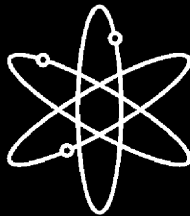
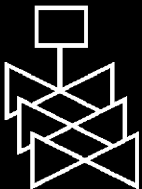




# **P-CARES: Probabilistic Computer Analysis for Rapid Evaluation of Structures**



**Brookhaven National Laboratory**



**U.S. Nuclear Regulatory Commission  
Office of Nuclear Regulatory Research  
Washington, DC 20555-0001**



# **P-CARES: Probabilistic Computer Analysis for Rapid Evaluation of Structures**

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## ABSTRACT

Brookhaven National Laboratory undertook an effort to revise the CARES program under JCN N-6103. The revised CARES program (which is referred to as P-CARES in this report) includes many improvements over the existing CARES. A major improvement is the enhanced analysis capability in which a probabilistic algorithm has been implemented to perform the probabilistic site response and soil-structure interaction (SSI) analyses. This is accomplished using several sampling techniques such as the Latin Hypercube sampling (LHC), engineering LHC, the Fekete Point Set method, and also the traditional Monte Carlo simulation. This new feature enhances the site response and SSI analyses such that the effect of uncertainty in local site soil properties can now be quantified. Another major addition to P-CARES is a graphical user interface (GUI) which significantly improves the performance of P-CARES in terms of the inter-relations among different functions of the program, and facilitates the input/output processing and execution management. It also provides many user-friendly features that would allow an analyst to quickly develop insights from the analysis results.

This report describes the theoretical basis, probabilistic and deterministic site response and SSI analysis capabilities and many user-friendly features which have been implemented in P-CARES. Although the execution of P-CARES is driven by on-screen commands powered by the GUI and is self-explanatory, a user's guide is included in this report to serve either as a quick start or as a reference material for navigating through the program.

## FOREWORD

During the late 1980's, Brookhaven National Laboratory (BNL) developed the Computer Analysis for Rapid Evaluation of Structures (CARES) program under a Nuclear Regulatory Commission (NRC), Office of Nuclear Regulatory Research (RES) sponsored program. CARES was developed to provide the staff with a tool to evaluate the seismic response of relatively simple soil and structural models. BNL has completed an update to CARES by enhancing the analysis capability of the code to perform both deterministic and probabilistic site response and soil-structure interaction (SSI) analyses. In this report, the CARES code is referred to as probabilistic CARES (P-CARES).

This report describes the theoretical basis and analysis features for P-CARES, and contains a user's manual. The report also discusses the implementation of: (1) probabilistic algorithms in the code, using various sampling techniques such as Latin Hypercube (LHC) sampling, and traditional Monte-Carlo simulation, to perform probabilistic site response and soil-structure interaction (SSI) analyses; (2) a deterministic free-field response and SSI analyses; and (3) a post processing module, which provides various statistics on the simulation results.

Seismic response analyses involve the estimation of the effect of ground motions on structures at a particular site. The uncertainties inherent in ground motion and local site soil properties can be qualitatively considered in P-CARES, which uses a conservative deterministic analysis approach or probabilistic methods (in which uncertainties in earthquake size, location, and time of occurrence are explicitly considered).

The deterministic analysis approach of P-CARES has been validated/benchmarked by its application to a number of problems investigated by the NRC staff: (1) NUREG/CR-6896, "Assessment of Seismic Analysis Methodologies for Deeply Embedded NPP Structures," (2) NUREG-1750, "Assessment of Soil Amplification of Earthquake Ground Motion Using the CARES Code Version 1.2," and (3) NUREG/CR-6584, "Evaluation of the Hualien Quarter Scale Model Seismic Experiment." The probabilistic analysis approach used in P-CARES is based on the seismic probability risk assessment (PRA) method outlined in Appendix B of the American Nuclear Society (ANS) standard, "ANS/ANSI-58.21: External Events in Probabilistic Risk Assessment (PRA) Methodology."

With the development and implementation of these new features, including the addition of a graphical user interface (GUI) to improve the performance of the code, P-CARES provides a coherent approach to effectively perform evaluations of the seismic response of relatively simplified soil and structural models. It also gives the NRC staff the capability to perform a quick check and to carry out parameter variation studies of the SSI models and associated seismic data received from an applicant.



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Brian W. Sheron, Director  
Office of Nuclear Regulatory Research  
U.S. Nuclear Regulatory Commission

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## EXECUTIVE SUMMARY

In the process of review and evaluation of nuclear power plant (NPP) structure designs, it is essential to understand the behavior of seismic loading, soil condition, foundation, and structural properties and their impact on the overall structural response. During the late 1980's, Brookhaven National Laboratory (BNL) developed the CARES (Computer Analysis for Rapid Evaluation of Structures) program for the United States Nuclear Regulatory Commission (NRC). CARES was intended to provide the NRC staff with a coherent approach to effectively perform evaluations of the seismic response of relatively simplified soil and structural models. Such an approach provides the NRC staff with a capability to quickly check the validity and/or accuracy of the soil-structure interaction (SSI) models and associated data received from various applicants. These submittals are typically obtained from numerical studies performed with large state-of-the-art structural computer packages which are difficult to assess without spending a significant amount of time and effort in the review process. By performing simplified model studies, the sensitivity of computed responses to variations in a host of controlling parameters can often be evaluated and thereby assist the staff in gaining confidence in the results obtained from the larger computer studies.

The initial version (Version 1.0, Xu et al. 1990) of the CARES program was developed by combining two available computer programs which treat the free-field response calculation (the SLAVE Code, Costantino and Miller, 1979) and the soil-structure interaction analysis of simplified stick models (the SIM Code, Miller and Costantino, 1979) to perform simplified deterministic site response and SSI analyses. Three later revisions had been made to CARES, namely CARES v.1.1 (Costantino et al., 1992), CARES v.1.2 (Costantino et al., 1995), and CARES v. 1.3 (Miller and Costantino, 2000).

The current revision, which is described in this report, was undertaken by BNL during FYs 2005 and 2006 in the NRC program under JCN N-6103. The NRC Project Manager was Mr. Vaughn Thomas and the BNL Principal Investigator was Dr. Jim Xu. The program development was performed by Drs. Jinsuo Nie, Jim Xu, and Carl Costantino. The primary objective of this program was to enhance the analysis capability by implementing a probabilistic algorithm in CARES (Probabilistic CARES, to be referred to hereafter in this report as P-CARES) to perform the probabilistic site response and SSI analyses. This was accomplished using various sampling techniques such as the Latin Hypercube sampling (LHC), engineering LHC, the Fekete Point Set method, and also the traditional Monte Carlo simulation. The deterministic capability of P-CARES was also improved with add-on features using the graphical user interface (GUI). The resulting probabilistic P-CARES treats the low-strain soil properties as random variables and applies any of the four sampling techniques to generate random soil columns. These random columns are used for convolution analysis, which in turn provide input for the SSI response analysis. By implementing the sampling process, the effect of the uncertainty inherent in the low-strain soil properties is propagated through the site and SSI responses. The results of the probabilistic SSI analysis are expressed in terms of the median, mean, and various percentiles in the free field response and the in-structure response spectra.

The deterministic analysis approach of P-CARES has been validated/benchmarked by its application to a number of problems investigated by the NRC staff: (1) NUREG/CR-6896, "Assessment of Seismic Analysis Methodologies for Deeply Embedded NPP Structures," (2) NUREG-1750, "Assessment of Soil Amplification of Earthquake Ground Motion Using the CARES Code Version 1.2," and (3) NUREG/CR-6584, "Evaluation of the Hualien Quarter Scale Model Seismic Experiment." The probabilistic analysis approach used in P-CARES is based on

the seismic probability risk assessment (PRA) method outlined in Appendix B of the American Nuclear Society (ANS) standard, “ANS/ANSI-58.21: External Events in Probabilistic Risk Assessment (PRA) Methodology.”

P-CARES is intended to be used by persons with practical experience in seismic design and analysis of nuclear power plants and other structures, estimation of earthquake ground motion, and seismic probabilistic risk assessment. The P-CARES code can perform a site response and SSI analyses where the soil profile is limited to horizontal layers and the structure is reasonably approximated by lumped mass beam systems. However, the site response analysis of P-CARES can be extended to a site with the topographical features (inclined soil layers). In this case, several base profiles need to be identified to represent the site in a bounding fashion, and for each base profile, the probabilistic site response analysis is performed, and the results for all of base profiles are then combined to obtain the site response.

In addition, the existing CARES, albeit user-friendly in a DOS world more than a decade ago, lacks a GUI that can greatly improve usability and productivity. GUI programming is now relatively easy to achieve due to the advances in computer technology. Therefore, as part of this project, a GUI was added to P-CARES to facilitate the input/output processing and execution management.

The existing CARES Version 1.3 has been upgraded to FORTRAN 90/95 to add clear interfaces between subroutines that are necessitated by the probabilistic simulation, to remove many input/output states that are not suitable for simulation, and to take advantage of the dynamic memory allocation for problem size, to improve the logic and the code quality, among many other improvements. The upgraded version of CARES becomes a few compiled modules that are accessed in Python, and constitutes the computational core in the P-CARES. Building around this computational core, probabilistic simulation and a GUI have been developed to form the integrated software package. The software architecture of P-CARES follows the object-oriented approach, the current state-of-the-art programming technique, which enables it to be very flexible and, therefore, can be modified for future upgrades. A rapid application development aspect of P-CARES utilizes the strategy of mixed programming in Python and FORTRAN, with Python serving as a powerful glue language while FORTRAN is used for the computational core involving heavy number crunching.

P-CARES provides the capabilities to perform deterministic and probabilistic site response and soil-structure interaction (SSI) analyses based on relatively simplified soil and structural models. It automatically manages data and calculations in the probabilistic simulation with any arbitrary number of samples. The sample soil profiles and the response spectra of the simulated soil and structural responses can be aggregated statistically in terms of mean, median, and different percentiles curves. These statistical measures may provide more valuable insights and inferences than those in deterministic soil and structural analysis, in the process of review and evaluation of nuclear power plant (NPP) structure designs. The probabilistic analysis capability in P-CARES becomes especially important as the nuclear industry is more widely accepting the probabilistic approach to account for the uncertainties inherent in the natural and built environments.

P-CARES also provides a set of utility functions for seismic motion analysis, which include the Arias Intensity calculation, accelerogram manipulation, Fast Fourier Transformation and its inverse, baseline correction of accelerograms, Butterworth low pass / high pass / band pass filtering, window smoothing, response spectrum generation, power spectrum density and coherency generation, and time history synthesis. The utility functions can be used to preprocess an accelerogram for the site response and SSI analysis, and can also be used for post processing

for deterministic analysis. Another well-suitable application of these utilities is to simply examine the characteristics of a given accelerogram.

The P-CARES GUI integrates all the above-mentioned functions in one package, instead of a few stand-alone programs in the traditional CARES. It provides convenient on-screen model building capability for soil and structural models, automatic analysis management, and intuitive feedback of instant display of figures. The generated figures can be saved in various popular image formats or in data files that can be readily imported into any spreadsheet programs such as Excel for further processing. Also, it greatly improves the productivity and makes P-CARES a valuable tool to assist the staff in evaluation of the site and structural analysis data submitted by the applicants.

## ACKNOWLEDGEMENTS

This report was prepared as part of a research program sponsored by the Office of Nuclear Regulatory Research of the U.S. Nuclear Regulatory Commission. The authors would like to express their gratitude to Mr. Vaughn Thomas, NRC Project Manager, for his encouragement, and the technical and administrative support he has provided throughout the course of this project.

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The development of CARES would not be possible without the dedicated effort of Dr. Charles A. Miller who passed away during the preparation phase of this project. The authors wish to express their appreciation to Dr. Miller for his contribution and advice which led to the successful completion of this project.

The authors also wish to express special thanks to Ms. Susan Monteleone for her secretarial help in the preparation of this report, especially for providing her “Happy Nuke” picture used in the splash screen for P-CARES.

# 1 INTRODUCTION

## 1.1 Background

In the process of review and evaluation of nuclear power plant (NPP) structure designs, it is essential to understand the behavior of seismic loading, soil condition, foundation, and structural properties and their impact on the overall structural response. During the late 1980's, Brookhaven National Laboratory (BNL) developed the CARES (Computer Analysis for Rapid Evaluation of Structures) program for the United States Nuclear Regulatory Commission (NRC). CARES was intended to provide the NRC staff with a coherent approach to effectively perform evaluations of the seismic response of relatively simplified soil and structural models. Such an approach provides the NRC staff with a capability to quickly check the validity and/or accuracy of the soil-structure interaction (SSI) models and associated data received from various applicants. These submittals are typically obtained from numerical studies performed with large state-of-the-art structural computer packages which are difficult to assess without spending a significant amount of time and effort in the review process. By performing simplified model studies, the sensitivity of computed responses to variations in a host of controlling parameters can often be evaluated and thereby assist the Staff in gaining confidence in the results obtained from the larger computer studies.

The initial version (Version 1.0, Xu et al. 1990) of the CARES program was developed by combining two available computer programs which treat the free-field response calculation (the SLAVE Code, Costantino and Miller, 1979) and the soil-structure interaction analysis of simplified stick models (the SIM Code, Miller and Costantino, 1979) to perform simplified deterministic site response and SSI analyses. Desirable ancillary pre- and post- processing capabilities were added (developing models, plotting, spectra and PSD computation, etc.) to enhance the usefulness of the program. The CARES program is designed to operate in a desktop PC environment (either DOS or Macintosh systems). It is geared to provide user friendly input/output features with rapid turnaround. The primary functions of CARES 1.0 included:

- + A free-field computational algorithm allows for analysis of the seismic response of a layered soil column subjected to upwardly propagating horizontal shear waves developed by a given input seismic motion. The input motion can be specified by means of a target response spectrum appropriate for a given earthquake magnitude at a given range from the source, or an actual accelerogram at a given location within the soil column. The output from this computation is the motion at other locations within the soil column which is compatible with this input motion as well as the final stress and strain conditions developed in each soil layer.
- + A structural response calculation generates the seismic response of a structure embedded within or on the soil resulting from the ground motions generated in the free-field module above. This calculation includes the effects of depth of burial on the SSI impedance functions used in the structural response calculation.
- + A variety of pre- and post- processing capabilities.

Since the original development, the usefulness of CARES has been validated by its application to a number of problems investigated by the NRC Staff. Having the capability to easily perform small analyses directly on a PC has been shown to be a valuable asset for the Staff, which also led to the continued improvement of CARES over the years. To this end, three revisions have been



made to CARES, namely, CARES v.1.1 (Costantino et al., 1992), CARES v.1.2 (Costantino et al., 1995), and CARES v. 1.3 (Miller and Costantino, 2000). The following is a brief description of the modifications included within each of the revisions:

Version 1.1 - The main changes in Version 1.1 had the objective of increasing the size of problems that could be treated with CARES. This required a rearrangement of the storage arrays in the structural response portion of the code and the inclusion of an improved Fast Fourier Transform to reduce the running times for large problems. Several changes were made to the soils portion of CARES which extends the capability of the program. The first change allowed for the inclusion of a rock outcrop model within the soil column formulation. Extended soil degradation modules were also incorporated to keep pace with new developments.

Version 1.2 - The second enhancement to the Code was undertaken to enable it to run on the Sun SPARC workstation, located at NRC, which operates in the UNIX environment. A number of additions were also made to extend the capabilities of the analysis. In the structural module, several aspects have been modified. The capability for treatment of rigid links within the structural model, an improved algorithm for handling composite damping, and an in-plane shear wall element, were added to the Code. The SSI portion of the Code was also modified to include rectangular foundations.

Version 1.3 - The essential changes associated with this revision are the addition of kinematic interaction effects, and the improvement of the damping models available for the structural analysis.

With the computing power increasing at the exponential rate in recent years, the demand for better and accurate characterization of the effect of earthquake ground motions on the site and SSI structural responses also led to the development of many state-of-the-art analytical methods. These advanced methods employ the random vibration theory or large-scale simulation algorithms to treat the stochastic seismic response with probability theories. As a result, the effort required for performing probabilistic analyses becomes far more complicated than that required for a deterministic analysis. Furthermore, the interpretation of the results from a probabilistic analysis becomes more subtle and less straightforward than the traditional deterministic analysis.

To meet the need for an efficient and effective tool to perform site response and SSI analyses in the probabilistic space, a major revision to CARES is made under JCN N-6103 to incorporate the probabilistic capability and a graphical user interface (GUI) into the program. The objective of this report is to provide a description of the improved capabilities of CARES and a user's guide.

## **1.2 Scope and Objectives**

It is generally recognized that large uncertainty exists in seismic response analyses of structures. The uncertainty arises mainly from the limited understanding of the seismic sources, attenuation relations, local site soil effect, and structural properties. The current procedure for quantifying the uncertainty in seismic response analyses is to use deterministic bounding approaches such as those outlined in Standard Review Plan (SRP) 3.7 (NUREG-0800, 1989) and ASCE 4-98 (1998), which are widely viewed as being conservative. Recent advances in the stochastic seismic analysis have shown that a more accurate prediction of the seismic response considering uncertainty can be obtained by resorting to the probabilistic procedure. The scope of the current revision aims at implementing the probabilistic procedure in both site response and SSI analyses of CARES, to be referred hereinafter as P-CARES (probabilistic CARES).

The probabilistic site response and SSI analysis is the state-of-the-art seismic analysis approach which is gaining wider acceptance by the nuclear industry. Recently published ASCE 43-05 (2005) has opted for the probabilistic approach for determining the design factor for soil site, given a rock input motion. ANS External Event PRA Methodology Standard (ANSI/ANS-58.21, 2003) went a step further to require a probabilistic SSI response analysis for a complete-scope seismic probabilistic risk assessment (SPRA). Included in the scope for P-CARES is to provide the NRC staff with an important tool for evaluating and verifying the probabilistic site response and SSI analyses performed by licensees. However, the level of uncertainty to be addressed in P-CARES will be limited to local site soil effects. The uncertainty in structural properties, e.g., the lumped masses and the Young's moduli, is relatively small compared to other sources of uncertainty, and could be neglected without loss of much accuracy in SSI analyses. Uncertainty in ground motions is probably the largest among all sources considered. The ground motion uncertainty can be addressed using a similar approach to the way the soil uncertainty is addressed in P-CARES. However, the implementation of a probabilistic procedure to capture the uncertainty in ground motions requires the development of a ground motion database binned in terms of magnitude and distance in a manner that allows for extending the probabilistic simulation to the ground motion database. Since the development of a complete ground motion database could require sizeable effort, it is, therefore, beyond the scope of the current P-CARES development; however, it could be considered as a future improvement to the program.

The primary objective of this program under JCN N-6103 is to enhance the analysis capability by implementing a probabilistic algorithm in P-CARES to perform the probabilistic site response and SSI analyses. This is accomplished using various sampling techniques such as the Latin Hypercube sampling (LHC), engineering LHC, the Fekete Point Set method, and also the traditional Monte Carlo simulation. The deterministic capability of P-CARES will also be improved with add-on features using GUI. The resulting probabilistic P-CARES will treat the low-strain soil properties as random variables and applies any of the four sampling techniques to generate random soil columns. These random columns will then be used for convolution analysis, which in turn will provide input for the SSI response analysis. By implementing the sampling process, the effect of the uncertainty inherent in the low-strain soil properties is propagated through the site and SSI responses. The results of the probabilistic SSI analysis are expressed in terms of the median, mean, and various percentiles in the free field response and the in-structure response spectra.

In addition, the existing CARES, albeit user-friendly in a DOS world a decade ago, lacks a GUI that can greatly improve usability and productivity. GUI programming is now relatively easy to achieve due to the advances in computer technology. Therefore, the second goal of this project is to add a GUI to P-CARES that facilitates the input/output processing and execution management.

P-CARES will provide the NRC staff with the capability to effectively evaluate the probabilistic seismic response using simplified soil and structural models and to quickly check the validity and/or accuracy of the SSI data received from applicants and licensees.

### **1.3 Report Organization**

This report consists of six sections. Following this introduction section, Section 2 describes the theoretical basis for various components in P-CARES, which include a number of seismic motion processing utilities, free field convolution analysis, foundation kinematic interaction, structural response analysis, and the probabilistic simulation algorithms. The emphasis in this section is on providing a description of the underlying theories used in P-CARES rather than a complete derivation of the theory for the sake of conciseness. Section 3 presents the P-CARES features for seismic site response and SSI analyses and the associated seismic motion utilities. It also

discusses the software development tactics and the graphical user interfaces. This section serves as an overview of the P-CARES program and its various application scenarios. Section 4 provides a detailed user's manual that describes aspects of P-CARES down to every button in the GUI. It includes a short tutorial to help the user with a first view of the program, system requirements for a complete list of software packages that P-CARES uses, a description of P-CARES design architecture and the usage options, and a detailed description for each of the interfaces used in various analysis scenarios. Section 5 concludes this report with a summary of the P-CARES development and seismic analysis features. The last section provides a complete list of the references. An appendix at the end of this report provides an example that demonstrates the use of the time history synthesis tool, the deterministic and probabilistic site response analyses, and the deterministic and probabilistic SSI and structural analyses.

## 2 THEORETICAL BASIS FOR P-CARES

This section provides the theoretical basis for P-CARES. The primary objective of the P-CARES program is to perform both deterministic and probabilistic site response and soil-structural interaction (SSI) analyses based on simplified models for soil columns and structures. Useful features associated with processing ground motions are also included in P-CARES. Although the implementation and outputs required for the deterministic and probabilistic analyses are different, these two types of calculation are considered to be similar due to the fact that the deterministic analysis simply corresponds to one sample calculation in the simulation performed in the probabilistic analysis. Therefore, the theoretical bases common to both calculations are described in this section, which include: 1) free-field convolution analysis; 2) kinematic interaction analysis, and 3) structural response analysis including the SSI effect. The probabilistic simulation is described in the context of the site response analysis with assumed variabilities in soil properties and their effect on the structural response through SSI. The theoretical basis for the sampling techniques implemented in P-CARES is also described.

For the deterministic analysis or one sample analysis in the simulation, the soil is idealized as a horizontally stratified system and is modeled as a one-dimensional (1-D) shear beam while the structure is modeled as 3-D lumped-mass elastic system. The free-field response calculation is carried out using the frequency domain time history analysis. The structural response can be calculated separately in each of the three directions or for all directions simultaneously to account for torsional effects. The latter is only feasible in a deterministic SSI analysis of structures. The response of the structure (time histories or spectral representations of the time histories at selected locations) including the SSI effect is evaluated, given a seismic input motion or the free-field response. Since the computations are performed in the frequency domain, Fast Fourier Transforms (FFT) are utilized to obtain the time domain responses.

For the probabilistic simulation analysis, seismic input motion and structural properties are considered deterministic, even though they can exhibit great variability especially for seismic input motion. Variability in seismic input motion is largely attributed to the characteristics of seismic sources and attenuation relations, and can be determined by a simulation analysis drawing from a database of ground motions binned in terms of earthquake magnitude and distance. The current version of P-CARES does not consider the variability in seismic input motion; however, such variability can be incorporated into P-CARES by developing a ground motion database. Since nuclear power plant (NPP) structures are generally constructed through controlled processes, variability in structural properties is much smaller than respective variability in ground motions and soil properties, and therefore, is neglected in P-CARES. The probabilistic analysis in P-CARES emphasizes on the effect of variability in local soil properties. Soil layer thickness, soil density and soil shear modulus are modeled by lognormal random variables with correlation enabled. The fundamental scheme of the probabilistic analysis is: given a specified seismic input, the site responses and structural responses are calculated with the uncertainties of the soil column propagating to the structures.

The underlying theories of P-CARES can be grouped into five interconnected and distinguishable modules. Each of these is briefly described below, and is followed by more detailed discussions of the methods used in P-CARES.

**Seismic Motion Analysis** - This module is a collection of utility routines that process seismic accelerograms and their Fourier components. It can be used to preprocess raw seismic records, to generate synthetic time histories for given target response spectra, or to post-process the responses from free-field analysis and structural analysis. The utilities include: 1) raw

accelerogram conversion, 2) Arias Intensity calculation, 3) accelerogram cropping, 4) baseline correction to reduce residual velocity and displacement, 5) Fast Fourier Transformation (FFT) and the inverse FFT, 6) Butterworth filter for Fourier Spectra, 7) window smoothing for Fourier Spectra, 8) response spectra, 9) auto Power Spectrum Density (PSD) and coherency calculations, and 10) time history synthesis. All these utilities allow for graphical displays of relevant information for on-screen visual feedback, output in various image format, and data in text format.

**Free-Field Convolution Analysis** - This module performs the free-field response analysis, based on a simplified 1-D horizontally stratified soil column model. For each layer of the soil column, the user can specify the soil properties such as: the mass density, low-strain shear velocity, layer thickness, and its degradation model which provides strain-compatible shear modulus and damping value for each layer. The mass density, low-strain shear velocity and the layer thickness also require the means and the standard deviations to define the random variable distributions if a probabilistic analysis is to be performed. Correlations between these random variables can also be specified. The input motion, which needs to be transformed into Fourier components for convolution analysis, can be specified at the ground surface, rock outcrop, or the bottom of any soil layer. In situations where only accelerograms or criterion response spectra are available, the user can generate the Fourier components using the seismic motion analysis module described above. The computational model of the 1-D layered soil column in P-CARES assumes vertical propagation of horizontally polarized shear waves and uses standard convolution methods. Degradation effects can be included in the soil by an iterative elastic solution method, in which the iteration process stops until the degraded soil properties are compatible with the strain levels for the given input motion. The user can request locations where free-field output motions are needed. For kinematic SSI problems, the motions required at depths over the embedment are automatically generated for a prescribed number of depths and these depths are evenly distributed over the embedment depth. All output motions are represented by Fourier components.

**Kinematic Interaction Analysis** - This module computes the translational and rocking responses of an embedded foundation to the free-field input motion. The foundation is assumed rigid and massless for the purpose of kinematic interaction analysis. For surface foundations, since kinematic interaction effect does not exist for 1-D layered soil column, this analysis is not required. In contrast with the multilayered soil column model in previous module, the soil is represented in this module as two layers: one to the side of the foundation and one beneath the foundation. This restriction is required to obtain an efficient analytical solution because the foundation impedance functions are only available for such simple soil system. This simplified model has been adopted in P-CARES to avoid a coupled soil-structure interaction solution that requires the use of a complex code such as SASSI. The user needs to select the type and the dimension of the foundation, and specifies the soil properties on the side and under the base of the foundation. However, the user can also simply provide a soil profile and let P-CARES to calculate the side and base soil properties based on certain weighted averaging rules. The free-field motions computed in the previous module at depths distributed over the embedment depth of the foundation are used as input to compute the response of the foundation. The translational and the rocking motions of the foundation are assumed as displacements at the basemat level. The resulting motions are evaluated by first obtaining a best rigid body displacement fit to the input free-field accelerograms and then applying additional displacements eliminating the free-field stresses induced due to the imposed rigid body displacements at the foundation soil interface. The resulting motions are saved as Fourier components and are used as the input motions to structural analysis module.

The kinematic interaction analysis is integrated in the free-field analysis module. This integration provides a convenient approach to automatically generate the output motions over the embedment depth for the kinematic analysis.

**Structural Analysis** - This module evaluates the response of the structure to the input motions. The structure model is a lumped mass system connected with elastic elements and constrained with a SSI node. The elements can be elastic beam elements and shear wall elements, and the special rigid links. The SSI node is the location where the input motions are applied and is modeled by a two-layer soil system similar to that in the kinematic analysis module. The input motions in the structural analysis module can be any combination of the three translational and three rotational components; however only two are available if the motions are generated from the previous free-field and kinematic analyses. If the structure sits on a surface foundation, the input motions can only be translational and there is not kinematic interaction effect involved. The outputs are the Fourier components of the accelerograms at selected nodal locations within the structure.

**Probabilistic Simulation** – This module provides P-CARES the capability to generate correlated random vectors, to carry out the deterministic analysis for each sample, and to manage various simulation outputs. This module is placed on top of the free-field convolution analysis, kinematic SSI analysis, and the structural analysis, and streamlines these analyses with the number of samples specified by the user. The random vector includes the soil layer thickness, soil density, and the low-strain soil shear modulus, and is expandable to include other properties. All random variables are modeled as log-normal variables. The user can specify the number of random variables (currently a function of the number of soil layers) and the number of samples in the simulation. In this module, the uncertainties inherent in the soil column randomly filter the input seismic motion and propagate through the site and SSI responses. The simulation results can then be aggregated by statistical means of median, mean, and various percentiles in the post processing module. The simulation schemes implemented in this module include the Monte Carlo simulation, Latin HyperCube sampling (LHC), engineering LHC, and the experimental Fekete Point Set method.

## 2.1 Tools for Seismic Motion Processing

This subsection presents a summary of the utility algorithms implemented in P-CARES to process seismic or response motions, which are discrete series in time or frequency domains. The implemented algorithms are Arias Intensity calculation, baseline correction, Fast Fourier Transformation (FFT) and the inverse FFT, Butterworth filter for Fourier Spectra, Window smoothing for Fourier Spectra, Power Spectrum Density, and Time History Synthesis. Most of these algorithms operate in the frequency domain; therefore the FFT and its inverse are the essential algorithms. The basic assumption for Discrete Fourier Transform (DFT) is that the seismic and response motions are periodic functions in the time domain with the period equal to the duration of the motions. This assumption can be expressed as:

$$a(t) = \sum_{j=0}^{m-1} A_j e^{i\omega_j t} \quad (2-1)$$

where  $m$  is the total number of frequency components of the motion (including the zero or steady state term) equally spaced at a constant frequency increment,  $\omega_j$  is the circular frequency, and  $A_j$  is the complex Fourier coefficient of the sinusoidal motion.

### 2.1.1 Arias Intensity

As the peak ground acceleration (PGA) is not an ideal measure of the intensity of the earthquake, Arias Intensity (Arias, 1970) has been introduced to measure the energy content of the earthquake. It is defined as,

$$I_{Arias} = \frac{\pi}{2g} \int_0^T a(t)^2 dt \quad (2-2)$$

where  $g$  is the gravity acceleration,  $T$  is the duration of the ground acceleration record, and the Arias Intensity  $I_{Arias}$  is in the unit of length/time. Figure 2-1 shows a typical acceleration record with its cumulative Arias Intensity overlaid on it. This figure also shows several time locations, e.g. T05 and T75 in the figure, when the cumulative energies are at the related percentile. Arias Intensity Arias Intensity has been often used to define the strong ground motion, the duration of which covers from 5% to 75% of the total cumulative energy (ASCE/SEI 43-05). Although this definition of the strong ground motion has been widely accepted, it does not necessarily capture all the characteristics of the motion. The strong motion duration is defined by,

$$T_{sm} = T75 - T05. \quad (2-3)$$

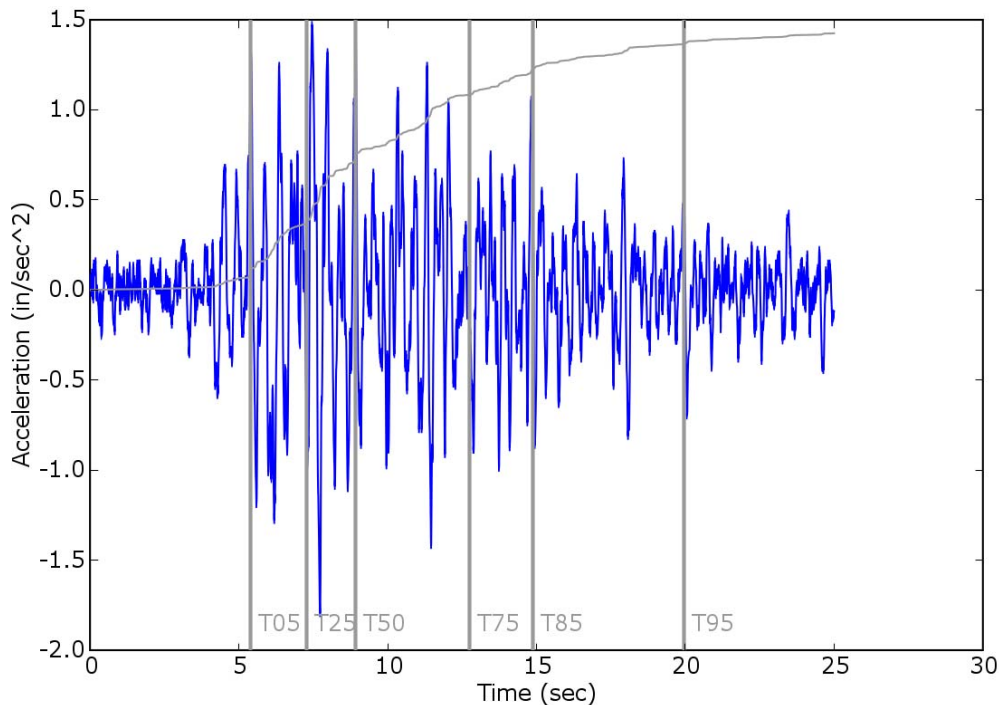


Figure 2-1 Cumulative Arias Intensity Overlaid on Acceleration Record

### 2.1.2 Lagrange Multiplier Based Accelerogram Correction

Measured and synthesized acceleration records usually have nonzero residual velocity and displacement that appear unrealistic. Even though permanent displacements, due to nonlinear soil deformation, are typically observed after a strong earthquake, a recorded accelerogram applied in analysis very often do not reproduce this permanent displacement correctly, for reasons such that the accelerogram may be cropped for efficiency in the application. In addition, these nonzero residual velocity and displacement often do not impose a serious influence to analyses in which the base shift does not affect the analytical responses, such as relative displacement and forces. In this regard, the removal of these nonzero residual velocity and displacement from an

accelerogram appears of little importance; on the other hand, purposely keeping them in an accelerogram is not necessary for many applications. However, in some other situations, such as simultaneously applying multiple seismic inputs at different locations in a structure or consecutively applying motions to a structure to simulate multiple earthquake events, the residual velocity and displacement may have a significant impact on the solution and may need to be removed. There are a number of methods in the literature to correct the accelerogram (e.g. Jennings et al, 1968), this utility in P-CARES adopts a Lagrange multiplier based method that was developed by Borsoi and Richard (1985).

This method requires an accelerogram be discretized evenly in time. The principle of this method is to solve a minimization problem of a quadratic form with linear constraints by the Lagrange multiplier method, where the quadratic form is the Euclidean norm between the corrected and uncorrected accelerograms, and the linear constraints are for zeroing out the final velocity, the final displacement, and the average displacement that are expressed in linear combinations of the accelerogram. The minimization in the method ensures a minimal modification to the original accelerogram. There are different ways to obtain the linear constraints as linear combinations of the accelerogram, adopted in this utility is the simple and effective method in Borsoi and Richard (1985), which assumes linearity between nearby data points in the accelerogram, velocity and displacement records. These assumptions are not compatible because a linear assumption in acceleration implies parabolic relation in velocity and cubic relation in displacement. However, these assumptions do not affect much the effectiveness of the correction if the time increment is sufficiently small, which is true for most accelerograms in practice.

Figure 2-2 shows an example of using this utility to correct a sample accelerogram, where the dotted red curves are for the uncorrected accelerogram while the solid blue curves are after the correction. It shows that the modification to the original accelerogram is indistinguishable, while the corrections to the velocity and the displacement are significant. It also indicates that the correction mainly affects the low frequency components.

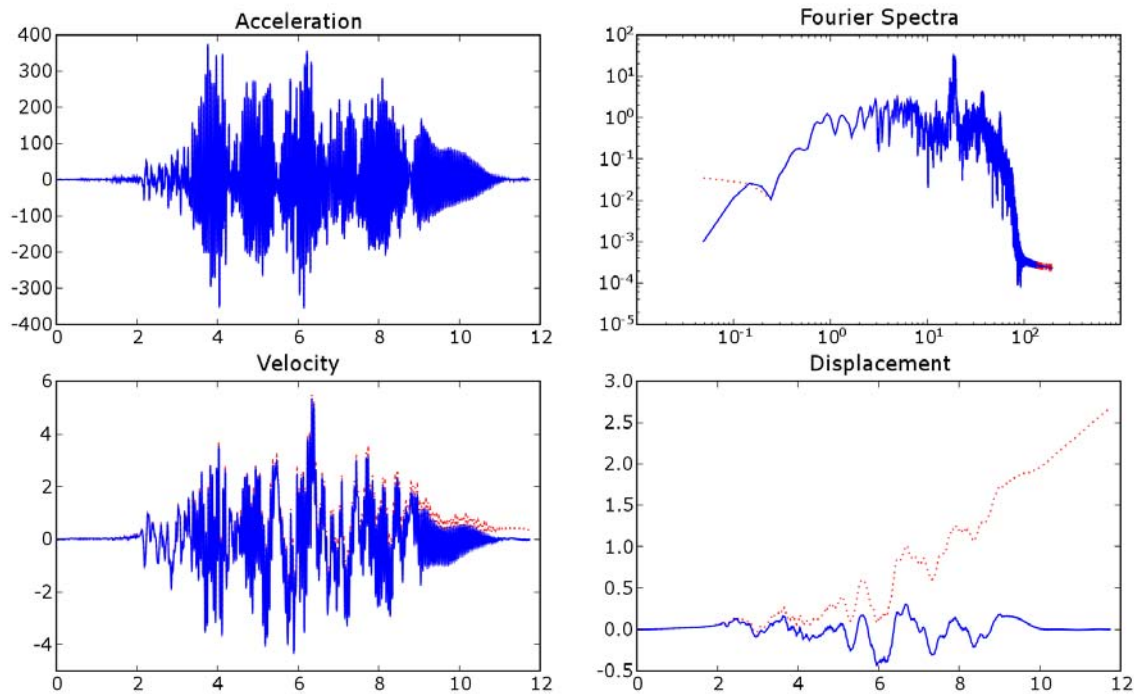


Figure 2-2 Lagrange Multiplier Based Correction of Accelerogram



### 2.1.3 Fourier Transforms

In earthquake engineering, the acceleration  $a(t)$  is digitalized or recorded as a series of acceleration values with constant time intervals. Let the acceleration sequence be designated as  $\{a_i, i = 0, \dots, N-1\}$ , where  $N$  is the number of the data points in the earthquake record, then the time interval can be expressed in terms of the total duration  $T$  as:

$$\Delta t = T / N \quad (2-4)$$

The forward DFT is defined by:

$$A_k = \frac{1}{N} \sum_{j=0}^{N-1} a_j e^{-i2\pi jk/N} \quad \text{for } k = 0 \dots N-1, \quad (2-5)$$

and the inverse DFT is:

$$a_j = \sum_{k=0}^{N-1} A_k e^{i2\pi jk/N} \quad \text{for } j = 0 \dots N-1. \quad (2-6)$$

The above definitions of forward and inverse Fourier transforms are different than the most common ones that the denominator of  $N$  does not appear in the forward DFT but in the inverse DFT. These two different approaches to the Fourier transform couple definition yield the same accelerograms but different Fourier components. This particular implementation in P-CARES is for efficiency considerations due to many inverse DFTs are involved in the convolution analysis. Direct application of DFT in practice for large records can take considerable time, which is proportional to  $N^2$ . A much faster algorithm was developed by Cooley and Tukey (1965), and has been denoted as Fast Fourier Transform (FFT). The FFT implemented in P-CARES is similar to the original Cooley and Tukey algorithms, and has a time bound of  $N \log_2 N$ . It is required in this algorithm that the number of data in a record to be a power of 2, i.e.,

$$N = 2^M, \quad (2-7)$$

where  $M$  is a positive integer, and usually takes the smallest integer that makes  $2^M$  be equal to or greater than the number of data points in the available record. The acceleration record is extended by padding zeros at the end of the record to meet this requirement.

While the FFT algorithm used in P-CARES (Equation 2-5) requires the total number of Fourier components is the same as the number of time points, the particular formulation used requires only one-half the number of coefficients, with the remaining determined as the complex conjugates. In another words, Fourier components  $0 \dots N/2$  sufficiently represent the frequency content of the whole acceleration record of  $N$  data points. The number of independent Fourier coefficients is given by,

$$N_c = N/2 + 1. \quad (2-8)$$

The corresponding frequency increment and the maximum frequency content of the acceleration, i.e., the Nyquist frequency, can be determined from,

$$\Delta f = 1/T, \quad (2-9)$$

$$f_{\max} = 1/2\Delta t \quad (2-10)$$

For example, if the total acceleration duration is 20 seconds and the parameter  $M$  in Equation 2-7 is selected as 11, the characteristics of the acceleration record and its Fourier components by FFT are determined in the following,

$T$	= 20 seconds	$N$	= 2048 data points
$\Delta t$	= 0.00977 seconds	$N_C$	= 1025 frequency records
$\Delta f$	= 0.05 hz	$f_{\max}$	= 51.20 hz

#### 2.1.4 Butterworth Filter

The low pass filter implemented in P-CARES is a Butterworth filter (Butterworth, 1930) of an order 4. The general transfer function of a Butterworth filter is defined as (Proakis and Manolakis, 1988),

$$|H(\omega)|^2 = \frac{1}{1 + (\omega / \omega_l)^{2N}}, \quad (2-11)$$

where  $\omega_l$  is the low pass cutoff frequency and  $N$  is the order of the filter. A higher order Butterworth filter ramps the frequency response faster to zero.

The high pass filter implemented in P-CARES is a modified version of the regular low pass Butterworth filter:

$$|H(\omega)|^2 = \frac{1}{1 + (\omega_h / \omega)^{2N}}, \quad (2-12)$$

where  $\omega_h$  is the high pass cutoff frequency and  $N = 4$  in the particular implementation in P-CARES. The above equation applies only for  $|H(\omega)| \geq 0.5$ ; otherwise, a truncated linear format is used as follows,

$$|H(\omega)| = \max(0, 0.5 - C(\omega_{05} - \omega)) \quad (2-13)$$

where  $\omega_{05}$  is the frequency such that  $|H(\omega)| = 0.5$  in Equation 2-12, and  $C$  is a constant defined as,

$$C = \frac{4}{\omega_h} \left( \frac{\omega_h}{\omega_{05}} \right)^9 \left/ \left( 1 + \left( \frac{\omega_h}{\omega_{05}} \right)^8 \right)^{3/2} \right. . \quad (2-14)$$

The high pass and low pass Butterworth filters can be applied in series to form a band pass filter. To obtain a filtered Fourier spectrum  $F'(\omega)$ , a selected filter  $H(\omega)$  is applied to the original Fourier spectrum  $F(\omega)$  as follows,

$$F'(\omega) = H(\omega)F(\omega) . \quad (2-15)$$

Figure 2-3 shows an application of the combined low pass and high pass Butterworth filters to a Fourier spectrum, in which dotted red curve is the original Fourier spectrum while the solid blue curve is the filtered one. The cutoff frequencies used are 0.2 Hz and 10 Hz in this example.

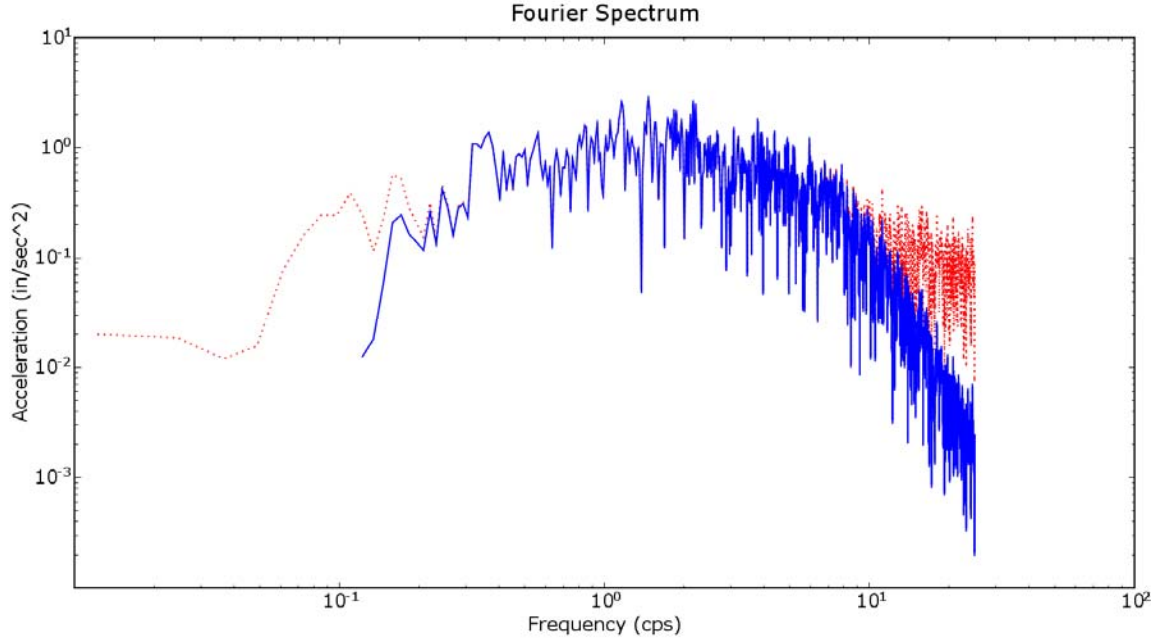


Figure 2-3 Application of Band Pass Butterworth Filter to A Fourier Spectrum

### 2.1.5 Fourier Components Smoothing

The triangular window smoothing method is implemented in P-CARES to smooth the Fourier components in order to eliminate the noises. It is very helpful in comparing two similar Fourier spectra, for example, one from a recorded record and the other from a computed record. The triangular window is defined by the width of the frequency window, i.e., the window frequency  $\omega_w$ . In P-CARES, either a fixed-width frequency window or a varying-width frequency window can be specified by the user. The varying width frequency window, the width of which is defined by a percentage of the central frequency  $f_0$  under consideration (e.g.,  $\omega_w = 20\% f_0$ , see Appendix A to SRP 3.7.1, NUREG-0800), can lead to a constant width in the log scale. This smoothing method is basically a moving average algorithm with the weighting function shaped as a triangle, which is defined as,

$$w_i = \frac{N_{half} + i + 1}{(N_{half} + 1)^2}, \quad \text{for } i = -N_{half}, \dots, 0 \quad (2-16)$$

$$= w_{-i}, \quad \text{for } i = 1, \dots, N_{half}$$

where  $N_{half}$  is the integer half width of the frequency window, and is defined using the window frequency  $\omega_w$  and the frequency increment  $\delta_\omega$  as,

$$N_{half} = \text{Floor}\left(\frac{\omega_w}{2\delta_\omega}\right) \quad (2-17)$$

in which Floor() is the mathematical function to truncate a real number to a integer. It should be noted that the sum of the weights of a full window is unity. The moving average algorithm can be described by the following updating equation,

$$F_i' = \sum_{j=-N_{half}}^{N_{half}} w_j F_{i+j} \quad (2-18)$$

Special considerations are given in the moving average algorithm when it approaches both ends of a Fourier spectrum where the number of frequencies left is fewer than the half window. For a fixed-width window approach, the few frequencies at the beginning are not smoothed and the few frequencies at the end are smoothed using a smaller window width. For the varying-width window approach, there is no such problem at the low frequency end, while smaller window is used for the high frequency end.

Figure 2-4 shows a Fourier spectrum being smoothed by a triangular window with the window frequency equal to 0.5 Hz, and Figure 2-5 shows the same Fourier spectrum being smoothed by a varying-width window with  $\omega_w = 20\% f_0$ . The varying-width window approach obviously yields a smoother curve in the high frequency range than the fixed-width approach.

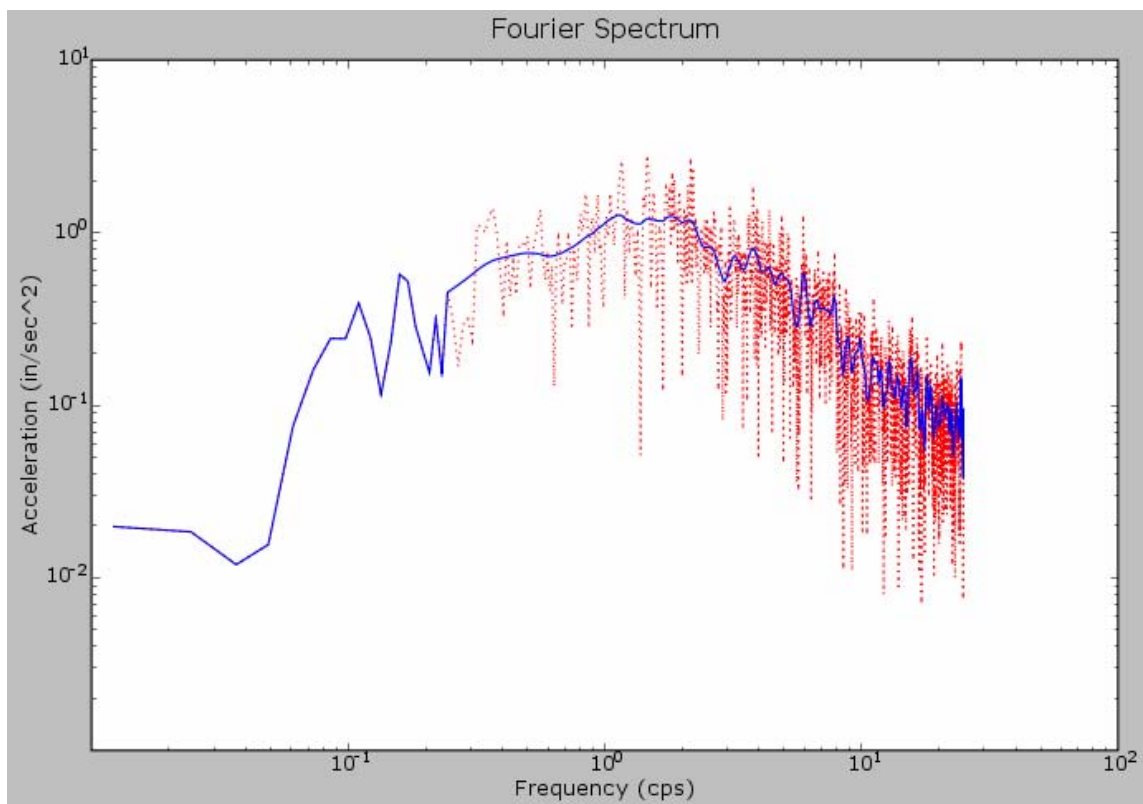


Figure 2-4 Application of Triangular Window Smoothing (Fixed-Width)

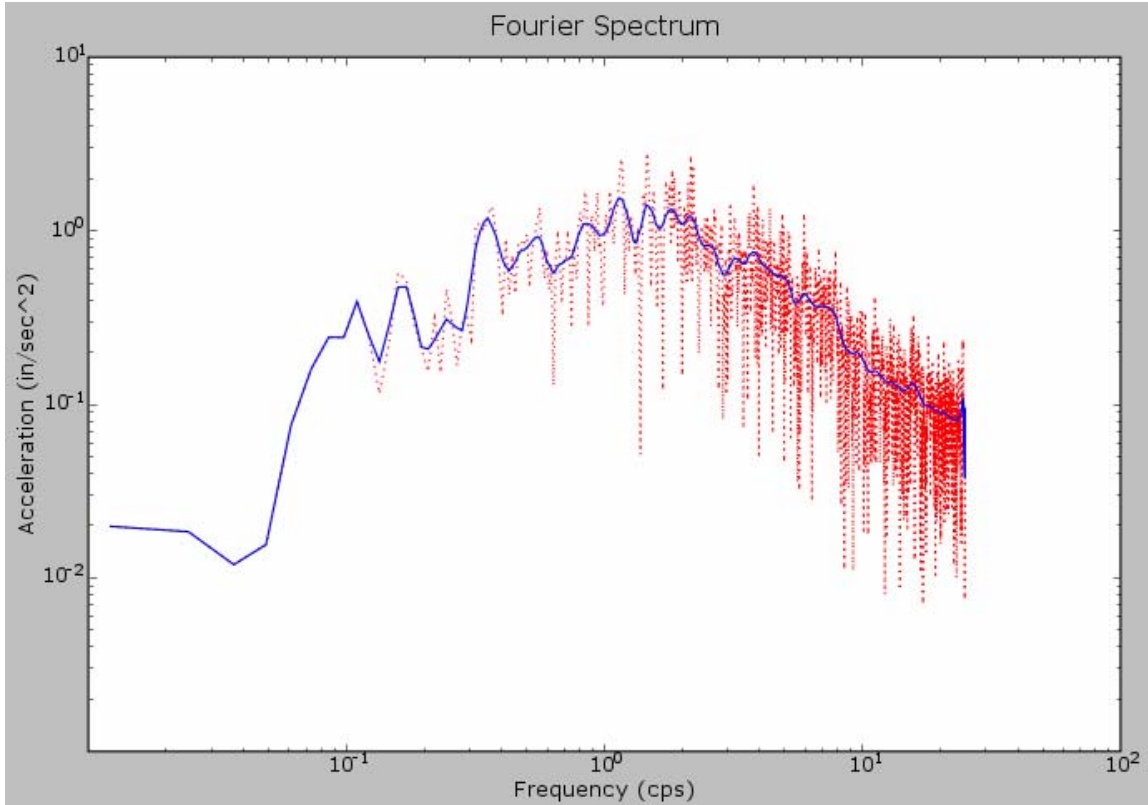


Figure 2-5 Application of Triangular Window Smoothing (Varying Width)

### 2.1.6 Response Spectrum

A response spectrum of a given motion is the maximum response of a linear oscillator of single degree of freedom, as a function of the fundamental frequency of the oscillator, where the given motion is the exciting motion to the oscillator. The governing equation of motion for the linear oscillator (see Figure 2-6) is,

$$z'' + 2\omega\zeta z' + \omega^2 z = -y'' \quad (2-19)$$

in which  $z$  is the relative motion between the mass and the base,  $y$  is the base motion, and  $\omega$  and  $\zeta$  are the fundamental and damping of the oscillator (Xu et al, 1990). Solution of Equation 2-19 must be obtained for each of the interested frequencies and damping values; the maximum of the acceleration experienced by the oscillator is commonly plotted with respect to the frequency as the response spectrum. The response spectra plot is often parameterized for multiple damping values.

Suppose the motion is an accelerogram with the time increment  $\delta t$  and a maximum duration  $T$ , P-CARES limits the maximum frequency on its response spectra with the Nyquist frequency,

$$f_{\max} = 1/2\delta t \quad (2-20)$$

The minimum spectra frequency is set equal to 0.1 Hz.

The algorithm in P-CARES to generate a response spectrum from an accelerogram utilizes a closed form solution of Equation 2-19 rather than a numerical integration method (e.g. Wilson's  $\theta$  method). Assuming linear relation between two consecutive acceleration data points, Equation 2-19 between the two time stations  $t_j$  and  $t_{j+1}$  can be solved analytically (Nigam and Jennings

1968, Xu et al, 1990). Given the acceleration, velocity, and the displacement of the oscillator at  $t_j$ , the acceleration, velocity, and displacement at  $t_{j+1}$  can be analytically determined. Starting with a rest condition at time 0, the absolute acceleration of the oscillator at all time stations can be calculated in sequel, and the maximum value of these acceleration values becomes the spectral value at the particular frequency and damping.

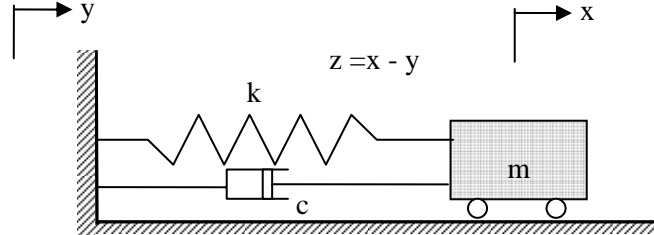


Figure 2-6 Illustration of a Linear Oscillator

### 2.1.7 Power Spectral Density and Coherency

Power Spectral Density (PSD) describes the distribution of power in an acceleration record with respect to frequency. Beyond this general and simplistic description, PSD has several different definitions in the literature (e.g., ASCE43-05, Bendat and Piersol, 1986, Proakis and Manolakis, 1988, and Press et al, 1990), depending on the purposes of the application. The one implemented in P-CARES follows the definition by Bendat and Piersol (1986) for compatibility with the coherency measures to be introduced later. For an acceleration function  $x(t)$  of duration  $T$ , which is considered in terms of random process as a realization from its associated sample space  $\Omega_a$ , its Fourier transform  $X(f)$  and its one-sided power spectral density function  $\Phi(f)$  can be defined as,

$$X(f, T) = \frac{1}{T} \int_0^T x(t) e^{-i2\pi ft} dt \quad (2-21)$$

$$\Phi_{XX}(f) = 2 \lim_{T \rightarrow \infty} \frac{1}{T} E \left[ |X(f, T)|^2 \right], \quad (2-22)$$

where the expectation  $E[\ ]$  operates over the sample space  $\Omega_a$ , and the limiting operation  $T \rightarrow \infty$  cannot be performed in practice because the duration  $T$  can never be infinite. Note that the expectation operator in Equation 2-22 is required to ensure the resulting PSD function pairs with the corresponding correlation function by the Fourier transform. For a stationary process, the expectation can be estimated by averaging several records of duration  $T$ , and the power spectral density defined by Equation 2-22 gains its discrete format,

$$\hat{\Phi}_{XX}(f_k) = \frac{1}{N_{\Omega_a}} \sum_{i=1}^{N_{\Omega_a}} \frac{2}{T} \left| \hat{X}(f_k, T) \right|^2, \quad (2-23)$$

where  $N_{\Omega_a}$  is the number of records used in the estimation, and the hat embellishment signifies the discrete version, and  $k$  indicates the  $k^{\text{th}}$  frequency in the spectra. However, in the context of seismic motions that are nonstationary processes, the expectation cannot be carried out using Equation 2-23, and the power spectral density must be approximated either by simply dropping the averaging operation or by locally averaging over a window in a single series of Fourier

components. The locally averaging technique, often named as window smoothing, is most commonly used in the coherency study.

Similarly, the one-sided cross spectral density for given Fourier spectra  $X$  and  $Y$  and its corresponding discrete version without taking the expectation are given by,

$$\Phi_{XY}(f) = 2 \lim_{T \rightarrow \infty} \frac{1}{T} E[X^*(f, T)Y(f, T)] \quad (2-24)$$

$$\hat{\Phi}_{XY}(f_k) = \frac{2}{T} \hat{X}^*(f_k, T)\hat{Y}(f_k, T), \quad (2-25)$$

where  $X^*$  is the complex conjugate of  $X$ .

For two seismic motions recorded at two locations, the coherency between them, a dimensionless measure, is defined by the smoothed cross power spectrum that is normalized by the corresponding auto power spectra of these two motions (Abrahamson, 2006, Zerva and Zervas, 2002), i.e.,

$$\gamma_{XY}(\omega) = \frac{\Phi_{XY}(\omega)}{\sqrt{\Phi_{XX}(\omega)\Phi_{YY}(\omega)}}. \quad (2-26)$$

The coherency  $\gamma_{XY}(\omega)$  is a complex number and can be shown with a magnitude within the range [0, 1]. If the spectra used in the above definition are not locally smoothed, the magnitude of  $\gamma_{XY}(\omega)$  is unity for all frequencies. The absolute value (magnitude) of coherency,  $|\gamma_{XY}(\omega)|$ , is designated as the lagged coherency, which measures the phase variation between the two motions. The phase spectrum is defined by the phase angle in  $\gamma_{XY}(\omega)$  as  $\tan^{-1}(\text{real}(\gamma_{XY}(\omega))/\text{imag}(\gamma_{XY}(\omega)))$ . The unlagged coherency is defined as the  $\text{real}(\gamma_{XY}(\omega))$ .  $\text{Tanh}^{-1}(|\gamma_{XY}(\omega)|)$  is approximately normally distributed, and is termed in P-CARES as ‘‘Arctanh Coherency’’.

In the context of computing the coherency measures, the accelerograms are first tapered by a split cosine bell taper function to reduce the power leakage effect. This taper function is defined as,

$$x(t) = \begin{cases} 0.5(1 - \cos(2\pi t / pT)), & \text{if } t \leq 0.5pT \\ 1.0, & \text{if } 0.5pT < t \leq (1-0.5p)T \\ 0.5(1 - \cos(2\pi(T-t) / pT)), & \text{if } (1-0.5p)T < t \end{cases} \quad (2-27)$$

where  $p$  is the percentage of the duration  $T$  that will be tapered at both ends. The Hamming’s window, with the number of point being specified by the user, is applied to locally smooth the cross and auto power spectra used in the various coherency measures. The Hamming’s window is given by,

$$w(k) = 0.53836 + 0.46164\cos(k\pi / M), \quad k = -M, \dots, -1, 0, +1, \dots, M \quad (2-28)$$

where  $2M+1$  is the window width (the number of frequencies used in the smoothing).

### 2.1.8 Time History Synthesis

In light of Equation 2-1, an acceleration record can be generated by properly computing the array of Fourier coefficients  $A_j$  such that the generated time history has the desired characteristics of observed seismic motions. Given a target response spectrum, a synthetic time history matching this response spectrum is generated by the following iterative procedure in P-CARES.

The amplitudes of the Fourier components  $A_j$  (see Equation 2-1) are first initiated with the values determined from the input target response spectrum. The corresponding phase angles are then generated as an array of random values uniformly distributed in the range  $[0, 2\pi]$ . From these trial Fourier components ( $|A_j|$  and their phase angles), a trial acceleration time history is generated using the inverse FFT algorithm. The acceleration record is then undergone three enhancing modifications that are to be introduced later in this subsection. The Fourier components  $A_j$  are updated accordingly by the FFT algorithm using the modified acceleration record, and a trial response spectrum of this record is calculated as well. By comparing this trial response spectrum to the desired target response spectrum, the Fourier components are modified by the following relation,

$$A_j^{new} = A_j^{old} \frac{R_{target}}{R_{trial}} \quad (2-29)$$

where the terms  $R$  refer to the values of the response spectra at the frequency  $\omega_j$ , and the calculation is in complex domain. The phase angles originally generated at the first trial are maintained by the above updating relation (Equation 2-29) and will not be regenerated in later iterations. The new Fourier components are then used to form a new trial acceleration time history and the process is repeated. If the process does not reach a satisfactory convergence after a number of trials, the whole process should be restarted with an array of newly generated phase angles that will generally be different from the first set.

During each iteration cycle, three modifications to the trial acceleration record are involved to improve the generated accelerogram so that it resembles the characteristics of realistic earthquake records. The first of these modifications is to incorporate the nonstationarity characteristic observed in measured earthquake records into the generated record. This is accomplished in P-CARES by multiplying a deterministic enveloping function  $E(t)$  on the generated sinusoidal motions (Equation 2-1), i.e.,

$$a(t) = E(t) \sum_{j=0}^{m-1} A_j e^{i\omega_j t} \quad (2-30)$$

where the coefficients  $A_j$  are complex. The enveloping function  $E(t)$  that has been selected in P-CARES is a simple trilinear function consisting of a parabolic rise to unity at a rise time  $T_r$ , a plateau of value unity for the strong motion duration  $T_s$ , and an exponential ramp down to zero for a decay time  $T_d$ . The total duration of the enveloping function  $T_E$  is then the sum of the rise time, the strong motion duration, and the decay time, which are functions of the earthquake magnitude  $M$ , or

$$T_E(M) = T_r(M) + T_s(M) + T_d(M) \quad (2-31)$$

These time parameters as functions relating to a specified earthquake magnitude estimate ( $M$ ) are determined by fitting empirical data obtained from Salmon et al (1992). The purpose of this approach is to arrive at reasonable (or realistic) estimates of the synthetic accelerograms for use in response studies. Given an estimate of the earthquake magnitude (usually the magnitude scale  $M_w$ , defined based on the seismic moment), P-CARES can calculate the controlling parameters of the enveloping function. In the P-CARES implementation, these calculated duration values can be changed by user input and therefore only serve as guidelines. The total duration of the motion is taken as the larger of  $T_E(M)$  from Equation 2-31 and the desired maximum duration input  $T_{max}$ . If  $T_E(M)$  is less than the desired duration  $T$  from Equation 2-4, the generated acceleration record is padded with zeros from  $T_E(M)$  to  $T$ . Please note that recent works using more extensive recorded data may suggest better parameters for Equation 2-31 (e.g., McGuire et al, 2001), but may require a sizeable effort to abstract them out of the data.



The second modification included in the motion generation algorithm is a baseline correction algorithm to make the ground velocity and displacement reach zero at the end of the motion. The Lagrange multiplier based utility described in Section 2.1.2 is directly used for this purpose. The generated accelerogram is baseline corrected during each trial iteration. The influence of the correction is small in terms of accelerations (high frequency content) but may be significant in the lower frequency range where velocity and displacement characteristics become important.

The last modification incorporated in the motion algorithm, termed "cutting and flipping", is to ensure the target peak acceleration is not exceeded by the generated acceleration record. If any acceleration value in the trial record exceeds the target peak acceleration, it is flipped around the target peak acceleration, i.e. by subtracting the twice of the difference between this acceleration value and the target peak. The purpose of this approach is (a) to limit the peak accelerations to the desired target and (b) to allow the generated record maintain a realistic (randomized) shape, instead of generating flat spots by simply cutting the peaks of the record.

## 2.2 Free-Field Analysis

As a simple and often adequate approximation, free-field analysis herein considers only the vertical propagation of horizontal earthquake ground motions based on the assumption that one dimensional horizontal shear wave propagates vertically from the bedrock through the soil deposit to the ground surface. This type of analysis is typically performed by using either a discrete method based on finite element procedures (e.g. the FLUSH Code approach) or by using a continuous method based on the solution to the one dimensional wave equation (e.g., the SHAKE Code approach). The objective of this analysis is to determine the horizontal motion-time histories (accelerograms) developed at any depth of a horizontally stratified soil system, when a specified accelerogram is input at any of the soil layer interfaces or at the rock outcrop.

The method employed in the P-CARES for free-field analysis is the continuous solution model. A given soil column is assumed to be composed of a number of uniform soil layers of arbitrary thickness; each layer is assumed to be linear elastic with soil properties that are constant throughout its thickness. Such a typical configuration involving N layers is shown in Figure 2-7.

Vertical shear wave propagation through this layered system produces horizontal stresses and accelerations which satisfy the shear wave equation for each soil layer. The stress-strain relation for each layer is specified in the form:

$$\tau = G\gamma + \eta\dot{\gamma} \quad (2-32)$$

where  $\tau$ ,  $\gamma$ ,  $G$ , and  $\eta$  are the shear stress, the shear strain, the shear modulus, and the viscosity coefficient of a given soil layer respectively. The term  $\dot{\gamma}$  is the differentiation of the shear strain with respect to time. The shear wave equation for each layer is:

$$\rho \frac{\partial^2 u}{\partial t^2} = G \frac{\partial^2 u}{\partial x^2} + \eta \frac{\partial}{\partial t} \left[ \frac{\partial^2 u}{\partial x^2} \right] \quad (2-33)$$

where  $u$  is the total horizontal displacement of any point in the layer,  $t$  represents time,  $\rho$  is the mass density of the soil layer, and  $x$  is the coordinate of a point in the soil column, which originates at the ground surface and is positive downward (see Figure 2-7).

As assumed above, each soil material in the column is treated as linear elastic, including both shear stiffness and damping properties. This linear relation has often been used for seismic applications (e. g., Seed and Idriss, 1970), provided that the damping parameter  $\eta$  is interpreted as representing a mechanism to dissipate energy per strain cycle as found from tests for the actual

nonlinear behavior of the soil under cyclic shear loadings, as for example in the resonant column shear test. The energy lost per loading cycle due to the actual nonlinear behavior is measured by the hysteretic damping ratio,  $D$ , which has been found experimentally to be reasonably independent of frequency, particularly in the low frequency ranges of interest to structural response. If this energy loss per cycle is equated to the energy loss per cycle due to the linear viscosity term in Equation 2-32, the hysteretic damping ratio  $D$  is found to be related to the viscosity coefficient  $\eta$  by,

$$D = \frac{\eta\omega}{2G} \quad (2-34)$$

where  $\omega$  is the circular frequency of the motion component and  $G$  is the soil shear modulus.

Considering steady-state motions at a given forcing frequency  $\omega$  and applying the Fourier transform to Equation 2-33, the equation of motion becomes,

$$(G + i\omega\eta)\frac{\partial^2 U}{\partial x^2} + \rho\omega^2 U = 0 \quad (2-35)$$

where  $U$  is the Fourier transform of the horizontal displacement  $u$  at an arbitrary depth  $x$ . Using the hysteretic damping ratio  $D$ , the complex shear modulus of the material in the above equation becomes,

$$G^* = G(1 + i2D) \quad (2-36)$$

and Equation 2-35 can be simplified to :

$$\frac{\partial^2 U}{\partial x^2} + (k^*)^2 U = 0 \quad (2-37)$$

where the complex wave number  $k^*$  is defined as,

$$(k^*)^2 = \frac{\rho\omega^2}{G^*}. \quad (2-38)$$

Solving Equation 2-37 yields:

$$U_j = A_j \exp(+ik_j^* x) + B_j \exp(-ik_j^* x) \quad (2-39)$$

where  $A_j$  and  $B_j$  represent the magnitudes of the incident and reflected waves respectively, at the interface  $j$  (at the bottom of layer  $j$ ). The boundary and interface conditions at the ground surface and between soil layers are

$$\begin{aligned} T_1(x=0) &= 0 \\ U_j(x=x_j) &= U_{j+1}(x=x_j) \\ T_j(x=x_j) &= T_{j+1}(x=x_j) \end{aligned} \quad (2-40)$$

in which  $T$  is the Fourier transform of the shear stress  $\tau$ . Applying the conditions of Equations 2-40 to Equations 2-39, the coefficients  $A_{j+1}$  and  $B_{j+1}$  at a given layer interface  $j + 1$  are determined in terms of the coefficients  $A_j$  and  $B_j$  at the previous layer  $j$  by:

$$\begin{aligned} A_{j+1} &= \frac{1}{2} \left\{ A_j \left( 1 + \frac{k_j^* G_j^*}{k_{j+1}^* G_{j+1}^*} \right) \exp[ix_j(k_j^* - k_{j+1}^*)] + B_j \left( 1 - \frac{k_j^* G_j^*}{k_{j+1}^* G_{j+1}^*} \right) \exp[-ix_j(k_j^* + k_{j+1}^*)] \right\} \\ B_{j+1} &= \frac{1}{2} \left\{ A_j \left( 1 - \frac{k_j^* G_j^*}{k_{j+1}^* G_{j+1}^*} \right) \exp[ix_j(k_j^* + k_{j+1}^*)] + B_j \left( 1 + \frac{k_j^* G_j^*}{k_{j+1}^* G_{j+1}^*} \right) \exp[-ix_j(k_j^* - k_{j+1}^*)] \right\} \end{aligned} \quad (2-41)$$

Calculations begin by assuming the coefficient  $A_0$  at the top of the first layer (ground surface) to be unity (i.e, the real and imaginary components are 1,0). Applying the known shear stress condition at the ground surface yields the coefficient  $B_1$  equal to  $A_1$ . All the remaining coefficients can then be solved recursively from Equation 2-41. At the layer interface where the input ground motion is specified, the acceleration magnitude from the calculation is compared with the known acceleration magnitude, and a correction factor is determined as the ratio of the known acceleration magnitude to the calculated acceleration magnitude. The coefficients  $A_i$ 's and  $B_i$ 's, calculated above by assuming  $A_0 = B_0 = 1$ , are then corrected by multiplying this correction factor. If an outcrop is defined at the bottom of the soil column, the motion at the outcrop is determined by considering that the shear stress at the outcrop is equal to zero and the incident wave from the soil column solution is the same as the incident wave for the uniform half-space. Because of the zero stress condition at the outcrop, the reflected wave solution can be written in terms of the incident wave solution of the half-space.

P-CARES requires that the soil properties for each soil layer, low strain shear modulus ( $G_{max}$ ), the soil unit weight ( $\gamma$ ), and the thickness ( $H$ ), be specified including their probability distribution. Half-space properties are also required if the half-space is to be considered in the analysis. The nonlinear behavior of the actual soil is considered in the linear analysis in P-CARES by employing strain-compatible soil degradation models for soil modulus and damping properties, as listed below:

- a. Seed-Idriss 1970 (Seed and Idriss, 1970),
- b. Idriss 1990 (Idriss, 1990),
- c. GEI Original From SRS (GEI, 1983),
- d. Stokoe SRS 1995 (Stokoe et al, 1995),
- e. Geomatrix 1990 (Coppersmith, 1991),
- f. EPRI-93 Cohesionless Soil (EPRI, 1993),
- g. constant damping and no reduction in the shear modulus, or
- h. a user specified degradation model.

The specific degradation curves for the first six models contained in P-CARES are listed in Table 2-1.

An iterative procedure similar to that of SHAKE (Schabel et al, 1972) is used to account for nonlinear soil behavior within the context of this linear analysis. Calculations are performed by initially assuming an initial trial value of effective shear strain within each soil layer. Given the effective shear strain, the shear modulus and hysteretic damping for each soil layer are then determined on the soil degradation curves specified for each layer. At the end of each computational cycle, the calculated effective shear strain is computed and compared with the assumed trial value. When the assumed and calculated shear strains differ by less than 5% for all soil layers, the solution is considered to have converged. The strains are computed at the top and bottom of each soil layer and averaged to determine the effective strain for the layer.

Two approaches can be chosen in P-CARES to calculate the maximum effective strain. In the first approach, the maximum strain is computed in the time domain for each soil layer. The Fourier components of shear strain are combined using the inverse FFT algorithm to obtain the actual maximum shear strain developed in each soil layer during the course of the motion. The effective cyclic shear strain developed in the layer is then estimated from the maximum strain by

$$\gamma_{effective} = 0.65\gamma_{max} \cdot \quad (2-42)$$

This effective cyclic shear strain is then used to obtain the effective shear modulus and damping ratio on the specified soil degradation curves; the new effective shear modulus and damping ratio are used for response computation in the next iteration.

In an alternative approach available in P-CARES to approximate the effective cyclic shear strain, the maximum shear strain can be estimated from the RMS strain values, eliminating the need for conversion to the time domain from the frequency domain. The relationship used for this estimation is

$$\gamma_{\max} = \gamma_{RMS} \left[ \frac{a_{\max}}{a_{RMS}} \right] \quad (2-43)$$

where the term in parenthesis is the ratio of the peak to the RMS value of the input acceleration record. The effective strain is then calculated from Equation 2-42 above. It should be noted that although the maximum strain calculated directly in the time domain is more accurate, it is a slower calculation and has been found to not differ significantly from the RMS strain calculated in the frequency domain.

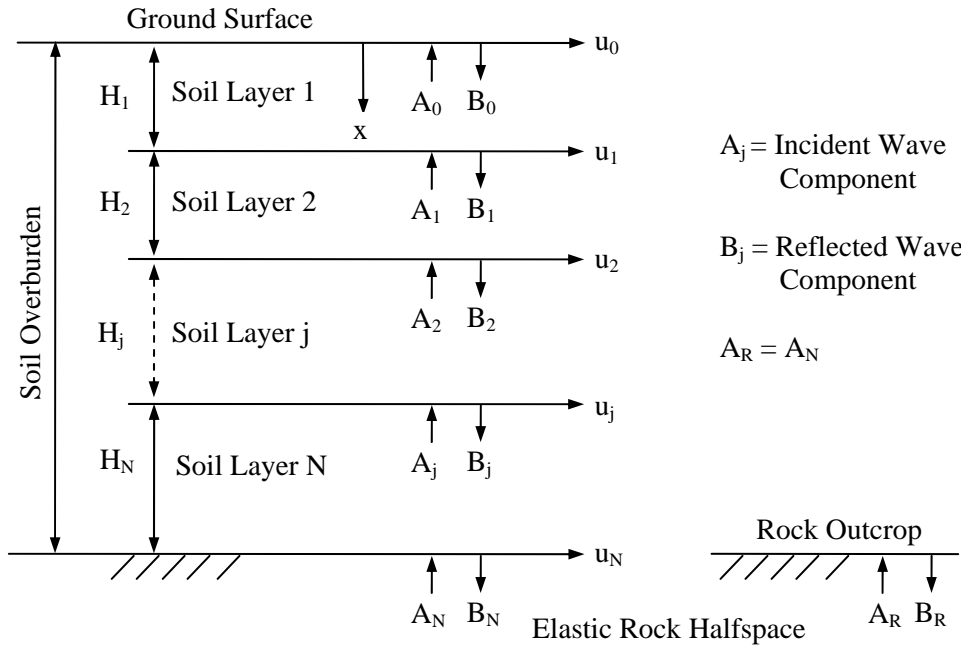


Figure 2-7 Soil Column and Rock Outcrop Motion

Table 2-1 Soil Degradation Models  
Shear Modulus Ratio - G/Gmax

SHEAR STRAIN (%)	SEED-IDRISS 70		IDRISS 1990		GEI Original From SRS				
	SAND	CLAY	SAND	CLAY	0'-50'	50'-100'	100'-250'	250'-500'	500'+
0.000100	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
0.000316	0.984	0.913	1.000	1.000	0.990	0.990	0.990	0.995	1.000
0.00100	0.934	0.761	0.990	1.000	0.980	0.980	0.980	0.990	1.000
0.00316	0.826	0.565	0.955	0.979	0.910	0.935	0.947	0.965	0.985
0.0100	0.656	0.400	0.850	0.941	0.780	0.816	0.852	0.890	0.925
0.0316	0.443	0.261	0.628	0.839	0.560	0.610	0.670	0.725	0.775
0.100	0.246	0.152	0.370	0.656	0.330	0.360	0.425	0.495	0.565
0.316	0.115	0.076	0.176	0.429	0.160	0.175	0.200	0.240	0.300
1.00	0.049	0.037	0.080	0.238	0.065	0.065	0.065	0.080	0.100
3.16	0.049	0.013	0.080	0.238	0.040	0.040	0.040	0.040	0.040
10.00	0.049	0.004	0.080	0.238	0.040	0.040	0.040	0.040	0.040

Shear Modulus Ratio - G/Gmax (Continued)

SHEAR STRAIN (%)	STOKOE SRS 1995					
	UPLAND SAND	TOBACCO ROAD	DRY BRACH	SHALLOW CLAYS	DEEP SANDS	DEEP CLAYS
0.000100	0.9953	0.9977	0.9987	0.9993	0.9991	0.9996
0.000316	0.9852	0.9929	0.9959	0.9979	0.9972	0.9986
0.00100	0.9545	0.9778	0.9872	0.9933	0.9911	0.9957
0.00316	0.8691	0.9329	0.9606	0.9791	0.9723	0.9864
0.0100	0.6774	0.8148	0.8851	0.9367	0.9174	0.9583
0.0316	0.3991	0.5818	0.7089	0.8239	0.7783	0.8791
0.100	0.1736	0.3056	0.4350	0.5968	0.5261	0.6970
0.316	0.0623	0.1221	0.1958	0.3188	0.2598	0.4211
1.00	0.0206	0.0421	0.0715	0.1289	0.0999	0.1870
3.16	0.0066	0.0137	0.0238	0.0447	0.0339	0.0678
10.00	0.0021	0.0044	0.0076	0.0146	0.0110	0.0225

Shear Modulus Ratio - G/Gmax (Continued)

SHEAR STRAIN (%)	GEOMATRIX 1990			EPRI-93 COHENSIONLESS SOIL					
	0'-50'	50'-150'	150' +	0'-20'	20'-50'	50'-120'	120'-250'	250'-500'	500'-1000'
0.000100	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
0.000316	0.991	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000
0.00100	0.985	0.998	1.000	0.979	0.992	0.995	0.998	1.000	1.000
0.00316	0.943	0.975	0.999	0.903	0.940	0.951	0.972	0.980	0.992
0.0100	0.854	0.900	0.939	0.734	0.815	0.867	0.899	0.923	0.948
0.0316	0.688	0.767	0.826	0.488	0.589	0.665	0.726	0.774	0.834
0.100	0.454	0.536	0.620	0.266	0.355	0.427	0.492	0.557	0.645
0.316	0.259	0.319	0.381	0.113	0.161	0.210	0.266	0.315	0.395
1.00	0.100	0.134	0.162	0.044	0.065	0.089	0.117	0.153	0.202
3.16	0.052	0.052	0.052	0.044	0.065	0.089	0.117	0.153	0.202
10.00	0.052	0.052	0.052	0.044	0.065	0.089	0.117	0.153	0.202

Hysteretic Damping Ratio (%)

SHEAR STRAIN (%)	SEED-IDRISS 70		IDRISS 1990		GEI Original From SRS				
	SAND	CLAY	SAND	CLAY	0'-50'	50'-100'	100'-250'	250'-500'	500'+
0.000100	0.50	2.50	0.24	0.24	1.50	1.50	1.50	1.50	1.50
0.000316	0.80	2.50	0.44	0.44	1.50	1.50	1.50	1.50	1.50
0.00100	1.70	2.50	0.80	0.80	1.50	1.50	1.50	1.50	1.50
0.00316	3.20	3.50	1.46	1.46	1.75	1.60	1.50	1.50	1.50
0.0100	5.60	4.75	2.80	2.80	3.85	3.15	2.50	2.10	1.75
0.0316	10.00	6.50	5.31	5.31	7.75	6.50	5.00	3.75	2.50
0.100	15.50	9.25	9.80	9.80	13.10	11.75	10.00	8.25	6.25
0.316	21.00	13.80	15.74	15.74	18.75	17.75	16.50	14.75	13.00
1.00	24.60	20.00	21.00	21.00	23.00	22.50	22.00	20.50	19.00
3.16	24.60	26.00	21.00	21.00	26.00	25.60	25.40	24.25	23.10
10.00	24.60	29.00	21.00	21.00	26.00	25.60	25.40	24.25	23.10

Hysteretic Damping Ratio (%) (Continued)

SHEAR STRAIN (%)	STOKOE SRS 1995					
	UPLAND SAND	TOBACCO ROAD	DRY BRACH	SHALLOW CLAYS	DEEP SANDS	DEEP CLAYS
0.000100	1.059	0.625	0.825	1.296	0.489	0.991
0.000316	1.151	0.670	0.846	1.296	0.505	0.991
0.00100	1.493	0.835	0.936	1.326	0.57	1.013
0.00316	2.434	1.300	1.205	1.456	0.759	1.097
0.0100	5.201	2.790	2.108	1.938	1.398	1.41
0.0316	10.407	6.139	4.336	3.233	3.039	2.276
0.100	15.000	12.799	9.605	6.82	7.289	4.856
0.316	15.000	15.000	15.000	12.884	13.799	9.833
1.00	15.000	15.000	15.000	15.000	15.000	12.995
3.16	15.000	15.000	15.000	15.000	15.000	12.995
10.00	15.000	15.000	15.000	15.000	15.000	12.995

Hysteretic Damping Ratio (%) (Continued)

SHEAR STRAIN (%)	GEOMATRIX 1990				EPRI-93 COHENSIONLESS SOIL					
	0'-50'	50'-150'	150'-300'	300'+	0'-20'	20'-50'	50'-120'	120'-250'	250'-500'	500'-1000'
0.000100	0.21	0.15	0.15	0.96	1.43	1.30	1.15	0.95	0.85	0.61
0.000316	0.21	0.15	0.15	0.98	1.43	1.30	1.15	0.95	0.85	0.61
0.00100	2.05	1.52	1.52	1.01	1.84	1.43	1.22	1.02	0.90	0.61
0.00316	2.50	1.95	1.68	1.01	2.76	2.04	1.63	1.33	1.02	0.71
0.0100	3.73	2.95	2.25	1.07	5.10	3.67	2.86	2.24	1.84	1.22
0.0316	6.56	4.73	3.22	1.56	9.39	7.14	5.51	4.49	3.57	2.55
0.100	11.23	8.57	6.19	4.15	15.51	12.55	10.41	8.67	7.14	5.31
0.316	15.98	13.07	10.27	7.84	22.25	19.39	17.04	15.10	13.27	10.61
1.00	21.09	18.59	15.66	12.08	27.55	24.90	22.86	21.12	19.39	16.73
3.16	24.11	24.11	24.11	17.84	27.55	24.90	22.86	21.12	19.39	16.73
10.00	24.11	24.11	24.11	17.84	27.55	24.90	22.86	21.12	19.39	16.73

### 2.3 Kinematic Interaction Algorithm

The presence of the foundation of a structure in the soil system changes the free-field motion because of the kinematic interaction effect. The kinematic interaction refers to the case that the free-field motion is modified when assuming the foundation be massless and rigid. This section of the report discusses the methods used in P-CARES to treat the kinematic interaction effect. It should be noted that kinematic effects do not exist for surface foundations and are small for those problems where the maximum frequency of interest  $f^*$  is less than:

$$f^* = V_s / 2\pi R \quad (2-44)$$

where  $V_s$  is the shear wave velocity and  $R$  is the foundation radius.

This module in P-CARES begins with free-field accelerograms defined over the depth of the foundation (determined in the free-field analysis module) and generates equivalent translational and rotational motions of the foundation to be used as input to the structural analysis module. The general formulation of the methods used to treat these effects is discussed first, and is followed with a description of the procedure used to incorporate the effects into the P-CARES system.

#### 2.3.1 General Formulation

The method proposed by Iguchi (1982) and further discussed by Pais and Kausel (1985) is used to incorporate the kinematic interaction effect in P-CARES. The method is illustrated in Figure 2-8 (see Fig. 39 of Pais and Kausel, 1985) and consists of the following steps:

1. Evaluate the free-field motion and stresses at several depths covering the foundation depth. The free-field motion is obtained from the free-field analysis module and the evaluation of the stresses is discussed below in Section 2.3.2.
2. Obtain a best-fit rigid body deformation (basemat displacement and rotation) of the foundation to the free-field motion.
3. Determine forces acting on the foundation by integrating the free-field stresses over the surface of the foundation.
4. The forces found in step (3) must be eliminated because the foundation is assumed massless in the kinematic interaction analysis. Deformations required to eliminate these forces are determined using the soil-structure interaction coefficients.
5. The input motion to the structure is then the sum of the deformations determined in steps (2) and (4). These deformations consist of displacement and rotation at the basemat and are used as input to the structural analysis module.

These five steps are performed in the frequency domain, and will be discussed in detail in the following subsections.

#### 2.3.2 Free-field Solution and Shear Stress Evaluation

The free-field accelerations are determined in the free-field analysis module based on a model of vertically propagating, horizontally polarized shear waves. P-CARES integrates the kinematic interaction analysis module into the free-field analysis module to streamline the soil-structure interaction process. By specifying a few parameters needed in the kinematic interaction analysis, P-CARES can automatically generate the required free-field motions over the whole depth of

foundation and calculate the translational and rotational displacements at the basemat. The variation of free-field motion with depth  $z$  is a function of  $\cos(\omega z/V_s)$ , where  $V_s$  is the shear wave velocity. The number of output motions required to cover the foundation depth should be sufficiently large so that at least three points are contained within a half cosine wave, i.e., the difference between two nearby output depths should be

$$dz = \pi V_s / 6\omega = V_s / 12f \quad (2-45)$$

where  $f$  is the maximum frequency of interest in the problem. P-CARES allows the user to select the maximum frequency of interest, calculates  $dz$  using above equation, and then generates free-field solutions at depths equally spaced over the foundation depths at an increment not exceeding  $dz$ . Depths at the ground surface and the basemat are included in the output depths generated by P-CARES.

The free-field stresses are then evaluated within kinematic interaction module based on the specified displacements. The displacements  $u_{ij}$  at depth  $z_i$  and frequency  $\omega_j$  are defined as:

$$u_{ij} = a_{ij} \cos \omega_j t + b_{ij} \sin \omega_j t \quad (2-46)$$

where  $a_{ij}$  and  $b_{ij}$  are the Fourier Components of the output motion at depth  $z_i$ . The displacement at an arbitrary depth  $z$  and a given frequency  $\omega_j$  can be represented as a polynomial of order  $N - 1$  in  $z$ , where  $N$  is the number of depths used to define the free-field displacements so that:

$$u(z, \omega_j) = (c_1 + c_2 z + \dots + c_N z^{N-1}) \cos(\omega_j t) + (s_1 + s_2 z + \dots + s_N z^{N-1}) \sin(\omega_j t) \quad (2-47)$$

The coefficients  $c$ 's and  $s$ 's can be determined by solving the system of equations that are obtained by equating the previous two definitions of  $u$  (Equations 2-46 and 2-47)

The shear stresses are then found from:

$$\tau = G \frac{du}{dz} = G[(c_2 + \dots + c_N z^{N-2}) \cos(\omega_j t) + (s_2 + \dots + s_N z^{N-2}) \sin(\omega_j t)] \quad (2-48)$$

where,  $G$  is the soil shear modulus. This formula is then used to evaluate the shear stress at each layer and at each frequency. These operations to evaluate the shear stresses are contained within P-CARES and require no action by the user.

### 2.3.3 Best Fit

The next step in the solution is to obtain a rigid-body displacement of the foundation that is a best fit (in a least squared error sense) to the free-field motion. The motion of the rigid foundation can be conveniently represented by a horizontal displacement  $\Delta_2$  at the center of gravity of the foundation area and the rotation of the foundation  $\Phi_2$  about the centroidal axis. The subscript 2 indicates the displacements are the solution to the second step listed above in subsection 2.3.1. These two displacements are complex so that there are four components to be determined.

The best fit displacements for the cylindrical foundation are found to be:



$$\begin{aligned}\Delta_2 &= [\int_0^H 2\pi u(z)Rdz + \pi R^2 u(H)] / A_c \\ \Phi_2 &= -[\int_0^H 2\pi u(z)(z - z_o)Rdz + \pi R^2 u(H)(H - z_o)] / I_c\end{aligned}\quad (2-49)$$

where,

$u(z)$  = free - field motion at depth  $z$

$A_c$  = surface area of foundation =  $\pi R^2(1 + 2H / R)$

$R$  = foundation radius

$z_o$  = depth of the center of gravity below the surface =  $H - R(H / R)^2 / (1 + 2H / R)$

$H$  = depth of embedment

$I_c$  = moment of inertia of foundation surface area about center of gravity

$$= \pi R^4 [1 + 4H / R + 8(H / R)^3 / 3 - 4(H / R)^4 / (1 + 2H / R)]$$

The integrals over the depth of the foundation  $H$  are evaluated by numerical integration in P-CARES.

The best fit displacements for the rectangular foundation, with plan dimensions  $2B$  by  $2L$ , the deformations in the  $B$  direction, and embedment depth  $H$ , are found to be:

$$\begin{aligned}\Delta_2 &= [4B \int_0^H u(z)dz + 4u(H)BL] / A_r \\ \Phi_2 &= -[4B \int_0^H u(z)(z - H + z_o)dz + 4(H - z_o)u(H)BL] / I_r\end{aligned}\quad (2-50)$$

where,

$A_r$  = surface area of foundation =  $4H(B + L) + BL$

$z_o$  = depth of center of gravity below the surface =  $[2H^2(B + L) + BLH] / A_r$

$I_r$  = moment of inertia of foundation surface area about center of gravity

$$= [4B^4 / 3][(H / B)^3(L / B + 1) + (H / B)(3L / B + 1) + L / B]$$

### 2.3.4 Determine Forces Acting on Foundation Area

The free-field stresses acting on the foundation area result in a horizontal force  $F$  and moment  $M$ , which can be obtained by integrating the free-field stresses over the foundation area. The resultant forces for the cylindrical foundation are:

$$\begin{aligned}F &= -\tau(H)\pi R^2 \\ M &= -4R^2 \int_0^H \tau(z)dz\end{aligned}\quad (2-51)$$

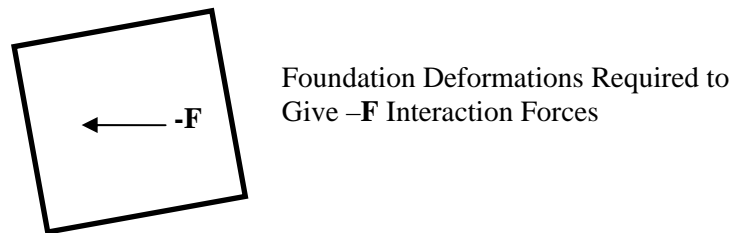
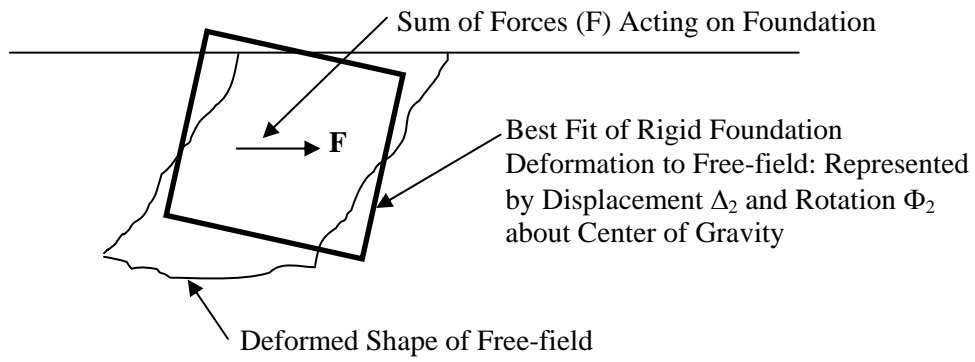
The resultant forces for the rectangular foundation are, assuming the motion is in the  $B$  direction:

$$\begin{aligned}F &= -4LB\tau(H) \\ M &= -4LB \int_0^H \tau(z)dz\end{aligned}\quad (2-52)$$

### 2.3.5 Eliminate Forces on Foundation

As indicated in step 4 in subsection 2.3.1, displacements  $\Delta_4$  and  $\Phi_4$  that eliminate the forces found in the previous subsection are found as follows. The stiffness and damping SSI coefficients are determined as discussed in the structural analysis module (see Section 2.4). These displacements can be determined by equating the forces to the matrix of SSI coefficients times the unknown displacements and solving the resultant system of equations for the unknown displacements.

The final displacements are taken as the sum of displacements  $\Delta_4$  and  $\Phi_4$ , and the displacements  $\Delta_2$  and  $\Phi_2$  found in Section 2.3.3. These final displacements are then translated to the base of the foundation from the center of gravity and saved for use as input to the structural analysis module.



Final Deformation of Foundation Is the Sum of the Above Two Solutions.

Figure 2-8 Illustration of Kinematic Interaction

## 2.4 Modeling of Structures and SSI

This section of the report describes the response analysis of a structural system subjected to either a seismic input at the base or time-dependent forcing functions applied to the structure. The solution method is first discussed, and followed is a description of each of the matrices used to represent the dynamic characteristics of the structure.

### 2.4.1 Description of the Model and Method of Solution

The structural model is based on the following basic assumptions:

- (a) All structural elements are linearly elastic.
- (b) The foundation of the structure may be assumed to be rigid (as compared to the surrounding soil and superstructure elements). This allows the use of existing soil / structure interaction (SSI) coefficients so that the soil does not need to be included in the structural model.
- (c) The soil properties may be modeled in two layers, one to the side of the structure and one beneath the structure. This is a necessary assumption since SSI coefficients are not generally available for more complex layering systems. The user may develop "equivalent" properties to approximately account for layering effects.
- (d) The length units must be in feet (the gravitational constant  $32.2 \text{ ft/sec}^2$  is used within the code to convert weight to mass). Any consistent force units may be used.

The equations of motion representing the structural response are:

$$\mathbf{M}\mathbf{U}'' + \mathbf{C}\mathbf{U}' + \mathbf{K}\mathbf{U} = \mathbf{F}(t) \quad (2-53)$$

where  $\mathbf{M}$ ,  $\mathbf{C}$ ,  $\mathbf{K}$ , and  $\mathbf{F}$  represent the mass, damping, stiffness, and forcing matrices and are each discussed below. The deformation vector and its first and second derivatives with respect to time are  $\mathbf{U}$ ,  $\mathbf{U}'$ , and  $\mathbf{U}''$ . Bold variables are used in this discussion to represent matrices and vectors.

The deformation and force vectors can each be represented with their Fourier components as:

$$\begin{aligned} \mathbf{U}(t) &= S(\mathbf{U}_c \cos \omega t + \mathbf{U}_s \sin \omega t) \\ \mathbf{F}(t) &= S(\mathbf{F}_c \cos \omega t + \mathbf{F}_s \sin \omega t) \end{aligned} \quad (2-54)$$

where  $\omega$  is the circular frequency and  $S$  is a scaling factor. The number of terms and frequency increment in these expansions are determined by the characteristics of the input forcing function  $\mathbf{F}$ .

The deformation vector  $\mathbf{U}_i$  at each node  $i$  has 12 components that represent the combination of the 6 degrees of freedom and the two sine or cosine coefficients, i.e.,

$$\mathbf{U}_i = [ucx_i, usx_i, ucy_i, usy_i, ucz_i, usz_i, ucxx_i, usxx_i, ucyi, usyi, uczz_i, uszz_i]^T \quad (2-55)$$

where the first subscript represent either the cosine or sine term, the next one or two subscripts represent the deflection in the x, y, or z global directions or the rotations about the global axes, and the final subscript represents the node number. The user can specify several constraints on these deformation components:

- (a) Individual degree of freedom (DOF) can be constrained by specifying the node and direction.
- (b) All DOF can be constrained at selected nodes by specifying the node number.
- (c) A particular DOF can be constrained at all nodes by specifying the DOF number.
- (d) The same DOFs at two nodes can be coupled.
- (e) Rigid links can be placed between two nodes. The user may define "slave" nodes that are tied to "master" nodes. The deformations  $\mathbf{U}_s$  of the slave nodes are then related to the master node deformations  $\mathbf{U}_m$  by the transformation:

$$\mathbf{U}_s = \mathbf{T}_{sm} \mathbf{Y}_m \quad (2-56)$$

where,  $\mathbf{T}_{sm}$  is a transformation matrix defined by:

$$\mathbf{T}_{sm} = \begin{bmatrix} 1 & 0 & 0 & 0 & Z_s - Z_m & -Y_s + Y_m \\ 0 & 1 & 0 & -Z_s + Z_m & 0 & X_s - X_m \\ 0 & 0 & 1 & Y_s - Y_m & -X_s + X_m & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix} \quad (2-57)$$

where X, Y, and Z refer to the coordinates of either the master (m) or slave (s) nodes.

There are two restrictions when specifying the slave nodes. First, one node cannot be slave to a slave node, but can share the same master node with other slave nodes. Secondly, an SSI node, the node where SSI loads and coefficients are applied, cannot be a slave node.

The specified constraints are applied to the nodes and DOF numbers are assigned within the code to each of the remaining unrestrained nodes. The DOF numbers are assigned based on the order in which the node numbers are input; therefore, the user should select the node input order for large problems so as to minimize the bandwidth of the stiffness matrix. The bandwidth at each node is printed out during the deterministic analysis to assist in establishing a reasonable input order. For example, if the bandwidth at one node is significantly larger than at other nodes one may consider reordering the nodes so that this node will be located closer to its connecting nodes in the input stream. These DOF numbers are printed out during the deterministic analysis.

Substituting Equations 2-54 and 2-55 into Equation 2-53 yields the following set of linear equations that must be solved at each frequency:

$$\begin{aligned} -\mathbf{M}\omega^2\mathbf{U}_c + \mathbf{C}\omega\mathbf{U}_s + \mathbf{K}\mathbf{U}_c &= \mathbf{F}_c \\ -\mathbf{M}\omega^2\mathbf{U}_s + \mathbf{C}\omega\mathbf{U}_c + \mathbf{K}\mathbf{U}_s &= \mathbf{F}_s \end{aligned} \quad (2-58)$$

There are twice the number of DOF equations in this set. Since the equations are symmetric, only the upper half is stored and all elements outside the maximum bandwidth are eliminated. Solutions are obtained for each frequency using a Gaussian elimination procedure (Bathe and Wilson, 1976).

Once the solutions are obtained the Fourier components of the deformations at user specified nodes are written to a database that can be used in postprocessing to generate response spectra and time history records. Member forces are not evaluated in P-CARES. The volume of output required to assemble member forces is too large to be conveniently treated within a code where simple and fast operation is the primary goal.

### 2.4.2 Mass Matrix

The mass matrix  $\mathbf{M}$  in the structural analysis module is diagonal and can contain 6 components at each node. A single weight lumped at each node is required as part of the nodal input data, and is used for all 3 mass components  $M_x$ ,  $M_y$ , and  $M_z$ . The input weight is divided by the gravitational constant (32.2 ft/sec<sup>2</sup>) to obtain mass, and hence the length unit needs to be restricted to feet. The weight can be in any units (e.g., kips or pounds) with the restriction that the material property constants such as Young's moduli be in the same force units.

The user can specify rotational masses wherever desired and the rotational masses can be different about the three axes. The rotational masses have the units of [force ft<sup>2</sup>/sec].

### 2.4.3 Damping Matrix

The damping matrix  $\mathbf{C}$  in the SSI problem includes the structural component and the SSI component. The structural component used in the structural analysis module is related to the stiffness and/or mass matrices, while the SSI damping component is added directly to the damping matrix  $\mathbf{C}$ . The SSI damping is discussed in the following section when the SSI stiffness is introduced.

There are four options in P-CARES for specifying structural damping. The first three options are based on the fact that the modal equations are uncoupled when the damping matrix is a linear combination of the mass and stiffness matrices:

$$\mathbf{C} = \alpha\mathbf{M} + \beta\mathbf{K} \quad (2-59)$$

where  $\alpha$  and  $\beta$  are parameters to be determined using one of the following three options. For the first option the user specifies the value of  $\alpha$  and  $\beta$  directly as input. For the second option the value of these parameters are computed within P-CARES based on the first two structural modal frequencies  $\omega_1$  and  $\omega_2$  (with the base fixed) and a user-specified ratio of critical damping  $p$  appropriate for the structure:

$$\begin{aligned} \alpha &= 2p\omega_1\omega_2 / (\omega_1 + \omega_2) \\ \beta &= 2p / (\omega_1 + \omega_2) \end{aligned} \quad (2-60)$$

The first two structural modes are determined by the Power method (Bathe and Wilson, 1976). Both the frequency and mode shapes of these modes are included in the output if a deterministic analysis is performed in P-CARES. The effective structural damping is then equal to the specified damping  $p$  at the first two fundamental frequencies but varies from this value at other frequencies. It can be shown that the effective structural damping  $p_i$  at some other frequency  $\omega_i$  is:

$$p_i = p(\omega_i^2 + \omega_1\omega_2) / \omega_i(\omega_1 + \omega_2) \quad (2-61)$$

The damping is less than  $p$  for  $\omega_i$  between  $\omega_1$  and  $\omega_2$  and larger than  $p$  for  $\omega_i$  outside this range.

In light of option 2, the user may choose two interested frequencies and their associated damping ratio  $p$ , use Equation 2-60 to compute values of  $\alpha$  and  $\beta$ , and specify these values as input in the first damping option. This approach allows the user to customize the damping characteristics

based on the particular problem under investigation. Equation 2-61 can be used to evaluate the damping value at other frequencies.

Although damping for structural elements is specified during input, it is not used when either of the first two damping types is specified. It is used to determine the **C** matrix when either the third or fourth damping types is specified.

The third type of damping included in P-CARES is termed composite damping (Bathe and Wilson, 1976). It is useful when damping in the various structural elements is different (such as would occur in a structure with both concrete and steel elements). The damping matrix is still defined as a linear combination of the mass and stiffness matrices, but the damping in each of the first two modes is defined as a weighted average of the damping in each of the structural elements, with the weighting function based on the ratio of the strain energy stored in the element to the total energy stored in all of the elements. Therefore, if  $\phi_{ij}$  is the  $i^{\text{th}}$  modal displacement vector associated with element  $j$ ,  $\zeta_j$  is the ratio of critical damping desired for element  $j$ , and  $k_j$  is the  $j^{\text{th}}$  element stiffness matrix, the composite damping ratio  $p_i$  for mode  $i$  is defined as:

$$p_i = \frac{\sum_j \zeta_j \phi_{ij}' k_j \phi_{ij}}{\sum_j \phi_{ij}' k_j \phi_{ij}} \quad (2-62)$$

The parameters used to define the damping matrix in Equation 2-59 are then:

$$\begin{aligned} \alpha &= 2(p_1 \omega_1 \omega_2^2 - p_2 \omega_1^2 \omega_2) / (\omega_2^2 - \omega_1^2) \\ \beta &= (p_2 \omega_2 - p_1 \omega_1) / (\omega_2^2 - \omega_1^2) \end{aligned} \quad (2-63)$$

The fourth damping type in P-CARES relates the damping matrix **C** only to the stiffness matrix **K** by the following equation:

$$\mathbf{C} = -2p\omega\mathbf{K}, \quad (2-64)$$

where  $p$  is the damping ratio for an element. This equation is applied in P-CARES in the following procedure:

1. The local stiffness matrix of each element is multiplied by 2 and the damping ratio  $p$  for this element, then transformed to the global coordinate system and added to the global damping matrix.
2. As discussed above the final solution is obtained by solving a set of linear algebraic equations at each frequency. Because the damping matrix is frequency dependent, the coefficients of these equations are generated at each frequency by combining the mass, damping, and stiffness matrices. The damping matrix found in the first step is multiplied by the frequency in question and then used to form the coefficients of the dynamic equations.

It should be noted that no extra input is required of the user when this damping type is selected. As stated above the element damping ratio is specified with the element description data. The steps above are executed without user's intervention.

#### 2.4.4 Stiffness Matrix

The stiffness matrix is comprised of structural elements and SSI elements. The structural component to the stiffness matrix is frequency independent; therefore it is formed once and saved to be used during the solution process for each of the frequencies. The SSI component to the

stiffness matrix is generally frequency dependent; therefore it must be computed and added to the stiffness matrix at each frequency in the solution process.

Three structural elements are included in P-CARES: 3-D shear beams, 3-D springs, and shear walls. These structural elements and the SSI contributions to the stiffness and damping matrices are discussed below.

### Three Dimensional Shear Beams

Local coordinates for the three dimensional beam model are shown in Figure 2-9. The origin is at the beam start node I and the beam end node is at node J. The local x-axis oriented from start node I to the end node J of the beam. The local z-axis lies in the I, J, and K nodes plane, and the local y-axis is normal to this plane. The section properties must be consistent with the local coordinates. For example, the moment of inertia  $I_y$  specified in the input controls bending about the local y-axis or deflection in the local z-axis direction.

The stiffness of the element in the y or z directions is made up of a flexural term and a shear term. The flexural stiffness is related to  $EI/L^3$  and the shear stiffness is related to  $GA_{\text{shear}}/L$ . The axial and torsional stiffnesses are related to  $EA/L$  and  $GJ/L$  respectively.

### Three Dimensional Springs

Local coordinates for the three dimensional springs are established in the same manner as for the three-dimensional beams as shown in Figure 2-9. Stiffness consists of translational stiffness terms ( $K_x, K_y, K_z$ ), rotational stiffness terms ( $M_x, M_y, M_z$ ), and coupling stiffness terms (CP1 through CP6). These data are used to form the following stiffness matrix in local coordinates:

Kx			CP1	CP2	CP3															
	Ky		CP2	CP4	CP5															
		Kz	CP3	CP5	CP6															
			Mx																	
				My																
					Mz															
						Kx				CP1	CP2	CP3								
		SYMMETRIC					Ky			CP2	CP4	CP5								
								Kz		CP3	CP5	CP6								
									Mx											
											My									
													Mz							

### Shear Walls

The shear wall element is a plane stress quadrilateral element. Each of the four nodes of the element has two active degrees of freedom for in-plane deformations. Since the element stiffness matrices in P-CARES are stored in the global coordinates however, the global stiffness matrix of the shear wall element includes the three deformations in the global coordinate system at each of the nodes. Because the shear wall element has no stiffness resisting out-of-plane deformations or any rotational deformations, these components must be restrained at any node where only shear wall elements contribute to its stiffness.

The shear wall elements can be specified in the input with the material property, four corner nodes, and the wall thickness.



## Soil-Structure Interaction (SSI)

P-CARES includes two SSI models, namely for circular and rectangular foundations. The foundation is assumed to be rigid for both types of foundations. Independent of foundation type, soil is assumed as a two-layered system: a layer to the side of the foundation and a layer beneath the foundation. The SSI model makes use of 6 x 6 stiffness and damping matrices to connect the SSI node on the foundation to the free-field. These matrices are generally frequency dependent and are added to the overall structural stiffness and damping matrices. The input motion, i.e., the free-field motion modified by the soil-structure interaction algorithm, is specified as translational and rotational components about each of the global axes. The structure analysis module has more SSI models than the kinematic interaction module; however, if the forcing functions are the generated input motions from the kinematic interaction module, P-CARES will internally choose the same SSI model in the structure analysis module as in the kinematic interaction module for consistency.

### Circular Foundation SSI Models

Included in P-CARES are three models for SSI impedance functions for embedded foundations: ASCE 4-98 (1998), Beredugo and Novak (1972), and Pais and Kausel (1985). The first model is frequency independent while the latter two models are frequency dependent. The first model is applicable only for surface foundations; while the latter two models can be applied to embedded foundations. The embedded foundations in these models are restricted to those where the soil can be modeled with two layers, one to the side of the foundation and the other beneath the foundation. This restriction is necessary since analytical SSI impedance functions are not available for more general soil systems. The user can develop "average" soil properties for the two layers in these SSI models from more complex layered soil systems. The original Pais and Kausel data is restricted to the uniform soil case, however is modified in the following manner to treat the case where the soil beneath the foundation has different properties from the soil to the side of the foundation. The SSI coefficients are first determined assuming a uniform soil with the properties of the soil to the side of the foundation. Then, SSI coefficients for a surface foundation (zero embedment depth) are determined for two cases: one assuming a uniform soil with the properties of the soil beneath the foundation and one assuming a uniform soil with the properties of the soil to the side of the foundation. To account for the different properties of the two soil layers, the difference between the solutions of these two cases is added to the initial solution in which the foundation is assumed embedded in a uniform soil. These manipulations are opaque to the user, who is expected to only specify the two sets of soil properties.

### Rectangular Foundations SSI Models

The SSI impedance functions for rectangular foundations are computed using the Pais and Kausel model (1985). Pais and Kausel reviewed the available analytic solutions for rectangular foundations and generalized the results so that solutions for the full range of foundation sizes was made available. Static finite element solutions were used to fill in the gaps where data is unavailable, and to generalize the results. The data in Pais and Kausel (1985) is also restricted to the uniform soil case as are most of the available analytic solutions. These data are modified as discussed above to approximate the two layer soil system.

## 2.4.5 Forcing Functions

By writing the structural displacements relative to the input free-field displacement and by multiplying Equation 2-53 by  $\mathbf{M}^{-1}$ , the right hand side of Equation 2-53 becomes:

$$\mathbf{M}^{-1}\mathbf{B}\mathbf{Z}'' = \mathbf{M}^{-1}\mathbf{B}\sum_j (\mathbf{Z}''_{c_j} \cos \omega_j t + \mathbf{Z}''_{s_j} \sin \omega_j t) \quad (2-65)$$

where  $\mathbf{Z}$  = the six components of the free-field displacement (3 translational and 3 rotational)  
 $\mathbf{B}$  = matrix defining which degrees of freedom are in direction of free-field motion component

In addition to the modified free-field seismic forcing function that is generated from the kinematic interaction module, the structural module allows the user to specify harmonic forcing function at any node in any direction for general purpose structural analysis.

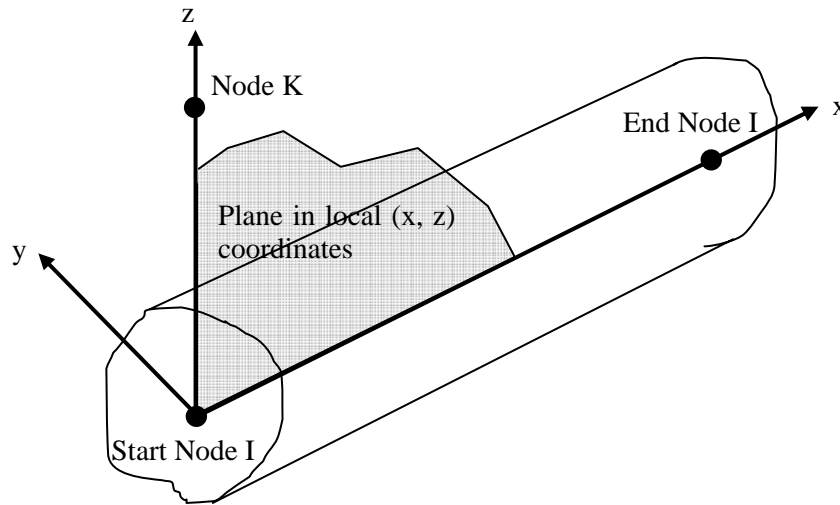


Figure 2-9 Definition of Local Coordinate System for 3-D Beam

## 2.5 Probabilistic Simulation

Previous sections have discussed the deterministic algorithms to analyze the free-field responses, soil-structure interaction, and the structural responses. These algorithms provides the essential build blocks for the probabilistic site response and SSI analyses since each sample in the probabilistic simulation can be viewed as a new soil-structural system and hence can be analyzed in a purely deterministic sense. This section of the report presents the discussion of adding probabilistic simulation on top of the deterministic algorithms to achieve the probabilistic analyses.

Uncertainties inherent in the soil properties and structural properties can potentially post large variation in soil and structural responses and therefore may have great influence on the inferences obtained during the decision making process. Adequate examination of these uncertainties in the soil and structural system and their impacts on the responses requires a careful layout of the simulation scheme so that the uncertainties can be accounted for with small variation in the statistical estimates and low computational cost. P-CARES includes four sampling schemes for the user to exploit and can be extended straightforwardly if other scheme is in need.

The basic layout of the simulation scheme is illustrated in Figure 2-10, in which P-CARES core on the right side represents the collection of the deterministic analysis modules and all other components on the left side are for probabilistic simulation. All components in the shaded box contribute to yielding a sample (a realization of the random vector). The simulation controller performs a user-specified number of simulation iterations, each of which involves fetching a sample from the shaded box, invoking the P-CARES core to conduct the free-field and/or SSI analysis, and storing the results in database files. The database files are used in post processing and in transferring free-field responses to the structural analysis module.

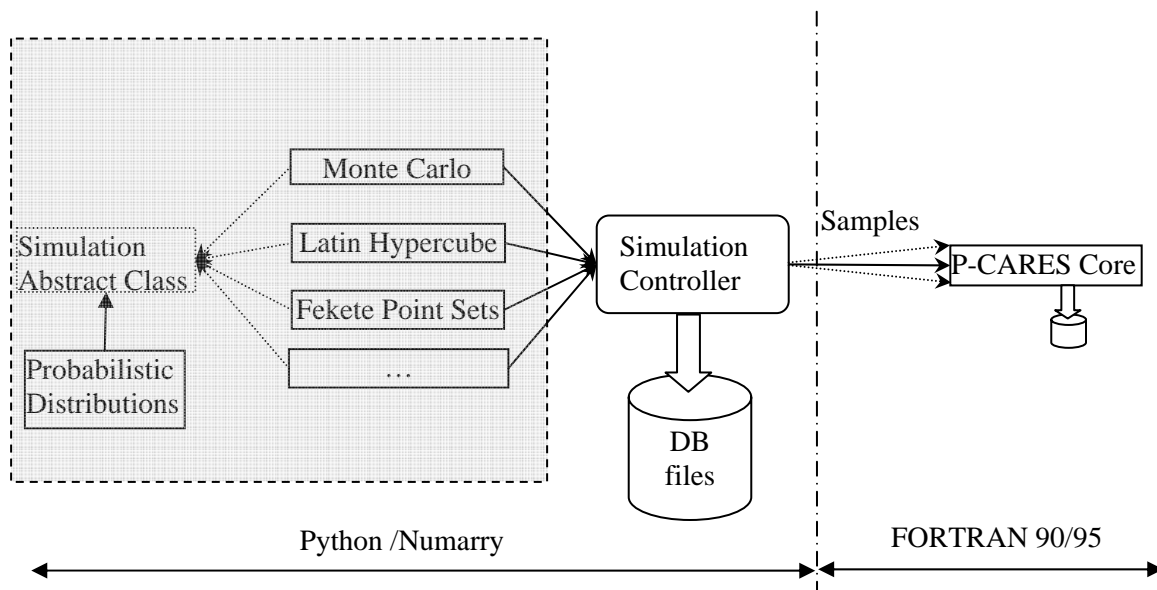


Figure 2-10 Simulation Concepts in P-CARES

The architecture of P-CARES relies on a fundamental procedure that is shared by all simulation schemes in order to streamline the simulation process and to facilitate future addition of other simulation schemes. This procedure will be introduced after a brief discussion of the uncertainty

description, and followed by the introduction of the individual simulation schemes. Post processing will also be briefly discussed at the end of this section.

### 2.5.1 Uncertainty Description

Because soil properties usually exhibit greater variability than structural properties do, P-CARES, at the stage of current development, considers only the uncertainties inherent in the soil deposit. Random variables are defined as soil density, the low-strain soil shear modulus, and the layer thickness for each layer. Since the material dampings for all soil layers are obtained on the soil degradation curves and to be strain-compatible with the user-specified seismic motion input, it is difficult to include these dampings directly as random variables. However, since each sample soil column has different density and shear modulus properties, the calculated dampings are also different for each sample columns. The layer thickness must be modeled with small variation so that the variation of the total soil overburden can be maintained in a reasonable range.

Lognormal distribution has been chosen to describe the marginal probability distribution for all random variables. It is reasonable when considering that (1) the soil properties are all positive, (2) the difference between a lognormal distribution and a normal distribution is small for small variations (Ang and Tang, 1975, Melchers, 2002), (3) It is sufficient to model the layer thickness by selecting a small coefficient of variation (COV). Assuming all random variables of lognormal distribution also provides a great convenience for describing the layer-to-layer correlations.

Suppose there are  $N$  layers in a soil column model, then the total number of random variables is  $n = 3 * N$ . The number of samples used in the simulation is designated as  $m$  hereafter.

An arbitrary correlation between different layers can be specified by the user. P-CARES can rapidly initiates the correlations between soil densities and between soil shear moduli by a simple exponential rule that is similar to the one in Fenton and VanMarcke (1998). For two locations  $z_1$  and  $z_2$  in the soil column, the correlation coefficient is determined by,

$$\rho(z_1, z_2) = \rho_c \min(\exp(1 - |z_1 - z_2| / L_c), 1) \quad (2-66)$$

in which  $L_c$  is a user-specified characteristic length to control the influence range, and  $\rho_c$  is a characteristic correlation coefficient corresponding to  $|z_1 - z_2| = L_c$ . The correlation coefficient defined by Equation 2-66 has an upper bound  $\rho_c$ . P-CARES calculates an initial characteristic length by averaging the layer thicknesses, and the user can adjust it based on this initial value. The vertical location  $z_i$  is selected as the middle point of each layer in P-CARES.

### 2.5.2 Fundamental Simulation Procedure

The basic probabilistic modeling strategy is to unify different simulation schemes such that the majority of the task can be done in the fundamental procedure. This procedure assumes that an independent uniformly-distributed random vector or independent standard normal random vector is generated first by various simulation schemes. If an independent uniformly distributed vector is supplied, it is first transformed to an independent normal vector by the inversion method. The independent normal vector is then transformed to a correlated normal random vector, which is converted to a lognormal-distributed random vector by taking the exponential of the normal random vector. Individual simulation schemes differ only in how the samples are generated before the correlation is incorporated. The fundamental simulation procedure can therefore be outlined in a method-neutral manner as follows.

Support  $\mathbf{X}$  is the lognormal random vector representing the various random properties with mean vector  $\boldsymbol{\mu}_X$  and covariance matrix  $\boldsymbol{\Sigma}_X$ , and  $\mathbf{Y}$  is a normal random vector with mean vector  $\boldsymbol{\mu}_Y$  and covariance matrix  $\boldsymbol{\Sigma}_Y$ . The covariance between random variables  $X_i$  and  $X_j$ , i.e., the  $(i, j)$ th entry

$\sigma_{X_i X_j}$  in the covariance matrix  $\Sigma_X$ , can be determined using their correlation coefficient  $\rho_{ij}$  by the following equation:

$$\sigma_{X_i X_j} = \rho_{ij} \sigma_{X_i} \sigma_{X_j}, \quad (2-67)$$

where  $\sigma_{X_i}$  and  $\sigma_{X_j}$  are the standard deviations of  $X_i$  and  $X_j$ . The lognormal random vector  $\mathbf{X}$  and the normal random vector  $\mathbf{Y}$  are related by:

$$\mathbf{X} = (e^{Y_1}, e^{Y_2}, \dots, e^{Y_n}), \quad (2-68)$$

where  $Y_i$  is the  $i^{\text{th}}$  components in  $\mathbf{Y}$ . Equation 2-68 also establishes the relation between the distribution parameters, which can be expressed analytically as in Law and Kelton (2000). The parameter matrices for the normal vector  $\mathbf{Y}$ ,  $\boldsymbol{\mu}_Y$  and  $\Sigma_Y$ , can be calculated in terms of those for the lognormal vector  $\mathbf{X}$ ,  $\boldsymbol{\mu}_X$  and  $\Sigma_X$ :

$$\begin{aligned} \mu_{Y_i} &= \ln \left( \frac{\mu_{X_i}^2}{\sqrt{\mu_{X_i}^2 + \sigma_{X_i}^2}} \right) \\ \sigma_{Y_i}^2 &= \ln \left( 1 + \frac{\sigma_{X_i}^2}{\mu_{X_i}^2} \right) \\ \sigma_{Y_i Y_j} &= \ln \left( 1 + \frac{\sigma_{X_i X_j}}{|\mu_{X_i} \mu_{X_j}|} \right) \end{aligned} \quad (2-69)$$

Where  $\mu$  means a component from a corresponding mean vector  $\boldsymbol{\mu}$ ,  $\sigma$  means a component from a corresponding covariance matrix  $\Sigma$ , a subscript of  $X_i$  indicates a component from the lognormal property matrix, and a subscript of  $Y_i$  indicates a component from the normal property matrix. Given a correlated normal random vector, one can generate the corresponding lognormal vector using Equation 2-68.

With the mean and covariance matrices  $\boldsymbol{\mu}_Y$  and  $\Sigma_Y$  calculated from Equation 2-69, the correlated normal vector can be converted from an independent standard normal random vector, denoted as  $\mathbf{Z}$  herein, i.e.  $\mathbf{Z} \sim N(0,1)$ . Since  $\Sigma_Y$  is a symmetric positive-definite matrix, its Cholesky decomposition  $\mathbf{C}$  exists such that  $\mathbf{C}^T \mathbf{C} = \Sigma$ . Then, the dependent normal random vector is generated by the following equation,

$$\mathbf{Y} = \mathbf{C}^T \mathbf{Z} + \boldsymbol{\mu}_Y. \quad (2-70)$$

The independent standard normal random vector can be generated by using a normal generator or by using a uniform generator and the inversion transformation method. The later approach is more flexible because it allows methods such as Fekete Point Sets method to be used.

The inverse of the above derivation procedure institutes the fundamental simulation procedure. All simulation schemes introduced in the next section share this procedure beyond their different ways to generate the independent normal or uniform random vectors.

### 2.5.3 Simulation Schemes

Four different simulation methods have been implemented P-CARES, including Monte Carlo method, Latin Hypercube sampling method (LHC), Engineering LHC, and Fekete point sets method. Except for the Monte Carlo simulation that directly generates independent normal

vectors, all other schemes generate independent uniform vectors and then use an inversion method to generate the independent normal vector.

Given a realization  $u$  of a uniform random variable  $U \sim U(0,1)$ , a normal sample  $z$  of a corresponding normal random variable  $Z \sim Z(0,1)$  can be generated by,

$$z = \Phi^{-1}(u), \quad (2-71)$$

where  $\Phi^{-1}$  is the inverse of the normal cumulative distribution function (CDF). Inversion of  $\Phi$  cannot be accomplished analytically, and its numerical solution involves iteration and numerical integration and therefore is very demanding in computation. P-CARES uses a recent method using rational approximation by Acklam (2000) to obtain this inverse. The relative error of this method is reported less than  $1.15 \times 10^{-9}$  for  $z$  in the range  $[-38, 8.20954]$ ; beyond this range, the uniform sample  $u$  is either too small to be represented accurately or simply unity using the current IEEE double precision real number. Therefore, this method bears no practical limitation, and the level of accuracy is adequate in the particular application of this report because the simulation occurs in the central region of the random variable space. The particular version used is a Python implementation published on the author's website.

### Monte Carlo Simulation

The Monte Carlo simulation implemented in P-CARES uses the native standard normal generator contained in the Python Numarray module, which is an algorithm in Ranlib developed by Ahrens and Dieter (1973). Ranlib is a selection of C routines to generate random numbers from various distributions. Since this algorithm generates independent normal numbers, the task left in the Monte Carlo simulation is simply to generate  $n$  samples that serve as  $\mathbf{Z}$  in Equation 2-70.

### Latin Hypercube Sampling

Monte Carlo simulation requires a large number of samples to get a reasonably converged estimate, i.e., with a reasonable low variation. Among various variation reduction techniques, Latin Hypercube sampling technique has been widely used in many different domains that involve simulation (e.g., McKey et al, 1979, Nie, 2003, Wyss and Jorgensen, 1998). Following the fundamental simulation procedure, the purpose of Latin Hypercube sampling in P-CARES is to generate  $m$  sample vectors in  $n$  dimensional hypercube. It starts with dividing the range  $[0,1]$  of each uniform random variable  $U_i$  into  $m$  nonoverlapping intervals (with equal probability). One value  $u_{ij}$  is then randomly selected from each interval, where  $j$  indicates the value from the  $j^{\text{th}}$  interval. The  $m$  values of all random variables are combined randomly to form  $m$  LHC samples, each contains  $n$  values as a realization of the random vector of size  $n$ . It should be noted that any of the  $m$  values of any random variables is used only once in forming the  $m$  LHC samples. The quality of one particular set of  $m$  LHC samples depends on how evenly these samples are distributed in the  $n$ -D hypercube.

The above generation procedure is clarified by the following example. Suppose that the size of the random vector  $n = 2$ , and the number of samples desired is  $m = 5$ . Figure 2-11 shows two equally possible sets of the LHC samples. The small solid dots are the randomly selected values in the 5 intervals for each random variable, while the hallow stars are the 5 LHC samples, each of which is a combination of one value from each random variable. The LHC samples shown on the left side are better than the ones on the right side because they are scattered more evenly.

The generated LHC samples in the hypercube are transformed to samples in standard normal space by Equation 2-71. Finally, the fundamental simulation procedure is applied to get the lognormal sample vectors.

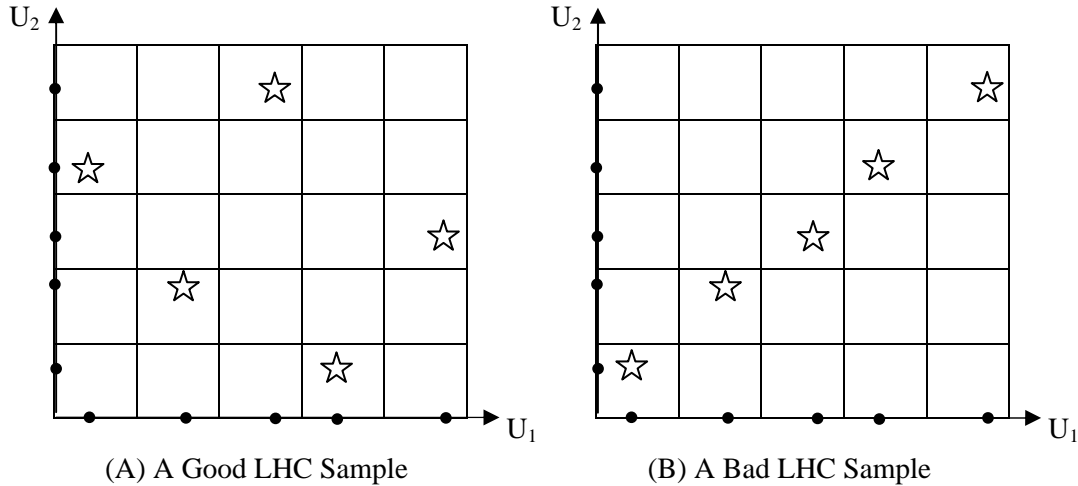


Figure 2-11 A 2-D Latin Hypercube Sample

### Engineering Latin Hypercube Sampling

Rather than selecting randomly a value in each interval, as described above in the conventional Latin Hypercube sampling technique, a common practice in engineering application of LHC is to select the median point in each interval. This revised version, termed as engineering LHC here, removes the randomness in the marginal samples if each individual random variable is under consideration. However, the LHC samples, as random combinations of the marginal values of all random variables, still exhibit great randomness. The engineering LHC can avoid some extreme values close to the boundaries of the hypercube.

### Fekete Point Set Method

Fekete Point Set method was developed as an advanced directional simulation tool in assessing structural system reliability (Nie, 2003). Fekete Point set is a set of points on the unit hypersphere with a minimal potential energy, i.e., it minimizes the following potential energy,

$$E_t = \sum_{1 \leq i < j \leq m} \frac{1}{|\mathbf{P}_i - \mathbf{P}_j|^t}, \quad t > 0 \quad (2-72)$$

Where  $t$  is the order of the potential energy  $E_t$ ,  $\mathbf{P}$  represents a point on the unit hypersphere, and  $m$  is the total number of point in the set. Among the three generation methods developed in Nie and Ellingwood (2004), the one directly minimizing  $E_2$  has been adopted in P-CARES. Detailed discussion of these generation methods is beyond the scope of this research. In the particular applications in evaluating structural system reliability, the method was assessed in spaces of a dimension up to 20. The authors noticed that the advantage of Fekete Point set method over Monte Carlo simulation decreases as the dimension increases, from about several hundreds times more efficient in 3-D to about 3 times more efficient. Spaces of a dimension higher than 20 have not been tested. Therefore, this method is included in P-CARES as an experimental approach, and the user should use it with caution.

A Fekete Point set is on the unit hypersphere, and must be transformed into the hypercube to be useful in P-CARES. Such a transformation developed in Nie (2003) has been incorporated together with the generation procedure in P-CARES. When generating a Fekete Point Set in P-CARES, this transformation is automatically applied at the end of generation without user's intervention. A fact of this transformation is that an  $n+1$  dimensional unit hypersphere maps to an  $n$  dimensional hypercube, because hypersphere has one degree of freedom constrained by its

radius. A visual comparison between Fekete point set and LHC samples is presented in Figure 2-12, in which Fekete point set does not have the tendency of point clustering as observed in some of the LHC samples, and therefore can be viewed as scattered more evenly. The reordered Fekete points are similar to the Fekete points except that they have their marginal values same as in the engineering LHC.

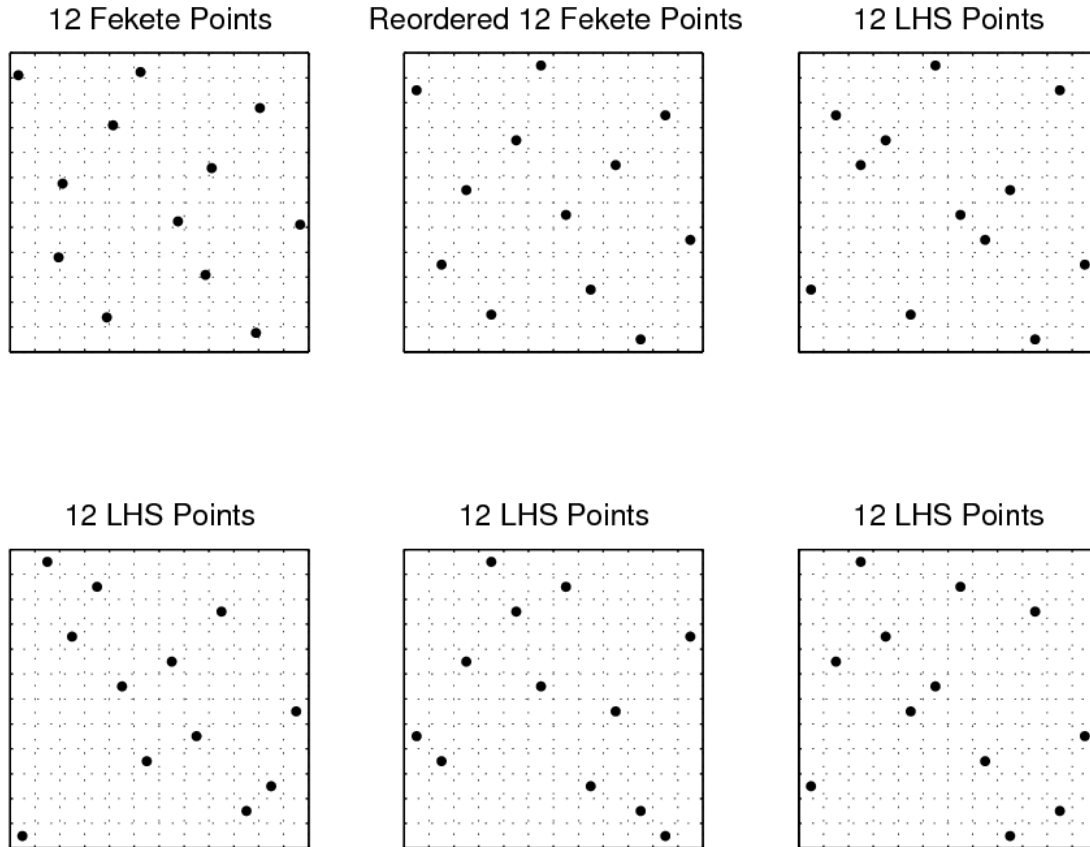


Figure 2-12 Comparison of 12 Fekete Points and LHC Points (Nie, 2003)

#### 2.5.4 Post Processing

Post processing module in P-CARES provides various statistics on the simulation results. For soil profiles, the mean, median, minimum, maximum, and arbitrary percentiles can be generated from the sample profiles. For the responses, response spectra can be generated using a user-specified damping value and be presented as the mean, median, and user-specified percentiles. The response spectrum of the input motion can also be included in the output (see Figure 2-13).

Except for the sample mean that is taken as the arithmetic average of the samples, other statistics are all based on a percentile algorithm. The samples are first sorted and then the  $p$ -percentile value is simply defined by the following index function,

$$I(p) = \text{round}(p * m / 100), \quad (2-73)$$

where  $m$  is the number of samples in the simulation, and  $\text{round}()$  is a function that rounds a real value to the nearest integer,  $I(p)$  is the index that points to the data in the sorted samples. The indices of other statistics are defined as,



$$\begin{aligned}
 I_{\min} &= I(0) \\
 I_{\max} &= I(100) . \\
 I_{\text{median}} &= I(50)
 \end{aligned}
 \tag{2-74}$$

For deterministic analysis, the outputs are the free-field responses and the SSI responses at various locations of the structure. These outputs, in Fourier components format, are saved as individual text files. Therefore, the post processing task for deterministic analysis can be achieved by utilizing the seismic motion utility module.

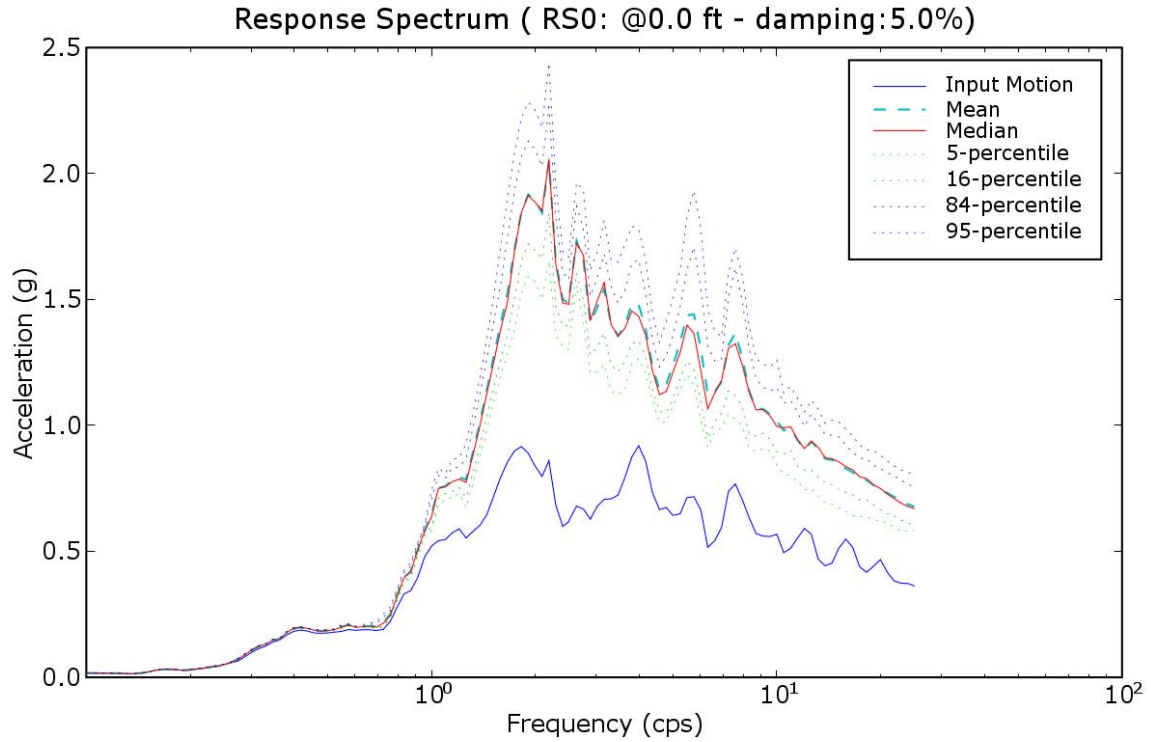


Figure 2-13 Statistics of Response Spectra in Post Processing

### **3 SEISMIC ANALYSIS FEATURES OF P-CARES**

The P-CARES program consists of many components that either are adopted from the earlier versions of CARES codes or are recently developed. The codes adopted from CARES are re-modulated so that these modules can be called individually at appropriate situations in the deterministic and probabilistic analyses, and become the computing core for the free-field analysis and soil-structure interaction (SSI) analysis. Building on these upgraded codes, a significant amount of effort has been made to manage the calculations necessary for deterministic and probabilistic analyses, to generate random vectors that are used in the probabilistic simulation, to construct the graphical user interface (GUI) that facilitates data input, calculations, and post processing, and to provide interfaces that connect the modules in the computing core to the GUI and the probabilistic management modules. With this integrated package of components, P-CARES can provide both deterministic and probabilistic free-field response and SSI analyses in a very efficient way. This section summarizes the functionalities which are implemented in P-CARES.

Although consideration for multiple platforms has been given during the development of the P-CARES program, it has been so far compiled and tested only on Windows XP, currently the most common operating system. P-CARES has been developed using a mixed programming approach that involves Fortran 90/95 and Python programming languages. Fortran 90/95 is used for the computing core that involves heavy number crunching; while Python is used for the probabilistic modeling, data and file management, and GUI development, as these functions do not consume much computing time. The Fortran 90/95 modules and subroutines are compiled to Python modules using the open source tool f2py that collaborates with the Compaq Visual Fortran 6.6 and the Microsoft Visual C++ .Net 2003 in the P-CARES development. In particular, the GUI was developed with wxPython, a Python binding to the open source C++ wxWidgets graphical toolkits. Another open source package, named matplotlib, was utilized with an extended toolbar to produce and manipulate various plots. The python port of the 3-D graphical toolkit VTK 5.0 was used for the 3-D structural model viewer. A GUI layout tool, wxDesigner, is used in the P-CARES development to expedite the GUI design and programming.

On Windows XP, P-CARES can be started by simply double-clicking the icon in the “All Programs” folder. During the time the splash screen is exhibited temporarily on the window screen (see Figure 3-1), P-CARES loads all the functional modules and then displays the main GUI for user’s input and control. Various functions can then be performed within the GUI. The GUI will be overviewed first to establish the context in which other functionalities can be described later. The utilities to manipulate accelerogram, the deterministic analyses, and the probabilistic analyses are then explained in sequel.

#### **3.1 Graphical User Interface**

All functionalities of P-CARES can be executed through its main window, as shown in Figure 3-2. This subsection provides only an overview of the basic layout and major functions that can be referred to when other soil and structural analyses capabilities are introduced. More detailed description will be presented in Section 4 of this report.

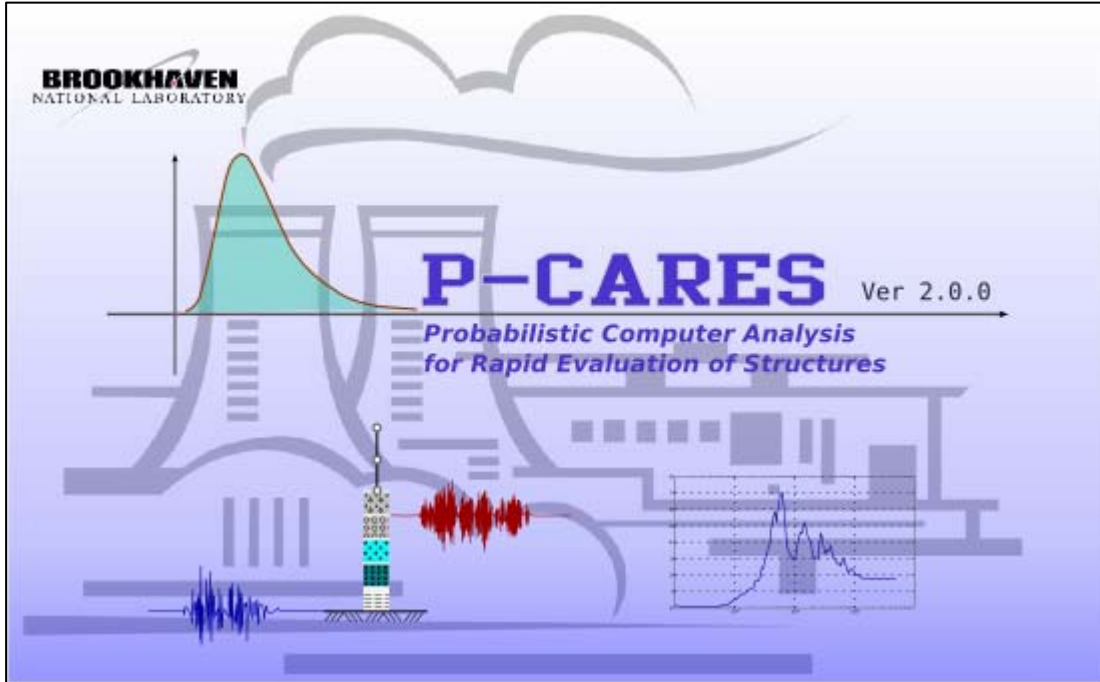


Figure 3-1 P-CARES Splash Screen

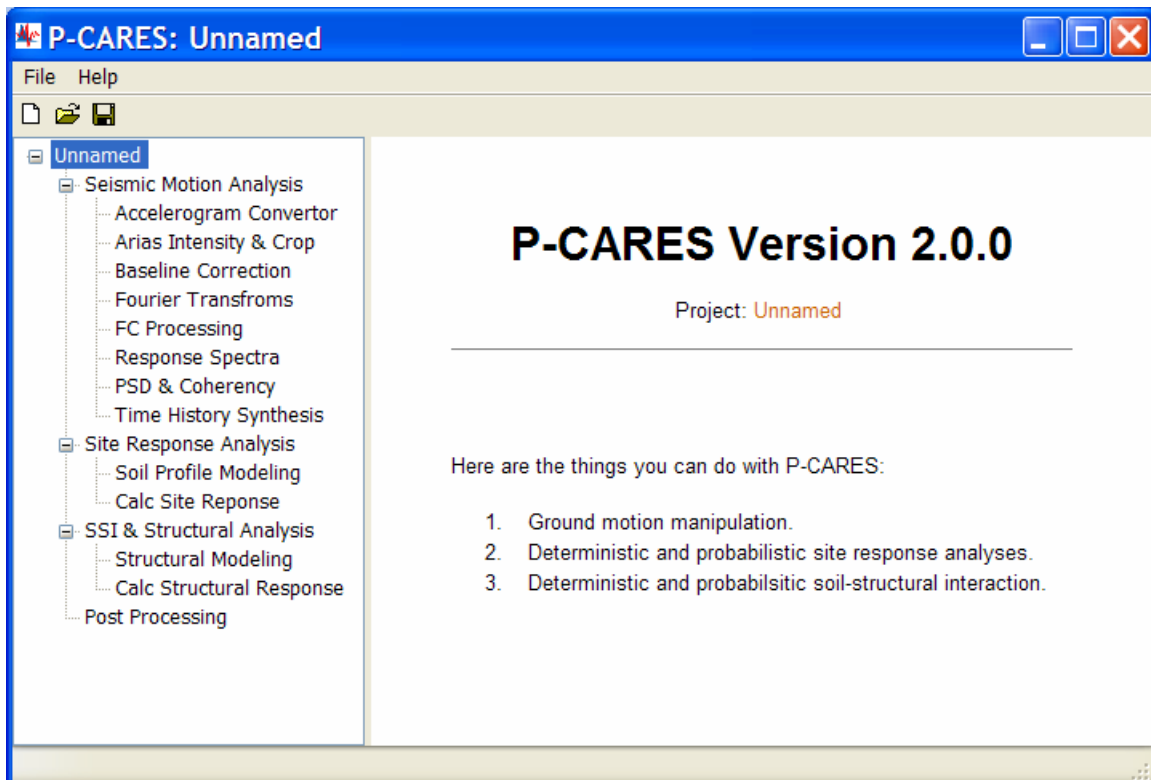


Figure 3-2 P-CARES Main GUI

The main GUI consists of a menu bar, a toolbar, a hierarchical panel of various functionalities on the left, and the main display panel on the right. Toolbar buttons and menus are used to create, open, and save a project. A project means a collection of files in the project's directory, which

contains a main input file and other associated files such as input seismic accelerograms, output files, and database files. If a project has been created or opened, its name is displayed at the root of the function panel; otherwise, it is named as “Unnamed”.

The hierarchical panel, developed based on a tree structure, contains all the links to various sets of functions provided by P-CARES. These functions are organized into four major groups, namely the seismic motion analysis, the site response analysis, the SSI and structural analysis, and the post process. When a link is activated by pointing the mouse on and clicking the link, the corresponding interface will be displayed in the main display panel, which may be a pure data input panel or a console panel that takes user input and generate outputs (plots). The general layout of a console panel includes a plot panel on the top and some controlling features at the bottom (For example, Figure 3-3). The analysis methods, deterministic or probabilistic, can be specified as options in the site response and SSI analyses modules, as shown in the Figure 3-2. The manner in which the analyses are categorized as the site response analysis and the SSI and structural analysis reflects the common practice that these two types of analyses are often performed separately regardless of deterministic or probabilistic analysis. However, this arrangement does not rule out the successive execution of these analyses in either a deterministic or a probabilistic calculation.

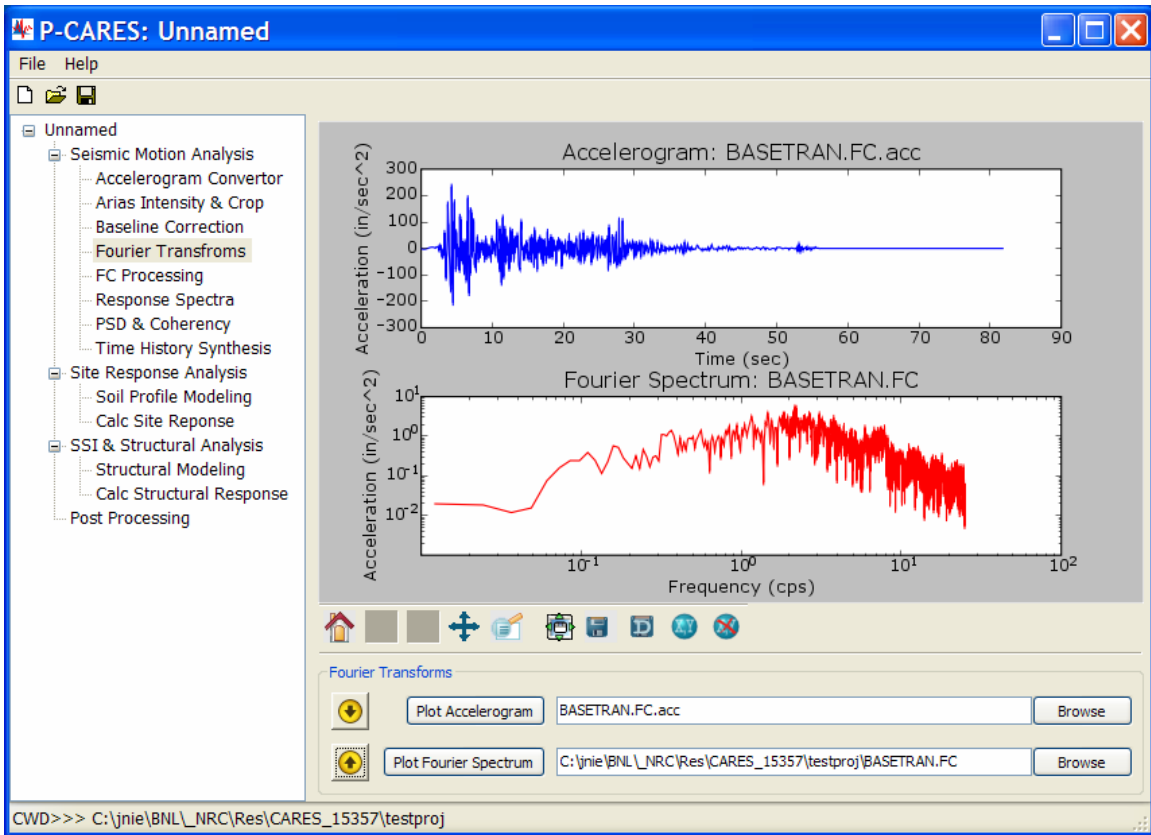


Figure 3-3 A Typical Console Panel Shown in the Main Display Panel

The basic approach to using P-CARES is: (1) to process seismic motions using the provided utilities, (2) to prepare input for the soil column using the soil profile modeling and to conduct the site response analysis by selecting an option for either deterministic or probabilistic analysis, (3) to build the structural model using the structural modeling tool and to perform the SSI and

structural analyses. The last step can acquire the calculation type, i.e., either deterministic or probabilistic, from step (2) and perform a joint SSI analysis, or can be executed as an independent analysis by directly specifying input motion and SSI coefficients.

In contrast to the traditional programs such as the previous CARES versions that use special file format to organize various data, P-CARES does not require the user to prepare the input in a particular data file format, except for some auxiliary files such as accelerograms and their Fourier component files, because the input data can be entered and edited through the GUI. Those accelerograms and their Fourier component files hold bulk data that are seldom processed manually, and therefore maintain the existing file format with minor improvements.

A toolbar attached to the bottom of the plot panel facilitates the manipulation of the plot, which allows panning, zooming, setting the margins of the plot, annotating the plot, and saving the figure and the data. All these functions will be described in more detail in the user's manual.

### **3.2 Seismic Motion Analysis**

As the first group in P-CARES and often the first step in a typical analysis session, a set of utilities are provided for the user to preprocess the input seismic motions and to perform some of the post processing tasks, especially for the deterministic analysis. These utilities, grossly organized in an order convenient for typical analysis situations, include a accelerogram convertor that converts a raw acceleration time history in various formats to P-CARES format, a utility that displays the accumulative Arias Intensity and can save a portion of the whole time history by chopping and zero-padding, a baseline correction utility to remove the residual velocity and displacement from a time history, a utility that performs forward/inverse FFT and generates relevant plots, a FC processing utility that can filter or smooth a given Fourier component file, a response spectra utility that can handle multiple damping values, a power spectra density and coherency utility, and a time history synthesis utility to generate a time history that matches a given response spectrum. These utilizes are described in the following.

#### **3.2.1 Accelerogram Convertor**

Accelerograms obtained as either recorded data or artificial time histories are in different format in practice, and cannot be universally accepted in P-CARES without conversion. This utility converts a raw record into P-CARES format. The raw records are required to be in text format with all acceleration data organized in one or more columns and evenly spaced in time. This convertor can retrieve acceleration data that are either separated with arbitrary delimiters or assumed in fixed widths.

A raw accelerogram file can be opened and displayed for inspection and an appropriate delimiting method can be determined accordingly. After a raw file is opened and an appropriate delimiting option is selected, the user can parse the file to display data fields colored column-wisely. Certain unwanted rows in the file can be commented out conveniently. A second parse identifies all accelerogram data in the file after every accelerogram data field is clicked in an arbitrarily selected row. The user can specify the time increment and the conversion factor from the unit in the raw accelerogram into  $\text{in}/\text{sec}^2$  used in P-CARES format, plot the whole record, and pick a range on screen for saving. The processed accelerogram in P-CARES format can be saved to a user-specified file name, which has a default file name by suffixing the input file with ".acc" to avoid accidentally overwrite the original file of the raw record.

### 3.2.2 Arias Intensity and Crop

As discussed in the theory section, Arias Intensity is a good measure of the seismic energy content, and can be used to identify the strong ground motion in the whole accelerogram. In practice, an intact seismic accelerogram may have very long duration and require an unnecessary extra computing cost if unchanged. Therefore, the accelerogram often needs to be shortened by chopping off its beginning and end portions. This tool can display the accelerogram on the screen, calculate the cumulative Arias Intensity, show typical percentiles of Arias Intensity for reference, and dynamically show the start and the end positions for chopping. The chopped accelerogram is automatically saved under a file name that prefixes the original accelerogram file name with “cropped\_”, which ensures the original file does not be overwritten accidentally. The user can also specify the length of zero padding at the beginning and the end of the cropped accelerogram.

The accelerogram files are customarily named with an extension “.acc”.

### 3.2.3 Baseline Correction

Seismic accelerograms, either recorded or synthetic, often have nonzero residual velocities and displacements, which are mostly fictitious or unrealistic but need not to be corrected in many cases in which the nonzero residual velocity and displacement do not affect the calculation result. In some other cases, however, these residual velocity and displacement do affect the calculation adversely, and therefore must be removed. This utility can read in an accelerogram and correct it using a Lagrange multiplier based minimization method published by Borsoi and Ricard (1985). This utility can plot the original and corrected accelerograms, the corresponding velocity and displacement histories, and their Fourier spectra. These plots visually inform the user the effects of the correction on the accelerogram and the Fourier content. Only minor changes to the original accelerogram have been observed using this method. The corrected accelerogram is automatically saved in a file name with the original file name prefixed with “bl\_”.

This utility also saves, as a side effect, the Fourier spectra for both the original and the corrected records, under file names of the accelerogram file names plus “.fc”, which means the Fourier Component format.

### 3.2.4 Fourier Transforms

This utility performs the forward and inverse FFT and displays the time history and its Fourier components. Individual accelerogram or Fourier component file can be displayed as well. The generated pertinent file is saved in a file name with the original file name being suffixed either with “.acc” or “.fc” depending on whether a forward or inverse transforms is performed.

### 3.2.5 FC Processing

This utility can read in and display a Fourier component file as its first function to inspect the Fourier spectra, and provides a Butterworth filter function and a smoothing function.

The Butterworth filter function can be a low pass, high pass, or a bandwidth pass filter depending on whether the corresponding cutoff frequency is set to zero. A zero cutoff frequency turns off the corresponding filter function, for example, if the low cutoff frequency is zero but the high cutoff frequency is not, then the Butterworth filter becomes only a low pass filter. The filtered Fourier spectrum is plotted overlaying the original in real time. If the filtered version is saved, its file name is obtained by adding a prefix “bw\_” to the original file name.

A smoothing function is also provided in this utility using a triangular window, either with a fixed frequency window width or a varying window width. The smoothing window width, in terms of

frequency (Hz) for a fixed window with option or percentage (%) of the center frequency, can be specified by the user. The smoothed version is displaced instantly on top of the original after clicking the “Smooth” button. If saved, its file name used is the original file name prefixed with “sm\_”.

The Butterworth filtering and the smoothing function can be applied together, although it is not a common practice. These two functions can also be applied for multiple times to achieve the desired result. The filtered and/or smoothed Fourier components can be transformed into time domain and be saved using the appropriate prefix and suffix.

If the processed Fourier components sequence is transformed to time domain, it is saved in an accelerogram file with its name obtained by simply adding an extension “.acc” to the original Fourier component file. The combination of this utility and the baseline correction utility or Fourier Transforms utility introduced above can produce chained file names as “.acc.fc.acc...”. This special arrangement can potentially create many files if the mentioned utilities are executed multiple times, however it is regarded as a safe measure that prevents the possibility to overwrite a file by accident. Nevertheless, this feature should not be considered awesome because deleting files is such an effortless task on any modern operating system.

### **3.2.6 Response Spectra**

This simple utility reads in an accelerogram and generates the response spectra for a list of user specified dampings. These response spectra curves are plotted on screen using different colors, and are saved to individual files. The file name for a response spectrum using damping  $d$  is created as the original accelerogram file name suffixed with “rs $d$ ”. For example, if the damping used is 5% and the original accelerogram file is “record.acc”, the file name for the response spectrum is “record.acc.rs5”.

### **3.2.7 PSD and Coherency**

This utility reads in one or two accelerograms and plots their power spectra density functions as its simplest usage. More importantly, it is a tool to calculate the various coherency measures and to create the corresponding plots. The user can specify the size of the tapering function that is applied to the time history and the width of the Hamming window for locally smoothing the auto and cross power spectra before the various coherency measures are calculated. The included coherency measures are the lagged coherency, the phase spectrum, the unlagged coherency, and the Arctanh coherency.

The various plots can be saved in a variety of common image format or in a two-column format by using the plot toolbar.

### **3.2.8 Time History Synthesis**

This utility generates an accelerogram that matches a given response spectrum. The shape of the synthetic accelerogram is made to be closer to a realistic record using an envelop function, which is defined by the user-specified earthquake magnitude estimate  $M$ . The record is also automatically baseline-corrected using the Lagrange multiplier based correction tool and enveloped by the maximum peak ground acceleration specified by the user. The target spectrum can be selected to be one of the NUREG-CR0098 (Newmark and Hall, 1978), RegGuide 1.60, or a user specified spectrum. The response spectra are linearly interpreted in the log-log scale.

After specifying the number of iterations, the duration, the number of data point, optionally the rise/strong/decay times, and importantly target spectrum, and the phase generation method,

clicking the “Initialize” to start the generation process. An artificial time history can be generated by clicking the “Synthesis” button for one or more times, each of which iterates the specified number of iterations based on the previous generated or initialized time history. After each clicking, the response spectrum of the synthetic accelerogram is plotted over the target response spectrum and the synthetic accelerogram is plotted over the envelop function. By visually checking the level of the agreement between the artificial response spectrum and the target spectrum, the user can decide if more iterations are needed or a completely new record is generated by reinitialize the utility. A Regguide check can be performed to ensure the synthetic time history in line with the requirement of Regulatory Guide 1.60.

The phases can be generated randomly or by reading an existing record that is stored in either Fourier component format or in time history format. If an option of a time history is selected, the time history is first transformed internally to the Fourier components and the phases are then extracted. To maintain compatibility, the duration and the number of points for the synthetic time history are fixed to those of the existing accelerogram if it is used for phase generation.

The acceleration, velocity, displacement, and the response spectra of the synthetic time history can be saved in individual files of file names that can be specified by the user. These file names are initialized as SYNTH.acc, SYNTH.vel, SYNTH.disp, and SYNTH.RS in default.

### **3.3 Deterministic Free-Field Response and SSI Analysis**

Upon the preparation of the seismic motions, the user can continue for deterministic free-field response analysis and SSI analysis. The free-field response analysis and the SSI analysis can be performed independently or jointly; therefore, there are three analysis scenarios for the deterministic analysis: (1) independent deterministic free-field response analysis, (2) independent deterministic structural analysis that includes options for the standalone SSI analysis and the frequency domain shaker analysis, and (3) joint deterministic free-field response and SSI analyses. For the first type of analysis, it is sufficient to select the analysis type as “Deterministic” in the calculation panel of site response analysis. For the second type of analysis, there are an option for the standalone deterministic SSI analysis and an option for the frequency domain shaker analysis in the calculation panel of the SSI and Structural analysis. For the last type of analysis, it is required to select “Deterministic” analysis in the free-field response analysis and to select the “Joint SSI” analysis in the structural analysis panel. The user can build the soil column model and the structure model, perform the various calculations, and post process the outputs all through the GUI.

The following is a list of the main features provided for the deterministic analysis.

- + The soil column is assumed to be a one dimensional layered soil system with the shear wave propagating vertically through the soil layers. The nonlinear behavior of the soil is modeled with specified soil degradation curves and the input seismic motion; the resultant soil shear modulus and damping of each layer are compatible with the maximum effective strain incurred by the input seismic motion.
- + The user can specify the number of layers, soil degradation model, soil layer properties, water table, rock properties for rock outcrop, input seismic motion and its location of application, output locations for free-field responses, and a file name used to store the final strain-compatible soil profile.
- + The input seismic motion can be placed at the ground surface, at any layer interface, or in the rock outcrop.



- + The soil layer properties include the layer thickness, soil weight density, soil shear modulus, and soil type, which can be entered and edited in the main GUI.
- + If a joint SSI analysis is to perform, the user should also provide the foundation type, dimension, and the embedment depths in the soil modeling stage. The inclusion of the kinematic soil-structure interaction in the site response analysis can be turned on or off by a simple option. P-CARES will automatically generate the side and base soil properties from the input soil column profile, record the output motions at locations spanning over the embedment depth, and then generate the SSI input motions.
- + The structure is a lumped mass linear elastic structural system, which consists of beam elements, spring elements, shear wall elements, and the rigid link elements. A P-CARES beam element is a 3 dimensional beam with shear stiffness enabled, while a spring element is a 3 dimensional beam without shear capacity. The shear wall elements cannot resist any out-of-plane deformation and rotational deformation.
- + The structural model can be viewed and inspected in the 3D viewer.
- + The user can specify the nodal definitions and constrains, coupled degrees of freedom, rigid links, element type and connectivity, material properties, sectional properties, the damping model and parameters, and SSI node for all deterministic structural analysis.
- + The user can specify SSI model, foundation type and dimensions, base and side soil properties, if a standalone deterministic SSI analysis or a frequency domain shaker analysis is to be performed.
- + The user can specify the input motions in any or all of the six directions at the SSI node for a standalone deterministic SSI analysis.
- + The user can specify the forcing function at any given node and direction for a series of user specified frequencies, if the frequency domain shaker analysis option is selected.
- + For a joint SSI analysis, P-CARES internally sets the SSI model to Beredugo and Novak model (1972) for circular foundations or Pais and Kausel model (1985) for the rectangular foundations. The input motions are taken automatically the translational and rotational kinematic motions generated in the site response analysis, and the side and base soil properties are derived from the final soil profile saved in the site response analysis.
- + The user can specify a list of nodes at which the output motions need to be recorded. The output motions are in the Fourier component format.
- + The output motions, including the free-field responses at various depths in the soil deposit or in the rock outcrop, and the structural responses at various nodes in the structural model, can be processed using the seismic motion analysis utilities. These output files are in text format.

### **3.4 Probabilistic Free-Field Response and SSI Analysis**

The probabilistic free-field response analysis can be activated in the site response calculation panel, and the probabilistic SSI analysis can be activated by selecting the “Joint SSI” analysis in the structural calculation panel in conjunction with a probabilistic site response analysis. Because the structural components are considered to have less variability than soil properties, they are currently modeled deterministically; therefore, the probabilistic SSI analysis is simply to pass the uncertainties in the soil to the structural responses. There are two types of analysis: (1) independent probabilistic free-field response analysis, (2) joint probabilistic free-field response and SSI analyses.

A large portion of the data input is shared by the probabilistic analysis and the deterministic analysis. The additional data required for the probabilistic analysis include the probabilistic distribution data (mean and standard deviation) for soil weight density, soil thickness, and soil

low strain shear velocity of each layer, and the layer to layer correlation data. Although P-CARES takes the shear velocities as the input random variables, the shear moduli are in deed the internal random variables in the calculation. The lognormal probabilistic distribution is assumed for all random layer properties. The uncertainties of the layer properties can be specified by either the standard deviation (Std) or the coefficient of variation (COV). Arbitrary feasible and compatible correlation can be specified between any layer properties. A simple tool, based on a rule of exponential spatial variation as described in Section 2, can be used to initiate the correlation table for soil weight density and soil shear modulus between adjacent layers.

For probabilistic analysis, P-CARES implements four simulation schemes, i.e., Monte Carlo simulation, Latin Hypercube sampling, engineering Latin Hypercube sampling, and the experimental Fekete Point set method. The user can select the simulation method in the site response calculation panel, and specify the number of samples used in the simulation. Fekete point set can be specified with a previously stored file or can be generated on the fly. The dimension of the random vector is the triple of the number of the soil layers. The number of samples used in the Fekete Point set method is required to be greater than the number of random variables in the simulation.

The generated SSI input motions, one translational and one rotational at the base of the foundation, are stored in a binary database file and are used to supply the input motions to the structural model. The final soil profiles for all samples are stored in their own database file as well, which is used to generate the SSI coefficients for the structural model. The output motions, including those in the soil deposit and at the nodal locations in the structure, are stored as Fourier components in two separated database files, which are used in the post processing to generate response spectra for various percentiles.

The post processing in the probabilistic analysis merits its own module because of the necessary statistics calculation. This module provides post processing capability for soil profile and output motions. For soil profiles, profiles at the mean, minimum, maximum, median, and other arbitrary list of percentiles can be plotted and saved. Each soil profile includes properties of layer thickness, soil density, damping, initial shear modulus, final shear modulus, initial shear velocity, and final shear velocity. For output motions, the response spectra at the mean, median, and various percentiles can be plotted and saved. There is an option to include the response spectrum of the input motion as a solid blue line in the response spectra plots. All statistics plots obey the following codes of color and line style:

- + *Mean*: cyan dashed line (thick, 1 pt)
- + *Minimum*: green solid line (fine, 0.35 pt)
- + *Maximum*: blue solid line (fine, 0.35 pt)
- + *Median*: red solid line (0.5 pt)
- + *50+ Percentile*: blue dotted line (fine, 0.35 pt, varying color)
- + *50- Percentile*: green dotted line (fine, 0.35 pt, varying color)

## 4 USER'S MANUAL DESCRIPTION

This section describes in detail the various functions of the P-CARES system and their usages. P-CARES is an integrated system for quickly assess of the response of soil and structural systems under seismic motions, by means of either deterministic or probabilistic analysis. It includes a site response analysis module, a soil-structure interaction (SSI) and structural analysis modules, and a number of relevant pre/post processing tools. P-CARES system provides a convenient graphical user interface (GUI) to accept user input, to perform and manage a variety of analyses, and to produce analytical results which an analyst use to quickly develop insights. It is an event driven system that involves mouse clicks and keyboard entries, and allows the input data be prepared on-screen, entered in any order, and edited later. To enhance the usability, the GUI also provides context sensitive mechanisms in that inter-dependent items are updated dynamically when the controlling information is changed. For example, if the number of soil layers is changed, the available options for input motion locations are updated automatically.

The user is encouraged to refer to the short tutorial for a quick overview of the program execution. To minimize development cost and ensure product quality, P-CARES has been developed based on a variety of open source software packages which will be described in the subsection of system requirements. The major components of P-CARES will be discussed in Subsection 4.3 to provide an outline of the various types of analyses (usages). Tasks that are common to other functions are introduced in Subsection 4.4. These common tasks are simple and the user should get familiar with them before any real application of P-CARES. The seismic motion analysis module, site response analysis module, and the SSI and structural analysis module, and the post processing module are described separately in their own subsections. These four major components are described in the same order as appeared in the command tree of the main GUI, although they can be combined in various ways to accomplish different types of analysis.


### 4.1 Short Tutorial

The P-CARES program can be installed using the installer "Setup.exe." In addition to creating a pcares2 folder in Start/All Programs, the installer also has options for creating desktop and quicklaunch icons.

*To start the P-CARES program, do one of the following:*


- + Click the pcares2 program at Start/All Programs/pcares2/.
- + Click the pcares2 icon on the desktop.
- + Click the pcares2 icon in the quicklaunch toolbar.

*To Close the P-CARES program, do one of the following:*

- + Click  at the upper right corner of the P-CARES main window.
- + Click Menu File->Quit.

Any unsaved data will be prompted to save before closing.

There is a command tree on the left part of main GUI including most of the functions of P-CARES (see Figure 4-1). Clicking an analysis module will display in the main display panel a brief description of the functions that the module can provide; clicking a command brings its interface to the main display panel. The GUI controls behave in the same way as they do in other applications on the Windows platform.

When conducting a soil profile modeling or a structural modeling, the user should save the project frequently by clicking  on the toolbar or by selecting “save” in the File menu, to prevent any possible lose of data.

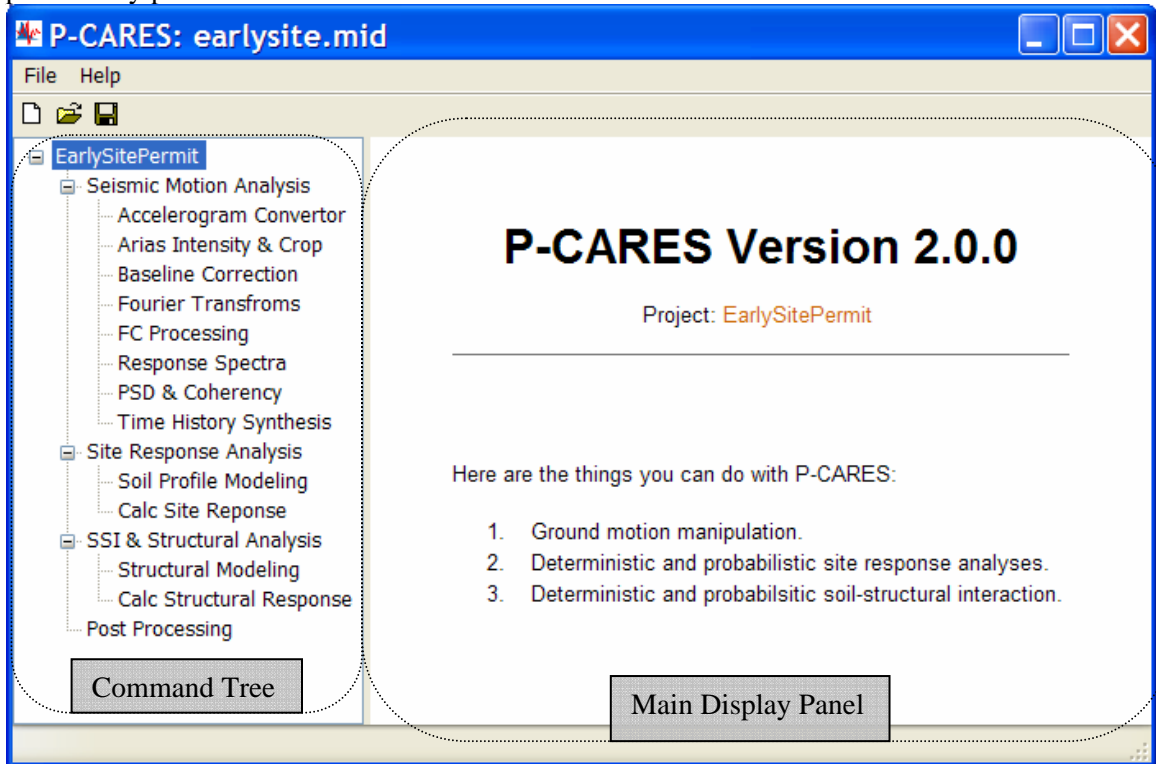


Figure 4-1 P-CARES Main GUI

## 4.2 System Requirements

Although P-CARES has been developed and tested on the Windows XP, SP2 platform, consideration has been given for potential deployment on multiple platforms. The computing core, which includes the free field convolution analysis, kinematic interaction, structural analysis, and some other frequently used utilities, is written in standard Fortran 90/95 and is portable to other platforms. The rest of the modules, including the probabilistic simulation, execution management, and the GUI, are written in the Python programming language that is open source and freely available to all popular platforms. The GUI is developed in wxPython, a Python binding to the C++ wxWidgets GUI library that is available to multiple platforms. These programming tactics in P-CARES development ensure its portability over multiple platforms.

Five software packages required to run P-CARES are open source packages and are freely available. These required packages are described in the following.

**Python:** it is an object-oriented, interpreted, open source, and free-of-charge programming language that is widely used for rapid application development (RAD) in many industries. It has been practically endorsed with its successful stories by the renowned organizations such as NASA and GOOGLE. It has a very clear syntax, highly optimized and versatile data structures, and many robust and efficient packages that can be used to minimize the developers’ effort. It can be easily extended with C, C++, and Fortran to meet the speed requirement when heavy number crunching is involved. The development of P-CARES takes advantage of Python’s extensibility by compiling upgraded existing CARES codes in Fortran into Python extension modules. Python

can be downloaded from <http://www.python.org>. The current stable version 2.4.3 is used in P-CARES development.

**Numarray:** it is a Python module for fast array processing. It can be downloaded and installed from [http://www.stsci.edu/resources/software\\_hardware/numarray](http://www.stsci.edu/resources/software_hardware/numarray). The current version 1.5.1 is used in P-CARES development.

**Numeric:** it is a package similar to Numarray and is developed before Numarray. It is not used directly by P-CARES, but is used by other packages that P-CARES relies on. Please note that the development of this package has stopped. The numeric Python community is currently working on a new package named NumPy to replace both Numarray and Numeric. However, Numpy was not used in the development of P-CARES for concerns of stability, which is typical for any new packages. Numeric can be downloaded from <http://numeric.scipy.org/>. The version 23.8 is used during P-CARES development.

**wxPython:** it is a package for the GUI development of P-CARES. It can be downloaded from <http://www.wxpython.org/>. The current version 2.6.3.3 is used in P-CARES development.

**Matplotlib:** it is the plotting module used to develop the on-screen plot generation capability in P-CARES. It can be downloaded from <http://matplotlib.sourceforge.net/>. The version 0.87 is used to develop P-CARES.

**vtk:** it is a 3D visualization toolkit for viewing the structural model in P-CARES. The vtk source code can be downloaded from <http://public.kitware.com/VTK/get-software.php>. The version 5.0 is used to develop P-CARES.

These required packages to execute P-CARES are invisible to the user, because the P-CARES program has been converted to a standard Windows program using another open source tool py2exe, which compressed all python source codes into one file and collects all necessary binary Python modules and their dependent DLLs (dynamic link library) to the program folder. The installer Setup.exe is made using “Inno Setup”, a free installer maker for Windows operation systems. Some other packages are used in the development but are not required in the execution of P-CARES. These software packages include: Compaq Visual Fortran 6.6c, MS Visual C++ .NET 2003, f2py, and wxDesigner. The license files for all free software packages used in development of P-CARES are shipped with the program.

Memory and disk space of modern computers are mostly abundant for P-CARES. P-CARES should be able to run smoothly on any recent computers; it has been tested on a laptop with a 1.4 GHz CPU.

### 4.3 Components of P-CARES

There are two aspects of P-CARES: (1) the physical layout in the GUI with which the user sees and interacts on-screen, and (2) the logical combinations of various components on-screen to perform various analyses. These two aspects coincide in some cases, such as the utilities for seismic motion analysis, but are different in some other cases. For example, the site response analysis and the SSI & Structural analysis are organized into two distinct groups in the GUI, which, however, can also be regrouped functionally as either deterministic or probabilistic site and structural analysis. This subsection first describes the module organization of P-CARES in terms of the GUI, and then describes various types of analysis that can be performed in P-CARES.

### 4.3.1 Organization of Modules

Various components in P-CARES, including the computing core written in Fortran 90/95 and the probabilistic simulation codes, are organized and controlled through the main GUI as shown in Figure 4-1. The P-CARES GUI is a standard window that encapsulates the typical frame controls (e.g. the icon, minimizing, maximizing, and closing buttons on the title bar), and the functional components pertaining to various P-CARES's functions. These functional components consists of a menu bar, a toolbar, a tree structured panel for commands, and a main display panel.

Figure 4-2 shows the organization of the components of P-CARES in terms of the GUI layout, similar to the items in the command tree in Figure 4-1. The top four components are the major functional categories implemented in P-CARES, each of which consists of a number of either independent or interconnected components. The utility tools under seismic motion analysis module are independent of each other, and have their own console style interfaces displayed in the main display panel. The purpose of these utilities is to provide a centralized location to facilitate the accelerogram processing that spans the pre/post processing, examination, and synthesis. The site response analysis module provides several forms for data entry and edit for the soil column model, random variable and correlation definitions, various analysis options, and the optional kinematic SSI analysis to generate SSI input motion for the structural analysis module. This module consists of a few pages of interfaces to organize the input data. Both deterministic and probabilistic analyses can be opted for site response analysis module. The structural analysis module is similar to the site response analysis module in that it provides several forms to take user input and create the structural model, and presents analysis options for standalone deterministic SSI analysis, frequency domain shaker analysis, and joint SSI analysis that can be deterministic or probabilistic analysis depending on the analysis choice in the site response analysis. The post processing module stands out purely to process the simulation results for the site responses and the structural responses. It can produce various statistical plots for soil layer properties and generate response spectra for free field responses and the structural response. The statistics for the soil layer properties include the mean, minimum, maximum, median, and an arbitrary number of percentiles; while those for the soil and structural responses include the mean, median, various percentiles, and the input motion.

The menu and toolbar in Figure 4-1, not shown in the organization chart, serves to create, open, or save a project, which corresponds to a directory that holds all files related to the project. The name of the project, stored in the master input data file, appears as the root of the command tree. A new project that is not saved has a default name "Unnamed". The file name of an opened master input data file is shown on the title bar of the main GUI. The naming convention of the files is described in subsection 4.4.1.

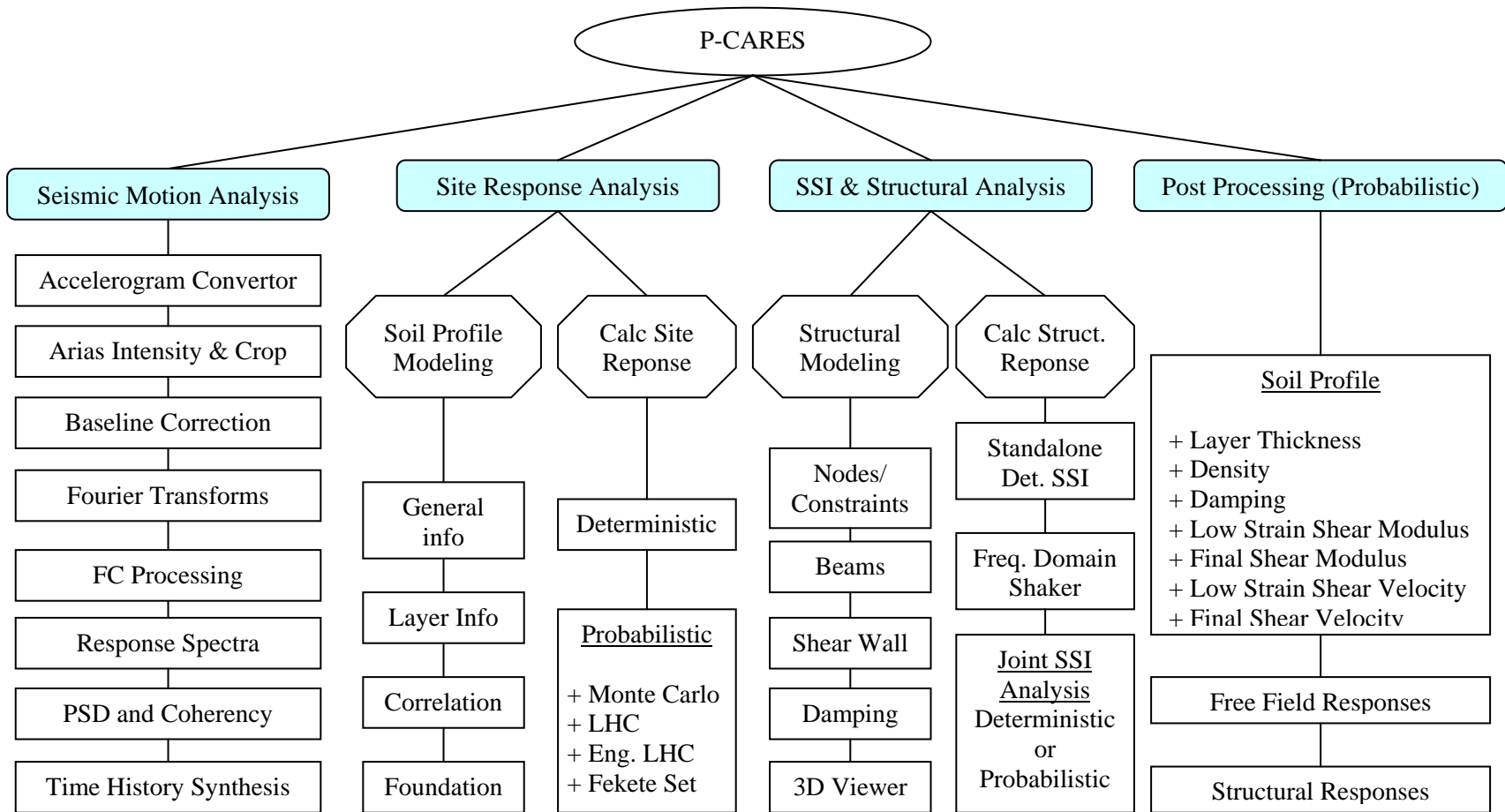


Figure 4-2 P-CARES Component Layout

### 4.3.2 Analysis Options

This section outlines the typical usages of P-CARES for various analysis scenarios. The GUI layout is designed for the user's greatest convenience in conducting common analyses, such as site response analysis or structural analysis separately. However, various components can be combined to achieve analyses that involve free-field convolution, kinematic soil-structure interaction, and the structural analysis. Therefore, the capacities of P-CARES and the pertinent procedures are summarized in the following for the user's choices.

#### Accelerogram Manipulations

Given an accelerogram, in a format of time history or Fourier components, the user can manipulate it in seismic motion analysis module. The accelerogram can be either an earthquake record or a response record in the soil or the structure, and can be converted from other format to P-CARES format. The implemented manipulations include Arias intensity calculation, record cropping and zero padding, baseline correction, FFT and invert FFT, Fourier spectra filtering and smoothing, response spectra, power spectra density and coherency, and time history synthesis. All plots can be saved in a few popular image formats ready for insertion into a report, or can also be saved in plain data format that can be imported by other software for further exploration.

#### Deterministic Site Response Analysis

The soil column model can be built using the soil profile modeling command in the site response analysis module. For a Deterministic analysis, the user does not need to provide the probabilistic distribution parameters for the soil layer properties, and the correlation table. If a joint SSI and structural analysis is not to be performed, the foundation data is not needed. The final soil profile and the output motions are saved to individual files for post processing. In particular, the output motion files are in the format of Fourier component, and can be processed using seismic motion analysis tools. The user must choose the "Deterministic" analysis option to activate this analysis.

#### Probabilistic Site Response Analysis

In addition to the data prepared for the deterministic site response analysis, the user must enter the probabilistic distribution for the soil layer properties, and fill in the correlation table if the correlations between soil layers are required. The user must choose the "Probabilistic" analysis type, select a simulation scheme among the Monte Carlo simulation, Latin Hypercube sampling (LHC), engineering LHC, and Fekete point set methods, and provide the number of samples to be used for this analysis type. The output motions and final soil profiles can be processed in the post processing module.

#### Standalone Deterministic SSI Analysis

The structural analysis module can be used as a standalone package. The structural model, including the SSI node and the output nodes, can be constructed using the structural modeling command of the SSI and structural analysis module. The SSI model, the base and side soil properties, and foundation types and dimensions are required to calculate the SSI coefficient. Each degree of freedom of the SSI node can be assigned an input motion in the format of Fourier components. The output motions are saved in individual files to be processed using seismic motion analysis utilities. The output files are under names as "ST $n$ - $d$ .fc", where  $n$  stands for the node id and  $d$  stands for the directions, i.e. one of the X, Y, Z, RX, RY, and RZ.

#### Frequency Domain Shaker Analysis

This analysis is similar to the standalone deterministic SSI analysis except that it does not require the input motions at the SSI node. Rather, it requires a forcing function defined at a certain



direction of a given node. The forcing function is defined for a list of user-specified frequencies, which can be quickly generated in P-CARES if a regular frequency increment is used. The output files are under names as “SH $n$ - $d$ .fc”, where  $n$  stands for the node id and  $d$  stands for the directions, i.e. one of the X, Y, Z, RX, RY, and RZ. Please note that even if the output files are named with extension “.fc”, the user should not try to use them in situations that FFT is involved, because the user specified frequency list extremely unlikely fulfills the FFT requirement.

### **Joint Deterministic SSI Analysis**

The site response analysis and the structural analysis can be chained together to perform the full scale soil structure interaction analysis. In addition to the soil and structural models built in the deterministic site and structural analyses as previously discussed, the user must select the “Deterministic” analysis type in the soil calculation and the “Joint SSI” analysis type in the structural analysis. The user need to provide the foundation information during the soil modeling and the kinematic soil-structure interaction should be included in the site response analysis. Since P-CARES automatically sets the SSI model, calculates the base and side soil properties from the soil column model, and retrieves the SSI motions generated in the site response analysis, the user need not provide the SSI model, foundation information, soil properties, and SSI input motion in the structural model, in contrast to the requirement in the standalone deterministic SSI analysis and the frequency domain shaker analysis. The output motions are stored in individual files, under names as “JD $n$ - $d$ .fc”, where  $n$  stands for the node id and  $d$  stands for the directions, i.e. one of the X, Y, Z, RX, RY, and RZ.

### **Joint Probabilistic SSI Analysis**

The user can also perform a probabilistic simulation that embraces the site response analysis, the kinematic soil structural interaction, and the structural analysis. It is achieved by a probabilistic site response analysis followed by a structural analysis with the “Joint SSI” analysis option. As in the joint deterministic SSI analysis, the kinematic soil-structure interaction should be enabled and the pertinent information should be provided in the site response analysis. The user also need not provide the SSI model, foundation information, soil properties, and SSI input motion in the structural model. The SSI motions, generated in the site response analysis and used as input in the structural analysis, include a translational component and a rotational component and are stored in a database file. The output motions at the user specified nodes are stored in a database file that can be processed in the post process module.

### **Post Processing of Database Files**

Three database files accepted in the post processing are for final soil profiles, soil responses at various user specified depths, and structural responses at the user specified nodes. The final soil profile database includes the soil layer thickness, soil damping, soil density, low strain shear wave velocity, final shear wave velocity, low strain shear modulus, and final shear modulus. The soil and structural response database include the input motion and responses, all in Fourier component format. Because the calculation of response spectra for every sample is a time consuming task, the generated response spectra are stored in a file and are opted for direct use without regeneration if the analysis has not been re-executed. The statistics used in the post processing for simulation include mean, minimum, maximum, median, and an arbitrary number of percentiles that the user can specify. All plots can be saved in a few popular image formats ready for insertion into a report, or can also be saved in plain data format that can be imported by other software for further exploration.

## 4.4 General Remarks on P-CARES Usage

To avoid repetitive narrations, this section describes some procedures or conventions that are common to or frequently used in most of the P-CARES interfaces.

### 4.4.1 File Name Conventions

As introduced before, a project is a collection of related files contained in a directory. These files follow certain naming conventions that are either restrictively required or recommended. The user is strongly encouraged to follow these rules in naming files. A file name in all capital characters usually means an intermediate file dumped out by P-CARES, which may or may not be in the text format. If a file is not included in the follow table, it is still used or generated by P-CARES but may not be useful for the user.

#### File Extensions

<b>.mid</b>	The extension for the master input file, which hold all data regarding the soil and structural properties. Only one master input file is needed in any of the project directory. The input motion and the output motions are not included in this file but in their own individual files. The master input data file name will be displayed on the title bar to indicate the current project.
<b>.acc</b>	The P-CARES accelerogram file is a slightly revised CARES format. The base name of this file may be the file name of a Fourier component file indicating it is transformed from that Fourier component file.
<b>.fc</b>	The Fourier component file of an accelerogram. The P-CARES format is revised slightly from the CARES format. The base name of this file is the file name of the accelerogram.
<b>.rs#</b>	The file holds a response spectrum. The symbol # indicates the damping used in generating this response spectrum curve. For example, if 5% damping is used, the response spectrum is saved in a file named “.rs5”. The base name of this file is the file name of the accelerogram.
<b>.DB</b>	Binary database file for the simulation results, i.e., for the simulated soil profiles and output motions in the soil and structural analysis.
<b>.HDB</b>	Similar to <b>.DB</b> but it is invisible to the post processing module.
<b>.psd</b>	The power spectra density file.
<b>.fps</b>	A file holds a Fekete Point set. The base name is in a format of “FeketeCube[nDim]-[nSim]”, where “FeketeCube” means that the Fekete point set is in the hypercube, [nDim] is the dimension of the random space, and [nSim] is the number of points (samples) in this file. The number of points of a Fekete Point set is required to be greater than the dimension of the random space.
<b>.POST</b>	A file is generated in the post processing module, and is used to save the lengthy calculation of the response spectra during post processing.
<b>.plt</b>	A file contains the figure data, which is saved from the plotting toolbar and can be imported into Excel using the tab-delimited format.

An accelerogram file or a Fourier component file may have a name chained as “.acc.fc.acc...”, as a result from multiple applications of the FFT and inverse FFT. This arrangement in P-CARES is purposed to be a safe measure that prevents the possibility to overwrite a file accidentally. The user can easily delete any of these files using Windows Explorer, if they are believed to be no longer useful.

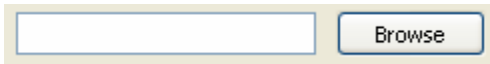
**File Prefixes**

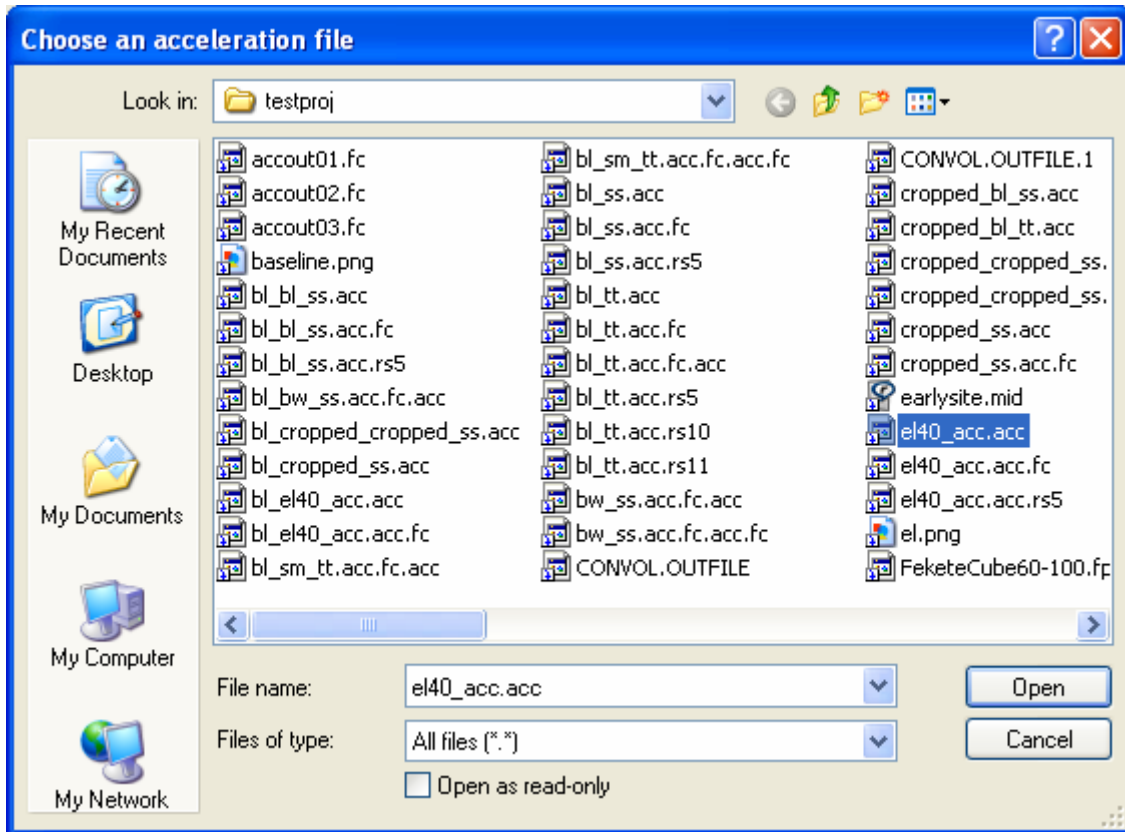
File prefixes are used to signify the operations have been made on the original file. The prefixes may be chained in a file name if the accelerogram was modified with more than one operation. Using prefixes is an additional safety measure, besides the use of chained file extensions as described above.

<b>bl_</b>	The accelerogram is baseline corrected. It may appear in an accelerogram or a Fourier component file name.
<b>cropped_</b>	The accelerogram is cropped from the original one.
<b>sm_</b>	This Fourier component file is processed by window smoothing. It may appear in an accelerogram file that is transformed from a smoothed Fourier component file using FFT.
<b>bw_</b>	This Fourier component file is filtered by the Butterworth filter. It may appear in an accelerogram file that is transformed from a filtered Fourier component file using FFT.

**4.4.2 File Name Input and File Browser**

There are many occasions where a file name is requested by P-CARES. The common approach in P-CARES is to type in a file name or browse the file system for a file name. The following picture shows this typical combination.

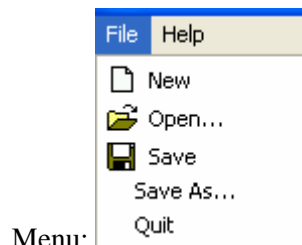
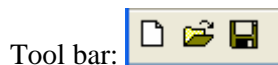
<b>Name</b>	File Name Box and Browse
	
<b>Description</b>	This is a combination of file name input box and a Browse button. The user can either type in the file name if he knows the file, or can browse the file system for the desired file name.
<b>Browse</b>	When clicked, P-CARES will show the following file browser dialog. Browsing through the directories and select the desired file.
<b>Name</b>	File Brower



<b>Description</b>	This is a standard file browser used by most applications on Windows XP. This browser has two variations: one for opening and the other one for saving. A saving browser in P-CARES asks the user's permission to overwrite a file if it has already existed in the project directory. Selecting a file will put its name to the File name text box.
<b>Open</b>	When clicked, the file name will be returned to the program that called the browser, and will usually put in the file name input box if there is one. Actions taken on this file depend on the particular application situation.
<b>Cancel</b>	When clicked, no file name will be returned to the program.

#### 4.4.3 Toolbar and Menus

**Name** | Toolbar and Menu








**Description** | Tool bar and Menu share most of their functions. These buttons and menu

	items are for project-wise operations.
<b>New</b>	When clicked, the buffer holding the master input data will be cleared. If unsaved data available, the user will be prompted for saving before clearing the buffer.
<b>Open</b>	Fires up a file browser to select a master input data file. The File extension is set to “*.mid”
<b>Save</b>	Save the master input data buffer to the file opened previously. If this is a new project and has not been saved before, a file browser will be displayed for a directory and file name; after saving, the file name will be on the title bar.
<b>Save as</b>	Save the current buffer to a file name that is return from a file browser. The current project is closed and the new project using the new mid file becomes the current project.
<b>Quit</b>	Exit P-CARES. If the project is not saved, an alert dialog will be displayed requesting the user’s confirmation for saving before exiting.

#### 4.4.4 Plotting Toolbar

For all plot panels that produce and manipulate figures, there is a toolbar attached at its bottom side to manipulate the figures on the plot panel.

<b>Name</b>	Plotting Toolbar
	
<b>Description</b>	This toolbar in P-CARES is an extended version from the original Toolbar2 of matplotlib, which is the plotting package used in P-CARES for plot generation. The buttons are for plot navigation, plot properties settings, annotation, and figure and data saving. The first seven buttons are the standard buttons from matplotlib.
<b>Home</b>	Clicking this button resets the plot to its original configuration.
	
<b>Backward</b>	Go one step back in the navigation history, which may consist of the panning and zooming actions. If there are no steps left in the history for backing, this button is disabled.
	
<b>Forward</b>	Similar to the Backward button. These forward and backward buttons work in a way similar to the navigation buttons in a web browser.
	
<b>Pan/Zoom</b>	<i>Pan mode:</i> press and hold the left mouse button and drag the plot. If key “x” or “y” is pressed while panning, the motion will be restricted to the x or y direction. <i>Zoom mode:</i> press and hold the right mouse button and drag the pointer to a new position. The x dimension of the plot will be zoomed in proportionally to
	

the rightward movement or zoomed out proportionally to the leftward motion; similar zooming for y direction if upward or downward movement involved. If “x”, “y”, or CONTROL key is pressed while zooming, the zoom will occur only in x direction, y direction, or preserving the aspect ratio.

**Window Zoom**



*Zoom in mode:* Press and hold the left mouse button, and drag to draw a rectangle that covers the region to be zoomed. Releasing the left mouse button zooms into the rectangular region.

*Zoom out mode:* using the right mouse button to draw the rectangle will make the whole plot be plotted within the rectangle.

**Fig. Margin**



Adjust the marginal spaces and the spaces in between the subplots. It will display a dialog as in Figure 4-3.

**Save Fig.**



Clicking this button displays a file save dialog to save the current plot in one of these formats: PNG, BMP, PS, EPS, and SVG.

**Save Data**



Clicking this button displays a file save dialog to save all the curves into a data file, which has special line range indicators to facilitate post process using Excel. The data file can be directly imported into Excel.

**Annotation**



Clicking this button to activate the annotation capability of P-CARES. The mouse location will be traced as a dynamically updated blue text at the lower right corner of the plot panel. Clicking left mouse button will add the (x; y) coordinates on the plot; if the clicked point is sufficiently close to a point on any curve, a red dot will be placed at that point on that curve (see Figure 4-4). If both axes are in log scale, annotation on curves does not work for a reason unknown to the authors. Annotations cannot be saved into a data file.

**Delete Annotation**



Clicking this button removes all the annotations on the plot.

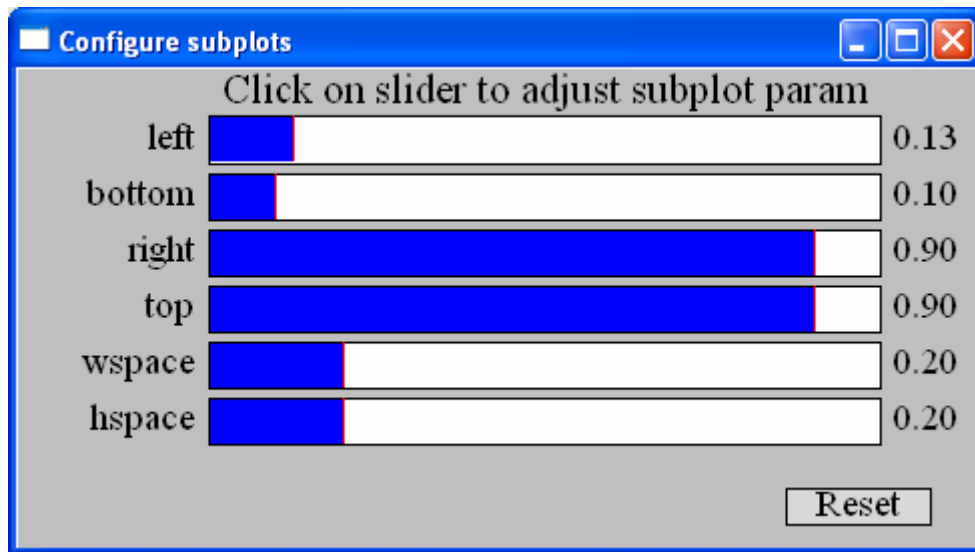


Figure 4-3 Subplot Configuration Dialog

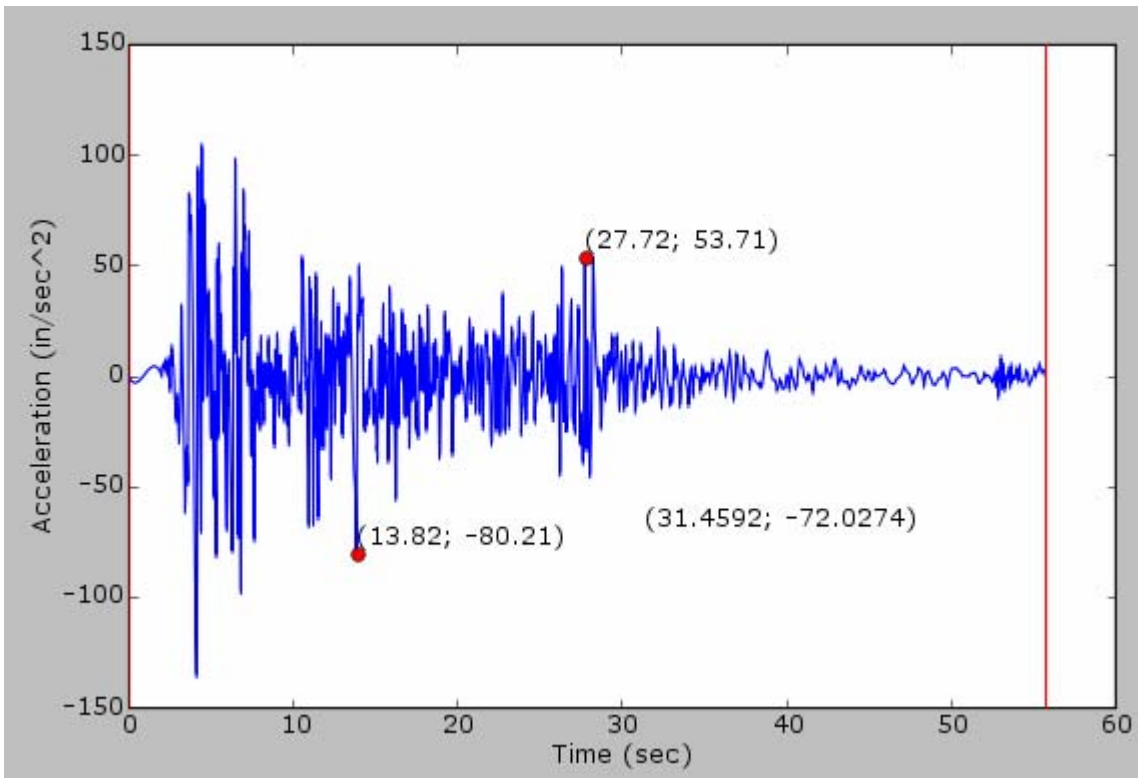


Figure 4-4 An Annotated Accelerogram

#### 4.4.5 Progress Dialog

For some lengthy calculations, such as the probabilistic simulation and the Fekete point set generation, P-CARES displays a progress dialog to instruct the user the state of the calculation and to prevent the user's confusion with a non-responsive program. The progress dialog shows the current iteration number, the total number of iterations for the whole calculation, a progressive bar, the elapsed time, estimated time, and the remaining time for the purpose of reference. The user can cancel the calculation in the middle of the process, but should be aware of the result files are invalid. Alternatively, when the calculation is expected to be completed in a short period of time, a busy mouse pointer will be shown instead of the progress dialog.

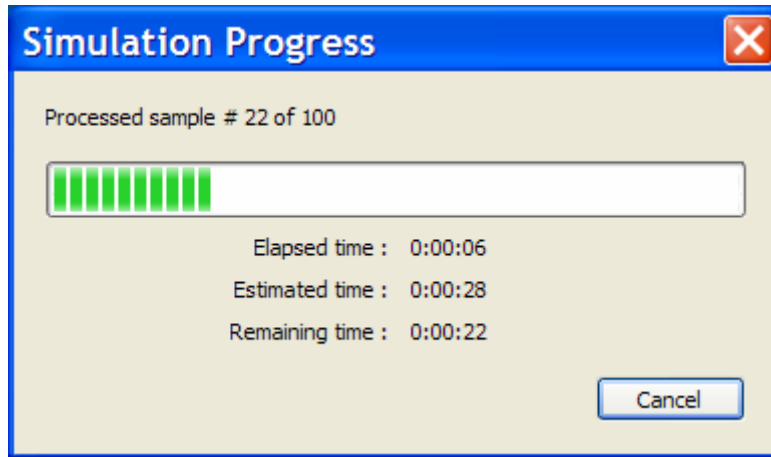


Figure 4-5 A Progress Dialog For Probabilistic Simulation

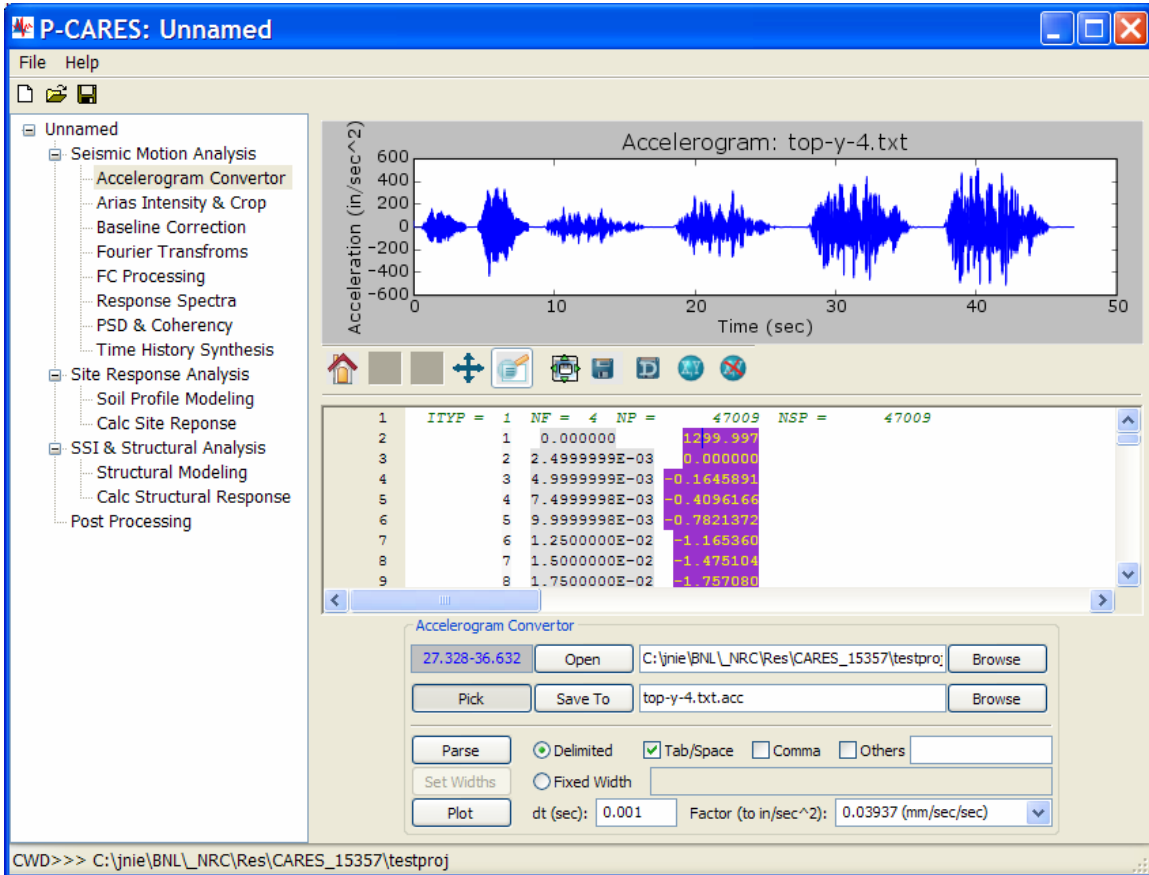
## 4.5 Seismic Motion Analysis

This section presents a detailed description of all the utility tools for the seismic motion analysis. The user should refer to Subsection 4.4 for commands not described explicitly in this section.

### 4.5.1 Accelerogram Convertor

This tool converts a raw accelerogram, either recorded or generated, from a column-oriented text format to the P-CARES format.





**Description** This utility reads in a raw accelerogram in text format and converts it into the P-CARES format. The control showing the colored text is the read-only styled text editor, in which texts are not allowed to enter and change. The raw accelerogram file can either be delimited by chars such as Tab and comma, or can define its data fields by fixed widths such as those outputs from a Fortran program. The basic procedure is (1) to click the gray space after the line number to comment out or uncomment a line, (2) to parse the file to get the colored fields, (3) to click the acceleration fields in one line, (4) to parse again to select all accelerogram data, (5) to set dt and factor and to plot, (6) to pick the desired range for saving, (7) to save to a file. Any line that cannot be parsed into number fields is automatically considered as a comment line, and does not require step (1).

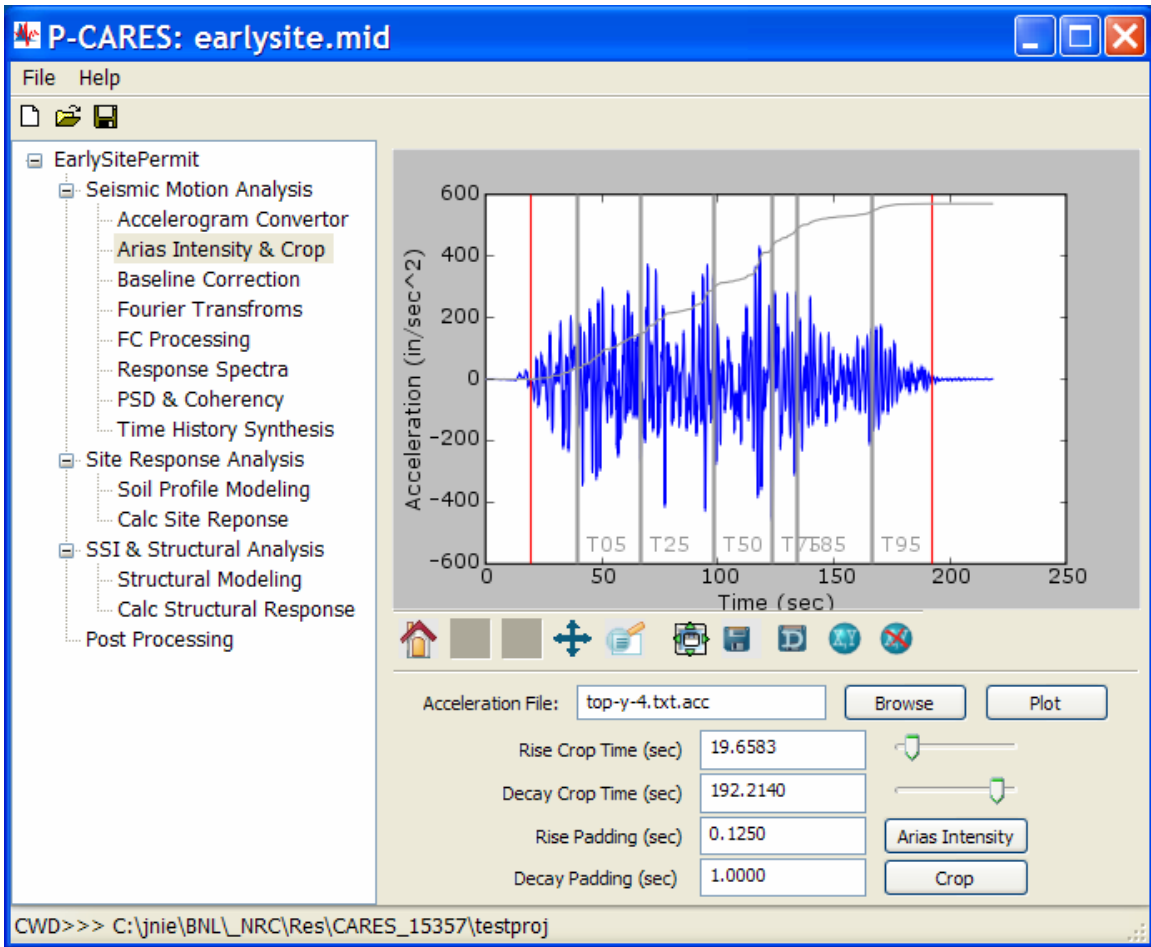
**Open** Open the file in the read-only styled text editor, provided that a file name has been typed in or browsed in.

**Parse** When clicked for the first time after the raw accelerogram file is opened, P-CARES uses the current delimiting method and parameters to colorize the data fields and make them clickable. When clicked after the user selects the data fields (one per column is sufficient), P-CARES selects all accelerogram data along each column.

**Delimited** Select this option, if the raw file is delimited with chars such as Tab, space, comma, and other arbitrary chars.

<b>Tab/Space</b>	Select this delimiter if the raw file is delimited with Tab and/or space.
<b>Comma</b>	Select this delimiter if the raw file is delimited with commas.
<b>Others</b>	Select this if the delimiter in the raw file is none of the Tab, Space, and comma. The user needs to specify the char after this option.
<b>Fixed Width</b>	Select this option if the raw file defines its data field by fixed width, e.g., formatted outputs from a Fortran program. If this option is selected, the “Set Widths” button will be enabled. The user can then type a comma separated list of column ids in the text box after this option, and then click the “Set Widths” to update the columns within the styled text editor. The user then needs to parse the raw file to get the colorized and clickable data fields. As a more convenient alternative, the user can use CTRL+F after clicking the styled text editor to activate a column picker function. A yellow popup will show the current position, and clicking at the current position sets a column id and the style text editor dynamically colorizes the current line. Use CTRL+F again to disable this function.
<b>Set Widths</b>	Click this button to refresh the internal column ids in the styled text editor when the Fixed Width option is used.
<b>dt (sec)</b>	Type in the time increment of the raw accelerogram, which can often be found in the first few lines of the raw accelerogram.
<b>Factor</b>	A factor to convert the acceleration unit in the raw accelerogram into in/sec <sup>2</sup> , which is used in P-CARES. A few common factors are provided in the drop down list.
<b>Plot</b>	After the acceleration fields are set by parsing twice and the time increment and the conversion factor are set, click this button to plot the time history in the plot panel.
<b>Pick</b>	Click this button to activate the range selection function. When enabled, the user can click and drag in the plot panel to select a range for saving. The selected range will be displayed in the shaded field above this button. Clicking the shaded field to reset the selected range to the whole record.
<b>Save To</b>	Save the selected segment of the raw accelerogram to the file supplied by the user. The output file name is automatically initialized to be the raw file + “.acc”; however the user can provide a different name.

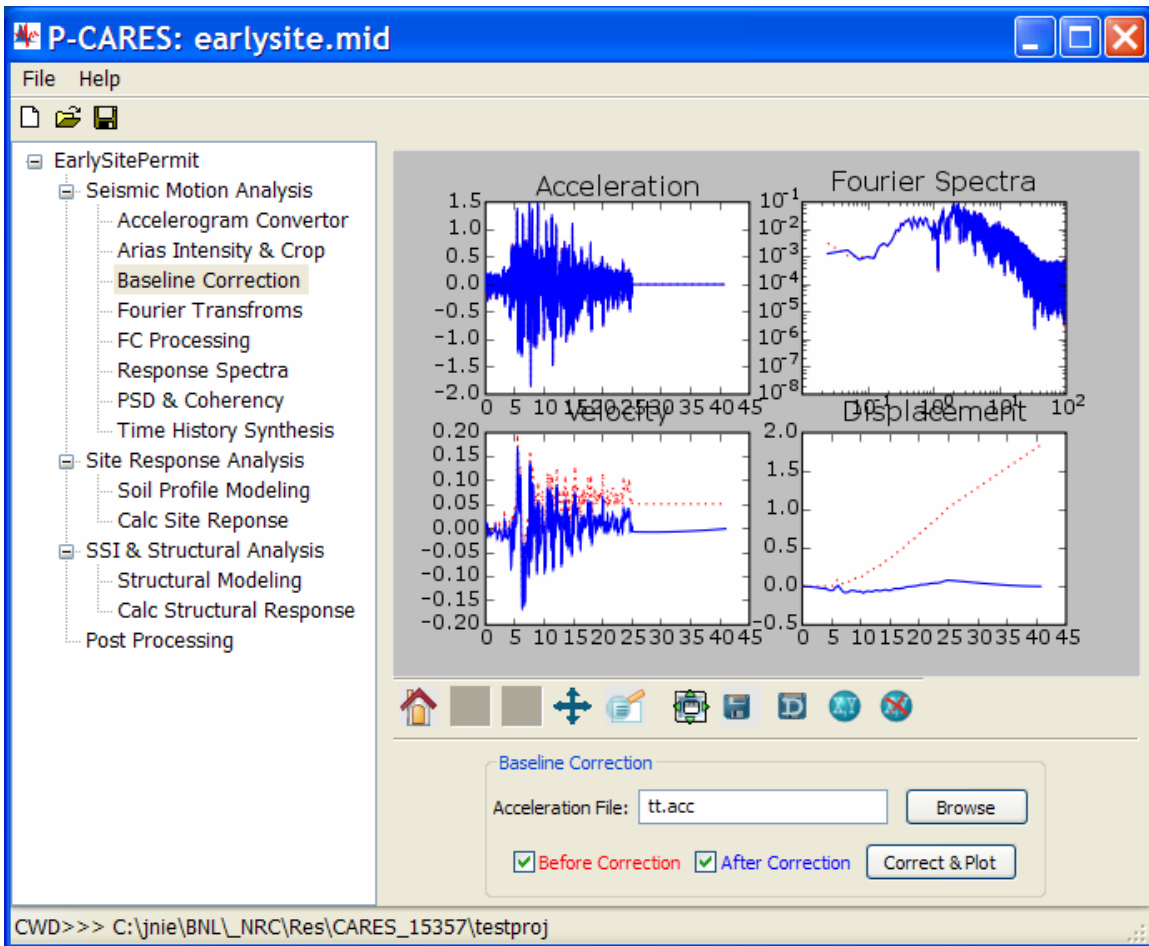
## 4.5.2 Arias Intensity and Cropping



<b>Description</b>	This utility reads in and plots an accelerogram for inspection. Cumulative Arias Intensity and a few percentile time stations can overlay on the top of the accelerogram. These overlaying curves can work as references in defining the strong ground motion and in cropping an excessively long record.
<b>Plot</b>	After a valid accelerogram file name is entered, clicking Plot button clears the plot panel and then displays the new accelerogram.
<b>Arias Intensity</b>	Clicking this button calculates and plots the cumulative Arias Intensity and some typical percentile locations for reference.
<b>Rise Crop Time</b>	Either the text box or the sliding handle can define the start time where the record would be cut. A vertical red line indicates the start time dynamically on the plot.
<b>Decay Crop Time</b>	Similar to above, but defines the end time.
<b>Rise Padding</b>	Defines the time for zero padding at the beginning of the cropped record.
<b>Decay Padding</b>	Defines the time for zero padding at the end of the cropped record.
<b>Crop</b>	Clicking this button applies the cropping operation using the rise crop time,

decay crop time, rise padding time, and decay padding time defined above. The cropped record is saved to a file under a name according to the general naming convention.

### 4.5.3 Baseline Correction

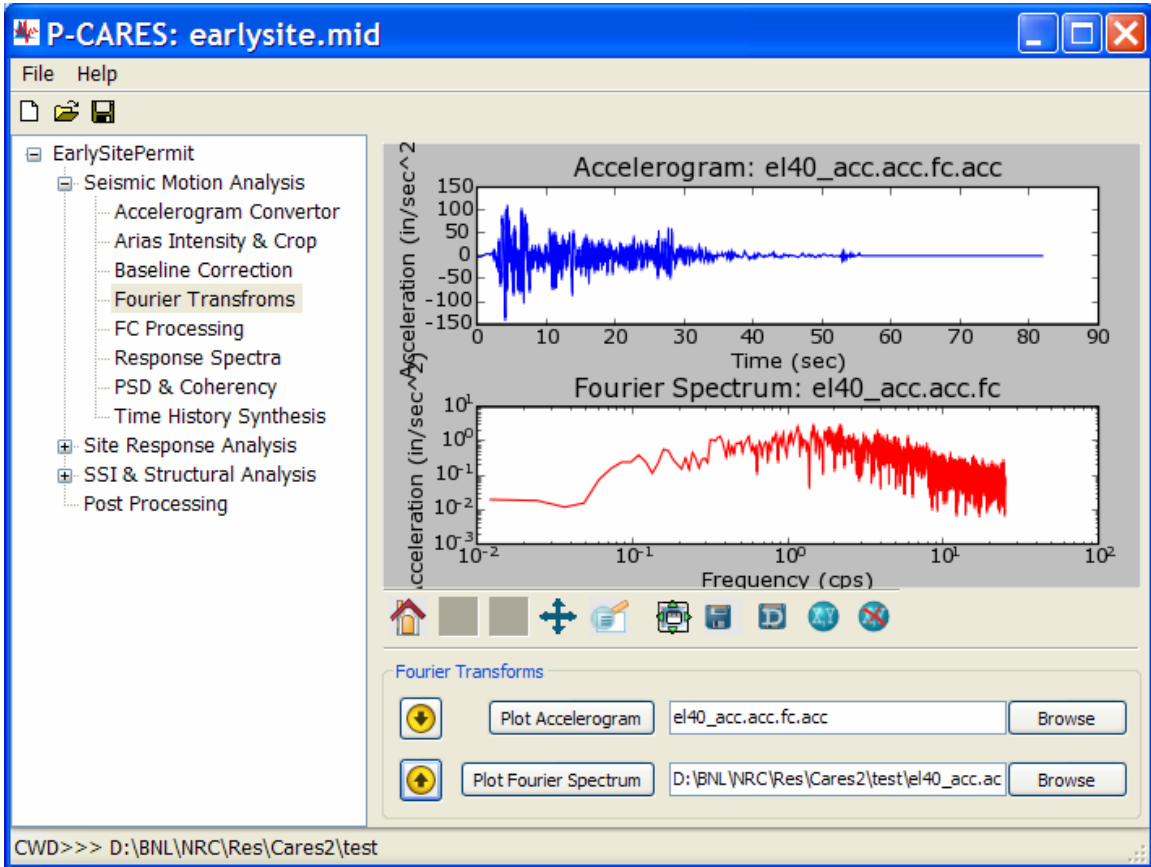


<b>Description</b>	This utility reads in an accelerogram and removes the residual velocity and displacements. It can also plot the accelerogram, Fourier spectrum, velocity, and displacement curves both before correction and after correction. The correction is based on a Lagrange multiplier method (Borsoi, L. and Ricard, A. 1985). The modifications to the accelerogram have been observed minimal, while the residual velocity and displacement can be effectively removed.
<b>Before Correction</b>	A check box opts for the set of curves (dotted red lines) corresponding to the original accelerogram.
<b>After Correction</b>	A check box opts for the set of curves (solid blue lines) corresponding to the corrected accelerogram

**Correct & Plot**

Performs the correction calculation and plots the various figures. Please note that although one can plot with different options for any number of times, the correction calculation is only carried out once for each new accelerogram. The corrected accelerogram and the Fourier spectra for both before correction and after correction are saved to files according the general naming convention.

**4.5.4 Fourier Transforms**



**Description**

This tool can reads in an accelerogram file or a Fourier component file and displays the pertinent figures. More importantly, it can transform between the time history and the Fourier spectra using FFT, and shows both figures.

**Plot Accelerogram**

Plot the time history if an accelerogram file is supplied.

**Plot Fourier Spectrum**

Plot the Fourier spectra in log-log scale if a Fourier component file is supplied.

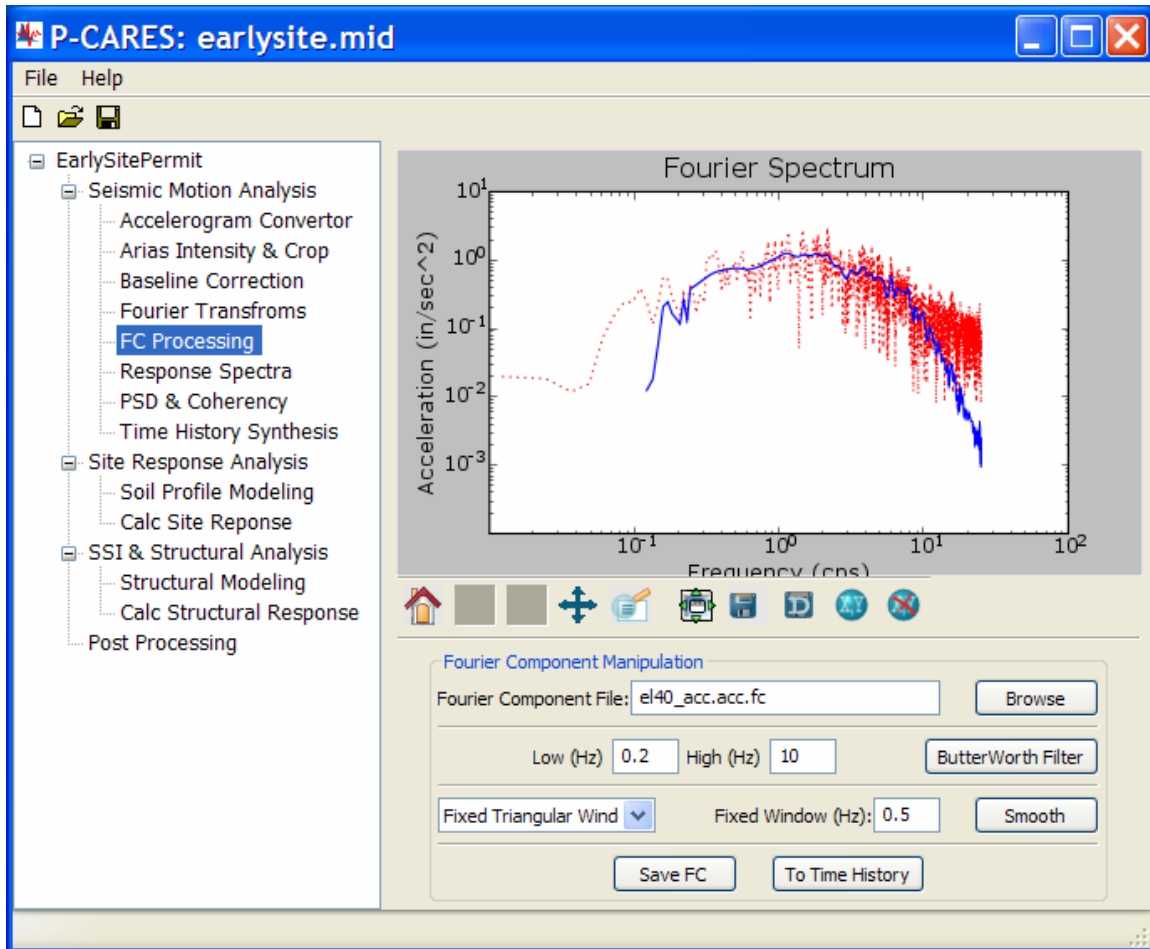


Perform the forward FFT and plot both the time history and the Fourier spectra.



Perform the inverse FFT and plot both the time history and the Fourier spectra.

## 4.5.5 FC Processing



<b>Description</b>	This utility reads in a Fourier component file and plots the Fourier spectrum in dotted red line. The Fourier components can be filtered with the Butterworth filter, and/or be smoothed with a fixed width or varying width triangular smoothing window. The original Fourier spectrum is not affected during both operations. The processed Fourier component curve (solid blue line) can be saved. Both original and processed Fourier component files can be transformed back in to time history using the inverse FFT.
<b>Browse</b>	This button differs from the one in the general description by adding actions after the file name is returned. The added actions include clearing the plot buffer and plotting the Fourier spectrum that is just read in.
<b>Low (Hz)</b>	A text box defines the low cutoff frequency for the Butterworth filter. Setting it equal to 0 turns off the high pass filter.
<b>High (Hz)</b>	A text box defines the high cutoff frequency for the Butterworth filter. Setting it equal to 0 turns off the low pass filter.
<b>Butterworth Filter</b>	Applies the Butterworth filter to the current Fourier components, while keeping the original Fourier spectrum intact. If both low and

	high cutoff frequencies are nonzero, this is effectively a band pass filter.
<b>Triangular Window</b>	Either a fixed width window or a varying width window can be specified. If a fixed width window is selected, the user needs to provide the window width in terms of frequency (Hz). If a varying width window is selected, the user needs to provide a percentage $p$ of the center frequency $f_0$ that will be used to define the window width as $pf_0$ .
<b>Frequency Window (Hz)</b>	Enter the frequency window width in this text box. The shown frequency 0.5 Hz has been used a number of applications and found works fine.
<b>Varying Window (%)</b>	(Not shown) Enter a percentage of the center frequency to define the dynamic frequency window width.
<b>Smooth</b>	Apply the triangular window smoothing.
<b>Save FC</b>	Save the processed Fourier spectra (in solid blue line) to a file under a name determined by the general naming conventions.
<b>To Time History</b>	Using the inverse FFT to transform both the original and the processed Fourier components to individual files. The names of the files follow the general naming convention.

A few examples are presented below to demonstrate the effects of the various operations. The operations taken are explained in the captions of these figures.

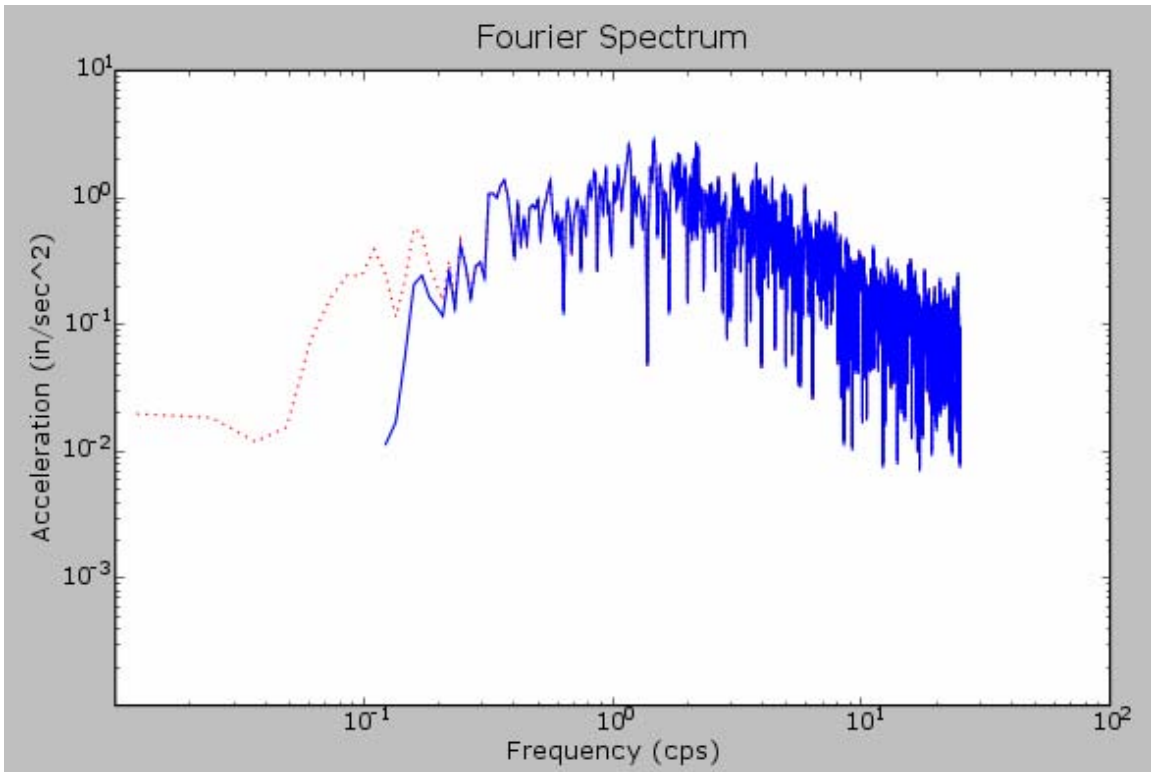


Figure 4-6 After a High Pass Butterworth Filtering (low=0.2 Hz)

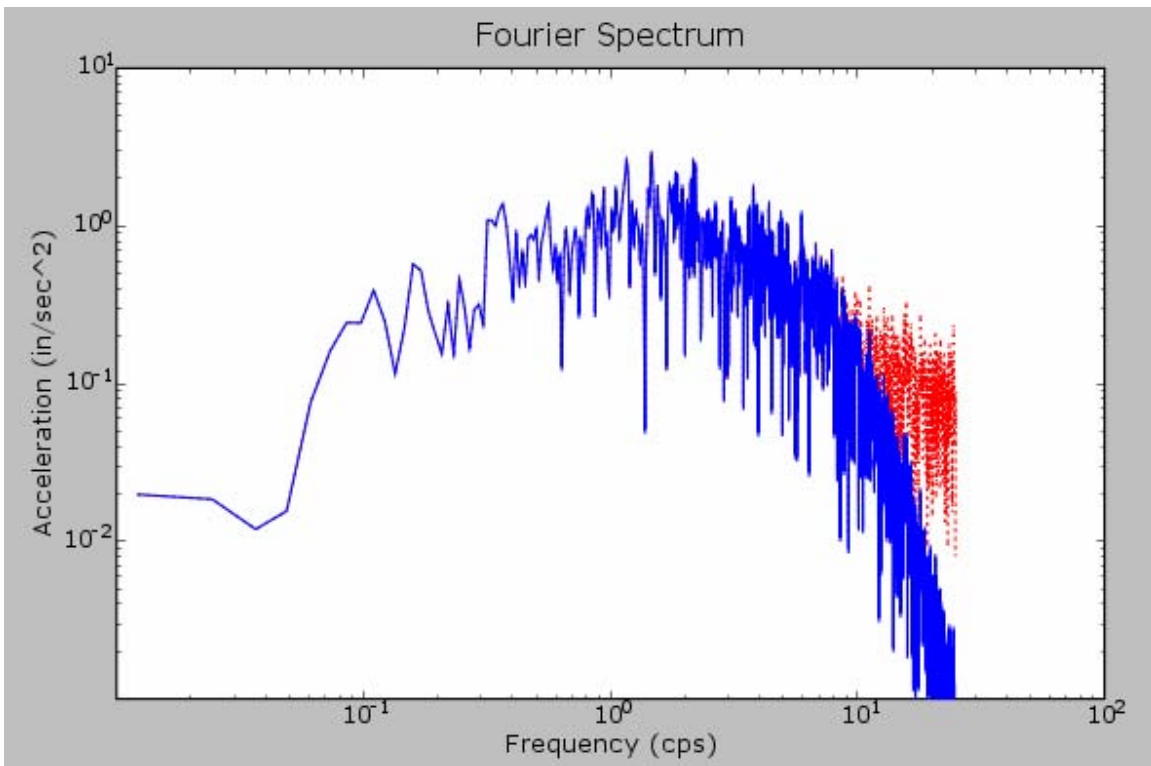


Figure 4-7 After a Low Pass Butterworth Filtering (High=10 Hz)



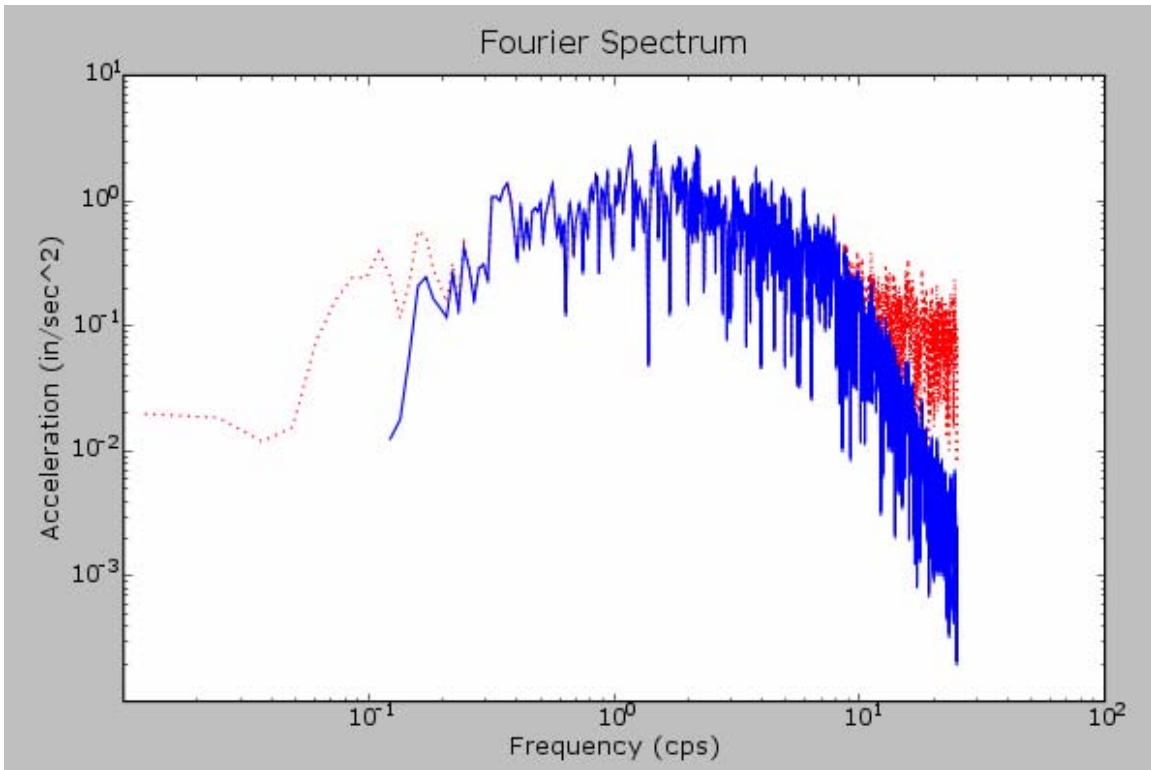


Figure 4-8 After a Band Pass Butterworth Filtering (Low=0.2 Hz, High=10 Hz)

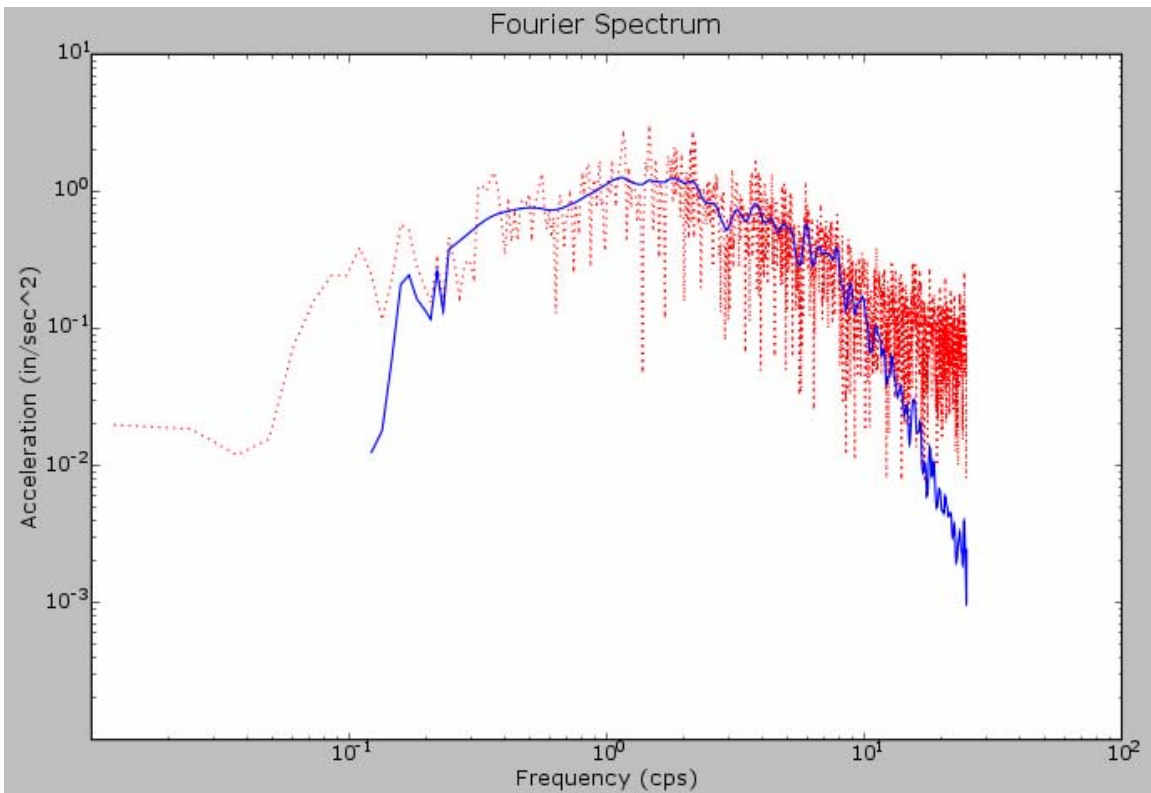


Figure 4-9 After Smoothing (fw=0.5 Hz) and Band Pass Filtering (Low = 0.2 Hz, High=10 Hz)

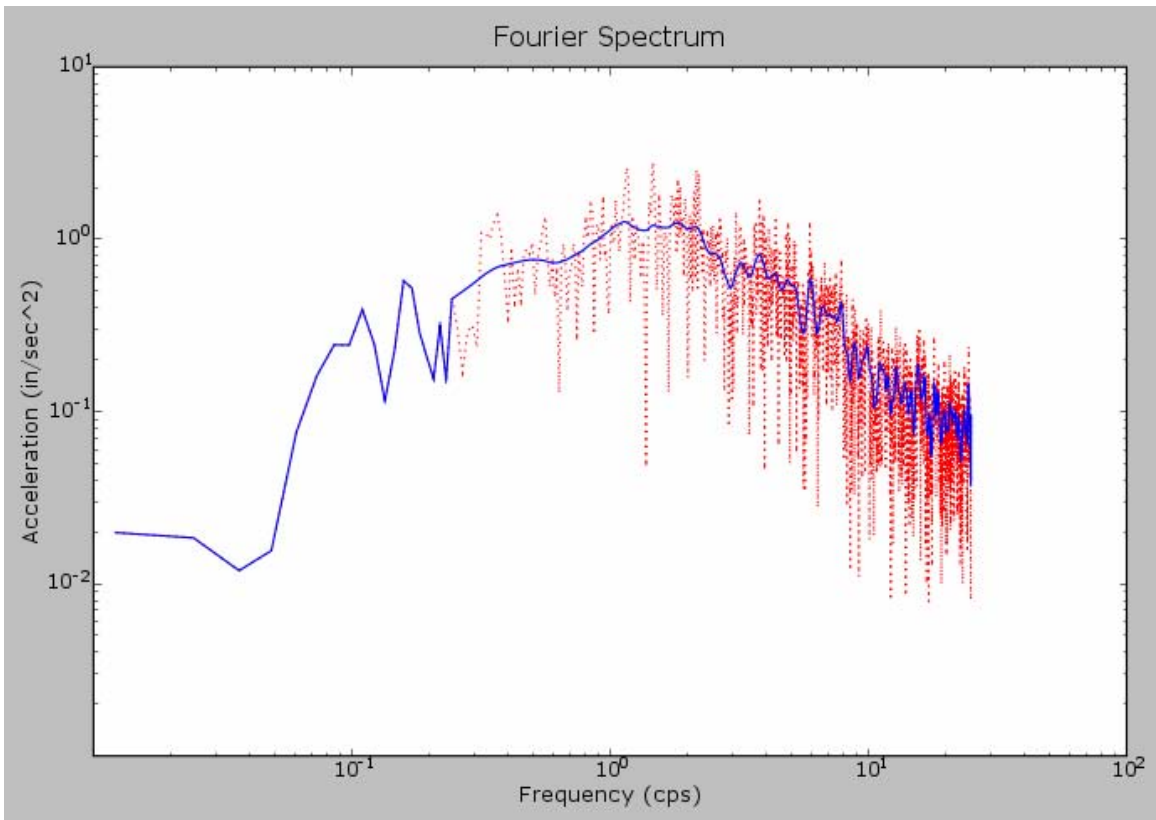


Figure 4-10 After a Fixed Width Window Smoothing (fw=0.5 Hz)

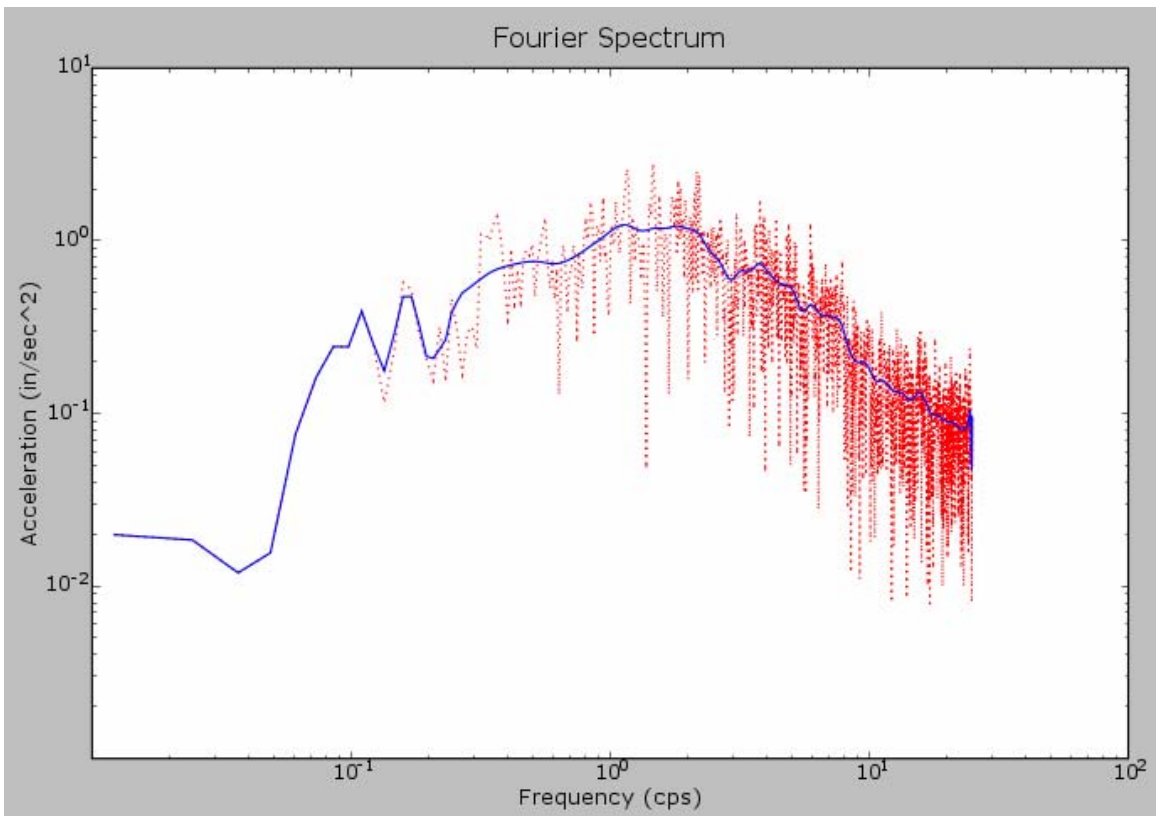
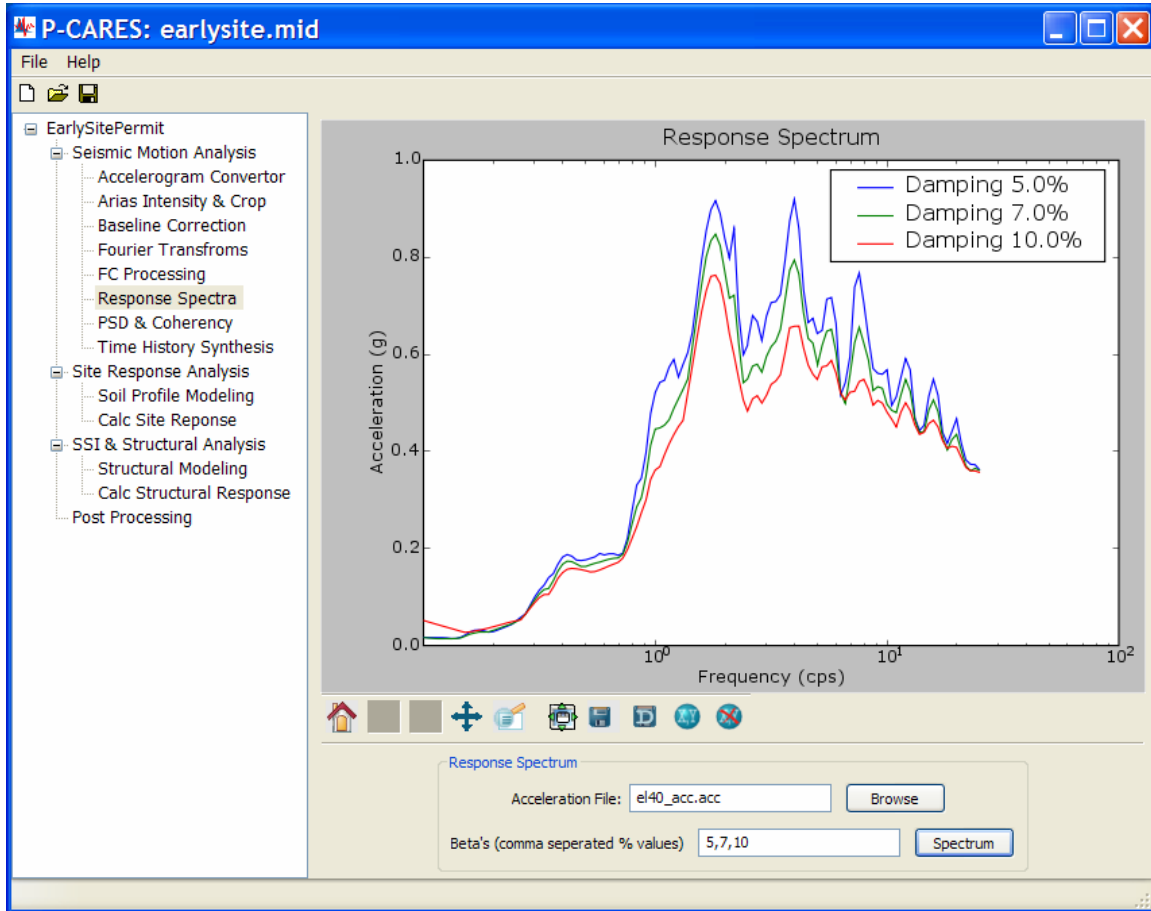


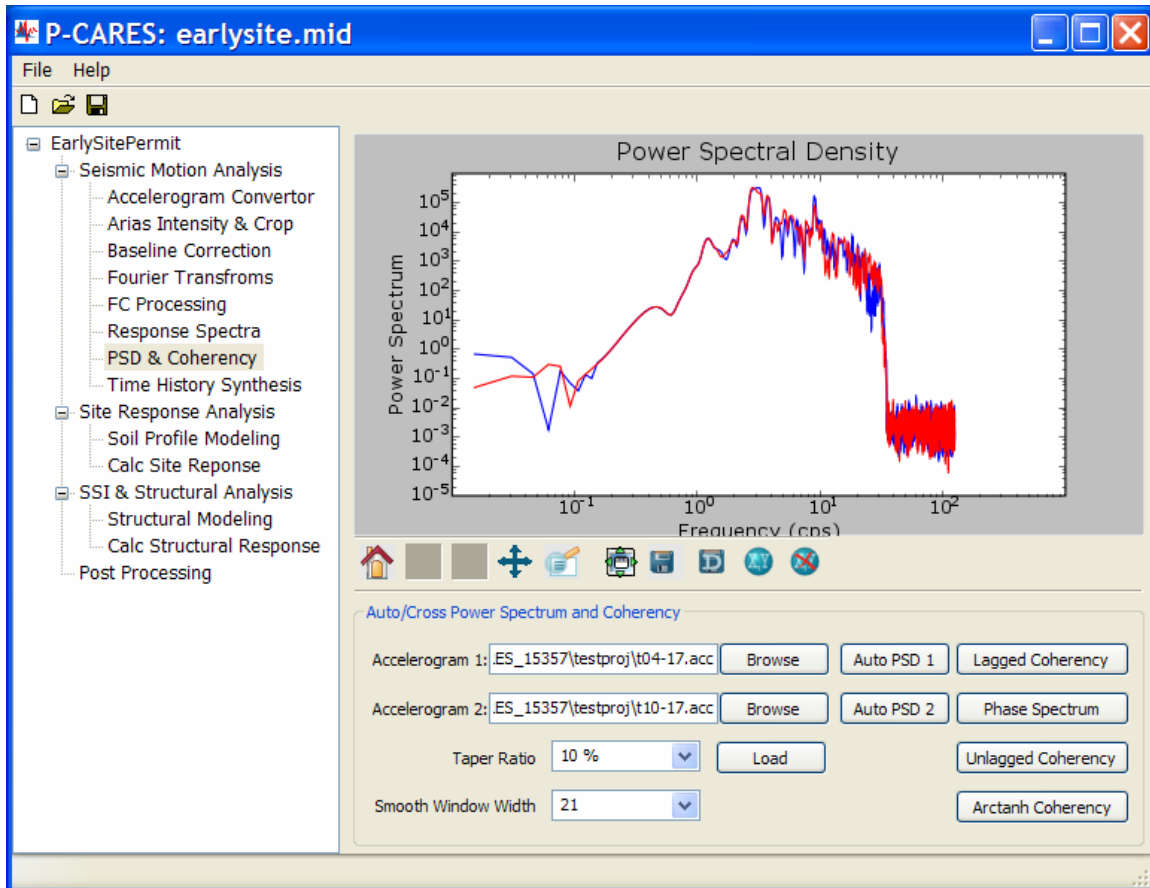
Figure 4-11 After a Varying Width Window Smoothing ( $fw=20\% f_c$ )

#### 4.5.6 Response Spectra



<b>Description</b>	This utility reads in an accelerogram and generates the response spectra plot for various user-specified dampings. The response spectra curves are identified by different colors and a legend. The generated response spectra are automatically saved to individual files using the general file naming convention. For example, three response spectra files generated in the figure above are e140_acc.acc.rs5, e140_acc.acc.rs7, and e140_acc.acc.rs10.
<b>Beta's</b>	The user can specify an arbitrary number of damping values in a comma separated format.
<b>Spectrum</b>	Clicking this button generates the response spectra, and saves the curves to files.

## 4.5.7 PSD and Coherency



**Description** This utility reads in one or two accelerograms, applies a split cosine bell taper function on them, smoothes their Fourier spectra with Hamming's window, and generates auto power spectra and various coherency plots.

**Taper Ratio** The percentage of the whole accelerogram that will be tapered using a split cosine bell function. Half of this ratio is applied at both the beginning and the end of the record.

**Smooth Window Width** The number of points of the Hamming's window. This number has to be an odd number and can only be selected from the drop down list.

**Load** Clicking this button reads in the accelerograms, applies the taper function to them, transforms them to Fourier spectra using FFT, smoothes the Fourier spectra using the Hamming's window, and generates the auto power spectrum density and the coherency.

**Auto PSD 1** Plot the auto power spectrum density for the first accelerogram.

**Auto PSD 2** Plot the auto power spectrum density for the second accelerogram.

**Lagged Coherency** Plot the lagged coherency.

**Phase Spectrum** Plot the phase spectrum of the coherency.

<b>Unlagged Coherency</b>		Plot the unlagged coherency.
<b>Arctanh Coherency</b>		Plot the arctanh coherency.

Examples of the four kinds of coherency plots are shown in the following figures.

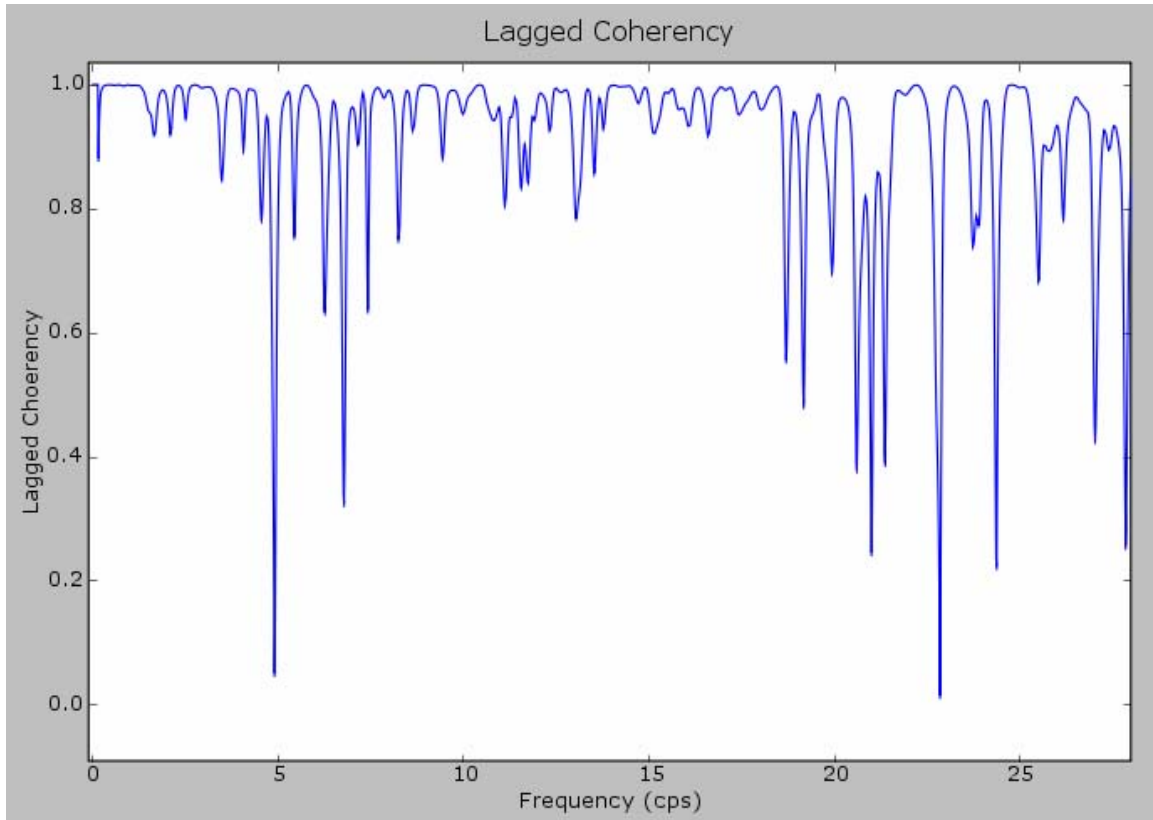


Figure 4-12 Example of Lagged Coherency

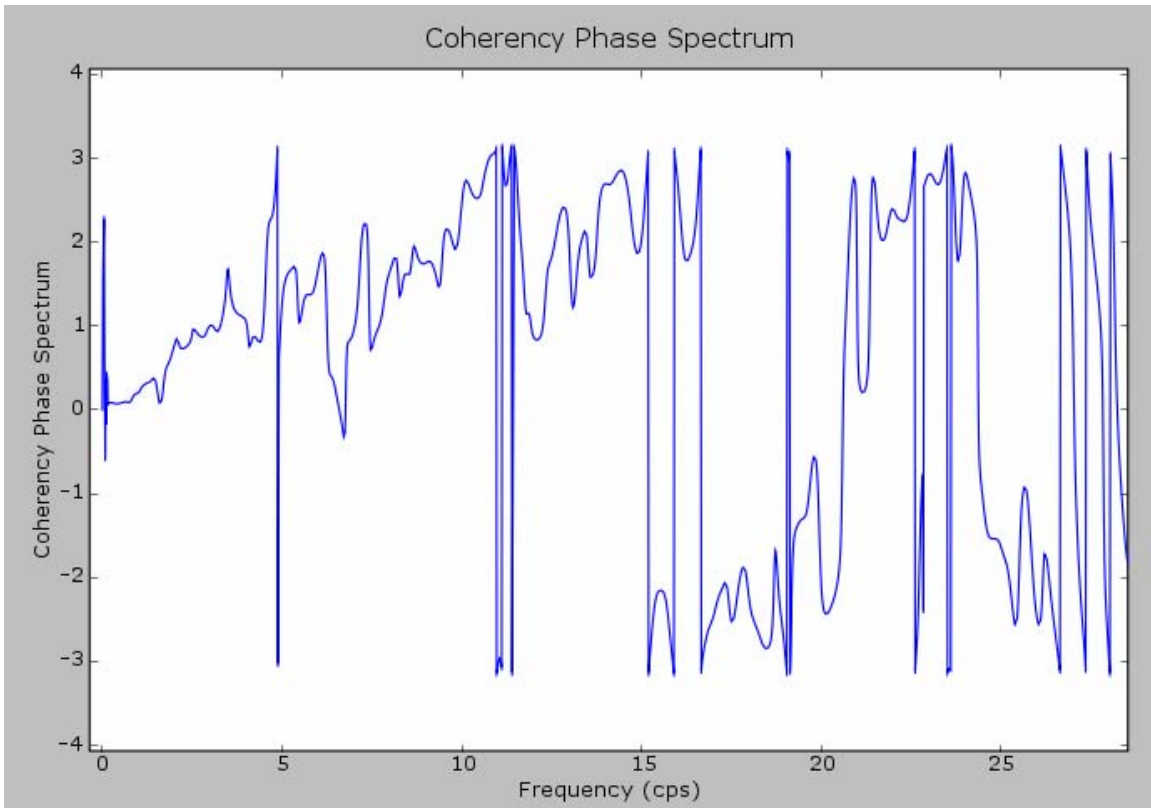


Figure 4-13 Example of Phase Spectrum

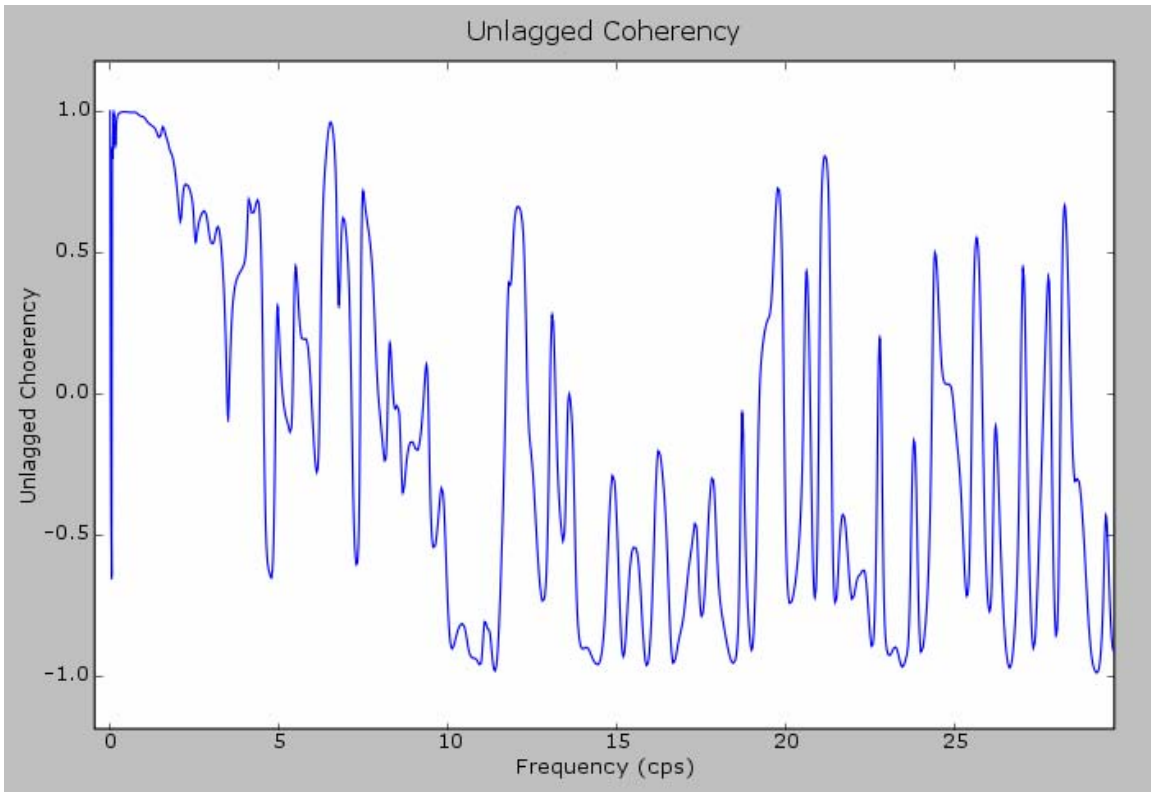


Figure 4-14 Example of Unlagged Coherency

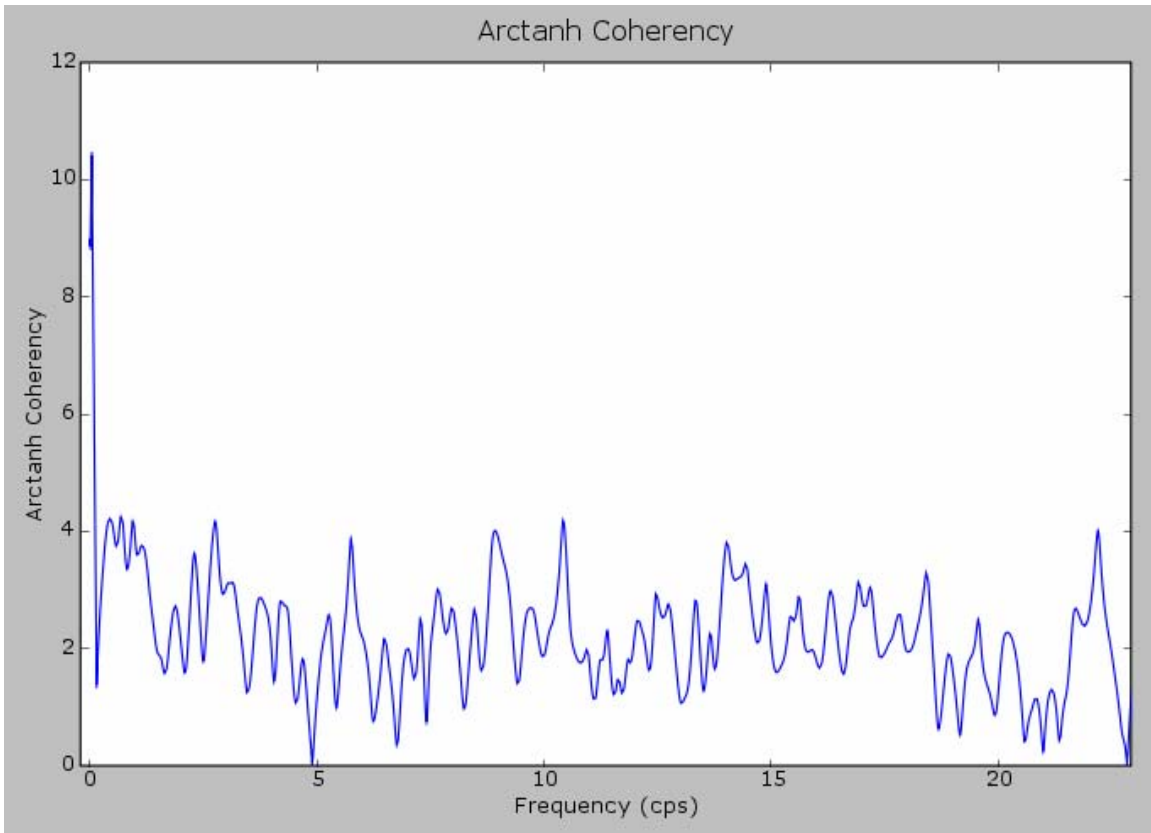
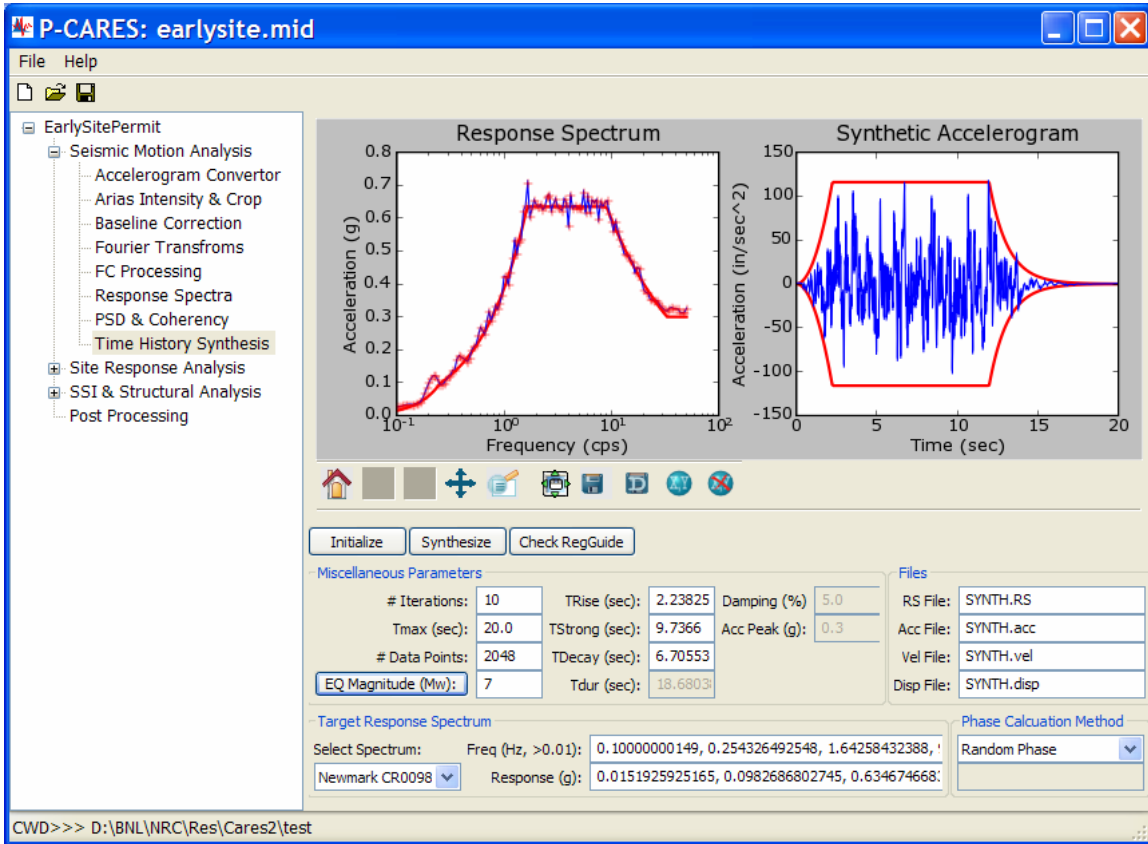


Figure 4-15 Example of Arctanh Coherency



## 4.5.8 Time History Synthesis



### Description

This utility generates an accelerogram that matches a target response spectrum. The shape of the synthetic accelerogram is modified by an envelop function to make it closer to a realistic record. The parameters defining the envelop function can be initialized by using the user-specified earthquake magnitude estimate  $M$  and be modified as desired. The record is also automatically baseline-corrected and enveloped by the maximum peak ground acceleration, which is defined by the last point of the target response spectrum. The response spectrum of the synthetic accelerogram is plotted over the target response spectrum after specified iterations of generation, and the synthetic time history is plotted with the envelop function. The response spectra are linearly interpolated in the log-log scale.

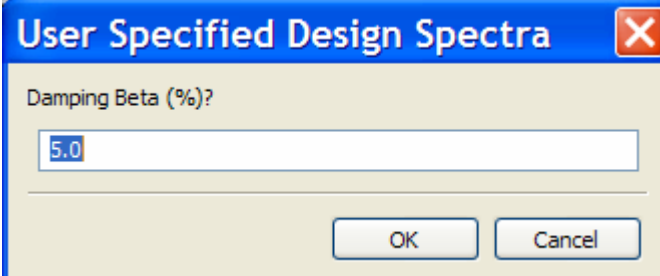
The user needs to first select a spectrum predefined in P-CARES or to type in a spectrum. A phase calculation method is initially the random phase method but can be chosen as reading from a Fourier spectra or a time history. After providing other parameters, the user can initialize the synthesis process and then apply the specified number of iterations by clicking the “Synthesize” button. The user can also click “Check RegGuide” button to validate the generated time history against the requirement of RegGuide 1.60. If the generated synthetic accelerogram is not satisfied, additional iterations can be performed by clicking the “Synthesize” button for more than one times, or the generation process can be re-initialized with a new set of phase angles if the random phase generation method is selected.



## Select Spectrum

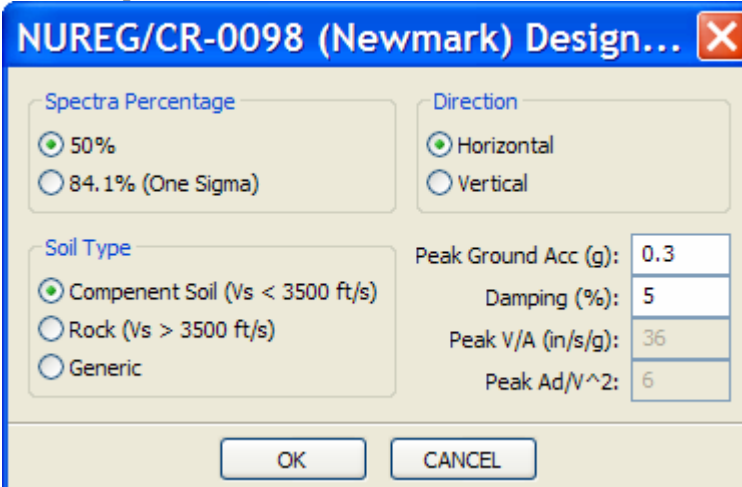
Select a predefined target spectrum or type in a spectrum if the “User specified” option is selected. Selecting a predefined spectrum will automatically fill in the input boxes for frequencies and the spectrum values.

If “User specified” is selected, the user is prompted to provide a damping value and P-CARES also expects the user to type in the frequencies and the response spectral values in the input boxes. The dialog for the damping is shown below,



The dialog box titled "User Specified Design Spectra" has a close button (X) in the top right corner. It contains a label "Damping Beta (%)?" above a text input field containing the value "5.0". At the bottom, there are two buttons: "OK" and "Cancel".

If the “Newmark CR0098” option is selected, a dialog as in the following is shown for the user to select or provide various parameters to define a Newmark CR0098 spectrum. The parameters required in the following dialog are self explanatory.

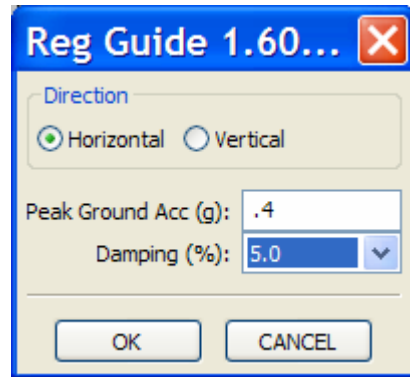


The dialog box titled "NUREG/CR-0098 (Newmark) Design..." has a close button (X) in the top right corner. It contains several sections:

- Spectra Percentage:** Radio buttons for "50%" (selected), "84.1% (One Sigma)".
- Direction:** Radio buttons for "Horizontal" (selected), "Vertical".
- Soil Type:** Radio buttons for "Component Soil (Vs < 3500 ft/s)" (selected), "Rock (Vs > 3500 ft/s)", "Generic".
- Peak Ground Acc (g):** Input field with value "0.3".
- Damping (%):** Input field with value "5".
- Peak V/A (in/s/g):** Input field with value "36".
- Peak Ad/V^2:** Input field with value "6".

At the bottom, there are two buttons: "OK" and "CANCEL".

If the “Reg Guide 1.60” option is selected, a dialog as shown in the following prompts the user to select parameters to define a Regulatory Guide 1.60 spectrum. There are only 5 different damping values are available.



<b>Phase Calculation Method</b>	Three methods are available to define the phase angles for the synthetic time history. The first method uses a sequence of randomly generated phase angles, the second method reads in the phase angles from an existing Fourier spectra file, and the third one reads in an accelerogram file and uses FFT to generate the phase angles. When the last two options are selected, a file browser will be shown for the user to select a file. For compatibility, Tmax and the number of points will be set equal to those from the file for the last two options.
<b># Iterations</b>	The number of iterations to be performed for each click of the “Synthesize” button.
<b>Tmax</b>	The maximum duration of the synthetic time history. If the phase angles are read from a Fourier spectra file or a time history file, the Tmax will be the same as the existing record and cannot be modified.
<b># Data Points</b>	The number of points used for the time history. It must be a power of 2. If the phase angles are read from a Fourier spectra file or a time history file, the number of points is fixed to that of the record and cannot be modified.
<b>EQ Magnitude</b>	Enter in the text box an earthquake magnitude estimate M and click this button to calculate the rise time, strong motion duration, and the decay time, which however can be modified. The duration time is simply the summation of these three periods.
<b>Damping</b>	Different target spectrum uses different ways to define the damping. This is not editable.
<b>Acc PGA</b>	It refers to the last response spectral value in the target spectrum. This is not editable.
<b>Files</b>	File names for the synthetic time history, including the acceleration, velocity, displacement, and the response spectra. These files are automatically saved at the end of each click of the “Synthesize” button.
<b>Initialize</b>	Initialize the synthesis process after all parameters are set. Clicking this button regenerates the phase angles if a random phase calculation method is used.
<b>Synthesize</b>	Applies the specified number of iterations in the generation process. More than one click of this button can be made to apply more iterations. At the end, the synthetic time history and the corresponding response spectrum will be plotted.
<b>Check</b>	Clicking this button will check the synthetic time history against the

#### 4.6 Site Response Analysis

Clicking the “Site Response Analysis” in the command tree panel shows a window as in Figure 4-16, informing the user the major components of this module. The general procedure for this module is first to prepare the soil column model and SSI model (foundation), and then select the analysis type and perform the calculation. All required information can be entered through a few convenient forms in the soil profile modeling interface. The calculation is highly automated to facilitate the simulation.

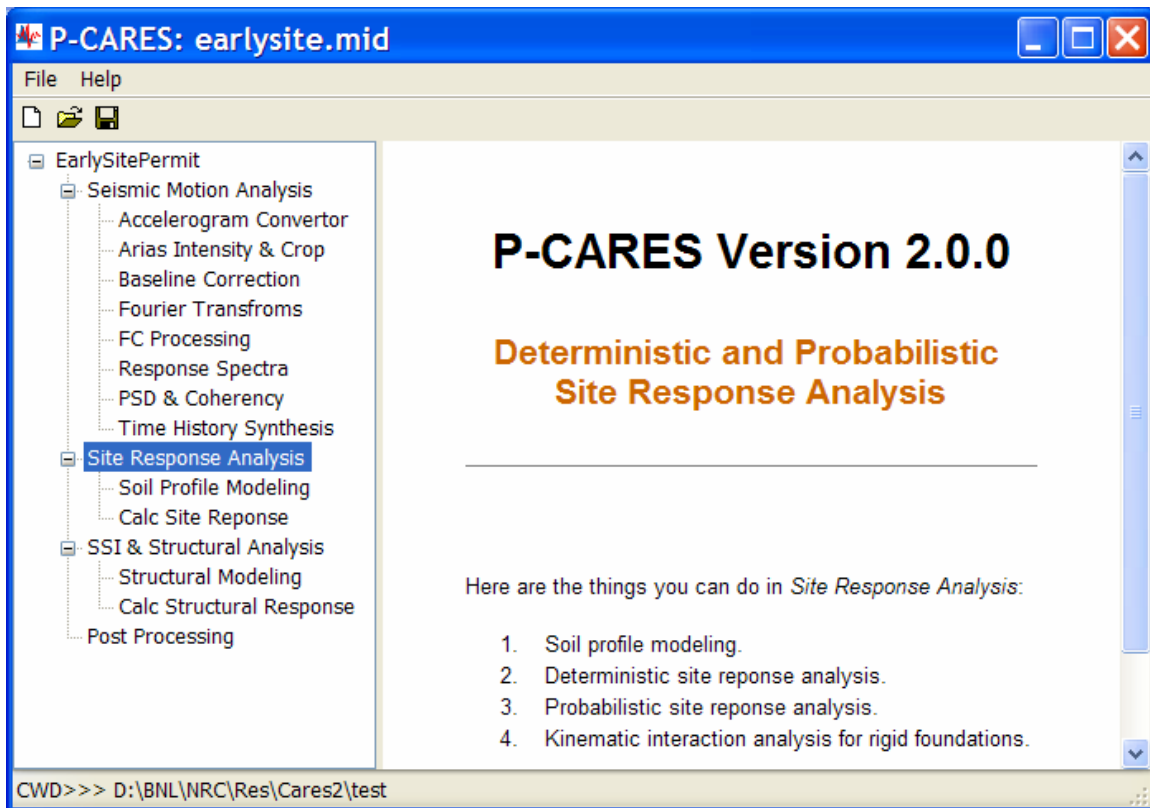
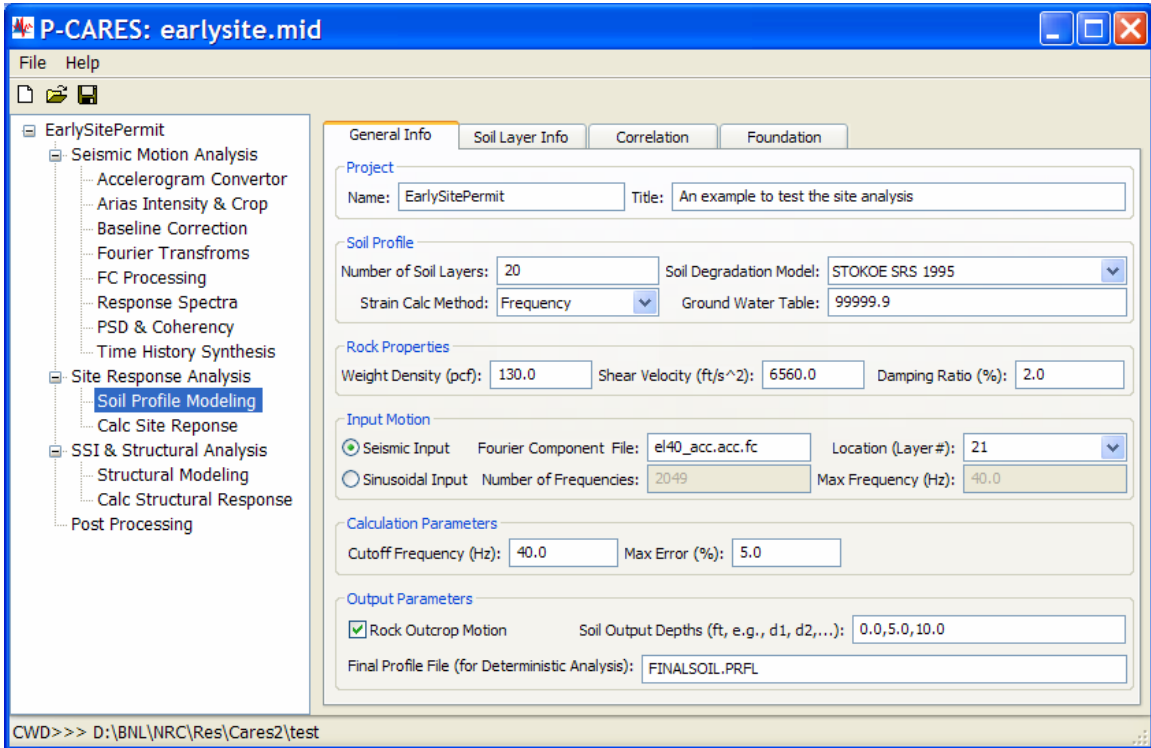


Figure 4-16 Site Response Analysis Interface

There are four forms in the soil profile modeling command. The first one requests the general information of the soil column that is not specific to any soil layers. Through a set of layer navigation commands, the second form can process the soil properties in a layer-by-layer fashion. These layer soil properties include the point values of the layer thickness, low strain soil density, and shear wave velocity for deterministic analysis and the corresponding distribution parameters of these variables for the probabilistic analysis. It also requires the Poisson's ration for SSI analysis. The third form dedicates to assist the layer correlation data input. The fourth form is optional and defines the foundation data if the SSI input motions for the structural analysis is desired. These forms are introduces in sequel in the following.

### 4.6.1 General Information for Soil Column



**Description**

This form accepts user’s input for information related to the entire soil column. Related items are grouped together in a rounded box with a blue tag indicating the purpose of the group. The form can dynamically update the status of other items if a changing item incurs other items to change. For example, if the number of layers is changed, the choices for application location of the seismic input motion are updated accordingly. Items irrelevant to the current input data are disabled (grayed out).

**Name**

The name of the project. It will be displayed at the root of the command tree on the left of the main GUI.

**Title**

A descriptive string to explain more the nature of current project. It can contain any characters.

**Number of Soil Layers**

The number of the soil layers in the one dimensional soil column model.

**Soil Degradation Model**

This is a choice control that provides seven soil degradation models: SEED-IDRISS 1970, IDRISS 1990, GEI ORIGINAL FROM SRS, STOKOE SRS 1995, GEOMATRIX 1990, EPRI-93 COHESIONLESS SOIL, CONSTANT MODEL, and A USER model. The SEED-IDRISS 1970, IDRISS 1990, and the STOKOE SRS 1995 models require the user to provide soil types when defining the soil layer information. The GEI ORIGINAL FROM SRS, GEOMATRIX 1990, and EPRI-93 COHESIONLESS SOIL models determine the soil shear modulus and damping degradation curves by depths and require no more information from the user. The later two requires the user to

	provide the degradation curves for the shear modulus ratio and the damping. This choice updates the options in the soil type in the form for soil layer information.
<b>Strain Calc Method</b>	Specify whether the strain is calculated in the time or frequency domain.
<b>Ground Water Table</b>	The depth to the ground water table (ft). Specifying a big number, e.g. the default value 99999.9, effectively turns off the consideration of water table effect.
<b>Rock Weight Density</b>	Specify the weight density (pcf) of the base rock. If the input motion is not specified at the rock outcrop and no output motions are required for the base rock, all rock properties need not be provided.
<b>Rock Shear Velocity</b>	Specify the rock shear wave velocity (ft/sec/sec) of the base rock. If rock outcrop is not involved in the calculation, this property need not be provided.
<b>Rock Damping Ratio</b>	Specify the rock damping ratio (%) of the base rock. If outcrop is not involved in the calculation, this property need not be provided.
<b>Seismic Input</b>	This option indicates that the type of input motion is a Fourier component file. This option disables the number of frequencies and the maximum frequency controls, which are used for the sinusoidal input option.
<b>Fourier Component File</b>	A valid Fourier component file as the input seismic motion. This file must be in the current project directory.
<b>Location (Layer #)</b>	Specify the layer number at which the seismic motion or sinusoidal input is applied. A layer number 0 means the ground surface, and the number of soil layer plus 1 means the rock outcrop. The user can also select the layer number from the drop down list. For the user's convenience, the ground surface and the rock outcrop always appear at the top of the list.
<b>Sinusoidal Input</b>	This option indicates that the input motion is a series of unit pulses for a range of frequencies defined by the number of frequencies and the maximum interested frequency. This option disables the Fourier Component File text box.
<b>Number of Frequencies</b>	Specify the number of frequencies to be used in the sinusoidal input.
<b>Max Frequency</b>	Specify the maximum frequency (Hz) that the user is interested in for the analysis.
<b>Cutoff Frequency</b>	A cutoff frequency for the strain calculation. A large number effectively turns off the cutoff effect, e.g., the default value of 1000 Hz.
<b>Max Error</b>	The allowable maximum relative error (%) in strain calculation.
<b>Rock Outcrop Motion</b>	A check box to signify that the rock outcrop motion is requested for output. The rock outcrop motion is only available for a deterministic analysis. The output file name is "ROCKOUTCROP.FC".

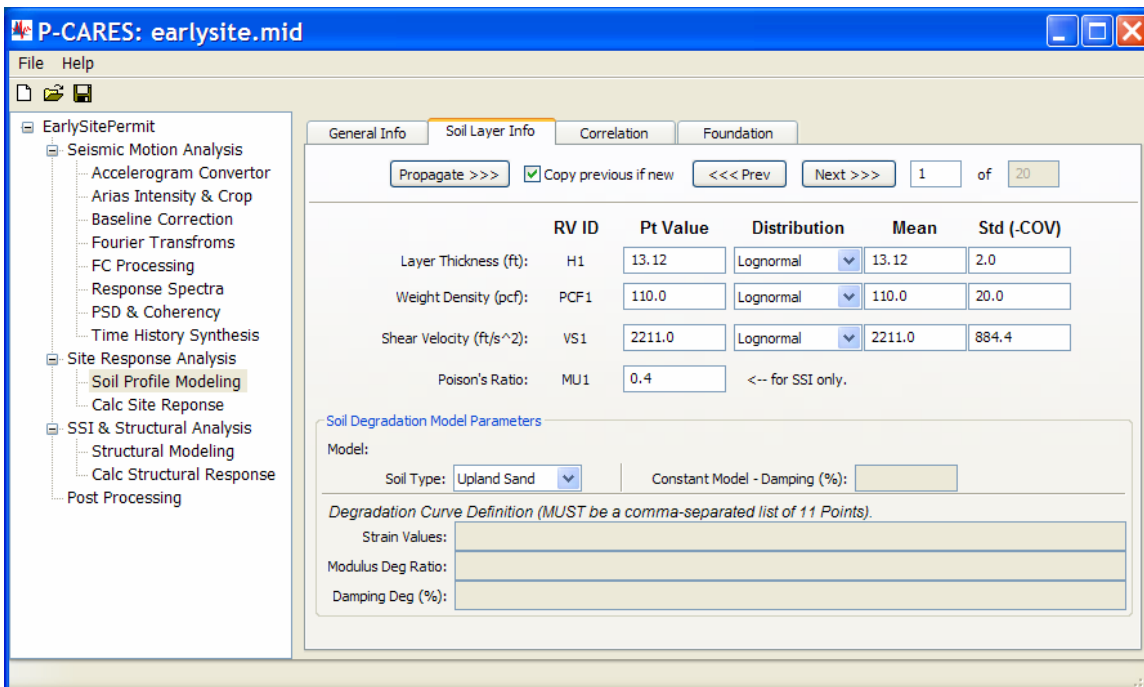
**Soil Output Depths**

A list of comma-separated depths (ft) in the soil deposit for the soil output motion, e.g., 0.0, 5.0, 10.0. In deterministic analyses, these output motions are saved in individual files under names like “accout#.fc”, where # means the sequence number in the output depth list. In probabilistic analyses, these output motions are stored in a database file named “SOILRESPONSE.DB”.

**Final Profile File**

The file to store the final soil profile in the deterministic soil convolution analysis. If a probabilistic analysis is performed, the final profiles are stored in a database file named “SOILPROFILE.DB”.

**4.6.2 Soil Layer Information**



**Description**

This form allows the user to specify the layer properties through a set of convenient navigation commands. The required layer properties include the layer thickness, weight density, low strain shear wave velocity, and the soil type. If kinematic interaction analysis is included in the site response analysis, the user needs to supply the Poisson’s ratio as well. If a deterministic analysis is to be performed, the user does not need to specify the distribution information (distribution, mean, and standard deviation). Controls related to the layer properties will be described first in the following, and the description of the navigation commands will be provide after.

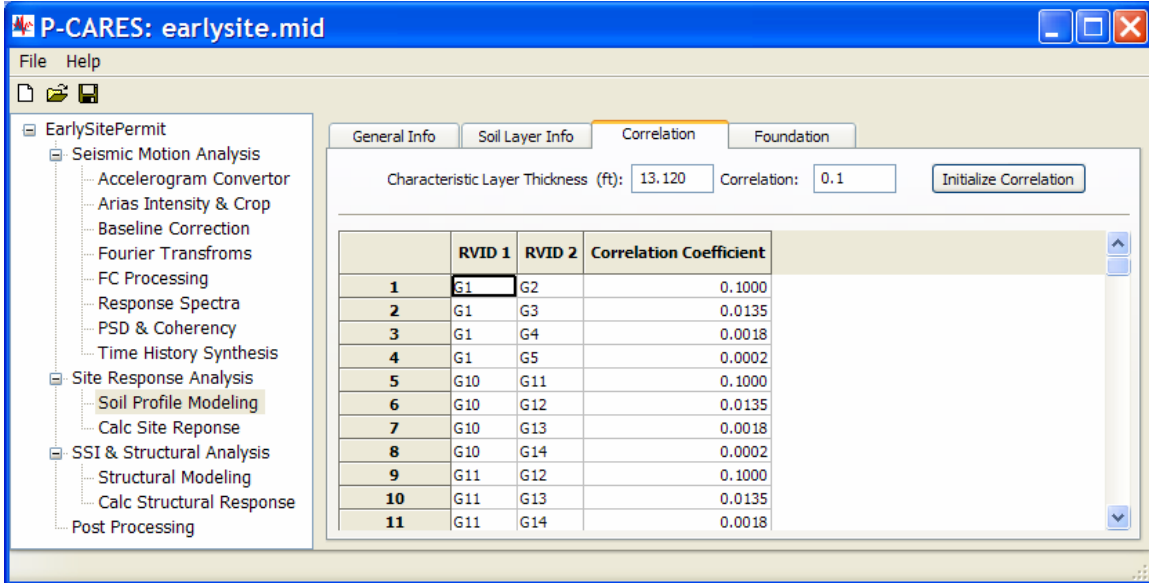
**RV ID**

The first three identifiers in this column are the variable names used to identify uniquely the layer thickness, weight density, and shear wave velocity for all layers. The fourth identifier indicates the Poisson’s ratio. These identifiers are not editable.

<b>Pt Value</b>	The first three values in this column are the point values for the layer thickness (ft), the weight density (pcf), and the shear wave velocity (ft/sec/sec), which are used in deterministic analysis. These values often equal the mean values, however can take any values for the purpose of parametric studies. The Poisson's ratio is used in the kinematic interaction analysis.
<b>Distribution</b>	This column presents the distribution types as choice controls. Although all random variables are currently assumed of lognormal distribution, implementing these items as choice controls in the GUI facilitates the future adoption of other probabilistic models.
<b>Mean</b>	This column contains the mean value input boxes for the layer thickness (ft), the weight density (pcf), and the shear wave velocity (ft/sec/sec).
<b>Std (-COV)</b>	This column includes the standard deviations for the layer thickness (ft), the weight density (ft), and the shear wave velocity (ft/sec/sec). If a negative number is entered, it is interpreted as the coefficient of variation (COV), and is replaced by the calculated standard deviation. (Std = COV * Mean).
<b>Soil Type</b>	A choice box to specify the type of soil for the current layer. The available choices in this box are determined by the current soil degradation model, which is located in the general information form. This item may be disabled if the soil degradation model does not need a soil type. If the soil degradation model is Seed-Idriss 1970 model or Idriss 1990 model, the available choices are "Sand" and "Clay". The available soil types for Stokoe SRS 1995 model are "Upland Sand", "Tobacco Road", "Dry Branch", "Shallow Clay", "Deep Sand", and "Deep Clay". All other models do not require this choice.
<b>Constant Model = Damping (%)</b>	If the constant soil model is chosen, the user needs to supply a damping value for each layer. The damping and the shear modulus do not change as the strain varies in this model.
<b>Strain Values</b>	If the user soil model is chosen, the user is required to provide the degradation curves for the shear modulus and the damping, which are represented by comma-separated list of 11 values. Use this box to define the strain values. The list of strain values are shared by all layers.
<b>Modulus Deg Ratio</b>	If the user soil model is chosen, use this box to define the 11 values for the degradation ratio on the shear modulus degradation curve. The maximum value of 1 represents the low strain soil.
<b>Damping Deg (%)</b>	If the user soil model is chosen, use this box to define the 11 damping values for the damping degradation curve.
<b>Propagate&gt;&gt;&gt;</b>	Clicking this button propagates the properties of the current layer to all layers below. This is a way to quickly initiate all layer properties.
<b>&lt;&lt;&lt;Prev</b>	Proceed to the previous layer and retrieve its layer properties.
<b>Next&gt;&gt;&gt;</b>	Proceed to the next layer and retrieve its layer properties.
<b>Copy previous if new</b>	If checked, clicking <b>Next&gt;&gt;&gt;</b> will copy the current layer properties to the next layer, however only if the next layer is blank.
<b>Current Layer</b>	The editable text box without a label contains the current layer id. The user

**Id** can type in a valid layer id and press ENTER to proceed to that layer directly.

### 4.6.3 Correlation of Random Vector



**Description** This form provides ways to enter the layer-to-layer correlation coefficients for different random variables. It provides a fast way to populate the table using an exponential spatial rule, and a manual way to add, delete, edit the correlation between any two random variables.

**Characteristic Layer Thickness** Used for specifying the broadness of the exponential rule. For any two layers with a mid-to-mid distance within the characteristic layer thickness (ft), the correlation coefficient equals the value in the correlation input box; otherwise, the coefficient decays exponentially as the distance grows. This value defaults to the average thickness of all layers using their point values. See theoretic basis section for more information on the exponential spatial rule.

**Correlation** The maximum coefficient of correlation, with an initial value 0.1.

**Initialize Correlation** Clicking this button clears the correlation table first, and populates the table with a new set of coefficients according to the exponential rule.

**RVID1** This column represents the first random variable in the correlation. Each cell has a drop list for the user to select the random variable id.

**RVID2** Ditto.

**Correlation Coefficient** A cell accepts a real number for the coefficient.



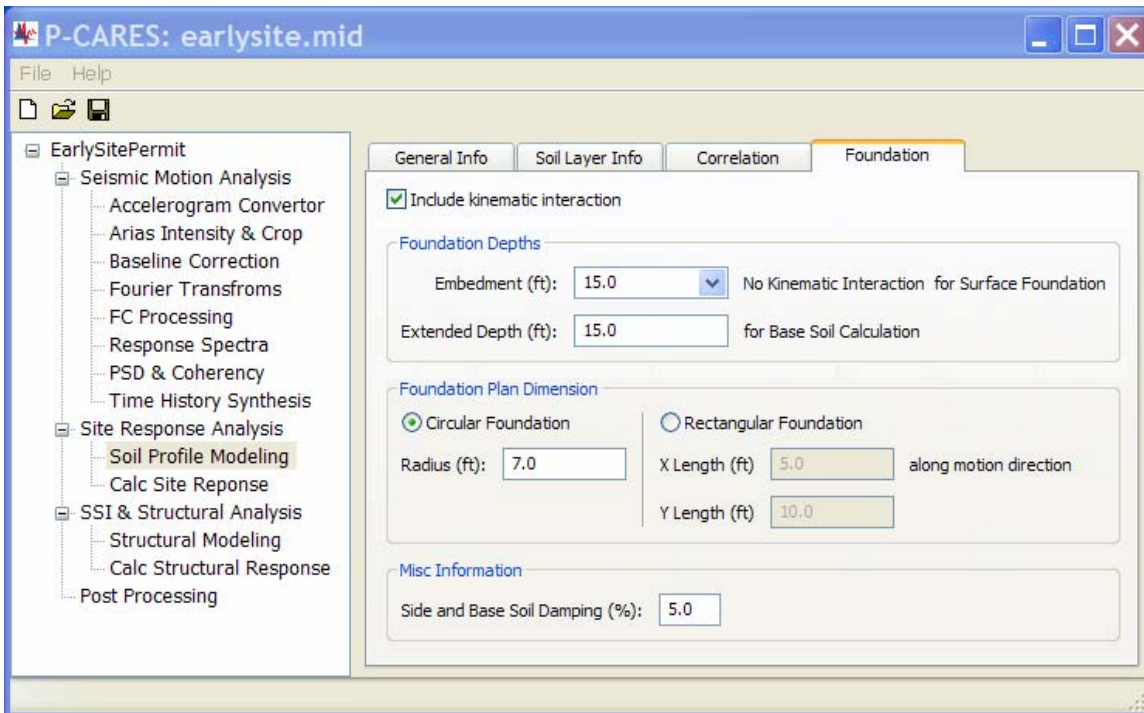
4	G1	G5	0.0007
		G6	0.0001
		G11	0.2000
		G12	0.0328

**Description** Right clicking on the left margin brings up a popup menu for manual addition or deletion of rows in the correlation table.

**Append Row(s)** If no row is selected, a row will be added after the current row; otherwise, the number of rows added after the current row is the number of selected rows.

**Delete Row(s)** Delete the current row if no row has been selected; otherwise, delete all selected rows.

#### 4.6.4 Foundation for Kinematic Interaction

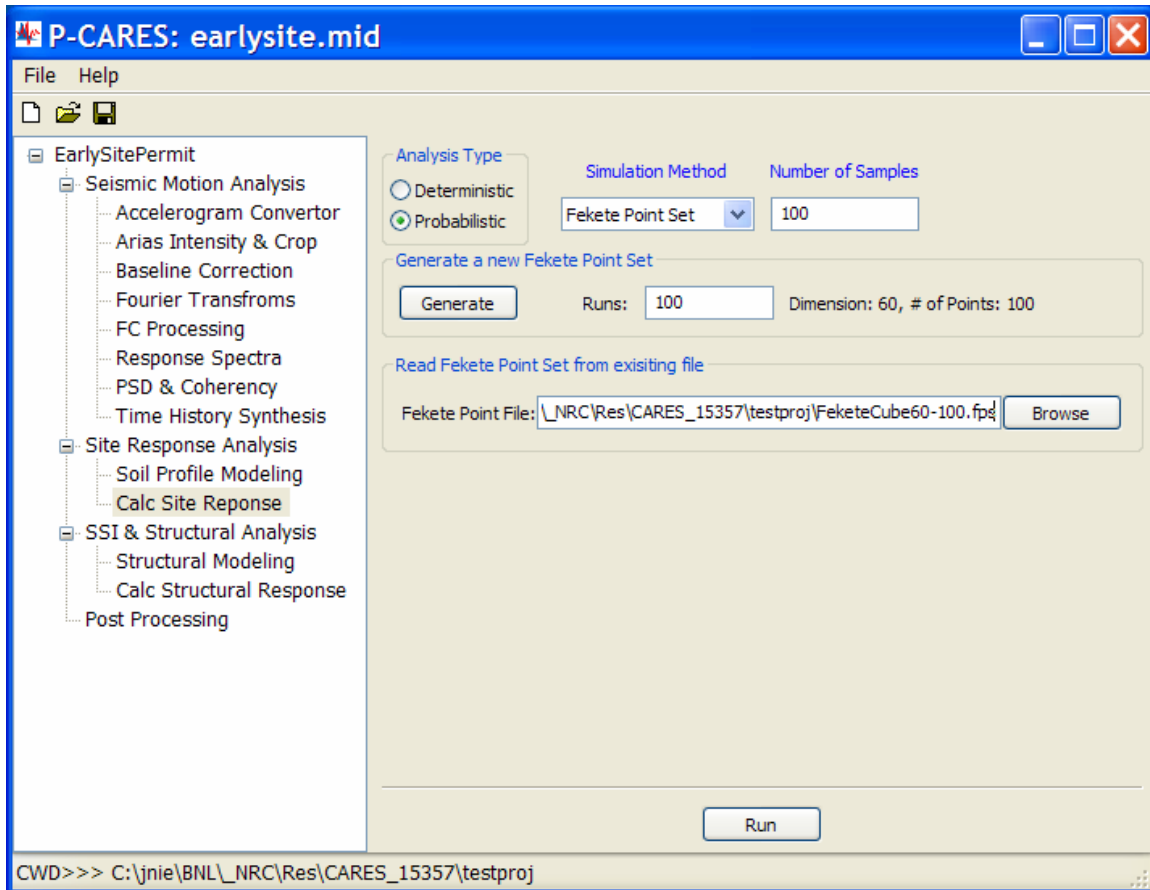


**Description** This optional form allows the user to specify whether the SSI motions (translational and rotational motions at the base of the foundation) should be generated. If so, the information about the dimension of the foundation should be provided. A joint SSI analysis should be selected in the SSI & structural analysis module to utilize the generated SSI motions. The files “BASETRAN.FC” and “BASEROT.FC” are used to store the two SSI motions in a deterministic analysis; while a database file “SSIRESPONSE.HDB” is used to store the translational and rotational motions in the probabilistic simulation.

**Include Kinematic** A check box to signify that the SSI input motions should be generated

<b>Interaction</b>	for the structural analysis module.
<b>Embedment</b>	A text box for the embedment depth (ft). If the embedment is 0 ft, the surface motion is used as the SSI input motion, and there is no rotational motion.
<b>Extended Depth</b>	The extended embedment depth (ft) is used to calculate the base soil properties from the soil column in kinematic interaction analysis. It is the depth of soil under the basemat of the foundation that will be considered to be the base soil in the SSI context.
<b>Circular Foundation</b>	A radio option control. Clicking it to select the circular foundation type.
<b>Radius</b>	A text box for the radius (ft) of the circular foundation.
<b>Rectangular Foundation</b>	A radio option control. Clicking it to set the rectangular foundation type.
<b>X Length</b>	The length (ft) of the foundation in x direction, which is the particle movement direction.
<b>Y Length</b>	The length of the foundation in y direction, which is perpendicular to the particle movement direction.

#### 4.6.5 Site Response Calculation



<b>Description</b>	This command has one form to manage the analysis. The user first selects the type of analysis, and provides the simulation parameters if a probabilistic analysis is activated, and click button Run to start the analysis. A progress dialog will be displayed if a simulation is running (see Figure 4-5). For Fekete point set generation, the dimension means the number of random variables ( $3 \times$ the number of layers), and the number of points is the number of samples used in the simulation.
<b>Deterministic</b>	The radio option “Deterministic” should be selected if a deterministic analysis is desired. Click the button Run to start the analysis. No progress dialog is shown for this analysis. This option disables all other simulation related controls in this interface.
<b>Probabilistic</b>	The radio option “Probabilistic” should be selected for a probabilistic site response analysis.
<b>Simulation Method</b>	This choice control provides four simulation method, namely Monte Carlo simulation, Latin Hypercube sampling (LHC), Engineering LHC, and the experimental Fekete point set method. For the first three simulation methods, only the number of samples is required; for the Fekete point set method, the user needs to decide either to read from an existing Fekete point set file or to generate a new set. The generated Fekete point set is saved automatically.

<b>Number of Samples</b>	The number of samples used in the simulation.
<b>Runs</b>	The number of iterations used in generating a Fekete point set. It has been determined experimentally that 60 ~ 100 iterations can yields a good Fekete point set (Nie, 2003). However, since the number of points (the same as the number of samples) is typically small in soil structural analyses, more than 100 iterations can be used without a serious concern of performance.
<b>Generate</b>	Clicking this button to start the Fekete point set generation process.
<b>Fekete Point File</b>	The user can use an existing Fekete point set file (e.g., “FeketeCube60-100.fps” as in the picture above).
<b>Run</b>	Clicking this button to start the site response analysis. If a probabilistic analysis is selected, a progress dialog will be shown.

#### 4.7 SSI and Structural Analysis

Selecting “SSI and Structural Analysis” in the command tree panel will activate the structural analysis module including the SSI effect. As shown in Figure 4-17, this module provides the capability to build the structural model and to perform four types of structural analyses. The user should first build a 3-D structural model using the structural modeling command, select the analysis type and provide its related parameters in the structural response calculation panel, and then conduct the intended analysis. For a joint SSI analysis, either a deterministic or a probabilistic analysis, P-CARES automatically transfers the necessary information from the site response analysis to the structural analysis, and the user is not required to manually build this connection.

The structural modeling interface and the structural response analysis interface will be described in the following.

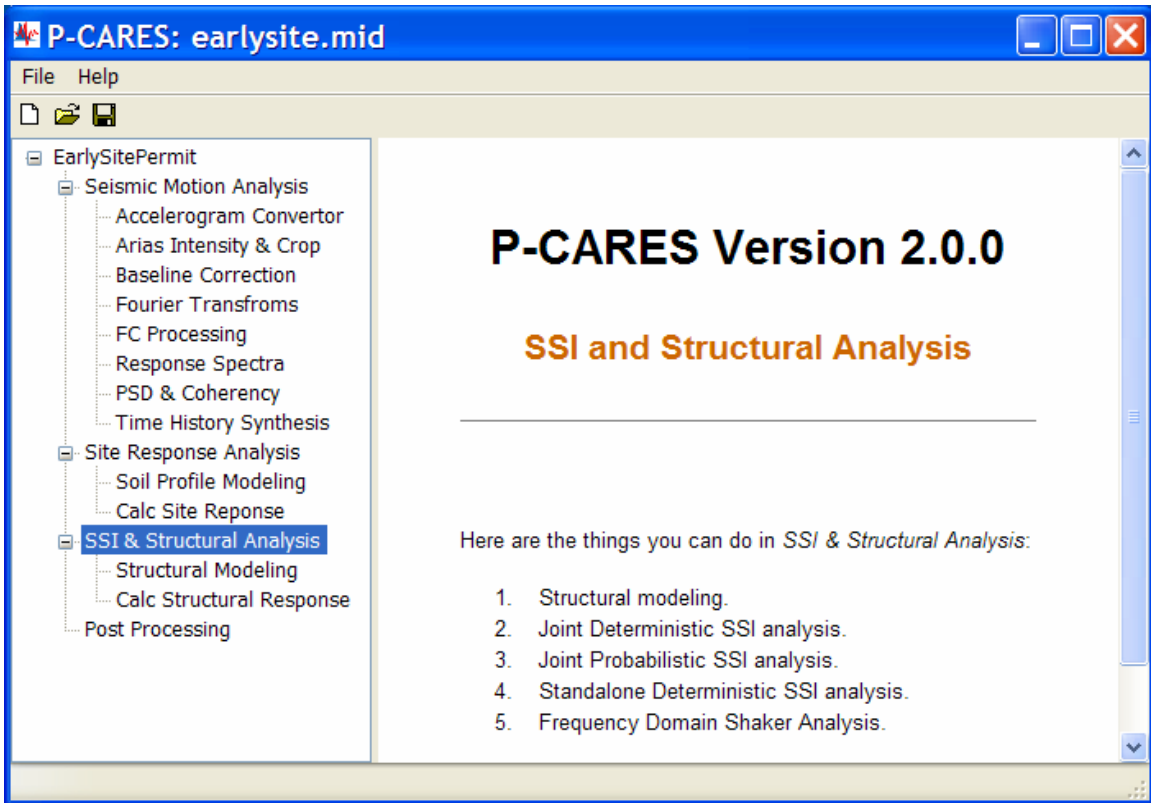
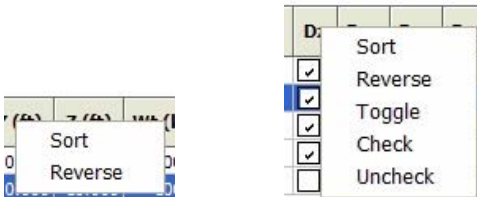


Figure 4-17 SSI and Structural Analysis Interface

#### 4.7.1 Structural Modeling

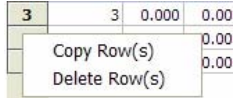
The four forms for the structural modeling command are the nodes and constraints form, beam form, shear wall form, and the damping form, each of which may contain a few tables (similar to spread sheets). The common behaviors of these grids are introduced in the following.

Wherever possible, right clicking the top margin of a table displays either of the following two pop up menus:



The sort command sorts the current column, while the reverse command reverses the order of the current column. The toggle command reverses the states of the check controls in the current column, while the check and uncheck commands set and unset the check controls respectively.

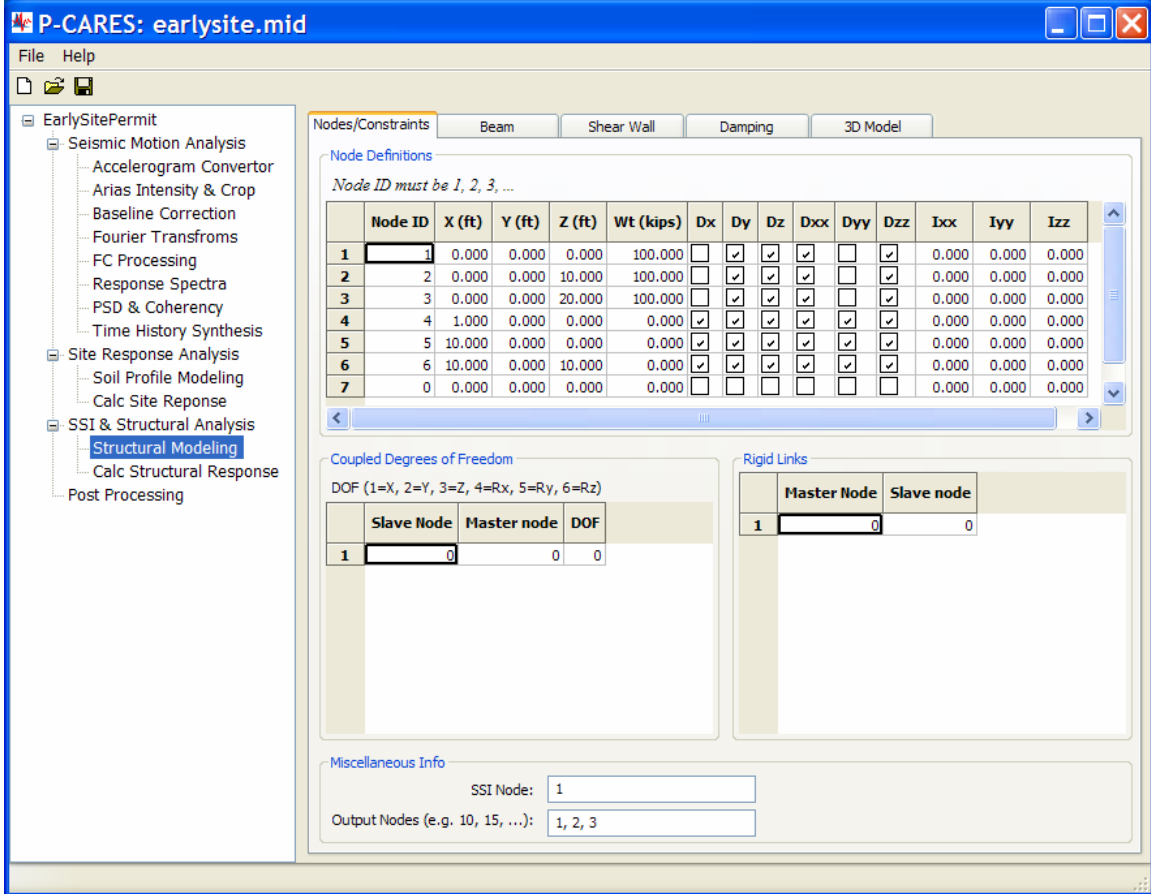
The rows selected can be copied or deleted using the following pop up menu on the left margin.



The last row of all the tables used in structural modeling does not represent any real structural properties; rather it is a mechanism to append a row at the end of the table. Editing the last row

creates a new definition line for the structural model, and appends another empty row at the end of the table.

### Nodes and Constraints Form



#### Description

This interface presents a few tables to define the nodes and constraints for the structural model. The SSI node and the output nodes need also be provided in this form.

#### Node Definitions

Each row in the node definition table holds the properties for one node, which include an integer node id, three coordinates (ft), the lumped weight (kip), six constraint check boxes for all six degree of freedom, and optional three rotational inertias (kip\*ft/s<sup>2</sup>). Use the pop up menu to set/unset the constraints column-wise, or click the individual constraint check box to set/unset that constraint.

#### Coupled Degrees of Freedom

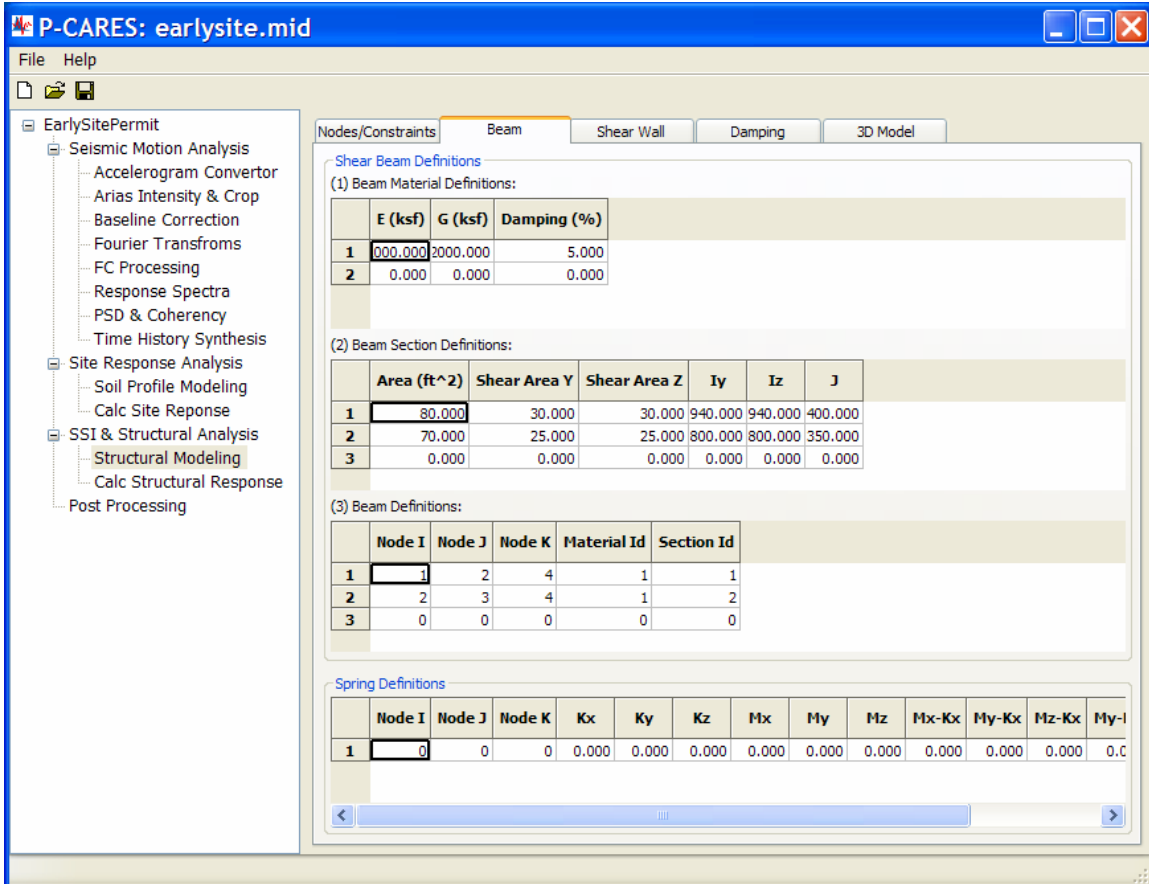
This table defines the slave-master couples. Each row of the table holds the slave node in the first cell, the master node in the second cell, the restrained DOF in the third cell.

#### Rigid Links

This table defines all the rigid links. Each row of the table holds the master and slave node pair.

<b>SSI Node</b>	Provide the SSI node id.
<b>Output Nodes</b>	A list of comma-separated node ids for output.

### Beam Form

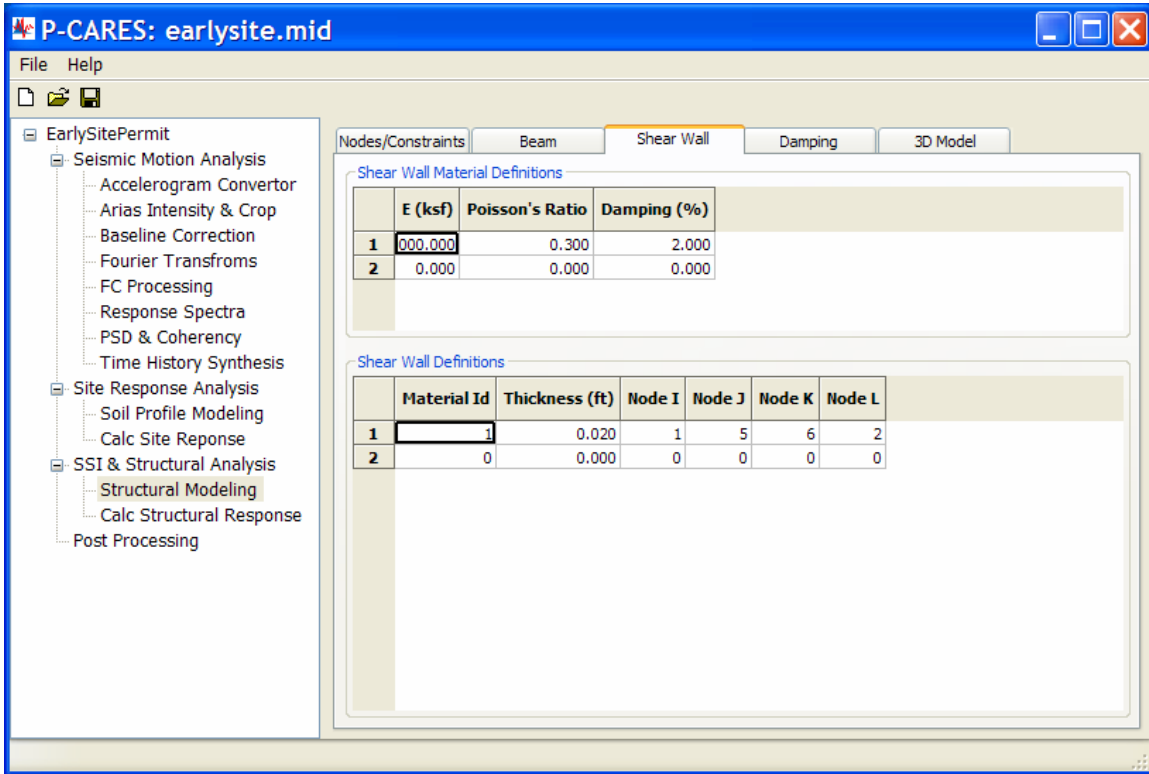


<b>Description</b>	This interface presents four tables to define the beam and spring elements for the structural model. The 3-D beam with shear stiffness is defined using the first three tables, while the spring is defined by the last table.
<b>Beam Material Definitions</b>	Each row of the beam material definition table consists of the Young's modulus (ksf), the shear modulus (ksf), and the damping (%).
<b>Beam Section Definitions</b>	Each row of the beam section definition table consists of the area (ft*ft), Y shear area (ft*ft), Z shear area (ft*ft), and the moments of inertia Iy (ft^4), Iz (ft^4), and J (ft^4).
<b>Beam Definitions</b>	Each row of the beam definition table defines a 3-D beam element, which consists of start node I, end node J, k node, material id, and section id. The k node is used to define the local coordinate system of the beam.

### Spring Definitions

Each row of the spring definition table defines a 3-D spring element that does not have the shear stiffness. The definition consists of start node I, end node J, k node, and the entries in the stiffness matrix  $K_x$ ,  $K_y$ ,  $K_z$ ,  $K_{xx}$ ,  $K_{yy}$ ,  $K_{zz}$ ,  $K_{xx}-K_x$ ,  $K_{yy}-K_y$ ,  $K_{zz}-K_z$ ,  $K_{yy}-K_x$ ,  $K_{zz}-K_x$ ,  $K_{yy}-K_y$ ,  $K_{zz}-K_y$ ,  $K_{zz}-K_z$ . The k node is used to define the local coordinate system of the spring.

### Shear Wall Form



### Description

This interface utilizes a table to define the shear wall material and a table to define the shear wall connectivity. The shear wall cannot resist any out-of-plane displacement.

### Shear Wall Material Definitions

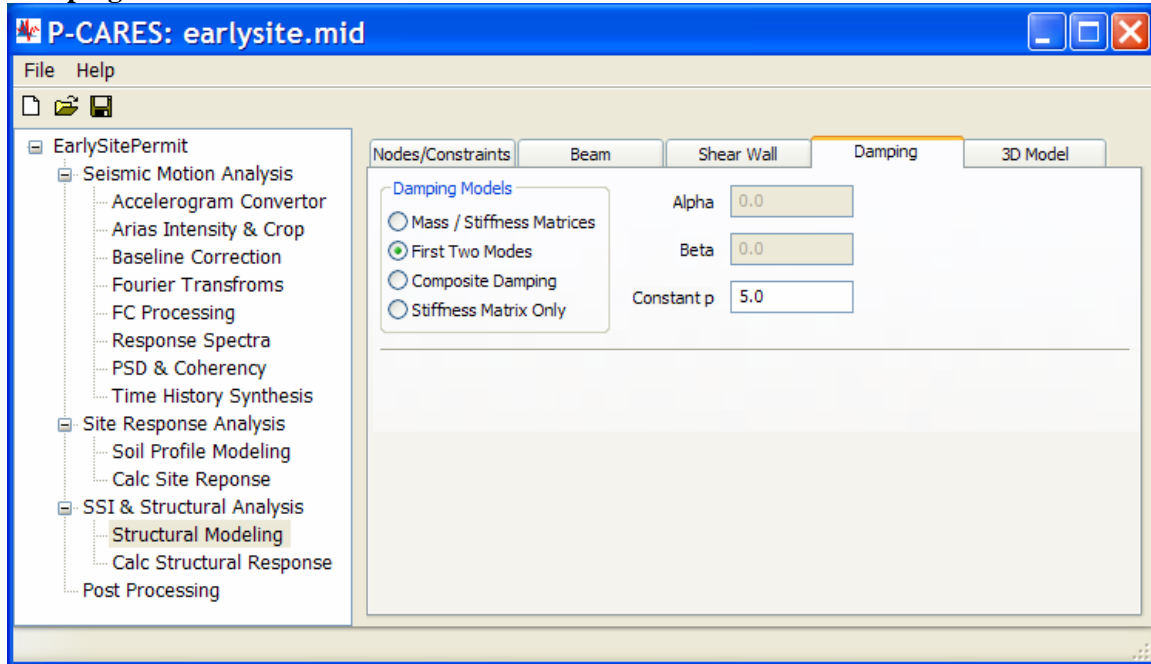
Each row defines a kind of shear wall material, which consists of Young's modulus (ksf), Poisson's ratio, and damping (%).

### Shear Wall Definitions

Each row defines a shear wall element, which consists of the shear wall material id, thickness (Ft), and the element connectivity of nodes I, J, K, and L.



## Damping Form



### Description

This interface presents data items to define the damping of the entire structure. It may or may not use the element damping data depending on the damping model selected (please refer to the theoretical basis for more information).

### Damping Models

Select which damping model will be used in the structural analysis.

- (1) Mass/Stiffness Matrices: this model requires the coefficient  $\alpha$  for the mass matrix and the coefficient  $\beta$  for the stiffness matrix. This option disables the input field for the constant  $p$ .
- (2) First Two modes: this model requires the critical damping ratio  $p$  to define the coefficients  $\alpha$  and  $\beta$  in mode (1).
- (3) Composite Damping: this model still defines the stiffness matrix as a linear combination of the mass and stiffness matrices, but the damping in each of the first two modes is defined as a weighted average of the damping in each of the structural elements, with the weighting function based on the ratio of the strain energy stored in the element to the total energy stored in all of the elements. The user does not need to provide additional damping values for this model.
- (4) Stiffness Matrix Only: the damping value for each element and its stiffness matrix are combined directly in the formation of the global damping matrix. No further user input is required.

### Alpha

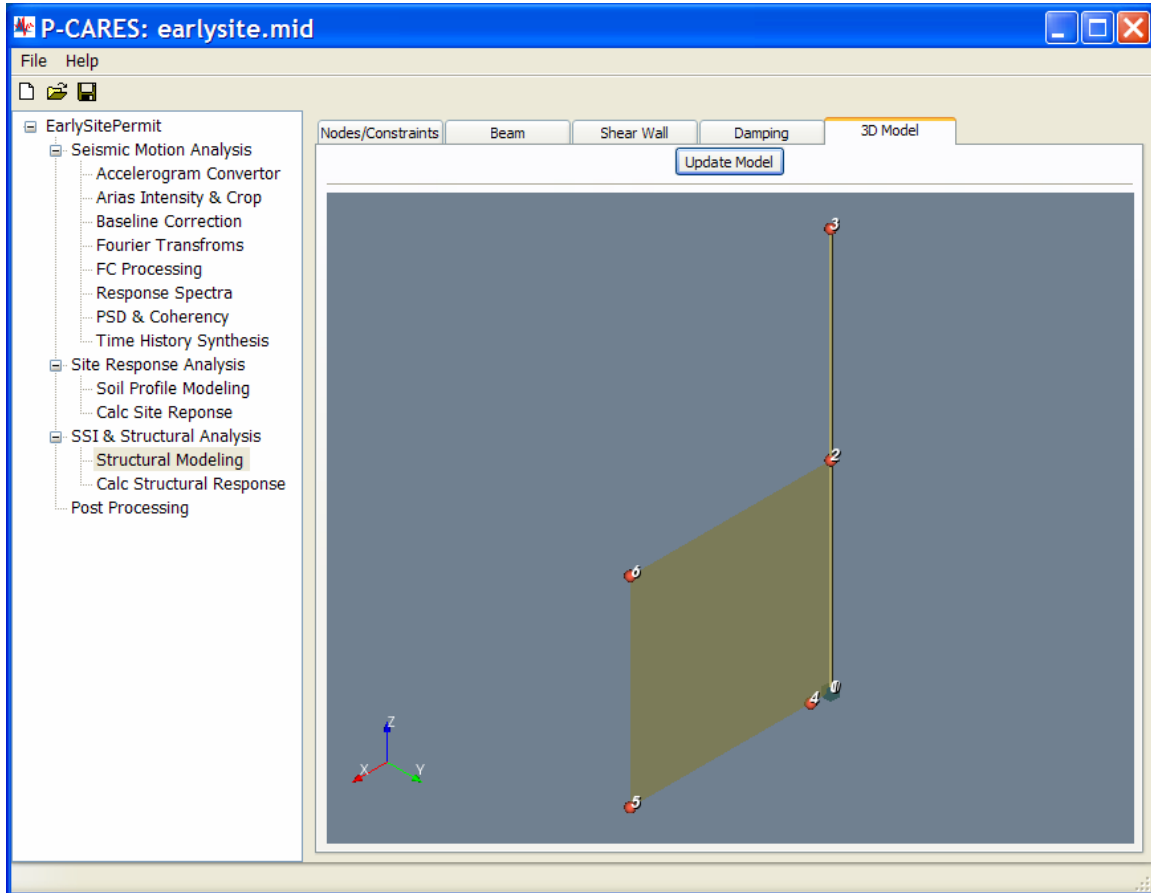
The coefficient associated with the mass matrix.

### Beta

The coefficient associated with the stiffness matrix.

**Constant p** | The critical damping ratio, together with the first two modes, defines the coefficients  $\alpha$  and  $\beta$ .

### 3D Model Form



**Description** | This is a 3D structural model viewer for structural model inspection. Only the node numbers can be shown. The SSI node is shown as a small box, while other nodes are shown as small spheres. The model can be rotated, panned, and zoomed by dragging the left, middle, and right mouse buttons. Ctrl+left button drag rotates the model in the view plane, and Shift+left button drag and Ctrl+Shift+left button drag perform the pan and zoom functions. The mouse wheel can also be used for zooming. Typing key "r" restores to the whole extent of the model, "w" for wireframe representation, "s" for the surface representation.

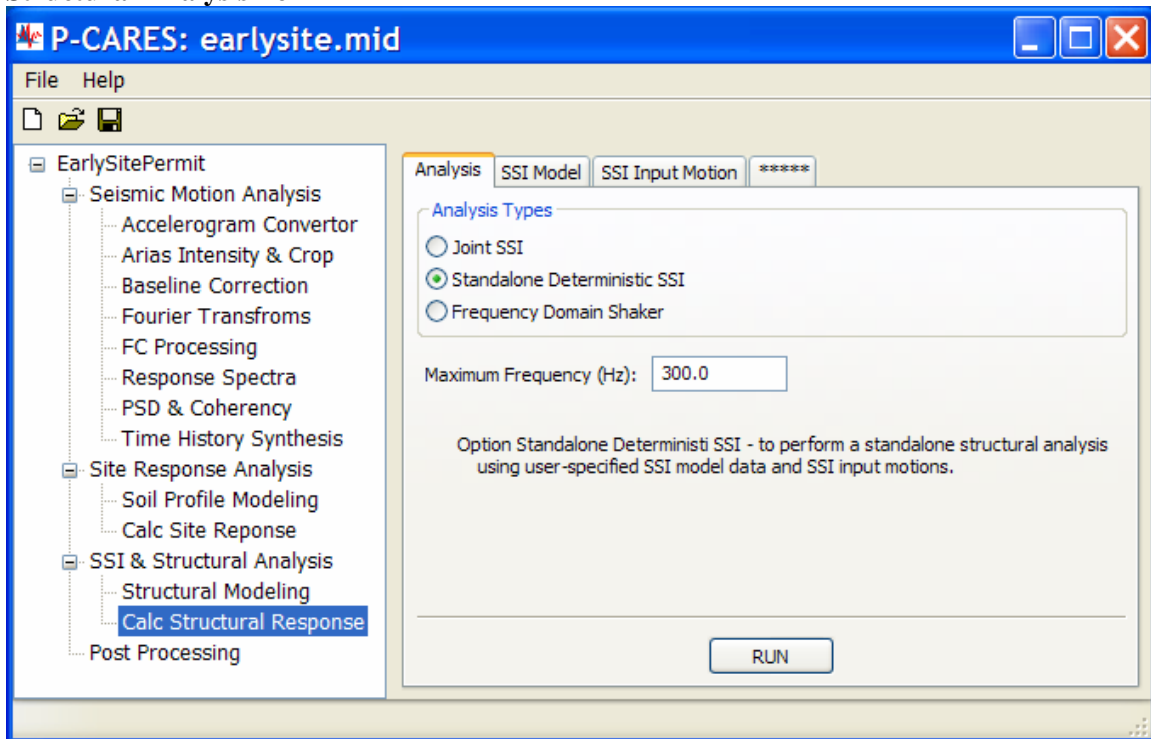
**Update Model** | Click this button to refresh the model viewer if the definitions of nodes, beams, and shear walls have changed.

#### 4.7.2 Structural Response Calculation

There are 3 analysis options in the structural response calculation panel, which are the joint (deterministic or probabilistic) SSI analysis, the standalone deterministic SSI analysis, and the

frequency domain shaker analysis. The user needs to specify the maximum frequency (Hz) for the structural analysis. For a joint SSI analysis, the required soil properties and the SSI input motions are taken from the site response analysis, therefore, no further user input is needed. For a standalone deterministic SSI, the user needs to supply the SSI model information and the SSI input motion. For a frequency domain shaker analysis, the user needs to provide the SSI model information and the forcing function. The forms used in the structural response calculation command will be introduced in this subsection.

### Structural Analysis Form

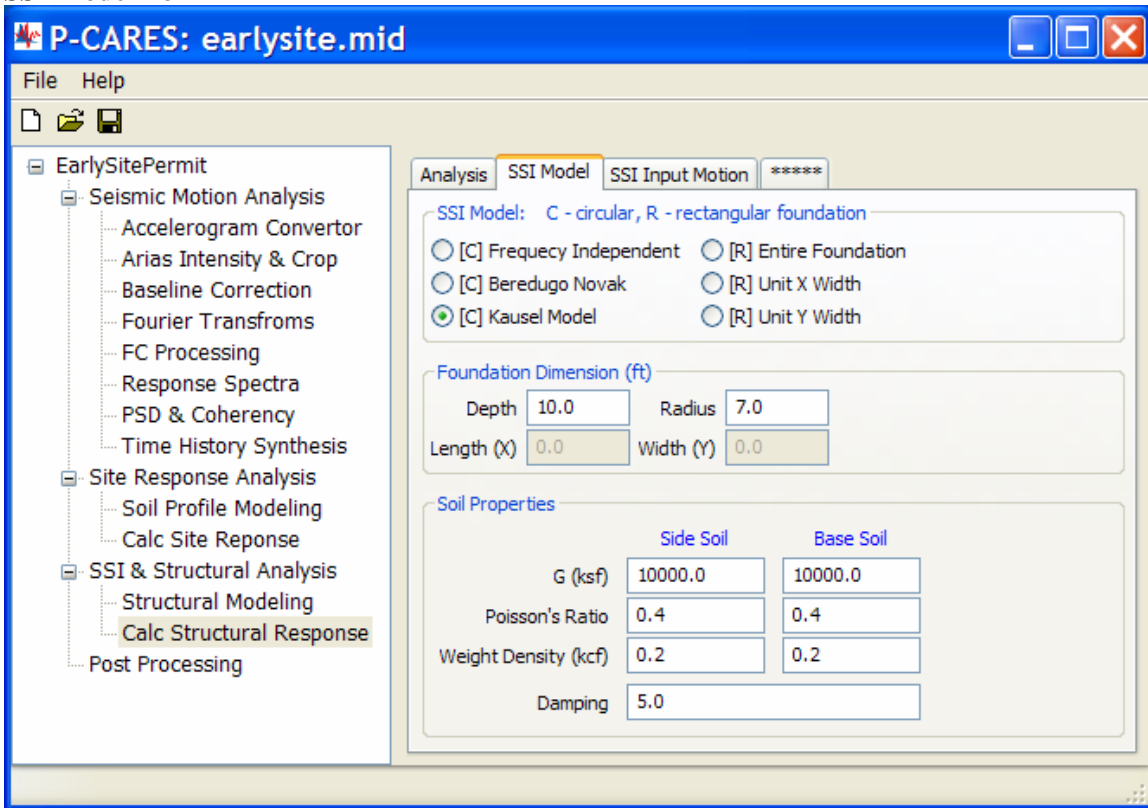


**Description** This interface includes options for analysis types. The user needs to supply the maximum frequency for the structural analysis for all analyses. If the “Joint SSI” analysis type is selected, all other forms are starred (disabled). If the “Standalone Deterministic SSI” analysis type is selected, the SSI Model and the SSI Input Motion forms will be available. If the “Frequency Domain Shaker” analysis type is selected, the SSI Model and the Shaker Forcing Function forms will be enabled. After selecting the intended analysis type and furnishing the necessary parameters using the available forms, clicking RUN to perform the structural analysis. If a probabilistic analysis is to perform, a progress dialog will show up after clicking the RUN button.

**Joint SSI** Selecting this option to conduct a consecutive structural analysis after the site response analysis has been performed. The input motion assumes in the x direction. If this is a joint deterministic analysis, the output motions are stored in individual files under names as “JDn-d.fc”, where *n* stands for the node id and *d* stands for the directions, i.e. one of the X, Y, Z, RX, RY, and RZ. If this is a joint probabilistic analysis, the output motions are stored in a database file

	“STRUCRESPONSE.DB”.
<b>Standalone Deterministic SSI</b>	Selecting this option enables the forms SSI Model and SSI Input Motion. The output files are under names as “ST $n$ - $d$ .fc”, where $n$ stands for the node id and $d$ stands for the directions, i.e. one of the X, Y, Z, RX, RY, and RZ.
<b>Frequency Domain Shaker</b>	Selecting this option enables the forms SSI Model and Shaker Forcing Function. The output files are under names as “SH $n$ - $d$ .fc”, where $n$ stands for the node id and $d$ stands for the directions, i.e. one of the X, Y, Z, RX, RY, and RZ.
<b>Maxim Frequency</b>	The maximum frequency (Hz) used for all structural analysis types.
<b>RUN</b>	Clicking this button to start the intended analysis.

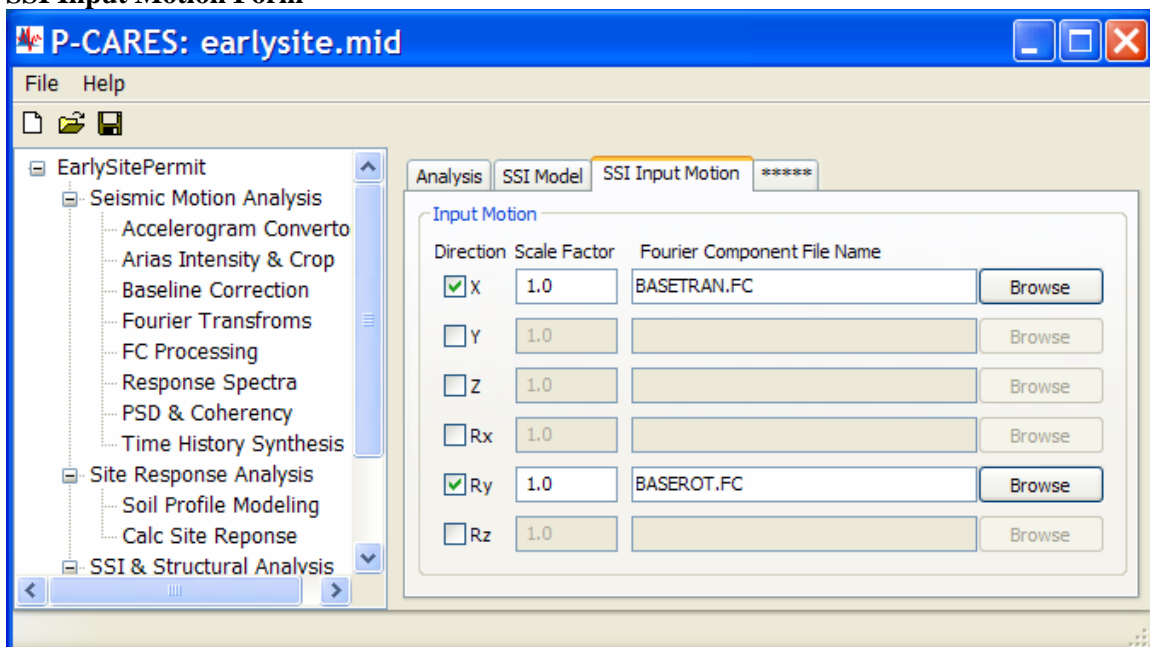
### SSI Model Form



<b>Description</b>	This interface includes necessary items used in the standalone deterministic SSI analysis and the frequency domain shaker analysis. The user needs to select the SSI model, foundation information, and the soil properties. This form has many items similar to the foundation definition in the site response analysis, and provides more SSI models than implied in the kinematic interaction analysis, which is embedded in the site response analysis. This form makes the structural analysis independent of the site response analysis, for situations where only SSI and structural responses are of interest.
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<b>SSI Model</b>	The options starting with “[C]” are for the circular foundation type, while the ones starting with “[R]” are for the rectangular foundation type. Selecting a soil model for a circular foundation type disables the foundation dimensions of a rectangular type, and vice versa.
<b>Depth</b>	The embedment (ft) of the foundation.
<b>Radius</b>	The radius (ft) of a circular foundation.
<b>Length (X)</b>	The dimension of a rectangular foundation in the X direction.
<b>Width (Y)</b>	The dimension of a rectangular foundation in the Y direction.
<b>Base G</b>	The shear modulus for the soil (ksf), for the base or the side soil.
<b>Poisson’s Ratio</b>	The Poisson’s ratio, for the base or the side soil.
<b>Weight Density</b>	The soil weight density (kcf), for the base or the side soil.
<b>Damping</b>	Damping value for both the side and base soils.

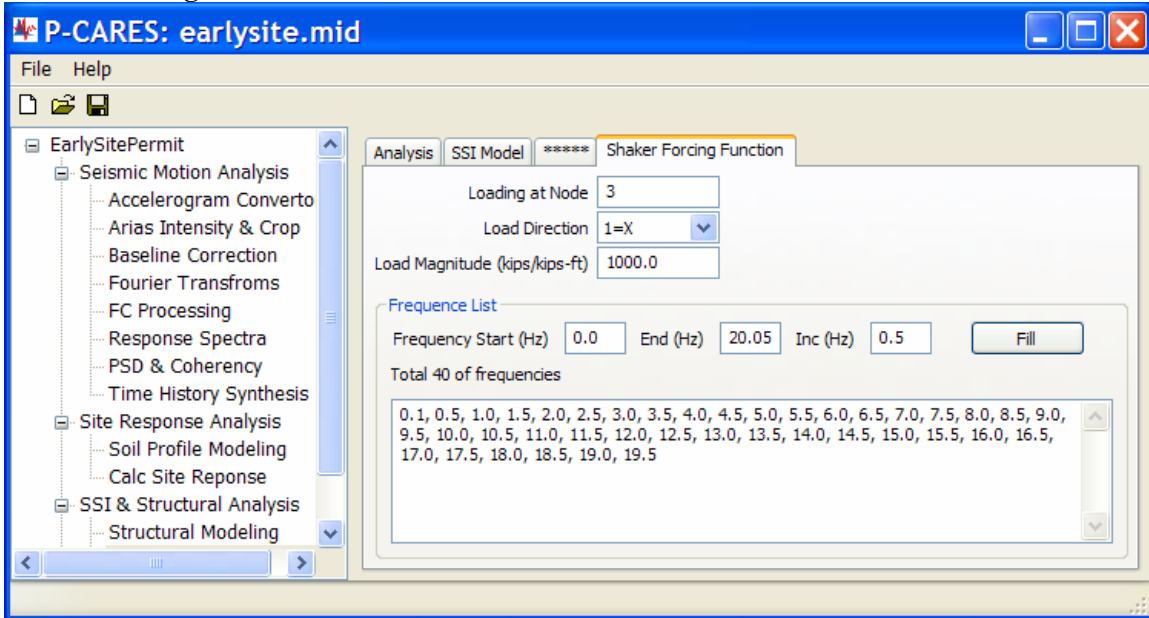
### SSI Input Motion Form



<b>Description</b>	This interface defines the input motions for the standalone deterministic SSI analysis. The input motions are applied at the SSI node, which is defined in the structural model. There are 6 possible input motions corresponding to the six degree of freedoms of the SSI node. The input motions are in the format of Fourier component.
<b>Direction</b>	Check one or more directions that require an input motion. An uncheck direction has its rest fields disabled (grayed out).

<b>Scale Factor</b>	The scale factors applied at each direction. The default value for the scale factor is 1.0.
<b>Fourier Component File Name</b>	Type in or browse in a valid Fourier spectrum file for each checked direction.

### Shaker Forcing Function Form



<b>Description</b>	This interface provides the capability to define a forcing function for the frequency domain shaker analysis. The forcing function is applied at a user specified node and along a user selected direction. The load magnitude and the frequencies to be analyzed need to be provided. Regularly spaced frequencies can be generated using the “Fill” button.
<b>Loading at Node</b>	Type in a node id that is defined in the structural model.
<b>Load Direction</b>	Select a direction along which the forcing function will apply.
<b>Load Magnitude</b>	The magnitude of the load. The unit for a force (along X, Y or Z) is kips, while the unit for a moment (along Rx, Ry, or Rz) is kips-ft.
<b>Frequency Start</b>	The start of a frequency range (Hz) that will be generated.
<b>(Frequency) End</b>	The end of the frequency range (Hz) that will be generated.
<b>(Frequency) Inc</b>	The incremental frequency (Hz) used to generate the regularly spaced frequency range.
<b>Fill</b>	Clicking this button will clear the frequency list and then fill the frequency list using the above three parameters.
<b>Frequency List</b>	This is a comma-separated list of frequencies used to define the forcing function. The list can be quickly filled using button “Fill”, or

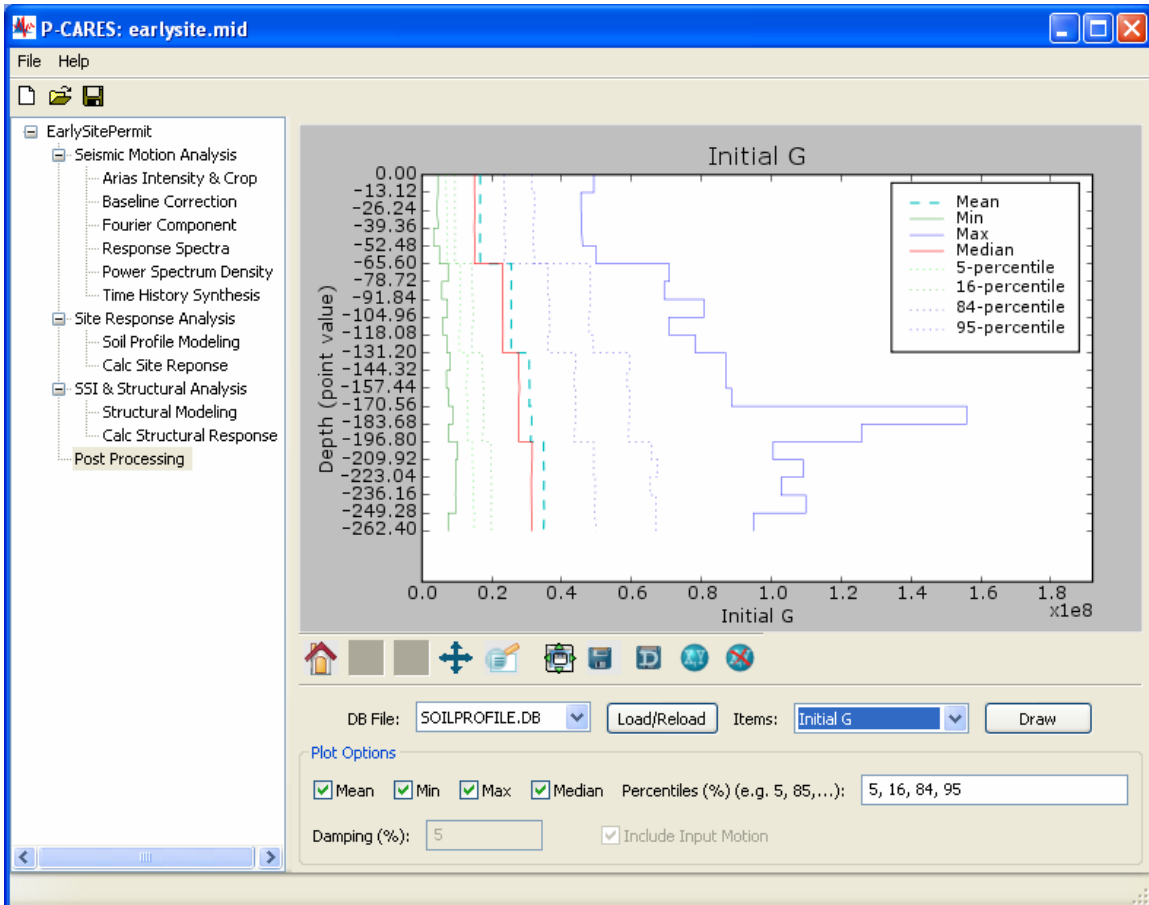
can be manually entered or edited.

## 4.8 Post Processing

For various deterministic analyses, the outputs are stored in individual text file, and can be processed using the utility tools in the seismic motion analysis module. For probabilistic simulation, the post processing command can be used to process the simulated soil profile, site responses and structural responses. This subsection describes the features of the post processing command. The interface of this command will be described first, and then a few examples will be presented to demonstrate what the post processing command can produce.

The simulated soil profile results are loaded from the database file, and then sorted in ascending order; profiles for the mean, minimum, maximum, median, and various percentiles can then be determined and plotted. As for the soil and structural responses from a probabilistic analysis, a response spectrum is first generated for each response. The response spectra are then sorted and saved to a file with an extension “.POST” to avoid the possible regeneration of the response spectra, since the calculation of response spectra for many samples is a time consuming process. For example, if the soil responses are under consideration, i.e., using the “SOILRESPONSE.DB”, then the sorted response spectra are saved in “SOILRESPONSE.DB.POST”. If such a file exists before loading a database file, the user is asked whether to use this “.POST” file.

### 4.8.1 Post Processing Interface



<b>Description</b>	The post processing is a typical console style interface that consists of a plot panel on the top and a bunch of controls at the bottom. It can process both the simulated soil profile and the simulated soil and structure responses. When the interface is started, it will search the current project directory for all available databases and make them available in the database choice control. Plot options include a variety of statistical measures, damping for response spectrum generation, and an option to include the input motion in the figure. The figure can be saved in a few popular image formats or in data file that can readily be imported into Excel. Annotations cannot be saved into a data file.
<b>DB File</b>	A choice box contains all database files available in the current project directory.
<b>Load/Reload</b>	Load/reload the database file. For response databases, the calculation of response spectra for many samples is a time consuming process; therefore, when a POST file exists, the user will be prompted for confirmation of its usage. The user can instruct P-CARES to regenerate the response spectra, for example, when a different damping value is desired.
<b>Items</b>	A choice box containing all the items that P-CARES can plot. For soil profile, it includes layer thickness, soil density, damping, initial shear modulus, final shear modulus, initial shear velocity, and final shear velocity. For soil or structural responses, this choice box provides choices of various locations that may be the depths in the soil column or node ids in the structure.
<b>Draw</b>	Clear the figure canvas and draw the selected item with the current plot options.
<b>Mean</b>	Plot option for the statistical mean (arithmetic average).
<b>Minimum</b>	Plot option for the 0-percentile. This option is not available for response results.
<b>Minimum</b>	Plot option for the 100-percentile. This option is not available for response results.
<b>Median</b>	Plot option for the statistical median that is defined as the 50-percentile.
<b>Percentiles (%)</b>	An arbitrary list of percentiles can be specified. It uses a comma separated format, e.g., 5, 16, 84, ...
<b>Damping</b>	Specify the damping value used in generating the response spectra. This option is meaningless and not available for soil profile results.
<b>Include Input Motion</b>	A check box to indicate if the response spectrum of the input motion should be included in the figure. This option is meaningless and not available for soil profile results.

#### 4.8.2 Post Processing Examples

The post processing command, although using a relatively simple interface, can produce a variety of different plots. This section documents some of the typical examples to demonstrate its capabilities. The caption of the following figures can provide a clear explanation of the figure. For plot items of a soil profile, if the total number of soil layers is less than 50, the vertical axis



shows the depths of the soil layer interfaces using the point values of the layer thicknesses; otherwise, the vertical axis shows regular ticks that are evenly separated.

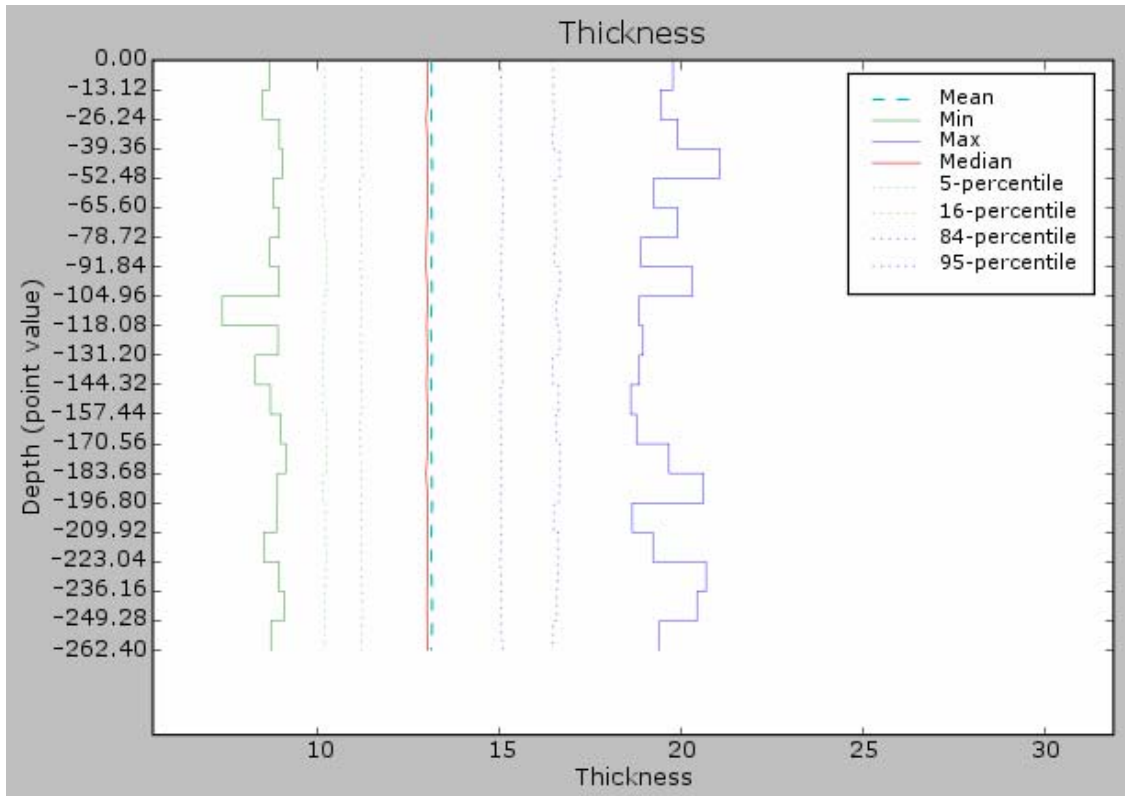


Figure 4-18 Post Processing Example - Layer Thickness

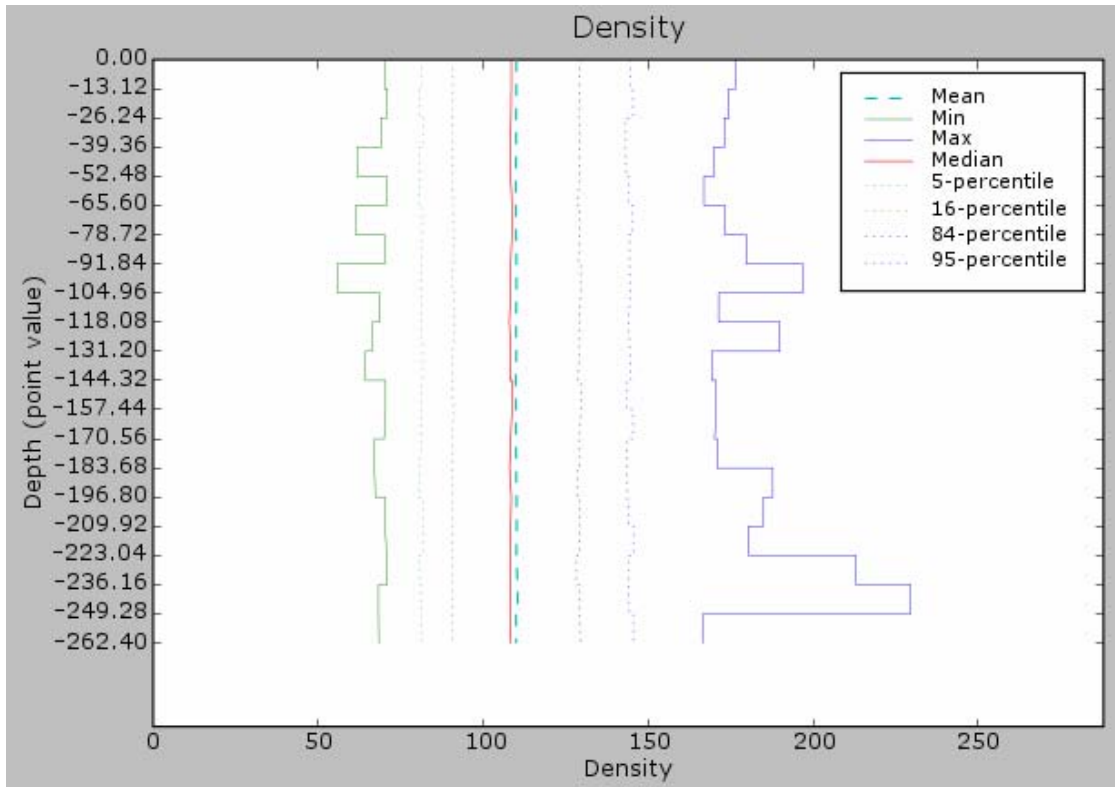


Figure 4-19 Post Processing Example – Soil Weight Density

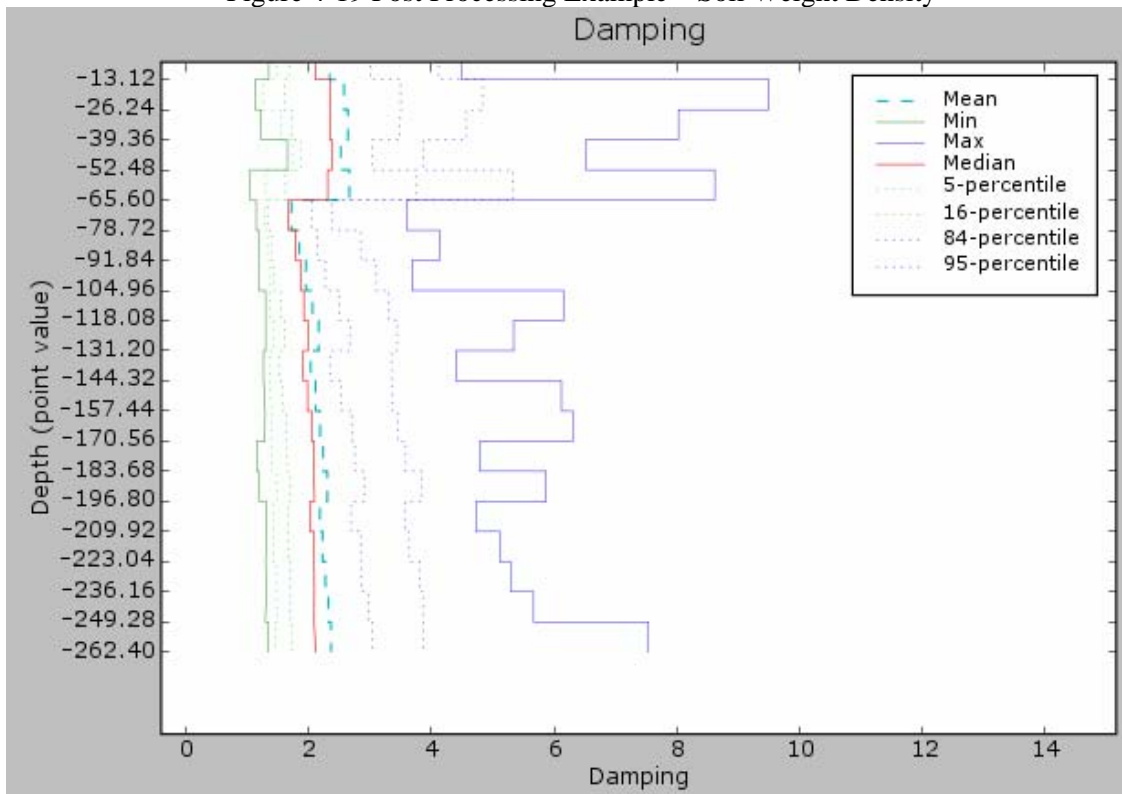


Figure 4-20 Post Processing Example – Soil Damping (Strain-Compatible)

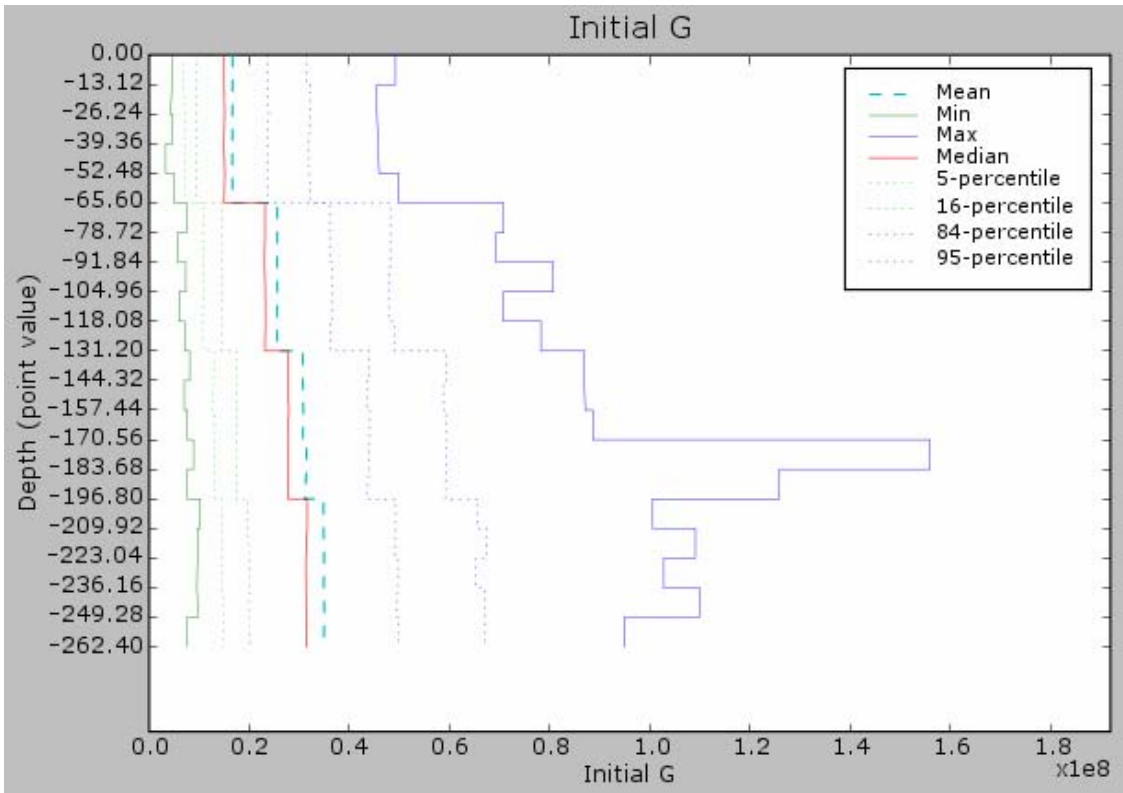


Figure 4-21 Post Processing Example – Low Strain Shear Modulus

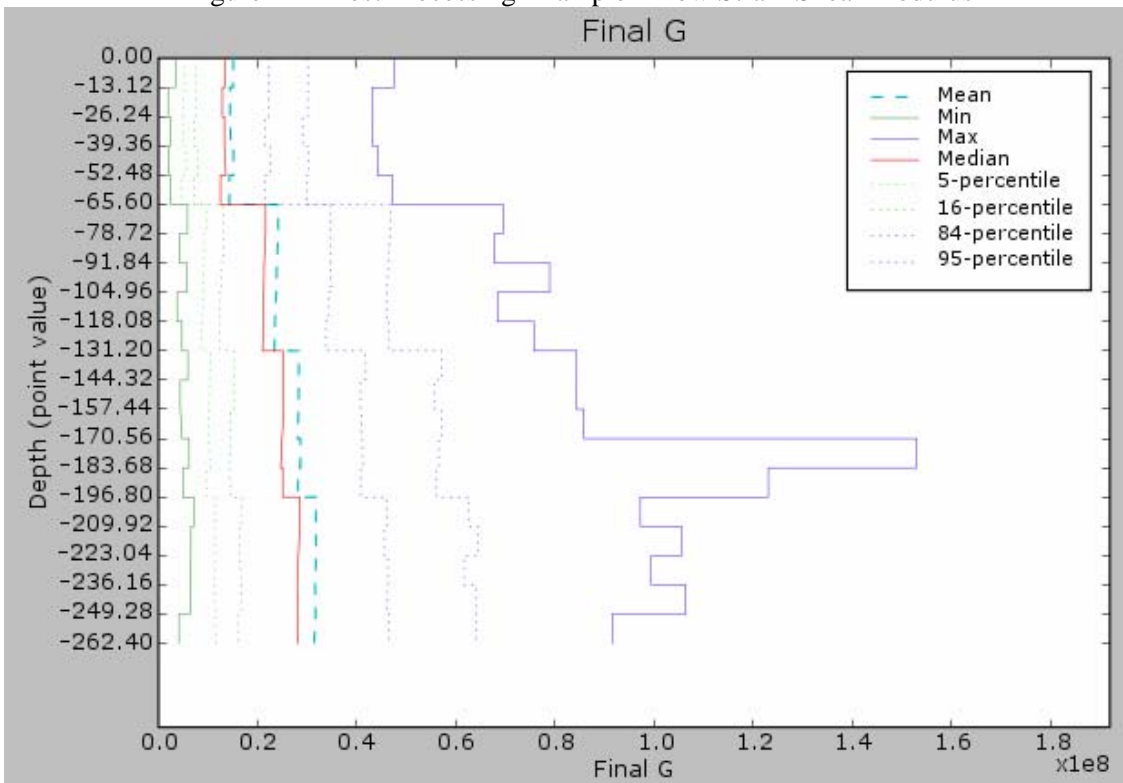


Figure 4-22 Post Processing Example – Final Shear Modulus (Strain Compatible)

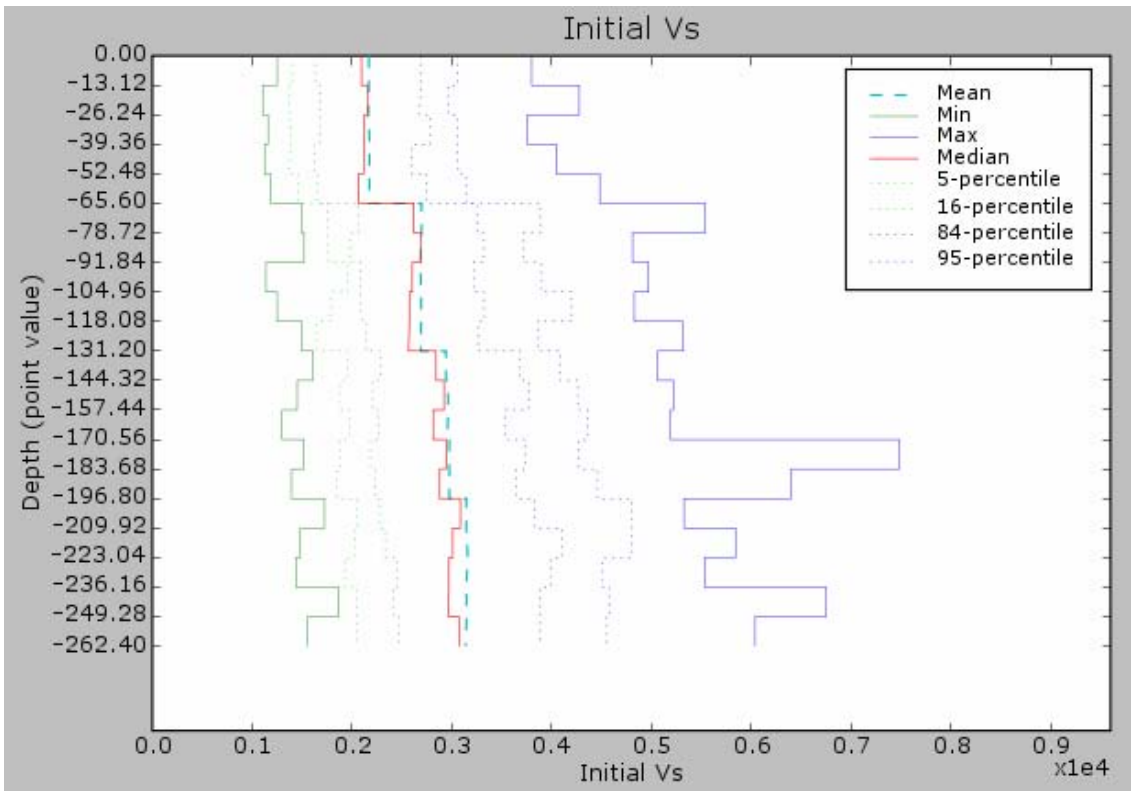


Figure 4-23 Post Processing Example – Low Strain Shear Velocity

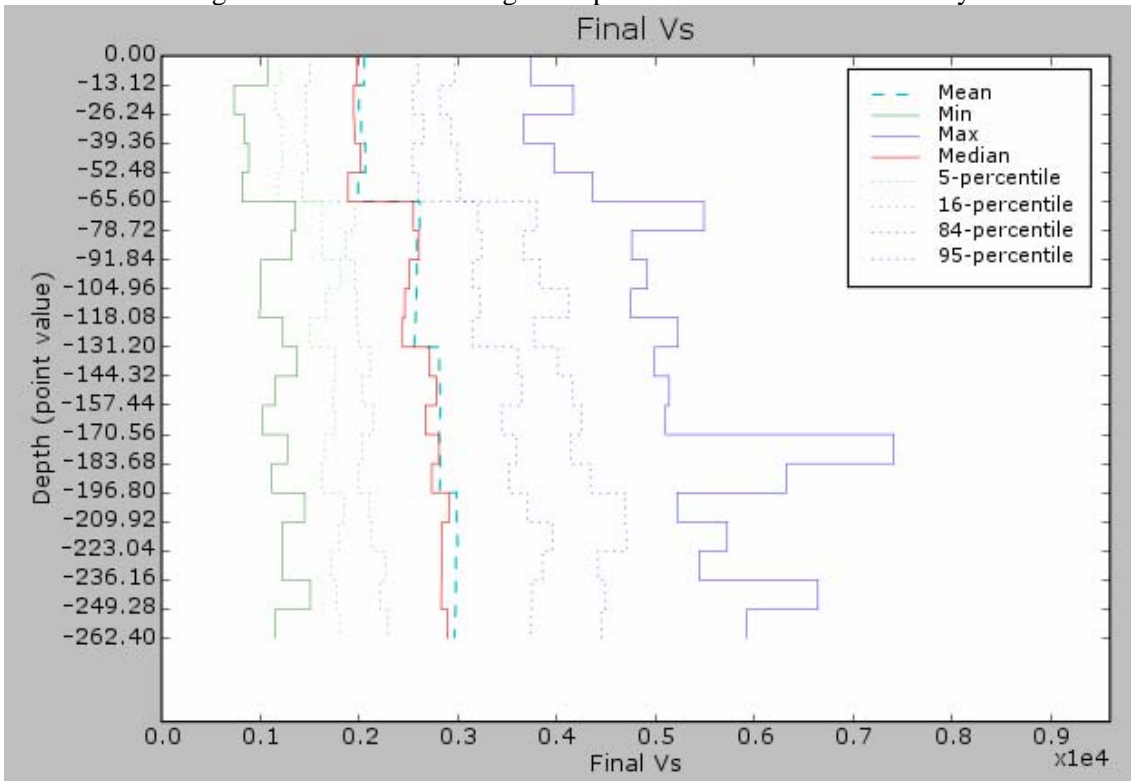


Figure 4-24 Post Processing Example – Final Shear Velocity (Strain Compatible)

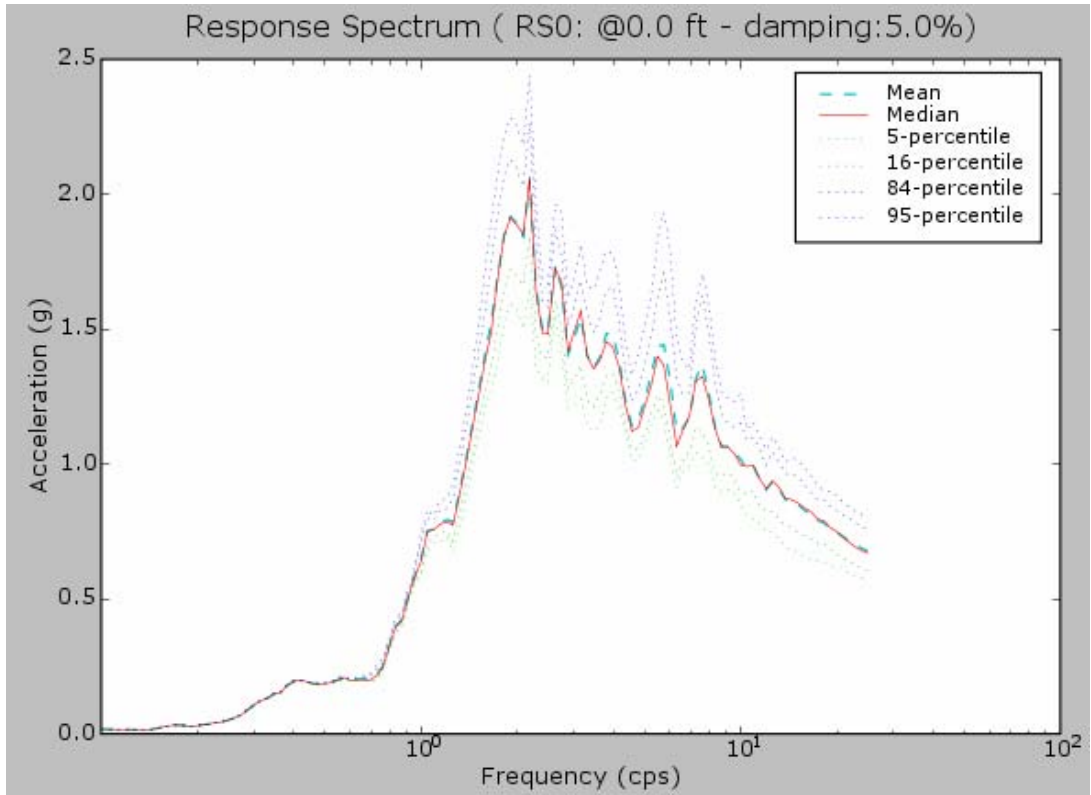


Figure 4-25 Post Processing Example – Statistics of Response Spectra

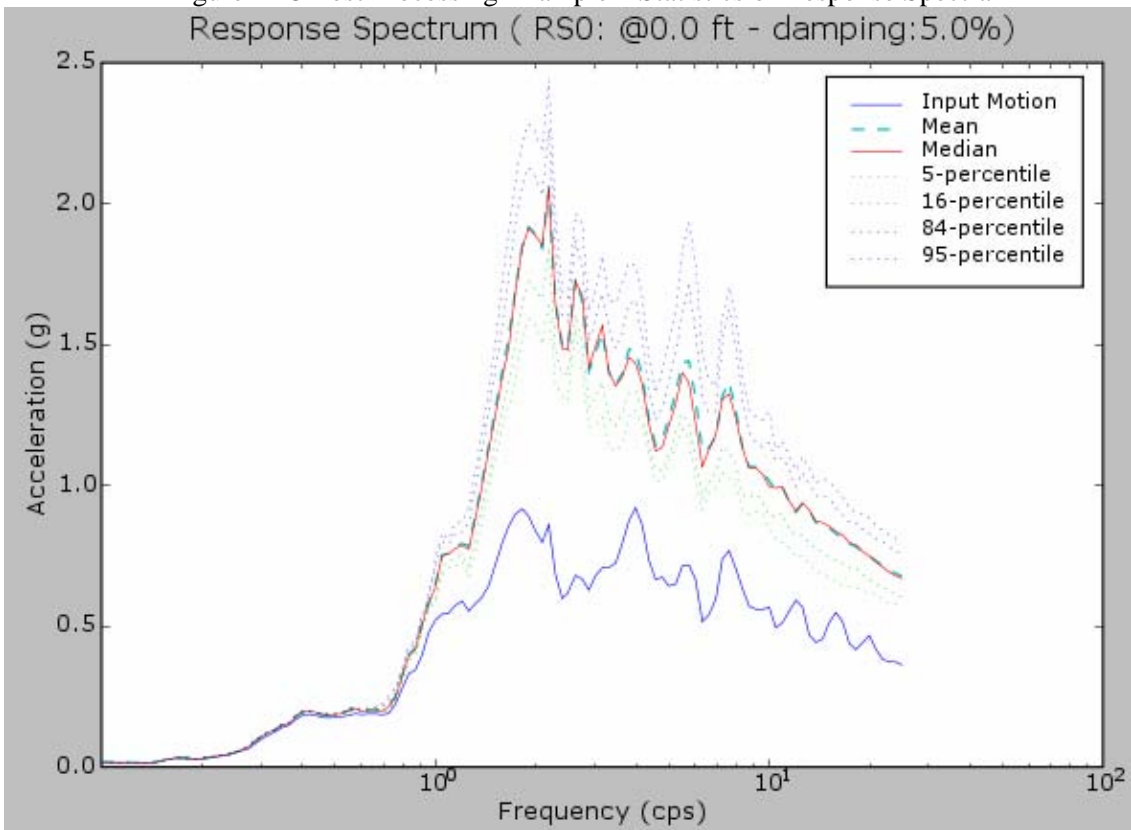


Figure 4-26 Post Processing Example – Statistics of Response Spectra With Input Motion

## 5 SUMMARY

The objective of this report is to describe the theoretical basis, the usage, and the development of the P-CARES software such that the prospective users will have confidence with the application of the software, the soundness of theories underlying the computation, and the results and their presentation generated in the software. This section summarizes this report with the major components of the P-CARES software and the achievements in its development.

The existing CARES Ver. 1.3 has been upgraded to Fortran 90/95 to add clear interfaces between subroutines that are necessitated by the probabilistic simulation, to remove many input/output states that are not suitable for simulation, to take advantage of the dynamic memory allocation for problem size, and to improve the logic and the code quality, among many other improvements. The upgraded version of CARES becomes a few compiled modules that are accessed in Python, and constitutes the computational core in the P-CARES. Building around this computational core, probabilistic simulation and a graphical user interface (GUI) have been developed to form the integrated software package. The software architecture of P-CARES follows the object-oriented approach, the current state-of-the-art programming technique, which enables it to be very flexible and extensible for future upgrades. A rapid application development (RAD) aspect of P-CARES is the mixed programming in Python and Fortran, with Python serving as a powerful glue language while Fortran is used for the computational core involving heavy number crunching.

P-CARES provides the capabilities to perform deterministic and probabilistic site response and soil-structure interaction (SSI) analyses based on relatively simplified soil and structural models. It automatically manages data and calculations in the probabilistic simulation with any arbitrary number of samples. The sample soil profiles and the response spectra of the simulated soil and structural responses can be aggregated statistically in terms of mean, median, and different percentiles curves. These statistical measures may provide more valuable insights and inferences than those in deterministic soil and structural analysis, in the process of review and evaluation of nuclear power plant (NPP) structure designs. The probabilistic analysis capability in P-CARES becomes especially important as the nuclear industry is gaining wider acceptance of the probabilistic approach to account for the uncertainties inherent in the natural and built environments.

P-CARES also provides a set of utility functions for seismic motion analysis, which include the Arias Intensity calculation, accelerogram manipulation, Fast Fourier Transformation and its inverse, baseline correction of accelerograms, Butterworth low pass / high pass / band pass filtering, window smoothing, response spectrum generation, power spectrum density and coherency generation, and time history synthesis. The utility functions can be used to preprocess an accelerogram for the site response and SSI analysis, and can also be used for post processing for deterministic analysis. Another well-suitable application of these utilities is to simply examine the characteristic of a given accelerogram.

The P-CARES GUI integrates all the above-mentioned functions in one package, instead of a few stand-alone programs in the traditional CARES. It provides convenient on-screen model building capability for soil and structural models, automatic analysis management, and intuitive feedback of instant display of figures. The generated figures can be saved in various popular image formats or in data files that can be readily imported into any spreadsheet programs such as Excel for further processing. It can therefore greatly improve the productivity and makes P-CARES a valuable tool to assist the staff in evaluation of the site and structural analysis data submitted by the applicants.

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## APPENDIX A SAMPLE PROBLEM

This section describes a sample problem that covers time history synthesis, site response analysis, and soil-structure interaction and structural analysis, and typifies a common application scenario of P-CARES for confirmatory analysis of nuclear power plant structures. This sample problem has been developed based on the one presented in Xu, et al (1990), volume 3. Section A.1 describes the simulation procedure in P-CARES to synthesize a ground acceleration time history compatible to Reg. Guide 1.60 spectra. This synthetic time history is then applied in Section A.2 for deterministic and probabilistic site response analyses that calculate the strain-compatible soil properties of a layered soil profile, generate the output motions at various locations in the soil column, and optionally create the SSI motions to be used in the structural analysis. Section A.3 describes deterministic and probabilistic SSI and structural analyses that automatically carry over the sample strain-compatible soil profiles and the SSI motions from the site response analyses, perform the structural analyses, and generate the in-structure output motions and response spectra.

P-CARES utilizes the US customary unit system except that the response spectra are represented in terms of the gravity constant  $g$ .

### A.1 Synthetic Time History

Although any existing seismic record can serve well the demonstration of capability of the site response analysis and SSI and structural analysis, a record synthesized to match the Reg. Guide 1.60 spectra may demonstrate better the goal of P-CARES, which is to facilitate the quick assessment of nuclear power plant structures in the regulatory activities. The horizontal Reg. Guide 1.60 spectrum with a peak ground acceleration (PGA) of 0.2g and a damping ratio of 5% is selected as the target response spectrum. As a general rule for time history synthesis in P-CARES, the time increment should be set smaller than 1/5 of the minimum period of interest; and the duration is recommended to be greater than 4 times of the maximum period of interest to achieve a reasonable frequency resolution. The number of data points in the time history needs to be a power of 2 to allow the fast Fourier transform (FFT) is used in this utility. In this example, the time increment is set to 0.005 seconds, the number of points is selected to be 4096, and the duration is then determined to be 20.48 seconds. An envelop function consisting of build up, strong motion, and decaying periods is applied to the generated time history for a reasonable resemblance of the nonstationary characteristics of a realistic earthquake record. The parabolic buildup time parameter  $T_{rise}$  is set to 3 seconds, the strong motion duration  $T_{strong}$  is set to 5 seconds, and exponential decay time  $T_{decay}$  is set to 12 seconds.

Figure A-1 shows the parameters described above. The target response spectrum is selected by clicking the “Select Spectrum” option box and entering the parameters in the popup dialog for Reg. Guide 1.60 spectrum (shown in Figure A-2). After clicking the “OK” button, the “Freq” and “Response” fields are automatically filled up to define the target spectrum, the “Damping” and the “Acc Peak” boxes are set as well. The response spectra are linearly interpolated in the log-log scale. The phases of the time history are to be generated randomly in this example, while the other choices include reading from a Fourier component file or a time history file. However, if the phases are read from files the duration and the number of points will be the same as in the files. The maximum duration  $T_{max}$  and the number of data points are entered as 20.48 seconds and 4096. Although using the “EQ Magnitude” button can generate the envelop function parameters, the user can provide arbitrary numbers for  $T_{rise}$ ,  $T_{strong}$ , and  $T_{decay}$ . After clicking the “Initialize” button, the target response spectrum and the envelop function are plotted side by side in the top portion of Figure A-1. The response spectrum, acceleration, velocity, and displacement of the generated time history are stored in files as “sample.rs”, “sample.acc”, “sample.vel”, and “sample.disp” respectively.

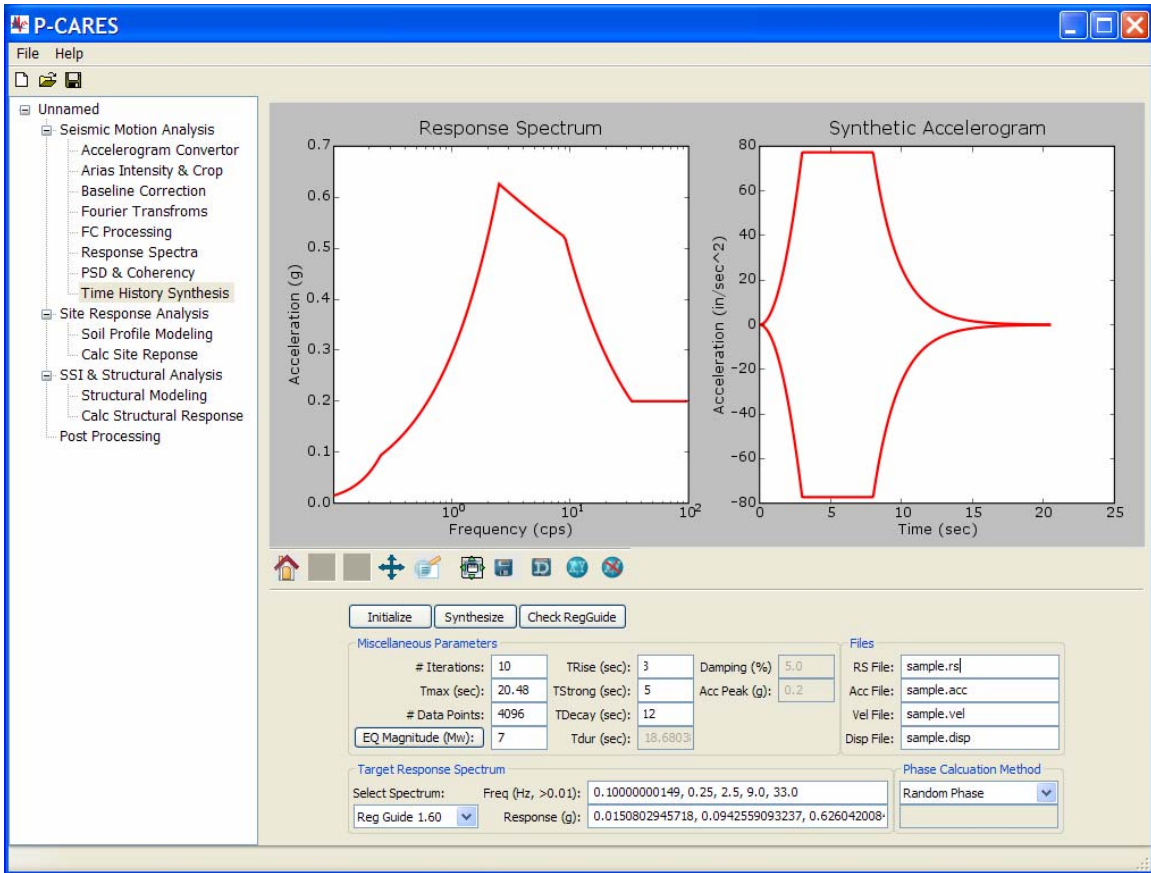


Figure A-1 Parameters for Time History Synthesis

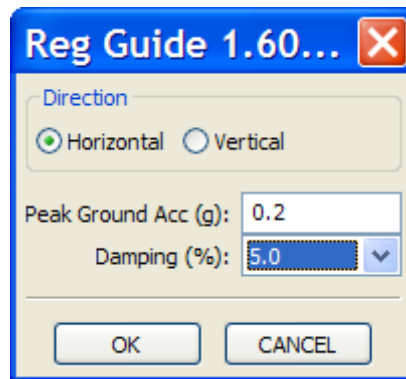


Figure A-2 Design Spectrum Dialog for Reg. Guide 1.60

The time history synthesis is an iterative process as described in the theoretical basis section. The user-specified number of iterations, 10 as in Figure A-1, is carried out for each click of the button “Synthesize”. After each cycle, i.e., a click of the “Synthesize” button, the generated time history and its response spectrum are plotted overlapping the envelop function and the target response spectrum respectively, and the associated files are saved. If the generated response spectrum does not match the target well, more cycles can be applied by more clicks of “Synthesize”. A satisfactory time history (by visual check) can usually be obtained in a few cycles; however, if a

reasonably good match has not been achieved after a few cycles, the random phases can be reinitialized by clicking “Initialize” and the time history can be regenerated. The user has an option to perform the Reg. Guide check on a satisfactory time history, which requires there can only be at most 5 points below and no point less than 90% of the target spectrum for the 75 Reg. Guide frequencies. Figure A-3 shows the generated time history and its response spectrum after applying the Reg. Guide check. Figure A-4 and Figure A-5 show plots of the time history and its Fourier spectrum respectively, the later of which was generated using the “Fourier Transforms” tool. The Fourier spectrum is stored in file “sample.acc.fc” and will be used as input in the site response analysis in the next subsection.

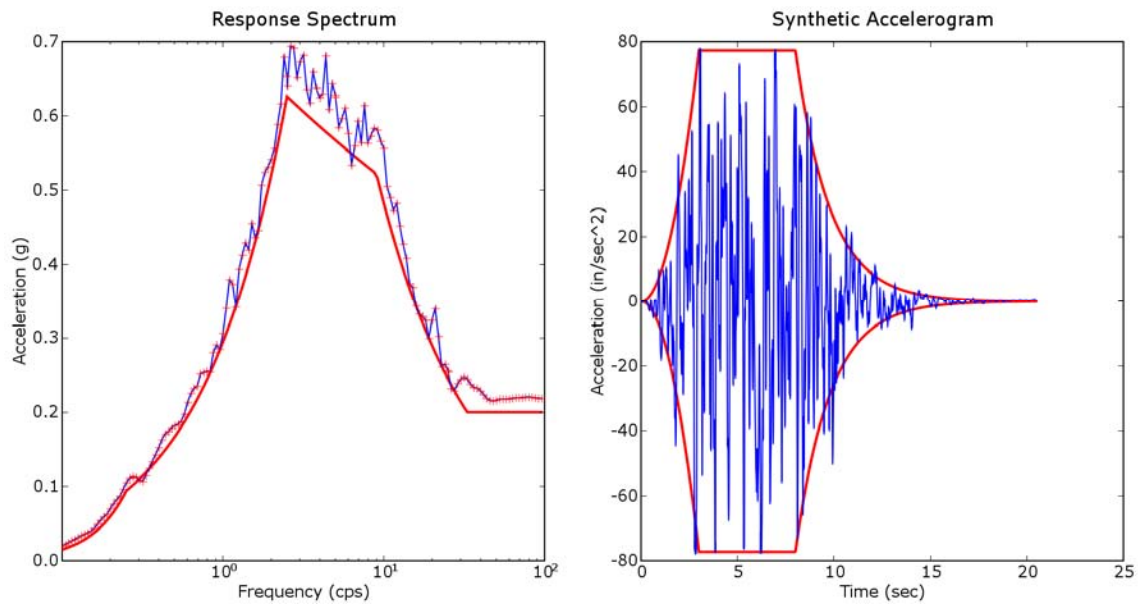


Figure A-3 The Synthetic Time History And Its Response Spectrum

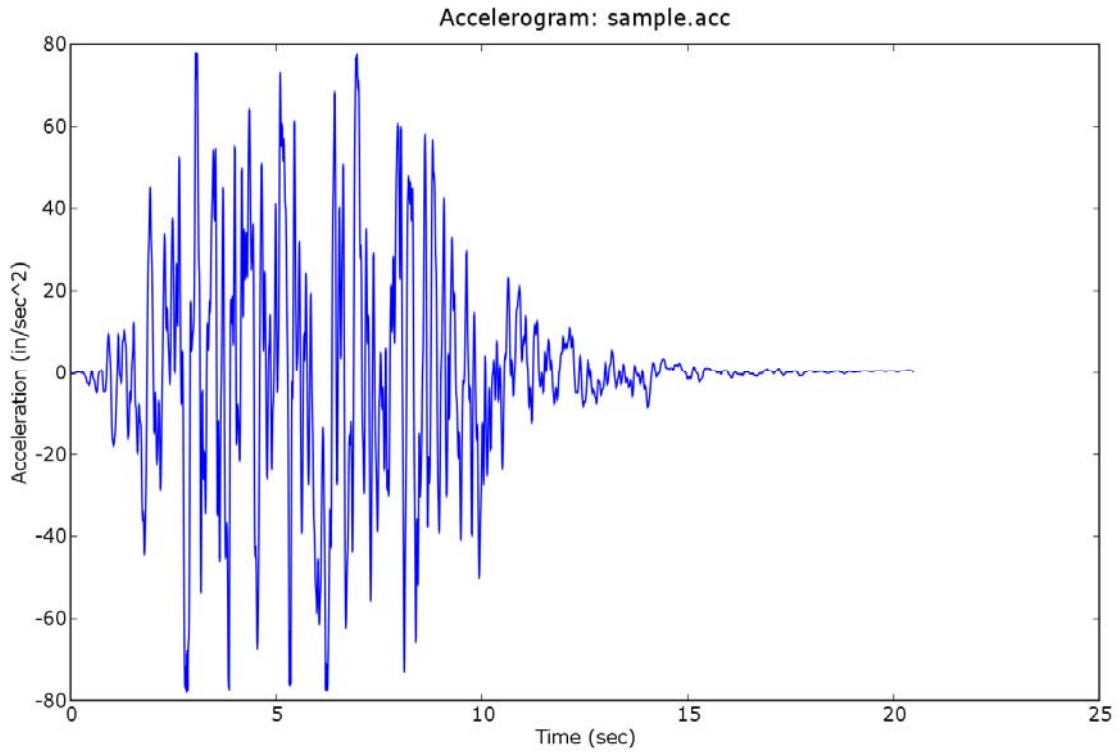


Figure A-4 Synthetic Time History

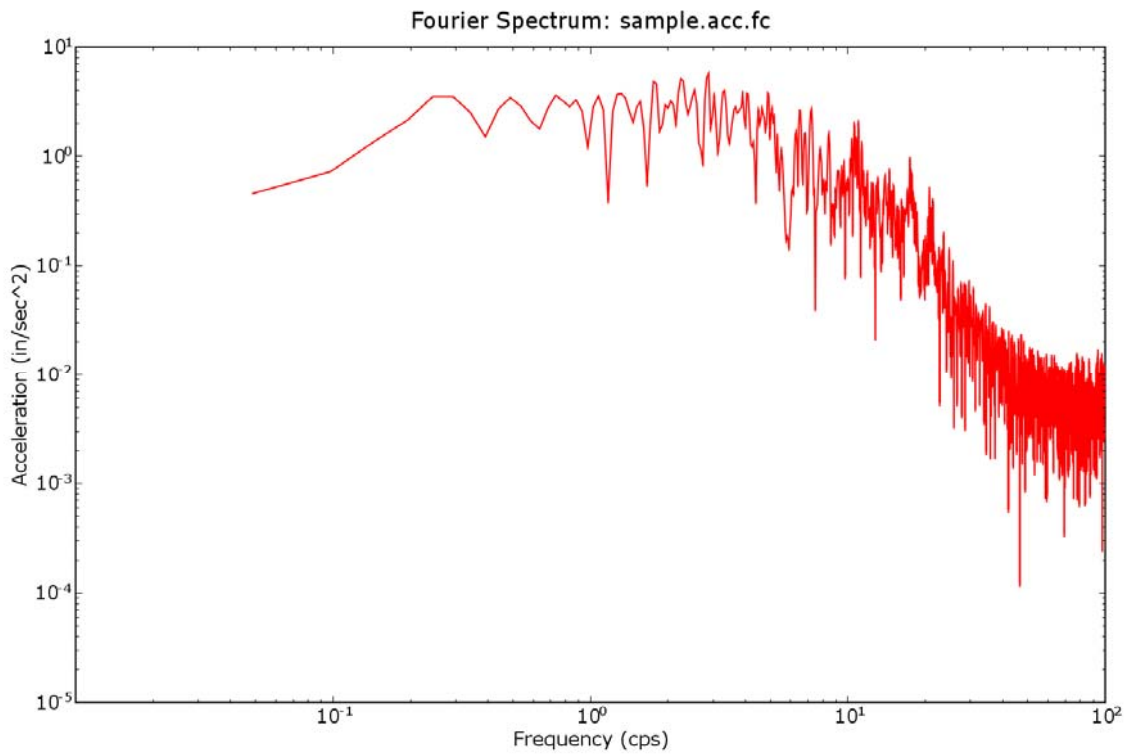


Figure A-5 Fourier Spectra of the Synthetic Time History

## A.2 Site Response Analysis

This subsection continues this demonstrative example with a simulation of a layered soil column subjected to vertical propagation of the synthetic time history, which has been generated in the previous subsection. As described in the theoretical basis section, the soil is modeled in P-CARES as horizontally layered 1-D column and the seismic motion is simulated as shear waves propagating vertically through the soil column. The degradation of the soil shear modulus and damping properties as functions of the soil strain can be calculated through deconvolution/convolution analysis, and the responses at various depths in the soil column due to the input seismic motion can then be obtained using the strain-compatible soil column. In addition to the site response analysis, P-CARES also include an option to calculate the SSI motions due to kinematic interaction at the base of the foundation. The site response analysis operates in the frequency domain and requires the input motion be represented as Fourier components. However, the strain calculation can also be specified to be carried out in the time domain, requiring the input motion be temporarily transformed to the time domain using FFT. The computational cost for strain calculation in the time domain is higher than in the frequency domain.

As shown in Figure A-6, the soil column used in this example consists of 4 layers of medium to dense sandy soils. The low strain properties of soil layers are tabulated in Table A.1. The soil degradation relations are assumed to be the SEED-IDRISS model, and the soil types of the four layers are assumed to be “Sand” in this model. The water table is about 10 ft below the ground surface. The layer thickness, the weight density, the low-strain shear velocity, and the low-strain shear modulus (used internally in P-CARES) are considered to be lognormally distributed. The means of these random variables are assumed as values shown in Table A.1, and the coefficient of variation (COV) is assumed to be 10% for the layer thickness and weight density and 20% for the shear wave velocity. The parameters defining the probabilistic distributions of the layer properties are summarized in Table A.2. It is important to note that the deterministic values of the shear moduli (as in Table A.1) derived from the weight density and the shear velocity are different than the means of the shear moduli (as in Table A.2), because the shear moduli are derived random variables from the weight density and the shear velocity. The resultant COV of the shear modulus is about 42.6%. The weight densities or the shear velocities between layers are assumed to be correlated according to a function exponentially decaying as the distance between two layers increases; other feasible correlation can be arbitrarily specified in P-CARES but is not considered in this example. The maximum correlation coefficient is assumed to be 0.2 for the soil column. More specific description of the various parameters used in the site response analysis will be provided when the step by step soil profile modeling is described.

Applied at the bottom of the 4<sup>th</sup> layer is the acceleration time history previously generated to match the Reg. Guide 1.60 horizontal wave with a PGA of 0.2 g and a damping ratio of 5%. The degraded soil profile is calculated in an iterative fashion. In each iteration, the soil strains due to the input motion are computed using the current constant soil properties and the soil properties for the next iteration are then determined to be matching the strain state from the degradation model. This iterative process stops when the strains computed in two consecutive iterations converge to a user-specified tolerance level. The soil properties of the last iteration become the properties of the strain-compatible soil profile, and are used to compute the output motions at various depths in the soil column. These output motions, which are represented in Fourier components format, include the user requested motions and the motions automatically generated over the embedment of the foundation in kinematic interaction analysis.

The site response analysis of P-CARES will be demonstrated step by step in the following using this simple soil profile. Six subsections will be presented in sequel to describe the features of soil profile modeling, namely the general information, the soil layer information, correlation modeling, and the foundation information, and the analysis options. The P-CARES GUI assists the user to efficiently and conveniently prepare the soil column model and the foundation information for SSI calculation and to manage the deterministic and/or probabilistic analyses. Saving the project periodically during the model preparation is a good practice to avoid the loss of data.



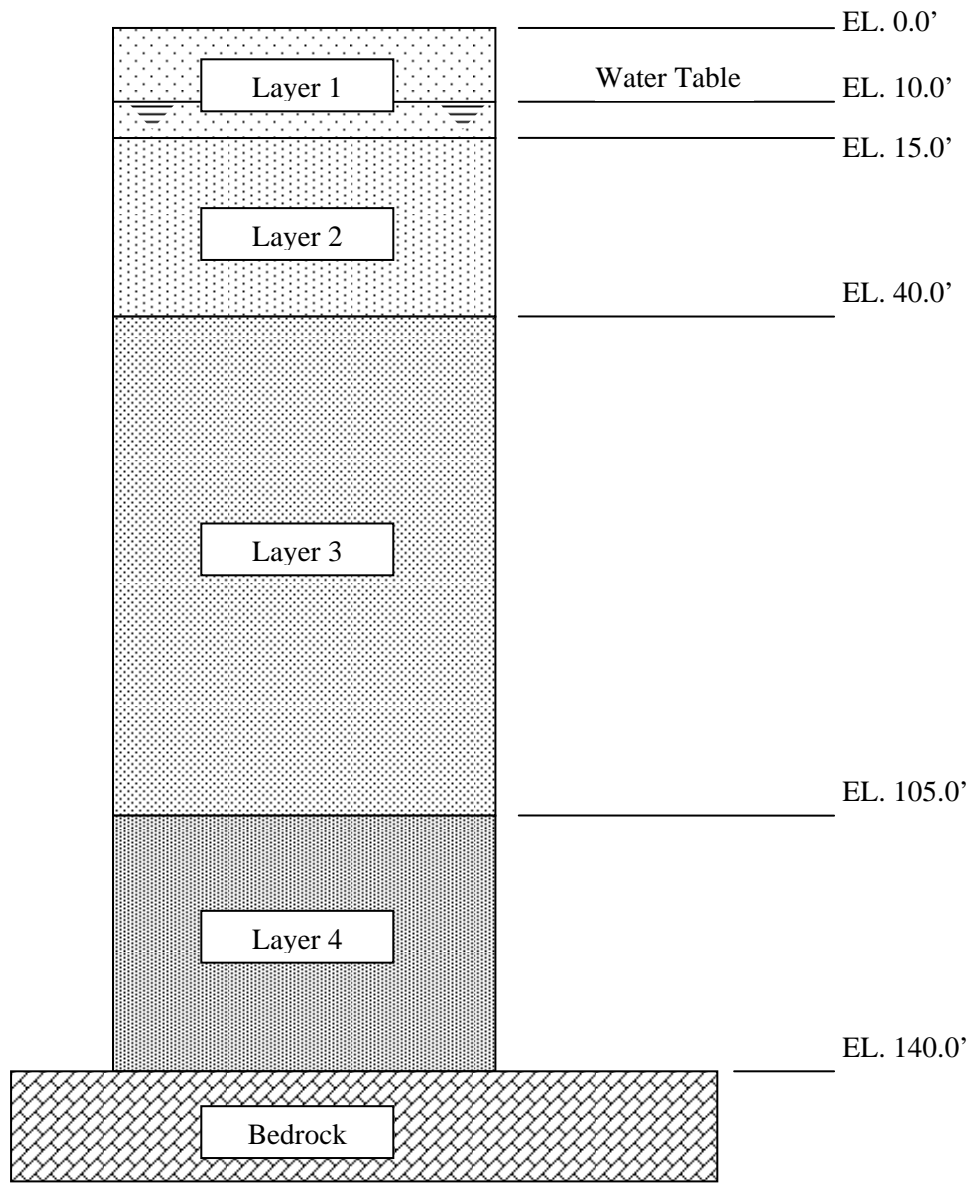


Figure A-6 Soil Profile Used for Site Response Analysis (After Xu, et al 1990)

Table A.1 Low-strain Soil Properties

Layer Id.	Thickness (ft)	Weight Density (pcf)	Poisson's Ratio	Low Strain Shear Velocity (ft/sec)	Shear Modulus (×1000 psf)
1	15	120	0.39	600	1342
2	25	120	0.48	1000	3727
3	65	120	0.48	1200	5366
4	35	120	0.47	1400	7304

Table A.2 Distribution Parameters for Soil Layer Properties

Layer Id.	Thickness (ft)		Weight Density (pcf)		Shear Modulus (×1000 psf)		Low Strain Shear Velocity (ft/sec)	
	Mean	Std	Mean	Std	Mean	Std	Mean	Std
1	15	1.5	120	12	1395	595	600	120
2	25	2.5	120	12	3876	1651	1000	200
3	65	6.5	120	12	5581	2378	1200	240
4	35	3.5	120	12	7597	3237	1400	280

### A.2.1 General Information

This modeling feature is located at the “Site Response Analysis/Soil Profile Modeling” within the command tree. Figure A-7 shows the “General Info” form that defines various parameters for the soil column as a whole. The input parameters are grouped into boxes for the user’s convenience. The necessary information is entered as follows,

- + The project name “Example” is also shown as the root of the command tree to signify the current project. The project title can be any descriptive statement about the project.
- + In the box “Soil Profile”, the number of soil layers is specified as 4, the soil degradation model is selected as “SEED-IDRISS 1970”, the strain calculation method is selected to be “Frequency” domain calculation (default), and the water table is set as 10 ft.
- + The rock properties are omitted in the box “Rock Properties”, therefore the input motion cannot be specified in the rock outcrop and no rock outcrop motion can be generated in this example.
- + The input motion is chosen as “Seismic Input”, and the input motion data will be read from the file “sample.acc.fc”. It is specified at the interface between the soil layer 4 and the bedrock.
- + In “Calculation Parameters” box, specify the cutoff frequency as 30 Hz, and the maximum error (convergence criteria) in calculating the strain as 5%.
- + In “Output Parameters” box, the rock outcrop motion should not be selected because the rock properties were not provided. A list of depths, 0, 10, 80, 120 ft, are specified as locations in the soil column for output motions. The strain-compatible soil profile will be stored in file “FINALSOIL.PRFL”, as specified.

The screenshot shows the 'General Info' tab of a software interface for soil profile modeling. The interface is organized into several sections:

- Project:** Name: Example, Title: Sample project for demo at NRC
- Soil Profile:** Number of Soil Layers: 4, Soil Degradation Model: SEED-IDRISS 1970, Strain Calc Method: Frequency, Ground Water Table: 10.0
- Rock Properties:** Weight Density (pcf): 0.0, Shear Velocity (ft/s<sup>2</sup>): 0.0, Damping Ratio (%): 0.0
- Input Motion:** Seismic Input (selected), Fourier Component File: sample.acc.fc, Location (Layer #): Layer 4, Sinusoidal Input (deselected), Number of Frequencies: 0, Max Frequency (Hz): 30.0
- Calculation Parameters:** Cutoff Frequency (Hz): 30.0, Max Error (%): 5.0
- Output Parameters:** Rock Outcrop Motion (checkbox), Soil Output Depths (ft, e.g., d1, d2,...): 0.0,10.0,80.0,120.0, Final Profile File (for Deterministic Analysis): FINALSOIL.PRFL

Figure A-7 Soil Profile Modeling - General Information

### A.2.2 Soil Layer Information

Figure A-8 to Figure A-11 show the input forms for the 4 soil layers. The user can navigate through layers using the buttons and other controls at the top of these forms. After the data entry for a layer is completed, clicking “Next>>>” with the “Copy previous if new” checked copies the data of the current layer to the next layer if the next layer has not been entered. Using “Propagate>>>” copies the current layer information to and *overwrites* all layers after it. Entering the layer number can directly jump to that layer.

The point values of the soil layer thickness, weight density, and the shear velocity are used for deterministic analysis, while the distributions defined by the mean and the standard deviation (std) are used for probabilistic analysis. A negative number in the boxes under “**Std(-COV)**” is interpreted as the COV, and the corresponding standard deviation is then computed internally. The shear modulus of each layer, which is used internally in P-CARES for the computation, is a derivative quantity from the layer weight density and the shear velocity. The Poisson’s ratios are used only in the kinematic interaction analysis. All data in these forms can be found in Table A.1 and Table A.2.

The soil type of the SEED-IDRISS soil degradation model is selected as “Sand” for all 4 layers; the other possible soil type in this model is “Clay”. The gray boxes are used for defining the relevant parameters for the constant or user degradation model.

General Info | **Soil Layer Info** | Correlation | Foundation

Propagate >>>  Copy previous if new <<< Prev Next >>> 1 of 4

	RV ID	Pt Value	Distribution	Mean	Std (-COV)
Layer Thickness (ft):	H1	15.0	Lognormal	15.0	1.5
Weight Density (pcf):	PCF1	120.0	Lognormal	120.0	12.0
Shear Velocity (ft/s <sup>2</sup> ):	VS1	600.0	Lognormal	600.0	120.0
Poisson's Ratio:	MU1	0.39	<-- for SSI only.		

**Soil Degradation Model Parameters**

Model: SEED-IDRISS 1970

Soil Type: Sand | Constant Model - Damping (%):

*Degradation Curve Definition (MUST be a comma-separated list of 11 Points).*

Strain Values:

Modulus Deg Ratio:

Damping Deg (%):

Figure A-8 Soil Profile Modeling - Soil Layer Information for Layer 1

General Info | **Soil Layer Info** | Correlation | Foundation

Propagate >>>  Copy previous if new <<< Prev Next >>> 2 of 4

	RV ID	Pt Value	Distribution	Mean	Std (-COV)
Layer Thickness (ft):	H2	25.0	Lognormal	25.0	2.5
Weight Density (pcf):	PCF2	120.0	Lognormal	120.0	12.0
Shear Velocity (ft/s <sup>2</sup> ):	VS2	1000.0	Lognormal	1000.0	200.0
Poisson's Ratio:	MU2	0.48	<-- for SSI only.		

**Soil Degradation Model Parameters**

Model: SEED-IDRISS 1970

Soil Type: Sand | Constant Model - Damping (%):

*Degradation Curve Definition (MUST be a comma-separated list of 11 Points).*

Strain Values:

Modulus Deg Ratio:

Damping Deg (%):

Figure A-9 Soil Profile Modeling - Soil Layer Information for Layer 2

General Info | **Soil Layer Info** | Correlation | Foundation

Propagate >>>  Copy previous if new <<< Prev Next >>> 3 of 4

	RV ID	Pt Value	Distribution	Mean	Std (-COV)
Layer Thickness (ft):	H3	65.0	Lognormal	65.0	6.5
Weight Density (pcf):	PCF3	120.0	Lognormal	120.0	12.0
Shear Velocity (ft/s <sup>2</sup> ):	VS3	1200.0	Lognormal	1200.0	240.0
Poisson's Ratio:	MU3	0.48	<-- for SSI only.		

**Soil Degradation Model Parameters**

Model: SEED-IDRISS 1970

Soil Type: Sand Constant Model - Damping (%):

*Degradation Curve Definition (MUST be a comma-separated list of 11 Points).*

Strain Values:

Modulus Deg Ratio:

Damping Deg (%):

Figure A-10 Soil Profile Modeling - Soil Layer Information for Layer 3

General Info | **Soil Layer Info** | Correlation | Foundation

Propagate >>>  Copy previous if new <<< Prev Next >>> 4 of 4

	RV ID	Pt Value	Distribution	Mean	Std (-COV)
Layer Thickness (ft):	H4	35.0	Lognormal	35.0	3.5
Weight Density (pcf):	PCF4	120.0	Lognormal	120.0	12.0
Shear Velocity (ft/s <sup>2</sup> ):	VS4	1400.0	Lognormal	1400.0	280.0
Poisson's Ratio:	MU4	0.47	<-- for SSI only.		

**Soil Degradation Model Parameters**

Model: SEED-IDRISS 1970

Soil Type: Sand Constant Model - Damping (%):

*Degradation Curve Definition (MUST be a comma-separated list of 11 Points).*

Strain Values:

Modulus Deg Ratio:

Damping Deg (%):

Figure A-11 Soil Profile Modeling - Soil Layer Information for Layer 4

### A.2.3 Correlation

Figure A-12 shows the correlation definition form. The correlation table can be populated using a spatial exponential rule as described in the theoretical basis section. The characteristic layer thickness used in this rule is initialized as the average thickness (35 ft), though it can be entered as any positive value. A larger characteristic layer thickness makes the correlation decay slower as the distance between two layers increases. The maximum correlation coefficient is set to 0.2 in this example. Clicking “Initialize Correlation” fills out the correlation table after deleting any previous coefficients. It should be noted that this initialization only considers correlation between layer shear moduli or between weight densities. However, the user can specify any feasible coefficient between any two random variables. Right click on the row ids displays a popup menu for appending and deleting rows, each of which defines a correlation pair. Click any RVID field will show a list of all random variable ids for the user to choose. As an example from the correlation table, the correlation coefficient for the shear moduli between the first layer and the rest layers decays from 0.2, to 0.036, and then to 0.0021.

	RVID 1	RVID 2	Correlation Coefficient
1	G1	G2	0.2000
2	G1	G3	0.0360
3	G1	G4	0.0021
4	G2	G3	0.1129
5	G2	G4	0.0065
6	G3	G4	0.0849
7	PCF1	PCF2	0.2000
8	PCF1	PCF3	0.0360
9	PCF1	PCF4	0.0021
10	PCF2	PCF3	0.1129
11	PCF2	PCF4	0.0065
12	PCF3	PCF4	0.0849

Figure A-12 Soil Profile Modeling - Correlation Definition

### A.2.4 Foundation

Figure A-9 shows the foundation definition form. With a single check box “Include kinematic interaction”, the user can choose to include or exclude the kinematic interaction analysis during the site response analysis. The kinematic interaction analysis generates the translational and the rotational SSI motions to be used in the SSI and structural analysis. Since the SSI and structural analysis is included in this example, the kinematic interaction analysis is enabled as shown in Figure A-9.

The foundation information is taken from the structural model to be introduced in a late section. The embedment is 10 ft and the radius of the circular foundation is 75 ft. As described in the theoretical basis section, the soil in the context of SSI analysis is modeled as a two-layered soil system (side soil and base soil) in P-CARES. The damping of the side and base soil is assumed

as 10% (Xu, et al, 1990). The extended depth, a distance extending downward from the base of the structure for automatic base soil approximation in P-CARES, is set to 30 ft.

The screenshot shows the 'Foundation' tab of a software interface. It contains several sections:
 

- Include kinematic interaction:** A checked checkbox.
- Foundation Depths:** A section with two input fields: 'Embedment (ft): 10.0' and 'Extended Depth (ft): 30.0'. To the right of the embedment field is the text 'No Kinematic Interaction for Surface Foundation'.
- Foundation Plan Dimension:** A section with two radio buttons: 'Circular Foundation' (selected) and 'Rectangular Foundation' (unselected). Below the circular option is a 'Radius (ft): 75.0' field. Below the rectangular option are 'X Length (ft): 0.0' and 'Y Length (ft): 0.0' fields, with the text 'along motion direction' to the right of the X length field.
- Misc Information:** A section with a 'Side and Base Soil Damping (%): 10.0' field.

Figure A-13 Soil Profile Modeling – Foundation

### A.2.5 Deterministic Site Response Analysis

Figure A-14 shows the form for site response analysis, which is located at the “Site Response Analysis/Calc Site Response” within the command tree. Selection of deterministic type in this form is the only requirement to perform a deterministic site response analysis. Click the button “Run” to start the analysis.

The outputs from the deterministic analysis are stored as text files. In this example, the outputs from the deterministic site response analysis are the strain-compatible soil profile in file “FINALSOIL.PRFL”, and four Fourier component files, namely “accout01.fc”, “accout02.fc”, “accout03.fc”, and “accout04.fc”, for the output motions at depths of 0, 10, 80, and 120 ft respectively. Another two files, “BASETRAN.FC” and “BASEROT.FC”, are the translational and rotational motions from the kinematic interaction analysis and will be used as input motions in the deterministic SSI and structural analysis.

Key soil properties are extracted from the strain-compatible soil profile file “FINALSOIL.PRFL” and are summarized in Table A.3. Significant degradation in shear velocity and shear modulus has been observed due to the synthetic input motion. For example, the shear modulus and shear wave velocity reduce to about 26.5% and 51.5% of the low-strain values for the 4<sup>th</sup> layer.

The post processing of the deterministic site responses can be achieved using the seismic motion analysis tools. The time histories and the Fourier spectra shown in Figure A-15 to Figure A-18 are generated using the “Fourier Transforms” tool, for the output depths of 0, 10, 80, and 120 ft in the soil column respectively. Figure A-19 to Figure A-22 show the response spectra generated using the “Response Spectra” tool, using various damping values for the four output depths.

Analysis Type

Deterministic

Probabilistic

Simulation Method: Monte Carlo

Number of Samples: 0

Generate a new Fekete Point Set

Generate

Runs: 100

Dimension: 12, # of Points: 0

Read Fekete Point Set from existing file

Fekete Point File:

Browse

Run

Figure A-14 Calc Site Response - Deterministic Analysis

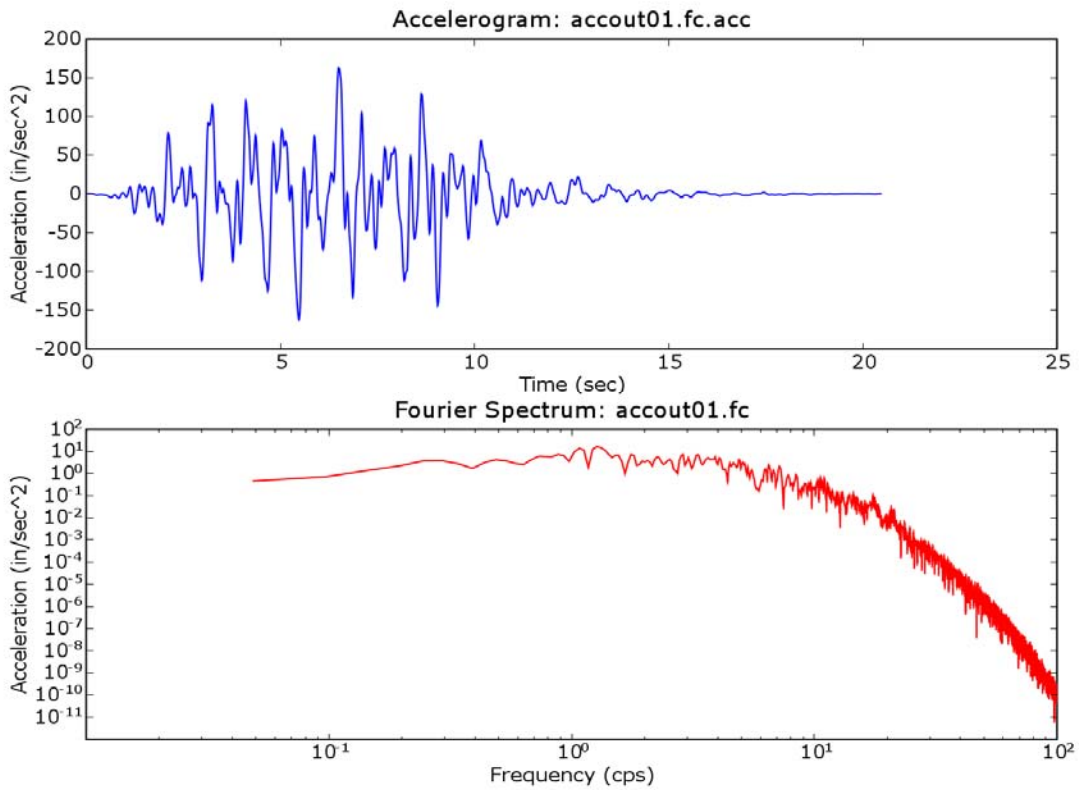


Figure A-15 Deterministic Site Response at 0 ft - Time History and Fourier Spectrum



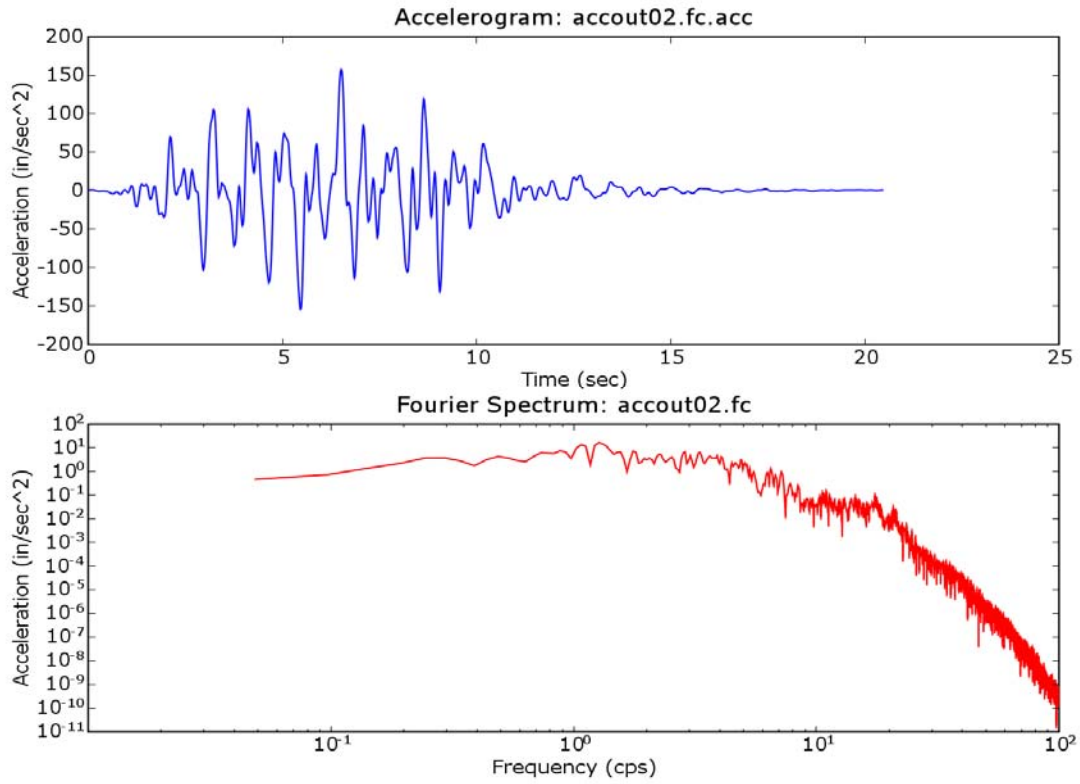


Figure A-16 Deterministic Site Response at 10 ft - Time History and Fourier Spectrum

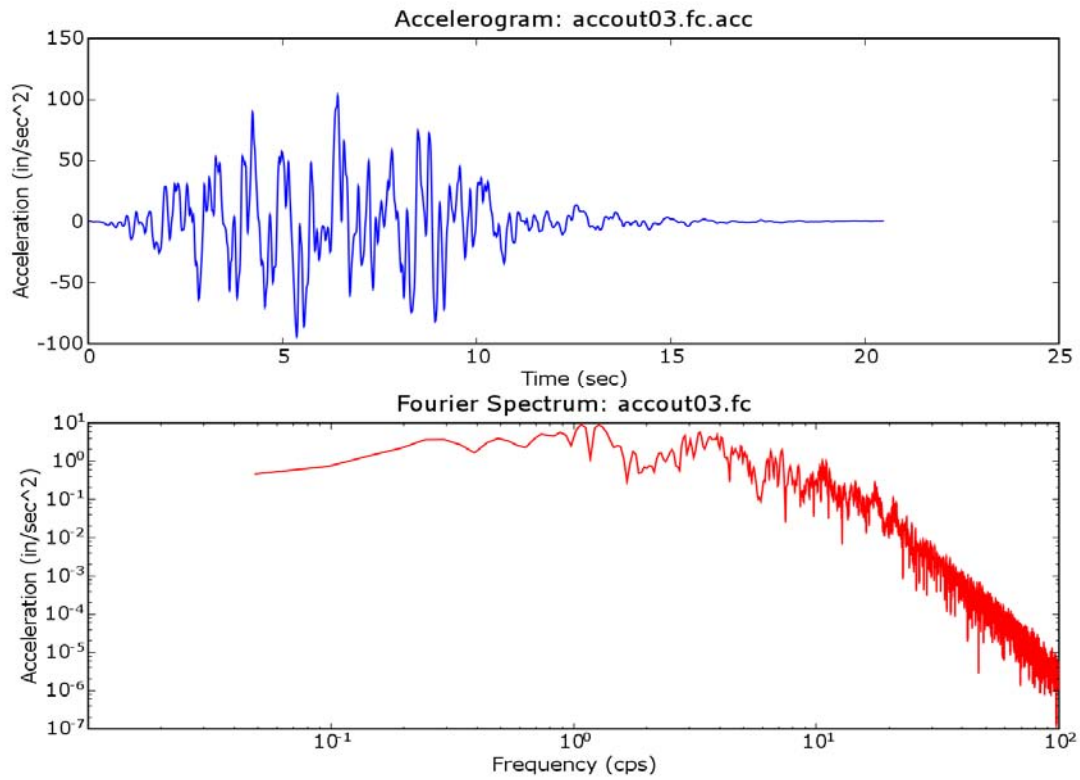


Figure A-17 Deterministic Site Response at 80 ft - Time History and Fourier Spectrum

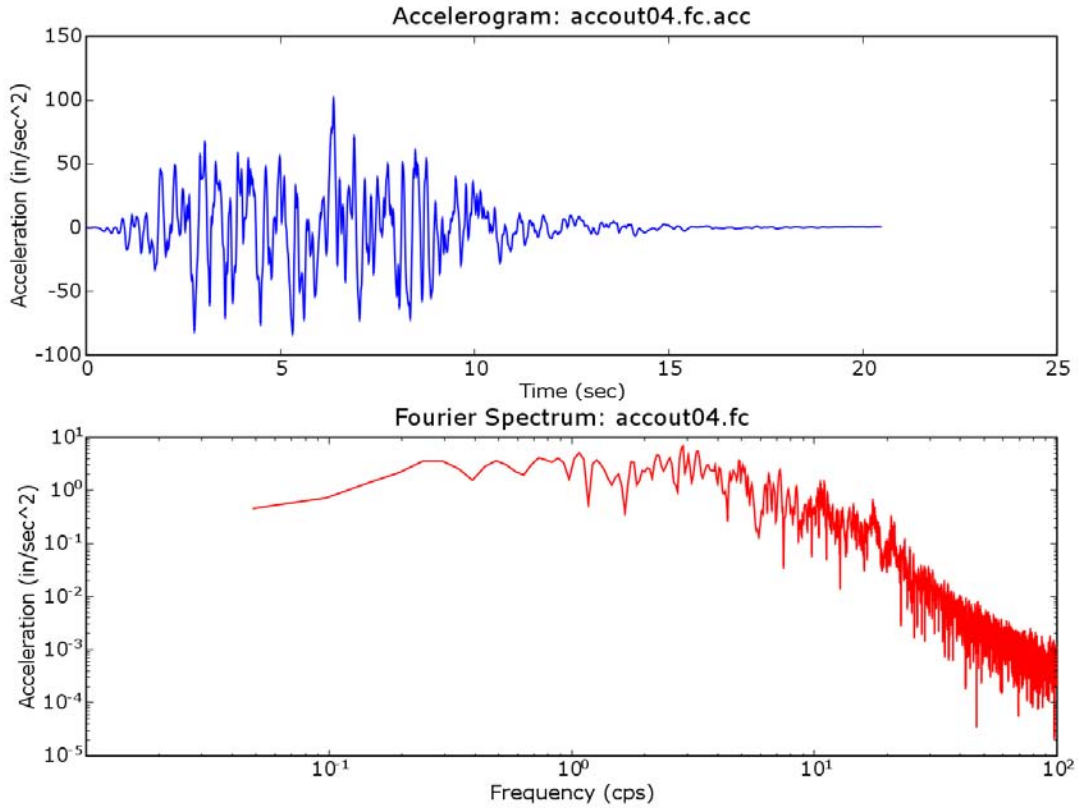


Figure A-18 Deterministic Site Response at 120 ft - Time History and Fourier Spectrum

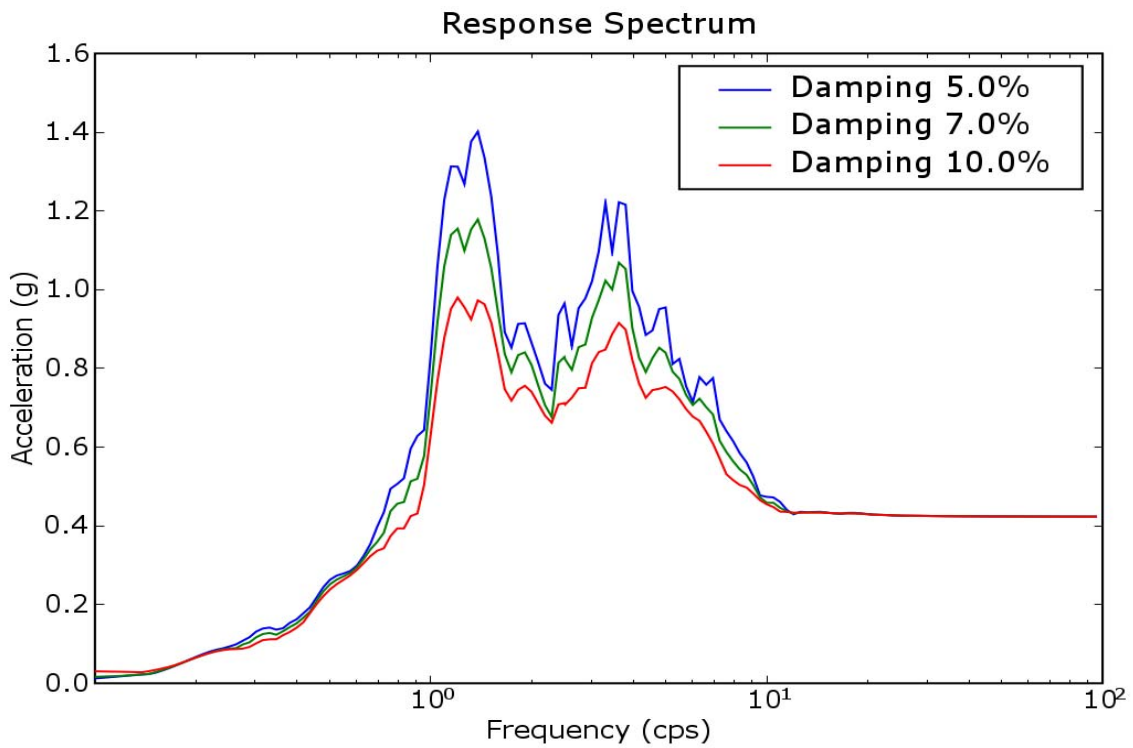


Figure A-19 Deterministic Site Response at 0 ft - Response Spectra

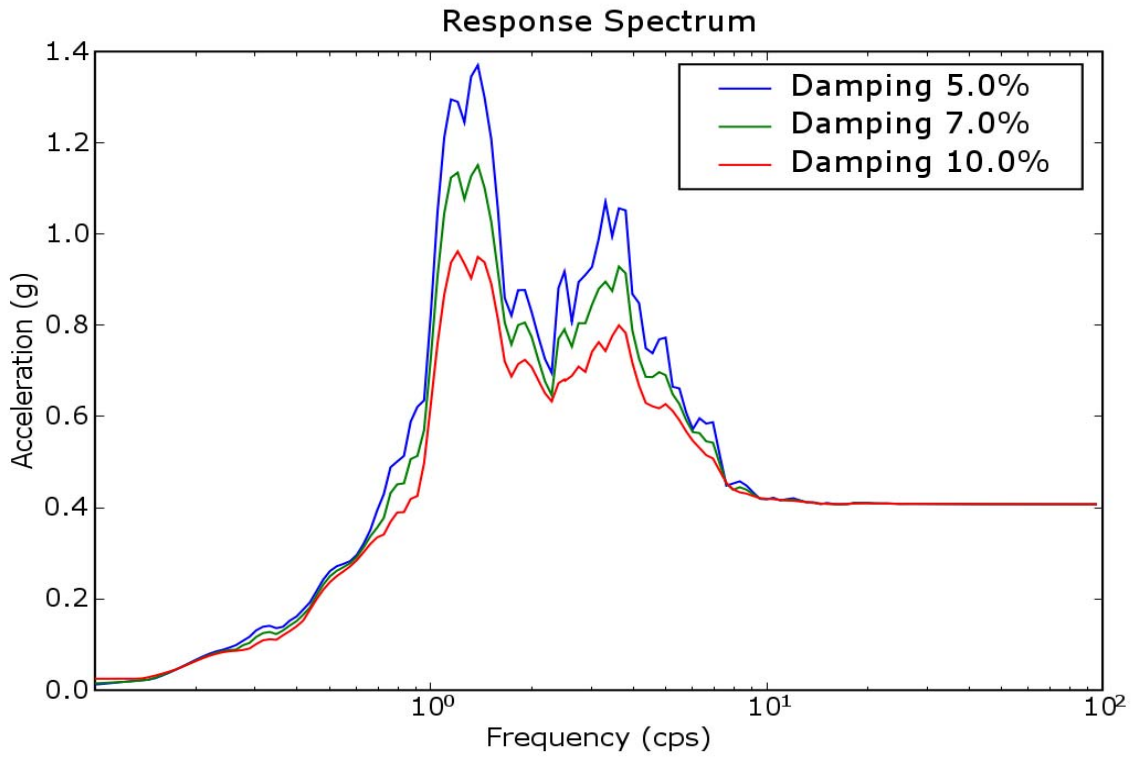


Figure A-20 Deterministic Site Response at 10 ft - Response Spectra

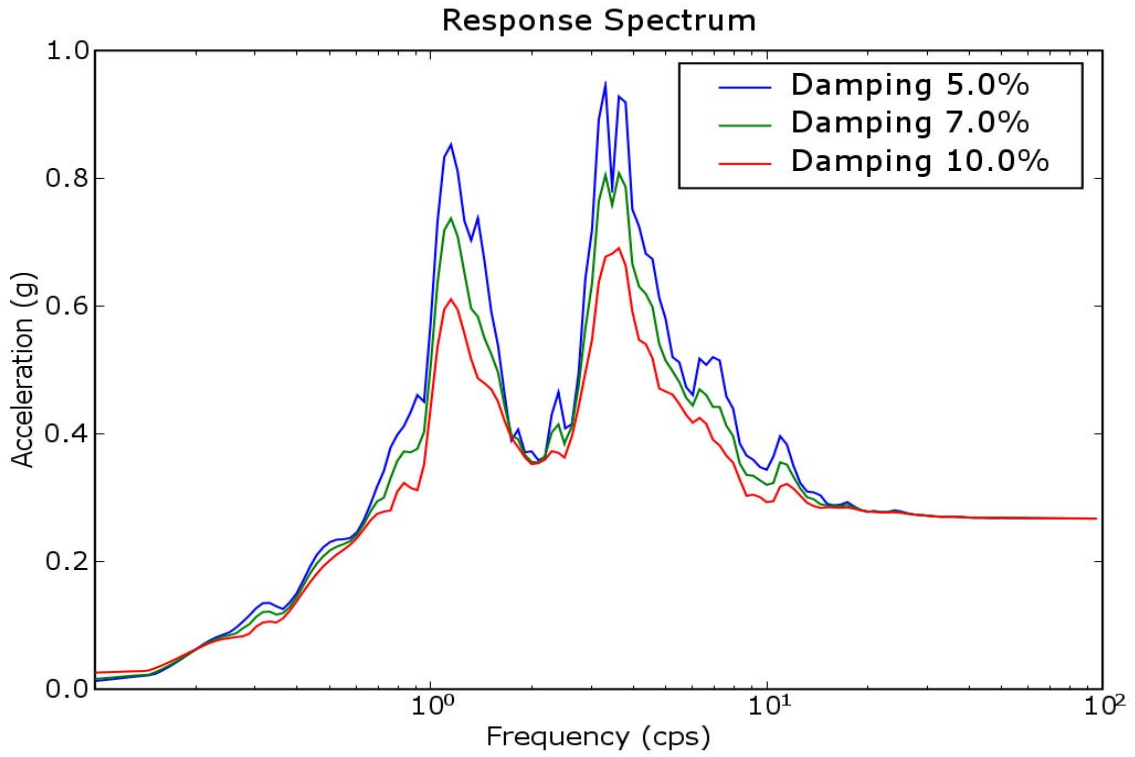


Figure A-21 Deterministic Site Response at 80 ft - Response Spectra

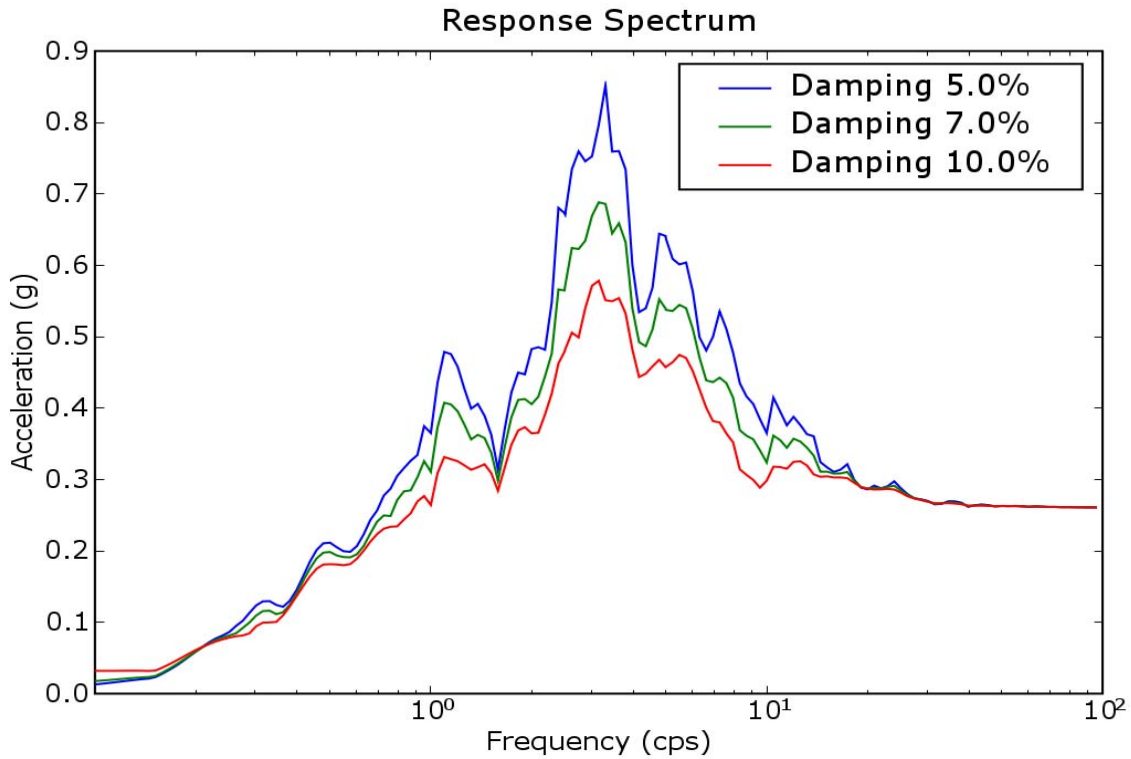


Figure A-22 Deterministic Site Response at 120 ft - Response Spectra

Table A.3 Strain-compatible Soil Profile

Layer Id.	Effective Shear Strain (%)	Shear Modulus ( $\times 1000$ psf)	Shear Velocity (ft/sec)	Damping Ratio (%)
1	0.0340	578.4	393.8	10.34
2	0.0458	1414.7	616.2	11.77
3	0.0857	1460.0	626.3	14.76
4	0.0893	1936.0	721.3	14.96

### A.2.6 Probabilistic Site Response Analysis

Figure A-23 shows the form for site response analysis with the probabilistic analysis type enabled. P-CARES implements four simulation methods Monte Carlo simulation, Latin Hypercube sampling (LHC), Engineering LHC, and the experimental Fekete point set method; details on these simulation methods can be found in the theoretical basis section. If the Fekete point set method is used, the number of samples must be greater than the number of random variables, which is the triple of the number of soil layers. The LHC sampling method with a hundred samples is used in this example. Click button “Run” to start the probabilistic analysis. After the calculation is done, the strain-compatible soil profile samples and the output motions at the four specified locations are stored in databases “SOILPROFILE.DB” and “SOILRESPONSE.DB”. Another database file “SSIRESPONSE.HDB” that stores the SSI motions from the kinematic interaction analysis is generated as requested in this example.

The output processing for the probabilistic simulation of the site responses requires the use of the “Post Processing” tool in the command tree, as shown in Figure A-24. This tool can handle the simulated soil profile, the soil responses, and the nodal responses of the super structure. For soil profile data, the statistic measures include minimum, maximum, mean, median, and a number of user-specified percentiles. For both soil and structural responses, the statistics measures for the response spectra include the mean, median, and a number of user specified percentiles. The response spectrum of the input motion can also be plotted on top of other response spectra. After loading the selected database file, the items available for plotting are shown in the drop down list. Click “Draw” to plot the selected item using the current plot options. For response spectra plotting, the user will be prompted to use a previously generated response spectra file if there is one, for the sake of the regeneration effort.

Figure A-25 to Figure A-31 show the statistics plots for the simulated layer thickness, weight density, damping ratio, low strain shear velocity, strain-compatible shear velocity, low strain shear modulus, and strain-compatible shear modulus. Since the damping ratios are obtained from the soil degradation model, their sample distributions do not appear lognormally distributed because the mean can be smaller than the median for some layers. The variation of the shear modulus is larger than that of the other variables, and consequently its statistical plot of the shear modulus reveals a sample distribution more like a lognormal distribution. It is worth noting that a lognormal distribution tends to appear close to a normal distribution when the COV of the random variable is small.

Figure A-32 to Figure A-35 present the response spectra plots for the four output depths of 0, 10, 80, and 120 ft respectively. These figures show that the response of the soil is magnified and the peak of the response spectra shifts to lower frequencies as the wave propagate up vertically through the soil column. Even in a close distance between the output depth of 120 ft and the input motion location at 140 ft, the response spectrum of any individual sample does not resemble that of the input motion (e.g. as in Figure A-22). However, the mean and the median of the simulated responses at a depth of 120 ft are sufficiently close to the response spectrum of the input motion (see Figure A-35).

Analysis Type

Deterministic

Probabilistic

Simulation Method: Latin Hypercube

Number of Samples: 100

Generate a new Fekete Point Set

Generate

Runs: 100

Dimension: 12, # of Points: 0

Read Fekete Point Set from existing file

Fekete Point File: FeketeCube 12-100.fps

Browse

Run

Figure A-23 Calc Site Response - Probabilistic Analysis

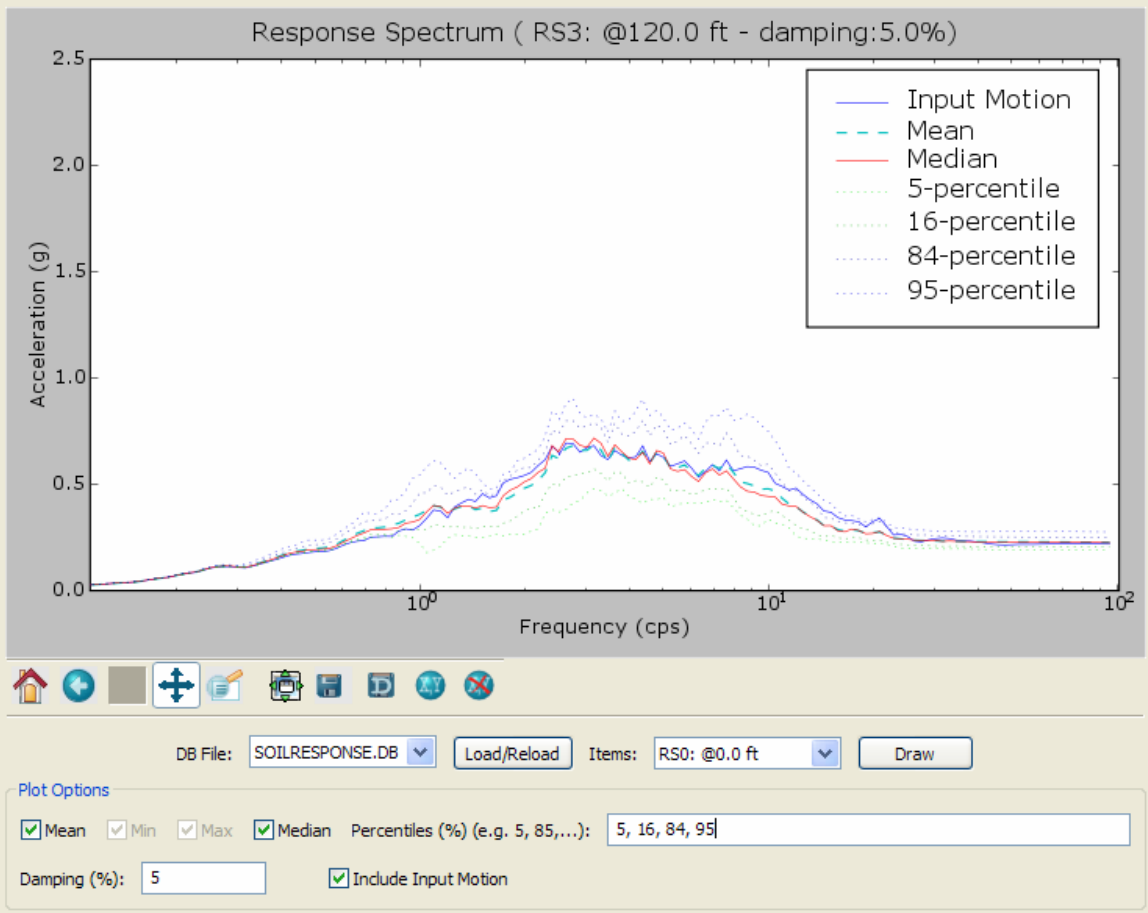


Figure A-24 Post Processing Tool for Simulation Results

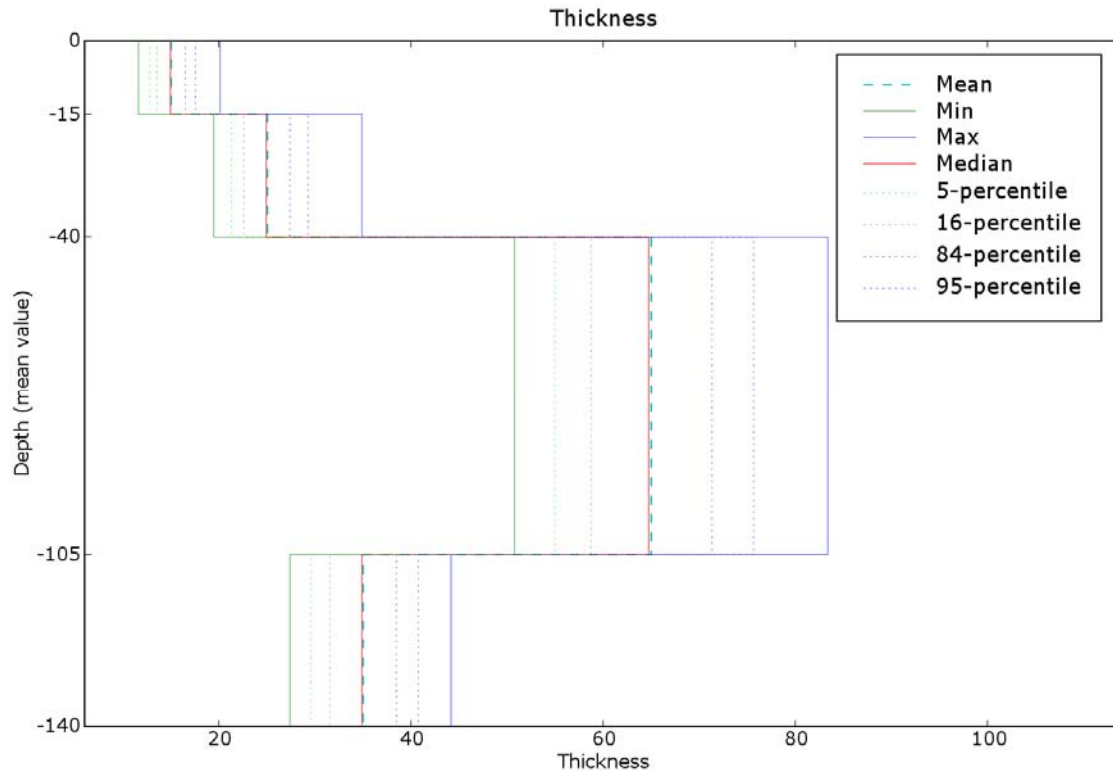


Figure A-25 Probabilistic Soil Profile – Thickness

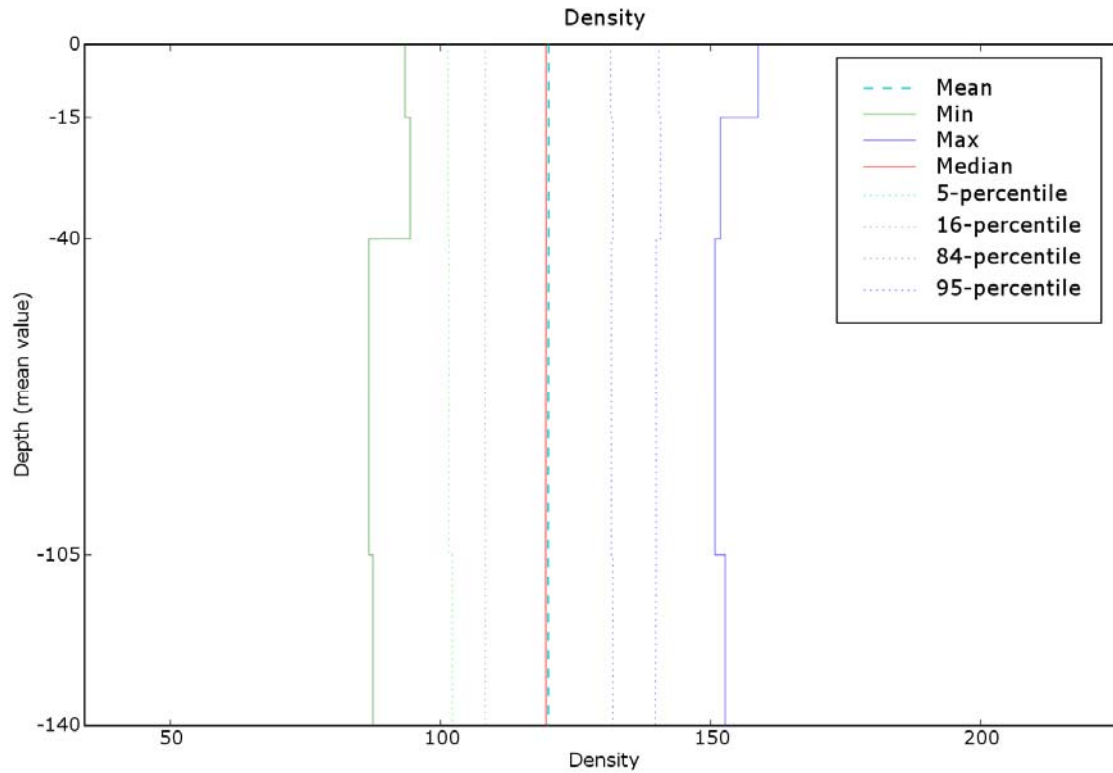


Figure A-26 Probabilistic Soil Profile – Weight Density

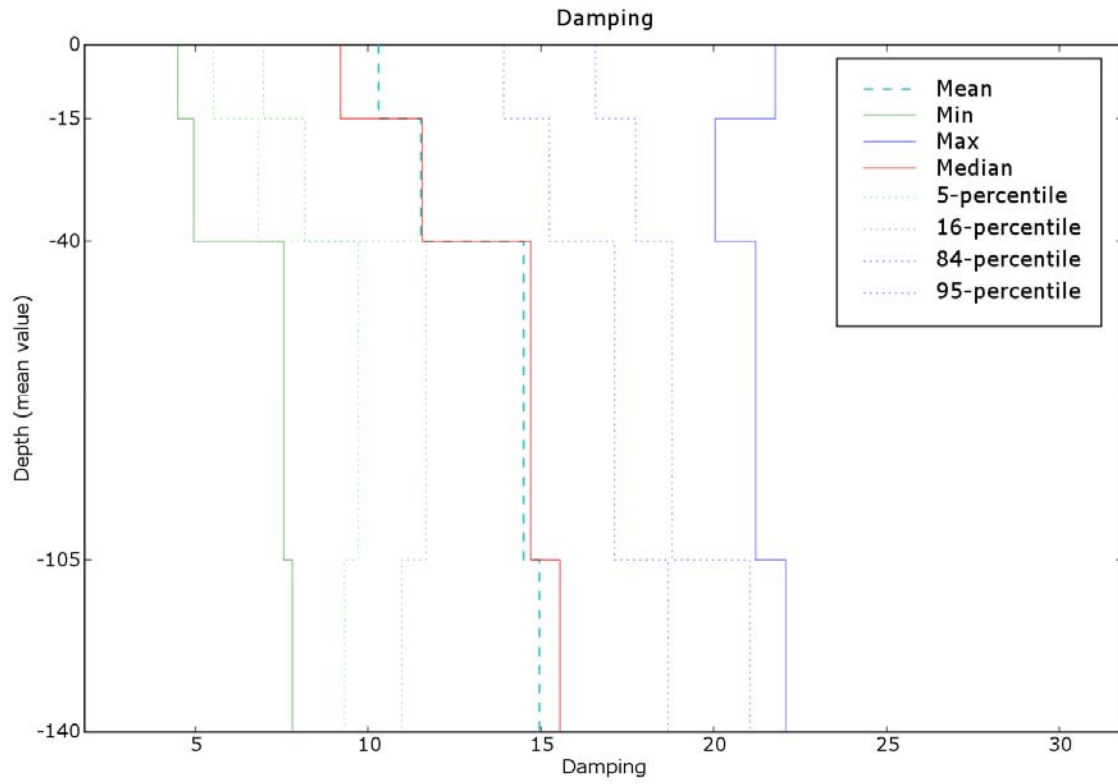


Figure A-27 Probabilistic Soil Profile – Damping

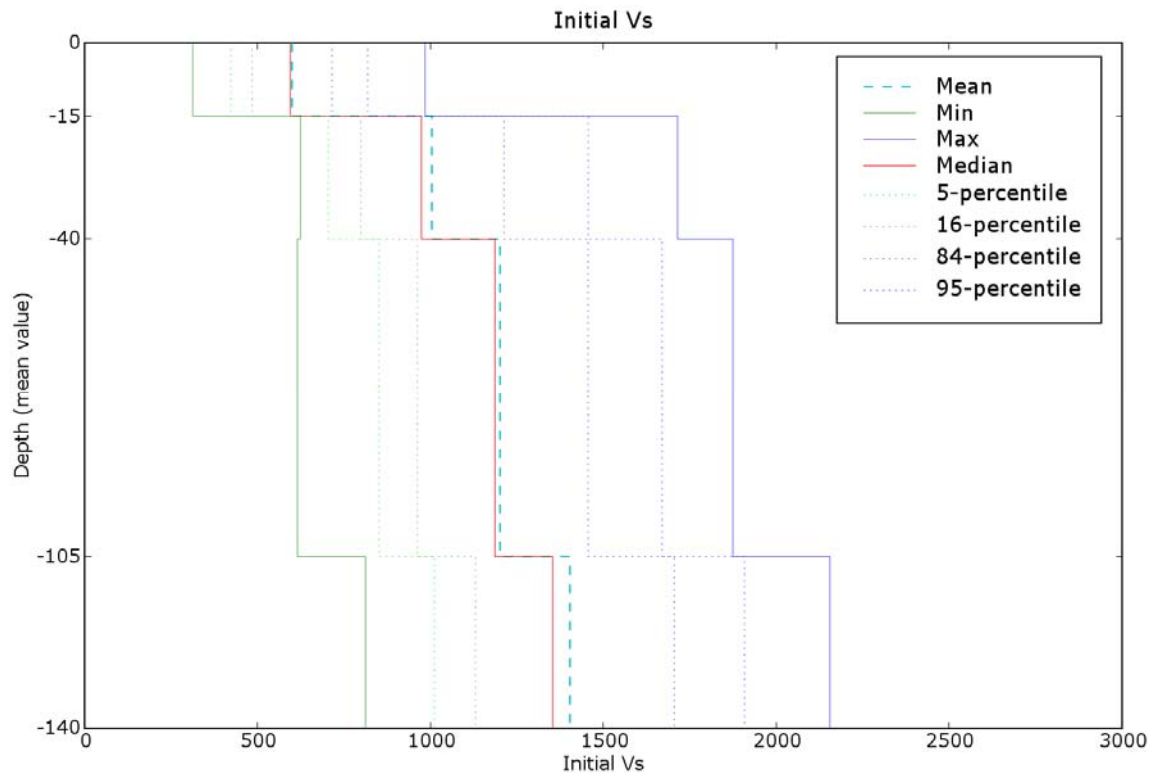


Figure A-28 Probabilistic Soil Profile – Low Strain Shear Velocity



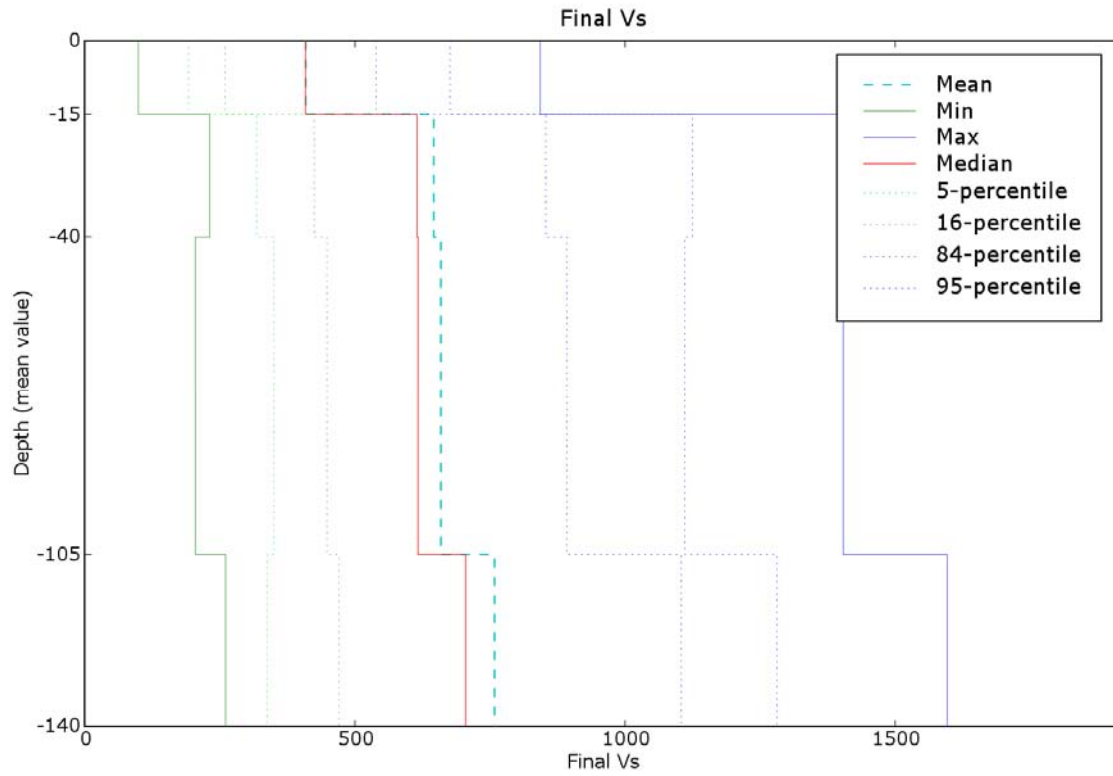


Figure A-29 Probabilistic Soil Profile – Strain-compatible Shear Velocity

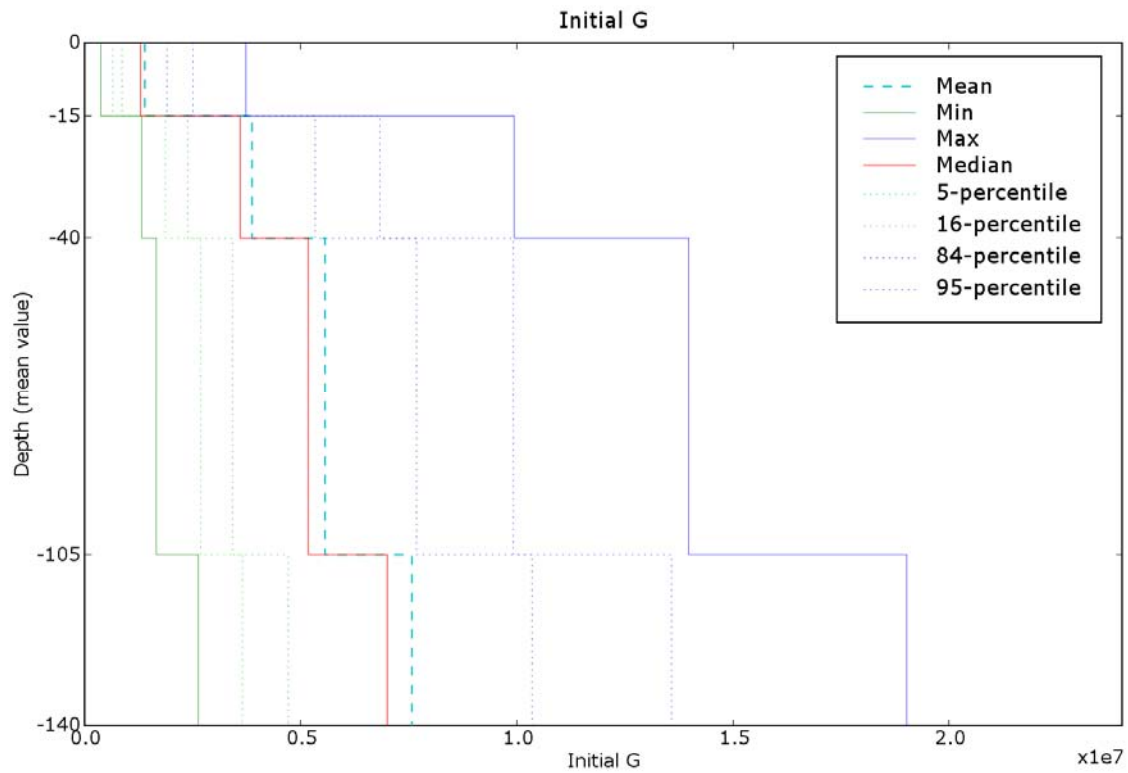


Figure A-30 Probabilistic Soil Profile – Low Strain Shear Moduli

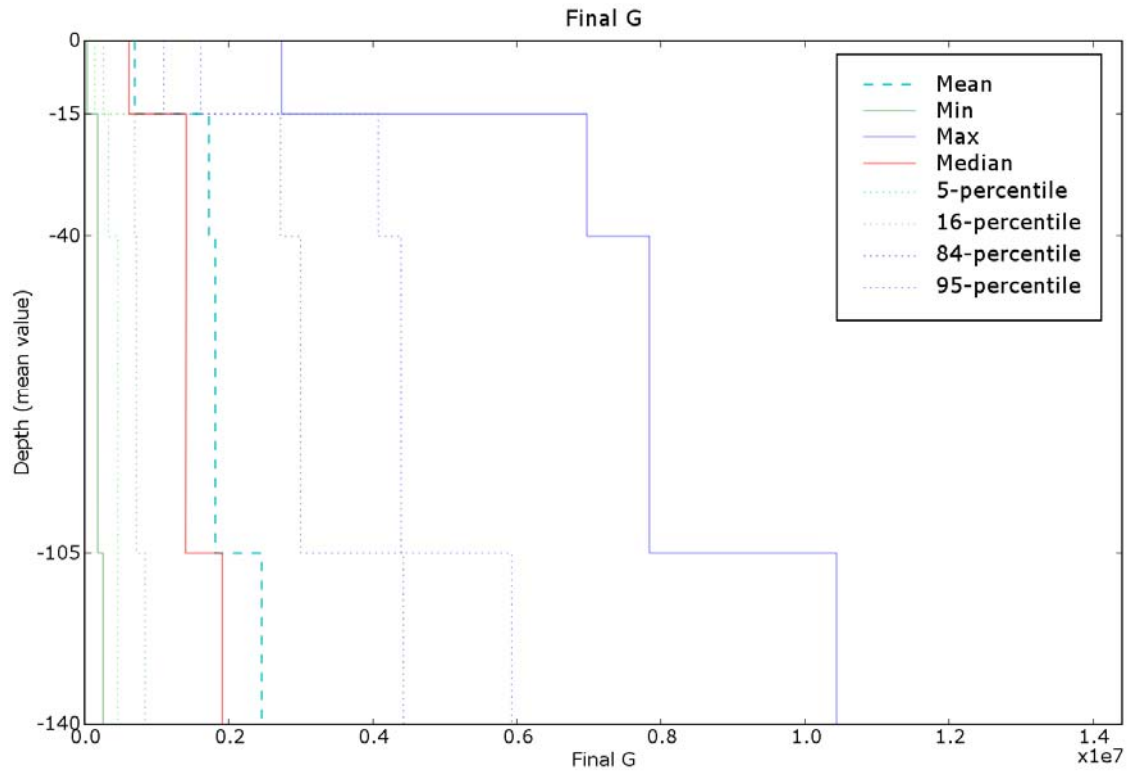


Figure A-31 Probabilistic Soil Profile – Strain-compatible Shear Moduli

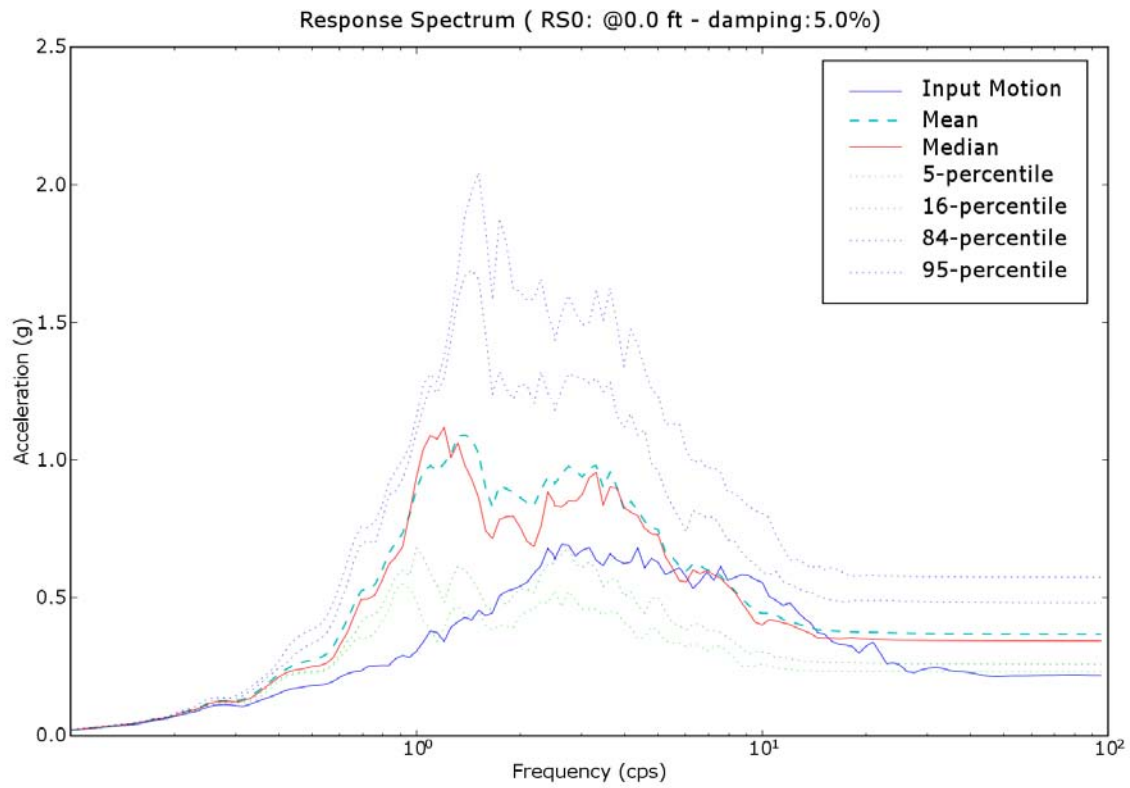


Figure A-32 Probabilistic Site Response Spectra - at 0 ft (Ground Surface)

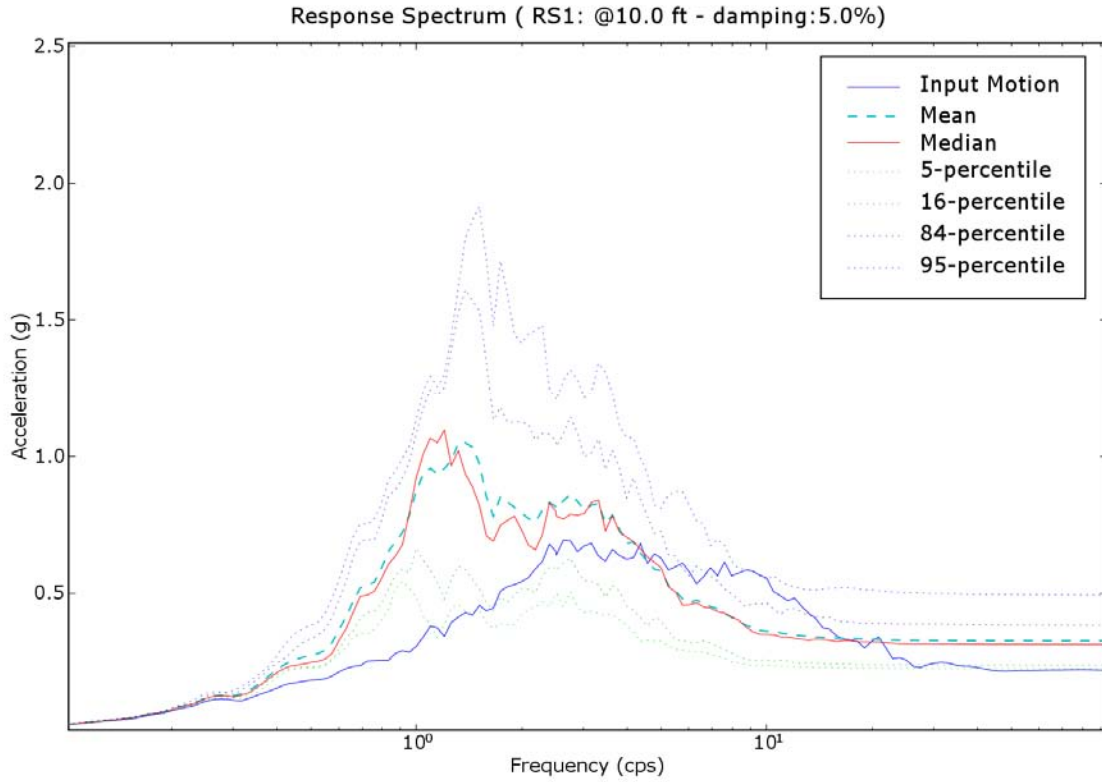


Figure A-33 Probabilistic Site Response Spectra - at 10 ft below Ground Surface

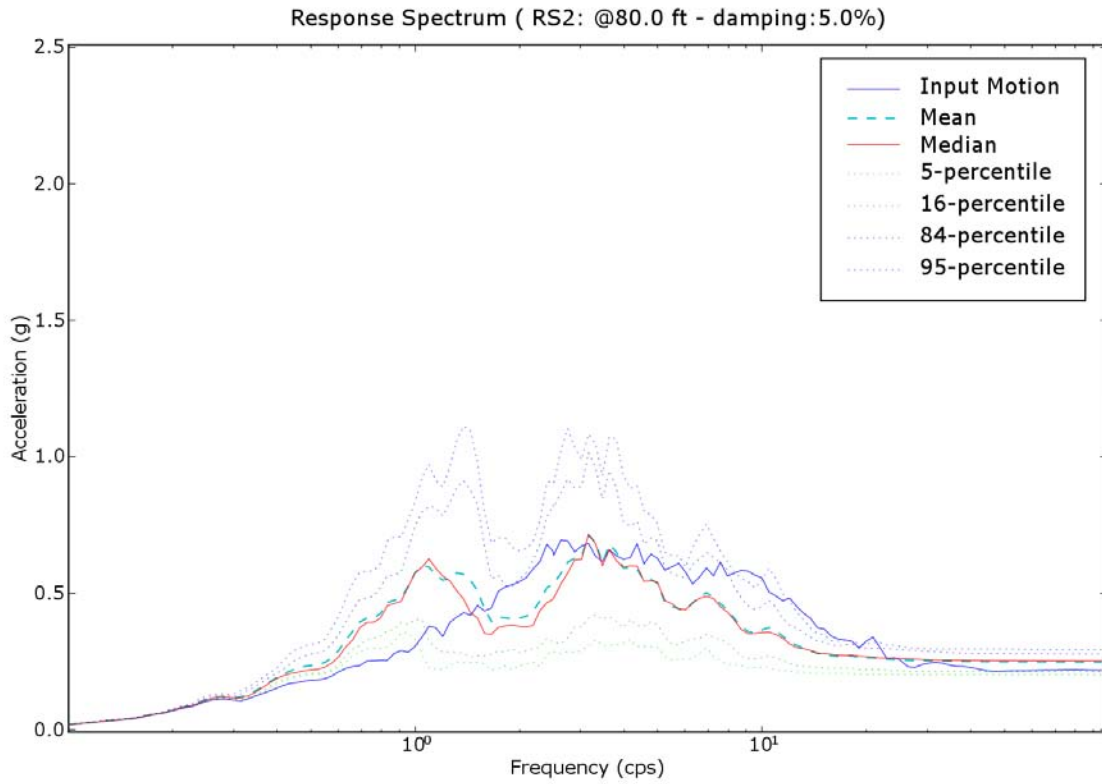


Figure A-34 Probabilistic Site Response Spectra - at 80 ft below Ground Surface

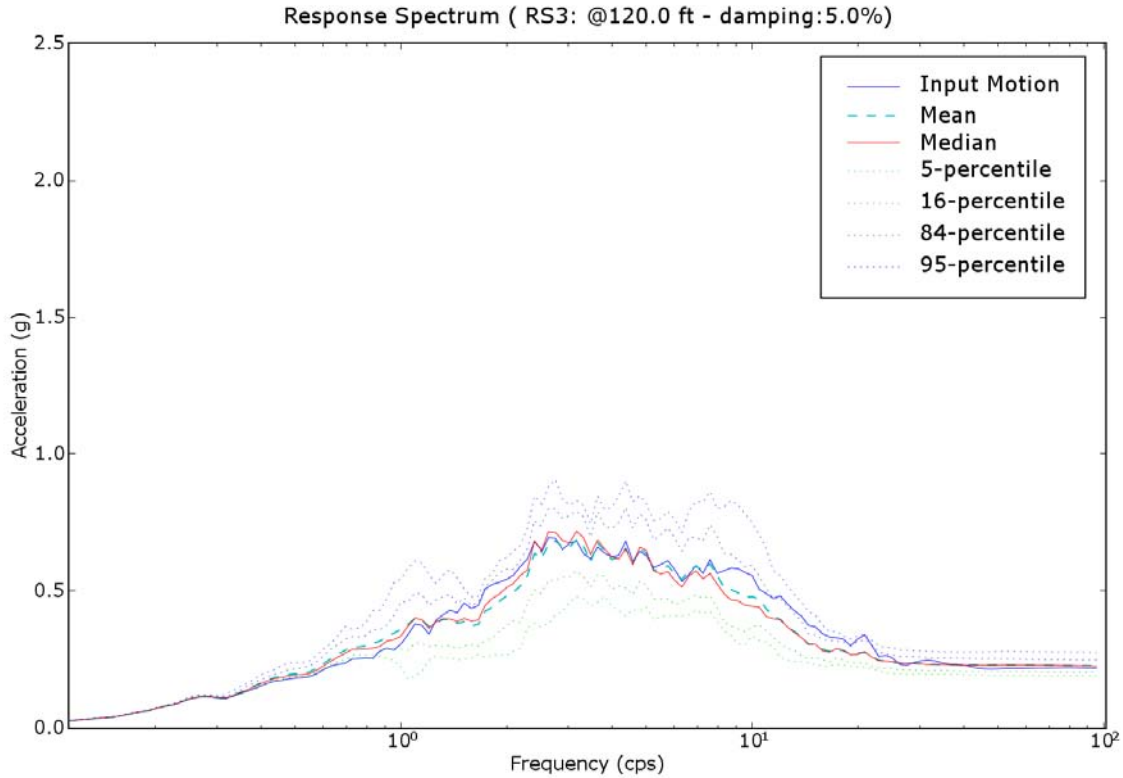


Figure A-35 Probabilistic Site Response Spectra - at 120 ft below Ground Surface

### A.3 SSI and Structural Analysis

This section demonstrates the SSI and structural analysis function of P-CARES using a stick model representation of a containment structure and results from the deterministic and probabilistic site response analyses. The containment structure shown in Figure A-36 is about 248 ft high and is supported on a circular foundation basemat of 10 ft thickness and with a radius of 75 ft. The basemat is embedded in the 4-layer soil profile analyzed previously in the site response analyses. The containment building and the internal structures are modeled as a stick model as shown in Figure A-36. The seismic motion of one translational component and one rotational component is obtained automatically from the site response analyses and is applied at the bottom center of the basemat. The properties of the beams and the masses are discussed later when the structural modeling forms are described.

The inputs for the structural model are in units kips, feet, and seconds, which are internally converted to lbs, inches, and seconds. The SSI and structural analysis is conducted in the frequency domain. Results of the deterministic analysis are stored in individual text files that can be post-processed using various seismic motion analysis tools; and the results of the probabilistic analysis are stored in a database file that requires the special "Post Processing" tool.

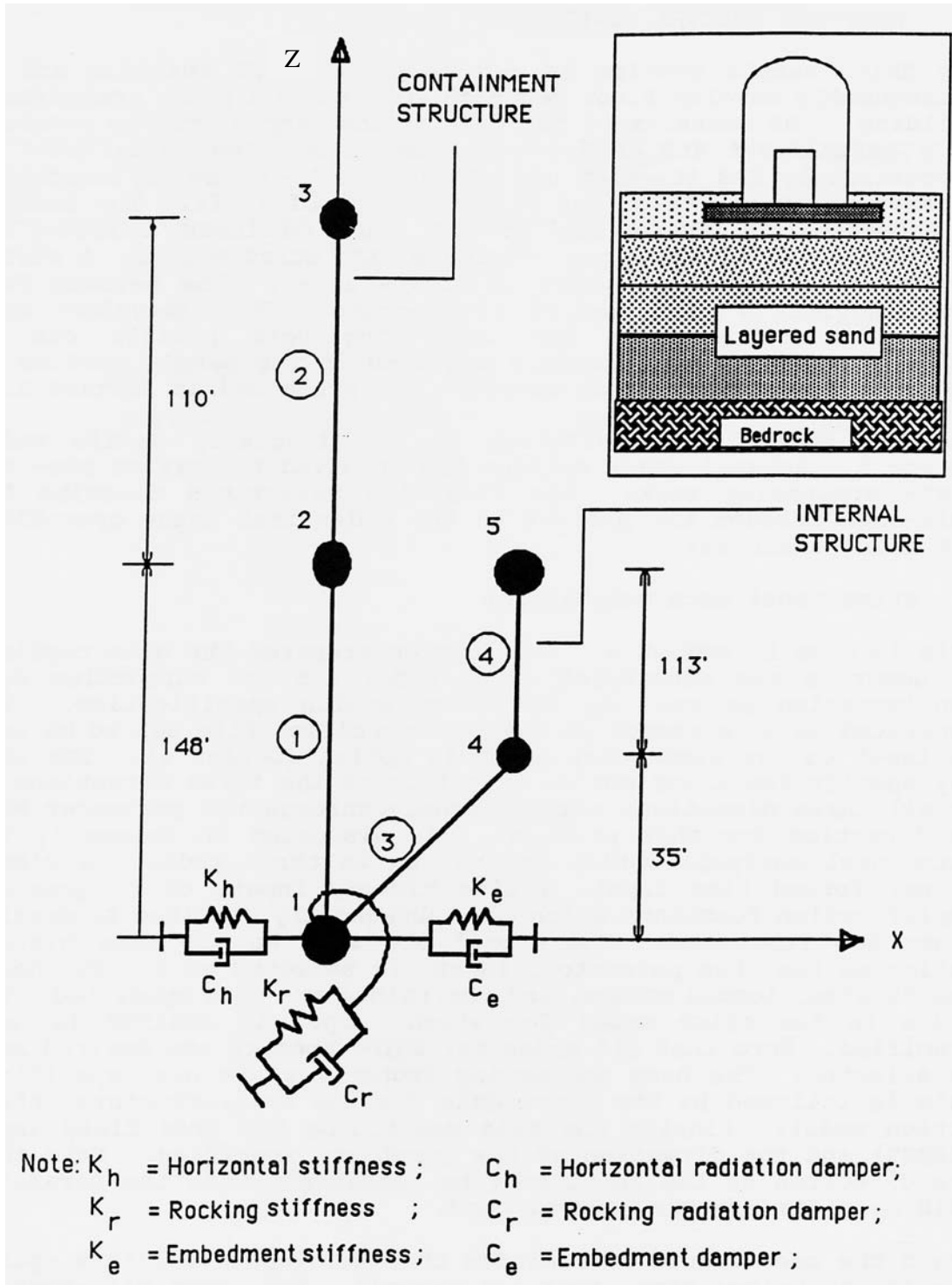


Figure A-36 Stick Model of A Containment Structure for SSI Analysis [after Xu, et al, 1990]

### A.3.1 Nodes/Constraints

As shown in Figure A-37, the stick model of the containment and the internal structures consists of 5 structural nodes and one auxiliary node (the 6<sup>th</sup> node) that is used to define the beam sectional orientations. The coordinates of the 5 structural nodes in Figure A-37 matches the stick model in Figure A-36, and the node 6 are arbitrarily located on the positive X axis. All nodes are defined in the X-Z plane. The 5 structural nodes are constrained such that only the motions in the X-Z plane are allowed because the SSI motions generated in the site response analysis have only two components in this plane. Node 6 is fixed in all 6 degrees of freedom. The nodal weights and the rotary inertias around the Y axis at the structural nodes are the same as in reference Xu, et al, 1990.

There are no coupled degrees of freedom and rigid links in this example. The SSI motions are applied to node 1, to which the soil springs and dampers are attached as well. It is worth noting that, although the SSI springs and dampers are shown only available in the X-Z plane in Figure A-36, they are indeed applied in P-CARES to all 6 degrees of freedom at the SSI node. The nodal constraints and the input motions obtained from the site response analysis effectively convert a 3D problem into a 2D problem in this example. However, the structural model in P-CARES is a true 3D model, and the input motions can include all 6 components if a standard alone deterministic SSI and structural analysis is performed. As in Figure A-37, output motions are requested for all 5 structural nodes.

**Node Definitions**  
Node ID must be 1, 2, 3, ...

	Node ID	X (ft)	Y (ft)	Z (ft)	Wt (kips)	Dx	Dy	Dz	Dxx	Dyy	Dzz	Ixx	Iyy	Izz
1	1	0.000	0.000	0.000	68000.000	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	0.000	3400000.000	0.000
2	2	0.000	0.000	148.000	32000.000	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	0.000	3290000.000	0.000
3	3	0.000	0.000	258.000	11000.000	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	0.000	675000.000	0.000
4	4	75.000	0.000	35.000	229000.000	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	0.000	810000.000	0.000
5	5	75.000	0.000	148.000	25000.000	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	0.000	740000.000	0.000
6	6	100.000	0.000	0.000	0.000	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	0.000	0.000	0.000
7	0	0.000	0.000	0.000	0.000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	0.000	0.000	0.000

**Coupled Degrees of Freedom**  
DOF (1=X, 2=Y, 3=Z, 4=Rx, 5=Ry, 6=Rz)

	Slave Node	Master node	DOF
1	0	0	0

**Rigid Links**

	Master Node	Slave node
1	0	0

**Miscellaneous Info**

SSI Node:

Output Nodes (e.g. 10, 15, ...):

Figure A-37 Structural Model - Nodes/Constraints

### A.3.2 Beam

Figure A-38 shows the beam definition form, where shear beams and the flexural beams (springs in P-CARES terms) can be defined. The same material, defined by the Young's modulus, shear modulus, and the damping ratio, is used for all 4 beams. The damping ratios defined in the material definitions are used for the composite or the stiffness matrix only damping model, and are not used if any of the first two structural damping models is used. The containment structure is modeled with beams 1 and 2 that have realistic section properties as shown in Figure A-38; while the internal structural is modeled with beams 3 and 4 that have fictitious large section properties effectively turning them into rigid members. Beam connectivity information is entered through the "Beam Definitions" table. No springs and shear walls (use "Shear Wall" form, not shown) are defined in this example.

Nodes/Constraints: **Beam** | Shear Wall | Damping | 3D Model

Shear Beam Definitions

(1) Beam Material Definitions:

	E (ksf)	G (ksf)	Damping (%)
1	720000.000	276923.000	5.000
2	0.000	0.000	0.000

(2) Beam Section Definitions:

	Area (ft^2)	Shear Area Y	Shear Area Z	Iy	Iz	J
1	2000.000	1000.000	1000.000	4000000.000	4000000.000	4000000.000
2	90000000.000	90000000.000	90000000.000	90000000.000	90000000.000	90000000.000
3	0.000	0.000	0.000	0.000	0.000	0.000

(3) Beam Definitions:

	Node I	Node J	Node K	Material Id	Section Id
1	1	2	6	1	1
2	2	3	6	1	1
3	1	4	6	1	2
4	4	5	6	1	2
5	0	0	0	0	0

Spring Definitions

	Node I	Node J	Node K	Kx	Ky	Kz	Mx	My	Mz	Mx-Kx	My-Ky	Mz-Kz	My-Ky
1	0	0	0	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000

Figure A-38 Structural Model – Beam Definition

### A.3.3 Damping

The second damping model is selected for this example, as shown in Figure A-39. In this model, the coefficients for the mass matrix and the stiffness matrix in the Raleigh damping formulation are computed based on the first two modes of the structure (with the SSI node fixed) and requires a critical damping ratio  $p$ , which is set to 5% in this example.

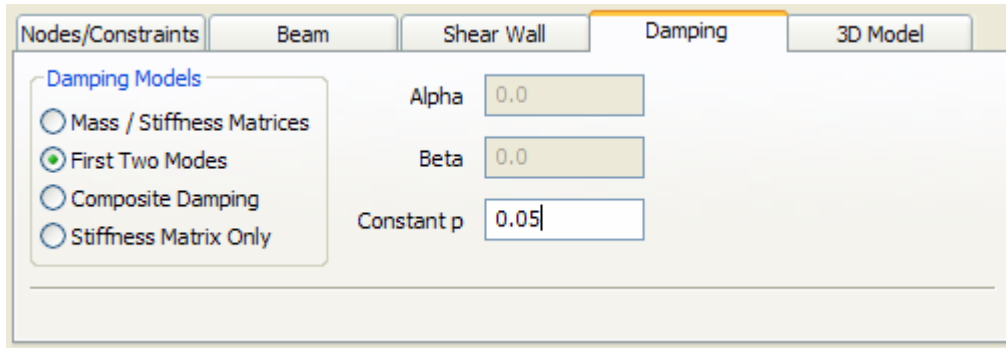


Figure A-39 Structural Model – Structural Damping

### A.3.4 3D Model Viewer

The structural model built using the nodes/constraints, beam, and shear wall forms can be visualized using this form. Figure A-40 shows the stick model for the containment and the internal structures, in which nodes are represented by balls, beams by cylinders, and the SSI node by a small cube. Since the stick model is simple, only the nodal ids are shown. The model can be rotated, panned, and zoomed by dragging the left, middle, and right mouse buttons. A marker at the lower left corner showing the orientation of the axes is dynamically updated as the model is rotated.





Figure A-40 Structural Model – 3D Structural Model Viewer

### A.3.5 Deterministic SSI and Structural Analysis

Figure A-41 shows the form for SSI and Structural analysis, which is located at the “SSI & Structural Analysis/Calc Structural Response” within the command tree. There are three options in this form: the Joint SSI choice is for SSI and Structural Analysis using the soil profile and the SSI motions generated in the site response analysis, the Standalone Deterministic SSI choice for an analysis using user-specified side and base soil properties and SSI input motions, and the Frequency Domain Shaker is for an analysis using the user-specified side and base soil properties and a forcing function at a specified node. This example demonstrates the most common use of the SSI and Structural analysis, in which the site responses and structural responses are calculated in a consecutive fashion. The “starred” (disabled) forms are for the other two analyses. In a joint deterministic SSI and structural analysis, the deterministic analysis type is required to be selected in the site response analysis. The maximum frequency is set to 100 Hz in this example. Click button “Run” to start the analysis.

The translational and rotational motions from the deterministic site response analysis, “BASETRAN.FC” and “BASEROT.FC”, are used in the deterministic joint SSI and structural analysis, and the SSI model is selected internally by P-CARES as Beredugo and Novak model (1972) for the circular foundation. The side and base soil properties are generated automatically from the strain-compatible soil profile file “FINALSOIL.PRFL”. The deterministic analysis

generates its output as text files for each unconstrained degree of freedom at requested output nodes. In this example, three Fourier component files, namely “JDn-X.fc”, “JDn-Z.fc”, and “JDn-RY.fc”, are generated for the node *n*. These files can be processed using the seismic motion analysis tools. The vertical displacement and the rotational displacement are not significant for all nodes because the input motion to the entire soil-structure system has only the horizontal component.

As an example, using the “Fourier Transforms” tool, plots of the time histories and the Fourier spectra for the X direction at the five structural nodes can be generated (as shown in Figure A-42 to Figure A-46). The response spectra for various dampings for the same motions can be generated using the “Response Spectra” tool (as shown in Figure A-47 to Figure A-51). From this figures, it is obvious that the output motions at nodes 1, 4, and 5 vary very little because beam 3 and 4 are essentially rigid, although the motions at nodes 1, 2, 3 vary considerably.

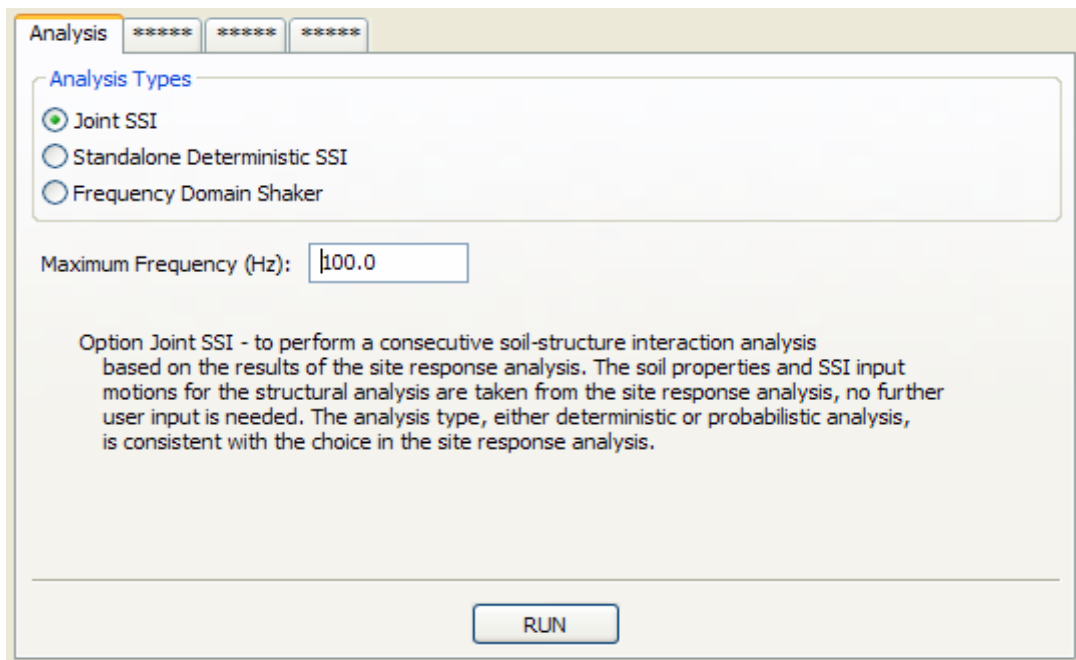


Figure A-41 Calc Structural Response – Joint SSI

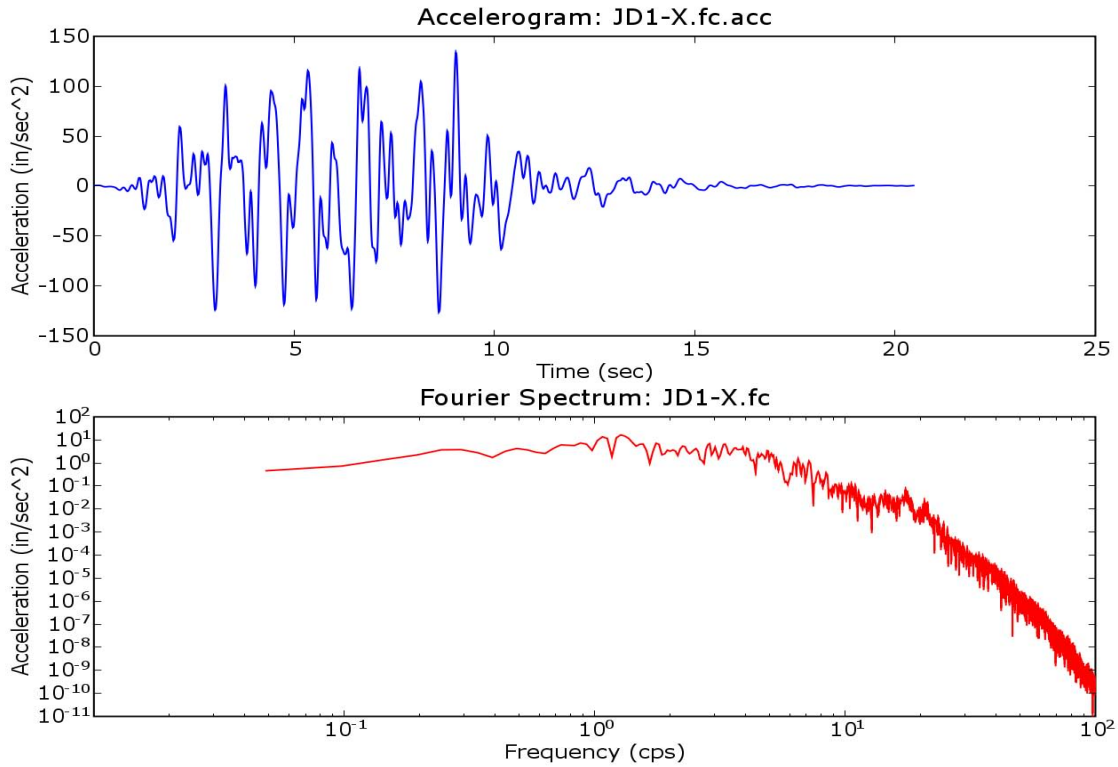


Figure A-42 Deterministic SSI & Structural Analysis at Node 1 - Time History and Fourier Spectrum for the X Direction

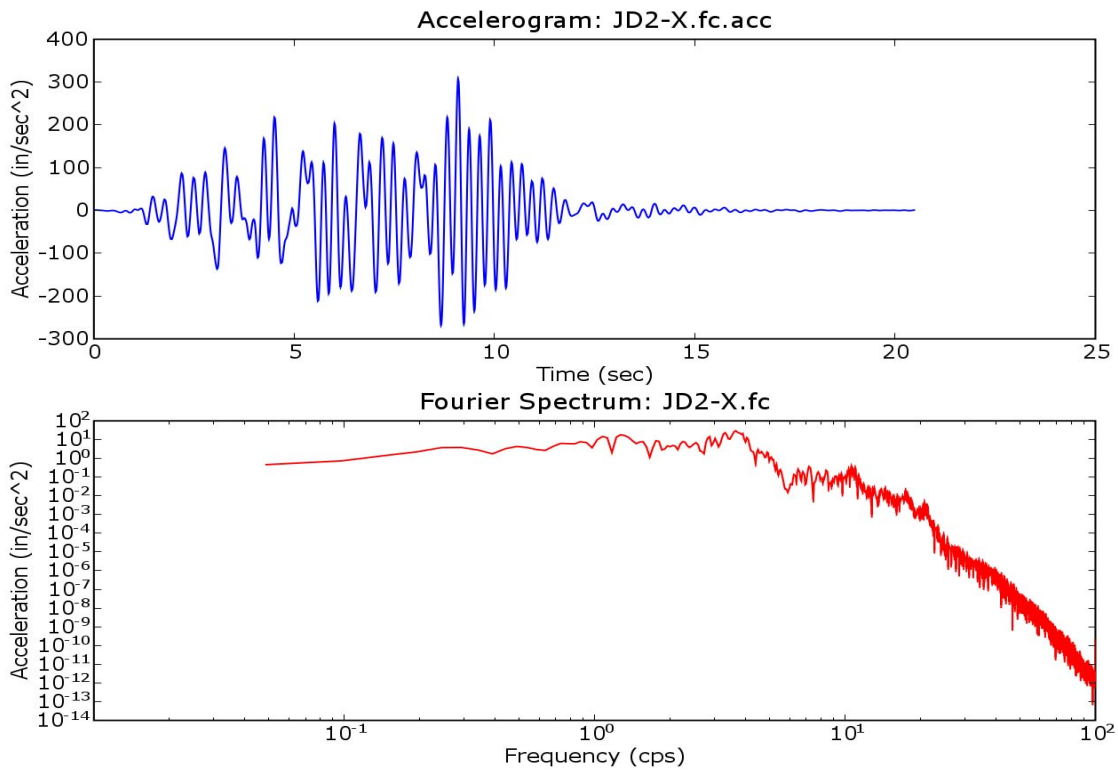


Figure A-43 Deterministic SSI & Structural Analysis at Node 2 - Time History and Fourier Spectrum for the X Direction

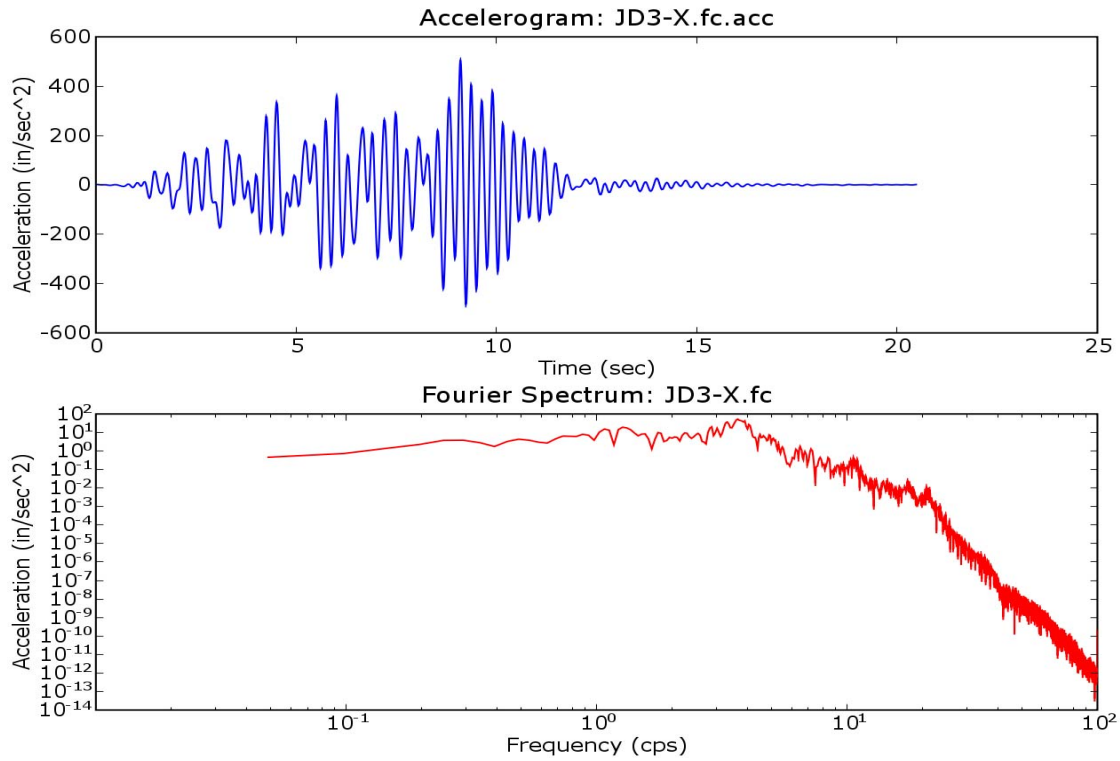


Figure A-44 Deterministic SSI & Structural Analysis at Node 3 - Time History and Fourier Spectrum for the X Direction

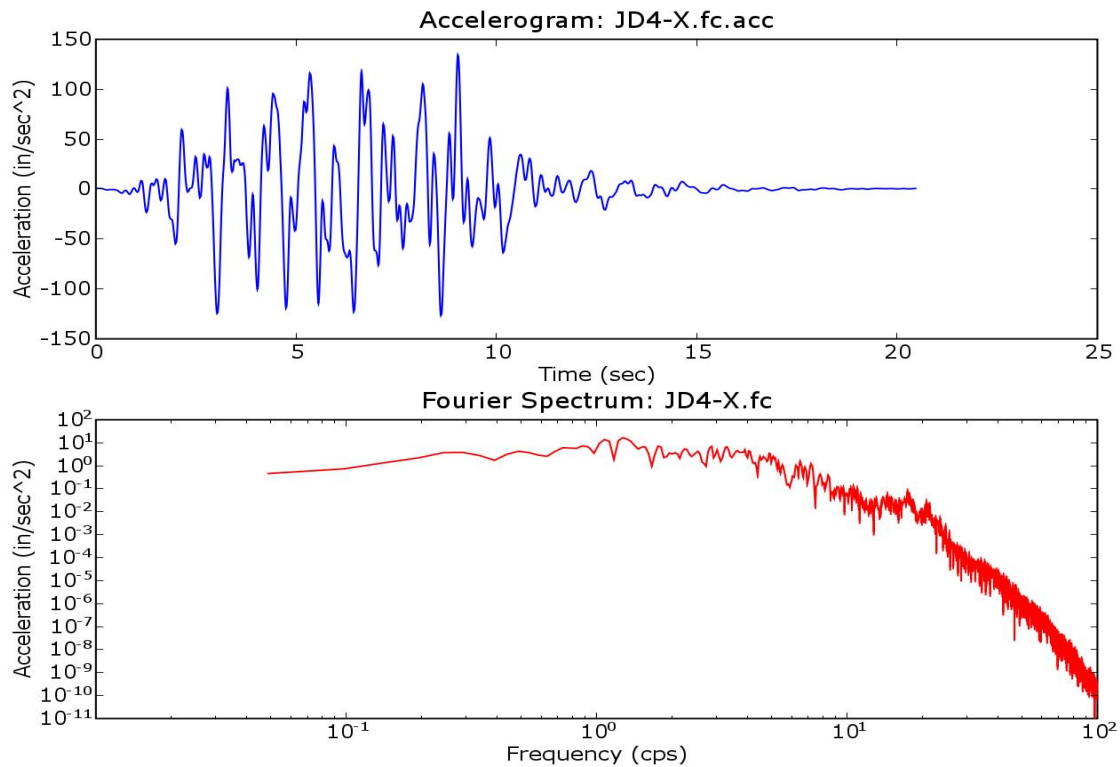


Figure A-45 Deterministic SSI & Structural Analysis at Node 4 - Time History and Fourier Spectrum for the X Direction

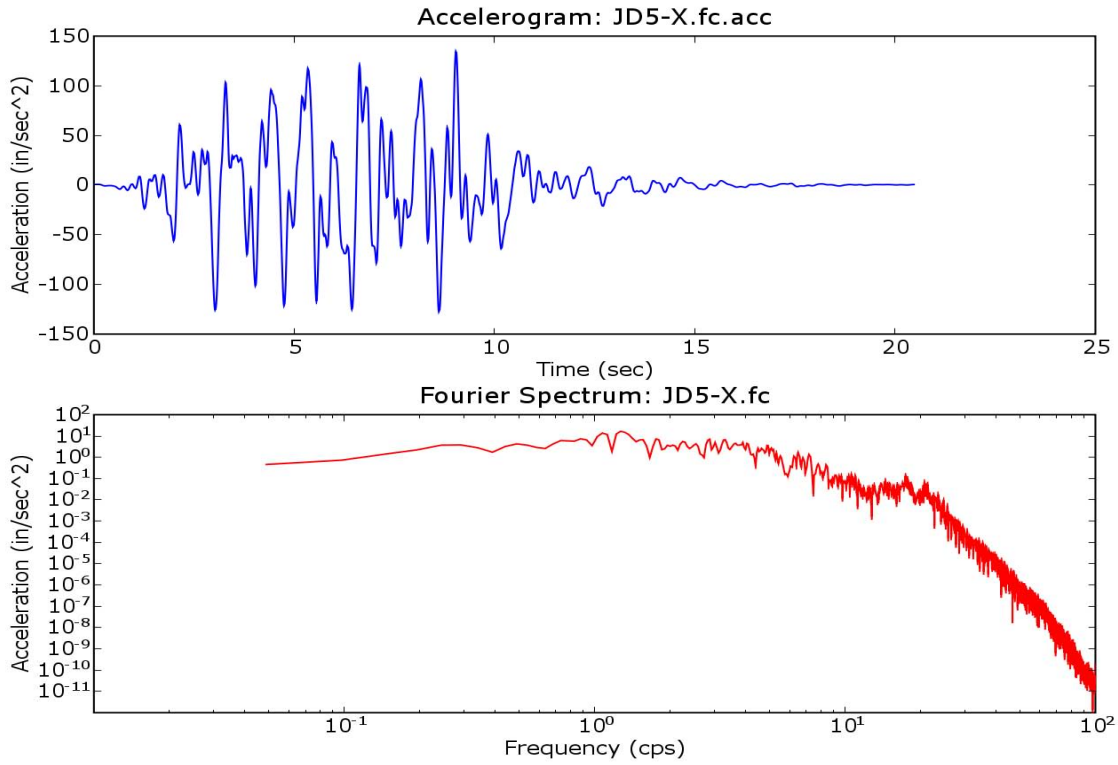


Figure A-46 Deterministic SSI & Structural Analysis at Node 5 - Time History and Fourier Spectrum for the X Direction

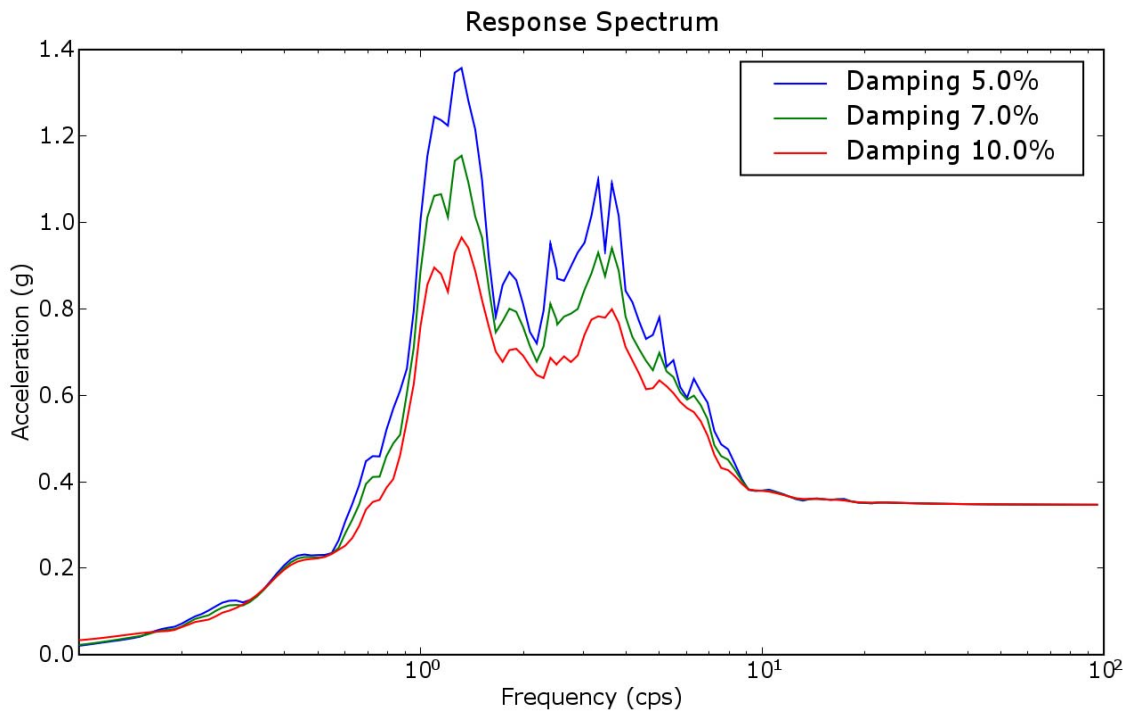


Figure A-47 Deterministic SSI & Structural Analysis at Node 1 - Response Spectra the X Direction

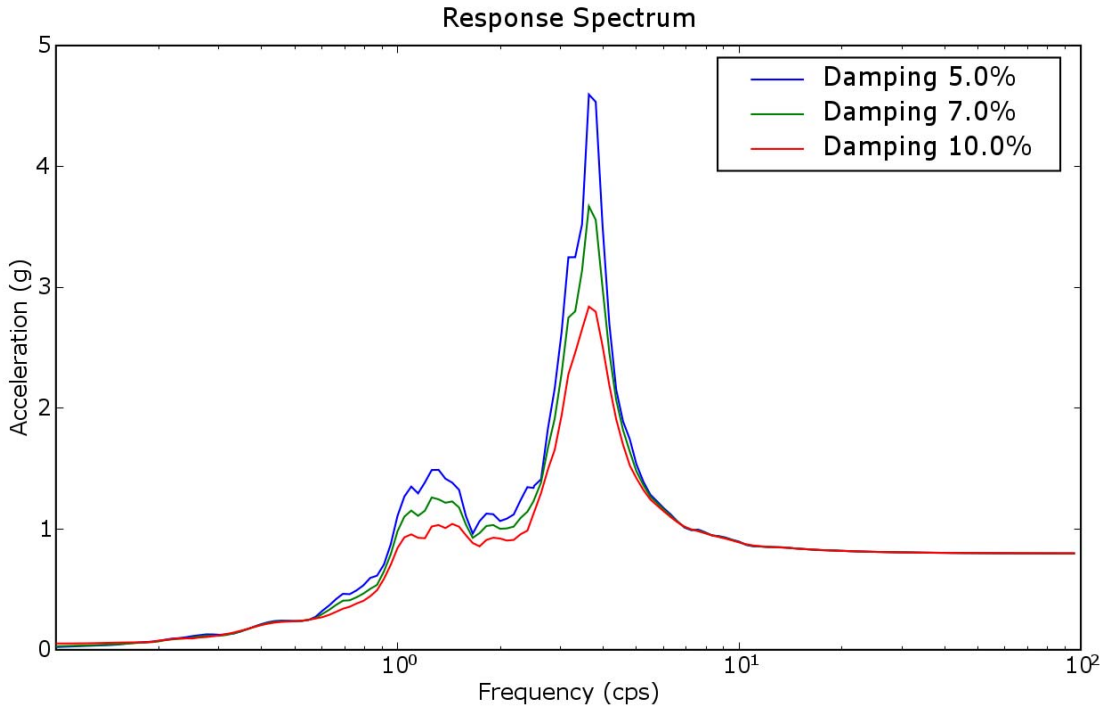


Figure A-48 Deterministic SSI & Structural Analysis at Node 2 – Response Spectra for the X Direction

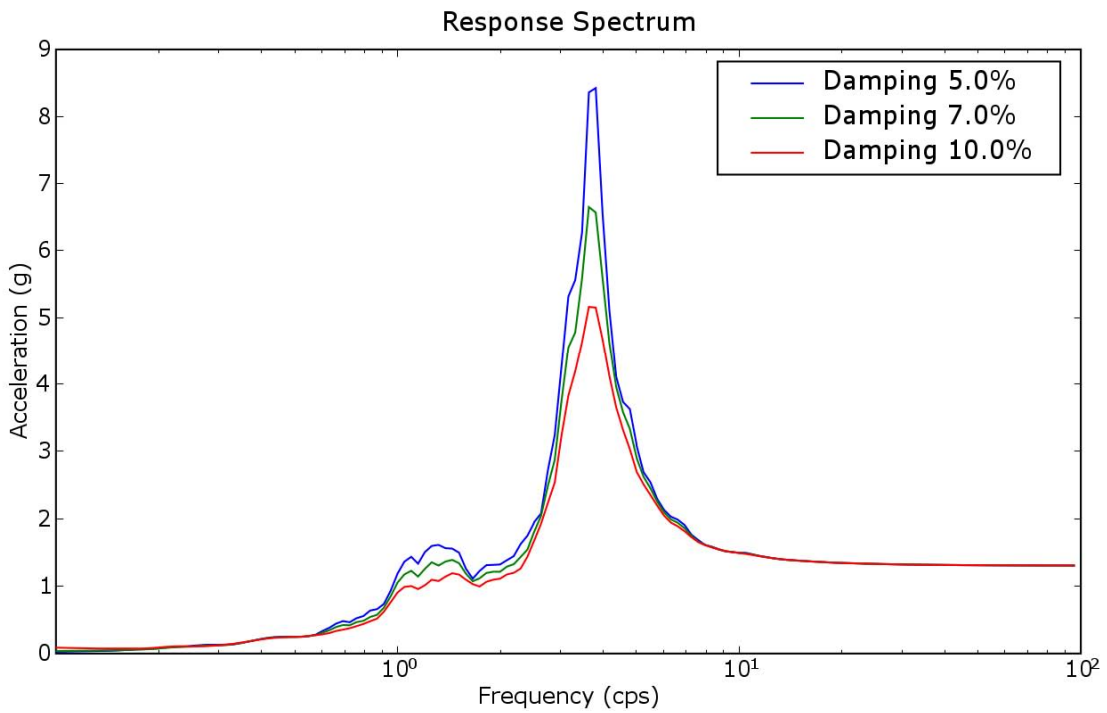


Figure A-49 Deterministic SSI & Structural Analysis at Node 3 – Response Spectra for the X Direction

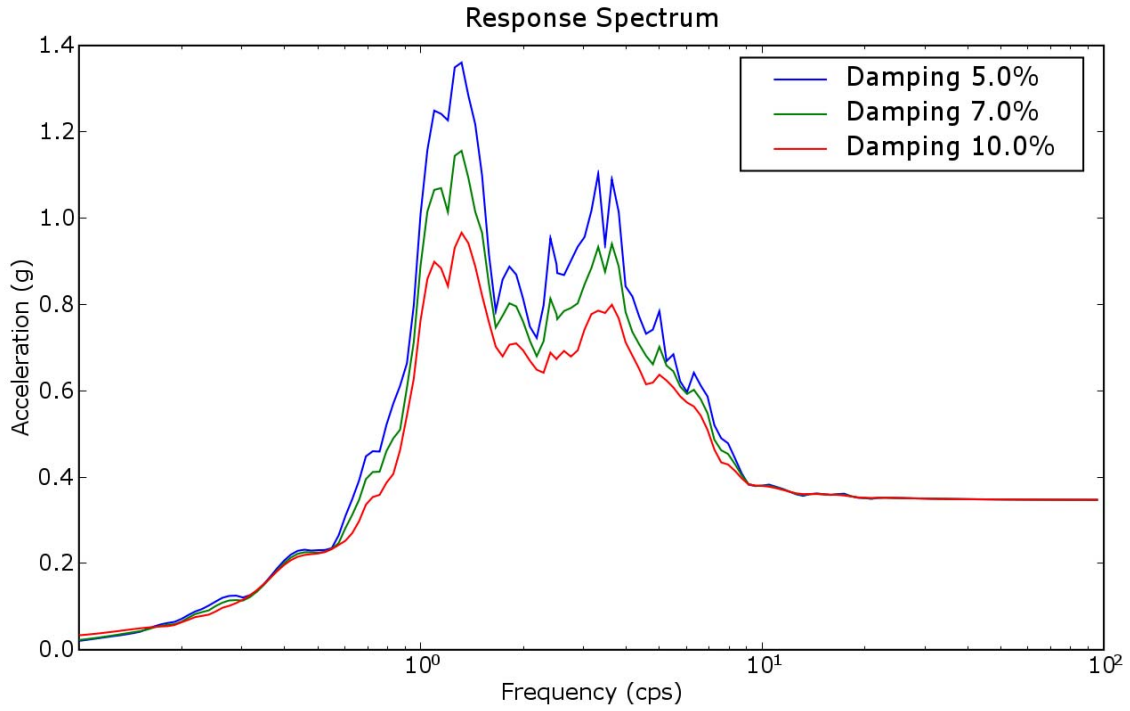


Figure A-50 Deterministic SSI & Structural Analysis at Node 4 – Response Spectra for the X Direction

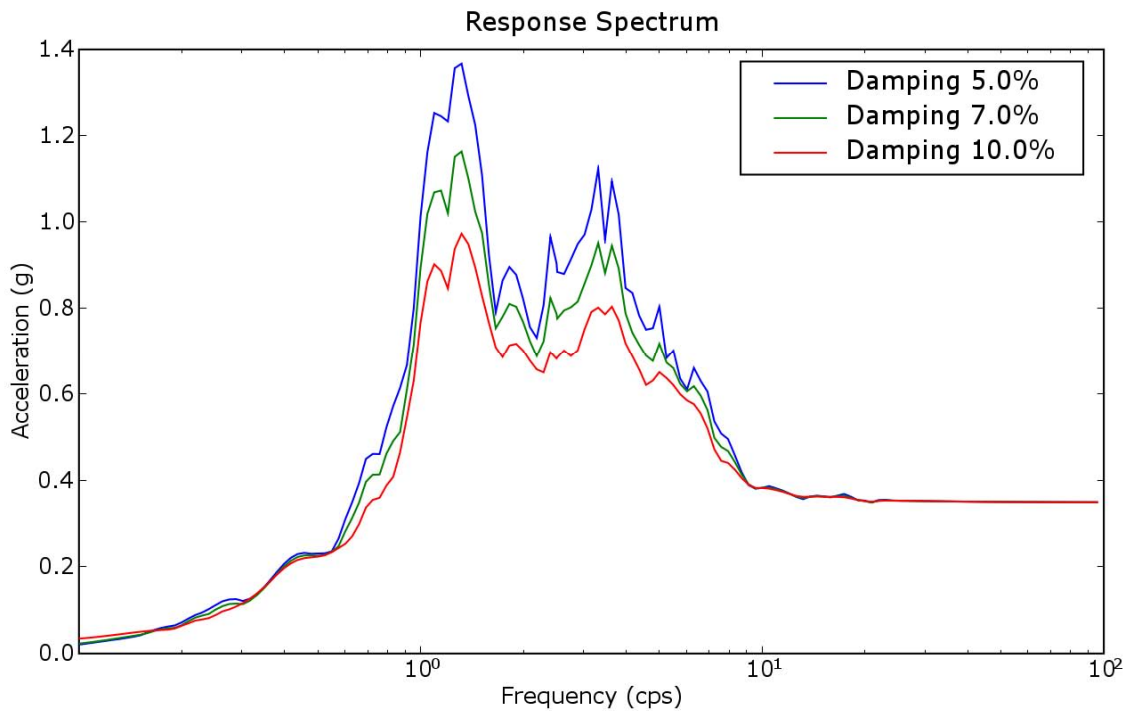


Figure A-51 Deterministic SSI & Structural Analysis at Node 5 – Response Spectra for the X Direction

### A.3.6 Probabilistic SSI and Structural Analysis

When the “Probabilistic” analysis type is selected in the site response analysis, the “Joint SSI” analysis option in SSI and Structural analysis signifies the probabilistic simulation, in which the effects of the uncertainties in the soil properties will propagate to the structural responses although the small uncertainties in the structural properties are not considered in P-CARES. The sample soil profiles used to define the side and the base soil properties in the SSI analysis are retrieved from the database file “SOILPROFILE.DB”, and the SSI input motions are retrieved from the database file “SSIRESPONSE.HDB”. The simulated output motions for unconstrained degrees of freedom at the output nodes are saved in database file “STRUCTRESPONSE.DB”.

The “Post Processing” tool in the command tree, as shown in Figure A-24, can be used to process the results of the probabilistic SSI and structural analysis. Figure A-52 to Figure A-56 show plots of the response spectra for the X direction at the 5 structural nodes. The input motion, shown as solid blue lines in these figures, propagates through the 4-layer soil column and then through the containment structure and has been magnified significantly. The frequency characteristics of the responses of the containment structures are also clearly revealed through these figures. On the other hand, because the internal structure is essentially modeled as rigid beams, the response spectra of nodes 4 and 5 resemble that of the basemat node 1 (SSI node). The influence of the soil uncertainties on the structural response is significant as manifested by the large diversity between the various percentiles of the response spectra.

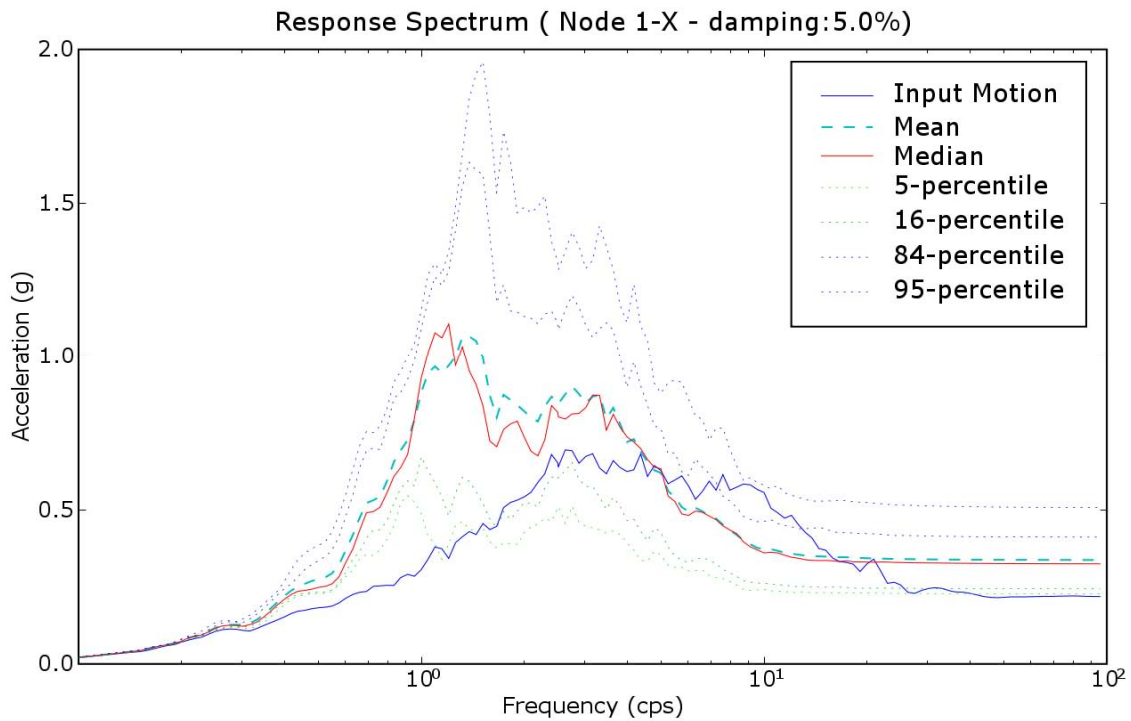


Figure A-52 Probabilistic Structural Response Spectra - For X Direction at Node 1



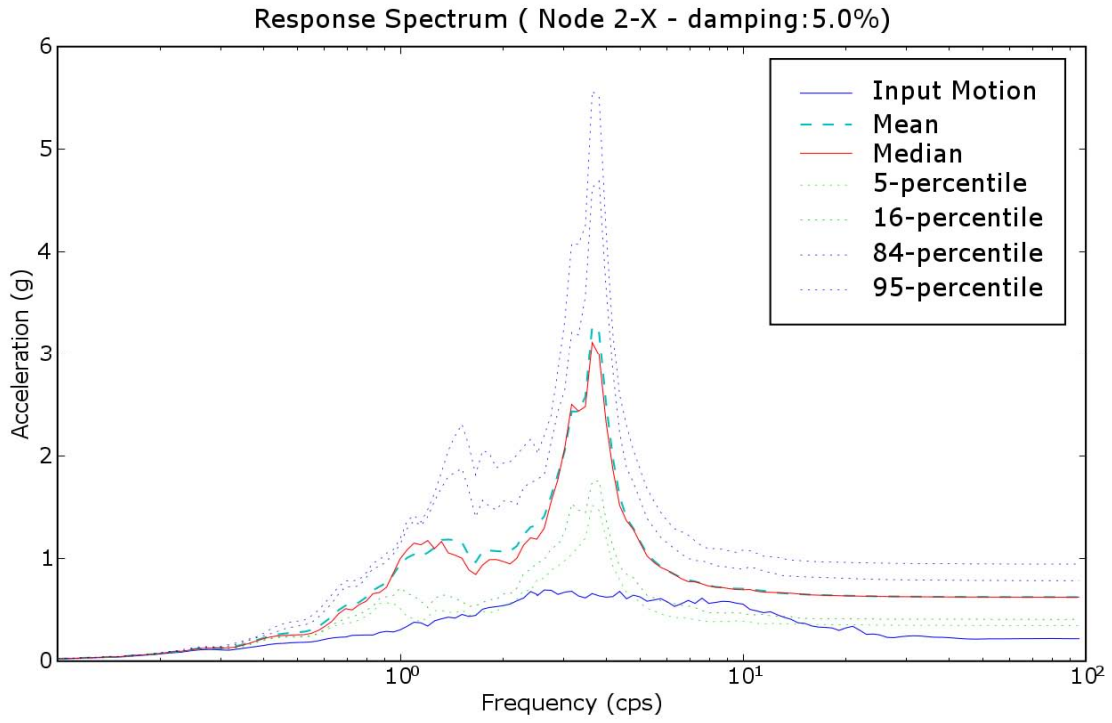


Figure A-53 Probabilistic Structural Response Spectra - For X Direction at Node 2

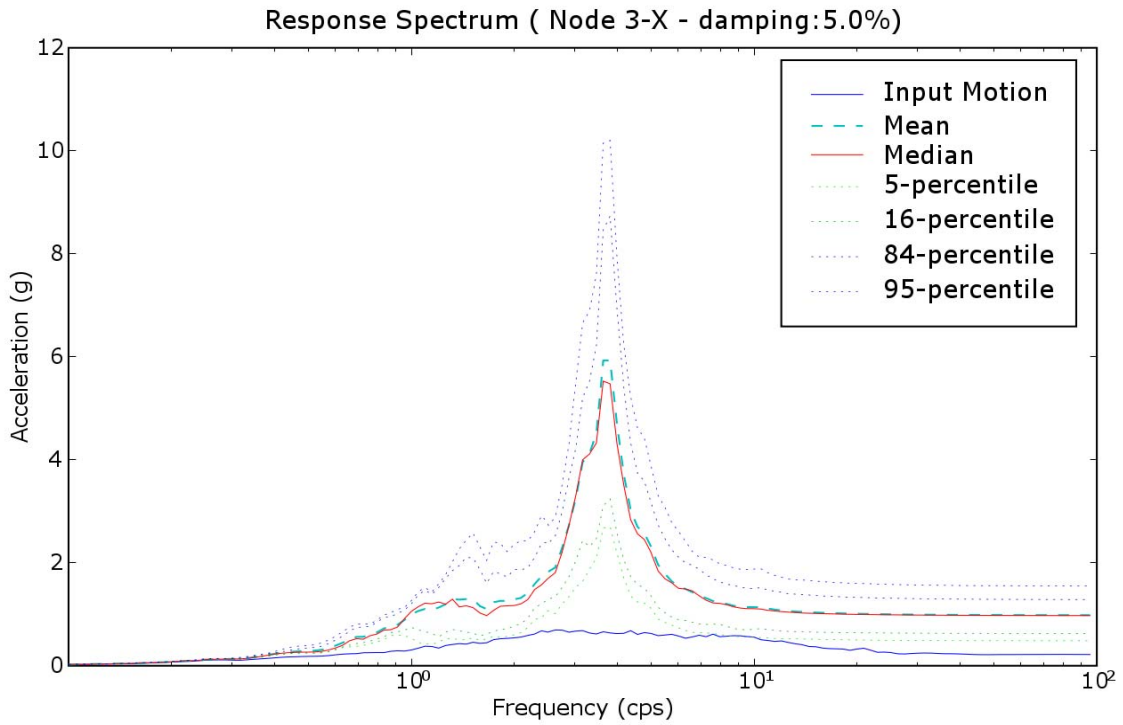


Figure A-54 Probabilistic Structural Response Spectra - For X Direction at Node 3

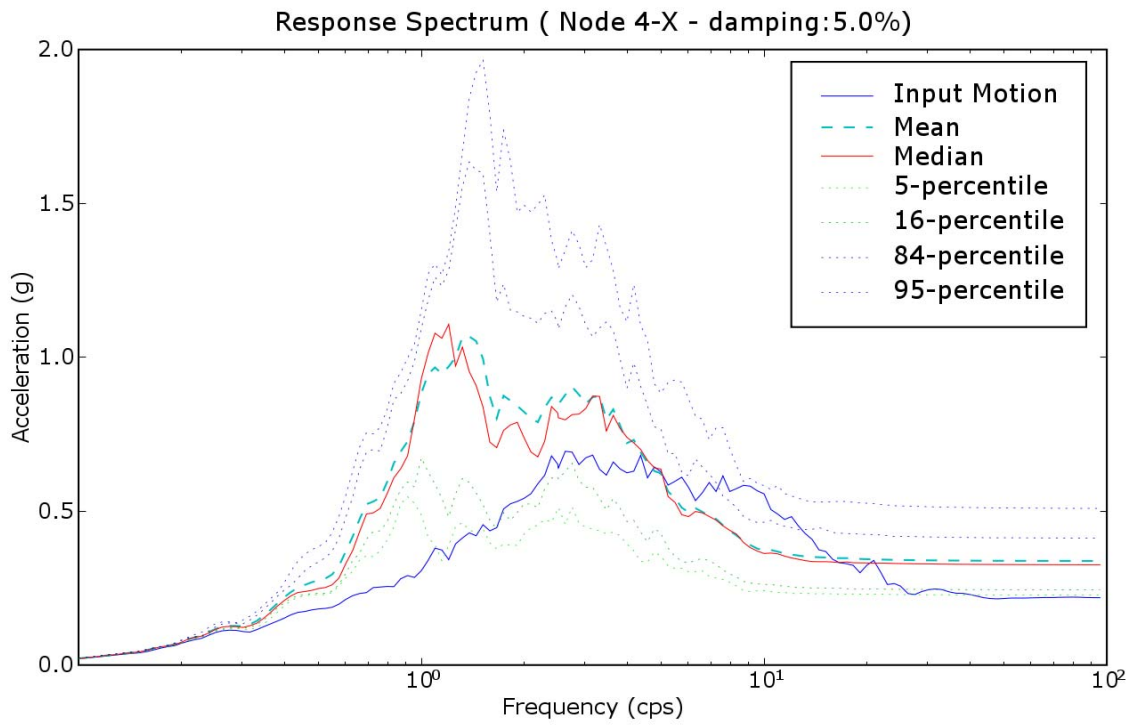


Figure A-55 Probabilistic Structural Response Spectra - For X Direction at Node 4

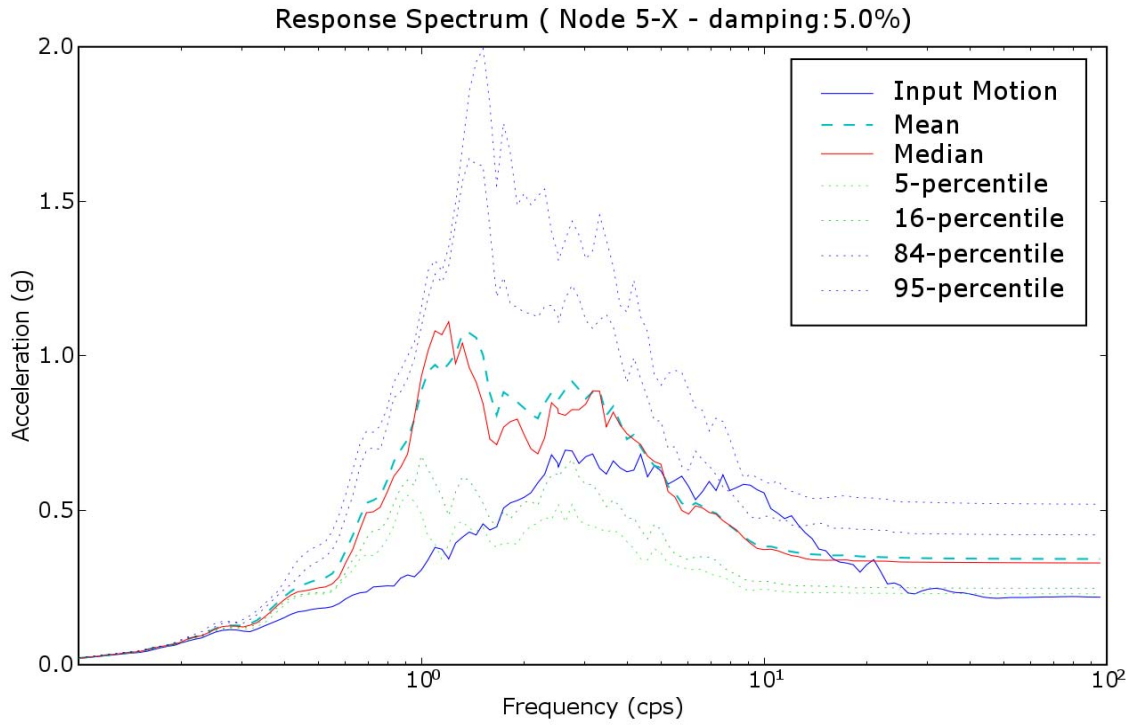


Figure A-56 Probabilistic Structural Response Spectra - For X Direction at Node 5