



National Institute for Occupational Safety and Health  
National Personal Protective Technology Laboratory  
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Procedure No. TEB-APR-STP-0058	Revision: 2.0	Date: 5 October 2007
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DETERMINATION OF PARTICULATE FILTER EFFICIENCY LEVEL FOR N99 SERIES FILTERS  
AGAINST SOLID PARTICULATES FOR NON-POWERED,  
AIR-PURIFYING RESPIRATORS  
STANDARD TESTING PROCEDURE (STP)

1. PURPOSE

This test establishes the procedure for ensuring that the level of protection determined by the particulate filter efficiency level test for N99 series filters used on non-powered respirators submitted for Approval, Extension of Approval, or examined during Certified Product Audits meet the minimum certification standards set forth in 42 CFR, Part 84, Subpart G, Section 84.181. These filters and filter cartridges may be integral components; mounted individually; used in conjunction with cartridges and canisters for chin-style, front-mounted, and back-mounted gas masks; or used in combination with gas-and-vapor or supplied-air respirators.

2. GENERAL

This STP describes the Determination of Particulate Filter Efficiency Level for N99 Series Filters Against Solid Particulates For Non-Powered, Air-Purifying Respirators test in sufficient detail that a person knowledgeable in the appropriate technical field can conduct the test and determine whether or not the product passes the test.

3. EQUIPMENT/MATERIAL

3.1. The list of necessary test equipment and materials follows:

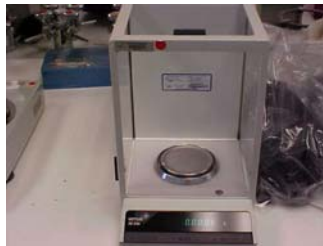


3.1.1. TSI Model 8130 Automated Filter Tester or equivalent instrument. Air flow control accuracy is 2% of full scale. Pressure measurement accuracy is 2% of full scale. Penetrations can be measured to 0.001%, efficiencies to 99.999%.

Approvals:			
First Level	Second Level	Third Level	Fourth Level



- 3.1.2. Particle sizing instrument (such as TSI Model 3936 Scanning Mobility Particle Size Spectrometer or equivalent) that is capable of determining submicrometer particles according to count median diameter (CMD).



- 3.1.3. Microbalance accurate to 0.0001 grams (g).



- 3.1.4. Gelman 102 mm diameter, type A/E glass filters or equivalent high efficiency filters with a 1 micron pore size.



- 3.1.5. Timer (accurate to 0.01 second).

- 3.1.6. 2% sodium chloride solution in distilled water (NaCl).



- 3.1.7. Temperature and humidity chamber capable of maintaining  $38 \pm 2.5^{\circ}\text{C}$  and  $85 \pm 5\%$  relative humidity.
- 3.1.8. Respirator filter holder supplied for specific manufacturer type which is compatible with TSI filter tester. NIOSH will not be obligated to use these holders for actual certification testing. All manufacturer test fixtures must be correlated with the NIOSH test method (see Work Instruction WI- 1605).
- 3.1.9. Thermal printer (supplied) or optional data acquisition system.
- 3.2. Refer to the following Work Instructions for further information on performing this test:
  - TEB-RCT-APR-WI-1005 – Laboratory Safety Procedures for Particulate Tests for Non-Powered Respirators
  - TEB-RCT-APR-WI-1105 – Calibration Procedures for Particulate Tests for Non-Powered Respirators
  - TEB-RCT-APR-WI-1205 – Start-Up and Shut-Down Procedures for Particulate Tests for Non-Powered Respirators
  - TEB-RCT-APR-WI-1405 – Reporting Results for Particulate Tests for Non-Powered Respirators
  - TEB-RCT-APR-WI-1505 – Checking System Performance and Calculating Test Duration for Particulate Tests for Non-Powered Respirators
  - TEB-RCT-APR-WI-1605 – Correlating Manufacturer – Supplied Test Fixtures for Particulate Tests for Non-Powered Respirators

#### 4. TESTING REQUIREMENTS AND CONDITIONS

- 4.1. Prior to beginning any testing, all measuring equipment to be used must have been calibrated in accordance with the testing laboratory's calibration procedure and schedule. All measuring equipment utilized for this testing must have been calibrated using a method traceable to the National Institute of Standards and Technology (NIST) when available.
- 4.2. Any laboratory using this procedure to supply certification test data as a contractor to NIOSH will be subject to the provisions of the NIOSH Supplier Qualification Program

(SQP). This program is based on the tenets of *ISO/IEC 17025, the NIOSH Manual of Analytical Methods* and other NIOSH guidelines. An initial complete quality system audit and follow on audits are requirements of the program. Additional details of the Program and its requirements can be obtained directly from the Institute.\*

**\*Note** 4.2 does not apply to Pretest data from applicants as required under 42 CFR 84.64.

4.3. Precision and accuracy (P&A) must be determined for each instrument in accordance with laboratory procedures and NIOSH/NPPTL guidance. Sound practice requires, under *NIOSH Manual of Analytical Methods*, demonstrating a tolerance range of expected data performance of a plus or minus 25% of a 95% confidence interval of the stated standard requirement. NIOSH/NPPTL P&A tolerance can be higher but not lower.

4.4. The precision and accuracy of this method is monitored by the validation method which is incorporated in the automated filter tester procedure. This procedure is performed on a daily basis when testing is performed. This procedure is designed to test many aspects of the method, for proper photometer and general system operation. The validation technique uses “green line” filter media discs, 6 inch diameter, HE 1071 grade, H & V brand, P/N 813010, with a known penetration range, which are tested at least once in each 8 hour test period (see 5.2.5).

4.4.1. Two sheets of unused filter media are stacked together and the penetration, flow rate and pressure drop are measured to evaluate the higher range of penetration values. Five unused sheets are stacked together to evaluate the lower range of penetration values.

4.4.2. The analysis of these readings over the long term was used to examine the precision and accuracy of this test method. The table below summarizes the data.

	<u>Two Sheets</u>	<u>Five Sheets</u>
Mean	2.692%	0.033%
Std. Dev.	0.318	0.007
Range	1.89 – 3.56%	0.015 – 0.058%
N	182	182

4.5. Normal laboratory safety practices must be observed. Please refer to Material Safety Data Sheets and the current NIOSH Pittsburgh Health and Safety Program for the proper protection and care in handling, storing, and disposing of the chemicals used in this procedure.

5. PROCEDURE

Note: Reference Section 3 for equipment, model numbers and manufacturers. Work Instructions are to be used in conjunction with standard NIOSH test apparatus.

5.1. Respirator filters and filter cartridges will be tested as follows:

- 5.1.1. The filtering elements of the respirator, including the filter holders and gaskets will be tested for particle penetration.
  - 5.1.2. When filters are not separable from the respirator body, the exhalation valves will be sealed to ensure that any leakage due to the exhalation valve is not included in the filter penetration measurement.
  - 5.1.3. Filters used in conjunction with gas mask canisters and odd or unusually shaped filters may be tested on a headform assembly or assembly provided by manufacturer.
- 5.2. Respirator filters will be challenged by a NaCl aerosol at 25 ±5°C and a relative humidity of 30 ±10% that has been neutralized to the Boltzmann equilibrium state. The particle size distribution will be a count median diameter of 0.075 ± 0.020 micrometer and a geometric standard deviation not exceeding 1.86. Each respirator filter unit will be challenged with an aerosol concentration not exceeding 200 mg/m<sup>3</sup>.

- 5.2.1. The NaCl aerosol concentration will be determined daily by the following gravimetric method and calculated as milligrams per cubic meter (mg/m<sup>3</sup>).
- 5.2.2. Weigh a Gelman 102 mm filter to the nearest 0.1 mg., mount in the gravimetric filter holder, subject it to the generated aerosol at 30 Lpm for 40 minutes , and reweigh the filter. Use a timer to monitor the duration of the test. Record the pre- and post-weights, time, and average flow rate on the data sheet and calculate the aerosol concentration in mg/m<sup>3</sup> by the following formula:

$$\text{Concentration in mg/m}^3 = \frac{W2 - W1}{(Q / 1000) (T)}$$

Where:  
W1 = Initial filter weight in mgs.  
W2 = Final filter weight in mgs.  
Q = Flowrate in liters per minute  
T = Elapsed time in minutes

With a flowrate of 30 Lpm for 40 minutes, the above formula simplifies to:

$$C = \frac{W2 - W1}{1.2}$$

- 5.2.3. Use the following formula to calculate the test duration:

$$T \text{ in minutes} = \frac{(\text{mg load}) (1000 \text{ L} / \text{m}^3)}{(C) (Q)}$$

Where:  
C = Concentration in mg/m<sup>3</sup> from 5.2.2.  
Q = Flow rate for test in Lpm  
Follow the procedure in Work Instruction WI- 1505.

- 5.2.4. The upstream and downstream photometer readings are used for monitoring stability and for calculating a photometer correlation factor (CF). The correlation factor is determined with an empty filter holder and is calculated internally as shown below:

$$CF = \frac{\text{Downstream Photometer Voltage} - \text{Downstream Background Voltage}}{\text{Upstream Photometer Voltage} - \text{Downstream Background Voltage}}$$

The correlation factor is used by the software to express the upstream photometer signal in terms of the downstream photometer signal. Follow Work Instruction WI- 1505 for determining, monitoring and recording the CF.

- 5.2.5. The NaCl particle size distribution shall be verified using “green line” filter discs supplied by TSI with a known penetration range. Graphs of penetration vs. resistance for two sheets and five sheets of stacked filter discs are supplied with each lot of the standard filters, with a central line and upper and lower lines representing the expected penetration range at a given resistance. The test data should fall within an acceptance zone having boundaries defined by the upper and lower curves on the graphs. Follow the procedure in Work Instruction WI-1505. The standard filter test using both 2 sheets and 5 sheets will be run at least once in each 8 hour test period to verify that the aerosol distribution is within the acceptance zone.
- 5.2.6. If the instantaneous filter penetration is not within the acceptance zone for any sample, abort testing and add 100 mL of distilled water to the NaCl generator. Run another standard filter set; if the results are acceptable continue running tests. If the results are not acceptable, check the aerosol particle size with the Scanning Mobility Particle Size (SMPS) Spectrometer.
- 5.3. The NaCl particle size will be monitored at least once every three months (quarterly) with the SMPS spectrometer to ensure the particle size distribution count median diameter remains in the range of  $0.075 \pm 0.020$  micrometer and a geometric standard deviation not exceeding 1.86.
- 5.4. Respirator filters will be pre-conditioned at  $85 \pm 5\%$  relative humidity and  $38 \pm 2.5^\circ\text{C}$  for  $25 \pm 1$  hours. After conditioning, the filters shall be sealed in a gas tight container and tested within 10 hours.
- 5.5. Filters will be mounted and sealed on holders to prevent leakage around the filter holder. Single air purifying respirator filters will be tested at a challenge flow rate of  $85 \pm 4$  Lpm. Filters used as pairs on a respirator are tested using a single filter of the pair at  $42.5 \pm 2$  Lpm challenge flow rate. Filters used in threes are tested using a single filter of the set at  $28.3 \pm 1$  Lpm challenge flow rate.
- 5.5.1. The challenge flow rate must be checked for stability for at least 30 seconds prior to testing.

- 5.6. A sample of 20 filter units will be tested against the NaCl aerosol. Three filters will be loaded until the aerosol mass loading levels as shown in the table below are reached and evaluated to determine the method for the remaining 17 filters. This is the mass amount of NaCl aerosol that has contacted the filter.

NUMBER OF FILTERS IN RESPIRATOR CONFIGURATION	AEROSOL MASS LOADING LEVEL
SINGLE	200 ± 5 mg.
DOUBLE	100 ± 5 mg.
TRIPLE	66.7 ± 5 mg.

- 5.6.1. Type 1. If preliminary testing of all three initial test filters consistently results in a straight line (Figure 1), for the remaining 17 filters, record the initial penetration reading.
- 5.6.2. Type 2. If filter testing of all three initial test filters consistently results in a curve which indicates increased efficiency during the complete run (Figure 1), for the remaining 17 filters, record the initial penetration reading.
- 5.6.3. Type 3. If filter testing of all three initial test filters consistently results in decreased efficiency over time (Figure 1), load the remaining 17 filters with NaCl to the level specified in the table above and record the maximum penetration reading.
- 5.6.4. Type 4. If filter testing of all three initial test filters consistently results in increased efficiency, then a decrease in efficiency, and then flattens out during the remainder of the complete run (Figure 1), for the remaining 17 filters, record the maximum penetration reading after reaching and maintaining a flat line for a period of 20 minutes following the decreasing segment in efficiency.
- 5.6.5. For any other filter type, determine loading at which maximum penetration consistently occurs and test at that loading value for the remaining 17 filters.
- 5.6.6. If any one of the 20 filters has a penetration greater than 1.0 %, further testing of that filter will be terminated. Any filter that exceeds the specified limit shall be remounted and retested to ensure that leakage was not caused by a mounting leak. If retesting eliminates the excessive leakage, that sample will be considered an invalid sample and another tested in its place.
- 5.7. The penetration of the first three filters will be measured, recorded, and printed at approximately 1 minute intervals during the test period. The highest penetration observed throughout the test of each filter will be recorded as the maximum penetration of that filter.
- 5.8. Determine and record on the data sheet the maximum filter penetration for each of the 20 filters.

6. PASS/FAIL CRITERIA

- 6.1. The legal basis for passing this test is set forth in 42 CFR, Part 84, Subpart K, Section 84.181.
- 6.2. The minimum efficiency for each of the 20 filters shall be determined and recorded and shall be equal to or greater than 99 %.
- 6.3. For the sample of 20 filters or filter cartridges to demonstrate acceptable performance, each filter shall meet or exceed the specified minimum efficiency level at the end point of the test.

7. RECORDS/TEST SHEETS

- 7.1. Record the test data in a format that shall be stored and retrievable.

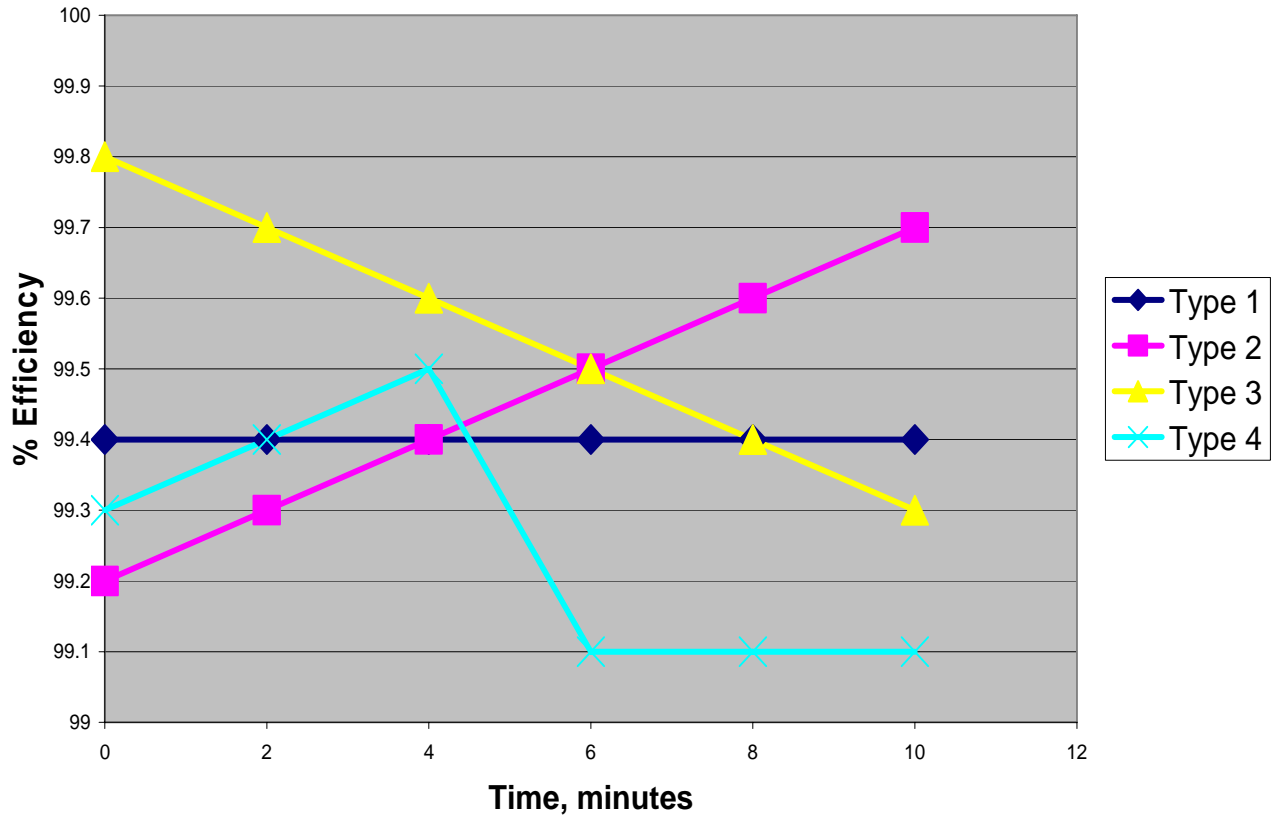
8. ATTACHMENTS

- 8.1. Fig. 1
- 8.2. Data Sheet
- 8.3. Test Setup



8.1 Figure 1

Figure 1





8.3 Test Setup



### Revision History

<b>Revision</b>	<b>Date</b>	<b>Reason for Revision</b>
1.0	7 March 2002	Historic document
1.1	24 August 2005	Update header and format to reflect lab move from Morgantown, WV No changes to method
2.0	05 October 2007	Significant rewrite of RCT-APR-STP-0051-56. Changes affect form and provide clarification of technical content.