

# condition of education 2004



#### **INDICATOR 37**

## Institutional Aid at 4-Year Colleges and Universities

The indicator and corresponding tables are taken directly from *The Condition of Education 2004*. Therefore, the page numbers may not be sequential.

Additional information about the survey data and supplementary notes can be found in the full report. For a copy of *The Condition of Education 200*4 visit the NCES web site (http://nces.ed.gov/pubsearch/pubsinfo.asp?pubid=2004077) or contact ED PUBs at 1-877-4ED-PUBS.

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## Financing for Postsecondary Education

### **Institutional Aid at 4-Year Colleges and Universities**

The percentage of full-time undergraduates receiving institutional aid and the average amount awarded increased at both public and private not-for-profit 4-year institutions during the 1990s.

Many colleges and universities use their own resources to provide aid to undergraduates to achieve one or more of the following policy goals: promoting access for low-income students, attracting meritorious students, or increasing enrollment (Redd 2000). Institutional aid is awarded in the form of grants, fellowships, assistantships, loans, and institution-sponsored work-study, but almost all is grant aid. Institutions can award aid to students on the basis of financial need, merit (academic, athletic, or other), or a combination of need and merit. The institutional aid described here includes all three types.

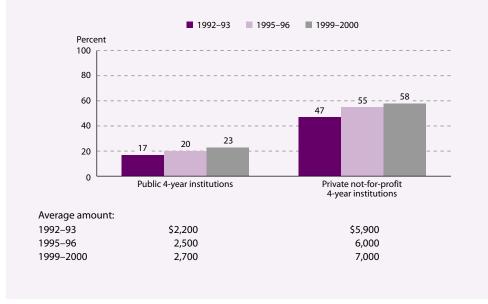
The use of institutional aid at 4-year institutions has been increasing. In 1992–93, some 17 percent of full-time undergraduates at public institutions and 47 percent of those at private not-for-profit institutions received institutional aid. By 1999–2000, the respective proportions

had increased to 23 and 58 percent. During this period, the average award (adjusted for inflation) increased from \$2,200 to \$2,700 at public institutions and from \$5,900 to \$7,000 at private not-for-profit institutions.

Students in the highest income quarter, in particular, have benefited. Between 1995–96 and 1999–2000, the proportion of such students receiving institutional aid increased from 13 to 18 percent at public institutions and from 41 to 51 percent at private not-for-profit institutions. Middle-income students at public institutions also benefited during this period, with an increase from 20 to 23 percent. The apparent changes for low-income students were not statistically significant.

The percentage of students awarded any aid for which merit was the only criterion increased between 1995–96 and 1999–2000 from 7 to

INSTITUTIONAL AID: Percentage of full-time undergraduates enrolled in 4-year institutions who received institutional aid, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution: 1992–93, 1995–96, and 1999–2000



It is difficult to distinguish between need- and non-need-based aid because non-need-based aid is often awarded to students with need and need-based aid is often rationed using criteria related to merit.

NOTE:Both dependent and independent students are included in this analysis, but students' income quarters are determined with reference only to students with the same dependency status.

SOURCE: Horn, L., and Peter, K. (2003). What Colleges Contribute: Institutional Aid to Full-Time Undergraduates Attending 4-Year Colleges and Universities (NCES 2003—157), figures A and B. Data from U.S. Department of Education, NCES, 1992—93, 1995—96, and 1999—2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).

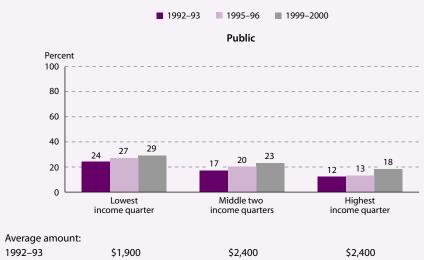
FOR MORE INFORMATION: Supplemental Notes 1,3,8,10 Supplemental Tables 37-1, 37-2 Redd 2000



10 percent at public institutions and from 21 to 29 percent at private not-for-profit institutions (see supplemental table 37-1).<sup>2</sup> At private not-for-profit institutions, students in the middle-income quarters were the most likely income group to receive merit-based aid in 1992–93 and 1995–96, but no statistically significant difference was detected between students in the middle- and highest income quarters in

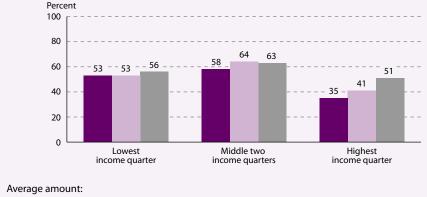
1999–2000. Students in both these income groups were more likely than those in the lowest income quarter to receive merit-based aid. In contrast, no statistically significant income-related differences were detected in the percentage of students receiving merit-based grant aid at public institutions in any of the survey years.

INSTITUTIONAL AID: Percentage of full-time undergraduates enrolled in 4-year institutions who received institutional aid, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution and family income: 1992–93, 1995–96, and 1999–2000





#### Private not-for-profit





<sup>2</sup>Merit aid is included in total aid. The averages are computed only for the recipients, so the average amount of merit aid cannot be subtracted from the average amount of total aid to calculate the average amount of aid based on need or need plus merit.

NOTE:Both dependent and independent students are included in this analysis, but students' income quartiles are determined with reference only to students with the same dependency status.

SOURCE: Horn, L., and Peter, K. (2003). What Colleges Contribute: Institutional Aid to Full-Time Undergraduates Attending 4-Year Colleges and Universities (NCES 2003—157), figures A and B. Data from U.S. Department of Education, NCES, 1992—93, 1995—96, and 1999—2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).



FOR MORE INFORMATION: Supplemental Notes 1, 3, 8, 10 Supplemental Tables 37-1, 37-2 Redd 2000

Table 37-1. Percentage of full-time undergraduates at 4-year institutions who received institutional merit-based grants, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution and selected characteristics: 1992–93, 1995–96, and 1999–2000

	199	2-93	1995–96		1999–2000		
Characteristic		Average		Average		Average	
	Percent	amount	Percent	amount	Percent	amount	
	Public			blic			
Total	7.4	\$2,700	7.0	\$2,900	9.6	\$2,800	
Dependency status							
Dependent	8.3	2,800	8.3	3,000	10.8	2,900	
Independent	4.6	1,900	2.7	1,900	5.8	2,000	
College grade-point average							
Less than 2.00	4.5	4,200	3.4	4,100	5.1	2,800	
2.00–3.49	6.4	2,700	5.5	3,000	7.5	2,800	
3.50 or higher	17.5	2,300	18.0	2,500	20.3	2,700	
Family income							
Lowest quarter	7.3	2,200	7.6	3,300	8.2	2,500	
Middle two quarters	7.7	3,000	7.0	2,700	10.1	2,800	
Highest quarter	6.8	2,500	6.2	2,800	10.0	2,900	
	Private not-for-profit						
Total	17.1	\$4,400	21.2	\$4,700	28.9	\$5,000	
Dependency status							
Dependent	19.1	4,600	23.6	4,900	32.7	5,300	
Independent	10.3	3,300	10.9	2,900	15.4	3,100	
College grade-point average							
Less than 2.00	11.1	4,200	14.2	4,400	19.4	3,500	
2.00–3.49	15.7	4,100	20.5	4,400	27.2	4,700	
3.50 or higher	30.4	5,000	35.0	5,500	39.3	5,700	
Family income							
Lowest quarter	15.2	3,600	18.3	4,300	22.6	4,100	
Middle two quarters	21.4	4,600	25.0	4,900	32.0	4,900	
Highest quarter	14.9	4,700	17.6	4,800	29.1	5,900	

NOTE: Income quarters are described in *supplemental note 1*. Both dependent and independent students are included, but students' income quarters are determined with reference only to students with the same dependency status.

SOURCE: Horn, L., and Peter, K. (2003). What Colleges Contribute: Institutional Aid to Full-Time Undergraduates Attending 4-Year Colleges and Universities (NCES 2003—157), tables 2a and 2b, and U.S. Department of Education, NCES, 1992—93, 1995—96, and 1999—2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).

Table 37-2. Percentage of full-time undergraduates at 4-year institutions who received institutional aid, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution and selected characteristics: 1992–93, 1995–96, and 1999–2000

	1992–93		1995–96		1999–2000		
Characteristic		Average		Average		Average	
	Percent	amount	Percent	amount	Percent	amount	
			Pu	blic			
Total	17.5	\$2,200	20.0	\$2,500	23.5	\$2,700	
Dependency status							
Dependent	17.7	2,400	20.6	2,700	24.3	2,800	
Independent	16.8	1,700	18.1	1,800	20.8	2,100	
College grade-point average							
Less than 2.00	13.0	2,500	12.4	2,500	14.3	2,400	
2.00-3.49	16.2	2,200	18.7	2,500	21.1	2,600	
3.50 or higher	31.6	2,300	35.1	2,600	38.1	2,900	
Family income							
Lowest quarter	23.8	1,900	27.4	2,500	28.9	2,300	
Middle two quarters	17.3	2,400	19.8	2,400	23.4	2,700	
Highest quarter	12.3	2,400	12.6	2,700	17.6	3,200	
	Private not-for-profit						
Total	47.1	\$5,900	54.9	\$6,000	57.8	\$7,000	
Dependency status							
Dependent	49.9	6,300	58.6	6,400	64.3	7,500	
Independent	37.3	4,100	39.2	3,300	34.5	3,900	
College grade-point average							
Less than 2.00	45.7	5,300	49.0	4,700	50.4	4,600	
2.00-3.49	47.0	5,600	56.2	5,700	58.7	6,800	
3.50 or higher	56.3	6,300	64.7	6,500	61.8	7,800	
Family income							
Lowest quarter	52.8	5,500	53.2	5,900	55.7	6,200	
Middle two quarters	57.5	6,400	64.5	6,300	63.0	7,500	
Highest quarter	35.0	5,500	40.9	5,500	51.2	6,800	

NOTE: Income quarters are described in *supplemental note 1*. Both dependent and independent students are included, but students' income quarters are determined with reference only to students with the same dependency status.

SOURCE: Horn, L., and Peter, K. (2003). What Colleges Contribute: Institutional Aid to Full-Time Undergraduates Attending 4-Year Colleges and Universities (NCES 2003—157), tables 1a and 1b, and U.S. Department of Education, NCES, 1992—93, 1995—96, and 1999—2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).

Table S37a. Standard errors for the percentage of full-time undergraduates enrolled in 4-year institutions who received institutional aid, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution: 1992–93, 1995–96, and 1999–2000

	199	1992–93		1995–96		1999–2000	
		Average		Average		Average	
Control of institution	Percent	amount	Percent	amount	Percent	amount	
Public	0.73	\$80	0.82	\$100	0.61	\$60	
Private not-for-profit	1.93	210	1.88	270	1.74	180	

SOURCE: U.S. Department of Education, NCES, 1992–93, 1995–96, and 1999–2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).

Table S37b. Standard errors for the percentage of full-time undergraduates enrolled in 4-year institutions who received institutional aid, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution and family income: 1992–93, 1995–96, and 1999–2000

	1992–93 Average		199	1995–96 Average		1999–2000	
						Average	
Family income	Percent	amount	Percent	amount	Percent	amount	
	Public						
Lowest quarter	1.35	\$120	1.37	\$150	1.19	\$100	
Middle two quarters	0.80	110	0.99	120	0.73	90	
Highest quarter	0.89	150	1.06	210	0.85	150	
	Private not-for-profit						
Lowest quarter	5.21	\$310	2.94	\$380	3.19	\$260	
Middle two quarters	2.05	260	2.12	290	1.93	220	
Highest quarter	1.71	240	2.06	240	1.76	220	

SOURCE: U.S. Department of Education, NCES, 1992–93, 1995–96, and 1999–2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).

Table S37-1. Standard errors for the percentage of full-time undergraduates at 4-year institutions who received institutional merit-based grants, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution and selected characteristics: 1992–93, 1995–96, and 1999–2000

	1992–93		1995–96		1999–2000		
Characteristic		Average		Average	-	Average	
	Percent	amount	Percent	amount	Percent	amount	
			Puk	olic			
Total	0.42	\$110	0.51	\$150	0.38	\$100	
Dependency status							
Dependent	0.47	120	0.62	150	0.46	120	
Independent	0.47	140	0.40	320	0.44	190	
College grade-point average							
Less than 2.00	0.66	680	0.51	700	0.70	610	
2.00-3.49	0.39	140	0.45	190	0.38	150	
3.50 or higher	1.37	170	1.64	220	1.03	140	
Family income							
Lowest quarter	0.60	150	0.76	300	0.62	210	
Middle two quarters	0.51	180	0.53	180	0.49	150	
Highest quarter	0.58	230	0.77	260	0.71	200	
	Private not-for-profit						
Total	1.41	\$180	1.60	\$190	1.50	\$160	
Dependency status							
Dependent	1.67	190	1.80	200	1.64	160	
Independent	1.27	320	1.50	270	1.46	290	
College grade-point average							
Less than 2.00	2.09	790	1.91	690	2.97	520	
2.00-3.49	1.41	210	1.70	210	1.54	190	
3.50 or higher	2.60	270	2.73	290	2.17	240	
Family income							
Lowest quarter	2.06	220	1.75	300	1.98	230	
Middle two quarters	1.56	240	1.94	220	1.72	180	
Highest quarter	1.68	300	1.74	270	1.57	260	

SOURCE: U.S. Department of Education, NCES, 1992–93, 1995–96, and 1999–2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).

Table S37-2. Standard errors for the percentage of full-time undergraduates at 4-year institutions who received institutional aid, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution and selected characteristics: 1992–93, 1995–96, and 1999–2000

	1992–93		1995–96		1999–2000	
Characteristic		Average		Average		Average
	Percent	amount	Percent	amount	Percent	amount
			Pul	olic		
Total	0.73	\$80	0.82	\$100	0.61	\$60
Dependency status						
Dependent	0.81	100	0.86	120	0.66	70
Independent	0.78	70	1.36	110	0.90	90
College grade-point average						
Less than 2.00	1.20	290	1.07	270	1.23	280
2.00-3.49	0.81	110	0.86	120	0.62	70
3.50 or higher	1.67	120	1.73	170	1.21	110
Family income						
Lowest quarter	1.35	120	1.37	150	1.19	100
Middle two quarters	0.80	110	0.99	120	0.73	90
Highest quarters	0.89	150	1.06	210	0.85	150
	Private not-for-profit					
Total	1.93	\$210	1.88	\$270	1.74	\$180
Dependency status						
Dependent	1.99	220	1.88	270	1.72	190
Independent	2.75	250	2.71	240	2.54	200
College grade-point average						
Less than 2.00	5.05	520	3.97	350	4.12	380
2.00-3.49	2.08	230	1.87	270	1.79	190
3.50 or higher	2.78	270	2.38	340	2.23	280
Family income						
Lowest quarter	5.21	310	2.94	380	3.19	260
Middle two quarters	2.05	260	2.12	290	1.93	220
Highest quarter	1.71	240	2.06	240	1.76	220

SOURCE: U.S. Department of Education, NCES, 1992–93, 1995–96, and 1999–2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).