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CENTERS FOR MEDICARE AND MEDICAID SERVICES
Medicare Coverage Advisory Committee

March 30, 2006

Centers for Medicare and Medicaid Services
7500 Security Boulevard
Baltimore, Maryland

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Panelists

Chairperson

Alan M. Garber, M.D., Ph.D.

Vice Chairperson

Alexander H. Krist, M.D.

Voting Members

Deborah S. Cummins, Ph.D.

Deborah Schrag, M.D., M.P.H.

Robert S. McDonough, M.D.

Mark Fendrick, M.D.

Clifford Goodman, M.D.

Daniel D. Foley, M.D.

Norman S. Kato, M.D.

Alexander Emyr Ommaya, Sc.D., M.A.

Nora A. Janjan, M.D.

Catherine A. Glennon, R.N.

Nancy Davenport-Ellis, B.A.

HCFA Liaison

Steve Phurrough, M.D., M.P.A.

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Panelists (Continued)

3 Consumer Representative
 4 Linda A. Bergthold, Ph.D.
 5
 6 Industry Representative
 7 Kim K. Kuebler, M.N., R.N.
 8
 9 Guest Expert Panelist
 10 Richard W. Whitten, M.D., F.A.C.P.
 11
 12 Executive Secretary
 13 Michelle Atkinson
 14
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1 PANEL PROCEEDINGS
2 (The meeting was called to order at
3 8:11 a.m., Thursday, March 30, 2006.)
4 MS. ATKINSON: Good morning and
5 welcome, committee chairperson, members and
6 guests. I am Michelle Atkinson, the executive
7 secretary for the Medicare Coverage Advisory
8 Committee. The committee is here today to discuss

9 the evidence, hear presentations and public
10 comments, and make recommendations regarding the
11 desired characteristics of published compendia
12 that may be utilized by CMS and other insurers to
13 determine the medically accepted indications of
14 drugs and biologics used in an anti-cancer
15 therapeutic regimen.
16 The following announcement addresses
17 conflict of interest issues associated with this
18 meeting and is made part of the record. The
19 conflict of interest statutes prohibit special
20 government employees from participating in matters
21 that could affect their or their employer's
22 financial interests. Each member will be asked to
23 disclose any financial conflicts of interest
24 during their introductions. We ask in the
25 interest of fairness that all persons making

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1 statements or presentations also disclose any
2 current or previous financial involvement in any
3 drug compendia or any manufacturer of anti-cancer
4 drugs or biologics. This includes direct
5 financial investments, consulting fees and
6 significant institutional support. If you haven't
7 already received a disclosure statement, they are
8 available on the table outside of the room.
9 We ask that all presenters please
10 adhere to their time limits. We have numerous
11 presenters to hear from today and a very tight
12 agenda, and therefore cannot allow extra time.
13 There is a timer at the podium that you should
14 follow. The light will begin flashing when there
15 are two minutes remaining and then turn red when
16 your time is up. Please know that there is a
17 chair in front of the stage for the next speaker,
18 and proceed to the chair when it is your turn.
19 For the record, voting members present
20 for today's meeting are: Alex Krist, Deborah
21 Cummins, Nancy Davenport-Ennis, Deborah Schrag,
22 Robert McDonough, Cliff Goodman, Daniel Foley,
23 Norman Kato, Alexander Ommaya, Nora Janjan, and
24 Mark Fendrick. A quorum is present and no one has
25 been recused because of conflicts of interest.

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1 The entire panel including nonvoting
2 members will participate in the voting.
3 If anyone is requiring a taxi, please
4 sign up at the registration desk during the break
5 or lunch. I would ask that all panel members
6 please speak directly into the mike, and you may
7 have to move the mikes since we have to share.
8 And lastly, please remember to discard your trash
9 in the trash cans located outside of the room.
10 Now I would like to turn the meeting
11 over to Dr. Steve Phurrough.

12 DR. PHURROUGH: Thank you, Michelle.
13 I'm Steve Phurrough, director of the coverage and
14 analysis group here at CMS and the government
15 representative here on this particular advisory
16 committee.
17 We welcome you to this forum, we think
18 this is an important topic. As we have done in
19 some of our recent MCAC contact meetings, we are
20 not focusing on a particular coverage
21 determination that's underway now, we are
22 attempting to define some of the current evidence
23 around particular topics, in this case the use and
24 validity, accuracy of some of the compendia that
25 are currently part of the Medicare system or would

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1 like to be part of the Medicare system.
2 I want to particularly thank the panel
3 for taking part today. This is not just one day
4 in the life of these panel members. We have
5 provided them a lot of information, they do a lot
6 of investigation on their own, and we appreciate
7 the hard work that goes into this meeting. With
8 that, I'll turn it over to the chairman, Dr.
9 Garber.
10 DR. GARBER: Good morning and welcome,
11 everyone. Welcome, panel members. I am Alan
12 Garber, chair of the Medicare Coverage Advisory
13 Committee. I'm a staff physician with the
14 Department of Veterans Affairs and a professor at
15 Stanford University. What I would like to do is
16 have each of the panel members introduce
17 themselves and state their conflicts.
18 I will begin by stating mine. I am not
19 a voting panel member, I should just note, as
20 chair. I don't have any relevant stock holdings.
21 I am on the board of directors of a biotech
22 company that does not currently have a product
23 that might be assessed but might at some point in
24 the future, and I have in the past consulted for
25 AmDen, which does have products that are relevant.

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1 Alex.
2 DR. KRIST: My name is Alex Krist. I
3 am a family physician and associate professor at
4 Virginia Commonwealth University and I have no
5 conflicts of interest.
6 DR. CUMMINS: I'm Deborah Cummins,
7 director of research and evidence analysis at the
8 American Diabetic Association and associate
9 professor at the University of Illinois College of
10 Medicine, and I have no financial conflicts.
11 DR. SCHRAG: My name is Deborah Schrag,
12 I'm a medical oncologist at Memorial
13 Sloan-Kettering Cancer Center, and my institution,
14 I personally do work in collaboration with the

15 MCCN for which we do receive some research
16 support, which has been disclosed.
17 DR. MCDONOUGH: I'm Bob McDonough, and
18 I'm a medical director at Aetna. I have no
19 financial conflicts of interest to disclose.
20 DR. GOODMAN: Cliff Goodman, vice
21 president of The Lewin Group. My 401(k) mutual
22 funds and retirement funds may include stocks in
23 some healthcare product companies. As a salaried
24 employee for The Lewin Group, which is my sole
25 source of income, I have not received financial

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1 support directly from any drug compendia or any
2 manufacturer of anti-cancer drugs or biologics.
3 As part of my salaried employment, I have worked
4 on studies, done teaching and other work under
5 contracts between my employer and the sources of
6 some drug compendia as well as manufacturers of
7 biologics and related products. This list is
8 extensive, but some of them are National
9 Conference of Cancer Networks, Eli Lilly,
10 Bachelor Healthcare, Glaxco Wellcome, SmithKline,
11 Johnson & Johnson, and others. My firm has also
12 worked with physician groups and patient advocacy
13 groups that may have an interest in this issue.
14 DR. FOLEY: I'm Dan Foley, I'm an
15 emergency physician and medical director of the
16 Allina Health System, and I have no conflicts.
17 DR. KATO: My name is Norm Kato. I am
18 in the private practice of thoracic surgery in
19 Encino, California. My conflicts are that I own
20 stock in a pharmaceutical company that does
21 manufacture anti-cancer drugs and I have received
22 previous consulting support from two manufacturers
23 of anti-cancer drugs in the past but have not
24 received any (inaudible).
25 DR. OMMAYA: I'm Dr. Alex Ommaya, I'm

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1 director of the Garth Forum at the Institute of
2 Medicine, which is part of the National Academy of
3 Science. No conflicts.
4 DR. JANJAN: My name is Nora Janjan.
5 I'm a professor of radiation oncology at M.D.
6 Andercon Cancer Center, an NCCN-designated
7 facility. My institution does a wide variety of
8 clinical trials with anti-cancer agents and
9 occasionally I do some consulting work with a wide
10 variety of pharmaceutical firms as well. I have
11 no personal holdings with any pharmaceutical
12 firms.
13 MS. GLENNON: Catherine Glennon. I am
14 a nurse at the advanced practice department at
15 Duke University and I am a stockholder in various
16 pharmaceutical companies and I also appear on the
17 speakers bureau for different pharmaceutical

18 companies.
19 DR. FENDRICK: Good morning. I'm Mark
20 Fendrick, professor of internal medicine at the
21 University of Michigan, an NCCN institution. I
22 have consulted for numerous pharmaceutical
23 companies, pharmaceutical benefit managers, health
24 plans and advocacy groups, but I have not worked
25 in the cancer area for any of these firms.

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1 MS. DAVENPORT-ENNIS: I'm Nancy
2 Davenport-Ennis, CEO of the Patient Advocate
3 Foundation. We're a nonprofit patient services
4 organization. I do have a 401(K) and a 403(B) and
5 they do have mutual funds and may indeed had
6 pharmaceutical stocks; however, I do not own
7 pharmaceutical stocks directly as a singular
8 person. I am an employee of the Patient Advocate
9 Foundation, I am salaried as an employee of
10 Patient Advocate Foundation. That organization
11 does solicit from and receive unrestricted grant
12 funding from a number of pharmaceutical companies
13 in America in order to support direct patient
14 services that we deliver to 4.1 million Americans.
15 MS. KUEBLER: My name is Kim Kuebler.
16 I'm a medical scientist for Eagle Pharmaceuticals
17 and I have received PhRMA support in the past.
18 DR. BERGTHOLD: I am Linda Bergthold.
19 I am a consultant with Watson Wyatt Worldwide, an
20 employee benefits consulting firm. I personally
21 hold stock in a biologic firm and I'm currently
22 doing some consulting work concerning
23 investigational anti-cancer drugs.
24 DR. WHITTEN: Good morning. I am Dick
25 Whitten, internist and medical director for

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1 Medicare Part B for Washington, Alaska and Hawaii,
2 and no financial conflicts.
3 DR. GARBER: Thank you very much. Just
4 a few brief statements. I want to reiterate what
5 Michelle had said about the importance of timing.
6 These meetings always have less time available
7 than we feel that we could use to fully consider
8 the topics, and we also often feel that we would
9 benefit from more input from the speakers, whether
10 they're designated in advance or people from the
11 public which speak at the meeting and come up to
12 the microphone during the meeting. But I have to
13 reiterate that because of the time constraints, we
14 will be absolutely strict and when your time is up
15 you will be cut off, even if it's midsentence. I
16 apologize in advance for that, but you do get the
17 warning light and we will expect everyone to
18 adhere very strictly to the time limits in order
19 to ensure that everyone who wants to speak has the
20 opportunity to speak during the designated times.

21 We have an unusual topic before us
22 today. It's one that is, I would say a little
23 more complex than what MCAC has typically dealt
24 with. Some might say that the problem is in some
25 ways amorphous, but it's not really amorphous and

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1 we tried to put a lot of structure on the way we
2 will consider today's topic and how the voting
3 will occur. This may be a little different from
4 what anybody in the audience is used to, whether
5 you have been here before or not. We have a set
6 of voting questions, which I hope everybody has in
7 front of them, and the panelists will be voting on
8 two different boards for some of these voting
9 questions. They have cards on which they will be
10 marking their votes and they will hold that up so
11 that the audience can see. We will not have
12 extended discussion about this, but these scores
13 will be compiled and if all goes as planned, we
14 will have some summary statistics, basically,
15 about how the votes have gone.
16 The procedure of this, we will be
17 reviewing Question 1 before the presentation of
18 the technology assessment. Question 1 is a sort
19 of ground-setting question about what's important
20 and what's desirable among the characteristics
21 that drug compendia have. I want to just say that
22 as we approach our task, we might think a little
23 bit about the context, and we will hear more about
24 this during the presentation that will follow next
25 by Tara Turner.

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1 But the way that the statute is
2 written, and Tara can correct this if I'm
3 incorrect, there are three compendia listed, and
4 an off label indication must be covered by the
5 Medicare program if it is listed as an appropriate
6 indication in any one of the compendia. The
7 issue, I think, can be thought of as a type 1 and
8 a type 2 error problem. By that I mean a type 1
9 error would be listing an indication as
10 appropriate when in fact the particular indication
11 for this drug does not provide a health benefit.
12 A type 2 error would be failure to list when it is
13 effective, or saying that it's not effective when
14 it really is effective.
15 Because of the way the law is written,
16 if it's listed in any one compendia it gets
17 covered, even if there is disagreement between the
18 compendia. So having more compendia increases the
19 chances of a type 1 error but decreases the chance
20 of a type 2 error, assuming that they don't agree
21 100 percent of the time and we will find out that
22 that is the case, they don't always agree. And
23 from the point of view of making decisions, a

24 failure to comment on an indication is the
25 equivalent of saying it doesn't work in this kind

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1 of context.
2 So, I think that as we go through this
3 rather complex assessment of the characteristics
4 of the compendia, you might want to keep in mind
5 at all times how this would be used, what the
6 consequences are of these different kinds of
7 errors. So remember that whether a compendium
8 fails to list an indication or says that there is
9 weak evidence or no evidence that it works, will
10 have the same effect in a decision context, that
11 is, if it's used at least in one compendia as not
12 working, whereas if any compendia says it works,
13 it will count as basically being covered. So
14 specifically, the more compendia that CMS chooses
15 to work with, the greater chance of type 1, the
16 less the chance of a type 2 error.
17 So let me turn now to Tara Turner, who
18 will be presenting on behalf of CMS.
19 LCDR TURNER: It's great to see such a
20 large turnout this morning. Good morning and
21 thank you. Chairman Garber, panelists, invited
22 guests, members of the public, I am Lieutenant
23 Commander Tara Turner of the coverage and analysis
24 group. On behalf of the Centers for Medicare and
25 Medicaid Service, welcome to today's Medicare

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1 Coverage Advisory Committee on compendia and
2 off-label uses of drugs and biologicals in
3 anti-cancer treatment.
4 The CMS panel for today's presentation
5 includes Dr. Jim Rollins and Dr. Lori Paserchia,
6 both medical officers; myself as lead analyst;
7 Captain Michael Lyman as analyst; the MCAC
8 executive secretaries, Michelle Atkinson and
9 Kimberly Long; Dr. Louis Jacques, director of the
10 division of items and devices; and Dr. Steve
11 Phurrough, director of the coverage and analysis
12 group.
13 Today's agenda includes a statutory
14 background and overview of the compendia issues
15 which I am presenting, the technology assessments
16 conducted by the Duke and New England Medical
17 Center evidence-based practice centers, presented
18 by Dr. Amy Abernethy, Dr. Douglas McCrory and Dr.
19 Ethan Balk. We will also hear presentations from
20 the six compendia reviewed in the technology
21 assessment and from other members of the public.
22 Following the presentations, the panel will
23 discuss and vote on the questions posed by CMS.
24 In preparation for this meeting, the panel was
25 asked to review the materials listed here. With

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1 the exception of the written testimony of
2 presenters, all of the documents are publicly
3 available.
4 According to the Cancer Trends Progress
5 Report, 2005 update, the projected number of new
6 cases of cancer in 2005 was over 1.3 million. You
7 can see the breakdown by the most common cancer
8 types, prostate, female breast, lung and
9 colorectal, which combined represent 55 percent of
10 the projected new cases. In 2004, cancer
11 treatment accounted for an estimated \$72.1
12 billion. This is just under five percent of total
13 United States medical spending for medical
14 treatment.
15 Coverage of drugs and biologicals used
16 in anti-cancer treatment is dictated by Section
17 1861(t)(2)(B)(ii) of the Social Security Act.
18 This states that Medicare will provide coverage
19 for an anti-cancer drug or biological used for a
20 medically accepted indication. This is defined in
21 two ways. First, it includes any use which has
22 been approved by the Food and Drug Administration
23 as stated in product labeling. Second, it also
24 includes off-label uses if the following criteria
25 are met: The off-label use must be supported by a

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1 citation that is included and listed favorably in
2 at least one of the designated compendia, or the
3 off-label use is determined to be medically
4 accepted based on supportive clinical evidence in
5 the peer reviewed medical literature.
6 The three compendia that are named in
7 the statute for this purpose are the American
8 Medical Association Drug Evaluation, the United
9 States Pharmacopeia Drug Information, and the
10 American Hospital Formulary Service Drug
11 Information. Medicare-instructed contractors
12 should cover an off-label use if that use is
13 supported by at least one of these compendia.
14 It's important to note that these cannot be listed
15 as not indicated in any of them. In other words,
16 one positive listing results in coverage, while
17 one negative listing results in noncoverage.
18 Now to give a little background on each
19 compendium. In both the AMA and AHFS-DI
20 compendia, information concerning indications are
21 provided in each monograph, including both labeled
22 and unlabeled uses. However, the text must be
23 analyzed to make a determination as to whether a
24 particular use supported. In the USP-DI,
25 indications are rated as accepted, unaccepted, or

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1 insufficient data. An indication is considered to
2 be a medically accepted use only if the indication

3 is listed as accepted.
4 When an unlabeled use does not appear
5 in any of the compendia or is listed as
6 insufficient data or investigational, the CMS
7 relies on peer reviewed medical literature for
8 coverage determinations. In the Medicare Benefit
9 Policy Manual, 15 publications are listed for this
10 purpose. Carriers are not required to maintain
11 copies of these publications. If a claim raises a
12 question about an off-label use that is not
13 included in the compendia, the physician is
14 typically asked to submit copies of relevant
15 supporting literature.
16 There have been changes affecting the
17 availability of two of the deemed compendia. The
18 AMA Drug Evaluation is no longer in publication.
19 Thomson Micromedex purchased the content of USP-DI
20 in 1998 and took over responsibility for editorial
21 control in 2004. Under Thomson's agreement with
22 USP, the name USP-DI may not be used after 2007.
23 This posed a problem with the recent enactment of
24 the Deficit Reduction Act of 2005 which requires
25 CMS to recognize and deem any successor

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1 publication of the USP-DI. Therefore, AFHS and
2 the successor of USP-DI will be the only
3 statutorily named compendia available for CMS's
4 reference for determining coverage for anti-cancer
5 drugs.
6 This was the problem. Prior to the new
7 legislation, CMS was faced with the possibility of
8 having to rely on only one compendium. This led
9 to our consideration of whether there is an
10 optimal number of compendia which should be
11 available for determining medically accepted
12 indications. Internally we have discussed several
13 options for addressing this issue.
14 The Social Security Act allows the
15 Secretary of Health and Human Services to revise
16 the list of compendia as is appropriate for
17 identifying medically accepted indications of
18 drugs. Under this authority, CMS could recommend
19 that the Secretary add one or more authoritative
20 compendia to the current list. Alternatively, CMS
21 could recommend that the Secretary reconsider the
22 current list and designate one or more compendia
23 instead of the AHFS and USP-DI. Finally, CMS
24 could continue to use the AHFS and USP-DI as the
25 only sources.

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1 I would like to note here that CMS
2 recognizes the value of external expert input in
3 the transparent process. Rather than making a
4 decision internally, we decided to bring this
5 issue to the public domain via the MCAC.

6 To better inform the process, CMS
7 commissioned an external technology assessment
8 through the Agency for Healthcare Research and
9 Quality to assess the quality level of available
10 compendia. In a moment you will hear the results
11 of the reviews performed at the Duke and New
12 England Medical Center evidence-based practice
13 centers.
14 If CMS decides to reconsider the
15 current list or to simply add compendia, we
16 questioned the most desired characteristics of a
17 compendium and the quality of the available
18 compendia as judged by the desired
19 characteristics. We will ask the panel to review
20 and evaluate the evidence on these two factors.
21 Before I turn the meeting over to
22 Dr. Garber, I will now present the nine questions
23 that we would like the panel to address.
24 Question Number 1. A good compendium
25 should be evidence-based. What additional

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1 characteristics are desirable and of high priority
2 in a robust evidence-based compendium? We have
3 developed a list of 18 characteristics and we are
4 asking the panel to rate each one on the
5 desirability, which is represented by the D score,
6 and on its priority, which is represented by the P
7 score. Those rating scales are listed here.
8 Please refer to your handout for the 18
9 characteristics to be rated. Note that this list
10 is provided as a reference, and the panel may
11 amend the list.
12 Question 2 asks panel members to rate
13 their confidence that the currently designated
14 compendia have adequately stated evidence-based
15 criteria and processes.
16 Question 3 asks panelists to rate their
17 confidence that the currently designated compendia
18 adhere to evidence-based criteria and processes in
19 making recommendations.
20 Question 4 asks panel members to
21 consider separately each of the other available
22 compendia and to rate their confidence that those
23 compendia have adequately stated evidence-based
24 criteria.
25 Question 5 again asks panel members to

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1 consider separately each of the other available
2 compendia and to rate their confidence that those
3 compendia adhere to evidence-based criteria and
4 processes in making recommendations.
5 Question 6, considering each compendium
6 separately, please rate its performance on each of
7 the desired characteristics. For this question,
8 we're asking the panel to apply the R scores

9 listed here to the characteristics that were
10 addressed in Question 1. Please refer to your
11 handout for this list.
12 Question 7 asks the panel members if
13 they believe that the interests of the Medicare
14 program and its beneficiaries are best served by
15 having a particular number or type of available
16 published compendia on the off-label use of
17 anti-cancer drugs or biologicals for cancer
18 treatment.
19 If the answer to Question 7 is yes,
20 what is the minimum and/or maximum number or type
21 of compendia that should be available?
22 Question 9 asks the panelists to rate
23 their confidence that prescribers can rely on
24 currently available published compendia to
25 determine appropriate off-label uses of drugs and

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1 biologicals for anti-cancer chemotherapy.
2 Dr. Garber will now lead the discussion
3 of Question Number 1.
4 DR. GARBER: Yes, Mark? Thank you
5 very much, Tara.
6 DR. FENDRICK: Can I just ask a
7 question of clarification? I understand the point
8 that was discussed that if one compendia indicated
9 it, that it would lead to coverage. I wasn't
10 clear what you said about when one said it was not
11 indicated, I thought you said if one compendium
12 says it is not indicated, does that mean you don't
13 take it?
14 LCDR TURNER: Yes. If there is one not
15 indicated out of the three, then that means that
16 it is not covered.
17 DR. GARBER: However, as Steve just
18 pointed out to me, that is a rare occurrence, for
19 one, and my understanding is, and Steve and Tara,
20 correct me if I'm wrong, if the compendium says
21 there is equivocal evidence or something to that
22 nature, that does not lead to that sort of veto;
23 isn't that correct? Okay.
24 So just for the, to explain how we will
25 proceed with the discussion and voting on

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1 Question 1, we have a large number of
2 characteristics. I hope that everyone has a copy
3 of the voting questions, and these are
4 characteristics that CMS has asked us to consider
5 for their desirability as characteristics of
6 compendia. This is obviously going to be
7 complicated.
8 The D scores refer to basically, is
9 this a desirable characteristic or not for a
10 compendium, and the P scores are about how much
11 weight to place on it, is it high priority or does

12 it not matter that much, whether it satisfies
13 whether it's desirable or not.
14 We are going to compile the votes in
15 the following way. When an individual gives it,
16 gives a particular characteristic a D score, we
17 then apply their P score as a weight to the D
18 score. So if somebody says high priority, we
19 assign three points to their vote for whatever
20 desired rating they give it; if they say that it's
21 an intermediate priority we give it two points;
22 and if it's low priority we give one point. So
23 they get more weight on their desirability
24 characteristics if they say this is high priority,
25 and that's the meaning of those numbers one, two

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1 and three that you actually see next to the score.
2 I hope this becomes clearer as the session goes
3 on.
4 So let me first ask if there are any
5 questions from the panel about the voting before
6 we get into the substantive question. So if there
7 are no questions, let me re-read Question 1. A
8 good compendium should be evidence-based. What
9 additional characteristics are desirable and of
10 high priority in a robust evidence-based
11 compendium? Rate each characteristic below on its
12 desirability and on the priority of that
13 desirability rating. This list is provided for
14 reference. The MCAC may amend this list.
15 Now I'm first going to read each one,
16 ask if there are discussions. I think most of
17 these are self-explanatory and the main emphasis
18 will be in your vote, but nevertheless, a
19 discussion may be appropriate for some of these,
20 particularly if in your view the characteristic is
21 worded in an ambiguous way or there is some
22 uncertainty about how to interpret the
23 characteristic.
24 So, A, extensive breadth of listing.
25 Any discussion? If there is no discussion, I

00030

1 would like everyone to vote. People will be
2 writing their votes on these cards, and please
3 hold them up and make sure Kim can see in the
4 front over there.
5 (Votes displayed and recorded by
6 staff.)
7 DR. GARBER: Question 2, quick
8 throughput from application for inclusion to
9 listing. Does the compendium reactively
10 incorporate the new evidence or does it rapidly
11 incorporate the new application? Any discussion?
12 (Votes displayed and recorded by
13 staff.)
14 DR. GARBER: Why don't we move to

15 discussion of the third one, then. The third one
16 is detailed description of the evidence reviewed
17 for every individual listing.
18 (Votes displayed and recorded by
19 staff.)
20 DR. GARBER: Why don't we just collect
21 them. Leave them up so the members of the public
22 need to be able to see the scores at least
23 briefly, and then we will be collecting these and
24 there will be a spreadsheet made available. Yes?
25 DR. WHITTEN: I have a comment,

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1 Dr. Garber. We're just rating these on sort of a
2 single scale as noted, but just to pick an
3 example, even though a single compendium would
4 have a clear desirability to have extensive
5 breadth and direct throughput, it might still be
6 valuable in one of several compendia, even if
7 smaller and even with a much smaller segment. So
8 I assume these are the things that staff will look
9 at afterwards, that we're grading these kind of in
10 the abstract as if this were to be a single
11 compendium to be used. But just to pick an
12 example, if two were very broad but one were very
13 narrow, it might still be a valuable supplement to
14 the other two. So just to comment, in a way we're
15 forced to rate these on a single scale, and it
16 doesn't mean necessarily that one that didn't meet
17 all these criteria might still not be a valuable
18 contributor. Thank you.
19 DR. GARBER: Yes, Mark.
20 DR. FENDRICK: Alan, can you just
21 comment quickly, if we feel something is highly
22 undesirable, would the P score be -- actually it
23 would almost be preferable to be a negative, and
24 I'm trying to figure out how you're going to in
25 your quantitative analysis --

00032

1 DR. GARBER: The way that you should
2 rate it if you think it's undesirable in an
3 important way is give it a priority score of
4 three, so you give it a one and a three. You
5 can't give negative points in this scheme, but --
6 oh, I'm sorry, you're right. So, let me just add
7 one thing. When you're counting up within
8 categories of desirability, you're not putting in
9 any specific desirability so it will show as more
10 undesirable votes, right?
11 DR. FENDRICK: So you're taking care of
12 it?
13 DR. GARBER: Yes.
14 DR. FENDRICK: All right. But how
15 would you recommend we vote the P score for things
16 that we think are highly undesirable, or should we
17 not vote at all, should we not give it a P score?

18 DR. GARBER: No. If you believe it's
19 extremely undesirable in an important way, you
20 give it a D score of one and a P score of three.
21 DR. FENDRICK: Okay.
22 DR. GARBER: And that will show as
23 three votes in the undesired category.
24 DR. FENDRICK: Thank you.
25 DR. KATO: Although technically if

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1 you're tallying up the numbers, then I understand
2 your question, because technically you should
3 probably give a one because if you're going to
4 multiply the D score by the P score, then
5 technically --
6 DR. GARBER: We're not adding up the D
7 scores, it is just a constant category of D
8 scores. That may be a little misleading, but the
9 numeric scores are just the P scores weighting for
10 the categories of D scores. So if something is
11 really bad from your point of view, give it a P
12 score of three and it shows up as three votes in
13 the undesired category.
14 Okay. So now we're going to move to,
15 display your votes, so pass your votes on C to the
16 right, and display your votes on D, use of
17 prespecified published criteria for weighing
18 evidence.
19 (Votes displayed and recorded by
20 staff.)
21 DR. GARBER: And I will read E, use of
22 prespecified published process for making
23 recommendations. I think you can pass your Ds,
24 and pass them to the right, and put up your votes
25 for E.

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1 (Votes displayed and recorded by
2 staff.)
3 DR. GARBER: F is a publicly
4 transparent process for evaluating therapies.
5 (Votes displayed and recorded by
6 staff.)
7 DR. GARBER: Please pass your E votes
8 to the right and put up your scores for F. Let me
9 know if I'm going too fast.
10 G is explicit "not recommended"
11 listings when validated evidence is appropriate,
12 that is to not be science but actually state that
13 it is not recommended for that indication.
14 DR. KRIST: Is that for, if there is
15 evidence that it doesn't work or evidence that
16 there is harm, for G?
17 DR. GARBER: I think that from CMS's
18 point of view, if it's ineffective it does not
19 merit coverage, whether there is harm or not.
20 Okay, so F gets passed down and G is put up.

21 (Votes displayed and recorded by
22 staff.)
23 DR. GARBBER: And I will read H. Bias
24 toward "recommended" when validated evidence is
25 equivocal. In other words, does the compendium

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1 itself make a type 1 error or is it likely to make
2 a type 1 error in that situation? So please pass
3 on your Gs and put up your votes for H.
4 (Votes displayed and recorded by
5 staff.)
6 DR. GARBBER: I, bias toward "not
7 recommended" when validated evidence is equivocal,
8 in other words, tending toward a type 2 error.
9 Please pass on your Hs and put up your Is.
10 (Votes displayed and recorded by
11 staff.)
12 DR. GARBBER: J, explicit listing of
13 combination of therapies. Please pass on your Is
14 and put up your J scores.
15 (Votes displayed and recorded by
16 staff.)
17 DR. GARBBER: Please pass on your Js.
18 K, explicit recommendations on the sequential use
19 of a therapy or combination in relation to other
20 therapies.
21 (Votes displayed and recorded by
22 staff.)
23 DR. GARBBER: Does everybody have their
24 Js up? I'll read K, and please pass your Js to
25 the right. Explicit recommendations -- I'm sorry,

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1 pass on your Ks. L -- you can pass on your Ks,
2 and then L. Silence, i.e., no listing when
3 validated evidence is equivocal. Now I think in
4 answering this one, it's important to keep in mind
5 how it's used by CMS, but that means if it's
6 silent, that means it's the same action as saying
7 not recommended, but it's saying the same as them
8 saying, in other words, its not a default, but it
9 will only be covered then if it is in that
10 circumstance such that it is indicated.
11 (Votes displayed and recorded by
12 staff.)
13 DR. GARBBER: Okay. Pass on your Ls and
14 we are on M. Explicit equivocal listing when
15 validated evidence is equivocal.
16 (Votes displayed and recorded by
17 staff.)
18 DR. GARBBER: Okay. N, public
19 identification of the members of the
20 advisory/scientific review committee. Please pass
21 on your Ms and put your N votes up.
22 (Votes displayed and recorded by
23 staff.)

24 DR. GARBER: O, public notification of
25 reviewers' and committee members' conflicts of

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1 interest, including institutional funding sources.
2 Please pass your Ns to the right and put your O
3 scores up.
4 (Votes displayed and recorded by
5 staff.)
6 DR. GARBER: P, public notification of
7 all funding sources of the compendium and its
8 parent and sibling organizations, including
9 unrestricted grants and gifts. Please pass on
10 your O scores and put up your P votes.
11 (Votes displayed and recorded by
12 staff.)
13 DR. GARBER: Q, net benefit analysis
14 based on potential harm and potential benefit.
15 Please pass on your P scores and put up your Q
16 scores.
17 (Votes displayed and recorded by
18 staff.)
19 DR. GARBER: R, explicit stratification
20 of the risks of available therapies. Please pass
21 your Q votes to the right.
22 (Votes displayed and recorded by
23 staff.)
24 DR. GARBER: After you put these scores
25 up and we pass them to the right, I think what we

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1 will need to do is allow some time to compile
2 these and if people are comfortable with that,
3 we can move up to the next agenda item and come
4 back to this after we have the compiled scores and
5 have a discussion for variations and any comments
6 you want to make. Is everyone comfortable with
7 that? Okay. So we may have further discussion
8 after we see the compiled scores. Now actually,
9 are the TEC presenters ready? Great. Is
10 everybody comfortable with delaying the break
11 until after the presentation of the technology
12 assessment? Okay.
13 We will move ahead with the TA with
14 investigators from Duke and New England Medical
15 Center. I don't know in what order they will be
16 speaking, but go ahead. Doug McCrory from Duke
17 will be the first presenter.
18 DR. MCCRORY: Good morning. I'm Doug
19 McCrory. I'm going to be presenting concerning
20 the technology assessment. There will actually be
21 three of us presenting some of their work. The
22 second will be Amy Abernethy, one of my
23 colleagues, and the third will be Ethan Balk from
24 the New England Medical Center.
25 The team that we put together for this

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1 project included several of our colleagues at
2 Duke, including people with wide backgrounds
3 including oncology pharmacists, general
4 internists, people with a background in health
5 services research and research assistants that you
6 see here. I think the background of the project
7 has been covered. Let me describe a little bit
8 about what we took away as our message or what we
9 were trying to summarize.
10 First of all, what we wanted to analyze
11 was the method which the compendia used to collect
12 and evaluate evidence, specifically focusing on
13 anti-cancer drugs and biologics, and specifically
14 for off-label indications, so that the first thing
15 when we were looking at the methods was more
16 generic, not tied to particular drugs or
17 indications per se. We subsequently went to
18 another part of the project where we identified 14
19 specific drug indications and combinations, all of
20 which were not FDA-approved, and analyzed the
21 compendium listings. We then compared that with
22 what their statement was for the indication or
23 combination, or what they thought about it. And
24 then finally, we conducted our own independent
25 literature review. We used a method consistent

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1 with what we would generally do for an
2 evidence-based center project, you know,
3 structured a wide literature review followed by
4 selection of literature with prespecified criteria
5 to develop a current set of evidence bearing on
6 the question. And finally, I think you will see
7 by the end of the presentation that this gives us
8 a rich set of data from which to present to the
9 committee evidence as well.
10 So, the compendia that we selected for
11 this analysis included the ones named in the
12 statute, the AHFS, as well as USP-DI at the
13 bottom, and while certainly not the (inaudible)
14 publications. In addition, we selected several
15 other drug information resources and we did this
16 in a way to get a representative, a broader
17 representation of the drug information process,
18 since they don't all share some of the
19 characteristics, so we looked purposely for the
20 relationships between things like Clinical
21 Pharmacology and other publications such as
22 DRUGDEX and USP-DI, the Micromedex publications.
23 We also looked at Facts & Comparisons and then the
24 National Comprehensive Cancer Network, which is a
25 compendium as well as some practice guidelines.

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1 Again, those two were evaluated as compendia,
2 though.

3 Now I'm going to focus for a moment on
4 our first goal, which was our evaluation
5 methodology. We had a five-step sequence of
6 events. We would initially go to the publications
7 and abstract their descriptive information, and
8 their methods section was usually contained in the
9 foreword or some other printed material. We
10 initially planned to submit our initial abstract
11 to the editors of the compendia before
12 interviewing them. However, time constraints led
13 us to schedule the interviews first and then move
14 to step four later, sending the completed table to
15 the editors for their comment. During the
16 interview, we verified the information that we had
17 in the abstract, and additionally delved a little
18 more deeply into the areas about the compendium,
19 not only those that we covered in Question 1 a few
20 moments ago, but also outline characteristics.
21 And finally we prepared a report that summarized,
22 this was the first part of the draft report, which
23 was publicly available for review.
24 In looking at the characteristics of
25 each compendium's use of the off-label indication,

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1 we were guided by two instruments. One was the
2 AGREE instrument, which is an instrument used to
3 evaluate quality of (inaudible). We felt that the
4 compendia were like guidelines because they do
5 endorse certain drugs for particular indications,
6 which is particularly true for off-label
7 indications. We were also guided by the QUORUM
8 statement, which ascribes desirable
9 characteristics for medical use, such as a
10 systematic review and meta-analysis, so looked to
11 see if they identified a drug as such-and-such,
12 and then a body of evidence.
13 This approach does lead to a problem
14 because a compendia is none of those things, and
15 we recognized that we were as part of our
16 evaluation looking at small parts and were maybe
17 not getting a complete view of what the compendia
18 were, so we looked at what we considered to be a
19 reasonable approach. So for the next few slides
20 I'm going to show you certain information that we
21 took out of the compendia. These are abstracts of
22 tables that are more completely reported in the
23 draft report, so for those of you that have access
24 to the draft report, there is certainly more
25 detailed information, and we sort of abbreviated

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1 them, and you may refer to the draft report to
2 fill in where the information on the table is
3 lacking.
4 So these are the various compendia
5 listed across the top, and we'll go through some

6 of the information in each row. For the publisher
7 list, we looked at both print and electronic
8 editions of the compendia where those were
9 available and found the most recent version, went
10 to the library and pulled the books off the shelf.
11 And the print cycles are such that some were just
12 getting ready to be updated on some we saw. The
13 update cycles on the print editions was annual for
14 most that were put out, but NCCN is probably more
15 frequent since they have updates posted by topic.
16 We looked at electronic editions where
17 those were available for each of the compendium,
18 and in many cases there were multiple flexible
19 types of editions, sometimes only on CD-ROM and
20 some had various on-line editions. They varied in
21 terms of the update cycle, and by update cycle
22 here I mean how soon after new information was
23 available and approved by the editors does it make
24 it into the on-line vision. So most of these
25 updated the information very quickly, in some

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1 cases it varied between the print and on-line
2 version and so forth. The dates listed on this
3 table are the dates that we pulled the
4 information, so in some cases we looked again for
5 more recent information, but in general it was all
6 collected in January and February.
7 And finally, the information we
8 evaluated included the published information both
9 in print and electronic, and we did interviews and
10 in a number of instances we got additional
11 information either from the editors or were
12 directed by the editors to the corporate web site.
13 This slide, the purposes of the
14 compendia, this is the overall purpose, not just
15 for off-label use of the medications. We
16 paraphrased very liberally in this slide and there
17 are more complete quotes from the materials
18 available in the report, but I wanted to point out
19 just the highlights of their stated purposes.
20 So AHFS-DI describes evidence-based as
21 being one of the key points in their purpose
22 statement. Clinical Pharmacology emphasizes
23 usability and conciseness. DRUGDEX and F&C are
24 similar, and highlight an unbiased approach to
25 prescribe, order or dispense. The NCCN perhaps is

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1 a little bit unique in that their clinical
2 practice guideline was the initial product, and
3 the compendia I believe was envisioned as the
4 second step, and they emphasized the
5 decision-making aspect as I think being the most
6 emphasized aspect.
7 And USP-DI emphasized safe and
8 effective use once a drug is prescribed, so if

9 there is a possible exception, they emphasize the
10 selection between the alternative drug products.
11 The scope varied as well. Many
12 included prescription as well as over the counter,
13 and some included investigational drugs. NCCN
14 also was different in that it listed only
15 anti-cancer drugs and was even more so specific to
16 those that were listed in the NCCN clinical
17 practicing guidelines.
18 The final important point for this
19 project was whether non-FDA-approved indications
20 were included in the compendium. We only selected
21 compendia that did, and in some circumstances that
22 included off-label indications. There were
23 various ways the compendia characterized their
24 policies to include non-approved medications.
25 There were a few concepts here that I want to

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1 point out. One, many compendia noted that they
2 evaluated current practice and the degree of
3 interest in a particular agent for a particular
4 indication as one of the things they considered
5 when deciding whether to approve a medication, and
6 that is obviously in addition to the evidence.
7 Some of them didn't provide precise criteria or
8 situations when they would include or exclude, and
9 we will come back to some of these things a little
10 bit later.
11 The strength of evidence scales varied
12 and these would be scales that described the
13 number, quality, the magnitude of effect, so the
14 overall pharmacological effect. AHFS-DI described
15 a scale that divided it into four categories,
16 DRUGDEX used a similar four-category scale.
17 Actually, I guess NCCN used a three-category
18 scale. The precise wording for definitions of A,
19 B and C are identified or contained in the draft
20 report, and I think the difference is not quite so
21 important as the fact that they used one or didn't
22 use one.
23 Grading recommendations was slightly
24 lower in contrast. As opposed to ratings, the
25 number of studies to the magnitude of effect, the

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1 grade of the recommendations would include not
2 only the strength of evidence but also the belief
3 about how important the risk versus benefit might
4 be. And in the case of NCCN for example, how much
5 agreement there was, whether it was uniform or not
6 uniform. Again, only one compendium used a rating
7 scale alone, the others used a variety. We also
8 heard that USP-DI used accepted, acceptance but
9 not established, or not accepted. Some of the
10 other scales were a little more explicit in terms
11 of whether there was agreement on whether the

12 indication should be used. In fact in comparison,
13 the F&C addresses safety concerns and specific
14 reasons why the drug might not be recommended.
15 The other point about the grading
16 recommendations, the point I wanted to make was
17 that a grading, a grading recommendation that a
18 certain medication is not accepted, or
19 insufficient or poor, would allow a compendia to
20 put in an off-label request and qualify it as
21 being equivocal evidence or even negative
22 evidence. So conversely, the inability to use an
23 insufficient or poor grading recommendation makes
24 it a little bit more difficult for a publication
25 to list something and then qualify it as not

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1 desirable or equivocal. That point's going to
2 come up later in specific indications.
3 A further point I wanted to make is
4 contrasting the links between the recommendations
5 and evidence. We looked as thoroughly as we could
6 to find out how a specific off-label indication
7 was linked to a general strength of evidence by
8 references to the same, you know, citations, and
9 we found that with no exceptions, those are
10 contained in the electronic versions only, so we
11 related that almost entirely to that phase of the
12 project.
13 The editors were asked to provide an
14 assessment for the validity of studies that were
15 identified and cited in the compendia. All of
16 them used a subjective process by editorial staff.
17 Some of them were more formal than others as to
18 items which were published or included in the
19 supplemental materials that we received. Several
20 of them describe their procedure as some of the
21 more accepted critical techniques, and some of
22 them more extensive than others. The NCCN
23 publication had a permanent editorial staff that
24 evaluated the materials and others were done by
25 staff, either permanent staff or that hired by the

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1 committee.
2 The specific criteria for selecting
3 items to be published in the compendium, almost
4 all of them emphasized well designed and published
5 controlled studies, and there was some difference
6 in whether they would include lower level studies
7 and particular case reports. So one of the
8 factors for comparison, for example, some of them
9 said that they did not include case reports, and
10 others allowed almost any study to be included.
11 Several of the others described the process where
12 there was some sort of discretion such that if
13 they found little good quality, they would look at
14 maybe lower level of data for pharmacology, but

15 for some of the others, basically were phased into
16 whether two studies would be just as effective and
17 would result in a routine evaluation.
18 Finally, we had difficulty in
19 addressing what would be the policy on equivocal
20 evidence. We initially thought we had a handle on
21 that but once we fed it back to the editors and
22 what we gleaned from our discussions, each of them
23 had some comments that it didn't quite work that
24 way, so this is a revised version. One thing we
25 found was that the policy is difficult to state,

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1 and often the technique of the compendium on a
2 case-by-case basis will make a decision based on
3 their validity assessment, their evidence ratings
4 and their grading criteria, but I think that we
5 ended up taking it if they have a grading or
6 recommendation scale that will allow them to list
7 an indication with the appropriate amount of
8 evidence and qualify it, I mean, the quality that
9 the data would generate, so I think it's maybe
10 more constructive to look and see what the
11 compendia actually did, which we will look at in a
12 few moments. But I wanted to make a point that
13 all three of these issues required a great deal of
14 subjective analysis.
15 So to sum up, common themes that we
16 elicited from our initial undertaking, the print
17 and electronic versions were different. One thing
18 that will come out I think a little bit later was
19 the dates of publication, either when it was
20 printed or the date of last update in the
21 electronic versions didn't always correspond to,
22 it was difficult to determine when the last search
23 for evidence was conducted and how a given topic
24 was assessed within this editorial process.
25 An interesting result of the

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1 interviews, each of the editors of the compendia
2 recognized that the landscape for medical
3 decision-making is becoming evidence-based and
4 each of them has plans to become more
5 evidence-based, and there were various points in
6 terms of implementing these changes.
7 And finally, as we'll see later, I
8 think the recency of the reviews wasn't always
9 determined.
10 I think in the interest of time I'm
11 going to skip over and just briefly show the
12 appearance of some of the on-line versions that we
13 were looking at, which don't really project
14 particularly well, but each of them would describe
15 fairly clearly and sometimes with Word documents,
16 off-label indications. And with that, I'm going
17 to turn it over to Amy Abernethy. Thank you.

18 DR. ABERNETHY: I'm number two in
19 speaking on this discussion, but I'm going to talk
20 to you about compendia listing and our findings
21 from Duke. And as I venture into this topic, I'm
22 going to address for you three things. First,
23 I'll tell you a little bit about the methodology
24 that both the Duke and New England Medical Center
25 EPCs used. The second thing that I will do is

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1 give you an example of what we observed as we were
2 doing our analyses. And then thirdly, what I will
3 do is give you a snapshot view across all the
4 compendia.
5 To make sure that everybody is
6 comfortable with what it was that we were asked at
7 Duke to do, let me first show you our three key
8 questions that we were asked to address in this
9 part of the project. First, for chosen drugs and
10 biologics and their off-label indications,
11 evaluate the published compendia on the following:
12 Level of detail on the evidence reviewed; any
13 recommendations that were made; silence, in other
14 words, no listing when the evidence is equivocal;
15 and then presence of bias, for example,
16 recommended when the evidence is equivocal or not
17 recommended when the evidence was equivocal. And
18 as you can see, this issue of equivocal evidence
19 is a recurring theme.
20 Our second question is: Is there an
21 analysis of potential harms and potential benefits
22 in the assessment of biologics and
23 chemotherapeutic agents included in the compendia,
24 and if yes, what components are used and how are
25 they quantified?

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1 Our third question: Which compendia
2 have listings on the off-label use of drugs and
3 biologics? Specifically considering the compendia
4 and the drugs and disease combinations that we
5 talked about within this report, and if these drug
6 combinations and specified off-label indications
7 are included in the compendia, how do the
8 compendia compare to their own stated methods?
9 Also, how do they compare to the other compendia?
10 And thirdly, how do they compare to the EPC's
11 review of the evidence?
12 So in order to address these three key
13 questions, we had the following methodology that
14 walked through these five steps. First, we
15 identified each cancer agent singly or in
16 combination, covering a range of different
17 variables. So we were looking at new versus old
18 agents, we looked at common cancers and more rare
19 cancers, and drugs and biologics. What we were
20 trying to do was address a broad combination or at

21 least within the scope of what we could get done
22 before this MCAC meeting.
23 Secondly, we identified the compendia
24 and the clinical practice guidelines, and that was
25 done as just presented to you.

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1 Thirdly, we abstracted the agreed-upon
2 information from the compendia, so we identified
3 what the compendium had to say about each of these
4 combinations. Then we performed an evidence-based
5 practice center search, an EPC search, and looked
6 at how much these two searches agreed, the
7 compendia matters and the EPC.
8 I would like to highlight for you as we
9 look at the EPC methodology, we are talking about
10 an abbreviated EPC methodology and I'll explain to
11 you why in a moment. So in order to address that
12 score, which was getting the EPC methodology
13 completed, first we conducted a MEDLINE search.
14 We did limit this to MEDLINE in an attempt to
15 maintain efficiency, and we looked at this through
16 January 20, 2006. We focused on studies in
17 English that dealt with people, agents and the
18 diseases of interest, we specifically focused on
19 those. We looked at any study design from phases
20 I through IV, and we also looked through the
21 abstracts from the American Society of Clinical
22 Oncology focusing on 2004 and 2005, assuming that
23 things in 2003 and backwards had had the
24 opportunity to be presented.
25 Importantly, we extracted these data

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1 into tables and within these tables we tried to
2 lay out the main information. And here we were
3 abbreviating what we were doing, we were trying to
4 get things in the table that we could look
5 globally across the compendia. So we looked
6 author and publication year, dose of the agent for
7 the indicated use, the co-interventions that were
8 evaluated, the comparator that it was evaluated
9 against, a brief description of the cancer stage
10 where these were evaluated in, an indicated line
11 of treatment therapy, study design. Outcomes, we
12 put in tumor response rate, survival, duration of
13 survival, progression-free survival, quality of
14 life and symptoms. And then finally, adverse
15 events, which we evaluated by time, severity,
16 organ, and frequency.
17 We think about how this is an
18 abbreviated methodology, and here are some of the
19 things that we did not do. For example, I
20 mentioned that we limited ourselves to MEDLINE,
21 that we only looked at the American Society of
22 Clinical Oncology as a source of abstracts and did
23 not look at other venues. In addition, we did not

24 have the opportunity or the time to assess
25 methodological quality of all the studies, which

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1 would normally be incorporated into an EPC
2 assessment. We also did not quantify the
3 magnitude of the outcomes, so we were not trying
4 to give you an efficacy endpoint for these
5 different combinations, but rather look at what
6 was the breadth of information out there and in
7 the different categories.
8 At Duke we looked at these
9 combinations. As I mentioned before, we were
10 trying to get a different kind of grouping of
11 different drugs and diseases. So we looked at
12 bevacizumab, also known as Avastin, for both
13 breast and lung cancer. So this was a new product
14 for use on common cancers, breast cancer is
15 approximately 32 percent of all cancers in women,
16 and lung cancer is 13 percent in men and 12
17 percent in women. Bevacizumab was FDA-approved
18 for colorectal cancer in February 2004.
19 One of the other drugs we looked at was
20 oxaliplatin, also known as Eloxatin, and we looked
21 at oxali in both breast and lung cancer. This
22 drug was FDA-approved in February 2004.
23 Next, irinotecan or Camptosar, this
24 time looking at breast cancer, and this drug was
25 FDA-approved in October 1998 for colorectal

00057

1 cancer.
2 I suspect you're seeing a trend here,
3 looking at common cancers and looking at drugs
4 that had other FDA indications.
5 The other drug that we looked at was
6 docetaxel or Taxotere, and we evaluated it in
7 gastric, esophageal and ovarian cancer. Taxotere
8 was FDA-approved in May of 1996 for breast cancer,
9 and later received an FDA indication for lung and
10 prostate cancer, and I think as maybe some of you
11 know, just last week it received an indication for
12 gastric cancer, which was one of the topics here.
13 These were some of our less common cancers that we
14 were looking at, and we specifically focused on
15 esophageal and gastric, and did not focus so much
16 on ovarian, given the time line for this project.
17 Tufts New England Medical will tell you
18 about these agents and these combinations.
19 So at Duke for the drug bevacizumab
20 directed at breast and lung, oxaliplatin directed
21 at breast and lung, irinotecan at breast, and
22 docetaxel at esophageal, gastric and ovarian, we
23 identified a total of 798 specific citations in
24 MEDLINE, pre-MEDLINE, the Cochrane database and
25 the ASCO abstracts. Of those individual reviews,

00058

1 19 percent met our initially stated criteria for
2 inclusion and were actually abstracted into
3 tables.
4 This completes the first point that I
5 wanted to make, which was how we were doing this.
6 And now what I'm going to do is move forward into
7 an example. As I've mentioned, we got a number of
8 different drugs in these combinations, and you can
9 go to the current version of the draft report to
10 look at these in complete tables as to what we
11 have for the evidence and how this evidence is
12 matched to the compendia. There were a number of
13 themes that emerged during this project, and I
14 think that bevacizumab in breast cancer and lung
15 cancer presents from the Duke EPC side a good
16 example of some of the themes that we were finding
17 during this project, and so I'm going to focus on
18 this combination in this next segment.
19 So here we're looking at the compendia
20 publications for bevacizumab for breast cancer.
21 The yellow across the top are the six compendia
22 evaluated and you see there the various
23 abbreviations I'm using for those compendia, and
24 down across the left-hand column, you're seeing
25 actually the row headings for the different topics

00059

1 that we were evaluating the compendium on as we
2 moved across compendia. We actually have a number
3 of other rows that are included within the tables
4 and certainly the tables have much more detail,
5 but for the purpose of today's discussion I am
6 going to focus on these points.
7 So first we see in the first row
8 whether or not an off-label indication was
9 discussed and/or indicated in these different
10 compendia. As you can see, only two of the
11 compendia, DRUGDEX and NCCN actually present
12 information about an off-label indication for
13 bevacizumab in breast cancer. DRUGDEX says it's
14 Level III or inconclusive, and NCCN says it's
15 Level 2A, so that they felt like there was uniform
16 information when it was not completely conclusive.
17 They do give us information on stage and whether
18 or not it should be given as monotherapy or in
19 combination, and DRUGDEX mentioned the outcomes
20 that they're basing this on.
21 Importantly, consistent with our
22 findings among almost all of our drug-disease
23 combinations, the compendia actually do pretty
24 much always represent toxicity data when we're
25 looking at this, although these toxicity reports

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1 may not be specific to this particular disease.
2 Here these two compendia where they did

3 have information on off-label usage actually
4 indicated a dose, and they both start to give us
5 information about where they base this evidence.
6 And DRUGDEX specifically states one citation which
7 was from 2001, and NCCN states one citation from
8 2003. The DRUGDEX citation actually is of a Phase
9 II trial that was presented in abstract form only
10 and as you will see in a moment, this information
11 was cited in DRUGDEX from 2001 and indeed, there
12 was actually a 2003 abstract later presented
13 updating this information. The NCCN single
14 abstract that was cited in 2003, and as you will
15 see, there is a 2005 abstract that has now updated
16 this information.
17 There are, these data as identified by
18 the EPC, it's a difficult table to read and
19 believe it or not is actually quite abbreviated,
20 so let me quickly tell you that what you see here
21 is that we've got a total of 10 citations across
22 these that addressed bevacizumab's use in breast
23 cancer. Of these, two were Phase III studies,
24 three were Phase II, two Phase I/II, and three in
25 other groupings.

00061

1 This table highlights for you several
2 points. First of all, that Phase II and Phase III
3 studies did exist and indeed, there were two Phase
4 III studies. That importantly, sometimes it can
5 be difficult, so there were three studies were
6 other, and actually looking at those, they
7 included patients who had cancers and received
8 bevacizumab for other indications, including
9 non-FDA indications, and that there was both new
10 adjuvant and metastatic disease represented across
11 these several studies.
12 We also see that in the Phase III
13 studies, there is information about tumor
14 response, survival, quality of life and symptoms,
15 but otherwise, that information is not uniformly
16 presented across the other studies, as you would
17 expect.
18 Now let's compare that to what the
19 compendia have for this particular combination.
20 What we can see is that DRUGDEX and NCCN both had
21 one citation each and neither of those citations
22 were common with the EPC search. Why were they
23 missed? Well, both of these were missed because
24 there was actually updated information available.
25 As I mentioned before, the DRUGDEX search had the

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1 2001 abstract, this was an abstract that was Phase
2 II data with more recent information presented in
3 another abstract two years later.
4 The second was the NCCN. Again, it had
5 an abstract indication in one of the Phase III

6 trials, but that had been updated at the American
7 Society of Oncology meeting last year in June, as
8 well as the preceding (inaudible).
9 If we look at what were the main Phase
10 III studies, just focusing on Phase III here, we
11 see that there were two Phase III studies, both
12 with the same first author. The first of these
13 was actually published in full text in the Journal
14 of Clinical Oncology last summer and there they
15 looked at bevacizumab with capecitabine in late
16 stage, heavily pretreated women who had metastatic
17 breast cancer. It demonstrated statistically and
18 clinically significant tumor response but no
19 survival benefit, and this was demonstrated in the
20 full text.
21 Secondly, again Miller in 2005,
22 combined with paclitaxel in advanced breast cancer
23 in the front line setting, and there were
24 statistically and clinically significant
25 improvement in tumor response, progression-free

00063

1 survival and overall survival, but importantly,
2 the second study where the progression-free
3 survival wasn't even an endpoint, they only
4 submitted preliminary data in abstract form and
5 the published study has not met its final
6 endpoints.
7 These other four compendia, as you can
8 see, have remained silent on the issue. If we
9 compare back to what they had said about equivocal
10 data or silence in listing, AHFS-DI said they
11 would be silence or listing; ClinPhar, silence;
12 DRUGDEX listing with qualifier, which is what they
13 did do; Facts & Comparisons, silence, which is
14 what they needed to do; NCCN, silence or
15 qualifier, which is where they were; USP-DI,
16 listing.
17 There were some similar discrepancies
18 when you look across these, such as the update
19 dates suggest data that wasn't there. Also,
20 secondly, their stated methodology focused on the
21 fact that they would present new advanced studies,
22 especially those in Phase III, some even said
23 Phase II and Phase III, but these data were not
24 always available. And they also, if they didn't
25 state the specific evidence for the indication,

00064

1 you did not know whether or not it was unexamined,
2 inadequate, equivocal, there was no benefit or it
3 was harmful.
4 The other thing I would like to point
5 out here is the influence of time, so here is the
6 time line for bevacizumab in breast cancer. So if
7 we kind of imagine that back in the red is
8 somewhere around 2001 when we didn't really have

9 any good information, and as we move into yellow
10 it becomes more unclear, and as we move into
11 green, some might argue that there's more
12 information now to suggest the role of bevacizumab
13 in breast cancer.
14 So as you see, and I've put some of the
15 actual studies in here, we've got a Phase II in
16 2001 that was positive and it makes us perhaps
17 think that there is equivocal evidence of a clear
18 phase. Now there's a Phase III that did not meet
19 its progression to survival, it didn't have
20 evidence of improved tumor response, and that one
21 was first submitted in abstract form in 2002, and
22 then when we get to Phase III, it is not quite
23 completed yet, which was first submitted and
24 recognized by the NCCN in 2003, moving it into
25 this area of more information for bevacizumab in

00065

1 breast cancer.
2 What we can see is DRUGDEX makes their
3 first statement and their only statement about the
4 evidence here is unclear, referencing an abstract
5 from 2001, and NCCN is referencing an abstract
6 from 2003, and this is where we are on that time
7 line. And again, we have four other compendia
8 that we don't know what they've done with this
9 information.
10 As a comparison, and quickly I'll show
11 you lung cancer with bevacizumab. As an example
12 here, we have five of the six compendia stating an
13 off-label indication for this, giving a setting
14 predominantly of advanced or metastatic disease.
15 They are more clearly inclusive and uniform,
16 although the information may vary as far as how
17 clear they are in their statement of the off-label
18 indication.
19 We look at the citations and we see
20 that across those five compendia, there are
21 approximately zero to three citations indicated,
22 most of them including at least one, except for
23 Facts & Comparison which does not mention any
24 citations, but the other citations are from 2004
25 and 2005. These citations that are uniformly

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1 included across the compendia are abstracts, and
2 actually as you will see in a moment, the same
3 abstract, which is nice to see, they're all
4 finding the same one. The number of journal
5 articles is also a little bit different by
6 compendia.
7 Here's what we found. We found five
8 citations, one Phase III, which is the same one
9 that all the compendia are finding, two Phase IIs,
10 and then so forth. And if we compare back and
11 forth between the number of compendia citations

12 and the citations which were common with the
13 evidence-based practice center, we see that
14 for the most part we are finding the same group of
15 citations. So that four of the six compendia are
16 listing references, and all of them are listing
17 the one same abstract which was presented at the
18 American Society of Oncology meeting in 2005.
19 Here we see that carboplatin/paclitaxel
20 resulted in statistically and clinically
21 significant improvement in tumor response,
22 progression-free survival, and overall survival.
23 Here we have a mature study that has gone to its
24 primary endpoint and has not been published yet.
25 Here is a table for equivocal data.

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1 AHFS-DI says silence or listing, while the others
2 have been more clear with their listing, as you
3 can see here as to what they state or they don't
4 with respect to their methodology.
5 Finally, this takes me to part three
6 and this is just going to be a quick snapshot and
7 you can take a look at the rest of these data in
8 our full report. First of all, the question about
9 what we knew about study quality. We did not
10 establish quality clearly across all these
11 studies, but this is just a sense of what quality
12 looks like for the Phase IIIs, and I think the
13 most important part of the Phase III data that we
14 do have are really the emerging data in abstract
15 form, and it's really difficult to establish what
16 quality these reports are. If we look at
17 reporting quality for the published studies for
18 bevacizumab and breast cancer, and docetaxel for
19 gastric cancer, we see that they come up with a
20 JADAD score of two to three, which is moderate
21 quality.
22 If we look at off-label indications and
23 now look across our drug-disease combinations,
24 what we see is that bevacizumab for breast cancer,
25 two compendia state information. I'm not saying

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1 to you whether or not they tell us if this is
2 something that should be used and they advocate
3 use, but I'm telling you that they state
4 information. Five for bevacizumab in lung cancer,
5 one for oxaliplatin in breast cancer, none for
6 oxaliplatin in lung cancer, one for irinotecan in
7 breast cancer, four for docetaxel in esophageal
8 cancer, and five for docetaxel in gastric cancer.
9 And as you can see, it's not uniform across the
10 groups. So if we come back, the way they address
11 equivocal evidence and the citations are similar
12 to what we've seen earlier.
13 So my main observations as I complete
14 this segment, there is a need to continue to work

15 on a clear rigorous method for how these
16 drug-disease combinations are dealt with within
17 the compendia. There is an issue about regular
18 updating and I think there is also a risk of delay
19 whether there is or is not regular updates. There
20 is not much in the way of presentation of evidence
21 pro or con on these indications. There is an
22 issue about whether or not the purpose of the
23 compendia are pharmacopeia or as clinical practice
24 guidelines, as Doug has already touched on. We
25 see variations across the compendia, as we've

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1 seen. We have a hard time figuring out what
2 initiates evaluation of an off-label indication.
3 We see here the influence of time is very
4 important. When we look at the compendia, what
5 we're seeing right now is a static snapshot in
6 time, so we have not had the opportunity to figure
7 out if there is some way we can see what has
8 happened over time with the compendia and how they
9 may have changed or not changed their approach to
10 the indication. And finally, we don't know what
11 silence means, and silence was very common.
12 DR. BALK: I'm Ethan Balk, I'm with the
13 Tufts Medical Center EPC, and let me get this
14 going. So, I worked on this with Gowri Raman, who
15 has joined me here, and several other people,
16 including the director of our EPC, Joseph Lau. I
17 may skip over some of the slides for the sake of
18 time, but briefly, again, we looked at gemcitabine
19 for bladder, ovarian and biliary tract cancer,
20 rituximab for chronic lymphocytic leukemia, and
21 erlotinib for head and neck, and pancreatic
22 cancer, again, a mix of common and rare drugs,
23 common and rare cancers, newer and older drugs,
24 and drugs and biologics.
25 Again, the literature search was done

00070

1 around the new year, and here's an example from
2 one of our comparisons. Gemcitabine used for
3 biliary tract cancer, with the gemcitabine
4 combination, there tends to be a fair number of
5 studies available. There were 29 peer reviewed
6 articles that we found, plus four abstracts from
7 2004 to 2005. As with most of the combinations,
8 the large majority of the articles were Phase II
9 trials, and again as common with most of the
10 combinations, tumor response, survival and adverse
11 events were almost universally reported, quality
12 of life and patient symptoms were rarely reported.
13 Across all the different combinations
14 that are listed over here, you can see, again,
15 that for gemcitabine there are a fair number, 25
16 to almost 50 articles available, likewise for
17 rituximab. For the two cancers with erlotinib,

18 there are a small number of studies. Again, Phase
19 II trials are most common, and there were few
20 Phase III trials in these agent-cancer
21 combinations. For the gemcitabine actually, there
22 were two articles, but they both represent the
23 same trial, and for the ovarian cancer, there was
24 one Phase III trial that we looked at in
25 connection with the other trial, and that was

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1 published within the last couple of months, in
2 2006, so we wouldn't expect that to be included in
3 a compendium.
4 Again, with the gemcitabine biliary
5 tract combination, three of the compendia were
6 silent, there was no discussion about this
7 combination, three of the compendia, Facts &
8 Comparisons, NCCN and USP-DI, did include a
9 discussion of this combination. As you can see,
10 the number of citations that were used varies
11 widely across the compendia and again, this
12 compares to the 29 that we found. USP-DI had the
13 largest number on this combination, NCCN looked at
14 just a couple, or included just a couple of
15 citations, and for this combination, Facts &
16 Comparisons did not list any citations. There was
17 fairly good overlap in the citations that were
18 used in the compendia and the citations that we
19 found.
20 In this case, there were a couple of
21 citations that we had missed that we ended up
22 adding in that were in the USP-DI. Generally
23 citations were common across the combinations.
24 Several of the citations did not meet our
25 criteria, mostly because they were either foreign

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1 language or they were review articles, textbooks
2 and so forth. For this combination, most of the
3 studies were relatively old, they were published
4 in 1998 to 2003, but there continued to be
5 publications in 2004 and '5, and I would expect to
6 find them in the different compendia, depending on
7 when they updated.
8 I realize that this will be more
9 difficult to read than on my computer, but this is
10 one of the examples of the tables that compares
11 all the different compendia and the different
12 factors, and reading this over I'm just going to
13 get examples, again, for different combinations.
14 For whether the off-label citation was
15 explicitly cited for gemcitabine in biliary tract
16 cancer, again, these three compendia stated it.
17 We actually had NCCN as unclear; they did state
18 that there was some data; however, in our
19 interpretation of data at the NCCN, NCCN doesn't
20 differentiate FDA-approved and off-label uses, so

21 we marked that as unclear.
22 To give an example of toxicity
23 reporting, all of the compendia except NCCN
24 reported toxicity, adverse events, none of them
25 for this combination reported a cancer-specific

00073

1 adverse event. They did all report severity of
2 adverse events, frequency of events and what organ
3 they had occurred in. The NCCN, the version that
4 we looked at did not include any listing of the
5 adverse events across all of the combinations.
6 The adverse events were actually fairly
7 uniform across all the compendia and from our
8 reading, they seemed to rely primarily on the
9 package labeling. And finally for this slide,
10 again, the number of citations varied widely
11 across the different compendia, and the years that
12 the citations were from also varied across the
13 different compendia.
14 So, this is a summary across the six
15 compendia that we looked at and the six
16 combinations about whether the off-label status
17 was clearly indicated. And this slide, as stated,
18 the NCCN, that it was clearly indicated. So the
19 cells in gray, basically these compendia were
20 silent about these combinations. For the most
21 part, actually, overall there was agreement, at
22 least among the compendia that discussed a
23 combination. There was agreement only for the
24 erlotinib for pancreatic cancer, wherever the
25 combination was discussed, it was not an

00074

1 indication.
2 Again, across the compendia and the
3 combination, looking at different numbers of
4 citations that were given by the compendia, this
5 column here shows the number of citations that we
6 found in our search, so you can see that for
7 USP-DI, they for the most part had the largest
8 number of citations, which, it's not indicated
9 here, but there was generally fairly good overlap
10 with the citations that we found. NCCN and
11 Clinical Pharmacology tended to study just one or
12 two, occasionally a couple of additional
13 citations. Facts & Comparisons at least across
14 these examples tended not to give any citations.
15 One point of interest with DRUGDEX is that we
16 actually found there were different versions of
17 the electronic compendia, and the version that we
18 were looking at as opposed to the version Duke was
19 looking at, did not include any references.
20 So, here is just the Phase III trials.
21 As I said, for these combinations there were
22 actually very few Phase III trials. There was one
23 gemcitabine in bladder cancer and one prior to

24 2006 for gemcitabine in ovarian cancer. And
25 again, across the compendia, AHFS and Clinical

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1 Pharmacology did discuss this well and fully, and
2 NCCN cited it but there was no specific discussion
3 of the trials. Facts & Comparisons and USP-DI did
4 not discuss or reference this Phase III trial.
5 And actually, we haven't looked to see if the
6 other version of DRUGDEX did include this. This
7 more recent trial from 2005 was not cited in any
8 of the compendia.
9 This reviews the toxicity reporting
10 across the different compendia. Again, NCCN does
11 not, in our reading, did not include toxicity.
12 Otherwise, toxicity was generally reported. The
13 plus signs indicate that there was a cancer-
14 specific toxicity reported, and this was a
15 variable across the different compendia. One
16 point is that two of the compendia were actually
17 silent as to the use for the indication, but they
18 did reference the same article regarding toxicity
19 of rituximab as a specific indication for CLL.
20 An item that we were interested in
21 reviewing is how do the different compendia make
22 statements or possibly recommendations, but make
23 statements about the combinations. And we came up
24 with pretty much, we came up with this
25 stratification for what kinds of statements might

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1 be made. First, it's a recommendation and it
2 could be a strong recommendation such as a
3 statement like it is recommended or something that
4 should be used, or it might be a weak
5 recommendation such as just a suggestion or
6 indication or advisement. And then another
7 approach would be just stating some observations
8 or findings, and this could be an active process
9 where they actually describe the articles that
10 they cite with some description, or a passive
11 statement such as this is used or not used without
12 that much description, or silence, they might not
13 cover the topic.
14 So, some examples of strong
15 recommendations. NCCN has categories of consensus
16 and they made specific recommendations based on
17 these categories. USP-DI also, as discussed
18 earlier, had different categories of accepted,
19 acceptance not established. For statements about
20 observations and findings, some examples, AHFS
21 used some phrases like agent X is used for
22 cancer Y, agent X is an active agent against
23 cancer Y. In Clinical Pharmacology studies were
24 discussed, but there was no specific statement
25 about that indication. DRUGDEX and Facts &

00077

1 Comparisons categorized agents by whether they
2 were labeled, being used for labeled or unlabeled
3 uses.
4 Some further examples of some wording,
5 just to show the differences across the different
6 compendia, and again, the compendia were designed
7 as was discussed earlier for different purposes,
8 so it is not surprising that there are differences
9 in style. So, some examples. From AHFS,
10 gemcitabine is used, and five references are
11 given, for the treatment of bladder cancer.
12 Objective responses have been observed. And in
13 this example, there was no specific description of
14 any of these five references. Clinical
15 Pharmacology says gemcitabine was evaluated in
16 patients with ovarian cancer, and then there's a
17 description of one of the studies showing complete
18 responses and so forth. DRUGDEX had a simple
19 comment stating non-FDA-labeled indications,
20 neoplasm of bladder.
21 Another example from NCCN, this
22 combination, gemcitabine with cisplatin, is
23 considered a standard first line choice for most
24 patients, so it is a specific recommendation that
25 is given category 2A, and a description listed in

00078

1 the compendium in the section describing what that
2 2A means. In another section for salvage therapy,
3 several drugs including gemcitabine is advised
4 depending on patient's current status.
5 USP-DI, under the accepted category,
6 state that gemcitabine is indicated based on
7 response rates achieved in clinical trials,
8 stating five references. Gemcitabine is indicated
9 alone or in combination as reasonable medical
10 therapy at some point in the management of
11 patients. This was given an evidence rating of
12 III-D. However, nowhere in this section anywhere
13 was that described, although the reference given
14 for this was the reviewer's consensus. And
15 actually across all the other compendia, or across
16 all the other combinations that we looked at, this
17 was the only place where there was an explicit
18 rating of any kind for the USP-DI.
19 Under the acceptance not established,
20 again, USP-DI states use of gemcitabine has not
21 been established due to insufficient data
22 regarding response/efficacy, and lack of peer
23 reviewed evidence. For this there were actually
24 20 citations.
25 We'll skip over that and get to some of

00079

1 our findings. In combinations of agents and
2 cancers that we looked at, Phase III studies are

3 rare. References used by compendia are often not
4 up to date with the current medical literature,
5 they tended to include only the older studies, and
6 as was discussed earlier, the date of the update
7 does not necessarily mean citations were updated.
8 One other thing that's not on here that I should
9 add is that the references, the way that the
10 reference is used varies across compendia.
11 Sometimes they were just listed and sometimes they
12 were described.
13 The next point is that there are large
14 variations among the compendia in the citation of
15 evidence. USP-DI generally has a high number of
16 citations compared to others. DRUGDEX is
17 available in two formats, one of which does not
18 include citations. Facts & Comparisons, for the
19 indications we looked at, rarely offered
20 citations.
21 The discussions and the conclusions in
22 the compendia often did not appear to be based
23 directly on the citations. Instead, citations
24 were provided seemingly as simple lists of
25 studies, and it was unclear from our reading of

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1 these sections alone whether the citations were
2 lists of all, what were thought to be all the
3 available evidence, or suggested reading for
4 example, or actually as another alternative, kind
5 of a more standard way of using references as
6 actually backing up the prior statements.
7 There is variation in the types of
8 statements made as discussed, sometimes clouding
9 the interpretation of whether a recommendation or
10 indication was being made, and we actually found
11 it was somewhat subjective from our point of view
12 when we found that a recommendation was being
13 made.
14 There is general uniformity of
15 reporting of adverse events, which as I mentioned,
16 tends to be similar to package inserts or
17 labeling.
18 So in summary, the compendia differ
19 across each other by which combinations of agents
20 and cancers are discussed, or on the flip side,
21 which combinations they are silent on, how they
22 state whether an agent is indicated for a specific
23 cancer, the level of detail regarding the agent's
24 use, how the evidence is discussed and referenced.
25 In general, a small percentage of the available

00081

1 evidence is explicitly cited. And, citations
2 frequently act more as lists of available studies
3 than references to back up the statements made.
4 Thank you.
5 DR. GARBBER: Thank you, Ethan. Thank

6 you all three presenters. I just want to make
7 sure, Amy, Doug and Ethan, you will be available
8 for the open discussion? Okay, terrific.
9 At this point, what I would like to
10 know is if any panel members have questions of a
11 clarifying nature for the three people who just
12 presented. We will be able to ask them questions
13 relevant to our discussion of voting questions
14 later on in our open session. Cliff.
15 DR. GOODMAN: Early on in the evidence
16 and EPC write-up it says that use of a quorum and
17 frameworks for evaluating the compendia, and you
18 noted those typically used were meta-analyses and
19 practice guidelines. How practical do you think
20 it was to use those two instruments for this
21 purpose, and did it serve our needs in evaluating
22 these compendia?
23 DR. MCCRORY: We didn't use the
24 instruments per se as they were designed, we used
25 them to report the scores and then we used them

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1 more as a framework for how to think about what
2 the compendia were doing in their roles of looking
3 at evidence, evaluating evidence, and interpreting
4 the evidence and coming up with a recommendation.
5 So we used them more as a conceptual framework
6 along with the questions that the MCAC committee
7 designed, and we developed almost a questionnaire,
8 if you will, that we used to guide our interviews.
9 Ultimately what we, what the tables show in the
10 report is what we ended up coming up with as a
11 range of domains. We felt they agree and the
12 quorums were pretty good because they were
13 comprehensive.
14 DR. GOODMAN: So relative to your
15 expectations for meta-analysis instead of practice
16 guidelines, given that context, what is your
17 overall assessment of the quality of these
18 compendia as a group? I know you tried to display
19 it in these tables, but I'm wondering about the
20 potential disconnect between the way you were
21 evaluating these and the purpose of these
22 compendia. It seems to be --
23 DR. MCCRORY: I agree there is a
24 disconnect, and let me return to the blind man and
25 the elephant analogy. We went in with blinders

00083

1 on, evaluated them for a purpose for which I don't
2 think they were particularly well suited. In the
3 report we described the staffing levels, we
4 described some financial and some other aspects
5 that go into the compendia. They are not staffed
6 to do a comprehensive literature review, they
7 don't have the numbers of staff to do that. So we
8 weren't really trying to hold them to a standard

9 that they perhaps couldn't achieve, we were only
10 trying to say what would be, you know, if you have
11 a choice between going to a compendia or going to
12 a recently released high quality review or
13 guideline, you know, how do they stack up and
14 compare to them perhaps.
15 DR. GARBER: Thank you. Alex.
16 DR. OMMAYA: I recognize you have
17 specific views of the compendia based on the
18 examples that you chose, but I just wanted to
19 notice that later on when we address the question
20 specifically, I would appreciate your views as to
21 strengths and weaknesses of individual compendia
22 based on the time that you spent analyzing the
23 questions that you did.
24 DR. ABERNETHY: Is that for later or
25 now?

00084

1 DR. OMMAYA: Later.
2 DR. GARBER: Okay, thank you. Some of
3 you might have noticed that we had a scheduled
4 ten-minute break at 9:35. I have been in a few
5 meetings in this room and I have never seen a
6 ten-minute break. And it's obviously pretty
7 difficult to reconvene in ten minutes. So I want
8 to ask the pleasure of the panel that we not take
9 a break but encourage you to leave individually if
10 you need to, but otherwise we continue with our
11 proceedings. Linda.
12 DR. BERGTHOLD: I'm trying to stay
13 awake, but isn't it a little warm in here?
14 DR. PHURROUGH: We've had a budget cut.
15 (Laughter.)
16 DR. GARBER: So the next is the invited
17 guest speakers, and I think next up is Gerald
18 McEvoy, are you here and available?
19 DR. MCEVOY: Yes.
20 DR. GARBER: And just so you're ready
21 to go, the next speaker we have is Laura Moore, so
22 as your time is approaching, please come up front
23 so we can resume. Is Laura Moore here? If she's
24 not here, the speaker after her is Jerome
25 Osheroff.

00085

1 SPEAKER: He's here.
2 DR. GARBER: Okay. Just be ready to go
3 up front then.
4 DR. MCEVOY: Unfortunately, I'm going
5 to be talking today without slides. We found that
6 this committee is different from the committees
7 that we've given comments to in the past, usually
8 FDA where you cannot use Power Point, and we found
9 out too late in the process that Power Point was
10 in fact the preferred method, so I apologize in
11 advance, and I hope that people are not upset

12 watching this talking head.
13 My name is Gerald McEvoy. I'm the
14 assistant vice president of drug information at
15 the American Society of Health System Pharmacists.
16 The American Society of Health System Pharmacists
17 is pleased to provide comments to the Medicare
18 Coverage Advisory Committee regarding the use of
19 authoritative drug compendia in determining
20 medically accepted indications for anti-cancer or
21 chemotherapy regimens. ASHP is a 30,000-member
22 national professional association that represents
23 pharmacists who practice in inpatient, outpatient,
24 home care and long-term care settings. My
25 presentation has not been paid for by any

00086

1 organization or pharmaceutical company. I am
2 currently employed at ASHP, a nonprofit
3 professional practice which is funded by our
4 membership and independent sponsorship.
5 ASHP is the publisher of the American
6 Hospital Formulary Service Drug Information, which
7 is one of the three compendia originally
8 designated for making determinations about
9 medically accepted indications for anti-cancer
10 chemotherapeutic regimens under the Social
11 Security Act, and AHFS is the only remaining
12 federally recognized drug compendium published by
13 a noncommercial professional entity.
14 ASHP believes that the mission of
15 pharmacists is to help people make the best use of
16 medications, and ASHP supports a vision for
17 pharmacy practice in hospitals and health systems
18 in which pharmacists will lead evidence-based
19 medication use programs to implement best
20 practices. Publication of AHFS-DI is an important
21 component in achieving this mission and vision, as
22 are the activities of our commission on
23 therapeutics.
24 The mission of AHFS-DI is to provide an
25 evidence-based foundation for safe and effective

00087

1 drug therapy, and that was noted in one of the
2 slides. It is widely trusted for its established
3 record in refuting unfounded efficacy claims, a
4 rigorous science-based editorial process,
5 independence from the influence of pharmaceutical
6 manufacturers, and we've remained true to our
7 mission for almost 50 years. We have been
8 conducting this type of activity since 1959, which
9 is a period that predates the beef-up or Harris
10 amendment, which for the first time gave the FDA
11 authority to actually make determinations about
12 efficacy programs. Our publication was based on
13 evaluating claims prior to there being a
14 regulatory method for example of doing that, in

15 1959.
16 We're the most widely vetted drug
17 compendium. Requested of the compendial authority
18 of AHFS has extended over four decades, being
19 proposed initially as a resource whose quality and
20 scope met the goals for a proposed federal drug
21 compendium in the 1960s and 1970s. However, the
22 government ultimately decided not to pursue that.
23 As a result of our demonstrated expertise in
24 critically evaluating drug information, FDA
25 actually contracted with ASHP to develop a class

00088

1 prescription labeling system for 20 major classes
2 of drugs in the 1970s.
3 HCFA, now CMS, determined in 1989 that
4 AFHS-DI met the compendial selection criteria
5 established by Congress and adopted it as one
6 standard in carrying out certain aspects of the
7 Social Security Act, and then this precedent
8 established AHFS as a compendial standard in
9 subsequent legislative and regulatory initiatives
10 for both Medicaid and Medicare. HCFA also
11 established the expectation that such designation
12 of any compendium in the future would require
13 similar evaluation by the agency as to whether a
14 compendium met established standards as well as
15 publication in the Federal Register of their
16 selection decision in the form of a proposed rule
17 allowing for public comment.
18 AHFS is supported solely by
19 subscriptions and licensing fees and receives no
20 financial support from pharmaceutical
21 manufacturers. ASHP maintains a strong policy
22 regarding the editorial independence of our staff.
23 AHFS had its origins in the formulary
24 system, which established a mechanism for
25 pharmacists to formally communicate with medical

00089

1 staff and which serves as a sound therapeutic and
2 economic basis for drug policy. Originally, AHFS
3 was designed to assist the pharmacy and
4 therapeutics committee of each hospital in
5 preparing its hospital formulary. Although the
6 publication has developed beyond its original
7 purpose, the principal goal of promoting rational
8 drug therapy through objective evaluations has
9 remained.
10 Paramount to providing such information
11 is the critical evidence-based evaluation of
12 pertinent clinical data concerning drugs, focusing
13 on assessing the advantages and disadvantages of
14 various therapies, including interpretation of
15 various claims of efficacy for pharmaceutical
16 products.
17 Information shapes treatment decisions

18 made by clinicians and influences public and
19 private healthcare policies and decisions and as a
20 result, it is important that the information be
21 authoritative, objective, and importantly, free of
22 undue influence from pharmaceutical manufacturers,
23 health insurers, pharmacy benefits managers, and
24 other third parties who may seek to use the
25 compendium to promote their own vested interests.

00090

1 Editorial decisions are evidence-based and made
2 independent of any such third parties, and final
3 decisions are made solely by the AHFS editorial
4 staff, taking into account the advice of expert
5 reviewers.
6 Information in the AHFS is prepared by
7 professional staff, a professional editorial and
8 analytical staff who critically evaluate published
9 evidence. We do not solicit authorship of our
10 material from external sources, nor do we accept
11 submission of information for potential
12 publication. Use of external volunteers in our
13 process is limited to expert review, not of
14 content development. The external review process
15 utilizes appropriate advice from leading medical
16 experts in the specific field of therapy,
17 including experts in major research and clinical
18 institutions as well as public bodies such as the
19 NIH and the CDC.
20 Using an independent process, AHFS
21 monographs incorporate information from pertinent
22 references in the medical literature and expert
23 therapeutic guidelines. Many factors influence
24 the selection of an off-label use for
25 consideration and possible evaluation by staff.

00091

1 We apply a systematic approach in identifying and
2 critically evaluating clinical data, aided in
3 recent years by the availability of an expansive
4 array of resources available through the Internet.
5 Decisions about what or what not to include are
6 evidence-based, requiring support in the published
7 medical literature and medical practice.
8 The analysis is an intramural process
9 performed by a professional staff with strong
10 scientific and therapeutic backgrounds. This
11 provides a high level of quality control and
12 consistency in content development. The process
13 involves assessment of scientific merits of
14 available data.
15 The principal influence on these
16 decisions is the weight of the supporting
17 evidence. The process is evidence-based with
18 well-designed studies, specifically randomized
19 clinical trials and systematic reviews of
20 randomized clinical trials being weighted more

21 heavily than observational studies or case
22 reports. The importance and severity of the
23 disease, availability of alternative therapies and
24 their relative toxicities, the number of patients
25 affected by the disease, other patient population

00092

1 characteristics and other factors also are
2 important considerations.
3 The review process for preliminary
4 information involves a multi-step intramural
5 evaluation and review by the editorial and
6 analytical staff and the solicited external expert
7 review. Reviewer participation is solicited but
8 voluntary, and no honorarium or other benefit is
9 provided. Full disclosure of interest, including
10 any affiliation or financial involvement with the
11 manufacturer of the drug under consideration is
12 requested.
13 In 2003, AHFS initiated a process for
14 developing a codified method for summarizing its
15 evidence-based analyses. While the principles of
16 the AFHS editorial development process had not
17 changed, moving to a structured, codified format
18 that would summarize ongoing staff assessments of
19 new and changing evidence was initiated to aid
20 analysis and evaluation of various drug uses. The
21 development of the AFHS evidence rating system
22 applied the principles of Fletcher and Sackett, as
23 reflected in the work of the American College of
24 Chest Physicians. FDA guidance documents for
25 assessing clinical trials, levels of evidence

00093

1 applied by AHRQ, and the ASHP's commission on
2 therapeutics, as well as several dozen other
3 documents and resources --
4 DR. GARBER: I'm sorry, but your time
5 is up.
6 DR. MCEVOY: Okay, thank you.
7 DR. GARBER: Thank you very much. Our
8 next speaker will be Jerome Osheroff, or Laura
9 Moore.
10 MS. MOORE: My name is Laura Moore.
11 I'm with Thomson Micromedex and the senior
12 director of editorial services there. As far as
13 financial conflicts, I am an employee of Thomson
14 Micromedex and I do own some stock in Thomson
15 Corporation, a provider of compendia. I don't
16 have any other financial conflicts of interest. I
17 have had some preliminary conversations prior to
18 this meeting with Dr. Whitten as well as
19 (inaudible) that are within the confines of our
20 written testimony that we supplied to you
21 previously.
22 I do have a prepared Power Point
23 presentation for this morning, but at the outset I

24 would like to begin by saying that yesterday we
25 had the opportunity to review the report that was

00094

1 produced by Duke and Tufts University and we had a
2 chance to walk through that, and as part of our
3 review we identified some inconsistencies in their
4 information. In particular, Dreibach, for
5 example, is always cited, so that particular
6 product is fully referenced in all cases in every
7 electronic format that is available, and in
8 reviewing the 14 indications, all 14 of those
9 indications do appear in DRUGDEX. And my concern
10 is that there is the possibility that in DRUGDEX,
11 the product was not actually used as the source of
12 information but perhaps Drug Point, which is an
13 abbreviated drug information source that's
14 available in the electronic version, might have
15 been used instead.

16 As part of my presentation today, I
17 want to present to you just a quick overview of
18 the Thomson Corporation and Thomson Micromedex, as
19 well as introduce you to our people on the
20 editorial staff responsible for maintaining our
21 drug information content, the processes that we
22 use for maintaining that content, the updates on
23 that information, and what we've accomplished last
24 year in that regard.

25 The Thomson Corporation is a leading

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1 global provider of integrated information
2 solutions for businesses and professionals. We
3 provide must-have information, using technology
4 and applications to help our customers reach
5 better decisions faster in the course of their
6 workload. Currently we serve over 20 million
7 information users in the field of tax, law,
8 accounting, higher education, corporate training,
9 financial services, scientific research, and of
10 course healthcare. Thomson is organized into
11 different units, Thomson Legal and Regulatory,
12 Thomson Financial, and Thomson Healthcare.
13 Thomson Micromedex was founded in 1974
14 by a physician, Dr. Barry Rumack. We were
15 subsequently acquired by Thomson Corporation in
16 1991, and are currently a business unit of
17 Thomson Healthcare. We employ 425 people in the
18 Denver office and we provide electronic
19 subscription-based products with the exception of
20 the print version of the USP-DI currently in the
21 areas of drug information, toxicology, as well as
22 patient education.

23 Now I would like to move on to our
24 people. In our Denver office we employ over 100
25 editorial staff members. Physicians, nurses,

00096

1 pharmacists and other allied healthcare
2 professionals comprise the majority of our
3 editorial team. They represent a wide range of
4 specialties, including internal medicine,
5 emergency medicine, toxicology, oncology, clinical
6 research, the list goes on, and I'll just give you
7 a few. In addition to their educational and
8 professional training, they also are trained in
9 literature evaluation and in literature
10 identification techniques, in accordance with
11 accepted practices. And then also of interest to
12 this panel, we do have oncologists, pharmacists
13 who do evaluations in that area. We also have a
14 clinical research design expert who also is an
15 expert in statistical analysis of indications and
16 reviews. And then finally, we have a full medical
17 library on site staffed by a medical librarian and
18 numerous research staff, this number is up to
19 seven right now, in addition to our medical
20 librarian, and this group is responsible for
21 continuing to monitor research studies, enhancing
22 those and making sure that the appropriate
23 information reaches our editorial process in an
24 efficient and effective manner.
25 Moving on to our process, we have, our

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1 senior clinical staff is responsible for
2 identifying and prioritizing topics for inclusion
3 in our databases. They select topics based on a
4 number of criteria, primarily based on their
5 ongoing review of medical journals. We do have
6 standardized searches conducted of MEDLINE on a
7 weekly basis and the results of those searches are
8 reviewed on a weekly basis by our senior staff as
9 well. Based on clinical judgment, we look at
10 national healthcare trends and changes in
11 practices from various professional organizations,
12 regulatory standards and compliance, FDA actions.
13 And lastly, we do accept submissions from external
14 sources, although it's not the primary mechanism
15 by which we identify information for inclusion.
16 We do have very explicit criteria that
17 submitters must follow, and this includes sharing
18 potential financial conflicts, who they are, who
19 they're with. We require that they submit
20 original copies of research, positive and negative
21 findings. And once it reaches us, the fact that
22 it's now in our hands, and we typically don't have
23 communications back and forth with the requester.
24 We take those steps to insure that our process is
25 unbiased. Once we identify the topic for

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1 inclusion, we perform extensive literature
2 searches, identification of relevant and quality

3 literature for inclusion. We look at breakthrough
4 therapy, and patient safety is prioritized. And
5 we evaluate the literature for methodological
6 rigor, appropriateness of statistical analyses,
7 and clinical relevance.
8 I know that I have ten minutes, but I
9 was on the schedule twice, so could I combine
10 those?
11 DR. GARBBER: Is Dr. Osheroff not going
12 to speak then?
13 MS. MOORE: He is available to assist
14 in answering any questions when I'm done.
15 DR. GARBBER: Okay, fine.
16 MS. MOORE: And I should point out too
17 that these procedures are used both for USP-DI and
18 for DRUGDEX, there is no variation between those
19 two products as far as quality of information.
20 So following the identification and
21 verification of the product, the literature moves
22 on to our writing staff, it goes to one of our
23 clinical editors. They review that literature,
24 they summarize the information, assign ratings.
25 We have actually four ratings that are in use

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1 today. In DRUGDEX we use strength of evidence,
2 strength of recommendation, and an efficacy
3 rating. Within USP we use an acceptance rating,
4 so at that point they give it a rating using
5 guidelines and internal policy.
6 Following creation of the content, the
7 information moves through what we generally call
8 content review, where it is reviewed by senior
9 clinical staff or peer review. In the case of
10 off-label indications for oncology, all of our new
11 oncology indications, off-label oncology
12 indication do go out to our oncology board for
13 expert review. They go to a minimum of four
14 members who are responsible for looking at the
15 literature, assessing our ratings associated with
16 those indications, and providing feedback to us.
17 Once it comes back from expert review the senior
18 clinical staff once again reviews it, confers
19 final approval, and the information is now
20 available for inclusion in our products.
21 As far as timeliness of the
22 availability of that information to our customers,
23 it really depends on the type of subscription that
24 an individual customer has. Some information is
25 updated daily, we have versions of DRUGDEX that

00100

1 are updated on a weekly basis. The Internet gets
2 at least a quarterly update, and this will occur
3 in our publications as well.
4 The next one, I wanted to walk through
5 the old USP process versus what we're currently

6 using. Until 2004, USP was responsible for
7 maintaining the off-label indication information
8 within USP-DI. In April of 2004, we took over
9 that responsibility. At the point that USP had
10 it, off-label indications required unanimous
11 approval by their oncology advisory board, and
12 also there was a requirement for a 90-day public
13 comment period. With those two things combined,
14 occasionally, and in many cases it could lead to a
15 backlog of up to one year. And in fact when we
16 took over in April of 2004, there was a
17 significant backlog and we were definitely hearing
18 about that.

19 In response to that we took a look at
20 our processes, and based on that, the process
21 today is that all off-label oncology indications
22 do go through expert review, and they are required
23 to be reviewed by a minimum of four members and
24 not the entire board. And we don't require
25 unanimous agreement amongst those members; rather,

00101

1 we have a chair of our oncology board, as well as
2 our chief medical officer, who will try to resolve
3 any conflict. And lastly, we no longer require a
4 public comment period.

5 At this point I would also like to note
6 that it was previously stated that we will no
7 longer be publishing under the name USP-DI in the
8 near future. We are developing a successor
9 product and as part of rolling out the successor
10 product, it is possible there may be changes in
11 formatting for that product, although we haven't
12 made any final decisions in that regard. One of
13 the changes might be that we would move from an
14 acceptance rating to the three ratings that we're
15 using today at DRUGDEX, so that the strength of
16 evidence, strength of recommendation is given
17 rather than a single acceptance rating.

18 Where we're at today with off-label
19 indications, again, we have established a board,
20 we currently have ten board members and we're
21 actively recruiting additional board members. Dr.
22 Thomas Marsland, of the Florida Oncology
23 Association, is currently our chairperson. In
24 2005 we published ten new off-label indications
25 under an accepted rating. Four off-label

00102

1 indications were added with an acceptance not
2 established rating. And we also added 16
3 additional indications based on product labeling
4 changes last year.
5 Just in closing, I would like to leave
6 you with a few key messages. We do have a fairly
7 large dedicated staff on site who is responsible
8 for maintaining our content. We have invested

9 significant time and resources in the last few
10 years to improve our processes internally and
11 revising what we do. We believe that our
12 literature retrieval and evaluation processes are
13 unsurpassed in this arena. And we have employed a
14 conflict of interest policy to insure that our
15 content remains unbiased. We have taken all of
16 these things together, so our people, our
17 processes and policies that have been put in place
18 in the last two years really serve to protect our
19 longstanding reputation for providing unbiased
20 drug information. That's all I have.
21 Oh, Barry just reminded me, one of the
22 things that I did want to point out, again, is
23 that the 14 indications that were covered as part
24 of the study are in DRUGDEX, and I can provide a
25 copy of that information to the panel. In

00103

1 addition, the nine, there are nine indications
2 that were covered in USP-DI; of the five that were
3 not, two of them are actually in the final stages
4 of expert review, and I can share that with the
5 panel as well. Any questions?
6 DR. GARBER: We will have a question
7 period later on. I hope you will be available for
8 that during the afternoon.
9 MS. MOORE: We certainly will be, thank
10 you.
11 DR. GARBER: Thank you very much.
12 Shanti Divvela will be the next speaker from Facts
13 & Comparisons, and following her will be William
14 McGivney, from NCCN. The final scheduled speaker
15 will be MaryAnne Hochadel.
16 MS. DIVVELA: Good morning. My name is
17 Shanti Divvela and I am a clinical actuary at
18 Wolters Kluwer Health. The first thing I would
19 like to say is I do not have any conflicts of
20 interest and I am appearing on behalf of Wolters
21 Kluwer Health.
22 Before I get into describing our
23 compendium, I would like to give you some
24 background information on Wolters Kluwer Health.
25 WK Health has over 2,500 employees on four

00104

1 continents. Our major brands include Facts &
2 Comparisons, Lippincott, Williams and Wilkins,
3 Ovid Technologies, Adis International, and
4 Medi-Span. WK Health is a provider of information
5 for medical and health professionals and our
6 customers range from hospitals to pharmacies, to
7 healthcare professionals and students.
8 Facts & Comparisons 4.0 is a
9 browser-based electronic version of our most
10 popular products. To provide you with the most
11 comprehensive information, I will give you some

12 portions of Facts & Comparisons that contain
13 unlabeled uses. These include Drug Facts &
14 Comparisons, Off-Label Drug Facts, and Cancer
15 Chemotherapy Manual.
16 These products, Drug Facts &
17 Comparisons, Off-Label Drug Facts, and Cancer
18 Chemotherapy Manual are unbiased and not
19 financially influenced by pharmaceutical
20 manufacturers. Drug Facts & Comparisons has been
21 the standard drug reference for almost 60 years.
22 It provides a wide course of information on
23 prescription and non-prescription products.
24 Each individual full drug monograph
25 includes the sections you see here. The

00105

1 indications section is where the unlabeled uses
2 are found, along with the FDA-approved
3 indications. Drug Facts & Comparisons also
4 includes drug class monographs in a comparative
5 format.
6 A drug monograph goes through multiple
7 steps before publication. Drug monographs are
8 chosen for review based on changes to the
9 FDA-approved labeling. Each monograph is reviewed
10 independently by two clinical editors who are all
11 pharmacists. During these reviews, the clinical
12 editors research and evaluate the literature
13 related to off-label uses that are clinically
14 significant and meet our criteria for inclusion.
15 Various references such as primary
16 biomedical literature and standard medical
17 textbooks are researched in order to identify
18 unlabeled uses. We include those unlabeled uses
19 that are well documented from these references.
20 If an unlabeled use is found in primary
21 literature, then we ensure that the clinical study
22 is of substantial size, using human subjects, and
23 show positive results that are both clinically and
24 statistically significant. We exclude animal data
25 and case reports.

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1 For those off-label uses that do not
2 meet our criteria for inclusion, our policy is to
3 be silent and, therefore, not include the
4 indications in our compendium. However, there may
5 be a few cases in which we include unlabeled uses
6 that do not meet our criteria because they aren't
7 noteworthy.
8 I would like to mention that for the
9 past year we have started including references to
10 our electronic version and as each monograph gets
11 updated, we are making these references available
12 for viewing.
13 Our second reference that contains
14 information on unlabeled uses is entitled

15 Off-Label Drug Facts. This describes off-label
16 drug use that is not encompassed by FDA-approved
17 labeling, including therapeutic indications,
18 patient populations, dosage, dosage formulation,
19 and route of administration. Each monograph in
20 Off-Label Drug Facts summarizes information from
21 published medical and scientific literature. Also
22 included is an evidence-based rating that can be
23 used in a clinical setting for decision-making.
24 These ratings are assigned by an editorial panel
25 that critically evaluates all monographs in order

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1 to provide an authoritative compendium of the
2 clinical relevance of the published information.
3 The rating scale ranges from one to
4 five. An evidence-based rating of one signifies
5 that the efficacy, safety risks and optimal dosage
6 are clearly identified in appropriate populations,
7 as evidenced by consistent favorable data from at
8 least one well designed controlled trial, or
9 dramatic results from uncontrolled experiments
10 reported by our expert panel. An evidence-based
11 rating of five signifies that the use is not
12 recommended based on data that is considered
13 unsafe or not effective.
14 Unlabeled uses are identified through a
15 monthly review of approximately 250 medical
16 journals, the compilation of data received from a
17 managed care claims database, and inquiries into
18 the University of Kansas School of Pharmacy Drug
19 Information Center. After an unlabeled use has
20 been identified, information is then compiled from
21 human data published in primary literature. This
22 may include controlled clinical trials,
23 non-controlled trials, and case reports since
24 1990. Earlier data may be used in some cases.
25 Monographs are then developed and

00108

1 reviewed by an editorial review panel, consulting
2 reviewers and editors. The editorial review panel
3 consists of physicians and pharmacists.
4 Individual monographs will be updated when
5 significant new studies become available and new
6 monographs will be added with each additional
7 update.
8 The third reference on off-label uses
9 is our Cancer Chemotherapy Manual. This product
10 provides a wide variety of information on
11 chemotherapy regimens for both healthcare
12 professionals and patients. It is developed in
13 collaboration with the University of Utah
14 Hospitals and Clinics, including the Huntsman
15 Cancer Institute.
16 Each individual drug monograph is
17 included in the sections noted here. The

18 indications section is where the unlabeled uses
19 are found, along with the FDA-approved
20 indications. The drug monographs are developed
21 and reviewed by an editorial review panel,
22 consulting reviewers and technical editors. The
23 editorial review panel consists of practicing
24 clinicians, pharmacists, nurses and pharmacy
25 technicians. The unlabeled uses are identified

00109

1 through the research and evaluation of various
2 sources, including primary biomedical literature,
3 standard drug information and medical references,
4 practicing clinicians, and evidence that a
5 manufacturer is seeking FDA approval for the
6 specific unlabeled use.
7 To be included as an off-label use in
8 this compendia, the use must be well documented
9 from these sources and shown clinically and
10 statistically significant results from clinical
11 trials.
12 This concludes our presentation on
13 Wolters Kluwer Health's compendia that provide
14 information on unlabeled uses. Thank you.
15 DR. GARBER: Thank you. Our next
16 speaker will be Bill McGivney, NCCN.
17 DR. MCGIVNEY: Members of the
18 committee, good morning. I am Bill McGivney, the
19 chief executive officer of the National
20 Comprehensive Cancer Network, better known today
21 as NCCN. NCCN is a not-for-profit alliance of the
22 leading cancer centers of the world, and I invite
23 you to take a look at the map specifically,
24 because these centers like M.D. Anderson, Memorial
25 Sloan-Kettering, Johns Hopkins, Stanford, the

00110

1 University of Michigan, et cetera, supply over 700
2 expert clinical professionals who constitute and
3 make up our panels to develop our guidelines and
4 develop our compendium recommendations.
5 Additionally, and relevant today, in a
6 past life I have served as vice president for
7 clinical and coverage policy at Aetna Health Plan,
8 where I ran technology assessments, clinical
9 guidelines, and national coverage policies,
10 including drug policies. And finally regarding
11 me, I am a member of the Medicare Coverage
12 Advisory Committee.
13 In the following comments, the NCCN
14 will use the NCCN drugs and biologics compendium
15 as a reference point to discuss and evaluate
16 characteristics of a compendium that can be used
17 to make informed and timely coverage
18 determinations under Medicare, and thus serve to
19 provide appropriate access to effective drug and
20 biologic therapy for Medicare beneficiaries.

21 So, let's talk about characteristic
22 number one. Recognition and application of
23 standard of care for oncology. The
24 recommendations of the NCCN guidelines, and this
25 is a very very important point, are based directly

00111

1 on the recommendations coming out of the NCCN
2 clinical practice guidelines on oncology, so the
3 drug compendium recommendations are directly based
4 on NCCN guideline recommendation. I think this is
5 important, an important point. This is to say
6 with substantiation that the compendium
7 recommendations, is that they are derived from a
8 disease-based analysis that places the most
9 appropriate therapy in line with the patient with
10 not only consideration of drugs and biologics, but
11 also surgical procedures, radiation therapy,
12 watchful waiting, et cetera. It is a very
13 important point.
14 NCCN guidelines, as I said, are widely
15 recognized and applied as the standard of care for
16 oncology in both the community practice and the
17 academic setting. Our guidelines cover the
18 managements of over 97 percent of all cancer
19 patients, all major supportive care areas, and
20 prevention screening and early detection. Our
21 guidelines are widely referred to, as evidenced by
22 the fact that in January of 2006, there were
23 110,000 downloads in one month of complete NCCN
24 guidelines from our web site.
25 The recommendations of the individual

00112

1 NCCN compendium chapters, as I said, are derived
2 directly from the NCCN guidelines. These
3 recommendations are the result of an overall
4 risk-benefit analysis regarding, again, the
5 continuum of care with all the variety of care and
6 management tools available for treatment of
7 specific cancers. It is important also to note
8 that the recommendations from the NCCN guidelines
9 and from our compendium are already being used by
10 Medicare regarding, for example, this January 28,
11 2005 decision memo on colorectal agents used as
12 therapeutics, and by private payers, again
13 referencing the many times that health plans have
14 called us for our opinion on specific technology,
15 be it a drug, device or procedure.
16 Characteristic two, that indeed, the
17 recommendations must be based upon available
18 scientific evidence. The NCCN compendium
19 recommendations are based on explicit evaluation
20 of scientific evidence, integrated with expert
21 judgment in a consensus-driven approach. The NCCN
22 communicates explicitly, as you've heard in the
23 analyses presented by Duke and by Tufts New

24 England Medical center, specific categories that
25 underlie, categories of evidence and consensus

00113

1 that underlie our recommendations. And this is an
2 important point: Users of the NCCN compendium can
3 track back to the same recommendations as the NCCN
4 guidelines, to see their supporting references and
5 to review discussions of supporting evidence or
6 issues in management. And indeed, regarding
7 references, NCCN committees purposely and
8 purposefully limit the references used to one, two
9 or three, in order to provide references that
10 really define most definitely the recommendations
11 for care, and secondly, point out and identify the
12 optimal management regimen in terms of
13 combinations to be used, the drug doses and the
14 schedule for administration.
15 Characteristic three, input evaluation
16 and development by multidisciplinary panels of
17 experts. The NCCN compendium provides
18 recommendations about the appropriate and
19 effective use of drugs and biologics from 46
20 guideline panels that are disease or issue-
21 specific. Each panel has 15 to 22 experts; they
22 represent a broad variety of subspecialties,
23 including medical oncology, surgery, radiation
24 oncology, gynecologic oncology, et cetera, and
25 other clinical professionals. And as I said at

00114

1 the outset, these are thought leaders from those
2 20 institutions.
3 The panels meet at least annually,
4 sometimes they meet three or four times a year.
5 The agenda for each meeting is based on new data
6 that may have been presented at major oncology
7 meetings or published in major journals, from
8 panel member input and from broader expert input
9 across all our institutions, not limited to just
10 panel members. Additionally, other interested
11 parties including patient advocacy groups,
12 academic physicians from outside the NCCN,
13 community physicians, industry, et cetera, may
14 also submit data to NCCN for consideration.
15 Characteristic four, broad
16 participation to diminish bias. The
17 representation of different subspecialties and
18 clinical professionals serve to diminish
19 opportunity for dominance by a single faction.
20 The broad geographic distribution of
21 representation expands the perspective of the
22 panel, the large number of experts provides for an
23 exposition of analyses and interpretations based
24 in evidence, with many clinicians with stature
25 both nationally and internationally.

00115

1 And I just got back from Beijing, and
2 the Chinese are adapting NCCN guidelines for use
3 in China. The expansion of formal input beyond
4 the panel for multidisciplinary experts based in
5 NCCN centers expands the sources and
6 interpretation of evidence and as such, the NCCN
7 has established a process that enhances the
8 expression of divergent views and identification
9 of conclusive evidence and thus, the synthesis of
10 broad-based and authoritative recommendations.
11 Characteristic number five,
12 recommendations must be specific to be useful.
13 Our recommendations are extremely specific. I
14 would just ask you to take a look, and actually
15 that was an example shown by Duke on one of its
16 slides.
17 Characteristic six, information and
18 recommendations must be up to date. Just very
19 quickly, I think the fact is fairly obviously
20 across all medicine, that we need to be current
21 with our guidelines and to substantiate the most
22 up to date of any analyses and recommendations out
23 there.
24 Characteristic number seven,
25 information and recommendations must be widely

00116

1 available. NCCN guidelines are made widely
2 available free of charge across the United States
3 and globally in a variety of informational and
4 educational formats. The most prevalent, up-to-
5 date and accessed format is the one that's on
6 line. And very importantly, NCCN collaborates
7 with the American Cancer Society to translate our
8 guidelines into user-friendly patient guidelines.
9 Also, NCCN guidelines are presently serving as the
10 centerpiece for the 2006 CMS quality demonstration
11 project on oncology, and as mentioned above, CMS
12 characteristically references NCCN guidelines in
13 its national coverage determinations.
14 An important point, that CMS, when it
15 awards the integration of processes for the
16 setting of coverage policies, the establishment of
17 reimbursement levels and the evaluation of the
18 quality of care, it will be critical to insure
19 that the decision-making for all three processes
20 is consistent in terms of recommendations and the
21 sources of such recommendations. So given the
22 existing uses of NCCN by CMS, it's logical that
23 the NCCN compendium be officially recognized as
24 one of the settled references mandated for the
25 establishment of coverage policies regarding drugs

00117

1 and biologics.
2 In summary, NCCN supports the efforts

3 of CMS and all of us in the room to insure the
4 quality, effectiveness and efficiency of care that
5 is made available to its beneficiaries and
6 patients nationwide. And again, we believe that
7 the NCCN compendium provides sound scientific
8 recommendations to support decision-making by CMS
9 and by its intermediaries and carriers, and thus,
10 NCCN officially requests that we receive
11 recognition for our drug and biologics compendium
12 as a mandated reference for national and regional
13 coverage decisions regarding the appropriate use
14 of drugs and biologics in cancer therapy. Thank
15 you very much.

16 DR. GARBER: Thank you. Next, MaryAnne
17 Hochadel.

18 DR. HOCHADEL: Thank you, Dr. Garber
19 and the committee. I'm MaryAnne Hochadel. I am
20 the vice president and editor in chief of Clinical
21 Pharmacology. You might want to refer to us as
22 sort of the new kids on the block. Back in 1994
23 when the committee first started to get into this
24 compendia-based system in the cancer arena, we
25 were just getting started as a drug compendium

00118

1 organization and had a fairly good idea of what a
2 drug compendium might be able to do in healthcare,
3 which is very different, perhaps, from the typical
4 referential or encyclopedic type of information
5 that we might be used to from standard
6 publications. So I think one of the issues, and
7 I'm going to speak not only to our editorial
8 policies -- and I will disclose the fact that I am
9 of course here as a representative of Gold
10 Standard as an employee. I am a shareholder in
11 the company, I do also have an editorial staff,
12 some of whom may be shareholders in the company,
13 but outside of that, we have a very strict
14 financial disclosure policy in which there must be
15 no conflicts of interest in the compilation of
16 drug information and the standards under which we
17 operate.

18 When you talk about editorial policy,
19 our editorial policies are not unlike those of
20 others who have spoken here, very different from
21 NCCN obviously, because of the focus of where
22 their information is coming from, but it's very
23 similar to the drug compendia, and we've been
24 talking about that today.
25 I think what's important are what are

00119

1 some of the considerations of off-label drug use
2 in oncology that are important when trying to
3 address strength of the evidence and how, why are
4 there these differences (inaudible) and drug
5 compendia in regards to the listings or what might

6 be taken as being, why is this one different from
7 that one, why is the reference base different,
8 those types of things. And maybe some of these
9 issues are important to a consideration of whether
10 the drug compendium itself is an appropriate one
11 in terms of helping to determine off-label
12 reimbursement policy interpretations.
13 In terms of patient advocacy, we need a
14 reasonable system to use in terms of providing
15 patient care and providing reimbursement and
16 providing access to treatment. One of the things
17 that I think is very important in oncology is that
18 in that drug approval process through the FDA, one
19 of the interesting things about that process is
20 that the accelerated improvement process has
21 helped deliver access to patients who are new and
22 (inaudible).
23 What's interesting about that process,
24 though, is that often the drug receives
25 accelerated approval within a certain stage of

00120

1 cancer or a certain indication, that type of
2 thing, and then it awaits confirmatory evidence.
3 And we've seen a lot of examples of this type of
4 situation over the last couple of years,
5 (inaudible) targeted treatments in cancer. What's
6 interesting about that process is that it's kind
7 of (inaudible) off-label drug use and fully
8 approved and recognized evidence in oncology,
9 particularly as it relates to taking one drug and
10 then combining it with other drugs.
11 It's very uncommon to find a fully
12 FDA-approved drug regimen used in a cancer patient
13 throughout the United States. Usually they've got
14 at least one drug, either being used in a
15 different way, the drug administration, a
16 different dosage regimen, a different stage of the
17 cancer than has already been proven, it's very
18 unusual to find this situation happening.
19 Now that (inaudible) drug compendia,
20 particularly under a database structure that is
21 intended to serve certain functions for the
22 clients and healthcare professionals or patients
23 that are using that data in actual healthcare, and
24 I think in certain respects every drug compendium
25 (inaudible) in that regard, and it may be very

00121

1 difficult to define standards by which all drug
2 compendia should make their mark, for issues that
3 are related to the drug approval and
4 investigational use process, as well as the fact
5 that there is proprietary issues raised.
6 Proprietary rating scales may not have
7 so much meaning when you start looking at the
8 strength of evidence ratings or particular levels

9 of evidence related to particular types and
10 published data. Garnering that all together and
11 then (inaudible) evidence in our compendia is a
12 fairly difficult process as a result when you look
13 at it overall.
14 Let me give you some examples of volume
15 here. In our drug compendium situation, we have
16 at least on average 500 drug information updates
17 per month. That includes regulatory approvals,
18 disapprovals if you will, or withdrawals from the
19 market, Med-Watch alerts, published literature.
20 Peer reviewed literature such as the ones you
21 mentioned that are part of this off-label
22 identification process for CMS, the peer reviewed
23 is standardly accepted literature in a review. It
24 averages 500 a month, and in the entirety of a
25 month you may have 1,200 particular updates that

00122

1 must be researched, driven down, put into
2 standardized format, and then provided in a timely
3 manner to the patient and healthcare
4 professionals.
5 So when you're looking at an update, to
6 speak to that (inaudible), what does an update
7 even mean? It's not always going to be a full
8 review from top to bottom of the particular
9 information that you find in the (inaudible), and
10 I'm just being very honest here about how the
11 processes work. Even though they are important
12 healthcare policy decisions based on drug
13 compendia, and (inaudible) necessarily to talk
14 specifically about a standard process, which are
15 identified as a standardized developed internal
16 process, but to talk about some of the issues
17 surrounding this data and how it should be
18 interpreted, evaluated and put into the system.
19 At Gold Standard, we definitely welcome
20 and provide an evidence-based process in drug
21 information in providing the data, but this is an
22 evolving field. When we look at criteria systems,
23 rating systems, once again, they have usually been
24 established and validated within a certain level
25 of evidence. A randomized controlled clinical

00123

1 trial usually tops that type of evidence rating
2 scale because it meets any criteria. And we find
3 those publications through those committees, from
4 us for example, making it very hard to take all
5 that body of evidence, identify that evidence,
6 and then evaluate if it is evidence-based evidence
7 or whether it has been validated using the whole
8 of the information.
9 What's very important too in drug
10 information databases is the goal they serve. We
11 were developed, for example, for a concise

12 actionable point of care level in various types of
13 healthcare settings. That means that you don't
14 necessarily expect to see Phase I and Phase II
15 data in all of our listings. What we are
16 concentrating on is the human clinical use of the
17 drugs in the settings, and with reference to
18 oncology, we may include Phase II trial data in
19 that because of some of the accelerated approval
20 process, so some of that data may be from Phase II
21 and you have to consider it when you look at these
22 entries.
23 So with that being said, we would love
24 the committee to consider some of those unique
25 details, particularly in light of patient

00124

1 advocacy, and we know that in oncology they
2 frequently use combination drug use for the
3 reasons I mentioned, in trying to establish newer
4 and emerging regimens in the field to provide
5 better tumor response, improved survival rates,
6 and to not be too limiting in defining very rigid
7 criteria for drug information compendia, because I
8 think because of the evolution of this field,
9 we're going to find ourselves in a whole new,
10 maybe four or five years down the line, where we
11 need to consider other factors that are going
12 through this process of evaluating treatments,
13 particularly as we get into more focused and
14 targeted drug therapies.
15 The intended use of a drug is barely
16 evident at the time of its initial approval, but
17 oncologists are smart enough to figure out based
18 on what we know about cellular dynamics,
19 particular growth stages of various types of
20 tumors, where a drug might have promise to start
21 using case studies, which lead to pilot studies,
22 which might lead to those next very large trials.
23 But we have to (inaudible) participant's approval
24 as well as in these processes. We're farming out
25 a lot of information overseas to try to get the

00125

1 study numbers in order to get the required level
2 of data. Not that that's a bad thing, but it
3 prolongs the process, because if you can't get the
4 right power of numbers in order to approve these
5 sometimes very vague or indistinct endpoints, it
6 becomes very difficult to move a drug from the
7 off-label process to the labeled process.
8 And so I would ask the committee to
9 consider those things as you consider this issue
10 of drug compendia. A multiplicity of compendia
11 probably helps the issue given the fact that it
12 points to the appropriate citations to document
13 uses which may prove effective for patients.
14 Thank you.

15 DR. GARBER: Thank you very much. I
16 would like to thank all of the speakers who just
17 spoke. In our deliberation, we find it very
18 helpful to have presentations that not only
19 present the point of view of the speakers but are
20 directly germane to the voting questions the panel
21 faces, and I think I can speak for the panel in
22 saying these were extremely helpful presentations.
23 So, we have a group -- we have begun,
24 we have a compilation of the votes but I think
25 what we're going to do next, if the next set of

00126

1 scheduled public speakers, we have four of them,
2 are here now and ready, let's do that next, and
3 then we'll return to voting question number one.
4 The first person scheduled to speak is George
5 Silberman. Are you here?
6 MR. SILBERMAN: I am.
7 DR. GARBER: And following him will be
8 Keith Logie.
9 MR. SILBERMAN: Good morning, everyone.
10 My name is George Silberman, I'm a self-employed
11 consultant. I do not own stock in any of the
12 companies that have an interest in today's
13 proceedings. For the last eight years I have
14 received almost all my support directly or
15 indirectly from the pharmaceutical industry,
16 largely around therapeutic drugs and oncology
17 agents. No one paid for my transportation today,
18 and a change from the submitted form, I was
19 contacted by representatives of PhRMA two days ago
20 to discuss my presentation, although they did not
21 try in any way to influence that presentation.
22 I put down in a very sort of self-
23 aggrandizing way that I am speaking on behalf of
24 the public interests, and I think I'm taking a
25 fairly unpopular position, but let me just give

00127

1 you my qualifications. I directed the study that
2 GAO did that served as the basis for the
3 legislation for off-label drugs. For the past
4 decade I've advised the industry on submissions
5 for off-label indications, I've also worked with
6 USP on developing their process for submission of
7 off-label drugs. I have published in health
8 technology assessments, developed a number of
9 technology assessments. I worked in and published
10 in JAMA and the New England Journal of Medicine,
11 and I testified as an expert witness before
12 Congress on health policy issues on at least a
13 dozen occasions. I state these qualifications
14 because as I say, I'm going to ask the committee
15 to consider an issue that is not a very popular
16 issue.
17 And for a moment I would ask you to

18 lift your heads away from the figurative trees
19 that are certainly important regarding issues like
20 whether or not it takes three weeks or three
21 months of treatment to publish, or the relative
22 number of citations, and to focus on the literal
23 forest that we are in a healthcare crisis, and
24 that healthcare crisis is likely to get worse.
25 You are here today to define how cancer patients

00128

1 will be treated for decades to come, and you
2 simply can't escape that reality.
3 This isn't a technical decision that
4 you're making but it is ultimately a societal
5 clinical decision based on our input today, and
6 ignoring the fact that as we have an increasing
7 supply of therapeutics, you have increasing demand
8 for these therapeutics and you have limited
9 resources to pay for it, it's both short-sighted
10 and irresponsible on your part.
11 My recommendation is that what serves
12 as the basis for healthcare decision-making in the
13 oncology field as a compendia be encouraged to
14 eventually include information on net value. By
15 net value I do not mean the price of the drugs,
16 but I do mean looking at the cost effectiveness of
17 those products so that the constant battle that's
18 occurring out there between insurers who are
19 trying to avoid efficacious drugs simply on the
20 basis of a dollar figure, and physicians who are
21 really at a loss in terms of understanding true
22 value, are helping those deliberations by
23 information from objective third-party sources.
24 We are certainly not ready for this
25 yet. Publishing cost effective information in the

00129

1 compendia today would probably do more harm than
2 good, but I would urge the committee to make a
3 strong recommendation that we move to that
4 position. I advise a lot of companies in how to
5 structure clinical development processes to
6 maximize market strategies, and certainly
7 off-label compendia policy is a critical component
8 of that. And I can tell you that if you make the
9 statement that net value is important, it will
10 influence drug development. If you don't, we're
11 simply going to proceed down that road. Thank you
12 for your time and interest in this issue and good
13 luck with your deliberations.
14 DR. GARBBER: Thank you very much. Our
15 next speaker is Keith Logie. Following him will
16 be Sharon Brigner.
17 DR. LOGIE: My name is Keith Logie. I
18 am a practicing oncologist in Indiana. I am also
19 a member of the ACCC, the Association of Community
20 Cancer Centers. ACCC is a membership organization

21 whose members include hospitals, doctors, nurses,
22 social workers, and oncology team members who
23 treat the millions and millions of patients with
24 cancer. ACCC is committed to insuring that
25 Medicare beneficiaries have access to quality

00130

1 cancer care, including the innovative and cutting
2 edge therapies our patients require to win their
3 battles against this deadly disease.
4 Many of these treatment regimes involve
5 new drugs already approved for other indications
6 by the FDA. This off-label use of cancer drugs is
7 a common medical practice that is critical to the
8 treatment of these patients. I can't tell you how
9 many times I've come across new data from ASCO
10 presentations such as Perceptin for breast cancer
11 chemotherapy, and it's clinical that it be rapidly
12 introduced into clinical practice. It took five
13 to six months before I felt comfortable giving it
14 in my clinical practice in terms of being paid and
15 reimbursed for it.
16 After the demonstration at an ASCO
17 plenary session, three randomized clinical trials
18 with a P value that was like 10 to the minus 12th
19 in significance, 50 percent improvement in early
20 stage breast cancer, that had to be given. It was
21 a presentation given in May and we should have
22 been able to use that drug in May. It's very
23 critical to get these drugs into the market and to
24 allow us to be able to use them.
25 Because off-label use is so critical in

00131

1 cancer delivery, ACCC believes it is important to
2 have multiple sources of compendia. In addition,
3 we would like to see the following criteria:
4 First, the compendia must include an
5 extensive breadth of listings that reflect
6 multiple treatment regimens for each of hundreds
7 of types of cancers.
8 Second, the compendia must demonstrate
9 a flexibility to rapidly integrate literature, and
10 readily accessible updates. The delays of months
11 and sometimes years between the announcement of
12 significant clinical research outcomes and their
13 inclusion in the compendia cannot disrupt
14 Medicare's beneficiaries' access to care.
15 Third, the compendia must use a clearly
16 described and transparent application and review
17 processes for new listings and revisions to
18 listings. Such a process will protect access to
19 new treatments by making it easier for
20 researchers, physicians and patients to request
21 regimens to be included in the compendia.
22 Fourth, the compendia must consider the
23 data from various types of trials. While

24 randomized control trials are recognized as the
25 gold standard for clinical research, they cannot

00132

1 be performed for rare cancers. Importantly, the
2 compendia must not limit their data to large
3 randomized clinical trials but instead, must
4 consider data from other studies such as Phase II
5 trials as well.
6 Fifth, the compendia provide clearly
7 written entries that describe the evidence for
8 each use. A publication is useful to physicians
9 and carriers only if it presents information on
10 treatment options in clear language and an easily
11 understood format.
12 Finally, the Secretary should continue
13 to recognize at least two publications in Medicare
14 coverage decisions. Medicare's recognition of
15 multiple compendia will provide physicians and
16 carriers with more data regarding current
17 treatment options.
18 The ACCC also believes that several of
19 the characteristics identified in your current
20 committee questions are a little bit
21 inappropriate. For example, the compendia should
22 not establish explicit recommendations on the
23 sequential use of therapies because different
24 patients require different sequences. There may
25 be individual characteristics of certain sequences

00133

1 that may elicit NCCN practice guidelines.
2 Additionally, the compendia should not
3 provide net benefit analysis based on harm versus
4 potential benefit because this analysis is
5 impossible to perform meaningfully through
6 variation among patients' comorbidities. For the
7 same reason, the compendia should not provide any
8 type of stratification of the risk of available
9 therapies. ACCC also believes that the compendia
10 should remain silent when the evidence on a
11 particular drug is equivocal.
12 We are certainly not recommending
13 listing (inaudible) deny coverage for that use in
14 all patients, especially if one compendium is
15 slowly updated where the other one gets new
16 updates very rapidly and where one not recommended
17 may disqualify a drug. Instead, carriers should
18 continue to cover its use on a case-by-case basis
19 until more data are available. Thank you very
20 much.
21 DR. GARBER: Thank you. Our next
22 speaker is Sharon Brigner, and she will be
23 followed by Elizabeth Halpern.
24 MS. BRIGNER: Good morning. In way of
25 full disclosure, I am a full-time paid employee by

00134

1 PhRMA, the Pharmaceutical Research and
2 Manufacturers of America. My name is Sharon
3 Brigner. I'm pleased to be here today as a
4 representative of America's research
5 pharmaceutical companies, but I'm also here as a
6 registered nurse who cares for patients and who is
7 a granddaughter of a victim of pancreatic cancer.
8 It's out of that concern for cancer
9 patients like my grandmother and a commitment to
10 insure they receive the best possible care that
11 brings me here today. If you have ever been in
12 the situation of having a family member who has
13 been diagnosed with cancer, you want them to have
14 access to any and all possible medicines that have
15 promise that your provider thinks would work in
16 his or her particular case.
17 I was in that exact same position. I
18 was a first-year nursing student when my
19 grandmother was diagnosed, one of the 32,000
20 patients a year who were diagnosed and died of
21 pancreatic cancer. I remember her clearly telling
22 her doctor, Doctor, there's got to be some type of
23 medicine that's available, even if it's
24 experimental, that will give me two, three more
25 months with my granddaughters, my sister and I. I

00135

1 remember her saying that back in 1995, and now I
2 am happy to say that there are five new drugs that
3 are approved for the treatment of pancreatic
4 cancer. Even more interesting is the numerous
5 clinical studies that show the possibility and
6 uses of medicines off-label that can give a
7 patient more time to be with family.
8 That is ultimately why we're all here
9 today, to discuss how we can best assure cancer
10 patients access to cancer care. These are the
11 four items that I will cover briefly.
12 The important role of off-label use of
13 medicines is well recognized by (inaudible) and
14 clinicians. The National Cancer Institute said
15 that off label represents the standard of care for
16 patients with cancer. ACS said that only 15
17 percent of cancer patients receive off-label
18 drugs, highlighting the critical importance of
19 off-label medicines. ASCO said that off-label use
20 of approved drugs are an important tool for
21 advancing the good of cancer care. In 1991 a GAO
22 report acknowledged widespread use of off-label
23 use of oncology drugs, reporting that more than
24 half of all those patients receive at least one
25 off-label drug.

00136

1 In 2005 a study commissioned by ACCC,
2 BIO and PhRMA, they interviewed 25 oncologists and

3 12 profit practice managers to get their insight
4 on the current trends in off-label use of cancer
5 therapies, and they found that the oncologists'
6 uses do compare to the GAO findings. Although
7 there are inherent limitations to this study
8 because of the small sample size, there are
9 several indications that suggest similar findings
10 may be seen in a broader sample.
11 Our survey sought to examine these four
12 questions. One thing that has changed since 1991
13 is the role of compendia in Medicare policy. In
14 1993, Congress mandated the use of recognized
15 compendia. This policy has functioned well in
16 general and insured the robust evidence-based
17 compendia system. This is no less important today
18 than it was in 1993.
19 As these findings suggest from the OBS
20 survey, there are two things that I want to bring
21 to your attention. One, recognized compendia play
22 an important role in insuring coverage of and
23 patient access to medically appropriate off-label
24 uses of cancer drugs. Number two, compendia alone
25 does not guide providers' clinical decision-

00137

1 making; compendia serve as one of a number of
2 tools in the community as scientific evidence in
3 support of clinical decision-making.
4 The majority of oncologists relied on
5 peer reviewed literature while supplementing with
6 compendia. In this study, 13 of the 17
7 oncologists used USP-DI. Similar to the GAO, many
8 oncologists felt restricted in their treatment
9 decisions by payer policies. The survey also
10 found that payer policy caused them to alter their
11 treatment decisions, similar to GAO findings.
12 The most interesting finding on this
13 slide for me was this last one, to avoid potential
14 payment denials, six oncologists state that they
15 avoid other off-label therapies that might be
16 eligible for coverage but lack affirmative policy.
17 Research and science in oncology is
18 moving so rapidly, and you all know this, as a
19 gold standard compendia system was developed in
20 order to reduce the lapse between science and
21 policy. To avoid unnecessary delay, there must be
22 an adequate number of compendia, and these
23 compendia must be updated in a timely manner.
24 So what is it that PhRMA recommends?
25 Number one, we would like continued recognition of

00138

1 USP-DI under Thomson, especially given the period
2 of transition that we've heard. Number two, NCCN
3 is just one of several compendia that merit
4 evaluation by CMS for recognition and we welcome
5 the opportunity to continue working with the

6 agency in this area. Number three, identify core
7 characteristics that are important for a
8 compendia. Number five is --
9 DR. GARBER: I'm sorry, your time is
10 up. Thank you very much.
11 MS. BRIGNER: Okay.
12 DR. GARBER: Next speaker, Elizabeth
13 Halpern.
14 MS. HALPERN: Mr. Chairman, members of
15 the committee, my name is Beth Halpern. I am an
16 attorney with Hogan & Hartson, speaking today on
17 behalf of the California Healthcare Institute. By
18 way of personal disclosure, I own stock in several
19 biotech firms, pharmaceutical companies, and
20 Hogan & Hartson represents several of those firms.
21 CHI represents the full biomedical
22 sector of the California economy and unites more
23 than 250 of California's leading biomedical firms,
24 universities, and private research institutes, in
25 support of biomedical science, biotechnology, and

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1 pharmaceutical and medical device innovation.
2 As the advocate for California's
3 biomedical industry, CHI is committed to ensuring
4 Medicare beneficiaries' access to much needed
5 cancer therapies. We believe that access to
6 quality care is best protected when coverage and
7 treatment decisions are based on valid clinical
8 evidence. For this reason, we support the
9 Medicare statutory requirement to cover
10 anti-cancer drugs and biologicals for indications
11 not initially approved by the Food and Drug
12 Administration when those uses are listed in the
13 AHFS-DI, the USP-DI or its successor publications,
14 or the now-out-of-print AMA-DE.
15 In response to the MCAC's list of
16 questions, CHI believes the following criteria
17 would be ideal for any compendia used in
18 Medicare's coverage decisions. However, we
19 understand that given the information available
20 and the volumes of information included in each
21 compendium, each publication may meet these
22 standards with varying success for each drug or
23 indication.
24 First, just as our members strive to
25 address the diverse needs of cancer patients, the

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1 compendium must also reflect the extensive range
2 of treatment options available for each form and
3 stage of cancer.
4 Second, the compendium must keep up
5 with the constant evolution of the standard of
6 care by reviewing and publishing newly accepted
7 treatment regimens in a timely manner.
8 CHI also recommends that the compendium

9 use a transparent and consistent application and
10 review process for new and revised listings. This
11 process should use pre-specified published
12 criteria for weighing evidence and making
13 recommendations. The compendium also must
14 announce its deadlines for submissions, and
15 publicly identify the members of its advisory,
16 scientific and review committees.
17 Fourth, to help physicians and
18 policy-makers understand the basis for each entry,
19 the compendium should provide a detailed
20 description of the evidence reviewed for each
21 listing.
22 Fifth, we recommend the compendia be
23 flexible about the types of research needed to
24 support an entry, that it include promising
25 treatment options that are being looked at in

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1 results of relatively small studies. This is
2 particularly important for protecting access to
3 care for rare cancers, where the treatment for
4 those cancers are rarely on the FDA-approved label
5 but often not included in the compendia.
6 We ask that this panel recommend that
7 CMS quickly work to implement this system to
8 improve access to those areas.
9 Moreover, because the statute clearly
10 reflect Congress's intent for Medicare carriers to
11 use at least three compendia, including the
12 AHFS-DI and USP-DI or its successor publications,
13 we recommend that Medicare continue to recognize
14 these two compendia and add at least one
15 additional publication to give the decision-makers
16 more choices of evidence to consider in making
17 treatment and coverage decisions.
18 We also believe that several
19 characteristics identified in the MCAC's questions
20 are not desirable. These are explicit
21 recommendations on the sequential use of
22 therapies, net benefit analysis, explicit
23 stratification of the risks of available
24 therapies, and explicit listing of appropriate
25 combination therapies.

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1 Finally, we recommend that compendia
2 remain silent when an indication is not supported
3 by sufficient evidence. Thank you.
4 DR. GARBBER: Thank you very much. Now,
5 I need to ask the discretion of the panel again.
6 It is now about 11:30. One option we have is to
7 break for lunch, resume at about noon. I see a
8 lot of heads nodding. Would anybody object to
9 that change in the schedule? Okay. CMS won't
10 like us for going down to the cafeteria this
11 early, but it will work for us. So what we'll do

12 is resume at noon. We are waiting for the slides
13 to be made from the morning, but just in case they
14 aren't ready, could I ask the people who signed up
15 for the open public session to be back here at
16 noon, the speakers who signed up for the open
17 session. Thank you.
18 (Luncheon recess.)
19 DR. GARBBER: We're about ready to
20 resume our deliberations, if I could ask everybody
21 to take their seats as quickly as possible. The
22 next agenda item will be the open public speakers.
23 We have five open public speakers, who will speak
24 for two minutes each, and then we will move on to
25 the resumed discussions of Question Number 1 and

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1 consideration of the remainder of the agenda,
2 panel deliberations and so on. So I would first
3 like to welcome Steve Grossman.
4 MR. GROSSMAN: Thank you. I appreciate
5 your distributing the short statement so I can
6 impart to you very quickly my comments. My
7 personal disclosure, I own drug stocks, both for
8 my client for this and other issues. I do have
9 drug and biotech clients. I have no particular
10 focus on off-label uses of cancer products.
11 Ultimate efforts to promote research
12 and development of drugs are diminished if
13 patients are not going to be able to access the
14 new therapies or if the level of medical evidence
15 supporting its particular use is not well-known.
16 Thus, being listed in the compendium matters. It
17 is a springboard to reimbursement as well as a
18 tool by which new and innovative therapies gain
19 acceptance.
20 We are asking MCAC to provide the
21 following directions to CMS. MCAC recommends that
22 the CMS work with patient groups, professional
23 association and compendium publishers to assure
24 Medicare beneficiaries with rare cancers have
25 coverage and access to innovative state-of-the-art

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1 care on a comparable basis to beneficiaries with
2 more common cancers.
3 In the statement you will see that our
4 position rests basically on three points. The
5 technology assessment highlighted the lag time and
6 in getting information out and that lag time is
7 magnified to rare cancers and alternative cancer
8 drugs. We're concerned that there is a heavy
9 reliance on random control trials, which we
10 appreciate is appropriate, but we want to make
11 sure that these more rare cases are not ignored
12 because they aren't based on RCTs. And finally,
13 the lack of transparency concerns us because if we
14 can't predict when and where the reviews will

15 occur, what standards we use or whether all
16 evidence is considered, then we really can't
17 participate in the process and make sure that all
18 indications were considered.
19 DR. GARBER: Thank you, Steve. We
20 appreciate your comments and we distributed a copy
21 of your handout to the panel members. Next is Ron
22 Walters.
23 DR. WALTERS: Thank you very much and
24 good afternoon. My name is Ronald Walters, and I
25 am a practicing oncologist in the department of

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1 breast (inaudible) at the UNC Cancer Center and
2 medical director for clinical operations. I have
3 no conflicts of interest to disclose other than
4 (inaudible) 15 years.
5 I support the addition of the NCCN
6 compendium to the list of approved compendia for
7 off-label coverage for decisions regarding
8 chemotherapy. As you heard from Dr. McGivney this
9 morning, the NCCN compendium is cancer-specific,
10 expert-driven, evidence-based, timely and
11 transparent in both content and process.
12 I would like to specifically address
13 some of the issues I heard this morning about the
14 concerns remaining for type 1 error. I think that
15 is a legitimate concern, I agree that there needs
16 to be proper controls put in place for that. If
17 you've ever been involved in one of these
18 consensus opinion forming processes, believe me,
19 the conscientious nature and the purely
20 self-critical nature of people sets in very
21 quickly and type 1 error is extremely minimized.
22 There is also very little concern with
23 type 2 errors. If I'm sitting in front of a
24 patient looking at the NCCN compendium and it's
25 not on there, I should be putting that person on a

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1 clinical trial if the NCCN is not proposing that
2 therapy.
3 I would also like to address the
4 silence issue brought up this morning.
5 Frequently, again, this is related to type 2
6 business, if the NCCN is silent on it, I probably
7 don't have any business considering it.
8 I'm very tempted by the possibility of
9 formal systematic reviews. Due to the sheer
10 number of diagnoses as well as the rapidly
11 changing environment that we are concerned about,
12 it is important to keep people fully informed.
13 Thank you very much again for the
14 opportunity. I would like to have you seriously
15 consider the addition of the NCCN compendium to
16 the two that are currently recognized. Thank you.
17 DR. GARBER: Thank you very much. Next

18 will be Jayson Slotnik.
19 DR. SLOTNIK: Thank you very much,
20 Dr. Garber, members of the MCAC. My name is
21 Jayson Slotnik and I am director for Medicare
22 reimbursement and economic policy at BIO. BIO
23 represents more than 1,100 biotech companies,
24 academic institutions, state-funded technology
25 centers and related organizations in the U.S.

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1 For full disclosure, I own no stock in any of the
2 compendia and unfortunately for me, am prohibited
3 from owning any biotechnology stocks.
4 (Laughter.)
5 As a representative of the industry
6 that is involved in the discovery of new
7 therapies, innovation and access, BIO understands
8 that the practice of medicine constantly evolves
9 through the incorporation of new clinical evidence
10 into the standard of care. It is imperative,
11 therefore, that CMS and its contractors insure
12 that coverage policies keep up with the pace of
13 innovation and clinical discoveries to allow
14 beneficiaries timely access to the most
15 appropriate treatment options in their battles
16 with these deadly diseases.
17 Because the statute clearly indicates
18 the congressional intent for three compendia, we
19 urge the MCAC to at least recommend adding NCCN
20 and then to focus its efforts on identifying
21 additional compendia that Medicare carriers should
22 use in determining medically accepted indications.
23 BIO believes that existing and future
24 use of compendia should continue to represent the
25 versatile approaches of clinical information,

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1 accessibility and dissemination. However, each
2 compendium should create a transparent and
3 consistent application and review process for new
4 and revised listings such that stakeholders have a
5 clear understanding of how to submit requests for
6 new or revised listings.
7 Compendia, since they are at the
8 forefront of disseminating critical clinical
9 information, should be continued to allow to
10 employ the full range of validated sources
11 available to inform new listings, including both
12 prospective and observational data, data collected
13 by manufacturers and specialty societies, and data
14 on patient-reported outcomes such as quality of
15 life and patient functionality and patient
16 preferences as informed by patients and
17 physicians.
18 Given that treatment options for rare
19 disorders are very limited, the compendia should
20 consider looking at putting in place a provisional

21 system that addresses such circumstances
22 surrounding access to the innovative and new
23 indications for various disorders.
24 DR. GARBBER: Thank you, Jayson. Terri
25 Deal.

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1 MS. DEAL: Good afternoon. Thank you
2 for this opportunity to speak with you today. For
3 disclosure, I am vice president in the area of
4 policy and compliance at BioMed Pharmaceuticals.
5 BioMed is a small biotechnology company located in
6 the Southern California/San Diego area with about
7 500 employees. BioMed basically does research
8 development and commercializes treatments for rare
9 cancers and many other diseases. We currently
10 have five products on the market dealing
11 specifically with rare cancers.
12 I am here today as an example of an
13 innovative small biotechnical firm in support of
14 the National Organization for Rare Diseases, in
15 support of the Biotechnology Industry
16 Organization, and in support of the California
17 Healthcare Institute's testimony this morning. As
18 a small company, BioMed is trying to balance
19 patient access with the need to fund our research
20 and balance our business. Our limited resources
21 are very similar to many other small companies in
22 Southern California and throughout the country.
23 I researched within our company what
24 people thought about compendia, I went to our
25 medical safety liaisons, to our researchers, and

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1 tried to determine what people thought about the
2 compendia that are in use today. And what I
3 learned was that it is a black box, that what goes
4 into it, no one is that familiar with, and what
5 comes out of it, we're not sure. So I think the
6 need for transparency is extremely important. We
7 have very limited resources in small companies and
8 so the need for a transparent system or
9 transparent process is important.
10 I learned that when working with
11 published medically -- when we first published
12 medically relevant information from clinical
13 trials in rare diseases, including cancer, that
14 the time line between knowledge of medical
15 evidence and appropriate off-label use can be
16 greater than a year. This, you heard about this
17 morning from a number of the speakers about that
18 time line.
19 Well, think of yourself as a patient
20 with a rare disease. That time line is extremely
21 important and so we need to speed up the process a
22 little bit, and make sure when setting criteria
23 that you take a look at the particular situations

24 surrounding various disorders.
25 DR. GARBER: Thank you very much.

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1 Sorry to cut you off because we have such limited
2 time, but the final open public speaker is Jerome
3 Osheroff.
4 DR. OSHEROFF: Greetings. I'm Jerry
5 Osheroff, the chief clinical informatics officer
6 at Micromedex, so that's my potential conflict of
7 interest. I just wanted to spend a very brief
8 moment expanding on some of the comments that
9 Laurie made trying to expand on the clarifications
10 of how the USP-DI and DRUGDEX actually fare when
11 compared to some of the things that were presented
12 from the EPCs.
13 First of all, we have an underlying,
14 for all of our content, we have an underlying
15 process, policy, technology and staff that are
16 consistent across all of these products. There's
17 an underlying path whereby we create the knowledge
18 and make recommendations that winds up in these
19 processes. Underpinning that is a very extensive
20 literature review process. We have over half a
21 dozen librarians on staff to develop, maintain and
22 execute a search, and those searches reflect a
23 very robust analysis of the medical literature and
24 include criteria of what pieces of that literature
25 we review and what winds up in the database. It

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1 isn't that all of a sudden when we look back and
2 when we didn't include them, why we didn't include
3 them. So many of the studies are in USP where we
4 did in fact look at them and we have reasons why
5 we didn't include them in the database for one
6 reason or another.
7 We also try to organize the results of
8 those studies into what winds up being part of the
9 recommendations, particularly about off-label
10 utilization. So as Laurie mentioned, we look at
11 the literature that has the greatest impact for
12 care and for decision-making, and prioritize that
13 for coverage. We actually have policies dealing
14 with the literature that we have and that we have
15 shared pieces of that with you today.
16 And then we take that information,
17 include it in the DRUGDEX database and the other
18 databases as well, and as Laurie mentioned, in
19 fact all of the 14 different drug combinations
20 that were discussed by the EPC are in fact in the
21 DRUGDEX product and they are also in the USP. So,
22 we do have these policies and we think if we
23 shared the data that is in those databases, you'd
24 see better how we decide what is to be published.
25 DR. GARBER: Thank you very much. Now

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1 we move back to, if I can find my agenda here, let
2 me just explain to the audience that the panel
3 members have now received copies of the votes on
4 Question 1 which will be guiding our further
5 deliberations, and what I thought we would do at
6 this point is finish up our Question Number 1
7 discussion and then we can pose questions to
8 presenters that will help us regarding our votes
9 on the remaining questions. Okay? So all the
10 panelists have copies of the voting sheets, and
11 these will be made available to everyone in the
12 audience, although I don't know if we can make
13 them available in real time for you. We're going
14 to try to find a way to put this up, though.
15 So, let me read off what the panelists
16 have been explained, the weighting scheme that was
17 used here. In the handout that was distributed
18 are three pages regarding Question 1. The first
19 list gives raw counts of how many people -- oh,
20 it's on the easel too -- raw counts on how many
21 people voted desired, equivocal, and undesired for
22 each of the A through R characteristics. Now the
23 weight of desirability here is -- this is a
24 little -- actually, Steve, do you want to explain?
25 This one weights both the priorities and gives a

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1 numeric scale for desirability, and Steve can
2 explain that.
3 DR. PHURROUGH: For each, the
4 desirability, we provided a weighted score times
5 the priority that was assigned to it in the P
6 score and then just added those together. It's a
7 somewhat complicated formula, but again, for each
8 of the three scores, we used the priority
9 weighting for that score, we assigned a weight of
10 two to the desirable, one to the middle one,
11 equivocal, and minus one to the undesirable, so
12 that's how we arrived at these numbers. And then
13 again, we'll make these available in the minutes
14 and in the later publication.
15 DR. GARBER: So, I'm sorry. There are
16 actually four pages on this handout and what it
17 consists of is scores on Question 1 for all
18 members as just described, then divided by, then
19 just voting members, and the other two are the
20 same things where they are ranked, they are
21 reordered according to the weighted desirability.
22 So the last two pages are just different orderings
23 of the same information.
24 Now for the panelists, one of the
25 things that we need to decide is if there is some

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1 characteristics that we do not need to consider in
2 further deliberations, and there are a few ways

3 that you could go about thinking about this, but
4 if you think it really doesn't matter at all, then
5 probably we shouldn't include it in the further
6 deliberations.
7 Now when it's undesirable, you will
8 have to make a decision to weigh the desirability
9 as a large negative number. You might want to
10 include it in peer review areas if the compendium
11 in question has that characteristic. Okay? So
12 one thing you might think about is low positive
13 scores where there is not a lot of people who said
14 it is desirable, but they said it was equivocal
15 desirability and of low priority, that's probably
16 the kind of thing that we really shouldn't be
17 considering further. So one question is, you may
18 just want to look at whether it's desirable and if
19 the distribution unweighted is desirable or
20 unequivocal and undesired. Mark, did you have a
21 question?
22 DR. FENDRICK: No.
23 DR. GARBER: Okay.
24 (Dr. Phurrough and Dr. Garber held
25 discussion off the record.)

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1 DR. FENDRICK: I was wondering if you
2 wanted a practical suggestion regarding the
3 undesirability as to at least those most
4 undesirable, since that could change the opinion
5 as to a compendium with equivocal results. I
6 think what that's saying is that the panel, and
7 maybe this will open the discussion, they want a
8 statement of equivocal results stated as equivocal
9 results, and there is no bias in either one
10 direction or the other. You made a point saying,
11 instead of keeping it in the negative, I think at
12 least for the two most undesirable characteristics
13 on the grid, you could make that a positive
14 statement.
15 DR. GARBER: That it's freedom from
16 bias?
17 DR. FENDRICK: It's a statement about
18 how the compendia validates the equivocal.
19 DR. GARBER: But, can you just tell me
20 what change in language you're suggesting? We
21 don't need to be wired to this particular order.
22 DR. FENDRICK: Correct. I think that
23 we should add a characteristic that basically says
24 how the compendium deals with validated evidence
25 that is equivocal, so there would be no bias when

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1 validated evidence is equivocal.
2 DR. GARBER: Okay. So, is everybody
3 clear with what Mark is saying? Rather than have
4 a couple things looking at bias, just making it a
5 positive statement that you consider it desirable

6 that a compendium be free of a bias in the
7 situation where the evidence is equivocal. That's
8 the proposal on the table here.
9 DR. OMMAYA: Alan, in other words,
10 eliminate H and I, but keep M; is that correct?
11 DR. GARBER: I think that's right, yes,
12 H and I, that's correct.
13 DR. PHURROUGH: Our recommendation was
14 that M is the statement that the other Mark was
15 looking for.
16 DR. GARBER: So eliminate H and I, and
17 keep M in.
18 MS. KUEBLER: I would like to make a
19 comment about H and I, because we need to consider
20 subpopulations where the data might not be
21 significant but important, and also, it may have
22 clinical significance, maybe not research
23 significance.
24 DR. GARBER: Well, I think what you're
25 talking about is how you interpret equivocal and I

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1 think that would be fair. I'm not sure which
2 characteristic that might alter. Yes, Nancy?
3 MS. DAVENPORT-ENNIS: One of my
4 concerns that I think we need to consider is we
5 need to define equivocal if we indeed are going to
6 eliminate H and I and we're going to adopt M as a
7 standard. I think from a patient perspective,
8 because we acknowledge that compendia are used as
9 a foundation for making many of the reimbursement
10 decisions around off-label, we don't want to adopt
11 M and then have a definition of equivocal that
12 within the construct of the compendia would
13 ultimately result in less reimbursements for those
14 therapies that might be dinged or identified as
15 equivocal at a time when for that particular
16 disease or stage of disease, that perhaps would be
17 a better option than any other existing option
18 available for the patient. So there is a concern
19 here.
20 DR. GARBER: Well, I think you raise a
21 very important point. There's just one tactical
22 issue, which is that we've had entire meetings
23 devoted to single topics for which there is a
24 lengthy debate about when it's equivocal and when
25 it is not. Though I think it's an important

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1 point, what I would suggest is as panelists vote
2 for what they think is right, I don't think we'll
3 be able to get down to a detailed discussion of
4 the general principle of when evidence is
5 equivocal. However, I do want to also add that
6 CMS's guidance document to MCAC discusses evidence
7 in detail, which gives a lot of discussion into
8 this very point about when is there adequate

9 evidence, when is it equivocal and so on, so I
10 think you might want to keep that document in
11 mind, and that is also available on the web and I
12 hope that you all had a chance to see that. Yes.
13 DR. CUMMINS: I just want to point out
14 that if we're eliminating H and I and keeping M,
15 we have to make a decision about L, because it
16 says silence, when the validated evidence is
17 equivocal. So if we're saying that we want it to
18 be explicit, then we would have to reject the
19 silence.
20 DR. GARBER: Yes, that's absolutely
21 right, and I don't know how people voted, but
22 hopefully that's reflected in your votes before.
23 Now I just want to also point out
24 another procedural thing. What was not
25 distributed to you but I do have in front of me is

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1 the scores by category using the priority weights
2 for desired and undesired. Unfortunately we don't
3 have copies distributed for that, but we can give
4 you those scores and, you know, the discussion of
5 H and I, and K and L actually fit very well with
6 the course that we've established too, because
7 these were the ones where we had more than ten
8 points in the undesired category for those four
9 items. That's H, I, K and L. So let me just ask
10 if we can take a formal vote. I take it, Mark, is
11 that a formal motion, that we strike --
12 DR. FENDRICK: If you want it to be,
13 yes.
14 DR. GARBER: So it would be strike H
15 and I, and keep M as one of the criteria.
16 DR. FENDRICK: And L.
17 DR. GARBER: Right, strike, H, I and L,
18 and keep M.
19 DR. PHURROUGH: Can I make one other
20 addendum to that, because I think Nancy made an
21 important point and at least clearly outlined it,
22 but I think it would be highly desirable for
23 compendia to clearly, in its process or methods
24 statement, clearly identify how it, clearly
25 describe how it identifies equivocal, so that

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1 there is a clear definition in the compendia as to
2 how we categorize something as equivocal. Wasn't
3 that your point?
4 MS. DAVENPORT-ENNIS: I think that is
5 the real point because with that, we do need to
6 provide to the payers of the country a very clear
7 indication of our process, and I think a broader
8 opportunity for acceptance and reimbursement on
9 behalf of the patients. I would never want to see
10 compendia that from the patient's perspective, I
11 do believe if we could bring them all into this

12 room today, they would all say make it a living
13 document, make it relevant to my disease today and
14 tomorrow, and do not initiate a set of standards
15 that will not allow flexibility moving forward in
16 the reimbursement process. And I do think that
17 the issue of equivocal is a foundational piece of
18 that discussion, and Steve, I do agree with what
19 you recommended.
20 DR. GARBER: Okay. So, there's been a
21 motion and I see a second. All in favor of making
22 the suggested change, striking H, I and L, and
23 definitely keeping M?
24 (Unanimous response.)
25 DR. GARBER: Opposed?

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1 (No response.)
2 DR. GARBER: Okay. Now, I'm trying to
3 amend my copy of the questions. So let me quickly
4 go through the ones that got very high scores
5 overall, and there was a huge amount of
6 consistency between the numbers you have in front
7 of you across voting and nonvoting members, and
8 the ones where we assigned priority scores in the
9 distribution, and pretty much A through E, or A
10 through F all got very high scores by either
11 measure. Does anybody have a problem with saying
12 we definitely keep those as criteria?
13 (Negative response.)
14 DR. GARBER: Okay. Now as you see,
15 there is a drop for G, and there are three people
16 who actually gave G undesired. Let me just tell
17 you about the distribution here in terms of
18 weighted priority scores. So this would be the
19 three if you said it was high priority, two if it
20 was in between priority, and -- I'm sorry. Three
21 high, two if it was not very important, and one if
22 it didn't matter at all. And for G, there were 72
23 points for desired, 14 equivocal, and 8 for
24 undesired, so it appears that the people who gave
25 it undesired also tended not to give it a very

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1 high priority weight. So by votes ranking, this
2 one also comes out as being fairly high. Is there
3 a problem with keeping G in? And the people who
4 said it was undesirable maybe should let us know
5 if they think it's important that it not be used,
6 or counted as a negative.
7 And let me make sure we are all on the
8 same page as to what this means. I think it means
9 that when there is appropriate evidence and it
10 shows that it doesn't work or is harmful, that
11 there would be an explicit nonrecommended listing.
12 Is that everyone's understanding of this one?
13 Okay. Is there anyone that feels strongly this
14 should not be a criteria by which to judge a

15 compendia?
16 (Negative response.)
17 DR. GARBBER: So that will be included
18 as a characteristic. Yes.
19 DR. WHITTEN: When we finished A
20 through E, I know there was consensus on that, but
21 I just wanted to make a comment. First of all,
22 the extensive breadth of the listing, we talked as
23 we went through it that that's clearly a good
24 desirable characteristic of a compendium. But
25 just to be sure there's no misunderstanding, if a

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1 single compendium met all the criteria and was
2 very narrow in its breadth, let's just say for
3 argument's sake that it dealt only with rare
4 diseases, we didn't vote that in the negative.
5 But I wouldn't want to see it conveyed somehow
6 that because the compendium did not have extensive
7 breadth, it might not still be valuable for
8 treatment. It's a positive criteria, but I'm not
9 sure there should be any inference that these
10 would be a negative criteria in the reverse.
11 Thank you.
12 DR. GARBBER: I thank you for making
13 that point. Let me ask about this. This is one
14 of the tricky things about this, because in the
15 end we're asked to make decisions in Questions
16 Number 7 and 8 that have to do with how many
17 compendia do we need, and presumably your answer
18 to that will depend upon how comprehensive you
19 believe each compendium is. So if we have
20 compendia that focus only on small areas, you
21 might say we need a larger number of those and a
22 relatively small number of comprehensive
23 compendia. So, I'm not exactly sure what's the
24 best way to communicate that to CMS, so if people
25 feel that way, I think we should have a more

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1 extended discussion about that when we deal with
2 Questions 7 and 8. So, is there any disagreement,
3 though, with what Dick says, that it would be nice
4 to have more comprehensive compendia, but it's
5 still going to be very useful if it meets all the
6 other criteria we have now?
7 (Negative response.)
8 DR. GARBBER: Okay. So now we move into
9 more contentious territory. We are eliminating H
10 and I, and J is explicit listing of appropriate
11 combinations of therapy. Now I want to go back to
12 my other list that has priorities. For all
13 numbers with J, most people thought it was
14 desirable, there were only two people who listed
15 it as undesirable, or, I'm sorry, I don't know if
16 it was two, one person who gave it a priority in
17 the middle, so there's not much objection to using

18 combinations. So, do people feel comfortable
19 keeping that question as a criterion?
20 (Affirmative responses.)
21 DR. GARBER: Any disagreement? Dick.
22 DR. WHITTEN: I suspect where people
23 may be uncomfortable, if the interpretation of
24 explicit listing implies that the drug can only be
25 used in the way that it's listed, then you

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1 probably have a lot more negative opinion. If the
2 explicit listing comes across as several of the
3 presenters gave information, then what they are
4 doing is providing the evidence on an explicit
5 basis, so those who want to use it can look at the
6 explicit issues, and I expect we would get a much
7 more positive view of it. So I think a little bit
8 of it, again, is in the interpretation, but my
9 guess is the majority of the panel would be fairly
10 comfortable if it was explicit for what the
11 evidence showed but not explicit in terms of
12 limiting the use of a specific combination.
13 DR. GARBER: Maybe we should interpret
14 the question that way. Is everyone comfortable
15 with that?
16 (Affirmative response.)
17 DR. GARBER: Okay. So we'll keep that
18 in mind as we interpret the characteristics.
19 Nancy.
20 MS. DAVENPORT-ENNIS: Alan, just a very
21 quick comment as to a couple of the ones before
22 that, we are coming from the perspective that it
23 would have to be a comprehensive concept of how
24 we're going to look at explicit listings, because
25 we don't want to limit the off-label application

00167

1 in that particular area, so I agree with the
2 analysis that it does need to be comprehensive and
3 it does need to be not limiting.
4 DR. GARBER: Thank you.
5 MS. DAVENPORT-ENNIS: You're welcome.
6 DR. GARBER: So, that takes care of J,
7 K, L and M. N, let me read that again, public
8 identification of the members of the
9 advisory/scientific review committee, everybody
10 felt that was desirable. It didn't get that -- or
11 not everybody, but nobody thought it was
12 undesirable. The weighted score for N was 111
13 desired, 4 equivocal, and no undesired, and it
14 also got fairly high priority scores. So is there
15 any question putting that on?
16 (No response.)
17 DR. GARBER: Okay.
18 DR. KRIST: You skipped K.
19 DR. GARBER: Yeah, thank you. I
20 skipped K, explicit recommendations on sequential

21 use of a therapy or combination in relation to
22 other therapies, and K was divided both by the
23 priorities, 45 desired, 8 equivocal, and 13
24 undesired. And you see that the overall score was
25 20, so here there's some division between people

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1 who thought it was desirable and people who
2 thought it was undesirable, so maybe I could ask
3 someone who felt it was undesirable to express
4 themselves. Alex.
5 DR. KRIST: I was going to say, I would
6 be curious to see if we might reach more of a
7 consensus if we reconsidered K in the same context
8 that we considered J.
9 DR. GARBER: We might even consider
10 folding those two together, because they have the
11 same reference to the explicit issue. So, is
12 everyone comfortable with that, we keep that
13 interpretation?
14 (Affirmative response.)
15 MS. DAVENPORT-ENNIS: One comment on
16 that, Alan. My concern in reaching the score that
17 I did is the concern that we are now dealing in
18 step therapy applications for formularies, and so
19 that's a concern. When I look at explicit
20 recommendations on sequential use of a therapy or
21 a combination in relation to others, you may
22 indeed have a patient that has an underlying
23 comorbidity that would preclude their ability to
24 be a logical candidate in this sequential use of a
25 therapy, there may be a piece of that therapy that

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1 may not be appropriate for them. I don't want us
2 to be so specific in what we're trying to do here
3 academically that the role of the treating
4 physician and the patient is completely lost as
5 we're trying to determine what the sequence of
6 therapy is, and therefore I scored that in the low
7 area.
8 DR. GARBER: Deborah.
9 DR. SCHRAG: For related and similar
10 reasons, I also scored it low. I think
11 operationally it's just immensely complex and it's
12 not going to be helpful for making coverage
13 decisions to try to figure out what line of
14 therapy, this is approved second line therapy,
15 third line therapy. Simply defining second line
16 therapy is extraordinarily difficult, is it really
17 adjuvant, how do you call it second line, they
18 couldn't get this agent so it's not really second
19 line, et cetera. I think it's really creating an
20 unnecessary mess and that's why I scored it low
21 for CMS.
22 DR. GARBER: Well, a lot of how you
23 interpret this is going to determine how CMS uses

24 it to make coverage decisions. And also, these
25 may not be made at the national level, these may

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1 be local carrier decisions as well. But if this
2 is interpreted that if it's in there, in a
3 compendium that's on the list, it's covered, and
4 it's a little bit hard to imagine a compendium
5 that would say this particular sequence absolutely
6 does not work and should not be used, and treating
7 physicians would want to use it anyway. That's
8 the only way in which I think this would make it
9 hard to get coverage by explicitly discussing the
10 sequence or a combination.

11 DR. PHURROUGH: I think the thinking
12 was sort of the positive side, if you get it into
13 the compendia, the likelihood of coverage is
14 pretty good. So the more you're able to say this
15 particular drug has adjuvant, second line, first
16 line, whatever the particular terminology
17 indications, versus just saying the only, a more
18 narrow or broad discussion, I think the more
19 information that is in there about the ways it can
20 be used is a positive way, because then you get
21 better guidance and direction.

22 DR. SCHRAG: Okay, it's an
23 interpretation?

24 DR. PHURROUGH: The goal is, whether
25 this says that or not, the goal is that if there

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1 is information on the sequential use, where the
2 drug fits into the time line of the cancer, then
3 it ought to be there, and it's helpful for it to
4 be there.

5 MS. DAVENPORT-ENNIS: A point of
6 clarity. Is this sequential use currently
7 identified in a number of the compendia that we
8 have evaluated?

9 DR. PHURROUGH: It is not an uncommon
10 finding to see where they talk about it in various
11 stages of use.

12 MS. DAVENPORT-ENNIS: So when you
13 affirm that process and cast it in a positive
14 light, certainly it's a benefit.

15 DR. GARBER: Alex.

16 DR. OMMAYA: I don't want to belabor
17 the point but when I first read the question I was
18 concerned. It might be useful from a clinical
19 information perspective but not so much from a
20 coverage perspective, and that's why I rated it
21 low, but given the clarification, I think it's
22 fine.

23 DR. JANJAN: One other issue. As a
24 radiation oncologist, I reference these regularly
25 in terms of, say, preoperative chemoradiation. Do

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1 the compendia include that, or are we restricting
2 sequence only to a chemotherapeutic sequence? So
3 I was also very confused about this thinking that
4 the coverage might be limited depending on somehow
5 you define sequencing of therapy. So it was just,
6 it brings confusion and if we clarify it in the
7 broad sense I'm more comfortable with it, but
8 unless that broad umbrella of therapy is
9 considered, then I have some confusion about it.
10 DR. PHURROUGH: Part of the problem I
11 think is that, is your view of the use of the
12 compendium. If a clinician is using a compendia,
13 and I think someone made this comment, they in
14 fact may be more interested in what's the clear
15 evidence for the use in this particular setting of
16 this particular drug but you may want more
17 explicit and more, it may be looked at in a more
18 negative light. In the coverage arena, compendia
19 in almost all instances is a positive guide. It
20 says you don't have to go to a medical literature
21 contractor, just look in this book just like you
22 look at the PDR or FDA labeling and if it's in
23 either one of them, you're going to pay for it.
24 There's obviously a bit more discretion than that,
25 but in general, if it's there, we're going to pay

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1 for it. So the compendia from a coverage
2 viewpoint is, should be looked at as a positive
3 thing, and I think that's perhaps not necessarily
4 the view that is always looked at outside the
5 coverage arena.
6 DR. BERGTHOLD: Alan, I read this as, I
7 looked at the word appropriate, and I assumed that
8 you would explicitly list these things in J and K
9 when there was pretty definitive evidence that the
10 stuff was appropriate. And there are gold
11 standards out there, there are lots of standards
12 for what do you use for asthma for example, and
13 there are sequential therapies that are pretty
14 well accepted in the medical community. So I
15 looked at it as if it's accepted within the
16 medical community, the gold standard, that the
17 evidence is appropriate for these kinds of
18 sequences, therefore it should be explicitly
19 describes. Would it help if we added some kind of
20 language around the quality of evidence that
21 supports these sequences?
22 DR. GARBER: Well, I think, if we go
23 back to criteria D and E about having a published
24 criteria and process, and I'm assuming that
25 applies to sequences and combinations, so that

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1 would presumably be the idea, that they would
2 apply the same standards across the board. Okay.

3 So as I heard the comments, people are comfortable
4 with the revised language, and we combine those
5 two into one, and when we get into Question 6,
6 then we will be just rating a combined J and K.
7 Everyone okay with that?
8 (Affirmative response.)
9 DR. GARBER: Then we turn to, I think
10 we are on O now. O is public notification of
11 reviewers' and committee members' conflicts of
12 interest, including institutional funding sources.
13 I actually have a question about this, and I
14 didn't get to vote. O and P are both about
15 disclosure of conflict of interest, and there are
16 a number of aspects about conflict of interest
17 disclosure, and one of them is if it's disclosed
18 in the editorial process, is there a formal
19 requirement for recusal? So for example if you're
20 a stockholder and you're on a committee for any
21 one of these compendia considering the use of a
22 drug that's produced by a company you consult for
23 or hold stock in, are you required to recuse
24 yourself?
25 And that doesn't appear explicitly

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1 here, but we might want to think about just having
2 explicit processes for disclosure and appropriate
3 recusal to insure that the recommendations are
4 perceived as being free of financial bias. Would
5 that sound -- first of all, I want to ask if that
6 is the attempt of these questions and secondly, if
7 that agrees with the direction of the panel.
8 DR. PHURROUGH: That is part of the
9 intent, yes. The intent in general was to insure
10 that the public, the users of the compendia were
11 aware of any potential biases that may be present
12 in the compendia at large and in the specific
13 reviewers, and that there was a process in place
14 to insure that only the least biased people
15 possible were being used.
16 MS. GLENNON: Does that mean that if
17 it's only an internal review and not an external
18 consultant review, that those staff members make
19 public their conflicts for an internal report?
20 DR. GARBER: Yeah, I think that would
21 be the intent.
22 MS. GLENNON: For all, internal and
23 external?
24 DR. GARBER: Right. If they are going
25 to have some influence on the recommendation that a

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1 particular therapy is indicated, that their
2 financial conflicts should not have influenced the
3 decision, it doesn't necessarily mean that you
4 can't participate in the process at all if you
5 have a direct interest in the product being

6 discussed, but you are not involved in making the
7 recommendations, and that's the intent I think, of
8 virtually all conflict of interest regulations and
9 disclosure requirements. Norm.
10 DR. KATO: One thing I just thought of
11 is, there may be some difficulty with this idea of
12 disclosure of parent and sibling organizations,
13 particularly when one organization may have a
14 parent organization that's a multinational
15 corporation here, or let's say the NCCN which has
16 20 different academic institutions as part of
17 that, would that those academic institutions be
18 required to disclose their funding sources.
19 DR. GARBER: That's one of the reasons
20 I suggested rephrasing the question. I should
21 have known this, and I probably knew it, but I
22 didn't realize until NCCN's presentation, and
23 Stanford University is a member. Obviously there
24 are some limits. I don't think most of us as
25 individuals directly in oncology or something have

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1 a financial interest in NCCN, so it has to be some
2 kind of reasonable standard, and there are plenty
3 of precedence for this. I personally believe that
4 knowing all your institution's conflicts, if
5 you're in a large institution, is not even
6 feasible, but I think the intent here is if you
7 have a direct financial conflict. Alex.
8 DR. OMMAYA: Alan, you're talking about
9 adding, that there are policies and procedures in
10 place to manage identifiable conflicts of
11 interest; is that correct?
12 DR. GARBER: Right, and that they use
13 some standards that are broadly accepted.
14 DR. CUMMINS: Are we combining those
15 into one?
16 DR. GARBER: Yes, with this rephrased
17 language.
18 (Inaudible colloquy.)
19 DR. GARBER: My original comments were
20 only as to O and P, but it could be, if you want
21 to eliminate N to, I believe that that's going to
22 be the major hurdle that any compendium needs to
23 get over.
24 DR. PHURROUGH: That's not a good
25 reason to eliminate it.

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1 DR. FENDRICK: So concerning O and P,
2 talking about revising it, so I'm just crystal
3 clear and concrete, are we saying that on each
4 topic that the compendium reviews, that we want
5 them to list the biases of everyone involved on
6 that topic, or what I saw more in the technology
7 assessment was a compendium listing what their
8 process is for recusing people so that they limit

9 and prevent bias?
10 DR. GARBER: If the process is well
11 described and explicit in detail, there is no
12 necessity to individually reach the valuation. If
13 it's vague, then there is a real problem, because
14 then you should say it doesn't meet this
15 criterion.
16 MS. DAVENPORT-ENNIS: Just for further
17 clarification, as I look at N, O and P, currently
18 we're calling for public identification and public
19 notification. Your recommendation is that there
20 be another step to the process that would
21 essentially identify an appropriate action to be
22 initiated or taken once the disclosure is made and
23 there could be bias from the institution after the
24 disclosure based on the disclosure; is that
25 correct? Because my concern is, it feels like

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1 we're leaning a bit towards some form of formal
2 regulation so that we can't just notify and inform
3 what those potential conflicts of interest may be,
4 but now we're going to recommend as an MCAC that
5 there is an additional step to that.
6 DR. GARBER: For many processes, and it
7 is consistent among organizations that if your are
8 making the recommendations, some of the
9 evaluations about a drug, for instance, if you
10 have a direct financial stake, you are considered
11 to have a bias and therefore the recommendation
12 will not be taken with the same weight. And this
13 is true, even if disclosed, that may not be
14 adequate, especially if it's blanket for this
15 whole compendium. So normally that would require
16 recusal. And if the compendium specifies the
17 conditions under which recusal is required, that
18 would meet the criterion. But to say for example
19 in this compendium that these people have all
20 these conflicts with these companies without some
21 sort of recusal process, for someone concerned
22 about having unbiased recommendations, that won't
23 be adequate. I wouldn't call it regulatory,
24 that's fairly standard for this kind of activity
25 for dealing with conflicts.

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1 MS. DAVENPORT-ENNIS: Thank you for the
2 clarification.
3 DR. GARBER: Sure thing. So, are
4 people comfortable with leaving in N, O and P, but
5 in a combined way as described?
6 (Affirmative responses.)
7 DR. GARBER: Now Q and R had weighted
8 overall scores of 50 and 45. One person, two
9 people thought Q was undesired, and Q is net
10 benefit analysis based on potential harm and
11 potential benefit, in other words, describing

12 whether overall this therapy is considered
13 beneficial. Yes, Deborah.
14 DR. SCHRAG: I just have a small motion
15 which is, this is with respect to clinical issues,
16 not economic issues; is that correct?
17 DR. GARBER: That's correct.
18 DR. SCHRAG: So my small suggestion
19 would be to add the word net clinical benefit,
20 because this is focused on reimbursement issues,
21 and one of the speakers was addressing
22 specifically the cost issues.
23 DR. GARBER: Okay. Any objection to
24 that? Dick.
25 DR. WHITTEN: Mr. Chairman, not an

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1 objection, I agree with the principle.
2 Mr. Silberman spoke to the issue, and it seems to
3 me that you're in a position to make a
4 recommendation to the agency at several different
5 levels, one of which is the specific criteria for
6 a compendium, and that may well be for the
7 clinical benefit. What I heard Mr. Silberman
8 speaking to was maybe asking CMS also to think at
9 this time about trying to begin to initiate the
10 process of facilitating and encouraging financial
11 data that will enable these issues to be looked at
12 over time, not from the point of view of the
13 compendium itself, but from the point of view of
14 allowing researchers over time to raise some of
15 these cost-benefit issues. I think they are two
16 different issues and I think it might be very
17 appropriate for the committee to look at the
18 recommendation of CMS, what it might do to
19 facilitate the collection, preparation, use of,
20 and long-term analysis of cost data on these
21 things that Mr. Silberman addressed.
22 DR. GARBER: Well, I think that the
23 purpose of today's meeting is really about using
24 the compendia for off-label uses of cancer drugs,
25 and knowing that it will be used in a particular

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1 way as specified by statute. As I understand
2 Mr. Silberman's point, not that we're trying to
3 reinterpret the law here, but that it might be
4 good to send a message that it would be good for
5 the compendia to provide this information,
6 independent of dealing with this task at hand
7 which relates to coverage. So I think it would be
8 perfectly appropriate for the panel to say it
9 would be a nice thing for the compendia to
10 include, and I think the compendia have probably
11 given that some thought on their own anyway and
12 maybe they have decided to do it, maybe they
13 decided not to, maybe they decided the time is not
14 right. But to answer our set of questions today,

15 we really, I believe, we're not, in coming to our
16 answers on those questions, bringing in cost
17 issues in those contexts, because they aren't
18 relevant. Would that, is that what you need from
19 us?
20 DR. PHURROUGH: That's fine.
21 DR. GARBER: Okay. Just for the
22 record, Steve said that's fine.
23 So, are people comfortable with this
24 notion of adding the adjective clinical before
25 benefits?

00183

1 DR. SCHRAG: Just for purposes of
2 clarification, it's for purposes of clarifying
3 that.
4 DR. GARBER: Okay, so it's for the
5 purpose of understanding. Okay. So, are people
6 in favor of keeping Q in, that it is desirable to
7 have some description of that benefit?
8 (Affirmative response.)
9 DR. GARBER: Okay. R is explicit
10 stratification of the risk of available therapies.
11 Now this one, let me describe the weighting as
12 being tepidly in favor on this one. The weighted
13 number was 27 desired, 28 equivocal, and 1
14 undesired, so the person who thought it was not
15 desired also didn't think it was high priority. I
16 think this statement means comparison of what's
17 known here and what's less typical.
18 MS. GLENNON: I have a question. Does
19 that mean in like some of the examples that we had
20 on toxicity, or does this mean just a general
21 summary?
22 DR. KRIST: I was going to add to that,
23 because I had a tepid response for sort of the
24 same reason, because if I'm thinking of putting it
25 into the context of the risk and benefits, it

00184

1 seems similar to Question Q to me, and so I was
2 envisioning something different, I was thinking of
3 listing of the side effects. And quite honestly,
4 I don't think the compendia has to list the
5 packing insert of all the side effects. I would
6 be more in favor of wrapping R into Q, because
7 it's the same concept and that to me is what's
8 more important for a compendium.
9 DR. GARBER: Alex.
10 DR. OMMAYA: In the EU, you are
11 required to weight essentially a level of risk for
12 harm, including the label, and that was seen as a
13 potential improvement for labeling, but it's not
14 yet here in the U.S. I think you're absolutely
15 right that we're covering this topic in another
16 area, but I think that was the focus of this
17 question.

18 DR. GARBER: While ever mindful of the
19 mechanics of getting through so many, I have to
20 say that I am favorably disposed to rolling R into
21 Q so if the panel is amenable to that, that's what
22 we will do.
23 (Affirmative response.)
24 DR. GARBER: Okay, great. We have
25 finished the beginning of the agenda, Question 1.

00185

1 DR. BERGTHOLD: Are you going to
2 summarize or are we going to renumber these
3 things?
4 DR. GARBER: Well, when we do Number 6,
5 Number 6 has a rating for R scores for each
6 compendium. The characteristics that we decided
7 to eliminate, you won't have to rate when we get
8 there, okay? So this might be a good time to make
9 sure that I have these down correctly, or that
10 Michelle and I are in agreement. We eliminated H
11 and I. We eliminated L, kept M. And we kept N,
12 we replaced O and P with having a formal and
13 rigorous process for dealing with conflicts of
14 interest, and we folded R into Q, so we basically
15 eliminated R.
16 DR. CUMMINS: And we combined J and K.
17 DR. GARBER: Right, so what I think we
18 should do is cross off K. Mark?
19 DR. FENDRICK: You may want to kill me
20 now, but I think it's worth mentioning here with
21 all this talk about equivocal evidence, it brought
22 me back to some discussions at the task force,
23 which is, we really don't have, as the NCCN
24 presentation mentioned, one is specifically
25 conflicting, not equivocal data but actual data

00186

1 where one trial says yes and one trial says no,
2 and then the task force has to say where the data
3 is. So if we don't conclude they are equivocal,
4 but there's also when they are conflicting and
5 there is also a statement you might be able to
6 make when you're just not sure, which is different
7 in my opinion than equivocal.
8 DR. GARBER: What I would suggest is
9 that when, even though technically you're correct,
10 I think people should understand that when they
11 evaluate equivocal.
12 DR. FENDRICK: You made the point about
13 defining equivocal, and I just want to make sure
14 we put on the record that we want to at least have
15 an open discussion of what equivocal means, that
16 it's not the narrow academic view, that it's the
17 broader view.
18 DR. GARBER: Okay. Do you want a
19 discussion or just make the statement?
20 DR. FENDRICK: Nancy, I think, stated

21 that it's prone to misinterpretation, that this is
22 one of these terms depending on which context,
23 equivocal may be used too narrowly, and I think if
24 we use that statement about equivocal, we should
25 basically say explicitly equivocal, conflicting or

00187

1 not sure, and that might be an easier way around
2 this.
3 DR. GARBER: Or nonexistent.
4 DR. FENDRICK: Whatever the term.
5 DR. GARBER: Okay. I want to make sure
6 that the panel agrees with interpretation as well.
7 (Affirmative response.)
8 DR. GARBER: Thank you. Now what we
9 move into is, actually we are right on schedule
10 now, questions to presenters. So at this point,
11 now, before we start asking them questions, it
12 might be good to refresh your memories about what
13 the remaining questions are, so that your
14 questions to presenters are a target for these.
15 We're going to be asking about how
16 confident are you that AHFS and USP-DI compendia
17 have adequately stated evidence-based criteria and
18 processes. The third question is also, do they
19 adhere to those processes. And the fourth
20 question is, how confident are you about, the
21 fourth and fifth questions are basically identical
22 but pertain to the other compendia. And then the
23 sixth question is going to be where we rate each
24 compendium for each of the characteristics that
25 remain in the fold. Okay?

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1 So now I would like to open the panel
2 to ask questions of the presenters. I see that
3 the Duke and New England Medical Center presenters
4 are in the second row, available here, so any
5 questions for them? Alex? And by the way, other
6 presenters this morning are for the most part
7 available and you should feel free to call on them
8 if you have questions for them.
9 DR. OMMAYA: I want to go back to the
10 question I raised earlier, which is if you could
11 give us your perspective on essentially good
12 points and bad points of various compendia that
13 you reviewed.
14 DR. BALK: I'm Ethan Balk from Tufts.
15 I want to reiterate that because of the process
16 that we went through, that we did not review the
17 DRUGDEX, the full version of DRUGDEX, so my
18 comments are going to be limited to the other
19 compendia. The major differences, I think all of
20 these have been brought up by us and by the
21 different presenters.
22 I think the most obvious, the unique of
23 the compendia is NCCN, which was the only one that

24 was set up as a guideline with recommendations, it
25 was the only one set up, organized by cancer, by

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1 disease, as opposed to by agent, which all the
2 other ones were. It was the only one, at least
3 among the combinations that we looked at, that
4 consistently graded the level of their
5 recommendations.
6 However, it like all the compendia, did
7 not clearly meet any individual write-up,
8 individual sections, did not base their
9 recommendation or clarify how their
10 recommendations were based on the evidence. NCCD
11 did that indirectly with a statement about the
12 strength of the evidence, but there was nothing
13 that you could look to and say oh, I see there are
14 three studies that said this, two studies that
15 said that, there was no way to compare their
16 statements directly to the studies; even when
17 there were references made, it was an indirect
18 comparison.
19 USP-DI was the most complete in giving
20 citations, although again, the same issue, that
21 for the most part at least in the combinations we
22 looked at, it was not clear exactly how those
23 citations really had an impact on the
24 recommendation's statements.
25 Let me see what else I have here. And

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1 also, I think one of the important questions in
2 theory is how accurate each of the compendium are
3 in terms of accuracy in any sense you want, but
4 unfortunately one of the limitations of our review
5 is we were not able to compare our systematic
6 reviews of the evidence directly to the compendia,
7 so I really can't make a comment as to which of
8 the compendia did better or made more accurate
9 statements about whether a drug relation should be
10 indicated or not.
11 DR. GARBER: Amy, did you want to make
12 a comment.
13 DR. ABERNETHY: I just wanted to follow
14 up on that with several points. I need to make it
15 clear that I'm a medical oncologist so I am
16 offering a medical oncologist's thinking about
17 this. I also need to clarify for the committee
18 that while I don't have any financial
19 relationships except for the fact that Duke is an
20 NCCN center, and I do sit on a Duke panel, but
21 that panel does not have any reference to the NCCN
22 panel.
23 The important statement about the
24 differences between the various compendia and the
25 distinction between NCCN and USP-DI is very clear,

00191

1 and our colleagues have already stated this
2 multiple times. It is important when talking
3 about NCCN and thinking about the different
4 compendia that you recognize the advantages of
5 NCCN and the evidence-based guidelines, and so
6 supposedly NCCN does develop their guidelines in
7 an evidence-based format and do not always have
8 the ability to transfer that evidence base to
9 their compendia. They do review that, but they do
10 distinguish their evidence and do document their
11 guidelines, and that is directly related to their
12 compendium.
13 As we look through the other compendia,
14 because I think importantly when you look through
15 your decision making, you actually have , NCCN,
16 AHFS, USP-DI and the other ones currently
17 recommended listed in front of you, and you need
18 to look through all six as you think about it.
19 The AHFS is the one that was the most difficult
20 for us to find the combinations that we were
21 looking at. As a matter of fact, for those that
22 Duke looked at, we were not able to find those at
23 all listed within that compendium. So as a
24 compendium to help us in decision making, we were
25 not able to use that compendium for that role.

00192

1 Similarly in Facts & Comparisons, when
2 looking at the referencing for that compendium, we
3 were unable to clearly document their use of
4 evidence and an evidence base that we would
5 normally consider for the EPC.
6 So we looked at USP-DI and that
7 compendium had the most citations. However, you
8 will see within our evidence review report that
9 sometimes that was inconsistent, they may have had
10 the most number of citations, however, there was
11 an inconsistency both in timeliness of citations
12 as well as the appropriateness of citations such
13 that, for example, when we looked at Taxotere for
14 gastric versus esophageal, many of the esophageal
15 references were actually the gastric references,
16 and they weren't specified clearly that we were
17 looking at a different disease, particularly
18 within this drug disease combination, and so we
19 tried to clarify that within the report.
20 So as you walk through each compendium,
21 and I tried to do this very briefly, to come back
22 and say that Amy Abernethy thinks A, B and C. I
23 think you can see that NCCN is different from the
24 other five, you can see that there are different
25 uses of citations and different numbers of

00193

1 citations and different use of the literature
2 across those five. And in addition, those six

3 have different volumes of evidence and indications
4 and indication combinations, so it goes back to
5 that issue of sometimes there are ones where they
6 are very narrow, such as AHFS, but it may be
7 important to have a distinction, and then there
8 are ones that are broader such as DRUGDEX where we
9 saw that for the most part, most of the
10 combinations we were looking at were represented.
11 So I think those are some of the differences
12 across the group, and I'm happy to answer any
13 questions as you think about that.
14 DR. GARBBER: Dick ?
15 DR. WHITTEN: Just to follow up, let me
16 put it in a different way. One of the problems in
17 being able to access things is the currency, and
18 neither of you addressed the currency issue or
19 availability, and since this is web based, I think
20 that availability and currency would be nice
21 issues to be addressed.
22 DR. ABERNETHY: At least within the
23 group that Duke looked at, NCCN tended to be the
24 most current, although it did have a fairly
25 restricted number of publications with NCCN as far

00194

1 as what was publicly available as far as their
2 guidelines on the web, and that was a very
3 important consideration. As we looked across the
4 drug combinations at issue, the currency tended to
5 change, both in terms of drugs and disease
6 combination, and we were not necessarily always
7 able to figure out why, and I wish we had some
8 insight as to why, but unfortunately I can't bring
9 that to you today.
10 DR. BALK: My only addition to that, it
11 goes back a little bit to the difficulties we had
12 trying to assess how the recommendations really
13 connected with the evidence that was provided in
14 terms of the references. There was great
15 variation across compendia and also within
16 compendia across combinations of how current the
17 most recent citation was, but there was no clear
18 consistent pattern we could find that really made
19 clear to us that the recommendation is necessarily
20 based on older evidence versus more recent
21 evidence, so there was difficulty assessing that.
22 DR. GARBBER: Cliff.
23 DR. GOODMAN: This question about the
24 inclusion threshold by strength of evidence across
25 the compendia, in other words, across the several

00195

1 compendia, which ones had the highest and lowest
2 thresholds for entry of a study or a claim? And
3 the example I would give is which ones are more or
4 less likely to allow in their compendia, for
5 example, a single abstract of a disease treatment,

6 which are less likely to include that, more or
7 less likely, so which among the compendia, which
8 have the highest and lowest thresholds for entry
9 by strength of evidence.
10 DR. GARBER: And Cliff's question is
11 predicated on the knowledge that we already heard
12 that you can't make an overall statement, but just
13 based on your sample, did you have an impression
14 about that?
15 DR. MCCRORY: Let me try to answer
16 that. (Inaudible) correlate what we actually
17 observed. One of the things that severely limited
18 us was the fact that AHFS-DI had so few
19 indications that (inaudible) threshold is fairly
20 high. We wanted to look at the quality of
21 evidence and they listed very few off-label
22 indications so we were unable to really analyze
23 it. We couldn't figure out whether they didn't
24 include it because they thought the evidence
25 didn't rise to the level that they needed or

00196

1 simply because they didn't have any evidence
2 available and so they didn't look at it, and that
3 was the purpose of doing our empirical
4 investigation.
5 The Thomson Micromedex publications,
6 they identified a lot more indications in both
7 DRUGDEX and USP-DI, they tended to describe more
8 off-label indications. The evidence (inaudible)
9 somewhere in the middle, it was difficult to tell
10 precisely. DRUGDEX regularly allowed case reports
11 in certain situation, they listed case reports and
12 other lower levels of evidence that were cited
13 were Phase I, II, and some Phase III studies.
14 DR. GOODMAN: So the phenomenon of
15 entry into compendia based on having been reported
16 at some meeting one time is an uncommon or common
17 occurrence?
18 DR. MCCRORY: Well, the ASCO meetings
19 are very, I think a lot of generally very good
20 quality studies reported there.
21 DR. GOODMAN: They might be.
22 DR. MCCRORY: They might be, they might
23 not be, but I mean, they're not talking about a
24 lot of the prospective clinical trials, Phase I,
25 Phase II, and sometimes Phase III.

00197

1 DR. GARBER: Alex.
2 DR. KRIST: I actually have a question,
3 but I can mention one thing. I was just kind of
4 tabulating everything with the different compendia
5 and if you look at the number out of these 14 that
6 they recommend for inclusion, AHFS is two,
7 Clinical Pharmacology is eight, DRUGDEX is seven,
8 Facts & Comparisons is seven, and NCCN is nine but

9 four have kind of an unclear rating, and USP-DI is
10 eight.
11 And if you're looking at policy and
12 which ones you would combine, and the way the
13 current law goes, only at best, it would be two of
14 these 14 that are covered. If you go back to the
15 way it was before with AHFS and USP-DI covering,
16 there are eight. If you said that all of these
17 were covered, then 12 out of these 14 medications
18 would be covered. So it's straightforward there,
19 but very limited, because we only looked at 14
20 agents, for whatever that's worth.
21 I had a question because it seems, and
22 I don't quite understand the law exactly, but I
23 see in the assessment and the introduction
24 material, if I'm reading it right, that USP-DI,
25 the name of that is going to disappear and as

00198

1 written into the law, the next publication after
2 that from Thomson Micromedex would also be a
3 covered compendium.
4 And so I was curious, I had some
5 questions for Miss Moore or Dr. Osheroff about
6 Thompson Micromedex. And one of the first ones I
7 had was, if you could give any insight as to what
8 the plan is going to be, is it going to be a
9 completely new compendium under a new name or are
10 you going to be merging whatever you have in
11 USP-DI, or is it DRUGDEX and DRUGDEX will be the
12 compendium. That's sort of the first one.
13 And with two different compendia, I
14 would imagine if there are two different
15 publications, it's still going to be based on the
16 same information that the two compendia would make
17 their conclusions, and maybe you can comment
18 whether that's true or not.
19 And then just as a final point, I just
20 want to make sure I understand things clearly.
21 What I heard Miss Moore mention was that the
22 DRUGDEX, that for the tech review they were
23 looking at Drug Points and not DRUGDEX, and so the
24 assessment can't necessarily say whether the
25 references are correct for DRUGDEX and in some

00199

1 cases the recommendations may not be the same. I
2 want to clarify that's true, and then maybe Ethan
3 or Amy can clarify that after they do, maybe you
4 can rebut what they say.
5 DR. OSHEROFF: I'll try to take those
6 sequentially. A comment was made a second ago
7 about the number of drugs that are listed in each
8 compendium. When DRUGDEX lists a drug, we
9 (inaudible) literature about efficacy, assign a
10 level of recommendation to that, and also grade
11 the evidence. That doesn't mean that we're

12 recommending it for off-label use, but we're
13 accepting it and then through those three
14 parameters we are providing information that can
15 be used to decide if it's appropriate for patient
16 use, or coverage or whatever. So that's an
17 important clarification.
18 And as I said before and Laurie
19 mentioned, DRUGDEX in fact discusses all 14 of
20 those things and provides different levels of
21 recommendations and evidence ratings and efficacy.
22 DR. KRIST: Well, when I said the
23 number seven, it was based on you listed it and
24 said it wasn't indicated, or at least based on the
25 tech assessment, just looking through and counting

00200

1 up what it said.
2 DR. OSHEROFF: I think the tech
3 assessment was describing if they found it at all
4 in the compendia, and I think they said seven were
5 found in DRUGDEX, whereas in fact all 14 are found
6 in DRUGDEX.
7 DR. ABERNETHY: And gave some level of
8 indication information, inconclusive or whatever,
9 and when I speak, I'll talk about that.
10 DR. OSHEROFF: So in the broad sense
11 about the issue of whether it's USP-DI information
12 or DRUGDEX, you're sort of talking about two
13 separate things, and I'll let Laurie go into more
14 of the details of where things are and where
15 they're going, but to reemphasize the point that I
16 made earlier in my comments, there is an
17 underlying knowledge management infrastructure
18 that Thomson Micromedex has that oversees all
19 development processes. So as of today the ongoing
20 literature review and search, the policies that we
21 use to decide how we're going to survey data,
22 which data wind up in our repository, how that
23 information gets translated into the different
24 products, all of those policies, technologies,
25 processes, the staff are the same across all of

00201

1 the Thomson Micromedex offers. Now today, there's
2 two slightly different drug products that result
3 from that, one is USP-DI and one is DRUGDEX. And
4 going forward, I'll let Laurie speak to the
5 specifics.
6 MS. MOORE: First of all, we are
7 working on a successor product for DRUGDEX, I
8 can't tell you its name yet because that's up to
9 the marketing people. As far as the process, it
10 will be similar to DRUGDEX because as Jerry
11 indicated, the same people will be writing that
12 content. The discussion of products will be more
13 abbreviated, similar to what you see in USP today
14 versus what you might see in DRUGDEX. We actually

15 survey information and we may sort of abstract out
16 some of the details from the studies that supports
17 that summary. On the USP side, more than likely
18 it will be more of a summary approach, the rating
19 system.
20 And in getting to the point about
21 including information, DRUGDEX is really meant to
22 be pretty inclusive, so the presence of an
23 observation or indication in DRUGDEX alone should
24 not be used to determine reimbursement, you need
25 to look at the context of that listing, the data

00202

1 associated with it, so that we may have case
2 reports in DRUGDEX just for informational purposes
3 to meet the needs of our customer base for that
4 product.
5 For USP, we may elect to exclude some
6 of those case reports and esoteric stuff that
7 really isn't enough to support reimbursement of
8 the drug concerned.
9 DR. OSHEROFF: You had raised the
10 question about seven points and what was that
11 exactly. Because of the nature of DRUGDEX and by
12 design, it is intended to be a very encyclopedic
13 formulary compendium, but it is also something
14 that is used by pharmacists and physicians at the
15 point of care. Drug Points is basically a
16 clinically oriented point of care extract of the
17 DRUGDEX, and that's what the folks at the Tufts
18 EPC had looked at.
19 So because of its point of care use, it
20 does not have the references there, it has only a
21 very synoptic statement about whether it's an
22 FDA-approved indication or off-label, so that's
23 why there were no citations there and why there
24 was no evidence or recommendation or efficacy
25 rating, all that stuff is in the linked

00203

1 information in the full DRUGDEX product.
2 MS. MOORE: It is just a subset of the
3 data that would be included in the others, it is
4 an entry point really into that product or
5 indication.
6 DR. GARBER: Thanks. We're running a
7 little bit short of time, so try to focus your
8 questions and responses. Deborah.
9 DR. SCHRAG: I wanted to pursue
10 Dr. Goodman's point about abstracts, and I know a
11 few folks struggled to answer that question, but
12 since you guys are up here, do you explicitly
13 consider meeting abstracts as part of your
14 definition? You said you rely on peer reviewed
15 published medical literature. Is that somehow,
16 and if it's in abstracts, is there an explicit
17 process for doubling back and taking a look at

18 whether the full publications appear within a
19 specified time frame and have there been any
20 changes. You know, something may appear at first
21 off-label and then it's pulled.
22 MS. MOORE: Part of our literature
23 evaluation or identification process, we do rely
24 heavily on MEDLINE searches and usual typical
25 sources. We also have our database and restricted

00204

1 information which includes abstract information
2 from meetings, you know, ASCO, AMO, other groups
3 that we do track. The way our process works, we
4 don't go back and update it like every year
5 looking at specific topics. Rather, we just
6 follow the primary literature so if we see
7 something come out on a given indication, then
8 we're going to take a look at that and update our
9 database.
10 DR. OSHEROFF: The searches are
11 incredible. As I mentioned, we have an army of
12 librarians that run them, they're ISI evaluated
13 for inclusion into the databases, so it's not just
14 a hit or miss procedure, so presumably if somebody
15 gets published in the peer reviewed literature,
16 they will pick that up as part of the day-to-day
17 surveillance.
18 MS. MOORE: Our numbers in this survey
19 are incredible. We actually reviewed the
20 citations and abstracts if they are available for
21 almost 98,000 citations last year. We ended up
22 ordering about 22 or 25,000 of those articles, of
23 which 95 percent ended up in our databases. So we
24 look at a lot and pare it down.
25 DR. GARBER: Thank you. Deborah.

00205

1 DR. CUMMINS: I have a question
2 regarding access to your products, is it by
3 subscription? Can you tell me how that works and
4 how many people subscribe and how readily it's
5 available?
6 DR. OSHEROFF: Well, most of the
7 customers for the products are health systems,
8 hospitals, organizations like that in North
9 America and actually around the world, but in
10 North America there are three or 400 health
11 systems, so it's a very high percentage, most of
12 the top hospitals, so there is a very deep
13 penetration into health systems.
14 DR. CUMMINS: I guess what I'm getting
15 at is, is the subscription cost burdensome to a
16 small practice, or would it take a large number of
17 people who might not have the resources?
18 MS. MOORE: It depends on the product
19 that you're talking about. DRUGDEX is more of the
20 premium priced product and you know, it may not be

21 affordable to a physician practice, versus USP-DI
22 is a more affordable option.
23 DR. CUMMINS: And I just want to react
24 to your 98,000. That's, we did 2,000 in the last
25 year at ADA, so that's a monumental number.

00206

1 MS. MOORE: It is, and we're not
2 reading all of those, I want to make that clear,
3 but we're scanning that many.
4 DR. JANJAN: I have a question with
5 regard to that. Am I correct that you have ten
6 advisory board members and at any one time you
7 have four making a decision, with the chair being
8 the fifth, tie breaker I assume.
9 MS. MOORE: Yes.
10 DR. JANJAN: So if you look at 25
11 percent of those 98,000, are you telling me that
12 your advisory board members look at those?
13 MS. MOORE: No, no, no. And out of
14 those that we scan, we pare it down to 22 to
15 25,000 of those total. Our internal staff reads
16 those and analyzes them, and what goes out to our
17 board members is essentially a book. It contains
18 our detailed analyses, statistical design
19 analyses, our summary information, our sort of
20 abstract if you will of the data, as well as a
21 copy of the primary literature and a number of
22 articles that they would review, which may range
23 from three or four to maybe eight or ten,
24 depending on what's available. Information that
25 we've gotten back from our board members is that

00207

1 it's pretty easy for them to look through all that
2 information and make a determination. Our
3 turnaround times are pretty fast from the board,
4 so once we do our analysis and get the information
5 out, they typically get it back to us within a
6 month or two.
7 DR. JANJAN: What are your criteria for
8 recusal?
9 MS. MOORE: Our conflict of interest
10 policy is three-tiered, we have looked at the
11 policy in the industry to develop this. For
12 involvement less than \$25,000, it's nondisclosure;
13 25 to \$100,000 will require disclosure; anything
14 over a hundred, regardless of the source, the
15 individual can't be on our boards. And for
16 assigning topics to board members, we do look at
17 those, every single time we look at those
18 potential conflicts and we do not assign a drug to
19 an individual who may have some type of stock or
20 financial interest in the company, and each of the
21 members are required to do periodic updates.
22 DR. GARBBER: So let's say you have a
23 \$30,000 speakers bureau deal with some drug

24 company. Would you or would you not be a member
25 of the group that's deciding on a drug sponsored

00208

1 by that company, or indication, would you be
2 automatically excluded because you have this
3 conflict?

4 MS. MOORE: We would typically exclude,
5 but we leave the window open in case, there are
6 going to be situations where you have an
7 individual who possesses an identifiable skill set
8 that would be difficult to find, so we leave that
9 door open, but we have not taken advantage of
10 that.

11 DR. GARBER: Is there a way for a
12 reader of USP-DI or DRUGDEX to know on a
13 particular recommendation whether this situation
14 had arisen, that is that a person had a conflict
15 but because they had this specific expertise, they
16 were included in a decision process about whether
17 this off-label indication was appropriate?

18 MS. MOORE: We are not disclosing at
19 the individual indication legal, so what we do
20 disclose with the board members on the web site is
21 their potential financial conflicts. However, an
22 individual who may have an interest in knowing who
23 reviewed a particular indication, we will provide
24 that to them if they request it.

25 DR. GARBER: Dick.

00209

1 DR. WHITTEN: One of the questions the
2 panelists will be asked is, how confident are you
3 that USP-DI adheres to evidence-based processes?
4 As I understand when you put up your slide on the
5 old versus the new process, you went from a
6 situation where you had a requirement for
7 unanimous consent to, if I understand correctly,
8 what is now a panel of four, and basically you
9 need three of those four, or two plus the medical
10 officer, something of that nature, to make a
11 decision. That seems like a fairly radical change
12 in the process, which I gather took place fairly
13 recently. And then if I understood correctly, you
14 said this process is going to move again to that
15 of DRUGDEX. So just from the point of view of
16 consistency of the process, what's the assurance
17 of closer to unanimous agreement that you used to
18 have, what kind of assurance can you provide?

19 MS. MOORE: The process that we have in
20 place now is the process that we intend to take
21 going forward for both DRUGDEX and for the USP-DI.
22 The requirement of four, we just felt that was a
23 reasonable number to take a look, and really we're
24 trying to let the literature drive what we
25 present. We truly are. As far as the true

00210

1 evidence-based question, we're not doing a full
2 meta-analysis for each indication, it's just not
3 feasible for us to do so, and it is very time
4 consuming in doing that.
5 DR. OWEN: If we make a recommendation
6 whether or not something should be covered or
7 recommended for use, there's two pillars that
8 underpin it. One is the human expert and the
9 other is the weight of the evidence, and often
10 times neither are appropriate. So the USP-DI was
11 looking at the literature and then a whole bunch
12 of experts had to agree that it was something that
13 should be used.
14 What's happening in the processes now
15 and going forward is there's a much more stable
16 foundation of evidence analyses, so the grading of
17 these three things, the strength of the
18 recommendation, the use of the agent, the analysis
19 of the evidence according to a multilevel
20 hierarchy, yields a much stronger evidence
21 foundation. So, we were able to pull back some of
22 that whole bunch of people to read every proposed
23 indication, so hopefully that explains some of the
24 evolution in the making of clinical
25 recommendations.

00211

1 DR. GARBER: I just want to point out
2 that we have, in addition to the two compendia
3 representatives, there are four additional
4 entities we will be voting on, and if you have
5 questions that you want to put to the people
6 representing the other compendia, that's fine.
7 Nancy, do you have a question?
8 MS. DAVENPORT-ENNIS: I have a question
9 for Dr. McGivney from the NCCN. We heard a fair
10 amount of discussion this afternoon concerning the
11 process of how evidence is being evaluated, and
12 earlier in your comments from the podium you
13 referenced your 46 guideline panels and the
14 disease-based analysis that you followed. Can you
15 talk with us just a bit about the evidentiary
16 process used by NCCN.
17 DR. MCGIVNEY: I would like to
18 reemphasize, one of the points is that we, our
19 expert panels number 15 and 22, and are mostly
20 interdisciplinary, and they are looking at the
21 continuum of care so they are looking at the vast
22 management options such as therapeutics,
23 et cetera. And you know, basically for each
24 decision, there really is a risk-benefit analysis,
25 and evaluation of net health benefit.

00212

1 Specifically with respect to evidence,
2 what happens is we typically schedule a formal

3 in-person panel meeting after ASCO, so that all
4 the latest data is available. Our staff collects
5 that data and additionally, the panel is asked to
6 submit specific articles for consideration.
7 Additionally beyond that, each institution
8 circulates the guideline, the existing guideline,
9 and asks for input beyond the experts that are
10 already on the panel.
11 So again, you know, you get input from
12 a radiation oncologist, a clinical oncologist, a
13 medical oncologist who don't sit on, say a breast
14 cancer panel, they feed it in. And all that
15 serves as a basis for the opening agenda, with
16 specific recommended changes attached,
17 specifically with evidence of new articles or new
18 abstracts that might suggest that the guidelines
19 be updated with regard to a specific
20 recommendation, something needs to be changed,
21 something needs to be highlighted, et cetera, so
22 it's a fairly continual literative process that
23 goes on.
24 One other point clearly that might be
25 considered an advantage for us is not all the

00213

1 time, but very often when our panels are sitting
2 there discussing, you know, the latest results of
3 what's coming out, very often we have principal
4 investigators on the national and international
5 scene sitting on our panels. So even before these
6 have been even submitted in abstract form, they
7 are aware of the results and it is certainly a
8 confidential forum that they have.
9 The other point too, our process is
10 open to provision of data and results and comments
11 by outside groups, be they any of the
12 constituencies that should be interested in what
13 the recommendations of the NCCN guidelines or NCCN
14 compendium are. So as I indicated before, the
15 data and comments are submitted to the community
16 physicians, academic physicians outside of NCCN,
17 patient advocacy groups, industry, et cetera. So
18 it really is a broad-based process, an open
19 process in terms of reception, evaluation and
20 analysis of available data.
21 And then I think quite frankly, I do
22 think that the process that they came up with for
23 the exclusive exhibition of the underlying
24 evidence and the expert judgment and uniform
25 consensus that underlies each recommendation and

00214

1 guideline is indeed a simple yet eloquent
2 communication of what the panel has done.
3 DR. CUMMINS: Your network of cancer
4 institutions is certainly a strength in this case
5 and this area, but could you say something about

6 your process of potential conflict of interests
7 and how you deal with that issue?
8 DR. MCGIVNEY: Specifically right now
9 for example, we publish it, so if you went on-line
10 for the 2006 version of NCCN guidelines, at the
11 end of the guidelines there is an explicit
12 exposition of the potential conflicts of interest,
13 the traditional ones we all talked about today,
14 the pharmaceutical or biotech companies, and Blue
15 Cross Blue Shield is one I just looked at.
16 Additionally when we publish them in our journal
17 as well, we do list aggregated companies and
18 others, and relationships that might represent a
19 conflict of interest, and these are published.
20 Before each meeting, just as you did
21 here today, the guideline panel sits there and
22 they go around and they communicate again and
23 update each other on what their potential
24 conflicts of interest are in terms of their
25 relationships are with pharmaceutical or biotech

00215

1 companies. These are all recorded and published
2 later, and it's just a communication as to whether
3 he should recuse himself entirely from the meeting
4 or for discussions of particular issues.
5 And I would say that recusal is
6 extremely rare. Again, when you have a panel of
7 15 to 22 world leading authorities, they cannot be
8 shrinking violets, who tend to, again, represent
9 subspecialties very strongly, who tend to know the
10 data cold and they tend to argue extremely
11 vociferously about important points, eliminating
12 any bias is impossible, but certainly I think this
13 serves to diminish it to a great extent.
14 But again, I think that any
15 organization involved in this, and we're looking
16 at it on an ongoing basis, we just had a
17 discussion about it at our last board meeting, and
18 we will submit this to our governance committee
19 for further review, as to what NCCN is presently
20 doing to communicate to any user of our
21 information products the potential for bias based
22 on the relationships our experts have with
23 companies out there.
24 DR. KRIST: How do you feel about the
25 bias of having the investigators involved in the

00216

1 study on your panel? I agree with that, but
2 having done some studies myself, investigators
3 tend to be wedded to their ideas. How do you deal
4 with that?
5 DR. MCGIVNEY: Yeah. Well, again, I
6 think it's diminished by the, first of all, I
7 mean, evidence rules. Second of all, they are
8 diminished by the discussions these individuals

9 participate in. Believe me, as I say, individuals
10 who are familiar with specific studies are not shy
11 about criticizing either the methodology, the
12 power of the study, communication of results,
13 whatever. So it is an advantage that I think
14 outweighs the disadvantages, but I see your point.
15 DR. KRIST: You also said that for a
16 lot of these studies, the data is not out, so
17 there is only one person who has the information.
18 DR. MCGIVNEY: One or two, yeah, but
19 many of these studies do emanate from cooperative
20 groups, so there has been broader participation,
21 for example, in the design of the study, in the
22 prioritization of the study, et cetera, et cetera,
23 et cetera.
24 DR. KRIST: And how about the data?
25 DR. MCGIVNEY: They may or may not have

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1 the data, no, but that is certainly a valid point.
2 DR. GARBER: Bill, if I could just make
3 a comment, your answer is excellent and I think
4 that both you and the Thomson people have made
5 admirable efforts to insure the proceedings are
6 free of conflicts. But I do have to point out
7 that neither of you have developed your products,
8 or in your case guidelines, specifically to guide
9 coverage. And the standards that are typically
10 applied in coverage situations are a little bit
11 different from, say, guidelines situations where
12 for example, I was sitting on a Blue Cross Blue
13 Shield panel, and if I had some financial interest
14 in companies that were being discussed, disclosure
15 in that case is not sufficient, I have to recuse
16 myself from the entire scene.
17 And similarly here, this particular
18 assessment that we're dealing with today is very
19 unusual for MCAC, this is not really about a
20 specific product, so the conflicts application
21 turns out to be a little trickier. So, do you
22 have any comment on how NCCN based on the
23 compendium, how you would handle a conflict?
24 DR. MCGIVNEY: I think it would be at
25 the level they participate, but I think

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1 specifically we, as I just indicated, we are
2 looking at this issue. You know, in terms of
3 oncology, there is a very explicit individual
4 investigator-based disclosure at the end of every
5 article that we just talked about, in terms of
6 actual level of dollars received by the companies.
7 We are looking at how close to that we should get
8 right now and that's actually irregardless of
9 whether or not it will be taken to the compendium,
10 because obviously having run a national coverage
11 policy program, it's important to that, but I also

12 think every physician that's making a treatment
13 choice for his patient, I think it's extremely
14 important as well.
15 The other point I would like to make
16 too is interestingly, the guidelines clearly
17 direct the clinical professional disease based,
18 they cover the continuum of care specifically. So
19 actually, our drugs and biologics compendium was
20 developed specifically and directly to take those
21 guidelines and put them into a format that payers
22 used, be it the federal mandate or the mandate for
23 the 39 state laws. So actually that's why it's so
24 critical that there is this understanding.
25 And what we did was we went to the

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1 payers, and the feedback we got was, we used
2 guidelines, but if you could specifically
3 delineate appropriate uses so the evidentiary
4 standard right next to it with the recommended
5 uses, this would be a very useful and important
6 format for payers, and so that's why we did it and
7 that's why it's so important. And we always tie
8 that back to the guidelines and the discussion of
9 risk profiles and the discussions as I say, I
10 would call it therapeutic index, but you know, net
11 health benefits, et cetera, et cetera.
12 MS. KUEBLER: One comment. Is my
13 understanding correct that the NCCN does not
14 differentiate between the FDA and off-label?
15 DR. MCGIVNEY: Well, basically I guess
16 the answer to that is yes. Basically we
17 specifically list what the FDA-approved indication
18 is in the compendium right next to the NCCN
19 recommended uses, so someone could compare
20 directly what the FDA label is with what our
21 specific recommended uses are for a very specific
22 subpopulation of patients. The other comment I
23 would make as an editorial, because I'm writing
24 one right now, the issue to me is not off-label,
25 it's off evidence, on evidence or off evidence.

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1 But anyway, the answer to your specific question,
2 the FDA labeled indication word for word is listed
3 right next to the NCCN recommended use, so it can
4 be compared directly to each other.
5 DR. GARBBER: Okay. This is the last
6 question, and then let me make a suggestion. I'll
7 let you ask your question, Alex, but we've kind of
8 gone over into the time that we need for the
9 voting questions. I want to ask if the presenters
10 will be available, because I think it may be
11 useful to ask them questions as we consider each
12 individual voting question, so, can the people who
13 are here representing the different compendia stay
14 longer? Okay, great. So Alex, your question, and

15 then we will move on to the voting questions.
16 DR. OMMAYA: I just want to say I very
17 much appreciate the presentations by the various
18 compendia and find this very helpful, but just out
19 of fairness, if we could maybe get from the other
20 two compendia, Facts & Comparisons and Clinical
21 Pharmacology, what do you think are the unique
22 strengths of your publications?
23 DR. HOCHADEL: MaryAnne Hochadel. I
24 think our unique attribute in a healthcare system
25 is actually the way we positioned our data around

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1 some fairly unique indexing and also in our
2 editorial process in terms of efficiency of the
3 updating process, and that has occurred just
4 really recently within the new system within the
5 last couple of years and in particular since I
6 have been editor-in-chief.
7 And what I mean by that is at any given
8 moment, a physician could come into our system,
9 for example, look for a disease state that they're
10 interested in treatment, get a list of drugs, they
11 could see very clearly by our citations whether
12 that drug is used on label or off label. They
13 could seek more specific information, or they can
14 go into some advanced reporting features around
15 the standardized formatting and indexing to say
16 I've got an elderly patient with breast cancer who
17 has this attribute in terms of his disease or her
18 disease, what are some of the treatment options
19 considering those conditions and some of the
20 unique features of that patient that he may want
21 more information for.
22 So I think in the context of that
23 information, the way our database is set up, we
24 are really speaking to the fact of how do you want
25 your data to be used in the compendia and where

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1 would it be most useful in the healthcare setting.
2 I think that's one of our unique attributes. And
3 the other thing is our real-time editorial process
4 which allows us to benchmark content on the
5 backside in terms of date time stamping, which
6 piece of information was actually touched, how
7 long was it from point A, discovery of the need
8 for update, to actually available for our users in
9 the public. I think those are two very important
10 features.
11 DR. OMMAYA: Thank you.
12 MS. DIVVELA: Our strengths are that we
13 have been a standard in the drug information
14 industry since 1945. We have been the primary
15 reference for pharmacists. Our biggest strength
16 is our comparative information, individual drug
17 information that includes the off-label use along

18 with all other information you need regarding drug
19 information, but also class information. So you
20 can look at one drug in a class compared to
21 another drug in that class.
22 Also, our timeliness. We have always
23 updated monthly in our loose-leaf publication and
24 our on-line version we are moving to a continual
25 update. Our electronic Facts & Comparisons 4.0,

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1 you not only get the Drug Facts and Comparisons,
2 but access to our Off-Label Drug Facts and our
3 Cancer Chemotherapy Manual, so with one search you
4 can go to whatever resource best meets your needs.
5 DR. JANJAN: Do you correlate those
6 data among the three different products? How do
7 you make sure that a new piece of information goes
8 into all three products?
9 MS. DIVVELA: For Off-Label Drug Facts
10 and Drug Facts & Comparisons, what stimulates an
11 update in Drug Facts & Comparisons right now is a
12 change to labeling information and FDA action,
13 major warnings that come out, et cetera. And at
14 that time the entire monograph is reviewed,
15 including the off-label use information, and
16 that's when our clinical editors do evaluate the
17 primary literature not only for labeled uses, but
18 they're also looking at how that information is
19 presented in off-label indications, which is the
20 one that has the rating scale of one to five,
21 that's how off-label drug information is rated.
22 And the fours and fives need more information or
23 aren't safe, and those aren't included in Drug
24 Facts & Comparisons, but the ones, twos and threes
25 typically are included in Drug Facts &

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1 Comparisons.
2 DR. JANJAN: Do I understand that it's
3 only an FDA change?
4 MS. DIVVELA: No, that's a main source
5 for updates to Drug Facts & Comparisons. We also,
6 if changes, the Off-Label Drug Facts is updated on
7 a quarterly basis and when one, two or three get
8 added to that, our general policy is that the
9 off-label use will be added to Drug Facts &
10 Comparisons at that time as well.
11 DR. GARBER: Thank you. Let's move on
12 to the next agenda item. These are the voting
13 questions. Number 2. How confident are you that
14 the AHFS and USP-DI compendia have adequately
15 stated evidence-based criteria and processes?
16 Let me add that you should feel free to
17 call representatives of the compendia for
18 questions, but also please be mindful of the time.
19 We could go into tomorrow if you want to get the
20 complete explanation for these compendia and

21 unfortunately that's not going to be feasible. So
22 please be very direct and pointed in your
23 questions and we'll try to move through these.
24 Cliff.
25 DR. GOODMAN: A question about how to

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1 interpret Number 2. You refer to adequately
2 stated evidence-based criteria and processes. Do
3 you mean that whether they are good evidence or
4 bad evidence or processes, they've explained them
5 very well, or does it mean they are solid
6 evidence-based criteria and processes?
7 DR. GARBER: Well, let me get my notes
8 that I had with staff.
9 (Inaudible colloquy.)
10 DR. GARBER: If you find that they
11 clearly talk about what they did, but you didn't
12 find that it was evidence-based, then you would
13 give it the low rating on Question 3, but Number 2
14 is only about, can you determine what process they
15 used based on the materials that are available.
16 DR. GOODMAN: So two is transparency,
17 and three is the quality?
18 DR. PHURROUGH: But two is not a
19 transparent bad process. If they have a process
20 that is evidence based and a process that is used
21 properly to arrive at a correct answer, so it's
22 not just that it's a transparent process but a
23 transparent good process.
24 DR. KRIST: And isn't three whether
25 they stick to their process to do what they say?

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1 DR. GARBER: Based on Steve's
2 interpretation, that's the only way it can work.
3 So Cliff, I guess Steve is telling us we shouldn't
4 interpret it the way I said. The second one is,
5 are they high quality and also explicit, and then
6 the third one is do they adhere.
7 DR. FENDRICK: And for two and three,
8 are we going to be voting separately like in four
9 and five? I think the cards suggest that we're
10 going to be voting on each compendium separately.
11 DR. PHURROUGH: Yes. How confident are
12 you that independently each compendia have, not
13 combined.
14 DR. FENDRICK: Because they were both
15 under the original statute, it could have been
16 interpreted that they remained, but we're
17 evaluating each of them separately, okay.
18 DR. GARBER: So, are you ready to vote
19 or did you have further discussion or questions
20 concerning AHFS or USP-DI? Okay, so after you
21 give these ratings, one, two, three, four, five,
22 display them in the holder.
23 (Panelists displayed votes which were

24 recorded by staff.)
25 DR. GARBER: Just for clarification,

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1 but when you looked at USP-DI, you should answer
2 in terms of its current formulation, not your
3 guess about what the future will be but the
4 current USP-DI, what was the date of the report?
5 DR. ABERNETHY: The date of the report,
6 the last evidence review was the end of January.
7 DR. GARBER: Okay. You can pass them
8 to the right. So Question 3 is again, just to
9 reiterate this one, it's about how confident are
10 you that they adhere to the stated process, and
11 that means good or bad, right, that's your
12 interpretation.
13 (Panelists displayed votes which were
14 recorded by staff.)
15 DR. GARBER: Okay, and pass them to the
16 right. Question 4 is four compendia -- I
17 apologize to the audience, you think you had
18 trouble reading the last one, this is really going
19 to strain your eyes. Four is the confidence that
20 compendia other than AHFS and USP-DI have
21 adequately stated evidence-based criteria, with
22 separate ratings each for DRUGDEX, Facts &
23 Comparisons, NCCN and Clinical Pharmacology. And
24 I just want to add that you should feel free to
25 ask any of the speakers if you have questions

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1 before you vote. I'm not trying to rush this
2 through without you having adequate information.
3 (Panelists displayed votes which were
4 recorded by staff.)
5 DR. GARBER: Okay, you may pass them to
6 the right. Now Question 5: Again, considering
7 each separately, this is analogous to Question
8 Number 3, how confident are you that they adhere
9 to the stated process in making their
10 recommendations?
11 (Panelists displayed votes which were
12 recorded by staff.)
13 DR. GARBER: Has everyone displayed
14 their card? Okay. Please pass them to the right.
15 Now, I believe that copies of the
16 revised voting Question 6 have been distributed to
17 the audience, excluding the characteristics that
18 were not important enough to include in our
19 evaluation of the compendia. And for six now,
20 we're going to give a different score, well done,
21 uncertain, or not well done, for each
22 characteristic for each of the compendia.
23 DR. OMMAYA: Alan, could we go through
24 seven, eight and nine first?
25 DR. GARBER: How do the other panel

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1 members feel about Alex's suggestion?
2 (Affirmative response.)
3 DR. GARBER: I see some nods, so we
4 will do seven, eight and nine, and give the
5 panelists a chance to rest. Okay. Seven, do you
6 believe that the interests of the Medicare program
7 and its beneficiaries are best served by having a
8 particular number or type of available published
9 compendia on the off-label use of anti-cancer
10 drugs and biologicals for cancer treatment?
11 (Panelists displayed votes which were
12 recorded by staff.)
13 DR. OMMAYA: Can you define particular.
14 DR. GARBER: We will, but you should
15 have asked that before you voted.
16 DR. FENDRICK: I'm still okay.
17 DR. GARBER: So, do people want to
18 revote and have a discussion first about what this
19 means? If you have serious doubts what it means
20 you should discuss it rather than just vote.
21 Well, let's -- yes.
22 MS. GLENNON: I would like you to
23 define type, because I would assume type has been
24 discussed, but I was thinking more numbers, that
25 we would have a minimum number and a maximum

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1 number, if that were the question. So we're
2 looking at number and whether they include all the
3 criteria that we have deemed important already?
4 DR. GARBER: Well, Steve, eight clearly
5 is intended to follow on seven. Seven is intended
6 to say if you answer yes, then there needs to be a
7 specific number. Now as we discussed before, if
8 your issue is you think in aggregate that the
9 compendia need to be comprehensive and the number
10 is not so important, then probably you should
11 answer no to this one. If there is no specific
12 type, but there is a specific aim that needs to be
13 achieved, i.e., comprehensiveness, because if the
14 answer is yes to seven, then you need to answer
15 some numbers in eight.
16 DR. FENDRICK: What if one's allowable,
17 then that statement doesn't hold, if there's a
18 singular comprehensive compendium, you could still
19 answer yes to that question.
20 DR. GARBER: It's not very helpful to
21 CMS to say that we need at least one.
22 DR. FENDRICK: The way the question is
23 written, a singular comprehensive compendium, you
24 would vote yes, the way the question is written.
25 DR. GARBER: So you could answer yes,

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1 and one on eight, that's true.
2 DR. FENDRICK: I would interpret a no

3 vote to Question 7 if you do not believe in any
4 compendium. That's what I read that question to
5 say, and if there are in any lawyers here, you
6 might help me out, but if you believe in one
7 compendium, then you should answer yes.
8 DR. PHURROUGH: Okay. Let me tell you
9 what we thought we were saying, regardless of
10 what's written here in words. Should we
11 aggressively attempt to insure that the compendia
12 that are used to assess the use of anti-cancer
13 drugs are sufficient in scope, type and numbers so
14 that all of the characteristics that we are
15 concerned about are met? For instance, if there
16 is a rare orphan drug compendium that covers drugs
17 that aren't covered in the other compendia, is
18 that important? Or should we not go to that
19 extent in our attempt to be aggressive about
20 identifying a broad range of the compendia to
21 identify the separate classes. That was the goal
22 of that question.
23 DR. FENDRICK: So with all due respect,
24 if there were a singular perfect compendium, you
25 would vote yes to Question 7. So listening to

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1 what you said, so the chairman and I are
2 disagreeing then. What you said if you find one
3 you would vote yes, even if it's singular.
4 DR. PHURROUGH: We would then be
5 investigating to see, does this single compendium
6 answer all the questions.
7 DR. FENDRICK: And then we would vote
8 five for all the attributes that we just voted on,
9 or we will vote on for Question 6.
10 DR. PHURROUGH: Yes and one is an
11 acceptable combination, I think, not a preferred
12 combination, but it's acceptable.
13 DR. KRIST: In addition to the cards
14 here, will we still have an opportunity to say
15 what we think?
16 DR. GARBER: I think that will be
17 helpful, very briefly, and we're going to role up
18 seven and eight. So give your reasons and state
19 both whether you think there needs to be a
20 specific minimum or maximum number, state what
21 they are.
22 DR. WHITTEN: It is exactly this
23 confusion that some of us have. I think the way
24 CMC should ask the question for what we're trying
25 to achieve, the number should be flexible to be

00233

1 able to obtain certain criteria. CMS should set
2 the criteria it wants to obtain, which is exactly
3 what Steve mentioned, to be able to cover the
4 breadth and the depth that's necessary. But if
5 one of them all of a sudden doesn't have an

6 ethical statement or something else, then the
7 number should be able to be flexible. So the idea
8 was, I think what needs to be done is to set the
9 criteria CMS wants to obtain over a period of
10 time, because what's happened in just the last
11 couple of years, this market is flexible, so
12 rather than set a set number, I was just wanting
13 certain criteria, and that's why I said no to the
14 specific type or number.

15 DR. BERGTHOLD: That's exactly,
16 independently of him, that's why I voted no,
17 because I think CMS should have the flexibility.
18 And I also think that the reason we have certain
19 compendia in the law, I think that makes it very
20 inflexible for CMS, and it's a product of industry
21 lobbying, so I would encourage the flexibility of
22 CMS.

23 MS. KUEBLER: I voted yes, that we
24 should have a particular number and type with a
25 minimum of two and a maximum of three.

00234

1 MS. DAVENPORT-ENNIS: I voted yes, and
2 I certainly think that the minimum needs to be
3 what the statute of 1993 calls for. We currently
4 have two, I think our nation needs to have three
5 to satisfy the statutory recommendation. Are we
6 answering eight at the same time?

7 DR. GARBER: Yes.

8 MS. DAVENPORT-ENNIS: And I do think
9 that the word type for me, as an English major
10 reading that question, Mark, was a very important
11 element in the question, because I think your
12 point that we do need to lend the agency the
13 flexibility so that when new ideas are presented
14 or concerns about the population, we need to have
15 a vehicle available for them to be included.

16 DR. FENDRICK: It's nice to sit next to
17 someone who speaks English.

18 (Laughter.)

19 DR. FENDRICK: I agree with what you
20 said in that all the compendia have to be good,
21 and I would like at least two because one makes me
22 uncomfortable.

23 MS. GLENNON: I answered no because I
24 don't think there should be a set number, minimum
25 or maximum.

00235

1 DR. JANJAN: I answered yes, but in the
2 sense that we think, I think CMS needs to have a
3 certain number of criteria to compendia or for
4 compendia to evaluate. I'm not opposed to a
5 single compendium and as we look at homogeneity of
6 care to insure quality, it is very confusing to
7 physicians who have so many different choices. If
8 we're going to go for a single quality measure,

9 then it might be worthwhile to also have single
10 criterion set up for use of these medications,
11 albeit by a very respected group that looks at
12 these in a very critical way. So I wouldn't be
13 opposed to one but I would prefer to have more
14 than one, and I think you need to have three for a
15 tie breaker.

16 DR. OMMAYA: I answered yes, with two
17 as a minimum and four as a maximum. You also have
18 to have the ability to present published evidence
19 so that it allows use and flexibility. But you
20 need some quantification on them to get some
21 clarity among the processes and also agreement
22 among the payers.

23 DR. KATO: I voted yes because I
24 believe that there have to be some guidelines
25 someplace that identify which drugs can be used in

00236

1 what situations. I'm in favor of the market
2 allowing these compendia to compete. Therefore, I
3 like the idea of having three as a minimum, just
4 as a tie breaker, and I put down a maximum of five
5 plus, because I don't think we can identify and
6 regulate how many compendia there may be, and as
7 said before, there may be a need for orphan
8 compendia at some point for a small number of
9 drugs, but with data given in depth. So I would
10 say minimum of three and let the market handle the
11 rest.

12 DR. FOLEY: I voted yes also, and I
13 stipulate a minimum of three. Somewhat similar to
14 what Norman said, the max should be based on the
15 criteria that is set, and if there are other
16 quality compendia that will meet those criteria,
17 then they will benefit.

18 DR. GOODMAN: Today and in the near
19 future, no single compendium captures all of this
20 desirable criteria but as a small group they may.
21 Therefore, for now, I vote yes for at least three,
22 no more than four or five, but eventually, if the
23 market adjusts, one or two would do.

24 DR. MCDONOUGH: I think there should be
25 a specified type and number of compendia. I

00237

1 believe in terms of type, we need to evaluate the
2 quality of the compendia. And certainly in terms
3 of the quality from a practical standpoint, it
4 would be unwieldy to have numerous compendia,
5 competing compendia, so you might say an upper
6 limit of six is somewhat arbitrary, but we need to
7 have some upper limit.

8 DR. SCHRAG: Again, in view of what's
9 already been said, I think three is reasonable as
10 a minimum and I think an upper maximum should be
11 set with practicality, but to foster and encourage

12 competition among the various compendia to improve
13 and upgrade their quality. And I think the key
14 thing is a specific indication of the criteria is
15 very clear and then you know, consistent
16 evaluation of how the compendia are meeting those
17 criteria. Add to that that each of them we heard
18 from today have a slightly different rating scale
19 or set of adjectives, or one, two, three meant to
20 say acceptable, recommended, not recommended. We
21 should see consistency across compendia, and it
22 would make it much easier to compare them.
23 DR. CUMMINS: I voted yes and I specify
24 one to any number of maximum. I think as long as
25 they meet the criteria, that's what is important,

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1 and as long as CMS specifies what the criteria is,
2 so that it can be inclusive of the rare disease
3 types as people have spoken to today.
4 DR. KRIST: I'll echo the thoughts of
5 everyone around me, I think peoples comments were
6 very good. I voted yes, but my basic
7 philosophical grounding was that I thought that if
8 a compendium met the criteria that was specified,
9 that should be the basis for deciding whether it's
10 included or not. I think there are also practical
11 realities in the way compendia currently are, it
12 has to be more than one just the way they
13 currently are. But I have a little concern that
14 I'll just raise with the maximum number that
15 people mentioned, because also looking at the
16 practical reality of the way compendia currently
17 are, you know, if you have these five, 12 of these
18 14 agents are covered. And so you'll hit a
19 ceiling where you might as well say everything is
20 covered for off-label use and just forget this
21 whole process, but that's my thought.
22 DR. GARBER: Thank you, everyone. Let
23 me just make a suggestion. Question 9, I think
24 really very logically follows after you have gone
25 through Question 6. That is, how confident are

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1 you that prescribers can rely on currently
2 available published compendia to determine
3 appropriate off-label uses of drugs and
4 biologicals for anti-cancer chemotherapy?
5 We don't want the panel to consider any
6 compendia that we haven't discussed today, so it's
7 only the ones we've discussed today. So we will
8 be going through these compendia characteristic by
9 characteristic as you do Number 6, so, is there
10 any objection to holding Number 9 until after you
11 have done Number 6?
12 (No response.)
13 DR. GARBER: Take a deep breath. Would
14 people be comfortable in doing them all row by

15 row? I mean, you're going to, you've got a
16 separate card for each row. Do you want to just
17 sit down and do them all and pass the whole stack
18 to the right, or would you rather pause and
19 discuss for example individual questions? Is
20 there going to be any discussion, by the way? Do
21 people have questions or points that they want to
22 make before we proceed to voting on Number 6?
23 DR. GOODMAN: Maybe you could ask that
24 question for each of the characteristics, A
25 through K.

00240

1 DR. GARBER: That's fine. So let's do
2 A first of all. If everyone's done it, please
3 display your card.
4 (Panelists displayed votes which were
5 recorded by staff.)
6 DR. GARBER: Actually, I don't believe
7 anybody will be able to read these anyway, so you
8 might as well pass it to the right when you're
9 done.
10 DR. PHURROUGH: We will publish a
11 summary of these either later this evening or
12 first thing tomorrow on our MCAC web site.
13 DR. GARBER: Then after you're done, we
14 move on to question B. Are there any questions
15 on B?
16 (Negative response.)
17 DR. GARBER: Please put your scores
18 down for B and pass them to the right.
19 (Panelists marked votes and passed them
20 to staff.)
21 DR. GARBER: C, detailed description of
22 the evidence reviewed for every individual
23 listing. Any discussion?
24 (Negative response.)
25 (Panelists marked votes and passed them

00241

1 to staff.)
2 DR. GARBER: Move to D, use of
3 prespecified published criteria for use of
4 weighing evidence.
5 (Panelists marked votes and passed them
6 to staff.)
7 DR. GARBER: E, use of prespecified
8 published process for making recommendations.
9 Please pass your Es to the right.
10 (Panelists marked votes and passed them
11 to staff.)
12 DR. GARBER: F, publicly transparent
13 process for evaluating therapies.
14 DR. GOODMAN: I'm sorry, I have a
15 question on this one, the process described in a
16 transparent way or the process is conducted
17 transparently so people can participate, watch,

18 listen. I'm not sure.
19 DR. GARBER: Is the process described
20 in a --
21 DR. GOODMAN: Publicly transparent
22 means you can look up it up and it's described
23 very nicely, or people can actually participate,
24 sit in the room. The former?
25 DR. GARBER: Yes, I think -- yes, Steve

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1 says it's the former.
2 DR. GOODMAN: Okay.
3 DR. GARBER: If you're done with your
4 Fs, please pass them to the right.
5 (Panelists marked votes and passed them
6 to staff.)
7 DR. GARBER: G, explicit "not
8 recommended" listings when validated evidence is
9 appropriate. Pass your Gs to the right.
10 (Panelists marked votes and passed them
11 to staff.)
12 DR. GARBER: H, and this is one of the
13 characteristics that we combined. H is explicit
14 listing and recommendations regarding therapies,
15 including sequential use or combination in
16 relation to other therapies. And pass your Hs to
17 the right when you're done.
18 (Panelists marked votes and passed them
19 to staff.)
20 DR. GARBER: I, explicit "equivocal"
21 listing when validated evidence is equivocal. And
22 you'll recall from our earlier discussions that
23 this includes equivocal evidence as well, so it's
24 sort of a class category.
25 (Panelists marked votes and passed them

00243

1 to staff.)
2 DR. GARBER: J, this is the conflict of
3 interest one. It's phrased here, process for
4 public identification and notification of
5 potential conflicts of interest of the compendia's
6 parent and sibling organizations, reviewers, and
7 committee members, with an established procedure
8 to manage recognized conflicts.
9 And I would insert rigorous between
10 established and procedure.
11 (Panelists marked votes and passed them
12 to staff.)
13 DR. GARBER: Okay. K, net clinical
14 benefit analysis based on potential harm and
15 potential benefit.
16 (Panelists marked votes and passed them
17 to staff.)
18 DR. GARBER: Well, we have time for a
19 very serious discussion now of Question Number 9.
20 This is, again, the question about the overall

21 compendia as a group, not about any single
22 compendium. That is, how confident are you that
23 prescribers can rely on currently available
24 published compendia to determine appropriate
25 off-label use of drugs and biologicals for

00244

1 anti-cancer chemotherapy? And again, this
2 pertains to the compendia we have heard about
3 today, not ones that we haven't heard anything
4 about. So please put a score from one to five
5 here and display your card in the card holder,
6 with five being very confident and one is very
7 unconfident.
8 Do you want some discussion first? We
9 do, we will ask you to justify your votes as we go
10 through, okay, but is there anything that you want
11 to discuss or ask questions about before voting?
12 (Negative response.)
13 (Votes displayed and recorded by
14 staff.)
15 DR. GARBER: Okay. Dick, do you want
16 to go ahead and explain your vote?
17 DR. WHITTEN: We're explaining just on
18 Number 9; is that correct?
19 DR. GARBER: Yes.
20 DR. WHITTEN: This question asks how
21 confident are we that prescribers can rely on
22 this, and I think the net process is such that
23 they can't really rely on these very well at all
24 because of timeliness, because (inaudible) so I
25 view this more as a statement that there really is

00245

1 a problem but it's an opportunity to address,
2 which we are attempting to do, and this process
3 should go through.
4 DR. BERGTHOLD: I voted unsure, was
5 that the middle answer? I don't know enough about
6 how they receive these reports, and similarly,
7 it's completely baffling and confusing to figure
8 out which one of these compendia are the best from
9 any perspective, and I think it would be very hard
10 to figure out.
11 MS. KUEBLER: I voted four. I believe
12 the current compendia offers appropriate
13 evidence-based resources and an opportunity for
14 clinicians to go from different available
15 compendia.
16 MS. DAVENPORT-ENNIS: I tried to look
17 at this question through the eyes of professional
18 case managers who are constantly having to make
19 these decisions and can't find a compendium
20 available or can't get reimbursed. And I also
21 looked at the question and was reminded of
22 discussions earlier today that indeed, many
23 prescribers really do not rely on compendia to

24 make judgments about what type of treatment
25 decisions they are going to be making, but they

00246

1 would refer to a larger body of evidence. So the
2 compendia have become almost a prisoner rather
3 than a facilitating reference for them.
4 Considering all those factors, and reading into
5 the question that published would include any of
6 the compendia presented today and all compendia
7 presented today, I answered that indeed I do think
8 prescribers can rely on those current compendia
9 and therefore, scored this answer a four.
10 DR. FENDRICK: I'm somewhat confident
11 for similar and also additional reasons. One, the
12 tech assessment report gave no confidence that the
13 compendia could be used to decrease the amount of
14 inappropriate off-label use, which hasn't been
15 discussed very much, and people are looking for
16 anti-cancer interventions that given the
17 particular aspects of the disease, and often use
18 them without other choices.
19 MS. GLENNON: I voted a four. I think
20 that I'm somewhat confident that oncologists in
21 practice rely on their clinical knowledge and
22 their expertise in their practice, and they may
23 refer to this as a mere resource or reference, so
24 I'm confident, somewhat confident that they have
25 the resources.

00247

1 DR. JANJAN: I voted four, but I think
2 the thing that concerns me is the wide variation
3 among the compendia and as a clinician, if you
4 looked at the numbers that were shown earlier
5 today with the yeses and nos, that concerns me,
6 because which compendium do I trust, and that I
7 think is a major problem that I noticed today.
8 DR. OMMAYA: I think the technology
9 assessment pointed out areas of improvement that
10 need to be taken, but I think the compendia taken
11 as a whole and in view of the variety of
12 compendia, you can get the information you need.
13 The question is how efficient is it given the
14 amount of information that you have to go through
15 to reach a conclusion, and I think that's a
16 challenge.
17 DR. KATO: I voted a three, being
18 unsure, primarily because of the wide variety in
19 the reports of the current compendia. I think in
20 perhaps one or two more iterations of the
21 compendia certainly there will be a breakout, at
22 least I anticipate there will be a breakout of one
23 or two that will be able to satisfy many of the
24 criteria discussed today. But until we can
25 improve that process, I'm keeping my vote as

00248

1 unsure.
2 DR. FOLEY: I also voted three. As a
3 hospital medical director with a lot of
4 physicians, I talked with a number of our
5 oncologists before this meeting about what sources
6 do they utilize. Obviously they do look at the
7 compendium but they also have a network of other
8 oncologists around the country either where they
9 trained or who they are related to, and those
10 private networks are usually saturated with
11 off-label kinds of indications and directions that
12 many of them are looking for. So my three is
13 related to uncertainty specific to current
14 compendia, but I have much more certainty and
15 trust in the clinical judgment in the sort of
16 private network of information that develops
17 between the oncologists.
18 DR. GOODMAN: I voted a less sanguine
19 two, somewhat unconfident, because I looked at
20 that term appropriate in the context of trying to
21 strive towards evidence-based medicine, and when I
22 considered off-label uses of drugs and biologicals
23 for cancer compared to the standard evidence-based
24 medicine we're trying to promote for healthcare, I
25 don't have a lot of confidence in that body of

00249

1 evidence as a whole. So it's not just in cancer,
2 but how we try to provide healthcare today. So I
3 don't have a lot of confidence.
4 And I also took the question literally
5 as far as can rely on. If there are three
6 compendia that have the diversity of evidence and
7 processes and findings that we have today, and if
8 a clinician tried to rely on that set of three, he
9 or she couldn't reach a common denominator, so the
10 end result is a highly inappropriate
11 non-evidence-based application of care for cancer,
12 and that's why I was somewhat unconfident.
13 DR. MCDONOUGH: I'm a bit more
14 optimistic. I rated this a four. Obviously there
15 are differences in one's ability to rely on
16 different compendia. And I think the evidence
17 report showed us, it identified some problems in
18 terms of timeliness, in terms of identifying the
19 strongest evidence, in terms of gleaning the
20 evidence for the specific recommendations. But
21 overall, I see that there is with all of these, an
22 intent to identify appropriate evidence and use an
23 evidence-based process, some better than not, but
24 overall I feel somewhat confident in being able to
25 rely on these compendia.

00250

1 DR. SCHRAG: Also four, similar
2 comments. I think based on the TA, specific areas

3 that would be most helpful would be a common set
4 of criteria, some commonality in terms of the
5 terms across the different compendia. I gave that
6 a four as a member of the panel, but in my
7 day-to-day practice as a medical oncologist, it
8 often feels like a two. And I say that because I
9 think typically a week doesn't go by where I get
10 five or six letters of exception. I get the sense
11 that if these compendia really worked terrifically
12 well, I wouldn't need to write to my patients'
13 payers various letters trying to justify what I
14 was giving them as being legitimate, because it
15 should just be crystal clear from the compendia.
16 See maybe some of these discussions pointed out by
17 the technology assessment will help get you there.
18 DR. CUMMINS: I voted three, not sure,
19 based on the technology assessment because of the
20 wide variety among compendia.
21 DR. KRIST: I voted three, and same
22 reason we've heard here before, the wide
23 variation. A transparent evidence-based process
24 should produce some consistency, more than we saw
25 here, and that's a little bit concerning, although

00251

1 as Cliff was saying, this is a difficult topic and
2 so maybe it just requires a more clear explanation
3 between the compendia as to why there is some of
4 those variations.
5 The other thing I would like to see is
6 more references, quite frankly. A lot of the
7 references were older references and maybe it
8 helps a clinician, maybe it doesn't, but if
9 somebody reads this and they're going to change
10 the way they do things, in many cases it might be
11 helpful to have a reference that they could really
12 further explore, is this really valid that I
13 change what I do.
14 DR. GARBER: Thank you everyone. Nora.
15 DR. JANJAN: I would just like to say
16 that this is concerning to me as a clinician. I
17 mean, not one of us gave this a five, and as a
18 physician, I don't have the room to be this
19 inaccurate in what I do every day. And I really
20 think that, I realize it's a big volume, but I've
21 got to be responsible for that big volume every
22 day in my clinical decision-making, and I just
23 think it's got to be done in a much better way,
24 there's got to be much more consistency among
25 these documents for them to be clinically

00252

1 reliable. And I have to say, I never look at a
2 compendium, because I don't think they're
3 reliable, and I think that's a charge to all of
4 you who are creating these documents.
5 DR. FOLEY: One of the things I am

6 seeing is much more use of multidisciplinary
7 prospectively designed tumor conferences where
8 before therapy, from surgeons, radiation
9 oncologists and oncologists, they use all of their
10 minds and literature and discussion to actually
11 design a particular therapy. That gives me a
12 little better sense that people are taking a lot
13 of this uncertainty more seriously, bringing more
14 heads and more ideas together before they start
15 doing things to people with cancer.
16 DR. GARBBER: Well, thank you. I want
17 to congratulate the panel and thank the panel for
18 your very thoughtful deliberations. And I
19 especially want to thank the speakers who have
20 really helped us in our deliberations. Most of
21 you have come and spent the day with us, it was
22 less exciting than usual, we didn't prolong many
23 votes, and unfortunately I don't have the results
24 of Number 6 in real time here, so we'll wait for
25 it. Steve has some comments.

00253

1 DR. PHURROUGH: Yes, and looking at the
2 agenda, I have an hour and a half.
3 (Laughter.)
4 DR. PHURROUGH: First of all,
5 particular thanks to the panel. This is arduous
6 work and we appreciate your willingness to take
7 part in it. For those of you who have not been
8 part of MCACs before, over the last year we have
9 very diligently moved MCAC from not just being an
10 advisory committee as to what does the evidence
11 demonstrate around a specific coverage
12 determination that we're involved in, but more to
13 an entity that helps us develop that evidence or
14 helps us determine the different courses we may
15 take or provides some guidance on what's the state
16 of the evidence at the current time around broad
17 topics that then may in the future result in our
18 opening specific formal decision-making processes,
19 and thus far we think that's worked well, we think
20 that's a helpful move for this committee.
21 For those of you who have not been at
22 an MCAC, this running around and changing
23 questions and figuring out how to vote hasn't
24 changed, it is pretty common in that we bring
25 together a group of very bright, intelligent and

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1 energetic people who have spent a fair amount of
2 time individually looking at an issue and who have
3 collectively decided that we sort of screwed up
4 some of the questions. And we understand that and
5 recognize that, and wish that wouldn't happen, but
6 it always will, so we don't expect that part to
7 change.
8 So let me get to sort of the crux,

9 where do we go from here with compendia? Well, I
10 think we've heard today both from you and from the
11 panel that perhaps there are some positive things
12 that can occur from this. I think first of all,
13 the panel spent a fair amount of time attempting
14 to define what should make a good compendium. I
15 think those of you who are responsible for that
16 should listen closely. I think that is some
17 advice that may serve you well.
18 Our current position is we have on the
19 Part B side regarding cancer chemotherapy some
20 legislative guidance, and two of the three
21 compendia left. One of those two is undergoing
22 some changes. Some congressional direction that
23 says the successor of the one undergoing change
24 should be covered, but in fact some comments have
25 been made to us that the successor may not be the

00255

1 same entity. So what do we do in that case?
2 So I think perhaps you could expect
3 from us in the next several weeks to months some
4 continuation of this discussion around what should
5 our next steps be, and perhaps there should be a
6 discussion around criteria and perhaps more
7 formalization of those criteria and then some
8 discussion as to whether we should open a formal
9 process to more clearly define those criteria and
10 define a process, a potential process for allowing
11 a greater breadth of compendia to be involved if
12 there is criteria to be met. We would love to
13 hear your opinions on that as we move forward in
14 the next several weeks.
15 The process that we should use in
16 general when you're using secretarial discretion
17 and conversation before you get to rule making,
18 though we have done that in the coverage process
19 also. Congress has given us some latitude to do
20 that, but this probably fits more closely into the
21 public comment process. So we would be interested
22 in your input into that. And based upon what we
23 have heard today, I think there is the potential
24 for us to more clearly define what a good
25 compendium ought to be and, two, a process for

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1 including various compendia to meet those criteria
2 as part of the Part B process.
3 As I mentioned earlier, we will try to
4 have the results of all the voting on line either
5 later today or first thing tomorrow. If you're
6 not familiar with our web site, we do have a web
7 site at cms.gov/center/coverage, I think, and on
8 that web site is a link to the MCAC page and
9 that's where we will post those.
10 Thank you very much, and again, a
11 special thanks to the panel, and we'll see you

12 back at our MCAC in May.
13 (Whereupon, the meeting adjourned at
14 3:03 p.m.)
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