

**OFAC Civil Penalties Enforcement Information**

**ENTITIES - 31 CFR 501.805 (d) (1) (i)**

<b>Name of Entity Involved</b>	<b>Address</b>	<b>Sanctions Program</b>	<b>Description of Actual or Alleged Violation</b>	<b>Voluntary Disclosure</b>	<b>Amount</b>	<b>Assessed (A), Settled (S)</b>
<b>Advanced Instruments, Inc.</b>	Norwood, MA 02062	Kosovo	2000 Exportations of goods to Yugoslavia		\$4,995.68	<b>S</b>
<b>Arab Bank PLC</b>	New York, NY 10022	Kosovo	1998 Funds transfer		\$2,450.00	<b>S</b>
<b>Banco de Chile</b>	New York, NY 10022	Iran	2001 Funds transfer		\$5,500.00	<b>S</b>
<b>Banco do Brasil</b>	Miami, FL 33131	Iran	2003 Funds transfers		\$10,162.50	<b>S</b>
<b>Bank One</b>	Chicago, IL 60670	Iran	2002 Funds transfer		\$5,500.00	<b>S</b>
<b>Bristol-Myers Squibb Company on behalf of DuPont Pharmaceuticals Company</b>	New York, NY 10054	Libya	1999 Funds transfer and direct export collection involving a Libyan SDN		\$6,600.00	<b>S</b>
<b>Central Carolina Bank</b>	Durham, NC 27702	Iran	2000 Funds transfer		\$3,750.00	<b>S</b>
<b>Citibank</b>	New York, NY 10043	Libya	2001 Funds transfer		\$5,500.00	<b>S</b>
<b>Citibank on behalf of California Federal Bank</b>	New York, NY 10043	Iran	2000 Funds transfer		\$5,500.00	<b>S</b>

<b>Comerica Bank on behalf of Imperial Bank</b>	Detroit, MI 48226	Iran and Kosovo	2000 & 2001 Funds transfers		\$29,000.00	<b>S</b>
<b>ConocoPhillips on behalf of Conoco, Inc.</b>	Houston, TX 77252	Iran	1999-2000 Facilitation of Trade with Iran		\$16,500.00	<b>S</b>
<b>ConocoPhillips on behalf of Conoco Middle East Ltd.</b>	Houston, TX 77252	Iran	1999-2000 Facilitation of Trade with Iran		\$16,500.00	<b>S</b>
<b>Galileo International</b>	Chicago , IL 60694	Libya	1999 Provision of services to a Libyan SDN		\$2,200.00	<b>S</b>
<b>HSBC Bank USA</b>	Newark, DE 19713	Libya	2003 Funds transfer	Yes	\$5,500.00	<b>S</b>
<b>Hanmi Bank on behalf of Pacific Union Bank</b>	Los Angeles, CA 90010	Burma	2003 & 2004 Funds transfers		\$450.00	<b>S</b>
<b>Innostar Inc.</b>	Vienna , VA 22182	Cuba	2002 Payment for services involving Cuba		\$10,500.00	<b>S</b>
<b>International Commercial Bank of China</b>	New York, NY 10005	Libya	2003 Funds transfer		\$5,988.00	<b>S</b>
<b>JP Morgan Chase Bank</b>	New York, NY 10081	Cuba and Libya	2003 Funds transfers and 2000 issuance of a letter of credit	Yes	\$9,748.31	<b>S</b>
<b>JP Morgan Chase Bank</b>	New York , NY 10081	Cuba, Iran and Sudan	2002 Funds transfers		\$18,094.40	<b>S</b>

<b>LaSalle Bank N.A.</b>	Chicago, IL 60603	Kosovo	2000 Funds transfer		\$3,050.00	<b>S</b>
<b>Lee Bank</b>	Lee, MA 01238	Kosovo	2000 Funds transfers		\$16,500.00	<b>S</b>
<b>Nordea Bank Finland, PLC on behalf of Christiania Bank</b>	New York, NY 10022	Iran	2000 Funds transfer	Yes	\$5,900.00	<b>S</b>
<b>Pace University</b>	New York, NY 10038	Cuba	2003 Unlicensed provision of travel-related services		\$5,600.00	<b>S</b>
<b>Parts Now! LLC</b>	Madison , WI 53713	Kosovo	1999 - 2001 Exportations of goods to Yugoslavia		\$9,000.00	<b>S</b>
<b>Rich Worldwide Travel</b>	Harrison, NY 10528	Cuba	2003 Unlicensed provision of travel-related services		\$5,600.00	<b>S</b>
<b>Ryan International Airlines, Inc.</b>	Wichita, KS 67202	Cuba	1996 - 2001 Payments to a Cuban SDN		\$33,214.00	<b>S</b>
<b>SeaMates International, Inc.</b>	East Rutherford, NJ 07073	Taliban	1999 - 2000 Transportations of goods to Afghanistan		\$5,500.00	<b>S</b>
<b>Silicon Semiconductor</b>	Durham, NC 27713	Kosovo	2000 Importation of services from Yugoslavia		\$5,000.00	<b>S</b>
<b>TravelCLICK</b>	Schaumburg, IL 60173	Libya	1999 - 2000 Contract with a Libyan SDN for provision of services		\$1,548.00	<b>S</b>
<b>Trip Network, Inc. on behalf of Cheap Tickets, Inc.</b>	Rosemont, IL 60018	Cuba	1999 - 2002 Unlicensed provision of travel related services		\$27,500.00	<b>S</b>

**INDIVIDUALS - 31 CFR 501.801 (d) (1) (ii)**

**Individuals (Aggregate Monthly Numbers)**

No. of Penalties Imposed and Informal Settlements Reached	Sanctions Program	Description of Actual or Alleged Violation	Aggregate Amounts of Penalties Assessed or Settled	Penalty Assessed (A), Informal Settlement (S), or Hearing (H)
2	Cuba	Travel-related transactions	\$1,750.00	<b>S</b>
1	Cuba	Travel-related transactions and importation of Cuban-origin goods	\$5,050.00	<b>A</b>
1	Cuba	Travel-related transactions and importation of Cuban-origin goods	\$1,100.00	<b>S</b>
1	Cuba	Failure to respond to Requirement to Furnish Information	\$1,000.00	<b>S</b>
1	Cuba	Importation of Cuban-origin goods	\$1,176.25	<b>S</b>