National Center for Education Statistics

# condition ofeducation 2004 



## INDICATOR 37

## Institutional Aid at 4-Year Colleges and Universities

The indicator and corresponding tables are taken directly from The Condition of Education 2004. Therefore, the page numbers may not be sequential.

Additional information about the survey data and supplementary notes can be found in the full report. For a copy of The Condition of Education 2004 visit the NCES web site (http://nces.ed.gov/pubsearch/pubsinfo.asp?pubid=2004077) or contact ED PUBs at 1-877-4ED-PUBS.

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# Financing for Postsecondary Education Institutional Aid at 4 -Year Colleges and Universities 

## The percentage of full-time undergraduates receiving institutional aid and the average amount awarded increased at both public and private not-for-profit 4-year institutions during the 1990s.

Many colleges and universities use their own resources to provide aid to undergraduates to achieve one or more of the following policy goals: promoting access for low-income students, attracting meritorious students, or increasing enrollment (Redd 2000). Institutional aid is awarded in the form of grants, fellowships, assistantships, loans, and institutionsponsored work-study, but almost all is grant aid. Institutions can award aid to students on the basis of financial need, merit (academic, athletic, or other), or a combination of need and merit. ${ }^{1}$ The institutional aid described here includes all three types.

The use of institutional aid at 4-year institutions has been increasing. In 1992-93, some 17 percent of full-time undergraduates at public institutions and 47 percent of those at private not-for-profit institutions received institutional aid. By 1999-2000, the respective proportions
had increased to 23 and 58 percent. During this period, the average award (adjusted for inflation) increased from $\$ 2,200$ to $\$ 2,700$ at public institutions and from $\$ 5,900$ to $\$ 7,000$ at private not-for-profit institutions.

Students in the highest income quarter, in particular, have benefited. Between 1995-96 and 1999-2000, the proportion of such students receiving institutional aid increased from 13 to 18 percent at public institutions and from 41 to 51 percent at private not-for-profit institutions. Middle-income students at public institutions also benefited during this period, with an increase from 20 to 23 percent. The apparent changes for low-income students were not statistically significant.

The percentage of students awarded any aid for which merit was the only criterion increased between 1995-96 and 1999-2000 from 7 to

INSTITUTIONAL AID: Percentage of full-time undergraduates enrolled in 4-year institutions who received institutional aid, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution: 1992-93, 1995-96, and 1999-2000


| Average amount: |  |  |
| :--- | ---: | ---: |
| 1992-93 | $\$ 2,200$ | $\$ 5,900$ |
| $1995-96$ | 2,500 | 6,000 |
| $1999-2000$ | 2,700 | 7,000 |

${ }^{1}$ It is difficult to distinguish between need- and non-need-based aid because non-need-based aid is often awarded to students with need and need-based aid is often rationed using criteria related to merit.

NOTE:Both dependent and independent students are included in this analysis, but students' income quarters are determined with reference only to students with the same dependency status.

SOURCE: Horn, L., and Peter, K. (2003). What Colleges Contribute: Institutional Aid to Full-Time Undergraduates Attending 4-Year Colleges and Universities (NCES 2003-157), figures A and B. Data from U.S. Department of Education, NCES, 1992-93, 1995-96, and 1999-2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).

10 percent at public institutions and from 21 to 29 percent at private not-for-profit institutions (see supplemental table 37-1). ${ }^{2}$ At private not-for-profit institutions, students in the middleincome quarters were the most likely income group to receive merit-based aid in 1992-93 and 1995-96, but no statistically significant difference was detected between students in the middle- and highest income quarters in

1999-2000. Students in both these income groups were more likely than those in the lowest income quarter to receive merit-based aid. In contrast, no statistically significant in-come-related differences were detected in the percentage of students receiving merit-based grant aid at public institutions in any of the survey years.
${ }^{2}$ Merit aid is included in total aid. The averages are computed only for the recipients, so the average amount of merit aid cannot be subtracted from the average amount of total aid to calculate the average amount of aid based on need or need plus merit.

NOTE:Both dependent and independent students are included in this analysis, but students' income quartiles are determined with reference only to students with the same dependency status.
SOURCE: Horn, L., and Peter, K. (2003). What Colleges Contribute: Institutional Aid to Full-Time Undergraduates Attending 4-Year Colleges and Universities (NCES 2003-157), figures A and B. Data from U.S. Department of Education, NCES, 1992-93, 1995-96, and 1999-2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).

FOR MORE INFORMATION
Supplemental Notes 1,3,8,10
Supplemental Tables 37-1, 37-2

Redd 2000

INSTITUTIONAL AID: Percentage of full-time undergraduates enrolled in 4-year institutions who received institutional aid, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution and family income: 1992-93, 1995-96, and 1999-2000


Average amount:

| $1992-93$ | $\$ 1,900$ | $\$ 2,400$ | $\$ 2,400$ |
| :--- | ---: | ---: | ---: |
| $1995-96$ | 2,500 | 2,400 | 2,700 |
| $1999-2000$ | 2,300 | 2,700 | 3,200 |

Private not-for-profit


Average amount:

| $1992-93$ | $\$ 5,500$ | $\$ 6,400$ | $\$ 5,500$ |
| :--- | ---: | ---: | ---: |
| $1995-96$ | 5,900 | 6,300 | 5,500 |
| $1999-2000$ | 6,200 | 7,500 | 6,800 |

## Institutional Aid at 4-Year Colleges and Universities

Table 37-1. Percentage of full-time undergraduates at 4-year institutions who received institutional merit-based grants, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution and selected characteristics: 1992-93, 1995-96, and 1999-2000

| Characteristic | 1992-93 |  | 1995-96 |  | 1999-2000 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Percent | Average amount | Percent | Average amount | Percent | Average amount |
|  | Public |  |  |  |  |  |
| Total | 7.4 | \$2,700 | 7.0 | \$2,900 | 9.6 | \$2,800 |
| Dependency status |  |  |  |  |  |  |
| Dependent | 8.3 | 2,800 | 8.3 | 3,000 | 10.8 | 2,900 |
| Independent | 4.6 | 1,900 | 2.7 | 1,900 | 5.8 | 2,000 |
| College grade-point average |  |  |  |  |  |  |
| Less than 2.00 | 4.5 | 4,200 | 3.4 | 4,100 | 5.1 | 2,800 |
| 2.00-3.49 | 6.4 | 2,700 | 5.5 | 3,000 | 7.5 | 2,800 |
| 3.50 or higher | 17.5 | 2,300 | 18.0 | 2,500 | 20.3 | 2,700 |
| Family income |  |  |  |  |  |  |
| Lowest quarter | 7.3 | 2,200 | 7.6 | 3,300 | 8.2 | 2,500 |
| Middle two quarters | 7.7 | 3,000 | 7.0 | 2,700 | 10.1 | 2,800 |
| Highest quarter | 6.8 | 2,500 | 6.2 | 2,800 | 10.0 | 2,900 |
|  |  |  | Privat | r-profit |  |  |
| Total | 17.1 | \$4,400 | 21.2 | \$4,700 | 28.9 | \$5,000 |
| Dependency status |  |  |  |  |  |  |
| Dependent | 19.1 | 4,600 | 23.6 | 4,900 | 32.7 | 5,300 |
| Independent | 10.3 | 3,300 | 10.9 | 2,900 | 15.4 | 3,100 |
| College grade-point average |  |  |  |  |  |  |
| Less than 2.00 | 11.1 | 4,200 | 14.2 | 4,400 | 19.4 | 3,500 |
| 2.00-3.49 | 15.7 | 4,100 | 20.5 | 4,400 | 27.2 | 4,700 |
| 3.50 or higher | 30.4 | 5,000 | 35.0 | 5,500 | 39.3 | 5,700 |
| Family income |  |  |  |  |  |  |
| Lowest quarter | 15.2 | 3,600 | 18.3 | 4,300 | 22.6 | 4,100 |
| Middle two quarters | 21.4 | 4,600 | 25.0 | 4,900 | 32.0 | 4,900 |
| Highest quarter | 14.9 | 4,700 | 17.6 | 4,800 | 29.1 | 5,900 |

NoTE:IIcome quarters are described in supplemental note 1 . Both dependent and independent students are included, but students income quarters are determined with reference only to students with the same dependency status.
SOURCE:Horn, L., and Peter, K. (2003). What Colleges Contribute:Institutional Aid to Full-Time Undergraduates Attending 4-Year Colleges and Universities (NCES 2003-157), tables 2a and 2b, and U.S. Department of Education,
NCES, 1992-93, 1995-96, and 1999-2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000)

## Institutional Aid at 4-Year Colleges and Universities

Table 37-2. Percentage of full-time undergraduates at 4-year institutions who received institutional aid, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution and selected characteristics: 1992-93, 1995-96, and 1999-2000

| Characteristic | 1992-93 |  | 1995-96 |  | 1999-2000 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Percent | Average amount | Percent | Average amount | Percent | Average amount |
|  | Public |  |  |  |  |  |
| Total | 17.5 | \$2,200 | 20.0 | \$2,500 | 23.5 | \$2,700 |
| Dependency status |  |  |  |  |  |  |
| Dependent | 17.7 | 2,400 | 20.6 | 2,700 | 24.3 | 2,800 |
| Independent | 16.8 | 1,700 | 18.1 | 1,800 | 20.8 | 2,100 |
| College grade-point average |  |  |  |  |  |  |
| Less than 2.00 | 13.0 | 2,500 | 12.4 | 2,500 | 14.3 | 2,400 |
| 2.00-3.49 | 16.2 | 2,200 | 18.7 | 2,500 | 21.1 | 2,600 |
| 3.50 or higher | 31.6 | 2,300 | 35.1 | 2,600 | 38.1 | 2,900 |
| Family income |  |  |  |  |  |  |
| Lowest quarter | 23.8 | 1,900 | 27.4 | 2,500 | 28.9 | 2,300 |
| Middle two quarters | 17.3 | 2,400 | 19.8 | 2,400 | 23.4 | 2,700 |
| Highest quarter | 12.3 | 2,400 | 12.6 | 2,700 | 17.6 | 3,200 |
|  |  |  | Privat | r-profit |  |  |
| Total | 47.1 | \$5,900 | 54.9 | \$6,000 | 57.8 | \$7,000 |
| Dependency status |  |  |  |  |  |  |
| Dependent | 49.9 | 6,300 | 58.6 | 6,400 | 64.3 | 7,500 |
| Independent | 37.3 | 4,100 | 39.2 | 3,300 | 34.5 | 3,900 |
| College grade-point average |  |  |  |  |  |  |
| Less than 2.00 | 45.7 | 5,300 | 49.0 | 4,700 | 50.4 | 4,600 |
| 2.00-3.49 | 47.0 | 5,600 | 56.2 | 5,700 | 58.7 | 6,800 |
| 3.50 or higher | 56.3 | 6,300 | 64.7 | 6,500 | 61.8 | 7,800 |
| Family income |  |  |  |  |  |  |
| Lowest quarter | 52.8 | 5,500 | 53.2 | 5,900 | 55.7 | 6,200 |
| Middle two quarters | 57.5 | 6,400 | 64.5 | 6,300 | 63.0 | 7,500 |
| Highest quarter | 35.0 | 5,500 | 40.9 | 5,500 | 51.2 | 6,800 |

NOTE:Income quarters are described in supplemental note 1.Both dependent and independent students are included, but students' income quarters are determined with reference only to students with the same dependency status.
SOURCE:Horn, L., and Peter, K. (2003). What Colleges Contribute: Institutional Aid to Full-Time Undergraduates Attending 4-Year Colleges and Universities (NCES 2003-157), tables 1a and 1b, and U.S. Department of Education,
NCES, 1992-93, 1995-96, and 1999-2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).

## Institutional Aid at 4-Year Colleges and Universities

Table S37a. Standard errors for the percentage of full-time undergraduates enrolled in 4-year institutions who received institutional aid, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution: 1992-93, 1995-96, and 1999-2000

| Control of institution | 1992-93 |  | 1995-96 |  | 1999-2000 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Percent | Average amount | Percent | Average amount | Percent | Average amount |
| Public | 0.73 | \$80 | 0.82 | \$100 | 0.61 | \$60 |
| Private not-for-profit | 1.93 | 210 | 1.88 | 270 | 1.74 | 180 |

SOURCE:U.S. Department of Education, NCES, 1992-93, 1995-96, and 1999-2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).

Table S37b. Standard errors for the percentage of full-time undergraduates enrolled in 4 -year institutions who received institutional aid, and among recipients, the average amounts received (in constant 1999 dollars), by control of institution and family income: 1992-93, 1995-96, and 1999-2000

| Family income | 1992-93 |  | 1995-96 |  | 1999-2000 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Percent | Average amount | Percent | Average amount | Percent | Average amount |
|  | Public |  |  |  |  |  |
| Lowest quarter | 1.35 | \$120 | 1.37 | \$150 | 1.19 | \$100 |
| Middle two quarters | 0.80 | 110 | 0.99 | 120 | 0.73 | 90 |
| Highest quarter | 0.89 | 150 | 1.06 | 210 | 0.85 | 150 |
|  | Private not-for-profit |  |  |  |  |  |
| Lowest quarter | 5.21 | \$310 | 2.94 | \$380 | 3.19 | \$260 |
| Middle two quarters | 2.05 | 260 | 2.12 | 290 | 1.93 | 220 |
| Highest quarter | 1.71 | 240 | 2.06 | 240 | 1.76 | 220 |

SOURCE:U.S. Department of Education, NCES, 1992-93, 1995-96, and 1999-2000 National Postsecondary Student Aid Studies (NPSAS:93, 96 , and 2000).

## Institutional Aid at 4-Year Colleges and Universities

Table S37-1. Standard errorsfor the percentage offull-time undergraduates at 4-year institutions who received institutional merit-based grants,and among recipients, the average amounts received (in constant 1999 dollars), by control of institution and selected characteristics: 1992-93, 1995-96, and 1999-2000

|  | 1992-93 |  | 1995-96 |  | 1999-2000 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Characteristic | Percent | Average amount | Percent | Average amount | Percent | Average amount |
|  | Public |  |  |  |  |  |
| Total | 0.42 | \$110 | 0.51 | \$150 | 0.38 | \$100 |
| Dependency status |  |  |  |  |  |  |
| Dependent | 0.47 | 120 | 0.62 | 150 | 0.46 | 120 |
| Independent | 0.47 | 140 | 0.40 | 320 | 0.44 | 190 |
| College grade-point average |  |  |  |  |  |  |
| Less than 2.00 | 0.66 | 680 | 0.51 | 700 | 0.70 | 610 |
| 2.00-3.49 | 0.39 | 140 | 0.45 | 190 | 0.38 | 150 |
| 3.50 or higher | 1.37 | 170 | 1.64 | 220 | 1.03 | 140 |
| Family income |  |  |  |  |  |  |
| Lowest quarter | 0.60 | 150 | 0.76 | 300 | 0.62 | 210 |
| Middle two quarters | 0.51 | 180 | 0.53 | 180 | 0.49 | 150 |
| Highest quarter | 0.58 | 230 | 0.77 | 260 | 0.71 | 200 |
|  |  |  | Privat | r-profit |  |  |
| Total | 1.41 | \$180 | 1.60 | \$190 | 1.50 | \$160 |
| Dependency status |  |  |  |  |  |  |
| Dependent | 1.67 | 190 | 1.80 | 200 | 1.64 | 160 |
| Independent | 1.27 | 320 | 1.50 | 270 | 1.46 | 290 |
| College grade-point average |  |  |  |  |  |  |
| Less than 2.00 | 2.09 | 790 | 1.91 | 690 | 2.97 | 520 |
| 2.00-3.49 | 1.41 | 210 | 1.70 | 210 | 1.54 | 190 |
| 3.50 or higher | 2.60 | 270 | 2.73 | 290 | 2.17 | 240 |
| Family income |  |  |  |  |  |  |
| Lowest quarter | 2.06 | 220 | 1.75 | 300 | 1.98 | 230 |
| Middle two quarters | 1.56 | 240 | 1.94 | 220 | 1.72 | 180 |
| Highest quarter | 1.68 | 300 | 1.74 | 270 | 1.57 | 260 |

SOURCE:U.S. Department of Education, NCES, 1992-93, 1995-96, and 1999-2000 National Postsecondary Student Aid Studies (NPSAS:93, 96, and 2000).

## Institutional Aid at 4-Year Colleges and Universities

| Characteristic | 1992-93 |  | 1995-96 |  | 1999-2000 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Percent | Average amount | Percent | Average amount | Percent | Average amount |
|  | Public |  |  |  |  |  |
| Total | 0.73 | \$80 | 0.82 | \$100 | 0.61 | \$60 |
| Dependency status |  |  |  |  |  |  |
| Dependent | 0.81 | 100 | 0.86 | 120 | 0.66 | 70 |
| Independent | 0.78 | 70 | 1.36 | 110 | 0.90 | 90 |
| College grade-point average |  |  |  |  |  |  |
| Less than 2.00 | 1.20 | 290 | 1.07 | 270 | 1.23 | 280 |
| 2.00-3.49 | 0.81 | 110 | 0.86 | 120 | 0.62 | 70 |
| 3.50 or higher | 1.67 | 120 | 1.73 | 170 | 1.21 | 110 |
| Family income |  |  |  |  |  |  |
| Lowest quarter | 1.35 | 120 | 1.37 | 150 | 1.19 | 100 |
| Middle two quarters | 0.80 | 110 | 0.99 | 120 | 0.73 | 90 |
| Highest quarters | 0.89 | 150 | 1.06 | 210 | 0.85 | 150 |
|  | Private not-for-profit |  |  |  |  |  |
| Total | 1.93 | \$210 | 1.88 | \$270 | 1.74 | \$180 |
| Dependency status |  |  |  |  |  |  |
| Dependent | 1.99 | 220 | 1.88 | 270 | 1.72 | 190 |
| Independent | 2.75 | 250 | 2.71 | 240 | 2.54 | 200 |
| College grade-point average |  |  |  |  |  |  |
| Less than 2.00 | 5.05 | 520 | 3.97 | 350 | 4.12 | 380 |
| 2.00-3.49 | 2.08 | 230 | 1.87 | 270 | 1.79 | 190 |
| 3.50 or higher | 2.78 | 270 | 2.38 | 340 | 2.23 | 280 |
| Family income |  |  |  |  |  |  |
| Lowest quarter | 5.21 | 310 | 2.94 | 380 | 3.19 | 260 |
| Middle two quarters | 2.05 | 260 | 2.12 | 290 | 1.93 | 220 |
| Highest quarter | 1.71 | 240 | 2.06 | 240 | 1.76 | 220 |

