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14	Attorneys for Plaintiff	
15	United States of America	
16	UNITED STATES DIST	TRICT COURT
17	NORTHERN DISTRICT (OF CALIFORNIA
	UNITED STATES OF AMERICA,	Civil No. C 07-5172 JSW
18	Plaintiff,	IN ADMIRALTY
19	v.)	
20) MARITIME LOGISTICS, INC., ALAN)	CONSENT DECREE
	CARPENTER and TRACY RAGSDALE) CARPENTER, in personam, and M/V MICHAEL)	·····
22	UHL, her engines, apparel, electronics, tackle, boats,) appurtenances, etc., in rem,	
23	Defendants.	
24)	
25		
26		
27		
28	CONSENT DECREE – No. C 07-5172 JSW	· · · · · · · · · · · · · · · · · · ·

 I JOSEPH A. WALSH II Keesal, Young & Logan 400 Occangua P.O. Box 1730 I Jong Beach, CA 90801-1730 Tal: 562-436-2000 Fax: 436-7416 ince.waish@kxl.com 6 MARILYN RAIA Bulivam Houser Bailey, PC 60 California St, F18 San Francisco CA 94108-2823 Tet: 415-352-2700 Fax: 415-352-2701 mailyn.raia@bulliyant.com 10 Attomeys for Defendants MARITIME LOGISTICS, INC. , in personam, and M/V MICHAEL UHL, in rem 11 12 ALAN NAKAZAWA Cogswell Nakazawa & Chang Cogswell Nakazawa & Chang Cacoan Bivd., Suite 1250 Long Baech, CA 90802-4449 Tel: 562-951-8638 Fax: 562-951-8033 aian nakazawa@cnne-law.com 17 ROBERT J. WOLFE Engstrom, Lipscomb & Lack 10100 Santa Monica Bivd., 16th Floor Los Angeles, CA 90067 Tel: 510-552-3800 Fax: 310-552-9434 byolfe@illaw.com 21 Attomeys for Defendants ALAN CARPENTER and TACY RAGSDALE CARPENTER, in personam 23 24 // 25 // 26 27 27 28 CONSENT DECREE – No. C 07-5172 JSW 		Case 3:07-cv-05172-JSW	Document 3-2	Filed 10/11/2007	Page 2 of 20
Bullivant Houser Bailey, PC 601 California St, Fl 18 San Francisco CA 94108-2823 8 Tei: 415-352-2700 Fax: 415-352-2701 marilyn.raia@bullivant.com 10 Attorneys for Defendants MARITIME LOGISTICS, INC. , in personam, and M/V MICHAEL UHL, in rem 11 12 13 ALAN NAKAZAWA Cogswell Nakazawa & Chang 14 444 Ocean Blvd., Suite 1250 Long Beach, CA 90802-4449 15 Tei: 562-951-8668 Fax: 562-951-3933 alan.nakazawa@cnc-law.com 17 ROBERT J. WOLFE 18 Engstrom, Lipscomb & Lack 10100 Santa Monica Blvd., 16th Floor 12 Los Angeles, CA 90067 13 Tei: 510-552-9434 bwolfe@celllaw.com 21 Atomeys for Defendants ALAN CARPENTER and 23 Atomeys for Defendants ALAN CARPENTER and 24 // 25 // 26 // 27 //	2 3 4	Keesal, Young & Logan 400 Oceangate P.O. Box 1730 Long Beach, CA 90801-1730 Tel: 562-436-2000 Fax: 436-7416		•	
and M/V MICHAEL UHL, <i>in rem</i> 11 12 13 ALAN NAKAZAWA Cogswell Nakazawa & Chang 14 444 Ocean Blvd., Suite 1250 Long Beach, CA 90802-4449 15 Tel: 562-951-8668 Fax: 562-951-3933 alan.nakazawa@cnc-law.com 17 ROBERT J. WOLFE 18 Engstrom, Lipscomb & Lack 10100 Santa Monica Blvd., 16th Floor 12 Los Angeles, CA 90067 Tel: 310-552-3800 20 Fax: 310-552-3800 20 Fax: 310-552-9434 bwolfe@elllaw.com 21 22 23 24 25 26 27 26 27 27	6 7 8	Bullivant Houser Bailey, PC 601 California St, Fl 18 San Francisco CA 94108-2823 Tel: 415-352-2700 Fax: 415-352-2701			
Cogswell Nakazawa & Chang 444 Ocean Blvd., Suite 1250 Long Beach, CA 90802-4449 15 Tel: 562-951-8668 Fax: 562-951-3933 alan.nakazawa@cnc-law.com 17 ROBERT J. WOLFE 18 Engstrom, Lipscomb & Lack 10100 Santa Monica Blvd., 16th Floor 19 Los Angeles, CA 90067 Tel: 310-552-3800 20 Fax: 310-552-9434 bwolfe@elllaw.com 21 22 Attorneys for Defendants ALAN CARPENTER and TRACY RAGSDALE CARPENTER, <i>in personam</i> 23 24 // 25 // 26 // 27 //	11	Attorneys for Defendants MARITIN and M/V MICHAEL UHL, <i>in rem</i>	AE LOGISTICS, IN	C. , in personam,	
 ROBERT J. WOLFE Engstrom, Lipscomb & Lack 10100 Santa Monica Blvd., 16th Floor Los Angeles, CA 90067 Tel: 310-552-3800 Fax: 310-552-9434 bwolfe@elllaw.com 21 22 24 Attorneys for Defendants ALAN CARPENTER and TRACY RAGSDALE CARPENTER, <i>in personam</i> 23 24 25 // 26 // 27 //	13 14 15	Cogswell Nakazawa & Chang 444 Ocean Blvd., Suite 1250 Long Beach, CA 90802-4449 Tel: 562-951-8668 Fax: 562-951-3933			
23 TRACY RAGSDALE CARPENTER, in personam 24 // 25 // 26 // 27 //	18 19 20	Engstrom, Lipscomb & Lack 10100 Santa Monica Blvd., 16th Flo Los Angeles, CA 90067 Tel: 310-552-3800 Fax: 310-552-9434	DOL		
25 // 26 // 27 //					
26 // 27 //	24	//			
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		CONSENT DECREE – No. C 07-51	172 JSW		2

I. PARTIES

This Consent Decree is made and entered into by and between Plaintiff, the United States of
America, on behalf of the United States Coast Guard, National Pollution Funds Center, Oil Spill
Liability Trust Fund ("OSLTF"), and the National Oceanic and Atmospheric Administration
("NOAA"); defendant MARITIME LOGISTICS, INC., *in personam*, owner of M/V MICHAEL
UHL; defendant M/V MICHAEL UHL, *in rem*; and defendants ALAN CARPENTER and TRACY
RAGSDALE CARPENTER, *in personam*, owners of P/C ALBION.

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II. INTRODUCTION

9 A. In or about January of 2005, the towboat M/V MICHAEL UHL undertook and 10 commenced the tow of P/C ALBION from a port in California.

B. At all relevant times, defendant MARITIME LOGISTICS, INC., was the owner of
 defendant M/V MICHAEL UHL, and defendants ALAN CARPENTER and TRACY RAGSDALE
 CARPENTER were owners of P/C ALBION.

C. On or about January 30/31, 2005, P/C ALBION, while under tow by M/V MICHAEL
UHL, sank in the waters of the Monterey Bay National Marine Sanctuary ("The Sanctuary"), said
waters comprising waters subject to the National Marine Sanctuaries Act ("NMSA") (formerly the
Marine Protection, Research, and Sanctuaries Act), 16 U.S.C. §§ 1431-45, and also comprising
navigable waters of the United States. The January 30/31, 2005 sinking of P/C ALBION is
hereinafter known as the "Incident."

D. Plaintiff alleges that the Incident posed a substantial threat of discharge of oil and the actual discharge of oil into and upon the navigable waters of the United States, and caused the destruction of and/or caused the loss of and/or caused injury to the Sanctuary and its resources, and posed a potential substantial threat of the release of a hazardous substance into navigable waters of the United States. Plaintiff alleges that the Incident resulted in, *inter alia*, Response Costs and Damages as defined in the Oil Pollution Act of 1990 ("OPA"), 33 U.S.C. § 2701, *et seq.*, the NMSA, and the Comprehensive Environmental Response, Compensation, and Liability Act ("CERCLA"), 42 U.S.C. §§ 9001, *et seq*.

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E. Pursuant to OPA, 33 U.S.C. § 2701, *et seq.*, OPA Responsible Parties concerning,
 inter alia, vessels from which oil is discharged, or which pose the substantial threat of discharge, into
 or upon the navigable waters or adjoining shorelines or the exclusive economic zone of the United
 States, are strictly liable for all Response costs and damages specified in OPA.

F. Pursuant to the NMSA, 16 U.S.C. §§ 1431-45, any person who destroys, causes the
loss of, or injures any sanctuary resources is liable to the United States for Response Costs and
Damages and injunctive relief.

G. Pursuant to CERCLA, 42 U.S.C. §§ 9001, et seq., CERCLA Responsible Parties
concerning, *inter alia*, vessels from which hazardous substances are discharged, or which pose the
substantial threat of discharge of hazardous substances, into the environment and/or navigable waters
of the United States, are strictly liable for all Response Costs and Damages specified in CERCLA.

H. Concurrent with the lodging of this Consent Decree, the United States is filing a
complaint seeking Damages and Response Costs that were and may in the future be caused by the
Incident, and seeking injunctive relief.

I. NOAA has determined that the removal of the remaining portions of the hull and
equipment of the P/C ALBION may not be feasible. Determination of the feasibility of removal and
assessment of injuries and associated restoration planning are ongoing.

J. Pursuant to this Consent Decree, defendants collectively will pay to the United States
the sum of \$1,600,000.00, as more specifically set out below, to address the claims of the United
States resulting from the Incident.

K. The Parties, without the necessity of trial or adjudication of any issues of fact or law
and without any admission of liability by Defendants agree, and the Court finds, that this Consent
Decree is fair, reasonable, and in the public interest.

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Now, therefore, before the taking of any testimony, without the adjudication or admission
 of any issue of fact or law except as provided in Section III, below, and with the consent of the
 Parties,

IT IS HEREBY ADJUDGED, ORDERED AND DECREED as follows: 4 III. JURISDICTION AND VENUE 5 1. The United States is authorized to bring suit and the Court has jurisdiction pursuant 6 to 28 U.S.C. § 1345, 33 U.S.C. §§ 1321 and 2717, 42 U.S.C. §§ 9613(b) and 9613(e), and 16 U.S.C. 7 §§ 1437 and 1443. 8 Venue is properly in this Court pursuant to 28 U.S.C. §§ 1391 and 1395, 33 U.S.C. 2. 9 § 2717, 42 U.S.C. § 9613(b), and 16 U.S.C. § 1443. 10 IV. APPLICABILITY 11 The obligations of this Consent Decree apply to and are binding upon Plaintiff and 3. 12 upon Defendants, and each of them, and any of Defendants' successors, assigns, subrogees, 13 subrogors, insurers, or other entities or persons otherwise bound by law. 14 V. <u>DEFINITIONS</u> 15 4. Unless otherwise specified, terms used in this Consent Decree shall have the meaning 16 assigned to them in the NMSA, OPA, and CERCLA, as applicable. Whenever the following terms 17 are used in this Consent Decree, the definitions specified hereinafter shall apply: 18 "CERCLA" means the Comprehensive Environmental Response, Compensation, and 19 a. Liability Act, 42 U.S.C. §§ 9001, et seq.; 20 "Consent Decree" means this Decree herein; b. 21 "CWA" means the Clean Water Act, 33 U.S.C. §§ 1251, et seq.; c. 22 "Damages" means any damages recoverable by the United States for injury to, d. 23 destruction of, loss of, loss of use of, or impairment of natural resources as a result of the Incident, 24 including, but not limited to: (i) the costs of assessing such injury, destruction, or loss or impairment 25 arising from or relating to the Incident; (ii) the costs of restoration, rehabilitation, or replacement of 26 injured or lost natural resources or of acquisition of equivalent resources; (iii) the costs of planning 27 28 5 CONSENT DECREE – No. C 07-5172 JSW

such restoration activities; and (iv) compensation for injury, destruction, loss, loss of use, or
 impairment of natural resources.

e. "Defendants" means MARITIME LOGISTICS, INC., *in personam*, M/V MICHAEL
UHL, *in rem*, and ALAN CARPENTER and TRACY RAGSDALE CARPENTER, *in personam*;
f. "Entry of the Consent Decree" or "Entry" shall occur on the date when this Decree,
after signing by the Judge, is entered in the civil docket under Rule 79(a) of the Federal Rules of
Civil Procedure;

g. "Incident" or "Release Incident" mean the January 30/31, 2005, sinking of P/C
ALBION in the waters of the Monterey Bay National Marine Sanctuary while under tow by M/V
MICHAEL UHL, through and including August 15, 2006, said latter date being the date that the
United States, by and through its representatives and/or response contractors, succeeded in removing
portions of P/C ALBION from the seafloor.

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h. "NMSA" means the National Marine Sanctuaries Act, 16 U.S.C. §§ 1431, et seq.;
i. "OPA" means the Oil Pollution Act of 1990, 33 U.S.C. § 2701, et seq.;

j. "Paragraph" shall mean a portion of this Decree identified by an arabic numeral;
k. "Parties" means the UNITED STATES, MARITIME LOGISTICS, INC., in *personam*, M/V MICHAEL UHL, *in rem*, and ALAN CARPENTER and TRACY RAGSDALE
CARPENTER, *in personam*;

19 1. "Plaintiff" or "United States" shall mean the United States of America, including its
 20 departments, agencies and instrumentalities, including, but not limited to, the Coast Guard, NOAA
 21 and the OSLTF;

m. "Response Costs" shall have the meanings ascribed to them, as applicable, pursuant
to Sections 1001(5), 1001(31) and 1002 (b) of OPA, 33 U.S.C. §§ 2701(5), 2701(31) and 2702(b);
sections 101(6), 101(23), 101(25), and 107(a) of CERCLA, 42 U.S.C. §§ 9001(6), 9601(23),
9601(25), and 9607(a); and sections sections 302(6), 302(7), and 312 of the NMSA, 16 U.S.C. §§
1432(6), 1432(7), and 1443;

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"Section" shall mean a portion of this Decree identified by a roman numeral; 1 n. 2 "The Defendants' Private Action" means that lawsuit filed by ALAN CARPENTER о. and TRACY RAGSDALE CARPENTER against MARITIME LOGISTICS, INC., the M/V 3 MICHAEL UHL, in rem, et al., Civil No. 07-cv-0166 DMS (POR), S.D. Cal., and any related 4 counter or cross actions arising between the said Defendants which relate to or arise out of the 5 Incident or towage of the P/C ALBION ("The Defendants' Private Action). 6 7 VI. SETTLEMENT PAYMENTS 8 5. Within thirty (30) days after Entry of the Consent Decree, Defendants collectively 9 shall pay Plaintiff the total settlement sum of \$1,600,000 (One Million, Six Hundred-Thousand 10 Dollars and Zero Cents), said total to be paid in accordance with the separate payments specified in 11 the immediately following sub-paragraphs: (a) The sum of One Million, Two Hundred and Seven Thousand, and Sixty-Four Dollars and 12 Zero Cents (\$1,207,064.00) shall be transferred via Electronic Funds Transfers (EFTs) through the 13 United States Department of Justice for disbursement to the OSLTF (FPN Number A05015) 14 according to instructions to be obtained by defendants from the Dept. Of Justice, Torts Branch, Civil 15 Division. A copy of the paperwork documenting the EFTs, and any accompanying correspondence 16 shall reference DOJ # 62-392 and shall be sent to: 17 R. Michael Underhill 18 Attorney in Charge, West Coast Office 19 Torts Branch, Civil Division U.S. Department of Justice 20 7th Floor Federal Bldg., Room 7-5395 P.O. Box 36028 450 Golden Gate Avenue 21 San Francisco, California 94102-3463 22 Fax: 415-436-6632 23 The sum of Three Hundred and Ninety-Two Thousand, and Nine Hundred-Thirty-Six (b)Dollars and Zero Cents (\$392,936.00) shall be transferred via Electronic Funds Transfers (EFTs) 24

through the United States Department of Justice for disbursement to the NOAA Natural Resource
Damage Assessment and Restoration Fund, Account Number 13x4316, Project Code 13140001,

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1 according to instructions to be obtained by defendants from the Office of the U.S. Attorney, Northern 2 District of California, Financial Litigation Unit. The addenda record of that payment shall be 3 annotated "P/C ALBION". A copy of the paperwork documenting the EFTs, and any accompanying correspondence shall reference DOJ # 90-5-1-1-09113 and shall be sent to: 4 5 Chief, Environmental Enforcement Section Attn: Steve O'Rourke United States Department of Justice 6 P.O. Box 7611 7 Washington, D.C. 20044 Fax: 202-514-4180 8 Lisa Symons 9 Damage Assessment and Resource Protection Coordinator National Marine Sanctuary Program 10 1305 East-West Hwy., SSMC #4, #11606 Silver Spring, MD 20910 Fax: 301-713-4306 11 12 Kurt Zimmerman U.S. Dept. of Commerce 13 National Oceanic and Atmospheric Administration Office of the General Counsel 501 W. Ocean Blvd. 14 Suite 4470 Long Beach, CA 90802 15 Fax: 562-980-4065 16 6. In the event that the Defendants fail to make any of the payments referred to in 17 paragraph 5 on time, compound interest shall be assessed on such unpaid payment(s) at the annual rate established pursuant to 31 U.S.C. § 3717 for each day that the payment is late. 18 19 7. Any stipulated penalty owing to the United States shall be paid by EFT in accordance with instructions to be provided by the Financial Litigation Unit of the U.S. Attorney's Office for 20 the Northern District of California, or by certified or cashier's check in the amount due, payable to 21 22 the "U.S. Department of Justice," referencing DOJ No. 90-5-1-1-09113, and delivered to the office 23 of the United States Attorney, Financial Litigation Unit, Northern District of California, 450 Golden 24 Gate Avenue, San Francisco, California 94102. Payment of stipulated penalties shall be accompanied by transmittal correspondence stating that any such payment is for late payment of 25 26 amount(s) due under this Decree and shall reference DOJ No. 90-5-1-1-09113 and the case name and 27 number. 28 CONSENT DECREE – No. C 07-5172 JSW 8

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8. Defendants shall not deduct any stipulated penalty paid under this Section in
 2 calculating their federal income taxes.

9. In the event that Defendants fail to make the payment referred to in paragraph 5(b)
on time, and in addition to any interest accrued thereon pursuant to Paragraph 6, Defendants shall
pay a stipulated penalty of \$1,000 per day of non-payment.

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VII. EFFECT OF SETTLEMENT

7 10. In consideration of the payments that will be made by Defendants pursuant to this 8 Consent Decree, and effective solely upon Defendants' performance of their payment obligations as set forth in Section VI of this Decree, the United States covenants not to sue and agrees not to assert 9 10 any civil claims or causes of action against the Defendants pertaining to the Incident for Damages, Response Costs, injunctive relief, and/or penalties pursuant to: the NMSA, 16 U.S.C. § 1443(a)(1) 11 12 and (a)(2), and 16 U.S.C. § 1437(c) and (d); the CWA, 33 U.S.C. §§ 1251, et seq., including sections 33 U.S.C. §1321(b)(6) and (7), as amended by OPA; 33 U.S.C. §§ 1901-12 (Act to Prevent Pollution 13 from Ships); the International Convention for the Prevention of Pollution from Ships of 1973 14 (MARPOL); and/or the Protocol of 1978 Relating to the International Convention for the Prevention 15 of Pollution from Ships (MARPOL Protocol); CERCLA, 42 U.S.C. § 9601, et seq.; the Rivers and 16 17 Harbors Act of 1899, 33 U.S.C. § 406, et seq., and the general maritime law.

18 11. The United States reserves, and this Consent Decree is without prejudice to, all rights
19 against the Defendants with respect to all matters not expressly included within Paragraph 10.
20 Notwithstanding any other provisions of this Consent Decree, the United States reserves all rights
21 against the Settling Defendants with respect to:

a. Claims based on a failure by the Settling Defendants to meet a requirement of this
Consent Decree;

b. Liability for any other costs incurred or to be incurred by the United States that are
not within the definition of Damages;

26 c. Liability for Damages arising out of releases or threatened releases of hazardous
27 substances other than during the Release Incident;

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28 CONSENT DECREE – No. C 07-5172 JSW

1d.Liability arising from any disposal of hazardous substances by the Settling Defendants2after the lodging of this Consent Decree; and

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Criminal liability.

4 Special Reservations. Notwithstanding any other provision of this Consent Decree, 12. 5 the United States reserves the right to institute proceedings against the Defendants in this action or 6 in a new action seeking recovery of Response Costs, Damages, or injunctive relief based on: (i) conditions caused by the Incident, unknown to Plaintiff as of the date of lodging of this Consent 7 Decree; or (ii) information received by Plaintiff after the date of lodging of this Consent Decree, and 8 such conditions or information indicate that the Incident has resulted in injury to, destruction of, or 9 10 loss of Natural Resources of a type or future persistence that was unknown to Plaintiff as of the date 11 of lodging of this Consent Decree, or that these previously unknown conditions or new information indicate that the Response Actions taken to date are not protective of human health or the 12 13 environment.

13. Effective upon Entry of the Consent Decree, Defendants, and each of them, and any 14 of Defendants' successors, assigns, subrogees, and subrogors hereby covenant not to sue and agree 15 not to assert any claims or causes of action of any nature or kind against the United States with 16 respect to any and all claims or causes of action arising out of, relating to, or pertaining to this 17 18 Decree or to the Incident, including, but not limited to, claims or causes of action arising out of, 19 relating to, or pertaining to the Defendants' Private Action, and any claims against the Hazardous 20 Substance Superfund established under CERCLA or the Oil Liability Trust Fund established under 21 OPA, and any claims for contribution under CERCLA or OPA.

14. This Decree does not limit or affect the rights of Plaintiff or Defendants against any
third parties, not party to this Decree, nor does it limit the rights of third parties, not party to this
Decree, against Defendants, nor does it limit the rights of the Defendants amongst themselves.

25 15. This Decree shall not be construed to create rights in, or grant any cause of action to,
26 any third party not party to this Decree.

2716.ALAN CARPENTER and TRACY RAGSDALE CARPENTER have filed an action28CONSENT DECREE – No. C 07-5172 JSW10

against MARITIME LOGISTICS, INC. ("The Defendants' Private Action"), and MARITIME 1 2 LOGISTICS, INC., has counterclaimed against ALAN CARPENTER and TRACY RAGSDALE 3 CARPENTER in The Defendants' Private Action, said action pertaining to claims by and between the parties in The Defendants' Private Action with respect to, *inter alia*, the towage and sinking of 4 5 P/C ALBION and the Incident. This Decree shall not be construed as, or utilized as, any express or implied admission of liability, responsibility, collateral estoppel, or *res judicata* by any of the parties 6 7 in The Defendants' Private Action vis-a-vis each other with respect to any and all claims and causes 8 of action that are or potentially could be asserted by and between the said parties in any claim, suit 9 or fora, including, but not limited to, in The Defendants' Private Action, said parties in The Defendants' Private Action each expressly denying any and all liability vis-a-vis each other. 10 11 VIII. COSTS 17. 12 Plaintiff shall be entitled to collect the costs (including reasonable attorneys' fees) incurred in any action necessary to collect any portion of the amounts due under Section VI or any 13 stipulated penalty due but not paid under Section VI, above. 14 15 18. Subject to Paragraph 17, each Party shall bear its own attorneys fees and costs in this action. 16 17 **IX. NOTICES** 18 19. Unless otherwise specified herein, whenever notifications, submissions, or communications are required by this Consent Decree, they shall be made in writing and addressed 19 20 as follows: To the United States: 21 R. Michael Underhill 22 Attorney in Charge, West Coast Office Torts Branch, Civil Division 23 U.S. Department of Justice 7th Floor Federal Bldg., Room 7-5395 24 P.O. Box 36028 450 Golden Gate Avenue 25 San Francisco, California 94102-3463 Fax: 415-436-6632 26 Chief, Environmental Enforcement Section 27 28 CONSENT DECREE – No. C 07-5172 JSW 11

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1	Attn: Steve O'Rourke United States Department of Justice
2	P.O. Box 7611 Washington, D.C. 20044
3	Fax: 202-514-4180
4	Lisa Symons Damage Assessment and Resource Protection Coordinator
5	National Marine Sanctuary Program 1305 East-West Hwy., SSMC #4, #11606
6	Silver Spring, MD 20910 Fax: 301-713-4306
7	Kurt Zimmerman
8	U.S. Dept. of Commerce National Oceanic and Atmospheric Administration Office of the General Counsel
9	501 W. Ocean Blvd. Suite 4470
10	Long Beach, CA 90802 Fax: 562-980-4065
11	To Defendants:
12	JOSEPH A. WALSH II
13	Keesal, Young & Logan 400 Oceangate P.O. Box 1730
14	Long Beach, CA 90801-1730 Fax: 436-7416
15	MARILYN RAIA
16	Bullivant Houser Bailey, PC 601 California St, Fl 18
17	San Francisco CA 94108-2823 Fax: 415-352-2701
18	Attorneys for Defendants MARITIME LOGISTICS, INC., in personam,
19	and M/V MICHAEL UHL, in rem
20	ALAN NAKAZAWA
21	Cogswell Nakazawa & Chang 444 Ocean Blvd. Suite 1250
22	Long Beach, CA 90802-4449 Fax: 562-951-3933
23	ROBERT J. WOLFE
24	Engstrom, Lipscomb & Lack 10100 Santa Monica Blvd., 16th Floor
25	Los Angeles, CA 90067 Fax: 310-552-9434

Attorneys for Defendants ALAN CARPENTER and TRACY RAGSDALE CARPENTER, *in personam*

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20. Any Party may, by written notice to the other Parties, change its designated notice 1 recipient or notice address provided above. 2 21. Notices submitted pursuant to this Section shall be deemed submitted upon mailing, 3 unless otherwise provided in this Decree or by mutual agreement of the Parties in writing. 4 X. EFFECTIVE DATE 5 22. The Effective Date of this Decree shall be the date of the Entry of this Decree by the 6 Court. 7 **XI. RETENTION OF JURISDICTION** 8 23. The Court shall retain jurisdiction over this case until termination of this Decree, for 9 the purpose of effectuating or enforcing compliance with the terms of this Decree. 10 **XII. MODIFICATION** 11 24. The terms of this Decree may be modified only by a subsequent written agreement 12 signed by all the Parties. Where the modification constitutes a material change to any term of this 13 Decree, it shall be effective only upon approval by the Court. 14 XIII. PUBLIC PARTICIPATION 15 25. This Decree shall be lodged with this Court for a period of not less than thirty (30) 16 days to allow the opportunity for public notice and comment. The Plaintiffs reserve the right to 17 withdraw from or withhold their consent to this Decree if the comments from the public regarding 18 the Decree disclose facts or considerations indicating that the Decree is inappropriate, improper, or 19 inadequate. Defendants consent to Entry of this Decree without further notice. 20 If for any reason the Court should decline to approve this Consent Decree in the form 26. 21 presented, this agreement is voidable at the sole discretion of any Party and the terms of the 22 agreement may not be used as evidence in any litigation between the Parties. 23 XIV. SIGNATORIES/ SERVICE 24 27. Each undersigned representative of Defendants, together with the Dept. Of Justice, 25 Torts Branch, Civil Division, and the Environment and Natural Resources Divisions of the 26 Department of Justice, certifies that he or she is fully authorized to enter into the terms and 27 28 CONSENT DECREE - No. C 07-5172 JSW 13

conditions of this Decree and to execute and legally bind the Party or Parties he or she represents to
 this document.

3 28. This Decree may be signed in counterparts, and its validity shall not be challenged
4 on that basis.

5 29. Defendants agree not to oppose the Entry of this Decree by the Court or to challenge 6 any provision of the Decree, unless the consent of Plaintiff has been withdrawn or withheld under 7 the circumstances set forth in Paragraph 25 above, or Plaintiff has notified Defendants in writing that 8 Plaintiff no longer supports or agrees to the Entry of this Decree.

30. Should Plaintiff, after Entry of this Decree, commence an action in this Court or any
other court of competent jurisdiction to enforce any of the terms of this Decree, then, with respect
to such action and only with respect to such action, Defendants agree to accept service of process
by mail of such action and to waive the formal service requirements set forth in Rules 4 and 5 of the
Federal Rules of Civil Procedure and any applicable Local Rules of this Court including, but not
limited to, service of a summons.

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XV. INTEGRATION

31. This Decree constitutes the final, complete, and exclusive agreement and understanding among the Parties with respect to the settlement embodied in the Decree and supersedes all prior agreements and understandings, whether oral or written, concerning the settlement embodied herein. No other document, nor any representation, inducement, agreement, understanding, or promise, constitutes any part of this Decree or the settlement it represents, nor shall it be used in construing the terms of this Decree.

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28 CONSENT DECREE – No. C 07-5172 JSW

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1	XIX. <u>FINAL JUDGMENT</u>
2	32. Upon approval and Entry of this Decree by the Court, this Decree shall constitute a
3	final judgment of the Court, in accordance with Rules 54 and 58 of the Federal Rules of Civil
4	Procedure, as among the Parties.
5	IT IS SO ORDERED, ADJUDGED, AND DECREED.
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7	Dated:
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9	UNITED STATES DISTRICT JUDGE
10	UNITED STATES DISTINCT JUDGE
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THE UNDERSIGNED PARTIES enter into this Consent Decree in the matter of United States of America v. Maritime Logistics, Inc., et al.: FOR THE UNITED STATES OF AMERICA: PETER KEISLER Assistant Attorney General SCOTT N. SCHOOLS Acting United States Attorney Northern District of California Date: R. MICHAEL UNDERHILL (State Bar No. 104986) Attorney in Charge, West Coast Office Torts Branch, Civil Division U.S. Department of Justice 7th Floor Federal Bldg., Room 7-5395 P.O. Box 36028, 450 Golden Gate Avenue San Francisco, California 94102-3463 Attorneys for the United States CONSENT DECREE

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THE UNDERSIGNED PARTIES enter into this Consent Decree in the matter of United States of America v. Maritime Logistics, Inc., et al.: Date: Ellen m. mahan Deputy Section Chief Environmental Enforcement Section Environment and Natural Resources Division U.S. Department of Justice Washington, D.C. Date: STEVE O'ROURKE Environmental Enforcement Section Environment and Natural Resources Division U.S. Department of Justice Washington, D.C. Attorneys for the United States CONSENT DECREE

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2 States of America v. Maritime Lo	igistics. Inc., et al.:
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4	For Defendants MARITIME LOGISTICS, INC., in
5	For Defondants MARITIME LOGISTICS, INC., in personam, and M/V MICHAEL UHL, in rem
6	
. 7	By: FRANK LOVING
8	President, Maritime Logistics, Inc.
9	
10 Approved as to form:	
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j	KEESAL, YOUNG & LOGAN
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. 13	F
14	JOSEPH A. WALSH II
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17	BULLIVANT HOUSER BAILEY, PC
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5		For Defendant ALAN CARPENTER, in personam	a N
. 6			
7		By: ALAN CARPENTER	
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9	· · ·	For Defendant TRACY RAGSDALE CARPENTER,	
10		in personam	
11		Bar	
12		TRACY RAGSDALE CARPENTER	
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14	Approved as to form:		
15		COGSWELL NAKAZAWA & CHANG	
16			
17		Ву:	
18		ALAN NAKAZAWA	
19		ENGSTROM, LIPSCOMB & LACK	
20		ENGSTROM, EN SCOMD & LACK	
21		By:	
22		ROBERT J. WOLFE	
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	THE UNDERSIGNED PARTIES enter into this Consent Decree in the matter of United		
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4	For Defendant ALAN CARPENTER, in personam		
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7	By:		
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9	For Defendant TRACY RAGSDALE CARPENTER, in personam		
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11	By:		
12	IRAC I KAGSDALE CARLENTER		
13	Approved as to form:		
14	Approved as to form.		
15	COGSWELL NAKAZAWA & CHANG		
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17	B. ALAN NAKAZAWA		
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