

# Appendices and Tables

## **Register's Testimony to Congress**

- The Technology, Education, and Copyright Harmonization Act of 2001. Hearings on S. 487 before the Subcommittee on Courts and the Internet, and Intellectual Property of the House Committee on the Judiciary, 107<sup>th</sup> Congress (June 27, 2001)
- Legislative Branch Appropriations. Before the Subcommittee on Legislative, House Committee on Appropriations on FY 2002 Budget request, 107th Congress (June 26, 2001)
- Legislative Branch Appropriations. Before the Subcommittee on the Legislative Branch, Senate Committee on Appropriations on FY 2002 Budget request, 107th Congress (May 2, 2001)
- Oversight Hearing on the United States Copyright Office. Before the Subcommittee on Courts and the Internet, and Intellectual Property of the House Committee on the Judiciary, 107th Congress (May 2, 2001)
- Promoting Technology and Education: Turbocharging the School Buses on the Information Superhighway. Before the Senate Committee on the Judiciary, 107th Congress (March 13, 2001)

Full text of statements are available on the Copyright Office website: www.copyright.gov

### **Federal Register Documents Issued**

- Distribution of 1993, 1994, 1995, 1996, and 1997 Cable Royalty Funds, Initiation of arbitration
   65 FR 60690, October 12, 2000
- Report to Congress Pursuant to Section 104 of the Digital Millennium Copyright Act: Notice of public hearing
   65 FR 63626, October 24, 2000
- Exemption to Prohibition on Circumvention of Copyright Protection Systems for Access Control Technologies; Final rule 65 FR 64555, October 27, 2000
- Adjustment of Cable Statutory License Royalty Rates: Final rule 65 FR 64622, October 30, 2000
- Distribution of 1993, 1994, 1995, 1996, and 1997 Cable Royalty Funds: Announcement of the schedule for the proceeding 65 FR 65335, November 1, 2000

- Cost of Living Adjustment for Performance of Musical Compositions by Colleges and Universities: Final rule 65 FR 75167, December 1, 2000
- Public Performance of Sound Recordings: Definition of a service: Final Rule 65 FR 77292,
   December 11, 2000
- Digital Performance Right in Sound Recordings and Ephemeral Recordings: Notices of intent to participate; Announcement of precontroversy discovery schedule 65 FR 77393, December 11, 2000
- Public Performance of Sound Recordings: Definition of a Service; Petition for rulemaking, denial 65 FR 77330, December 11, 2000; correction: December 15, 2000 65 FR 78434
- Adjustment of Rates and Terms for the Digital Performance of Sound Recordings; Notice of negotiation period and request for notification 66 FR 1700, January 9, 2001
- Distribution of 1995, 1996, 1997, and 1998 Digital Audio Recording Technology Royalties:
   Distribution Order 66 FR 9360, February 7, 2001
- New Subscription Services and the Digital Performance Right in Sound Recordings: Initiation of voluntary negotiation period 66 FR 9881, February 12, 2001
- Mechanical and Digital Phonorecord Delivery Compulsory License: Notice of inquiry 66 FR 14099, March 9, 2001
- Service of Notice of Institution of Action for Infringement and Service of Complaint in Infringement Action on the Register of Copyright: Final rule 66 FR 19094, April 13, 2001
- Public Roundtable on Intellectual Property Aspects of a Draft Convention on Jurisdiction and Foreign Judgment: Notice announcing public forum 66 FR 20482, April 23, 2001
- Cable and Satellite Statutory Licenses: Notice of proposed rulemaking 66 FR 20958, April 26, 2001
- Notice of Termination: Notice of proposed rulemaking 66 FR 22139, May 3, 2001
- Renewal Copyright Registration: Final rule; technical amendment 66 FR 24267, May 14, 2001
- Cable and Satellite Statutory Licenses: Final rule 66 FR 29700, June 1, 2001
- Copyright Rules and Regulations: Copyright, Registration of Claims to Copyright, Freedom of Information, Privacy, Service of Process, Mask Works: Final rule; Technical amendments 66 FR 34372, June 28, 2001
- Group Registration of Photographs; Final regulations 66 FR 37142, July 17, 2001

- Determination of Reasonable Rates and Terms for the Digital Performance of Sound Recordings: Notice of proposed rulemaking 66 FR 38226, July 23, 2001
- Digital Performance Rights in Sound Recordings and Ephemeral Recordings: Initiation of arbitration and announcement of the schedule for the proceeding 66 FR 38324, July 23, 2001
- Copyright Rules and Regulations: Copyright, Registration of Claims to Copyright, Freedom of Information, Privacy, Service of Process, Mask Works: Correction 66 FR 40322, August 2, 2001
- Compulsory License for Making and Distributing Phonorecords, Including Digital Phonorecord Deliveries: Notice of proposed rulemaking 66 FR 45241, August 28, 2001
- Determination of Reasonable Rates and Terms for the Digital Performance of Sound Recordings:
   Proposed rule; Extension of comment period to 9/19/01 66 FR 46250, September 4, 2001
- Determination of Reasonable Rates and Terms for the Digital Performance of Sound Recordings: Extension of comment period to 9/28/01 66 FR 48648, September 21, 2001
- Determination of Reasonable Rates and Terms for the Digital Performance of Sound Recordings: Extension of comment period to 10/12/01 66 FR 49330, September 27, 2001

REGISTRATIONS, 1790-2001					
Year(s)	Total	Year	Total	Year	Total
1790–1869	150,000¹	1914	124,213	1959	241,735
1870	5,600	1915	116,276	1960	243,926
1871	12,688	1916	117,202	1961	247,014
1872	14,164	1917	112,561	1962	254,776
1873	15,352	1918	107,436	1963	264,845
1874	16,283	1919	113,771	1964	278,987
1875	16,194	1920	127,342	1965	293,617
1876	15,392	1921	136,765	1966	286,866
1877	16,082	1922	140,734	1967	294,406
1878	16,290	1923	151,087	1968	303,451
1879	18,528	1924	164,710	1969	301,258
1880	20,993	1925	167,863	1970	316,466
1881	21,256	1926	180,179	1971	329,696
1882	23,141	1927	186,856	1972	344,574
1883	25,892	1928	196,715	1973	353,648
1884	27,727	1929	164,666	1974	372,832
1885	28,748	1930	175,125	1975	401,274
1886	31,638	1931	167,107	1976	410,969 <sup>2</sup>
1887	35,467	1932	153,710	1976	108,762
1888	38,907	1933	139,361	1977	452,702
1889	41,297	1934	141,217	1978	331,942
1890	43,098	1935	144,439	1979	429,004
1891	49,197	1936	159,268	1980	464,743
1892	54,741	1937	156,930	1981	471,178
1893	58,957	1938	168,663	1982	468,149
1894	62,764	1939	175,450	1983	488,256
1895	67,578	1940	179,467	1984	502,628
1896	72,482	1941	180,647	1985	539,165
1897	75,035	1942	182,232	1986	560,212
1898	75,634	1943	160,789	1987	581,276
1899	81,416	1944	169,269	1988	565,801
1900	95,573	1945	178,848	1989	611,328
1901	93,299	1946	202,144	1990	643,602
1902	93,891	1947	230,215	1991	663,684
1903	99,122	1948	238,121	1992	606,253
1904	104,431	1949	201,190	1993	604,894
1905	114,747	1950	210,564	1994	530,332
1906	118,799	1951	200,354	1995	609,195
1907	124,814	1952	203,705	1996	550,422
1908	120,657	1953	218,506	1997	569,226
1909	121,141	1954	222,665	1998	558,645
1910	109,309	1955	224,732	1999	594,501
1911	115,955	1956	224,908	2000	515,612
1912	121,824	1957	225,807	2001	601,659
1913	120,413	1958	238,935	Total	29,732,771

<sup>&</sup>lt;sup>1</sup> Estimated registrations made in the offices of the Clerks of the District Courts (source: pamphlet entitled Records in the Copyright Office Deposited by the United States District Courts Covering the Period 1790–1870, by Martin A. Roberts, Chief Assistant Librarian, Library of Congress, 1939).

<sup>&</sup>lt;sup>2</sup> Registrations made July 1, 1976 through September 30, 1976 reported separately owing to the statutory change making the fiscal years run from October 1 through September 30 instead of July 1 through June 30.

Category of Material	Published	Unpublished	Tota
Non-dramatic literary works:			
Monographs and computer-related works Serials:	157,160	55,014	212,174
Serials (non-group)	49,993	-	49,993
Group Daily Newspapers	2,679	-	2,679
Group Serials	9,667	-	9,667
Total literary works	219,499	55,014	274,513
Works of the performing arts, including musical works, dramatic works, choreography and pantomimes, and motion pictures and filmstrips	55,296	101,054	156,350
Works of the visual arts, including two-dimensional works of fine and graphic art, sculptural works, technical drawings and models, photographs, cartographic works commerical prints and labels, and works of applied arts	64,289	35,617	99,906
Sound recordings	20,027	30,505	50,532
Total basic registrations	359,111	222,190	581,301
Renewals Mask work registrations Design vessel hull registrations			19,752 558 48
Grand total all registrations			601,659
Documents Recorded			15,242

FEES RECORDED, FISCAL 2001		
	Fees	
Applications for Registration	\$17,381,555	
Fees for mask works	\$48,225	
Fees for Vessel Hull Design	\$5,655	
Renewals	\$1,011,522	
Total	\$18,446,957	
Fees for recordation of documents	\$1,217,573	
Fees for certifications	\$284,692	
Fees for searches	\$244,024	
Fees for expedited services	\$1,328,302	
Fees for other services	\$217,290	
Total	\$3,291,881	
Grand Total	\$21,738,838	
Fees Applied to the Appropriation, Fiscal 2001	\$21,759,382	

ESTIMATED VALU	JE OF MATI	ERIALS TRA	NSFERRE	D, FISCAL	2001
	Registered works transferred to other departments of the Library	Non-registration works transferred to other departments of the Library	Total works transferred to other departments of the Library	Average unit price	Total value of works transferre to other departments of the Library
Books <sup>1</sup>	162,390	50,057	212,447		\$9,801,937
Ink Print	138,260	23,493	161,753	\$58.22	\$9,417,260
Electronic Works (ProQuest)	21,694	26,219	47,913	\$3.60	\$172,487
Microfilm	2,436	345	2,781	\$76.30	\$212,190
Serials <sup>2</sup>	190,461	224,840	415,301		\$6,196,760
Periodicals	172,367	197,500	369,867	\$26.16	\$5,805,432
Ink Print Newspapers	18,094	25,200	43,294	\$0.91	\$23,639
Microfilm Newspapers	2,679	2,140	4,819	\$76.30	\$367,690
Computer-related works	6,703	1,036	7,739		\$1,483,745
Software	2,346	15	2,361	\$25.32	\$59,781
CD-ROMs	1,341	905 (705 serials)	2,246	\$634.00	\$1,423,964
Printouts	3,016	116	3,132	indeter	minate value
Motion Pictures <sup>3</sup>	13,695	104	13,799		\$12,284,834
Videotapes	13,010	104	13,114	\$80.19	\$1,051,612
Feature Films	1,267	_	1,267	\$8,866.00	\$11,233,222
Music	47,076	285	47,361	\$32.07	\$1,518,867
Dramatic Works, choreogra and pantomimes	phy, 959	_	959	\$58.22	\$55,833
Other works of the performing arts	263	_	263	\$32.07	\$8,434
Sound Recordings	22,275	1,191	23,466	\$13.03	\$305,762
Maps	3,455	157	3,612	\$32.95	\$119,015
Prints, pictures, and	, , ,				. , , , , , ,
works of art	3,005	82	3,087	\$26.63	\$82,207
TOTAL	450,282	277,752	728,034		\$31,857,394

<sup>&</sup>lt;sup>1</sup> 60% of "BOOKS" are selected for the collections; 40% are used for the Library's exchange program.

 $<sup>^{2}</sup>$  60% of "SERIALS" are selected for the collections, except in the case of Microfilm Newspapers (100% of which are selected).

<sup>&</sup>lt;sup>3</sup> Includes 582 copies selected by the Library under motion picture agreements.

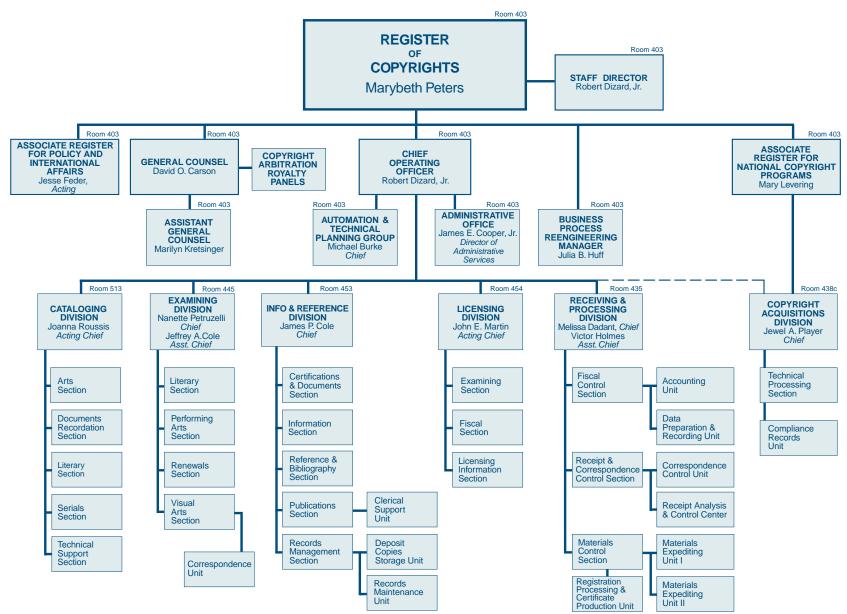
NON-FEE INFORMATION SERVICES T	O THE PUBLIC, FISCAL 2001
Information and Reference Division direct reference	e services
In person	21,32
By correspondence	53,60
By email	22,46
By telephone	221,14
Total	318,54
Office of the General Counsel direct reference serv	vices
By correspondence	5
By telephone	1,00
Total	1,05
Receiving and Processing Division services	
By correspondence	4,40
By telephone	3,71
Total	8,11
Licensing Division direct reference services	
In person	51
By correspondence	3,05
By telephone	8,35
Total	11,92
Grand total direct reference services	339,64

	CIAL STATEMENT OF ROYALTY FEES FOR COM	
	fees deposited	\$112,771,405.40
Interest income		\$5,793,227.12
Gain or	n matured securities	\$71,676.66 \$75,347.00
Transfe	rs in	
	Total	\$118,711,656.18
Less:	Licensing operating costs	\$2,745,986.94
	Refunds issued	\$12,776.28
	Cost of investments	\$114,360,561.76
	Cost of initial investments	\$1,132,268.88
	CARP Operating costs	\$313,965.75
	Transfers out	\$140,335.35
	Total	\$118,705,894.96
Balance	e as of September 30, 2001	\$5,761.22
Plus: Face amount of securities due		\$114,662,853.43
Less: Pending refunds		\$3,289.18
Cable r	oyalty fees for calendar year 2000 available for distribution by the Library of Congress	\$114,665,325.4 <b>7</b>

	FINANCIAL STATEMENT OF ROYALTY FEES FOR STATUTORY OBLIGATIONS FOR DISTRIBUTION OF DIGITAL AUDIO RECORDING EQUIPMENT AND MEDIA FOR CALENDAR YEAR 2000				
Royalty	Royalty fees deposited \$5,279,089.56				
Interes	t income	\$164,831.97			
Gain or	n matured securities	\$56,577.41			
	Total	\$5,500,498.94			
Less:	Licensing operating costs	\$28,045.98			
	Refunds	\$38,087.91			
	Cost of investments	\$1,918,652.32			
	Cost of initial investments	\$40,650.28			
	CARP operating costs	\$80,320.71			
	Distribution of fees	\$3,320,767.09			
	Transfers out	73,788.00			
	Total	\$5,500,312.29			
Balanc	e as of September 30, 2001	\$186.65			
Plus:	Face amount of securities due	\$1,923,274.59			
	Audio Home Recording Act royalty fees for calendar year 2000 available for distribution by the Library of Congress \$1,923,461.24				

FINAN	FINANCIAL STATEMENT OF ROYALTY FEES FOR STATUTORY LICENSES FOR SECONDARY TRANSMISSION BY SATELLITE CARRIERS FOR CALENDAR YEAR 2000			
Royalty	fees deposited	\$67,644,774.08		
Interes	t income	\$2,951,444.60		
Gain or	n matured securities	\$300,788.44		
Transfe	Transferred in			
	Total	\$70,897,007.12		
Less:	Licensing operating costs	\$24,967.08		
	Cost of investments	\$70,869,450.58		
	Cost of initial investments	(\$43,632.02)		
	CARP operating costs	\$46,126.36		
	Total	\$70,896,912.00		
Balanc	e as of September 30, 2001	\$95.12		
Plus:	Face amount of securities due	\$71,096,307.03		
	e carrier royalty fees for calendar year 2000 available istribution by the Library of Congress	\$71,096,402.15		

# **U.S. Copyright Office**



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# **U.S. Copyright Office**

The Library of Congress 101 Independence Avenue, S.E. Washington, D.C. 20559-6000

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