OUTCOME-BASED QUALITY MONITORING REPORTS: TECHNICAL DOCUMENTATION OF MEASURES

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OUTCOME-BASED QUALITY MONITORING REPORTS: TECHNICAL DOCUMENTATION OF MEASURES

This document includes definitions of measures that appear in Outcome-Based Quality Monitoring Reports, including detailed specifications for calculating these measures based on OASIS assessment data. For a complete description of these reports, including how to interpret and use the information presented, refer to the *Outcome-Based Quality Monitoring User's Manual*, which is available from the Centers for Medicare & Medicaid Services (CMS) in three parts¹:

- 1. Quality Monitoring Using Case Mix and Adverse Event Outcome Reports;
- 2. Accessing Outcome-Based Quality Monitoring Reports;
- 3. APPENDIX: Guidelines for Reviewing Case Mix and Adverse Event Outcome Reports.

• Episodes of Care Included in Case Mix and Adverse Event Reports

The unit of analysis for both case mix and adverse event reports is an episode of care, defined as starting with an admission to home health care (M0100 Reason for assessment = 01 or 02) or resumption of home health care after an inpatient facility stay (M0100 Reason for assessment = 03), and ending with a discharge from home health care, including discharge due to death, or admission to inpatient facility for 24 hours or more (M0100 Reason for assessment = 06, 07, 08, or 09). The data upon which these reports are based consist of OASIS assessments submitted by home health agencies to the states, which are then transmitted to a national OASIS repository residing on a secure database server maintained by CMS. Analysis records corresponding to episodes of care are constructed by matching up assessments for each individual served by a home health agency, sorting those assessments by effective date, then pairing up assessments that mark the beginning and end of an episode of care. Episodes of care for which either the beginning or end assessment is missing, or for which assessments are out of sequence, will not be included in the reports.

Assumptions and Conventions

The following transformations result in measures with numeric values. Where a measure represents presence of an attribute or occurrence of an event, "1" indicates that the attribute is present or the event occurred, while "0" indicates the attribute is absent or the event did not occur. Where a measure represents a relative amount, degree, or severity of a patient characteristic or condition, a numeric scale value is assigned. The range of scale values for a particular measure is determined by the OASIS item(s) used to calculate that measure. A code of 'MISSING' indicates that the case is excluded from analysis for that particular measure, either because the measure is not applicable or because the data item on which that measure is based has been indicated as "unknown". In some circumstances, an entire case will be excluded from analysis because the data submitted do not meet the published data specifications for OASIS. This usually occurs only for data submitted prior to rigorous automated enforcement of data specifications (resulting in rejection of non-compliant data records) implemented in April of 2000.

¹ The three sections of the *Outcome-Based Quality Monitoring User's Manual* are available for download from the CMS web site at: http://www.cms.hhs.gov/oasis/obqm.asp.

OASIS data items are referred to in this documentation using field names specified in OASIS Data Submission Specifications published by CMS (Version 1.04 for pre-PPS submissions, Version 1.20-1.40 for post-PPS submissions). As field names are the same across assessment time points, presence of [2] at the end of a field name indicates that this data item is taken from the end point assessment for an episode. For example, M0640 CUR GROOMING refers to the 'Ability to Groom Oneself' item assessed at start or resumption of care. The field name M0100 ASSMT REASON[2] refers to the 'Reason for Assessment' item at discharge (including death) or transfer to inpatient facility. Although some data items are collected at only one of the two time points, the [2] convention is used for all items that refer to the end point assessment to avoid possible misinterpretation. Note that for all Case Mix measures except those related to length of stay, data from the beginning assessment only are used. Several adverse event outcome measures are calculated using data from the episode of care end point assessment only, while other adverse event outcome measures used data from both the beginning and end assessments.

CASE MIX TRANSFORMATION DOCUMENTATION

The transformation documentation below indicates, for each measure that appears in the Case Mix Profile, the name of the measure as it appears on the report², followed by a formula or logical expression indicating how the measure is calculated from specific OASIS data fields. Where a measure is coded for reporting exactly as it is coded for the corresponding item on the OASIS data record, the notation "[as originally coded]" appears.

```
Demographics
Age (average in years)
    M0090 INFO COMPLETED DT minus M0066 PAT BIRTH DT, rounded down to the
    nearest year.
Gender: Female (%)
    1 if M0069 PAT GENDER = 2;
    0 otherwise.
Race: Black (%)
    MISSING if M0140 ETHNIC UK = 1;
    otherwise M0140 ETHNIC_BLACK [as originally coded].
Race: White (%)
    MISSING if M0140 ETHNIC UK = 1;
    otherwise M0140 ETHNIC WHITE [as originally coded].
Race: Other (%)
    MISSING if M0140 ETHNIC UK = 1,
    Otherwise:
         1 if M0140 ETHNIC BLACK = 0 and M0140 ETHNIC WHITE = 0;
         0 otherwise.
Payment Source
All MISSING if M0150 CPAY UNKNOWN = 1,
Otherwise:
    Any Medicare (%)
         1 if (M0150 CPAY MCARE FFS = 1 or M0150 CPAY MCARE HMO = 1);
         0 otherwise.
    Any Medicaid (%)
         1 if (M0150 CPAY MCAID FFS = 1 or M0150 CPAY MCAID HMO = 1);
         0 otherwise.
    Any HMO (%)
         1 if M0150 CPAY MCARE HMO = 1 or M0150 CPAY MCAID HMO = 1 or
         M0150 CPAY PRIV HMO = 1;
         0 otherwise.
    Medicare HMO (%)
         1 if M0150 CPAY MCARE HMO = 1;
         0 otherwise.
```

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² Where the name of the measure is followed by "(%)", the measure is coded as zero or one, with zero representing absence and one indicating presence of the attribute in question. The percentage reported on the case mix report is the number of ones divided by the total number of valid cases, multiplied by 100. Where the name of the measure is followed by "(0-x, scale average)", x indicates the maximum valid scale value the measure can take on, and the number reported on the report is the mean numeric value of the measure across all cases with valid (non-missing) values.

```
Any third party (%)
         1 if (M0150 CPAY PRIV HMO = 1 or M0150 CPAY PRIV INS = 1);
         0 otherwise.
Current Residence
Own home (%)
     1 if M0300_CURR_RESIDENCE = 01;
     0 otherwise.
Family member home (%)
     1 if M0300 CURR RESIDENCE = 02;
    0 otherwise.
Current Living Situation
Lives alone (%)
    M0340 LIV ALONE [as originally coded]
With family member (%)
     1 if M0340 LIV SPOUSE = 1 or M0340 LIV OTH FAMILY = 1;
     0 otherwise.
With friend (%)
    M0340 LIV FRIEND [as originally coded]
With paid help (%)
    M0340 LIV PD HELP [as originally coded]
Assisting Persons
All MISSING if M0350_AP_UNKNOWN = 1
Otherwise:
    Person residing in home (%)
         M0350 AP HM RESIDENT [as originally coded]
    Person residing outside home (%)
         M0350_AP_REL_FRIEND [as originally coded]
    Paid help (%)
         M0350 AP PD HELP [as originally coded]
Primary Caregiver
All MISSING if M0360 PRIMARY CAREGIVER = UK or M0350 UNKNOWN = 1,
All 0 if M0350 AP NONE = 1,
Otherwise:
    Spouse/significant other (%)
         1 if M0360 PRIMARY CAREGIVER = 01;
         0 otherwise.
    Daughter/son (%)
         1 if M0360 PRIMARY CAREGIVER = 02;
         0 otherwise.
    Paid help (%)
         1 if M0360_PRIMARY_CAREGIVER = 05;
         0 otherwise.
    No one person (%)
         1 if M0360_PRIMARY_CAREGIVER = 00 or M0350_AP_NONE = 1;
         0 otherwise.
```

```
Primary Caregiver Assistance
```

```
Freq. of assistance (0-6, scale avg.)

MISSING if (M0360_PRIMARY_CAREGIVER = UK or M0350_AP_UNKNOWN = 1 or M0370_FREQ_PRM_ASSTANCE = UK);

Otherwise,

0 if M0360_PRIMARY_CAREGIVER = 00 or M0350_AP_NONE = 1;

1 if M0370_FREQ_PRM_ASSTANCE = 06;

2 if M0370_FREQ_PRM_ASSTANCE = 05;

3 if M0370_FREQ_PRM_ASSTANCE = 04;

4 if M0370_FREQ_PRM_ASSTANCE = 03;

5 if M0370_FREQ_PRM_ASSTANCE = 02;

6 if M0370_FREQ_PRM_ASSTANCE = 01
```

Inpatient Discharge within 14 Days of SOC

One of two different transformations applies, depending upon the OASIS version, and corresponding data submission specifications version.

```
OASIS-B1 10/98 -- Data Submission Specifications Version 1.04 and earlier From hospital (%)

M0170_DC_HOSP_14_DAYS [as originally coded]

From rehab facility (%)

M0170_DC_REHAB_14_DAYS [as originally coded]

From nursing home (%)

M0170_DC_NURS_HOME_14_DAYS [as originally coded]
```

OASIS-B1 08/2000 -- Data Submission Specifications Version 1.10 and later From hospital (%)

M0175 DC HOSP 14 DAYS [as originally coded]

From rehab facility (%)

M0175_DC_REHAB_14_DAYS [as originally coded]

From nursing home (%)

1 if M0175_DC_SNF_14_DAYS = 1 or M0175_DC_NH_14_DAYS = 1; 0 otherwise.

Med. Reg. Chg. w/in 14 Days of SOC

M0200_REG_CHG_14_DAYS [as originally coded]

Prognoses

Moderate recovery prognosis (%)

MISSING if M0260 OVERALL PROGNOSIS = UK,

Otherwise, M0260_OVERALL_PROGNOSIS [as originally coded]

Good rehab prognosis (%)

MISSING if M0270 REHAB PROGNOSIS = UK.

Otherwise, M0270 REHAB PROGNOSIS [as originally coded]

ADL Disabilities at SOC

Grooming (0-3, scale average)

M0640 CUR GROOMING [as originally coded]

Dress upper body (0-3, scale avg.)

M0650_CUR_DRESS_UPPER [as originally coded]

Dress lower body (0-3, scale avg.)

M0660 CUR DRESS LOWER [as originally coded]

Bathing (0-5, scale average)

M0670_CUR_BATHING [as originally coded]

Toileting (0-4, scale average)

M0680 CUR TOILETING [as originally coded]

Transferring (0-5, scale average)

M0690_CUR_TRANSFERRING [as originally coded]

Ambulation (0-5, scale average)

M0700 CUR AMBULATION [as originally coded]

Eating (0-5, scale average)

M0710 CUR FEEDING [as originally coded]

ADL Status Prior to SOC

Grooming (0-3, scale average)

MISSING if M0640_PR_GROOMING = UK,

Otherwise, M0640_PR_GROOMING [as originally coded]

Dress upper body (0-3, scale avg.)

MISSING if M0650_PR_DRESS_UPPER = UK,

Otherwise, M0650_PR_DRESS_UPPER [as originally coded]

Dress lower body (0-3, scale avg.)

MISSING if M0660_PR_DRESS_LOWER = UK,

Otherwise, M0660 PR DRESS LOWER [as originally coded]

Bathing (0-5, scale average)

MISSING if M0670 PR BATHING = UK,

Otherwise, M0670 PR BATHING [as originally coded]

Toileting (0-4, scale average)

MISSING if M0680 PR TOILETING = UK,

Otherwise, M0680_PR_TOILETING [as originally coded]

Transferring (0-5, scale average)

MISSING if M0690 PR TRANSFERRING = UK,

Otherwise, M0690 PR TRANSFERRING [as originally coded]

Ambulation (0-5, scale average)

MISSING if M0700 PR AMBULATION = UK,

Otherwise, M0700 PR AMBULATION [as originally coded]

Eating (0-5, scale average)

MISSING if M0710 PR FEEDING = UK

Otherwise, M0710 PR FEEDING [as originally coded]

IADL Disabilities at SOC

Light meal prep (0-2, scale avg.)

M0720_CUR_PREP_LT_MEALS [as originally coded]

Transportation (0-2, scale avg.)

M0730_CUR_TRANSPORTATION [as originally coded]

Laundry (0-2, scale average)

M0740 CUR LAUNDRY [as originally coded]

Housekeeping (0-4, scale avg.)

M0750_CUR_HOUSEKEEPING [as originally coded]

Shopping (0-3, scale average)

M0760_CUR_SHOPPING [as originally coded]

Phone use (0-5, scale average)

MISSING if M0770 CUR PHONE USE = NA,

Otherwise, M0770 CUR PHONE USE [as originally coded]

Mgmt. oral meds (0-2, scale avg.)

MISSING if M0780 CUR ORAL MEDS = NA,

Otherwise, M0780 CUR ORAL MEDS [as originally coded]

IADL Status Prior to SOC

Light meal prep (0-2, scale avg.)

MISSING if M0720 PR PREP LT MEALS = UK,

Otherwise, M0720 PR PREP LT MEALS [as originally coded]

Transportation (0-2, scale avg.)

MISSING if M0730 PR TRANSPORTATION = UK,

Otherwise, M0730_PR_TRANSPORTATION [as originally coded]

Laundry (0-2, scale average)

MISSING if M0740_PR_LAUNDRY = UK,

Otherwise, M0740 PR LAUNDRY [as originally coded]

Housekeeping (0-4, scale avg.)

MISSING if M0750 PR HOUSEKEEPING = UK,

Otherwise, M0750_PR_HOUSEKEEPING [as originally coded]

Shopping (0-3, scale average)

MISSING if M0760_PR_SHOPPING = UK,

Otherwise, M0760 PR SHOPPING [as originally coded]

Phone use (0-5, scale average)

MISSING if M0770 PR PHONE USE = NA, UK

Otherwise, M0770 PR PHONE USE [as originally coded]

Mgmt. oral meds (0-2, scale avg.)

MISSING if M0780 PR ORAL MEDS = NA. UK

Otherwise, M0780_PR_ORAL_MEDS [as originally coded]

Respiratory Status

Dyspnea (0-4, scale average)

M0490 WHEN DYSPNEIC [as originally coded]

Therapies Received at Home

IV/infusion therapy (%)

M0250_THH_IV_INFUSION [as originally coded]

Parenteral nutrition (%)

M0250 THH PAR NUTRITION [as originally coded]

Enteral nutrition (%)

M0250 THH ENT NUTRITION [as originally coded]

Sensory Status

Vision impairment (0-2, scale avg.)

M0390_VISION [as originally coded]

Hearing impair. (0-4, scale avg.)

M0400 HEARING [as originally coded]

Speech/language (0-5, scale avg.)

M0410 SPEECH [as originally coded]

```
Neuro/Emotional/Behavioral Status

Moderate cognitive disability (%)

1 if M0560_COG_FUNCTION = 02,03,04;
0 otherwise.

Severe confusion disability (%)

MISSING if M0570_WHEN_CONFUSED = NA,
Otherwise:

1 if M0570_WHEN_CONFUSED = 03,04;
0 otherwise.

Severe anxiety level (%)

MISSING if M0580_WHEN_ANXIOUS = NA,
Otherwise:

1 if M0580_WHEN_ANXIOUS = 02,03;
0 otherwise.

Behav probs > twice a week (%)

1 if M0620_BEH_PROB_FREQ = 04,05;
```

Integumentary Status

0 otherwise.

Presence of wound/lesion (%)

M0440 LESION OPEN WND [as originally coded]

Stasis ulcer(s) present (%)

M0468 STASIS ULCER [as originally coded]

Surgical wound(s) present (%)

M0482 SURG WOUND [as originally coded]

Pressure ulcer(s) present (%)

1 if M0450_NBR_PRSULC_STG1 > 0 or M0450_NBR_PRSULC_STG2 > 0 or M0450_NBR_PRSULC_STG3 > 0 or M0450_NBR_PRSULC_STG4 > 0; 0 otherwise.

Stage 2-4 ulcer(s) present (%)

1 if M0450_NBR_PRSULC_STG2 > 0 or M0450_NBR_PRSULC_STG3 > 0 or M0450_NBR_PRSULC_STG4 > 0;

0 otherwise.

Stage 3-4 ulcer(s) present (%)

1 if M0450_NBR_PRSULC_STG3 > 0 or M0450_NBR_PRSULC_STG4 > 0; 0 otherwise.

Elimination Status

UTI within past 14 days (%)

MISSING if M0510 UTI = NA, UK

Otherwise, M0510 UTI [as originally coded]

Urinary incont/catheter present (%)

1 if M0520 UR INCONT = 01,02;

```
Incontinent day and night (%)
     1 if M0530 UR INCONT OCCURS = 02;
     0 otherwise.
Urinary catheter (%)
     1 if M0520 UR INCONT = 02;
     0 otherwise.
Bowel incont. (0-5, scale avg.)
     MISSING if M0540_BWL_INCONT = NA, UK
     Otherwise, M0540_BWL_INCONT [as originally coded]
```

Acute Conditions

Some of these measures are complex transformations based on a combination of data items and select groups of ICD-9 codes. The following terms have been used throughout the section to simplify the explanations:

"Inpatient facility discharge" indicates that one of the following conditions applies:

```
OASIS-B1 10/98 -- Data Submission Specifications Version 1.04 and earlier
    M0170_DC_HOSP_14_DAYS = 1 or M0170_DC_REHAB_14_DAYS = 1, or
    M0170 DC NURS HOME 14 DAYS = 1
```

"Medical Regimen Change" indicates that: M0200_REG_CHG_14_DAYS = 1

[&]quot;Appropriate diagnosis codes" are as follows for the individual measures:

Measure	ICD-9 Codes (Values and ranges listed below include 4 or
	5 digit codes beginning with the specified three digits.)
Orthopedic (%)	170-171, 213, 274, 710-739, 781, 800-848, 885-887,
	895-897, 905, 927-928
Neurologic (%)	045-049, 191-192, 225, 320-326, 330-337, 340-359, 430-438,
	850-854, 907, 950-957
Open wounds/lesions (%)	707, 870-884, 890-894, 940-949
Cardiac/peripheral vascular (%)	391, 393-398, 401-405, 410-417, 420-429, 440-448, 451-455,
	456-459, 785
Pulmonary (%)	011-012, 160-165, 197, 212, 231, 460-466, 470-478,
	480-487, 490-496, 500-508, 510-519, 786, 860-862
Diabetes Mellitus (%)	250
Gastrointestinal disorder (%)	001-009, 127, 129, 150-154, 156, 159, 211, 230, 530-537,
	550-553, 555-558, 560-579, 787, 863
Contagious/communicable (%)	001-018, 020-027, 030-037, 039-057, 060-066, 070-088,
	090-104, 110-118, 120-136

```
Orthopedic (%)
```

1 if (inpatient facility discharge and appropriate diagnosis code entered in M0190 14 DAY INP1 ICD or M0190 14 DAY INP2 ICD) or (medical regimen change and appropriate diagnosis code entered in M0210 CHGREG ICD1 or M0210 CHGREG ICD2 or M0210 CHGREG ICD3 or M0210 CHGREG ICD4);

0 otherwise.

Neurologic (%)

1 if (inpatient facility discharge and appropriate diagnosis code entered in M0190 14 DAY INP1 ICD or M0190 14 DAY INP2 ICD) or (medical regimen change and appropriate diagnosis code entered in M0210 CHGREG ICD1 or M0210 CHGREG ICD2 or M0210 CHGREG ICD3 or M0210 CHGREG ICD4);

0 otherwise.

Open wounds/lesions (%)

1 if (inpatient facility discharge and appropriate diagnosis code entered in M0190 14 DAY INP1 ICD or M0190 14 DAY INP2 ICD) or (medical regimen change and appropriate diagnosis code entered in M0210 CHGREG ICD1 or M0210 CHGREG ICD2 or M0210 CHGREG ICD3 or M0210 CHGREG ICD4) or M0440 LESION OPEN WND = 1; 0 otherwise.

Terminal condition (%)

1 if M0280 LIFE EXPECTANCY = 01;

0 otherwise

Cardiac/peripheral vascular (%)

1 if (inpatient facility discharge and appropriate diagnosis code entered in M0190 14 DAY INP1 ICD or M0190 14 DAY INP2 ICD) or (medical regimen change and appropriate diagnosis code entered in M0210 CHGREG ICD1 or M0210 CHGREG ICD2 or M0210 CHGREG ICD3 or M0210 CHGREG ICD4);

0 otherwise.

Pulmonary (%)

1 if (inpatient facility discharge and appropriate diagnosis code entered in M0190_14_DAY_INP1_ICD or M0190_14_DAY_INP2_ICD) or (medical regimen change and appropriate diagnosis code entered in M0210 CHGREG ICD1 or M0210 CHGREG ICD2 or M0210 CHGREG ICD3 or M0210 CHGREG ICD4);

0 otherwise.

Diabetes mellitus (%)

1 if (inpatient facility discharge and appropriate diagnosis code entered in M0190 14 DAY INP1 ICD or M0190 14 DAY INP2 ICD) or (medical regimen change and appropriate diagnosis code entered in M0210_CHGREG_ICD1 or M0210_CHGREG_ICD2 or M0210_CHGREG_ICD3 or M0210 CHGREG ICD4);

```
Gastrointestinal disorder (%)
```

1 if (inpatient facility discharge and appropriate diagnosis code entered in M0190 14 DAY INP1 ICD or M0190 14 DAY INP2 ICD) or (medical regimen change and appropriate diagnosis code entered in M0210 CHGREG ICD1 or M0210 CHGREG ICD2 or M0210 CHGREG ICD3 or M0210 CHGREG ICD4) or M0550 OSTOMY = 01; 0 otherwise.

Contagious/communicable (%)

1 if (inpatient facility discharge and appropriate diagnosis code entered in M0190 14 DAY INP1 ICD or M0190 14 DAY INP2 ICD) or (medical regimen change and appropriate diagnosis code entered in M0210 CHGREG ICD1 or M0210 CHGREG ICD2 or M0210 CHGREG ICD3 or M0210 CHGREG ICD4);

0 otherwise.

Urinary incont./catheter (%)

1 if (inpatient facility discharge or medical regimen change) and (M0520 UR INCONT = 01,02) and (M0220 PRIOR UR INCON \neq 1 and M0220 PRIOR CATH \neq 1);

0 otherwise.

Mental/emotional (%)

M0630_REC_PSYCH_NURS [as originally coded]

Oxygen therapy (%)

M0500 RESPTX OXYGEN [as originally coded]

IV/infusion therapy (%)

M0250 THH IV INFUSION [as originally coded]

Enteral/parenteral nutrition (%)

M0250 THH PAR NUTRITION [as originally coded]

M0500_RESPTX_VENTILATOR [as originally coded]

Chronic Conditions

These measures are complex transformations based on a series of OASIS data items and, as with the "Acute Conditions", the following terms have been used throughout the section to simplify the explanations.

"Inpatient facility discharge" indicates that one of the following conditions applies:

```
OASIS-B1 10/98 -- Data Submission Specifications Version 1.04 and earlier
    M0170 DC HOSP 14 DAYS = 1 or M0170 DC REHAB 14 DAYS = 1, or
    M0170 DC NURS HOME 14 DAYS = 1
```

OASIS-B1 08/2000 -- Data Submission Specifications Version 1.10 and later M0175_DC_HOSP_14_DAYS = 1, or M0175_DC REHAB 14 DAYS = 1, or M0175 DC SNF 14 DAYS = 1, or M0175 DC NH 14 DAYS = 1

"Medical Regimen Change" indicates that: M0200 REG CHG 14 DAYS = 1 Dependence in living skills (%) 1 if ((inpatient facility discharge or medical regimen change) and M0720 PR PREP LT MEALS = 01,02 and any of the following combinations: (M0730_PR_TRANSPORTATION = 01,02 and (M0740_PR_LAUNDRY = 01,02 or M0750 PR HOUSEKEEPING = 03.04 or M0760 PR SHOPPING = 02.03 or M0770 PR PHONE USE = 04,05)) or (M0740 PR LAUNDRY = 01,02 and (M0750 PR HOUSEKEEPING = 03,04 or M0760 PR SHOPPING = 02,03 or M0770 PR PHONE USE = 04,05)) or (M0750 PR HOUSEKEEPING = 03,04 and (M0760 PR SHOPPING = 02,03 or M0770 PR PHONE USE = 04,05)) or (M0760 PR SHOPPING = 02,03 and M0770 PR PHONE USE = 04,05)) or (no inpatient facility discharge and no medical regimen change and M0720 CUR PREP LT MEALS = 01,02 and any of the following combinations: (M0730 CUR TRANSPORTATION = 01,02 and (M0740 CUR LAUNDRY = 01,02 or M0750 CUR HOUSEKEEPING = 03,04 or M0760 CUR SHOPPING = 02.03 or M0770 CUR PHONE USE = 04.05)) or (M0740 CUR LAUNDRY = 01,02 and (M0750 CUR HOUSEKEEPING = 03,04 or M0760 CUR SHOPPING = 02,03 or M0770 CUR PHONE USE = 04,05)) or (M0750 CUR HOUSEKEEPING = 03,04 and (M0760 CUR SHOPPING = 02,03 or M0770 CUR PHONE USE = 04,05)) or (M0760 CUR SHOPPING = 02,03 and M0770 CUR PHONE USE = 04,05)); 0 otherwise. Dependence in personal care (%) 1 if (inpatient facility discharge or medical regimen change) and (M0670 PR BATHING = 03,04,05 or (M0640 PR GROOMING = 02,03 and (M0650 PR DRESS UPPER = 02,03 or M0660 PR DRESS LOWER = 02,03))) or (no inpatient facility discharge and no medical regimen change and (M0670 CUR BATHING = 03,04,05 or (M0640 CUR GROOMING = 02,03 and (M0650 CUR DRESS UPPER = 02,03 or M0660 CUR DRESS LOWER = 02,03))), 0 otherwise. Impaired ambulation/mobility (%) 1 if ((inpatient facility discharge or medical regimen change) and (M0680 PR TOILETING = 03.04 or M0690 PR TRANSFERRING = 02.03.04.05 or M0700 PR AMBULATION = 02.03.04.05)) or ((no inpatient facility discharge and no medical regimen change) and (M0680 CUR TOILETING = 03,04 or

M0690 CUR TRANSFERRING = 02,03,04,05 or M0700 CUR AMBULATION = 02,03,04,05);

```
Eating disability (%)
     1 if ((inpatient facility discharge or medical regimen change) and
          M0710 PR FEEDING = 02,03,04,05) or
          (no inpatient facility discharge and no medical regimen change and
          M0710 CUR FEEDING = 02,03,04,05);
     0 otherwise.
Urinary incontinence/catheter (%)
     1 if ((inpatient facility discharge or medical regimen change) and
          M0520 UR INCONT = 01,02 and
          (M0220 PRIOR UR INCON = 1 or M0220 PRIOR CATH = 1)) or
          (no inpatient facility discharge and no medical regimen change and
          M0520 UR INCONT = 01,02);
     0 otherwise.
Dependence in med. admin. (%)
     1 ((if inpatient facility discharge or medical regimen change) and
          (M0780 PR ORAL MEDS = 01,02 or M0790 PR INHAL MEDS = 01,02 or
          M0800 PR INJECT MEDS = 01,02)) or
          (no inpatient facility discharge and no medical regimen change and
          (M0780 CUR ORAL MEDS = 01,02 or M0790 CUR INHAL MEDS = 01,02 or
          M0800 CUR INJECT MEDS = 01,02));
     0 otherwise.
Chronic pain (%)
     1 if ((inpatient facility discharge or medical regimen change) and
          (M0430 INTRACT PAIN = 1 and M0220 PRIOR INTRACT PAIN = 1), or
          (no inpatient facility discharge and no medical regimen change and
          M0430 INTRACT PAIN = 1);
     0 otherwise.
Cognitive/mental/behavioral (%)
     1 if ((inpatient facility discharge or medical regimen change) and
          (M0220 PRIOR IMPR DECSN = 1 or M0220 PRIOR DISRUPTIVE = 1 or
          M0220 PRIOR MEM LOSS = 1 or M0610 BD MEM DEFICIT = 1 or
          M0610 BD IMP DECISN = 1 or M0610 BD VERBAL = 1 or
          M0610 BD PHYSICAL = 1 or M0610 BD SOC INAPPRO = 1 or
          M0610 BD DELUSIONS = 1)) or
          ((no inpatient facility discharge and no medical regimen change) and
          (M0610 BD MEM DEFICIT = 1 or M0610 BD IMP DECISN = 1 or
          M0610 BD VERBAL = 1 or M0610 BD PHYSICAL = 1 or
          M0610 BD SOC INAPPRO = 1 or M0610 BD DELUSIONS = 1));
     0 otherwise.
Chronic pt. with caregiver (%)
     1 if M0350_AP_HM_RESIDENT = 1 and any of the other eight chronic conditions have
          values of 1:
     0 otherwise.
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Diagnoses For Which Patients Are Receiving Home Care

This series of data items is based on the six OASIS 'home care diagnosis' data items and their corresponding severity ratings. Note that the values and ranges of ICD-9 codes listed below are inclusive of 4 or 5 digit codes beginning with the specified three digits. The data items are as follows:

Home Care Diagnosis Code	Corresponding Severity Rating
M0230_PRIMARY_DIAG_ICD	M0230_PRIMARY_DIAG_SEVERITY
M0240_OTH_DIAG1_ICD	M0240_OTH_DIAG1_SEVERITY
M0240_OTH_DIAG2_ICD	M0240_OTH_DIAG2_SEVERITY
M0240_OTH_DIAG3_ICD	M0240_OTH_DIAG3_SEVERITY
M0240_OTH_DIAG4_ICD	M0240_OTH_DIAG4_SEVERITY
M0240_OTH_DIAG5_ICD	M0240_OTH_DIAG5_SEVERITY

Infectious/parasitic diseases (%)

1 if any home care diagnosis code is in range 001-139 and the corresponding severity rating is >=1;

0 otherwise.

Neoplasms (%)

1 if any home care diagnosis code is in range 140-239 and the corresponding severity rating is >=1:

0 otherwise.

Endocrine/nutrit./metabolic (%)

1 if any home care diagnosis code is in range 240-279 and the corresponding severity rating is >=1;

0 otherwise.

Blood diseases (%)

1 if any home care diagnosis code is in range 280-289 and the corresponding severity rating is >=1:

0 otherwise.

Mental diseases (%)

1 if any home care diagnosis code is in range 290-319 and the corresponding severity rating is >=1;

0 otherwise.

Nervous system diseases (%)

1 if any home care diagnosis code is in range 320-389 and the corresponding severity rating is >=1;

0 otherwise.

Circulatory system diseases (%)

1 if any home care diagnosis code is in range 390-459 and the corresponding severity rating is >=1:

0 otherwise.

Respiratory system diseases (%)

1 if any home care diagnosis code is in range 460-519 and the corresponding severity rating is >=1;

0 otherwise.

Digestive system diseases (%)

1 if any home care diagnosis code is in range 520-579 and the corresponding severity rating is >=1:

Genitourinary sys. diseases (%)

1 if any home care diagnosis code is in range 580-629 and the corresponding severity rating is >=1;

0 otherwise.

Pregnancy problems (%)

1 if any home care diagnosis code is in range 630-676 and the corresponding severity rating is >=1;

0 otherwise.

Skin/subcutaneous diseases (%)

1 if any home care diagnosis code is in range 680-709 and the corresponding severity rating is >=1:

0 otherwise.

Musculoskeletal sys. diseases (%)

1 if any home care diagnosis code is in range 710-739 and the corresponding severity rating is >=1;

0 otherwise.

Congenital anomalies (%)

1 if any home care diagnosis code is in range 740-759 and the corresponding severity rating is >=1:

0 otherwise.

III-defined conditions (%)

1 if any home care diagnosis code is in range 780-799 and the corresponding severity rating is >=1;

0 otherwise.

Fractures (%)

1 if any home care diagnosis code is in range 800-848 and the corresponding severity rating is >=1;

0 otherwise.

Intracranial injury (%)

1 if any home care diagnosis code is in range 850-854 and the corresponding severity rating is >=1;

0 otherwise.

Other injury (%)

1 if any home care diagnosis code is in range 860-959 and the corresponding severity rating is >=1;

0 otherwise.

latrogenic conditions (%)

1 if any home care diagnosis code is in range 960-999 and the corresponding severity rating is >=1;

0 otherwise.

Length of Stay

LOS until discharge (avg. in days)

For episodes beginning with SOC assessment:

M0906_DC_TRAN_DTH_DT[2] minus M0030_START_CARE_DT, rounded to days plus 1

For episodes beginning with ROC assessment:

M0906_DC_TRAN_DTH_DT[2] minus M0032_ROC DT, rounded to days plus 1

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LOS from 1 to 31 days (%)
     1 if LOS until discharge <= 31;
     0 otherwise.
LOS from 32 to 62 days (%)
     1 if LOS until discharge >= 32 and <= 62;
     0 otherwise.
LOS from 63 to 124 days (%)
     1 if LOS until discharge >= 63 and <= 124;
     0 otherwise.
LOS more than 124 days (%)
     1 if LOS until discharge > 124;
     0 otherwise.
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ADVERSE EVENT OUTCOME TRANSFORMATION DOCUMENTATION

Thirteen adverse event outcome measures appear on the Adverse Event Outcome Report:

Emergent Care for Injury Caused by Fall or Accident at Home

Emergent Care for Wound Infections, Deteriorating Wound Status

Emergent Care for Improper Medication Administration, Medication Side Effects

Emergent Care for Hypo/Hyperglycemia

Development of Urinary Tract Infection

Increase in Number of Pressure Ulcers

Substantial Decline in 3 or More Activities of Daily Living

Substantial Decline in Management of Oral Medications

Unexpected Nursing Home Admission

Discharged to the Community Needing Wound Care or Medication Assistance

Discharged to the Community Needing Toileting Assistance

Discharged to the Community with Behavioral Problems

Unexpected Death

The transformation documentation below indicates, for each measure that appears in the Adverse Event Outcome Report, the name of the measure as it appears on the report, followed by a formula or logical expression indicating how the measure is calculated from specific OASIS data fields.

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Emergent Care for Injury Caused by Fall or Accident at Home
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1 if M0100 ASSMT REASON[2] = 06,07,09 and M0840 ECR INJURY[2] = 1;
0 if M0100 ASSMT REASON[2] = 06,07,09 and M0830 EC UNKNOWN[2] = 0 and
     (M0830 EC NONE[2] = 1 \text{ or } M0840 ECR UNKNOWN[2] = 0);
MISSING Otherwise.
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Emergent Care for Wound Infections, Deteriorating Wound Status
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1 if M0100 ASSMT REASON[2] = 06,07,09 and M0840 ECR WOUND[2] = 1;
0 if M0100 ASSMT REASON[2] = 06,07,09 and M0830 EC UNKNOWN[2] = 0 and
    (M0830 EC NONE[2] = 1 \text{ or } M0840 ECR UNKNOWN[2] = 0);
MISSING Otherwise.
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Emergent Care for Improper Medication Administration, Medication Side Effects

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1 if M0100 ASSMT REASON[2] = 06,07,09 and M0840 ECR MEDICATION[2] = 1;
0 if M0100 ASSMT REASON[2] = 06,07,09 and M0830 EC UNKNOWN[2] = 0 and
    (M0830 EC NONE[2] = 1 or M0840 ECR UNKNOWN[2] = 0);
MISSING Otherwise.
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Emergent Care for Hypo/Hyperglycemia
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1 if M0100 ASSMT REASON[2] = 06.07.09 and M0840 ECR HYPOGLYC[2] = 1:
0 if M0100 ASSMT REASON[2] = 06,07,09 and M0830 EC UNKNOWN[2] = 0 and
    (M0830 EC NONE[2] = 1 \text{ or } M0840 ECR UNKNOWN[2] = 0);
MISSING Otherwise.
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Development of Urinary Tract Infection
    1 if M0100 ASSMT REASON[2] = 09 and M0510 UTI = 00 AND M0510 UTI[2] = 01;
    0 if M0100 ASSMT REASON[2] = 09 and M0510 UTI = 00 AND M0510 UTI[2] = 00;
    MISSING Otherwise.
Increase in Number of Pressure Ulcers
    1 if PRESSURE1 < 16 and
         PRESSURE2 > PRESSURE1:
    0 if M0100 ASSMT REASON[2] = 09 and PRESSURE1 < 16, and
         PRESSURE2 <= PRESSURE1:
    MISSING Otherwise.
    This measure requires the computation of two interim measures. PRESSURE1
    represents the number of stageable pressure ulcers at SOC/ROC while PRESSURE2
    represents the number of stageable pressure ulcers at DC.
    PRESSURE1
         M0450_NBR_PRSULC_STG1 + M0450_NBR_PRSULC_STG2 +
         M0450 NBR PRSULC STG3 + M0450 NBR PRSULC STG4
    if M0100 ASSMT REASON[2] = 09 and M0440_LESION_OPEN_WND = 1 and
         M0445 PRESS ULCER = 1;
    0 if M0100 ASSMT REASON[2] = 09 and (M0440 LESION OPEN WND = 0 or
         M0445 PRESS ULCER = 0):
    MISSING otherwise.
    PRESSURE2
         M0450 NBR PRSULC STG1[2] + M0450 NBR PRSULC STG2[2] +
         M0450 NBR PRSULC STG3[2] + M0450 NBR PRSULC STG4[2]
    if M0100 ASSMT REASON[2] = 09 and M0440 LESION OPEN WND[2] = 1 and
         M0445 PRESS ULCER[2] = 1;
    0 if M0100 ASSMT REASON[2] = 09 and (M0440 LESION OPEN WND[2] = 0 or
         M0445 PRESS ULCER[2] = 0);
    MISSING otherwise.
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Substantial Decline in 3 or more Activities of Daily Living 1 if M0280 LIFE EXPECTANCY = 00 and TOTAL >= 3; 0 if M0280 LIFE EXPECTANCY = 00 and TOTAL < 3; MISSING Otherwise.

This measure also requires the computation of interim measures. DECLINE1 through DECLINE5 represent a decline by two or more points in grooming ability, bathing ability, toileting ability, transferring ability, and ability to ambulate, respectively. If a patient has non-missing data for three or more of the DECLINE measures, TOTAL represents the sum of the DECLINE measures, otherwise TOTAL is missing and the adverse event measure is missing.

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DECLINE1
1 if M0100 ASSMT REASON[2] = 09 and
    ((M0640 CUR GROOMING = 00 and M0640 CUR GROOMING[2] = 02,03) or
    (M0640 CUR GROOMING = 01 and M0640 CUR GROOMING[2] = 03));
0 if M0100 ASSMT REASON[2] = 09 and
    ((M0640 CUR GROOMING = 00 and M0640 CUR GROOMING[2] = 00,01) or
    (M0640 CUR GROOMING = 01 and M0640 CUR GROOMING[2] = 00,01,02));
MISSING Otherwise.
DECLINE2
1 if M0100 ASSMT REASON[2] = 09 and
    ((M0670 CUR BATHING = 00 and M0670 CUR BATHING[2] = 02,03,04,05) or
    (M0670 CUR BATHING = 01 and M0670 CUR BATHING[2] = 03,04,05) or
    (M0670 CUR BATHING = 02 and M0670 CUR BATHING[2] = 04,05) or
    (M0670 CUR BATHING = 03 and M0670 CUR_BATHING[2] = 05));
0 if M0100 ASSMT REASON[2] = 09 and
    ((M0670 CUR BATHING = 00 and M0670 CUR BATHING[2] = 00,01) or
    (M0670 CUR BATHING = 01 and M0670 CUR BATHING[2] = 00,01,02) or
    (M0670 CUR BATHING = 02 and M0670 CUR BATHING[2] = 00.01.02.03) or
    (M0670_CUR_BATHING = 03 and M0670_CUR_BATHING[2] = 00,01,02,03 or 04));
MISSING Otherwise.
DECLINE3
1 if M0100 ASSMT REASON[2] = 09 and
    (M0680 CUR TOILETING = 00 and M0680 CUR TOILETING[2] = 02,03,04) or
    (M0680 CUR TOILETING = 01 and M0680_CUR_TOILETING[2] = 03,04) or
    (M0680 CUR TOILETING = 02 and M0680 CUR TOILETING[2] = 04);
0 if M0100_ASSMT_REASON[2] = 09 and
    (M0680 CUR TOILETING = 00 and M0680 CUR TOILETING[2] = 00.01) or
    (M0680 CUR TOILETING = 01 and M0680 CUR TOILETING[2] = 00,01,02) or
    (M0680 CUR TOILETING = 02 and M0680 CUR TOILETING[2] = 00,01,02,03);
MISSING Otherwise.
DECLINE4
1 if M0100 ASSMT REASON[2] = 09 and
    ((M0690 CUR TRANSFERRING = 00 and
    M0690 CUR TRANSFERRING[2] = 02,03,04,05) or
    (M0690 CUR TRANSFERRING = 01 and
    M0690\_CUR\_TRANSFERRING[2] = 03,04,05) or
    (M0690 CUR TRANSFERRING = 02 and
    M0690 CUR TRANSFERRING[2] = 04,05) or
    (M0690 CUR TRANSFERRING = 03 and M0690 CUR TRANSFERRING[2] = 05));
0 if M0100 ASSMT REASON[2] = 09 and
    ((M0690_CUR_TRANSFERRING = 00 and
    M0690 CUR TRANSFERRING[2] = 00,01) or
    (M0690 CUR TRANSFERRING = 01 and
    M0690 CUR TRANSFERRING[2] = 00,01,02) or
    (M0690 CUR TRANSFERRING = 02 and
    M0690 CUR TRANSFERRING[2] = 00,01,02,03) or
    (M0690 CUR TRANSFERRING = 03 and
    M0690 CUR TRANSFERRING[2] = 00,01,02,03,04);
MISSING Otherwise.
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DECLINE5
    1 if M0100 ASSMT REASON[2] = 09 and
         ((M0700 CUR AMBULATION = 00 and
         M0700 CUR AMBULATION[2] = 02,03,04,05) or
         (M0700 CUR AMBULATION = 01 and
         M0700 CUR AMBULATION[2] = 03,04,05) or
         (M0700 CUR AMBULATION = 02 and M0700 CUR AMBULATION[2] = 04,05) or
         (M0700 CUR AMBULATION = 03 and M0700 CUR AMBULATION[2] = 05));
    0 if M0100 ASSMT REASON[2] = 09 and
         (M0700 CUR AMBULATION = 00 and M0700 CUR AMBULATION[2] = 00,01) or
         (M0700 CUR AMBULATION = 01 and
         M0700 CUR AMBULATION[2] = 00,01,02) or
         (M0700 CUR AMBULATION = 02 and
         M0700_{CUR}^{-}AMBULATION[2] = 00,01,02,03) or
         (M0700 CUR AMBULATION = 03 and
         M0700 CUR AMBULATION[2] = 00,01,02,03,04);
    MISSING Otherwise.
    TOTAL
         DECLINE1 + DECLINE2 + DECLINE3 + DECLINE4 + DECLINE5
    if three or more of the DECLINE measures have non-missing data.
    MISSING Otherwise.
Substantial Decline in Management of Oral Medications
    1 if M0100 ASSMT REASON[2] = 09 and M0780 CUR ORAL MEDS = 00 and
         M0780 CUR ORAL MEDS[2] = 02:
    0 if M0100 ASSMT REASON[2] = 09 and M0780 CUR ORAL MEDS = 00 and
         M0780 CUR ORAL MEDS[2] = 00,01;
    MISSING Otherwise.
Unexpected Nursing Home Admission
    1 if M0270 REHAB PROGNOSIS = 01 and (NURSING1 = 1 or NURSING2 = 1 or
         NURSING3 = 1 \text{ or } NURSING4 = 1);
    0 if M0270 REHAB PROGNOSIS = 01 and
         ((NURSING1 = 0 and NURSING2 = 0 and NURSING3 = 0 and NURSING4 = 0) or
         M0100 ASSMT REASON[2] = 09);
    MISSING Otherwise.
    This measure requires the computation of interim measures, NURSING1 through
    NURSING4. defined as follows:
    NURSING1
    MISSING if (M0100 ASSMT REASON[2] not = 06 or 07) or M0900 NH UNKNOWN = 1;
    0 if M0855 INPAT FACILITY[2] = 01,02,04 or M0900 NH HOSPICE[2] = 0;
    1 if M0900 NH HOSPICE[2] = 1.
    NURSING2
    MISSING if (M0100 ASSMT REASON[2] not = 06 or 07) or M0900 NH UNKNOWN = 1;
    0 if M0855_INPAT_FACILITY[2] = 01,02,04 or M0900_PERMANENT[2] = 0;
    1 if M0900 NH PERMANENT[2] = 1.
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NURSING3
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MISSING if (M0100_ASSMT_REASON[2] not = 06 or 07) or M0900_NH_UNKNOWN = 1; 0 if M0855_INPAT_FACILITY[2] = 01,02,04 or M0900_NH_UNSAFE_HOME[2] = 0; 1 if M0900_NH_UNSAFE_HOME[2] = 1.

NURSING4

MISSING if (M0100_ASSMT_REASON[2] not = 06 or 07) or M0900_NH_UNKNOWN = 1; 0 if M0855_INPAT_FACILITY[2] = 01,02,04 or M0900_NH_OTHER[2] = 0; 1 if M0900_NH_OTHER[2] = 1.

Discharged to the Community Needing Wound Care or Medication Assistance

1 if M0100_ASSMT_REASON[2] = 09 and M0870_DSCHG_DISP[2] = 01 and

M0300_CURR_RESIDENCE[2] = 01,02,03,05 and

M0350_AP_HM_RESIDENT[2] = 0 and M0350_AP_PD_HELP[2] = 0 and

M0570_WHEN_CONFUSED[2] = 03,04,NA and

(M0460_PRBLM_ULCER[2] = 03,04 or M0488_STAT_PRB_SURGWND[2] = 03 or

M0780_CUR_ORAL_MEDS[2] = 02);

0 if M0100_ASSMT_REASON[2] = 09 and M0870_DSCHG_DISP[2] = 01 and

(M0300_CURR_RESIDENCE[2] = 04 or

M0350_AP_HM_RESIDENT[2] = 1 or M0350_AP_PD_HELP[2] = 1 or

M0570_WHEN_CONFUSED[2] = 00,01,02 or

(M0460_PRBLM_ULCER[2] = 01,02 and

M0488_STAT_PRB_SURGWND[2] = 01,02 and

M0780_CUR_ORAL_MEDS[2] = 00,01));

MISSING Otherwise.

Discharged to the Community Needing Toileting Assistance

1 if M0100_ASSMT_REASON[2] = 09 and M0870_DSCHG_DISP[2] = 01 and M0350_AP_HM_RESIDENT[2] = 0 and M0350_AP_PD_HELP[2] = 0 and (M0700_CUR_AMBULATION[2] = 03,04,05 and M0680_CUR_TOILETING[2] = 04); 0 if M0100_ASSMT_REASON[2] = 09 and M0870_DSCHG_DISP[2] = 01 and (M0350_AP_HM_RESIDENT[2] = 1 or M0350_AP_PD_HELP[2] = 1 or (M0700_CUR_AMBULATION[2] = 00,01,02 and M0680_CUR_TOILETING[2] = 00,01,02,03)); MISSING Otherwise.

Discharged to the Community with Behavioral Problems

1 if M0100_ASSMT_REASON[2] = 09 and M0870_DSCHG_DISP[2] = 01 and M0350_AP_HM_RESIDENT[2] = 0 and M0350_AP_PD_HELP[2] = 0 and ((M0610_BD_MEM_DEFICIT[2] + M0610_BD_IMP_DECISN[2] + M0610_BD_VERBAL[2] + M0610_BD_PHYSICAL[2] + M0610_BD_SOC_INAPPRO[2] + M0610_BD_DELUSIONS[2]) >= 2);
0 if M0100_ASSMT_REASON[2] = 09 and (M0870_DSCHG_DISP[2] = 01 and (M0350_AP_HM_RESIDENT[2] = 1 or M0350_AP_PD_HELP[2] = 1 or ((M0610_BD_MEM_DEFICIT[2] + M0610_BD_IMP_DECISN[2] + M0610_BD_VERBAL[2] + M0610_BD_PHYSICAL[2] + M0610_BD_SOC_INAPPRO[2] + M0610_BD_DELUSIONS[2]) < 2));
MISSING Otherwise.

Unexpected Death

1 if M0280_LIFE_EXPECTANCY = 00 and M0100_ASSMT_REASON[2] = 08; 0 if M0280_LIFE_EXPECTANCY = 00 and M0100_ASSMT_REASON[2] = 06,07,09; MISSING Otherwise.