

*BUILDING DESIGN FOR HOMELAND SECURITY*

# Unit I

# Building Design for Homeland Security



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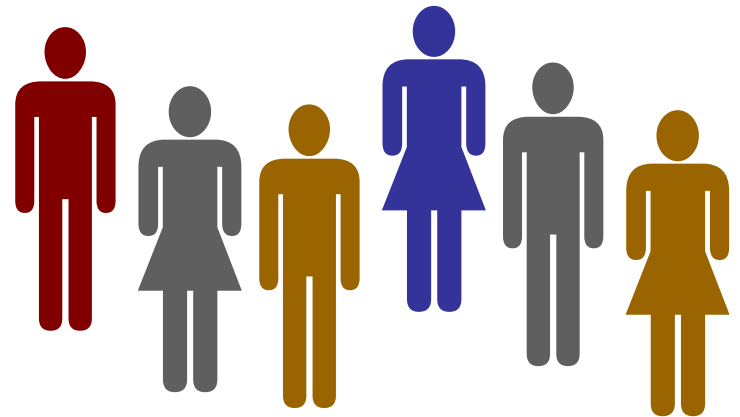
# Student Introductions

Name

Affiliation

Area of Concentration

Course Expectations



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# Purpose of Course and FEMA 426 Manual

Provide guidance to building sciences community

Decision-makers determine which threats and mitigation measures

Mitigation Information

- Not mandatory
- Not applicable to all buildings
- Not applicable when it interferes with other hazards



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# Course Goal

To enhance student understanding of the measures and technology available to reduce risk from terrorist attack.



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U.S. AIR FORCE



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# Course Objectives

Students will be able to:

1. **Explain** the basic components of the assessment methodology.
2. **Appreciate** the different assessment methodology approaches that can be used.
3. **Perform** an assessment for a building by identifying and prioritizing assets, threats, and vulnerabilities and calculating relative risk.



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# Course Objectives

4. **Identify** available mitigation measures applicable to the site and building envelope.
5. **Understand** the technology limitations and application details of mitigation measures for terrorist tactics and technological accidents.
6. **Perform** an assessment for a given building by identifying vulnerabilities using the Building Vulnerability Assessment Checklist in FEMA 426.



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# Course Objectives

- 7. Select** applicable mitigation measures and prioritize them based upon the final assessment risk values.
- 8. Appreciate** that designing a building to mitigate terrorist attacks can create conflicts with other design requirements.



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# Course Overview – Day 1

**Unit I** – Introduction and Course Overview

**Unit II** – Asset Value Assessment

**Unit III** – Threat / Hazard Assessment

**Unit IV** – Vulnerability Assessment

**Unit V** – Risk Assessment / Risk Management



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# Course Overview – Day 2

**Unit VI** – FEMA 452 Risk Assessment Database

**Unit VII** – Explosive Blast

**Unit VIII** – Chemical, Biological, and Radiological  
(CBR) Measures

**Exam and Exam Review**

**Unit IX** – Site and Layout Design Guidance



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# Course Overview – Day 3

**Unit X** – Building Design Guidance

**Unit XI** – Electronic Security Systems

**Unit XII** – Finalization of Case Study Results

**Unit XIII** – Course Wrap-up



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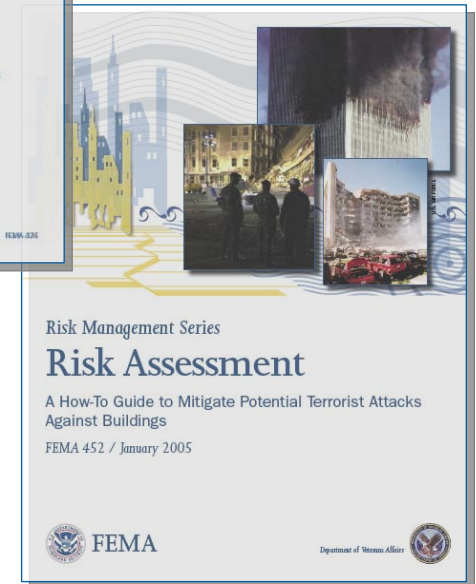
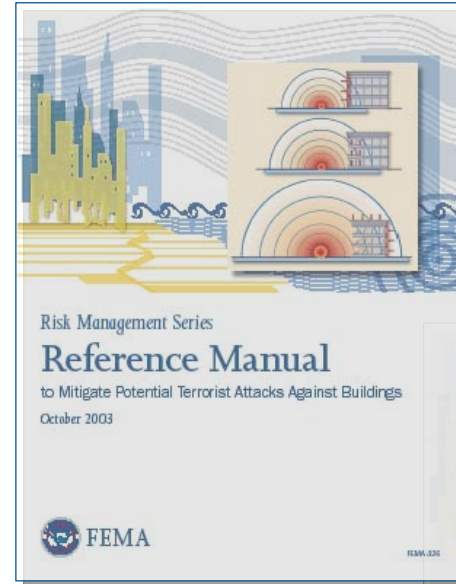
# Course Materials

## FEMA Publication 426

**Reference Manual**  
to Mitigate Potential Terrorist  
Attacks Against Buildings

## FEMA Publication 452

**Risk Assessment: A How-To  
Guide to Mitigate Potential  
Terrorist Threats Against  
Buildings**



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# FEMA 426 Reference Manual

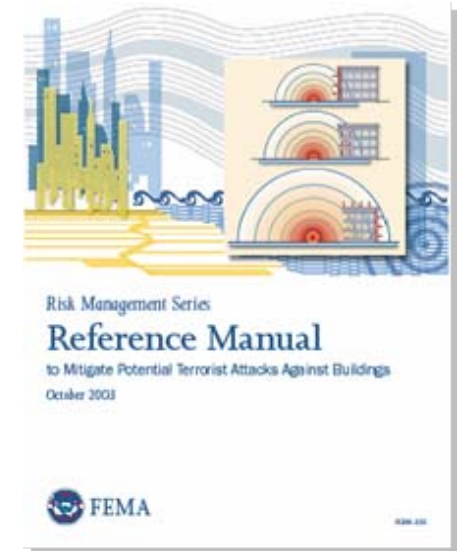
**Chapter 1** – Asset Value, Threat/Hazard, Vulnerability, and Risk

**Chapter 2** – Site and Layout Design Guidance

**Chapter 3** – Building Design Guidance

**Chapter 4** – Explosive Blast

**Chapter 5** – CBR Measures



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# FEMA 426 Reference Manual

**Appendix A** – Acronyms

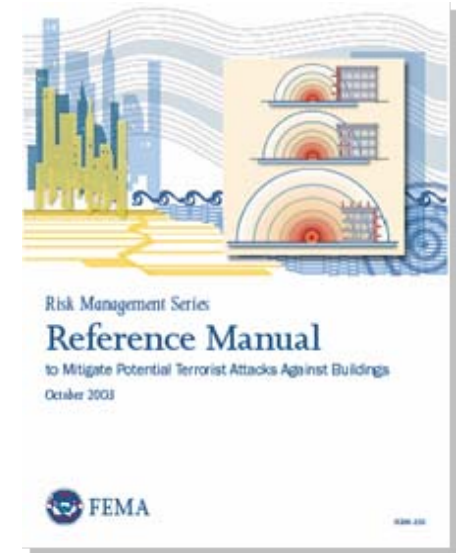
**Appendix B** – General Glossary

**Appendix C** – CBR Glossary

**Appendix D** – Electronic Security Systems

**Appendix E** – Bibliography

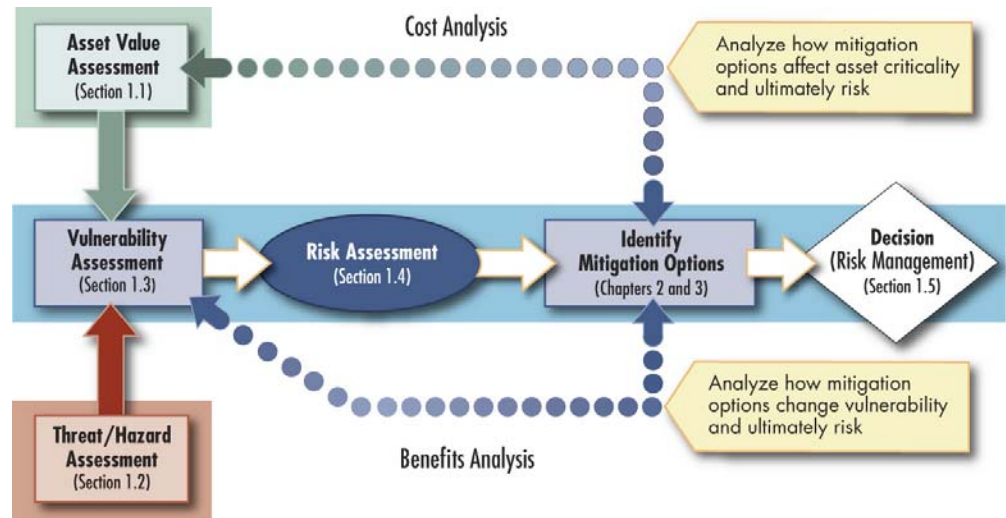
**Appendix F** – Associations and Organizations



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# FEMA 426 – Chapter 1

- Asset Value Assessment
- Threat/Hazard Assessment
- Vulnerability Assessment
- Risk Assessment
- Risk Management
- Building Vulnerability Assessment Checklist



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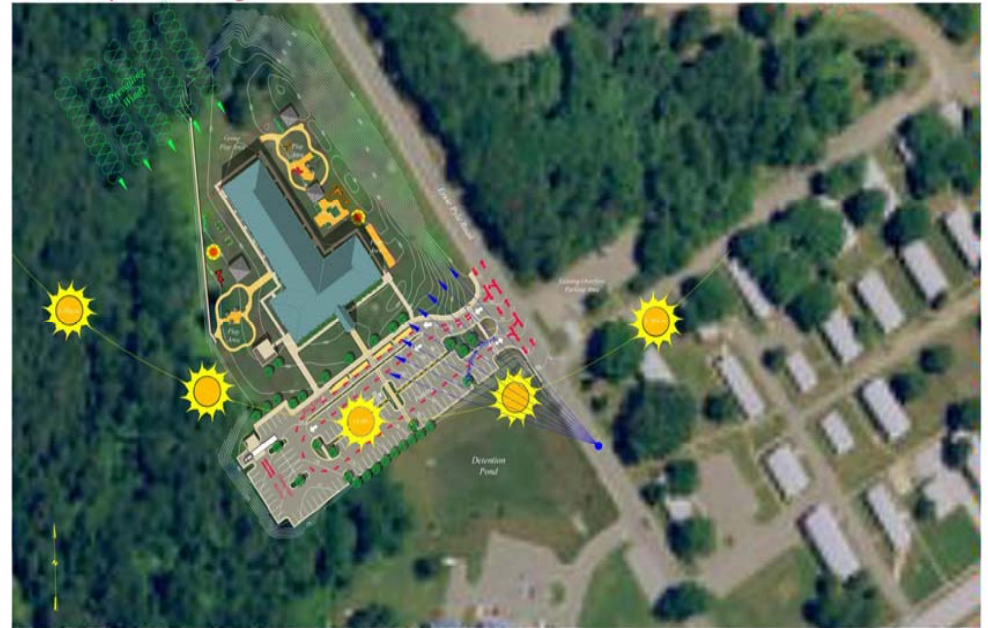
FEMA 426, Figure 1-3: The Assessment Process Model, p. 1-5

# FEMA 426 – Chapter 2

## Site and Layout Design

- Layout Design
- Siting
- Entry Control/Vehicle Access
- Signage
- Parking
- Loading Docks
- Physical Security Lighting
- Site Utilities

*Site Analysis Drawing*



Samaha  
Associates

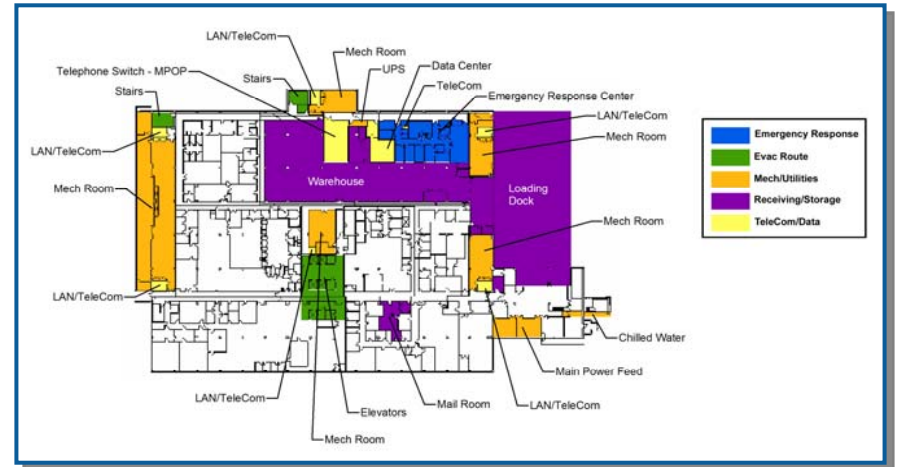


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# FEMA 426 – Chapter 3

## Building Design Guidance

- Architectural
- Building Structural and Nonstructural Considerations
- Building Envelope considerations
- Other Building Design Issues
- Building Mitigation Measures



FEMA 426, Figure 1-10: Non-Redundant Critical Functions Collocated Near Loading Dock, p. 1-41



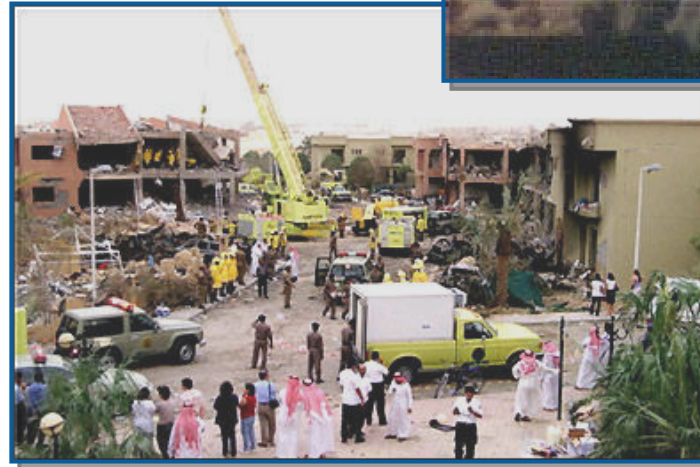
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# FEMA 426 – Chapter 4

## Explosive Blast

- Building Damage
- Blast Effects and Predictions
- Stand-off Distance
- Progressive Collapse



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# FEMA 426 – Chapter 5

## CBR Measures

- Evacuation
- Sheltering in Place
- Personal Protective Equipment
- Filtering and Pressurization
- Exhausting and Purging



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# FEMA 452 Risk Assessment How-To

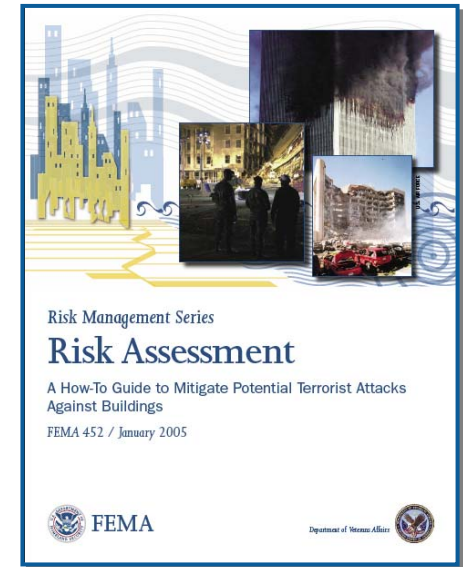
**Step 1** – Threat Identification and Rating

**Step 2** – Asset Value Assessment

**Step 3** – Vulnerability Assessment

**Step 4** – Risk Assessment

**Step 5** – Consider Mitigation Options



# FEMA 452 Risk Assessment How-To

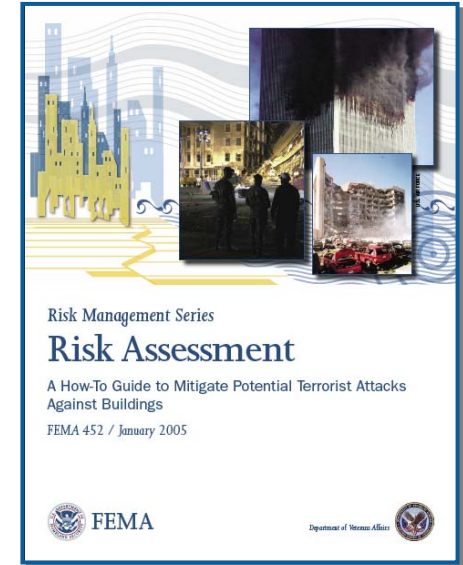
**Appendix A** – Building Vulnerability Assessment Checklist

**Appendix B1** – Risk Management Database v1.0: Assessor's User Guide

**Appendix B2** – Risk Management Database v1.0: Database Administrator's User Guide

**Appendix B3** – Risk Management Database v1.0: Manager's User Guide

**Appendix C** – Acronyms and Abbreviations



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# Summary

FEMA 426 and 452 are intended for building sciences professionals.

Manmade hazards risk assessments use a “Design Basis Threat.”

Site and building systems and infrastructure protection are provided by layers of defense.

Multiple mitigation options and techniques.

Use cost-effective multihazard analysis and design.

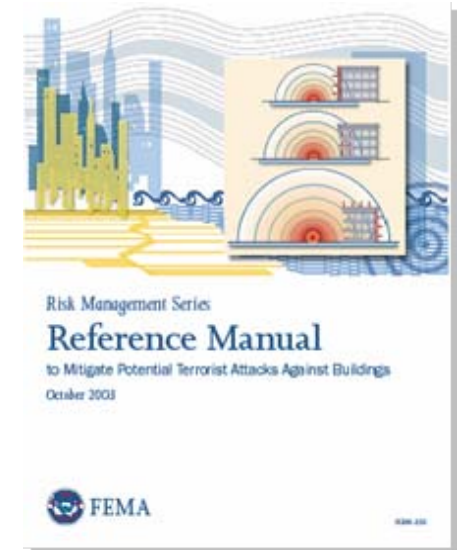


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# Case Study Activities

In small group settings, apply concepts introduced in the course.

Become conversant with contents and organization of FEMA 426.



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# HAZARDVILLE INFORMATION COMPANY (HIC)

## Case Study

Small IT / Communications / Data Center Company

- Occupies portion of building rented in Suburban Office Park
- Data center and communications for off-site clients



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# Hazardville Information Company



Hazardville Information Company (HIC)



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# Mission

## Regional Computer Center

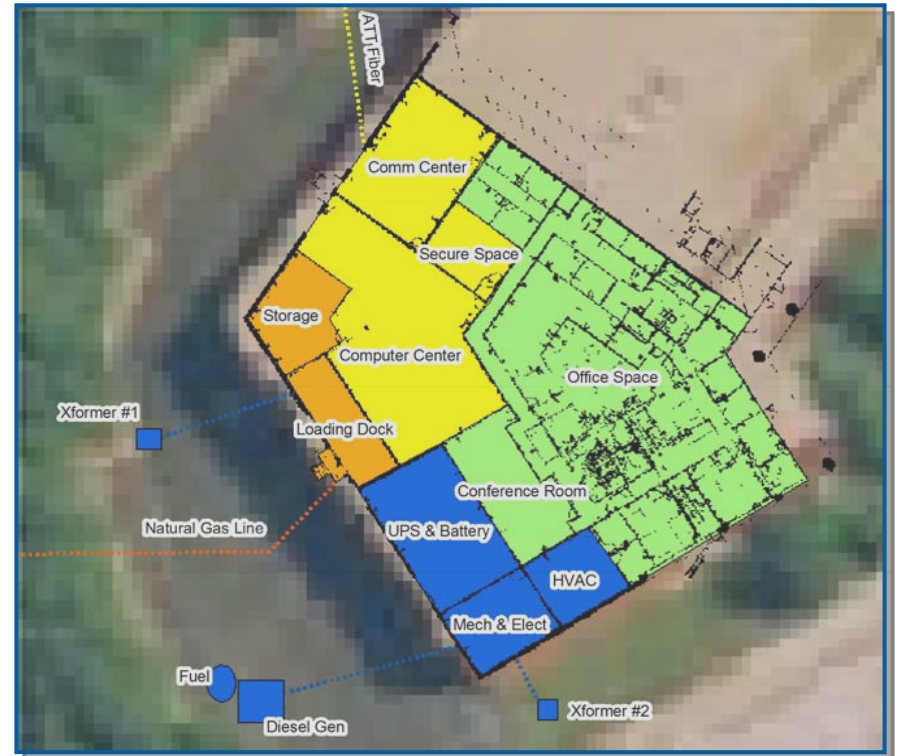
- Real-time IT support
- Backup services
- 24 x 7 operations

## Customers

- Government and commercial
- Some classified work

## Layout

- Downstairs: Computers, Communications, Staff
- Upstairs: Executive offices
- Loading dock, Storage



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# Threat Analysis

Terrorist Threat

Intelligence Threat

Criminal Threat



FEMA 426, Figure 2-1: An Example of Using GIS to Identify Adjacent Hazards, p. 2-5

# Hazard Analysis

## HazMat

- Facilities
- Highway
- Rail

## Liquid Fuels



## Air Traffic

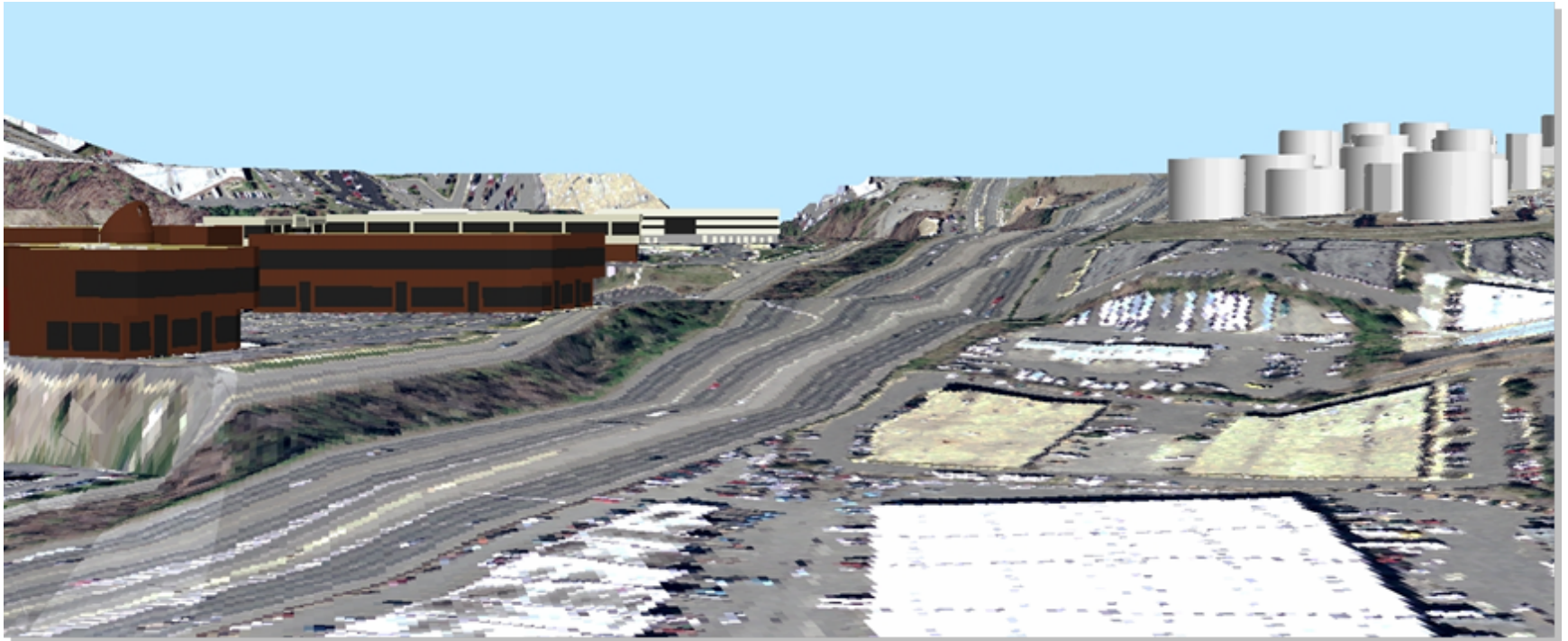


## Natural Hazards



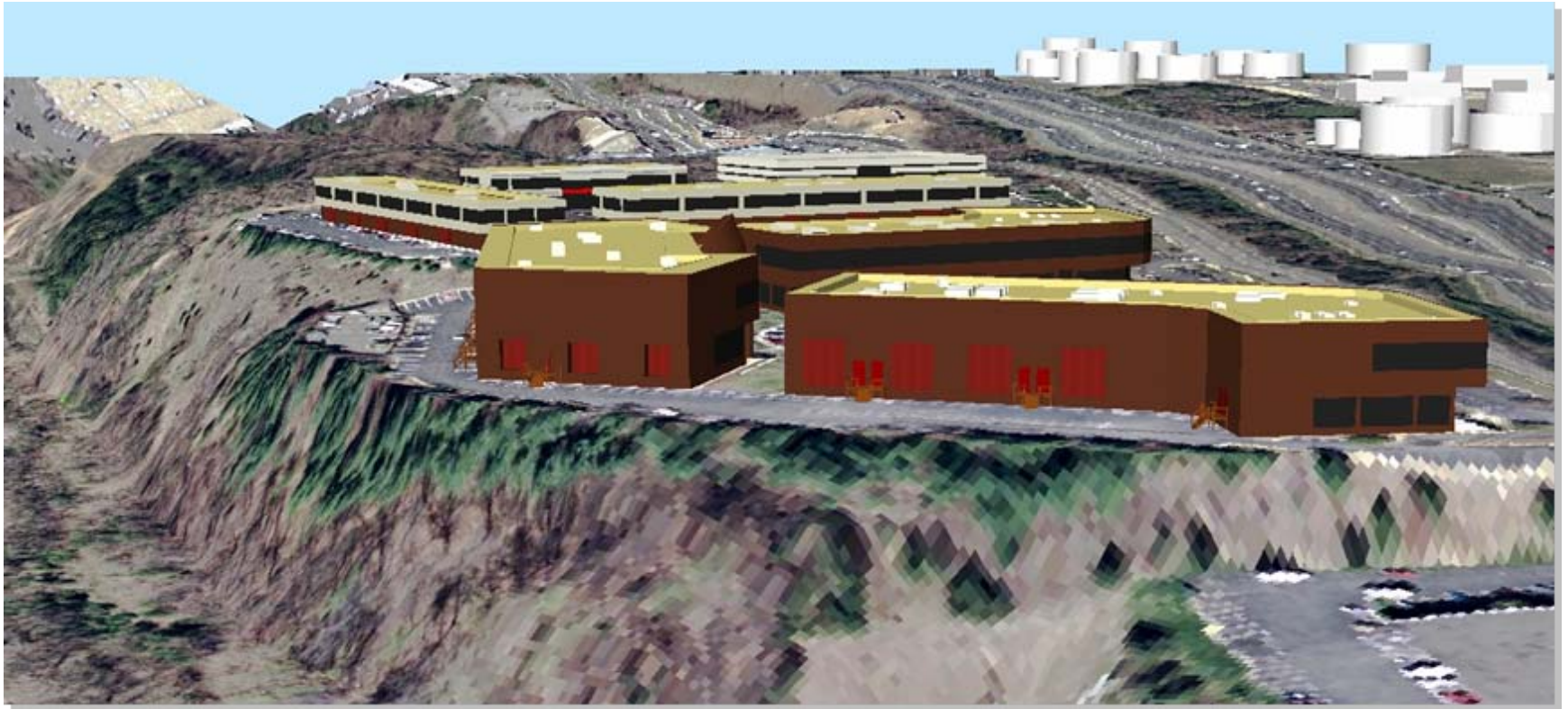
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# Computerized Elevation Looking Northwest



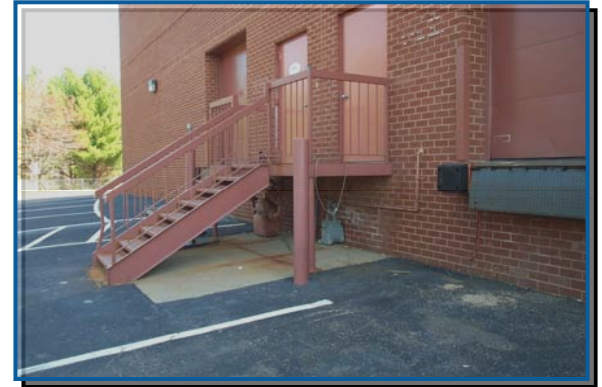
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# Computerized Elevation Looking Northeast



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# Building Data

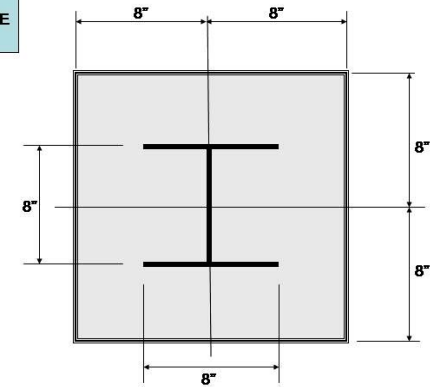


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# Building Structure

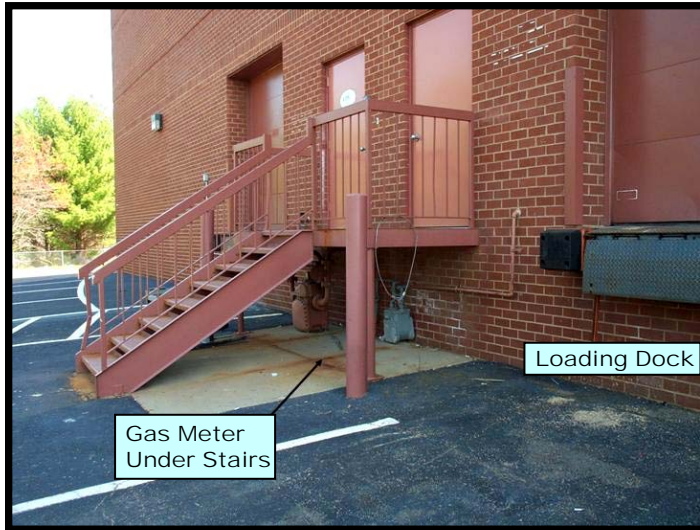


COLUMN ENCLOSURE DETAIL



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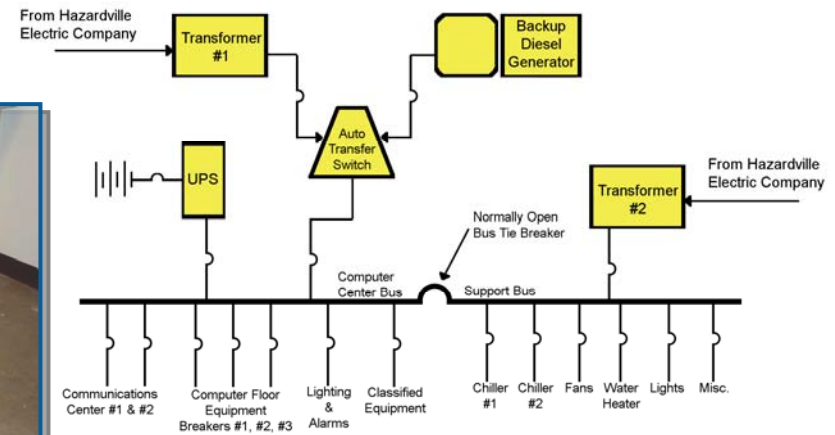
# Mechanical Systems



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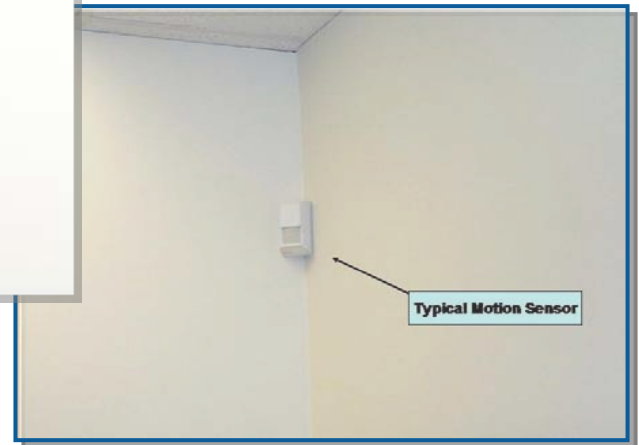
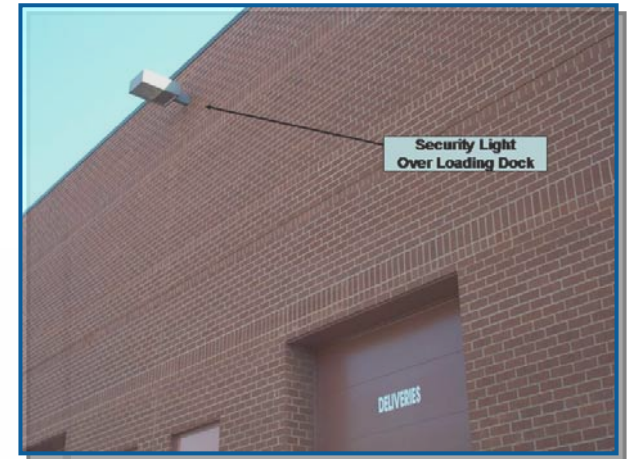


# Electrical Systems



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# Physical Security



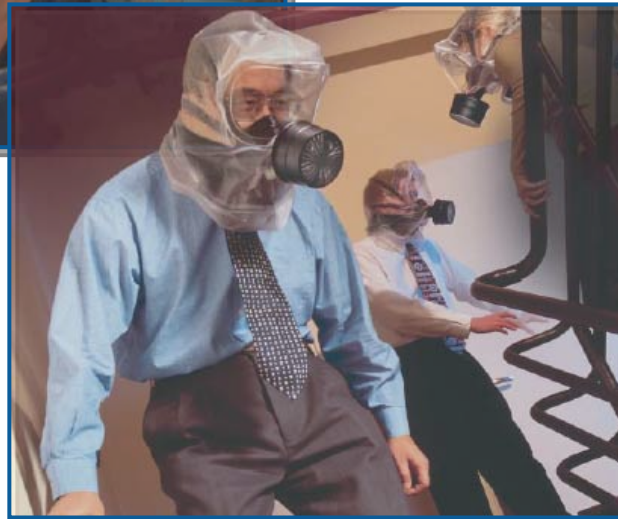
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# IT Systems



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# Emergency Response



Source: Mine Safety Appliances Company



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# Design Basis Threat

**Explosive Blast:** Car Bomb 250 lb TNT equivalent. Truck Bomb 5,000 lb TNT equivalent (Murrah Federal Building class weapon)

**Chemical:** Large quantity gasoline spill and toxic plume from the adjacent tank farm, small quantity (tanker truck and rail car size) spills of HazMat materials (chlorine)

**Biological:** Anthrax delivered by mail or in packages, smallpox distributed by spray mechanism mounted on truck or aircraft in metropolitan area

**Radiological:** Small “dirty” bomb detonation within the 10-mile radius of the HIC building



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# Design Basis Threat

**Criminal Activity/Armed Attack:** High powered rifle or handgun exterior shooting (sniper attack or direct assault on key staff, damage to infrastructure [e.g., transformers, chillers, etc.] )

**Cyber Attack:** Focus on IT and building systems infrastructure (SCADA, alarms, etc.) accessible via Internet access



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# Levels of Protection and Layers of Defense

## Levels of Protection for Buildings

- GSA Interagency Security Criteria Level II Building
- DoD Low Inhabited Building

## Elements of the Layers of Defense Strategy

- Deter
- Detect
- Deny
- Devalue

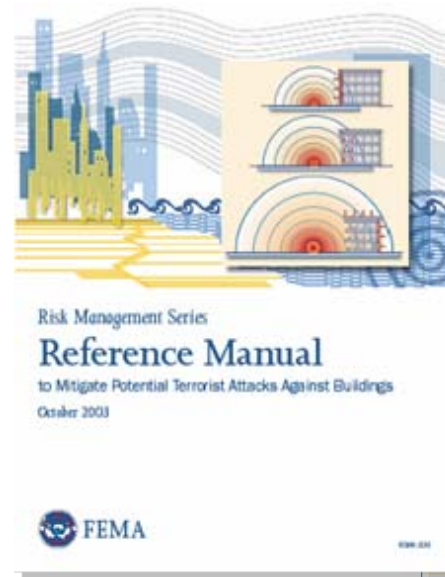


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# Summary

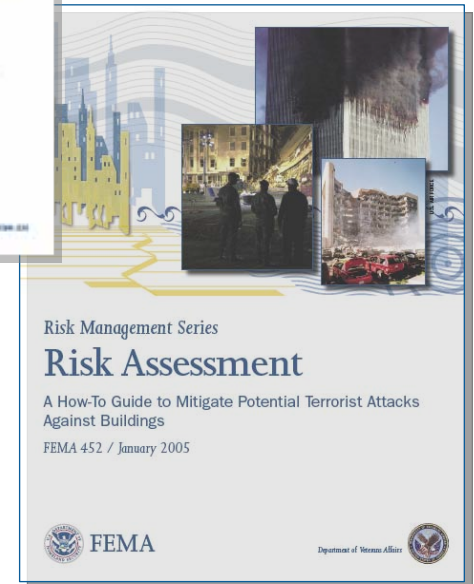
## FEMA Publication 426

**Reference Manual**  
to Mitigate Potential Terrorist  
Attacks Against Buildings



## FEMA Publication 452

**Risk Assessment: A How-To  
Guide to Mitigate Potential  
Terrorist Threats Against  
Buildings**



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# Unit I Case Study Activity

## Introduction and Overview

### Background

Emphasis:

- Refamiliarize yourself with Appendix S, Case Study
- Get acquainted with FEMA 426

### Requirements

Refer to Case Study and, as a team, answer worksheet questions

Use Case Study data to answer worksheet questions

- Ask instructors any clarifying questions based upon your experience



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# Unit II

## Asset Value Assessment



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# Unit Objectives

**Identify** the assets of a building or site that can be affected by a threat or hazard.

**Explain** the components used to determine the value of an asset.

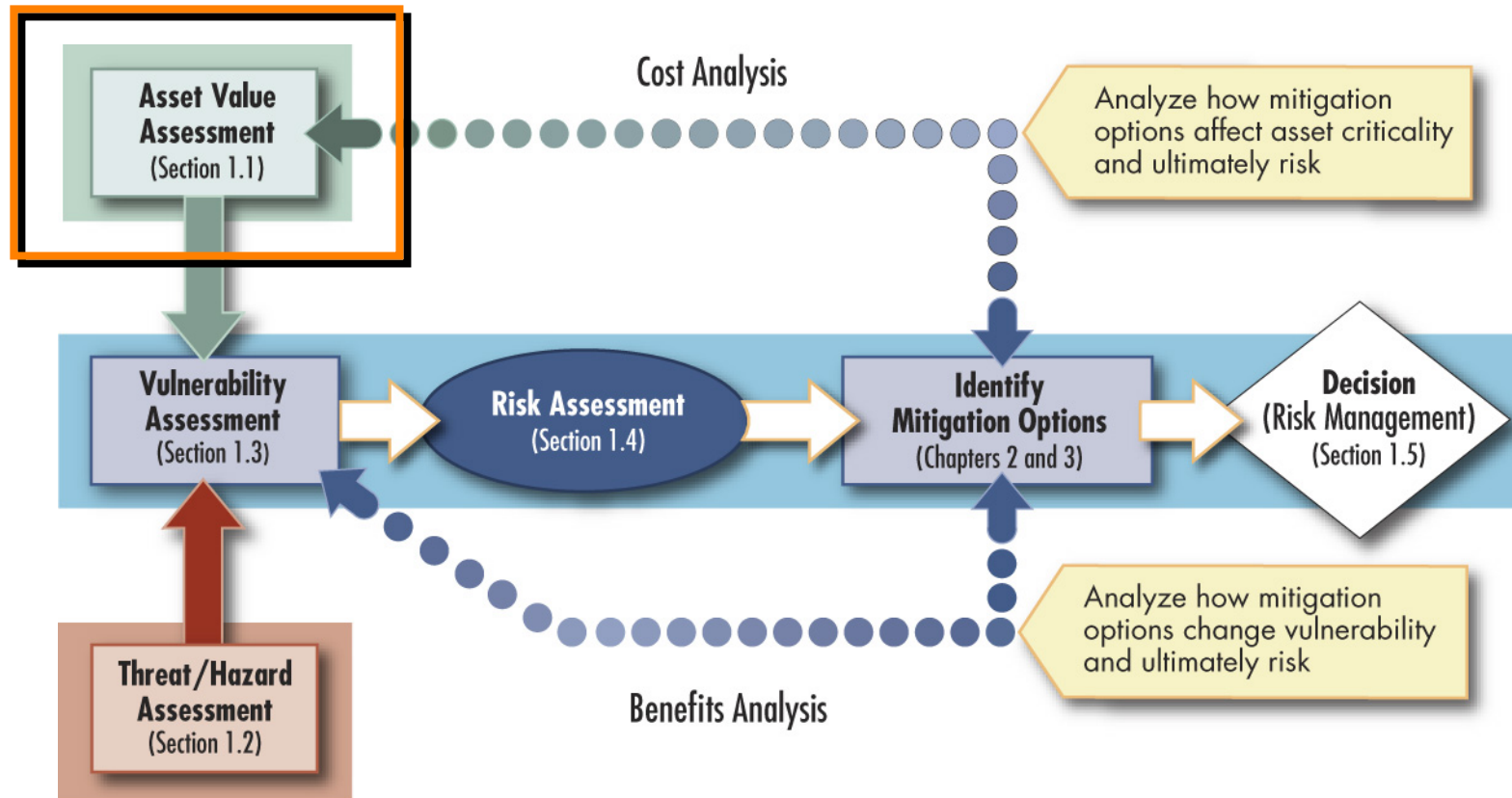
**Determine** the critical assets of a building or site.

**Provide** a numerical rating for the asset and justify the basis for the rating.



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# Assessment Flow Chart



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FEMA 426, Figure 1-3: The Assessment Process Model, p. 1-5

# Definition of Risk

Risk is a combination of:

- The probability that an event will occur, and
- The consequences of its occurrence

	Low Risk	Medium Risk	High Risk
Risk Factors Total	1-60	61-175	≥ 176

Risk = Asset Value x Threat Rating x Vulnerability Rating

Infrastructure	Function
Replacement/Repair	People
Loss of Use	

**Asset** - A resource of value requiring protection. An asset can be tangible, such as buildings, facilities, equipment, activities, operations, and information; or intangible, such as processes or a company's information and reputation.

FEMA 426, Table 1-19: Total Risk Color Code, p. 1-38



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# People and Asset Value

**Asset Value** - The degree of debilitating impact that would be caused by the incapacity or destruction of an asset.



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# Identification of a Building's Assets

## Two Step Process

**Step 1:** Define and understand a building's core functions and processes

**Step 2:** Identify site and building infrastructure and systems



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# Asset Value

## Core Functions

- Primary services or outputs
- Critical activities
- Identify customers
- Inputs from external organizations

## Critical Infrastructure

- Injuries or deaths related to lifelines
- Effect on core functions
- Existence of backups
- Availability of replacements
- Critical support lifelines
- Critical or sensitive information



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# Asset Value Rating

Asset Value		
Very High	10	Very High – Loss or damage of the building’s assets would have exceptionally grave consequences, such as extensive loss of life, widespread severe injuries, or total loss of primary services core processes, and functions.
High	8-9	High – Loss or damage of the building’s assets would have grave consequences, such as loss of life, severe injuries, loss primary services or major loss of core processes and functions for an extended period of time.
Medium High	7	Medium High – Loss or damage of the building’s assets would have serious consequences, such as serious injuries or impairment of core processes and functions for an extended period of time.

## Key elements

- Loss of assets and/or people would have grave, serious, moderate, or negligible consequences or impact



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FEMA 426, Adaptation of Table 1-1: Asset Value Scale, p. 1-13

# Asset Value Rating (continued)

Asset Value		
Medium	5-6	Medium – Loss or damage of the building’s assets would have moderate to serious consequences, such as injuries or impairment of core functions and processes.
Medium Low	4	Medium Low – Loss or damage of the building’s assets would have moderate consequences, such as minor injuries or minor impairment of core functions and processes
Low	2-3	Low – Loss or damage of the building’s assets would have minor consequences or impact, such as a slight impact on core functions and processes for a short period of time.
Very Low	1	Very Low – Loss or damage of the building’s assets would have negligible consequences or impact.

## Key elements

- Loss of assets and/or people would have grave, serious, moderate, or negligible consequences or impact



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FEMA 426, Adaptation of Table 1-1: Asset Value Scale, p. 1-13

# Asset Value Notional Example

Asset	Value	Numeric Value
Site	Medium Low	4
Architectural	Medium	5
Structural Systems	High	8
Envelope Systems	Medium High	7
Utility Systems	Medium High	7
Mechanical Systems	Medium High	7
Plumbing and Gas Systems	Medium	5
Electrical Systems	Medium High	7
Fire Alarm Systems	High	9
IT/Communications Systems	High	8



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FEMA 426, Table 1-2: Nominal Building Asset Value Assessment, p. 1-14

# Critical Functions

Function	Cyber attack	Armed attack (single gunman)	Vehicle bomb	CBR attack
<b>Administration</b>				
<b>Asset Value</b>	<b>5</b>	<b>5</b>	<b>5</b>	<b>5</b>
Threat Rating				
Vulnerability Rating				
<b>Engineering</b>				
<b>Asset Value</b>	<b>8</b>	<b>8</b>	<b>8</b>	<b>8</b>
Threat Rating				
Vulnerability Rating				



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FEMA 426, Adaptation of Table 1-20: Site Functional Pre-Assessment Screening Matrix, p. 1-38

# Critical Infrastructure

Infrastructure	Cyber attack	Armed attack (single gunman)	Vehicle bomb	CBR attack
<b>Site</b>				
<b>Asset Value</b>	<b>4</b>	<b>4</b>	<b>4</b>	<b>4</b>
Threat Rating				
Vulnerability Rating				
<b>Structural Systems</b>				
<b>Asset Value</b>	<b>8</b>	<b>8</b>	<b>8</b>	<b>8</b>
Threat Rating				
Vulnerability Rating				



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FEMA 426, Adaptation of Table 1-21: Site Infrastructure Systems  
Pre-Assessment Screening Matrix, p. 1-39

# Summary

**Identify** a building's Critical Functions and Critical Infrastructure

**Assign** a value to a building's assets or resources

**Input** values into the Critical Functions and Critical Infrastructure Matrices



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# Unit II Case Study Activity

## Asset Value Ratings

### Background

Asset value: degree of debilitating impact that would be caused by the incapacity or destruction of a building's assets

FEMA 426: Tables 1-1 and 1-2

### Requirements

Refer to Case Study and answer worksheet questions:

- Identify Core Functions
- Identify Building Assets
- Quantify Asset Values



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# Unit III

## Threat / Hazard Assessment



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# Unit Objectives

**Identify** the threats and hazards that may impact a building or site.

**Define** each threat and hazard using the FEMA 426 methodology.

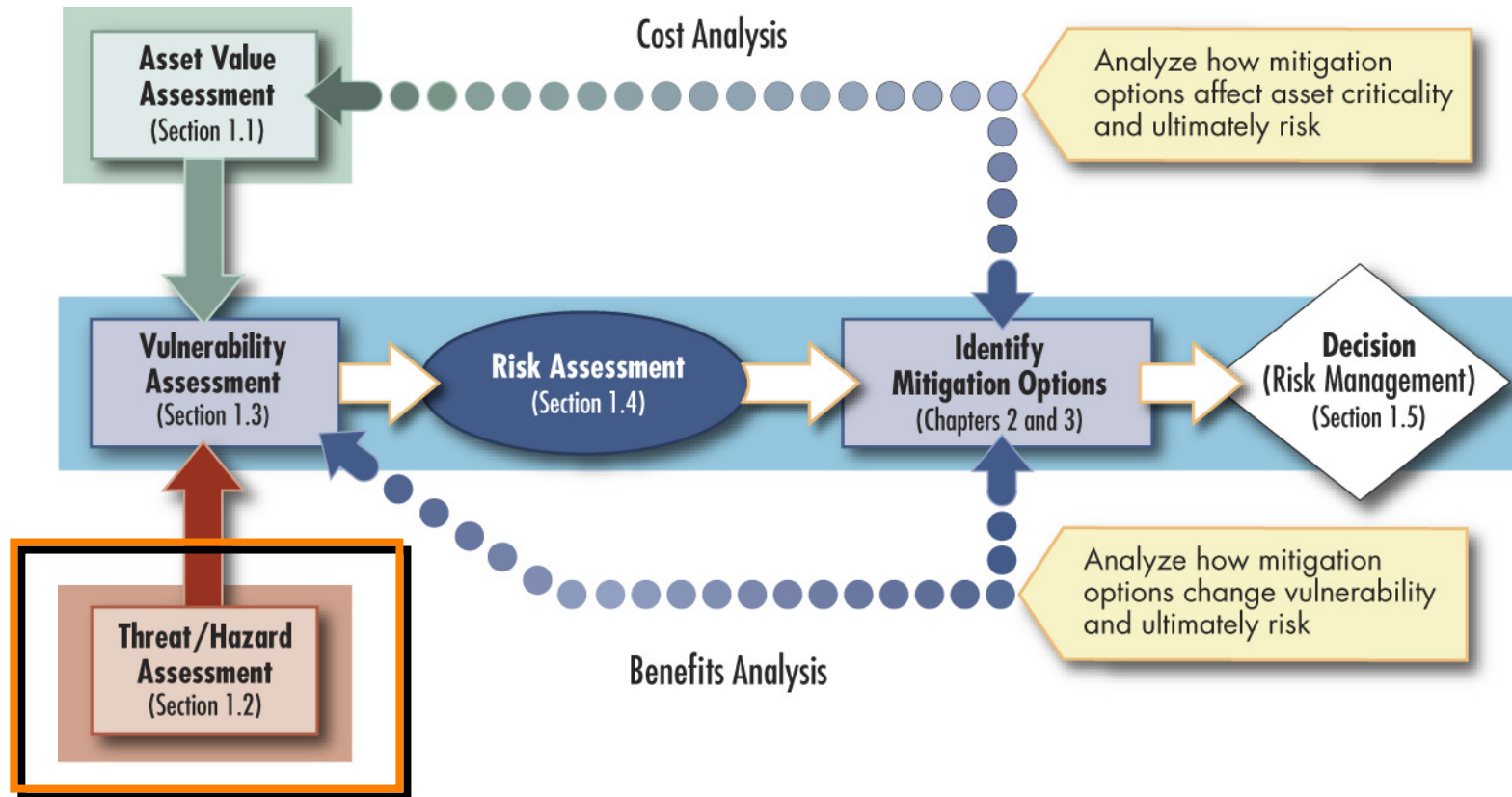
**Provide** a numerical rating for the threat or hazard and justify the basis for the rating.

**Define** the Design Basis Threat, Levels of Protection, and Layers of Defense.



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# Assessment Flow Chart

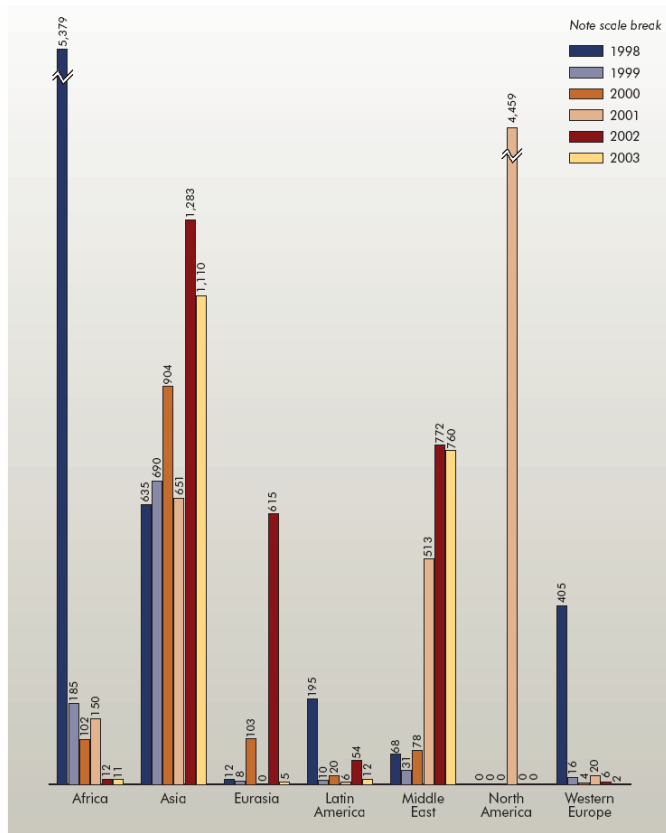


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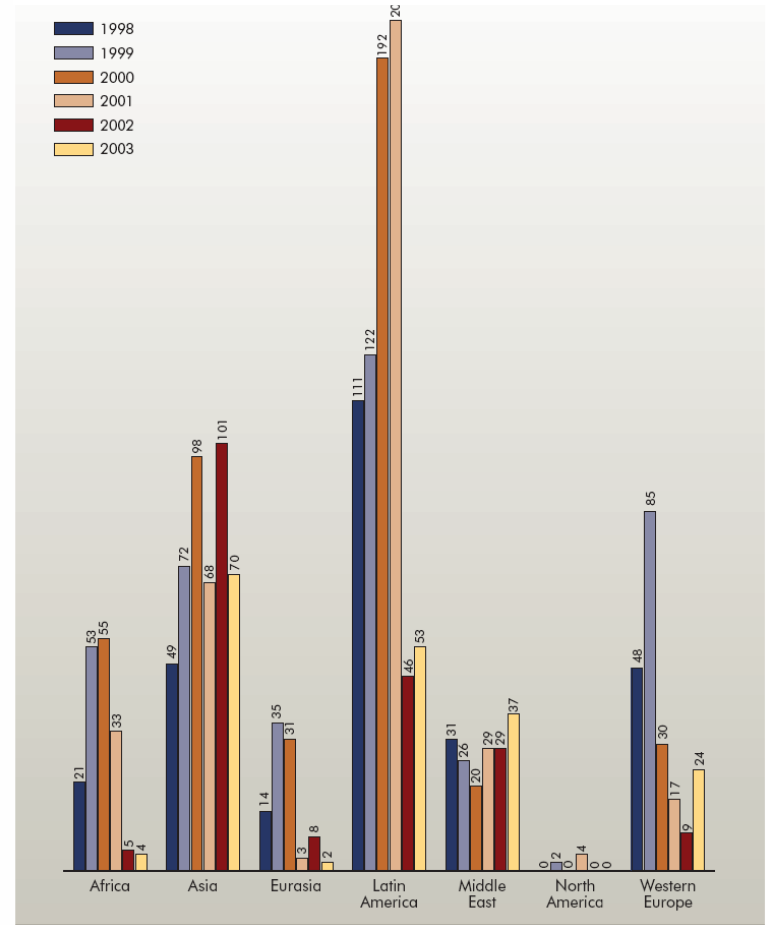
FEMA 426, Figure 1-3: The Assessment Process Model, p. 1-5

# Nature of the Threat

## International Casualties by Region 1998-2003



## International Attacks by Region 1998-2003

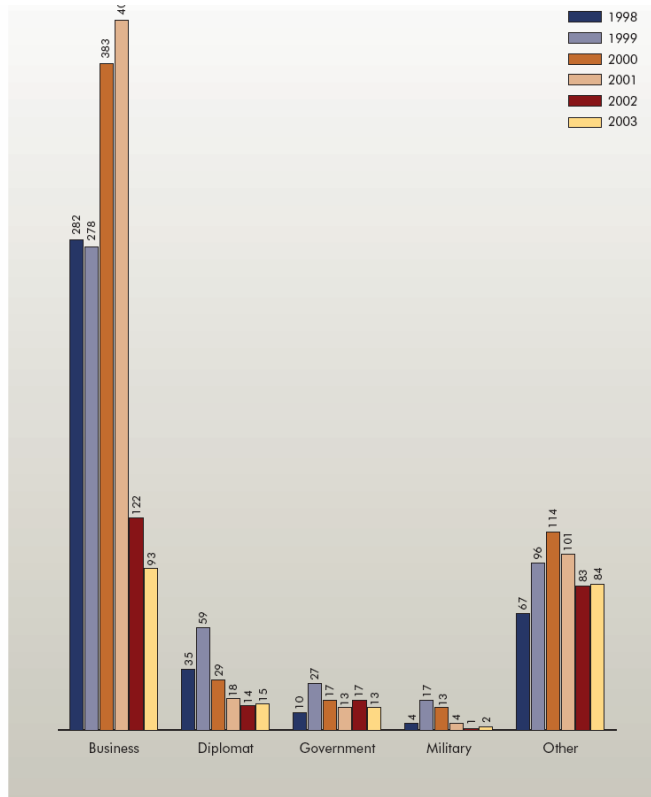


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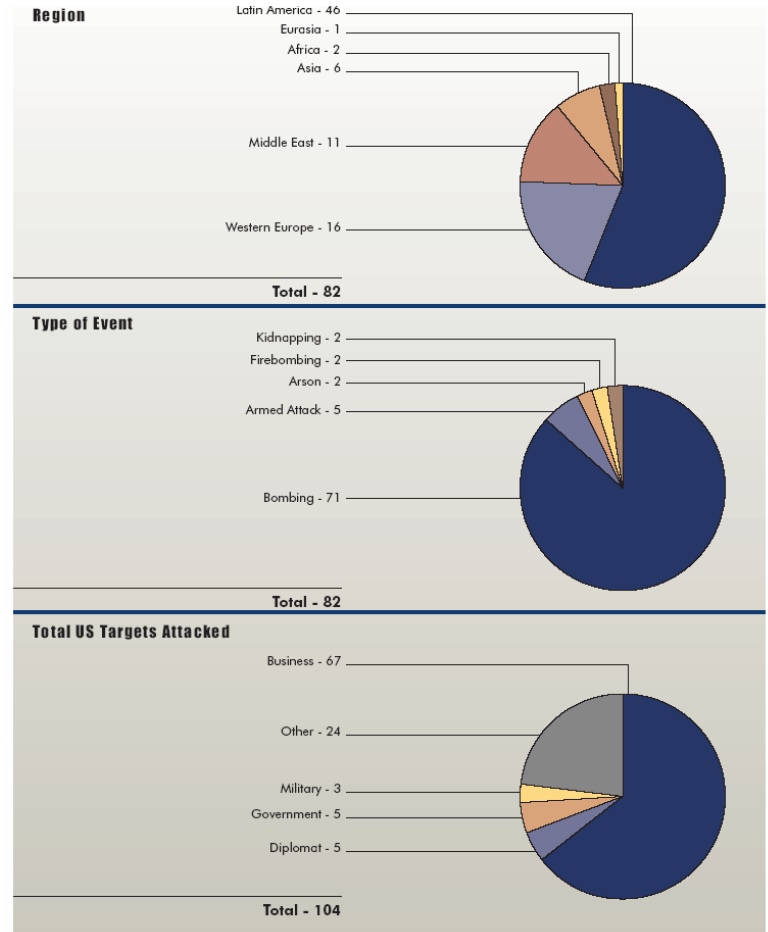
From *Patterns of Global Terrorism 2003* Department of State April 2004

# Nature of the Threat

## Facilities Struck by International Attacks 1998-2003



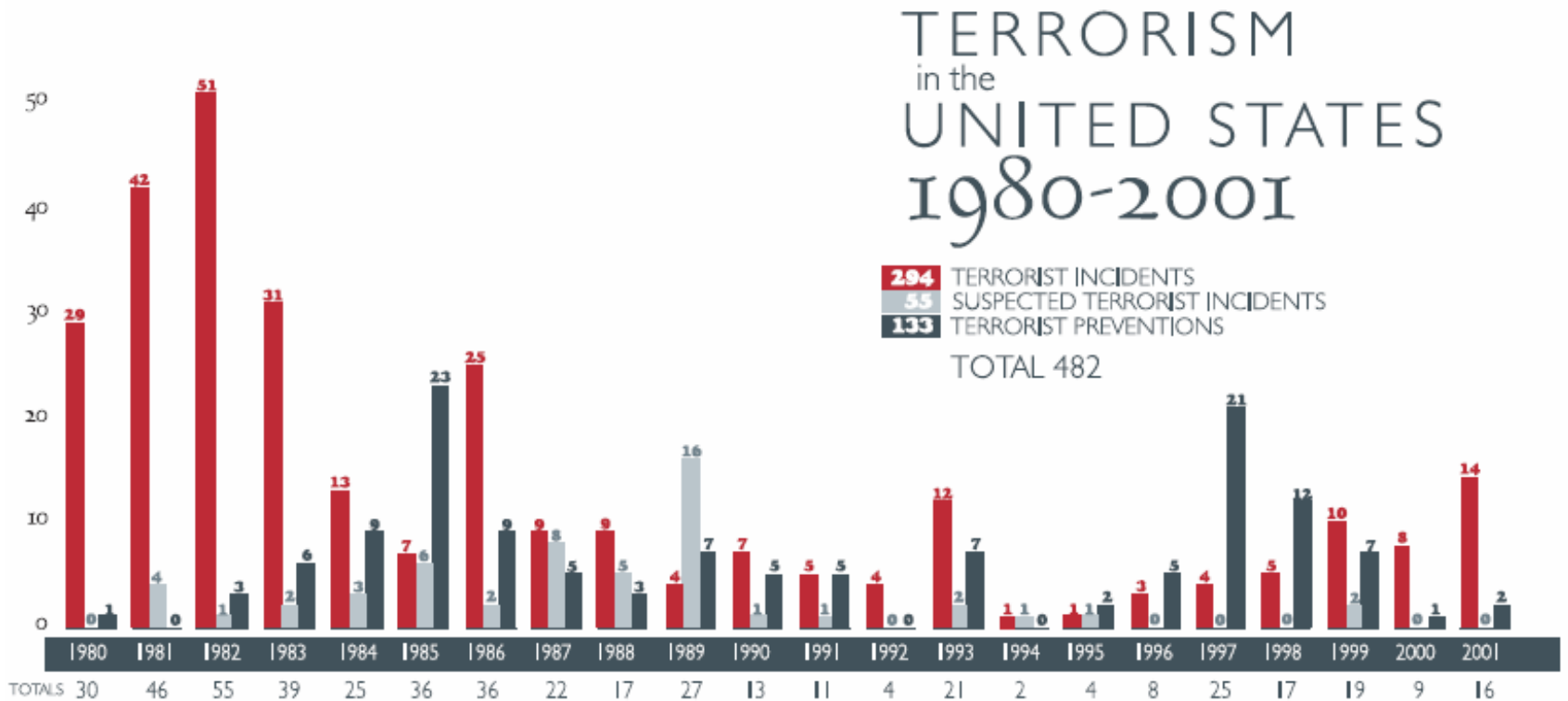
## Total Anti-US Attacks 2003



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From *Patterns of Global Terrorism 2003* Department of State April 2004

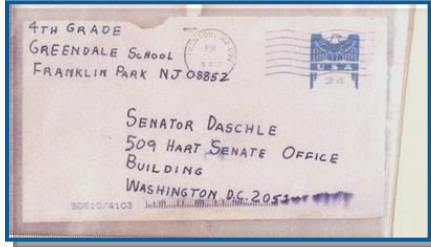
# Nature of the Threat



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From *Terrorism 2000/2001* FBI Publication #0308

# CBR Terrorist Incidents Since 1970



1972  
Typhoid

70 75 80



1984  
Salmonella  
200 Injured

1984  
Botulinum

1985  
Cyanide

June 1994  
Sarin  
7 Dead,  
200 Injured

1992 Cyanide  
March 1995 Ricin

April 1995  
Sarin  
April-June 1995  
Cyanide, Phosgene,  
Pepper Spray

March 1995 Sarin  
12 Dead, 5,500 Affected

May 1995  
Plague

February 1997  
Chlorine  
14 Injured,  
500 Evacuated

June 1996  
Uranium

December 1995  
Ricin

November 1995  
Radioactive Cesium

April 1997  
U235

March 1998  
Cesium-137

2001  
Anthrax

00



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# Hazard

**Hazard** - A source of potential danger or adverse condition.

- Natural Hazards are naturally-occurring events such as floods, earthquakes, tornadoes, tsunamis, coastal storms, landslides, hurricanes, and wildfires.



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# Manmade Threats

**Threats** – Any indication, circumstance, or event with the potential to cause loss of, or damage to an asset. They can be technological accidents and terrorist attacks.



*Technological accident*



*Terrorism act*



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# Threat Overview

Any indication, circumstance, or event with the potential to cause loss of, or damage to an asset

Involves two steps:

- **Selection of primary threats:**  
tools and tactics as well as people with intent to cause harm
- **Determine the threat rating:**  
a parameter used to quantify your losses



**Weapons, tools, and tactics can change faster than a building can be modified.**



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# Threat Overview

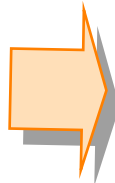
- Improvised Explosive Device (Bomb)
- Armed Attack
- Chemical Agent
- Biological Agent
- Radiological Agent
- Cyberterrorism



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# Step 1: Selection of Primary Threats

Criteria



## Selected Threats

- Cyber Attack
- Armed Attack
- Vehicle Bomb
- CBR Attack

Criteria							
Scenario	Access to Agent	Knowledge/ Expertise	History of Threats (Building Functions/ Tenants)	Asset Visibility/ Symbolic	Asset Accessibility	Site Population/ Capacity	Level of Defense
9-10	Readily available	Basic knowledge/ open source	Local incident, occurred recently, caused great damage; building functions and tenants were primary targets	Existence widely known/ iconic	Open access, unrestricted parking	> 5,000	Little to no defense against threats. No security design was taken into consideration and no mitigation measures adopted.
6-8	Easy to produce	Bachelor's degree or technical school/ open scientific or technical literature	Regional/State incident, occurred a few years ago, caused substantial damage; building functions and tenants were one of the primary targets	Existence locally known/ landmark	Open access, restricted parking	1,001-5,000	Minimal defense against threats. Minimal security design was taken into consideration and minimal mitigation measures adopted.
3-5	Difficult to produce or acquire	Advanced training/ rare scientific or declassified literature	National incident, occurred some time in the past, caused important damage; building functions and tenants were one of the primary targets	Existence published/ well-known	Controlled access, protected entry	251-1,000	Significant defense against threats. Significant security design was taken into consideration and substantial mitigation measures adopted.
1-2	Very difficult to produce or acquire	Advanced degree or training/ classified information	International incident, occurred many years ago, caused localized damage; building functions and tenants were not the primary targets	Existence not well-known/ no symbolic importance	Remote location, secure perimeter, armed guards, tightly controlled access	1-250	Extensive defense against threats. Extensive security design was taken into consideration and extensive mitigation measures adopted.

FEMA 452, Table 1-4: Criteria to Select Primary Threats, p. 1-20



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# Step 1: Selection of Primary Threats

Scenario	Criteria							Score
	Access to Agent	Knowledge/Expertise	History of Threats (Building Functions/Tenants)	Asset Visibility/Symbolic	Asset Accessibility	Site Population/Capacity	Level of Defense	
<b>Improvised Explosive Device (Bomb)</b>								
1-lb. Mail Bomb	9	9	3	8	3	10	3	45
5-lb. Pipe Bomb	9	9	3	8	3	10	3	45
50-lb. Satchel Bomb/Suicide Bomber	8	8	6	8	3	10	5	48
500-lb. Car Bomb	6	8	7	8	3	10		
5,000-lb. Truck Bomb	4	8	5	8	3	10		
20,000-lb. Truck Bomb	2	6	1	8	3	10		
Natural Gas	2	8	1	8	3	10		



Scenario	Criteria							Score	
	Access to Agent	Knowledge/Expertise	History of Threats (Building Functions/Tenants)	Asset Visibility/Symbolic	Asset Accessibility	Site Population/Capacity	Level of Defense		
<b>Chemical Agent</b>									
Choking	Chlorine	5	7	2	8	3	10	5	40
	Phosgene	3	10	2	8	3	10	5	41
Blood	Hydrogen Cyanide	3	8	2	8	3	10	5	39
Blister	Lewisite	3	6	2	8	3	10	5	37
Nerve	Sarin	3	4	9	8	3	10	5	42



**FEMA**

FEMA 452, Adaptation of Table 1-5: Nominal Example to Select Primary Threats for a Specific Urban Multi-story Building, p. 1-21

# Step 2: Determine the Threat Rating

Threat Rating		
Very High	10	Very High – The likelihood of a threat, weapon, and tactic being used against the site or building is imminent. Internal decision-makers and/or external law enforcement and intelligence agencies determine the threat is credible.
High	8-9	High – The likelihood of a threat, weapon, and tactic being used against the site or building is expected. Internal decision-makers and/or external law enforcement and intelligence agencies determine the threat is credible.
Medium High	7	Medium High – The likelihood of a threat, weapon, and tactic being used against the site or building is probable. Internal decision-makers and/or external law enforcement and intelligence agencies determine the threat is credible.



## Key elements

- Likelihood of a threat (credible, verified, exists, unlikely, unknown)
- If the use of the weapon is considered imminent, expected, or probable



FEMA

FEMA 452 Table 1-6: Threat Rating, p. 1-24

# Step 2: Determine the Threat Rating

(continued)

Threat Rating		
Medium	5-6	Medium – The likelihood of a threat, weapon, and tactic being used against the site or building is possible. Internal decision-makers and/or external law enforcement and intelligence agencies determine the threat is known, but is not verified.
Medium Low	4	Medium Low – The likelihood of a threat, weapon, and tactic being used in the region is probable. Internal decision-makers and/or external law enforcement and intelligence agencies determine the threat is known, but is not likely.
Low	2-3	Low – The likelihood of a threat, weapon, and tactic being used in the region is possible. Internal decision-makers and/or external law enforcement and intelligence agencies determine the threat exists, but is not likely.
Very Low	1	Very Low – The likelihood of a threat, weapon, and tactic being used in the region or against the site or building is very negligible. Internal decision-makers and/or external law enforcement and intelligence agencies determine the threat is non-existent or extremely unlikely.



## Key elements

- Likelihood of a threat (credible, verified, exists, unlikely, unknown)
- If the use of the weapon is considered imminent, expected, or probable



FEMA

FEMA 452 Table 1-6: Threat Rating, p. 1-24

# Critical Functions

Function	Cyber attack	Armed attack (single gunman)	Vehicle bomb	CBR attack
<b>Administration</b>				
Asset Value	5	5	5	5
<b>Threat Rating</b>	<b>8</b>	<b>4</b>	<b>3</b>	<b>2</b>
Vulnerability Rating				
<b>Engineering</b>				
Asset Value	8	8	8	8
<b>Threat Rating</b>	<b>8</b>	<b>5</b>	<b>6</b>	<b>2</b>
Vulnerability Rating				



**FEMA**

FEMA 426, Adaptation of Table 1-20: Site Functional Pre-Assessment Screening Matrix, p. 1-38

# Critical Infrastructure

Infrastructure	Cyber attack	Armed attack (single gunman)	Vehicle bomb	CBR attack
<b>Site</b>				
Asset Value	4	4	4	4
<b>Threat Rating</b>	<b>4</b>	<b>4</b>	<b>3</b>	<b>2</b>
Vulnerability Rating				
<b>Structural Systems</b>				
Asset Value	8	8	8	8
<b>Threat Rating</b>	<b>3</b>	<b>4</b>	<b>3</b>	<b>2</b>
Vulnerability Rating				



**FEMA**

FEMA 426, Adaptation of Table 1-21: Site Infrastructure Systems  
Pre-Assessment Screening Matrix, p. 1-39



# Threat Sources

**Identify** Threat Statements

**Identify** Area Threats

**Identify** Facility-Specific Threats

**Identify** Potential Threat  
Element Attributes

Seek information from local law enforcement, FBI, U.S. Department of Homeland Security, and Homeland Security Offices at the state level.

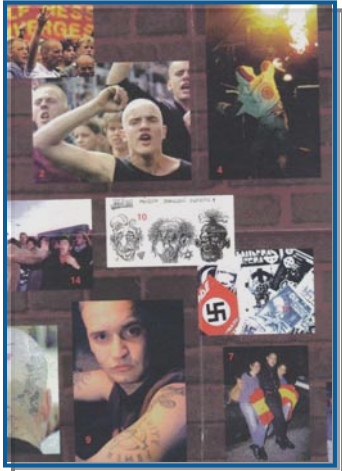


**FEMA**

FEMA 426, p. 1-14 to 1-15

# Design Basis Threat

The threat against which assets within a building must be protected and upon which the security engineering design of the building is based.



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# Levels of Protection

## Layers of Defense Elements

- Deter
- Detect
- Deny
- Devalue

The strategy of Layers of Defense uses the elements and Levels of Protection to develop mitigation options to counter or defeat the tactics, weapons, and effects of an attack defined by the Design Basis Threat.



**FEMA**

FEMA 426, p. 1-9

# Levels of Protection

**Deter:** The process of making the target inaccessible or difficult to defeat with the weapon or tactic selected. It is usually accomplished at the site perimeter using highly visible electronic security systems, fencing, barriers, lighting and security personnel; and in the building by security access with locks and electronic monitoring devices.

**Detect:** The process of using intelligence sharing and security services response to monitor and identify the threat before it penetrates the site perimeter or building access points.



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FEMA 426, p. 1-9

# Levels of Protection

**Deny:** The process of minimizing or delaying the degree of site or building infrastructure damage or loss of life or protecting assets by designing or using infrastructure and equipment designed to withstand blast and chemical, biological, or radiological effects.

**Devalue:** The process of making the site or building of little to no value or consequence, from the terrorists' perspective, such that an attack on the facility would not yield their desired result.



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FEMA 426, p. 1-9

# Levels of Protection

Level**	Typical Location	Examples of Tenant Agencies***	Security Measures (based on evaluation)
<b>I</b>	10 Employees (Federal) 2,500 Square Feet Low Volume Public Contact Small "Store Front" Type Operation	Local Office District Office Visitor Center USDA Office Ranger Station Commercial Facilities Industrial/Manufacturing Health Care	High Security Locks Intercom Peep Hole (Wide View) Lighting w/Emergency Backup Power Controlled Utility Access Annual Employee Security Training
<b>II</b>	11 - 150 Employees (Federal) 2,500 - 80,000 Square Feet Moderate Volume Public Contact Routine Operations Similar to Private Sector and/or Facility Shared with Private Sector	Public Officials Park Headquarters Regional/State Offices Commercial Facilities Industrial Manufacturing Health Care	Entry Control Package w/Closed Circuit Television (CCTV) Visitor Control/Screening Shipping/Receiving Procedures Guard/Patrol Assessment Intrusion Detection w/Central Monitoring CCTV Surveillance (Pan-Tilt, Zoom System) Duress Alarm w/Central Monitoring



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FEMA 426, Table 1-6: Classification Table Extracts, p. 1-26

# Levels of Protection (continued)

Level**	Typical Location	Examples of Tenant Agencies***	Security Measures (based on evaluation)
<b>III</b>	151 - 450 Employees (Federal) Multi-Story Facility 80,000 - 150,000 Square Feet Moderate/High Volume Public Contact Agency Mix: Law Enforcement Operations Court Functions Government Records	Inspectors General Criminal Investigations Regional/State Offices GSA Field Office Local Schools Commercial Facilities Industrial Manufacturing Health Care	Guard Patrol on Site Visitor Control/Screening Shipping/Receiving Procedures Intrusion Detection w/Central Monitoring CCTV Surveillance (Pan-Tilt/Zoom System) Duress Alarm w/Central Monitoring
<b>IV</b>	>450 Employees (Federal) Multi-Story Facility >150,000 Square Feet High Volume Public Contact High-Risk Law Enforcement/Intelligence Agencies District Court	Significant Buildings and Some Headquarters Federal Law Enforcement Agencies Local Schools, Universities Commercial Facilities Health Care	Extend Perimeter (Concrete/Steel Barriers) 24-Hour Guard Patrol Adjacent Parking Control Backup Power System Hardened Parking Barriers
<b>V</b>	Level IV Profile and Agency/Mission Critical to National Security	Principal Department Headquarters	Agency-Specific



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FEMA 426, Table 1-6: Classification Table Extracts, p. 1-26

# Levels of Protection

## DoD Minimum Antiterrorism (AT) Standards for New Buildings

Level of Protection	Potential Structural Damage	Potential Door and Glazing Hazards	Potential Injury
<b>Below AT standards</b>	Severely damaged. Frame collapse/massive destruction. Little left standing.	Doors and windows fail and result in lethal hazards	Majority of personnel suffer fatalities.
<b>Very Low</b>	Heavily damaged - onset of structural collapse. Major deformation of primary and secondary structural members, but progressive collapse is unlikely. Collapse of non-structural elements.	Glazing will break and is likely to be propelled into the building, resulting in serious glazing fragment injuries, but fragments will be reduced. Doors may be propelled into rooms, presenting serious hazards.	Majority of personnel suffer serious injuries. There are likely to be a limited number (10 percent to 25 percent) of fatalities.



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FEMA 426, Table 4-1, p. 4-9



# Levels of Protection (continued)

DoD  
Minimum  
Standards

Level of Protection	Potential Structural Damage	Potential Door and Glazing Hazards	Potential Injury
<b>Low</b>	Damaged – unreparable. Major deformation of non-structural elements and secondary structural members, and minor deformation of primary structural members, but progressive collapse is unlikely.	Glazing will break, but fall within 1 meter of the wall or otherwise not present a significant fragment hazard. Doors may fail, but they will rebound out of their frames, presenting minimal hazards.	Majority of personnel suffer significant injuries. There may be a few (<10 percent) fatalities.
<b>Medium</b>	Damaged – repairable. Minor deformations of non-structural elements and secondary structural members and no permanent deformation in primary structural members.	Glazing will break, but will remain in the window frame. Doors will stay in frames, but will not be reusable.	Some minor injuries, but fatalities are unlikely.
<b>High</b>	Superficially damaged. No permanent deformation of primary and secondary structural members or non-structural elements.	Glazing will not break. Doors will be reusable.	Only superficial injuries are likely.



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FEMA 426, Table 4-1, p. 4-9

# Levels of Protection

## UFC 4-010-01 APPENDIX B

### DoD MINIMUM ANTITERRORISM STANDARDS FOR NEW AND EXISTING BUILDINGS

<b>Standard 1</b>	Minimum Stand-off Distances
<b>Standard 2</b>	Unobstructed Space
<b>Standard 3</b>	Drive-Up/Drop-Off Areas
<b>Standard 4</b>	Access Roads
<b>Standard 5</b>	Parking Beneath Buildings or on Rooftops
<b>Standard 6</b>	Progressive Collapse Avoidance
<b>Standard 7</b>	Structural Isolation
<b>Standard 8</b>	Building Overhangs
<b>Standard 9</b>	Exterior Masonry Walls
<b>Standard 10</b>	Windows, Skylights, and Glazed Doors
<b>Standard 11</b>	Building Entrance Layout
<b>Standard 12</b>	Exterior Doors



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# Levels of Protection

## UFC 4-010-01 APPENDIX B

## DoD MINIMUM ANTITERRORISM STANDARDS FOR NEW AND EXISTING BUILDINGS

<b>Standard 13</b>	Mailrooms
<b>Standard 14</b>	Roof Access
<b>Standard 15</b>	Overhead Mounted Architectural Features
<b>Standard 16</b>	Air Intakes
<b>Standard 17</b>	Mailroom Ventilation
<b>Standard 18</b>	Emergency Air Distribution Shutoff
<b>Standard 19</b>	Utility Distribution and Installation
<b>Standard 20</b>	Equipment Bracing
<b>Standard 21</b>	Under Building Access
<b>Standard 22</b>	Mass Notification



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# Summary

## Process

- Identify each threat/hazard
- Define each threat/hazard
- Determine threat level for each threat/hazard

## Threat Assessment Specialist Tasks

Critical Infrastructure and Critical Function Matrix

Determine the “Design Basis Threat”

Select the “Level of Protection”



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# Unit III Case Study Activity

## Threat Ratings

### Background

Hazards categories: natural and manmade

Case Study Threats: Cyber Attack, Armed Attack, Vehicle Bomb, and CBR Attack (latter two are main focus of course)

Result of assessment: “Threat Rating,” a subjective judgment of threat

### Requirements

Refer to Case Study data

Complete worksheet tables:

- Critical Function Threat Rating
- Critical Infrastructure Threat Rating



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*BUILDING DESIGN FOR HOMELAND SECURITY*

# Unit IV

# Vulnerability Assessment



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# Vulnerability

Any weakness that can be exploited by an aggressor or, in a non-terrorist threat environment, make an asset susceptible to hazard damage



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# Unit Objectives

**Explain** what constitutes a vulnerability.

**Identify** vulnerabilities using the Building Vulnerability Assessment Checklist.

**Understand** that an identified vulnerability may indicate that an asset:

- is vulnerable to more than one threat or hazard;
- and that mitigation measures may reduce vulnerability to one or more threats or hazards.

**Provide** a numerical rating for the vulnerability and justify the basis for the rating.



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# Vulnerability Assessment

**Identify** site and building systems design issues

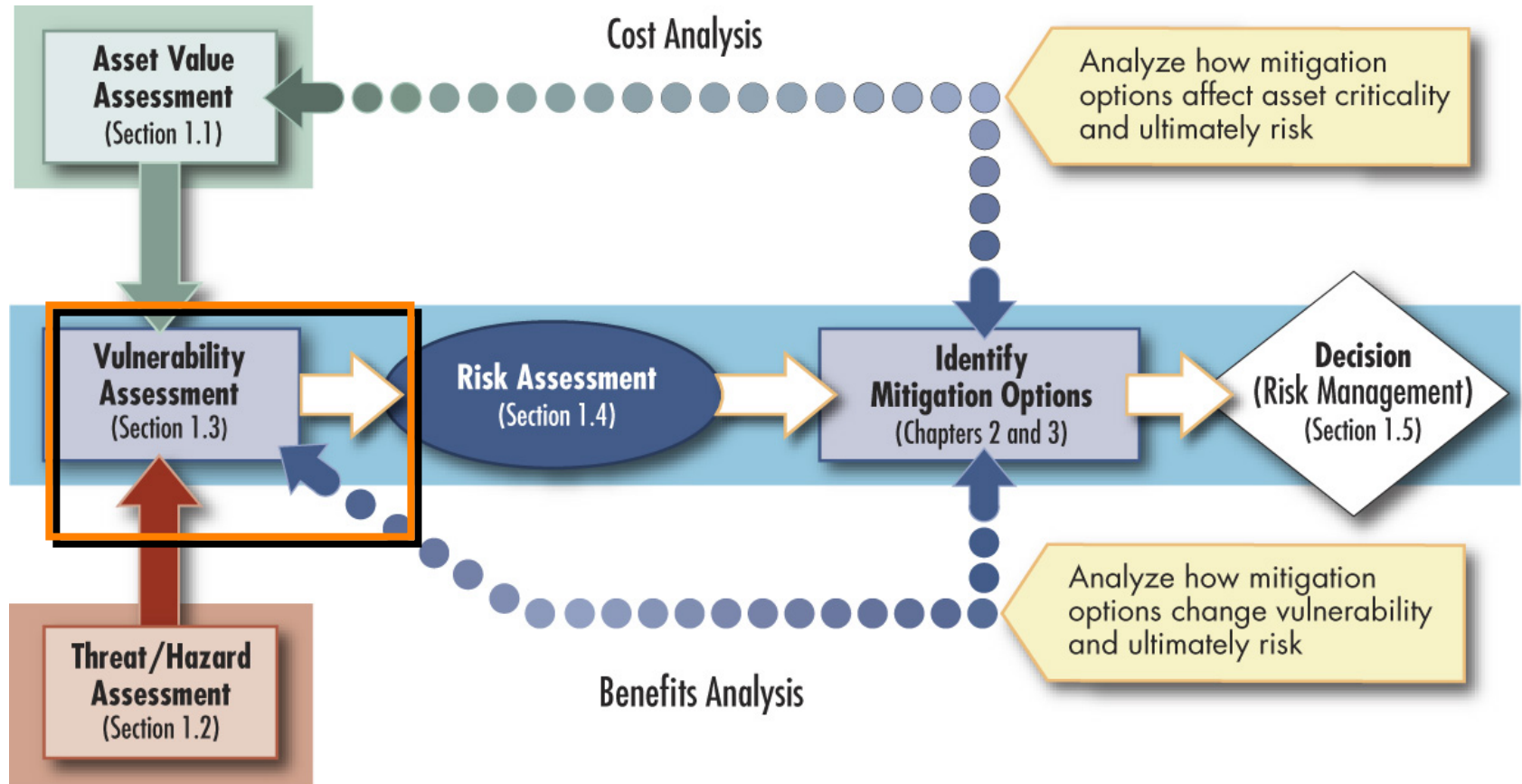
**Evaluate** design issues against type and level of threat

**Determine** level of protection sought for each mitigation measure against each threat



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# Assessment Flow Chart



FEMA 426, Figure 1-3: The Assessment Process Model, p. 1-5

# Identifying Vulnerabilities

## Multidisciplinary Team

- Engineers
- Architects
- Security specialists
- Subject matter experts
- Outside experts if necessary



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# Vulnerability Assessment Preparation

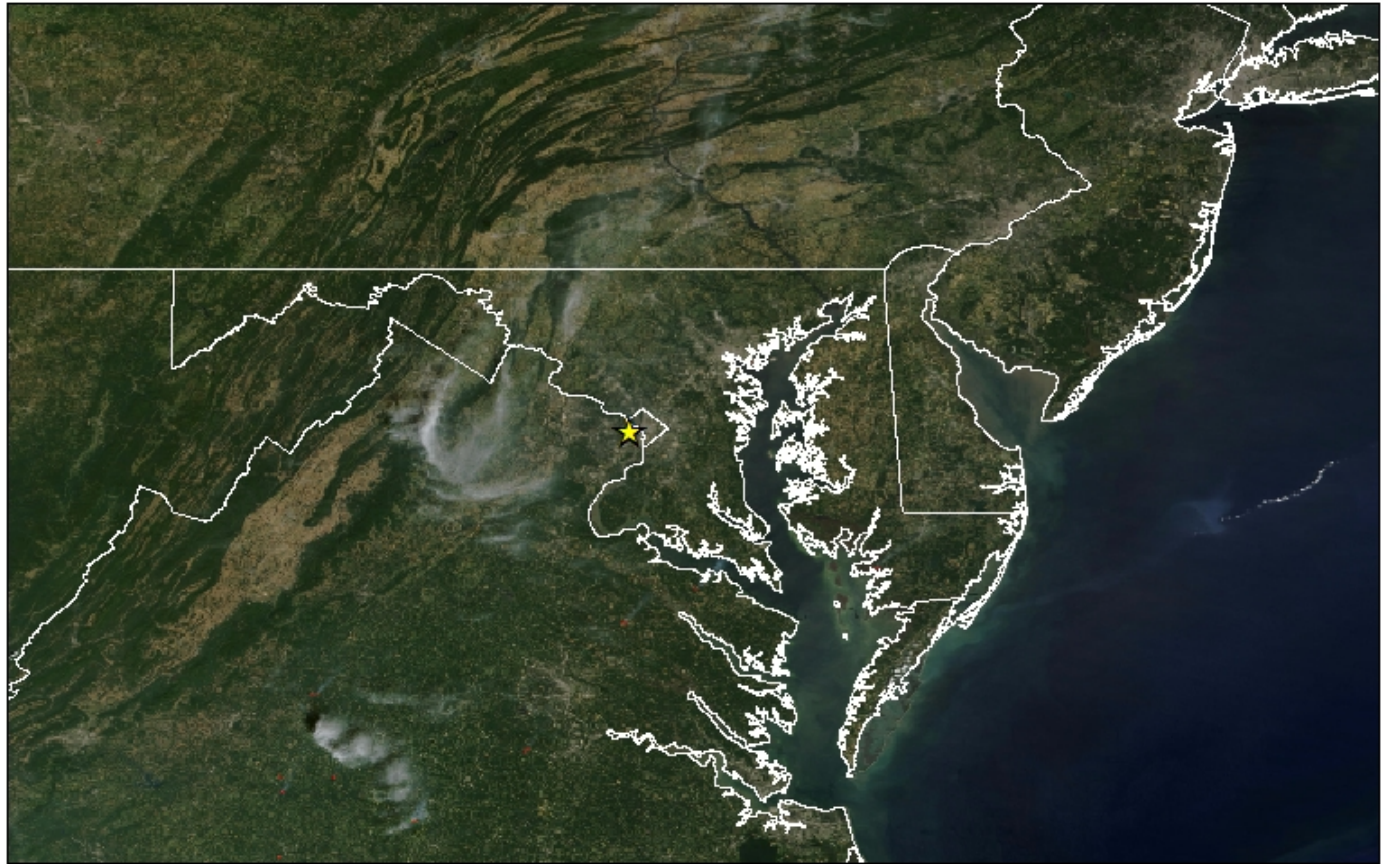
Coordinate with the building stakeholders:

- Site and Building Plans
- Utilities
- Emergency Plans (shelter, evacuation)
- Interview schedules
- Escorts for building access



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# Assessment GIS Portfolio



Arlington County Assessments  
Arlington County - Virginia

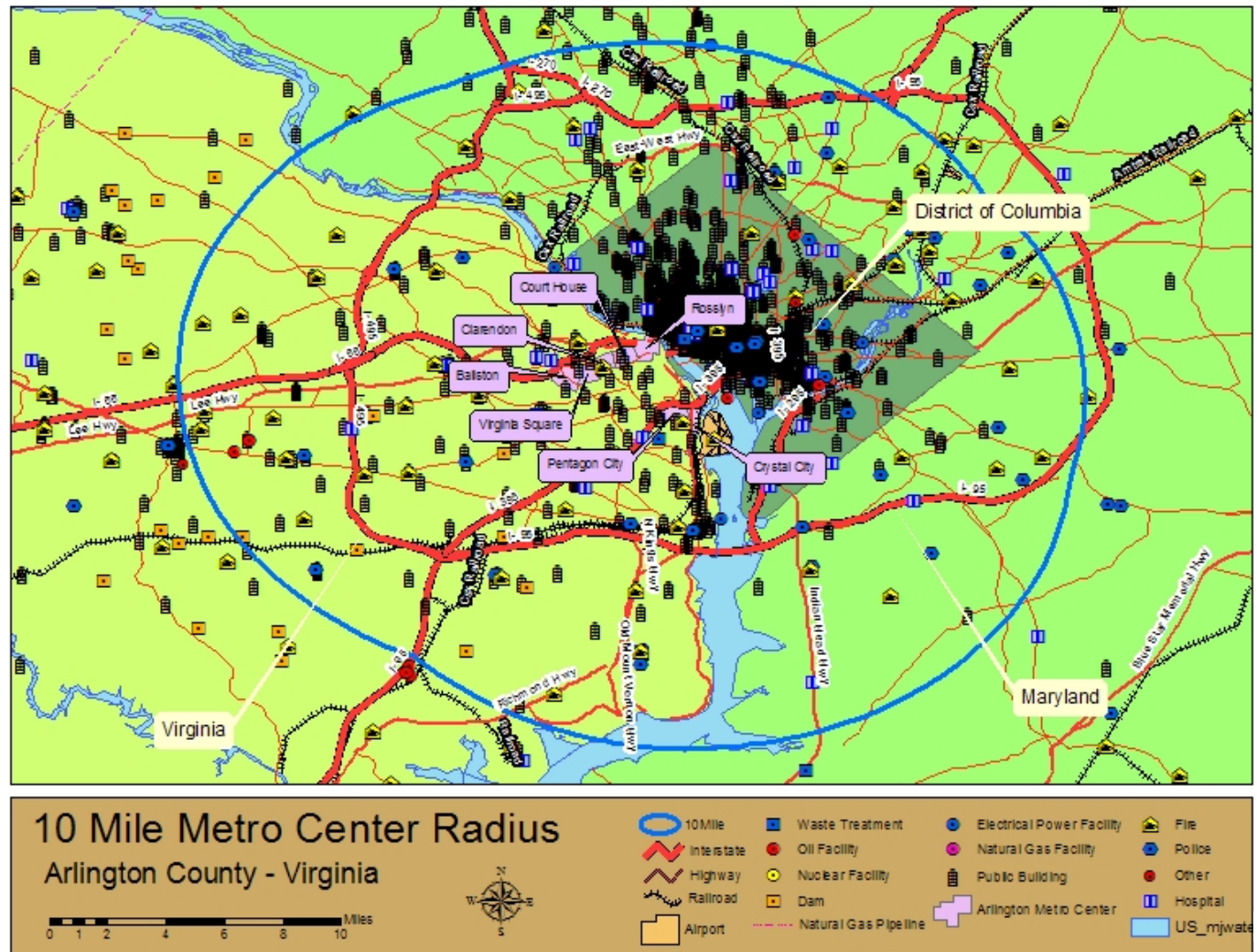


★ Arlington County



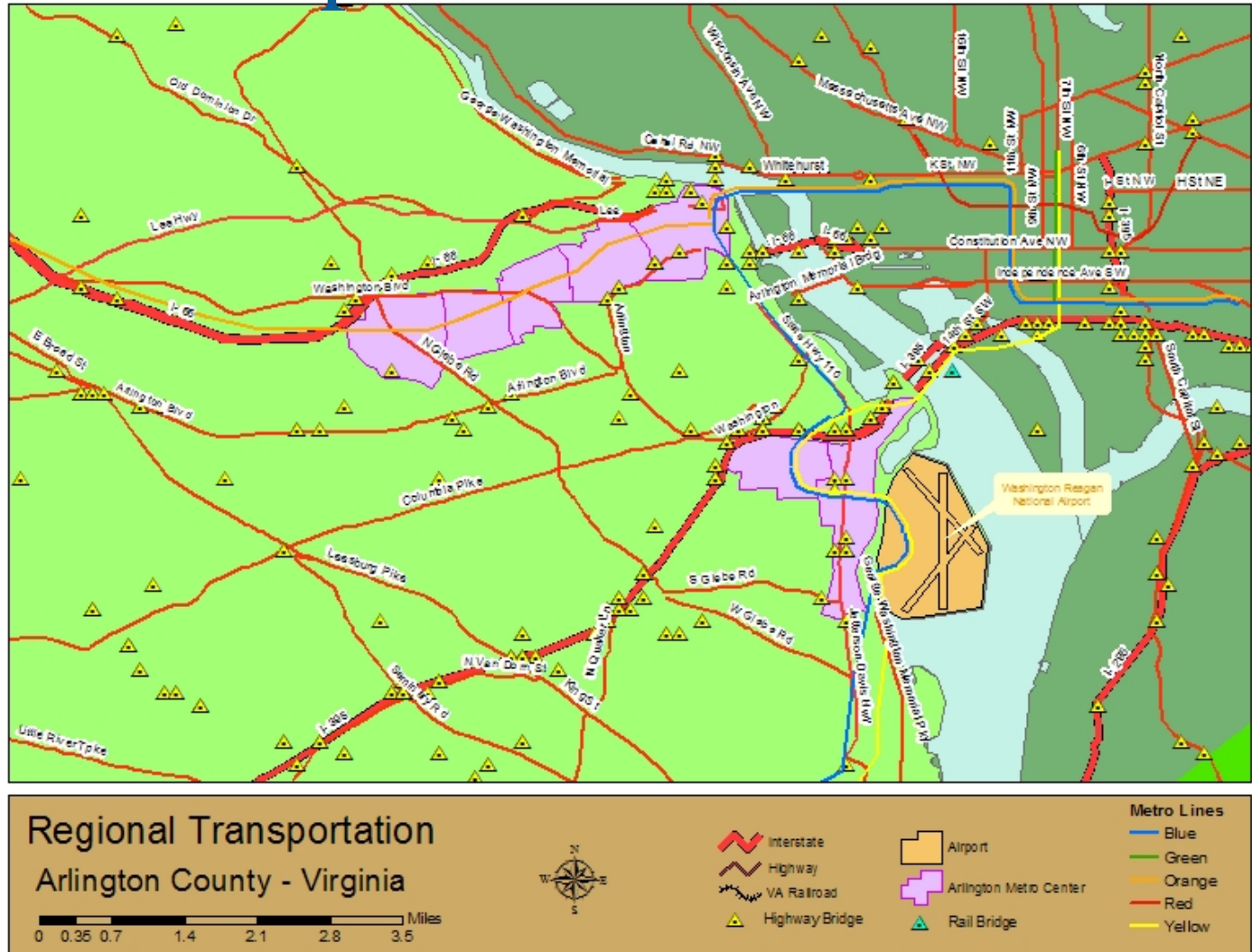
**FEMA**

# 10-Mile Radius



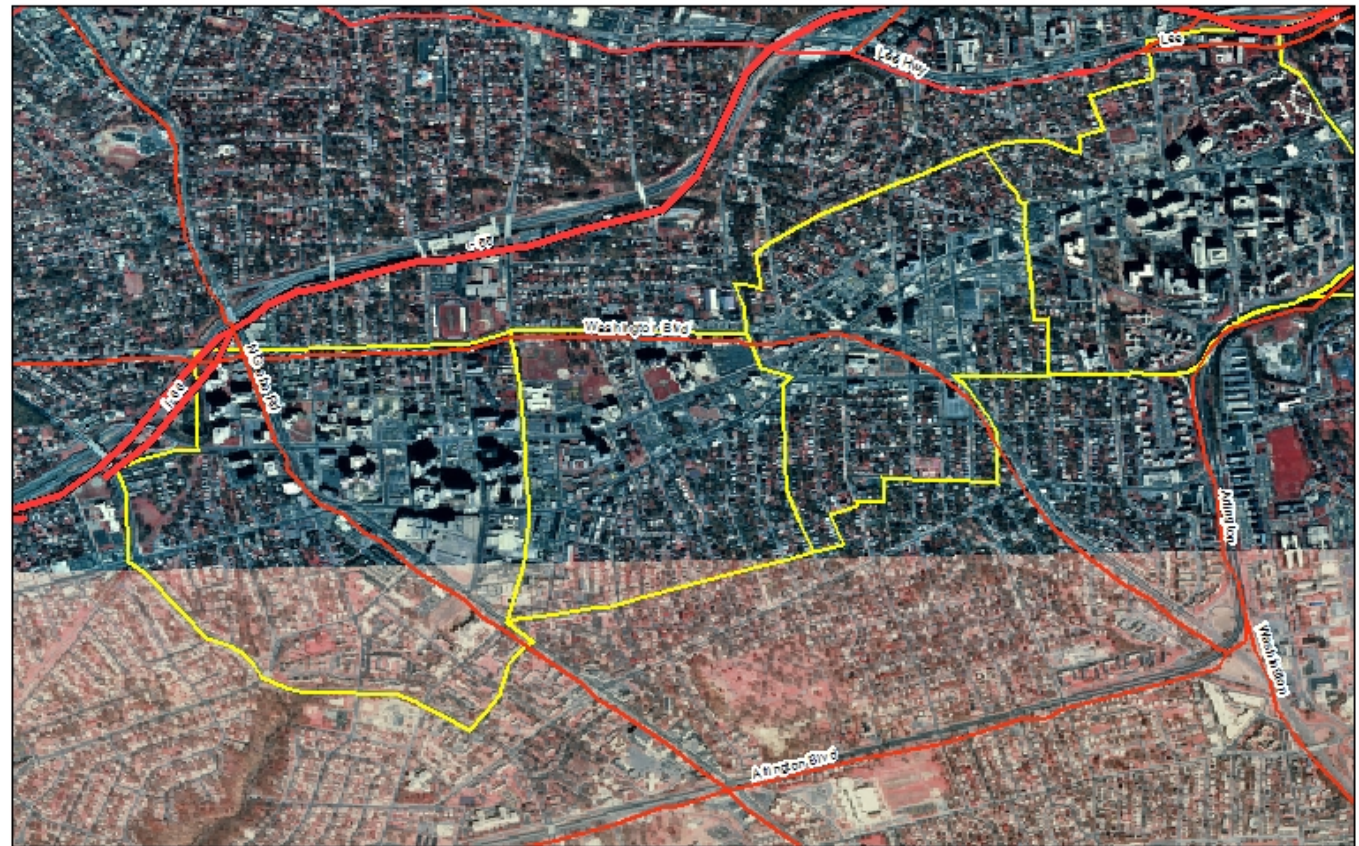
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# Regional Transportation



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# Metro Center Imagery



Metro Center Imagery

Arlington County - Virginia



-  Interstate
-  Highway
-  VA Railroad
-  Arlington Metro Center

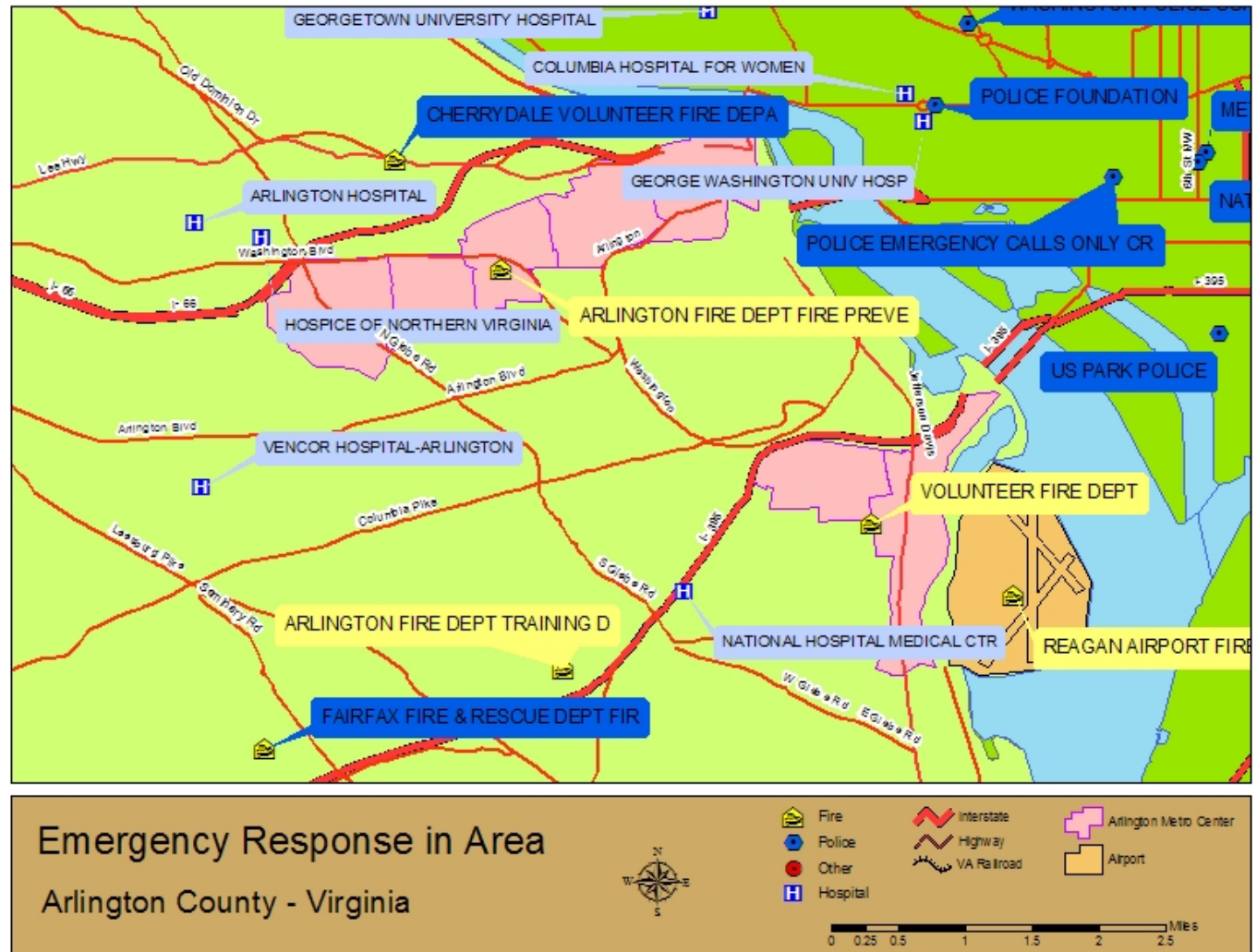
0 0.1 0.2 0.4 0.6 0.8 1 Miles



FEMA

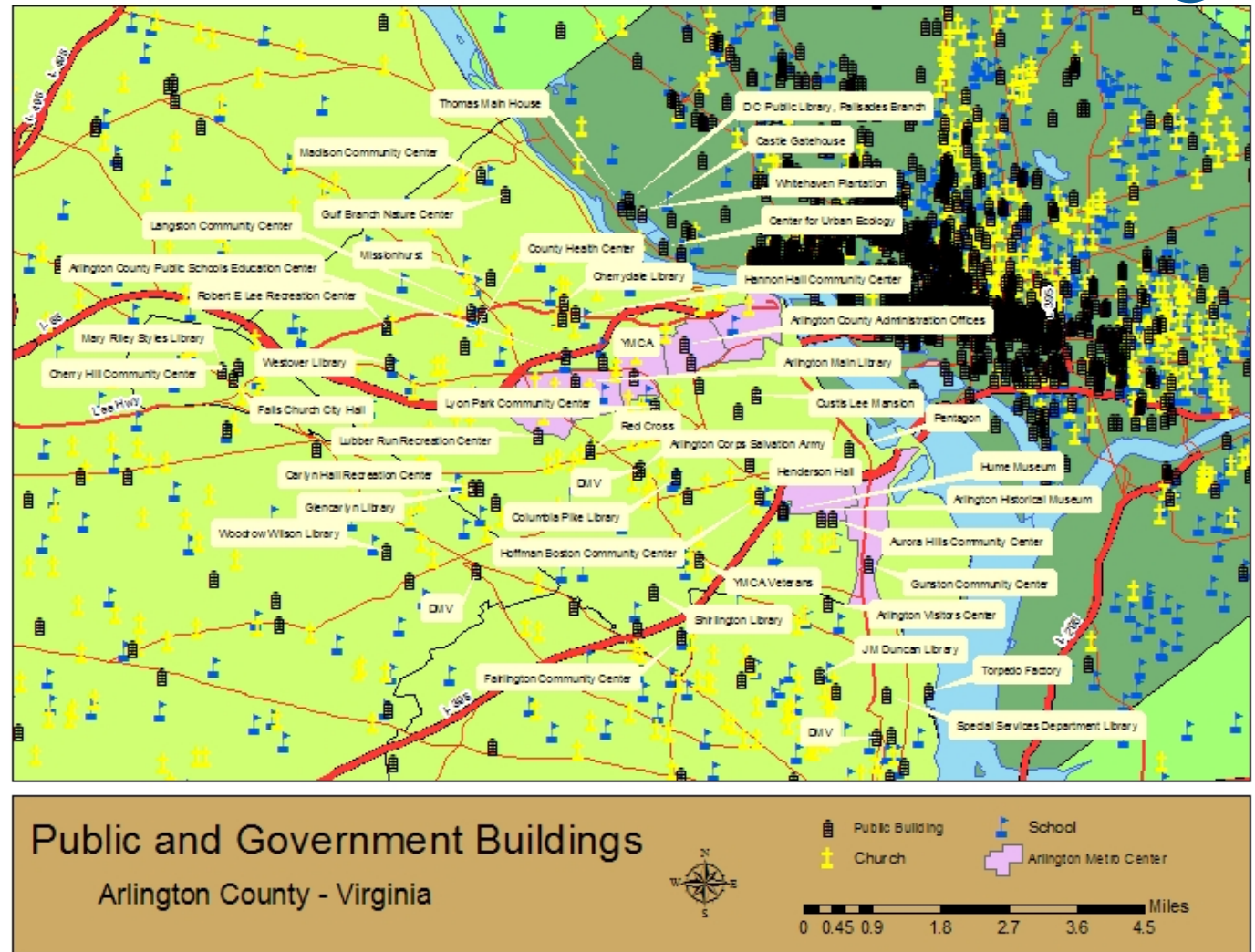


# Site Emergency Response



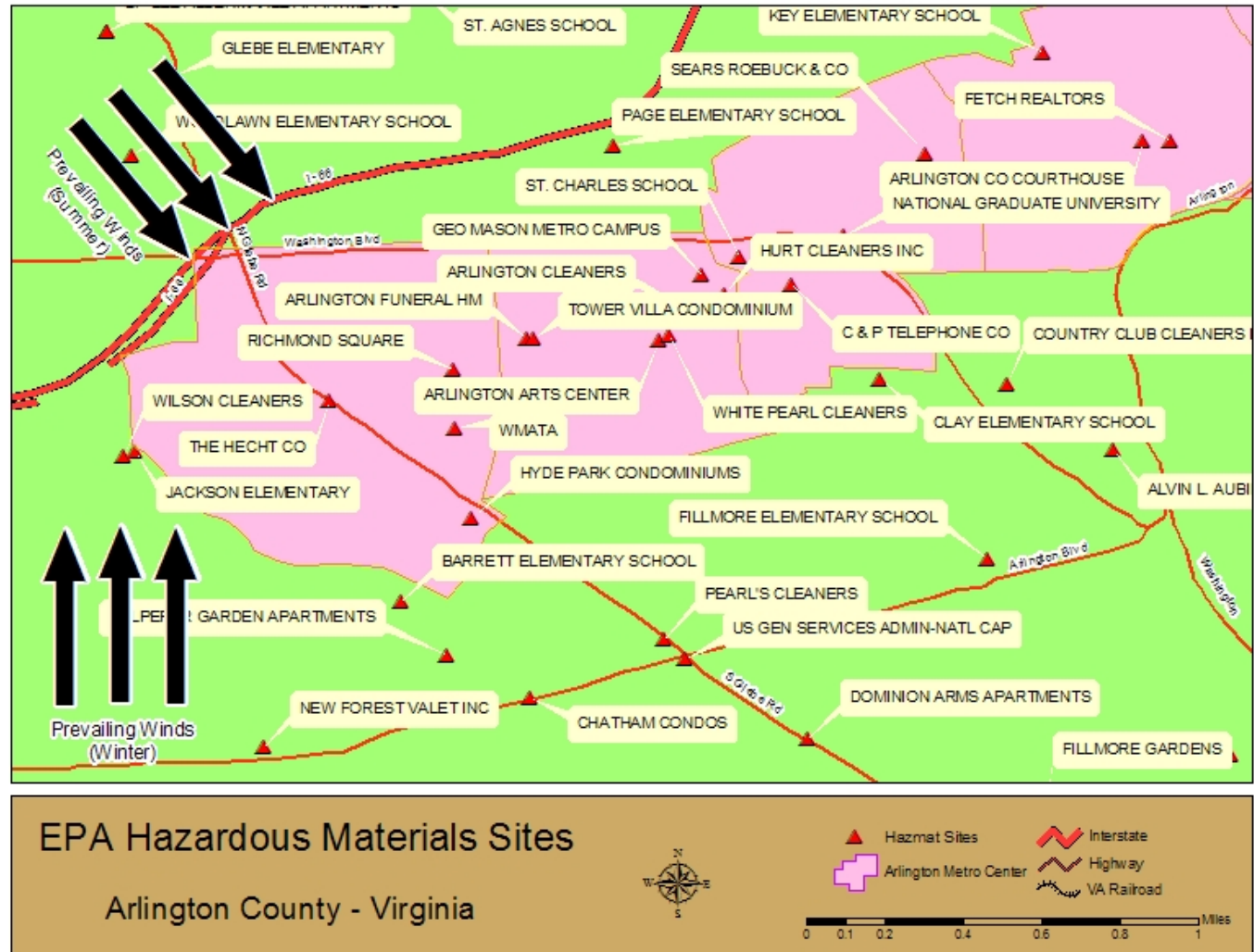
**FEMA**

# Site Public and Government Buildings



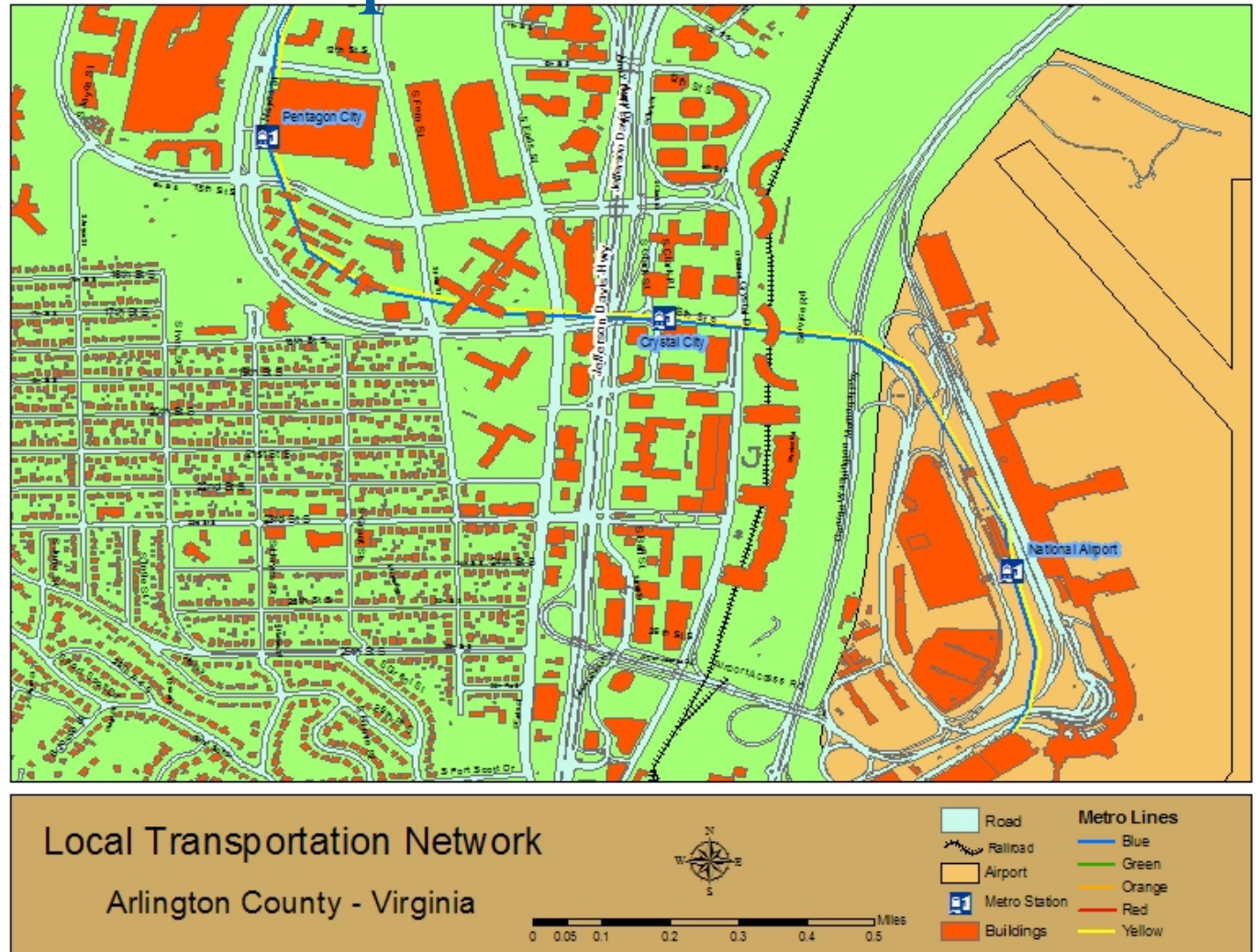
**FEMA**

# Site HazMat



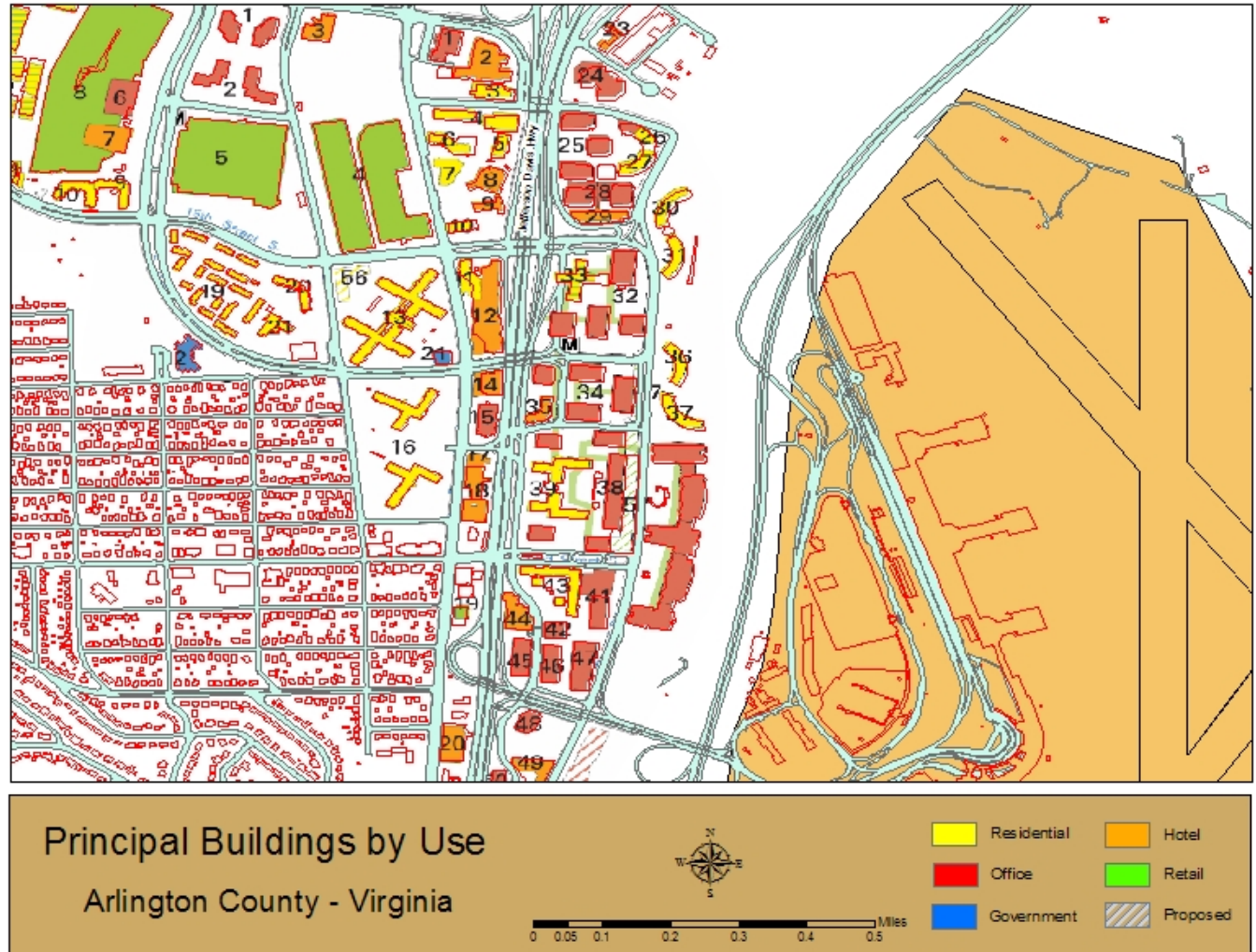
**FEMA**

# Site Local Transportation Network



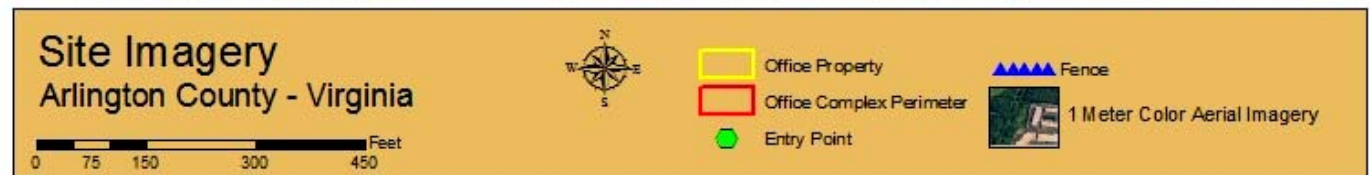
FEMA

# Site Principal Buildings by Use



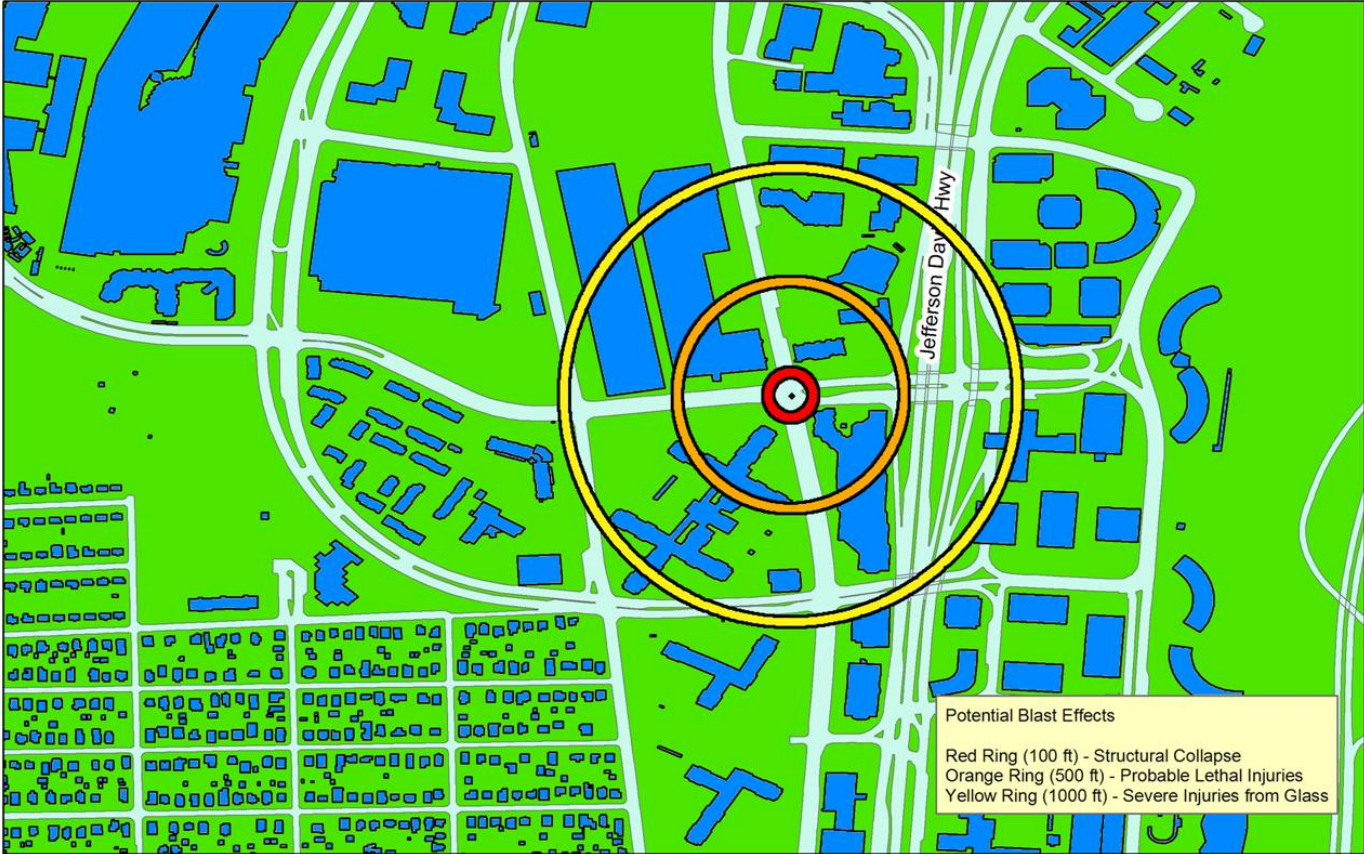
FEMA

# Site Perimeter Imagery



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# Site Truck Bomb



Potential Blast Effects - Nominal Large Truck Bomb

Arlington County - Virginia

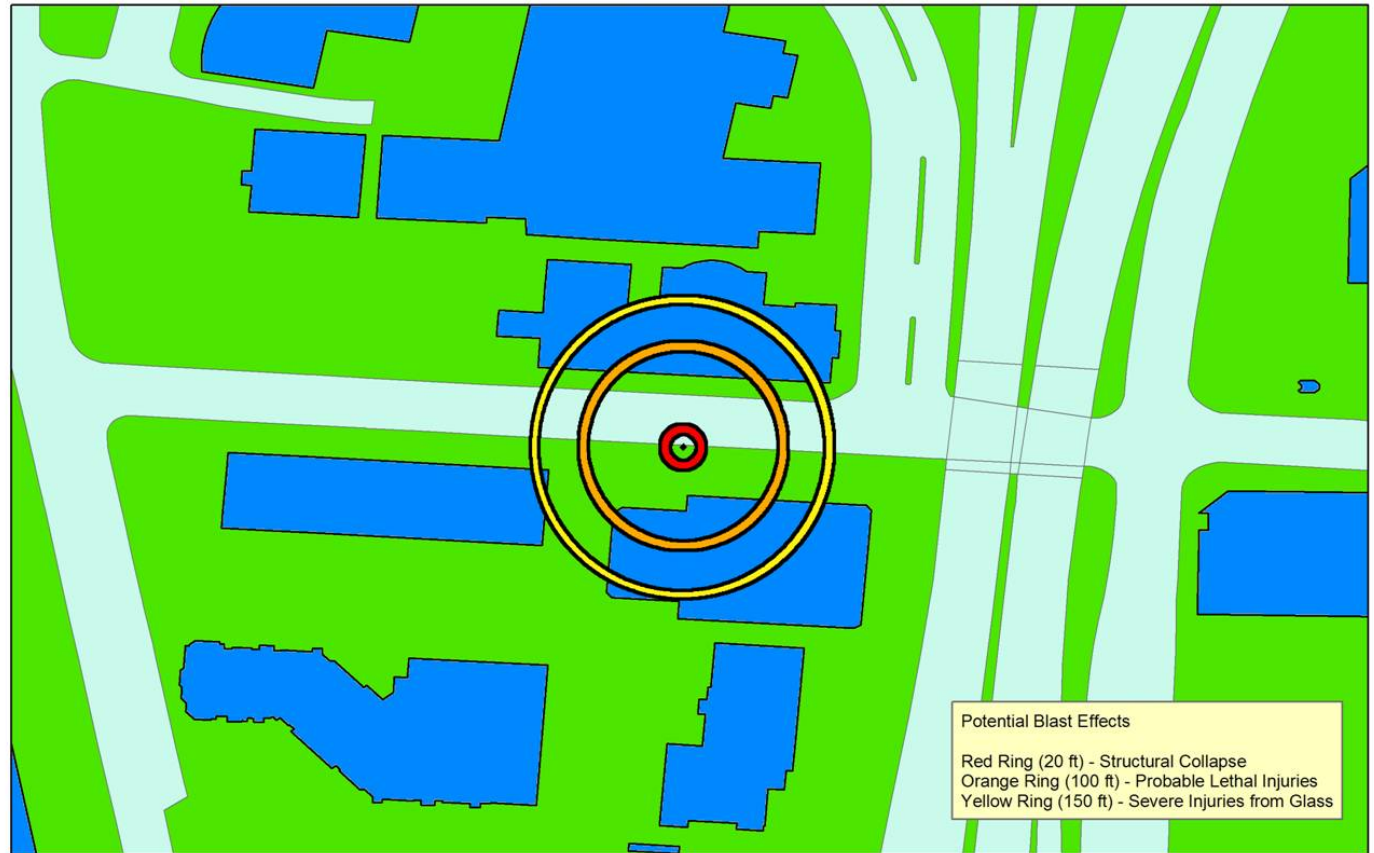
Building      Road

0 162.5 325 650 975 1,300 1,625 Feet



FEMA

# Site Car Bomb



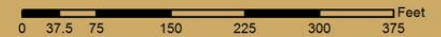
## Potential Blast Effects Nominal Car Bomb

Arlington County - Virginia



Building

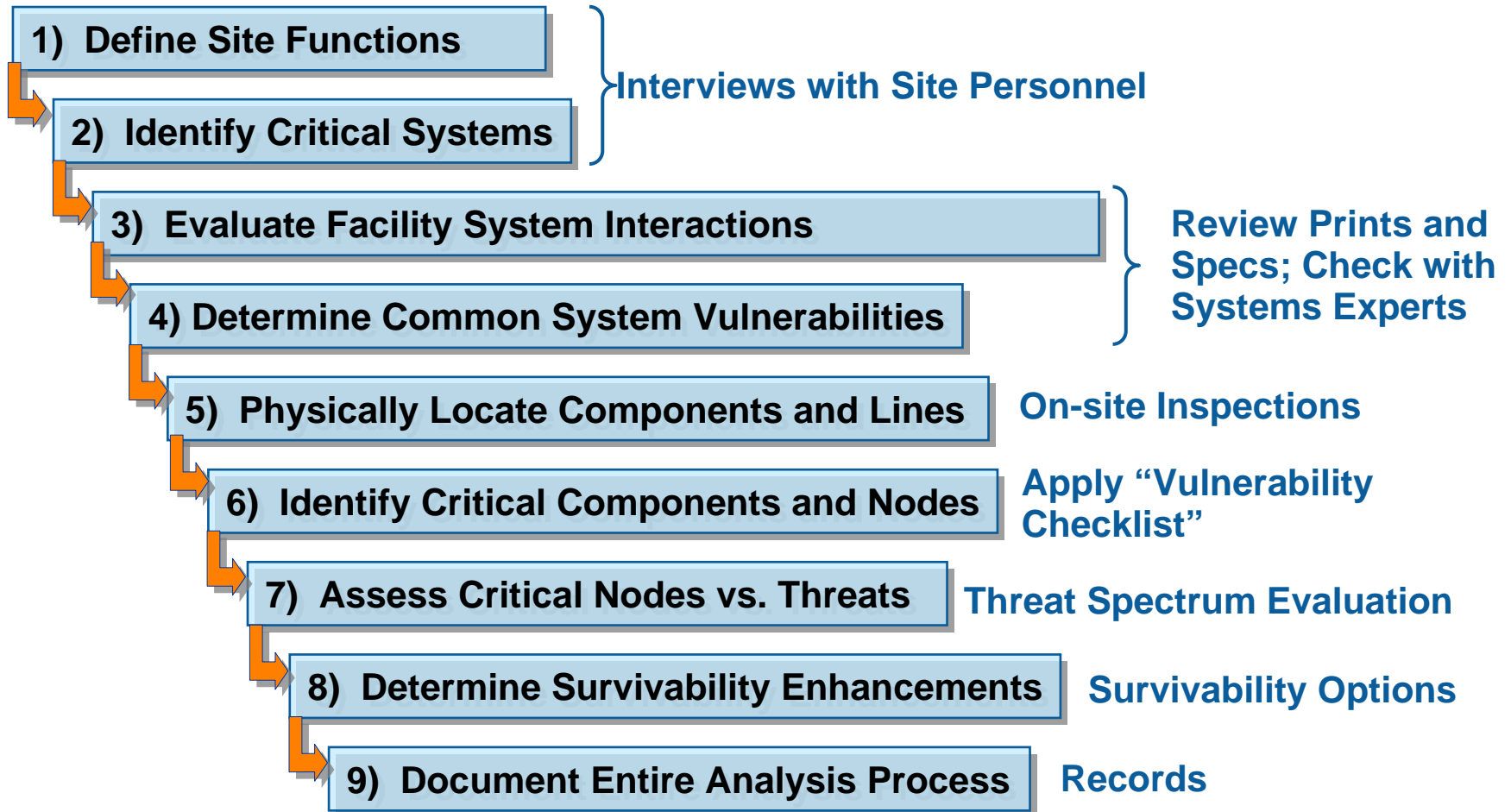
Road



FEMA



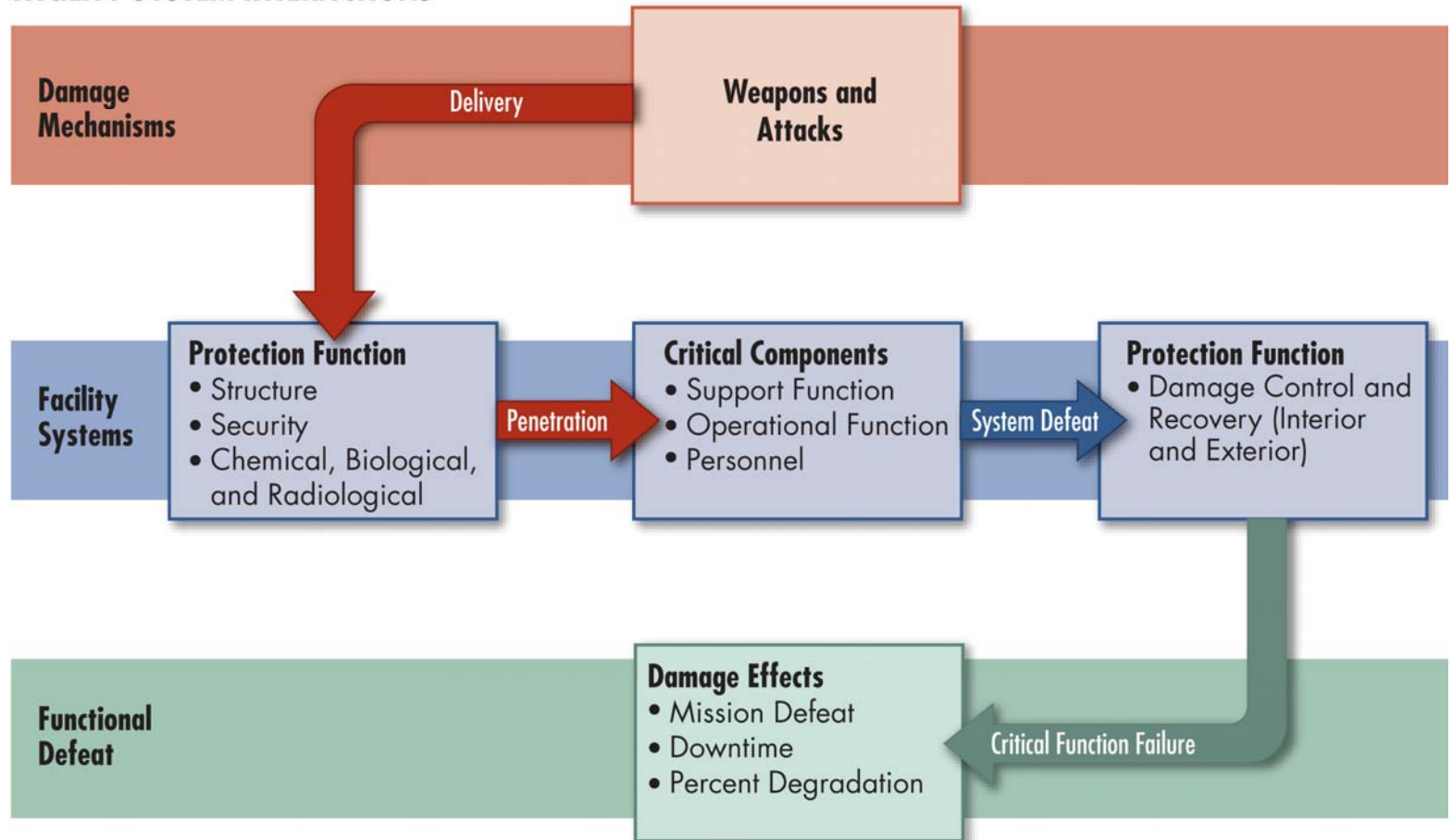
# Options to Reduce Vulnerability



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# Facility System Interactions

## FACILITY SYSTEM INTERACTIONS

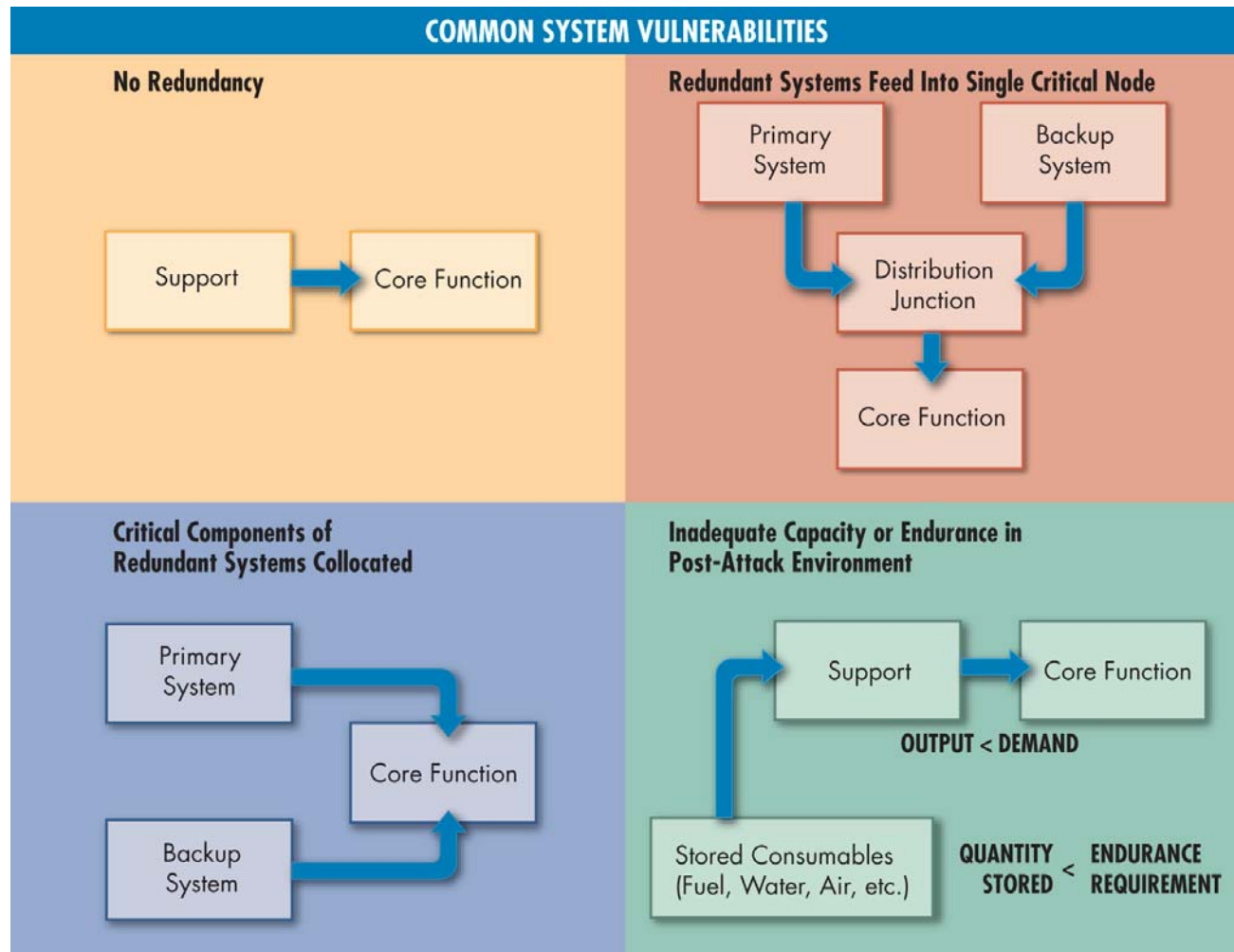


FEMA 426, Figure 1-8: Facility System Interactions, p. 1-23



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# Single-Point Vulnerabilities



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FEMA 426, Figure 1-9: Common System Vulnerabilities, p. 1-35

# Functional Analysis SPVs



<b>Standard 11</b>	The loading dock and warehouse provide single point of entry to the interior
<b>Standard 13 and 17</b>	The mailroom is located within the interior and not on exterior wall or separate HVAC system
<b>Standard 1</b>	The telecom switch and computer data center are adjacent to the warehouse
<b>Standard 1</b>	The trash dumpster and emergency generator are located adjacent to the loading dock



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FEMA 426, Figure 1-10: Non-Redundant Critical Functions Collocated Near Loading Dock, p. 1-41

# Infrastructure SPVs



*Air Intakes*



*Drive Through*



*Electrical Service*



*Telecom Service*

FEMA 426, Figure 1-11: Vulnerability Examples, p. 1-42



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# Building Vulnerability Assessment Checklist

Compiles best practices from many sources

Includes questions that determine if critical systems will continue to function during an emergency or threat event

Organized into 13 sections

- Each section should be assigned to a knowledgeable individual
- Results of all sections should be integrated into a master vulnerability assessment
- Compatible with CSI Master Format standard to facilitate cost estimates



**FEMA**

# Building Vulnerability Assessment Checklist

Site

Architectural

Structural Systems

Building Envelope

Utility Systems

Mechanical Systems  
(HVAC and CBR)

Plumbing and Gas  
Systems

Electrical Systems

Fire Alarm Systems

Communications and IT  
Systems

Equipment Operations  
and Maintenance

Security Systems

Security Master Plan



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# Building Vulnerability Assessment Checklist

Vulnerability Question	Guidance	Observations	
<b>6</b>	<b>Mechanical Systems (HVAC and CBR)</b>		
<b>6.1</b>	<p>Where are the air intakes and exhaust louvers for the building? (low, high, or midpoint of the building structure)</p> <p>Are the intakes and exhausts accessible to the public?</p>	<p><i>Air intakes should be located on the roof or as high as possible. Otherwise secure within CPTED-compliant fencing or enclosure. The fencing or enclosure should have a sloped roof to prevent throwing anything into the enclosure near the intakes.</i></p> <p><i>Ref: CDC/NIOSH Pub 2002-139</i></p>	
<b>6.2</b>	<p>Is roof access limited to authorized personnel by means of locking mechanisms?</p> <p>Is access to mechanical areas similarly controlled?</p>	<p><i>Roofs are like entrances to the building and are like mechanical rooms when HVAC is installed. Adjacent structures or landscaping should not allow access to the roof.</i></p> <p><i>Ref: GSA PBS -P100, CDC/NIOSH Pub 2002-139, and LBNL Pub 51959</i></p>	



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FEMA 426, Adapted from Table 1-22: Building Vulnerability Assessment Checklist, p. 1-46 to 1-92



# Building Vulnerability Assessment Checklist



1.15	Is there minimum setback distance between the building and parked cars?
4.1	What is the designed or estimated protection level of the exterior walls against the postulated explosive threat?
4.2	Is the window system design on the exterior façade balanced to mitigate the hazardous effects of flying glazing following an explosive event? (glazing, frames, anchorage to supporting walls, etc.)?



FEMA

FEMA 426, Adapted from Table 1-22: Building Vulnerability Assessment Checklist, p. 1-46 to 1-92

# Building Vulnerability Assessment Checklist



2.19

Are loading docks and receiving and shipping areas separated in any direction from utility rooms, utility mains, and service entrances, including electrical, telephone/data, fire detection/alarm systems, fire suppression water mains, cooling and heating mains, etc.?

1.16

Does adjacent surface parking on site maintain a minimum stand-off distance? *For initial screening consider using 25 meters (82 feet) as a minimum with more distance needed for unreinforced masonry or wooden walls. Reference: GSA PBS-P100*



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FEMA 426, Adapted from Table 1-22: Building Vulnerability Assessment Checklist, p. 1-46 to 1-92

# Building Vulnerability Assessment Checklist



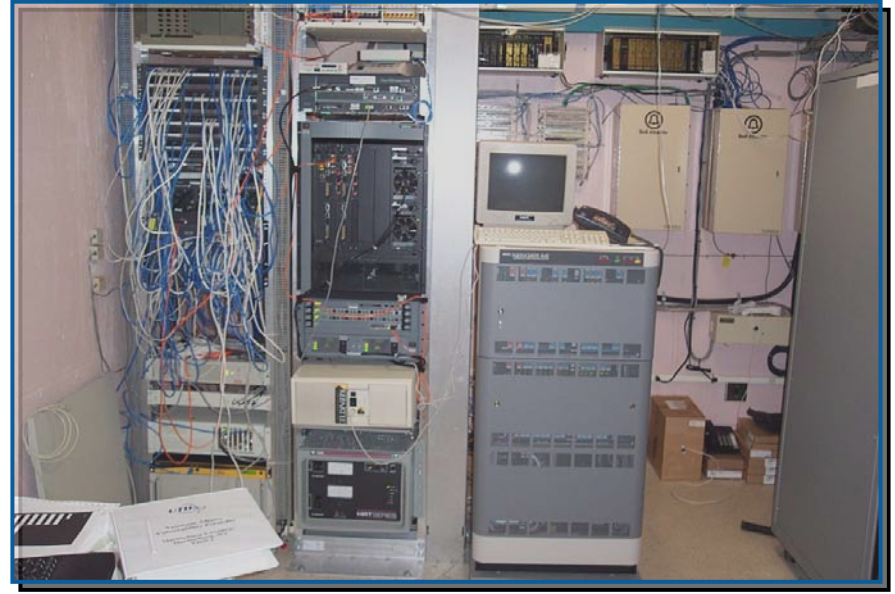
<b>6.1</b>	Where are the air intakes and exhaust louvers for the building? (low, high, or midpoint of the building structure)  Are the intakes and exhausts accessible to the public?
<b>1.9</b>	Is there any potential access to the site or building through utility paths or water runoff? <i>(Eliminate potential site access through utility tunnels, corridors, manholes, storm water runoff culverts, etc. Ensure covers to these access points are secured.)</i>
<b>3.1</b>	What type of construction?  What type of concrete and reinforcing steel?  What type of steel?  What type of foundation?



**FEMA**

FEMA 426, Adapted from Table 1-22: Building Vulnerability Assessment Checklist, p. 1-46 to 1-92

# Building Vulnerability Assessment Checklist



<b>5.19</b>	By what means does the main telephone and data communications interface the site or building?
<b>5.20</b>	Are there multiple or redundant locations for the telephone and communication service?
<b>5.21</b>	Does the fire alarm system require communication with external sources? By what method is the alarm signal sent to the responding agency: telephone, radio, etc.? Is there an intermediary alarm monitoring center?



**FEMA**

FEMA 426, Adapted from Table 1-22: Building Vulnerability Assessment Checklist, p. 1-46 to 1-92

# Vulnerability Rating

Criteria		
Very High	10	Very High – One or more major weaknesses have been identified that make the asset extremely susceptible to an aggressor or hazard. The building lacks redundancies/physical protection and the entire building would be only functional again after a very long period of time after the attack.
High	8-9	High – One or more major weaknesses have been identified that make the asset highly susceptible to an aggressor or hazard. The building has poor redundancies/physical protection and most parts of the building would be only functional again after a long period of time after the attack.
Medium High	7	Medium High – An important weakness has been identified that makes the asset very susceptible to an aggressor or hazard. The building has inadequate redundancies/physical protection and most critical functions would be only operational again after a long period of time after the attack.



## Key elements

- Number of weaknesses
- Aggressor potential accessibility
- Level of redundancies /physical protection
- Time frame for building to become operational again



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FEMA 452, Table 3-4: Vulnerability Rating, p. 3-16

# Vulnerability Rating (continued)

Criteria		
Medium	5-6	Medium – A weakness has been identified that makes the asset fairly susceptible to an aggressor or hazard. The building has insufficient redundancies/physical protection and most part of the building would be only functional again after a considerable period of time after the attack.
Medium Low	4	Medium Low – A weakness has been identified that makes the asset somewhat susceptible to an aggressor or hazard. The building has incorporated a fair level of redundancies/physical protection and most critical functions would be only operational again after a considerable period of time after the attack.
Low	2-3	Low – A minor weakness has been identified that slightly increases the susceptibility of the asset to an aggressor or hazard. The building has incorporated a good level of redundancies/physical protection and the building would be operational within a short period of time after an attack.
Very Low	1	Very Low – No weaknesses exist. The building has incorporated excellent redundancies/physical protection and the building would be operational immediately after an attack.



## Key elements

- Number of weaknesses
- Aggressor potential accessibility
- Level of redundancies /physical protection
- Time frame for building to become operational again



**FEMA**

FEMA 452, Table 3-4: Vulnerability Rating, p. 3-16

# Critical Functions

Function	Cyber attack	Armed attack (single gunman)	Vehicle bomb	CBR attack
<b>Administration</b>				
Asset Value	5	5	5	5
Threat Rating	8	4	3	2
<b>Vulnerability Rating</b>	<b>7</b>	<b>7</b>	<b>9</b>	<b>9</b>
<b>Engineering</b>				
Asset Value	8	8	8	8
Threat Rating	8	5	6	2
<b>Vulnerability Rating</b>	<b>2</b>	<b>4</b>	<b>8</b>	<b>9</b>



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FEMA 426, Adaptation of Table 1-20: Site Functional Pre-Assessment Screening Matrix, p. 1-38

# Critical Infrastructure

Infrastructure	Cyber attack	Armed attack (single gunman)	Vehicle bomb	CBR attack
<b>Site</b>				
Asset Value	4	4	4	4
Threat Rating	4	4	3	2
<b>Vulnerability Rating</b>	<b>1</b>	<b>7</b>	<b>9</b>	<b>9</b>
<b>Structural Systems</b>				
Asset Value	8	8	8	8
Threat Rating	3	4	3	2
<b>Vulnerability Rating</b>	<b>1</b>	<b>1</b>	<b>8</b>	<b>1</b>



**FEMA**

FEMA 426, Adaptation of Table 1-21: Site Infrastructure Systems Pre-Assessment Screening Matrix, p. 1-39



# Summary

## Step-by-Step Analysis Process:

- Expertly performed by experienced personnel
- Determines critical systems
- Identifies vulnerabilities
- Focuses survivability mitigation measures on critical areas
- Essential component of Critical Infrastructure and Critical Function Matrices



**FEMA**

# Unit IV Case Study Activity

## Vulnerability Rating

### Background

**Vulnerability:** any weakness that can be exploited by an aggressor or, in a non-terrorist threat environment, make an asset susceptible to hazard damage

### Requirements: Vulnerability Rating Approach

Use rating scale of 1 (very low or no weakness) to 10 (one or major weaknesses)

Answer selected initial Vulnerability Assessment Checklist questions

Refer to Case Study and rate the vulnerability of asset-threat/hazard pairs:

- Critical Functions
- Critical Infrastructure



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*BUILDING DESIGN FOR HOMELAND SECURITY*

# Unit V

# Risk Assessment / Risk Management



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# Unit Objectives

**Explain** what constitutes risk.

**Evaluate** risk using the Threat-Vulnerability Matrix to capture assessment information.

**Provide** a numerical rating for risk and justify the basis for the rating.

**Identify** top risks for asset-threat/hazard pairs that should receive measures to mitigate vulnerabilities and reduce risk.



**FEMA**

# Risk Management

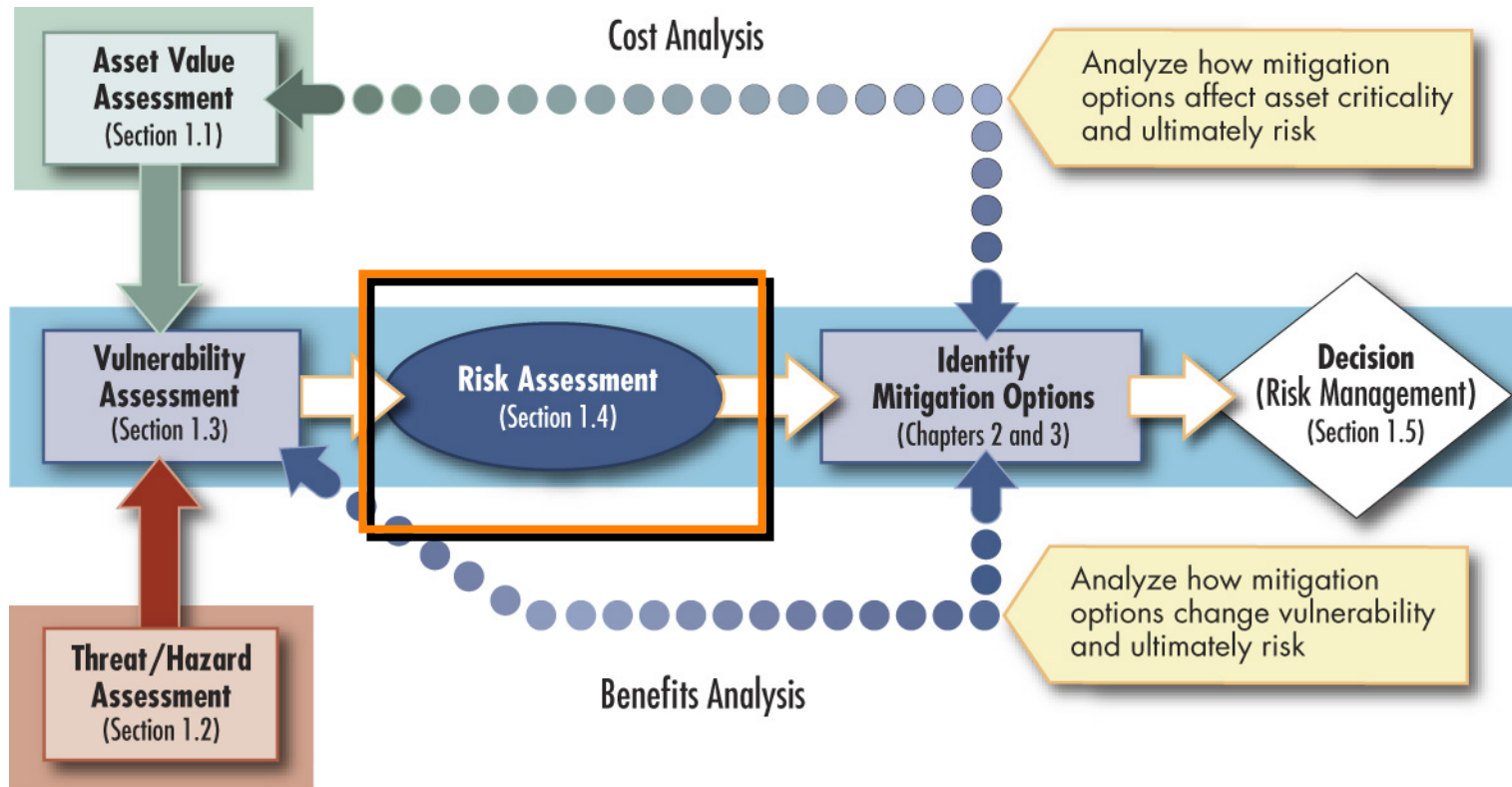
**Risk management is the deliberate process of understanding “risk”** – the likelihood that a threat will harm an asset with some severity of consequences – and deciding on and implementing actions to reduce it.

**GAO/NSIAD-98-74: Combating Terrorism** – Threat and Risk Assessments Can Help Prioritize and Target Program Investments, April 1998



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# Assessment Flow Chart



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FEMA 426, Figure 1-3: The Assessment Process Model, p. 1-5

# Definition of Risk

Risk is a combination of:

- The probability that an event will occur, and
- The consequences of its occurrence

	Low Risk	Medium Risk	High Risk
Risk Factors Total	1-60	61-175	≥ 176

$\text{Risk} = \text{Asset Value} \times \text{Threat Rating} \times \text{Vulnerability Rating}$



**FEMA**

FEMA 426, Table 1-19: Total Risk Color Code, p. 1-38

# Quantifying Risk

## Risk Assessment

Determine Asset Value

Determine Threat Rating Value

Determine Vulnerability Rating Value

Determine relative risk for each threat against each asset

***Select mitigation measures that have the greatest benefit/cost for reducing risk***



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# An Approach to Quantifying Risk

Table 1-18: Risk Factors Definitions

**Risk = Asset Value x  
Threat Rating x  
Vulnerability Rating**

<b>Very High</b>	10
<b>High</b>	8-9
<b>Medium High</b>	7
<b>Medium</b>	5-6
<b>Medium Low</b>	4
<b>Low</b>	2-3
<b>Very Low</b>	1

Table 1-19: Total Risk Color Code

	<b>Low Risk</b>	<b>Medium Risk</b>	<b>High Risk</b>
<b>Risk Factors Total</b>	1-60	61-175	≥ 176



**FEMA**

FEMA 426, p. 1-38

# Critical Functions

Function	Cyber attack	Armed attack (single gunman)	Vehicle bomb	CBR attack
<b>Administration</b>	<b>280</b>	<b>140</b>	<b>135</b>	<b>90</b>
Asset Value	5	5	5	5
Threat Rating	8	4	3	2
Vulnerability Rating	7	7	9	9
<b>Engineering</b>	<b>128</b>	<b>160</b>	<b>384</b>	<b>144</b>
Asset Value	8	8	8	8
Threat Rating	8	5	6	2
Vulnerability Rating	2	4	8	9



**FEMA**

FEMA 426, Adaptation of Table 1-20: Site Functional Pre-Assessment Screening Matrix, p. 1-38

# Critical Infrastructure

Infrastructure	Cyber attack	Armed attack (single gunman)	Vehicle bomb	CBR attack
<b>Site</b>	<b>48</b>	<b>80</b>	<b>108</b>	<b>72</b>
Asset Value	4	4	4	4
Threat Rating	4	4	3	2
Vulnerability Rating	3	5	9	9
<b>Structural Systems</b>	<b>48</b>	<b>128</b>	<b>192</b>	<b>144</b>
Asset Value	8	8	8	8
Threat Rating	3	4	3	2
Vulnerability Rating	2	4	8	9



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FEMA 426, Adaptation of Table 1-21: Site Infrastructure Systems Pre-Assessment Screening Matrix, p. 1-39

# Risk Assessment Results

Function	Cyber Attack	Armed Attack (single gunman)	Vehicle Bomb	CBR Attack
<b>Administration</b>	280	140	135	90
Asset Value	5	5	5	5
Threat Rating	8	4	3	2
Vulnerability Rating	7	7	9	9
<b>Engineering</b>	128	128	192	144
Asset Value	8	8	8	8
Threat Rating	8	4	3	2
Vulnerability Rating	2	4	8	9
<b>Warehousing</b>	96	36	81	54
Asset Value	3	3	3	3
Threat Rating	8	4	3	2
Vulnerability Rating	4	3	9	9
<b>Data Center</b>	360	128	216	144
Asset Value	8	8	8	8
Threat Rating	9	4	3	2
Vulnerability Rating	5	4	9	9
<b>Food Service</b>	2	32	48	36
Asset Value	2	2	2	2
Threat Rating	1	4	3	2
Vulnerability Rating	1	4	8	9
<b>Security</b>	280	140	168	126
Asset Value	7	7	7	7
Threat Rating	8	4	3	2
Vulnerability Rating	5	5	8	9
<b>Housekeeping</b>	16	64	48	36
Asset Value	2	2	2	2
Threat Rating	8	4	3	2
Vulnerability Rating	1	8	8	9
<b>Day Care</b>	54	324	243	162
Asset Value	9	9	9	9
Threat Rating	3	4	3	2
Vulnerability Rating	2	9	9	9

\* NOTIONAL DATA INSERTED FOR DEMONSTRATION PURPOSES.



# FEMA

FEMA 426, Table 1-20: Site Functional Pre-Assessment Screening Matrix, p. 1-38

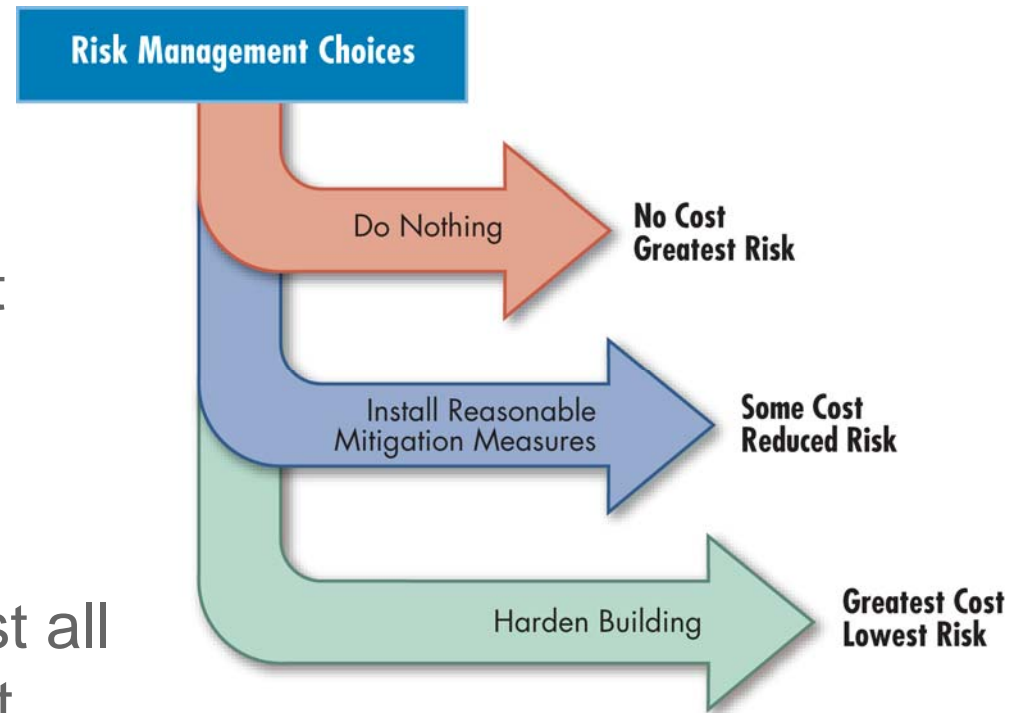
# Selecting Mitigation Measures

## Three Options:

Do nothing and accept the risk.

Perform a risk assessment and manage the risk by installing reasonable mitigation measures.

Harden the building against all threats to achieve the least amount of risk.



**FEMA**

FEMA 426, Figure 1-13: Risk Management Choices, p. 1-44

# Mitigation Measures

A mitigation measure is an action, device, or system used to reduce risk by affecting an asset, threat, or vulnerability.

- Regulatory measures
- Rehabilitation of existing structures
- Protective and control structures



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# Mitigation Measures

•Mitigation measures can be evaluated against the following parameters

- Political Support
- Community Acceptance
- Cost and Benefit
- Financial Resources
- Legal Authority
- Adversely Affected Population
- Adversely Effects on the Built Env.
- Environmental Impact
- Technical Capacity
- Maintenance and Operations
- Ease and Speed of Implementation
- Timeframe and Urgency
- Short-term and Long-Term Solutions
- Estimated Cost



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# Achieving Building Security: Planning Factors

Building security integrates multiple concepts and practices.

Objective is to achieve a balanced approach that combines aesthetics, enhanced security, and use of non-structural measures.



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# Process Review

**Calculate** the relative risk for each threat against each asset

**Identify** the high risk areas

**Identify** Mitigation Options to reduce the risk



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# Summary

Risk Definition

Critical Function and Critical Infrastructure Matrices

Numerical and color-coded risk scale

Identify Mitigation Options



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# Unit V Case Study Activity

## Risk Rating

### Background

Formula for determining a numeric value risk for each asset-threat/hazard pair:

**Risk = Asset Value x Threat Rating x Vulnerability Rating**

### Requirements: Vulnerability Rating Approach

Use worksheet tables to summarize Case Study asset, threat, and vulnerability ratings conducted in the previous activities

Use the risk formula to determine the risk rating for each asset-threat/hazard pair for:

- Critical Functions
- Critical Infrastructure



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# Unit VI

## FEMA 452

# Risk Assessment Database



**FEMA**

*BUILDING DESIGN FOR HOMELAND SECURITY*

# FEMA 452: Risk Assessment



*Risk Management Series*

## Risk Assessment

A How-To Guide to Mitigate Potential Terrorist Attacks  
Against Buildings

FEMA 452 / January 2005

**Available at: <http://www.fema.gov/plan/prevent/rms/rmsp452.shtm>**



# FEMA

# Unit Objectives

**Explain** the database install process

**Identify** where to save photos, maps, drawings, plans, etc. to interface with the database

**Explain** the information required for the database to function within each screen, how to move between screens, and switch between the assessor's tool and the master database

**Explain** the benefit and approaches to setting priorities on identified vulnerabilities

**Explain** how to use the master database to produce standard reports and search the database for specific information



**FEMA**

# Program Installation

- Download self installing files from FEMA Web site or
- Install from CD provided during course
- Run **SETUP.EXE** for Assessor Tool

---

 **AssessorTool-v2\_2006-04-05**

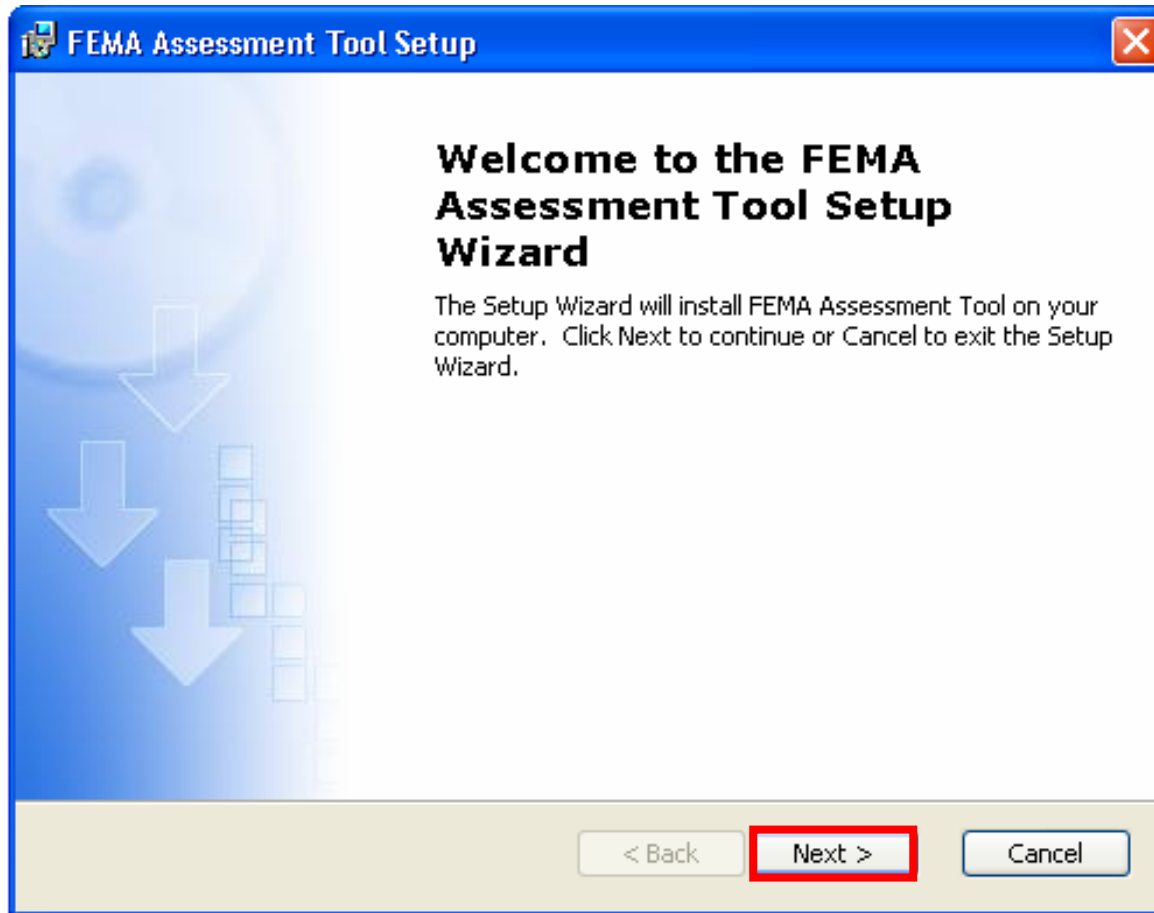


**Version 2.0 is soon to be available at:**  
<http://www.fema.gov/plan/prevent/rms/rmsp452.shtm>



**FEMA**

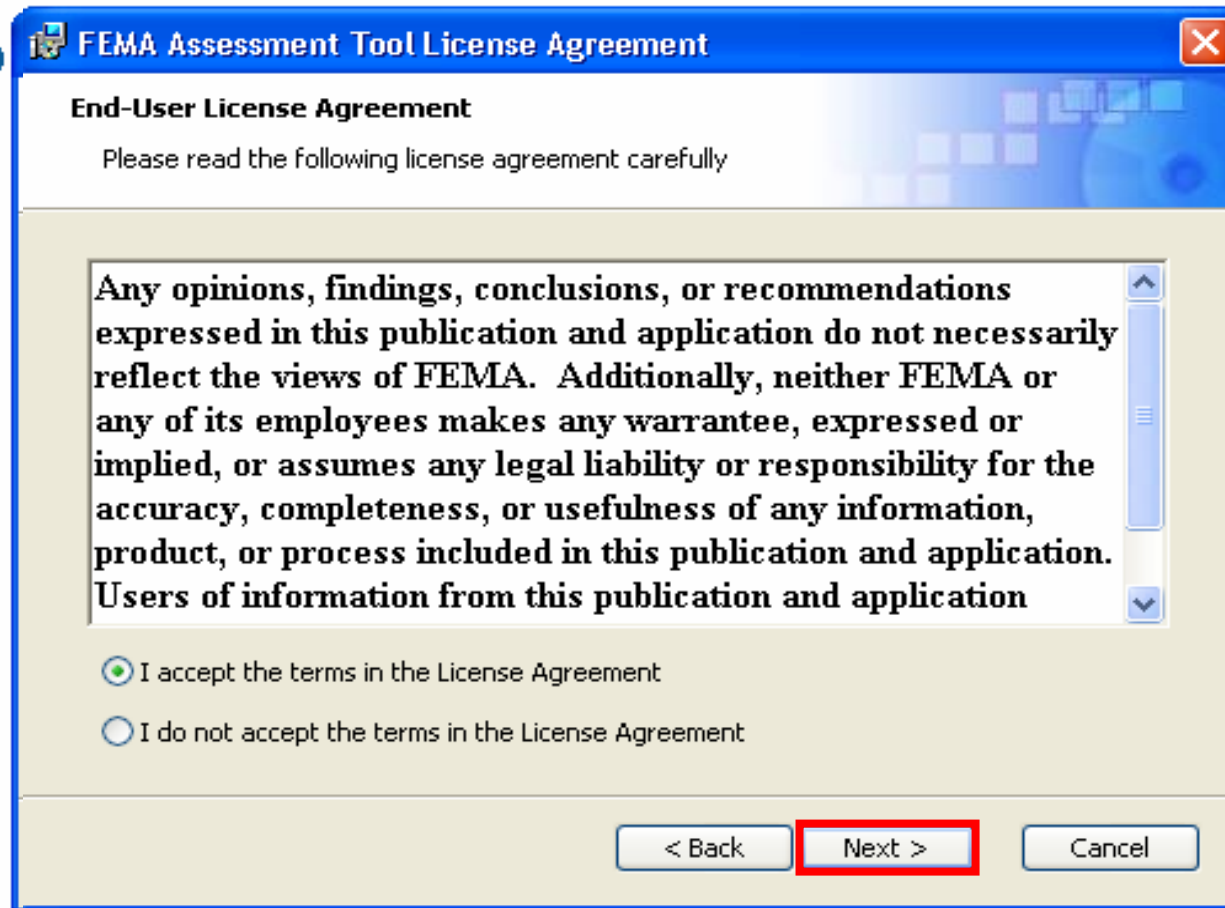
# Program Installation



FEMA

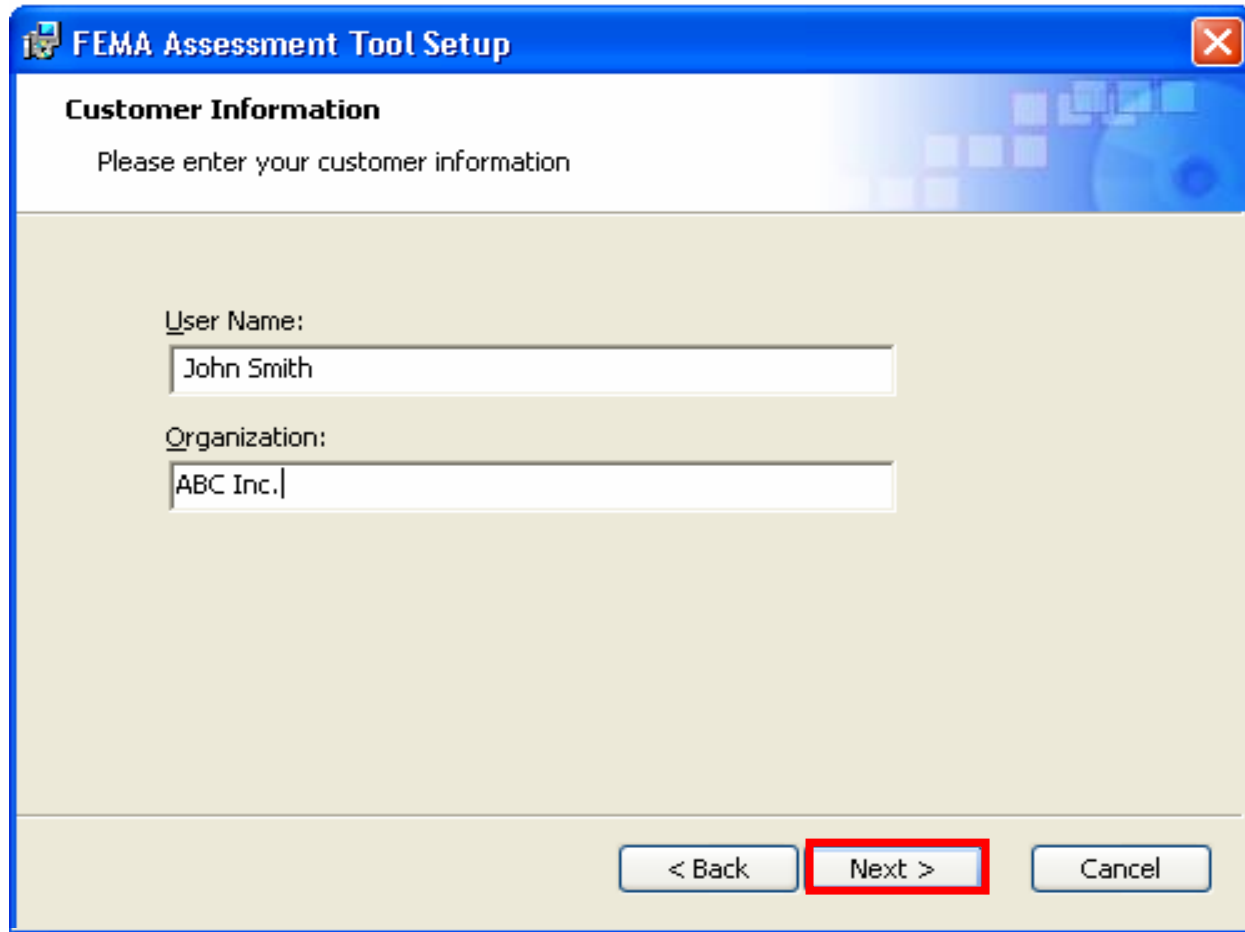


# Program Installation



FEMA

# Program Installation



**FEMA Assessment Tool Setup**

**Customer Information**

Please enter your customer information

User Name:  
John Smith

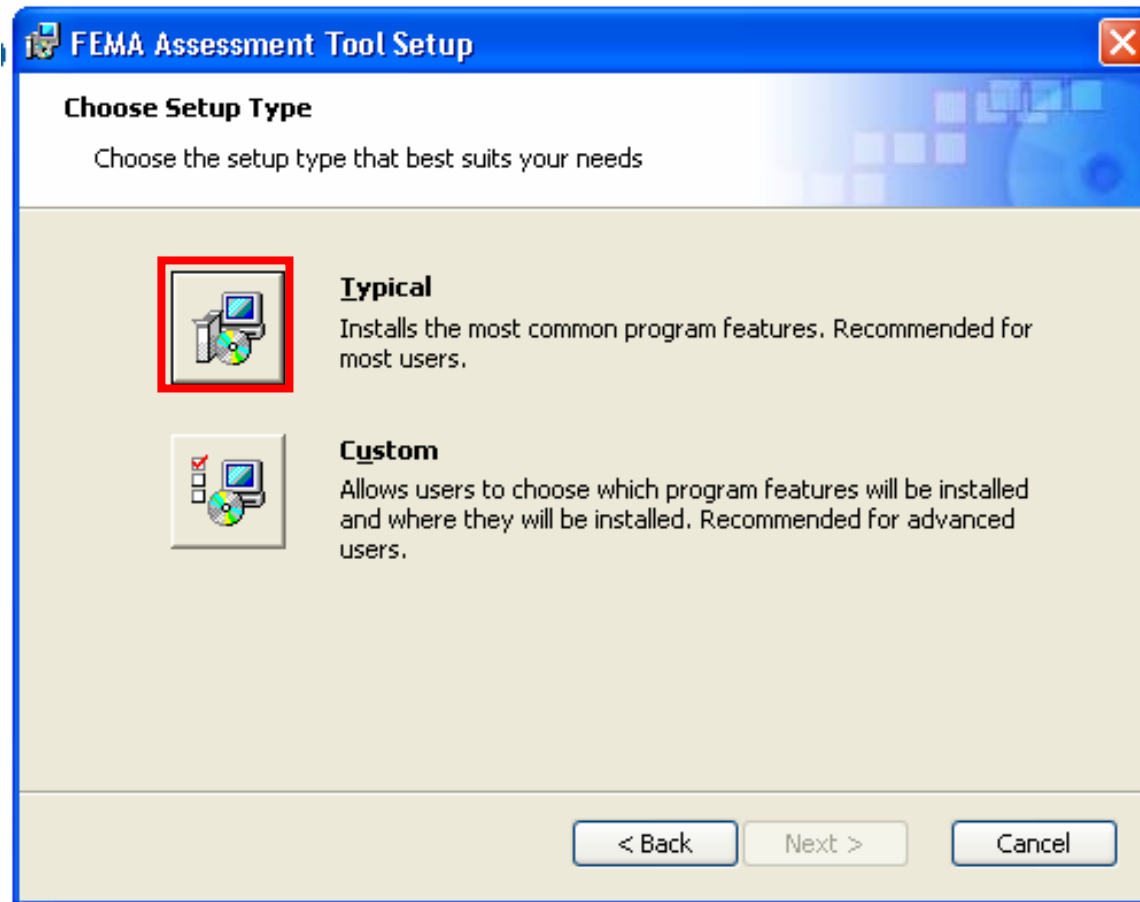
Organization:  
ABC Inc.

< Back   **Next >**   Cancel



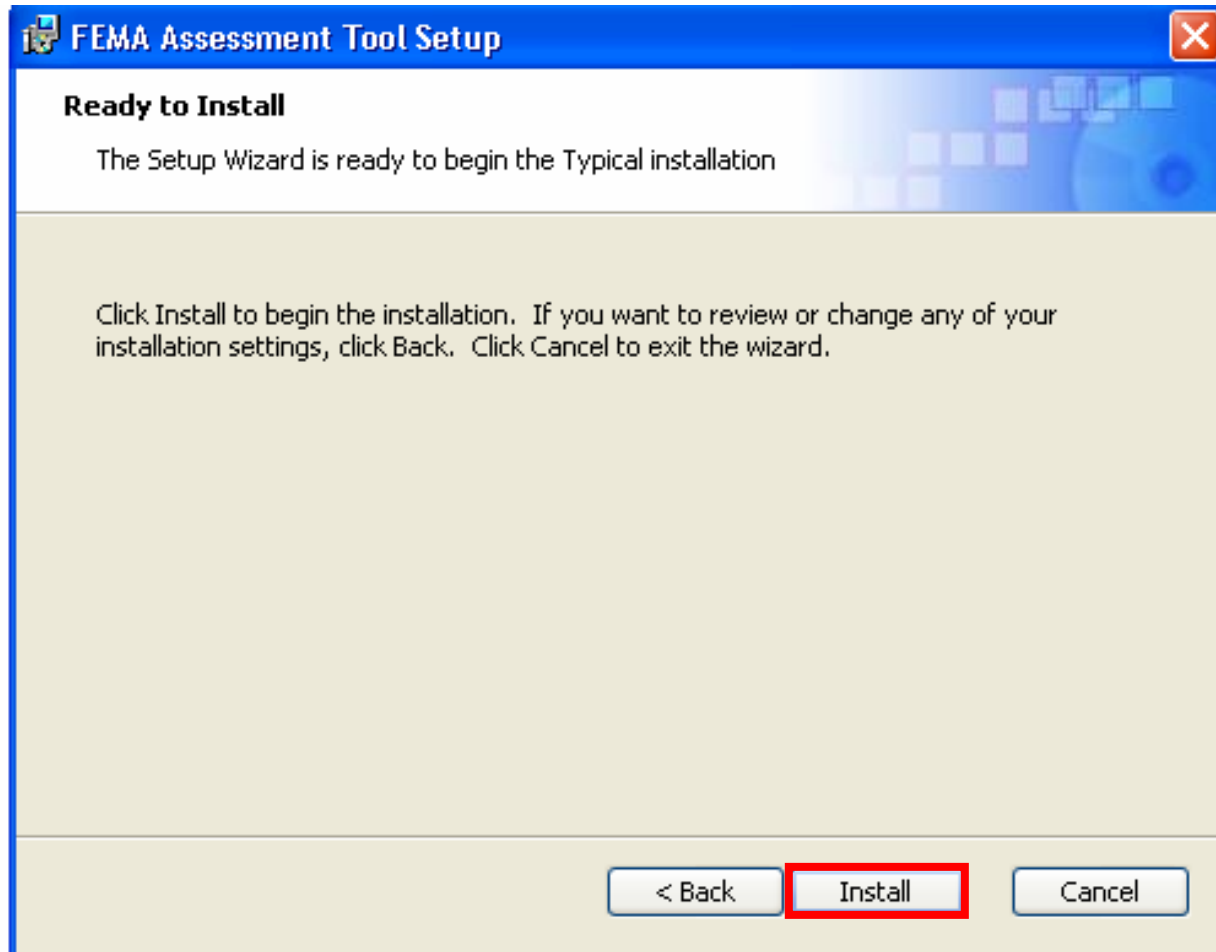
FEMA

# Program Installation



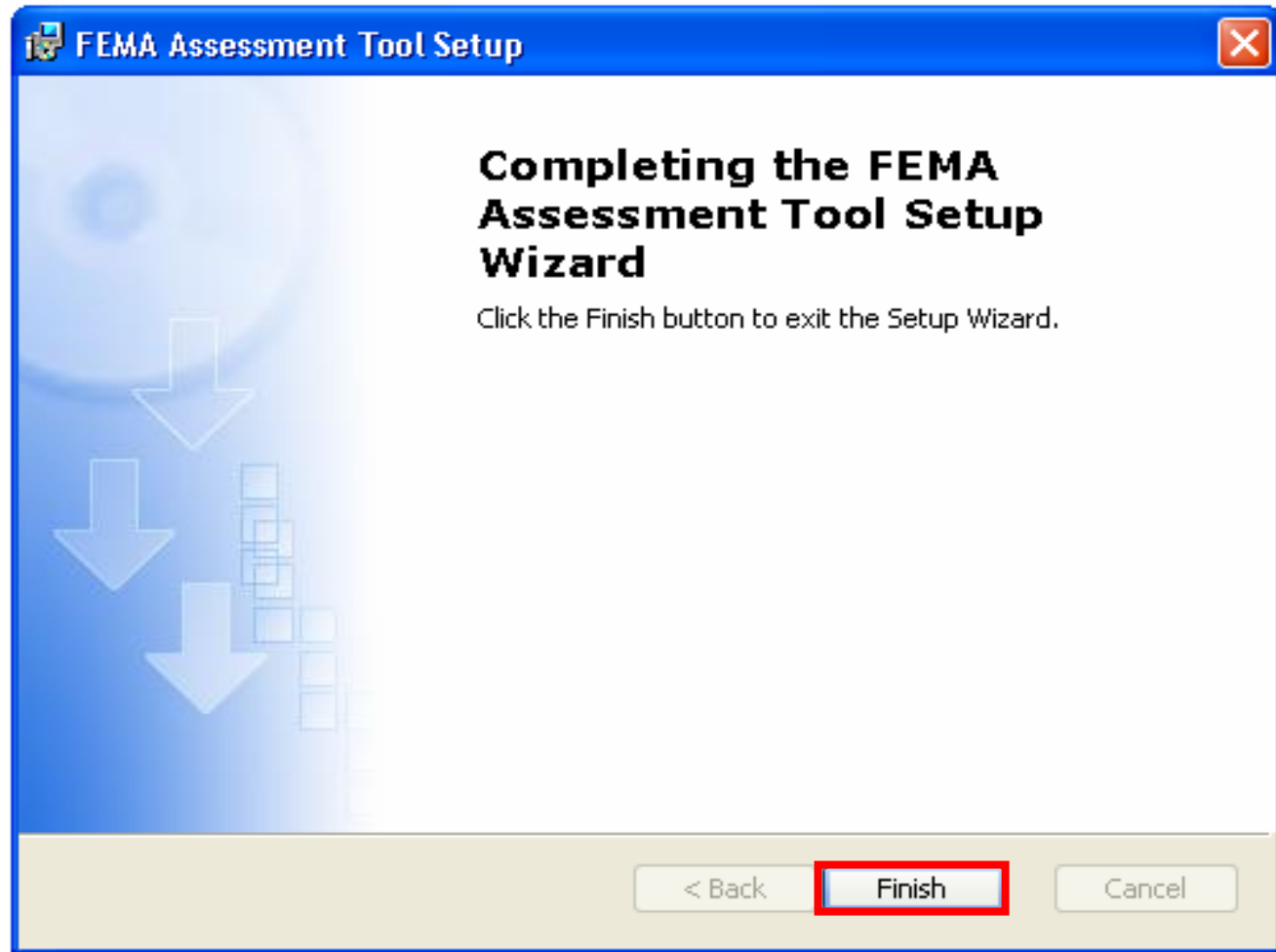
FEMA

# Program Installation



FEMA

# Program Installation



FEMA

# Program Installation

- Download self installing files from FEMA Web site or
- Install from CD provided during course
- Run SETUP.EXE for Master Database

---

## Master Database Installation-v2\_2006-04-05

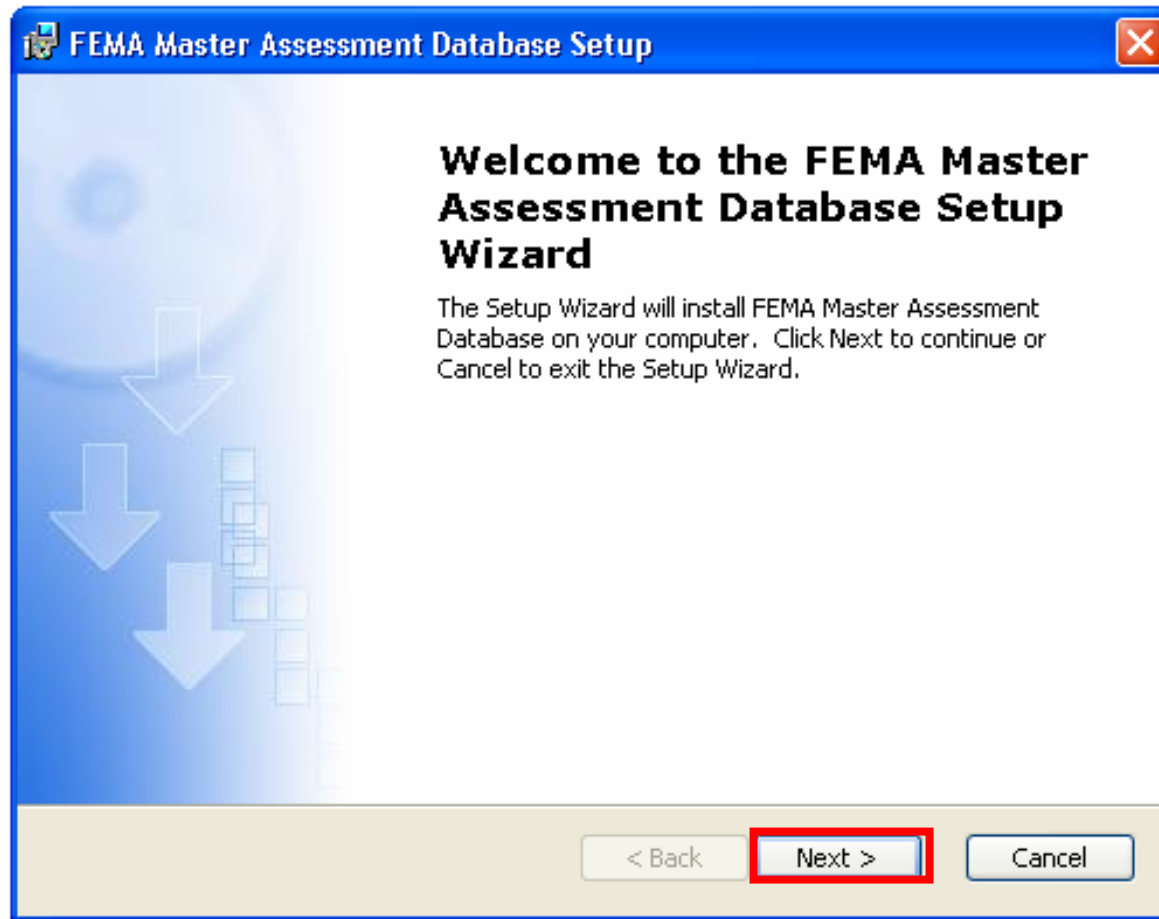


**Version 2.0 is soon to be available at:**  
<http://www.fema.gov/plan/prevent/rms/rmsp452.shtm>



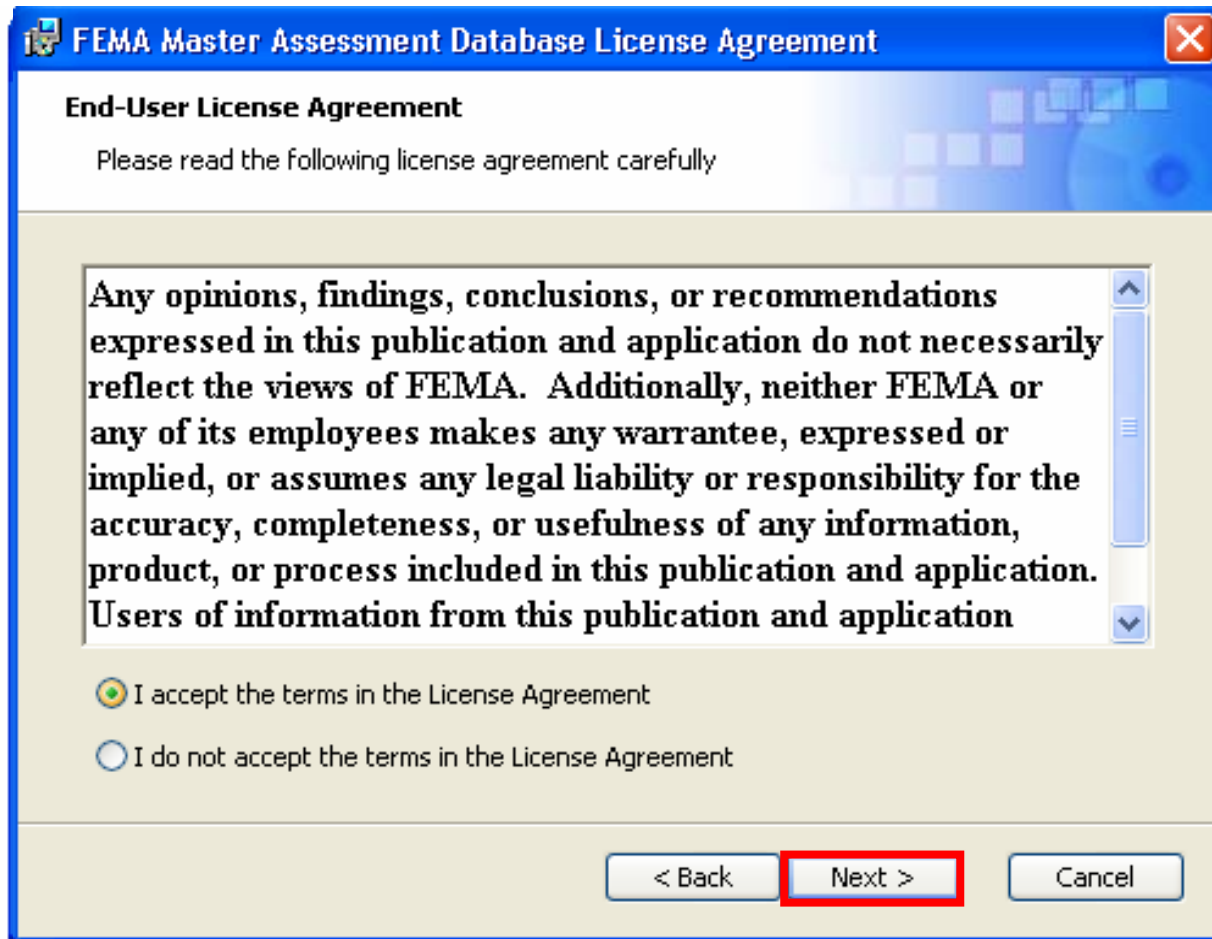
**FEMA**

# Program Installation



FEMA

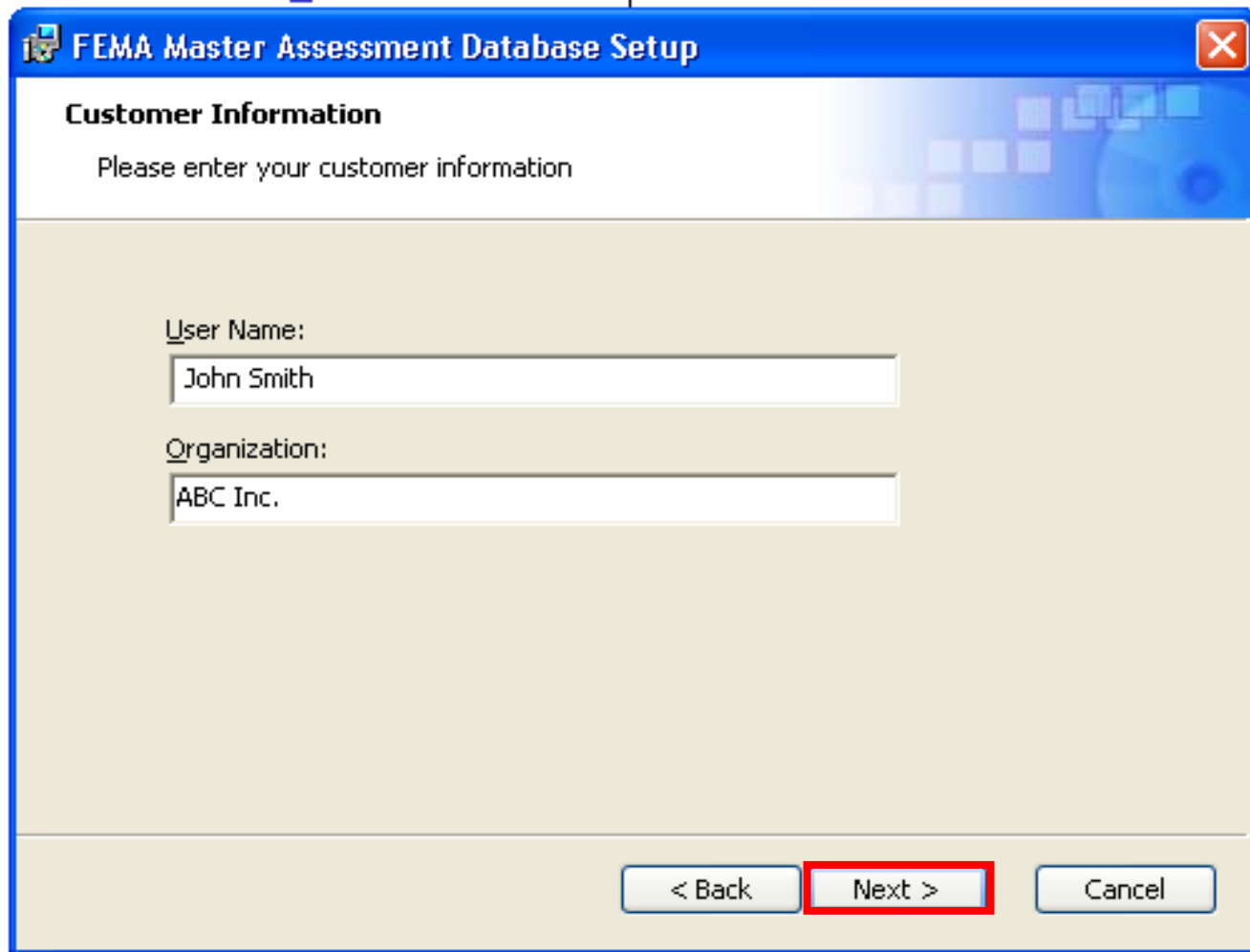
# Program Installation



FEMA



# Program Installation



The screenshot shows a Windows-style dialog box titled "FEMA Master Assessment Database Setup". The window has a blue title bar with a close button (X) in the top right corner. Below the title bar, the text "Customer Information" is displayed in bold, followed by the instruction "Please enter your customer information". The main area of the window is a light beige color and contains two text input fields. The first field is labeled "User Name:" and contains the text "John Smith". The second field is labeled "Organization:" and contains the text "ABC Inc.". At the bottom of the window, there are three buttons: "< Back", "Next >", and "Cancel". The "Next >" button is highlighted with a red rectangular border.

**FEMA Master Assessment Database Setup**

**Customer Information**

Please enter your customer information

User Name:  
John Smith

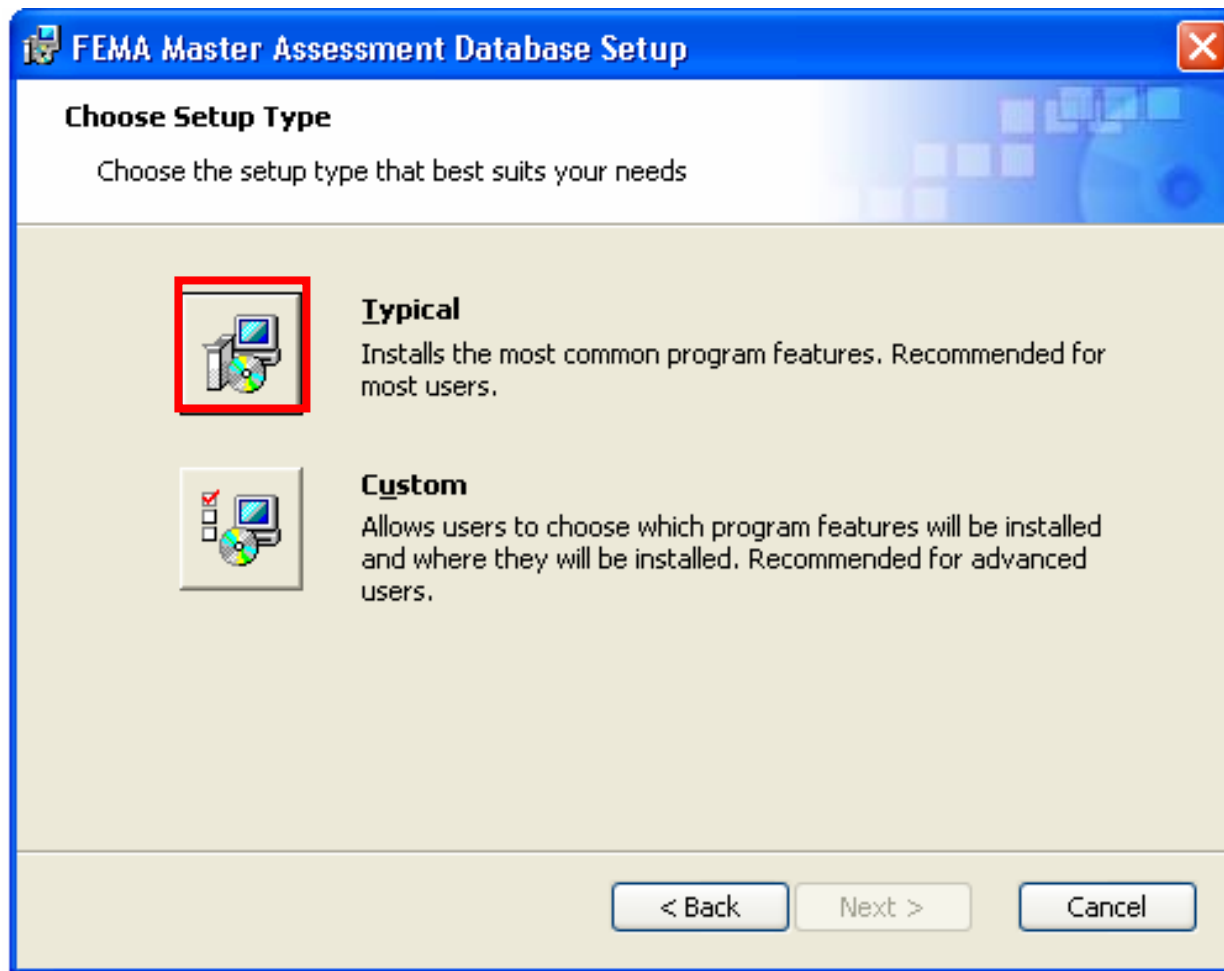
Organization:  
ABC Inc.

< Back   **Next >**   Cancel



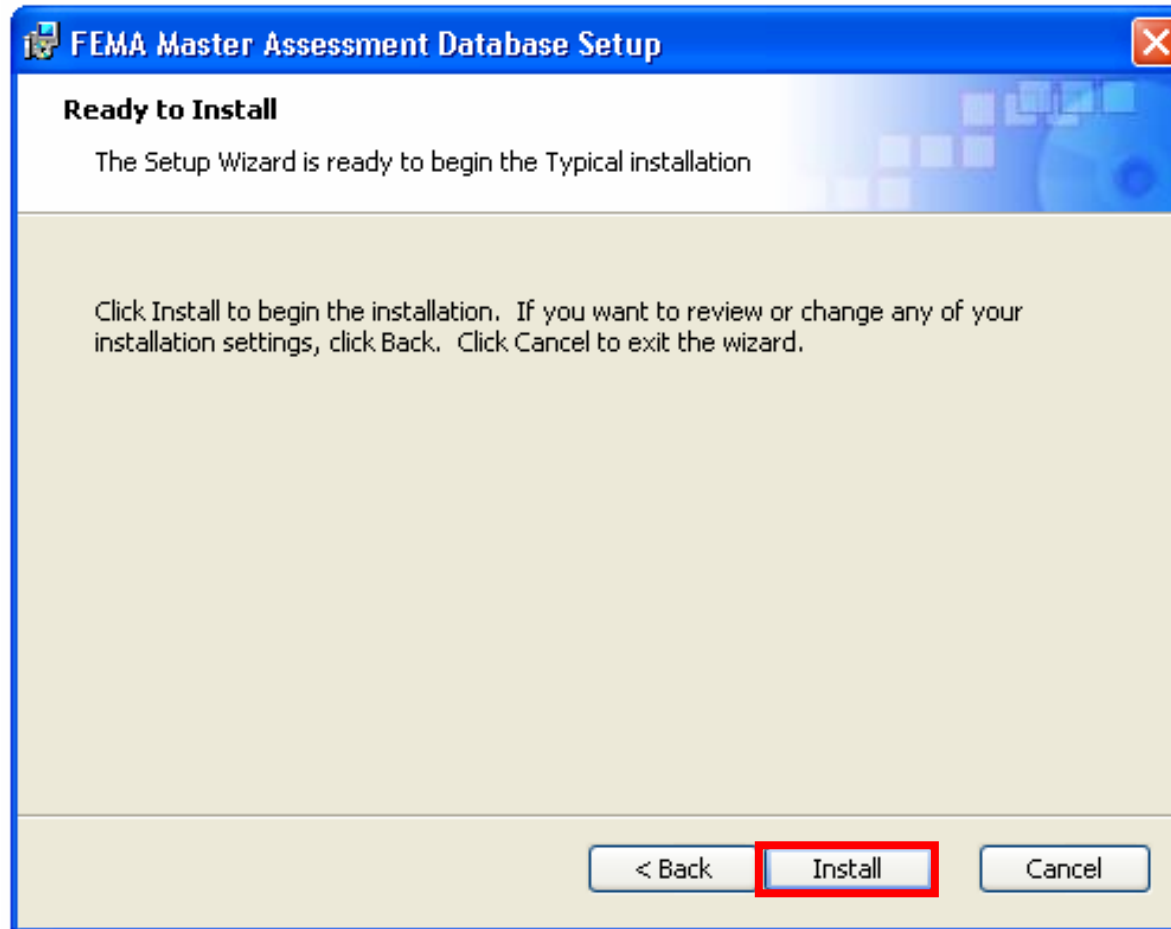
**FEMA**

# Program Installation



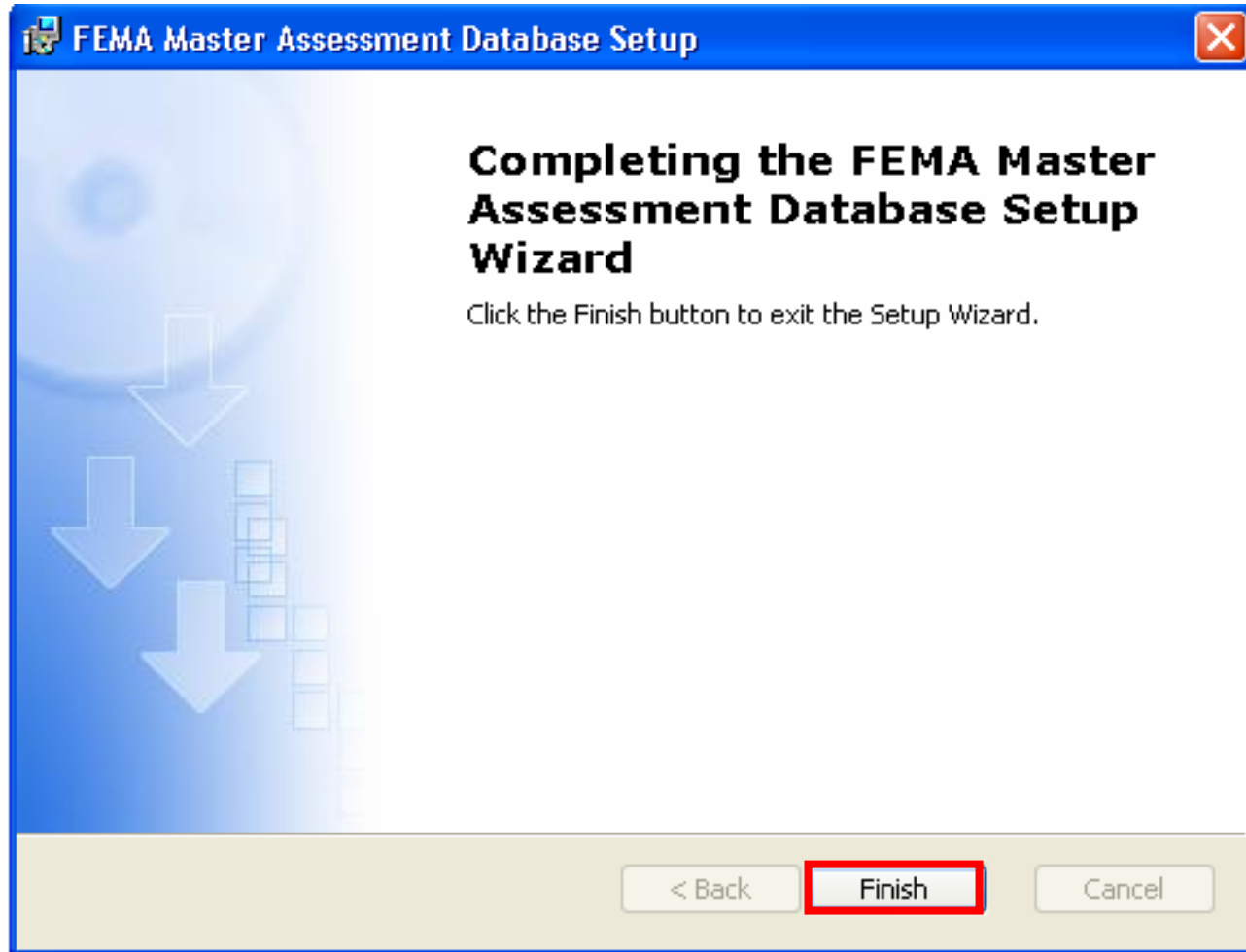
FEMA

# Program Installation



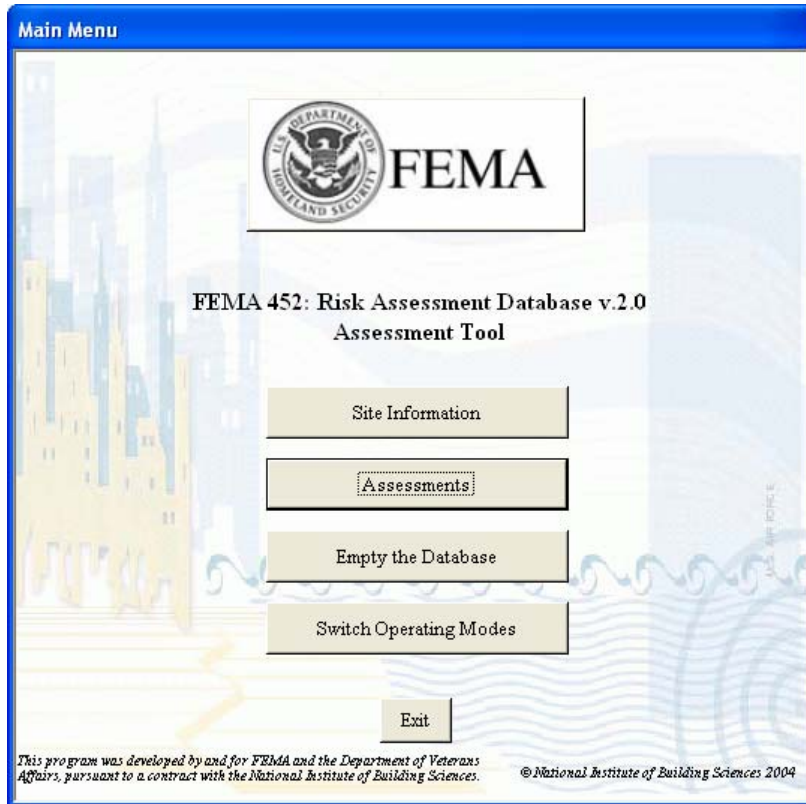
**FEMA**

# Program Installation



FEMA

# FEMA 452: Risk Assessment



## Assessor Tool



## Master Database



**FEMA**

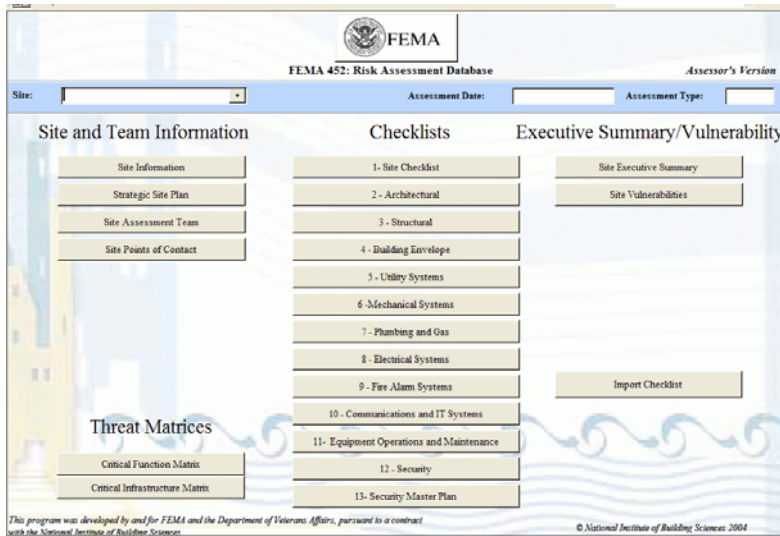
# Database Structure

Conduct Assessment

Import Assessment Data

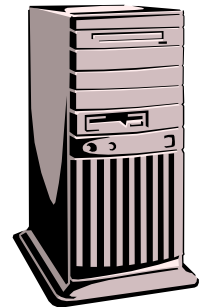
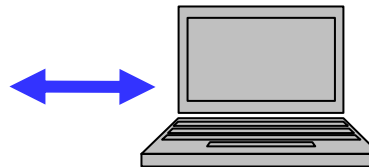
Analyze Data

Store Data



Master Database

Return Files, Pictures, Data



Assessor Tool  
(MS Access)

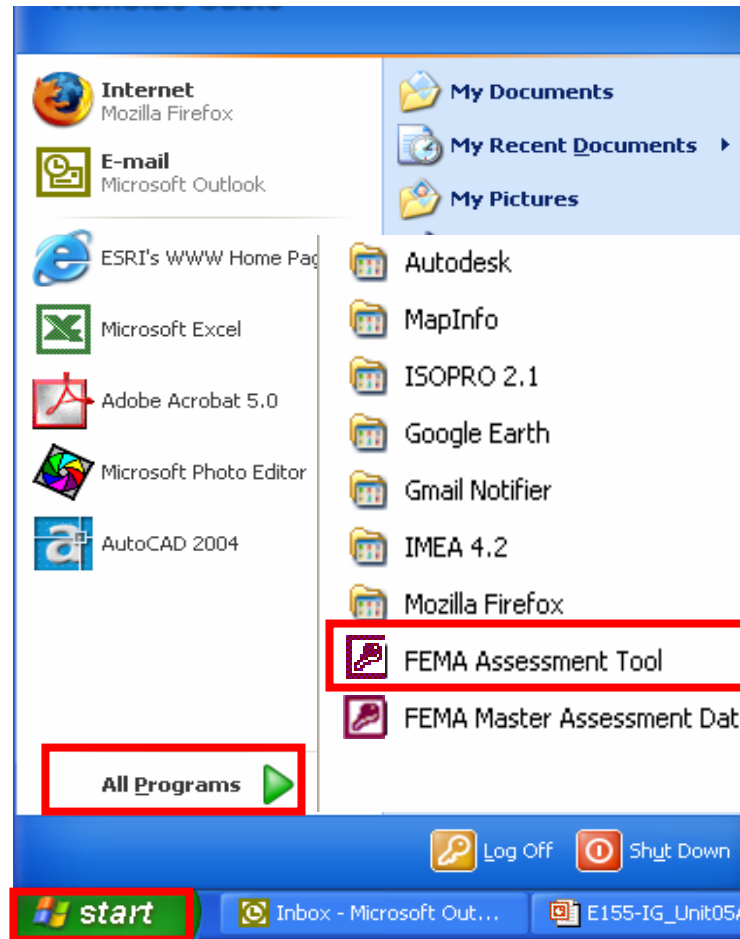
Assessor Tool

Master Database  
(MS Access)



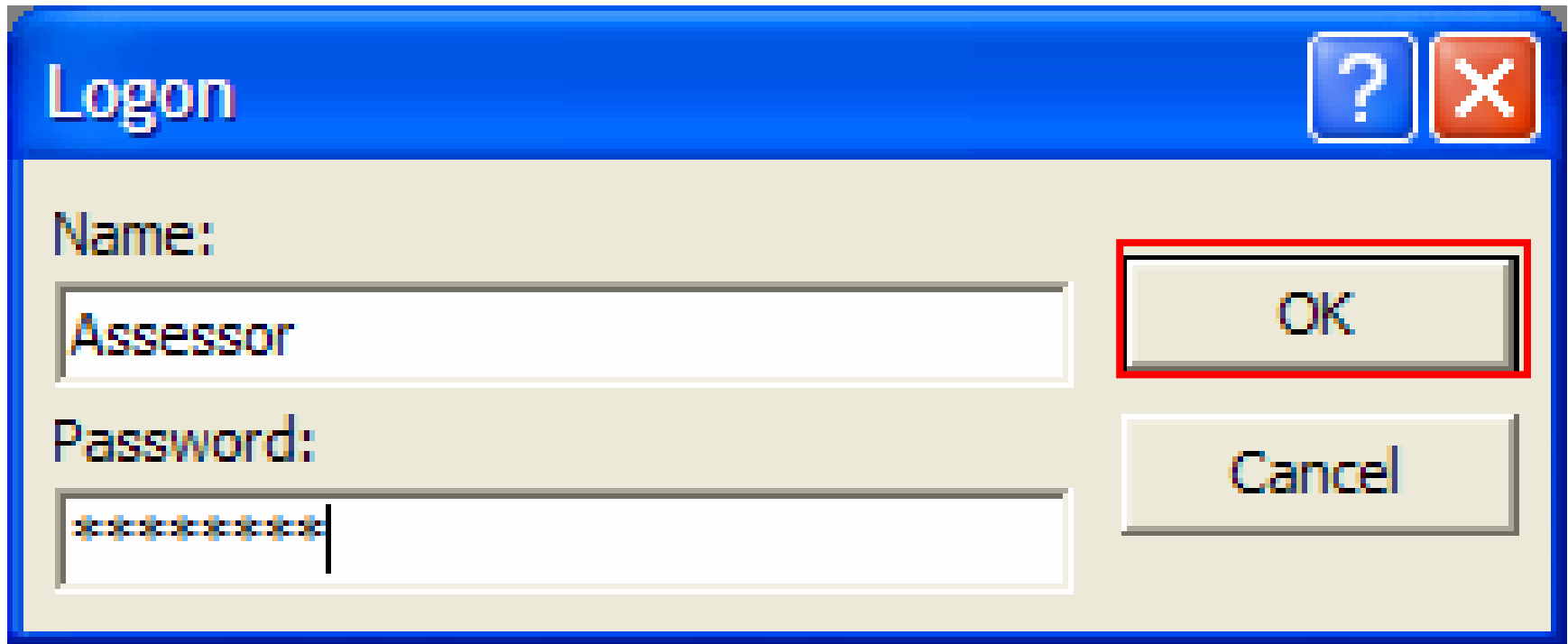
FEMA

# Open Assessor Tool



FEMA

# Login to Assessor Tool



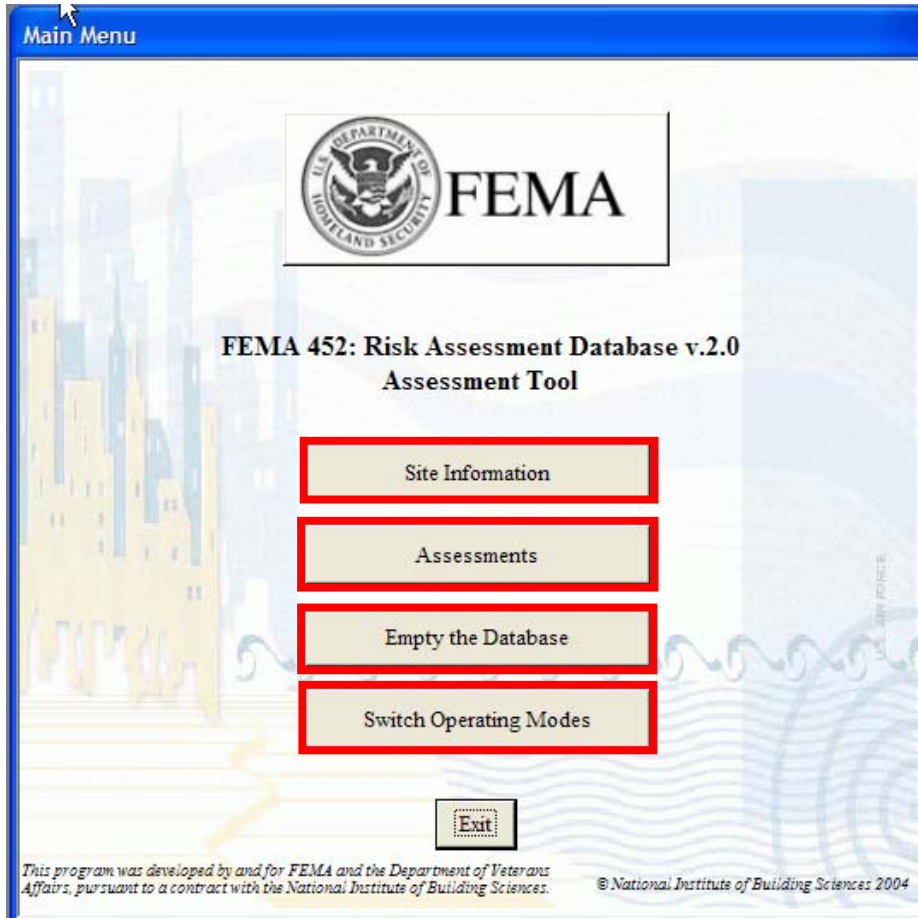
The image shows a classic Windows-style dialog box titled "Logon". The title bar is blue and contains a question mark icon and a close (X) icon. The main area has a light beige background. On the left, there are two labels: "Name:" and "Password:". Below "Name:" is a text input field containing the word "Assessor". Below "Password:" is a password input field containing ten asterisks. To the right of these fields are two buttons: "OK" and "Cancel". The "OK" button is highlighted with a red rectangular border.



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# Assessor Tool



- **Create and name assessment site**
- **Enter assessment screen**
- **Empty database**
- **Switch to Master Database Mode**



**FEMA**

# Site Information

**Create Assessment Site**

Site Name\*: Hazardville Information Co      Default Site Image: [Dropdown]

Address1: 1234 USA Drive      Site Descriptive Text: [Text Area]

Address2: [Text Area]

City: Hazardville      St: AK

Zip: 12345

Assessments | Buildings

Assessment Location

Assessment Date

Assessment Type

No Image Available

**Microsoft Access**

This Site Assessment will be stored in folder:  
C:\Program Files\FEMA\_Assessment Tool\Hazardville Information Co\Assessment\_2005-10-01\

Photos will need to be placed in the \Photos subfolder  
GIS Portfolio images will need to be placed in the \GIS\_Portfolio subfolder  
Miscellaneous files will need to be placed in the \Miscellaneous subfolder

OK

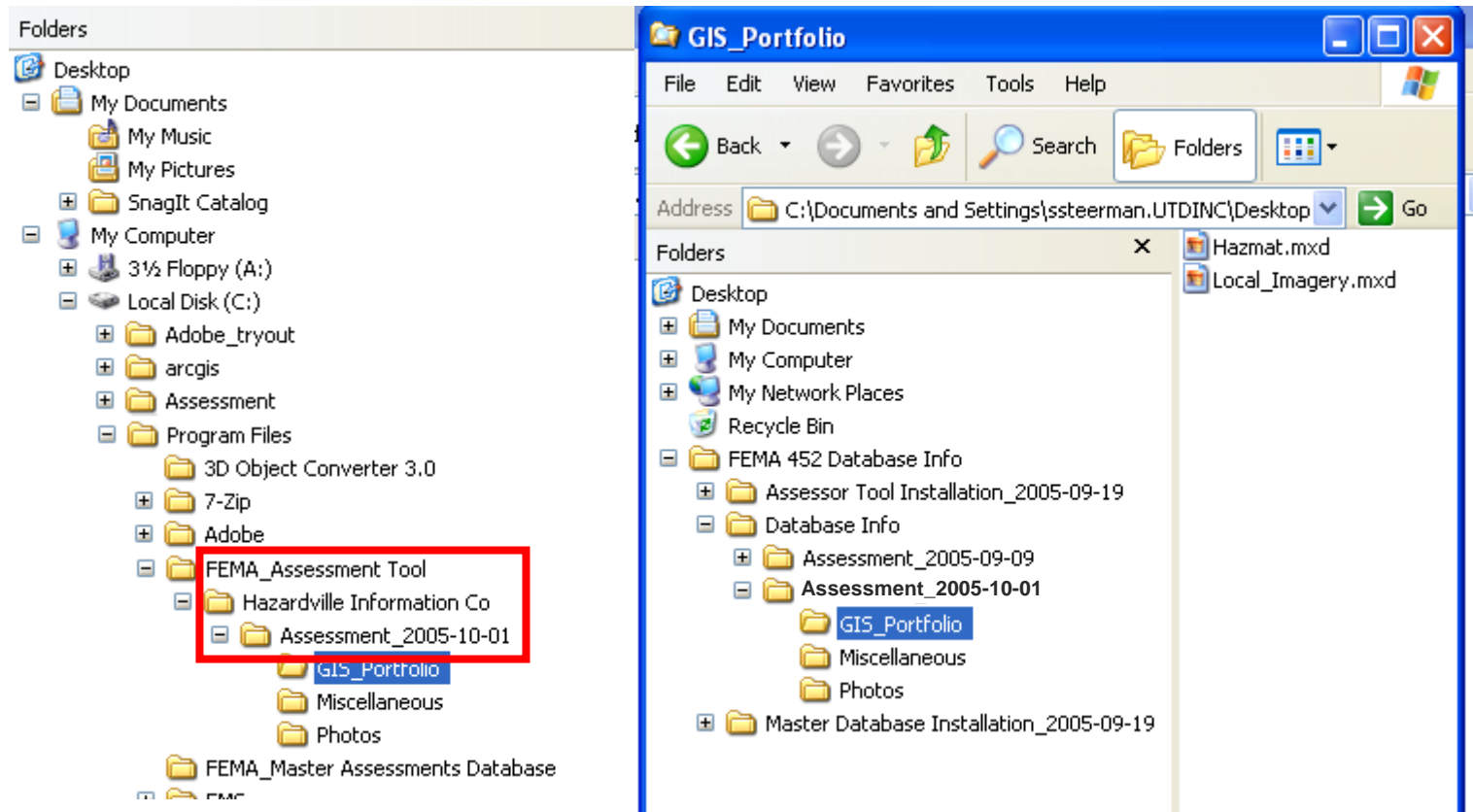
New Site    <-Previous Site    Next Site->    \* Required Field(s)    Close

Record: 1 of 1



FEMA

# Load Information

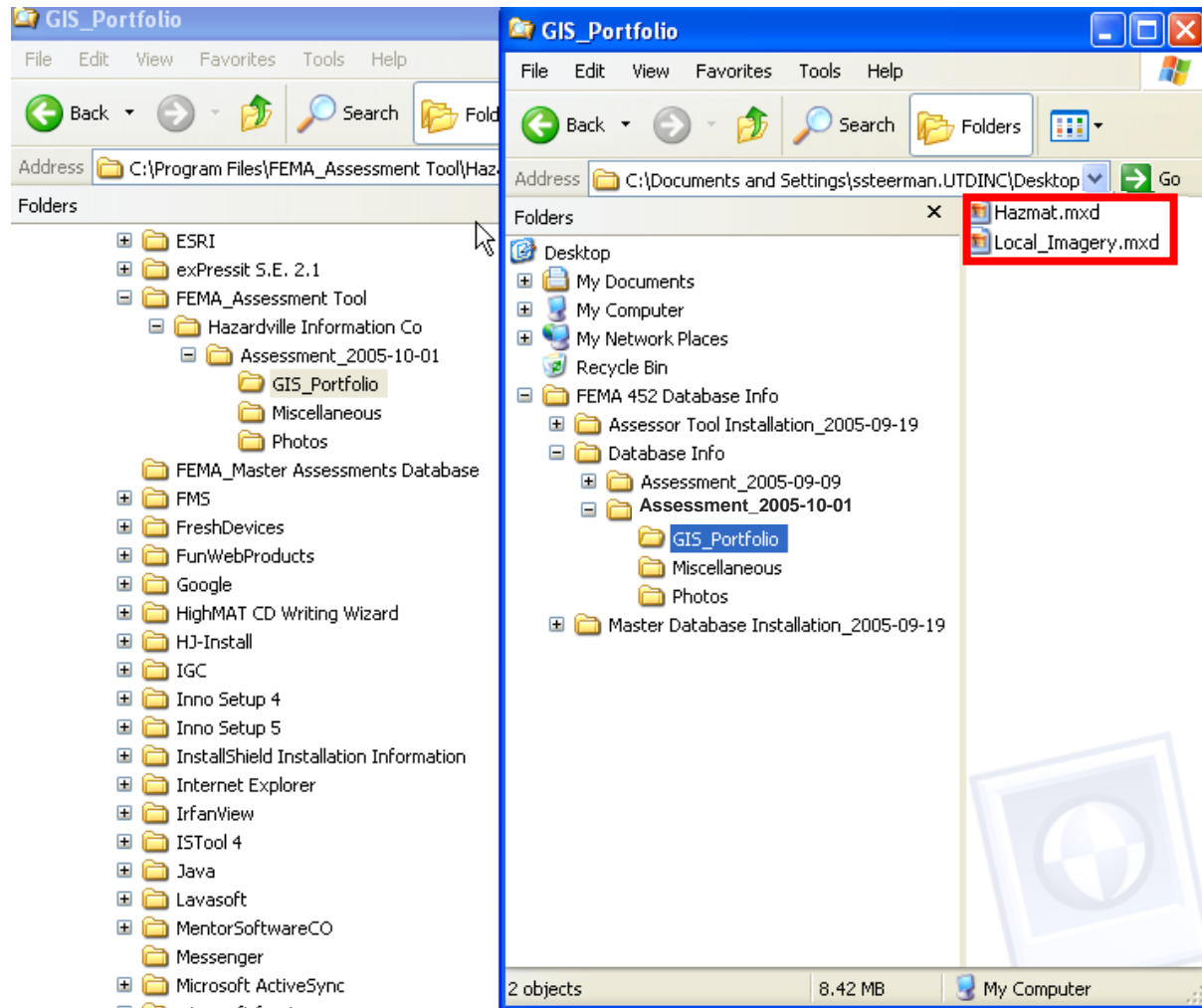


Open a second window with existing data



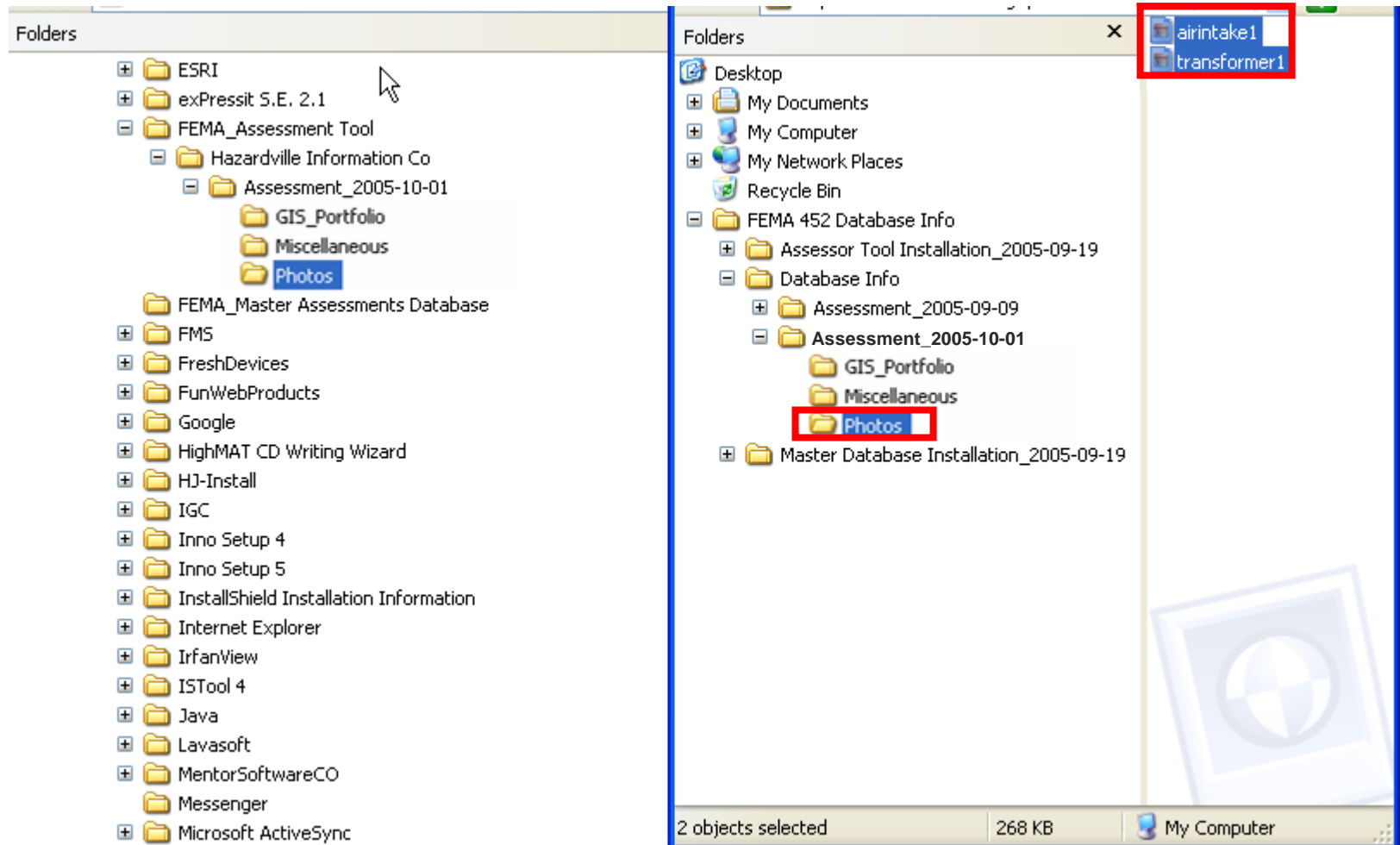
FEMA

# Load Information



FEMA

# Load Information



FEMA

# Assessor Tool

**Main Menu for Assessors**

FEMA  
FEMA 452: Risk Assessment Database v.2.0 *Assessor's Tool*

Site: **Hazardville Information Co** Assessment Date: 10/1/2005 Assessment Type: Tier 1

Site and Team Information	Checklists	Executive Summary/Vulnerability
Site Information	1 - Site Checklist	Site Executive Summary
<b>Site Assessment Team</b>	2 - Architectural	Site Vulnerabilities
Site Points of Contact	3 - Structural	
	4 - Building Envelope	
	5 - Utility Systems	
	6 - Mechanical Systems	
	7 - Plumbing and Gas	
	8 - Electrical Systems	
	9 - Fire Alarm Systems	Import Checklist
	10 - Communications and IT Systems	
	11 - Equipment Operations and Maintenance	
	12 - Security	
	13 - Security Master Plan	

Threat Matrices

Critical Function Matrix  
Critical Infrastructure Matrix

*In association with the Department of Veterans Affairs. Copyright Pending*



FEMA

# Add Team Members

**Assessment Main Page**

Site Name:  Default Image:

Assessment Location:

Assessment Date:  Type:

No Image Available

Executive Summary | Vulnerabilities | Points of Contact | **Assessment Team** | Add Photos | Photos | Add GIS Portfolio Images | GIS Portfolio | Miscellaneous Files

Team Member	Title	Organization	Work Phone	Mobile Phone	Email
-------------	-------	--------------	------------	--------------	-------

Select Team Member from List | **Add New Team Member** | Undo Team Member Record

Record:



FEMA

# Add Team Members

Add a new person to this Team

### Add New Person

First Name:

Last Name:

Title:

Company:

Address:

City:

State:

Zip:

Email:

Work Phone:

Mobile Phone:

Entered By:

Enter Date:

Modified By:

Modify Date:



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# Team Members

**Assessment Main Page**

Site Name:  Default Image:

Assessment Location:

Assessment Date:  Type:

Executive Summary | Vulnerabilities | Points of Contact | **Assessment Team** | Add Photos | Photos | Add GIS Portfolio Images | GIS Portfolio | Miscellaneous Files

Team Member	Title	Organization	Work Phone	Mobile Phone	Email
▶ Smith, John	Senior Assessor	ABC Inc	(123) 456-7890		Jsmith@abcinc.com

Select Team Member from List | **Add New Team Member** | Undo Team Member Record

Record:  of 1

Close



FEMA

# Add Point of Contact

**Assessment Main Page**

Site Name:  Default Image:

Assessment Location:

Assessment Date:  Type:

No Image Available

Executive Summary | Vulnerabilities | **Points of Contact** | Assessment Team | Add Photos | Photos | Add GIS Portfolio Images | GIS Portfolio | Miscellaneous Files

First Name	Last Name	Title	Organization	Address	City	State	Zip
------------	-----------	-------	--------------	---------	------	-------	-----

Record:



FEMA

# Add Point of Contact

**Assessment Main Page**

Site Name:  Default Image:

Assessment Location:

Assessment Date:  Type:

Executive Summary | Vulnerabilities | **Points of Contact** | Assessment Team | Add Photos | Photos | Add GIS Portfolio Images | GIS Portfolio | Miscellaneous Files

	Address	City	State	Zip	Work Phone	Mobile Phone	Email
	1234 B Street	Hazardville	AK	12345	(123) 456-7890		Jdirte@hazinfoco.com

Record:  of 1



FEMA

# Add Photos

Assessment Main Page

Site Name: Hazardville Information Co      Default Image:

Assessment Location: Hazardville Administrative Building

Assessment Date: 10/1/2005      Type: Tier 1

No Image Available

Executive Summary   Vulnerabilities   Points of Contact   Assessment Team   **Add Photos**   Photos   Add GIS Portfolio Images   GIS Portfolio   Miscellaneous Files

File Name	Comments for this assessment
airintake1.jpg	
transformer1.jpg	

Microsoft Access

Attached 2 photos to this ASSESSMENT!

OK

Add Photos   ?

Record: 1 of 2

Close



FEMA

# Add Photos

**Assessment Main Page**

Site Name:  Default Image:

Assessment Location:

Assessment Date:  Type:

No Image Available

Executive Summary | Vulnerabilities | Points of Contact | Assessment Team | Add Photos | **Photos** | Add GIS Portfolio Images | GIS Portfolio | Miscellaneous Files








    

Image #: 1  
airintakel.jpg

Image #: 2  
transformer1.jpg

Image #:   
Image #:   
Image #:

Load Photos   (2 images total)

Close





FEMA

# Add Photos

**Photo Zoom**

Description: n/a      Photo Comments: n/a



Zoom (Stretch Proportionally)      Clip (Actual size without scroll bars)      View with IE (Actual size with scroll bars)       Close



**FEMA**

# Add GIS Images

Assessment Main Page

Site Name: Hazardville Information Co      Default Image:

Assessment Location: Hazardville Administrative Building

Assessment Date: 10/1/2005    Type: Tier 1

No Image Available

Executive Summary   Vulnerabilities   Points of Contact   Assessment Team   Add Photos   Photos   **Add GIS Portfolio Images**   GIS Portfolio   Miscellaneous Files

File Name	Comments for this assessment
Hazmat.mxd.jpg	
Local_Imagery.mxd.jpg	

Microsoft Access

Added 2 Portfolio Images to the GIS Portfolio)

OK

**Add GIS Portfolio Images** ?

Record: 1 of 2

Close



FEMA

# Add GIS Images

**Assessment Main Page**

Site Name:  Default Image:

Assessment Location:

Assessment Date:  Type:

No Image Available

Executive Summary | Vulnerabilities | Points of Contact | Assessment Team | Add Photos | Photos | Add GIS Portfolio Images | **GIS Portfolio** | Miscellaneous Files

Image #: <input type="text"/>	Image #: <input type="text"/>	Image #: <input type="text"/>	Image #: <input type="text"/>	Image #: <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Load GIS**   (4 images total)

Close



FEMA



# Add GIS Images

**Assessment Main Page**






Site Name:  Default Image:

Assessment Location:

Assessment Date:  Type:

No Image Available

Executive Summary | Vulnerabilities | Points of Contact | Assessment Team | Add Photos | Photos | Add GIS Portfolio Images | **GIS Portfolio** | Miscellaneous Files

				
Image #: 1 Hazmat.mxd.jpg	Image #: 2 Local_Imagery.mxd.jpg	Image #:	Image #:	Image #:

(2 images total)



FEMA

# Add Miscellaneous Files

Assessment Main Page

Site Name: Hazardville Information Co Default Image:

Assessment Location: Hazardville Administrative Building

Assessment Date: 10/1/2005 Type: Tier 1

No Image Available

Executive Summary Vulnerabilities Points of Contact Assessment Team Add Photos Photos Add GIS Portfolio Images GIS Portfolio **Miscellaneous Files**

Folder Type	File Name	File Description	File Size	File Date	Enter Date

**Add Miscellaneous Files** ? \*\*\* Double click "File Name" of desired file to open. \*\*\*

Record: 1 of 1

Close

\* Same as photos and GIS images



FEMA

# Threat Matrices

**Main Menu for Assessors**

FEMA

FEMA 452: Risk Assessment Database v.2.0 *Assessor's Tool*

Site: Hazardville Information Co Assessment Date: 10/1/2005 Assessment Type: Tier 1

**Site and Team Information**

- Site Information
- Site Assessment Team
- Site Points of Contact

**Checklists**

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**Executive Summary/Vulnerability**

- Site Executive Summary
- Site Vulnerabilities
- Import Checklist

**Threat Matrices**

- Critical Function Matrix
- Critical Infrastructure Matrix

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# Critical Functions Matrix

Threats →

Functions ↓

Critical Functions Matrix																																	
Site Name:		Hazardville Information Co.				AssessmentDate:				10/01/2005				AssessmentType:				Tier 1															
TR: Threat Rating		<b>Improvised Explosive Device (Bomb)</b>				<b>Chemical Agent</b>				<b>Arson/Incendiary Attack</b>				<b>Armed Attack</b>				<b>Biological Agent</b>				<b>Cyberterrorism</b>				<b>Agriterr</b>							
AV: Asset Value		TR	AV	VR	Risk	TR	AV	VR	Risk	TR	AV	VR	Risk	TR	AV	VR	Risk	TR	AV	VR	Risk	TR	AV	VR	Risk	TR	AV	VR	Risk	TR	AV	VR	Risk
VR: Vulnerability Rating		No.	Critical Function																														
1	Administration	6	4	8	192	4	4	8	128	0	0	0	0	3	4	8	96	4	4	8	128	6	4	8	192	0	0	0	0				
2	Engineering	6	5	8	240	4	5	8	160	0	0	0	0	3	5	8	120	4	5	8	160	5	5	8	200	0	0	0	0				
3	Warehousing	6	5	8	240	4	5	8	160	0	0	0	0	3	5	3	45	4	5	8	160	5	5	2	50	0	0	0	0				
4	Data Center	6	10	8	480	4	10	8	320	0	0	0	0	3	10	3	90	4	10	8	320	9	10	9	810	0	0	0	0				
5	Food Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
6	Security	6	7	8	336	4	7	8	224	0	0	0	0	3	7	3	63	4	7	8	224	5	7	3	105	0	0	0	0				
7	Housekeeping	6	1	8	48	4	1	8	32	0	0	0	0	3	1	1	3	4	1	8	32	2	1	1	2	0	0	0	0				
8	Day Care	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
9	Other CF-1	6	8	8	384	4	8	8	256	0	0	0	0	3	8	3	72	4	8	8	256	5	8	8	320	0	0	0	0				
10	Other CF-2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
11	Other CF-3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
12	Other CF-4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
13	Other CF-5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
14	Other CF-6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				

Asset Value

Threat Rating

Vulnerability Rating

1- 10

1- 10

1- 10



Low risk (1-60)



Medium risk (61-175)



High risk (> 175)



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# Threat Matrices

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- Critical Function Matrix
- Critical Infrastructure Matrix

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# Critical Infrastructure Matrix

Threats →

Infrastructure ↓

**Critical Infrastructure Matrix**

Site Name:  Assessment Date:  Assessment Type:

TR: Threat Rating  
 AV: Asset Value  
 VR: Vulnerability Rating

■ Low Risk (1-60)  
■ Medium Risk (61-175)  
■ High Risk (>175)

No.	Critical Infrastructure	Improvised Explosive Device (Bomb)				Chemical Agent				Arson/Incendiary Attack				Armed Attack				Biological Agent				Cyberterrorism				Agriterrorism				TF				
		TR	AV	VR	Risk	TR	AV	VR	Risk	TR	AV	VR	Risk	TR	AV	VR	Risk	TR	AV	VR	Risk	TR	AV	VR	Risk	TR	AV	VR	Risk					
1	Site	6	5	8	240	4	5	8	160	0	0	0	0	3	5	8	120	4	5	8	160	1	5	3	15	0	0	0	0	0	0	0	0	
2	Architectural	6	5	8	240	4	5	4	80	0	0	0	0	3	5	8	120	4	5	4	80	1	5	3	15	0	0	0	0	0	0	0	0	
3	Structural Systems	6	5	8	240	4	5	3	60	0	0	0	0	3	5	8	120	4	5	3	60	1	5	3	15	0	0	0	0	0	0	0	0	
4	Envelope Systems	6	5	8	240	4	5	3	60	0	0	0	0	3	5	8	120	4	5	3	60	1	5	3	15	0	0	0	0	0	0	0	0	
5	Utility Systems	6	5	6	180	4	5	3	60	0	0	0	0	5	5	7	175	4	5	3	60	3	5	5	75	0	0	0	0	0	0	0	0	
6	Mechanical Systems	4	7	8	224	4	7	7	196	0	0	0	0	5	7	7	245	4	7	7	196	3	7	5	105	0	0	0	0	0	0	0	0	
7	Plumbing and Gas Systems	4	5	8	160	4	5	5	100	0	0	0	0	3	5	8	120	4	5	5	100	2	5	3	30	0	0	0	0	0	0	0	0	
8	Electrical Systems	4	7	8	224	4	7	5	140	0	0	0	0	3	7	7	147	4	7	5	140	3	7	5	105	0	0	0	0	0	0	0	0	
9	Fire Alarm Systems	4	5	8	160	4	5	3	60	0	0	0	0	3	5	3	45	4	5	3	60	2	5	3	30	0	0	0	0	0	0	0	0	
10	IT/Communications Systems	4	10	8	320	4	10	6	240	0	0	0	0	3	10	8	240	4	10	6	240	10	10	10	1000	0	0	0	0	0	0	0	0	
11	Other CI-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
12	Other CI-2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
13	Other CI-3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
14	Other CI-4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
15	Other CI-5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
16	Other CI-6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
17	Other CI-7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
18	Other CI-8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
19	Other CI-9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Rollup Close

Asset Value                    1- 10  
 Threat Rating                 1- 10  
 Vulnerability Rating         1- 10

■ Low risk (1-60)  
■ Medium risk (61-175)  
■ High risk (> 175)



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# Checklists

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FEMA 452: Risk Assessment Database v.2.0 *Assessor's Tool*

Site: Hazardville Information Co Assessment Date: 10/1/2005 Assessment Type: Tier 1

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**Executive Summary/Vulnerability**

- Site Executive Summary
- Site Vulnerabilities
- Import Checklist

**Threat Matrices**

- Critical Function Matrix
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# Site Checklist

**Observations and Recommendations/Remediations for Section Heading: Site**

Site Name: Hazardville Information Co. Type: Tier 1

Q#	Observation	Recommendation/Remediation	Vuln?	Vulnerability Assessment Question	Guidance
1-1	Two Critical Hazard Facilities within 2 mile and a dozen Tier II HAZMAT Facilities within 3 miles. Major interstate highway within 1/4 mile. Two railroads have tracks within 1/2 mile with no restrictions on materials carried. Four nearby buried long-distance	Collateral effects of attacks or accidents impact HIC similar to CBR attacks. See recommendations for HVAC systems.	<input type="checkbox"/>	What major structures surround the facility (site or building(s))? -- What critical infrastructure, government, military, or recreation facilities are in the local area that impact transportation, utilities, and collateral damage (attack at this facility impacting	Critical infrastructure to consider includes: - Telecommunications infrastructure - Facilities for broadcast TV, cable TV; cellular networks; newspaper offices, production, and distribution; radio stations; satellite base stations; telephone trunking and
1-2	The site is above the tank farm and the rear parking area slopes away from the building to a stream, which allows winds to pass over the structure unhindered.	None.	<input type="checkbox"/>	Does the terrain place the building in a depression or low area?	Depressions or low areas can trap heavy vapors, inhibit natural decontamination by prevailing winds, and reduce the effectiveness of in-place sheltering. - Reference: USAF Installation Force Protection Guide
▶ 1-3	With a loading dock on the west side, it is possible for vehicles to park right next to the building. Normal parking for employees is in front; the closest row is 44 feet from the front door.	Increased stand-off or increased access control is needed to reduce risk of vehicle-borne improvised explosive device. Any action will require coordination with Business Park Management and other tenants due to impacts on the overall business park	<input checked="" type="checkbox"/>	In dense, urban areas, does curb lane parking place uncontrolled parked vehicles unacceptably close to a building in public rights-of-way?	Where distance from the building to the nearest curb provides insufficient setback, restrict parking in the curb lane. For typical city streets this may require negotiating to close the curb lane. Setback is common terminology for the distance between a building and

Record: 3 of 23 (Filtered) Close



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# Checklists

**Main Menu for Assessors**

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FEMA 452: Risk Assessment Database v.2.0 *Assessor's Tool*

Site: Hazardville Information Co Assessment Date: 10/1/2005 Assessment Type: Tier 1

**Site and Team Information**

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- Import Checklist

**Threat Matrices**

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All launch similar checklists with places to enter observations and recommendations / remediations



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# Executive Summary

**Main Menu for Assessors**

 **FEMA**

**FEMA 452: Risk Assessment Database v.2.0** *Assessor's Tool*

**Site:** Hazardville Information Co **Assessment Date:** 10/1/2005 **Assessment Type:** Tier 1

Site and Team Information	Checklists	Executive Summary/Vulnerability
<a href="#">Site Information</a>	<a href="#">1- Site Checklist</a>	<a href="#">Site Executive Summary</a>
<a href="#">Site Assessment Team</a>	<a href="#">2 - Architectural</a>	<a href="#">Site Vulnerabilities</a>
<a href="#">Site Points of Contact</a>	<a href="#">3 - Structural</a>	
	<a href="#">4 - Building Envelope</a>	
	<a href="#">5 - Utility Systems</a>	
	<a href="#">6 -Mechanical Systems</a>	
	<a href="#">7 - Plumbing and Gas</a>	
	<a href="#">8 - Electrical Systems</a>	
	<a href="#">9 - Fire Alarm Systems</a>	<a href="#">Import Checklist</a>
	<a href="#">10 - Communications and IT Systems</a>	
	<a href="#">11- Equipment Operations and Maintenance</a>	
	<a href="#">12 - Security</a>	
	<a href="#">13- Security Master Plan</a>	

**Threat Matrices**

<a href="#">Critical Function Matrix</a>
<a href="#">Critical Infrastructure Matrix</a>

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# Executive Summary Tab

**Assessment Main Page**

Site Name: Hazardville Information Co.      Default Image:

Assessment Location: Hazardville Admin

Assessment Date: 10/1/2005      Type: Tier 1

No Image Available

Executive Summary   Vulnerabilities   Points of Contact   Assessment Team   Add Photos   Photos   Add GIS Portfolio Images   GIS Portfolio   Miscellaneous Files

Introduction	Observations	Recommendations/Remediations
<p>▶ Hazardville Information Company (HIC) is a state-of-the-art information technology (IT) services company located in a major metropolitan city in a typical suburban business office park. The company's mission is to provide information technology and services support to include hosting servers, databases, applications, and other hardware and software; develop, install, and maintain software applications; provide field support IT technicians; and provide 24-hour help desk support.</p> <p>HIC has over 20 clients and supports approximately 1,000 users and 100 applications as a primary data center and as a disaster recovery site. HIC clients include:</p>	<p>Due to standard business office park construction, HIC is vulnerable to terrorist attacks and technological accidents both as the targeted facility and as collateral damage.</p> <p>There are limited procedural changes available to provide protection. Each attack possibility has a set of measures that can be prioritized and applied to mitigate that attack and reduce the risk from other attacks as well.</p>	<p>The owner has agreed to work with a recommended set of design basis threats (DBT) and consider GSA and DoD facility levels of protection criteria. Access control of vehicles would be the primary deterrent for vehicle-borne improvised explosive devices.</p> <p>While Chemical, Biological, Radiological (CBR) attacks are at a risk level to consider, proximity to transportation, storage, and other HAZMAT sites indicates that CBR considerations would also provide protection against technological accidents. There are also measures to take from a COOP (Continuity of Operations Plans) perspective as this is a backup facility for other data centers.</p>

Record: 1 of 1

Close



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# Vulnerabilities

**Main Menu for Assessors**

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Site: Hazardville Information Co Assessment Date: 10/1/2005 Assessment Type: Tier 1

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**Threat Matrices**

- Critical Function Matrix
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# Vulnerabilities and Recommendations

Assessment Main Page

**Remediations**

Building No	Vulnerability	Priority	Recommendation/Remediation
Hazardville Admin	With a loading dock on the west side, it is possible for vehicles to park right next to the building. Normal parking for employees is in front; the closest row is 44 feet from the	2	Increased stand-off or increased access control is needed to reduce risk of vehicle-borne improvised explosive device. Any action will require coordination with Business Park Management and

Action	Date	Cost	Comments
Initial		\$0	
Planned		\$0	
Underway		\$0	
Completed		\$0	

[Close](#)

*	side). In that area the fenestration is probably more than 40%. The window		of the frame and silicone sealant and fragmentation retention film should be added to the glass	4-2**
---	--	--	---	-------

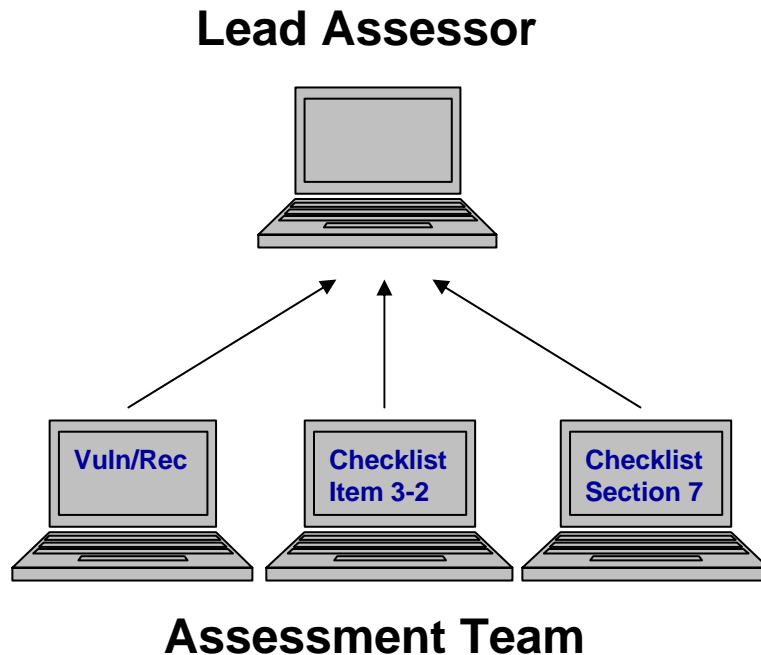
Record: 1 of 3

[Close](#)



FEMA

# Assessment Team Import Function



- Establish a link to a team member's database
- Open the remote database
- Import Observation, Recommendation/Remediation, or Vulnerability entries

The assessment team members to combine their data into one database file on one computer at the end of the assessment.



**FEMA**

# Import Assessments

Assessments Available for Import From: C:\Temp\AssessorTool.mde

Site Name	Assessment Date	Type
Hazardville Information C	3/14/2006	Tier 1
Example site 2	2/2/2006	Tier 2
Example site 3	6/6/2006	Tier 3

Microsoft Office Access

Connection made.

216 Checklist records  
1 Vulnerability records

available for Viewing/Copying.

OK

Select Assessment

Record: 1 of 3

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# Assessment Team Import Function

Main Menu for Assessors

Observations and Recommendations/Remediations for Section Heading: Site

Site Name: Hazardville Information Company      Type: Tier 1

Open Remote Data: C:\temp\AssessorTool.mde

Q#	Observation	Recommendation/Remediation	Vuln?	Vulnerability Assessment Question	Guidance
1-1			<input type="checkbox"/>	What major structures surround the facility (site or building(s))? -- What critical infrastructure, government, military, or recreation facilities are in the local area that impact transportation, utilities, and collateral damage (attack at this facility impacting	Critical infrastructure to consider includes: - Telecommunications infrastructure - Facilities for broadcast TV, cable TV; cellular networks; newspaper offices, production, and distribution; radio stations; satellite base stations: telephone trunking and
1-2			<input type="checkbox"/>	Does the terrain place the building in a depression or low area?	Depressions or low areas can trap heavy vapors, inhibit natural decontamination by prevailing winds, and reduce the effectiveness of in-place sheltering. - Reference: USAF Installation Force Protection Guide
1-3			<input type="checkbox"/>	In dense, urban areas, does curb lane parking place uncontrolled parked vehicles unacceptably close to a building in public rights-of-way?	Where distance from the building to the nearest curb provides insufficient setback, restrict parking in the curb lane. For typical city streets this may require negotiating to close the curb lane. Setback is common terminology for the distance between a building and

Record: 1 of 23 (Filtered)

Close

Critical Infrastructure Matrix      13- Security Master Plan

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# Assessment Team Import Function

Observations Details from the REMOTE DATABASE

Site Name: Hazardville Information Company

Copy Record	Q#	Observation	Recommendation/Remediation	Vuln?
<input type="checkbox"/>	1-1	Two Critical Hazard Facilities within 2 mile and a dozen Tier II HAZMAT Facilities within 3 miles. Major interstate highway within 1/4 mile. Two railroads have tracks within 1/2 mile with no restrictions on materials carried. Four nearby buried long-distance	Collateral effects of attacks or accidents impact HIC similar to CBR attacks. See recommendations for HVAC systems.	<input type="checkbox"/>
<input type="checkbox"/>	1-2	The site is above the tank farm and the rear parking area slopes away from the building to a stream, which allows winds to pass over the structure unhindered.	None.	<input type="checkbox"/>
<input type="checkbox"/>	1-3	With a loading dock on the west side, it is possible for vehicles to park right next to the building. Normal parking for employees is in front; the closest row is 44 feet from the front door.	Increased stand-off or increased access control is needed to reduce risk of vehicle-borne improvised explosive device. Any action will require coordination with Business Park Management and other tenants due to impacts on the overall business park.	<input checked="" type="checkbox"/>

Copy and OVERWRITE  
 Copy and APPEND

Select All      Update Local Copy      Close

Record: 1 of 23 (Filtered)



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# Close Assessor Tool

**Main Menu for Assessors**

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- Site Executive Summary
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**Threat Matrices**

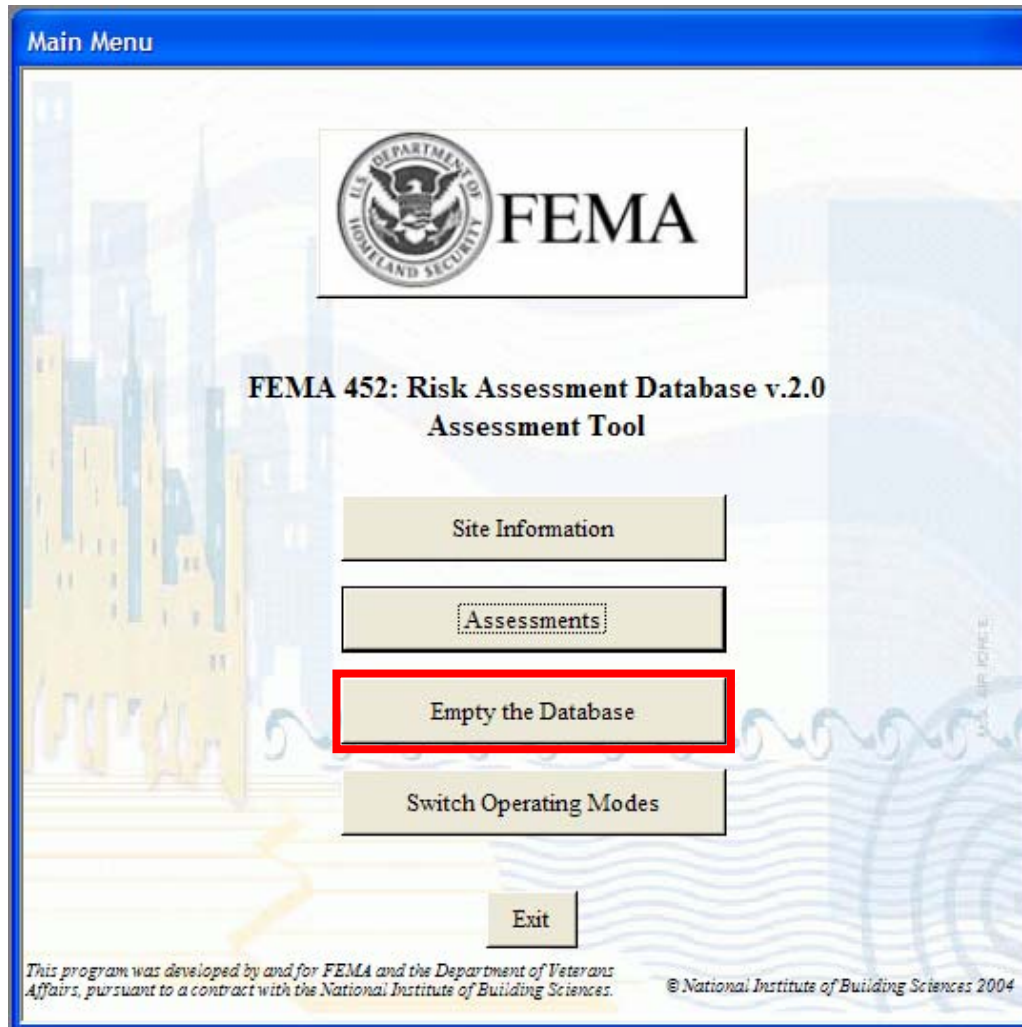
- Critical Function Matrix
- Critical Infrastructure Matrix

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# Empty Database



FEMA

# Switch to Master Database



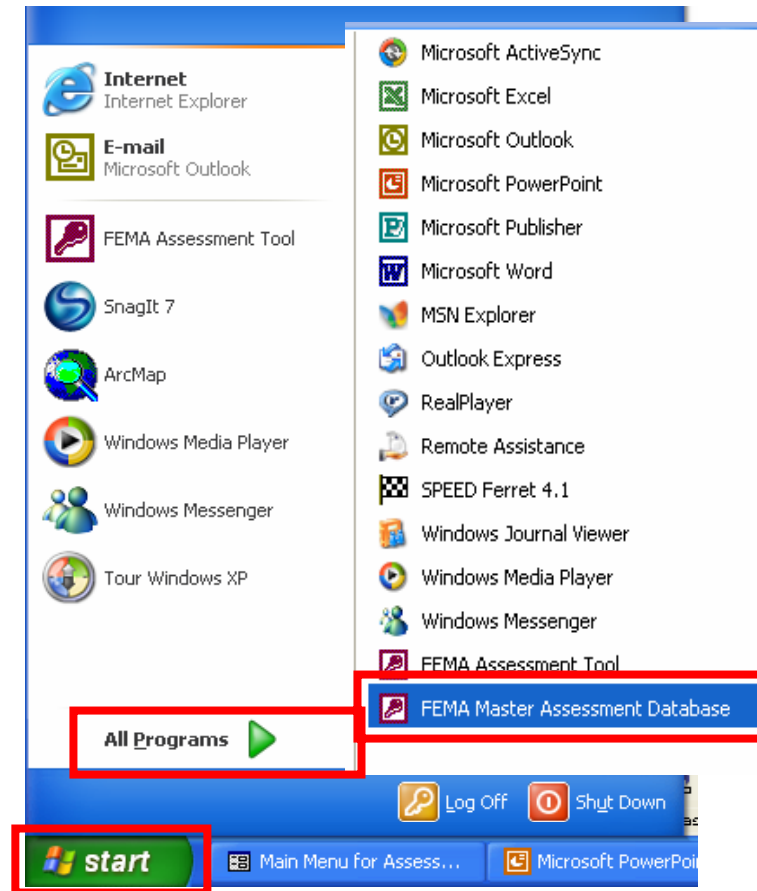
**FEMA**

# Master Database



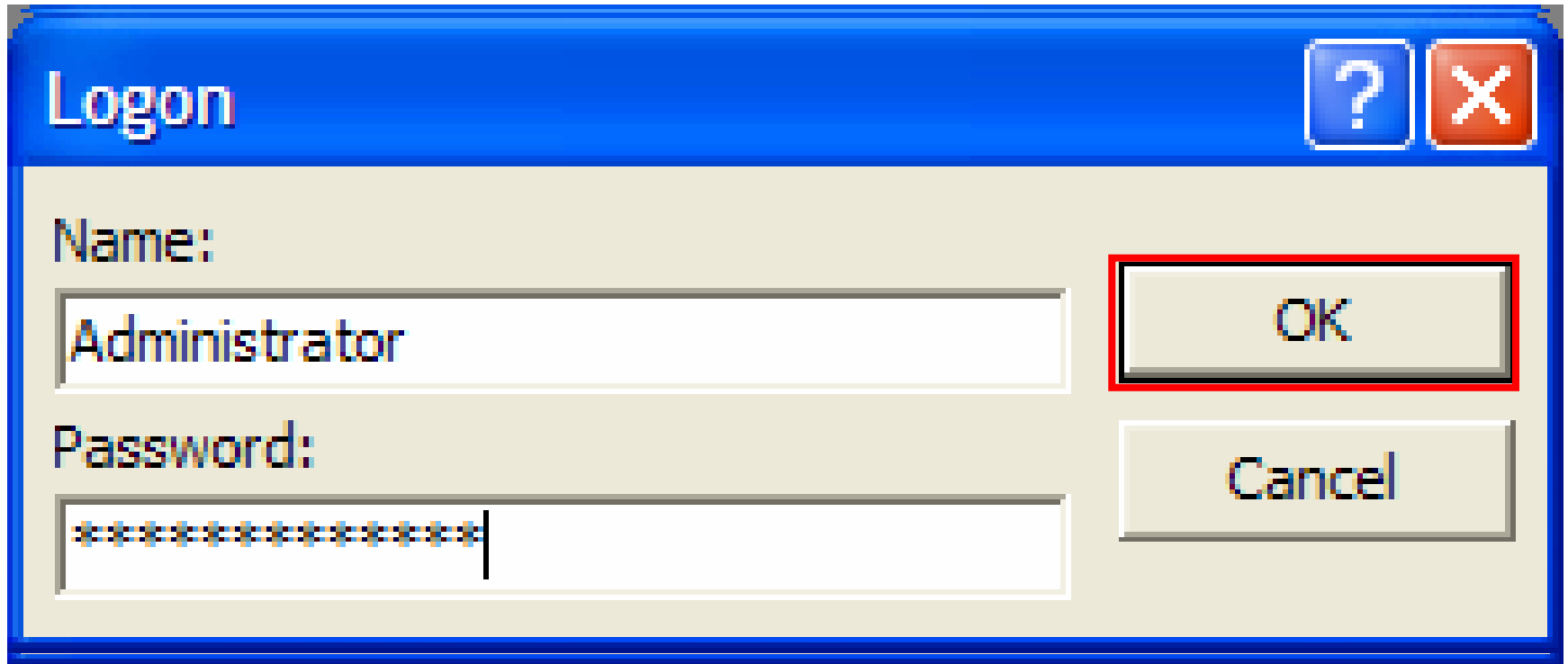
FEMA

# Open Master Database



FEMA

# Login to Master Database



The image shows a classic Windows-style dialog box titled "Logon". The title bar is blue and contains a question mark icon and a red close button with a white "X". The main area has a light beige background. On the left, there are two labels: "Name:" and "Password:". Below "Name:" is a text input field containing the word "Administrator". Below "Password:" is a text input field filled with asterisks, indicating a masked password. To the right of the input fields are two buttons: "OK" and "Cancel". The "OK" button is highlighted with a red rectangular border.



FEMA

# Master Database



FEMA



# View Checklists

**List of Assessments**

**Assessment Checklists**

Site Name:   
 Assessment Location:   
 Assessment Date:  Type:

Q#	Observation	Recommendation / Remediation	Vulnerability?	Vulnerability Assessment Checklist Question
1-1	Two Critical Hazard Facilities within 2 mile and a dc	Collateral effects of attacks or accidents imp.	<input type="checkbox"/>	What major structures surround the facility (site or buildi
1-2	The site is above the tank farm and the rear parking	None.	<input type="checkbox"/>	Does the terrain place the building in a depression or low
1-3	With a loading dock on the west side, it is possible fi	Increased stand-off or increased access contr	<input checked="" type="checkbox"/>	In dense, urban areas, does curb lane parking place uncon
1-4			<input type="checkbox"/>	Is a perimeter fence or other types of barrier controls in
1-5			<input type="checkbox"/>	What are the site access points to the site or building?
1-6			<input type="checkbox"/>	Is vehicle traffic separated from pedestrian traffic on the
1-7			<input type="checkbox"/>	Is there vehicle and pedestrian access control at the peri
1-8			<input type="checkbox"/>	Is there space for inspection at the curb line or outside tl
1-9			<input type="checkbox"/>	Is there any potential access to the site or building throu
1-10			<input type="checkbox"/>	What are the existing types of vehicle anti-ram devices i
1-11			<input type="checkbox"/>	What is the anti-ram buffer zone stand-off distance from
1-12			<input type="checkbox"/>	Are perimeter barriers capable of stopping vehicles? -- W

Record:        of 23

Record:        of 1



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# Reports

FEMA 452: Risk Assessment Database v2.0 - [Vulnerability Report without Costs]

Help

Type a question for help

File - Close Report

## Vulnerabilities and Recommendations

Priority	Building Number	Vulnerability	Recommendation/Remediation
1	Hazardville Admin	All windows are in the office space area of the building (all the front and half of one side). In that area the fenestration is probably more than 40%. The window system is standard commercial installation and thus, the glass, framing and anchorage are expected to be insufficient for the design basis threat.	For balanced performance, the anchorage of the windows should be improved for the blast capability of the frame and silicone sealant and fragmentation retention film should be added to the glass.
2	Hazardville Admin	With a loading dock on the west side, it is possible for vehicles to park right next to the building. Normal parking for employees is in front, the closest row is 44 feet from the front door.	Increased stand-off or increased access control is needed to reduce risk of vehicle-borne improvised explosive device. Any action will require coordination with Business Park Management and other tenants due to impacts on the overall business park. For example, increase distance to first parking space to 80 feet by closing off nearby parking, control access to rear of building, or use reserved parking spaces in front of building anywhere within 80 feet of building.
3	Hazardville Admin	The loading dock connects directly into the interior space, critical functions, and infrastructure.	There is little that can be done for the loading dock directly. Access control already required for other vulnerabilities will be beneficial in keeping threats away from the loading dock and reducing risk.

Page 1

Page: 1



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# Reports

## Executive Summary

### Introduction

Hazardville Information Company (HIC) is a state-of-the-art information technology (IT) services company located in a major metropolitan city in a typical suburban business office park. The company's mission is to provide information technology and services support to include hosting servers, databases, applications, and other hardware and software; develop, install, and maintain software applications; provide field support IT technicians; and provide 24-hour help desk support.

HIC has over 20 clients and supports approximately 1,000 users and 100 applications as a primary data center and as a disaster recovery site. HIC clients include local and regional government offices and commercial entities along with large prime defense contractors and Federal government agencies. HIC handles unclassified and classified information.

### Observations

Due to standard business office park construction, HIC is vulnerable to terrorist attacks and technological accidents both as the targeted facility and as collateral damage. There are limited procedural changes available to provide protection. Each attack possibility has a set of measures that can be prioritized and applied to mitigate that attack and reduce the risk from other attacks as well.

### Recommendations / Remediations

The owner has agreed to work with a recommended set of design basis threats (DBT) and consider GSA and DoD facility levels of protection criteria. Access control of vehicles would be the primary deterrent for vehicle-borne improvised explosive devices. While Chemical, Biological, Radiological (CBR) attacks are at a risk level to consider, proximity to transportation, storage, and other HAZMAT sites indicates that CBR considerations would also provide protection against technological accidents. There are also measures to take from a COOP (Continuity of Operations Plans) perspective as this is a backup facility for other data centers.



# FEMA

# Reports

The screenshot displays a web application interface for managing assessments. At the top, a blue header reads "List of Assessments". Below this is a table with columns: "Assessment ID", "Assessment Location", "Organization Name", "Assessment Date", "Assessment Type", and "Assessment Folder Name". A search bar with "Search" and "Clear" buttons is positioned above the table. A single record is visible with ID "1" and Location "Hazardville Information C...".

A "Site Assessment Reports Menu" dropdown is open, showing a "Microsoft Access" dialog box. The dialog has a yellow warning icon and the text: "This will build a stoplight spreadsheet in Excel. Are you sure you want to continue?". The "Yes" button is highlighted with a red border. A "Close" button is located below the dialog.

At the bottom, a navigation menu contains buttons for: "Executive Summary", "Vulnerabilities", "Points of Contact", "Assessment Team", "Photos", "GIS Portfolio", "Miscellaneous Files", "Assessment Checklist", "Critical Function", "Critical Infrastructure", "Site Reports" (highlighted with a red border), "Other Reports", "Help", and "Close".

At the very bottom, a record navigation bar shows "Record: 1 of 1" with navigation icons.



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# Threat Matrix

L54      fx 0

	A	B	C	D	E	F	G	H	I	J	K
10		5	10								
11	<b>Facility</b>										
12											
13	<b>Core Process/Function</b>			<b>Improvised Explosive Device</b>	<b>Chemical Agent</b>	<b>Arson / Incendiary Attack</b>	<b>Armed Attack</b>	<b>Biological Agent</b>	<b>Cyberterrorism</b>	<b>Agriterrorism</b>	<b>Radiological Agent</b>
14											
15	Administration			192	128	0	96	128	192	0	128
16	Threat Rating			6	4	0	3	4	6	0	4
17	Asset Value			4	4	0	4	4	4	0	4
18	Vulnerability Rating			8	8	0	8	8	8	0	8
19	Engineering			240	160	0	120	160	200	0	160
20	Threat Rating			6	4	0	3	4	5	0	4
21	Asset Value			5	5	0	5	5	5	0	5
22	Vulnerability Rating			8	8	0	8	8	8	0	8
23	Warehousing			240	160	0	45	160	50	0	160
24	Threat Rating			6	4	0	3	4	5	0	4
25	Asset Value			5	5	0	5	5	5	0	5
26	Vulnerability Rating			8	8	0	3	8	2	0	8
27	Data Center			480	320	0	90	320	810	0	320
28	Threat Rating			6	4	0	3	4	9	0	4
29	Asset Value			10	10	0	10	10	10	0	10
30	Vulnerability Rating			8	8	0	3	8	9	0	8
31	Food Service			0	0	0	0	0	0	0	0
32	Threat Rating			0	0	0	0	0	0	0	0
33	Asset Value			0	0	0	0	0	0	0	0
34	Vulnerability Rating			0	0	0	0	0	0	0	0
35	Security			336	224	0	63	224	105	0	224
36	Threat Rating			6	4	0	3	4	5	0	4
37	Asset Value			7	7	0	7	7	7	0	7
38	Vulnerability Rating			8	8	0	3	8	3	0	8
39	Housekeeping			48	32	0	3	32	2	0	32
40	Threat Rating			6	4	0	3	4	2	0	4
41	Asset Value			1	1	0	1	1	1	0	1
42	Vulnerability Rating			8	8	0	1	8	1	0	8
43	Day Care			0	0	0	0	0	0	0	0
44	Threat Rating			0	0	0	0	0	0	0	0
45	Asset Value			0	0	0	0	0	0	0	0
46	Vulnerability Rating			0	0	0	0	0	0	0	0
47	Communications			384	256	0	72	256	320	0	256
48	Threat Rating			6	4	0	3	4	5	0	4
49	Asset Value			8	8	0	8	8	8	0	8
50	Vulnerability Rating			8	8	0	3	8	8	0	8
51	Other 2			0	0	0	0	0	0	0	0
52	Threat Rating			0	0	0	0	0	0	0	0
53	Asset Value			0	0	0	0	0	0	0	0
54	Vulnerability Rating			0	0	0	0	0	0	0	0

Function Vulnerability / Infrastructure Vulnerability



FEMA

# Threat Matrix

K7		fx										
	A	B	C	D	E	F	G	H	I	J	K	
11	Facility											
12				Improvised	Chemical	Arson / Incendiary	Armed	Biological	Cyberterrorism	Agriterrorism	Radiological	N
13	Critical Infrastructure			Explosive Device	Agent	Attack	Attack	Agent			Agent	C
14												
15	Site			240	160	0	120	160	15	0	160	
16		Threat Rating		6	4	0	3	4	1	0	4	
17		Asset Value		5	5	0	5	5	5	0	5	
18		Vulnerability Rating		8	8	0	8	8	3	0	8	
19	Architectural			240	80	0	120	80	15	0	80	
20		Threat Rating		6	4	0	3	4	1	0	4	
21		Asset Value		5	5	0	5	5	5	0	5	
22		Vulnerability Rating		8	4	0	8	4	3	0	4	
23	Structural Systems			240	60	0	120	60	15	0	60	
24		Threat Rating		6	4	0	3	4	1	0	4	
25		Asset Value		5	5	0	5	5	5	0	5	
26		Vulnerability Rating		8	3	0	8	3	3	0	3	
27	Envelope Systems			240	60	0	120	60	15	0	60	
28		Threat Rating		6	4	0	3	4	1	0	4	
29		Asset Value		5	5	0	5	5	5	0	5	
30		Vulnerability Rating		8	3	0	8	3	3	0	3	
31	Utility Systems			180	60	0	175	60	75	0	60	
32		Threat Rating		6	4	0	5	4	3	0	4	
33		Asset Value		5	5	0	5	5	5	0	5	
34		Vulnerability Rating		6	3	0	7	3	5	0	3	
35	Mechanical Systems			224	196	0	245	196	105	0	196	
36		Threat Rating		4	4	0	5	4	3	0	4	
37		Asset Value		7	7	0	7	7	7	0	7	
38		Vulnerability Rating		8	7	0	7	7	5	0	7	
39	Plumbing and Gas Systems			160	100	0	120	100	30	0	100	
40		Threat Rating		4	4	0	3	4	2	0	4	
41		Asset Value		5	5	0	5	5	5	0	5	
42		Vulnerability Rating		8	5	0	8	5	3	0	5	
43	Electrical Systems			224	140	0	147	140	105	0	140	
44		Threat Rating		4	4	0	3	4	3	0	4	
45		Asset Value		7	7	0	7	7	7	0	7	
46		Vulnerability Rating		8	5	0	7	5	5	0	5	
47	Fire Alarm Systems			160	60	0	45	60	30	0	60	
48		Threat Rating		4	4	0	3	4	2	0	4	
49		Asset Value		5	5	0	5	5	5	0	5	
50		Vulnerability Rating		8	3	0	3	3	3	0	3	
51	IT and Communication Systems			320	240	0	240	240	1000	0	240	
52		Threat Rating		4	4	0	3	4	10	0	4	
53		Asset Value		10	10	0	10	10	10	0	10	
54		Vulnerability Rating		8	6	0	8	6	10	0	6	
55	Other1			0	0	0	0	0	0	0	0	



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# Other Reports

Observations and Recommendations/Remediations for Assessment Checklist

Site Name	Vulnerability Assessment Checklist #	Section Heading	Observation	Recommendation / Remediation
Hazardville Information Co.	1-1	Site	Two Critical Hazard Facilities within 2 mile and a dozen Tier II HAZMAT Facilities within 3 miles. Major interstate highway within 1/4 mile. Two railroads	Collateral effects of attacks or accidents impact HIC similar to CBR attacks. See recommendations for HVAC systems.
Hazardville Information Co.	1-2	Site	The site is above the tank farm and the rear parking area slopes away from the building to a stream, which allows winds to pass over the structure unhindered.	None.
Hazardville Information Co.	1-3	Site	With a loading dock on the west side, it is possible for vehicles to park right next to the building. Normal parking for employees is in front; the closest row is	Increased stand-off or increased access control is needed to reduce risk of vehicle-borne improvised explosive device. Any action will require coordination with
Hazardville Information Co.	1-4	Site		
Hazardville Information Co.	1-5	Site		

Record: 1 of 216

Print View, Sort by Site    Print View, Sort by Checklist #    **Close**



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# Master Database

Main Menu



FEMA

FEMA 452: Risk Assessment Database v.2.0  
Master Database

Assessments

Vulnerability Assessment Checklist

Administrative Functions

Exit

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# Vulnerability Assessment Checklist Search

Ass All Observations and Recommendations/Remediations for this Question

**Vulnerability Assessment Checklist #** 1-1 **Section Header:** Site

**Question** What major structures surround the facility (site or building(s))? -- What critical infrastructure, government, military, or recreation facilities are in the local area that impact transportation, utilities, and collateral damage (attack at this facility impacting the other major structures or attack on the major structures impacting this facility)? -- What are the adjacent land uses immediately outside the perimeter of this facility (site or building(s))? -- Do future development plans change these land uses outside the facility (site or building (s)) perimeter? -- Although this question bridges threat and vulnerability, the threat is the man-made hazard that can occur (likelihood and impact) and the vulnerability is the proximity of the hazard to the building(s) being assessed. Thus, a chemical plant release may be a threat/hazard, but

**Guidance** Critical infrastructure to consider includes: - Telecommunications infrastructure - Facilities for broadcast TV, cable TV, cellular networks; newspaper offices, production, and distribution; radio stations; satellite base stations; telephone trunking and switching stations, including critical cable routes and major rights of way - Electric power systems - Power plants, especially nuclear facilities; transmission and distribution system components; fuel distribution, delivery, and storage - Gas and oil facilities - Hazardous material facilities, oil/gas pipelines and storage facilities - Banking and finance institutions - Financial institutions (banks, credit unions) and the business district: note schedule business/financial district may follow: armored car services - Transportation networks - Airports: carriers, flight paths, and airport

**Comments**

Site Name	Date	Assessment Type	Observation	Recommendation /Remediation	Vulnerability?
Test13	4/7/2006	Tier 2			
Hazardville Information Co	10/1/2005	Tier 1	Hazardville Information Co is located on the I95 corridor in an industrial suburban area. It is adjacent to the Ft	Develop procedures to support the shelter in place planning and protect the facility from a HAZMAT event in the	<input checked="" type="checkbox"/>

Record: 1 of 2

View Observations

Close

Record: 1 of 1 (Filtered)



FEMA

# Master Database

Main Menu



FEMA

FEMA 452: Risk Assessment Database v.2.0  
Master Database

Assessments

Vulnerability Assessment Checklist

Administrative Functions

Exit

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# Master Database: Erasing One or All Assessments

**Administrative Functions Menu**

**Administrative Functions Menu**

**Risk Assessment Database**

Empty the Database

Delete an Assessment

Import Assessor Database

Switch Operating Modes

Close

**List of Assessments**

Assessment ID	Assessment Location
1	Test13
2	Hazardville Informat

Delete this

Record: 1

Assessment Folder Name

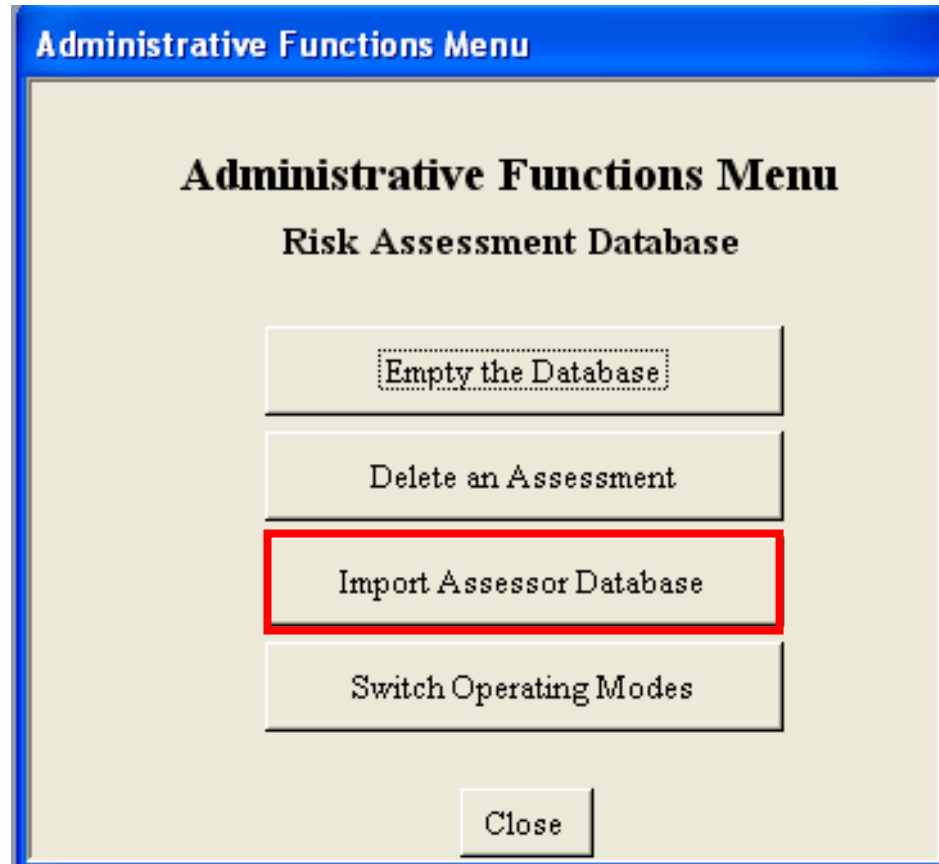
ent\_2006-04-07\

ent\_2005-10-01\



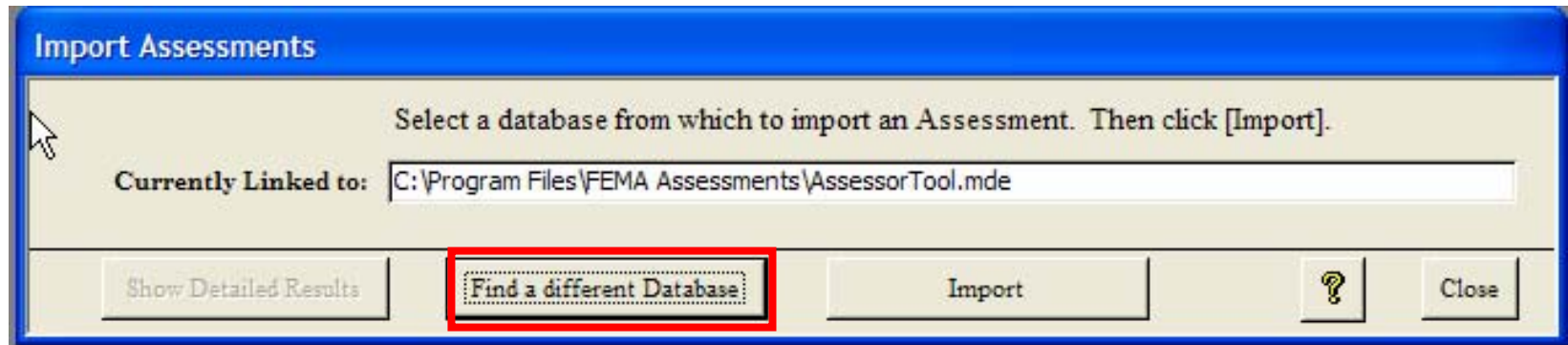
FEMA

# Master Database: Import Function



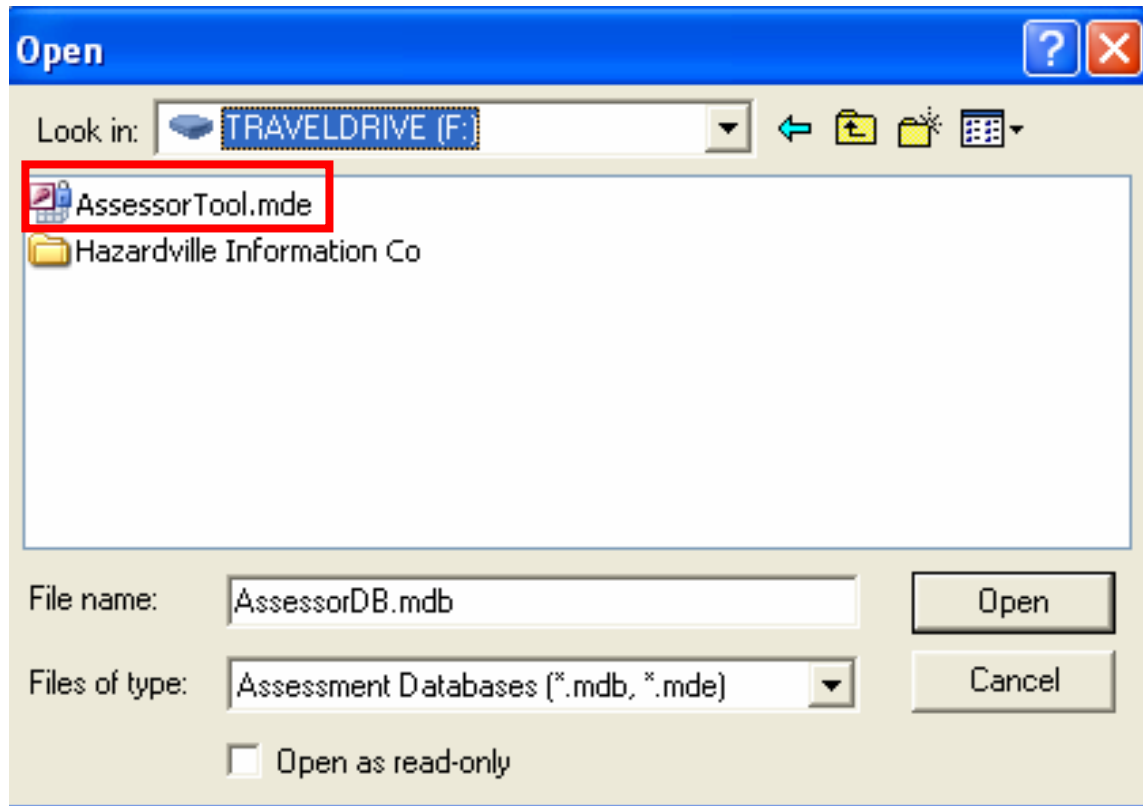
**FEMA**

# Master Database: Import Function



FEMA

# Master Database: Import Function



FEMA

# Master Database: Import Function

**Import Assessments**

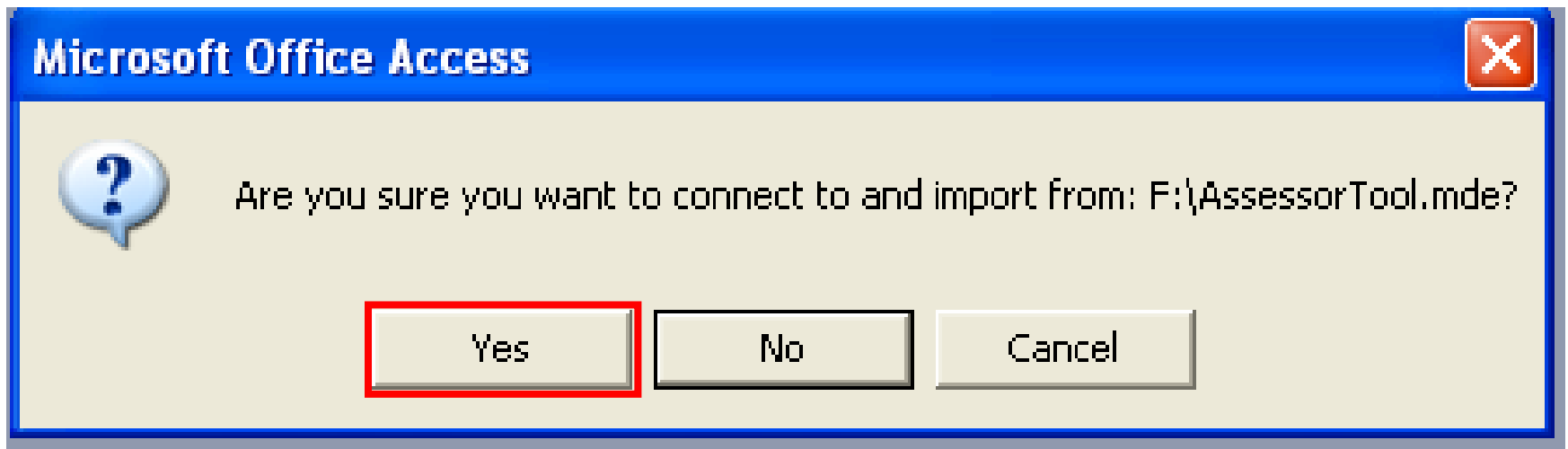
Select a database from which to import an Assessment. Then click [Import].

**Link and Import from:**



FEMA

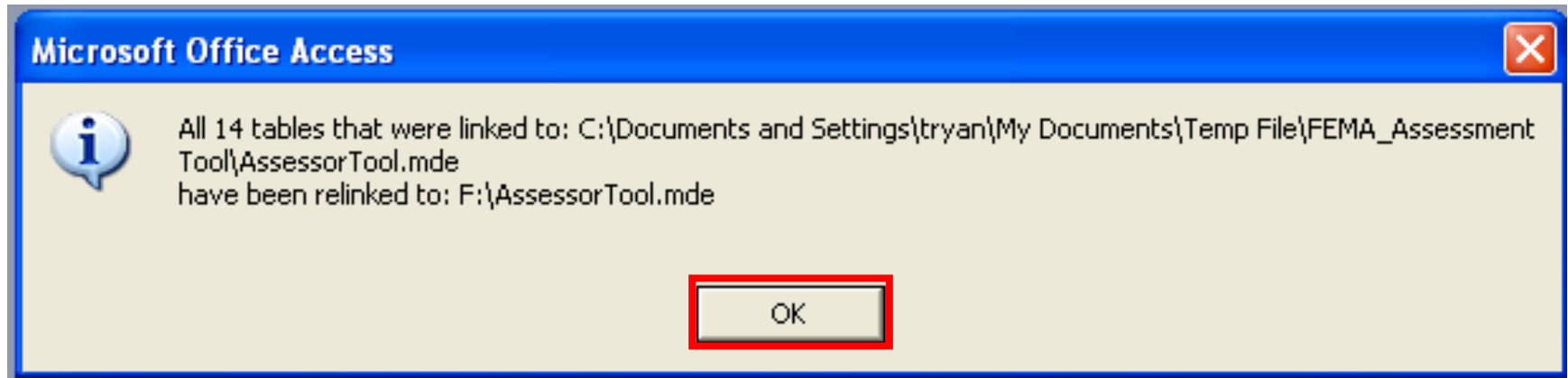
# Master Database: Import Function



**FEMA**

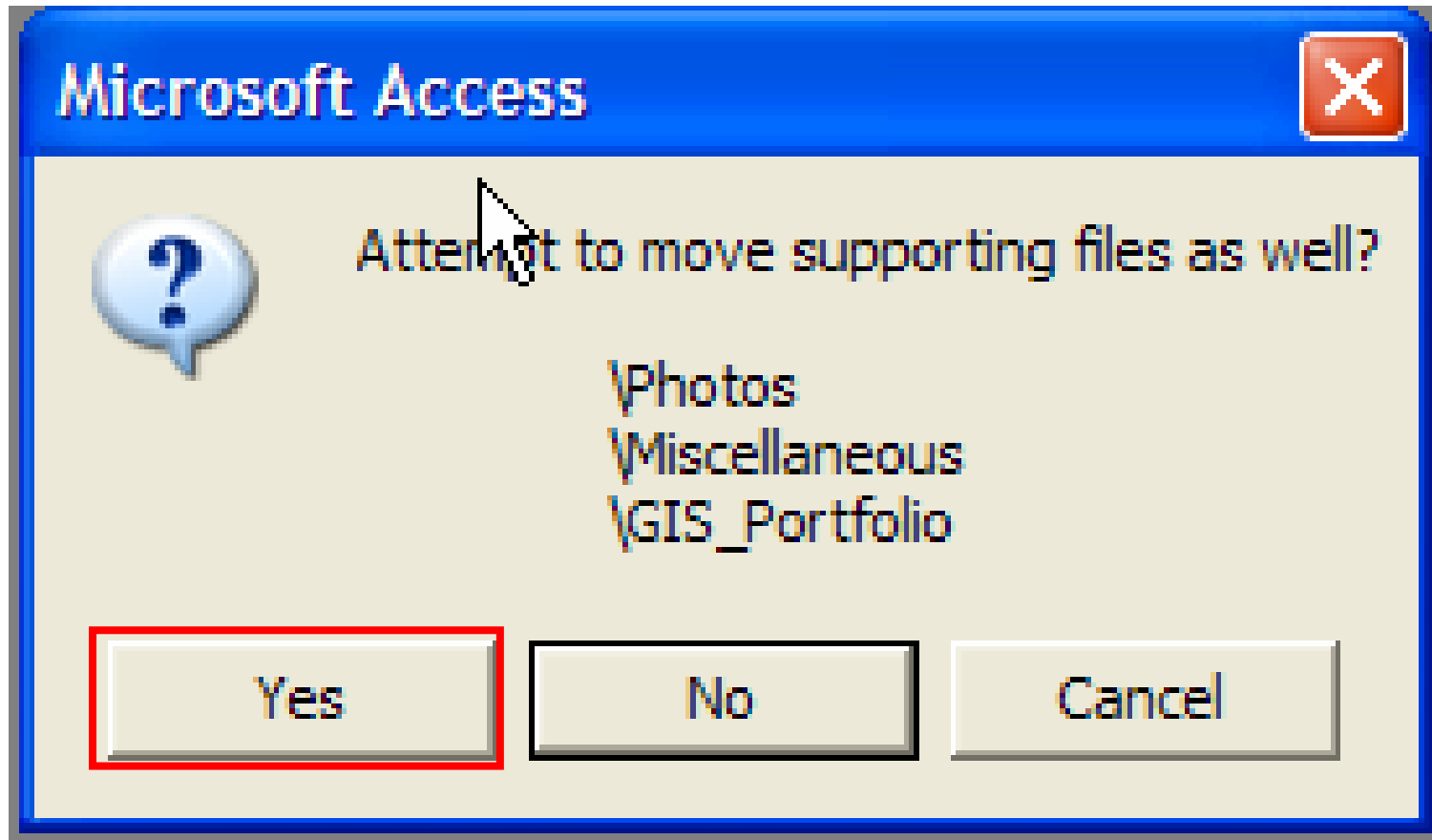


# Master Database: Import Function



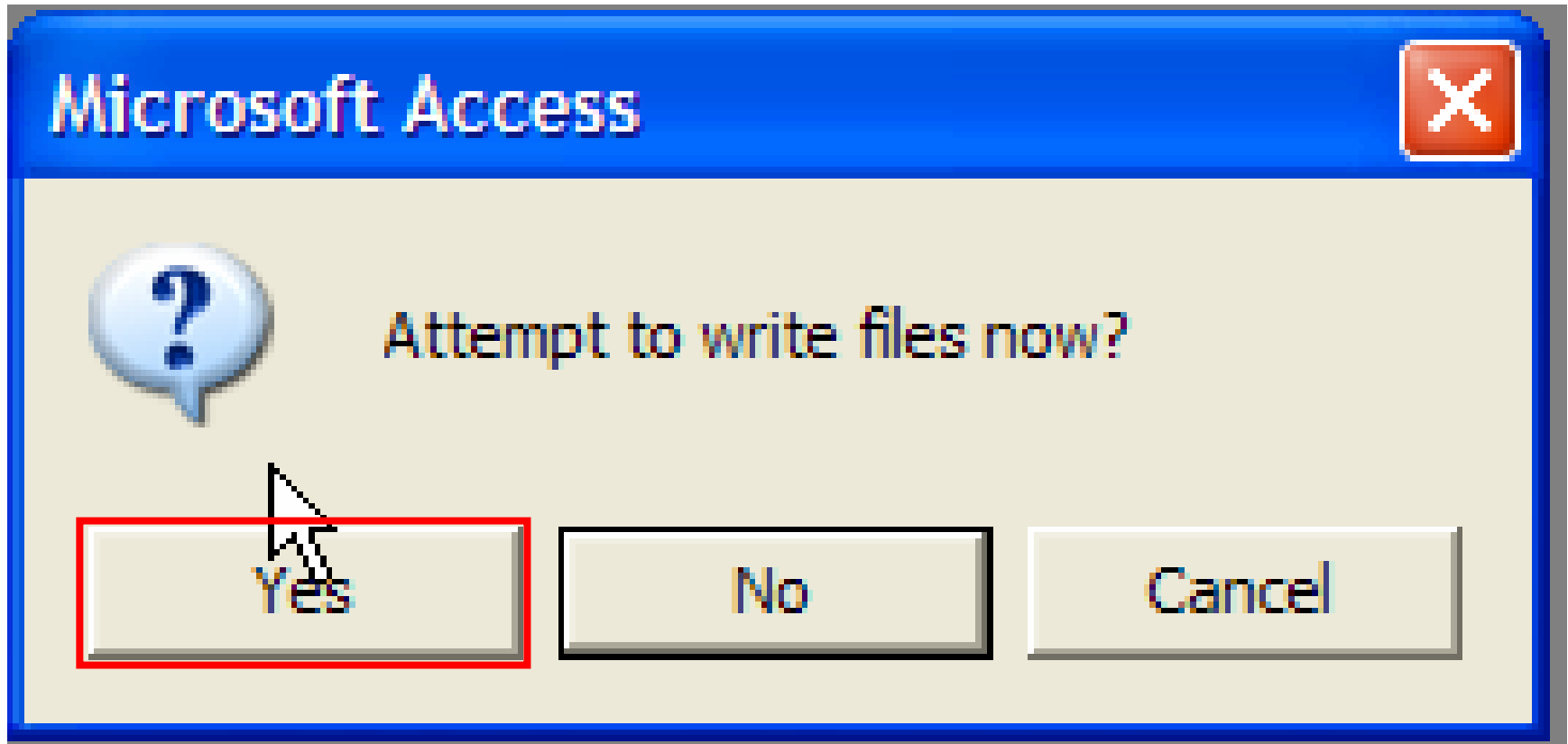
**FEMA**

# Master Database: Import Function



FEMA

# Master Database: Import Function



FEMA

# Master Database: Import Function

**Import Assessments**

Select a database from which to import an Assessment. Then click [Import].

**Currently Linked to:**



FEMA

# Master Database: Import Function

Import Detailed Diagnostics ✕

Import Order	Importing	NumberOf RecordsBefore	NumberOf RecordsAttempted	NumberOf RecordsAfter	Successful
1	Sites	4	1	5	<input checked="" type="checkbox"/>
2	Buildings (*handled differently)	0	19	19	<input checked="" type="checkbox"/>
3	People	0	2	2	<input checked="" type="checkbox"/>
4	Assessments	4	1	5	<input checked="" type="checkbox"/>
5	Observations	216	216	432	<input checked="" type="checkbox"/>
6	Vulnerabilities	0	1	1	<input checked="" type="checkbox"/>
7	Executive Summary	1	1	2	<input checked="" type="checkbox"/>
8	Critical Infrastructure	20	20	40	<input checked="" type="checkbox"/>
9	Critical Functions	18	18	36	<input checked="" type="checkbox"/>
10	Assessment Personnel	0	2	2	<input checked="" type="checkbox"/>
11	GIS images this assessment	0	1	1	<input checked="" type="checkbox"/>
12	Photos	0	1	1	<input checked="" type="checkbox"/>
13	Assessment Photos	0	1	1	<input checked="" type="checkbox"/>
14	Miscellaneous files	0	1	1	<input checked="" type="checkbox"/>

Record:       of 14



FEMA

# Master Database: Import Function

**Import Assessments**

Select a database from which to import an Assessment. Then click [Import].

**Link and Import from:**



FEMA

# Summary

Installation and opening of databases

Filing of GIS Portfolio, Miscellaneous, and Photos to link with the databases

Moving about the database software and between the Assessor Tool and the Master Database

Setting priorities on identified vulnerabilities and how the software handles it

Production of standard reports and searching the database for specific information



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*BUILDING DESIGN FOR HOMELAND SECURITY*

# Unit VII

# Explosive Blast



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# Unit Objectives

**Explain** the basic physics involved during an explosive blast event, whether by terrorism or technological accident.

**Explain** building damage and personnel injury resulting from the blast effects upon a building.

**Perform** an initial prediction of blast loading and effects based upon incident pressure.



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# Unit VII: Explosive Blast

Units I-VI covered the Risk Assessment Process

Units VII and VIII explain Explosive Blast, CBR Agents, and their effects

Units IX and X demonstrate techniques for site layout and building design to counter or mitigate manmade threats and similar technological hazards

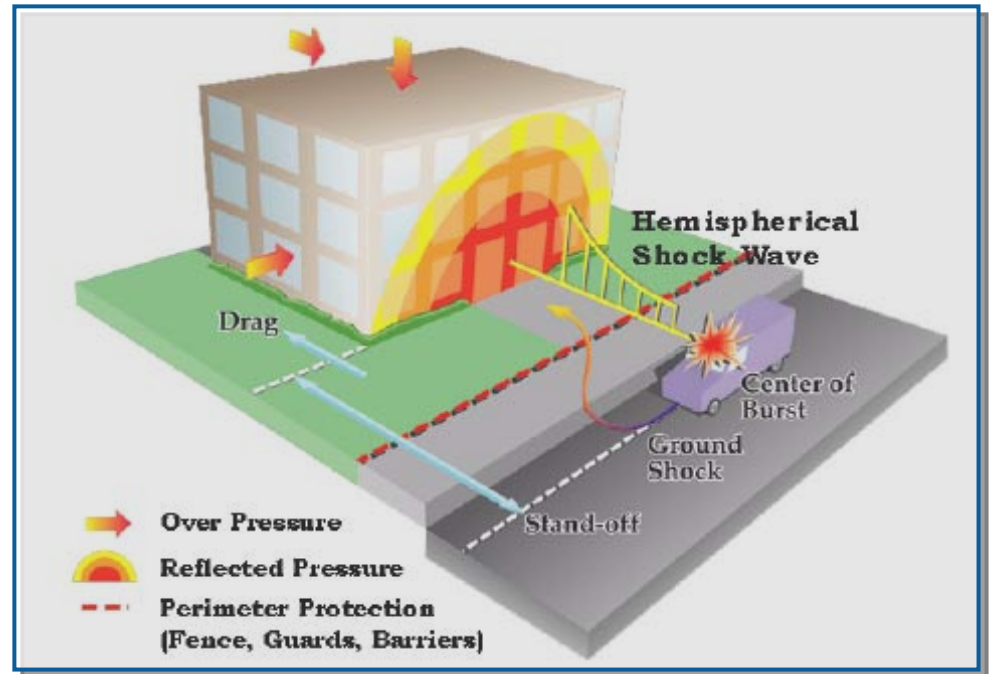


**FEMA**

# Blast Loading Factors

## Explosive properties

- Type
- Energy output (TNT equivalency)
- Quantity

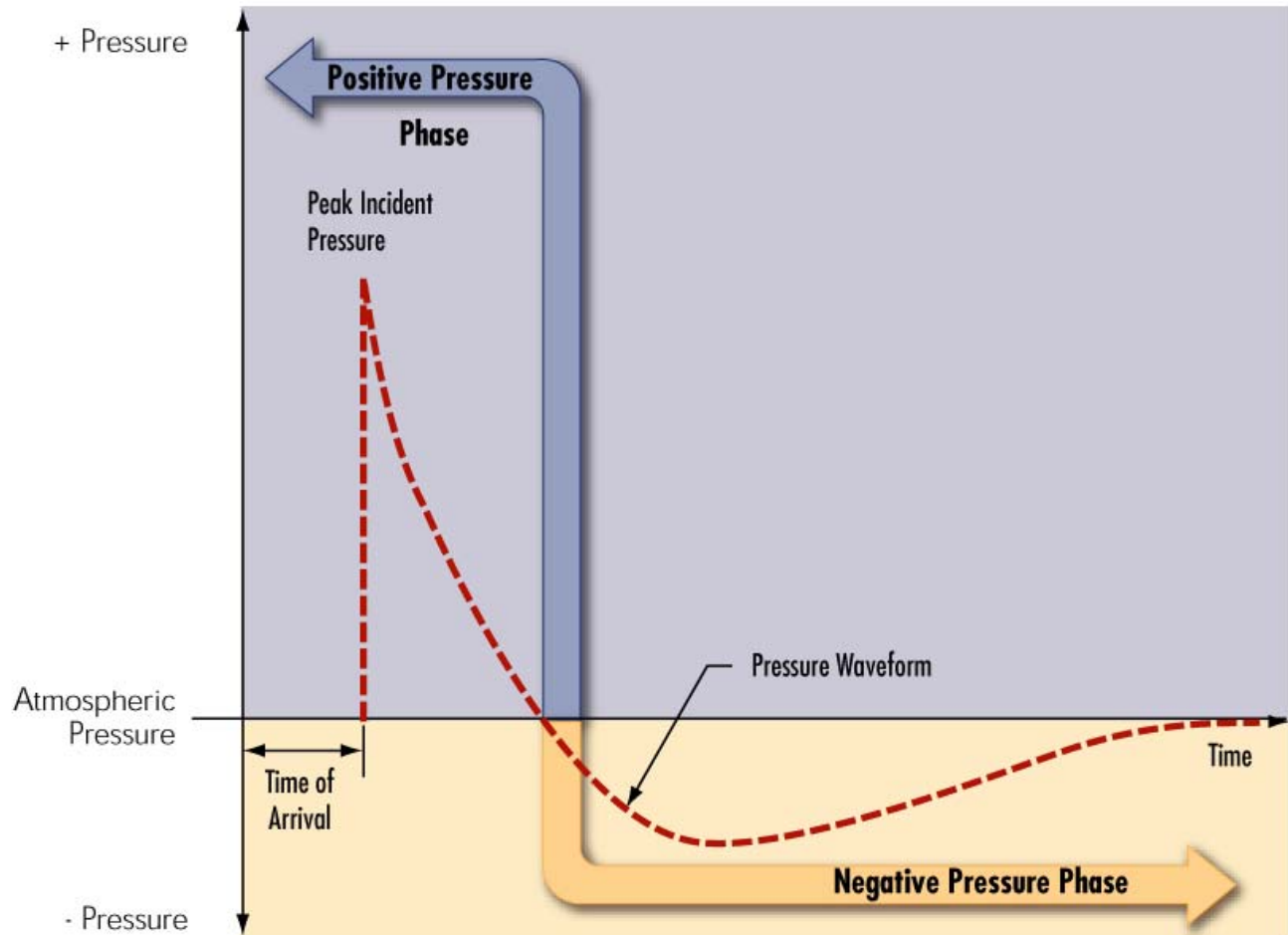


FEMA

FEMA 427, Figure 2-1: Schematic of Vehicle Weapon Threat Parameters and Definitions, p. 2-2

BUILDING DESIGN FOR HOMELAND SECURITY Unit VII-4

# Typical Incident Pressure Waveform



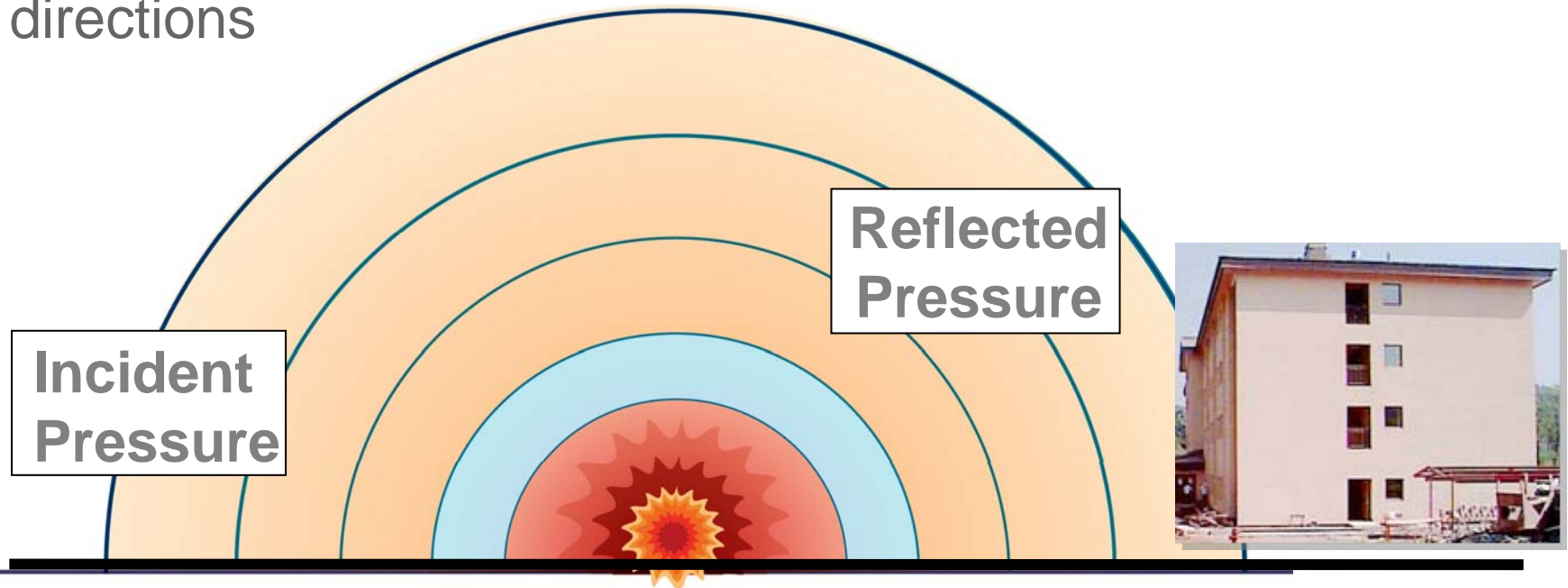
FEMA 426, Figure 4-1: Typical Pressure-Time History, p. 4-2



FEMA

# Incident and Reflected Pressure

Blast energy lost at rate of volume increase in X, Y, and Z directions

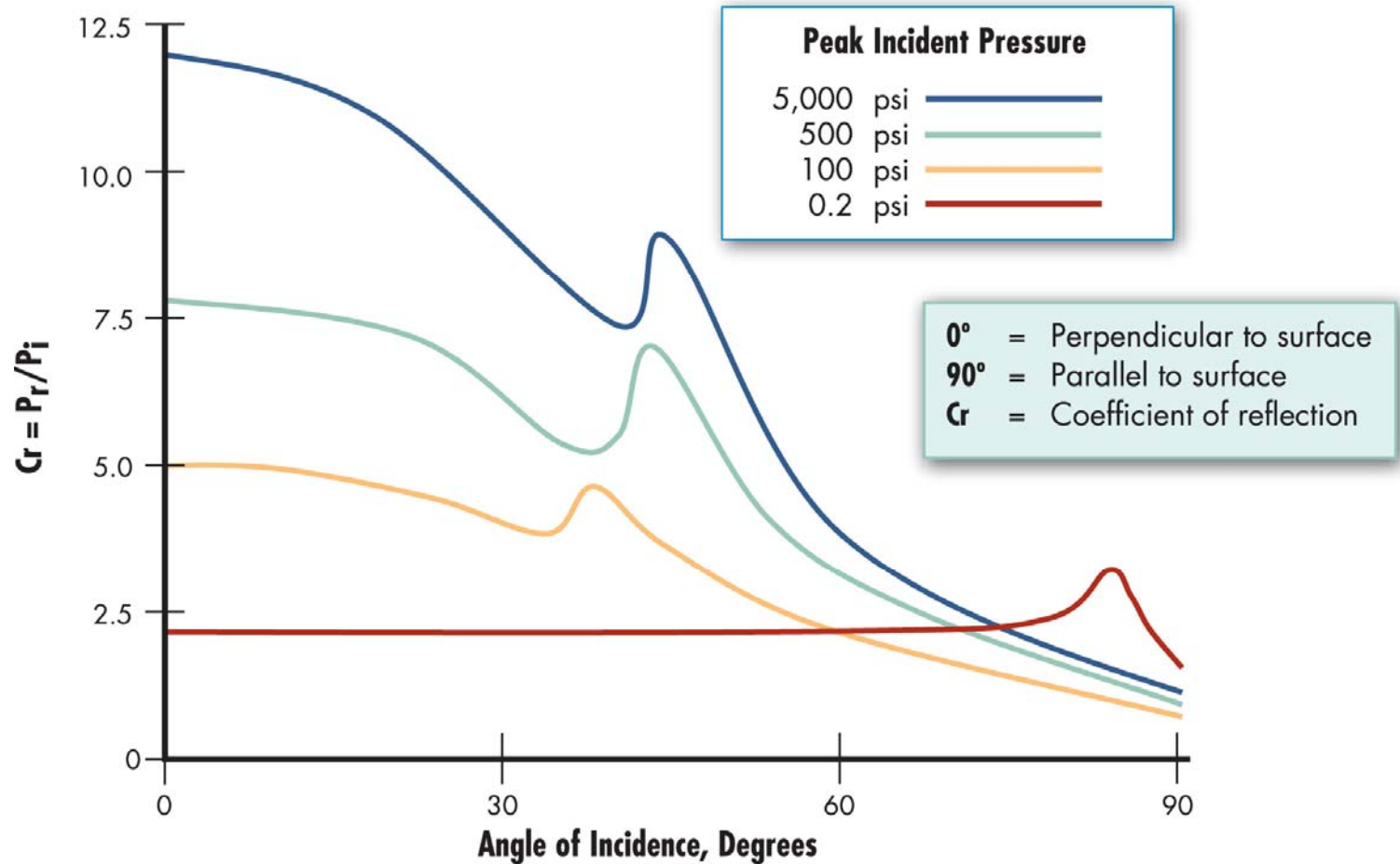


Equivalent pressure occurs at Scaled Distance =  
Distance / (Net Explosive Weight, TNT equivalent)<sup>1/3</sup>



**FEMA**

# Reflected Pressure/Angle of Incidence

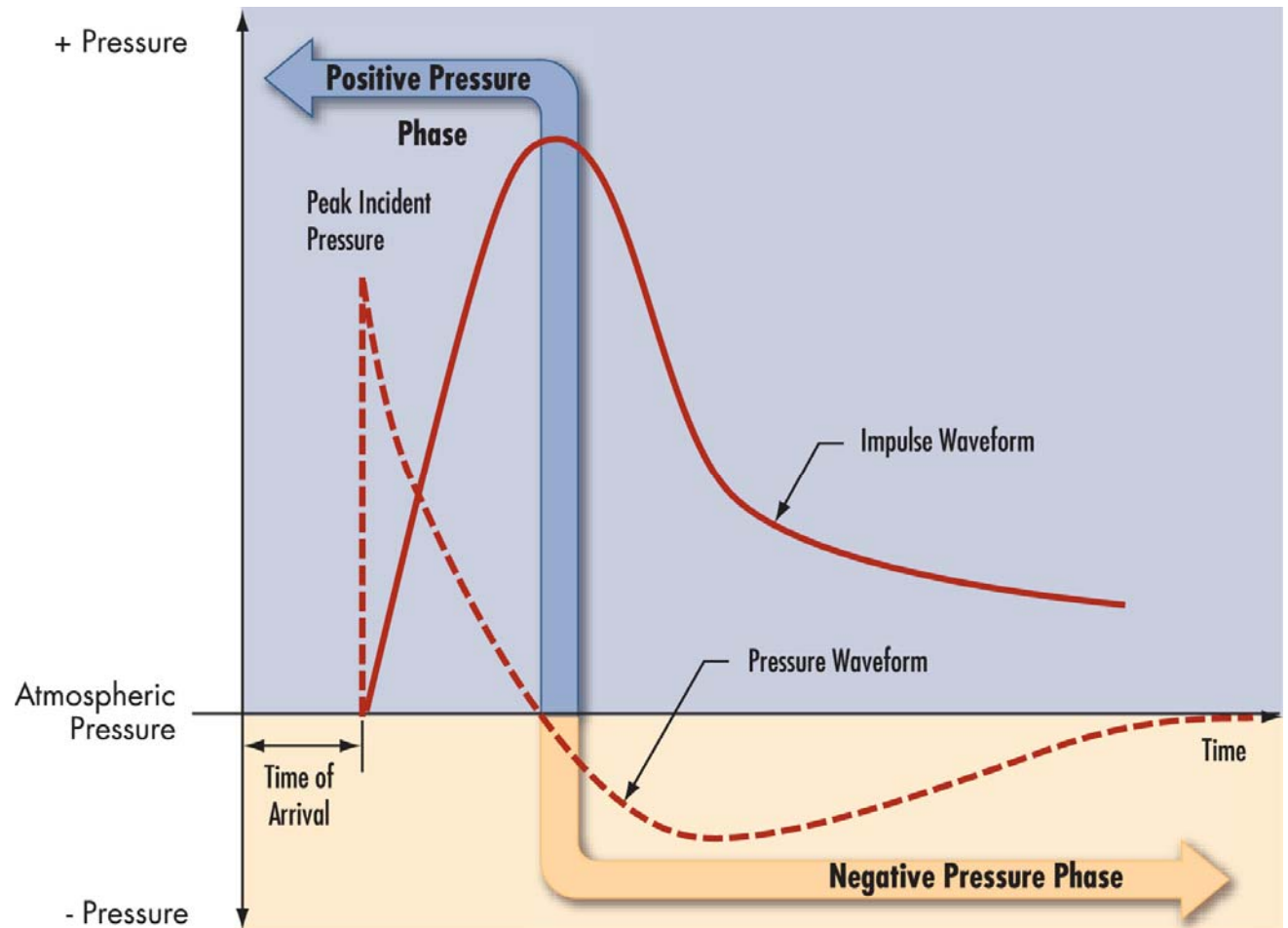


FEMA 426, Figure 4-2: Reflected Pressure Coefficient vs. Angle of Incidence, p. 4-3



FEMA

# Typical Blast Impulse Waveform



FEMA 426, Figure 4-3: Typical Impulse Waveform, p. 4-4

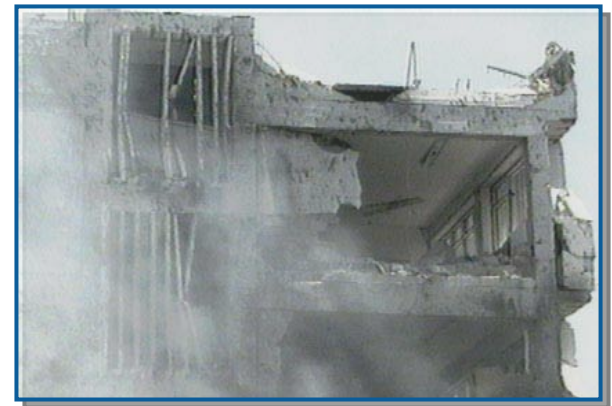


FEMA

# Blast Loading Factors

Location of explosive relative to structure

- Stand-off distance
- Reflections and reflection angle
  - Ground
  - Buildings
- Identify worst case



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# Blast Compared to Natural Hazards

## Higher incident pressures and relatively low impulse

- High explosive (C-4)
- Medium explosive (black powder)
- Low explosive (gasoline)
- Aircraft or vehicle crash combines kinetic energy (velocity, mass), explosive loads, and fuel/fire
- 200 mph hurricane generates only 0.8 psi, but with very large impulse



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# Blast Compared to Natural Hazards

## Direct airblast causes more localized damage

- Component breakage
- Penetration and shear
- Building's other side farther away
- Reflections can increase damage on any side

## Greater mass historically used for blast protection

- Greater mass usually detrimental during earthquake due to resonance



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# Factors Contributing to Building Damage

First approximations based upon:

- Quantity of explosive
- Stand-off distance between building and explosive
- Assumptions about building characteristics



**FEMA**

# Types of Building Damage

## Direct Air Blast

- Component failure
- Additional damage after breaching

## Collapse

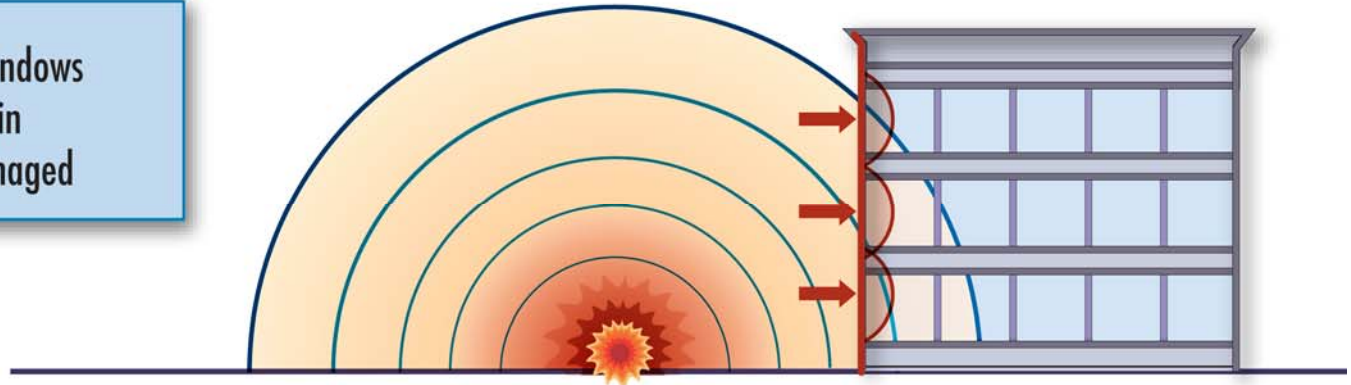
- Localized
- Progressive



**FEMA**

# Blast Pressure Effects

1. Blast wave breaks windows  
Exterior walls blown in  
Columns may be damaged

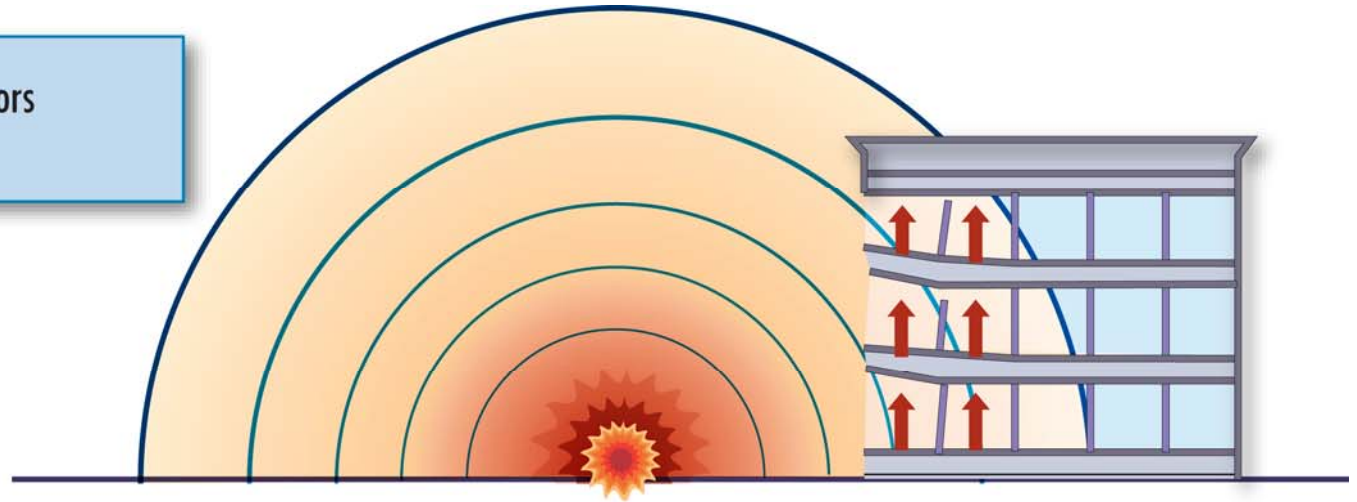


**FEMA**

FEMA 426, Figure 4-4: Blast Pressure Effects on a Structure, p. 4-7

# Blast Pressure Effects

2. Blast wave forces floors upward

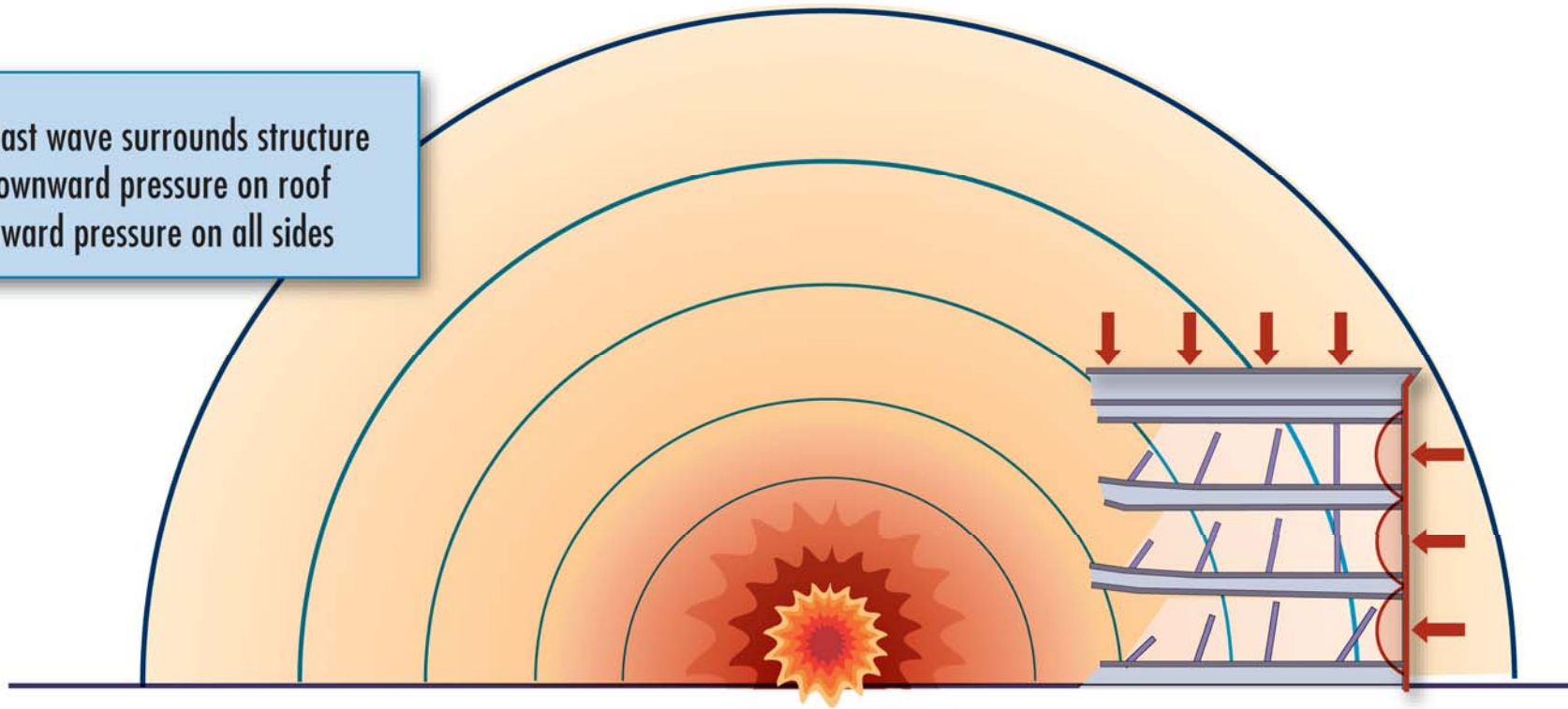


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FEMA 426, Figure 4-4: Blast Pressure Effects on a Structure, p. 4-7

# Blast Pressure Effects

3. Blast wave surrounds structure  
Downward pressure on roof  
Inward pressure on all sides



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FEMA 426, Figure 4-4: Blast Pressure Effects on a Structure, p. 4-7

# Causes of Blast Injuries

## Overpressure

- Eardrum rupture
- Lung collapse/failure

## Blast Wave

- Blunt trauma, lacerations, and impalement



**FEMA**



# Causes of Blast Injuries

## Fragmentation

Bomb or vehicle

Street furniture or jersey barriers

Building component failure

- Glass – predominant
- Walls
- Floors



**FEMA**

# Murrah Federal Building, Oklahoma City



FEMA

# Murrah Federal Building, Oklahoma City

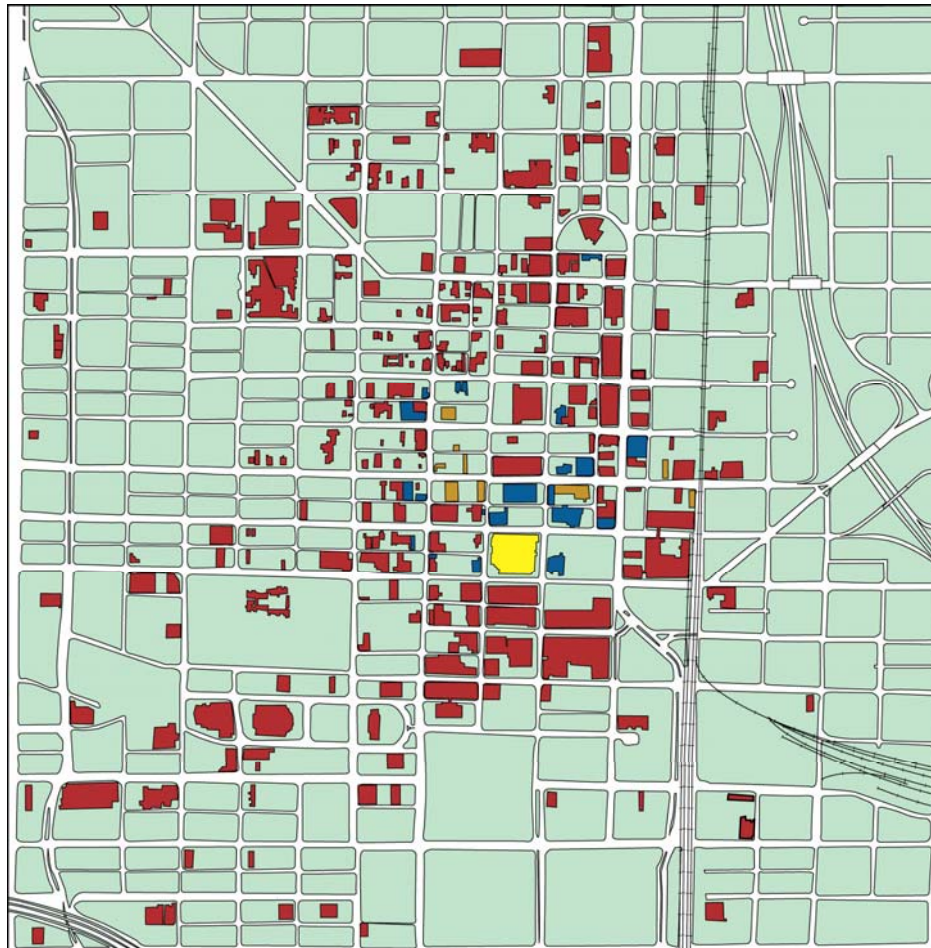
*The majority of deaths were due to the collapsing structure*



FEMA





From *Journal of American Medical Association*, August 7, 1996

# Murrah Federal Building, Oklahoma City



## Building Inspection Area

### Legend

-  A. P. Murrah Federal Building
-  Collapsed Structure
-  Structural Damage
-  Broken Glass/Doors



**1.8 square miles**

Approximate Scale: 1" = 1,300'

Note: Undamaged structures are not shown on this map.



**FEMA**

From *FEMA Oklahoma City Bombing Report 9-0300 / FEMA 277*,  
August 1996

# Levels of Protection

CONVENTIONAL CONSTRUCTION

INCIDENT OVERPRESSURE

Level of Protection	Potential Structural Damage	Potential Door and Glazing Hazards	Potential Injury
<b>Below AT standards</b>	Severely damaged. Frame collapse/massive destruction. Little left standing.	Doors and windows fail and result in lethal hazards. GSA 5	Majority of personnel suffer fatalities.
<b>Very Low</b> psi = 3.5	Heavily damaged - onset of structural collapse. Major deformation of primary and secondary structural members, but progressive collapse is unlikely. Collapse of non-structural elements.	Glazing will break and is likely to be propelled into the building, resulting in serious glazing fragment injuries, but fragments will be reduced. Doors may be propelled into rooms, presenting serious hazards. GSA 4	Majority of personnel suffer serious injuries. There are likely to be a limited number (10 percent to 25 percent) of fatalities.
<b>Low</b> psi = 2.3	Damage – unrepairable. Major deformation of non-structural elements and secondary structural members and minor deformation of primary structural members, but progressive collapse is unlikely.	Glazing will break, but fall within 1 meter of the wall or otherwise not present a significant fragment hazard. Doors may fail, but they will rebound out of their frames, presenting minimal hazards. GSA 3a	Majority of personnel suffer significant injuries. There may be a few (<10 percent) fatalities.



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FEMA 426, Adapted from Table 4-1: DoD Minimum Antiterrorism Standards for New Buildings, p. 4-9

# Levels of Protection

CONVENTIONAL CONSTRUCTION

INCIDENT OVERPRESSURE

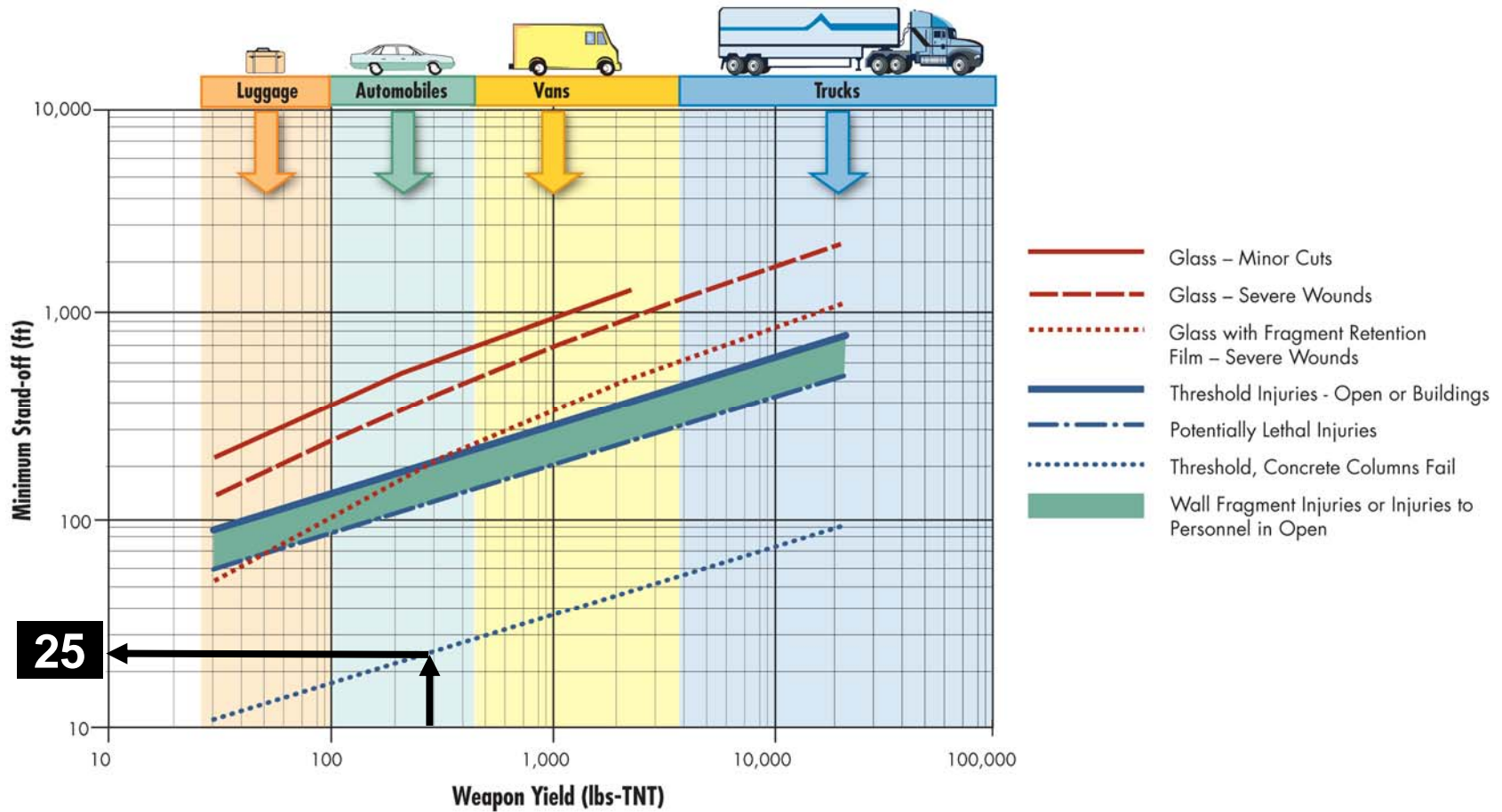
Level of Protection	Potential Structural Damage	Potential Door and Glazing Hazards	Potential Injury
<b>Medium</b> psi = 1.8	Damaged – repairable. Minor deformations of non-structural elements and secondary structural members and no permanent deformation in primary structural members.	Glazing will break, but will remain in the window frame. Doors will stay in frames, but will not be reusable. GSA 2	Some minor injuries, but fatalities are unlikely.
<b>High</b> psi = 1.1	Superficially damaged. No permanent deformation of primary and secondary structural members or non-structural elements.	Glazing will not break. Doors will be reusable. GSA 1	Only superficial injuries are likely.



**FEMA**

FEMA 426, Adapted from Table 4-1: DoD Minimum Antiterrorism Standards for New Buildings, p. 4-9

# Nominal Range-to-Effect Chart



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FEMA 426, Figure 4-5: Explosive Environments – Blast Range to Effects, p. 4-11

# Comparison of Stand-off



## Murrah Federal Building

**YIELD (≈TNT Equiv.)** 4,000 lb.  
**Reflected PRESSURE** 9,600 psi.  
**Stand-off** 15 feet  
**166 killed**



## Khobar Towers

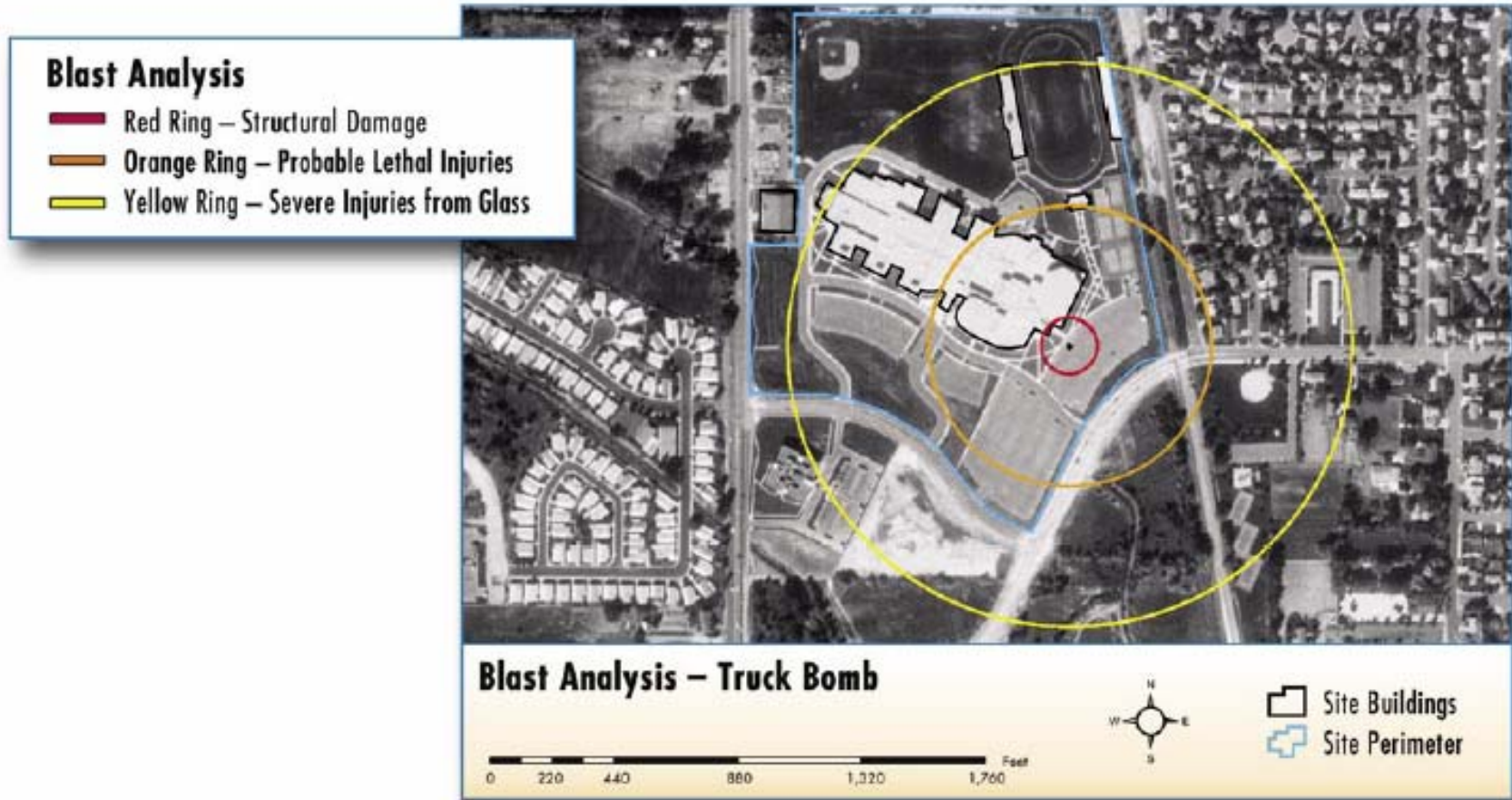
**YIELD (≈TNT Equiv.)** 20,000 lb.  
**Reflected PRESSURE** 800 psi.  
**Stand-off** 80 feet  
**19 killed**



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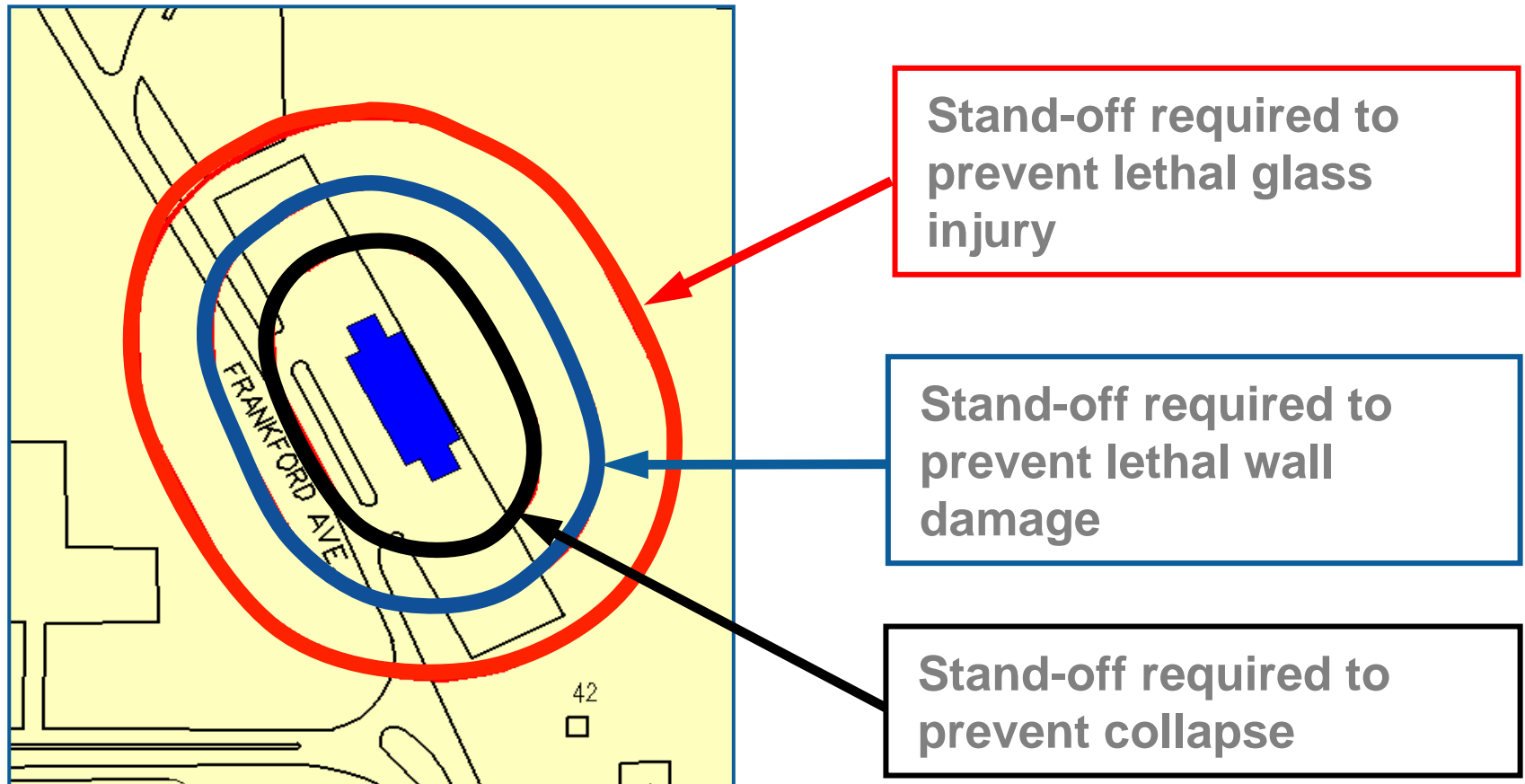
# Vulnerability Radii



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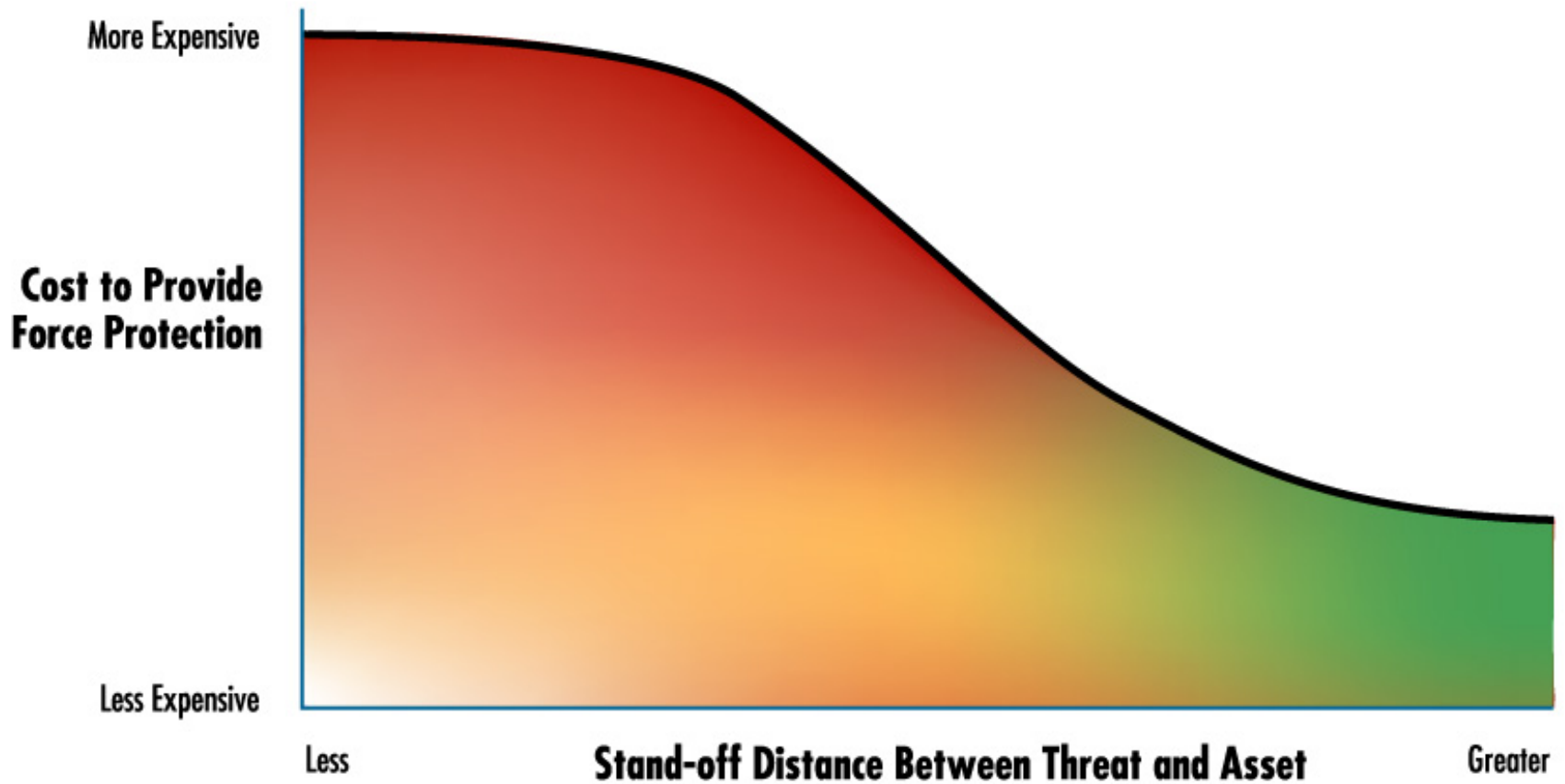
FEMA 426, Figure 4-7: Blast Analysis of Building for Typical Large Truck Bomb Detonated in Building's Parking Lot, p. 4-12

# Iso-Damage Contours



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# Cost Versus Stand-off



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FEMA 426, Figure 4-8: Relationship of Cost to Stand-off Distance, p. 4-13

# Blast Load Predictions

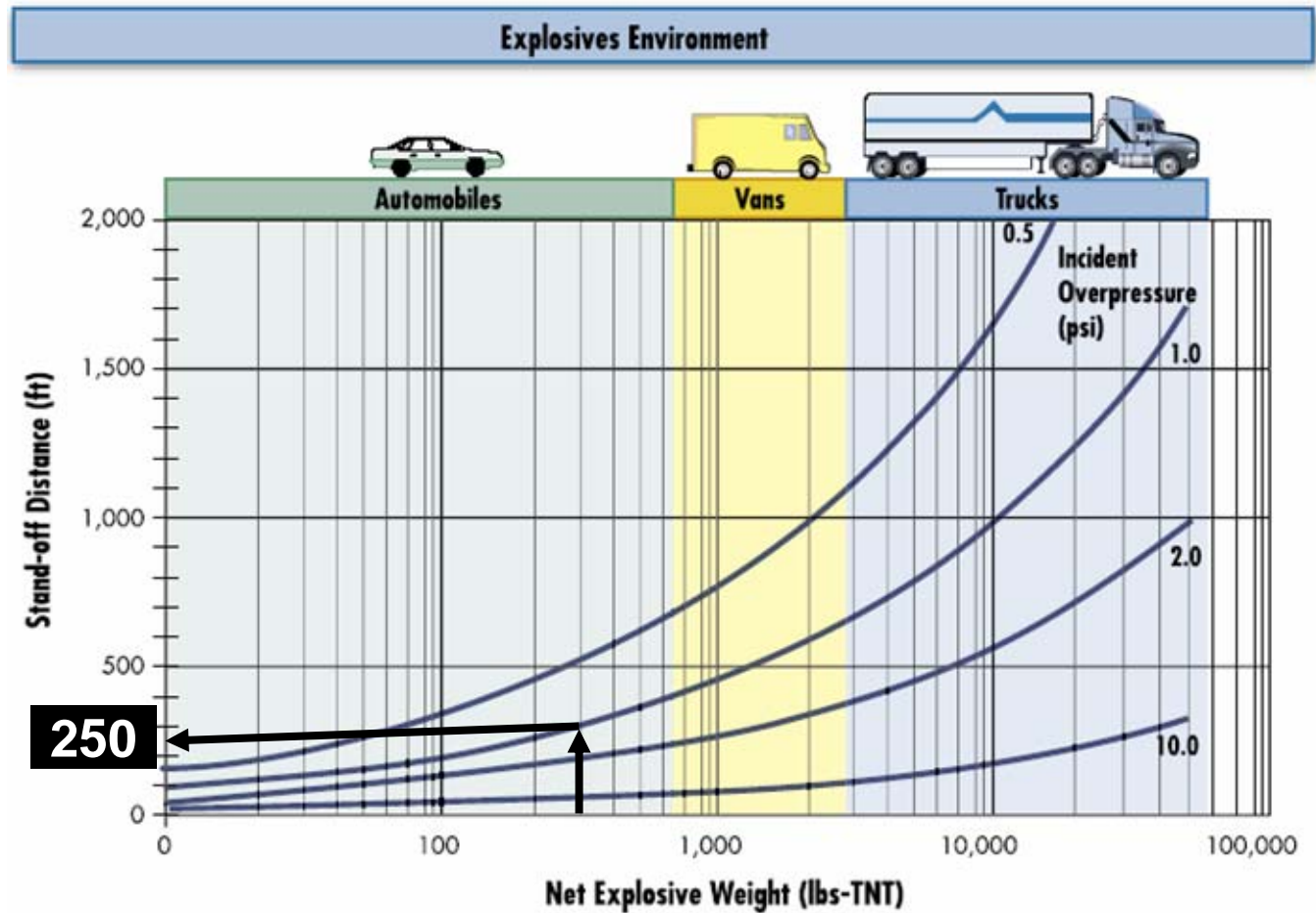
## Incident and reflected pressure and impulse

- Software
  - Computational Fluid Dynamics
  - ATBLAST (GSA)
  - CONWEP (US Army)
- Tables and charts of predetermined values



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# Pressure versus Distance



FEMA 426, Figure 4-10: Incident Overpressure Measured in Pounds Per Sq. Inch, as a Function of Stand-Off Distance and Net Explosive Weight, p. 4-17



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# Blast Damage Estimates

## Assumptions - pressure and material

- Software - SDOF
  - AT Planner (U.S. Army)
  - BEEM (TSWG)
  - BlastFX (FAA)
- Software - FEM
- Tables and charts of predetermined values



**FEMA**

# Blast Damage Estimates

Damage	Incident Pressure (psi)
Typical window glass breakage (1)	0.15 – 0.22
Minor damage to some buildings (1)	0.5 – 1.1
Panels of sheet metal buckled (1)	1.1 – 1.8
Failure of unreinforced concrete blocks walls (1)	1.8 – 2.9
Collapse of wood frame buildings (2)	Over 5.0
Serious damage to steel framed buildings (1)	4 – 7
Severe damage to reinforced concrete structures (1)	6 – 9
Probable total destruction of most buildings (1)	10 – 12

FEMA 426, Table 4-3: Damage Approximations,  
p. 4-19

Level of Protection	Incident Pressure (psi)
High	1.2
Medium	1.9
Low	2.3
Very Low	3.5
Below AT Standards	> 3.5



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# Manchester Bombing



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# Summary

Explosive blast physics

Blast damage to buildings

Injury to personnel

Prediction of loading, damage, and injury

- Range-to-effect chart
- Incident pressure chart



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# Unit VII Case Study Activity

## Explosives Environment, Stand-off Distance, and the Effects of Blast

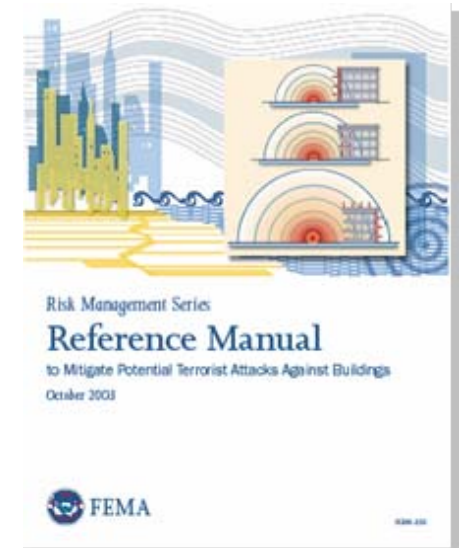
### Background

Purpose of activity: check on learning about explosive blast

### Requirements

Refer to Case Study and FEMA 426

Answer worksheet questions



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*BUILDING DESIGN FOR HOMELAND SECURITY*

# Unit VIII

# Chemical, Biological, and Radiological (CBR) Measures



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# Unit Objectives

**Explain** the five possible protective actions for a building and its occupants.

**Compare** filtration system efficacy relative to the particles present in CBR agents.

**Explain** the key issues with CBR detection.

**Identify** the indications of CBR contamination.



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# Unit VIII: CBR Measures

Units I-VI covered the Risk Assessment Process

Units VII and VIII explain Explosive Blast, CBR Agents, and their effects

Units IX and X demonstrate techniques for site layout and building design to counter or mitigate manmade threats and similar technological hazards



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# CBR Measures: An Overview

FEMA 426, Chapter 5 is based on best practices for safeguarding building occupants from CBR threats. This module is organized into four sections :

- Protective Actions for Buildings and Occupants
- Air Filtration and Cleaning Principles and Technology
- CBR Detection and Current Technology
- Non-Technology CBR Contamination Indications

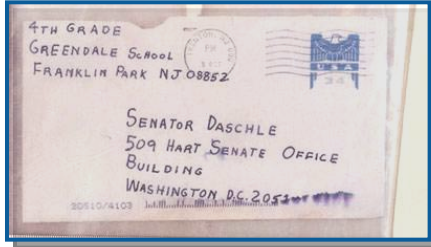


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SOURCE: SENSIR TECHNOLOGIES

# CBR Terrorist Incidents Since 1970



**1972 Typhoid**

70 75 80



**1984 Salmonella**  
200 Injured

**1984 Botulinum**

**1985 Cyanide**

**June 1994 Sarin**  
7 Dead, 200 Injured

**1992 Cyanide**  
**March 1995 Ricin**

**April 1995 Sarin**

**April-June 1995 Cyanide, Phosgene, Pepper Spray**

**March 1995 Sarin**

**May 1995 Plague**

**February 1997 Chlorine**  
14 Injured, 500 Evacuated

**April 1997 U235**

**June 1996 Uranium**

**December 1995 Ricin**

**November 1995 Radioactive Cesium**

**March 1998 Cesium-137**

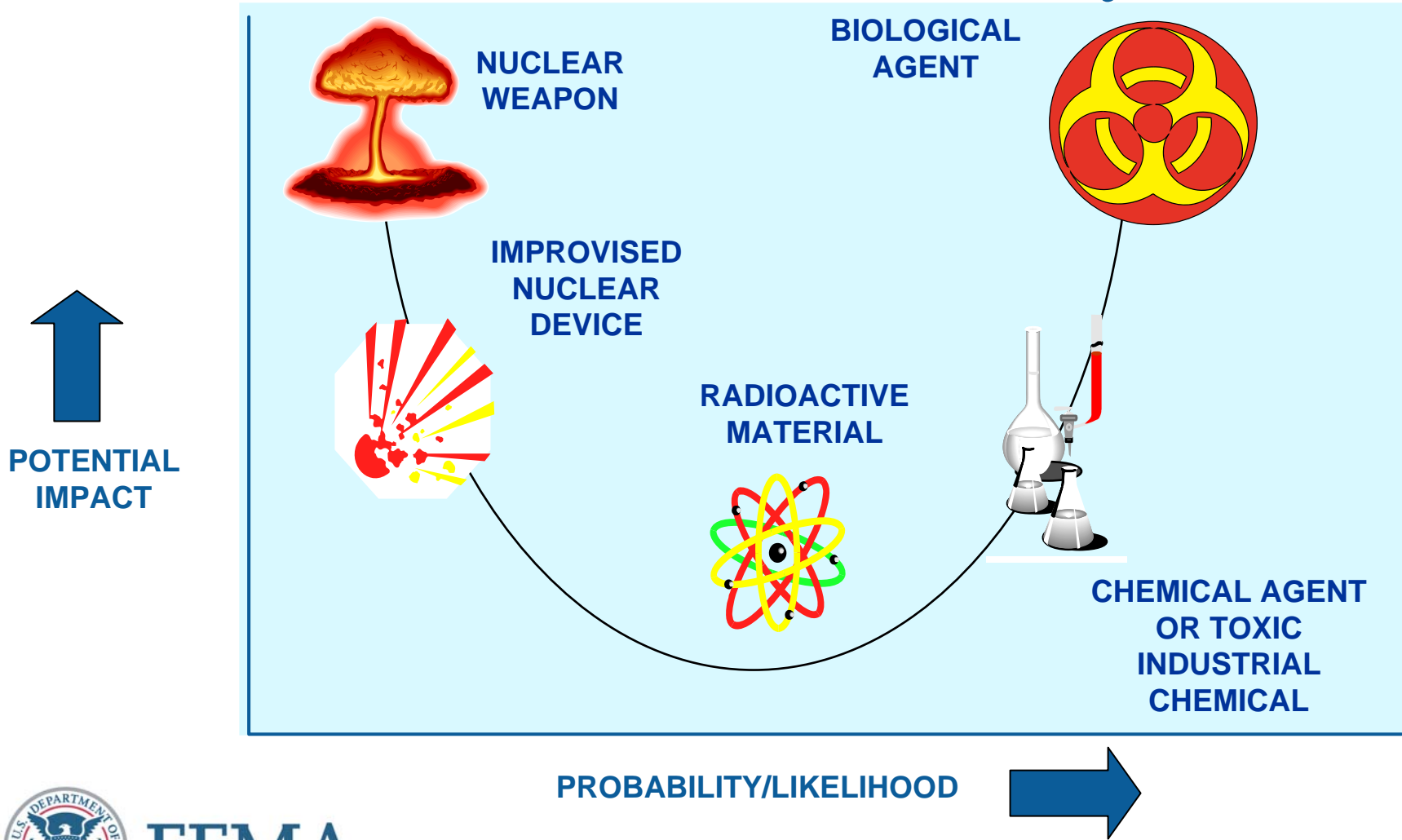
**2001 Anthrax**

00



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# What is the CBR Threat Today?



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# Why Would Terrorists Use CBR?

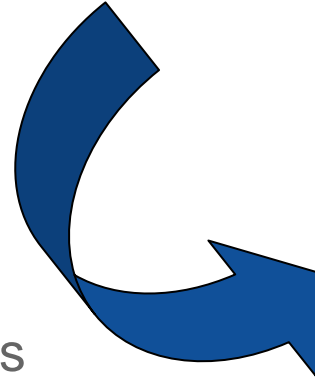
- Available and relatively easy to manufacture
- Large amounts not needed in an enclosed space
- Easily spread over large areas
- Potential for mass casualties
  - Strong psychological impact
  - Overwhelms resources
  - Difficult to recognize (contagious or spread by victims)



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# CBR Sources

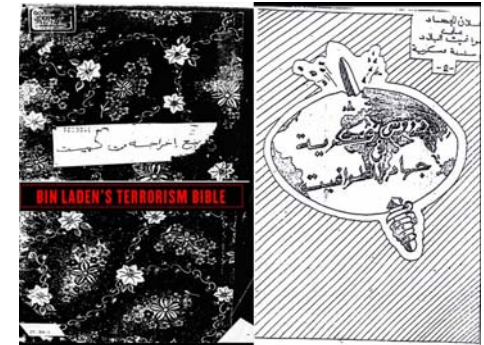
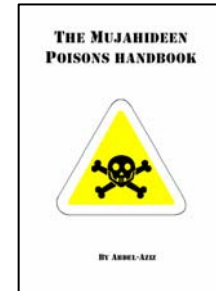
- Laboratory/commercial
- Industrial facilities
- Foreign military sources
  - At least 26 countries possess chemical agents or weapons
  - 10 countries are suspected to possess biological agents or weapons
- Medical/university research facilities
- Nuclear facilities
- Home production



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# Limitations of CBR Materials

- Targeted dissemination is difficult
- Delayed effects can detract from impact
- Counterproductive to terrorists' support
- Potentially hazardous to the terrorist
- Development and use require time and expertise



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# Chemical Agents: Characteristics and Behavior

- Generally liquid (when containerized)
- Normally disseminated as aerosol or gas
- Present both a respiratory and skin contact hazard
- May be detectable by the senses (especially smell)
- Influenced by weather conditions

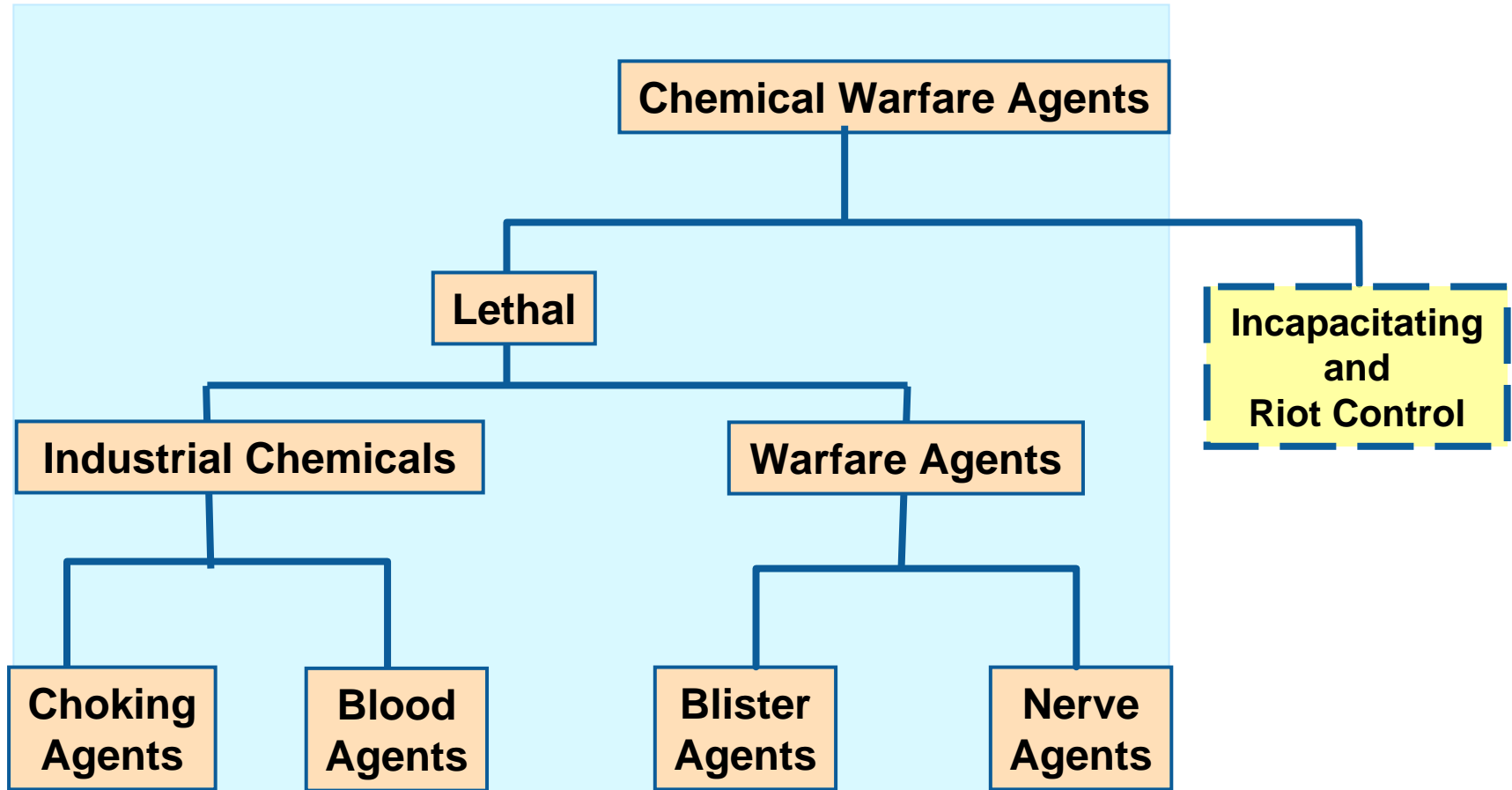


Subway riders injured in Aum Shinrikyo sarin gas attack, Tokyo, March 20, 1995.  
(AP Photo/Chikumo Chiaki)



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# Classes of Chemical Agents



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# Industrial Chemicals

*Industrial chemicals previously used as chemical warfare agents*

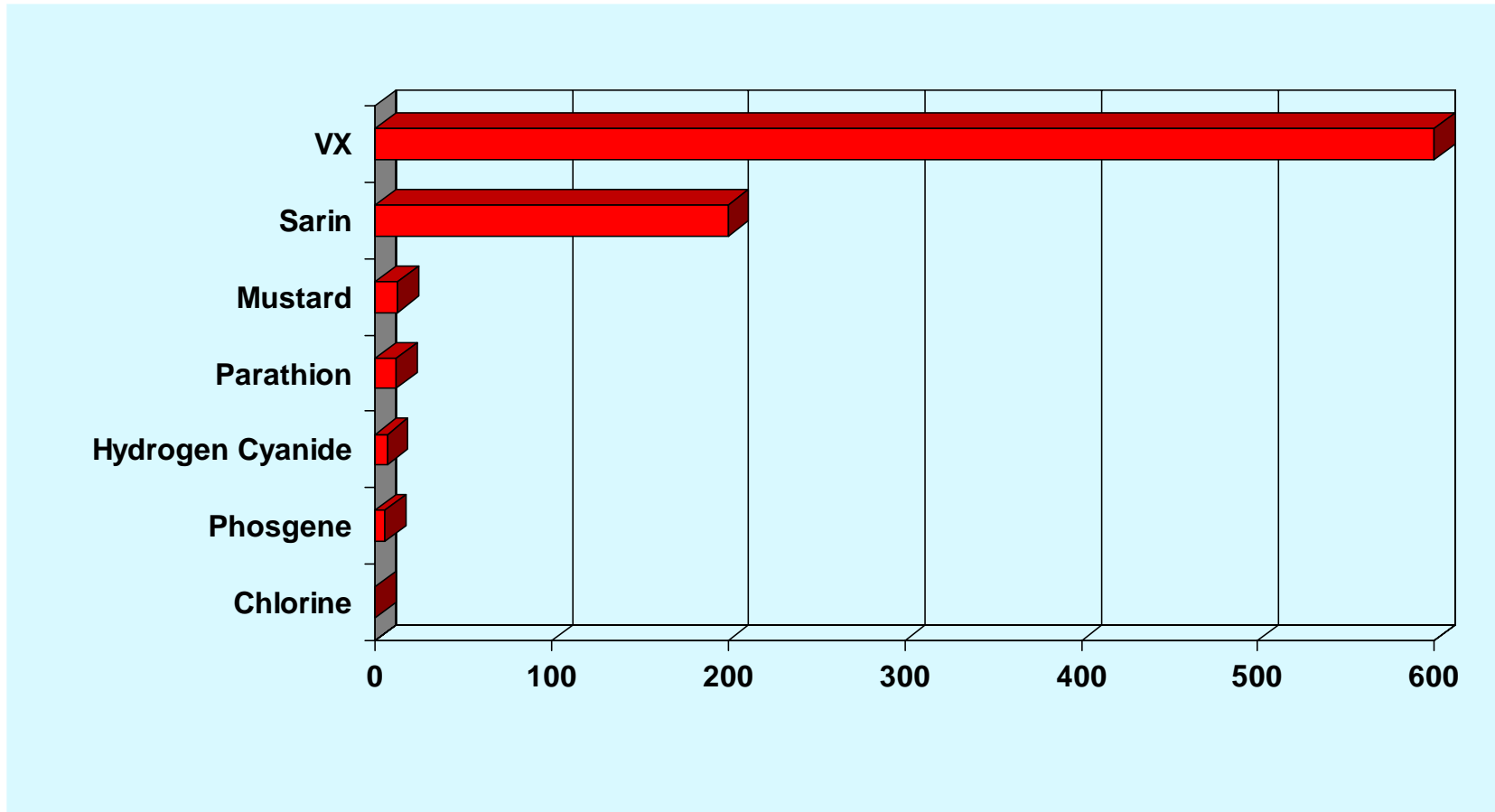
	<b>Choking Agents Chlorine/Phosgene</b>	<b>Blood Agents Hydrogen Cyanide/ Cyanogen Chloride</b>
<b>Physical Appearance</b>	Greenish-yellow vapor/ colorless vapor	Colorless vapor
<b>Odor</b>	Bleach/mown hay	Bitter almonds
<b>Signs and Symptoms</b>	Coughing, choking, tightness in chest	Gasping for air Red eyes, lips, skin
<b>Protection</b>	Respiratory	Respiratory
<b>Treatment</b>	Aeration	Aeration, cyanide kit

***Four industrial chemicals previously used as chemical warfare agents***



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# Comparative Toxicity



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# How Much Sarin Does it Take?

Structure	Lethal Amount
Domed Stadium	107 kg (26 gals)
Movie Theater	1.2 kg (5 cups)
Auditorium	52 g (1/4 cup)
Conference Room (50-100 seating)	33 g (1 shot glass)

***LD<sub>50</sub> amounts for 1 minute exposure to Sarin aerosol***



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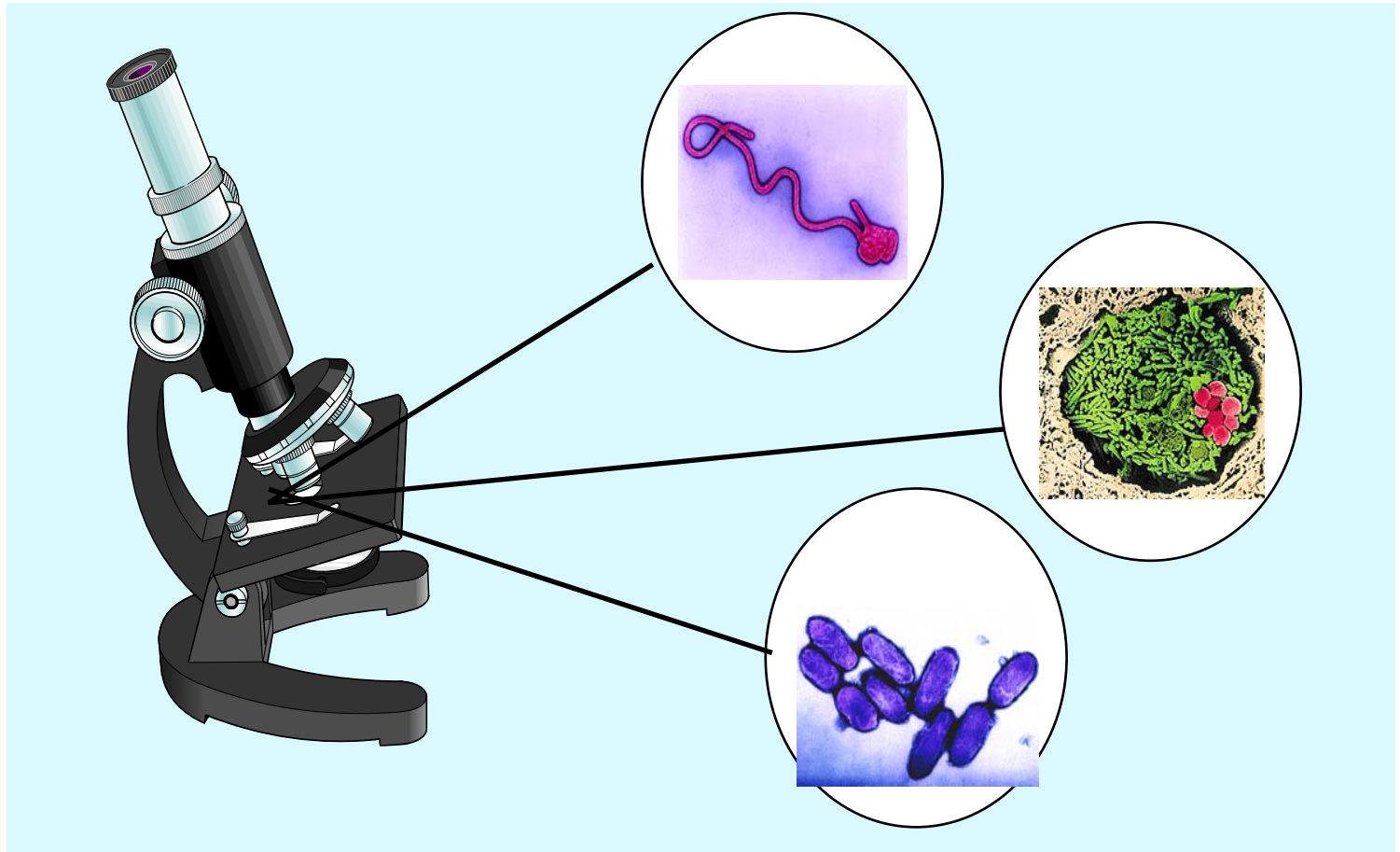
# Chemical Agents Key Points

- Chemical agents are super toxic
- Relative toxicity: industrial chemicals < mustard < nerve
- Normal states are as a liquid or a vapor
- Inhalation hazard is of greatest concern



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# Biological Warfare Agents



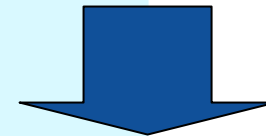
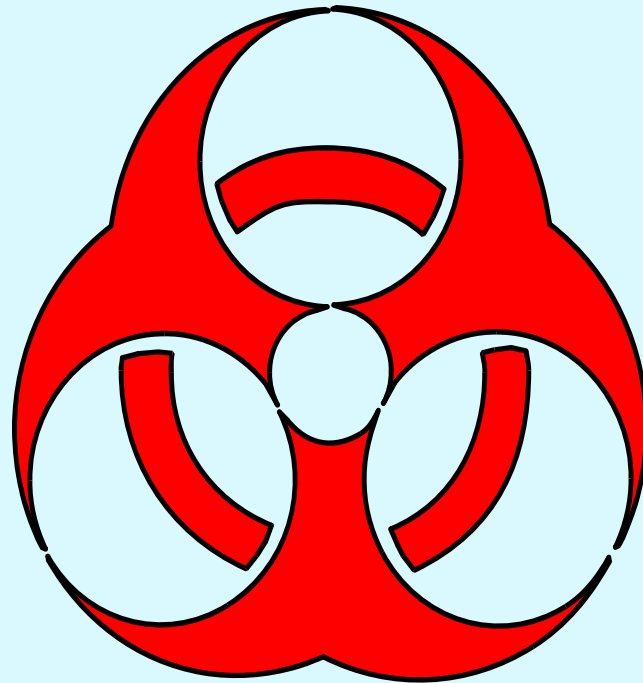
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# Classes of Biological Agents

Bacteria

Viruses

Toxins



**FEMA 426 - Appendix C contains a CBR glossary and characteristics of biological agents**



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# Bacteria

	<b>Anthrax</b>	<b>Plague</b>
<b>Incubation Period</b>	1 to 6 days	2 to 3 days for pneumonic 2 to 10 days for bubonic
<b>Contagious</b>	NO	YES (pneumonic) NO (bubonic)
<b>Signs and Symptoms</b>	Chills, fever, nausea, swollen lymph nodes	Chills, high fever, headache, spitting up blood, shortness of breath
<b>Protection</b>	Standard Precautions	Standard Precautions and Droplet Precautions
<b>Treatment</b>	Antibiotics and vaccines	Antibiotics and vaccines



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# Viruses

	Smallpox	Viral Hemorrhagic Fevers
<b>Contagious</b>	YES	YES
<b>Signs and Symptoms</b>	Fever, rigors, vomiting, headache, pustules	Fever, vomiting, diarrhea, mottled/blotchy skin
<b>Protection</b>	Standard Precautions + Droplet + Airborne + Contact Precautions	Standard Precautions + Droplet + Airborne + Contact Precautions
<b>Treatment</b>	Vaccine, supportive therapy	Vaccines available for some



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# Toxins

	Neurotoxin (Botulinum)	Cytotoxin (Ricin)
<b>Onset of Symptoms</b>	1 to 3 days	4-8 hours after ingestion 12-24 hours after inhalation
<b>Contagious</b>	NO	NO
<b>Signs and Symptoms</b>	Weakness, dizziness, dry mouth and throat, blurred vision, paralysis	Chills, high fever, headache, spitting up blood, shortness of breath
<b>Protection</b>	Standard Precautions	Standard Precautions
<b>Treatment</b>	Supportive care, antitoxins, and vaccines	Supportive oxygenation and hydration

*Note: There are numerous naturally-occurring toxins. For our purposes, we will group them into two categories.*



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# Biological Agents Key Points

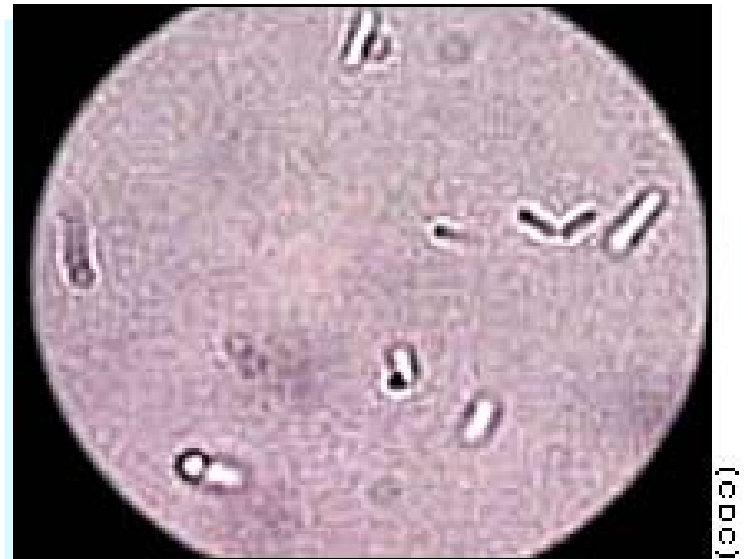
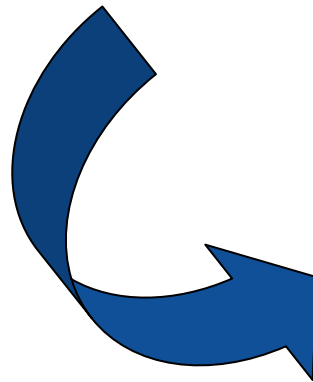
Onset of symptoms

Potentially contagious

Signs and symptoms

Protection

Treatment



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# Biological Agent Categories

## Some Biological agent(s)

### Category A

- Variola major
- Bacillus anthracis
- Yersinia pestis
- Clostridium botulinum
- Ebola, Marburg

### Category B

- Coxiella burnetii
- Brucella spp.
- Burkholderia mallei
- Burkholderia pseudomallei
- Toxins
- Food/Water safety threats

### Category C

- Emerging threat agents

## Disease

### Category A

- Smallpox
- Anthrax
- Plague
- Botulism
- Tularemia
- Viral hemorrhagic fevers

### Category B

- Q Fever
- Brucellosis
- Glanders
- Melioidosis
- Psittacosis
- Ricin toxin
- Typhus
- Cholera
- Shigellosis



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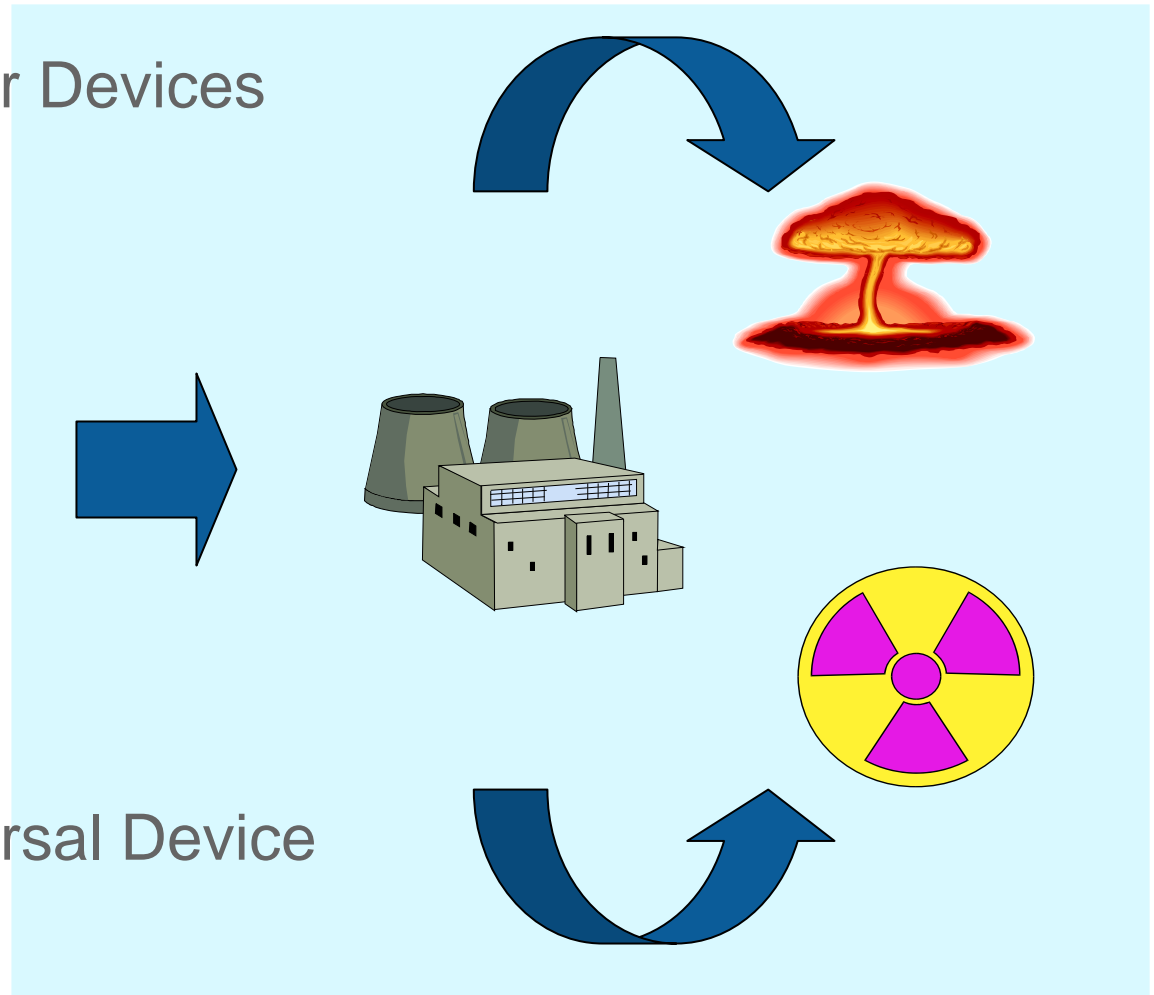


# Nuclear/Radiological Materials

Improvised Nuclear Devices

Nuclear Plants

Radiological Dispersal Device



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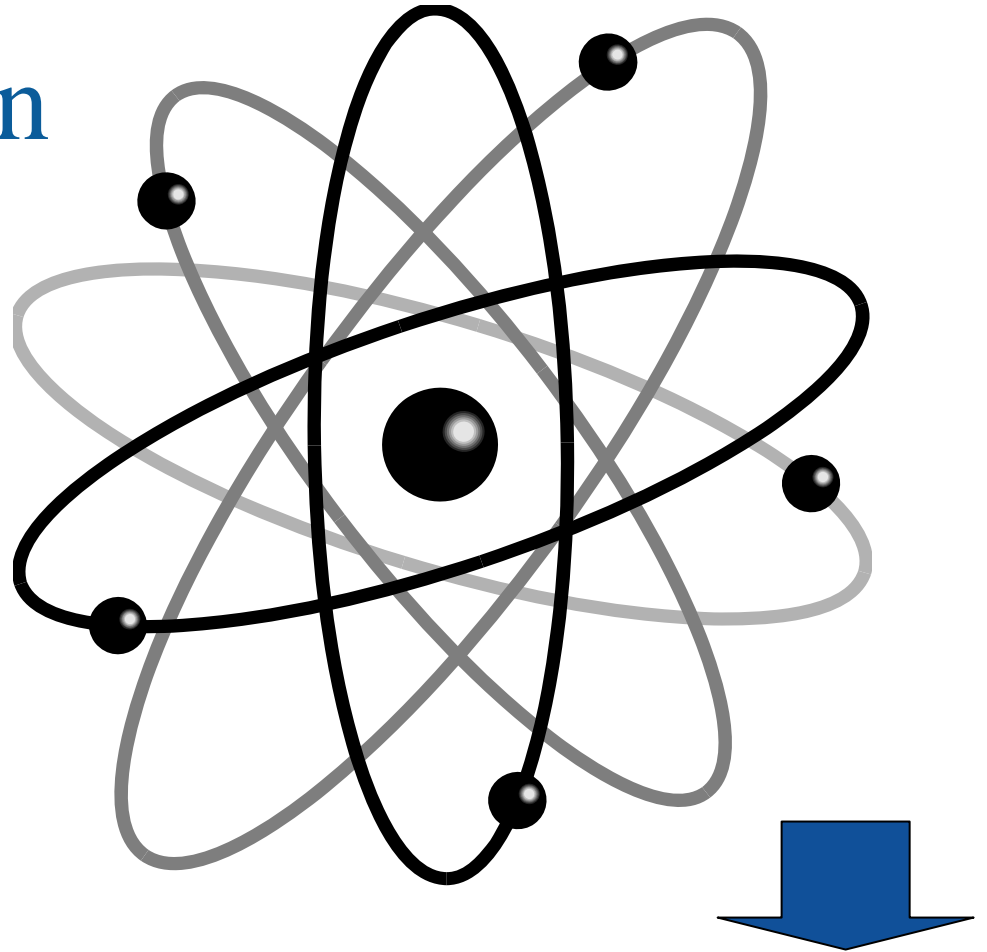
# Ionizing Radiation

Alpha particles

Beta particles

Gamma rays

Neutrons



**There are also non-ionizing types of radiation – fluorescent lights, lasers, and microwaves. In these examples, the radiation can cause burns, but it does not cause molecular change or ionization**



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# Common Radiation Exposures

Average annual exposure	360 mrem per year
Chest x-ray	10 to 30 mrem
Flight	0.5 mrem every hour
Smoking 1.5 packs per day	16,000 mrem per year

**Chronic**



Mild radiation sickness*	200,000 mrem
Lethal dose*	450,000 mrem

**Acute**



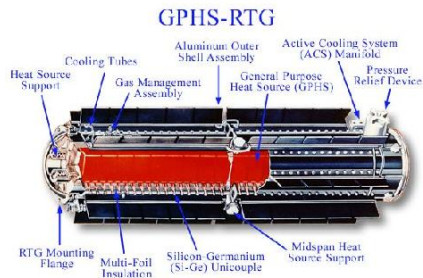
\* single acute exposure



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# Health Hazards in an Incident

- Exposure to radiation source (external)
- Contamination (possible internal and/or external)



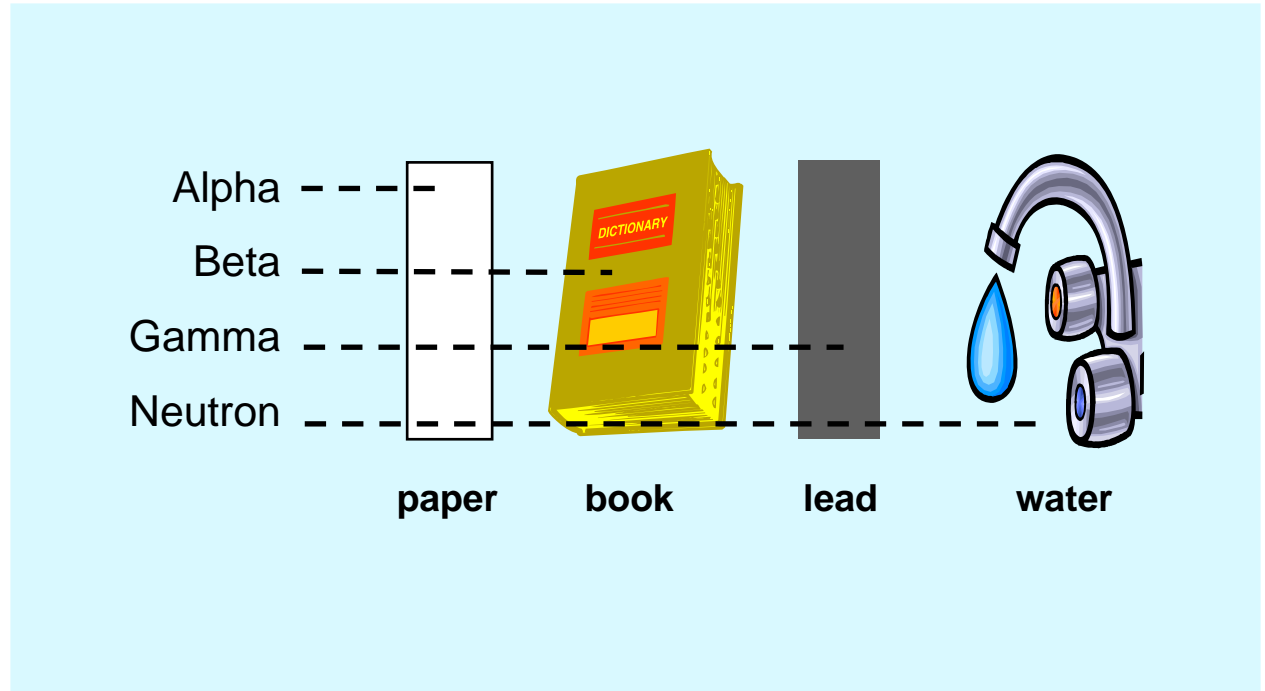
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# Protection from Radiation Exposure

Time

Distance

Shielding



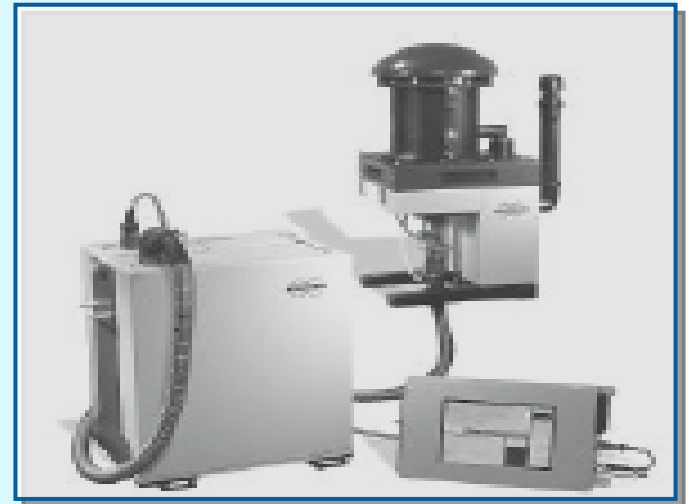
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# CBR Detection

Radiological	✓
Chemical	✓
Biological	?



SOURCE: BAE SYSTEMS



SOURCE: BRUKER DALTRONICS



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# CBR Incident Indicators

Indicator	Chemical	Biological	Radiological
Dead Animals	✓		✓
Lack of Insect life	✓		
Physical Symptoms	✓	✓	✓
Mass Casualties	✓		✓
Unusual Liquids	✓		
Unexplained Odors	✓		
Unusual Metal Debris/Canisters	✓	✓	✓
Heat Emitting or Glowing			✓
Spray Mechanisms	✓	✓	



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# Chemical Incident Indicators (1)

<b>Dead animals, birds, fish</b>	Not just an occasional roadkill, but numerous animals (wild and domestic, small and large), birds, and fish in the same area.
<b>Lack of insect life</b>	If normal insect activity (ground, air, and/or water) is missing, check the ground/water surface/shore line for dead insects. If near water, check for dead fish/aquatic birds.
<b>Physical symptoms</b>	Numerous individuals experiencing unexplained water-like blisters, wheals (like bee stings), pinpointed pupils, choking, respiratory ailments, and/or rashes.
<b>Mass casualties</b>	Numerous individuals exhibiting unexplained serious health problems ranging from nausea to disorientation to difficulty in breathing to convulsions to death.
<b>Definite pattern of casualties</b>	Casualties distributed in a pattern that may be associated with possible agent dissemination methods.

**Chemical agents have a rapid onset of symptoms**



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FEMA 426, Table 5-2: Indicators of a Possible Chemical Incident, p. 5-34



# Chemical Incident Indicators (2)

Illness associated with confined geographic area	Lower attack rates for people working indoors than those working outdoors, and vice versa.
Unusual liquid droplets	Numerous surfaces exhibit oily droplets film; numerous water surfaces have an oily film (No recent rain.)
Areas that look different in appearance	Not just a patch of dead weeds, but trees, shrubs, brushes, food crops, and/or lawns that are dead, discolored, or withered. (Not current drought.)
Unexplained odors	Smells may range from fruity to flowery to sharp/pungent to garlic/horseradish like to bitter almond/peach kernels to new mown hay. It is important to note that the particular odor is completely out of character with its surroundings.
Low-lying clouds	Low-lying clouds/fog-like condition that is not explained by its surroundings
Unusual metal debris	Unexplained bomb/munitions-like material, especially if it contains a liquid. (No recent rain.)



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FEMA 426, Table 5-2: Indicators of a Possible Chemical Incident, p. 5-34

# Biological Incident Indicators

<b>Unusual numbers of sick or dying people or animals</b>	Any number of symptoms may occur. As a first responder, strong consideration should be given to calling local hospitals to see if additional casualties with similar symptoms have been observed. Casualties may occur hours to days or weeks after an incident has occurred. The time required before symptoms are observed is dependent on the biological agent used and the dose received. Additional symptoms likely to occur include unexplained gastrointestinal illnesses and upper respiratory problems similar to flu/colds.
<b>Unscheduled and unusual spray being disseminated</b>	Especially if outdoors during periods of darkness.
<b>Abandoned spray devices</b>	Devices will have no distinct odors.

**Biological agents will typically have a more delayed effect**



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FEMA 426, Table 5-3: Indicators of Possible Biological Incident, p. 5-35

# Radiological Incident Indicators

<b>Unusual numbers of sick or dying people or animals</b>	As a first responder, strong consideration should be given to calling local hospitals to see if additional casualties with similar symptoms have been observed. Casualties may occur hours to days or weeks after an incident has occurred. The time required before symptoms are observed is dependent on the radioactive material used and the dose received. Additional symptoms likely to occur include skin reddening and, in severe cases, vomiting.
<b>Unusual metal debris</b>	Unexplained bomb/munitions-like material.
<b>Radiation symbols</b>	Containers may display a radiation symbol.
<b>Heat emitting material</b>	Material that seems to emit heat without any sign of an external heating source.
<b>Glowing material/particles</b>	If the material is strongly radioactive, it may emit a radioluminescence.

**Radiological agents will typically have a more delayed effect**



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FEMA 426, Table 5-4: Indicators of a Possible Radiological Incident, p. 5-36

# CBR Protection Strategies

## Protective Actions:

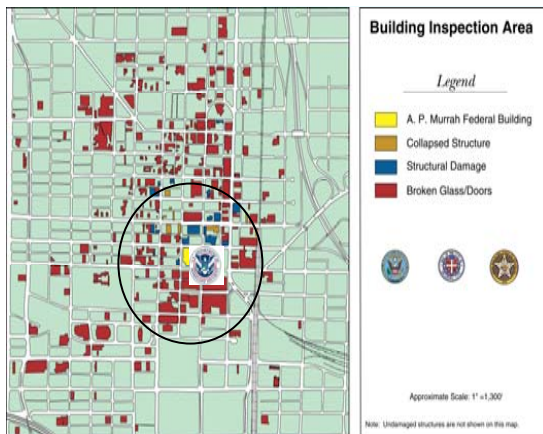
- Evacuation
- Sheltering in Place
- Personal Protective Equipment
- Air Filtration, Pressurization, and Ultraviolet Light
- Exhausting and Purging



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# Evacuation

- Determine airborne hazard source -- internal or external
- Determine if evacuation will make things better or worse
- Assembly should be upwind, at least 1,000 feet away, and three different locations (A, B, C plan)
- In most cases, existing plans for fire evacuation apply – follow through - exercise



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# Sheltering in Place

A building can provide substantial protection against agents released outside if uptake of contaminated air can be halted or reduced and/or if uptake of fresh/filtered air can be increased.

The amount of protection varies with:

- How tight the building is
- Level of exposure (dose x time)
- Purging or period of occupancy
- Natural filtering

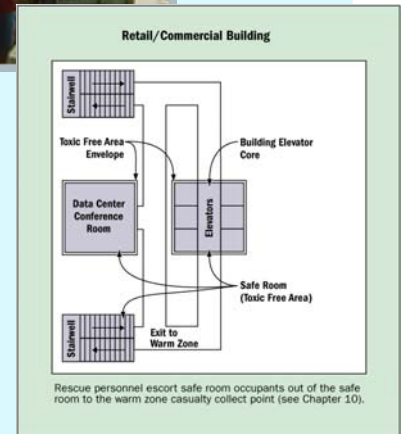
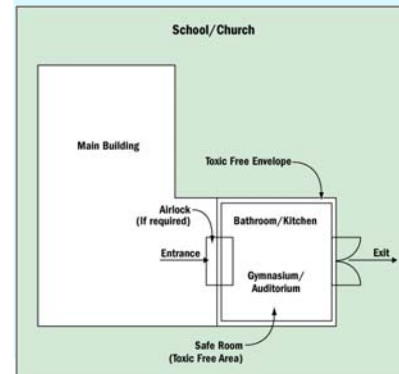


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# Sheltering in Place

Sheltering Plan should:

- Identify all air handling equipment to deactivate
- Identify cracks, seams, joints, and doors to seal (with method)
- Preposition needed supplies
- Identify safe rooms/safe havens
- Identify procedures for purging or airing out building
- Identify procedures for voluntary occupant participation
- Maintain comms - TV or radio



FEMA 453, Multihazard Shelter (Safe Havens) Design



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# Personal Protective Equipment



SOURCE: BARDAS CHILD PROTECTIVE WRAP (ISRAEL)



SOURCE: MINE SAFETY APPLIANCES COMPANY (USA)



SOURCE: BROOKDALE INTERNATIONAL SYSTEMS INC (CANADA)



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# Aftermath of Tragic Events



NMRT decontamination corridor.



911 Pictures  
Sample Photo  
(631) 324-2061

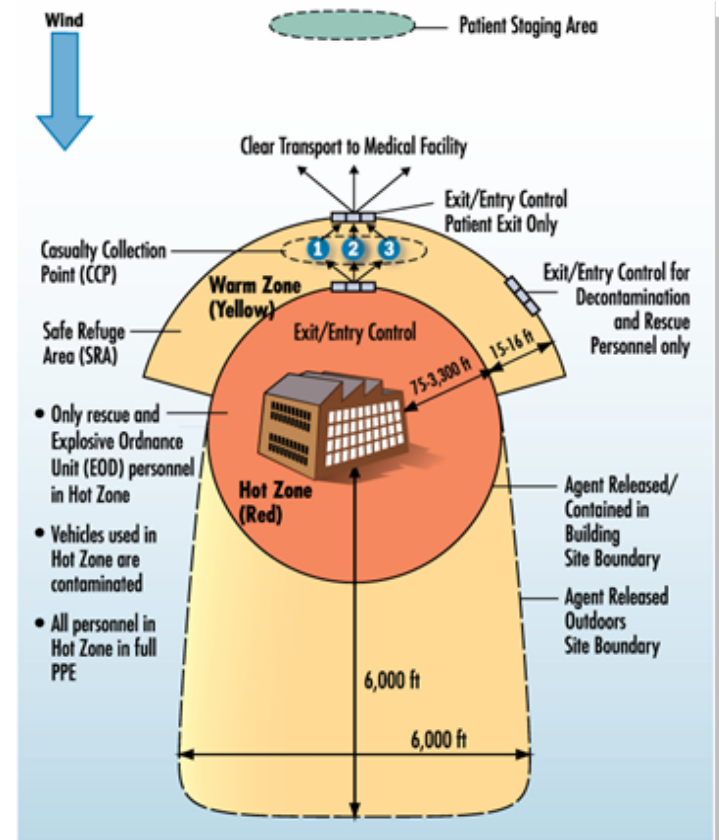
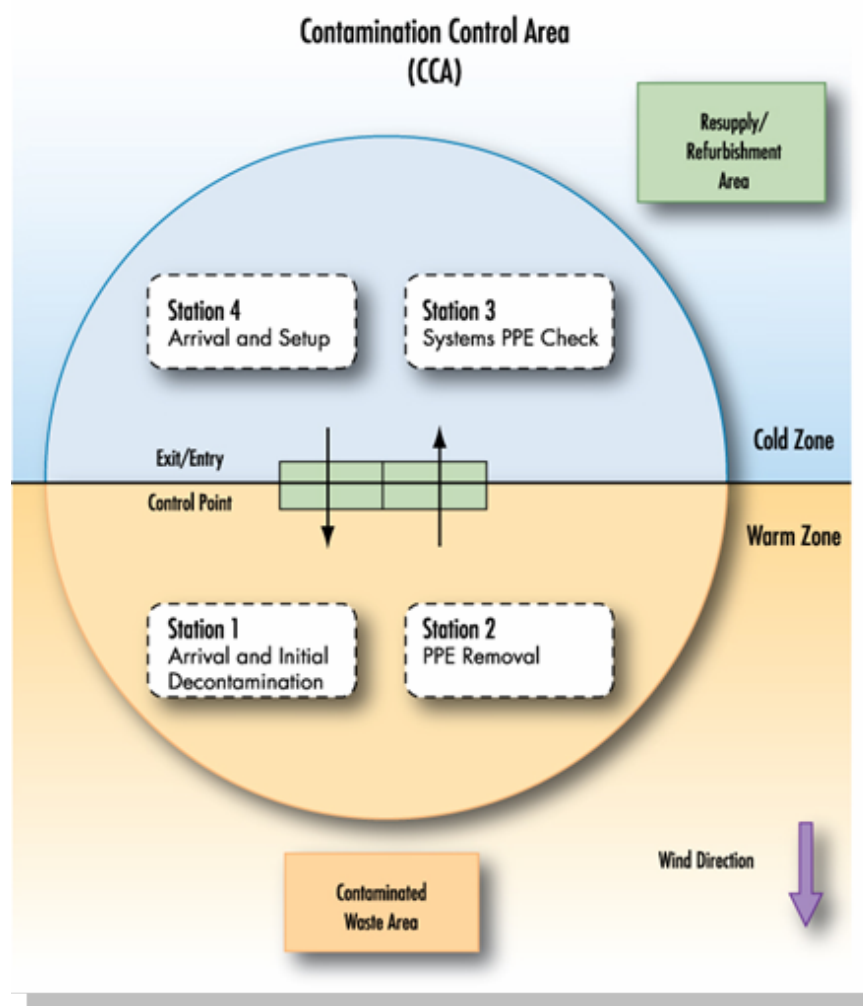


Assembly should be to the upwind side of the building at least 1,000 feet away since any airborne hazard escaping the building during an internal release will be carried downwind.



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# Casualty Collection Point



## Casualty Collection Point (CCP)

- Litter Decontamination**  
Non-ambulatory Delayed Treatment
  - Litter Decontamination**  
Immediate Treatment
  - Ambulatory Decontamination**  
Minimal Treatment Ambulatory Delayed Treatment
- Mass decontamination occurs in the Warm Zone
  - Safe refuge area in the Warm Zone used to assemble individuals who are witnesses to the incident and separation of contaminated from non-contaminated persons

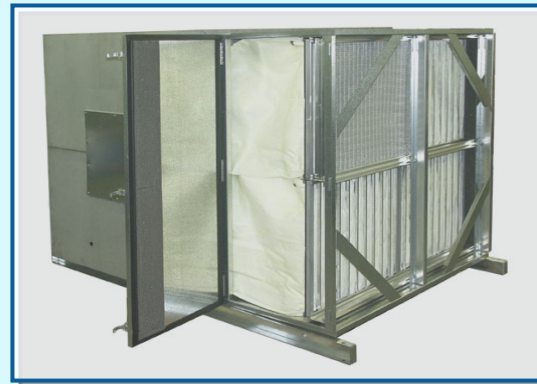
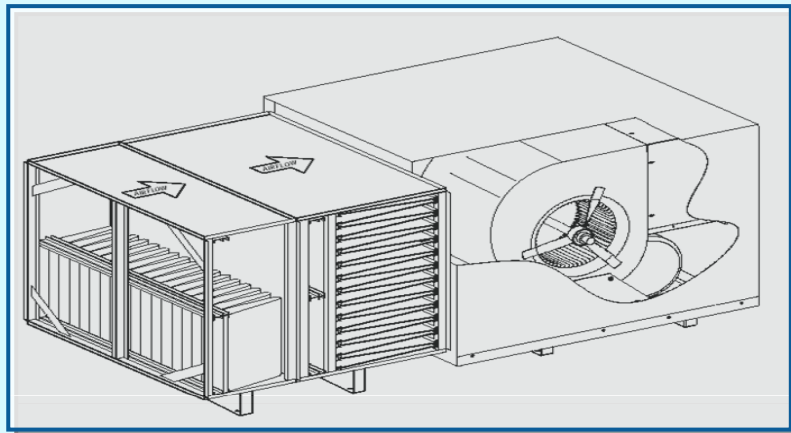


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FEMA 453, Figure 1-18, p. 1-57, and Figure 1-13, p. 1-52

# Air Filtration and Pressurization

- Requires modifications to HVAC and electrical systems – significant initial and life-cycle costs
- Introduces filtered air at a rate sufficient to produce an overpressure and create an outward flow through leaks and cracks



SOURCE: TRION INCORPORATED



**FEMA**

FEMA 426, Figures 5-5 and 5-12: Bag Filter and HEPA Filter; Commercial Air Filtration Unit, p. 5-12 and 5-22

*BUILDING DESIGN FOR HOMELAND SECURITY* Unit VIII-41

# Air Filtration and Cleaning

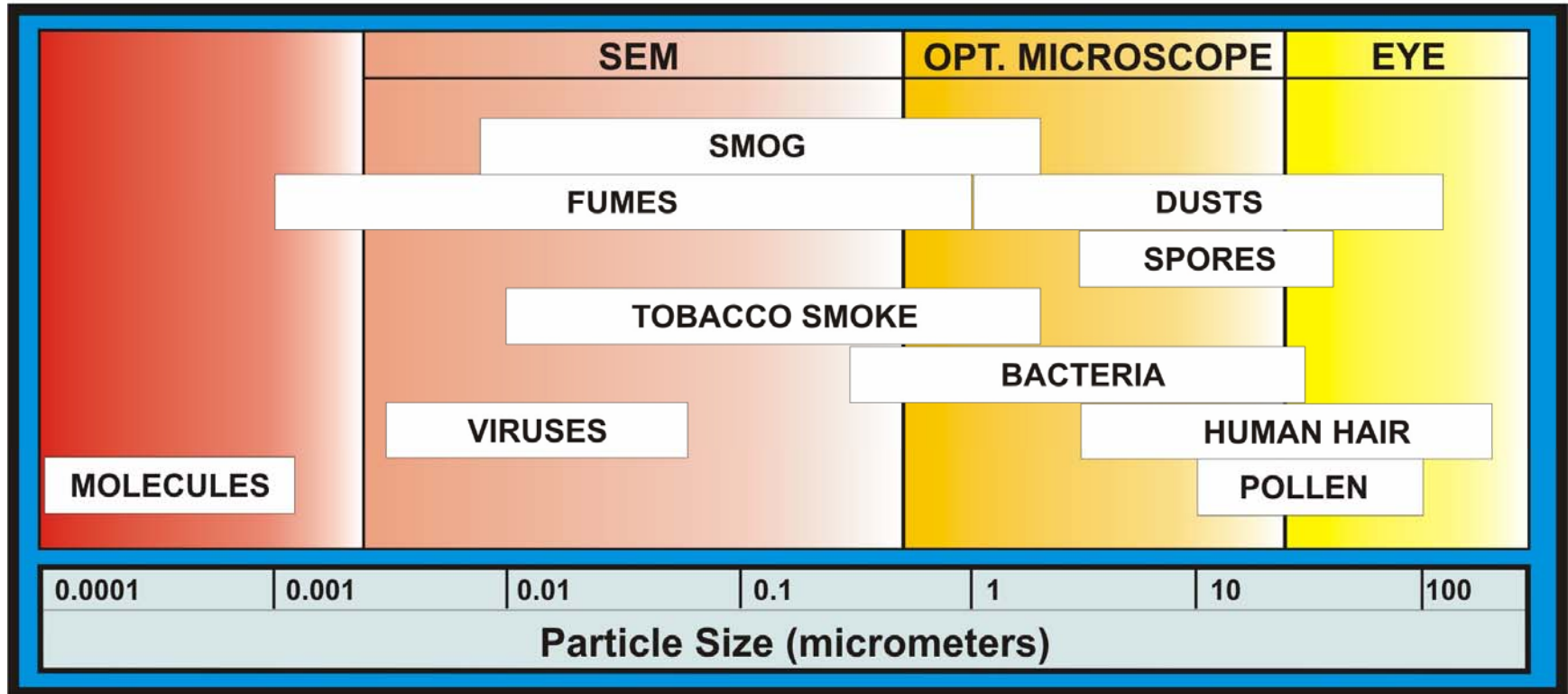
## Two Types of Collection Systems:

- Particulate air filtration
  - Principles of collection
  - Types of particulate filters
  - Filter testing and efficiency ratings
- Gas-phase air filtration
  - Principles of collection
  - Types of gas-phase filters



**FEMA**

# Air Contaminant Sizes



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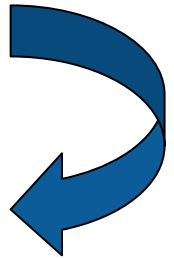
# Various Filter Types

## HEPA Filters



SOURCE: FLANDERS CORPORATION

## Pleated Panel Filters



SOURCE: AMERICAN FILTER

## Carbon Filters



SOURCE: FLANDERS CORPORATION

FEMA 426, Figure 5-9: Charcoal Filter Beds (center), p. 5-17

BUILDING DESIGN FOR HOMELAND SECURITY Unit VIII-44



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# ASHRAE Standards

ASHRAE 52.2				ASHRAE 52.1		Particle Size Range, $\mu\text{m}$	Applications
MERV	Particle Size Range			Test			
	3 to 10 $\mu\text{m}$	1 to 3 $\mu\text{m}$	.3 to 1 $\mu\text{m}$	Arrestance	Dust Spot		
1	< 20%	-	-	< 65%	< 20%	> 10	Residential, light, pollen, dust mites
2	< 20%	-	-	65 - 70%	< 20%		
3	< 20%	-	-	70 - 75%	< 20%		
4	< 20%	-	-	> 75%	< 20%		
5	20 - 35%	-	-	80 - 85%	< 20%	3.0 - 10	Industrial, Dust, Molds, Spores
6	35 - 50%	-	-	> 90%	< 20%		
7	50 - 70%	-	-	> 90%	20 - 25%		
8	> 70%	-	-	> 95%	25 - 30%		



**FEMA**

FEMA 426, Table 5-1: Comparison of ASHRAE Standards 52.1 and 52.2, p. 5-12

# ASHRAE Standards

9	> 85%	< 50%	-	> 95%	40 - 45%	1.0 – 3.0	Industrial, Legionella, dust
10	> 85%	50 - 65%	-	> 95%	50 - 55%		
11	> 85%	65 - 80%	-	> 98%	60 - 65%		
12	> 90%	> 80%	-	> 98%	70 - 75%		
13	> 90%	> 90%	< 75%	> 98%	80 - 90%	0.3 – 1.0	Hospitals, Smoke removal, Bacteria
14	> 90%	> 90%	75 - 85%	> 98%	90 - 95%		
15	> 90%	> 90%	85 - 95%	> 98%	~95%		
16	> 95%	> 95%	> 95%	> 98%	> 95%		
17	-	-	≥ 99.97%	-	-	< 0.3	Clean rooms, Surgery, Chembio, Viruses
18	-	-	≥ 99.99%	-	-		
19	-	-	≥ 99.999%	-	-		
20	-	-	≥ 99.9999%	-	-		

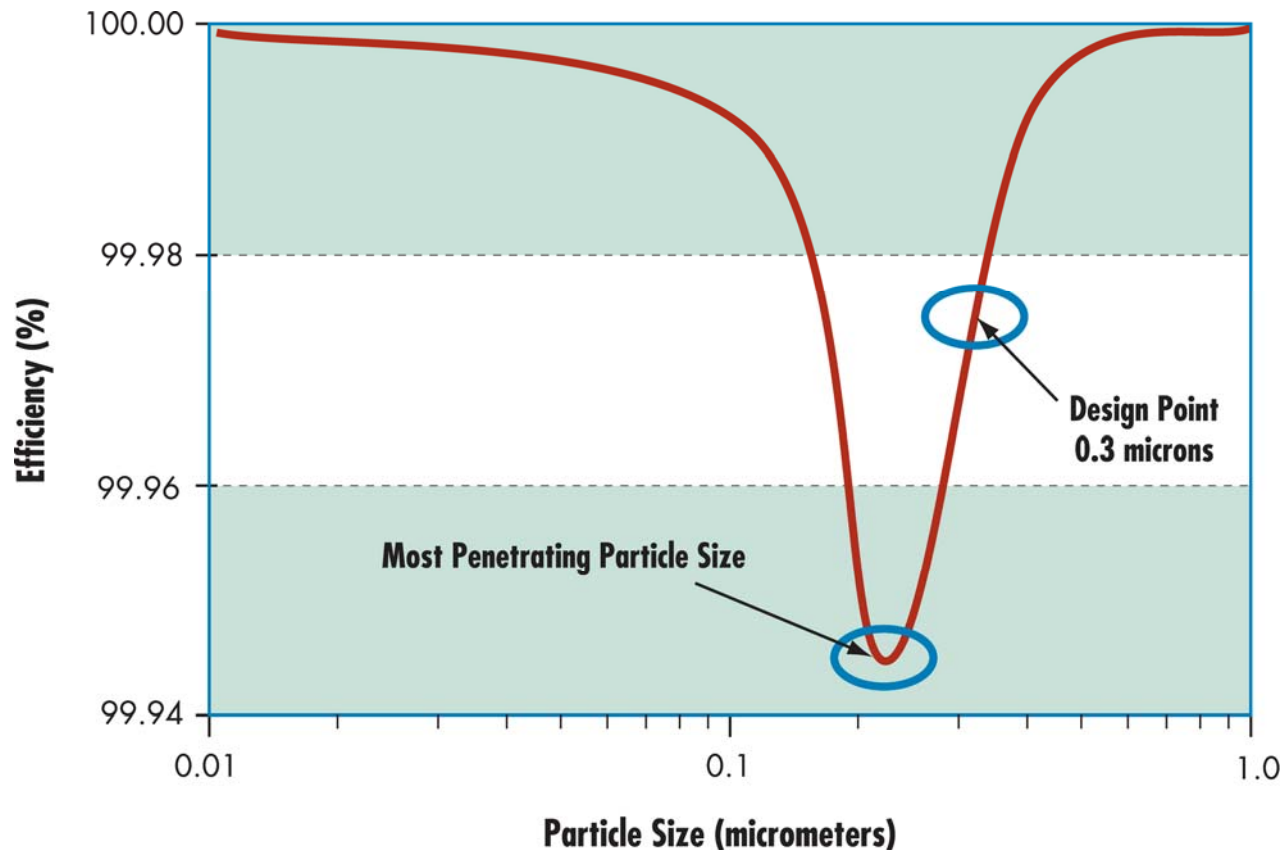


**FEMA**

FEMA 426, Table 5-1: Comparison of ASHRAE Standards 52.1 and 52.2,  
p. 5-12



# Typical Performance of a HEPA Filter



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FEMA 426, Figure 5-7: Typical HEPA Filter Performance p. 5-14

# Inside Versus Outside Releases

## Outside Release

- Keep people inside building
- Reduce indoor/outdoor air exchange – close dampers
- Shut off air handling systems and equipment that moves air – HVAC, exhausts, combustion, computers, elevators
- Close all windows and doors
- Once the outdoor hazard has dissipated
  - Open all doors and windows
  - Turn on all fans, including purging systems



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# Inside Versus Outside Releases

## Inside Release

- Turn off all air handling equipment if no special stand-alone systems installed
- If special systems installed, i.e. mailroom
  - Place air handling system on full (or 100% outside air) to pressurize the space around release room
  - Turn off all air handling supplying release room
- Consider activating fire sprinklers in release room if toxic chemicals involved
- Evaluate evacuation routes for contamination
- Evacuate building in accordance with emergency plan



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# Exhausting and Purging

## Basic Principles:

- Use ventilation and smoke/purge fans to remove airborne hazards
  - Use primarily after an external release plume has passed
  - Selectively use for internal release – may spread contamination further
- Purging should be carefully applied
  - Primarily when agent has spread throughout building



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# HVAC System Upgrade Issues

- What is the threat? Toxic Industrial Chemicals, particulate, gaseous, chemical, biological?
- How clean does the air need to be and what is the associated cost?
- What is the current system capacity?
- Is there filter bypass and how significant is air infiltration into the building envelope?
- Will improved indoor air quality offset upgrade costs?
- Is system maintenance addressed?



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# Economic Issues to Consider

## Initial Costs

- Filters, housing, blowers
- Factors including flow rate, contaminant concentration

## Operating Costs

- Maintenance, replacement filters, utilities, waste disposal

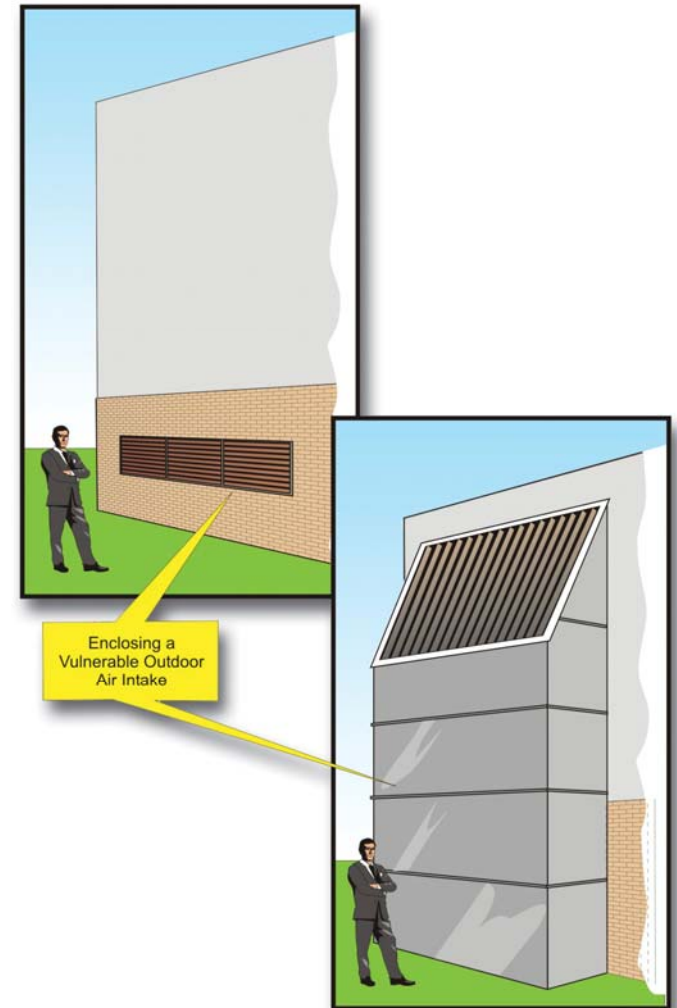
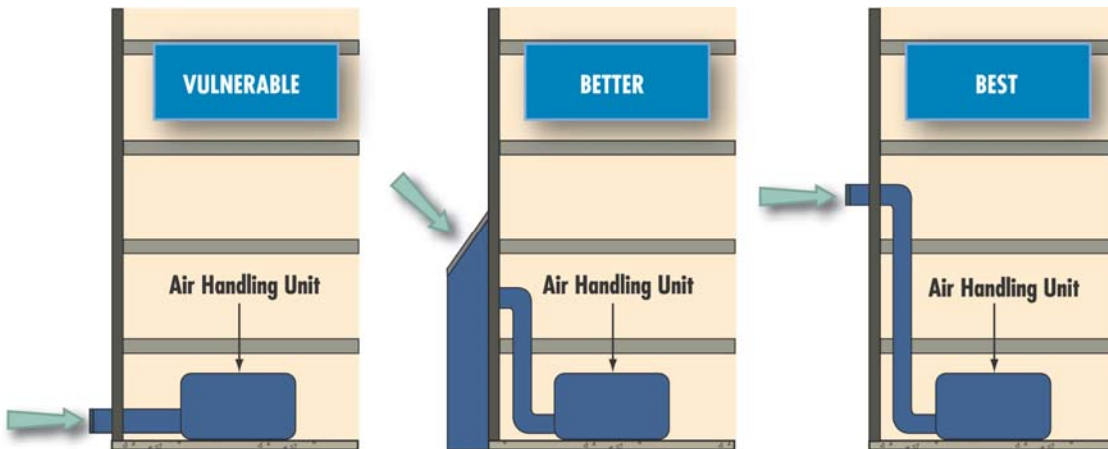
## Replacement Costs

- Filter life (factors include continued concentration and particle size distribution, flow rates, etc.)



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# Access to Outdoor Intakes

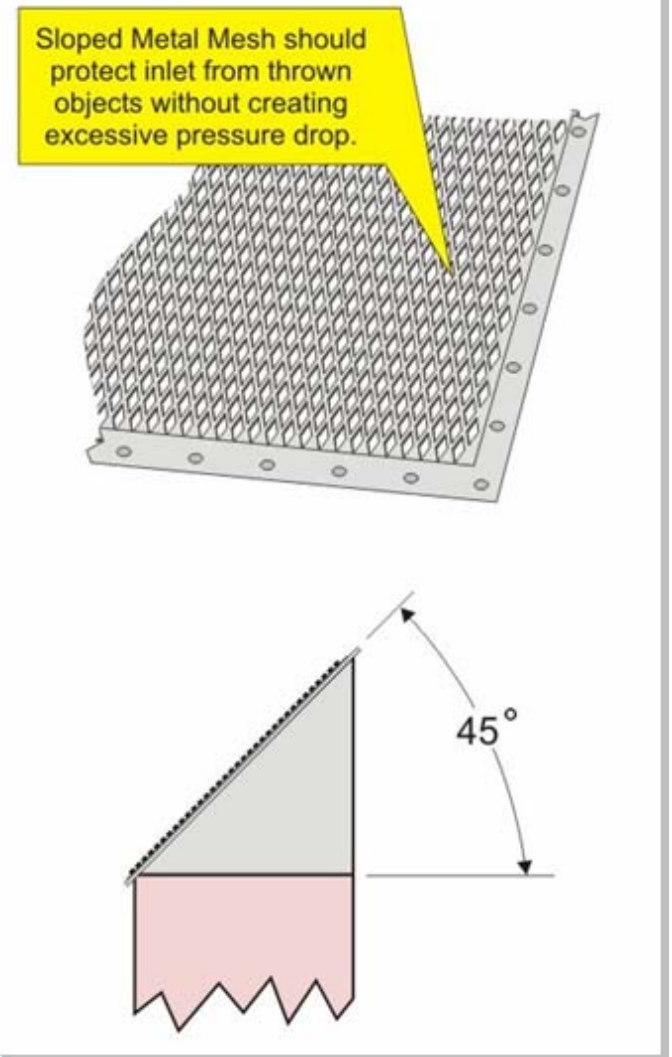


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FEMA 426, Figure 3-8, Example of Protecting Outdoor Air Intakes, p. 3-36 and Figure 3-11, Example of Enclosing Existing Vulnerable Air Intake, p.3-38

# Extension Design Recommendations

- Lowest edge as high as possible (> 12ft)
- Sloped intake (min. 45° recommended)
- Metal mesh protecting intake



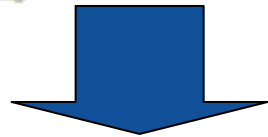
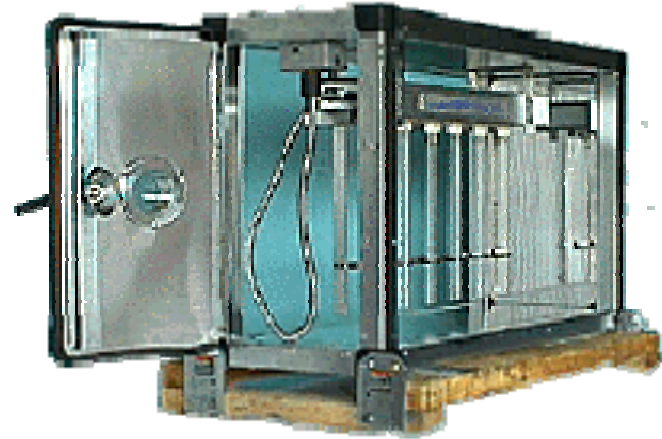
**FEMA**

From CDC/NIOSH 2002-139, Guidance for Protecting Building Environments from Airborne Chemical, Biological, or Radiological Attacks, p. 21  
*BUILDING DESIGN FOR HOMELAND SECURITY* Unit VIII-54



# Ultraviolet Germicidal Irradiation

All viruses and almost all bacteria (excluding spores) are vulnerable to moderate levels of UVGI exposure



**UV lamps resemble ordinary fluorescent lamps, but are designed to emit germicidal UV**



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FEMA 426, Figure 5-10: UVGI Array with Reflective Surfaces, p. 5-19

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# URV AND UVGI INFORMATION

URV Average Intensities and Doses			
URV (UVGI Rating Value)	Average Intensity $\mu\text{W}/\text{cm}^2$	Dose at $t$ (time) = 0.5 sec $\mu\text{W}/\text{s}/\text{cm}^2$	TB (Tuberculosis) Kill Rate %
9	250	125	23.4
10	500	250	41.3
11	1,000	500	65.5
12	1,500	750	79.8
13	2,000	1,000	88.1
14	3,000	1,500	95.9

URV = **UVGI Rating Value**

UVGI = **Ultraviolet Germicidal Irradiation**

Simulation Results for Air Intake Release			
Predicted Performance	Anthrax	Smallpox	TB Bacilli
URV 11 - UVGI Removal Rate%	8.0	53.4	65.6
MERV 11 Filter Removal %	56.7	32.3	14.1
Combined Removal Rate %	60.2	68.5	70.4
Baseline Casualties (release over 8 hour period) %	99.0	99.0	99.0
Casualties with Filters and UVGI %	1.0	1.5	1.5



**FEMA**

From "Immune Building Systems Technology", Kowalski 2003

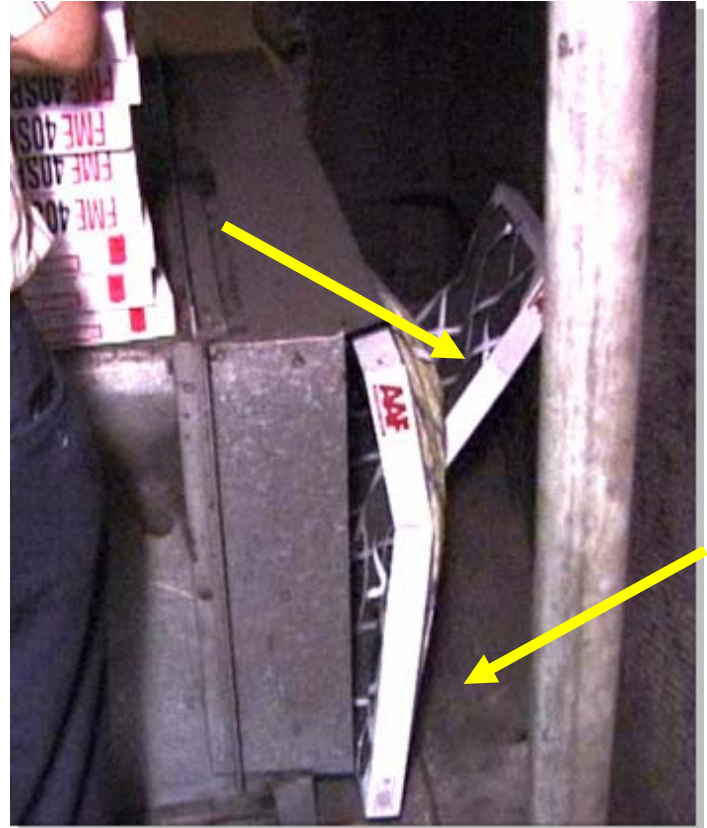
# Infiltration and Bypass

## Infiltration

- Building envelope tightness and ventilation control are critical

## Bypass

- Filters should be airtight
- Check gaskets and seals
- Periodically check



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# Things Not to Do

- Outdoor air intakes should not be permanently sealed.
- HVAC systems (includes filter upgrades) should not be modified without understanding the effects on building systems or occupants.
- Fire protection and life safety systems should only be modified after careful analysis and review.



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# Things to Do

- Have a current emergency plan that addresses CBR concerns
  - Exercise plan
  - Revise plan based upon lessons learned
- Understand your HVAC building vulnerabilities
- Conduct periodic walk-through of the system for evidence of irregularities or tampering
- Recognize that there are fundamental differences among various CBR events



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# Summary

- CBR threats are real and growing.
- Industrial chemicals are readily available.
- Military chemicals require specialty expertise.
- Most buildings provide a reasonable level of protection.
- Inside versus outside building release determines evacuation and other reaction decisions.
- Develop an emergency plan and ensure it works.



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# Unit VIII Case Study Activity

## Chemical, Biological, and Radiological (CBR) Measures

### Background

Purpose of activity: check on learning about the nature of chemical, biological, and radiological agents

### Requirements

Refer to Case Study and FEMA 426

Answer worksheet questions



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*BUILDING DESIGN FOR HOMELAND SECURITY*

# Unit IX-A

## Site and Layout Design Guidance



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# Unit Objectives

**Identify** site planning concerns that can create, reduce, or eliminate vulnerabilities and understand the concept of “Layers of Defense.”

**Recognize** protective issues for suburban site planning.

**Compare** the pros and cons of barrier mitigation measures that increase stand-off or promote the need for hardening of buildings at risks.



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# Unit Objectives

**Understand** the following critical issues:

- Keeping up with growing demand for security design
- Understanding benefits that can be derived from appropriate security design

## References

FEMA Building Vulnerability Assessment Checklist, Chapter 1, page 1-46, FEMA 426

Site and Layout Design Guidance, Chapter 2, FEMA 426

FEMA 430, Primer for Incorporating Building Security Components in Architectural Design



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# Unit Objectives

**Understand** the following critical issues (continued):

- Adopting a creative process to face current design challenges
- Including aesthetic elements compatible with security and architecture characteristics of building and surrounding environment

## References

FEMA Building Vulnerability Assessment Checklist, Chapter 1, page 1-46, FEMA 426

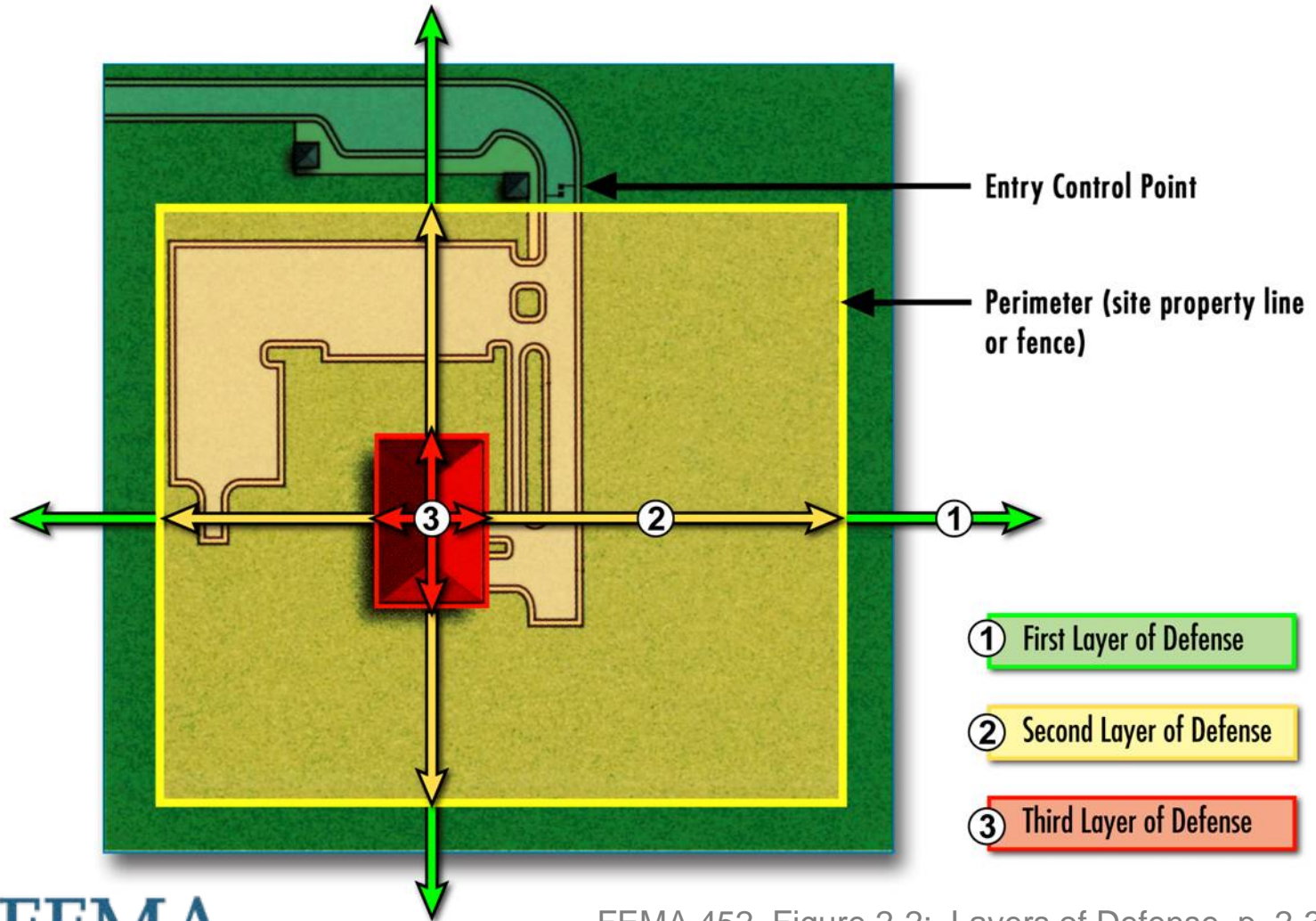
Site and Layout Design Guidance, Chapter 2, FEMA 426

FEMA 430, Primer for Incorporating Building Security Components in Architectural Design



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# Layers of Defense



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FEMA 452, Figure 2-2: Layers of Defense, p. 2-3

BUILDING DESIGN FOR HOMELAND SECURITY Unit IX-A-5

# Layers of Defense



**First Layer of Defense**

**Second Layer of Defense**

**High Security Building  
Third, Second, and First  
Layers of Defense**



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# Layers of Defense

Layers of Defense	Survey Surroundings	Access Points	Layout / Site Considerations	Barriers / Bollards / Fencing	Gatehouses / Screening	Sidewalks and Curbs	Street Furniture	Yards and Plazas	Roadways	Parking	Signage	Security Lighting	Sensors / CCTV	Site Utilities
First Layer	■	■	■	■	■	■	■	■	■	■	■	■	■	■
Second Layer	■	■	■	■	■	■	■	■	■	■	■	■	■	■
Third Layer	■	■	■	■	■	■	■	■	■	■	■	■	■	■

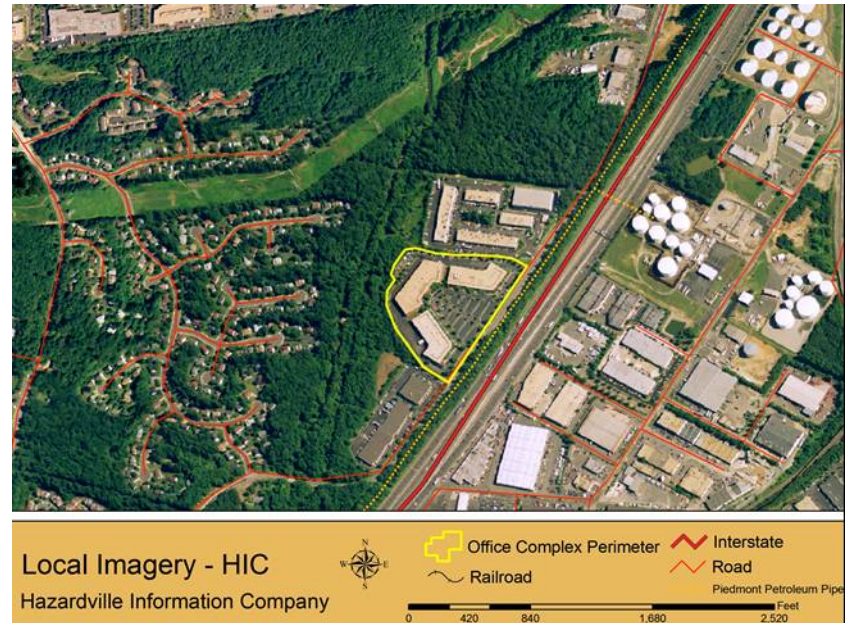


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# First Layer of Defense

## Survey Surroundings / Data Collection

- 360 degrees - all directions
- Use GIS and local authorities to understand your surroundings
  - Buildings
  - Infrastructure
  - Geographic/topographic elements
- Overhead and underground utilities



FEMA 426, Figure 2-1: Example of Using GIS to Identify Adjacent Hazards, p. 2-5



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# First Layer of Defense

## Access Points

- Have commercial vehicle gates if possible
- Provide traffic calming
- Avoid high speed approaches
- Control angles of approach
- Prevent unauthorized access
- Avoid traffic queuing
- Have equal security capacity for exit



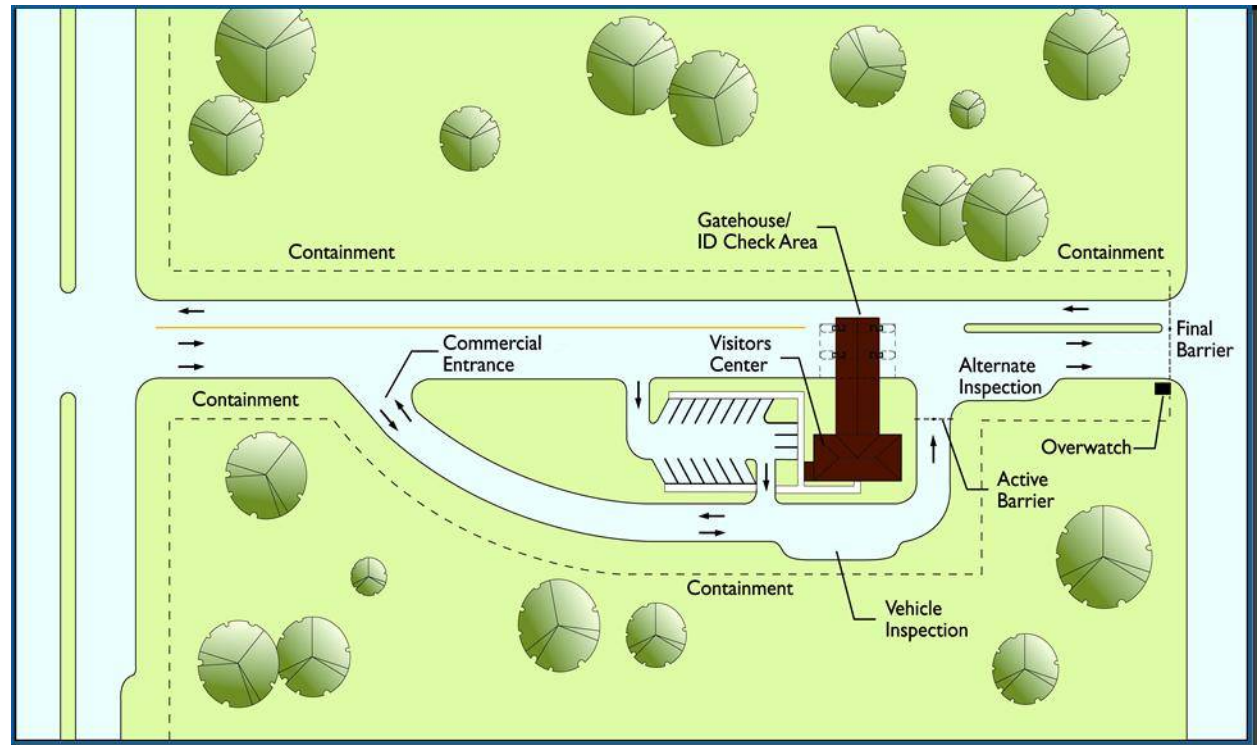
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# First Layer of Defense

## Access Points

- Reject vehicles before final barrier
- Inspection area blast effects
  - Pressure
  - Fragments
- Reaction time to activate barriers



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FEMA 426, Figure 2-15: Combined Multi-User Gate, p. 2-37

BUILDING DESIGN FOR HOMELAND SECURITY Unit IX-A-10

# Second Layer of Defense

The following considerations can have an impact in the layout site design:

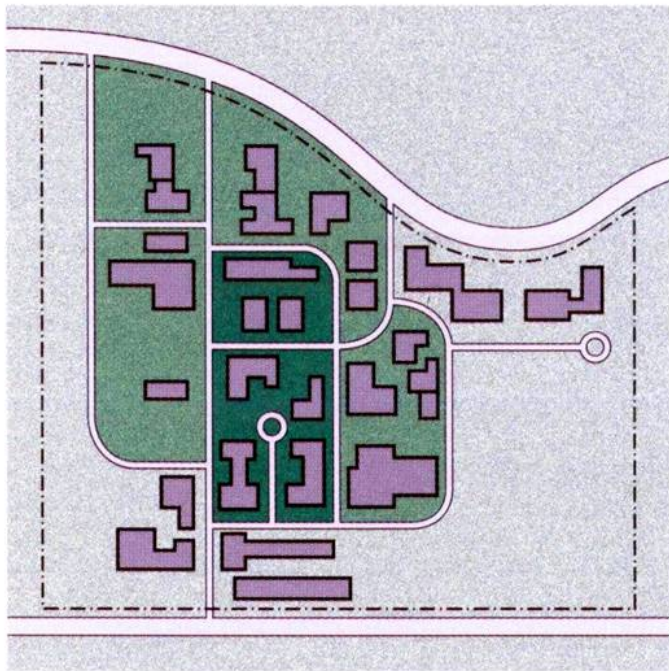
- Clustered versus dispersed facilities/functions
- Orientation
- Siting and view relationships



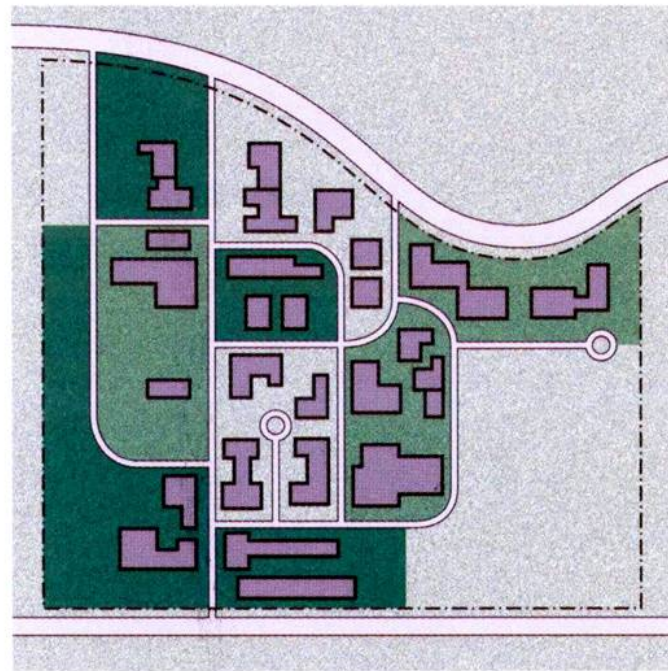
**FEMA**

# Second Layer of Defense

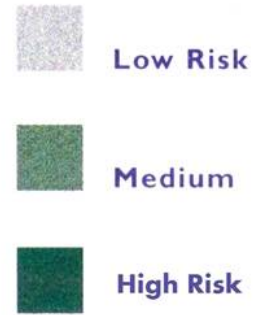
## Layout/Site Considerations



**Clustered facilities**



**Dispersed facilities**



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FEMA 426, Figure 2-2: Clustered versus Dispersed Site Layouts, p. 2-8

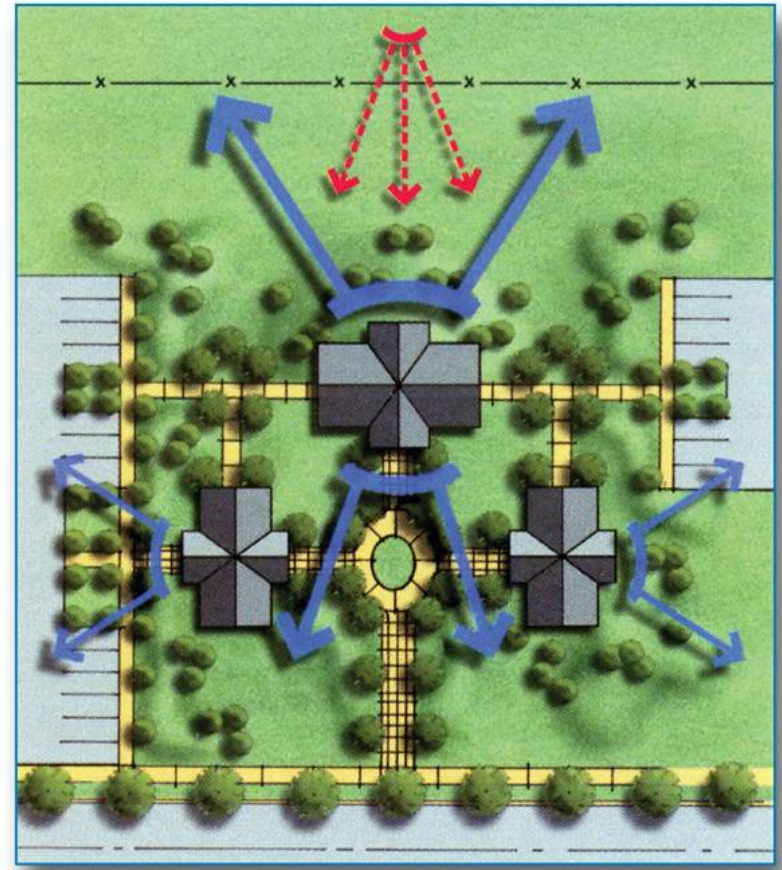
*BUILDING DESIGN FOR HOMELAND SECURITY* Unit IX-A-12

# Second Layer of Defense

## Layout/Site Considerations

### Orientation

- Significant impact on making building visible or hidden to aggressors
- Enhance surveillance opportunities of approaches and parking
- Minimize views into building
- Reduce blast effects

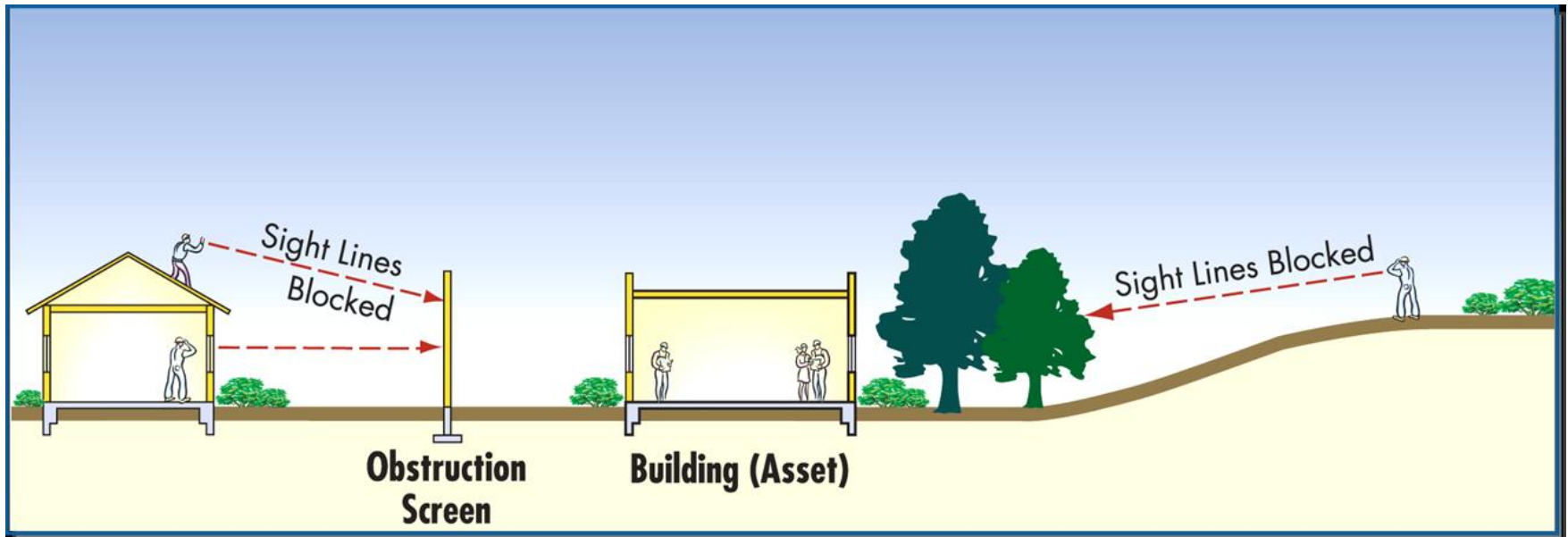


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FEMA 426, Figure 2-3: Clustering to Enhance Surveillance Opportunities While Minimizing Views into Buildings, p. 2-8  
*BUILDING DESIGN FOR HOMELAND SECURITY* Unit IX-A-13

# Second Layer of Defense

## Layout/Site Considerations



## Siting and View Relationships



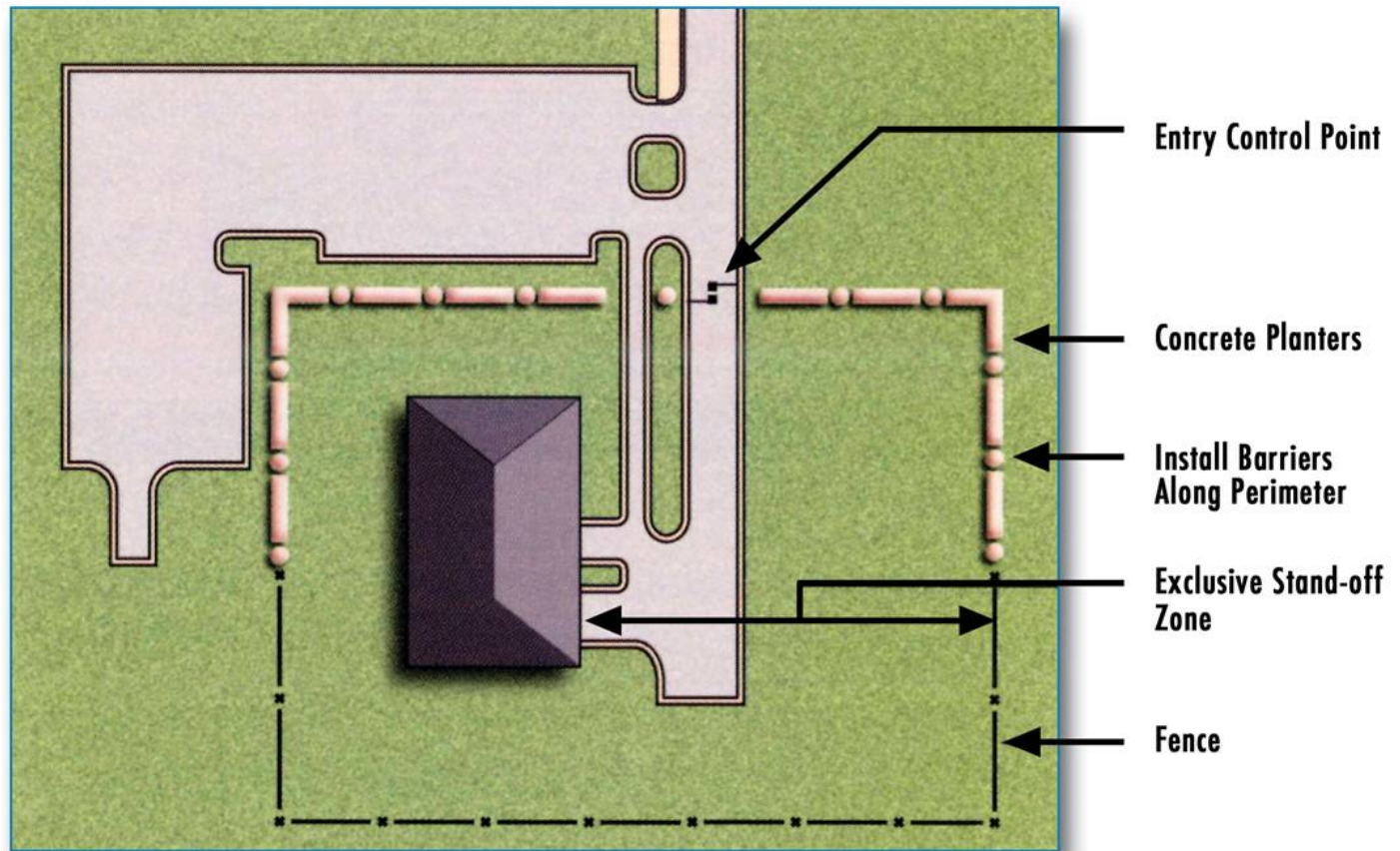
**FEMA**

FEMA 426, Figure 2-5: Blocking of Site Lines, p. 2-20

*BUILDING DESIGN FOR HOMELAND SECURITY* Unit IX-A-14

# Second Layer of Defense

## Barriers/Bollards/Fencing



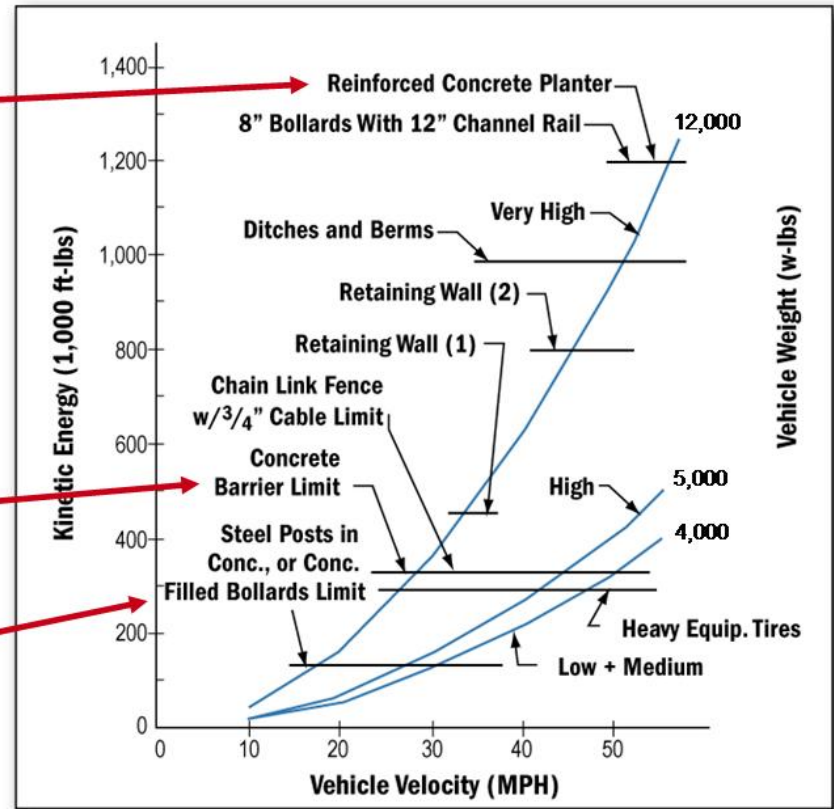
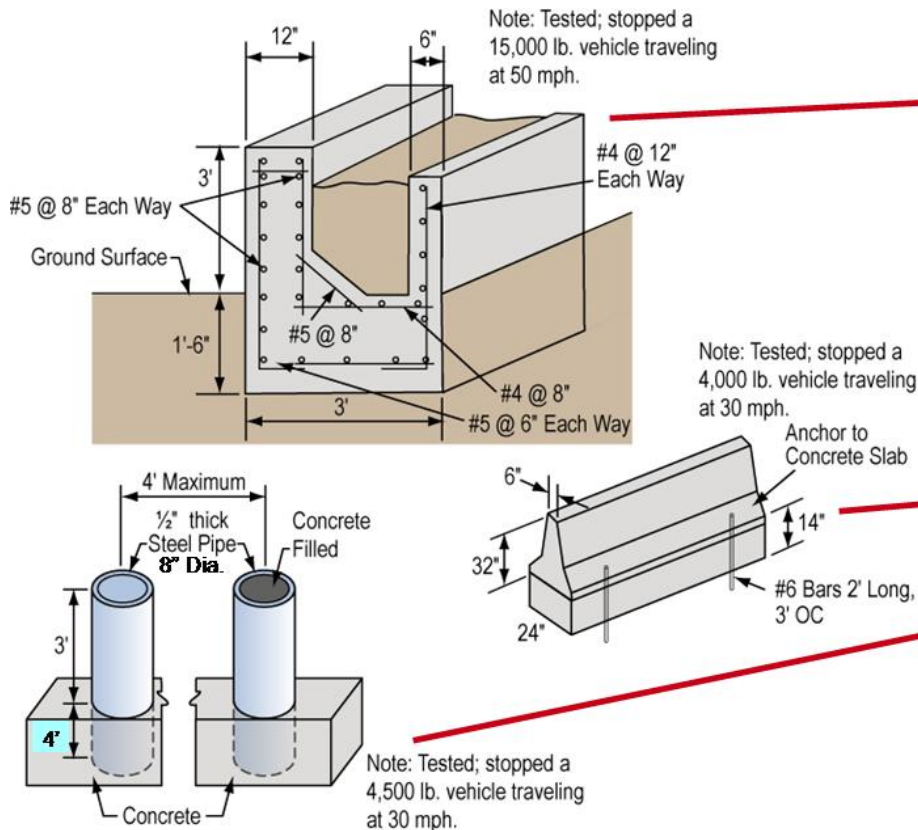
**FEMA**

FEMA 426, Figure 2-11: Application of Perimeter Barrier Elements, p. 2-28

*BUILDING DESIGN FOR HOMELAND SECURITY* Unit IX-A-15

# First/Second Layer of Defense

## Barriers/Bollards/Fencing - Passive



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From US Army Field Manual 5-114, Engineer Operations Short of War, 1992

# First/Second Layer of Defense

## Barriers/Bollards/Fencing - Passive



Source: Yodock Wall Company



Source: Yodock Wall Company

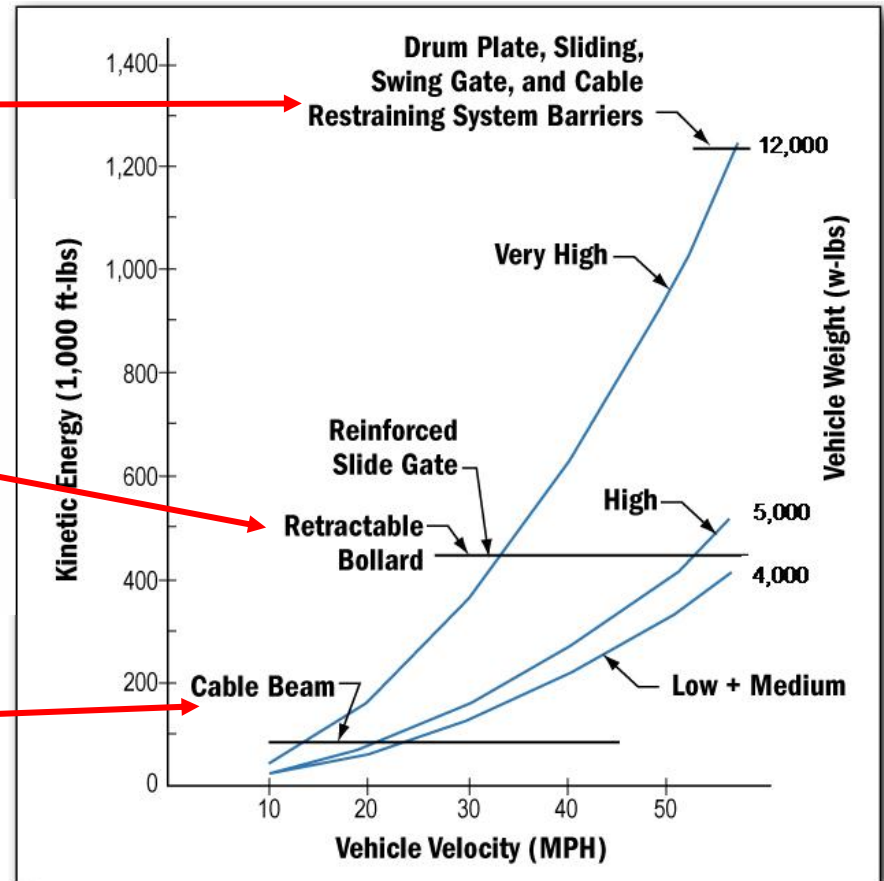
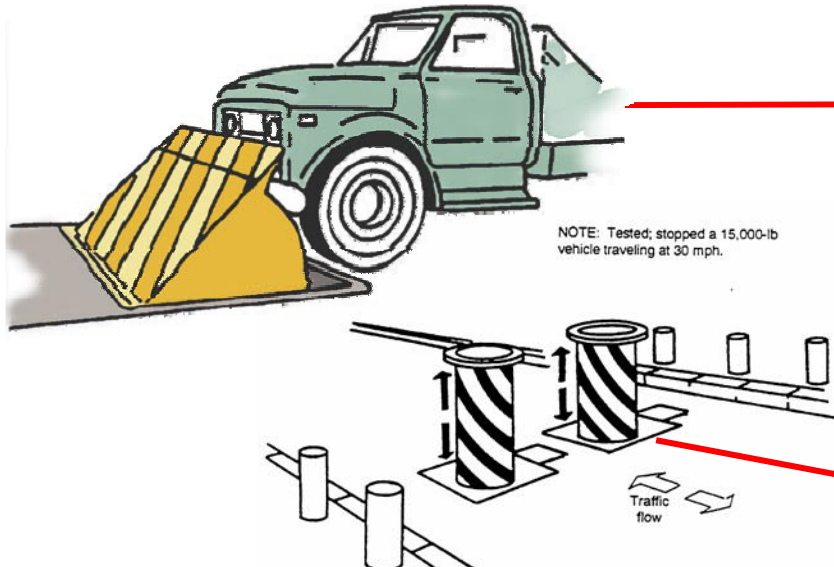


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# First/Second Layer of Defense

## Barriers/Bollards/Fencing - Active



Source: Delta Scientific Corporation



**FEMA**

From US Army Field Manual 5-114, Engineer Operations Short of War, 1992

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# First/Second Layer of Defense

## Barriers/Bollards/Fencing - Active



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# First/Second Layer of Defense



Rotating Drum, Drop Arm, and Rotating Plate Vehicle Barriers  
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# First/Second Layer of Defense

## Barriers, Bollards, and Fencing

Department of State periodically issues list of manufacturers and model numbers certified in meeting prescribed testing criteria (March 2003)

Rating	Vehicle Weight (lbs.)	Vehicle Speed (mph)	Distance Past Barrier (ft)
K4	15,000	30	$\leq 3.3$
K8	15,000	40	$\leq 3.3$
K12	15,000	50	$\leq 3.3$

Check site utilities, water runoff, and other subterranean Conditions when installing bollards and barriers



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# First/Second Layer of Defense

## Barriers, Bollards, and Fencing

Department of Defense periodically issues list of manufacturers and model numbers certified in meeting prescribed testing criteria (August 2003)

Vehicle Weight (lbs.)	Vehicle Speed (mph)	Distance Past Barrier (ft)
15,000	30	$\leq 3(L3)/20(L2)/50(L1)$
15,000	40	$\leq 3(L3)/20(L2)/50(L1)$
15,000	50	$\leq 3(L3)/20(L2)/50(L1)$
10,000	50	0 to 50
10,000	15	50 to 100



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# First/Second Layer of Defense

## Barriers, Bollards, and Fencing

- Fixed bollards
- Retractable bollards
- Planters



Fixed bollards

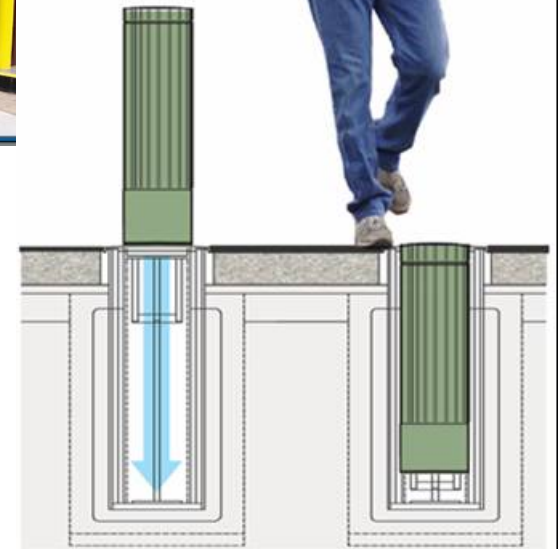
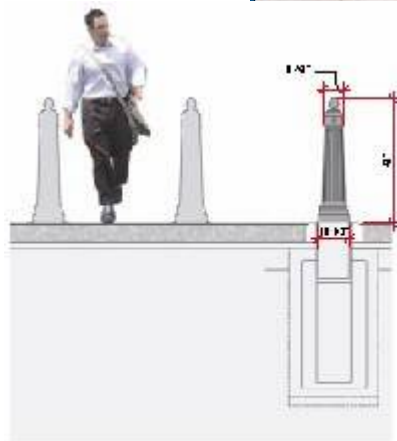
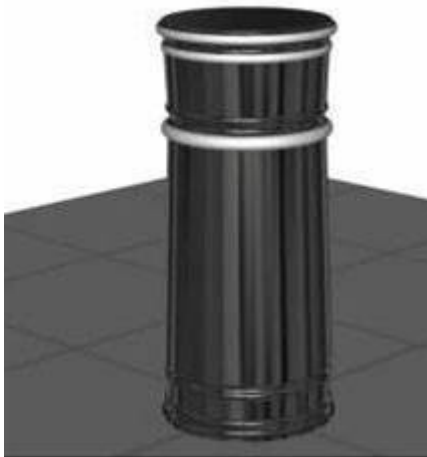
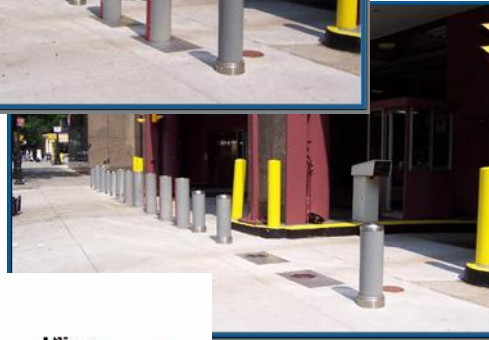


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# First/Second Layer of Defense

## Barriers, Bollards, and Fencing

Retractable



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# First/Second Layer of Defense

## Barriers, Bollards, and Fencing



### Planters

- If well designed, planters can be an element of beautification
- Ensure barriers are properly anchored to stop vehicles and configured to reduce fragmentation



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# First/Second Layer of Defense

## Barriers, Bollards, and Fencing

Avoid designing barriers that impair access by first responders:

- Intersection with driveways and gates
- Crossing of pedestrian paths and handicapped ramps
- Fire hydrants



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# First/Second Layer of Defense

## Barriers, Bollards, and Fencing

Long expanses of bollards should be carefully designed and sited to avoid monotony



Bollard spacing should ensure no vehicles can get through

Pay attention to how bollards or fences turn the corner, intersect with driveways and gates, and cross pedestrian paths and handicapped ramps



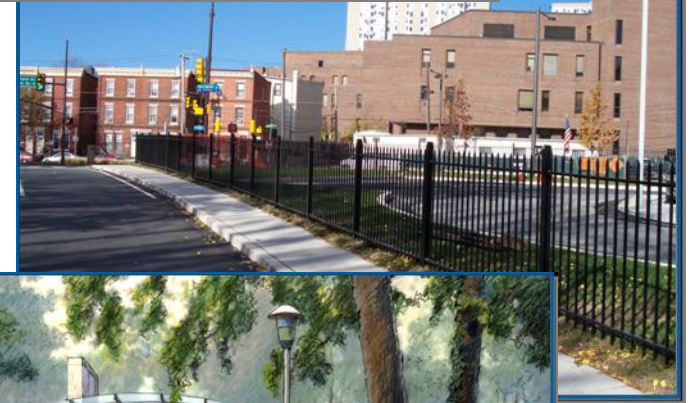
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# First/Second Layer of Defense

## Barriers, Bollards, and Fencing

### Fencing

- Delineates layer of defense
- Demarcates stand-off required
- Provides access control
- Augments existing security
- Channels vehicle/pedestrian traffic
- Enhances electronic security



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# First/Second Layer of Defense

## Gatehouses/Screening

Access control with human intervention

- Hardened as determined by threat
- Protection from elements
- Located to minimize queuing

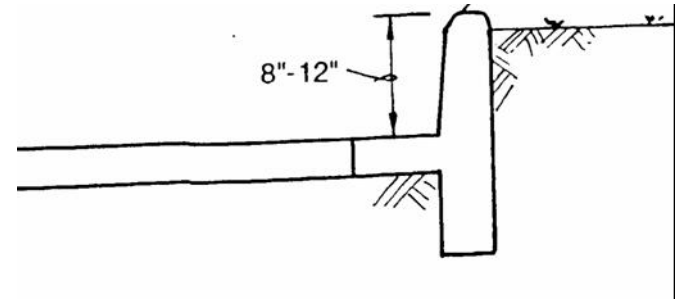


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# First/Second Layer of Defense

## Sidewalks and Curbs

- Creating stand-off in lieu of hardening is usually less expensive
- High curbs can keep vehicles from departing roadway
- Do not remove curbside parking unless additional stand-off absolutely required

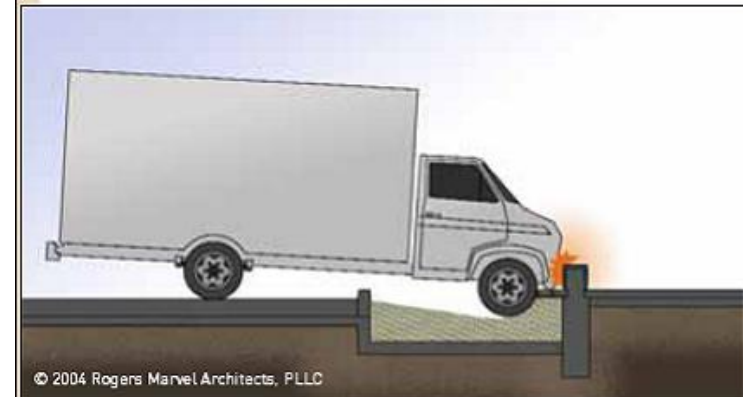
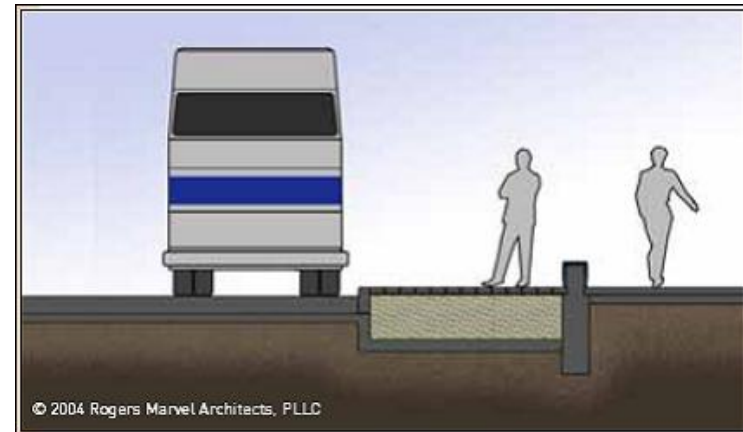


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# First/Second Layer of Defense

## Sidewalks and Curbs

An alternate to visible barriers/bollards/fencing is collapsible sidewalks using low-strength concrete



A vehicle can be immobilized by the collapsible material of the Tiger Trap™ system.



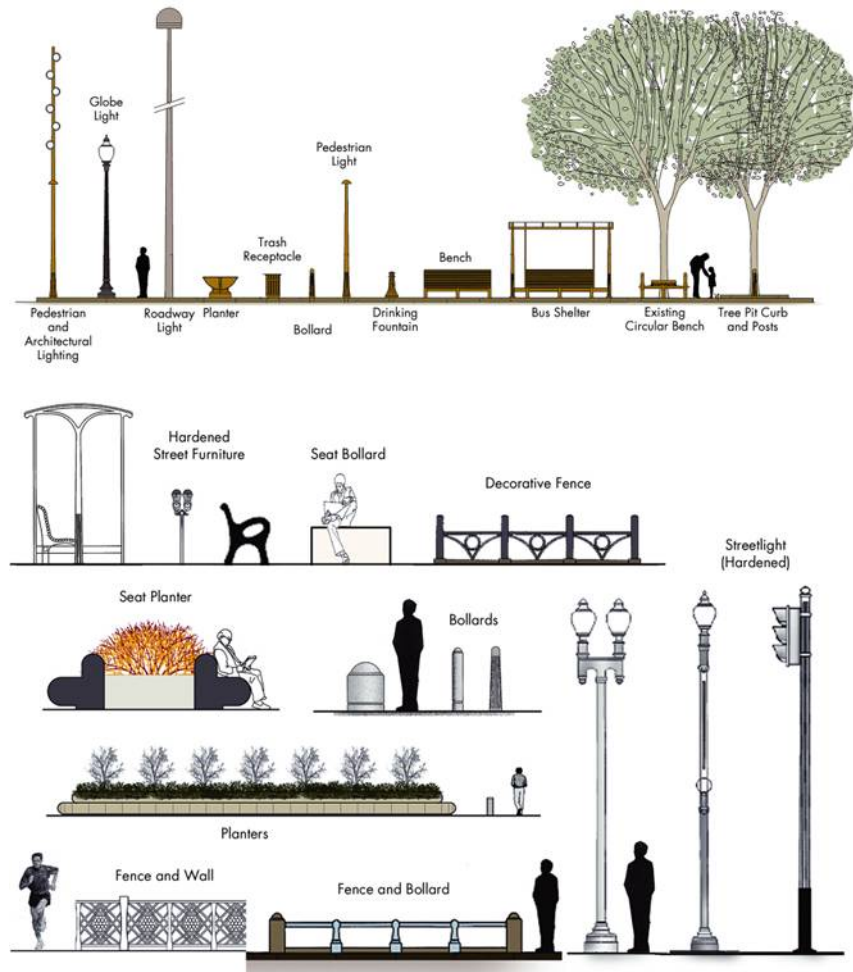
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# First/Second Layer of Defense

## Street Furniture

Streetscape can be used to increase security. Hardened elements that become security elements

- Parking meters
- Streetlights
- Benches
- Planters
- Trash receptacles



**NCPC Streetscape Catalogue**

*BUILDING DESIGN FOR HOMELAND SECURITY* Unit IX-A-32



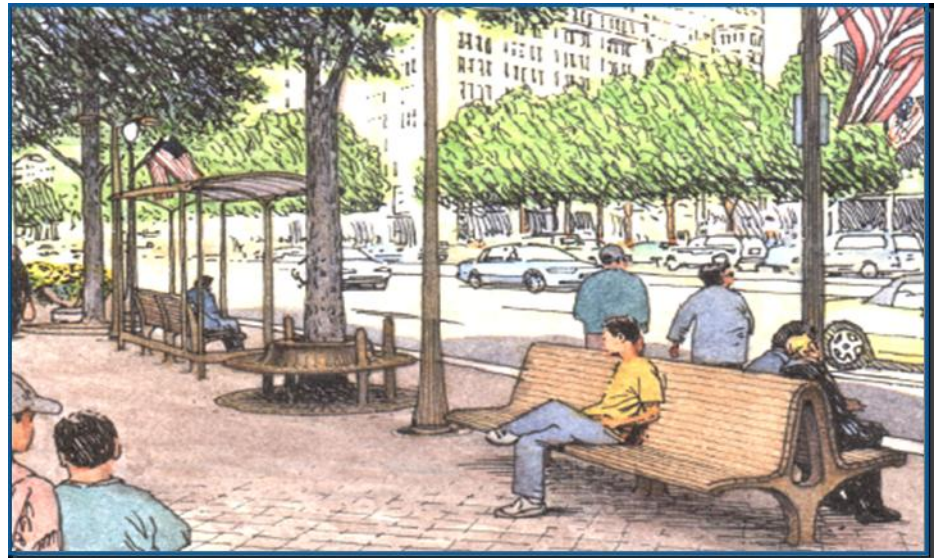
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# First/Second Layer of Defense

## Street Furniture

Place streetscape security components at least 24 inches from edge of curb

- Allow for opening car doors
- Allow for pedestrian movement from car to sidewalk



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# First/Second Layer of Defense

## Street Furniture

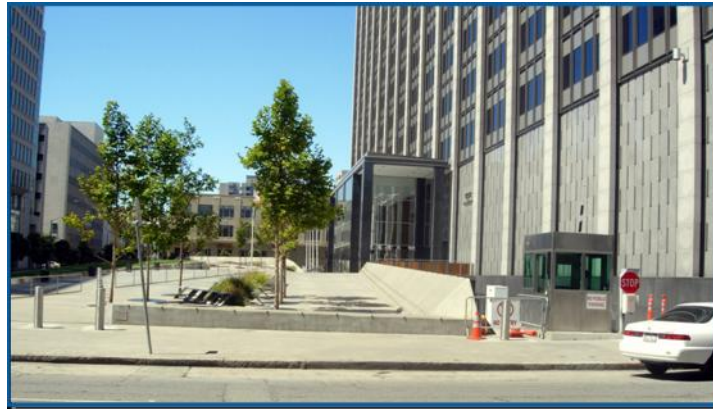
- Treatment of security elements should be compatible with existing elements
- Perimeter barriers can go hand-in-hand with streetscape improvements and plantings
- Appropriate design can blend security into existing streetscape; serving as amenities for tenants and neighbors



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# Second Layer of Defense

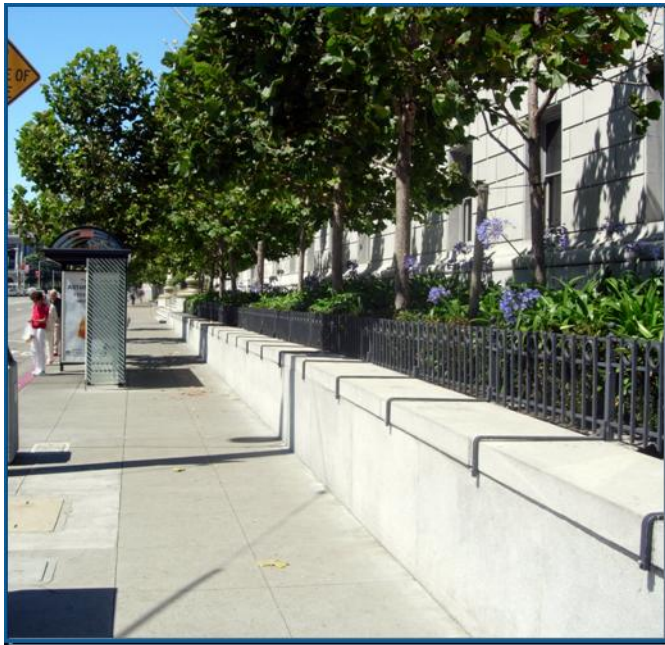
- Buildings with front yards
- Buildings with plazas



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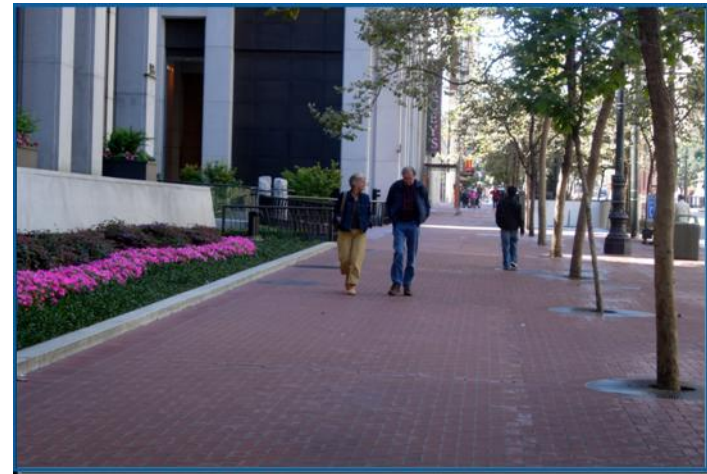
# Second Layer of Defense

## Building Yard



Narrow yard incorporating low stone wall and metal fence

- **Generally small**
- **Usually provided for governmental & institutional buildings**



Small yard with wide pavement that provide some useful stand-off



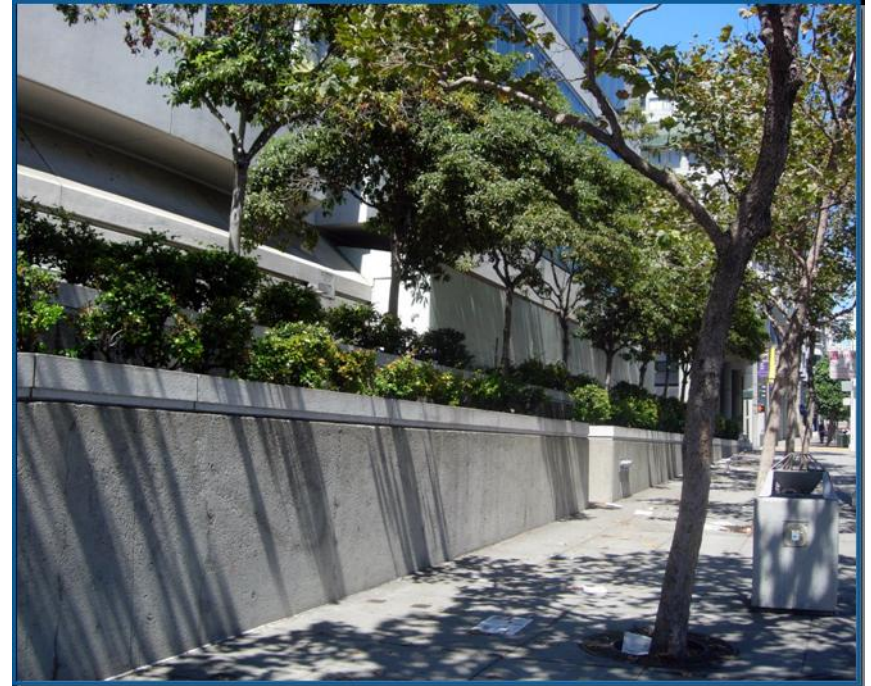
**FEMA**

# Second Layer of Defense

## Building Yard



Low planting makes a moderate barrier



High stepped yard on sloping site make a strong barrier



**FEMA**

# Second Layer of Defense

## Building Yard



Monumental yards make excellent barriers and elements of beautification



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# Second Layer of Defense

## Plaza

- An expanded building yard
- Moved out from the controlled building access
- A developer provided public space
- A well designed plaza can provide visual interest at same time providing good stand-off



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# Second Layer of Defense

## Roadways

- Minimize interruption or closure of street
- Ensure minimal conflict between pedestrian and traffic flow



**FEMA**

# Second Layer of Defense

## Parking

- Restrict parking from the interior of a group of buildings and away from restricted area
- Locate parking within view of occupied buildings
- If possible, design the parking lot with one-way circulation



**FEMA**

Adapted from FEMA 452, Figure 2-4: Layers of Defense, p. 2-5

*BUILDING DESIGN FOR HOMELAND SECURITY* Unit IX-A-41



# Second Layer of Defense

## Parking



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# Second Layer of Defense

## Parking

- Avoid parking too close to the building
- Design of good parking away from the building can avoid the need to harden the building
- Screening of vehicles and pedestrians at building may be necessary



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# Second Layer of Defense

## Parking

- Restrict parking and access between buildings
- Consider one-way circulation in parking lots
- Locate parking within view of occupied buildings
- Restrict parking underneath buildings
- Well-lit, with security presence, emergency communications, and/or CCTV
- Apply progressive collapse hardening to columns when parking garage is in the building



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# Second Layer of Defense

## Parking - Loading Docks

- Avoid trucks parking into or underneath of the buildings
- Keep dumpsters away from buildings
- Separate loading docks from building critical functions
- Design to prevent progressive collapse



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# Second Layer of Defense

## Parking - Loading Docks

- Ensure separation from critical systems, functions, and utility service entrances
- Provide sufficient area for screening vehicles and packages



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# Second Layer of Defense

## Signage

- Unless required, do not identify sensitive areas
- Minimize signs identifying critical utilities
- Warnings signs limiting access to control areas should be posted at all entrances
- Signpost may be hardened and included as part of the perimeter barrier
- The lighting of signage should enhance nighttime safety
- Warning signs should be posted in languages commonly spoken



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# First/Second Layer of Defense

## Security Lighting

High-mast lighting at entry control points

Continuous lighting

- Glare projection
- Controlled lighting (avoid glare)
- Closed circuit television (CCTV)

Standby lighting

Movable lighting

Emergency lighting



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# First Layer of Defense

## Sensors / CCTV

- When stand-off and hardening are not possible, security must rely upon sensors and CCTV
- Look for suspicious vehicles and people, especially those that seem to be profiling your building
- Monitor access to utilities serving the building
- Currently high tech monitoring systems need to be selected and placed by experts



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# Second Layer of Defense

## Site Utilities



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# Second Layer of Defense

## Site Utilities

- Concealed versus exposed
- Underground versus overhead
- Protect/secure versus accessible
- Surveillance if possible



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# Second Layer of Defense

## Site Utilities

Control access to tanks of critical supplies on site

Place public address system/call boxes in parking lots and gathering areas to improve communications with security personnel



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# Best Practices



Treatment of the security elements should be compatible existing elements

Perimeter barriers can be hand-in-hand with streetscape improvements and street planting



Appropriate design can blend security into the existing streetscape and serve as amenities for tenants and neighbors



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# Best Practices



Avoid introducing inappropriate security elements that will make tenants and neighbors feel more vulnerable and can detract from surrounding architecture and streetscape

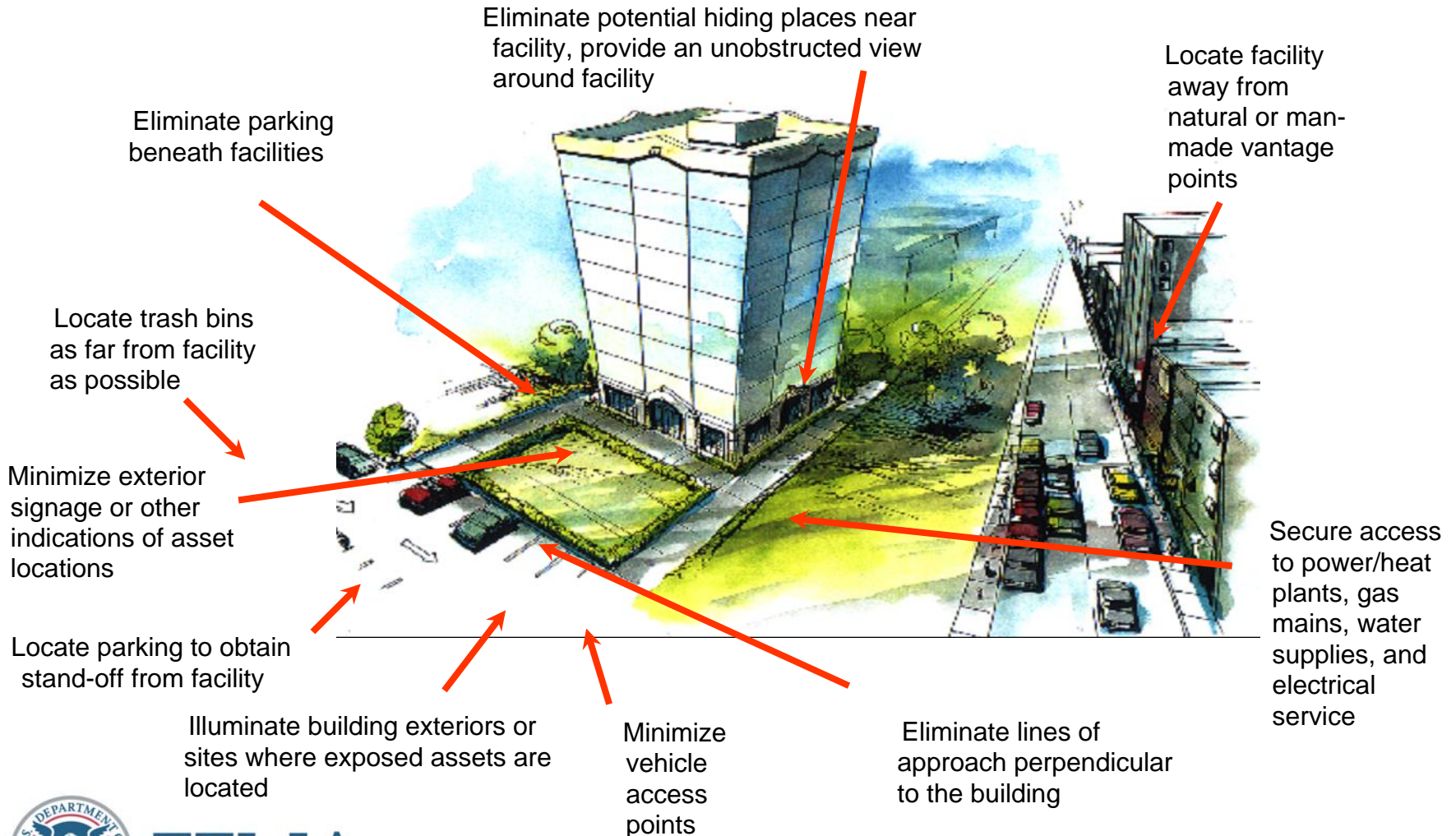


Signage and way-finding should be carefully designed to increase security



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# Best Practices



FEMA

Figure 2-16, Summary of Site Mitigation Measures, p. 2-53

# Unit IX Case Study Activity

## Site and Layout Design Guidance

### Background

FEMA 426, Building Vulnerability Assessment Checklist: screening tool for preliminary design vulnerability assessment

### Requirements: Vulnerability Rating Approach

Assign sections of the checklist to qualified group members

Refer to Case Study and GIS portfolio, and answer worksheet questions

Review results to identify site and layout vulnerabilities and possible mitigation measures



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*BUILDING DESIGN FOR HOMELAND SECURITY*

# Unit X

# Building Design Guidance



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# Unit Objectives

**Explain** architectural considerations to mitigate impacts from blast effects and transmission of chemical, biological, and radiological agents from exterior and interior incidents.

**Identify** key elements of building structural and non-structural systems for mitigation of blast effects.



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## References

FEMA Building Vulnerability Assessment Checklist, Chapter 1, page 1-46, FEMA 426

Building Design Guidance, Chapter 3, FEMA 426

FEMA 430, Primer for Incorporating Building Security Components in Architectural Design

# Unit Objectives (cont.)

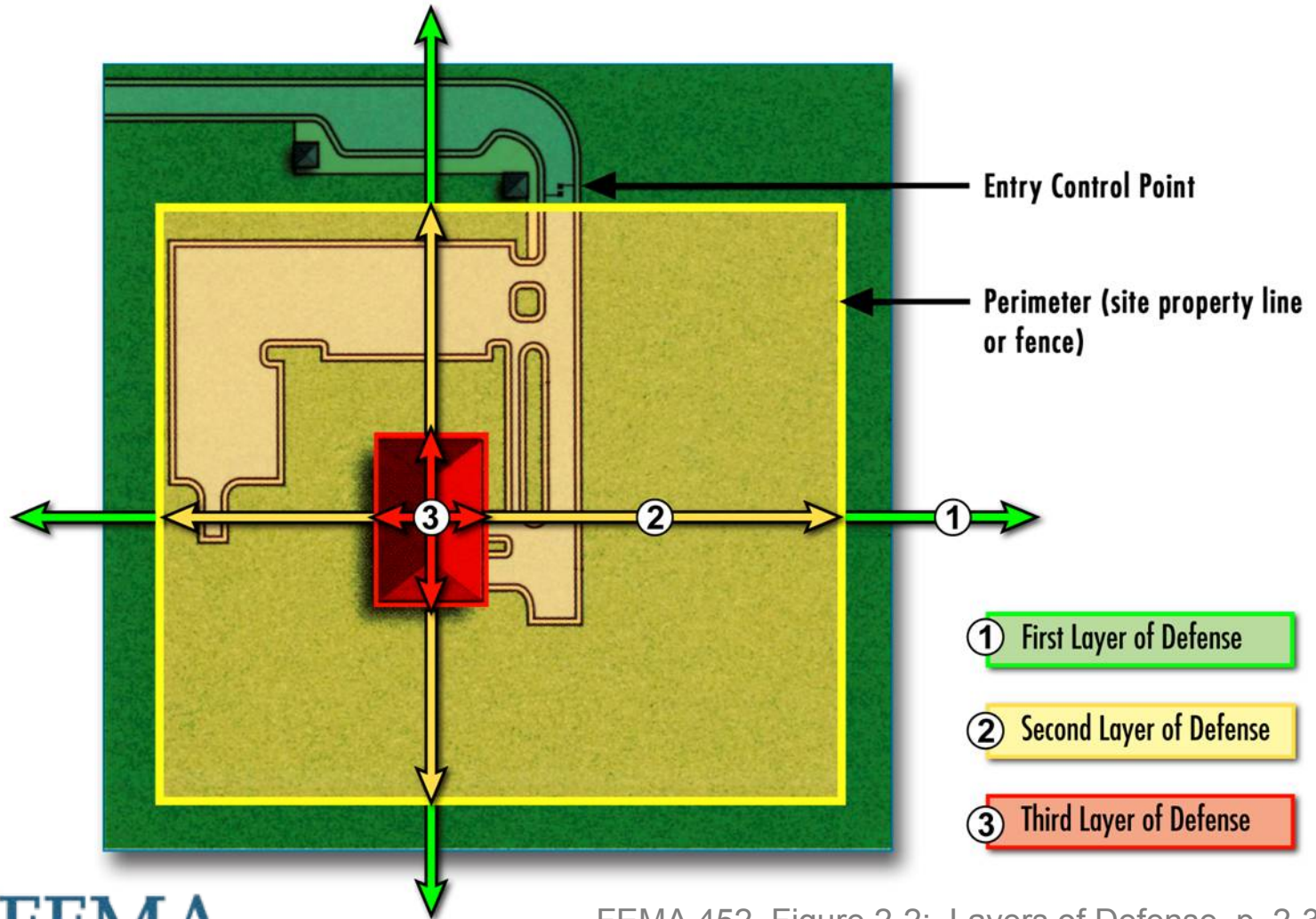
**Compare** and contrast the benefit of building envelope, mechanical system, electrical system, fire protection system, and communication system mitigation measures, including synergies and conflicts.

**Apply** these concepts to an existing building or building conceptual design and identify mitigation measures needed to reduce vulnerabilities.



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# Layers of Defense



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FEMA 452, Figure 2-2: Layers of Defense, p. 2-3

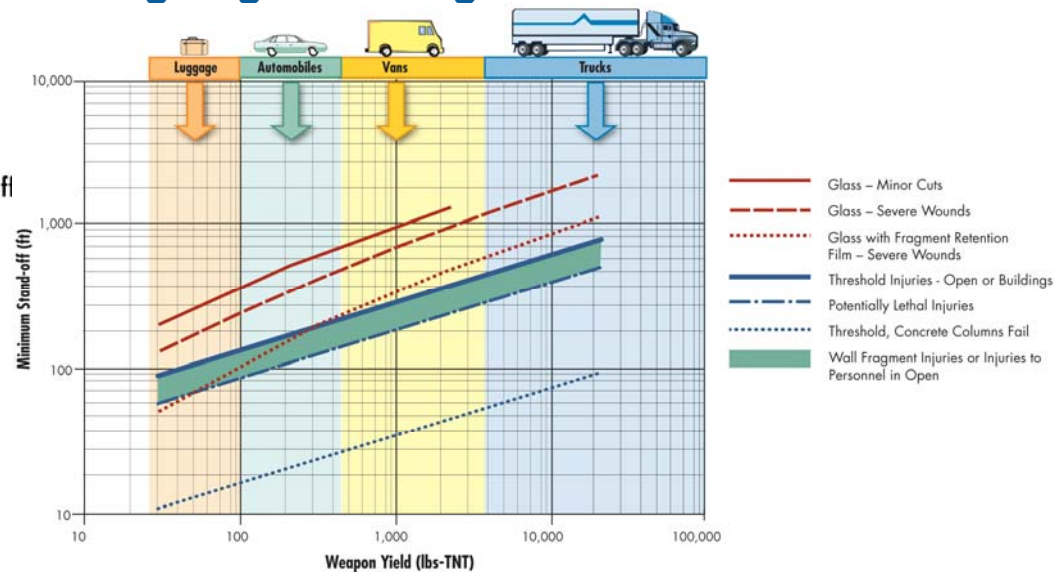
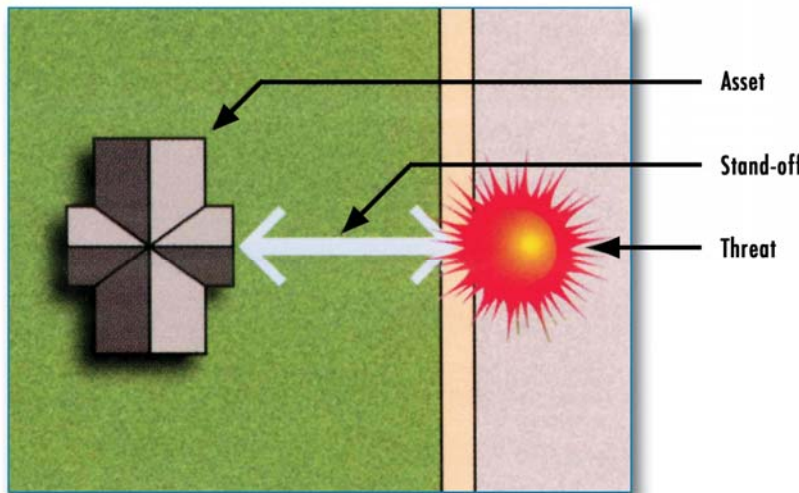
BUILDING DESIGN FOR HOMELAND SECURITY

Unit X-4

# Third Layer of Defense

**Stand-off Distance – primary impact on design and construction of building envelope and structure against design basis threat (explosives)**

To protect against unauthorized vehicles approaching target buildings



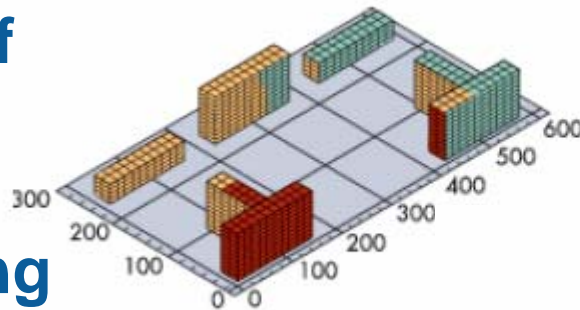
FEMA

FEMA 426, Figure 2-8: Concept of stand-off distance, p. 2-22 (left)  
FEMA 426, Figure 4-5: Explosive blast range to effects, p. 4-11 (right)

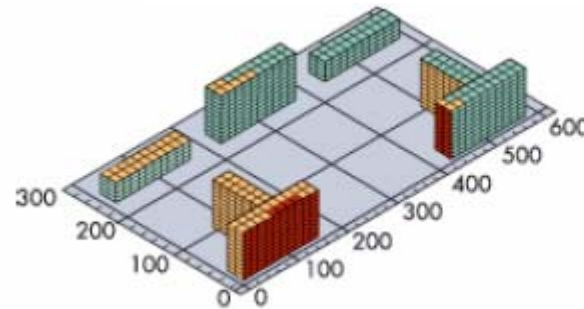
# Third Layer of Defense

## Stand-off versus Given Hardening

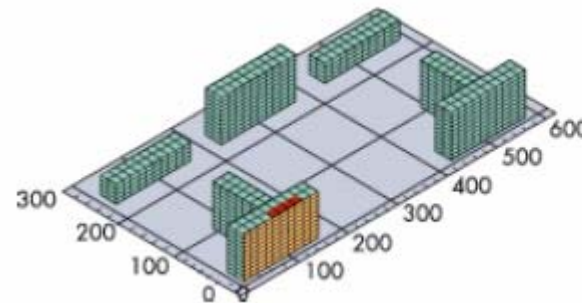
- Red – Very severe damage, possible collapse
- Yellow – Very unreparable structural damage
- Green – Moderate repairable structural damage



**Detonation at 80 feet**



**Detonation at  
171 feet**



**Detonation at  
400 feet**



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FEMA 426, Figure 4-9: Stand-off distance versus blast impact  
– Khobar Towers, p. 4-15

# Third Layer of Defense

## Hardening

Less stand-off requires

- More mass
- More steel
- Thicker and stronger glass
- Better door and window frame connection to building/wall



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# Third Layer of Defense

Layers of Defense	Architecture	Structural Systems	Building Envelope	Utility Systems	Mechanical & Electrical Sys	Plumbing & Gas Systems	Fire Alarm Systems	Comm - Info Technology Sys	Equipment Ops & Maint	Security Systems
First Layer										
Second Layer										
Third Layer										



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# Third Layer of Defense

When hardening a building, the following should be considered:

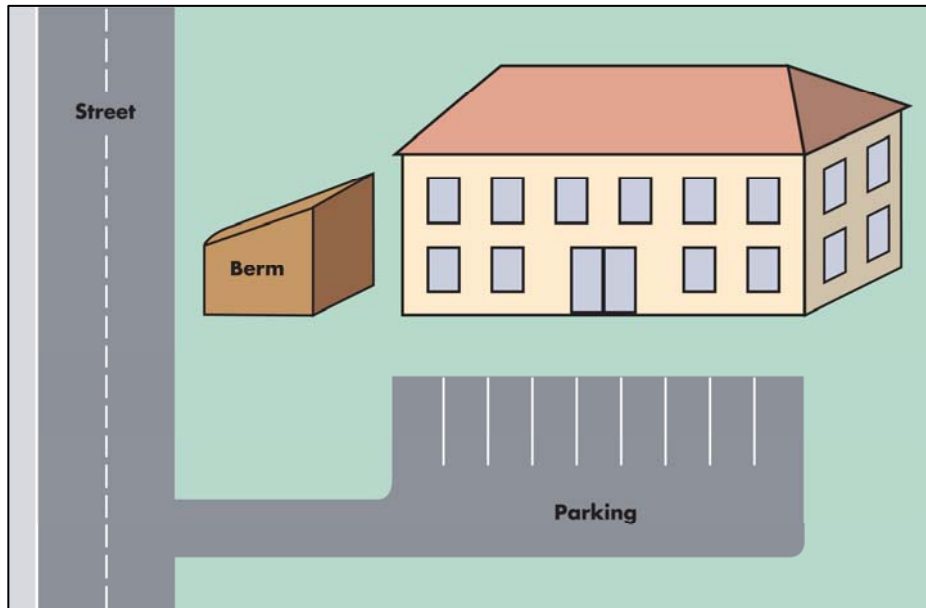
- Progressive collapse
- Appropriate security systems
- Hardening the building envelope
- Appropriate HVAC systems to mitigate CBR
- Hardening the remaining structure
- Hardening and location of utilities



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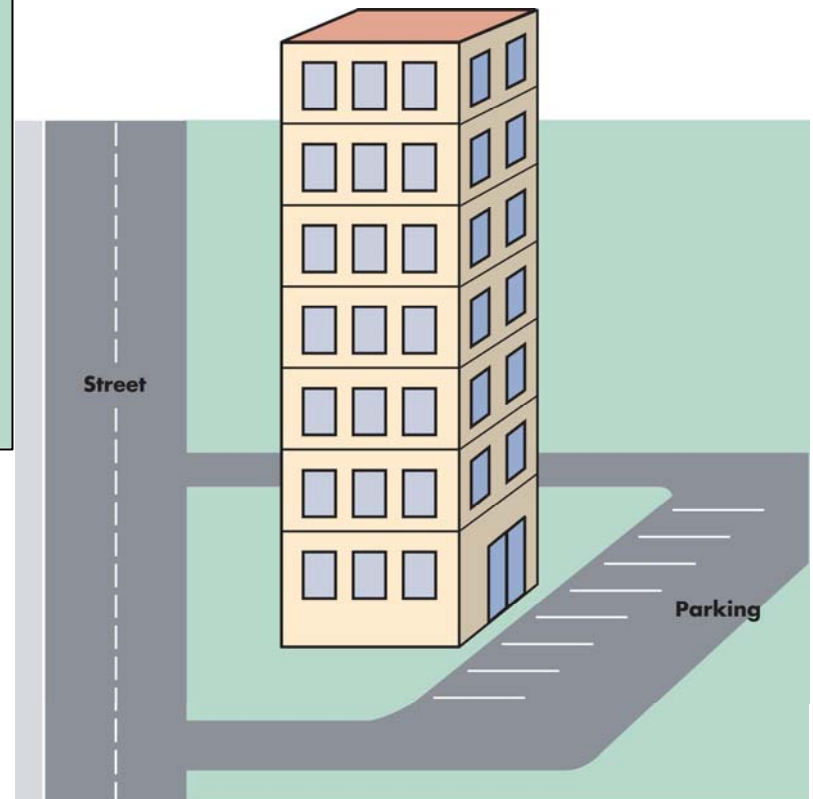


# Architecture – Building Configuration



**Low, Large Footprint**

**Tall, Small Footprint**



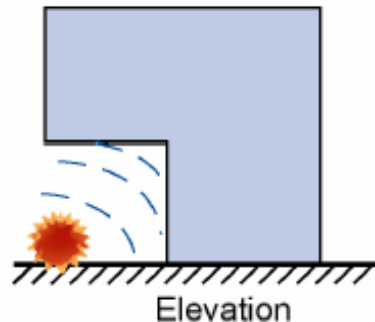
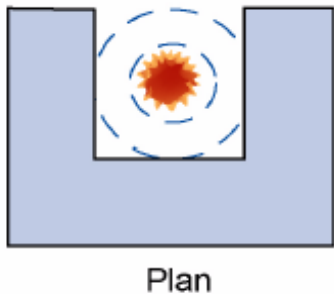
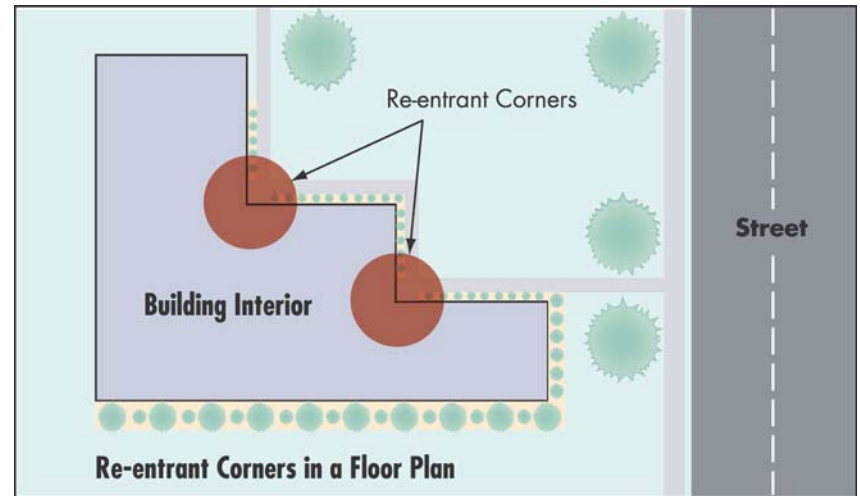
**FEMA**

# Architecture – Building Configuration

Rectangular versus  
“U”, “L” or “E”

Avoid re-entrant corners

Flush face versus eaves  
and overhangs



**Shapes That  
Accentuate Blast**

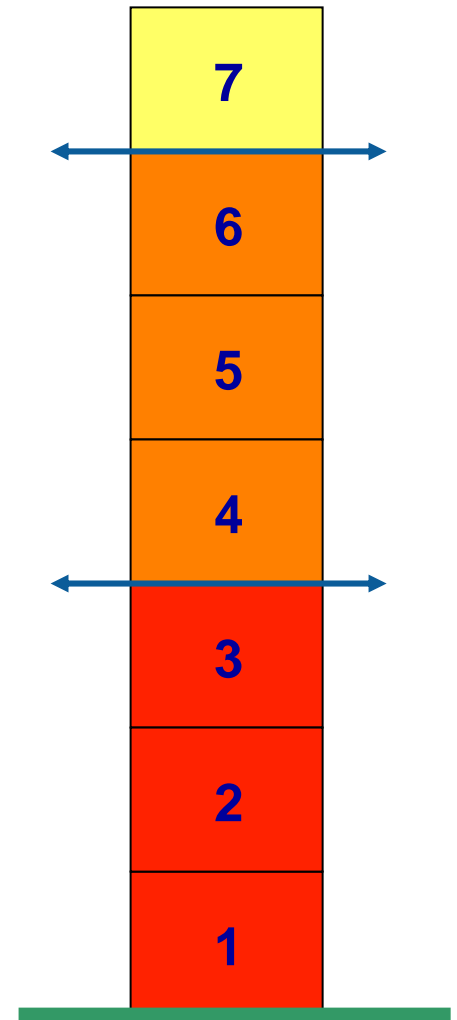


FEMA 426, Figure 3-2: Re-entrant corners in a floor plan, p. 3-6  
FEMA 427, Figure 6-3: Effects of building shape vs. air blast, p. 6-9

# Architecture – Building Configuration

## Hardening – Story height vs Stand-off

- Hardening of first three floors is critical as these take brunt of blast
- At third through sixth floor, hardening can be reduced due to reflection angle
- Above the sixth floor, conventional construction may be sufficient depending upon design threat and reflections off adjacent buildings



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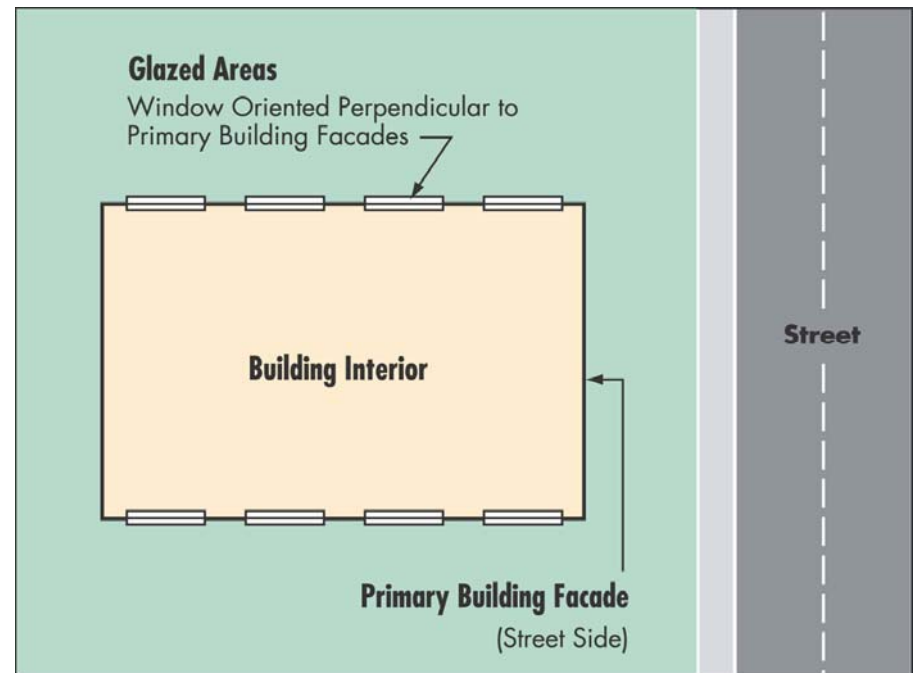
# Architecture – Building Configuration

Ground floor elevation 4 feet above grade

Orient glazing perpendicular to principal threat direction

Avoid exposed structural elements

Pitched roofs and pitched window sills



FEMA 426, Figure 3-1: Glazed areas perpendicularly oriented away from streets, p. 3-5

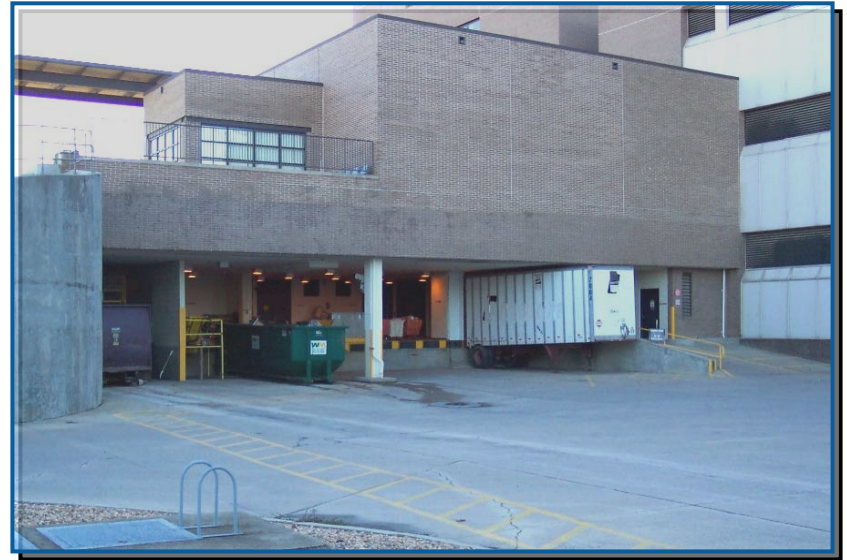


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# Architecture – Building Configuration

## Loading Docks

- Avoid trucks parking in or underneath buildings
- Design to prevent progressive collapse
- Ensure separation from critical systems, functions, and utility service entrances
- Separate loading docks from building critical functions



- Provide sufficient area for screening vehicles and packages
- Keep dumpsters away from buildings



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# Architecture – Building Configuration

## Parking Considerations



- Garage elevators service garage only to unsecured zone of lobby

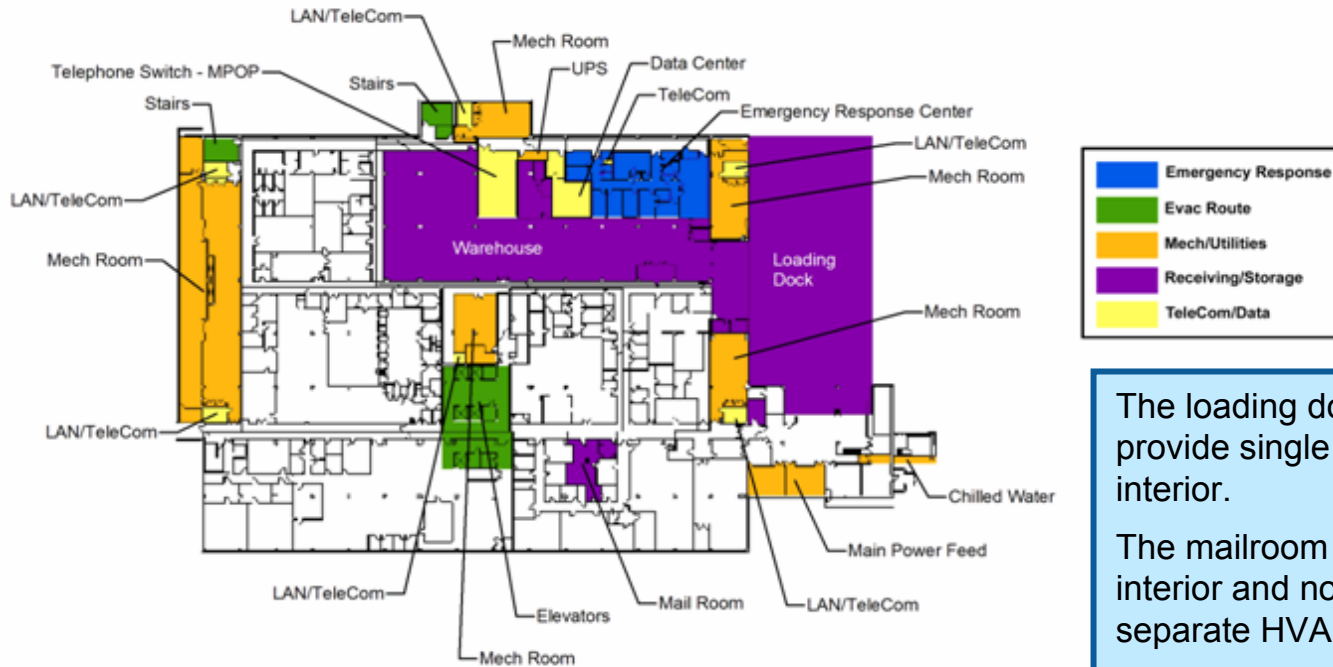


- Restrict parking underneath buildings
- Well-lit, security presence, emergency communications, and/or CCTV
- Apply progressive collapse hardening to columns when parking garage is in building



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# Architecture – Space Design



The loading dock and warehouse provide single point of entry to the interior.

The mailroom is located within the interior and not on exterior wall or separate HVAC system.

The telecom switch and computer data center are adjacent to the warehouse.

The trash dumpster and emergency generator are located adjacent to the loading dock.



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FEMA 426, Figure 1-10: Non-redundant critical functions collocated near loading dock, p. 1-41

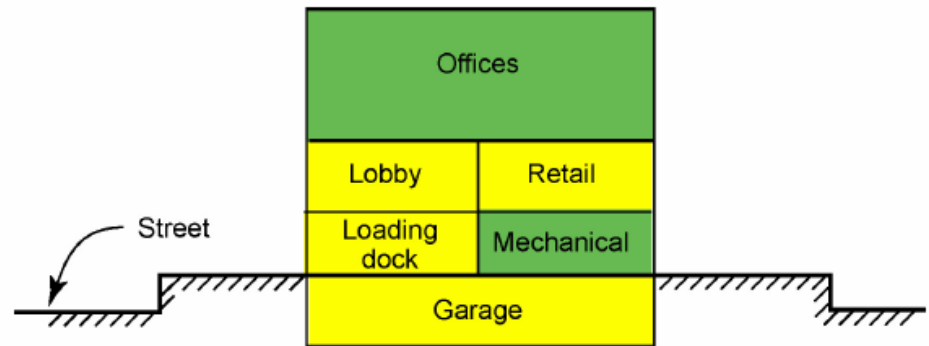
# Architecture – Space Design

Place unsecured or high risk areas outside building footprint

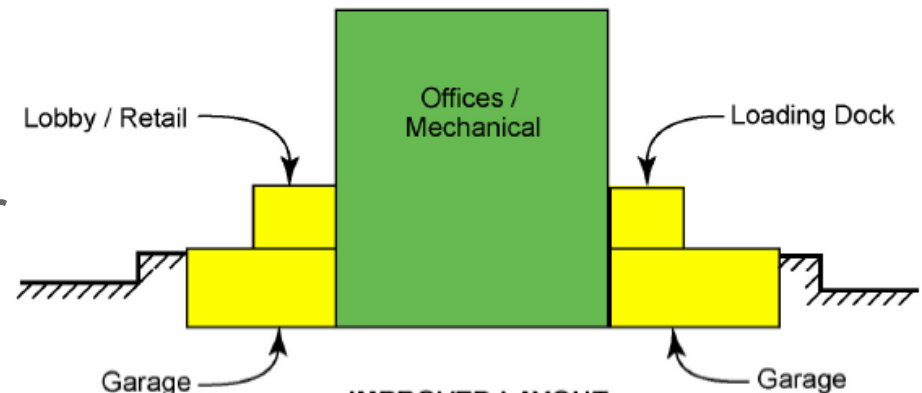
Do not mix high risk and low risk tenants in same building

Locate critical assets into interior of building

Separate areas of high visitor activity (unsecured) from critical assets



ORIGINAL LAYOUT



IMPROVED LAYOUT

FEMA 427, Figure 6-4: Improving layout of adjacent unsecured and secured areas, p. 6-10



FEMA



# Architecture – Space Design

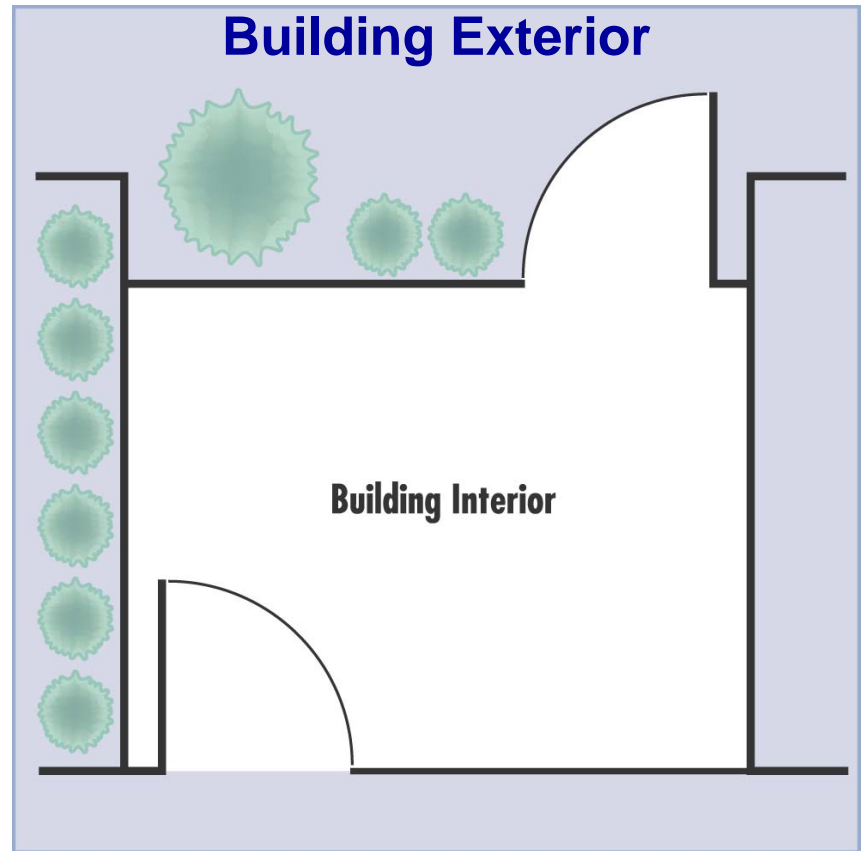
Eliminate hiding places

Interior barriers

Offset doorways

Minimize glazing, particularly interior glazing near high-risk areas

Lobby with security procedures configured to contain incidents (blast, CBR, armed attack)



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FEMA 426, Figure 3-3: Offset doors through foyer, p. 3-7

*BUILDING DESIGN FOR HOMELAND SECURITY*

Unit X-18

# Architecture – Other Location Concerns

- Safe havens / shelters
- Office locations
- Public toilets and service areas
- Retail spaces
- Stairwells
- Mailroom



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# Structural Systems

## Progressive Collapse Design

GSA Progressive Collapse Analysis and Design Guidance for New Federal Office Buildings and Major Modernization Projects

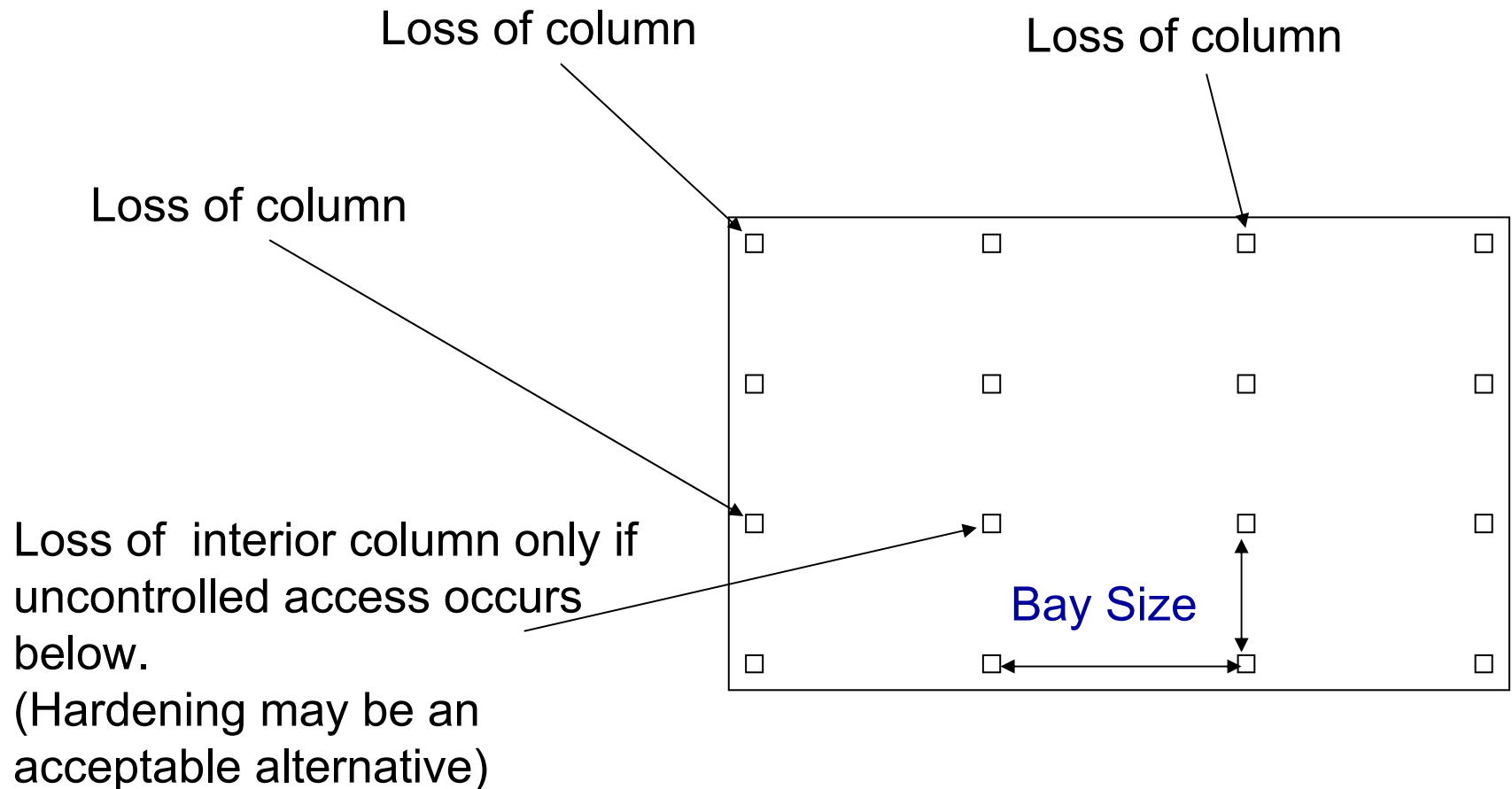
DoD Unified Facilities Criteria - Minimum Antiterrorism Standards for Buildings



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# Structural Systems

## Progressive Collapse Concept



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**BUILDING PLAN**

# Structural Systems -- Collapse

GSA and DoD criteria do not provide specific guidance for an engineering structural response model

These organizations are working toward Interagency Security Committee consolidated guidance

Owner and design team should decide how much progressive collapse analysis and mitigation to incorporate into design.

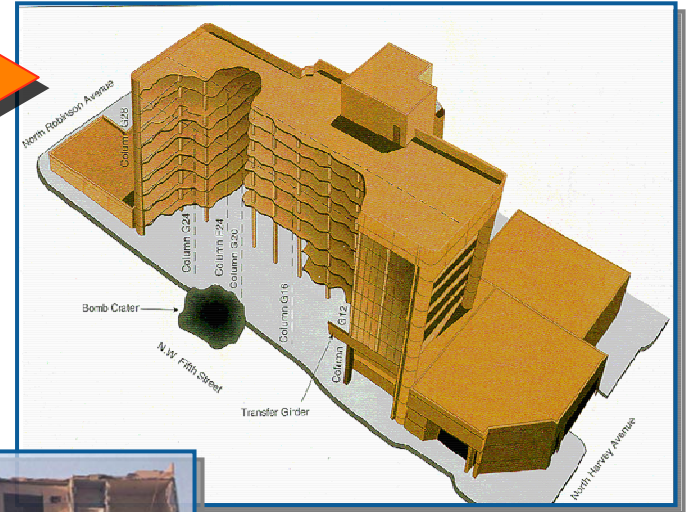


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# Structural Systems -- Loads and Stresses



Murrah Federal Building,  
Oklahoma City



Ronan Point,  
London



Khobar Towers,  
Dhahran



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# Structural Systems – Best Practices

Consider incorporating active or passive internal damping into structural system (sway reduction in high-rise)

Use symmetric reinforcement, recognizing components might act in directions opposite to original or standard design – flooring especially

Column spacing should be minimized ( $\leq 30$  feet)



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# Structural Systems – Best Practices (cont.)

Stagger lap splices and other discontinuities and ensure full development of reinforcement capacity or replace with more flexible connections – floors to columns especially

Protect primary load carrying members with architectural features that provide 6 inches minimum of stand-off

Use ductile detailing requirements for seismic design when possible



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# Building Envelope

During actual blast or CBR event, building envelope provides some level of protection for people inside:

- Walls
- Windows
- Doors
- Roofs

Soil can be highly effective in reducing damage during an explosive event

Minimize “ornamentation” that may become flying debris in an explosion.



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# Building Envelope – Walls

Design should ensure a flexible failure mode

Resist actual pressures and impulses acting on exterior wall surfaces from design basis threats

Withstand dynamic reactions from windows and windows stay connected to walls

Use multiple barrier materials and construction techniques – composites can add ductility and strength at savings

As desired Level of Protection increases, additional mass and reinforcement may be required



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# Building Envelope – Best Wall Practices

Use symmetric reinforcement, recognizing that components might act in directions opposite to original or standard design

- Lobbies and mailrooms

Use wire mesh in plaster – reduces spalling / fragmentation

Floor to floor heights should be minimized ( $\leq 16$  feet)



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# Building Envelope – Best Wall Practices (cont.)

Connect façade from floor slab to floor slab to avoid attachments to columns (one-way wall elements)

- Limits forces transferred to vertical structural elements

No unreinforced CMU – use fully grouted and reinforced construction



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# Building Envelope – Windows

Balanced Window Design

Glass strength

Glass connection to window frame (bite)

Frame strength

Frame anchoring to building

Frame and building interaction



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# Building Envelope – Windows

## Glass (weakest to strongest)

- Annealed (shards)
- Heat Strengthened (shards)
- Fully Thermally Tempered (pellets)
- Laminated (large pieces)
- Polycarbonate (bullet-resistant)



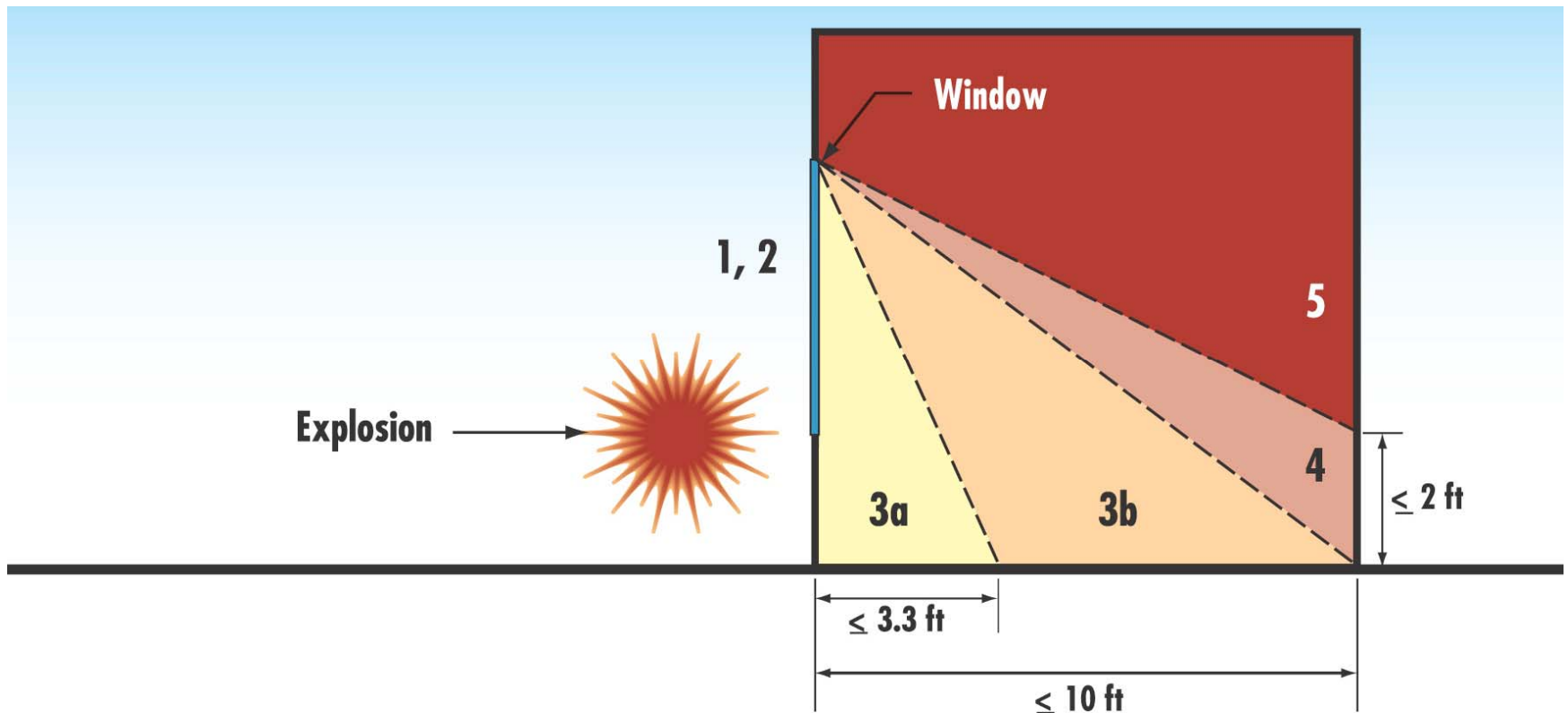
**“Balanced Design”**



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# Building Envelope – Windows

## GSA Glazing Performance Conditions



FEMA 426, Figure 3-4: Side view of a test structure illustrating performance conditions of Table 3-2, p. 3-22



FEMA

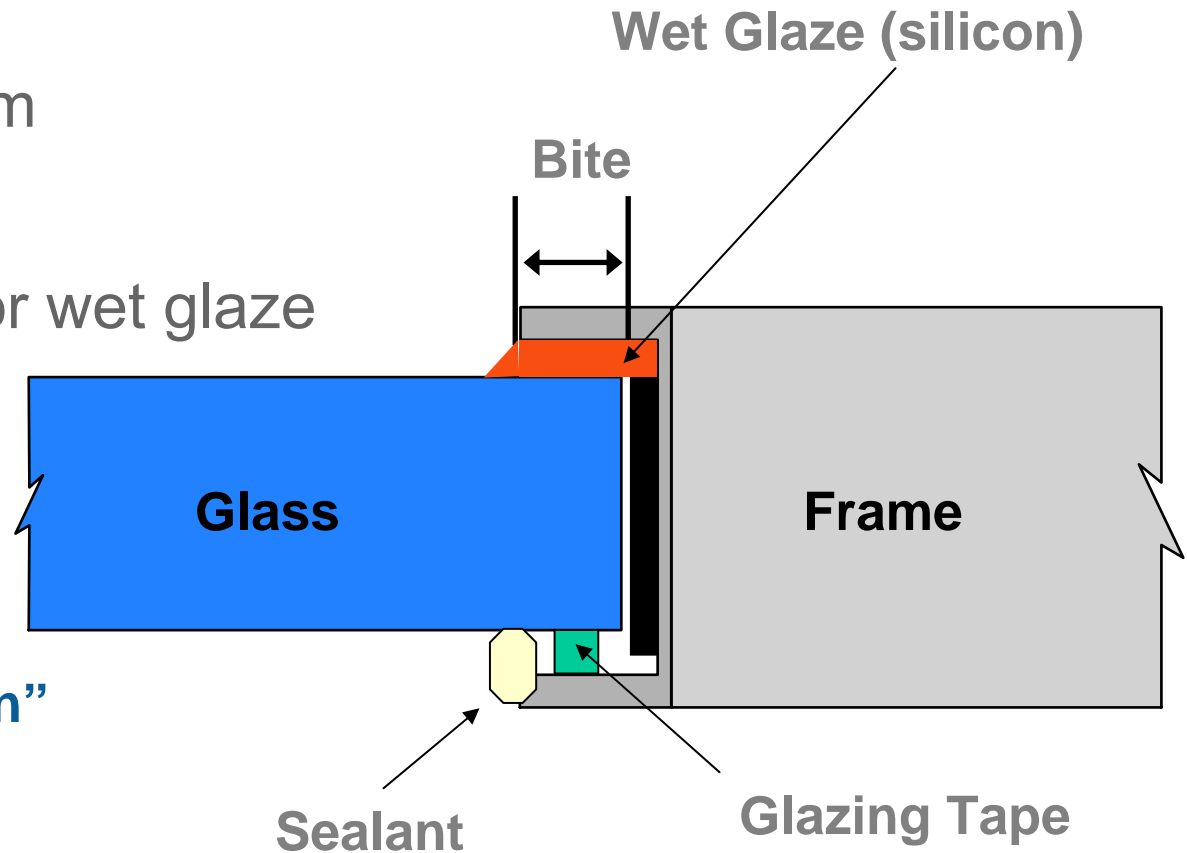
# Building Envelope - Window Frames

Goal: transfer load from glass to frame and retain glass in frame

Bite: 1/2 inch minimum

Structural sealant:  
1/4 inch bead or wet glaze

“Balanced Design”



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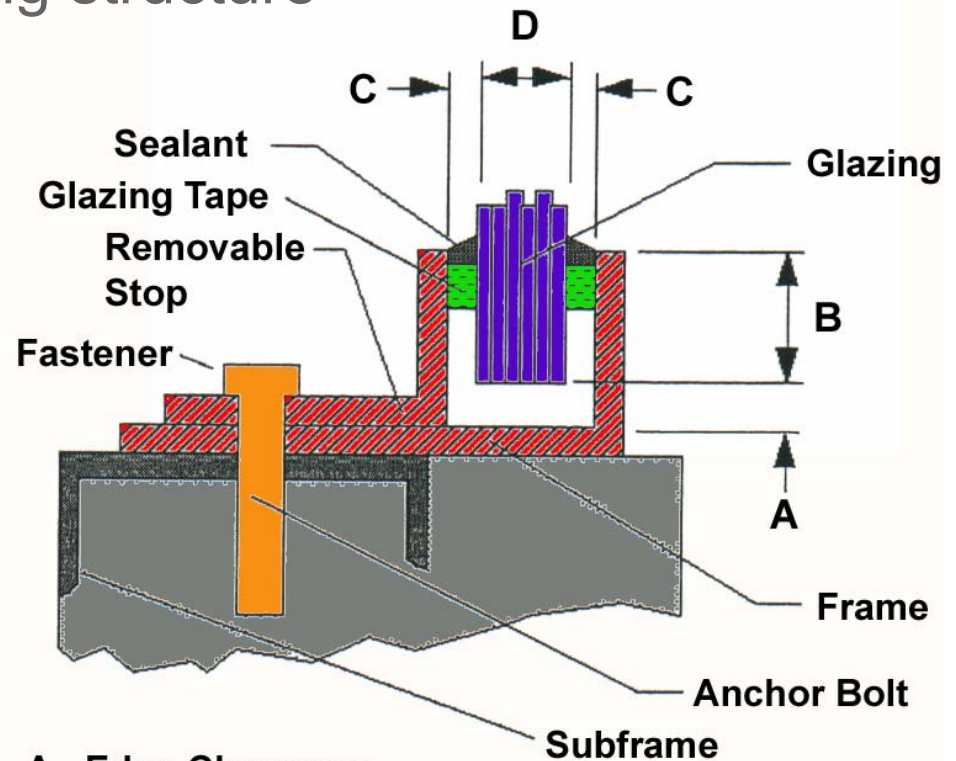


# Building Envelope - Window Frames

Goal: transfer load to building structure

Balanced strength:  
glass, frame,  
and connection  
of frame to wall

“Balanced Design”



- A - Edge Clearance
- B - Bite-edge Engagement
- C - Face Clearance
- D - Glazing Thickness



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# Building Envelope - Fragment Retention Film

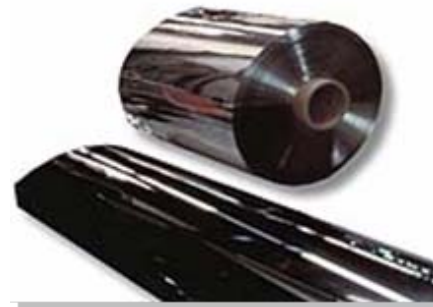
Clear tough polyester film attached to inside of glass surface with strong pressure-sensitive adhesive

Also known as shatter-resistant film, safety film, or protective film

Relatively low installation costs

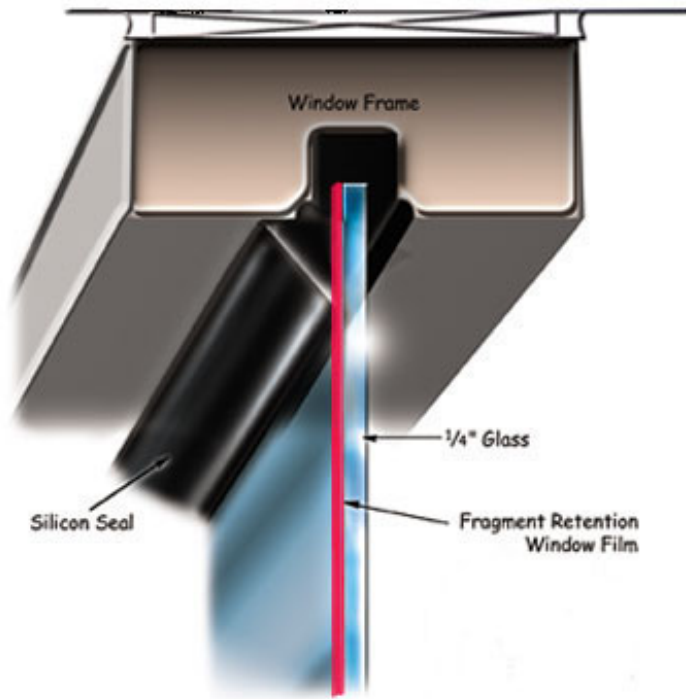
Level of protection varies with thickness of film and method of installation

Limited life for FRF

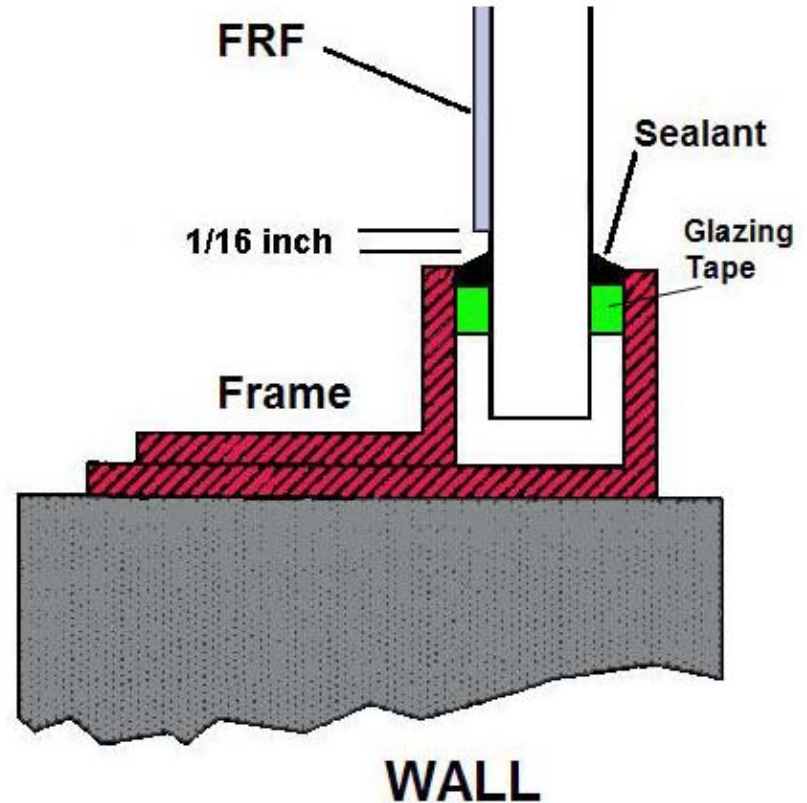


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# Building Envelope - Fragment Retention Film



“Wet Glazing” (edge to edge)



“Daylight Application”



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# Building Envelope - Blast Curtains

Invented by British during  
WW II

Kevlar curtains

Allow venting of blast  
wave while “catching”  
fragments

May be augmented with  
FRF



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# Building Envelope - Catch Bar

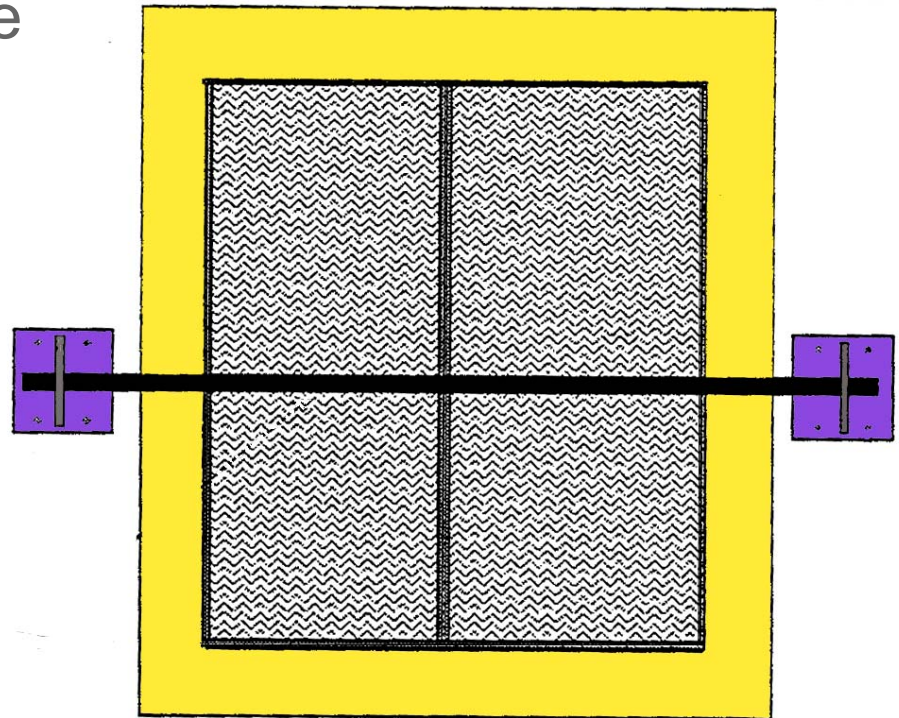
Must be centered on window and window panes

FRF must be thick enough to hold the fragments ( $\geq 7$  mil)

Laminated glass should have  
60 mil interlayer



**Plan View**



FEMA 427, Figure 6-7: Safe laminated glass systems and failure modes, p. 6-29



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# Building Envelope – Best Window Practices

No windows adjacent to doors

Minimize number and size of windows - watch building code requirements

Laminated glass for high-occupancy buildings

Stationary, non-operating windows, but operable window may be needed by building code

Steel versus aluminum window framing



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# Building Envelope – Doors

Balanced strength

- Door
- Frame
- Anchorage to building

Hollow steel doors or steel-clad doors

Steel door frames

Blast-resistant doors available

- Generally heavy
- Generally expensive



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# Building Envelope – Roofs

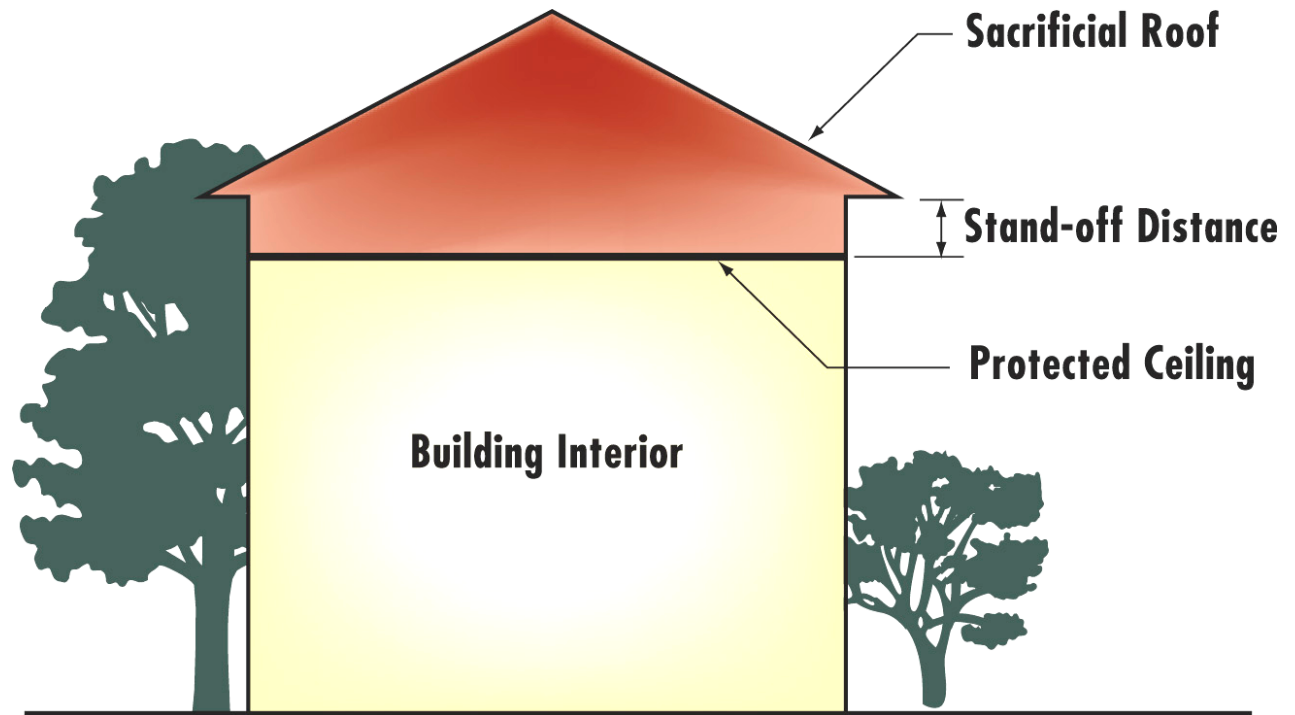
Preferred – poured in place reinforced concrete

Lower protection – steel framing with concrete and metal deck slab

Sloped sacrificial roof over protected roof/ceiling

Sandbags or dirt layer

Restrict access to roof



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# Utility Systems

## Building Service

- Electric – commercial and backup
- Domestic water
- Fire protection water
- Fuel – coal, oil, natural gas, or other
- Steam heat with or without condensate return
- Hot water heat



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# Utility Systems

## Building Service (cont)

- Sewer – piping and sewage lift stations
- Storm drainage
- Information
- Communications
- Fire alarm
- Security systems and alarms



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# Utility Systems

## Entrances

- Proximity to each other
- Aboveground or underground
- Accessible or secure

## Delivery capacity

- Separate
- Aggregate

## Storage capacity

- Outage duration
- Planned or historical



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# Mechanical & Electrical Systems

Functional layout – physical separation or hardening

Structural layout – systems installation

Do not mount utility equipment or fixtures on exterior walls or mailrooms

Avoid hanging utility equipment and fixtures from roof slab or ceiling



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# Mechanical & Electrical Systems

Overhead components, architectural features, and other fixtures > 14 kilograms (31 pounds), especially in occupied spaces

- Mount to resist forces  $0.5 \times W$  in any direction and  $1.5 \times W$  in downward direction (DoD Unified Facilities Criteria)
- Plus any seismic requirements



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# Mechanical & Electrical Systems

## Distribution within building

- Looped or multiple radial versus single radial
- Pipe chases – horizontal and vertical – cross impacts

## Normal and emergency equipment locations

- Generators versus commercial switchboard or transfer switch
- Electric fire pumps versus diesel fire pumps



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# Mechanical & Electrical Systems

Restrict access - locks / alarms / surveillance

- Utility floors / levels
- Rooms
- Closets
- Roofs
- Security locks/interlocks comply with building code
- Building information
- Also consider for other systems



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# Mechanical & Electrical Systems

Building lighting and CCTV compatibility

- Intensity
- Resolution
- Angle
- Color

Exit lighting – consider floor level, like airplanes

Emergency lighting – battery packs have their place



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# Mechanical & Electrical Systems

## Ventilation and Filtration – HVAC Control Options

- Building specific
- System shutdown – configuration and access
  - HVAC fans and dampers
  - Include 24/7 exhausts, i.e. restrooms
- Zone pressurization
  - Doors and elevator use
  - Shelter-in-place



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# Mechanical & Electrical Systems

## Ventilation and Filtration – HVAC Control Options

- Specialized exhaust for some areas – i.e., lobbies and mailrooms
  - Air purge (e.g., 100 percent outside air if internal release)
  - CBR filters to trap and prevent spread elsewhere
- Pressurized egress routes (may already exist)
  - Filtered air supply or shutdown if release external



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# Plumbing and Gas Systems

Same considerations as electrical and mechanical systems

Added concern is fuel distribution

- Heating sources / open flames / fuel load

Interaction with other systems during an incident

- Fuel versus alarms / electric / fire protection water / structure
- Water versus electronic / electric



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# Fire Alarm Systems

Considerations similar to information and communications systems, but tighter building codes

- Centralized or localized
- Fire alarm panel access for responding fire fighters or fire control center
- Interaction with other building systems
  - Telephone / IT
  - Energy management
  - HVAC controls
- Off-premises reporting and when



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# Communications - Information Technology Systems

Looped versus radial distribution

Redundancy

- Landline, security, fire watch
  - Copper
  - Fiber optics
- Cell phones (voice, walkie-talkie, text)
- Handheld radios / repeaters
- Radio telemetry / microwave links
- Satellite



Mass notification

- Loud speakers
- Telephone hands-off speaker
- Computer pop-up
- Pager



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# Communications - Information Technology Systems (cont.)

## Empty conduits

- Future growth
- Speed repair

## Battery and backup power for IT

- Hubs, switches, servers, switchboards, MW links, etc.
- VOIP, building ops, alarms, etc.

## Fire stopping in conduits between floors



Secure dedicated lines between critical security functions

Backup control center with same capability as primary



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# Equipment Operations and Maintenance

## Preventive Maintenance and Procedures

- Drawings indicating locations and capacities are current?
- Maintenance critical to keep systems operational
  - Critical systems air balanced and pressurization monitored regularly?
  - Periodic recommissioning of major systems?
- Regularly test strategic equipment
  - Sensors, backup equipment and lighting, alarms, and procedures tested regularly to ensure operation when needed?
  - Backup systems periodically tested under worst case loadings?



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# Equipment Operations and Maintenance

## Maintenance Staff Training

- System upgrades will require new training
- Specific instructions for CBR event (internal vs external release)
- Systems accessible for adjustment, maintenance, and testing



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# Security Systems

## Electronic Security Systems

Purpose is to improve the reliability and effectiveness of life safety systems, security systems, and building functions.

- Detection
- Access control
- Duress alarms
- Primary and backup control centers – same procedures



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# Security Systems

## Entry Control Stations

Channel visitors entering building to access control in lobby

Signs should assist in controlling authorized entry

Have sufficient lobby space for security measures (current or future)

Avoid extensive queuing, especially outside building

Proper lighting, especially if manned 24 hours/ day

Hardened against attack based upon security needs



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# Security Systems

## Emergency Plans

All buildings should have current plans

- Building evacuation with signage & emergency lighting
- Accountability – rally points, call-in
- Incorporate CBR scenarios into plans
  - General occupant actions
  - Response staff actions – HVAC and control centers

Exercise the plans to ensure they work

- Coordinate with local emergency response personnel
- Test all aspects



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# Practical Applications

What can be done with a reasonable level of effort?

End of Chapter 3, FEMA 426 listing of mitigation measures

- Less protection, less cost, with less effort
- Greater protection, greater cost, at greater effort



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# Building Materials: General Guidance

All building materials and types acceptable under building codes are allowed.

Special consideration should be given to materials having inherent flexibility and ability to respond to load reversals.

Careful detailing is required for materials (such as pre-stressed concrete, pre-cast concrete, and masonry) to adequately respond to design loads.

Construction type selected must meet all performance criteria of specified protection level.



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# Desired Building Protection Level

## Component design based on:

Design Basis Threat

Threat Independent approach

Level of Protection sought

Leverage natural hazards design/retrofit

Incorporate security design as part of normal capital or O&M program

Use existing tools/techniques, but augment with new standards/guidelines/codes



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# Summary

## Building Design Guidance and Mitigation Options

Using the FEMA 426 Checklist will help identify vulnerabilities and provide recommended mitigation options.

There are many methods to mitigate each vulnerability.

Relatively low cost mitigations significantly reduce risk.



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# Unit X Case Study Activity

## Building Design Guidance and Mitigation Measures Background

Emphasis:

- Providing a balanced building envelope that is a defensive layer against the terrorist tactic of interest
- Avoiding situations where one incident affects more than one building system

FEMA 426, Building Vulnerability Assessment Checklist

## Requirements

Assign sections of the checklist to qualified group members

Refer to Case Study, and answer worksheet questions

Review results to identify vulnerabilities and possible mitigation measures



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*BUILDING DESIGN FOR HOMELAND SECURITY*

# Unit XI

# Electronic Security Systems



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# Unit Objectives

**Explain** the basis concepts of electronic security system components, their capabilities, and their interaction with other systems.

**Describe** the electronic security system concepts and practices that warrant special attention to enhance public safety.

**Use** the Building Vulnerability Assessment Checklist to identify electronic security system requirements that can mitigate vulnerabilities.

**Justify** selection of electronic security systems to mitigate vulnerabilities.



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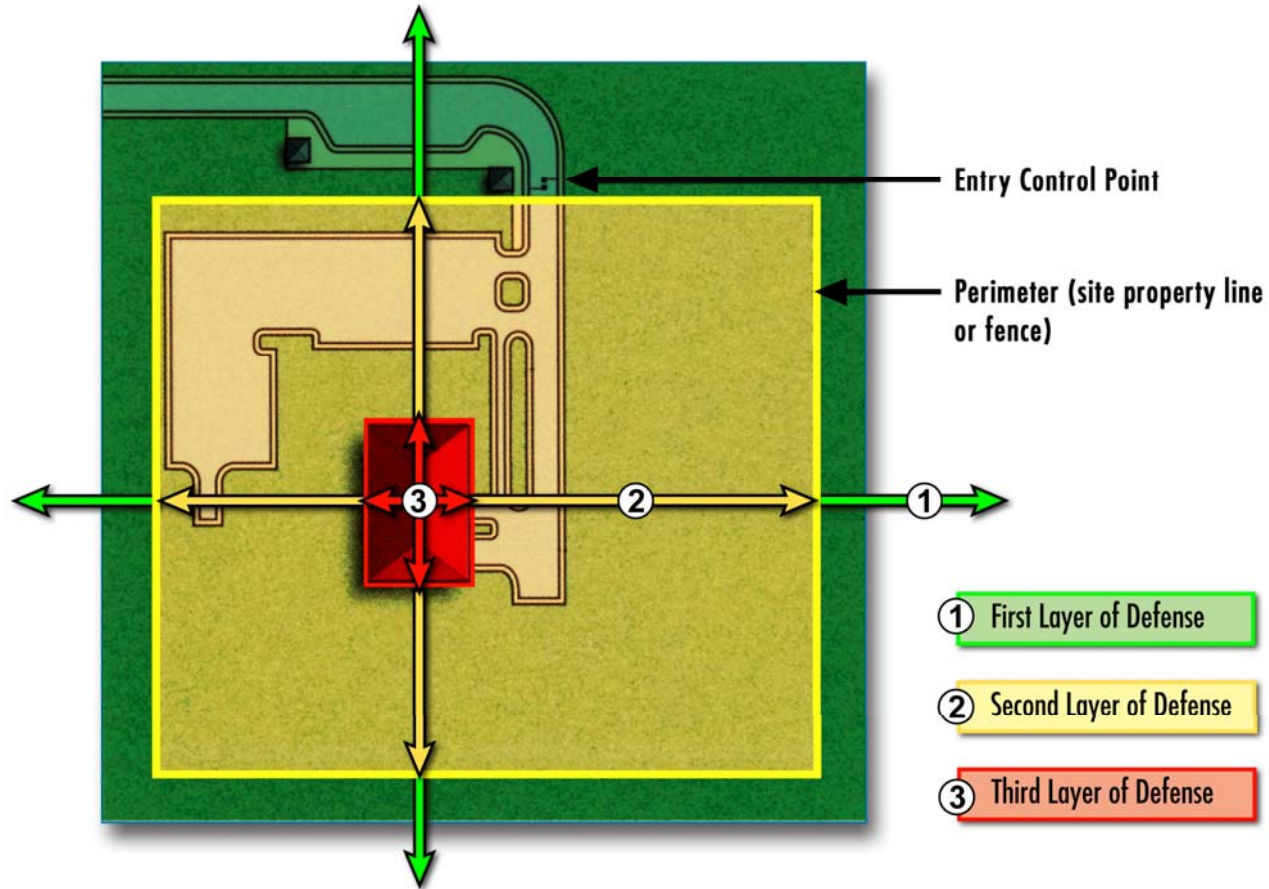
# Electronic Security System (ESS) Concepts

- Basic concepts of site security systems
- Use of ESS
- General ESS Description
- ESS Design Considerations



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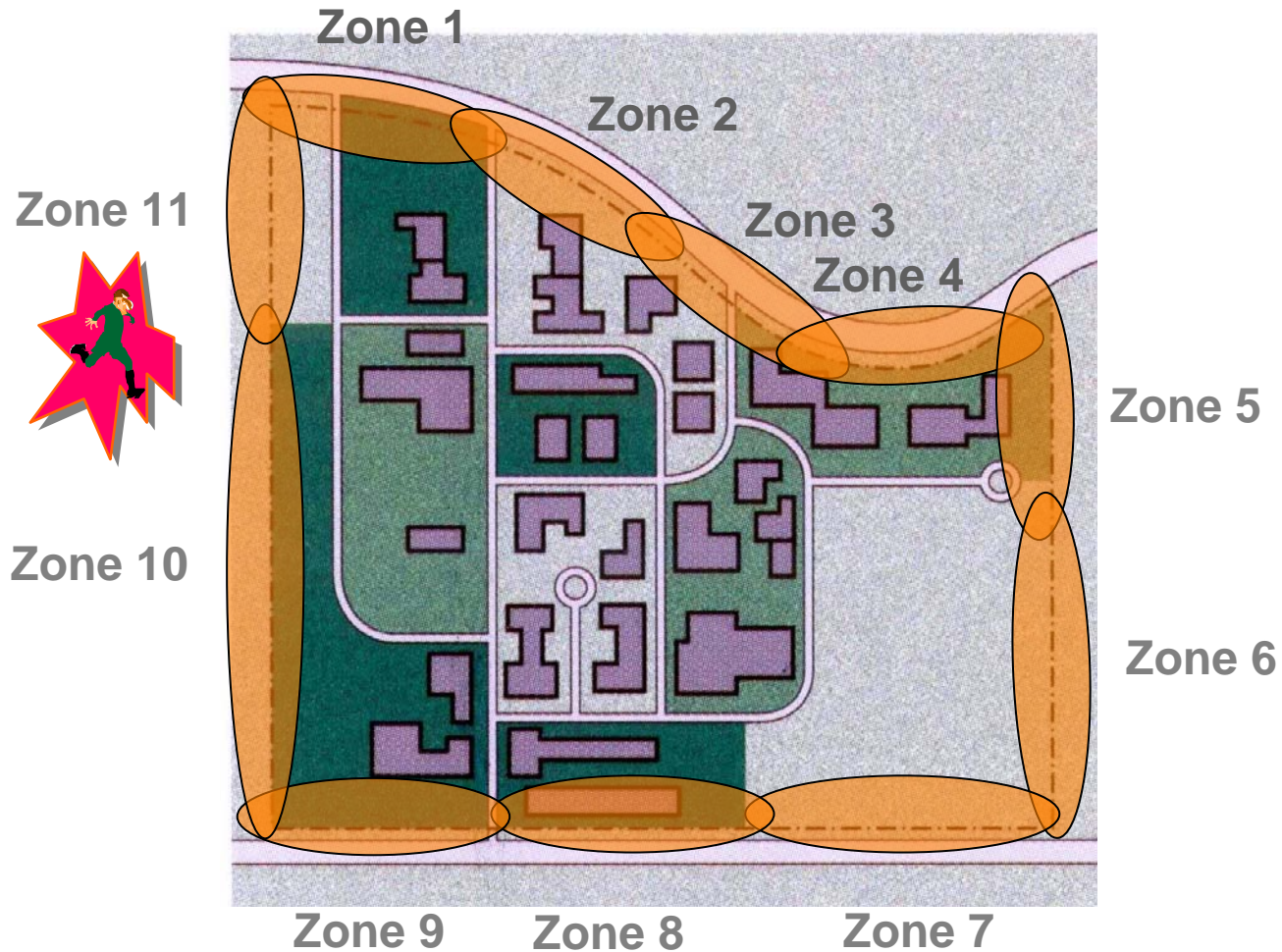
# Perimeter Zone



FEMA

FEMA 452, Figure 2-2: Layers of Defense, p. 2-3

# Perimeter Zone



FEMA

Adapted from FEMA 426, Figure 2-2: Dispersed Facilities, p. 2-8

# Intrusion Detection Systems

## Old Generation

CCTV

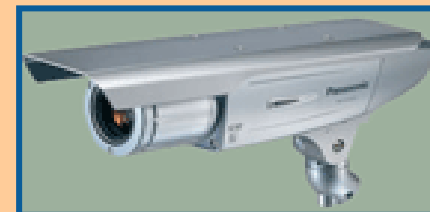


Motion Sensors



## New Generation

CCTV



Motion Sensors



Source: Protech

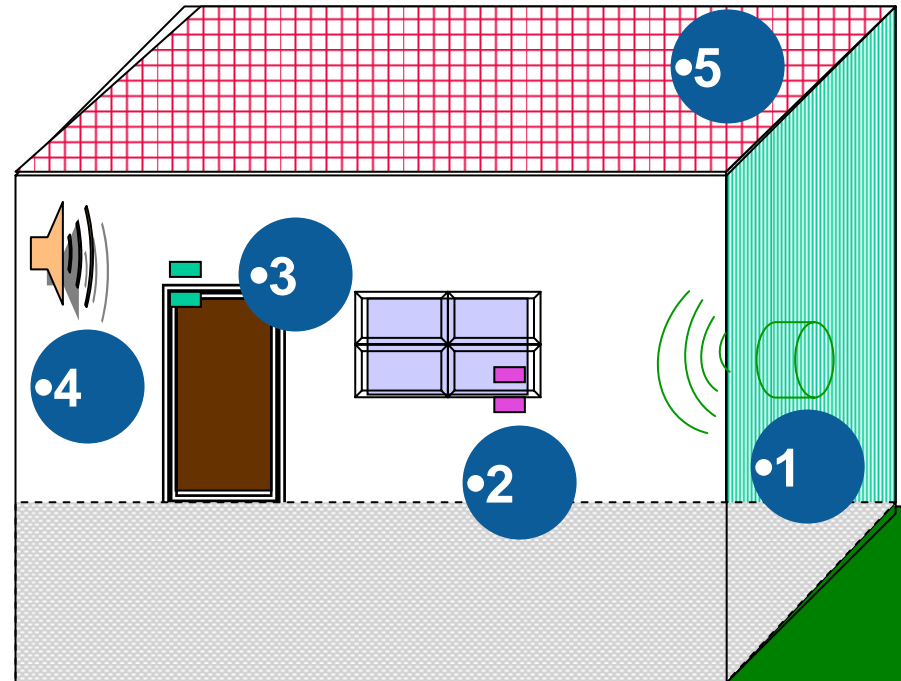
Source: Protech



FEMA

# Boundary Penetration Sensors

1. Structural Vibration Sensors
2. Glass Break (GB) - both acoustical and contact mount
3. Balanced Magnetic Switches (BMS) - doors, windows, and hatches
4. Passive Ultrasonic Sensors
5. Grid Wire Sensors



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Adapted from DARPA Perimeter Security Sensor Technologies Handbook,  
July 1998, p. 1-13

BUILDING DESIGN FOR HOMELAND SECURITY Unit XI-7

# Volumetric Motion Sensors

Designed to detect intruder motion within the interior of the protected volume

- Microwave Motion Sensors
- Passive Infrared (PIR) Motion Sensors
- Dual Technology Sensors
- Video Motion Sensors
- Point Sensors
- Capacitance Sensors
- Pressure Mats
- Pressure Switches



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# Exterior Intrusion Detection

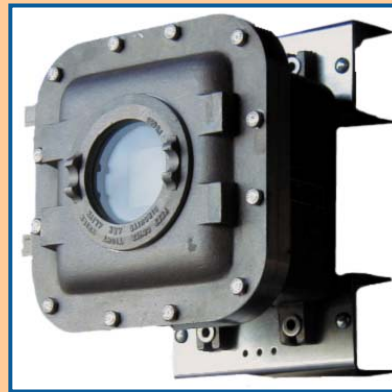
Strain Sensitive Cable

Fiber Optic Cable, Bistatic/Monostatic Microwave, Active Infrared, and Ported Coax

Dual Technology  
(PIR/MW)

Video Motion

**Explosion Proof**



Source: Protech



**First Layer of Defense**



**FEMA**

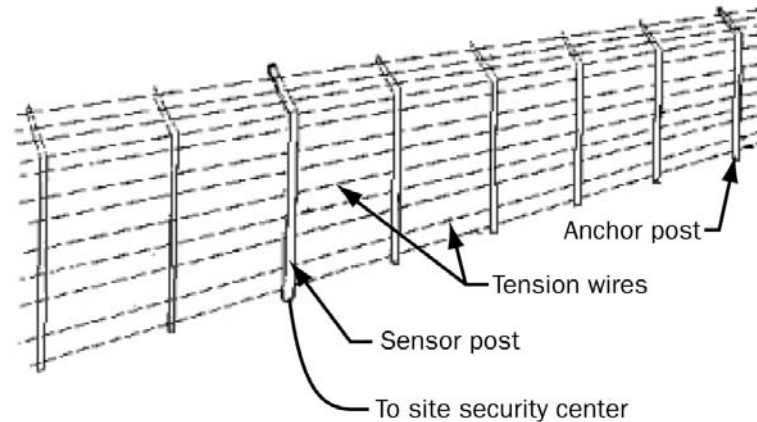
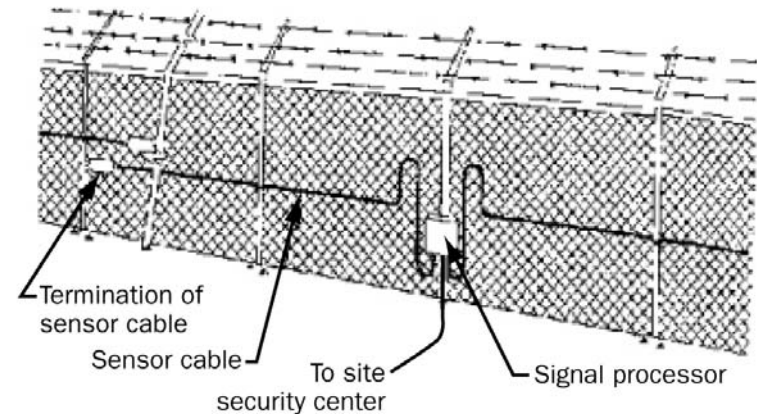
# Fence Sensors

Strain sensitive cables

Taut wire sensors

Fiber optic sensors

Capacitance proximity sensors



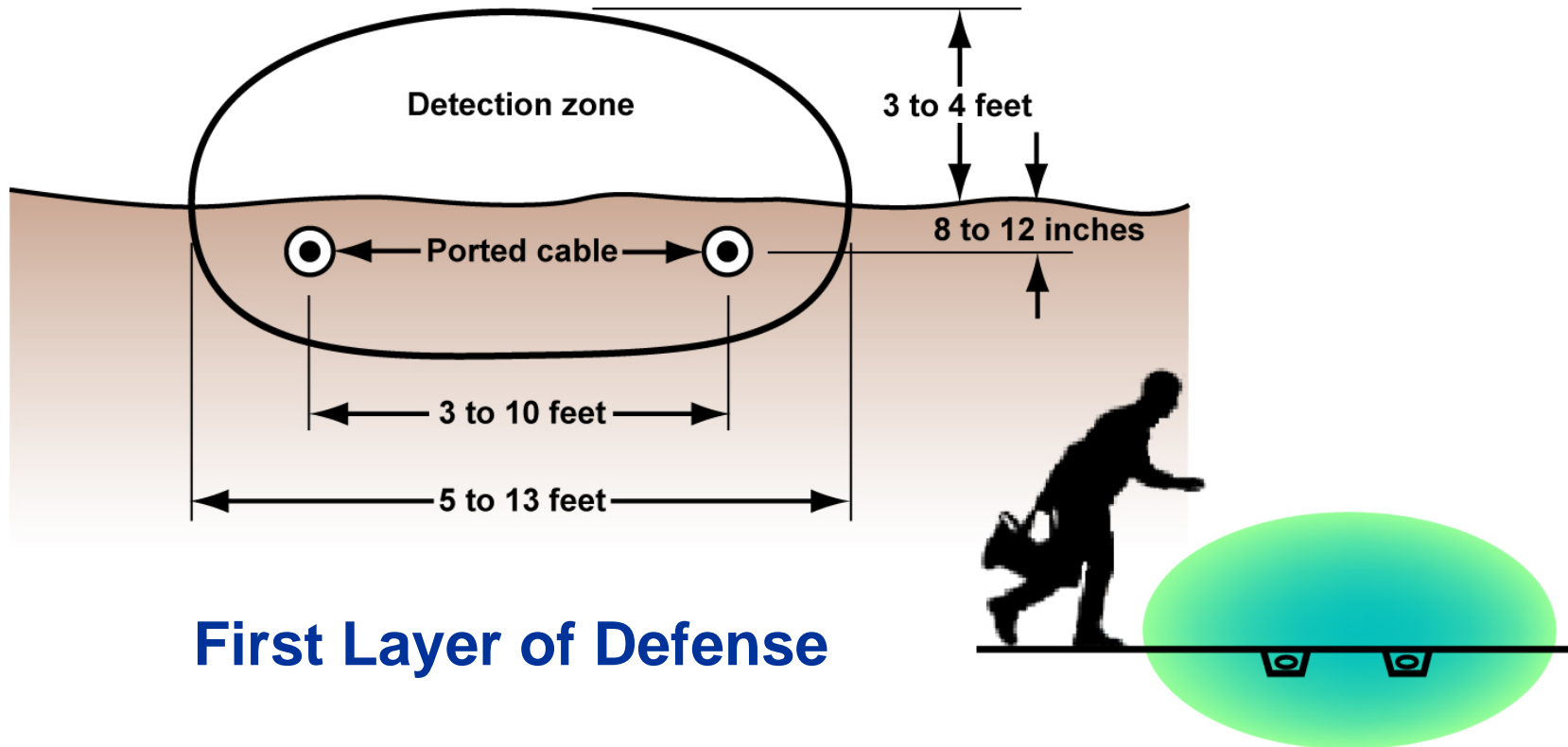
## First Layer of Defense



FEMA

Army TM 5-853-4, Electronic Security Systems, pgs. 5-3 and 5-4

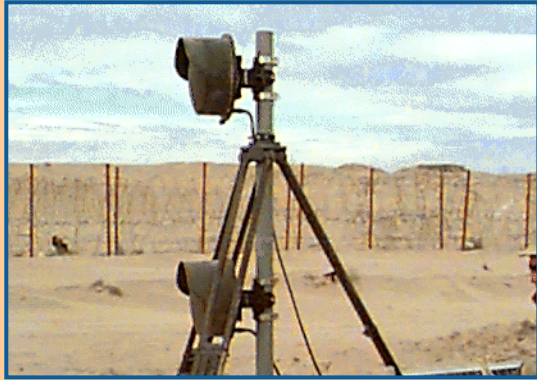
# Buried Line Sensors



FEMA

Army TM 5-853-4, Electronic Security Systems, p. 5-6

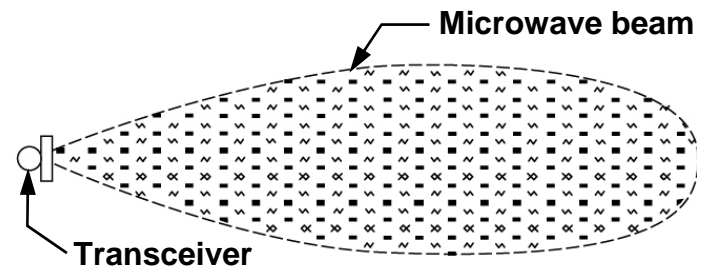
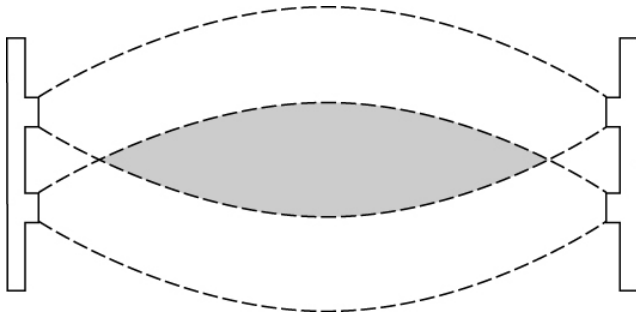
# Microwave Sensors



**Bistatic System**



**Monostatic System**



## First Layer of Defense



**FEMA**

Army TM 5-853-4, Electronic Security Systems, pgs. 5-15 and 5-7

# Infrared Sensors

Active

Passive



**First or Second Layer of Defense**



**FEMA**

# Video Motion Sensors

## Old Generation



## New Generation



Source: Protech



GBC Color Exit Sign Camera

## First or Second Layer of Defense



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# Electronic Entry Control

Coded Devices

Credential Devices

Biometric Devices



**First or Second  
Layer of Defense**



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# Coded Devices

Electronic Keypad Devices

Computer Controlled Keypad  
Devices



**First, Second, or Third  
Layer of Defense**

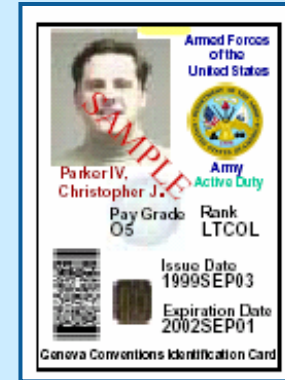


**FEMA**

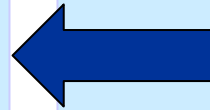


# Credential Devices

- Magnetic Stripe Card
- Wiegand-effect Card
- Proximity Card
- Smart Card
- Bar Code
- “i” Button
- Radio Frequency ID (RFID)



**First, Second, or Third Layer of Defense**



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# Biometric Devices

Fingerprints

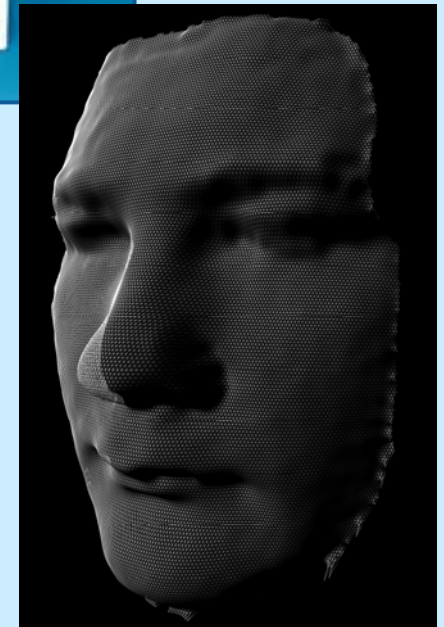
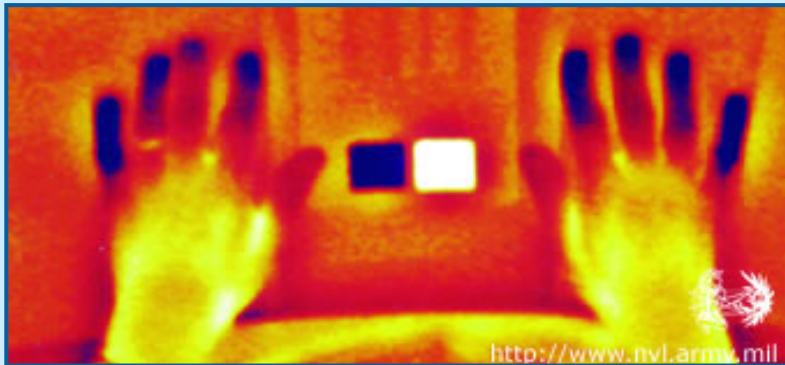
Hand Geometry

Retinal Patterns

Facial Patterns



Source: Veridit



Source: A4Vision



FEMA

First, Second, or Third Layer of Defense

# Closed Circuit Television

Source: Protech Protection Technologies, Inc.

## Interior CCTV

Alarm assessment, card reader door assessment, emergency exit door assessment, and surveillance of lobbies, corridors, and open areas

## Exterior CCTV

Alarm assessment, individual zones and portal assessment, specific paths and areas, exclusion areas, and surveillance of waterside activities



**First, Second, or Third Layer of Defense**

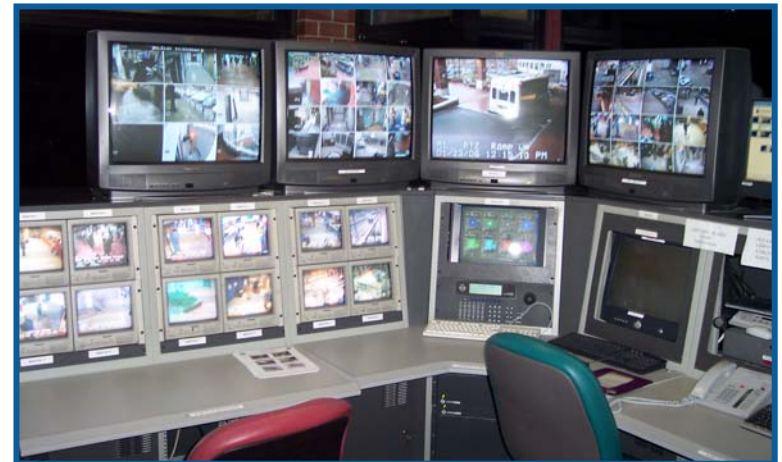


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# Security Operations Center

## Enhancements to Overcome Operator/System Limitations

- Workspace / Hardening
- Alarm Recognition / Alerts
- CCTV Image Alarm - Motion Detection
- Smart CCTV Auto Pan/Tilt/Zoom on Tripped Sensor Location
- Forwarding Alarms to Pagers, PDAs, Radios
- Data Recording - DVR
- Line Supervision / Backup Feeds
- Emergency Power to System



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# Summary

Use the Building Vulnerability Assessment Checklist to identify electronic security system requirements.

Public safety is enhanced by electronic security systems (deter, detect, deny, devalue).

Electronic security systems components and capabilities interact with other systems (LAN, doors, windows, lighting, etc.).

Electronic security systems can be used to mitigate vulnerabilities.



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# Unit XI Case Study Activity

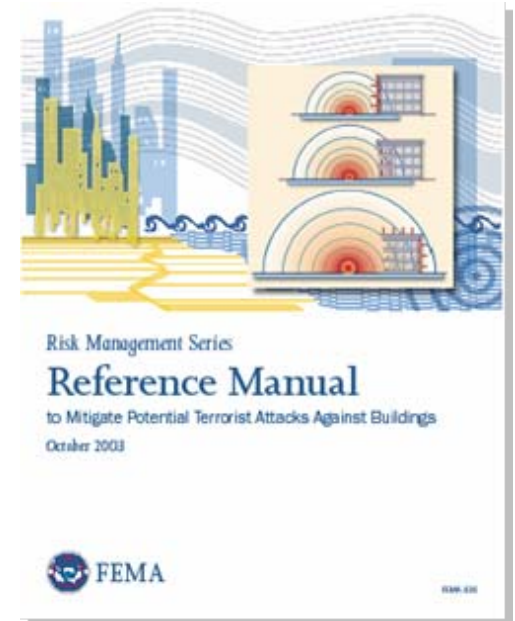
## Electronic Security Systems

### Background

**Emphasis:** Various components and technology available for use in electronic security systems

FEMA 426, Building Vulnerability Assessment Checklist

Assess Electronic Security Systems in Case Study for vulnerabilities and recommended mitigation measures



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*BUILDING DESIGN FOR HOMELAND SECURITY*

# Unit XII-A

## Case Study



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# Unit Objectives

**Explain** building security design issues to a building owner for consideration prior to a renovation or new construction.

**Explain** the identification process to arrive at the high risk asset-threat/hazard pairs of interest.

**Justify** the recommended mitigation measures, explaining the benefits in reducing the risk for the high risk situations of interest.



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# Hazardville Information Company

## Company

- Functions
- Infrastructure

## Threats/Hazards

- Design Basis Threat
- Levels of Protection

## Vulnerabilities

- Impact
- Mitigation

## Report



Hazardville Information Company (HIC)



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# Hazardville Information Company

IT services and support

- 130 employees

Two-story building in small corporate office park

Located in suburban area of major metropolitan city

“Neighbors” include:

- Offices
- Industry
- Road, Rail, Air traffic

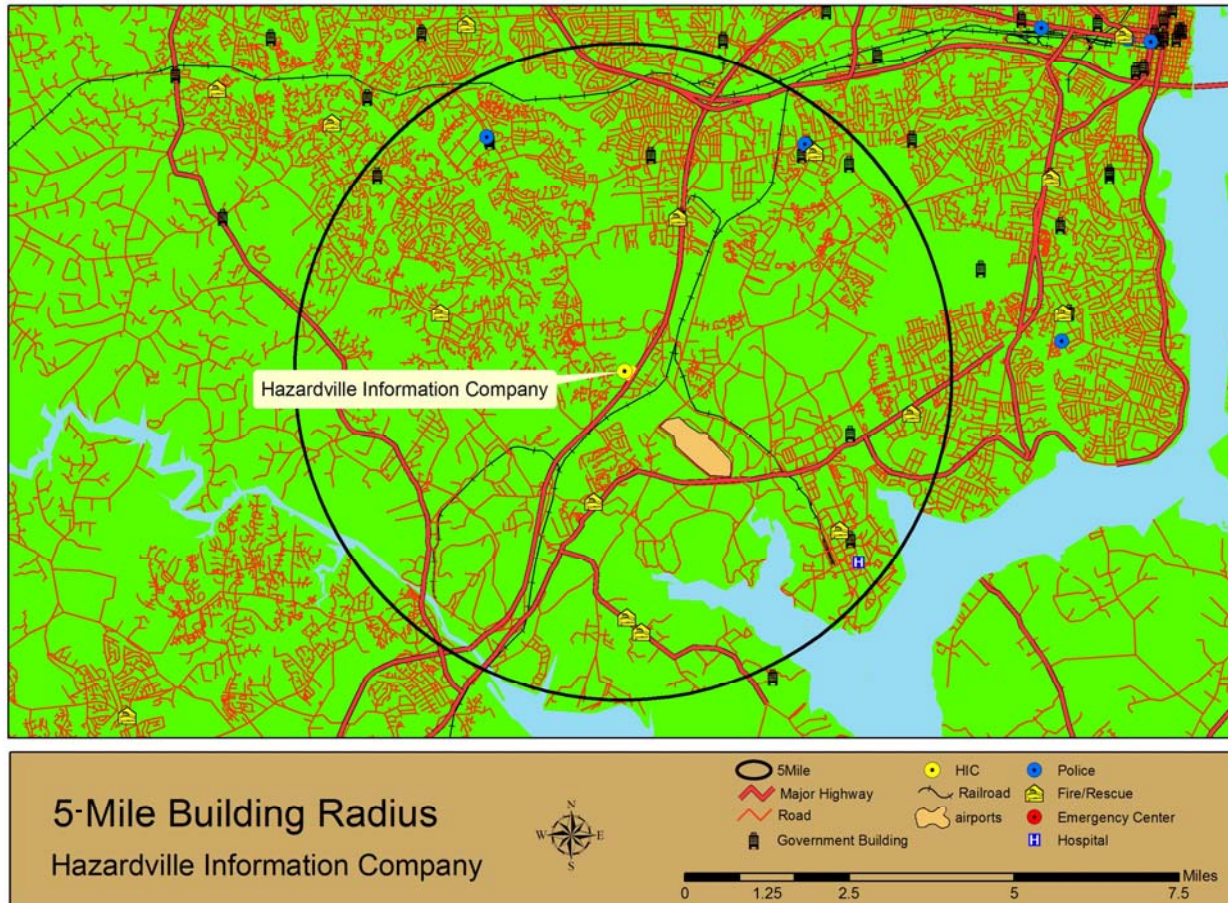


FEMA 426, Figure 2-1: Example of Using GIS to Identify Adjacent Hazards, p. 2-5  
*BUILDING DESIGN FOR HOMELAND SECURITY* Unit XII-A-4



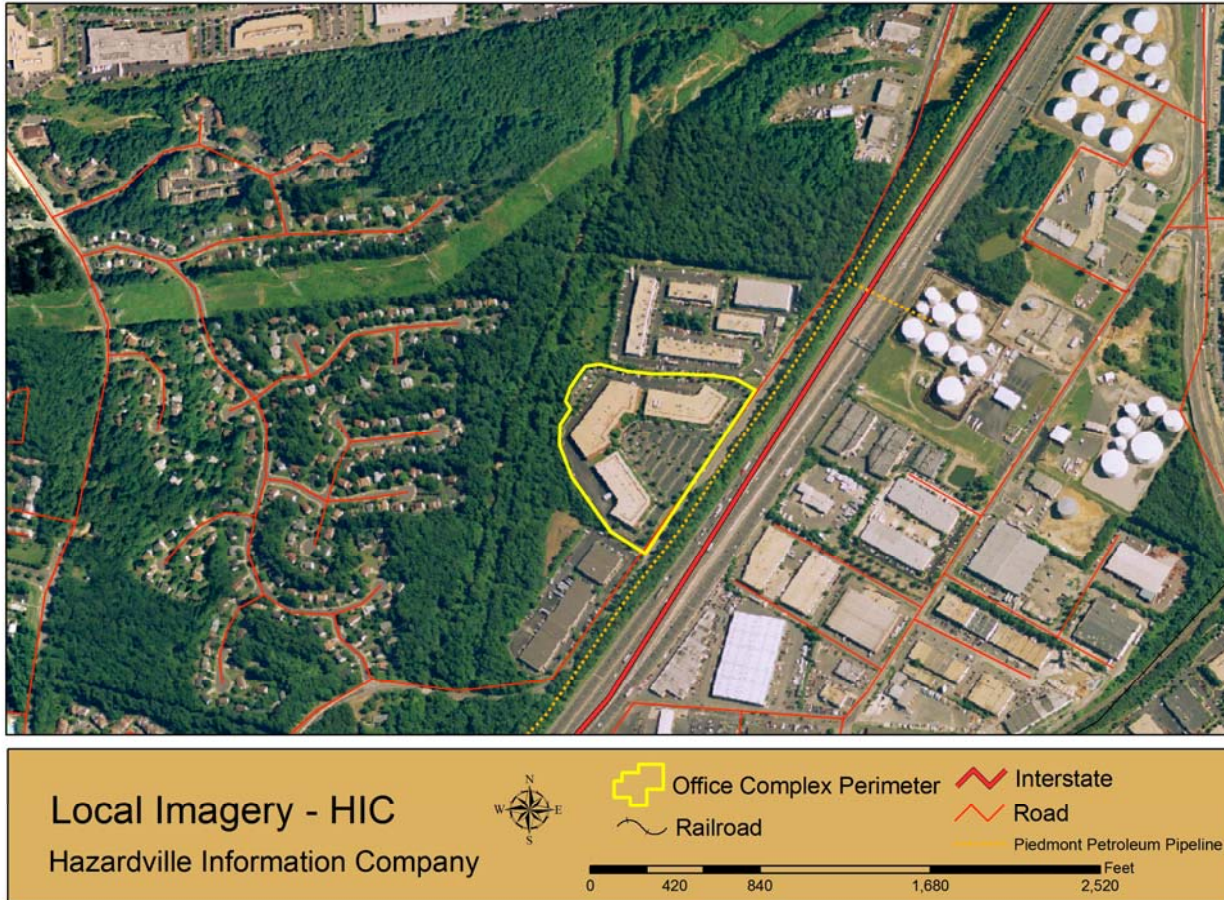
**FEMA**

# 5-Mile Building Radius



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# Local Imagery

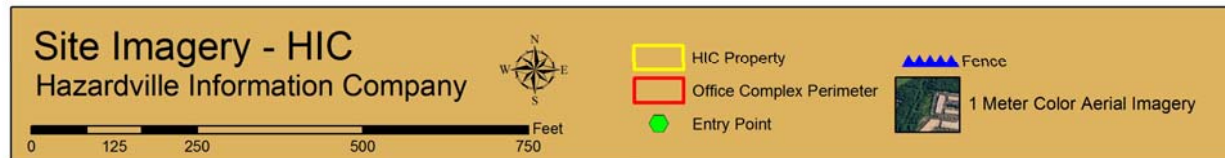


FEMA

FEMA 426, Figure 2-1: Example of Using GIS to Identify Adjacent Hazards, p. 2-5

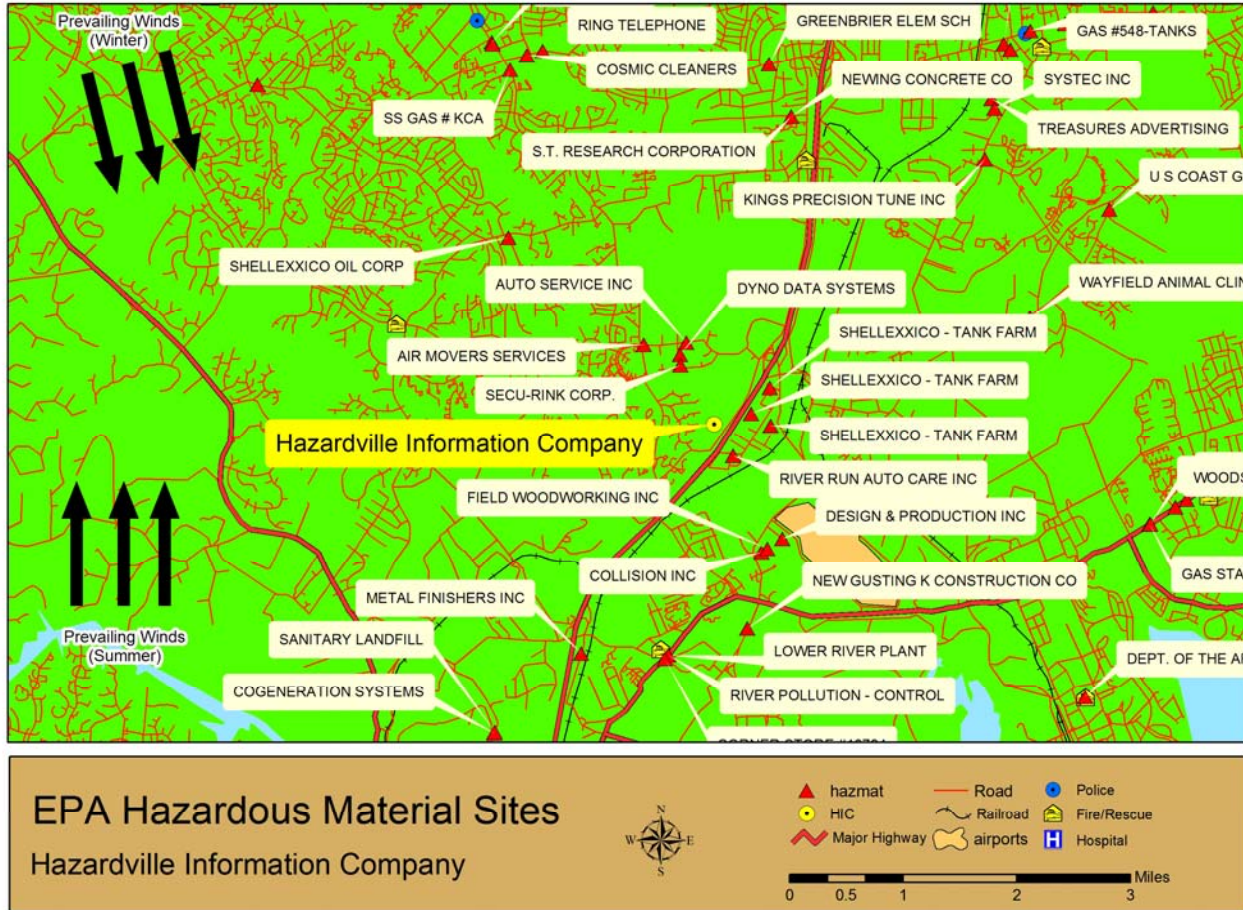
BUILDING DESIGN FOR HOMELAND SECURITY Unit XII-A-6

# Site Imagery



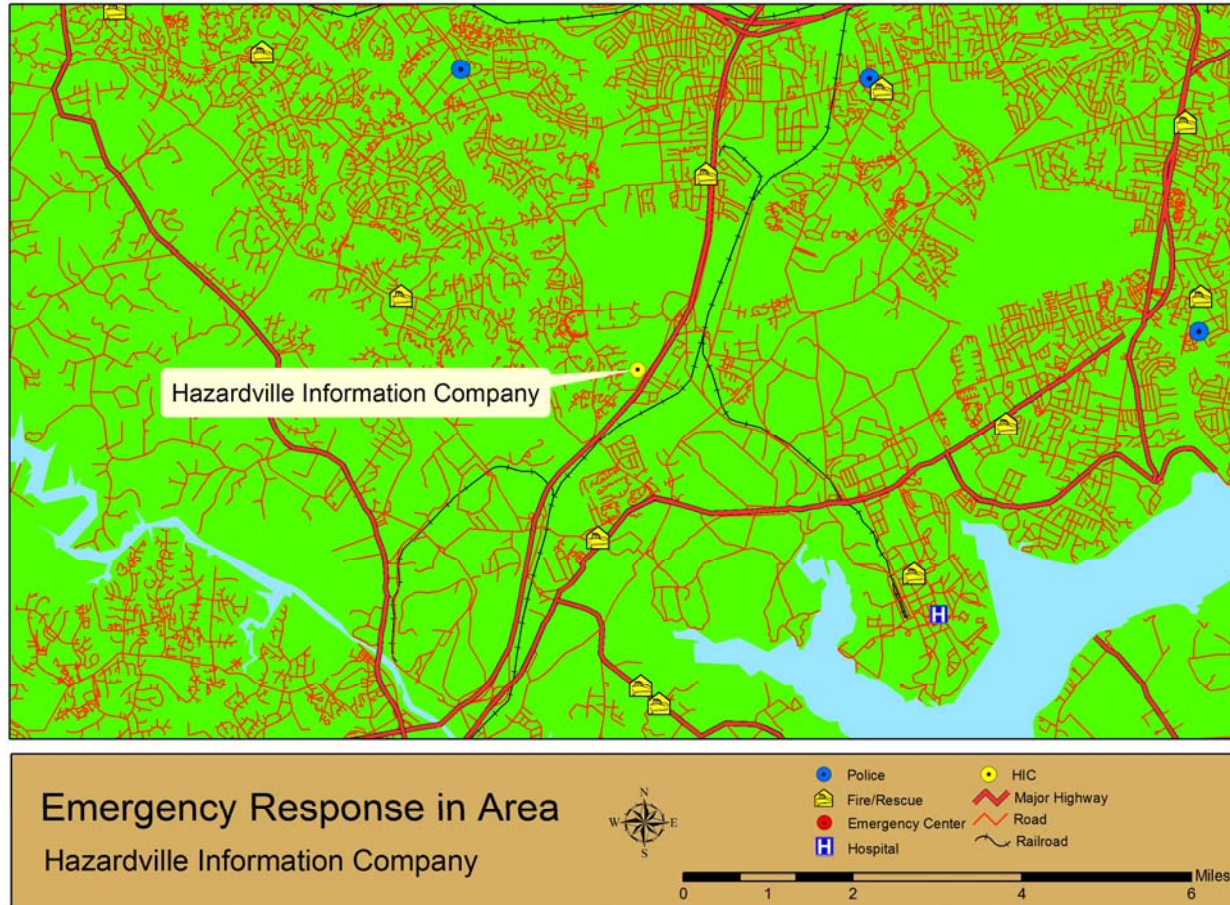
FEMA

# HazMat Sites



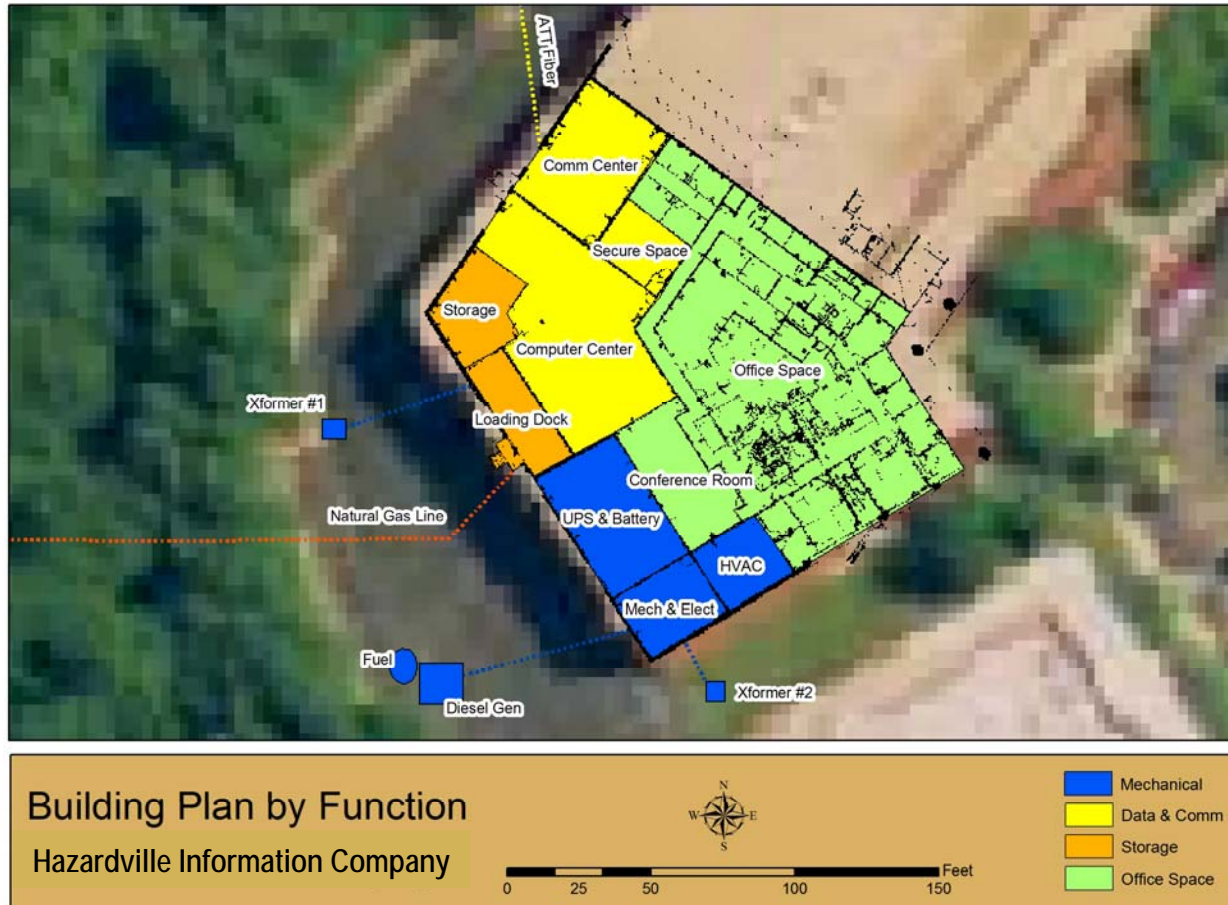
FEMA

# Emergency Response



FEMA

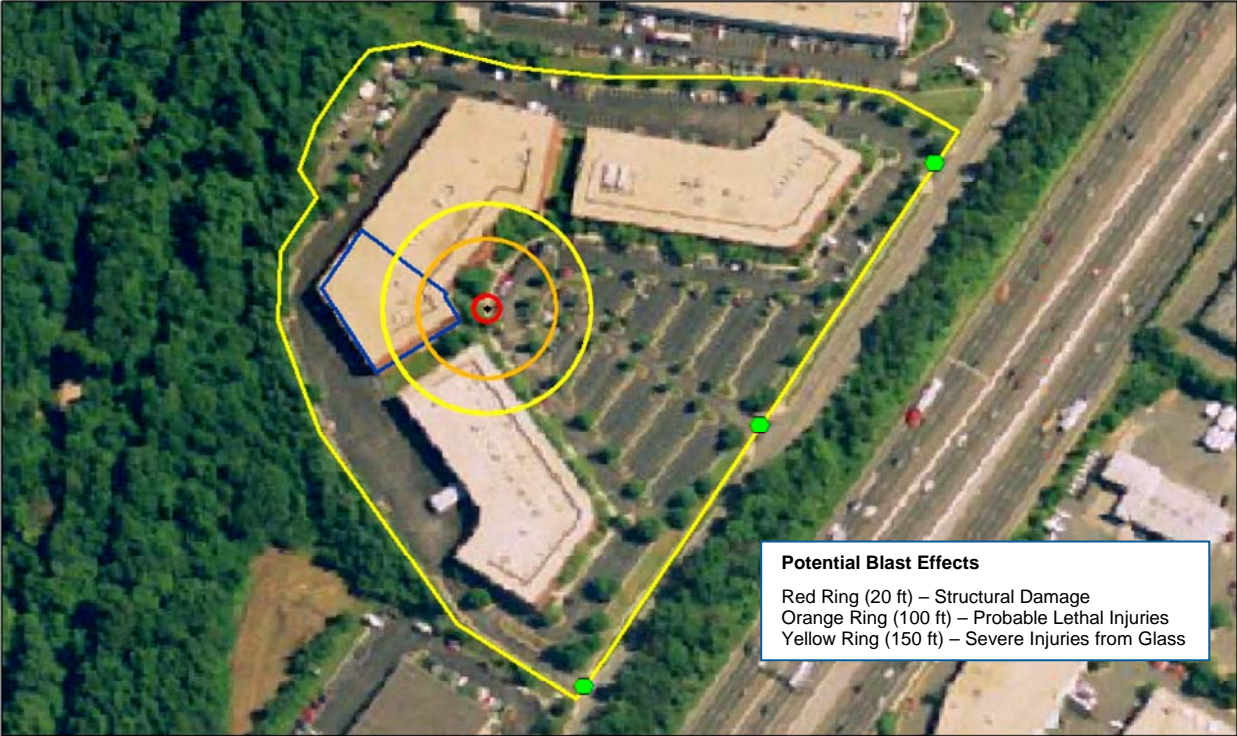
# Functional Layout



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# Car Bomb Blast Effects



Potential Blast Effects - Nominal Car Bomb  
Hazardville Information Company

0 112.5 225 450 675 Feet

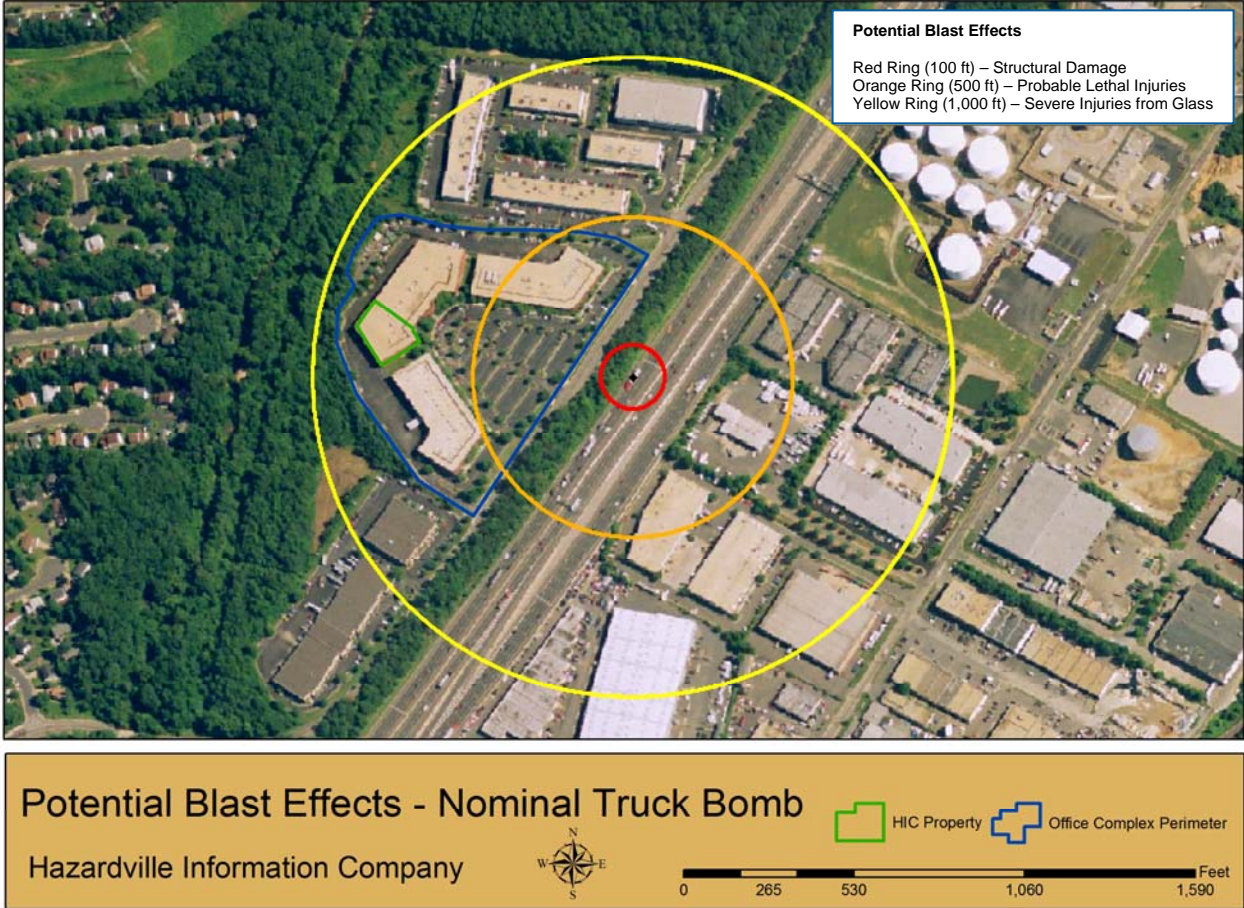
North arrow

- HIC Property
- Office Complex Perimeter
- Entry Point



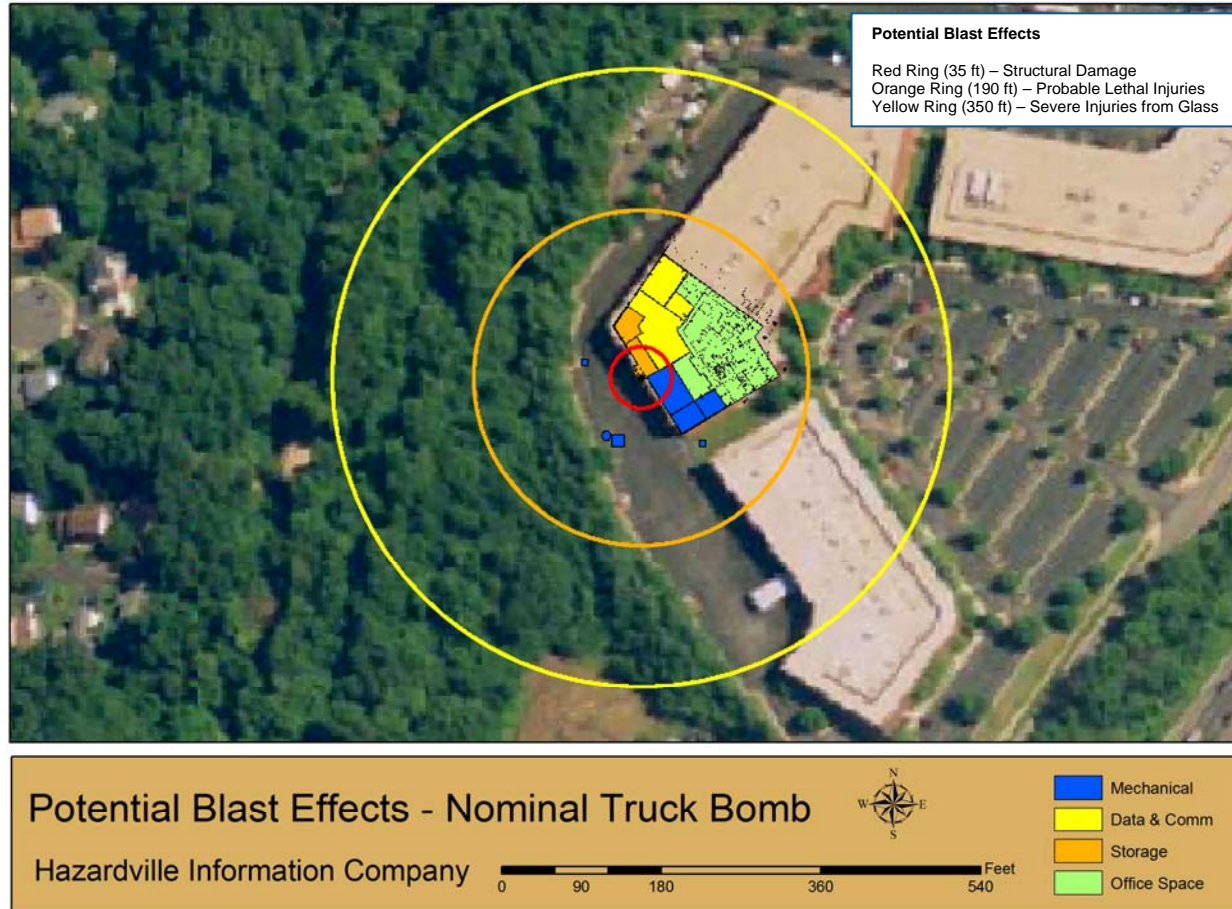
FEMA

# Truck Bomb Blast Effects



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# Truck Bomb Blast Effects



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# Building Data

## Infrastructure

### Structural

- 2 Story steel frame with brick façade
- Annealed glass

### Mechanical

- HVAC
- Gas
- Fire Systems

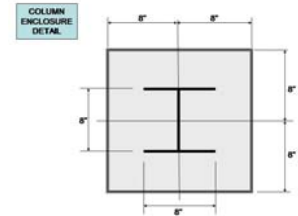
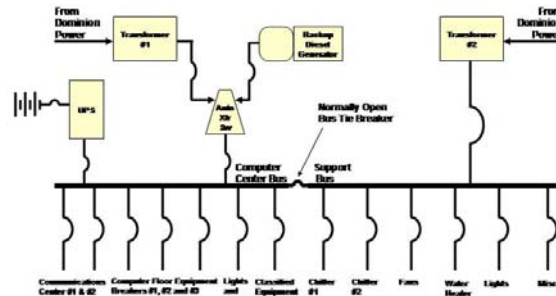
### Electrical

- Primary
- Back-up

### IT

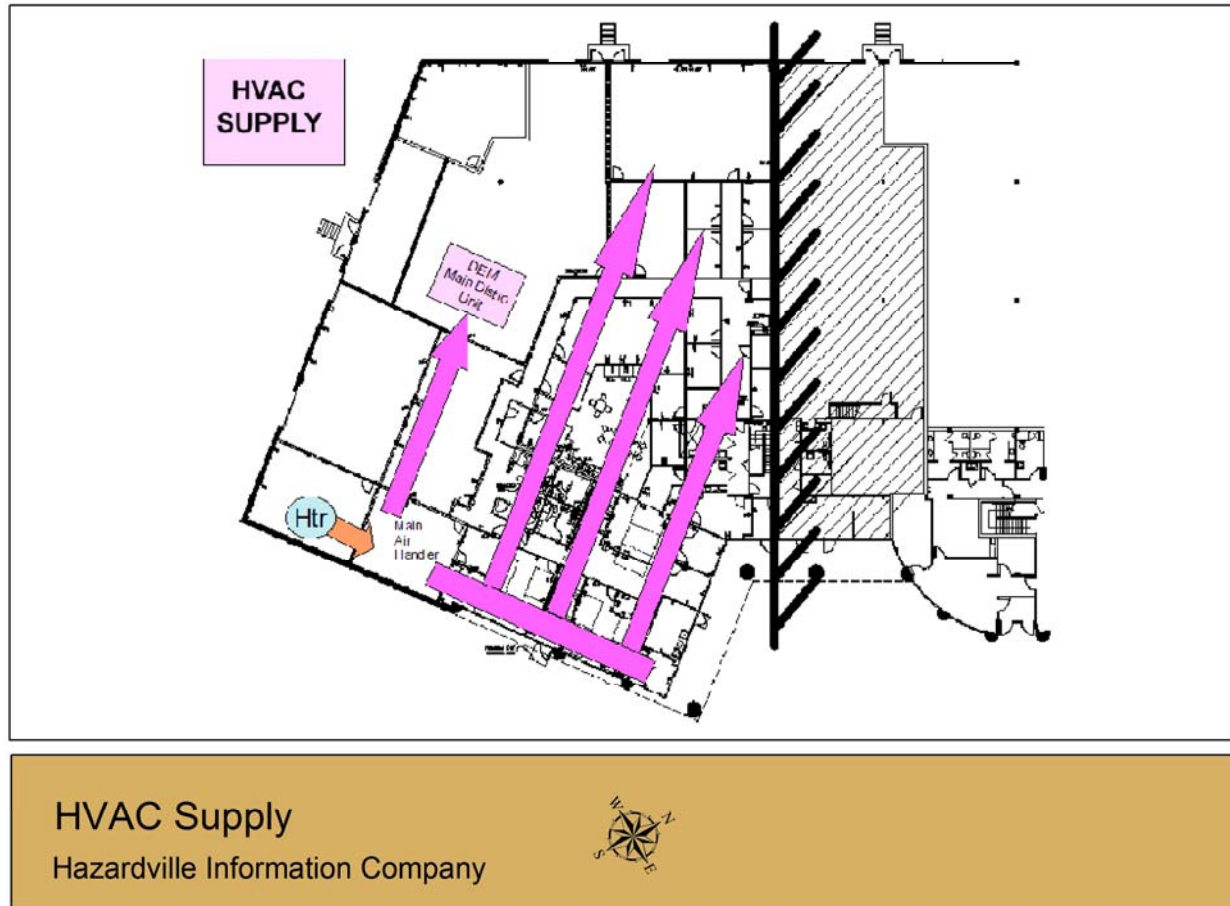
- Data Center
- Telecom

### Physical Security



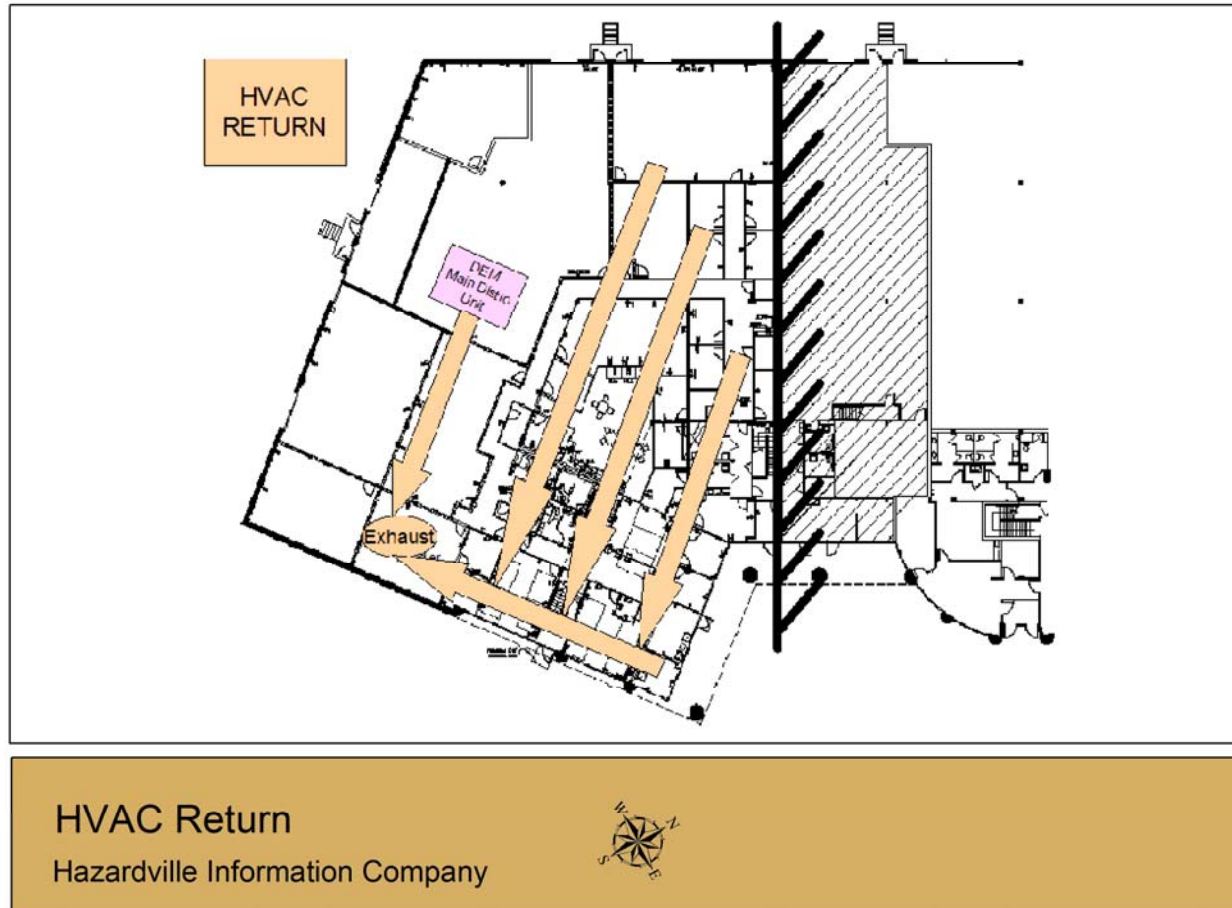
FEMA

# Mechanical Systems



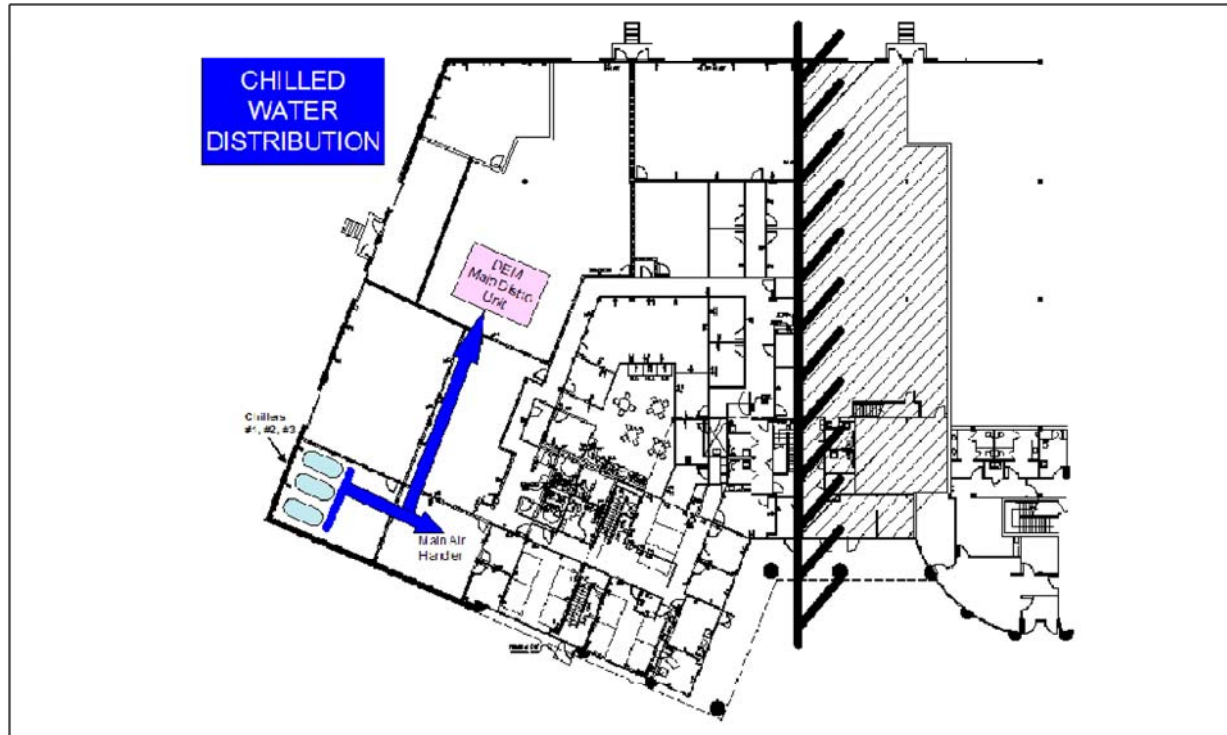
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# Mechanical Systems



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# Mechanical Systems

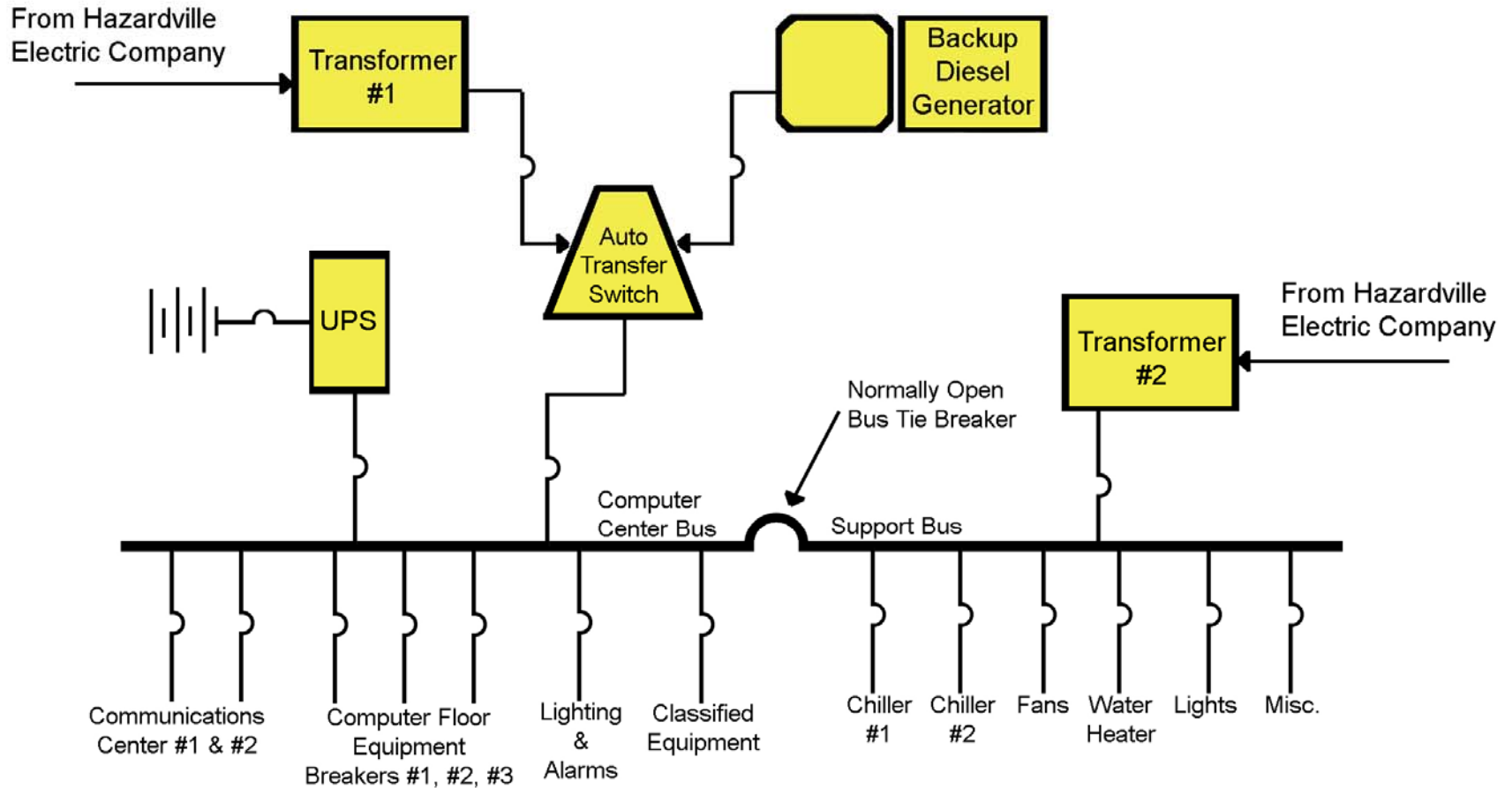


Chilled Water Distribution  
Hazardville Information Company



FEMA

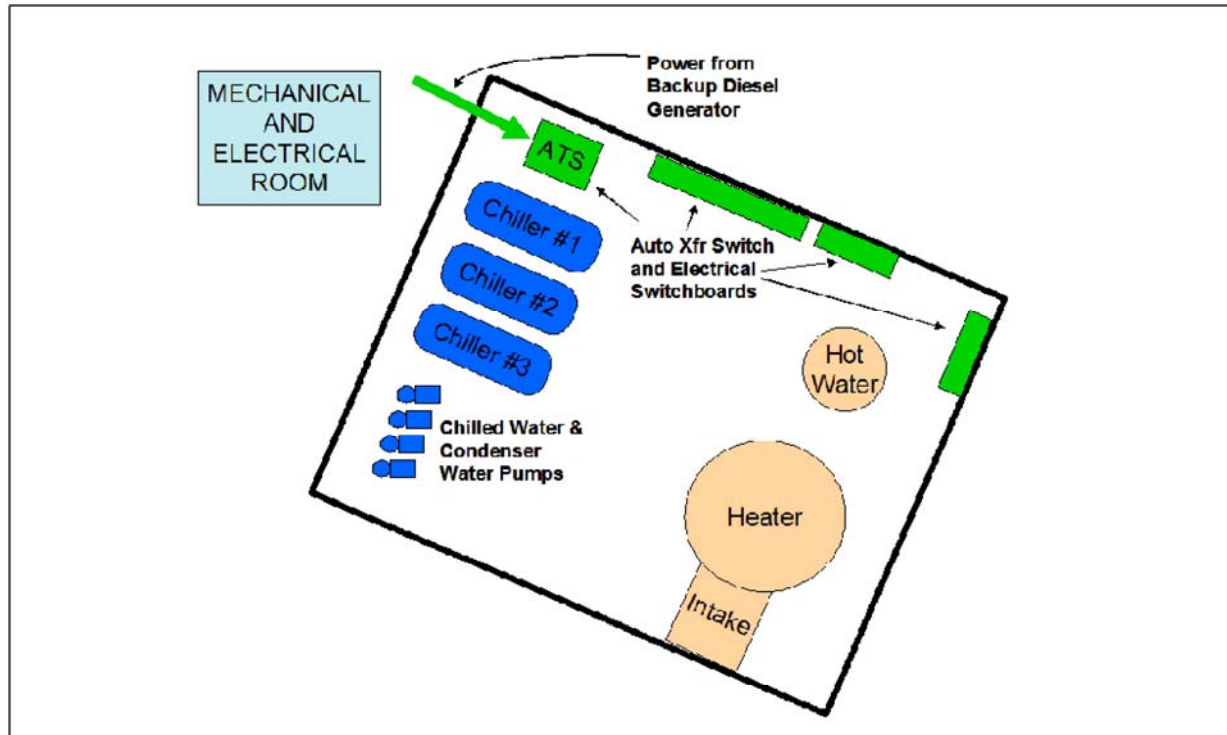
# Electrical Systems



FEMA



# Mechanical and Electrical Room



Mechanical and Electrical Room  
Hazardville Information Company



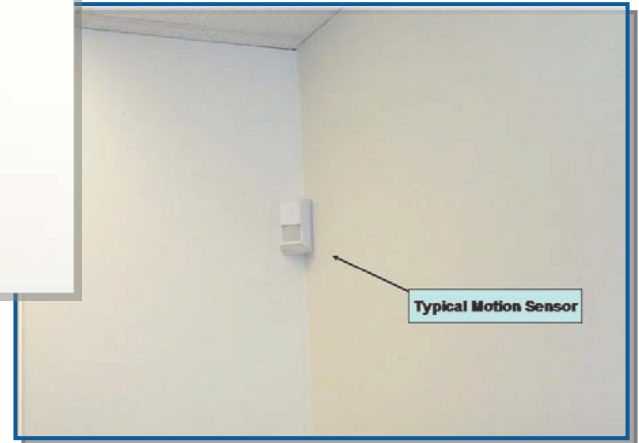
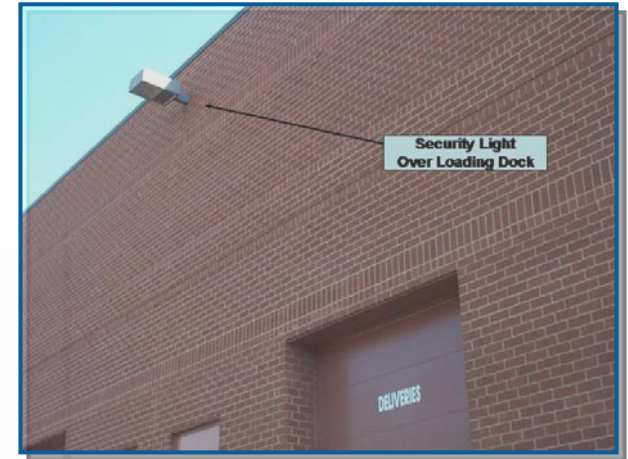
FEMA

# Information Technology



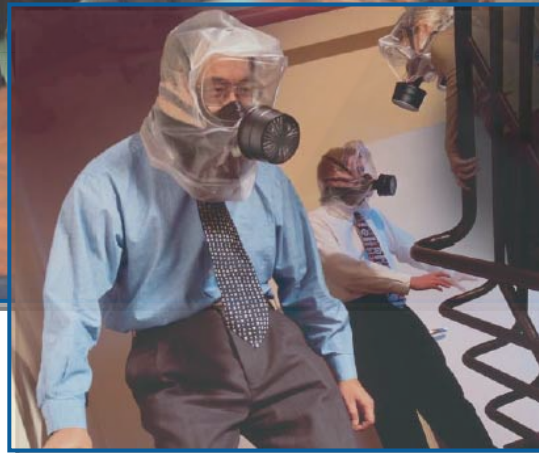
FEMA

# Physical Security



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# Emergency Response



Source: Mine Safety Appliances Company



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# Threats/Hazards

## Threats include:

### Terrorism

- No direct threat to HIC
- Government, military, industry in the area

### Intelligence Collection

### Crime

- High threat in metro area, lower in suburbs



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# Threats/Hazards

## Threats (continued):

### HazMat

- Many facilities nearby
  - Fuel farm and pipeline
  - Interstate highway
  - Rail line

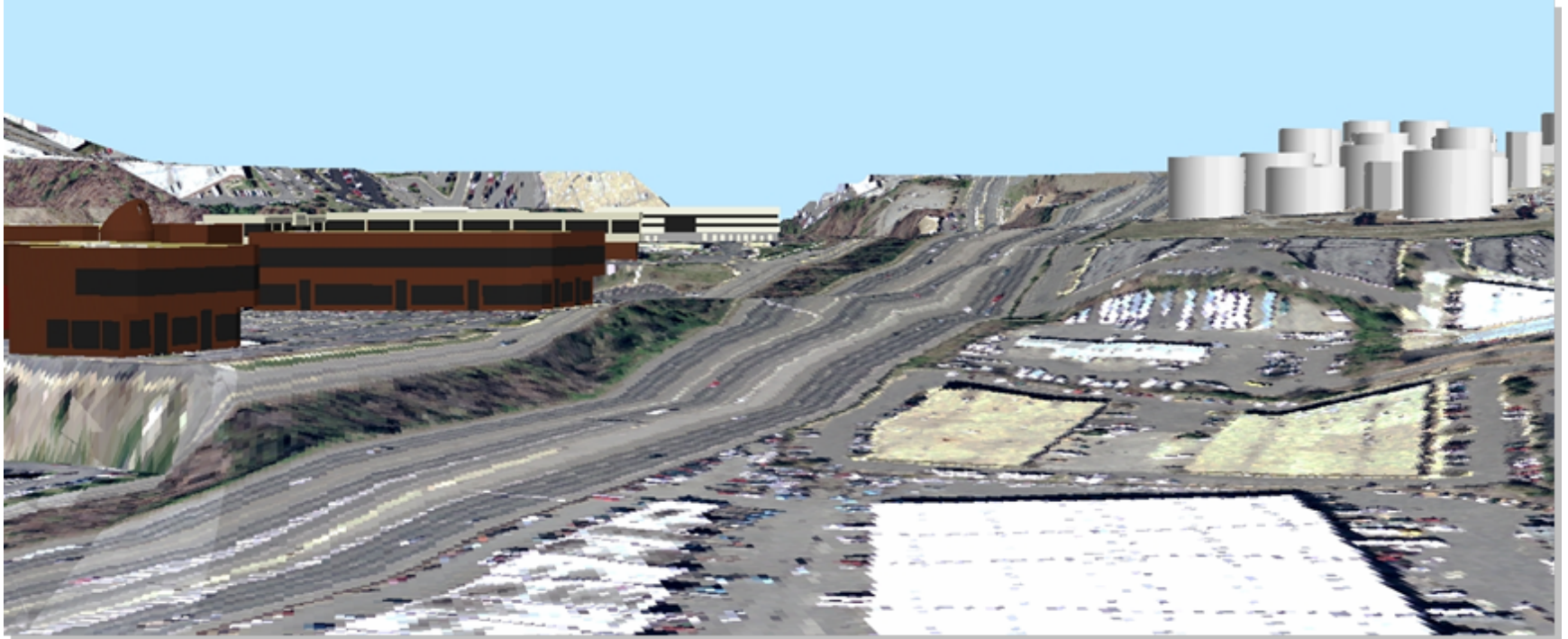
### Natural Hazards

- Hurricanes – Infrequent
- Tornadoes – Almost every Spring
- Earthquakes – Infrequent
- Lightning - Frequent



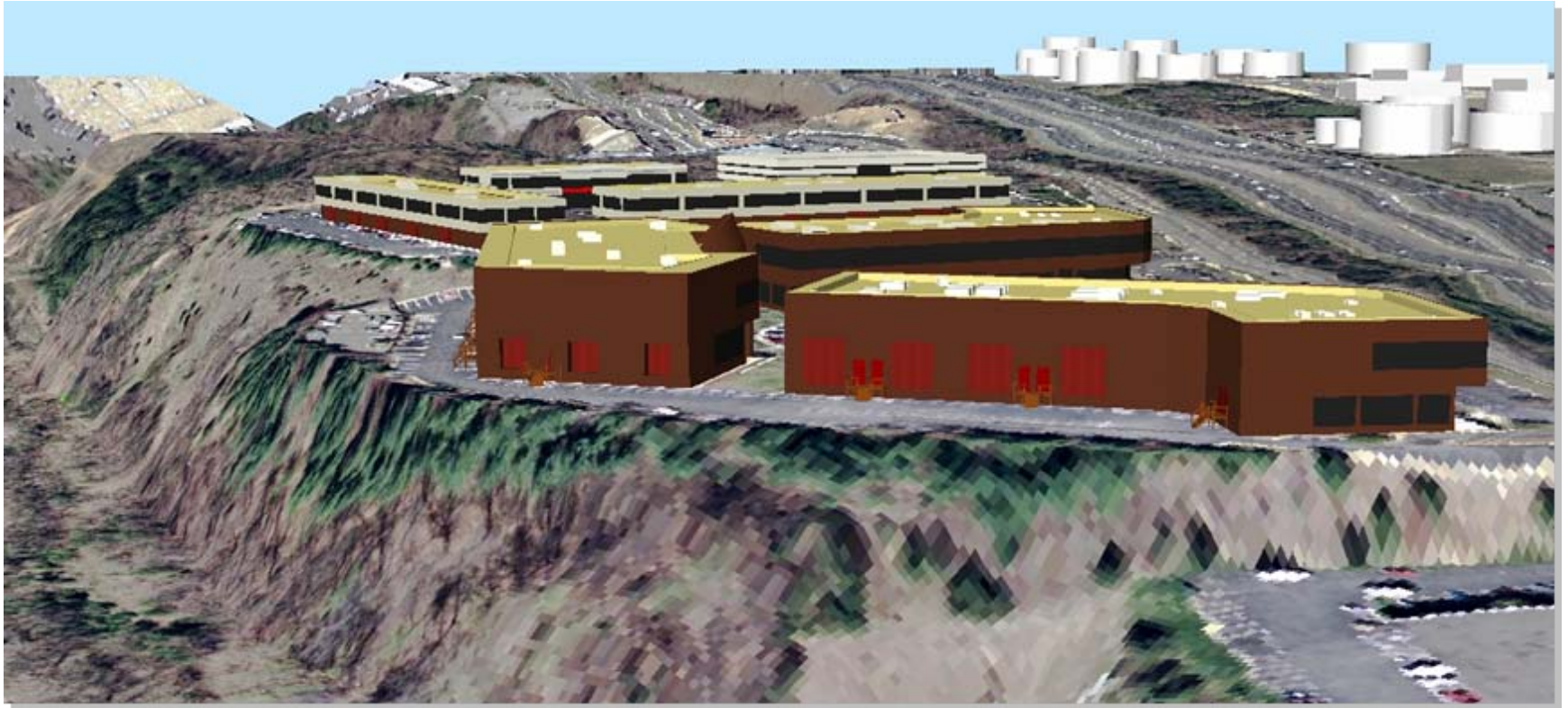
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# Computerized Elevation Looking Northwest



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# Computerized Elevation Looking Northeast



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# Design Basis Threat

**Explosive Blast:** Car Bomb 250 lb TNT equivalent. Truck Bomb 5,000 lb TNT equivalent (Murrah Federal Building class weapon)

**Chemical:** Large quantity gasoline spill and toxic plume from the adjacent tank farm, small quantity (tanker truck and rail car size) spills of HazMat materials (chlorine)

**Biological:** Anthrax delivered by mail or in packages, smallpox distributed by spray mechanism mounted on truck or aircraft in metropolitan area

**Radiological:** Small “dirty” bomb detonation within the 10-mile radius of the HIC building



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# Levels of Protection

## GSA Interagency Security Criteria

Level II Building – between 11-150 employees; 2,500 to 80,000 sq ft

- Perimeter Security
- Entry Security
- Interior Security
- Administrative Procedures
- Blast/Setback Standards



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# Levels of Protection

## DoD Antiterrorism Standards

Level of Protection	Potential Structural Damage	Potential Door and Glazing Hazards	Potential Injury
<b>Low</b>	Damage – unrepairable. Major deformation of non-structural elements and secondary structural members and minor deformation of primary structural members, but progressive collapse is unlikely.	Glazing will break, but fall within 1 meter of the wall or otherwise not present a significant fragment hazard. Doors may fail, but they will rebound out of their frames, presenting minimal hazards.	Majority of personnel suffer significant injuries. There may be a few (<10 percent) fatalities.



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FEMA 426, Adapted from Table 4-1: DoD Minimum Antiterrorism Standards for New Buildings, p. 4-9

*BUILDING DESIGN FOR HOMELAND SECURITY* Unit XII-A-29

# Levels of Protection

## DoD Antiterrorism Standards

Location	Building Category	Stand-off Distance or Separation Requirements			
		Applicable Level of Protection	Conventional Construction Stand-off Distance	Effective Stand-off Distance	Applicable Explosives Weight
Controlled Perimeter or Parking and Roadways without a Controlled Perimeter	Inhabited Building	Very Low	25 m	10 m	Car Bomb
			82 ft	33 ft	



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Adapted from DoD Unified Facilities Criteria (UFC), “DoD Minimum Antiterrorism Standards for New Buildings”, UFC 4-010-01, 31 July 2002

# Levels of Protection

## UFC 4-010-01 APPENDIX B

### DoD MINIMUM ANTITERRORISM STANDARDS FOR NEW AND EXISTING BUILDINGS

<b>Standard 1</b>	Minimum Stand-off Distances
<b>Standard 2</b>	Unobstructed Space
<b>Standard 3</b>	Drive-Up/Drop-Off Areas
<b>Standard 4</b>	Access Roads
<b>Standard 5</b>	Parking Beneath Buildings or on Rooftops
<b>Standard 6</b>	Progressive Collapse Avoidance
<b>Standard 7</b>	Structural Isolation
<b>Standard 8</b>	Building Overhangs
<b>Standard 9</b>	Exterior Masonry Walls
<b>Standard 10</b>	Windows, Skylights, and Glazed Doors
<b>Standard 11</b>	Building Entrance Layout
<b>Standard 12</b>	Exterior Doors



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# Levels of Protection (continued)

UFC 4-010-01 APPENDIX B DoD MINIMUM ANTITERRORISM STANDARDS FOR NEW AND EXISTING BUILDINGS	
<b>Standard 13</b>	Mailrooms
<b>Standard 14</b>	Roof Access
<b>Standard 15</b>	Overhead Mounted Architectural Features
<b>Standard 16</b>	Air Intakes
<b>Standard 17</b>	Mailroom Ventilation
<b>Standard 18</b>	Emergency Air Distribution Shutoff
<b>Standard 19</b>	Utility Distribution and Installation
<b>Standard 20</b>	Equipment Bracing
<b>Standard 21</b>	Under Building Access
<b>Standard 22</b>	Mass Notification



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# Unit XII Case Study Activity

## Finalization and Presentation of Group Results

### Purpose

- Groups finalize their assessments
- Decide on high priority risk concerns
- Determine appropriate mitigation measures
- Present findings to class

### Requirements

Based on findings from previous activities, complete the worksheet table

Prepare to present conclusions and justify decisions to class in a 5- to 7-minute presentation



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# Vulnerability/Mitigation

## Basis of Mitigation Measures

Recommendations ultimately require an understanding of benefit (capability) versus cost to implement

### Blast Modeling

- Various scenarios run at Tier III level for comparison using Design Basis Threats
  - Truck bomb is worst case
  - Car bomb also analyzed for comparison
  - Some interesting and unexpected results
- More analysis required for final design



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# Vulnerability/Mitigation

## Basis of Mitigation Measures

Plume Modeling (CBR or HazMat)

- Tier II / Tier III performed for selected Design Basis Threats external to building
- Additional Tier III analysis required inside building
  - Understand internal pressure changes during building operation
  - Understand how HVAC and other changes implemented in response plans affect building
  - Supports design of CBR measures



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# Vulnerability/Mitigation

## Basis of Mitigation Measures

Cost Estimates are ROM (Rough Order of Magnitude)

- Assumes 10% Overhead and 10% Profit
- Assumes Area Cost Factor of 1.0 (DoD) or 100 (RS Means)
  - DoD Range: **0.84** (Huntsville AL) to **1.67** (Anchorage AK)
  - RS Means Range: **82.5** (Baton Rouge LA) to **131.9** (New York NY)
  - Adjusted for July 2006
- Anti-Terrorism / Force Protection equipment and construction costing information is still immature



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# Vulnerability/Mitigation

## Site / Vehicle Bomb

Maximize available stand-off

- Front side along sidewalk to prevent direct approach into building and ensure stand-off – 100 LF
- Due to straightaways on front and back of building, need K12 stopping power
  - Planters - \$22.3K
  - Plinth wall - \$50.7K
  - Landscaping (boulders) - \$19.5K



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# Vulnerability/Mitigation

## Building Envelope / Vehicle Bomb

Harden windows (balanced envelope)

- Fragment Retention Film
  - Not costed -- could not meet performance required for upgraded stand-off
- Laminated glass -- 56 windows
  - 1/2" laminated interior pane with 0.060 PVB interlayer, air gap to 0.25 inches, and retention of exterior pane - \$170.8K



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# Window Hardening

## Original Glazing

 Large DBT – 1,136 ft

 Small DBT – 338 ft

## Hardened Glazing

 Large DBT – 422 / 579 ft

 Small DBT – 29 / 150 ft

Between the two hardened glazing distances glass blows OUT of building



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# Vulnerability/Mitigation

## Building Envelope / Vehicle Bomb

Harden exterior -- Close in overhang

- Brick bonded to 4" Reinforced Concrete Wall, #3 rebar @12 inches each way - \$64.2K
- Brick backed with truck bed liner - \$34.6K
- Deduct window hardening if overhang enclosed – (\$85.4K)



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# Infill Hardening

Overhang Infill – Brick Only

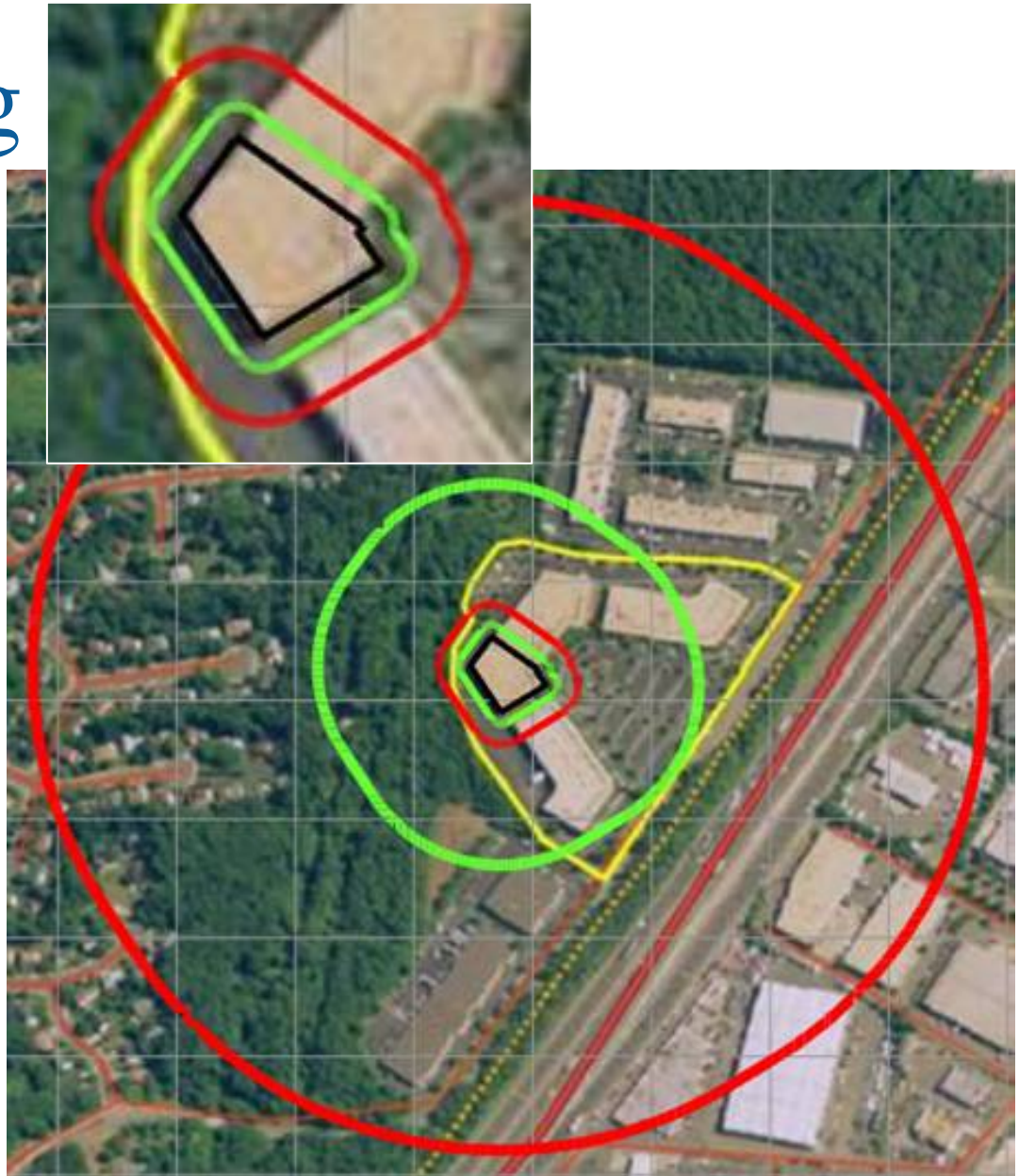
■ Large DBT – 1,210 ft

■ Small DBT – 88 ft

Hardened Overhang Infill  
w/ R/C Backup Wall

■ Large DBT – 422 ft

■ Small DBT – 32 ft



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# Infill Hardening

Overhang Infill – Brick Only

 Large DBT – 1,210 ft

 Small DBT – 88 ft

Hardened Overhang Infill  
w/ Spray-On Liner

 Large DBT – 213 ft

 Small DBT – 17 ft



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# Vulnerability/Mitigation

## Building Envelope / Vehicle Bomb

Harden walls (balanced envelope)

- Vermiculite in wall cavity - \$23.5K
- Spray on truck bed liner - \$43.4K



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# Wall Hardening

## Cavity Wall – CMU Only

■ Large DBT – 1,022 ft

■ Small DBT – 230 ft

## Hardened Cavity Walls w/ Vermiculite in gap

■ Large DBT – 371 ft

■ Small DBT – 31 ft



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# Wall Hardening

Cavity Wall – CMU Only

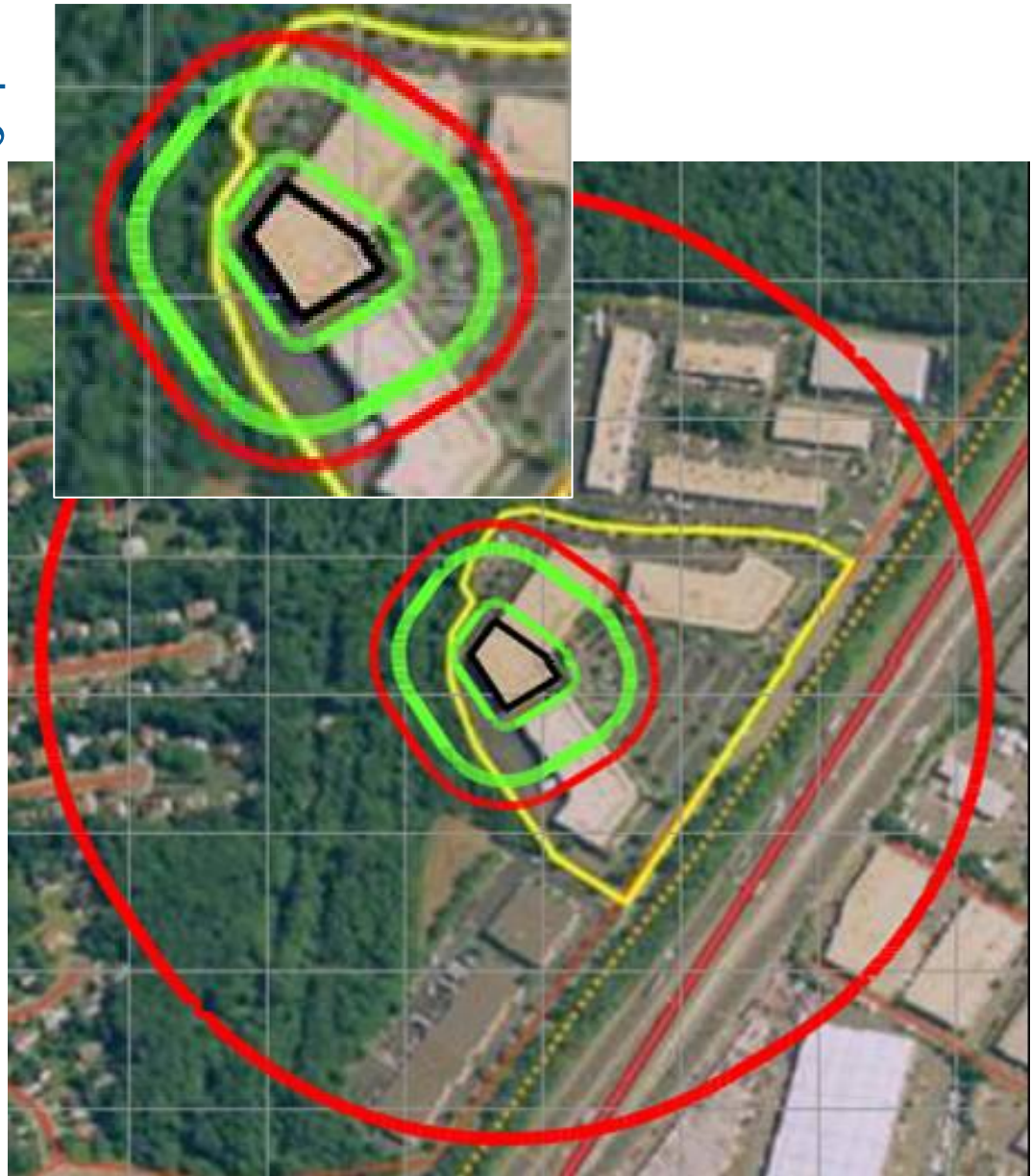
 Large DBT – 1,022 ft

 Small DBT – 230 ft

Hardened Cavity Walls  
w/ Spray-On Liner

 Large DBT – 171 ft

 Small DBT – 42 ft



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# Vulnerability/Mitigation

## Site / Vehicle Bomb

Protect site from truck bomb by establishing controlled perimeter

- Chain link fencing along main road – K8 with two aircraft cables - \$50,500
- Vehicle pop-up barriers – K8, 3 entrances - \$181.7K
- Pre-screening away from building
  - Facility (Pre-Engineering Building) - \$35,000
  - Manpower/year - \$187.2K



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# Vulnerability/Mitigation

## Architectural / Vehicle Bomb

Strengthen overhead anchorage elements

- Heaters - \$2.1K



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# Vulnerability/Mitigation

## Site / Armed Attack (Physical Security)

### Controlled Perimeter

- Fencing on three sides of site not on main road - \$66.0K
- Upgrade Security Ops Center (security managers office) – digital CCTV, digital video recording (DVR), and cameras for complete building coverage - \$55.0K



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# Vulnerability/Mitigation

## Architectural / Mailroom

Separate front lobby from interior office space

- Harden wall between lobby and office space - \$22.9K
- Harden door between lobby and office space - \$4.4K
- Separate HVAC system - \$4.4K
- Total \$31.7K

Separate Mailroom, hardened with separate HVAC - \$40.0K



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# Vulnerability/Mitigation

## Utilities / Mechanical Systems / Vehicle Bomb

Natural gas meters / pressure regulators

- Bollards, K12, 3 total - \$2.3K
- Fencing (access control) - \$0.20K

## Utilities / Electrical Systems / Vehicle Bomb

Electrical transformers

- Bollards, K12, 6 total – \$4.6K



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# Vulnerability/Mitigation

## Mechanical Systems / Fire Alarm Systems / General Vulnerability – Redundancy

### Fire Alarm / Suppression

- Install annunciator panel - \$3.5K
- Fire detection zones for HIC corporate space with dual detection in Data Center - \$81.0K
- Convert Data Center to clean agent to supplement water (check local code) - \$137.5K

### Chilled Water

- Install backup piping to primary air handling units - \$26.0K



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# Vulnerability/Mitigation

## Electrical Systems / General Vulnerability – Redundancy

Increase size of generator fuel tank

- 2,000 to 3,000 gallons (30 hours at full output) - \$17.0K
- 3,000 gallons of diesel fuel - \$8.7K
- Total \$25.7K
- Arrange multiple suppliers for daily deliveries under worst case conditions

Conduct full and extended load test of emergency generator and UPS system to confirm performance



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# Vulnerability/Mitigation

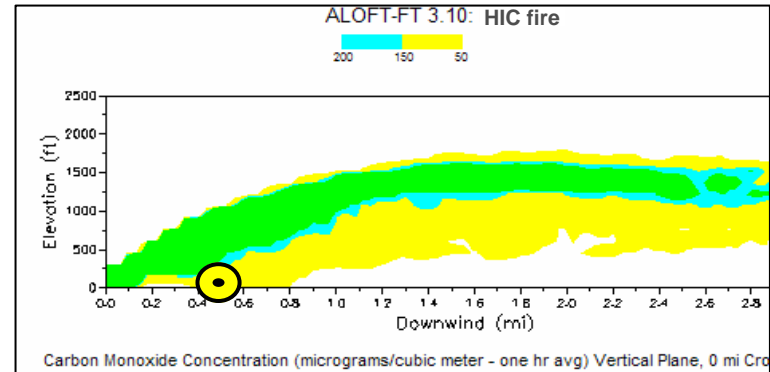
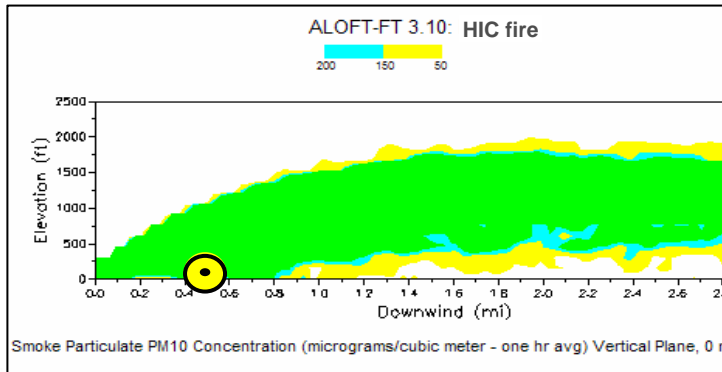
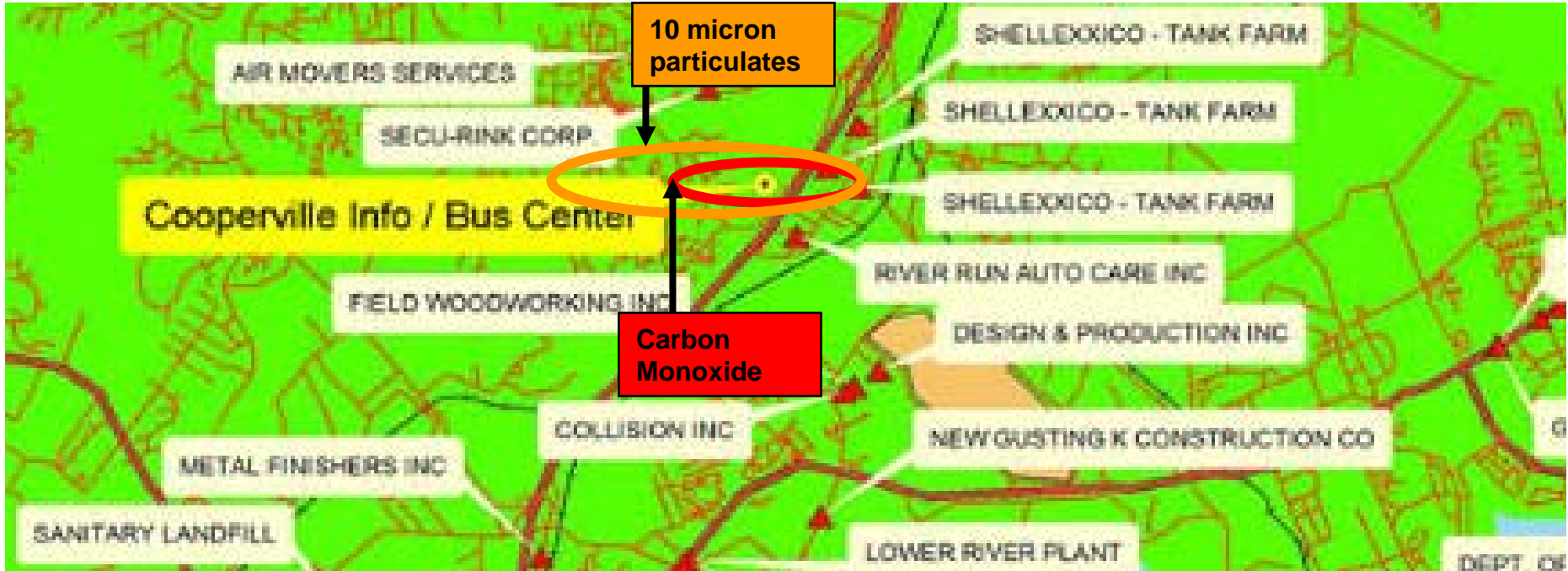
## Mechanical Systems-HVAC / CBR Attack

- Protect outside air intake - \$21.0K  
(architecturally compatible)
- Emergency shut down switch - \$10.0K
- Upgrade filters to MERV 11/13 (gasoline plume and radioactive particulates)
  - \$25.0K (filter assembly only) to
  - \$500.0K (upgraded air handling)



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# Fire Plumes – Smoke & CO



**Smoke Particles**  
**FEMA**

**Carbon Monoxide**

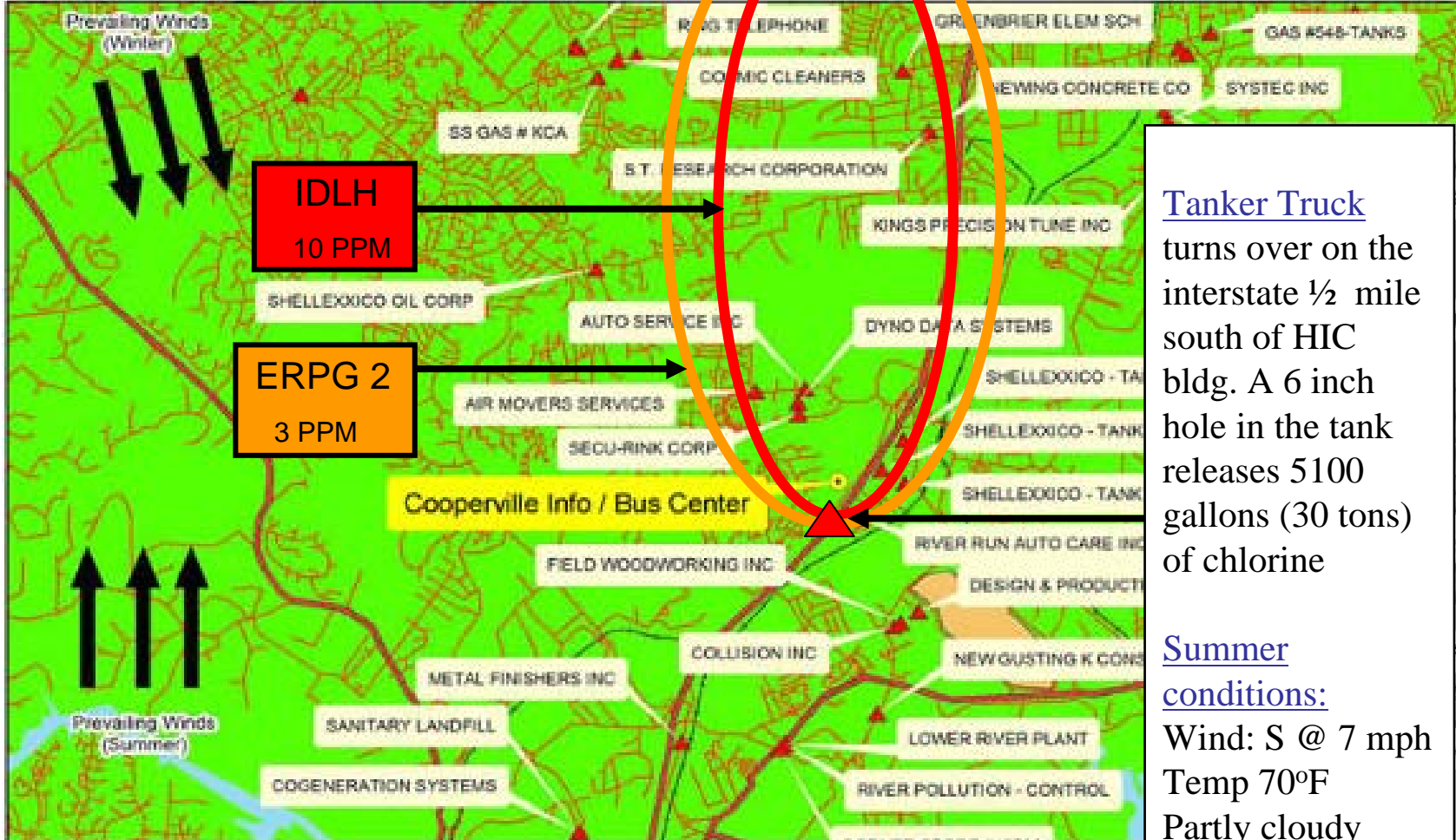
# Vulnerability/Mitigation

## Mechanical Systems-HVAC / CBR Attack

- Evaluate carbon filters for chlorine type spills  
- \$130.0K
- Evaluate UVGI - \$8.0K



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Tanker Truck turns over on the interstate ½ mile south of HIC bldg. A 6 inch hole in the tank releases 5100 gallons (30 tons) of chlorine

Summer conditions:  
 Wind: S @ 7 mph  
 Temp 70°F  
 Partly cloudy  
 No inversion

EPA Hazardous Material Sites  
 Cooperville Info / Bus Center

Legend:  
 ▲ Hazmat  
 ● CBIC  
 ⚡ Major Highway  
 ✈ Airport

Scale: 0 0.5 1 2 3 Miles



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# HIC Chlorine Release Parameters

## SITE DATA INFORMATION:

Location: FAIRFAX, VIRGINIA  
Building Air Exchanges Per Hour: 0.34 (sheltered double storied)  
Time: November 29, 2005 1111 hours EST (using computer's clock)

## CHEMICAL INFORMATION:

Chemical Name: CHLORINE  
Molecular Weight: 70.91 g/mol  
ERPG-3: 20 ppm ERPG-2: 3 ppm ERPG-1: 1 ppm  
IDLH: 10 ppm  
Carcinogenic risk - see CAMEO  
Normal Boiling Point: -29.3° F Ambient Boiling Point: -29.7° F  
Vapor Pressure at Ambient Temperature: greater than 1 atm  
Ambient Saturation Concentration: 1,000,000 ppm or 100.0%

## ATMOSPHERIC INFORMATION: (MANUAL INPUT OF DATA)

Wind: 7 mph from 180° true at 3 meters  
No Inversion Height  
Stability Class: D Air Temperature: 70° F  
Relative Humidity: 50% Ground Roughness: urban or forest  
Cloud Cover: 5 tenths

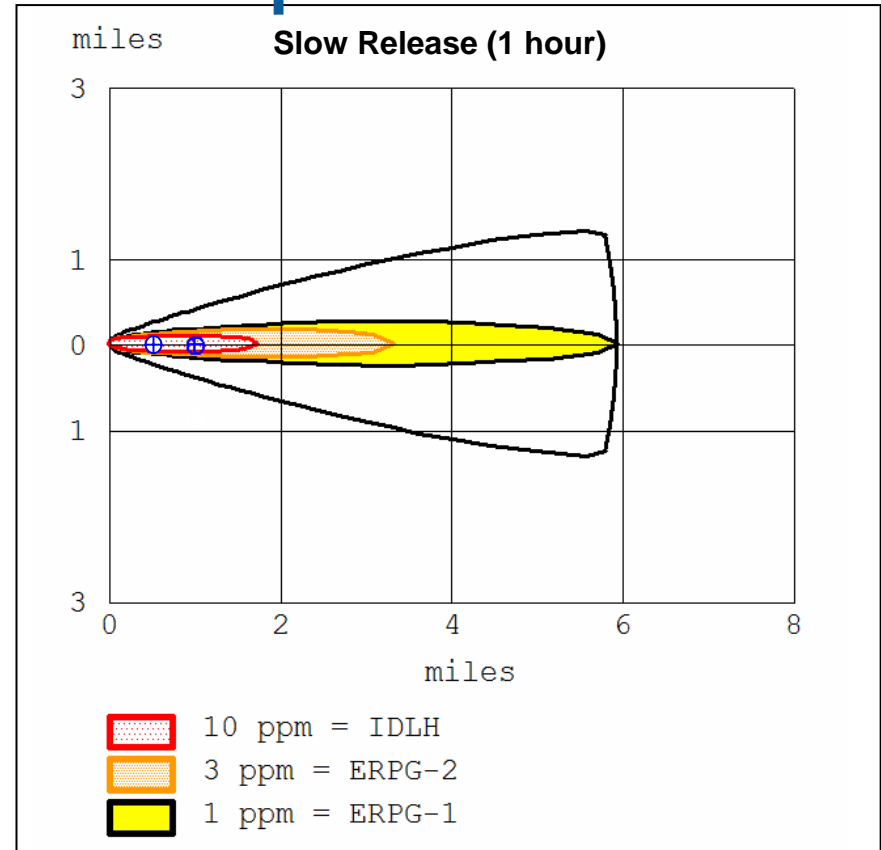
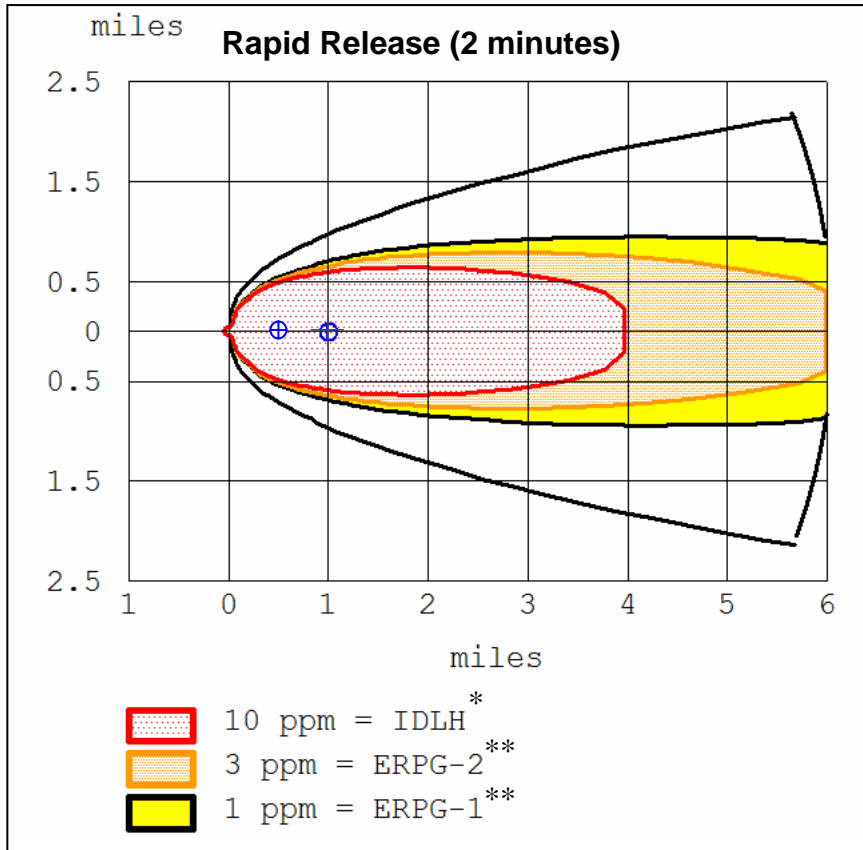
## SOURCE STRENGTH INFORMATION:

Leak from hole in horizontal cylindrical tank  
Tank Diameter: 6 feet Tank Length: 24.1 feet  
Tank Volume: 5100 gallons Tank contains liquid  
Internal Temperature: 70° F  
Chemical Mass in Tank: 30 tons Tank is 100% full  
Circular Opening Diameter: 6 inches  
Opening is 6 inches from tank bottom  
Release Duration: 2 minutes  
Max Average Sustained Release Rate: 57,700 pounds/min  
(averaged over a minute or more)  
Total Amount Released: 59,200 pounds  
Note: The chemical escaped as a mixture of gas and aerosol (two phase flow).



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# Chlorine Release Footprints



- Rapid release yields a large plume
- IDLH four miles long and over 1 mile wide

- Slow release reduces plume size
- IDLH less than 2 miles long and 0.5 miles wide

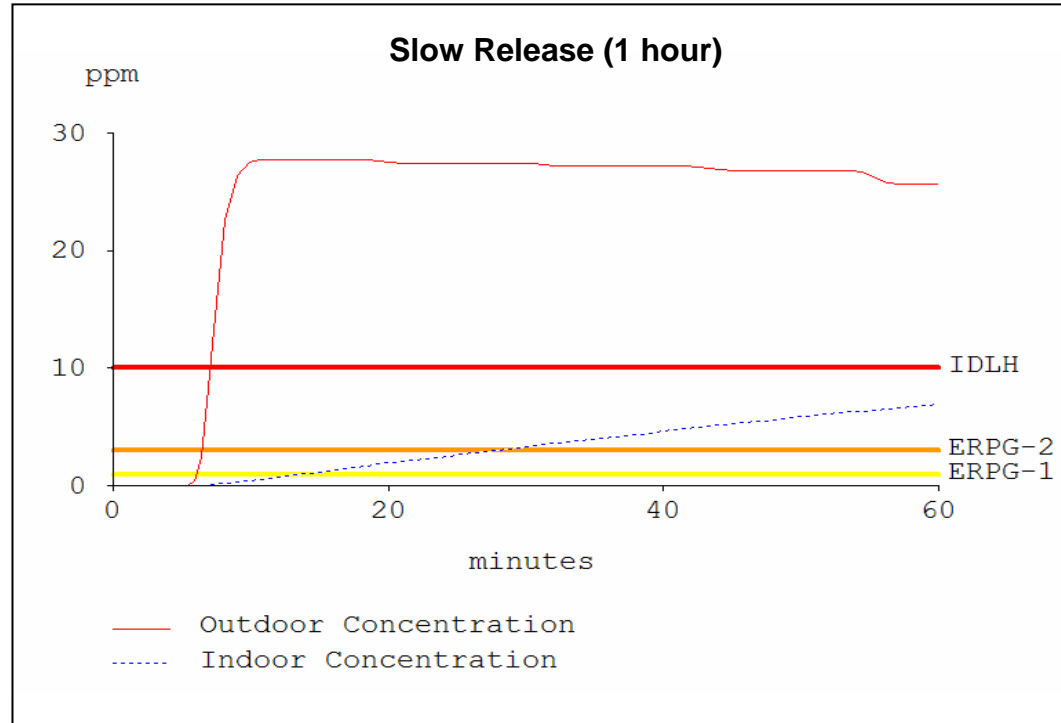
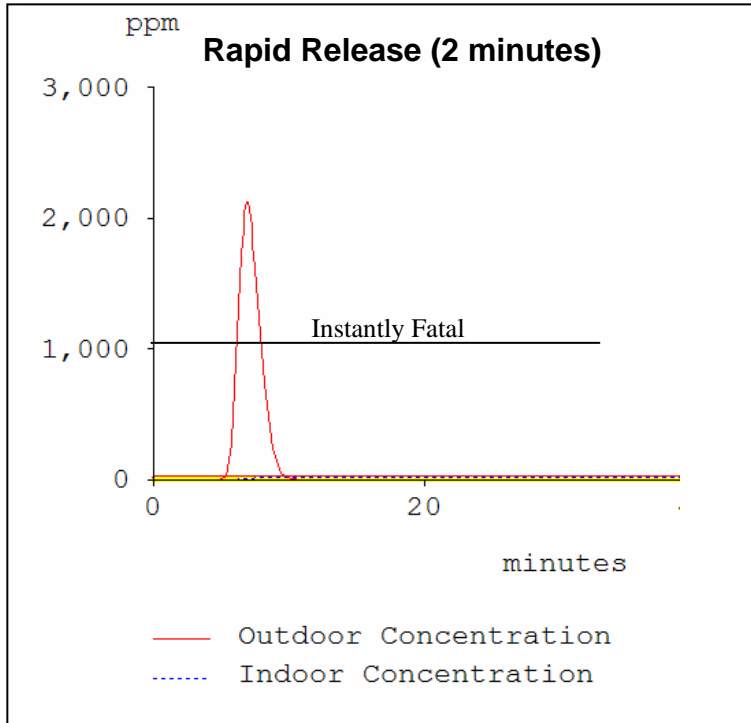
\* Immediately Dangerous to Life or Health – maximum concentration that allows 30 minutes exposure without serious or irreversible health risk  
 \*\* Emergency Response Planning Guide (1 hour exposure guidelines) 1 = mild symptoms, 2 = moderate symptoms, but without irreversible damage and not incapacitating



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# Chlorine Concentrations at HIC



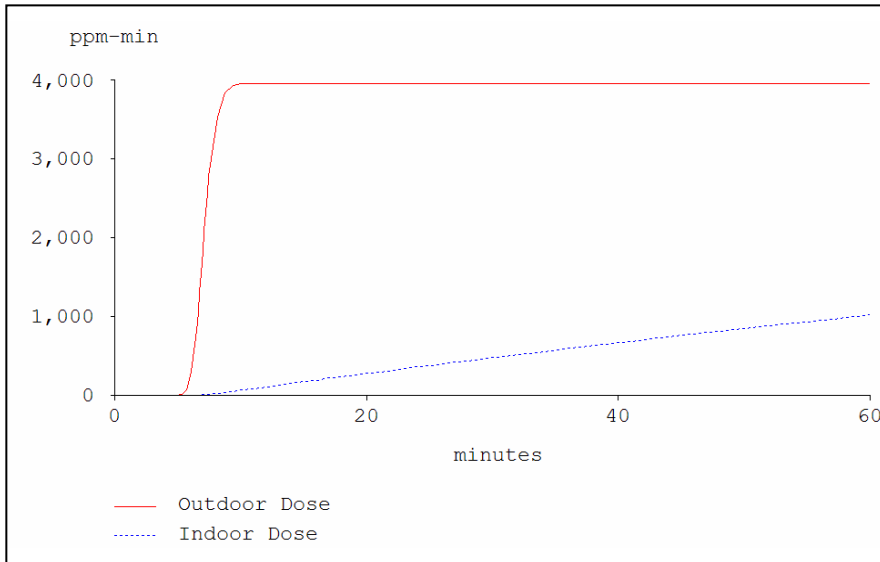
- Rapid spike outdoors at HIC from 6 –12 minutes later
- Instantly fatal concentration levels for this short period
- Indoor concentrations remain low during plume passage
- Evacuees likely to become fatalities from 0.5 to 4 miles downwind during typical evacuation times (5-30 minutes) particularly absent clear/proper evacuation instructions

- Rapid spike outdoors at HIC begins at 6 minutes and continues for over an hour but at 100x lower levels
- Short exposures at 20-30 ppm (2xIDLH) for any lengthy period could cause serious or irreversible health problems
- Indoor concentrations remain below IDLH for > 1 hour and below ERPG 2 for > 0.5 hours



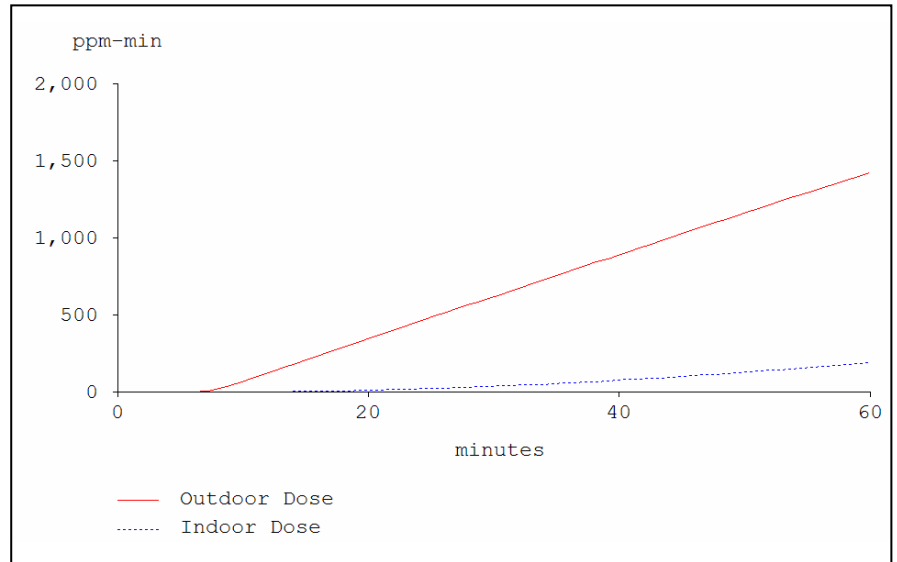
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# Chlorine Dose at HIC



**Rapid Release (2 minutes)**

- Dose spikes rapidly outdoors at HIC at 6 minutes
- Lethal dose at 6 minutes but no increase in dose after the plume passes (~12 minutes post release)
- Indoor concentrations increase at about 16 ppm 1000ppm-min/60 min. Health problems are likely in less than 30 minutes (IDLH - 10 ppm).



**Slow Release (1 hour)**

- Dose increases gradually outdoors at HIC beginning at 6 minutes and continues for over an hour but at a rate not much greater than the indoor rates for a rapid release (1 hour dose = 1500 ppm vs 1000 ppm (rapid release))
- Indoor dose remains very low throughout the full hour



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# Vulnerability/Mitigation

## **IT Communications Systems / Utility Systems / Cyber Attack - Redundancy**

Identify alternate telecom carrier circuits and availability



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# Vulnerability/Mitigation

## Emergency Operations & Response

Post shelter and evacuation procedures - \$900

Identify rally points (A, B, C) at sites away from building - \$900

Conference Room for shelter-in-place (130 people)  
[Sealing and Overpressurization] –\$177.4K

Personal protective evacuation hoods - \$180 / person - \$23.4K



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