Joint CONSER/BIBCO Operations Meeting May 1-2, 2008

Rebecca Mugridge welcomed representatives and announced new chairs for PCC committees. Lori Robare (University of Oregon), will be new chair of the Continuing Education Training Materials Committee (CETM), Rebecca Uhl (Arizona State University) will become the new Standing Committee on Training chair in Sept. 2008. The report *Recommendations of the PCC Ad Hoc Series Review Task Force* has been passed on to Standing Committee on Standards and the Standing Committee on Automation for input. An IFLA poster session on PCC is planned for the August IFLA meeting in Canada. The PCC *Strategic and Tactical Plan* for 2006-2010 is nearly in place. Additional/updated information on particular tasks mentioned in the plan is needed to complete it.

Action: Tasks related to PCC *Strategic and Tactical Plan* will be updated/completed.
        Who: PCC Steering Committee members
        When: Before the end of June.

OCLC Report

PowerPoint for OCLC update http://www.loc.gov/acq/conser/OCLCupdate2008.ppt
[PPT 156 KB / 13 slides]

Excess 029 fields in records

Discussion: These fields currently are not being used as a way to facilitate replacement of vendor records.

Decision: If excess 029 fields cause a problem on the national record, contact Robert Bremer or Brenda Block for removal.

Parallel language records

Discussion: OCLC instructions for these records are still "interim," final plans for parallel language record structure in WorldCat are not yet in place. Suggestions for an interim enhancement included the idea of adding a search filter to specify the language of cataloging in results. Could this enhancement please be added sooner rather than later if possible?

Action: PCC concerns and ideas will be shared with other OCLC staff.
        Who: Cynthia and Robert
        When: May 2008

Action: The "vendor exception" in OCLC instructions stating that libraries do not need to consider non-English vendor records as parallel language records will be changed. The new policy will be that a vendor record cataloged in a language other than English will be considered a parallel record that should not be changed to English.

> Who: OCLC staff updating instructions in the OCLC document: *Bibliographic Standards and Formats*
> When: Within calendar year 2008.

Decision: If a record is mistakenly coded for a language other than English, but the descriptive cataloging (consider descriptive only for this decision, subject headings may be in another language) the record may be changed to English

Search Result Display Tip: The language of cataloging can be displayed in a list of Connexion search results by right clicking anywhere on the list, selecting "List Settings" and selecting "CatL." The order and size of column displays in a list can be changed by using the list settings menu or by moving and resizing columns with the mouse. This is helpful in easily identifying the language of cataloging in a list of search results.

Standing committee roles

Discussion: The charges of the SCA and the SCS should have a clear focus, like that of the SCT charge. The standing committees should work more closely with vendors to develop record requirements and learn how to take advantage of vendor produced data. There have been efforts at working with vendors, see PCC vendor resource page: http://www.loc.gov/catdir/pcc/vendors.html, but efforts should be expanded.

Action: The SCA and SCS will work to clarify their charges.
> Who: Chairs of the SCA, SCS and their members
> When: Calendar year 2008

Action: SCA and SCS will include vendor involvement ideas in their charges.
> Who: Chairs of the SCA, SCS and their members
> When: Calendar year 2008 and beyond

Action: PCC Steering Committee should discuss the idea of a vendor/PCC communication at a future forum at ALA or other venue.
> Who: PCC Steering Committee
> When: June 2008

Update on RDA

The operations representatives were given an update on the April 2008 meeting of the Joint Steering Committee for Development of RDA (JSC) by Barbara Tillett, LC representative to JSC. Many of meeting outcomes reported by Dr. Tillett are posted on the JSC Web site: http://www.collectionscanada.gc.ca/jsc/0804out.html. Constituent review is will be from August 2008 to October 2008 with an early 2009 release date is still on target. The final online product will include "workflows" that provide simple instructions to walk a cataloger through creating bibliographic and authority records. It will probably be possible for groups such as the PCC to specify guidelines for applying

RDA and share this within the online product, but more will be known about this capability as the product nears completion.

Aspects of the CONSER standard record (CSR) and how they might relate to RDA were discussed at the JSC meeting:

CSR policy not to name the work in some situations is not in itself a violation of RDA—one could convey the primary relationship using a resource identifier. CSR policy on translations and language editions would not be in violation of RDA since the preferred name could be given as an added entry rather than as uniform title. The JSC affirmed that the other collocating uniform titles required by the CSR are in line with RDA. 2.18.4 of RDA will be expanded to accommodate giving number in notes (in CSR usage, this means unformatted 362 fields.)

Aggregator Neutral Record for Online Monographs

Discussion: An increasing number of monographs are being digitized and distributed online by multiple providers, now is a good time to develop provider neutral record guidelines and consolidate multiple records. The distinction between reproduction and simultaneous versions as used in CONSER cataloging is based on making a decision about which is the primary format. This is no longer such an important distinction in an environment where the first available and primary format is electronic. Distinctions of more importance include differences between products of digital preservation projects and products of commercial digitization. There was discussion of record sets and how the provider neutral record would be used locally. As guidelines for record consolidation are developed, there needs to be an awareness of records for local digitization projects, these may have elements that need to stay on the record. There will be a need to consider what type if any URLs are retained on the national level record.

Action: BIBCO members will form a group to determine requirements, timeline, and steps for implementing a policy for provider neutral record for online monographs.
       Who: Carolyn Sturtevant, Becky Culbertson (UCSD), George Prager (NYU Law)
       When: Summer 2008?

Action: Action: OCLC will reference provider neutral guidelines for monographs in Bibliographic Standards and Formats after the requirements and timeline have been completed.
       Who: OCLC staff updating instructions in the OCLC document:
       Bibliographic Standards and Formats
       When: After the provider neutral guidelines and timeline are issued

Question: [This question was raised after the meeting] How does the OCLC eContent Synchronization project fit in or conflict with a provider neutral approach for electronic monographs?

Action: Establish a communication channel between the eContent Synchronization project, the Registry of Digital Masters Working Group and the PCC Task Group on Provider Neutral Monograph Records.

> Who: PCC Steering Committee/Secretariat
> When: June-July 2008

[Since the meeting the charge for a PCC provider neutral record group has been written and its been proposed that Susan Westberg (OCLC) and Robert Bremer (OCLC) be a part of the group. Susan Westberg is a member of the Registry of Digital Masters Working Group and will be involved with the production phase of eContent Synchronization.]

Integrating Resources Training Manual revision

Discussion based on the three issues described in the document:
http://www.loc.gov/acq/conser/IR-discussion.pdf [PDF 31 KB / 2p.]

Issue 1: Provide advice on using one record versus creating separate records for language versions.

Discussion: Generally it may be preferable to create one record for the resource and note different language interfaces available. Currently, the advice in the manual takes the approach of creating one record (usually the language of the institution is chosen as the source of the record) and providing notes and added entries for titles in other languages. There are different degrees of how much of the same content is in separate, simultaneous languages as opposed to the same content in one language delivered through search interfaces in different languages. In some cases separate ISSN are assigned to separate language versions by various ISSN centers, but there is not yet a clear rule of thumb on how the decision to assign separate ISSN is made. If separate ISSN are associated with different language versions or interfaces, PCC institutions could choose to create one record for the site using one particular language interface as the source of description and record separate language version titles and ISSN in the 775 field (repeated 022 fields for separate ISSN would be rejected if the record were processed by the ISSN Network).

Action: The group editing the integrating resources manual will discuss a general preference for selecting one record for the description noting other titles as added entries and update the manual based on its discussion.

> Who: PCC-IR editing group
> When: For next revision, tentatively fall 2008

Action: The editing group will consider this advice: When separate ISSN for other language versions are found, the title and ISSN for can be given in the 775 and the language recorded in subfield e.

> Who: PCC-IR editing group
> When: For next revision, tentatively fall 2008

Issue 2: Is it clear what types of integrating resources we are applying the aggregator-neutral approach to?

Discussion: For the provider neutral approach in serials, the provider is always cited under the assumption that at some point any online serial might be distributed by multiple providers. For many of these the source is "publisher web site" if there is only one source at the time of cataloging. It doesn't seem reasonable to make that same assumption for online integrating resources, the decision to include provider information will be determined by whether or not an online integrating resource is actually distributed by multiple providers at the time of cataloging.

Action: Examples and instructions in *Integrating Resources: A Cataloging Manual* will be updated to reflect the fact that only online integrating resources with multiple providers will contain a provider source.
     Who: PCC-IR editing group
     When: For next revision, tentatively fall 2008

Issue 3: What advice on non functioning URLs should be given in the integrating resources manual? LC practice is documented in LCRI 9.7 Options are also mentioned in CCM Module 31.15.4, and OCLC's advice is provided at: http://www.oclc.org/support/documentation/worldcat/cataloging/electronicresources/

Action: Agree on some reasonable guidelines for handling different cases of URLs that don't work and update the manual.
     Who: PCC-IR editing group
     When: For next revision, tentatively fall 2008

Action: From the meeting discussion it appeared that CONSER PURL participants have questions to resolve with documentation for the PURL service. They will be asked to take a look at their documentation and provide updates if needed.
     Who: CONSER PURL participants (through their email list)
     When: May-June 2008

CONSER Operations meeting Thursday May 1, 2008

CONSER standard record (CSR) discussion

Fifteen action items were identified from the CSR discussion, these are being maintained in a separate document available from:
http://www.loc.gov/acq/conser/actions-decisions-CSR-2008.pdf. [PDF 42 KB / 2p]These involve updating the existing CSR guidelines with simple updates, clarifications, and examples reflecting new decisions. There is also general agreement that CONSER wiki space should be used to provide more detailed examples for particular situations, show updated CEG and CCM pages related to the CSR and provide a workspace for members to contribute additional ideas. The other major area of action involved developing MARBI proposals for existing or new MARC fields. The CSR Monitoring Group will discuss editorial changes needed for the documentation. A CSR MARBI proposal group

will be put in place to handle MARBI proposals. In the future, CONSER agreed that the entire membership should evaluate questions and problems associated with the CSR.

Code "pcc" for CONSER records.

Discussion: The code can be used in CONSER serial records now when code 008/39 is coded "c." Some authentication codes such as "nlc" "nsdp" and "isds/c" and "msc" will continue to be needed for CONSER authentications as they have been in the past. These codes can continue to be used independently (not in addition to) code "pcc" in CONSER serial records. There was a question about the future use of "lccopycat" in CONSER serial records, staff training at LC and other factors may obviate the need for its continued use by LC.

Decision: CONSER should begin using code "pcc" and 008/39 code "c" as soon as possible on all new records, but please wait until we announce it as an official change in practice. Please do not bother changing codes in existing records, OCLC can do this as a special project at some point in the future.

Action: Consult with LC Serials Cataloging Management about the need for continued use of "lccopycat"
> Who: Les
> When: May-June 2008

Action: CONSER members will begin using pcc after consultation with CDS (for subscriber notice if needed) Les will notify members when to begin
> Who: Les, John Levy, Dave Reser
> When: May-June 2008

Friday May 2nd Joint Meeting

LC Response to the Report of the Library of Congress Working Group on the Future of Bibliographic Control

Beacher Wiggins provided an update on LC's response to *On the Record: Report of The Library of Congress Working Group on the Future of Bibliographic Control* http://www.loc.gov/bibliographic-future/news/. Three internal LC groups were convened to provide responses to the report. The groups are identifying what is currently being done by LC and what still needs to be done in response to working group recommendations. Recommendations on RDA development and testing resulted in the *Joint Statement of the Library of Congress, the National Library of Medicine, and the National Agricultural Library on Resource Description and Access* available from http://www.loc.gov/bibliographic-future/news/ The statement describes agreement between the libraries to jointly develop milestones and testing for implementation of RDA. The PCC leadership will begin considering how its membership will be involved in this process.

Action: PCC leadership will begin considering involvement in testing phase of RDA: Agenda item for the in June 2008
      Who: PCC Steering Committee will add to its next meeting agenda
      When: June 2008, ALA Annual

LC Acquisitions and Bibliographic Access Division Reorganization

Beacher described the new organizational structure including combining of cooperative cataloging with the instructional development team, splitting CDS functions into policy and standards services that are freely shared with the public and those services generate a fee for cost recovery in a business enterprises division. Reorganization of cataloging divisions is intended to move staff as needed to keep cataloging processes and materials moving. 2008 and parts of 2009 will be transition years, there may be some noticeable slow down in production during that time.

Update on adding non-Latin references to name authorities (David Reser)

Dave Reser (LC) provided an update on a project to add non-Latin script references to records in the name authority file. The name authority file will be "pre-populated" with non-Latin forms of corporate names as references. OCLC will derive the references from non-Latin forms of names found on bibliographic records and will add them to at least 400,000 name authority records. The project will begin no earlier than June 1, 2008 and will provide for a six month evaluation period.

Action: PCC members were asked to observe the results of the pre-population project this summer and consider the policy and practice questions that are raised in the *White Paper: Issues Related to Non-Latin Characters in Name Authority Records* http://www.loc.gov/catdir/cpso/nonlatin_whitepaper.html.
      When: June-Dec. 2008
      Who: PCC NACO contributors and users

PCC guidelines on adding nonroman data to bibliographic records (Joan Schuitema)

The SCS was charged to review and revise: Guidelines for Multiple Character Sets http://www.loc.gov/catdir/pcc/bibco/coreintro.html#9 (BIBCO core level cataloging supplement) by the ALCTS Task Force on Non-English Access. SCS member Peter Fletcher is doing some background work by gathering existing PCC related documents on multiple character sets for bibliographic records. The next step is to set up a task group to work out inconsistencies among these guidelines and recommend a structure for presenting this documentation (one centralized PCC document? several documents by language?, etc.).

      Action: Develop a charge and seek PCC Steering Committee approval for a joint CONSER/BIBCO task group to work under the auspices of SCS
      Who: Joan, Les, Carolyn

When: June 2008 (in time for discussion at the steering committee meeting June 26)

Update of CEG, Appendix O: Nonroman script for CJK serials (John Levy)

John oversaw the revision of the *CONSER Editing Guide, Appendix O, Creating Records with Data in Non-Roman Script for CJK Serials* http://www.loc.gov/acq/conser/pdf/ceg/AppendixO.2008.pdf [PDF 469 KB / 11 p.]and emphasized that the guide is for coding bibliographic records only, it is not intended as guide to cataloging these types of nonroman script material.

LC Serial Record Division staff have begun adding nonroman data to Cyrillic language records. An appendix with guidelines for adding Cyrillic nonroman data is being developed by LC staff led by LC cataloger Kali Collins. Work is also needed on providing guidance for Persian, Hebrew and Yiddish for addition to Appendix E.

Action: PCC members interested in helping work on guidelines for Persian, Hebrew and Yiddish should let Les know of their interest.

Friday CONSER only meeting

ISSN Report (Regina Reynolds)

Announcement: Any institutions needing help with using the ISSN online request form should please contact Regina about using the form, resetting the password, etc. When updating NSDP prepub records according to CEG C6 please use the online form to report to NSDP. This will assure that the ISSN authentication is completed as quickly as possible.

Discussion: The ISSN Network and NSDP are developing guidelines for what types of integrating resources are in scope for ISSN assignment. Possible criteria include a focus on making assignments to online versions of print publications that become integrating resources on the Web.

The Linking ISSN is intended to collocate media versions rather than entire families of related ISSN. Automated population of the ISSN database with Linking ISSN will be based on the ISSN with the lowest number. Prospective assignment by ISSN Centers as they fulfill publisher requests will be based on a different formula using the first assigned ISSN whether it represents the print, online, CD-ROM, etc. In the end ISSN clusters of 776 fields will share one Linking ISSN.

The ISSN Network will communicate with vendors, knowledge base producers, and other implementers about the Linking ISSN at ALA Annual. The Network is continuing talks with OCLC about the need to use authoritative ISSN data for the xISSN service. The IFLA August 2008 meeting will serve as the official rollout venue for the Linking ISSN.

Questions for CONSER: Would members make use of the Linking ISSN locally? Overall the response was yes, especially if it works well with link resolver knowledge bases. What are possible future directions for ISSN? Some way to pull together families of ISSN, title changes other relationships would be ideal as we move toward making FRBR the basis of our cataloging rules. Also some way to identify aggregator packages as a separate resource would be useful.

FRBR for Serials: Rounding the Square to Fit the Peg. (Adolfo Tarango)

Adolfo presented his paper *FRBR for Serials: Rounding the Square to Fit the Peg* http://www.loc.gov/acq/conser/FRBR-for-serials.pdf [PDF: 228 KB / 5 p.].The paper defines a serial authority record to present the "work segments" of a serial work represented by title changes associated with a serial work. This concept was widely applauded by the group. It seems useful as a stand alone record or as part of a relational database where connections could be made to bibliographic records for each work segment. The serial authority record could be generated from existing 780, 785 fields in bibliographic records which make up the work segments. The bibliographic record structure proposed in the paper was somewhat more controversial, involving the consolidation of many different format records onto one record.

The proposal sparked a useful discussion and resulted in the idea for reforming the CONSER FRBR Task Group with specific goals in mind:

- Look at several different proposals for defining serial FRBR structures and how they might fit into the RDA implementation scenarios.
- Include vendor representatives on this group
- Consider the need for authorized ISSN from the ISSN Network for the work authority structure and possible roles of linking ISSN in the bibliographic record structure
- Provide this group with a firm, practical charge

Action: The CONSER FRBR Task Group will be reformed with a new charge that incorporates the above goals.
> Who: Les will work with the existing co-chairs to redraft the charge and recruit new/additional members. [Since the meeting staff from CPSO have volunteered to take part in the group.]
> When: Summer 2008

Cease suppressing linking entries and 776 $c practices Document 8
http://www.loc.gov/acq/conser/Linking-entries.pdf [PDF: 27 KB / 1 p.]:

Decision: The group voted to accept the recommendation of the Linking Entry Task Force to not suppress linking entries even when a 580 or 530 note is given in the record.

Action: Instructions in the CEG will be changed to reflect the new practice and posted to the CONSER Web site until it can be included in the next CEG update.
> Who: Les or Hien

When: May-June 2008

776 $c practices: relationship between the original and the reproduction microfiche:

Discussion: Several scenarios were discussed, it was agreed that providing $a (main entry) and $t (title) in the 776 is important. It was suggested that $c (qualifying term such as "microfiche" or "original") and $d (fiche producer) could be used for searching and is potentially valuable in the preservation community. They could be provided in addition to $a and $t by those institutions that need them with no harm. They might also be useful for digital preservation projects

Action: Members agreed to allow $c to be used in combination with $a and $t in the 776 field. CONSER documentation listed below needs to be updated.
CEG
Linking Entry Fields General Information,
776
Appendix M
CCM:
14.3.5
32.4.5
33. 12.6
33.15.3
33.20.3
> Who: Les will coordinate with members who proposed this to update documentation [possibly Sue Fuller, Christopher Walker, Renette Davis, others] When: May-Sept 2008 (subject to participants availability)

Registry of Digital Masters (RDM)

Discussion: A quick survey indicated that one institution is currently making contributions to the RDM using the separate record approach. One other institution would be interested in contributing if it could be done by single record approach. The 007 is a required element in the RDM guidelines when using the single record approach. Its optional use as part of the CONSER single record approach has long been problematic for the ISSN Network processing of ISSN records and the issue has recently come to the fore as the ISSN Network prepares to implement the linking ISSN. Ideas suggested for getting around this impasse included:

Request that the RDM Working Group (RDMWG) make use of the 007 optional.

Find another field to record information about the digital version.

Find some means of labeling the field as pertaining to the digital version.

Make use of the national holdings format to record data specific to the digital version

Action: The RDMWG is having a conference call on May 16th. CONSER representative Renette Davis will raise these concerns with them and report back to CONSER.

Who: Renette Davis
When: May 16th 2008

Outcome: May 16th RDMWG meeting: 007 is necessary for RDM records; use of the national holdings format to record data specific to the digital version is still seen as a goal by the group, but no timeline has been established.

Provider neutral micropublisher record

Action: A draft charge will be developed for a group to draft requirements for a provider neutral record for microform, steps needed for record consolidation, and coordination with other preservation practices, microform or digital as far as 007, 533, and ISSN issues. There is a need to consult with PARS to ensure that the perspective of funded preservation projects are included in any final plans for a provider neutral record and other decisions we've made about preservation fields.

Who: Elmer and Les will coordinate charge, send it to CONSER email list for refinement.
When: May-June 2008

CONSER statistics, change in categories reported
There was little time to discuss this topic, no conclusions.

Action: Les will contact members after the meeting through the email list for their ideas on this.
Announcement: Next year's meeting date: Apr. 30-May 1st 2009