

## **Overview of ACYF-PI-CC-00-02 Document Collection**

On May 17, 2000, the Child Care Bureau sent a “Dear Colleague” Letter to all federally recognized Indian Tribes announcing the application and plan procedures for Indian Tribes and tribal consortia to apply for FY 2001 Child Care and Development Fund (CCDF) funds and enclosed two program instructions. This Word document contains one of them:

**ACYF-PI-CC-00-02** – informs Tribes of the process for obtaining FY 2001 CCDF funds; and includes:

Program Instruction (ACYF-PI-CC-00-02)

Attachment A: Guidance for Submitting Plan Amendments Required to  
Apply for FY 2001 CCDF Funds

Attachment B: Plan Pre-Print Pages (Only fill out Items 1.1, 1.8, 1.9 and  
Appendix 3 )

Attachment C: FY 2001 Tribal Estimates Chart

Attachment D: List of ACF Regional Administrators

Also available -- the ACYF-PI-CC-00-03 collection

## Dear Colleague Letter

Dear Colleague:

Enclosed are two Program Instructions that contain application procedures for fiscal year (FY) 2001 Child Care and Development Fund (CCDF) monies. The materials required for FY 2001 CCDF funding must be received by the Administration for Children and Families (ACF) no later than July 1, 2000.

- ACYF-PI-CC-00-02 contains the procedures that apply to most Tribes, whether they are current grantees or new applicants.
- ACYF-PI-CC-00-03 contains the procedures for Tribes that choose to consolidate the CCDF program with other employment and training funding sources under an approved P.L. 102-477 plan.

### Construction and Renovation

Tribal Lead Agencies must first request and receive approval from ACF through a separate application process before using CCDF funds for construction or major renovation (see Program Instructions ACYF-PI-CC-97-05, dated August 18, 1997, and ACYF-PI-CC-97-06, dated November 4, 1997). We would like to remind you of upcoming deadlines related to the construction and renovation procedures:

- If you plan to submit an application to use FY 2000 CCDF funds for construction or renovation, your ACF Regional Office must receive your construction/renovation application prior to July 1, 2000.
- Tribal Lead Agencies with FY 1998 funds in a separate grant award for construction or renovation must liquidate these funds by September 30, 2000.

Sincerely,

Charlotte Brantley  
Associate Commissioner  
Child Care Bureau

Enclosures

<h1>ACF</h1> <p>Administration for Children And Families</p>	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Administration on Children, Youth and Families	
	<b>1. Log No:</b> ACYF-PI-CC-00-02	<b>2. Issuance Date:</b> May 17, 2000
	<b>3. Originating Office:</b> Child Care Bureau	
	<b>4. Key Words:</b> Child Care and Development Fund (CCDF) Fiscal Year 2001 Funding; Application and Plan Requirements for Tribal Lead Agencies	

## CHILD CARE AND DEVELOPMENT FUND

### PROGRAM INSTRUCTION

<b>To</b>	Tribal Lead Agencies administering CCDF child care programs under the Child Care and Development Block Grant (CCDBG) Act of 1990 as amended, and other interested parties.
<b>Purpose</b>	To inform Tribes and Tribal Organizations of the process for obtaining fiscal year (FY) 2001 CCDF Tribal Mandatory and Discretionary Funds.
<b>References</b>	Section 418 of the Social Security Act; The Child Care and Development Block Grant Act of 1990 as amended; Department of Health and Human Services Appropriations Act (P.L.106-113); 45 CFR Parts 98 and 99.
<b>Background</b>	Federal regulations at 45 CFR 98.81 require Tribal Lead Agencies to apply for CCDF funds. For years in which the Tribal Lead Agency submits a biennial CCDF Plan, the Plan itself serves as the application. However, in non-Plan years, the Tribal Lead Agency must apply for funds by submitting specified Plan amendments in accordance with the guidance in this Program Instruction.

Process to Apply for FY 2001 CCDF Tribal Mandatory and Discretionary Funds:

New Tribal Applicants. Tribes that did not receive FY 2000 CCDF funds must submit an entire CCDF biennial Plan. To obtain a copy of the Plan preprint, contact your ACF Regional Office (Attachment D).

Current Tribal CCDF Grantees. Tribal Lead Agencies that received FY 2000 CCDF funds must submit Plan amendments to specified items in the Tribal Lead Agency's approved CCDF Plan. These items are: 1.1, 1.8 and 1.9 (including Appendix 3 of the Plan preprint). The Plan preprint pages containing these items are included as Attachment B to this Program Instruction. Attachment A provides guidance for completing these items.

By applying for FY 2001 CCDF funds, the Tribal Lead Agency agrees to follow the provisions of the Child Care and Development Block Grant Act of 1990, as amended, and applicable regulations at 45 CFR Parts 98 and 99.

By applying for FY 2001 CCDF funds, a **current** tribal CCDF grantee continues to be subject to the assurances and certifications provided in the CCDF Plan for FY 2000 - 2001 for the remainder of the Plan period. A **new** tribal applicant must include required assurances and certifications as part of its CCDF Plan submittal.

Tribal Lead Agencies are reminded that no more than 15 percent of the aggregate CCDF funds expended from each year's allotment may be used for administrative costs. Tribal Lead Agencies are advised to review the CCDF regulations at 45 CFR 98.52 for a discussion of administrative costs. Note: the base amount under the Discretionary Funds is not included in this calculation.

#### Separate Application Process for CCDF Construction and Renovation

In order to use CCDF funds for construction or major renovation projects, all Tribes receiving CCDF funds are required to follow ACF's construction and renovation application procedures (Program Instructions ACYF-CC-PI-05, dated August 18, 1997, and ACYF-PI-CC-97-06, dated November 4, 1997). Early in the planning process, Tribes are advised to contact their appropriate ACF Regional Office (Attachment D). If a Tribe constructs or renovates more than one facility, it must seek ACF approval for each project (even if the projects use identical plans and specifications).

#### **Eligibility**

A Tribe is eligible to receive CCDF funds if the Tribe is federally recognized and the tribal population includes at least 50 children under age 13.

A Tribe with fewer than 50 children under age 13 may participate in a consortium of eligible Tribes. In order to be eligible to receive CCDF funds on behalf of its member Tribes, a consortium must:

- Consist of Tribes which meet the eligibility requirements for the CCDF program or which would meet the eligibility requirements if the Tribe or tribal organization had at least 50 children under age 13; and
- Adequately demonstrate it has authorization from each participating Tribe to receive CCDF funds on behalf of the Tribe for the duration of the Plan period. Instructions for demonstrating authorization is provided in Attachment A, Item 1.8.

#### Special Rule for Indian Tribes in Alaska:

Only specified Alaska Native entities may receive Tribal Mandatory Funds. The Metlakatla Indian Community of the Annette Islands Reserve and the following Alaska Native regional nonprofit corporations are eligible to receive Tribal Mandatory Funds:

- Arctic Slope Native Association;
- Kawerak, Inc.;
- Maniilaq Association;
- Association of Village Council Presidents;
- Tanana Chiefs Conference;
- Cook Inlet Tribal Council;
- Bristol Bay Native Association;
- Aleutian and Pribilof Island Association;
- Chugachmuit;
- Tlingit and Haida Central Council;

- Kodiak Area Native Association; and
- Copper River Native Association.

Exempt and Non-Exempt Tribal Grantees:

Tribal Lead Agencies with CCDF allocations equal to or greater than \$500,000 for a fiscal year are considered non-exempt grantees; therefore: 1) no less than 4 percent of the aggregate CCDF funds expended for a fiscal year must be used for quality activities; and 2) the Tribal Lead Agency must operate a certificate program that offers parental choice from a full range of providers (i.e., center-based, group home, family and in-home care).

**Funding Estimates  
And Allocation  
Formulas**

ACF estimates that \$74,993,440 in FY 2001 CCDF funds will be available for tribal grantees on October 1, 2001 (\$23,653,440 in Discretionary Funds and \$51,340,000 in Tribal Mandatory Funds).

Grants from Discretionary Funds will include a base amount of \$20,000 plus a per child amount (approximately \$29 per child in FY 2000) for each Tribe or tribal consortium with a minimum of 50 children. Grants from Tribal Mandatory Funds are calculated solely on a per-child basis (approximately \$101 per child in FY 2000) and do not include a base amount.

Since the per-child amount for both Discretionary and Tribal Mandatory Funds depends upon the total number of children in all participating Tribes, ACF cannot calculate in advance the exact per-child amount. However, Tribes and tribal organizations may use the FY 2001 Tribal Estimates Chart (See Attachment C) as a guide. A new applicant should use the base amount plus approximately \$29 per child to estimate its allotment for Discretionary funding, and should use approximately \$101 per child to estimate its allotment for Tribal Mandatory funding.

A tribal consortium should estimate its allotment for Discretionary funding for each of its members by calculating a portion of the base amount that is equivalent to the ratio of the number of children in each member Tribe to 50, plus the additional per child amount. For example, a Tribe with 49 children is allotted 49/50ths of \$20,000, or \$19,600. The per child amount is then multiplied by 49 and added to the \$19,600 base amount.

Important: These amounts are provided for the purpose of estimating the allotments that will become available on October 1, 2000 and may increase or decrease when updated data become available before the final grant awards are issued.

The Administration's budget for FY 2001 contains a comprehensive child care initiative that includes a proposed \$817 million increase in the CCDF. The estimates shown in Attachment C do not reflect this proposed increase, which would require Congressional action. Tribes would receive 2 percent of any FY 2001 funding increase for CCDF.

**Discretionary  
Earmark**

The appropriations law for the Department of Health and Human Services (P.L.106-113) stipulated that a portion of FY 2001 Discretionary Funds be used (or earmarked) for child care resource and referral and school-aged child care activities.

School-age child care is a critical service for working parents. A safe and productive environment before and after school is important for both the peace of mind of working parents and the well-being of their children. Resource and

referral is also a key support, since it is a vital link for all working families who seek appropriate child care, providing critical consumer education to parents and outreach to the child care community.

The Tribal Estimates Chart (**Attachment C**) shows the estimated earmark requirement for FY 2001. The column labeled "Discretionary Earmark" shows the estimated amount that must be spent on resource and referral activities and school-age care. The column labeled "Discretionary After Earmark" is the amount of Discretionary Funds remaining after the earmark that can be spent on any allowable CCDF activities (assuming quality expenditure, administrative cost, and other Federal requirements are met). The earmark for resource and referral activities and school-age care is based on a \$500 amount per Tribe plus a per child amount. Amounts in the FY 2001 Tribal Estimates Chart (**Attachment C**) are estimates that may increase or decrease when final grant awards are issued.

**Note to Non-Exempt Tribal Lead Agencies:** The earmarked funds are to be used in addition to the "not less than 4%" required to be spent on activities that improve the quality and availability of child care.

**Obligation and  
Liquidation Periods**

For FY 2001 Tribal Mandatory and Discretionary CCDF Funds, Tribal Lead Agencies must obligate all funds by September 30, 2002 and liquidate all funds by September 30, 2003.

**Effective Dates**

This Program Instruction is effective on issuance. Plans and Plan amendments required for FY 2001 CCDF funding must be received by ACF no later than July 1, 2000.

**Electronic format**

To assist Lead Agencies, the Plan preprint is available in word processing format from their ACF Regional Office. In addition, the Plan preprint is available on the Child Care Bureau web-site at:

<http://www.acf.dhhs.gov/programs/ccb/policy/pi0002/planpt01.htm>

Last year's guidance for completing the Plan preprint can be found at:

<http://www.acf.dhhs.gov/programs/ccb/policy/guidan3.htm>

**Public Law  
102-477 Option**

The "Indian Employment, Training and Related Services Demonstration Act of 1992" (P.L. 102-477) permits tribal governments to consolidate a number of Federal programs to integrate their federally funded employment, training, and related services programs into a single, coordinated comprehensive program. The CCDF is one of the programs that can be consolidated under P.L. 102-477. The instructions contained in this Program Instruction do not apply to those Tribes and tribal organizations that apply to have CCDF funds consolidated under P.L. 102-477. A separate Program Instruction containing 102-477 requirements for CCDF will be issued simultaneously and mailed with this Program Instruction.

**Where to send  
the Plan or  
Amendments**

Submit copies of the Plan or Plan amendments to each of the following:

1 Copy to:

ACF Regional Office

2 Copies to:

Child Care Bureau  
Administration on Children, Youth and Families  
330 C Street, SW, Room 2046  
Washington, D.C. 20447

The Plan or Plan Amendments may be submitted electronically. Contact your ACF Regional Administrator for details.

**Paperwork  
Reduction Act**

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The information collection required under this Program Instruction is approved under OMB Number 0970-0198, which expires May 31, 2002.

**Inquiries**

All inquiries should be directed to the ACF Regional Administrators .

/s/

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Patricia Montoya  
Commissioner  
Administration on Children, Youth  
and Families

Attachments:

- A - Guidance for Submitting Plan Amendments Required to Apply for FY 2001 CCDF Funds
- B - Plan Pre-Print Pages (Only fill out Items 1.1, 1.8, and 1.9, and Appendix 3 )
- C - FY 2001 Tribal Estimates Chart
- D - List of ACF Regional Administrators

Attachment A

**GUIDANCE FOR SUBMITTING PLAN AMENDMENTS  
REQUIRED TO APPLY FOR FISCAL YEAR 2001 CCDF FUNDS**

These instructions only apply to Tribal Lead Agencies that received FY 2000 CCDF funds. Tribes that did not receive FY 2000 funds must submit an entire CCDF Plan.

To receive FY 2001 CCDF funds, a Tribal Lead Agency that received FY 2000 funds must submit Plan amendments to items 1.1, 1.8, and 1.9 (including Appendix 3) of its approved biennial CCDF Plan. Plan preprint pages containing these items are in Attachment B. On these pages, Tribal Lead Agencies must:

Complete Items:

1.1  
1.8  
1.9 (including Appendix 3)

Leave Blank Items:

1.2  
1.3  
1.4  
1.5  
1.6  
1.7

The Tribal Lead Agency must also submit a photocopy of the Amendments Log page (contained in the Lead Agency's approved CCDF Plan) completed in accordance with the instructions contained on the Log page.

In addition to submitting amendments to the items specified above, the Tribal Lead Agency may use this opportunity to submit any other necessary Plan amendments to reflect substantive CCDF program changes in accordance with 45 CFR 98.16(b) and the instructions on the Amendments Log page. These additional Plan amendments may be submitted at any time.

**Identifying  
Information**

On the first page of the Plan preprint (labeled "Part I – Administration"), the applicant should enter the name of the Tribe on the line designated "Plan For" (at the top of the page) and should enter the name of the Tribal Lead Agency on the line labeled "Name of Lead Agency." Please update, as necessary, any contact information (i.e., address, name and title of administrator, phone, fax, e-mail).

Tribal grantees must name a Lead Agency to administer the CCDF. If a tribal grantee applies for both Tribal Mandatory Funds and Discretionary funds, the programs must be integrated and administered by the same Lead Agency.

Tribal Consortia. If a Tribe participating in a consortium arrangement elects to receive only part of the CCDF (e.g., Discretionary Funds), it may not join a different consortium to receive the other part of the CCDF (Tribal Mandatory Funds), or apply as a direct grantee to receive the other part of the fund. Individual tribal consortium members must remain with the consortium they have selected for the fiscal year in which they are receiving any part of CCDF funds. (However, an Alaska Native village that must receive Tribal Mandatory Funds indirectly through an Alaska Native Regional Nonprofit Corporation may still apply directly for Discretionary Funds).



**Item 1.1**

In item 1.1 the Lead Agency requests CCDF funds to be awarded in FY 2001 (on October 1, 2000). This amount includes funds that will be available for CCDF child care services and related activities from the Federal CCDF, i.e., the Discretionary Fund and Tribal Mandatory Fund (including the base amount and any CCDF funds used for administration, quality and construction and renovation activities). This estimate should not include any funds awarded in previous fiscal years.

Important: Estimated allotments are provided for planning purposes and may increase or decrease when updated data become available before the final grant awards are issued.

**Item 1.8**

A tribal consortium must provide a list of its participating Tribes as an attachment to item 1.8. Alternatively, if the consortium's membership has not changed since the list provided in the current approved CCDF Plan, the Lead Agency may simply write "No Change" next to item 1.8.

In addition, a tribal consortium must include as an attachment to item 1.8 demonstrations from any new members that were not provided in the preceding fiscal year as part of an approved CCDF Plan. The consortium need not provide a demonstration for any Tribe where a demonstration was provided in the preceding year as part of the CCDF Plan. (In a year in which a tribal consortium's biennial CCDF Plan is due, however, the consortium must provide a demonstration for every member.)

It is the responsibility of a tribal consortium to notify ACF, in writing, of any changes in its consortium membership for purposes of CCDF funding during the approved Plan period. For Alaska Native Regional Nonprofit Corporations, the list and demonstrations are required for Discretionary Funds only.

**Item 1.9**

**For funds that become available on October 1, 2000, ACF will calculate grant awards based on the number of children under age 13. The Tribal Lead Agency uses item 1.9 and Appendix 3 of the Plan preprint to submit a declaration which certifies the number of Indian children (as defined in Appendix 2, #2 in the Tribal Lead Agency's approved CCDF Plan), under age 13, who reside on or near the reservation or other tribal service area (as defined in Appendix 2, #3 in the Tribal Lead Agency's approved CCDF Plan).**

The Tribal Lead Agency should use the "Child Count Declaration" format at Appendix 3 of the Plan preprint. This declaration must be signed by the governing body of the Tribe or an individual authorized to act for the applicant Tribe or organization.

**PLEASE BE ADVISED: The Tribal Lead Agency may not count any children who are included in the child count of another CCDF Tribal Lead Agency.** To ensure unduplicated child counts, a Tribal Lead Agency is **required** to confer with **all** other Tribal Lead Agencies that have overlapping or neighboring service areas. See Attachment C for a list of FY 2000 Tribal Lead Agencies.

Tribal Lead Agencies are reminded that CCDF funds are allocated based on child counts of children from Federally recognized Indian Tribes, consistent with the Child Care and Development Block Grant Act's definition of Indian Tribe.

Tribal Lead Agencies are also advised that ACF will not accept declarations based on child counts that were conducted prior to July 1 of the previous year. For FY 2001 funding, the child count of children under age 13 must be completed no later than June 30, 2000 and no earlier than July 1, 1999.

If a Tribal Lead Agency chooses not to provide a self-certified child count, ACF will calculate the Tribe's grant award for funds that become available in FY 2001 using the same number of children used to determine the FY 2000 grant award. New Tribal Lead Agencies (that did not receive funds in FY 2000) must provide a self-certified child count. All Tribes will be required to provide a self-certified child count for funding that becomes available in FY 2002.

**Special Note for Tribal Consortia:** A tribal consortium must submit (as an attachment using the format in Appendix 3 of the Plan preprint) individual self-certified child count declarations signed by the governing body of the Tribe, or an individual authorized to act for the Tribe, for each of its members participating in the consortium. A tribal consortium should also attach a summary page that lists each member's name and child count and lists the total child count for all members.

Because of the statutory "Special Rule for Indian Tribes in Alaska" under Tribal Mandatory Funding, some Alaska Native Regional Nonprofit Corporations will have to provide a separate child count certification for Discretionary Funding purposes. This count will consist of its self-certified Tribal Mandatory Funding count, minus the child count number for any Alaska Tribal grantee in its Region which applies directly to ACF for Discretionary Funding. In instances where a Regional Corporation has separate child counts for Discretionary and Tribal Mandatory Funds, both counts should be reported on the declaration, which can be included as an attachment.



Attachment B

**Plan Pre-Print Pages**

**(Only Items 1.1, 1.8, 1.9 and APPENDIX 3 need to be filled out)**

**CHILD CARE AND DEVELOPMENT FUND**

**TRIBAL PLAN**

**FOR THE PERIOD 10/1/99 -- 9/30/01**

This Plan describes the program that the Lead Agency will operate on 10/1/99. As provided for in the applicable statutes and regulations, the Lead Agency has the flexibility to modify this program at any time, including changing the options selected or described herein.

The official text of the applicable laws and regulations govern, and the Lead Agency acknowledges its responsibility to adhere to them regardless of the fact that, for purposes of simplicity and clarity, the specific provisions printed herein are sometimes paraphrases of, or excerpts and incomplete quotations from, the full text.

**(Form ACF 118-A Approved OMB Number: 0970-0198, expires 05/31/02)**

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### REQUIRED ATTACHMENTS

Public reporting burden for this collection of information is estimated to average 35 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.



**PART 1 – ADMINISTRATION**

The Lead Agency has been designated by the applicant Tribe or tribal consortium to represent the Tribe or tribal organization as the Lead Agency. The Lead Agency agrees to administer the program in accordance with applicable Federal laws, regulations and in accordance with the provisions of this Plan, including the assurances and certifications appended hereto. (658D, 658E)

Name of Lead Agency:
Address of Lead Agency:
Name and Title of the Lead Agency’s Administrator:
Phone Number:  Fax Number:  E-Mail Address (if applicable):

- 1.1) The Tribal Lead Agency estimates the following amount of funds to be awarded in fiscal year 2000 (October 1, 1999 through September 30, 2001) based on the estimated CCDF allotment for the applicant (see funding estimates section in the Program Instruction and Attachment \_\_\_\_ Allocation chart).

Federal Child Care & Development Fund \$\_\_\_\_\_.

**NOTE:** estimated allotments are provided for planning purposes and may increase or decrease when updated data becomes available before the final grant awards are issued.

- 1.2) The Tribal Lead Agency estimates that the following amount of CCDF funds awarded in FY 2000 will be used for construction or renovation purposes:

\$\_\_\_\_\_

This Application does not give approval to spend funds on construction or renovation. Funds for this purpose cannot be spent until a Tribe has applied for and received approval, through a separate application process, from the Administration for Children and Families). As a part of the separate construction/ renovation application process, a Tribe must show that adequate facilities are not otherwise available to carry out child care programs, and that the lack of facilities will inhibit future program operations. Furthermore, a Tribe cannot reduce the level of child care services, as compared to the preceding fiscal year, in order to spend funds on construction or renovation. Procedures regarding the separate construction/renovation application process are contained in ACYF-CC-PI-05 dated August 18,1997 and ACYF-PI-CC-97-06 dated November 4, 1997 and regulations at 45 CFR 98.2 and 98.84

1.3) The Lead Agency estimates that the following amount (or percentage) of the CCDF funds awarded in FY 2000 will be used to administer the program ((658E(c)(3)(C)) \$

.

1.4) The Lead Agency estimates that the following amount (or percentage) of CCDF funds awarded in FY 2000 will be used on quality activities , as described in Section 5 of the plan (658G, 98.51, 98.83(f))  
\$\_\_\_\_\_.

1.5) Will the Lead Agency directly administer and implement all programs funded under the Child Care and Development Fund? ((658D(b)(1)(A), 658E(c)(3)(C), 98.11, 98.16(c)(1))

( ) Yes. Go to 1.8 and/or 1.9

( ) No, the following entity(ies) is/are responsible to administer/implement all or a part of the:

- provision of child care services (including the payment to child care providers)
  
  
- activities to improve the quality of child care described in Part 5 of this Plan, if applicable

1.6) Is any entity named in response to 1.5 a non-governmental entity (e.g., an entity outside of the tribal government)?

( ) No.

( ) Yes. The non-governmental entities are: (list each)

1.7) Describe:

- the operational aspects of administering and implementing the program through such entities (listed in 1.5 and 1.6), and

- how the Lead Agency will maintain overall control of the program.

1.8) A tribal consortium must provide a list of its participating members and include demonstrations from these participating members ((98.80(c)(1), 98.81(b)(8)(i))

These demonstrations are provided as Attachment \_\_\_\_\_.

**NOTE:** For Alaska Native Regional Nonprofit Corporations, the list and demonstrations are for purposes of Discretionary Funds only).

1.9) The Tribal Lead Agency has determined the number of Indian children, under age 13, as defined in Appendix 2, #2 who reside on or near the reservation, or service area (defined in Appendix 2,#3) (98.81(a)(4), 98.81(b)(4))

Complete and attach the “Child Count Declaration” at Appendix 3.

**NOTE:** See “Guidance for Completing the Plan” regarding age of children to be counted and time frames for the child count.

## **PART 2 -- DEVELOPING THE CHILD CARE PROGRAM**

### **Section 2.1 - Consultation:**

Describe when and with whom the Lead Agency consulted in developing this Plan. At a minimum, the description must state what representatives of the tribal community (or tribal communities in the case of a consortium) were consulted. (658D(b)(2), 98.12(b), 98.16(d))

### **Section 2.2 - Coordination of Services:**

2.2.1) Describe how the Lead Agency is coordinating the delivery of CCDF-funded child care services with other tribal, Federal, State, and local child care, early childhood development programs, and before- and after-school care services. (658D(b)(1)(D), 658O(c)(2)(A), 98.16(d),98.82(b))

2.2.2) Describe the results of the Tribal Lead Agency’s coordination activities with agencies responsible for health (including the agency responsible for immunizations), education, employment services or workforce development, and the State TANF agency and/or tribal TANF agency – if the Tribe is operating its own TANF program (98.14(a), 98.16(d))

2.2.3) A tribal consortium must describe how it is coordinating services on behalf of its participating members. The description must include a brief summary of the direct child care



services funded by CCDF for each of its participating members (or "constituent" members in the case of Alaskan entities) (98.81(b)(8)(ii),98.83(c)(1))

This description may be provided in this space, or as Attachment \_\_\_\_\_.

**Section 2.3 - Public Hearing Process:**

Describe the public hearing process held to provide the Tribe (or member Tribes, in the case of a tribal consortium) an opportunity to comment on the provision of child care services under the Tribe's (or tribal consortium's) Plan. The description must include the date(s) and location(s) of the hearing(s), and how and when the public was notified of the hearing(s). (658D(b)(1)(C), 98.14(c), 98.16(e))

**Section 2.4 - Public-Private Partnerships:**

Describe any activities, including planned activities, to encourage public-private partnerships which promote private-sector involvement in meeting child care needs. (98.16(d))

**PART 3 -- CHILD CARE SERVICES OFFERED**

**Section 3.1 - Description of Child Care Services:**

**REMINDER:** Non-Exempt Tribes are required to operate certificate programs. Certificates must permit parents to choose from a variety of child care categories including center-based care, group home care, family child care and in-home care. (98.30(e))

3.1.1) Does the Lead Agency offer child care services through:

A certificate program ( ) Yes( ) No

A voucher program (exempt Tribes only) ( ) Yes( ) No

A tribally-operated center ( ) Yes( ) No

Grants or contracts for child care slots ( ) Yes( ) No

For those marked "yes," describe the types of child care services and the range of providers available (658A(b)(1), 658E(c)(3)(A)&(B), 658P(5)&(6), 98.16(g)(1), 98.30, 98.50)

3.1.2) **Answer this question only if your Tribe operates a certificate program. Non-exempt tribal Lead Agencies must answer this question.** The Lead Agency must allow for in-home care, but may limit its use.

Does the Lead Agency limit the use of in-home care in any way?

- ( ) No.
- ( ) Yes, and the limits and the reasons for those limits are:  
(98.16(g)(2), 98.30(e)(1)(iv))

3.1.3) Are all of the child care services described in #3.1.1 above available throughout the entire service area? (658E(a), 98.16(g)(3))

- ( ) Yes
- ( ) No, and the following are the areas in which these services are not offered:

### **Section 3.2 - Payment Rates for the Provision of Child Care:**

The Statute (at 658E(c)(4)) requires the Lead Agency to establish payment rates for child care services that ensure eligible children equal access to comparable care. The payment rates are provided as Attachment .

The following is a summary of the facts relied on by the Tribal Lead Agency to determine that the attached rates are sufficient to ensure equal access to comparable child care services provided to children whose parents are not eligible to receive child care assistance under the CCDF and other governmental programs. Include, at a minimum: (98.16(1), 98.43)

- The date of the local market rate survey(s):
- How the payment rates are adequate to ensure equal access based on the results of the required local market rate survey (i.e., the relationship between the attached payment rates and the market rates observed in the survey). Indicate if the Tribal Lead Agency based its rates on the State survey(s):
- **For Non-Exempt Tribes Only** – If the payment rates do not reflect individual rates for the full range of providers -- center-based, group home, family and in-home care -- explain how the choice of the full range of providers is made available to parents:
- Additional facts:

### **Section 3.3 - Eligibility Criteria for Child Care:**

By statute, all eligible children must be under the age of 13 and reside with a family whose income does not exceed 85% of the Grantee Median Income (GMI) for a family of the same size and whose parent(s) are working or attending a job training or educational program or who receive or need to receive protective services. (658E(c)(3)(B), 658P(4), 98.20, 98.80(f), 98.81(b)(1))

3.3.1) Tribal Lead Agencies must select one of the following two definitions of Grantee Median Income (GMI):

\_\_\_\_\_ Tribal Median Income (TMI) for a family of the same size residing in the area served by the tribal Lead Agency; or

\_\_\_\_\_ State Median Income (SMI) for a family of the same size.

3.3.2) Complete column (a) of the matrix below based on the definition of Grantee Median Income (GMI) selected above (TMI or SMI).

Complete column (b) ONLY IF the Lead Agency is using income eligibility limits lower than 85% of the GMI.

<b>Family Size</b>	<b>(a) 85% of Grantee Median Income (GMI) (\$/month)</b>	<b>(b) Income Level, lower than 85% GMI, if used to limit eligibility (\$/month)</b>
<b>1</b>		
<b>2</b>		
<b>3</b>		
<b>4</b>		
<b>5</b>		

- 3.3.3) Has the Lead Agency established additional eligibility criteria, for example higher income limits in one part of the tribal service area? (658E(c)(3)(B), 98.16(g)(5), 98.20(b))
- No  
 Yes, and the additional eligibility criteria that the Lead Agency has established are:  
(Terms must be defined in Appendix 2)
- 3.3.4) Has the Lead Agency elected to waive, on a case-by-case basis, the fee and income eligibility requirements for cases in which children receive or need to receive protective services, as defined in Appendix 2? (658P(4), 658E(c)(3)(B), 98.20(a)(3)(ii)(A))
- No  
 Yes  
 Not Applicable
- 3.3.5) Does the Lead Agency allow child care for children age 13 and above who are physically and/or mentally incapable of self-care? (Physical and mental incapacity must then be defined in Appendix 2.) (658P(4), 658E(c)(3)(B), 98.20(a)(1)ii)
- No  
 Yes, and the upper age is \_\_\_\_.
- 3.3.6) Does the Lead Agency allow child care for children age 13 and above who are under court supervision? (658P(4), 658E(c)(3)(B))
- No  
 Yes, and the upper age is \_\_\_\_.
- 3.3.7) Does the Tribe choose to provide CCDF-funded child care to children in foster care whose foster care parent(s) are not working, or who are not in education/training activities? (98.20, 98.16(f)(7))
- Yes. (**NOTE:** This means that for CCDF purposes the Tribe considers these children to be in protective services.)  
 No.

- 3.3.8) Does the Tribe choose to provide respite child care to children in protective services? (98.16(f)(7))
- Yes.  
 No.

**Section 3.4 - Priority Rules for Children:**

- 3.4.1) Describe how the Lead Agency will give priority for child care services to children with special needs (as defined in Appendix 2, # 9) (658E(c)(3)(B), 98.44)
- 3.4.2) Does the Lead Agency have additional priority rules or categories? (658E(c)(3)(B), 98.16(g)(5), 98.20(b))
- No  
 Yes, and the following are the additional priority rules or categories. (Terms must also be defined in Appendix 2)
- 3.4.3) Demonstrate the manner in which the Tribe will meet the specific child care needs of families who are receiving Temporary Assistance to Needy Families, families who are attempting through work activities to transition off of such assistance program, and families that are at risk of becoming dependent on such assistance program. (658E(c)(2)(H))

**Section 3.5 - Sliding Fee Scale for Child Care Services:**

- 3.5.1) A sliding fee scale, which is used to determine each family's contribution to the cost of child care, must vary based on income and the size of the family (98.42(b))
- A copy of the Lead Agency's sliding fee scale for child care services is provided as Attachment\_\_\_\_\_.
- Will the Lead Agency use additional factors to determine each family's contribution to the cost of child care?  
(658E(c)(3)(B), 98.16(I))
- No  
 Yes, and the following describes those additional factors, including how they will be used to determine the family's contribution:
- 3.5.2) Is the same sliding fee scale provided in the attachment in response to question 3.5.1 above in use in all parts of the tribal service area? (658E(c)(3)(B), 98.42)
- Yes  
 No, and other scale(s) are provided as Attachment \_\_\_\_\_.

- 3.5.3) The Lead Agency may waive contributions from families whose incomes are at or below the poverty level for a family of the same size. (98.42(c)) The poverty level used by a Lead Agency for a family of 3 is \$\_\_\_\_\_.

The Lead Agency must elect ONE of these options:

- ( ) ALL families with income at or below the poverty level for a family of the same size ARE NOT required to pay a fee.
- ( ) ALL families, including those with incomes at or below the poverty level for families of the same size, ARE required to pay a fee.
- ( ) SOME families with income at or below the poverty level for a family of the same size ARE NOT required to pay a fee. A description of these families is:

- 3.5.4) The following is an explanation of how the copayments required by the Tribal Lead Agency's sliding fee scale(s) are affordable:(98.43(b)(3))

### **Section 3.6 - Certificate Payment System:**

**Complete this section only if your Tribe operates a certificate program. Non-exempt tribal Lead Agencies must complete this section.**

A child care certificate means a certificate, check or other disbursement, that is issued by the Lead Agency to a parent who may use it to pay for child care services from a variety of providers (including center-based, group home, family and in-home child care) or as a deposit for services. (658E(c)(2)(A)(iii)), 658P(2), 98.2, 98.30, 98.16(k))

Describe the overall child care certificate payment process, including, at a minimum:

- 3.6.1) A description of the form of the certificate (a copy of the certificate, if applicable, is included as Attachment \_\_\_\_\_);
- 3.6.2) A description of how the certificate program permits parents to choose from a variety of child care settings by explaining how a parent moves from receipt of the certificate to choice of care; and
- 3.6.3) If the Lead Agency is also providing child care services through grants and contracts, explain how it ensures that parents offered child care services are given the option of receiving a child care certificate.

## **PART 4 - PROCESSES WITH PARENTS**

#### **Section 4.1 – Family Application Process**

- 4.1) Describe the process involved for a family to apply to receive child care services (658E(c)(2)(D), (658D(b)(1)(A), (658E(c)(3)(B), 98.16(h), 98.30))

The description must include:

- How parents are informed of the availability of child care services and of available child care options;
- Where and how applications are made; and
- Who makes the eligibility determination.

#### **Section 4.2 – Record of Substantiated Parental Complaints**

- 4.2) Provide a detailed description of how the Lead Agency maintains a record of substantiated parental complaints and how it makes the information regarding such parental complaints available to the public on request. (658E(c)(2)(C), 98.32, 98.16(m))

#### **Section 4.3 – Description of Procedures for Unlimited Parental Access**

- 4.3) Provide a detailed description of the procedures in effect in the tribal service area for affording parents unlimited access to their children whenever their children are in the care of a provider who receives CCDF funds. (658E(c)(2)(B), 98.31, 98.16(n))





- "unsuitability of informal child care":
- "affordable child care arrangements":

**PART 5 - ACTIVITIES & SERVICES TO IMPROVE  
THE QUALITY OF CHILD CARE**

5.1) Check either "Yes" or "No" for each listed activity indicating which of the following activities the Lead Agency will undertake to improve the availability and quality of child care. (658G, 658E(c)(3)(B), 98.16(h), 98.51, 98.83(f))

**Yes No**

- |     |   |
|-----|---|
| _ _ | resource and referral programs;   |
| _ _ | grants or loans to providers to assist in meeting standards;  |
| _ _ | monitoring of compliance with licensing and regulatory requirements;  |
| _ _ | training and technical assistance;  |
| _ _ | compensation for child care providers;  |
| _ _ | comprehensive consumer education;   |
| _ _ | other quality activities consistent with the purposes and requirements of the CCDF, including: (list each activity) |

5.2) Describe each activity that is checked "Yes" above.



**Section 6.2 - Health and Safety Requirements for Group Home Providers** (658E(c)(2)(F), 98.16(j), 98.41)

6.2) For all Group Home Child Care Providers, the following health and safety requirements apply to child care services provided under the CCDF:

- Prevention and control of infectious disease (including immunizations)

- Building and physical premises safety

- Health and safety training

**Section 6.3 - Health and Safety Requirements for Family Providers** (658E(c)(2)(F), 98.16(j), 98.41)

6.3) For all Family Child Care Providers, the following health and safety requirements apply to child care services provided under the CCDF:

- Prevention and control of infectious disease (including immunizations)

- Building and physical premises safety

- Health and safety training



### **Section 6.5 - Exemptions to Health and Safety Requirements**

At Grantee option, the following relatives; grandparents, great grandparents, aunts, uncles, or siblings who live in a separate residence from the child in care, may be exempted from health and safety requirements (658P(5)(B), 98.41(g)). Indicate the lead agency policy regarding these relative providers:

- ( ) All relative providers are subject to the same requirements as described in sections 6.1 - 6.4 above, as appropriate; there are no exemptions for relatives or different requirements for them.
- ( ) All relative providers are exempt from all health and safety requirements.
- ( ) Some or all relative providers are subject to different health and safety requirements from those described in sections 6.1 - 6.4 and the following describes those different requirements and which relatives they apply to):

### **Section 6.6 - Enforcement of Health and Safety Requirements**

The following is a description of how health and safety standards are effectively enforced by the Lead Agency: (658E(c)(2)(E), 98.41(d))

## APPENDIX 1 -- ASSURANCES AND CERTIFICATIONS

The Tribal Lead Agency, named in Part 1 of this Plan, assures that:

- 1) Upon approval, it will have in effect a program that complies with the provisions of the Plan printed herein, and is administered in accordance with the Child Care and Development Block Grant Act of 1990 as amended, Section 418 of the Social Security Act, and all other applicable Federal laws and regulations. (658D(b), 658E(a), 98.15(a)(1))
- 2) The parent(s) of each eligible child within the Tribe or tribal service area who receives or is offered child care services for which financial assistance is provided is given the option either to enroll such child with a child care provider that has a grant or contract for the provision of the service or to receive a child care certificate. (658E(c)(2)(A)(i), 98.2, 98.30, 98.15(a)(2)) **[Exempt Tribal Lead Agencies are not required to operate certificate programs.]**
- 3) In cases in which the parent(s) elect(s) to enroll the child with a provider that has a grant or contract with the Tribal Lead Agency, the child will be enrolled with the eligible provider selected by the parent to the maximum extent practicable. (658E(c)(2)(A)(ii), 98.15(a)(3), 98.30)
- 4) The child care certificate offered to parents shall be of a value commensurate with the subsidy value of child care services provided under a grant or contract. (658E(c)(2)(A)(iii), 98.15(a)(4), 98.30) **[Exempt Tribal Lead Agencies are not required to operate certificate programs.]**
- 5) The Tribe, or tribal consortium, will coordinate, to the maximum extent feasible, with the Lead Agency(ies) in the State(s) in which the child care programs or activities will be carried out. (98.12, 98.14(a)&(b), 98.81(b)(3)(i), 98.82)
- 6) Tribal Child Care and Development Fund (CCDF) programs and activities will be carried out for the benefit of Indian children on an Indian reservation (except for Programs located in Alaska, California, or Oklahoma). (98.81(b)(3)(ii), 98.83(b))
- 7) With respect to State and local regulatory requirements (or tribal regulatory requirements), health and safety requirements, payment rates, and registration requirements, State or local (or tribal) rules, procedures or other requirements promulgated for the purpose of the CCDF will not significantly restrict parental choice from among categories of care or types of providers (658E(c)(2)(A), 98.15(a)(5), 98.15(p), 98.30(e)&(f), 98.40(b)(2), 98.41(b), 98.43(d), 98.45(d)).

The Tribal Lead Agency also certifies that:

- (1) It has procedures in place to ensure that providers of child care services for which assistance is provided under the Child Care and Development Fund (CCDF) afford parents unlimited access to their children and to the providers caring for their children during the normal hours of operations and whenever such children are in the care of such providers. (658E(c)(2)(B), 98.15(b)(1), 98.31)
- (2) It maintains a record of substantiated parental complaints and makes information regarding such complaints available to the public on request. (658E(c)(2)(C), 98.15(b)(2), 98.32)
- (3) It will collect and disseminate to parents of eligible children and the general public, consumer education information that will promote informed child care choices. (658E(c)(2)(D), 98.15(b)(3), 98.33)
- (4) There are in effect licensing requirements applicable to child care services provided within the area served by the Tribal Lead Agency pursuant to §98.40. (98.15(b)(4), 98.40)
- (5) There are in effect, under tribal, local or State law, requirements designed to protect the health and safety of children; these requirements are applicable to child care providers that provide services for which assistance is made available under the CCDF. (658E(c)(2)(F), 98.15(b)(5), 98.41)
- (6) Procedures are in effect to ensure that child care providers that provide services for which assistance is provided under the CCDF comply with all applicable health and safety requirements. (658E(c)(2)(G), 98.15(b)(6), 98.41)
- (7) Payment rates under the CCDF for the provision of child care services will be sufficient to ensure equal access for eligible children to comparable child care services in the Tribe or tribal service area that are provided to children whose parents are not eligible to receive assistance under this program or under any other Federal or State child care assistance programs. (658E(c)(4)(A), 98.15(b)(7), 98.43)



**APPENDIX 2 - ELIGIBILITY AND PRIORITY TERMINOLOGY:**

For purposes of determining eligibility and priority for CCDF-funded child care services, Tribal Lead Agencies must define the following terms. (658E(a), 98.16(f), 98.81(b)(2))

- (1) attending (a job training or educational program)-
- (2) Indian child -
- (3) Indian reservation or service area -
- (4) in loco parentis -
- (5) job training and educational program -
- (6) physical or mental incapacity (if the Lead Agency provides such services to children age 13 and older).
- (7) protective services -
- (8) residing with -
- (9) special needs child -

(10) working -

(11) Additional terminology related to conditions of eligibility or priority established by the Tribal Lead Agency:

**APPENDIX 3 – CHILD COUNT DECLARATION**

Name of Tribal Lead Agency

This certifies that the number of Indian children under age 13 (as defined in Appendix 2, #2) who reside on or near the reservation or service area (as defined in Appendix 2, #3) is: \_\_\_\_\_.  
number

This count shows the number of Indian children under age 13 as of \_\_\_\_\_.

date

Signature of Individual Authorized to Act for  
Tribal Lead Agency

## APPENDIX 4 – LIST OF CERTIFICATIONS

New tribal CCDF applicants must complete and submit the following certifications as Appendix 4 of their tribal plan preprint:

- Assurance of compliance with Title VI of the Civil Rights Act of 1964;
- Certification regarding debarment (includes definitions for use with the certification of debarment);
- HHS certification regarding drug-free workplace requirements; and
- Certification of compliance with the Pro-Child Act of 1994.

New applicants should contact their ACF Regional Office to obtain copies of the required certifications.

Tribes that received FY 1999 CCDF funds were required to submit these certifications with their 2-year plan preprint. Therefore, the certifications do not need to be submitted again. Tribes are reminded that even if they have changed their Lead Agency, the certifications apply to the successor agency.

**Attachment C**

**FY 2001 CCDF TRIBAL ESTIMATES CHART**

	FY 2001	FY 2001	FY 2001	FY 2001	TOTAL	FY
	MANDATORY	DISCRETIONARY	DISCRETIONARY	DISCRETIONARY	ESTIMATE	2001
<u>TRIBE</u>	<u>ALLOCATION</u>	<u>ALLOCATION</u>	<u>EARMARK</u>	<u>AFTER</u>	<u>ESTIMATED</u>	<u>CCDF</u>
	<u>ESTIMATE</u>	<u>ESTIMATE</u>	<u>ESTIMATE</u>	<u>EARMARK</u>	<u>FUNDING</u>	
<b>ALABAMA</b>						
Poarch Band of Creeks	45,563	31,276	725	30,551	76,839	
<b>ALASKA</b>						
Agdaagux Tribal Council	-	25,111	602	24,509	25,111	
Akaichak Native Community	-	25,787	616	25,171	25,787	
Akiak Native Community	-	23,191	564	22,627	23,191	
Aluetian/Pribilof Island Association, Inc.	37,368	102,449	685	101,764	139,817	
Arctic Slope Native Association	165,316	173,505	1,169	172,336	338,821	
Association of Village Council President, Inc.	932,678	927,868	3,415	924,453	1,860,546	
Bristol Bay Native Association	195,255	415,125	1,466	413,659	610,380	
Chugachmiut	40,537	102,433	700	101,733	142,970	
Cook Inlet Tribal Council, Inc.	693,171	249,701	3,595	246,106	942,872	
Copper River Native Association	29,611	67,041	617	66,424	96,652	
Hoonah Indian Assoc.	-	22,163	543	21,620	22,163	
Kawerak, Inc.	259,721	427,880	1,784	426,096	687,601	
Kenaitze Indian Tribe IRA	-	35,004	640	34,364	35,004	
Kivalina IRA Council	-	22,867	557	22,310	22,867	
Kinik Tribal Council	-	45,123	1,002	44,121	45,123	
Kodiak Area Native Association	151,549	149,108	1,249	147,859	300,657	
Kugpagmiut, Inc	-	50,438	709	49,729	50,438	
Maniilaq Manpower, Inc	239,944	256,119	1,629	254,490	496,063	
Metlakatla Indian Community	60,095	34,874	797	34,077	94,969	
Mt. Sanford Tribal Consortium	-	23,487	530	22,957	23,487	
Native Village of Point Hope	-	27,410	648	26,762	27,410	
Ninilchik Traditional Council	-	25,030	601	24,429	25,030	
Orutsararmuit Native Council	-	77,979	1,658	76,321	77,979	
Sitka Tribe of Alaska	-	38,308	866	37,442	38,308	
Tanana Chiefs Conference, Inc.	561,726	622,627	3,278	619,349	1,184,353	
Central Council Tlingit & Haida Tribes of Alaska	381,331	315,204	1,923	313,281	696,535	
Yakutat Tlingit Tribe	-	22,109	542	21,567	22,109	
Organized Village of Kwethluk	-	28,221	664	27,557	28,221	

## FY 2001 CCDF TRIBAL ESTIMATES CHART

<u>TRIBE</u>	FY 2001	FY 2001	FY 2001	FY 2001	TOTAL	FY
	MANDATORY	DISCRETIONARY	DISCRETIONARY	DISCRETIONARY	ESTIMATE	2001
	ALLOCATION	ALLOCATION	EARMARK	EARMARK	AFTER	ESTIMATED
	ESTIMATE	ESTIMATE	ESTIMATE	EARMARK	FUNDING	CCDF
<b>ARIZONA</b>						
Cocopah Indian Tribe	20,542	25,084	602	24,482	45,626	
Fort McDowell Mohave-Apache	21,525	25,328	606	24,722	46,853	
Gila River Indian Community	415,531	122,844	2,555	120,289	538,375	
Hopi Tribe	259,611	84,253	1,784	82,469	343,864	
Hualapai Tribal Council	152,751	57,806	1,255	56,551	210,557	
Havasupai Tribal Council	18,793	24,652	593	24,059	43,445	
Navajo Nation	9,586,387	2,392,618	47,910	2,344,708	11,979,005	
Pascua Yaqui	311,293	97,045	2,039	95,006	408,338	
Quechan Indian Tribe	164,661	60,753	1,314	59,439	225,414	
Salt River Pima-Maricopa	218,965	74,194	1,583	72,611	293,159	
San Carlos Apache Tribe	313,588	97,612	2,051	95,561	411,200	
Tohono O'Odham	237,540	78,791	1,675	77,116	316,331	
White Mountain Apache Tribe	499,664	143,666	2,971	140,695	643,330	
Yavapai-Apache Tribe (Camp Verde)	26,879	26,653	633	26,020	53,532	
<b>CALIFORNIA</b>						
Bear River Band of Rohnerville (Rohnerville Rancheria)	16,499	24,083	582	23,501	40,582	
Bishop Paiute Tribe	43,706	30,817	716	30,101	74,523	
California Indian Manpower, Inc	108,608	251,281	1,037	250,244	359,889	
California Rural Indian Health Board	46,328	71,466	729	70,737	117,794	
Campo Consortia	32,888	81,340	663	80,677	114,228	
Mechoopda Indian Tribe of Chico Rancheria	26,442	26,544	631	25,913	52,986	
Chukchansi Tribe of Picayane	38,024	29,411	688	28,723	67,435	
Cloverdale Rancheria	13,330	23,299	566	22,733	36,629	
Cortina Indian Rancheria	6,774	21,677	534	21,143	28,451	
Dry Creek Rancheria	19,449	24,814	596	24,218	44,263	
Enterprise Rancheria	18,029	24,462	589	23,873	42,491	
Fort Mojave Tribe	34,200	28,464	669	27,795	62,664	
Hoopa Tribe (Hoopa Valley)	118,005	49,206	1,084	48,122	167,211	
Hopland Band of Pomo Indians	11,473	22,839	557	22,282	34,312	
Inter Tribal Council of California	189,901	391,801	1,439	390,362	581,702	
Karuk Tribe	100,086	44,771	995	43,776	144,857	

## FY 2001 CCDF TRIBAL ESTIMATES CHART

<u>TRIBE</u>	FY 2001	FY 2001	FY 2001	FY 2001	TOTAL	FY
	MANDATORY	ESTIMATED	ESTIMATED	DISCRETIONARY	ESTIMATE	2001
	ALLOCATION	ALLOCATION	EARMARK	ESTIMATE	AFTER	ESTIMATED
	ESTIMATE	ESTIMATE	ESTIMATE	EARMARK		CCDF
						FUNDING
La Jolla Band of Indians	12,675	23,137	563	22,574		35,812
Lytton Rancheria	9,943	22,461	549	21,912		32,404
Mooretown Rancheria	27,753	26,869	637	26,232		54,622
North Fork Rancheria	16,717	24,137	583	23,554		40,854
Pala Band of Mission Indians	22,399	25,544	611	24,933		47,943
Pauma Band of Mission Indians	5,900	21,461	529	20,932		27,361
Pinoleville Indian Community	12,019	22,974	559	22,415		34,993
Pit River Tribe	59,658	34,765	795	33,970		94,423
Pechanga Indian Reservation	25,131	26,220	624	25,596		51,351
Potter Valley Little Lake	5,463	21,353	527	20,826		26,816
Quartz Valley Indian Commun.	8,523	22,109	542	21,567		30,632
Redding Rancheria	237,431	78,764	1,674	77,090		316,195
Rincon San Luiseno Band	20,869	25,165	603	24,562		46,034
Round Valley Indian Tribe	124,998	50,937	1,118	49,819		175,935
Scotts Valley Band of Pomo	5,682	21,407	528	20,879		27,089
Shingle Springs Rancheria	8,195	22,028	541	21,487		30,223
Soboba Band of Mission Indians	33,107	28,193	664	27,529		61,300
Southern California Tribal Chairmen's Association	14,860	46,878	573	46,305		61,738
Susanville Rancheria/Lassen	32,779	28,113	662	27,451		60,892
Table Bluff Rancheria	9,069	22,245	545	21,700		31,314
Torres Martinez Desert Cahuilla	11,582	22,867	557	22,310		34,449
Tyme Maidu Berry Creek Rancheria	15,297	23,786	576	23,210		39,083
Yurok Tribe	120,846	49,909	1,098	48,811		170,755
<b>COLORADO</b>						
Southern Ute	75,720	38,740	874	37,866		114,460
Ute Mountain Ute Tribe	55,615	33,765	775	32,990		89,380
<b>FLORIDA</b>						
Miccosukee Corporation	22,508	25,570	611	24,959		48,078
Seminole	101,616	45,150	1,003	44,147		146,766
<b>IDAHO</b>						
Coeur d'Alene Tribes	109,592	47,124	1,042	46,082		156,716
Nez Perce Tribe	88,722	41,958	939	41,019		130,680

## FY 2001 CCDF TRIBAL ESTIMATES CHART

<u>TRIBE</u>	FY 2001	FY 2001	FY 2001	FY 2001	TOTAL	FY
	MANDATORY	ESTIMATED	ESTIMATED	DISCRETIONARY	ESTIMATE	2001
	ALLOCATION	ALLOCATION	DISCRETIONARY	EARMARK	AFTER	ESTIMATED
	ESTIMATE	ESTIMATE	ESTIMATE	EARMARK		CCDF
						FUNDING
Northwestern Band of Shoshoni Nation	17,264	24,272	585	23,687		41,536
Shoshone-Bannock Tribes	260,923	84,578	1,790	82,788		345,501
<b>KANSAS</b>						
Kickapoo	28,409	27,031	640	26,391		55,440
Prairie Band of Potawatomi	45,782	31,331	726	30,605		77,113
<b>LOUISIANA</b>						
Chitimacha Tribe	31,905	27,897	658	27,239		59,802
Coushatta Tribe	17,264	24,272	585	23,687		41,536
Tunica Biloxi	8,304	22,056	541	21,515		30,360
<b>MAINE</b>						
Aroostook Band of Micmac Indians	28,955	27,166	643	26,523		56,121
Houlton Band of Maliseet Indians	10,052	22,488	550	21,938		32,540
Indian Township Passamaquoddy	33,872	28,384	668	27,716		62,256
Penobscot Nation	44,252	30,952	719	30,233		75,204
Pleasant Point Passamaquoddy	51,573	32,764	755	32,009		84,337
<b>MASSACHUSETTS</b>						
Wampanoag of Gay Head	8,523	22,109	542	21,567		30,632
<b>MICHIGAN</b>						
Bay Mills Indian Community	32,888	28,140	663	27,477		61,028
Grand Traverse Band of Ottawa/Chippewa	43,487	30,763	715	30,048		74,250
Hannaville Indian Community (Potawatomi)	28,409	27,031	640	26,391		55,440
Keweenaw Bay Indian Community	26,551	26,571	631	25,940		53,122
Lac Viex Desert	15,188	23,759	575	23,184		38,947
Saginaw Chippewa Indian Tribe	55,725	33,792	776	33,016		89,517
Sault St. Marie Tribe of Chippewas	332,709	102,345	2,145	100,200		435,054
<b>MINNESOTA</b>						
Bois Forte Reservation (Nett Lake)	65,449	36,199	824	35,375		101,648
Fond Du Lac Tribal Council	264,856	85,552	1,810	83,742		350,408
Grand Portage Reservation Tribal Council	11,145	22,758	555	22,203		33,903
Leech Lake Band	431,265	126,738	2,633	124,105		558,003
Mille Lacs Band of Chippewa	82,494	40,417	908	39,509		122,911
Red Lake Band	268,680	86,498	1,829	84,669		355,178
Shakopee Mdewakanton Sioux Community	21,962	25,435	609	24,826		47,397



## FY 2001 CCDF TRIBAL ESTIMATES CHART

<u>TRIBE</u>	FY 2001	FY 2001	FY 2001	FY 2001	TOTAL	FY
	MANDATORY	DISCRETIONARY	DISCRETIONARY	DISCRETIONARY	ESTIMATE	ESTIMATED
	ALLOCATION	ALLOCATION	EARMARK	EARMARK	AFTER	CCDF
	ESTIMATE	ESTIMATE	ESTIMATE	EARMARK	FUNDING	
Upper Sioux Community	9,287	22,298	546	21,752	31,585	
White Earth Reservation Tribal Council	348,443	106,239	2,223	104,016	454,682	
Lower Sioux Indian Tribe of Minnesota	22,399	25,544	611	24,933	47,943	
Prairie Island Indian Community of Minn.	32,561	28,059	661	27,398	60,620	
<b>MISSISSIPPI</b>						
Ms. Band of Choctow	282,229	89,851	1,896	87,955	372,080	
<b>MONTANA</b>						
Blackfeet Nation	297,417	93,611	1,971	91,640	391,028	
Chippewa-Cree Tribe (Rocky Boys)	126,309	51,262	1,125	50,137	177,571	
Confederated Tribes of Salish & Kootenai	367,892	111,053	2,319	108,734	478,945	
Crow Tribe	431,921	126,900	2,636	124,264	558,821	
Fort Belknap Community Council	296,324	93,340	1,965	91,375	389,664	
Fort Peck Assiniboine & Sioux	330,196	101,723	2,133	99,590	431,919	
Northern Cheyenne Tribe	211,317	72,300	1,545	70,755	283,617	
<b>NEBRASKA</b>						
Omaha Tribe	74,081	38,335	866	37,469	112,416	
Ponca Tribe of Nebraska	26,114	26,463	629	25,834	52,577	
Santee Sioux Tribe	22,727	25,625	612	25,013	48,352	
Winnebago Tribe	105,877	46,204	1,024	45,180	152,081	
<b>NEVADA</b>						
Ely Shoshone Duck Water Tribe	10,380	36,569	551	36,018	46,949	
Fallon Paiute-Shoshone Tribe	46,874	31,601	732	30,869	78,475	
Fort McDermitt Paiute-Shoshone Tribe	28,299	27,004	640	26,364	55,303	
Inter Tribal Council of Nevada	57,910	133,132	786	132,346	191,042	
Las Vegas Paiute	126,418	51,289	1,125	50,164	177,707	
Pyramid Lake Paiute Tribal Council	49,387	32,223	744	31,479	81,610	
Reno-Sparks Indian Colony	33,981	28,410	668	27,742	62,391	
Shoshone-Paiute Tribe of Duck Valley	63,482	35,712	814	34,898	99,194	
Walker River Paiute Tribe	30,594	27,572	651	26,921	58,166	
Lovelock Paiute Tribe	7,976	21,975	539	21,436	29,951	
<b>NEW MEXICO</b>						
Eight Northern Indian Pueblos	165,207	180,889	1,317	179,572	346,096	
Mescalero Apache	125,763	51,126	1,122	50,004	176,889	

## FY 2001 CCDF TRIBAL ESTIMATES CHART

<u>TRIBE</u>	FY 2001	FY 2001	FY 2001	FY 2001	TOTAL	FY
	MANDATORY	DISCRETIONARY	DISCRETIONARY	DISCRETIONARY	ESTIMATE	ESTIMATED
	ALLOCATION	ALLOCATION	EARMARK	EARMARK	AFTER	CCDF
	ESTIMATE	ESTIMATE	ESTIMATE	ESTIMATE	FUNDING	
Pueblo of Acoma	129,150	51,964	1,139	50,825		181,114
Pueblo of Cochiti	35,183	28,708	674	28,034		63,891
Pueblo of Isleta	109,701	47,151	1,042	46,109		156,852
Pueblo of Jemez(Jemus Pueblo)	72,333	37,902	858	37,044		110,235
Pueblo of Laguna	175,150	63,349	1,366	61,983		238,499
Pueblo of Sandia	11,910	22,948	559	22,389		34,858
Pueblo de San Felipe	95,060	43,527	970	42,557		138,587
Pueblo of Zia	19,230	24,759	595	24,164		43,989
Pueblo of Zuni	317,958	98,694	2,072	96,622		416,652
Santa Ana Pueblo	14,532	23,597	572	23,025		38,129
Santo Domingo Pueblo	127,948	51,667	1,133	50,534		179,615
Taos Pueblo	74,737	38,497	870	37,627		113,234
<b>NEW YORK</b>						
St. Regis Mohawk Tribe	129,041	51,938	1,138	50,800		180,979
Seneca Nation	126,637	51,342	1,126	50,216		177,979
<b>NORTH CAROLINA</b>						
Eastern Band of Cherokee Indians	306,704	95,909	2,017	93,892		402,613
<b>NORTH DAKOTA</b>						
Spirit Lake Nation	308,015	96,234	2,023	94,211		404,249
Standing Rock Sioux Tribe	369,968	111,566	2,330	109,236		481,534
Three Affiliated Tribes	220,276	74,518	1,589	72,929		294,794
Trenton Indian Service Area	71,568	37,713	854	36,859		109,281
Turtle Mountain Band of Chippewa Indians	529,603	151,076	3,119	147,957		680,679
<b>OKLAHOMA</b>						
Absentee Shawnee Tribe	47,421	31,736	735	31,001		79,157
Alabama-Quassarte Tribal Town	62,936	35,577	811	34,766		98,513
Apache Tribe of Oklahoma	63,482	35,712	814	34,898		99,194
Caddo Indian Tribe of Oklahoma	32,779	28,113	662	27,451		60,892
Central Tribes of Shawnee Area, Inc.	340,467	104,265	2,184	102,081		444,732
Cherokee Nation	4,165,910	1,051,057	21,101	1,029,956		5,216,967
Cheyenne & Arapaho Tribes	350,519	106,753	2,233	104,520		457,272
Chicksaw Nation	786,045	214,545	4,387	210,158		1,000,590

## FY 2001 CCDF TRIBAL ESTIMATES CHART

<u>TRIBE</u>	FY 2001	FY 2001	FY 2001	FY 2001	TOTAL	FY
	MANDATORY	DISCRETIONARY	DISCRETIONARY	DISCRETIONARY	ESTIMATE	ESTIMATED
	ALLOCATION	ALLOCATION	EARMARK	EARMARK	AFTER	CCDF
	ESTIMATE	ESTIMATE	ESTIMATE	ESTIMATE	ESTIMATE	FUNDING
Choctaw Nation	1,987,403	511,879	10,328	501,551	2,499,282	
Cit Band Potawatomi	1,114,712	295,889	6,012	289,877	1,410,601	
Comache Tribe of Oklahoma	148,053	56,643	1,232	55,411	204,696	
Delaware Tribe of Indians	535,394	152,510	3,148	149,362	687,904	
Delaware Tribe of Western Oklahoma	21,853	25,408	608	24,800	47,261	
Eastern Shawnee Tribe	395,317	117,841	2,455	115,386	513,158	
Fort Sill Apache Tribe	10,926	22,705	554	22,151	33,631	
Iowa Tribe of Oklahoma	109,920	47,205	1,044	46,161	157,125	
Kaw Nation of Oklahoma	125,872	51,153	1,122	50,031	177,025	
Kialegee Tribal Town	6,556	21,623	532	21,091	28,179	
Kickapoo Tribe of Oklahoma	69,929	37,308	846	36,462	107,237	
Kiowa Tribe	225,412	75,789	1,615	74,174	301,201	
Miami Tribe of Oklahoma	445,688	170,307	2,704	167,603	615,995	
Modoc Tribe of Oklahoma	190,884	67,243	1,444	65,799	258,127	
Muscogee-Creek Nation	2,599,173	663,291	13,353	649,938	3,262,464	
Osage Nation	555,608	157,512	3,248	154,264	713,120	
Otoe-Missouria Tribe	55,506	33,738	774	32,964	89,244	
Pawnee Nation	36,931	29,140	683	28,457	66,071	
Ponca Tribe	83,259	40,607	912	39,695	123,866	
Quapaw Tribe	252,837	82,577	1,750	80,827	335,414	
Seminole Tribe of Oklahoma	244,751	80,575	1,710	78,865	325,326	
Seneca Cayuga Tribe of Oklahoma	346,586	105,780	2,214	103,566	452,366	
Thlopthlocco Tribal Town	17,482	24,327	586	23,741	41,809	
Tonkawa Tribe	41,193	30,195	704	29,491	71,388	
Wichita and Affiliated Tribes	68,618	36,983	839	36,144	105,601	
Wyandotte Tribe	346,586	105,780	2,214	103,566	452,366	
<b>OREGON</b>						
Burns Paiute Tribe	7,976	21,975	539	21,436	29,951	
Confederated Tribes of Coos, Lower Umpaqua, Siuslaw	18,029	24,462	589	23,873	42,491	
Conderated Tribes of Grande Ronde	143,573	55,534	1,210	54,324	199,107	
Conf. Tribes of Siletz Indians	59,877	34,819	796	34,023	94,696	

## FY 2001 CCDF TRIBAL ESTIMATES CHART

<u>TRIBE</u>	FY 2001	FY 2001	FY 2001	FY 2001	TOTAL	FY
	MANDATORY	DISCRETIONARY	DISCRETIONARY	DISCRETIONARY	ESTIMATE	ESTIMATED
	ALLOCATION	ALLOCATION	EARMARK	EARMARK	AFTER	CCDF
	ESTIMATE	ESTIMATE	ESTIMATE	EARMARK	FUNDING	
Confederated Tribes of Umatilla Indian Reservation	52,447	32,981	759	32,222	85,428	
Conf. Tribes of Warm Springs	121,720	50,126	1,102	49,024	171,846	
Coquille Indian Tribe	12,347	23,056	561	22,495	35,403	
Cow Creek Band Of Umpqua Tribe	13,549	23,354	567	22,787	36,903	
Klamath Tribes	107,188	46,528	1,030	45,498	153,716	
<b>RHODE ISLAND</b>						
Narragansett Indian Tribe	55,615	33,765	775	32,990	89,380	
<b>SOUTH CAROLINA</b>						
Catawba Indian Nation	93,530	43,149	963	42,186	136,679	
<b>SOUTH DAKOTA</b>						
Cheyenne River Sioux Tribe	339,920	104,129	2,181	101,948	444,049	
Crow Creek Sioux Tribe	118,770	49,395	1,087	48,308	168,165	
Flandreau Santee Sioux Tribe	46,437	31,493	730	30,763	77,930	
Lower Brule Sioux Tribe	52,775	33,061	761	32,300	85,836	
Oglala Sioux Tribe	1,436,822	375,611	7,605	368,006	1,812,433	
Rosebud Sioux Tribe	641,489	178,767	3,672	175,095	820,256	
Sisseton-Wapheton Sioux Tribe	139,421	54,507	1,189	53,318	193,928	
Yankton Sioux Tribe	193,507	67,892	1,457	66,435	261,399	
<b>TEXAS</b>						
Alabama-Coushatta Tribe	23,164	25,733	615	25,118	48,897	
Ysleta Del Sur Pueblo	22,727	25,625	612	25,013	48,352	
<b>UTAH</b>						
Paiute Indian Tribe	18,575	24,597	592	24,005	43,172	
Ute Indian Tribe	161,055	59,861	1,296	58,565	220,916	
<b>WASHINGTON</b>						
Confederated Tribes of Colville	134,395	53,263	1,165	52,098	187,658	
Confederated Tribes of Yakima Indian Nation	566,425	160,189	3,301	156,888	726,614	
Jamestown S'Kallam Tribe	111,340	47,557	1,051	46,506	158,897	
Kalispel Tribe of Indians	8,632	22,136	543	21,593	30,768	
Lower Elwha Tribal Council (Elwha)	110,794	47,421	1,048	46,373	158,215	
Lummi Indian Nation	133,084	52,938	1,158	51,780	186,022	
Makah Tribal Council	63,701	35,766	815	34,951	99,467	
Muckleshoot Indian Tribe	123,905	50,666	1,113	49,553	174,571	

## FY 2001 CCDF TRIBAL ESTIMATES CHART

<u>TRIBE</u>	FY 2001	FY 2001	FY 2001	FY 2001	TOTAL	FY
	MANDATORY	DISCRETIONARY	DISCRETIONARY	DISCRETIONARY	ESTIMATE	ESTIMATED
	ALLOCATION	ALLOCATION	EARMARK	EARMARK	AFTER	CCDF
	ESTIMATE	ESTIMATE	ESTIMATE	EARMARK		FUNDING
Nooksack Indian Tribe	41,302	30,222	704	29,518		71,524
Port Gamble S'Kallam Tribe	25,021	26,193	624	25,569		51,214
Puyallup Tribe of Indians	821,447	223,307	4,562	218,745		1,044,754
Quileute Tribal Council	19,121	24,732	595	24,137		43,853
Quinault Indian Nation	53,430	33,223	764	32,459		86,653
Samish Indian Tribe	10,489	22,596	552	22,044		33,085
Sauk Suiattle Indian Tribe	6,447	21,597	532	21,065		28,044
Skokomish Indian Tribe	50,808	32,574	751	31,823		83,382
South Puget Intertribal Planning Agency	238,851	139,115	1,681	137,434		377,966
Spokane Tribe of Indians	70,912	37,551	851	36,700		108,463
Stillaguamish Tribe	54,086	33,386	767	32,619		87,472
Suquamish Tribe	31,250	27,735	655	27,080		58,985
Swinomish Tribal Community	25,677	26,355	627	25,728		52,032
Tulalip Tribes	138,438	54,263	1,185	53,078		192,701
Upper Skagit Indian Tribe	18,029	24,462	589	23,873		42,491
<b>WISCONSIN</b>						
Bad River of Lake Superior Band	42,067	30,411	708	29,703		72,478
Forest County Potawatomi (Potawatomi Community)	48,076	31,898	738	31,160		79,974
Ho-Chunk Nation (Winnebago)	82,385	40,391	907	39,484		122,776
Lac Court Orielles	102,490	45,366	1,007	44,359		147,856
Lac Du Flambeau Chippewa	67,525	36,712	834	35,878		104,237
Menominee Indian Tribe of Wisconsin	179,958	64,539	1,390	63,149		244,497
Oneida Tribe	301,022	94,503	1,989	92,514		395,525
Red Cliff Band of Chippewas	56,708	34,035	780	33,255		90,743
Sokaogan Chippewa Community	11,801	22,921	558	22,363		34,722
St. Croix Chippewa Tribe	63,155	35,630	812	34,818		98,785
Stockbridge-Munsee Tribal Council	23,601	25,841	617	25,224		49,442
<b>WYOMING</b>						
Eastern Shoshone Tribe	73,862	38,281	865	37,416		112,143
Northern Arapaho Tribe	259,284	84,172	1,782	82,390		343,456

## FY 2001 CCDF TRIBAL ESTIMATES CHART

<u>TRIBE</u>	FY 2001 MANDATORY ALLOCATION ESTIMATE	FY 2001 ESTIMATED DISCRETIONARY ALLOCATION ESTIMATE	FY 2001 ESTIMATED DISCRETIONARY EARMARK ESTIMATE	FY 2001 DISCRETIONARY ESTIMATE AFTER EARMARK	TOTAL ESTIMATED CCDF FUNDING
Other Tribal Organizations	-	2,000,000	-	2,000,000	2,000,000
<b>TOTALS</b>	<b>51,340,000</b>	<b>23,653,440</b>	<b>382,400</b>	<b>23,271,040</b>	<b>74,993,440</b>

\* The estimates in this chart are based on child counts used to determine FY 2000 awards. The final allocations, which will be based on updated FY 2001 child counts will be different.

\* The discretionary earmark is the amount of FY 2001 funds required to be spent on resource and referral and school-age care activities.