

Department of Energy

Washington, DC 20585

April 24, 2007

MEMORANDUM FOR DISTRIBUTION

FROM:

CHARLES B. LEWIS, I

ACTING DIRECT/OR

OFFICE OF CORPORATE SAFETY ANALYSIS OFFICE OF HEALTH, SAFETY AND SECURITY

SUBJECT:

Department of Energy Corrective Action Management

Program Second Quarter Fiscal Year 2007

Attached is the Department of Energy (DOE) report on the status of the Corrective Action Management Program (CAMP) for the second quarter of fiscal year (FY) 2007. The report summarizes Corrective Action Plans (CAP) and their associated corrective actions developed and tracked by line managers to effectively address and resolve Office of Independent Oversight environment, safety, and health and emergency management assessment findings; Type A Accident Investigation Judgments of Need; Office of Aviation Management audit findings and other special assessment findings directed by the Secretary or Deputy Secretary to be addressed in the CAMP. The report also summarizes line management follow-up corrective action effectiveness reviews of the completed CAPs to assure the findings have been effectively resolved and will not recur. The CAMP status is documented and tracked in the Department's Corrective Action Tracking System (CATS). The Office of Health, Safety and Security is prepared to improve the linkage between identifying the corrective actions, bringing them to closure, and reviewing the effectiveness. Specific examples of recurring events where corrective actions were implemented are highlighted in the Quarterly Executive Safety Briefing. The ultimate goal is effective corrective actions that prevent recurring issues.

During the second quarter of FY 2007, three new assessment reports were added to the CAMP. Two approved CAPs were completed this quarter and 16 approved CAPs are on schedule for completion. A total of 212 corrective actions were completed this quarter and 27 corrective actions are past their planned completion dates. Four corrective action effectiveness reviews were approved this quarter and three are overdue for approval by the cognizant Field Element Manager. Seven corrective action effectiveness reviews are on schedule for completion.

Please review our CAMP Web Site at http://www.hss.energy.gov/camp which outlines the program background, applicable DOE directives and references Corrective Action Management (CAM) Team charter, CATS database and User's Guide, and previous quarterly reports on the status of the CAMP.

If you have any questions or comments pertaining to this report, or recommended changes to the report format, please contact me at (301) 903-8008, or your staff may contact Larry McCabe at (301) 903-6732. Recommended changes may also be communicated to your CAM Team representative.

Attachment: Correction Action Management Program Second Quarter Report Fiscal Year 2007

cc: Clay Sell, DS
Dennis Spurgeon, US
Raymond Orbach, US
Thomas D'Agostino, NA-1

Department of Energy Corrective Action Management Program Quarterly Report, Second Quarter FY 2007

Distribution:

Alexander A. Karsner, Assistant Secretary for Energy Efficiency and Renewable Energy, EE-1

Jeffrey D. Jarrett, Assistant Secretary for Fossil Energy, FE-1

Charles E. Anderson, Principal Deputy Assistant Secretary, EM-2

Richard H. Lagdon, Chief of Nuclear Safety, Office of the Under Secretary, US

Frank Russo, Senior Advisor for Environment, Safety and Health, NA-3.6

George Malosh, Chief Operating Officer, Office of Science, SC-3

James T. Campbell, Acting Chief Financial Officer, Office of Chief Financial Officer, CF-1

Karen L. Boardman, Director, NNSA Service Center, NZ

Edward F. Sproat III, Director, Office of Civilian Radioactive Waste Management, RW-1

Pat Worthington, Director, Office Health and Safety, HS-10

Xavier Ascanio, Director, Office of Operations and Construction Management, NA-124

David C. Moody III, Manager, Carlsbad Field Office

Robert C. Wunderlich, Acting Manager, Chicago Operations Office

Elizabeth D. Sellers, Manager, Idaho Operations Office

Robert F. Warther, Manager, Ohio Field Office

Gerald G. Boyd, Manager, Oak Ridge Operations Office

Shirley J. Olinger, Acting Manager, Office of River Protection

Keith A. Klein, Manager, Richland Operations Office

Jeffrey M. Allison, Manager, Savannah River Operations Office

Steve C. Taylor, Manager, Kansas City Site Office

Daniel E. Glenn, Acting Manager, Los Alamos Site Office

Camille Yuan-Soo-Hoo, Manager, Livermore Site Office

Rear Admiral General Gerald L. Talbot, Manager, Nevada Site Office

Steve Erhart, Acting Manager, Pantex Site Office

Patrice M. Wagner, Manager, Sandia Site Office

Richard W. Arkin, Manager, Savannah River Site Office





Corrective Action Management Program Second Quarter Report-Fiscal Year 2007

April 2007

Department of EnergyOffice of Corporate Safety Analysis

Table of Contents

Department of Energy Summary	1
Administrator, National Nuclear Security Administration	4
Deputy Administrator for Defense Programs	6
Under Secretary of Energy	10
Assistant Secretary for Environmental Management	12
Assistant Secretary for Environmental Management Assistant Secretary for Nuclear Energy	16
Under Secretary for Science	20
Director, Office of Science	22
Abbreviations Used in this Report	Inside Back Cover

Department of Energy Corrective Action Management Program Second Quarter Report, Fiscal Year 2007

Summary

This report provides the second quarter, fiscal year 2007 summary status of the Department of Energy Corrective Action Management Program (CAMP). The report includes the status of corrective action plans (CAPs) and their associated corrective actions as of March 31, 2007. The report depicts the Department's CAMP program status, followed by the status for the Under Secretaries and the assigned Secretarial Officer (SO).

Progress has been noted with respect to Office of Environmental Management (EM) facilities in that no corrective actions were overdue during the past two quarters, an improvement from 4QFY2006 when seven late corrective actions were reported. Also, there were no overdue effectiveness reviews during the quarter and EM completed two effectiveness reviews at the Hanford and Savannah River sites.

The National Nuclear Security Administration (NNSA) had 27 late corrective actions and three overdue effectiveness reviews for the quarter, representing a significant decrease from the previous quarter when 73 late corrective actions and four overdue effectiveness reviews were reported. NNSA also completed 92 corrective actions and one effectiveness review during the quarter.

The Office of Science and the Office of Nuclear Energy had no late corrective actions or overdue effectiveness reviews during the quarter.

Corrective Action Management Program requirements delineated in DOE Order 414.1C, *Quality Assurance*, direct Department line managers to address, track, report, complete and determine the effectiveness of corrective actions to resolve and prevent recurrence of:

- (1) Environment, safety, and health and emergency management findings identified by the Office of Independent Oversight
- (2) Judgments of Need identified during Type A Accident Investigations

- (3) Safety management findings of DOE aviation facilities identified by the Office of Aviation Management, Office of Management, Budget and Evaluation
- (4) Findings identified during the conduct of special assessment initiatives directed by the Secretary or Deputy Secretary to be tracked and reported in accordance with the provisions of the DOE CAMP.

For the purpose of this report, the term findings will also apply to accident investigation judgments of need. A finding is defined as a significant deficiency identified during the conduct of an oversight assessment that may adversely affect the DOE mission; environment, safety, or health of workers or the public; or national security. These findings are validated and clearly addressed in a formal assessment report provided to line management.

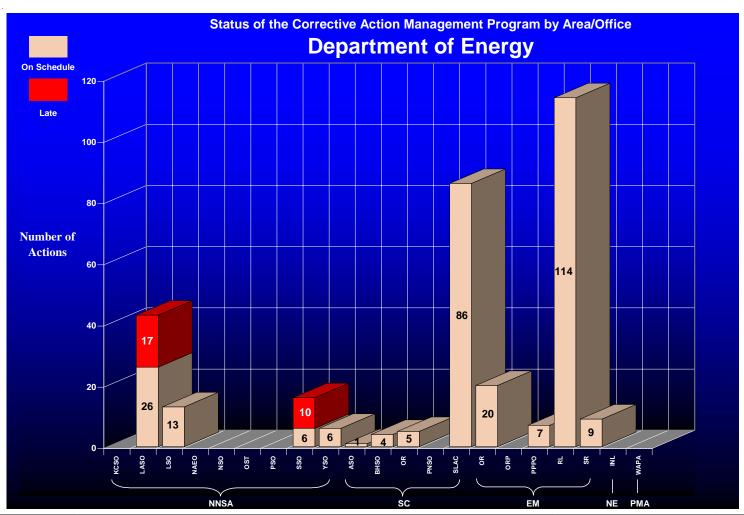
Department line managers must develop and implement a CAP that describes all actions required to effectively resolve each reported finding. The final CAP is approved by the SO, or delegated designee, within 60 days from issuance of the formal assessment report. Line managers must also complete all corrective actions within the planned dates as outlined in the approved CAP and report the status of these actions in the Department's Corrective Action Tracking System database. The planned CAP completion date is the date that all corrective actions listed in the CAP are to be completed.

Within 6 months after completion of all corrective actions in the CAP, the responsible Field Element Manager must complete and approve a corrective action effectiveness review to verify closure of corrective actions, and determine their effectiveness in preventing recurrence.

This report is available on the Internet by accessing the CAMP Website at http://www.hss.energy.gov/CSA/csp/camp/index.html.

Department of Energy Summary

New Assessment Reports this Quarter	3
Corrective Action Plans (CAPs) Pending Approval	
CAPs pending approval - Approval > 60 days OVERDUE	0
CAPs pending approval - Approval <= 60 days OVERDUE	0
CAPs pending approval - Approval on schedule	2
Total CAPs pending approval	2
Approved Corrective Action Plans (CAPs)	
Approved CAPs - with actions open > 90 days OVERDUE (past planned completion date)	1
Approved CAPs - with actions open <= 90 days OVERDUE (past planned completion date)	0
Approved CAPs - on schedule	16
Total approved CAPs still open	17
Approved CAPs - Completed this Quarter	2
Approved Corrective Action Effectiveness Reviews	
Corrective Action Effectiveness Reviews - Approval OVERDUE	3
Corrective Action Effectiveness Reviews - on schedule	7
Corrective Action Effectiveness Reviews approved this Quarter	4

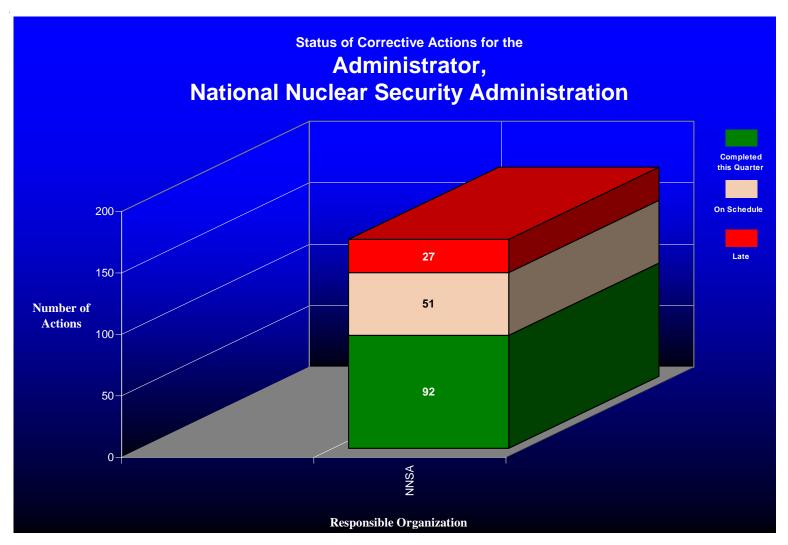


Secretarial Office:	NNSA				sc			EM				NE	РМА									
	KCSO	LASO	LSO	NAEO	NSO	OST	PSO	SSO	YSO	ASO	BHSO	OR	PNSO	SLAC	OR	ORP	PPPO	RL	SR	INL	WAPA	TOTALS
Total Reports	2	5	3	1	4	2	3	4	3	1	1	4	1	2	2	1	1	4	5	3	1	53
Total Findings	25	69	37	3	16	32	36	64	29	24	6	44	8	26	51	18	6	33	53	32	5	617
Completed Corrective Actions	95	256	151	5	34	56	128	406	170	262	41	213	24	37	187	137	13	123	271	278	5	2892
Corrective Actions On Schedule	0	26	13	0	0	0	0	6	6	1	4	5	0	86	20	0	7	114	9	0	0	297
Late Corrective Actions	0	17	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	0	0	0	27
Total Corrective Actions	95	299	164	5	34	56	128	422	176	263	45	218	24	123	207	137	20	237	280	278	5	3216

Administrator, National Nuclear Security Administration

Administrator, **National Nuclear Security Administration Summary**

New Assessment Reports this Quarter	2					
Corrective Action Plans (CAPs) Pending Approval						
CAPs pending approval - Approval > 60 days OVERDUE	0					
CAPs pending approval - Approval <= 60 days OVERDUE	0					
CAPs pending approval - Approval on schedule	2					
Total CAPs pending approval	2					
Approved Corrective Action Plans (CAPs)						
Approved CAPs - with actions open > 90 days OVERDUE (past planned completion date)	1					
Approved CAPs - with actions open <= 90 days OVERDUE (past planned completion date)	0					
Approved CAPs - on schedule	6					
Total approved CAPs still open	7					
Approved CAPs - Completed this Quarter	2					
Approved Corrective Action Effectiveness Reviews						
Corrective Action Effectiveness Reviews - Approval OVERDUE	3					
Corrective Action Effectiveness Reviews - on schedule	4					
Corrective Action Effectiveness Reviews approved this Quarter	1					



	NNSA
Completed 2 nd Qtr, FY07	92
Total Completed	1301
On Schedule	51
Late	27
Grand Totals	1379

Deputy Administrator for Defense Programs Late Corrective Actions

Los Alamos National Laboratory

Report Number: LASO-01/03/2006-0001-R-ES&H

Report Title: Final Report of the Office of Independent Oversight Inspection of Los Alamos Site Office Oversight of Environment, Safety and Health

Programs at Los Alamos National Laboratory, November 2005

Number of Late Corrective Actions: 1

Report Number: LANL-01/03/2006-0002-R-ES&H

Report Title: Office of Independent Oversight Inspection of Los Alamos Site Office Oversight of Environment, Safety and Health Programs at Los

 $Alamos\ National\ Laboratory,\ November\ 2005$

Number of Late Corrective Actions: 16

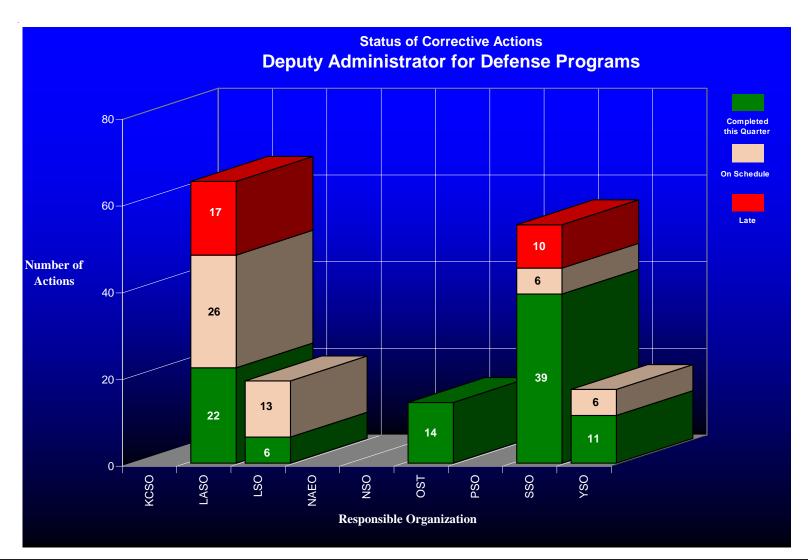
Sandia National Laboratories

Report Number: SNLAL-08/02/2006-0001-R-XM

Report Title: Final Report of the Office of Independent Oversight Inspection of Emergency Management at the Sandia National Laboratories, July 2006

Final Report Date: 08/02/2006

Number of Late Corrective Actions: 10



	KCSO	LASO	LSO	NAEO	NSO	OST	PSO	SSO	YSO	TOTALS
Completed 2 nd Qtr, FY07	0	22	6	0	0	14	0	39	11	92
Total Completed	95	256	151	5	34	56	128	406	170	1301
On Schedule	0	26	13	0	0	0	0	6	6	51
Late	0	17	0	0	0	0	0	10	0	27
Grand Totals	95	299	164	5	34	56	128	422	176	1379

Status of Corrective Action Plans and Effectiveness Reviews Deputy Administrator for Defense Programs

1. New Assessment Reports this Quarter Two

2. Corrective Action Plans Pending Approval - OVERDUE
None

3. Approved Corrective Actions Plans - Completion OVERDUE

Report Number

LASO-01/03/2006-0001-R-ES&H

Report Title

Final Report of the Office of Independent Oversight Inspection of Los

Alamos Site Office Oversight of

Alamos Site Office Oversight of Environment, Safety and Health Programs at Los Alamos National Laboratory, November 2005

Office/Facility Reviewed Los Alamos Site Office

CAP Completion Due Date 11/30/2006

4. Approved Corrective Action Plans - Completed this Quarter (all corrective actions in CAP completed this quarter)

Report Number OST-08/25/2005-0001-R-ASM

Report Title Final Report of the Office of Aviation

Management Aviation Program Audit of the Office of Secure Transportation, Aviation Operations Branch,

June 2005

Final Report Date 08/25/2005

Office/Facility Reviewed Office of Secure Transportation

CAP Completion Date 01/18/2007

Report Number SNLAL-05/27/2005-0001-R-XM
Report Title Independent Oversight Inspection of

Emergency Management at the

Sandia Site Office and Sandia National

Laboratories-New Mexico

Final Report Date 05/27/2005

Office/Facility Reviewed Sandia National Laboratories-New

Mexico

CAP Completion Date 02/20/2007

5. Number of Approved Corrective Action Plans – Completion on

schedule Six

6. Corrective Action Effectiveness Reviews - Approved this Quarter

One

7. Corrective Action Effectiveness Reviews – Approval on Schedule

Four

8. Corrective Action Effectiveness Reviews – Approval Overdue

Report Number SNLAL-04/07/2003-0001-R-ES&H-XM

Report Title Inspection of Environment, Safety, and

Health Management and Emergency Management at the Sandia National

Laboratories - New Mexico

Final Report Date 04/07/2003

Office/Facility Reviewed Sandia National Laboratory - Albuquer-

que

CAP Completion Date 08/23/2005

Effectiveness Review

Due Date 2/19/2006

Report Number NTS-12/20/2005-0001-R-ASM

Report Title Final Report of the Office of Aviation

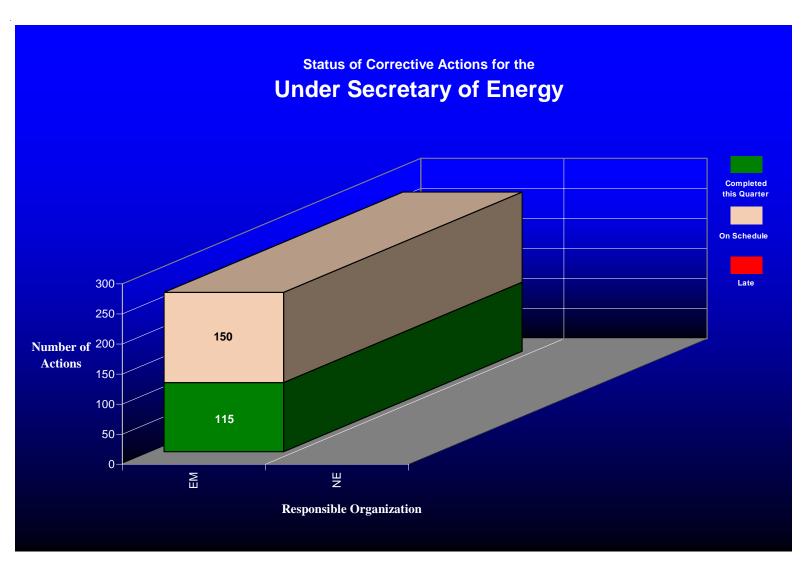
Management Aviation Program Audit of

	the Nevada Site Office Aerial Measure-	Report Number	PP-03/17/2005-0001-R-ES&H
	ment System, November 2005	Report Title	Final Report of the Office of Indepen-
Final Report Date	12/20/2005		dent Oversight and Performance
Office/Facility Reviewed	Nevada Test Site		Assurance Inspection of Environment,
CAP Completion Date	09/13/2006		Safety and Health Programs at the
Effectiveness Review			Pantex Plant, February 2005
Due Date	03/12/2007	Final Report Date	03/17/2005
		Office/Facility Reviewed	Pantex Plant
		CAP Completion Date	09/25/2006
		Effectiveness Review	
		Due Date	03/24/2007

Under Secretary of Energy

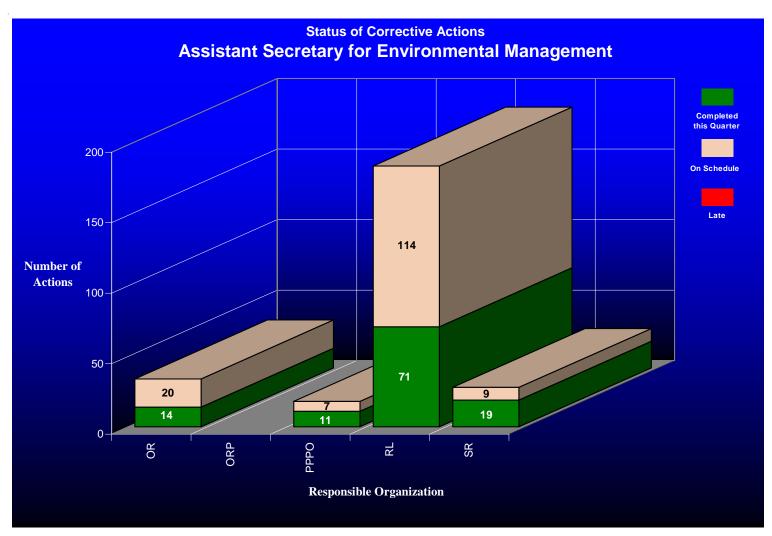
Under Secretary of Energy Summary

New Assessment Reports this Quarter	0
Corrective Action Plans (CAPs) Pending Approval	
CAPs pending approval - Approval > 60 days OVERDUE	0
CAPs pending approval - Approval <= 60 days OVERDUE	0
CAPs pending approval - Approval on schedule	0
Total CAPs pending approval	0
Approved Corrective Action Plans (CAPs)	
Approved CAPs - with actions open > 90 days OVERDUE (past planned completion date)	0
Approved CAPs - with actions open <= 90 days OVERDUE (past planned completion date)	0
Approved CAPs - on schedule	6
Total approved CAPs still open	6
Approved CAPs - Completed this Quarter	0
Approved Corrective Action Effectiveness Reviews	
Corrective Action Effectiveness Reviews - Approval OVERDUE	0
Corrective Action Effectiveness Reviews - on schedule	2
Corrective Action Effectiveness Reviews approved this Quarter	3



	EM	NE	TOTALS
Completed 2 nd Qtr, FY07	115	0	115
Total Completed	731	278	1009
On Schedule	150	0	150
Late	0	0	0
Grand Totals	881	278	1159

Assistant Secretary for Environmental Management Late Corrective Actions



EM										
	OR	ORP	PPPO	RL	SR	TOTALS				
Completed 2 nd Qtr, FY07	14	0	11	71	19	115				
Total Completed	187	137	13	123	271	731				
On Schedule	20	0	7	114	9	150				
Late	0	0	0	0	0	0				
Grand Totals	207	137	20	237	280	881				

Status of Corrective Action Plans and Effectiveness Reviews Assistant Secretary for Environmental Management

1. New Assessment Reports this Quarter None

2. Corrective Action Plans Pending Approval - OVERDUE None

- 3. Approved Corrective Actions Plans Completion OVERDUE None
- 4. Approved Corrective Action Plans Completed this Quarter (all corrective actions in CAP completed this quarter)
 None
- 5. Number of Approved Corrective Action Plans Completion on schedule Six
- 6. Corrective Action Effectiveness Reviews Approved this Ouarter

Report Number HS-06/30/2004-0003-R-ES&H
Report Title Investigation of Worker Vapor Exposure and Occupational Medicine

Program Allegations at the Hanford Site

Final Report Date 06/30/2004
Office/Facility Reviewed Hanford Site
CAP Completion Date 04/13/2006

Effectiveness Review

Due Date 01/05/2007

Report Number SRS-05/17/2006-0003-R-ASM

Report Title Final Report of the Office of Aviation

Management Aviation Program Audit of the Savannah River Site and Wackenhut Services, Incorporated,

March, 2006

Final Report Date 05/17/2006

Office/Facility Reviewed Savannah River Site

CAP Completion Date 09/30/2006

Effectiveness Review

Due Date 3/29/2007

7. Corrective Action Effectiveness Reviews – Approval on Schedule

Report Number SRS-04/07/2004-0001-R-ES&H-XM

Report Title Final Report of the Office of Indepen-

dent Oversight and Performance
Assurance Inspection of Environment,
Safety, and Health Management and
Emergency Management at the

Savannah River Site, February 2004

Final Report Date 04/07/2004

Office/Facility Reviewed Savannah River Site

CAP Completion Date 12/30/2006

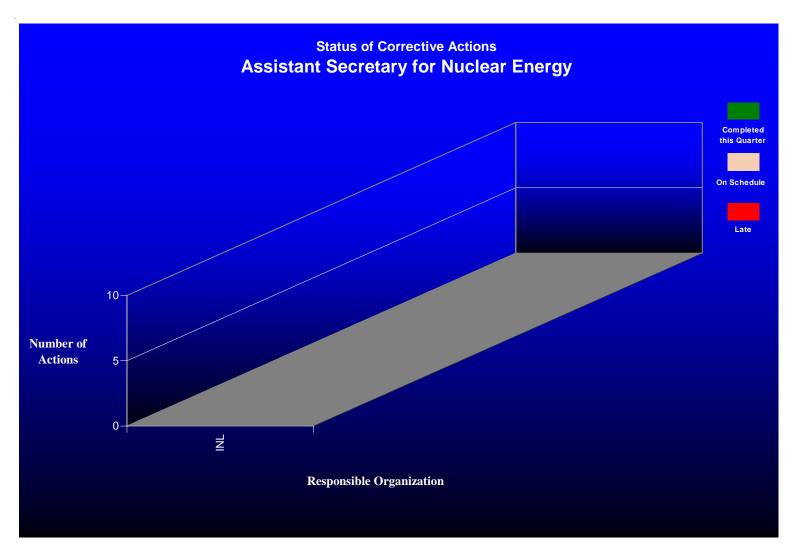
Effectiveness Review

Due Date 6/28/2007

8. Corrective Action Effectiveness Reviews - Approval

OVERDUE

Assistant Secretary for Nuclear Energy Late Corrective Actions



	INL
Completed 2 nd Qtr, FY07	0
Total Completed	278
On Schedule	0
Late	0
Grand Totals	278

Status of Corrective Action Plans and Effectiveness Reviews Assistant Secretary for Nuclear Energy

1. New Assessment Reports this Quarter None

- 2. Corrective Action Plans Pending Approval OVERDUE None
- 3. Approved Corrective Actions Plans Completion OVER-DUE None
- 4. Approved Corrective Action Plans Completed this Quarter (all corrective actions in CAP completed this quarter)
 None
- 5. Number of Approved Corrective Action Plans Completion on schedule

 None
- 6. Corrective Action Effectiveness Reviews Approved this Quarter

Report Number

Report Title

ANLW-12/21/2004-0001-R-XM

Final Report of the Independent

Oversight Emergency Management

Inspection of the Argonne National

Laboratory-West, November 2004

Final Report Date 12/21/2004

Office/Facility Reviewed Argonne National Laboratory - West

CAP Completion Date 09/20/2006

Effectiveness Review

Due Date 3/19/2007

7. Corrective Action Effectiveness Reviews - Approval on Schedule

Report Number INEEL-08/19/2005-0001-R-ES&H Report Title Final Report of the Office of Indepen-

dent Oversight and Performance Assurance Inspection of Environment, Safety and Health Programs at the Idaho National Laboratory Advanced

Test Reactor, August 2005

Final Report Date 08/19/2005

Office/Facility Reviewed Idaho National Engineering & Environ-

mental Laboratory

10/23/2006

CAP Completion Date

Effectiveness Review

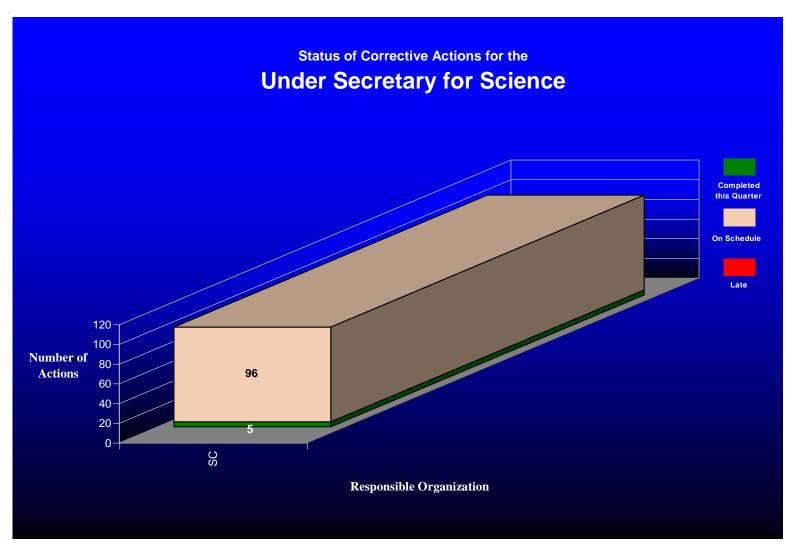
Due Date 4/21/2007

8. Corrective Action Effectiveness Reviews – Approval Overdue None

Under Secretary for Science

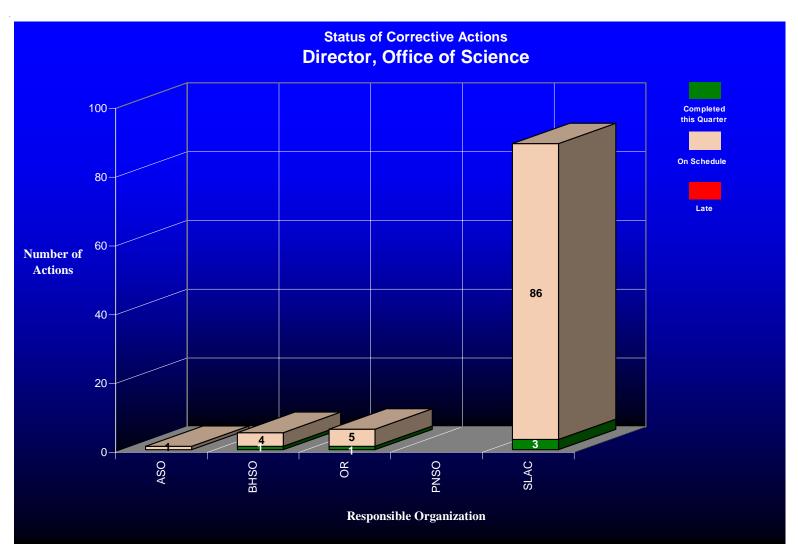
Under Secretary for Science Summary

New Assessment Reports this Quarter	1
Corrective Action Plans (CAPs) Pending Approval	
CAPs pending approval - Approval > 60 days OVERDUE	0
CAPs pending approval - Approval <= 60 days OVERDUE	0
CAPs pending approval - Approval on schedule	0
Total CAPs pending approval	0
Approved Corrective Action Plans (CAPs)	
Approved CAPs - with actions open > 90 days OVERDUE (past planned completion date)	0
Approved CAPs - with actions open <= 90 days OVERDUE (past planned completion date)	0
Approved CAPs - on schedule	4
Total approved CAPs still open	4
Approved CAPs - Completed this Quarter	0
Approved Corrective Action Effectiveness Reviews	
Corrective Action Effectiveness Reviews - Approval OVERDUE	0
Corrective Action Effectiveness Reviews - on schedule	1
Corrective Action Effectiveness Reviews approved this Quarter	0



	SC
Completed 2 nd Qtr, FY07	5
Total Completed	577
On Schedule	96
Late	0
Grand Totals	673

Director, Office of Science Late Corrective Actions



SC								
	ASO	BHSO	OR	PNSO	SLAC	TOTALS		
Completed 2 nd Qtr, FY07	0	1	1	0	3	5		
Total Completed	262	41	213	24	37	577		
On Schedule	1	4	5	0	86	96		
Late	0	0	0	0	0	0		
Grand Totals	263	45	218	24	123	673		

Status of Corrective Action Plans and Effectiveness Reviews Director, Office of Science

1. New Assessment Reports this Quarter

Report Number SLAC-01/17/2007-0002-R-ES&H

Report Title Inspection of Environment, Safety and Health Programs at the Stanford Linear

Accelerator Center, January 2007

Final Report Date 01/17/2007

Office/Facility Reviewed Stanford Linear Accelerator Center

CAP Completion Due Date 10/01/2009

- 2. Corrective Action Plans Pending Approval OVERDUE None
- 3. Approved Corrective Action Plans Completion OVERDUE past the planned completion date.

 None
- 4. Approved Corrective Action Plans Completed this Quarter (all corrective actions in CAP completed this quarter)

 None
- 5. Number of Approved Corrective Action Plans Completion on schedule
 Four

6. Corrective Action Effectiveness Reviews - Approved this Quarter
None

7. Corrective Action Effectiveness Reviews - Approval on Schedule

Report Number ORNL-08/18/2004-0001-R-ES&H Report Title Final Report of the Office of Inde-

pendent Oversight and Performance Assurance Inspection of Environment, Safety and Health Management at the Oak Ridge National Labora-

tory, June 2004

Final Report Date 08/18/2004

Office/Facility Reviewed Oak Ridge National Laboratory

CAP Completion Date 11/15/2006

Effectiveness Review

Due Date 5/14/2007

8. Corrective Action Effectiveness Reviews – Approval Overdue None

Abbreviations Used in This Report

ASO	Argonne Site Office	NS0	Nevada Site Office
BHS0	Brookhaven Site Office	NTS	Nevada Test Site
CAMP	Corrective Action Management Program	O R	Oak Ridge Operations Office
CAP	Corrective Action Plan	ORP	Office of River Protection
CATS	Corrective Action Tracking System	OST	Office of Secure Transportation
CH	Chicago Operations Office	PMA	Power Marketing Administration
DP	Deputy Administrator for Defense Programs	PNS0	Pacific Northwest Site Office
EM	Assistant Secretary for Environmental	PP	Pantex Plant
	Management	PPP0	Portsmouth/Paducah Project Office
ETTP	East Tennessee Technology Park	PS0	Pantex Site Office
D	Idaho Operations Office	RL	Richland Operations Office
INL	Idaho National Laboratory	SC	Office of Science
KCP	Kansas City Plant	SLAC	Stanford Linear Accelerator Center
KCS0	Kansas City Site Office	SNL	Sandia National Laboratories
LANL	Los Alamos National Laboratory	S0	Secretarial Officer
LAS0	Los Alamos Site Office	SR	Savannah River Operations Office
LLNL	Lawrence Livermore National Laboratory	SRS	Savannah River Site
LS0	Livermore Site Office	SS0	Sandia Site Office
NAEO	National Nuclear Security Administration	WAPA	Western Area Power Administration
	Emergency Operations	XM	Emergency Management
NE	Office of Nuclear Energy	Y-12	Y-12 National Security Complex
NNSA	National Nuclear Security Administration	YS0	Y-12 Site Office