Appendices & tables



Workers pack and move the Copyright Card Catalog in preparation for renovation of space during the final phases of the Office's reengineering project.

Testimony to Congress

- Before the Subcommittee on the Legislative Branch, Senate Committee on Appropriations on the FY 2007 budget request (March 1, 2006)
- Before the Subcommittee on Courts, the Internet, and Intellectual Property, House Committee on the Judiciary on the Copyright Office's report on orphan works (March 8, 2006)
- Before the House Committee on Appropriations on the FY 2007 budget request (March 10, 2006)
- Before the Subcommittee on Courts, the Internet, and Intellectual Property, House Committee on the Judiciary on remedies for small copyright claims (March 29, 2006)
- Before the Subcommittee on Intellectual Property, Senate Committee on the Judiciary on proposals for legislative solutions to the problem of orphan works (April 6, 2006)
- Before the Subcommittee on Courts, the Internet, and Intellectual Property, House Committee on the Judiciary on the Section 115 Reform Act (SIRA) of 2006 (May 16, 2006)
- Before the Subcommittee on Courts, the Internet, and Intellectual Property, House Committee on the Judiciary on protection for fashion design (July 27, 2006)

Federal Register Documents Issued

- Exemption to Prohibition on Circumvention of Copyright Protection Systems for Access Control Technologies: Notice of inquiry (70 FR 57526, October 3, 2005)
- Adjustment of Cable Statutory License Royalty Rates: Final rule (70 FR 58310, October 6, 2005)
- Preregistration of Certain Unpublished Copyright Claims: Interim regulation (70 FR 61905, October 27, 2005)

- Cost of Living Adjustment for Performance of Musical Compositions by Colleges and Universities: Final rule [Issued by Copyright Royalty Board, Library of Congress.]
 (70 FR 72077, December 1, 2005)
- Digital Performance Right in Sound Recordings and Ephemeral Recordings for a
 New Subscription Service: Notice announcing commencement of proceeding with
 request for Petitions to Participate [Issued by Copyright Royalty Board, Library of
 Congress.] (70 FR 72471, December 5, 2005)
- Notice of Intent to Audit: Public notice (71 FR 624, January 5, 2006)
- Determination of Reasonable Rates and Terms for Noncommercial Broadcasting:
 Notice announcing commencement of proceeding with request for Petitions to
 Participate [Issued by Copyright Royalty Board, Library of Congress.] (71 FR 1453,
 January 9, 2006)
- Adjustment or Determination of Compulsory License Rates for Making and
 Distributing Phonorecords: Notice announcing commencement of proceeding with
 request for Petitions to Participate [Issued by Copyright Royalty Board, Library of
 Congress.] (71 FR 1454, January 9, 2006)
- Adjustment of Rates and Terms for Preexisting Subscription and Satellite Digital Audio Radio Services: Notice announcing commencement of proceeding with request for Petitions to Participate [Issued by Copyright Royalty Board, Library of Congress.] (71 FR 1455, January 9, 2006)
- Section 108 Study Group: Exceptions for Libraries and Archives: Notice of public roundtables with request for comments (71 FR 7999, February 15, 2006)
- Notice of Public Hearings: Exemption to Prohibition on Circumvention of Copyright
 Protection Notice of Public Hearings: Notice of Public Hearings (71 FR 9302, February
 23, 2006)
- Fees: Notice of proposed rulemaking (71 FR 15368, March 28, 2006)
- Electronic Payment of Royalties: Notice of proposed rulemaking (71 FR 24829, April 27, 2006)
- Fees: Final rule (71 FR 31089, June 1, 2006)
- Notice of Termination: Final rule: technical amendment (71 FR 36486, June 27, 2006)
- Electronic Payment of Royalties: Final rule (71 FR 45739, August 10, 2006)

- Cable Compulsory License Reporting Practices: Notice of inquiry (71 FR 45749, August 10, 2006)
- Correction of Errors in Certificates of Registration of Vessel Hull Designs: Interim rule (71 FR 46402, August 14, 2006)
- Retransmission of Digital Broadcast Signals Pursuant to the Cable Statutory License: Notice of Inquiry (71 FR 54948, September 20, 2006)

[All testimony and Federal Register items are available at www.copyright.gov.]

Registrations, 1790-2006

Date	Total	Date	Total	Date	Total	Date	Total
1790-1869	150,0001	1904	104,431	1939	175,450	1974	372,832
1870	5,600	1905	114,747	1940	179,467	1975	401,274
1871	12,688	1906	118,799	1941	180,647	1976	410,969
1872	14,164	1907	124,814	1942	182,232	1976	108,7622
1873	15,352	1908	120,657	1943	160,789	1977	452,702
1874	16,283	1909	121,141	1944	169,269	1978	331,942
1875	16,194	1910	109,309	1945	178,848	1979	429,004
1876	15,392	1911	115,955	1946	202,144	1980	464,743
1877	16,082	1912	121,824	1947	230,215	1981	471,178
1878	16,290	1913	120,413	1948	238,121	1982	468,149
1879	18,528	1914	124,213	1949	201,190	1983	488,256
1880	20,993	1915	116,276	1950	210,564	1984	502,628
1881	21,256	1916	117,202	1951	200,354	1985	540,0813
1882	23,141	1917	112,561	1952	203,705	1986	561,2083
1883	25,892	1918	107,436	1953	218,506	1987	582,2393
1884	27,727	1919	113,771	1954	222,665	1988	565,801
1885	28,748	1920	127,342	1955	224,732	1989	619,5434
1886	31,638	1921	136,765	1956	224,908	1990	643,602
1887	35,467	1922	140,734	1957	225,807	1991	663,684
1888	38,907	1923	151,087	1958	238,935	1992	606,253
1889	41,297	1924	164,710	1959	241,735	1993	604,894
1890	43,098	1925	167,863	1960	243,926	1994	530,332
1891	49,197	1926	180,179	1961	247,014	1995	609,195
1892	54,741	1927	186,856	1962	254,776	1996	550,422
1893	58,957	1928	196,715	1963	264,845	1997	569,226
1894	62,764	1929	164,666	1964	278,987	1998	558,645
1895	67,578	1930	175,125	1965	293,617	1999	594,501
1896	72,482	1931	167,107	1966	286,866	2000	515,612
1897	75,035	1932	153,710	1967	294,406	2001	601,659
1898	75,634	1933	139,361	1968	303,451	2002	521,041
1899	81,416	1934	141,217	1969	301,258	2003	534,122
1900	95,573	1935	144,439	1970	316,466	2004	661,469
1901	93,299	1936	159,268	1971	329,696	2005	531,720
1902	93,891	1937	156,930	1972	344,574	2006	520,906
1903	99,122	1938	168,663	1973	353,648	Total 3	2,513,119

^{1.} Estimated registrations made in the offices of the Clerks of the District Courts (source: pamphlet entitled *Records in the Copyright Office Deposited by the United States District Courts Covering the Period 1790–1870*, by Martin A. Roberts, Chief Assistant Librarian, Library of Congress, 1939).

 $^{2.} Registrations \ made\ July\ 1, 1976, through\ September\ 30, 1976, reported\ separately\ owing\ to\ the\ statutory\ change\ making\ the\ fiscal\ years\ run\ from\ October\ 1\ through\ September\ 30\ instead\ of\ July\ 1\ through\ June\ 30.$

 $^{{\}it 3.} The totals for 1985-1987 were corrected as of the FY 2004 annual report to include mask works registrations.$

^{4.} The total for 1989 was corrected as of the FY 2004 annual report to be consistent with the FY 1989 table of "Number of Registrations by Subject Matter."

Number of Registrations by Subject Matter, Fiscal Year 2006

Category of Material	Published	Unpublished	Total
Nondramatic literary works: Monographs and computer-related works Serials:	122,396	60,324	182,720
Serials (non-group)	45,960	_	45,960
Group Daily Newspapers	2,567	_	2,567
Group Serials	12,531	_	12,531
Subtotal: Nondramatic literary works	183,454	60,324	243,778
Works of the performing arts, including musical works, dramatic works, choreography and pantomimes, and motion pictures and filmstrips	39,094	85,316	124,410
Works of the visual arts, including two-dimensional works of fine and graphic art, sculptural works, technical drawings and models, photographs, cartographic works commercial prints and labels, and works of applied arts	49,152	41,597	90,749
Sound recordings	16,344	34,465	50,809
Journa recordings	10,511	3 1, 103	30,003
Total: Basic registrations	288,044	221,702	509,746
Renewals Mask work registrations Vessel hull design registrations			10,750 349 61
Grand total: All registrations			520,906
Preregistrations			309
Documents recorded			13,016

Fee Receipts and Interest, Fiscal Year 2006

Fees	Receipts recorded ¹
Copyright registrations	\$19,195,568
Mask works registrations	\$24,815
Vessel hull design registrations	\$9,920
Renewal registrations	\$544,700
Subtotal	\$19,775,003
Recordation of documents	\$1,765,715
Certifications	\$241,040
Searches	\$111,972
Expedited services	\$1,913,905
Preregistrations	\$33,200
Other services	_
Subtotal	\$4,065,832
Total receipts recorded	\$23,840,835
	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
Fee receipts applied to the Appropriation	\$24,126,884
Interest earned on deposit accounts	\$186,062
Fee receipts and interest applied to the Appropriation ²	\$24,312,946

^{1. &}quot;Receipts recorded" are fee receipts entered into the Copyright Office's in-process system.

 $[\]textbf{2.} \texttt{``Fee Receipts and Interest Applied to the Appropriation''} \texttt{ are income from fees and deposit account interest that were fully cleared}$ for deposit to the Copyright Office appropriation account within the fiscal year. The amount of fee receipts applied to the appropriation of the contract ofduring the FY does not equal the total receipts recorded, since some receipts recorded at year end are applied in the next fiscal year.

Estimated Value of Materials Transferred to the Library of Congress, Fiscal Year 2006

		Non-			
	Registered	registration			Total value
	works	works	Total works		of works
	transferred to	transferred to	transferred to		transferred to
	other Library	other Library	other Library	Average	other Library
	departments	departments	departments	unit price	departments
Books ¹	126,091	91,991	218,082		\$11,057,159
Ink print	107,399	45,336	152,735	\$68.20	\$10,416,527
Electronic works (ProQuest)	16,801	45,477	62,278	\$4.18	\$260,322
Microfilm	1,891	1,178	3,069	\$123.92	\$380,310
Serials ²	253,366	553,269	806,635		\$17,553,243
Periodicals	226,729	521,700	748,429	\$37.71	\$16,933,955
Ink print newspapers	24,070	29,400	53,470	\$1.01	\$32,403
Microfilm newspapers	2,567	2,169	4,736	\$123.92	\$586,885
Computer related works	4,362	2,034	6,396		£2 120 27 <i>4</i>
Computer-related works Software	4,362 1,527	2,034	1,587	\$29.35	\$2,138,274 \$46,578
CD-ROMs	872	1,974	2,846	\$734.96	\$2,091,696
Printouts	1,963	1,974	1,963	•	rminate value
Timouts	1,505	Ü	1,505	macte	illillate value
Motion Pictures	9,167	2,731	11,898		\$5,983,563
Videotapes	8,709	2,707	11,416	\$90.18	\$1,029,495
Feature films	458	24	482	\$10,278.15	\$4,954,068
Music	40,863	733	41,596	\$89.44	\$3,720,346
Dramatic works, choreography					
and pantomimes	835	0	835	\$68.20	\$56,947
Sound recordings	23,352	4,617	27,969	\$16.50	\$461,489
Maps	1,671	171	1,842	\$38.20	\$70,364
Prints, pictures, and works of art	5,398	21	5,419	\$30.87	\$167,285
Total	465,105	655,567	1,120,672		\$41,208,670
		033,301	1,120,072		7-12,200,070

^{1. 60%} of "Books" are selected for the collections; 40% are used for the Library's exchange program.

 $^{2.\,60\% \} of "Serials" \ are selected for the collections, except in the case of microfilm newspapers (100\% \ of which are selected).$

^{3.} Includes 11 copies selected by the Library under motion picture agreements.

Non-Fee Information Services to Public, Fiscal Year 2006

Information and Reference Division direct reference services	
In person	21,479
By correspondence	74,627
By email	45,763
By telephone	167,193
Total	309,062
Office of the General Counsel direct reference services	056
By correspondence	956
By telephone	1,900
Total	2,856
Receiving and Processing Division services By correspondence By telephone or email Total	3,969 14,638 18,607
Licensing Division direct reference services ¹	
In person	483
By correspondence	742
By telephone	7,081
Total	8,306
Grand total direct reference services	338,831

 $^{1.} As of FY 2005, the \ Licensing \ Division \ figures \ do \ not \ include \ correspondence \ and \ telephone \ contacts \ initiated \ by \ licensing \ examiners.$

Financial Statement of Royalty Fees for Compulsory Licenses for Secondary Transmission by Cable Systems for Calendar Year 2005

Royalty fees deposited Interest income Gain on matured securities	\$135,862,161.99 \$2,526,596.26 \$1,460,702.37
Transfers in	\$20,110.96
Total	\$139,869,571.58
Less:	
Licensing operating costs	\$3,433,982.14
Refunds issued	\$214,367.14
Cost of investments	\$136,161,391.06
Cost of initial investments	(\$410,084.57)
CARP operating costs	\$220,421.54
CRJ operating costs	\$163,281.74
Transfers out	\$81,074.07
Total	\$139,864,433.12
Balance as of September 30, 2006	\$5,138.46
Plus: Face amount of securities due	\$136,785,087.41
Less: Pending refunds	\$55,105.39
Cable results for the value day space and table	
Cable royalty fees for calendar year 2005 available for distribution by the Library of Congress	\$136,735,120.48

Financial Statement of Royalty Fees for Statutory Obligations for Distribution of Digital Audio Recording Equipment and Media for Calendar Year 2005

Royalty fees deposited	\$2,420,155.01
Interest income	\$13,237.50
Gain on matured securities	\$65,115.54
Transfers in	\$127,211.51
Total	\$2,625,719.56
Less:	
Licensing operating costs	\$69,922.71
Refunds	_
Cost of investments	\$2,362,598.82
Cost of initial investments	(\$8,692.58)
CARP operating costs	\$79,086.24
CRJ operating costs	\$58,584.74
Distribution of fees	\$64,182.63
Transfers out	_
Total	\$2,625,682.56
Balance as of September 30, 2006	\$37.00
Plus: Face amount of securities due	\$2,373,420.95
Audio Home Recording Act royalty fees for calendar year 2005	62 272 4F7 0F
available for distribution by the Library of Congress	\$2,373,457.95

Financial Statement of Royalty Fees for Statutory Licenses for Secondary Transmission by Satellite Carriers for Calendar Year 2005

Royalty fees deposited Interest income Gain on matured securities Total	\$78,309,387.37 \$2,100,491.25 \$914,656.32 \$81,324,534.94
Less: Licensing operating costs Cost of investments Cost of initial investments CARP operating costs CARP operating costs Total	\$76,367.20 \$81,101,169.35 (\$2,844.67) \$85,959.12 \$63,675.97 \$81,324,326.97
Balance as of September 30, 2006 Plus: Face amount of securities due Satellite carrier royalty fees for calendar year 2005 available for distribution by the Library of Congress	\$207.97 \$81,544,499.88 \$81,544,707.85

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INTERNATIONAL COPYRIGHT TREATIES AND CONVENTIONS

Protection against unauthorized use of a copyrighted work in a country depends primarily on the national laws of that country. Most countries offer protection to foreign works under the aegis of international copyright treaties and conventions.

Treaties and Conventions

- Berne Convention—the leading international agreement that sets standards for protecting literary and artistic works
- *Bilateral*—a unique agreement on copyright protection between the United States and another country
- Geneva Phonograms Convention—known as the Geneva Convention, sets standards for protection of sound recordings against piracy
- Universal Copyright Convention (UCC)—an international agreement that sets standards for protecting literary and artistic works, largely superseded by Berne
- WIPO Copyright Treaty (WCT)—an international treaty setting standards for protection of works in digital format
- WIPO Performances and Phonograms Treaty (WPPT)—an international agreement setting standards for protection of sound recordings
- World Trade Organization (WTO)—the World Trade Organization's obligations regarding Trade-Related Aspects of Intellectual Property Rights, incorporating and expanding on Berne and adding enforcement obligations

International Copyright Treaties and Conventions RELATIONS AS OF SEPTEMBER 2006



This map does not indicate membership in the UCC or bilateral treaty relations for any country that is either party to the Berne Convention or a member of the WTO.

Organization of the U.S. Copyright Office

