

# CHANGE REPORTING SYSTEMS AND WAIVERS SUMMARY

As of 4/4/2003

<b><u>\$80/\$100 EARNED INCOME REPORTING</u></b> (All waivers extended indefinitely.)	<b><u>UNEARNED INCOME FROM PRIVATE SOURCES</u></b> (i.e. Child support)	<b><u>SIMPLIFIED REPORTING for Earned Income households</u></b> (aka: SAR, SR, Simplified 6-month Reporting)	<b><u>QUARTERLY REPORTING (QR) of Earned Income</u></b> (All waivers extended indefinitely.)	<b><u>MONTHLY REPORTING</u></b> (Some or limited to few HHs.)	<b><u>5-HOUR REPORTING</u></b> (All waivers extended indefinitely.)	<b><u>STATUS REPORTING</u></b> [Limited to EI HHs, must report switch between full-time and part-time work, etc.] <b>(All waivers extended indefinitely.)</b>	<b><u>NONE</u></b> (No reporting waivers)
AZ CO CT IA IL IN KS KY LA MD (ABAWDS & migrants) NC ND NY (change rptg HHs) OH (\$80) OK OR (not hourly based) RI VI WV (PA HHs)	<b><u>1) Averaged Unearned Income:</u></b> MO NE VA WY  <b><u>2) Retro-spective &amp; MRRB:</u></b> None  <b><u>3) Changes at least \$100 from private sources:</u></b> AZ CT DC MD NH NY SC VI WI	<b><u>1) Waivers:</u></b> AR (10/1/03) CT (10/1/02) DE (2/1/02) DC (10/1/01) GA (9/1/01)* KY (11/1/01) LA (7/1/01) MA (10/1/02) MI (7/1/01) ME (10/1/02) MD (7/1/01) NE (11/1/01) NH 6/1/01) NJ (7/1/01) OH (10/1/02) PA (4/1/03) TN (12/1/01) TX (3/20/03) VT (11/1/02) WA (TBA) WV (9/1/01) WY (7/1/01)  <b><u>2) By regs:</u></b> CO (6/1/01) IN (11/1/02) MO (1/1/03) MT (4/1/03) NY (4/1/01) OK (3/1/02)	AL AR (phasing out) CA IL MA* NM OR TN WI  *Signifies Universal QR	CA HI IA KS MA MN MS OR PA SD	MI (ABAWDS & migrants) OR (hourly wage HH)	AK DE FL (excludes HHs with annualized self-employment income) GA ID IN NE NH NV OH * SC TX UT VA VT WA WV WI WY  *Includes more than EI HHs. See individual State info for more details.	CA GU HI MN MS MT SD

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		OR (11/02) SC (8/1/02)					
		*Includes Unearned Income (UI) cases.					

Some States have more than one type of waiver (for different portions of their caseloads) so the total number of waivers will be greater than the total number of States. See the complete chart for details.

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AREA	CHANGE REPORTING SYSTEMS AND WAIVERS Earned Income Threshold (\$80 or \$100), Unearned Income from Private Sources (3 options); Semi-annual (AKA. Simplified or 6-month Reporting), Quarterly Reporting [QR], Universal Quarterly Reporting [UQR], Monthly Reporting, 5-hour Reporting; Status Reporting, and no reporting waivers (Waiver numbers provided when applicable.)	MAXIMUM CERTIFICATION PERIODS (If not specified estimated 3 months.)
Northeast Region		
CT	<ul style="list-style-type: none"> <li>◆ \$100 unearned income from private sources waiver (2010108)</li> <li>◆ \$100 earned income reporting waiver (2010109)</li> <li>◆ Semi-annual reporting (2020130)</li> </ul>	
MA	<ul style="list-style-type: none"> <li>◆ Universal QR (2000057) approved 4/01</li> <li>◆ Monthly reporting (some)</li> <li>◆ Semi-annual reporting (2020096)</li> </ul>	
ME	<ul style="list-style-type: none"> <li>◆ Semi-annual reporting (2020060)</li> </ul>	12 months with 6-month semi-annual report.
NH	<ul style="list-style-type: none"> <li>◆ Semi-annual reporting waiver (2010080)</li> <li>◆ \$100 unearned income from private sources waiver (2020088)</li> <li>◆ Status reporting waiver (990018)</li> </ul>	<ul style="list-style-type: none"> <li>◆ HHs with less than 6 months cert period will be subject to SAR at next recert</li> <li>◆ 2010088 applies to non-SR HHs.</li> </ul>

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NY	<ul style="list-style-type: none"> <li>◆ Semi-annual reporting implemented by reg (no waiver) - applies to PA HHs in NYC, and all NPA &amp; PA HHs outside NYC in the State of NY with earned income and a minimum 6-month certification period: Seasonal Farm Workers w/ 6-mo. cert. periods and on semi-annual reporting; ABAWDs granted 3 or 6 mo. cert. If 6 mo. cert., put on SAR.</li> <li>◆ \$100 earned income reporting waiver</li> <li>◆ (200042) Reporting HHs include HHs without earned income, HHs with less than 6-mo. Certification periods, SSI living in group homes w/ 12-mo cert., and self-employed HHs only source is annualized self-employment income</li> <li>◆ \$100 unearned income from private sources waiver (2010184)</li> </ul>	<ul style="list-style-type: none"> <li>◆ 200042 - various cert. periods</li> </ul>
RI	<ul style="list-style-type: none"> <li>◆ \$100 earned income. (990046)</li> </ul>	
VT	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for all earned income HHs.(2000037)</li> <li>◆ Semi-annual reporting waiver (2030001)</li> </ul>	
<b>Mid-Atlantic Region</b>		
DC	<ul style="list-style-type: none"> <li>◆ \$100 unearned income from private sources waiver (2020049)</li> <li>◆ Semi-annual reporting waiver (2010164)</li> </ul>	
DE	<ul style="list-style-type: none"> <li>◆ Status reporting for earned income HHs. (990016)</li> <li>◆ Semi-annual reporting wavier (2020029)</li> </ul>	

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MD	<ul style="list-style-type: none"> <li>◆ \$100 earned income reporting for migrants and ABAWDs not covered by SAR (990015)</li> <li>◆ Semi-annual reporting (2010099)</li> <li>◆ \$100 unearned income (2010121)</li> </ul>	
NJ	<ul style="list-style-type: none"> <li>◆ Semi-annual waiver (2010098)</li> </ul>	
PA	<ul style="list-style-type: none"> <li>◆ Semi-annual reporting waiver (2020057)</li> <li>◆ Monthly reporting</li> </ul>	
VA	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for earned income HHs. (2000002)</li> <li>◆ Average unearned income waiver (2010154)</li> </ul>	
VI	<ul style="list-style-type: none"> <li>◆ \$100 earned income reporting waiver (990065)</li> <li>◆ \$100 unearned income from private sources (2010176)</li> </ul>	
WV	<ul style="list-style-type: none"> <li>◆ \$100 earned income reporting waiver - PA HHs (980023)</li> <li>◆ Status reporting waiver for earned income HHs (940068)</li> <li>◆ Semi-annual reporting waiver (2010161)</li> <li>◆ Semi-annual reporting for NPA HHs with earnings (implemented regs 5/1/01 – no waiver number)</li> </ul>	
<b>Southeast Region</b>		
AL	<ul style="list-style-type: none"> <li>◆ Quarterly reporting for all households with earnings of \$200 or more (950059)</li> </ul>	12 month certification period with reporting every 3 months
FL	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for earned income HHs.(980096) Does not include HHs with annualized sefl-employment income.</li> </ul>	3 month certification periods
GA	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for earned income HHs. (970156).</li> <li>◆ Semi-annual reporting waiver – includes HHs without EI (2010192)</li> </ul>	3 month certification periods

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MS	<ul style="list-style-type: none"> <li>◆ No waivers</li> <li>◆ Monthly reporting</li> </ul>	N/A
NC	<ul style="list-style-type: none"> <li>◆ \$100 earned income reporting waiver (980030)</li> </ul>	N/A
SC	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for earned income HHs. (930049).</li> <li>◆ \$100 unearned income from private sources waiver (2010133)</li> <li>◆ Semi-annual reporting implemented by reg (no waiver). Related waiver 2030005 allows SR HHs 10 days to report a change following the month their gross income exceeds 130% of poverty guidelines.</li> </ul>	
TN	<ul style="list-style-type: none"> <li>◆ Quarterly reporting (2000008)</li> <li>◆ Semi-annual reporting (2010122)</li> </ul>	
<b>Midwest Region</b>		
IL	<ul style="list-style-type: none"> <li>◆ \$100 earned income waiver (970247)</li> <li>◆ QR for all HHs with earned income, not statutorily exempt or included in 970247 (990060).</li> </ul>	970247 - Up to 12 months 990060 - Either 6 or 12 months
IN	<ul style="list-style-type: none"> <li>◆ Status reporting for earned income HHs. (970067);</li> <li>◆ \$100 earned income reporting - applies to a very limited number of HHs (990001)</li> </ul>	990067- Up to 6 months effective 4/1/00 990001- used when cert. periods are more than 6 months
MI	<ul style="list-style-type: none"> <li>◆ 5 hour reporting (migrants and ABAWDs) (970265)</li> <li>◆ Semi-annual reporting (2010072)</li> </ul>	970265 - Up to 12 months
MN	<ul style="list-style-type: none"> <li>◆ No waivers</li> <li>◆ Monthly reporting</li> </ul>	

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OH	<ul style="list-style-type: none"> <li>◆ Status reporting for earned income HHs. Also includes HHs with non-annualized self-employment income and HHs in sheltered workshops subject to \$80 earned income reporting (970039)</li> <li>◆ Semi-annual reporting (2020047)</li> </ul>	3 months for status reporting HHs
WI	<ul style="list-style-type: none"> <li>◆ Status reporting for earned income HHs. (980139)</li> <li>◆ \$100 unearned income from private sources waiver (2020070)</li> <li>◆ Quarterly reporting waiver (2000016) for earned income households and all households with history of earned income within 3 months prior to application or recertification.</li> </ul>	980139 - Up to 6 months
<b>Southwest Region</b>		
AR	<ul style="list-style-type: none"> <li>◆ Quarterly reporting waiver (930086)</li> <li>◆ Semi-annual reporting waiver (2030007). Planning to implement 10/03. Related waiver 2020008 gives 12 month cert periods to SR HHs; they must file a report during 6<sup>th</sup> month of certification.</li> </ul>	
LA	<ul style="list-style-type: none"> <li>◆ \$100 earned income reporting waiver (970128).</li> <li>◆ Semi-annual reporting (2010123)</li> </ul>	
NM	<ul style="list-style-type: none"> <li>◆ Quarterly Reporting waiver (2010001)</li> </ul>	
OK	<ul style="list-style-type: none"> <li>◆ \$100 earned income reporting (970040)</li> <li>◆ Quarterly reporting waiver 2010002 rescinded by State.</li> <li>◆ Semi-annual reporting (regs. – no waiver number)</li> </ul>	
TX	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for earned income HHs.(940044)</li> <li>◆ Semi-annual reporting (2020074)</li> </ul>	
<b>Mountain Plains Region</b>		
CO	<ul style="list-style-type: none"> <li>◆ \$100 earned income reporting waiver (2000055)</li> <li>◆ Semi-annual reporting (regs - no waiver number) 6/1/01</li> </ul>	
IA	<ul style="list-style-type: none"> <li>◆ \$100 earned income reporting waiver (990043)</li> <li>◆ Monthly reporting</li> </ul>	

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KS	<ul style="list-style-type: none"> <li>◆ \$100 earned income reporting waiver (980095)</li> <li>◆ Monthly reporting</li> </ul>	
MO	<ul style="list-style-type: none"> <li>◆ Semi-annual reporting (regs – no waiver number) 7/1/01</li> <li>◆ Average unearned income waiver (2010073)</li> </ul>	
MT	<ul style="list-style-type: none"> <li>◆ Average unearned income waiver (2010005) never implemented.</li> <li>◆ Semi-annual reporting (regs – no waiver number) may be implemented by 4/1/03.</li> </ul>	
ND	<ul style="list-style-type: none"> <li>◆ \$100 earned income reporting waiver (980015)</li> </ul>	
NE	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for earned income HHs. (940125)</li> <li>◆ Average unearned income waiver (2010066)</li> <li>◆ Semi-annual reporting waiver (2020009)</li> </ul>	6 months to 1 year
SD	<ul style="list-style-type: none"> <li>◆ No waivers</li> <li>◆ Monthly reporting</li> </ul>	NA
UT	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for earned income HHs. (970269)</li> </ul>	3 months
WY	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for earned income HHs. (930064)</li> <li>◆ Average unearned income waiver (2010069)</li> <li>◆ Semi-annual reporting waiver (2010110)</li> </ul>	<ul style="list-style-type: none"> <li>• 3 Month Certification Periods</li> <li>• 6 month Certification Periods</li> </ul>
<b>Western Region</b>		
AK	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for earned income HHs. (2020048)</li> </ul>	
AZ	<ul style="list-style-type: none"> <li>◆ \$100 earned income reporting waiver (970344)</li> <li>◆ \$100 unearned income (2010084)</li> </ul>	3 month certification period
CA	<ul style="list-style-type: none"> <li>◆ Monthly reporting</li> <li>◆ Quarterly reporting waiver (2030030)</li> </ul>	

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GU	<ul style="list-style-type: none"> <li>◆ No waivers</li> </ul>	
HI	<ul style="list-style-type: none"> <li>◆ No waivers</li> <li>◆ Monthly reporting</li> </ul>	
ID	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for earned income HHs. (930108)</li> </ul>	6-12 month certification period
NV	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for earned income HHs. (950001)</li> </ul>	3 months for 40% of case load, 6-12 months for elderly/disabled
OR	<ul style="list-style-type: none"> <li>◆ Limited monthly reporting</li> <li>◆ Quarterly reporting for certain households (920046)</li> <li>◆ 5-hr waiver if earned income is hourly (990045)</li> <li>◆ \$100 reporting waiver if earned income is not hourly (990045)</li> </ul>	6-12 months for elderly/disabled w/ unearned income
WA	<ul style="list-style-type: none"> <li>◆ Status reporting waiver for earned income HHs. (990062)</li> <li>◆ Semi-annual reporting (2020126) State expects to implement SR sometime later this year.</li> </ul>	<ul style="list-style-type: none"> <li>◆ Up to 6 months</li> <li>◆ 4-month cert periods for homeless and ABAWDs HHs</li> </ul>

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