

The Department of the Treasury Bureau Reports on FOIA Backlog

III. BACKLOG REDUCTION GOALS

The **Departmental Offices (DO)** of the Department of the Treasury establishes the following goals with respect to the numbers of FOIA requests and administrative appeals to be processed for the next three fiscal (FY) years – as well as the number of requests and number of appeals it expects to be pending beyond the statutory time period at the end of each fiscal year for Fiscal Years 2008, 2009, and 2010.

REQUESTS

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	607	800	860	547

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	547	800	855	492
2010	492	800	849	443

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 Departmental Offices (DO)

APPEALS

Fiscal Year	Number of Pending Appeals at Beginning of FY	Estimated Number of Appeals Incoming During FY	Agency's Goal for Number of Appeals to be Processed During FY	Agency's Goals for Number of Appeals Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	30	20	30	20

Fiscal Year	Number of Pending Appeals at Beginning of FY	Estimated Number of Appeals Incoming During FY	Agency's Goal for Number of Appeals to be Processed During FY	Agency's Goals for Number of Appeals Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	20	20	30	10
2010	10	20	30	0

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The **Financial Management Service (FMS)** establishes the following goals with respect to the numbers of FOIA requests and administrative appeals to be processed for the next three fiscal (FY) years – as well as the number of requests and number of appeals it expects to be pending beyond the statutory time period at the end of each fiscal year for Fiscal Years 2008, 2009, and 2010.

REQUESTS

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	4	200	200	5

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	5	200	202	3
2010	3	200	202	1

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The **Office of Thrift Supervision (OTS)** establishes the following goals with respect to the numbers of FOIA requests and administrative appeals to be processed for the next three fiscal (FY) years – as well as the number of requests and number of appeals it expects to be pending beyond the statutory time period at the end of each fiscal year for Fiscal Years 2008, 2009, and 2010.

REQUESTS

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	65*	950^	957	58

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	58*	955	961	52
2010	52*	950	955	47

*The number of pending requests at the beginning of each fiscal year only include backlog inventory. The total estimated number of pending requests for FY2008 is 80 (65 backlog and 15 current). ^ Incoming requests exclude public information and non-FOIA inquiries

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The **Comptroller of the Currency (OCC)** establishes the following goals with respect to the numbers of FOIA requests and administrative appeals to be processed for the next three fiscal (FY) years – as well as the number of requests and number of appeals it expects to be pending beyond the statutory time period at the end of each fiscal year for Fiscal Years 2008, 2009, and 2010.

APPEALS

Fiscal Year	Number of Pending Appeals at Beginning of FY	Estimated Number of Appeals Incoming During FY	Agency's Goal for Number of Appeals to be Processed During FY	Agency's Goals for Number of Appeals Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	5	10	11	4

Fiscal Year	Number of Pending Appeals at Beginning of FY	Estimated Number of Appeals Incoming During FY	Agency's Goal for Number of Appeals to be Processed During FY	Agency's Goals for Number of Appeals Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	4	10	12	2
2010	2	10	12	0

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The **Alcohol and Tobacco Tax and Trade Bureau (TTB)** establishes the following goals with respect to the numbers of FOIA requests and administrative appeals to be processed for the next three fiscal (FY) years – as well as the number of requests and number of appeals it expects to be pending beyond the statutory time period at the end of each fiscal year for Fiscal Years 2008, 2009, and 2010.

REQUESTS

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	6	100	98	2

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	2	100	100	0
2010	0	90	90	0

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The **US Mint (Mint)** establishes the following goals with respect to the numbers of FOIA requests and administrative appeals to be processed for the next three fiscal (FY) years – as well as the number of requests and number of appeals it expects to be pending beyond the statutory time period at the end of each fiscal year for Fiscal Years 2008, 2009, and 2010.

REQUESTS

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	3	125	125	3

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	3	100	102	1
2010	1	95	96	0

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 Bureau Reports on FOIA Backlog
 US Mint (Mint)

APPEALS

Fiscal Year	Number of Pending Appeals at Beginning of FY	Estimated Number of Appeals Incoming During FY	Agency's Goal for Number of Appeals to be Processed During FY	Agency's Goals for Number of Appeals Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	2	2	2	2

Fiscal Year	Number of Pending Appeals at Beginning of FY	Estimated Number of Appeals Incoming During FY	Agency's Goal for Number of Appeals to be Processed During FY	Agency's Goals for Number of Appeals Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	2	2	3	1
2010	1	2	3	0

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The **Internal Revenue Service (IRS)** establishes the following goals with respect to the numbers of FOIA requests and administrative appeals to be processed for the next three fiscal (FY) years – as well as the number of requests and number of appeals it expects to be pending beyond the statutory time period at the end of each fiscal year for Fiscal Years 2008, 2009, and 2010.

REQUESTS

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	1500	18000 [^]	18060	545*

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	545*	18000	18054	491*
2010	491*	18000	18049	442*

*The pending requests at the beginning and ending of each fiscal year only include backlog inventory. The total estimated pending inventory is 1500 (823 backlog and 677 current). [^] Decrease since 2003 due to absence of receipts of promoters of abusive schemes

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 Bureau Reports on FOIA Backlog
 Internal Revenue Service (IRS)

APPEALS

Fiscal Year	Number of Pending Appeals at Beginning of FY	Estimated Number of Appeals Incoming During FY	Agency's Goal for Number of Appeals to be Processed During FY	Agency's Goals for Number of Appeals Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	17**	200	204	15**

Fiscal Year	Number of Pending Appeals at Beginning of FY	Estimated Number of Appeals Incoming During FY	Agency's Goal for Number of Appeals to be Processed During FY	Agency's Goals for Number of Appeals Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	15**	198	201	13**
2010	13**	195	198	12**

**The pending appeals at the beginning and ending of each fiscal year only include backlog inventory. The total estimated pending inventory is 37 (17 backlog and 20 current).

The Department of the Treasury Bureau Reports on FOIA Backlog

The **Treasury Inspector General for Tax Administration (TIGTA)** establishes the following goals with respect to the numbers of FOIA requests and administrative appeals to be processed for the next three fiscal (FY) years – as well as the number of requests and number of appeals it expects to be pending beyond the statutory time period at the end of each fiscal year for Fiscal Years 2008, 2009, and 2010.

REQUESTS

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	11	242	246	7

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	7	240	242	5
2010	5	240	241	4

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 Treasury Inspector General for Tax Administration (TIGTA)

APPEALS

Fiscal Year	Number of Pending Appeals at Beginning of FY	Estimated Number of Appeals Incoming During FY	Agency's Goal for Number of Appeals to be Processed During FY	Agency's Goals for Number of Appeals Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	5	27	28	4

Fiscal Year	Number of Pending Appeals at Beginning of FY	Estimated Number of Appeals Incoming During FY	Agency's Goal for Number of Appeals to be Processed During FY	Agency's Goals for Number of Appeals Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	4	30	31	3
2010	3	33	30	2

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The **Financial Crimes Enforcement Network (FinCEN)** establishes the following goals with respect to the numbers of FOIA requests and administrative appeals to be processed for the next three fiscal (FY) years – as well as the number of requests and number of appeals it expects to be pending beyond the statutory time period at the end of each fiscal year for Fiscal Years 2008, 2009, and 2010.

REQUESTS

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	5	120	121	4

Fiscal Year	Number of Pending Requests at Beginning of FY	Estimated Number of Requests Incoming During FY	Agency's Goal for Number of Requests to be Processed During FY	Agency's Goal for Number of Requests Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	4	130	131	3
2010	3	140	141	2

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 Financial Crimes Enforcement Network (FinCEN)

APPEALS

Fiscal Year	Number of Pending Appeals at Beginning of FY	Estimated Number of Appeals Incoming During FY	Agency's Goal for Number of Appeals to be Processed During FY	Agency's Goals for Number of Appeals Pending Beyond Statutory Time Period (Backlog) at End of FY
2008	9	5	6	8

Fiscal Year	Number of Pending Appeals at Beginning of FY	Estimated Number of Appeals Incoming During FY	Agency's Goal for Number of Appeals to be Processed During FY	Agency's Goals for Number of Appeals Pending Beyond Statutory Time Period (Backlog) at End of FY
2009	8	6	8	6
2010	6	7	8	5

The Department of the Treasury Bureau Reports on FOIA Backlog

No backlog of requests:

- Bureau of Engraving and Printing
- Bureau of Public Debt
- Office of the Comptroller of the Currency

No backlog of Appeals:

- Bureau of Engraving and Printing
- Bureau of Public Debt
- Office of Thrift Supervision
- Alcohol and Tobacco Tax and Trade
- Financial Management Service