

HEALTH RECORDS	All exhibitors must maintain specific health records for marine mammals and should maintain health records for all regulated animals. [3.110, Policy #3, Policy #21]
Requirement	<p>Health records are not specifically required by the AWA regulations, except for marine mammals. Therefore, a lack of health records or inadequacy of the health records may not be cited as a stand-alone violation, except for marine mammals.</p> <p>The citation of inadequate veterinary care for a sick animal may include a reference to the lack or inadequacy of health records, if appropriate.</p> <p>Health records, if maintained, should be:</p> <ul style="list-style-type: none"> • current • legible • sufficiently comprehensive to demonstrate the delivery of adequate veterinary care • consistent with professional standards <p>The system of health records may be described in the written Program of Veterinary Care for those exhibitors not employing a full time veterinarian.</p>
Contents	<p>Health records should include, but are not limited to:</p> <ul style="list-style-type: none"> • identity of the animal • description of any: <ul style="list-style-type: none"> ➤ illness ➤ injury ➤ distress ➤ behavioral abnormality • dates, details, and results (if appropriate) of all medically-related: <ul style="list-style-type: none"> ➤ observations ➤ examinations ➤ tests ➤ procedures, such as:

	<ul style="list-style-type: none"><input type="checkbox"/> vaccinations<input type="checkbox"/> fecal examinations<input type="checkbox"/> radiographs<input type="checkbox"/> surgeries<input type="checkbox"/> necropsies• treatment plans which should include:<ul style="list-style-type: none">➤ diagnosis➤ prognosis, when appropriate➤ type of treatment➤ frequency of treatment➤ duration of treatment➤ criteria and/or schedule for re-evaluation by the attending veterinarian➤ allowable activity level for the animal➤ restriction, if any, for the animal• treatment history which should include:<ul style="list-style-type: none">➤ dates of all treatment➤ names of drugs or other medications given➤ dose & route➤ frequency➤ duration of treatment• resolution of any noted problem(s)
Record-keeping	<p>Health records may be kept in any format that the exhibitor chooses.</p> <p><i>Group health records</i> Routine husbandry and preventive medical procedures performed on a group of animals may be recorded on herd-health type records.</p> <p><i>Individual health records</i> Treatment of an individual animal should be on an entry specific for that animal.</p>
Availability	<p>Health records should be readily available for review.</p> <p>Health records at an exhibitor's facility may be held by:</p>

- the attending veterinarian
- exhibitor
- other designated employee(s)

If health records are divided between personnel, the records should be:

- appropriately cross-referenced
- complete

Health records should be held:

- for at least 1 year after the animal's disposition or death
- longer than 1 year as required by other applicable laws or policies

A copy of an animal's health records should accompany the animal when it is transferred to another exhibitor, licensee/registrant, person or location.

The transferred health record should contain:

- the animals's medical history
- information on any ongoing or chronic problems
- most current preventive medical procedures, such as:
 - vaccinations
 - deworming

Species Specific

Marine Mammals [3.110]

Individual marine mammal medical/health records **must** be kept and include the following information, at a minimum:

- animal identification/name [3.110(d)(1)]
- a physical description, such as: [3.110(d)(1)]
 - identifying markings
 - scars
- age [3.110(d)(1)]
- sex [3.110(d)(1)]
- physical examination information, including, but not limited to: [3.110(d)(2)]

- length
- weight
- physical examination results by body system
- identification of all medical and physical problems
- all diagnostic test results
- proposed plan of action for medical/physical problems
- documentation of treatment
- visual examination information

Individual animal medical/health records must be: [3.110(d)]

- kept at the facility where the marine mammal is housed
- available for APHIS inspection

A copy of the individual marine mammal's medical/health record must accompany the animal if it is transferred to another facility, including contract and satellite facilities. [3.110(e)]

Necropsy Reports [3.110(g)]

The preliminary necropsy report must: [3.110(g)(1)]

- be prepared by the veterinarian conducting the necropsy
- list all pathological lesions observed

The final necropsy report must include: [3.110(g)(1)]

- all gross findings
- all histopathology findings
- results of all laboratory tests performed
- a pathological diagnosis

Necropsy reports must be: [3.110(g)(2)]

- maintained at the marine mammal's home facility
- maintained at the facility where the marine mammal died, if different than the home facility
- kept for 3 years
- available for APHIS inspection

Elephants [2.40(b)(2), Policy #21]

	<p>Health/medical records that should be kept and available for review by the inspector are:</p> <ul style="list-style-type: none">• TB test records (see Section 15.5 - Tuberculosis Management), and• necropsy records (see Section 15.4 - Necropsy Requirements) <p>NOTE: These health/medical records are not specifically required by the AWA regulations. Therefore, a lack of health records or inadequacy of the health records may not be cited as a stand-alone violation.</p>
<p>Traveling Exhibitors</p>	<p>Traveling exhibitors should have the appropriate health records for animals with the exhibitor on the road including, but not limited to:</p> <ul style="list-style-type: none">• preventive medical treatments• elephant TB test records• records pertinent to existing chronic conditions• records of any problems and treatments while on the road <p>NOTE: Failure to have health records cannot be cited as a “stand alone” noncompliance, except for marine mammals.</p> <p>Traveling exhibitors should have all required health/medical records for up to one year after the disposal or euthanasia of the animal(s).</p>