

Dear 102-477 CCDF Grantee:

The enclosed Program Instruction (ACYF-PI-CC-04-03) contains the FY 2005 funding application procedures for tribal grantees that integrate their CCDF funds into a consolidated "Employment, Training and Related Services Plan," authorized by Public Law 102-477, as amended. This Program Instruction also contains 102-477 Plan requirements (i.e., CCDF requirements that must be included in a 102-477 plan) for those Tribes that have new 102-477 plans due to the U.S. Department of the Interior by July 1, 2004.

By applying for CCDF funds, a Tribe agrees to follow the provisions of the CCDBG Act of 1990, as amended, and applicable regulations at 45 CFR 98 and 99. However, in contrast to the required CCDF application and plan process, Tribes that consolidate CCDF funds under a 102-477 plan are permitted to submit abbreviated applications and plans for CCDF funding. In addition, a number of 102-477 plans are approved for three-year plan periods.

The enclosed chart "102-477 CCDF Application and Plan Information" lists what information current 102-477 grantees are required to submit by July 1, 2004 (i.e., only an application or an application and plan). If you have any questions about what your Tribe is required to submit for CCDF FY 2005 funding purposes, please contact Lynn Forcia at the U.S. Department of Interior at (202) 219-5270 or Ginny Gorman of my staff, at (202) 401-7260 or ggorman@acf.hhs.gov.

Please be reminded that all Tribes receiving CCDF funds, including 102-477 grantees, must first request and receive ACF approval through a separate application process before using CCDF funds for construction or major renovation. Program Instruction ACYF-PI-CC-04-04 (dated January 23, 2004) containing the application procedures can be found on the Child Care Bureau's website at: <http://www.acf.hhs.gov/programs/ccb/policy1/current/pi0401/pi0401.htm>.

Sincerely,

Shannon Christian
Associate Commissioner
Child Care Bureau

Enclosures

ACF

Administration
For Children
And Families

**U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration on Children, Youth and Families**

1. Log No: ACYF-PI-CC-04-03

2. Issuance Date: March 30, 2004

3. Originating Office: Child Care Bureau

4. Key Words: Child Care and Development Fund (CCDF) Fiscal Year 2005 Funding; Application and Plan Requirements to Consolidate CCDF Under a 102-477 Indian Employment, Training and Related Services Plan

**CHILD CARE AND DEVELOPMENT FUND
PROGRAM INSTRUCTION**

To: Tribal Lead Agencies consolidating child care programs under P.L. 102-477. This Program Instruction only applies to Tribes currently operating or planning to operate an employment, training and related services program under P.L. 102-477.

Purpose: To provide information on the procedures to consolidate the Child Care and Development Fund (CCDF) program with other employment and training funding sources under a P.L. 102-477 plan.

References: Section 418 of the Social Security Act; the Child Care and Development Block Grant Act of 1990 (CCDBG) as amended. 45 CFR Parts 98 and 99, and the Indian Employment, Training and Related Services Demonstration Act of 1992, as amended (P.L. 102-477).

Background: The Indian Employment, Training and Related Services Demonstration Act of 1992, as amended (P.L. 102-477) was enacted to:

- Demonstrate how Indian tribal governments can integrate the employment, training and related services they provide in order to improve the effectiveness of those services;
- Reduce joblessness in Indian communities;
- Foster economic development on Indian lands; and
- Serve tribally determined goals consistent with the policies of self-determination and self-governance.

In accordance with P.L. 102-477, eligible tribal applicants may choose to streamline tribal services by submitting a consolidated plan for employment, training and related services to the Office of Self Governance and Self Determination, Department of the Interior. Eligible applicants may include the Child Care and Development Fund (CCDF) in their 102-477 plan. The CCDF is comprised of two funding sources:

- Discretionary Funds – funding that is provided under the Child Care and Development Block Grant Act, as amended; and
- Tribal Mandatory Funds – funding that is provided to eligible Tribes and tribal organizations under Section 418 of the Social Security Act.

Tribes that elect to consolidate their CCDF funds under a 102-477 plan are still required to operate comprehensive CCDF programs. By applying for CCDF funds, a Tribe agrees to follow the provisions of the CCDBG Act of 1990, as amended, and applicable regulations at 45 CFR 98 and 99. However, in contrast to the required CCDF application and plan process, Tribes that consolidate CCDF funds under a 102-477 plan are permitted to submit abbreviated applications and plans for CCDF funding.

Eligibility:

Pursuant to 45 CFR 98.62, a Tribe is eligible to receive CCDF funds if the Tribe is federally recognized and the tribal population includes at least 50 children under age 13.

A Tribe with fewer than 50 children under age 13 may participate in a consortium of eligible tribes. In order to be eligible to receive CCDF funds on behalf of its member Tribes, a consortium must:

- Consist of Tribes that meet the eligibility requirements for the CCDF program or that would meet the eligibility requirements if the Tribe or tribal organization had at least 50 children under age 13; and
- Adequately demonstrate it has authorization from each participating Tribe to receive CCDF funds on behalf of the Tribe.

Special Rule for Indian Tribes in Alaska - Only the Metlakatla Indian Community of the Annette Islands Reserve and the 12 Alaska Native Regional Nonprofit Corporations are eligible to receive Tribal Mandatory Funds. New tribal applicants in Alaska should contact ACF Region X (Attachment C) with questions about this Special Rule.

The 102-477 Process:

To receive CCDF funding under a consolidated 102-477 plan, all tribal grantees and tribal applicants must: 1) Be eligible to receive CCDF funding (see Eligibility Section of this Program Instruction); and 2) Have submitted a consolidated 102-477 plan to the Office of Self Governance and Self Determination, Department of the Interior, that includes the CCDF program. Although 102-477 plans cover multi-year periods, current 102-477 grantees and new tribal applicants must apply annually for CCDF funds.

Annual 102-477 Application Requirement:

An annual Child Count Declaration (Attachment A) must be submitted to receive FY 2005 CCDF funds. By submitting a signed Child Count Declaration, the Tribe is making application for FY 2005 CCDF funds, according to the estimated allocation for the Tribe on the FY 2005 Tribal Estimates Chart (Attachment B).

By applying for FY 2005 CCDF funds a Tribe agrees to follow the provisions of the Child Care and Development Block Grant Act of 1990, as amended, and applicable regulations at 45 CFR Parts 98 and 99.

Current grantees that apply for FY 2005 funds continue to be required to meet the assurances and certifications provided in the 102-477 plan for the remainder of the plan period. A **new** tribal applicant must include the required assurances and certifications as part of its plan submittal (see Attachment D).

Tribal Child Counts

For funds that become available in FY 2005, ACF will calculate grant awards based on the number of children under age 13. A Tribe must submit a self-certified Child Count Declaration for children **under age 13** (not age 13 and under), in order to receive FY 2005 CCDF funds.

The Child Count Declaration must certify the number of Indian children (as defined in the CCDF section of the 102-477 Plan), under age 13, who reside on or near the reservation or service area. The Child Count Declaration is provided at Attachment A. The Child Count Declaration must be signed by the governing body of the Tribe or a person authorized to act for the applicant Tribe or tribal organization.

An application submitted without a Child Count Declaration will be treated as an incomplete application. Therefore, to facilitate the approval process, a signed, completed Child Count Declaration (Attachment A) must be submitted to the Office of Self Governance and Self Determination, Department of the Interior, by July 1, 2004 (Attachment C).

PLEASE BE ADVISED: The Tribal Lead Agency may not count any children who are included in the child count of another CCDF Tribal Lead Agency. To ensure unduplicated child counts, a Tribal Lead Agency is **required** to confer with **all** other CCDF Tribal Lead Agencies that have overlapping or neighboring service areas.

Tribal Lead Agencies are reminded that CCDF funds are allocated based on child counts of children from Federally recognized Indian Tribes, consistent with the Child Care and Development Block Grant Act's definition of Indian Tribe.

Tribal Lead Agencies are also advised that ACF will not accept declarations based on child counts that were conducted prior to July 1 of the previous year. For FY 2005 funding, the child count of children under age 13 must be completed **no later than** June 30, 2004 and **no earlier than** July 1, 2003.

102-477 Plan Requirements:

The CCDF program may be incorporated into a P.L. 102-477 plan that covers multi-year periods. If an applicant elects to include CCDF in a consolidated 102-477 plan, the following CCDF requirements must be included in the 102-477 plan:

1. A statement of intent to administer the CCDF program under a P.L. 102-477 consolidated plan.

2. A description of the way CCDF services will be integrated and delivered with the employment and training programs included in the 102-477 plan, and the results expected.
3. The agency or agencies of the tribal government (or tribal consortium) to be involved in the delivery of CCDF services under the plan. Note: if the CCDF Lead Agency changes during the approved plan period, the grantee must submit a written notification of this change to the BIA.
4. A description of the results of the Tribal Lead Agency's coordination activities with agencies responsible for health (including the agency responsible for immunizations), education, employment services or workforce development, and the State TANF agency and/or tribal TANF agency – if the Tribe is operating its own TANF program.
5. A description of the public hearing process held to provide the Tribe (or member Tribes in the case of a tribal consortium) an opportunity to comment on the proposed CCDF activities under the consolidated 102-477 plan.
6. A copy of a sliding fee scale that provides for cost sharing by families and is based on income and family size. A grantee may waive contributions from families whose incomes are at or below the poverty level for a family of the same size, or may apply different sliding fee scales.
7. Definitions for 1) Indian Child; and 2) Indian Reservation or Tribal Service Area. Tribes have broad latitude in defining these terms that are used to determine eligibility. An applicant's child count declaration -- required in the annual CCDF application -- is based on the definition of these terms.
8. Any statutory provisions, regulations, policies, or procedures that the Tribe requests to be waived.
9. A consortium must submit a demonstration (e.g., a tribal resolution) from each member Tribe that authorizes the consortium to receive CCDF funds on its behalf for the duration of the plan period.

Plan Waivers:

Section 7 of P.L. 102-477, as amended, authorizes the Secretary of the Department of Health and Human Services to waive any statutory provision, regulation, policy, or procedures requested by a Tribe, as long as the waiver is not inconsistent with the purpose of P.L. 102-477 or with provisions of the Child Care and Development Block Grant Act, as amended.

To obtain a waiver, the 102-477 plan **must**:

- Identify the specific statutory provision, regulation, policy or procedure;
- Justify the need for the waiver; and
- Explain what the Tribe will use to replace the existing statutory provision, regulation, policy or procedure, if applicable.

Waivers will be granted or denied on a case-by-case basis for each plan. Blanket waiver requests are not acceptable. See Attachment E for a list of statutory and regulatory requirements that will not be waived.

**Special
Instructions
For Tribal
Consortia:**

Individual Child Counts Required - A tribal consortium must submit an individual Child Count Declaration, signed by an individual authorized to act for the Tribe, for each Tribal member in a tribal consortium. A tribal consortium must also provide a summary section listing each tribal member's name and child count, and the total child count for all members.

Because of the statutory "Special Rule for Indian Tribes in Alaska" under Tribal Mandatory Funding, some Alaska Native Regional Nonprofit Corporations will have to provide a separate child count certification for Discretionary Funding purposes. This count will consist of its self-certified Tribal Mandatory Funding count, minus the child count number for any Alaska Tribal grantee in its Region which applies directly for Discretionary Funding. In instances where a Regional Corporation has separate child counts for Discretionary and Tribal Mandatory Funds, both counts may be reported on the Child Count Declaration (Attachment A).

Membership Changes – It is the responsibility of a tribal consortium to notify ACF of any changes in its membership for CCDF funding purposes during the approved plan period. For example - - if a new Tribe joins a tribal consortium to receive FY 2005 CCDF funds, or if a current tribal consortium member elects not to receive FY 2005 CCDF funds through that tribal consortium - - these changes must be conveyed to ACF in writing by the tribal consortium. Alternately, if a member Tribe elects not to receive FY 2005 CCDF funds through a tribal consortium, it is the tribal consortium's responsibility to notify ACF of this change.

**Exempt vs.
Non-Exempt
Grantee Status:**

ACF recognizes that a number of small CCDF grantees do not have the necessary infrastructure to support certain CCDF requirements, such as a certificate program. Similarly, in many small rural communities child care options are often limited. Consequently, additional flexibility has been provided for smaller Tribes and tribal organizations by "exempting" them from certain CCDF requirements (see 45 CFR 98.83(f)). Tribal Lead Agencies with CCDF allocations equal to or greater than \$500,000 for a fiscal year are considered non-exempt grantees; therefore: 1) no less than 4 percent of the aggregate CCDF funds expended for a fiscal year must be used for quality activities; and 2) the Tribal Lead Agency must operate a certificate program that offers parental choice from a full range of providers (i.e., center-based, group home, family and in-home care).

Transition Period Moving into Non-Exempt Status

A Tribal Lead Agency that moves from the exempt to non-exempt category has a phase-in period of **up to one year** to meet the CCDF non-exempt requirements. For example, if a Tribal Lead Agency's FY 2004 allocation moved it into the non-exempt category, the Tribal Lead Agency must meet the non-exempt requirements by October 1, 2004. Please contact your ACF Regional Office (Attachment C) if you have questions about exempt or non-exempt grantee status.

**Funding
Estimates and
Allocation
Formulas:**

ACF estimates that \$96,334,580 in FY 2005 CCDF funds will be available for tribal grantees on October 1, 2004 (\$41,994,580 in Discretionary Funds and \$54,340,000 in Tribal Mandatory Funds).

Grants from Discretionary Funds will include a base amount of \$20,000 plus a per-child amount (approximately \$59 per-child in FY 2004) for each Tribe or tribal consortium with a minimum of 50 children. Grants from Tribal Mandatory Funds are calculated solely on a per-child basis (approximately \$102 per-child in FY 2004) and do not include a base amount.

Since the per-child amount for both Discretionary and Tribal Mandatory Funds depends upon the total number of children in all participating Tribes, ACF cannot calculate in advance the exact per-child amount. However, Tribes and tribal organizations may use the FY 2005 Tribal Estimates Chart (See Attachment B) as a guide. A new applicant should use the base amount plus approximately \$59 per-child to estimate its allotment for Discretionary funding, and should use approximately \$102 per-child to estimate its allotment for Tribal Mandatory funding.

A tribal consortium should estimate its allotment for Discretionary funding for each of its members by calculating a portion of the base amount that is equivalent to the ratio of the number of children in each member Tribe to 50, plus the additional per-child amount. For example, a Tribe with 49 children is allotted 49/50ths of \$20,000, or \$19,600. The per-child amount is then multiplied by 49 and added to the \$19,600 base amount.

Important Note: These amounts are provided for the purpose of estimating the allotments that will become available on October 1, 2004 and may increase or decrease when updated data become available before the final grant awards are issued. The estimates reflect the Administration's FY 2005 budget request.

**Discretionary
Earmark:**

The Administration's FY 2005 budget request includes an earmark for school-age care and resource and referral activities. The FY 2005 Tribal Estimates Chart (Attachment B) shows the estimated earmark requirement for FY 2005. The column labeled Discretionary Earmark shows the estimated amount that must be spent on resource and referral activities and school-age care. The column labeled Discretionary After Earmark is the amount of Discretionary Funds remaining after the earmark that can be spent on any allowable CCDF activities (assuming quality expenditure, administrative cost, and other Federal requirements are met). The earmark for resource and referral activities and school-age care is based on a \$500 amount per Tribe plus a per-child amount. Amounts in the FY 2005 Tribal Estimates Chart (Attachment B) are estimates that may increase or decrease when final grant awards are issued.

Note to Non-Exempt Tribal Lead Agencies: The earmarked funds are to be used in addition to the “not less than 4 percent” required to be spent on activities that improve the quality and availability of child care.

Administrative Costs:

Tribal Lead Agencies are reminded that no more than 15 percent of the aggregate CCDF funds expended from each year’s allotment may be used for administrative costs. Tribal Lead Agencies are advised to review the CCDF regulations at 45 CFR 98.52 for a discussion of administrative costs. Note: the Discretionary Funds base amount is neither subject to the 15 percent limitation, nor included in the calculation for the 15 percent limitation.

Construction and Renovation Under a 102-477 Plan:

In order to use CCDF funds for construction or major renovation projects, all Tribes receiving CCDF funds -- including Tribes with approved 102-477 plans - - are required to follow ACF’s construction and renovation application procedures (Program Instruction ACYF-PI-CC-04-01, dated January 23, 2004). This Program Instruction is available under the “Application and Plan” section of the Child Care Bureau’s website at: <http://www.acf.dhhs.gov/programs/ccb/policy1/triblist.htm>. Early in the planning process, Tribes are advised to contact their appropriate ACF Regional Office (Attachment C). If a Tribe constructs or renovates more than one facility, it must seek ACF approval for each project (even if the projects use identical plans and specifications).

Deadlines:

All CCDF applications (and plans, if applicable) must be postmarked or hand delivered to the Office of Self Governance and Self Determination, Department of the Interior, no later than July 1, 2004. Any applications and plans postmarked after that date will not be accepted. Faxed copies will not be accepted.

Electronic Format:

The required Child Count Declaration is available in electronic format from ACF Regional Offices, and under the “Application and Plan” section of the Child Care Bureau’s website at: <http://www.acf.dhhs.gov/programs/ccb/policy1/triblist.htm>

Submitting the Materials:

The original and 1 copy of the materials **must** be mailed or hand-delivered to the:

An additional copy **must** also be sent to the:

Department of the Interior, Office of
Self Governance and Self Determination
Office of Economic Development
Division of Job Placement and Training
1849 C Street, NW
Mail Stop 2412 MIB
Washington, D.C. 20240

ACYF Operations Center
c/o The Dixon Group, Inc.
CCDF 2005 Tribal Applications
118 Q Street, N.E.,
Washington, DC 20002-2132

Technical Assistance:

Tribes should refer to the **“Preparation of Tribal Plans, Pursuant to Public Law 102-477, PROPOSED GUIDANCE FOR TRIBAL GOVERNMENTS”** in completing a consolidated 102-477 plan. To obtain a copy of this document, or for questions related to overall operation of P.L. 102-477, please contact: Bonnie DeWeaver, 102-477 Technical Assistance Coordinator, at (888) 469-5978.

Questions related to the CCDF program should be directed to the appropriate ACF Regional Office (Attachment C). For general inquiries about consolidating CCDF into a 102-477 plan, you may also contact Ginny Gorman in ACF's Child Care Bureau at (202) 401-7260.

- Reporting Requirements:** CCDF Tribal grantees with an approved 102-477 plan will receive their CCDF funding through the BIA. Therefore, program and financial reporting of CCDF funds awarded under a 102-477 consolidated plan will be made to the BIA. However, the expenditure and obligation of all CCDF funds awarded prior to a 102-477 plan approval will continue to be reported to ACF on the ACF-696-T financial reporting form. Similarly, program data on CCDF funds awarded prior to a 102-477 plan approval must be reported on the ACF-700 data reporting form.
- Obligation and Liquidation Periods** Tribal Lead Agencies must obligate FY 2005 Tribal Mandatory and Discretionary Funds by September 30, 2006 and liquidate all funds by September 30, 2007.
- Audits:** Tribes that elect to consolidate their CCDF funds under a 102-477 plan must follow the audit requirements established in OMB Circular A-133 "Audits of States, Local Governments and Non-Profit Organizations," pursuant to the Single Audit Act Amendments of 1996.
- Paperwork Reduction Act:** An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The information collection required under this Program Instruction is approved under OMB Number 0970-0198, which expires June 30, 2005.

Joan E. Ohl
Commissioner

Attachments:

- A - Child Count Declaration
- B - FY 2005 Tribal Estimates Chart
- C - ACF Regional Administrators List
- D - List of Assurances and Certifications
- E - CCDF Statutory and Regulatory Requirements That Will Not Be Waived

CHILD COUNT DECLARATION

Name of Tribal Lead
Agency: _____

This certifies that the number of Indian children under age 13 (as defined in the CCDF section of the 102-477 plan) who reside on or near the reservation or service area (as defined in the CCDF section of the 102-477 plan) is: _____.
number

This count shows the number of Indian children under age 13 as of _____.
date

Signature of Individual Authorized to
Act for the Tribe

FY 2005 CCDF ESTIMATED TRIBAL ALLOCATION 1/

<u>TRIBE</u>	FY 2005	FY 2005	FY 2005	DISCRETIONARY	DISCRETIONARY	DISCRETIONARY	ESTIMATED
	<u>MANDATORY ALLOCATION</u>	<u>DISC. BASE</u>	<u>DISC. PER CHILD</u>	<u>BEFORE EARMARK</u>	<u>EARMARK</u>	<u>AFTER EARMARK</u>	<u>TOTAL FY 2005 CCDF FUNDING</u>
ALABAMA							
Poarch Band of Creeks	9,779	20,000	5,625	25,625	545	25,080	35,404
ALASKA							
Agdaagux Tribal Council		20,000	6,914	26,914	556	26,358	26,914
Akaichak Native Community		20,000	12,890	32,890	604	32,286	32,890
Akiak Native Community		20,000	7,207	27,207	558	26,649	27,207
Aleutian/Pribilof Island Association, Inc.	39,625	103,200	22,791	125,991	683	125,308	165,616
Arctic Slope Native Association	182,947	120,000	37,029	157,029	798	156,231	339,976
Asa'carsarmiut Tribal Council		20,000	17,577	37,577	641	36,936	37,577
Association of Village Council Presidents, Inc.	794,943	697,600	306,191	1,003,791	2,964	1,000,827	1,798,734
Bristol Bay Native Association	154,934	315,600	89,115	404,715	1,217	403,498	559,649
Chilkat Indian Village		20,000	3,105	23,105	525	22,580	23,105
Chugachmiut	49,200	64,800	28,299	93,099	728	92,371	142,299
Cook Inlet Tribal Council, Inc.	840,985	78,800	423,840	502,640	3,911	498,729	1,343,625
Copper River Native Association	13,752	46,800	7,910	54,710	564	54,146	68,462
Hoonah Indian Assoc.		20,000	14,706	34,706	618	34,088	34,706
Kawerak, Inc.	227,767	338,000	131,007	469,007	1,554	467,453	696,774
Kenaitze Indian Tribe IRA		20,000	18,749	38,749	651	38,098	38,749
Kivalina IRA Council		20,000	7,031	27,031	557	26,474	27,031
Kodiak Area Native Association	128,348	83,200	73,823	157,023	1,094	155,929	285,371
Maniilaq Association	207,802	195,200	112,493	307,693	1,405	306,288	515,495
Metlakatla Indian Community	56,025	20,000	32,224	52,224	759	51,465	108,249
Mt. Sanford Tribal Consortium		23,200	3,398	26,598	527	26,071	26,598
Native Village of Barrow		20,000	53,786	73,786	933	72,853	73,786
Native Village of Point Hope		20,000	14,413	34,413	616	33,797	34,413
Ninilchik Traditional Council		20,000	11,952	31,952	596	31,356	31,952
Organized Village of Kwethluk		20,000	14,765	34,765	619	34,146	34,765
Orutsararmiut Native Council		20,000	89,936	109,936	1,224	108,712	109,936
Sitka Tribe of Alaska		20,000	28,826	48,826	732	48,094	48,826
Tanana Chiefs Conference, Inc.	539,062	460,800	310,058	770,858	2,995	767,863	1,309,920
Tlingit & Haida Tribes of Alaska	657,019	247,200	323,358	570,558	3,103	567,455	1,227,577
Tuluksak Native Village		20,000	8,378	28,378	567	27,811	28,378
Yakutat Tlingit Tribe		20,000	7,910	27,910	564	27,346	27,910
ARIZONA							
Cocopah Indian Tribe	19,762	20,000	11,366	31,366	591	30,775	51,128

Fort McDowell Mohave-Apache	176,428	20,000	101,478	121,478	1,317	120,161	297,906
Gila River Indian Community	994,289	20,000	571,897	591,897	5,103	586,794	1,586,186
Havasupai Tribal Council	14,668	20,000	8,437	28,437	568	27,869	43,105
Hopi Tribe	260,771	20,000	149,990	169,990	35,988	134,002	430,761
Hualapai Tribal Council	179,993	20,000	103,528	123,528	1,707	121,821	303,521
Navajo Nation	7,665,126	20,000	4,408,828	4,428,828	1,333	4,427,495	12,093,954
Pascua Yaqui	277,985	20,000	159,892	179,892	1,787	178,105	457,877
Quechan Indian Tribe	42,783	20,000	24,608	44,608	698	43,910	87,391
Salt River Pima-Maricopa	218,905	20,000	125,910	145,910	1,513	144,397	364,815
San Carlos Apache Tribe	285,218	20,000	164,052	184,052	1,820	182,232	469,270
Tohono O'Odham	675,559	20,000	388,569	408,569	3,627	404,942	1,084,128
White Mountain Apache Tribe	535,904	20,000	308,242	328,242	2,981	325,261	864,146
Yavapai-Apache Tribe (Camp Verde)	22,817	20,000	13,124	33,124	606	32,518	55,941
CALIFORNIA							
Bear River Band of Rohnerville	18,845	20,000	10,839	30,839	587	30,252	49,684
Bishop Paiute Tribe	39,625	20,000	22,791	42,791	683	42,108	82,416
California Indian Manpower, Inc	105,734	209,200	60,816	270,016	989	269,027	375,750
California Rural Indian Health Board	245,797	140,000	141,378	281,378	1,638	279,740	527,175
Campo Consortia	35,652	73,200	20,506	93,706	665	93,041	129,358
Chico Rancheria (Mechoopda)	49,709	20,000	28,592	48,592	730	47,862	98,301
Chukchansi Tribe of Picayane Rancharia	27,198	20,000	15,644	35,644	626	35,018	62,842
Cloverdale Rancheria	14,770	20,000	8,496	28,496	568	27,928	43,266
Cortina Indian Rancheria	11,511	20,000	6,621	26,621	553	26,068	38,132
Coyote Valley	11,307	20,000	6,503	26,503	552	25,951	37,810
Dry Creek Rancheria	17,419	20,000	10,019	30,019	581	29,438	47,438
Enterprise Rancheria	21,086	20,000	12,128	32,128	598	31,530	53,214
Fort Mojave Tribe	32,596	20,000	18,749	38,749	651	38,098	71,345
Hoopa Tribe	64,174	20,000	36,912	56,912	797	56,115	121,086
Hopland Band of Pomo Indians	23,938	20,000	13,769	33,769	611	33,158	57,707
Inter Tribal Council of California	88,214	228,400	50,739	279,139	908	278,231	367,353
Karuk Tribe	28,929	20,000	16,640	36,640	634	36,006	65,569
La Jolla Tribe	11,511	20,000	6,621	26,621	553	26,068	38,132
Lytton Rancheria	10,798	20,000	6,211	26,211	550	25,661	37,009
Mooretown Rancheria	21,289	20,000	12,245	32,245	599	31,646	53,534
North Fork Rancheria	37,282	20,000	21,444	41,444	673	40,771	78,726
Pala Band of Mission Indians	21,391	20,000	12,304	32,304	599	31,705	53,695
Pauma Band of Mission Indians	5,501	20,000	3,164	23,164	525	22,639	28,665
Pinoleville Indian Community	6,214	20,000	3,574	23,574	529	23,045	29,788
Pit River Tribe	69,573	20,000	40,017	60,017	822	59,195	129,590
Quartz Valley Indian Commun.	6,825	20,000	3,926	23,926	532	23,394	30,751

Redding Rancheria	183,151	20,000	105,345	125,345	1,348	123,997	308,496
Rincon San Luiseno Band	5,093	20,000	2,929	22,929	524	22,405	28,022
Robinson Rancheria	25,364	20,000	14,589	34,589	617	33,972	59,953
Round Valley Indian Tribe	158,092	20,000	90,932	110,932	1,232	109,700	269,024
Scotts Valley Band of Pomo	6,825	20,000	3,926	23,926	532	23,394	30,751
Shingle Springs Rancheria	13,650	20,000	7,851	27,851	563	27,288	41,501
Smith River	32,902	20,000	18,925	38,925	652	38,273	71,827
Soboba Band of Mission Indians	20,067	20,000	11,542	31,542	593	30,949	51,609
Southern California Tribal Chairmen's Association	16,909	43,200	9,726	52,926	578	52,348	69,835
Susanville Rancheria/Lassen	20,984	20,000	12,070	32,070	597	31,473	53,054
Table Bluff Rancheria	7,029	20,000	4,043	24,043	533	23,510	31,072
Torres Martinez Desert Cahuilla	18,641	20,000	10,722	30,722	586	30,136	49,363
Tyme Maidu Berry Creek Rancheria	18,437	20,000	10,605	30,605	585	30,020	49,042
United Auburn	9,779	20,000	5,625	25,625	545	25,080	35,404
Yurok Tribe	209,126	20,000	120,285	140,285	1,468	138,817	349,411
COLORADO							
Ute Mountain Ute Tribe	66,211	20,000	38,083	58,083	807	57,276	124,294
FLORIDA							
Miccosukee Corporation	29,031	20,000	16,698	36,698	634	36,064	65,729
IDAHO							
Coeur d'Alene Tribes	139,044	20,000	79,975	99,975	1,144	98,831	239,019
Nez Perce Tribe	122,032	20,000	70,191	90,191	1,065	89,126	212,223
Northwestern Band of Shoshoni Nation	25,466	20,000	14,647	34,647	618	34,029	60,113
Shoshone-Bannock Tribes	146,582	20,000	84,311	104,311	1,179	103,132	250,893
IOWA							
Sac and Fox Tribe of the Mississippi in IA	61,322	20,000	35,271	55,271	784	54,487	116,593
KANSAS							
Kickapoo	31,068	20,000	17,870	37,870	644	37,226	68,938
Prairie Band of Potawatomi	30,050	20,000	17,284	37,284	639	36,645	67,334
Iowa Tribe of Kansas and Nebraska	22,308	20,000	12,831	32,831	603	32,228	55,139
LOUISIANA							
Chitimacha Tribe	24,549	20,000	14,120	34,120	614	33,506	58,669
Coushatta Tribe	22,716	20,000	13,066	33,066	605	32,461	55,782
Tunica Biloxi	11,103	20,000	6,386	26,386	551	25,835	37,489
MAINE							
Aroostook Band of Micmac Indians	17,521	20,000	10,077	30,077	581	29,496	47,598
Houlton Band of Maliseet Indians	10,186	20,000	5,859	25,859	547	25,312	36,045
Indian Township Passamaquoddy	16,400	20,000	9,433	29,433	576	28,857	45,833
Penobscot Nation	38,403	20,000	22,088	42,088	678	41,410	80,491
Pleasant Point Passamaquoddy	36,162	20,000	20,799	40,799	667	40,132	76,961

MASSACHUSETTS							
Wampanoag of Gay Head	7,436	20,000	4,277	24,277	534	23,743	31,713
MICHIGAN							
Bay Mills Indian Community	36,263	20,000	20,858	40,858	668	40,190	77,121
Grand Traverse Band of Ottawa/Chippewa	39,421	20,000	22,674	42,674	682	41,992	82,095
Hannahville Indian Community (Potawatomi)	37,689	20,000	21,678	41,678	674	41,004	79,367
Keweenaw Bay Indian Community	33,106	20,000	19,042	39,042	653	38,389	72,148
Lac Vieux Desert	11,511	20,000	6,621	26,621	553	26,068	38,132
Little Traverse Bay Bands of Odawa Indians	20,475	20,000	11,777	31,777	595	31,182	52,252
Pokagon Band of Potawatomi Indians	67,841	20,000	39,021	59,021	814	58,207	126,862
Sault St. Marie Tribe of Chippewas	299,581	20,000	172,313	192,313	1,887	190,426	491,894
MINNESOTA							
Bois Forte Reservation (Nett Lake)	75,888	20,000	43,650	63,650	851	62,799	139,538
Fond Du Lac	269,734	20,000	155,146	175,146	1,749	173,397	444,880
Grand Portage	14,465	20,000	8,320	28,320	567	27,753	42,785
Leech Lake	380,155	20,000	218,658	238,658	2,260	236,398	618,813
Lower Sioux Indian Tribe of Minnesota	26,077	20,000	14,999	34,999	621	34,378	61,076
Mille Lacs Band of Chippewa	80,574	20,000	46,345	66,345	873	65,472	146,919
Prairie Island Indian Community of Minn.	23,225	20,000	13,359	33,359	608	32,751	56,584
Red Lake	322,398	20,000	185,437	205,437	1,992	203,445	527,835
Shakopee Mdewakanton Sioux Community	26,586	20,000	15,292	35,292	623	34,669	61,878
Upper Sioux Community	14,057	20,000	8,085	28,085	565	27,520	42,142
White Earth	360,495	20,000	207,350	227,350	2,169	225,181	587,845
MISSISSIPPI							
Mississippi Band of Choctow	262,910	20,000	151,221	171,221	1,717	169,504	434,131
MONTANA							
Blackfeet Nation	275,235	20,000	158,310	178,310	1,774	176,536	453,545
Chippewa-Cree Tribe (Rocky Boys)	113,068	20,000	65,035	85,035	1,023	84,012	198,103
Confederated Tribe of Salish & Kootenai	319,036	20,000	183,504	203,504	1,977	201,527	522,540
Crow Tribe	362,328	20,000	208,404	228,404	2,177	226,227	590,732
Fort Belknap Community Council	157,787	20,000	90,756	110,756	1,230	109,526	268,543
Fort Peck Assiniboine & Sioux	343,484	20,000	197,565	217,565	2,090	215,475	561,049
Northern Cheyenne Tribe	324,333	20,000	186,550	206,550	2,001	204,549	530,883
NEBRASKA							
Omaha	104,512	20,000	60,113	80,113	984	79,129	184,625
Ponca Tribe of Nebraska	30,253	20,000	17,401	37,401	640	36,761	67,654
Santee Sioux	41,866	20,000	24,080	44,080	694	43,386	85,946
Winnebago	160,842	20,000	92,514	112,514	1,245	111,269	273,356
NEVADA							
Ely Shoshone Duck Water Tribe	11,103	29,200	6,386	35,586	551	35,035	46,689

Fallon Paiute-Shoshone Tribe	17,011	20,000	9,785	29,785	579	29,206	46,796
Inter Tribal Council of Nevada	311,804	203,200	179,344	382,544	1,943	380,601	694,348
Las Vegas Paiute	166,649	20,000	95,853	115,853	1,271	114,582	282,502
Pyramid Lake Paiute Tribal Council	60,303	20,000	34,685	54,685	779	53,906	114,988
Reno-Sparks Indian Colony	33,615	20,000	19,335	39,335	656	38,679	72,950
Shoshone-Paiute Tribe of Duck Valley	43,292	20,000	24,901	44,901	700	44,201	88,193
Walker River Paiute Tribe	30,559	20,000	17,577	37,577	641	36,936	68,136
NEW MEXICO							
Eight Northern Indian Pueblos	144,952	137,600	83,374	220,974	1,171	219,803	365,926
Mescalero Apache	115,309	20,000	66,324	86,324	1,034	85,290	201,633
Pueblo of Acoma	209,839	20,000	120,695	140,695	1,471	139,224	350,534
Pueblo of Cochiti	39,829	20,000	22,909	42,909	684	42,225	82,738
Pueblo of Isleta	79,861	20,000	45,935	65,935	870	65,065	145,796
Pueblo of Jemez(Jemus Pueblo)	65,702	20,000	37,791	57,791	804	56,987	123,493
Pueblo of Laguna	158,703	20,000	91,283	111,283	1,235	110,048	269,986
Pueblo of Sandia	11,205	20,000	6,445	26,445	552	25,893	37,650
Pueblo de San Felipe	83,732	20,000	48,161	68,161	888	67,273	151,893
Pueblo of Zia	18,437	20,000	10,605	30,605	585	30,020	49,042
Pueblo of Zuni	226,341	20,000	130,187	150,187	1,548	148,639	376,528
Santa Ana Pueblo	14,159	20,000	8,144	28,144	566	27,578	42,303
Santo Domingo Pueblo	101,456	20,000	58,356	78,356	970	77,386	179,812
Taos Pueblo	96,057	20,000	55,250	75,250	945	74,305	171,307
NEW YORK							
St. Regis Mohawk	213,913	20,000	123,039	143,039	1,490	141,549	356,952
Seneca Nation	175,816	20,000	101,126	121,126	1,314	119,812	296,942
NORTH CAROLINA							
Eastern Band of Cherokee	321,176	20,000	184,734	204,734	1,987	202,747	525,910
NORTH DAKOTA							
Spirit Lake Nation	326,676	20,000	187,898	207,898	2,012	205,886	534,574
Standing Rock Sioux Tribe	500,354	20,000	287,794	307,794	2,816	304,978	808,148
Three Affiliated Tribes	219,720	20,000	126,379	146,379	1,517	144,862	366,099
Trenton Indian Service Area	61,118	20,000	35,154	55,154	783	54,371	116,272
Turtle Mountain Band of Chippewa	462,257	20,000	265,881	285,881	2,640	283,241	748,138
OKLAHOMA							
Absentee Shawnee Tribe	183,252	20,000	105,403	125,403	1,348	124,055	308,655
Alabama-Quassarte Tribal Town	65,294	20,000	37,556	57,556	802	56,754	122,850
Apache Tribe of Oklahoma	75,583	20,000	43,474	63,474	850	62,624	139,057
Caddo Indian Tribe of Oklahoma	194,763	20,000	112,024	132,024	1,402	130,622	326,787
Central Tribes of Shawnee Area, Inc.	332,992	20,000	191,531	211,531	2,042	209,489	544,523
Cherokee Nation	4,797,667	20,000	2,759,528	2,779,528	22,710	2,756,818	7,577,195

Cheyenne & Arapaho	216,358	20,000	124,445	144,445	1,502	142,943	360,803
Chicksaw Nation	697,154	20,000	400,990	420,990	3,727	417,263	1,118,144
Choctaw Nation	2,306,393	20,000	1,326,594	1,346,594	11,177	1,335,417	3,652,987
Citizen Band Potawatomi	981,353	20,000	564,456	584,456	5,043	579,413	1,565,809
Comache Tribe of Oklahoma	156,666	20,000	90,111	110,111	1,225	108,886	266,777
Delaware Tribe of Indians	760,105	20,000	437,198	457,198	4,019	453,179	1,217,303
Delaware Tribe of Western Oklahoma	21,391	20,000	12,304	32,304	599	31,705	53,695
Eastern Shawnee	528,366	20,000	303,906	323,906	2,946	320,960	852,272
Fort Sill Apache Tribe	7,538	20,000	4,336	24,336	535	23,801	31,874
Iowa Tribe of Oklahoma	109,605	20,000	63,043	83,043	1,007	82,036	192,648
Kaw	114,189	20,000	65,679	85,679	1,029	84,650	199,868
Kialegee	12,325	20,000	7,089	27,089	557	26,532	39,414
Kickapoo	75,379	20,000	43,357	63,357	849	62,508	138,736
Kiowa	126,107	20,000	72,534	92,534	1,084	91,450	218,641
Miami Tribe of Oklahoma	528,366	60,000	303,906	363,906	2,946	360,960	892,272
Modoc Tribe of Oklahoma	528,366	20,000	303,906	323,906	2,946	320,960	852,272
Muscogee-Creek Nation	2,492,702	20,000	1,433,755	1,453,755	12,039	1,441,716	3,946,457
Osage Nation	655,899	20,000	377,261	397,261	3,536	393,725	1,053,160
Otoe-Missouria	51,747	20,000	29,764	49,764	740	49,024	101,511
Pawnee	78,842	20,000	45,349	65,349	865	64,484	144,191
Ponca Tribe	83,528	20,000	48,044	68,044	887	67,157	151,572
Quapaw Tribe	528,366	20,000	303,906	323,906	2,946	320,960	852,272
Seminole Tribe of Oklahoma	254,353	20,000	146,299	166,299	1,677	164,622	420,652
Seneca Cayuga Tribe of Oklahoma	528,366	20,000	303,906	323,906	2,946	320,960	852,272
Shawnee Tribe	528,366	20,000	303,906	323,906	2,946	320,960	852,272
Thlopthlocco Tribal Town	8,964	20,000	5,156	25,156	541	24,615	34,120
Tonkawa Tribe	43,292	20,000	24,901	44,901	700	44,201	88,193
United Keetowah Band of Cherokee	280,226	20,000	161,181	181,181	1,797	179,384	461,407
Wichita and Affiliated Tribes	65,396	20,000	37,615	57,615	803	56,812	123,011
Wyandotte Tribe	528,366	20,000	303,906	323,906	2,946	320,960	852,272
OREGON							
Burns Paiute Tribe	6,621	20,000	3,808	23,808	531	23,277	30,429
Confederated Tribes of Coos, Lower Umpqua, Siuslaw	11,103	20,000	6,386	26,386	551	25,835	37,489
Conederated Tribes of Grande Ronde	104,716	20,000	60,230	80,230	985	79,245	184,946
Conf. Tribes of Siletz Indians	172,964	20,000	99,486	119,486	1,301	118,185	292,450
Confederated Tribes of Umatilla Indian Reservation	112,457	20,000	64,683	84,683	1,021	83,662	197,140
Conf. Tribes of Warm Springs	139,553	20,000	80,268	100,268	1,146	99,122	239,821
Coquille Indian Tribe	12,631	20,000	7,265	27,265	558	26,707	39,896
Cow Creek Band Of Umpqua Tribe	16,706	20,000	9,609	29,609	577	29,032	46,315
Klamath Tribes	117,754	20,000	67,730	87,730	1,045	86,685	205,484

RHODE ISLAND							
Narragansett	49,302	20,000	28,358	48,358	728	47,630	97,660
SOUTH CAROLINA							
Catawba Indian Nation	95,854	20,000	55,133	75,133	944	74,189	170,987
SOUTH DAKOTA							
Cheyenne River Sioux	349,697	20,000	201,139	221,139	2,119	219,020	570,836
Crow Creek Sioux Tribe	112,254	20,000	64,566	84,566	1,020	83,546	196,820
Flandreau Santee Sioux Tribe	66,822	20,000	38,435	58,435	809	57,626	125,257
Lower Brule Sioux	111,439	20,000	64,097	84,097	1,016	83,081	195,536
Oglala Sioux	1,731,883	20,000	996,146	1,016,146	8,517	1,007,629	2,748,029
Rosebud Sioux	450,339	20,000	259,026	279,026	2,585	276,441	729,365
Sisseton-Wapheton Sioux Tribe	152,388	20,000	87,651	107,651	1,205	106,446	260,039
Yankton Sioux Tribe	164,713	20,000	94,740	114,740	1,263	113,477	279,453
TEXAS							
Alabama-Coushatta	11,103	20,000	6,386	26,386	551	25,835	37,489
Ysleta Del Sur Pueblo	22,308	20,000	12,831	32,831	603	32,228	55,139
UTAH							
Paiute Indian Tribe	23,938	20,000	13,769	33,769	611	33,158	57,707
Ute Indian Tribe	151,675	20,000	87,240	107,240	1,202	106,038	258,915
WASHINGTON							
Confederated Tribes of Colville	204,033	20,000	117,356	137,356	1,445	135,911	341,389
Confederated Tribes of Yakima Indian Nation	695,829	20,000	400,228	420,228	3,721	416,507	1,116,057
Jamestown S'Klallam Tribe	75,073	20,000	43,181	63,181	848	62,333	138,254
Kalispel Tribe of Indians	11,511	20,000	6,621	26,621	553	26,068	38,132
Lower Elwha Tribal Council	107,364	20,000	61,754	81,754	997	80,757	189,118
Lummi Indian Nation	150,249	20,000	86,420	106,420	1,196	105,224	256,669
Makah Tribal Council	33,309	20,000	19,159	39,159	654	38,505	72,468
Muckleshoot Indian Tribe	211,265	20,000	121,516	141,516	1,478	140,038	352,781
Nooksack Indian Tribe	34,328	20,000	19,745	39,745	659	39,086	74,073
Port Gamble S'Klallam	29,133	20,000	16,757	36,757	635	36,122	65,890
Puyallup Tribe of Indians	636,851	20,000	366,304	386,304	3,448	382,856	1,023,155
Quileute Tribal Council	41,153	20,000	23,670	43,670	691	42,979	84,823
Quinalt Indian Nation	75,888	20,000	43,650	63,650	851	62,799	139,538
Samish Indian Tribe	14,770	20,000	8,496	28,496	568	27,928	43,266
Sauk Suiattle Indian Tribe	6,010	20,000	3,457	23,457	528	22,929	29,467
Skokomish Indian Tribe	62,340	20,000	35,857	55,857	789	55,068	118,197
Snoqualmie Tribe	110,318	20,000	63,453	83,453	1,011	82,442	193,771
South Puget Intertribal Planning Agency	341,854	80,000	196,628	276,628	2,083	274,545	618,482
Spokane Tribe of Indians	103,799	20,000	59,703	79,703	981	78,722	183,502
Stillaguamish	53,580	20,000	30,818	50,818	748	50,070	104,398

Suquamish	48,487	20,000	27,889	47,889	724	47,165	96,376
Swinomish Tribal Community	25,262	20,000	14,530	34,530	617	33,913	59,792
Tulalip Tribes	146,174	20,000	84,077	104,077	1,177	102,900	250,251
Upper Skagit Indian Tribe	22,003	20,000	12,655	32,655	602	32,053	54,658
WISCONSIN							
Bad River of Lake Superior	33,513	20,000	19,276	39,276	655	38,621	72,789
Forest County Potawatomi	40,542	20,000	23,319	43,319	688	42,631	83,861
Ho-Chunk Nation (Winnebago)	94,020	20,000	54,079	74,079	935	73,144	168,099
Lac Court Oreilles	88,214	20,000	50,739	70,739	908	69,831	158,953
Lac Du Flambeau Chippewa	58,877	20,000	33,865	53,865	773	53,092	112,742
Menominee Indian Tribe of Wisconsin	149,841	20,000	86,186	106,186	1,194	104,992	256,027
Oneida Tribe	188,447	20,000	108,391	128,391	1,372	127,019	316,838
Red Cliff Chippewas	41,968	20,000	24,139	44,139	694	43,445	86,107
Sokaogan Chippewa	10,594	20,000	6,093	26,093	549	25,544	36,687
St. Croix Chippewa	64,276	20,000	36,970	56,970	798	56,172	121,246
Stockbridge-Munsee Tribal Council	42,579	20,000	24,491	44,491	697	43,794	87,070
WYOMING							
Eastern Shoshone	86,380	20,000	49,684	69,684	900	68,784	156,064
Northern Arapaho	205,255	20,000	118,059	138,059	1,450	136,609	343,314
TOTALS	54,340,000	8,758,400	31,236,180	41,994,580	382,400	41,612,180	96,334,580

1/ Up to \$2,000,000 will be awarded to "other tribal organizations" in accordance with 45 CFR 98.61 (e).

List of ACF Regional Administrators

<p>REGION I: Connecticut New Hampshire Maine Rhode Island Massachusetts Vermont</p>	<p>Hugh Galligan, RA JFK Federal Bldg. Rm. 2000 Boston, MA 02203 617 565-1020 (ph) 617 565-2493 (fax) http://www.acf.hhs.gov/programs/region1</p>	<p>REGION VI Arkansas Oklahoma Louisiana Texas New Mexico</p>	<p>Leon R. McCowan, RA. West-Central Regional Hub 1301 Young Street Suite 914 Dallas, Texas 75202 214 767-9648 (ph) 214 767-3743 (fax) http://www.acf.hhs.gov/programs/region6</p>
<p>REGION II: New Jersey Puerto Rico New York Virgin Islands</p>	<p>Mary Ann Higgins, RA 26 Federal Plaza Rm. 4114 New York, NY 10278 212 264-2890 (ph) 212 264-4881 (fax) http://www.acf.hhs.gov/programs/region2</p>	<p>REGION VII Iowa Missouri Kansas Nebraska</p>	<p>Linda Lewis, RA Federal Office Bldg. Rm. 276 601 E. 12th St. Kansas City, MO 64106 816 426-3981 (ph) 816 426-2888 (fax) http://www.acf.hhs.gov/programs/region7</p>
<p>REGION III: Delaware Pennsylvania District of Columbia Virginia Maryland West Virginia</p>	<p>David Lett, RA 150 S. Independence Mall West- Suite 864 Public Ledger Bldg. Philadelphia, PA 19106-3499 215 861-4000 (ph) 215 861-4070 (fax) http://www.acf.hhs.gov/programs/region3</p>	<p>REGION VIII Colorado South Dakota Montana Utah North Dakota Wyoming</p>	<p>Thomas F. Sullivan, RA Federal Office Bldg. 1961 Stout St., Rm. 926 Denver, CO 80294-3538 303 844-3100 (phone) 303 844-2313 (fax) http://www.acf.hhs.gov/programs/region8</p>
<p>REGION IV Alabama Mississippi Florida North Carolina Georgia South Carolina Kentucky Tennessee</p>	<p>Carlis V. Williams, RA Atlanta Fed. Center 61 Forsyth Street, S.W. Suite 4M60 Atlanta, GA 30303 404 562-2900 (ph) 404 562-2981 (fax) http://www.acf.hhs.gov/programs/region4</p>	<p>REGION IX Arizona Nevada California Hawaii American Samoa Guam N. Mariana Islands</p>	<p>Sharon M. Fujii, RA 50 United Nations Plaza Rm. 450 San Francisco, CA 94102 415 437-8400 (ph) 415 437-8444 (fax) http://www.acf.hhs.gov/programs/region9</p>
<p>REGION V Illinois Minnesota Indiana Ohio Michigan Wisconsin</p>	<p>Joyce Thomas, RA 233 N. Michigan Ave. Suite 400 Chicago, IL 60601 312 353-4237 (ph) 312 353-2204 (fax) http://www.acf.hhs.gov/programs/region5</p>	<p>REGION X Alaska Oregon Idaho Washington</p>	<p>Stephen Henigson, RA Blanchard Plaza 2201 Sixth Ave. Rm. 610-M/S RX-70 Seattle, Washington 98121 206 615-2547 (ph) 206 615-2574 (fax) http://www.acf.hhs.gov/programs/region10</p>

Assurances and Certifications

The applicant Tribe or tribal consortium assures that:

- (1) Upon approval, it will have in effect a program that complies with the provisions of the Plan printed herein, and is administered in accordance with the Child Care and Development Block Grant Act of 1990, as amended (the Act), Section 418 of the Social Security Act, and all other applicable Federal laws and regulations. (658D(b) of the Act, 658E(a) of the Act, 45 C.F.R. 98.15(a)(1))
- (2) The parent(s) of each eligible child within the Tribe or tribal service area who receives or is offered child care services for which financial assistance is provided is given the option either to enroll such child with a child care provider that has a grant or contract for the provision of the service or to receive a child care certificate. (658E(c)(2)(A)(i) of the Act, 45 C.F.R. 98.2, 45 C.F.R. 98.30, 45 C.F.R. 98.15(a)(2)) **[Exempt Tribal Lead Agencies are not required to operate certificate programs.]**
- (3) In cases in which the parent(s) elect(s) to enroll the child with a provider that has a grant or contract with the Lead Agency, the child will be enrolled with the eligible provider selected by the parent to the maximum extent practicable. (658E(c)(2)(A)(ii) of the Act, 45 C.F.R. 98.15(a)(3), 45 C.F.R. 98.30)
- (4) The child care certificate offered to parents shall be of a value commensurate with the subsidy value of child care services provided under a grant or contract. (658E(c)(2)(A)(iii) of the Act, 45 C.F.R. 98.15(a)(4), 45 C.F.R. 98.30) **[Exempt Tribal Lead Agencies are not required to operate certificate programs.]**
- (5) The Tribe, or tribal consortium, will coordinate, to the maximum extent feasible, with the Lead Agency(ies) in the State(s) in which the child care programs or activities will be carried out. (45 C.F.R. 98.12, 45 C.F.R. 98.14(a)&(b), 45 C.F.R. 98.81(b)(3)(i), 45 C.F.R. 98.82)
- (6) Tribal Child Care and Development Fund programs and activities will be carried out for the benefit of Indian children on an Indian reservation (except for Programs located in Alaska, California, or Oklahoma). (45 C.F.R. 98.81(b)(3)(ii), 45 C.F.R. 98.83(b))
- (7) With respect to State and local regulatory requirements (or tribal regulatory requirements), health and safety requirements, payment rates, and registration requirements, State or local (or tribal) rules, procedures or other requirements promulgated for the purpose of the Child Care and Development Fund will not significantly restrict parental choice from among categories of care or types of providers. ((658E(c)(2)(A) of the Act, 45 C.F.R. 98.15(a)(5), 45 C.F.R. 98.15(p), 45 C.F.R. 98.30(e)&(f), 45 C.F.R. 98.40(b)(2), 45 C.F.R. 98.41(b), 45 C.F.R. 98.43(d), 45 C.F.R. 98.45(d))

Page 2 - Assurances and Certifications

The applicant Tribe or tribal consortium also certifies that:

- (1) It has procedures in place to ensure that providers of child care services for which assistance is provided under the Child Care and Development Fund afford parents unlimited access to their children and to the providers caring for their children during the normal hours of operation and whenever such children are in the care of such providers. (658E(c)(2)(B) of the Act, 45 C.F.R. 98.15(b)(1), 45 C.F.R. 98.31)
- (2) It maintains a record of substantiated parental complaints and makes information regarding such complaints available to the public on request. (658E(c)(2)(C) of the Act, 45 C.F.R. 98.15(b)(2), 45 C.F.R. 98.32)
- (3) It will collect and disseminate to parents of eligible children and the general public, consumer education information that will promote informed child care choices. (658E(c)(2)(D) of the Act, 45 C.F.R. 98.15(b)(3), 45 C.F.R. 98.33)
- (4) There are in effect licensing requirements applicable to child care services provided within the State (or area served by the tribal Lead Agency), pursuant to 45 C.F.R. 98.40. (45 C.F.R. 98.15(b)(4), 45 C.F.R. 98.40)
- (5) There are in effect, under tribal, local or State law, requirements designed to protect the health and safety of children; these requirements are applicable to child care providers that provide services for which assistance is made available under the Child Care and Development Fund. (658E(c)(2)(F) of the Act, 45 C.F.R. 98.15(b)(5), 45 C.F.R. 98.41)
- (6) Procedures are in effect to ensure that child care providers that provide services for which assistance is provided under the Child Care and Development Fund comply with all applicable health and safety requirements. (658E(c)(2)(G) of the Act, 45 C.F.R. 98.15(b)(6), 45 C.F.R. 98.41)
- (7) Payment rates under the Child Care and Development Fund for the provision of child care services will be sufficient to ensure equal access for eligible children to comparable child care services in the Tribe or tribal service area that are provided to children whose parents are not eligible to receive assistance under this program or under any other Federal or State child care assistance programs. (658E(c)(4)(A) of the Act, 45 C.F.R. 98.15(b)(7), 45 C.F.R. 98.43)
- (8) By the end of each three-year funding period (expenditure period for each Federal fiscal year's grant funding), the Tribe must have expenditures that are equal to grant funds received for that that Fiscal Year. (45 C.F.R. 98.67(c))

Statutory and Regulatory Requirements That Will Not be Waived

The following are the CCDF statutory and regulatory requirements that will not be waived under a consolidated 102-477 plan.

- Grantees will coordinate, to the maximum extent feasible, with the Lead Agency(ies) in the State(s) in which the child care programs or activities will be carried out. (658O(c)(2)(A) of the Act, 45 C.F.R. 98.12, 45 C.F.R. 98.14(a)&(b), 45 C.F.R. 98.81(b)((3)(1), 45 C.F.R. 98.82)
- Grantees must describe the results of the Lead Agency's coordination activities with agencies responsible for health (including the agency responsible for immunizations), education, employment services or workforce development, and the State TANF agency and/or tribal TANF agency – if the Tribe is operating its own TANF program. (45 C.F.R. 98.14(a), 45 C.F.R. 98.16(d))
- With the exception of applicants located in Alaska, California, or Oklahoma, CCDF programs and activities will be carried out for the benefit of Indian children on Indian reservations. (658O(c)(2)(B) of the Act, 45 C.F.R. 98.81(b)(3)(ii), 45 C.F.R. 98.83(b))
- Applicants must demonstrate the administrative capability to successfully administer the proposed program in their initial plan. (658O(c)(3)(B) of the Act)
- Grants and/or contracts are limited to a period of no more than 3 years. (658O(c)(4) of the Act)
- Funds may not be used for the purchase or improvement of land. (658F(b)(1) of the Act, 45 C.F.R. 98.54(b)(1))
- Funds may not be used to pay for tuition or to contract for sectarian activities including worship or instruction. (658M of the Act, 45 C.F.R. 98.54(c)&(d))
- Applicants must assure they will comply with provisions regarding a drug-free workplace, nondiscrimination, section 504 of the Rehabilitation Act of 1973, Education Amendments, and Age Discrimination Act of 1975, as amended at 45 CFR part 91. (45 C.F.R. 98.13(b)(5))

Note: Since these certifications and assurances are required as part of the consolidated 102-477 plan, they do not need to be submitted with the CCDF application.

- At least one public hearing must be held by Tribes to allow for public comment. (45 C.F.R. 98.14(c))

Page 2 - Statutory and Regulatory Requirements That Will Not be Waived

- Grantees shall establish, and periodically revise a sliding fee scale(s) that provides for cost sharing by families, and is based on income and family size. Grantees may waive contributions and may apply different sliding fee scales. (658E(c)(5) of the Act, 45 C.F.R. 98.42)
- There are in effect, under tribal, local or State law, requirements designed to protect the health and safety of children that are applicable to child care providers of services for which CCDF assistance is provided. These requirements shall include the prevention and control of infectious diseases (including immunizations); building and physical premises safety; and minimum health and safety training appropriate to the provider setting. (45 C.F.R. 98.41(a))
- Grantees must submit a request to the Secretary of the Department of Health and Human Services, in accordance with uniform procedures, to use funds for construction and renovation purposes. Funds may not be used for construction or major renovation of child care facilities until the Tribe's 102-477 scope of work has been modified to allow for construction or major renovation. (658O(c)(6)(C) of the Act, 45 C.F.R. 98.84(a)&(b))
- Grantees are not permitted to use amounts for construction or renovation purposes if such use will result in a decrease in the level of services provided by the grantee in the preceding fiscal year. (658O(c)(6)(C) of the Act, 45 C.F.R. 98.84(b)(3))
- Not more than 15 percent of the aggregate CCDF funds expended by a Tribal Lead Agency from each fiscal year (including amounts used for construction and renovation in accordance with 45 C.F.R. 98.84, but not including the base amount provided under 45 C.F.R. 98.83(e)) shall be expended for administrative activities. Amounts used for construction and major renovation in accordance with 45 C.F.R. 98.84 are not considered administrative costs. (45 C.F.R. 98.52(b), 45 C.F.R. 98.83(g))
- Grantees' fiscal and accounting procedures shall be sufficient to permit the preparation of required reports and the tracing of expenditures to a level of expenditure adequate to establish that such funds have not been used in violation of 45 C.F.R. 98 Subpart G. (45 C.F.R. 98.67(c))