

Exhibit 300 (BY2009)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-08-10
2. Agency:	015
3. Bureau:	10
4. Name of this Capital Asset:	Government-Wide Accounting and Reporting Modernization (GWA)
5. Unique Project Identifier:	015-10-01-14-01-1320-00
<i>6. What kind of investment will this be in FY2009?</i>	
Mixed Life Cycle	
<i>7. What was the first budget year this investment was submitted to OMB?</i>	
FY2001 or earlier	
<i>8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.</i>	
<p>The Government-Wide Accounting and Reporting Modernization Project (GWA Project) modernizes GWA and subsumed nine Government On-line Accounting Link System II (GOALS II) applications infused with the latest technology and security capabilities to improve performance. The GWA project is aimed to achieve increased efficiencies in the collection and dissemination of financial information to and from Federal Program Agencies (FPA) and eliminates redundant reporting and reconciliation efforts. GWA provides over 4,500 worldwide users with central accounting and reporting functions and processes associated with budget execution, accountability, cash, and other asset management. Business processes within Financial Management Service (FMS) include: 1. Ledger accounting for the Fund Balance of each appropriation, fund, and receipt account with the Department of Treasury. 2. General Ledger accounting for the cash and monetary assets of the United States Government. 3. Preparation of the Congressionally mandated Monthly Treasury Statement (MTS), and the Combined Statement of Receipts, Outlays, and Balances of the United States Government (Combined Statement) The combined strength of the modernized GWA and GOALS II system applications will serve to close identified agency performance gaps and serve to: 1. Improve timeliness and accuracy of collected financial information to allow thorough financial analysis and result in increased reliability of financial information to support decision-makers 2. Improve the reliability, timeliness, and usefulness of Government wide budgetary information and support management of resources at Federal Government central and FPA 3. Streamline reporting and reduce the reconciliation burden on Federal Government central and FPA 4. Significantly redesign FPA processes used to submit, receive, and reconcile payments to FMS 5. Reduce the labor burden of FPA and FMS by increasing efficiencies associated with the transfer of financial data to FMS 6. Provide detailed transaction information on near-real-time basis 7. Reduce redundant reporting of financial information The GWA Project is in direct alignment with the President's Management Agenda (PMA) and E-Government initiatives, and fully supports the Strategic Goals, Objectives, and Strategies of Treasury and FMS.</p>	
<i>9. Did the Agency's Executive/Investment Committee approve this request?</i>	
yes	
<i>9.a. If "yes," what was the date of this approval?</i>	
2007-08-16	
<i>10. Did the Project Manager review this Exhibit?</i>	
yes	
<i>11. Project Manager Name:</i>	
Jansohn, Cindi	
<i>Project Manager Phone:</i>	
<i>Project Manager Email:</i>	
<i>11.a. What is the current FAC-P/PM certification level of the project/program manager?</i>	

TBD
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
yes
12.a. Will this investment include electronic assets (including computers)?
yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
no
13. Does this investment directly support one of the PMA initiatives?
yes
If yes, select the initiatives that apply:
Expanded E-Government
Financial Performance
13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)
Improved Financial Performance by re-engineering reporting processes to reduce errors, and accelerate monthly, quarterly, and annual financial reporting, while offering dynamic access to near-real time financial information. Expanded Electronic Government by replacing paper-based Government to Government transactions with highly accessible, web-based transactions and reports. Also, GWA leverages COTS tools, and promotes data and code reuse, in addition to complying with GPEA requirements.
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?
yes
14.a. If yes, does this investment address a weakness found during the PART review?
no
14.b. If yes, what is the name of the PARTed program?
Financial Management Service Payments
14.c. If yes, what rating did the PART receive?
Effective
15. Is this investment for information technology?
yes
16. What is the level of the IT Project (per CIO Council's PM Guidance)?
Level 3
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)
(1) Project manager has been validated as qualified for this investment
18. Is this investment identified as high risk on the Q4 - FY 2007 agency high risk report (per OMB memorandum M-05-23)?
yes
19. Is this a financial management system?
yes
19.a. If yes, does this investment address a FFMI compliance area?
yes
19.a.1. If yes, which compliance area:
Financial Systems Regulation and Accounting Standards
19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.
Government-wide Accounting GWA Modernization (GWA), Central Accounting System (STAR), CA\$H TRACK , Federal Agencies Centralized Trial-Balance System I (FACTS I), Federal Agencies Centralized Trial-Balance System II (FACTS II),

Government-wide Financial Report System (GFRS), Intra-governmental Fiduciary Confirmation System (IFCS), GWA Production (GWA PROD).

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware	3
Software	11
Services	82
Other	4

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

n/a

22. Contact information of individual responsible for privacy related questions.

Name

Phone Number

Title

Email

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

24. Does this investment directly support one of the GAO High Risk Areas?

no

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
Planning Budgetary Resources	1.718	0.329	0.000
Acquisition Budgetary Resources	61.060	5.651	6.212
Maintenance Budgetary Resources	22.441	6.524	7.057
Government FTE Cost	29.282	9.460	5.492
# of FTEs	116	43	41

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2005	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	Increase FPA users accessing GWA Account Statements module via the Web by 34 to streamline accessibility and improve financial management capability. Users can obtain statements on demand without requiring the use of Government FTEs or contractors	136 FPA users access GWA Account Statements module via the Web.	170 FPA users will access GWA Account Statements module via the Web by 9/30/05. Samples taken quarterly.	546 FPA users access GWA Account Statements module via the Web to date. Actual performance results exceeded the 2005 performance goal.
2	2005	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	Increase STAR source systems reporting directly through CAFE by 19 to expand electronic Government and improve financial management effectiveness by eliminating redundant reporting and improving timeliness of reporting.	0 STAR source systems report directly through CAFE.	19 STAR source systems will report directly through CAFE by 9/30/06. Samples are taken quarterly.	19 STAR source systems are reporting directly through CAFE by 9/30/06. Achieved the 2005 performance goal.

3	2006	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	Increase FPA users accessing GWA Account Statements module via the Web by 34 to streamline accessibility and improve financial management capability. Users can obtain statements on demand without requiring the use of Government FTEs or contractors	546 FPA users access GWA Account Statements module via the Web.	850 FPA users will access GWA Account Statements module via the Web.	As of 06/30/06, 750 users access GWA Account Statements module via the Web. Actual performance results are on track to achieve the 2006 planned performance goal.
4	2006	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	Increase STAR source systems reporting directly through CAFE to 21 to expand electronic Government and improve financial management effectiveness by eliminating redundant reporting and improving timeliness of reporting.	19 STAR source systems report directly through CAFE.	21 STAR source systems report transactions directly through GWA.	As of 06/30/06, 19 STAR source systems report directly through CAFE. On track to achieve 2006 performance goal.
5	2006	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Establish 4 pilot IPAC agencies reporting transactions directly through GWA to provide timely and accurate payments.	0 IPAC agencies report transactions directly through GWA.	4 pilot IPAC agencies will report transactions directly through GWA.	As of 06/30/06, no pilot IPAC agencies are reporting transactions directly through GWA. On track to achieve the 2006 performance goal.
6	2006	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Reduce GWA user enrollment and re-certification processing time to two weeks to increase	Manual GWA user enrollments and re-certifications require four weeks to process.	Reduce GWA user enrollment and re-certification time from four weeks to two weeks.	As of 06/30/06, GWA user enrollment and re-certification time has been

					Government efficiency.			reduced from four weeks to two weeks. The 2006 performance goal has been achieved.
7	2007	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	Number of ALCs submitting Statement of Transactions via Partial 224 (to eliminate redundant reporting and improve timeliness of reporting).	0	Increase the number of ALCs submitting Statement of Transactions via Partial 224 by 10%.	As of 9/30/07 the number of ALCs submitting Statements of Transactions via Partial 224 is 5 per month, a 500% increase.
8	2007	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Number of transactions being classified and submitted on a daily basis (to eliminate redundant reporting and improve timeliness of reporting).	0	Increase the average number of transactions being classified and submitted on a daily basis by 10%.	As of 9/30/07 the average number of transactions being classified on a daily basis is 368,860 a 368% increase per day.
9	2007	Manage the U.S. Government's Finances Effectively	Customer Results	New Customers and Market Penetration	Number of ALCs reporting to GWA using GWA Accounting Classification and receiving enhanced accounting detail.	4 ALCs	Increase the number of ALCs reporting to GWA by 10% percent.	As of 9/30/07 the number of ALCs reporting to GWA was 5, a 25% increase
10	2007	Manage the U.S. Government's Finances Effectively	Technology	Reliability	Number of problems reported for the GWA system via the TWAI Incident Report Log.	214 problems were reported in the 4th quarter of 2006 for the GWA system via the TWAI Incident Report Log.	Decrease the number of problems reported for the GWA system by 1.5% quarterly.	As of 9/30/07 the number of problems reported for GWA during the first 3 quarters of 2007 was 407 or an average of 102 per quarter, a 47.6% decrease.
11	2008	Manage the U.S. Government's Finances	Mission and Business Results	Reporting and Information	Number of ALCs submitting Statement of	5	Increase the number of ALCs submitting	

		Effectively			Transactions via Partial 224 (to eliminate redundant reporting and improve timeliness of reporting).		Statement of Transactions via Partial 224 by 100% to 10.	
12	2008	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Number of transactions being classified and submitted on a daily basis (to eliminate redundant reporting and improve timeliness of reporting).	369	Increase the average number of transactions being classified and submitted on a daily basis by 10% to 406	
13	2008	Manage the U.S. Government's Finances Effectively	Customer Results	New Customers and Market Penetration	Number of ALCs reporting to GWA using GWA Accounting Classification and receiving enhanced accounting detail.	5	Increase the number of ALCs reporting to GWA by 100% percent to 10.	
14	2008	Manage the U.S. Government's Finances Effectively	Technology	Reliability	Number of problems reported for the GWA system.	69	Decrease the number of problems reported for the GWA system by 1.5% quarterly to 67.97.	
15	2009	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	Number of ALCs submitting Statement of Transactions via Partial 224 (to eliminate redundant reporting and improve timeliness of reporting).	TBD	Increase the number of ALCs submitting Statement of Transactions via Partial 224 by 10%.	
16	2009	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Number of transactions being classified and submitted on a daily basis (to eliminate redundant reporting and improve timeliness of reporting).	TBD	Increase the average number of transactions being classified and submitted on a daily by 10%.	
17	2009	Manage the U.S.	Customer Results	New Customers and	Number of ALCs reporting	TBD	Increase the number of	

		Government's Finances Effectively		Market Penetration	to GWA using GWA Accounting Classification and receiving enhanced accounting detail.		ALCs reporting to GWA by 10% percent.	
18	2009	Manage the U.S. Government's Finances Effectively	Technology	Reliability	Number of problems reported for the GWA system.	TBD	Decrease the number of problems reported for the GWA system by 1.5% quarterly.	
19	2010	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	Number of ALCs submitting Statement of Transactions via Partial 224 (to eliminate redundant reporting and improve timeliness of reporting).	TBD	Increase the number of ALCs submitting Statement of Transactions via Partial 224 by 10%.	
20	2010	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Number of transactions being classified and submitted on a daily basis (to eliminate redundant reporting and improve timeliness of reporting).	TBD	Increase the average number of transactions being classified and submitted on a daily by 10%.	
21	2010	Manage the U.S. Government's Finances Effectively	Customer Results	New Customers and Market Penetration	Number of ALCs reporting to GWA using GWA Accounting Classification and receiving enhanced accounting detail.	TBD	Increase the number of ALCs reporting to GWA by 10% percent.	
22	2010	Manage the U.S. Government's Finances Effectively	Technology	Reliability	Number of problems reported for the GWA system.	TBD	Decrease the number of problems reported for the GWA system by 1.5% quarterly.	
23	2011	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	Number of ALCs submitting Statement of Transactions	TBD	Increase the number of ALCs submitting Statement of	

					via Partial 224 (to eliminate redundant reporting and improve timeliness of reporting).		Transactions via Partial 224 by 10%.	
24	2011	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Number of transactions being classified and submitted on a daily basis (to eliminate redundant reporting and improve timeliness of reporting).	TBD	Increase the average number of transactions being classified and submitted on a daily by 10%.	
25	2011	Manage the U.S. Government's Finances Effectively	Customer Results	New Customers and Market Penetration	Number of ALCs reporting to GWA using GWA Accounting Classification and receiving enhanced accounting detail.	TBD	Increase the number of ALCs reporting to GWA by 10% percent.	
26	2011	Manage the U.S. Government's Finances Effectively	Technology	Reliability	Number of problems reported for the GWA system.	TBD	Decrease the number of problems reported for the GWA system by 1.5% quarterly.	

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Government-Wide Accounting and Reporting Modernization (GWA Project)

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

yes

3.a. If yes, provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

Central Fiscal Operations Segment Architecture Roadmap (FMS)

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Data Exchange	Dynamic data interchange, transfer, extraction, and dissemination.	Data Management	Data Exchange			No Reuse	5
2	Billing and Accounting	Charging, collection, and reporting of FMS Accounts.	Financial Management	Billing and Accounting			No Reuse	5
3	Loading and Archiving	Population of FMS data sources with external data.	Data Management	Loading and Archiving			No Reuse	5
4	Internal Controls	FMS methods and procedures to safeguard assets, produce accurate accounting data and reports, contribute to efficient operations, and encourage staff to adhere to management policies and mission requirements.	Financial Management	Internal Controls			No Reuse	10
5	Standard/Canned	FMS use of pre-conceived or pre-written reports.	Reporting	Standardized / Canned			No Reuse	10
6	Information Retrieval	Access to data and information for use within organization.	Knowledge Management	Information Retrieval			No Reuse	5
7	Information Sharing	Use of documents within a multi-user environment.	Knowledge Management	Information Sharing			No Reuse	5
8	Access Control	FMS management of permissions for logging onto computers and the network.	Security Management	Access Control			No Reuse	10

9	Document Library	FMS grouping and archiving of files and records on a server.	Collaboration	Document Library			No Reuse	5
10	Query	FMS retrieval of records that satisfies specific selection criteria.	Search	Query			No Reuse	5
11	Data Integration	Normalization and assimilation of legacy, disparate, cross-platform, and external data and information.	Development and Integration	Data Integration			No Reuse	10
12	Software Development	Application design, development, quality assurance, and implementation.	Development and Integration	Software Development			No Reuse	10
13	Intrusion Detection	Threat detection, elimination, and reporting.	Business Intelligence	Decision Support and Planning			No Reuse	5
14	Data Mart	Web accessible data storage, maintenance, availability, and integrity.	Data Management	Data Mart			No Reuse	10

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Software Development	Component Framework	Business Logic	Platform Dependent	
2	Software Development	Component Framework	Business Logic	Platform Independent	
3	Data Exchange	Component Framework	Data Management	Database Connectivity	
4	Software Development	Component Framework	Presentation / Interface	Content Rendering	
5	Software Development	Component Framework	Presentation / Interface	Dynamic Server-Side Display	
6	Access Control	Component Framework	Security	Supporting Security Services	
7	Access Control	Service Access and Delivery	Access Channels	Other Electronic Channels	

8	Data Exchange	Service Access and Delivery	Service Requirements	Legislative / Compliance	
9	Data Exchange	Service Access and Delivery	Service Transport	Service Transport	
10	Decision Support and Planning	Service Access and Delivery	Service Transport	Supporting Network Services	
11	Data Integration	Service Interface and Integration	Integration	Middleware	
12	Data Mart	Service Platform and Infrastructure	Database / Storage	Database	
13	Data Mart	Service Platform and Infrastructure	Database / Storage	Storage	
14	Software Development	Service Platform and Infrastructure	Delivery Servers	Application Servers	
15	Software Development	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	
16	Software Development	Service Platform and Infrastructure	Software Engineering	Modeling	
17	Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
18	Intrusion Detection	Service Platform and Infrastructure	Support Platforms	Platform Dependent	
19	Software Development	Component Framework	Business Logic	Platform Independent	
20	Data Exchange	Component Framework	Data Management	Database Connectivity	
21	Software Development	Component Framework	Presentation / Interface	Dynamic Server-Side Display	
22	Access Control	Service Access and Delivery	Access Channels	Other Electronic Channels	
23	Software Development	Service Platform and Infrastructure	Software Engineering	Test Management	
24	Data Integration	Service Interface and Integration	Integration	Middleware	
25	Data Mart	Service Platform and Infrastructure	Database / Storage	Database	
26	Software Development	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	
27	Billing and Accounting	Component Framework	Business Logic	Platform Independent	
28	Billing and Accounting	Component Framework	Business Logic	Platform Dependent	
29	Billing and Accounting	Component Framework	Business Logic	Platform Dependent	
30	Loading and Archiving	Service Platform and Infrastructure	Database / Storage	Database	
31	Loading and	Service Platform and	Database /	Database	

	Archiving	Infrastructure	Storage		
32	Internal Controls	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
33	Internal Controls	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	
34	Standardized / Canned	Component Framework	Business Logic	Platform Independent	
35	Standardized / Canned	Component Framework	Business Logic	Platform Dependent	
36	Standardized / Canned	Component Framework	Business Logic	Platform Dependent	
37	Document Library	Service Platform and Infrastructure	Delivery Servers	Web Servers	
38	Query	Component Framework	Business Logic	Platform Dependent	
39	Query	Service Platform and Infrastructure	Support Platforms	Platform Dependent	
40	Information Retrieval	Component Framework	Data Management	Reporting and Analysis	
41	Information Retrieval	Service Interface and Integration	Integration	Middleware	
42	Information Sharing	Service Access and Delivery	Access Channels	Web Browser	
43	Information Sharing	Service Access and Delivery	Access Channels	Web Browser	

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

yes

6.a. If yes, please describe.

Data and information provided by Pay.Gov is fed into GWA and used for analysis and reporting. In addition, the GWA Project is assessing the feasibility of a Central Repository System to collect, manage, and disseminate payment and collection data across the Government.

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2007-06-30

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

yes

1.c. If yes, describe any significant changes:

The GWA Project has developed a formal risk management process that uses a Risk Management database to track and manage risks as well as an electronic form for identifying, categorizing and prioritizing risks as they are introduced into

the environment. The Risk Management database was developed to provide GWA Management a tool to easily and accurately manage and mitigate emerging risks. The database includes a reporting feature to identify, prioritize, assign and mitigate risk in a timely fashion. The Risk Management electronic form provides a mechanism for all team members to participate in identifying any risk that they feel may threaten the success of the GWA Project. The form is completed and submitted to GWA Management for review/approval, prioritization, and assignment. A mitigation due date is also assigned. In addition, the GWA Project has assigned a Risk Manager to coordinate and manage the Risk Management process on a daily basis. Quarterly review meeting have also been established during which GWA Management will review all identified risks to date and also anticipate and capture any new or emerging risks.

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Investment risks are reflected in the investment schedule by identifying potential roadblocks and resource issues early in the planning stage. Quantitative methods (e.g., Delphi method and historical estimates) are used to provide improved estimates for the work required, thereby reducing inherent schedule risk. In addition, the GWAMP development partners (NY FRB) are in the process of implementing Technical Project Management and Capability Maturity Model (CMM) methodologies, both of which seek to provide quantifiable measures for the impact of risk. GWAMP dedicates 50% of an FTE to managing the Risk Management process. Because cost is a fixed parameter and management reserves are not used, risk mitigations are accomplished in terms of changes to time and scope (not cost in dollars).

COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

no

2. Is the CV% or SV% greater than $\hat{A} \pm 10\%$?

yes

2.a. If yes, was it the?

CV

2.b. If yes, explain the variance.

GWA has experienced a significant scope change as a result of the publication of OMB's Common Government-wide Accounting Classification Structure (CGAC) initiative. GWA was in the process of: 1) migrating federal program agencies to report to GWA using the GWA Treasury Account Symbol (TAS); 2) converting existing legacy transaction data to the GWA database; and 3) implementing the GWA TAS in the agency account statement. GWA, government financial software vendors, and agencies that were originally scheduled to implement GWA's Treasury Account Symbol (TAS) and become participants in GWA are now in the process of implementing the CGAC TAS and modernizing their financial systems. The CGAC initiative has resulted in significant changes to the GWA account structure, database, and interfaces as well as significant changes to the agencies' systems. This scope change is causing cost variances for the investment to exceed the +/-10% range.

2.c. If yes, what corrective actions are being taken?

The GWA project implemented a baseline change request for FY08 and beyond that redefined some milestones and closed or reallocated resources of other milestones to implement the new priorities of the project, reduce overall project resources, and consolidate project activities to implement a single release of the account statement and posting logic

3. Has the investment re-baselined during the past fiscal year?

no