Appendix D: Sampling Estimators Used for the Racial Minority Farm Operator Childhood Agricultural Injury Survey

1. Equations to derive the non-benchmarked estimates, y, and variances, v(y), for injury, asthma, or youth for racial minority farms.

$$y = \sum_{g=1}^{9} \sum_{h=1}^{4} \left[\sum_{i=1}^{n_{gh}} \frac{N_{gh}}{n_{gh}} y_{ghi} \right] = \sum_{g=1}^{9} \sum_{h=1}^{4} y_{gh}$$
(D.1)

$$v(y) = \sum_{g=1}^{9} \sum_{h=1}^{4} \left[\frac{N_{gh} - n_{gh}}{N_{gh}} \sum_{i=1}^{n_{gh}} \frac{(N_{gh} y_{ghi} - y_{gh})^2}{n_{gh} (n_{gh} - 1)} \right] = \sum_{g=1}^{9} \sum_{h=1}^{4} v(y_{gh})$$
(D.2)

where:

- g = regional strata used in post-stratification;
- h = racial strata used in post stratification;

 N_{gh} = number of farms of race *h* in region *g* from the NASS sampling list;

- n_{gh} = number of respondents of race *h* in region *g* from the survey;
- y_{ghi} = value of the variable of interest (i.e., injury, asthma, youth) on farm *i* of race *h* in region *g* from the survey;
- y_{gh} = estimate of variable of interest (i.e., injury, asthma, youth) for race h in region g;
- $v(y_{gh})$ = sampling variance for the variable of interest (i.e., injury, asthma, youth) for race *h* in region *g*.
- 2. Equations to derive the benchmarked national estimates, $y_{(bm)}$, and variances, $v(y_{(bm)})$, for injury, asthma, or youth for racial minority farms.

$$y_{(bm)} = \sum_{g=1}^{9} \sum_{h=1}^{4} \left[\frac{N_{(bm)gh}}{N_{gh}} y_{gh} \right]$$
(D.3)

$$v(y_{(bm)}) = \sum_{g=1}^{9} \sum_{h=1}^{4} \left[\left(\frac{N_{(bm)gh}}{N_{gh}} \right)^2 v(y_{gh}) \right]$$
(D.4)

where non-benchmarked values are as previously defined in D.1 and D.2, and:

 $N_{(bm)gh}$ = number of farms of race *h* in region *g* from the published 1997 Census of Agriculture.

Note: Summing equations D.3 and D.4 over the four racial strata, h, within a specific region, g, provides the benchmarked estimate for the variable of interest and its corresponding variance for all racial minority farms in region g.

Summing equations D.3 and D.4 over the nine geographic strata, g, for a specific racial group, h, provides the benchmarked national estimate for the variable of interest and its corresponding variance for racial group h.

3. Equations to derive the benchmarked national injury incidence or asthma prevalence rate estimates, R, and variances, v(R), for racial minority farms.

$$R = 1000 \left(\frac{y_{(bm)}}{x_{(bm)}}\right) \tag{D.5}$$

$$v(R) = \frac{1000^2}{n} \left(\frac{1}{\bar{x}_{(bm)}}\right)^2 \left[v(y_{(bm)}) + R^2 v(x_{(bm)}) - 2R \operatorname{cov}(y_{(bm)}, x_{(bm)}) \right]$$
(D.6)

where:

 $y_{(bm)}$ = benchmarked national estimate for injury or asthma from the survey;

 $x_{(bm)}$ = benchmarked national estimate for youth at risk from the survey;

- $x_{(bm)}$ = benchmarked national average of youth at risk per farm from the survey;
- $v(y_{(bm)})$ = benchmarked variance for the national injury or asthma estimate from the survey;
- $v(x_{(bm)})$ = benchmarked variance for the national estimate of youth at risk from the survey;
- $cov(y_{(bm)}, x_{(bm)}) = covariance$ between the benchmarked injury or asthma estimate and the benchmarked estimate of youth at risk from the survey;
- n = the number of farms from the NASS sampling frame used to derive the covariance between $y_{(bm)}$ and $x_{(bm)}$.

Alternatively, the variance for *R* can be determined by:

$$v(R) = 1000^{2} R^{2} \left[\left(\frac{\sqrt{v(y_{(bm)})}}{y_{(bm)}} \right)^{2} + \left(\frac{\sqrt{v(x_{(bm)})}}{x_{(bm)}} \right)^{2} - 2 \left(\frac{\operatorname{cov}(y_{(bm)}, x_{(bm)})}{\overline{y}_{(bm)} \overline{x}_{(bm)} n} \right) \right]$$
(D.6.1)

where:

$$\frac{\sqrt{v(y_{(bm)})}}{y_{(bm)}} = \text{relative standard error for } y_{(bm)} \text{ (i.e., injury or asthma);}$$

$$\frac{\sqrt{v(x_{(bm)})}}{x_{(bm)}} = \text{relative standard error for } x_{(bm)} \text{ (i.e., youth at risk);}$$

$$\frac{\text{cov}(y_{(bm)}, x_{(bm)})}{\overline{y}_{(bm)} \overline{x}_{(bm)} n} = \text{relative covariance between } y_{(bm)} \text{ and } x_{(bm)};$$

$$\overline{y}_{(bm)} = \text{benchmarked national average for injury or asthma per farm from the survey;}$$

$$n = \text{the number of farms from the NASS sampling frame used to derive the covariance between } y_{(bm)}.$$

Because the relative covariance between the estimated number of injury or asthma cases and the number of youth at risk is typically negligible, the estimate v(R) may be approximated as:

$$v(R) = 1000^{2} R^{2} \left[\left(\frac{\sqrt{v(y_{(bm)})}}{y_{(bm)}} \right)^{2} + \left(\frac{\sqrt{v(x_{(bm)})}}{x_{(bm)}} \right)^{2} \right]$$
(D.6.2)

Equation D.6.2 was the method used to derive the standard errors for all rates in this document.