| ALER | (1)<br>TS Case<br>umber | e E | Employe | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | isciplini | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>iduct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated   | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discij<br>Impo<br>Elaps | 11)<br>ear<br>pline is<br>osed -<br>ed time<br>lonths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|---------|-------|--|----|-----------|----|--|--------------------------------------|---|--|--|--------------------------|--|---|--|------------------------------|---|--|---|--|
| 2005 | 11983                   | GS  | 0962    | 11    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | > \$2000                               | 2000-2003<br>2004-2007                 | ONE                      | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                             | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2005 | 15208                   | GS  | 0512    | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | NATURAL<br>RESOURCES &<br>CONSTRUCT  | TAX,<br>UNDERREPORTER   | > \$2000                               | 2000-2003                              | ONE                      | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                             | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2005 | 18565                   | GS  | 2210    | 11    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | TAX,<br>UNDERREPORTER   | > \$2000                               | 2000-2003                              | MULTIPL<br>E             | RRA 1998 ·<br>1203 (b)(9)<br>OGE<br>2635.809               |   | 2006                                   | 2007                         | 10  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2005 | 19157                   | GS  | 0592    | 07    | TAX EXAMINING                                | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2000-2003                              | MULTIPL<br>E             |  | NOT<br>STATED   | 2007                                   | 2007                         | 7   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 21<br>DAYS               | NOT<br>STATED  |
| 2005 | 19249                   | GS  | 0356    | 04    | DATA TRANSCRIBER                             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | > \$2000                               | 2000-2003                              | ONE                      | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(8)               | NOT<br>STATED   | 2005                                   | 2007                         | 24  | REMOVAL<br>(PROBATION<br>PERIOD              | (PROBATI<br>ON                          | NOT<br>STATED  |
| 2006 | 904                     | GS  |         |       | INTERNAL REVENUE<br>AGENT                    |    | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | EXEMPT<br>ORGANIZATIONS              | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2000-2003                              |                          | IDRS<br>SECURITY<br>RULE                                   | STATED  | 2007                                   | 2007                         | 6   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS               | STATED   |
| 2006 | 1623                    | GS  | 2210    | 11    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | > \$2000                               | 2000-2003                              | MULTIPL<br>E             | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809               |   | 2006                                   | 2007                         | 12  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 21<br>DAYS               | NOT<br>STATED  |
| 2006 | 1641                    | GS  | 0512    | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0512      | 04 | INTERNAL REVENUE<br>AGENT                    | EMPLOYEE PLANS                       | TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | > \$2000                               | 2000-2003                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                             | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2006 | 1642                    | GS  | 1169    | 12    | INTERNAL REVENUE<br>OFFICER                  | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2000-2003<br>2004-2007                 |                          | . OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             | NOT<br>STATED   | 2007                                   | 2007                         | 5   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 15<br>DAYS               | NOT<br>STATED  |
| 2006 | 1644                    | GS  | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | > \$2000                               | 2000-2003                              | MULTIPL<br>E             | RRA 1998 -<br>1203 (b)(9)<br>OTHER -<br>TAXES:<br>NOT 1203 |   | 2006                                   | 2007                         | 15  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ON                                      | NOT<br>STATED  |
| 2006 | 1645                    | GS  | 0962    | 06    | CONTACT<br>REPRESENTATIVE                    | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                              | ONE                      | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)               | NOT<br>STATED   | 2007                                   | 2007                         | 8   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ON                                      | NOT<br>STATED  |
| 2006 | 1646                    | GS  | 0592    | 07    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2000-2003                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 4   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 10<br>DAYS               | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e E | Employe | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | (3)<br>ng Official Series, Grade<br>and Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted   | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|---------|-------|--|----|----------|---|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|---|--|--|--|
| 2006 | 1977                    | GS  | 1169    | 12    | INTERNAL REVENUE<br>OFFICER                  | ES | 0340     | 00 PROGRAM<br>MANAGEMENT                          | COLLECTION                           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2000-2003                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2006                                   | 2007                           | 10  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2006 | 3169                    | GS  | 0592    | 04    | TAX EXAMINING                                | ES | 0340     | 00 PROGRAM<br>MANAGEMENT                          | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | STATED                                 | 2000-2003<br>2004-2007                 |                    | RRA 1998 ·<br>1203 (b)(9)<br>RRA 1998 ·<br>1203 (b)(8)   | NOT<br>STATED   | 2006                                   | 2007                           | 13  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D  | NOT<br>STATED  |
|      | 3579                    | GS  |         |       | INTERNAL REVENUE<br>OFFICER                  |    |          | 00 PROGRAM<br>MANAGEMENT                          | SPECIALITY<br>PROGRAMS               | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | E                  | SECURITY<br>RULE   | -   | 2007                                   |                                | 6   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | DAYS   | STATED   |
| 2006 | 4459                    | GS  | 0592    | 07    | TAX EXAMINING                                | ES | 0340     | 00 PROGRAM<br>MANAGEMENT                          | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | STATED                                 | 2000-2003<br>2004-2007                 |                    | RRA 1998 -<br>1203 (b)(9)<br>RRA 1998 -<br>1203 (b)(8)   | NOT<br>STATED   | 2006                                   | 2007                           | 13  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2006 | 4462                    | IR  | 0340    | 01    | PROGRAM<br>MANAGEMENT                        | ES | 0340     | 00 PROGRAM<br>MANAGEMENT                          | DEP COMM<br>OPERATIONS<br>SUPPORT    | TAX,<br>UNDERREPORTER   | NOT<br>STATED                          | 2000-2003                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | WRITTEN<br>COUNSELI<br>NG                            |
| 2006 | 5421                    | IR  | 2210    | 04    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES | 0340     | 00 PROGRAM<br>MANAGEMENT                          | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | D \$1001-<br>\$1500                    | 2000-2003                              | E                  | RRA 1998 ·<br>1203 (b)(8)<br>RRA 1998 ·<br>1203 (b)(9)   | NOT<br>STATED   | 2006                                   | 2007                           | 13  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ON 14<br>DAYS  | NOT<br>STATED  |
| 2006 | 5890                    | GS  | 0501    | 11    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0340     | 01 PROGRAM<br>MANAGEMENT                          | NATIONAL<br>TAXPAYER<br>ADVOCATE     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 1988-1991                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | FIRST<br>OFFENSE<br>NO<br>EFFECT<br>UPON<br>EMPLOYE<br>R'S<br>CONFIDEN<br>CE OF<br>EMPLOYE<br>E<br>SANCTION<br>UNTIMELY |  | 2007                           | 4   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D  | NOT<br>STATED  |
| 2006 | 6761                    | GS  | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 PROGRAM<br>MANAGEMENT                          | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | . IDRS<br>SECURITY<br>RULE                               | NOT<br>STATED   | 2007                                   | 2007                           | 8   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2006 | 7107                    | GS  |         |       | TAX EXAMINING                                | ES | 0340     | 00 PROGRAM<br>MANAGEMENT                          | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2004-2007                              | -                  | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                           | 5   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ON 30<br>DAYS  | NOT<br>STATED  |
| 2006 | 7204                    | GS  | 1169    | 12    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 PROGRAM<br>MANAGEMENT                          | COLLECTION                           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 1996-1999                              | ONE                | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                           | 6   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | En | nployee | e Ser | (2)<br>ies, Grade and Job<br>Title           | Di | sciplinii | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated |   | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|----|---------|-------|--|----|-----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|---|--|--|--|
|      | 7356                   |    | 0592    |       | TAX EXAMINING                                | ES |           | 00 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>SUBMISSION<br>PROCSG | TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2000-2003                              | E               | SECURITY<br>RULE   | OFFENSE<br>GOOD<br>WORK<br>RECORD   | 2007                                   |                                | 3   | (PROBATION<br>PERIOD<br>COMPLETE)            | DAYS   | STATED   |
| 2006 | 7360                   | IR | 1169    | 05    | INTERNAL REVENUE<br>OFFICER                  | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2000-2003                              | IONE            | IDRS<br>SECURITY<br>RULE                                 | FIRST<br>OFFENSE<br>GOOD<br>WORK<br>RECORD<br>NO<br>EFFECT<br>UPON<br>EMPLOYE<br>E<br>EONFIDEN<br>CE OF<br>EMPLOYE<br>E<br>POTENTIA<br>L FOR<br>REHABILIT<br>ATION<br>LENGTH<br>OF<br>SERVICE |  | 2007                           | 8   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2006 | 7405                   | GS | 0592    | 06    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | <= \$500                               | 2004-2007                              | MULTIPL<br>E    | RRA 1998 -<br>1203 (b)(9)                                | NOT   | 2007                                   | 2007                           | 8   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 3<br>DAYS                             | SUSPENSI<br>ON 7<br>DAYS                             |
| 2006 | 7544                   | GS | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2000-2003                              | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 | FIRST<br>OFFENSE<br>GOOD<br>WORK<br>RECORD  | 2007                                   | 2007                           | 4   |  |  | NOT<br>STATED  |
| 2006 | 7837                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2000-2003                              | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                           | 5   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>F) | NOT<br>STATED  |
| 2006 | 8115                   |    | 1169    |       | INTERNAL REVENUE<br>OFFICER                  |    | 0301      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | COLLECTION                           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS<br>FALSE OR<br>MISLEADING<br>STATEMENTS | NOT<br>STATED                          | 2000-2003                              | E               | STATED   | STATED  | 2007                                   | 2007                           | 6   | (PROBATION<br>PERIOD<br>COMPLETE)            | ON<br>PERIOD<br>COMPLET<br>E)                        | STATED   |
| 2006 | 8461                   | GS | 0592    | 05    | TAX EXAMINING                                | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         |   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | IRM 6.735  | NOT<br>STATED   | 2006                                   | 2007                           | 17  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                            | WRITTEN<br>COUNSELI<br>NG                            |
| 2006 |                        |    | 0962    |       | CONTACT<br>REPRESENTATIVE                    | IR | 0340      |    | PROGRAM<br>MANAGEMENT                        | W&I SERVICE<br>CENTERS               | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   |  | 2004-2007                              | E               | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             | STATED  | 2007                                   |                                | 6   | (PROBATION<br>PERIOD<br>COMPLETE)            | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2006 | 9358                   | ĞS | 2210    | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | APPLICATIONS<br>DEVELOPMENT          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 |   | 2006                                   | 2007                           | 12  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D  | NOT<br>STATED  |

| ALER<br>Nu | (1)<br>TS Cas<br>ımber |    |      |    | (2)<br>ies, Grade and Job<br>Title |    |      | (3)<br>ing Official Series, Grade<br>and Job Title | Code                                 | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal I<br>Miscon<br>Multiple C | Range of<br>duct / C<br>Offenses d<br>V | (8)<br>Std of<br>conduct<br>or IRS<br>Policy<br>/iolated        | Accepted   | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse<br>in Me | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed   | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------------|------------------------|----|------|----|------------------------------------|----|------|--|--------------------------------------|--|--|---|---|---|--|--|---|--|--|---|--|
| 2006       | 9359                   | GS | 0592 | 07 | TAX EXAMINING                      | ES | 0340 | 00 PROGRAM<br>MANAGEMENT                           | ATLANTA<br>COMPLIANCE<br>SERVICES    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2000-2003<br>2004-2007                    |   | rs<br>Curity<br>Jle   |  | 2007                                   | 2007                                    | 7  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ÔN  | NOT<br>STATED  |
|            | 9414                   | GS |      |    | GENERAL BUSINESS<br>AND INDUSTRY   |    |      | 00 PROGRAM<br>MANAGEMENT                           | COLLECTION                           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS DEBT<br>COLLECTION<br>FALSE OR<br>MISLEADING<br>STATEMENTS<br>GOVT CREDIT<br>CARD PROBLEMS |  |   | RU<br>OT<br>FA<br>TO<br>CR<br>BA        | CURITY<br>JLE<br>THER -<br>ILURE<br>PAY<br>REDIT<br>RD<br>LANCE |  | 2007                                   |   | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | (PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2006       | 9894                   | GS |      |    | TAX EXAMINING                      | ES | 0340 | 00 PROGRAM<br>MANAGEMENT                           | ATLANTA<br>COMPLIANCE<br>SERVICES    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          |   | RU                                      | CURITY  | FIRST<br>OFFENSE<br>GOOD<br>WORK<br>RECORD<br>POTENTIA<br>L FOR<br>REHABILIT<br>ATION<br>LENGTH<br>OF<br>SERVICE | 2007                                   | 2007                                    | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                 | NOT<br>STATED  |
| 2006       |                        | GS |      |    | CONTACT<br>REPRESENTATIVE          | IR | 0340 | MANAGEMENT   | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS<br>NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OI<br>DUTY                                      |  | 2004-2007                                 | RU                                      | RS<br>CURITY<br>JLE   | NOT<br>STATED  | 2006                                   |   | 9  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ON<br>PERIOD<br>COMPLET<br>E)             | ON 7<br>DAYS   |
| 2006       | 10025                  | GS | 0962 | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0343 | 01 MANAGEMENT AND<br>PROGRAM ANALYS                | IS ACCOUNTS<br>MANAGEMENT            | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | NOT<br>STATED                          |   | RR                                      |   | NOT<br>STATED  | 2007                                   | 2007                                    | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 3<br>DAYS                  | REPRIMA<br>ND  |
| 2006       | 10829                  | GS | 0335 | 09 | COMPUTER CLERK<br>AND ASSISTANT    | IR | 0301 | 01 MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR    | SB/SE SERVICE<br>CENTERS             | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2000-2003                                 |   | CURITY  | FIRST<br>OFFENSE<br>GOOD<br>WORK<br>RECORD<br>POTENTIA<br>L FOR<br>REHABILIT<br>ATION<br>LENGTH<br>OF<br>SERVICE | 2007                                   | 2007                                    | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                 | NOT<br>STATED  |

| ALER<br>Nu | (1)<br>TS Case<br>Imber<br>11016 |    | nploye | (2)<br>e Series, Grade and Job<br>Title       |    | isciplinii<br>0301 | 0  | (3)<br>fficial Series, Grade<br>I Job Title<br>MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | (4)<br>Employee Office<br>Code<br>HVY MFG &<br>TRANSPORT | (5)<br>Offense - Nature<br>of misconduct<br>COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY   | (6)<br>Monetary<br>Value of<br>Offense<br>NOT<br>STATED | (7)<br>Temporal I<br>Miscon<br>Multiple C<br>2004-2007 | Range of<br>duct /<br>offenses |  | Offered &<br>Accepted   | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elapse<br>in Mo | ear<br>bline is<br>bsed -<br>ed time | (PROBATION                                   | (13)<br>Actual<br>Discipline<br>Imposed<br>SUSPENSI<br>ON 30<br>DAYS | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline<br>WRITTEN<br>COUNSELI<br>NG |
|------------|----------------------------------|----|--------|---|----|--------------------|----|---|--|---|---|--|--------------------------------|--|---|--|-----------------------------------|--------------------------------------|--|--|---|
| 2006       | 11306                            | GS | 0526   | 07 TAX TECHNICIAN                             | ES | 0340               | 00 | PROGRAM   | SPECIALITY   | VIOLATIONS  | NOT   | 2000-2003  | ONE                            | IDRS   | RECORD<br>POTENTIA<br>L FOR<br>REHABILIT<br>ATION<br>LENGTH<br>OF<br>SERVICE<br>NOT | 2007                                   | 2007                              | 11                                   | REMOVAL                                      | SUSPENSI   | NOT   |
| 2000       | 11300                            | 65 | 0320   |   | LO | 0340               | 00 | MANAGEMENT  | PROGRAMS   | SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | STATED  | 2000-2003  | ONE                            | SECURITY<br>RULE                             |   | 2007                                   | 2007                              |                                      | (PROBATION                                   |  | STATED  |
| 2006       | 11390                            | GS | 0303   | 05 MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | ES | 0340               |    | PROGRAM<br>MANAGEMENT   | KANSAS CITY<br>ACCTS<br>MANAGEMENT                       | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED   | 2004-2007  |                                | RULE   | OFFENSE<br>GOOD<br>WORK<br>RECORD   |  | 2007                              | 4                                    | (PROBATION<br>PERIOD<br>COMPLETE)            | DAYS   | STATED  |
| 2006       | 11469                            | GS | 0501   | 11 FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | ES | 0340               | 00 | PROGRAM<br>MANAGEMENT   | DEPUTY NATL<br>TXPYR ADVOCATE                            | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED   | 1996-1999<br>2000-2003                                 | MULTIPL<br>E                   | . IDRS<br>SECURITY<br>RULE                   |   | 2006                                   | 2007                              | 11                                   | (PROBATION                                   | DAYS   | NOT<br>STATED   |
| 2006       | 11473                            |    | 1811   | INVESTIGATING                                 | IR |                    | 01 | CRIMINAL<br>INVESTIGATING   | CI MID ATLANTIC<br>AREA FIELD OPS                        | FALSE OR<br>MISLEADING<br>STATEMENTS<br>FAILURE TO<br>COOPERATE IN AN<br>OFFICIAL<br>INVESTIGATION<br>INTERNET / EMAIL<br>MISUSE MISUSE<br>OF GOVERNMENT<br>PROPERTY<br>OTHER - FAILED<br>TO<br>APPROPRIATELY<br>REPORT<br>WORK/LEAVE<br>STATUS |   |  | ONE                            | NOT<br>STATED                                | STATED  |  |                                   | 5                                    | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ON<br>PERIOD<br>COMPLET<br>E)  | NOT<br>STATED   |
|            | 11668                            |    |        | 05 SECRETARY                                  | IR |                    |    | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR  | COLLECTION   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | STATED  | 2004-2007  |                                | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(8) | STATED  |  |                                   | 13                                   | (PROBATION<br>PERIOD<br>COMPLETE)            |  | STATED  |
| 2006       | 11894                            |    |        | 12 INTERNAL REVENUE<br>OFFICER                |    | 0301               | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR  | COLLECTION   | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   |   |  | E                              | SECURITY<br>RULE                             | STATED  |  | 2001                              | 3                                    | (PROBATION<br>PERIOD<br>COMPLETE)            | DAYS   | ON 3<br>DAYS  |
| 2006       | 12413                            | GS | 0305   | 03 MAIL AND FILE                              | ES | 0340               | 00 | PROGRAM<br>MANAGEMENT   | OGDEN<br>SUBMISSION<br>PROCESSING                        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED   | 2004-2007  | ONE                            | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809 |   | 2006                                   | 2007                              | 7                                    | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D  | NOT<br>STATED   |

| ALER | (1)<br>TS Case<br>Imber | εE | mploye | e Ser | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini |    | (3)<br>fficial Series, Grade<br>I Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct               | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal I<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|-------|--|----|----------|----|--|--------------------------------------|--|--|---|-------------------------|--|---|--|--------------------------------|--|--|---|--|
| 2006 | 12969                   | GS | 2210   | 09    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>OPERATIONS             | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                                 | MULTIPL<br>E            | . RRA 1998 -<br>1203 (b)(8)                              |   | 2007                                   | 2007                           | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | SUSPENSI<br>ON 7<br>DAYS                             |
| 2006 | 13016                   | GS | 0930   | 13    | HEARINGS AND<br>APPEALS                      | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FIELD OPERATIONS<br>EAST             | INTERNET / EMAII<br>MISUSE                             | NOT<br>STATED                          | NOT<br>STATED                             | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 6  | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 30<br>DAYS               | REPRIMA<br>ND  |
| 2006 | 13132                   | GS | 0592   | 06    | TAX EXAMINING                                | IR | 0343     | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | MISUSE OF<br>POSITION                                  | \$ 501-<br>\$1000                      | 2004-2007                                 | ONE                     | RRA 1998 -<br>1203<br>(b)(10)<br>OGE<br>2635.702         | NOT<br>STATED   | 2006                                   | 2007                           | 9  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN                                | NOT<br>STATED  |
| 2006 | 13133                   | GS | 0503   | 06    | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | FALSE OR<br>MISLEADING<br>STATEMENTS                   | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E            |  | NOT<br>STATED   | 2006                                   | 2007                           | 11   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2006 | 13897                   | GS |        |       | MAIL AND FILE                                | ES |          |    | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY |  | 2000-2003<br>2004-2007                    | E                       | 1203 (b)(8)<br>RRA 1998 -<br>1203 (b)(9)                 | STATED  | 2007                                   |                                | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | DAYS                                    | STATED   |
|      | 14191                   | GS |        |       | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340     |    | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | STATED                                 | 2004-2007                                 |                         | RRA 1998 -<br>1203 (b)(8)                                | STATED  | 2007                                   | 2007                           | 7  | (PROBATION<br>PERIOD<br>COMPLETE)            |   | STATED   |
| 2006 | 14200                   | GS | 0303   | 03    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                                 | ONE                     | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |   | 2006                                   | 2007                           | 9  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | SUSPENSI<br>ON 1<br>DAYS                             |
| 2006 | 14361                   | GS | 1802   | 07    | COMPLIANCE<br>INSPECTION AND<br>SUPPORT      | IR | 1811     | 04 | CRIMINAL<br>INVESTIGATING                    | CI REFUND CRIMES                     | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2000-2003                                 | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2006 | 14364                   | GS | 0560   | 13    | BUDGET ANALYSIS                              | IR | 0501     | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | WAGE AND<br>INVESTMENT               | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2000-2003                                 | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2006 | 14548                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                                 | MULTIPL<br>E            | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(8)             | NOT<br>STATED   | 2006                                   | 2007                           | 8  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2006 | 14875                   | GS | 0303   | 05    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O \$ 501-<br>\$1000                    | 2004-2007                                 | NOT<br>STATED           | RRA 1998 -   |   | 2006                                   | 2007                           | 9  |  | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2006 | 14884                   | GS | 0592   | 06    | TAX EXAMINING                                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | STATED                                 | 2004-2007                                 | -                       | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | STATED   |
| 2006 | 15046                   | IR | 0962   | 07    | CONTACT<br>REPRESENTATIVE                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | INTERNET / EMAII<br>MISUSE                             | STATED                                 | 2004-2007                                 | -                       | NOT<br>STATED  | STATED  | 2007                                   | 2007                           | 2  | N 30 DAYS                                    | ON 30<br>DAYS                           | NOT<br>STATED  |
| 2006 | 15152                   | GS | 0592   | 04    | TAX EXAMINING                                | IR | 0343     | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O \$1001-<br>\$1500                    | 2000-2003                                 | ONE                     | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             |   | 2007                                   | 2007                           | 5  | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nployee | e Ser | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated                      | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths |  | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|--------------------|---|---|--|--------------------------------|---|--|---|--|
| 2006 | 15162                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | 9<= \$500                              | 2004-2007                              | MULTIPL<br>E       | RRA 1998 -<br>1203 (b)(8)<br>RRA 1998 -<br>1203 (b)(9)                        |   | 2007                                   | 2007                           | 5   | REMOVAL R<br>(PROBATION D<br>PERIOD<br>COMPLETE)   | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2006 | 15169                   | GS   | 0356    | 04    | DATA TRANSCRIBER                             | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809                                  |   | 2007                                   | 2007                           | 7   | (PROBATION O<br>PERIOD D<br>COMPLETE)              | AYS                                     | STATED   |
|      |                         |      |         |       | DATA TRANSCRIBER                             |    | 0340     |    | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | STATED                                 | 2004-2007                              |                    | RRA 1998 -<br>1203 (b)(8)   | STATED  | 2007                                   | 2007                           | 8   | REMOVAL R<br>(PROBATION D<br>PERIOD<br>COMPLETE)   |   | STATED   |
| 2006 | 15180                   | GS   | 0356    | 04    | DATA TRANSCRIBER                             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | STATED                                 | 2004-2007                              |                    | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 4   | N 10 DAYS O<br>D                                   | SUSPENSI<br>DN 10<br>DAYS               | STATED   |
| 2006 | 15192                   | GS   | 2210    | 13    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | APPLICATIONS<br>DEVELOPMENT          | TAX,<br>UNDERREPORTER   | NOT<br>STATED                          | 2004-2007                              | ONE                | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809                                  |   | 2007                                   | 2007                           | 5   | (PROBATION O                                       | USPENSI<br>DN 15<br>DAYS                | NOT<br>STATED  |
| 2006 | 15436                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2000-2003<br>2004-2007                 |                    | IDRS<br>SECURITY<br>RULE  | NOT<br>STATED   | 2007                                   | 2007                           | 5   | (PROBATION O                                       | USPENSI<br>DN 16<br>DAYS                | SUSPENSI<br>ON 3<br>DAYS                             |
| 2006 | 15604                   | GS   | 0305    | 04    | MAIL AND FILE                                | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809   | NOT<br>STATED   | 2007                                   | 2007                           | 5   | REMOVAL R<br>(PROBATION D<br>PERIOD<br>COMPLETE)   | EPRIMAN<br>)                            | NOT<br>STATED  |
| 2006 | 15624                   |      |         |       | TAX EXAMINING                                | ES | 0340     |    | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | STATED                                 | 2004-2007                              |                    | RRA 1998 -<br>1203 (b)(8)   | STATED  | 2007                                   |                                | 6   | (PROBATION M<br>PERIOD<br>COMPLETE)                |   | STATED   |
| 2006 | 15625                   | GS   | 0303    |       | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340     |    | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | STATED                                 | 2004-2007                              | -                  | RRA 1998 -<br>1203 (b)(8)   | STATED  | 2007                                   | 2007                           | 8   | (PROBATION D<br>PERIOD<br>COMPLETE)                |   | STATED   |
| 2006 | 15635                   | GS   | 1811    | 13    | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID ATLANTIC<br>AREA FIELD OPS    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                              | ONE                | RRA 1998 -<br>1203 (b)(8)<br>OTHER -<br>TITLE 5<br>CFR<br>SECTION<br>2635.809 |   | 2007                                   | 2007                           | 8   | (PROBATION O                                       | SUSPENSI<br>DN 1<br>DAYS                | NOT<br>STATED  |
| 2006 | 15637                   | GS   | 2210    | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1001-<br>\$1500                      | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT R<br>APPLICABLE D                              | EPRIMAN<br>)                            | NOT<br>STATED  |
| 2006 | 15645                   | GS   | 1169    |       | INTERNAL REVENUE<br>OFFICER                  |    | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | STATED                                 | 2004-2007                              | -                  | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809                                  |   | 2007                                   | 2007                           | 6   | (PROBATION O                                       | SUSPENSI<br>DN 1<br>DAYS                | NOT<br>STATED  |
|      |                         |      | 0512    |       | INTERNAL REVENUE<br>AGENT                    |    | 0340     |    | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2000-2003                              |                    | IDRS<br>SECURITY<br>RULE  |   | 2007                                   | 2007                           | 8   | REMOVAL S<br>(PROBATION O<br>PERIOD D<br>COMPLETE) | DAYS                                    | STATED   |
| 2006 | 15838                   | GS   | 2210    | 11    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS  | <= \$500                               | 2004-2007                              | NOT<br>STATED      | NOT<br>STATED   | NOT<br>STATED   | 2006                                   | 2007                           | 7   | SUSPENSIO R<br>N 3 DAYS D                          | EPRIMAN<br>)                            | SUSPENSI<br>ON 2<br>DAYS                             |

| ALER | (1)<br>TS Case<br>Imber | e E | Employe | e Se | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini |    | (3)<br>fficial Series, Grade<br>d Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted             | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed                    | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|---------|------|--|----|----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|--|--|--|--|
| 2006 | 15877                   | GS  | 2210    | 14   | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS  | > \$2000                               | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                                   | SUSPENSI<br>ON 2<br>DAYS                             |
| 2006 | 15961                   | GS  | 2210    | 05   |  | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | O NOT<br>STATED                        | 2004-2007                              | ONE                | OGE<br>2635.101  | OTHER -<br>POS IS<br>NOT<br>DIRECTED<br>RELATED<br>TO TX<br>ADMIN | 2007                                   | 2007                           | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ADMONISH   |  |
| 2006 | 15971                   | GS  | 0303    | 03   | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | O > \$2000                             | 2000-2003<br>2004-2007                 |                    | RRA 1998 -<br>1203 (b)(8)<br>RRA 1998 -<br>1203 (b)(9)   | NOT<br>STATED   | 2007                                   | 2007                           | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>I ON 3<br>DAYS                                 | NOT<br>STATED  |
| 2006 | 15976                   | GS  | 0962    | 08   | CONTACT<br>REPRESENTATIVE                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | O > \$2000                             | 2000-2003                              | MULTIPL<br>E       |  | NOT<br>STATED   | 2007                                   | 2007                           | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2006 | 15979                   | GS  | 0962    | 08   | CONTACT<br>REPRESENTATIVE                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | O > \$2000                             | 2000-2003                              | MULTIPL<br>E       |  | NOT<br>STATED   | 2007                                   | 2007                           | 7  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>I D  | NOT<br>STATED  |
| 2006 | 15983                   | GS  | 0303    | 04   | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | O NOT<br>STATED                        | 2004-2007                              | ONE                | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |   | 2007                                   | 2007                           | 7  | REMOVAL<br>(PROBATION<br>PERIOD              | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E)       | NOT<br>STATED  |
| 2006 | 16031                   | GS  | 1811    | 13   | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID ATLANTIC<br>AREA FIELD OPS    | TAX,<br>UNDERREPORTER   | > \$2000                               | 2000-2003                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>I ON 1<br>DAYS                                 | WRITTEN<br>COUNSELI<br>NG                            |
| 2006 | 16158                   | GS  | 0592    | 07   | TAX EXAMINING                                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 1996-1999<br>2004-2007                 |                    | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           | 5  | REMOVAL<br>(PROBATION<br>PERIOD              | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET             | NOT<br>STATED  |
| 2006 | 16213                   | GS  | 1811    | 13   | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI PACIFIC AREA<br>FIELD OPS         | CONDUCT<br>UNBECOMING<br>FALSE OR<br>MISLEADING<br>STATEMENTS<br>GOVERNMENT<br>VEHICLE<br>OFFENSES<br>MISUSE OF<br>GOVERNMENT<br>PROPERTY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 5  | (PROBATION<br>PERIOD                         | E/<br>REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2006 | 16217                   | GS  | 2210    | 09   | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | MISUSE OF<br>GOVERNMENT<br>PROPERTY   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.704  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 20 DAYS                       | SUSPENSI<br>ON 20<br>DAYS                                  | NOT<br>STATED  |
| 2006 | 16273                   | GS  | 0592    | 06   |  | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | O NOT<br>STATED                        | 2004-2007                              | ONE                | RRA 1998 -<br>1203 (b)(8)                                |   | 2006                                   | 2007                           | 8  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN   | REPRIMA<br>ND  |
| 2006 | 16312                   | GS  | 0512    | 12   | INTERNAL REVENUE<br>AGENT                    | IR | 0512     | 01 | INTERNAL REVENUE<br>AGENT                    | SPECIALITY<br>PROGRAMS               | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | O > \$2000                             | 2004-2007                              | MULTIPL<br>E       | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |   | 2007                                   | 2007                           | 7  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>I ON 1<br>DAYS                                 | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye | e Ser | (2)<br>ies, Grade and Job<br>Title      | Di | sciplini | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|---|----|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|---|--|---|--|
| 2006 | 16383                   | GS   | 0592   | 08    | TAX EXAMINING                           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2000-2003                              | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 | FIRST<br>OFFENSE<br>GOOD<br>WORK<br>RECORD            | 2007                                   | 2007                           | 4   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ON 30<br>DAYS                           | NOT<br>STATED  |
| 2006 | 16605                   | GS   | 0526   | 09    | TAX TECHNICIAN                          | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | other -<br>Limited<br>Poli. On<br>Persona<br>L Use       | NOT<br>STATED   | 2007                                   | 2007                           | 4   | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 30<br>DAYS               | NOT<br>STATED  |
| 2006 | 16662                   | GS   | 0356   | 04    | DATA TRANSCRIBER                        | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | W&I SERVICE<br>CENTERS               | TAX,<br>UNDERREPORTER   |  | 2004-2007                              |                 | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |   | STATED   |
| 2006 | 16663                   | IR   | 0303   | 11    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$ 501-<br>\$1000                      | 2000-2003                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2006 | 16709                   | IR   | 0962   | 07    | CONTACT<br>REPRESENTATIVE               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.704  | NOT<br>STATED   | 2007                                   | 2007                           | 4   | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 14<br>DAYS               | NOT<br>STATED  |
| 2006 | 16755                   | GS   | 0503   | 07    | FINANCIAL CLERICAL<br>AND ASSISTANCE    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 1996-1999<br>2004-2007                 | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                           | 5   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL                                 | NOT<br>STATED  |
| 2006 | 16757                   | GS   | 0503   | 07    | FINANCIAL CLERICAL<br>AND ASSISTANCE    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           | 5   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | NOT<br>STATED  |
| 2006 | 16824                   | GS   | 1169   | 12    | INTERNAL REVENUE<br>OFFICER             | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | COLLECTION                           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           | 8   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | SUSPENSI<br>ON 30<br>DAYS                            |
| 2006 | 16866                   | GS   | 0343   | 13    | MANAGEMENT AND<br>PROGRAM ANALYSIS      | ES | 0505     | 00 | FINANCIAL<br>MANAGEMENT                      | CFO                                  | TAX,<br>UNDERREPORTER   | <= \$500                               | 2004-2007                              | MULTIPL<br>E    | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             |   | 2006                                   | 2007                           | 8   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 15<br>DAYS               | SUSPENSI<br>ON 3<br>DAYS                             |
| 2006 | 16887                   | GS   | 0592   | 07    | TAX EXAMINING                           | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | TAX,<br>UNDERREPORTER   | > \$2000                               | 2000-2003                              | MULTIPL<br>E    |  | OTHER -<br>MITIGATE<br>D BY<br>COMMISSI<br>ONER.      | 2007                                   | 2007                           | 6   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | DAYS                                    | COUNSELI<br>NG                                       |
| 2006 | 16893                   | GS   | 0592   | 08    | TAX EXAMINING                           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | STATED                                 | 2000-2003                              | ONE             | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             |   | 2007                                   | 2007                           | 7   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2006 | 16902                   | GS   | 0962   | 07    | CONTACT<br>REPRESENTATIVE               | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | > \$2000                               | 2004-2007                              | MULTIPL<br>E    | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             |   | 2007                                   | 2007                           | 5   | REMOVAL<br>(PROBATION<br>PERIOD              |   | SUSPENSI<br>ON 3<br>DAYS                             |
| 2006 | 16958                   | IR   | 0512   | 04    | INTERNAL REVENUE<br>AGENT               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FINANCIAL<br>SERVICES                | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 4   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | e)<br>ADMONISH<br>MENT                  | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Dis | sciplinii | •  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscor<br>Multiple ( | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|-----|-----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|--|--|--|--|
| 2006 | 16998                   | GS   | 0356   | 04    | DATA TRANSCRIBER                             | IR  | 0301      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>SUBMISSION<br>PROCESS | FALSE OR<br>MISLEADING<br>STATEMENTS                          | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |  | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2006 | 17058                   | GS   | 0592   | 07    | TAX EXAMINING                                | ES  | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE             | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           |  | PERIOD                                       | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>F) | SUSPENSI<br>ON 5<br>DAYS                             |
| 2006 | 17065                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | ES  | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007<br>NOT<br>STATED             | ONE             | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           |  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2006 | 17104                   | GS   | 0592   | 07    | TAX EXAMINING                                | IR  | 0301      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           |  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 40                      | GS   | 0305   | 04    | MAIL AND FILE                                | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | > \$2000                               | 2000-2003                              | ONE             | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             | NOT<br>STATED   | 2007                                   | 2007                           |  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 55                      | GS   | 2210   | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | > \$2000                               | 2004-2007                              | ONE             | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             |   | 2007                                   | 2007                           |  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 57                      | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR  | 0301      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | > \$2000                               | 2004-2007                              | ONE             | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             |   | 2007                                   | 2007                           | 7  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 82                      | GS   | 0526   | 09    | TAX TECHNICIAN                               | ES  | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1001-<br>\$1500                      | 2000-2003                              | ONE             | OGE  | NOT<br>STATED   | 2006                                   | 2007                           |  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 2<br>DAYS                             | NOT<br>STATED  |
| 2007 | 298                     | GS   | 0343   | 15    | MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR  | 0343      | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | ELECTRONIC TAX<br>ADMIN              | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007                              |                 | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT  | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 371                     | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE             | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           |  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 474                     | GS   | 0318   | 05    | SECRETARY                                    | IR  | 0592      | 06 | TAX EXAMINING                                | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |  | NOT<br>APPLICABLE                            |  | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 492                     | GS   | 1101   | 09    | GENERAL BUSINESS<br>AND INDUSTRY             | ES  | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 |                         |      |        |       | GENERAL BUSINESS<br>AND INDUSTRY             |     | 0340      |    | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAX,<br>UNDERREPORTER   | <= \$500                               | 2004-2007                              |                 | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             | STATED  | 2007                                   | 2008                           |  | (PROBATION<br>PERIOD<br>COMPLETE)            | DAYS   | STATED   |
| 2007 | 538                     | GS   | 0512   | 11    | INTERNAL REVENUE<br>AGENT                    | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRAUD/BSA                            | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |  | SUSPENSIO<br>N 2 DAYS                        | SUSPENSI<br>ON 2<br>DAYS                             | NOT<br>STATED  |

|      | (1)<br>TS Case<br>umber | e En | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | isciplini |    | (3)<br>Ifficial Series, Grade<br>J Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                       | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 11)<br>ear<br>oline is<br>osed -<br>ed time | (12)<br>Type of<br>Discipline<br>Proposed                 | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|-----------|----|--|--------------------------------------|--|--|--|-------------------------|--|---|--|--------------------------------|---|---|--|--|
| 2007 | 712                     | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR               | <= \$500                               | 2000-2003                              | MULTIPL<br>E            | 2635.809<br>RRA 1998 -                       | STATED  | 2007                                   | 2007                           | onths<br>7                                  | (PROBATION<br>PERIOD                                      | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 720                     | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | PAY<br>TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2000-2003                              | ONE                     | 1203 (b)(9)<br>OGE<br>2635.809               |   | 2007                                   | 2007                           | 5   | COMPLETE)<br>REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 741                     | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY        | \$ 501-<br>\$1000                      | 2000-2003                              | ONE                     | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809 |   | 2007                                   | 2007                           | 6   | REMOVAL<br>(PROBATION                                     | SUSPENSI<br>ON 1<br>DAYS                             | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 763                     |      |        |       | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY        | \$2000                                 | 2000-2003<br>2004-2007                 | E                       | STATED                                       |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                   | NOT<br>APPLICABLE   |  | STATED   |
| 2007 | 771                     | GS   | 0503   |       | FINANCIAL CLERICAL<br>AND ASSISTANCE         |    | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY        | STATED                                 | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED                                | NOT<br>STATED   | 2007                                   | 2007                           | 5   | N 10 DAYS   | SUSPENSI<br>ON 10<br>DAYS                            | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 950                     | IR   | 0526   | 05    | TAX TECHNICIAN                               | IR | 0526      | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2004-2007                              | ONE                     | IDRS<br>SECURITY<br>RULE                     |   | 2007                                   | 2007                           | 6   | SUSPENSIO<br>N 14 DAYS                                    | SUSPENSI<br>ON 14<br>DAYS                            | NOT<br>STATED  |
| 2007 | 952                     |      |        |       | PERSONNEL<br>MANAGEMENT                      | IR | 0201      | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | MISUSE OF<br>GOVERNMENT<br>PROPERTY                            | NOT<br>STATED                          | 2004-2007                              | E                       | STATED                                       | STATED  |  | 2007                           | 1   | N 30 DAYS   | SUSPENSI<br>ON 30<br>DAYS                            | ON 3<br>DAYS   |
| 2007 | 992                     | ES   | 0340   | 00    | PROGRAM<br>MANAGEMENT                        | AD | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | ACIO ENTERPRISE<br>SERVICES          | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY             | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED                                | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                   | NOT<br>APPLICABLE   |  | STATED   |
| 2007 | 1007                    | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2004-2007                              | ONE                     | IDRS<br>SECURITY<br>RULE                     |   | 2007                                   | 2007                           | 3   | (PROBATION  | SUSPENSI<br>ON 30<br>DAYS                            | REPRIMA<br>ND  |
| 2007 | 1086                    | GS   | 0592   | 07    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAX,<br>UNDERREPORTER  | NOT<br>STATED                          | 2004-2007                              | ONE                     | RRA 1998 -<br>1203 (b)(9)                    |   | 2007                                   | 2007                           | 9   | (PROBATION  | SUSPENSI<br>ON 1<br>DAYS                             | NOT<br>STATED  |
| 2007 | 1088                    | GS   | 0592   | 07    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY        | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                     | NOT<br>STATED                                | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                   | NOT<br>APPLICABLE   | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 1104                    |      |        |       | TAX EXAMINING                                | IR | 0301      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY        |  | 2000-2003                              | -                       | OGE<br>2635.809                              | STATED  | 2007                                   | 2007                           | 3   | (PROBATION<br>PERIOD<br>COMPLETE)                         |  | STATED   |
| 2007 | 1139                    | GS   | 0503   | 08    | FINANCIAL CLERICAL<br>AND ASSISTANCE         | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | IDRS<br>SECURITY<br>RULE                     |   | 2007                                   | 2007                           | 4   | REMOVAL<br>(PROBATION<br>PERIOD                           | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 1191                    | GS   | 2210   | 14    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | TECH OPER &<br>INVEST SVCS           | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY             | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED                                | NOT<br>STATED   | 2007                                   | 2007                           | 2   | SUSPENSIO<br>N 3 DAYS                                     | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e En | nployee |    | (2)<br>ies, Grade and Job<br>Title           | D  | isciplini | •  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo | sed -<br>ed time | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|---------|----|--|----|-----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|----------------------|------------------|--|--|--|
| 2007 | 1316                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS           | NOT<br>STATED                          | 2000-2003                              | ONE             | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                 |                  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | (PROBATI<br>ON                                       | NOT<br>STATED  |
| 2007 | 1317                   | GS   | 0592    | 06 | TAX EXAMINING                                | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS           | NOT<br>STATED                          | 1996-1999<br>2004-2007                 |                 | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                 | -                | PERIOD                                       | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 1321                   | GS   | 0526    | 11 | TAX TECHNICIAN                               | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | SPECIALITY<br>PROGRAMS               | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS           | NOT<br>STATED                          | 2000-2003                              | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                 | -                | (PROBATION                                   | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2007 | 1361                   | GS   | 0592    | 05 | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                 | <= \$500                               | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                 |                  | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 1366                   | GS   | 0356    | 04 | DATA TRANSCRIBER                             | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                 | NOT<br>STATED                          | 2000-2003<br>2004-2007                 |                 | RRA 1998 -<br>1203 (b)(9)                                |   | 2007                                   | 2007                 | -                | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 1373                   | GS   | 0356    | 04 | DATA TRANSCRIBER                             | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                 | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                 |                  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 1375                   |      |         |    | TAX EXAMINING                                | ES |           |    | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                 | STATED                                 | 2004-2007                              |                 | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(8)             | STATED  | 2007                                   | 2007                 |                  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | STATED   |
| 2007 | 1477                   | GS   | 0343    | 11 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | APPEALS<br>HEADQUARTERS              | GOVT CREDIT<br>CARD PROBLEMS  | > \$2000                               | 2004-2007                              | MULTIPL<br>E    | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                 |                  | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | NOT<br>STATED  |
| 2007 | 1510                   | GS   | 2210    | 11 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS  | \$ 501-<br>\$1000                      | 2004-2007                              | NOT<br>STATED   | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 |                  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | NOT<br>STATED  |
| 2007 | 1552                   | GS   | 2210    | 11 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS  | > \$2000                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                 |                  | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 1562                   | GS   | 0512    | 12 | INTERNAL REVENUE<br>AGENT                    | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | GOVERNMENT<br>ENTITIES               | GOVT CREDIT<br>CARD PROBLEMS  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 |                  | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | SUSPENSI<br>ON 1<br>DAYS                             |
| 2007 | 1589                   | GS   | 2210    | 12 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS  | > \$2000                               | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                 |                  | NOT<br>APPLICABLE                            | REPRIMAN   | NOT<br>STATED  |
| 2007 | 1630                   | GS   | 0592    | 07 | TAX EXAMINING                                | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | ATTENDANCE-<br>RELATED<br>OFFENSES FALSE<br>OR MISLEADING<br>STATEMENTS | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 |                  | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 30<br>DAYS                            | REPRIMA<br>ND  |
| 2007 | 1832                   | IR   | 0335    | 11 | COMPUTER CLERK<br>AND ASSISTANT              | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>OPERATIONS             | FALSE OR<br>MISLEADING<br>STATEMENTS                                    | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 |                  | PERIOD                                       | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e Er | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title | Di | sciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discij<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed      | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|-------------------------------------|----|----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|------------------------------|--|--|--|--|
| 2007 | 1834                    | IR   | 1811   | 01    | CRIMINAL<br>INVESTIGATING           | ES | 1811     | 00 | CRIMINAL<br>INVESTIGATING                    | CI NO ATLANTIC<br>AREA FIELD OPS     | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                | NOT<br>STATED  |
| 2007 | 1928                    | IR   | 1811   | 04    | CRIMINAL<br>INVESTIGATING           | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID STATES<br>AREA FIELD OPS      | IMPROPER<br>DISCLOSURES<br>FALSE OR<br>MISLEADING<br>STATEMENTS<br>NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 5  | REDUCTION<br>IN PAY OR<br>GRADE              | INVOLUN.<br>REDUCTIO<br>N IN PAY<br>OR GRADE |  |
| 2007 | 1963                    | GS   | 0526   | 09    | TAX TECHNICIAN                      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | MISUSE OF<br>GOVERNMENT<br>PROPERTY   | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.704  | NOT<br>STATED   | 2007                                   | 2007                         | 5  | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 30<br>DAYS                    | SUSPENSI<br>ON 2<br>DAYS                             |
| 2007 | 1985                    | GS   | 0526   | 09    | TAX TECHNICIAN                      | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2000-2003                              | MULTIPL<br>E       | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                         | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL                                      | NOT<br>STATED  |
| 2007 | 2058                    | GS   | 0962   | 07    | CONTACT<br>REPRESENTATIVE           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | \$1501-<br>\$2000                      | 2000-2003                              | MULTIPL<br>E       | . OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)           | NOT<br>STATED   | 2007                                   | 2007                         | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 1<br>DAYS                     | NOT<br>STATED  |
| 2007 | 2061                    | GS   | 0592   | 05    | TAX EXAMINING                       | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | > \$2000                               | 2004-2007                              | MULTIPL<br>E       |  |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                | NOT<br>STATED  |
| 2007 | 2097                    | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         |   | \$1001-<br>\$1500                      | 2000-2003<br>2004-2007                 | MULTIPL<br>E       | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             |   | 2007                                   | 2007                         | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 1<br>DAYS                     | NOT<br>STATED  |
| 2007 | 2247                    | GS   | 0962   | 09    | CONTACT<br>REPRESENTATIVE           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>OTHER - FAILURE<br>TO FOLLOW WORK<br>PROCEDURES                               | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 4  | SUSPENSIÓ<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                     | SUSPENSI<br>ON 1<br>DAYS                             |
| 2007 | 2288                    |      | 0962   |       | CONTACT<br>REPRESENTATIVE           | IR |          | 02 | MANAGEMENT                                   | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          |  | MULTIPL<br>E       | NOT<br>STATED  | STATED  |  | 2007                         | 6  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                     | STATED   |
|      | 2401                    |      | 0356   |       | DATA TRANSCRIBER                    |    |          | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | -                                      | 2000-2003                              |                    | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             | STATED  |  | 2007                         | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | STATED   |
| 2007 | 2410                    | GS   | 0592   | 06    | TAX EXAMINING                       | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | \$ 501-<br>\$1000                      | 2000-2003<br>2004-2007                 | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                         | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D                                | NOT<br>STATED  |
| 2007 | 2412                    | GS   | 0305   | 04    | MAIL AND FILE                       | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | \$1501-<br>\$2000                      | 2000-2003                              | ONE                | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             | NOT<br>STATED   | 2007                                   | 2007                         | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D                                | NOT<br>STATED  |
| 2007 | 2413                    | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        |   | > \$2000                               | 2000-2003                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                         | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 3<br>DAYS                     | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e Er | nployee | e Ser | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>iduct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated |               | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|--------------------------|--|---------------|--|--------------------------------|--|--|--|--|
| 2007 | 2468                    | GS   | 1801    | 11    | GENERAL<br>INSPECTION,<br>INVESTIGATON, & CO | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID STATES<br>AREA FIELD OPS      | OTHER -<br>UNAUTHORIZED<br>ACCESS OF CBRS                                     | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 10 DAYS                       | SUSPENSI<br>ON 10<br>DAYS                            | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 2470                    | GS   | 1102    | 07    | CONTRACTING                                  | IR | 1102     | 01 | CONTRACTING                                  | PROCUREMENT                          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | IDRS<br>SECURITY<br>RULE                                 |               | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | DAYS   | STATED   |
| 2007 | 2527                    | GS   | 0592    | 05    | TAX EXAMINING                                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAX,<br>UNDERREPORTER   | > \$2000                               | 2000-2003<br>2004-2007                 | MULTIPL<br>E             | OGE<br>2635.809  | NOT<br>STATED | 2007                                   | 2007                           | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 5<br>DAYS                             | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 2643                    | GS   | 0512    | 13    | INTERNAL REVENUE<br>AGENT                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | INTERNATIONAL                        | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | IDRS<br>SECURITY<br>RULE                                 |               | 2007                                   | 2007                           | 7  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2007 | 2646                    | GS   | 0391    | 11    | TELECOMMUNICATIO<br>NS                       | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | FALSE OR  | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                            | SUSPENSI<br>ON 7<br>DAYS                             |
| 2007 | 2668                    | GS   | 0592    | 05    | TAX EXAMINING                                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    |   | \$ 501-<br>\$1000                      | 2000-2003                              | MULTIPL<br>E             | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             |               | 2007                                   | 2007                           | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL  | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 2755                    | GS   | 0592    | 07    | TAX EXAMINING                                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1001-<br>\$1500                      | 2004-2007                              | ONE                      | OGE<br>2635.809  | NOT<br>STATED | 2007                                   | 2007                           | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI   | NOT<br>STATED  |
| 2007 | 2912                    | GS   | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2004-2007                              | ONE                      | IDRS<br>SECURITY<br>RULE                                 |               | 2007                                   | 2007                           | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 15<br>DAYS                            | NOT<br>STATED  |
| 2007 | 3059                    | GS   | 0592    | 05    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRAUD/BSA                            | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | > \$2000                               | 2000-2003<br>2004-2007                 | MULTIPI<br>E             | RRA 1998 -<br>1203 (b)(9)                                |               | 2007                                   | 2007                           | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 3109                    | GS   | 0335    | 07    | COMPUTER CLERK<br>AND ASSISTANT              | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | TAX,<br>UNDERREPORTER   | > \$2000                               | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 3124                    | GS   | 0526    | 09    | TAX TECHNICIAN                               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1001-<br>\$1500                      | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           | 4  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | REPRIMA<br>ND  |
| 2007 | 3246                    | GS   | 0503    | 05    | FINANCIAL CLERICAL<br>AND ASSISTANCE         | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2000-2003                              | MULTIPL<br>E             | IDRS<br>SECURITY<br>RULE                                 |               | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 3252                    | GS   | 0592    | 06    | TAX EXAMINING                                | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>SUBMISSION<br>PROCSG | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2004-2007                              | ONE                      | IDRS<br>SECURITY<br>RULE                                 |               | 2007                                   | 2007                           | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |

|      | (1)<br>RTS Case<br>umber<br>3257 |    |      | (2)<br>e Series, Grade and Job<br>Title         | IR |      | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal I<br>Miscon<br>Multiple C<br>2004-2007 | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elapse<br>in Mo | ear<br>bline is<br>bsed -<br>ed time<br>bonths | (12)<br>Type of<br>Discipline<br>Proposed<br>SUSPENSIO | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|----------------------------------|----|------|---|----|------|----|--|--------------------------------------|--|--|--|-----------------|--|-----------------------|--|-----------------------------------|--|--|--|--|
| 2007 | 3257                             | GS | 1811 | INVESTIGATING                                   | IK | 1811 | 01 | INVESTIGATING                                | AREA FIELD OPS                       | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY | STATED                                 |  | E               | STATED   | STATED                | 2007                                   | 2007                              |  | N 14 DAYS  | ON 5<br>DAYS   | COUNSELI<br>NG                                       |
| 2007 | 3265                             | GS | 0962 | 07 CONTACT<br>REPRESENTATIVE                    | ES | 0340 | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007  | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED         | 2007                                   | 2007                              |  |  | SUSPENSI<br>ON 3<br>DAYS                             | REPRIMA<br>ND  |
| 2007 | 3273                             | IR | 2210 | 05 SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS   | \$1001-<br>\$1500                      | 2004-2007  | ONE             | NOT<br>STATED  | NOT<br>STATED         | 2007                                   | 2007                              |  | SUSPENSIO<br>N 2 DAYS                                  | SUSPENSI<br>ON 2<br>DAYS                             | NOT<br>STATED  |
| 2007 | 3288                             | IR | 0340 | 01 PROGRAM<br>MANAGEMENT                        | IR | 0301 | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS   | NOT<br>STATED                          | 2004-2007  | ONE             | NOT<br>STATED  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                              | NOT<br>APPLI<br>CABL                           | NOT<br>APPLICABLE                                      |  | NOT<br>STATED  |
| 2007 | 3305                             | IR | 0512 | 01 INTERNAL REVENUE<br>AGENT                    | IR | 0301 | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | CAMPUS<br>COMPLIANCE<br>SERVICES     | GOVT CREDIT<br>CARD PROBLEMS   | NOT<br>STATED                          | 2004-2007  | ONE             | NOT<br>STATED  |                       | NOT<br>APPLICAB<br>LE                  | 2007                              | NOT<br>APPLI<br>CABL<br>E                      | NOT<br>APPLICABLE                                      |  | NOT<br>STATED  |
| 2007 | 3308                             | GS | 0343 | 15 MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR | 0301 | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS   | NOT<br>STATED                          | 2004-2007  | ONE             | NOT<br>STATED  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                              | NOT<br>APPLI<br>CABL<br>E                      | NOT<br>APPLICABLE                                      |  | NOT<br>STATED  |
| 2007 | 3318                             | GS | 0962 | 07 CONTACT<br>REPRESENTATIVE                    | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | GOVT CREDIT<br>CARD PROBLEMS   | <= \$500                               | 2004-2007  | ONE             | NOT<br>STATED  | NOT<br>STATED         | 2007                                   | 2007                              |  |  | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 3351                             | GS | 0343 | 13 MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS   | NOT<br>STATED                          | 2004-2007  | ONE             | OGE<br>2635.809  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                              | NOT<br>APPLI<br>CABL<br>F                      | NOT<br>APPLICABLE                                      | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 3372                             | GS | 0592 | 07 TAX EXAMINING                                | IR | 0340 | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | GOVT CREDIT<br>CARD PROBLEMS   | \$ 501-<br>\$1000                      | 2004-2007  | ONE             | NOT<br>STATED  |                       | NOT<br>APPLICAB<br>LE                  | 2007                              | NOT<br>APPLI<br>CABL<br>F                      | NOT<br>APPLICABLE                                      | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 3417                             | GS | 0962 | 07 CONTACT<br>REPRESENTATIVE                    | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2004-2007  | ONE             | IDRS<br>SECURITY<br>RULE                                 |                       | 2007                                   | 2007                              |  | (PROBATION<br>PERIOD                                   | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>F) | NOT<br>STATED  |
| 2007 | 3421                             | GS | 0526 | 09 TAX TECHNICIAN                               | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2000-2003  | ONE             | NOT<br>STATED  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                              | NOT<br>APPLI<br>CABL<br>F                      | NOT<br>APPLICABLE                                      | REPRIMAN<br>D  | REPRIMA<br>ND  |
| 2007 | 3424                             | GS | 0526 | 09 TAX TECHNICIAN                               | IR |      | 01 | PROGRAM<br>MANAGEMENT                        | FRAUD/BSA                            | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2000-2003  | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 |                       | 2007                                   | 2007                              |  | (PROBATION<br>PERIOD<br>COMPLETE)                      | ON 30<br>DAYS  | NOT<br>STATED  |
| 2007 | 3464                             | GS | 0526 | 09 TAX TECHNICIAN                               | IR | 0526 | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 1996-1999<br>2000-2003                                 | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 |                       | 2007                                   | 2007                              |  | (PROBATION   | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e En | nploye |    | (2)<br>ies, Grade and Job<br>Title      | Di | sciplini |    | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                         | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse |                           | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|--------|----|---|----|----------|----|--|--------------------------------------|--|--|---|-------------------------|--|---|--|--------------------------------|---------------------------|--|--|--|
| 2007 | 3467                   | GS   | 0592   | 06 | TAX EXAMINING                           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS    | NOT<br>STATED                          | 2004-2007                               | ONE                     | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           | 2                         | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 3582                   | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE               | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY          | \$ 501-<br>\$1000                      | 2000-2003                               | MULTIPL<br>E            | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             | NOT<br>STATED   | 2007                                   | 2007                           | 7                         | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 3596                   | GS   | 0592   | 07 | TAX EXAMINING                           | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | TAX,<br>UNDERREPORTER  | > \$2000                               | 2004-2007                               | ONE                     | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             |   | 2007                                   | 2007                           | 5                         | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 3655                   | IR   | 0512   | 05 | INTERNAL REVENUE<br>AGENT               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY          | > \$2000                               | 2004-2007                               | MULTIPL<br>E            |  | NOT<br>STATED   | 2007                                   | 2007                           | 1                         | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | NOT<br>STATED  |
|      | 3724                   |      | 0526   |    | TAX TECHNICIAN                          | IR | 0340     |    | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | OUTSIDE<br>EMPLOYMENT<br>VIOLATIONS<br>OTHER - TAXES<br>NOT 1203 | > \$2000                               | 2004-2007                               | E                       | 2635.809   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            |  | STATED   |
|      | 3873                   |      | 0962   |    | CONTACT<br>REPRESENTATIVE               | ES |          | 00 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS    | NOT<br>STATED                          | 2000-2003                               |                         | IDRS<br>SECURITY<br>RULE                                 |   |  |                                | 3                         | (PROBATION<br>PERIOD<br>COMPLETE)            | ON 30<br>DAYS  | NOT<br>STATED  |
| 2007 | 4020                   |      | 0592   |    | TAX EXAMINING                           | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY          | STATED                                 | 2004-2007                               | E                       | STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            |  | STATED   |
| 2007 | 4092                   | GS   | 0512   | 13 | INTERNAL REVENUE<br>AGENT               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | GOVERNMENT<br>ENTITIES               | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY          | > \$2000                               | 2004-2007                               | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 4210                   | GS   | 0303   | 05 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS    | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E            | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           | 3                         |  | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2007 | 4213                   | GS   | 0592   | 06 | TAX EXAMINING                           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>SUBMISSION<br>PROCSG | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS    | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E            | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           | 1                         | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 4215                   | GS   | 0962   |    | CONTACT<br>REPRESENTATIVE               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS    | NOT<br>STATED                          | 2000-2003                               | E                       | SECURITY   | NOT<br>STATED   |  | 2007                           | 3                         | (PROBATION<br>PERIOD<br>COMPLETE)            | DAYS   | STATED   |
| 2007 | 4268                   | GS   | 0503   | 06 | FINANCIAL CLERICAL<br>AND ASSISTANCE    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS    | NOT<br>STATED                          | 2000-2003<br>2004-2007                  |                         | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           | 3                         | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 4325                   | GS   | 0592   | 07 | TAX EXAMINING                           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | INTERNET / EMAIL<br>MISUSE                                       | NOT<br>STATED                          | 2004-2007                               | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 4                         | N 21 DAYS                                    | SUSPENSI<br>ON 21<br>DAYS                            | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | ε  | mploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Dis | sciplini |    | 3)<br>ial Series, Grade<br>bb Title      | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo | ear<br>line is<br>sed -<br>ed time | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|-------|--|-----|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|----------------|------------------------------------|--|---|--|
| 2007 | 4358                    | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR  | 0301     | AD | SCELLANEOUS<br>DMINISTRATION<br>ND PROGR | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | O > \$2000                             | 2000-2003                              | MULTIPL<br>E    | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             | NOT<br>STATED   | 2007                                   | 2007           | 6                                  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | NOT<br>STATED  |
| 2007 | 4400                    | GS | 0512   | 14    | INTERNAL REVENUE<br>AGENT                    | ES  | 0340     |    | ROGRAM<br>ANAGEMENT                      | EXAMINATION                          | FALSE OR<br>MISLEADING<br>STATEMENTS<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>SAFETY AND<br>PHYSICAL<br>SECURITY<br>MATTERS | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007           | 2                                  | SUSPENSIO<br>N 5 DAYS                        | EJ<br>SUSPENSI<br>ON 5<br>DAYS          | NOT<br>STATED  |
| 2007 | 4406                    | GS | 2210   | 13    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | AD  | 0340     |    | ROGRAM<br>ANAGEMENT                      | ACIO ENTERPRISE<br>SERVICES          | FALSE OR<br>MISLEADING<br>STATEMENTS  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007           | 2                                  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 4414                    | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR  | 0340     |    | ROGRAM<br>ANAGEMENT                      | OGDEN<br>COMPLIANCE<br>SERVICES      | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | O NOT<br>STATED                        | 2004-2007                              | ONE             | RRA 1998 ·<br>1203 (b)(8)                                |   | 2007                                   | 2007           | 7                                  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 3<br>DAYS                | SUSPENSI<br>ON 1<br>DAYS                             |
| 2007 | 4433                    | GS | 0391   | 11    | TELECOMMUNICATIO<br>NS                       | IR  | 0340     |    | ROGRAM<br>ANAGEMENT                      | END USERS<br>EQUIPMENT &<br>SVCS     | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | O \$1001-<br>\$1500                    | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |                | NOT<br>APPLI<br>CABL<br>F          | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 4438                    | GS | 0592   | 06    | TAX EXAMINING                                | ES  | 0340     |    | ROGRAM<br>ANAGEMENT                      | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | O NOT<br>STATED                        | 2004-2007                              | ONE             | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |   | 2007                                   | 2007           | 6                                  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 4532                    | GS | 0526   | 07    | TAX TECHNICIAN                               | IR  | 0340     |    | ROGRAM<br>ANAGEMENT                      | EXAMINATION                          | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | O > \$2000                             | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |                | NOT<br>APPLI<br>CABL               | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 4534                    | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | ES  | 0340     |    | ROGRAM<br>ANAGEMENT                      | AUSTIN ACCOUNTS<br>MANAGEMENT        |   | O > \$2000                             | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             | NOT<br>STATED   | 2007                                   | 2007           | 5                                  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 4<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 4615                    | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR  | 0340     | -  | ROGRAM<br>ANAGEMENT                      | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | O NOT<br>STATED                        | 2004-2007                              | MULTIPL<br>E    |  | NOT<br>STATED   | 2007                                   | 2007           |                                    | SUSPENSIO<br>N 7 DAYS                        | SUSPENSI<br>ON 7<br>DAYS                | ADMONIS<br>HMENT                                     |
| 2007 | 4654                    | IR | 0340   | 01    | PROGRAM<br>MANAGEMENT                        | ES  | 0340     |    | ROGRAM<br>ANAGEMENT                      | SPECIALITY<br>PROGRAMS               | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007           | NOT<br>APPLI<br>CABL<br>E          | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 4691                    | GS | 1169   | 07    | INTERNAL REVENUE<br>OFFICER                  | IR  | 1169     |    | TERNAL REVENUE<br>FFICER                 | COLLECTION                           | MISUSE OF<br>GOVERNMENT<br>PROPERTY   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007           | NOT<br>APPLI<br>CABL               | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 4695                    | GS | 0503   | 07    | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR  | 0340     |    | ROGRAM<br>ANAGEMENT                      | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | O \$ 501-<br>\$1000                    | 2000-2003                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007           | E<br>NOT<br>APPLI<br>CABL<br>F     | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 4696                    | GS | 0503   | 07    | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR  | 0340     |    | ROGRAM<br>ANAGEMENT                      | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | O \$ 501-<br>\$1000                    | 2000-2003                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |                | NOT<br>APPLI<br>CABL<br>E          | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |

|      | (1)<br>RTS Case<br>umber | e Er | nploye | e Se | (2)<br>ries, Grade and Job<br>Title     | Di | isciplini | -  | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal Ran<br>Misconduct<br>Multiple Offer | ť/    | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|--------------------------|------|--------|------|---|----|-----------|----|---|--------------------------------------|---|--|---|-------|--|---|--|------------------------------|--|--|--|--|
| 2007 | 4701                     | GS   | 0080   | 11   | SECURITY<br>ADMINISTRATION              | IR | 0080      | 04 | SECURITY<br>ADMINISTRATION                  | DEP COMM<br>OPERATIONS<br>SUPPORT    | TAX,<br>UNDERREPORTER   | \$1001-<br>\$1500                      | 2000-2003 ON  |       | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 4705                     | GS   | 0962   | 08   | CONTACT<br>REPRESENTATIVE               | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | OGDEN ACCOUNTS<br>MANAGEMENT         | ATTENDANCE-<br>RELATED<br>OFFENSES TAXES<br>FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2000-2003 MU<br>2004-2007 E                         |       | OGE<br>2635.805<br>IRM 6.735                             | NOT<br>STATED   | 2007                                   | 2007                         | 4  | SUSPENSIO<br>N 10 DAYS                       | SUSPENSI<br>ON 10<br>DAYS                            | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 4783                     | GS   | 0335   | 07   | COMPUTER CLERK<br>AND ASSISTANT         | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | END USERS<br>EQUIPMENT &<br>SVCS     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                       | \$ 501-<br>\$1000                      | 2004-2007 ON  |       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 4790                     | GS   | 1101   | 09   | GENERAL BUSINESS<br>AND INDUSTRY        | IR |           | 01 | GENERAL BUSINESS<br>AND INDUSTRY            | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                       | NOT<br>STATED                          | 2004-2007 ON  |       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | NOT<br>STATED  |
| 2007 | 4792                     | GS   | 0303   | 04   | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                       | NOT<br>STATED                          | 2004-2007 ON  |       | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                         | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 4810                     | GS   | 0592   | 06   | TAX EXAMINING                           | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | OGDEN<br>SUBMISSION<br>PROCESSING    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                                 | NOT<br>STATED                          | 1996-1999 MUI<br>2000-2003 E                        |       | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                         | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>F) | REMOVAL  |
| 2007 | 4876                     |      |        |      | TAX TECHNICIAN                          |    |           |    | PROGRAM<br>MANAGEMENT                       | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                                 | NOT<br>STATED                          | 2000-2003 ON  |       | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                         | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | DAYS   | STATED   |
| 2007 | 4914                     |      | 0962   |      | CONTACT<br>REPRESENTATIVE               | IR |           | 02 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | INTERNET / EMAIL<br>MISUSE  | NOT<br>STATED                          | 2004-2007 MU<br>E                                   |       | STATED   | NOT<br>STATED   | 2007                                   | 2007                         | 4  | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 14<br>DAYS                            | NOT<br>STATED  |
| 2007 | 4916                     | GS   | 0962   | 08   | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | INTERNET / EMAIL<br>MISUSE  | NOT<br>STATED                          | 2004-2007 MU<br>E                                   |       | STATED   | NOT<br>STATED   | 2007                                   | 2007                         | 4  | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 14<br>DAYS                            | NOT<br>STATED  |
| 2007 | 4937                     |      | 0962   |      | CONTACT<br>REPRESENTATIVE               | IR |           | 02 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | INTERNET / EMAIL<br>MISUSE  | NOT<br>STATED                          | 2004-2007 MUI<br>E                                  |       | STATED   | NOT<br>STATED   | 2007                                   | 2007                         | 3  | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 14<br>DAYS                            | STATED   |
| 2007 | 5048                     | GS   | 0962   |      | CONTACT<br>REPRESENTATIVE               | ES | 0340      |    | PROGRAM<br>MANAGEMENT                       | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                                 | NOT<br>STATED                          | 2004-2007 MUI<br>NOT E<br>STATED                    |       | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                         | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | DAYS   | NOT<br>STATED  |
| 2007 | 5097                     | GS   | 0356   | 04   | DATA TRANSCRIBER                        | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                       | NOT<br>STATED                          | 2004-2007 ON  | E     | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 5128                     | GS   | 0592   | 07   | TAX EXAMINING                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | AUSTIN<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                       | > \$2000                               | 2004-2007 ON  |       | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             | NOT<br>STATED   | 2007                                   | 2007                         | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 5154                     | GS   | 0526   | 11   | TAX TECHNICIAN                          | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAX,<br>UNDERREPORTER   | \$1001-<br>\$1500                      | 2004-2007 MU<br>E                                   | LTIPL | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             |   | 2007                                   | 2007                         | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 5<br>DAYS                             | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e Er | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title     | Di | isciplini | 0  | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>5td of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|---|----|-----------|----|---|--------------------------------------|--|--|--|-----------------|--|---|--|--------------------------------|--|--|---|--|
| 2007 | 5164                    | GS   | 0342   | 11    | SUPPORT SERVICES<br>ADMINISTRATION      | IR | 0342      | 01 | SUPPORT SERVICES<br>ADMINISTRATION          | REAL ESTATE &<br>FAC MGMT            | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                 | <sup>-</sup> O > \$2000                | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 5  | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 5<br>DAYS                | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 5165                    | GS   | 0962   | 05    | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                 | O NOT<br>STATED                        | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 5180                    | GS   | 0592   | 07    | TAX EXAMINING                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                 | O NOT<br>STATED                        | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 5181                    | GS   | 0526   | 09    | TAX TECHNICIAN                          | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                 | O <= \$500                             | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 5  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS               | SUSPENSI<br>ON 7<br>DAYS                             |
| 2007 | 5193                    | GS   | 0962   |       | CONTACT<br>REPRESENTATIVE               | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                 | STATED                                 | 2004-2007                              | E               | 2635.809<br>RRA 1998 -<br>1203 (b)(9)                    | STATED  | 2007                                   | 2007                           | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ON 15<br>DAYS                           | NOT<br>STATED  |
| 2007 | 5371                    | IR   | 1102   | 04    | CONTRACTING                             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | PROCUREMENT                          | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                 | <sup>-</sup> O > \$2000                | 2004-2007                              | MULTIPI<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 5473                    | GS   |        | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                 | • • • • •                              |  | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | STATED   |
| 2007 | 5480                    | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                 | O NOT<br>STATED                        | 2004-2007                              | MULTIPI<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 5482                    | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                 | O NOT<br>STATED                        | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 5499                    | GS   | 0335   | 05    | COMPUTER CLERK<br>AND ASSISTANT         | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | END USERS<br>EQUIPMENT &<br>SVCS     | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                 | O \$1001-<br>\$1500                    | 2004-2007                              | MULTIPI<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 5527                    | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                 | O NOT<br>STATED                        | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 10 DAYS                       | SUSPENSI<br>ON 10<br>DAYS               | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 5569                    | GS   | 0318   | 05    | SECRETARY                               | IR | 0526      | 01 | TAX TECHNICIAN                              | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS<br>TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY |  | 2004-2007                              | MULTIPI<br>E    | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2007 | 5599                    | GS   | 0962   |       | CONTACT<br>REPRESENTATIVE               | IR | 0340      |    | PROGRAM<br>MANAGEMENT                       | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | INTERNET / EMAIL<br>MISUSE   | STATED                                 | 2004-2007                              | E               | STATED   | STATED  | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                | ND   |
| 2007 | 5614                    | GS   | 0592   | 06    | TAX EXAMINING                           | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPI<br>E    | STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 5697                    | IR   | 0592   | 08    | TAX EXAMINING                           | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | OGDEN<br>COMPLIANCE<br>SERVICES      | FALSE OR<br>MISLEADING<br>STATEMENTS   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 6  | SUSPENSIO<br>N 3 DAYS                        | RÉPRIMAN<br>D                           | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e Er | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title            | Dis | sciplini | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscor<br>Multiple ( | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|-----|----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|--|--|---|--|
| 2007 | 5717                    | GS   | 0343   | 11    | MANAGEMENT AND<br>PROGRAM ANALYSIS             | IR  | 1101     | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | INTERNET / EMAIL<br>MISUSE  | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 5752                    | GS   | 1101   | 09    | GENERAL BUSINESS E<br>AND INDUSTRY             | ES  | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 1996-1999<br>2000-2003                 | MULTIPL<br>E       | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | <b>ON</b>                               | NOT<br>STATED  |
| 2007 | 5754                    | GS   | 0962   | 07    | CONTACT I<br>REPRESENTATIVE                    | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 1996-1999<br>2004-2007                 | MULTIPL<br>E       | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ÔN                                      | SUSPENS<br>ON 14<br>DAYS                             |
| 2007 | 5807                    | GS   | 1169   | 12    | INTERNAL REVENUE I<br>OFFICER                  | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | LOSS OF<br>DOCUMENTS AND<br>SECURITY<br>VIOLATIONS  | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN                                | NOT<br>STATED  |
| 2007 | 5810                    | GS   | 0318   | 06    | SECRETARY                                      | IR  | 1101     | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | THEFT, FALSE<br>CLAIMS AND<br>UNAUTHORIZED<br>PROPERTY<br>POSSESSION  | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 1 DAYS                        | REPRIMAN<br>D                           | ADMONIS<br>HMENT                                     |
| 2007 | 5864                    | GS   | 0962   | 05    | CONTACT I<br>REPRESENTATIVE                    | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | TAXES OTHER-<br>STATE AND LOCAL   | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 5867                    | GS   | 0512   | 12    | INTERNAL REVENUE I<br>AGENT                    | IR  | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | EXEMPT<br>ORGANIZATIONS              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 5868                    | GS   | 2210   | 11    | SUPERVISORY I<br>INFORMATION<br>TECHNOLOGY SPE | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | TAX,<br>UNDERREPORTER   | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 5882                    | GS   | 0303   | 05    | MISCELLANEOUS I<br>CLERK AND<br>ASSISTANT      | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | > \$2000                               | 2000-2003                              | MULTIPL<br>E       | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             | NOT<br>STATED   | 2007                                   | 2007                           | 7  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>I ON 14<br>DAYS             | SUSPENS<br>ON 3<br>DAYS                              |
| 2007 | 5896                    | GS   | 0592   | 06    | TAX EXAMINING I                                | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>SUBMISSION<br>PROCSG | CONDUCT<br>UNBECOMING<br>PROBLEMS WITH<br>FINANCIAL<br>AFFAIRS  | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 14<br>DAYS               | SUSPENS<br>ON 3<br>DAYS                              |
| 2007 | 5928                    | GS   | 0343   | 09    | MANAGEMENT AND E<br>PROGRAM ANALYSIS           | ES  | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS<br>TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR | \$1501-<br>\$2000                      | 2000-2003<br>2004-2007                 | MULTIPL            | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ÔN                                      | SUSPENS<br>ON 3<br>DAYS                              |
| 2007 | 5955                    | GS   | 0303   | 04    | MISCELLANEOUS I<br>CLERK AND<br>ASSISTANT      | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | PAY<br>ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 4  | SUSPENSIO<br>N 1 DAYS                        | ADMONISH<br>MENT                        | SUSPENS<br>ON 21<br>DAYS                             |
| 2007 | 5970                    | GS   | 0512   | 13    | INTERNAL REVENUE I<br>AGENT                    | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COMM<br>TECHNOLOGY &<br>MED          | FALSE OR<br>MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 15<br>DAYS               | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | En | nployee |    | (2)<br>ies, Grade and Job<br>Title | Di | sciplini |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal I<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS | (9)<br>Mitigating<br>Factors               | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip | 1)<br>ear<br>bline is<br>bsed - | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in |
|------|-------------------------|----|---------|----|------------------------------------|----|----------|----|--|--------------------------------------|--|--|---|-----------------|------------------------------------|--|--|--------------|---------------------------------|--|--|--|
|      |                         |    |         |    |                                    |    |          |    |  |                                      |  | Oliense                                | Multiple C                                | 11611363        | Policy<br>Violated                 | Accepted                                   | Fioposed                               | Elapse       | ed time<br>onths                | rioposed                                     | imposed  | Discipline                             |
| 2007 | 5977                    | GS | 0592    | 07 | TAX EXAMINING                      | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 1996-1999<br>2000-2003                    | NOT<br>STATED   | IDRS<br>SECURITY<br>RULE           | NOT<br>STATED                              | 2007                                   | 2007         | 4                               | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED                          |
| 2007 | 6021                    | GS | 0592    | 06 | TAX EXAMINING                      | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2000-2003<br>2004-2007                    |                 | IDRS<br>SECURITY<br>RULE           | NOT<br>STATED                              | 2007                                   | 2007         | 5                               | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED                          |
| 2007 | 6061                    |    | 0962    |    | CONTACT<br>REPRESENTATIVE          | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | OTHER -<br>ATTEMPTED AN<br>UNAUTHORIZED<br>ACCESS OF<br>OWN/SPOUSE<br>DATA ON IDRS                             | NOT<br>STATED                          | 2004-2007                                 | ONE             | NOT<br>STATED                      | NOT<br>STATED                              |  | 2007         | 5                               | N 14 DAYS                                    | SUSPENSI<br>ON 7<br>DAYS                             | ON 5<br>DAYS                           |
| 2007 |                         |    |         |    | INTERNAL REVENUE<br>OFFICER        |    |          | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  |  | 2000-2003                                 | -               | NOT<br>STATED                      | NOT<br>STATED                              | APPLICAB<br>LE                         | 2007         | NOT<br>APPLI<br>CABL<br>E       | NOT<br>APPLICABLE                            |  | STATED                                 |
| 2007 | 6145                    | GS | 0512    | 11 | INTERNAL REVENUE<br>AGENT          | IR | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | > \$2000                               | 2000-2003                                 | ONE             | OGE<br>2635.809                    | NOT<br>STATED                              | NOT<br>APPLICAB<br>LE                  | 2007         | NOT<br>APPLI<br>CABL<br>E       | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED                          |
| 2007 | 6192                    | GS | 0305    | 03 | MAIL AND FILE                      | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | CONDUCT<br>UNBECOMING<br>DISCOURTEOUS<br>CONDUCT<br>DISRUPTION OF<br>WORK FAILURE<br>TO FOLLOW<br>INSTRUCTIONS | NOT<br>STATED                          | 2004-2007                                 | MULTIPL         | OGE<br>2635.705                    | NOT<br>STATED                              | 2007                                   | 2007         | 6                               | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 5<br>DAYS               |
|      | 6198                    |    |         |    | ENGINEERING                        |    | 0340     |    | PROGRAM<br>MANAGEMENT                        | FIELD SPECIALISTS                    | FALSE OR<br>MISLEADING<br>STATEMENTS<br>MISUSE OF<br>GOVERNMENT<br>PROPERTY                                    | NOT<br>STATED                          | 2004-2007                                 | E               | 2635.702<br>OGE<br>2635.705        | NOT<br>STATED                              |  |              | 3                               | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | (PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E)            | SUSPENSI<br>ON 5<br>DAYS               |
| 2007 | 6200                    | GS | 0962    | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E    |                                    | FIRST<br>OFFENSE<br>GOOD<br>WORK<br>RECORD | 2007                                   | 2007         | 4                               | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 14<br>DAYS                            | NOT<br>STATED                          |
| 2007 | 6272                    | GS | 0962    | 05 | CONTACT<br>REPRESENTATIVE          | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2004-2007                                 | ONE             | IDRS<br>SECURITY<br>RULE           | NOT<br>STATED                              | 2007                                   | 2007         | 8                               | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED                          |
| 2007 | 6324                    | GS | 0962    | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS<br>FALSE OR<br>MISLEADING<br>STATEMENTS          | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE           | NOT<br>STATED                              | 2007                                   | 2007         | 4                               | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 14<br>DAYS              |

| ALER | (1)<br>TS Case<br>ımber | e Er | nployee |    | (2)<br>ies, Grade and Job<br>Title   | Di | sciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo | line is<br>sed -<br>ed time | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|--------------------------------------|----|----------|----|--|--------------------------------------|---|--|--|-------------------------|--|---|--|----------------------|-----------------------------|--|--|--|
| 2007 | 6328                    | GS   | 0962    | 05 | CONTACT<br>REPRESENTATIVE            | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | MISUSE OF<br>GOVERNMENT<br>PROPERTY<br>OUTSIDE<br>EMPLOYMENT<br>VIOLATIONS    | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 | 2                           | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 6396                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE            | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 1996-1999<br>2000-2003                 |                         | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                 | 4                           | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2007 | 6399                    | GS   | 0592    | 07 | TAX EXAMINING                        | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                            | > \$2000                               | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   |  |                      | 3                           | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                            | ND   |
|      | 6460                    | GS   |         |    | FINANCIAL CLERICAL<br>AND ASSISTANCE |    | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1000                                 | 2004-2007                              |                         | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>E   | NOT<br>APPLICABLE                            |  | STATED   |
|      | 6461                    | GS   | 0962    |    | CONTACT<br>REPRESENTATIVE            | IR |          | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | STATED                                 | 2004-2007                              |                         | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>E   | NOT<br>APPLICABLE                            |  | STATED   |
|      | 6470                    | GS   | 0592    |    | TAX EXAMINING                        | IR | 0340     |    | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1000                                 | 2004-2007                              |                         | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>E   | NOT<br>APPLICABLE                            |  | STATED   |
| 2007 | 6473                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE            | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | \$ 501-<br>\$1000                      | 2000-2003                              | E                       | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                 | 3                           | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 5<br>DAYS                             | NOT<br>STATED  |
| 2007 | 6476                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE            | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1001-<br>\$1500                      | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 | 3                           | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | SUSPENSI<br>ON 1<br>DAYS                             |
| 2007 | 6484                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE            | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   |  |                      | 2                           | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 14<br>DAYS                            | SUSPENSI<br>ON 3<br>DAYS                             |
|      | 6488                    | GS   |         |    | MAIL AND FILE                        | IR | 0340     |    | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | OTHER - FAILURE<br>TO TIMELY PAY<br>TAX LIABILITY                             | <= \$500                               | 2004-2007                              | -                       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>E   | NOT<br>APPLICABLE                            |  | STATED   |
| 2007 |                         |      |         |    | TAX EXAMINING                        | IR | 0340     |    | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       |  | 2004-2007                              | E                       | 2635.809   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>E   | NOT<br>APPLICABLE                            |  | STATED   |
|      | 6517                    | GS   | 0503    |    | FINANCIAL CLERICAL<br>AND ASSISTANCE |    | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          |  | MULTIPL<br>E            | SECURITY<br>RULE   |   | 2007                                   | 2007                 | 4                           | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 6519                    | GS   | 0987    | 11 | TAX LAW<br>SPECIALIST                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXEMPT<br>ORGANIZATIONS              | FALSE OR<br>MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED           | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>E   | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |

|      | (1)<br>RTS Case<br>umber | e En | nploye | e Ser | (2)<br>ries, Grade and Job<br>Title          | D  | visciplinir | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|--------------------------|------|--------|-------|--|----|-------------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 6559                     | IR   | 1811   | 01    | CRIMINAL<br>INVESTIGATING                    | ES | 1811        | 00 | CRIMINAL<br>INVESTIGATING                    | CI PACIFIC AREA<br>FIELD OPS         | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 6641                     | GS   | 0592   | 07    | TAX EXAMINING                                | ES | 0340        | 00 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 1996-1999                              | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                           | 3   | (PROBATION                                | SUSPENSI<br>ON 30<br>DAYS               | NOT<br>STATED  |
| 2007 | 6671                     | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | GOVT CREDIT<br>CARD PROBLEMS                                  | > \$2000                               | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 2   | SUSPENSIO<br>N 10 DAYS                    | SUSPENSI<br>ON 1<br>DAYS                | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 6672                     | GS   | 1169   | 07    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS                                  | > \$2000                               | 2004-2007                              | NOT<br>STATED   | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 6675                     | GS   | 0501   | 13    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | COMMUNICATIONS,<br>LIAISON & DISC    | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 6676                     | GS   | 1654   | 13    | PRINTING<br>MANAGEMENT                       | IR | 0343        | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS                                  | > \$2000                               | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 6678                     | GS   | 2210   | 11    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 6682                     | GS   | 0526   | 09    | TAX TECHNICIAN                               | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | FRAUD/BSA                            | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | SUSPENSI<br>ON 2<br>DAYS                             |
| 2007 | 6690                     | GS   | 0512   | 14    | INTERNAL REVENUE<br>AGENT                    | IR | 0512        | 01 | INTERNAL REVENUE<br>AGENT                    | SPECIALITY<br>PROGRAMS               | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 6696                     | GS   | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0340        | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | GOVT CREDIT<br>CARD PROBLEMS                                  | \$1501-<br>\$2000                      | 2004-2007                              | ONE             | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | REPRIMA<br>ND  |
| 2007 | 6703                     | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0512        | 05 | INTERNAL REVENUE<br>AGENT                    | EXEMPT<br>ORGANIZATIONS              | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 6718                     | GS   | 1169   | 09    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 2   | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5<br>DAYS                | NOT<br>STATED  |
| 2007 | 6737                     | IR   | 0501   | 01    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | ES | 0340        | 00 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 6741                     | GS   | 2210   | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 6745                     |      |        |       | TAX TECHNICIAN                               | IR |             |    | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS                                  | \$ 501-<br>\$1000                      | 2004-2007                              | -               | NOT<br>STATED  | STATED  |  |                                | 2   | -   | ON 6<br>DAYS                            | ND   |
| 2007 | 6749                     | GS   | 0526   | 09    | TAX TECHNICIAN                               | IR | 0526        | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | REPRIMA<br>ND  |

| ALER | (1)<br>TS Case<br>Imber | e En | nploye | e Ser | (2)<br>ies, Grade and Job<br>Title           | D  | visciplinii | 0  | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                              | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ýe<br>Discip<br>Impo | osed -<br>ed time         | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|-------------|----|---|--------------------------------------|---|--|--|-------------------------|--|---|--|----------------------|---------------------------|--|--|--|
| 2007 | 6750                    | IR   | 0340   | 01    | PROGRAM<br>MANAGEMENT                        | ES | 0340        | 00 | PROGRAM<br>MANAGEMENT                       | SPECIALITY<br>PROGRAMS               | GOVT CREDIT<br>CARD PROBLEMS  | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 6767                    | GS   | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | OGDEN<br>SUBMISSION<br>PROCESSING    | GOVT CREDIT<br>CARD PROBLEMS  | > \$2000                               | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 |                           | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 14<br>DAYS                            | REPRIMA<br>ND  |
| 2007 | 6777                    | GS   | 1169   | 11    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS  | > \$2000                               | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 |                           | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | NOT<br>STATED  |
| 2007 | 6788                    | GS   | 0318   | 05    | SECRETARY                                    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS  | <= \$500                               | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                 |                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 6789                    | GS   | 0526   | 13    | TAX TECHNICIAN                               | IR | 0526        | 01 | TAX TECHNICIAN                              | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS  | > \$2000                               | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 |                           | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | NOT<br>STATED  |
| 2007 | 6827                    | GS   | 0201   | 12    | PERSONNEL<br>MANAGEMENT                      | IR | 0201        | 01 | PERSONNEL<br>MANAGEMENT                     | HUMAN CAPITAL<br>OFFICE              | GOVT CREDIT<br>CARD PROBLEMS  | > \$2000                               | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 |                           | SUSPENSIO<br>N 15 DAYS                       |  | REPRIMA<br>ND  |
| 2007 | 6832                    | GS   | 0318   | 05    | SECRETARY                                    | IR | 0526        | 05 | TAX TECHNICIAN                              | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                     | NOT<br>STATED  | OTHER -<br>EE<br>RELOCAT<br>ED TO FL<br>FROM NY       | NOT<br>APPLICAB<br>LE                  | 2007                 |                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 6835                    | GS   | 0318   | 05    | SECRETARY                                    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 |                           | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | NOT<br>STATED  |
| 2007 | 6848                    | GS   | 0318   | 05    | SECRETARY                                    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS  | <= \$500                               | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 6851                    | IR   | 0340   | 01    | PROGRAM<br>MANAGEMENT                        | ES | 0340        | 00 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | PROBLEMS WITH<br>FINANCIAL<br>AFFAIRS GOVT<br>CREDIT CARD<br>PROBLEMS | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 |                           | SUSPENSIO<br>N 10 DAYS                       | SUSPENSI<br>ON 2<br>DAYS                             | NOT<br>STATED  |
| 2007 | 6891                    | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>COMPLIANCE SERV       | ATTENDANCE-   | NOT<br>STATED                          | 2004-2007                              |                         | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                 |                           | SUSPENSIO<br>N 5 DAYS                        | ON 1<br>DAYS   | NOT<br>STATED  |
| 2007 | 6955                    | GS   | 0203   | 07    | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE      | ES | 0201        | 00 | PERSONNEL<br>MANAGEMENT                     | HUMAN CAPITAL<br>OFFICE              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY               | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED           | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 |                           | PERIOD                                       | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>F) | NOT<br>STATED  |
| 2007 | 6958                    |      |        |       | TAX EXAMINING                                | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | TECHNICAL<br>SERVICES                | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY               | NOT<br>STATED                          | 2004-2007                              | E                       | 2635.101<br>OGE<br>2635.809                              | NOT<br>STATED   | 2007                                   | 2007                 |                           | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 30<br>DAYS                            | SUSPENSI<br>ON 10<br>DAYS                            |
| 2007 | 6983                    | GS   | 0318   | 05    | SECRETARY                                    | ES | 0340        | 00 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | FALSE OR<br>MISLEADING<br>STATEMENTS                                  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                 |                           | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 6985                    | GS   | 0344   | 07    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | ES | 0340        | 00 | PROGRAM<br>MANAGEMENT                       | CUST AST,<br>RELATNSHP &<br>ED(CARE) | FALSE OR<br>MISLEADING<br>STATEMENTS                                  | NOT<br>STATED                          | 2004-2007                              | E                       | STATED   | NOT<br>STATED   | 2007                                   | 2007                 |                           | SUSPENSIO<br>N 90 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                            | NOT<br>STATED  |
| 2007 | 6986                    | GS   | 0526   | 09    | TAX TECHNICIAN                               | ES | 0340        | 00 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS         | <= \$500                               | 2000-2003<br>2004-2007                 |                         | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                 |                           | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e Er | nployee |    | (2)<br>ies, Grade and Job<br>Title      | Di | sciplini |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal I<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated |               | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|---------|----|---|----|----------|----|--|--------------------------------------|--|--|---|-----------------|--|---------------|--|--------------------------------|---|--|--|--|
| 2007 | 7001                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           | 2   | (PROBATION<br>PERIOD                         | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>F) | SUSPENSI<br>ON 30<br>DAYS                            |
| 2007 | 7034                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 |               | 2007                                   | 2007                           | 6   | (PROBATION<br>PERIOD                         | REMOVAL  | NOT<br>STATED  |
| 2007 | 7086                   | GS   | 0512    | 11 | INTERNAL REVENUE<br>AGENT               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | FALSE OR<br>MISLEADING<br>STATEMENTS<br>NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY   | NOT<br>STATED                          | NOT<br>STATED                             | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           | 1   | N 3 DAYS                                     | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 7087                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | IMPROPER<br>DISCLOSURES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                                 | ONE             | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           | 3   | N 30 DAYS                                    | SUSPENSI<br>ON 1<br>DAYS                             | NOT<br>STATED  |
| 2007 | 7091                   | GS   | 0503    | 04 | FINANCIAL CLERICAL<br>AND ASSISTANCE    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | CONDUCT<br>UNBECOMING  | <= \$500                               | 2004-2007                                 | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           | 2   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 7157                   | GS   | 0526    | 11 | TAX TECHNICIAN                          | IR | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | ATTENDANCE-<br>RELATED<br>OFFENSES<br>DISCOURTEOUS<br>CONDUCT<br>FIGHTING.<br>PHYSICAL<br>ASSAULTS, AND<br>THREATS OTHER -<br>INTIMIDATING<br>BEHAVIOR | NOT<br>STATED                          |   | MULTIPL<br>E    | STATED   | STATED        | 2007                                   | 2007                           | 4   | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 1<br>DAYS                             | COUNSELI<br>NG                                       |
| 2007 | 7188                   | GS   | 0962    | 07 | CONTACT<br>REPRESENTATIVE               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | ATTENDANCE-<br>RELATED<br>OFFENSES FALSE<br>OR MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL         | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           | 3   | (PROBATION<br>PERIOD                         | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>F) | REPRIMA<br>ND  |
| 2007 | 7228                   | GS   | 0592    | 06 | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | 0 <= \$500                             | 2004-2007                                 | ONE             | OGE<br>2635.809  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | ADMONISH   | NOT<br>STATED  |
| 2007 | 7232                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | > \$2000                               |   | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           | 2   | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                            | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 7241                   | GS   | 0356    | 04 | DATA TRANSCRIBER                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | \$1001-<br>\$1500                      | 2004-2007                                 | MULTIPL<br>E    | OGE<br>2635.101  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber |    |      |    | (2)<br>ies, Grade and Job<br>Title      |    | ·    | an | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | Offered &<br>Accepted                   | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse<br>in M | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed      | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|------|----|---|----|------|----|--|--------------------------------------|---|--|--|-------------------------------------|--|---|--|--|--|--|--|--|
| 2007 | 7249                    | GS | 0801 | 13 | GENERAL<br>ENGINEERING                  | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SPECIALISTS                    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | > \$2000                               | 2000-2003                              | MULTIPL<br>E                        | NOT<br>STATED  | POTENTIA<br>L FOR<br>REHABILIT<br>ATION |  | 2007                                   | 1  | N 3 DAYS                                     | SUSPENSI<br>ON 3<br>DAYS                     | NOT<br>STATED  |
| 2007 | 7279                    | GS | 0592 | 07 | TAX EXAMINING                           | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | <= \$500                               | 2004-2007                              | MULTIPL<br>E                        | NOT<br>STATED  | NOT                                     | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                | NOT<br>STATED  |
| 2007 | 7288                    | GS | 1169 | 09 | INTERNAL REVENUE<br>OFFICER             | IR | 1169 | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED                          | 2004-2007                              | ONE                                 | NOT<br>STATED  | NOT<br>STATED                           | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                             | NOT<br>STATED  |
| 2007 | 7308                    | IR | 0512 | 04 | INTERNAL REVENUE<br>AGENT               | ES | 0340 | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | NOT<br>STATED                          | NOT<br>STATED                       | OTHER -<br>1.10.3.2.1                                    |   | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                             | NOT<br>STATED  |
| 2007 | 7310                    | GS | 0303 | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>SUBMISSION<br>PROCSG | FALSE OR<br>MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                              | ONE                                 | NOT<br>STATED  | STATED                                  | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                             | NOT<br>STATED  |
| 2007 | 7311                    | GS | 0962 | 08 | CONTACT<br>REPRESENTATIVE               | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | INTERNET / EMAIL<br>MISUSE  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                        | NOT<br>STATED  | STATED                                  | 2007                                   | 2007                                   | 5  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                    | ON 14<br>DAYS  |
| 2007 | 7313                    | GS | 0592 | 07 | TAX EXAMINING                           | IR | 0301 | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | FALSE OR<br>MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                              | ONE                                 | NOT<br>STATED  | NOT<br>STATED                           | 2007                                   | 2007                                   | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                    | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 7368                    | GS | 0962 | 08 | CONTACT<br>REPRESENTATIVE               | IR | 0301 | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                        | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                                   | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | SUSPENSI<br>ON 30<br>DAYS                            |
| 2007 | 7394                    | GS | 1169 | 12 | INTERNAL REVENUE<br>OFFICER             | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                              | ONE                                 | OGE<br>2635.705  | NOT<br>STATED                           | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 7399                    | IR | 0962 | 07 | CONTACT<br>REPRESENTATIVE               | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY  | NOT<br>STATED                          | 2004-2007                              | ONE                                 | NOT<br>STATED  | NOT<br>STATED                           | 2007                                   | 2007                                   | 3  | REDUCTION<br>IN PAY OR<br>GRADE              | INVOLUN.<br>REDUCTIO<br>N IN PAY<br>OR GRADE |  |
| 2007 | 7447                    | GS | 0260 |    | EQUAL<br>EMPLOYMENT<br>OPPORTUNITY      | ES | 0260 | 00 | EQUAL EMPLOYMENT<br>OPPORTUNITY              | OFFICE OF THE<br>COMMISSIONER        | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS<br>IMPROPER<br>DISCLOSURES<br>MISUSE OF<br>GOVERNMENT<br>PROPERTY<br>NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY | NOT<br>STATED                          | NOT<br>STATED                          | ONE                                 | NOT<br>STATED  | NOT<br>STATED                           | 2007                                   | 2007                                   | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REDUCTIO<br>N IN PAY                         |  |

| ALER | (1)<br>TS Case<br>mber | e En | nployee | e Ser | (2)<br>ries, Grade and Job<br>Title  | Di   | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal I<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|---------|-------|--------------------------------------|------|----------|----|--|--------------------------------------|---|--|---|-------------------------|--|---|--|------------------------------|--|--|---|--|
| 2007 | 7455                   | GS   | 0592    | 07    | TAX EXAMINING                        | IR   | 0501     | 04 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | AUSTIN ACCOUNTS<br>MANAGEMENT        | FALSE OR<br>MISLEADING<br>STATEMENTS<br>OTHER -<br>FALSIFICATION-<br>OTHER THAN<br>OFFCL<br>DOCUMENTS | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 7462                   | GS   | 0962    | 06    | CONTACT<br>REPRESENTATIVE            | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                                 | ONE                     | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                         | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 14<br>DAYS               | NOT<br>STATED  |
| 2007 | 7464                   | GS   | 1811    | 13    | CRIMINAL<br>INVESTIGATING            | ES   | 1811     | 00 | CRIMINAL<br>INVESTIGATING                    | CI NO ATLANTIC<br>AREA FIELD OPS     | GOVERNMENT<br>VEHICLE<br>OFFENSES<br>MISUSE OF<br>GOVERNMENT<br>PROPERTY                              | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 2  | SUSPENSIO<br>N 45 DAYS                       | SUSPENSI<br>ON 35<br>DAYS               | NOT<br>STATED  |
| 2007 | 7528                   | GS   | 0503    | 05    | FINANCIAL CLERICAL<br>AND ASSISTANCE | . IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                                 | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 7561                   | GS   | 0512    | 13    | INTERNAL REVENUE<br>AGENT            | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EMPLOYEE PLANS                       | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | NOT<br>STATED                             | NOT<br>STATED           | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 7593                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE            | IR   | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 6  | (PROBATION                                   |   | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 7618                   | GS   | 0962    | 09    | CONTACT<br>REPRESENTATIVE            | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2000-2003                                 | ONE                     | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                         | 2  |  |   | NOT<br>STATED  |
| 2007 | 7620                   | GS   | 0512    | 13    | INTERNAL REVENUE<br>AGENT            | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | RETAIL FOOD<br>PHARM & HLTH<br>CARE  | OTHER -<br>FALISFICATION OF<br>APPLICATION<br>DOCUMENTS   | NOT<br>STATED                          |   | NOT<br>STATED           | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 7  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 14<br>DAYS               | NOT<br>STATED  |
| 2007 | 7623                   | GS   | 1169    | 07    | INTERNAL REVENUE<br>OFFICER          | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                                 | ONE                     | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                         | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS               | NOT<br>STATED  |
|      | 7656                   |      | 0962    |       | CONTACT<br>REPRESENTATIVE            | IR   |          | 02 | MANAGEMENT                                   | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          |   | MULTIPL<br>E            | STATED   | STATED  | 2007                                   | 2007                         | 3  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                | HMENT  |
| 2007 | 7662                   | GS   |         |       | CONTACT<br>REPRESENTATIVE            | IR   |          | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | OFFENSES  | NOT<br>STATED                          |   | ONE                     | NOT<br>STATED  | STATED  | 2007                                   | 2007                         | 2  | SUSPENSIO<br>N 11 DAYS                       | SUSPENSI<br>ON 11<br>DAYS               | ON 3<br>DAYS   |
|      | 7677                   | GS   |         | 07    |                                      | ES   |          | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | RELATED<br>OFFENSES   | NOT<br>STATED                          |   | MULTIPL<br>E            | STATED   | STATED  | 2007                                   | 2007                         | 3  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                | COUNSELI<br>NG                                       |
| 2007 | 7686                   | GS   | 0592    | 07    | TAX EXAMINING                        | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | INTERNET / EMAIL<br>MISUSE  | NOT<br>STATED                          | 2004-2007                                 | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  |  | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e E | mploye | e Ser | (2)<br>ries, Grade and Job<br>Title     | Di | isciplini |    | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|--------|-------|---|----|-----------|----|---|--------------------------------------|--|--|--|-------------------------|--|---|--|--------------------------------|---|--|--|--|
| 2007 | 7751                    | GS  | 0203   | 05    | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE | IR | 0201      | 01 | PERSONNEL<br>MANAGEMENT                     | HUMAN CAPITAL<br>OFFICE              | FALSE OR<br>MISLEADING<br>STATEMENTS<br>OTHER - FAILED<br>TO COMPLY WITH<br>PTSP PROGRAM | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.101  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 7754                    | GS  | 0305   | 03    | MAIL AND FILE                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>SUBMISSION<br>PROCESS | FALSE OR<br>MISLEADING<br>STATEMENTS   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | NOT<br>STATED  |
| 2007 | 7769                    | GS  | 1169   | 11    | INTERNAL REVENUE<br>OFFICER             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | COLLECTION                           | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                                       | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 7797                    | GS  | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 7<br>DAYS                             | STATED   |
| 2007 | 7806                    | GS  | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | AUSTIN<br>COMPLIANCE<br>SERVICES     | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                            | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 7808                    | IR  | 0503   | 08    | FINANCIAL CLERICAL<br>AND ASSISTANCE    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | AUSTIN<br>COMPLIANCE<br>SERVICES     | THEFT, FALSE<br>CLAIMS AND<br>UNAUTHORIZED<br>PROPERTY<br>POSSESSION                     | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 14<br>DAYS                            | NOT<br>STATED  |
| 2007 | 7842                    | GS  |        |       | TAX TECHNICIAN                          | IR |           |    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM  | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                            | NOT<br>STATED                          | 2004-2007                              |                         | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | COUNSELI<br>NG                                       |  |
| 2007 | 7877                    | GS  | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES<br>CONDUCT<br>UNBECOMING<br>DISRUPTION OF<br>WORK     | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 7892                    | GS  | 0592   | 06    | TAX EXAMINING                           | IR | 0340      | 05 | PROGRAM<br>MANAGEMENT                       | TECHNICAL<br>SERVICES                | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                                       | > \$2000                               | 2004-2007                              | ONE                     | OGE<br>2635.704  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | SUSPENSIO<br>N 1 DAYS                        | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 7912                    | GS  | 0526   | 09    | TAX TECHNICIAN                          | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | FIELD OPERATIONS<br>WEST             |  | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.809  | FIRST<br>OFFENSE                                      | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 7913                    | GS  | 0318   | 10    | SECRETARY                               | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | HUMAN CAPITAL                        | OTHER -<br>INAPPROPRIATE<br>USE OF GOVT<br>PURCHASE CARD                                 | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 7922                    | IR  | 1169   | 05    | INTERNAL REVENUE<br>OFFICER             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS   | <= \$500                               | 2004-2007                              | ONE                     | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 7928                    | GS  | 1654   | 13    | PRINTING<br>MANAGEMENT                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS   | \$1501-<br>\$2000                      | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 7932                    | GS  | 0526   | 05    | TAX TECHNICIAN                          | IR | 0526      | 01 | TAX TECHNICIAN                              | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS   | \$1001-<br>\$1500                      | 2004-2007                              | MULTIPL<br>E            | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | E<br>NOT<br>APPLI<br>CABL<br>E                      | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |

|      | (1)<br>RTS Case<br>umber | e En | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title             | D  | visciplinii | 0  | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal Range<br>Misconduct /<br>Multiple Offenses | Conduct                                      | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|--------------------------|------|--------|-------|---|----|-------------|----|---|--------------------------------------|---|--|--|--|---|--|--------------------------------|--|--|---|--|
| 2007 | 7939                     |      |        |       |   | ES |             | 00 | PROGRAM<br>MANAGEMENT                       | CUST AST,<br>RELATNSHP &<br>ED(CARE) | FINANCIAL<br>AFFAIRS GOVT<br>CREDIT CARD<br>PROBLEMS          | \$ 501-<br>\$1000                      | 2004-2007 ONE  | NOT<br>STATED                                | NOT<br>STATED   | 2007                                   |                                | 4  | SUSPENSIO<br>N 15 DAYS                       | ON 15<br>DAYS                           | REPRIMA<br>ND  |
| 2007 | 7941                     | GS   | 1169   | 12    | INTERNAL REVENUE<br>OFFICER                     | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007 ONE  | NOT<br>STATED                                | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 7943                     |      | 0512   |       | INTERNAL REVENUE<br>AGENT                       |    |             | 01 | PROGRAM<br>MANAGEMENT                       | FRAUD/BSA                            | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | NOT ONE<br>STATED  | NOT<br>STATED                                | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 2<br>DAYS                | STATED   |
| 2007 | 7959                     | IR   | 0592   | 09    | TAX EXAMINING                                   | IR | 0340        | 02 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>COMPLIANCE<br>SERVICES | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007 ONE  | NOT<br>STATED                                | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 7965                     | GS   | 1169   | 07    | INTERNAL REVENUE<br>OFFICER                     | IR | 1169        | 05 | INTERNAL REVENUE<br>OFFICER                 | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007 ONE  | OTHER -<br>CREDIT<br>CARD<br>DELINQUE<br>NCY | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 7976                     | IR   | 2003   | 04    | SUPPLY PROGRAM<br>MANAGEMENT                    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS                                  | > \$2000                               | 2004-2007 MULTIF<br>E                                      | STATED                                       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 7983                     | GS   | 0501   | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM      | IR | 0526        | 01 | TAX TECHNICIAN                              | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007 ONE  | NOT<br>STATED                                | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 7985                     | GS   | 2210   | 09    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS                                  | > \$2000                               | 2004-2007 MULTIF<br>E                                      | PL NOT<br>STATED                             | NOT<br>STATED   | 2007                                   | 2007                           | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 15<br>DAYS               | NOT<br>STATED  |
| 2007 | 7988                     | GS   | 0512   | 11    | INTERNAL REVENUE<br>AGENT                       | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007 ONE  | NOT<br>STATED                                | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 8007                     | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT                       | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | GOVERNMENT<br>ENTITIES               | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007 ONE  | OGE<br>2635.809                              | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 8010                     | GS   | 0326   | 04    | OFFICE<br>AUTOMATION<br>CLERICAL AND<br>ASSISTA | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | NOT NOT<br>STATED STATE                                    | NOT<br>STATED                                | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 8012                     | GS   | 0501   | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM      | IR | 0340        |    | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>COMPLIANCE<br>SERVICES | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007 MULTIF<br>E                                      | STATED                                       | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                | NOT<br>STATED  |
| 2007 | 8015                     | GS   | 1169   | 07    | INTERNAL REVENUE<br>OFFICER                     | IR | 1169        | 05 | INTERNAL REVENUE<br>OFFICER                 | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS                                  | \$ 501-<br>\$1000                      | 2004-2007 ONE  | OGE<br>2635.101                              | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 8027                     |      | 0503   |       | FINANCIAL CLERICAL<br>AND ASSISTANCE            |    |             |    | PROGRAM<br>MANAGEMENT                       | FRESNO<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007 ONE  | IDRS<br>SECURITY<br>RULE                     |   | 2007                                   | 2007                           | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ON 30<br>DAYS                           | NOT<br>STATED  |
| 2007 | 8031                     | GS   | 1169   | 09    | INTERNAL REVENUE<br>OFFICER                     | ES | 0340        | 00 | PROGRAM<br>MANAGEMENT                       | COLLECTION                           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2000-2003 MULTIF<br>2004-2007 E                            | PL IDRS<br>SECURITY<br>RULE                  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS               | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>ımber | e En | nployee |    | (2)<br>ries, Grade and Job<br>Title          | Di | isciplini | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|--|----|-----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|---|--|---|--|
| 2007 | 8187                    | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | > \$2000                               | 2000-2003                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 8221                    |      | 0512    |    | INTERNAL REVENUE<br>AGENT                    |    |           | 01 | PROGRAM<br>MANAGEMENT                        | EXEMPT<br>ORGANIZATIONS              | TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       |  | 2000-2003                              | -                  | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           |  | WRITTEN<br>COUNSELI<br>NG               |  |
| 2007 | 8230                    | GS   | 0962    | 09 | CONTACT<br>REPRESENTATIVE                    | IR | 0501      | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAX,<br>UNDERREPORTER   | <= \$500                               | 2000-2003                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 8231                    | GS   | 0962    | 06 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1501-<br>\$2000                      | 2000-2003                              |                    | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             | NOT<br>STATED   | 2007                                   | 2007                           | 5   | (PROBATION                                   |   | ADMONIS<br>HMENT                                     |
| 2007 | 8241                    |      |         |    | PERSONNEL<br>MANAGEMENT                      | IR |           | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       |  | 2000-2003                              | E                  | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |   | STATED   |
| 2007 | 8243                    | GS   | 0512    | 14 | INTERNAL REVENUE<br>AGENT                    |    |           | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       |  |  | -                  | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           |  | WRITTEN<br>COUNSELI<br>NG               |  |
| 2007 | 8249                    | GS   |         | 08 | REPRESENTATIVE                               | ES | 0340      |    | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | • • • • •                              | 2000-2003<br>2004-2007                 | E                  | 2635.809   | NOT<br>STATED   | 2007                                   | 2007                           | 3   | N 15 DAYS                                    | SUSPENSI<br>ON 7<br>DAYS                | ADMONIS<br>HMENT                                     |
| 2007 | 8257                    |      | 0962    |    | CONTACT<br>REPRESENTATIVE                    | IR |           | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       |  | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 8262                    | GS   | 0343    | 11 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR | 0512      | 04 | INTERNAL REVENUE<br>AGENT                    | FINANCIAL<br>SERVICES                | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | STATED                                 | 2000-2003                              | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 8271                    | GS   | 2210    | 14 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210      | 04 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ACIO ENTERPRISE<br>SERVICES          | TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | NOT<br>STATED                          | 2000-2003<br>2004-2007                 |                    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 8272                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | RRA 1998 -<br>1203 (b)(9)                                |   | 2007                                   | 2007                           | 5   |  | SUSPENSI<br>ON 3<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 8274                    | GS   | 0526    | 12 | TAX TECHNICIAN                               | IR | 0301      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | > \$2000                               | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 4   | SUSPENSIO<br>N 5 DAYS                        | REPRIMAN<br>D                           | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 8355                    |      | 0335    |    | AND ASSISTANT                                | IR |           | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | DISRUPTION OF<br>WORK   | NOT<br>STATED                          | 2004-2007                              |                    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 3 DAYS                        | ON 3<br>DAYS                            | NOT<br>STATED  |
| 2007 | 8358                    | GS   | 1101    | 09 | GENERAL BUSINESS<br>AND INDUSTRY             | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | > \$2000                               | 2000-2003                              | E                  | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             |   | 2007                                   | 2007                           | 6   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS               | NOT<br>STATED  |
| 2007 | 8399                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2   | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 14<br>DAYS               | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>ımber | e Er | nployee |    | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini |    | (3)<br>fficial Series, Grade<br>d Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal I<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated |                           | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|--|----|----------|----|--|--------------------------------------|---|--|---|-----------------|--|---------------------------|--|--------------------------------|--|---|---|--|
| 2007 | 8400                    | GS   | 1101    | 09 | GENERAL BUSINESS<br>AND INDUSTRY             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED             | 2007                                   | 2007                           | 4  | SUSPENSIO<br>N 10 DAYS                    | SUSPENSI<br>ON 10<br>DAYS               | SUSPENSI<br>ON 1<br>DAYS                             |
| 2007 | 8418                    | GS   | 0962    | 06 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                                 | ONE             | NOT<br>STATED  | NOT<br>STATED             | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5<br>DAYS                |  |
| 2007 | 8420                    | GS   | 0512    | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | EXAMINATION                          | FALSE OR<br>MISLEADING<br>STATEMENTS  | <= \$500                               | 2004-2007                                 | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED             | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 21 DAYS                    | SUSPENSI<br>ON 21<br>DAYS               | NOT<br>STATED  |
| 2007 | 8442                    | GS   | 0592    | 06 | TAX EXAMINING                                | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>SUBMISSION<br>PROCESS | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                                 | ONE             | NOT<br>STATED  | NOT<br>STATED             | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 30 DAYS                    | SUSPENSI<br>ON 14<br>DAYS               | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 8486                    | GS   | 1169    | 12 | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | CONDUCT<br>UNBECOMING<br>MISUSE OF<br>GOVERNMENT<br>PROPERTY  | NOT<br>STATED                          | NOT<br>STATED                             | NOT<br>STATED   | NOT<br>STATED  | NOT<br>STATED             | 2007                                   | 2007                           | 4  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 8503                    | GS   | 0344    | 07 | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | INTERNET / EMAIL  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED             | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2007 | 8504                    | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0512     | 01 | INTERNAL REVENUE<br>AGENT                    | PHILADELPHIA<br>COMPLIANCE SERV      | STATEMENTS<br>THEFT, FALSE<br>CLAIMS AND<br>UNAUTHORIZED<br>PROPERTY  | NOT<br>STATED                          | 2004-2007                                 | ONE             | NOT<br>STATED  | NOT<br>STATED             | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 8506                    | GS   | 0526    | 09 | TAX TECHNICIAN                               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | POSSESSION<br>ATTENDANCE-<br>RELATED<br>OFFENSES FALSE<br>OR MISLEADING<br>STATEMENTS<br>OTHER -<br>FALFICATION OF<br>OFFICIAL<br>DOCUMENTS | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED             | 2007                                   | 2007                           | 4  | SUSPENSIO<br>N 7 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 8508                    | GS   | 0962    | 05 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | FALSE OR<br>MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                                 | ONE             | NOT<br>STATED  | NOT<br>STATED             | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 3 DAYS                     | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 8545                    | GS   | 0503    | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED                          | 2004-2007                                 | ONE             | NOT<br>STATED  | NOT<br>STATED             | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2007 | 8547                    | GS   | 1101    | 12 | GENERAL BUSINESS<br>AND INDUSTRY             | IR | 1101     | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED                          | 2004-2007                                 |                 | NOT<br>STATED  |                           | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 8554                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED                          | 2004-2007                                 | ONE             | OGE<br>2635.809  | NOT<br>STATED             | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | ADMONIS<br>HMENT                                     |
| 2007 | 8562                    | GS   | 0592    | 07 | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED                          | 2004-2007                                 | ONE             | NOT<br>STATED  | NOT<br>STATED             | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 14 DAYS                    | SUSPENSI<br>ON 14<br>DAYS               | NOT<br>STATED  |
| 2007 | 8567                    | GS   | 1169    | 12 | INTERNAL REVENUE<br>OFFICER                  | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | > \$2000                               | 2000-2003                                 | MULTIPL<br>E    | OGE<br>2635.809  | FINANCIAL<br>PROBLEM<br>S | 2007                                   | 2007                           | 5  | SUSPENSIO<br>N 30 DAYS                    | SUSPENSI<br>ON 15<br>DAYS               | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e Er | nployee |    | (2)<br>ies, Grade and Job<br>Title      | Di | •    | •  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code      | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | Accepted                                      | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse<br>in M | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|---|----|------|----|--|-------------------------------------|---|--|--|-------------------------------------|--|---|--|--|--|--|--|--|
| 2007 | 8572                    | GS   | 0592    | 07 | TAX EXAMINING                           | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                              | ONE                                 | OGE<br>2635.809  | NOT<br>STATED                                 | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 8600                    | GS   | 0592    | 05 | TAX EXAMINING                           | IR | 0340 | 02 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                                 | OGE<br>2635.809  | NOT<br>STATED                                 | 2007                                   | 2007                                   | 2  | N 1 DAYS                                     | SUSPENSI<br>ON 1<br>DAYS                             | REPRIMA<br>ND  |
| 2007 | 8609                    | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING | TAX,<br>UNDERREPORTER                                   | \$1501-<br>\$2000                      | 2000-2003                              | ONE                                 | OGE<br>2635.809  | NOT<br>STATED                                 | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 8615                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | MULTIPL<br>E                        | NOT<br>STATED  | NOT<br>STATED                                 | 2007                                   | 2007                                   | 1  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | ADMONIS<br>HMENT                                     |
| 2007 | 8649                    | IR   | 1101    | 05 | GENERAL BUSINESS<br>AND INDUSTRY        | IR | 1101 | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2000-2003                              | ONE                                 | OGE<br>2635.101  | NOT<br>STATED                                 | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 8661                    | GS   | 0592    | 08 | TAX EXAMINING                           | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                        | NOT<br>STATED  | NOT<br>STATED                                 | 2007                                   | 2007                                   | 1  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 8683                    |      | 1811    |    | CRIMINAL<br>INVESTIGATING               | IR |      | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID STATES<br>AREA FIELD OPS     | ISSUED FIREARM  | STATED                                 | 2004-2007                              | -                                   | NOT<br>STATED  | STATED  | 2007                                   |  | 3  | N 14 DAYS                                    | SUSPENSI<br>ON 14<br>DAYS                            | STATED   |
| 2007 | 8723                    | GS   |         | 07 | CONTACT<br>REPRESENTATIVE               | IR |      | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT  | MISUSE  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                        | STATED   | STATED  | 2007                                   |  | 3  | N 14 DAYS                                    | SUSPENSI<br>ON 14<br>DAYS                            | STATED   |
| 2007 | 8734                    | GS   | 0343    |    | MANAGEMENT AND<br>PROGRAM ANALYSIS      |    |      | 01 | PROGRAM<br>MANAGEMENT                        |                                     |   | NOT<br>STATED                          | 2004-2007                              |                                     | NOT<br>STATED  | STATED  | 2007                                   | 2007                                   | 1  | SUSPENSIO<br>N 10 DAYS                       | SUSPENSI<br>ON 3<br>DAYS                             | ON 1<br>DAYS   |
| 2007 | 8755                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT       | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                        | STATED   | NOT<br>STATED                                 | 2007                                   | 2007                                   | b  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 8782                    | GS   | 1169    | 05 | INTERNAL REVENUE<br>OFFICER             | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                          | LOSS OF<br>DOCUMENTS AND<br>SECURITY<br>VIOLATIONS      | NOT<br>STATED                          | NOT<br>STATED                          | ONE                                 | NOT<br>STATED  | OTHER -<br>NO<br>LONGER<br>IN THE<br>POSITION | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 8811                    | GS   |         | 05 | FINANCIAL CLERICAL<br>AND ASSISTANCE    |    | 0340 | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                         | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                        | STATED   | STATED  | 2007                                   | 2007                                   | 1  | N 14 DAYS                                    | SUSPENSI<br>ON 14<br>DAYS                            | ON 3<br>DAYS   |
| 2007 | 8836                    | GS   |         |    | MAIL AND FILE                           | ES |      | 00 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT   | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                    | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                        | STATED   | STATED  | 2007                                   | 2007                                   | 4  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 10<br>DAYS                            | ON 1<br>DAYS   |
| 2007 | 8843                    | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | ONE                                 | NOT<br>STATED  | NOT<br>STATED                                 | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |

| ALER | (1)<br>CTS Cas<br>umber | se Er | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title        | D  | isciplini |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                        | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscor<br>Multiple ( | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted  | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elaps | 11)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-------|--------|-------|--|----|-----------|----|--|--------------------------------------|---|--|--|--------------------|--|--|--|-------------------------|--|--|--|--|
| 2007 | 8849                    | GS    | 0526   | 09    | TAX TECHNICIAN                             | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | CONFLICTS OF<br>INTEREST  | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | GOOD<br>WORK<br>RECORD<br>NO<br>EFFECT<br>UPON<br>EMPLOYE<br>R'S<br>CONFIDEN<br>CE OF<br>EMPLOYE | 2007                                   | 2007                    | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 1<br>DAYS                             | REPRIMA<br>ND  |
| 2007 | 8850                    | GS    | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | INTERNET / EMAIL<br>MISUSE                                      | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED  | 2007                                   | 2007                    | 3  | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 3<br>DAYS                             | ADMONIS<br>HMENT                                     |
| 2007 | 8876                    | GS    | 0592   | 06    | TAX EXAMINING                              | IR | 0592      | 09 | TAX EXAMINING                                | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | \$1001-<br>\$1500                      | 2004-2007                              |                    | OGE<br>2635.809  | NOT<br>STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    |  | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | STATED   |
| 2007 | 8910                    | GS    | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0343      | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES                              | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED  | 2007                                   | 2007                    | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>F) | NOT<br>STATED  |
| 2007 | 8913                    | GS    | 1169   |       | INTERNAL REVENUE<br>OFFICER                | IR | 0301      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | FIELD OPERATIONS<br>WEST             | S COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2000-2003                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED  | 2007                                   | 2007                    | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI   | NOT<br>STATED  |
| 2007 | 8924                    | GS    | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0343      | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES                              | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED  | 2007                                   | 2007                    | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 8970                    | GS    |        |       | MAIL AND FILE                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                              | NOT<br>STATED                          | 2004-2007                              | E                  | STATED   | STATED   | 2007                                   | 2007                    | 4  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | STATED   |
| 2007 | 8987                    | GS    | 0503   | 07    | FINANCIAL CLERICAL<br>AND ASSISTANCE       | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | > \$2000                               | 2000-2003<br>2004-2007                 | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED  | 2007                                   | 2007                    | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 21<br>DAYS                            |
| 2007 | 8996                    | GS    | 0501   | 07    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | GOVERNMENT<br>ENTITIES               | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    |  | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            |  |
| 2007 | 8997                    | GS    |        |       | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | ES |           | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         |  | 2000-2003                              |                    | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             | STATED   | 2007                                   | 2007                    | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | DAYS   | STATED   |
| 2007 | 9006                    | GS    | 0303   | 05    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | \$1500                                 | 2000-2003<br>2004-2007                 | ONE                | NOT<br>STATED  | NOT<br>STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    |  | NOT<br>APPLICABLE                            |  | STATED   |
| 2007 | 9009                    | GS    | 0318   | 05    | SECRETARY                                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EXEMPT<br>ORGANIZATIONS              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | <b>0</b> <= \$500                      | 2000-2003                              | ONE                | OGE<br>2635.809  | NOT<br>STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | Er | nploye | e Ser | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | 0  | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted                  | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|-------|--|----|----------|----|--|--------------------------------------|--|--|--|-----------------|--|--|--|--------------------------------|---|--|---|--|
| 2007 | 9025                    | GS | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAX,<br>UNDERREPORTER  | \$1501-<br>\$2000                      | 2000-2003                              | ONE             | OGE<br>2635.809  | NOT<br>STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 9027                    | IR | 0526   | 06    | TAX TECHNICIAN                               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAX,<br>UNDERREPORTER  | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | MULTIPL<br>E    | NOT<br>STATED  | STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 9029                    | GS | 0343   | 15    | MANAGEMENT AND<br>PROGRAM ANALYSIS           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | AGENCY WIDE<br>SHARED SERVICES       | TAX,<br>UNDERREPORTER  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | NOT<br>STATED  | STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 9040                    | GS | 0592   | 05    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                    | > \$2000                               | 2000-2003<br>2004-2007                 | ONE             | OGE<br>2635.809  | STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 9060                    | GS | 1550   | 14    | COMPUTER SCIENCE                             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | Servicewide                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                    | e <= \$500                             | 2004-2007                              | MULTIPL<br>E    | 2635.101   | STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |   | STATED   |
| 2007 | 9077                    | GS | 0525   | 06    | ACCOUNTING<br>TECHNICIAN                     | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                    | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 9084                    | GS | 0987   | 14    | TAX LAW<br>SPECIALIST                        | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXEMPT<br>ORGANIZATIONS              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                    | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 9093                    | GS | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0356     | 11 | DATA TRANSCRIBER                             | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                    | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 9129                    | GS | 0962   |       | CONTACT<br>REPRESENTATIVE                    | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | FOLLOW<br>INSTRUCTIONS   | NOT<br>STATED                          |  | MULTIPL<br>E    | STATED   | STATED   |  | 2007                           | 3   | N 3 DAYS                                     | SUSPENSI<br>ON 1<br>DAYS                | COUNSELI<br>NG                                       |
| 2007 | 9139                    | GS | 0962   | 05    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED  | 2007                                   | 2007                           | 1   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | NOT<br>STATED  |
| 2007 | 9146                    | GS | 0592   | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      |  | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.704  | STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 9159                    | GS | 0344   | 07    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID ATLANTIC<br>AREA FIELD OPS    | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED  | 2007                                   | 2007                           | 2   | N 5 DAYS                                     | SUSPENSI<br>ON 3<br>DAYS                | STATED   |
| 2007 | 9160                    | GS | 1169   |       | INTERNAL REVENUE<br>OFFICER                  | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | COLLECTION                           | FALSE OR<br>MISLEADING<br>STATEMENTS<br>NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY |  | 2004-2007                              | MULTIPL<br>E    | STATED   | OTHER -<br>COFFEE<br>SPILL ON<br>LAPTOP<br>DURING<br>SYSTEM<br>UPGRADE | 2007                                   | 2007                           | 4   | (PROBATION                                   | DAYS                                    | ND   |
| 2007 | 9220                    | IR | 2210   |       | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | NOT<br>STATED                          | ONE             | OTHER -<br>GOV. INFC<br>TECHNOL<br>OGY<br>EQUIPME<br>NT  |  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |

|      | (1)<br>TS Case<br>umber | e Er | nploye |    | (2)<br>ies, Grade and Job<br>Title      | Di | sciplini | -  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated    | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|----|---|----|----------|----|--|--------------------------------------|---|--|--|--------------------|---|---|--|--------------------------------|--|--|--|--|
| 2007 | 9221                    | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                    | NOT<br>STATED   | 2007                                   | 2007                           | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2007 | 9222                    | GS   | 1169   | 09 | INTERNAL REVENUE<br>OFFICER             | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED      | OTHER -<br>IRM.6.752.<br>7.6                                | FIRST<br>OFFENSE                                      | 2007                                   | 2007                           | 7  | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                            | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 9250                    | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 4  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | NOT<br>STATED  |
| 2007 | 9270                    | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 7 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 9286                    | GS   | 0318   | 05 | SECRETARY                               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | MISUSE OF<br>POSITION   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 9287                    | IR   | 0512   | 04 | INTERNAL REVENUE<br>AGENT               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | MISUSE OF<br>POSITION   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 7 DAYS                        | SUSPENSI<br>ON 7<br>DAYS                             | NOT<br>STATED  |
| 2007 | 9288                    | GS   | 0592   | 05 | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN   | NOT<br>STATED  |
| 2007 | 9293                    | GS   | 0305   | 04 | MAIL AND FILE                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | DISRUPTION OF<br>WORK   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 4  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 9302                    | GS   | 0305   | 03 | MAIL AND FILE                           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | IRM 6.735   | NOT<br>STATED   | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | ADMONIS<br>HMENT                                     |
| 2007 | 9342                    | GS   | 0303   | 05 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | ATTENDANCE-<br>RELATED<br>OFFENSES<br>SUBSTANCE<br>ABUSE      | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | 31 CFR<br>0.204<br>OTHER -<br>41 CFR<br>PART 102-<br>74.405 | NOT<br>STATED   | 2007                                   | 2007                           | 6  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 10<br>DAYS                            | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 9377                    | GS   | 0592   | 08 | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       |   | NOT<br>STATED   | 2007                                   | 2007                           | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 9378                    | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2000-2003                              | ONE                | IDRS<br>SECURITY<br>RULE                                    | NOT<br>STATED   | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 14<br>DAYS                            | NOT<br>STATED  |
| 2007 | 9379                    | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        |   | NOT<br>STATED                          | 2000-2003                              | MULTIPL<br>E       | IDRS<br>SECURITY<br>RULE                                    | NOT<br>STATED   | 2007                                   | 2007                           | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2007 | 9380                    | GS   | 1169   | 09 | INTERNAL REVENUE<br>OFFICER             | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.101   | NOT<br>STATED   | 2007                                   | 2007                           | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e E | mploye | e Se | (2)<br>ries, Grade and Job<br>Title     | Di | isciplini | -  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                       | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|--------|------|---|----|-----------|----|--|--------------------------------------|--|--|--|-----------------|--|---|--|------------------------------|--|--|--|--|
| 2007 | 9382                    | GS  | 0592   | 06   | TAX EXAMINING                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | INTERNET / EMAIL<br>MISUSE MISUSE<br>OF GOVERNMENT<br>PROPERTY | STATED                                 | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.702  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | NOT<br>STATED  |
| 2007 | 9439                    | GS  | 0361   | 07   | EQUAL<br>OPPORTUNITY<br>ASSISTANCE      | IR | 0260      | 01 | EQUAL EMPLOYMENT<br>OPPORTUNITY              | EEO & DIVERSITY<br>FIELD OFFICES     | ATTENDANCE-<br>RELATED<br>OFFENSES                             | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 9443                    | GS  | 0962   | 08   | CONTACT<br>REPRESENTATIVE               | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | O NOT<br>STATED                        | 2000-2003<br>2004-2007                 | ONE             | OTHER -<br>WRITTEN<br>COUNSELI<br>NG                     | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 9444                    | GS  | 0905   | 13   | GENERAL<br>ATTORNEY                     | IR | 0905      | 01 | GENERAL ATTORNEY                             | SPECIALITY<br>PROGRAMS               | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | O \$ 501-<br>\$1000                    | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 9446                    | GS  | 0962   | 08   | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         |  | O > \$2000                             |  | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 4  | SUSPENSIO<br>N 10 DAYS                       | SUSPENSI<br>ON 10<br>DAYS                            | SUSPENSI<br>ON 1<br>DAYS                             |
| 2007 | 9449                    | GS  | 0592   | 07   | TAX EXAMINING                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | O > \$2000                             | 2004-2007                              | ONE             | NOT<br>STATED  | INADVERT<br>ENT ACT                                   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 9472                    | GS  | 0201   | 11   | PERSONNEL<br>MANAGEMENT                 | IR | 0201      | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | O > \$2000                             | 2000-2003                              | MULTIPL<br>E    | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 9477                    | GS  | 0303   | 04   | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | O NOT<br>STATED                        | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | REPRIMA<br>ND  |
| 2007 | 9501                    | GS  | 0512   | 13   | INTERNAL REVENUE<br>AGENT               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | SPECIALITY<br>PROGRAMS               | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | O NOT<br>STATED                        | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 9503                    | GS  | 0303   | 05   | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | O NOT<br>STATED                        | 2004-2007                              | ONE             | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |   | 2007                                   | 2007                         | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 9508                    | GS  | 0592   | 04   | TAX EXAMINING                           | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | O NOT<br>STATED                        | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                         | 3  |  | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 9524                    | GS  | 0962   | 09   | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | O NOT<br>STATED                        | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 9528                    | GS  | 0356   | 03   | DATA TRANSCRIBER                        | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | O > \$2000                             | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(9)             | NOT<br>STATED   | 2007                                   | 2007                         | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 9595                    | GS  | 0962   | 05   | CONTACT<br>REPRESENTATIVE               | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES                             | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    |  | NOT<br>STATED   | 2007                                   | 2007                         | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | En | nployee | e Ser | (2)<br>ries, Grade and Job<br>Title | Di | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>bonths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|----|---------|-------|-------------------------------------|----|----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|--|--|--|--|
| 2007 | 9599                   | GS | 0526    | 11    | TAX TECHNICIAN                      | IR | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | NOT<br>STATED  |
| 2007 | 9600                   | GS | 0356    | 04    | DATA TRANSCRIBER                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |  | PERIOD                                       | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET       | NOT<br>STATED  |
| 2007 | 9603                   | GS | 0356    | 04    | DATA TRANSCRIBER                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | NOT<br>STATED                          | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | (PROBATI<br>ON                                       | NOT<br>STATED  |
| 2007 | 9605                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |  | PERIOD                                       | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 9625                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 9639                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE                | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                           |  | SUSPENSIO<br>N 8 DAYS                        | SUSPENSI<br>ON 8<br>DAYS                             | SUSPENSI<br>ON 7<br>DAYS                             |
| 2007 | 9650                   | GS | 0962    | 09    | CONTACT<br>REPRESENTATIVE           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | CONDUCT<br>UNBECOMING<br>MISUSE OF<br>GOVERNMENT<br>PROPERTY                  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |  | SUSPENSIO<br>N 21 DAYS                       | SUSPENSI<br>ON 21<br>DAYS                            | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 9656                   | GS | 0201    | 12    | PERSONNEL<br>MANAGEMENT             | ES | 0201     | 00 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                            | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED      | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | (PROBATI<br>ON                                       | NOT<br>STATED  |
| 2007 | 9659                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           |  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 9663                   | GS | 1169    | 09    | INTERNAL REVENUE<br>OFFICER         | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | FALSE OR<br>MISLEADING<br>STATEMENTS<br>PROBLEMS WITH<br>FINANCIAL<br>AFFAIRS | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           |  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | ADMONIS<br>HMENT                                     |
| 2007 | 9672                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | GOVT CREDIT<br>CARD PROBLEMS  | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |  | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 9678                   | GS | 0526    | 09    | TAX TECHNICIAN                      | IR | 0501     | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |  | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 9684                   | IR | 0962    | 07    | CONTACT<br>REPRESENTATIVE           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | GOVT CREDIT<br>CARD PROBLEMS  | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |  | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |

|      | (1)<br>TS Case | En | nployee | e Ser | (2)<br>ries, Grade and Job                 | Di | isciplini | ng C | (3)<br>Official Series, Grade              | (4)<br>Employee Office               | (5)<br>Offense - Nature  | (6)<br>Monetary     | (7<br>Temporal       |              | (8)<br>Std of                           | (9)<br>Mitigating                | (10)<br>Year           | `             | I1)<br>ear                             | (12)<br>Type of        | (13)<br>Actual            | (14)<br>Prior                       |
|------|----------------|----|---------|-------|--|----|-----------|------|--|--------------------------------------|--|---------------------|----------------------|--------------|---|----------------------------------|------------------------|---------------|--|------------------------|---------------------------|-------------------------------------|
| Nu   | umber          |    |         |       | Title                                      |    | ·         | an   | d Job Title                                | Code                                 | of misconduct  | Value of<br>Offense | Miscon<br>Multiple C |              | Conduct<br>or IRS<br>Policy<br>Violated | Factors<br>Offered &<br>Accepted | Discipline<br>Proposed | Impo<br>Elaps | oline is<br>osed -<br>ed time<br>onths | Discipline<br>Proposed | Discipline<br>Imposed     | Sanctions<br>Cited in<br>Discipline |
| 2007 | 9686           | GS | 0526    | 09    | TAX TECHNICIAN                             | IR | 0340      | 01   | PROGRAM<br>MANAGEMENT                      | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS   | \$1501-<br>\$2000   | 2004-2007            | ONE          | NOT<br>STATED                           | NOT<br>STATED                    | 2007                   | 2007          | 2                                      | SUSPENSIO<br>N 15 DAYS | SUSPENSI<br>ON 15<br>DAYS | REPRIMA<br>ND                       |
| 2007 | 9687           | GS | 0526    | 05    | TAX TECHNICIAN                             | IR | 0526      | 01   | TAX TECHNICIAN                             | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS   | \$1501-<br>\$2000   | 2004-2007            | ONE          | OGE<br>2635.809                         | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007          | NOT<br>APPLI<br>CABL<br>E              | NOT<br>APPLICABLE      | REPRIMAN                  | NOT<br>STATED                       |
| 2007 | 9693           | GS | 1169    | 05    | INTERNAL REVENUE<br>OFFICER                | IR | 1169      | 05   | INTERNAL REVENUE<br>OFFICER                | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS   | <= \$500            | 2004-2007            | ONE          | OGE<br>2635.809                         | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007          | NOT<br>APPLI<br>CABL<br>F              | NOT<br>APPLICABLE      |                           | NOT<br>STATED                       |
| 2007 | 9701           | GS | 0343    | 13    | PROGRAM ANALYSIS                           | ES | 0340      | 00   | PROGRAM<br>MANAGEMENT                      | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS   | \$1501-<br>\$2000   | 2004-2007            | ONE          | NOT<br>STATED                           | NOT<br>STATED                    | 2007                   | 2007          | 3                                      | SUSPENSIO<br>N 2 DAYS  | SUSPENSI<br>ON 2<br>DAYS  | NOT<br>STATED                       |
| 2007 | 9714           | GS | 0526    | 07    | TAX TECHNICIAN                             | IR | 0340      | 01   | PROGRAM<br>MANAGEMENT                      | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS   | \$1001-<br>\$1500   | 2004-2007            | ONE          | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007          | NOT<br>APPLI<br>CABL<br>F              | NOT<br>APPLICABLE      | REPRIMAN<br>D             | NOT<br>STATED                       |
| 2007 | 9715           | GS | 1603    | 07    | Equipment, Facilities,<br>Services Assist  | IR | 1654      | 04   | PRINTING<br>MANAGEMENT                     | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS   | > \$2000            | 2004-2007            | MULTIPL<br>E | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007          | NOT<br>APPLI<br>CABL                   | NOT<br>APPLICABLE      | REPRIMAN<br>D             | NOT<br>STATED                       |
| 2007 | 9730           | GS | 0526    | 09    | TAX TECHNICIAN                             | IR | 0526      | 05   | TAX TECHNICIAN                             | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS   | <= \$500            | 2004-2007            | ONE          | OGE<br>2635.809                         | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007          | NOT<br>APPLI<br>CABL<br>F              | NOT<br>APPLICABLE      | ADMONISH<br>MENT          | NOT<br>STATED                       |
| 2007 | 9733           | GS | 0526    | 09    | TAX TECHNICIAN                             | IR | 0526      | 07   | TAX TECHNICIAN                             | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVERNMENT<br>VEHICLE<br>OFFENSES  | <= \$500            | 2004-2007            | ONE          | OGE<br>2635.809                         | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007          | NOT<br>APPLI<br>CABL                   | NOT<br>APPLICABLE      | ADMONISH<br>MENT          | NOT<br>STATED                       |
| 2007 | 9736           | GS | 1169    | 12    | INTERNAL REVENUE<br>OFFICER                | IR | 0512      | 01   | INTERNAL REVENUE<br>AGENT                  | SPECIALITY<br>PROGRAMS               | GOVT CREDIT<br>CARD PROBLEMS   | <= \$500            | 2004-2007            | MULTIPL<br>E | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007          | NOT<br>APPLI<br>CABL                   | NOT<br>APPLICABLE      | ADMONISH<br>MENT          | WRITTEN<br>COUNSELI<br>NG           |
| 2007 | 9739           | GS | 0501    | 14    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | ES | 0340      | 00   | PROGRAM<br>MANAGEMENT                      | CUSTOMER ACCTG<br>SERVICES (CAS      |  | \$ 501-<br>\$1000   | 2004-2007            | ONE          | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007          | NOT<br>APPLI<br>CABL<br>F              | NOT<br>APPLICABLE      | REPRIMAN<br>D             | NOT<br>STATED                       |
| 2007 | 9751           | GS | 0526    | 07    | TAX TECHNICIAN                             | IR | 0340      | 01   | PROGRAM<br>MANAGEMENT                      | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS   | \$ 501-<br>\$1000   | 2004-2007            | ONE          | NOT<br>STATED                           | NOT<br>STATED                    | 2007                   | 2007          | 1                                      | SUSPENSIO<br>N 1 DAYS  | SUSPENSI<br>ON 1<br>DAYS  | NOT<br>STATED                       |
| 2007 | 9752           | GS | 0526    | 11    | TAX TECHNICIAN                             | IR | 0501      | 01   | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS   | \$1501-<br>\$2000   | 2004-2007            | ONE          | OGE<br>2635.809                         | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007          | NOT<br>APPLI<br>CABL                   | NOT<br>APPLICABLE      | WRITTEN<br>COUNSELI<br>NG | NOT<br>STATED                       |
| 2007 | 9783           | GS | 0526    | 09    | TAX TECHNICIAN                             | IR | 0340      | 01   | PROGRAM<br>MANAGEMENT                      | KANSAS CITY<br>COMPLIANCE SERV       | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED       | 2004-2007            | ONE          | IRM 6.735                               | NOT<br>STATED                    | 2007                   | 2007          | 2                                      | SUSPENSIO<br>N 1 DAYS  | SUSPENSI<br>ON 1<br>DAYS  | NOT<br>STATED                       |
| 2007 | 9842           | IR | 0512    | 05    | INTERNAL REVENUE<br>AGENT                  | ES | 0340      | 00   | PROGRAM<br>MANAGEMENT                      | EXAMINATION                          | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                           | > \$2000            | NOT<br>STATED        | ONE          | NOT<br>STATED                           | NOT<br>STATED                    | 2007                   | 2007          | 2                                      | SUSPENSIO<br>N 3 DAYS  | SUSPENSI<br>ON 3<br>DAYS  | REPRIMA<br>ND                       |
| 2007 | 9870           | GS | 0318    | 05    | SECRETARY                                  | IR | 0340      | 01   | PROGRAM<br>MANAGEMENT                      | EXAMINATION                          | FALSE OR<br>MISLEADING<br>STATEMENTS<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS | NOT<br>STATED       | 2004-2007            | MULTIPL<br>E | NOT<br>STATED                           | NOT<br>STATED                    | 2007                   | 2007          | 3                                      | SUSPENSIO<br>N 1 DAYS  | REPRIMAN<br>D             | NOT<br>STATED                       |
| 2007 | 9893           | GS | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340      | 01   | PROGRAM<br>MANAGEMENT                      | CINCINNATI<br>SUBMISSION<br>PROCESSI | FALSE OR<br>MISLEADING<br>STATEMENTS   | NOT<br>STATED       | 2004-2007            | ONE          | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007          | NOT<br>APPLI<br>CABL<br>E              | NOT<br>APPLICABLE      | REPRIMAN<br>D             | NOT<br>STATED                       |

| ALER | (1)<br>TS Case<br>umber | e Er | nployee | e Ser | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed        | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|--|--|--|--|
| 2007 | 9896                    | GS   | 0592    | 07    | TAX EXAMINING                                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | FALSE OR<br>MISLEADING<br>STATEMENTS                          | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | (PROBATION<br>PERIOD                         | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET | NOT<br>STATED  |
| 2007 | 9897                    | GS   | 0512    | 12    | INTERNAL REVENUE<br>AGENT                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS                                  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 15 DAYS                       | E)<br>SUSPENSI<br>ON 10<br>DAYS                | NOT<br>STATED  |
| 2007 | 9903                    | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                       | NOT<br>STATED  |
| 2007 | 9921                    | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | WHILE   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN  | NOT<br>STATED  |
| 2007 | 9923                    | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0343     | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | OGDEN<br>COMPLIANCE<br>SERVICES      | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                      | ON 1<br>DAYS   |
| 2007 | 9937                    | GS   | 0592    | 06    | TAX EXAMINING                                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2000-2003                              | ONE             | IDRS<br>SECURITY<br>RULE                                 | FIRST<br>OFFENSE<br>GOOD<br>WORK<br>RECORD            | 2007                                   | 2007                           | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                      | NOT<br>STATED  |
| 2007 | 9938                    | GS   | 0305    | 05    | MAIL AND FILE                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 9943                    | GS   | 1169    | 12    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | LOSS OF<br>DOCUMENTS AND<br>SECURITY<br>VIOLATIONS            | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 9953                    | GS   | 0592    | 05    | TAX EXAMINING                                | IR | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | > \$2000                               | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 9971                    | GS   | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                       | NOT<br>STATED  |
| 2007 | 9979                    | GS   | 0512    | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COMM<br>TECHNOLOGY &<br>MED          | NON CAUSE   | NOT<br>STATED                          | NOT<br>STATED                          | NOT<br>STATED   | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 9986                    | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | REPRIMA<br>ND  |
| 2007 | 9994                    | GS   | 0344    | 07    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS |    | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | NATIONAL<br>HEADQUARTERS             | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | > \$2000                               | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2007 | 9998                    | GS   | 0592    | 04    | TAX EXAMINING                                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(8)             | STATED  | 2007                                   | 2007                           | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D                                  | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e En | nployee |    | (2)<br>ies, Grade and Job<br>Title      | Dis | sciplinii | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|---|-----|-----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|---|--|--|--|
| 2007 | 10008                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | IR  | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAX,<br>UNDERREPORTER   | <= \$500                               | 2000-2003                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 10036                   | GS   | 1169    | 11 | INTERNAL REVENUE<br>OFFICER             | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | <= \$500                               | 2000-2003                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 10045                   | GS   | 0305    | 04 | MAIL AND FILE                           | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | <= \$500                               | 2004-2007                              | ONE                | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |   | 2007                                   | 2007                           |   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 10060                   | GS   | 0332    | 07 | COMPUTER<br>OPERATION                   | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 14 DAYS                       | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 10078                   | GS   | 0962    | 05 | CONTACT<br>REPRESENTATIVE               | ES  | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | ATTENDANCE-<br>RELATED<br>OFFENSES FALSE<br>OR MISLEADING<br>STATEMENTS<br>OTHER - OFF DUTY<br>MISCONDUCT | NOT<br>STATED                          | 2004-2007                              | MULTIPL            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                            | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 10105                   | GS   | 0303    |    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES  | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           |   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 10107                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | FALSE OR<br>MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | ADMONIS<br>HMENT                                     |
| 2007 | 10128                   | GS   | 0962    | 05 | CONTACT<br>REPRESENTATIVE               | IR  | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | MISUSE OF<br>GOVERNMENT<br>PROPERTY   | NOT<br>STATED                          | NOT<br>STATED                          | ONE                | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 10169                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | NOT<br>STATED  |
| 2007 | 10172                   | GS   | 0592    | 08 | TAX EXAMINING                           | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | OTHER - BARRED<br>STATUTE   | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 10213                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                              |                    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 3 DAYS                        | ON 3<br>DAYS   | REPRIMA<br>ND  |
| 2007 | 10231                   | GS   |         |    | INTERNAL REVENUE<br>OFFICER             | IR  |           | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.705  | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 14 DAYS                       | REPRIMAN<br>D  | SUSPENSI<br>ON 2<br>DAYS                             |
| 2007 | 10252                   | GS   | 0356    | 04 | DATA TRANSCRIBER                        | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | OTHER - FAILED<br>DT TEST   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | PERIOD                                       | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
|      |                         |      |         |    | REPRESENTATIVE                          |     |           | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | E                  | STATED   | NOT<br>STATED   | 2007                                   | 2007                           |   | N 3 DAYS                                     | SUSPENSI<br>ON 3<br>DAYS                             | STATED   |
| 2007 | 10314                   | GS   | 0356    | 04 | DATA TRANSCRIBER                        | IR  | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 10 DAYS                       | SUSPENSI<br>ON 10<br>DAYS                            | SUSPENSI<br>ON 3<br>DAYS                             |

| ALER<br>Nu | (1)<br>TS Case<br>Imber |    |      |    | (2)<br>ies, Grade and Job<br>Title      |      |      |    | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | Misconduct /<br>Multiple Offenses | Conduct<br>or IRS<br>Policy<br>Violated      | Accepted      | (10)<br>Year<br>Discipline<br>Proposed | Yi<br>Discip<br>Impo<br>Elapsi<br>in M | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------------|-------------------------|----|------|----|---|------|------|----|--|--------------------------------------|---|--|-----------------------------------|--|---------------|--|--|--|--|---|--|
| 2007       | 10321                   | GS | 0305 | 04 | MAIL AND FILE                           | IR   | 0301 | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007 ONE                     | IRM 6.735                                    | NOT<br>STATED | 2007                                   | 2007                                   | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | (PROBATI<br>ON                          | NOT<br>STATED  |
| 2007       | 10322                   | GS | 0560 |    | BUDGET ANALYSIS                         | ES   | 0340 | 00 | PROGRAM<br>MANAGEMENT                        | APPEALS<br>HEADQUARTERS              | OTHER - FAILURE<br>TO OBEY A DIRECT<br>ORDER FROM<br>MANAGER  |  | 2004-2007 MULTIPL<br>E            | STATED                                       | NOT<br>STATED |  | 2007                                   | 3  | N 1 DAYS                                     | SUSPENSI<br>ON 1<br>DAYS                | STATED   |
| 2007       | 10334                   | GS | 0303 | 07 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR   | 0342 | 01 | SUPPORT SERVICES<br>ADMINISTRATION           | REAL ESTATE &<br>FAC MGMT            | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007 MULTIPL<br>E            | IDRS<br>SECURITY<br>RULE                     | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007       | 10343                   | GS | 1811 | 13 | CRIMINAL<br>INVESTIGATING               | IR   | 1811 | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS       | OTHER -<br>INCOMPLETE<br>MEMORANDUM                           | NOT<br>STATED                          | 2004-2007 ONE                     | NOT<br>STATED                                | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007       | 10379                   | GS | 0962 | 07 | CONTACT<br>REPRESENTATIVE               | IR   | 0340 | 02 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | 0 <= \$500                             | 2004-2007 ONE                     | OGE<br>2635.809                              | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007       | 10387                   | IR | 0512 | 05 | INTERNAL REVENUE<br>AGENT               | E IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007 NOT<br>STATED           | NOT<br>STATED                                | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | STATED   |
| 2007       | 10392                   | GS | 0962 | 07 | CONTACT<br>REPRESENTATIVE               | IR   | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007 ONE                     | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809 |               | 2007                                   | 2007                                   | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007       | 10397                   | GS | 0962 | 08 | CONTACT<br>REPRESENTATIVE               | IR   | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2000-2003 ONE                     | OGE<br>2635.809                              | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007       | 10398                   | GS | 0962 | 08 | CONTACT<br>REPRESENTATIVE               | IR   | 0962 | 07 | CONTACT<br>REPRESENTATIVE                    | AUSTIN<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$ 501-<br>\$1000                      | 2000-2003 ONE                     | OGE<br>2635.809                              | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | STATED   |
| 2007       | 10410                   | GS | 0305 | 04 | MAIL AND FILE                           | IR   | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | FRAUD/BSA                            | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$ 501-<br>\$1000                      | 2004-2007 ONE                     | OGE<br>2635.809                              | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007       | 10427                   | GS | 0592 |    | TAX EXAMINING                           | IR   |      | 02 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | STATED                                 | 2004-2007 ONE                     | NOT<br>STATED                                | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | COUNSELI<br>NG                          |  |
| 2007       | 10438                   | GS | 0503 |    | FINANCIAL CLERICA<br>AND ASSISTANCE     | LIR  | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | 0 <= \$500                             | 2000-2003 ONE                     | NOT<br>STATED                                | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007       | 10441                   | GS | 0592 |    | TAX EXAMINING                           | IR   | 0340 | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAX,<br>UNDERREPORTER   | > \$2000                               | 2000-2003 ONE                     | OGE<br>2635.809                              | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | NG                                      |  |
| 2007       | 10452                   | GS | 0592 | 07 | TAX EXAMINING                           | IR   | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007 ONE                     | OGE<br>2635.101                              | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e En | nployee |    | (2)<br>ies, Grade and Job<br>Title           | Di   | sciplini | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                             | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|--|------|----------|----|--|--------------------------------------|--|--|--|-----------------|--|---|--|--------------------------------|---|--|--|--|
| 2007 | 10463                   | GS   | 1101    | 11 | GENERAL BUSINESS<br>AND INDUSTRY             | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | SPECIALITY<br>PROGRAMS               | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY              | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 10467                   | GS   | 0503    | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | . IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY              | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | N 3 DAYS                                     | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 10469                   | GS   | 0503    | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | . IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY              | \$ 501-<br>\$1000                      | 2000-2003                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 10477                   | GS   | 1515    | 14 | OPERATIONS<br>RESEARCH                       | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OFFICE OF THE<br>COMMISSIONER        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY              | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | N 1 DAYS                                     | SUSPENSI<br>ON 1<br>DAYS                             | NOT<br>STATED  |
| 2007 | 10483                   | GS   | 0335    | 08 | COMPUTER CLERK<br>AND ASSISTANT              | IR   | 0335     | 05 | COMPUTER CLERK<br>AND ASSISTANT              | ENTERPRISE<br>OPERATIONS             | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY              | \$1000                                 |  | ONE             | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |  | STATED   |
| 2007 | 10489                   | GS   | 1169    | 09 | INTERNAL REVENUE<br>OFFICER                  | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY              | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |   | 2007                                   | 2007                           | 5   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 1<br>DAYS                             | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 10490                   | GS   | 0301    | 13 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY              | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 10493                   | GS   | 0356    | 04 | DATA TRANSCRIBER                             | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY              | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 10502                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | OTHER -<br>PERSONNEL/LABO<br>R RELATIONS<br>ISSUE                    | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 10505                   | GS   | 0303    |    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | THEFT, FALSE<br>CLAIMS AND<br>UNAUTHORIZED<br>PROPERTY<br>POSSESSION | > \$2000                               | 2000-2003<br>2004-2007                 | MULTIPL<br>E    | STATED   | STATED  |  | 2007                           | 2   | N 21 DAYS                                    | SUSPENSI<br>ON 21<br>DAYS                            | STATED   |
| 2007 | 10507                   | GS   | 0512    | 12 | INTERNAL REVENUE<br>AGENT                    | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS        | NOT<br>STATED                          | 2000-2003                              | ONE             | 31 CFR<br>0.205  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 10509                   | GS   | 0592    | 07 | TAX EXAMINING                                | IR   | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS        | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | ONE             | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                           | 3   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 10510                   | GS   | 0512    | 11 | INTERNAL REVENUE<br>AGENT                    | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 4   | SUSPENSIO<br>N 3 DAYS                        | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 10513                   | GS   | 0592    | 07 | TAX EXAMINING                                | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2   | ,  | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | ε  | mploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | -  | (3)<br>fficial Series, Grade<br>d Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                    | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|-------------------------------------|--|---|--|------------------------------|--|--|--|--|
| 2007 | 10520                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                        | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 10523                   | GS | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | NOT<br>STATED                          | ONE                                 | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 10552                   | GS | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                        | OTHER -<br>IRM 6.735                                     | NOT<br>STATED   | 2007                                   | 2007                         | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL  | NOT<br>STATED  |
| 2007 | 10563                   | GS | 0503   | 05    | FINANCIAL CLERICAL<br>AND ASSISTANCE         | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | NOT<br>STATED                          | NOT<br>STATED                       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 2  | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | NOT<br>STATED  |
| 2007 | 10564                   | GS | 0344   | 07    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | HVY MFG &<br>TRANSPORT               | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE                                 | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN   | NOT<br>STATED  |
| 2007 | 10616                   | GS | 0525   | 04    | ACCOUNTING<br>TECHNICIAN                     | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE                                 | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 5  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | REPRIMA<br>ND  |
| 2007 | 10622                   | GS | 0962   | 09    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | RELATED<br>OFFENSES   | NOT<br>STATED                          |  | MULTIPL<br>E                        | STATED   | STATED  | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | STATED   |
| 2007 | 10667                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | CONDUCT   | NOT<br>STATED                          |  | ONE                                 | NOT<br>STATED  | STATED  | 2007                                   | 2007                         | 2  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                            | ND   |
| 2007 | 10694                   | GS | 0503   |       | FINANCIAL CLERICAL<br>AND ASSISTANCE         |    |          | 01 | PROGRAM<br>MANAGEMENT                        | COMM<br>TECHNOLOGY &<br>MED          | FALSE OR<br>MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                              |                                     | NOT<br>STATED  | STATED  | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | COUNSELI<br>NG                                       |
| 2007 | 10748                   | GS | 0318   |       | SECRETARY                                    | IR | 0340     |    | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS               | NOT<br>STATED                          | 2004-2007                              |                                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | NG   | -  |
|      |                         | GS |        |       | TAX TECHNICIAN                               | IR | 0340     |    | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | FALSE OR<br>MISLEADING<br>STATEMENTS<br>OUTSIDE<br>EMPLOYMENT<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | E                                   | 2635.803   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | STATED   |
| 2007 | 10776                   | GS | 0344   | 06    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | AD | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | MDRN, INFO TECH<br>& SECRTY SERV     | THEFT, FALSE<br>CLAIMS AND<br>UNAUTHORIZED<br>PROPERTY<br>POSSESSION        | \$1501-<br>\$2000                      | 2004-2007                              | MULTIPL                             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 2  | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2007 | 10780                   | GS | 0962   | 06    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | FALSE OR<br>MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                              | -                                   | NOT<br>STATED  | STATED  | 2007                                   | 2007                         | 3  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | STATED   |
| 2007 | 10781                   | GS | 0512   | 09    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | CONDUCT<br>UNBECOMING   | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                                 | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 10783                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                        | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nployee |    | (2)<br>ies, Grade and Job<br>Title      | Di   | sciplini |    | (3)<br>fficial Series, Grade<br>d Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated |               | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|---|------|----------|----|--|--------------------------------------|---|--|---|-------------------------|--|---------------|--|--------------------------------|---|--|---|--|
| 2007 | 10832                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2000-2003                               | ONE                     | OGE<br>2635.809<br>RRA 1998 -<br>1203 (b)(8)             | NOT<br>STATED | 2007                                   | 2007                           | 5   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 10834                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                     | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |               | 2007                                   | 2007                           | 4   |  | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 10838                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                     | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |               | 2007                                   | 2007                           | 5   | REMOVAL<br>(PROBATION                        | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2007 | 10839                   | GS   | 0303    | 03 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR   | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                     | OGE<br>2635.809  | NOT<br>STATED | 2007                                   | 2007                           | 3   |  | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 10843                   | GS   | 0592    | 07 | TAX EXAMINING                           | IR   | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY |  | 2000-2003<br>2004-2007                  | ONE                     | OGE<br>2635.809  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 10844                   | GS   | 0592    | 06 | TAX EXAMINING                           | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2000-2003                               | ONE                     | OGE<br>2635.809  | NOT<br>STATED | 2007                                   | 2007                           | 2   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 10845                   | GS   | 1169    | 11 | INTERNAL REVENUE<br>OFFICER             | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                     | OGE<br>2635.809  | NOT<br>STATED | 2007                                   | 2007                           | 1   | SUSPENSIÓ<br>N 30 DAYS                       | SUSPENSI<br>ON 30<br>DAYS               | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 10859                   | GS   | 0503    | 05 | FINANCIAL CLERICAL<br>AND ASSISTANCE    | . IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                     | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 10865                   | GS   | 0305    | 03 | MAIL AND FILE                           | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                     | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 10867                   | GS   | 0503    | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE    | . IR | 0503     | 08 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                     | OGE<br>2635.809  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 10880                   | GS   | 0512    | 13 | INTERNAL REVENUE<br>AGENT               | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                     | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 10882                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                     | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |               | 2007                                   | 2007                           | 3   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 10883                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | IR   | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | TAX,<br>UNDERREPORTER                                   | <= \$500                               | 2000-2003                               | ONE                     | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 10896                   | GS   | 1169    | 09 | INTERNAL REVENUE<br>OFFICER             | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                     | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 10902                   | GS   | 1101    | 09 | GENERAL BUSINESS<br>AND INDUSTRY        | IR   | 1101     | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                               | MULTIPL<br>E            | OGE<br>2635.809  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e Er | nploye |    | (2)<br>ries, Grade and Job<br>Title          | Di | isciplini |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>iduct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed                | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|--------|----|--|----|-----------|----|--|--------------------------------------|---|--|--|--------------------------|--|---|--|--------------------------------|--|--|--|--|
| 2007 | 10904                  | GS   | 0318   | 05 | SECRETARY                                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | <= \$500                               | 2004-2007                              | ONE                      | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 10964                  | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         |   | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>I (PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 1<br>DAYS                             |
| 2007 | 10969                  | GS   | 0962   | 06 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | ATTENDANCE-<br>RELATED<br>OFFENSES FALSE<br>OR MISLEADING<br>STATEMENTS<br>THEFT, FALSE<br>CLAIMS AND<br>UNAUTHORIZED<br>PROPERTY<br>POSSESSION | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ION 15<br>DAYS                             | NOT<br>STATED  |
| 2007 | 11080                  | GS   | 0356   | 04 | DATA TRANSCRIBER                             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                               | NOT<br>STATED  |
| 2007 | 11110                  | GS   | 0962   | 06 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | MISUSE OF<br>GOVERNMENT<br>PROPERTY   | NOT<br>STATED                          | 2004-2007                              | ONE                      | OTHER -<br>LTD USE &<br>MISUSE<br>OF GOV<br>EQUIP.       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 11173                  | GS   | 0356   | 04 | DATA TRANSCRIBER                             | IR | 0301      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | AUSTIN<br>SUBMISSION<br>PROCESSING   | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             |  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                              | NOT<br>STATED  |
| 2007 | 11174                  | GS   | 0592   | 05 | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | DISCOURTEOUS<br>CONDUCT FALSE<br>OR MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 6  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E)   | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 11216                  | GS   | 1811   | 13 | CRIMINAL<br>INVESTIGATING                    | IR | 1811      | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID ATLANTIC<br>AREA FIELD OPS    | GOVERNMENT<br>VEHICLE<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 4  | SUSPENSIO<br>N 7 DAYS                        | SUSPENSI<br>ON 7<br>DAYS                               | NOT<br>STATED  |
| 2007 | 11225                  | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         |   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E)   | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 11234                  |      |        |    | TAX TECHNICIAN                               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                              | E                        | STATED   | NOT<br>STATED   |  | 2007                           | 1  | SUSPENSIO<br>N 3 DAYS                        | ON 3<br>DAYS   | ON 1<br>DAYS   |
| 2007 | 11244                  | GS   |        |    | CONTRACTING                                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PROCUREMENT                          | FLAGRANT<br>MISCONDUCT  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E)   | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 11254                  | IR   | 0301   | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | APPLICATIONS<br>DEVELOPMENT          | GOVT CREDIT<br>CARD PROBLEMS  | <= \$500                               | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                              | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e En | nploye | e Ser | (2)<br>ries, Grade and Job<br>Title          | Di  | sciplini |    | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|-----|----------|----|--|--------------------------------------|--|--|--|--------------------|--|---|--|------------------------------|--|---|---|--|
| 2007 | 11255                   | IR   | 0335   | 09    | COMPUTER CLERK<br>AND ASSISTANT              | IR  | 2210     | 01 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS             | \$1501-<br>\$2000                      | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.704  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 11258                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | SPECIALITY<br>PROGRAMS               | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11259                   | GS   | 0343   | 13    | MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR  | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11260                   | GS   | 0526   | 09    | TAX TECHNICIAN                               | IR  | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11261                   | GS   | 2210   | 11    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11262                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | IR  | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | PLANNING,<br>QUALITY,<br>ANALYSIS &  | GOVT CREDIT<br>CARD PROBLEMS             | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 11265                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | ES  | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXEMPT<br>ORGANIZATIONS              | GOVT CREDIT<br>CARD PROBLEMS             | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 11268                   | GS   | 0512   | 11    | INTERNAL REVENUE<br>AGENT                    | IR  | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | MULTIPL<br>E       | OTHER -<br>IRM<br>1.32.4.4.3<br>TRAVEL<br>HANDBOO        | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 11269                   | GS   | 0526   | 05    | TAX TECHNICIAN                               | ES  | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | > \$2000                               | 2004-2007                              | MULTIPL<br>E       | OTHER -<br>IRM 1.32.4                                    |   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 11270                   | GS   | 0512   | 11    | INTERNAL REVENUE<br>AGENT                    | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 11271                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | IR  | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | SPECIALITY<br>PROGRAMS               | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH                                | NOT<br>STATED  |
| 2007 | 11272                   | GS   | 0503   | 07    | FINANCIAL CLERICAL<br>AND ASSISTANCE         | ES. | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | GOVT CREDIT<br>CARD PROBLEMS             | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 2  |   | SUSPENSI<br>ON 30<br>DAYS               | NOT<br>STATED  |
| 2007 | 11276                   | GS   | 0592   | 05    | TAX EXAMINING                                | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | GOVT CREDIT<br>CARD PROBLEMS             | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                         | 2  | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 11284                   | GS   | 0512   | 14    | INTERNAL REVENUE<br>AGENT                    | ES  | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | NATURAL<br>RESOURCES &<br>CONSTRUCT  | GOVT CREDIT<br>CARD PROBLEMS             | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | NOT<br>STATED  | FINANCIAL<br>PROBLEM<br>S                             | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 2 DAYS                     | SUSPENSI<br>ON 2<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 11289                   | GS   | 0343   | 14    | MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR  | 0510     | 04 | ACCOUNTING                                   | CFO                                  | GOVT CREDIT<br>CARD PROBLEMS             | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN                                 | NOT<br>STATED  |
| 2007 | 11291                   | GS   | 0526   | 09    | TAX TECHNICIAN                               | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e Er | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di   | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code    | (5)<br>Offense - Nature<br>of misconduct                                     | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|------|----------|----|--|-----------------------------------|--|--|--|--------------------|--|---|--|------------------------------|--|--|---|--|
| 2007 | 11293                   | GS   | 0930   | 13    | HEARINGS AND<br>APPEALS                      | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD OPERATIONS<br>WEST          | GOVT CREDIT<br>CARD PROBLEMS   | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.101<br>OGE<br>2635.902                       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11295                   | GS   | 1169   | 09    | INTERNAL REVENUE<br>OFFICER                  | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                        | GOVT CREDIT<br>CARD PROBLEMS   | <= \$500                               | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11303                   | GS   | 1101   | 11    | GENERAL BUSINESS<br>AND INDUSTRY             | IR   | 1101     | 05 | GENERAL BUSINESS<br>AND INDUSTRY             | SPECIALITY<br>PROGRAMS            | GOVT CREDIT<br>CARD PROBLEMS   | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 11305                   |      | 0318   |       | SECRETARY                                    | IR   |          | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | CUSTOMER ACCTG<br>SERVICES (CAS   | OTHER - EE MADE<br>LATE VOUCHER<br>PAYMENT DUE TO<br>PRIOR VOUCHER<br>ERROR. | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | COUNSELI<br>NG                          |  |
| 2007 | 11306                   | GS   | 1101   | 09    | GENERAL BUSINESS<br>AND INDUSTRY             | IR   | 1101     | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                        | GOVT CREDIT<br>CARD PROBLEMS   | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11308                   | IR   | 1169   | 05    | INTERNAL REVENUE<br>OFFICER                  | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                        | GOVT CREDIT<br>CARD PROBLEMS   | > \$2000                               | NOT<br>STATED                          | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11311                   | GS   | 0526   | 09    | TAX TECHNICIAN                               | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                       | GOVT CREDIT<br>CARD PROBLEMS   | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11312                   | GS   | 0203   |       | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE      | IR   |          | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE           | GOVT CREDIT<br>CARD PROBLEMS   | NOT<br>STATED                          |  |                    | NOT<br>STATED  | STATED  | 2007                                   | 2007                         | 1  | N 1 DAYS                                     | SUSPENSI<br>ON 1<br>DAYS                | STATED   |
| 2007 | 11314                   | GS   | 1515   | 13    | OPERATIONS<br>RESEARCH                       | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FINANCE                           | GOVT CREDIT<br>CARD PROBLEMS   | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E       | STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11316                   | IR   | 2210   | 04    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | APPLICATIONS<br>DEVELOPMENT       | GOVT CREDIT<br>CARD PROBLEMS   | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11317                   | GS   | 0318   | 07    | SECRETARY                                    | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS  | GOVT CREDIT<br>CARD PROBLEMS   | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 11335                   | GS   | 0592   | 05    | TAX EXAMINING                                | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO        | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | SUSPENSI<br>ON 7<br>DAYS                             |
| 2007 | 11339                   | GS   | 0503   | 05    | FINANCIAL CLERICAL<br>AND ASSISTANCE         | . IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO        | ATTENDANCE-<br>RELATED<br>OFFENSES FALSE<br>OR MISLEADING<br>STATEMENTS      | NOT<br>STATED                          | 2004-2007                              | MULTIPI<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 10<br>DAYS               | NOT<br>STATED  |
| 2007 | 11342                   |      | 1169   |       | INTERNAL REVENUE<br>OFFICER                  |      |          | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                        | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                | NOT<br>STATED                          | 1996-1999<br>2000-2003                 | E                  | SECURITY<br>RULE   | STATED  | 2007                                   | 2007                         | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | DAYS                                    | STATED   |
| 2007 | 11351                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 3  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS               | SUSPENSI<br>ON 3<br>DAYS                             |

| ALER | (1)<br>TS Case<br>ımber | e Er | nploye | e Ser | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                      | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal I<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|----------|----|--|--------------------------------------|---|--|---|--------------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 11385                   | GS   | 2210   | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210     | 01 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1501-<br>\$2000                      | 2004-2007                                 | MULTIPL<br>E       | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11387                   | GS   | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11388                   | GS   | 0503   | 05    | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0503     | 10 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11389                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11391                   | GS   | 0318   | 05    | SECRETARY                                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$ 501-<br>\$1000                      | 2004-2007                                 | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11392                   | GS   | 1530   | 13    | STATISTICIAN                                 | IR | 0101     | 01 | SOCIAL SCIENCE                               | FINANCE                              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E       | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11393                   | GS   | 0526   | 07    | TAX TECHNICIAN                               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | DEBT COLLECTION<br>GOVT CREDIT<br>CARD PROBLEMS                               | \$1501-<br>\$2000                      | 2004-2007                                 | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | N 3 DAYS                                  | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 11411                   | GS   | 0343   | 12    | MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR | 0343     | 05 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 11413                   | GS   | 1169   | 11    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 11427                   | GS   | 0962   | 07    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11430                   | GS   | 0201   | 12    | PERSONNEL<br>MANAGEMENT                      | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$ 501-<br>\$1000                      | 2000-2003                                 | MULTIPL<br>E       | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11432                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 11436                   | GS   | 0592   | 05    | TAX EXAMINING                                | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | \$ 501-<br>\$1000                      | 2004-2007                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 11439                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$ 501-<br>\$1000                      | 2004-2007                                 | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 11444                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e Er | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>nduct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed        | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|--------|-------|--|----|----------|----|--|--------------------------------------|--|--|--|---------------------|--|---|--|--------------------------------|---|--|--|--|
| 2007 | 11446                  | GS   | 0592   | 05    | TAX EXAMINING                                | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | > \$2000                               | 2004-2007                              | ONE                 | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2007 | 11473                  | GS   | 0391   | 12    | TELECOMMUNICATIO<br>NS                       | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>NETWORKS               | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E        | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 30<br>DAYS                      | NOT<br>STATED  |
| 2007 | 11476                  | GS   | 0356   | 04    | DATA TRANSCRIBER                             | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E        | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                           |   | PERIOD                                       | REMOVAL  | ADMONIS<br>HMENT                                     |
| 2007 | 11477                  | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | FALSE OR<br>MISLEADING<br>STATEMENTS   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E        | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 11506                  | GS   | 0356   | 04    | DATA TRANSCRIBER                             | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                 | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2   | REMOVAL<br>(PROBATION<br>PERIOD              |  | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 11526                  | GS   | 0512   | 12    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | LOSS OF<br>DOCUMENTS AND<br>SECURITY<br>VIOLATIONS<br>NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY | NOT<br>STATED                          | 2004-2007                              | ONE                 | OTHER -<br>IRM1.4.6.5.<br>15                             | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2007 | 11538                  | GS   | 0962   | 09    | CONTACT<br>REPRESENTATIVE                    | IR | 0343     | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E        | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET | NOT<br>STATED  |
| 2007 | 11542                  | GS   |        |       | DATA TRANSCRIBER                             |    |          | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              |                     | OGE<br>2635.705  | NOT<br>STATED   | 2007                                   | 2007                           |   | N 1 DAYS                                     | SUSPENSI<br>ON 1<br>DAYS                       | STATED   |
| 2007 | 11547                  | GS   | 0356   | 04    | DATA TRANSCRIBER                             | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              |                     | OGE<br>2635.705  | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 1 DAYS                        | ON 1<br>DAYS                                   | NOT<br>STATED  |
| 2007 | 11583                  | GS   | 0344   | 06    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2000-2003                              | MULTIPL<br>E        | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           |   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | (PROBATI<br>ON                                 | NOT<br>STATED  |
| 2007 | 11605                  | GS   | 0356   |       | DATA TRANSCRIBER                             |    |          | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | E                   | STATED   | NOT<br>STATED   | 2007                                   | 2007                           |   | N 5 DAYS                                     | ON 5<br>DAYS                                   | NOT<br>STATED  |
| 2007 | 11636                  | GS   | 0356   | 03    | DATA TRANSCRIBER                             | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                 | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | PERIOD                                       |  | NOT<br>STATED  |
| 2007 | 11644                  | GS   | 0526   | 09    | TAX TECHNICIAN                               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | OTHER -<br>INADVERTENTLY<br>ACCESS OF IDRS   | NOT<br>STATED                          | 2004-2007                              | ONE                 | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | NOT<br>STATED  |

| Nu   | (1)<br>TS Case<br>Imber |    |      | (2)<br>e Series, Grade and Job<br>Title    |    |      | and | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                   | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal Range o<br>Misconduct /<br>Multiple Offenses | Conduct<br>or IRS<br>Policy<br>Violated | Accepted                  | Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse<br>in Mo | ed time<br>onths          | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|------|--|----|------|-----|---|--------------------------------------|--|--|--|---|---------------------------|------------------------|---|---------------------------|--|---|--|
| 2007 | 11682                   | GS | 0526 | 09 TAX TECHNICIAN                          | ES |      | 00  | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS              | NOT<br>STATED                          | 2004-2007 ONE  | IDRS<br>SECURITY<br>RULE                |                           | 2007                   |   | 4                         | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS               | NOT<br>STATED  |
| 2007 | 11685                   |    | 1169 | OFFICER                                    |    |      | 01  | PROGRAM<br>MANAGEMENT                       | COLLECTION                           | OTHER - FAILURE<br>TO SAFEGUARD<br>GOVERNMENT<br>ISSUED LAPTOP<br>COMPUTER | NOT<br>STATED                          | 2004-2007 ONE  | NOT<br>STATED                           | NOT<br>STATED             | NOT<br>APPLICAB<br>LE  | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            |   | STATED   |
| 2007 | 11686                   | GS | 0962 | 08 CONTACT<br>REPRESENTATIVE               | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                       | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007 MULTIPI<br>E                                       | STATED                                  | NOT<br>STATED             | NOT<br>APPLICAB<br>LE  | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11688                   | GS | 0592 | 07 TAX EXAMINING                           | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                       | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007 ONE  | NOT<br>STATED                           | NOT<br>STATED             | NOT<br>APPLICAB<br>LE  | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11776                   |    |      | 06 CONTACT<br>REPRESENTATIVE               | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                       | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007 MULTIPI<br>E                                       | STATED                                  | NOT<br>STATED             | 2007                   | 2007                                    | 1                         | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 11798                   |    |      | 08 CONTACT<br>REPRESENTATIVE               | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                       | FRESNO<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007 ONE  | NOT<br>STATED                           | NOT<br>STATED             | 2007                   |   | 2                         | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                | ND   |
| 2007 | 11809                   |    |      | 04 DATA TRANSCRIBER                        | IR |      | 01  | PROGRAM<br>MANAGEMENT<br>PROGRAM            | FIELD SUB PROC -<br>FRESNO<br>FRESNO | ATTENDANCE-<br>RELATED<br>OFFENSES<br>TAXES FAILURE TO                     | NOT<br>STATED                          | 2004-2007 ONE  | NOT<br>STATED<br>OGE                    | NOT<br>STATED<br>NOT      | 2007<br>NOT            | 2007                                    | 1<br>NOT                  | SUSPENSIO<br>N 3 DAYS                        | ON 3<br>DAYS<br>REPRIMAN                | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 11047                   | 00 | 0332 |  |    | 0340 |     | MANAGEMENT                                  | COMPLIANCE<br>SERVICES               | PROPERLY &<br>TIMELY FILE OR<br>PAY  | STATED                                 | 2004-2007 ONE  | 2635.801<br>OGE<br>2635.809             | STATED                    | APPLICAB<br>LE         | 2007                                    | APPLI<br>CABL<br>E        | APPLICABLE                                   |   | STATED   |
| 2007 | 11849                   |    | 0503 | AND ASSISTANCE                             |    | 0503 | 10  | FINANCIAL CLERICAL<br>AND ASSISTANCE        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | STATED                                 | 2004-2007 ONE  | OGE<br>2635.809                         | NOT<br>STATED             | NOT<br>APPLICAB<br>LE  | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            |   | STATED   |
| 2007 | 11851                   | GS | 0962 | 08 CONTACT<br>REPRESENTATIVE               | IR | 0962 | 07  | CONTACT<br>REPRESENTATIVE                   | AUSTIN ACCOUNTS<br>MANAGEMENT        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | \$1501-<br>\$2000                      | 2000-2003 ONE  | OGE<br>2635.809                         | NOT<br>STATED             | NOT<br>APPLICAB<br>LE  | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11857                   | GS | 0592 | 07 TAX EXAMINING                           | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                       | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | NOT<br>STATED                          | 2004-2007 ONE  | OGE<br>2635.809                         | FINANCIAL<br>PROBLEM<br>S |                        | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11874                   | GS | 0962 | 08 CONTACT<br>REPRESENTATIVE               | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                       | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | <= \$500                               | 2000-2003 ONE  | OGE<br>2635.809                         | NOT<br>STATED             | NOT<br>APPLICAB<br>LE  | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11881                   | GS | 0592 | 07 TAX EXAMINING                           | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                       | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | NOT<br>STATED                          | 2004-2007 ONE  | OGE<br>2635.809                         | FINANCIAL<br>PROBLEM<br>S |                        | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11882                   | GS | 0305 | 03 MAIL AND FILE                           | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | NOT<br>STATED                          | 2004-2007 ONE  | NOT<br>STATED                           | NOT<br>STATED             | NOT<br>APPLICAB<br>LE  | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11884                   | GS | 1802 | 07 COMPLIANCE<br>INSPECTION AND<br>SUPPORT | IR | 1811 | 04  | CRIMINAL<br>INVESTIGATING                   | CI REFUND CRIMES                     |  | <= \$500                               | 2000-2003 ONE  | NOT<br>STATED                           | NOT<br>STATED             | NOT<br>APPLICAB<br>LE  | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e Er | nployee | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini |    | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated   | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|--|--|--|--------------------|--|---|--|------------------------------|--|--|---|--|
| 2007 | 11887                   | GS   | 0592    | 08    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 11888                   | GS   | 0344    | 05    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 11889                   | GS   | 0962    | 06    | CONTACT<br>REPRESENTATIVE                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | <= \$500                               | 2000-2003                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 2  | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 11891                   | GS   | 1802    | 07    | COMPLIANCE<br>INSPECTION AND<br>SUPPORT      | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS       | TAX,<br>UNDERREPORTER  | <= \$500                               | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11892                   | GS   | 0592    | 06    | TAX EXAMINING                                | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 11903                   | GS   | 0592    | 08    | TAX EXAMINING                                | IR | 0343     | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | OGDEN<br>COMPLIANCE<br>SERVICES      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2000-2003                              | ONE                | IDRS<br>SECURITY<br>RULE                                   |   | 2007                                   | 2007                         | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | (PROBATI<br>ON                          | NOT<br>STATED  |
| 2007 | 11904                   | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | DISCOURTEOUS<br>CONDUCT<br>DISRUPTION OF<br>WORK   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 5  | SUSPENSIO<br>N 3 DAYS                        | E)<br>SUSPENSI<br>ON 3<br>DAYS          | NOT<br>STATED  |
| 2007 | 11905                   | GS   | 2210    | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     |  | NOT<br>STATED                          | 2004-2007                              | ONE                | IRS<br>POLICY -<br>PERSONA<br>L USE OF<br>GOV INFO<br>TECH |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11906                   | GS   | 2210    | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>OPERATIONS             | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       |  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11931                   | GS   |         | 08    | CONTACT<br>REPRESENTATIVE                    | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          |  | MULTIPL<br>E       | STATED   | STATED  | 2007                                   | 2007                         | 2  | SUSPENSIO<br>N 5 DAYS                        | ON 5<br>DAYS                            | ON 5<br>DAYS   |
| 2007 | 11935                   |      | 0303    |       | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | STATED  | 2007                                   | 2007                         | 3  | SUSPENSIO<br>N 1 DAYS                        | D                                       | STATED   |
| 2007 | 11945                   | GS   | 2210    | 13    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | NOT<br>STATED                          | ONE                | IRS<br>POLICY -<br>PERSONA<br>L USE OF<br>GOV INFO<br>TECH | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 11947                   | GS   | 0592    | 05    | TAX EXAMINING                                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | ATTENDANCE-<br>RELATED<br>OFFENSES<br>PROBLEMS WITH<br>FINANCIAL<br>AFFAIRS FAILURE<br>TO FOLLOW<br>INSTRUCTIONS | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPL<br>E       |  | NOT<br>STATED   | 2007                                   | 2007                         | 3  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                | REPRIMA<br>ND  |

| ALER | (1)<br>TS Case<br>Imber | ε  | mploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | 0  | (3)<br>fficial Series, Grade<br>I Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|-------|--|----|----------|----|---|--------------------------------------|--|--|--|-------------------------|--|---|--|------------------------------|--|--|--|--|
| 2007 | 11948                   | GS | 0501   | 11    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                       | CUSTOMER ACCTG<br>SERVICES (CAS      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS              | NOT<br>STATED                          | 2000-2003                              | ONE                     | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                         | 5  | (PROBATION<br>PERIOD                         | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 11949                   | GS | 1169   | 12    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | COLLECTION                           | OTHER - POSSESS<br>OF A CONTROLLED<br>SUBSTANCE                            |  | 2004-2007                              | ONE                     | 31 CFR<br>0.204  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 11969                   | GS | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | REPRIMA<br>ND  |
| 2007 | 11980                   | GS | 1801   | 11    | GENERAL<br>INSPECTION,<br>INVESTIGATON, & CO | IR | 1811     | 04 | CRIMINAL<br>INVESTIGATING                   | CI REFUND CRIMES                     | ENGAGING IN<br>ILLEGAL POLITICAL<br>ACTIVITY<br>INTERNET / EMAIL<br>MISUSE | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 11999                   | GS | 0592   | 05    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | REPRIMA<br>ND  |
| 2007 | 12004                   | GS | 0526   | 11    | TAX TECHNICIAN                               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                       | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS              | NOT<br>STATED                          | 2000-2003<br>2004-2007                 |                         | . IDRS<br>SECURITY<br>RULE                               | FIRST<br>OFFENSE<br>GOOD<br>WORK<br>RECORD            | 2007                                   | 2007                         | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI   | NOT<br>STATED  |
| 2007 | 12007                   | GS | 0592   | 07    | TAX EXAMINING                                | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                       | PHILADELPHIA<br>COMPLIANCE SERV      | DISRUPTION OF  | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 12018                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 12029                   | GS | 1169   | 05    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | COLLECTION                           | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          |  | E                       | OTHER -<br>IRM 6.735                                     | STATED  | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | STATED   |
| 2007 | 12039                   | GS | 0962   | 06    | REPRESENTATIVE                               | ES |          |    | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          |  | MULTIPL<br>E            | STATED   | STATED  | 2007                                   | 2007                         | 4  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 14<br>DAYS                            | ON 3<br>DAYS   |
| 2007 | 12052                   | GS | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | REPRIMA<br>ND  |
| 2007 | 12055                   | GS | 0962   | 09    | CONTACT<br>REPRESENTATIVE                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                       | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS              | NOT<br>STATED                          | 1996-1999<br>2004-2007                 |                         | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                         | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 12056                   | GS | 0318   | 05    | SECRETARY                                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | > \$2000                               | 2004-2007                              | MULTIPL<br>E            | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 12057                   | GS | 0592   | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | MEMPHIS<br>COMPLIANCE<br>SERVICES    | FALSE OR<br>MISLEADING<br>STATEMENTS                                       | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e E | mploye | e Sei | (2)<br>ries, Grade and Job<br>Title     | Di | sciplini | •  | (3)<br>fficial Series, Grade<br>I Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|-----|--------|-------|---|----|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|--|--|--|--|
| 2007 | 12058                  | GS  | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | FALSE OR<br>MISLEADING<br>STATEMENTS                          | > \$2000                               | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | (PROBATION<br>PERIOD                         |  | NOT<br>STATED  |
| 2007 | 12062                  | GS  | 0592   | 05    | TAX EXAMINING                           | IR | 0340     | -  | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | E               | SECURITY   | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 1 DAYS                        | E)<br>SUSPENSI<br>ON 1<br>DAYS                       | NOT<br>STATED  |
| 2007 | 12064                  | GS  | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | MULTIPL<br>E    | . IDRS<br>SECURITY<br>RULE                               |   | 2007                                   | 2007                           | 4  | (PROBATION<br>PERIOD                         |  | NOT<br>STATED  |
| 2007 | 12068                  | GS  | 0512   | 09    | INTERNAL REVENUE<br>AGENT               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | DISRUPTION OF<br>WORK   | NOT<br>STATED                          | NOT<br>STATED                          | ONE             | NOT<br>STATED  | INAPPROP<br>RIATE<br>COMMENT                          | APPLICAB                               | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN   | NOT<br>STATED  |
| 2007 | 12070                  | GS  | 0962   | 05    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES OTHEF<br>- SUITABILITY     | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 12110                  | GS  | 0343   | 14    | MANAGEMENT AND<br>PROGRAM ANALYSIS      |    | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | EMPLOYEE<br>SUPPORT<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | ADMONIS<br>HMENT                                     |
| 2007 | 12125                  | IR  | 1169   | 05    | INTERNAL REVENUE<br>OFFICER             | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | REDUCTION<br>IN PAY OR<br>GRADE              | INVOLUN.<br>REDUCTIO<br>N IN PAY<br>OR GRADE         | STATED   |
| 2007 | 12126                  | GS  | 0962   | 06    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | MISUSE OF<br>GOVERNMENT<br>PROPERTY                           | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 12135                  | GS  | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>SUBMISSION<br>PROCESS | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE             | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | (PROBATION<br>PERIOD                         |  | NOT<br>STATED  |
| 2007 | 12139                  | GS  | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>SUBMISSION<br>PROCESS | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE             | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 12146                  | GS  | 0592   | 05    | TAX EXAMINING                           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 1996-1999<br>2000-2003                 |                 | . IDRS<br>SECURITY<br>RULE                               |   | 2007                                   | 2007                           | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                            | NOT<br>STATED  |
| 2007 | 12153                  | GS  | 0526   | 07    | TAX TECHNICIAN                          | IR | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | DEBT COLLECTION   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | SUSPENSI<br>ON 30<br>DAYS                            |

| ALER | (1)<br>TS Case<br>mber | e Er | nploye |    | (2)<br>ies, Grade and Job<br>Title           |    | sciplini | 0  | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | Accepted      | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|--------|----|--|----|----------|----|--|--------------------------------------|--|--|--|--------------------------------|--|---------------|--|--------------------------------|---|--|---|--|
| 2007 | 12168                  | GS   | 0512   | 12 | INTERNAL REVENUE<br>AGENT                    | IR | 0512     | 01 | INTERNAL REVENUE<br>AGENT                    | SPECIALITY<br>PROGRAMS               | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>GOVT CREDIT<br>CARD PROBLEMS | \$1001-<br>\$1500                      | 2004-2007                              | MULTIPL<br>E                   | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 30<br>DAYS               | SUSPENSI<br>ON 1<br>DAYS                             |
| 2007 | 12195                  | GS   | 0592   | 05 | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | NOT<br>STATED                          | 2004-2007                              | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 12197                  | GS   | 0303   | 03 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | 0 <= \$500                             | 2004-2007                              | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 12198                  | GS   | 0305   |    | MAIL AND FILE                                | IR |          | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>SUBMISSION<br>PROCSG | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | STATED                                 |  | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | COUNSELI<br>NG                          |  |
| 2007 | 12202                  | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | NOT<br>STATED                          | 2004-2007                              | ONE                            | OGE<br>2635.809  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 12207                  | GS   | 0305   | 03 | MAIL AND FILE                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | \$ 501-<br>\$1000                      | 2000-2003                              | ONE                            | OGE<br>2635.809  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 12209                  | GS   | 2210   | 12 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210     | 04 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ACIO ENTERPRISE<br>SERVICES          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | STATED                                 | 2004-2007                              |                                | OGE<br>2635.809  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |   | STATED   |
| 2007 | 12210                  | GS   | 0344   | 06 | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | \$1000                                 | 2004-2007                              | ONE                            | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |               | 2007                                   | 2007                           | 5   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 12212                  | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | NOT<br>STATED                          | 2004-2007                              | ONE                            | OGE<br>2635.101  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 12213                  | GS   | 0962   | 05 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | 0 <= \$500                             | 2004-2007                              | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 12221                  | GS   | 0303   | 03 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0303     | 11 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                   | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | COUNSELI<br>NG                          |  |
| 2007 | 12222                  | GS   |        |    | DATA TRANSCRIBER                             |    | 0340     |    | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>SUBMISSION<br>PROCSG | TAX,<br>UNDERREPORTER  | \$ 501-<br>\$1000                      | 2000-2003                              |                                | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |   | STATED   |
| 2007 | 12224                  |      |        |    | DATA TRANSCRIBER                             |    | 0340     |    | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAX,<br>UNDERREPORTER  | > \$2000                               | 2004-2007                              |                                | RRA 1998 ·<br>1203 (b)(9)<br>OGE<br>2635.809             | STATED        |  |                                | 4   | (PROBATION<br>PERIOD<br>COMPLETE)            |   | STATED   |
| 2007 | 12225                  | GS   | 0592   | 05 | TAX EXAMINING                                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER                              | STATED                                 | 2004-2007                              | ONE                            | RRA 1998 ·<br>1203 (b)(9)                                |               | 2007                                   | 2007                           | 5   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>ımber | e Er | nploye | e Sei | (2)<br>ies, Grade and Job<br>Title         | Di | isciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|-----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 12231                   | GS   | 0592   | 06    | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | REPRIMA<br>ND  |
| 2007 | 12232                   | GS   | 0592   | 04    | TAX EXAMINING                              | IR | 0592      | 09 | TAX EXAMINING                                | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 12236                   | GS   | 0501   | 12    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 12243                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | SUSPENSIO<br>N 15 DAYS                    | SUSPENSI<br>ON 14<br>DAYS               | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 12246                   | GS   | 0203   | 04    | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE    | IR | 0201      | 01 | PERSONNEL<br>MANAGEMENT                      | EMPLOYEE<br>SUPPORT<br>SERVICES      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE                | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 12251                   | GS   | 0335   | 09    | COMPUTER CLERK<br>AND ASSISTANT            | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 12256                   | GS   | 0526   | 09    | TAX TECHNICIAN                             | IR | 0526      | 05 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 12261                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0592      | 09 | TAX EXAMINING                                | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 12263                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 15 DAYS                    | SUSPENSI<br>ON 9<br>DAYS                | SUSPENSI<br>ON 7<br>DAYS                             |
| 2007 | 12268                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 12273                   | GS   | 0318   | 05    | SECRETARY                                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1501-<br>\$2000                      | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 12274                   | GS   | 0203   | 05    | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE    | IR | 0201      | 01 | PERSONNEL<br>MANAGEMENT                      | EMPLOYEE<br>SUPPORT<br>SERVICES      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 12275                   | GS   | 0303   | 05    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 12276                   | GS   | 0962   | 07    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE                | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 12278                   | GS   | 0592   | 07    | TAX EXAMINING                              | IR | 0340      | 05 | PROGRAM<br>MANAGEMENT                        | TECHNICAL<br>SERVICES                | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | En | nploye |    | (2)<br>iies, Grade and Job<br>Title          | D  | lisciplini |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|----|--|----|------------|----|--|--------------------------------------|--|--|--|-------------------------|--|---|--|--------------------------------|---|--|--|--|
| 2007 | 12281                   | GS | 0301   | 09 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | ES | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | AWSS                                 | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                    | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 12283                   | GS | 0962   | 05 | CONTACT<br>REPRESENTATIVE                    | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                    | \$1001-<br>\$1500                      | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 12288                   | GS | 0341   | 12 | ADMINISTRATIVE<br>OFFICER                    | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | EMPLOYEE<br>SUPPORT<br>SERVICES      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                    | <= \$500                               | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | ADMONIS<br>HMENT                                     |
| 2007 | 12298                   | GS | 0560   | 13 | BUDGET ANALYSIS                              | IR | 0343       | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | CFO                                  | FALSE OR<br>MISLEADING<br>STATEMENTS<br>GOVT CREDIT<br>CARD PROBLEMS                       | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPL<br>E            | . OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 12300                   | GS | 2210   | 09 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210       | 01 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | FALSE OR<br>MISLEADING<br>STATEMENTS   | NOT<br>STATED                          | NOT<br>STATED                          | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 12307                   | GS | 0962   | 07 | CONTACT<br>REPRESENTATIVE                    | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                     | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 14<br>DAYS                            | NOT<br>STATED  |
| 2007 | 12335                   | GS | 0356   | 04 | DATA TRANSCRIBER                             | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 12353                   | GS | 0592   | 08 | TAX EXAMINING                                | ES | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                              | NOT<br>STATED                          | 2000-2003                              | MULTIPL<br>E            | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 14<br>DAYS                            | NOT<br>STATED  |
| 2007 | 12354                   | GS | 0356   | 04 | DATA TRANSCRIBER                             | ES | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | PERIOD                                       | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>F) | REMOVAL  |
| 2007 | 12363                   | IR | 1169   | 05 | INTERNAL REVENUE<br>OFFICER                  | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | CONFLICTS OF<br>INTEREST<br>INTERNET / EMAIL<br>MISUSE MISUSE<br>OF GOVERNMENT<br>PROPERTY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 5 DAYS                        |  | NOT<br>STATED  |
| 2007 | 12364                   | GS | 0512   | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | HVY MFG &<br>TRANSPORT               | OTHER - THREAT<br>OF<br>AUDIT/PERSONAL   | NOT<br>STATED                          | NOT<br>STATED                          | ONE                     | RRA 1998 -<br>1203<br>(b)(10)                            | TREAT OF  | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 12366                   | GS | 1169   | 11 | INTERNAL REVENUE<br>OFFICER                  | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS   | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 |                         |    |        |    |  | IR | 0340       |    | MANAGEMENT                                   | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | GOVT CREDIT<br>CARD PROBLEMS   | <= \$500                               | 2004-2007                              |                         | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   |  | ON 14<br>DAYS  | ND   |
| 2007 | 12379                   | GS | 0592   | 06 | TAX EXAMINING                                | ES | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | SUSPENSI<br>ON 3<br>DAYS                             |

| ALER | (1)<br>TS Case<br>Imber |    |      | (2)<br>e Series, Grade and Job<br>Title         | D  | Disciplinii | and | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                               | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed<br>NOT | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|------|---|----|-------------|-----|--|--------------------------------------|--|--|--|--------------------------------|--|-----------------------|--|--------------------------------|--|--|--|--|
|      |                         |    |      |   |    |             |     |  | RELATNSHP &<br>ED(CARE)              | ATTEMPTED<br>ACCESS TO HIS<br>OWN ACCOUNT.                             | STATED                                 |  | -                              | SECURITY<br>RULE   | STATED                | APPLICAB<br>LE                         |                                | APPLI<br>CABL<br>E                                   | APPLICABLE                                       | COUNSELI<br>NG                                       | STATED   |
| 2007 | 12444                   | GS | 0501 | 09 FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0340        | 01  | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | OTHER - OFFDUTY<br>ARREST FOR<br>DRIVING WHILE<br>INTOXICATED<br>(DWI) | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                            | NOT<br>STATED  | STATED                | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                                | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 12445                   | GS | 0962 | 08 CONTACT<br>REPRESENTATIVE                    | IR | 0340        | 01  | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | SAFETY AND<br>PHYSICAL<br>SECURITY<br>MATTERS                          | NOT<br>STATED                          | 2004-2007                              |                                | NOT<br>STATED  | STATED                | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                                | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 12446                   | GS | 0592 | 06 TAX EXAMINING                                | IR | 0340        | 01  | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              | -                              | NOT<br>STATED  | STATED                | APPLICAB<br>LE                         | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                                |  | STATED   |
| 2007 | 12451                   |    |      | 04 DATA TRANSCRIBER                             |    |             | 00  | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                                     | NOT<br>STATED                          | 2004-2007                              | E                              | STATED   | STATED                | -                                      | 2007                           | 2  | N 5 DAYS   | ON 5<br>DAYS   | ADMONIS<br>HMENT                                     |
| 2007 | 12463                   | GS | 0303 | 05 MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340        | 00  | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                                     | NOT<br>STATED                          | 2004-2007                              | ONE                            | NOT<br>STATED  | NOT<br>STATED         | 2007                                   | 2007                           | 2  | (PROBATION<br>PERIOD                             | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>F) | NOT<br>STATED  |
| 2007 | 12489                   | GS | 0592 | 07 TAX EXAMINING                                | IR | 0340        | 01  | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | DISCOURTEOUS<br>CONDUCT  | NOT<br>STATED                          | 2004-2007                              | ONE                            | NOT<br>STATED  | STATED                | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                                | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 12497                   | GS | 0962 | 08 CONTACT<br>REPRESENTATIVE                    | IR | 0340        | 02  | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                | \$1001-<br>\$1500                      | 2004-2007                              | ONE                            | NOT<br>STATED  | STATED                | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                                |  | NOT<br>STATED  |
| 2007 | 12499                   | GS | 2210 | 12 SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0301        | 01  | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                   | OGE<br>2635.809  | STATED                | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                                |  | STATED   |
| 2007 | 12500                   | GS | 0962 | 09 CONTACT<br>REPRESENTATIVE                    | IR | 0340        | 01  | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                | \$1001-<br>\$1500                      | 2004-2007                              | MULTIPL<br>E                   | OGE<br>2635.809  | STATED                | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                                | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 12502                   |    | 0303 | CLERK AND<br>ASSISTANT                          | IR | 0340        |     | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                | \$2000                                 | 2004-2007                              | E                              | 2635.809   | STATED                | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                                | COUNSELI<br>NG                                       |  |
| 2007 | 12514                   | GS | 0356 | 04 DATA TRANSCRIBER                             | ES | 0340        | 00  | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | FALSE OR<br>MISLEADING<br>STATEMENTS                                   | > \$2000                               | 2004-2007                              | MULTIPL<br>E                   | 31 CFR<br>0.208  | NOT<br>STATED         | 2007                                   | 2007                           | 2  | (PROBATION<br>PERIOD                             | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 12520                   | GS | 0303 | 04 MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340        | 01  | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                | > \$2000                               | 2000-2003<br>2004-2007                 | MULTIPL<br>E                   | OGE<br>2635.809  | NOT<br>STATED         | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 3 DAYS                            | SUSPENSI<br>ON 3<br>DAYS                             | ADMONIS<br>HMENT                                     |

| ALER | (1)<br>TS Case<br>Imber<br>12525 |    | nployee |    | (2)<br>ies, Grade and Job<br>Title | Di | •    | -  | (3)<br>Ifficial Series, Grade<br>d Job Title<br>PROGRAM<br>MANAGEMENT | (4)<br>Employee Office<br>Code<br>ANDOVER<br>ACCOUNTS | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense<br>NOT<br>STATED | (7<br>Temporal<br>Miscon<br>Multiple C<br>1996-1999 | Range of<br>duct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated<br>IDRS<br>SECURITY | Accepted  | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse<br>in M | 11)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed<br>REMOVAL<br>(PROBATION | (13)<br>Actual<br>Discipline<br>Imposed<br>SUSPENSI<br>ON 30 | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline<br>NOT<br>STATED |
|------|----------------------------------|----|---------|----|------------------------------------|----|------|----|---|---|---|---|---|--------------------------------|--|---|--|--|--|--|--|---|
|      |                                  |    |         |    |                                    |    |      |    |   | MANAGEMENT  | TAXPAYER<br>PRIVACY<br>VIOLATIONS   |   |   |                                | RULE   | GOOD<br>WORK<br>RECORD<br>NO<br>EFFECT<br>UPON<br>EMPLOYE<br>R'S<br>CONFIDEN<br>CE OF<br>EMPLOYE<br>F |  |  |  | COMPLETE)  | DAYS   |   |
| 2007 | 12528                            | GS |         |    | TAX TECHNICIAN                     | IR |      |    | TAX TECHNICIAN  | CUST AST,<br>RELATNSHP &<br>ED(CARE)                  | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED   | NOT<br>STATED                                       |                                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE  | COUNSELI<br>NG   |   |
| 2007 | 12539                            | GS | 0592    | 05 | TAX EXAMINING                      | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT   | ATLANTA<br>SUBMISSION<br>PROCESSING                   | FALSE OR<br>MISLEADING<br>STATEMENTS  | NOT<br>STATED   | 2004-2007   | MULTIPL<br>E                   | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                                   | 1  | SUSPENSIO<br>N 2 DAYS  | SUSPENSI<br>ON 2<br>DAYS                                     | NOT<br>STATED   |
| 2007 | 12571                            | GS | 0592    | 07 | TAX EXAMINING                      | ES | 0340 | 00 | PROGRAM<br>MANAGEMENT   | FRESNO<br>COMPLIANCE<br>SERVICES                      | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED   | 2004-2007   | ONE                            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                                   | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE)                       |  | REPRIMA<br>ND   |
| 2007 | 12574                            | GS | 0592    | 06 | TAX EXAMINING                      | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT   | FIELD SUB PROC -<br>FRESNO                            | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED   | 2004-2007   | MULTIPL<br>E                   | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE  | REPRIMAN<br>D  | NOT<br>STATED   |
| 2007 | 12585                            | GS | 0526    | 11 | TAX TECHNICIAN                     | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT   | EXAMINATION   | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY  | NOT<br>STATED   | 2004-2007   | NOT<br>STATED                  | OTHER -<br>IRC 6103  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE  | REPRIMAN<br>D  | REPRIMA<br>ND   |
| 2007 | 12587                            | GS | 0962    | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT   | MEMPHIS<br>COMPLIANCE<br>SERVICES                     | MISUSE OF<br>POSITION   | NOT<br>STATED   | 2004-2007   | ONE                            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                                   | 1  | SUSPENSIO<br>N 5 DAYS  | SUSPENSI<br>ON 5<br>DAYS                                     | NOT<br>STATED   |
| 2007 | 12588                            | GS | 0512    |    | INTERNAL REVENUE<br>AGENT          |    |      | 01 | PROGRAM<br>MANAGEMENT   | EXAMINATION   | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED   | 2004-2007   |                                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE  |  | STATED  |
| 2007 | 12602                            | GS | 0962    | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0340 | 02 | PROGRAM<br>MANAGEMENT   | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT                  | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED   | 2004-2007   | MULTIPL<br>E                   | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE  | ADMONISH<br>MENT   | NOT<br>STATED   |
| 2007 | 12603                            | GS |         | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT   | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME                  | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>OTHER -<br>UNAVAILABILITY | NOT<br>STATED   | 2004-2007   | MULTIPL<br>E                   | STATED   | NOT<br>STATED   | 2007                                   | 2007                                   | 1  | SUSPENSIO<br>N 10 DAYS   | SUSPENSI<br>ON 10<br>DAYS                                    | NOT<br>STATED   |
| 2007 | 12613                            | GS | 1101    | 09 | GENERAL BUSINESS<br>AND INDUSTRY   | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT   | COLLECTION  | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY  | > \$2000  | 2004-2007   | MULTIPL<br>E                   | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                                   | 1  | SUSPENSIO<br>N 3 DAYS  | SUSPENSI<br>ON 3<br>DAYS                                     | NOT<br>STATED   |

| ALER<br>Nu | (1)<br>TS Case<br>Imber |    |      |    | (2)<br>ries, Grade and Job<br>Title          |    |      | and | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>iduct /<br>Offenses | Conduct<br>or IRS<br>Policy<br>Violated | Accepted                               | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse<br>in M | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------------|-------------------------|----|------|----|--|----|------|-----|---|--------------------------------------|---|---------------------|--|--------------------------------------|---|--|--|--|---|---|--|--|
| 2007       | 12635                   | GS | 0356 | 03 | DATA TRANSCRIBER                             | ES | 0340 | 00  | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>SUBMISSION<br>PROCESSING  | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED       | 2004-2007                              | ONE                                  | NOT<br>STATED                           | NOT<br>STATED                          | 2007                                   | 2007                                   | 1   | (PROBATION<br>PERIOD                      | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007       | 12640                   | GS | 0356 | 04 | DATA TRANSCRIBER                             | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                       | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED       | 2004-2007                              | ONE                                  | IRM 6.735                               | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007       | 12642                   | GS | 0356 | 04 | DATA TRANSCRIBER                             | ES | 0340 | 00  | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>SUBMISSION<br>PROCESSING  | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED       | 2004-2007                              | ONE                                  | NOT<br>STATED                           | NOT<br>STATED                          | 2007                                   | 2007                                   | 1   | (PROBATION<br>PERIOD                      | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET       | NOT<br>STATED  |
| 2007       | 12644                   | GS | 0356 | 04 | DATA TRANSCRIBER                             | IR | 0340 |     | PROGRAM<br>MANAGEMENT                       | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED       | 2004-2007                              |                                      | NOT<br>STATED                           | STATED                                 | 2007                                   | 2007                                   |   | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5<br>DAYS                             | ND   |
| 2007       | 12645                   | GS | 1102 | 12 | CONTRACTING                                  | IR | 1101 | 04  | GENERAL BUSINESS<br>AND INDUSTRY            | PROCUREMENT                          | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED       | 2004-2007                              | MULTIPI<br>E                         | STATED                                  | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |  | NOT<br>STATED  |
| 2007       | 12651                   | GS | 0526 | 09 | TAX TECHNICIAN                               | IR | 0501 | 05  | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM  | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAX,<br>UNDERREPORTER                                   | <= \$500<br>R       | 2004-2007                              | ONE                                  | NOT<br>STATED                           | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007       | 12652                   | GS | 0318 | 05 | SECRETARY                                    | IR | 0512 | 05  | INTERNAL REVENUE<br>AGENT                   | FRAUD/BSA                            | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500          | 2004-2007                              | ONE                                  | OGE<br>2635.101                         | POSITION/<br>DUTIES/G<br>RADE<br>LEVEL | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG                            | WRITTEN<br>COUNSELI<br>NG                            |
| 2007       | 12655                   | GS | 0962 | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                       | AUSTIN ACCOUNTS<br>MANAGEMENT        |   | D \$ 501-<br>\$1000 | 2000-2003                              | ONE                                  | OGE<br>2635.809                         |  | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007       | 12657                   | GS | 0318 | 09 | SECRETARY                                    | ES | 0340 | 00  | PROGRAM<br>MANAGEMENT                       | ELECTRONIC TAX<br>ADMIN              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED     | 2004-2007                              | ONE                                  | OGE<br>2635.101                         | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007       | 12668                   | GS | 1169 | 12 | INTERNAL REVENUE<br>OFFICER                  | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                       | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED     | 2004-2007                              | ONE                                  | NOT<br>STATED                           | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007       | 12671                   | GS | 0962 | 07 | CONTACT<br>REPRESENTATIVE                    | IR | 0962 | 07  | CONTACT<br>REPRESENTATIVE                   | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500          | 2004-2007                              | ONE                                  | OGE<br>2635.809                         | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007       | 12677                   | GS | 2210 | 11 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                       | END USERS<br>EQUIPMENT &<br>SVCS     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500          | 2004-2007                              | ONE                                  | OGE<br>2635.809                         | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007       | 12692                   | GS | 0318 | 08 | SECRETARY                                    | ES | 1102 | 00  | CONTRACTING                                 | PROCUREMENT                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500          | 2004-2007                              | ONE                                  | OGE<br>2635.101                         | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                                     | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e En | nployee |    | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | -  | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|---------|----|--|----|----------|----|---|--------------------------------------|--|--|--|-----------------|--|---|--|--------------------------------|---|--|--|--|
| 2007 | 12695                  | GS   | 0512    | 12 | INTERNAL REVENUE<br>AGENT                    | IR | 0512     | 01 | INTERNAL REVENUE<br>AGENT                   | SPECIALITY<br>PROGRAMS               | ATTENDANCE-<br>RELATED<br>OFFENSES FALSE<br>OR MISLEADING<br>STATEMENTS<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>GOVT CREDIT<br>CARD PROBLEMS<br>TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY |  | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 12703                  | GS   | 0592    | 07 | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 12710                  | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | > \$2000                               | 2004-2007                              |                 | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 12716                  | GS   | 0344    | 06 | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                       | AUSTIN ACCOUNTS<br>MANAGEMENT        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | > \$2000                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 12723                  | GS   | 0503    | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0503     | 08 | FINANCIAL CLERICAL<br>AND ASSISTANCE        | KANSAS CITY<br>COMPLIANCE SERV       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 12732                  | GS   | 0526    | 09 | TAX TECHNICIAN                               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                       | CUST AST,<br>RELATNSHP &<br>ED(CARE) | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 16<br>DAYS                            |
| 2007 | 12744                  | GS   | 0356    | 04 | DATA TRANSCRIBER                             | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                       | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 12764                  |      |         | -  | DATA TRANSCRIBER                             |    | 0340     |    | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>SUBMISSION<br>PROCESSING  | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              |                 | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | STATED   |
| 2007 | 12765                  | GS   | 0962    | 05 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 12773                  | GS   | 0303    | 03 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 1<br>DAYS                             | ADMONIS<br>HMENT                                     |
| 2007 | 12790                  | GS   | 0526    | 09 | TAX TECHNICIAN                               | IR | 0501     | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM  | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2004-2007                              |                 | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | ε  | mploye | e Ser | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini |    | (3)<br>fficial Series, Grade<br>I Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated   | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elapso | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------|--|--|--|--|
| 2007 | 12791                   | GS | 0303   | 03    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                     | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET       | NOT<br>STATED  |
| 2007 | 12811                   | GS | 0592   | 07    | TAX EXAMINING                                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2000-2003                              | ONE             | IDRS<br>SECURITY<br>RULE                                   |   | 2007                                   | 2007                     | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 12813                   | GS | 0962   | 07    | CONTACT<br>REPRESENTATIVE                    | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | FALSE OR<br>MISLEADING<br>STATEMENTS                          | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                     | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 12814                   | GS | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | NATURAL<br>RESOURCES &<br>CONSTRUCT  | GOVT CREDIT<br>CARD PROBLEMS                                  | > \$2000                               | 2004-2007                              | NOT<br>STATED   | NOT<br>STATED  | PERSONA<br>L<br>PROBLEM<br>S                          | 2007                                   | 2007                     | 1  | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 7<br>DAYS                             | NOT<br>STATED  |
| 2007 | 12815                   | GS | 2210   | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | NOT<br>STATED                          | ONE             | IRS<br>POLICY -<br>PERSONA<br>L USE OF<br>GOV INFO<br>TECH | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                     | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 12816                   | GS | 0592   | 06    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | FALSE OR<br>MISLEADING<br>STATEMENTS                          | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    |  | NOT<br>STATED   | 2007                                   | 2007                     | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET       | NOT<br>STATED  |
| 2007 | 12818                   | GS | 2210   | 13    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>OPERATIONS             | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                     | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 12819                   | GS | 0592   | 06    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      |   | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                     | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 12837                   | GS | 0592   | 08    | TAX EXAMINING                                | IR | 0340     | 05 | PROGRAM<br>MANAGEMENT                        | TECHNICAL<br>SERVICES                | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.702  | NOT<br>STATED   | 2007                                   | 2007                     | 2  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | NOT<br>STATED  |
| 2007 | 12839                   | GS | 1169   | 12    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY            | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                     | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN  | NOT<br>STATED  |
| 2007 | 12856                   | GS | 0987   | 09    | TAX LAW<br>SPECIALIST                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                     | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | NOT<br>STATED  |
| 2007 | 12857                   | GS | 0303   | 03    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0303     | 11 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                     | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH   | NOT<br>STATED  |
| 2007 | 12875                   | GS | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE             | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                     | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |

| ALER<br>Nu | (1)<br>TS Case<br>Imber |    |      |    | (2)<br>ies, Grade and Job<br>Title           |    | ·    | an | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>iduct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted |                       | Ye<br>Discip<br>Impo<br>Elapse | ed time<br>onths          | (12) (11)<br>Type of Act<br>Discipline Disci<br>Proposed Impo            | ual<br>bline S<br>sed<br>D | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------------|-------------------------|----|------|----|--|----|------|----|--|--------------------------------------|---|---------------------|--|--------------------------------------|--|---|-----------------------|--------------------------------|---------------------------|--|----------------------------|--|
| 2007       | 12876                   | GS | 0503 | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED       | 2004-2007                              | MULTIPL<br>E                         | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE | 2007                           | NOT<br>APPLI<br>CABL<br>E | NOT REPR<br>APPLICABLE D   | MAN N<br>S                 | IOT<br>STATED  |
| 2007       | 12880                   | GS | 0512 | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | NATURAL<br>RESOURCES &<br>CONSTRUCT  | OUTSIDE<br>EMPLOYMENT<br>VIOLATIONS                           | NOT<br>STATED       | 2004-2007                              | ONE                                  | OTHER -<br>VIOLATIO<br>N OF<br>TITLE 26                  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE | 2007                           | NOT<br>APPLI<br>CABL<br>F | NOT REPR<br>APPLICABLE D   | MAN N<br>S                 | IOT<br>STATED  |
| 2007       | 12881                   | GL | 1811 | 09 | CRIMINAL<br>INVESTIGATING                    | IR | 1811 | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS       | CONDUCT<br>UNBECOMING   | NOT<br>STATED       | 2004-2007                              | ONE                                  | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE | 2007                           | NOT<br>APPLI<br>CABL      | NOT ADMC<br>APPLICABLE MENT  | NISH N<br>S                | IOT<br>STATED  |
| 2007       | 12884                   | GS | 0962 | 08 | CONTACT<br>REPRESENTATIVE                    | ES | 0340 | 00 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED       | 2000-2003                              | ONE                                  | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                  | 2007                           | 3                         | REMOVAL SUSP<br>(PROBATION ON 30<br>PERIOD DAYS<br>COMPLETE)             |                            | IOT<br>STATED  |
| 2007       | 12886                   | GS | 0962 | 05 | CONTACT<br>REPRESENTATIVE                    | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | FALSE OR<br>MISLEADING<br>STATEMENTS                          | NOT<br>STATED       | 2004-2007                              | ONE                                  | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE | 2007                           | NOT<br>APPLI<br>CABL<br>E | NOT REPR<br>APPLICABLE D   | MAN N<br>S                 | IOT<br>STATED  |
| 2007       | 12918                   | GS | 0356 | 04 | DATA TRANSCRIBER                             | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | DISCOURTEOUS<br>CONDUCT<br>DISRUPTION OF<br>WORK              | NOT<br>STATED       | 2004-2007                              | MULTIPL<br>E                         | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE | 2007                           | NOT<br>APPLI<br>CABL<br>E | NOT REPR<br>APPLICABLE D   | MAN N<br>S                 | IOT<br>STATED  |
| 2007       | 12935                   | GS | 0305 | 04 | MAIL AND FILE                                | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | > \$2000            | 2004-2007                              | MULTIPL<br>E                         | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE | 2007                           | NOT<br>APPLI<br>CABL<br>F | NOT REPR<br>APPLICABLE D   | MAN N<br>S                 | IOT<br>STATED  |
| 2007       | 12936                   | GS | 0512 | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0512 | 04 | INTERNAL REVENUE<br>AGENT                    | EMPLOYEE PLANS                       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED       | 2004-2007                              | ONE                                  | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE | 2007                           | NOT<br>APPLI<br>CABL<br>E | NOT ADMC<br>APPLICABLE MENT  | NISH N<br>S                | IOT<br>STATED  |
| 2007       | 12937                   | GS | 2210 | 12 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>OPERATIONS             | TAX,<br>UNDERREPORTER   | > \$2000            | 2004-2007                              | MULTIPL<br>E                         | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE | 2007                           | NOT<br>APPLI<br>CABL<br>F | NOT ADMC<br>APPLICABLE MENT  | NISH N<br>S                | IOT<br>STATED  |
| 2007       | 12939                   | GS | 0341 | 11 | ADMINISTRATIVE<br>OFFICER                    | ES | 1811 | 00 | CRIMINAL<br>INVESTIGATING                    | CRIMINAL<br>INVESTIGATION            | TAX,<br>UNDERREPORTER   | > \$2000            | 2004-2007                              | MULTIPL<br>E                         | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE | 2007                           | NOT<br>APPLI<br>CABL<br>F | NOT ADMC<br>APPLICABLE MENT  | NISH N<br>S                | IOT<br>STATED  |
| 2007       | 12947                   | GS | 1169 | 11 | INTERNAL REVENUE<br>OFFICER                  |    |      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | CONDUCT<br>UNBECOMING   | NOT<br>STATED       |  | E                                    | STATED   | NOT<br>STATED   | 2007                  | 2007                           | 1                         | SUSPENSIO SUSP<br>N 7 DAYS ON 7<br>DAYS                                  | S                          | IOT<br>STATED  |
| 2007       | 12948                   | GS | 0512 | 13 | INTERNAL REVENUE<br>AGENT                    | ES | 0340 | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED       | 2004-2007                              | MULTIPL<br>E                         | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                  | 2007                           | 3                         | REMOVAL REMC<br>(PROBATION (PROE<br>PERIOD ON<br>COMPLETE) PERIC<br>COMP | ATI S                      | IOT<br>STATED  |
| 2007       | 12949                   | GS | 0512 | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | LOSS OF<br>DOCUMENTS AND<br>SECURITY<br>VIOLATIONS            | NOT<br>STATED       | 2004-2007                              | ONE                                  | OTHER -<br>IRM<br>10.8.26                                | NOT<br>STATED   | 2007                  | 2007                           | 1                         | SUSPENSIO REPR<br>N 1 DAYS D   | MAN N<br>S                 | IOT<br>STATED  |
| 2007       | 12951                   | GS | 0512 | 12 | INTERNAL REVENUE<br>AGENT                    | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | FALSE OR<br>MISLEADING<br>STATEMENTS                          | NOT<br>STATED       | 2004-2007                              | ONE                                  | 31 CFR<br>0.208  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE | 2007                           | NOT<br>APPLI<br>CABL<br>E | NOT REPR<br>APPLICABLE D   | MAN N<br>S                 | IOT<br>TATED   |

|      | (1)<br>TS Case<br>Imber | e En | nploye | (2)<br>e Series, Grade and Job<br>Title         | D  | visciplinii |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|---|----|-------------|----|--|--------------------------------------|---|--|--|-------------------------|--|---|--|--------------------------------|---|--|--|--|
| 2007 | 12952                   | GS   | 0962   | 08 CONTACT<br>REPRESENTATIVE                    | IR | 0301        | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | CONDUCT<br>UNBECOMING<br>FALSE OR<br>MISLEADING<br>STATEMENTS<br>FAILURE TO<br>COOPERATE IN AN<br>OFFICIAL<br>INVESTIGATION | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 4   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 30<br>DAYS                            |
| 2007 | 12963                   | GS   | 0303   | 03 MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | FALSE OR<br>MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2   | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 12985                   | GS   | 0318   | 06 SECRETARY                                    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | FIELD OPERATIONS<br>WEST             | GOVT CREDIT<br>CARD PROBLEMS  | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 12994                   | GS   | 0512   | 14 INTERNAL REVENUE<br>AGENT                    | ES | 0340        | 00 | PROGRAM<br>MANAGEMENT                        | FINANCIAL<br>SERVICES                | GOVT CREDIT<br>CARD PROBLEMS  | \$1001-<br>\$1500                      | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | I NOT<br>STATED                                      |
| 2007 | 12995                   | GS   | 0526   | 07 TAX TECHNICIAN                               | IR | 0526        | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS  | <= \$500                               | 2004-2007                              | MULTIPL<br>E            | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 2   | SUSPENSIO<br>N 5 DAYS                        | REPRIMAN<br>D  | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 12999                   | GS   | 2210   | 11 SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS  | <= \$500                               | 2004-2007                              | MULTIPL<br>E            | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     |  |
| 2007 | 13000                   | GS   | 0592   | 07 TAX EXAMINING                                | IR | 0340        | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | GOVT CREDIT<br>CARD PROBLEMS  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 13002                   | GS   | 1169   | 07 INTERNAL REVENUE<br>OFFICER                  | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS  | <= \$500                               | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 13008                   | GS   | 0512   | 09 INTERNAL REVENUE<br>AGENT                    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS  | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 13009                   | GS   | 0526   | 09 TAX TECHNICIAN                               | IR | 0501        | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS  | > \$2000                               | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | E<br>NOT<br>APPLI<br>CABL                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 13010                   | GS   | 0343   | 11 MANAGEMENT AND<br>PROGRAM ANALYSIS           | ES | 0340        | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS  | \$1001-<br>\$1500                      | 2004-2007                              | NOT<br>STATED           | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 13011                   | GS   | 1169   | OFFICER   |    |             | 02 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS  | > \$2000                               | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   |  | 2007                           | 1   | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | ND   |
| 2007 | 13012                   | IR   | 0526   | 05 TAX TECHNICIAN                               | IR | 0526        | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 13013                   | GS   | 1169   | 07 INTERNAL REVENUE<br>OFFICER                  | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS  | > \$2000                               | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 13014                   | GS   | 0962   | 08 CONTACT<br>REPRESENTATIVE                    | IR | 0340        | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | GOVT CREDIT<br>CARD PROBLEMS  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 14<br>DAYS                            | ADMONIS<br>HMENT                                     |

| ALER | (1)<br>TS Case<br>Imber | e En | nployee |    | (2)<br>ies, Grade and Job<br>Title         | Di | sciplini | •  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|--|----|----------|----|--|--------------------------------------|---|--|--|-------------------------|--|---|--|--------------------------------|--|--|---|--|
| 2007 | 13019                   | GS   | 1101    | 09 | GENERAL BUSINESS<br>AND INDUSTRY           | IR | 1101     | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS                                  | \$1501-<br>\$2000                      | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13021                   | GS   | 1169    | 07 | INTERNAL REVENUE<br>OFFICER                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS                                  | > \$2000                               | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 2<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 13023                   | IR   | 0342    | 05 | SUPPORT SERVICES<br>ADMINISTRATION         | IR | 0342     | 01 | SUPPORT SERVICES<br>ADMINISTRATION           | REAL ESTATE &<br>FAC MGMT            | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13037                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 13044                   | GS   |         | 12 | INTERNAL REVENUE<br>OFFICER                |    |          | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | OTHER - FAILURE<br>TO PROTECT<br>GOVERNMENT'S<br>INTEREST     | > \$2000                               | 2004-2007                              | ONE                     | NOT<br>STATED  | STATED  | 2007                                   | 2007                           | 4  | SUSPENSIO<br>N 3 DAYS                        | REPRIMAN<br>D                           | STATED   |
| 2007 | 13073                   | GS   | 0501    | 11 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | DEPUTY NATL<br>TXPYR ADVOCATE        | FALSE OR<br>MISLEADING<br>STATEMENTS                          | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 13077                   | GS   | 1169    |    | INTERNAL REVENUE<br>OFFICER                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           |   | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E            | OGE<br>2635.704<br>OGE<br>2635.809                       | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13078                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340     | 05 | PROGRAM<br>MANAGEMENT                        | NATIONAL<br>HEADQUARTERS             | OTHER -<br>ATTEMPTED<br>ACCESS TO IDRS                        | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | OGE<br>2635.704  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13079                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | MULTIPL<br>E            | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                           | 3  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ÔN                                      | NOT<br>STATED  |
| 2007 | 13081                   | GS   | 0501    | 11 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | DEPUTY NATL<br>TXPYR ADVOCATE        | FALSE OR<br>MISLEADING<br>STATEMENTS                          | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | OTHER -<br>APOLOGY,<br>REMORSE<br>,<br>COMMITM<br>ENT | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 2<br>DAYS                | SUSPENSI<br>ON 2<br>DAYS                             |
| 2007 | 13093                   | GS   | 1811    | 12 | CRIMINAL<br>INVESTIGATING                  | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID ATLANTIC<br>AREA FIELD OPS    | DEBT COLLECTION   | <= \$500                               | 2004-2007                              | ONE                     | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13100                   | GS   | 0962    | 05 | CONTACT<br>REPRESENTATIVE                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | OTHER -<br>SUITABILITY  | <= \$500                               | 2000-2003                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13106                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 4  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                | SUSPENSI<br>ON 3<br>DAYS                             |

| ALER | (1)<br>TS Case<br>mber | ε  | mploye | e Sei | (2)<br>ies, Grade and Job<br>Title      | Di | isciplinii | 0  | (3)<br>fficial Series, Grade<br>d Job Title  | (4)<br>Employee Office<br>Code                 | (5)<br>Offense - Nature<br>of misconduct                                   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>bonths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|----|--------|-------|---|----|------------|----|--|--|--|--|--|--------------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 13108                  | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT              | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 3 DAYS                     | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13109                  | GS | 0305   | 04    | MAIL AND FILE                           | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION                          | ATTENDANCE-<br>RELATED   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5                        | ON 1   |
| 2007 | 13111                  | GS | 0592   | 07    | TAX EXAMINING                           | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | PROCESSING<br>FRESNO<br>ACCOUNTS<br>MANAGEMENT | OFFENSES<br>COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | DAYS<br>NOT<br>STATED                                |
| 2007 | 13140                  | GS | 0356   | 03    | DATA TRANSCRIBER                        | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING            | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                     | O NOT<br>STATED                        | 2000-2003                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13141                  | GS | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                                    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                     | O NOT<br>STATED                        | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13143                  | GS | 0512   | 12    | INTERNAL REVENUE<br>AGENT               | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                                    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                     | <sup>-</sup> O > \$2000                | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13146                  | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT              | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                     | O NOT<br>STATED                        | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13149                  | GS | 0592   | 04    | TAX EXAMINING                           | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT              | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                     | O \$1501-<br>\$2000                    | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13150                  | GS | 0503   | 06    | FINANCIAL CLERICAL<br>AND ASSISTANCE    | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES              | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                     | O \$1001-<br>\$1500                    | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13154                  | GS | 0503   | 07    | FINANCIAL CLERICAL<br>AND ASSISTANCE    | GS | 0301       | 11 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | FRESNO<br>COMPLIANCE<br>SERVICES               | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                     | O NOT<br>STATED                        | 2004-2007                              | ONE                | OGE<br>2635.809<br>OGE<br>2635.101                       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13155                  | IR | 0962   | 07    | CONTACT<br>REPRESENTATIVE               | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT               | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                     | O NOT<br>STATED                        | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13156                  | GS | 0350   | 04    | EQUIPMENT<br>OPERATOR                   | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>SUBMISSION<br>PROCSG           | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                     | O NOT<br>STATED                        | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13160                  | GS | 0201   | 13    | PERSONNEL<br>MANAGEMENT                 | IR | 0201       | 04 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE                        | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                     | O NOT<br>STATED                        | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13164                  | GS | 1169   | 11    | INTERNAL REVENUE<br>OFFICER             | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                                     | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                     | O <= \$500                             | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13178                  | GS | 0335   | 07    | COMPUTER CLERK<br>AND ASSISTANT         | IR | 0335       | 05 | COMPUTER CLERK<br>AND ASSISTANT              | ENTERPRISE<br>OPERATIONS                       | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                     | O \$ 501-<br>\$1000                    | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER<br>Nu | (1)<br>TS Case<br>Imber |    |      | (2)<br>e Series, Grade<br>Title     |           |    | ·    | and | (3)<br>fficial Series, Grade<br>d Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                        | Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>iduct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated   | Accepted                                  | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse<br>in M | ed time<br>onths          | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------------|-------------------------|----|------|-------------------------------------|-----------|----|------|-----|--|--------------------------------------|---|---------------------|--|--------------------------------------|--|---|--|--|---------------------------|---|---|--|
| 2007       | 13180                   | GS | 0356 | 04 DATA TRA                         | ANSCRIBER | IR | 0356 | 11  | DATA TRANSCRIBER                             | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | <= \$500            | 2004-2007                              | ONE                                  | OGE<br>2635.809  | NOT<br>STATED                             | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007       | 13182                   | GS | 0356 | 04 DATA TRA                         | ANSCRIBER | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | NOT<br>STATED       | 2004-2007                              | ONE                                  | OGE<br>2635.809  | NOT<br>STATED                             | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007       | 13183                   | GS | 0962 | 09 CONTACT<br>REPRESE               | NTATIVE   | IR | 0340 | 02  | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | > \$2000            | 2004-2007                              | MULTIPL<br>E                         | NOT<br>STATED  | FINANCIAL<br>PROBLEM<br>S                 |  | 2007                                   | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007       | 13185                   | IR | 0501 | 06 FINANCIA<br>ADMINIST<br>AND PROC | RATION    | ES | 0340 | 00  | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | \$1001-<br>\$1500   | 2004-2007                              | ONE                                  | OGE<br>2635.101  | NOT<br>STATED                             | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007       | 13193                   | GS | 2210 | 14 SUPERVIS<br>INFORMA<br>TECHNOL   | TION      | IR | 2210 | 04  | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | <= \$500            | 2004-2007                              | ONE                                  | OGE<br>2635.101  | NOT<br>STATED                             | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007       | 13211                   | GS | 0962 | 07 CONTACT<br>REPRESE               |           | ES | 0340 | 00  | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED       | 2004-2007                              | ONE                                  | IDRS<br>SECURITY<br>RULE                                   |   | 2007                                   | 2007                                   | 3                         | (PROBATION                                | SUSPENSI<br>ON 30<br>DAYS               | NOT<br>STATED  |
| 2007       | 13212                   | GS | 2210 | 12 SUPERVIS<br>INFORMA<br>TECHNOL   | TION      | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | INTERNET / EMAIL<br>MISUSE                                      | NOT<br>STATED       | NOT<br>STATED                          | ONE                                  | IRS<br>POLICY -<br>PERSONA<br>L USE OF<br>GOV INFO<br>TECH | NOT<br>STATED                             | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007       | 13219                   | GS | 0318 | 05 SECRETA                          | RY        | IR | 1101 | 01  | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | FALSE OR<br>MISLEADING<br>STATEMENTS                            | NOT<br>STATED       | 2004-2007                              | ONE                                  | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007       | 13224                   | GS | 0962 | 08 CONTACT<br>REPRESE               |           | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                            | NOT<br>STATED       | 2004-2007                              | ONE                                  | NOT<br>STATED  | OTHER -<br>DID NOT<br>SUSTAIN<br>REASON I | 2007                                   | 2007                                   | 3                         | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5<br>DAYS                | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007       | 13225                   | GS | 0962 | 08 CONTACT<br>REPRESE               |           | IR | 0301 | 01  | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES                              | NOT<br>STATED       | 2004-2007                              | MULTIPL<br>E                         | IRM 6.735  | NOT<br>STATED                             | 2007                                   | 2007                                   | 4                         | SUSPENSIO<br>N 20 DAYS                    | SUSPENSI<br>ON 14<br>DAYS               | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007       | 13270                   |    | 0318 |                                     |           | IR |      | 01  | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | DISCOURTEOUS<br>CONDUCT<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS | NOT<br>STATED       |  | E                                    | STATED   | STATED                                    | 2007                                   | 2007                                   | 1                         | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2007       | 13280                   | GS | 0962 | 08 CONTACT<br>REPRESE               |           | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                              | NOT<br>STATED       | 2004-2007                              | MULTIPL<br>E                         |  | NOT<br>STATED                             | 2007                                   | 2007                                   | 5                         | SUSPENSIO<br>N 2 DAYS                     | SUSPENSI<br>ON 2<br>DAYS                | ADMONIS<br>HMENT                                     |
| 2007       | 13281                   | GS | 0592 | 07 TAX EXAN                         | MINING    | IR | 0592 | 08  | TAX EXAMINING                                | CINCINNATI<br>SUBMISSION<br>PROCESSI | MISUSE OF<br>GOVERNMENT<br>PROPERTY                             | NOT<br>STATED       | 2004-2007                              | ONE                                  | OGE<br>2635.704  | NOT<br>STATED                             | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007       | 13308                   | IR | 1169 | 05 INTERNAL<br>OFFICER              | REVENUE   | IR | 0340 | 01  | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | DISCOURTEOUS<br>CONDUCT   | NOT<br>STATED       | 2004-2007                              | MULTIPL<br>E                         | . OGE<br>2635.705  | NOT<br>STATED                             | NOT<br>APPLICAB<br>LE                  | 2007                                   | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e En | nployee |    | (2)<br>ies, Grade and Job<br>Title         | Di   | isciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | Type ofActualDisciplineDisciplineProposedImposed  | (14)<br>Prior<br>anctions<br>Cited in<br>iscipline |
|------|------------------------|------|---------|----|--|------|-----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|--|---|--|
| 2007 | 13311                  |      |         |    | CONTACT<br>REPRESENTATIVE                  | IR   |           | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              |                    | IDRS<br>SECURITY<br>RULE                                 | -   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NG  | ATED   |
| 2007 | 13316                  | GS   | 0356    | 04 | DATA TRANSCRIBER                           | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE                | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT REPRIMAN NO<br>APPLICABLE D ST/   | DT<br>TATED  |
| 2007 | 13325                  | GS   | 0512    | 13 | INTERNAL REVENUE<br>AGENT                  | IR   | 0512      | 04 | INTERNAL REVENUE<br>AGENT                    | FIELD SPECIALISTS                    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | > \$2000                               | 2000-2003                              | MULTIPL<br>E       | OGE<br>2635.808  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | E<br>NOT<br>APPLI<br>CABL<br>E                       | NOT REPRIMAN NO<br>APPLICABLE D STA   | DT<br>TATED  |
| 2007 | 13348                  | IR   | 0962    | 07 | CONTACT<br>REPRESENTATIVE                  | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT ADMONISH NO<br>APPLICABLE MENT ST/  | DT<br>ATED   |
| 2007 | 13351                  | GS   | 0501    | 09 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR   | 0501      | 07 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | OGDEN<br>COMPLIANCE<br>SERVICES      | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT REPRIMAN NO<br>APPLICABLE D STA   | DT<br>TATED  |
| 2007 | 13358                  | GS   | 0512    | 05 | INTERNAL REVENUE<br>AGENT                  | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | PROBLEMS WITH<br>FINANCIAL<br>AFFAIRS                         | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT ADMONISH NO<br>APPLICABLE MENT STA  | DT<br>TATED  |
| 2007 | 13366                  | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR   | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT WRITTEN NO<br>APPLICABLE COUNSELI ST/<br>NG   |  |
| 2007 | 13369                  | GS   | 0503    | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE       | . IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT REPRIMAN NO<br>APPLICABLE D STA   | DT<br>TATED  |
| 2007 | 13370                  | GS   | 0526    | 09 | TAX TECHNICIAN                             | IR   | 0526      | 05 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                          | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT REPRIMAN NO<br>APPLICABLE D ST/   | DT<br>TATED  |
| 2007 | 13391                  | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                  | IR   | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | OTHER -<br>ATTEMPTED<br>UNAUTHORIZED<br>ACCESS                | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT REPRIMAN NO<br>APPLICABLE D ST/   | DT<br>TATED  |
| 2007 | 13396                  | GS   | 0356    | 03 | DATA TRANSCRIBER                           | ES   | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | REMOVAL REMOVAL NO<br>(PROBATION (PROBATI ST/<br>PERIOD ON<br>COMPLETE) PERIOD<br>COMPLET<br>E)     | DT<br>TATED  |
| 2007 | 13400                  | GS   | 0592    | 07 | TAX EXAMINING                              | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT REPRIMAN NO<br>APPLICABLE D STA   | DT<br>TATED  |
| 2007 | 13426                  |      |         |    | INTERNAL REVENUE<br>OFFICER                |      | 0340      |    | PROGRAM<br>MANAGEMENT                        | COLLECTION                           |   | NOT<br>STATED                          | 2004-2007                              |                    | OGE<br>2635.705  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | DAYS  | ATED   |
| 2007 | 13429                  | GS   | 0305    | 04 | MAIL AND FILE                              | ES   | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | REMOVAL REMOVAL SU:<br>(PROBATION (PROBATI ON<br>PERIOD ON DA'<br>COMPLETE) PERIOD<br>COMPLET<br>E) |  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nployee | e Ser | (2)<br>ries, Grade and Job<br>Title          | Di | isciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|-----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 13432                   | GS   | 0512    | 05    | INTERNAL REVENUE<br>AGENT                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | OTHER -<br>ATTEMPTED IDRS<br>ACCESS-SELF                | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13455                   | GS   | 0301    | 11    | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | WAGE AND<br>INVESTMENT               | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 13459                   | GS   | 0512    | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | NATURAL<br>RESOURCES &<br>CONSTRUCT  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13463                   | GS   | 0305    | 03    | MAIL AND FILE                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 13488                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13495                   | GS   | 0305    | 03    | MAIL AND FILE                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5<br>DAYS                | ADMONIS<br>HMENT                                     |
| 2007 | 13500                   | GS   | 2210    | 13    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210      | 01 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13502                   | IR   | 0592    | 08    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | ADMONIS<br>HMENT                                     |
| 2007 | 13507                   | GS   | 0260    | 12    | EQUAL<br>EMPLOYMENT<br>OPPORTUNITY           | IR | 0260      | 01 | EQUAL EMPLOYMENT<br>OPPORTUNITY              | EEO & DIVERSITY<br>FIELD OFFICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13511                   | GS   | 0318    | 07    | SECRETARY                                    | IR | 0343      | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | ELECTRONIC TAX<br>ADMIN              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | REPRIMA<br>ND  |
| 2007 | 13514                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        |   | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13516                   | GS   | 0592    | 05    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13519                   | GS   | 0592    | 05    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13522                   | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13528                   | IR   | 0512    | 04    | INTERNAL REVENUE<br>AGENT                    | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | NATURAL<br>RESOURCES &<br>CONSTRUCT  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e E | mploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | isciplini |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct               | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>f Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|--------|-------|--|----|-----------|----|--|--------------------------------------|--|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 13530                   | GS  | 1169   | 11    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13532                   | GS  | 0592   | 06    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500                             | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13533                   | GS  | 0592   | 07    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O \$1001-<br>\$1500                    | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2007 | 13534                   | GS  | 2210   | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O \$1501-<br>\$2000                    | 2004-2007                              | ONE             | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809               |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13535                   | GS  | 0356   | 03    | DATA TRANSCRIBER                             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500                             | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13536                   | GS  | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13537                   | GS  | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0592      | 07 | TAX EXAMINING                                | Servicewide                          | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500                             | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13539                   | GS  | 0343   | 12    | MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR | 2210      | 04 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ENTERPRISE<br>OPERATIONS             | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500                             | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13543                   | GS  | 2210   | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ACIO ENTERPRISE<br>SERVICES          | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O > \$2000                             | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13550                   | GS  | 0592   | 06    | TAX EXAMINING                                | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500                             | 2004-2007                              | ONE             | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13553                   | GS  | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13554                   | GS  | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0512      | 04 | INTERNAL REVENUE<br>AGENT                    | RETAIL FOOD<br>PHARM & HLTH<br>CARE  | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500                             | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13555                   | GS  | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                              | ONE             | NOT<br>STATED  | FINANCIAL<br>PROBLEM<br>S                             | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13557                   | GS  | 0592   | 06    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O \$1501-<br>\$2000                    | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13558                   | GS  | 0512   | 11    | INTERNAL REVENUE<br>AGENT                    | IR | 0512      | 04 | INTERNAL REVENUE<br>AGENT                    | EMPLOYEE PLANS                       | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e En | nploye |    | (2)<br>ies, Grade and Job<br>Title           | Di | scipliniı | -  | (3)<br>)fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12) (13)<br>Type of Actual<br>Discipline Discipline<br>Proposed Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|----|--|----|-----------|----|--|--------------------------------------|--|--|--|--------------------|--|---|--|--------------------------------|---|--|--|
| 2007 | 13562                   | GS   | 1169   | 12 | INTERNAL REVENUE<br>OFFICER                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | > \$2000                               | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT ADMONISH<br>APPLICABLE MENT  | I NOT<br>STATED                                      |
| 2007 | 13572                   | GS   | 0592   | 09 | TAX EXAMINING                                | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | OTHER - FAILED<br>TO PREVENT A<br>BARRED STATUTE                           | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT REPRIMAN<br>APPLICABLE D   | I NOT<br>STATED                                      |
| 2007 | 13574                   | GS   |        |    | DATA TRANSCRIBER                             |    | 0340      |    | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | E                  | STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 1   | N 30 DAYS ON 30<br>DAYS  | ON 3<br>DAYS   |
| 2007 | 13575                   | GS   | 0356   | 04 | DATA TRANSCRIBER                             | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | E                  | STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 2   | SUSPENSIO SUSPENSI<br>N 15 DAYS ON 15<br>DAYS                            | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 13578                   | GS   | 2210   | 14 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES | 1102      | 00 | CONTRACTING                                  | PROCUREMENT                          | LOSS OF<br>DOCUMENTS AND<br>SECURITY<br>VIOLATIONS                         | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT REPRIMAN<br>APPLICABLE D   | NOT<br>STATED  |
| 2007 | 13591                   | GS   | 0592   | 06 | TAX EXAMINING                                | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS              | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT REPRIMAN<br>APPLICABLE D   | I ADMONIS<br>HMENT                                   |
| 2007 | 13594                   | GS   | 0512   | 11 | INTERNAL REVENUE<br>AGENT                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | FALSE OR<br>MISLEADING<br>STATEMENTS                                       | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT REPRIMAN<br>APPLICABLE D   | I NOT<br>STATED                                      |
| 2007 | 13595                   | GS   | 0512   | 14 | INTERNAL REVENUE<br>AGENT                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EMPLOYEE PLANS                       | OTHER - FAILURE<br>TO SECURE<br>GOVERNMENT<br>LAPTOP<br>COMPUTER           | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT REPRIMAN<br>APPLICABLE D   | I NOT<br>STATED                                      |
| 2007 | 13598                   | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0503      | 08 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | AUSTIN<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT ADMONISH<br>APPLICABLE MENT  | I NOT<br>STATED                                      |
| 2007 | 13606                   | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | SUBSTANCE<br>ABUSE   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | SUSPENSIO SUSPENSI<br>N 14 DAYS ON 10<br>DAYS                            | NOT<br>STATED  |
| 2007 | 13609                   | GS   | 0962   | 09 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | DISCOURTEOUS<br>CONDUCT  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 14 DAYS<br>DAYS   | NOT<br>STATED  |
| 2007 | 13683                   | GS   | 0592   | 04 | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                | OTHER -<br>31 CFR<br>PART 0                              | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT REPRIMAN<br>APPLICABLE D   | I NOT<br>STATED                                      |
| 2007 | 13688                   | GS   | 0592   |    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS              | NOT<br>STATED                          | 2004-2007                              |                    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT WRITTEN<br>APPLICABLE COUNSELI<br>NG                                 |  |
| 2007 | 13709                   | GS   | 0962   |    | CONTACT<br>REPRESENTATIVE                    | IR |           | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS | NOT<br>STATED                          | 2004-2007                              |                    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | N 1 DAYS ON 1<br>DAYS  | REPRIMA<br>ND  |
| 2007 | 13717                   | GS   | 0318   | 05 | SECRETARY                                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT REPRIMAN<br>APPLICABLE D   | I WRITTEN<br>COUNSELI<br>NG                          |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye | e Ser | (2)<br>ries, Grade and Job<br>Title     | Di | sciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed        | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|---|----|----------|----|--|--------------------------------------|--|--|--|-----------------|--|---|--|------------------------------|--|--|--|--|
| 2007 | 13732                   | GS   | 0592   | 04    | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES                         | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>31 CFR<br>PART 0                              | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 13733                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                         | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2007 | 13737                   | GS   | 0303   | 03    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | ATTENDANCE-<br>RELATED<br>OFFENSES                         | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET | NOT<br>STATED  |
| 2007 | 13738                   | GS   | 0303   | 03    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | ATTENDANCE-<br>RELATED<br>OFFENSES                         | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 13750                   | GS   | 0962   | 05    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | OTHER - IDRS<br>ACCESS OF OWN<br>SOCIAL SECURITY<br>NUMBER | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 13771                   | GS   | 0526   |       |   | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRAUD/BSA                            | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | 2007                                   | 2007                         | 5  | N 1 DAYS                                     | ON 1<br>DAYS                                   | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 13786                   | GS   | 0303   |       | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAX,<br>UNDERREPORTER                                      | > \$2000                               | 2004-2007                              | MULTIPL<br>E    | STATED   | STATED  | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 14 DAYS                       | ON 14<br>DAYS                                  | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 13800                   |      | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR |          | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | ATTENDANCE-<br>RELATED<br>OFFENSES                         | NOT<br>STATED                          | 2004-2007                              | ONE             | IRM 6.735  | STATED  | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                       | ND   |
| 2007 | 13801                   | GS   | 0303   | 03    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | ATTENDANCE-<br>RELATED<br>OFFENSES                         | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 13803                   | GS   | 0318   | 05    | SECRETARY                               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | DISRUPTION OF<br>WORK                                      | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.705  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 5 DAYS                        | ON 5   | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 13804                   | GS   | 0303   | 05    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 4  | SUSPENSIO<br>N 15 DAYS                       | DAYS<br>SUSPENSI<br>ON 15<br>DAYS              | SUSPENSI<br>ON 10<br>DAYS                            |
| 2007 | 13806                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | INTERNET / EMAIL<br>MISUSE                                 | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>EMPLOYE<br>E<br>EXPECTA<br>TIONS              | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 13810                   | GS   | 0592   | 07    | TAX EXAMINING                           | IR |          | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES                         | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    |  | STATED  |  | 2007                         | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                       | STATED   |
| 2007 | 13822                   | GS   | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES                         | NOT<br>STATED                          | 2004-2007                              | ONE             | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 13832                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES                         | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 14<br>DAYS                      | ADMONIS<br>HMENT                                     |

| ALER | (1)<br>TS Case<br>mber | e En | nploye |    | (2)<br>ies, Grade and Job<br>Title           | Di | isciplini | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|--------|----|--|----|-----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 13843                  | GS   | 0962   | 05 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | APPLICABLE                                | NG                                      |  |
| 2007 | 13854                  | GS   | 0356   | 03 | DATA TRANSCRIBER                             | ES | 0340      |    | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | FALSE OR<br>MISLEADING<br>STATEMENTS                          | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 5   | (PROBATION                                | SUSPENSI<br>ON 5<br>DAYS                | NOT<br>STATED  |
| 2007 | 13884                  | GS   | 0356   | 03 | DATA TRANSCRIBER                             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13885                  | GS   | 0303   | 03 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           |   | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 13887                  | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0592      | 09 | TAX EXAMINING                                | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | APPLICABLE                                |   | NOT<br>STATED  |
| 2007 | 13889                  | GS   | 0344   | 06 | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | e <= \$500                             | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 13891                  | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13894                  | GS   | 1169   | 12 | INTERNAL REVENUE<br>OFFICER                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13895                  | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13896                  | GS   | 0592   | 06 | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           |   | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13899                  | GS   | 0962   | 07 | CONTACT<br>REPRESENTATIVE                    | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                    | AUSTIN ACCOUNTS<br>MANAGEMENT        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1501-<br>\$2000                      | 2004-2007                              |                    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | STATED   |
| 2007 | 13905                  | GS   | 1169   |    | INTERNAL REVENUE<br>OFFICER                  |    | 0010      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1000                                 | 2004-2007                              |                    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | APPLICABLE                                |   | STATED   |
| 2007 | 13907                  | GS   | 0592   |    | TAX EXAMINING                                | IR | 0592      | 09 | TAX EXAMINING                                | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1001-<br>\$1500                      | 2004-2007                              |                    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | APPLICABLE                                | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13912                  |      | 2210   |    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR |           | 04 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       |  | 2004-2007                              | E                  | 2635.101   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | -   | COUNSELI<br>NG                          | -  |
| 2007 | 13915                  | GS   | 0512   | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PLANNING,<br>QUALITY,<br>ANALYSIS &  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           |   | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nployee | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | •  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 13916                   | GS   | 0356    | 04    | DATA TRANSCRIBER                             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2007 | 13919                   | GS   | 1801    | 09    | GENERAL<br>INSPECTION,<br>INVESTIGATON, & CO | IR | 1811     | 04 | CRIMINAL<br>INVESTIGATING                    | CI REFUND CRIMES                     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13927                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | TAX,<br>UNDERREPORTER                                   | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13931                   | IR   | 0512    | 05    | INTERNAL REVENUE<br>AGENT                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13939                   | GS   | 1169    | 12    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 13941                   | GS   | 1801    | 11    | GENERAL<br>INSPECTION,<br>INVESTIGATON, & CO | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI PACIFIC AREA<br>FIELD OPS         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13942                   | GS   | 0962    | 06    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13954                   | GS   | 1811    | 13    | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID STATES<br>AREA FIELD OPS      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13956                   | GS   | 0322    | 04    | CLERK-TYPIST                                 | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | Servicewide                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1501-<br>\$2000                      | 2004-2007                              | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13963                   | GS   | 0318    | 05    | SECRETARY                                    | IR | 0501     | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13966                   | GS   | 0318    | 05    | SECRETARY                                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 13968                   | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 13971                   | GS   | 0318    | 05    | SECRETARY                                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13975                   | GS   | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0303     | 10 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 13976                   | GS   | 0501    | 13    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0501     | 04 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | CUSTOMER ACCTG<br>SERVICES (CAS      |   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | . OGE<br>2635.101  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>ımber | e E | mploye | e Sei | (2)<br>ries, Grade and Job<br>Title        | Di | isciplini | 0  | (3)<br>fficial Series, Grade<br>I Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated                | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|--------|-------|--|----|-----------|----|---|--------------------------------------|---|--|--|-----------------|---|---|--|--------------------------------|--|--|---|--|
| 2007 | 13985                   | GS  | 0356   | 04    | DATA TRANSCRIBER                           | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 4  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                | ADMONIS<br>HMENT                                     |
| 2007 | 13988                   | GS  | 0356   | 04    | DATA TRANSCRIBER                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 13997                   | GS  | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 5  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL                                 | SUSPENSI<br>ON 30<br>DAYS                            |
| 2007 | 14003                   | GS  | 0592   | 04    | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>31 CFR<br>PART 0   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14005                   | GS  | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | OGDEN ACCOUNTS<br>MANAGEMENT         | DISRUPTION OF<br>WORK FIGHTING.<br>PHYSICAL<br>ASSAULTS, AND<br>THREATS               | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | SUSPENSI<br>ON 30<br>DAYS                            |
| 2007 | 14006                   | GS  | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14009                   | GS  | 0356   | 04    | DATA TRANSCRIBER                           | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14011                   | GS  | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 14025                   | GS  | 0501   | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14026                   | GS  | 0526   | 11    | TAX TECHNICIAN                             | IR | 0526      | 01 | TAX TECHNICIAN                              | CUST AST,<br>RELATNSHP &<br>ED(CARE) | DISCOURTEOUS<br>CONDUCT<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 5  | SUSPENSIO<br>N 1 DAYS                        | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14029                   | GS  | 0342   | 12    | SUPPORT SERVICES<br>ADMINISTRATION         | IR | 0342      | 01 | SUPPORT SERVICES<br>ADMINISTRATION          | REAL ESTATE &<br>FAC MGMT            | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>SAFETY AND<br>PHYSICAL<br>SECURITY<br>MATTERS | NOT<br>STATED                          | 2004-2007                              | MULTIPL         | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14043                   | GS  | 0356   | 04    | DATA TRANSCRIBER                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | REPRIMA<br>ND  |
| 2007 | 14044                   | GS  | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | RULE OF<br>CONDUCT<br>(IRS<br>INTERIM<br>RULES OF<br>CONDUCT<br>) 215.3 |   | 2007                                   | 2007                           | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | En | nploye | e Ser | (2)<br>ries, Grade and Job<br>Title  | D  | Disciplini | •  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed   | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|----|--------|-------|--------------------------------------|----|------------|----|--|--------------------------------------|--|--|--|--------------------|--|---|--|--------------------------------|---|--|---|--|
| 2007 | 14055                  |    |        |       |                                      | ES | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                    | NOT<br>STATED                          | 2000-2003                              | E                  | SECURITY<br>RULE   |   | 2007                                   |                                |   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ON 30<br>DAYS                             | NOT<br>STATED  |
| 2007 | 14057                  |    |        |       | TAX EXAMINING                        | ES | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | DISRUPTION OF<br>WORK TAXES<br>FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | E                  | 2635.809<br>OTHER - 5<br>CFR 2635                        | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 15 DAYS                       | ON 10<br>DAYS                             | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 14065                  | GS | 0305   | 04    | MAIL AND FILE                        | IR | 0301       | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | AUSTIN<br>SUBMISSION<br>PROCESSING   | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS       | NOT<br>STATED                          | 2004-2007                              | MULTIPL            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | (PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 14081                  | GS | 0592   | 06    | TAX EXAMINING                        | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | REPRIMAN<br>D                             | NOT<br>STATED  |
| 2007 | 14092                  | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE            | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                    | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | COUNSELI<br>NG                            | -  |
| 2007 | 14095                  | GS | 0201   | 12    | PERSONNEL<br>MANAGEMENT              | IR | 0201       | 01 | PERSONNEL<br>MANAGEMENT                      | EMPLOYEE<br>SUPPORT<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | REPRIMAN<br>D                             | NOT<br>STATED  |
| 2007 | 14096                  |    |        |       | DATA TRANSCRIBER                     |    | 0340       |    | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | E                  | STATED   | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 3 DAYS                        | ON 3<br>DAYS                              | ADMONIS<br>HMENT                                     |
| 2007 | 14106                  | GS | 0962   | 05    | CONTACT<br>REPRESENTATIVE            | ES | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | (PROBATI<br>ON                            | NOT<br>STATED  |
| 2007 | 14108                  | GS | 0391   | 11    | TELECOMMUNICATIO<br>NS               | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       |  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | REPRIMAN<br>D                             | NOT<br>STATED  |
| 2007 | 14109                  | GS | 0503   | 07    | FINANCIAL CLERICAL<br>AND ASSISTANCE | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                    | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           |   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | NOT<br>STATED  |
| 2007 | 14115                  | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE            | IR | 0301       | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           |   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | (PROBATI<br>ON                            | NOT<br>STATED  |
| 2007 | 14140                  |    | 1169   |       | INTERNAL REVENUE<br>OFFICER          |    |            |    | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | DISRUPTION OF<br>WORK  | NOT<br>STATED                          | 2004-2007                              | E                  | STATED   | NOT<br>STATED   | 2007                                   | 2007                           |   | SUSPENSIO<br>N 15 DAYS                       | ON 15<br>DAYS                             | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 14153                  | GS | 0356   | 04    | DATA TRANSCRIBER                     | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           |   | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                          | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye |    | (2)<br>ies, Grade and Job<br>Title | Dis | sciplini |    | (3)<br>Ifficial Series, Grade<br>J Job Title | (4)<br>Employee Office<br>Code         | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>iduct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | Discipline<br>Proposed | Y<br>Discij<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|----|------------------------------------|-----|----------|----|--|--|--|--|--|--------------------------|--|---|------------------------|------------------------------|--|---|---|--|
| 2007 | 14156                   | GS   | 0356   | 04 | DATA TRANSCRIBER                   | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION                    | ATTENDANCE-<br>RELATED   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED   | 2007                   | 2007                         | 3  | SUSPENSIO<br>N 5 DAYS                     | ON 3                                    | NOT<br>STATED  |
| 2007 | 14160                   | GS   | 0930   | 13 | HEARINGS AND<br>APPEALS            | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PROCESSING<br>FIELD OPERATIONS<br>EAST | OFFENSES<br>NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                                   | <= \$500                               | NOT<br>STATED                          | ONE                      | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | DAYS<br>REPRIMAN<br>D                   | NOT<br>STATED  |
| 2007 | 14163                   | GS   | 0930   | 14 | HEARINGS AND<br>APPEALS            | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD OPERATIONS<br>WEST               |  | NOT<br>STATED                          | 2004-2007                              | ONE                      | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14165                   | GS   | 0930   | 14 | HEARINGS AND<br>APPEALS            | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD OPERATIONS<br>WEST               |  | NOT<br>STATED                          | 2004-2007                              | ONE                      | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14183                   | GS   | 0592   | 07 | TAX EXAMINING                      | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV        | FALSE OR<br>MISLEADING<br>STATEMENTS   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14189                   | GS   | 0592   | 07 | TAX EXAMINING                      | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI   | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | ADMONIS<br>HMENT                                     |
|      | 14191                   | GS   |        |    | MAIL AND FILE                      | IR  |          | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI   | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | E                        | IRM<br>6.752.1.8   | NOT<br>STATED   | 2007                   | 2007                         | 2  | SUSPENSIO<br>N 3 DAYS                     | ON 3<br>DAYS                            | REPRIMA<br>ND  |
| 2007 | 14192                   | GS   | 0962   | 05 | CONTACT<br>REPRESENTATIVE          | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT     | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED   | 2007                   | 2007                         | 2  | N 3 DAYS                                  | ON 3<br>DAYS                            | NOT<br>STATED  |
| 2007 | 14199                   | GS   | 1169   | 09 | INTERNAL REVENUE<br>OFFICER        | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                             | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14204                   | GS   | 1169   | 11 | INTERNAL REVENUE<br>OFFICER        | IR  | 0592     | 08 | TAX EXAMINING                                | COLLECTION                             | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY   | > \$2000                               | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14225                   | IR   | 0526   | 05 | TAX TECHNICIAN                     | IR  | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE)   | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY SAFETY<br>AND PHYSICAL<br>SECURITY<br>MATTERS | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED   | 2007                   | 2007                         | 2  | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 14231                   | GS   | 0512   | 09 | INTERNAL REVENUE<br>AGENT          | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                            | FAILURE TO<br>REPORT<br>VIOLATIONS OF<br>LAW OR OTHER<br>MISCONDUCT                              | <= \$500                               | 2000-2003<br>2004-2007                 |                          | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 14254                   | GS   | 0592   | 06 | TAX EXAMINING                      | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO             | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                      | OTHER -<br>31 CFR<br>PART 0                              | NOT<br>STATED   | NOT<br>APPLICAB<br>LE  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14265                   | GS   | 0356   | 04 | DATA TRANSCRIBER                   | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO             | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                      | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14277                   | GS   | 0305   | 04 | MAIL AND FILE                      | IR  | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | ATLANTA<br>ACCOUNTS<br>MANAGEMENT      | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED   | 2007                   | 2007                         | 3  |   | SUSPENSI<br>ON 30<br>DAYS               | SUSPENSI<br>ON 15<br>DAYS                            |

| ALER | (1)<br>TS Case<br>Imber | e E | mploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | isciplini | •  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct               | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal I<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | (1<br>Ye<br>Discip<br>Impo<br>Elapse<br>in Mo | ear<br>bline is<br>bsed -<br>ed time | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|--------|-------|--|----|-----------|----|--|--------------------------------------|--|--|---|--------------------|--|---|--|---|--------------------------------------|---|---|--|
| 2007 | 14285                   | GS  | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>F            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14286                   | GS  | 0526   | 09    | TAX TECHNICIAN                               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                                 | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14294                   | GS  | 0343   | 14    | MANAGEMENT AND<br>PROGRAM ANALYSIS           | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | TAS SYSTEMIC<br>ADVOCANCY            | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2000-2003<br>2004-2007                    |                    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>F            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14297                   | GS  | 0303   | 03    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                                 | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>F            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14298                   | GS  | 0305   | 03    | MAIL AND FILE                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14310                   | GS  | 0592   | 06    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2000-2003                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>F            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14319                   | GS  | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                                 | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14322                   | GS  | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | RETAIL FOOD<br>PHARM & HLTH<br>CARE  | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500                             | 2004-2007                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14340                   | GS  | 2005   | 07    | SUPPLY CLERICAL<br>AND TECHNICIAN            | IR | 0201      | 04 | PERSONNEL<br>MANAGEMENT                      | AGENCY WIDE<br>SHARED SERVICES       | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                                 | ONE                | OGE<br>2635.101  | STATED  | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14342                   | GS  | 0592   | 05    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14344                   | IR  | 0592   | 09    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14354                   | GS  | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0356      | 11 | DATA TRANSCRIBER                             | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14361                   | GS  | 2210   | 13    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210      | 01 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O > \$2000                             | 2004-2007                                 | MULTIPL<br>E       | OGE<br>2635.101  |   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14364                   | GS  | 0526   |       | TAX TECHNICIAN                               | IR | 0301      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        |   | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 14366                   | GS  | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O > \$2000                             | 2004-2007                                 | MULTIPL<br>E       | NOT<br>STATED  | PERSONA<br>L<br>PROBLEM<br>S                          | APPLICAB                               |   | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | En | nployee |    | (2)<br>ies, Grade and Job<br>Title      | Di | sciplini | 0  | (3)<br>/fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed   | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|---------|----|---|----|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|---|--|---|--|
| 2007 | 14367                   | GS | 0343    | 12 | MANAGEMENT AND<br>PROGRAM ANALYSIS      | IR | 0343     | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           |                                      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                          | NOT<br>STATED  |
| 2007 | 14368                   | GS | 0592    | 07 | TAX EXAMINING                           | IR | 0512     | 01 | INTERNAL REVENUE<br>AGENT                    | SPECIALITY<br>PROGRAMS               | TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | <= \$500                               | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | NG  |  |
| 2007 | 14381                   | GS | 0305    | 04 | MAIL AND FILE                           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | <= \$500                               | 2004-2007                              | -               | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                          | NOT<br>STATED  |
| 2007 | 14388                   | GS | 1101    | 09 | GENERAL BUSINESS<br>AND INDUSTRY        | IR | 1101     | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                          | NOT<br>STATED  |
| 2007 | 14390                   | GS | 1101    | 09 | GENERAL BUSINESS<br>AND INDUSTRY        | IR | 1101     | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                          | NOT<br>STATED  |
| 2007 | 14405                   |    |         |    | DATA TRANSCRIBER                        |    |          | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | E               | STATED   | NOT<br>STATED   |  | 2007                           | 1   | N 14 DAYS                                    | ON 14<br>DAYS                             | SUSPENSI<br>ON 1<br>DAYS                             |
| 2007 | 14411                   | GS | 0592    | 07 | TAX EXAMINING                           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D                             | NOT<br>STATED  |
| 2007 | 14421                   | GS | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D                             | NOT<br>STATED  |
| 2007 | 14424                   | GS | 0592    | 05 | TAX EXAMINING                           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D                             | NOT<br>STATED  |
| 2007 | 14430                   | GS | 0356    | 04 | DATA TRANSCRIBER                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            | REPRIMAN<br>D                             | NOT<br>STATED  |
| 2007 | 14434                   | GS | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | FIGHTING.<br>PHYSICAL<br>ASSAULTS, AND<br>THREATS             | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 5   | SUSPENSIO<br>N 15 DAYS                       | REPRIMAN<br>D                             | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 14435                   | GS | 1169    | 09 | INTERNAL REVENUE<br>OFFICER             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY            | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D                             | ADMONIS<br>HMENT                                     |
| 2007 | 14439                   | GS | 0592    | 05 | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D                             | NOT<br>STATED  |
| 2007 | 14444                   |    | 0303    |    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES |          | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              |                 | NOT<br>STATED  | NOT<br>STATED   | 2007                                   |                                | 2   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | (PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 14446                   | GS | 0305    | 03 | MAIL AND FILE                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              |                 | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                          | NOT<br>STATED  |

|      | (1)<br>TS Case | e Er | nploye | e Sei | (2)<br>ries, Grade and Job              | Di | sciplini | ng C | (3)<br>Official Series, Grade | (4)<br>Employee Office               | (5)<br>Offense - Nature   | (6)<br>Monetary     | (7<br>Temporal       | ,            | (8)<br>Std of                           | (9)<br>Mitigating                | (10)<br>Year           | · ·            | l1)<br>ear                             | (12)<br>Type of        | (13)<br>Actual            | (14)<br>Prior                      |
|------|----------------|------|--------|-------|---|----|----------|------|-------------------------------|--------------------------------------|---|---------------------|----------------------|--------------|---|----------------------------------|------------------------|----------------|--|------------------------|---------------------------|------------------------------------|
| Nu   | ımber          |      |        |       | Title                                   |    |          | and  | d Job Title                   | Code                                 | of misconduct   | Value of<br>Offense | Miscor<br>Multiple ( |              | Conduct<br>or IRS<br>Policy<br>Violated | Factors<br>Offered &<br>Accepted | Discipline<br>Proposed | Impo<br>Elapse | oline is<br>osed -<br>ed time<br>onths | Discipline<br>Proposed | Discipline<br>Imposed     | Sanction<br>Cited in<br>Discipline |
| 2007 | 14447          | GS   | 0305   | 03    | MAIL AND FILE                           | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT         | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                                      | NOT<br>STATED       | 2004-2007            | MULTIPL<br>E | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007           | NOT<br>APPLI<br>CABL                   | NOT<br>APPLICABLE      | REPRIMAN<br>D             | ADMONIS<br>HMENT                   |
| 2007 | 14460          | GS   | 0962   | 06    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02   | PROGRAM<br>MANAGEMENT         | FRESNO<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES                                      | NOT<br>STATED       | 2004-2007            | ONE          | IRM 6.735                               | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007           | NOT<br>APPLI<br>CABL<br>F              | NOT<br>APPLICABLE      | REPRIMAN<br>D             | NOT<br>STATED                      |
| 2007 | 14467          | GS   | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT         | CINCINNATI<br>SUBMISSION<br>PROCESSI | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                                    | NOT<br>STATED       | 2004-2007            | ONE          | NOT<br>STATED                           | NOT<br>STATED                    | 2007                   | 2007           | 1                                      |                        | SUSPENSI<br>ON 14<br>DAYS | SUSPENS<br>ON 3<br>DAYS            |
| 2007 | 14468          | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES | 0340     | 00   | PROGRAM<br>MANAGEMENT         | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES                                      | NOT<br>STATED       | 2004-2007            | ONE          | NOT<br>STATED                           | NOT<br>STATED                    | 2007                   | 2007           | 2                                      | (PROBATION<br>PERIOD   |                           | NOT<br>STATED                      |
| 2007 | 14477          | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT         | FIELD SUB PROC -<br>FRESNO           | OTHER -<br>ATTEMPTED IDRS<br>ACCESS                                     | NOT<br>STATED       | 2004-2007            | ONE          | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007           | NOT<br>APPLI<br>CABL<br>F              | NOT<br>APPLICABLE      | REPRIMAN                  | NOT<br>STATED                      |
| 2007 | 14500          | GS   | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT         | FIELD SUB PROC -<br>FRESNO           | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                                    | NOT<br>STATED       | 2004-2007            | MULTIPL<br>E | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007           | NOT<br>APPLI<br>CABL                   | NOT<br>APPLICABLE      | REPRIMAN<br>D             | NOT<br>STATED                      |
| 2007 | 14503          | GS   | 0356   | 03    | DATA TRANSCRIBER                        | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT         | FIELD SUB PROC -<br>FRESNO           | DISRUPTION OF<br>WORK   | NOT<br>STATED       | 2004-2007            | MULTIPL<br>E | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007           | NOT<br>APPLI<br>CABL                   | NOT<br>APPLICABLE      | REPRIMAN<br>D             | NOT<br>STATED                      |
| 2007 | 14511          | GS   | 0512   | 11    | INTERNAL REVENUE<br>AGENT               | GS | 1169     | 14   | INTERNAL REVENUE<br>OFFICER   | SPECIALITY<br>PROGRAMS               | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                                    | NOT<br>STATED       | 2004-2007            | ONE          | OGE<br>2635.101<br>OGE<br>2635.705      | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007           | NOT<br>APPLI<br>CABL                   | NOT<br>APPLICABLE      | REPRIMAN<br>D             | NOT<br>STATED                      |
| 2007 | 14513          | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02   | PROGRAM<br>MANAGEMENT         | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | DISRUPTION OF<br>WORK FIGHTING.<br>PHYSICAL<br>ASSAULTS, AND<br>THREATS | NOT<br>STATED       | 2004-2007            | MULTIPL<br>E |   | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007           | NOT<br>APPLI<br>CABL<br>E              | NOT<br>APPLICABLE      | REPRIMAN<br>D             | NOT<br>STATED                      |
| 2007 | 14536          | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT         | AUSTIN ACCOUNTS<br>MANAGEMENT        | ATTENDANCE-<br>RELATED<br>OFFENSES                                      | NOT<br>STATED       | 2004-2007            | MULTIPL<br>E | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007           | NOT<br>APPLI<br>CABL<br>F              | NOT<br>APPLICABLE      | REPRIMAN<br>D             | WRITTEN<br>COUNSEI<br>NG           |
| 2007 | 14541          | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | ES | 0340     | 00   | PROGRAM<br>MANAGEMENT         | AUSTIN ACCOUNTS<br>MANAGEMENT        | ATTENDANCE-<br>RELATED<br>OFFENSES                                      | NOT<br>STATED       | 2004-2007            | MULTIPL<br>E | NOT<br>STATED                           | NOT<br>STATED                    | 2007                   | 2007           | 2                                      | SUSPENSIO<br>N 15 DAYS | SUSPENSI<br>ON 15<br>DAYS | SUSPENS<br>ON 3<br>DAYS            |
| 2007 | 14550          | GS   | 1169   | 12    | INTERNAL REVENUE<br>OFFICER             | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT         | COLLECTION                           | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                      | NOT<br>STATED       | NOT<br>STATED        | ONE          | OGE<br>2635.101                         | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007           | NOT<br>APPLI<br>CABL<br>E              | NOT<br>APPLICABLE      | ADMONISH<br>MENT          | NOT<br>STATED                      |
| 2007 | 14553          | GS   | 0592   | 07    | TAX EXAMINING                           | ES | 0340     | 00   | PROGRAM<br>MANAGEMENT         | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                                      | NOT<br>STATED       | 2004-2007            | MULTIPL<br>E | NOT<br>STATED                           | NOT<br>STATED                    | 2007                   | 2007           | 2                                      |                        | SUSPENSI<br>ON 15<br>DAYS | NOT<br>STATED                      |
| 2007 | 14569          | GS   | 0305   | 03    | MAIL AND FILE                           | ES | 0340     | 00   | PROGRAM<br>MANAGEMENT         | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES                                      | NOT<br>STATED       | 2004-2007            | ONE          | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007           | NOT<br>APPLI<br>CABL<br>F              | NOT<br>APPLICABLE      | ADMONISH                  | NOT<br>STATED                      |
| 2007 | 14571          | GS   | 0512   | 12    | INTERNAL REVENUE<br>AGENT               | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT         | EXAMINATION                          | CONDUCT<br>UNBECOMING<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS           | NOT<br>STATED       | 2004-2007            | ONE          | OGE<br>2635.705                         | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007           | NOT<br>APPLI<br>CABL<br>E              | NOT<br>APPLICABLE      | REPRIMAN<br>D             | NOT<br>STATED                      |

| ALER | (1)<br>TS Case<br>Imber | ε  | mploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range o<br>duct / | (8)<br>f Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|-------------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 14573                   | GS | 0303   | 04    | CLERK AND<br>ASSISTANT                       | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              |                   | IDRS<br>SECURITY<br>RULE                                   |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | STATED   |
| 2007 | 14580                   | GS |        | 09    | INTERNAL REVENUE<br>AGENT                    |    | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | PROBLEMS WITH<br>FINANCIAL<br>AFFAIRS                         | > \$2000                               | 2004-2007                              |                   | OTHER -<br>OGE<br>STANDAR<br>DS<br>SUBPART<br>S A & H      | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | STATED   |
| 2007 | 14598                   | GS | 0344   | 06    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007                              | ONE               | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14606                   | GS | 0526   | 09    | TAX TECHNICIAN                               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD OPERATIONS<br>WEST             | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007                              | ONE               | OGE<br>2635.809  | FIRST<br>OFFENSE                                      | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14608                   | GS |        |       | INTERNAL REVENUE<br>OFFICER                  |    |          | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007                              | E                 | 2635.809   | STATED  | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 2 DAYS                     | SUSPENSI<br>ON 2<br>DAYS                | STATED   |
| 2007 | 14611                   | GS | 2210   | 11    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007                              | ONE               | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 14613                   | IR | 0260   | 13    | EQUAL<br>EMPLOYMENT<br>OPPORTUNITY           | IR | 0260     | 01 | EQUAL EMPLOYMENT<br>OPPORTUNITY              | EEO & DIVERSITY<br>FIELD OFFICES     | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007                              | ONE               | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14614                   | GS | 1811   | 12    | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS       | GOVT CREDIT<br>CARD PROBLEMS                                  | > \$2000                               | 2004-2007                              | ONE               | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14615                   | GS | 0343   | 13    | MANAGEMENT AND<br>PROGRAM ANALYSIS           |    | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS                                  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE               | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14616                   | GS | 0512   | 07    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS                                  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE               | OGE<br>2635.809  | POTENTIA<br>L FOR<br>REHABILIT<br>ATION               | APPLICAB                               | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14617                   | GS | 0501   | 14    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0343     | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | COMPLIANCE                           | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007                              | ONE               | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14624                   | GS | 0526   | 09    | TAX TECHNICIAN                               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS                                  | \$1501-<br>\$2000                      | 2004-2007                              | ONE               | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | REPRIMA<br>ND  |
| 2007 | 14626                   | GS | 1169   | 07    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007                              | ONE               | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14629                   | GS | 2210   | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007                              | ONE               | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14630                   | GS | 0201   | 13    | PERSONNEL<br>MANAGEMENT                      | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | ASSOC CIO FOR<br>MANAGEMENT          | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007                              | ONE               | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | E<br>NOT<br>APPLI<br>CABL<br>F                       | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye |    | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini |    | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code    | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|----|--|----|----------|----|--|-----------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 14631                   | GS   | 2210   | 05 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210     | 01 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT       | GOVT CREDIT<br>CARD PROBLEMS  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14632                   | GS   | 1169   | 09 | INTERNAL REVENUE<br>OFFICER                  | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                        | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                           | 2   | SUSPENSIO<br>N 30 DAYS                    | SUSPENSI<br>ON 30<br>DAYS               | NOT<br>STATED  |
| 2007 | 14633                   | GS   | 2210   | 11 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210     | 04 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ACIO ENTERPRISE<br>SERVICES       | GOVT CREDIT<br>CARD PROBLEMS  | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 14635                   | GS   | 0201   | 11 | PERSONNEL<br>MANAGEMENT                      | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE           | GOVT CREDIT<br>CARD PROBLEMS  | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 14636                   | GS   | 0343   | 15 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | AD | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | NATIONAL<br>TAXPAYER<br>ADVOCATE  | GOVT CREDIT<br>CARD PROBLEMS  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 14637                   | GS   | 0342   | 11 | SUPPORT SERVICES<br>ADMINISTRATION           | IR | 0342     | 01 | SUPPORT SERVICES<br>ADMINISTRATION           | REAL ESTATE &<br>FAC MGMT         | GOVT CREDIT<br>CARD PROBLEMS  | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14639                   | GS   | 0905   | 13 | GENERAL<br>ATTORNEY                          | IR | 0905     | 01 | GENERAL ATTORNEY                             | SPECIALITY<br>PROGRAMS            | GOVT CREDIT<br>CARD PROBLEMS  | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 14640                   | GS   | 1811   | 13 | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID ATLANTIC<br>AREA FIELD OPS | GOVT CREDIT<br>CARD PROBLEMS  | <= \$500                               | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 14642                   | GS   | 0501   | 14 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | COMMUNICATIONS,<br>LIAISON & DISC | GOVT CREDIT<br>CARD PROBLEMS<br>TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 21 DAYS                    | SUSPENSI<br>ON 21<br>DAYS               | SUSPENSI<br>ON 10<br>DAYS                            |
| 2007 | 14644                   | IR   | 2210   | 04 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES | 1530     | 00 | STATISTICIAN                                 | OFFICE OF THE<br>COMMISSIONER     | GOVT CREDIT<br>CARD PROBLEMS  | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14645                   | GS   | 2210   | 09 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS  | GOVT CREDIT<br>CARD PROBLEMS  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | NOT<br>STATED  | INADVERT<br>ENT ACT                                   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14646                   | GS   | 0512   | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL                     | GOVT CREDIT<br>CARD PROBLEMS  | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 14648                   | GS   | 0512   | 11 | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                       | GOVT CREDIT<br>CARD PROBLEMS  | <= \$500                               | 2004-2007                              | ONE                | OTHER -<br>IRS<br>TRAVEL<br>CARD<br>REGULATI<br>ONS      | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14649                   | GS   | 0318   | 07 | SECRETARY                                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS  | GOVT CREDIT<br>CARD PROBLEMS  | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OTHER -<br>SECTION<br>206 EXEC<br>ORDER                  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|------------------------------|--|--|---|--|
| 2007 | 14650                   | GS   | 1811   | 14    | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | OPERATIONS<br>POLICY &<br>SUPPORT    | GOVT CREDIT<br>CARD PROBLEMS                                  | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 14656                   | IR   | 2210   | 05    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>OPERATIONS             | OTHER - FAILURE<br>TO FOLLOW GOVT<br>TRAVEL<br>REGULATIONS    | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14657                   | GS   | 0301   | 11    | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 14660                   | GS   | 1102   | 09    | CONTRACTING                                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PROCUREMENT                          | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 14686                   | GS   | 0501   | 13    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0501     | 04 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | CUSTOMER ACCTG<br>SERVICES (CAS      | GOVT CREDIT<br>CARD PROBLEMS                                  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 14740                   | GS   | 0592   | 05    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | OTHER -<br>INAPPROPRIATE<br>BEHAVIOR                          | NOT<br>STATED                          | NOT<br>STATED                          | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14742                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 14749                   |      | 0962   |       | CONTACT<br>REPRESENTATIVE                    | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              |                    | NOT<br>STATED  | STATED  |  | 2007                         | 1  | N 5 DAYS                                     | ON 5<br>DAYS                            | ON 3<br>DAYS   |
| 2007 | 14764                   | GS   | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE                | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                         | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | NOT<br>STATED  |
| 2007 | 14778                   | GS   | 0962   |       | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG               |  |
| 2007 | 14779                   |      |        |       | TAX EXAMINING                                | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>SUBMISSION<br>PROCSG | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              |                    | IDRS<br>SECURITY<br>RULE                                 |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | COUNSELI<br>NG                          |  |
| 2007 | 14785                   | GS   | 0592   | 07    | TAX EXAMINING                                | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | OTHER - BARRED<br>STATUTE                                     | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED      | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14786                   | GS   | 0592   | 06    | TAX EXAMINING                                | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14807                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | OTHER - FAILURE<br>TO PROTECT A<br>STATUTE                    | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e Er | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | isciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|-----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 14810                   | GS   | 1169   | 09    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14812                   | GS   | 1169   | 12    | INTERNAL REVENUE<br>OFFICER                  | IR | 1101      | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14823                   | GS   | 0592   | 06    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | ONE                | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14824                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1501-<br>\$2000                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | N 5 DAYS                                  | SUSPENSI<br>ON 4<br>DAYS                | NOT<br>STATED  |
| 2007 | 14831                   | GS   | 0203   | 07    | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE      | IR | 0201      | 01 | PERSONNEL<br>MANAGEMENT                      | AGENCY WIDE<br>SHARED SERVICES       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.101  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14832                   | GS   | 0344   | 07    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EMPLOYEE<br>SUPPORT<br>SERVICES      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1501-<br>\$2000                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2007 | 14833                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | D <= \$500                             | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14834                   | GS   | 0203   | 07    | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE      | IR | 0201      | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | ADMONIS<br>HMENT                                     |
| 2007 | 14835                   | GS   | 0344   | 08    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0080      | 01 | SECURITY<br>ADMINISTRATION                   | NATIONAL<br>HEADQUARTERS             | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14839                   | GS   | 0592   | 06    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1501-<br>\$2000                      | 2000-2003                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14842                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | D > \$2000                             | 2004-2007                              | ONE                | OGE<br>2635.101  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14849                   | GS   | 1169   | 12    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.101<br>OGE<br>2635.809                       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14851                   | GS   | 0512   | 11    | INTERNAL REVENUE<br>AGENT                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAX,<br>UNDERREPORTER                                   | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14852                   | IR   | 0512   | 04    | INTERNAL REVENUE<br>AGENT                    | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | NATURAL<br>RESOURCES &<br>CONSTRUCT  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | D <= \$500                             | 2004-2007                              | ONE                | OTHER -<br>PART 752<br>OF THE<br>CFR                     | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 14858                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0512      | 04 | INTERNAL REVENUE<br>AGENT                    | EMPLOYEE PLANS                       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nployee | e Ser | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | -  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|---|--|---|--------------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 14860                   | GS   | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | = \$500                                | 2004-2007                               | MULTIPL<br>E       | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14872                   | GS   | 0203    | 07    | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE      | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                               | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14873                   | GS   | 2210    | 13    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>OPERATIONS             | TAX,<br>UNDERREPORTER                                   | > \$2000                               | 2004-2007                               | ONE                | OGE<br>2635.101  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14874                   | GS   | 0592    | 05    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR        | NOT<br>STATED                          | 2004-2007                               | ONE                | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14883                   | GS   | 0356    | 04    | DATA TRANSCRIBER                             | IR | 0356     | 11 | DATA TRANSCRIBER                             | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                               | ONE                | OGE<br>2635.801  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14887                   | GS   | 1169    | 12    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                               | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14895                   | GS   | 0201    | 09    | PERSONNEL<br>MANAGEMENT                      | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14898                   | GS   | 0303    | 05    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         |   | \$1501-<br>\$2000                      | 2004-2007                               | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14899                   | GS   | 0510    | 14    | ACCOUNTING                                   | IR | 0343     | 04 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | CFO                                  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 14906                   | GS   | 0318    | 05    | SECRETARY                                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | SPECIALITY<br>PROGRAMS               | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1501-<br>\$2000                      | 2004-2007                               | ONE                | OGE<br>2635.101  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14908                   | GS   | 0525    | 08    | ACCOUNTING<br>TECHNICIAN                     | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14909                   | GS   | 0343    | 14    | MANAGEMENT AND<br>PROGRAM ANALYSIS           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | INTERNATIONAL                        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2000-2003                               | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14912                   | GS   | 0303    | 03    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                               | ONE                | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14918                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 2   | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 14923                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber |    |      |    | (2)<br>ies, Grade and Job<br>Title      |    | isciplini | 0  | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | 11)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|------|----|---|----|-----------|----|--|--------------------------------------|---|--|--|--------------------------------|--|-----------------------|--|------------------------------|--|---|---|--|
| 2007 | 14935                   | GS | 0592 | 06 | TAX EXAMINING                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE                            | OGE<br>2635.809  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14936                   | GS | 1811 | 12 | CRIMINAL<br>INVESTIGATING               | IR | 1811      | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS       | TAX,<br>UNDERREPORTER   | <= \$500                               | 2004-2007                              | MULTIPL<br>E                   | OGE<br>2635.809  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14946                   | GS | 1169 | 07 | INTERNAL REVENUE<br>OFFICER             | IR | 1169      | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | MULTIPI<br>E                   | NOT<br>STATED  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14961                   | GS | 0962 | 06 | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                            | OGE<br>2635.809  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 14962                   | GS | 0303 | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | STATED                                 | 2004-2007                              | MULTIPL<br>E                   | NOT<br>STATED  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14965                   | GS | 1171 | 13 | APPRAISING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        |                                      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1500                                 | 2004-2007                              | ONE                            | OGE<br>2635.809  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 14968                   | GS | 0962 | 08 | CONTACT<br>REPRESENTATIVE               | IR |           | 07 | CONTACT<br>REPRESENTATIVE                    | OGDEN<br>COMPLIANCE<br>SERVICES      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1000                                 |  | ONE                            | NOT<br>STATED  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14974                   | GS | 0512 | 13 | INTERNAL REVENUE<br>AGENT               | IR | 0512      | 04 | INTERNAL REVENUE<br>AGENT                    | RETAIL FOOD<br>PHARM & HLTH<br>CARE  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | <= \$500                               | 2004-2007                              | ONE                            | OGE<br>2635.809  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 14979                   |    | 0592 |    | TAX EXAMINING                           | IR |           | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          |  | MULTIPL<br>E                   | STATED   | STATED                | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | STATED   |
| 2007 | 14983                   | GS | 0305 | 03 | MAIL AND FILE                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | > \$2000                               | 2000-2003<br>2004-2007                 | MULTIPI<br>E                   | OGE<br>2635.809  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14986                   | GS | 0356 | 04 | DATA TRANSCRIBER                        | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                   | NOT<br>STATED  | NOT<br>STATED         | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 14987                   | GS | 0356 | -  | DATA TRANSCRIBER                        |    | 0301      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>SUBMISSION<br>PROCESS | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                          | NOT<br>STATED                          | 2004-2007                              | ONE                            | NOT<br>STATED  | STATED                | 2007                                   | 2007                         | 1  | (PROBATION<br>PERIOD<br>COMPLETE)         | ON<br>PERIOD<br>COMPLET<br>E)           | NOT<br>STATED  |
| 2007 | 14996                   |    | 1101 |    | GENERAL BUSINESS<br>AND INDUSTRY        |    |           | 01 | GENERAL BUSINESS<br>AND INDUSTRY             |                                      | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY            |  | 2004-2007                              | -                              | NOT<br>STATED  | STATED                | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | APPLICABLE                                |   | STATED   |
| 2007 | 14998                   | GS | 0592 | 06 | TAX EXAMINING                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                   | NOT<br>STATED  | NOT<br>STATED         | 2007                                   | 2007                         | 1  | N 5 DAYS                                  | SUSPENSI<br>ON 5<br>DAYS                | WRITTEN<br>COUNSELI<br>NG                            |

| ALER | (1)<br>TS Case<br>mber | En | nployee |    | (2)<br>ies, Grade and Job<br>Title           | Di | sciplinii | 0  | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal I<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|----|---------|----|--|----|-----------|----|--|--------------------------------------|---|--|---|-------------------------|--|---|--|--------------------------------|---|--|--|--|
| 2007 | 15011                  | GS | 0318    | 05 | SECRETARY                                    | IR | 0512      | 01 | INTERNAL REVENUE<br>AGENT                    | SPECIALITY<br>PROGRAMS               | DISCOURTEOUS<br>CONDUCT<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>OTHER -<br>UNACCEPTABLE<br>PERFORMANCE | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 15014                  | GS | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 15017                  | GS | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                                 | ONE                     | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 15018                  | GS | 0503    | 08 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 15031                  | GS | 1654    | 12 | PRINTING<br>MANAGEMENT                       | IR | 1654      | 01 | PRINTING<br>MANAGEMENT                       | CUST AST,<br>RELATNSHP &<br>ED(CARE) | MISUSE OF<br>GOVERNMENT<br>PROPERTY   | NOT<br>STATED                          | 2004-2007                                 | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 15032                  |    | 0592    |    | TAX EXAMINING                                | IR |           | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                                 |                         | NOT<br>STATED  | NOT<br>STATED   |  | 2007                           | 1   | SUSPENSIO<br>N 1 DAYS                        | ON 1<br>DAYS   | NOT<br>STATED  |
| 2007 | 15034                  | GS | 0592    | 05 | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                                 | ONE                     | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 15053                  | GS | 0962    | 07 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | IMPROPER<br>DISCLOSURES   | NOT<br>STATED                          | 2004-2007                                 | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 15086                  | GS | 2210    | 13 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES | 0340      |    | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>NETWORKS               | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 15088                  | GS | 0503    | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                                 | ONE                     | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 15104                  | GS | 0512    | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0930      | 04 | HEARINGS AND<br>APPEALS                      | FIELD OPERATIONS<br>WEST             | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | REPRIMA<br>ND  |
| 2007 | 15112                  | GS | 0356    | 02 | DATA TRANSCRIBER                             | IR | 0301      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>SUBMISSION<br>PROCESS | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                                 | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e Er | nployee |    | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>iduct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated |                          | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | 11)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed        | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|--|----|----------|----|--|--------------------------------------|---|--|--|--------------------------|--|--------------------------|--|------------------------------|--|---|--|--|
| 2007 | 15114                   | GS   | 2210    | 12 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210     | 01 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | OTHER - CONDUCT<br>UNBECOMING<br>SAFETY AND<br>PHYSICAL<br>SECURITY<br>MATTERS          | NOT<br>STATED                          | 2004-2007                              | MULTIPL                  | OTHER -<br>18 USC<br>SECT<br>1361/1512(<br>D)            | STATED                   | 2007                                   | 2007                         | 1  | INDEFINITE<br>SUSPENSIO<br>N              | INDEFINIT<br>E<br>SUSPENSI<br>ON               | NOT<br>STATED  |
| 2007 | 15117                   | GS   | 0592    | 07 | TAX EXAMINING                                | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | DEBT COLLECTION   | \$1501-<br>\$2000                      | 2004-2007                              | ONE                      | OGE<br>2635.809  | NOT<br>STATED            | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2007 | 15118                   | GS   | 0592    | 06 | TAX EXAMINING                                | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | DEBT COLLECTION   | <= \$500                               | 2004-2007                              | ONE                      | OGE<br>2635.809  | NOT<br>STATED            | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2007 | 15121                   | GS   | 0301    | 13 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EMPLOYEE<br>SUPPORT<br>SERVICES      | DEBT COLLECTION   | > \$2000                               | 2004-2007                              | ONE                      | OGE<br>2635.809  | NOT<br>STATED            | NOT<br>APPLICAB<br>LE                  | 2007                         | E<br>NOT<br>APPLI<br>CABL<br>E                       | NOT<br>APPLICABLE                         |  | NOT<br>STATED  |
| 2007 | 15129                   | GS   | 0305    | 03 | MAIL AND FILE                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED            | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 15142                   | GS   | 0512    | 12 | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                                      | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED            | 2007                                   | 2007                         | 3  | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                       | NOT<br>STATED  |
| 2007 | 15143                   | GS   | 0962    | 06 | CONTACT<br>REPRESENTATIVE                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | OTHER - ARREST<br>FOR CRIME WHICH<br>MAY LEAD TO<br>IMPRISONMENT                        |  | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED            | 2007                                   | 2007                         | 1  | INDEFINITE<br>SUSPENSIO<br>N              | INDEFINIT<br>E<br>SUSPENSI<br>ON               | NOT<br>STATED  |
| 2007 | 15145                   | GS   | 0303    | 03 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | 7- DAY<br>SUSPENSI<br>ON | 2007                                   | 2007                         | 1  | (PROBATION<br>PERIOD                      | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET | SUSPENSI<br>ON 30<br>DAYS                            |
| 2007 | 15154                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE                      | IRM 6.735  | NOT<br>STATED            | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 7 DAYS                     | SUSPENSI<br>ON 7<br>DAYS                       | REPRIMA<br>ND  |
| 2007 | 15155                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | GOVT CREDIT<br>CARD PROBLEMS<br>TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED            | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 14 DAYS                    | SUSPENSI<br>ON 14<br>DAYS                      | ADMONIS<br>HMENT                                     |
| 2007 | 15173                   | IR   | 1169    | 05 | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | OTHER - BARRED<br>STATUTES  | NOT<br>STATED                          | NOT<br>STATED                          | ONE                      | NOT<br>STATED  |                          | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |  | NOT<br>STATED  |
| 2007 | 15183                   | GS   | 0303    | 05 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | OTHER - UNAX<br>VIOLATION   | NOT<br>STATED                          | NOT<br>STATED                          | ONE                      | NOT<br>STATED  | NOT<br>STATED            | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2007 | 15191                   | GS   | 0303    | 05 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | MISUSE OF<br>GOVERNMENT<br>PROPERTY   | NOT<br>STATED                          | 2004-2007                              | ONE                      | OGE<br>2635.704  | NOT<br>STATED            | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |  | NOT<br>STATED  |

|      | (1)<br>TS Case<br>Imber | e En | nploye | e Se | (2)<br>ries, Grade and Job<br>Title          | D  | Disciplini | -  | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated      | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elaps | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|------|--|----|------------|----|--|--------------------------------------|---|--|--|-----------------|---|---|--|-------------------------|--|--|---|--|
| 2007 | 15203                   | GS   | 0303   | 04   | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15204                   | GS   | 0592   | 06   | TAX EXAMINING                                | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2000-2003                              | ONE             | IDRS<br>SECURITY<br>RULE                                      |   | 2007                                   | 2007                    | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | NOT<br>STATED  |
| 2007 | 15205                   | GS   | 0592   | 06   | TAX EXAMINING                                | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15216                   | GS   | 0962   | 08   | CONTACT<br>REPRESENTATIVE                    | IR | 0301       | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | INTERNET / EMAIL<br>MISUSE                                    | <= \$500                               | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                    | 3  | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 20<br>DAYS               | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 15222                   | GS   | 1169   | 09   | INTERNAL REVENUE<br>OFFICER                  | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | OTHER -<br>ATTEMPTED<br>UNAUTHORIZED<br>ACCESS                | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 15237                   | GS   | 0303   | 04   | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                    | 2  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS               | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 15246                   | GS   | 0303   | 04   | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>IRS<br>POLICY<br>ON<br>LIMITED<br>PERSOAN<br>L USE | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15247                   | GS   | 0592   | 07   | TAX EXAMINING                                | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    |   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15248                   | GS   | 0962   | 08   | CONTACT<br>REPRESENTATIVE                    | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15254                   | GS   | 0503   | 07   | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 15259                   | GS   | 0318   | 05   | SECRETARY                                    | IR | 1169       | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 15262                   | GS   | 0592   | 07   | TAX EXAMINING                                | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | MULTIPL<br>E    | OGE<br>2635.809   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15263                   | GS   | 0503   | 07   | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.101   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15277                   | GS   | 0344   | 06   | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1001-<br>\$1500                      | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye |    | (2)<br>ies, Grade and Job<br>Title           | Di | isciplini |    | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code      | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>iduct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discij<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|----|--|----|-----------|----|--|-------------------------------------|---|--|--|--------------------------|--|---|--|------------------------------|--|---|---|--|
| 2007 | 15278                   | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15279                   | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 15280                   | GS   | 0344   | 05 | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                      | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15282                   | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                              | ONE                      | NOT<br>STATED  | FINANCIAL<br>PROBLEM<br>S                             | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15284                   | GS   | 0356   | 05 | DATA TRANSCRIBER                             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                              | ONE                      | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 15285                   | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE                      | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 15286                   | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2000-2003                              | ONE                      | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 15287                   | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 14 DAYS                    | SUSPENSI<br>ON 14<br>DAYS               | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 15288                   | GS   | 0343   | 15 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR | 0343      | 03 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | APPLICATIONS<br>DEVELOPMENT         | TAX,<br>UNDERREPORTER                                   | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 15296                   | GS   | 0318   | 05 | SECRETARY                                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | RETAIL FOOD<br>PHARM & HLTH<br>CARE | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE                      | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | ADMONIS<br>HMENT                                     |
| 2007 | 15298                   | GS   | 1811   | 13 | CRIMINAL<br>INVESTIGATING                    | IR | 1811      | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE                      | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15305                   | GS   | 0303   | 05 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE                      | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 15308                   | GS   | 0356   | 04 | DATA TRANSCRIBER                             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15312                   | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                      | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 15317                   | GS   | 0343   | 14 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR | 0343      | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | CFO                                 | TAX,<br>UNDERREPORTER                                   | NOT<br>STATED                          | 2004-2007                              | ONE                      | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e E | mploye | e Se | (2)<br>ries, Grade and Job<br>Title        | Di | isciplini |    | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct               | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>f Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|--------|------|--|----|-----------|----|---|--------------------------------------|--|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 15321                   | GS  | 0343   | 15   | MANAGEMENT AND<br>PROGRAM ANALYSIS         | IR | 0343      | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS          | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | TO NOT<br>STATED                       | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15323                   | GS  | 0501   | 11   | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | DEPUTY NATL<br>TXPYR ADVOCATE        | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO \$1001-<br>\$1500                   | 2000-2003                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15328                   | GS  | 0592   | 08   | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | TO NOT<br>STATED                       | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5<br>DAYS                | ADMONIS<br>HMENT                                     |
| 2007 | 15330                   | GS  | 0962   | 08   | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO NOT<br>STATED                       | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15335                   | GS  | 0592   | 07   | TAX EXAMINING                              | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO > \$2000                            | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15338                   | GS  | 0592   | 06   | TAX EXAMINING                              | IR | 0592      | 09 | TAX EXAMINING                               | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO > \$2000                            | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15342                   | GS  | 0962   | 08   | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO \$1001-<br>\$1500                   | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15350                   | GS  | 0343   | 15   | MANAGEMENT AND<br>PROGRAM ANALYSIS         | IR | 0343      | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS          | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | TO <= \$500                            | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15353                   | GS  | 0592   | 08   | TAX EXAMINING                              | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>COMPLIANCE<br>SERVICES | TAXES FAILURE<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | TO <= \$500                            | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15357                   | GS  | 0318   | 09   | SECRETARY                                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | DEPUTY NATL<br>TXPYR ADVOCATE        | TAXES FAILURE<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | TO NOT<br>STATED                       | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15363                   | GS  | 0356   | 04   | DATA TRANSCRIBER                           | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | TO > \$2000                            | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15369                   | IR  | 1169   | 05   | INTERNAL REVENUE<br>OFFICER                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | COLLECTION                           | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO NOT<br>STATED                       | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 15370                   | GS  | 0592   | 06   | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE 1<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO NOT<br>STATED                       | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15371                   | GS  | 0592   | 07   | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | TAXES FAILURE 1<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO <= \$500                            | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15372                   | GS  | 0930   | 14   | HEARINGS AND<br>APPEALS                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | TECHNICAL<br>SERVICES                | TAX,<br>UNDERREPORTE                                   | \$1501-<br>R \$2000                    | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e En | nployee |    | (2)<br>ies, Grade and Job<br>Title      | Di | sciplini |    | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                         | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated |               | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|---|----|----------|----|--|--------------------------------------|--|--|--|-----------------|--|---------------|--|--------------------------------|---|--|--|--|
| 2007 | 15383                   | GS   | 0356    | 04 | DATA TRANSCRIBER                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | OUTSIDE<br>EMPLOYMENT<br>VIOLATIONS                              | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.803  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 15396                   | GS   |         |    |   | IR |          | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS    | NOT<br>STATED                          | NOT<br>STATED                          | ONE             | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | COUNSELI<br>NG                                       |  |
| 2007 | 15403                   | IR   | 0503    | 08 | FINANCIAL CLERICAL<br>AND ASSISTANCE    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | CONFLICTS OF<br>INTEREST   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 15413                   | GS   | 0962    | 06 | CONTACT<br>REPRESENTATIVE               | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES                               | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | IRM 6.735  | NOT<br>STATED | 2007                                   | 2007                           | 2   | (PROBATION<br>PERIOD                         | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | REPRIMA<br>ND  |
| 2007 | 15423                   | GS   | 0592    | 09 | TAX EXAMINING                           | IR | 0340     | 05 | PROGRAM<br>MANAGEMENT                        | TECHNICAL<br>SERVICES                | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY               | > \$2000                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 1 DAYS                        | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 15425                   | GS   | 0592    | 06 | TAX EXAMINING                           | IR | 0340     | 05 | PROGRAM<br>MANAGEMENT                        | TECHNICAL<br>SERVICES                | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY               | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 15436                   | GS   | 0962    | 06 | CONTACT<br>REPRESENTATIVE               | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES                               | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 15439                   | GS   | 0962    | 07 | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES                               | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  |               | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 15451                   | GS   | 0592    | 06 | TAX EXAMINING                           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS    | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | IDRS<br>SECURITY<br>RULE                                 |               | 2007                                   | 2007                           | 3   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 15460                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | DISCOURTEOUS<br>CONDUCT  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 15470                   | GS   | 0962    | 06 | CONTACT<br>REPRESENTATIVE               | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES<br>INTERNET / EMAIL<br>MISUSE | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           | 2   | SUSPENSIO<br>N 30 DAYS                       | SUSPENSI<br>ON 30<br>DAYS                            | SUSPENSI<br>ON 2<br>DAYS                             |
| 2007 | 15480                   | GS   | 0356    | 04 | DATA TRANSCRIBER                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                               | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 15481                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | INTERNET / EMAIL<br>MISUSE                                       | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>IRS<br>POLICY<br>LIMITED<br>PERSONA<br>L USE  |               | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | Er | nployee | e Ser | (2)<br>ies, Grade and Job<br>Title          | Di | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated           | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|---------|-------|---|----|----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|------------------------------|--|--|---|--|
| 2007 | 15482                   | GS | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT     | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | 2004-2007                              | ONE                | OTHER -<br>IRS<br>POLICY<br>ON<br>LIMITED<br>PERSONA<br>L USE      | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15504                   | GS | 0335    |       | AND ASSISTANT                               | ES |          | 00 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>OPERATIONS             | OTHER - CRIMINAL<br>MISCONDUCT                                | STATED                                 | 2004-2007                              | E                  | NOT<br>STATED  | STATED  |  | 2007                         | 1  | INDEFINITE<br>SUSPENSIO<br>N                 | INDEFINIT<br>E<br>SUSPENSI<br>ON        | STATED   |
| 2007 | 15505                   | GS | 0512    | 05    | INTERNAL REVENUE<br>AGENT                   | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | MISUSE OF<br>GOVERNMENT<br>PROPERTY                           | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15529                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE                   | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                | NOT<br>STATED  |
| 2007 | 15537                   | GS | 0512    | 11    | INTERNAL REVENUE<br>AGENT                   | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | OTHER - FAILURE<br>TO PROPERLY<br>PROTECT A<br>STATUTE        | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 15539                   | GS | 0526    | 09    | TAX TECHNICIAN                              | IR | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                          | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 15555                   | IR | 0526    | 05    | TAX TECHNICIAN                              | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | OTHER - FAILURE<br>TO<br>SAFEGUARD/LOSS<br>OF LAPTOP          | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15557                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE                   | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | PROBLEMS WITH<br>FINANCIAL<br>AFFAIRS                         | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS               | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 15566                   |    |         |       | ADMINISTRATION<br>AND OFFICE<br>SUPPORT STU | IR |          | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          |  | ONE                | NOT<br>STATED  | STATED  |  | 2007                         | 2  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                | HMENT  |
| 2007 | 15582                   | GS |         | 05    | CONTACT<br>REPRESENTATIVE                   | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          |  | ONE                | IDRS<br>SECURITY<br>RULE<br>OTHER -<br>IRS CODE<br>SECTION<br>6103 |   | APPLICAB<br>LE                         | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | NG                                      |  |
| 2007 | 15607                   | GS | 0512    | 13    | INTERNAL REVENUE<br>AGENT                   | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15608                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE                   | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | IDRS<br>SECURITY<br>RULE   | STATED  | 2007                                   | 2007                         | 4  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ON<br>PERIOD<br>COMPLET<br>E)           | NOT<br>STATED  |
| 2007 | 15609                   | GS | 0303    |       | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT     | IR |          | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | SECURITY<br>RULE   | STATED  | APPLICAB<br>LE                         | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | HMENT  |
| 2007 | 15643                   | GS | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT     | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                | REPRIMA<br>ND  |

| ALER | (1)<br>TS Case<br>umber | e Er | nploye | e Ser | (2)<br>ies, Grade and Job<br>Title      | Di | sciplini | -  | (3)<br>fficial Series, Grade<br>I Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | 11)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|---|----|----------|----|--|--------------------------------------|--|--|--|-----------------|--|---|--|------------------------------|--|--|--|--|
| 2007 | 15644                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | DISRUPTION OF<br>WORK FAILURE<br>TO FOLLOW<br>INSTRUCTIONS<br>OTHER -<br>UNPROFESSIONAL<br>BEHAVIOR                              | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OTHER -<br>2635.705(A<br>)                               | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 15648                   | GS   | 0512   | 11    | INTERNAL REVENUE<br>AGENT               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 2  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |
| 2007 | 15649                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | DISRUPTION OF<br>WORK FAILURE<br>TO FOLLOW<br>INSTRUCTIONS<br>OTHER -<br>UNPROFESSIONAL<br>BEHAVIOR                              | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | ADMONIS<br>HMENT                                     |
| 2007 | 15654                   | IR   | 0544   | 08    | CIVILIAN PAY                            | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | EMPLOYEE<br>SUPPORT<br>SERVICES      | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | REPRIMA<br>ND  |
| 2007 | 15670                   | GS   | 1101   | 11    | GENERAL BUSINESS<br>AND INDUSTRY        | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2000-2003<br>2004-2007                 |                 | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                         | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 15671                   | IR   | 1169   | 05    | INTERNAL REVENUE<br>OFFICER             | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | COLLECTION                           | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 15684                   | GS   | 0592   | 05    | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 15686                   | GS   | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | ATTENDANCE-<br>RELATED<br>OFFENSES<br>DISRUPTION OF<br>WORK FAILURE<br>TO FOLLOW<br>INSTRUCTIONS                                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | STATED   |
| 2007 | 15688                   | GS   | 0592   | 06    | TAX EXAMINING                           | IR | 0592     | 09 | TAX EXAMINING                                | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR   | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 15691                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0592     | 08 | TAX EXAMINING                                | OGDEN<br>COMPLIANCE<br>SERVICES      | PAY<br>TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 15700                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | NATURAL<br>RESOURCES &<br>CONSTRUCT  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | <= \$500                               | 2004-2007                              | MULTIPL<br>E    |  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>ımber | e Er | nploye | e Ser | (2)<br>ries, Grade and Job<br>Title          | Di | isciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|-----------|----|--|--------------------------------------|---|--|---|--------------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 15701                   | GS   | 0930   | 14    | HEARINGS AND<br>APPEALS                      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | TECHNICAL<br>SERVICES                | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                               | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15702                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15703                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                               | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15704                   | IR   | 0305   | 11    | MAIL AND FILE                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                               | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15705                   | GS   | 0305   | 03    | MAIL AND FILE                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                               | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15708                   | IR   | 0962   | 07    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2004-2007                               | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15711                   | GS   | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1501-<br>\$2000                      | 2004-2007                               | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15712                   | GS   | 0344   | 05    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                               | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15713                   | GS   | 0356   | 03    | DATA TRANSCRIBER                             | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15714                   | GS   | 0203   | 05    | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE      | IR | 0201      | 04 | PERSONNEL<br>MANAGEMENT                      | AGENCY WIDE<br>SHARED SERVICES       | TAXES OTHER-<br>STATE AND LOCAL                         | \$1001-<br>\$1500                      | 2004-2007                               | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15717                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                               | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15718                   | GS   | 0356   | 04    | DATA TRANSCRIBER                             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15719                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3  | N 3 DAYS                                  | SUSPENSI<br>ON 3<br>DAYS                | ADMONIS<br>HMENT                                     |
| 2007 | 15720                   | GS   | 0344   | 07    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | NOT<br>STATED  | FINANCIAL<br>PROBLEM<br>S                             |  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15722                   | GS   | 0592   | 07    | TAX EXAMINING                                | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye | e Ser | (2)<br>ries, Grade and Job<br>Title        | Di | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 15725                   | GS   | 0305   | 04    | MAIL AND FILE                              | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2000-2003                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15729                   | GS   | 0501   | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | DEPUTY NATL<br>TXPYR ADVOCATE        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | FINANCIAL<br>PROBLEM<br>S                             |  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15730                   | GS   | 0512   | 12    | INTERNAL REVENUE<br>AGENT                  | IR | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | EMPLOYEE PLANS                       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15732                   | GS   | 0303   | 05    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAX,<br>UNDERREPORTER                                   | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15733                   | GS   | 0356   | 04    | DATA TRANSCRIBER                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 15735                   | GS   | 0592   | 07    | TAX EXAMINING                              | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15752                   | GS   | 0356   | 04    | DATA TRANSCRIBER                           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15753                   | GS   | 0592   | 05    | TAX EXAMINING                              | IR | 0592     | 10 | TAX EXAMINING                                | OGDEN<br>COMPLIANCE<br>SERVICES      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15757                   | IR   | 0501   | 07    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0343     | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | OGDEN<br>COMPLIANCE<br>SERVICES      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15762                   | GS   | 0592   | 06    | TAX EXAMINING                              | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.101  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15765                   | GS   | 0303   | 03    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15766                   | GS   | 0501   | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0526     | 05 | TAX TECHNICIAN                               | EXAMINATION                          | OTHER -<br>TAXES:TAX<br>DELINQUENCY-<br>NOT 1203        | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15767                   | IR   | 0592   | 08    | TAX EXAMINING                              | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | INADVERT<br>ENT ACT                                   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15771                   | GS   | 0356   | 03    | DATA TRANSCRIBER                           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  |   | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15774                   | GS   | 0592   | 07    | TAX EXAMINING                              | IR | 0592     | 08 | TAX EXAMINING                                | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | E  | mploye | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | isciplini |    | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code     | (5)<br>Offense - Nature<br>of misconduct                                    | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscor<br>Multiple ( | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|-------|--|----|-----------|----|---|------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 15778                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>COMPLIANCE<br>SERVICES  | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | FO \$ 501-<br>\$1000                   | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15784                   | GS | 0526   | 09    | TAX TECHNICIAN                               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                        | OTHER - TAXES:<br>NOT PAID/LATE<br>PAY                                      | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15786                   | GS | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0512      | 04 | INTERNAL REVENUE<br>AGENT                   | EMPLOYEE PLANS                     | TAXES FAILURE 1<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | FO <= \$500                            | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15787                   | GS | 0592   | 06    | TAX EXAMINING                                | IR | 0592      | 09 | TAX EXAMINING                               | FIELD SUB PROC -<br>FRESNO         | TAXES FAILURE 1<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | TO NOT<br>STATED                       | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15789                   | GS | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0512      | 04 | INTERNAL REVENUE<br>AGENT                   | EXAMINATION                        | TAXES FAILURE 1<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | FO <= \$500                            | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15790                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | OGDEN ACCOUNTS<br>MANAGEMENT       |   | FO \$ 501-<br>\$1000                   | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15791                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>ACCTS<br>MANAGEMENT | TAXES FAILURE 1<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | TO NOT<br>STATED                       | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 5 DAYS                     | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15799                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>ACCOUNTS<br>MANAGEMENT  | TAXES FAILURE 1<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | FO <= \$500                            | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15808                   | IR | 1801   | 07    | GENERAL<br>INSPECTION,<br>INVESTIGATON, & CO | IR | 1811      | 04 | CRIMINAL<br>INVESTIGATING                   | CI REFUND CRIMES                   |   | FO > \$2000                            | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15809                   | GS | 0962   | 07    | CONTACT<br>REPRESENTATIVE                    | IR | 0962      | 09 | CONTACT<br>REPRESENTATIVE                   | FRESNO<br>ACCOUNTS<br>MANAGEMENT   | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTE | STATED                                 | 2004-2007                              | ONE                | OGE<br>2635.101<br>OGE<br>2635.809                       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15815                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>ACCTS<br>MANAGEMENT | TAXES FAILURE 1<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | TO NOT<br>STATED                       | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15816                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>ACCTS<br>MANAGEMENT | TAXES FAILURE 1<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | TO NOT<br>STATED                       | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15820                   | GS | 0512   | 12    | INTERNAL REVENUE<br>AGENT                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                        | TAXES FAILURE 1<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | TO NOT<br>STATED                       | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15847                   | GS | 0962   | 06    | CONTACT<br>REPRESENTATIVE                    | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                   | ANDOVER<br>ACCOUNTS<br>MANAGEMENT  | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE                | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15855                   | GS | 0512   | 11    | INTERNAL REVENUE<br>AGENT                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                        | IMPROPER<br>DISCLOSURES   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 4   | SUSPENSIO<br>N 30 DAYS                    | SUSPENSI<br>ON 30<br>DAYS               | SUSPENSI<br>ON 15<br>DAYS                            |

| ALER | (1)<br>TS Case<br>mber | En | nployee |    | (2)<br>ies, Grade and Job<br>Title   | Di | sciplini | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|----|---------|----|--------------------------------------|----|----------|----|--|--------------------------------------|--|--|---|-------------------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 15864                  | GS | 0503    |    | FINANCIAL CLERICAL<br>AND ASSISTANCE |    | 0040     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          |   | MULTIPL<br>E            | STATED   | STATED  | 2007                                   | 2007                           | 1  | N 5 DAYS                                  | SUSPENSI<br>ON 5<br>DAYS                | ND   |
| 2007 | 15868                  | GS | 0962    | 05 | CONTACT<br>REPRESENTATIVE            | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | NOT<br>STATED                           | NOT<br>STATED           | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15871                  | GS | 0592    | 07 | TAX EXAMINING                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY   | > \$2000                               | 2004-2007                               | MULTIPL<br>E            | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15889                  | GS | 0592    | 05 | TAX EXAMINING                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>SUBMISSION<br>PROCESSING   | ATTENDANCE-<br>RELATED<br>OFFENSES<br>DISRUPTION OF<br>WORK FAILURE<br>TO FOLLOW<br>INSTRUCTIONS | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 15891                  | GS | 0962    | 08 | CONTACT<br>REPRESENTATIVE            | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E            | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15907                  | GS | 0592    | 07 | TAX EXAMINING                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY   | NOT<br>STATED                          | 2004-2007                               | ONE                     | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 15915                  | GS | 0962    | 08 | CONTACT<br>REPRESENTATIVE            | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                                    | NOT<br>STATED                          | 2004-2007                               | ONE                     | IDRS<br>SECURITY<br>RULE                                 | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 15917                  | GS | 0962    | 09 | CONTACT<br>REPRESENTATIVE            | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                                    | NOT<br>STATED                          | 2004-2007                               | ONE                     | OGE<br>2635.704  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15926                  | GS | 0930    | 13 | HEARINGS AND<br>APPEALS              | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD OPERATIONS<br>WEST             |  | NOT<br>STATED                          | 2004-2007                               | ONE                     | OGE<br>2635.705  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15930                  | IR | 0930    | 04 | HEARINGS AND<br>APPEALS              | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | FIELD OPERATIONS<br>WEST             | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY   | NOT<br>STATED                          | 2004-2007                               | ONE                     | OGE<br>2635.705  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 15943                  | GS |         |    | TAX TECHNICIAN                       | IR |          | 01 | TAX TECHNICIAN                               | COMMUNICATIONS,<br>LIAISON & DISC    | MISUSE OF<br>GOVERNMENT<br>PROPERTY<br>MISUSE OF<br>POSITION                                     | NOT<br>STATED                          |   | ONE                     | OGE<br>2635.704  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | STATED   |
| 2007 | 15953                  | GS | 0361    | 07 | EQUAL<br>OPPORTUNITY<br>ASSISTANCE   | IR | 0260     | 01 | EQUAL EMPLOYMENT<br>OPPORTUNITY              | EEO & DIVERSITY<br>FIELD OFFICES     | OTHER -<br>PROCUREMENT   | <= \$500                               | NOT<br>STATED                           | ONE                     | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 15959                  | IR | 0340    | 01 | PROGRAM<br>MANAGEMENT                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                               | ONE                     | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

|      | (1)<br>TS Case | ≏ F | mnlove | e Se | (2)<br>ries, Grade and Job                   | Di | scinlini | na O | (3)<br>fficial Series, Grade                 | (4)<br>Employee Office               | (5)<br>Offense - Nature   | (6)<br>Monetary     | (7<br>Temporal       |              | (8)<br>Std of   | (9)<br>Mitigating | (10)<br>Year           |                          | 11)<br>ear                             | (12)<br>Type of                              | (13)<br>Actual           | (14)<br>Prior |
|------|----------------|-----|--------|------|--|----|----------|------|--|--------------------------------------|---|---------------------|----------------------|--------------|---|-------------------|------------------------|--------------------------|--|--|--------------------------|---------------|
|      | mber           |     | mploye |      | Title  |    | oopiinii |      | I Job Title                                  | Code                                 | of misconduct   | Value of<br>Offense | Miscon<br>Multiple C | duct /       | Conduct<br>or IRS<br>Policy<br>Violated               | Factors           | Discipline<br>Proposed | Discip<br>Impo<br>Elapse | oline is<br>osed -<br>ed time<br>onths | Discipline<br>Proposed                       | Discipline<br>Imposed    |               |
| 2007 | 15968          | GS  | 0512   | 11   | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | ATTENDANCE-<br>RELATED<br>OFFENSES<br>NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY          | NOT<br>STATED       | 2004-2007            | MULTIPL      | NOT<br>STATED   | NOT<br>STATED     | NOT<br>APPLICAB<br>LE  | 2007                     | NOT<br>APPLI<br>CABL<br>E              | NOT<br>APPLICABLE                            | REPRIMAN<br>D            | REPRIMA<br>ND |
| 2007 | 15999          | GS  | 0962   | 06   | CONTACT<br>REPRESENTATIVE                    | IR | 0962     | 07   | CONTACT<br>REPRESENTATIVE                    | AUSTIN ACCOUNTS<br>MANAGEMENT        | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>OTHER - FAILURE<br>TO SAFEGUARD<br>TAX RETURN &<br>RETURN | NOT<br>STATED       | NOT<br>STATED        | MULTIPL<br>E | OTHER -<br>IRC 6103                                   | NOT<br>STATED     | NOT<br>APPLICAB<br>LE  | 2007                     | NOT<br>APPLI<br>CABL<br>E              | NOT<br>APPLICABLE                            |                          | NOT<br>STATED |
| 2007 | 16005          | GS  | 0356   | 04   | DATA TRANSCRIBER                             | IR | 0301     | 01   | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>SUBMISSION<br>PROCESS | INFORMATION<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS   | NOT<br>STATED       | 2004-2007            | ONE          | NOT<br>STATED   | NOT<br>STATED     | 2007                   | 2007                     | 1                                      | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |                          | NOT<br>STATED |
| 2007 | 16007          | IR  | 0335   | 09   | COMPUTER CLERK<br>AND ASSISTANT              | IR | 0340     | 06   | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | LOSS OF<br>DOCUMENTS AND<br>SECURITY<br>VIOLATIONS  | NOT<br>STATED       | 2004-2007            | ONE          | OTHER -<br>IT<br>TECHNOL<br>OGY<br>SECURITY<br>POLICY | NOT<br>STATED     | NOT<br>APPLICAB<br>LE  | 2007                     | NOT<br>APPLI<br>CABL<br>E              | NOT<br>APPLICABLE                            |                          | NOT<br>STATED |
| 2007 | 16025          | GS  |        | 08   | CONTACT<br>REPRESENTATIVE                    | IR |          |      | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                                     | NOT<br>STATED       | 2004-2007            |              | IDRS<br>SECURITY<br>RULE                              | NOT<br>STATED     | NOT<br>APPLICAB<br>LE  | 2007                     | NOT<br>APPLI<br>CABL<br>E              | NOT<br>APPLICABLE                            | COUNSELI<br>NG           |               |
| 2007 | 16032          | GS  | 0344   | 06   | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0987     | 01   | TAX LAW SPECIALIST                           | CUST AST,<br>RELATNSHP &<br>ED(CARE) | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY  | NOT<br>STATED       | NOT<br>STATED        | ONE          | NOT<br>STATED   | NOT<br>STATED     | NOT<br>APPLICAB<br>LE  | 2007                     | NOT<br>APPLI<br>CABL                   | NOT<br>APPLICABLE                            | ADMONISH<br>MENT         | NOT<br>STATED |
| 2007 | 16033          | IR  | 0526   | 05   | TAX TECHNICIAN                               | IR | 0526     | 01   | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED       | 2004-2007            | ONE          | OGE<br>2635.809                                       | NOT<br>STATED     | NOT<br>APPLICAB<br>LE  | 2007                     | NOT<br>APPLI<br>CABL<br>F              | NOT<br>APPLICABLE                            | ADMONISH<br>MENT         | NOT<br>STATED |
| 2007 | 16034          | GS  | 0592   | 04   | TAX EXAMINING                                | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | > \$2000            | 2004-2007            | ONE          | NOT<br>STATED   | NOT<br>STATED     | NOT<br>APPLICAB<br>LE  | 2007                     | NOT<br>APPLI<br>CABL<br>F              | NOT<br>APPLICABLE                            | ADMONISH<br>MENT         | NOT<br>STATED |
| 2007 | 16035          | GS  | 0512   | 09   | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED       | 2004-2007            | ONE          | OGE<br>2635.809                                       | NOT<br>STATED     | NOT<br>APPLICAB<br>LE  | 2007                     | NOT<br>APPLI<br>CABL<br>F              | NOT<br>APPLICABLE                            |                          | NOT<br>STATED |
| 2007 | 16038          | GS  | 0592   | 07   | TAX EXAMINING                                | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | \$ 501-<br>\$1000   | 2004-2007            | ONE          | NOT<br>STATED   | NOT<br>STATED     | 2007                   | 2007                     | 3                                      | SUSPENSIO<br>N 14 DAYS                       | SUSPENSI<br>ON 5<br>DAYS | REPRIMA<br>ND |
| 2007 | 16040          | GS  | 1169   | 12   | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01   | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED       | 2004-2007            | MULTIPL<br>E | NOT<br>STATED   | NOT<br>STATED     | NOT<br>APPLICAB<br>LE  | 2007                     | NOT<br>APPLI<br>CABL<br>E              | NOT<br>APPLICABLE                            |                          | NOT<br>STATED |
| 2007 | 16042          | GS  | 0962   | 08   | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02   | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | > \$2000            | 2004-2007            | ONE          | OGE<br>2635.809                                       | NOT<br>STATED     | NOT<br>APPLICAB<br>LE  | 2007                     | NOT<br>APPLI<br>CABL<br>E              | NOT<br>APPLICABLE                            | ADMONISH<br>MENT         | NOT<br>STATED |

| ALER | (1)<br>TS Case<br>ımber | e Er | nployee | e Ser | (2)<br>ies, Grade and Job<br>Title      | Di | isciplini |    | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscor<br>Multiple ( | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>bonths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|---|----|-----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 16043                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0962      | 09 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16046                   | GS   | 0318    | 05    | SECRETARY                               | IR | 0526      | 06 | TAX TECHNICIAN                               | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | MEDICAL -<br>SELF<br>OTHER -<br>FILED<br>TIMELY       | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 16049                   | GS   | 0303    | 05    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16051                   | GS   | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16052                   | GS   | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2000-2003                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16053                   | GS   | 0356    | 04    | DATA TRANSCRIBER                        | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16055                   | GS   | 0305    | 03    | MAIL AND FILE                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2000-2003                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16056                   | GS   | 0592    | 05    | TAX EXAMINING                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16057                   | GS   | 0592    | 04    | TAX EXAMINING                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | INADVERT<br>ENT ACT                                   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16058                   | GS   | 0592    | 05    | TAX EXAMINING                           | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <b>)</b> > \$2000                      | 2004-2007                              | ONE                | OGE<br>2635.809  | PERSONA<br>L<br>PROBLEM<br>S                          | 2007                                   | 2007                           | 3  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 2<br>DAYS                | NOT<br>STATED  |
| 2007 | 16059                   | GS   | 0592    | 07    | TAX EXAMINING                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | NOT<br>STATED  | FINANCIAL<br>PROBLEM<br>S                             | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16060                   | GS   | 0592    | 07    | TAX EXAMINING                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | INADVERT<br>ENT ACT                                   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16061                   | GS   | 0356    | 03    | DATA TRANSCRIBER                        | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16062                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16064                   | GS   | 0303    | 03    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0303      | 11 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | WRITTEN<br>COUNSELI<br>NG                            |

| ALER | (1)<br>TS Case<br>Imber | Er | nploye | e Ser | (2)<br>ries, Grade and Job<br>Title     | Di       | sciplini | 0  | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code                      | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed |      | ear<br>bline is<br>bsed -<br>ed time | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|-------|---|----------|----------|----|--|---|---|--|---|--------------------|--|---|--|------|--------------------------------------|---|---|--|
| 2007 | 16066                   | GS | 0592   | 06    | TAX EXAMINING                           | IR       | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING                   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                               | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  |      | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16067                   | GS | 0356   | 04    | DATA TRANSCRIBER                        | IR       | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING                   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  |      | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16069                   | GS | 0962   | 09    | CONTACT<br>REPRESENTATIVE               | IR       | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>ACCOUNTS<br>MANAGEMENT                    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | REPRIMA<br>ND  |
| 2007 | 16070                   | GS | 1702   | 12    | EDUCATION AND<br>TRAINING<br>TECHNICIAN | IR       | 1702     | 04 | EDUCATION AND<br>TRAINING<br>TECHNICIAN      | FINANCE   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16071                   | GS | 0592   | 05    | TAX EXAMINING                           | IR       | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT                   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                               | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16073                   | GS | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR       | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME                | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                               | MULTIPL<br>E       | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16074                   | GS | 0592   | 05    | TAX EXAMINING                           | IR       | 0512     | 05 | INTERNAL REVENUE<br>AGENT                    | EXEMPT<br>ORGANIZATIONS                             | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                               | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16080                   | GS | 0305   | 03    | MAIL AND FILE                           | IR       | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |      | NOT<br>APPLI<br>CABL<br>F            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16087                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR       | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT                   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                               | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 16088                   | GS | 0356   | 04    | DATA TRANSCRIBER                        | IR       | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING                   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  |      | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | ADMONIS<br>HMENT                                     |
| 2007 | 16092                   | IR | 0592   | 09    | TAX EXAMINING                           | IR       | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16099                   | GS | 0512   | 13    | INTERNAL REVENUE<br>AGENT               | IR       | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | RETAIL FOOD<br>PHARM & HLTH<br>CARE                 | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                               | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |      | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16100                   | GS | 0503   | 05    | FINANCIAL CLERICAL<br>AND ASSISTANCE    | IR       | 0503     | 10 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | FIELD SUB PROC -<br>FRESNO                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                               | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16125                   |    |        |       | MAIL AND FILE                           | IR<br>IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS<br>EXAMINATION | FALSE OR<br>MISLEADING<br>STATEMENTS                    | NOT<br>STATED                          | NOT<br>STATED                           | ONE                | NOT<br>STATED  | STATED  |  |      | 2                                    | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | STATED   |
| 2007 | 16144                   | GS | 0318   | 07    | SECRETARY                               | IK       | 0340     | 01 | PROGRAM<br>MANAGEMENT                        |   | INTERNET / EMAIL<br>MISUSE                              | NOT<br>STATED                          | 2004-2007                               | ONE                | OTHER -<br>IRS<br>LIMITED<br>PERSONA<br>L USE<br>POLICY  | STATED  | NOT<br>APPLICAB<br>LE                  |      | NOT<br>APPLI<br>CABL<br>E            | APPLICABLE                                | REPRIMAN<br>D                           | STATED   |

| ALER         | (1)<br>TS Case<br>Imber | e Er | nploye | e Ser | (2)<br>ies, Grade and Job<br>Title           | Di       | sciplini | -  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code                 | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal<br>Miscon<br>Multiple C | Range of duct /     | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|--------------|-------------------------|------|--------|-------|--|----------|----------|----|--|--|--|--|---|---------------------|--|---|--|------------------------------|--|---|---|--|
| 2007         | 16148                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR       | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | ANDOVER<br>COMPLIANCE<br>SERVICES              | DISCOURTEOUS<br>CONDUCT<br>DISRUPTION OF<br>WORK   | NOT<br>STATED                          | NOT<br>STATED                           | MULTIPL<br>E        | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007<br>2007 | 16152<br>16158          |      | 0305   |       | MAIL AND FILE                                | IR<br>ES | 0340     | 01 | PROGRAM<br>MANAGEMENT<br>PROGRAM             | CINCINNATI<br>SUBMISSION<br>PROCESSI<br>EXEMPT | ATTENDANCE-<br>RELATED<br>OFFENSES<br>OTHER - CRIME  | NOT<br>STATED<br>NOT                   |   | MULTIPL<br>E<br>ONE | STATED<br>NOT  | STATED<br>NOT   | 2007<br>2007                           | 2007                         | 1  | SUSPENSIO<br>N 14 DAYS                    | SUSPENSI<br>ON 14<br>DAYS<br>INDEFINIT  | COUNSELI<br>NG<br>NOT                                |
|              |                         |      |        |       | AGENT  |          |          |    | MANAGEMENT                                   | ORGANIZATIONS                                  | PROVISION  | STATED                                 |   |                     | STATED   | STATED  |  |                              |  | SUSPENSIO<br>N                            | E<br>SUSPENSI<br>ON                     | STATED   |
| 2007         | 16171                   | GS   | 1169   | 12    | INTERNAL REVENUE<br>OFFICER                  | IR       | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | COLLECTION                                     | OTHER -<br>VIOLATION OF<br>TITLE 18, USC,<br>SECTION<br>2511(1)9A)                                 | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E        | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | INDEFINITE<br>SUSPENSIO<br>N              | INDEFINIT<br>E<br>SUSPENSI<br>ON        | NOT<br>STATED  |
| 2007         | 16176                   | GS   | 0962   | 06    | CONTACT<br>REPRESENTATIVE                    | IR       | 0501     | 04 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | AUSTIN ACCOUNTS<br>MANAGEMENT                  | FALSE OR<br>MISLEADING<br>STATEMENTS<br>FAILURE TO<br>COOPERATE IN AN<br>OFFICIAL<br>INVESTIGATION | NOT<br>STATED                          | 2004-2007                               | ONE                 | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007         | 16188                   | GS   | 0344   | 07    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR       | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | EMPLOYEE PLANS                                 | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | NOT<br>STATED                           | NOT<br>STATED       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007         | 16227                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR       | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT              | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                                      | NOT<br>STATED                          | 2004-2007                               | ONE                 | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007         | 16233                   | GS   | 0962   | 07    | CONTACT<br>REPRESENTATIVE                    | IR       |          | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT             | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          |   | E                   | IRM 6.735  | STATED  | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | HMENT  |
| 2007         | 16241                   | GS   | 0592   | 06    | TAX EXAMINING                                | IR       | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES                | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                               | NOT<br>STATED       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007         | 16242                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR       | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING              | DISRUPTION OF<br>WORK  | NOT<br>STATED                          | 2004-2007                               | ONE                 | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007         | 16249                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | IR       | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                                    | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                               | ONE                 | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007         | 16255                   | IR   | 1102   | 01    | CONTRACTING                                  | ES       | 1102     | 00 | CONTRACTING                                  | PROCUREMENT                                    | FALSE OR<br>MISLEADING<br>STATEMENTS   | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E        | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007         | 16276                   | GS   | 0592   | 06    | TAX EXAMINING                                | IR       | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                                      | NOT<br>STATED                          | 2004-2007                               | ONE                 | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | COUNSELI<br>NG                          |  |
| 2007         | 16281                   | GS   | 0526   | 09    | TAX TECHNICIAN                               | IR       | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE)           | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS   | NOT<br>STATED                          | 2004-2007                               | ONE                 | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e Er | nploye | e Ser | (2)<br>ries, Grade and Job<br>Title     | Di | sciplini |    | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elaps | 11)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|--------|-------|---|----|----------|----|--|--------------------------------------|--|--|--|-----------------|--|---|--|-------------------------|--|--|--|--|
| 2007 | 16289                  | GS   | 0305   | 04    | MAIL AND FILE                           | IR | 0305     | 11 | MAIL AND FILE                                | AUSTIN<br>SUBMISSION<br>PROCESSING   | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 16292                  | GS   | 0305   | 04    | MAIL AND FILE                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>SUBMISSION<br>PROCESSING   | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 16298                  | GS   | 0356   | 10    | DATA TRANSCRIBER                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS  | NOT<br>STATED                          | 2004-2007                              | ONE             | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 16303                  | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | CONDUCT  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | SUSPENSI<br>ON 9<br>DAYS                             |
| 2007 | 16313                  | GS   | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 16317                  | GS   | 0526   | 09    | TAX TECHNICIAN                          | IR | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | FALSE OR<br>MISLEADING<br>STATEMENTS   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                    | 2  | SUSPENSIO<br>N 1 DAYS                        | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 16329                  | IR   | 0303   |       | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | ES |          | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | VIOLATIONS   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | 2007                                   | 2007                    | 1  | N 30 DAYS                                    | SUSPENSI<br>ON 30<br>DAYS                            | ND   |
| 2007 | 16345                  | GS   | 0503   | 05    | FINANCIAL CLERICAL<br>AND ASSISTANCE    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY  | > \$2000                               | 2000-2003<br>2004-2007                 | MULTIPL<br>E    | 2635.809   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | STATED   |
| 2007 | 16346                  | GS   | 0392   | 07    | GENERAL<br>TELECOMMUNICATIO<br>NS       | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MDRN, INFO TECH<br>& SECRTY SERV     | GOVT CREDIT<br>CARD PROBLEMS<br>TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 16357                  | GS   |        |       | TAX EXAMINING                           | IR |          |    | TAX EXAMINING                                | ANDOVER<br>SUBMISSION<br>PROCESSING  | COMPUTER   | NOT<br>STATED                          |  | ONE             | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  |  |
| 2007 | 16384                  | GS   | 0592   | 05    | TAX EXAMINING                           | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | ATTENDANCE-<br>RELATED<br>OFFENSES<br>DISCOURTEOUS<br>CONDUCT TAXES<br>FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | - NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                    | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 16395                  | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | OTHER -<br>INAPPROPRIATE<br>CONDUCT  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 16405                  | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | FALSE OR<br>MISLEADING<br>STATEMENTS   | > \$2000                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er |      |    | (2)<br>ies, Grade and Job<br>Title           |          |      |    | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | Accepted      | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|------|----|--|----------|------|----|--|--------------------------------------|--|--|--|--------------------------------|--|---------------|--|-------------------------|--|---|---|--|
| 2007 | 16416                   | GS   | 0356 | 04 | DATA TRANSCRIBER                             | IR       | 0340 | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                   | IRM 6.735  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16425                   | GS   | 0512 | 05 | INTERNAL REVENUE<br>AGENT                    | IR       | 0512 | 04 | INTERNAL REVENUE<br>AGENT                    | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16428                   | GS   | 0592 | 07 | TAX EXAMINING                                | IR       | 0340 | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | GOVT CREDIT<br>CARD PROBLEMS             | NOT<br>STATED                          | 2004-2007                              | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | E<br>NOT<br>APPLI<br>CABL<br>F                       | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16430                   | GS   | 1811 | 13 | CRIMINAL<br>INVESTIGATING                    | ES       | 1811 | 00 | CRIMINAL<br>INVESTIGATING                    | CI NO ATLANTIC<br>AREA FIELD OPS     | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE                            | OGE<br>2635.101  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 16432                   | GS   | 0560 | 12 | BUDGET ANALYSIS                              | IR       | 0501 | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | MANAGEMENT &<br>FINANCE              | GOVT CREDIT<br>CARD PROBLEMS             | \$1001-<br>\$1500                      | 2004-2007                              | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16433                   | GS   | 0501 | 12 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR       | 0301 | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | NOT<br>STATED                          | 2004-2007                              | ONE                            | OGE<br>2635.809  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16434                   | GS   | 0503 | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR       | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | GOVT CREDIT<br>CARD PROBLEMS             | \$1001-<br>\$1500                      | 2004-2007                              | MULTIPL<br>E                   | OGE<br>2635.809<br>OTHER -<br>IRM 1.32.4                 | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16440                   | GS   | 1101 | 11 | GENERAL BUSINESS<br>AND INDUSTRY             | IR       | 1102 | 03 | CONTRACTING                                  | PROCUREMENT                          | GOVT CREDIT<br>CARD PROBLEMS             | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16445                   | GS   | 0341 | 11 | ADMINISTRATIVE<br>OFFICER                    | IR       | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | EMPLOYEE<br>SUPPORT<br>SERVICES      | GOVT CREDIT<br>CARD PROBLEMS             | NOT<br>STATED                          | NOT<br>STATED                          | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16450                   | GS   | 2210 | 13 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR       | 2210 | 04 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE                            | OGE<br>2635.809  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16453                   | GS   | 0318 | 05 | SECRETARY                                    | IR       | 1169 | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 16456                   | GS   | 0318 | 05 | SECRETARY                                    | IR       | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | EXEMPT<br>ORGANIZATIONS              |  | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E                   | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16458                   |      |      |    | TAX TECHNICIAN                               | IR<br>IR | 0340 |    | PROGRAM<br>MANAGEMENT<br>CONTRACTING         | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | > \$2000                               | 2004-2007                              | ONE                            | NOT<br>STATED  | STATED        |  | 2007                    | 1  | N 1 DAYS                                  | SUSPENSI<br>ON 1<br>DAYS                | STATED   |
| 2007 | 10401                   | IR   | 1102 | 01 | CONTRACTING                                  | IK       | 1102 | 01 | CONTRACTING                                  | PROCUKEMENI                          | CARD PROBLEMS                            | NOT<br>STATED                          | 2004-2007                              | UNE                            | STATED   | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16462                   | GS   | 0526 | 07 | TAX TECHNICIAN                               | IR       | 0501 | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS             | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                            | OGE<br>2635.809  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e E | mploye | e Sei | (2)<br>ries, Grade and Job<br>Title        | Di | sciplini |    | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discij<br>Impo<br>Elaps | 11)<br>ear<br>pline is<br>osed -<br>ed time<br>lonths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|--------|-------|--|----|----------|----|---|--------------------------------------|--|--|--|-----------------|--|---|--|------------------------------|---|---|---|--|
| 2007 | 16464                   | GS  | 0512   | 13    | INTERNAL REVENUE<br>AGENT                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OTHER -<br>EXEC<br>ORDER<br>12674 PRT<br>2635.809        | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                             | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16467                   | GS  | 0110   | 13    | ECONOMIST                                  | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                       | RESEARCH                             | GOVT CREDIT<br>CARD PROBLEMS             | \$ 501-<br>\$1000                      | 2004-2007                              | NOT<br>STATED   | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                             | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16468                   | IR  | 0962   | 07    | CONTACT<br>REPRESENTATIVE                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>COMPLIANCE<br>SERVICES    | GOVT CREDIT<br>CARD PROBLEMS             | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                             | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16469                   | GS  | 0201   | 12    | PERSONNEL<br>MANAGEMENT                    | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                     | HUMAN CAPITAL<br>OFFICE              | GOVT CREDIT<br>CARD PROBLEMS             | \$1501-<br>\$2000                      | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                  | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 16472                   | GS  | 0501   | 14    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0501     | 04 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM  | CUSTOMER ACCTG<br>SERVICES (CAS      | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                  | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16473                   | IR  | 0201   | 01    | PERSONNEL<br>MANAGEMENT                    | AD | 0340     | 00 | PROGRAM<br>MANAGEMENT                       | MANAGEMENT &<br>FINANCE              | GOVT CREDIT<br>CARD PROBLEMS             | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                             | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | ADMONIS<br>HMENT                                     |
| 2007 | 16477                   | GS  | 0318   | 05    | SECRETARY                                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                             | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16478                   | GS  | 0501   | 13    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0343     | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS          | CUSTOMER ACCTG<br>SERVICES (CAS      | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                             | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16481                   | GS  | 0526   | 11    | TAX TECHNICIAN                             | IR | 0501     | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM  | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS             | > \$2000                               | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                             | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16488                   | IR  | 0962   | 07    | CONTACT<br>REPRESENTATIVE                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | GOVT CREDIT<br>CARD PROBLEMS             | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OTHER -<br>GOVNT<br>TRAVEL<br>CARD<br>AGREEME<br>NT      | STATED  | 2007                                   | 2007                         | 1   | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2007 | 16489                   | GS  | 0201   | 11    | PERSONNEL<br>MANAGEMENT                    | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                     | HUMAN CAPITAL<br>OFFICE              | GOVT CREDIT<br>CARD PROBLEMS             | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                             | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
|      | 16491                   | IR  | 0592   |       | TAX EXAMINING                              | IR |          |    | PROGRAM<br>MANAGEMENT                       | PHILADELPHIA<br>COMPLIANCE SERV      |  | NOT<br>STATED                          | 2004-2007                              |                 | NOT<br>STATED  | STATED  |  | 2007                         | 1   | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | STATED   |
| 2007 | 16494                   | GS  | 0526   | 09    | TAX TECHNICIAN                             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                             | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16498                   | GS  | 0305   | 03    | MAIL AND FILE                              | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                             | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nployee | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                 | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|--|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 16499                   | GS   | 0305    | 03    | MAIL AND FILE                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                                       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16517                   | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | ATTENDANCE-<br>RELATED<br>OFFENSES                                       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16520                   | GS   | 0592    | 06    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | ATTENDANCE-<br>RELATED<br>OFFENSES                                       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | E<br>NOT<br>APPLI<br>CABL                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16521                   | GS   | 0592    | 07    | TAX EXAMINING                                | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | ATTENDANCE-<br>RELATED<br>OFFENSES                                       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   |   | SUSPENSI<br>ON 14<br>DAYS               | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 16531                   | IR   | 1811    | 04    | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | OPERATIONS<br>POLICY &<br>SUPPORT    | OTHER - LOSS<br>AND FAILURE TO<br>PROTECT AND<br>SECURE GOVT<br>PROPERTY | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 16532                   | GS   | 0305    | 03    | MAIL AND FILE                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                  | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16533                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                  | <= \$500                               | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16535                   | GS   | 0110    | 13    | ECONOMIST                                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OFFICE OF THE<br>COMMISSIONER        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                  | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16537                   | GS   | 2210    | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210     | 01 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16538                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                  | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED   | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16540                   | GS   | 0305    | 04    | MAIL AND FILE                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                  | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 16541                   | GS   | 0305    | 03    | MAIL AND FILE                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                  | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16549                   | GS   | 0512    | 11    | INTERNAL REVENUE<br>AGENT                    | IR | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                  | <= \$500                               | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16550                   | IR   | 0340    | 02    | PROGRAM<br>MANAGEMENT                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | FINANCIAL<br>PROBLEM<br>S                             |  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 16557                   | GS   | 0592    | 04    | TAX EXAMINING                                | IR | 0592     | 09 | TAX EXAMINING                                | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | eE | Employe | e Sei | (2)<br>ries, Grade and Job<br>Title     | Di | isciplini |    | (3)<br>fficial Series, Grade<br>I Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>f Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elaps | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|---------|-------|---|----|-----------|----|---|--------------------------------------|---|--|--|-----------------|--|---|--|-------------------------|--|---|---|--|
| 2007 | 16558                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAX,<br>UNDERREPORTER   | \$1001-<br>\$1500                      | 2000-2003                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16572                   | GS | 0503    | 07    | FINANCIAL CLERICAL<br>AND ASSISTANCE    | IR | 0503      | 08 | FINANCIAL CLERICAL<br>AND ASSISTANCE        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.101<br>OGE<br>2635.809                         | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | E<br>NOT<br>APPLI<br>CABL<br>E                       | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16573                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                   | OGDEN<br>COMPLIANCE<br>SERVICES      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR                              | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16600                   | GS | 0356    | 04    | DATA TRANSCRIBER                        | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>SUBMISSION<br>PROCESSI | PAY<br>ATTENDANCE-<br>RELATED<br>OFFENSES                                     | NOT<br>STATED                          | 2004-2007                              | MULTIPI<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16604                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | OTHER -<br>INADVERTENT<br>ACCESS.   | NOT<br>STATED                          | 2004-2007                              | ONE             | IDRS<br>SECURITY<br>RULE                                   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | E<br>NOT<br>APPLI<br>CABL<br>F                       | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 16611                   | GS | 0318    | 07    | SECRETARY                               | ES | 0505      | 00 | FINANCIAL<br>MANAGEMENT                     | CFO                                  | FALSE OR<br>MISLEADING<br>STATEMENTS<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | SUSPENSI<br>ON 3<br>DAYS                | ADMONIS<br>HMENT                                     |
| 2007 | 16627                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                   | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | DISCOURTEOUS  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16640                   | GS | 0512    | 12    | INTERNAL REVENUE<br>AGENT               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | FIGHTING.<br>PHYSICAL<br>ASSAULTS, AND<br>THREATS                             | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED   | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16647                   | GS | 0962    | 05    | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | FALSE OR<br>MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16653                   | GS | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2004-2007                              | ONE             | IDRS<br>SECURITY<br>RULE                                   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 16655                   | GS |         |       | MAIL AND FILE                           | IR | 0340      |    | PROGRAM<br>MANAGEMENT                       | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2004-2007                              |                 | IDRS<br>SECURITY<br>RULE                                   | -   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | NG                                      | -  |
| 2007 | 16656                   | GS | 0962    | 05    | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2004-2007                              | ONE             | IDRS<br>SECURITY<br>RULE                                   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16683                   | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                   | AUSTIN ACCOUNTS<br>MANAGEMENT        |   | NOT<br>STATED                          | NOT<br>STATED                          | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e Er | nploye |    | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated      | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|----|--|----|----------|----|--|--------------------------------------|--|--|--|-------------------------|---|---|--|--------------------------------|---|--|--|--|
| 2007 | 16689                   | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | IR |          | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS | NOT<br>STATED                          |  | MULTIPL<br>E            | STATED  | STATED  | 2007                                   |                                | 2   | N 14 DAYS                                    | ON 14<br>DAYS  | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 16692                   | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | DISRUPTION OF<br>WORK OTHER -<br>UNPROFESSIONAL<br>CONDUCT                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | OGE<br>2635.705   |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | NG   | -  |
| 2007 | 16694                   | GS   | 0303   | 03 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0592     | 08 | TAX EXAMINING                                | ATLANTA<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 16705                   | GS   | 2210   | 12 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210     | 01 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 16706                   | GS   | 0501   | 11 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                                       | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 16707                   | GS   | 0962   | 06 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 16711                   |      | 1101   |    | GENERAL BUSINESS<br>AND INDUSTRY             |    | 1101     |    | GENERAL BUSINESS<br>AND INDUSTRY             |                                      | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | STATED  | STATED  |  | 2007                           |   | N 3 DAYS                                     | SUSPENSI<br>ON 3<br>DAYS                             | COUNSELI<br>NG                                       |
| 2007 | 16717                   | GS   | 0318   | 05 | SECRETARY                                    | IR | 1169     | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                                       | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED   |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 16732                   | GS   | 0962   | 06 | CONTACT<br>REPRESENTATIVE                    | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 2   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 16733                   | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | ONE                     | OTHER -<br>IRS<br>POLICY<br>LIMITED<br>PERSONA<br>L USE       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 16734                   | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | OTHER -<br>IRS<br>POLICY<br>ON<br>LIMITED<br>PERSONA<br>L USE |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 16735                   | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | OTHER -<br>IRS<br>POLICY<br>LIMITED<br>PERSONA<br>L USE       |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye |    | (2)<br>ies, Grade and Job<br>Title      | Dis | sciplini |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated      | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|----|---|-----|----------|----|--|--------------------------------------|--|--|--|-----------------|---|---|--|--------------------------------|--|---|---|--|
| 2007 | 16736                   | GS   | 0592   | 07 | TAX EXAMINING                           | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | INTERNET / EMAIL<br>MISUSE               | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>IRS<br>POLICY<br>LIMITED<br>PERSONA<br>L USE       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16737                   | GS   | 0592   | 06 | TAX EXAMINING                           | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | INTERNET / EMAIL<br>MISUSE               | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>IRS<br>POLICY<br>LIMITED<br>PERSONA<br>L USE       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16738                   | GS   | 0592   | 06 | TAX EXAMINING                           | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | INTERNET / EMAIL<br>MISUSE               | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>IRS<br>POLICY<br>ON<br>LIMITED<br>PERSONA<br>L USE | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16739                   | GS   | 0592   | 06 | TAX EXAMINING                           | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | INTERNET / EMAIL<br>MISUSE               | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>IRS<br>POLICY<br>LIMITED<br>PERSONA<br>L USE       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16740                   | GS   | 0592   | 06 | TAX EXAMINING                           | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | INTERNET / EMAIL<br>MISUSE               | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>IRS<br>POLICY<br>LIMITED<br>PERSONA<br>L USE       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16741                   | GS   | 0592   | 06 | TAX EXAMINING                           | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | INTERNET / EMAIL<br>MISUSE               | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>IRS<br>POLICY<br>LIMITED<br>PERSONA<br>L USE       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16742                   | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | INTERNET / EMAIL<br>MISUSE               | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>IRS<br>POLICY<br>LIMITED<br>PERSONA<br>L USE       |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16743                   | IR   | 0305   | 05 | MAIL AND FILE                           | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | INTERNET / EMAIL<br>MISUSE               | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16752                   | GS   | 0332   | 07 | COMPUTER<br>OPERATION                   | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | CONDUCT<br>UNBECOMING                    | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16753                   | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE               | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16757                   | GS   | 0592   | 07 | TAX EXAMINING                           | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | IRM 6.735   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e En | nployee | e Ser | (2)<br>ies, Grade and Job<br>Title         | Di | scipliniı | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                             | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated      | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|---------|-------|--|----|-----------|----|--|--------------------------------------|--|--|--|--------------------|---|---|--|--------------------------------|---|--|--|--|
| 2007 | 16759                  | IR   | 0592    | 08    | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 1   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 15<br>DAYS                            | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 16764                  | GS   | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS        | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 16778                  | GS   | 0305    | 03    | MAIL AND FILE                              | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 1   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 16780                  | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | OTHER -<br>ATTEMPTED<br>UNAUTHORIZED<br>ACCESS                       | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |  | COUNSELI<br>NG                                       |
| 2007 | 16784                  | GS   | 0305    | 03    | MAIL AND FILE                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 16785                  | GS   | 0305    | 03    | MAIL AND FILE                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |  | ADMONIS<br>HMENT                                     |
| 2007 | 16821                  | GS   | 0356    | 04    | DATA TRANSCRIBER                           | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | ATTENDANCE-<br>RELATED<br>OFFENSES<br>DISCOURTEOUS<br>CONDUCT        | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 2   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 16825                  | GS   | 0501    | 11    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0526      | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 16826                  | GS   | 0305    | 03    | MAIL AND FILE                              | IR | 0305      | 09 | MAIL AND FILE                                | AUSTIN<br>SUBMISSION<br>PROCESSING   | ATTENDANCE-<br>RELATED<br>OFFENSES<br>DISCOURTEOUS<br>CONDUCT        | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 16832                  | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | REPRIMA<br>ND  |
| 2007 | 16846                  | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | THEFT, FALSE<br>CLAIMS AND<br>UNAUTHORIZED<br>PROPERTY<br>POSSESSION | \$ 501-<br>\$1000                      | 2000-2003<br>2004-2007                 |                    | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 7 DAYS                        | SUSPENSI<br>ON 7<br>DAYS                             | NOT<br>STATED  |
| 2007 | 16852                  | GS   | 0512    | 13    | INTERNAL REVENUE<br>AGENT                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | LOSS OF<br>DOCUMENTS AND<br>SECURITY<br>VIOLATIONS                   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 16857                  | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                  | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    |  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | IRS<br>POLICY -<br>LIMITED<br>USE OF<br>GOVT<br>RESOURC<br>ES | NOT<br>STATED   | 2007                                   | 2007                           | 2   | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                            | SUSPENSI<br>ON 14<br>DAYS                            |

| ALER | (1)<br>TS Case<br>umber | e Er | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title     | Di | isciplini | -  | (3)<br>fficial Series, Grade<br>I Job Title | (4)<br>Employee Office<br>Code      | (5)<br>Offense - Nature<br>of misconduct               | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|---|----|-----------|----|---|-------------------------------------|--|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 16859                   | GS   | 0930   | 13    | HEARINGS AND<br>APPEALS                 | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | FIELD OPERATIONS<br>WEST            | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE (<br>DUTY      | NOT<br>STATED<br>DF                    | 2004-2007                              | ONE             | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16871                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                         | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16873                   | GS   | 1101   | 11    | GENERAL BUSINESS<br>AND INDUSTRY        | IR | 1101      | 05 | GENERAL BUSINESS<br>AND INDUSTRY            | COLLECTION                          | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16884                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT               | IR | 0512      | 04 | INTERNAL REVENUE<br>AGENT                   | FINANCIAL<br>SERVICES               | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                   | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 16890                   | GS   | 0356   | 03    | DATA TRANSCRIBER                        | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>SUBMISSION<br>PROCESSING | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 「O <= \$500                            | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16893                   | GS   | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>SUBMISSION<br>PROCESSING | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | FO \$ 501-<br>\$1000                   | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16897                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | FRESNO<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO NOT<br>STATED                       | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 16898                   | GS   | 0305   | 04    | MAIL AND FILE                           | IR | 0962      | 09 | CONTACT<br>REPRESENTATIVE                   | FRESNO<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO NOT<br>STATED                       | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16899                   | GS   | 0592   | 07    | TAX EXAMINING                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | FRESNO<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO NOT<br>STATED                       | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | FINANCIAL<br>PROBLEM<br>S                             |  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16900                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0592      | 08 | TAX EXAMINING                               | ATLANTA<br>COMPLIANCE<br>SERVICES   | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | FO \$1501-<br>\$2000                   | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16901                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0501      | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM  | ATLANTA<br>COMPLIANCE<br>SERVICES   | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO NOT<br>STATED                       | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16903                   | GS   | 0356   | 03    | DATA TRANSCRIBER                        | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>SUBMISSION<br>PROCESSING | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO NOT<br>STATED                       | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16906                   | GS   | 0592   | 05    | TAX EXAMINING                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | KANSAS CITY<br>COMPLIANCE SERV      | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO <= \$500                            | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 7 DAYS                     | SUSPENSI<br>ON 7<br>DAYS                | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 16908                   | GS   | 0962   | 09    | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | AUSTIN ACCOUNTS<br>MANAGEMENT       |  | FO \$ 501-<br>\$1000                   | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16909                   | GS   | 0303   | 03    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | FIELD SUB PROC -<br>FRESNO          | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | TO NOT<br>STATED                       | NOT<br>STATED                          | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e E | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title     | Di | sciplini | -  | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct               | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>f Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|--------|-------|---|----|----------|----|---|--------------------------------------|--|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 16911                   | GS  | 0962   | 09    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | AUSTIN ACCOUNTS<br>MANAGEMENT        | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500                             | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16914                   | GS  | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                       | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16917                   | GS  | 0318   | 07    | SECRETARY                               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16919                   | GS  | 0592   | 07    | TAX EXAMINING                           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>COMPLIANCE<br>SERVICES | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500                             | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16926                   | GS  | 0592   | 07    | TAX EXAMINING                           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                       | PHILADELPHIA<br>COMPLIANCE SERV      | TAXES FAILURE T  | O NOT<br>STATED                        | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16927                   | GS  | 0343   | 13    | MANAGEMENT AND<br>PROGRAM ANALYSIS      | IR | 0343     | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS          | CUSTOMER ACCTG<br>SERVICES (CAS      | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O <= \$500                             | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16930                   | IR  | 0962   | 07    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | AUSTIN ACCOUNTS<br>MANAGEMENT        |  | O <= \$500                             | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16933                   | GS  | 0592   | 05    | TAX EXAMINING                           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                       | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O > \$2000                             | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16934                   | GS  | 0101   | 13    | SOCIAL SCIENCE                          | IR | 0101     | 01 | SOCIAL SCIENCE                              | FINANCE                              | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O > \$2000                             | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | ADMONIS<br>HMENT                                     |
| 2007 | 16942                   | GS  | 0962   | 09    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16949                   | GS  | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                       | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 > \$2000                             | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16953                   | GS  | 0512   | 13    | INTERNAL REVENUE<br>AGENT               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | HVY MFG &<br>TRANSPORT               | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16954                   | GS  | 0592   | 07    | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | O NOT<br>STATED                        | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16958                   | GS  | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 9 DAYS                     | SUSPENSI<br>ON 9<br>DAYS                | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 16960                   | GS  | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e En | nploye | e Ser | (2)<br>ies, Grade and Job<br>Title      | Di | sciplini | 0  | (3)<br>Ifficial Series, Grade<br>J Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|--------|-------|---|----|----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 16962                  | GS   | 0592   | 04    | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 16963                  | GS   | 0592   | 07    | TAX EXAMINING                           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16964                  | GS   | 0592   | 07    | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAX,<br>UNDERREPORTER                                   | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16965                  | GS   | 1169   | 09    | INTERNAL REVENUE<br>OFFICER             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1501-<br>\$2000                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 16966                  |      | 0512   |       | INTERNAL REVENUE<br>AGENT               |    |          | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | STATED                                 | 2004-2007                              |                    | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | COUNSELI<br>NG                          |  |
| 2007 | 16978                  | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 16983                  | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | REPRIMA<br>ND  |
| 2007 | 16997                  | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT               | IR | 0343     | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | HVY MFG &<br>TRANSPORT               | CONDUCT<br>UNBECOMING                                   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17002                  | GS   | 0592   | 07    | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | MISUSE OF<br>GOVERNMENT<br>PROPERTY                     | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 17005                  | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | DISCOURTEOUS<br>CONDUCT                                 | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17013                  | GS   | 0592   | 05    | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                    | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | STATED  |  | 2007                           | 4   | N 3 DAYS                                  | SUSPENSI<br>ON 3<br>DAYS                | HMENT  |
| 2007 | 17033                  |      | 0592   |       |   | IR |          | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              |                    | STATED   | STATED  | 2007                                   | 2007                           |   | N 15 DAYS                                 | SUSPENSI<br>ON 15<br>DAYS               | ND   |
| 2007 | 17040                  |      | 0503   |       | FINANCIAL CLERICAL<br>AND ASSISTANCE    |    |          | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | STATED   |
| 2007 | 17050                  | GS   | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17052                  | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17053                  | GS   | 0592   | 07    | TAX EXAMINING                           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | En | nployee | e Ser | (2)<br>ies, Grade and Job<br>Title           | Di  | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code      | (5)<br>Offense - Nature<br>of misconduct                            | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated       | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|----|---------|-------|--|-----|----------|----|--|-------------------------------------|---|--|---|--------------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 17054                  | GS | 0592    | 08    | TAX EXAMINING                                | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES                                  | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 17060                  | GS | 2210    | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS    | FAILURE TO<br>REPORT<br>VIOLATIONS OF<br>LAW OR OTHER<br>MISCONDUCT | NOT<br>STATED                          | 2004-2007                               | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17065                  | GS | 0503    | 05    | FINANCIAL CLERICAL<br>AND ASSISTANCE         | .ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING | COMPUTER  | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E       | IDRS<br>SECURITY<br>RULE                                       |   | 2007                                   | 2007                           | 1   | (PROBATION                                |   | NOT<br>STATED  |
| 2007 | 17085                  | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | ES  | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT        | ATTENDANCE-<br>RELATED<br>OFFENSES                                  | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | SUSPENSIO<br>N 15 DAYS                    | SUSPENSI<br>ON 15<br>DAYS               | REPRIMA<br>ND  |
| 2007 | 17103                  | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES   | OTHER -<br>UNAUTHORIZED<br>ACCESS                                   | NOT<br>STATED                          | 2004-2007                               | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | ADMONISH                                | NOT<br>STATED  |
| 2007 | 17109                  | GS | 0512    | 11    | INTERNAL REVENUE<br>AGENT                    | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                         | OTHER - IDRS<br>ACCESS NOT<br>UNAX                                  | NOT<br>STATED                          | 2004-2007                               | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 17123                  | GS | 0592    | 08    | TAX EXAMINING                                | IR  | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT       | INTERNET / EMAIL<br>MISUSE  | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E       | OTHER -<br>LTD PERS<br>USE OF<br>GOVT<br>INFO<br>TECH<br>EQUIP | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 17124                  | GS | 0525    | 07    | ACCOUNTING<br>TECHNICIAN                     | IR  | 0525     | 08 | ACCOUNTING<br>TECHNICIAN                     | AUSTIN<br>SUBMISSION<br>PROCESSING  | MISUSE OF<br>GOVERNMENT<br>PROPERTY                                 | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E       |  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17125                  | GS | 1702    | 06    | EDUCATION AND<br>TRAINING<br>TECHNICIAN      | IR  | 1702     | 04 | EDUCATION AND<br>TRAINING<br>TECHNICIAN      | AUSTIN<br>SUBMISSION<br>PROCESSING  | INTERNET / EMAIL<br>MISUSE MISUSE<br>OF GOVERNMENT<br>PROPERTY      | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E       |  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 17133                  | GS | 0356    | 04    | DATA TRANSCRIBER                             | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING   | DEBT COLLECTION   | > \$2000                               | 2004-2007                               | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17135                  | GS | 0356    | 04    | DATA TRANSCRIBER                             | IR  | 0356     | 11 | DATA TRANSCRIBER                             | FIELD SUB PROC -<br>FRESNO          | DEBT COLLECTION   | <= \$500                               | 2004-2007                               | ONE                | OTHER -<br>WAGE<br>GARNISH<br>MENT                             | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 17149                  | GS | 0356    | 04    | DATA TRANSCRIBER                             | IR  | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | AUSTIN<br>SUBMISSION<br>PROCESSING  | ATTENDANCE-<br>RELATED<br>OFFENSES                                  | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E       |  | NOT<br>STATED   | 2007                                   | 2007                           | 2   | (PROBATION<br>PERIOD                      |   | NOT<br>STATED  |
| 2007 | 17153                  | GS | 0356    | 04    | DATA TRANSCRIBER                             | IR  | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING   | ATTENDANCE-<br>RELATED<br>OFFENSES                                  | NOT<br>STATED                          | 2004-2007                               | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | εE | mploye | e Sei | (2)<br>ries, Grade and Job<br>Title        | Di | sciplini |    | (3)<br>fficial Series, Grade<br>I Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | 11)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|-------|--|----|----------|----|---|--------------------------------------|---|--|--|--------------------|--|---|--|------------------------------|--|--|---|--|
| 2007 | 17154                   | GS | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | OGDEN<br>SUBMISSION<br>PROCESSING    | INTERNET / EMAIL<br>MISUSE                                    | NOT<br>STATED                          | 2004-2007                              | ONE                | OTHER -<br>IRS<br>POLICY<br>LIMITED<br>PERSONA           | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | ADMONIS<br>HMENT                                     |
| 2007 | 17158                   | GS | 0343   | 14    | MANAGEMENT AND<br>PROGRAM ANALYSIS         | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                       | DEP COMM<br>OPERATIONS<br>SUPPORT    | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OI<br>DUTY            | NOT<br>STATED<br>F                     | 2004-2007                              | ONE                | L USE<br>NOT<br>STATED                                   | NOT<br>STATED   | 2007                                   | 2007                         | 2  | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                | SUSPENSI<br>ON 1<br>DAYS                             |
| 2007 | 17164                   | GS | 0592   | 07    | TAX EXAMINING                              | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                       | MEMPHIS<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES<br>CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 17172                   | GS | 0501   | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0526     | 01 | TAX TECHNICIAN                              | CUST AST,<br>RELATNSHP &<br>ED(CARE) | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | E)<br>REPRIMAN<br>D                     | NOT<br>STATED  |
| 2007 | 17173                   | GS | 0592   | 05    | TAX EXAMINING                              | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>COMPLIANCE<br>SERVICES    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17183                   | GS | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAX,<br>UNDERREPORTER   | > \$2000                               | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17184                   | GS | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | ANDOVER<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | D <= \$500                             | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 17187                   | GS | 0512   | 11    | INTERNAL REVENUE<br>AGENT                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | NOT<br>STATED                          | NOT<br>STATED      | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17192                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | OGDEN ACCOUNTS<br>MANAGEMENT         | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 17197                   | GS | 1811   | 11    | CRIMINAL<br>INVESTIGATING                  | ES | 1811     | 00 | CRIMINAL<br>INVESTIGATING                   | CI NO ATLANTIC<br>AREA FIELD OPS     | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OI<br>DUTY            | NOT<br>STATED<br>F                     | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 17200                   | GS | 0341   | 12    | ADMINISTRATIVE<br>OFFICER                  | IR | 0341     | 03 | ADMINISTRATIVE<br>OFFICER                   | EMPLOYEE<br>SUPPORT<br>SERVICES      | GOVT CREDIT<br>CARD PROBLEMS                                  | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 17203                   | GS | 0592   |       | TAX EXAMINING                              | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              |                    | NOT<br>STATED  | STATED  | 2007                                   | 2007                         | 2  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                | STATED   |
| 2007 | 17206                   | GS | 0501   | 11    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0526     | 01 | TAX TECHNICIAN                              | CUST AST,<br>RELATNSHP &<br>ED(CARE) | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 17213                   | GS | 0592   | 07    | TAX EXAMINING                              | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e En | nployee | e Ser | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|--|--|--|-------------------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 17215                  | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0592     | 08 | TAX EXAMINING                                | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17217                  | GS   | 0512    | 12    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS   | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | SUSPENSI<br>ON 1<br>DAYS                             |
| 2007 | 17223                  | GS   | 1169    | 12    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | DISRUPTION OF<br>WORK  | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 17224                  | GS   | 1811    | 13    | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS       | OTHER -<br>VIOLATION OF CI<br>POLICY<br>REQUIRING SAC<br>APPROVAL<br>SEARCH<br>WARRANT | NOT<br>STATED                          | 2004-2007                              | ONE                     | OTHER -<br>IRM PART<br>9.4.9.3.3                         | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17232                  | GS   | 0501    | 07    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED           | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17238                  | GS   | 0962    | 09    | CONTACT<br>REPRESENTATIVE                    | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17242                  | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0962     | 08 | CONTACT<br>REPRESENTATIVE                    | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17245                  | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                           | 3   | SUSPENSIO<br>N 25 DAYS                    | SUSPENSI<br>ON 25<br>DAYS               | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 17264                  | GS   | 0526    | 09    | TAX TECHNICIAN                               | IR | 0526     | 06 | TAX TECHNICIAN                               | EXAMINATION                          | DISCOURTEOUS   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 17265                  | GS   | 0203    | 07    | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE      | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                                     | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17269                  | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | OTHER - THE<br>EMPLOYEE<br>ATTEMPTED<br>ACCESS TO HER<br>OWN ACCOUNT.                  | NOT<br>STATED                          | 2004-2007                              | ONE                     | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 17273                  | GS   | 1811    | 12    | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS       | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | ADMONIS<br>HMENT                                     |
|      |                        |      |         |       | TAX EXAMINING                                | IR |          |    | TAX EXAMINING                                | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                |  | 2004-2007                              |                         | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | APPLI<br>CABL<br>E                                  | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | STATED   |
| 2007 | 17280                  | GS   | 1169    | 09    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                                | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.809  | LACK OF<br>NOTICE/A<br>WARENES<br>S/TRAININ           | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 17281                  | GS   | 2210    | 09    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | TAX,<br>UNDERREPORTER  | \$1501-<br>\$2000                      | 2004-2007                              | ONE                     | NOT<br>STATED  | G<br>NOT<br>STATED                                    | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e En | nployee |    | (2)<br>ies, Grade and Job<br>Title           | Di | isciplini |    | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|---------|----|--|----|-----------|----|--|--------------------------------------|---|--|--|-------------------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 17287                  | GS   | 0305    | 03 | MAIL AND FILE                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | RRA 1998 -<br>1203 (b)(8)<br>OGE<br>2635.809             |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 17289                  | GS   | 0356    | 04 | DATA TRANSCRIBER                             | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | e <= \$500                             | 2004-2007                              | MULTIPL<br>E            | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17291                  | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAX,<br>UNDERREPORTER                                   | > \$2000                               | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17295                  | GS   | 0356    | 04 | DATA TRANSCRIBER                             | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>SUBMISSION<br>PROCSG | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17296                  | GS   | 0356    | 04 | DATA TRANSCRIBER                             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17298                  | GS   | 0501    | 09 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2000-2003                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17301                  | GS   | 0592    | 05 | TAX EXAMINING                                | IR | 0512      | 01 | INTERNAL REVENUE<br>AGENT                    | OGDEN<br>COMPLIANCE<br>SERVICES      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17302                  | GS   | 0305    | 03 | MAIL AND FILE                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 17309                  | GS   | 0592    | 07 | TAX EXAMINING                                | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 17313                  | GS   | 0592    | 04 | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 17316                  | GS   | 0305    | 03 | MAIL AND FILE                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 17322                  |      |         |    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | e <= \$500                             | 2004-2007                              | ONE                     | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | STATED   |
| 2007 | 17325                  | GS   | 0592    | 05 | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17329                  | GS   | 0301    | 14 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | IR | 0343      | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | APPEALS<br>HEADQUARTERS              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                     | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 17331                  | GS   | 0503    | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nployee |    | (2)<br>ies, Grade and Job<br>Title           | Di   | isciplini | •  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|--|------|-----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 17339                   | GS   | 2210    | 13 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>OPERATIONS             | TAX,<br>UNDERREPORTER                                   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17340                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR   | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2000-2003<br>2004-2007                 | MULTIPL<br>E    | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 17342                   | GS   | 0356    | 04 | DATA TRANSCRIBER                             | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 17343                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17344                   | GS   | 0501    | 09 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR   | 0526      | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE             | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17348                   | GS   | 0592    | 07 | TAX EXAMINING                                | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAX,<br>UNDERREPORTER                                   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17357                   | GS   | 0503    | 05 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | . IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 17358                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR   | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17361                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17363                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | FINANCIAL<br>PROBLEM<br>S                             | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 17368                   | GS   | 0592    | 07 | TAX EXAMINING                                | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17372                   | IR   | 1169    | 05 | INTERNAL REVENUE<br>OFFICER                  | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 17374                   | GS   | 0512    | 12 | INTERNAL REVENUE<br>AGENT                    | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 17375                   | GS   | 0201    | 13 | PERSONNEL<br>MANAGEMENT                      | IR   | 0201      | 01 | PERSONNEL<br>MANAGEMENT                      | MANAGEMENT &<br>FINANCE              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | 0 <= \$500                             | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | ADMONIS<br>HMENT                                     |
| 2007 | 17378                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR   | 0501      | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nployee |    | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|--|----|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|--|--|---|--|
| 2007 | 17380                   | GS   | 2210    | 12 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | TAX,<br>UNDERREPORTER   | \$1501-<br>\$2000                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17381                   | GS   | 0592    | 06 | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17384                   | GS   | 0503    | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17388                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |   | NOT<br>STATED  |
| 2007 | 17393                   | GS   | 0962    | 09 | CONTACT<br>REPRESENTATIVE                    | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAX,<br>UNDERREPORTER   | \$1501-<br>\$2000                      | 2000-2003<br>2004-2007                 | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17400                   | GS   | 0318    | 07 | SECRETARY                                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>OPERATIONS             | MISUSE OF<br>GOVERNMENT<br>PROPERTY<br>OTHER - FAILURE<br>TO FOLLOW PTSP<br>PROCEDURES/TUR<br>N IN UNUSED<br>VOUCHERS | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.704<br>OTHER -<br>IRM<br>1.32.15             | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17402                   | GS   | 0512    | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXEMPT<br>ORGANIZATIONS              | MISUSE OF<br>GOVERNMENT<br>PROPERTY   | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17426                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                              | ONE             | IDRS<br>SECURITY<br>RULE                                 |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 17431                   | GS   |         |    | DATA TRANSCRIBER                             |    | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE             | IRM 6.735  | STATED  | 2007                                   | 2007                           | 2  | N 14 DAYS                                    | SUSPENSI<br>ON 14<br>DAYS               | STATED   |
| 2007 | 17441                   | GS   | 2210    | 13 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210     | 05 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ENTERPRISE<br>OPERATIONS             | OTHER - OFF DUTY<br>MISCONDUCT/POS<br>T APPT ARREST   |  | 2004-2007                              | NOT<br>STATED   | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007 | 17442                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          |  | ONE             | NOT<br>STATED  | STATED  | 2007                                   | 2007                           | 1  | N 3 DAYS                                     | SUSPENSI<br>ON 3<br>DAYS                | STATED   |
| 2007 | 17448                   | GS   | 0501    | 11 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | DEPUTY NATL<br>TXPYR ADVOCATE        | DISCOURTEOUS<br>CONDUCT   | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | STATED   |
| 2007 | 17468                   | GS   | 0305    | 03 | MAIL AND FILE                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 17472                   | GS   | 0512    | 11 | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXEMPT<br>ORGANIZATIONS              | ATTENDANCE-<br>RELATED<br>OFFENSES<br>CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | eE | Employe | e Sei | (2)<br>ries, Grade and Job<br>Title          | Di | isciplini |    | (3)<br>fficial Series, Grade<br>I Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                             | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed        | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|---------|-------|--|----|-----------|----|--|--------------------------------------|--|--|--|--------------------|--|---|--|--------------------------------|--|--|--|--|
| 2007 | 17473                   | GS | 0356    | 03    | DATA TRANSCRIBER                             | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | CONDUCT<br>UNBECOMING<br>FALSE OR<br>MISLEADING<br>STATEMENTS        | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 17474                   | GS | 5 2210  | 12    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210      | 01 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | OTHER - PTSP<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 17475                   | GS | 0332    | 07    | COMPUTER<br>OPERATION                        | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | THEFT, FALSE<br>CLAIMS AND<br>UNAUTHORIZED<br>PROPERTY<br>POSSESSION | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2007 | 17477                   | GS | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS        | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | IDRS<br>SECURITY<br>RULE                                 |   | 2007                                   | 2007                           | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | SUSPENSI<br>ON 30<br>DAYS                      | NOT<br>STATED  |
| 2007 | 17478                   | GS | 0962    | 05    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | THEFT, FALSE<br>CLAIMS AND<br>UNAUTHORIZED<br>PROPERTY<br>POSSESSION | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2007 | 17496                   | GS | 0962    | 07    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS        | NOT<br>STATED                          | NOT<br>STATED                          | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 17506                   | GS | 0592    | 07    | TAX EXAMINING                                | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS        | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                      | NOT<br>STATED  |
| 2007 | 17507                   | GS | 0592    | 06    | TAX EXAMINING                                | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |
| 2007 | 17511                   | GS | 6 0592  | 06    | TAX EXAMINING                                | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2007 | 17515                   | GS | 0512    | 11    | INTERNAL REVENUE<br>AGENT                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | OTHER - BARRED<br>STATUTE  | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 17583                   | GS | 5 1169  | 11    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | OTHER -<br>FAILURE<br>TO EOD<br>TIMELY                   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 17593                   | GS | 0592    | 04    | TAX EXAMINING                                | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS        | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | 2007                                   | 2007                           | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | En | nployee | e Ser | (2)<br>ies, Grade and Job<br>Title      | Di   | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated                | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|----|---------|-------|---|------|----------|----|--|--------------------------------------|---|--|--|-----------------|---|---|--|--------------------------------|---|--|--|--|
| 2007 | 17596                  | GS | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.705   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 17603                  | GS | 0592    | 07    | TAX EXAMINING                           | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | RULE OF<br>CONDUCT<br>(IRS<br>INTERIM<br>RULES OF<br>CONDUCT<br>) 215.3 | NOT<br>STATED   | 2007                                   | 2007                           | 2   | ,  | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 17607                  | IR | 1169    | 05    | INTERNAL REVENUE<br>OFFICER             | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | OTHER - FAILURE<br>TO PROTECT A<br>STATUTE                    | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.101   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 17608                  | GS | 1169    | 12    | INTERNAL REVENUE<br>OFFICER             | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | OTHER - FAILURE<br>TO PROTECT A<br>STATUTE                    | > \$2000                               | 2004-2007                              | ONE             | OGE<br>2635.101   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 17622                  | GS | 1169    | 11    | INTERNAL REVENUE<br>OFFICER             | IR   | 1169     | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE             | IDRS<br>SECURITY<br>RULE  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 17625                  | GS | 0503    | 05    | FINANCIAL CLERICAL<br>AND ASSISTANCE    | . IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 17626                  | GS | 0503    | 05    | FINANCIAL CLERICAL<br>AND ASSISTANCE    | . IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 17633                  | GS | 0201    | 09    | PERSONNEL<br>MANAGEMENT                 | IR   | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | OTHER -<br>UNPROFESSIONAL<br>CONDUCT                          | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 17637                  | GS | 0962    | 08    | CONTACT<br>REPRESENTATIVE               | IR   | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 2   | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) |  | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 17649                  | GS | 0526    | 11    | TAX TECHNICIAN                          | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY            | > \$2000                               | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 17652                  | GS | 1101    | 14    | GENERAL BUSINESS<br>AND INDUSTRY        | IR   | 1102     | 01 | CONTRACTING                                  | PROCUREMENT                          | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.705   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 17659                  | GS | 0318    | 05    | SECRETARY                               | IR   | 1169     |    | INTERNAL REVENUE<br>OFFICER                  |                                      | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                          | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
|      | 17663                  |    | 0962    |       | CONTACT<br>REPRESENTATIVE               | IR   | 0340     |    | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              |                 | NOT<br>STATED   | STATED  |  |                                | 3   | N 30 DAYS                                    | SUSPENSI<br>ON 30<br>DAYS                            | ON 5<br>DAYS   |
| 2007 | 17665                  | GS | 1169    | 11    | INTERNAL REVENUE<br>OFFICER             | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                          | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |

| ALER<br>Nu | (1)<br>TS Case<br>mber |    |      |    | (2)<br>ies, Grade and Job<br>Title         |    | •    | an | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                          | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated       | Accepted      | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elaps<br>in M | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------------|------------------------|----|------|----|--|----|------|----|--|--------------------------------------|---|--|--|--------------------------------|--|---------------|--|---------------------------------|--|--|---|--|
| 2007       | 17666                  | GS | 1102 | 13 | CONTRACTING                                | IR | 1102 | 01 | CONTRACTING                                  | PROCUREMENT                          | DISCOURTEOUS<br>CONDUCT   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                   | OGE<br>2635.705  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                            | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | ADMONIS<br>HMENT                                     |
| 2007       | 17667                  | GS | 1802 | 07 | COMPLIANCE<br>INSPECTION AND<br>SUPPORT    | IR | 1811 | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS       | DISCOURTEOUS<br>CONDUCT<br>DISRUPTION OF<br>WORK                  | NOT<br>STATED                          | 2004-2007                              | ONE                            | OGE<br>2635.101  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                            | E<br>NOT<br>APPLI<br>CABL<br>E                       | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007       | 17673                  | GS | 0318 | 08 | SECRETARY                                  | AD | 0340 | 00 | PROGRAM<br>MANAGEMENT                        | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | \$1501-<br>\$2000                      | 2004-2007                              | ONE                            | OGE<br>2635.809  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                            | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007       | 17676                  | GS | 0501 | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0526 | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | NOT<br>STATED                          | 2004-2007                              | ONE                            | OGE<br>2635.809  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                            | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | NG                                      | NOT<br>STATED  |
| 2007       | 17680                  | GS | 0592 | 07 | TAX EXAMINING                              | IR | 0592 | 08 | TAX EXAMINING                                | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | NOT<br>STATED                          | 2004-2007                              | ONE                            | OGE<br>2635.809  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                            | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007       | 17685                  | GS | 1102 | 12 | CONTRACTING                                | IR | 1102 | 01 | CONTRACTING                                  | PROCUREMENT                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | <= \$500                               | 2004-2007                              | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                            | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007       | 17696                  | GS | 0303 | 05 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0503 | 08 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | CINCINNATI<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                            | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007       | 17701                  | IR | 0962 | 07 | CONTACT<br>REPRESENTATIVE                  | IR | 0340 | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | > \$2000                               | 2004-2007                              | MULTIPL<br>E                   | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                            | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007       | 17743                  | GS | 0501 | 09 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0501 | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | CUST AST,<br>RELATNSHP &<br>ED(CARE) | OTHER -<br>EMPLOYEE<br>ATTEMPTED TO<br>ACCESS HER OWN<br>ACCOUNT. | NOT<br>STATED                          | 2004-2007                              | ONE                            | IDRS<br>SECURITY<br>RULE                                       |               | NOT<br>APPLICAB<br>LE                  | 2007                            | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |   | NOT<br>STATED  |
| 2007       | 17747                  | GS | 0512 | 12 | INTERNAL REVENUE<br>AGENT                  | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | GOVERNMENT<br>ENTITIES               | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                              | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                   | OGE<br>2635.705  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                            | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007       | 17756                  | GS |      | 07 | CONTACT<br>REPRESENTATIVE                  | IR |      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          |  | E                              | IRM 6.735  | SELF          |  | 2007                            | 3  | N 30 DAYS                                    | SUSPENSI<br>ON 20<br>DAYS               | ON 10<br>DAYS  |
| 2007       | 17777                  | GS | 0962 |    | CONTACT<br>REPRESENTATIVE                  | IR | 0301 | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                   | IRM 6.735  | STATED        | 2007                                   | 2007                            | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | ON 30<br>DAYS                           | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007       | 17808                  | GS | 0305 | 03 | MAIL AND FILE                              | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          | 2004-2007                              | ONE                            | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                            | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007       | 17810                  | GS | 0592 | 07 | TAX EXAMINING                              | IR | 0592 | 08 | TAX EXAMINING                                | AUSTIN<br>SUBMISSION<br>PROCESSING   | INTERNET / EMAIL<br>MISUSE  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                   | OTHER -<br>LTD PERS<br>USE OF<br>GOVT<br>INFO<br>TECH<br>EQUIP |               | NOT<br>APPLICAB<br>LE                  | 2007                            | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e Er | nploye |    | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                             | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>iduct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated |               | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|--------|----|--|----|----------|----|--|--------------------------------------|--|--|--|--------------------------|--|---------------|--|------------------------------|--|---|---|--|
| 2007 | 17812                  | GS   | 0318   | 06 | SECRETARY                                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | THEFT, FALSE<br>CLAIMS AND<br>UNAUTHORIZED<br>PROPERTY<br>POSSESSION | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | OGE<br>2635.101  |               | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17826                  | GS   | 0801   | 13 | GENERAL<br>ENGINEERING                       | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SPECIALISTS                    | TAXES OTHER-<br>STATE AND LOCAL                                      | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E             | OGE<br>2635.809  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17832                  | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17850                  | GS   | 0303   | 03 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0303     | 11 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17851                  | IR   | 0303   | 11 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2007 | 17853                  | IR   | 2210   | 04 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | APPLICATIONS<br>DEVELOPMENT          | OTHER -<br>UNPROFESSIONAL<br>CONDUCT                                 | NOT<br>STATED                          | 2004-2007                              | ONE                      | OGE<br>2635.705  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17855                  | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | IR |          | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | OTHER -<br>ATTEMPTED<br>UNAUTHORIZED<br>ACCESS                       | NOT<br>STATED                          | 2004-2007                              |                          | OTHER -<br>UNAX<br>GUIDELIN<br>ES                        | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | NG                                      |  |
| 2007 | 17869                  | GS   | 0512   | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | NATURAL<br>RESOURCES &<br>CONSTRUCT  | DISCOURTEOUS<br>CONDUCT  | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
|      | 17875                  | GS   | 0592   |    | TAX EXAMINING                                | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>COMPLIANCE<br>SERVICES    | MISUSE   | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | STATED        |  | 2007                         | 1  | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | STATED   |
| 2007 | 17877                  | GS   | 0962   |    | CONTACT<br>REPRESENTATIVE                    | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | AUSTIN<br>COMPLIANCE<br>SERVICES     |  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E             | 2635.705   | STATED        | 2007                                   | 2007                         | 2  | SUSPENSIO<br>N 5 DAYS                     | ON 3<br>DAYS                            | STATED   |
| 2007 | 17882                  | GS   |        |    | TAX EXAMINING                                | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | MISUSE   | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | STATED   |
| 2007 | 17889                  | GS   | 0301   | 09 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ACIO ENTERPRISE<br>SERVICES          | FALSE OR<br>MISLEADING<br>STATEMENTS                                 | NOT<br>STATED                          | NOT<br>STATED                          | ONE                      | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17901                  | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED            | IRM 6.735  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 17905                  | GS   | 0318   | 05 | SECRETARY                                    | IR | 0526     | 07 | TAX TECHNICIAN                               | EXAMINATION                          | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | ONE                      | OTHER -<br>IRS<br>POLICY-<br>LIMITED<br>PERSONA<br>L USE | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                         | E<br>NOT<br>APPLI<br>CABL<br>E                       | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 17909                  | GS   | 0592   | 07 | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | ONE                      | NOT<br>STATED  |               | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye | e Ser | (2)<br>ies, Grade and Job<br>Title         | Di | isciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|-----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 17912                   | GS   | 0592   | 07    | TAX EXAMINING                              | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                 | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 17921                   | GS   | 0305   | 03    | MAIL AND FILE                              | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17936                   |      | 0962   |       | CONTACT<br>REPRESENTATIVE                  | IR |           | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | E                  | IRM 6.735  | STATED  |  | 2007                           | 2  | SUSPENSIO<br>N 3 DAYS                     | D                                       | STATED   |
| 2007 | 17938                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT                  | IR | 0512      | 04 | INTERNAL REVENUE<br>AGENT                    | EMPLOYEE PLANS                       | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | NOT<br>STATED                          | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 17939                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT                  | IR | 0512      | 04 | INTERNAL REVENUE<br>AGENT                    | EMPLOYEE PLANS                       | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | NOT<br>STATED                          | NOT<br>STATED      | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 17948                   | GS   | 0592   | 07    | TAX EXAMINING                              | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17969                   | GS   | 0592   | 07    | TAX EXAMINING                              | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | RRA 1998 -<br>1203 (b)(9)<br>OGE<br>2635.809             | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17970                   | GS   | 0305   | 03    | MAIL AND FILE                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | <= \$500                               | 2000-2003                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 17975                   | GS   | 1169   | 12    | INTERNAL REVENUE<br>OFFICER                | IR | 1169      | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | > \$2000                               | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 17984                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 17985                   | GS   | 1169   | 11    | INTERNAL REVENUE<br>OFFICER                | IR | 1169      | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1001-<br>\$1500                      | 2000-2003<br>2004-2007                 | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 17987                   | GS   | 0501   | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0526      | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR                              | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 17988                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17993                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0501      | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | <= \$500                               | 2004-2007                              | ONE                | OGE<br>2635.809<br>RRA 1998 ·<br>1203 (b)(9)             | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | Er | nployee |    | (2)<br>ies, Grade and Job<br>Title              | Di | sciplini | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|---------|----|---|----|----------|----|--|--------------------------------------|---|--|--|-------------------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 17995                   | GS | 0356    | 04 | DATA TRANSCRIBER                                | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2004-2007                              | ONE                     | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17997                   | GS | 0962    | 05 | CONTACT<br>REPRESENTATIVE                       | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 17999                   | GS | 0592    | 04 | TAX EXAMINING                                   | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | FINANCIAL<br>PROBLEM<br>S                             |  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 18000                   | GS | 0526    | 09 | TAX TECHNICIAN                                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1501-<br>\$2000                      | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 15 DAYS                    | SUSPENSI<br>ON 15<br>DAYS               | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 18003                   | GS | 1802    | 07 | COMPLIANCE<br>INSPECTION AND<br>SUPPORT         | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                     | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 18004                   | GS | 0592    | 05 | TAX EXAMINING                                   | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 18005                   | GS | 0326    | 04 | OFFICE<br>AUTOMATION<br>CLERICAL AND<br>ASSISTA | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | SMALL<br>BUSINESS/SELF<br>EMPLOYED   | TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18007                   | GS | 0962    | 06 | CONTACT<br>REPRESENTATIVE                       | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TC<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 18008                   | GS | 0501    | 12 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM      | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | OFFENSE<br>GOOD<br>WORK<br>RECORD<br>PERSONA<br>L     | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18009                   | GS | 0592    | 07 | TAX EXAMINING                                   | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | PROBLEM<br>S<br>NOT<br>STATED                         | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 18012                   | GS | 0962    | 08 | CONTACT<br>REPRESENTATIVE                       | IR | 0962     | 02 | CONTACT<br>REPRESENTATIVE                    | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                              | ONE                     | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 18013                   | GS | 0356    | 04 | DATA TRANSCRIBER                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18014                   | GS | 2210    | 09 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>OPERATIONS             | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nployee | e Ser | (2)<br>ries, Grade and Job<br>Title     | Di   | isciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated           | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|---|------|-----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|--|--|--|--|
| 2007 | 18022                   | GS   | 1102    | 12    | CONTRACTING                             | IR   | 1102      | 01 | CONTRACTING                                  | PROCUREMENT                          | IMPROPER<br>DISCLOSURES<br>NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY         | NOT<br>STATED                          | NOT<br>STATED                          | ONE             | OTHER -<br>18<br>USC/SEC<br>1906<br>DISCLOSU<br>RE OGE<br>2635.101 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 18030                   | GS   | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>SUBMISSION<br>PROCESSING   | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                             | NOT<br>STATED  |
| 2007 | 18044                   | GS   | 0592    | 07    | TAX EXAMINING                           | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | CONDUCT<br>UNBECOMING<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                         | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 18103                   | GS   | 0962    | 07    | CONTACT<br>REPRESENTATIVE               | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>F) | SUSPENSI<br>ON 5<br>DAYS                             |
| 2007 | 18126                   | GS   | 0962    | 07    | CONTACT<br>REPRESENTATIVE               | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 18135                   | IR   | 0503    | 08    | FINANCIAL CLERICAL<br>AND ASSISTANCE    | . IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                         | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 18139                   | GS   | 0356    | 03    | DATA TRANSCRIBER                        | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | FALSE OR<br>MISLEADING<br>STATEMENTS  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 18141                   | GS   | 0391    | 11    | TELECOMMUNICATIC<br>NS                  | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | DEBT COLLECTION   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 18143                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE               | IR   | 0962      | 09 | CONTACT<br>REPRESENTATIVE                    | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                                    | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 18163                   | GS   | 0342    | 11    | SUPPORT SERVICES<br>ADMINISTRATION      | IR   | 0342      | 01 | SUPPORT SERVICES<br>ADMINISTRATION           | REAL ESTATE &<br>FAC MGMT            | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OTHER -<br>IRM 6.735   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 18182                   | IR   | 0592    |       | TAX EXAMINING                           | IR   | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>SUBMISSION<br>PROCESSING   | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>SAFETY AND<br>PHYSICAL<br>SECURITY<br>MATTERS | NOT<br>STATED                          |  | ONE             | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | STATED   |
| 2007 |                         |      | 0503    |       | FINANCIAL CLERICAL<br>AND ASSISTANCE    |      | 0340      |    | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                                    | NOT<br>STATED                          | 2004-2007                              | E               | STATED   | NOT<br>STATED   |  | 2007                           | 2  | N 1 DAYS                                     | SUSPENSI<br>ON 1<br>DAYS                             | STATED   |
| 2007 | 18187                   | GS   | 0356    | 04    | DATA TRANSCRIBER                        | IR   | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                             | ADMONIS<br>HMENT                                     |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title        | Di | isciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                        | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|-----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|--|--|--|--|
| 2007 | 18201                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                    | ANDOVER<br>COMPLIANCE<br>SERVICES    | DISCOURTEOUS<br>CONDUCT<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED   | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 18212                   | GS   | 0592   | 06    | TAX EXAMINING                              | IR | 0340      | 05 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                              | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 18224                   | GS   | 0592   | 05    | TAX EXAMINING                              | IR | 0592      | 08 | TAX EXAMINING                                | OGDEN<br>COMPLIANCE<br>SERVICES      | SAFETY AND<br>PHYSICAL<br>SECURITY<br>MATTERS                   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 18240                   | GS   | 0962   | 07    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.801  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 18267                   | GS   | 0962   |       | CONTACT<br>REPRESENTATIVE                  | IR |           | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES                              | NOT<br>STATED                          |  | MULTIPL<br>E    | STATED   | STATED  |  | 2007                           | 1  | N 3 DAYS                                     | SUSPENSI<br>ON 3<br>DAYS                             | STATED   |
| 2007 | 18284                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0592      | 09 | TAX EXAMINING                                | FRESNO<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                              | ONE             | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 18306                   | GS   | 1169   |       | INTERNAL REVENUE<br>OFFICER                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY         | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 18307                   | GS   | 0592   | 07    | TAX EXAMINING                              | IR | 0301      | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES                              | NOT<br>STATED                          | 2004-2007                              | MULTIPL         | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 18308                   | GS   | 1169   | 12    | INTERNAL REVENUE<br>OFFICER                | IR | 1169      | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | INTERNET / EMAIL<br>MISUSE                                      | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 18312                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                    | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES                              | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 18313                   | GS   | 0592   | 07    | TAX EXAMINING                              | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES                              | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 18314                   | GS   | 0526   | 09    | TAX TECHNICIAN                             | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                    | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES                              | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 18322                   | GS   | 0501   | 07    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0526      | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | DISCOURTEOUS<br>CONDUCT   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 18328                   | GS   | 0962   | 09    | CONTACT<br>REPRESENTATIVE                  | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                    | AUSTIN ACCOUNTS<br>MANAGEMENT        | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY              | > \$2000                               | 2004-2007                              | ONE             | OTHER -<br>IRM<br>25.6.5.5.4                             | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>ımber | e Er | nployee |    | (2)<br>ies, Grade and Job<br>Title           | Di   | sciplini |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                      | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal I<br>Miscon<br>Multiple C | Range of<br>duct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|--|------|----------|----|--|--------------------------------------|---|--|---|--------------------------------|--|---|--|-------------------------|--|---|---|--|
| 2007 | 18334                   | GS   | 0592    | 05 | TAX EXAMINING                                | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E                   | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18339                   | GS   | 1169    | 12 | INTERNAL REVENUE<br>OFFICER                  | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | 0 <= \$500                             | 2004-2007                                 | ONE                            | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18340                   | GS   | 0512    | 13 | INTERNAL REVENUE<br>AGENT                    | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1001-<br>\$1500                      | 2004-2007                                 | MULTIPL<br>E                   | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18345                   | GS   | 0343    | 14 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | ONE                            | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18347                   | GS   | 0503    | 05 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | . IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | ONE                            | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18349                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | > \$2000                               | 2000-2003                                 | ONE                            | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 18350                   | GS   | 0303    | 05 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COMMUNICATIONS,<br>LIAISON & DISC    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | ONE                            | OGE<br>2635.902  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 18363                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$ 501-<br>\$1000                      | 2004-2007                                 | ONE                            | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18366                   | GS   | 2210    | 13 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ENTERPRISE<br>OPERATIONS             | GOVT CREDIT<br>CARD PROBLEMS<br>TAX,<br>UNDERREPORTER                         | > \$2000                               | 2004-2007                                 | MULTIPL<br>E                   | OGE<br>2635.101  |   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | ADMONIS<br>HMENT                                     |
| 2007 | 18371                   | GS   | 0512    | 12 | INTERNAL REVENUE<br>AGENT                    | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | 0 <= \$500                             | 2004-2007                                 | ONE                            | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18377                   | GS   | 0512    | 13 | INTERNAL REVENUE<br>AGENT                    | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SPECIALISTS                    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | 0<= \$500                              | 2004-2007                                 | ONE                            | OGE<br>2635.809  | INADVERT<br>ENT ACT                                   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18381                   | GS   | 0525    | 06 | ACCOUNTING<br>TECHNICIAN                     | IR   | 0525     | 08 | ACCOUNTING<br>TECHNICIAN                     | CFO                                  | TAX,<br>UNDERREPORTER   | NOT<br>STATED                          | 2004-2007                                 | ONE                            | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18392                   | GS   | 0512    | 13 | INTERNAL REVENUE<br>AGENT                    | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | HVY MFG &<br>TRANSPORT               | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E                   | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007                    | E<br>NOT<br>APPLI<br>CABL<br>E                       | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18393                   | GS   | 0592    | 05 | TAX EXAMINING                                | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                                 | ONE                            | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e Er | nploye |    | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | •  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discij<br>Impo<br>Elaps | 11)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|--------|----|--|----|----------|----|--|--------------------------------------|---|--|--|-------------------------|--|---|--|------------------------------|--|---|---|--|
| 2007 | 18396                  | GS   | 1811   | 13 | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL                                     | CI NO ATLANTIC<br>AREA FIELD OPS     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18400                  | GS   | 2210   | 05 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 18401                  | GS   | 0501   | 11 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18405                  | GS   | 0303   | 05 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2000-2003<br>2004-2007                 | MULTIPL<br>E            | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | SUSPENSI<br>ON 15<br>DAYS                            |
| 2007 | 18409                  | GS   | 0592   | 06 | TAX EXAMINING                                | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 18411                  | GS   | 2210   | 12 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 18424                  | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                     | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18425                  | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | e <= \$500                             | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 18430                  | GS   | 0356   | 04 | DATA TRANSCRIBER                             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18435                  | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18437                  | GS   | 0305   | 03 | MAIL AND FILE                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18438                  | GS   | 0318   |    | SECRETARY                                    | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED           |  | STATED  | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | COUNSELI<br>NG                                       |
| 2007 | 18445                  | GS   | 1811   | 14 | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | OPERATIONS<br>POLICY &<br>SUPPORT    | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY      | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 7 DAYS                     | SUSPENSI<br>ON 7<br>DAYS                | NOT<br>STATED  |
| 2007 | 18451                  | GS   | 0962   | 06 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18497                  | GS   | 0962   | 07 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | ATTENDANCE-<br>RELATED<br>OFFENSES                      | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5<br>DAYS                | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | Er | nploye | e Ser | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed        | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|-------|--|----|----------|----|--|--------------------------------------|--|--|--|-----------------|--|---|--|--------------------------------|--|--|--|--|
| 2007 | 18503                   | GS | 0962   | 05    | CONTACT<br>REPRESENTATIVE                    | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | IRM 6.735  | NOT<br>STATED   | 2007                                   | 2007                           | 2  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET | REMOVAL  |
| 2007 | 18514                   | IR | 0962   | 07    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | GOVT CREDIT<br>CARD PROBLEMS             | > \$2000                               | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 10 DAYS                       | SUSPENSI<br>ON 10<br>DAYS                      | REPRIMA<br>ND  |
| 2007 | 18527                   | GS | 2210   | 13    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | WRITTEN  | NOT<br>STATED  |
| 2007 | 18531                   | GS | 0512   | 11    | INTERNAL REVENUE<br>AGENT                    | IR | 0512     | 05 | INTERNAL REVENUE<br>AGENT                    | FRAUD/BSA                            | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | I NOT<br>STATED                                      |
| 2007 | 18533                   | GS | 2210   | 11    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210     | 05 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS             | > \$2000                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 18536                   | GS | 0501   | 05    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS             | \$1501-<br>\$2000                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                      | NOT<br>STATED  |
| 2007 | 18538                   | GS | 0344   | 07    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EMPLOYEE PLANS                       | GOVT CREDIT<br>CARD PROBLEMS             | \$1501-<br>\$2000                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 18543                   | GS | 0592   | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | GOVT CREDIT<br>CARD PROBLEMS             | \$1501-<br>\$2000                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | I NOT<br>STATED                                      |
| 2007 | 18546                   | GS | 0343   | 13    | MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI STRATEGY                          | GOVT CREDIT<br>CARD PROBLEMS             | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | I NOT<br>STATED                                      |
| 2007 | 18551                   | GS | 0303   | 07    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0342     | 04 | SUPPORT SERVICES<br>ADMINISTRATION           | REAL ESTATE &<br>FAC MGMT            | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 18552                   | GS | 0501   | 11    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | DEPUTY NATL<br>TXPYR ADVOCATE        | GOVT CREDIT<br>CARD PROBLEMS             | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2007 | 18555                   | GS | 0512   | 09    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | > \$2000                               | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 5 DAYS                        | SUSPENSI<br>ON 5<br>DAYS                       | NOT<br>STATED  |
| 2007 | 18557                   | GS | 0512   | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.809<br>OTHER -<br>IRM 1.32.4                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN  | NOT<br>STATED  |
| 2007 | 18558                   | GS | 0592   | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | GOVT CREDIT<br>CARD PROBLEMS             | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2007 | 18560                   | GS | 2210   | 13    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                      | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nployee | e Ser | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|--|--|--|-----------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 18561                   | GS   | 0512    | 11    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXEMPT<br>ORGANIZATIONS              | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18565                   | GS   | 2210    | 13    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | GS | 2210     | 13 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ENTERPRISE<br>OPERATIONS             | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18567                   | GS   | 0335    | 07    | COMPUTER CLERK<br>AND ASSISTANT              | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18568                   | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS             | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | E<br>NOT<br>APPLI<br>CABL<br>F                       | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 18571                   | GS   | 1169    | 11    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS             | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED   | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18573                   | GS   | 0343    | 12    | MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 18574                   | GS   | 0201    | 12    | PERSONNEL<br>MANAGEMENT                      | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | GOVT CREDIT<br>CARD PROBLEMS             | \$1501-<br>\$2000                      | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | E<br>NOT<br>APPLI<br>CABL<br>E                       | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 18575                   | GS   | 0301    | 01    | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | APPLICATIONS<br>DEVELOPMENT          | GOVT CREDIT<br>CARD PROBLEMS             | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18579                   | GS   | 1169    | 12    | INTERNAL REVENUE<br>OFFICER                  | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | COLLECTION                           | OTHER - BARRED<br>STATURE                | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED   | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 18586                   | GS   | 1101    | 09    | GENERAL BUSINESS<br>AND INDUSTRY             | IR | 1169     | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | CONDUCT<br>UNBECOMING                    | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18588                   | GS   | 1169    | 11    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | DISCOURTEOUS<br>CONDUCT                  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 18592                   | GS   | 0303    | 05    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES       | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED   | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 18610                   | GS   | 0512    | 11    | INTERNAL REVENUE<br>AGENT                    | IR | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | EXAMINATION                          | ATTENDANCE-<br>RELATED<br>OFFENSES       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | E<br>NOT<br>APPLI<br>CABL<br>E                       | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18618                   | GS   | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18627                   | IR   | 0592    | 08    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS     | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | E<br>NOT<br>APPLI<br>CABL<br>E                       | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>ımber | e En | nploye | e Ser | (2)<br>ies, Grade and Job<br>Title      | Di | sciplini |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated            |               | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|---|----|----------|----|--|--------------------------------------|---|--|--|-------------------------|---|---------------|--|--------------------------------|--|---|--|--|
| 2007 | 18640                   | GS   | 0592   | 07    | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE                     | IRM 6.735   | NOT<br>STATED | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5<br>DAYS                             | NOT<br>STATED  |
| 2007 | 18647                   | GS   | 0592   | 07    | TAX EXAMINING                           | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED   | NOT<br>STATED | 2007                                   | 2007                           | 1  | (PROBATION<br>PERIOD                      | REMOVAL  | NOT<br>STATED  |
| 2007 | 18667                   | GS   | 1802   | 06    | COMPLIANCE<br>INSPECTION AND<br>SUPPORT | ES | 1811     | 00 | CRIMINAL<br>INVESTIGATING                    | CI NO ATLANTIC<br>AREA FIELD OPS     | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED   | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN   | NOT<br>STATED  |
| 2007 | 18676                   | GS   | 0592   | 07    | TAX EXAMINING                           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                         | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED   | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 18677                   | GS   | 0962   | 09    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS                         | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED   | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |  | NOT<br>STATED  |
| 2007 | 18698                   | GS   | 0592   | 06    | TAX EXAMINING                           | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | COLLECTION                           | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED   | NOT<br>STATED | 2007                                   | 2007                           | 1  | (PROBATION<br>PERIOD                      |  | NOT<br>STATED  |
| 2007 | 18700                   | GS   | 0592   | 07    | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                               | \$1501-<br>\$2000                      | 2004-2007                              | ONE                     | OGE<br>2635.101   | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 18708                   | IR   | 0592   | 08    | TAX EXAMINING                           | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | SAFETY AND<br>PHYSICAL<br>SECURITY<br>MATTERS   | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.704   | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |  | NOT<br>STATED  |
| 2007 | 18742                   | GS   | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                               | \$1001-<br>\$1500                      | 2004-2007                              | ONE                     | OGE<br>2635.809   | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |  | NOT<br>STATED  |
| 2007 | 18747                   | GS   | 1101   | 09    | GENERAL BUSINESS<br>AND INDUSTRY        | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | INTERNET / EMAIL<br>MISUSE TAXES<br>FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | OGE<br>2635.809<br>OTHER -<br>LIMITED<br>PERSONA<br>L USE<br>POLICY | NOT<br>STATED | 2007                                   | 2007                           | 2  | (PROBATION<br>PERIOD                      | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 14<br>DAYS                            |
| 2007 | 18751                   | GS   | 0512   | 13    | INTERNAL REVENUE<br>AGENT               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | NATURAL<br>RESOURCES &<br>CONSTRUCT  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                               | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.809   | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 18752                   | GS   | 0203   | 07    | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | EMPLOYEE<br>SUPPORT<br>SERVICES      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                               | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                     | OGE<br>2635.809   | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 18758                   | GS   | 0592   | 07    | TAX EXAMINING                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                               | <= \$500                               | 2004-2007                              | ONE                     | OGE<br>2635.101   | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                                     | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye | e Ser | (2)<br>ries, Grade and Job<br>Title        | Di | isciplini | -  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|-----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 18762                   | GS   | 0318   | 06    | SECRETARY                                  | IR | 0343      | 05 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | EMPLOYEE<br>SUPPORT<br>SERVICES      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18763                   | GS   | 0962   | 05    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18770                   | GS   | 0962   | 05    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18772                   | IR   | 0356   | 11    | DATA TRANSCRIBER                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>SUBMISSION<br>PROCESSING   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18780                   | GS   | 0592   | 05    | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18783                   | GS   | 0303   | 06    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SPECIALISTS                    |   | > \$2000                               | 2004-2007                              | ONE             | OGE<br>2635.809  | INADVERT<br>ENT ACT                                   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18794                   | GS   | 0592   | 07    | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAX,<br>UNDERREPORTER   | > \$2000                               | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18800                   | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | ADMONIS<br>HMENT                                     |
| 2007 | 18801                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR |           | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          |  | MULTIPL<br>E    | STATED   | STATED  | 2007                                   | 2007                           | 2  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | HMENT  |
| 2007 | 18813                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | COUNSELI<br>NG                                       |
| 2007 | 18815                   | GS   | 0356   | 04    | DATA TRANSCRIBER                           | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18816                   | GS   | 0592   | 07    | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18843                   | GS   | 0501   | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0526      | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | OTHER -<br>ATTEMPTED<br>ACCESS TO HER<br>OWN ACCOUNT.         | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18849                   | GS   | 0592   | 08    | TAX EXAMINING                              | IR | 0592      | 08 | TAX EXAMINING                                | AUSTIN<br>COMPLIANCE<br>SERVICES     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18852                   | GS   | 0512   | 12    | INTERNAL REVENUE<br>AGENT                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY            | NOT<br>STATED                          | NOT<br>STATED                          | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e E | mploye | ee Se | (2)<br>vries, Grade and Job<br>Title         | D  | isciplini | •  | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscor<br>Multiple ( | Range of<br>nduct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | (1<br>Ye<br>Discip<br>Impo<br>Elapse<br>in Mo | ear<br>line is<br>sed -<br>ed time | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|--------|-------|--|----|-----------|----|---|--------------------------------------|---|--|--|---------------------|--|---|--|---|------------------------------------|---|---|--|
| 2007 | 18859                   | GS  | 0318   | 06    | SECRETARY                                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | ENTERPRISE<br>OPERATIONS             | CONDUCT<br>UNBECOMING<br>OTHER - OFF DUTY<br>MISCONDUCT<br>RESULTING IN<br>ARREST                                 | <= \$500                               | 2004-2007                              | ONE                 | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E          | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 18875                   | GS  | 0592   | 07    | TAX EXAMINING                                | IR | 0592      | 08 | TAX EXAMINING                               | OGDEN<br>COMPLIANCE<br>SERVICES      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                              | ONE                 | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E          | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 18897                   | GS  |        |       |  | IR | 0340      |    | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | E                   | STATED   | NOT<br>STATED   | 2007                                   | 2007  | 1                                  |   | ON 3<br>DAYS                            | REPRIMA<br>ND  |
| 2007 | 18898                   |     | 0962   |       | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                       | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | E                   | STATED   | NOT<br>STATED   | 2007                                   | 2007  |                                    | N 1 DAYS                                  | SUSPENSI<br>ON 1<br>DAYS                | HMENT  |
| 2007 | 18899                   | GS  |        |       | SECRETARY                                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT<br>PROGRAM            |                                      | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>COMPUTER  | NOT<br>STATED<br>NOT                   | 2004-2007                              | ONE                 | NOT<br>STATED  | NOT<br>STATED<br>NOT                                  | 2007<br>NOT                            | 2007  | 1<br>NOT                           | SUSPENSIO<br>N 5 DAYS                     | ON 5<br>DAYS                            | WRITTEN<br>COUNSEL<br>NG<br>NOT                      |
| 007  | 16903                   | 65  | 0962   | 05    | REPRESENTATIVE                               | IK | 0340      | 01 | MANAGEMENT                                  | ACCOUNTS<br>MANAGEMENT               | SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | STATED                                 | STATED                                 | ONE                 | SECURITY<br>RULE   |   | APPLICAB<br>LE                         |   | APPLI<br>CABL<br>E                 | APPLICABLE                                |   | STATED   |
| 2007 | 18906                   | GS  | 0512   | 12    | INTERNAL REVENUE<br>AGENT                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                          | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                              | ONE                 | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL               | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18915                   | GS  | 2210   | 09    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | ENTERPRISE<br>OPERATIONS             | PROBLEMS WITH<br>FINANCIAL<br>AFFAIRS OTHER -<br>FAILURE TO<br>ACCURATELY<br>COMPLETE GOVT<br>DOCUMENT<br>(SF85P) | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E        | OGE<br>2635.101<br>OGE<br>2635.809                       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007  | NOT<br>APPLI<br>CABL<br>E          | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| :007 | 18933                   | GS  | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E        | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  |   | NOT<br>APPLI<br>CABL<br>E          | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | STATED   |
| 2007 | 18963                   | GS  | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                       | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E        | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007  | 1                                  | (PROBATION<br>PERIOD                      | ÓN                                      | SUSPENS<br>ON 14<br>DAYS                             |
| 2007 | 18964                   |     |        |       | TAX EXAMINING                                | IR |           |    | PROGRAM<br>MANAGEMENT                       | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | -                   | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007  |                                    | SUSPENSIO<br>N 7 DAYS                     | ON 7<br>DAYS                            | ADMONIS<br>HMENT                                     |
| 2007 | 18978                   | GS  | 0962   | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                       | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E        | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007  | 3                                  | (PROBATION                                | SUSPENSI<br>ON 14<br>DAYS               | SUSPENS<br>ON 1<br>DAYS                              |

| ALER | (1)<br>TS Case<br>umber | e Er | nployee |    | (2)<br>ies, Grade and Job<br>Title           | Di | isciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|--|----|-----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 18983                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 18984                   | GS   | 0303    | 06 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FIELD OPERATIONS<br>WEST             |   | \$1501-<br>\$2000                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18992                   | GS   | 0356    | 03 | DATA TRANSCRIBER                             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR        | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 18996                   | IR   | 0305    | 04 | MAIL AND FILE                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 18999                   | GS   | 0592    | 05 | TAX EXAMINING                                | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19001                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19014                   | GS   | 0592    | 05 | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19016                   | GS   | 0318    | 05 | SECRETARY                                    | IR | 0512      | 04 | INTERNAL REVENUE<br>AGENT                    | EXAMINATION                          | TAX,<br>UNDERREPORTER                                   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19018                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19022                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19026                   | GS   | 2210    | 13 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210      | 04 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ENTERPRISE<br>OPERATIONS             | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19027                   | GS   | 0592    | 06 | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809<br>OGE<br>2635.101                       | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19029                   | GS   | 0303    | 05 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19030                   | GS   | 0592    | 06 | TAX EXAMINING                                | IR | 0592      | 08 | TAX EXAMINING                                | AUSTIN<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19034                   | GS   | 0592    | 05 | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | εE | mploye | e Sei | (2)<br>ries, Grade and Job<br>Title     | Di | sciplinii | (3)<br>ng Official Series, Grade<br>and Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                    | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | (11)<br>Year<br>Discipline<br>Imposed<br>Elapsed ti | - Proposed                                   | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|-------|---|----|-----------|---|--------------------------------------|---|--|--|-------------------------|--|---|--|---|--|---|--|
| 2007 | 19035                   | GS | 0962   | 06    | CONTACT<br>REPRESENTATIVE               | IR | 0340      | 02 PROGRAM<br>MANAGEMENT                          | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTE | STATED                                 | 2004-2007                              | ONE                     | Violated<br>OGE<br>2635.809                  | E WHEN<br>TAXES                                       | NOT<br>APPLICAB<br>LE                  | in Month<br>2007 NO<br>AP<br>CA<br>E                | T NOT<br>PLI APPLICABLE                      | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19041                   | GS | 0201   | 09    | PERSONNEL<br>MANAGEMENT                 | IR | 0201      | 06 PERSONNEL<br>MANAGEMENT                        | HUMAN CAPITAL<br>OFFICE              | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | O <= \$500                             | 2000-2003                              | MULTIPL<br>E            | OGE<br>2635.101                              | DUE<br>NOT<br>STATED                                  | NOT<br>APPLICAB<br>LE                  | 2007 NO<br>AP<br>CA<br>F                            | PLI APPLICABLE                               | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 19044                   | GS | 1169   | 09    | INTERNAL REVENUE<br>OFFICER             | IR | 0340      | 01 PROGRAM<br>MANAGEMENT                          | COLLECTION                           | TAX,<br>UNDERREPORTE  | \$1001-<br>R \$1500                    | 2004-2007                              | ONE                     | OTHER -<br>216.7                             | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 NO<br>AP<br>CA                                 | PLI APPLICABLE                               | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19046                   | GS | 0303   | 03    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0340      | 01 PROGRAM<br>MANAGEMENT                          | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | O \$ 501-<br>\$1000                    | 2004-2007                              | ONE                     | OGE<br>2635.101                              | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 NO<br>AP<br>CA                                 | PLI APPLICABLE                               | ADMONISH<br>MENT                        | I NOT<br>STATED                                      |
| 2007 | 19047                   | GS | 0512   | 12    | INTERNAL REVENUE<br>AGENT               | IR | 0340      | 01 PROGRAM<br>MANAGEMENT                          | EMPLOYEE PLANS                       | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | <sup>-</sup> O > \$2000                | 2004-2007                              | ONE                     | OGE<br>2635.809                              | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 NO<br>AP<br>CA<br>E                            | PLI APPLICABLE                               | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 19051                   | GS | 0592   | 05    | TAX EXAMINING                           | IR | 0340      | 01 PROGRAM<br>MANAGEMENT                          | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE T<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                      | O \$ 501-<br>\$1000                    | 2004-2007                              | ONE                     | OGE<br>2635.809                              | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 NO<br>AP<br>CA<br>E                            | PLI APPLICABLE                               | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19070                   | GS | 0526   | 09    | TAX TECHNICIAN                          | IR | 0526      | 06 TAX TECHNICIAN                                 | EXAMINATION                          | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED                                | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 NO<br>AP<br>CA<br>E                            | PLI APPLICABLE                               | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 19086                   | GS | 0356   | 04    | DATA TRANSCRIBER                        | IR | 0340      | 01 PROGRAM<br>MANAGEMENT                          | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED                                | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 NO<br>AP<br>CA<br>E                            | PLI APPLICABLE                               | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19110                   | IR | 0592   | 08    | TAX EXAMINING                           | ES | 0340      | 00 PROGRAM<br>MANAGEMENT                          | ATLANTA<br>COMPLIANCE<br>SERVICES    | FLAGRANT<br>MISCONDUCT  | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED                                | NOT<br>STATED   | 2007                                   | 2007 1  | REMOVAL<br>(PROBATIOI<br>PERIOD<br>COMPLETE) | ÔN                                      | NOT<br>STATED  |
| 2007 | 19122                   | GS | 0201   | 12    | PERSONNEL<br>MANAGEMENT                 | IR | 0201      | 04 PERSONNEL<br>MANAGEMENT                        | HUMAN CAPITAL<br>OFFICE              | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED                                | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 NO<br>AP<br>CA                                 | PLI APPLICABLE                               | WRITTEN<br>COUNSELI<br>NG               | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 19129                   | GS |        | 08    | CONTACT<br>REPRESENTATIVE               | IR |           | 01 PROGRAM<br>MANAGEMENT                          | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          |  | E                       | IRM 6.735                                    | NOT<br>STATED   | 2007                                   | 2007 1  | SUSPENSIO<br>N 5 DAYS                        | ON 5<br>DAYS                            | NOT<br>STATED  |
| 2007 | 19136                   | IR | 1169   | 05    | INTERNAL REVENUE<br>OFFICER             | IR | 0340      | 01 PROGRAM<br>MANAGEMENT                          | COLLECTION                           | MISUSE OF<br>POSITION   | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPL<br>E            | NOT<br>STATED                                | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 NO<br>AP<br>CA<br>E                            | PLI APPLICABLE                               | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 19157                   | GS | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0962      | 07 CONTACT<br>REPRESENTATIVE                      | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | DISCOURTEOUS<br>CONDUCT<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS             | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | OGE<br>2635.705                              | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 NO<br>AP<br>CA<br>E                            | PLI APPLICABLE                               | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19175                   | GS | 0592   | 07    | TAX EXAMINING                           | IR | 0592      | 08 TAX EXAMINING                                  | FRESNO<br>COMPLIANCE<br>SERVICES     | OTHER - IDRS<br>SECURITY<br>W/ACCESSING<br>OWN DATA                         | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED                                | INADVERT<br>ENT ACT                                   | NOT<br>APPLICAB<br>LE                  | 2007 NO<br>AP<br>CA<br>E                            | PLI APPLICABLE                               | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |

|      | (1)<br>TS Case<br>umber | e Er | nploye | e Se | (2)<br>ries, Grade and Job<br>Title          | D  | iscipliniı |    | (3)<br>fficial Series, Grade<br>d Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                          | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|------|--|----|------------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 19191                   | GS   | 0962   | 08   | CONTACT<br>REPRESENTATIVE                    | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19195                   | GS   | 0592   | 07   | TAX EXAMINING                                | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19198                   | GS   | 0962   | 08   | CONTACT<br>REPRESENTATIVE                    | IR | 0962       | 07 | CONTACT<br>REPRESENTATIVE                    | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19218                   | GS   |        |      | TAX EXAMINING                                | ES | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | RELATED<br>OFFENSES   | NOT<br>STATED                          |  | E               | IRM 6.735  | SELF  |  | 2007                           | 3   | N 7 DAYS                                  | SUSPENSI<br>ON 3<br>DAYS                | ND   |
| 2007 | 19229                   | GS   | 1811   | 11   | CRIMINAL<br>INVESTIGATING                    | IR | 1811       | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS       | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 19258                   | GS   | 0318   | 05   | SECRETARY                                    | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | DISRUPTION OF<br>WORK   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | ADMONIS<br>HMENT                                     |
| 2007 | 19263                   | GS   | 0303   | 04   | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | OTHER -<br>EMPLOYEE<br>ATTEMPTED TO<br>ACCESS HIS OWN<br>ACCOUNT. | NOT<br>STATED                          | 2004-2007                              | ONE             | IDRS<br>SECURITY<br>RULE                                 |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19265                   | GS   | 0987   | 09   | SPECIALIST                                   | IR | 0010       | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          | 2004-2007                              | E               | STATED   | STATED  |  | 2007                           | 1   | N 14 DAYS                                 | SUSPENSI<br>ON 14<br>DAYS               | ON 5<br>DAYS   |
| 2007 | 19269                   | GS   | 1101   | 09   | GENERAL BUSINESS<br>AND INDUSTRY             | IR | 1169       | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | DISCOURTEOUS<br>CONDUCT   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 19275                   | GS   | 0962   | 08   | CONTACT<br>REPRESENTATIVE                    | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19281                   | GS   | 1811   | 11   | CRIMINAL<br>INVESTIGATING                    | ES | 1811       | 00 | CRIMINAL<br>INVESTIGATING                    | CI NO ATLANTIC<br>AREA FIELD OPS     | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19290                   | GS   | 0344   | 07   | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 2210       | 01 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19306                   | GS   | 0501   | 11   | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0501       | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | DEPUTY NATL<br>TXPYR ADVOCATE        | GOVT CREDIT<br>CARD PROBLEMS                                      | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19311                   |      | 0962   | 07   | CONTACT<br>REPRESENTATIVE                    | IR |            | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          |  | MULTIPL<br>E    | STATED   | STATED  | 2007                                   | 2007                           |   |   | ON<br>PERIOD<br>COMPLET<br>E)           | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 19333                   | GS   | 0201   | 13   | PERSONNEL<br>MANAGEMENT                      | ES | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | HUMAN CAPITAL<br>OFFICE              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | > \$2000                               | 2004-2007                              | ONE             | OGE<br>2635.809  | RSTANDIN  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e En | nployee | e Ser | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 19339                   | GS   | 0318    | 08    | SECRETARY                                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID ATLANTIC<br>AREA FIELD OPS    | TAX,<br>UNDERREPORTER   | > \$2000                               | 2000-2003                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 19340                   | GS   | 0512    | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19348                   | GS   | 0512    | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COMM<br>TECHNOLOGY &<br>MED          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19349                   | GS   | 0503    | 06    | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19352                   | GS   | 0526    |       |  | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | STATED   |
| 2007 | 19354                   | GS   | 0501    | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0501     | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER | > \$2000                               | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19355                   | GS   | 0344    | 07    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0201     | 04 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1001-<br>\$1500                      | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19363                   | IR   | 1811    | 04    | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID STATES<br>AREA FIELD OPS      | TAX,<br>UNDERREPORTER   | \$1501-<br>\$2000                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19369                   | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19371                   | GS   | 0305    | 03    | MAIL AND FILE                                | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19372                   | GS   | 0305    | 03    | MAIL AND FILE                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19373                   | GS   | 0344    |       | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0343     | 06 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | EXAMINATION                          | TAX,<br>UNDERREPORTER   | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19380                   | GS   | 0592    | 06    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAX,<br>UNDERREPORTER   | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19384                   | GS   | 0512    | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SPECIALISTS                    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.809  | INADVERT<br>ENT ACT                                   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19386                   | IR   | 0962    | 07    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                       | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e Er | nployee | e Ser | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | -  | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                            | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal I<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|---|--|---|--------------------|--|---|--|------------------------------|--|---|---|--|
| 2007 | 19394                  | GS   | 0501    | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | TAX,<br>UNDERREPORTER   | \$1501-<br>\$2000                      | 2004-2007                                 | ONE                | OGE<br>2635.809  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19404                  | GS   | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY             | \$ 501-<br>\$1000                      | 2000-2003<br>2004-2007                    | MULTIPL<br>E       | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19405                  | GS   | 0592    | 06    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EMPLOYEE PLANS                       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY             | \$1001-<br>\$1500                      | 2004-2007                                 | ONE                | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19408                  | GS   | 0305    | 03    | MAIL AND FILE                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SUB PROC -<br>FRESNO           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY             | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E       | OGE<br>2635.101  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 19415                  | GS   | 0592    | 05    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY             | NOT<br>STATED                          | 2004-2007                                 | ONE                | OGE<br>2635.101  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19442                  | GS   | 0512    | 11    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | DISCOURTEOUS<br>CONDUCT<br>DISRUPTION OF<br>WORK                    | NOT<br>STATED                          | 2004-2007                                 | ONE                | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19453                  | GS   | 0344    | 06    | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                                  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E       | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19463                  | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | MISUSE OF<br>GOVERNMENT<br>PROPERTY                                 | NOT<br>STATED                          | 2004-2007                                 | ONE                | IDRS<br>SECURITY<br>RULE                                 | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19475                  | GS   | 1169    | 12    | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E       | OGE<br>2635.705  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19478                  | GS   | 0962    | 05    | CONTACT<br>REPRESENTATIVE                    | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>COMPLIANCE<br>SERVICES     | OTHER - WAGE<br>GARNISHMENT   | > \$2000                               | 2004-2007                                 | ONE                | OTHER -<br>WAGE<br>GARNISH<br>MENT                       | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 19488                  | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                                  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E       |  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5<br>DAYS                | ADMONIS<br>HMENT                                     |
| 2007 | 19506                  | GS   | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES                                  | NOT<br>STATED                          | 2004-2007                                 | MULTIPL<br>E       | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19526                  | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                  | NOT<br>STATED                          | 2004-2007                                 | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19569                  | GS   | 0512    | 13    | INTERNAL REVENUE<br>AGENT                    |    | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>OTHER - LEAVE<br>PROCEDURES | NOT<br>STATED                          |   | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | REPRIMA<br>ND  |
| 2007 | 19574                  | GS   | 0512    | 13    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | RETAIL FOOD<br>PHARM & HLTH<br>CARE  | IMPROPER<br>DISCLOSURES   | NOT<br>STATED                          | 2004-2007                                 | ONE                | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e En | nployee | e Ser | (2)<br>ies, Grade and Job<br>Title           | Di | isciplini | -  | (3)<br>)fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                          | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated     | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|-----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 19588                   | GS   | 0962    | 05    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | DISCOURTEOUS<br>CONDUCT<br>DISRUPTION OF<br>WORK                  | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER - 5<br>CFR<br>2635.705(A                               | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19589                   | GS   | 0303    | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    |   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | )<br>OTHER -<br>IRS<br>POLICY<br>LIMITED<br>PERSONA<br>L USE | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | REPRIMA<br>ND  |
| 2007 | 19597                   | GS   | 0501    | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0501      | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | DEPUTY NATL<br>TXPYR ADVOCATE        | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | NOT<br>STATED                          | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19611                   | GS   | 1169    | 09    | INTERNAL REVENUE<br>OFFICER                  | IR | 1169      | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                              | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19629                   | GS   | 2210    | 11    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | INTERNET / EMAIL<br>MISUSE  | NOT<br>STATED                          | 2004-2007                              | ONE             | IRS<br>POLICY -<br>IRSP GOV<br>INFO<br>RESOURC<br>ES         | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19641                   | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0592      | 08 | TAX EXAMINING                                | AUSTIN ACCOUNTS<br>MANAGEMENT        | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19652                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19667                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0962      | 02 | CONTACT<br>REPRESENTATIVE                    | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | OTHER -<br>EMPLOYEE<br>ATTEMPTED TO<br>ACCESS HER OWN<br>ACCOUNT. | NOT<br>STATED                          | 2004-2007                              | ONE             | IDRS<br>SECURITY<br>RULE                                     | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19691                   | GS   | 0592    | 06    | TAX EXAMINING                                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | DISRUPTION OF<br>WORK   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | SUSPENSI<br>ON 3<br>DAYS                             |
| 2007 | 19706                   | GS   | 0592    | 05    | TAX EXAMINING                                | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>IRM<br>6.735.1.2(2<br>)                           |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 19717                   | GS   | 0962    | 05    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19725                   | GS   | 0356    | 03    | DATA TRANSCRIBER                             | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | NOT<br>STATED                          | 2004-2007                              | ONE             | RRA 1998 -<br>1203 (b)(8)                                    |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19726                   | GS   | 0962    | 09    | CONTACT<br>REPRESENTATIVE                    | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | SUSPENSI<br>ON 7<br>DAYS                             |
| 2007 | 19728                   | GS   | 0305    | 04    | MAIL AND FILE                                | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nploye | e Sei | (2)<br>ies, Grade and Job<br>Title         | Di | isciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|-----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|---|---|---|--|
| 2007 | 19730                   | GS   | 0592   | 05    | TAX EXAMINING                              | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.809  | MEDICAL -<br>SELF                                     | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19734                   | GS   | 0962   | 06    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19745                   | GS   | 0501   | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0501      | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | DEPUTY NATL<br>TXPYR ADVOCATE        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19752                   | GS   | 0962   | 05    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2000-2003                              | MULTIPL<br>E       | NOT<br>STATED  | FINANCIAL<br>PROBLEM<br>S                             |  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | WRITTEN<br>COUNSELI<br>NG                            |
| 2007 | 19754                   | GS   | 0501   | 12    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | DEPUTY NATL<br>TXPYR ADVOCATE        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19755                   | GS   | 0560   | 14    | BUDGET ANALYSIS                            | IR | 0560      | 04 | BUDGET ANALYSIS                              | CFO                                  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19769                   | GS   | 0962   | 05    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES OTHER-<br>STATE AND LOCAL                         | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19780                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                    | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR        | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19781                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19782                   | IR   | 0305   | 11    | MAIL AND FILE                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19783                   | GS   | 0592   | 05    | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR        | > \$2000                               | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19789                   | GS   | 1811   | 13    | CRIMINAL<br>INVESTIGATING                  | IR | 1811      | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19790                   | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | > \$2000                               | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19794                   | GS   | 1101   | 09    | GENERAL BUSINESS<br>AND INDUSTRY           | IR | 1101      | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | REPRIMA<br>ND  |
| 2007 | 19795                   | GS   | 0592   | 07    | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | Er | nploye |    | (2)<br>ies, Grade and Job<br>Title      | Di   | sciplini |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                           | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|----|--------|----|---|------|----------|----|--|--------------------------------------|--|--|--|-----------------|--|---|--|-------------------------|--|---|---|--|
| 2007 | 19811                   | GS | 0962   | 05 | CONTACT<br>REPRESENTATIVE               | IR   | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY            | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 19827                   | GS | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR   | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES                                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19836                   | GS | 0592   | 05 | TAX EXAMINING                           | IR   | 0592     | 08 | TAX EXAMINING                                | KANSAS CITY<br>COMPLIANCE SERV       | FIGHTING.<br>PHYSICAL<br>ASSAULTS, AND<br>THREATS                  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19842                   | IR | 0303   | 10 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | FALSE OR<br>MISLEADING<br>STATEMENTS<br>INTERNET / EMAIL<br>MISUSE | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19878                   | ES | 0340   | 00 | PROGRAM<br>MANAGEMENT                   | ES   | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | CUST AST,<br>RELATNSHP &<br>ED(CARE) | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19881                   | IR | 1169   | 05 | INTERNAL REVENUE<br>OFFICER             | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                 | > \$2000                               | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19883                   | GS |        |    | TAX EXAMINING                           | IR   |          | 01 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES                                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | STATED   | STATED  |  | 2007                    | 2  | SUSPENSIO<br>N 3 DAYS                     | ADMONISH<br>MENT                        | COUNSELI<br>NG                                       |
| 2007 | 19894                   | GS | 0343   | 13 | MANAGEMENT AND<br>PROGRAM ANALYSIS      | IR   | 0341     | 01 | ADMINISTRATIVE<br>OFFICER                    | HUMAN CAPITAL<br>OFFICE              | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.704  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19906                   | GS | 0592   | 07 | TAX EXAMINING                           | IR   | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | SAFETY AND<br>PHYSICAL<br>SECURITY<br>MATTERS                      | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 19907                   | GS | 0962   | 05 | CONTACT<br>REPRESENTATIVE               | IR   | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | AUSTIN ACCOUNTS<br>MANAGEMENT        | NEGLIGENT OR   | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 19912                   | GS | 0962   | 08 | CONTACT<br>REPRESENTATIVE               | IR   | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                 | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2007 | 19915                   | GS | 0592   | 07 | TAX EXAMINING                           | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES                                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                    | 1  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2007 | 19926                   | GS | 0503   | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE    | . IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                               | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH                                | NOT<br>STATED  |
| 2007 | 19937                   | GS | 0305   | 03 | MAIL AND FILE                           | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | ATTENDANCE-<br>RELATED<br>OFFENSES                                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 19943                   | GS | 1802   | 07 | COMPLIANCE<br>INSPECTION AND<br>SUPPORT | IR   | 1811     | 04 | CRIMINAL<br>INVESTIGATING                    | CI REFUND CRIMES                     | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | ADMONIS<br>HMENT                                     |

| ALER | (1)<br>TS Case<br>umber | e Er |      |    | (2)<br>ies, Grade and Job<br>Title           |    | -    | an | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                     | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>iduct /<br>Offenses | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | Accepted      | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse<br>in Me | ed time<br>onths          | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|------|----|--|----|------|----|--|--------------------------------------|--|--|--|--------------------------------------|--|---------------|--|---|---------------------------|--|--|--|
| 2007 | 19958                   | GS   | 1169 | 05 | INTERNAL REVENUE<br>OFFICER                  | IR | 1169 | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                                  | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | NOT<br>STATED  |
| 2007 | 19983                   | GS   | 0501 | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0526 | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E                         | NOT<br>STATED  |               | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL      | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 19985                   | GS   | 0962 | 07 | CONTACT<br>REPRESENTATIVE                    | IR | 0962 | 07 | CONTACT<br>REPRESENTATIVE                    | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                           | > \$2000                               | 2000-2003                              | ONE                                  | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 19988                   | GS   | 0592 | 07 | TAX EXAMINING                                | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-  | NOT<br>STATED                          | 2004-2007                              | ONE                                  | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 19998                   | GS   | 0905 | 12 | GENERAL<br>ATTORNEY                          | IR | 0905 | 01 | GENERAL ATTORNEY                             | SPECIALITY<br>PROGRAMS               | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                                  | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 20008                   | GS   | 0344 | 07 | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | ES | 0340 | 00 | PROGRAM<br>MANAGEMENT                        | EXEMPT<br>ORGANIZATIONS              | OTHER - UNTIMELY<br>PAY OF PERSONAL<br>CREDIT CARD                           |  | 2004-2007                              | ONE                                  | OGE<br>2635.809  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 20014                   | GS   | 0962 | 08 | CONTACT<br>REPRESENTATIVE                    | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | ONE                                  | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2007 | 20015                   | IR   | 2210 | 05 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | LOSS OF<br>DOCUMENTS AND<br>SECURITY<br>VIOLATIONS                           | > \$2000                               | 2004-2007                              | ONE                                  | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 20027                   | GS   | 0962 | 07 | CONTACT<br>REPRESENTATIVE                    | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>ACCTS<br>MANAGEMENT    | DISCOURTEOUS<br>CONDUCT<br>FIGHTING.<br>PHYSICAL<br>ASSAULTS, AND<br>THREATS | NOT<br>STATED                          | 2004-2007                              | ONE                                  | NOT<br>STATED  |               | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2007 | 20033                   | GS   | 0987 | 09 | TAX LAW<br>SPECIALIST                        | IR | 0340 | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | DEBT COLLECTION  | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPI<br>E                         | OGE<br>2635.809  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 20038                   | IR   | 1801 | 06 | GENERAL<br>INSPECTION,<br>INVESTIGATON, & CO | IR | 1811 | 04 | CRIMINAL<br>INVESTIGATING                    | CI REFUND CRIMES                     | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              | ONE                                  | OGE<br>2635.101  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>F | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2007 | 20050                   | GS   |      | 08 | CONTACT<br>REPRESENTATIVE                    | IR |      | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          |  | MULTIPL<br>E                         | STATED   | STATED        | 2007                                   | 2007                                    | 1                         | SUSPENSIO<br>N 3 DAYS                        | SUSPENSI<br>ON 3<br>DAYS                             | ND   |
| 2007 | 20058                   | GS   | 0512 | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | COMM<br>TECHNOLOGY &<br>MED          | OTHER - CRIMINAL<br>MISCONDUCT   | > \$2000                               | 2000-2003                              | MULTIPL<br>E                         | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                                    | 2                         | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | NOT<br>STATED  |
| 2007 | 20059                   | GS   | 1169 | 12 | INTERNAL REVENUE<br>OFFICER                  | IR | 0340 | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                           | > \$2000                               | 2004-2007                              | ONE                                  | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E | NOT<br>APPLICABLE                            | E)<br>REPRIMAN<br>D                                  | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>ımber | e Er | nployee | e Ser | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini | 0  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|--|---|---|--|
| 2007 | 20064                   | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 20066                   | GS   | 0592    | 05    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT         | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | . IDRS<br>SECURITY<br>RULE                               | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 20068                   | GS   | 2210    | 11    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 20106                   | GS   | 0962    | 05    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | <= \$500                               | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | E<br>NOT<br>APPLI<br>CABL<br>E                       | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 20110                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 20119                   | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1001-<br>\$1500                      | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 20127                   | GS   | 0592    | 06    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>SUBMISSION<br>PROCESSI | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1501-<br>\$2000                      | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 20129                   | GS   | 1802    | 06    | COMPLIANCE<br>INSPECTION AND<br>SUPPORT      | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI MID STATES<br>AREA FIELD OPS      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 20131                   | IR   | 0962    | 07    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2007 | 20138                   | GS   | 0962    | 05    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>COMPLIANCE<br>SERVICES     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 20142                   | GS   | 0962    | 05    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1001-<br>\$1500                      | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 20144                   | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | e <= \$500                             | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 20153                   | IR   | 0512    | 04    | INTERNAL REVENUE<br>AGENT                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | PLANNING,<br>QUALITY,<br>ANALYSIS &  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.809  | FIRST<br>OFFENSE<br>INADVERT<br>ENT ACT               | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2007 | 20163                   | GS   | 0962    | 07    | CONTACT<br>REPRESENTATIVE                    | IR |          | 07 | CONTACT<br>REPRESENTATIVE                    | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 20164                   | GS   | 2210    | 13    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210     | 01 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | TAX,<br>UNDERREPORTER   | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

|      | (1)<br>TS Case<br>umber | e En | nploye |    | (2)<br>ries, Grade and Job<br>Title        | D  | Disciplini |    | (3)<br>fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code      | (5)<br>Offense - Nature<br>of misconduct                | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated |               | (10)<br>Year<br>Discipline<br>Proposed | Ve<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|----|--|----|------------|----|---|-------------------------------------|---|--|--|--------------------|--|---------------|--|--------------------------------|--|---|---|--|
| 2007 | 20165                   | GS   | 0592   | 05 | TAX EXAMINING                              | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                       | OGDEN ACCOUNTS<br>MANAGEMENT        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | OGE<br>2635.809  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2007 | 20171                   | GS   | 0343   | 12 | MANAGEMENT AND<br>PROGRAM ANALYSIS         | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                       | ATLANTA<br>SUBMISSION<br>PROCESSING | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 33                      | GS   | 1802   | 07 | COMPLIANCE<br>INSPECTION AND<br>SUPPORT    | IR | 1811       | 04 | CRIMINAL<br>INVESTIGATING                   | CI REFUND CRIMES                    | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                    | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.705  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2008 | 49                      | GS   | 1169   | 12 | INTERNAL REVENUE<br>OFFICER                | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                       | COLLECTION                          | DISCOURTEOUS<br>CONDUCT                                 | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.705  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 83                      | GS   | 0203   | 07 | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE    | IR | 0201       | 05 | PERSONNEL<br>MANAGEMENT                     | EMPLOYEE<br>SUPPORT<br>SERVICES     | GOVT CREDIT<br>CARD PROBLEMS                            | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2008 | 88                      | WG   | 3566   | 06 | CUSTODIAL<br>WORKER                        | IR | 0342       | 01 | SUPPORT SERVICES<br>ADMINISTRATION          | REAL ESTATE &<br>FAC MGMT           | GOVT CREDIT<br>CARD PROBLEMS                            | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.101  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 90                      | GS   | 0905   | 13 | GENERAL<br>ATTORNEY                        | GS | 0343       | 15 | MANAGEMENT AND<br>PROGRAM ANALYSIS          | RPT TWO<br>CONGRESS ADV<br>GRP      | GOVT CREDIT<br>CARD PROBLEMS                            | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.809  |               | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2008 | 93                      | GS   | 0512   | 09 | INTERNAL REVENUE<br>AGENT                  | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                         | GOVT CREDIT<br>CARD PROBLEMS                            | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 95                      | IR   | 0962   | 07 | CONTACT<br>REPRESENTATIVE                  | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                       | OGDEN ACCOUNTS<br>MANAGEMENT        | GOVT CREDIT<br>CARD PROBLEMS                            | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 96                      | GS   | 1169   | 12 | INTERNAL REVENUE<br>OFFICER                | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                       | COLLECTION                          | GOVT CREDIT<br>CARD PROBLEMS                            | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED | 2007                                   | 2007                           |  | SUSPENSIO<br>N 1 DAYS                     | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2008 | 98                      | IR   | 0512   | 04 | INTERNAL REVENUE<br>AGENT                  | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                       | EXAMINATION                         | GOVT CREDIT<br>CARD PROBLEMS                            | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           |  | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 103                     | GS   | 0501   | 11 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0501       | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM  | DEPUTY NATL<br>TXPYR ADVOCATE       | GOVT CREDIT<br>CARD PROBLEMS                            | \$1501-<br>\$2000                      | 2004-2007                              | ONE                | OGE<br>2635.101  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 110                     | GS   | 0512   | 07 | INTERNAL REVENUE<br>AGENT                  | IR | 0512       | 04 | INTERNAL REVENUE<br>AGENT                   | EXAMINATION                         | GOVT CREDIT<br>CARD PROBLEMS                            | <= \$500                               | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 112                     | GS   | 0501   | 11 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0501       | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM  | DEPUTY NATL<br>TXPYR ADVOCATE       | GOVT CREDIT<br>CARD PROBLEMS                            | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | OGE<br>2635.809  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 114                     | IR   | 0342   | 05 | SUPPORT SERVICES<br>ADMINISTRATION         | IR | 0342       | 01 | SUPPORT SERVICES<br>ADMINISTRATION          | REAL ESTATE &<br>FAC MGMT           | GOVT CREDIT<br>CARD PROBLEMS                            | <= \$500                               | 2004-2007                              | ONE                | OGE<br>2635.101  |               | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

|      | (1)<br>TS Case<br>umber | e En | nploye |    | (2)<br>ries, Grade and Job<br>Title        | D  | Disciplini | •  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct           | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated  | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|----|--|----|------------|----|--|--------------------------------------|--|--|--|--------------------|---|---|--|--------------------------------|--|---|---|--|
| 2008 | 115                     | GS   | 0592   | 07 | TAX EXAMINING                              | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | GOVT CREDIT<br>CARD PROBLEMS                       | <= \$500                               | 2004-2007                              | ONE                | OGE<br>2635.809   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 116                     | GS   | 1169   | 09 | INTERNAL REVENUE<br>OFFICER                | IR | 1169       | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS                       | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 119                     | GS   | 0526   | 07 | TAX TECHNICIAN                             | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS                       | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 122                     | GS   | 0501   | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0501       | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | CUST AST,<br>RELATNSHP &<br>ED(CARE) | GOVT CREDIT<br>CARD PROBLEMS                       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 123                     | ES   | 0340   | 00 | PROGRAM<br>MANAGEMENT                      | AD | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | ACIO ENTERPRISE<br>SERVICES          | GOVT CREDIT<br>CARD PROBLEMS                       | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2008 | 129                     | GS   | 0501   | 11 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | TECHNICAL<br>SERVICES                | GOVT CREDIT<br>CARD PROBLEMS                       | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 1  |   | SUSPENSI<br>ON 1<br>DAYS                | NOT<br>STATED  |
| 2008 | 132                     | GS   | 0962   | 09 | CONTACT<br>REPRESENTATIVE                  | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | GOVT CREDIT<br>CARD PROBLEMS                       | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.809   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH                                | NOT<br>STATED  |
| 2008 | 133                     | GS   | 0512   | 09 | INTERNAL REVENUE<br>AGENT                  | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS                       | \$ 501-<br>\$1000                      | 2004-2007                              | MULTIPL<br>E       | OTHER -<br>IRM 1.32.4<br>AND<br>CITIBANK<br>CC<br>CONTRAC | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | I NOT<br>STATED                                      |
| 2008 | 145                     | IR   | 0512   | 04 | INTERNAL REVENUE<br>AGENT                  | ES | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | FINANCIAL<br>SERVICES                | GOVT CREDIT<br>CARD PROBLEMS                       | <= \$500                               | 2004-2007                              |                    | OGE<br>2635.809   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 166                     | GS   | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | ES | 0340       | 00 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                 | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED   | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 3 DAYS                     | SUSPENSI<br>ON 3<br>DAYS                | NOT<br>STATED  |
| 2008 | 169                     | GS   | 0592   | 06 | TAX EXAMINING                              | IR | 0340       | 05 | PROGRAM<br>MANAGEMENT                        | TECHNICAL<br>SERVICES                | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN                                 | NOT<br>STATED  |
| 2008 | 174                     | GS   | 0962   | 08 | CONTACT<br>REPRESENTATIVE                  | IR | 0340       | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | ATTENDANCE-<br>RELATED<br>OFFENSES                 | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 193                     | IR   | 0501   | 06 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0340       | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | CONDUCT<br>UNBECOMING                              | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.203   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 227                     | GS   | 0592   | 06 | TAX EXAMINING                              | IR | 0592       | 09 | TAX EXAMINING                                | AUSTIN<br>SUBMISSION<br>PROCESSING   | INTERNET / EMAIL<br>MISUSE                         | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | OTHER -<br>IRS LMTD<br>USE<br>POLICY&E<br>MAIL<br>POLICY  |   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | I NOT<br>STATED                                      |

| ALER | (1)<br>TS Case<br>Imber | e En | nployee |    | (2)<br>ies, Grade and Job<br>Title         | Di | sciplini | -  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|--|----|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|--|---|---|--|
| 2008 | 231                     | GS   | 0962    | 07 | CONTACT<br>REPRESENTATIVE                  | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 248                     | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 10 DAYS                    | SUSPENSI<br>ON 10<br>DAYS               | REPRIMA<br>ND  |
| 2008 | 250                     | GS   | 0318    | 05 | SECRETARY                                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | THEFT, FALSE<br>CLAIMS AND<br>UNAUTHORIZED<br>PROPERTY<br>POSSESSION                            | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 280                     | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | ATTENDANCE-   | NOT<br>STATED                          | 2004-2007                              | ONE             | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
|      | 281                     | GS   | 0962    |    | CONTACT<br>REPRESENTATIVE                  | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | ONE             | IRM 6.735  | STATED  |  | 2007                           | 1  | SUSPENSIO<br>N 10 DAYS                    | ON 10<br>DAYS                           | ON 3<br>DAYS   |
|      | 295                     | GS   |         | 08 | CONTACT<br>REPRESENTATIVE                  | IR |          | 01 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | STATED   | STATED  | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 14 DAYS                    | ON 14<br>DAYS                           | NOT<br>STATED  |
| 2008 | 298                     | IR   | 0526    | 06 | TAX TECHNICIAN                             | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | OTHER - BARRED<br>STATUTE   | > \$2000                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 357                     | GS   | 0592    | 05 | TAX EXAMINING                              | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | <= \$500                               | 2000-2003                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2008 | 359                     | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 362                     | GS   | 0501    | 09 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0501     | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | CUST AST,<br>RELATNSHP &<br>ED(CARE) | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS<br>TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | OGE<br>2635.809<br>OTHER -<br>OGE 2645                   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2008 | 385                     | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                  | IR | 0501     | 06 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.808  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 387                     | GS   | 1802    | 07 | COMPLIANCE<br>INSPECTION AND<br>SUPPORT    | ES | 1811     | 00 | CRIMINAL<br>INVESTIGATING                    | CI NO ATLANTIC<br>AREA FIELD OPS     | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 393                     | GS   | 0592    | 06 | TAX EXAMINING                              | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY TAX,<br>UNDERREPORTER                   | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 398                     | GS   | 0356    | 04 | DATA TRANSCRIBER                           | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY   | \$1001-<br>\$1500                      | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e En | nploye | e Ser | (2)<br>ries, Grade and Job<br>Title        | Di | sciplini | -  | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                            | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated      | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discip<br>Impo<br>Elaps | 11)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|--------------------|---|---|--|------------------------------|--|---|---|--|
| 2008 | 401                     | GS   | 0356   | 03    | DATA TRANSCRIBER                           | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY             | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2008 | 404                     | GS   | 0592   | 06    | TAX EXAMINING                              | IR | 0592     | 09 | TAX EXAMINING                                | AUSTIN<br>SUBMISSION<br>PROCESSING   |   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | OTHER -<br>IRS<br>POLICY<br>ON<br>LIMITED<br>PERSONA<br>L USE | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 412                     | IR   | 1169   | 05    | INTERNAL REVENUE<br>OFFICER                | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | COLLECTION                           | CONDUCT<br>UNBECOMING   | <= \$500                               | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 414                     | GS   | 0512   | 11    | INTERNAL REVENUE<br>AGENT                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | OTHER - FAILURE<br>TO PROPERLY<br>SECURE<br>TAXPAYER<br>INFORMATION | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 424                     | GS   | 0303   | 04    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES                                  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | IRM 6.735   | NOT<br>STATED   | 2007                                   | 2007                         | 1  | SUSPENSIO<br>N 5 DAYS                     | SUSPENSI<br>ON 5<br>DAYS                | NOT<br>STATED  |
| 2008 | 441                     | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE                  | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | ATTENDANCE-<br>RELATED<br>OFFENSES                                  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 444                     | GS   | 0962   | 09    | CONTACT<br>REPRESENTATIVE                  | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | OTHER -<br>ATTEMPTED<br>ACCESS LOCKED<br>OUT BY SYSTEM              | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                      |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | STATED   |
|      | 457                     | GS   | 0962   |       | CONTACT<br>REPRESENTATIVE                  | ES |          | 00 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | STATED  | STATED  |  | 2007                         | 2  | N 30 DAYS                                 | SUSPENSI<br>ON 30<br>DAYS               | ON 7<br>DAYS   |
| 2008 | 459                     | GS   | 0501   | 09    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED   |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 473                     | GS   | 0962   | 05    | CONTACT<br>REPRESENTATIVE                  | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS       | NOT<br>STATED                          | NOT<br>STATED                          | ONE                | IDRS<br>SECURITY<br>RULE                                      | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 |                         |      | 0512   | -     | INTERNAL REVENUE<br>AGENT                  |    | 0340     |    | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS       | NOT<br>STATED                          | 2004-2007                              |                    | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | STATED   |
| 2008 | 506                     | GS   | 0303   | 05    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | OTHER - SLEEPING<br>ON DUTY   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 524                     | GS   | 0305   | 04    | MAIL AND FILE                              | IR | 0501     | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | WAGE AND<br>INVESTMENT               | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | . OGE<br>2635.705   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | E<br>NOT<br>APPLI<br>CABL<br>E                       | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 572                     | GS   | 0512   | 11    | INTERNAL REVENUE<br>AGENT                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | SPECIALITY<br>PROGRAMS               | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY             | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809   | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

|      | (1)<br>RTS Case<br>umber | e Er | nploye | e Se | (2)<br>ries, Grade and Job<br>Title          | C  | Disciplinii |    | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed |      | ear<br>bline is<br>bsed -<br>ed time | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|--------------------------|------|--------|------|--|----|-------------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|------|--------------------------------------|---|---|--|
| 2008 | 582                      | GS   | 0592   | 07   | TAX EXAMINING                                | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | <= \$500                               | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL                 | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 592                      | IR   | 0501   | 05   | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0501        | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | DEPUTY NATL<br>TXPYR ADVOCATE        | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 599                      | GS   | 0303   | 04   | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 601                      | GS   | 0344   | 08   | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | EMPLOYEE<br>SUPPORT<br>SERVICES      | TAX,<br>UNDERREPORTER   | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 603                      | GS   | 0592   | 07   | TAX EXAMINING                                | IR | 0340        | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 604                      | GS   | 0592   | 05   | TAX EXAMINING                                | IR | 0340        | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 613                      | GS   | 2210   | 13   | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210        | 04 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 614                      | GS   | 0962   | 06   | CONTACT<br>REPRESENTATIVE                    | IR | 0962        | 07 | CONTACT<br>REPRESENTATIVE                    | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 615                      | GS   | 0962   | 05   | CONTACT<br>REPRESENTATIVE                    | IR | 0340        | 02 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 620                      | GS   | 0318   | 05   | SECRETARY                                    | IR | 0526        | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 624                      | GS   | 0801   | 13   | GENERAL<br>ENGINEERING                       | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | FIELD SPECIALISTS                    | DISCOURTEOUS<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>F            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 629                      | IR   | 0962   | 07   | CONTACT<br>REPRESENTATIVE                    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | GOVT CREDIT<br>CARD PROBLEMS                                  | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>F            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 630                      | IR   | 0340   | 02   | PROGRAM<br>MANAGEMENT                        | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                          | > \$2000                               | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>F            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 631                      | GS   | 0962   | 08   | CONTACT<br>REPRESENTATIVE                    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007 | NOT<br>APPLI<br>CABL<br>E            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 634                      | GS   | 0592   | 07   | TAX EXAMINING                                | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY            | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007 |                                      | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e En | nployee |    | (2)<br>ies, Grade and Job<br>Title | Di | sciplini |    | (3)<br>)fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code     | (5)<br>Offense - Nature<br>of misconduct                          | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed        | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|---------|----|------------------------------------|----|----------|----|--|------------------------------------|---|--|--|--------------------|--|---|--|--------------------------------|--|--|--|--|
| 2008 | 635                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT  | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF                        | > \$2000                               | 2004-2007                              | ONE                | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                 | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2008 | 636                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT  | DUTY<br>NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY        | \$1501-<br>\$2000                      | 2004-2007                              | ONE                | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | E<br>NOT<br>APPLI<br>CABL<br>E                       | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2008 | 637                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT  | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                | \$1001-<br>\$1500                      | 2004-2007                              | ONE                | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2008 | 645                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT  | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                              | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 1 DAYS                        | SUSPENSI<br>ON 1<br>DAYS                       | WRITTEN<br>COUNSELI<br>NG                            |
| 2008 | 647                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | KANSAS CITY<br>ACCTS<br>MANAGEMENT | CONDUCT<br>UNBECOMING   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2008 | 650                    | GS   | 0592    | 07 | TAX EXAMINING                      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV    | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET | SUSPENSI<br>ON 5<br>DAYS                             |
| 2008 | 668                    | IR   | 0592    | 08 | TAX EXAMINING                      | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES   | SAFETY AND<br>PHYSICAL<br>SECURITY<br>MATTERS                     | NOT<br>STATED                          | 2004-2007                              | ONE                | OTHER -<br>SAFETY/P<br>HYSICAL<br>SECURITY<br>RULES      | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                      | NOT<br>STATED  |
| 2008 | 670                    | GS   | 0592    | 08 | TAX EXAMINING                      | IR | 0592     | 08 | TAX EXAMINING                                | AUSTIN<br>COMPLIANCE<br>SERVICES   | SAFETY AND<br>PHYSICAL<br>SECURITY<br>MATTERS                     | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                      | NOT<br>STATED  |
| 2008 | 672                    | GS   | 0512    | 11 | INTERNAL REVENUE<br>AGENT          | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                        | FALSE OR<br>MISLEADING<br>STATEMENTS                              | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D                                  | NOT<br>STATED  |
| 2008 | 679                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT  | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY                | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                      | NOT<br>STATED  |
| 2008 | 710                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | ANDOVER<br>COMPLIANCE<br>SERVICES  | FIGHTING.<br>PHYSICAL<br>ASSAULTS, AND<br>THREATS                 | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                               | NOT<br>STATED  |
| 2008 | 720                    | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE          | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT  | OTHER -<br>EMPLOYEE<br>ATTEMPTED<br>ACCESS TO HER<br>OWN ACCOUNT. | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                      | NOT<br>STATED  |
| 2008 | 722                    | GS   | 0592    | 05 | TAX EXAMINING                      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN ACCOUNTS<br>MANAGEMENT       |   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                      | NOT<br>STATED  |
| 2008 | 724                    | GS   | 0592    | 07 | TAX EXAMINING                      | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                           | 1  | SUSPENSIO<br>N 7 DAYS                        | SUSPENSI<br>ON 7<br>DAYS                       | SUSPENSI<br>ON 3<br>DAYS                             |

| ALER | (1)<br>TS Case<br>umber | εE | mploye | e Se | (2)<br>ries, Grade and Job<br>Title        | Di | sciplinii | -  | (3)<br>fficial Series, Grade<br>d Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscor<br>Multiple ( | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS  |               | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo | I1)<br>ear<br>bline is<br>bsed - | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in |
|------|-------------------------|----|--------|------|--|----|-----------|----|--|--------------------------------------|--|--|--|--------------------|-------------------------------------|---------------|--|----------------------|----------------------------------|---|---|--|
|      |                         |    |        |      |  |    |           |    |  |                                      |  |  |  |                    | Policy<br>Violated                  | Accepted      |  |                      | ed time<br>onths                 |   |   | Discipline                             |
| 2008 | 752                     | GS | 0962   | 08   | CONTACT<br>REPRESENTATIVE                  | IR | 0962      | 07 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | OTHER -<br>ATTEMPTED<br>ACCESS LOCKED<br>OUT BY SYSTEM                     | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE            | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>F        | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED                          |
| 2008 | 757                     | GS | 1802   | 07   | COMPLIANCE<br>INSPECTION AND<br>SUPPORT    | IR | 1801      | 05 | GENERAL<br>INSPECTION,<br>INVESTIGATON, & CO | CI REFUND CRIMES                     |  | \$1501-<br>\$2000                      | 2004-2007                              | ONE                | OTHER -<br>IRM<br>25.6.13.4.2<br>.4 | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>E        | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | ADMONIS<br>HMENT                       |
| 2008 | 764                     | GS | 0501   | 11   | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | DISCOURTEOUS<br>CONDUCT  | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.705                     | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>F        | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED                          |
| 2008 | 777                     | GS | 0962   | 08   | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN<br>COMPLIANCE<br>SERVICES     | DISCOURTEOUS<br>CONDUCT  | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.705                     | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>F        | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED                          |
| 2008 | 833                     | IR | 0962   | 07   | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | INTERNET / EMAIL<br>MISUSE   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED                       | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>F        | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED                          |
| 2008 | 877                     | GS | 0305   | 03   | MAIL AND FILE                              | ES | 0340      | 00 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED                       | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>F        | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED                          |
| 2008 | 900                     | GS | 0962   | 06   | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS | NOT<br>STATED                          | 2004-2007                              | ONE                | IRM 6.735                           | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>E        | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED                          |
| 2008 | 934                     | GS | 0592   | 05   | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | > \$2000                               | 2004-2007                              | ONE                | OGE<br>2635.809                     | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>F        | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED                          |
| 2008 | 939                     | GS | 0201   | 12   | PERSONNEL<br>MANAGEMENT                    | IR | 0201      | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | OTHER -<br>UNPROFESSIONAL<br>CONDUCT                                       | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED                       | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>F        | NOT<br>APPLICABLE                         |   | NOT<br>STATED                          |
| 2008 | 954                     | GS | 0962   | 08   | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | DISCOURTEOUS<br>CONDUCT  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED                       | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>F        | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED                          |
| 2008 | 962                     | GS | 0501   | 11   | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR | 0526      | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                                       | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.705                     | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>F        | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED                          |
| 2008 | 1011                    | GS | 1169   | 11   | INTERNAL REVENUE<br>OFFICER                | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | NOT<br>STATED                          | NOT<br>STATED      | NOT<br>STATED                       | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>F        | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | ADMONIS<br>HMENT                       |
| 2008 | 1023                    | GS | 0962   | 08   | CONTACT<br>REPRESENTATIVE                  | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | DISCOURTEOUS<br>CONDUCT  | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.101                     | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>E        | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | WRITTEN<br>COUNSELI<br>NG              |
| 2008 | 1031                    | GS | 0592   | 07   | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED                       | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                 | NOT<br>APPLI<br>CABL<br>E        | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED                          |
| 2008 | 1053                    | GS | 0592   | 07   | TAX EXAMINING                              | IR | 0340      | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED                       | NOT<br>STATED | 2007                                   | 2007                 | 1                                | SUSPENSIO<br>N 10 DAYS                    | SUSPENSI<br>ON 10<br>DAYS               | NOT<br>STATED                          |

| ALER | (1)<br>TS Case<br>mber | e Er | nployee |    | (2)<br>ies, Grade and Job<br>Title         | Di   | sciplini |    | (3)<br>official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                      | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|------------------------|------|---------|----|--|------|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2008 | 1065                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR   | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI REFUND CRIMES                     | FALSE OR<br>MISLEADING<br>STATEMENTS                          | > \$2000                               | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1074                   | GS   | 0592    | 06 | TAX EXAMINING                              | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | MISUSE OF<br>GOVERNMENT<br>PROPERTY                           | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 1092                   | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR   | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>COMPLIANCE<br>SERVICES | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1096                   | GS   | 0962    | 09 | CONTACT<br>REPRESENTATIVE                  | IR   | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | FRESNO<br>ACCOUNTS<br>MANAGEMENT     | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2008 | 1129                   | GS   | 0343    | 12 | MANAGEMENT AND<br>PROGRAM ANALYSIS         | IR   | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | OPERATIONS<br>POLICY &<br>SUPPORT    | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY            | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2008 | 1146                   | GS   | 0501    | 11 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | DEPUTY NATL<br>TXPYR ADVOCATE        | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY            | \$1001-<br>\$1500                      | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 1157                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                  | IR   | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$1001-<br>\$1500                      | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2008 | 1159                   | GS   | 0530    | 04 | CASH PROCESSING                            | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>SUBMISSION<br>PROCESSING  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1191                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                  | IR   | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | ANDOVER<br>COMPLIANCE<br>SERVICES    | TAX,<br>UNDERREPORTER   | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1192                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                  | IR   | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1211                   | GS   | 0962    | 08 | CONTACT<br>REPRESENTATIVE                  | IR   | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1212                   | GS   | 0303    | 05 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT    | IR   | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1214                   | GS   | 0592    | 07 | TAX EXAMINING                              | IR   | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1224                   | GS   | 0503    | 07 | FINANCIAL CLERICAL<br>AND ASSISTANCE       | . IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | BROOKHAVEN<br>COMPLIANCE<br>SERVICES | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | > \$2000                               | 2004-2007                              | ONE             | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1232                   | GS   | 0962    | 05 | CONTACT<br>REPRESENTATIVE                  | IR   | 0962     | 08 | CONTACT<br>REPRESENTATIVE                    | KANSAS CITY<br>ACCTS<br>MANAGEMENT   | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED                          | 2000-2003<br>2004-2007                 | MULTIPL<br>E    | OGE<br>2635.101  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e En | nploye | e Sei | (2)<br>ries, Grade and Job<br>Title     | Di | sciplini |    | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | ,<br>Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discij<br>Impo<br>Elaps | 11)<br>ear<br>pline is<br>osed -<br>ed time<br>lonths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|-------|---|----|----------|----|--|--------------------------------------|---|--|--|-------------------------|--|---|--|------------------------------|---|---|---|--|
| 2008 | 1267                    | GS   | 0318   | 05    | SECRETARY                               | IR | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | EXAMINATION                          | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                             | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1283                    | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>F                             | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1314                    | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | OGDEN<br>COMPLIANCE<br>SERVICES      | DISCOURTEOUS<br>CONDUCT   | NOT<br>STATED                          | NOT<br>STATED                          | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                  | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1330                    | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY  | > \$2000                               | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                             | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2008 | 1331                    | GS   | 0962   | 08    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY  | <= \$500                               | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                  | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1337                    | GS   | 0512   | 11    | INTERNAL REVENUE<br>AGENT               | IR | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | EXAMINATION                          | MISUSE OF   | NOT<br>STATED                          | 2004-2007                              | ONE                     | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                  | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1338                    | GS   | 0962   | 06    | CONTACT<br>REPRESENTATIVE               | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS   | NOT<br>STATED                          | 2004-2007                              | ONE                     | IDRS<br>SECURITY<br>RULE                                 |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                             | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1339                    | GS   | 0303   | 05    | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT | IR | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | DISCOURTEOUS  | NOT<br>STATED                          | 2004-2007                              | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                  | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1350                    | GS   | 0526   | 09    | TAX TECHNICIAN                          | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | DISRUPTION OF<br>WORK FAILURE<br>TO FOLLOW<br>INSTRUCTIONS<br>OTHER -<br>UNPROFESSIONAL<br>CONDUCT          | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | NOT<br>STATED  |   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                             | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 1375                    | GS   |        |       | TAX EXAMINING                           | IR |          | 02 | PROGRAM<br>MANAGEMENT                        | ogden<br>Submission<br>Processing    | MISUSE OF<br>GOVERNMENT<br>PROPERTY<br>THEFT, FALSE<br>CLAIMS AND<br>UNAUTHORIZED<br>PROPERTY<br>POSSESSION | NOT<br>STATED                          |  | MULTIPL<br>E            | STATED   | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                             | NOT<br>APPLICABLE                         |   | STATED   |
| 2008 | 1389                    | GS   | 1169   | 12    | INTERNAL REVENUE<br>OFFICER             | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | OTHER - CRIMINAL<br>MISCONDUCT  | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPL<br>E            | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                         | 1   | INDEFINITE<br>SUSPENSIO<br>N              | E<br>SUSPENSI                           | NOT<br>STATED  |
| 2008 | 1391                    | GS   | 0343   | 12    | MANAGEMENT AND<br>PROGRAM ANALYSIS      | IR | 0343     | 03 | MANAGEMENT AND<br>PROGRAM ANALYSIS           | APPLICATIONS<br>DEVELOPMENT          | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS  | NOT<br>STATED                          | NOT<br>STATED                          | ONE                     | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL                                  | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1393                    | GS   | 0503   | 07    | FINANCIAL CLERICAL<br>AND ASSISTANCE    | IR | 0592     | 08 | TAX EXAMINING                                | ATLANTA<br>COMPLIANCE<br>SERVICES    | ATTENDANCE-<br>RELATED<br>OFFENSES  | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E            | OTHER -<br>DOC<br>10211, IRM<br>6.735.1.2                | STATED  | NOT<br>APPLICAB<br>LE                  | 2007                         | NOT<br>APPLI<br>CABL<br>E                             | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | WRITTEN<br>COUNSELI<br>NG                            |

| ALER | (1)<br>TS Case<br>Imber | e Er | nployee |    | (2)<br>ies, Grade and Job<br>Title           | Di | sciplini |    | (3)<br>/fficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                                   | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed    | (13)<br>Actual<br>Discipline<br>Imposed              | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|----|--|----|----------|----|--|--------------------------------------|--|--|--|--------------------|--|---|--|-------------------------|--|--|--|--|
| 2008 | 1398                    | GS   | 0512    | 11 | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | REPRIMAN<br>D  | NOT<br>STATED  |
| 2008 | 1407                    | GS   | 0592    | 07 | TAX EXAMINING                                | IR | 0592     | 08 | TAX EXAMINING                                | OGDEN<br>COMPLIANCE<br>SERVICES      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS              | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | COUNSELI<br>NG                                       | -  |
| 2008 | 1420                    | GS   | 0592    | 07 | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                    | 2  | SUSPENSIO<br>N 15 DAYS                       | SUSPENSI<br>ON 15<br>DAYS                            | REPRIMA<br>ND  |
| 2008 | 1460                    | GS   | 0501    | 09 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0526     | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | OTHER -<br>EMPLOYEE<br>ATTEMPTED TO<br>ACCESS HER OWN<br>ACCOUNT.          | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 |   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | WRITTEN  | NOT<br>STATED  |
| 2008 | 1480                    | GS   | 0592    | 05 | TAX EXAMINING                                | IR | 0592     | 09 | TAX EXAMINING                                | AUSTIN<br>SUBMISSION<br>PROCESSING   | MISUSE OF<br>GOVERNMENT<br>PROPERTY  | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2008 | 1489                    | GS   | 0303    | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0301     | 01 | MISCELLANEOUS<br>ADMINISTRATION<br>AND PROGR | PHILADELPHIA<br>COMPLIANCE SERV      | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                    | 1  | REMOVAL<br>(PROBATION<br>PERIOD<br>COMPLETE) | REMOVAL<br>(PROBATI<br>ON<br>PERIOD<br>COMPLET<br>E) | SUSPENSI<br>ON 14<br>DAYS                            |
| 2008 | 1513                    | IR   | 1801    | 07 | GENERAL<br>INSPECTION,<br>INVESTIGATON, & CO | IR | 1811     | 04 | CRIMINAL<br>INVESTIGATING                    | CI REFUND CRIMES                     | DISCOURTEOUS<br>CONDUCT  | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            | WRITTEN<br>COUNSELI<br>NG                            | WRITTEN<br>COUNSELI<br>NG                            |
| 2008 | 1520                    | GS   | 0987    | 07 | TAX LAW<br>SPECIALIST                        | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2008 | 1524                    | GS   | 0962    | 05 | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | \$ 501-<br>\$1000                      | 2004-2007                              | ONE                | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2008 | 1526                    | GS   | 0592    | 05 | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | NOT<br>STATED                          | 2000-2003                              | ONE                | OGE<br>2635.809  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            | ADMONISH<br>MENT                                     | NOT<br>STATED  |
| 2008 | 1561                    | GS   | 0512    | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | NATURAL<br>RESOURCES &<br>CONSTRUCT  | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2008 | 1594                    | GL   | 1811    | 07 | CRIMINAL<br>INVESTIGATING                    | IR | 1811     | 01 | CRIMINAL<br>INVESTIGATING                    | CI STRATEGY                          | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2008 | 1599                    | GS   | 0318    | 05 | SECRETARY                                    | IR | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | EXAMINATION                          | DISRUPTION OF<br>WORK  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |
| 2008 | 1601                    | GS   | 0512    | 13 | INTERNAL REVENUE<br>AGENT                    | IR | 0512     | 04 | INTERNAL REVENUE<br>AGENT                    | EXAMINATION                          | DISRUPTION OF<br>WORK  | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                            |  | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>umber | e E | mploye | e Se | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini | 0  | (3)<br>Ifficial Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                  | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated       | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|-----|--------|------|--|----|----------|----|--|--------------------------------------|---|--|--|-----------------|--|---|--|--------------------------------|---|---|---|--|
| 2008 | 1618                    | GS  | 0503   | 06   | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>SUBMISSION<br>PROCESS | DISRUPTION OF<br>WORK                                     | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1623                    | GS  | 0503   | 05   | FINANCIAL CLERICAL<br>AND ASSISTANCE         | IR | 0503     | 10 | FINANCIAL CLERICAL<br>AND ASSISTANCE         | KANSAS CITY<br>SUBMISSION<br>PROCESS | DISRUPTION OF<br>WORK                                     | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.705  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1641                    | GS  | 0344   |      | MANAGEMENT AND<br>PROGRAM CLERICAL<br>AND AS |    | 0340     |    | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | GOVT CREDIT<br>CARD PROBLEMS                              | > \$2000                               | 2004-2007                              | E               | STATED   | STATED  | 2007                                   | 2007                           | 1   | SUSPENSIO<br>N 5 DAYS                     | ON 5<br>DAYS                            | STATED   |
| 2008 | 1650                    | GS  | 0303   | 04   | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT | ATTENDANCE-<br>RELATED<br>OFFENSES                        | NOT<br>STATED                          | 2004-2007                              | MULTIPI<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1661                    | GS  | 1169   | 12   | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                      | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1666                    | GS  | 2210   | 13   | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210     | 04 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT          | ATTENDANCE-<br>RELATED<br>OFFENSES                        | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1709                    | GS  | 0201   | 11   | PERSONNEL<br>MANAGEMENT                      | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | MISUSE OF<br>GOVERNMENT<br>PROPERTY                       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1710                    | GS  | 0962   | 08   | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | KANSAS CITY<br>COMPLIANCE SERV       | ATTENDANCE-<br>RELATED<br>OFFENSES                        | NOT<br>STATED                          | 2004-2007                              | ONE             | IRM 6.735  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 1725                    | GS  | 0303   | 05   | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT      | IR | 0080     | 04 | SECURITY<br>ADMINISTRATION                   | PHYSICAL SEC &<br>EMER PREPARE       | INTERNET / EMAIL<br>MISUSE                                | NOT<br>STATED                          | 2004-2007                              | ONE             | OTHER -<br>LIMITED<br>PERS USE<br>OF INFO<br>TECH<br>EQUIP     | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | WRITTEN<br>COUNSELI<br>NG               | NOT<br>STATED  |
| 2008 | 1726                    | GS  | 0512   | 13   | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | HVY MFG &<br>TRANSPORT               | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                      | NOT<br>STATED                          | 2004-2007                              | MULTIPI<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1729                    | GS  | 0201   | 11   | PERSONNEL<br>MANAGEMENT                      | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | MISUSE OF<br>GOVERNMENT<br>PROPERTY                       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1731                    | GS  | 0201   | 11   | PERSONNEL<br>MANAGEMENT                      | IR | 0201     | 01 | PERSONNEL<br>MANAGEMENT                      | HUMAN CAPITAL<br>OFFICE              | MISUSE OF<br>GOVERNMENT<br>PROPERTY                       | NOT<br>STATED                          | 2004-2007                              | ONE             | OGE<br>2635.704  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1750                    | GS  | 1169   | 09   | INTERNAL REVENUE<br>OFFICER                  | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | COLLECTION                           | OTHER - FAILURE<br>TO PROTECT<br>TAXPAYER'S TAX<br>RETURN | NOT<br>STATED                          | 2004-2007                              | MULTIPI<br>E    | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1764                    | GS  | 0962   | 08   | CONTACT<br>REPRESENTATIVE                    | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | AUSTIN ACCOUNTS<br>MANAGEMENT        |   | NOT<br>STATED                          | 2004-2007                              | MULTIPI<br>E    | OTHER -<br>LTD PERS<br>USE OF<br>GOVT<br>INFO<br>TECH<br>EQUIP | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                           | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

|      | (1)<br>TS Case<br>Imber | e En | nploye | e Se | (2)<br>ries, Grade and Job<br>Title          | D  | Disciplinii | 0  | (3)<br>fficial Series, Grade<br>d Job Title  | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                             | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscon<br>Multiple C | Range of duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | Accepted      | (10)<br>Year<br>Discipline<br>Proposed | Ye<br>Discip<br>Impo<br>Elapse<br>in Me | 1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|--------|------|--|----|-------------|----|--|--------------------------------------|--|--|--|-----------------|--|---------------|--|---|---|---|---|--|
| 2008 | 1772                    | GS   | 0318   | 09   | SECRETARY                                    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | ELECTRONIC TAX<br>ADMIN              | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1787                    | GS   | 0962   | 05   | CONTACT<br>REPRESENTATIVE                    | IR | 0340        | 02 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | NOT<br>STATED | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1800                    | GS   | 0592   | 07   | TAX EXAMINING                                | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | CONDUCT<br>UNBECOMING  | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL                                | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 1849                    | GS   | 0343   | 14   | MANAGEMENT AND<br>PROGRAM ANALYSIS           | IR | 0526        | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY              | > \$2000                               | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 1896                    | GS   | 0501   | 09   | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0526        | 01 | TAX TECHNICIAN                               | CUST AST,<br>RELATNSHP &<br>ED(CARE) | OTHER -<br>EMPLOYEE<br>ATTEMPTED TO<br>ACCESS HIS OWN<br>ACCOUNT.    | NOT<br>STATED                          | 2004-2007                              | ONE             | IDRS<br>SECURITY<br>RULE                                 | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1909                    | GS   | 0592   | 07   | TAX EXAMINING                                | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>SUBMISSION<br>PROCESSING    | ATTENDANCE-<br>RELATED<br>OFFENSES                                   | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E    | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | WRITTEN<br>COUNSELI<br>NG                            |
| 2008 | 1948                    | GS   | 1811   | 13   | CRIMINAL<br>INVESTIGATING                    | IR | 1811        | 01 | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS       | GOVT CREDIT<br>CARD PROBLEMS   | <= \$500                               | 2004-2007                              | ONE             | OGE<br>2635.101  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1949                    | GS   | 2210   | 12   | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210        | 05 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | ENTERPRISE<br>OPERATIONS             | GOVT CREDIT<br>CARD PROBLEMS   | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.101  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1969                    | GS   | 0512   | 11   | INTERNAL REVENUE<br>AGENT                    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | GOVT CREDIT<br>CARD PROBLEMS   | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  |               | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1971                    | GS   | 0512   | 11   | INTERNAL REVENUE<br>AGENT                    | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | EMPLOYEE PLANS                       | GOVT CREDIT<br>CARD PROBLEMS   | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 1999                    | GS   | 0501   | 11   | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | DEPUTY NATL<br>TXPYR ADVOCATE        | GOVT CREDIT<br>CARD PROBLEMS   | > \$2000                               | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 2003                    | GS   | 0501   | 11   | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0340        | 01 | PROGRAM<br>MANAGEMENT                        | DEPUTY NATL<br>TXPYR ADVOCATE        | GOVT CREDIT<br>CARD PROBLEMS   | \$ 501-<br>\$1000                      | 2004-2007                              | ONE             | OGE<br>2635.809  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | NOT<br>STATED  |
| 2008 | 2017                    | GS   | 0318   | 05   | SECRETARY                                    | IR | 1169        | 05 | INTERNAL REVENUE<br>OFFICER                  | COLLECTION                           | GOVT CREDIT<br>CARD PROBLEMS   | <= \$500                               | 2004-2007                              | ONE             | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>F                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 2040                    | GS   | 2210   | 11   | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 2210        | 04 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | TECH OPER &<br>INVEST SVCS           | OTHER - FAILURE<br>TO FOLLOW<br>ESTABLISHED<br>POLICY/PROCEDU<br>RES | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 2041                    | GS   | 0501   | 09   | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0501        | 01 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | DEPUTY NATL<br>TXPYR ADVOCATE        | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                                 | NOT<br>STATED                          | 2004-2007                              | ONE             | NOT<br>STATED  | STATED        | NOT<br>APPLICAB<br>LE                  | 2007                                    | NOT<br>APPLI<br>CABL<br>E                           | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>Imber | e Er | nployee | e Ser | (2)<br>ries, Grade and Job<br>Title          | Di | sciplini |    | (3)<br>Official Series, Grade<br>d Job Title | (4)<br>Employee Office<br>Code       | (5)<br>Offense - Nature<br>of misconduct                          | (6)<br>Monetary<br>Value of<br>Offense | (7<br>Temporal<br>Miscor<br>Multiple ( | Range of<br>duct / | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Discip<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | (14)<br>Prior<br>Sanctions<br>Cited in<br>Discipline |
|------|-------------------------|------|---------|-------|--|----|----------|----|--|--------------------------------------|---|--|--|--------------------|--|---|--|-------------------------|--|---|---|--|
|      | 2104                    | GS   |         |       | TAX TECHNICIAN                               | IR | 0340     | 02 | PROGRAM<br>MANAGEMENT                        | ANDOVER<br>ACCOUNTS<br>MANAGEMENT    | OTHER -<br>EMPLOYEE<br>ATTEMPTED TO<br>ACCESS HIS OWN<br>ACCOUNT. | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 |   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | NG                                      |  |
| 2008 | 2111                    | GS   | 2210    | 11    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | END USERS<br>EQUIPMENT &<br>SVCS     | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                              | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | REPRIMAN<br>D                           | SUSPENSI<br>ON 14<br>DAYS                            |
| 2008 | 2153                    | IR   | 0962    | 07    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT    | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                              | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 2176                    | GS   | 0501    | 11    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0501     | 05 | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | DEPUTY NATL<br>TXPYR ADVOCATE        | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                              | NOT<br>STATED                          | NOT<br>STATED                          | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 2203                    | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | ANDOVER<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  | FAMILY  | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 2256                    | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | ATLANTA<br>COMPLIANCE<br>SERVICES    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY           | NOT<br>STATED                          | 2004-2007                              | ONE                | OGE<br>2635.809  |   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 2299                    | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | ES | 0340     | 00 | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT        | OTHER -<br>OUTSTANDING<br>ARREST WARRANT<br>FOR MURDER            | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | 2007                                   | 2007                    | 1  | INDEFINITE<br>SUSPENSIO<br>N              | INDEFINIT<br>E<br>SUSPENSI<br>ON        | NOT<br>STATED  |
| 2008 | 2316                    | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0962     | 07 | CONTACT<br>REPRESENTATIVE                    | ANDOVER<br>COMPLIANCE<br>SERVICES    | DISCOURTEOUS<br>CONDUCT   | NOT<br>STATED                          | 2004-2007                              | NOT<br>STATED      | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 2324                    | GS   |         | 07    | CONTACT<br>REPRESENTATIVE                    | IR |          | 02 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>ACCOUNTS<br>MANAGEME | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS     | NOT<br>STATED                          | 2004-2007                              | MULTIPL<br>E       | SECURITY<br>RULE   |   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | STATED   |
| 2008 | 2326                    | GS   | 0592    | 07    | TAX EXAMINING                                | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | PHILADELPHIA<br>COMPLIANCE SERV      | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS     | NOT<br>STATED                          | 2004-2007                              | ONE                | IDRS<br>SECURITY<br>RULE                                 | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         |   | NOT<br>STATED  |
| 2008 | 2353                    | GS   | 1101    | 11    | GENERAL BUSINESS<br>AND INDUSTRY             | IR | 1101     | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | CONDUCT<br>UNBECOMING<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS     | NOT<br>STATED                          | NOT<br>STATED                          | NOT<br>STATED      | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 2355                    | GS   | 1101    | 09    | GENERAL BUSINESS<br>AND INDUSTRY             | IR | 1101     | 01 | GENERAL BUSINESS<br>AND INDUSTRY             | COLLECTION                           | DISCOURTEOUS<br>CONDUCT   | NOT<br>STATED                          | NOT<br>STATED                          | NOT<br>STATED      | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |
| 2008 | 2356                    | GS   | 0962    | 08    | CONTACT<br>REPRESENTATIVE                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | OGDEN<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES                                | NOT<br>STATED                          | NOT<br>STATED                          | MULTIPL<br>E       | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>F                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | WRITTEN<br>COUNSELI<br>NG                            |
| 2008 | 2406                    | GS   | 0512    | 09    | INTERNAL REVENUE<br>AGENT                    | IR | 0340     | 01 | PROGRAM<br>MANAGEMENT                        | EXAMINATION                          | DISCOURTEOUS<br>CONDUCT   | NOT<br>STATED                          | 2004-2007                              | ONE                | NOT<br>STATED  | NOT<br>STATED   | NOT<br>APPLICAB<br>LE                  | 2007                    | NOT<br>APPLI<br>CABL<br>E                            | NOT<br>APPLICABLE                         | ADMONISH<br>MENT                        | NOT<br>STATED  |

| ALER | (1)<br>TS Case<br>mber | e E | (2)<br>Employee Series, Grade and Job<br>Title |    | loyee Series, Grade and Job Disciplining Official Series, Gra |    |      | fficial Series, Grade | (4)<br>Employee Office<br>Code               | (5)<br>Offense - Nature<br>of misconduct | (6)<br>Monetary<br>Value of<br>Offense                                     | (7)<br>Temporal Range of<br>Misconduct /<br>Multiple Offenses |           | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | (9)<br>Mitigating<br>Factors<br>Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed | Y<br>Discij<br>Impo<br>Elaps | 11)<br>ear<br>oline is<br>osed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed |                           |                 |
|------|------------------------|-----|--|----|---|----|------|-----------------------|--|--|--|---|-----------|--|---|--|------------------------------|--|---|---|---------------------------|-----------------|
| 2008 | 2410                   | GS  | 2210   | 13 | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE                  | IR | 2210 | 01                    | SUPERVISORY<br>INFORMATION<br>TECHNOLOGY SPE | APPLICATIONS<br>DEVELOPMENT              | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS | NOT<br>STATED   | 2004-2007 | MULTIPL<br>E   | NOT<br>STATED   | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       | WRITTEN<br>COUNSELI<br>NG | NOT<br>STATED   |
| 2008 | 2416                   | GS  |  |    | REPRESENTATIVE  | IR | 0962 | 07                    | CONTACT<br>REPRESENTATIVE                    | AUSTIN ACCOUNTS<br>MANAGEMENT            | DISCOURTEOUS<br>CONDUCT  | NOT<br>STATED   | 2004-2007 |  | NOT<br>STATED   | STATED                                 | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       | NG                        |                 |
| 2008 | 2418                   | GS  | 0962   | 08 | CONTACT<br>REPRESENTATIVE                                     | IR | 0962 | 07                    | CONTACT<br>REPRESENTATIVE                    | CINCINNATI<br>ACCOUNTS<br>MANAGEMENT     | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED   | 2004-2007 | MULTIPL<br>E   | NOT<br>STATED   | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       | ADMONISH<br>MENT          | NOT<br>STATED   |
| 2008 | 2419                   | GS  | 0512   | 13 | INTERNAL REVENUE<br>AGENT                                     | IR | 0340 | 01                    | PROGRAM<br>MANAGEMENT                        | EXAMINATION                              | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                                       | NOT<br>STATED   | 2004-2007 | ONE  | NOT<br>STATED   | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       | ADMONISH<br>MENT          | NOT<br>STATED   |
| 2008 | 2456                   | GS  | 0526   | 09 | TAX TECHNICIAN  | IR | 0526 | 06                    | TAX TECHNICIAN                               | EXAMINATION                              | CONDUCT<br>UNBECOMING  | NOT<br>STATED   | 2004-2007 | ONE  | OGE<br>2635.705                                       | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       | WRITTEN<br>COUNSELI<br>NG | NOT<br>STATED   |
| 2008 | 2496                   | GS  | 0303   | 05 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT                       | IR | 0080 | 04                    | SECURITY<br>ADMINISTRATION                   | PHYSICAL SEC &<br>EMER PREPARE           | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY                    | D \$ 501-<br>\$1000   | 2004-2007 | ONE  | OGE<br>2635.809                                       | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       | WRITTEN<br>COUNSELI<br>NG | NOT<br>STATED   |
| 2008 | 2640                   | GS  | 0592   | 05 | TAX EXAMINING   | IR | 0592 | 08                    | TAX EXAMINING                                | ANDOVER<br>COMPLIANCE<br>SERVICES        | DISRUPTION OF<br>WORK FAILURE<br>TO FOLLOW<br>INSTRUCTIONS                 | NOT<br>STATED   | 2004-2007 | ONE  | NOT<br>STATED   | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       |                           | STATED          |
| 2008 | 2703                   | GS  | 1811   | 13 | CRIMINAL<br>INVESTIGATING                                     | IR | 1811 | 01                    | CRIMINAL<br>INVESTIGATING                    | CI SOUTHEAST<br>AREA FIELD OPS           | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                                       | NOT<br>STATED   | 2004-2007 | ONE  | NOT<br>STATED   | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       | REPRIMAN<br>D             | NOT<br>STATED   |
| 2008 | 2712                   | GS  | 1802   | 07 | COMPLIANCE<br>INSPECTION AND<br>SUPPORT                       | IR | 1801 | 07                    | GENERAL<br>INSPECTION,<br>INVESTIGATON, & CO | CI REFUND CRIMES                         | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED   | 2004-2007 | MULTIPL<br>E   | NOT<br>STATED   | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       | WRITTEN<br>COUNSELI<br>NG | NOT<br>STATED   |
| 2008 | 2726                   | GS  | 0203   | 08 | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE                       | IR | 0203 | 08                    | PERSONNEL<br>CLERICAL AND<br>ASSISTANCE      | EMPLOYEE<br>SUPPORT<br>SERVICES          | ATTENDANCE-<br>RELATED<br>OFFENSES   | NOT<br>STATED   | 2004-2007 | ONE  | NOT<br>STATED   | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       | REPRIMAN<br>D             | NOT<br>STATED   |
| 2008 | 2727                   | GS  |  |    | INTERNAL REVENUE<br>OFFICER                                   |    | 0512 |                       | INTERNAL REVENUE<br>AGENT                    |  | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS              | NOT<br>STATED   | 2004-2007 |  | NOT<br>STATED   | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       | NG                        |                 |
| 2008 | 2811                   | GS  | 0592   | 06 | TAX EXAMINING   | IR | 0592 | 09                    | TAX EXAMINING                                | AUSTIN<br>SUBMISSION<br>PROCESSING       | ATTENDANCE-<br>RELATED<br>OFFENSES<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS | NOT<br>STATED   | 2004-2007 | MULTIPL<br>E   | IRM 6.735   | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       | ADMONISH<br>MENT          | I NOT<br>STATED |
| 2008 | 2813                   | GS  | 0962   | 05 | CONTACT<br>REPRESENTATIVE                                     | IR | 0340 | 01                    | PROGRAM<br>MANAGEMENT                        | AUSTIN ACCOUNTS<br>MANAGEMENT            |  | NOT<br>STATED   | 2004-2007 | ONE  | OTHER -<br>IT<br>SECURITY<br>&<br>PRIVACY<br>RULES    | NOT<br>STATED                          | NOT<br>APPLICAB<br>LE        | 2007   | NOT<br>APPLI<br>CABL<br>E                 | NOT<br>APPLICABLE                       | REPRIMAN<br>D             | NOT<br>STATED   |

| ALER | (1)<br>ALERTS Case<br>Number |    | (2)<br>Employee Series, Grade and Job<br>Title |    | oloyee Series, Grade and Job<br>Title Disciplining Official Series, Grade<br>and Job Title |    |      | (4)<br>Employee Office<br>Code | (5)<br>Offense - Nature<br>of misconduct | (6)<br>Monetary<br>Value of<br>Offense | (7)<br>Temporal Range of<br>Misconduct /<br>Multiple Offenses |                   | (8)<br>Std of<br>Conduct<br>or IRS<br>Policy<br>Violated | Offered &<br>Accepted | (10)<br>Year<br>Discipline<br>Proposed             | Ye<br>Discip<br>Impo<br>Elapse | I1)<br>ear<br>bline is<br>bsed -<br>ed time<br>onths | (12)<br>Type of<br>Discipline<br>Proposed | (13)<br>Actual<br>Discipline<br>Imposed | Cited in<br>Discipline |                           |                           |
|------|------------------------------|----|--|----|--|----|------|--------------------------------|--|--|---|-------------------|--|-----------------------|--|--------------------------------|--|---|---|------------------------|---------------------------|---------------------------|
| 2008 | 2814                         | GS | 0962   | 05 | CONTACT<br>REPRESENTATIVE  | IR | 0340 | 01                             | PROGRAM<br>MANAGEMENT                    | AUSTIN ACCOUNTS<br>MANAGEMENT          | COMPUTER<br>SECURITY AND<br>TAXPAYER<br>PRIVACY<br>VIOLATIONS | NOT<br>STATED     | 2004-2007  | ONE                   | OTHER -<br>IT<br>SECURITY<br>&<br>PRIVACY<br>RULES | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>E               | NOT<br>APPLICABLE      | REPRIMAN<br>D             | NOT<br>STATED             |
| 2008 | 2817                         | IR |  | 05 | INTERNAL REVENUE<br>OFFICER  |    | 0340 | 01                             | PROGRAM<br>MANAGEMENT                    | COLLECTION                             | CONDUCT<br>UNBECOMING<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS | NOT<br>STATED     | NOT<br>STATED  | NOT<br>STATED         | NOT<br>STATED                                      | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>E               | NOT<br>APPLICABLE      | NG                        |                           |
| 2008 | 2903                         | GS | 0962   | 06 | CONTACT<br>REPRESENTATIVE  | IR | 0340 | 02                             | PROGRAM<br>MANAGEMENT                    | ATLANTA<br>ACCOUNTS<br>MANAGEMENT      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | \$ 501-<br>\$1000 | 2004-2007  | ONE                   | NOT<br>STATED                                      | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>E               | NOT<br>APPLICABLE      | ADMONISH<br>MENT          | NOT<br>STATED             |
| 2008 | 2942                         | GS |  | 06 | TAX EXAMINING  | ES | 0340 | 00                             | PROGRAM<br>MANAGEMENT                    | ATLANTA<br>SUBMISSION<br>PROCESSING    | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                          | NOT<br>STATED     | 2004-2007  | MULTIPL<br>E          | 2635.705   | STATED                         | 2007   | 2007                                      | 1                                       | N 30 DAYS              | ON 30<br>DAYS             | SUSPENSI<br>ON 3<br>DAYS  |
| 2008 | 2965                         | GS | 0962   | 08 | CONTACT<br>REPRESENTATIVE  | IR | 1515 | 01                             | OPERATIONS<br>RESEARCH                   | OGDEN<br>COMPLIANCE<br>SERVICES        | DISRUPTION OF<br>WORK   | NOT<br>STATED     | 2004-2007  | ONE                   | NOT<br>STATED                                      | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>F               | NOT<br>APPLICABLE      | WRITTEN<br>COUNSELI<br>NG | WRITTEN<br>COUNSELI<br>NG |
| 2008 | 2986                         | GS | 0342   | 11 | SUPPORT SERVICES<br>ADMINISTRATION   | IR | 0342 | 05                             | SUPPORT SERVICES<br>ADMINISTRATION       | REAL ESTATE &<br>FAC MGMT              | MISUSE OF<br>GOVERNMENT<br>PROPERTY                           | NOT<br>STATED     | 2004-2007  | ONE                   | NOT<br>STATED                                      | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>E               | NOT<br>APPLICABLE      | WRITTEN<br>COUNSELI<br>NG | NOT<br>STATED             |
| 2008 | 2987                         | GS |  |    | FINANCIAL<br>ADMINISTRATION<br>AND PROGRAM   | IR | 0340 | 01                             | PROGRAM<br>MANAGEMENT                    | EXAMINATION                            | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                          | NOT<br>STATED     | 2004-2007  | MULTIPL<br>E          | NOT<br>STATED                                      | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>E               | NOT<br>APPLICABLE      | ADMONISH<br>MENT          | NOT<br>STATED             |
| 2008 | 2993                         | GS | 1811   | 13 | CRIMINAL<br>INVESTIGATING  | ES | 1811 | 00                             | CRIMINAL<br>INVESTIGATING                | CI MID ATLANTIC<br>AREA FIELD OPS      | OTHER - IRM 9.11.4<br>WORK HOURS AND<br>COMPENSATION          |                   | 2004-2007  | ONE                   | NOT<br>STATED                                      | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>E               | NOT<br>APPLICABLE      |                           | NOT<br>STATED             |
| 2008 | 3103                         | GS | 0512   | 13 | INTERNAL REVENUE<br>AGENT  | IR | 0512 | 04                             | INTERNAL REVENUE<br>AGENT                | EXAMINATION                            | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED     | 2004-2007  | ONE                   | OGE<br>2635.705                                    | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>E               | NOT<br>APPLICABLE      | WRITTEN<br>COUNSELI<br>NG | NOT<br>STATED             |
| 2008 | 3163                         | GS | 0592   | 07 | TAX EXAMINING  | IR | 0592 | 08                             | TAX EXAMINING                            | AUSTIN<br>COMPLIANCE<br>SERVICES       | NEGLIGENT OR<br>CARELESS<br>PERFORMANCE OF<br>DUTY            | NOT<br>STATED     | 2004-2007  | ONE                   | NOT<br>STATED                                      | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>E               | NOT<br>APPLICABLE      |                           | NOT<br>STATED             |
| 2008 | 3172                         | GS | 0962   | 08 | CONTACT<br>REPRESENTATIVE  | IR | 0340 | 01                             | PROGRAM<br>MANAGEMENT                    | ATLANTA<br>ACCOUNTS<br>MANAGEMENT      | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | <= \$500          | 2004-2007  | ONE                   | NOT<br>STATED                                      | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>E               | NOT<br>APPLICABLE      | REPRIMAN<br>D             | ADMONIS<br>HMENT          |
| 2008 | 3223                         | GS | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT  | IR | 0340 | 01                             | PROGRAM<br>MANAGEMENT                    | ATLANTA<br>SUBMISSION<br>PROCESSING    | TAXES FAILURE TO<br>PROPERLY &<br>TIMELY FILE OR<br>PAY       | NOT<br>STATED     | 2004-2007  | ONE                   | NOT<br>STATED                                      | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>E               | NOT<br>APPLICABLE      | ADMONISH<br>MENT          | NOT<br>STATED             |
| 2008 | 3242                         | GS | 0962   | 08 | CONTACT<br>REPRESENTATIVE  | IR | 0962 | 07                             | CONTACT<br>REPRESENTATIVE                | ANDOVER<br>COMPLIANCE<br>SERVICES      | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED     | 2004-2007  | MULTIPL<br>E          | NOT<br>STATED                                      | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>E               | NOT<br>APPLICABLE      | ADMONISH<br>MENT          | NOT<br>STATED             |
| 2008 | 3265                         | GS | 0303   | 04 | MISCELLANEOUS<br>CLERK AND<br>ASSISTANT  | IR | 0340 | 01                             | PROGRAM<br>MANAGEMENT                    | OGDEN<br>SUBMISSION<br>PROCESSING      | ATTENDANCE-<br>RELATED<br>OFFENSES                            | NOT<br>STATED     | 2004-2007  | MULTIPL<br>E          | NOT<br>STATED                                      | NOT<br>STATED                  | NOT<br>APPLICAB<br>LE                                | 2007                                      | NOT<br>APPLI<br>CABL<br>E               | NOT<br>APPLICABLE      | ADMONISH<br>MENT          | NOT<br>STATED             |

|             | (1) (2) |      |                                |    |                                  |               |          | (3)  | (4)                              | (5)                               | (6)   | (7                  |                                   | (8)      | (9)                                     | (10)                             | (1                     | ,    | (12) | (13)              | (14)<br>Prior             |                                     |
|-------------|---------|------|--------------------------------|----|----------------------------------|---------------|----------|------|----------------------------------|-----------------------------------|---|---------------------|-----------------------------------|----------|---|----------------------------------|------------------------|------|------|-------------------|---------------------------|-------------------------------------|
| ALERTS Case |         | e Er | Employee Series, Grade and Job |    |                                  | Di            | sciplini | ng O | fficial Series, Grade            | Employee Office                   | Offense - Nature  | Monetary            | Temporal                          | Range of | Std of                                  | Mitigating                       | Year                   | Ye   | ear  | Type of           |                           |                                     |
| N           | umber   |      |                                |    | Title                            | and Job Title |          |      |                                  | Code                              | of misconduct   | Value of<br>Offense | Misconduct /<br>Multiple Offenses |          | Conduct<br>or IRS<br>Policy<br>Violated | Factors<br>Offered &<br>Accepted | Discipline<br>Proposed |      |      | Proposed          | Imposed                   | Sanctions<br>Cited in<br>Discipline |
| 2008        | 3288    | GS   | 1160                           | 13 | FINANCIAL ANALYSIS               | IR            | 0801     | 04   | GENERAL<br>ENGINEERING           | FIELD SPECIALISTS                 | DISCOURTEOUS<br>CONDUCT<br>FAILURE TO<br>FOLLOW<br>INSTRUCTIONS         | NOT<br>STATED       | 2004-2007                         |          | OGE<br>2635.705                         | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  |      |      | NOT<br>APPLICABLE | ADMONISH<br>MENT          | WRITTEN<br>COUNSEI<br>NG            |
| 2008        | 3317    | GS   | 0512                           | 11 | INTERNAL REVENUE<br>AGENT        | IR            | 0340     | 01   | PROGRAM<br>MANAGEMENT            | FRAUD/BSA                         | OTHER - EE LOST<br>IRS POCKET<br>COMISSION AND<br>FILE CABINET<br>KEYS. | NOT<br>STATED       |                                   |          | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  |      |      | NOT<br>APPLICABLE | WRITTEN<br>COUNSELI<br>NG |                                     |
| 2008        | 3334    | GS   | 0962                           | 06 | CONTACT<br>REPRESENTATIVE        | IR            | 0340     | 01   | MANAGEMENT                       | ATLANTA<br>ACCOUNTS<br>MANAGEMENT | FAILURE TO<br>FOLLOW<br>INSTRUCTIONS                                    | NOT<br>STATED       | NOT<br>STATED                     |          | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007 |      | NOT<br>APPLICABLE | REPRIMAN<br>D             | NOT<br>STATED                       |
| 2008        | 3462    | GS   | 0962                           | 08 | CONTACT<br>REPRESENTATIVE        | IR            | 0340     | 02   | PROGRAM<br>MANAGEMENT            | MEMPHIS<br>ACCOUNTS<br>MANAGEMENT | DISCOURTEOUS<br>CONDUCT   | NOT<br>STATED       | 2004-2007                         |          | OGE<br>2635.705                         | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007 |      | NOT<br>APPLICABLE | ADMONISH<br>MENT          | NOT<br>STATED                       |
| 2008        | 3501    | GS   | 1101                           | 09 | GENERAL BUSINESS<br>AND INDUSTRY | IR            | 1101     | 01   | GENERAL BUSINESS<br>AND INDUSTRY | COLLECTION                        | CONDUCT<br>UNBECOMING<br>DISRUPTION OF<br>WORK                          | NOT<br>STATED       | NOT<br>STATED                     |          | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  |      |      | NOT<br>APPLICABLE | ADMONISH<br>MENT          | NOT<br>STATED                       |
| 2008        | 3502    | GS   | 0526                           | 11 | TAX TECHNICIAN                   | IR            | 0340     | 01   | PROGRAM<br>MANAGEMENT            | EXAMINATION                       | OTHER - BARRED<br>STATUTE   | > \$2000            | 2004-2007                         |          | NOT<br>STATED                           | NOT<br>STATED                    | NOT<br>APPLICAB<br>LE  | 2007 |      | NOT<br>APPLICABLE | WRITTEN<br>COUNSELI<br>NG |                                     |