

**Program for Cooperative Cataloging (PCC)
Cooperative Cataloging Team
Regional and Cooperative Cataloging Division (RCCD)
Annual Report FY06**

Program for Cooperative Cataloging (PCC) Highlights:

Under Chair Mark Watson (University of Oregon) the Program for Cooperative Cataloging (PCC) collectively considered its past and future in several situations. A drive to compose new strategic directions for the program, "PCC 2010" occupied several meetings of the steering, operations, and policy committees. The five strategic directions are:

1. Be a forward thinking, influential leader in the global metadata community
2. Redefine the common enterprise
3. Build on, and expand, partnerships and collaborations in support of the common enterprise
4. Pursue globalization
5. Lead in the education and training of catalogers

Ongoing activities of the PCC fit well into these directions, while further meetings refined these goals with action items.

The combination of RLG and OCLC led the PCC to evaluate its role as an information provider and user in an environment with a single bibliographic utility. As approved by RLG member institutions, RLG's online products and services have been integrated with OCLC products and services, and RLG's program initiatives have been brought forward as a new division of OCLC Programs and Research. The PCC has depended upon different strengths in these two utilities for a great deal of its work. The combination of the two bibliographic utilities and the resulting changes in the workflow environment lead to a large re-evaluation of the PCC program's goals and activities.

The third occasion for PCC introspection was the announcement by the Library of Congress that the Library would no longer create or revise series authority records nor utilize authorized series headings in LC bibliographic records. This provoked great discussion in listservs and at PCC-related meetings. It also allowed the PCC to develop even further an independent identity from the Library of Congress as the PCC explored means to continue established series practices.

The PCC Participants' Meetings at both midwinter and at annual ALA followed this course. At ALA midwinter, guest speaker Karen Calhoun (Cornell University) spoke with great insight drawn from her practical background coupled with academic research on the future role and development of catalogers and cataloging in the infosphere. Calhoun's interim report, her Midwinter PowerPoint presentation, and her final report to LC are found respectively at:

<<http://dSPACE.library.cornell.edu/handle/1813/2231>>,
<<http://www.loc.gov/catdir/pcc/CalhounPresentationALAMidwinter2006.pps>> and
<<http://www.loc.gov/catdir/calhoun-report-final.pdf>>.

At annual ALA the PCC Participants' Meeting took up the controversy of LC's series authority decision in the larger context of evaluating the common bibliographic endeavor through a panel discussion. PCC chair-elect Michael D. Charbonneau (Indiana University) moderated a panel discussion on The Future of Bibliographic Control in the Cooperative Environment. Panel members included Deanna Marcum (LC), Beacher Wiggins (LC), Mark Watson, and Robert Wolven (Columbia University) <<http://www.loc.gov/catdir/pcc/archive/pccpart06a.html>>.

Fiscal 2006 ended with the transition to new PCC chair Michael Charbonneau from chair Mark Watson. Judy Nadler (University of Chicago) and Cynthia Shelton (University of California, Los Angeles) concluded their terms on the PCC Policy Committee as the BIBCO and CONSER representatives, and were succeeded by Margaretta Yarborough (University of North Carolina at Chapel Hill) for BIBCO and Nancy Fleck (Michigan State University) for CONSER.

Two other PCC figures of importance moved on to other endeavors. Karen Smith-Yoshimura, RLG representative first to the Committee for Cooperative Cataloging (CCC), the predecessor of the PCC, and then representative to the PCC, took on a new role within the combined OCLC-RLG organization and left her official role in the PCC. John D. Byrum, the Secretariat of the PCC and chief of the Regional and Cooperative Cataloging Division, Library of Congress, retired as of January 2006.

In the fiscal year 2006, PCC members created 175,328 new name authorities and 9,865 new series authorities. SACO-member institutions contributed 3,619 new subject headings as well as 2,089 new class numbers. CONSER-member institutions contributed 25,796 new records, while BIBCO members contributed 74,048 new bibliographic records.

A comparison with the statistical results from FY92 offer not only an historical comparison, but a sign of the remarkable growth in a program that requires specialized cataloging skills. In that year, a total of 50 members, with three funnels, contributed 66,318 new name authority records and 1,427 new series authority records. SACO members contributed 678 new subject headings to LCSH and 72 new classification numbers. BIBCO members 9,460 new bibliographic records.

PCC Standing Committee Annual Reports

Standing Committee on Automation

The Standing Committee on Automation (SCA) had two task groups at work during the year: the Monographic Aggregator Task Group and the Task Group on Normalization. Both task groups submitted draft documents that were posted to the PCC web site for comment from the membership.

The draft version of the Monographic Aggregator Task Group's MARC Record for Monograph Aggregator Vendors will be ready for distribution after some rather minor revisions. The Policy Committee will need to decide on how best to make vendors aware of the existence of this document for their use.

The Task Group on Normalization had not reached consensus on two different options for comparing name and subject authority records.

<http://www.loc.gov/catdir/pcc/sca/scaannual06.html>

Standing Committee on Standards

RDA: Resource Description and Access

The Standing Committee on Standards (SCS) most significant accomplishment this year was developing a response to the drafts of two of the three major sections of Resource Description and Access (RDA). The PCC Policy Committee decided that each segment of PCC should respond separately to the drafts, so the responses were SCS rather than PCC responses.

The committee recommended to the Policy Committee that they begin thinking how the PCC might be involved in training on and implementation of RDA.

The committee is currently considering some additional proposed changes to RDA arising out of the development of the proposed new CONSER records standard.

LC series decision

SCS spent a fair bit of energy dealing with the process and content of the Library of Congress decision to stop tracing series, and the ensuing activity. The committee agreed that:

- PCC should continue to allow (but no longer require) the tracing of series in PCC bibliographic records.
- PCC should allow the continuation of series authority record contributions (new and modified records) via NACO
- PCC bibliographic records including a traced series must be supported by a series authority record
- PCC policy that is different from national or LC policy should be documented in relevant LCRI
- PCC documentation should be brought up to date
- The implications for MARC tagging should be determined

The committee did not agree on what the required data elements should be for a PCC series authority record. The impacts on PCC standards are still to be determined.

Future

The committee is interested in updating its charge. The committee believes that SCS should follow relevant metadata standards work, and respond to drafts of standards, bringing to bear the expertise and needs of the PCC; recommend that the Policy Committee adopt appropriate external standards; and identify areas needing development within the standards world that would benefit from PCC development of such standards

Due to the continued lack of clarity as to what the Steering and Policy Committees want from SCS, the chair has not appointed any new members to the committee since 2003. The committee developed a list of desirable qualifications for committee membership. The chair communicated these issues to the chair of the PCC.

<http://www.loc.gov/catdir/pcc/scs/scsannual06.html>

Standing Committee on Training

The PCC Standing Committee on Training (SCT) has been actively working on various training initiatives including joint PCC/ALCTS programs and online training efforts, including ALCTS Continuing Education Implementation Group (CEIG).

Ongoing Projects

Joint PCC SCT/CCS SAC Task Force on Library of Congress Classification Training

The Joint PCC SCT/CCS SAC Task Force on Library of Congress Classification presented its teaser module 2-hour session at ALA Annual. The title was Understanding LC Classification: a Preview of an ALCTS/PCC Workshop. The program included an overview of the full course outline, a run-through of the session on Notation and Cuttering, and a description of the Cooperative Cataloging Training Program, with information on how to host a workshop. The program was well attended and the subcommittee received valuable feedback from attendees, including many ideas about what to include in a session on Local Decisions. The complete course will be presented as a pre-conference at ALA Annual in Washington, D.C. in 2007. Train-The-Trainer (TTT) sessions are expected to take place in fall 2007.

Joint PCC/CCS Task Force to Develop Series Training

The work of the Joint PCC/CCS Task Force to Develop Series Training is continuing as planned after both ALCTS and the PCC issued statements that support the continuation of series authority work.

The Joint PCC/CCS Task Force to Develop Series Training presented its teaser module 2-hour session at ALA Annual in New Orleans on June 24, 2006. The teaser module was Introduction to Series in the Bibliographic Record. The teaser session was well received and many comments will make the training better. The fully completed materials will be presented as a two-day pre-conference at ALA Annual in 2007 in Washington, D.C. The Instructor Development Seminar (IDS) sessions are expected to take place on October 30 and 31, 2007 immediately preceding the PCC Policy Committee meeting on November 1 and 2, 2007.

Task Group on Online Training

The final report of the Task Group on Online Training will be completed by November 2006. Software selection is still going on. John Mitchell (LC) is developing an online Introduction to SACO course that is expected in October 2006.

Task Group to Update the SACO Participants' Manual

The manual was released to the SCT for review in June. The SCT completed its review and submitted comments for a final draft to be sent to LC-CPSO for review.

ALCTS Continuing Education Implementation Group

This group is focusing on course development for the series of five courses in the Cataloging for the 21st Century program:

1. Course 2 (Metadata Standards and Applications) was given July 24-25 in Chicago. An updated version was given on September 19-20 in Atlanta.
2. A second offering of CE Course 3 (Principles of Controlled Vocabulary and Thesaurus Design) was given September 12-14 in Atlanta.
3. First offering of CE Course 4 (Metadata and Digital Library Development) was given in Washington, DC on July 17 and 18. The next offering will be at ALA Midwinter in Seattle.
4. CE Course 5 (Digital Project Management Basics) is on target for December 2006 completion. The first offering is scheduled for December 8 in Chicago.

Completing the charge, CEIG: The group is still on track to complete its charge and disband by the end of January 2007.

<http://www.loc.gov/catdir/pcc/sct/sctannual06.html>

Funnels

While the public perception of the PCC has been one of large institutional members acting as independent members of the program, the truth is that nearly two-thirds of the program members participate through funnels. In many cases, funnel members are large institutions with contributions of a quantity that would justify independent membership. They see, however, as do other funnel members, the value of a joint approach in names, subject, and bibliographic records in their specialized area, be it language (Hebraica, Arabic NACO funnels); topic (Africana, African-American; Music, and Art funnels in NACO and SACO); or geography (most recently in Canada and in the Caribbean).

In many cases, these funnel members are special units within an institution that also has units that are funnels or independent contributors to the PCC. Most recently, a long-term drive to create a funnel for CJK contributions has gained strength. The PCC and CCAAM co-sponsored a program promoting the PCC in area studies at ALA Annual.

Historically Black Colleges and Universities (HBCU)

The focus of the Historically Black Colleges and Universities (HBCU) outreach for FY06 continued to be on recruitment, training, and funding issues including the expansion of two existing funnel projects: Tennessee NACO Funnel acquired eight new institutional members, three of which are HBCU institutions: Lane College, LeMoyne-Owen College, and Tennessee State University. NACO Canada, inspired by the efforts of HBCU members, saw an increase of four new Canadian institutions: The Bibliocentre, Ryerson University Library, Seneca College of Applied Arts and Technology, Seneca College Libraries, and Tyndale University College & Seminary's J. William Horsey Library. Also through HBCU endeavors and contacts the outreach project received a NACO inquiry from Myanmar Book Centre with hopes of developing a Burma NACO funnel.

The HBCU outreach saw a year of expansion of membership by Hispanic institutions: University of Texas at El Paso and New Mexico State University—Las Cruces. NACO training is expected to take place in late October of 2006. Since several institutions in the Texas area have expressed an interest in NACO another PCC/NACO training is expected to take place in Prairie View, Texas, in January or February 2007. Those libraries will include several HBCU institutions: Prairie View A&M University, Texas Southern University, Wiley College as well as a Native American institution, West Texas A&M in Canyon.

BIBCO and NACO

BIBCO production by the member libraries is up by a gratifying 10% from FY2005, and is nearly at the highest level of record contribution—74,793 records were added to the database in FY2003. At least three institutions mentioned in their individual reports that they had achieved an "institutional best".

The University of Hawaii at Manoa joined BIBCO this year, bringing total membership to 47 institutions. The new member faced challenging conditions following a flood that routed the library staff from their permanent workplaces and involved them all in post-flood recovery tasks. This story brings echoes of the hardships faced by Tulane University staff after Hurricane Katrina and by the University of New Mexico staff following a disastrous fire in April 2006.

Colleagues at Tulane University and all those in the Gulf Coast who were exiled from their homes and places of business or who hosted the displaced residents following the 2005 hurricane season deserve special recognition for their bravery and ingenuity and stamina. It was wonderful to be in New Orleans for ALA Annual to see the recovery so far and hopes for the future.

Other challenges faced by BIBCO are not as dramatic as fires and floods, but were no less disruptive to program work. Several libraries underwent organizational reorganizations, facility renovations, system migrations, and workflow shifts.

The announcement on April 20 that the Library of Congress catalogers would no longer maintain its past level of series control involved the BIBCO community in voluminous discussion via email and other means. Series authority record creation among PCC members has remained the same this year, but changes to series records are double the levels of FY2005.

Although the number of BIBCO members is relatively stable, expansion has happened in the spread of NACO, SACO, and BIBCO training among special collections staff. Many BIBCO institutions have their language and topic specialists contributing NACO records through various funnel projects. Another area not measured by the number of libraries or the number of records added to the database is the leadership provided by BIBCO libraries. PCC governing bodies, committees, task forces, rosters of trainers are filled with names from all across the spectrum of the BIBCO program. The work of the individuals and the support of their administrators is essential for the survival of the PCC and is key to its success.

The PCC and ECIP

Although the ECIP program at LC has been in existence for 10 years, it is a relatively new program for PCC libraries. Under this program, BIBCO members arrange to contribute ECIP cataloging based on books published by their respective university presses. Cornell and Northwestern University libraries were the first two PCC-member institutions to join the ECIP program. The National Agricultural Library (NAL), joined this small group in order to contribute records for agricultural subjects. LC is currently in discussions with the University of Wisconsin—Madison.

SACO

The statistics for the SACO Program are a true indicator of the strength and involvement of SACO participants and suggest that the new membership requirements have not affected the number of contributions that are submitted. The current statistics reflect a significant increase over contributions made in the banner FY2005 and include input from a broader spectrum of participants, many of whom have joined following the adoption of membership parameters. Totals indicate that the year-end figures stand about 123% compared to the 2005 total.

The number of SACO-Only Institutions continued to grow, both domestically and internationally. SACO has often been the first component program to which many institutions commit in the PCC; eventually the SACO participation has sparked interest in the other component programs. This has been especially true of international PCC participants: the National Library of Sweden, the Australian Defence Organisation, Halifax Public Libraries, McGill University Libraries (Montréal, Canada), and Vancouver Public Library. The National Library of Iceland continues to express interest in becoming a member of SACO since a visit to LC in October 2005.

The SACO Program has also expanded to include four new subject authority funnel projects; namely, the Judaica Subject Authority Funnel Project, the Northern New England Subject Authority Funnel Project, the Northern Michigan University Subject Funnel Project, and the Arabic Subject Funnel Project.

SACO Representation on the PoCo

The growth of SACO and its membership has been the impetus to have the PCC Steering Committee review the status of incorporating a SACO representative as a voting member on the PCC Policy Committee.

Following deliberations by the Steering Committee and with the BIBCO OpCo recommendations to move forward with the SACO-Only representation, the draft revision of the PCC Governance Document provides for a new Policy Committee member for SACO.

SACO Training

Numerous training initiatives were conducted by the LC Coop Team on behalf of the SACO Program, including the Basic SACO workshop during the ALA Midwinter Conference in San Antonio. This venue was intended to be the final time that this basic course would be offered since it is to be replaced by the Web-based distance-education basic SACO course that has been undergoing development.

As a pre-cursor to the jointly-developed ALCTS/PCC course on classification that was unveiled during the ALA Annual Conference in New Orleans, an advanced training in LC classification was given at Duke University and an LC Classification Workshop was given in mid-May 2006 at the Library of Congress.

SACO-At-Large

FY2006 witnessed the inaugural SACO-At-Large meetings, a venue in which PCC institutions participating in the SACO Program and those interested in subject cataloging discuss concerns and issues with subject headings, their application and any relevant SACO-membership issues. Each meeting features a unique subject heading topic presented by one of the SACO mentors.

SACO Participants' Manual

The revisions to the SACO Participants' Manual were completed and forwarded to the PCC Standing Committee on Training for review. The electronic version of the manual is slated to be available online by the Fall 2006.

SACO Web Course Development

The development of the "Basic SACO" Web-based course continues to progress; the course is scheduled to be unveiled at the upcoming ALA Annual in Washington, D.C., June 2007.

LC Classification Developments

The most recent development within the SACO program is the manner in which LC classification numbers will be contributed via a new online feature accessible through Classification Web. The software that supports Classification Web has been enhanced to allow both LC and external cataloging staff to directly submit proposals to add new classification numbers or revise existing numbers and captions. The enhancement allows for the automated production of weekly lists of these classification proposals and their posting on the CPSO Web site. It runs entirely on the external server that houses Classification Web, outside of the LC firewall.

INTCO

International participants now number 72 members on all continents, in funnels or as independent participants, in NACO, SACO, and in CONSER. The South Africa funnels have seen re-organization, re-naming, and the technical problems of new MARC21 codes and contribution workflows, but continue as active participants with expansion training. Outreach efforts to Historically Black Colleges and Universities (HBCU) have had a side benefit in producing a Canada NACO funnel and a Caribbean Funnel based in the University of the West Indies.

A special note in the past year has been activity among non-U.S. vendors interested in PCC participation as a means of providing bibliographic services to their clients. Casalini libri participates in NACO and SACO. Other vendors have expressed interest in membership at meetings with PCC and LC officials.

In the fiscal year 2006, international PCC members created 48,631 new name authorities (27.74%) and 941 new series authorities (9.54%); 615 new subject headings (16.99%) as well as 10 new class numbers (0.48%). International CONSER-member institutions contributed 3,210 new records (12.44%).