

**Exhibit 300 (BY2009)**

<b>PART ONE</b>	
<b>OVERVIEW</b>	
<b>1. Date of Submission:</b>	2007-06-01
<b>2. Agency:</b>	015
<b>3. Bureau:</b>	35
<b>4. Name of this Capital Asset:</b>	Oracle e-Business Suites
<b>5. Unique Project Identifier:</b>	015-35-01-01-01-1171-24
6. What kind of investment will this be in FY2009?	
Mixed Life Cycle	
7. What was the first budget year this investment was submitted to OMB?	
FY2003	
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	
<p>Oracle e-Business Suite is a web-enabled COTS application providing an integrated accounting, budgeting, and reporting system comprising multiple subsystems. The system enables financial management of budget execution, purchasing, accounts payable, accounts receivable, disbursements, fixed assets, and inventory and order management. Functions in Oracle are accounted for on a general ledger double entry accounting basis and allow for management reporting in addition to required external reporting. Oracle was deployed into production in October 2002 and has been integrated with many key feeder systems. This investment closes a performance gap by allowing Public Debt to fulfill customer expectations through: the production of accurate and timely information to support operating, budget, and policy decisions; improved customer service to meet the needs of other government agencies and thus allowing those agencies to focus on mission-related activities; and improved clarity, utility, and availability of Federal financial information. Public Debt is a shared service provider for the FMLoB. Oracle is self-funded and not supported by any appropriated dollars.</p>	
9. Did the Agency's Executive/Investment Committee approve this request?	
Yes	
9.a. If "Yes," what was the date of this approval?	
2007-08-16	
10. Did the Project Manager review this Exhibit?	
Yes	
11. Project Manager Name:	
Project Manager Phone:	
Project Manager Email:	
11.a. What is the current FAC-P/PM certification level of the project/program manager?	
Senior/Expert-level	
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.	
Yes	
12.a. Will this investment include electronic assets (including computers)?	
Yes	
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	

No	
13. Does this investment directly support one of the PMA initiatives?	
Yes	
If Yes, select the initiatives that apply:	
Expanded E-Government	
13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)	
Oracle e-Business Suite is a web-enabled COTS application providing an integrated accounting, budgeting, and reporting system comprising multiple subsystems. Oracle is an OMB-designated Shared Service Provider under the FMLoB. This designation allows BPD to provide FM services to agencies across the Federal government. In receiving this designation, BPD was required to meet stringent FM standards allowing us to provide excellent service to the agencies.	
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?	
Yes	
14.a. If Yes, does this investment address a weakness found during the PART review?	
No	
14.b. If Yes, what is the name of the PARTed program?	
Administering the Public Debt	
14.c. If Yes, what rating did the PART receive?	
Effective	
15. Is this investment for information technology?	
Yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 2	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2007 agency high risk report (per OMB memorandum M-05-23)?	
Yes	
19. Is this a financial management system?	
Yes	
19.a. If Yes, does this investment address a FFIA compliance area?	
Yes	
19.a.1. If Yes, which compliance area:	
Financial System Requirements, Federal Accounting Standards, and Standard General Ledger at the transaction level.	
19.b. If Yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.	
System Name: Oracle Federal Financials System Acronym: Oracle-FF	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	
<b>Hardware</b>	1
<b>Software</b>	8
<b>Services</b>	80
<b>Other</b>	11
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	
n/a	
22. Contact information of individual responsible for privacy related questions.	

Name

Send FOIA requests to the following address:  
Division of Administrative Services  
Avery 4A, Disclosure Officer  
Department of the Treasury  
Bureau of the Public Debt  
200 Third Street  
Parkersburg, WV 26106-5312  
Fax request to: 304-480-8188

Phone Number

Title

Email

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

24. Does this investment directly support one of the GAO High Risk Areas?

No

### SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
<b>Planning Budgetary Resources</b>	0.000	0.000	0.000
<b>Acquisition Budgetary Resources</b>	0.000	0.000	0.327
<b>Maintenance Budgetary Resources</b>	1.750	0.970	4.716
<b>Government FTE Cost</b>	8.910	3.000	13.207
<b># of FTEs</b>	30	35	40

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

Yes

2.a. If "Yes," how many and in what year?

FY2008 = 5 FY2009 = 5

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes.

N/A

## PERFORMANCE

*In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.*

*Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at [www.egov.gov](http://www.egov.gov). The table can be extended to include performance measures for years beyond FY 2009.*

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2003	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Accounting	(1) One financial audit using Oracle Financials resulting in no material weaknesses. (2) Maintain monthly closings within 3 days. (3) Increase the number of agencies using the system. (4) Maintain or reduce the annual service cost per system user.	(1) No financial audits conducted on agencies using Oracle Financials. (2) Monthly closings within 3 days. (3) 5 agencies using Oracle Federal Financials. (4) Actual annual cost per user was \$28,150.	(1) Annually, track external audit findings. (2) Monthly, track timeliness of month-end closings. (3) Annually, track agencies using Oracle. (4) Annually, calculate average cost per user to measure cost effectiveness of shared service arrangement.	(1) One financial statement audit conducted with no internal control findings. (2) Month-end closings were within 3 days, except at year-end. (3) Number of agencies using Oracle is 11. (4) Average annual cost per user was estimated at \$3,560.
2	2004	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Accounting	(1) 3 additional financial audits using Oracle Financials resulting in no material weaknesses. (2) Monthly closings within 3 days. (3) Increase the number of agencies using the system. (4) Maintain or reduce the annual service cost per system user.	(1) One financial audit conducted on agencies using Oracle Financials, with no material weaknesses. (2) Monthly closings within 3 days. (3) 11 agencies implemented on Oracle Federal Financials. (4) Actual annual cost	(1) Annually, track external audit findings. (2) Monthly, track timeliness of month-end closings. (3) Annually, track agencies using Oracle. (4) Annually, calculate average cost per user to measure cost effectiveness of shared service arrangement.	(1) 11 financial statement audits and SAS70 Review with no internal control findings. (2) Month-end closings within 3 days, except at year-end. (3) Number of agencies using Oracle is 18. (4) Average annual cost per user

						per user of \$3,560.		estimated at \$2,740.
3	2005	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	Monthly closings within 3 days.	Monthly closings within 3 days.	Monthly, track the timeliness of month-end closings.	Monthly closings were performed within 3 days, except at year-end.
4	2005	Manage the U.S. Government's Finances Effectively	Processes and Activities	Participation	Increase by six (6) the number of agencies using the new system.	17 agencies implemented on Oracle Federal Financials.	Annually, track the number of agencies implemented on Oracle Financials.	Total of 28 agencies implemented on Oracle Federal Financials as of 09/30/05.
5	2006	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	28 closings each month within 3 days.	26 closings each month within 3 days.	Maintain the timeliness of monthly closing; currently tracking closings for 28 customers	As of 9/30/2006 we are performing 26 closings in 3 days.
6	2006	Manage the U.S. Government's Finances Effectively	Processes and Activities	Participation	Increase by one (1) the number of agencies using the new system.	Over 28 agencies implemented on Oracle Federal Financials.	Annually, track the number of agencies implemented on Oracle Financials.	Total of 31 agencies implemented on Oracle Federal Financials as of 9/30/2006.
7	2007	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	Number of closings each month within 3 days.	28	31	31
8	2007	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Accounting	Percentage of financial audits resulting in no material weaknesses for Public Debt.	95	95	100
9	2008	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	Number of closings each month within 3 days	28	31	-
10	2008	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Accounting	Percentage of financial audits resulting in no material weaknesses for Public Debt.	95	95	-
11	2009	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	Number of closings each month within 3 days.	28	31	

12	2009	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Accounting	Percentage of financial audits resulting in no material weaknesses for Public Debt.	95	95	
13	2006	Manage the U.S. Government's Finances Effectively	Customer Results	Customer Satisfaction	Customer satisfaction rating of excellent and good in 90% range for all responses.	No baseline	Annually, track customer satisfaction through a survey	Customer satisfaction rating of 86% in the excellent and good range for all responses.
14	2007	Manage the U.S. Government's Finances Effectively	Customer Results	Response Time	Average call response time (seconds) for system support.	40	40	6.9
15	2008	Manage the U.S. Government's Finances Effectively	Customer Results	Response Time	Average call response time (seconds) for system support.	10	10	
16	2009	Manage the U.S. Government's Finances Effectively	Customer Results	Response Time	Average call response time (seconds) for system support.	10	10	
17	2007	Manage the U.S. Government's Finances Effectively	Technology	Availability	Percentage of system availability per quarter	99.0	99.0	99.9
18	2008	Manage the U.S. Government's Finances Effectively	Technology	Availability	Percentage of system availability per quarter	99.0	99.0	
19	2009	Manage the U.S. Government's Finances Effectively	Technology	Availability	Percentage of system availability per quarter	99.0	99.0	

**EA**

*In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.*

1. Is this investment included in your agency's target enterprise architecture?

Yes

2. Is this investment included in the agency's EA Transition Strategy?

Yes

2.a. If Yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Oracle e-Business Suite

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

No

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer Yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Discoverer	Ad hoc reporting capability.	Reporting	Ad Hoc			No Reuse	5
2	Discoverer	Standard reporting capability.	Reporting	Standardized / Canned			No Reuse	5
3	Inventory Management	Inventory control.	Asset / Materials Management	Asset Cataloging / Identification			No Reuse	5
4	Order Management	Asset and liability management.	Asset / Materials Management	Asset Transfer, Allocation, and Maintenance			No Reuse	5
5	GL	Flexible account structure for internal costing needs.	Financial Management	Activity-Based Management			No Reuse	5
6	Discoverer	Open detail record reports; GL detail reports.	Financial Management	Auditing			No Reuse	5
7	GL	General ledger.	Financial Management	Billing and Accounting			No Reuse	20
8	CitiDirect Interface	Purchase card system.	Financial Management	Credit / Charge			No Reuse	5
9	Accounts Receivable	Accounts receivable.	Financial Management	Debt Collection			No Reuse	5
10	Accounts Payable	Accounts Payable.	Financial Management	Expense Management			No Reuse	10
11	Discoverer	Standard reports and ad-hoc reporting capability.	Financial Management	Internal Controls			No Reuse	5
12	SPS Integration	Interface and EFT payment	Financial Management	Payment / Settlement			No Reuse	5

		capability.						
13	EOR	Payroll	Financial Management	Payroll			No Reuse	20

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Billing and Accounting	Service Access and Delivery	Access Channels	Web Browser	
2	Ad Hoc	Service Access and Delivery	Access Channels	Wireless / PDA	
3	Internal Controls	Service Access and Delivery	Service Requirements	Legislative / Compliance	
4	Billing and Accounting	Service Access and Delivery	Service Requirements	Hosting	
5	Billing and Accounting	Service Access and Delivery	Service Transport	Service Transport	
6	Activity-Based Management	Service Platform and Infrastructure	Support Platforms	Platform Independent	
7	Billing and Accounting	Service Platform and Infrastructure	Delivery Servers	Web Servers	
8	Asset Transfer, Allocation, and Maintenance	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	
9	Asset Transfer, Allocation, and Maintenance	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
10	Billing and Accounting	Service Platform and Infrastructure	Database / Storage	Database	
11	Payment / Settlement	Service Platform and Infrastructure	Database / Storage	Storage	
12	Billing and Accounting	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
13	Property / Asset Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	
14	Expense Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	
15	Billing and Accounting	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
16	Billing and Accounting	Component Framework	Security	Certificates / Digital Signatures	
17	Standardized / Canned	Component Framework	Presentation / Interface	Dynamic Server-Side Display	

18	Credit / Charge	Component Framework	Presentation / Interface	Content Rendering	
19	Auditing	Component Framework	Business Logic	Platform Independent	
20	Debt Collection	Component Framework	Business Logic	Platform Dependent	
21	Billing and Accounting	Component Framework	Data Management	Database Connectivity	
22	Payroll	Service Interface and Integration	Interface	Service Description / Interface	

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

## PART TWO

### RISK

*You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.*

*Answer the following questions to describe how you are managing investment risks.*

1. Does the investment have a Risk Management Plan?

Yes

1.a. If Yes, what is the date of the plan?

2007-10-16

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Oracle's life-cycle cost have been cost and scheduled adjusted to mitigate the impact of all risks identified. While minimal, the risks associated with Oracle's Operations and Maintenance component are well known, continuously monitored, and factored into the lifecycle costs and schedule via the project plan and existing milestone plan. Life-cycle cost estimates take investment risks into consideration by reflecting activity to control costs in a variety of areas: establishing blanket purchase agreements (BPAs) for software license and maintenance purchases for controlled costs over time; continuous monitoring of FTEs in support of investment and customer activity; and training, development and retention of in-house support staff. Recognizing on-going efforts of patching controls schedule risks and custom extension development and establishing DME milestones date that reflect this on-going activity. By incorporating flexibility into the start and end dates of milestones and corresponding planned costs of the investment DME milestones, Oracle's investment risks are cost and schedule adjusted and reflected for the project life-cycle. For more detailed risk information, please see the Oracle e-Business Suite Risk Management Plan.

### COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

No

2. Is the CV% or SV% greater than  $\hat{A} \pm 10\%$ ?

No

3. Has the investment re-baselined during the past fiscal year?

Yes

3.a. If Yes, when was it approved by the agency head?

2007-07-05