

OFAC Civil Penalties Enforcement Information

ENTITIES - 31 CFR 501.805(d)(1)(i)

Name of Entity Involved	Address	Sanctions Program	Description of Actual or Alleged Violation	Voluntary Disclosure	Amount	Assessed (A) Settled (S)
Bank of America	Charlotte, NC 28255	Sudan	1999 Funds transfer		\$ 4,308.00	S
Bank of America	Charlotte, NC 28255	Iran	1999 - 2000 Funds transfers & 1995 - 2000 operation of accounts	Yes	\$ 158,038.67	S
Bank of Communications	New York, NY 10006	Libya	2003 Funds transfer	Yes	\$ 2,684.00	S
Barclays Bank PLC	New York, NY 10166	Libya	2001 Funds transfers	Yes	\$ 10,107.72	S
BetzDearborn, Inc. on behalf of Hercules Canada	Trevose, PA 19053	Cuba	1999 - 2002 Provision of services, travel-related transactions and export of goods	Yes	\$ 45,000.00	S
Brastrade Brazilian Trading Co., Inc.	New York, NY 10005	Libya	2000 Brokerage and sale of goods destined for Libya		\$ 6,600.00	S
Creative Development	Miami, FL 33186	Kosovo	2000 Export of goods to Yugoslavia		\$ 817.90	S
Cuba Tours & Travel, LLC	San Francisco, CA 94104	Cuba	2000 Funds Transfer by unlicensed service provider		\$ 800.00	S
Disarm Education Fund	New York, NY 10003	Cuba	OFAC specific license used by parties not covered by license in 2002		\$ 13,750.00	S
Doma Shipping & Travel, Inc.	Chicago, IL 60607	Kosovo	2000 Shipments to Yugoslavia		\$ 1,473.00	S

INDIVIDUALS - 31 CFR 501.801(d) (1) (ii)

(Aggregate Numbers For The Month Ending 11/07/03)

No. Penalties Imposed and Informal Settlements Reached	Sanctions Program	Description of Actual or Alleged Violation	Aggregate Amounts of Penalties Assessed, or Settled	Penalty Assessed (A), Informal Settlement (S), or Hearing (H)		
2	Cuba	Failure to respond to Requirement to Furnish Information	\$ 15,000.00	A		
10	Cuba	Travel-related transactions	\$ 64,000.00	A		
13	Cuba	Travel-related transactions	\$ 12,750.00	S		
2	Cuba	Travel-related transactions and importation of Cuban-origin goods	\$ 26,115.00	A		
1	Cuba	Travel-related transactions and importation of Cuban-origin goods	\$ 812.50	S		
1	Cuba	2001 Attempt to Export US Currency to Cuba	\$ 2,300.00	Customs Forfeiture		