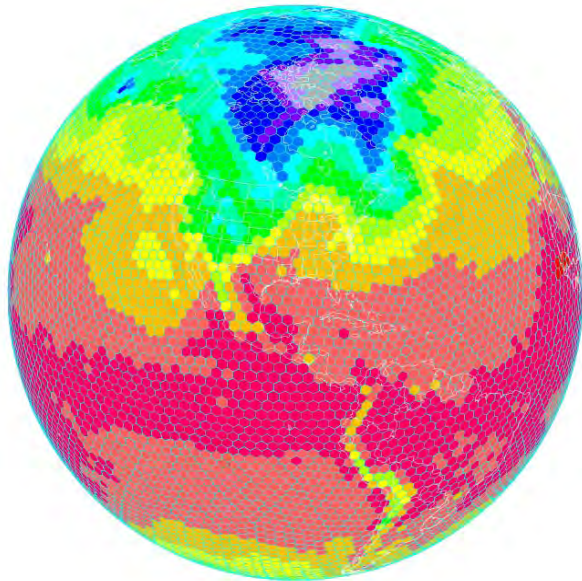
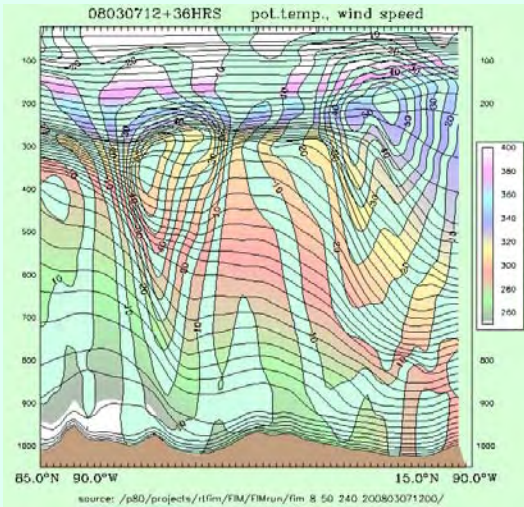


# Performance comparison of hybrid isentropic-sigma vs. sigma configurations of the FIM global model

**Stan Benjamin, Susan Sahm,  
Rainer Bleck, John Brown, Bill Moninger**  
-NOAA Earth System Research Laboratory

- What is the FIM model
- Design of  $\theta$ - $\sigma$  hybrid coordinate in FIM
- Retro experiment design
- Results - statistics and cases



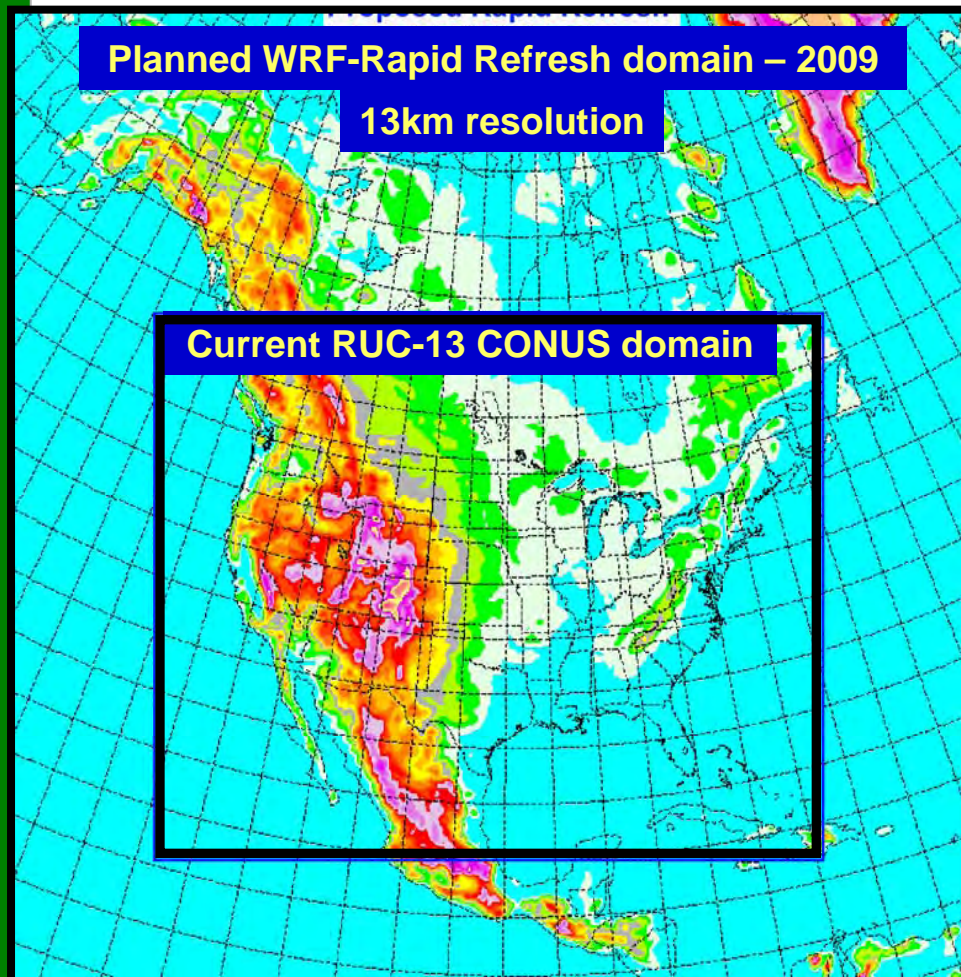
# RUC/Rapid Refresh Development and Testing

Major transitions:

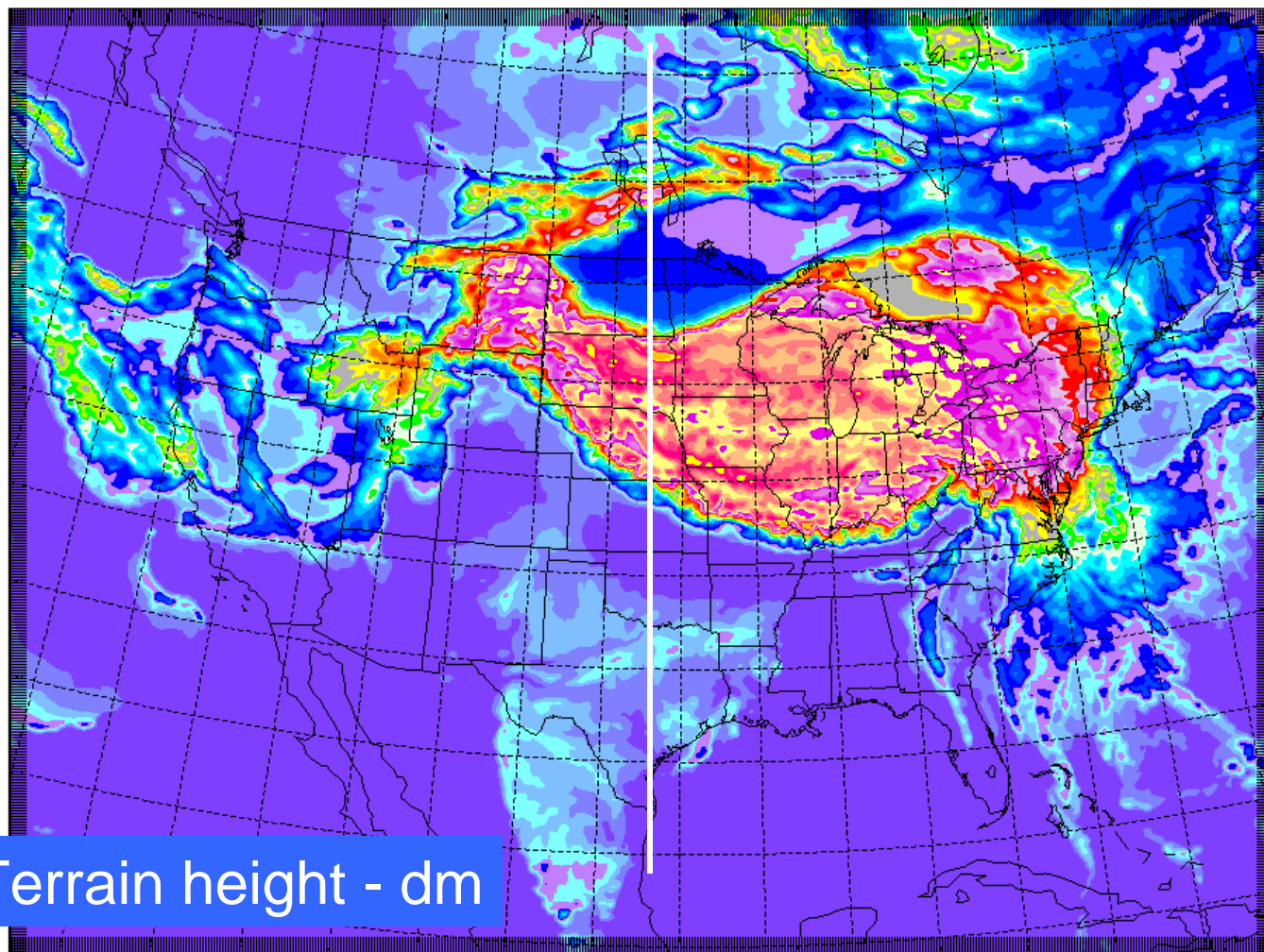
<http://ruc.noaa.gov>

<http://rapidrefresh.noaa.gov/rr>

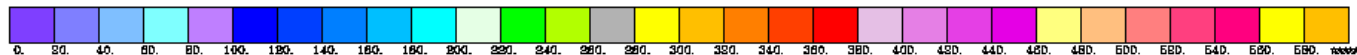
- RUC13 change package –Spring 2008
  - radar reflectivity assimilation
  - TAMDAR
  - Improved radiation, convection physics in RUC
- Rapid Refresh JIFed for ops by 9/09
  - WRF ARW, GSI, North America
- Ensemble Rapid Refresh
  - proposed by 2012, to use ESMF framework
- High-Res Rapid Refresh (HRRR) – RR nest proposed to NCEP by 2012
  - 3km hourly updated 12h forecast
  - In testing at GSD
  - NE Corridor → CONUS, AK



# Test applicability of RUC-like hybrid $\theta$ - $\sigma$ ALE coordinate to global domain

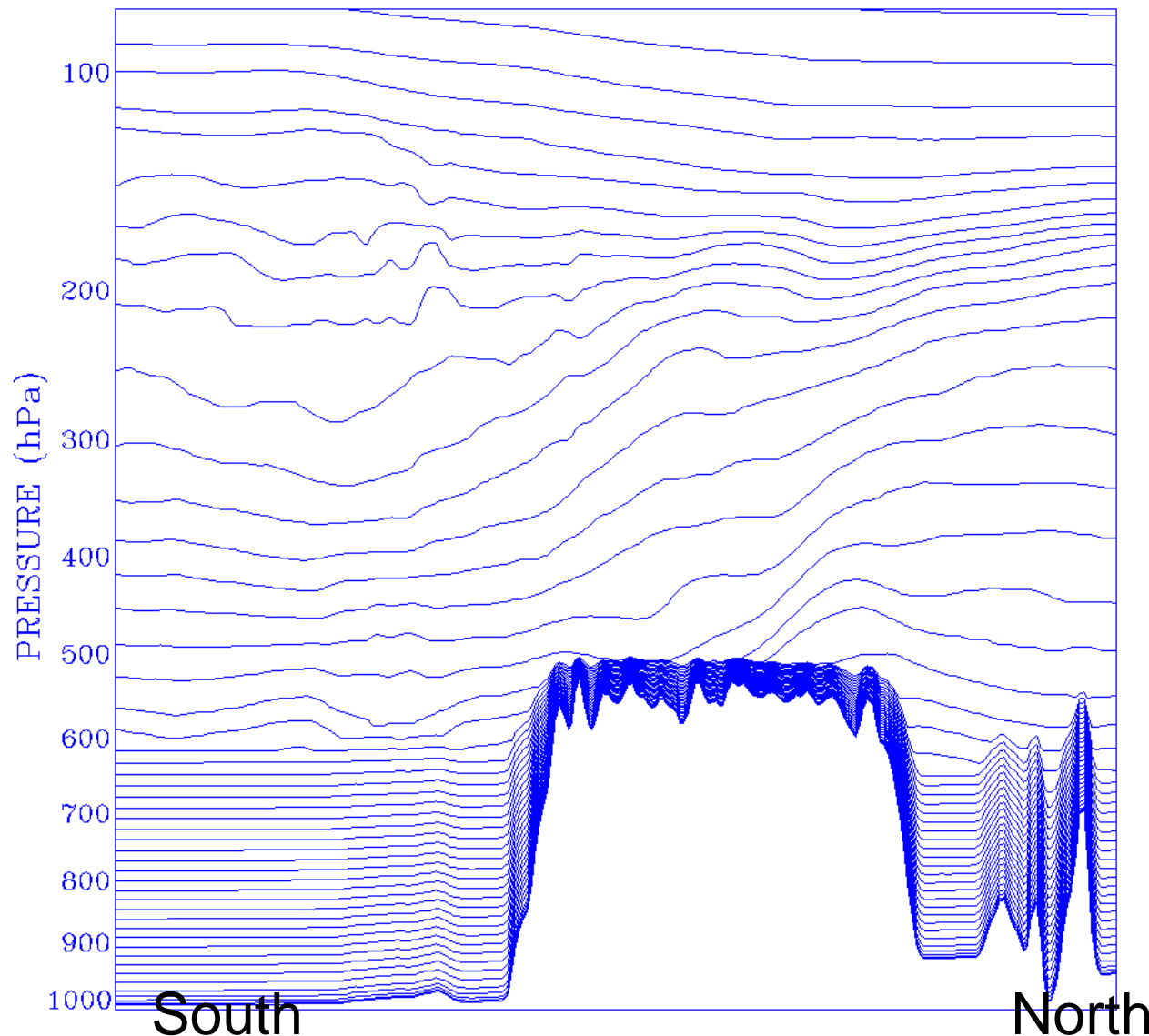


Terrain height - dm



NAM data  
interpolated  
to current  
RUC  
coordinate  
using Asia  
terrain field  
-13km dx

Case –  
12h NAM  
data valid 30  
Aug 2005  
00 UTC

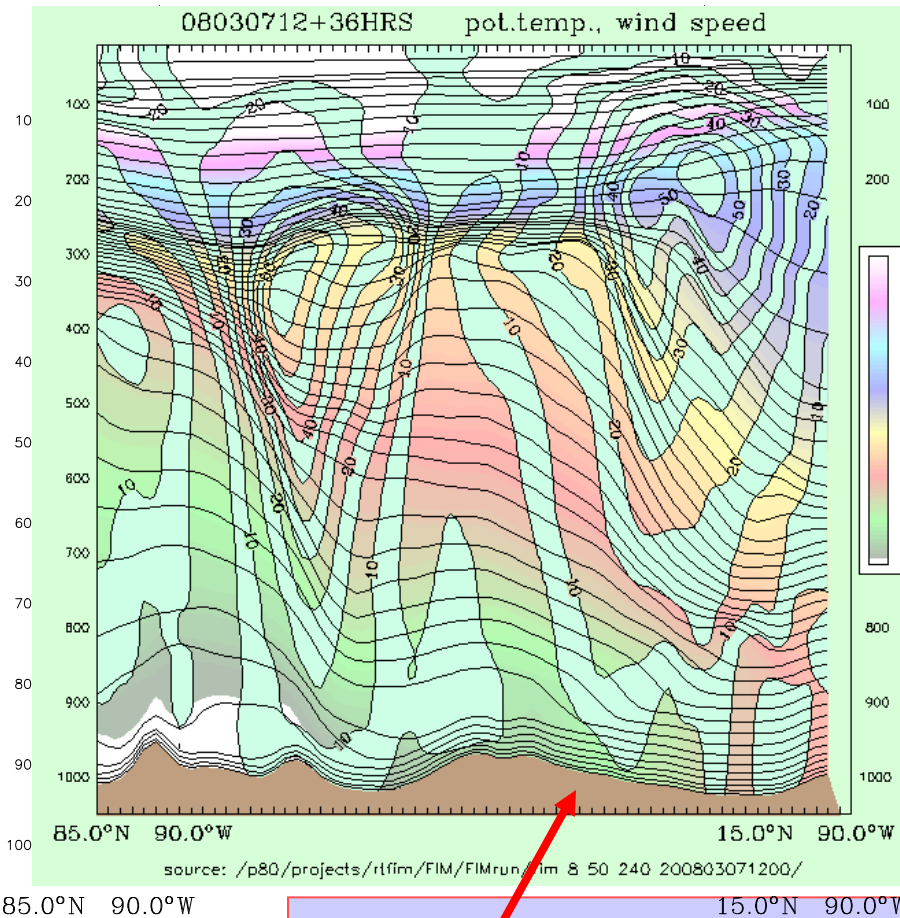


NAM data  
interpolated to  
current RUC  
coordinate using  
Asia terrain field  
-13km dx

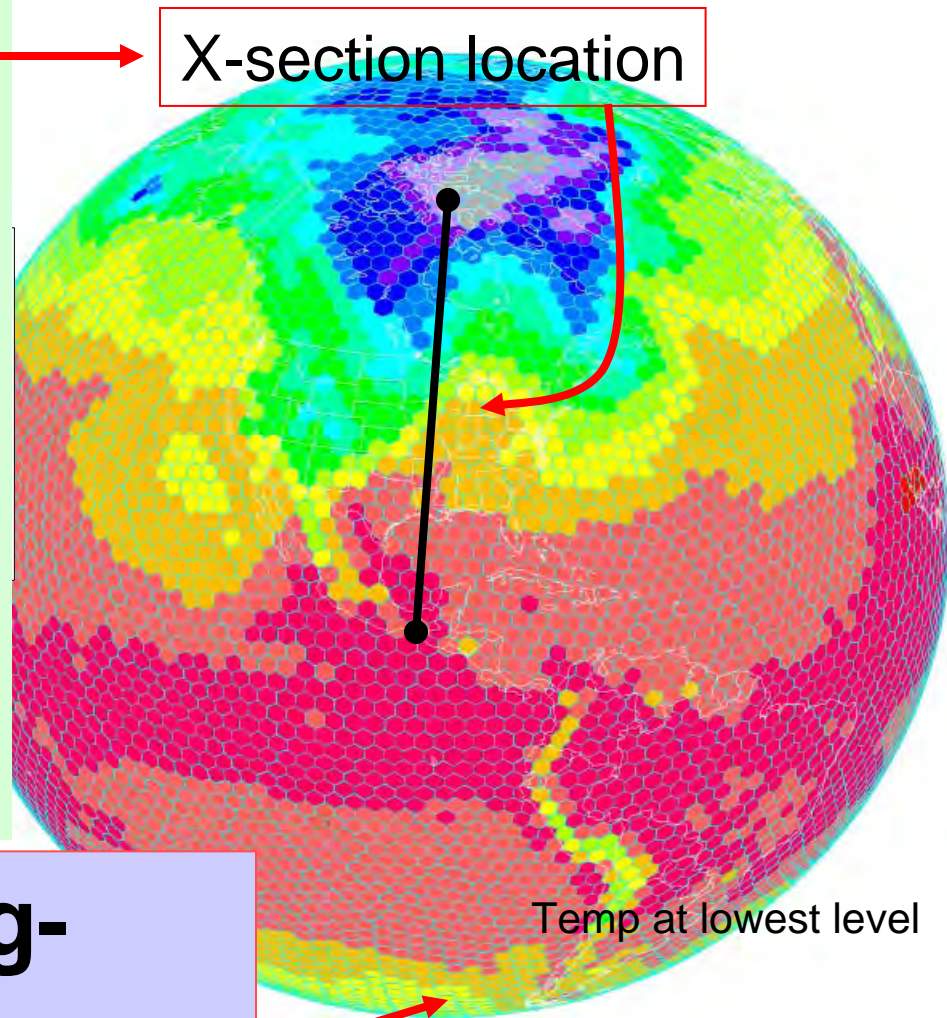
Case –  
12h NAM data  
valid 30 Aug  
2005  
00 UTC

Adjustments planned

- Relaxed sigma layer compression up to 400 hPa
- Reference  $\theta_v$  levels down to 200 K (currently 232K in RUC)



X-section location



Temp at lowest level

**Flow-following-  
finite-volume  
Icosahedral  
Model** **FIM**

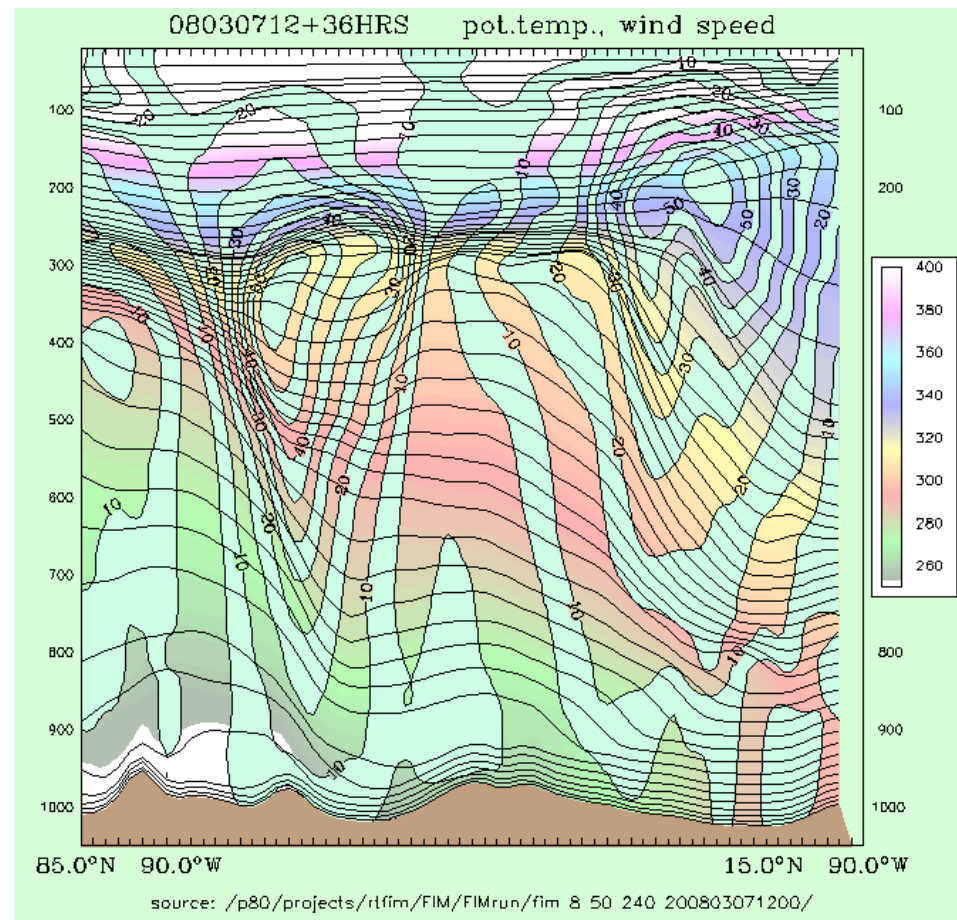
# FIM design – vertical coordinate

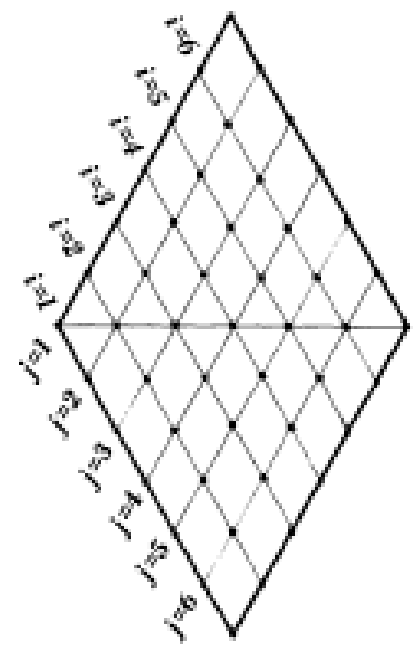
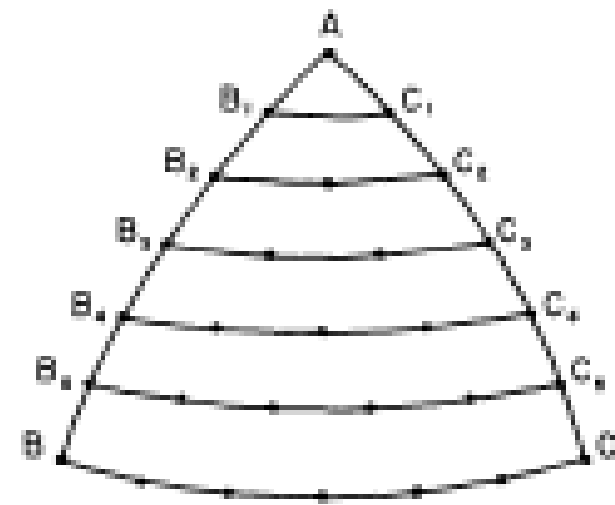
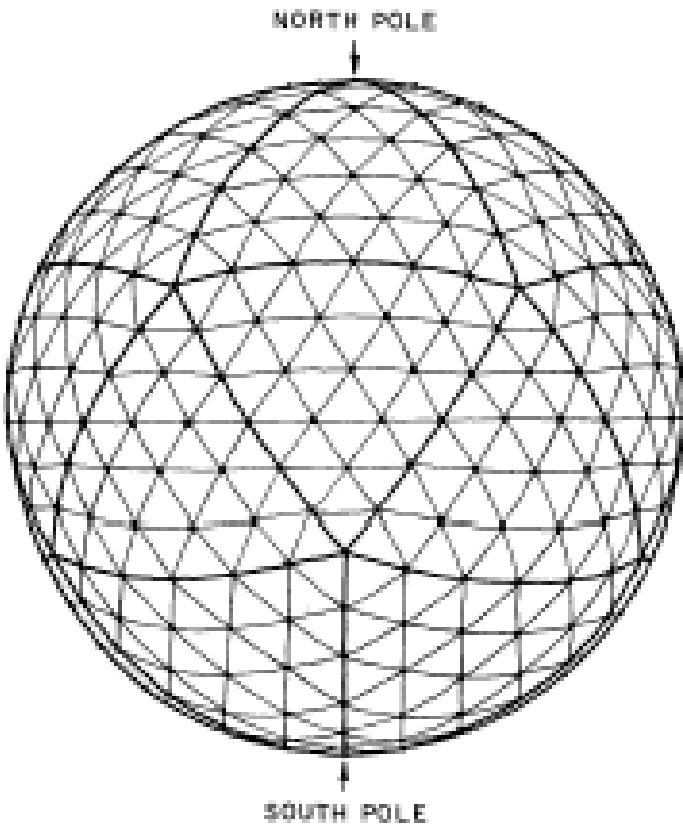
## Hybrid (sigma/ isentropic) vertical coordinate

- Used in NCEP Rapid Update Cycle (RUC) model
- Used in HYCOM ocean model

Builds on work by

- Rainer Bleck,
- RUC group (Benj et al. 2004-*Mon. Wea. Rev.*),
- Don Johnson et al. (U. Wisconsin),
- Akio Arakawa et al. (UCLA)
- Henry Juang (NCEP/EMC),
- Guenther Zangl (NCAR, DWD)





$$N = (m^2) * 10 + 2$$
 "m" is any integer ratio between arc(AB ~ 8000 km) and target resolution.  
 e.g., for  $dx \sim 20$  km, then  $m = 8000 / 20 = 400$   
 $\rightarrow N = (400^2) * 10 + 2 \sim 1.6$  million points.

**How to make an icosahedral grid**  
 $\Rightarrow$  **high granularity possible with icosahedral model**

Sadourny, Arakawa, Mintz, MWR (1968)

# 240km icosahedral grid Level-5 – 10242 polygons

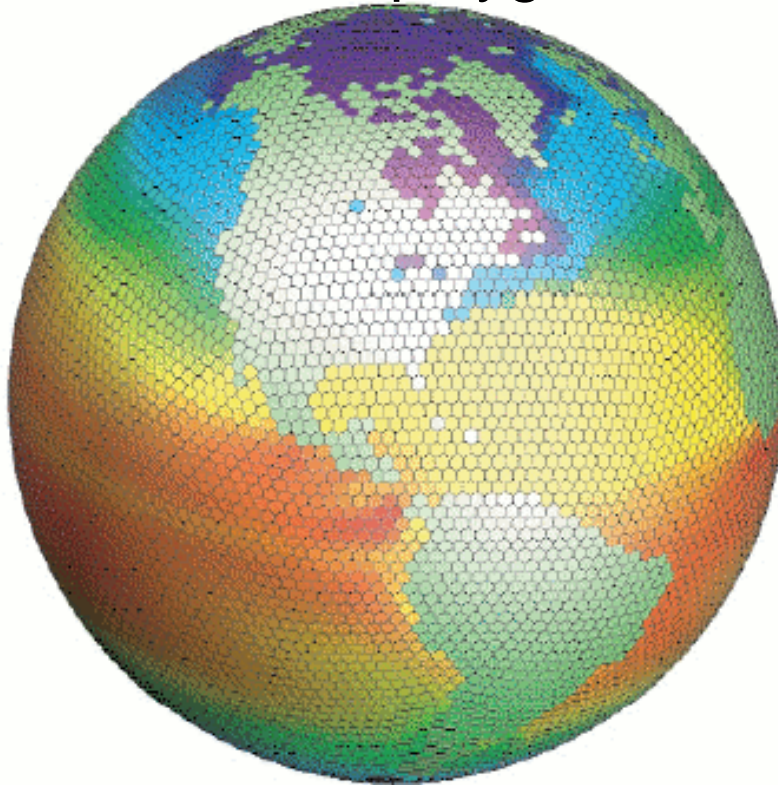


Figure 1. An example of a geodesic grid with a color-coded plot of the observed sea-surface temperature distribution. The continents are

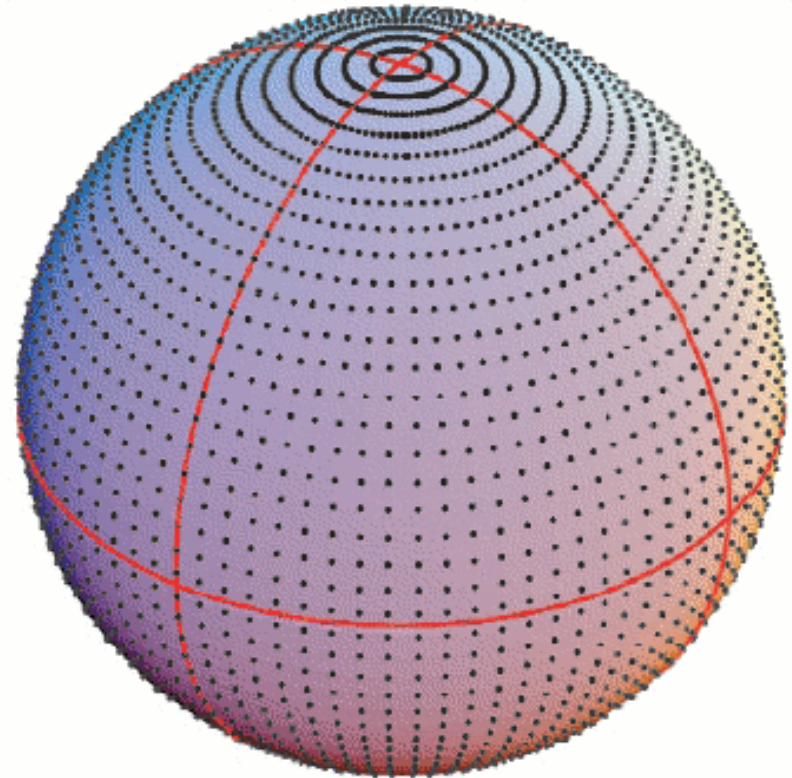


Figure 2. An example of a latitude-longitude grid. A pole is at the top. The black dots represent grid cell centers that are equally spaced in longitude

**Diagrams - Randall et al. – Colorado State University**

[http://kiwi.atmos.colostate.edu/DOE\\_Cooperative\\_Agreement/pdf/CISE.pdf](http://kiwi.atmos.colostate.edu/DOE_Cooperative_Agreement/pdf/CISE.pdf)



## Lagrangian vertical coordinate:

### Pros and Cons

(“Lagrangian” = isentropic in atmospheric applications)

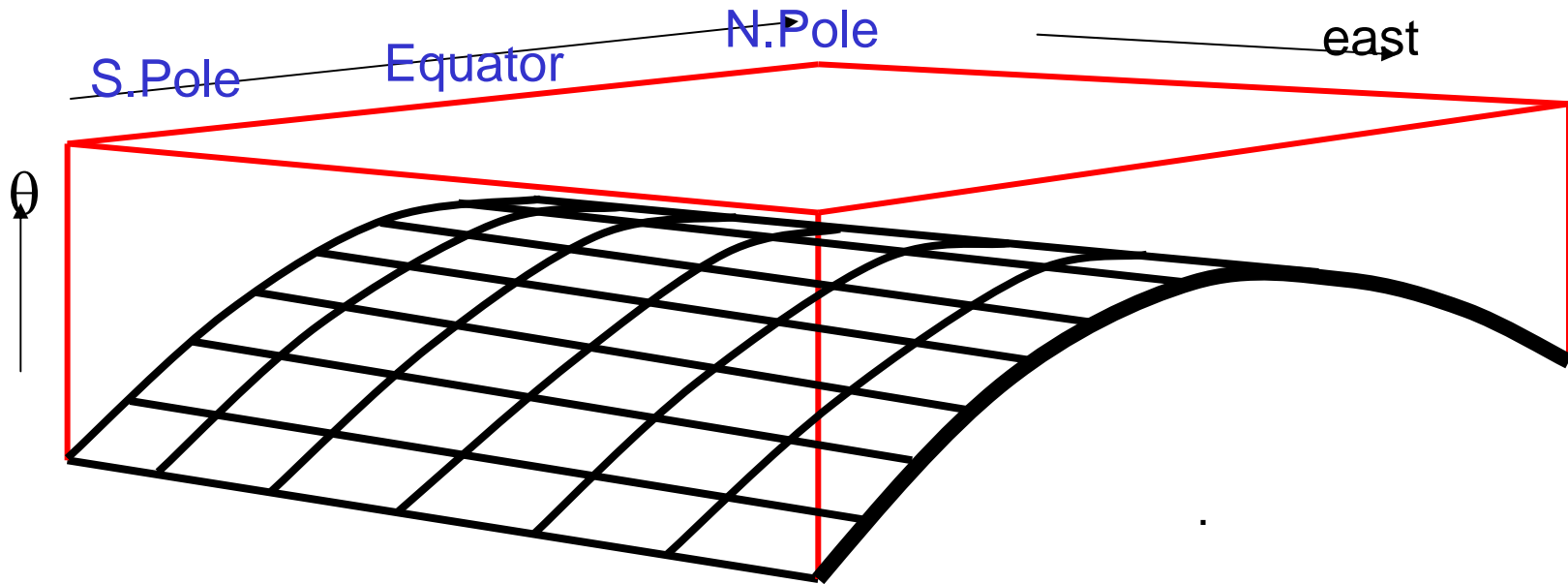
#### Major Pros:

- No uncontrolled diabatic **mixing** (in the vertical **and** horizontal)
- Numerical dispersion errors associated with vertical **transport** are minimized
- Optimal finite-difference representation of frontal zones & frontogenesis

#### Major Cons:

- Coordinate-ground intersections are inevitable (atmosphere doesn't fit snugly into  $x, y, q$  grid box)
- Poor vertical resolution in weakly stratified regions
- **Elaborate transport operators needed to achieve conservation**

# The $x, y, \theta$ grid box



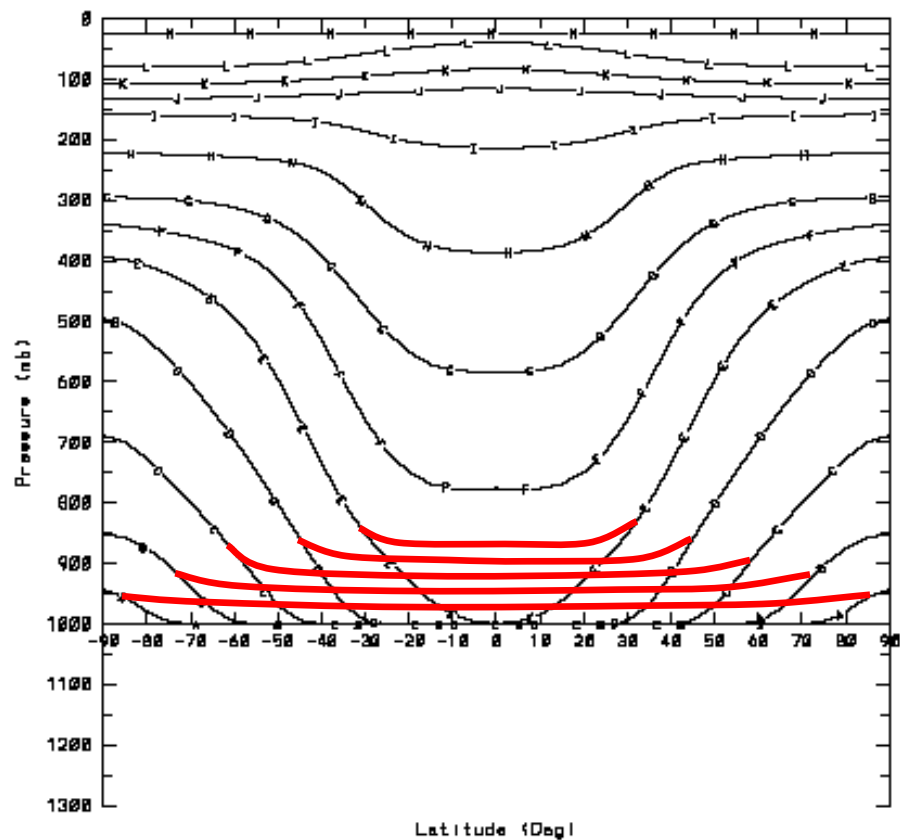
## Major Cons:

- Coordinate-ground intersections are inevitable (atmosphere doesn't fit snugly into  $x, y, \theta$  grid box)
- Poor vertical resolution in weakly stratified regions

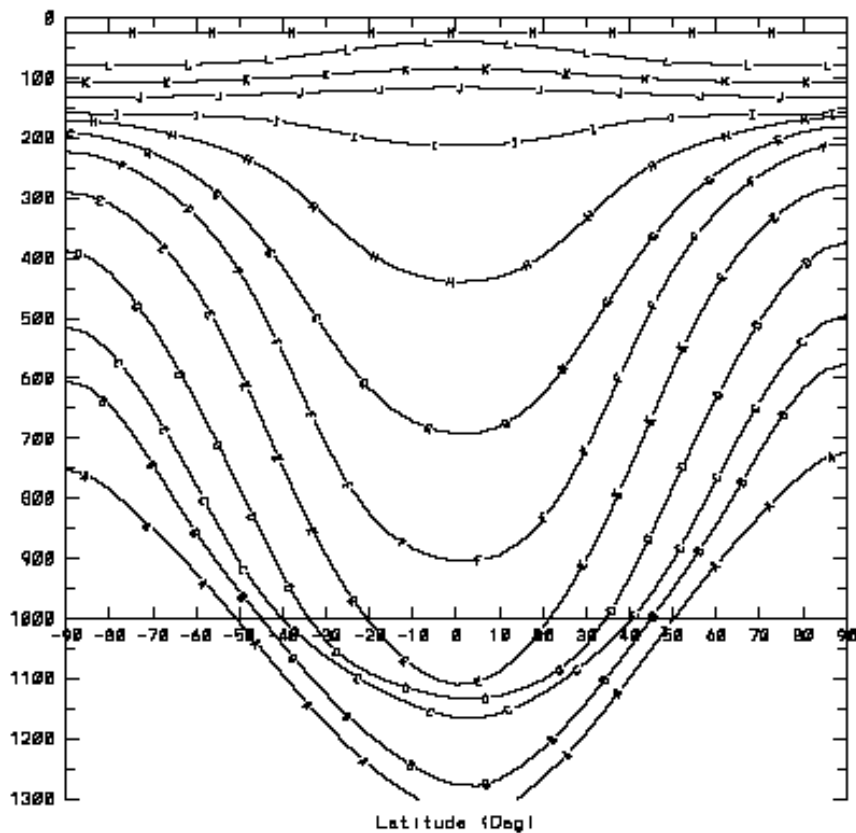
## Fixes:

- Reassign grid points from underground portion of  $x, y, \theta$  grid box to above-ground "s" surfaces
- Low stratification  $\Rightarrow$  large portion of  $x, y, \theta$  grid box is underground  $\Rightarrow$  no shortage of grid points available for re-deployment as s points

Initial condition



Forcing function



"Hybrid" means different things to different people:

- linear combination of 2 or more conventional coordinates (examples:  $p+\sigma$ ,  $p+\theta+\sigma$ )
- ALE (Arbitrary Lagrangian-Eulerian) coordinate

ALE maximizes size of isentropic subdomain.

## ALE: “Arbitrary Lagrangian-Eulerian” coordinate

- Original concept (Hirt et al., 1974): maintain Lagrangian character of coordinate but “re-grid” intermittently to keep grid points from fusing.
- In RUC, FIM, and HYCOM, we apply ALE in the vertical only and re-grid for 2 reasons:
  - (1) to maintain minimum layer thickness;
  - (2) to nudge an entropy-related thermodynamic variable toward a prescribed layer-specific “target” value by importing mass from above or below.
- Process (2) renders the grid **quasi-isentropic**

# Continuity equation in generalized (“s”) coordinates

$$\left( \begin{array}{c} \text{vertical} \\ \text{motion} \\ \text{of} \\ s \text{ surface} \end{array} \right) + \left( \begin{array}{c} \text{vertical} \\ \text{motion} \\ \text{through} \\ s \text{ surface} \end{array} \right) = \left( \begin{array}{c} \text{vertically} \\ \text{integrated} \\ \text{horizontal} \\ \text{mass flux} \\ \text{divergence} \end{array} \right)$$



**(zero in  
fixed  
grids)**

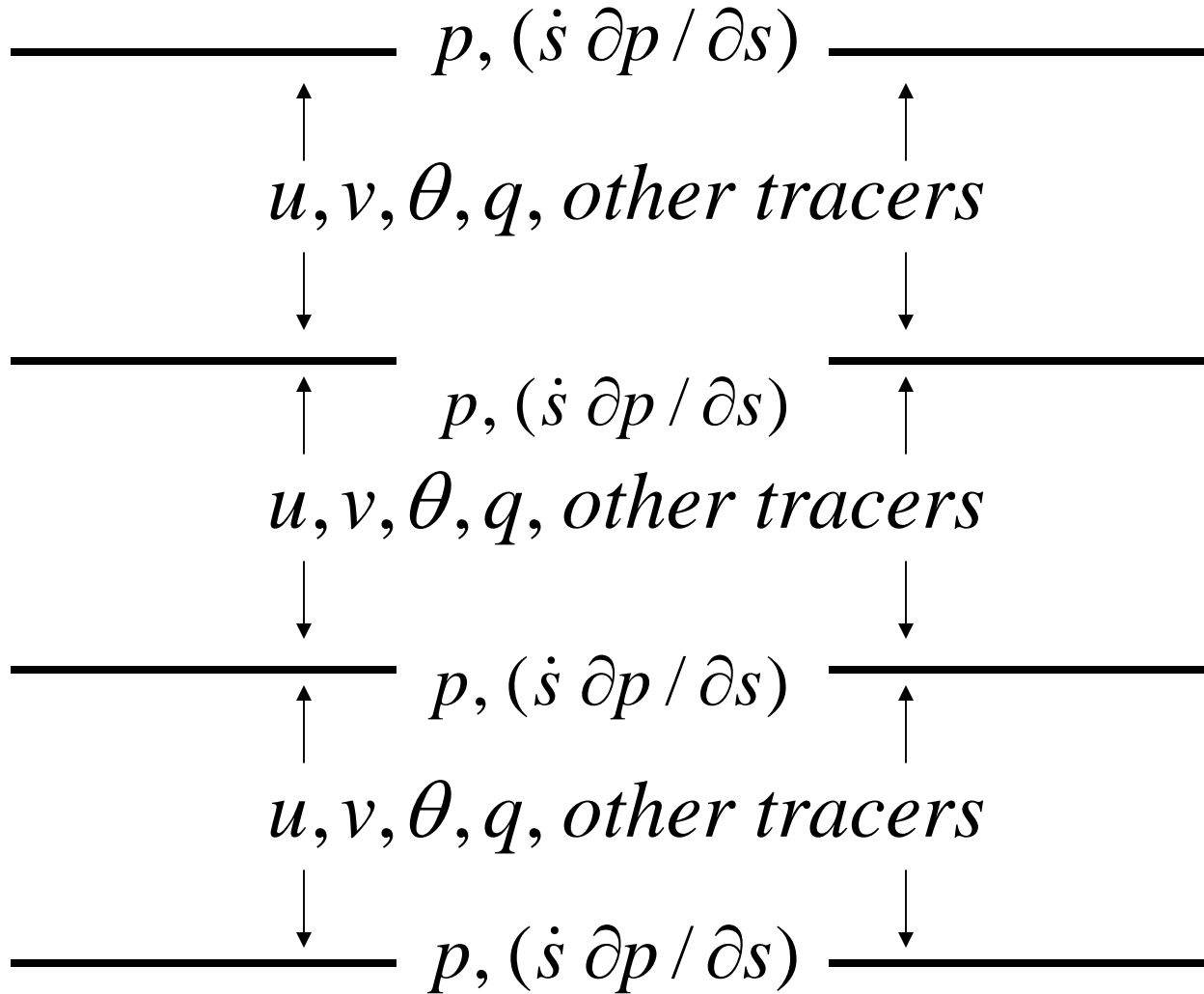


**(zero in  
material  
coord.)**



**(known)**

**Staggering of variables in layer or stacked shallow-water models: Used in FIM**

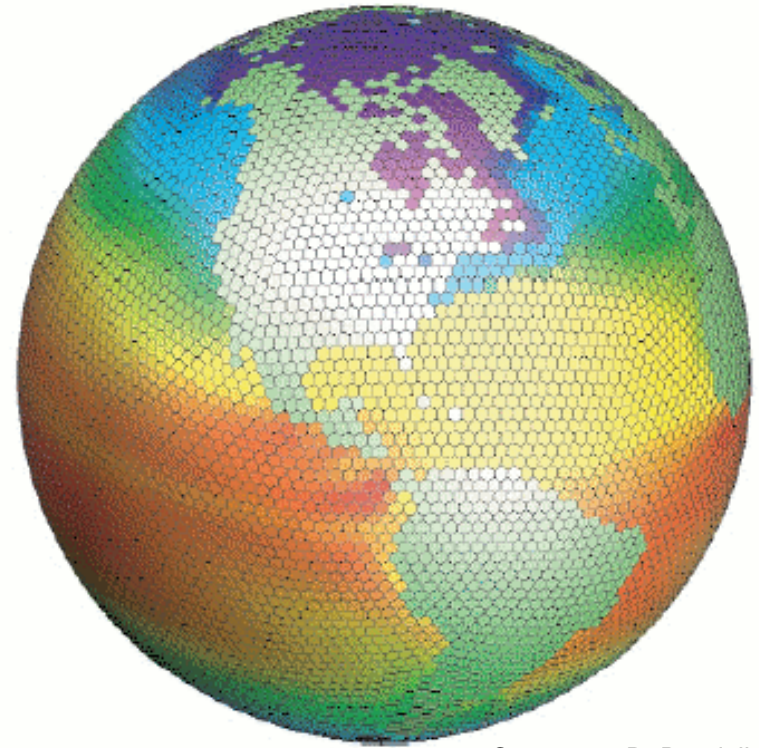


**NOAA/ESRL**

**Status on Development of FIM  
Flow-following FV  
Icosahedral  
Model**

Sandy MacDonald  
Jin-luen Lee  
Rainer Bleck  
Stan Benjamin  
John Brown

- presented by Stan Benjamin



Courtesy – D. Randall

**FIM**

- *Contribution toward future NOAA global modeling system*
- Developed at ESRL, collaboration so far with GFDL, EMC

NOAA modeling meeting - Jan 2006





# Goals for NOAA future model development (per GSD)

- Design for *weather* (1h to 2 weeks) and *climate* applications
- Applicable at 1-4 km resolution
- Accurate for moisture, entropy, and tracer transport
- Quasi-Lagrangian vertical coordinate
  - Minimize cross-coordinate vertical transport
- Grid with nearly constant map scale without singularities
- Contribute diversity to NCEP global ensemble system

NOAA modeling meeting - Jan 2006

# FIM development – Task Plan

## FY2006 Goals

NOAA modeling meeting - Jan 2006

- Overall - Complete global circulation model (GCM) version
- Incorporate GFS physics package
- Perform idealized tests (e.g., Held-Suarez, transport of actual vs. proxy PV,  $\theta_e$ )
- Design for optimal computational efficiency on non-structured (icosahedral) horizontal grid
- Design appropriate  $\theta$ - $\sigma$  ALE coordinate for global 1-20km application

## FY2007 Goals

- Switch from idealized to observed initial conditions
- Work with EMC on incorporating FIM dynamic core into FY07 GFS version suitable for alternative dynamic cores
- Develop non-hydrostatic version of FIM
- Collaborate with other NOAA research partners to develop and test further refinements

# FIM model/ system -Contributors

## FIM development

- 2006 - current

Jin Lee

Sandy MacDonald

Rainer Bleck

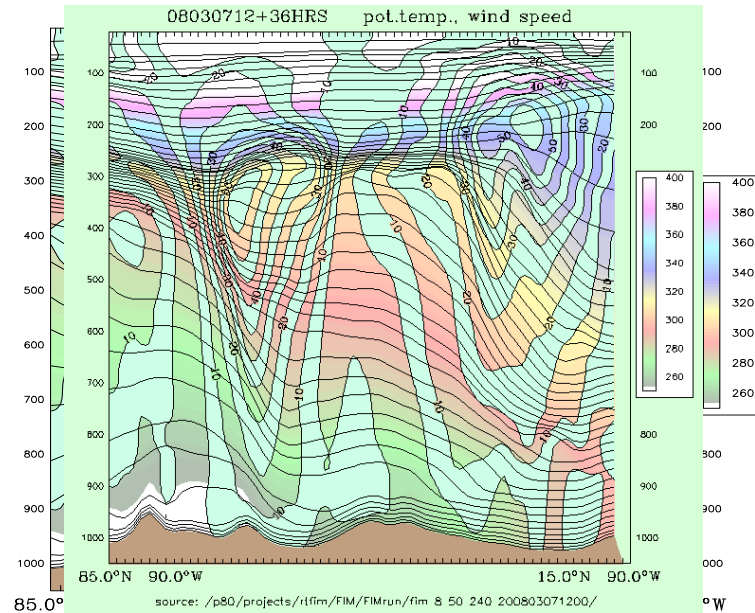
Jian-Wen Bao

John M. Brown

Jacques Middlecoff

Ning Wang

Stan Benjamin



Bill Moninger  
Susan Sahn  
Ed Szoke  
Brian Jamison

Verification,  
Web page,  
Evaluation

Tom Henderson

ESMF,  
Subversion

Chris Harrop

WorkFlow  
Manager, xml  
real-time scripts

Georg Grell

FIM- chemistry,  
aerosols

# FIM configuration in hybrid vs. sigma tests

## Resolution

- **G8 - 30km resolution (side of rhombus divided by 2\*\*8)**
- **50 vertical levels - hybrid theta-sigma**
  - Reference  $\theta_v$  for each level, min- $\Delta p$  (2.5, 5, 10... hPa) near sfc
- **Ptop = 20 hPa**

## Physics

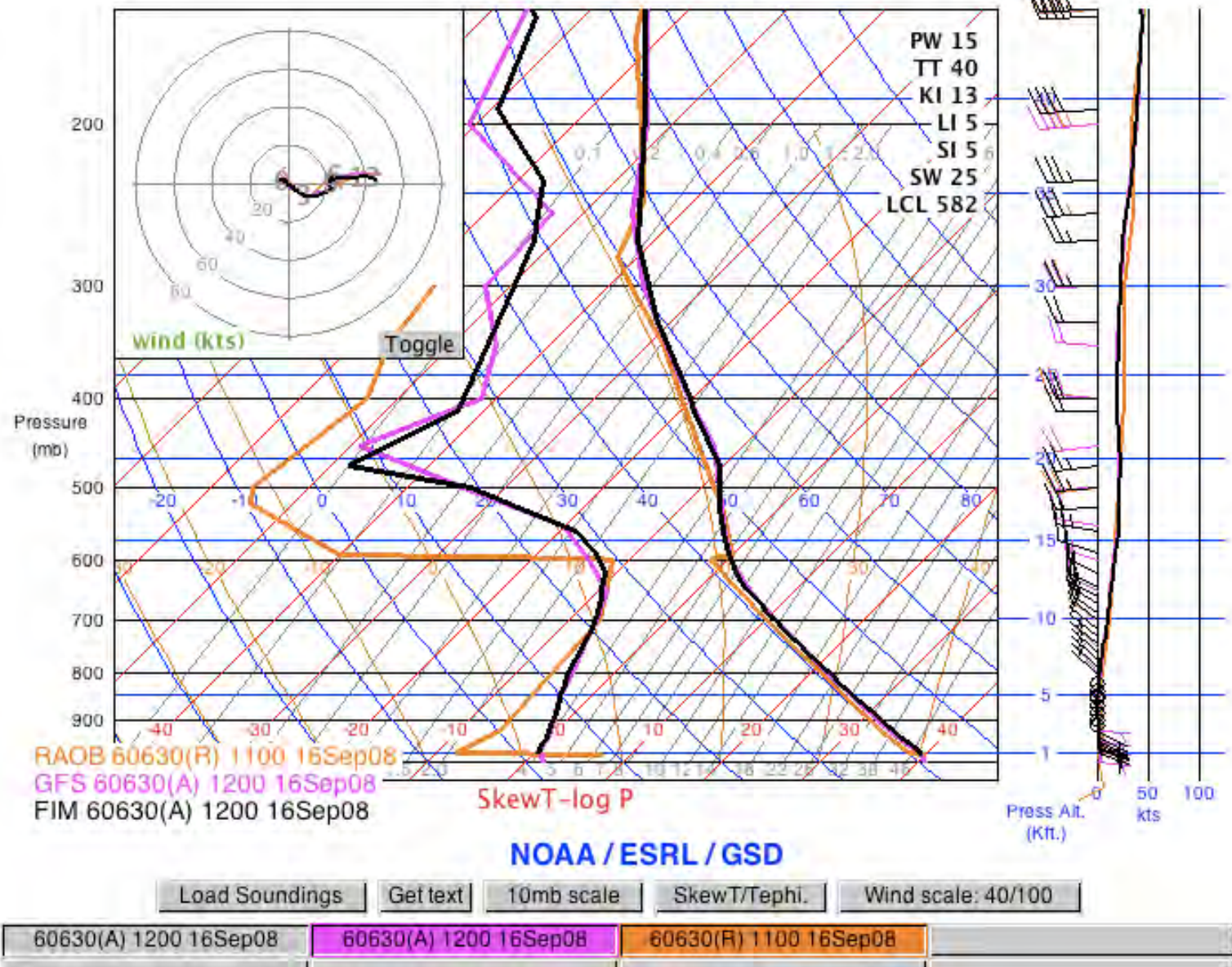
- **GFS physics**
- **(currently) called every dynamics time step (45 sec)**

## Initial conditions

- **Interpolation from GFS spectral data to FIM icosahedral hybrid vertical coordinate**
- **Horizontal first, then vertical**

## **(Resolutions tested for other cases)**

- **G5 (240km) - G9 (15km)**
- **50 hybrid levels (220-547K), 100 hybrid levels (220-547K)**



Hybrid interp works - 0h GFS, FIM both fit temps about same -  
 - example for raob site in southern Algeria - 12z - 16 Sept 08



# Earth System Research Laboratory

Flow-following finite-volume Icosahedral Model (FIM)

**Assimilation and Modeling Branch (AMB)**

[Projects](#)

[GSD Home](#)

[ESRL Home](#)

## Current and Forecast Graphics

[Global - CONUS](#)

[W. Atlantic - Africa](#)

[Arctic - W. Pacific](#)

[FIM GRIB viewer](#)

[Ice/snow - SST](#)

## Soundings

[Interactive \(Java\)](#)

## Other NOAA Model Products

[NCEP Model Products](#)

[\(GFS, etc.\)](#)

## Organization

[ESRL](#)

[Rapid Refresh home](#)

[FIM](#)

[AMB/GSD Staff](#)

[ESRL/GSD](#)

## Description

[Manuscript description of FIM model](#)

## The FIM Model

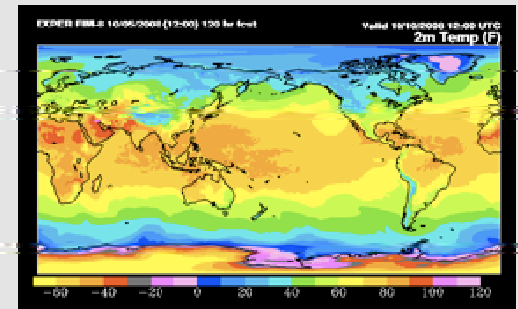
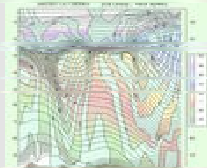
NOAA/ESRL scientists have developed an initial version of a new global model including use of the adaptive isentropic-sigma hybrid vertical coordinate successful with the RUC model, accurate finite-volume horizontal advection, and use of an icosahedral horizontal grid. ESRL is collaborating with NCEP/EMC on ESMF-compatibility of the FIM model, and was aided by GFDL on its initial design.

## Three unique features of the FIM

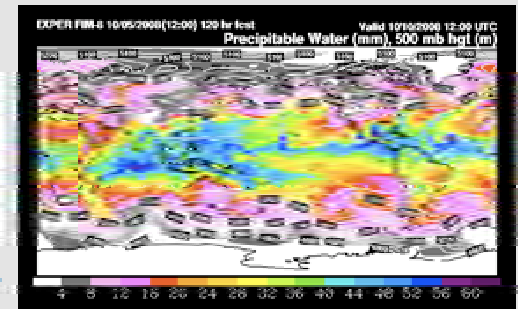
- icosahedral horizontal grid, mostly hexagons except for 12 pentagons ("F" in FIM)
- isentropic-sigma hybrid vertical coordinate, adaptive, concentrates around frontal zones, tropopause, similar to RUC model ("F" for Flow-following in FIM)
- finite-volume horizontal transport (Also



icosahedral grid



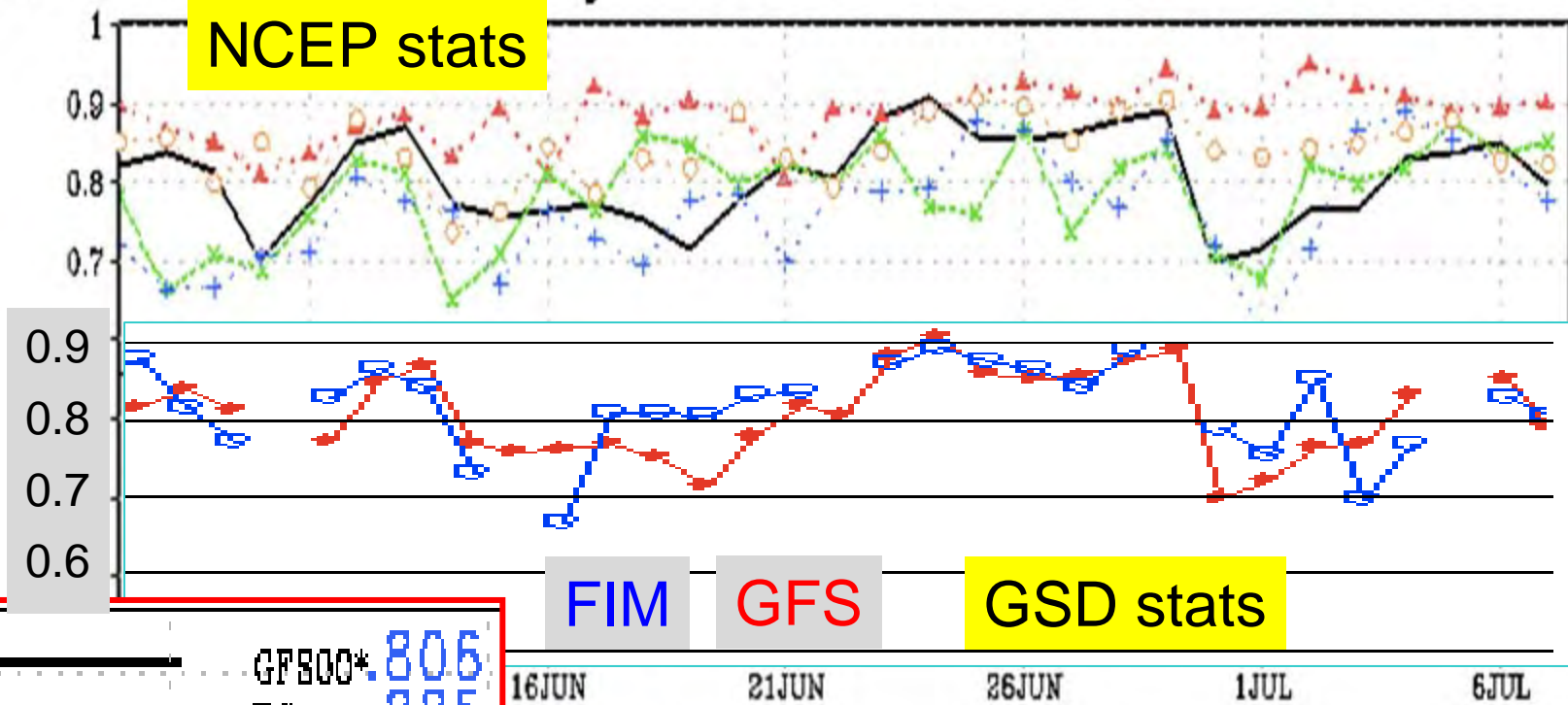
2-m Temperature - 5-day fcst



Precipitable Water/500hPa Height - 5-day fcst

# GFS vs. FIM, other global models (EC, UK, etc.) (sanity check for our Anomaly processing)

Anomaly Correl day 5 Z 500mb s hem lat 20-80



NCEP stats

FIM

GFS

GSD stats

—	GFS00*	.806
▲	EC	.885
●	X	0
✖	CAN	.784
+	FNMOC	.765
○	UK	.842

Verification date

Slide to NCEP 7/11 to demonstrate that ESRL ACC matches NCEP's

Some recent FIM model code changes  
(other than ESMF, efficiency, I/O, issues)

### **Spring 2008**

- **17 April - introduction of virtual potential temperature to FIM numerics**
- **3 June – Fix to land-surface “freckle” problem - land-use interpolation error**

### **More recent**

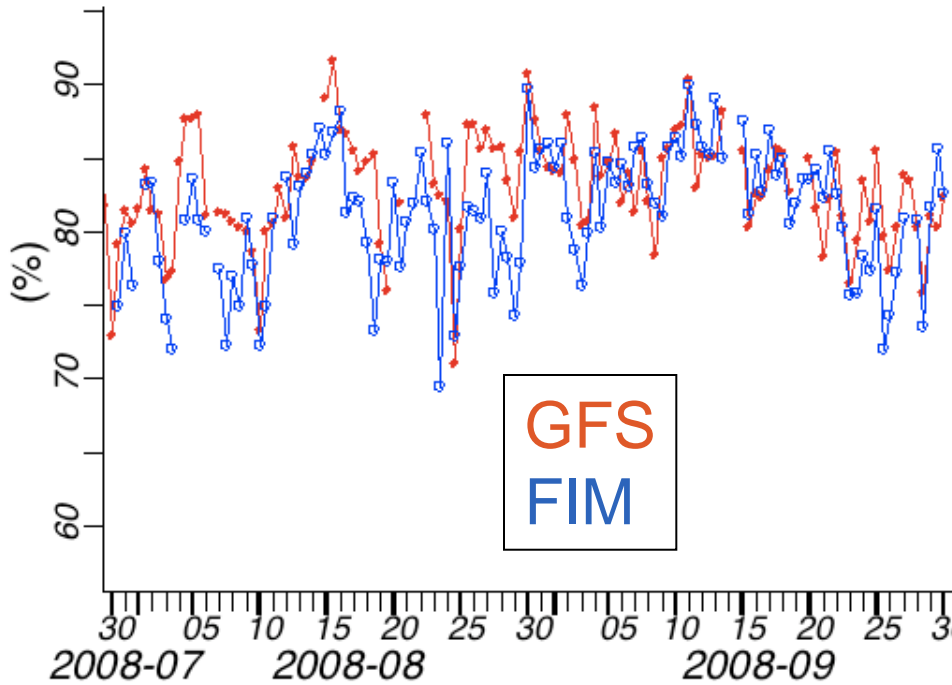
- **21 August - Solution to FIMprep problem - wrong assignment of GFS hybrid sigma-pressure levels**
- **28 August - Fix to assignment of soil moisture values to both liquid water and total values.**

### **Still a problem**

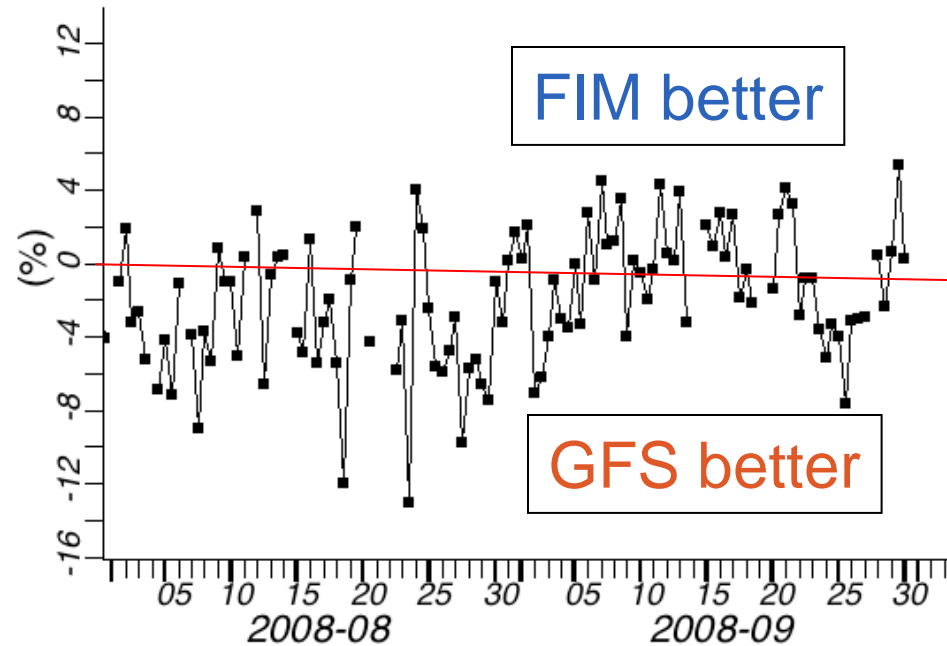
- **Remaining issue for interpolation from GFS initial conditions to FIM (vertical interpolation)**



FIM reg:Glob, 500-500mb height anomaly 120h fcst  
GFS reg:Glob, 500-500mb height anomaly 120h fcst



FIM-GFS reg:Glob, 500-500mb height anomaly 120h fcst  
FIM reg:Glob, 500-500mb height anomaly 120h fcst  
GFS reg:Glob, 500-500mb height anomaly 120h fcst



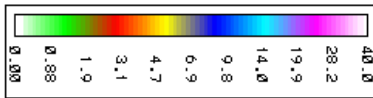
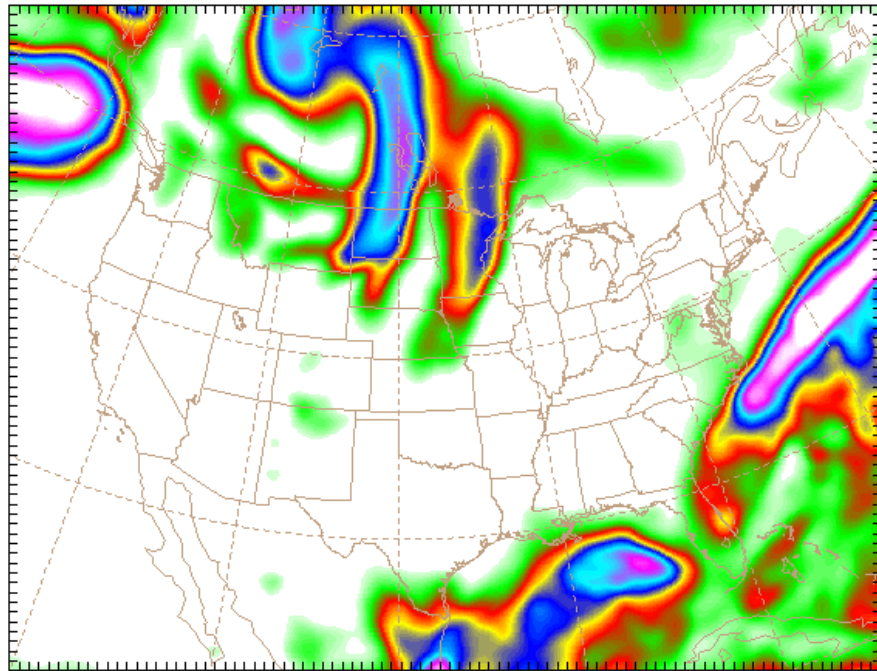
- FIM fixes in late August improved 5-day forecast skill relative to GFS in September
- Major variations in day-to-day skill between GFS and FIM

# FIM vertical coordinate sensitivity experiment

- **Used two versions of FIM**
- **$\theta$ - $\sigma$  (hybrid isentropic sigma) vs.  $\sigma$  (sigma) vertical coordinate**
- **Vertical coordinate isolated in single module in FIM model, allowing “relatively easy” modification**
- **3 seasons - 7-10 day comparisons**
  - Feb 08, June 08, Sept 08
- **00z initial times only**
- **Controlled experiments other than vertical coordinate**
  - Same GFS IC, use of same GFS physics in both, same FIM time step (45 s), etc.

# FIM hybrid vs. FIM sigma - 48h fcst - runs init 00z 22 Sept 08

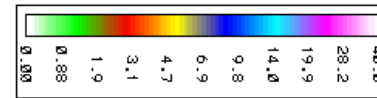
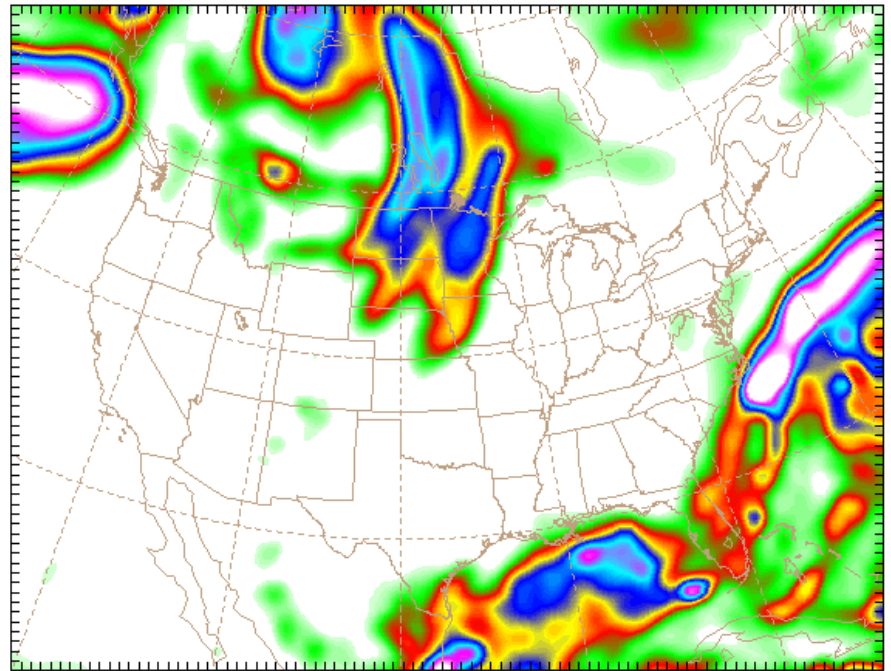
08092200+48HRS 24-hr precip (mm)



source: /tg2/projects/fim/sahm/FIM/FIMrun/fim\_8\_50\_240\_200809220000/

## Hybrid-theta

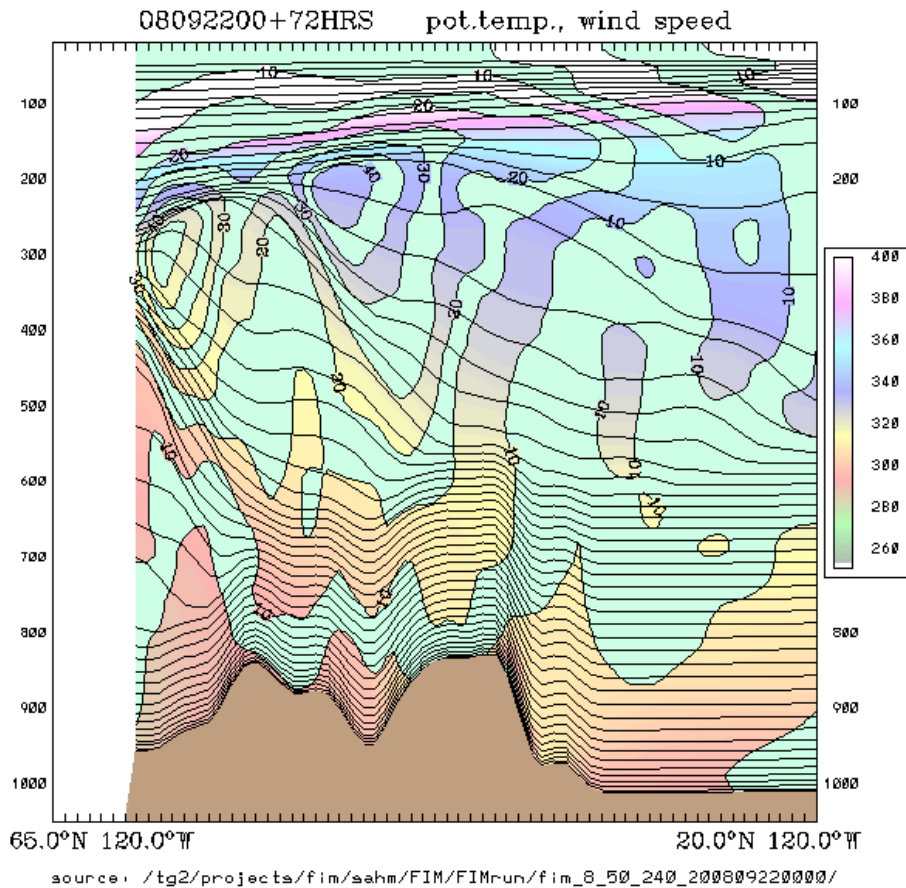
08092200+48HRS 24-hr precip (mm)



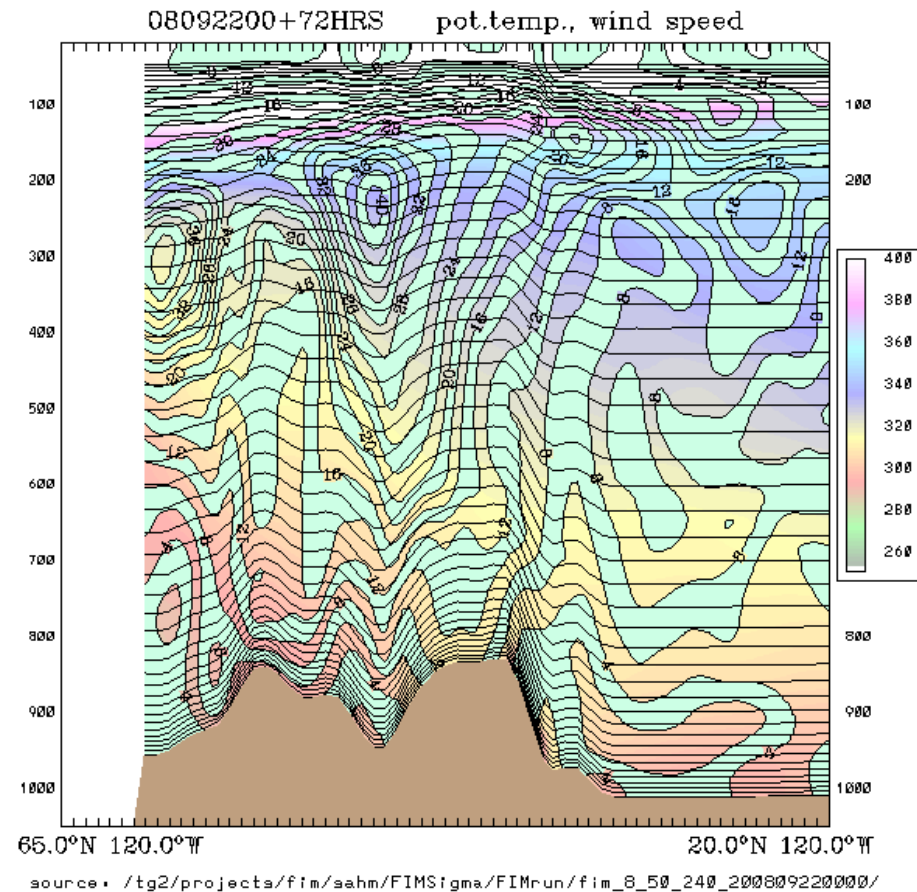
source: /tg2/projects/fim/sahm/FIMsigma/FIMrun/fim\_8\_50\_240\_200809220000/

## Sigma

# FIM hybrid vs. FIM sigma - 72h fcst - runs init 00z 22 Sept 08

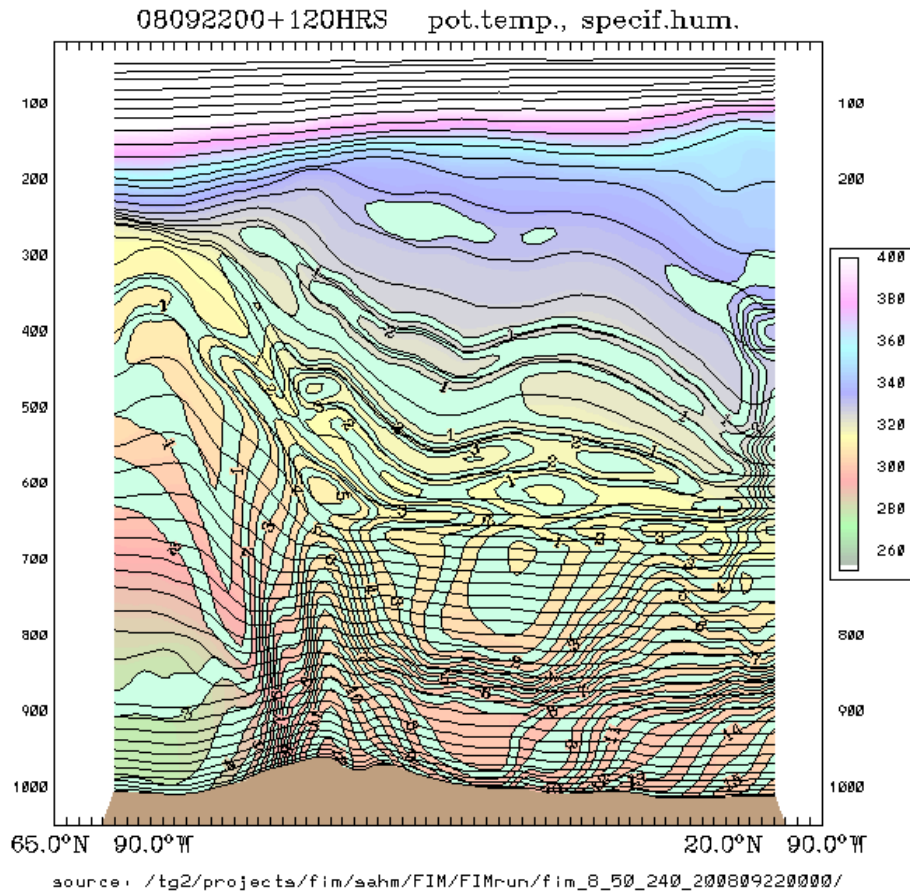


**Hybrid-theta**

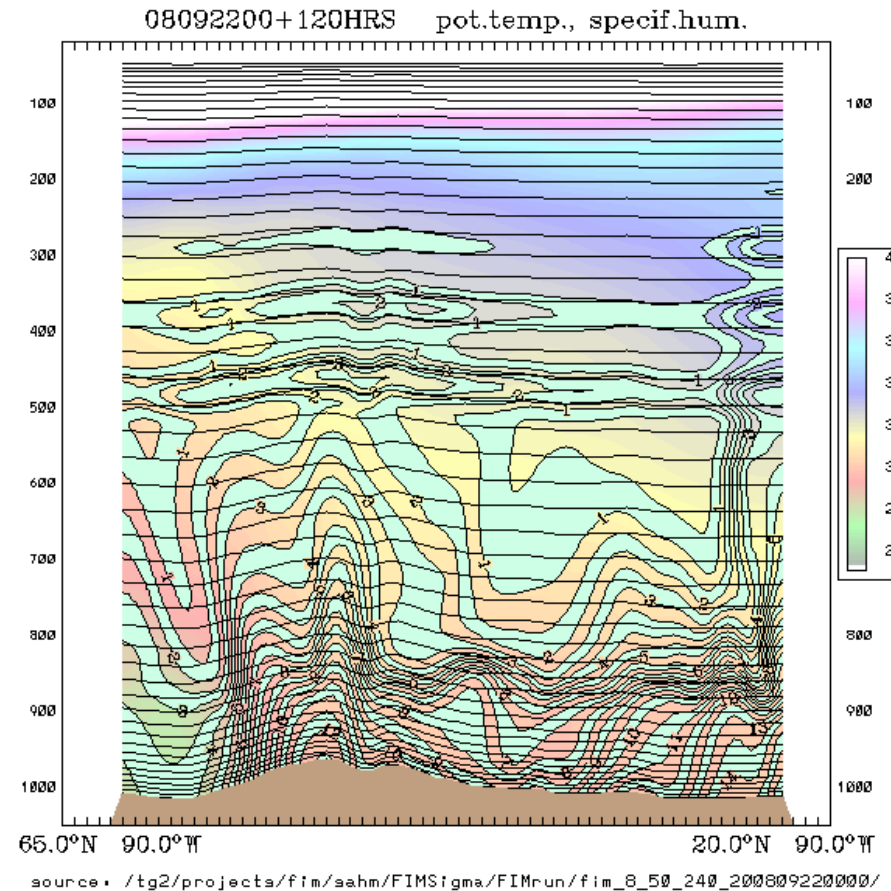


**Sigma**

# FIM hybrid vs. FIM sigma - 120h fcst - runs init 00z 22 Sept 08

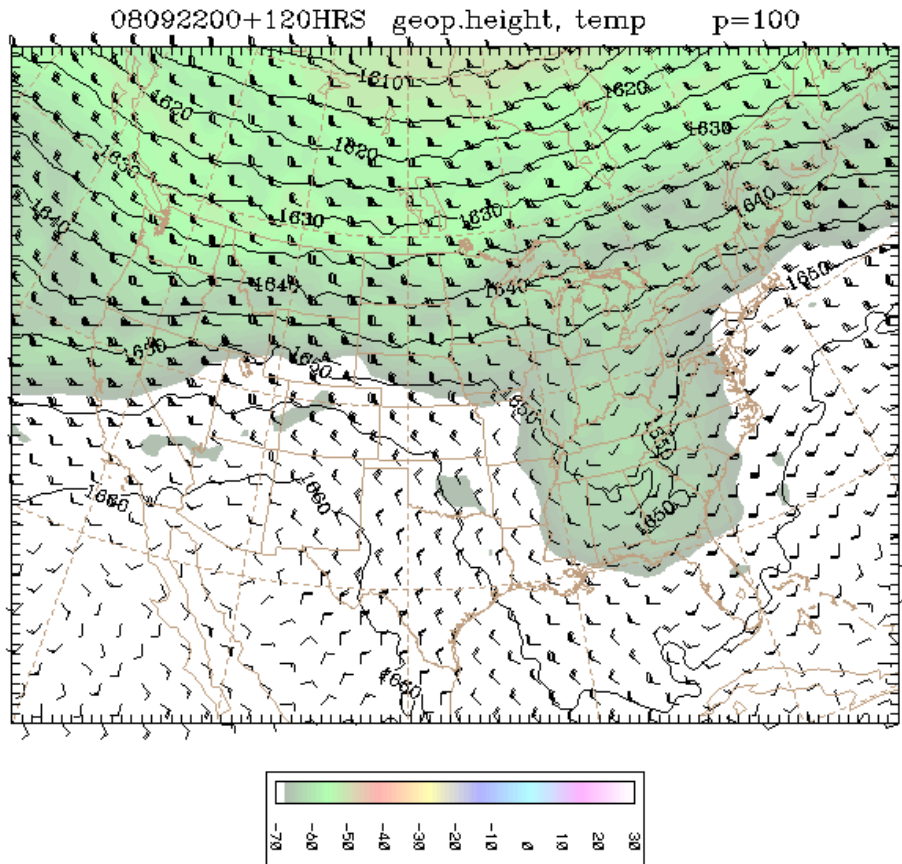


**Hybrid-theta**

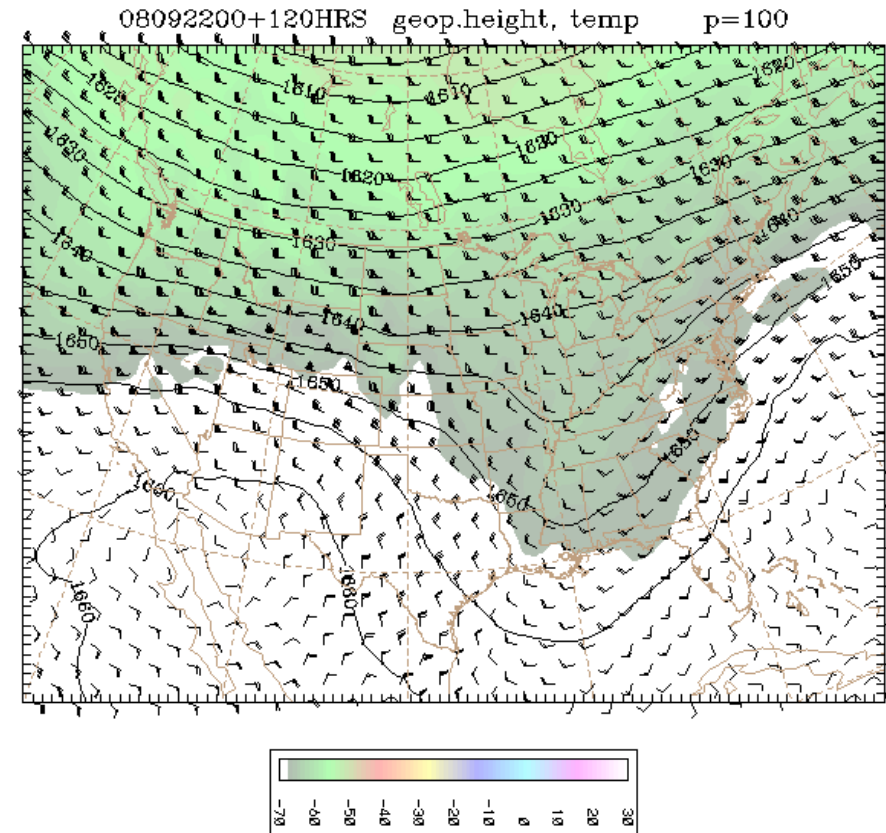


**Sigma**

# FIM hybrid vs. FIM sigma - 120h fcst - runs init 00z 22 Sept 08

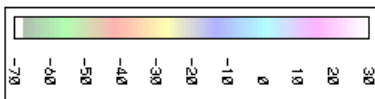
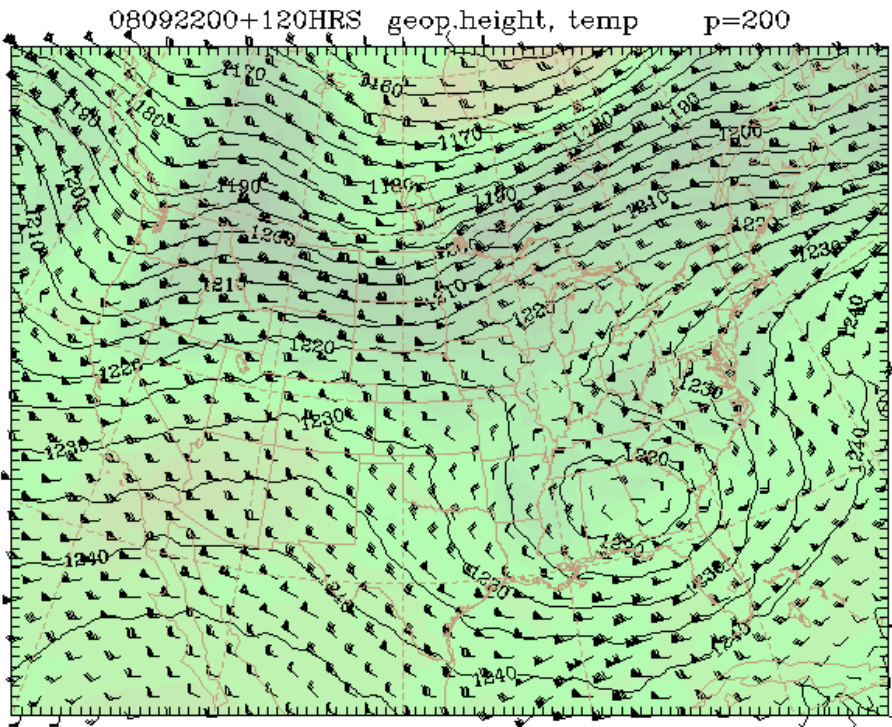


**Hybrid-theta**



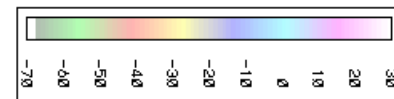
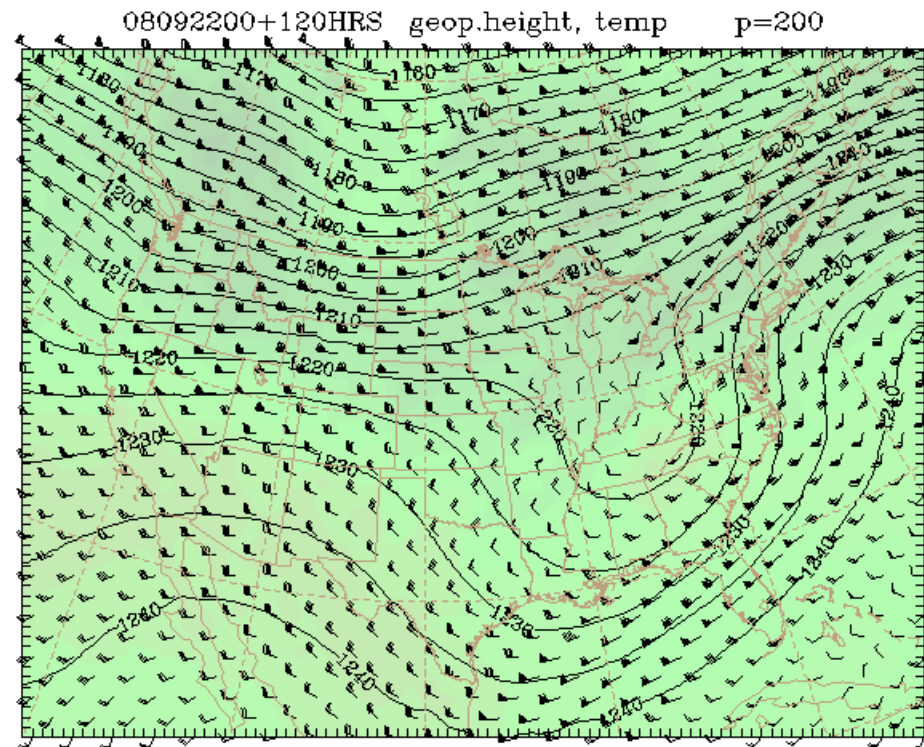
**Sigma**

# FIM hybrid vs. FIM sigma - 120h fcst - runs init 00z 22 Sept 08



source: /tg2/projects/fim/sahm/FIM/FIMrun/fim\_8\_50\_240\_200809220000/

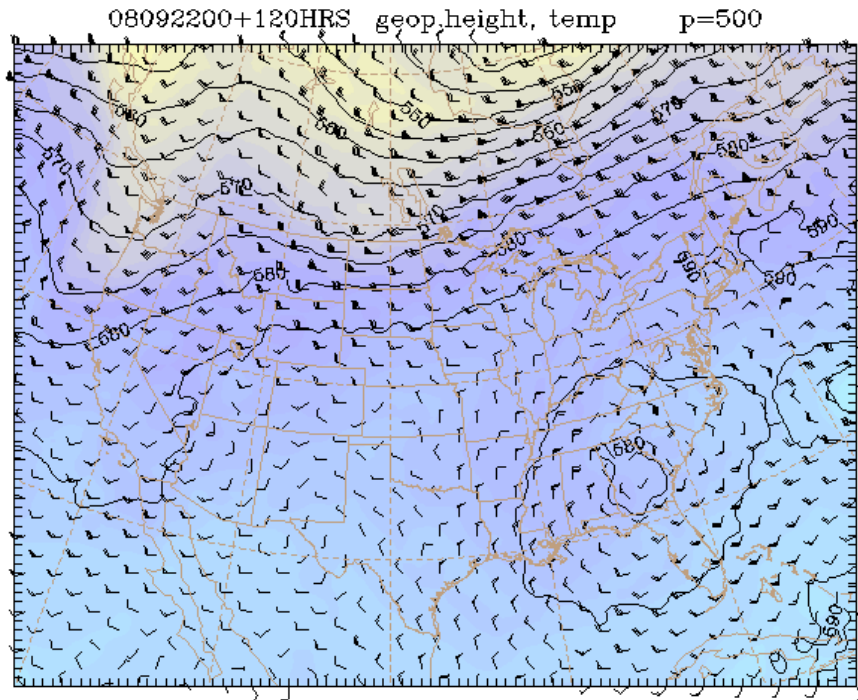
**Hybrid-theta**



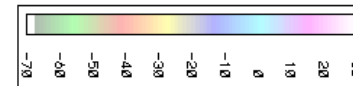
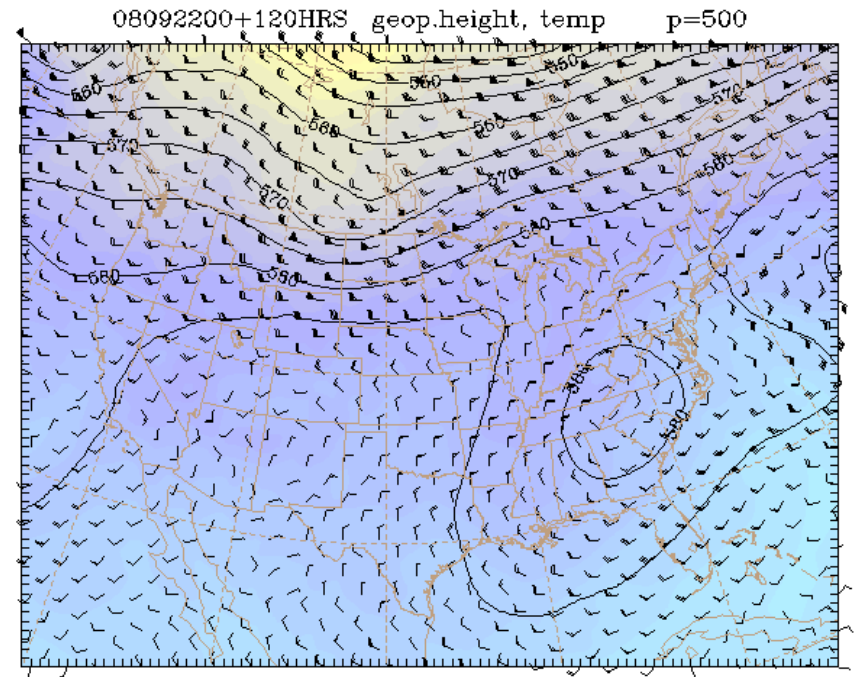
source: /tg2/projects/fim/sahm/FIMSigma/FIMrun/fim\_8\_50\_240\_200809220000/

**Sigma**

# FIM hybrid vs. FIM sigma - 120h fcst - runs init 00z 22 Sept 08



source: /tg2/projects/fim/sahm/FIM/FIMrun/fim\_8\_50\_240\_200809220000/



source: /tg2/projects/fim/sahm/FIMsigma/FIMrun/fim\_8\_50\_240\_200809220000/

**Hybrid-theta**

**Sigma**



# FIM vertical coordinate sensitivity experiment

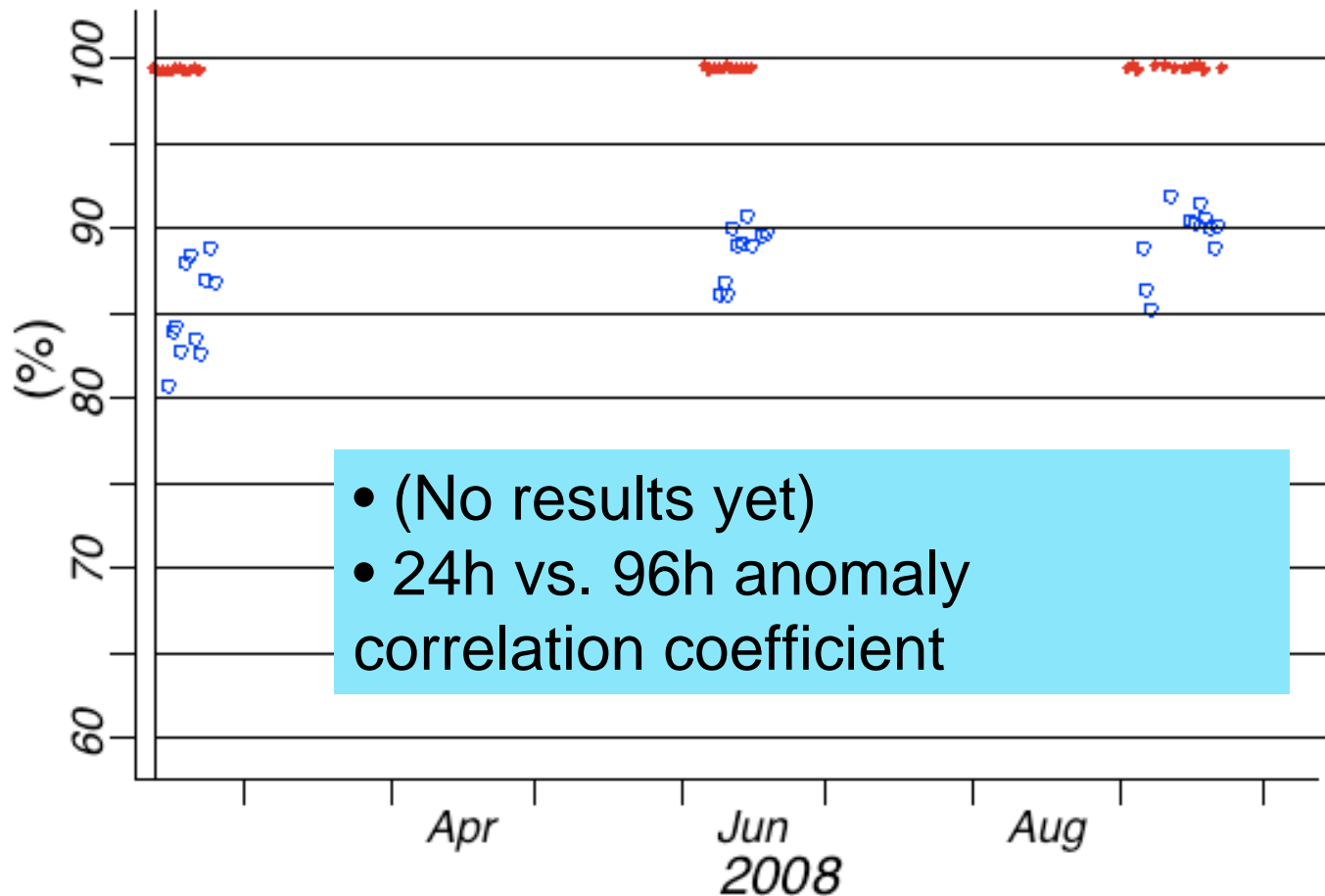
- **3 seasons - 7-10 day comparisons**

- Feb 08,

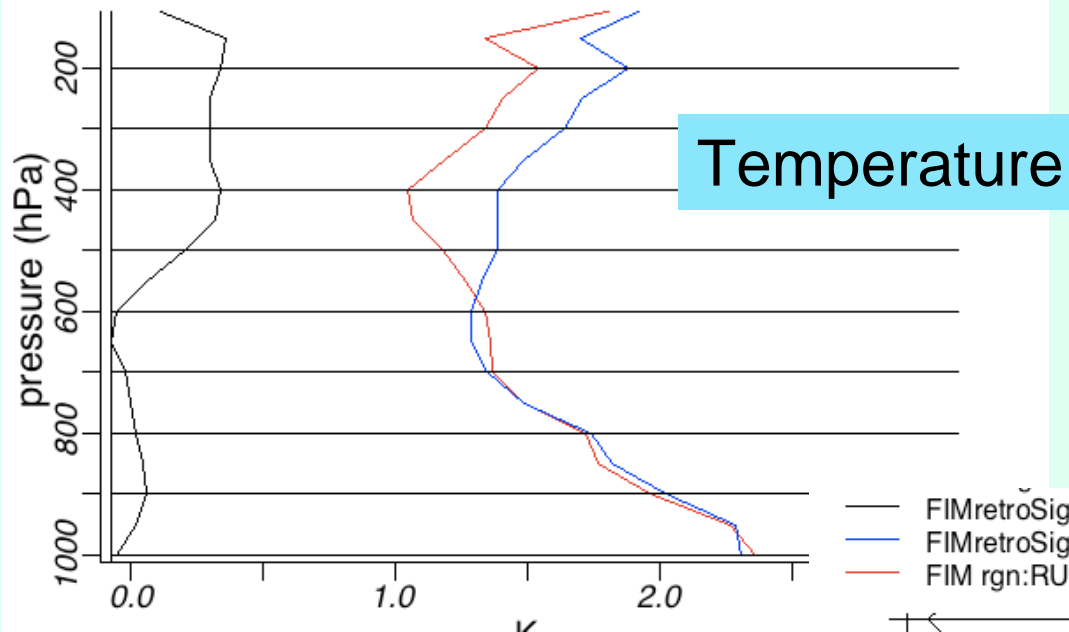
- June 08,

- Sept 08

- FIMretro-sigma reg:Glob, 500-500mb height anomaly 96h fcst
    - FIMretro-sigma reg:Glob, 500-500mb height anomaly 24h fcst



- FIMretroSigma-FIM rgn:RUC, temperature rms 48h fcst 2008-09-04 thru 21
- FIMretroSigma rgn:RUC, temperature rms 48h fcst 2008-09-04 thru 2008-09-20
- FIM rgn:RUC, temperature rms 48h fcst 2008-09-04 thru 2008-09-20 (matched)

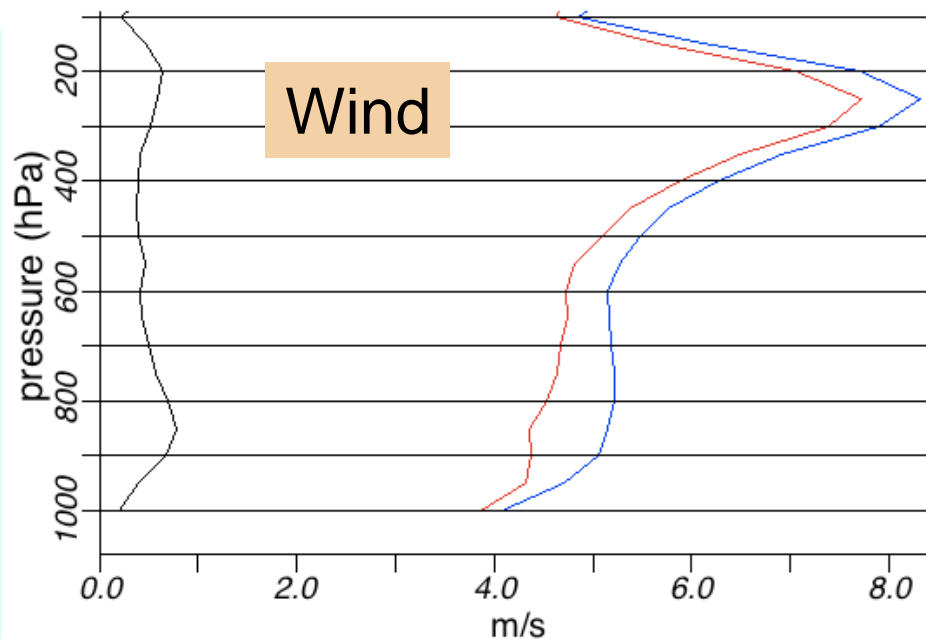


Sept 08 period  
48 h forecasts  
Raob verification  
- Global

FIM-hybrid  
FIM-sigma

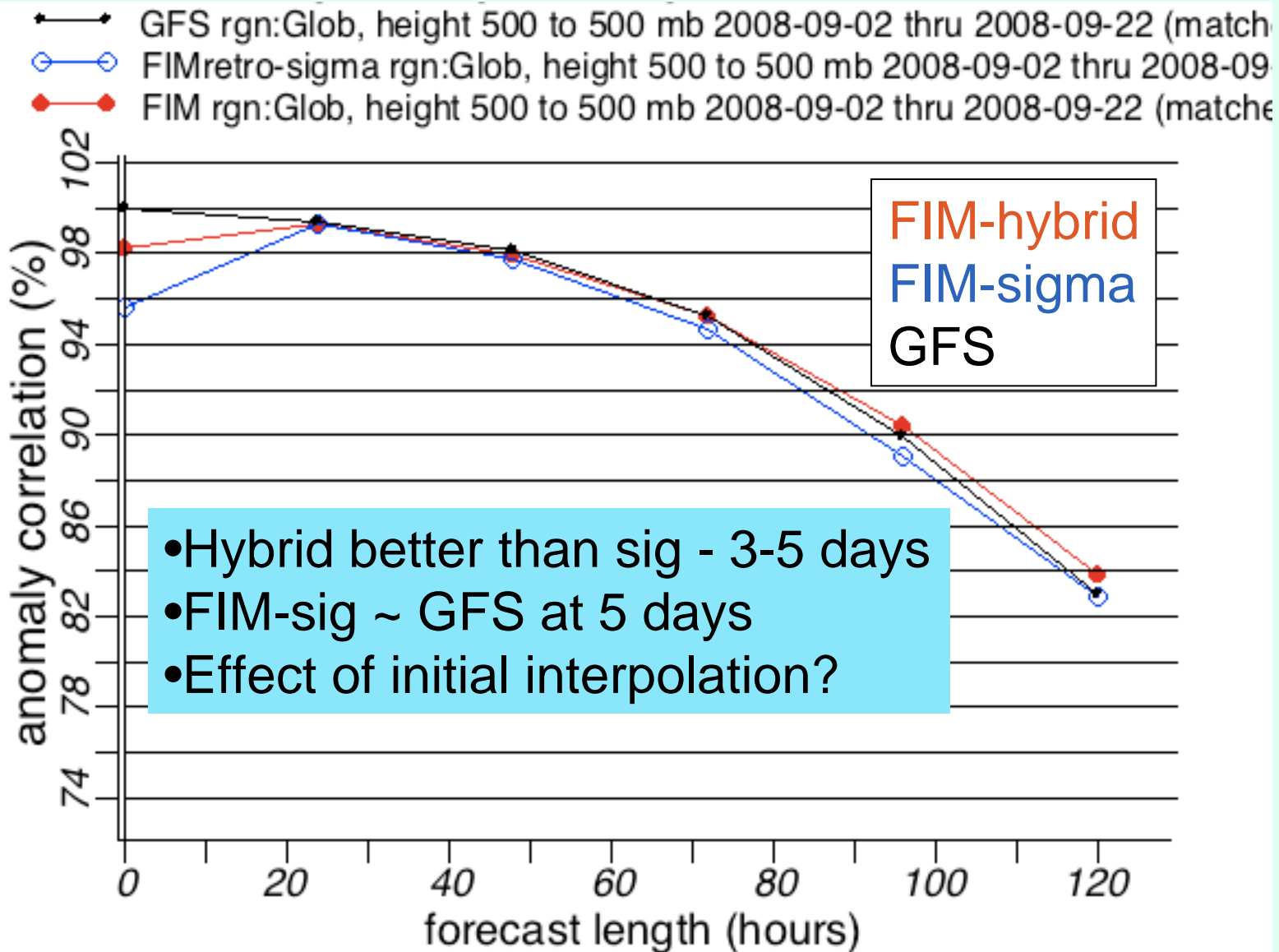
Temps - FIM-hybrid better than FIM-sigma in upper troposphere (roughly  $\theta$  levels).

- FIMretroSigma-FIM rgn:RUC, winds rms 48h fcst 2008-09-04 thru 2008-09-20
- FIMretroSigma rgn:RUC, winds rms 48h fcst 2008-09-04 thru 2008-09-20
- FIM rgn:RUC, winds rms 48h fcst 2008-09-04 thru 2008-09-20 (matched)



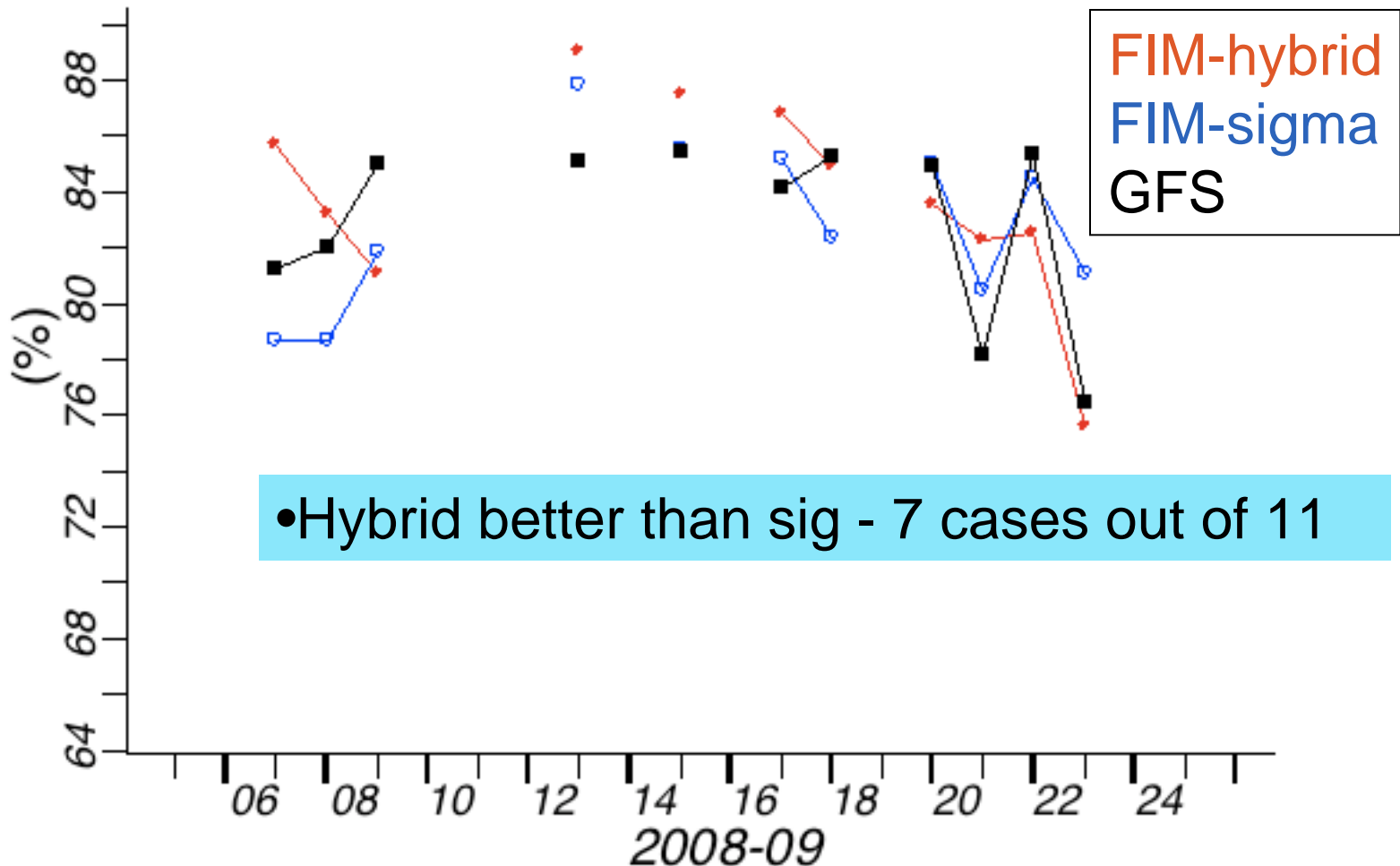
FIM-hybrid better than FIM-sigma for temps in upper troposphere.

# 500hPa height anomaly correlation coefficient - Sept 08



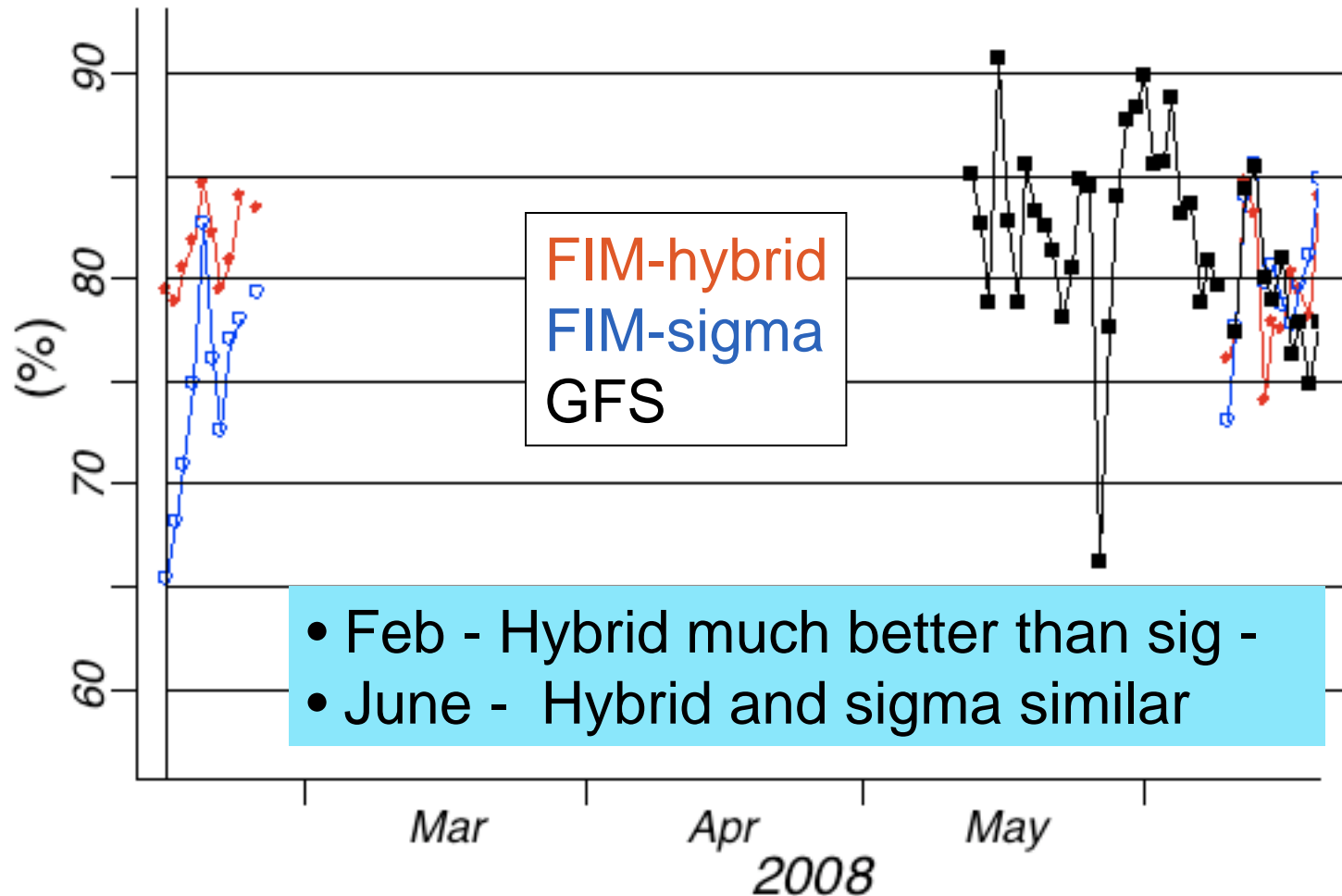
# 5-day 500hPa height anomaly correlation coefficient Sept 08

- GFS reg:Glob, 500-500mb height anomaly 120h fcst valid at 0Z (matched)
- FIMretro-sigma reg:Glob, 500-500mb height anomaly 120h fcst valid at 0Z (matched)
- ◆ FIM reg:Glob, 500-500mb height anomaly 120h fcst valid at 0Z (matched)



# 500hPa ht anomaly corr. coefficient - Feb, June 08

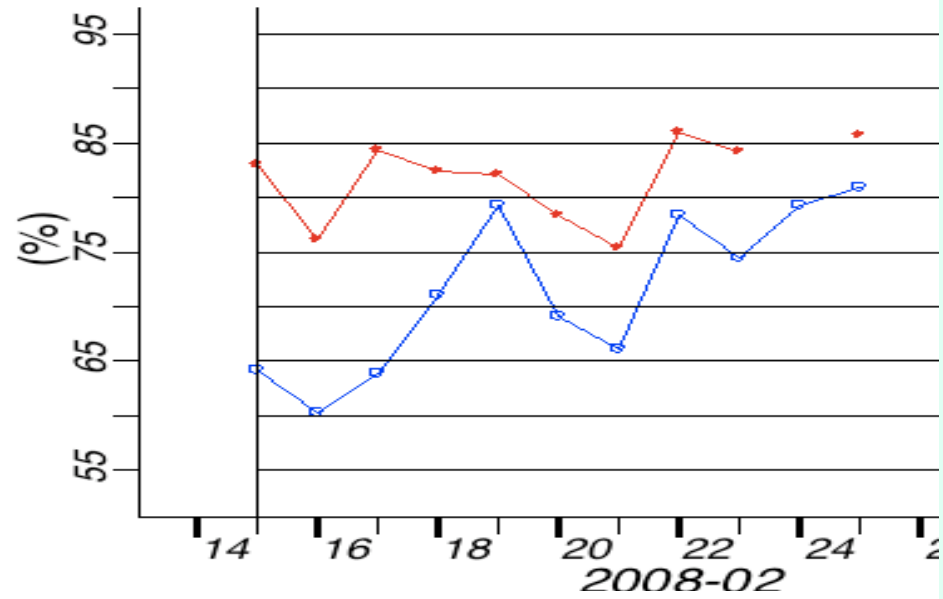
- GFS reg:Glob, 500-500mb height anomaly 120h fcst valid at 0Z
- FIMretro-sigma reg:Glob, 500-500mb height anomaly 120h fcst valid at 0Z
- ◆ FIMretro-317 reg:Glob, 500-500mb height anomaly 120h fcst valid at 0Z



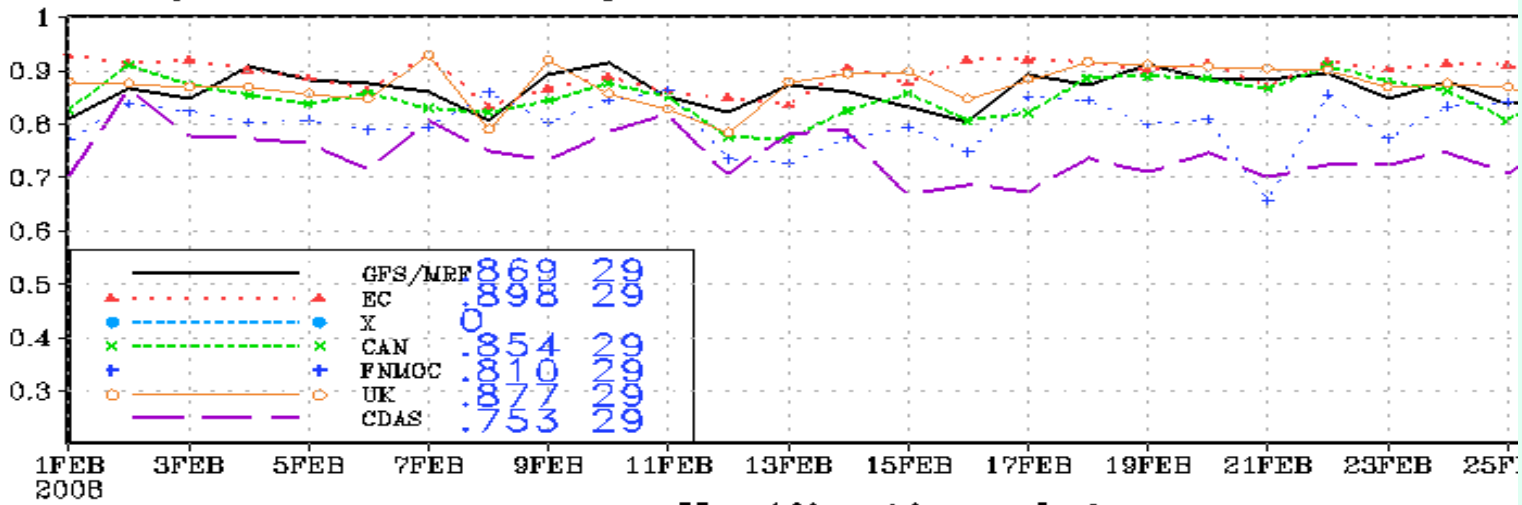
# 500hPa ht anomaly corr. coefficient - Feb 08

◻ FIMretro-sigma reg:NHX, 500-500mb height  
◻ FIMretro-317 reg:NHX, 500-500mb height

FIM-hybrid  
FIM-sigma  
 GFS



## Anomaly Correl day 5 Z 500mb n hem la



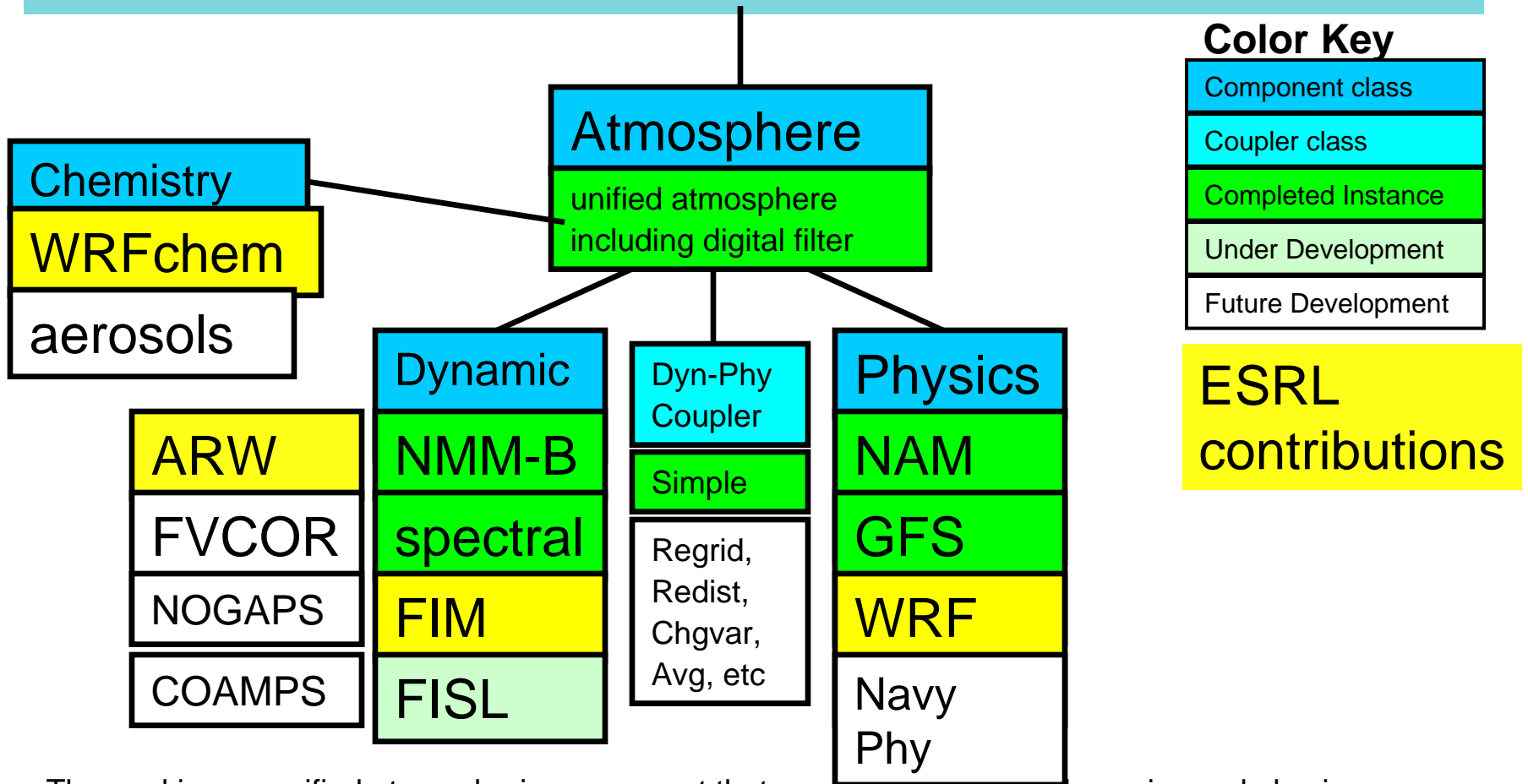
Verification date

# The bigger picture within NOAA for operational prediction with earth system models

## - ESMF

- **Earth System Modeling Framework**
  - Conventions for coupling between earth system model components
- Community effort, partially supported by NOAA (also NCAR, NASA, DoD, etc.)
- ESMF structure used for **NEMS**
  - **NOAA Environmental Modeling System**
  - Earth system coupling framework

# NCEP Architecture using ESMF



- The goal is one unified atmospheric component that can invoke multiple dynamics and physics.
- At this time, dynamics and physics run on the same grid in the same decomposition, so the coupler literally is very simple.



# Summary

- **FIM - global model designed at NOAA/ESRL with hybrid isentropic-sigma (ALE) and icosahedral horizontal grid. Still in debugging/evaluation stage.**
- **Significant progress in FIM forecast skill from Aug08 changes, now comparable with GFS in 5-day 500hPa anomaly correlation correlation**
- **Retro testing over extended period starting for different components of FIM**
  - **hybrid-theta-sigma vs. sigma** (Feb, June, Sept 2008 10-days each)
    - Slightly improved 500hPa ACC, temp/wind
    - Noisier in FIM-hybrid -- upper BC?
    - Issue on interpolation to FIM init conds?
    - More tests to follow
  - resolution, physics frequency, etc.

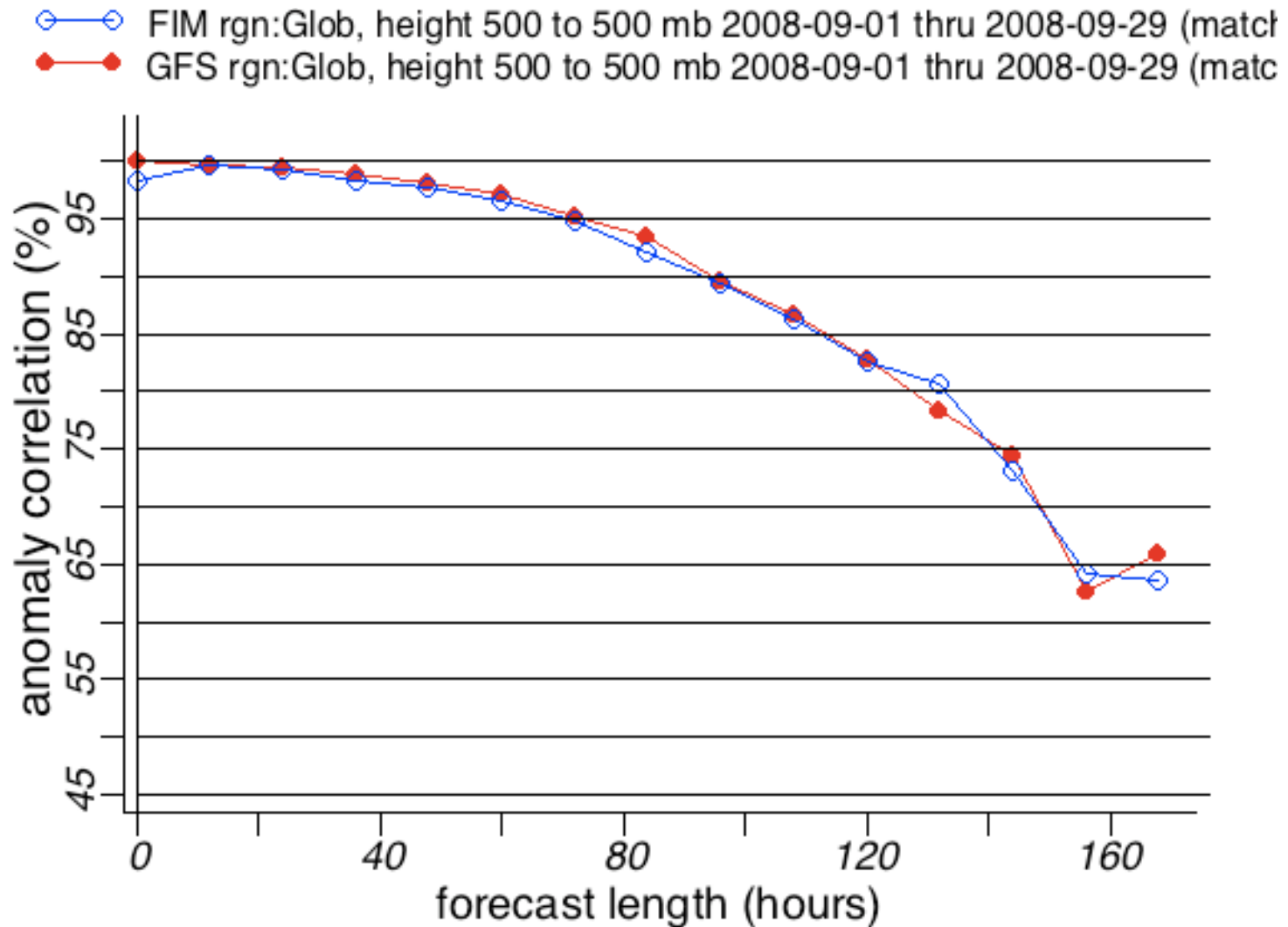
- From Rainer Bleck HYCOM/ocean model talk

"Hybrid" means different things to different people:

- linear combination of 2 or more conventional coordinates (examples:  $\sigma$ ,  $z+\rho$ ,  $z+\rho+\sigma$ )
- ALE (Arbitrary Lagrangian-Eulerian) coordinate

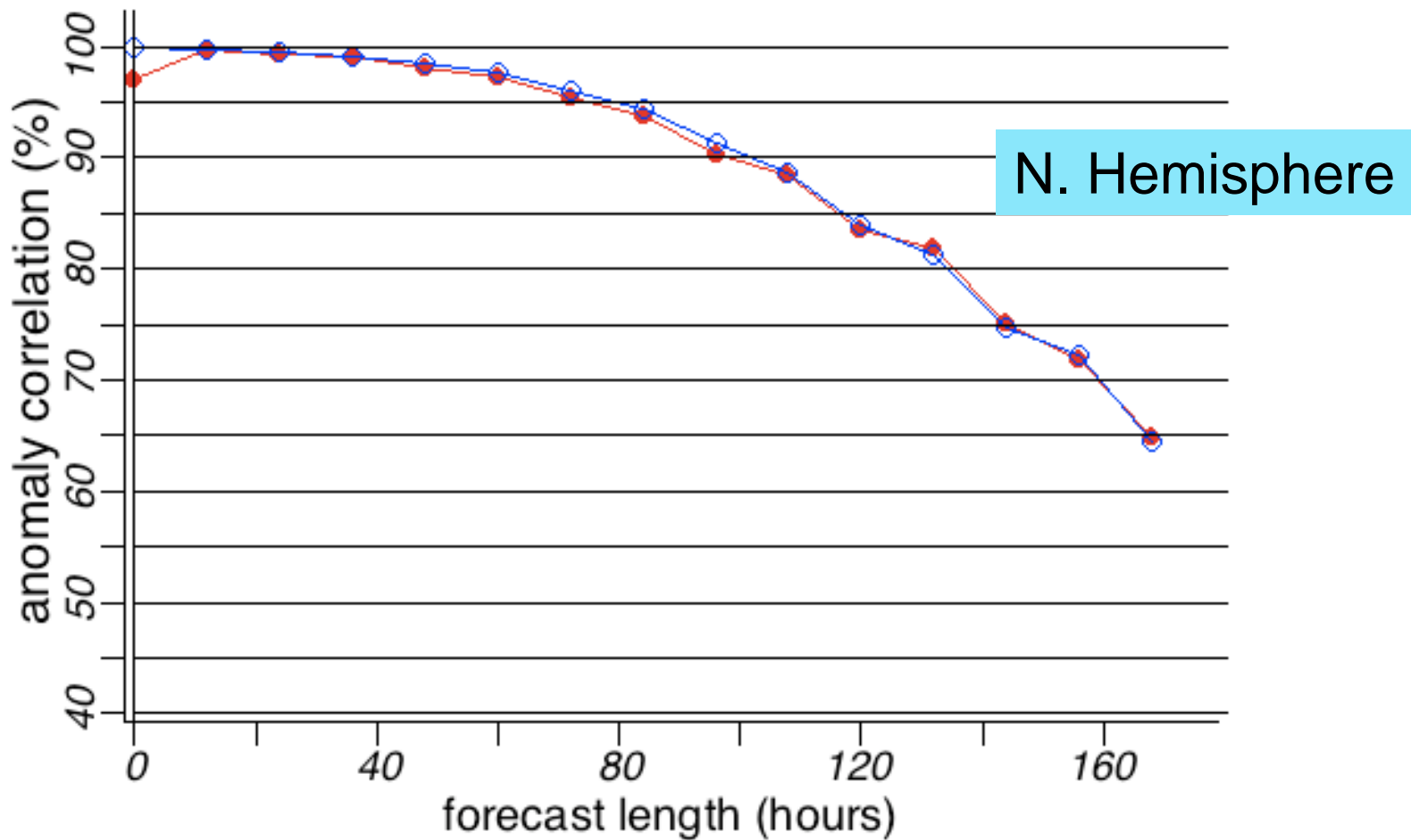
ALE maximizes size of isopycnic subdomain  
(isentropic subdomain for atmospheric model)





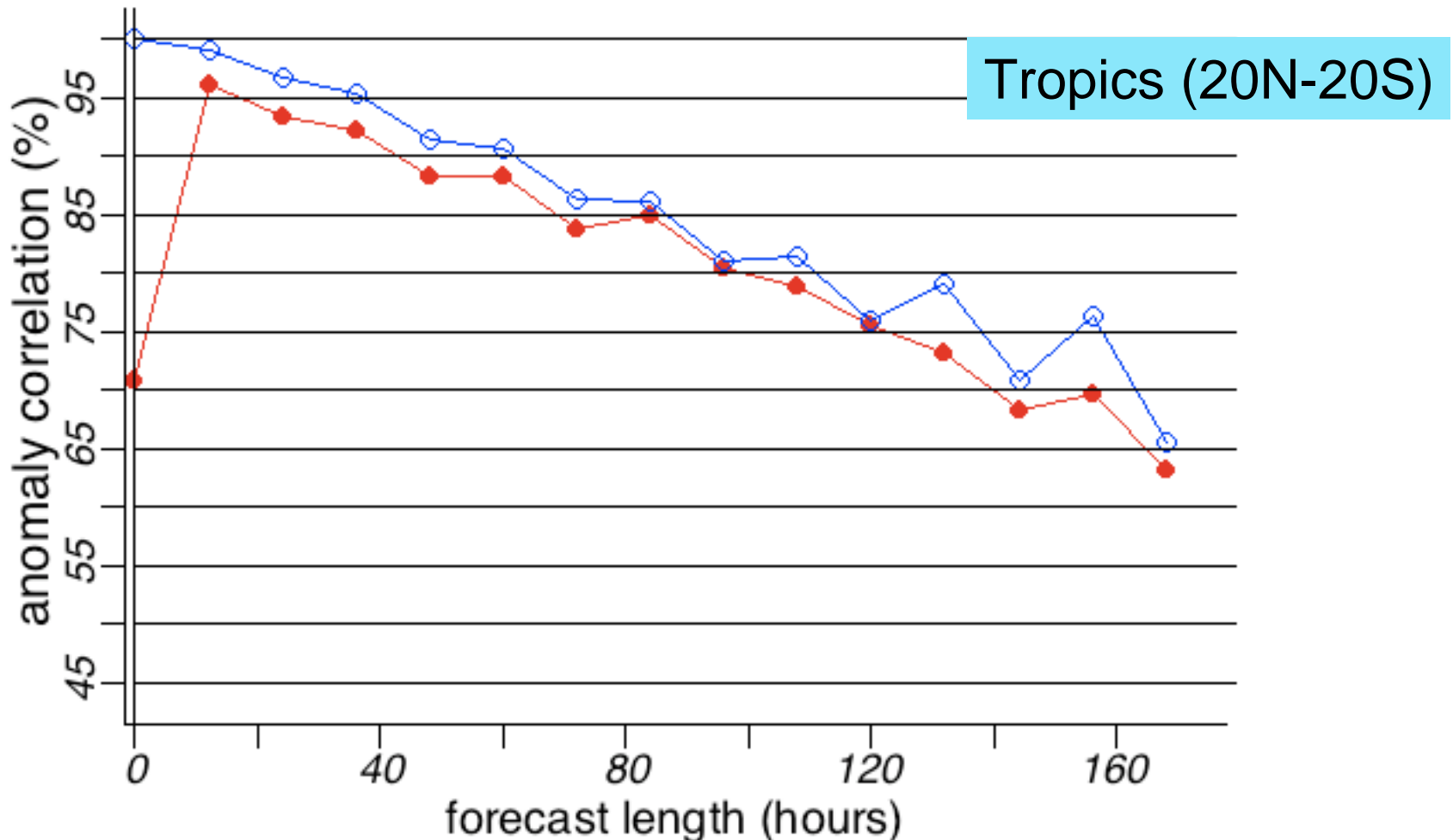
- Close performance for FIM and GFS out to 120h, GFS slightly better for 144, 168h
- More cases for times that are multiples of 24h

- GFS rgn:NHX, height 500 to 500 mb 2008-09-01 thru 2008-10-06 (matche
- FIMretro-317 rgn:NHX, height 500 to 500 mb 2008-09-01 thru 2008-10-06

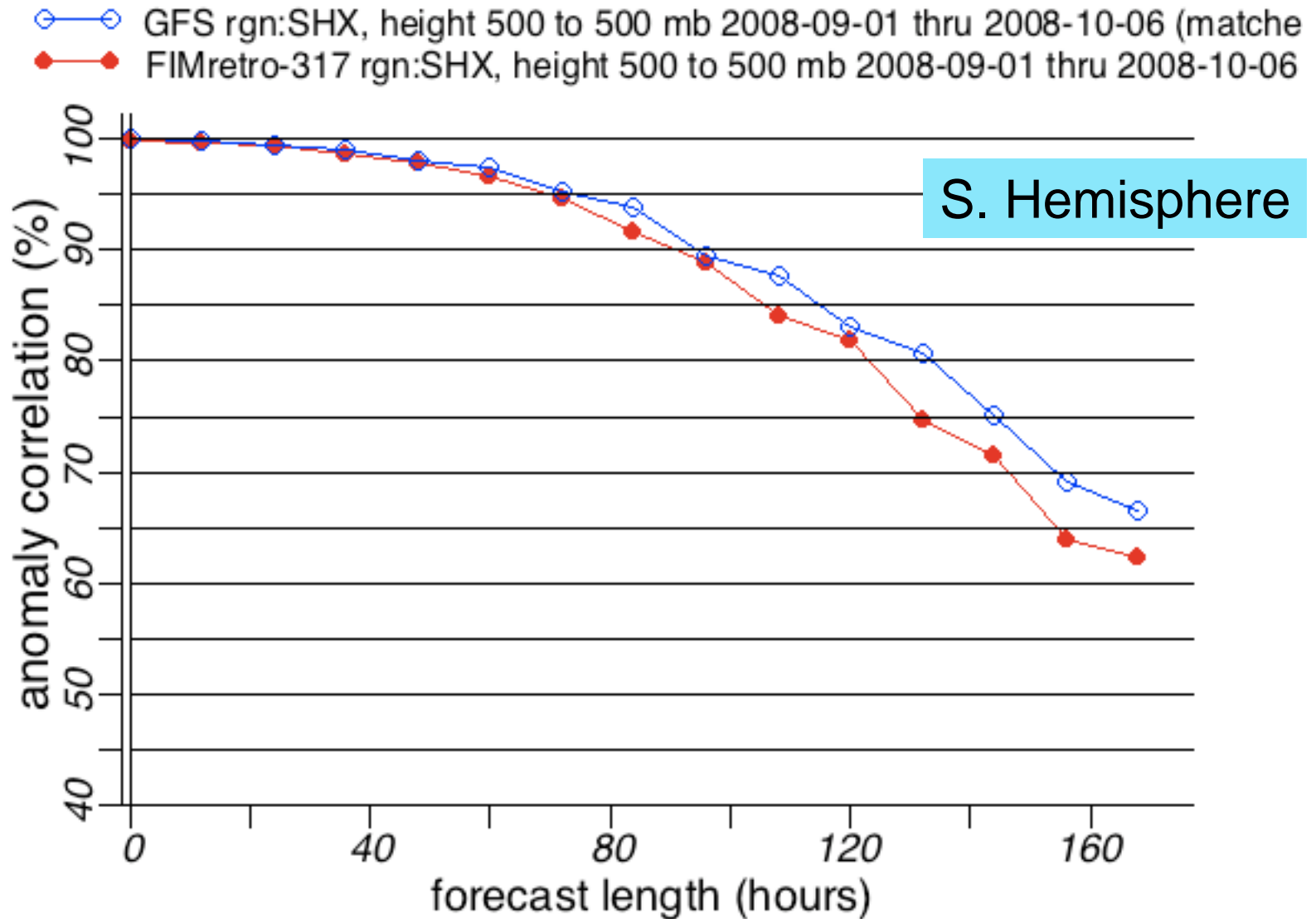


-Close performance for FIM and GFS

- GFS rgn:Trop, height 500 to 500 mb 2008-09-01 thru 2008-10-06 (matche
- FIMretro-317 rgn:Trop, height 500 to 500 mb 2008-09-01 thru 2008-10-06

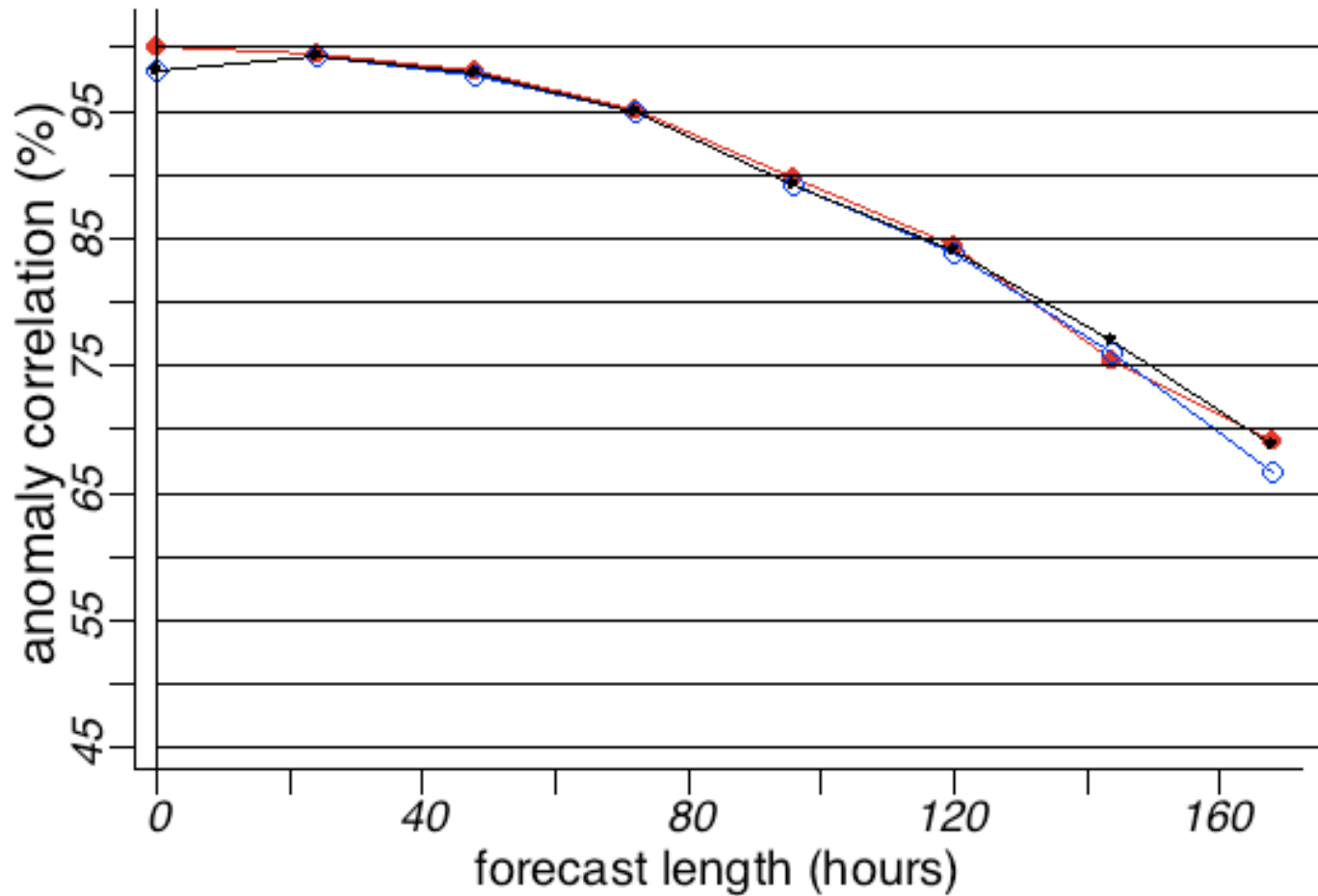


- GFS better than FIM
- Problem with heights in FIM initial conditions



- GFS better than FIM

- ↕ FIMTACC rgn:Glob, height 500 to 500 mb 2008-09-04 thru 2008-09-24 (ma
- ◇ FIM rgn:Glob, height 500 to 500 mb 2008-09-04 thru 2008-09-24 (matched
- GFS rgn:Glob, height 500 to 500 mb 2008-09-04 thru 2008-09-24 (matchec

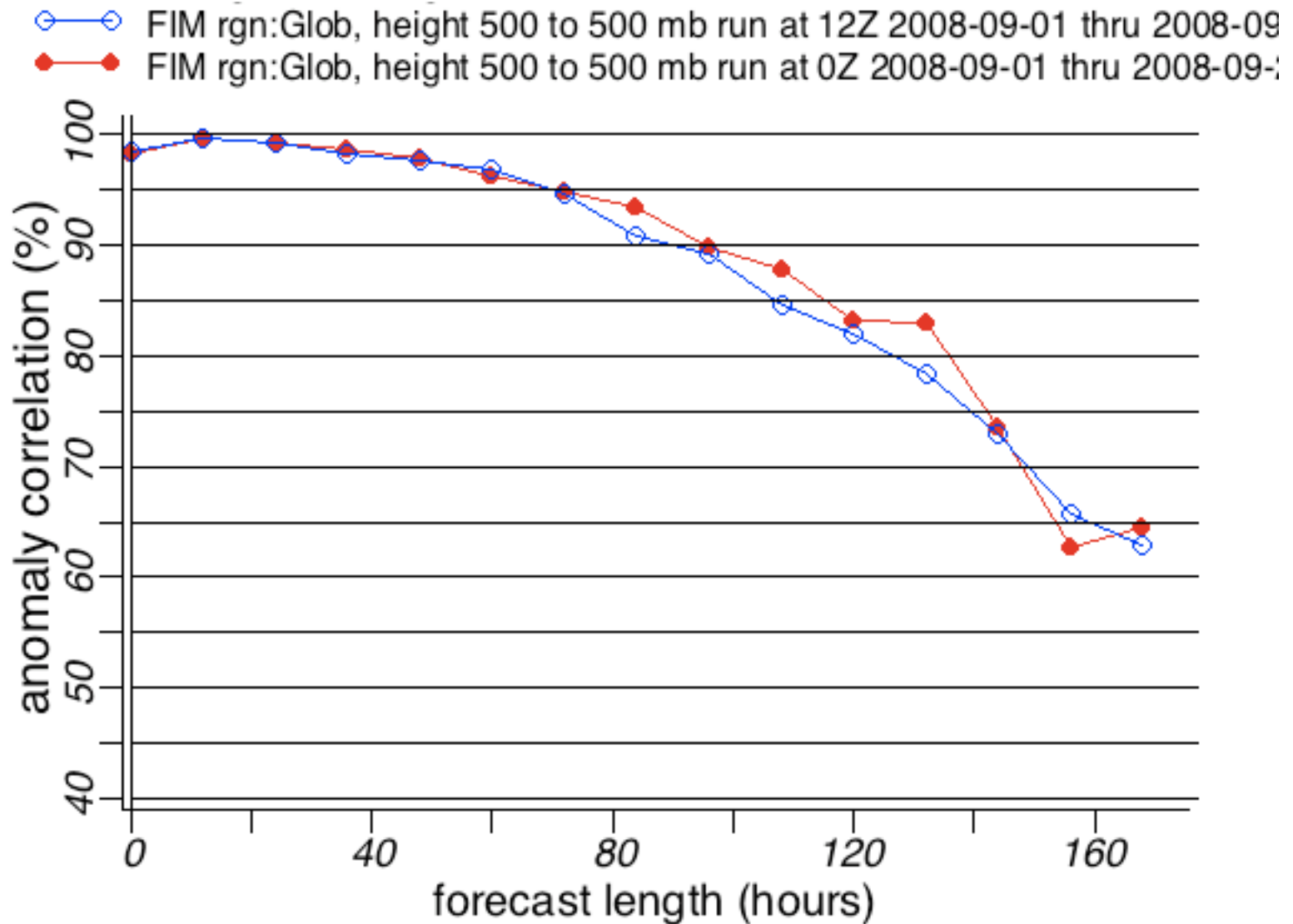


FIM9 (15km) slightly better than FIM8 (30km)  
FIM 00h grids degraded compared to GFS IC

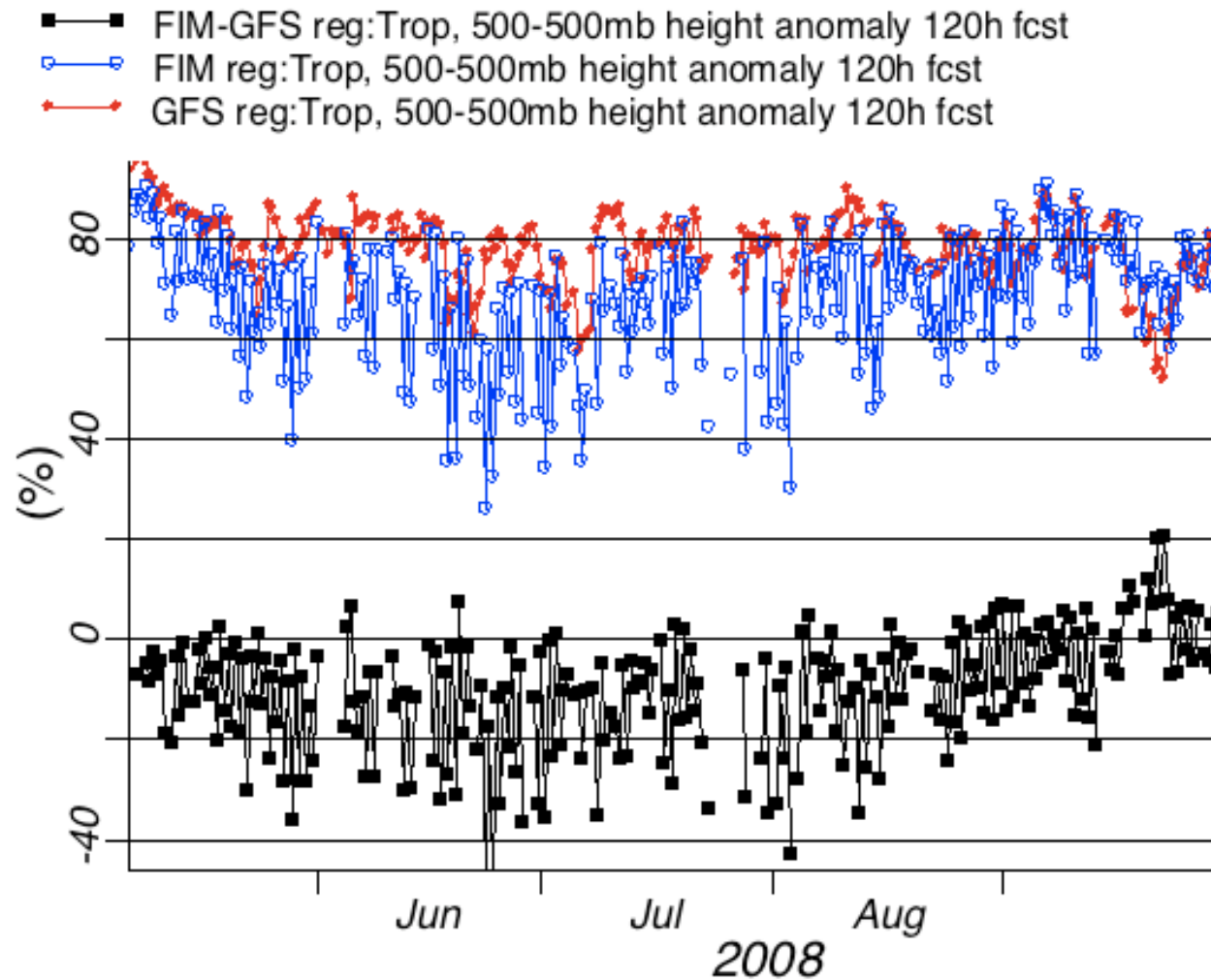


# Current issue

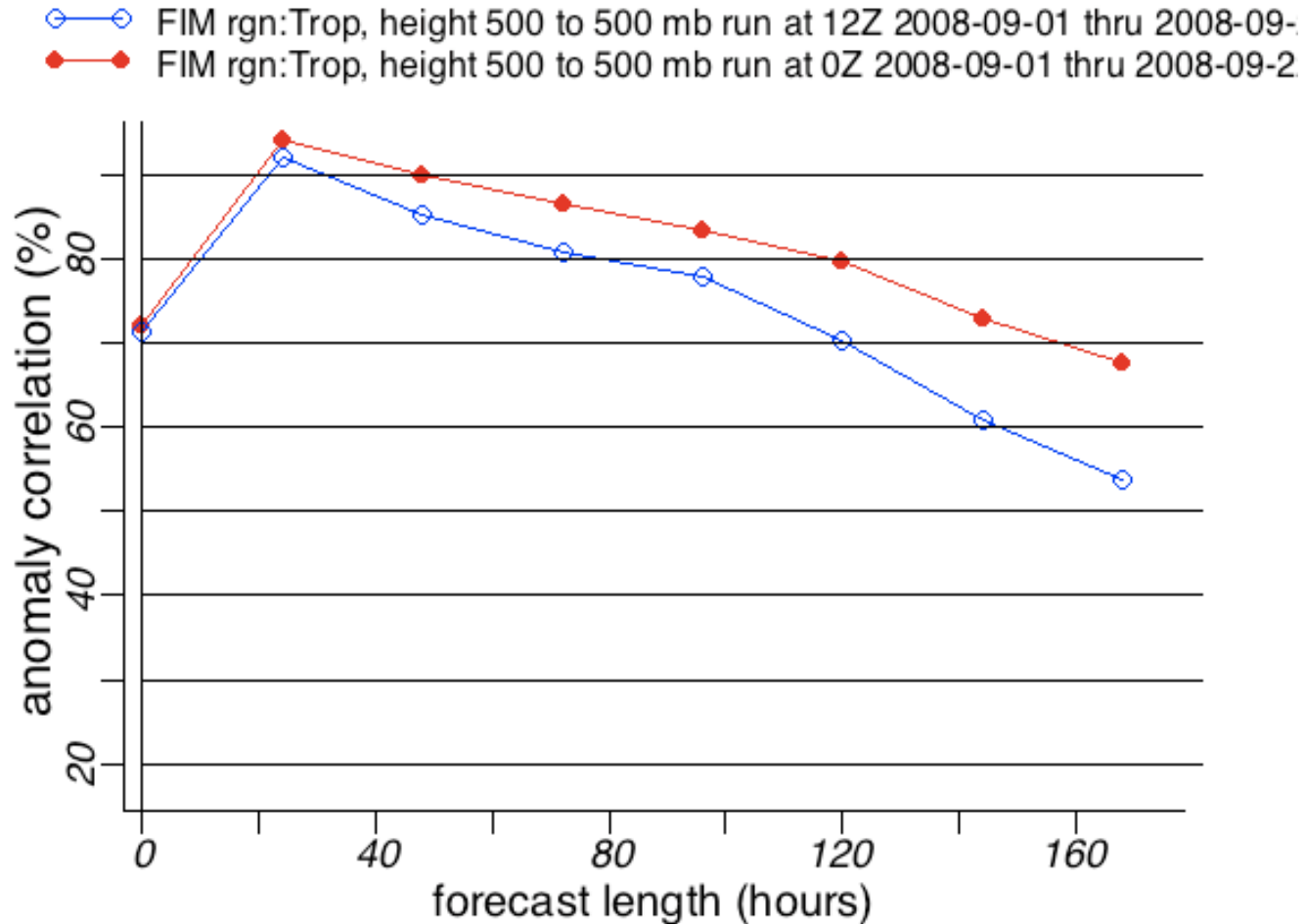
- Problem with FIM initial height fields
- More prominent at 12z than 00z



Generally better skill for FIM runs initialized at 00z than for those those initialized at 12z  
 [NOTE: Many fewer cases at verif times not divisible by 24]



- Tropics - FIM comparable to GFS in late September but not early Sept

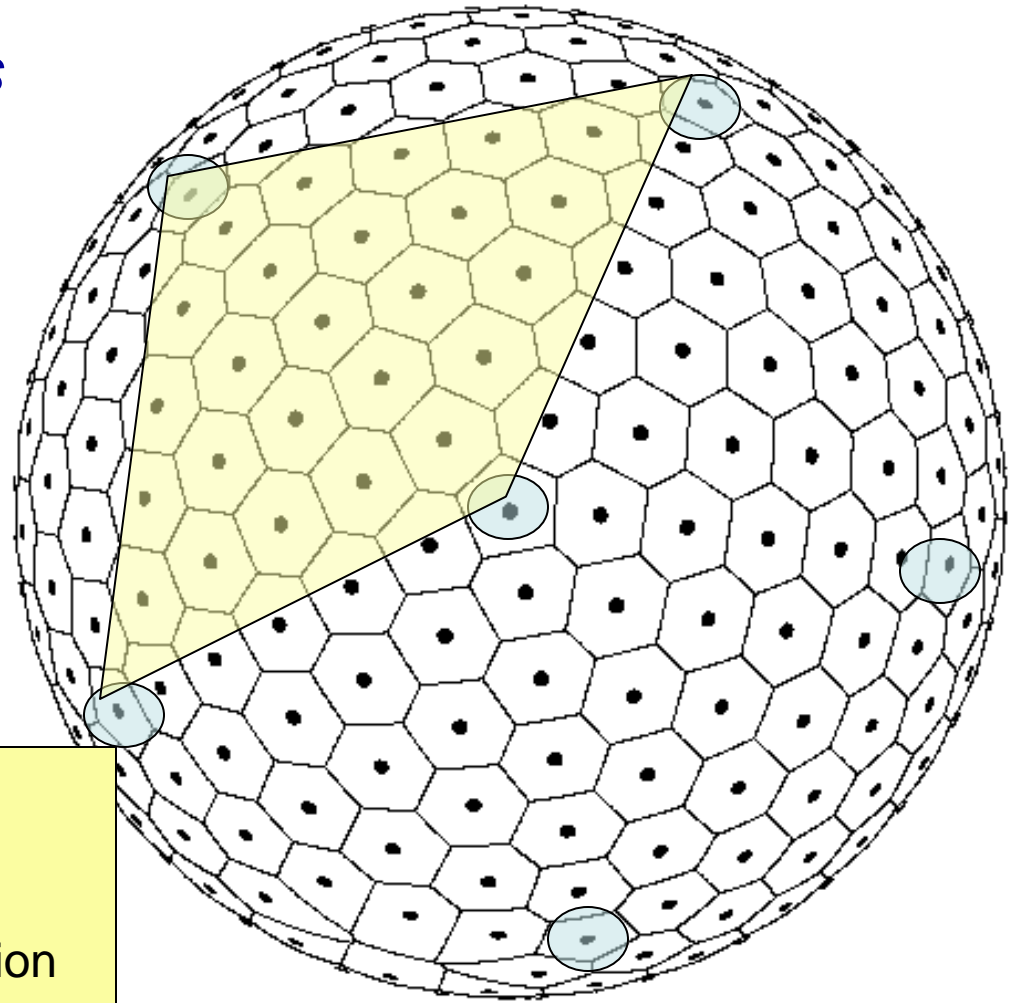


- Tropics - FIM 120h skill much poorer for 12z init than for 00z init
- FIM initial height problem also more prominent in tropics

## Icosahedral grid

- 6 rhombi covering sphere
- All point volumes - *hexagons* (except 12 points at rhombi corners - pentagons)
- Map-scale factor variation – 0.95
- Grid resolution example - level-5 icosahedral grid – 240km resolution)

## Icosahedral Geodesic Grid (362)



$$((2^{**n})^{**2}) * 10 + 2 = \text{no. cells}$$

5<sup>th</sup> level -

$(32^{**2}) * 10 + 2 = 10242$  ~240km resolution

6<sup>th</sup> level – 40962 cells ~120km resolution

7<sup>th</sup> level – 163842 cells ~60km resolution

8<sup>th</sup> level – 655,362 cells ~30km resolution

9<sup>th</sup> level – 2,621,442 cells ~15km resolution