

ESRD Network Organization Crosswalk

| Chapter | Section | ENO | Description |
|----------------|----------------|--------------|---|
| 1 | 10 | ENO-Foreword | Foreword |
| 1 | 10.1 | ENO-Foreword | Purpose of the Network Manual |
| 1 | 10.2 | ENO-Foreword | Statutes and Regulations |
| 1 | 10.3 | ENO-Foreword | ESRD Network Organizations Manual Revisions |
| 1 | 10.4 | ENO-Foreword | Acronyms and Glossary |
| 1 | 20 | ENO110 | Purpose of ESRD Network Organizations |
| 1 | 30 | ENO115 | Requirements For ESRD Network Organizations |
| 1 | 40 | ENO120 | Responsibilities of ESRD Network Organizations |
| 1 | 50 | ENO125 | Health Care Quality Improvement Program (HCQIP) |
| 1 | 60 | ENO130 | Goals |
| 1 | 70 | ENO135 | Network Organization's Role in HCQIP |
| 2 | 10 | ENO200 | Organizational Structure |
| 2 | 20 | ENO205 | Network Council (NC) |
| 2 | 20.1 | ENO205A | Establishing the NC |
| 2 | 20.2 | ENO205B | Functions of the NC |
| 2 | 30 | ENO210 | Board Of Directors (BOD) |
| 2 | 40 | ENO215 | Medical Review Board |
| 2 | 50 | ENO220 | Other Committees |
| 2 | 60 | ENO225 | Network Staff |
| 2 | 70 | ENO230 | Required Administrative Reports |
| 2 | 70.1 | ENO230A | Quarterly Progress and Status Reports |
| 2 | 70.2 | ENO230B | Annual Report |
| 2 | 80 | ENO235 | Internal Quality Control (IQC) Program |
| 2 | 80.1 | ENO235A | Objectives of the IQC Program |

| Chapter | Section | ENO | Description |
|----------------|----------------|-------------|--|
| 2 | 80.2 | ENO235B | IQC Program Requirements |
| 2 | 80.3 | ENO235C | IQC Control Process |
| 2 | 80.4 | ENO235D | 80.4 - Analysis and Reporting Requirements |
| 2 | 90 | ENO240 | CMS Meetings |
| 2 | 100 | ENO245 | Cooperative Activities With State Survey Agencies And Quality Improvement Organizations (QIOs) |
| 2 | EX1 | Exhibit 2-1 | Exhibit 2-1 - Annual Report Format |
| 2 | EX2 | Exhibit 2-1 | Exhibit 2-2 - Quarterly Progress And Status Report Format |
| 3 | 10 | ENO300 | Statutory and Regulatory Requirements |
| 3 | 10.1 | ENO300A | Extension of Confidentiality Provisions |
| 3 | 10.2 | ENO300B | Regulations in 42 CFR §480 |
| 3 | 20 | ENO305 | General Requirements |
| 3 | 20.1 | ENO305A | Network Access to Information |
| 3 | 20.2 | ENO305B | Responsibility to Protect Information |
| 3 | 20.3 | ENO305C | Network's Notification Requirement |
| 3 | 20.4 | ENO305D | Verification and Amendment of ESRD Information |
| 3 | 20.5 | ENO305E | Individual's Access to Information About Himself or Herself |
| 3 | 20.5.1 | ENO305E1 | Disclosure to Beneficiary |
| 3 | 20.5.2 | ENO305E2 | Disclosure to Beneficiary's Representative |
| 3 | 20.6 | ENO305F | Disclosure of Information About Practitioners, Reviewers, and Facilities |
| 3 | 20.6.1 | ENO305F1 | Practitioners and Reviewers |
| 3 | 20.6.2 | ENO305F2 | Health Care Facilities |
| 3 | 30 | ENO310 | Nonconfidential Information |
| 3 | 30.1 | ENO310A | Types of Nonconfidential Information |
| 3 | 30.2 | ENO310B | Disclosure of Nonconfidential Information |

| Chapter | Section | ENO | Description |
|----------------|----------------|------------|---|
| 3 | 40 | ENO315 | Confidential Information |
| 3 | 40.1 | ENO315A | Definition of Confidential Information |
| 3 | 40.2 | ENO315B | Disclosure of Confidential Information |
| 3 | 40.3 | ENO315C | Disclosure and Redisclosure of ESRD Facility Information Among ESRD Networks, State Survey Agencies (SA), and DHHS Regional Offices |
| 3 | 40.4 | ENO315D | Disclosure of Confidential Information to Elected Officials |
| 3 | 40.5 | ENO315E | Disclosure of Information - Contract Deliverables |
| 3 | 40.6 | ENO315F | Disclosure and Redisclosure of Patient-Specific and Facility-Specific Information by SA |
| 3 | 50 | ENO320 | Disclosure Of Network Deliberations |
| 3 | 60 | ENO325 | Disclosure of Confidential Network Information to Officials and Agencies |
| 3 | 60.1 | ENO325A | Disclosure to Department of Health and Human Services (the Department) |
| 3 | 60.2 | ENO325B | Disclosure for Purposes of Monitoring and Evaluation |
| 3 | 60.3 | ENO325C | Disclosure to Consultants and Subcontractors |
| 3 | 60.4 | ENO325D | Disclosure to Intermediaries and Carriers |
| 3 | 60.5 | ENO325E | Disclosures to Federal and State Enforcement Agencies |
| 3 | 60.6 | ENO325F | Disclosure to State and Local Public Health Officials |
| 3 | 60.7 | ENO325G | Disclosure for Purposes of Conducting Review |
| 3 | 60.8 | ENO325H | Disclosures to QIOs |
| 3 | 60.9 | ENO325I | Disclosures to Medical Review Boards |
| 3 | 70 | ENO330 | Disclosure of Network Information Involving Beneficiary Complaints |
| 3 | 80 | ENO335 | Disclosure of Network Information For Research Purposes |
| 3 | 80.1 | ENO335A | Independent Research Activities |
| 3 | 80.2 | ENO335B | Department Research Activities |
| 3 | 90 | ENO340 | Disclosure of Network Sanction Information |
| 3 | 100 | ENO345 | Redisclosure of Network Information |

| Chapter | Section | ENO | Description |
|----------------|----------------|------------|---|
| 3 | 100.1 | ENO345A | Redisclosure of Nonconfidential Information |
| 3 | 100.2 | ENO345B | Redisclosure of Confidential Information |
| 3 | 100.3 | ENO345C | Notifying Recipients About Redisclosing Confidential Information |
| 4 | 10 | ENO400 | Background/Authority |
| 4 | 20 | ENO405 | Responsibilities |
| 4 | 30 | ENO410 | System Capacity |
| 4 | 40 | ENO420 | Hardware/Software (HW/SW) Requirements |
| 4 | 50 | ENO425 | CMS Computer Systems Access |
| 4 | 60 | ENO430 | Data Security |
| 4 | 70 | ENO435 | Confidentiality of Data |
| 4 | 80 | ENO440 | Database Management |
| 4 | 80.1 | ENO440.1 | Patient Database - Minimum/Critical Data Elements |
| 4 | 80.2 | ENO440.2 | Patient Database Updates |
| 4 | 80.3 | ENO440.3 | CMS-Directed Changes to Your Patient Database |
| 4 | 80.4 | ENO440.4 | Facility Database - Minimum Data Elements |
| 4 | 80.5 | ENO440.5 | Submission of Facility Database Elements |
| 4 | 900 | ENO445 | ESRD Data and Reporting Requirements |
| 4 | 100 | ENO450 | CMS ESRD Forms |
| 4 | 100.1 | ENO450.1 | CMS ESRD Program Forms |
| 4 | 100.2 | ENO450.2 | CMS ESRD Clinical Performance Measures (CPMs) Data Forms |
| 4 | 100.3 | ENO450.3 | CMS ESRD Beneficiary Selection Form |
| 4 | 110 | ENO455 | Collection, Completion, Validation, and Maintenance of the ESRD CMS Forms |
| 4 | 110.1 | ENO455.1 | Processing Form CMS-2728-U3 |
| 4 | 110.2 | ENO455.2 | Processing Form CMS-2746 (ESRD Death Notification Form) |
| 4 | 110.3 | ENO455.3 | Processing Form CMS-2744 (ESRD Facility Survey) |

| Chapter | Section | ENO | Description |
|----------------|----------------|------------|--|
| 4 | 120 | ENO460 | Tracking System for ESRD Forms |
| 4 | 130 | ENO465 | ESRD Forms Submission Compliance Rates |
| 4 | 140 | ENO470 | CMS ESRD Forms Edit Reports and Data Corrections |
| 4 | 150 | ENO475 | Renal Transplant Data |
| 4 | 160 | ENO480 | Reporting on Continued Status of Medicare ESRD Beneficiaries |
| 4 | 170 | ENO485 | Coordination of Additional Renal Related Information |
| 4 | 180 | ENOch4 ex. | Exhibits |
| 4 | EX4-1 | ENOch4 ex. | Exhibit 4-1 - Engineering Review Board (ERB) Request Template (Hardware Information) |
| 4 | EX4-2 | ENOch4 ex. | Exhibit 4-2 - Engineering Review Board (ERB) Request Template (Software Information) |
| 4 | EX4-3 | ENOch4 ex. | Exhibit 4-3 - Application for Access to CMS Computer Systems |
| 4 | EX4-4 | ENOch4 ex. | Exhibit 4-4 - Sample Notification of Data Element Changes Report |
| 4 | EX4-5 | ENOch4 ex. | Exhibit 4-5 - Summary of Data Requirements |
| 4 | EX4-6 | ENOch4 ex. | Exhibit 4-6 - Sample Edit Report |
| 4 | EX4-7 | ENOch4 ex. | Exhibit 4-7 - Sample Medical Evidence Edit Report Cover Letter |
| 4 | EX4-8 | ENOch4 ex. | Exhibit 4-8 - Letter to Center Requesting Overdue Follow-Up |
| 4 | EX4-9 | ENOch4 ex. | Exhibit 4-9 - Sample Report of Medicare Beneficiaries Who Will End ESRD Status |
| 5 | 10 | ENO500 | Authority |
| 5 | 20 | ENO505 | ESRD Health Care Quality Improvement Program (HCQIP) |
| 5 | 30 | ENO510 | Responsibilities |
| 5 | 40 | ENO515 | Quality Improvement Projects (QIPS) |
| 5 | 40.1 | ENO515.1 | Background and Project Topics |
| 5 | 40.2 | ENO515.2 | QIP Frequency, Project Consultant, and Required Reporting |
| 5 | 40.3 | ENO515.3 | Project Idea |
| 5 | 40.4 | ENO515.4 | QIP Narrative Project Plan (NPP) |

| Chapter | Section | ENO | Description |
|----------------|----------------|------------|--|
| 5 | 40.5 | ENO515.5 | Final Project Report (FPR) |
| 5 | 40.6 | ENO515.6 | Disseminating Results |
| 5 | 40.7 | ENO515.7 | Identifying Additional Opportunities for Improvement |
| 5 | 40.8 | ENO515.8 | Quarterly Progress and Status Report |
| 5 | 50 | ENO520 | Improvement Plan |
| 5 | 60 | ENO525 | Clinical Performance Measures (CPMs) |
| 5 | 60.1 | ENO525.1 | CPMs - Network/National Sample |
| 5 | 60.2 | ENO525.2 | CPMs - Sampling Method |
| 5 | 60.3 | ENO525.3 | CPMs - Data Collection |
| 5 | 60.4 | ENO525.4 | CPMs - Data Validation |
| 5 | 60.5 | ENO525.5 | CPMs - Data Validation Reporting |
| 5 | 70 | ENO530 | CMS - Compiled Data Reports |
| 5 | 80 | ENO535 | Quality Improvement Projects Versus Research Studies |
| 5 | 90 | ENO540 | Network Resources to Support the United States Renal Data System (USRDS) |
| 5 | 100 | EXHIBITS | Exhibits |
| 5 | EX5-1 | EX5-1 | Exhibit 5-1 - ESRD Clinical Performance Measures (CPMs) |
| 5 | EX5-2 | EX5-2 | Exhibit 5-2 - Annual Estimate of Patient Sample Per Network for USRD's Special Studies |
| 5 | EX5-3 | EX5-3 | Exhibit 5-3 - ESRD Network - Project Idea Document (PID) Format |
| 5 | EX5-4 | EX5-4 | Exhibit 5-4 - ESRD Network - Narrative Project Plan (NPP) Format |
| 5 | EX5-5 | EX5-5 | Exhibit 5-5 - ESRD Network - Final Project Report Format |
| 6 | 10 | ENO600 | Introduction |
| 6 | 20 | ENO605 | Provision Of Educational Information |
| 6 | 30 | ENO610 | Provision of Technical Assistance |
| 6 | 40 | ENO615 | Resolution of Difficult Situations and Grievances |

| Chapter | Section | ENO | Description |
|----------------|----------------|------------|--|
| 7 | 10 | ENO700 | Authority |
| 7 | 20 | ENO705 | Network's Role Prior to Initiating Sanction Recommendation |
| 7 | 30 | ENO710 | Written Documentation Requirements for Sanction Recommendation |
| 7 | 40 | ENO715 | Forwarding Sanction Recommendation to Appropriate Regional Office (RO) |
| 7 | 50 | ENO720 | Project Officer's (PO) Role in Sanction Procedures |
| 7 | 60 | ENO725 | RO Role in Sanction Procedures |
| 7 | 70 | ENO730 | RO Role in Notice and Appeal Rights |
| 7 | 80 | ENO735 | Duration and Removal of Alternative Sanction |
| 7 | 90 | ENO740 | Quality of Care Referrals |
| 7 | 100 | ENO745 | ESRD Grievances (Complaints) |
| 7 | 110 | ENO750 | Role of Network in Resolution of Grievances |
| 7 | 120 | ENO755 | ESRD Grievance Process |
| 7 | 120.1 | ENO755.1 | Receiving Grievances |
| 7 | 120.2 | ENO755.2 | Request of Grievance in Writing |
| 7 | 120.3 | ENO755.3 | Written Acknowledgment of Grievance |
| 7 | 120.4 | ENO755.4 | Complainant Awareness of Process |
| 7 | 120.5 | ENO755.5 | Use of Facility Grievance Process |
| 7 | 120.6 | ENO755.6 | Determination of Network Involvement |
| 7 | 120.7 | ENO755.7 | Investigation of Grievance |
| 7 | 120.8 | ENO755.8 | Referring Grievances |
| 7 | 120.9 | ENO755.9 | Conclusion of Investigation |
| 7 | 120.10 | ENO755.10 | Life-Threatening Situations |
| 7 | 130 | ENO760 | Grievance Reports and Potential Outcomes |
| 7 | 130.1 | ENO760.1 | Contents of Report to Complainant |
| 7 | 130.2 | ENO760.2 | Potential Outcomes of Grievance Process |

| Chapter | Section | ENO | Description |
|----------------|----------------|-------------|---|
| 7 | 140 | ENO765 | Improvement Plans (IP's) |
| 7 | 140.1 | ENO765.1 | Content of IPs |
| 7 | 140.2 | ENO765.2 | Time Period for Review and Acceptance/Rejection of IPs |
| 7 | 140.3 | ENO765.3 | IP Tracking System |
| 7 | 140.4 | ENO765.4 | Conclusion of IP |
| 7 | 140.5 | ENO765.5 | Non-Compliance With IP |
| 7 | 150 | ENO770 | Confidentiality and Disclosure of Information |
| 7 | 150.1 | ENO770.1 | Identity of Complainant |
| 7 | 150.2 | ENO770.2 | Facility Identity |
| 7 | 160 | ENO780 | Patient Representative |
| 7 | 170 | ENO780.1 | Conflict of Interest |
| 7 | EXH | | Exhibits |
| 7 | EXH 7-1 | Exhibit 2-1 | Exhibit 7-1 - Associate Regional Administrators (ARAs) In Each Region |
| 7 | EXH 7-2 | Exhibit 2-1 | Exhibit 7-2 - States In Each Region |
| 8 | 10 | ENO800 | Background |
| 8 | 20 | ENO810 | Definition |
| 8 | 30 | ENO820 | Requirements |
| 8 | 30.1 | ENO820A | Confidentiality |
| 8 | 30.2 | ENO820B | Disclaimer |
| 8 | 30.3 | ENO820C | CMS Certification |
| 8 | 30.4 | ENO820D | Revisions to Original Manuscript |
| 8 | 30.5 | ENO820E | Published Article |
| 8 | 40 | ENO830 | Disagreements |
| 9 | 10 | ENO900 | Background |
| 9 | 20 | ENO910 | CMS/Office of Clinical Standards and Quality (OCSQ) Requirements |

| Chapter | Section | ENO | Description |
|----------------|----------------|--------------|---|
| 9 | 30 | ENO920 | Statutory and Regulatory Requirements |
| 9 | 40 | ENO930 | Definitions |
| 9 | 50 | ENO940 | Office of Management and Budget (OMB) Clearance |
| 9 | 60 | ENO950 | Items Not Subject To OMB Clearance |
| 9 | 70 | ENO960 | Request for Exception from OMB Review |
| 9 | 80 | ENO970 | Survey Justification and Methods |
| 9 | 90 | ENO980 | Additional Considerations |
| 9 | 100 | ENO990 | Documentation for CMS |
| ENO | Glossary | ENO Glossary | Glossary |
| ENO | Acronym | ENO Acronyms | List of Commonly Used Acronyms |