 4 just before the script was used.
- 5 MS. BUNN: Yes, Your Honor. It's the State's
- 6 position now --
- 7 QUESTION: So you would say then that you
- 8 haven't walked away from finding number 6?
- 9 MS. BUNN: No, Your Honor, we have not. It --
- 10 it's the State's position now. It was the State's
- 11 position at the time of the Batson hearing that any
- 12 disparate questioning was a legitimate means to deal with
- 13 jurors who had expressed different views on different
- 14 issues but was not based on race. And that is consistent
- 15 with the trial judge's finding.
- 16 QUESTION: So you think that the trial judge,
- 17 therefore, considered the issue of disparate questioning.
- 18 MS. BUNN: Yes, Your Honor.
- 19 QUESTION: All right. Now, then the question
- 20 is, if they considered it, did he reach a reasonable
- 21 conclusion?
- 22 What about Justice Ginsburg's mentioning there
- 23 were at least eight white jurors who also expressed
- 24 reservations who were not given this graphic description
- 25 of what the death penalty involves, but instead were just

- 1 asked could you find against a person if that meant the
- 2 death penalty? What about those eight people?
- 3 MS. BUNN: Well, again, disparate questioning,
- 4 like many other pieces of evidence that petitioner is
- 5 relying on in this case, are circumstantial evidence of --
- 6 of discriminatory motive or can be in a given case.
- 7 Assuming that there -- that there was some level of -- of
- 8 disparateness, even within those jurors who had expressed
- 9 views about the death penalty --
- 10 QUESTION: No. The particular thing is what she
- 11 asked and you say right now, I think correctly, that the
- 12 trial judge did consider disparate questioning. You also
- 13 agree that I guess it was -- the number was approximately
- 14 8 of the 11 black jurors who expressed doubts about the
- death penalty were asked this very graphic question, could
- 16 you find a person guilty and give him the death penalty
- 17 where that meant taking him in the gurney, et cetera.
- 18 Only two white jurors were asked that question. Yet, I
- 19 take it that you concede that there were at least eight
- 20 other white jurors who also expressed doubts about the
- 21 death penalty who were not asked that question.
- 22 MS. BUNN: Well, I do not concede that to the
- 23 extent that it is identifying those jurors as being jurors
- 24 who expressed doubts about the death penalty in their
- 25 juror questionnaires, and that is the information that the

- 1 prosecutor had to go by.
- 2 QUESTION: All right. So you say there weren't
- 3 eight such people. Fine.
- 4 MS. BUNN: Yes.
- 5 QUESTION: Okay. That's your answer.
- 6 MS. BUNN: Yes, Your Honor.
- 7 QUESTION: And I have another question which I
- 8 think is to me more important, which is that these
- 9 ambiguous answers, a difficult question about what the
- 10 motive was of the prosecutor in peremptorily striking when
- 11 he has a legitimate reason, to decide whether it's
- 12 legitimate or not, I would have thought history, in terms
- 13 of how the county has behaved in general, would be highly
- 14 relevant to characterize and decide a difficult question
- 15 like that.
- But on page 911 of this record, the magistrate
- 17 makes pretty clear that he thought history was not
- 18 relevant in reviewing that third part of Batson, reviewing
- 19 what the State did.
- 20 And the State court judge himself said on page
- 21 844 I guess whether or not I will give it -- I mean such
- 22 evidence -- any weight is another question, and never
- 23 referred to it again.
- So in respect to that, what is your view?
- 25 MS. BUNN: The State's view is that while the

- 1 Federal magistrate -- first I'll address the Federal
- 2 magistrate's handling of the issue. It is the State's
- 3 position that the Federal magistrate did err in its
- 4 elucidation of the standard of -- its holding basically
- 5 that historical evidence is irrelevant at Batson's third
- 6 step. However, certainly an error by a Federal magistrate
- 7 does not entitle a petitioner to habeas corpus relief.
- 8 And -- and any error as well was cured when the Fifth
- 9 Circuit considered independently all of the evidence that
- 10 petitioner had presented in his petition.
- 11 Moving on to the trial judge, however, there is
- 12 nothing in the record --
- 13 QUESTION: Well, but -- but why -- why isn't
- 14 this -- you're candid to say that you may have erred on
- 15 this one regard, but why -- why isn't this a -- a very
- 16 significant fact to which we must give great weight,
- 17 especially you indicated the evidence was circumstantial.
- 18 A little bit odd for a prosecutor to -- to say that
- 19 circumstantial evidence isn't important. This is all we
- 20 have in -- in these cases when we're trying to infer
- 21 motive, and best evidence is often circumstantial. And
- 22 why isn't the historical evidence here overwhelming
- 23 circumstantial evidence and good evidence?
- 24 MS. BUNN: Justice Kennedy, it is evidence. It
- 25 is circumstantial evidence. But the trial judge's inquiry

- 1 and certainly an appellate court's inquiry must begin,
- 2 must key in on the reasons that the prosecutors struck
- 3 these jurors and the record in this case. And in this
- 4 case, prosecutors struck prospective jurors for
- 5 legitimate, case-related, race-neutral reasons. That's
- 6 where the trial judge's analysis began and ended, and it
- 7 overruled the State's objections to the pattern and
- 8 practice evidence on relevancy grounds.
- 9 QUESTION: Ms. Bunn, if -- if it begins and ends
- 10 with the prosecutor's neutral reasons, then you would
- 11 never have a successful Batson challenge because the
- 12 prosecutor could always give a neutral reason. And the
- 13 question that is troubling me is what in the end did the
- 14 court consider and look -- and the -- and the State trial
- 15 court said, well, he would take that so-called Swain
- 16 evidence for what it was worth. He never told us. He
- 17 never said how he resolved the question. And the -- the
- 18 fact finding seemed to be going at these jurors, the black
- 19 jurors, against whom peremptories were exercised, one by
- 20 one and saying as to each one, there was a neutral reason
- 21 given and that's it. We don't know how anything else
- 22 figured in this package. We don't know whether the judge
- 23 said, well, I'll take it -- take the Swain evidence for
- 24 what it's worth -- did he say he thought it was worthless?
- 25 He doesn't tell us. We don't -- we just can't tell from

- 1 this record what went on at that stage three.
- 2 MS. BUNN: Well, respectfully I disagree. The
- 3 trial judge found the prosecutor's reasons to be credible,
- 4 and in making that credibility determination and having
- 5 overruled the State's objections to this pattern and
- 6 practice evidence and stating candidly that he didn't know
- 7 what weight he was going to give it, given that while it
- 8 is circumstantial evidence, he's got a -- he's got a
- 9 record here where the prosecutor's reasons are supported.
- 10 QUESTION: He --
- 11 QUESTION: Well, but the credibility finding,
- 12 which is number 2, doesn't prevent us and -- and really
- 13 shouldn't prevent a court from determining whether that
- 14 credibility finding has a foundation. And if you have
- 15 this very persuasive historical evidence, the different
- 16 script, that all bears on whether the credibility finding
- 17 can -- can withstand scrutiny. You can't just say, well,
- 18 he concluded credibility and that's always for the trial
- 19 judge and then walk away or, as Justice Ginsburg said,
- 20 you'll never have a Batson challenge.
- 21 MS. BUNN: We are not --
- 22 QUESTION: Absent a finding that the -- the
- 23 prosecutor is dissembling based on demeanor.
- 24 MS. BUNN: We are certainly not arguing that a
- 25 -- a Batson finding from a trial judge is completely

- 1 insulated from appellate review. However, in a case such
- 2 as this where the reasons credited by the trial judge are
- 3 supported by the record, there -- there is no basis to
- 4 overrule the trial judge's credibility determination.
- 5 QUESTION: Well, but that's not true as to
- 6 finding 6, because he didn't find there was no -- there
- 7 was justification for disparate questioning. He found
- 8 there was no disparate questioning. And the record is
- 9 perfectly clear that there was disparate questioning.
- 10 MS. BUNN: However, in the context of the Batson
- 11 hearing that he was resolving, that finding is reasonably
- 12 understood as a finding that there was no disparate
- 13 questioning based on race.
- 14 QUESTION: But if read literally, it's -- if
- 15 read literally, it's clearly incorrect.
- And of course, as to the findings on
- 17 credibility, there's no finding as to any particular
- 18 juror. He just made a -- a gross finding that everybody
- 19 -- I believe everything the prosecutor said. Basically
- 20 that's what he found.
- 21 MS. BUNN: The finding regarding disparate
- 22 questioning I believe is phrased as disparate questioning
- 23 of the challenged jurors. So given that, I would say
- 24 that, yes, that supports the interpretation certainly that
- 25 it was made within the context of the Batson challenge and

- 1 that the finding was limited to based on race. There was
- 2 no disparate questioning based on race.
- 3 QUESTION: May -- may I ask one question about
- 4 the procedure? Were the juror information cards that have
- 5 the notations about sex and -- and race noted on it --
- 6 when do you think those notations were made?
- 7 MS. BUNN: I would --
- 8 QUESTION: Because I find it significant there
- 9 are no notations or very few as to attitude toward the
- 10 death penalty, which is your -- your key to everything.
- 11 There doesn't seem to be any card on which the prosecutor
- 12 said soft on death or hard on death or anything like that.
- 13 MS. BUNN: I believe that those sorts of
- 14 notations were made on the juror questionnaires rather
- 15 than the juror information cards. And I -- I would agree
- 16 with Mr. Waxman that the record does indicate that those
- 17 notations were made toward the beginning of the process,
- 18 prior to any individual questioning.
- 19 QUESTION: So that it would be fair to infer
- 20 that the prosecutor on each juror noted race and sex, but
- 21 did not note attitude toward death penalty.
- 22 MS. BUNN: Not on the juror -- the juror
- 23 information cards. That sort of --
- 24 QUESTION: Which -- which were cards prepared
- 25 before the voir dire examination began.

- 1 MS. BUNN: That -- that was all they had to go
- 2 on during the preliminary phases. When they received the
- 3 -- after the -- the panel was finally seated, when the
- 4 jurors were given the questionnaires, then that's what the
- 5 parties worked from in making more notes in determining
- 6 which to challenge for cause and which to strike.
- 7 So, again, what can be inferred from that I -- I
- 8 think is -- really, it's -- it's -- there's just not a
- 9 whole lot --
- 10 QUESTION: Well, if --
- 11 QUESTION: Well, one thing that's clear is they
- 12 did note the race of every juror before they questioned
- 13 them. That much is clear.
- 14 MS. BUNN: Yes, Your Honor.
- 15 QUESTION: All right. So we have -- in essence,
- 16 we still have a group of three busy judges who are
- 17 reviewing a magistrate reviewing a record. The record is
- 18 controversial at best. The magistrate uses the wrong
- 19 standard concededly. And they don't even issue a
- 20 certificate of appealability. Well, shouldn't they at
- 21 least have done that?
- 22 MS. BUNN: Well, Your Honor, again it is our
- 23 position that on this record a certificate of
- 24 appeal ability was not warranted. Again, given the
- 25 multiple levels of deference, the trial judge's clear

- 1 findings in this case, and the fact that they are
- 2 supported by the record. And again --
- 3 QUESTION: But there's some absences in the
- 4 finding, and let me go back to the -- the judge saying,
- 5 I'll reserve the question whether to give that pattern and
- 6 practice evidence any weight. And then we haven't got a
- 7 clue how he resolved that question.
- 8 MS. BUNN: Well, again --
- 9 QUESTION: Or if he ever even came back to it.
- 10 MS. BUNN: Yes, Your Honor. However, it was
- 11 clearly before the trial judge. The -- there's nothing in
- 12 the record to indicate that the trial judge did not
- 13 consider the evidence, nothing besides the mere absence --
- 14 QUESTION: But he -- he said he was going to
- decide whether he was going to give it any weight. Maybe
- 16 he made a decision that he shouldn't give it any weight,
- 17 and maybe that was wrong or right. But we just don't
- 18 know.
- 19 MS. BUNN: Perhaps he did, but as the fact
- 20 finder, that was his prerogative, and the -- the record in
- 21 this case -- again, there's nothing to indicate that he
- 22 didn't consider the evidence. It was before him and his
- 23 findings, however, properly do focus on the record in this
- 24 case, the reasons that the prosecutor came forward with,
- 25 and were credited by the trial judge. And again, the --

- 1 the record in this case clearly supports those -- those
- 2 findings.
- 3 And these jurors were jurors who the State --
- 4 both -- both white jurors and African American jurors who
- 5 the State struck were jurors who had expressed views that
- 6 the State was uncomfortable with.
- 7 And again, to get a feel for what the
- 8 prosecutor's job was in this case, they -- they looked at
- 9 ultimately 108 jurors, individually questioned 65 jurors,
- 10 and the prosecutor had to view that -- to view those
- 11 jurors as not even just looking at do you believe in the
- 12 death penalty, yes or no, but where does this particular
- 13 juror fall in the spectrum. How likely are they to be
- 14 able to consider the full range of punishment in a capital
- 15 murder case? That was what the prosecutor was charged
- 16 with in this case.
- 17 And if you look at the record in this case, it
- 18 supports the trial -- the prosecutor's reasons for the
- 19 legitimate strikes in this case. They were supported by
- 20 the record. They were case-related. And they were simply
- 21 not based on race. There is ample support for the trial
- 22 judge's findings and simply no basis to -- to overrule
- those findings.
- 24 Unless there are any more questions.
- QUESTION: Thank you, Ms. Bunn.

1	Mr. Waxman, you have 2 minutes remaining.
2	REBUTTAL ARGUMENT OF SETH P. WAXMAN
3	ON BEHALF OF THE PETITIONER
4	MR. WAXMAN: Thank you. I just have six short
5	rebuttal points to correct the record.
6	The while the graphic script was given at the
7	beginning of the voir dire and therefore based only on the
8	questionnaires, the minimum punishment ploy that is,
9	trying to trick jurors about minimum punishment was
10	done at the very end, after they had all of the evidence.
11	And therefore, the relevant universe of whites who
12	expressed hesitation is not 10, as the State says, but 19.
13	And in any event, even with 10, they are three-and-a-half
14	times as likely.
15	With also, we did the trial the defense
16	lawyers in this case objected over and over again
17	to trying to trick these black jurors by asking them what
18	minimum punishment they would give without expressing the
19	number. The voir dire is replete with this.
20	With respect to the graphic script, which did
21	come at the beginning, well, the State now says, well, we
22	don't have the questionnaires for all the people, so we
23	don't really know how many there were. We know that we
24	used the graphic script with three of them. Okay. The
25	three that they used that they identified are jurors 27,

1 59, and 68. Two were excused by agreement, and one, 2 number 68, was seated. 3 But we do know what the juror questionnaires 4 were -- I'm just doing a -- sort of a quick list on the 5 back of my note card here -- for Mr. Vickery, Ms. Mazza, 6 Mr. Gutierrez, Mr. Hearn, and Mr. Duke. Mazza, who is --7 who features prominently here and who is not included in 8 their 10, said in her questionnaire, it depends on the 9 crime. 10 Thank you, Mr. --QUESTI ON: 11 MR. WAXMAN: It is that --12 CHIEF JUSTICE REHNQUIST: Thank you, Mr. Waxman. 13 The case is submitted. 14 (Whereupon, at 12:01 p.m., the case in the 15 above-entitled matter was submitted.) 16 17 18 19 20 21 22 23 24 25