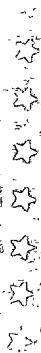




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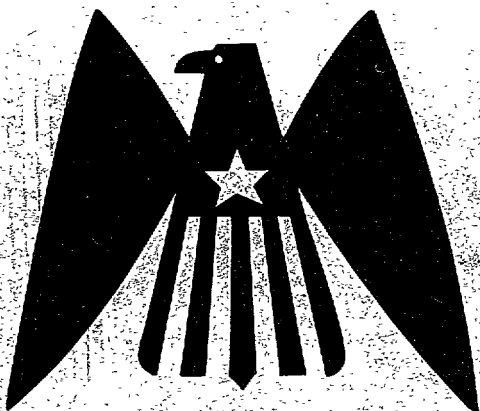


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**1976
Congressional
Sourcebook
Series**



**Federal
Program
Evaluations**

A Directory for the Congress

Elmer B. Staats
Comptroller General
of the United States

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Deputy
Comptroller General

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★ ★ ★ ★

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The 1976 Congressional Sourcebook Series was prepared by the Office of Program Analysis, U.S. General Accounting Office, under the direction of HARRY S. HAVENS, Director, and DEAN K. CROWTHER, Deputy Director.

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The Franklin Institute Research Laboratories of Philadelphia was responsible for preparing many of the abstracts as well as all of the indexes, computer files, and camera copy of the Sourcebook.

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FOREWORD

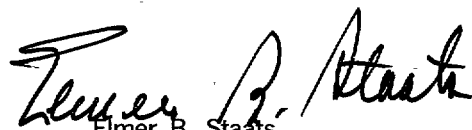
Title VIII of the Congressional Budget Act of 1974 (P.L. 93-344) amended the Legislative Reorganization Act of 1970, and assigned to the Comptroller General certain responsibilities for helping the Congress obtain and use information. As part of its program for carrying out those responsibilities, the U.S. General Accounting Office (GAO) is publishing the 1976 Congressional Sourcebook — the first edition of a series of directories reflecting an inventory of program-related information. The inventory is comprised primarily of information collected from executive branch agencies, and constitutes the basis for the Sourcebook publications.

The current series of the Congressional Sourcebook encompasses three separate volumes. Each volume is an indexed directory and guide, addressing a different area of program-related information:

- (1) *Recurring Reports to the Congress* — describes nearly 800 reports required of 89 executive branch agencies by the Congress on a recurring basis.
- (2) *Federal Program Evaluations* — contains an inventory of approximately 1700 evaluation reports produced by and for 18 selected Federal agencies, including GAO evaluation reports that relate to the programs of those agencies.
- (3) *Federal Information Sources and Systems* — describes approximately 1000 Federal sources and information systems maintained by 63 executive agencies, which contain fiscal, budgetary, and program-related data and information.

Our purpose in publishing the Congressional Sourcebook series is to facilitate identification, acquisition, and compilation of relevant and reliable information which may be needed by the Congress in carrying out its oversight and budget control responsibilities. Accordingly, the GAO has established a continuing program to maintain a current inventory of recurring reports, evaluation studies, and fiscal, budgetary, and program-related information sources and systems. The inventory is being maintained on central computer files in such a manner as to render them transferrable to the Library of Congress for inclusion in the Congressional Research Service's SCORPIO information retrieval system, thus facilitating their use by the committees of both Houses of the Congress. In developing and maintaining such files, we aspire toward a capability which ultimately shall allow us to select independent budgetary, fiscal, and program data and relate them together to disclose different and perhaps new perspectives for a given program, issue, or activity.

We recognize that the further development of the Congressional Sourcebook will depend in large measure on the continued collaboration of users among legislative and executive branch agencies, and we trust that it will be a useful resource to all. In this regard I invite your comments and suggestions on how these directories can be made more helpful in future editions.



Elmer B. Staats
Comptroller General
of the United States
June 1976

Federal Program Evaluations

CONTENTS ★ ★ ★ ★ ★ 76

Foreword	iii
Introduction	1
DEPARTMENT OF AGRICULTURE	6
DEPARTMENT OF COMMERCE	37
DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE	46
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT	176
DEPARTMENT OF THE INTERIOR	207
DEPARTMENT OF JUSTICE	220
DEPARTMENT OF LABOR	236
DEPARTMENT OF STATE	267
DEPARTMENT OF TRANSPORTATION	274
DEPARTMENT OF THE TREASURY	298
INDEPENDENT AGENCIES	301
Appendix	339
Subject Index	345
Issuing Agency Index	578
Budget Function/Subfunction Index	613
Law Index	657
Index of Evaluated Programs and Agencies	789

INTRODUCTION

The evaluation of Federal programs is intended by the Congress to be an integral part of the legislative budgetary process. Recognizing the Legislative Branch's need for better access to program evaluation information produced by or for the Federal Government, the Congressional Budget Act of 1974 directs the Comptroller General to develop central files and maintain inventories of program-related information and to facilitate its use by the committees and agencies of the Congress. In order to be responsive to Congressional committee requests for program evaluations conducted or commissioned by the executive agencies, an initial inventory of selected federal agency program evaluations was compiled for inclusion in this volume of the Congressional Sourcebook.

Purpose and Scope of this Directory

This Directory is intended to be an important link between the Federal agencies who develop evaluation information and the congressional staff who may wish to use such information for legislative and oversight purposes.

The agencies were surveyed early in 1975 by means of a questionnaire (Appendix) jointly developed by GAO and the Office of Management and Budget (OMB). Each agency was asked to identify titles of program evaluation reports issued in fiscal years 1973 through 1975, and to relate their evaluations to specific programs identified for the most part in the *Catalog of Federal Domestic Assistance*. Follow-up conferences with agency personnel were held to discuss the type of documents that should be identified as program evaluations and to relate each report to at least one program. The submission of agency responses was completed by September 1975. Our selection of reports was guided by the following criteria: the reports had to be (1) evaluation reports, (2) related to an agency program, and (3) submitted by agency evaluation staffs. In this first inventory effort, it was not feasible to attempt to develop an exhaustive review of evaluation reports. The user, however, should be aware of other sources of evaluation information such as the *Policy Analysis Sourcebook* produced by the National Planning Association, and the periodical, *Evaluation*, funded by a grant from the National Institute of Mental Health.

The Directory contains approximately 1700 citations of program evaluation reports that were issued by eighteen Executive Branch agencies and the General Accounting Office (GAO) during fiscal years 1973 through 1975. These agencies are listed in the following table:

FEDERAL AGENCIES SURVEYED

DEPARTMENTS

Agriculture
Commerce
Health, Education & Welfare
Housing & Urban Development
Interior
Justice
Labor
Transportation
Treasury
State: Agency for International Development

AGENCIES

Civil Service Commission
Energy Research & Development Admin.
Environmental Protection Agency
Federal Energy Administration
General Services Administration
National Science Foundation
Small Business Administration
Veterans Administration

Information Reliability

There are no universally accepted definitions or applications of the concepts underlying the program evaluation disciplines. For one thing, the definition of the word, *program*, varies among agencies; some regard their efforts as programs while others find the term, *activity*, more descriptive. For another, the phrase, *program evaluation*, is not uniformly interpreted throughout the evaluation community. Our definition of program evaluation in the GAO/OMB questionnaire was sufficiently open-ended that it too required interpretation. Even with suitable definitions, a substantial element of judgment is involved in examining a report and determining whether it is a program evaluation. Interpretations ranged from eliminating all but those reports that would qualify as being scientifically rigorous to including reports that would be considered by many analysts to be program-related but not evaluative. It was also difficult to determine from some reports which programs were evaluated. At least one program was identified for each report, but there were probably many instances where more than one program could have been identified. In all cases, however, the agency determined its contribution to the Directory on the basis of its best judgment.

Besides providing us with a working list of program names, the *Catalog of Federal Domestic Assistance* was a basic source of information on authorizing legislation and budget functional code. The appearance of a program name in the *Catalog*, however, does not imply its inclusion in the Directory; it appears here only if referenced by an evaluation report. While some program names other than

ones appearing in the *Catalog* were additionally provided by the agencies, the one titled, "Program Management..." was our addition, responding to the need for a program identifier when the content of a report was primarily concerned with the management of an agency program or when the report addressed issues common to a number of agency programs.

Report Availability

The General Accounting Office (GAO) does not stock copies for distribution of any of the reports cited in this Directory, except for those produced by GAO. Copies should be requested from the agency sponsoring the evaluation or from the information services described below.

GAO Reports. Copies of GAO reports are available to the general public at a cost of \$1.00 a copy. There is no charge for reports furnished to Members of Congress and congressional committee staff members. Officials of Federal, State, and local governments may receive up to 10 copies free of charge. Members of the press; college libraries, faculty members, and students; and non-profit organizations may receive up to two copies free of charge. Requesters entitled to reports without charge should address their request to:

U.S. General Accounting Office
Distribution Section, Room 4522
441 G Street, N.W.
Washington, D.C. 20548

Requesters who are required to pay for reports should send their requests with checks or money orders to:

U.S. General Accounting Office
Distribution Section
P.O. Box 1020
Washington, D.C. 20013

Checks or money orders should be made payable to the U.S. General Accounting Office. To expedite filling the order, use the Document Number and Date as well as the Title. Do not use the Directory Accession Number.

National Technical Information Service. Reports on file with the National Technical Information Service (NTIS) should be ordered from:

NTIS
5285 Port Royal Road
Springfield, VA 22161

The order must include the report's NTIS number and should also include the title as a crosscheck. The fastest service is provided to customers who charge to

their Deposit Accounts or their American Express Credit Cards because the processing of such orders is simplest for NTIS. Otherwise, payment by check or money order must accompany each order. A Ship and Bill Service is available to customers who have established credit at a five dollar surcharge on each order.

Educational Resources Information Center. The Educational Resources Information Center (ERIC) provides copies of reports on education-related topics. Reports with an ERIC number can be ordered from:

ERIC Document Reproduction Service
P.O. Box 190
Arlington, VA 22210

The user must provide the ED identification number; the title, though optional, is a valuable cross-reference. Specify whether the copy is to be on paper or microfiche. Institutional, state, and Federal Government purchase orders are accepted. Because the cost of a document depends on the number of pages copied, the user may wish to request a price list.

If an executive agency document is not available through formal distribution channels (i.e., NTIS, GPO, ERIC, etc.) it may be obtainable through either the contractor or the sponsoring agency's information center, e.g., the DHEW Evaluation Documentation Center and the AID Reference Center. The HEW Center has copies of most program evaluation reports sponsored by the U.S. Department of Health, Education and Welfare. It also has executive summaries of the reports on file and has established an extensively cross-referenced indexing system. Visitors are welcome to use these resources. The Center does not provide copies of the reports, but will provide the NTIS accession number so that the report may be ordered from NTIS. Additional information may be obtained from the Evaluation Documentation Center, Room 4661 HEW-North Bldg., 330 Independence Avenue, S.W., Washington, D.C. 20201. Telephone: (202) 245-1792.

The Agency for International Development Reference Center is located in Room 1656 of the State Department Building, 320 Twenty-first Street, N.W., Washington, D.C. 20523. Telephone: (202) 632-9345.

Questions regarding the information compiled in this Directory, or problems encountered in obtaining reports should be addressed to:

Program Analysis Division
U.S. General Accounting Office
441 G Street, N.W.
Washington, D.C. 20548

USER'S GUIDE

This Directory is organized to facilitate the search for Federal program evaluation information by users with

varying interests or viewpoints. It has two sections: a citation section, and an index section.

SAMPLE ENTRY

- ① ————— **General Accounting Office**
- ② ————— E01097-011.
- ③ ————— *Development Assistance to Korea. B-164264. July 12, 1973, 74*
- ⑥ ————— *pp. & appendixes.* ⑦
- ⑧ ————— **Agency Sponsoring Evaluation:** International Division, GAO.
- ⑧ ————— **Agency Managing Program:** Agency for International Development, Dept. of State.
- ⑨ ————— **Program(s) Evaluated:** Program Management, Bureau for East Asia (19.000).
- ⑩ ————— **Budget Function/Subfunction:** Foreign economic and financial assistance (151).
- ⑪ ————— **Program Authorization:** Foreign Assistance Act of 1961, as amended (P.L. 87-195).
- ⑫ ————— **Public Availability:** GAO, Distribution Section.
- ⑬ —————
- During fiscal years 1968-72, direct U.S. bilateral economic assistance to Korea totaled \$1,194 million and direct military assistance totaled \$2,635 million. Korea benefited also from the substantial U.S. expenditures made to support U.S. military forces in Korea and from additional sums received for sending its troops to Vietnam. Although U.S. assistance had undoubtedly stimulated Korea's economic expansion, it also may have inadvertently contributed to Korea's economic problems. For example, U.S. concessional aid has helped to make it possible for Korea to get large amounts of nonconcessional credit which has caused Korea's external debt situation to worsen. In addition, subsidization of food and fiber programs has built up Korean demand for imported products, thus adding to its trade gap. GAO recommended that the Congress inquire into (1) Department of State and AID plans for terminating the development loan program for Korea and (2) reasons for the increased Public Law 480 program in Korea and uses for which the sales proceeds are being put.

Citation Section. A citation constitutes the complete record for each report entry in the Directory. The citations are arranged in numerical sequence by agency, beginning with the Department of Agriculture. GAO reports are cited under the agencies whose programs are evaluated, the GAO citation appearing after the agency entries. A citation may contain as many as 17 information elements, although all elements are not always included in every entry. The significant information elements are shown in the sample citation entry above.

The information elements numbered in the sample are explained as follows:

(1) **Issuing Agency:** The department, agency, board, commission or other governmental organization which issued the report cited.

(2) **Accession Number:** An eight-digit number which uniquely identifies each citation entry in numerical sequence, and which relates index terms to their respective citation entries.

(3) **Title/Subtitle:** This element is the title of a report as derived from the title page or cover of the source

document. When source documents do not contain titles, a title is created and enclosed in brackets. Subtitles are usually descriptive of, or supplementary to the title, and appear in a typeface that differs from the title.

(4) **Type of Document:** A note which further explains or describes the document, but is not always included.

(5) **Publisher/Contractor:** This indicates the name of either a specific governmental unit or an *outside* organization responsible for preparing or producing the report.

(6) **Document Number:** A number assigned to the source document by the preparing agency as a unique identifier for control purposes. It may be useful when obtaining report copies directly from the preparing agency.

(7) **Date:** Refers to the date of issue or publication as noted on the title page or cover of the source document.

(8) **Agency Sponsoring Evaluation:** This identifies the division or bureau that either performed the study or contracted for the analysis. This source can provide additional information on the program.

(9) **Agency Managing Program:** Refers to the specific governmental unit whose program is being evaluated.

(10) **Programs:** Denotes the particular program or programs to which an evaluation report is related. Most program names are taken from the 1974 and 1975 *Catalog of Federal/Domestic Assistance*. A limited number of program names have been included by agencies as additions to or substitutions for the *Catalog* list of names.

(11) **Budget Function/Subfunction:** This element indicates how the report is related to the Federal Budget. Such indication is intended as a rough guide to function/subfunction categories identified in the FY 1976 Federal Budget.

(12) **Public Availability:** Denotes the source from which a report document is available free or for sale to the public.

(13) **Abstract:** Describes or summarizes the essential thought or content of the report document. Whenever possible, abstracts are informative rather than descriptive. Approximately 125 abstracts were prepared by our contractor; the rest were provided in the report by the issuing agency or publisher.

Index Section. This section of the Directory has five separate indexes, which are printed on a different colored paper for ease of reference. The indexes are described below as follows: (1) Subject Index, (2) Issuing Agency Index, (3) Budget Function Index, and (4) Law Index.

(1) **Subject Index:** The Subject Index is derived from the titles and abstracts of reports described in the Citation Section. This index allows the reader to search alphabetically for the keyword or phrase relating to his subject. If the keyword appears in the index, the

associated report titles and accession numbers are listed below it.

(2) **Issuing Agency Index:** This index alphabetically lists the names of departments, agencies, and other organizations responsible for producing or sponsoring the evaluation reports. The report titles and accession numbers are listed below their respective issuing agencies. The listed titles provide insight into the subject areas for which the sponsor is responsible, and provide an indication of the degree of the evaluation activity.

(3) **Budget Function/Subfunction Index:** The Budget Function/Subfunction Index enables the reader to relate each report to one or more budget functional/subfunctional categories by which Federal funds are classified in the FY 1976 Federal Budget. The report titles and accession numbers are listed below their respective functional categories.

(4) **Law Index:** The primary purpose of the Law Index is to relate evaluated programs to the laws that established the program. The index may be scanned according to four general groupings of statutory references: i.e., short title of the law, public law number, U.S. Code, and U.S. Statutes at Large. The report titles and accession numbers are listed below their respective law references.

(5) **Index of Evaluated Programs and Agencies:** This index identifies all the evaluation reports for a particular program while also identifying the agency or unit that was responsible for administering the program. It will also suggest, by a document count, the amount of evaluation effort that has attended each program. The reader has the advantage of scanning all the programs for which evaluations have been prepared. It is important to note that the program is associated with the agency responsible for the program and not with the agency performing the evaluation.

CITATIONS

Department of Agriculture

E00200-001

Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971. August 1972.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, USDA.

Agency Managing Program: Animal and Plant Health Inspection Service, USDA; Soil Conservation Service, USDA; Agricultural Stabilization and Conservation Service, USDA; Extension Service, USDA.

Program(s) Evaluated: Animal and Plant Disease and Pest Control (10.000); Extension Education (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Federal Plant Pest Act (P.L. 85-36; 7 USC 147-148, 150); Plant Quarantine Act (P.L. 62-275; 7 USC 151-164a); Terminal Inspection Act (P.L. 63-293; 7 USC 166); Department of Agriculture Organic Act of 1944; Public Resolution No. 20, April 6, 1937; Mexican Border Act, as amended (P.L. 85-36; 7 USC 149); Mexican Pink Bollworm Act (P.L. 65-40; 7 USC 145); Golden Nematode Act (P.L. 80-645; 7 USC 150); Honeybee Act (P.L. 87-539; 7 USC 281-282); Halogeton Glomeratus Act (P.L. 82-529; 7 USC 1651-1656); Federal Noxious Weed Act (7 USC 2801-2813).

An ASCS pilot project to test various methods for inducing farmers to eradicate wild hemp found that: (a) an educational approach (without financial incentives) induced about 42 percent of the farmers to spend an average of \$4 per acre to treat 47 percent of the accessible wild hemp acreage in the pilot counties; (b) a Centralized County Treatment approach (with authority to treat all wild hemp acres in a county) was most effective with 94 percent of the accessible area treated at an average cost of \$29 per acre; (c) a cost-share approach plus educational efforts cost an average of \$11.00 per acre with \$10.30 the Federal share participation; and (d) the Centralized approach would be more likely to achieve eradication in a shorter time than the cost-share approach, and at about the same cumulative cost over time. It is not likely that the educational approach could ever achieve full eradication. Financial incentives were not the dominant factor in determining farmer participation in controlling wild hemp. A mixed strategy using all three approaches sequentially appears to be the most cost-effective means for treating wild hemp: first, educational; second, cost-sharing; third, Centralized County Treatment authority. The study raises serious questions about the feasibility and cost-effectiveness of undertaking a national program to eradicate wild hemp.

E00200-002

Impact of USDA Programs Upon Rural Cooperatives. July 26, 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, USDA.

Agency Managing Program: Farmer Cooperative Service, USDA; Agricultural Marketing Service, USDA; Rural Electrification Administration, USDA; Agricultural Stabilization and Conservation Service, USDA; Forest Service, USDA; Cooperative State Research Service, USDA; Economic Research Service, USDA; Foreign Agricultural

Service, USDA; Packers and Stockyards Administration, USDA; and Extension Service, USDA.

Program(s) Evaluated: USDA programs directed to cooperatives (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

This study describes the general effects of USDA programs on cooperative growth and development and evaluates in general terms the impact of FY 1973 changes in policy, program design, procedures regulations, or priorities designed to benefit cooperatives. The findings are: (a) as measured by volume and share of farm sales from 1950 to 1970 cooperatives generally have been prospering in domestic markets and to lesser extent in export markets; (b) USDA agencies have very little data measuring the effect of their programs upon farm cooperatives and related farm income and rural development. Some information is available on services they offer to cooperatives; (c) ES, FCS, and AMS programs and related ERS research on cooperatives have supported the general movement of cooperatives toward mergers and improved farm market coordination and efficiency. The FS has contributed similarly to forestry cooperatives; (d) The cooperative related activities of some ASCS programs and the FAS Export Incentives program appear to have made the most direct impacts, but the scale of those impacts appears very limited. REA loans have financed the development of rural and electric cooperatives for years. AMS market orders, concentrated in dairy, fruit and vegetable and nut areas, appear to have contributed significantly to the business growth of cooperatives in these areas; and (e) except for modest efforts of ES, FAS, and FCS, agency responses to Department policy to reshape programs to better assist cooperatives were nonexistent or very limited. Implications of the study are: (1) assignment of specific authority for policy guidance at the Departmental level for interagency coordination might increase the effectiveness of USDA programs in assisting cooperatives to improve farm income and rural development; and (2) emphasis on aid to cooperatives in export markets may be one of the better opportunities for improving farm income through cooperative assistance.

E00200-003

Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment. September 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, USDA.

Agency Managing Program: Soil Conservation Service, USDA.

Program(s) Evaluated: Great Plains Conservation (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Conservation and land management (302).

Program Authorization: Soil Conservation and Domestic Allotment Act (P.L. 84-1021).

The study was undertaken to appraise standards and procedures used to assess potential and actual damages from wind erosion in the Great Plains and the cost-effectiveness of the reported information. The findings are summarized as follows: (a) Wind erosion hazards and activity were very low in most of the 250-300 counties reported in the last three wind erosion seasons ending May 1974. Cropland damaged in excess of 15,000 acres

was reported in only 25-30 counties. Only 5-13 counties had land damaged equivalent to 100 or more farms. In 40 to 50 percent of the reporting counties, actual land damage was less than a third of the land reported to be in condition to blow. For the region as a whole from 1955 to 1974, the annual ratio of land damaged to the reported potential ranged from 1% to 86%. The median ratio was 24%. (b) Reporting standards and procedures were variable from county to county. 'Land damaged' is weakly defined. Its significance to agricultural productivity is unclear and confusing. It is reported only in terms of acres damaged with a wide range in the amount of actual damages incurred. (c) Producers ordinarily are aware of wind erosion conditions as they develop on the land they farm before the reports are available. Local reports and news releases based on the wind erosion reports primarily offer supportive information for what producers are doing to cope with those conditions. (d) Annual costs of reporting were: \$10,990, including about 2,000 man-hours. The weakness in the reporting methods suggest that the reports are not sufficiently accurate or reliable to provide a sound basis for national policy-making. The utility of the reported wind erosion information for Soil Conservation Service (SCS) purposes is also very low, but probably sufficient to justify the small costs. Costs could be further reduced by more discriminating selection of counties for reporting. Definitions of and procedures for reporting should be improved to clarify the significance of the information to agricultural productivity and other values. SCS has initiated efforts to improve reporting procedures with Agricultural Research Service (ARS) assistance.

E00200-004

Evaluation of the FY 1974 USDA Special Beef Purchase. April 14, 1975.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, USDA.

Agency Managing Program: Agricultural Marketing Service, USDA.

Program(s) Evaluated: Section 32 Acquisitions (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Agricultural Adjustment Act (P.L. 73-10); Agricultural Marketing Agreement Act of 1937 (P.L. 75-137).

From January through March, 1974, beef prices began to decline sharply. In late March of 1974 the USDA, under the authority of sec. 32, announced its intention to initiate a special \$45 million purchase of choice grade beef for distribution to schools as ground beef. The purpose was to help boost prices to cattle producers and feeders. Study findings are: (a) all cattle prices continued to fall during the special purchase period; (b) the price for choice grade steers fell only 4 percent while the price of utility grade steers fell 18 percent; (c) the spread between choice and utility grades widened during the purchase period suggesting that feed cattle producers probably benefited largely at the expense of producers of lower grade beef with no discernible net gain for the beef industry as a whole; and (d) the special purchase cost the USDA an additional \$12.5 million, of which \$6.0 million was accounted for by the higher (than normal) quality; \$6.0 million because purchases were made before utility prices had decreased substantially in the 3rd and 4th quarters of 1974; and \$.5 million due to forward contracting and thus increased storage costs. The study implication is that USDA

purchases of specific grades of beef can achieve limited price objective but will do so largely at the expense of the price of other grades of beef and possibly pork and poultry.

E00200-005

FmHA Water and Waste Disposal Program Assistance to Small Rural Communities. June 1973.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, USDA.

Agency Managing Program: Farmers Home Administration, USDA.

Program(s) Evaluated: Water and Sewer Loan and Development Grants (10.000); Water and Sewer Planning Grants (10.000).

Budget Function/Subfunction: Pollution control and abatement (304); Agricultural research and services (352); Community development (451).

Program Authorization: Consolidated Farm and Rural Development Act (P.L. 92-419).

The study evaluates claims that the Farmers Home Administration (FmHA) was discriminating against communities in the South with predominately black residents, and against smaller communities generally. According to FY 1970 data, FmHA's program assistance to the smaller rural communities (less than 100 households) was less than the proportion of these communities to all needy rural communities. However, FmHA's assistance to the smaller communities has improved since 1970. The shortfall in FmHA assistance to the smallest communities was due to such factors as (1) less urgent need for new or modified community facilities, (2) failure to meet all legal requirements for eligibility, (3) failure to meet all administrative or policy guidelines, and (4) limited community leadership. There was no evidence of discrimination based on racial composition per se. The program assistance to the smaller rural communities could improve with additional grant money, but grant assistance is spread widely among eligible communities of all sizes. The greatly expanded water and waste disposal grant program since this study was made provides an opportunity to assess the distributive impacts of alternative criteria for grant eligibility among rural communities of different kinds and sizes. The study adds support to the need for such an analysis of grant policy alternatives.

E00200-006

Implications of Discontinuing USDA Commodity Acquisitions and Distribution Activities. January 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, USDA.

Agency Managing Program: Office of Planning and Evaluation, USDA.

Program(s) Evaluated: Section 32 Acquisitions (10.000); Section 6 Food Acquisitions (10.000); Section 709 Food Acquisitions (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Agricultural Adjustment Act (P.L. 73-10); Agricultural Marketing Agreement Act of 1937 (P.L. 75-137); National School Lunch Act (P.L. 79-396).

E00200-007

A Comparative Cost Analysis of Alternative Methods for Selling FHA Notes. June 1973.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, USDA.

Agency Managing Program: Farmers Home Administration, USDA.

Program(s) Evaluated: Rural Development Insurance Fund (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Consolidated Farmers Home Administration Act of 1961 (P.L. 87-128); Housing Act of 1949 (P.L. 81-171); P.L. 93-357, sec. 10.

E00200-008

A Study of Alternatives to Commodity Donations to Schools. September 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Direct Distribution of Food (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Agricultural Adjustment Act (P.L. 73-10; P.L. 75-137).

E00200-009

Implications of Discontinuing USDA Commodity Acquisition and Distribution Activities. January 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Direct Distribution of Food (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Agricultural Adjustment Act (P.L. 75-137).

E00200-010

FmHA Responsiveness to State and Local Rural Development Plans and Priorities. September 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, USDA.

Agency Managing Program: Farmers Home Administration, USDA.

Program(s) Evaluated: Rural Community Facility Loans (10.000); Business and Industrial Development Grants (10.000); Business and Industrial Loans (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Community development (451).

Program Authorization: Consolidated Farm and Rural Development Act (P.L. 92-419).

E00201-001

Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview. Jerome M. Stam. 1973.

Agency Sponsoring Evaluation: Farmers Home Administration, USDA.

Agency Managing Program: Farmers Home Administration, USDA.

Program(s) Evaluated: Rural Water and Waste Disposal Loan and Grant (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Consolidated Farmers Home Administration Act of 1961 (P.L. 87-128); Housing Act of 1949 (P.L. 81-171); P.L. 93-357, sec. 10.

The objectives of this study were: (1) to inventory all towns in 10 selected states with populations under 10,000 and record when they first constructed community water and sewer systems; (2) to compare average size of communities obtaining new water and sewer systems in recent years with average sizes of other towns; (3) to ascertain extent to which communities obtaining new systems have been gaining or losing population; (4) to determine whether construction of new water and sewer systems subsequently led to population growth, or vice versa (primary objective); and (5) to relate results of objectives (3) and (4) to size of community, proximity to major metropolitan area, incorporation status, or time of FmHA assistance. The principal conclusion of the study was that construction of new community water and waste disposal systems tended to follow population growth, rather than vice versa. FmHA-assisted places grew in population at slower rates than other communities with facilities constructed in the 1950-1970 period. The study raises a question about the growth stimulating impacts of community water and waste disposal systems. Community development is an expressed purpose of FmHA's water and waste disposal programs, but the study suggests that the systems have not stimulated growth. However, the deficiencies in the study design suggest the need for further evaluation before accepting that conclusion as sound.

E00201-002

Employment Impacts of the Economic Development Administration's Public Works Program. R. Barrows and D. Bromley. February 1975.

Agency Sponsoring Evaluation: Farmers Home Administration, USDA.

Agency Managing Program: Farmers Home Administration, USDA.

Program(s) Evaluated: Business and Industrial Development Grants (10.000); Business and Industrial Loans (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Consolidated Farmers Home Administration Act of 1961 (P.L. 87-128); Housing Act of 1949 (P.L. 81-171); P.L. 93-357, sec. 10.

Public Availability: American Journal of Agricultural Economics, Vol. 57, Number 1.

This study evaluates employment impacts of EDA-funded public works projects (roads, sewers, water supply systems, and industrial parks) in depressed areas between 1967 and 1969. The major findings are: (a)

projects most successful in creating jobs were located outside major cities (250,000 population or more); (b) employment impacts were greatest for projects in countries experiencing rapid growth in income and population, and in which population is concentrated in towns of 2,500 to 24,999; and (c) the impact of total project cost on employment was quite small: an increase of \$100,000 in project cost tended to create only 2.9 jobs, other things being equal. The primary employment impact of Federally-financed public works projects in depressed areas is small. Such projects are more cost-effective in job creation when located in rapidly growing counties with population concentrations in small cities and towns of less than 25,000 people than in major cities with populations more than 250,000.

E00201-003

A New Federalism Program Analysis. September 18, 1974.

Agency Sponsoring Evaluation: Farmers Home Administration, USDA.

Agency Managing Program: Farmers Home Administration, USDA.

Program(s) Evaluated: Rural Community Facility Loans (10.000); Business and Industrial Development Grants (10.000); Business and Industrial Loans (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Community development (451).

Program Authorization: Consolidated Farm and Rural Development Act (P.L. 92-419).

E00203-001

Rural Development as Related to REA Borrower's Activities. 1973.

Agency Sponsoring Evaluation: Rural Electrification Administration, USDA.

Agency Managing Program: Rural Electrification Administration, USDA.

Program(s) Evaluated: Rural Electric Loans (10.000); Rural Telephone Loans (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Rural Electrification Act of 1936 (P.L. 74-605).

According to REA estimates, 50 percent of the electric borrowers and 25 percent of the telephone borrowers were very actively engaged in community development work. The primary objective of the REA study (evaluation) was to determine whether there was a demonstrable relationship between the extent to which degree of borrower activity in community development was related to rate of community economic growth. Two statistical analyses were conducted: (1) comparison of increase in household income and in KWH sales by borrowers during 1967-1971 for communities with (a) very vigorous REA borrower activity, and (b) communities with REA borrowers relatively inactive in rural development; and, (2) the same type of comparison, but with increase in population added as a growth factor and the REA borrowers divided into three groups--least active, moderately active and most active. In both of these statistical analyses, results were insignificant at the 10 level of probability. It was found, however, that REA borrower activity in community development was related

positively and strongly with organization size and community size. The study addresses the issue of the significance of local REA borrower leadership and activity in rural community development, and failed to find whether borrower leadership was or was not a strong causal force in community development. Generally, policy and program officials assume that effective local leadership is prerequisite to success in rural community development. The REA study does not effectively test that assumption. A study could be designed which would do so--but a study much broader than this REA evaluation would be necessary.

E00204-001

Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert. Eric C. Oesterle. Purdue University; Lafayette, Ind. May 1975.

Agency Sponsoring Evaluation: Agricultural Marketing Service, USDA.

Agency Managing Program: Agricultural Marketing Service, USDA.

Program(s) Evaluated: Section 32 Acquisitions (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Agricultural Adjustment Act (P.L. 73-10); Agricultural Marketing Agreement Act of 1937 (P.L. 75-137).

Recipients of the monthly Food Marketing Alert indicated that 83% found the information to be of moderate to much use, but felt a need for additional information and local details obtainable from other sources. Commodity supplies were reported as a more influential source of information than Alert. Thirteen percent found little use and 3% no use for the data because it was too general, untimely or other sources were more reliable. Fifty-one percent said they would be unwilling to pay for the information; 30% were willing to pay \$1 to \$5 per year; and 19% were willing to pay \$10 to \$20 per year. Recipients of a special issue reporting commodities with seasonally heavy supplies indicated that 62% had features such commodities in promotions, of which half were influenced by the Alert; suppliers were reported as a more influential source of information than the Alert. Dieticians, educators and institutions revealed that 87% found the data useful in providing a general background for their purchasing decisions. However, 59% were unwilling to pay for the information and 36% were willing to pay only \$1 to \$5 per year. Information on national supplies of farm commodities clearly had some utility as a supplement to other sources of information for a sample of the 18,000 recipients of 'Alerts'. The willingness-to-pay data suggests that \$17,000 or more of the \$100,000 annual cost for the program could be recovered by charging \$5 per year--at \$10 per year only \$11,000 could be recovered.

E00204-002

Pricing Under Federal Milk Market Regulation: theory, objectives and impact. John E. Kwoka, Jr. 1975.

Agency Sponsoring Evaluation: Agricultural Marketing Service, USDA.

Agency Managing Program: Agricultural Marketing Service, USDA; Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Marketing Agreements and Orders (10.000); Dairy Products (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Farm income stabilization (351).

Program Authorization: Agricultural Act of 1964 (P.L. 88-297); Commodity Credit Corporation Charter Act (P.L. 80-806); Agricultural Marketing Agreement Act of 1937 (P.L. 75-137).

This study seeks to determine whether market order milk prices are set for the benefit of consumers, or whether they are used to produce excess profits for producers through cartelization. The findings are: (a) the weighted average retail price for milk was 9% above competitive levels in 1960 and was 22% above in 1970, suggesting that the magnitude of price distortion has been considerable and appears to be growing; (b) the major constraint on further price rises in most markets is the threat of importing milk from Minnesota and Wisconsin; and (c) the excess production of fluid milk generated by milk market order prices was 3% in 1960 and 12% in 1970. The author concludes that 'No argument for Federal regulation of milk markets based on consumer interests or simple price stabilization is tenable. Regulation has permitted the cartelization of producers and enforced profit-maximization prices.' The findings of the study indicate a need to further review the role of Federal Milk Marketing Orders, particularly in light of the currently changing structure of milk markets.

E00204-003

Evaluation of the USDA Food Supply Release, Food Marketing Alert. January 6, 1975.

Agency Sponsoring Evaluation: Agricultural Marketing Service, USDA.

Agency Managing Program: Agricultural Marketing Service, USDA.

Program(s) Evaluated: Plentiful Foods (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Agricultural Adjustment Act of 1938 (P.L. 75-430).

E00204-004

Economic Impact of Proposed Changes in Beef Grades. December 1974.

Agency Sponsoring Evaluation: Agricultural Marketing Service, USDA.

Agency Managing Program: Agricultural Marketing Service, USDA.

Program(s) Evaluated: Agricultural Product Grading (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: U.S. Cotton Standards Act (P.L. 67-539; 7 USC 51-65); Cotton Statistics and Estimates Act (P.L. 69-740; 7 USC 473d); U.S. Grain Standards Act (P.L. 64-190; 7 USC 71); Naval Stores Act (P.L. 67-478; 7 USC 91-99); Tobacco Inspection Act (P.L. 74-314; 7 USC 511); Agricultural Marketing Act of 1946 (P.L. 79-733; 7 USC 1622).

E00204-005

Cash Grain Price Reporting in the Chicago Market. January 10, 1975.

Agency Sponsoring Evaluation: Agricultural Marketing Service, USDA.

Agency Managing Program: Agricultural Marketing Service, USDA.

Program(s) Evaluated: Market News (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Cotton Statistics and Estimates Act (P.L. 69-740; 7 USC 471-473); Tobacco Stocks and Standards (P.L. 70-661; 7 USC 501, 508); Tobacco Inspection Act (P.L. 74-314; 7 USC 511h); Agricultural Marketing Act of 1946 (P.L. 79-733; 7 USC 1622k).

E00205-001

An Evaluation of the Witchweed Program. May 1973.

Agency Sponsoring Evaluation: Animal Plant and Health Inspection Service, USDA.

Agency Managing Program: Animal Plant and Health Inspection Service, USDA.

Program(s) Evaluated: Plant Pest and Disease Control (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Federal Plant Pest Act (P.L. 85-36; 7 USC 147-148, 150); Plant Quarantine Act (P.L. 62-275; 7 USC 151-164a); Terminal Inspection Act (P.L. 63-293; 7 USC 166); Department of Agriculture Organic Act of 1944; Public Resolution No. 20, April 6, 1937; Mexican Border Act, as amended (P.L. 85-36; 7 USC 149); Mexican Pink Bollworm Act (P.L. 65-40; 7 USC 145); Golden Nematode Act (P.L. 80-645; 7 USC 150); Honeybee Act (P.L. 87-539; 7 USC 281-282); Halogeton Glomeratus Act (P.L. 82-529; 7 USC 1651-1656); Federal Noxious Weed Act (7 USC 2801-2813).

Witchweed has not spread from the limited area of infestation in North and South Carolina. With the current control technology and level of resources applied the current program can only reduce the amount of infestation along the outer perimeter. Economic losses from witchweed are negligible with the program. Without it the weed could spread throughout major corn, sorghum and sugarcane producing areas in 47 to 76 years with losses from reduced yields and control costs of \$918 million per year. The present strategy of confinement has a projected benefit/cost ratio of between 13:1 and 43:1 at a continuing cost of \$2.7 million per year. The study indicates that continuation of the present program may generate benefits substantially in excess of its cost. However, the current (1973) program may not achieve the desired goal of witchweed eradication in 30 years. Consideration should be given to an intensified program to develop and apply new technology to eradicate witchweed in a shorter period of time with little change in cost.

E00205-002

The Southwestern Screwworm Eradication Program: a review. Charles Lincoln and W. G. Eden. August 30, 1974.

Agency Sponsoring Evaluation: Animal Plant and Health Inspection Service, USDA.

Agency Managing Program: Animal Plant and Health Inspection Service, USDA.

Program(s) Evaluated: Animal Disease and Pest Control (10.000); Plant Disease and Pest Control (10.000); Import Inspection (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Federal Plant Pest Act (P.L. 85-36; 7 USC 147-148, 150); Plant Quarantine Act (P.L. 62-275; 7 USC 151-164a); Terminal Inspection Act (P.L. 63-293; 7 USC 166); Department of Agriculture Organic Act of 1944; Public Resolution No. 20, April 6, 1937; Mexican Border Act, as amended (P.L. 85-36; 7 USC 149); Mexican Pink Bollworm Act (P.L. 65-40; 7 USC 145); Golden Nematode Act (P.L. 80-645; 7 USC 150); Honeybee Act (P.L. 87-539; 7 USC 281-282); Halogeton Colomeratus Act (P.L. 82-529; 7 USC 1651-1656); Federal Noxious Weed Act (7 USC 2801-2813).

The Southwestern Screwworm Program has yielded an annual ratio of benefits (reduced livestock losses and reduced production costs) to costs in excess of 39:1 during 1972 to 1974. Increased screwworm infestation in the past 3 years is partially attributed to weather conditions, changes in animal husbandry practices, and increased livestock and wildlife population in the Southwest. The sterile fly technique is sound in principle. Knowledge in field effectiveness of released flies is limited. Limited plant capacity to produce sterile flies is a constraint in bad years. Lack of ability to determine the number and distribution of wild flies may be the greatest weakness in the program. This results in less effective release practices. Eradication in Mexico will be more difficult and several uncertainties were noted. Winter weather provides a significant natural control mechanism in the U.S., but not in Mexico. Elimination of the knowledge gaps and other limitations cited could improve the effectiveness of the current U.S. program in the Southwest. Some continuing research support is indicated. Although moving the screwworm barrier zone to Tehuantepec, Mexico could facilitate keeping the screwworm from reentering the U.S., the joint program with Mexico faces most of the same limitations and some additional uncertainties due to the climate, limited surveillance capability, and other factors affecting the potential for successful attainment of an eradication objective in northern Mexico.

E00205-003

Citrus Blackfly Program Evaluation. 1973.

Agency Sponsoring Evaluation: Animal Plant and Health Inspection Service, USDA.

Agency Managing Program: Animal Plant and Health Inspection Service, USDA.

Program(s) Evaluated: Plant Disease and Pest Control (10.00).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Federal Plant Pest Act (P.L. 85-36; 7 USC 147-148, 150); Plant Quarantine Act (P.L. 62-275; 7 USC 151-164a); Terminal Inspection Act (P.L. 63-293; 7 USC 166); Department of Agriculture Organic Act of 1944; Public Resolution No. 20, April 6, 1937; Mexican Border Act, as amended (P.L. 85-36; 7 USC 149); Mexican Pink Bollworm Act (P.L. 65-40; 7 USC 145); Golden Nematode Act (P.L. 80-645; 7 USC 150); Honeybee Act (P.L. 87-539; 7 USC 281-282); Halogeton

Glomeratus Act (P.L. 82-529; 7 USC 1651-1656); Federal Noxious Weed Act (7 USC 2801-2813).

The study finds that 'There is no question but that the citrus blackfly will eventually become established throughout the five citrus-producing areas of the U.S. The only uncertainty lies in the date of this eventuality.' The present program may delay the permanent establishment of the pest in the U.S. by 10 to 32 years, but cannot prevent it entirely. Once established the citrus blackfly would cause about \$173 million per year in yield losses and grower pesticide control costs if there were no Federal or state program. The present program could produce an estimated benefit/cost ratio of 84 to 1 by delaying the spread of the pest and would cost \$54.9 million over the life of the program. The 1973 program technology will not achieve the stated objective of eradicating citrus blackfly from the border areas of Mexico and the U.S. and preventing reestablishment. The study strongly supports the need for continuing research to improve techniques to control or reduce losses from the pest. Program cost-effectiveness should be re-evaluated frequently and alternative strategies or program designs should be more carefully assessed.

E00205-004

APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. June 1974.

Agency Sponsoring Evaluation: Animal and Plant Health Inspection Service, USDA.

Agency Managing Program: Animal and Plant Health Inspection Service, USDA.

Program(s) Evaluated: Animal Disease and Pest Control (10.000); Plant Disease and Pest Control (10.000); Import Inspection (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Federal Plant Pest Act (P.L. 85-36; 7 USC 147-148, 150); Plant Quarantine Act (P.L. 62-275; 7 USC 151-164a); Terminal Inspection Act (P.L. 63-293; 7 USC 166); Department of Agriculture Organic Act of 1944; Public Resolution No. 20, April 6, 1937; Mexican Border Act, as amended (P.L. 85-36; 7 USC 149); Mexican Pink Bollworm Act (P.L. 65-40; 7 USC 145); Golden Nematode Act (P.L. 80-645; 7 USC 150); Honeybee Act (P.L. 87-539; 7 USC 281-282); Halogeton Glomeratus Act (P.L. 82-529; 7 USC 1651-1656); Federal Noxious Weed Act (7 USC 2801-2813).

E00205-005

The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. May 1973.

Agency Sponsoring Evaluation: Animal and Plant Health Inspection Service, USDA.

Agency Managing Program: Animal and Plant Health Inspection Service, USDA.

Program(s) Evaluated: Animal Disease and Pest Control (10.000); Plant Disease and Pest Control (10.00); Import Inspection (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Federal Plant Pest Act (P.L. 85-36; 7 USC 147-148, 150); Plant Quarantine Act (P.L. 62-275; 7 USC 151-164a); Terminal Inspection Act (P.L. 63-293; 7 USC 166); Department of Agriculture Organic Act of 1944; Public Resolution No. 20, April 6, 1937;

Mexican Border Act, as amended (P.L. 85-36; 7 USC 149); Mexican Pink Bollworm Act (P.L. 65-40; 7 USC 145); Golden Nematode Act (P.L. 80-645; 7 USC 150); Honeybee Act (P.L. 87-539; 7 USC 281-282); Halogeton Glomeratus Act (P.L. 82-529; 7 USC 1651-1656); Federal Noxious Weed Act (7 USC 2801-2813).

E00207-001

Food Stamps and Nutrition. Kenneth W. Clarkson. American Enterprise Institute for Public Policy Research, Washington, D.C. April 1975.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act of 1964 (P.L. 88-525; P.L. 91-671).

Public Availability: American Enterprise Institute for Public Policy Research, Washington, D.C.

This study evaluates the Food Stamp Program in terms of its effectiveness in raising farm incomes and improving nutrition among the poor. It finds that: (a) participants value their food stamps at only 82 percent of the equivalent of cash. To compensate for this they direct their spending to purchases of more palatable convenience foods in high priced service-oriented stores that are not necessarily more nutritious; or, they trade stamps illegally for cash or other goods; (b) the costs of achieving the above distortions in food consumption were \$4.32 per household for USDA administrative costs plus \$7.75 per household attributable to the low preference for stamps vis a vis cash; (c) the program failed in alleviating hunger because in 1973 there were 263 'hunger counties' compared to 280 in 1968; and (d) the program failed in its farm income objectives because most of the bonus value of stamps was directed to food related services, food quality, and non-food items. This study provides some inferential (but not conclusive) support for the hypotheses that: (a) recipients of food stamps would be better off with cash than with stamps; and (b) farm income objectives are not fully consistent with consumer welfare objectives.

E00207-002

Food Distribution and Food Stamp Program Effects on Nutritional Achievement. Preliminary report. Sylvia Lane. November 1974.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10.000); Direct Distribution of Food (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act of 1964 (P.L. 88-525; P.L. 91-671); Agricultural Adjustment Act (P.L. 73-10).

The study in Kern County, Cal. compared food intake and nutritional status of food aid participants with comparable non-participating low-income households. Findings are summarized as follows: (a) Food Distribution Program (FDP) recipients had \$32.55 more food available per month and spent \$10.49 less on food than non-participants. (b) Food Stamp Program (FSP) participants received \$43.70 in bonus stamps, spent \$25.42 less on food and had \$17.82 more food available than non-participants or 41% of bonus value. (c) FSP participants who had previously been FDP recipients spent \$155 for food per month compared with \$115 when they were on FDP. The \$40 excess compares with \$44 in bonus stamps or 90% of the total. (d) Food donation program households received about \$59 worth of donated commodities each month. (e) The total value of food available under the Food Stamp Program was \$29 per month less than under the Food Stamp Program. (f) FSP participation resulted in significantly higher levels for participants, intakes of calories, protein, calcium, thiamine, and riboflavin, as compared with non-participants. (g) Food-distribution participants' diets apparently were negatively influenced by the relatively low acceptance level for donated commodities (about 70% of the commodities were accepted) and nutrient intakes did not appear to be significantly improved over non-participants for any nutrient. (h) Calorie and protein content of diets for both participants and non-participants is adequate or above, on the average. This study supports the hypothesis that the Food Stamp Program exerts a positive impact on diets and is more effective than food distribution. It also suggests that nutritional education may be more cost-effective than further increases in FSP subsidies.

E00207-003

National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits. Joint Economic Committee, December 1974.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10.000); Direct Distribution of Food (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act of 1964 (P.L. 88-525; P.L. 91-671); Agricultural Adjustment Act (P.L. 73-10).

The findings of this survey are summarized as follows: (a) Food stamp recipient household incomes averaged \$238 in cash per month and \$126 in in-kind income (total, \$364). (b) Food distribution households received \$261 in cash and \$112 in in-kind transfers (total, \$373). (c) Net earnings from wages and salaries, interest and other private sources accounted for about 20% of income and public cash or in-kind income about 80%. (d) About 2/3 of transfer income came from AFDC, medicaid, social security, and food stamp or food distribution. (e) Sixty percent of food stamp and 67% of food distribution households received benefits from other public assistance programs. (f) AFDC was the primary source of public assistance accounting for 37% of food stamp and 34% of food distribution families incomes. (g) About 1/3 of food assistance households received benefits from the medicaid program. (h) Less

than 30% of all surveyed households reported earned income during November 1973. (i) Nine percent of food stamp and 11% of food distribution households received per capita benefits in excess of \$200 per month. (j) Two percent of households received benefits from 6 and more programs. (k) Households receiving benefits from only the food stamp program amounted to 7% and from only the food distribution program 4.5%. (l) Food stamp recipients were typically urban residents and food distribution recipients were typically rural residents. (m) Blacks represented 37% of food stamp and 23% of food distribution households. (n) Female headed households amounted to 66% of food stamp and 54% of food distribution households. (o) About 70% of adults were not in the labor force.

E00207-004

School Feeding Effectiveness. Summary report. Rutgers University, New Brunswick, N.J. September 1972.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10,000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

A series of studies of the National School Lunch Program in New Jersey was conducted because of the low participation rate in the state--only 18% of the school students participated. The results indicate that schools did not participate because (a) they lacked kitchen facilities; and (b) the initial overhead costs to begin food service operation were a major problem. Administrators of schools that participated reported that (a) on-site kitchen systems were the most efficient in terms of costs and benefits, and satellite systems were rated second; and (b) the Type A Pattern was not a restraint to student participation although the subsidized meals were generally considered to be unpalatable. Most new foods were found to be acceptable. Potentially significant losses in nutrient content of foods due to heat preparation were identified. In some cases, additional USDA outreach effort may be needed to concentrate on establishing on-site feeding facilities in schools. A vitamin supplement provided to children who bring their own lunches would raise the nutritional content of their meals to Federal Standard. Findings on low acceptability of subsidized lunches and nutrient losses due to cooking raise serious questions about the assumption that serving type A lunches results in an equivalent effect in terms of nutrients ingested.

E00207-005

The USDA Study on High School Participation in Child Nutrition Programs. September 1973.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10,000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

The study findings are: (a) the attitude of school administrators was the single most important factor relating to participation--in low-participation schools 80% of the administrators had a negative or indifferent attitude toward the program; (b) schools with poor facilities, modular scheduling, extensive a la carte service and school lunch periods experienced low participation; (c) of the low participation schools, 50% had poor facilities and 50% had modular or split-shift scheduling; (d) 55% of students felt that the lunch period was too short; and (e) 54% also thought the lunch line was too long. To increase participation in the NSLP the outreach efforts should emphasize the development of positive attitudes toward the program by school administrators. However, no evidence was provided to show that positive attitudes of school administrators is sufficient for a consistent positive relationship between participation and improved effectiveness.

E00207-006

1972 National School Lunch Program Survey. 1972.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10,000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

The study findings are: (a) of the 106,381 schools in the Nation, 79,588 or about 75% of them participated in the National School Lunch Program in 1972; (b) the program was available to about 85% of the nation's children; (c) more than 77% of the non-participating schools were in the Northeast; (d) average enrollment in program schools was 550 and 290 in non-NSLP schools; (e) the reason given most often for not participating was lack of feeding facilities; (f) 86% of NSLP schools prepare food only for their own use; (g) serving speed was slow--most schools served fewer than 5 lunches per line per minute; (h) the national average cost of preparing a lunch was 68.7 cents and the average price charged students was 35.6 cents; (i) a la carte food items in addition to the type A lunch was served in about 10 percent of the NSLP schools; and (j) 89% of minority children and 84% of all white children in schools are in NSLP schools. The USDA food assistance programs are reaching the target population but there is considerable program overlap and duplication of both USDA and other Federal assistance programs. Federal programs should be more closely coordinated and revised where necessary to save administrative and program costs due to excessive duplication.

E00207-007

Who's Picking Up the Check for Pennsylvania's School Lunches? Don E. Hardenberg. 1972.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

Public Availability: Evaluation, Vol. 1, No. 3, 1973.

A study of the School Lunch Program in Pennsylvania found that in 1971: (a) 19% of the schools were without a lunch program. These were most prevalently elementary schools in low income urban areas; (b) 55% of all needy children were not receiving a free or reduced price lunch even though 2/3 of them were going to schools that served lunches. Concerning free and reduced lunches the study found that: (a) only 47% of schools used Federal announcement procedures to promote them; (b) 87% of student enrollment was provided with application forms for them; (c) 44% of the school with poverty enrollments under 50% were in violation of Federal rules for establishing eligibility--only 21% did so in schools with more than 50% poverty enrollment; and (d) 25% of the schools violated the anonymity requirement. If the assumption regarding the effects of nutrition on the ability to learn could be accepted with confidence the study would suggest that special actions need to be taken at the local level to improve attitudes of local officials and so improve participation among poverty children.

E00207-008

Presidential Objective on Child Nutrition Programs. 1973.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Child Nutrition (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Child Nutrition Act of 1966 (P.L. 89-642); National School Lunch Act (P.L. 79-396).

This study was requested by OMB, to analyze 'The National School Lunch Program' in a cost-effective framework. For the 1973 program the study reported that a 10% increase in lunch price would reduce paid participation between 3% and 6%, with the average rate near 5%. Some 24.6 million children participated in the 1973 NSLP, including 8.2 million who received free lunches and 2 million who paid reduced prices. The cost per lunch was \$.84. Total Federal costs were \$1.2 billion; total costs to society were \$3.4 billion. Comparisons of the 1973 NSLP with alternatives limiting the 1973 NSLP to the needy indicated the latter would reduce Federal and social costs 12-25% while increasing participation and nutritional effect among the poor. Participation would be reduced 15-24% (because of fewer non-poor) but nutritional impact would go down only 12-19%, generally improving program cost-effectiveness. A shift to Food Stamps in place of NSLP would reduce costs, participation and nutritional impacts more than 50%. Comparison of the 1973 NSLP with expansion to more

non-needy and to a universal free NSLP indicated that Federal costs would increase 58-200% while participation and nutritional impacts increased only 16-44%. Costs to society would increase similarly, 15-41%. The study does not provide a complete guide for policy decisions for increasing the cost-effectiveness of the NSLP for improving the nutritional status of children because of (a) the method of aggregating earlier partial studies, and (b) the failure to adequately relate program spending and subsidy rates to nutritional impacts. However, results suggest that cost-effectiveness could be significantly increased by limiting or eliminating subsidies to the non-poor.

E00207-009

Cost Survey of Foods Purchased by the USDA and Local School Systems. May 1974.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

E00207-010

Five County Food Management Improvement Project. February 1974.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

E00207-011

Racial Composition in the National School Lunch Program. 1973.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

E00207-012

Comparison of Type A Pattern and Nutrient Standard Approaches to School Food Service Menu Planning. September 1973.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

E00207-013

Cost Structure of the School Lunch Program. June 1973.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

E00207-014

Impact of Price on School Lunch Participation--Washington State. October 1973.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

E00207-015

Impact of Price on Participation in NSLP: a summary. 1973.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

E00207-016

An Economic Evaluation of School Lunch Systems. May 1973.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

E00207-017

Factors Affecting Food Habits. March 1973.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

E00207-018

'Computer Associated Menu Planning (CAMP).' September 1972.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: School Lunches (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

E00207-019

National Survey of Family Food Assistance Participants. October 1974.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10.000); Special Supplemental Food (10.000); Direct Distribution of Food (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act of 1964 (P.L. 88-525; P.L. 91-671); Child Nutrition Act of 1966 (P.L. 89-642); Agricultural Adjustment Act (P.L. 73-10; P.L. 75-137).

E00207-020

Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement. November 1974.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10.000); Special Supplemental Food (10.000); Direct Distribution of Food (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act of 1964 (P.L. 88-525; P.L. 91-671); Child Nutrition Act of 1966 (P.L. 89-642); Agricultural Adjustment Act (P.L. 73-10; P.L. 75-137).

E00207-021

Assessment of Dietary Adequacy of Program Participants. September 1973.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10.000); Direct Distribution of Food (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act of 1964 (P.L. 88-525; P.L. 91-671); Agricultural Adjustment Act (P.L. 73-137; P.L. 73-10).

E00207-022

Acceptability and Suitability of the Expanded Thrifty Recipe Flyers by Low-Income Families. April 1973.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act of 1964 (P.L. 88-525; P.L. 91-671).

E00207-023

Dual Operation in State of Washington. October 1972.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act of 1964 (P.L. 88-525; P.L. 91-671).

E00207-024

Relationship Between Program Participation and Level of Economic Activity. October 1972.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act of 1964 (P.L. 88-525; P.L. 91-671).

E00207-025

Phase II Food Survey of Institutions. June 1973.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Direct Distribution of Food (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Agricultural Adjustment Act (P.L. 73-10; P.L. 75-137).

E00207-026

Demonstration Project for Summer Special Food Service Program for Children. December 1972.

Agency Sponsoring Evaluation: Food and Nutrition Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Non-School Food (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act (P.L. 79-396).

E00208-001

Survey of Grade and Weight Selling of Livestock. February 1974.

Agency Sponsoring Evaluation: Packers and Stockyards Administration, USDA.

Agency Managing Program: Packers and Stockyards Administration, USDA.

Program(s) Evaluated: Maintenance of Equitable Marketing Conditions for Livestock and Poultry (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Packers and Stockyard Act of 1921, as amended (P.L. 67-51).

This study assesses the cost and effectiveness of P&SA's FY 1969 survey and FY 1970 and FY 1971 sample surveillance of grade and weight selling of livestock (cattle and hogs). The 1969 survey and subsequent surveillance were conducted to determine the extent to which Packers purchasing livestock on a carcass basis were not in compliance with recently established P&SA grade and weight selling regulations. The objective was to assure that producers and purchasers received true value for the livestock carcasses traded. The estimated benefits to the livestock industry and cost

effectiveness of the program are as follows: (a) it directly increased cattle and hog producers' returns by \$428,000 in 1969, \$56,000 in 1970 and \$79,000 in 1971 due to correction of wrong tare weight settings; (b) it saved cattle producers \$1.1 million in 1969 by eliminating excess deductions. Corresponding savings for 1970 and 1971, based on projection of the deterrent effect of 1969 returns, were estimated at \$1.4 million and \$1.6 million, respectively; and (c) total savings to the livestock industry were estimated to be \$12 per dollar of P&SA salary and travel outlays in 1969. This ratio increased to \$20 in 1970 and \$50 in 1971, due almost entirely to the lower cost of sample surveillance. Study implications are that the new P&SA regulations appear to have had beneficial effects in improving producer returns. Active surveillance of markets can improve their competitiveness.

E00208-002

Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets. June 1974.

Agency Sponsoring Evaluation: Packers and Stockyards Administration, USDA.

Agency Managing Program: Packers and Stockyards Administration, USDA.

Program(s) Evaluated: Maintenance of Equitable Marketing Conditions for Livestock and Poultry (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Packers and Stockyard Act of 1921, as amended (P.L. 67-51).

P&SA reviews all rates charged livestock producers at stockyards to assure that they are non-discriminating and that revenues are just sufficient to cover all reasonable costs of providing facilities and services to producers. The study finds that: (a) the rate-review process saved livestock producers \$11.70 for each \$1 of outlays by P&SA in 1973. This was the amount by which P&SA determined that requests for rate increases exceeded the revenue requirement for reasonable expenses; (b) the cumulative benefits to livestock producers between 1968 and 1973 (assuming that all requested increases had been granted since 1968) were \$33 per \$1 of P&SA outlays; and (c) auction rates in Louisiana, where markets used percentage tariffs, were 4.7%. In states where auctions used per head tariffs, the rates were less than 2.0%. The implication of the study is that, despite the upward bias that may exist in the estimates of benefits, the regulation of rates charged by stockyards appears to be beneficial to producers when markets for livestock marketing services are not fully competitive.

E00208-003

Recovery of Nonpayments to Livestock Producers (Sellers Under the Bonds of Registrants). June 19, 1974.

Agency Sponsoring Evaluation: Packers and Stockyards Administration, USDA.

Agency Managing Program: Packers and Stockyards Administration, USDA.

Program(s) Evaluated: Maintenance of Equitable Marketing Conditions for Livestock and Poultry Producers (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Packers and Stockyard Act of 1921, as amended (P.L. 67-51).

E00209-001

Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton). July 5, 1974.

Agency Sponsoring Evaluation: Agricultural Stabilization and Conservation Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Feedgrains and Products (10.00); Wheat and Products (10.00); Upland Cotton (10.000).

Budget Function/Subfunction: Farm income stabilization (351); Conservation and land management (302).

Program Authorization: Agricultural Act of 1949 (P.L. 81-439); Commodity Credit Corporation Charter Act (P.L. 80-806); Agricultural Act of 1964 (P.L. 88-297).

The study found that the set-aside provisions were only 38% effective in reducing planted acreage in 1971, but 79% effective in increasing planted acreage in 1973. Percent effectiveness was determined by calculating the actual crop acreage reduction or increase for each crop as a percent of the targeted acreage decrease or increase of set-aside provisions of the 1971-73 programs. The existence of a large non-required conserving acreage base on most farms allowed planted acreage to remain relatively unchanged while set-aside acreage was increased. Also, grazing privileges on set-aside acres led to substitution for previously grazed areas and thus, greatly reduced the effectiveness of the set-aside program in areas where large numbers of cattle were raised. Study implications are that the loss in program effectiveness could have been improved by limiting the set-aside provisions on planted acreage and eliminating several of the liberalizing provisions (e.g., summer fallow practices) used in 1971-73. If this is unacceptable then slippage will occur.

E00209-002

Program Evaluation on 1973 Feedgrain Program Performance. June 4, 1974.

Agency Sponsoring Evaluation: Agricultural Stabilization and Conservation Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Feedgrains and Products (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Agricultural Act of 1949 (P.L. 81-439); Agricultural Act of 1964 (P.L. 88-297); Commodity Credit Corporation Charter Act (P.L. 80-806).

In the 1973 Feedgrain Program, farmers could choose option A which required initially a 25% set-aside of feedgrain acreage (later reduced to 10%); or option B (Soybean option) which required no set-aside but would allow no more feedgrain acres than in 1972. The objective of the program was to reduce the feedgrains set-aside by 20.1 million acres or 55% from 1972, and increase soybeans by several million acres, and reduced costs. The program actually reduced set-aside acreage by 27.5 million acres, from 36.6 million to 9.1 million. The findings include: (a) only 40% of the acres released from set-aside were planted to crops. An additional 10% was used for pasture, 25% was left idle, and the remainder

was used for hay or fallow; (b) feedgrains acreage increased in all regions by 6.3 million acres; (c) soybean acreage increased in all regions by 10.2 million acres; (d) much of the increase in soybean acreage was the result of the increase in prices of soybean relative to corn and not the B option as expected; and (e) feedgrains payments were reduced from \$1.8 billion to \$1.1 billion. The implication of the study is that in periods of expanding demand and excess capacity the market signals (prices) are strong enough to generate the desired production responses without the need for the more costly program provisions designed to get the same result.

E00209-003

Uniform Grain Storage Agreement. April 1974.

Agency Sponsoring Evaluation: Agricultural Stabilization and Conservation Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Commodities (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Agricultural Act of 1964 (P.L. 88-297); Commodity Credit Corporation Charter Act (P.L. 80-806).

Since 1940 the Commodity Credit Corporation (CCC) has set the rates and conditions for the storage of CCC grains under the terms of the Uniform Grain Storage Agreement (UGSA). The study evaluated the effectiveness of the USDA in terms of the objective of assuring that producers have access to adequate commercial storage to assure efficient operation of the CCC commodity loan program. Tests were also made of the appropriateness of the specific rates. This study concludes: (a) storage space approved under the UGSA has been widely available for farmers' grain, and lack of storage has not constituted a constraint on the CCC loan program; (b) UGSA rates have not been a primary factor influencing off-farm storage capacity, except in the 1950's; (c) UGSA rates in 1973 were generally below firms' published tariffs; (d) Economic Research Service (ERS) cost estimates on which the UGSA rates are based have not been biased upward or downward, but have not always been accurate; (e) higher UGSA rates on corn vis-a-vis small grains are justifiable on the basis of cost; on soybeans they are not; and (f) rates of return to warehousemen under the UGSA do not appear to have been excessive during the four years sampled (since 1964), using ERS estimates of replacement costs. The study provides selected indicators suggesting that the UGSA has achieved objectives at costs that were probably not excessive. The study suggests that the conservative rate-setting policies of the CCC Board during the 1970's have not impaired the effectiveness of the UGSA, and that there is little need for broad increases in rates to assure availability of adequate storage capacity.

E00209-004

Farm Programs, Pesticide Use, and Social Costs. James W. Richardson. December 1973.

Agency Sponsoring Evaluation: Agricultural Stabilization and Conservation Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Crop Supply Adjustment (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Agricultural Act of 1964 (P.L. 88-297); Commodity Credit Corporation Charter Act (P.L. 80-806); Soil Conservation and Domestic Allotment Act (P.L. 87-703); Food and Agriculture Act of 1965 (P.L. 89-321); Soil Bank Act (P.L. 84-540).

Public Availability: Southern Journal of Agricultural Economics, December 1973.

Farm programs that divert cropland and support commodity prices encourage the substitution of pesticides for cropland. This study calculated that to maintain farm output at a specific level, pesticide usage increases 7.53 pounds for each one acre decrease in cropland used. Applying this calculated rate of substitution to the average of 40 million acres of cropland diverted from 1965-1969, it is estimated that acreage restrictions encouraged use of an additional 300 million pounds of pesticide per year. The use of marketing quotas, as an alternative to cropland diversion, resulted in a more nearly economically optimum input mix. The pesticide use impacts of cropland diversion and price support programs provided in this study are too crude for specific decision purposes. The results demonstrate that significant environmental impacts are associated with farm program decisions. The results point to the need to consider environmental impacts when farm program decisions restrict land use. In regard to crop supply adjustment program design, marketing quotas are found superior to acreage diversion with respect to societal costs for non-optimal levels of input use.

E00209-005

A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970. Peter Helmberger and John Rosine. November 1974.

Agency Sponsoring Evaluation: Agricultural Stabilization and Conservation Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Commodity Programs (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Agricultural Act of 1964 (P.L. 88-297); Commodity Credit Corporation Charter Act (P.L. 80-806).

Public Availability: American Journal of Agricultural Economics, Vol. 56, No. 4.

The findings of this study are: (a) the major impact of the 1948-1970 farm programs impact was to increase the amount of labor, capital, and operating inputs used in farming by 5-8% above that expected under free market conditions, but it raised the total output only by 2%; (b) for each dollar of program benefits generated, 92% accrued to farm landowners and 8% to farm labor; and (c) net benefits attained by farmers in 1970 were \$2.689 billion and the net cost to consumers and taxpayers due to higher prices and taxes was \$4.829 billion. Thus, each dollar of program benefits to the farm sector cost consumers and taxpayers \$.80 in purchasing power. The implications of this study are that even though a high percentage of the farm program benefits accrue to land they nevertheless, in early years, help farmers because

87 percent of them are landowners. After the first generation, however, new farmers have to pay for the capitalized benefits.

E00209-006

Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy. R. Lynn Harwell, and others. July 1972.

Agency Sponsoring Evaluation: Agricultural Stabilization and Conservation Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Feed Grains and Products (10.000); Wheat and Products (10.000); Upland Cotton (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Agricultural Act of 1964 (P.L. 88-297); Commodity Credit Corporation Charter Act (P.L. 80-806).

Public Availability: Southern Journal of Agricultural Economics, Vol. 4, No. 1.

This is an evaluation of the impact of the Farm Act of 1970 and the 1971 farm commodity programs on gross farm income in Oklahoma and the multiplier effects on the non-farm community. The base year is 1970 and the analysis compares 1971 farm income to 1970 farm income. Principal commodity programs involved are cotton, wheat, and feedgrains. The study estimated that both variable costs and sales increased 15% while farm income increased about 3% and Federal expenditures were reduced about 3%. The short run effects were beneficial to farmers, the general Oklahoma economy, and Federal budgets. But, the longer run effects raise concern because the Farm Act of 1970 and 1971 program gave farmers greater freedom to determine level of production, and thereby increased uncertainty about production outcomes and future price levels. The income multiplier applied to the \$7 million increase in farm income generated an estimated \$11 million direct and indirect benefits throughout Oklahoma with an additional \$7 million from income induced effects. The study provides supporting evidence that under given conditions (Oklahoma, 1971) a government program providing greater freedom for farmers to choose production patterns can result in improved income. It cautions, however, that unless demand is sustained over time, commodity price fluctuations can be more difficult and expensive to control.

E00209-007

The Sugar Program: Large Costs and Small Benefits. D. Gale Johnson. April 1974.

Agency Sponsoring Evaluation: Agricultural Stabilization and Conservation Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Sugar Act Program (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Sugar Act of 1948 (P.L. 80-388).

Public Availability: American Enterprise Institute for Public Policy Research, Washington, D.C.

The findings of this study are: (a) the production, distribution, and pricing of sugar is highly regulated in almost all countries including the U.S. Over half the sugar that moves in world trade does so within the framework of special preferential arrangements; (b) an important effect of the U.S. sugar program has been the protection of the U.S. sugar refining. Sugar quotas are more stringent against refined sugar than raw sugar. Less than 2% of total U.S. sugar imports are refined sugar; (c) there are no clear guidelines or national grounds for establishing import quotas and quotas for domestic areas. As a result allocations are assigned by political process; and (d) the annual cost of the sugar program to American consumers and taxpayers ranges between \$502 and \$730 million. About a third of the gross transfer goes to foreign quota holders and the remainder to domestic growers. The net income benefit to producers is about a quarter of their gross transfers. The study provides strong evidence of the high cost of the Sugar Program in relation to economic benefits to U.S. producers. The Sugar Program has been terminated as a result of Congress's decision not to extend the authorizing legislation. Any effort to restore it should be consistent with the U.S. policy for liberalization of trade, and modifications should be considered that bring costs more in line with the benefits to U.S. producers.

E00209-008

An Evaluation of the ASCS Pilot Public Access Program. Jasper Womach and Russel Gum. March 1975.

Agency Sponsoring Evaluation: Agricultural Stabilization and Conservation Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Public Access to Farmlands (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Recreational resources (303).

Program Authorization: Agricultural Act of 1970 (P.L. 91-524).

The primary purpose of this study was to ascertain the need for, and cost-effectiveness of the public access program in increasing accessibility of farmland to recreationists. The principal findings were: (a) the program did not substantially increase the amount of farmland accessible to recreationists, the major goal of the program; (b) the program did provide a 'market news service' to hunters, but that was not a program objective; (c) the most serious concern of state wildlife agencies is habitat development--the program makes no contribution to that objective; and (d) the principal result of the program was to compensate farmland owners for a service they otherwise provide at no charge. If the primary objective of the public access program is to increase accessibility of farmland to hunters or other recreationists, the study clearly implies that the program should be discontinued.

E00211-001

An Evaluation of Insurance Experience. February 19, 1975.

Agency Sponsoring Evaluation: Federal Crop Insurance Corporation, USDA.

Agency Managing Program: Federal Crop Insurance Corporation, USDA.

Program(s) Evaluated: Federal Crop Insurance (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Federal Crop Insurance Act (P.L. 75-430).

The .90 cumulative loss ratio operating objective was attained from 1948-1973 when considering all 22 crops. However, the tree fruits, cotton, and peas were exceptions that need additional adjustments to improve loss ratio experience. Over the cumulative lives of the individual crop programs, 14 had a loss ratio less than .90 and 8 had a loss ratio greater than .90. Since a self-financing insurance program requires a loss-ratio in the range of .60 to .70, (to recover all administrative and operating expenses) the achievement of the USDA goal of .90 still leaves a question as to whether the current program can become self-financing without some fundamental policy changes. Regardless of the level of the average overall loss-ratio objective, the equity of significant upward or downward variance among the individual commodity loss-ratios from the overall average or goal can be questioned.

E00211-002

Analysis of Individual Underwriting Progress and Problems. February 19, 1975.

Agency Sponsoring Evaluation: Federal Crop Insurance Corporation, USDA.

Agency Managing Program: Federal Crop Insurance Corporation, USDA.

Program(s) Evaluated: Federal Crop Insurance (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Federal Crop Insurance Act (P.L. 75-430).

This analysis evaluates FCIC pilot tests of alternative approaches to individual underwriting for crop insurance. The use of a coverage adjustment table in 1974, which in addition to rates based on land productivity and general risk recognizes the actual insurance experience of the grower, successfully reduced policy cancellations from previous years and from surrounding counties not using the table. It also provided a means of reducing losses from unfavorable insurance experience. The modified coverage adjustment used on sugar beets also was favorably accepted in some new counties and will be extended in 1975 for further testing. This method establishes a minimum 60-66% guarantee of the normal yield and adjusts it up 3% for each year without a loss to a maximum of 75%, and down 3% for each consecutive loss beyond the first, with no increase for two years following a loss year. A third approach based on actuarial maps was unsuccessful. It did not improve the loss-ratio experience in the test counties. The approach created many more rate and coverage combinations than before. It complicated presenting the insurance program to farmers and in some cases led farmers to unintentionally report misleading crop yield projections to FCIC. Tentative findings suggest that policy cancellations can be reduced and loss ratios improved by expanding personalized insurance rates and coverages to individual growers based on relatively simple low cost techniques. Further testing and evaluation, including expansion to more crops, are desirable.

E00211-003

Evaluation of Experience Under Improved Management Practice for Corn. February 19, 1975.

Agency Sponsoring Evaluation: Federal Crop Insurance Corporation, USDA.

Agency Managing Program: Federal Crop Insurance Corporation, USDA.

Program(s) Evaluated: Federal Crop Insurance (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Federal Crop Insurance Act (P.L. 75-430).

The use of improved management practices for corn as a means of increasing guarantees to farmers and reducing the loss-ratio was successful. The improved practices included early planting, minimum and maximum plant populations, maximum maturity date for corn, and minimum nitrogen fertilizer applications. The loss ratio for farmers under the improved practices were .16 in Wisconsin and .14 in Minnesota versus .24 and .37 respectively for farmers using standard practices. Guarantees were increased up to 40% above normal for the improved practices with the same or reduced premiums. These findings suggest that improved practice approach for insuring farmers crops should be expanded in an orderly way to other crops such as tree fruits, cotton and peas which are responsive to improved practices.

E00211-004

Cotton Evaluation of Coverage Reductions and Rate Increases. February 19, 1975.

Agency Sponsoring Evaluation: Federal Crop Insurance Corporation, USDA.

Agency Managing Program: Federal Crop Insurance Corporation, USDA.

Program(s) Evaluated: Federal Crop Insurance (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Federal Crop Insurance Act (P.L. 75-430).

Raising premiums and reducing coverages regardless of past performance of cotton growers was not an effective way of improving the poor cumulative loss-ratio experience of cotton growers. The low-risk farmers cancelled their insurance while the high risk farmers retained their coverage. For example, in 1970 in Pinal County, Arizona, an 11% reduction in coverage plus a 10% increase in premium resulted in premium cancellation of 70% with those farmers who cancelled having a loss ratio of 1.06 and those who remained 1.90. Insurance coverage for cotton farmers declined from \$139 million in 1969 to \$51 million in 1973 when these options were used. It will be difficult or impossible to improve the loss-ratio for cotton through uniform coverage and rate adjustments because the good farmer will be penalized so severely that he drops the coverage. Selective adjustments based on individual farmer experience appear to be needed to reduce the excessive loss-ratios experienced with cotton crop insurance.

E00212-001

The Case of P.L. 480: The Side Effects of Foreign Aid: Wheat in Colombia. L. Dudley and R. J. Sandilands. January 1975.

Agency Sponsoring Evaluation: Foreign Agricultural Service, USDA.

Agency Managing Program: Foreign Agricultural Service, USDA.

Program(s) Evaluated: P.L. 480 (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Foreign economic and financial assistance (151).

Program Authorization: Agricultural Trade Development and Assistance Act of 1954 (P.L. 83-480).

The objective of this analysis was to test the hypothesis that if a government marketing agency attempts to maximize its revenues from the marketing of imported and domestically produced wheat, the results will be less than socially optimal. The study focused on Colombia during the 1955-71 period. Study findings were: (a) as a result of the marketing agency's internal pricing policy over the period (prices received by Colombian producers averaged 20% lower than the socially optimal price), Colombia imported 1.4 million tons of wheat which could have been produced locally at lower cost. Based on the estimated lower production cost relative to import cost, Colombia lost 157,000 tons of 'free' domestic production; (b) P.L. 480 imports which totaled 1,023,000 tons over the period had an average gift component of 28% of the import value plus internal distribution costs. In effect Colombia received 286,000 gift tons of imported wheat; and (c) although the net gains in Colombia from P.L. 480 were probably positive, the internal pricing policy that eliminated the major portion of domestic production cost the country the greater part of the potential benefits for the aid program. The study implication is that allocation of P.L. 480 assistance without regard to recipient countries' internal pricing and distribution policies can have adverse impact on the achievement of U.S. foreign economic development goals.

E00212-002

Food for Peace: An Evaluation of P.L. 480--Title II. Checchi and Company. July 1972.

Agency Sponsoring Evaluation: Foreign Agricultural Service, USDA.

Agency Managing Program: Foreign Agricultural Service, USDA.

Program(s) Evaluated: P.L. 480-Title II (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Foreign economic and financial assistance (151).

Program Authorization: Agricultural Trade Development and Assistance Act of 1954 (P.L. 83-480).

Study findings were: (a) Maternal and Child Health (MCH) programs were found to be operated more efficiently than anticipated, but effectiveness in terms of nutrition impact was questionable; (b) Food for Work activities, in terms of AID's objectives, appeared to be worth the commodity support given to them; (c) School Feeding programs were among the least effective mechanisms for distributing U.S. farm commodities because they do not reach many of the poor who do not attend school and are most undernourished; and (d)

constraints on commodity availability limited the effectiveness of Title II programs in achieving nutritional goals. The study agreed with AID's priorities for Title II program selections--(1) Maternal and Child Care; (2) Food for Work; and (3) School Lunch. However, funds have not always been programmed consistent with these priorities. The results of the study suggest that voluntary agencies may not be the best mechanism for achieving U.S. foreign assistance objectives; and commodity donations may not be the most effective form of U.S. support for voluntary agency activities.

E00212-003

Import Demand for Rice in the EEC: Implications of U.S. Market Promotion. Y. N. Junghare, and others. July 1972.

Agency Sponsoring Evaluation: Foreign Agricultural Service, USDA.

Agency Managing Program: Foreign Agricultural Service, USDA.

Program(s) Evaluated: Foreign Market Development and Promotion (10.000).

Budget Function/Subfunction: Foreign information and exchange activities (153); Agricultural research and services (352).

Program Authorization: Agricultural Act of 1954 (P.L. 83-690); Food for Peace Act of 1966 (P.L. 89-808).

Public Availability: Southern Journal of Agricultural Economics, July 1972.

An evaluation of the FAS generic promotion program for rice in the EEC found that on a 1968 base, a 1% increase in U.S. expenditures (\$2,900) on long grain rice market promotion in the EEC increased the import demand by the EEC for U.S. rice by 0.27%, or \$76,684, a gross gain of 26 to 1. In addition the program had the effect of increasing sales of Middle Eastern, Latin American and Surinam rice by 1.23%, 1.03%, and 0.40% respectively. But it decreased the import demand for Asian and Madagascar rice by 0.06% and 0.57%. Other countries benefited by \$103,000 in the aggregate. A 1% increase in the EEC variable levies would decrease the import demand for U.S. rice by 0.32%; and the import demands for Asia, Middle East and Madagascar by 0.17%, 0.74% and 0.38% respectively. The results of the study suggest that U.S. commodity promotion expenditures in the EEC can provide benefits to competitors and in the face of variable levies likely only maintain the U.S. level of exports rather than increase the U.S. share. Alternatively the effect of a 1% increase in the EEC variable levy for rice could be offset by an increase of about \$3,000 annually in expenditures for USDA generic promotion, if the coefficients are stable over time.

E00212-004

Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan. Cotton Promotion Institution. February 1973.

Agency Sponsoring Evaluation: Foreign Agricultural Service, USDA.

Agency Managing Program: Foreign Agricultural Service, USDA.

Program(s) Evaluated: Foreign Market Development and Promotion (10.000).

Budget Function/Subfunction: Foreign information and exchange activities (153); Agricultural research and services (352).

Program Authorization: Agricultural Act of 1954 (P.L. 83-690); Food for Peace Act of 1966 (P.L. 89-808).

The report contains the following evaluative observations about the FAS cotton promotion and technical assistance program among Japanese spinners, weavers, and retailers: spinners have accepted and supported the program; the promotional methods and messages are 'in tune' with the mood and preferences of Japanese consumers; and spinners felt they did not need technical assistance. Joint promotion appears to be effective in establishing first contacts for U.S. exporters. But because of the subsequent possibility of attrition and substitution the evaluation results do not provide a fully reliable guide to policy decisions and USDA resource allocation.

E00212-005

Analysis of the Tokyo Trade Center Show. May 1973.

Agency Sponsoring Evaluation: Foreign Agricultural Service, USDA.

Agency Managing Program: Foreign Agricultural Service, USDA.

Program(s) Evaluated: Foreign Market Development and Promotion (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Foreign information and exchange activities (153).

Program Authorization: Agricultural Trade and Development and Assistance Act of 1954 (P.L. 83-480); Agricultural Act of 1954 (P.L. 83-690).

FAS provided facilities for firms to display U.S. farm products at the Tokyo Trade Center in 1973. The results exceeded FAS' expectations as follows: 68 U.S. firms and 4 cooperators participated; 28 were new to the market and 9 new to exporting. (Expected: 40 firms and 15 new); participants sampled and displayed 400 food and agricultural products, nearly half of which were being introduced into Japan for the first time (Expected: 20 new market products); and 18 participants reported having found suitable prospects for agents (Expected: 10 new agents).

E00212-006

Program Evaluation of the Commodity Intelligence System, Tobacco Division. 08August 1974.

Agency Sponsoring Evaluation: Foreign Agricultural Service, USDA.

Agency Managing Program: Foreign Agricultural Service, USDA.

Program(s) Evaluated: Market Intelligence and Commodity Service (10.000).

Budget Function/Subfunction: Foreign information and exchange activities (153); Agricultural research and services (352).

Program Authorization: P.L. 86-509.

The evaluation was a pilot effort and a first FAS attempt to develop methodology for ex post facto evaluation of a statistical information program. The study attempts to evaluate the effectiveness with which information provided by FAS on foreign production and consumption of tobacco products by area or country and

variety satisfies the information needs of its users. The findings were as follows: FAS tobacco information is well received. Strong average use-to-need correlations indicate that statistical data currently provided are appropriate and timely. Possible new areas requiring information include specific varieties, cultural practices, weather conditions, inputs, and Chinese production. The results of the study support the conclusion that the tobacco reports are generally responsive to user preferences, and suggest improvements that could be made to increase user satisfaction. However, the study does not provide a basis for concluding that the cost of producing the FAS' tobacco information is justified by the 'satisfaction' of the users.

E00212-007

Review of the National Renderers Association Program in the Far East. January 1975.

Agency Sponsoring Evaluation: Foreign Agricultural Service, USDA.

Agency Managing Program: Foreign Agricultural Service, USDA.

Program(s) Evaluated: Foreign Market Development and Promotion (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Foreign economic and financial assistance (151).

Program Authorization: Agricultural Trade and Development and Assistance Act of 1954 (P.L. 83-480); Agricultural Act of 1954 (P.L. 83-690).

E00212-008

Observations Regarding the Promotion of Processed Food Products in Germany and the United Kingdom. October 1973.

Agency Sponsoring Evaluation: Foreign Agricultural Service, USDA.

Agency Managing Program: Foreign Agricultural Service, USDA.

Program(s) Evaluated: Foreign Market Development and Promotion (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Foreign economic and financial assistance (151).

Program Authorization: Agricultural Trade and Development and Assistance Act of 1954 (P.L. 83-480); Agricultural Act of 1954 (P.L. 83-690).

E00212-009

Review of the International Institute for Cotton (IIC) Market Development Program. December 1974.

Agency Sponsoring Evaluation: Foreign Agricultural Service, USDA.

Agency Managing Program: Foreign Agricultural Service, USDA.

Program(s) Evaluated: Foreign Market Development and Promotion (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Foreign economic and financial assistance (151).

Program Authorization: Agricultural Trade and Development and Assistance Act of 1954 (P.L. 83-480); Agricultural Act of 1954 (P.L. 83-690).

E00212-010

Review of the Rice Council for Market Development Brand Incentive Program. October 1974.

Agency Sponsoring Evaluation: Foreign Agricultural Service, USDA.

Agency Managing Program: Foreign Agricultural Service, USDA.

Program(s) Evaluated: Foreign Market Development and Promotion (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Agricultural Trade and Development and Assistance Act of 1954 (P.L. 83-480); Agricultural Act of 1954 (P.L. 83-690).

E00212-011

A Follow-Up Study of Attitudes of Participants in U.S. Department of Agriculture-Hotelympia 1974. July 1974.

Agency Sponsoring Evaluation: Foreign Agricultural Service, USDA.

Agency Managing Program: Foreign Agricultural Service, USDA.

Program(s) Evaluated: Foreign Market Development and Promotion (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Agricultural Trade and Development and Assistance Act of 1954 (P.L. 83-480); Agricultural Act of 1954 (P.L. 83-690).

E00212-012

Evaluation of the Italian Identified Soybean Oil Promotion. September 1974.

Agency Sponsoring Evaluation: Foreign Agricultural Service, USDA.

Agency Managing Program: Foreign Agricultural Service, USDA.

Program(s) Evaluated: Foreign Market Development and Promotion (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Foreign economic and financial assistance (151).

Program Authorization: Agricultural Trade and Development and Assistance Act of 1954 (P.L. 83-480); Agricultural Act of 1954 (P.L. 83-690).

E00213-001

Evaluation of USDA Research Program on Housing. September 1973.

Agency Sponsoring Evaluation: Agricultural Research Service, USDA.

Agency Managing Program: Agricultural Research Service, USDA; Cooperative State Research Service, USDA.

Program(s) Evaluated: Research on Housing (10.000); Hatch Act Agricultural Research (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Community development (451).

Program Authorization: Hatch Act (P.L. 49-314).

This study was made by a team of researchers and program analysts representing the four USDA agencies with housing research programs. The evaluation was based mainly on a review of past and ongoing research programs, literature review, and a comparison of the allocation of housing research resources among different research topics with the priorities assigned to those topics by the team. The major findings were: (a) USDA housing research was characterized by numerous small projects that were staffed with scientists who worked less than full time on a single project; (b) there did not appear to be any examples of effective multidiscipline research, although the solution of many housing problems appeared to require an interdisciplinary approach; (c) much of the USDA housing research appeared to be directed toward the solution of 'important' problems; and (d) many other governmental and private agencies were developing information, technology, and products that were at least partly relevant to rural housing objectives. This study did not provide sufficient information to assess the worth of USDA housing research. Another study is needed to document and evaluate the kinds and amounts of information provided by USDA housing research programs, the extent and rate of adoption of this information within the outside of USDA, and its contribution to achieving USDA housing goals or other social or private objectives.

E00215-001

An Evaluation of the Mulligan Stew 4-H Television Series for Extension Service, USDA. Sydelle Stone Shapiro, and others. Abt Associates Inc., Cambridge, Mass. December 1974.

Agency Sponsoring Evaluation: Extension Service, USDA.

Agency Managing Program: Extension Service, USDA.

Program(s) Evaluated: Expanded Nutritional Assistance and Family Education (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Cooperative Agricultural Extension Work (P.L. 63-95); Smith Lever Act (P.L. 83-83).

The findings of this study were: (a) the six-film series, coupled with work materials, reached large numbers at low cost (\$1.00 per child) compared with other 4-H methods (over \$10.00 per child); (b) nutrition knowledge improvement was good when measured soon after viewing; (c) small increases in the frequency of nutrition-related activities were reported; (d) there was no discernible impact on food preferences toward a balanced diet (but empty calorie foods were less likely to be chosen after participation); (e) only minimal changes may have occurred in food consumption; (f) the program was most effective with fourth graders and least effective with sixth graders; (g) the image and relationship of the Extension Service and the 4-H program with broadcasters, public officials, and the public were improved; (h) twenty to ninety percent of the target group (4th, 5th, and 6th graders) were reached in the six research-site states; and (i) both the supplementing materials and viewing conditions influenced impact. The TV medium appears to offer a cost-effective method for transferring information to school-age children, and perhaps other groups, but evidence is lacking as to the role of TV combined with other educational work, in permanently

improving eating habits. Future evaluation contracts for educational programs with behavioral change objectives should provide sufficient time and money to allow use of techniques that can measure actual behavior changes more directly and reliably.

E00216-001

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. Midwest Research Institute. January 16, 1974.

Agency Sponsoring Evaluation: Forest Service, USDA.

Agency Managing Program: Forest Service, USDA.

Program(s) Evaluated: Cooperation in Forest Management and Processing (10.000).

Budget Function/Subfunction: Conservation and land management (302); Agricultural research and services (352).

Program Authorization: Forest Perpetuation and Reforestation (P.L. 63-392); Cooperative Forest Management Act (P.L. 81-729); Soil Bank Act (P.L. 84-540).

Using secondary data and selected interviews with state forestry agencies, this study tried to estimate the demand for technical assistance for non-timber benefits (recreation, wildlife, forage, and other environmental), to evaluate opportunities for providing technical assistance to private non-industrial landowners for producing non-timber benefits, and to assess possible changes in the Cooperation in Forest Management (CFM) program. Findings supported by the data and analysis are as follows: (a) driving for pleasure, walking for pleasure, swimming, bicycling, and picnicing were the most popular forest recreation activities in 1970; in absolute terms, bicycling, swimming, and camping had increased most rapidly since 1960. (b) fresh-water fishing and big game hunting have been growing about 5 percent yearly over the past 15 years, while small game hunting has largely stabilized. (c) CFM assists for non-timber benefits were distributed differently from commercial non-industrial private forest acreage: in the northeast, non-timber assists were 62% versus 39% commercial private non-industrial forest acreage; in the south, 14% versus 50%; and in the west, 24% versus 11%. (d) The CFM program appeared strongly oriented toward timber in states with high timber production, but all the state agencies contacted seemed interested in non-timber benefits, and were generally aware of the increasing demand for such benefits. Given the increasing demands for non-timber benefits produced by private forests, the CFM program should be evaluated as to its cost-effectiveness in improving the supply and quality of such benefits.

E00216-002

The Forest Products Utilization Program (Its Effectiveness and Future Opportunities). Greenacres Consulting Corporation. November 1973.

Agency Sponsoring Evaluation: Forest Service, USDA.

Agency Managing Program: Forest Service, USDA.

Program(s) Evaluated: Cooperation in Forest Management and Processing (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Conservation and land management (302).

Program Authorization: Forest Perpetuation and Reforestation (P.L. 63-392); Cooperative Forest Management Act (P.L. 81-729); Soil Bank Act (P.L. 84-540).

The Forest Products Utilization technical assistance program has made contributions to: reducing waste in the logging, processing, and use of timber products; improving product quality and reducing its variability; and increasing the use of 'underutilized' timber resources. Several factors, however, have limited the effectiveness of this program: (a) the technical assistance (TA) personnel appeared to have only weak knowledge of the plywood and other 'board' industries, and most were not up-to-date on recent technology in sawmilling; (b) only 19 out of 69 TA personnel had received their college degrees in the field of wood technology or utilization; (c) turnover of state personnel was high because of relatively low salaries and because the TA positions were often used to give breadth to personnel with basically forest management careers; and (d) TA personnel spent too much time (19%) promoting the program, adequate time in project planning and execution, but too little time in documenting project results and costs. Opportunities exist to improve program performance. Increased emphasis on formal training and career development in the technology, utilization, and economics of wood products would improve the technical expertise and effectiveness of program personnel. Performance could also be raised by improving cost accounting and documentation of project results and effects. Another study is needed to rigorously measure the net contribution and the cost-effectiveness of this program, and the related Sawmill Improvement Program, in improving the efficiency of the wood-using industries and their contribution to economic development.

E00216-003

Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists. Richard Beatty and Lucy Gray. Westat, Incorporated. July 1972.

Agency Sponsoring Evaluation: Forest Service, USDA.

Agency Managing Program: Forest Service, USDA.

Program(s) Evaluated: Cooperation in Forest Management and Processing (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Conservation and land management (302).

Program Authorization: Forest Perpetuation and Reforestation (P.L. 63-392); Cooperative Forest Management Act (P.L. 81-729); Soil Bank Act (P.L. 84-540).

This study found that: (a) In 74% of all softwood marking assists and 66% of all hardwood marking assists, the timber was sold as marked; in 24% of the softwood and 29% of the hardwood assists, the timber wasn't sold; and in 2% and 5% of assists, respectively, the timber was sold, but not as marked. (b) The timber was more likely to be harvested with assists for harvest cuts (81% harvested), than with intermediate-cut marking assists (65%). (c) Through experience, service foresters are able to judge the probability that a forest owner will follow through on a marking assist and actually sell the timber-which apparently aids the forester in effectively allocating his time among applicants. (d) Of forestry practices recommended in management plans, 52% were implemented satisfactorily, 3% unsatisfactorily, and 45% were not implemented. (e) Disease and pest protection, fire protection, and shelterwood cuts were

most likely to be implemented; cull tree removal, clearcutting, release cutting, prescribed burning, site preparation, and fencing were least likely to be implemented. (f) Two variables had a highly significant and positive effect on the proportion of recommended practices actually implemented: receipt of technical assistance since development of the plan, and receipt of cost-shares for the practice. The proportion of practices implemented was significantly lower, the greater the number of practices recommended in a plan, and where the plan was a 'first assist,' or the tract was of low or moderate productivity. Substantial opportunity exists to improve program performance in terms of client responses to preferred technical assistance. Another study is needed to explicitly evaluate the cost-effectiveness of the Cooperative Forest Management (CFM) program in improving the supply and quality of timber produced on private lands, and the associated environmental, social, and economic effects. The data from this analysis can be used in such a study.

E00216-004

Youth Conservation Corps-Pilot Program 1973, 1973.

Agency Sponsoring Evaluation: Forest Service, USDA.

Agency Managing Program: Forest Service, USDA.

Program(s) Evaluated: Youth Conservation Corps Pilot Program (10.661).

Budget Function/Subfunction: Conservation and land management (302); Agricultural research and services (352).

Program Authorization: Youth Conservation Corps Act of 1970, as amended (P.L. 91-378; P.L. 92-597; 16 USC 1701-1706).

The Youth Conservation Corps (YCC) provides summer employment for youths while at the same time improving their knowledge of the natural environment. This third annual evaluation of the program found that: (a) 3,510 youths were employed in 1973, 15 more than in 1972. They received \$1,063,488 in wages and other benefits. (b) The overall costs were \$3,942,557 with an appraised value of work done of \$3,608,567 represented an overall cost/benefit ratio of .92 for the labor aspect of the program. For individual activities the cost/benefit ratios were: 5-day residential camp--1.33; 7-day residential (8 wks)--.91; 7-day residential (2-4 wks)--.74; and 5-day non-residential--1.13. (c) In 1973 YCC accomplished the following: constructed 139 miles of trail; maintained 841 miles of trail; constructed 554 new family camping and picnicing facilities; maintained 3,212 family units of camping and picnicing facilities; planted 5,838 acres of trees; improved timberstand on 1,313 acres; prevented or halted soil erosion on 1,161 acres; constructed 132 miles of fence; and improved 41,176 acres of fish, wildlife, and grazing land. (d) Eighty-Eight percent of youths rated the education program as excellent to good and 96% rated the work program as excellent to good. They showed a 5.2% gain in environmental knowledge during the 1973 camp season--9th and 10th graders made the greatest progress. The 1973 YCC program appeared to be effective in meeting its multiple objectives. However, relative cost-effectiveness was not directly assessed. Benefit/cost results suggest that economic efficiency is low compared to other Forest Service alternatives for accomplishing the same work, but efficiency loss is the price paid for educational attainment. Since knowledge retention over time has not been tested, nor the effect of acquired knowledge on behavior,

it is not clear whether the educational benefits fully compensate for efficiency losses.

E00217-001

Evaluation of the TRI-X Regional Document Delivery Service. Wallace C. Olsen. June 1974.

Agency Sponsoring Evaluation: National Agricultural Library, USDA.

Agency Managing Program: National Agricultural Library, USDA.

Program(s) Evaluated: National Agricultural Library (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Organic Act of 1944 (P.L. 78-425); Organic Act of 1862.

The TRI-X Regional Document Delivery Service was a pilot test to use local USDA and land-grant university libraries to service document requests of USDA field employees in place of the direct services of NAL. Requests the system could not service were referred to NAL. The evaluation was planned to test the hypothesis that a large majority of documents requested by USDA employees in the test locations can be delivered faster, with greater user satisfaction, and for less cost by the land-grant libraries in the region than by the National Agricultural Library. The findings were: (a) local USDA and land-grant university libraries working together fulfilled about 76% of the document requests of USDA personnel in the pilot test areas; NAL fulfilled two-thirds of the referred requests (16%); the remaining third (8%) could not be fulfilled by NAL or the regional services; (b) circumstantial evidence of mail-back times, the results of a user-satisfaction survey, and the judgment of the evaluator indicated some reduction in delivery time for up to half of the requests of USDA field personnel; (c) 38% of the survey respondents indicated that the service reduced their trips to libraries; 25% reported no reduction in such trips; both groups indicated any time savings from the regional service would be used to 'read more' because of 'ease of access'; and (d) the cost analysis results were reported as inconclusive; some of the results indicated that costs of direct delivery from NAL could be less than for the regional system due to the greater efficiency of NAL. The information provided by the study is not sufficiently reliable for a sound, cost-effective decision to implement a regional document delivery system. The quantitative data and analyses on both the costs and benefits need to be improved.

E00217-002

The Delivery of Library and Information Services to USDA Research Personnel In the Field. October 15, 1974.

Agency Sponsoring Evaluation: National Agricultural Library, USDA.

Agency Managing Program: National Agricultural Library, USDA.

Program(s) Evaluated: National Agricultural Library (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Organic Act of 1944 (P.L. 78-425); Organic Act of 1862.

E00218-001

A Program Evaluation of the Great Plains Conservation Program. May 1974.

Agency Sponsoring Evaluation: Soil Conservation Service, USDA.

Agency Managing Program: Soil Conservation Service, USDA.

Program(s) Evaluated: Great Plains Conservation (10.000); Long-Term Agricultural Conservation (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Conservation and land management (302).

Program Authorization: Soil Conservation and Domestic Allotment Act (P.L. 84-1021).

The major purposes of this evaluation were to: (1) evaluate the GPCP impact on wind and water erosion losses, economic stability of agriculture, and regional income; (2) ascertain the impact of alternative allocations of cost-share funds among states and practices upon selected program objectives; and (3) determine trade-offs between erosion reduction and agricultural income. The principal findings of the study were: (a) the program practices, as applied, reduced erosion losses by about 221 million tons annually, or 56 percent of the technologically-feasible erosion reduction (397 million tons) that could be achieved by optimizing the allocation of program resources among states and practices for this objective; (b) the average Federal cost per ton of soil loss reduction was estimated to be 5.19 cents; this could be reduced to 2.87 cents per ton by optimizing the allocation of program resources for this objective; (c) the contribution of the program practices to the farm income of the region was \$43.7 million, 34% of the program's technologically-feasible maximum contribution; (d) a shift in the allocation of current GPCP resources among practices and states to maximize the reduction in soil losses would increase the reduction in soil loss to 397 million tons and increase farm income to \$75.7 million; (e) a shift in the allocation of current GPCP resources among practices and states to maximize farm income would decrease the reduction in soil loss to 193 million tons and increase farm income to \$128.2 million; and (f) the implied trade-off between maximizing GPCP impacts on farm income and erosion reduction is \$.26 of additional farm income for each ton less of erosion reduction benefits. This second evaluation of GPCP within five years re-emphasizes the need to reorder priorities among practices and states to optimize the use of cost-share funds for both soil conservation and farm income purposes.

E00219-001

Capitalization of Farm Program Benefits into Land Values. Robert D. Reinsel and Ronald D. Krenz. ERS-506. October 1972.

Agency Sponsoring Evaluation: Economic Research Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Feed Grains and Products (10.000); Wheat and Products (10.000); Rice (10.000); Upland Cotton (10.000); Tobacco (10.000); Peanuts (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Agricultural Act of 1964 (P.L. 88-297); Commodity Credit Corporation Charter Act (P.L. 80-806).

In 1970, the total capitalized value of past farm program benefits was about \$16.5 billion, or 8 percent of the value of farm real estate. Since 1955, land buyers have paid an estimated \$5.9 billion to buy program benefits but only \$2.7 billion of this amount remained unrecovered in 1970. The difference between unrecovered investment and opportunity value--\$14 billion--represents an increment to value above the actual investment of land and allotment owners. The study challenges the common assumption that most farm program benefits are capitalized into land values at rates similar to farm mortgage interest rates by estimating that most of the short-term earnings go to other factors than land value and only a third to a half accrue to land. It also finds that the average capitalization rate is probably 1.5 to 3 times the mortgage rate of interest. The study emphasizes that a substantial portion of the benefits of government programs go to support farm income as intended even though the total benefits are shared with land and capital inputs. It also suggests that if the government decided to terminate the major commodity price support programs, land owners in 1970 could have been compensated for loss of land values by payment of less than \$3 billion.

E00219-002

Distribution of Farm Program Payments by Income of Sole Proprietors. Thomas L. Browning and Edward I. Reinsel. April 1973.

Agency Sponsoring Evaluation: Economic Research Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Feed Grains and Products (10.000); Wheat and Products (10.000); Upland Cotton (10.000); Wool (10.000); Long-term Land Retirement (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Agricultural Act of 1964 (P.L. 88-297); Commodity Credit Corporation Charter Act (P.L. 80-806).

Public Availability: Agricultural Economics Research, Vol. 25, No. 2.

This study evaluates the effect of 1966 farm commodity and long-term land retirement programs' direct payments to sole proprietorships on the distribution of their incomes. The study found that the payments had a slight tendency to reduce the inequality that existed in income distribution. The distributive impact of direct-payment for the individual programs, however, was not uniform. Direct payments for the land retirement, wool, wheat, feedgrains, and wheat-feedgrains programs moved the total income distribution to a greater degree of equality than the cotton program, or a combination of feedgrain and wheat with cotton. The combination of feedgrains with cotton actually exaggerated the inequality. The findings suggest that incentive payments made primarily to stimulate land retirement tend to be only moderately compatible with an objective to obtain a higher degree of income equality among farmers.

E00219-003

Social Cost of Alternative Dairy Price Support Levels. Boyd M. Buxton and Jerome W. Hammond. March 7, 1975.

Agency Sponsoring Evaluation: Economic Research Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA; Agricultural Marketing Service, USDA.

Program(s) Evaluated: Dairy Products (10.000); Marketing Agreements and Orders (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Agricultural Act of 1964 (P.L. 88-297); Commodity Credit Corporation Charter Act (P.L. 80-806); Agricultural Marketing Agreement Act of 1937 (P.L. 75-137).

Public Availability: American Journal of Agricultural Economics, Vol. 56, No. 2.

The social cost of USDA's March 1973 decision to set the support price at the minimum 75 percent of parity was zero. Raising the support prices to 85 percent of parity would have resulted in a net social cost of \$340 million if increased government purchases due to higher support price were donated abroad or destroyed. The net social cost of this same decision could be reduced to \$65 million by redistributing the increased government purchases back to the United States community as manufactured products. The author's suggested measure of social cost is intended to be an additional criterion for making decisions regarding dairy price supports, and not to displace existing criteria such as budget costs, farm income and consumer price effects. However, since the 'social cost' calculations yield factors closely paralleling other previously calculated factors (e.g., amount that the support price exceeds the free market price) it is not clear that the additional measure in fact provides any additional information.

E00219-004

Impact of Cashing Out the Food Distribution Program. November 1973.

Agency Sponsoring Evaluation: Economic Research Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA; Agricultural Marketing Service, USDA.

Program(s) Evaluated: Section 32 Acquisitions (10.000); Section 416 Acquisitions (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Adjustment Act (P.L. 73-10); Agricultural Marketing Agreement Act of 1937 (P.L. 75-137).

The USDA purchases non-basic farm commodities to meet part of the food needs of schools and institutions and to help support farm prices. This study finds that: (a) the proportions of total national demand represented by Sec. 32 purchases are not significant for most commodities; major exceptions are: dry peas 25%; dry beans 18%; prunes 22%; processed corn 19%; pears 14%; raisins 12%; and white potatoes 12%; and (b) cashing out the Sec. 32 program would have little impact on most commodity prices and farmers' incomes. However, for prunes, raisins, dry beans and dry peas, cashing out

could have a serious effect because of the relatively large portion of total supply bought by USDA if it is assumed that managers of schools and other institutions would not have purchased as much as was donated, i.e., other commodities would be substituted for these. Even with the overstated effects on prices, the evaluation results suggest that discontinuing sec. 32 purchases of non-basic commodities would have little impact on most commodity prices.

E00219-005

An Evaluation of Subsidy Forms for Soil and Water Conservation. Robert Boxley and William D. Anderson. April 30, 1973.

Agency Sponsoring Evaluation: Economic Research Service, USDA.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Agricultural Conservation (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Conservation and land management (302).

Program Authorization: Soil Conservation and Domestic Allotment Act of 1936 (P.L. 87-703).

The objective of this study was to determine the stimulating effect of the alternative subsidies for conservation investments by landowners. Two alternative means of stimulating farmer investment in soil and water conservation are compared--Agricultural Conservation Program (ACP) and the tax incentive under Section 175 of the Internal Revenue Code. The results of the study provide the following findings: (a) Section 175 is preferred to ACP by investors in upper income brackets, and (b) ACP is preferred to Section 175 by investors in low tax brackets. It was assumed that potential tax savings were a factor in conservation investment decisions of those reporting large tax deductions. Study implications are that a tax incentive under Section 175 of the Internal Revenue Code, with appropriate modifications to better accommodate the lower income farm landowners, could be a viable alternative to ACP. Also, any further evaluations of ACP as an incentive program to encourage conservation investments should include provision for acquisition of primary data from program participants and nonparticipants in order to obtain a direct measure of the effectiveness of a direct cost-share subsidy for conservation investments.

E00219-006

Reaction to the National Agricultural Outlook Conference. October 1974.

Agency Sponsoring Evaluation: Economic Research Service, USDA.

Agency Managing Program: Economic Research Service, USDA.

Program(s) Evaluated: Supply, Demand and Price Analysis--Forecasts and Projections (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Agricultural Marketing Act of 1946 (P.L. 79-733).

The majority of the conference attendees during 1972 and 1973 were 'satisfied' with the conference. Findings were: (a) only 11 percent reported that the

conference inadequately met their 'objectives' for attending; most individual sessions were rated adequate or excellent by the majority of attendees; (b) over 10 percent of the attendees suggested that the national conference be eliminated, but a majority of these favored substitution of regional conferences for the national; (c) almost one-third of the potential outlook users surveyed who did not attend one conference write in for outlook information; (d) about 15 percent of the non-attendees surveyed had never heard of the conference; and (e) attendees estimate that they reach 35 million people per year with information made available at the conference. Since the value-added by the conference was not assessed, nor its relative cost-effectiveness compared with available (less-costly) alternatives for disseminating information to the target audience, the study results are insufficient for judging the overall public value and cost-effectiveness of the national outlook conference. No major decision implications can be derived from these limited findings.

E00219-007

Effect of the Small Watershed Program on Major Land Uses. C. Dudley Mattson. February 1975.

Agency Sponsoring Evaluation: Economic Research Service, USDA.

Agency Managing Program: Soil Conservation Service, USDA.

Program(s) Evaluated: Watershed Planning (10.000).

Budget Function/Subfunction: Conservation and land management (302); Agricultural research and services (352).

Program Authorization: Watershed Protection and Flood Prevention Act (P.L. 83-566).

This study compares land use changes during 1954-1969 within 60 sample watershed areas (30 completed and 30 incomplete) in three regions (Southeast, Mississippi Delta, and Missouri River Tributaries). The major results were as follows: (1) in the Southeast, intensive crop farming decreased in both the developed and undeveloped watershed areas during the 15-year period, but not as much as experienced by the region as a whole; the watershed development had no impact on land use; (2) in the Mississippi Delta, land use change trends exhibited expansion in agricultural production on bottomlands, and static or declining intensity of use of uplands; a combination of major flood control and drainage works, principally by the Corps of Engineers, with complementary small watershed development, resulted in rapid expansion of intensive cropping of bottomlands; (3) in the Missouri River Tributaries, land use was fairly stable over the 15-year period; there was no significant impact of watershed development on land use; (4) work plan projections of land use could have been improved by including influences of farm organization and availability of capital, trends in farm size, and land use trends in available off-farm work, and suitability of flood plain tracts for mechanized farming. Study implications are that actual land uses in protected watershed areas differ substantially from those projected in watershed work plans for purposes of estimating benefits of watershed development. Policy guidelines and procedures for estimating land use change and related benefits should be changed to reflect results of this study and similar findings of similar studies in other areas.

E00219-008

Bonus Food Stamps and Cash Income Supplements. Reese, and others. October 1974.

Agency Sponsoring Evaluation: Economic Research Service, USDA.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act of 1964 (P.L. 88-525).

Study findings were: (a) the liberalization of the FSP in 1970 had a significant effect in expanding demand for food, especially beef and other animal products; (b) bonus food stamps are about twice as effective as cash grants in increasing food expenditures; (c) on the average, a dollar of bonus stamps generates from 50 to 60 cents in additional food expenditures at retail, depending on family size and income; additionally decreased with rising incomes and was higher for large families than for small ones; (d) in 1973 over 12 million people received about \$2 billion in bonus stamps, which was equivalent to about 1.5 percent of total U.S. food expenditures; this represented an increase in food expenditures of .75 to .90 percent; and (e) about 80 percent of the increased expenditures for food went to red meats, more than half of which was beef. Study implications are that bonus food stamps have a potential for maintaining food consumption at levels higher than those which would have existed in the absence of the program, especially among the lowest income group. Effectiveness will probably diminish as income eligibility standards are raised. While nutritional effects were not directly measured, it seems likely that they would be less than proportional to expenditures since most of the increased expenditures were higher-cost products (red meats), and other studies suggest that protein deficiency is not a characteristic nutritional problem among U.S. poor.

E00219-009

Evaluation of Four Completed Small Watershed Projects: South Carolina, Maryland, Idaho-Nevada, and West Virginia. John F. Sutton. November 1974.

Agency Sponsoring Evaluation: Economic Research Service, USDA.

Agency Managing Program: Soil Conservation Service, USDA.

Program(s) Evaluated: Watershed Planning (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Conservation and land management (302).

Program Authorization: Watershed Protection and Flood Prevention Act (P.L. 83-566).

This study is one in a series to evaluate the work plan estimates of benefits and costs of watershed development. Work plan projections of benefits and costs were compared with actual performance in the four watersheds. Major results were as follows: the work plan projections were generally consistent with the results of ex post evaluations for improved drainage, irrigation, and urban flood damage reduction where little change occurred in intensity of land use; the work plan

projections were less than actual performance in case of municipal/industrial water supply and urban flood damage where flood plain land use intensification occurred; the work plan projections exceeded ex post estimates for agricultural damage reduction, more intensive use of flood plain land, and incidental recreation. Instances of substantial differences in ex ante (work plan) projected watershed development impacts and ex post observation of experienced impacts should provide a basis for initiating changes in the guidelines and procedures for making the projections. The results of this study especially support the need for changing methods of estimating changes in land use and related benefits.

E00220-001

Evaluation Report on the Technical Assistance Effort Devoted to Improving Cooperative Firm Operations, FY 1973. July 2, 1973.

Agency Sponsoring Evaluation: Farmer Cooperative Service, USDA.

Agency Managing Program: Farmer Cooperative Service, USDA.

Program(s) Evaluated: Technical Assistance to Cooperatives (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Cooperative Marketing Act of 1926 (P.L. 69-450); Agricultural Marketing Act of 1946 (P.L. 79-733).

This report attempts to derive benefit-cost ratios for 13 studies which FCS did for Farmer Cooperatives under its technical assistance program. The 3 highest ratios estimated for individual FCS studies were: improving the marketing of excess fluid milk supplies in Western Pa.--719:1; potential for cooperative sugar beet processing in Southern Minn.--527:1; opportunities for a feed manufacturing plant in Montgomery, Ala.--380:1. The 3 lowest ratios estimated were for: improving the grain marketing system of Landmark, Inc., Columbus, Ohio--38:1; improving the grain marketing system of Missouri Farmers Assoc.--20:1; evaluation of feasibility study for a proposed Gold Kist soybean plant.--0:1. There remains a need to determine the value of FCS-developed feasibility information to cooperatives and society generally in relation to the cost of the same services from alternative sources.

E00220-002

Price Impacts of Federal Market Order Programs. January 7, 1975.

Agency Sponsoring Evaluation: Farmer Cooperative Service, USDA.

Agency Managing Program: Agricultural Marketing Service, USDA.

Program(s) Evaluated: Marketing Agreements and Orders (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Agricultural Marketing Agreement Act of 1937 (P.L. 75-137).

This report was prepared in response to the President's Oct. 14, 1974 proposal to review all marketing agreements and orders for farm products for their inflationary impact. The principal findings were: (a) Milk Marketing Orders per se were not inflationary; however,

they undergirded the market power of large cooperatives permitting them to bargain for above-order price premiums; (b) 13 of the 49 existing Fruit and Vegetable Marketing Orders provided sufficient market power to producers to be potentially inflationary; these included: Fla. tomatoes, Calif.-Ariz. Naval and Valencia oranges, Calif.-Ariz. lemons, Calif. ripe olives, walnuts, cranberries, almonds, dried prunes, tart cherries, raisins, hops and celery; (c) Market Orders in general fostered considerable price stability; (d) in light of the current concern about inflation the study found that the information for considering market order changes did not include adequate data on price impact and that the Departmental decision process on Orders virtually foreclosed consideration of courses of action other than those recommended at the AMS Division Director level. Study implications are that Market Orders can be an effective means for supporting and stabilizing farm prices when these orders are able to control a substantial volume of marketings. Some adjustments may be needed in market order legislation and/or in the Department's decision process to reduce or eliminate inflationary impacts when such impacts are a priority. However, there is insufficient research-based knowledge available to provide reliable guidance for improved public policy decision making on market orders.

E00299-001

National Rural Development Efforts and the Impact of Federal Programs on a 12-County Rural Area in South Dakota. RED-75-288. January 8, 1975. 99 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of Agriculture.

Program(s) Evaluated: Coordination of Federal Programs for Rural Development (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Area and regional development (452).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Rural Development Act of 1972 (7 USC 1921); Housing and Community Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00299-002

Action Needed to Discourage the Removal of Trees That Shelter Cropland in the Great Plains. RED-75-375. June 20, 1975. 26pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of Agriculture.

Program(s) Evaluated: Agricultural Conservation (10.000).

Budget Function/Subfunction: Conservation and land management (302); Agricultural research and services (352).

Program Authorization: Soil Conservation and Domestic Allotment Act of 1936, as amended (P.L. 87-703); Agricultural Adjustment Act of 1938, as amended (7 USC 1334).

Public Availability: GAO, Distribution Section.

E00299-003

Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation in Some Plants Needs Improvement. B-164031(2). February 21, 1973. 29 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO; Manpower and Welfare Division, GAO.

Agency Managing Program: Agricultural Marketing Service, USDA; Food and Drug Administration, PHS.

Program(s) Evaluated: Market Supervision (10.154); Food Safety (13.000); Inspection, Grading, Classing and Standardization (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Prevention and control of health problems (553).

Program Authorization: Agricultural Marketing Act of 1946 (P.L. 79-733); U.S. Grain Standards Act (P.L. 64-190); Organic Act of 1944 (P.L. 78-425); USDA Appropriation of 1952 (P.L. 79-733); USDA Appropriation of 1950 (P.L. 81-146); Federal Food, Drug and Cosmetic Act (21 USC 321 et seq.); Public Health Service Act, sec. 301, 311, 361 (42 USC 241 et seq.).

Public Availability: GAO, Distribution Section.

During a 15-month period beginning in 1970, the Agricultural Marketing Service identified 39 million pounds of processed fruits and vegetables that did not meet U.S. grade standards because the products contained excessive foreign materials or were packed under unsanitary conditions. The Food and Drug Administration, Department of Health, Education, and Welfare, is responsible for insuring that unwholesome food does not enter interstate commerce. The Service had not notified FDA of either (1) potentially adulterated food uncovered during grading or (2) plants where, because of sanitation deficiencies, grading service was withdrawn or suspended. The Service agreed to cooperate with FDA by providing the information necessary to prevent adulterated food from reaching consumers and by reporting plants which were denied grading service because of unsanitary conditions.

E00299-004

Administration of Marketing Orders for Fresh Fruits and Vegetables. RED-75-273. December 11, 1974. 39 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Agricultural Marketing Service, USDA.

Program(s) Evaluated: Perishable Agricultural Commodities Act and Produce Agency Act (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Agricultural Marketing Act of 1946 (P.L. 79-733); Perishable Agricultural Commodities Act (P.L. 71-325); Produce Agency Act (P.L. 69-712).

Public Availability: GAO, Distribution Section.

E00299-005

Policies and Practices for Assessing Fees for Processing Price-Support and Storage Facility and Equipment Loans. B-163484. July 13, 1972. 5 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Farm Storage and Drying Equipment Loan (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Commodity Credit Corporation Charter Act (P.L. 80-806).

Public Availability: GAO, Distribution Section.

E00299-006

Aspects of the Beekeeper Indemnity Payment Program. B-176563. February 13, 1973. 20 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Dairy and Beekeeper Indemnity (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Federal Insecticide, Fungicide, and Rodenticide Act (P.L. 80-104); Agricultural Act of 1970 (84 Stat. 1382).

Public Availability: GAO, Distribution Section.

GAO reported to the Chairman, Subcommittee on Agriculture-Environmental and Consumer Protection, House Committee on Appropriations, that, although the regulations and instructions for implementing the beekeeper indemnity payment program were generally adequate, the instructions were unclear in several areas. The Agricultural Act of 1970 authorizes indemnity payments to beekeepers who, through no fault of their own, lost honeybees after January 1, 1967, because of the use of pesticides registered and approved for use by the Federal Government. Acting on GAO's recommendations, the Agricultural Stabilization and Conservation Service revised its instructions to (1) provide guidelines for establishing reasonable time limits within which beekeepers must report their bee losses and the related inspections must be made, (2) provide that county offices make sure inspections are made within the prescribed time limits, and (3) provide more specific guidance to county offices on evidence they can accept as proof of damage. Inspectors appeared to be adequately trained; indemnity payment rates were generally sufficient to cover replacement costs and some loss of income; and future program payments were expected to decline in some of the states reviewed and to increase in other states.

E00299-007

Need for More Guidance in Establishing Recovery Periods for Damaged Bee Colonies to More Effectively Control Payments to Beekeepers. February 23, 1973. 2 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Dairy and Beekeeper Indemnity (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Federal Insecticide, Fungicide, and Rodenticide Act (P.L. 80-104); Agricultural Act of 1970 (84 Stat. 1382).

Public Availability: GAO, Distribution Section.

E00299-008

Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program. B-163484. April 13, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA; Commodity Credit Corporation, USDA.

Program(s) Evaluated: Peanut Program (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Agricultural Act of 1964 (P.L. 88-297); Commodity Credit Corporation Charter Act (P.L. 80-806).

Public Availability: GAO, Distribution Section.

GAO reported, as they had five years earlier, that the Commodity Credit Corporation was incurring substantial losses under the peanut price-support program and that such losses would continue to mount unless the program was changed. The Secretary of Agriculture is required by law to control peanut production on the basis of demand, but he cannot authorize less than 1,610,000 acres annually for growing peanuts. Each year since 1955 fewer than 1,610,000 acres have been needed to satisfy demand because advances in farm technology have increased yields per acre. Under the peanut price-support program, the Commodity Credit Corporation has to buy and store surplus peanuts, and it has been selling them at a loss. From 1967 through 1971 the Corporation lost \$279 million on the program. Projections show that losses from 1973 through 1977 will total \$537 million unless the program is changed. GAO recommended that the Congress amend the Agricultural Adjustment Act of 1938 to rescind the minimum acreage provision to give the Secretary of Agriculture more flexibility to adjust production. The Department agreed that the recommended change would help bring production more in line with demand but said that it was still studying that recommendation and possible alternatives. However, more immediate action--rescinding the minimum acreage provision--is needed because losses are expected to exceed \$100 million annually.

E00299-009

Examination into Feed Grains and Related Matters. B-176943. April 30, 1973. 4 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Feed Grains and Products (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Agricultural Act of 1964 (P.L. 88-297); Commodity Credit Corporation Charter Act (P.L. 80-806); Agricultural Act of 1970 (84 Stat. 1375).

Public Availability: GAO, Distribution Section.

E00299-010

Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain. October 29, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Agricultural Stabilization and Conservation Service, USDA.

Program(s) Evaluated: Feed Grains and Products (10.000); Wheat and Products (10.000); Rice Program (10.000); Upland Cotton Program (10.000); Tobacco Program (10.000); Soybean Program (10.000); Flaxseed Program (10.000); Dry Edible Beans Program (10.000); Rye Program (10.000).

Budget Function/Subfunction: Farm income stabilization (351).

Program Authorization: Agricultural Act of 1964 (P.L. 88-297); Commodity Credit Corporation Charter Act (P.L. 80-806).

Public Availability: GAO, Distribution Section.

E00299-011

Consumer Protection Would Be Increased by Improving the Administration of Intrastate Meat Plant Inspection Programs. B-163450. November 2, 1973. 30 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Animal and Plant Health Inspection Service, USDA.

Program(s) Evaluated: Meat and Poultry Inspection (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Poultry Products Inspection Act (P.L. 85-172); Humane Slaughter Act (P.L. 85-765); Agriculture Marketing Act of 1946 (P.L. 79-733); Tamadge-Aiken Act (P.L. 87-718); Wholesome Meat Act of 1967 (P.L. 90-201).

Public Availability: GAO, Distribution Section.

Under the Wholesome Meat Act of 1967, states must maintain inspection and sanitation requirements on intrastate meat plants equal to those on Federally inspected meat plants. The Department of Agriculture assumes inspection responsibility for plants in states that do not comply with this requirement. GAO reported that the Animal and Plant Health Inspection Service needed to (1) improve and clarify its criteria for rating sanitation and other conditions at intrastate meat plants and (2) develop definitive standards and criteria for determining whether state programs are comparable to the Federal program and when a state should be notified that its plants may be designated for Federal inspection. Also administrative costs could be reduced and other benefits could result if the Department reviewed state-inspected meat plants on a statistical sampling basis to

determine if state inspection and sanitation requirements are equal to Federal requirements. The Department issued a directive, effective January 1, 1974, to implement GAO's recommendations.

E00299-012

Improvements Needed in Regulation of Commodity Futures Trading. RED-75-370. June 24, 1975. 66 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Commodity Exchange Authority, USDA.

Program(s) Evaluated: Futures Markets Supervision (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Commodity Exchange Act, as amended (P.L. 74-675); Commodity Futures Trading Act of 1974 (P.L. 93-463).

Public Availability: GAO, Distribution Section.

E00299-013

Survey of Expanded Food and Nutrition Education Program. April 2, 1973. 2 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Extension Service, USDA.

Program(s) Evaluated: Expanded Nutrition Assistance and Family Education (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Agricultural Extension Work (P.L. 63-95).

Public Availability: GAO, Distribution Section.

E00299-014

Complaints Concerning the Rural Housing Loan Program in Five Arkansas Counties. B-114873. July 21, 1972. 54 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Farmers Home Administration, USDA.

Program(s) Evaluated: Rural Housing Site Development Loans (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Consolidated Farmers Home Administration Act of 1961 (P.L. 87-128); Housing Act of 1949 (P.L. 81-171).

Public Availability: GAO, Distribution Section.

E00299-015

Actions Needed to Terminate Federal Administration of State Rural Rehabilitation Funds Program. B-114873. August 18, 1972. 20 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Farmers Home Administration, USDA.

Program(s) Evaluated: Administrative Support for Rural Development (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Consolidated Farmers Home Administration Act of 1961 (P.L. 87-128); Housing Act of 1949 (P.L. 81-171); Farmers Home Administration Act of 1946 (7 USC 1001).

Public Availability: GAO, Distribution Section.

Since the mid-1930's the Farmers Home Administration (FmHA) of the Department of Agriculture and predecessor agencies have administered, in trust, assets belonging to state rural rehabilitation corporations. The assets consist primarily of farm ownership and operating loans. As of June 30, 1971, FmHA had returned to the states most of their trust assets and was taking adequate action to return most of the remaining assets. Additional action should be taken, however, to complete the return of all assets and to terminate FmHA's responsibility for control over the uses made of the returned assets.

E00299-016

Effectiveness and Administration of the Rural Rental-Housing Program. B-114873. January 30, 1973. 7 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Farmers Home Administration, USDA.

Program(s) Evaluated: Rural Rental Housing (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Consolidated Farmers Home Administration Act of 1961 (P.L. 87-128); Housing Act of 1949 (P.L. 81-171); P.L. 93-357.

Public Availability: GAO, Distribution Section.

E00299-017

Ways to Improve Effectiveness of Rural Business Loan Programs. B-114873. May 2, 1973. 30 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Farmers Home Administration, USDA.

Program(s) Evaluated: Business and Industrial Loans (10.000); OEO Rural Cooperative Loans (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Consolidated Farmers Home Administration Act of 1961 (P.L. 87-128); Housing Act of 1949 (P.L. 81-171); (P.L. 93-357); Economic Opportunity Act of 1964, as amended (42 USC 2841).

Public Availability: GAO, Distribution Section.

The Economic Opportunity Act of 1964, as amended, authorized several special programs to combat poverty in rural areas. One of them--the Economic Opportunity Cooperative Loan Program, administered by the Farmers Home Administration--provided loans to cooperative associations in rural areas. Many of the cooperatives had encountered problems, such as weak management and adverse market conditions, and therefore had failed to

stay in business or had become delinquent in repaying their loans. Not all problems could have been foreseen but many of them could have been identified and corrected had FmHA (1) required adequate determinations of the economic soundness and feasibility of cooperative projects and (2) improved its policies and procedures for supervising and evaluating cooperatives' activities. Although no new loans were made under the program after June 30, 1971, the findings could be helpful to FmHA in administering new rural business loan programs authorized by the Rural Development Act of 1972. In line with GAO recommendations, FmHA drafted regulations requiring feasibility studies, marketing agreements, management evaluations, detailed analyses of the adequacy of working capital, and feasibility analyses to be made by FmHA state directors. FmHA also said that it planned to (1) increase the staff to insure that it had either the experience or training necessary to implement the regulations and supervise loan activities and (2) evaluate specific program goals for loan programs implemented in fiscal year 1974.

E00299-018

Factors Contributing to the Varying Rates of Participation in the Food Stamp Program. A-51604. May 31, 1974. 5 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10,000).

Budget Function/Subfunction: Public assistance and other income supplements (604); Agricultural research and services (352).

Program Authorization: Food Stamp Act of 1964, as amended (P.L. 88-525).

Public Availability: GAO, Distribution Section.

E00299-019

Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Industrial Loan and Grant Program. RED-75-292. December 16, 1974. 13 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Farmers Home Administration, USDA; Department of Labor.

Program(s) Evaluated: Business and Industrial Development Grants (10,000); Business and Industrial Loans (10,000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Consolidated Farmers Home Administration Act of 1961 (P.L. 87-128); Housing Act of 1949 (P.L. 87-171); P.L. 93-357, sec. 10.

Public Availability: GAO, Distribution Section.

E00299-020

Practices in Obtaining Credit Reports for Housing and Agricultural Loans. RED-75-314. December 24, 1974.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Farmers Home Administration, USDA.

Program(s) Evaluated: Low Income Housing (10,000); Moderate Income Housing (10,000); Farm Ownership Loans (10,000); Farm Operating Loans (10,000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Consolidated Farmers Home Administration Act of 1961 (P.L. 87-128); Housing Act of 1949 (P.L. 81-171).

Public Availability: GAO, Distribution Section.

E00299-021

Progress and Problems in Achieving Objectives of School Lunch Program. B-178564. June 29, 1973. 34 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: General Cash for Food Assistance for Lunches (10,000); Special Cash Assistance for Free or Reduced Price Lunches (10,000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Lunch Act, as amended (P.L. 79-396).

Public Availability: GAO, Distribution Section.

Although the number of schools participating in the Department's school lunch program and the number of needy children receiving free and reduced-price lunches has increased in recent years, 24,900 eligible schools did not participate in the program in the 1971-72 school year and 1.5 million needy children did not eat free and reduced-price lunches. Some of the reasons the schools cited for not participating were not subject to Federal persuasion but other reasons, such as lack of adequate facilities for preparing or serving food and local school officials' lack of interest, could be resolved. Some of the reasons cited by needy children (or members of their families) for not eating free or reduced-price lunches appeared to be related to schools' administrative practices which did not comply with Federal regulations. Also, the Department lacked accurate data on the number and needs of schools not participating and on the schools' lunch costs. The Department generally agreed with GAO's conclusions and said that, in line with the recommendations, it was updating data on nonparticipating schools and their needs, had begun a nationwide drive to promote the program, and was taking steps to obtain accurate lunch cost data.

E00299-022

Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota. B-51604. May 30, 1974. 23 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10,000); Direct Distribution of Food (10,000); Operating Expenses for

States for Direct Distribution (10.000); Financial Assistance to Counties for Direct Distribution (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act of 1964, as amended (P.L. 88-525); Agricultural and Consumer Protection Act of 1973 (P.L. 93-86).

Public Availability: GAO, Distribution Section.

Administration of the food stamp program by the states in the counties GAO visited generally was satisfactory, although some improvements were needed to increase South Dakota's efforts to make stamps available for purchase more frequently and at more locations. GAO found no evidence that tribal government administration of the food stamp program, not now authorized by the enabling legislation, would be any more efficient or effective than state agency administration.

E00299-023

Observations on Evaluation of the Special Supplemental Food Program. RED-75-310. December 18, 1974. 34 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Supplemental Food Program (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Child Nutrition Act of 1966 (P.L. 89-642; 88 Stat. 1827-1828).

Public Availability: GAO, Distribution Section.

This is GAO's second report on the Child Nutrition Act of 1966, which authorizes a special program for women, infants, and children through fiscal year 1975. Attempts to determine and evaluate medical benefits or nutritional assistance to humans are limited by certain inherent problems. Although steps have been taken to deal with these problems, they cannot practically be overcome and must be recognized as precluding a conclusive determination of the program's benefits.

E00299-024

An Appraisal of the Special Summer Food Service Program for Children. RED-75-336. February 14, 1975. 34 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Non-School Food Program (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: National School Fund Act, as amended (P.L. 90-302).

Public Availability: GAO, Distribution Section.

The program's effectiveness is difficult to assess because the Food and Nutrition Service and state

agencies have not identified the total number of children eligible nor their location. Reference to the number of needy children participating indicates that the summer program has achieved rather limited coverage, especially in areas other than the largest cities. This report includes specific recommendations for legislative and administrative changes to improve the program.

E00299-025

Observations on the Food Stamp Program. RED-75-342. February 28, 1975. 26 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Food and Nutrition Service, USDA.

Program(s) Evaluated: Food Stamps (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act of 1964 (P.L. 88-525).

Public Availability: GAO, Distribution Section.

The food stamp program has grown dramatically. In June 1974, 13.5 million people were participating; in December 1974, there were about 17 million participants. Various studies indicate that this may be less than half of those potentially eligible. Total Federal cost for fiscal year 1974 was \$2.9 billion. GAO's report is intended to alert the Congress to certain shortcomings observed in its review of the food stamp program. The dramatic growth of the program and its potential for further substantial growth make it essential that the program be managed as effectively as possible.

E00299-026

Improvements Needed in Planning Allowable Harvests. March 15, 1973. 4 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Forest Service, USDA.

Program(s) Evaluated: Forest Harvesting and Engineering Research (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Forest Products, etc. (P.L. 70-466); Forestry and Research Programs (P.L. 87-788).

Public Availability: GAO, Distribution Section.

E00299-027

Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land. B-125053. March 20, 1973. 33 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Forest Service, USDA.

Program(s) Evaluated: Forest Roads (10.000); National Forest Timber Sales Preparation and Administration (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Conservation and land management (302); Recreational resources (303).

Program Authorization: Highways (P.L. 85-767); National Forests, Management (P.L. 87-517); Forest Service (P.L. 87-869); Forest and Grasslands, Protection (P.L. 88-537); Multiple Use-Sustained Yield Act of 1960 (16 USC 528).

Public Availability: GAO, Distribution Section.

In reporting on how the Forest Service and the Department of the Interior's Bureau of Land Management planned timber sale and road construction projects, GAO found that, in many instances when such projects seriously damaged forest resources and the environment, project planners either had not obtained or had not followed the advice of resource specialists. GAO recommended that the agencies (1) require project planners to use the expertise of resource specialists or document why they did not, (2) include the specialists' views and recommendations in the project files, and (3) identify and analyze where and why needed assistance could not be obtained and explore ways to provide such assistance. The Forest Service changed several procedures to implement GAO's recommendations. The Bureau of Land Management had already established procedures in line with some of the recommendations, but it had not fully implemented them at the field level. The Bureau needed to strengthen its procedures more and require project planners to document their use or nonuse of resource specialists.

E00299-028

Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests. B-125053. July 30, 1973. 40 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Forest Service, USDA.

Program(s) Evaluated: National Forest Timber Sales Preparation and Administration (10.000).

Budget Function/Subfunction: Conservation and land management (302); Agricultural research and services (352).

Program Authorization: National Forests, Management (P.L. 86-517); Forest Service (P.L. 87-869); Forest and Grasslands, Protection (P.L. 88-537); Multiple Use and Sustained Yield Act of 1960 (16 USC 528).

Public Availability: GAO, Distribution Section.

Each year the Department of Agriculture's Forest Service and the Department of Interior's Bureaus of Land Management and Indian Affairs sell standing timber to purchasers who are required to cut designated trees in timber sale areas. The purchasers that decide which felled wood to remove, leave large volumes of economically usable timber in the sale areas. This often causes delays in planting new trees, creates serious fire hazards, causes air and water pollution, and leads to cost increases in various forest management practices. GAO recommended that the agencies (1) modify timber sale procedures to require removal of all economically usable wood, (2) establish methods and procedures which recognize economic and environmental benefits to the government from increased use of felled wood, and (3) identify where local processing facilities are inadequate to insure optimum use and explore Federal assistance in increasing timber processing capabilities. The Forest Service agreed with these recommendations and, in October 1973, advised that it had revised its (1) regulations to require sale-approving officers to insure, among other things, that timber sale contracts require

complete use of the timber as may be obtained with available technology and (2) timber sale contract forms to require removal of usable portions of felled trees. Interior cited several problems and conditions which it believed would have to be considered in implementing the recommendations with respect to land held by the Bureau of Land Management. It also said that the recommendations might not be fully appropriate for Indian-owned land.

E00299-029

More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand. B-125053. October 5, 1973. 28 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Forest Service, USDA.

Program(s) Evaluated: National Forest Timber Sales Preparation and Administration (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Conservation and land management (302).

Program Authorization: National Forests, Management (P.L. 86-517); Forest Service (P.L. 87-869); Forest and Grasslands, Protection (P.L. 88-537); Multiple Use and Sustained Yield Act of 1960 (16 USC 528).

Public Availability: %GAO, Distribution Section.

Although Forest Service and Bureau of Land Management policies placed high priority on minimizing waste by harvesting usable timber from trees killed or damaged by insects, diseases, fire, wind, and other elements, only a small portion of the trees killed or damaged annually on the agencies' lands were being salvaged. Such trees, if promptly salvaged, are as good as, or better than, some live trees for making lumber, plywood, and other products. In April 1974 the Forest Service advised GAO that, in line with GAO's recommendations, it had made a commitment to increase sale offerings of dead, dying, or damaged material; it had set a tentative national goal for fiscal year 1975 of harvesting 900 million board feet of such material; and it had suggested ideas on simplifying sale procedures and techniques to its field offices. The Bureau of Land Management stated that it would continue to seek methods to harvest dead and dying timber to the maximum extent possible and, as recommended, would consider use of sale preparation, contracting, and contract administration procedures tailored for timber salvage when successfully developed by the Forest Service.

E00299-030

Follow-up on the Need to Resolve Differences in Procedures Used by Federal Timber Management Agencies in Appraising Timber Offered for Sale. B-125033. October 30, 1973. 7 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Forest Service, USDA.

Program(s) Evaluated: National Forest Timber Sales Preparation and Administration (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Conservation and land management (302).

Program Authorization: National Forests, Management (P.L. 86-517); Forest Service (P.L. 87-869); Forest and Grasslands, Protection (P.L. 88-537).

Public Availability: GAO, Distribution Section.

E00299-031

Allowable Harvest Determinations on National Forest Lands. B-125053. January 7, 1974. 8 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Forest Service, USDA.

Program(s) Evaluated: National Forest Timber Sales Preparation and Administration (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Conservation and land management (302).

Program Authorization: National Forests, Management (P.L. 86-517); Forest Service (P.L. 87-869); Forest and Grasslands, Protection (P.L. 88-537).

Public Availability: GAO, Distribution Section.

E00299-032

More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand. B-125053. February 14, 1974. 36 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Forest Service, USDA.

Program(s) Evaluated: National Forest Reforestation and Timber Stand Improvement (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: National Forests, Management (P.L. 86-517); Forest Service (P.L. 87-869); Forest and Grasslands Protection (P.L. 88-537); Multiple Use and Sustained Yield Act of 1960 (16 USC 528).

Public Availability: GAO, Distribution Section.

The growing demand for lumber and the increasing pressure to use productive timberland for other multiple-use purposes add to the need for accelerated reforestation and timber stand improvement work on the estimated 18-million-acre backlog of national forest land. This backlog had persisted for many years because (1) funds collected from timber purchasers to reforest harvest areas were limited, (2) appropriations were not sufficient to both offset deficits in the collections and reduce the backlog, and (3) special legislation authorizing additional appropriations had not been used. Also, the Forest Service's land inventory data and fund allocation procedures did not insure optimum use of available funds. GAO recommended that the Secretary of Agriculture include in subsequent annual reports on reforestation needs, submitted to the Congress in accordance with a 1972 act, information on timber stand improvement needs, progress toward meeting these needs, and progress in improving land inventory data and fund allocation procedures. GAO also presented for consideration by the Congress three possible methods for increasing funds to accelerate reforestation and timber stand improvement. The Forest Service agreed with GAO's recommendations and said it was taking action to implement them.

E00299-033

Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies. RED-75-258. October 8, 1974. 27 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Forest Service, USDA.

Program(s) Evaluated: Cooperation in Forest Management and Processing (10.000); Cooperation in Forestation and Tree Planting (10.000); Forestry Incentives (10.000).

Budget Function/Subfunction: Agricultural research and services (352); Conservation and land management (302).

Program Authorization: Forest Perpetuation and Reforestation (P.L. 63-392); Cooperative Forest Management Act (P.L. 81-729); Soil Bank Act (P.L. 84-540); Agriculture and Consumer Protection Act of 1973 (16 USC 1509-1510).

Public Availability: GAO, Distribution Section.

E00299-034

Controls Exercised by Rural Electrification Administration over Borrowers' Activities. B-176055. August 30, 1972. 3 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Rural Electrification Administration, USDA.

Program(s) Evaluated: Rural Electric Loans (10.000); Administrative Support for Rural Electrification Loans (10.000); Rural Telephone Loans (10.000); Administrative Support for Rural Telephone Loans (10.000).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Rural Electrification Act of 1936 (P.L. 74-605).

Public Availability: GAO, Distribution Section.

E00299-035

Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved. B-114833. June 28, 1973. 30 pp. + appendices.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Soil Conservation Service, USDA.

Program(s) Evaluated: Great Plains Conservation (10.000).

Budget Function/Subfunction: Conservation and land management (302); Agricultural research and services (352).

Program Authorization: Soil Conservation and Domestic Allotment Act (P.L. 84-1021).

Public Availability: GAO, Distribution Section.

The Great Plains Conservation Program, administered by the Soil Conservation Service, provides technical assistance and direct cost sharing to help Great Plains landowners and operators voluntarily carry out planned soil and water conservation practices. GAO reported that progress under the program had been less than might have been expected in view of the program's legislative

history. If greater progress is to be made before the program expires in 1981, the fund allocation system should be revised to insure that highest priority work is done first and the \$25,000 administrative limit on the total amount of the Federal share under any one contract should be increased. Giving consideration to the conflict between the program's objectives and Federal commodity price-support programs, cognizant congressional committees should explore with the Department the feasibility and desirability of enacting legislation to give Great Plains farmers more incentives to convert unsuitable cropland to permanent vegetative cover. The Service stated that it was evaluating the \$25,000 limitation and that it would continue to study the feasibility of revising the fund allocation system, giving due consideration to priorities.

Department of Commerce

E00300-001

Report on Audit of the Marine Resources Monitoring, Assessment and Prediction Program, National Oceanic and Atmospheric Administration. August 1973. 24 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: National Oceanic and Atmospheric Administration, Dept. of Commerce.

Program(s) Evaluated: Marine Resources Monitoring, Assessment and Prediction (11.599).

Budget Function/Subfunction: Conservation and land management (302); Other natural resources (306).

Program Authorization: Commercial Fisheries Research and Development Act of 1964, as amended (P.L. 88-309).

As a consequence of the review it was recommended that the National Oceanic and Atmospheric Administration require that the Marine Resources Monitoring, Assessment, and Prediction Program (MARMAP) include an overall description of how and when the MARMAP system and each phase of the system is intended to operate, the benefits to be attained, and a means of measuring progress, such as milestones provide for an evaluation of each phase of the plan as it is accomplished, including an evaluation of the influence of its success or failure on the other phases; provide for a detailed review and evaluation of each of the ongoing tasks included under MARMAP that were established to obtain information for specific purposes, such as treaty obligations, the purpose of which is to determine whether the tasks can be deferred or reduced as appropriate and the funds therefrom utilized to accelerate the implementation of equipment and systems to further the MARMAP concept; and explain how and when the data obtained from fishery catch analysis will be utilized in achieving the objectives of MARMAP, as well as other recommendations.

E00300-002

Report on Audit of the Technical Assistance Program, Office of Technical Assistance, Economic Development Administration. January 18, 1973. 26 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: Economic Development Administration, Dept. of Commerce.

Program(s) Evaluated: Economic Development-Technical Assistance (11.303).

Budget Function/Subfunction: Area and regional development (452).

Program Authorization: Public Works and Economic Development Act of 1965 (P.L. 89-136).

This report describes recommendations resulting from the audit of the Economic Development Administration's (EDA) technical assistance program administered by the Office of Technical Assistance (OTA). The following recommendations were made: 1) fuller definition of EDA's formal policies and procedures for developing, administering, and monitoring projects; 2) regular provision for more specific scope of work provisions; 3) establishment of a formal procedure to account for recommendations and to follow up on related corrective actions taken in response to these recommendations; 4) improvement in coordination between OTA, regional offices, other EDA units, and the Office of Minority Business Enterprise (OMBE); 5) assignment, to an EDA headquarters unit, of responsibility for maintaining regular surveillance over the effectiveness of activities after approval; 6) establishment of overall procedure for systematized follow up on effectiveness of completed projects; and 7) clearly defining technical assistance project reporting requirements.

E00300-003

Report on Audit of the National Sea Grant Program, National Oceanic and Atmospheric Administration. February 1973. 41 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: National Oceanic and Atmospheric Administration, Dept. of Commerce.

Program(s) Evaluated: National Sea Grant Program (11.417).

Budget Function/Subfunction: Other natural resources (306).

Program Authorization: National Sea Grant College and Program Act of 1966 (P.L. 89-688); Marine Resources and Engineering Development Act of 1966 (P.L. 89-454).

An evaluation of the procedures and practices used by the Office of Sea Grant (OSG) in administering the National Sea Grant Program reveals that OSG has attempted to attain meaningful coordination of institutional Sea Grant proposals with other agencies. However, these efforts have not always been successful. To date there are no written agreements or written procedures for use in providing a systematic means of coordinating Sea Grant proposals with other National Oceanic and Atmospheric Administration (NOAA) organizational components and other Federal agencies. Therefore, it is recommended that NOAA take action to provide these procedures and, if appropriate, request departmental assistance in achieving agreements with other Federal agencies.

E00300-004

Report on Audit of the Cargo Preference Program, Maritime Administration. December 1973. 14 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: Maritime Administration, Dept. of Commerce.

Program(s) Evaluated: Cargo Preference Program (11.599).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Merchant Marine Act of 1970 (P.L. 91-469); Cargo Preference Act of 1954 (P.L. 83-664).

A review of the cargo preference program indicates that there is a need for the Maritime Administration to improve, establish, or strengthen certain internal management policies, procedures, and controls related to the administration of the cargo preference laws. With regard to Public Resolution 17--statutory waivers to the requirement that 100 percent of Export-Import Bank (Eximbank)--financed cargoes be shipped on U.S. flag vessels, it was observed that adequate information was not maintained to show the extent of the investigation made to determine the availability of U.S. flag vessels for shipment of Eximbank cargoes; that requests for statutory waivers were not systematically categorized to determine if the conditions could be overcome; that retroactive waivers were granted although not provided for; and that compensatory waivers were granted without an appropriate follow-up to determine if required compensatory shipments were made.

E00300-005

Report on Audit of Activities Associated With Domestic Tourist Services and Facilities, United States Travel Service. May 1974. 18 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: United States Travel Service, Dept. of Commerce.

Program(s) Evaluated: Domestic Tourist Services and Facilities (11.950).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: International Travel Act of 1961 (P.L. 87-63); International Travel Act (P.L. 91-477).

A review of the activities associated with domestic tourist services and facilities has indicated that the U.S. Travel Service (USTS) has performed in a satisfactory manner with regard to foreign language capabilities and other services provided by the Office of Visitor Services. However, USTS did not have an established procedure for periodically updating the listings of U.S. lodging facilities having staffs with foreign language capabilities. In the absence of current listings, representatives of the foreign travel trade could be arranging accommodations at U.S. facilities that no longer offer the language capabilities indicated by USTS. In addition, USTS has not defined and reported the most current and meaningful data for the three milestones included in the Departmental Project System for development and improvement of facilities for visitors to the United States. As a result, information contained in the System reports was not sufficiently accurate to provide a basis for a current assessment of USTS' anticipated accomplishments and for an informed judgement as to whether the three scheduled milestones would be achieved.

E00300-006

Report on Audit of the Ship Transfer Program, Maritime Administration. February 27, 1975. 22 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: Maritime Administration, Dept. of Commerce.

Program(s) Evaluated: Ship Transfer Program (11.000).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Shipping Act of 1916 (P.L. 64-260).

This report describes recommendations resulting from the audit of the Ship Transfer Program by the Office of Audits. The purpose of the audit was to evaluate the plans, policies, and procedures of the program; it was determined that these are being carried out in a generally satisfactory manner. The following recommendations for improvement were made: 1) preparation of written criteria for use in evaluating ship transfer applications, along with procedures for documenting the rationale used in approving or rejecting transfer actions; 2) expansion of the criteria for granting blanket ship transfer approvals to include a broader range of smaller non-fishing vessels; 3) modification of current processing procedures, including inter-unit routing, submission of foreign transfer applications for legal review only when required, and elimination of Coast Guard clearance for small vessels; 4) review of the ship transfer and trustee fee structure to assure compliance with Departmental user-charge requirements; and 5) establishment of written procedures for forwarding receipts for deposits in a timely manner, and for preparing periodic reconciliations of collections and deposits.

E00300-007

Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards. March 21, 1975. 4 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: National Bureau of Standards, Dept. of Commerce.

Program(s) Evaluated: Environmental Pollution Measurement (11.649); National Standard Reference Data System (11.603).

Budget Function/Subfunction: Pollution control and abatement (304); Other advancement and regulation of commerce (403).

Program Authorization: Clean Air Act of 1970 (P.L. 91-316); Clean Air Act (P.L. 90-148); Water Pollution Control Act Amendments of 1972 (P.L. 92-500); Standard Reference Data Act (P.L. 90-396; 15 USC 290).

A review of selected aspects of the Environmental Pollution Measurement Programs (EPMP) disclosed no significant weaknesses in the operation of the air, water, and noise pollution abatement programs or in the accuracy of milestones reported by the National Bureau of Standards (NBS) to the Department of Commerce. However, NBS programs to improve air and water pollution measurement technology would benefit from an analytical study, such as the one made for noise in 1973. Studies of air and water pollution abatement activities that are planned would provide NBS with the data necessary to assess the impact of its present and past

efforts in these areas. The results of such studies also would provide NBS with user feedback on the problems inherent in accurate pollution measurement, indicators of changes needed to provide greater accuracy and precision in specific measurement methods, and information on the economic dimensions of measurement-related activities such as the cost to industry to achieve proposed standards.

E00300-008

Report on Survey of the Fishery Products Inspection Service, National Oceanic and Atmospheric Administration. July 1972. 21 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: National Oceanic and Atmospheric Administration, Dept. of Commerce.

Program(s) Evaluated: Fishery Products Inspection and Certification (11.413).

Budget Function/Subfunction: Other natural resources (306).

Program Authorization: Agricultural Marketing Act of 1946 (P.L. 79-733); Fish and Wildlife Act of 1956 (P.L. 84-1024).

A review of the fishery products inspection service indicates that while there are more than 4,000 fish-processing firms in the United States, inspection services are provided only to 38 firms that sell primarily to institutions that require inspected fishery products. While requests for reimbursable inspection services have been received from additional firms, the ability to take on more work has been hampered by recent restrictions on hiring. It is thus essential for the National Oceanic and Atmospheric Administration (NOAA) to review its priorities with a view toward meeting increased demands for inspection and thus provide a means of responding to the intent of law and the growing concern by fishery inspection officials and consumer advocates who wish to protect the health of consumers by assuring that fishery products distributed to the consumers are in fact of good quality, wholesome, and properly marked or labeled. There is also a need for increasing the ability of the NOAA inspection service to make quality inspections, and provisions must be made for laboratory testing as part of the regular inspection procedure.

E00300-009

Report on Audit of the Office of Standard Reference Data, National Bureau of Standards. April 17, 1974. 31 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: National Bureau of Standards, Dept. of Commerce.

Program(s) Evaluated: National Standard Reference Data System (11.603).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Standard Reference Data Act (P.L. 90-396; 15 USC 290).

This report describes recommendations resulting from the audit of the Office of Standard Reference Data (OSRD) by the Office of Audits. Insufficiencies in the Standard Reference Data (SRD) program resulted in the following recommendations: 1) formulation of definitive

procedure for cost recovery on SRD products and services; 2) identification of all products and services provided by the system; 3) analysis of cost and revenue attributed to these products and services; 4) establishment of reasonable user charges; 5) obtaining information on cost recovery from data centers for adjustment of OSRD financial support; 6) initiation of study to determine most practical price for the Journal of Physical and Chemical Reference Data to achieve maximum dissemination and royalties; 7) renegotiation of contract for the Journal if appropriate; 8) charge of NBS printing and publication costs for the Journal and other special publications to the SRD program; 9) determination of applicable costs not being charged to the SRD program at data centers; 10) determination if the charge of all applicable costs will exceed the funding limitation; 11) publication of standards, criteria, and procedures for publication in the Federal Register as required by law; 12) development of instructions for NSRDS data center use in allocating OSRD financial support, and 13) establishment of a system for data center and OSRD exchange of information and evaluations.

E00300-010

Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration. February 7, 1974. 17 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: Bureau of International Commerce, DIBA.

Program(s) Evaluated: Export Trade Promotion (11.101); International Commercial Information (11.103); Business Assistance, Services, and Information (11.104).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Merchant Marine Act of 1936 (P.L. 74-835); Organic Act Creating the Commerce Department (P.L. 62-299); Trade Act of 1974 (P.L. 93-618); (15 USC 171; 15 USC 1501).

A review of the Industry/Market Targeting System (IMTS) indicates that a modification of existing IMTS guidelines can be achieved by translating into quantitative terms the results that could be expected from the suggested level of resources to be committed to each designated target industry. Such quantitative indicators could include, for example, total potential export sales or incremental export sales. Also, it would be productive to develop annual quantitative goals for each of the designated target industries, as considered achievable in terms of resource allocations; and periodically assess results achieved in relation to anticipated accomplishments. Results of such assessments could be used as a basis for modifying the target planning process, re-allocating resources, or taking timely remedial actions where actual operating results are not meeting expectations.

E00300-011

Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration. January 29, 1974. 16 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: Bureau of International Commerce, DIBA.

Program(s) Evaluated: Program Development Activities (11.000).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Organic Act Creating Commerce Department (P.L. 62-299).

This report describes recommendations for the Office of International Marketing (OIM) of the Bureau of International Commerce (BIC). The recommendations result from an audit conducted by the Office of Audits to evaluate selected Program Development Activities in terms of principal functions and objectives. The BIC has developed a mechanism for formulating country commercial programs for selected market areas each year. These programs describe the export expansion tactics to be used, and the specific implementation actions to be taken, to assist United States industry to take advantage of marketing opportunities. The recommendations are: 1) establishment of procedures for monitoring, controlling, and follow up on the development and coordination of country commercial programs; 2) prompt follow up on late reports from market research contractors by foreign service post representatives, reduction of time to sanitize reports before making them available to the business community, and institution of an overall summary in the reports; and 3) the establishment of general provisions in research contracts appropriate for the type contract awarded.

E00300-012

Report on Audit of Selected Pollution Abatement Activities, Maritime Administration. May 9, 1973. 16 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: Maritime Administration, Dept. of Commerce.

Program(s) Evaluated: Pollution Abatement (11.599).

Budget Function/Subfunction: Pollution control and abatement (304).

Program Authorization: Merchant Marine Act of 1936 (P.L. 74-835).

A review of selected pollution abatement activities indicates that the Maritime Administration (MARAD) should outline, in coordination with the operating units, an overall coordinated action plan of the actions necessary to complete the tasks still in process. This plan should establish the time frames and level of resources required for accomplishing major elements of the tasks as related to the overall effort; develop and implement a system of reporting and evaluation that will provide an objective measurement of results and achievements in relation to the established goal and tasks; and consult with other appropriate Department of Commerce officials to consider the desirability of notifying the Council on Environmental Quality of the anticipated slippage for several of the tasks.

E00300-013

Report on Audit of Selected Areas of the National Technical Information Service. April 2, 1973. 24 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: National Technical Information Service, Dept. of Commerce.

Program(s) Evaluated: National Technical Information Service (11.650).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Research and Technical Services Act (P.L. 81-776; 15 USC 1151-1157).

A review of selected areas of the National Technical Information Service (NTIS) indicates that NTIS could improve technology search and transfer by making the on-line computer system available to other information centers and by appraising NTIS customers of other public and private information centers that possess information not in the NTIS collection; and that NTIS could save in excess of \$11,000 annually and reduce complaints by shifting its ship and bill procedure to a real-time invoice system wherein each item shipped carries its own invoice.

E00300-014

Report on Audit of Matters of Particular Interest to the Department's Office of Budget and Program Analysis Concerning the National Technical Information Service. March 5, 1973. 37 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: National Technical Information Service, Dept. of Commerce.

Program(s) Evaluated: National Technical Information Service (11.650).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Research and Technical Services Act (P.L. 81-776; 15 USC 1151-1157).

Based on a review of the publication acquisition effort of the National Technical Information Service (NTIS), it was recommended that NTIS formulate a procedure for periodic review and updating of its acquisition policy in light of changes in national goals or national problems and issues; and develop a systematic plan for identifying, establishing contact and coordinating with Federal information centers. In this connection, consideration should be given to providing for initial high-level contact with other Federal agencies by the NTIS director or other high-level Department of Commerce officials. It was recommended that NTIS develop a systematic procedure for carrying out liaison with those Federal organizations that have agreed to contribute publications to the NTIS collection and, as appropriate, for follow-up with those organizations to assure that all pertinent publications are sent to NTIS consistent with agreements and guidelines prescribed by the Committee on Scientific and Technical Information.

E00300-015

Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration. September 11, 1973. 38 pp. + table.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: Bureau of International Commerce, DIBA.

Program(s) Evaluated: Export Trade Promotion (11.101).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Merchant Marine Act of 1936, as amended (46 USC 1122; P.L. 89-66).

This report describes recommendations for the Bureau of International Commerce (BIC) resulting from the audit of selected U.S. Trade Centers and Trade and Industrial Exhibits by the Office of Audits. The following recommendations were made: 1) establishment of quantitative goals for guidance in targeting accomplishments at each trade center; 2) revision of Conditions for Participation to establish uniform criteria providing that materials exhibited contain a significant percentage of United States-made components; 3) maintenance of close contact with firms requesting Agent Distributor Service data to provide information on events, encouragement for visiting and use of centers, and follow up; 4) standardization and clarification of criteria used to determine new-to-market status; 5) use of United States trade center services and staffs to manage Commerce-sponsored participation in a greater number of trade fairs; 6) updating and organizing policies, manuals, and other forms of guidance into a single manual; 7) formalization of guidelines and criteria used to determine participation fees; 8) elimination of the provision requiring the U.S. Government to pay return freight for unsold items; and 9) testing of a reasonable basic charge for use of trade center facilities between show promotions, and prorating contributions for export management companies and multi-account agents.

E00300-016

Report on Review of Market Development Activities, Maritime Administration. August 9, 1972. 23 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: Maritime Administration, Dept. of Commerce.

Program(s) Evaluated: Market Development (11.599).

Budget Function/Subfunction: Water transportation (406).

A review of the market development activity by the Department of Commerce has recommended that the Maritime Administration (MARAD) formulate a plan that outlines the strategies and order of priorities to be used by the Office of Market Development's (OMD) field representatives in carrying out market development operating activities. A positive action plan should be developed to resolve the principal problems identified by shippers regarding their use of U.S. flag vessels. A periodic supplemental report summarizing U.S. foreign trade data on a commodity basis should be provided so that maximum use can be made of limited manpower resources by readily identifying those commodities that show a low overall use of U.S. flag vessels and have a high potential for increased participation by U.S. flag vessels. In addition, MARAD should consider the desirability of providing new and improved promotional material for use by OMD field representatives in their contacts with the business community.

E00300-017

Report on Audit of Experimental Technology Incentives Program, National Bureau of Standards. June 1975. 11 pp.

Agency Sponsoring Evaluation: Office of Audits, Office of the Secretary.

Agency Managing Program: National Bureau of Standards, Dept. of Commerce.

Program(s) Evaluated: Experimental Technology Incentives Program (11.649).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Science and Technology Message of the President, FY 1973.

A review of the Experimental Technology Incentives Program (ETIP) indicates that ETIP should develop and implement guidelines for contracting officer's technical representatives to follow in monitoring ETIP projects. Such guidelines will provide more assurance that projects will be adequately monitored and completed on a timely basis, and reporting will be meaningful to allow for redirection of program resources, as warranted. Each contract should incorporate a provision for return of its investment when similar arrangements are made for this type recovery by a contractor. This will enable ETIP to attain a multiplier effect from the reuse of such funds for similar or other priority projects. Also, ETIP should consolidate individual project folders into a central official project file, purge duplicate or unnecessary documents from present files, fasten all documents in file folders, and provide an index of information contained in the official file.

E00300-018

Report on Minimum EDA FY 1975 Staffing Requirements. September 1974.

Agency Sponsoring Evaluation: Office of the Secretary Study Team, Dept. of Commerce.

Agency Managing Program: Economic Development Administration, Dept. of Commerce.

Program(s) Evaluated: Public Works Construction Management Information Services (11.000); Field Technical Support Services (11.000).

Budget Function/Subfunction: Conservation and land management (302).

Program Authorization: Public Works and Economic Development Act of 1965 (P.L. 89-136).

Due to the stringent personnel ceiling assigned to the Economic Development Administration for fiscal year 1975 by OMB, a study team reviewed the activities of EDA in order to determine a minimum level of staffing for the Agency. The report recommends that EDA's FY 1975 employment ceiling be set at 557 positions, a reduction of 93 positions from the current employment of 650 full-time persons. In order to avoid seriously affecting the accomplishment of EDA's primary mission, the study team recommended employment reductions in the following ways: 1. Responsibility for construction management activity should be contracted out to local engineering/appraisal firms. Washington staff should be reduced by three positions from the Construction Management Division and field staff reduced by 29 positions from the Technical Support Division. 2. Steps should be taken to phase out the 37 positions in the EDA Information Systems and Services Division in favor of

contracting with a private firm. 3. Washington should authorize regional directors to develop public works and technical assistance projects for the direct approval of the Assistant Secretary. Approximately 25 final project review positions assigned to the Public Works and Technical Assistance Office in Washington should be eliminated. 4. Regional technical support divisions should be abolished resulting in approximately 13 positions being saved in this manner. These positions are occupied by the divisions' supervisors and their secretaries.

E00300-019

EDA-Regional Commission Coordination Review. January 1975.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, Office of the Secretary (Commerce).

Agency Managing Program: Regional Commissions.

Program(s) Evaluated: Economic Development Resources Allocation, Commerce (11.000).

Program Authorization: Public Works and Economic Development Act of 1965 (P.L. 89-136, Title V).

The results of the review of all EDA-Regional Commission coordination activities indicated that a foundation was already in place for effective coordination as a result of a 1970 agreement. Although implementation had to be improved and points of coordination arising from the new legislation added, many of the coordination objectives suggested by OMB options were to be realized through revision of the agreement. Based on the findings on the existing coordination and the difficulty in making organizational changes which might appear to either downgrade EDA or the Federal Co-chairman, it was recommended that no changes take place during the extension period which runs until June 1976. It was recommended however, that the Regional Commissions be granted ad hoc representation on appropriate Federal Regional Councils. This is the same type of representation that EDA has enjoyed very successfully for several years. For longer term planning for post extension programs, EDA was simultaneously considering improvements to its legislation. In view of this situation it was recommended to OMB that a review be made by the Office of the Secretary of Commerce to determine the extent of the interest which the several Regional Commission governors have in the Commission Program.

E00300-020

Survey of Bureau Management by Objectives Programs. July 1974.

Agency Sponsoring Evaluation: Office of Organization and Management Systems, Office of the Secretary (Commerce).

Agency Managing Program: Department of Commerce.

Program(s) Evaluated: Program Management, Commerce (11.000); Bureau-Level Management by Objectives (11.000).

Budget Function/Subfunction: Higher education (502); Social Services (506).

All of the Commerce Department's eleven bureaus have bureau-level MBO Programs which provide for: development of verifiable objectives on at least an annual basis and generally in conjunction with the budget formulation process; plans to achieve these objectives by

specific dates, together with the assignment of responsibility for such achievement; and a method of checking back regularly to see if progress is being made as planned, or if corrective action is required. Most programs give evidence of meaningful participation by responsible line managers in this process. Four of the bureaus--USTS, OMBE, DIBA, and the Patent Office--have developed their programs around one or more performance measurement systems. Six bureaus--MARAD, NBS, OT, NOAA, SESA and EDA--have developed their programs around project-scheduling systems. These are similar to the Departmental Project System. The remaining bureau--NTIS--states its objectives in terms of net income and has developed its MBO Program around its financial management system. Except for NOAA, SESA, and EDA, all programs cover a substantial portion of bureau operations. Of the bureaus surveyed, all but NOAA, NBS and OT place staff responsibility for their MBO programs under their chief administrative officer. NBS and OT place responsibility under a separate planning staff. NOAA splits it between two planning staffs and its budget officer, none of which are connected with its administrative officer.

E00300-021

Draft Report to Steering Committee on Commerce Legislative Affairs Study. August 30, 1974.

Agency Sponsoring Evaluation: Office of Administrative Task Force, Office of the Secretary (Commerce).

Agency Managing Program: Office of Congressional Affairs, Office of the Secretary (Commerce).

Program(s) Evaluated: Congressional and Legislative Affairs (11.000).

Budget Function/Subfunction: Social services (506).

In reviewing the Commerce Department's legislative activities it was found that they are well staffed and are serving the needs of the program managers in a satisfactory manner. At the same time, there are opportunities for improvement. Recommendations were as follows: (1) The congressional relations function should be shifted substantially to the Assistant Secretaries or heads of operating units. The Department should reserve action only on those items of conflict between Assistant Secretaries or of personal interest to the Secretary or Under Secretary. (2) The responsibility for setting deadlines and priorities on legislative comments should be shifted to the operating units, except on those items which have firm deadlines set by OMB or Congress. This would allow the operating units, who are more familiar with legislation affecting their programs than the Department, to make more of these priority decisions internally. (3) The Department should begin an orientation program on congressional procedures and protocol for all incoming program managers in order that they may assume the greater responsibilities for legislative and congressional affairs. Further study was also recommended on how to achieve longer-range improvements in legislative activities. Among these were a detailed review of the paperwork management in the Office of the Assistant General Counsel for Legislation, immediate revision and publication of the draft Department Administrative Order describing legislative affairs procedures, and investigating the feasibility of using computerized information systems to monitor the status of bills affecting the Department.

E00300-022

Interim Report on Department of Commerce Field Operations. July 28, 1975.

Agency Sponsoring Evaluation: Department of Commerce Study Group.

Agency Managing Program: Department of Commerce.

Program(s) Evaluated: Program Management, Commerce (11.000).

Budget Function/Subfunction: Social services (506).

In reviewing the Commerce Department's regional operations, the study found that eight major program areas are organized on a regional basis. The Department has at least three offices in each of the ten Federal regional cities. None of the Department's field organizations conforms entirely to the Standard Federal Regions. OMB would support the idea of using regional Secretarial Representatives as the Department's members on the Federal Regional Council. As a quid pro quo for this support, Commerce must align the territory covered by each Secretarial Representative with the boundaries of the ten Federal regions, and must provide at least one-half man-year of staff support for the work of the Federal Regional Council in each region. The study group recommends that the Department implement a program to establish Secretarial Representatives in the region. These Secretarial Representatives should: coordinate the programs of the Department within their regions; provide the Secretary with feedback, informing him of regional activities and developments, and of the effectiveness of Commerce programs; represent the Department on the Federal Regional Councils; be the Secretary's personal point of contact in the region, speaking for him and carrying out other duties directly assigned by him, unencumbered by line program responsibilities; and facilitate the delivery of the Department's services to the states and local communities in the region.

E00300-023

Interim Report on the Review of the Domestic and International Business Administration. February 4, 1974.

Agency Sponsoring Evaluation: Office of Organization and Management Systems, Office of the Secretary (Commerce).

Agency Managing Program: Domestic and International Business Administration, Dept. of Commerce.

Program(s) Evaluated: Domestic Business Service (11.000).

Budget Function/Subfunction: Social services (506).

Program Authorization: 32 Stat. 825.

The study found that DIBA cannot now provide service to all segments of the business community through the field offices, and that the capability to provide information and assistance directly to businessmen through the Bureau of Domestic Commerce in Washington may be reduced. It recommended the following: 1. DIBA should broaden its field office constituency through an expanded domestic business information service program. More man hours in the field should be devoted to publicizing and delivering general information to a broader distribution of businesses. 2. A better balance between domestic and international services in the field offices should be developed. Educational programs are needed which will help business to adapt operations to the effects of Federal legislation and regulations. These programs should consist of a two-pronged approach of domestic seminars

held by the field offices and detailed literature distributed by the field offices and the Ombudsman. 3. A detailed analysis of the domestic business service activities of the Office of Business Research and Analysis should be performed to determine those activities which are an ineffective use of analysts' time or which are undesirable interference by the Federal government in the free market system. 4. DIBA should tighten the management control of its resources to optimize use of manpower and set priorities for its activities within present personnel ceilings. In BDC such improved controls should include a more specific time and activity reporting system. The use of non-DIBA sources to fund industrial analysis activities should be thoroughly investigated. The Directorate of Administrative Management should evaluate means of increasing the productivity of industry analysts such as improved information collection and retrieval, and the use of automated typing equipment.

E00300-024

International Trade Study. October 2, 1975.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, Office of the Secretary (Commerce).

Agency Managing Program: Bureau of Domestic Commerce, DIBA.

Program(s) Evaluated: International Trade Study Report (11.000).

Budget Function/Subfunction: Social services (506).

Program Authorization: Federal Water Pollution Control Act Amendments of 1972 (P.L. 92-500, sec. 6).

The report evaluated the current accomplishments of the International Trade Study. The report is required to determine the competitive position and prospects of U.S. products here and abroad in the context of pollution abatement requirements currently and in the future. The study concluded that due to the following variables it was a premature effort and recommended a two-year postponement of the requirement by an amendment to the statute. 1. Shortages of resources: In order to produce the ITS report other responsibilities which are integral to the Commerce Department's role in environmental affairs have had to be either neglected or totally abandoned. 2. Dependence on external variables: (a) the underdeveloped state of the art which is required for studies of this scope; (b) the fluctuation of the international economic system of resources and process; and (c) the principle of national sovereignty that rules the interaction and cooperation among states.

E00300-025

Maritime Policy Study. September 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, Office of the Secretary (Commerce).

Agency Managing Program: Maritime Administration, Dept. of Commerce.

Program(s) Evaluated: Reduction of Subsidies (11.000).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Reorganization Plan No. 21 of 1950.

The purpose of the study was to analyze alternative program changes to maximize improvement in the international competitive position of the U.S. maritime industries. The study was performed at the request of OMB. The study entailed a review of the progress under the Merchant Marine Act of 1970 and national security

and other potential requirements; and a competitive analysis and potential administrative changes to maximize the competitive position of both the U.S. shipbuilding industry and the U.S. ship operating industry. OMB's original position was that a redirection of the maritime program to reduce the dependency on Federal subsidies as rapidly as possible would make the U.S. maritime industries more competitive vis-a-vis foreign industries. Seemingly, reduced subsidies would eliminate less efficient operations of the U.S. builders and operators, thereby making them more competitive. Most of the alternatives examined, particularly those requiring a reduction of subsidies, were found not to improve the U.S. competitive position. The increased costs to builders and operators experienced without construction and operating differential subsidies would necessitate higher freight rates to maintain current profit levels. In the absence of the necessary higher freight rates, fewer ships would be built or operated in the U.S. The study does not recommend any specific policy changes or propose a new direction for the overall program.

E00300-026

Final Report of the OMBE (Office of Minority Business Enterprise) Management Task Force. July 13, 1973.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, Office of the Secretary (Commerce).

Agency Managing Program: Office of Minority Business Enterprise, Dept. of Commerce.

Program(s) Evaluated: Program Management, OMBE (11.000).

Budget Function/Subfunction: Social services (506).

Program Authorization: Executive Order 11625.

In studying the management practices and program effectiveness of OMBE, the task force found that: (1) OMBE clients are heavily indebted, (2) OMBE clients own small-scale businesses, (3) practical business education is lacking, (4) field representative's duties and responsibilities are too broad, and (5) regional coordination is lacking. The task force recommends a reorganization of OMBE, employing a decentralization of responsibilities, designed to increase the effectiveness of the program. The task force also recommends further studies into the areas of composition of OMBE client businesses, OMBE interaction with other organizations, such as the Small Business Administration, the development or permanent financing for OMBE clients, and the development of business education programs. The new organization is expected to produce improvements in program execution in the following areas: (1) service to OMBE clients by increasing the supportive and evaluative interaction between the OMBE staff and the contractor; (2) tailoring of the OMBE program to regional needs, and improving the coordination of various types of contractors in a region through increased on-site observation; (3) utilization of management and technical assistance, and the generation of minority procurement contracts and franchising opportunities, by focusing specialized expertise on local implementation of commitments by national organizations, and (4) quality of data in the OMBE Performance Management System through increased on-site verification of contractors reports.

E00300-027

Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program.

Agency Sponsoring Evaluation: Office of Organization and Management Systems, Office of the Secretary (Commerce).

Agency Managing Program: Office of Minority Business Enterprise, Dept. of Commerce.

Program(s) Evaluated: Federal Minority Enterprises, OMBE (11.000); Regular Business Loans (59.012); Economic Opportunity Loans (59.003); Local Development Company Loans (59.013); Small Business Investment Company Financing (59.011); Lease Guarantees (59.004); Surety Bond Guarantees (59.016).

Budget Function/Subfunction: Other advancement and regulation of commerce (403); Social services (506).

Program Authorization: Executive Order 11625.

After analyzing SBA and OMB options for the organization of the Federal minority enterprise program, Commerce recommends the transfer of non-financial minority enterprise components of SBA to OMBE, and have SBA maintain an exclusively financial role in minority business development. This recommendation is based on the premise that there is a critical need for both SBA and OMBE. Rather than eliminate either the SBA or the OMBE program, it is suggested that a reorganization take place which will allow each agency to do what it does best, with a minimum of overlap and duplication. The components of the SBA minority program which will be transferred to OMBE under this proposal are the minority portion of the 406 management and technical assistance program, and the 8(a) Federal procurement program. The minority enterprise programs which would remain in SBA are those which are strictly financial or serve both minority and non-minority clients: The EOL/loan guarantee programs; lease guarantee; small business development workshops; surety bond and line of credits; and MESBIC licensing and regulation.

E00300-028

Strengthening the Role of the U.S. Patent Office. January 1974.

Agency Sponsoring Evaluation: Department of Commerce Task Force.

Agency Managing Program: Patent Office, Dept. of Commerce.

Program(s) Evaluated: Patents and Trademarks (11.000).

Budget Function/Subfunction: Social services (506).

Program Authorization: 5 Stat. 117.

In examining the issues involved in the creation of an Assistant Secretary for Patents and Trademarks, the study team concluded that: (1) the creation of an additional Assistant Secretary should have little effect upon the demands for the Secretary's time, judging from past experience; (2) the charges that the Department and the Assistant Secretary for Science and Technology have exerted undue influence and have siphoned-off funds have been rejected by the Senate staff, the Department, and the Patent Office itself; (3) the establishment of an Assistant Secretary is unlikely to have an effect on turnover of Patent Commissioners; (4) simply by virtue of his rank, an Assistant Secretary for Patents and Trademarks would be in a somewhat better position to deal

with officials of other Departments; (5) the influence of the Patent Bar in Patent Office affairs is unlikely to be affected by the organizational placement of the Patent Office; and (6) the few interrelationships between the Patent Office and other Departmental components would be unimpeded by removing the Patent Office from S&T. The study team recommends that the Department establish (1) an untitled additional Assistant Secretary which the Secretary will then designate for Patents and Trademarks, and (2) a career position of Deputy Assistant Secretary/Commissioner of Patents to provide a measure of continuity to the management of the Patent Office. These recommendations will not require any further restructuring of the current Science and Technology organization.

E00300-029

Review of the Organization and Operations of the United States Travel Service (USTS). May 1974.

Agency Sponsoring Evaluation: Office of Organization and Management Systems, Office of the Secretary. (Commerce).

Agency Managing Program: United States Travel Service, Dept. of Commerce.

Program(s) Evaluated: Program Management, USTS (11.950).

Budget Function/Subfunction: Social services (506).

Program Authorization: International Travel Act of 1961 (P.L. 87-63).

A review of the organization and operations of USTS and of a proposed revised organization resulted in some significant findings and recommendations. The study indicated several operating problems which limit the effectiveness of the 'front office' and, ultimately, of USTS in general. These include: overlapping management and direction by the front office, a lack of staff support from the USTS operating offices, and an unduly restrictive policy approach by USTS to its mission and goals. Generally, the recommendations provided for a clearer delineation of responsibilities for office personnel, reassignment of manpower where appropriate, and more effective use of current USTS resources. The study also involved an evaluation of USTS' proposed revised organization. These proposed changes and recommendations are: (1) Combining the Office of Information Services with the Office of Advertising and Promotion; recommendation: they should retain their separate identities. (2) Location of the exhibits function; recommendation: the Visit USA Mobile Exhibit should be retained as a responsibility of the Office of Advertising and Promotion. (3) Location of the trade fair/trade show program; recommendation: the new organization order should further be revised to provide that full responsibility for the trade fair/trade show attendance promotion and counseling function be assigned to the Office of Expositions and Special Projects. (4) Possible separation of the state-city coordination function; recommendation: the state-city coordination function should remain in the Office of Visitor Services reporting, through the Office Director, to the Executive Director of USTS. (5) Revision of responsibilities assigned to the Deputy Assistant Secretary for Bicentennial Affairs; recommendation: the Deputy Assistant Secretary for Bicentennial Affairs should not be assigned line responsibilities within USTS.

E00399-001

Need for Better Identification and Analysis of Nontariff Barriers to Trade. B-162222. January 21, 1974. 38 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Department of Commerce.

Program(s) Evaluated: International Commercial Information (11.103); Business Assistance, Services, and Information (11.104); Export Trade Promotion (11.101).

Budget Function/Subfunction: Foreign information and exchange activities (153).

Program Authorization:

USC 1501, et seq.; Organic Act creating the Commerce Department (P.L. 62-299).

Public Availability: GAO, Distribution Section.

Despite the importance of adequate information, the ultimate results of nontariff barrier negotiations depend largely on the degree of commitment to reducing such barriers demonstrated by foreign countries. Given the reciprocal nature of the internal negotiations, results also depend on U.S. willingness to negotiate comparable concessions on its nontariff barriers. GAO recommended that increased efforts be made by the Secretaries of State and Commerce, in consultation with the President's Special Trade Representative, to identify foreign barriers through Embassy, industry, and other available sources. Embassies should be kept informed of broad policy and specific developments to facilitate these efforts.

E00399-002

Status of Efforts to Offset Balance-of-Payment Deficit for Fiscal Year 1974 Attributable to Maintaining U.S. Forces in Europe. ID-75-43. February 7, 1975. 5 pp. + appendix.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Department of Commerce.

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Department of Defense Authorization Act, 1974 (P.L. 93-155, sec. 812).

Public Availability: GAO, Distribution Section.

The Department of Defense Authorization Act, 1974, contained a provision for reducing U.S. Forces in Europe by a percentage equal to the percentage by which the balance-of-payments deficit attributable to maintaining such forces in Europe was not offset. A need was noted for an alliance-wide effort to offset the drain on U.S. balance of payments to insure continuing public support for maintaining U.S. Forces in Europe. A preliminary estimate of the balance-of-payments deficit for FY74 ranges from \$400 million to \$1000 million. It appears, however, that the troop reduction provisions of the act will not have to be applied, because, in accordance with the legislation, offsets against the FY74 deficit may be computed for an additional 10 1/2 months. Therefore, a period of 22 1/2 months is provided to offset 12 months of expenditures.

E00399-003

Export of U.S.-Manufactured Aircraft--Financing and Competitiveness. ID-75-41. March 12, 1975. 49 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Department of Commerce; Export-Import Bank of the United States.

Program(s) Evaluated: Business Assistance, Services, and Information (11.104).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Organic Act creating the Commerce Department (P.L. 62-299).

Public Availability: GAO, Distribution Section.

From July 1, 1965, to December 31, 1974, Eximbank's loan authorizations amounted to \$20.4 billion, of which \$4.9 billion, or 23.8 percent, was to finance exports of commercial jet aircraft. Use of U.S.-manufactured commercial jet aircraft predominates in all major non-Communist foreign fleets, except British Airways. For the near future, the U.S. may be expected to maintain this competitive edge. At June 30, 1974, 72.4 percent of commercial jet aircraft in foreign airline fleets, excluding Russia, were manufactured by the U.S. Increased competition is expected from the three other highly industrialized areas of the world--the European Community, Russia, and Japan.

E00399-004

Foreign Visitor Travel to The United States can be Increased. B-151399. November 12, 1973. 67 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: United States Travel Service, Dept. of Commerce.

Program(s) Evaluated: Program Management, U.S. Travel Service (11.999).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: International Travel Act of 1961 (P.L. 87-63; 22 USC 2121-27); International Travel Act (P.L. 91-477).

Public Availability: GAO, Distribution Section.

Programs of the United States Travel Service were reviewed to find out if they could be made more effective in encouraging people of other nations to visit the United States. Travel receipts from foreign visitors to the United States were considerably less than those from Americans traveling abroad. This so-called travel gap has been a leading contributor to the U.S. balance-of-payment problems in recent years. GAO found that international travel competition is difficult and that the Travel Service needed to develop a variety of package tours for the United States to compete more effectively with other travel destinations. This report shows the Congress that much work needs to be done by the Travel Service to offset the increased travel gap and its significant addition to the overall U.S. balance-of-payments deficit.

E00399-005

Government Support of the Shipbuilding Industrial Base. PSAD-75-44. February 12, 1975. 57 pp.

Agency Sponsoring Evaluation: Procurement and Systems Acquisition Division, GAO.

Agency Managing Program: Maritime Administration, Dept. of Commerce.

Program(s) Evaluated: Construction-Differential Subsidies (11.500); Capital Construction Funds (11.508).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Merchant Marine Act of 1936 (P.L. 74-835; 46 USC 1101); Merchant Marine Act of 1970 (P.L. 91-469).

Public Availability: GAO, Distribution Section.

National goals for both the shipbuilding industry and merchant fleet could be achieved more effectively and economically if the Maritime Administration had the authority and flexibility to approve, in some circumstances (1) subsidized ship construction in U.S. yards for foreign-flag operation, and (2) subsidized U.S.-flag operation of foreign-built ships. Market stability could be achieved by adjusting the construction subsidy program to complement changes in Navy and domestic merchant shipbuilding, the other two principal sources of business for the shipbuilding industry. The current construction subsidy program tends to aggravate, rather than relieve, peaks and valleys in U.S. shipbuilding activity.

Department of Health, Education, and Welfare**E00500-002**

Decision-Making and Information Utilization in Three Public Health Service Regional Offices: final report. Abt Associates Inc., Cambridge, Mass. September 7, 1973. 255 pp.

Agency Sponsoring Evaluation: Office of the Assistant Secretary for Health, PHS.

Agency Managing Program: Office of the Assistant Secretary for Health, PHS.

Program(s) Evaluated: Program Management, Health (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, as amended sec. 513 (42 USC 2296).

Public Availability: NTIS, Order No. PB 239 928/5ST.

The report on Regional Office decision-making focuses on an analysis of the Public Health Service component of the Kansas City, Philadelphia, and Boston Regional Offices. Six areas of decision making are studied: staff management and personnel matters, management planning activities, project grants management activities, and intergovernmental and private sector relations. Characteristics of the decision making process and information resources at each center are discussed and depicted through tables and figures of the data compiled from the study survey.

E00500-003

The Role of Health Services Administration. Robert F. Allison. Program and Bureau of Hospital Administration, School of Public Health, University of Michigan. June 1974.

Agency Sponsoring Evaluation: Office of the Assistant Secretary for Health, PHS.

Agency Managing Program: Office of the Assistant Secretary for Health, PHS.

Program(s) Evaluated: Program Management, Health (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, as amended (42 USC 201 et seq.).

E00500-004

A Study of the Systems Development Project. Abt Associates Inc., Cambridge, Mass. June 1973.

Agency Sponsoring Evaluation: Office of the Assistant Secretary for Health, PHS.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Maternal & Child Health (13.232); Program Management, HSA (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act, Section 513 (42 USC 229 G).

E00500-005

A Management Plan for the Regional Office Technical Assistance Effort. Macro Systems Inc., Silver Spring, Md. May 1974. 47 pp.

Agency Sponsoring Evaluation: Office of the Assistant Secretary for Health, PHS.

Agency Managing Program: Office of the Assistant Secretary for Health, PHS.

Program(s) Evaluated: PHS Regional Programs (13.000); Program Management, Health (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 513 (42 USC 229G).

E00500-008

Forward Plan for Health: FY 1977-81. DHEW Publication No. (05) 76-50024. June 1975. 259 pp.

Agency Sponsoring Evaluation: Office of the Assistant Secretary for Health (PHS), HEW.

Agency Managing Program: Office of the Assistant Secretary for Health (PHS), HEW.

Program(s) Evaluated: Program Management, Health (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, as amended (42 USC 201 et seq.).

This report presents the Forward Plan for Health for fiscal years 1977-1981. The plan discusses a) a specific set of problems, b) the representative roles and responsibilities of the concerned parties, c) Public Health Service objectives and strategies, and 3) proposed plans and special initiatives. Events of particular relevance to health concerns were: the President's summit conference on inflation; the fact that costs/prices in the health

sector continued to outstrip the CPI; a significant improvement in automobile-related mortality and morbidity statistics; and the enactment of several significant pieces of health legislation. Congress did not pass some pieces of legislation which were assumed would be enacted, and in one instance, a piece was vetoed by the President. Congress turned its attention to various proposals to provide health insurance for the unemployed. The role of the courts in affecting health care policy continued to grow, principally in implementation of Medicare and Medicaid utilization review regulations, care standards for institutionalized individuals, sterilization of minors or incompetents, and free services from Hill-Burton financed hospitals. Major themes discussed in the plan are knowledge development, prevention, improvement of the health care system, assuring the quality of health care, national health insurance, tracking and evaluation, and management.

E00500-032

Evaluation of Lead-Poisoning Prevention Programs. Benjamin C. Duggar. Bio Dynamics, Inc., Cambridge, Mass. February 1972. 3 vols. (351 pp. + appendixes).

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: Childhood Lead-Based Paint Poisoning Control (13.266).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Lead-Based Paint Poisoning Prevention Act, Title I, as amended (P.L. 93-151; 42 USC 480).

Public Availability: NTIS, Order Nos. PB 215 255/1 (vol. 1); PB 215 256/9 (vol. 3); Bio Dynamics, Inc. (vol. 2).

Volume I, Program Directory, of the final report of this survey includes a detailed description of the procedures carried out in conducting the survey. Questionnaires were sent to the health agency in each of 147 cities having 100,000 or over populations which had jurisdiction in that city. Furthermore, nine cities of populations of 100,000 were selected for in-depth analysis of their programs. Descriptions of these analyses are included in this volume. Also presented in this volume is a directory, city by city, state by state, of the present status of the awareness or movement against lead paint poisoning. Appendixes A through C present sample questionnaires: the lead-based paint poisoning program questionnaire, the health or community agency questionnaire, and the housing or enforcement agency questionnaire. Volume III of the final report of this study includes a summary of the evaluation study, an evaluation of nine on-going programs, an assessment of the magnitude of the problem, a determination of replicable and effective program elements, and recommendations for future action. Results indicate a widespread problem with differing degrees of severity on a regional basis. Programming activity is evident but not proportionate to the real problem. There appears to be confusion about techniques in screening and laboratory analysis and great differences in program approach. Only two programs, New York and Chicago, could be termed comprehensive and effective.

E00500-034

Evaluation of Drug Education Programs. R. H. Hamlin. Macro Systems, Inc., Silver Spring, Md. June 1972. 3 vols. (150 pp. + appendixes).

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Alcohol, Drug Abuse, and Mental Health Administration, PHS; Office of Education, HEW.

Program(s) Evaluated: Drug Abuse Education (13.275); Drug Abuse Prevention (13.420).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Comprehensive Drug Abuse Prevention and Control Act of 1970, Title I (P.L. 91-513); Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Part B, Title III (P.L. 90-574; 42 USC 2681 et seq.); Drug Abuse Office and Treatment Act of 1972, Title IV, (P.L. 92-255, sec. 410).

Public Availability: NTIS, Order Nos. PB 213 649/7; PB 213 650/2 PB 213 651/3.

Volume I, the main report on HEW Drug Education Programs, describes the overall objectives of the study, procedures used in carrying it out, and current issues and recommendations made by the investigators. Appendix A of this volume presents definitions of terms used in the entire report. Volume II of this report presents a catalog of HEW Drug Education Programs, including a listing of drug education projects supported by the National Institute of Mental Health, listings of drug education projects supported by the Office of Education, and listings of drug information and materials disseminated by the National Clearinghouse for Drug Abuse Information. Volume III presents a detailed summary of the project methodology. It also includes the following appendixes: Suggested criteria for evaluation of program structure and process; Interview and evaluation guide for assessment of HEW drug education and training projects and materials; Interview guide for conducting in-depth study of impact of drug education on six communities; Youth and transmitter questionnaires; Conceptual framework for delineating categories of persons by individual modes of adaptation to society; Definitions of transmitter categories and stratification criteria and sampling plan for the national study of transmitters; Screening questionnaire 'A' used in the national study of transmitters and proposed in-depth questionnaire 'B'.

E00500-036

Clinical Laboratory Performance Analysis Using Proficiency Test Statistics. Technical Analysis Division, National Bureau of Standards. July 1972. 28 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Bureau of Laboratories, CDC.

Program(s) Evaluated: Program Management, Bureau of Laboratories, (CDC) (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, Title III (42 USC 241 et seq.).

Public Availability: NTIS, Order No. PB 213 287/6.

The study reviews the first two years (July 1969 to October 1971) of a proficiency testing program for

laboratories licensed under the Clinical Improvement Act of 1967 on the basis of data collected by the Center for Disease Control (CDC) as part of their regulatory authority. The major study effort was associated with statistical analyses of the two sets of data to: evaluate the temporal effect of continued participation in the CDC proficiency testing program; describe the population of licensed (interstate) laboratories; determine, through one-way analyses of variance, whether the mean differences associated with the various levels of each independent variable were significantly different; contrast the relative performance of licensed and volunteer laboratories; and determine if bias is introduced by pooling all analytical methods in calculating the reference laboratory sample target value.

E00500-037

Cost Analysis of Blood Banking Alternatives. T. Miller and M. Kin. Technical Analysis Division, National Bureau of Standards. July 1972. 123 pp. + appendixes.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Bureau of Biologics, FDA.

Program(s) Evaluated: Biologics, FDA (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, sec. 351 (42 USC 262); Federal Food, Drug and Cosmetic Act, sec. 505 (21 USC 355).

Public Availability: NTIS, Order No. PB 213 359/3.

The study reviews the present state of blood donations and blood banks in the nation today. It offers several alternatives for upgrading the present situation and makes several salient recommendations, including: (1) The HEW should assure responsibility for development and/or adaptation of a model state standard which serves to regulate the operations of blood handlers not engaged in interstate commerce and not licensed/accredited by the AABB or the ANRC. (2) A nationwide frozen blood system should be considered from the standpoint of patient risk and equitable distribution of costs among blood recipients. Alternatively, the poorly screened paid donor should be eliminated through legislation regulation, economic boycott, or other means, but only in conjunction with establishment of a regionally-controlled donor registry, call-up recruitment system and either transfusion review of 28-day CPD certification programs. (3) Any transition to a frozen blood system must be accompanied by recruitment or retraining of technicians to staff the facilities.

E00500-038

A Feasibility Study of Integrating Federally Funded Local Health Services. Geomet Inc., Rockville, Md. September 1972. 99 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Health Resources Administration, PHS.

Program(s) Evaluated: Comprehensive Health Planning--Areawide Grants (13.206); Comprehensive Health Planning--Grants to States (13.207).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, Title III, sec. 314(b), as amended (P.L. 89-749; 90-174; 91-515; 93-45; 42 USC 246); (P.L. 94-63).

Public Availability: NTIS, Order No. PB 214 262/8 or PB 220 848.

The study was carried out to determine the feasibility of integrating Federally-funded health services in local areas. Statistical analysis of data gathered showed that the efficiency of health care delivery projects is strongly related to the degree to which the services are coordinated. There is some evidence suggesting that a less densely populated community is likely to have greater coordination of health care. Qualitative analysis concluded that Federal agencies play a minimal role in fostering coordination among projects, and between projects and other health care providers. The Federal regulations and the HEW guidelines that affect the local health care delivery services are cumbersome and inhibit administrative consolidation of categorical service projects. One of several recommendations made by the study was: funding procedures should require serious consideration of the potential interrelationships among disparate projects in the same community when initial or renewal grant applications are made.

E00500-039

A Study of Hospital Productivity Trends for Short-Term General Hospitals. Abt Associates, Inc., Cambridge, Mass. December 1972. 90 pp. + appendixes.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of the Assistant Secretary for Health (PHS), HEW.

Program(s) Evaluated: Program Management, ASH (13.000); Health Manpower and Training (13.000).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, as amended (42 USC 201 et seq.).

Public Availability: NTIS, Order No. PB 222 211/5.

The study consists of a review of the literature in the field of hospital services efficiency, an annotated bibliography of sources pertinent to the review of hospital productivity, and an analysis of data on hospital productivity, 1964-71, from the Hospital Administrative Services program offered by the American Hospital Association to subscribing member hospitals. The objectives were to develop estimates of productivity trends in hospitals and to identify indicators of productivity which could be used in future measurements. The literature review revealed very little research directly relevant to either the measurement of hospital productivity or its change over time. The all-department productivity change for years 1969-71 was estimated to be +0.4%. Productivity in most of the hospital departments studied continued to move as in 1964-68. Nursing, medical records, and delivery room and administrative continued to decline, while radiology, laboratory, and laundry continued to rise. For all hospital departments taken together, labor productivity declined 0.7% between 1964-68 and increased 0.4% per year between 1969-71.

E00500-040

Training Program in Health Program Evaluation Techniques. D. F. Jones. Abt Associates, Inc., Cambridge, Mass. December 1972. 18 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of the Assistant Secretary for Health (PHS), HEW.

Program(s) Evaluated: Program Management, Health (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, as amended sec. 513 (42 USC 229b).

This report includes an introduction; concepts and approach; underlying emphases; evaluation concepts; approaches to the training process; planning and design; implementing the training sessions one through three; findings and recommendations--value and purpose of training workshops, alternative formats, procedures and mechanics, general recommendation.

E00500-043

An Evaluation Study of the Special Currency Program in Yugoslavia. Ralph Berry. Harvard University School of Public Health, Boston, Mass. January 1973. 283 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of the Assistant Secretary for Health (PHS), HEW.

Program(s) Evaluated: Program Management, Health (13.000); Food For Peace (19.000).

Budget Function/Subfunction: Health planning and construction (554); Foreign economic and financial assistance (151).

Program Authorization: Agricultural Trade Development and Assistance Act of 1954, as amended (P.L. 83-480).

Public Availability: NTIS, Order No. PB 212 132/3.

The Special Foreign Currency Program (SFCP) is an international health research program financed out of U.S.-owned foreign currency. This study is an evaluation of the impact of the SFCP on both the U.S. and on one participating country, Yugoslavia. An analysis of financial data showed that by far the most money is spent for epidemiological research. Reviewers concluded that the program is characterized by amendments and cost overruns, that they deal with important problems, but not with those of highest priority in either country. In general the impact on the scientific establishment was considered to be somewhat greater than the impact on the health care system for each country. Furthermore, the reviewers expressed serious doubts as to whether fifteen of the projects ever had any potential for making contributions to U.S. domestic health objectives. Many problems have arisen because the project approval process is often exceedingly slow, and because the monitoring role of project officers is often less than would be appropriate.

E00500-044

Evaluation of Hospital Productivity Trends. Geomet Inc., Rockville, Md. January 1973. 42 pp. + appendixes.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of the Assistant Secretary for Health (PHS), HEW.

Program(s) Evaluated: Health Manpower and Training (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act, as amended (42 USC 201 et seq.).

Public Availability: NTIS, Order No. PB 225 768/1.

Objectives of this study were to summarize existing research in hospital productivity; to develop estimates of productivity trends in routine, quality-constant activities based on the previous research; and to identify indicators of productivity which could be used in future measurements. Investigators conclude that the rapidly rising cost of hospital care is not entirely attributable to rising prices of personnel. Using hours of labor input per patient day revenue as the basic aggregate measure of productivity, the study indicates that while several hospital services experienced considerable increases in productivity, others showed substantial decreases. General hospital services show declining trends in productivity for most hospital sizes from 1964 to 1971. Laboratory and x-ray enjoy appreciable increases, and productivity of pharmaceutical, administrative, and nursing services declined. There did not appear to be a consistent relationship between productivity and hospital size. More detailed and accurate data concerning labor input, costs, and type of services provided is necessary for reliable productivity rates.

E00500-045

An Evaluation of the Effectiveness of Loan Forgiveness as an Incentive for Health Practitioners to Locate in Medically Underserved Areas. CONSAD Research Corp., Pittsburgh, Pa. January 1973. 124 pp. + appendixes.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of the Assistant Secretary for Health (PHS), HEW; Bureau of Health Manpower, HRA.

Program(s) Evaluated: Health Manpower and Training (13.000); Health Professions Student Loans (13.342).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title VII, as amended (42 USC 294 et seq.); Nurse Training Act of 1971 (P.L. 92-158).

Public Availability: NTIS, Order No. PB 231 244/5.

The study was designed to evaluate the probable effectiveness of Federal loan forgiveness programs as incentives for health practitioners to locate in medically underserved areas. Ten states were selected (Arkansas, Georgia, Illinois, Kentucky, Minnesota, North and South Carolina, North Dakota, Virginia and West Virginia) which had loan forgiveness programs both in 1960 and 1970. Recommendations include the following: observe results obtained from funding of Health Training Improvement Act of 1971; modify current provisions of loan forgiveness legislation by further increasing rate and amount of forgiveness; and look for a new program to attract physicians to shortage areas.

E00500-046

Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid. Macro Systems Inc., Silver Spring, Md. March 1973. 85 pp. + appendixes.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Bureau of Community Health Services, HSA; Social and Rehabilitation Service; HEW.

Program(s) Evaluated: Community Health Centers (13.000); Medicare (13.800); Medicaid (13.714).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246); P.L. 94-63; Social Security Act, Title XI, as amended (42 USC 1396 et seq.); Social Security Amendments of 1965, Title XVIII, Part A (P.L. 89-97; 42 USC 1395 et seq.).

Public Availability: NTIS, Order No. PB 224 281/6.

As one element of a strategy to stimulate better health services to under-served populations, the Health Services and Mental Health Administration of the Department of Health, Education, and Welfare awarded developmental grants to several innovative forms of ambulatory care centers. As centers matured, it was expected that they would generate income from other sources. In particular, it was expected that they would be able to derive a major share of their income requirements from Medicare and Medicaid reimbursements. These expectations have generally not been met. This study was to identify the extent of the shortfall and causes; and to identify ways in which HSMHA-funded ambulatory care centers can secure the maximum amount of third-party reimbursements to which they are legally entitled. Major constraints in third-party collections were found to center around provider status, benefit structures, patient eligibility, rate structures, and billing efficiency. Clarification of the status of ambulatory care centers under the Medicare program is recommended; center performance in understanding benefit structures, cost accounting, and billing efficiency also must improve.

E00500-047

A Survey of the Effectiveness of Coordination of HEW Funded Programs Related to Mental Retardation. Community Sciences, Inc., Philadelphia, Pa. March 1973. 45 pp. + appendixes.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Alcohol, Drug Abuse, and Mental Health Administration, PHS.

Program(s) Evaluated: Community Mental Health Centers (13.240); Program Management, NIMH (13.000).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688).

The purpose of the study was to collect and evaluate data of existing coordination mechanisms at Federal, regional, state and local levels regarding success of Federal programs for consumers of retardation services. The study includes background and summary, findings, conclusions, and recommendations. It discusses coordination; the states and the district; the regional office;

services delivery and needs; analysis of agency responses and family experiences.

E00500-048

Evaluation of the Health Facilities Building Process. Washington, D.C.: Perkins & Will; Cresap, McCormick and Padget Inc.; McKee-Berger Mansueto Inc. March 1973. 5 vols. (750 pp.).

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Health Facilities Construction--Technical Assistance (13.223); Health Facilities Construction--Grants to States (13.220).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, Title VI, as amended (P.L. 88-443; P.L. 91-296; P.L. 93-45; 42 USC 291); P.L. 93-641.

This extensive report examines the existing process for acquiring and building a new health facility. It focuses on the concepts and innovations, and the use of life cycle cost analysis. Included are lists of Federally assisted construction programs with specific policies and extensive recommendations about management of the building process along with particular innovations of technique and project organization.

E00500-049

Development of a Conceptual Approach to Strategic Health Planning. AVISO Incorporated, Atlanta, Ga. April 1973. 71 pp. + executive summary (10 pp.).

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Assistant Secretary for Health (PHS), HEW; Bureau of Health Planning & Resources Development, HRA.

Program(s) Evaluated: Program Management, Health (13.000); Comprehensive Health Planning--Areawide Grants (13.206); Comprehensive Health Planning--Grants to States (13.207).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, Title III, sec. 314(d), as amended (42 USC 246); P.L. 93-641.

Public Availability: NTIS, Order No. PB 239 772.

This paper summarizes the requirements for strategic planning for health care in the United States. The purpose of the project was to improve the U.S. Health Care System by defining the requirements, design, and initial implementation projects for a unified process of strategic health planning and evaluation. The intended readers of the Summary are those within HEW who can contribute effectively to the realization of an operational process based on concepts defined in the final project report.

E00500-050

The Design of a Health Information System (HIS) Model for the Oregon Department of Human Resources: an exploratory study. A. Donald Eichman. Program Evalua-

tion and Development, Department of Human Resources, Salem, Ore. April 1973. 80 pp. + appendices.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of the Assistant Secretary for Health (PHS), HEW; Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Program Management, Health (13.000); Comprehensive Health Planning--Areawide Grants (13.206); Comprehensive Health Planning--Grants to States (13.207).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, Title III, sec. 314(d), as amended (42 USC 246); P.L. 93-641.

Part I of this study includes a discussion of the problem, background, and organization of final report; health care delivery system; two models--conceptual model, existing health delivery system; method of study; review of literature and consultation, survey of health services, development of evaluation model, reporting system, defining the population, and summary statement. Part II treats the system objectives, data base concept, information organization, operating environment, system model, the IM application, dictionary system, and satellite dictionaries.

E00500-051

Satellite Clinics--Delivery Techniques in Medical Clinics in Relation to Health Needs of Underserved Areas. Alex Mikhalevsky. American Association of Medical Clinics, Alexandria, Va. April 1973. 21 pp. + appendices.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Community Health Centers (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Centers Act, Title III, sec. 314e, as amended (P.L. 89-749; 42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

Part I of this study treats: description of satellite clinics--the need, response to the need, types of systems with satellites; characteristics of health care systems with satellites links--staffing, services, physical plant and facilities, administration; the past and the future of satellite clinics--what kind of satellites are in the public interest, medical need as criterion for establishment of satellites, limitation of geographic distribution of satellite clinics; conclusion; bibliography. Part II includes: profiles of 22 health care systems with satellite medical clinics; specialties represented, type of physical plant, number of patient visits, provision for after-hours care, emergency care, services provided, special hospital relationships.

E00500-052

A Proficiency Test Assessment of Clinical Laboratory Capability in the United States. P. W. Finkel and T. R. Miller. Technical Analysis Division, National Bureau of Standards. May 1973. 140 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Food and Drug Administration, Center for Disease Control, PHS.

Program(s) Evaluated: Program Management FDA (13.000); Program Management, Bureau of Laboratories CDC (13.000); Program Management, ASH (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act (42 USC 201 et seq.); Federal Food, Drug, and Cosmetic Act (21 USC 321 et seq.).

Public Availability: NTIS, Order No. PB 246 556.

Introduction; Methodology--types of clinical laboratories represented, selection of contractor for sample materials, specifications for sample materials, execution of the proficiency test program; Clinical chemistry--definition, topics addressed, analysis-plan, reporting of results, precision and accuracy, medical acceptability and usefulness of results, target value surrogates, comparison with other proficiency surveys; Hematology--precision and relative accuracy, acceptability of laboratory group precision; Microbiology--background, overall performance, comparison of group performance, summary of group identification; Summary of results--clinical chemistry, target value surrogates, hematology, microbiology, conclusions, limitations; Recommendations and their implementation.

E00500-053

FY 1974 Evaluation Plan: Indian Health Services, Health Services and Mental Health Administration. Richard Leatherwood: AVISCO, Inc., Atlanta, Ga. May 1973. 6 pp. + appendixes.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Services (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a.

Study contents are: purpose; rationale; program activities; data base matrix; recommendations on the strategy statement. Appendixes include: activity map, data sources, evaluation measurements; glossary of data systems, financial data systems, on-going programmatic evaluations, special projects and tribal evaluations; data availability matrices; project summaries; Indian Health Service dental data system, Environmental Health Services reporting system, ambulatory patient care data system, in-patient data system, contract health services: in-patient data system and out-patient data system.

E00500-054

Medical Clinics Manpower Utilization. James B. Cobb. American Association of Medical Clinics, Alexandria, Va. June 1973. 84 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Health Manpower and Training (13.000); Community Health Centers (13.000).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

Public Availability: NTIS, Order No. PB 231 584/4.

Manpower utilization constitutes a critical element in the structuring of the health care delivery system. The study addresses the utilization of non-physician personnel in member clinics of the American Association of Medical Clinics. The group consists of approximately 300 group practice, predominately outpatient, clinics; some 10,000 practicing physicians are represented. Member clinics must have a formal legal organization; the majority operate on a fee for service basis. Most clinics are multi-speciality with laboratory and x-ray facilities. The data gathered for the study suggest numerous areas for further exploration.

E00500-056

Evaluation of Educational Achievement Test Measures as an Eligibility Criterion in the Better Schools Act Formula. Carol A. Vale and John C. Bianchini. Educational Testing Service, Princeton, N.J. August 1973. 60 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educationally Deprived Children--Local Educational Agencies (13.428); Program Management; OE (13.000).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1965, Title I (P.L. 89-10, as amended by P.L. 93-380; 20 USC 241a-241m).

Public Availability: NTIS, Order No. PB 240 651/OST.

The report contains the selected descriptive analysis of the Anchor Test Study. Data originate in the public school segment of the national sample of grades 4, 5, and 6 who were administered the appropriate level of the Metropolitan Achievement Test (MAT): Reading, Form F. A description of the determination of the data base, of the analyses and results, and of the ability to generalize the results for Northern and Southern states comprises the project report. Descriptive tables are: relationships between test performance and income level; relationships between test performance and degree of urbanization of school location; correlation matrices; distribution for selected subgroups (word knowledge, reading comprehension, total reading score). All tables are broken down by grade.

E00500-057

VD Control--A National Strategy Under Review. Vol. 1., Information Materials and Campaigns; Vol. 2., Client Reaction to Treatment; and Vol. 3., The Private Physician. KORBA Associates, Inc., Washington, D.C. August 1973. 3 vols. (340 pp. + appendixes).

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Center for Disease Control, PHS; Office of the Assistant Secretary for Health (PHS), HEW.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283); Program Management, Health (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III, Parts A, B, C, F, & Q, (42 USC 241-271 and 42 USC 229b).

Public Availability: NTIS, Order Nos. PB 240 555/3ST (vol. 1); PB 240 556/1ST (vol. 2); PB 240 557/9ST (vol. 3); PB 240 554/SET.

One of the highest priorities in the nation's effort to curtail the incidence of venereal disease is the discovery and effective use of means for communicating the facts of VD to high-risk populations. Volume 1 of the present study, *Information Materials and Campaigns*, is an attempt to evaluate the effectiveness of selected venereal disease information materials in the segments of our population which have the highest incidence of venereal disease. The technique employed in this study--the use of peer evaluation groups--was a relatively new one in this context, and provided good indications of how and why certain VD informational materials were more effective than others. A second part of the report describes VD information campaigns: how they are planned, implemented, and evaluated. In view of the steadily escalating incidence of venereal disease, both public and private health clinics are straining to meet the demand for VD treatment. Volume 2 of the study, *Client Reaction to Treatment*, examines treatment systems in four cities, from the perspective of the patients themselves, and presents findings and recommendations derived from on-site interviews. It is estimated that private physicians see approximately 80 percent of venereal disease victims in the United States today. Yet morbidity statistics reflect that no more than 34 percent of the reported cases are treated by private physicians. This discrepancy is one of the major evidences of the underlying difficulties in today's venereal disease control strategy: that physicians are reluctant to report the cases of VD which they treat to the public health authorities. Volume 3 of the report, *The Private Physician*, sought to isolate the reasons why physicians do not report the incidence of venereal disease among their patients. The data and information it contains were obtained through personal interviews with physicians who practice in the four cities visited for the present study.

E00500-058

Evaluation of Selected Components of Office of Education 'Help Communities Help Themselves' Drug Abuse Prevention Program. C. A. Brown. General Research Corporation, McLean, Va. October 1973. 3 vols. (185 pp.).

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of Education, HEW; ADAMHA, PHS.

Program(s) Evaluated: Drug Abuse Prevention (13.420); Drug Abuse Community Service (13.235).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Drug Abuse Education Act of 1970 (P.L. 91-527; 21 USC 1002-1004).

Public Availability: NTIS, Order Nos. PB 240 523/1ST (vol. 1); PB 240 525/9ST (vol. 2); PB 240 557/6ST (vol. 3); PB 240 552/SET.

This report consists of an Executive Summary (volume 1), Evaluation Methodology and Findings (volume 2), and Questionnaires (volume 3). In 1972, OE sought a means whereby communities might be helped to mobilize their own financial and human resources to respond effectively to their own drug problems and perhaps provide mutual help to one another. The search for a feasible strategy led to the establishment of the Help Communities Help Themselves (HCHT) Program. The principal purpose of this evaluation was to determine the effectiveness of the HCHT Training Program, where effectiveness was to be measured in terms of activity levels produced by the training process. The major dependent variables were defined. The general objective of the study was to provide useful information regarding the effectiveness of various components of the HCHT program. GRC field teams spent two weeks (a full training cycle) at each of the two centers, observing the training processes. Pre-training and post-training questionnaires were administered to trainees at each center. Concurrently, other field team members traveled to the local communities of teams trained six to nine months before (CT, or Comparison Trained teams) and to the communities of teams who were rejected for training a year ago (CR, or Comparison Rejected teams) and administered similar questionnaires to determine how well these trained teams actually operated back home in their communities. Comparisons were made between the pre- and post-training test responses (short-term impact) and the trained (CT) and rejected (CR) team test responses (long-term impact).

E00500-059

Factors Affecting Specialty Choice and the Interrelationship Between Specialty Choice and Geographic Location. CONSAD Research Corporation, Pittsburgh, Pa. October 10, 1973. 182 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of the Assistant Secretary for Health (PHS), HEW.

Program(s) Evaluated: Health Manpower and Training (13.000).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Comprehensive Health Manpower Training Act of 1971 (P.L. 92-171).

Public Availability: NTIS, Order No. PB 240 366/5ST.

The report presents an evaluation of medical school graduates' choices of medical specialty. The report analyzes the factors that influence and/or predict specialty decisions for pre- and post-doctoral medical students, and thereby evaluate the probable effectiveness of the Comprehensive Health Manpower Training Act of 1971.

E00500-060

Evaluation of a Selected Sample of Office of Education Community-Based Drug Abuse Prevention Projects. General Research Corporation, McLean, Va. October 1973. 2 vols. (143 pp.).

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Alcohol, Drug Abuse, and Mental Health Administration, PHS.

Program(s) Evaluated: Drug Abuse Prevention (13.420); Drug Abuse Community Service (13.235).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Drug Abuse Education Act of 1970 (P.L. 91-527; 21 USC 1002-1004).

This report focuses on 10 case studies to examine the effectiveness of selected components in community drug abuse prevention programs. It looks at the attitudes of the staff, the activities in the program, and the community resource people. It identifies strengths and weaknesses of the individual projects, with generalizations for drug abuse prevention programs. Tables with project statistics, appendices with sample questionnaires, and charts delineating characteristics appear in the report.

E00500-061

Capital Financing Study. CONSAD Research Corp., Pittsburgh, Pa. October 1, 1973. 264 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Health Resources Administration, PHS.

Program(s) Evaluated: Health Facilities Construction Grants (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, Title VI, as amended (P.L. 88-443; P.L. 91-296; P.L. 93-45; P.L. 93-641; 42 USC 291 and 42 USC 300K et seq.).

Public Availability: NTIS, Order No. PB 238 306/5ST.

The adequacy of sources of capital for hospital construction and the options open to the Federal government regarding hospitals' access to capital were analyzed for the period 1973-1983. The focus of the study was on voluntary non-profit hospitals throughout the United States.

E00500-063

The International Dimension, HEW: summary and final report. John L. Swift. Geomet Inc., Rockville, Md. November 1973. 92 pp. + appendixes.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of the Secretary, HEW.

Program(s) Evaluated: Departmental Management, HEW (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Public Availability: NTIS, Order No. PB 239 934/3ST.

The report examines and describes the scope and potential value of Department of Health, Education, and Welfare (HEW) international activities, particularly those concerned with 'knowledge-gaining.' It identifies the opportunities and problems in HEW's international dimension and suggests ways in which knowledge from international sources could most effectively be brought to bear.

E00500-064

Evaluation Study of the Impact of the Special Foreign Currency Health Projects in Yugoslavia and the United States: report of the Yugoslav evaluation team, 1973. 52 pp. + appendix.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of the Assistant Secretary for Health (PHS), HEW.

Program(s) Evaluated: Program Management, Health (13.000); Food for Peace (19.000).

Budget Function/Subfunction: Health planning and construction (554); Foreign economic and financial assistance (151).

Program Authorization: Agricultural Trade Development and Assistance Act of 1954, as amended (P.L. 83-480).

This is part of an evaluation study of HEWIPHS PL-480 projects, involving a general discussion of the impact of the program. The use of excess currency funds for scientific research, begun in 1960, intensified by 1965 when the United States and Yugoslavia agreed on a wider application of these funds for scientific purposes. In the name of the Yugoslav government, the cooperative PL-480 program was directed by the Federal Administration International Scientific, Educational, Cultural and Technical Cooperation (FAISECTC), while the American side was directed by the State Department Bureau for International, Scientific and Technological Affairs and by other interested and supporting agencies of the American Government, such as HEW. This was the first time that the Yugoslav evaluators engaged in such an experience.

E00500-065

An Evaluation to Determine the Effectiveness of Coordination, Administration, and Delivery of Services by a Multi-Service Center in Rural Arkansas. University of Arkansas, Fayetteville, Ark. 1973. 114 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Program(s) Evaluated: Departmental Management, HEW (13.000).

Budget Function/Subfunction: Health planning and construction (554); Social services (506); Health care services (551).

Public Availability: NTIS, Order No. PB 240 390.

This project evaluated the first year attempt at developing a multi-service center to deliver comprehensive social services in rural Arkansas. Recommendations concerning structure, management, training, and information systems are included. Community image, constituent organizations, and consumer attitudes are discussed in depth.

E00500-066

Sixteen Best Practice Techniques in U.S. General and Teaching Hospitals. Abt Associates, Inc., Cambridge, Mass. 1973. 292 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of the Assistant Secretary for Health (PHS), HEW.

Program(s) Evaluated: Health Manpower and Training (13.000).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, as amended (42 USC 201 et seq.).

This study evaluates sixteen best-practice techniques in hospitals around the country. The report identifies and describes each technique and gives a brief, critical documentation of the techniques performance. Of course, some hospitals are more efficient than others, and this report attempts to encourage the diffusion of the most efficient, of 'best-practice,' techniques employed to a greater number of hospitals.

E00500-068

The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health. Jiri Mehnevja. Research Corporation, Pittsburgh, Pa. January 1974. 350 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: National Institute of Drug Abuse, ADAMHA.

Program(s) Evaluated: Drug Abuse Training (13.280).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255, sec. 410); Public Health Service Act, Sec. 303 and 472 (P.L. 78-410; 42 USC 241-242a and 289c).

Public Availability: NTIS, Order No. PB 237 601/OST.

The report studies two training centers administered by the National Institute of Mental Health which provide clinical training to those professionals and paraprofessionals who deal with drug abusers in an effort to determine the impact which these programs had upon trainee knowledge, attitudes, and behavior. The research was done between 1972 and 1973.

E00500-069

Improving Services to Handicapped Children. James S. Kakalik, and others. Rand Corporation, Santa Monica, Calif. Rand R-1420-HEW; Exec. Summary R-142011-HEW. May 1974. 342 pp. main report; 64 pp. executive summary.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Handicapped Innovative Programs--Deaf-Blind Centers (13.445); Handicapped Preschool and School Programs (13.449); Program Management, Education (13.000).

Budget Function/Subfunction: Social services (506).

Program Authorization: Education of the Handicapped Act, Title VI (P.L. 91-230; 20 USC 1411-1414, 1442).

Public Availability: Rand Corporation, Santa Monica, Calif.

This study evaluates current policies and recommends new policies for improved delivery of services to handicapped youth, with emphasis on children with impaired hearing or vision. Over 50 major Federal programs and hundreds of state and local programs spend nearly \$5 billion a year on services to all handicapped youth. Most of the programs are worthwhile but the system could do far better. Any large-scale effort

to improve it must begin with basic service needs, such as prevention, identification of handicapped youth, direction to service providers, medical treatment, corrective aids, special education, counseling, vocational training, job placement, financial assistance, and research and development. The report develops several models of government roles for providing services, and presents an array of recommendations keyed to various levels of effort the government may be willing to undertake.

E00500-071

A Planning Study to Develop a Demonstration Design for Use of Telecommunications in Public Service Delivery. Abt Associates, Inc., Cambridge, Mass. June 30, 1974. 230 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Office of the Secretary, HEW.

Program(s) Evaluated: Departmental Management, HEW (13.000).

Budget Function/Subfunction: Social services (506).

A review of current public service/telecommunications experiments initiates the report. The study then focuses on the development of seven telecommunications services packages amenable to integrated delivery and capable of delivery through telecommunications in a cost-effective manner. Along with a detailed description of each of these packages is an analysis of cost and hardware systems, cost/benefit considerations, institutional relationships, and constraints, implementation of demonstrations, and policy issues regarding the use of telecommunications in integrated service delivery.

E00500-072

An Evaluation of Five Drug Abuse Education Projects: Parts I & II. Robert Jerrett, III. Abt Associates, Inc., Cambridge, Mass. 1974. 96 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: National Institute on Drug Abuse, ADAMHA.

Program(s) Evaluated: Drug Abuse Education (13.275).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Drug Abuse Office and Treatment Act of 1972, Title IV (P.L. 92-255, sec. 410); Comprehensive Drug Abuse Prevention and Control Act of 1970, Title I (P.L. 91-513); Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Part B, Title III (P.L. 90-574).

Public Availability: NTIS, Order No. PB 236 061/8ST.

The report evaluates five NIMH (NIDA)-administered drug education projects for their operations and effectiveness. Findings and recommendations are presented in Part I, while the second section utilizes a process model and specific observations to discuss a variety of dimensions of drug education procedures.

E00500-073

Handbook on the Management of Evaluation Contracts. Jane G. Schubert and Robert E. Krug. American Institute for Research, Washington, D.C. March 1975. 175 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Assistant Secretary for Planning and Evaluation, HEW.

Program(s) Evaluated: Departmental Management, HEW (13.000).

Budget Function/Subfunction: Health planning and construction (554).

A handbook designed primarily for use by new project officers in the Department of Health, Education, and Welfare, who are assigned the responsibilities of planning, managing, and disseminating evaluation studies. It provides practical guidance in all aspects and phases of evaluation study relations, designs, executions and follow-up.

E00500-074

Index and User's Guide for Evaluation Documentation Center. Bio-Dynamics Research Division. February 1975. 81 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Assistant Secretary for Planning and Evaluation, HEW.

Program(s) Evaluated: Departmental Management, HEW (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Public Availability: NTIS.

This Index and Users Guide represents an expansion and refinement of the original system to include an index for referencing completed contract evaluation studies in the other areas of HEW activity, including education, income maintenance, and social services as well as health, enabling a user to discover and access studies related to specific areas of interest that have been sponsored by a broad range of agencies.

E00500-076

Income Transfers as a Public Good: An Application to AFDC. Larry Orr. May 1975. 35 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Assistant Secretary for Planning and Evaluation, HEW.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (State Aid) (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; Titles I, IV Part A, X, XI, XIV, XVI; 42 USC 602 et seq., 1301 et seq., 1351 et seq.; P.L. 86-571; 24 USC 321 et seq.).

Public Availability: Copies available on request from OASPE, HEW.

This study examines the causes of the wide variation among Aid to Families with Dependent Children (AFDC) benefits across states, using a model in which the preferences of taxpayers are assumed to dictate state decisions on benefit levels. Approximately three-fourths of the variations in benefits among states is found to be explained by taxpayer income, absolute number of recipients, the Federal matching rate (which has both 'prime' and 'income' effects), regional location, racial

composition of caseload, and recipient proportions of the populations. The findings are useful both for understanding the existing structure of benefits and for analysis of policy alternatives.

E00500-077

An Employee Evaluation of the HEW Departmental Library. Walton Francis and Ronald Lang. March 1974. vol. I (72 pp.) vol. II (90 pp.).

Agency Sponsoring Evaluation: Assistant Secretary for Planning and Evaluation, HEW.

Agency Managing Program: Assistant Secretary for Planning and Evaluation, HEW.

Program(s) Evaluated: Departmental Management, Office of the Secretary, HEW (13.000).

Public Availability: Copies available on request from OASPE, HEW.

This report is based on a survey of the job-related library service needs of professional employees in the Southwest Complex. The survey found a wide range of needs--nine percent never use the departmental library, twenty-six percent use it 21 or more times a year. Substantial dissatisfaction was voiced with the physical appearance, services, and quality of the collection. Alternatives such as interlibrary loans were not found desirable. Recommendations were made to expand both staff and collection, particularly for current, policy-related materials.

E00500-100

Follow-up Review of Migrant Children Education, Office of Education. Audit Control No. 13-50003. December 27, 1974. 15 pp. + exhibits.

Agency Sponsoring Evaluation: HEW Audit Agency.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educationally Deprived Children-Migrants (13.429).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1965, Title I, as amended (P.L. 89-10; P.L. 89-750; P.L. 93-380; 20 USC 241b).

This report follows up the July 1972 summary report on the Migrant Children Education Program and comments on several additional current problem areas. In the previous report 23 recommendations were made; of these, the Office of Education took action on ten, and initiated action on four others. These were major improvements in program reviews and technical assistance. Actions on the nine remaining recommendations were either not taken, deferred, or lacked sufficient evidence to conclude that adequate action had been taken. Weakness still persists in identifying program participants, reviewing state project allocations, monitoring procedures and controls over financial management, and providing for meaningful evaluations of program activity. It is recommended that these remaining weaknesses be corrected. Current auditing of targeting and information retrieval has resulted in these additional recommendations: 1) incorporation of a system of checks and reviews over record transfer data, with consideration of data privacy issues; 2) development of standard eligibility determination procedures and formats, and assurance of enforcement; 3) development of an integrated information system for manager needs; and 4)

requirement of systematic program analysis to improve staff ability to plan, monitor, and evaluate activities.

E00500-101

Review of Child Care Services Provided Under Title IV, Social Security Act. Audit Control No. 13-50009. November 4, 1974. 51 pp.

Agency Sponsoring Evaluation: HEW Audit Agency.

Agency Managing Program: Social & Rehabilitation Service, HEW; Office of Child Development, OHD.

Program(s) Evaluated: Work Incentives, Child Care (13.748); Public Assistance Maintenance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act, Title IVA, as amended (42 USC 602 et seq.).

This report evaluates child care services provided under Title IV of the Social Security Act. The nine states selected for review received approximately \$131 million to provide 173,000 child care years of service. Total child care services have grown from 258,000 in fiscal 1971 to 777,000 in fiscal 1974, at a Federal cost of \$700 million. Significant improvements can be made in three aspects of program administration: 1) systematic measurement of the effectiveness of child care programs in accomplishing objectives; 2) upgrading compliance with Federal, state, and local child care service standards, and 3) control of payments to providers under contracts. Confusion over the roles of the Social and Rehabilitation Service (SRS) and the Office of Child Development has particularly contributed to compliance problems, and should be resolved. The following three additional recommendations are made for the SRS. The SRS should assist the states in implementing systems for the disclosure of the extent of child care accomplishments. The SRS should develop a program to assist states in upgrading compliance with Federal, state, and local service standards. The SRS should also provide policy guidance to states for improving control of payments to contracted providers.

E00500-102

Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health. Audit Control No. 40007-12. April 2, 1974. 32 pp.

Agency Sponsoring Evaluation: HEW Audit Agency.

Agency Managing Program: National Institutes of Health, PHS.

Program(s) Evaluated: Program Management, NIH (13.000); Clinical Research (13.333).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 301, as amended (P.L. 78-401; 42 USC 241).

This report reviews various medical-related and administrative activities conducted at the Clinical Center, National Institutes of Health (NIH), and makes recommendations for their correction. Center and other NIH personnel were not always obtaining written consent to use investigational drugs, to obtain blood donations from underage employees, or to perform certain medical procedures; the Blood Bank Department was dispensing blood without the required physicians' signatures; and physicians were not certifying the correctness of the blood group at the time of the patient transfusion, and returning the blood container tags that provide blood

reaction information to the Blood Bank. Pharmacy Department procedures were also not being adequately followed, including issuing investigational drugs to some physicians not specifically authorized to receive them. Annual savings in overtime costs of about \$90,000 could be achieved through modification of current scheduled tours of duty in certain Clinical Center components with minimal adverse effects on operations. Clinical Center components were also not obtaining written approval for non-emergency overtime work and made unauthorized, erroneous, and questionable overtime payments. In addition, accounting and physical control over Clinical Center stock was inadequate.

E00500-103

Report on Review of the Division of International Operations, Social Security Administration. Audit Control No. 13-40002. October 24, 1973. 13pp. + appendix.

Agency Sponsoring Evaluation: HEW Audit Agency.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Program Management, SSA (13.000).

Budget Function/Subfunction: Public assistance and other income supplements (604).

This report reviews the activities of the Division of International Operations (DIO), which operates as the program center for Social Security benefits to individuals living outside the United States. Efforts to establish acceptable forms of evidence to support international benefit entitlement and to keep foreign beneficiaries informed of their obligations improved both the accuracy and timeliness of claims. The following recommendations are made for overall program operation. 1) Resume the coding, tabulation, and analysis of beneficiary information and use that information in selecting countries in need of on-site visits. 2) Intensify efforts to record each folder movement in a timely and accurate manner, control only currently active cases as work-in-process, and use the case control system to generate a daily statistical report on work-in-progress by age category and processing location. 3) Establish a quality appraisal function to continually monitor the claims operation, and initiate a thorough review of district office development of foreign claims to identify the underlying causes of the large volume of supplemental requests.

E00500-104

Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration. Internal Correspondence. Audit Control No. 33001. August 11, 1972. 12 pp.

Agency Sponsoring Evaluation: HEW Audit Agency.

Agency Managing Program: Health Services Administration, PHS; Alcohol, Drug Abuse, and Mental Health Administration, PHS.

Program(s) Evaluated: Program Management, ADAMHA (13.000); Program Management, HSA (13.000).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Principal authorizing legislation derived from the Public Health Service Act, as amended, 42 USC 201 et seq.

A review indicates that the Mental Health Study Center reporting system has not provided adequate

information to manage studies conducted by Center personnel. Certain of these studies were not being completed; others were completed long after they were due. In such instances, the investment in studies may be lost or depreciated and, more importantly, the application of research results to practice may be delayed. The Mental Health Services Development Branch has not always forwarded research reports from grantees to the National Clearinghouse for Mental Health Information for intromission into the centralized information service system. The Branch accepted final-report summary-outlines from grantees in lieu of the required full technical reports; and systematic procedures for follow-up with grantees on overdue research reports were not established. Also, personnel of the Branch did not always prepare trip reports required by regulations of the Division of Mental Health Service Programs. This limited the information available to Division management for monitoring research activities.

E00500-105

Review of use of Financial Reports Required by the Office of Education under the Teacher Corps Program. Audit Control No. 50006-12. August 28, 1974. 5 pp.

Agency Sponsoring Evaluation: HEW Audit Agency.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Program Management, OE (13.00); Teacher Corps--Operations and Training (13.489).

Budget Function/Subfunction: Research and general education aids (503).

Program Authorization: Educations Professions Development Act, Part B-1 (P.L. 90-35, sec. 511-517A; 20 USC 1101-1107a).

The review indicates that report delays are primarily attributable to the lack of effective coordination between program staff and the accounting department, and the turnover of personnel in the accounting department. In another area, that of recovery of unused funds, it was learned that the contract administrators who have been made responsible for following-up on overdue reports are also responsible for notifying the Office of Education Finance Division, in writing, of completed grants on which collection action is required. In addition to such action, the contract administration should periodically request advice from the Finance Division on the status of collection action. In addition, the records of 20 grantees were examined to determine the extent to which actual expenditures exceeded the budgeted amount and whether the grantee had obtained prior approval for deviations in excess of 10 percent: for 41 items actual expenditures exceeded budgeted expenditures by more than 10 percent; prior approval had been obtained for only 14 of these items; and for the remaining 27 deviations, which ranged from 12 to 437 percent, prior approval was not obtained.

E00500-106

Review of Selected Aspects of the Administration of the Indian Health Service, Health Services Administration. Audit Control No. 50014-12. November 4, 1974. 11 pp. + appendix.

Agency Sponsoring Evaluation: HEW Audit Agency.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551).

A review of the Indian Health Service (IHS) indicates that IHS did not require the area offices to conduct certain prescribed environmental health surveys. As a result, IHS did not have sufficient information for evaluating program accomplishments and determining all the improvements needed in the home and community environment of the Indians. It is therefore recommended that IHS give the environmental surveys an appropriate priority and enforce its regulations, require survey plans from the area offices, monitor the area offices' performance against the plans, and improve its liaison with the Bureau of Indian Affairs. Other recommendations include having IHS determine whether the hospitals' utilization review plans are in accordance with IHS policy, determine whether the review are being conducted in conformity with these plans, and determine whether quality control reviews are being performed. It is also recommended that IHS require the area offices to have their hospitals surveyed and review its system for following-up on surveyed deficiencies.

E00500-107

Review of SSA's Fraud Deterrence Program. Audit Control No. 33712. March 6, 1973. 5 pp + appendix.

Agency Sponsoring Evaluation: HEW Audit Agency

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Program Management, SSA (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

This report reviews the Fraud Deterrence Program of the Social Security Administration (SSA). The SSA identifies and investigates about 3,100 potential violation cases annually, involving such matters as concealment of termination events, falsification of age or identity, and false reporting of income. After development, 10 to 15 percent of the suspected fraud violations are referred to the U.S. Attorney for possible prosecution action. About half of these are prosecuted and convictions are usually obtained. The deterrent value of the program could be enhanced if additional publicity were obtained for fraud convictions and if the timeliness and quality of some case development were improved, thereby increasing the potential for prosecution. More specifically, the SSA should: 1) establish communication arrangements with U.S. Attorneys so that district offices are informed of upcoming trials, can be present at the trial, and can promptly notify the news media of convictions obtained; 2) provide added guidance for the exercise of district office discretionary decisions on whether to publicize fraud convictions; 3) adopt procedures for controlling and expediting case development within time frames imposed by the statute of limitations; and 4) provide additional aids to the district offices to help overcome problems of incomplete development or improper reporting of suspected fraud violations.

E00500-114

Program for School Assistance in Federally Affected Areas (SAFA). Letter report. Audit Control No. 50010-12. April 25, 1975. 3 pp.

Agency Sponsoring Evaluation: HEW Audit Agency.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: School Assistance in Federally Affected Areas (13.478).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Federally Impacted Areas, Titles I and IV (P.L. 81-874, as amended by P.L. 93-380; 20 USC 236-241-e, 242-245).

This letter informs the Department of Health, Education, and Welfare of the excessive amount of overpayments to local education areas (LEAs) under the Program for School Assistance in Federally Affected Areas (SAFA). Regional Office of Education SAFA representatives conduct onsite reviews to determine the accuracy of claims; overclaims are recovered either by refund or decreased payments the following year. Overclaims averaging over \$4.5 million are recovered each year, with about 8,500 LEA claims going unreviewed. Overclaims mostly occur because of erroneous pupil counts, and inclusion of pupils who either do not meet the requirement that they reside with the parent Federally connected, or whose parent did not work on Federal property. Administrative safeguards or regular procedural cross-checks are not present to curtail overpayments. Clerks preparing applications have no supervision, and there are no sanctions imposed on overclaiming. When uncertain about the eligibility of a student, LEAs tend to claim him. It is suggested that the OE review the payment system to reduce the incidence of error, and eliminate the backlog of unreviewed claims.

E00500-115

Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California. January 9, 1974.

Agency Sponsoring Evaluation: HEW Audit Agency.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Medicare (13.000); Program Management, Bureau of Health Insurance (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Social Security Amendments of 1965, Title XVIII, Part A, as amended (P.L. 89-97; P.L. 90-248; P.L. 92-603; P.L. 93-233; 42 USC 1395 et seq.).

E00500-116

Report on Audit of the Family Health Center Program, Cascade Health Care, Inc., Portland, Oregon. February 4, 1974.

Agency Sponsoring Evaluation: HEW Audit Agency.

Agency Managing Program: Bureau of Community Health Service, HSA.

Program(s) Evaluated: Family Health Centers (13.261).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 314(e), as amended (42 USC 246).

E00500-118

Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York. March 8, 1974.

Agency Sponsoring Evaluation: HEW Audit Agency.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educationally Deprived Children, Special Grants (13.511); Educationally Deprived Children, Special Incentive Grants (13.512); Local Educational Agencies EZEAs, Part A (13.428).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1965, Title I, as amended (P.L. 91-230; P.L. 93-380; 20 USC 241).

E00500-119

Review of the Implementation of Comparability Provisions, Public Law 91-230, Office of Education. Audit Control No. 13-33707. October 6, 1972. 29 pp. + appendices.

Agency Sponsoring Evaluation: HEW Audit Agency.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educationally Deprived Children, State Administration (13.430); Educationally Deprived Children, Local Education Agencies (13.428).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: General Education Provisions Act (P.L. 91-230).

A review of the comparability provisions of Title I indicates a need for procedures for identification of local educational agencies (LEAs) which are most likely to warrant close attention. It is also recommended that standardized reporting formats be adopted to facilitate review of comparability reports and plans. A related recommendation calls for the retention of organized documentation supporting comparability reports. It is also recommended that a substantive program be initiated to develop ways of achieving improved accounting and information systems of LEAs. In addition, there is a need for a definitive policy to govern the grouping of schools by size for the purpose of determining the required averages for non-Title I schools and the comparability of Title I schools.

E00500-200

Report of Region V Assessment of the Supplementary Security Income Program. April 2, 1974.

Agency Sponsoring Evaluation: Region V Office, HEW.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Supplemental Security Income (13.807).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Amendments of 1972, Title XVI, Parts A and B, (P.L. 92-603; 93-66; 93-233; and 93-368).

Public Availability: NTIS.

This report provides a first-hand Regional assessment of the SSI program from the Regional Director's

E00500-200

office, which is not involved in the day-to-day operations of the program. It provides the SSA Commissioner with an independent view of the functioning of the SSI program in the Region. It also provides an assessment of the potential problems of SSI and the Regional Director.

E00500-201

Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V. Vasquez Associates Ltd., Milwaukee, Wisc. 1975. 2 vols. (245 pp.).

Agency Sponsoring Evaluation: Region V Office, HEW.

Agency Managing Program: Department of Health, Education, and Welfare.

Program(s) Evaluated: Program Management, Health (13.000); Program Management, Education (13.000); Program Management, SSA (13.000); Departmental Management, Office of the Secretary (HEW) (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554); Education, Manpower, and Social Sciences (500).

Public Availability: NTIS, Order Nos. Vol. I: PB 246 573; Vol. II: PB 246 574.

This report comprises two volumes; each is a self contained study. Volume 1 is devoted to the determination of health, education, and social welfare service needs of the Spanish-speaking in Chicago. Volume 2 focused on nine street projects designed for the Spanish-speaking and funded by HEW's Region V. The study attempted to measure the operational effectiveness and to evaluate the impact of project services on their recipients.

E00500-202

Assessment of Quality Control and Corrective Action Activities in Region V. June 24, 1974.

Agency Sponsoring Evaluation: Region V Office, HEW.

Agency Managing Program: Social and Rehabilitation Service, HEW.

Program(s) Evaluated: Public Assistance Maintenance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act, Titles I, IVA, X, XI, XIV, XVI (42 USC 602 et seq., 1301 et seq.; 24 USC 321 et seq.).

Public Availability: NTIS.

The general objective of the Quality Control program is to identify overpayment and ineligibility rates in the AFDC program. The Corrective Action program is designed to reduce these rates and correct the factors which are responsible for producing these errors. SRS initiated the Quality Control Program in 1970 with regulations requiring states to gather statistically valid data on the size and nature of AFDC case errors. This paper describes the status of Quality Control (QC) and Corrective Action (CA) activities in Region V and the Effect of state actions on reducing errors in the Aid to Families with Dependent Children program, identifies problems associated with QC and CA activities, assesses the prospects for continued error rate reductions and presents recommendations for actions to be taken.

Department of Health, Education, and Welfare**E00500-203**

Development of Model Interagency Strategy for Early Periodic Screening, Diagnosis and Treatment (EPSDT) Implementation, Monitoring and Evaluation. American Management Systems, Inc., Arlington, Va. December 1974. 98 pp.

Agency Sponsoring Evaluation: Region V Office, HEW.

Agency Managing Program: Social and Rehabilitation Service, HEW.

Program(s) Evaluated: Medicaid, EPSDT (13.714).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title XIX, as amended (42 USC 1396 et seq.).

Public Availability: NTIS, Order No. PB 247 685.

This study is the result of a managerial analysis of Early Periodic Screening, Diagnosis, and Treatment (EPSDT) Programs in Ohio and Wisconsin. It describes the types of interagency agreements and cooperative arrangements that each state developed to support EPSDT. Also evaluated are administrative arrangements, selected program elements, and the role of HEW in support of the states. Recommendations for state and local action are given (in addition to) those for HEW at the regional and national level.

E00500-204

An Evaluative Study of Early and Periodic Screening, Diagnosis and Treatment Program in Ohio and Wisconsin. American Management Systems Inc., Arlington, Va. December 6, 1974.

Agency Sponsoring Evaluation: Region V Office, HEW.

Agency Managing Program: Social and Rehabilitation Service, HEW.

Program(s) Evaluated: Medicaid, EPSDT (13.714).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title XIX, as amended (42 USC 1396 et seq.).

This report deals with a) Special Project Grants Distribution in Region V; b) application and award process; c) relationship between HEW Headquarters, regional staff, and local school authorities; d) collection and organization of data to assist HEW in evaluating and studying the effectiveness of education support programs; and e) policy analysis for administration of nationwide HEW programs.

E00500-206

Analysis of Office of Education Special Project Grant Distribution to Local Education Agencies in Region V. DBS Corp., Arlington, Va. March 27, 1975. 236 pp.

Agency Sponsoring Evaluation: Region V Office, HEW.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Program Management, OE (13.000).

Budget Function/Subfunction: Research and general education aids (503).

Public Availability: NTIS Order No. PB 246 277.

This report deals with a) Special Project Grants Distribution in Region V; b) application and award process; c) relationship between HEW Headquarters,

regional staff, and local school authorities; d) collection and organization of data to assist HEW in evaluating and studying the effectiveness of education support programs; and e) policy analysis for administration of nationwide HEW programs.

E00500-207

Need Assessment and Evaluation of Region VI Health and Education Projects. J. A. Reyes Associates. December 1973.

Agency Sponsoring Evaluation: Region VI Office, HEW.
Agency Managing Program: Office of the Assistant Secretary for Health, HEW; Office of Education, HEW.

Program(s) Evaluated: Program Management, Office of Education (13.000); Program Management, Health (13.000).

Budget Function/Subfunction: Research and general education aids (503); Health planning and construction (554).

Public Availability: NTIS.

This project was to evaluate the effectiveness of seven Spanish-Surnamed Health and Education programs in Texas and New Mexico. In addition, a health needs assessment was performed in the 'Winter Garden' area of Southwest Texas which includes eight counties surrounding Crystal City, Texas.

E00500-208

Evaluation Handbook. Human Resources Planning Institute, Seattle, Wash. November 1974. 136 pp.

Agency Sponsoring Evaluation: Region VII Office, HEW.
Agency Managing Program: Office of the Secretary, HEW.

Program(s) Evaluated: Departmental Management, Office of the Secretary (HEW) (13.000).

Budget Function/Subfunction: Health planning and construction (554); Research and general education aids (503); Public assistance and other income supplements (604).

Public Availability: NTIS, Order No. PB 245 342.

This handbook provides a general background in the principles, techniques, and uses of evaluation activities and discusses current issues in evaluation. Its purpose is to establish within HEW's regional office staff a common evaluation background and to provide teaching material developed for and presented in evaluation seminars.

E00500-209

A comparative Consumer Survey on Human Services for American Indians in North Dakota. American Indian Development, Inc.

Agency Sponsoring Evaluation: Region VIII Office, HEW.
Agency Managing Program: Indian Health Service, HSA; Office of Education, HEW; Social and Rehabilitation Service, HEW.

Program(s) Evaluated: Indian Health Service (13.228); Program Management, SRS, (13.000); Program Management, OE (13.000).

Budget Function/Subfunction: Area and regional development (452); Elementary, secondary, and vocational education (501).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a.

A survey was conducted of consumer satisfactions with existing human services, with perceived needs for services and with service utilization patterns of Indians on and off two North Dakota Indian reservations--Fort Berthold and Turtle Mountain (Devil's Lake area). Comparison between the two reservations revealed insignificant differences in most cases, but information included is significant. One series of questions was asked to determine a profile of the respondents in the community: residence, participation in community life, and memberships, satisfaction with community resources, household composition including income and educational levels. A long series of questions was asked relating to human services and attitudes towards them. The areas covered were: employment, financial problems, legal problems, juvenile problems, health services, mental health, drugs and alcohol, housing, child care, family planning, marital problems, unwed parenthood, social security.

E00500-211

Quality of Day Care in Region X Before and After School Care. Phase I. UNCO Inc. March 1973. 3 vols.

Agency Sponsoring Evaluation: Region X Office, HEW.

Agency Managing Program: Social and Rehabilitation Service, HEW.

Program(s) Evaluated: Work Incentives--Child Care (13.748). Public Assistance--Social Services (13.754).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act, as amended Title XX.

Public Availability: NTIS, Order Nos. PB 221 452 (Precis); PB 221 453 (vol. I); PB 454 (vol. II); PB 221 455 (vol. III).

Phase I: this project contains several reports concerning various aspects of the quality of Federally supported day care in the states of Alaska, Idaho, Oregon and Washington. Its principal objective was to evaluate day care quality using the proposed '72 Federal Day Care Standards as a baseline and to develop strategies to improve these services. The study reviews the problems and perspectives of day care providers and consumers as well as administering agency practice. Cost estimates of day care service as the Federal standards are implemented are also provided. The study produced several reports: *Precis Vol. 1: Day Care Action Plan for Region X; Vol. 2: A Baseline for Improving Day Care Services in Region X; Vol. 3: A Profile of Federally Supported Day Care in Region X--Day Care for School-Age Children.* This report provided practical information to persons in organizations interested in organizing before and after school care programs in their communities.

E00500-212

Evaluation of the Planning and Coordination of Manpower Programs/Phase I. Urban Management Consultants. February 1973.

Agency Sponsoring Evaluation: Region X Office, HEW.

Agency Managing Program: Social and Rehabilitation Service, DHEW; Public Health Service, DHEW; Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Community Services Training Grants (13.768); Public Assistance, State and Local Training (13.724); Work Incentives--Child Care (13.748);

E00500-212

Health Manpower and Training (13.000); Comprehensive Employment and Training Programs (17.232).

Budget Function/Subfunction: Manpower training (504); Health research and education (552).

Program Authorization: Social Security Act, Title IV, as amended (42 USC 602 et seq.); Comprehensive Employment and Training Act of 1974 (P.L. 93-203).

Phase I included the preparation of an inventory of Federal manpower programs and projects funded in the study sites (the state of Oregon and the Seattle metropolitan area), on-site reviews of a selected sample of manpower projects and a comparative analysis of HEW and other Federal manpower programs. In addition, assessment of existing planning and the development of recommended state and metropolitan planning/coordination models was undertaken.

E00500-213

Inventory of Selected Federal Manpower Programs. Urban Management Consultants. November 1972.

Agency Sponsoring Evaluation: Region X Office, HEW.

Agency Managing Program: Office of the Secretary, HEW.

Program(s) Evaluated: Departmental Management, Office of the Secretary (HEW) (13.000).

Budget Function/Subfunction: Manpower training (504).

E00500-215

Human Resources Demonstration Project. Puget Sound Governmental Conference and State of Washington Office of Community Development. September 1974. 2 vols.

Agency Sponsoring Evaluation: Region X Office, HEW.

Agency Managing Program: Office of the Secretary, HEW.

Program(s) Evaluated: Departmental Management, Office of the Secretary, (HEW) (13.000).

Budget Function/Subfunction: Health planning and construction (554); Research and general education aids (503); Public assistance and other income supplements (604).

Public Availability: NTIS, Order Nos. PB 241 308/ASm and PB 241 309/AS.

This project enables the Puget Sound Governmental Conference (PSGC) to work with King, Kitsap, Pierce, and Snohomish Counties and Washington State Governments to develop a local human resources planning and evaluation capability. The project was established to assist chief local elected officials in their human resources decision-making responsibilities through conducting resources inventory, need assessments, priority setting, and project/project evaluation. Several reports have been prepared by PSGC including a Guide to Human Resource Planning and Elected Officials, Guides for Categorical and Comprehensive Human Resource Planners, and Surveys of Local and State Human Resources Planning Processes.

E00500-216

Quality of Day Care in Region X--Phase II. UNCO Inc. November 1974.

Agency Sponsoring Evaluation: Region X Office, HEW.

Agency Managing Program: Social and Rehabilitation Service, HEW.

Department of Health, Education, and Welfare

Program(s) Evaluated: Work Incentives--Child Care (13.748); Public Assistance--Social Services (13.754).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Social Security Act, Title IV A, as amended (42 USC 602 et seq.).

Public Availability: NTIS.

Phase II; The purpose of the project was to: (1) to provide each of the four Region X states with a profile of selected day care characteristics for their state based on data previously gathered in Phase I. (2) To develop a draft criteria for the 68 FDXR in order to establish the basis for a Federal State Day Care monitoring effort.

E00500-217

Monitoring Handbook: a management guide. Northwest Regional Educational Laboratory. January 1975.

Agency Sponsoring Evaluation: Region X Office, HEW.

Agency Managing Program: Office of the Secretary, HEW.

Program(s) Evaluated: Departmental Management, Office of the Secretary, (HEW) (13.000).

Budget Function/Subfunction: Health planning and construction (554); Research and general education aids (503); Public assistance and other income supplements (604).

The purpose of the project was to improve the efficiency and effectiveness of DHEW Region X program monitoring systems. This was accomplished through a series of workshops with selected Regional office program managers where a general overview of various principles of monitoring and assistance to the participants in applying those principles toward program areas were provided. A monitoring handbook was also developed and it is essentially a 'how-to-do-it' manual which can be used by program officers and managers to review the adequacy of current monitoring practices and is a guide for developing necessary improvements.

E00500-218

Evaluation of Planning and Coordination of Manpower Programs--Phase II. Urban Management Consultants. September 1974.

Agency Sponsoring Evaluation: Region X Office, HEW.

Agency Managing Program: Social and Rehabilitation Service, HEW; Public Health Service, HEW; and Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Community Services Training Grants (13.768); Public Assistance, State and Local Training (13.724); Work Incentives--Child Care (13.748); Health Manpower and Training (13.000); Comprehensive Employment and Training Programs (17.232).

Budget Function/Subfunction: Manpower training (504); Health research and education (552).

Program Authorization: Social Security Act, Title IV, as amended, (42 USC 602 et seq.).

Phase II: The purpose of Phase II was to: (1) assist the state of Washington in the development, coordination and evaluation of means for improving the planning and coordination of manpower programs at the state and local levels, including those funded by HEW and other Federal and state sources in response to the findings of Phase I. (2) To document and disseminate the 'lessons learned' as a result of technical assistance provided to the states and localities engaging in this effort. Some of the results of this effort included analysis of state and

Federal laws and regulations pertaining to planning and coordination, training for state and local manpower planners, the execution of an interagency agreement among the key state agencies to CETA coordination, and development of a technical assistance guide to coordination of HEW programs with CETA.

E00500-219

An Assessment of the Intermediate Care Facility Program in Region V. Medicus Systems Corp., Chicago, Ill. September 1974. 150 pp.

Agency Sponsoring Evaluation: Region V Office, HEW.

Agency Managing Program: Social Security Administration, HEW; Social and Rehabilitation Service, HEW.

Program(s) Evaluated: Medicare (13.800); Medicaid (13.714).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, Title XVIII, Part A, as amended (42 USC 1395 et seq.); Social Security Act, Title XIX as amended (42 USC 1396 et seq.).

Public Availability: NTIS Order No. PB 245 814.

This study developed a data collection plan and survey guide for assessing the Intermediate Care Facilities (ICF) Program in Region V. It also discusses the issues involved in the implementation of an ICF program and analyzes state operation and implementation procedures. The agency survey guide is documented in appendix A and individual ICF program survey reports for Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin can be found in appendix B.

E00501-001

Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas. M. U. Eninger. Educational Systems Research Institute, Pittsburgh, Pa. August 31, 1974. 4 vols. + summary.

Agency Sponsoring Evaluation: Office of Planning, Budgeting, and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Vocational Education--Basic Grants to States (13.493).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Vocational Education Amendments of 1968 (P.L. 90-576).

Public Availability: ERIC, Order No. ED 088 991.

Vocational education administrators must think of themselves as managers of manpower development systems and apply tools of management to achieve their objectives. To do this a theoretical model, called the Manpower Conversion Equation, was developed from which six basic vocational education objectives could be generated deductively. The model states essentially that supply should equal demand for skilled manpower. From a sample of 22 U.S. cities with populations of 250,000 or over, 1970 graduates of 449 secondary schools were surveyed by a questionnaire scored by an optical scanner. Analyses were made by sex and race, by type of program, and by type of occupation. Fifteen basic findings, reported by table and text, lead to the identification and discussion of ten basic problem areas: absence of manpower conversion concept, absence of

measurable vocational education objectives, inadequate vocational education supporting systems, undefined responsibility and accountability, inappropriate administrative organization for effective vocational education, inadequate relations with employer community, inadequate relations with community of parents, absence of vocational education operational research, inadequate involvement of vocational teacher personnel, inadequate application of management concepts principles and techniques.

E00501-002

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. Final report. Yvonne Kimmons Walker, and others. Battle Associates, Inc., Falls Church, Va. 1974. 79 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting, and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Manpower Institutional Grants (17.219).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203).

Public Availability: ERIC, Order No. ED 095 278.

The document represents the concluding phase of a two-part study analyzing data to determine the impact of institutional training on women. It is an exploratory data collection effort to assess factors which appear to affect the performance of women during institutional training in 12 sites. Skills center staff and employment service personnel were interviewed. Questionnaires were administered to a sample of students attending classes at the time of the field review, and data were also collected from records of a sample of previous enrollees, to provide information on enrollee characteristics, completion, and placement rates. Data collected point up major findings concerning women in manpower programs: (1) female trainees are generally being trained in the same occupation as that of their last full-time job; (2) there is little enrollment of trainees in courses traditionally reserved for the opposite sex; (3) manpower training for women is directed primarily toward upgrading previously acquired skills and affords few opportunities for training in alternative occupations; and (4) there is evidence of stereotyping in training placement of female enrollees--by both personnel and trainees themselves. Other relative findings show lower average wages and narrower ranges of training choices for women. A four-page summary of the complete MDTA project has been included.

E00501-003

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. Yvonne Kimmons Walker, and others. Battle Associates, Inc., Falls Church, Va. 1974. 123 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting, and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Manpower Institutional Grants (17.219).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203).

E00501-003

Public Availability: ERIC, Order No. ED 095 279.

The document represents an integral part of a study undertaken as an evaluation of the impact of institutional training on women. The re-analysis of data for the Manpower Development Training Act (MDTA) Outcome Study, using measures such as job placement, length of training, post-training income, and the completion of training, indicates that in some ways the MDTA training has been at least as successful for women as men. These ways include: (1) females were more likely than males to use their acquired training in post-training employment (females-62 percent, males-39 percent); (2) females experienced highest incremental earnings across all training periods and occupational categories (females-\$968, males-\$692); (3) more female trainees (39 percent) than male trainees (32 percent) felt that MDTA training helped them get a job. In the following areas of the training program women did not fare as well: (1) a larger percentage of females (15 percent) than males (9 percent) were found to have reported no post-training earnings, and (2) females showed a lower correlation than males between months in training and large salary increases.

E00501-004

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. Yvonne Kimmons Walker, and others. Battle Associates, Inc., Falls Church, Va. 1974. 112 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting, and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Manpower Institutional Grants (17.219).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203).

Public Availability: ERIC, Order No. ED 095 280.

The literature review represents an initial segment of a study providing a comprehensive analysis of available data to determine the impact of institutional training on women. The literature review concerned secondary data sources such as national labor force statistics and government data relating to women as enrollees in manpower programs. Pertinent findings reflecting the analysis of previous studies in terms of women include: (1) emphasis appeared to be placed on training for women in high demand occupations, i.e., those with high turnover rates; (2) female trainees were seriously hampered by the lack of counseling, supportive services and flexible training schedules; (3) male trainees had more job alternatives available to them and were therefore less dependent on the training program. Three major sections of the document deal with: (1) labor force status, programs, and problems of women; (2) analysis of Manpower Development Training Act (MDTA) and other evaluation studies; and (3) interviews with Federal officials. Appendixes offer a questionnaire for Federal interviews, recommendations to address MDTA internal and external problem areas, and an eight-page bibliography.

E00501-005

A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects. Phase I final report. David D. Marsh, and

Department of Health, Education, and Welfare

others. Pacific Training and Technical Assistance Corp., Berkeley, Calif. July 1974. 186 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting, and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Teacher Corps--Operations and Training (13.489).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329).

Public Availability: ERIC, Order No. ED 098 240.

This report describes the first phase of a longitudinal study of the Sixth Cycle Teacher Corps program, focusing on the relationship between intern background characteristics, Teacher Corps Program characteristics, and intern exit characteristics. Data about all Sixth Cycle projects that prepared elementary school teachers were collected at each site by interview and questionnaire. Data about the exit characteristics of interns were gathered using: (a) classroom observation; (b) log of professional activities completed by interns over a week's time; (c) interviews; and (d) questionnaires completed by interns and their team leaders. Descriptive and analytic results are reported. Analytic findings are: (a) the relationship of intern exit skills was not very strong; (b) Teacher Corps Program characteristics rather than intern background characteristics were most closely associated with intern exit skills; (c) the extent that teacher competencies were specified and used by the project was not closely related to an intern exit skill; and (d) the sets of program characteristics that were most closely associated with intern exit skills were those pertaining to collaborative decision-making, personalization of the program for interns, and the community component.

E00501-006

A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects. David D. Marsh, and others. Contemporary Research, Inc., Los Angeles, Calif. October 1973. 404 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting, and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Teacher Corps--Operations and Training (13.489).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329).

Public Availability: ERIC, Order No. ED 098 241.

Data collection instruments used in the first phase of a two phase longitudinal impact study of the Sixth Cycle Teacher Corps program are contained in this volume. The object of Phase I was to determine the relationship of intern background and Teacher Corps Program characteristics to intern exit characteristics. After a brief introduction, short descriptions of the intern background, program variables, exit variables, and institutional change instruments are presented. The remaining two sections of the volumes are devoted to chronological presentation of the instruments themselves--the first part devoted to instruments used during the fall of 1972 and the second part to instruments used during the Spring of 1973. Instruments used during 1972 obtained data on intern characteristics and program dimensions. Information about program variables, intern exit characteristics,

and the impact of the Teacher Corps Program on its supporting Institution of Higher Education was collected during the spring of 1973.

E00501-007

A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates. Phase 2 final report. David D. Marsh and Margaret F. Lyons. Pacific Training and Technical Assistance Corp., Berkeley, Calif. October 1974. 168 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting, and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Teacher Corps--Operations and Training (13.489).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329).

Public Availability: ERIC, Order No. ED 098 235.

This report examines the second phase of a longitudinal impact study of the Sixth Cycle Teacher Corps. Phase I focused on the relationship of intern background and Teacher Corps Program characteristics to intern exit characteristics. Its goals were to: (a) contrast teacher performance and pupil gains of graduates with those of control group teachers; (b) analyze the relationship of teacher background, teacher education program, teacher performance, and pupil performance for the graduates; and (c) assess the effects of professional support which graduates and controls receive. The 84 subjects taught grades 2-6 in self-contained classrooms. Data about teacher and pupil performance were obtained through a classroom observation guide, teacher questionnaire, data, standardized reading test, and standardized self-concept scale. Graduates were superior to controls in developing ethically relevant curricula, using community resources and initiating contact with parents, and developing positive attitudes about reading development and the causes of poverty in society. Graduates facilitated greater self-concept development in pupils, but there was no significant difference in reading gains between the two groups. The consistent pattern of relationship between pupil variables and Teacher Program characteristics suggests that teacher training makes a difference on pupil behavior and on related performance.

E00501-008

Private Accreditation and Public Eligibility. Harold Orland, and others. National Academy for Public Administration, Washington, D.C. October 1974. 599 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Post-secondary Education Comprehensive Planning Grants (13.550).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329).

Public Availability: ERIC, Order No. ED 097 858.

The initiative for this two volume study was taken by officials in the Department of Health, Education, and Welfare in response to mounting concerns and divided opinions about the use of accreditation to establish the eligibility of post-secondary institutions for Federal programs. Conclusions and recommendations include:

(1) accreditation should continue to serve as one means by which post-secondary schools become eligible for Federal programs; (2) the functions of reviewing accrediting agencies and recommending some for inclusion on the Commissioner of Education's list of recognized agencies should be largely transferred to the Council on Post-secondary Accreditation; (3) the Office of Education should institute a program to improve the training of state education staff and to gain their fuller cooperation in enforcing Federal eligibility regulations; (4) student loans should be insured against school closure; and (5) no really satisfactory solution exists to the problem of determining the quality and eligibility of over 13,000 post-secondary schools. Chapters cover the meaning and origins of accrediting, accrediting agencies, regional accreditation, approval systems for veterans, student loan programs, and consumer protection. Appendixes include selected cases of accreditation, accreditation of veterinary schools, diploma mills, and a list of accrediting agencies.

E00501-009

Evaluation of LSCA Services to Special Target Groups. Final report. D. V. Black, and others. System Development Corp., Santa Monica, Calif. July 2, 1973. 251 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Library Services--Grants for Public Libraries (13.464).

Budget Function/Subfunction: Research and general education aids (503).

Program Authorization: Library Services and Construction Act (P.L. 84-597).

Public Availability: ERIC, Order No. ED 098 919.

To perform a complete and useful evaluation of the impact of Federal funding, under Titles I, II, and IV of the Library Services and Construction Act (LSCA), on public library services to the disadvantaged, handicapped and institutionalized, two convergent lines of study were undertaken: the study of project plans and achievements and the study of the needs of users and potential users. A comparison was made to determine the degree to which the projects satisfied the needs of the users. The study team examined the plans and actions of each state, queried all project directors, and conducted interviews with project personnel and with users and nonusers of the offered services. A determination of success and failure factors within projects contributed to the definition of a model program for service to special clientele. It was concluded from the data gathered that the LSCA projects studied had been successful to some extent. More projects succeeded than not, and significant numbers of special clientele groups were reached. LSCA funds also proved to have been a critical factor in these projects and to have been a critical factor in these projects and to have been a prime factor in innovation in public library services in the United States. The bulk of this report consists of data presented in tabular form.

E00501-010

The Demand for Facilities in the Post-secondary Sector, 1975 to 1990. Joseph Froomkin, and others. Joseph Froomkin, Inc., Washington, D.C. August 15, 1974. 436 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Post-secondary Education Comprehensive Planning Grants (13.550).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329).

Public Availability: ERIC, Order No. ED 098 881.

This report addresses a central issue in post-secondary education: how much space is likely to be needed to accommodate the enrollments between 1975 and 1990 in both the nonprofit and proprietary educational sectors. Emphasis is placed on projections of post-secondary enrollments, size distribution of institutions and campuses, current perception of space requirement needs, comparison of space-to-space standards, statistical determination of space standards, an estimate of stocks 1970-1990, proprietary post-secondary schools, cost of construction, new technologies and attendance patterns, and how much space is really needed. Statistical data are presented within the report as well as within the attached appendixes.

E00501-011

Evaluation of the Community Based Right to Read Program. Pacific Training and Technical Assistance Corp., Berkeley, Calif. September 1974. 314 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Right to Read--Elimination of Illiteracy (13.533).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Cooperative Research Act (P.L. 83-531).

Public Availability: ERIC, Order No. ED 098 548.

A sample of 24 community-based Right to Read projects, 13 serving in-school youth and 11 serving out-of-school adults, were evaluated in this study. The objectives were to measure improvement in reading achievement and to analyze the relationships between achievement and programs, staff, and student characteristics. Testing and data collection was conducted between December 1973 and May 1974 and included pre- and post-testing of reading achievement, completion of individual student and staff data forms, collection of attendance data, and administration of an attitude scale and of staff questionnaires. Classroom and tutoring activities were also observed. Analysis of the data revealed, among other findings, that: students who were older, had more prior schooling, and had highest entry level skills gained the most; students and adults who perceived their attendance as voluntary did better than those who did not relate to outcome, but students who attended the greatest proportion of classes did better than students with irregular attendance. A 140-page appendix contains the tests, forms, and instructions used in the study and the profiles for each project included in the study.

E00501-012

The Process of Funds Allocation Under Title I of the ESEA of 1965. Second interim report. Sung Kim, and others. National Bureau of Standards, Dept. of Commerce. March 1973. 165 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educationally Deprived Children--Local Educational Agencies (13.428); Educationally Deprived Children--Migrants (13.429); Educationally Deprived Children--State Administration (13.430).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1965 (P.L. 89-10).

Public Availability: ERIC, Order No. ED 074 187.

This study is derived from the Congressional mandate for a study of the various provisions involved with the 1965 Elementary Secondary Education Act Title I grant determination and distribution process. The description of Title I provisions emphasizes the process relating to the allocation of funds for local educational agencies (LEA's). The maximum LEA grant is described as the product of the number of eligible children and the amount of the basic grant per child; the allocation actually received by the LEA; however, results from the application of a procedure that reduces the amount of all entitlements to a sum that can be covered by the appropriation. The practical problems of identifying and counting the eligible children for annual grant determination are defined. These include attempting to maintain a comprehensive, accurate, and up-to-date data base. In addition, some of the issues associated with the selection of an appropriate grant per child are mentioned. These include whether the basic grants should reflect regional differences in the cost of education and/or whether they should be adjusted for areas with special needs, e.g., high concentrations of eligible children. Consideration of alternative possibilities suggests that the present enumeration of eligibles can be improved by using the so-called Orshanky poverty data from the 1970 census.

E00501-013

The Evolution of Educational Performance Contracting in Five School Districts. G. R. Hall, and others. Rand Corp., Santa Monica, Calif. December 1972. 76 pp. 10Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educationally Deprived Children--Local Educational Agencies (13.428). 13Budget Function/Subfunction: Elementary, Secondary, and Vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1965 (P.L. 89-10). 16Public Availability: ERIC, Order No. ED 177 970. 18Visits in the Spring of 1972 to five school districts (Norfolk, Virginia; Texarkana, Arkansas; Gary, Indiana; Gilroy, California; and Grand Rapids, Michigan) that had implemented educational performance contracting programs during the 1970-71 school year are reported. Changes in the content and methods of instruction, measurement and evaluation, and management seem to have been brought about by the programs. The large gains hoped for by many proponents of performance contracting have not usually

been achieved. In each of the districts, a diagnostic-prescriptive approach to individualization of instruction has been encouraged by the performance contracting programs. More attention is being given to the need for a wider range of self-instructional materials in such programs. Programs that had been converted from performance contracts to in-house efforts tended to be adapted to individual teaching styles, and more diversity among classrooms resulted. With one exception, the 1971-72 programs used about the same resource support as they had the previous year, or even greater support was provided. Performance contracting (which is still largely limited to reading and mathematics courses) ties contractor payments to student test results and, therefore, focuses attention on testing and evaluation. The result has been increasing concern with development of better measurement techniques and evaluations. The performance contracting programs forced school administrators to become involved with the course of a project from the point of making contractual arrangements to the implementation of the programs in the classrooms.

E00501-014

An Analysis of the Relationship Between Reading and Mathematics Achievement Gains and Per-Pupil Expenditures in California Title I Projects. Fiscal Year 1972 final report. G. Kasten Tallmadge. American Institutes for Research, Palo Alto, Calif. 35100-3-73-FR. March 1973. 46 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E. **Agency Managing Program:** Office of Education, HEW.

Program(s) Evaluated: Educationally Deprived Children--Local Educational Agencies (13.428).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1965 (P.L. 89-10). **Public Availability:** ERIC, Order No. ED 074 189. **The objective of this study of California projects, funded under Title I of the 1965 Elementary Secondary Education Act, was to shed additional light on the cost-benefit and 'critical mass' issues which are central to today's compensatory education planning and policy making. It covers reading and math programs in grades one through twelve. The study sample included all schools in California which reported both achievement gains and expenditures. The data analysis utilized statistical controls such as the removal of the effects of regular per-pupil expenditures when the impact of supplementary expenditures was assessed. Saturated schools--those with 75 percent or more of the pupils eligible for Title I participation--were found to differ significantly from unsaturated schools with the latter showing greater gains in both reading and math, and greater Title I per-pupil expenditures. Within the unsaturated schools, however, there was no relationship between achievement gains in either reading or math and any combination of regular and supplementary expenditures. In saturated schools, a significant relationship was found between achievement gains and Title I per-pupil expenditures for reading but not for math. The expenditure differences accounted for about ten percent of the variation in achievement.**

E00501-015

A Longitudinal Evaluation of the Adult Basic Education Program. William P. Kent. System Development Corp., Falls Church, Va. TM-WD-5743. November 1973. 220 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Adult Education--Grants to States (13.400).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Adult Education Act (P.L. 91-230). **Public Availability:** ERIC, Order No. ED 085 418.

This study represents the first nationwide evaluation of programs funded under the Adult Education Act. Data were gathered across a variety of Adult Basic Education programs (ABE), and a representative sample of 2300 program participants was studied longitudinally through a series of basic skills tests and interviews covering pre- and post-program income, work experience, educational aspirations, and opinions related to participants in ABE experiences. Information was also collected on program and classroom characteristics to form a basis for assessing the relationship between post-program performance and the kind of experience that enrollees received. This involved interviews with local ABE administrators and instructors regarding program costs, enrollee attendance, staff qualifications, instructional and guidance methods, and administrative procedures. Student evaluations of their ABE experiences and program and class cost data are analyzed. Methodological recommendations for future evaluations of adult basic education programs are discussed. The questionnaires in the study are included in the appendices.

E00501-016

An Assessment of Career Guidance, Counseling, and Placement Activities in Secondary Schools for Non-College Bound Students. American Institutes for Research, Palo Alto, Calif. June 1973. 5 vols.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Supplementary Educational Centers and Services, Guidance, Counseling, and Testing (13.519).

Budget Function/Subfunction: Research and general education aids (503).

Program Authorization: Elementary and Secondary Education Act of 1965 (P.L. 89-10). **Public Availability:** ERIC, Order Nos. ED 076 927, ED 076 928, ED 076 932, ED 076 941, ED 076 978.

E00501-017

An Assessment of School-Supervised Work Education Programs. Steven M. Frankel, and others. System Development Corp., Santa Monica, Calif. September 14, 1973. 4 Vols.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Vocational Education--Work Study (13.501).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Vocational Education Amendments of 1968 (P.L. 90-576). **Public Availability:** ERIC, Order Nos. ED 081 997 to ED 082 000. **This document summarizes the significant findings, overall methodology, and policy recommendations for the Assessment of School Supervised Work Education Programs. It consists of material contained in the Data Analysis Report together with a description of the analysis model developed for each respondent group. The major conclusion of this study is that the CWS program is achieving its primary goal of enabling students from low-income families to help defray the costs of postsecondary education with the earnings from part-time and summer employment. On the average CWS earnings are paying half of the basic costs of attending college.**

E00501-018

The Federal College Work-Study Program: a status report. Final report. Nathalie Friedman, and others. Bureau of Applied Social Research, New York, N.Y. 1973. 432 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting, and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Higher Education Work-Study (13.463).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329).

Public Availability: ERIC, Order No. ED 080 082.

This report is based on data obtained from students holding College Work-Study (CWS) jobs, employers hiring students under the program, financial aid personnel administering the program at the institutional level, and officials implementing the program at the regional and national levels. The objectives of the study were to gain information about: (1) the types of programs operating at different institutions; (2) the consequences of the program for students, institutions, and employing agencies; (3) the extent to which existing institutional channels and machinery at the national, regional and institutional levels have been effective in implementing and administering the program; and (4) the extent to which the program is accomplishing its stated objectives. The major conclusion of this study is that the CWS program is achieving its primary goal of enabling students from low-income families to help defray the costs of postsecondary education with the earnings from parttime and summer employment. On the average CWS earnings are paying half of the basic costs of attending college.

E00501-019

A Study of Title III of the Higher Education Act: The Developing Institutions Program. Final report. Harold L. Hodgkinson and Walter Schenkel. Center for Research and Development of Higher Education, Berkeley, Calif. January 1974. 582 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Higher Education--Strengthening Developing Institutions (13.454).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329). **Public Availability:** ERIC, Order No. ED 088 316. **This study develops a profile of characteristics of developing institutions, makes in-depth case studies of a selected sample of institutions that have received Title III funds, describes the impact of Title III monies on campus between 1965-66 and 1970-71, and develops indicators of institutional vitality that may be used in determining an institution's eligibility for program funding under Title III. A questionnaire was developed to examine general data about the institution and the use of Title III funds on campus. Case studies also provided information based on 2-day site visits to each institution. Results of the study are categorized into: a profile of a little-known sector of American higher education; some indicators of institutional validity; total number of grants awarded and institutional judgments of 'most successful' programs; an analysis of case study data; and case studies and appendices. Case studies cover the Community Junior College of Kansas City, Kansas; Mount Saint Mary College; and Findlay College. The appendices include the questionnaire and interview agenda.**

E00501-020

A Study of the Education Professions Development Act Training Programs for Higher Education Personnel. R. Anderson, and others. Abt Associates, Inc., Cambridge, Mass. February 25, 1973. 4 vols.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Higher Education Personnel Development--Institutes and Short-term Training (13.461).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329); Education Professions Development Act (P.L. 90-575).

Public Availability: ERIC, Order Nos. ED 076 170 to ED 076 173.

This is a study of the Education Professions Development Act (EPDA) training programs for higher education personnel. The study addressed three major concerns: (1) What new professional training does American higher education need? (2) How do current EPDA V-E programs address these needs? and (3) What new developments seem likely to affect training needs in the future? The study presents a legislative review of EPDA. Emphasis is placed on the legislative environment and objectives, manpower supply and demand, information, and legislative development. A three-faceted approach was used in the study: a survey to assess training needs; a profiling system to collect and organize EPDA V-E program information on a regular basis; and a set of case studies of institutions representing important new trends in higher education. The study presents a summary of findings. A 20-item bibliography is included.

E00501-021

Full-Scale Implementation of a Process Evaluation System for Programs of the National Center for the Improvement of Educational Systems. Resource Manage-

ment Corp., Bethesda, Md. RMC-R-UR-172. November 1972. 6 vols.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educational Personnel Training Grants--Career Opportunities (13.421).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Education Professions Development Act (P.L. 90-35).

Public Availability: ERIC, Order No. ED 067 522.

The second year of effort in the development of a process evaluation system for the programs of the National Center for the Improvement of Educational Systems (NCIES) is reported. The activity involved the full-scale implementation of the system in 438 projects in 12 NCIES programs. Programs included are: Bilingual Education, Early Childhood, Educational Leadership, Pupil Personnel Services, School Personnel Utilization, Special Education, Teacher Corps, Teacher Development for Desegregating Schools, Training of Teacher Trainers, Urban/Rural School Development, Vocational Education 552, and Vocational Education 553. For the second year, the system was refined and a new questionnaire developed. The main output of this study was six volumes. These volumes present the analysis of the data collected, conclusions, and recommendations for the programs and for the Center as a whole. Also included is an Appendix, containing a description of the methodology used in the project.

E00501-022

Innovation and Change: A Study of Strategies in Selected Projects Supported by the National Center for the Improvement of Educational Systems. Abt Associates, Inc., Cambridge, Mass. December 22, 1972. 5 vols.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educational Personnel Training Grants--Career Opportunities (13.421).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Education Professions Development Act (P.L. 90-35).

Public Availability: ERIC, Order Nos. ED 074 591 to ED 074 595.

E00501-023

Follow Through National Evaluation: Data Collection and Data Processing. Stanford Research Institute, Menlo Park, Calif.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Follow through (13.433).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Community Services Act of 1974 (P.L. 93-644).

E00501-024

Evaluation of Community Group Projects of the Emergency School Assistance Program. Kirschner Associates, Albuquerque, N.M.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Emergency School Aid Act--Basic Grants to Local Educational Agencies (13.525).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Educational Amendments of 1972 (P.L. 92-318).

E00501-025

A Process Evaluation of the Bilingual Education Program, Title VII, Elementary and Secondary Education Act. Executive Summary. Development Associates, Inc., Washington, D.C. 1974. 7 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Bilingual Education (13.403).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1965 (P.L. 89-10).

The Bilingual Education Act's (BEA) purpose is to provide financial assistance to local educational agencies to develop and carry out new and imaginative elementary and secondary school programs designed to meet the needs of children of limited English-speaking ability. A review of BEA projects gives an overall impression that the program does appear to have evoked enthusiasm and commitment among personnel involved and has fostered institutional change in recognition of the needs of non-English-speaking children. This has happened despite a shortage of trained teachers and of appropriate bilingual materials. As yet, there is no evidence of program effects on children's achievements or attitudes.

E00501-026

Southern Schools: An Evaluation of the Effect of the Emergency School Assistance Program and of School Desegregation. Executive summary. National Opinion Research Corp., Chicago, Ill. November 1973. 7 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Emergency School Aid Act--Basic Grants to Local Educational Agencies (13.525).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Education Amendments of 1972 (P.L. 92-318).

This report is an evaluation of the effects of the Emergency School Assistance Program (EASP) and of school desegregation on Southern schools. Elementary schools used EASP funds to develop traditional programs oriented toward cognitive development; high schools used funds to change the methods of dealing with racial issues. Black male students gained in achievement through EASP. No gains in achievement were recorded

by whites at either grade level, or by elementary level blacks or female black high school students. No overall gains were recorded in racial attitudes by either group. No activities were found to have consistently favorable effects on either racial attitudes or achievement for both race and grade levels. The effects of school racial composition on achievement were generally small, although both races did less well in predominantly white schools. Students of both races, with the exception of high school blacks, have more positive racial attitudes the longer their experience with school desegregation. Both races feel more comfortable in segregated schools; in desegregated schools, blacks feel more comfortable if their teachers support desegregation, and whites feel more comfortable when desegregation is progressing smoothly. A racially liberal principal tends to instill favorable reactions to desegregation by teachers, although their personal feelings are not easily changed.

E00501-027

Evaluation of the Impact of ESEA Title I Programs for Migrant Children of Migrant Agricultural Workers. Executive summary. Exotech Systems, Inc., Falls Church, Va. January 25, 1974. 4 vols.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educationally Deprived Children-Migrants (13.429).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1965 (P.L. 89-10).

Public Availability: ERIC, Order Nos. ED 093 524 to ED 093 527.

This report provides an evaluation of the impact of Elementary and Secondary Education Act Title I programs for children of migrant agricultural workers. Allocations increased to \$72.8 million in fiscal year 1973, with programs operating in all states except Hawaii and Alaska. Participating were 250,000 children, the majority of whom were in kindergarten through sixth grade. Ten states were selected for principle study, based on their high percentages of migratory workers. Migrant children fall behind their non-migrant counterparts in grade level and achievement, most markedly in the third and fourth grades. This may indicate a deficiency in basic reading and arithmetic skills. Most migrant students drop out of school before the ninth grade; most, however, would like to remain in school in spite of economic and academic pressures. Migrant parents expressed satisfaction with the experiences of their children in school, and most desired that their children go on to postsecondary education. Real and perceived academic failure and frustration are powerful factors in the dropout pattern. A possible solution is a secondary program providing economic support, effective remedial work, and a clear sequence of activities aimed toward the career goals of the students and their parents.

E00501-028

Design of Evaluation Plan for the Right to Read Community Based Projects. Pacific Training and Technical Assistance Corp., Berkeley, Calif.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Right to Read--Elimination of Illiteracy (13.533).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Cooperative Research Act (P.L. 83-531).

E00501-029

Effectiveness of Educational Laboratories and Centers. American Institutes for Research, Palo Alto, Calif. February 1973. 292 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: National Institute of Education, HEW.

Program(s) Evaluated: Educational Research and Development (13.575).

Budget Function/Subfunction: Research and general education aids (503).

Program Authorization: Education Amendments of 1974 (P.L. 93-380).

Public Availability: ERIC, Order No. ED 095 225.

E00501-030

Federal Role in Children's Television Programming. Indiana University, Bloomington, Ind.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educational Broadcast Facilities (13.413).

Budget Function/Subfunction: Research and general education aids (503).

Program Authorization: Educational Television and Radio Amendments of 1969 (P.L. 91-97).

E00501-031

Evaluation of the Public Library and Analysis of its Present and Future Role. System Development Corp., Santa Monica, Calif.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Library Services--Grants for Public Libraries (13.464).

Budget Function/Subfunction: Research and general education aids (503).

Program Authorization: Library Services and Construction Act (P.L. 84-597).

E00501-032

Assessment of Vocational Education for Handicapped Students. Olympus Research Corp., Salt Lake City, Utah.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Vocational Education--Basic Grants to States (13.493).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Vocational Education Amendments of 1968 (P.L. 90-576).

Public Availability: ERIC, Order No. ED 101 501.

E00501-033

An Evaluation of Educational Programs in State Operated and State-Supported Schools for Handicapped Children. Exotech Systems, Inc., Falls Church, Va. IO Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educationally Deprived Children--Handicapped (13.427).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1965 (P.L. 89-10).

E00501-034

Evaluation of Selected Aid-to-States Programs for the Education of the Handicapped. Exotech Systems, Inc., Falls Church, Va.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educationally Deprived Children--Handicapped (13.427).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1965 (P.L. 89-10).

E00501-035

An Evaluation of Federal Programs to Increase the Pool of Special Education Teachers. Carl Blozan, and others. Resource Management Corp., Bethesda, Md. UR-190. January 31, 1973. 243 pp. + appendices.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Handicapped Teacher Education (13.451).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Education of the Handicapped Act (P.L. 91-230). 18 This is the final report of a study of programs conducted by the Bureau of Education for the Handicapped. Among the various strategies and funding alternatives suggested are: continuation of present programs and funding levels; alter funding patterns among specialties; alter recruitment priorities; alter recruitment strategies; alter emphasis on undergraduate, master's, or post-master's programs; reduce dropout rates from college and the profession; increase the demand for special education teachers; and alter the ratio of special education assistance and university funding.

E00501-036

Study of Special Allowance and Servicing Costs in the Guaranteed Student Loan Program. Technology Management Corp., Cambridge, Mass.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Higher Education Act Insured Loans (13.460).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329).

E00501-037

Study of Cooperative Education. Consad Research Corp., Pittsburgh, Pa.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Higher Education--Cooperative Education (13.510).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329).

E00501-038

Analysis of Differential Treatments Under Basic Educational Opportunity Grants Needs Analysis. College Entrance Examination Board, Princeton, N.J.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Basic Educational Opportunity Grants (13.539).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Amendments of 1972 (P.L. 92-318).

E00501-039

Analysis of Comparative Impacts of Existing BEOG Needs Analysis System. Daniel Rosemergy, Ann Arbor, Mich.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Basic Educational Opportunity Grants (13.539).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Amendments of 1972 (P.L. 92-318).

E00501-040

Survey of Lender Prepayment Terms and Survey of Repayment Burden Among Borrowers. Resource Management Corp., Bethesda, Md.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

E00501-040

Program(s) Evaluated: Higher Education Act Insured Loans (13.460).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329).

E00501-041

A Study of the Special Services for Disadvantaged Students Program. Educational Testing Service, Princeton, N.J.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Special Services for Disadvantaged Students in Institutions of Higher Education (13.482).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Amendments of 1968 (P.L. 90-575).

E00501-042

Evaluation of Vocational Exemplary Programs. Development Associates, Inc., Washington, D.C.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Vocational Education--Innovation (13.502).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Vocational Education Amendments of 1968 (P.L. 90-576).

E00501-043

Longitudinal Study of Educational Effects: Vocational Education. Educational Testing Service, Princeton, N.J.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Vocational Education--Basic Grants to States (13.493).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Vocational Education Amendments of 1968 (P.L. 90-576).

E00501-044

Evaluation of Basic Education Programs Conducted Under MDTA Institution Training Program. North American Rockwell, Arlington, Va.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act (P.L. 93-203).

Department of Health, Education, and Welfare

E00501-045

Further Study of Performance Contracting in Education. Educational Testing Service, Princeton, N.J.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Strengthening State Departments of Education--Grants for Special Projects (13.485).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1965 (P.L. 89-10).

E00501-046

Development of Packages for Effective Approaches in Compensatory Education. Resource Management Corp., Bethesda, Md.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educationally Deprived Children--Local Educational Agencies (13.428).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1965 (P.L. 89-10).

E00501-047

Evaluation of Federal Programs Supporting Educational Change. Executive summary. Rand Corp., Santa Monica, Calif. 9 pp.

Agency Sponsoring Evaluation: Office of Planning, Budgeting and Evaluation, O.E.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Bilingual Education (13.403); Vocational Education--Innovation (13.502); Right to Read--Elimination of Illiteracy (13.533); Supplementary Educational Centers and Services--Special Programs and Projects (13.516).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501); Research and general education aids (503).

Program Authorization: Elementary and Secondary Education Act of 1965 (P.L. 89-10); Vocational Education Amendments of 1968 (P.L. 90-576); Cooperative Research Act (P.L. 83-531).

A study of the effectiveness of educational innovations, their transferability, and the usefulness of Federal efforts to promote innovation in the schools, states that a receptive institutional setting is a necessary--but not the only--condition for effective project implementation. The project implementation strategy found to result in positive project-outcomes was one that modified the organizational setting to accommodate the project and modified the project to be compatible with the organizational setting, including flexible planning, staff training keyed to the local setting, staff selection or development of instructional materials, and the establishment of a 'critical mass' of project staff in a project site or school. In addition, neither the educational methods employed nor the projects' level of funding nor the different Federal program policies management, guidelines, or strategies influenced project outcomes in major ways; and project

outcomes did not depend on outside influence, but on internal factors and local decisions.

E00503-016

Rationale of the Joint NIMH-TCU Drug Abuse Reporting Program. S. B. Sells. Institute of Behavioral Research, Texas Christian University, Fort Worth, Tex. July 28, 1972. Multiple volumes.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: Alcohol, Drug Abuse and Mental Health Administration, PHS.

Program(s) Evaluated: ADAMHA, Scientific Communication and Public Education (13.243).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 301, 315 (42 USC 241, 247).

This report discusses the joint NIMH-TCU Drug Abuse Reporting Program for the period 1969-1972. It focuses on the scope, operation, and rationale of the program and its accomplishments. Each of these areas is discussed by including a brief introduction to that area and then a short description of all of its individual components. Also, included are lists of the program staff and of the participating treatment programs.

E00503-017

Computerized Summaries of Evaluation Contracts. Karen Levin. Biospherics Inc., Rockville, Md. July 1972.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: Alcohol, Drug Abuse and Mental Health Administration, PHS.

Program(s) Evaluated: Program Management, ADAMHM (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 301 (42 USC 241).

Public Availability: In-house use only.

This document contains abstracts of NIMH evaluation studies dating back to December 1968. Each abstract is formatted with identifying information: (1) purpose, (2) contractor, (3) method, (4) results and (5) implications.

E00503-018

Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area. James Missett. Yale University, New Haven, Conn. August 1972. 12 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health planning and construction (554); Health care services (551); Health research and education (552).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, ad

amended (P.L. 88-164, 42 USC 2681-2688); P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-211; P.L. 91-513; P.L. 91-515.

Public Availability: NTIS, Order No. PB 213-286.

The study demonstrated the following points: (1) the opening of the Connecticut Mental Health Center (CMHC) has resulted in a greater number of patients seeking psychiatric assistance in a community setting than at a state hospital; (2) those patients from an area served by a catchment and Federally-funded facility are more likely to seek assistance than their counterparts from a non-catchment area; (3) patients from the catchment area were admitted to the CMHC at a rate nearly twice that for patients from the comparison area; (4) black patients from both areas were admitted to the CMHC at significantly higher rates than were whites; (5) higher Hill-West Haven admission rates for patients with psychoses, psychoneuroses, personality disorders, and transient situational reactions were accompanied by decreased admission rates to the state hospital for such patients; (6) the Mental Health Center and the state hospital are treating diagnostically different patient populations.

E00503-019

Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals. Socio-Technical Systems Associates, Inc., Boston, Mass. August 1972. 125 pp. + appendixes.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health planning and research (554); Health care services (551); Health research and education (552).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688); P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-513; P.L. 91-515.

Public Availability: NTIS, Order No. PB 249 485.

This study attempts to evaluate the interaction and cooperation possibilities between the community mental health centers (CMHC's) and the public state mental hospitals in caring for the mentally handicapped. Questionnaires gathered standard objective material which was statistically analyzed to derive those factors which encouraged or hindered shared information and servicing between CMHC's and state mental hospitals. Eight appendixes, along with bibliographies, are included with the breakdown and analyses of the data.

E00503-020

A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States. Governments Division, Bureau of the Census. October 4, 1972. 56 pp. + appendixes.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health planning and construction (554); Health care services (551); Health research and education (552).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688); P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-513; P.L. 91-515.

Survey highlights; Introduction; Part I--mental health survey--a report: background scope and purpose of the survey, methodology of mental health survey, increase and decrease in Federal funds, conclusions, governments division current finance surveys in relation to mental health revenue and expenditure reporting; Part II--tables containing expenditures of programs involving Federal funds fiscal 1970 and 1971: Table 1--state mental institutions expenditure compared to total general expenditure and health and hospital expenditure for the states: 1969-70 and 1970-71; Table 2--expenditures of programs involving Federal funds by source of revenue for nine selected states; Tables containing expenditures of programs involving Federal funds by each individual state--Arizona, Maine, Nebraska, New Jersey, Ohio, South Carolina, Utah, Virginia, Washington.

E00503-021

Chlorpromazine: Innovation and Revolution in the Drug Treatment of Mental Disease States. Judith P. Swazey. Committee on Brain Sciences, National Research Council, National Academy of Sciences, Washington, D.C. October 1972. 538 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Health, ADAMAH.

Program(s) Evaluated: Mental Health Research Grants (13.242).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act (P.L. 78-410, sec. 301(c); 42 USC 241, and 242(a)).

This case study of chlorpromazine helps us to understand the types of complex patterns of scientific development and of scientific and extrascientific interactions that can be seen to lie behind most therapeutic breakthroughs. The study traces the events that led to its synthesis in 1950 in France, and why and how it began to be used two years later by a small number of French psychiatrists, and the consequences of its entrance into psychiatrists' therapeutic armamentarium. The study is concerned with a primary area of its use--as a psychotropic or psychopharmacologic drug, a chemical substance that exerts its principle effect on a person's mood, thought processes, or behavior. A 1969 tabulation listed 852 compounds reported to have psychotropic activity.

E00503-023

A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health. Donald R. Weidman and J. S. Wholey. Urban Institute, Washington, D.C. November 30, 1972. 80 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: Alcohol, Drug Abuse and Mental Health Administration, PHS.

Program(s) Evaluated: Community Mental Health Centers (13.240); Program Management, ADAMHA (13.000); Program Management, NIMH (13.000); Program Management, NIDA (13.000); ADAMHA Scientific Communication and Public Education (13.243).

Budget Function/Subfunction: Health planning and construction (554); Health research and education (552); Health care services (551).

Program Authorization: Public Health Service Act, sec. 301, 315 (42 USC 241, 247).

Public Availability: NTIS, Order No. PB 219 227/6.

The Urban Institute staff spent several months reviewing Institute program objectives, mission statements, and program activities related to evaluation. They systematically interviewed key users of evaluation data, and potential users in the central office and regional office staffs. Based on current and prior work in the area of Federal evaluation systems, the staff developed a systematic framework for conducting NIMH evaluation planning and design. The authors located several gaps in the on-going evaluation process and suggested organizational changes to make the evaluation programs more responsive to decision making. The suggested system centered around the development of quantitative models which describe the interactions among program interventions, underlying assumptions, environmental factors, and decision making processes. Three kinds of evaluation models are described and the uses of each specified. Sample impact and program intervention models are developed and applied to the Community Mental Health Centers (CMHC's) program.

E00503-024

Design of an Evaluation System for the National Institute of Mental Health. Donald R. Weidman, and others. Urban Institute, Washington, D.C. January 15, 1973. vol. I, (234 pp.); vol. II, (125 pp.).

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health planning and construction (554); Health research and education (552); Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 301, 315 (42 USC 241, 247).

Public Availability: NTIS, Order No. PB 221 179.

Volume I describes a systematic approach to the planning of evaluation activities and the design of evaluation studies developed for use by the National Institute of Mental Health. The recommended system is centered around the development of quantitative models that would highlight the interactions among program interventions, underlying assumptions, environmental factors, and decision making processes. Three kinds of models are described--impact, program intervention, and project operation--and the uses of each are specified. Sample impact and program intervention models are developed and applied to the Community Mental Health Centers and the Community-Based Drug Treatment programs. The appendixes include critiques of past NIMH evaluation studies, a survey of NIMH information systems, a sample protocol for site visits to community mental health centers, and several suggested formats for use in the preparation of evaluation plans. Volume II

contains appendixes detailing site visit activities, evaluation planning tools, and NIMH data systems.

E00503-026

Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City. Abbott S. Weinstein, and others. Research Foundation for Mental Hygiene, Inc., Albany, N.Y. December 29, 1972. 51 pp. + appendixes.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688); P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-513; P.L. 91-515.

Public Availability: NTIS, Order No. PB 219 639.

Introduction; Summary of findings; Physical and demographic characteristics of the Metropolitan Hospital Community Mental Health Center catchment area; Characteristics of facilities located in the Metropolitan Hospital CMHC catchment area; Characteristics of catchment area residents admitted to mental health facilities inside and outside the catchment area; Trends reflecting characteristics of residents of the Metropolitan Community Mental Health Center area served by state hospitals; Conclusion.

E00503-028

Monitoring and Evaluating the Operation of Ten DOT/NIAA Joint Alcoholism Programs--Phase I Report and Research Plan. C. H. Rittenhouse, and others. Stanford Research Institute, Menlo Park, Calif. March 1973. 33 pp. + appendixes.

Agency Sponsoring Evaluation: National Institutes of Health, PHS.

Agency Managing Program: National Institute of Alcohol Abuse and Alcoholism, ADAMHA; Department of Transportation.

Program(s) Evaluated: Alcohol Demonstration (13.252).

Budget Function/Subfunction: Health research and education (552); Health care services (551).

Program Authorization: Comprehensive Alcohol Abuse and Alcoholism Prevention Treatment and Rehabilitation Act of 1970 (P.L. 91-616); P.L. 93-282; Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Title III, Part A (P.L. 90-574).

Public Availability: NTIS, Order No. PB 245 904.

E00503-029

Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II. Leland H. Towle, and others. Stanford Research Institute, Menlo Park, Calif. March 1973. 269 pp.

Agency Sponsoring Evaluation: National Institute on Alcohol Abuse and Alcoholism, ADAMHA.

Agency Managing Program: National Institute on Alcohol Abuse and Alcoholism, ADAMHA.

Program(s) Evaluated: Alcohol Community Service (13.251); Alcohol Demonstration (13.252).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554); Health care services (551).

Program Authorization: Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Title III, Part A (P.L. 90-574; 42 USC 2681 et seq.); Community Mental Health Center Amendments of 1970, Title III (P.L. 91-211); Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616); P.L. 93-282.

Public Availability: NTIS, Order Nos. PB 212 050, PB 240 245/1ST.

In Phase I the requirements and initial description of an SRI system for monitoring the programs of National Institute of Alcohol Abuse and Alcoholism (NIAAA) Alcoholism Treatment Centers (ATC) are presented. The system represents results of project activities at eleven of the ATC's currently having staffing grants from NIAAA, and provides the framework for Phase II activities necessary to develop the system. The objectives and scope of the project are discussed. Methods of data collection and analysis are described and analytical results are reported. Portions of this document are not fully legible. In Phase II the effort was to develop, test, and implement a program monitoring system in eleven alcoholism treatment centers. During Phase II of system development, the contractor conducted a two-month operational test and evaluation of the pilot monitoring system developed during Phase I. He developed a procedural manual for data collection, designed an ADP system for producing both routine and special reports, and analyzed operational test data. The report describes the pilot system and displays the types of output obtainable from it. The data also provide a profile of services received by the client and an analysis of retention rates for the program.

E00503-030

The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers. Roy Littlejohn Associates, Washington, D.C. April 1973. Multiple vols.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554); Health care services (551).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688); P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-513; P.L. 91-515.

Public Availability: NTIS, Order No. PB 223 142.

Introduction--Range of CMHC Settings and Programs; Basic changes since poverty funding; problems in CMHC poverty programming--outreach; conclusions and recommendations. Appendixes include tabulations, legislative history, bibliography, and interview schedules. The study analyzes program changes in CMHCs receiving

preferential funding under the Poverty Amendments to the CMHC Act, particularly in outreach programs, community consultation, and rate of referrals to state hospitals.

E00503-031

Automated Psychiatric Record Systems Today: an assessment. David M. Nitzberg, and others. Bio-Dynamics Inc., Health Services Division, Cambridge, Mass. May 15, 1973. 430 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Program Management, NIMH (13.000); ADAMHA Scientific Communication and Public Education (13.243).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554); Health care services (551).

Program Authorization: Public Health Service Act, sec. 301, 315 (42 USC 241, 247).

This extensive study examines closely the automated record systems from five mental health systems, focusing on the issues of input-output system, operational status, computer processing, cost, update and retrieval, confidentiality, and usefulness to users. Findings and recommendations are drawn from these analyses, pinpointing particular and general difficulties that suggest a re-orientation of attention. A bibliography, list of users, and tabulations supplement the report. The study discusses the extent to which efforts to automate clinical records in the mental health field provide information useful at Federal, state, local and facility levels for purposes of care and treatment of patients and for program management and evaluation.

E00503-032

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina. Exotech Systems Inc., Washington, D.C. May 1973. 3 vols. (108 pp).

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Mental Health--Children's Services (13.259).

Budget Function/Subfunction: Health research and education (552); Health care services (551); Health planning and construction (554).

Program Authorization: Mental Retardation Facilities and Community Mental Health Centers Construction Act of 1963, Title II, Part F, as amended (P.L. 88-164; 42 USC 2681); P.L. 91-211.

This report discusses efforts to develop an evaluation plan for CASP's model entitled 'Impacting Child Life Through Advocacy Action Research.' It focuses on understanding the child and his ecology to create an adaptive neighborhood-based system of advocacy. Included are assessments of staff-training programs, cooperation with educational systems, and specific recommendations. The activity evaluated was the design of an evaluation plan for training programs, purposes,

and community effectiveness of the Child Advocacy System Project, Morgantown, North Carolina.

E00503-033

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas. Exotech Systems Inc., Washington, D.C. May 1973. 74 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Mental Health--Children's Services (13.259).

Budget Function/Subfunction: Health research and education (552); Health care services (551); Health planning and construction (554).

Program Authorization: Mental Retardation Facilities and Community Mental Health Centers Construction Act of 1963, Title II, Part F, as amended (P.L. 88-164; 42 USC 2681); P.L. 91-211.

This report discusses efforts to develop an evaluation plan for the Child Advocacy Project in San Antonio, Texas. It focuses on barrio-based mechanisms, involving Chicano youths and their families in community organization and program development related to the needs of children and policies of the Child Advocacy Project. Included are assessments of training programs and community networks of social service delivery systems. The activity evaluated was the design of an evaluation plan for training programs, purposes, and community effectiveness of the Child Advocacy Project, San Antonio, Texas.

E00503-034

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania. Exotech Systems Inc., Washington, D.C. May 1973. 110 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Mental Health--Children's Services (13.259).

Budget Function/Subfunction: Health research and education (552); Health care services (551); Health planning and construction (554).

Program Authorization: Mental Retardation Facilities and Community Mental Health Centers Construction Act of 1963, Title II, Part F, as amended (P.L. 88-164; 42 USC 2681); P.L. 91-211.

This report discusses efforts to develop an evaluation plan for Philadelphia Urban League Child Advocacy Project. It takes into account community needs relating to children and current community resources, as it examines the purposes and effectiveness of the Child Advocacy Project, which in this case utilizes the model entitled 'Child Service Systems Impact Through Community Development.' Included are assessments of training programs and specific recommendations. The activity evaluated was the design of a framework for evaluation of purposes, training programs, and community effec-

tiveness of Philadelphia Urban League Child Advocacy Project.

E00503-035

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project. Exotech Systems Inc., Washington, D.C. May 1973. 83 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Mental Health--Children's Services (13.259).

Budget Function/Subfunction: Health research and education (552); Health care services (551); Health planning and construction (554).

Program Authorization: Mental Retardation Facilities and Community Mental Health Centers Construction Act of 1963, Title II, Part F, as amended (P.L. 88-164; 42 USC 2681); P.L. 91-211.

This report discusses efforts to develop an evaluation plan for future evaluations of the East Nashville Caldwell Child Advocacy Project. It takes into account community needs relating to children and current community resources as it examines the purposes and effectiveness of the Child Advocacy Project, which in this case utilizes the linking pin model. Included are assessments of training programs and recommendations. The activity evaluated was the design of a framework for evaluations of purposes, training programs, and community effectiveness of Caldwell Child Advocacy Project, Nashville, Tennessee.

E00503-036

Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II. Assembly of Behavioral and Social Sciences. National Research Council, National Academy of Sciences, Washington, D.C. June 1972, July 1973. 2 vols. (150 pp.).

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute on Drug Abuse, ADAMHA.

Program(s) Evaluated: ADAMHA Scientific Communication and Public Education (13.243); Drug Abuse Education (13.275); Drug Abuse Information (13.254).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554); Health care services (551).

Program Authorization: Comprehensive Drug Abuse Prevention and Control Act of 1970, Title I (P.L. 91-513); Drug Abuse Office and Treatment Act of 1972, Title IV (P.L. 92-255, sec. 410); Alcoholic and Narcotics Addict Rehabilitation Amendments of 1968, Title III, Part B, as amended (P.L. 90-574; 42 USC 2681 et seq.); Public Health Service Act, sec. 301, 315 (42 USC 241, 247).

Public Availability: NTIS, Order Nos. Phase I, PB 213 097; Phase II, PB 230 620.

This report documents a study of the feasibility of conducting impact evaluations on informational and educational programs dealing with the use and misuse of drugs. Phase I reviews those projects and campaigns, past and current to 1972. In Phase II a hypothetical

situation is created to allow the development of a basic impact evaluation plan for future use.

E00503-037

A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions. Frank Baker. Socio-Technical Systems Associates, Inc., Boston, Mass. August 1973. 289 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Mental Health--Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554); Health care services (551).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688; P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-513; P.L. 91-515).

Public Availability: NTIS, Order No. PB 250 766.

This study evaluates, through 12 case studies, efforts made to integrate mental health services and other health agencies. It pinpoints factors which hinder integration and those which aid integration, along with presenting tentative recommendations for providing effective integration. It presents a comparative analysis of relative effectiveness of mental health services when delivered jointly with other human services or separately.

E00503-038

Viability of the Catchment Area Concept in the Community Mental Health Centers Program. P. I. Ahmed and G. Ellsworth. Arthur D. Little, Inc., Cambridge, Mass. August 1973. 73 pp. + appendixes (53 pp.).

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Mental Health--Community Mental Health Centers (13.240); Program Management, NIMH (13.000); Program Management, ADAMHA (13.000).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554); Health care services (551).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688; P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-513; P.L. 91-515).

Public Availability: NTIS, Order No. PB 225 701.

The report consists of illustrations, abstracts, analyses, descriptions, and conclusions and recommendations drawn from the study activities. The purpose of the study was to assess the viability of a concept--not simply a program, or a function, or services, or community mental health centers as such. The object was to evaluate something that not only had tangible reality in expression but also had philosophical complexity, and it turned out to be sometimes tenuous or elusive. Detailed concrete and operational studies of the effects of catchmenting had been initiated. Arthur D. Little wanted to answer questions as 'does it work', and 'how can it be used.'

E00503-039

Strategies for Change in Community Mental Health: New Careers. Sam R. Alley, and others. Social Action Research Center, Berkeley, Calif. September 1973. 3 vols. + summary (659 pp.).

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Mental Health Training Grants (13.24.).

Budget Function/Subfunction: Health research and education (552); Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 303, 472 (P.L. 78-410; 42 USC 241, 242(a), 289(c)).

Public Availability: NTIS, Order No. PB 251 193.

Vol. I: During the fiscal year, 1972-73, Social Action Research Center (SARC) was contracted to develop a model of program development that would be applicable to New Careers program in community mental health. This model was developed by working with eleven projects funded by the New Careers Branch of the National Institute of Mental Health. All of these projects were involved in developing an accredited education training program for para-professionals in community mental health, instituting a manpower development and utilization system and improving mental health services to neglected populations. Vol. II: In studying the New Careers projects in community health, SARC found that all projects went through a similar sequence of activities, which may be called 'Developmental Tasks.' This volume is structured around the developmental tasks faced by New Careers projects, describing problem areas associated with each and the ways individual projects approached them, and embodying recommendations which may assist projects in dealing with their developmental tasks more effectively in the future. Vol. III: This project described in greater detail, the sponsoring agency and its relationship to the project, along with the demographic and descriptive information about the project itself. The goals and staff of each project, its recruitment and selection procedures, and information about its trainees are given, along with a survey of the degree of community involvement in project activities and an assessment of how successful it was in creating changes in service delivery.

E00503-040

A Follow-up of NARA (Narcotic Addict Rehabilitation Act) Patients Who Drop Out Before Completion. Zili Amsel. Johns Hopkins University, Baltimore, Md. September 1973. multiple vols.

Agency Sponsoring Evaluation: National Institute on Drug Abuse, ADAMHA.

Agency Managing Program: National Institute on Drug Abuse, ADAMHA.

Program(s) Evaluated: Narcotic Addict Rehabilitation Contracts (13.239).

Budget Function/Subfunction: Health research and education (552); Federal law enforcement and prosecution (751).

Program Authorization: Public Health Service Act, sec. 341-347, as amended (42 USC 257-261); Narcotic

Addict Rehabilitation Act of 1966 (P.L. 89-793, sec. 607; 42 USC 3411-3426).

E00503-041

Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems. Paul I. Ahmed, and others. Behavior Science Corporation, Los Angeles, Calif. November 1972, October 1973. 3 vols.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Mental Health--Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health planning and construction (554); Health research and education (552); Health care services (551).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688); P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-513; P.L. 91-515.

Public Availability: NITS, Order No. PB 225 772-Set (3 vols.).

Volume 1, Executive Summary, discusses the four relevant objectives of this study: describe the extent and nature of school case-oriented and program consultation as currently practiced, and evaluate its impact; evaluate the congruence of the local consultee's and consultant's expectations of consultation and the degree to which their expectations are attained; describe and analyze the problems that are experienced by the consultees and consultants in developing school consultation programs; and obtain information about the evaluation and feedback system in operation for school consultation and any data available on outcomes. Volume 2, The Dynamics of School Consultation, describes methods of research; pragmatism vs theory; the practitioner's perspective; the crisis in mental health consultation; why consultation fails; variations in program offerings; characteristics of effective consultation; programmatic conclusions and recommendations. Volume 3, Directions for the Future, outlines the conference on School Consultation, which had four primary purposes: provide an opportunity for mental health professionals to focus on an important area of services which has previously been overlooked by most (Community Mental Health Centers) (CMHC's); provide the opportunity for mutual discussion and development of new and creative ideas related to mental health consultation in the schools, including ideas leading to the development of new and important research; promulgate useful techniques or approaches and general knowledge about how successful school consultation programs operate; and create an interest among mental professionals about the effective uses of consultation in the schools, leading to the development of new programs at CMHC's throughout the country.

E00503-042

Two Studies on Catchmenting and Catchmented-Oriented Services. Progress Reports. G. L. Tischler, and others. Yale University School of Medicine, New Haven, Conn. 1973.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Mental Health--Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554); Health care services (551).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688; P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-513; P.L. 91-515).

The Community Mental Health Centers Act of 1963 gave impetus to the development of comprehensive mental health programs for geographically-defined target populations, through the concept of catchmenting. This study aims at evaluating the impact of catchmenting through comparing the utilization of mental health services by residents of a catchment as opposed to non-catchment service area. The following research questions were raised: Is there a higher volume of services consumed by catchment as opposed to non-catchment populations? Do catchment and non-catchment populations demonstrate differing patterns of utilization of mental health services? When one controls for homogeneity of the populations served, are direct clinical services delivered to different groups of people by catchment as opposed to non-catchment delivery systems?

E00503-043

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif. Exotech Systems Incorporated, Washington, D.C. May 1973. 3 vols. (91 pp.).

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Mental Health--Children's Services (13.259).

Budget Function/Subfunction: Health research and education (552); Health care services (551); Health planning and construction (554).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, Title II, Part F, as amended (P.L. 88-164; 42 USC 2681); Community Mental Health Centers Amendments of 1970 (P.L. 91-211).

This report discusses efforts to develop an evaluation plan for the Institute of Child Advocacy in Los Angeles. It takes into account community descriptions and community resources as it examines the purposes and effectiveness of the institute. It focuses on the use of task forces in the model entitled 'Community Development Approach to Child Advocacy.' Included are assessments of training programs and specific recommendations.

E00503-044

American Indian Alcoholism Evaluation--Monitoring--Design Project. Jo Ann S. Morris and Fred Ebrahimi. Tribal American Training Consultants Association, Glendale, Calif. January 1974. 147 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute on Alcohol Abuse and Alcoholism, ADAMHA.

Program(s) Evaluated: Alcohol Demonstration (13.252).

Budget Function/Subfunction: Health care services (551); Health research and education (552).

Program Authorization: Comprehensive Alcohol Abuse and Alcoholism Prevention Treatment and Rehabilitation Act of 1970, sec. 304 and 311, as amended (P.L. 91-616; P.L. 93-282); Community Mental Health Centers Amendments of 1970, Title III (P.L. 91-211); Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Title III, Part A (P.L. 90-574; 42 USC 2681 et seq.).

Public Availability: NTIS, Order No. PB 245 994; PB 240 724/5ST.

This report discusses the evaluation findings of a National Institute on Alcoholism and Alcohol Abuse (NIAAA)-sponsored project to develop a data reporting system for the Native American Alcoholism Programs (NAAPs). Six American Indian programs, located in HEW Regions VI and VIII, participated in a pilot project to design, monitor, and evaluate the Native American Alcoholism Program Data Collection System (NAAPDCS). All data collected and processed between January and September, 1973, were analyzed, and major findings were reported in oral and written form. The results of this analysis are provided in both charts and narrative, with emphasis on NAAP background data, staffing, service profile, clientele served, and management. Reports covering the full 9-month period and special quarterly reports for only the third (July to September) quarter are included.

E00503-045

Pilot Program for Monitoring and Evaluating the Public Inebriate Program. D. C. Jones and R. N. Hendry. Research Triangle Institute, Raleigh, North Carolina. January 1974. 3 vols. (214 pp. + appendixes).

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute on Alcohol Abuse and Alcoholism, ADAMHA.

Program(s) Evaluated: Alcohol Demonstration Programs (13.252).

Budget Function/Subfunction: Health care services (551); Health research and education (552).

Program Authorization: Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment, and Rehabilitation Act of 1970, sec. 304 and 311, as amended (P.L. 91-616; P.L. 93-282); Community Mental Health Centers Amendments of 1970, Title III (P.L. 91-211); Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Title III, Part A (P.L. 90-574; 42 USC 2681 et seq.).

Public Availability: NTIS, Order No. PB 245 818-SET.

E00503-046

Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry. Leland H. Towle. Stanford Research Institute, Menlo Park, Calif. April 1974. 160 pp.

Agency Sponsoring Evaluation: National Institute on Alcohol Abuse and Alcoholism, ADAMHA.

Agency Managing Program: National Institute on Alcohol Abuse and Alcoholism, ADAMHA.

Program(s) Evaluated: Alcohol Community Service Programs (13.251); Alcohol Demonstration Programs (13.252).

Budget Function/Subfunction: Health research and education (552); Health care services (551).

Program Authorization: Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment, and Rehabilitation Act of 1970, sec. 304 and 311, as amended (P.L. 91-616; P.L. 93-282); Community Mental Health Centers Amendments of 1970, Title III (P.L. 91-211); Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Title III, Part A (P.L. 90-574; 42 USC 2681 et seq.); Community Mental Health Centers Act (P.L. 90-574, sec. 243-261).

Public Availability: NTIS, Order No. PB 245 819; PB 245 820.

E00503-047

Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program. Leland H. Towle, and others. Stanford Research Institute, Menlo Park, Calif. May 1974. 184 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute on Alcohol Abuse and Alcoholism, ADAMHA.

Program(s) Evaluated: Alcohol Demonstration Programs (13.252); Alcohol Community Service Programs (13.251).

Budget Function/Subfunction: Health research and education (552); Health care services (551).

Program Authorization: Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment, and Rehabilitation Act of 1970, sec. 304 and 311, as amended (P.L. 91-616; P.L. 93-282); Community Mental Health Centers Amendments of 1970, Title III (P.L. 91-211); Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Title III, Part A (P.L. 90-574; 42 USC 2681 et seq.); Community Mental Health Centers Act (P.L. 90-574, sec. 243-261).

E00503-049

Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont. Martin Y. Fisher and G. D. Errion. Northeast Kingdom Mental Health Services, Inc., St. Johnsbury, Vt. June 1974. various pagings.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health planning and construction (554); Health care services (551); Health research and education (552).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688; P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-513; P.L. 91-515).

A study of state hospital utilization indicates that the establishment of comprehensive community mental health centers will reduce the Vermont state hospital resident patient caseload and additions more for patients

who come from center catchment areas than for those who come from noncomprehensive community mental health service catchment areas; that the establishment of a comprehensive community mental health center will significantly alter the composition of those in the Vermont state hospital population being served who come from a mental health center catchment area, more so than those being served who come from noncenter catchment areas; that comprehensive community mental health center services will result in a change in total utilization of all facilities; and that comprehensive community mental health services will result in changes in patterns of utilization of all facilities.

E00503-050

Evaluation Planning at the National Institute of Mental Health: a case history. Pamela Horst, and others. Urban Institute, Washington, D.C. Urban Institute 962-13. July 1974. 166 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA; Office of the Assistant Secretary for Health, HEW.

Program(s) Evaluated: Program Management, NIMH (13.000); Program Management, ADAMHA (13.000); Program Management, Assistant Secretary for Health (13.000); Mental Health--Community Mental Health Centers (13.240); ADAMHA Scientific Communication and Public Education (13.243); Policy Research, Office of the Secretary (DHEW) (13.980).

Budget Function/Subfunction: Health planning and construction (554); Health care services (551); Health research and education (552).

Program Authorization: Public Health Service Act, sec. 301, 315 (42 USC 241, 247).

Public Availability: Urban Institute, URI No. 27000, \$5.

This report describes a three-year effort by the Institute to assist the National Institute of Mental Health in its program evaluation planning. The authors also draw conclusions that are pertinent not only to evaluation at NIMH but to evaluation of Federal programs generally. One of the major problems addressed was that evaluation studies were not used--or could not be used--by the NIMH management to modify and improve current programs. In pursuing this matter, the authors reach the conclusion that, as now constituted, many Federal programs are not evaluable. That is, measurable objectives for the programs have not been officially established; intended uses of evaluation studies have not been clarified by program managers; agencies are under pressure to spend substantial funds for evaluation, yet lack the leverage for applying meaningful criteria to them; and the means of controlling evaluation funding are both ineffective and inefficient. The report suggests alternative strategies for dealing with these and related difficulties.

E00503-051

North Carolina Community Mental Health Center Evaluation Project; final report of planning phase. University of North Carolina at Chapel Hill, Chapel Hill, N.C. September 1974. 170 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health planning and construction (554); Health care services (551); Health research and education (552).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688; P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-513; P.L. 91-515).

This document represents the final report of the planning phase of the Evaluation Protocol for Community Mental Health Centers. The overall aim was the development of an evaluation protocol which would provide for the integrated assessment of Health Center impact at the levels of the individual client, interorganizationally, and community wide. Three task forces were formed to deal with the conceptual and methodological issues of their respective areas: services evaluation, population assessment, and community organization. The Services Evaluation Task Force primarily developed modes of assessing patterns of mental health facility usage, and modes of delineating the impacts of the services upon recipients of those services. The Population Assessment Force gauged the feasibility and desirability of epidemiological analysis of illness rates and service needs. Programs of consultation and education were also within its scope. The Community Organization Force explored the conceptual and methodological issues involved in studying the community impact of the Center, especially its organizational relationships. This force was also responsible for site selection and the collection of relevant data. Assessments and evaluations of the results are provided as attachments.

E00503-052

Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report. Leland H. Towle, and others. Stanford Research Institute, Menlo Park, Calif. September 1974. 210 pp. + appendices.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute on Alcohol Abuse and Alcoholism, ADAMHA; Department of Transportation.

Program(s) Evaluated: Alcohol Demonstration Programs (13.252).

Budget Function/Subfunction: Health care services (551); Health research and education (552).

Program Authorization: Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment, and Rehabilitation Act of 1970, sec. 304 and 311, as amended (P.L. 91-616; P.L. 93-282); Community Mental Health Centers Amendments of 1970, Title III (P.L. 91-211); Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Title III, Part A (P.L. 90-574; 42 USC 2681 et seq.).

Public Availability: NTIS, Order no.: PB 245 904.

This report contains the results of final analysis and evaluations of ten Alcohol Safety Action Project (ASAP)/Alcoholism Center (AC) projects jointly funded by DOT and DHEW (NIAAA). In general, the data show that the ASAP/ACs are a highly productive mechanism for the intervention and treatment of alcohol abusers and

problem drinkers. More specifically, DWIs (driving while intoxicated) who have a drinking problem seem to be reached earlier in their drinking milieu than their non-DWI client counterparts; they receive a greater amount of (lower cost) outpatient treatment; and they stay in treatment longer. This is clearly a result of the ability and willingness of the courts to use coercive persuasion to place such persons on probation so long as they agree to treatment. Furthermore, treatment outcome based on client change six months after intake into treatment appears considerably more positive for DWI clients than for non-DWI clients. Examination of program activities and operations shows wide variability, particularly in the ASAP and the judicial process. The ASAP/AC projects also vary widely in size, client characteristics, and community relationships. The degree of cooperation between ASAPs and ACs ranges from almost nonexistent to excellent. The final analyses in the report is severely limited and few meaningful conclusions can be drawn about assignment of individuals to countermeasures other than AC treatment because data on DWI's processed by ASAPs are not available.

E00503-053

Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse. Louis Harris and Associates, Inc., New York, N.Y. Fall 1973, Winter 1974. 160 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: Alcohol, Drug Abuse and Mental Health Administration, PHS.

Program(s) Evaluated: ADAMHA Scientific Communication and Public Education (13.243).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 301, 315 (42 USC 241, 247).

Public Availability: NTIS, Order No. PB 244 143-SET.

Interview surveys, conducted to assess the public's awareness of the NIAAA Advertising Campaign dealing with the dangers of alcohol abuse and alcoholism, attitudes about the campaign, and general drinking behavior are summarized in the concluding phase of a four-phase report. Phase one included a 'worry index', derived from questions concerning the relationships between drinking frequency and individual problems; phase two was focused on trends in attitudes about alcohol, and relationships between television viewing and advertising awareness; phase three provided data regarding the ability of respondents to recall alcohol-related and/or specific NIAAA advertisements. A summary of findings in the fourth phase includes observations, conclusions, and recommendations.

E00503-054

Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program). S. B. Sells, and others. December 1974.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Drug Abuse, ADAMHA.

Program(s) Evaluated: Drug Abuse Community Service Programs (13.235).

Budget Function/Subfunction: Health care services (551); Health research and education (552).

Program Authorization: Community Mental Health Centers Amendments of 1970, Title III (P.L. 91-211); Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Title III, Part A (P.L. 90-574; 42 USC 2681 et seq.); Drug Abuse Office and Treatment Act of 1972, Title IV (P.L. 92-255, sec. 410); Comprehensive Drug Abuse Prevention and Control Act of 1970, Title I (P.L. 91-513).

E00503-055

Resource Materials for Community Mental Health Program Evaluation. William A. Hargreaves, and others. 1974. 5 vols.

Agency Sponsoring Evaluation: National Institute for Mental Health, ADAMHA.

Agency Managing Program: National Institute for Mental Health, ADAMHA.

Program(s) Evaluated: Community Mental Health Centers (13.240); Program Management, NIMH (13.000); Program Management, ADAMHA (13.000).

Budget Function/Subfunction: Health research and education (552); Health care services (551); Health planning and construction (554).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688; P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-513; P.L. 91-515).

Public Availability: Program Evaluation Project, Langley Porter Neuropsychiatric Institute, University of California, San Francisco, Calif. 94143.

This series of resource materials for community mental health program evaluation provides information on the elements of program evaluation, needs assessment and planning, management information systems for mental health centers, and evaluating the effectiveness of services as well as a working people's guide to the community mental health program evaluation literature.

E00503-059

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism. Lee Ruggels, and others. Stanford Research Institute, Menlo Park, Calif. March 1, 1975. 295 pp.

Agency Sponsoring Evaluation: Alcohol, Drug Abuse and Mental Health Administration, PHS.

Agency Managing Program: National Institute on Alcohol Abuse and Alcoholism, ADAMHA.

Program(s) Evaluated: Alcohol Community Service Programs (13.251); Alcohol Demonstration Programs (13.252).

Budget Function/Subfunction: Health care services (551); Health research and education (552).

Program Authorization: Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment, and Rehabilitation Act of 1970, sec. 304 and 311, as amended (P.L. 91-616); P.L. 93-282; Community Mental Health Centers Amendments of 1970, Title III (P.L. 91-211); Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Title III, Part A (P.L. 90-574; 42 USC 2681 et seq.);

Community Mental Health Centers Act (P.L. 90-574, sec. 242-261).

Public Availability: NTIS, Order No. PB 242 204/6ST.

A follow-up study is reported of over 2,000 persons with various alcohol-related disabilities who had contact with eight alcoholism treatment centers (ATC's) for periods ranging from one day to 18 months. In addition to providing a detailed description of persons served by these ATC's, the report includes analyses showing that about 70 percent of such persons greatly reduced and generally maintained a reduction of alcohol consumption up to 18 months; that the six-months' assessment of male non-DWI clients gives a good indication of their alcohol behavior at stability, and that their behavioral impairment, social class, and social stability, are the best indicators of recovery. It was found that the degree of recidivism varies according to particular client group.

E00503-060

Feasibility Study and Measuring Efficiency of Mental Health Services. Richard Zeckhauser. March 30, 1975.

Agency Sponsoring Evaluation: Alcohol, Drug Abuse, and Mental Health Administration, PHS.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Mental Health--Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health planning and construction (554); Health care services (551); Health research and education (552).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688); P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-513; P.L. 91-515.

Public Availability: NTIS, Order No. PB 250 557.

E00503-061

The Public Evaluates the National Institute on Alcohol Abuse and Alcoholism Public Education Campaign. Opinion Research Corporation. March 1975.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: Alcohol, Drug Abuse, and Mental Health Administration, PHS.

Program(s) Evaluated: ADAMHA Scientific Communication and Public Education (13.243).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 301, 315 (42 USC 241, 247).

E00503-062

Assessment of Child Mental Health Needs and Programs. Barbara J. Sowder, and others. General Research Corporation, McLean, Va. March 1975. 8 vols.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Mental Health--Children's Services (13.259); Mental Health--Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554); Health care services (551).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688); P.L. 89-105; P.L. 90-31; P.L. 90-574; P.L. 91-211; P.L. 91-513; P.L. 91-515.

Public Availability: NTIS, Order No. PB 249 778.

Part F of the 1970 amendments to the Community Health Centers (CMHC) Act (P.L. 91-211) provided the first monies specifically designated for child mental health services. The guidelines encouraged potential applicants for Part F staffing grants to establish or enhance not only comprehensive service for children and youth but also innovative approaches to the use of personnel, funds, preventive strategies, services to high-risk groups and service delivery. In 1972 NIMH approved \$10 million in staffing grants for 60 of these Part F programs: 57 have been funded to date. Forty-three of those are part of the Federally-funded comprehensive CMHC network; 14 are operated by public or private agencies. In 1973 NIMH contracted with General Research Corporation (GRC) to (1) assess the impact of the Part F programs approved in 1972 and to compare these centers with centers that did not receive a Part F grant, (2) conduct an assessment of the mental health needs of children, youth, parent, and community agencies and schools, (3) design a study to assess the effectiveness of individual Part F programs and (4) convene a conference to discuss the findings and recommendations of the study. This report briefly summarizes the findings of each of the four phases of the study.

E00503-063

The Market for Evaluation Services in the Department of Health, Education, and Welfare. Richard E. Schmidt, and others. Urban Institute, Washington, D.C. 962-21. May 1975. 96 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA; Office of the Assistant Secretary for Health, PHS; Office of the Secretary, HEW.

Program(s) Evaluated: Program Management, NIMH (13.000); Program Management, ADAMHA (13.000); Program Management, Assistant Secretary for Health (13.000); Program Management, Office of the Secretary, HEW, (13.000); Program Analysis (13.980)

Budget Function/Subfunction: Health Planning and construction (554); Health research and education (552); Health care services (551).

Program Authorization: Public Health Service Act, sec. 301, 315 (42 USC 241, 247).

Two problems confront Department of Health, Education, and Welfare evaluation today. First, while evaluation offices often define objectives, they fail to specify adequate measures of success. Second, there is no consensus among Federal officials concerning what particular objectives or success-criteria evaluation offices should adopt. Lacking success-criteria, evaluation offices are often uncertain how to plan and organize their

efforts. Further compounding the difficulty, evaluation offices undergo frequent criticism from a variety of persons, each using different assumptions about the nature of evaluation, the group it should serve, and the effects it should bring about. The resolution of such problems is the substance of this report.

E00503-064

Trends in Sources of Funds for Community Mental Health Centers. Richard J. Steele. Macro Systems Inc., Silver Spring, Md. May 1973. 137 pp.

Agency Sponsoring Evaluation: National Institute of Mental Health, ADAMHA.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health care services (551); Health research and education (552).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164, 42 USC 2681-2688; P.L. 89-105; P.L. 90-574; P.L. 91-211; P.L. 91-513; P.L. 91-515).

Public Availability: NTIS, Order No. PB 240 461.

This report drew on 15 case studies of various Community Mental Health Centers to determine how successful they had been in reducing their dependency on NIMH grants which have been in effect for eight years. Descriptive histories of each center, along with personal visits with staff are outlined in the appendices.

E00504-003

Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. John Adler. Ad Tel Ltd., New York, N.Y. October 1972. 5 pp. + tables.

Agency Sponsoring Evaluation: Center for Disease Control, PHS.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283); Program Management, Bureau of Health Education (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III (42 USC 241-271).

Public Availability: NTIS, Order No. PB 225 157/7.

To complement public service advertising urging people to stop smoking or not to begin, the CDC (Center for Disease Control) developed a program of health messages encouraging the use of less hazardous smoking. Based on the hypothesis that some who want to stop smoking are unable to do so, it attempted to persuade smokers to switch to brands containing less tar and nicotine. Using participant diaries and interviews with those 15 years and older, a study was conducted to determine the impact of the CDC campaign on various segments of the population. Percentage of smokers in the population, mean cigarette consumption per adult,

tar index, and tar intake per adult were calculated for 6,000 families exposed to the campaign and for a control group of 6,000 homes not exposed. All measures were broken out according to age and sex groupings. Diary data indicated some effectiveness in reducing exposure to cigarette smoke--among females due to reduction in number of cigarettes smoked and to a lowered proportion of smokers; among males due only to the former. Individual data, however, suggested no significant effect. There was no evidence the campaign had the effect of increasing smoking.

E00504-004

Evaluation of VISA Medical Activities. October 25, 1972. 16 pp. + attachments.

Agency Sponsoring Evaluation: Foreign Quarantine Program, CDC.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III (42 USC 241-271).

Public Availability: NTIS, Order No. PB 224 385/5.

The Foreign Quarantine Program (FQP) of the Center for Disease Control is responsible for identifying from among aliens applying for immigrant status those who have any mental or physical defect specified in the Immigration Law. Three excludable conditions--mental retardation, previous attack(s) of insanity, and active tuberculosis--account for 95% of all visas refused on medical grounds; they also are the only conditions for which waivers are obtainable. This evaluation was undertaken to assure that the visa medical examination meets prescribed standards; to determine if aliens who have been excluded for medical reasons are medically eligible for waivers; to identify methods for improving delivery of the medical component (examination and waiver) of the visa issuance process; and to assure that aliens admitted under waiver of excludability are integrated into the health care system best able to provide care and assistance. While the tuberculosis waiver and chest X-ray programs were found to function adequately, the mental waiver program is administratively complex and has not kept pace with modern medical knowledge or practice; program revision is recommended.

E00504-005

Evaluation Report on the Effectiveness of the Clinical Laboratories Improvement Program. February 1972. 150 pp.

Agency Sponsoring Evaluation: Center for Disease Control, PHS.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283); Program Management, Bureau of Laboratories (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III (42 USC 241-271).

Public Availability: NTIS, Order No. PB 213 298/3.

The Clinical Laboratory Improvement Act became effective July 1, 1969. This study was developed to assess the effectiveness of the program in improving laboratory performance. According to the authors, the study confirms the poor performance of laboratories and the frequency of poor laboratory practice and inadequate control. Progress toward state and private professional participation in the regulatory process, as envisaged by CLIA, was slow, but one state and a private professional society had an approved program equal to or more stringent than CLIA at time of publication. Among the evaluation findings, there has been a significant decrease in the proportion of laboratories with inadequate quality control over the first two years of the program. Laboratories showed a significant increase in the quality of proficiency testing performance between FY 1970-FY 1971 in a number of categories and procedures. Recommendations and conclusions are summarized in Part I of the report and evaluation findings in Part II.

E00504-006

Extent and Characteristics of Venereal Disease Education in School Systems. Automated Services Inc., Washington, D.C. December 1974. 41 pp. + appendix (431 pp.).

Agency Sponsoring Evaluation: Center for Disease Control, PHS.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III (42 USC 241).

Public Availability: NTIS, Order No. PB 246 706.

In March 1974, a survey was conducted to determine the scope and depth of venereal disease education in the nation's public, parochial, and private schools. A survey questionnaire was mailed to principals of randomly selected schools in the 50 states and the District of Columbia. On the basis of 6518 responses, survey results suggested that approximately 42% of the nation's 102,000 schools provided some form of venereal disease education to their students. Narrative analyses, and an appendix of tabulations for each state, are included in the report.

E00504-007

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. January 1973. 36 pp. + appendices.

Agency Sponsoring Evaluation: Center for Disease Control, PHS.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283); Program Management, Bureau of Tropical Diseases (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III (42 USC 241-271).

Public Availability: NTIS Order No. PB 231 602/4.

Since 1952, the Communicable Disease Center (CDC) has been involved in cooperative research with the U.S. Army Medical Research Institute of Infectious Diseases. Through this link, the Government of Ecuador, in 1969, requested technical assistance from CDC in the development of a laboratory in response to the epidemic in Ecuador. In 1969 and 1970, Venezuelan Equine Encephalitis (VEE) was epidemic in many Central American countries, and entered the U.S. in the State of Texas. CDC technical assistance included disease vector assistance, laboratory assistance, and epidemiological technical assistance. Recommendations state that DHEW should secure legislation to authorize the Secretary of HEW to cooperate with other governments in the control of certain epidemic diseases; and that a cooperative effort with Mexico to establish an immune barrier would aid both the U.S. and Mexico in the control of disease.

E00504-008

Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973. Barry Franklin. Professional Institute for the AMA, Hamilton, N.Y. March 1, 1973. 73 pp.

Agency Sponsoring Evaluation: National Institute for Occupational Safety and Health, CDC.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283); Program Management, CDC (13.000); Program Management, NIOSH (13.000); Occupational Safety and Health Training Grants (13.263); Occupational Safety and Health Research Grants (13.262).

Budget Function/Subfunction: Prevention and control of health problems (553); Health planning and construction (554).

Program Authorization: Public Health Service Act, Title III (42 USC 241-271); Occupational Safety and Health Act of 1970 (P.L. 91-596); Federal Coal Mine Health and Safety Act of 1969 (P.L. 91-173).

Public Availability: NTIS, Order No. PB 235 761/4SL.

This report is a narrative of the material discussed at the Strategic Planning and Evaluation Workshop for the NIOSH on Feb. 26-March 1, 1973, which focused on the new program planning and management process of the National Institute for Occupational Safety and Health (NIOSH) with observations, review and comments by both contractor and participants. Also included are materials developed during the workshop and a roster of participants.

E00504-009

Evaluation of the National Institute of Occupational Safety and Health Query and Answer Technical Information Service. Arthur D. Little Inc., Cambridge, Mass. March 1973. 91 pp. + appendix.

Agency Sponsoring Evaluation: National Institute for Occupational Safety and Health, CDC.

Agency Managing Program: National Institute for Occupational Safety and Health, CDC.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283); Program Management, NIOSH (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III (42 USC 241-271).

Public Availability: NTIS, Order No. PB 240 650/2ST.

This report presents an assessment of the effectiveness of the NIOSH Query and Technical Information Service, with emphasis on the ways in which an information service can be helpful to the user. Persons using the service were interviewed as to their satisfaction with the service and its effectiveness in meeting their information needs. Tables are compiled from two rounds of telephone interviews.

E00504-010

An Analysis With Improvement Postulation for the Neighborhood Environmental Evaluation and Decision System (NEEDS Validation Study). William G. Madow. Stanford Research Institute, Menlo Park, Calif. April 1973. 80 pp. + appendix.

Agency Sponsoring Evaluation: Bureau of Community Environmental Management, CDC.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283); Program Management, CDC (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III (42 USC 241-271).

Public Availability: NTIS, Order No. PB 239 927/7ST.

This report summarizes an evaluation plan for NEEDS in terms of efficiency of procedure, instruments, and immediate purposes in order to maximize the ability of the project to work with local decision makers. It includes an organizational critique with appendices of questionnaire analyses. Both short-term and long-term recommendations are offered with the objective of producing an organization with baseline information about environmental problems and environmentally-related health problems.

E00504-011

Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners. F. T. Cross. Batelle Pacific Northwest Laboratories, Richland, Wash. July 1973. 313 pp.

Agency Sponsoring Evaluation: National Institute for Occupational Safety and Health, CDC.

Agency Managing Program: National Institute for Occupational Safety and Health, CDC.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283); Occupational Safety and Health Research Grants (13.262); Program Management, NIOSH (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III (42 USC 241-271); Occupational Safety and Health Act of 1970 (P.L. 91-596).

Public Availability: NTIS, Order No. PB 237 744/8ST.

This report represents a retrospective look at the methods employed by the National Institute for Occupational Safety and Health (NIOSH) over the past 30 years in the development of radiation exposure standards for uranium miners. The cost-effectiveness of the standards setting methodologies are also compared based on a theoretical model of miner employment.

E00504-012

Rat Control Evaluation Project; Philadelphia Household Interview and Environmental Survey. Eric C. Mood. Department of Epidemiology and Public Health, Yale University, New Haven, Conn. June-July, 1973. 6 vols. (807 pp.).

Agency Sponsoring Evaluation: Bureau of Community by Environmental Management, CDC.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: Urban Rat Control (13.267).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III, sec. 314e (42 USC 246).

Public Availability: NTIS, Order Nos. PB 238 978/1ST; PB 238 980/7ST; PB 238 979/9ST; PB 238 981/5ST; PB 238 982/3ST; PB 238 983/1ST.

The six volumes document the Philadelphia Rat Control knowledge, attitude and behavior (KAB) studies performed during the years 1970-1973. They present a detailed analysis, along with supporting data, of urban rat control activities as determined by household interviews. Rat control activities are analyzed with regard to their relation to changes in target area resident's knowledge, attitude and behavior toward environmental improvement. Volume I, contains the final report and a summary of the final report (June 30, 1972) of part one of a two-part interview study. Volume II, published August 20, 1972, contains evaluative guidelines and measurement procedures for selected program activities of Urban Rat Control Projects funded under 314(e) of the Public Health Service Act as amended, as well as a manual for the use of these guidelines and procedures. Volume III, published June 29, 1973, is the final report of part two of the study and includes an analysis of the differences observed between the 1970 and 1972 studies. Volume IV, published June 29, 1973, contains Appendixes A through D-4 to the part two Final Report. These appendixes are: interview schedule, coding manual, programming specs, stored variable index, forms and guides in coding, and marginal tabulations. Volume V, published June 29, 1973, contains Appendixes D-5 through E to the Part Two Final Report. These appendixes include marginal tabulations and index questions and index scoring procedure explanation. Volume VI, published July 31, 1973, provides an abbreviated knowledge, attitudes, and behavior interview schedule and interviewer training manual.

E00504-014

Organizational Evaluation and Information Needs Study. Decision Sciences Corp., Jenkintown, Pa. January 1974. 54 pp.

Agency Sponsoring Evaluation: National Institute for Occupational Safety and Health, CDC.

Agency Managing Program: National Institute for Occupational Safety and Health, CDC.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283); Program Management, CDC (13.000); Program Management, NIOSH (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, as amended, Title III (42 USC 241-271); Federal Coal Mine Health and Safety Act of 1969 (P.L. 91-173; 30 USC 902-951); Occupational Safety and Health Act of 1970 (P.L. 91-596).

This report summarizes the study and recommendations developed by Decision Sciences Corporation to mitigate both organizational and information-needs problems in NIOSH. Detailed analysis is provided in Volumes II and III of this report. Programmatic Activity Evaluated: Comprehensive analysis of the National Institute for Occupational Safety and Health in order to examine, analyze, and structure its organization, operations, and information flow processes.

E00504-015

Program Operational Planning. Thomas Breazeal. Mariscal and Co., Los Angeles, Calif. April 1974. 29 pp. + appendixes.

Agency Sponsoring Evaluation: National Institute for Occupational Safety and Health, CDC.

Agency Managing Program: National Institute for Occupational Safety and Health, CDC.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283); Program Management, NIOSH (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553); Health planning and construction (554).

Program Authorization: Public Health Service Act, as amended Title III (42 USC 241-271); Federal Coal Mine Health and Safety Act of 1969 (P.L. 91-173; 30 USC 902-951); Occupational Safety and Health Act of 1970 (P.L. 91-596).

This report presents an assignment summary; recommendations regarding NIOSH planning process; a feedback system; and a discussion of evaluation.

E00504-016

Evaluation of National Institute for Occupational Safety and Health Short Term Training Program. System Research and Services, Inc., Denver, Colo. NIOSH 72-3. February 1973. 86 pp.

Agency Sponsoring Evaluation: National Institute for Occupational Safety and Health, CDC.

Agency Managing Program: National Institute for Occupational Safety and Health, CDC.

Program(s) Evaluated: Occupational Safety and Health Training Grants (13.263); CDC Investigations, Surveillance and Technical Assistance (13.283).

Budget Function/Subfunction: Prevention and control of health problems (553); Health planning and construction (554).

Program Authorization: Public Health Service Act, as amended sec. 301, 311 (42 USC 241, 243); Coal Mine Health and Safety Act of 1969 (P.L. 91-173, sec. 502a);

Occupational Safety and Health Act of 1970 (P.L. 91-596, sec. 21).

Public Availability: NTIS, Order No. 237 759/6ST.

This document defines a procedure for evaluating the validity and effectiveness of vocationally oriented training systems; and in particular, reports the findings and recommendations for improving the National Institute for Occupational Safety and Health Short-Term Training Program. A combination of research and observation outside the training environment were to be employed for this study.

E00504-017

An Evaluation of Program Elements and Impact of Federal and Local Lead-Based Paint Poisoning Grant Programs. J. M. Bates and H. M. Lieberman. J. Square B Square Consultants Inc., Los Angeles, Calif. June 28, 1974. 148 pp.

Agency Sponsoring Evaluation: Bureau for Community Environmental Management, CDC.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: Childhood Lead-Based Paint Poisoning Control (13.266); CDC Investigations, Surveillance and Technical Assistance (13.283).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Lead-Based Paint Poisoning Prevention Act, Title I, as amended (P.L. 93-151; 42 USC 480).

Public Availability: NTIS, Order No. PB 239 791/AS.

This document represents a study of the aspects and initial impacts of the lead-based poisoning control programs in forty U.S. cities. The projects, of which two are selected for evaluation in this report, were funded through the Lead-Based Paint Poisoning Prevention Act of 1971 by the Bureau of Community Environmental Management.

E00504-018

Evaluation of Status of Standards for Diagnostic Materials. November 1974. 12 pp.

Agency Sponsoring Evaluation: Center for Disease Control, PHS.

Agency Managing Program: Center for Disease Control, PHS; Food and Drug Administration, PHS.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283); Medical Devices and Diagnostic Products (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III (42 USC 241-247); Federal Food, Drug and Cosmetic Act, sec. 501-503 (21 USC 351-353).

This report summarizes the findings of a panel of consultants cosponsored by the World Health Organization (WHO) and the Center for Disease Control (CDC) and held at CDC under the main heading 'Standardization of Diagnostic Materials.'

E00504-019

Development of Data Base for Assessing Impact of Newly Established Venereal Disease Control Program. Ohio Dept. of Health, Columbus, Ohio. September 1974. 36 pp.

Agency Sponsoring Evaluation: Center for Disease Control, PHS.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283).

Budget Function/Subfunction: Prevention and control of health problems (553).

Public Availability: NTIS.

The central objective of this contract was to evaluate gonorrhea control efforts in a selected city with carefully defined characteristics. The impact of individual program components on disease trend was assessed by examining each component and the epidemiological data generated by each program activity. The evaluation developed data on two aspects of the control program. One was the impact of program components; the other was the efficiency with which control measures were applied. Executive Summary, Final Report.

E00504-020

Effectiveness Criteria in Venereal Disease Control Programs. Department of Biometry and Statistics, Emory University, Atlanta, Ga. May 1975. 49 pp.

Agency Sponsoring Evaluation: Center for Disease Control, PHS.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: CDC Investigations, Surveillance and Technical Assistance (13.283).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III (42 USC 241-271).

Public Availability: NTIS.

The Center for Disease Control (CDC) contracted with the Emory University Department of Biometry and Statistics to examine venereal disease morbidity data. The data provided were either generated by public health agencies or by independent research institutions (IMS America Ltd. of Ambler, Pa. and the Commission on Professional and Hospital Activities of Ann Arbor, Michigan). Under the contract the department was to perform the following services: examine and analyze the morbidity data of the Public Health Service; analyze the CDC data and compare it to that obtained from the independent research institutions; engage in statistical explorations of the data as deemed appropriate; and provide evaluation comments and suggestions whenever possible.

E00504-021

The Effect of Discontinuing Project Grants for Tuberculosis. Robert Henderson, and others. Geomet, Inc., Rockville, Md. January 1975. 116 pp. + appendix (33 pp.).

Agency Sponsoring Evaluation: Center for Disease Control, PHS.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: Disease Control Project Grants (13.268); Preventive Health Services (13.000); CDC Investigations, Surveillance and Technical Assistance (13.283).

Budget Function/Subfunction: Prevention and control of health problems (553); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 317, 318, as amended (42 USC 247); Communicable Disease Control Amendments of 1972 (P.L. 92-449).

Public Availability: NTIS, Order No. PB 245 324.

This study addressed the feasibility of relating measures of the changing Federal fiscal role to measures of change in other funding roles as well as change in provision of tuberculosis services during the study years (1965, 1969, and 1973). Measures were obtained to perform an analysis of the study sites, both collectively and individually. The changing national tuberculosis scene was reviewed in order to provide the proper context for interpretation of results. The summary restates study findings and the contractor's conclusions.

E00505-006

Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project Idea 35. March 1974. 27 pp.

Agency Sponsoring Evaluation: Food and Drug Administration, PHS.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Program Management, FDA (13.000); Program Management, Regional Operations (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug and Cosmetic Act (21 USC 321 et seq.); Public Health Service Act (42 USC 201 et seq.); Fair Packaging and Labelling Act (P.L. 89-755; 15 USC 1451 et seq.); Federal Import Milk Act (P.L. 69-625).

This report recommends that abbreviated inspections be continued for bottling plants, bakeries, specialty products manufacturers, grain mills, candy manufacturers, and warehouses; it further recommends that similar inspections to be extended to other food commodities. It indicates that the addition of critical factors in the area of labelling and general sanitation will increase the number of violative firms discovered and may increase it to a level where it is not significantly different from normal inspections. In addition, critical factors lists and abbreviated reporting have been shown to produce significant time savings. Abbreviated inspections with well-chosen lists of critical factors can produce compliance rates that are not significantly different from the normal inspections. It is recommended that a confirmation test of the six commodities listed above be conducted after one year of abbreviated inspections, since this will serve as a check on the improved critical-factors lists for bottling plants, mills, and candy manufacturers. It will also verify that abbreviated inspections are a good alternative to the normal inspection.

E00505-009

Evaluation of the FDA Consumer Deputy Program. Final report. Messer Associates, Inc., Silver Spring, Md. April 17, 1973. 40 pp. + appendices.

Agency Sponsoring Evaluation: Food and Drug Administration, PHS.

Agency Managing Program: Food and Drug Administration, PHS; Consumer Product Safety Commission.

Program(s) Evaluated: Program Management, FDA (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act (42 USC 201 et seq.); Federal Food, Drug and Cosmetic Act (21 USC 321 et seq.); Fair Packaging and Labelling Act (P.L. 89-755; 15 USC 1451-1461).

The evaluation indicates that the Food and Drug Administration's (FDA) image was improved as a result of this program; however, the publicity was mainly in the early stages of the program and did not continue for any significant period. Also, a limited increase in consumer education and awareness was achieved. In addition, some retailer education was achieved among the retailers visited by the consumers deputies; the program had a small impact on supplementing the FDA's field surveillance capabilities; and the consumer deputies succeeded in getting a considerable number of banned toys removed from the stores they surveyed.

E00505-010

Evaluation of Poison Control System: Vol. I, Project Results, Conclusions, and Recommendations; Vol. II, Evaluation Framework and Models for performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat. Averages. Messer Associates Inc., Silver Spring, Md. June 1973. 3 vols.

Agency Sponsoring Evaluation: Food and Drug Administration, PHS; Office of Assistant Secretary for Planning and Evaluation, Office of the Secretary, HEW.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Human Drugs (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug and Cosmetic Act, sec. 505 (21 USC 355).

Public Availability: NTIS, Order No. PB 224 957/1/SET.

The Poison Control System consists of Federal and non-Federal activities aimed toward preventing and reducing morbidity and mortality by accidental poisoning. Non-Federal poison control activities are performed by autonomous poison centers, often located in or near a hospital emergency room. Federal activities, which include maintaining the Poison Control Clearinghouse and publishing the Annual Poison Statistics and the Clinical Toxicology of Commercial Products, are administered through the Food and Drug Administration and the Consumer Product Safety Commission. Objectives of this study were to identify the activities performed by the Poison Control System, to develop a framework for ongoing evaluation of these activities, to define and analyze possible improvements and changes in the PCS, and to determine the suitability of the evaluation techniques

developed for other DHEW programs. It was found that resources were expended on poison treatment at a rate five times greater than for prevention activities, but that the program saved substantial usage of emergency room services. Volume I of this study contains the project results, conclusions, and recommendations; Volume II presents frameworks and models for on-going evaluation of the System; and Volume III contains performance and cost indicators tabular displays.

E00505-011

Manpower Management Program, Executive Director of Regional Operations (EDRO), Field Organization, FDA. June 1975. 117 pp. + attachments.

Agency Sponsoring Evaluation: Food and Drug Administration, PHS.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Program Management, FDA (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act (42 USC 201 et seq.); Federal Food, Drug and Cosmetic Act (21 USC 321 et seq.); Fair Packaging and Labelling Act (P.L. 89-755; 15 USC 1451-1461).

This report validates the Program Oriented Data System (PODS) data and demonstrates the capability of the current Executive Director of Regional Operations (ERDO) system for providing Manpower Management Program (MMP) coverage for all field activities. Through modified work measurement studies and verification studies conducted in six district offices, the existence of an acceptable level of accuracy in the PODS data base has been determined. The Kansas City District was selected as a prototype, with the following data developed: a work-unit structure, standard times based on total times and work counts, standard manyears for inspectors and analysts, a manpower budget, and performance and productivity indexes. A yearly forecast of a performance/productivity index for each District is recommended. The index should include an evaluation of previous indexes, an evaluation of external events, an evaluation of bureau compliance programs, and standard compliance programs, and an evaluation of the availability or use of new equipment. Steps should be taken to expand this system to the remainder of the field. Computer systems support should be obtained to provide easily analyzed PODS data on each District office, which should be reviewed and re-evaluated biennially.

E00505-012

An Investigation of Consumers' Perceptions of Adverse Reactions to Cosmetic Products; final report. Westat, Inc., Rockville, Md. June 1975. 2 vols.

Agency Sponsoring Evaluation: Food and Drug Administration, PHS.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Cosmetics Programs (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug and Cosmetic Act, sec. 601-603 (21 USC 361-363).

This report investigates consumer's perceptions of adverse reactions to cosmetic products. It utilizes an epidemiological study design characterized by two major goals. The first goal is to develop and test accurate, cost-effective mechanisms for collecting consumer-experience data. To accomplish this, the Food and Drug Administration (FDA) evaluated the capability of a consumer panel to report detailed information on cosmetic use and adverse reactions. The second goal of the study includes the collection of empirical data which define the frequency and type of cosmetic-related adverse reactions experienced by a panel group of American consumers. The major issues studied through this goal include: 1) consumer-perceived adverse reactions associated with these projects; 2) the extent to which consumer-perceived reactions are verified by physicians as being cosmetic-related injuries; 3) the severity and characteristics of adverse reactions verified by physicians; 4) overall usage patterns of cosmetics by the panel of consumers; and 5) the rate of incidence of cosmetic-related adverse reactions. Empirical findings on these issues are not projectable beyond the consumer panel or to annual usage or adverse incident patterns.

E00505-013

Evaluation Study of the Antibiotic Certification Program; final report. Memorandum. Korba Associates Inc., and Messer Associates Inc., Silver Spring, Md. July 1974. 32 pp.

Agency Sponsoring Evaluation: Food and Drug Administration, PHS.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Human Drugs Program (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug and Cosmetic Act, Chap. V (21 USC 351 et seq.).

An evaluation of the Antibiotic Certification Program recommends several changes, including applying inspection by variables in test-decision rules; increased use of historical data; decertification of certain products; exemptions, skip-lot testing, and reprogramming testing-resources to critical areas; and that a full time analyst be permanently assigned to the National Center for Antibiotic Analysis, or at least for several months, to assist in implementation of these changes and to further pursue the methodological studies undertaken in this study. In addition, it is recommended that steps be taken to equalize the monitoring effort among drug classes to permit achieving a single quality level; that consideration be given to certification authority for use on an as needed basis; and that consideration be given to a major policy change relative to certification as now interpreted.

E00505-014

Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report. Karen B. Appleton, and others. Mariscal and Company, and National Institute for Community Development, Inc., Washington, D.C. December 4, 1974. 49 pp.

Agency Sponsoring Evaluation: Food and Drug Administration, PHS.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Program Management, FDA (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act (42 USC 201 et seq.); Federal Food, Drug and Cosmetic Act (21 USC 321 et seq.); Fair Packaging and Labeling Act (P.L. 89-755; 15 USC 1451-1461).

An evaluation of the Food and Drug Administration (FDA) Neighborhood Youth Consumer Education Project states that although at least three of the projects were quite successful in transmitting FDA consumer-oriented information, the Neighborhood Youth Consumer Education Project (NYP) should be either strengthened or phased-out. The program in its present form does not warrant continued support. If the FDA decides, however, to continue funding the program at its present level, it is recommended that the following organizational and policy changes be adopted: the program should be restructured within the FDA organizational framework so as to receive the benefit of regular coordination with the regions, provide information about and insights into related consumer education activities, and foster the development of more specialized materials by FDA education-material-development specialists; the NYP concept deserves more serious and institutional support than the FDA has made available; and, if properly restructured, the NYP effort should be expanded. The evaluation also contains other recommendations.

E00505-015

Preliminary Field Resource Allocation Model. W. E. Garthright, Jr. OPE Report 4. September 1974. 48 pp. + appendices.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, FDA.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Program Management, FDA (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug and Cosmetic Act (21 USC 321 et seq.); Public Health Service Act (42 USC 201 et seq.); Fair Packaging and Labeling Act (P.L. 89-755; 15 USC 1451 et seq.); Federal Import Milk Act (P.L. 69-625).

This report presents a model of the Food and Drug Administration (FDA) inspection process with the following three goals: 1) estimation of the compliance rates of industries; 2) relation of these rates to the inspection resources at FDA disposal, and estimation of changes that would result from changing the resources; and 3) identification of the follow-up time intervals which will maximize the number of firms in compliance. The report defines three measures of compliance rate and relates them mathematically. A model of inspections and classification is developed to relate resources to compliance rate in the steady-state. A cost model is also developed, and a measure of effectiveness selected. The resource allocation model results indicate that additional resources can effect increases of over 30 percent in the steady-state in-compliance rate. The most cost-effective tactic to raise compliance rates was found to be an increased emphasis on out-of-compliance firms. Conclusions indicate that the FDA has a resource allocation tool that appears to relate resources to compliance rates.

Significant increases in compliance status can be effected with a reasonable increase in resource levels. The FDA can improve its reporting compliance rates by the use of the mathematical relationships derived to equate the various measures of compliance.

E00506-032

Audit of Minority Reporting System. Macro Systems Inc., Silver Spring, Md. July 1972. 52 pp.

Agency Sponsoring Evaluation: Health Services and Mental Health Administration, PHS.

Agency Managing Program: Health Resources Administration, PHS.

Program(s) Evaluated: Program Management, HRA (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Nurse Training Act of 1975 (P.L. 94-63; secs. 901-951; 42 USC 296-298b); Comprehensive Health Manpower and Training Act of 1971 (P.L. 92-157; 42 USC 292 et seq.).

Public Availability: NTIS, Order No. PB 213 288/4.

The HSMHA minority reporting system was analyzed to assess the timeliness and accuracy of monthly minority status reports. Study findings were obtained on minority data flow through DHEW organizations before their appearance on minority status reports, time of appearance on minority reports after a personnel action occurs, and minority report data accuracy, where missing minority codes cause most of the significant errors. Some recommendations are made.

E00506-033

Case Studies of Statewide Comprehensive Health Planning; a final report. Abt Associates Inc., Cambridge, Mass. July 1972. 66 pp.

Agency Sponsoring Evaluation: Comprehensive Health Planning.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Planning, Grants to States (13.207).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

Public Availability: NTIS, Order No. PB 231 884/8.

Increased prioritization in the areas of health planning and regional, state and local capabilities to set health service goals and establish programs has placed comprehensive health planning in the role of linkage between Federal support and local initiative in health services delivery. Four case studies were chosen which described successes achieved by A-agencies in carrying out their roles on certain issues and to document the impact of these successes in affecting the provision of health services. The four studies were done in Maine, Minnesota, Tennessee and Washington.

E00506-034

Impact of Regional Medical Programs on Coronary Care in New England. Medical Care & Education Foundation, Boston, Mass. July 1972. 63 pp. + appendices.

Agency Sponsoring Evaluation: Regional Medical Program Service (now in Bureau of Health Planning and Resources Development, HRA).

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Regional Medical Program Grants (13.249).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

Public Availability: NTIS, Order No. PB 213 260/3.

Findings of this study include the following: (1) Activities which are designed to improve Coronary Care Units and which take regionalization into account tend to promote regionalization of the coronary care system. (2) CCU improvement activities sponsored by RMP are more likely to take regionalization into account. (3) There appears to be no direct relationship between regionalization of coronary care and quantity and quality of resources available for such care. (4) Patient survival is better in units that specialize in the care of patients with acute myocardial infarction. (5) Many of the conventional standards for CCUs related to facilities, equipment, personnel training, organization and procedures are not associated with improved survival. (6) Improvements in facilities and equipment appear to occur independently of any agency-sponsored CCU improvement activities, but improvements on the supply of manpower and other organizational factors are highly dependent on agency-sponsored activities. (7) CCUs which by reputation are considered to be good and which use similar therapeutic regimens may have markedly different severity-adjusted case survival rates.

E00506-035

Evaluation of Hill-Burton Bed Need Formula: Short-Term General Hospital Beds. August 1972. 32 pp. + Staff Report (6 pp.).

Agency Sponsoring Evaluation: Health Care Facilities Service, HRA.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Health Facilities Construction Grants (13.220).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Medical Facilities Construction and Modernization Act of 1970 (P.L. 91-296).

Contents of this study are: introduction; a program in transition; analysis of the Hill-Burton bed need formula; conclusions; recommendations; and staff report.

E00506-036

Hospital Discharge Evaluation Project. Health Statistical Branch, Bureau of the Census. August 1972. 1 p.

Agency Sponsoring Evaluation: National Center for Health Statistics, HRA.

Agency Managing Program: National Center for Health Statistics, HRA.

Program(s) Evaluated: National Health Statistics (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

This is a summary report on goals, methodology, and results and recommendations. The programmatic activity evaluated was the field operation in reference to the sample of hospitals reporting in the NCHS systems and the maintenance of ongoing systems of quality control.

E00506-037

Study of Response Errors in the Health Interview Survey. Demographic Survey Division, Bureau of the Census. August 1972. 2 pp.

Agency Sponsoring Evaluation: National Center for Health Statistics, HRA.

Agency Managing Program: National Center for Health Statistics, HRA.

Program(s) Evaluated: National Health Statistics (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

The programmatic activity evaluated were experiments investigating errors and costs associated with different sources of information, and for different types of data about use of health services. The study also involved determination of which household member is the best respondent, and under which circumstances self-enumeration is better than personal interviews.

E00506-038

Health Statistics Today and Tomorrow. Jack Moshman and P. M. Hauser. Moshman Associates Inc., Rockville, Md.; Committee to Evaluate the National Center for Health Statistics. September 1972. 23 pp. + appendices.

Agency Sponsoring Evaluation: Health Services and Mental Health Administration, PHS.

Agency Managing Program: National Center for Health Statistics, HRA.

Program(s) Evaluated: National Health Statistics (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Health Services Research, Health Statistics, and Medical Libraries Act of 1974 (P.L. 93-353; 42 USC 242b et seq.).

Public Availability: NTIS, Order No. PB 213 691/3.

The study assessed the activities and functions of the National Center for Health Statistics within the broad framework of the role of health statistics. The following are some of the general recommendations made by the evaluation committee to HSMHA for facilitating the role of NCHS: (1) A health accounts system should be developed by HSMHA with the objective of coordinating programming and general purpose statistics to provide a comprehensive basis for planning, administering, and evaluating health care in the U.S. (2) Primary responsibil-

ity should be set for coordinating HSMHA's statistical activities with those of other HEW components and other government agencies. (3) A major effort should be made to improve the timeliness of publication and other release of health statistics. (4) Provision should be made for the widespread dissemination of health statistics by means of more adequate indexing publications, making available summary computer tapes, and the preparation of summary and interpretive publications which draw on data from diverse sources. (5) The evaluation committee endorses the recommendations made by the President's Commission on Federal Statistics.

E00506-039

Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972. Murry Gendell. Center for Population Research, Georgetown University, Washington, D.C. October 1972. 50 pp.

Agency Sponsoring Evaluation: National Center for Health Statistics, HRA.

Agency Managing Program: National Center for Health Statistics, HRA.

Program(s) Evaluated: National Health Statistics (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

Contents of this study include: primary objectives and design of the National Survey of Family Growth (NSFG), and objectives of the planning meetings: data objectives, survey design, analysis and publication of data, objectives of the series of planning meetings; survey design, methodology and data quality control procedures; sample coverage and design, longitudinal features, timing of NSFG regular rounds and integration with longitudinal surveys--questionnaire design, involving outside researchers, suggestions for special methodological studies--interviewer training, interviewer supervision, minimizing non-response, coding operations, post-survey reinterview; content of questionnaire: demographic data--dependent variables, independent variables, family planning data--sources of family planning information and services, contraceptive use history, health data, side effects; publication and analysis; analytical reports: advance reports, methodological reports, detailed analytical reports--combining or separating reports, evaluating cell strength, display of absolute numbers and inflation to U.S. estimates, poverty status as a control variable, standard sets of variables, breakdowns by religion and race, analysis for post-married women, consistency and clarity.

E00506-040

Prepare Natality Data and Evaluate OCR Hardware and Techniques; final report. Edgar Parsons. Systems Sciences, Inc., Chapel Hill, N.C. October 1972. 49 pp. + appendixes.

Agency Sponsoring Evaluation: National Center for Health Statistics, HRA.

Agency Managing Program: National Center for Health Statistics, HRA.

Program(s) Evaluated: National Health Statistics (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

This report presents the following information: introduction and summary; data flow and control systems: evolutionary steps leading to the present system, data flow, special problems in present system, record control system; OCR typist selection, training, and output: introduction, hiring criteria, training program, factors affecting OCR code-typist efficiency, operator output and quality; Analysis of data accuracy and error sources: scope of analysis, types and sources of error, coding and operator motivation, data errors and speed of equipment; Evaluation of OCR technology: hardware evaluation, software evaluation, evaluation of present application. Appendix A: Operating Procedures of Lot Control Supervisor; Appendix B: Personnel Profile.

E00506-041

Project Termination in RMPS (Regional Medical Program Service). Teresa Schoen. November 1972. 9 pp. + tables.

Agency Sponsoring Evaluation: Regional Medical Program Service (now in Bureau of Health Planning and Resources Development, HRA).

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Regional Medical Program Grants (13.249).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

This study summary includes information on the duration of prior support of terminated projects, shifts in funding patterns, the relationship of reductions to type of grantee, and comments and conclusions. Tables display RMPS project funding patterns and the net change in project funding over a six-month period.

E00506-042

Evaluation of Consumer Health Training and Education Programs. Muriel B. Kellogg. National Urban League, Washington, D.C. January 1973. 96 pp. + appendixes.

Agency Sponsoring Evaluation: Health Services and Mental Health Administration, PHS.

Agency Managing Program: Health Resources Administration, PHS.

Program(s) Evaluated: Program Management, HRA (13.000).

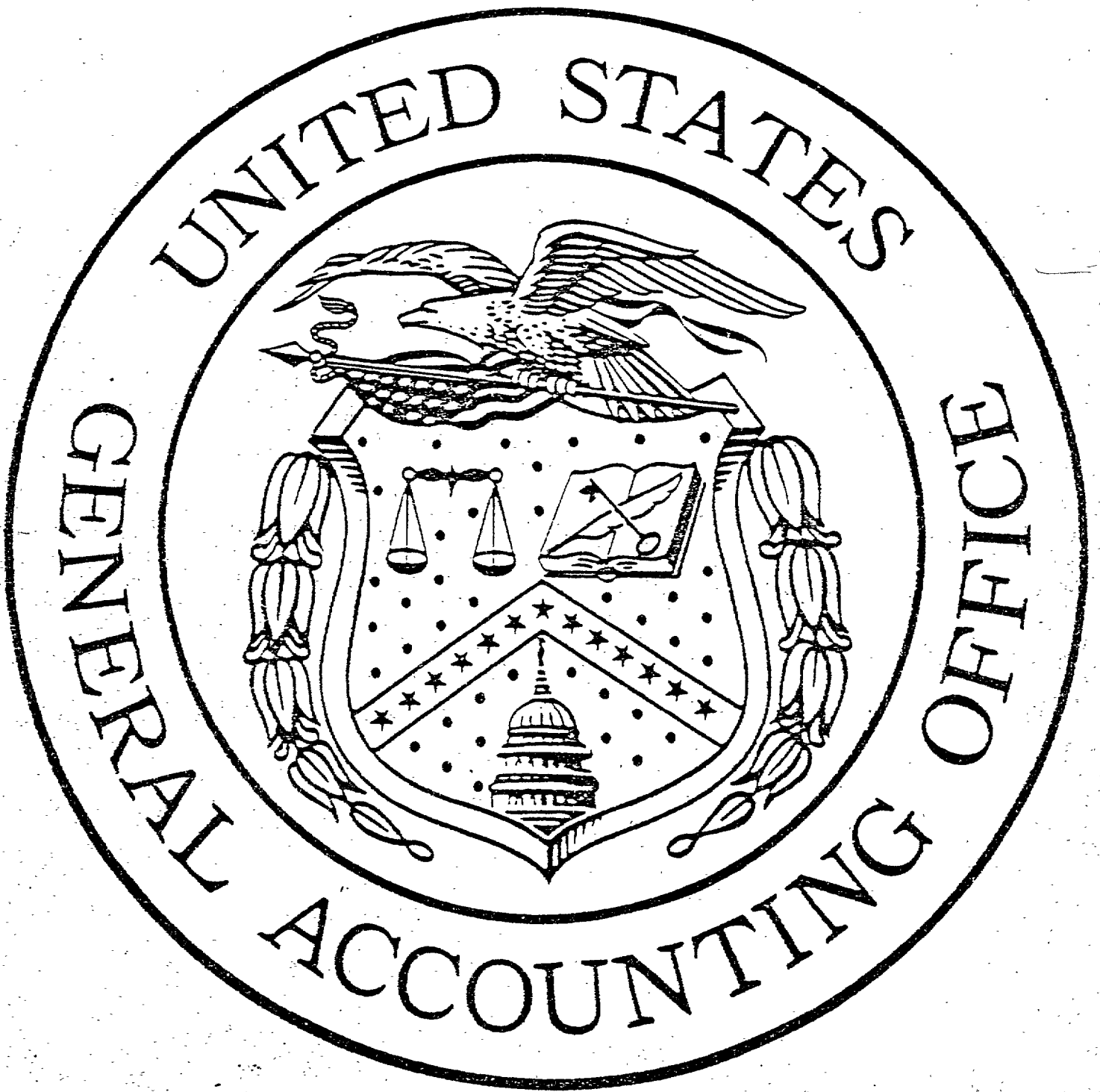
Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Comprehensive Health Planning Act of 1966 (P.L. 89-749, sec. 314(c)).

Public Availability: NTIS, Order No. PB 225 282/3.

P.L. 89-749, passed in 1966, included a number of provisions for increasing participation of consumers in the improvement of the health care delivery system, including development of training programs to equip consumers for participation in the health planning process. The evaluation attempts to ascertain the direct effect of training programs on consumer participation by assessing differences in program content and structure,

2 OF 10



identifying training patterns and the resulting attitudinal and behavioral changes in the consumer trainees.

E00506-043

Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration. David Mars. School of Public Administration, University of Southern California, University Park, Los Angeles, Calif. January 1973. 326 pp.

Agency Sponsoring Evaluation: Health Services and Mental Health Administration, PHS.

Agency Managing Program: Health Resources Administration, PHS.

Program(s) Evaluated: Program Management, HRA (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Nurse Training Act of 1975 (P.L. 94-63; secs. 901-951; 42 USC 296-298b); Comprehensive Health Manpower and Training Act of 1971 (P.L. 92-157; 42 USC 292 et seq.); Health Services Research, Health Statistics, and Medical Libraries Act of 1974 (P.L. 93-353; 42 USC 242b et seq.); National Health Planning and Resources Development Act of 1974 (P.L. 93-641; 42 USC 300k et seq.).

Public Availability: NTIS, Order No. PB 222 229/7.

The study examined the perceptions held by a large sample of persons in two HEW administrative regions, of the progress in carrying out HSMHA's policy of decentralization in those two regions. The study took the form of an in-depth survey of the decentralization process in Region IX (San Francisco) and Region X (Seattle). Some findings: 55.4% of those responding thought HSMHA to be more decentralized than two years earlier; 59.3% thought that HSMHA regional officials had a deeper understanding of regional health needs than two years earlier; 82.5% wanted to see decentralization in Federal health programs increase; 68.8% thought that the presence of HSMHA regional organization had strengthened the quality of health care in their locales.

E00506-044

Dental Auxiliary Utilization: a National Evaluation. Denise Thum. Medical Foundation Inc., Boston, Mass. January 1973. 115 pp. + appendices.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Program Management, BHM (13.000).

Budget Function/Subfunction: Health planning and construction (554); Health research and education (552).

Program Authorization: Health Training Improvement Act of 1970 (P.L. 91-519); Health Manpower Act of 1968 (P.L. 90-490); Comprehensive Health Manpower and Training Act of 1971 (P.L. 92-157; 42 USC 292 et seq.).

Public Availability: NTIS, Order No. PB 231 233/8.

The Dental Auxiliary Utilization Grant Program provides Federal grants to dental schools for training dental students in the effective use of auxiliary personnel. Recently, a new program, the Training in Expanded Auxiliary Management (TEAM) Grant Program, was established to train dental students in the team

approach to dental care delivery. Findings indicate that it will be necessary to identify those expanded functions for auxiliaries which will produce the greatest gains in productivity for dentists in private office practice. Many practitioners are amenable to the concept of auxiliary personnel, but it will be necessary to train many more dental auxiliaries so that the greatest possible contribution is possible.

E00506-045

Installing and Validating a Data Collection and Analysis System for the Uniform Health Manpower Evaluation. James Irby and Gary Mason. Systematics General Corporation, McLean, Va. February 9, 1973. 57 pp.

Agency Sponsoring Evaluation: National Center for Health Services Research, HRA.

Agency Managing Program: National Center for Health Services Research, HRA.

Program(s) Evaluated: Program Management, NCHSR (13.000); Program Management, BHM (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

Public Availability: NTIS, Order No. PB 236 506/AS.

This report presents a detailed review of Systematics General Corporation's (SGC's) role under the direction of the National Center for Health Services Research and Development, in the installation of a data collection and analysis system for the Uniform Health Manpower Evaluation. This report deals primarily with SGC's activities related to the development of instruments, data collection techniques and activities, computer system design and programming, data analysis approaches, and the subsequent mechanization of the elements. Appendices A through I are provided at the end of the report to further describe subjects covered in the basic report.

E00506-046

Information Support System Approach to Problem-Oriented Program Assessment of Local Regional Medical Programs. Information Support System Center for Community Health and Medical Care, Harvard University, Boston, Mass. February 28, 1973. 3 vols. (226 pp.).

Agency Sponsoring Evaluation: Regional Medical Program Service (now in Bureau of Health Planning and Resources Development, HRA).

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Regional Medical Program Grants (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

Public Availability: NTIS, Order No. PB 240 128/9ST.

The report discusses the development and field testing of the program evaluation methods developed under the Information Support System contract. It also includes personnel employed on contract activities, schedule of field activities conducted by region, and a list of publications prepared by Information Support System.

E00506-047

Evaluation of Graduate Training Programs in Health Planning. Macro Systems Inc., Silver Spring, Md. February 1973. 57 pp. + appendixes.

Agency Sponsoring Evaluation: Comprehensive Health Planning (now in Bureau of Health Planning and Resources Development, HRA).

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Planning, Grants to States (13.207); Project Grants for Graduate Training in Public Health (13.338).

Budget Function/Subfunction: Health planning and construction (554); Health research and education (552).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

Public Availability: NTIS, Order No. PB 239 991/3ST.

The study examines the graduate level training programs initiated by the Comprehensive Health Planning Service (CHPS), with attention to the funding, placement of graduates, and cooperation between the training and the health agencies. It also established tentative guideline for evaluating grant proposals and technical assistance projects. Recommendations are included pertaining to management policies and future directions of CHPS with the training programs. Appendixes of questionnaires and committees appear in the report.

E00506-049

An Analysis of Actual and Potential Uses of Nursing Homes. Steven A. Baumgarten. School of Business Administration, University of Wisconsin, Milwaukee, Wisc. March 23, 1973. 47 pp.

Agency Sponsoring Evaluation: Regional Medical Program Service (now in Bureau of Health Planning & Resources Development, HRA).

Agency Managing Program: Health Resources Administration, PHS.

Program(s) Evaluated: Program Management, HRA (13.000); Regional Medical Program Grants (13.249).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

Public Availability: NTIS, Order No. PB225 117/1.

Nursing home care for the elderly has been the fastest growing sector within the health care industry, but there has been considerable doubt in recent years as to the quality and services administered by nursing homes. This study utilized marketing oriented research procedures to investigate the relationship between nursing homes and their patients. It sought to develop a survey research methodology for measurement of adjustment and satisfaction of nursing home patients; to determine the procedure by which nursing homes are selected; and to identify the primary determinants and levels of patient satisfaction. It was found that the decision to enter a nursing home is characterized by a lack of comparison of alternatives by the patient and an unevaluative acceptance of the specific home selection decision by others. Adjustment (magnitude and difficulty of behavioral change necessary in order to utilize needed services) centered around lack of privacy and imposed

rules. Satisfaction was based more upon the social than the physical environment of the home.

E00506-050

Can University Extension Run Consumer Health Education Programs? An Evaluative Study of Four Demonstrations and Four Controls. Trans Century Corporation, Washington, D.C. March 1973. 118 pp. + appendixes & exhibit.

Agency Sponsoring Evaluation: Health Services and Mental Health Administration, PHS.

Agency Managing Program: Health Resources Administration, PHS.

Program(s) Evaluated: Program Management, HRA (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Comprehensive Health Planning Act of 1966 (P.L. 89-749, sec. 314(c)).

Public Availability: NTIS, Order No. PB 231 775/8.

Consumer education in the health area has increased with the shift in emphasis to health maintenance and preventive medicine. The study sought to determine whether university extension centers are well equipped to run consumer health education programs. The four demonstration models were of two types: 'information dissemination' and 'community development.' Although extension activities can draw on many resources, considerable barriers--including money, competition among established and new programs, and lack of cooperation from medical schools--existed much to the detriment of the study. Results indicate that University Extension Centers are not capable of or committed to effective operation of community-based consumer health education programs.

E00506-051

Evaluation of the Experimental Medical Care Review Organization (EMCRO) Programs. Paul A. Bonner. Arthur D. Little Inc., Cambridge, Mass. March 1973. 2 vols.

Agency Sponsoring Evaluation: National Center for Health Services Research, HRA.

Agency Managing Program: National Center for Health Services Research, HRA.

Program(s) Evaluated: Program Management, NCHSR (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

Public Availability: NTIS, Order No. PB 224 483/8.

The Experimental Medical Care Review Organization (EMCRO) program was initiated in order to study the ability of regional medical appraisal programs to both improve the quality of medical care and moderate its cost. Grants were provided to selected local agencies to develop, document, and evaluate medical care review methods at the state and county level. The EMCRO evaluation protocol (volume II of the report) addresses questions concerning the impact of various EMCRO projects on the quality and cost of medical care and the relative cost-effectiveness or cost/benefits of different approaches to peer review. The protocol consists of a format for the collection and updating of information

concerning the environment, organization, methods, and current status of each EMCRO project. Program reporting is based on development of a minimum common set of data, selected because of utility to the projects, to NCHSR and D, and to the implementation of Professional Standards Review Organizations (PSROS).

E00506-052

Evaluation of the Primary Objectives of the Long-term Professional Nurse Traineeship and Special Nurse Fellowship Programs. Bureau of Social Sciences Research. September 1974: vol. I, 68 pp. + appendixes; vol. II, 125 pp.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Health Services Research and Development-Fellowships and Training (13.225). Professional Nurse Traineeships (13.358).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Health Manpower Act of 1968 (P.L. 90-490); Health Manpower Training and Improvement Act of 1970 (P.L. 91-519); Nurse Training Act of 1971 (P.L. 92-158).

E00506-053

Evaluation of the Uniform Hospital Discharge Abstract Site Test. Benjamin C. Duggar. Bio-Dynamics Inc., Cambridge, Mass. March 1973. 231 pp. + appendixes.

Agency Sponsoring Evaluation: National Center for Health Services Research, HRA.

Agency Managing Program: National Center for Health Services Research, HRA.

Program(s) Evaluated: Program Management, NCHSR (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

Public Availability: NTIS, Order No. PB 223 405/2.

The uniform hospital discharge data demonstration was intended to demonstrate the feasibility, identify the difficulties and costs, and assess the utility of collecting a minimum uniform basic data set from each hospital discharge in different sites, employing a variety of hospital discharge abstract services. Evaluation of the demonstration was to determine the extent to which the data set and definitions would meet the anticipated needs of user groups, provide an understanding of alternative data gathering techniques and costs, and to explore the extent to which demonstration experiences were generalizable to the collection of these data on a nationwide basis. Study investigators concluded that a minimum basic data set could be routinely collected in accordance with prescribed definitions. However, problems in the procedures for collecting certain items, selection of basic data set items, and definitions of these items have been identified which must be resolved.

E00506-054

The Utilization and Impact of the I.C.H.D. (Inter-Society Commission on Heart Disease) Reports; an early evaluation. American Heart Association, Inc., New York, N.Y. April 1973. 73 pp. + appendixes.

Agency Sponsoring Evaluation: Regional Medical Program Service (now in Bureau of Health Planning & Resources Development, HRA).

Agency Managing Program: Regional Medical Program Service (now in Bureau of Health Planning & Resources Development, HRA).

Program(s) Evaluated: Regional Medical Program Grants (13.249).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

The purposes of this study were to evaluate effectiveness of distribution of ICHD reports, to appraise their acceptance, to determine their short-term implementation, and to develop a plan for future determination of implemented recommendations. Study contents are: summary and conclusion; introduction; objectives of evaluation; constraints; methodology of evaluation; distribution of the reports; secondary distribution; reading, acceptance, implementation; site visits; opinions obtained from a sampling of health service agencies; opinions obtained from a sample of health professionals; agreement; practicability; implementation; non-response; anecdotal comments; implementation evaluation.

E00506-055

An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning. Macro Systems Inc., Silver Spring, Md. April 1973.

Agency Sponsoring Evaluation: Comprehensive Health Planning, BHM.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Planning-Grants to States (13.207); Project Grants of Graduate Training in Public Health (13.338).

Budget Function/Subfunction: Health planning and construction (554); Health research and education (552).

Program Authorization: National Health Planning and Resources Development Act of 1974 (P.L. 93-641; 42 USC 300k et seq.), Public Health Service Act, Title III, sec. 313(a) (P.L. 93-353; 47 USC 245).

Public Availability: NTIS, Order No. PB 239 991/AS.

E00506-056

Evaluation of the Career Development Program in Global Community Health. John Aram. School of Management, Case Western Reserve University, Cleveland, Ohio. April 1973. 3 vols. (267 pp.).

Agency Sponsoring Evaluation: Health Services and Mental Health Administration, PHS.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Health Manpower Education Initiative Awards (13.380).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 774a. & b, as amended (P.L. 92-157; 42 USC 295f-4).

Public Availability: NTIS, Order Nos. PB 231 866/AS, PB 231 827/7, PB 231 828/5.

The Public Health Service's problems in personnel recruitment and retention gave rise to the inception of the Career Development in Global Community Health (GCH). Component II's findings are based on questionnaires administered to and interviews conducted with Fellows, members of the program board and persons familiar with the program. The narrative view of the Fellows concerning their perceptions of the program are presented as an important aspect of the program's identity. Component III is concerned with the presentation of recommendations. It recommended two basic objectives: training persons for Federal management manpower needs, and providing opportunities for development of leadership in the public sector. Other recommendations included program activities, program design, management processes, and program review.

E00506-057

Development of a Training Package and Delivery of Training for Site Assessment of Comprehensive Health Planning Agencies 314b and the Development of Assessment Components, Procedures, and Reporting Formats for 314 Agency Site Assessments. Educational Management Services Inc., Minneapolis, Minn. May 1973.

Agency Sponsoring Evaluation: Comprehensive Health Planning, BHM.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Planning--Areawide Grants (13.206); Comprehensive Health Planning--Grants to States (13.207).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Health Programs Extension Act of 1973 (P.L. 93-45).

E00506-058

Evaluation of Facilitation in the Regional Medical Program. Arthur D. Little Inc., Cambridge, Mass. May 1973. 2 vols. (328 pp.).

Agency Sponsoring Evaluation: Regional Medical Program Service (now in Bureau of Health Planning & Resources Development, HRA).

Agency Managing Program: Bureau of Health Planning and Resources Development.

Program(s) Evaluated: Regional Medical Program Grants (13.249).

Budget Function/Subfunction: Health planning and construction (554); Health care services (551).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

Public Availability: NTIS, Order No. PB 240 323/6ST.

The report describes the mode of action known as facilitation. The objectives of the report were to: develop a workable operational definition of facilitation within the context of and applicable to the Regional Medical Program; develop criteria or measures in order to make gross assessments of facilitation within and among

Regional Medical Programs; and, field-test those criteria on a limited basis to determine the level and kind of effort required and problems likely to be encountered. Volume I contains: definitions; facilitation in the regions; evaluating facilitation; appendix A, the literature; appendix B, RMPS documentation; appendix C, site visit reports.

E00506-059

Hill Burton Consultation Activities. A. T. Kearney Inc., Washington, D.C. May 1973. 105 pp.

Agency Sponsoring Evaluation: Bureau of Health Planning and Resources Development, HRA.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Health Facilities Construction Grants (13.220).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Medical Facilities Construction and Modernization Act of 1970 (P.L. 91-296).

Public Availability: NTIS, Order No. PB 245 908.

This study is an evaluation of the Health Care Facilities Service (HCFS) formal consultation function. These services are provided by the Office of Equipment Consultation and the Office of Consultation on Hospital Functions. Although there are several deficiencies in the HCFS consulting program, the problems are related to the administration and organization of the services rather than to the technical ability of the consulting staff and their performance as consultants. The consultations that have been provided in the past have been beneficial to the health facilities who have used them and should be continued to be offered. The problems confronting the HCFS consulting program are solvable. Recommendations are provided with suggested methods to implement change. It is thought that these recommendations will give new direction to the program and can make it a more viable, useful resource to health facilities and to the Hill-Burton program.

E00506-060

FY 74 Evaluation Plan, Bureau of Health Manpower Education. William Rhode. Practical Concepts Inc., Washington, D.C. June 1973. 120 pp.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Program Management, BHM (13.000); Program Management, HRA (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Nurse Training Act of 1971 (P.L. 92-158); Comprehensive Health Manpower and Training Act of 1971 (P.L. 92-157; 42 USC 292 et seq.).

The purposes of this study were: to develop a systematic and coherent multi-year BHME evaluation strategy providing a framework for the next several years; to develop a preliminary FY 74 plan; and to test the feasibility of the proposed planning approach. The study includes an introduction; programmatic backdrop; inventory of existing evaluation and information studies; evaluation plan for FY 74 and beyond; information

studies on BHME programs, policies and issues; and an evaluation of Federally supported physician-extender programs.

E00506-061

Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA. Macro Systems Inc., Silver Spring, Md. June 1973.

Agency Sponsoring Evaluation: Health Services and Mental Health Administration, PHS.

Agency Managing Program: Health Resources Administration, PHS.

Program(s) Evaluated: Program Management, HRA (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Nurse Training Act of 1975 (P.L. 94-63; secs. 901-951; 42 USC 296-298b); Comprehensive Health Manpower and Training Act of 1971 (P.L. 92-157; 42 USC 292 et seq.); Health Services Research, Health Statistics, and Medical Libraries Act of 1974 (P.L. 93-353; 42 USC 242b et seq.); National Health Planning and Resources Development Act of 1974 (P.L. 93-641; 42 USC 300k et seq.).

This publication includes a summary of evaluation expenditures by program 1969-1973; and of evaluation activities by program.

E00506-062

Effectiveness and Efficiency of Nursing Education Programs. Cresap, McCormick and Paget Inc. June 1973. 3 vols. (538 pp. + exhibits).

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Nursing Schools Construction Loan Guarantees (13.369); Nurse Training Improvement-Special Projects (13.359).

Budget Function/Subfunction: Health planning and construction (554); Health research and education (552).

Program Authorization: Public Health Service Act, Title VII, sec. 805a (P.L. 92-158; 42 USC 296d); Public Health Service Act, Title VIII, sec. 809 (P.L. 92-158; 42 USC 296g); Health Training and Improvement Act of 1970 (P.L. 91-519); Health Manpower Act of 1968 (P.L. 90-490); Nurse Training Act of 1971 (P.L. 92-158).

Public Availability: NTIS, Order No. PB 224 826/SET.

The three volume study is a review of the available literature to determine the relative effectiveness and efficiency of diploma, associate degree, and baccalaureate nursing education programs in producing the number and quality of registered nurses needed in the nation; and to analyze the cost of nursing education. Volume I is an annotated bibliography of the field. Volume 2 analyzes the cost of nursing education, examines the future professional roles of nurses, assesses supply studies and demand in relation to nurses of different professional capabilities, and the relative capabilities of graduates of the three genres of nursing education.

E00506-063

An Evaluation of the Regional Medical Program (RMP) Review Criteria. Medical Care and Education Foundation Inc., Boston, Mass. June 1973. 98 pp.

Agency Sponsoring Evaluation: Regional Medical Program Service (now in Bureau of Health Planning and Resources Development, HRA).

Agency Managing Program: Regional Medical Program Service, BHM.

Program(s) Evaluated: Regional Medical Program Grants (13.249).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

Public Availability: NTIS, Order No. PB 239 943/4ST.

The Review Criteria were introduced in 1971 to assess the effectiveness of the Regional Medical Program. This study examines their internal validity and surveys attitudes of the users to determine their perceptions of its effectiveness. This report includes a historical overview, sample criteria, discussions of the statistical tests, and a list of recommendations.

E00506-064

Evaluation of the Application of Instructional Technology in Selected Basic Nursing Education Programs. American Institute for Research, Palo Alto, Calif. November 1974.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Nurse Training Improvement-Special Projects (13.359).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Health Manpower Act of 1968 (P.L. 90-490); Health Manpower Training and Improvement Act of 1970 (P.L. 91-519); Nurse Training Act of 1971 (P.L. 92-158).

E00506-065

National Evaluation of Mid-Level Health Workers--Task Profile Analysis: Medex, Primex, and Preceptors. Technomics Inc., McLean, Va. June 1973. 2 vols. (197 pp. + appendixes).

Agency Sponsoring Evaluation: National Center for Health Services Research, HRA.

Agency Managing Program: National Center for Health Services Research, HRA.

Program(s) Evaluated: Program Management, NCHSR (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

Public Availability: NTIS, Order Nos. PB 236 505/4SL and PB 236 506/2SL.

Volume I, Final Report, presents analyses, methodologies, and findings on activity logs, work sampling observations, and task analysis, together with applicable appendixes. Volume II, Supplementary Data on Task

E00506-065

Profiles, consists of consolidated task repertoires and associated response data from usable returns for all participating physicians, primex and medex. Appendixes include: individual project status, instruction and guidance packet for data collection coordinators, software specifications for performing comparative analysis, and medex code book and data edit process.

E00506-066

A Study of the Systems Development Project. Abt Associates Inc., Cambridge, Mass. June 1973. 4 vols.

Agency Sponsoring Evaluation: Health Services and Mental Health Administration, PHS.

Agency Managing Program: Health Resources Administration, PHS.

Program(s) Evaluated: Program Management, HRA (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Nurse Training Act of 1975 (P.L. 94-63, sec. 901-951; 42 USC 296-298b); Comprehensive Health Manpower and Training Act of 1971 (P.L. 92-157; 42 USC 292 et seq.); Health Services Research, Health Statistics, and Medical Libraries Act of 1974 (P.L. 93-353; 42 USC 242b et seq.); National Health Planning and Resources Development Act of 1974 (P.L. 93-641; 42 USC 300k et seq.).

The study of the Systems Development Project represents the first such analysis and assessment of a management information and reporting system serving a Federally-supported ambulatory health service delivery program. It takes into account perceived usefulness by officials, influence on decision-making, specifics about presentation, and costs and benefits. It includes a history of SDP, detailed findings, and appendices of sample questionnaires and descriptive statistics.

E00506-067

Evaluation of the State Hill-Burton Agencies and the Future of Health Care Services Planning at the State Level. July 1973. 42 pp. + attachments.

Agency Sponsoring Evaluation: Health Care Facilities Services, HRA.

Agency Managing Program: Bureau of Health Planning and Resources Development, DHEW.

Program(s) Evaluated: Health Facilities Construction Loans and Guarantees (13.220).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: (P.L. 91-296).

In addition to 52 state Hill-Burton (HB) agencies, there are many other state agencies dealing with health care facilities' planning. These agencies often had overlapping, ill-defined, or even conflicting responsibilities. The HB agency in a state, however, was most often the licensing body of hospitals and nursing homes. Although each HB agency is required to have an advisory council, half of these councils also advise other agencies; 80 percent of the states paid council members' travel pay and a per diem allowance, yet the members seldom visited applicants, generally advising on the basis of what applicants said they needed and word of mouth; and even though each state agency has a plan of operation, more than half do not conduct conformance reviews on a regular basis, but rather on a periodic basis with no set

Department of Health, Education, and Welfare

frequency, and a few do not use standard methods of assessment. In addition, significant numbers of states had policies or practices that apparently contravened the community involvement philosophy of HB and about one-third of the states had other planning agencies conflicting with HB policies. Many other discrepancies also exist.

E00506-068

Conversion Implementation and Initial Operation of the Agency Program Reporting System. Computer Science Corporation, Falls Church, Va. August 1973.

Agency Sponsoring Evaluation: Comprehensive Health Planning, BHM.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Planning--Area Wide Grants (13.206); Comprehensive Health Planning--Grants to States (13.207).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

E00506-069

National Area Health Education Center Programs External Assessment Design. Bio Dynamics Inc., Burlington, Mass. September 1973. 74 pp. + appendix.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Health Manpower Education Initiative Awards (13.380).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 774a & b, as amended (P.L. 92-157; 42 USC 295f-4); Health Training and Improvement Act of 1970 (P.L. 91-519); Health Manpower Act of 1968 (P.L. 90-490).

This study includes a statement of the problem, approach and products; background of the AHEC program and summary of the AHEC projects; evaluation design considerations: context and objectives of the evaluation, development of an assessment strategy; National AHEC Program assessment strategy: short-term evaluation plan, long-term evaluation plan. The appendix is a summary of an area Health Education Center Evaluation Workshop.

E00506-070

Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel. E. Marie Johnson and Associates, Inc., Chicago, Ill. August 1973. 140 pp.

Agency Sponsoring Evaluation: National Center for Health Statistics, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Program Management, BHM (13.000); Program Management, HSA (13.000).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Nurse Training Act of 1975 (P.L. 94-63, sec. 901-951; 42 USC 296 et seq.); Comprehensive Health Manpower and Training Act of 1971 (P.L. 92-157; 42 USC 292 et seq.).

Public Availability: NTIS, Order No. PB 237 647/3ST.

The report represents a comparative study of the two educational approaches utilized by the Health Resources Administration in its Long Term Care Education Strategy to train staff who provide service to patients in nursing homes. Eleven projects, including state and separate national organization programs, were selected for this study of the multidisciplinary and unidisciplinary training approaches.

E00506-071

Nursing Home Ombudsmen; five case studies. Trans Century Corporation, Washington, D.C. August 1973. 250 pp.

Agency Sponsoring Evaluation: Health Services and Mental Health Administration, PHS.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Program Management, BHM (13.000); Program Management, Health (13.000).

Budget Function/Subfunction: Health planning and construction (554); Health care services (551).

Program Authorization: Nurse Training Act of 1975 (P.L. 94-63, sec. 901-951; 42 USC 296-298b); Comprehensive Health Manpower and Training Act of 1971 (P.L. 92-157; 42 USC 292 et seq.); Health Services Research, Health Statistics, and Medical Libraries Act of 1974 (P.L. 93-353; 42 USC 242b et seq.); National Health Planning and Resources Development Act of 1974 (P.L. 93-641; 42 USC 300k et seq.).

In 1972 five demonstration projects were funded to investigate the service provided to the elderly in nursing homes by listening to their complaints. After a year of policy making, procedure developing, and volunteer recruiting, the projects were reviewed, with attention to staffing, organizational structure, goals, and publicity. This study treats the five projects separately, pinpointing strong points, and evaluating difficulties. Included in the appendix is an extensive summary of data breakdown for each project.

E00506-072

Development, Application and Testing of a Need Assessment Manual for Areawide CHP Agencies. Government Studies and Systems Inc., Philadelphia, Pa. September 1973.

Agency Sponsoring Evaluation: Bureau of Health Planning and Resources Development, HRA.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Planning--Areawide Grants (13.206).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: National Health Planning and Resources Development Act of 1974 (P.L. 93-641; 42 USC 300k et seq.).

E00506-074

Staffing and Efficiency of Drug Treatment Programs. Executive summary. Marvin R. Burt, and others. Burt Associates, Inc., Bethesda, Md. December 4, 1973. 12 pp.

Agency Sponsoring Evaluation: National Center for Health Services Research, HRA.

Agency Managing Program: National Center for Health Services Research, HRA; Health Services Administration, PHS.

Program(s) Evaluated: Program Management, NCHSR (13.000); Family Health Centers (13.261); Community Health Centers (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246).

This study identifies staffing patterns in drug treatment and rehabilitation programs and analyzes the influence exerted by staffing on various factors including program costs, client utilization of services, and staff productivity. Thirty-seven Federally-funded drug treatment programs operating at 80 sites throughout the country were analyzed during the latter part of 1972. Three types of treatment programs are considered: Methadone Programs, Residential Therapeutic Community Programs, and Outpatient Abstinence Programs. The study produced management tools useful for planning and monitoring drug treatment programs. Staffing and cost models, based on the actual experience of operational programs, are useful for estimating the staffing patterns and costs that treatment programs should experience. Tables 1, 2, and 3 present the results of the three programs studied, and can be used as standards for planning and monitoring programs. The efficiency and productivity data developed provide reference points for judging other programs' performance (Tables 4, 5, and 6). The measures used for each program are treatment client/total staff ratio, treatment client/counselor staff ratio, per capita treatment cost, unit cost per encounter, client utilization, and encounters/man hour.

E00506-075

Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module. System Sciences, Inc., Bethesda, Md. April 1973. 49 pp.

Agency Sponsoring Evaluation: National Center for Health Services Research, HRA.

Agency Managing Program: National Center for Health Services Research, HRA; Health Services Administration, PHS.

Program(s) Evaluated: Program Management, NCHSR (13.000); Family Health Centers (13.261); Community Health Centers (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246).

This paper describes a method that incorporates within the existing framework of the Comprehensive Health Care Information System more detailed reporting of services performed by the family health worker (FHW) and the mid-level practitioner (MLP). The MARK II Comprehensive Health Care Information System is a

computerized reporting system designed with the uniform approach in mind. Initially, it accepted as input data a standard health services encounter form that could be completed by a physician or other health worker. However, in employing only one standard form, much information on the additional tasks performed by other providers was often lost to the project. Hence the need for two additional encounter forms that would provide more detailed task data reflecting the services of the FHW and the MLP, maintain the link to Federal data requirements, and also validate Medicare and Medicaid reimbursements for services performed by paramedical personnel. The paper deals with the FHW and the MLP as two provider types whose services can be reported and summarized with data from other provider groups for Federal reporting requirements and yet be collected in sufficient detail to remain relevant to the needs of the health centers.

E00506-078

Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education. Educational Testing Service, Princeton, N.J. November 1973. 281 pp.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Allied Health Professions, Special Project Grant (13.305).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, Title VII, sec. 792 (P.L. 93-45; 42 USC 295h-1); Health Manpower and Training Act of 1971 (P.L. 92-157); Health Manpower and Improvement Act of 1970 (P.L. 91-519); Health Manpower Act of 1971 (P.L. 92-158).

E00506-079

Follow-up of Non-Respondent Mothers and Editing, Coding, and Key-Taping of Records in the 1972 National Birth Survey. Summary report. R. Nelson. Chilton Research Services, Philadelphia, Pa. December 1973. 13 pp.

Agency Sponsoring Evaluation: National Center for Health Statistics, HRA.

Agency Managing Program: National Center for Health Statistics, HRA.

Program(s) Evaluated: National Health Statistics (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act Amendments of 1970 (P.L. 91-515).

This report includes a background and introduction; operational plan for follow-up of non-respondent mothers; Step 1, Telephone Listing Service; Step 2, Personal Letter Requesting Telephone Numbers or Return Collect Call; Step 3, Check of Cross-list Directories for Neighbors; Step 4, Personal Interviewing; degree of effort; editing, coding, and key taping.

E00506-080

Evaluation/Decisionmaking in Health Planning and Administration. Morris Schaefer. University of North Carolina, Chapel Hill, N.C. December 1973. 145 pp.

Agency Sponsoring Evaluation: Health Services and Mental Health Administration, PHS.

Agency Managing Program: Health Resources Administration, PHS.

Program(s) Evaluated: Program Management, HRA (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Nurse Training Act of 1975 (P.L. 94-63, sec. 901-951; 42 USC 296-298b); Comprehensive Health Manpower and Training Act of 1971 (P.L. 92-157; 42 USC 292 et seq.); Health Services Research, Health Statistics, and Medical Libraries Act of 1974 (P.L. 93-353; 42 USC 242b et seq.); National Health Planning and Resources Development Act of 1974 (P.L. 93-641; 42 USC 300k et seq.).

Public Availability: NTIS, Order No. PB 234 841/5.

The first paper in this report discusses the nature of the decision-making process, alternative rationalities affecting health planning decisions and finally the application of theory to decision-making in health planning. The second paper discusses the concepts of program evaluation, the norms, design and methods of evaluation, information for evaluation and evaluation agendas for planning.

E00506-081

Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students. Abt Associates Inc., Cambridge, Mass. January 31, 1974. 4 vols. (450 pp.).

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Health Professions Special Projects (13.383).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, Title III, sec. 313(a) (P.L. 93-353; 47 USC 245); Health Manpower Act of 1968 (P.L. 90-490); Health Training and Improvement Act of 1970 (P.L. 91-519).

Public Availability: NTIS, Order No. PB 240 240/2ST.

The study evaluates the current position of minority students in dental school, focusing particularly on the issues of recruitment, financing, separate supportive services, and handling minority affairs. It uses four separate schools to arrive at generalizations about difficulties and capacities in admitting, financing, and policy-making in relation to minority students. Tables and supplemental statistical data are included in abundance.

E00506-082

A study of HSMHA Site Assessment Procedures. Gilbert R. Green and Company, Inc., Natick, Mass. February 1974. 114 pp. + appendixes (79 pp.).

Agency Sponsoring Evaluation: Health Services and Mental Health Administration, PHS.

Agency Managing Program: Health Resources Administration, PHS.

Program(s) Evaluated: Program Management, HRA (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Nurse Training Act of 1975 (P.L. 94-63, sec. 901-951; 42 USC 296-298b); Comprehensive Health Manpower and Training Act of 1971 (P.L. 92-157; 42 USC 292 et seq.); Health Services Research, Health Statistics, and Medical Libraries Act of 1974 (P.L. 93-353; 42 USC 242b et seq.); National Health Planning and Resources Development Act of 1974 (P.L. 93-641; 42 USC 300k et seq.).

Public Availability: NTIS, Order No. PB 239 619/OST.

The report discusses the site assessment procedures currently utilized in the Health Services and Mental Health Administration. It analyzes the advantages and disadvantages of the various procedures and makes recommendations which would result in their more effective execution.

E00506-083

Training of Site Assessors. Dan Davis Associates, Bloomington, Minn. February 1974.

Agency Sponsoring Evaluation: Bureau of Health Planning and Resources Development, HRA.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Planning--Area Wide Grants (13.206); Comprehensive Health Planning--Grants to States (13.207).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: National Health Planning and Resources Development Act of 1974 (P.L. 93-641; 42 USC 300k et seq.).

E00506-084

Development of a System to Monitor Quality Nursing Care. Rush Presbyterian St. Luke's Medical Center. January 1975.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Nurse Training Improvement--Special Projects (13.359).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Health Manpower Act of 1968 (P.L. 90-490); Health Manpower Training and Improvement Act of 1970 (P.L. 91-519); Nurse Training Act of 1971 (P.L. 91-158).

E00506-085

Evaluation of Hill-Burton Standards. Final report. Arthur D. Little, Inc., Cambridge, Mass. September 1975. 118 pp. + appendices.

Agency Sponsoring Evaluation: Bureau of Health Planning and Resources Development, HRA.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Health Facilities Construction Grants (13.220).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: National Health Planning and Resources Development Act of 1974 (P.L. 93-641; 42 USC 300k et seq.).

This study was initiated by the Office of Architecture and Engineering Design and Equipment of the Hill-Burton Program. During late 1973 and 1974, members of Arthur D. Little's professional staff visited 45 hospitals throughout the United States, all of which had been built during the prior six or seven years under the Hill-Burton Program. The overall impression of the surveyors is that most of these hospitals are excellent in all important respects and that persons responsible for their design and execution can be proud of the work they have done. However, a number of improvements in the Hill-Burton regulations and guidelines could avoid a good many of the problems noted in the surveys. These include: new requirements for documented planning, including a master plan for development, a storage plan, a maintenance plan, and an architect's conceptual program; additional guidelines incorporated into the requirements themselves giving some guidance as to suitable department areas and likely staffing levels; and new guidelines for review of plans by Hill-Burton agencies, as well as other recommendations.

E00506-086

Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems. Leonard F. Krystynak. Vector Research Inc., Ann Arbor, Mich. May 1974. 2 vols. (558 pp.).

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Grants for Computer Technology Health Care Demonstration Program (13.980); Program Management, HRA (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, Title VII, sec. 769A (P.L. 92-157; 42 USC 295e-4); Health Manpower Act of 1968 (P.L. 90-490); Health Training and Improvement Act of 1970 (P.L. 91-519).

Public Availability: NTIS, Order No. PB 235 414/OSL.

The report identifies and describes health manpower analysis areas or policy issues confronting local, state, regional and national health manpower planning agencies. Fifty-six health manpower models are identified and described. The usefulness of the models is assessed by means of a comparative analysis between the analysis areas and the subject matter treated by the models and an assessment of model generality, validity, and operational feasibility. An in-depth evaluation of two large scale models was performed.

E00506-087

On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning

E00506-087

Agencies. Lenton, Miels and Coster, Inc., Washington, D.C. December 30, 1974. 68 pp.

Agency Sponsoring Evaluation: Bureau of Health Planning and Resources Development, HRA.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Planning--Areawide Grants (13.206).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Health Programs Extension Act of 1973 (P.L. 93-45).

The purpose of this contract was to provide technical assistance to selected comprehensive health planning agencies in Regions I, II, III and IV. Agencies selected to participate were those in Erie, Pennsylvania; Buffalo, New York; Boston, Massachusetts; Jacksonville, Florida; Chattanooga, Tennessee; Birmingham, Alabama; and Greenville, South Carolina. Initially, agencies were site visited to discover weaknesses in the health planning functional areas. The consultant then devised a specific technical assistance plan tailored to each agency's needs, provided the technical assistance, and then conducted follow-up to evaluate the effectiveness of the technical assistance. The most frequent agency weaknesses were lack of adequate health plan development, data management, agency management, and project review. The consultant therefore attempted to emphasize these areas in providing technical assistance. Two state-level workshops were held. These workshops addressed plan and policy development. In addition, five generic documents were developed, one of which was distributed to all health planning agencies nationwide. The final report chronicles agency visits and briefly details technical assistance provided. Abstracts of the generic products developed are included, and further recommendations for continuing technical assistance are offered.

E00506-088

The Geographic Distribution of Nurses and Public Policy. Frank A. Sloan. University of Florida, Gainesville, Fla. June 1974. 231 pp.

Agency Sponsoring Evaluation: Bureau of Health Planning and Resources Development, HRA.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Planning--Areawide Grants (13.206).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Health Manpower Act of 1968 (P.L. 91-490); Health Training and Improvement Act of 1970 (P.L. 91-519); Nurse Training Act of 1971 (P.L. 92-158).

Public Availability: NTIS, Order No. PB 245 776.

E00506-089

Evaluation of the Impact of Physician Extender Algorithms on Productivity. System Sciences, Inc., Bethesda, Md. April 1975.

Agency Sponsoring Evaluation: National Center for Health Services Research, HRA.

Agency Managing Program: National Center for Health Services Research, HRA.

Department of Health, Education, and Welfare

Program(s) Evaluated: Health Manpower Education Initiative Awards (13.380).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Health Programs Extension Act of 1973 (P.L. 93-45).

E00506-090

On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies. Arthur Young and Company, Washington, D.C. November, 1974. 217 pp.

Agency Sponsoring Evaluation: Bureau of Health Planning and Resources Development, HRA.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Planning--Areawide Grants (13.206).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Health Programs Extension Act of 1973 (P.L. 93-45).

The final report is divided into four main sections. The introduction delves into the background, purpose and scope, principal findings and observations, and recommendations of the technical assistance effort. The section on Study Conduct details the implementation of the effort and explains project reporting. Analysis and Evaluation includes technical assistance and its general impact on planning, limiting factors in the provision of technical assistance, the role of 314(a) agencies and the Federal Regional Offices and gives site-specific analyses. Finally, recommendations are made based on the consultant's experience. In addition, several exhibits show what technical assistance was provided. The consultant's goal was to improve the performance and capability of comprehensive health planning agencies by applying the appropriate combination of on-site consultation, training, and generic material to 'Areas of Attention' as identified by the assessment visits. An Agency Development Plan charted technical assistance and developmental activities for each of the concerned agencies. Experience gained could be applied to a national technical assistance strategy and program. The consultant concluded that technical assistance is an effective mechanism for bringing about desired change in a comprehensive health planning agency's performance in the shortest possible time frame.

E00506-091

An Evaluation of Allied Health Advanced Traineeship Grants. Elliot Long, and others. Interstudy, Minneapolis, Minn. May 1974. 347 pp.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Allied Health Professions Advanced Traineeships (13.303).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, Title VII, sec. 793 (P.L. 93-45; 42 USC 295).

Public Availability: NTIS, Order No. PB 237 711/7ST.

Study contents are: allied health professions advanced traineeship grants; overview of research objectives and methodology; the decision to acquire advanced professional education; financing advanced education; the impact of advanced education on professional careers; the quality and relevance of advanced programs of study; overview of educational programs; the effect of traineeship grants on the growth and viability of educational programs; administrative experience with traineeship students and programs; and administrative experience with traineeship grants.

E00506-092

Evaluation of Non-Response in the Health and Nutrition Examination Survey (HANES): Part I: Development and Evaluation of Imputation Procedures for HANES; Part II: Study of Factors Leading to Participation and Non-Participation in HANES. Part I: Westat Inc., Rockville, Md.; Part II: Institute for Survey Research, Temple University, Philadelphia, Pa. Part I: May 1974; Part II: November 1974. Part I: 91 pp; Part II: 140 pp.

Agency Sponsoring Evaluation: National Center for Health Statistics, HRA.

Agency Managing Program: National Center for Health Statistics, HRA.

Program(s) Evaluated: National Health Statistics (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Health Programs Extension Act of 1973 (P.L. 93-45).

An evaluation of the Health and Nutrition Examination Survey (HANES) has led to the adoption of a two-stage procedure for adjusting the data for nonresponse. The Westat preference for a two-way classification by stand and income was also adopted for the first-stage adjustment. The recommended procedure is simpler than the procedures used in previous examination surveys of the National Center for Health Statistics (NCHS) and presents a smaller risk of accumulating small biases due to the adjustment procedure. In addition, NCHS agrees that the estimated biases of 0.5 to 2.0 percent are not trivial, but felt that the evaluation provided evidence that the bias due to nonresponse was not large. Also, based on preliminary findings of the HANES evaluation project, a sound-film strip description of HANES was developed for use in portable projectors by HANES interviewers. This brief taped visual presentation provides to prospective examinees a standardized description of the purposes of HANES, how the person happened to be selected, the content of the examination, and the uses of the information.

E00506-093

An Approach to the Evaluation of the Effectiveness of Continuing Dental Education. Fran Watkins. MIND Inc., Washington, D.C. August 1974. 222 pp.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Continuing Dental Education (13.320).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, Title III, sec. 422f (42 USC 241); Health Manpower Act of 1968 (P.L. 90-490); Health Training and Improvements Act of 1970 (P.L. 91-519).

Public Availability: NTIS, Order No. PB 249 483.

E00506-094

A Study of the Problems Encountered in the Provision of Clinical Training for Allied Health Professions. Booz Allen and Hamilton Inc., Washington, D.C. August 1974. 285 pp. + appendix. Monographs & bibliography.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Allied Health Professions Special Project Grants (13.305).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, Title VII, sec. 792 (P.L. 93-45; 42 USC 295h-1).

Public Availability: NTIS, Order No. PB 247 649-49 (4 vols).

E00506-095

Investigation and Evaluation of Employment Opportunities for Newly-Licensed Nurses. Final report. Patricia Nash, and others. National League for Nursing, New York, N.Y. September 6, 1974. 226 pp.

Agency Sponsoring Evaluation: Division of Nursing, Bureau of Health Manpower.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Nurse Training Improvement-Special Projects (13.359).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, Title VII, sec. 805(a), as amended by the Nurse Training Act of 1971 (P.L. 92-158, sec. 3(b); 42 USC 296d).

This report studies the employment opportunities for newly-licensed nurses. Most are not only employed, but are employed by their preferred type of employer. Only three percent of the nurses were unemployed and actively looking for a job. Three out of four newly-licensed nurses reported that they either had a job waiting for them or found a sufficient number of jobs when looking for their first position as a newly-licensed nurse. Responses did vary according to the region of the country the nurse was from. The job market for newly-licensed nurses tended to be best in the South (in the Atlanta and Dallas regions) and poorer in the populated coastal areas (the San Francisco and the New York regions). While the most frequent reason given for difficulty in finding a position was because of the lack of jobs in the area, a substantial proportion of newly-licensed nurses, especially graduates of Associate Degree programs, reported that the employers wanted nurses with more experience. Eighty-one percent of the nurses are employed in either public or private hospitals, especially Diploma graduates.

E00506-096

Evaluation of Public Health Training Grants. Johns Hopkins University, Baltimore, Md. December 1974.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Project Grants for Graduate Training in Public Health (13.338).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Health Manpower Act of 1968 (P.L. 90-490); Health Training and Improvements Act of 1970 (P.L. 91-519).

E00506-097

A Study for Evaluation of Methods for Determining Outpatient Facility Needs; final report. Marsha Gold, and others. Abt Associates Inc., Cambridge, Mass. AAI No. 74-121. December 1974. 145 pp. + appendices.

Agency Sponsoring Evaluation: Health Care Facilities Service.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Health Facilities Construction Grants (13.220).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Health Programs Extension Act of 1973 (P.L. 93-45).

This report presents results of a study to analyze and evaluate methods potentially applicable for determining outpatient facility needs. Review of literature reveals that its content is more usable by agencies for identifying the kinds of issues and facts which must be faced in determining outpatient needs and demands, than for describing methods that agencies may use. The methods used fall into two groups, depending on output. The first produces information on the amount of outpatient care required, based on existing resources and estimates of present or future needs. The second gives indications of needs/demands for outpatient, ambulatory, or general health care, rather than quantity of care needed. Neither method is adequate. A flexible, adaptable method is recommended, comprised of the following steps: 1) computation of expected ambulatory medical visit need/demand; 2) computation of the number of ambulatory visits currently available in an area; 3) adjustment of estimates to include future changes known or estimated; 4) computation of unmet visit need/demand; 5) translation of unmet visit need/demand into need/demand for outpatient facilities; 6) review of census, health statistics, utilization pattern and other information; and 7) plan and set priorities based on information thus determined and other available information.

E00506-098

Analysis of Results of State and Areawide Comprehensive Health Planning Agency Assessments. Executive summary. Robin McStrovic. Medical College of Virginia, Richmond, Va. August 1975. 12 pp.

Agency Sponsoring Evaluation: Bureau of Health Planning and Resources Development, HRA.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Planning--Areawide Grants (13.206); Comprehensive Health Planning--Grants to States (13.207).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: National Health Planning and Resources Development Act of 1974 (P.L. 93-641; 42 USC 300k et seq.).

This report summarizes 214 assessments completed in accordance with the Secretary's Operational Planning System (OPS) Objective. The assessments included 56 314(a) state health planning agencies and 158 314(b) areawide health planning agencies supported under Sections 314(a) and (b) of the Public Health Service Act. Among the documents resulting from the assessment process are the Agency Assessment Reports prepared by the assessment teams during their on-site visits describing the agencies' level of performance in light of the CHP performance standards, the Agency Development Plans (ADPs) which set forth corrective action strategies to be employed in rectifying the elements within the state and areawide agency performance standards identified as being incomplete, and periodic Progress Reports relating the agencies' progress in the implementation of their ADPs. To provide a national base of information relative to the results of the assessments, selected information relative to the results of the assessments has been abstracted from these documents, coded, and computerized. The base of information for this analysis consists of 206 Agency Assessment Reports received by July 31, 1974; 98 Agency Development Plans received by August 30, 1974; and ADP Progress Reports for 40 agencies received by September 30, 1974.

E00506-099

Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education. Abt Associates Inc., Cambridge, Mass. February 1975.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Special Health Careers Opportunity Grants (13.380).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 774 a and b, as amended (P.L. 92-157; 42 USC 295 f-4); Health Programs Extension Act of 1973 (P.L. 93-45).

E00506-100

Evaluation of Current Practices and Methods in Utilization of Data for Health Program Planning Management and Evaluation--An Evaluation of the Uses of Data From State Health Interview Surveys. Bascomb Associates Inc., Silver Spring, Md. February 1975. 50 pp.

Agency Sponsoring Evaluation: National Center for Health Statistics, HRA.

Agency Managing Program: National Center for Health Statistics, HRA.

Program(s) Evaluated: National Health Statistics (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Health Programs Extension Act of 1973 (P.L. 93-45).

E00506-101

Evaluation of the Effectiveness of CHPS Agency Assessments, Performance Standards, and Technical Assistance Activities in Strengthening CHP (Comprehensive Health Planning) Agency Performance. Executive summary. Wolf and Company, Washington, D.C. October 1975. 183 pp.

Agency Sponsoring Evaluation: Bureau of Health Planning and Resources Development, HRA.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Planning--Areawide Grants (13.206); Comprehensive Health Planning--Grants to States (13.207).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Health Programs Extension Act of 1968 (P.L. 93-45).

An evaluation of the agency assessment program and its contribution to the strengthening of comprehensive health planning agencies indicates the content and role of the performance standards needs to be refined; the relationship of the performance standards to the assessment/monitoring process needs to change; the measurement systems need to be pre-defined; the assessment/monitoring system should be based on agency self-reporting with selective verification; the evaluation process needs to be implemented in a more uniform, consistent manner; there is a need to evaluate agency performance; and the development and dissemination of generic materials needs to receive immediate attention.

E00506-102

An Evaluation of the Effects of Hospital Cost-Finding Procedures on the Determination of Ambulatory Service Costs. The Orkand Corp., Silver Spring, Md. May 1975. 81 pp. + executive summary (5 pp.).

Agency Sponsoring Evaluation: Health Resources Administration.

Agency Managing Program: Health Resources Administration.

Program(s) Evaluated: Health Services Research and Development--Grants and Contracts (13.326).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Services Research, Health Statistics and Medical Libraries Act of 1974 (P.L. 93-353).

E00506-103

Evaluation of the Emergency Medical Services Demonstration Projects. Geomet Inc., Gaithersburg, Md. June 1975.

Agency Sponsoring Evaluation: National Center for Health Services Research, HRA.

Agency Managing Program: National Center for Health Services Research, HRA.

Program(s) Evaluated: Emergency Medical Services Systems Research (13.285).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Health Programs Extension Act of 1973 (P.L. 93-45).

E00506-104

Medicaid Study--Public Spending for Personal Medical Care: An Analysis of the Utilization, Cost, and Quality of Care. Applied Management Sciences. June 1975.

Agency Sponsoring Evaluation: Social Center for Health Services Research, HRA.

Agency Managing Program: National and Rehabilitation Service, HEW.

Program(s) Evaluated: Medical Assistance Program (13.714).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Programs Extension Act of 1973 (P.L. 93-45).

E00506-105

An Evaluation of State Hill-Burton Reporting Systems for Free Care and Community Services to the Poor, Provided for by Federally Assisted Health Facilities. The Orkand Corp., Silver Spring, Md. June 1975. 109 pp. + executive summary 6 pp.

Agency Sponsoring Evaluation: Health Resources Administration.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Health Facilities Construction--Grants (13.220); Health Facilities Construction--Loans and Loan Guarantees (13.253).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Health Programs Extension Act of 1973 (P.L. 93-45).

E00506-106

An Evaluation of Deficiencies in Program Study Designs. Moshman Associates Inc., Washington, D.C. June 1975. 93 pp. + appendixes.

Agency Sponsoring Evaluation: Health Resources Administration.

Agency Managing Program: Health Resources Administration.

Program(s) Evaluated: Program Management, HRA (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Health Programs Extension Act of 1973 (P.L. 93-45).

E00506-107

Evaluation of EEO Policies and Practices in Health Professions Educational Institutions. School of Medicine, San Francisco Medical Center. October 1974.

Agency Sponsoring Evaluation: Bureau of Health Manpower, HRA.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Health Professions Capitation Grants (13.339); Health Manpower Education Initiative Awards (13.380).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Health Manpower Act of 1968 (P.L. 90-490); Health Manpower Training and Improvement Act of 1970 (P.L. 91-519).

E00507-011

Yeatman Baseline Survey. Yeatman District Community Corp., St. Louis, Mo. August 1972. 85 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Community Health Centers (13.000); Family Health Centers (13.261).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

This was a prototype baseline study of the health utilization of developing neighborhood health centers, Yeatman District Community Corporation, St. Louis, Missouri, completed by community persons. The report includes: Introduction--general description of sample, demographic description; Family findings--insurance findings, hospital and home nursing findings, public assistance findings, information about services findings; Individual--demographic findings, individual and family demographic findings, utilization findings, findings related to dental care, accident disability and hospitalization, findings related to pregnancy, findings related to insurance coverage; Analysis of findings--utilization and age, dental utilization and age, sex and medical and dental utilization, proximity to health care, source of care, pregnancy and source of care comprehensivity and medical and dental care.

E00507-012

Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center. Max Pepper and Rodney M. Coe. Department of Community Medicine, St. Louis School of Medicine, St. Louis, Mo. August 1972. 135 pp. + appendixes.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Community Health Centers (13.000); Family Health Centers (13.261).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

This study determined the value of baseline studies and the ability to undertake them, and how they should be accomplished--specifically, a baseline study of the Yeatman Health Center area of influence. The report includes: Introduction: description of the Yeatman community; Methodology--data collection; Methodology--data processing and analysis; Methodology--some findings; Selected Survey Results--development of baseline indicators of health status; Summary and Recommendations; Addenda--analysis of risk of coronary artery disease; Analysis of Follow-up Visits of Residents in the Health Examination Survey; Bibliography.

E00507-013

Evaluation Manual for Comprehensive Health Service Projects. System Sciences Inc., Bethesda, Md. October 1972. 99 pp. + appendixes.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Services Development--Project Grants (13.224); Community Health Centers (13.000); Program Management, HSA (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

This is an evaluation of materials and techniques for application in operational areas of Comprehensive Health Services Projects. It includes: Introduction--framework and barriers to evaluation; evaluation criteria from baseline, operational, community and cost data; data base development--baseline and operational data; illustrative evaluation techniques.

E00507-015

A Study to Develop a Conceptual Model of a National Health Service Corps Management and Control System. Macro Systems Inc., Silver Spring, Md. November 1972. 30 pp. + appendixes.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: National Health Service Corps (13.258).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, sec. 329, as amended (P.L. 91-623; 42 USC 248).

Public Availability: NTIS, Order No. PB 231 572/9.

The study was designed to present a conceptual management and control model for a comprehensive information system for the National Health Service Corps, including report forms, information flow and all other aspects necessary to such a system. Conferences and extensive interviews supplied most of the data.

Conclusions reached included the following: a data system should enable the retention and analysis of program operation experience; the data system should enable correlation of past and current program experience; and it should enable forward program planning. Five major elements of information were highlighted: area data; site activity data; community support data; general health activity; and financial status data.

E00507-016

Ambulatory Health Care Information. D. Nitzberg. Biodynamics, Inc., Cambridge, Mass. December 1972.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Community Health Centers (13.000); Family Health Centers (13.261); Health Services Development-Project Grants (13.261).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

This study evaluated an information system for Comprehensive Health Care Centers funded under Section 314(e) of the PHS Act. The report consists of: Overall System description-general description of the Ambulatory Health Care Information System; the System's components; installation, training and staffing requirements for the Ambulatory Health Care Information System; the computer processing component; change of information; appendix. Also included are a Training and Procedures Manual and a Computer Operations Manual.

E00507-017

The Expectations and Commitments of HPSC-OPSAM (Health Program Systems Center-Operations Systems Analysis Module): A documentary review. E. S. Rogers. 1972. 37 pp.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551); Area and regional development (452).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

Public Availability: NTIS, Order No. PB 224 761/7.

The IHS Health Program Systems Center (HPSC) was formally established in 1967 as the successor to the Operations Systems Analysis Module (OPSAM). The HPSC was to conduct a program of applied research in comprehensive health services and to provide technical assistance to all IHS areas in research matters. This study was to determine whether HPSC was meeting the needs and expectations of four separate groups: the Indians and Alaskan natives, Congress, DHEW, and the field staff of the Indian Health Service. The operations and potential of HPSC for improving the effectiveness and efficiency of health services available has achieved very limited understanding and support among tribal

leaders. Although the program may be viewed as competitive with direct provision of health services, the HPSC program is beginning to be understood and accepted by field staff. Implementation of HPSC findings in service units, however, remains limited. Study recommendations include efforts to improve HPSC relations with Indian population and IHS field staff by matching results of studies to original problem statements and reporting back to these groups.

E00507-018

Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements. 1972. 20 pp. + appendixes.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551); Area and regional development (452).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

This study evaluated the Family Planning Program of the Indian Health Service and future requirements based on probability studies according to projected acceptances of birth control. Appendixes are: A, Tabulation of results; B, Computer output; C, Theory.

E00507-019

Preventive Health Programs (Part II): Tuberculosis Control Planning Models. 1972.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551); Area and regional development (452).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

E00507-020

Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants. Melvin F. Flaherty, Wolf and Company, CPA, Washington, D.C. January 1973.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Services Development-Project Grants (13.224); Family Health Centers (13.261); Community Health Centers (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

This study evaluated the cost accounting and reporting system at selected Comprehensive Health

Services Projects. Brief memoranda describe programmatic findings at each of the CHS Projects reviewed; Lincoln Community Health Center, Durham, North Carolina; Will McGaughy Center for Community Health, East St. Louis, Illinois; Yeatman Health Care Center, St. Louis, Missouri; East Baltimore Medical Program, Baltimore, Maryland; Alton Park Comprehensive Health Center, Chattanooga, Tennessee; Park DuValle N.H.C., Louisville, Kentucky; Economic Opportunity FHC, Inc., Miami, Florida; Comprehensive Health Center, Fayette, Mississippi.

E00507-021

A Study of the Nature and Function of the Emergency Medical Service Advisory Councils in Relation to Selected Community Variables. William R. Gemma and Martin D. Keller. Ohio State University, Columbus, Ohio. December 1972. 292 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Medical Services, HSA.

Program(s) Evaluated: Emergency Medical Services (13.284).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Emergency Medical Services Systems Act (P.L. 93-154, sec. 1202-1204; 42 USC 300d).

Public Availability: NTIS, Order No. PB 240 345/9ST.

The report focuses on the role of the EMSAC (Emergency Medical Services Advisory Councils) in monitoring and improving local emergency medical services. It correlates characteristics such as community size, Federal funding, and communications systems with the presence and absence of EMSAC. A total of 67 tables are presented to summarize the data. Appendices provide sample questionnaires, data breakdown, list of contracts, and two hypothesis by hypothesis result table.

E00507-022

Establishment of a Contract Medical Care. April 1973.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

E00507-023

Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services. Dale C. Jones and Kent Nash. Research Triangle Institute, Center for Health Studies, Research Triangle Park, N.C. April 1973. 84 pp. + appendices.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Maintenance Organization Service (13.256).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222).

Public Availability: NTIS, Order No. PB 231 587/7.

The alleged inability of our existing medical care system to provide, in an economic manner, the quantity and quality of medical care desired by the U.S. population has led to a national health care crisis. A new medical care strategy has been developed and one new form of delivery system which has grown out of this strategy is the Health Maintenance Organization (HMO). HMO's promote the delivery of care through a team of providers--physicians, hospitals, pharmacies, and extended care facilities--that are willing to provide a comprehensive package of medical care benefits. Although impact varies from site to site, there has been a definite increase in accessibility of medical care services since the inception of the HMO.

E00507-024

Evaluation Manual for Comprehensive Health Service Projects. Joseph Romm. System Sciences Inc., Bethesda, Md. April 1973. 142 pp. + appendices.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Services Development--Project Grants (13.224).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

This study was concerned with the planning and implementation of a series of seminar workshops in the evaluation of 314(e) Comprehensive Health Service Projects. The report includes: Foreward; Background Introduction--framework of evaluation, barriers to evaluation, evaluation from the Project Directors viewpoint; Evaluation Criteria--from baseline data, operational data, community data (longer term evaluation), and cost data; Data Base Development--baseline data, operational data; and Illustrative Techniques--scope of evaluation, selected examples.

E00507-025

Community Health Medic Evaluation. Kenneth R. Murphy. Department of Community Health Medicine, University of Arizona, Tucson, Ariz. May 1973. 10 pp.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

This study evaluated the effectiveness of the CHM program in augmenting the delivery of comprehensive

health services; training/work performance; and patient, staff and community acceptance of the program.

E00507-026

The Family Planning Evaluation Project. J. Richard Udry. Department of Maternal and Child Health, School of Public Health, University of North Carolina at Chapel Hill. May 1973. 52 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Maternal and Child Health Services (13.232); Family Planning (13.217).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, sec. 1001, as amended (P.L. 91-572; 42 USC 300); Social Security Act, Title V, sec. 503 (P.L. 90-248; 42 USC 703).

This document presents the findings of the Family Planning Evaluation Project (FPEP), a project funded to evaluate the special family planning projects administered by the U.S. Children's bureau under the Social Security Act of 1963. In addition the FPEP was authorized to design several experimental service programs and to stimulate the development of such projects in communities needed in the experimental design for the program evaluation.

E00507-027

The Family Planning Evaluation Project. R. Richard. University Research Corp., Washington, D.C. May 1973.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Maternal and Child Health Services (13.232); Family Planning Projects (13.217).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, sec. 1001, as amended (P.L. 91-572; 42 USC 300); Social Security Act, Title V, sec. 503 (P.L. 90-248; 42 USC 703).

E00507-028

Migrant Health Program--Final Report. System Discipline Inc., New York, N.Y. June 29, 1973. 66 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Migrant Health (13.246).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 319, as amended (P.L. 87-692; 42 USC 242b); P.L. 89-109; P.L. 90-574; P.L. 90-209.

Public Availability: NTIS, Order No. PB 231 717/AS.

E00507-029

Management Information System, Phase I. June 1973. 9 pp. + tables.

Agency Sponsoring Evaluation: Federal Health Programs Service, HSA.

Agency Managing Program: Bureau of Medical Services, HSA.

Program(s) Evaluated: Program Management, HSA (13.000); Program Management, Bureau of Medical Services (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act, Title III (42 USC 241-281); Social Security Act, Titles IV A, V, XI B, and XIX.

The purpose of this study was the development of an information system to provide management with information to permit more effective analysis of the Federal Health Programs Service hospital and clinic program and a more equitable distribution of resources. The report includes: introduction; objectives; method of procedure, accomplishments during 1971; accomplishments during FY 1972; and plans for implementation. Tables provide a summary of hospital cost allocations; cost allocation by hospital; cost allocation comparison, FY 1970-1971 by hospital; and evaluations, by cost center-comparisons of FY 1970 and FY 1971.

E00507-030

National Indian Health Board: Consumer Evaluation of Indian Health Services. July 1973. 1 p.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

This was an evaluation of the IHS program within the context of community health services receptor systems. It provides a brief overview of the Indian Health Service program efforts focused on increasing the level of tribal participation and involvement in the delivery of health and health-related services to the Indian people.

E00507-031

Study of Programs for Unwed Teenage Mothers. Department of Epidemiology and Public Health, Yale University School of Medicine, New Haven, Conn. August 1973. 60 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Maternal and Child Health Services (13.232).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title V, sec. 503 (P.L. 90-248; 42 USC 703).

Public Availability: NTIS, Order No. PB 237 666/3ST.

This is a six-year prospective evaluative study of the Young Mothers Program, a comprehensive service program for school-age mothers in New Haven. The report includes analyses of data gathered earlier in the grant period in the form of three papers on (1) 'Life-Threatening Behavior Among School-Age Mothers,' (2) 'How Enduring are the Relationships Which Result in School-Age Pregnancies,' and (3) 'Venereal Disease in a Population Pregnant during School-Age.' Also included in the report is an outline for a manual on program effectiveness.

E00507-032

Marketing Health Maintenance Organizations to Low Income Persons: a case study approach. S. Gray. Health Resources Associates, Inc., Washington, D.C. September 1973. 31 pp. + tables.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Maintenance Organization Service (13.256).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222).

This study evaluated marketing and enrollment strategies used by HMOS grantees, particularly efforts to enroll low income and medically underserved groups. It provides a brief overview of each of ten plans, and contains a comparative analysis by contract negotiations, target population, product, place, price, promotion, and enrollment. Tables summarize benefits covered and promotional techniques.

E00507-033

Need for Subsidizing Family Planning Services; United States, each state and county, 1971. Elizabeth Whelan. Center for Family Planning Program Development, New York, N.Y. September 1973. 238 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Family Planning (13.217).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title X, sec. 1001, as amended (P.L. 91-572; 42 USC 300).

This is a survey of the growth of subsidized medical family planning services in the U.S. during FY 1971. The report includes: Summary of principal findings; Need for subsidized Family Planning Services; United States, each state and county, 1971--number of low-income women estimated served, number and percent of low-income women not served by organized programs, the organized Family Planning Delivery System, counties with no identifiable Family Planning Programs, potential provider agencies.

E00507-034

Evaluation of Services Provided by Federal Health Program Service Facilities. Carol Lewis. September 1973. 7 pp. + tables (50 pp. total).

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Medical Services, HSA.

Program(s) Evaluated: Program Management, Bureau of Medical Services (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Titles III and X (42 USC 241-280G-12 and 42 USC 300d-1-300d-3).

This study evaluated a method of assessing services provided at FHPS facilities by comparing length of stay, medical audit, and other measures of the quality of health care delivered. The report includes: Introduction; objectives; procedure; accomplishments during FY 1973; revision of inpatient data system; development of new outpatient data system; selection of diagnoses for further study; plans for implementation.

E00507-035

The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude. September 30, 1973. 70 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Medical Services, HSA.

Program(s) Evaluated: Maternal and Child Health Services (13.232).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title V, sec. 503 (P.L. 90-248; 42 USC 703).

Public Availability: NTIS, Order No. PB 237 667/1ST.

The report documents a two-year study of the Atlanta Adolescent Pregnancy Program, a program which has been in operation since 1968, by the Research and Development Division of the Atlanta Public Schools. The report focuses upon the attendance in school and attitudes toward pregnant students involved in this program. Much of the information is garnered through a questionnaire completed by participating students and teachers, which is included in the report.

E00507-036

Evaluation Studies on Maternal and Infant Care Projects. Matthew Tayback. University of Maryland School of Medicine, Baltimore, Md. September 1973. 383 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau Community Health Services, HSA.

Program(s) Evaluated: Maternal and Child Health Services (13.232).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title V, sec. 503 (P.L. 90-248; 42 USC 703).

Public Availability: NTIS, Order No. PB 240 403/6ST.

The project isolated an operational population and gathered statistics relating to prenatal activities between trained and normal samples of women. It attempts to examine specific components of prenatal cases and relates practices to outcome of pregnancy on both high-risk and low-risk women. Eleven appendices provide profiles, papers, and data collection forms.

E00507-037

Development of Baseline Data for Evaluation of Selected HMO's. E. P. Visco. Geomet Inc., Rockville, Md. October 1973. 60 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Maintenance Organization Service (13.256).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222).

Public Availability: NTIS, Order No. PB 243 927/AS.

This study establishes criteria and selects four communities in which HMOs will be developed and determines what the impact of the HMOs would be on these communities. It also develops a methodology by which HMO planners could collect data to assess HMO impact in their community and provides a standard data package for use in general HMO impact assessment.

E00507-038

HMO Evaluation Schema--Phase I. Robert F. Liffing. Geomet Inc., Rockville, Md. November 1973. 299 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Maintenance Organization Service (13.256).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222).

Public Availability: NTIS, Order No. PB 239 740/4ST.

The report constitutes the first phase in the development of a methodology for the future evaluation of operational Health Maintenance Organizations (HMO's). The work reported here summarizes the seven-month Phase I effort, comprising primarily an intensive literature review, examination of ongoing health care system data reporting and evaluation systems, and extensive contracts with consultants in the field.

E00507-039

Evaluation of the Distribution and Utilization of FHPS Manpower in the U.S. Coast Guard Medical Program. 1973. 7 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Medical Services, HSA.

Program(s) Evaluated: Coast Guard Medical Services (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, sec. 326, as amended (42 USC 253).

This study evaluated present criteria used to assign Federal Health Program Services personnel to Coast Guard Stations. The report includes: introduction; objectives; methods of procedure; accomplishments during FY 1973; implementation of results; comment. Tables show: Coast Guard active duty and dependent population, May 1973 population, total visits, and staff Coast Guard medical facilities, FY 1972.

E00507-040

User Manual: HSA work planning and monitoring system. Richard Leatherwood, and others. Transaction Systems, Inc., Atlanta, Ga. January 3, 1974. 75 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Program Management, HSA (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act, Title III (42 USC 241-280G-12); Social Security Act, Titles IV A, V, XI and XIX.

Public Availability: NTIS, Order No. PB 243 659/AS.

This document outlines the managerial roles and responsibilities for the HSA Work Planning and Monitoring System. It consists of 4 sections: Introduction; Management of the Current System; System Evaluation and Development; and Staffing and Organization. The Introduction places emphasis on the manual's use and guidance for management of objectives and activities required to assure that the intentions of WPMS are fulfilled. The section entitled Management of the Current System discusses various topics; specifically, organizational relationships; development and approval of work plans; monitoring and management; annual performance reviews; and coordination interfaced with related process. The Evaluation and Development section describes the performance and criteria against which the system should be evaluated. Staffing to support the system is described in the last section. It emphasizes effective utilization of current personnel as opposed to an increase in the present staff.

E00507-041

PHS Hospital Manpower Analysis. Final report. Jerry Cromwell and Diane E. Hamilton. Abt Associates Inc., Cambridge, Mass. March 13, 1974. 27 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Medical Services, HSA.

Program(s) Evaluated: Division of Hospitals & Clinics (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Department of Defense Appropriation Authorization Act of 1974, (P.L. 93-155, sec. 818). Public Health Service Act, sec. 328 (42 USC 254a).

A 1973 manpower analysis of the eight Public Health Service hospitals shows that six hospitals needed increases in staff, ranging from an increase of 12 positions in the Galveston hospital to an increase of 81 positions in the Boston hospital. Two hospitals, however, show December staffing levels above the January levels: San Francisco, with 18 more positions and Staten Island, with 37 more positions. Thus the net total staff necessary to reach the January levels is 180 positions. The average occupancy rate for all hospitals fell from 69 percent in January 1970 to 56 percent in December 1973 due to the falling average daily patient load (ADPL) and number of operating beds. ADPL dropped from 1704 to 1182, a decrease of 30 percent, while personnel dropped from 4,508 to 3,959, a decrease of only 12 percent. Since ADPL has been declining more rapidly than the number of personnel, fewer and fewer patients are being cared for per staff member, indicating a decrease in staff productivity.

E00507-042

Issue Paper on Impact of Evaluation Studies on Policy. Paul R. Willging. Macro Systems, Inc., Silver Spring, Md. March 19, 1974. 34 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Program Management, HSA (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act, Title III (42 USC 241-280G-12); Social Security Act, Titles IV A, V, XI and XIX.

Public Availability: NTIS, Order No. PB 243 032/AS.

This study related relevant evaluation research to policy making and illustrates through an analysis of two studies the factors which result in high impact of this research on policy making. The analysis describes nine factors which play a role in linking relevant evaluation research with policy making.

E00507-043

Health Maintenance Organization Cost Study. Fred H. Mitchell, and others. General Research Corporation, McLean, Va. March 29, 1974. 30 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Maintenance Organization Service (13.256).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222).

Public Availability: NTIS, Order No. PB 243 069/AS.

This study provided a cost analysis of the HMO benefits package as defined by the Health Maintenance Organization Act of 1973 (P.L. 93-222) and related HEW draft regulations in a sample of HMOs of the independent practice association types. Data from a wide variety of existing HMOs and actuarial consultants were collected, reviewed, and analyzed. From the foregoing data, cost-estimating models were synthesized and used to estimate the costs for services required under P.L. 93-222 draft regulations. The models were applied to produce an estimated HMO Monthly premium cost for the average individual and the average family in the United States.

E00507-044

Forward Plan for BCHS Family Planning Program. Richard Steele, and others. Macro Systems Inc., Silver Spring, Md. April 23, 1974. 13 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Family Planning (13.217); Family Planning Services--Training (13.260).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title X, sec. 1001, as amended (P.L. 91-572; 42 USC 300); P.L. 94-64; Social Security Act, Titles IV A, V & XIX.

Public Availability: NTIS, Order No. PB 243 030/AS.

This document outlines the section of the Forward Plan dealing with objectives, including Public Education to all persons of reproductive capability; professional education to all health care practitioners and institutions, keeping them informed of adequate and accurate information; counseling and clinical services to stimulate and support development of projects delivering family planning services. The document discusses alternative strategies toward attaining those objectives. It also focuses upon resource requirements and interfaces with other HEW service delivery and third-party payment programs.

E00507-045

The Medical Care Requirements of the U.S. Coast Guard. J. H. Langston, and others. Geomet Inc., Gaithersburg, Md. April 1974. 35 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Medical Services, HSA.

Program(s) Evaluated: Coast Guard Medical Services (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, sec. 326, as amended (42 USC 253); 10 USC 1073.

Public Availability: NTIS, Order No. PB 243 044/AS.

This report evaluates the medical staffing requirements and dental staffing requirements of the U.S. Coast Guard medical program. The study reports that 54 medical officers are needed, that 53 are authorized and that in December 1973 39 were 'on board.' The study reports a need for 71 dental officers, as compared to 68 authorized and 'on board.' The study recommends

changes in the program's medical care abstracts to facilitate decision making in the future.

E00507-046

Professional Standards and Review Organization (PSRO) Cost Study. M. Rudov, and others. General Research Corp., McLean, Va. April 30, 1974. 35 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Quality Assurance, HSA.

Program(s) Evaluated: Professional Standards Review Organizations (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Social Security Act, Title XI, Part B, as amended (P.L. 92-603).

Public Availability: NTIS, Order No. PB 244 054.

This study performed analyses of PSRO functions, costs of these functions, and costs of PSRO programs and models useful in estimating these costs. Models for concurrent review (CR) on a per admission basis are presented, as well as the in-hospital personnel costs of medical care evaluation (MCE). Work was initiated in February and completed in April. The 203 PSRO areas were classified into seven types according to degree of urbanization and volume of Social Security Act beneficiaries. The range of estimates was \$90 million to \$240 million for the program, \$300,000 to \$1,730,000 for an average PSRO from one of the seven groups.

E00507-047

An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid. April 1974. 24 pp. + tables.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS; Social Security Administration, HEW; Social and Rehabilitation Services, HEW.

Program(s) Evaluated: Program Management, HSA (13.000); Medicare (13.800); Medicaid (13.714).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1972 (P.L. 92-603).

This report summarizes developments that have occurred in achieving self-sufficiency of ambulatory care centers since the 1973 Macro Systems, Inc. (MSI) report. Of greatest consequence has been the enactment of the Social Security Amendments of 1972 (P.L. 92-603) and several derivative regulatory changes. In addition, ambulatory care programs themselves have intensified efforts to increase third party revenues. Five factors were identified in the earlier report as affecting collection potential of ambulatory care projects: provider status, patient eligibility, benefit structure, rate structure, and billing efficiency. Implementation of direct reimbursement of free standing ambulatory care projects has had the most significant impact on provider status. Patient eligibility has been expanded under both Medicare and Medicaid, with extension of coverage to the disabled, and enactment of the Supplemental Security Income Program. Benefit structures were not significantly altered by

P.L. 92-603, and no major changes have occurred in rate structure. The direct reimbursement mechanism has probably improved billing efficiency. Chapter III reviews activities of the programs themselves, and Chapter IV the impact of developments on revenues. Chapter V presents alternatives for further action to increase revenues.

E00507-048

Personnel Distribution in a City Health Department. Archie S. Golden. Johns Hopkins University, Baltimore, Md. June 30, 1974. 33 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Maternal and Child Health (13.232); Health Manpower and Training (13.000).

Budget Function/Subfunction: Health care services (551); Health research and education (552).

Program Authorization: Social Security Act, Title V, sec. 503 (P.L. 90-248; 42 USC 703).

With the use of functional job analysis, the research project studied the physicians public health nurses, nurse-midwives, health aides and clerks who were involved with direct maternal and well-child care within the Baltimore City Health Department. It was thought that this study could lead to a realignment of tasks to the appropriate employees with future jobs being restructured to provide better utilization and increased productivity of all health personnel.

E00507-049

Financial Assistance to NCFPS (National Center for Family Planning Services) to Develop Family Planning Project Evaluation Process; final report July 1973-June 1974. Family Planning Evaluation Institute, Washington, D.C. June 1974. 21 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Family Planning (13.217); Program Management, Bureau of Community Health Services (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act, Title X, sec. 1001, as amended (P.L. 91-572; 42 USC 300).

This report describes the activities performed by the contractor during the first two years of its contract and the activities it plans to perform during the third contract year. The major objectives have been to improve evaluation capability, thereby improving the managerial and service delivery capabilities of family planning programs. In order to achieve these objectives, FPEI conducted needs assessments studies to determine evaluation needs and capabilities of family planning programs at the national, regional, and local levels; developed, tested, and refined an evaluation process for family planning programs; and designed and delivered training in evaluation to regional and project family planning staff.

E00507-050

Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana. Fort Belknap Indian Community Council, Fort Belknap Indian Reservation, Harlem, Mont. June 1974.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

Public Availability: NTIS, Order No. PB 247 328.

E00507-051

Guidelines for Patient Record-Keeping Systems for Emergency Medical Service. H. Birch, and others. Macro Systems, Inc., Silver Spring, Md. September 26, 1974. 5 vols. (350 pp.).

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Medical Services, HSA.

Program(s) Evaluated: Emergency Medical Services (13.284).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Emergency Medical Services Act of 1973 (P.L. 93-154, sec. 1202-1204; 42 USC 300d-1-300d-3).

Public Availability: NTIS, Order No. PB 243 822/AS.

These five volumes pertaining to the EMS Record-keeping System Handbook and Guidelines are designed to guide managers of EMS programs by providing key information in order that they may make decisions more effectively. These books provide the necessary documentation for submission of EMS record-keeping forms to OMB for clearance, and present a conceptual design of a patient record-keeping system. Appendices are included: Suggested EMS Patient Record Keeping Reports, Glossary of Terms; and Site Visit Reports.

E00507-052

Assessment of Emergency Medical Systems. Richard Leatherwood, and others. Transaction Systems, Inc., Atlanta, Ga. September 30, 1974. 250 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Medical Services, HSA.

Program(s) Evaluated: Emergency Medical Services (13.284).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Emergency Medical Services Act of 1973 (P.L. 93-154, sec. 1202-1204; 42 USC 300d-1-300d-3).

This study reports on the adequacy of emergency medical services resources (ambulances, personnel,

emergency departments, communication systems) by planning district within states, by state, by HEW Region and nationally. It also reports on the adequacy of putative emergency medical services systems, using a model to assess system adequacy. The results are presented in tabular form and narratively.

E00507-053

Evaluation of Legal Barriers to Emergency Medical Systems Implementation. Richard Leatherwood, and others. Transaction Systems, Inc., Atlanta, Ga. November 15, 1974.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Medical Services, HSA.

Program(s) Evaluated: Emergency Medical Services (13.284).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Emergency Medical Services Act of 1973 (P.L. 93-154, sec. 1202-1204; 42 USC 300d-1-300d-3).

Public Availability: NTIS, Order Nos. PB 244 318/AS; PB 243 725/AS; PB 244 326/AS.

The study examines how the absence or existence of state legislation encourages, facilitates or impedes the provision of emergency medical services. Legislation for all states was analyzed with particular attention to the following areas: medical practice, paramedic training, responsibility for care, liability, licensure, vehicle codes, building codes, operation regulations, communications, public behavior, jurisdictional boundaries, fiscal responsibilities and insurance. The report includes a catalog of state legislation on EMS, tabular display of each state's legislation, display of potential legal barriers at state borders and display of status of legislation nationwide.

E00507-054

Evaluation of the Accomplishments of Selected HMOs Funded Projects. James S. Lubalin, and others. General Research Corporation, McLean, Va. December 15, 1974.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Maintenance Organization Service (13.256).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222).

Public Availability: NTIS, Order Nos. PB 243 709/AS; Exec. Summary PB 243 710/AS.

This study was designed to assess the performance of selected HMO projects with a view to determining factors that have been associated with success or failure. A sample of 22 HMO projects was selected using the following criteria: type of sponsorship; current funding and service delivery status; geographic distribution; recency of refunding of active grants; and amount of total grant award. A team of six health professionals studied all information available in Central Office and the ten DHEW Regional Offices on these 22 projects and made

site visits to secure additional information and documentation of accomplishments wherever possible. Conditions for success or failure were identified in four major areas of HMO functional development: marketing, financing, administration, and medical organization. Success factors included having a target population of 200,000 or more; competitive benefits packages; employer/buyer representation on governing board; full-time experienced executive, marketing, and finance directors; and prior existence of medical group practice in community.

E00507-055

Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments. Isadore Enger, and others. Geomet Inc., Gaithersburg, Md. December 1974. 50 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Division of Hospitals and Clinics, PHS (13.000); Health Manpower and Training (13.000).

Budget Function/Subfunction: Health care services (551); Health research and education (552).

Program Authorization: Public Health Service Act, sec. 328 (42 USC 254a); Department of Defense Application Authorization Act, 1974 (P.L. 93-155, sec. 818; 87 Stat. 605).

Public Availability: NTIS, Order No. PB 243 034/AS.

The objective was to identify factors influencing retention of Public Health Service Corps physicians. Twelve studies were reviewed, several containing the results of surveys of physicians. It was concluded that physicians feel that the following five factors were the most important influences upon their decisions to remain in or leave the Corps: salaries; opportunities for residencies or other advanced training; living conditions and social amenities for the physician and his family; working conditions including equipment, facilities, paper work, freedom to make decisions, access to specialists, etc.; and career-guidance on opportunities within the Corps. Three peripheral tasks not related to the above were also completed. Two involved computer programming support and the third consisted of writing a Users Manual for a program which performs step-wise multiple regression when all variables are in dummy (i.e., zero-one) form.

E00507-056

Initial Manpower Management Program (MMP). Richard Steele, and others. Macro Systems Inc., Silver Spring, Md. 1974. 4 vols. (470 pp.).

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Program Management, HSA (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act, Titles III and X (42 USC 241-280b-12, 300-300a-6, 2001-2004a); Social Security Act, Titles IVA, V, XIB, and XIX.

Public Availability: NTIS, Order No. PB 243 735/AS.

This document presents the 1974 Manpower Zero Base Analysis of the Health Services Administration (HSA), PHS/DHEW. The document consists of four volumes covering the Bureau of Quality Assurance, Medical Services and Community Health Services, the Indian Health Service, as well as the HSA Office of the Administrator. As part of the overall Manpower Management Program of HSA, approximately 88% of the positions were analyzed through work measurement techniques or manpower survey methodologies. Staffing levels, budgets and organizational efforts were analyzed. Staffing shortages and overages were identified within HSA. Staffing standards, workload definitions and summary tables are presented for each Bureau and for the entire HSA. Bibliographies and sources are presented in Volumes III and IV for the direct health operations. Conclusions for meeting staffing problems are presented.

E00507-057

Reference Manual on Title XIX (Social Security Act). Richard Steele, and others. Macro Systems Inc., Silver Spring, Md. 1974. 230 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS; Medical Services Administration, SRS.

Program(s) Evaluated: Medicaid (13.714); Program Management, HSA (13.000); Program Management, MSA (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title XIX, as amended (42 USC 1396 et seq.).

The Title XIX program was analyzed with respect to its legislation, regulations, guidelines, functions, organizational structure, and staffing. It also was broken down on a state-by-state basis with respect to services funded, numbers of eligibles, maximum allowable reimbursement, etc. Two briefing books were prepared—one devoted to a breakout of the National aspects of the Medicaid program, the second containing a state-by-state breakdown of Title XIX.

E00507-058

Indian Health Service Upward Mobility. P. Domingo. 1974. 9 pp. + attachments.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228); Program Management, HSA (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

This study evaluated training and cost aspects of the IHS Mobility Program. The report includes: Summary of Tables 1 through 6; career development training; advocacy for Upward Mobility Program; and summary of the evaluation plan. Tables show: total number of employees in priority categories; total number of employees in priority categories receiving training; type of Upward Mobility training by area; training skills received

under Upward Mobility Program by area; and cost of the Upward Mobility training.

E00507-059

Development of Alternatives for an Evaluation of the Costs and Effectiveness of Inclusion of Mental, Dental, and Pharmaceutical Benefits in Health Maintenance or Related Organizations. American Public Health Association, Washington, D.C. 1974. 162 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Maintenance Organization Service (13.256).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222).

This study focuses on the issue of including dental services in Health Maintenance Organizations by studying nine cases of various types of health organizations. It examines financing, manpower, training, preventive programs, and organizational arrangements. The report offers facts and findings that need to be examined in this broadening effort. The appendices include a questionnaire sample, glossary of terms, state-by-state breakdown, and bibliography.

E00507-060

Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP). 1974.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Community Health Centers (13.000); Program Management, HSA (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act, Title III, sec. 134e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

The purpose of the study was to determine the potential impact of CHIP on the activities of HSA Comprehensive Health Centers in terms of (1) benefit coverage; (2) center financing; (3) billing and administrative workload effects; and (4) patient cost-sharing features. Utilization and financial data from 12 CHC's was explored. It was determined that CHIP would lead to a reduction of center services offered (covered) by about one-third. Center financing for supportive and preventive services would not be forthcoming under CHIP. Billing and administrative workloads could be considerably increased and patient cost-sharing for those services that would be covered by CHIP would be increased from about 4% to 50% (The 50% figure was later discovered to be upward-biased--a better estimate is probably about 30%).

E00507-061

Management and Manpower Study of Health Maintenance Organization (HMO) Activities in HSA. Richard Steele. Macro Systems, Inc., Silver Spring, Md. February 28, 1975. 30 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Maintenance Organization Service (13.256).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222).

Public Availability: NTIS.

This document delineates the study conducted in FY 75 with regard to management and manpower in the Health Maintenance Organizations, HSA, PHS/DHEW. The document consists of 3 chapters and 14 exhibits; it includes major findings and major recommendations. Specifically, the study was initiated to resolve key management and manpower issues relative to the HMO program and to review FY 75 manpower allocations and FY 76 needs in CO and RO. Major findings were relative to projected HMO program workloads manpower needs; organizational responsibilities; and staffing patterns. Major recommendations described suggested methods for resolving the disclosed issues and problems. The 14 exhibits represented both historical and recommended numerical data regarding actual and projected work distribution and staffing in support of the findings and recommendations.

E00507-062

Feasibility of Collecting Baseline Data for Professional Standards Review Organizations; a report to the Office of Professional Standards Review. Final report. Timothy Ray and Kathryn Fox. GEOMET, Inc., Gaithersburg, Md. GEOMET Report No. HF-457. March 15, 1975. 25 pp. + appendices.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Quality Assurance, HSA.

Program(s) Evaluated: Professional Standards Review Organizations (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title XI, Part B, as amended (P.L. 92-603).

Public Availability: NTIS, Order No. PB 243 927/AS.

This report summarizes the results of site visits to five hospitals participating in the National Capital Professional Standards Review Organization. It makes suggestions which would assist the Office of Professional Standards Review (OPSR) in establishing a methodology with which to conduct a national survey to gather baseline data relevant to review processes. The ultimate source for gathering information on quality assurance procedures is the medical record. However, it is often an extensive file burdened with problems of legibility and incompleteness. Any record may be abstracted onto audit forms, even though they often differ in form. Alternative methodologies suggested are: 1) use of

computerized abstracting services whenever available; 2) use of abstracting services to select medical records to be abstracted; 3) reduction of sample size to 35 records per hospital to increase accuracy (typical abstracts may have an error rate as high as 40 percent); and 4) a deeper look at smaller representative samples over a limited audit of 50,000 records. Surrogate sources of medical record data should be used whenever available, eliminating costly abstracting of records. Surrogate sources include commercial abstracting service print-outs, hospital records, and computerized accounting systems.

E00507-063

Analysis of Barriers to Successful HMO Development. James Lubalin, and others. General Research Corporation, McLean, Va. March 20, 1975. 150 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Maintenance Organization Service (13.256).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222).

Public Availability: NTIS, Order No. PB 243 715/AS.

This document consists of an analysis of the barriers to implementing HSA's Health Maintenance Organization (HMO) Program. The document includes four chapters and four appendixes. The Introduction describes the background and purpose of the study, the study objectives, and the literature reviews performed for the study. The Methodology chapter describes four distinct data collection activities. Types of data collected include comments on HMO regulations; nonapplicant feedback; a limited number of interviews; and an application rejection review. The major finding contained in the Results chapter is that cost competitive factors represent the major barriers to HMO development. The Conclusions and Recommendations section contains key suggestions for modifying the HMO statutes and for program administration.

E00507-064

Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981. Richard Steele, and others. Macro Systems, Inc., Silver Spring, Md. March 28, 1975. 25 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Family Planning (13.217); Program Management, BCHS (13.000).

Budget Function/Subfunction: Health care services (551); Health planning and construction (554).

Program Authorization: Public Health Service Act, Title X, sec. 1001, as amended (P.L. 94-64; 42 USC 300).

Public Availability: NTIS, Order No. PB 243 035/AS.

This study discusses the difficulties of projecting continuing need for the Bureau of Community Health Services family planning program; in its present or any

alternative form, the potential impact of national health insurance (NHI) on that need stem from: (1) persuasive, but inconclusive evidence of cost effectiveness of program; (2) lack of demonstrated program effectiveness; and (3) extremely conservative third party reimbursement coverages (including NHI) for family planning services. The merits of four capacity-building alternatives are discussed: (1) continuing the program at present level of funding; (2) phasing out the program; (3) expanding the program; and (4) redirecting the program. No specific recommendations are offered with respect to pros and cons of the alternatives. The report suggests that, through legislative changes in CHIP (Comprehensive Health Insurance Plan), family planning services could be covered as general preventive services.

E00507-065

Evaluation of the Indian Health Service Unmet Needs Estimating Methodology. Richard Taylor. Transaction Systems, Inc., Atlanta, Ga. March 1975. 58 pp.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

Public Availability: NTIS, Order No. PB 243 038/7ST.

The purpose of this project is to provide a more refined statement of and methodology for computing Indian Health Service (IHS) resource shortfalls that can be used for establishing justifications for new resource requests and in assisting IHS management and Tribal leadership in achieving equitable allocation of such resources. At present, projections made by IHS indicate resource shortfalls in various health service categories ranging from 28% in direct patient care services to 80% in other areas. These shortfalls represent the additional resources needed to equip these health services to meet the levels of utilization required by the eligible Indian populations. The project includes assisting representatives from the IHS and from the Office of Planning, Evaluation, and Legislation (OPEL) in the final implementation of a Resource Allocation Model (RAM) as an effective basis for making resource planning and allocation. The project begins with the definition of remaining RAM implementation needs. The second task will be the verification and explication of the inputs, computations, and output of the model and in explaining in a straightforward manner how the 'RAM' works. Next, the estimates produced by RAM will be compared with those of earlier methods. The final task will be the development of implementation support materials to maximize the effectiveness of the RAM.

E00507-066

Credentiailling of Health Care Professionals: its costs and some alternatives for HSA. Melvin H. Rudov and Sally Skillings. Transaction Systems, Inc., Atlanta, Ga. March 1975. 124 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Quality Assurance, HSA.

Program(s) Evaluated: Provider Standards and Certification (13.000); Professional Standards Review Organizations (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title XI, Part B, as amended (P.L. 92-603).

The report contains requirements and practices in credentialing and recredentialing of health professionals by states and by professional societies. Information on more than twenty categories of health providers is included. Credentialing activities of the U.S. Public Health Service, Army, Navy, Air Force, and Veterans Administration are reviewed. Costs are projected for Health Services Administration support of all future credentialing and recredentialing requirements for health care providers employed by HSA. A list of references is included.

E00507-067

Potential Impact of the Health Maintenance Organization Act of 1973 on the Development of Rural HMO's. Health Applications Systems, Inc., McLean, Va. April 21, 1975. 2 vols. (145 pp.).

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Maintenance Organization Service (13.256).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222).

Public Availability: NTIS, Order Nos. PB 243 716/AS; PB 243 717/AS.

This study describes rural HMOs, suggests how P.L. 93-222 might affect the development of rural HMOs, and makes recommendations concerning the funding of rural HMOs. The study contains two volumes: (1) Analysis and Recommendations and (2) Field Visit Documentation. Operational data from 17 rural HMOs was analyzed with respect to functional characteristics, such as organizational structure, benefits package marketing, etc. The study suggests that rural HMOs restructure existing resources rather than create additional capacity. The study also indicates that P.L. 93-222 will not necessarily foster the growth of HMOs in rural medically underserved areas. In fact, the legislation and accompanying regulations may create delivery systems that are non-competitively priced in comparison to existing indemnity plans. In short the study cautions against unrealistic expectations concerning the number and type of rural HMOs that will result from current HMO legislation.

E00507-068

Menominee Tribal Health Care Program Planning. Richard Leatherwood, and others. Transaction Systems, Inc., Atlanta, Ga. April 25, 1975. 80 pp.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

Public Availability: NTIS, Order No. PB 243 707/AS.

The purpose of the project was to design a health care program and implementation plan for use by the Menominee Indians in Wisconsin. Central to the project was an assessment of Tribal health care needs and the evaluation of available services and facilities. Data on health care needs and available services both from on-site investigation and interviews as well as secondary sources were compiled. Health service needs were compared with available effective services to determine the nature and magnitude of unmet needs and the corresponding requirements for services. Specific recommendations are made, including what health services are needed, how they could be financed and organized, when they could be instituted, and how responsibility for administering the services could be allocated.

E00507-069

Forward Plan for the Health Services Administration, F.Y. 1977-81. Planning. William R. Gemma. May 23, 1975. 60 pp. + appendices.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Program Management, HSA (13.000).

Budget Function/Subfunction: Health care services (551); Health care services (551)

Program Authorization: Public Health Service Act, Title III (42 USC 241, 280b-12).

This report summarizes the programs of the Health Services Administration (HSA), and makes recommendations for improvements. The purpose of the HSA programs is to promote the accessibility, efficiency, and quality of health care services delivered to broad segments of the population. To accomplish this, program efforts involve five roles. The Building Capacity Role involves increasing services delivery in under-served areas, and increasing efficiency. The Maintaining Capacity Role is important where the Federal government has an obligation to provide health services, where self-sufficient operation is not possible, and where populations have no alternative source of care. The improvement of efficiency and cost effectiveness of health care delivery systems and practices comprises the Organization of Services Delivery Role. The Public Health Services Role promotes the equitable distribution of effective traditional public and preventive health services. The Quality Assurance Role guarantees the necessity, appropriateness, and quality of Federally-financed services. Programs may be classified into the following categories: direct delivery programs, project grant supported service programs, formula grant programs, programs for new methods of organizing health services delivery, and programs for quality care.

E00507-070

Potential Medicare and Medicaid Reviews for the Indian Health Services. Richard Steele. Macro Systems, Inc., Silver Spring, Md. May 1975. 125 pp.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA; Social and Rehabilitation Service, HEW; and Social Security Administration, HSA.

Program(s) Evaluated: Indian Health Service (13.228); Medicare (13.800); Medicaid (13.714).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title XIX, as amended (42 USC 1396 et seq.); Social Security Amendments of 1965, Title XVIII, Part A, as amended (P.L. 89-97; P.L. 90-248; P.L. 90-603; P.L. 92-233; 42 USC 1395 et seq.).

Public Availability: NTIS, Order No. PB 243 070/AS.

The objectives of the study are: Collections IHS might make, were the Indian Health Care Improvement Act to be enacted; Costs IHS would incur to capture collections; Net revenues that IHS would realize; and Issues that could be raised by a decision to implement a collection program. The approach, which included an initial central office analysis and subsequent extensive field work in analysis, built up calculations for 73 service units based on five factors: provider status, patient eligibility, service coverage, reimbursement rate, and billing efficiency. The results indicated potential net revenues ranging from 56 to 26 million dollars over a five year period depending upon alternative implementation strategies.

E00507-071

Assessment of the Unmet Needs of the Indian Health Service. Transaction Systems, Inc., Atlanta, Ga. June 18, 1975. 73 pp.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

E00507-072

Evaluation Strategy and Plan for Fiscal Year 1975 (Health Services Administration). Evaluation-Planning. Dr. A. Dobson. June 1975. 335 pp. + appendix.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Program Management, HSA (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III (42 USC 241-280b-12).

Public Availability: NTIS, Order PB 240 635/3ST.

The document outlines FY 1975 evaluation strategy of the Health Services Administration (HSA)/DHEW. The document consists of five chapters and 15 appendices: (1) Executive Summary; (2) Background; (3) List of Issues by Program; (4) Implementation Plan; (5) Project Descriptions and Appendices. The Executive Summary describes the role of evaluation in HSA and specifies the significant stages in the development of an evaluation strategy. The Background section discusses the value of evaluation in general, and the past role of evaluation in HSA in particular. The List of Issues by Program delineates the current issue areas that are addressed in the evaluation strategy. The section entitled Project Descriptions is composed of one-page summaries of each evaluation project HSA expects to initiate during FY75-76. These project descriptions present title, timing, narrative description of project objectives, data sources, methodology, and anticipated utilization of project results.

E00507-073

Evaluation of Emergency Medical Service Systems Impact. Marc S. Blum and Linda S. Stone. Transaction Systems, Inc., Atlanta, Ga. June 1975. 26 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Medical Services, HSA.

Program(s) Evaluated: Emergency Medical Services (13.284).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Emergency Medical Services Act of 1973 (P.L. 93-154, sec. 1202-1204; 42 USC 300d-1--300d-3).

Public Availability: NTIS, Order No. PB 243 714/AS.

The purpose of this project is to analyze and evaluate the capabilities of existing regional Emergency Medical Service (EMS) systems in their delivery of specific emergency medical care needs throughout the nation. The scope of the project includes the gathering of pertinent data to aid in the evaluation and analysis of the need and the level of need of certain specialized health delivery systems within the EMS system, and to determine whether or not these regional systems make a difference on mortality and morbidity statistics. The project consists of four phases, including the identification and procurement of data sources, the review of literature and data, an analysis to evaluate EMS system impact, and documentation of conclusions and observations. A detailed bibliography is also included.

E00507-074

Work Planning and Monitoring System User Manual (Health Services Administration). Richard Leatherwood, and others. Transaction Systems, Inc., Atlanta, Ga. 1975. 57 pp.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Program Management, HSA (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III (42 USC 241-280b-12).

Public Availability: NTIS, Order No. PB 243 659/AS.

This document outlines the operational procedures and characteristics of the 1974 Work Planning and Monitoring System (WPMS) for the Health Services Administration (HSA), PHS/DHEW. The document consists of 4 chapters and 3 appendices: Overview of the System; Procedures; Monitoring; and Format for Documentation Preparation. In the overview, the system is described as a mechanism for interaction between HSA components in developing and administering activities for desired programmatic and management results. It specifies capabilities and benefits of the system and defines the basic design. In the section entitled Procedures, the method for development and approval of work plans is delineated. These plans reflect desired goals for the approaching fiscal year and activities necessary for achievement. The monitoring section describes the method and frequency of recording the progress of activity. The last section, Document Preparation, provides guidance regarding the content and format of principle WPMS documents. The planning schedule (calendar dates), multi-organizational participation, and standing needs are discussed in the appendices.

E00507-075

An Economic Analysis of HSA Program Potential FY 1976 to FY 1981. Dr. A. Dobson. Geomet, Inc., Gaithersburg, Md. 1975.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Program Management, HSA (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III (42 USC 241-280b-12).

Public Availability: NTIS:

This study represents analytical support for the HSA FY 1976 to FY 1981 Forward Plan. The study's main issue is, 'How would CHIP impact on the provision of services to HSA target populations?' The study explores topics such as: (1) relative ambulatory care cost; (2) the impact of CHIP on HSA provider benefits, financial position, administrative and billing workloads and patient cost sharing; (3) future demand for HSA provider services under conditions of CHIP; (4) the development of alternative reimbursement strategies post CHIP; and (5) inflation's impact on HSA program purchasing power. The study concludes that CHIP benefits are less extensive than those currently offered by HSA providers; CHIP would impose financial and workload burdens on HSA centers; HSA patients would incur increased cost sharing under CHIP; and this increase would lead to substantial reductions in the demand for HSA provider services. Much of this demand would not be replaced by utilization of non-HSA facilities. If the HSA is to continue to support medically underserved populations under CHIP, it will have to find alternative financing for its comprehensive and preventive services as well as methods of aiding its lowest income patients.

E00507-076

Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insurance Impact on HSA Activities. Dr. A. Dobson. Geomet, Inc., Gaithersburg, Md. 1975.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Program Management, HSA (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III (42 USC 241-280b-12).

Public Availability: NTIS, Order No. PB 243 758/AS:

The purpose of this study was to determine the impact of the administration's Bill, (CHIP), on HSA target populations and to determine what impact CHIP might have on the availability of health care in areas which are currently underserved. The CHIP benefit package was compared to existing HSA program benefits and the relative strengths and weaknesses of CHIP were isolated. The impact of CHIP on scarcity areas, while for the most part indeterminate, was likened to the influence of previous Federal expenditures for health care. HSA programs offer their target populations a broader range of services. Accessibility of services to groups like the migrant populations was questioned under CHIP. The impact of CHIP on the provision of services to shortage areas was seen as at best neutral and at worst harmful. Federal expenditures of this magnitude may subsidize the locational preferences of physicians, contribute to oversupply of specialized medical care in urban areas and increase time costs to those currently having difficulty obtaining medical care. This work provided initial input to HSA's Forward Plan.

E00507-081

Toward the Development of a Casebook on the Decision-Making Process in Neighborhood Health Centers. E. Shepley Nourse and Gail B. MacLeod. Health Services Research Center, University of North Carolina at Chapel Hill. August 25, 1975.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Community Health Centers (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

E00507-082

Project III Description of Existing Maternal and Child Health Services and Resources in the United States. Macro Systems, Inc., Silver Spring, Md. October 1972. 12 pp. + appendixes & exhibits.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Maternal and Child Health Services (13.232).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title V, sec. 503 (P.L. 90-248; 42 USC 703).

Public Availability: NTIS, Order No. PB 231 855/AS.

The purpose of this project is the development of an inventory of resources committed to provision of Maternal and Child Health Services most similar to Title V project grants. This document discusses the problem and the contractor's approach; findings and conclusions; and next steps for the task force. Appendixes and exhibits: sample health interview survey questionnaire; sample hospital discharge survey medical and ledger abstracts; sample professional activity study (PAS) case abstract; data sources interviewed and data available; suggested format for data use on the number of ambulatory visits from the NCHS health interview survey; and suggested format for data use on the number of inpatient discharges from the NCHS health plan.

E00507-083

The Provision of Health Care Services to Adolescents in the 1970's. Betty J. Hallström, and others. Minnesota Systems Research Inc., Minneapolis, Minn. November 7, 1972.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Maternal and Child Health Services (13.232).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title V, sec. 503 (P.L. 90-248; 42 USC 703).

Public Availability: NTIS, Order No. PB 245 373/AS.

E00507-084

Clinic Self-Evaluation Manual for Determination and Improvement of Clinic Efficiency. Neil H. Sims. Johns Hopkins University School of Medicine, Baltimore, Md.; Health Systems Department, Westinghouse Electric Corp. June 1974.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Maternal and Child Health Services (13.232); Neighborhood Health Centers (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title V, sec. 503 (P.L. 90-248; 42 USC 703); Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

E00507-085

Evaluation of Intermediate Care Beds in a Health Maintenance Organization. David A. Pearson. Yale University Health Service, New Haven, Conn. June 1974.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Health Maintenance Organization Service (13.256).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222).

E00507-086

The Role of Health Services Administrator. Robert F. Allison, and others. June 1974.

Agency Sponsoring Evaluation: Health Services Administration, PHS.

Agency Managing Program: Health Services Administration, PHS.

Program(s) Evaluated: Program Management, HSA (13.000); Health Maintenance Organization Service (13.256); Community Health Centers (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III (42 USC 241-280b-12); Health Maintenance Organization Act of 1973 (P.L. 93-222); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

E00507-087

A Study of Maternal and Infant Care of Indian and Native Alaskan Americans. American Academy of Pediatrics; American College of Obstetricians and Gynecologists. July 15, 1974.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

Public Availability: NTIS, Order No. PB 245 872/AS.

E00507-088

Final Report of the Evaluation of the Oklahoma City Area Indian Health Services Offices. Oklahoma City Area Indian Health Services Advisory Board, Inc., Oklahoma City, Okla. 1975.

Agency Sponsoring Evaluation: Indian Health Service, HSA.

Agency Managing Program: Indian Health Service, HSA.

Program(s) Evaluated: Indian Health Service (13.228).

Budget Function/Subfunction: Health care services (551).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

E00508-022

National Institutes of Health, Evaluation Plan, FY 1974. September 1973. 1 vol. (various pagings).

Agency Sponsoring Evaluation: National Institutes of Health, PHS.

Agency Managing Program: National Institutes of Health, PHS.

Program(s) Evaluated: Program Management, NIH (13.000)

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 513 (42 USC 219-229a).

This report is an evaluation of the programs and projects of the National Institutes of Health (NIH). The programs are designed to advance the understanding of biological and behavioral phenomena underlying disease, to improve the dissemination of relevant scientific information, and to expand the quantity and quality of health research personnel. Office of the Director (OD) special research projects are evaluated individually on the basis of method, cost, timing, and priority. General categories of projects are: adequacy of biomedical manpower supply; capacity to meet research needs; implications of the distribution of social and economic costs of disease and health problems; impediments to the delivery of innovations; trends in research costs; comparison of United States research efforts with other countries; and evaluation development. Evaluations of bureaus, institutes, and research divisions (B/I/RD) are based on strategy statements and project summaries. Lists of the projects, cost estimates, and priorities are given for each B/I/RD, and summary information relating statement of purpose; evaluation plan history, direction, scope and rationale; and cost estimates. Individual plans are summarized in regard to evaluation problems, objectives, methodology, previous work, and use.

E00508-023

Evaluation of Interactive Networks: Final Evaluation Report for Planning Phase of ATS-F Satellite Health Experiments. Division of Research and Medical Education, University of Southern California School of Medicine, Los Angeles, Calif. December 1972. 160 pp.

Agency Sponsoring Evaluation: National Library of Medicine, NIH.

Agency Managing Program: National Library of Medicine, NIH.

Program(s) Evaluated: Biomedical Communications Research (13.836).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 381 (42 USC 381).

Public Availability: NTIS, Order No. PB 224 377/2.

Soon after its launch in synchronous equatorial earth orbit in 1974, the ATS-F satellite will be used for health-related experiments to test its feasibility as a mediating vehicle in the delivery of selected social services. Under

the leadership of the NLM's Lister Hill Center, several institutions in the western United States have planned satellite-mediated experiments in medical and health education. USC contracted to assist in planning health experiments using the satellite as a mediating communication link, and to provide an overall evaluation plan for the experiments. The goal of the project is to provide empirical data which will guide system feasibility and design decisions for future applications. Evaluation plans and experimenters' proposals are presented together, for eleven experiments, in order to enable conceptualization of the overall experiment. Experiment design and generalized procedures, as well as an evaluation plan which suggests the data which must be gathered, type of data collection instruments, and recommended data analysis technique, are presented.

E00508-024

Impact of NIH Training Grant Programs on Full-Time Medical School Faculty. 1972. 18 pp.

Agency Sponsoring Evaluation: National Institutes of Health, PHS.

Agency Managing Program: National Institutes of Health, PHS.

Program(s) Evaluated: Health Manpower and Training (13.000).

Budget Function/Subfunction: Health planning and construction (554); Health research and education (552).

Program Authorization: Public Health Service Act, sec. 301 (42 USC 241).

Contents of this report are: Introduction; Impact of NIH Training Grant Programs on Full-Time Medical School Faculty; Impact of NIH Training Grant Programs on Ph.D. Faculty; Impact of NIH Training Grant Programs on M.D. Faculty; Current Impact of NIH and Other Federal Training and Research Programs.

E00508-025

Contributions of Surgical Research to Health Care, 1945-1970: report of the Research Subcommittee of the Study on Surgical Services in the United States. American College of Surgeons; American Surgical Association, Washington, D.C. June 1974.

Agency Sponsoring Evaluation: National Institute of General Medical Sciences, NIH.

Agency Managing Programs: National Institute of General Medical Sciences, NIH.

Program(s) Evaluated: Clinical and Physiological Sciences (13.861).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 301(c), 444, 472; as amended (P.L. 78-410; 42 USC 241, 289(g), and 289(i-1)).

Public Availability: NTIS.

E00508-027

Postdoctoral Training in the Biomedical Sciences: An Evaluation of NIGMS Postdoctoral Traineeship and Fellowship Programs. Lindsey R. Harmon. National Research Council, National Academy of Sciences, Washington, D.C. June 1973. 160 pp. + appendices.

Agency Sponsoring Evaluation: National Institute of General Medical Sciences, NIH.

Agency Managing Program: National Institute of General Medical Sciences, NIH.

Program(s) Evaluated: Health Manpower and Training (13.000).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 301, 444 (42 USC 289g).

Public Availability: NTIS, Order No. PB 231 164/5.

Since 1958, the NIGMS has engaged in and promoted a program of postdoctoral training with two general goals: To enlarge and improve the pool of manpower available for biomedical research; and to provide for advance training of researchers in the nation's graduate and medical schools. Conclusions regarding the effectiveness of postdoctoral training include: the post-MD program must be retained; post PhDs must continue to be supported; and a balanced program of support, including fellowships, traineeships, individual research grants, and institutional grants is essential to the continued nation's health. O1Department of Health, Education, and Welfare

E00508-028

The Totally Implantable Artificial Heart. Harold P. Green. Artificial Heart Assessment Panel, Washington, D.C. June 1973. 254 pp.

Agency Sponsoring Evaluation: National Heart and Lung Institute, NIH.

Agency Managing Program: National Heart and Lung Institute, NIH.

Program(s) Evaluated: Heart and Vascular Diseases (13.837).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 301(c), 412, 472, as amended (P.L. 78-410; 42 USC 241, 287(l-1)).

Public Availability: NTIS, Order No. PB 240 320/2ST.

The Artificial Heart Assessment Panel was charged with providing, by June 1, 1973, a report detailing the economic, ethical, legal, medical, psychiatric, and social implications of a totally implantable artificial heart. This report presents the opinions and conclusions of a panel of ten consultants, representing the disciplines of sociology, psychiatry, ethics, law, economics, political science, and medicine.

E00508-029

Follow-up Survey of National Cancer Institute Postdoctoral Fellows (and) Follow-up Survey of National Cancer Institute Graduate Research Trainees; final report. G. K. Schueller and T. W. McKenna. Westat Inc., Rockville, Md. August 1973. 2 vols. (141 pp. + attachments).

Agency Sponsoring Evaluation: National Cancer Institute, NIH.

Agency Managing Program: National Cancer Institute, NIH.

Program(s) Evaluated: Cancer Research Manpower (13.398); Health Manpower and Training (13.000).

Budget Function/Subfunction: Health planning and construction (554); Health research and education (552).

Program Authorization: Public Health Service Act, sec. 407(b) and 472, as amended (P.L. 78-410; 42 USC 286(a) and 289(l-1)).

Public Availability: NTIS, Order No. PB 231 031/6.

Evaluation and planning activities of the National Cancer Institute find need for a comprehensive data base on prior participants in the NCI Fellowship and graduate research training programs. An evaluation was designed to test current and future hypotheses about the program. NCI furnished a list of trainees and fellows' names and other pertinent information. Findings are discussed.

E00508-030

Follow-up Survey of National Institute of Dental Research Graduate Trainees and Postdoctoral Fellows. G. K. Schueller and T. W. McKenna. Westat Inc., Rockville, Md. August 1973. 32 pp. + attachments.

Agency Sponsoring Evaluation: National Institute of Dental Research, NIH.

Agency Managing Program: National Institute of Dental Research, NIH.

Program(s) Evaluated: Health Manpower and Training (13.000).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, as amended (42 USC 201 et seq.).

Public Availability: NTIS, Order No. PB 231 024/1.

The National Institute of Dental Research has assembled in-depth information on former trainees and fellows. Present activities are assessed with respect to how they relate to the training received by fellows or trainees, and the extent to which these activities are related to teaching, research, patient care and administration. Two follow-up mailings resulted in approximately 74% of responses from trainees and 88% of responses from fellows. Major findings are reported.

E00508-031

Proceedings of a Symposium--Dental Biomaterials Research Priorities. L. W. Wachtel (Editor). DHEW Pub. No. NIH 74-548. August 1973.

Agency Sponsoring Evaluation: National Institute of Dental Research, NIH.

Agency Managing Program: National Institute of Dental Research, NIH.

Program(s) Evaluated: Restorative Materials Research (13.843).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 301(c), 422, and 472, as amended (P.L. 78-410; 42 USC 241, 288(a) and 289(l-1)).

E00508-032

An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III. C. Dennis Fink. Human Resources Research Organization, Alexan-

dria, Va. HumRRO-TR-73-30-Suppl. December 1973. 116 pp.

Agency Sponsoring Evaluation: National Library of Medicine, NIH.

Agency Managing Program: National Library of Medicine, NIH.

Program(s) Evaluated: Medical Library Assistance Training Grants (13.353--no longer listed); Health Manpower and Training (13.000).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 394 (42 USC 280(b-4); Public Health Service Act, as amended (42 USC 201 et seq.).

Public Availability: NTIS, Order No. Part I, PB 235 088/AS; Part II, PB 235 089/AS; and Part III, PB 235 090/AS.

The purpose of this study was to compare the job activities of recent graduates from librarianship and internship programs supported by the National Library of Medicine with a comparable group of graduates from non-NLM-supported programs in librarianship. Responses from approximately 310 persons who had graduated from NLM-supported Master's degree and internship programs since 1966 were compared with those obtained from 144 persons who were currently working in a medical library setting and who had graduated from non-NLM-supported librarianship program since 1966. Results showed that graduates of NLM-supported internship programs were significantly more involved with activities related to application of automatic data processing, computers and computer programming, library administration, and design/implementation/preparation activities. Also, internship program graduates were, on the average, earning higher salaries, more apt to be working in a medical library, and more apt to be involved in research or development activities.

E00508-033

Computer Aided Instruction (CAI) Network Evaluation Criteria and Methodology. Jay S. Wakefield, and others. Medical Computer Services Association, Seattle, Wash. November 30, 1973. 76 pp.

Agency Sponsoring Evaluation: National Library of Medicine, NIH.

Agency Managing Program: National Library of Medicine, NIH.

Program(s) Evaluated: Biomedical Communications Research (13.336).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 381 (42 USC 275).

Public Availability: NTIS, Order No. PB 226 484/4.

The Lister Hill National Center for Biomedical Communications CAI (Computer Aided Instruction) network is reviewed in reference to 50 user's reports, 10 site visits, and the LHCBC Steering Committee report. The network consists of three host networks using the same wires. Options are discussed including withdrawal, continuation, expanding, reducing operations, of a shift in emphasis. Ten specific evaluation criteria drawn from the most viable features of the presented options are recommended to improve the effectiveness of the network.

E00508-034

Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast. Practical Concepts Incorporated, Washington, D.C. November 1973. 188 pp.

Agency Sponsoring Evaluation: National Library of Medicine, NIH.

Agency Managing Program: National Library of Medicine, NIH.

Program(s) Evaluated: Biomedical Communications Research (13.836).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 381 (42 USC 275).

This study evaluated a 'model' interactive television network providing medical education and services to community hospitals in the rural northeast. Impact, cost-effectiveness, and potential for self-sufficiency were considered. The programmatic activity evaluated was the effectiveness of operations of the New Hampshire/Vermont microwave TV network linking several medical centers in the two states. Highest potential value of the network was in stimulating 'best' practice by (a) validating practitioner judgments, and (b) reinforcing known information concerning 'innovations.' Network formal education programs have impact comparable to classroom instruction. The market for products the network provides is highly fragmented. Data regarding institutional changes effected by the system were inconclusive. The network is a positive stimulus for curricular experimentation in continuing education. Despite network impact there is slight prospect that the network will achieve self-sufficiency without taking a much more business-like, less research-oriented view of itself.

E00508-035

Summary Report 1972: Doctorate Recipients from United States Universities. National Research Council, National Academy of Sciences, Washington, D.C. 1973.

Agency Sponsoring Evaluation: National Institutes of Health.

Program(s) Evaluated: Health Manpower and Training (13.000).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 301(c) (42 USC 241).

E00508-036

Evaluation of the National Cancer Institute Associate Program by Former, Current, and Potential Associates. Ketron Incorporated, Wayne, Pa. January 1974. 255 pp. + questionnaires.

Agency Sponsoring Evaluation: National Cancer Institute, NIH.

Agency Managing Program: National Cancer Institute, NIH.

Program(s) Evaluated: Cancer Research Manpower (13.398); Health Manpower and Training (13.000).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 407(b) and 472, as amended (42 USC 286a and 289(i-1)).

Public Availability: NTIS, Order No. PB-239 637/AS.

The purpose of this report was to identify the strengths and weaknesses of the current associate program in light of the cessation of the military draft. Further, the survey was chartered to spotlight potential beneficial program changes. The report is the analysis of a mail survey conducted under the sponsorship of the Division of Cancer Biology and Diagnosis of the National Cancer Institute.

E00508-037

Quality and Consistency Evaluation of Medlars Indexing: final report. Lynn Ockerman. Herner and Company, Washington, D.C. February 1974. 14 pp.

Agency Sponsoring Evaluation: National Library of Medicine, NIH.

Agency Managing Program: National Library of Medicine, NIH.

Program(s) Evaluated: Biomedical Scientific Publications (13.349).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 390(b)(6) and 397 (P.L. 93-353; 42 USC 280(b), 280(b-8)).

The quality and consistency of Medlars indexing were evaluated by comparing the significant elements in the titles and keywords provided by authors and editors with the MESH indexing terms supplied by NLM during normal indexing operations. Analysis was based on (1) specificity of indexing, (2) completeness of indexing, (3) correctness of indexing, and (4) depth of indexing.

E00508-038

Evaluation Report: General Clinical Research Centers Program, Division of Research Resources. February 20, 1974. 2 vols. (550 pp.).

Agency Sponsoring Evaluation: Division of Research Resources, NIH.

Agency Managing Program: Division of Research Resources, NIH.

Program(s) Evaluated: Clinical Research (13.333).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 301 (P.L. 78-410; 42 USC 241).

This study examines the activities of the General Clinic Research Center, examining policy, programs, management, budgeting, and accomplishments. Included are copies of many of the recent research studies to point out the contribution to the field of medical research. Tabulations of personnel, centers, funding sources, and research topics appear throughout the report.

E00508-039

Cancer Chemotherapy Abstracts/Cancer Chemotherapy Reports Evaluation. Information Systems Company, Rockville, Md. March 1974. 72 pp. + appendices.

Agency Sponsoring Evaluation: National Cancer Institute, NIH.

Agency Managing Program: National Cancer Institute, NIH.

Program(s) Evaluated: Biomedical Scientific Publications (13.349); Program Management, NCI (13.000); Cancer Treatment Research (13.395).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, Title III, sec. 390(b)(6) and 397 (P.L. 93-353; 42 USC 280(b-8)).

This study seeks to evaluate two journals: Cancer Chemotherapy Reports and Cancer Chemotherapy Abstracts. It focuses on the audience characteristics, strength of support, and alternative publications. Findings suggest that users favor its continuation, but publicity and distribution efforts should be increased. Appendices include sample questionnaires, a list of user tabulations, and contact materials.

E00508-040

Doctoral Scientists and Engineers in the U.S.: 1973 Profile. National Research Council, National Academy of Sciences, Washington, D.C. March 1974.

Agency Sponsoring Evaluation: National Institutes of Health.

Program(s) Evaluated: Health Manpower and Training (13.000).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 301(c) (42 USC 241).

E00508-042

Summary Report 1973: Doctorate Recipients from U.S. Universities. National Research Council, National Academy of Sciences, Washington, D.C. May 1974.

Agency Sponsoring Evaluation: National Institutes of Health, PHS.

Program(s) Evaluated: Health Manpower and Training (13.000).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 301(c) (42 USC 241).

This report presents a brief summary of data gathered from the Survey of Earned Doctorates during fiscal year 1973. The Survey is conducted annually by the Commission on Human Resources (CHR) of the National Research Council. This is the seventh in a series of yearly summaries of data from the Survey of Earned Doctorates, a series that began in 1967. The CHR publishes data gathered during a given fiscal year in the following fiscal years. Trend data from an earlier period can be found in the book, Doctorate Recipients from United States Universities 1958-1966 (NAS publication 1489).

E00508-043

Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite

Experiment Evaluation. Osvaldo Kreimer, and others. Institute for Communication Research, Stanford University, Stanford, Calif. June 1974. 430 pp.

Agency Sponsoring Evaluation: National Library of Medicine, NIH.

Agency Managing Program: National Library of Medicine, NIH.

Program(s) Evaluated: Biomedical Communications Research (13.836).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 381 (42 USC 275).

Public Availability: NTIS, Order No. PB 235 601/2SL.

The report presents results of an in-depth evaluation of the use of two-way satellite radio to improve health care in the Alaskan bush. The uses examined include communication between native health aides and doctors, between an isolated doctor and his colleagues, and continuing education. The findings concerning the major experiment, the health aide-to-doctor communication, include a vast increase over the old BF radio system in the number of cases 'seen,' high predicted effects on patient welfare as a result of the consultations, improved handling of emergency cases, and changes in the hospitalization patterns. The doctor-to-doctor and the continuing education experiments were both successful. Technical planning for an operational system using existing satellites is reported, and recommendations for action and for future research are made.

E00508-044

Development of a Price Deflator for Biomedical Research (report on Phase I). Sidney A. Jaffe and S. Mark Adelman. Westat Inc., Rockville, Md. June 1974. 22 pp. + appendixes.

Agency Sponsoring Evaluation: National Institutes of Health, PHS.

Agency Managing Program: National Institutes of Health, PHS.

Program(s) Evaluated: Program Management, NIH (13.000); Program Management, Assistant Secretary for Health (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, as amended (42 USC 201 et seq.).

The Phase I report was to include some preliminary data as well as an evaluation as to whether this effort is worthwhile in the sense of providing improved deflators, compared to present alternatives. Phase II--refinement and evaluation of the biomedical R&D price indexes as well as completion of a final report--was to proceed, depending on the Phase I results, at the discretion of NIH. The report includes: Introduction; Conclusions and Recommendations; Biomedical Price-Index Results; Comparison Against Some Economy-Wide Trends and NSF 72-310; Conversion to Constant Dollars; Some remarks on the Data Sources. Appendixes: Derivation of Index Weights; Personnel-Compensation-Trend Proxies; Nonpersonnel-Trend Proxies; Memorandum to H. B. Woolley from S. A. Jaffe; Alternative treatments of indirect costs in deflation of R&D costs.

E00508-045

Manpower Resources and Needs in Speech Pathology/Audiology. American Speech and Hearing Association, Washington, D.C. June 1974. 203 pp.

Agency Sponsoring Evaluation: National Institutes of Neurological Diseases and Stroke, NIH.

Program(s) Evaluated: Health Manpower and Training (13.000); Program Management, NINDS (13.000).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 301(c) (42 USC 241).

Public Availability: NTIS, Order No. PB-240 369/AS.

This study describes the demographic and professional characteristics of the speech pathology/audiology work force and those students currently in training; identifies current patterns of manpower utilization in speech pathology and audiology; estimates the need for additional speech pathologists and audiologists in the areas of teaching, research, and client care through 1985; specifies those manpower resources and/or needs that require additional study and analysis; recommends appropriate goals for meeting the needs of the communicatively handicapped; and suggests means for meeting these goals.

E00508-046

Evaluation of User Utilization of the National Medical Audio Visual Center Media Distribution Program. Abt Associates Inc., Cambridge, Mass. August 1974.

Agency Sponsoring Evaluation: National Library of Medicine, NIH.

Agency Managing Program: National Library of Medicine, NIH.

Program(s) Evaluated: Medical Library Assistance--Library Resources (13.348).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 390(b)(4) and 395; (P.L. 93-353; 42 USC 280b, 280b-5).

E00508-047

Final Report of a Pilot Project to Develop a Methodology for Cost-Benefit Analysis of Research Animal Diagnostic and Investigative Laboratories. University of Missouri, Columbia, Mo. August 1974.

Agency Sponsoring Evaluation: Division of Research Resources, NIH.

Agency Managing Program: Division of Research Resources, NIH.

Program(s) Evaluated: Laboratory Animal Sciences and Primate Research (13.306).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, as amended, sec. 301 and 472 (42 USC 241 and 2891-1).

E00508-048

Manpower Engaged on NIH Research Grants in 1970. Harold Goldstein and Sol Swerdloff. August 1974. 2 vols.

Agency Sponsoring Evaluation: Division of Research Grants, NIH.

Agency Managing Program: Division of Research Grants, NIH.

Program(s) Evaluated: Program Management, NIH (13.000); Health Manpower and Training (13.000).

Budget Function/Subfunction: Health planning and construction (554); Health research and education (552).

Program Authorization: Public Health Service Act, sec. 301 (42 USC 241).

Public Availability: NTIS.

E00508-049

Evaluation of Linkage Between Research Publications and NIH Funding. Executive summary for the period March 15, 1973-June 14, 1974. Francis Narin. Computer Horizons, Inc., Cherry Hill, N.J. September 25, 1974. 21 pp.

Agency Sponsoring Evaluation: National Institutes of Health, PHS.

Agency Managing Program: National Institutes of Health, PHS.

Program(s) Evaluated: Program Management, NIH (13.000).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 513 (42 USC 219-229a).

The study indicates that the central 900 biomedical research journals group into approximately 50 fields of medicine and divide into four research levels. These are: clinical observation, clinical mix, clinical investigation, and basic research. In addition, the basic research journals and basic research fields are very influential, with a research influence significantly larger than clinical journals and fields; physiology and biochemistry appear to be twin pillars of research knowledge, supporting all other biomedical research activity; the overall relationship between publications and research funding appears to be linear, with no evidence for either economies or diseconomies of scale when all research levels and all research fields are taken together; the number of publications in the central 500 biomedical journals peaked in 1971, three years after the funding peak of 1968; and for six biomedical funding sources considered, approximately 89 percent of the extramural biomedical research funds given to the 241 largest biomedical research institutions appear to come from the National Institutes of Health.

E00508-050

Development of a Price Deflator for Biomedical Research: a report on Phase II. Sidney A. Jaffe and S. Mark Adelman. Westat Inc., Rockville, Md. December 23, 1974.

Agency Sponsoring Evaluation: National Institutes of Health, PHS.

Agency Managing Program: National Institutes of Health, PHS.

Program(s) Evaluated: Program Management, NIH (13.000); Program Management, Assistant Secretary for Health (13.000).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 513 (42 USC 219-229a).

Public Availability: NTIS.

E00508-051

Evaluation of the National Pituitary Agency. National Research Council, National Academy of Sciences, Washington, D.C. 1974.

Agency Sponsoring Evaluation: National Institute of Arthritis and Metabolic Diseases, NIH.

Agency Managing Programs: National Institute of Arthritis and Metabolic Diseases, NIH.

Program(s) Evaluated: Diabetes, Endocrinology, and Metabolism (13.847); Program Management, NIAMD (13.000).

Budget Function/Subfunction: Health research and education (552); Health planning and construction (554).

Program Authorization: Public Health Service Act, sec. 301(d), 433 and 472, as amended (P.L. 78-410; 42 USC 241, 289c, and 289l-1).

Public Availability: NTIS.

E00508-052

Five and Ten Years After College Entry. Elaine E1-Khawaw and Ann Bisconti. American Council on Education, Washington, D.C. 1974.

Agency Sponsoring Evaluation: National Institutes of Health, PHS.

Program(s) Evaluated: Health Manpower and Training (13.000).

Budget Function/Subfunction: Health research and education (552). Health planning and construction (554).

Program Authorization: Public Health Service Act, as amended (42 USC 201 et seq.).

E00508-053

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine. Martin L. Rubin, and others. Human Resources Research Organization, Alexandria, Va. January 1975. 85 pp.

Agency Sponsoring Evaluation: National Library of Medicine, NIH.

Agency Managing Programs: National Library of Medicine, NIH.

Program(s) Evaluated: Biomedical Communications Research (13.836).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 381 (42 USC 275).

Public Availability: NTIS, Order No. PB-239 358/5ST.

The report describes the evaluation of the biomedical Computer Aided Instruction (CAI) Network Experiment, established by the National Library of Medicine in 1973 to test the feasibility of sharing CAI learning materials through a national computer network. The evaluation is designed to assist decision makers in planning future mechanisms for distributing biomedical CAI. The study is focused on the institutions (95 as of October 1974) and people who use the Network and the contractors who supplied the CAI Library. Data sources

include case studies, user reports, and interviews with hospital and medical school administrators, faculty, librarians, computer laboratory staff, and students. Network usage by program type, by user institution, and by class of user is analyzed. Also analyzed is the impact of the Network on curricula, faculty, students, libraries, and media centers as well as the cost/benefits. The report includes a roster of participants in the Network Experiment.

E00509-001

Vocational Rehabilitation State Allocation Study. Final report. JWK International Corporation, Annandale, Va. July 19, 1974.

Agency Sponsoring Evaluation: Evaluation and Monitoring Branch, RSA.

Agency Managing Program: Rehabilitation Services Administration, SRS.

Program(s) Evaluated: Rehabilitation Services and Facilities--Basic Support (13.624).

Budget Function/Subfunction: Social services (506).

Program Authorization: Rehabilitation Act of 1973 (P.L. 93-112).

E00509-002

A Study of Placement in PA/VR (Public Assistance Vocational Rehabilitation). Final report. Camil Associates, Inc., Philadelphia, Pa. October 1973. 36 pp.

Agency Sponsoring Evaluation: Evaluation and Monitoring Branch, RSA.

Agency Managing Program: Rehabilitation Services Administration, SRS.

Program(s) Evaluated: Vocational Rehabilitation Services for Social Security Disability Beneficiaries (13.625).

Budget Function/Subfunction: Social services (506).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

The factors that seem linked to placement success, according to this report on public assistance vocational rehabilitation, are the leadership and vision of key administrators; quality, commitment, and imagination of staff; quality of interagency cooperation; utilization of placement options that go beyond the obvious, including self-employment; cultivation of working relationships with prospective employers; full preparation of clients for job-seeking and job retention, including job-seeking skills training, mock job interviews, and group counseling; integration of placement into the total rehabilitation process; and the carrying out of a thorough and alert followup period.

E00509-003

The Program Services and Support System of the Rehabilitation Services Administration. Executive summary. Abt Associates Inc., Cambridge, Mass. November 1, 1974. 33 pp.

Agency Sponsoring Evaluation: Evaluation and Monitoring Branch, RSA.

Agency Managing Programs: Rehabilitation Services Administration, SRS.

Program(s) Evaluated: Rehabilitation Services and Facilities--Basic Support (13.624).

Budget Function/Subfunction: Social services (506).

Program Authorization: Rehabilitation Act of 1973 (P.L. 93-112).

A major study of the provision of rehabilitation services to handicapped Americans has led to the following recommendations: There should be a modification in service priorities; more attention should be paid to finding the optimum services for a given client or kind of client; policies and programs need to exert more effort in placing disabled persons within the primary labor market, that is, those sectors of the market offering opportunities for career advancement, increased pay with experience and on-the-job training, fringe benefits, and more stable employment; more attention should be given to the objective of enabling the disabled to live independently; more attention needs to be paid to the kind and quality of personal involvement of clients during the rehabilitation process; and a Federal policy of insuring multiple, alternative sources of services for clients might be helpful.

E00509-004

Analysis of Medicaid Spend-Down Experience. Urban Systems Research & Engineering. February 20, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Medical Services Administration, SRS.

Program(s) Evaluated: Medical Assistance (13.714).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506); Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00509-005

Survey of Title XIX Long-Term Care Facilities and Patients, volume I. Final report. Applied Management Sciences. September 28, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Medical Services Administration, SRS.

Program(s) Evaluated: Medical Assistance (13.714).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506); Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

A survey based on a sample of 742 long-term care facilities in 15 states revealed that there is little in the needs of the patients to differentiate between skilled nursing homes and mixed facilities. Also, there is a significant proportion of patients who apparently reside in places that supposedly provide more service than the patients require. In examining the types of facilities against the needs of the patients in those facilities, it was learned that only 48 percent of the patients in skilled nursing homes required skilled or extended care. In addition, more than 70 percent of the residents in pure immediate care facilities required intermediate care; and 18 percent of the patients in pure immediate care facilities required skilled care.

E00509-006

Study of Cost Differentials Between Skilled Nursing Facilities and Intermediate Care Facilities. Touche, Ross & Co. January 31, 1974.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Medical Services Administration, SRS.

Program(s) Evaluated: Medical Assistance (13.714).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506); Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

The study indicates that an arithmetic differential exists between the cost of providing patient services in skilled nursing homes and the costs in intermediate care facilities in each state sampled; that a significant statistical cost differential does not exist between the two groups of facilities in any of the sampled states except New York, where the differential is a result, in part, of a reimbursement policy; that all states show a consistent pattern of expenditures in major cost categories for both skilled nursing and intermediate cost facilities; that professional nursing care is the primary factor creating the differential that exists between skilled and intermediate facilities; that there are no consistent patterns or trends between states of per diem figures related to independent variables such as percent Medicaid, age, location, and bed size; that all of the sampled facilities do not appear to recover average cost from Medicaid payments using normal formula calculations; and that continuous monitoring of the cost information of all states in the Nation by the Department of Health, Education, and Welfare will require institution of minimum standards of uniform cost reporting.

E00509-007

A Study of Feasibility of Securing Adequate Information for the Federal Evaluation of the Developmental Disabilities Formula Grant Program. Economic and Manpower Corporation. April 15, 1975.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Community Services Administration, SRS.

Program(s) Evaluated: Developmental Disabilities-Basic Support (13.630).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506).

Program Authorization: Developmental Disabilities Services and Facilities Construction Amendments of 1970 (P.L. 91-517).

E00509-008

Bibliographies, Notes and Summaries Concerning WIN. Social Welfare Regional Institute, Boston College, Chestnut Hill, Mass. April 27, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Community Services Administration, SRS.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Public assistance and other income supplements (604); Manpower training (504).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

E00509-009

WIN II Initial Impact Study. Final report. Social Welfare Regional Institute, Boston College, Chestnut Hill, Mass. December 1, 1972.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Community Services Administration, SRS.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Public assistance and other income supplements (604); Manpower training (504).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

This report lists the objectives and findings of the study on the impact of the Work Incentive Program II (WIN II) on the administration of WIN in local welfare offices. The WIN II program has accentuated differences in the organizational structure of WIN in the local offices. The new guidelines have not changed the priority of WIN. Relations between welfare offices and employment offices have generally improved, although the program has had no positive or negative effects on clients. Costs have run higher in offices which have achieved full implementation, with a monthly range of costs from \$2,000 to \$4,600. Costs were also higher where clients completed registration forms. Speed and extent to which WIN guidelines have been implemented are affected by office staff and caseload capacity, communications network and operational procedures between state and local welfare agencies, priority of WIN in state welfare departments and its influence over local agencies, nature and number of competing programs, and political and budget constraints. The voucher system for child care in some states is time consuming, and confusing for workers and clients. Many babysitters are reluctant to get involved, which reduces available child care facilities.

E00509-010

WIN II Administrative Impact Study. Final report. Social Welfare Regional Institute, Boston College, Chestnut Hill, Mass. January 1974.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Community Services Administration, SRS.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Public assistance and other income supplements (604); Manpower training (504).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

This study found that additional work requirements in New York and in California tended to have a negative impact on performance measures in the WIN Program. The greatest difficulty was in maintaining participants in those placements which were found. In those California sites in the study which had both the employables and

the Community Work Experience Program (CWEP) the number of completed placements was far lower than would be expected from the number of certified participants in those programs. Less than 40 percent of those who began job entry actually completed job entry. Although this study made no definitive conclusions concerning the cost and impact of mandatory work requirements, it does raise the question of whether the cost of both mandatory registration and work experience programs outweighs the benefits of such procedures.

E00509-011

Special Approval and Evaluation of the WIN II Program. Camil Associates. October 25, 1974.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Community Services Administration, SRS.

Program(s) Evaluated: Work Incentive (17.226).

Budget Function/Subfunction: Public assistance and other income supplements (604); Manpower training (504).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

E00509-012

A Retrospective Case Review of WIN II Completed Job Entries: grant reductions, services, and welfare savings. Camil Associates, Inc., Philadelphia, Pa. October 25, 1974.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Community Services Administration, SRS.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Public assistance and other income supplements (604); Manpower training (504).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

According to this report, the determination of welfare savings resulting from the Work Incentive Program (WIN) is subject to several interpretations. At present, the only national, empirical information is based on a monthly savings figure entered on a SAU-3 by the Income Maintenance Unit on notification that a participant entered employment, became deregistered, or refused to participate. Although this process should, in theory, result in a reasonable determination of savings in the AFDC grant, it is inaccurate for several reasons. Thus, to provide some initial answers to questions about welfare savings, this study was primarily concerned with collecting data about savings effected over a long period due to WIN employment. The study was limited to a retrospective case review of the Completed Job Entry (CJE) files at those sites included in the Pacific Training and Technical Assistance Corporation study. Since the CJE's represented all placements of 90 days duration or more, ignoring the placements that did not become CJE's would result in a loss of but a few months savings for those who did not become CJE's.

E00509-013

Comparison of State Agency and Fiscal Agent Performance in Medicaid. Final report. Touche, Ross & Co. June 21, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Medical Services Administration, SRS.

Program(s) Evaluated: Medical Assistance (13.714).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506); Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

This study on the administration of state Medicaid programs indicates that states that use fiscal agents are approximately 30 percent more expensive than states that do not contract to fiscal agents. This increased cost is the composite effect of adding contributions from activities that are more expensive for fiscal agents to perform, as well as activities that are more expensive for state agencies to perform. The additional cost of using a fiscal agent to perform the manual claims preparation and exception claim resolution activities results in an increase in total administrative costs of 11 percent. The use of a fiscal agent to perform computer operations activities results in a decreased cost of 13 percent; the use of a fiscal agent to perform provider relations activities results in an increased cost of seven percent; cost settlement, audit, and rate determination costs are decreased by three percent; general management and fiscal agent relations results in an increased administrative cost of two percent; and overhead costs are increased by 26 percent.

E00509-014

Cost Benefit of Utilization Review Techniques in Medicaid. Minnesota Systems Research, Inc. December 31, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Medical Services Administration, SRS.

Program(s) Evaluated: Medical Assistance (13.714).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506); Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

This study examines the utilization review process applied to Medicaid payments in the states of Mississippi, New Jersey, New Mexico, Virginia, and Washington. Utilization review is defined as that process which determines if care is necessary, reasonable in cost, customary with local practices, and accurately reported on the claim document. Results concentrate on administrative costs involved, estimated savings, and appropriateness. Mississippi's utilization review program involves review following delivery of service using both computers and human judgement. Monthly costs of administration are \$.07 per categorically eligible person. New Jersey spends \$.37 per eligible person, utilizing a program which applies specified limits prior to delivery of service. Many of New Mexico's review functions are performed by a medical foundation. They require approval by a

credentialed person prior to delivery of all but some drug and physician services. Total costs are \$.22 per eligible person. Virginia uses post audit procedures for all services, with monthly costs per categorically eligible person of \$06.9. Washington's monthly expense is \$.53 per recipient. Prior approval by qualified administrators is required. In most cases, systems could not be transferred to other states and maintain their cost effectiveness.

E00509-015

Analysis of Medicaid Buy-In Experience. Consultec, Inc., Atlanta, Ga. June 30, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Medical Services Administration, SRS.

Program(s) Evaluated: Medical Assistance (13.714).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506); Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

A study of the Medicaid 'buy-in' program indicates that several common problems exist in the administering of the program in different states. Among these problems are the occurrence of discrepancies in the data contained in state eligibility files v. Bureau of Health Insurance eligibility files; accounting for and buying-in the entire adult population of eligible individuals; processing all accretions in a given time-frame; promptly deleting ineligible; and quickly resolving items under investigation so as not to deprive an otherwise eligible individual of needed health care or place an unjustified financial burden on the individual in the form of SMI premiums. Other problems include: nonexistent or outdated procedures documentation; inaccurate and untimely reporting; inadequate education of personnel at the local level; poor lines of communication among the state agency, the country welfare departments, and the district and local Social Security Administration offices; and inadequate methods of record keeping and statistical data accumulation.

E00509-016

Service Utilization Patterns Derived from Cross Tabulations of the 1971 AFDC Survey: a guide to the perplexed. Working paper. Michael Arnow and Alan Fechter. Urban Institute, Washington, D.C. 963-6. December 13, 1972.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935 (P.L. 74-271).

Public Availability: Urban Institute.

E00509-017

Variation in Payment Formulas and Work Incentives. Working paper. Lorene Y. L. Yap. Urban Institute, Washington, D.C. 963-19. April 9, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Programs: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935 (P.L. 74-271).

Public Availability: Urban Institute, Working Paper 963-19.

E00509-018

Full Employment and Income Security: the role of wage subsidy programs as policy weapons. Working paper. Alan Fechter. Urban Institute, Washington, D.C. 963-20.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935 (P.L. 74-271).

Public Availability: Urban Institute, Working Paper 963-20.

E00509-019

Considerations in Developing Welfare Cost Standards. Working paper. Jerry Turem. Urban Institute Washington, D.C. 963-21. May 23, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935 (P.L. 74-271).

Public Availability: Urban Institute, Working Paper 963-21.

E00509-020

Welfare Policy and Family Splitting. Working Paper. Jerry Turem and Michael Arnow. Urban Institute, Washington, D.C. 963-30. August 27, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935 (P.L. 74-271).

Public Availability: Urban Institute, Working Paper 963-30.

E00509-021

A Gross Flows Model of AFDC Participation. Working paper. Lorene Y. L. Yap. Urban Institute, Washington, D.C. 963-31. September 4, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935 (P.L. 74-271).

Public Availability: Urban Institute, Working Paper 963-31.

E00509-022

Welfare and Illegitimacy: an economic model and some preliminary results. Working paper. Alan Fechter and Stuart Greenfield. Urban Institute, Washington, D.C. 963-37. September 4, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935 (P.L. 74-271).

Public Availability: Urban Institute, Working Paper 963-37.

E00509-023

Analysis of the Utilization of Social Services by AFDC Recipients. Working paper. Frank Ekanem, and others. Urban Institute, Washington, D.C. 963-38. September 27, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935 (P.L. 74-271).

Public Availability: Urban Institute, Working Paper 963-38.

E00509-024

A Diffusion Analysis of AFDC Participation. Working paper. Peter Greenston and Duncan MacRae. Urban Institute, Washington, D.C. 3605-02. July 18, 1973.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935 (P.L. 74-271).

Public Availability: Urban Institute, Working Paper 3605-02.

E00509-025

An Econometric Model of the Low-Skill Labor Market. Working paper. R. W. Crandall, and others. Urban Institute, Washington, D.C. 3603-01. December 26, 1972.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Community Services Administration, SRS.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Public assistance and other income supplements (604); Manpower training (504).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

Public Availability: Urban Institute, Working Paper 3603-01.

E00509-026

Employment and Wage Effects of the WIN Tax Credit. Working paper. R. W. Crandall, and others. Urban Institute, Washington, D.C. 3603-02. December 26, 1972.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Community Services Administration, SRS.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Public assistance and other income supplements (604); Manpower training (504).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

Public Availability: Urban Institute, Working Paper 3603-02.

E00509-027

Categorical Wage-Bill Subsidies: theory and application. Working paper. Peter Greenston and Duncan MacRae. Urban Institute, Washington, D.C. 3603-03.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Community Services Administration, SRS.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Public assistance and other income supplements (604); Manpower training (504).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

Public Availability: Urban Institute, Working Paper 3603-03.

E00509-028

Regional Adjustments to Family Assistance Plan Payments. Working paper. Terence F. Kelly and Barbara Boland. Urban Institute, Washington, D.C. 958-01.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935 (P.L. 74-271).

Public Availability: Urban Institute, Working Paper 958-01.

E00509-029

Participation in the Aid to Families with Dependent Children. Working paper. Barbara Boland. Urban Institute, Washington, D.C. 971-02.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935 (P.L. 74-271).

Public Availability: Urban Institute, Working Paper 971-02.

E00509-030

Utilization of Ambulatory Care. Working paper. Gail R. Wilensky. Urban Institute, Washington, D.C. 963-3.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Medical Services Administration, SRS.

Program(s) Evaluated: Medical Assistance (13.714).

Budget Function/Subfunction: Health care services (551); Social services (506).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

Public Availability: Urban Institute, Working Paper 963-3.

E00509-031

Measures of Delinquency: problems and findings. Working paper. Karen Hoffman and Michael Arnow. Urban Institute, Washington, D.C. 963-5.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Office of Youth Development, HEW.

Program(s) Evaluated: Youth Development and Delinquency Prevention (13.000).

Budget Function/Subfunction: Social services (506).

Program Authorization: Juvenile Delinquency Prevention Act (P.L. 92-381).

Public Availability: Urban Institute, Working Paper 963-5.

E00509-032

Deinstitutionalization--Dependent and Neglected Children. Jeffrey Koshel. Urban Institute, Washington, D.C. URI 58000. 1973. 60 pp.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Community Services Administration, SRS.

Program(s) Evaluated: Child Welfare Services (13.707).

Budget Function/Subfunction: Social services (506).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

Public Availability: Urban Institute.

E00509-033

The Impact of Compensatory Education and Desegregation on Juvenile Delinquency. Working paper. Cecile Trop. Urban Institute, Washington, D.C. 963-8.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Office of Youth Development, HEW.

Program(s) Evaluated: Youth Development and Delinquency Prevention (13.000).

Budget Function/Subfunction: Social services (506).

Program Authorization: Juvenile Delinquency Prevention Act (P.L. 92-381).

Public Availability: Urban Institute, Working Paper 963-8.

E00509-034

Fee Schedules and Social Services. Working paper. Terence F. Kelly. Urban Institute, Washington, D.C. 963-9.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Community Services Administration, SRS.

Program(s) Evaluated: Public Assistance--Social Services (13.754).

Budget Function/Subfunction: Social services (506).

Program Authorization: Public Welfare Amendments of 1962 (P.L. 87-543).

Public Availability: Urban Institute, Working Paper 963-9.

E00509-035

Costs of Alternative Care Settings for the Elderly. William Pollak. Urban Institute, Washington, D.C. 963-11.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Administration on Aging, HEW.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

Public Availability: Urban Institute, Working Paper 963-11.

E00509-036

Utilization of Alternative Care Settings by the Elderly: normative estimates and current patterns. William Pollak. Urban Institute, Washington, D.C. 963-12.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Administration on Aging, HEW.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

Public Availability: Urban Institute, Working Paper 963-12.

E00509-037

An Analysis of the Allocation of Counseling Resources in Vocational Rehabilitation Agencies. Philip A. Armstrong. Urban Institute, Washington, D.C. 963-13.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Rehabilitation Services Administration, HEW.

Program(s) Evaluated: Rehabilitation Services and Facilities--Basic Support. (13.624).

Budget Function/Subfunction: Social services (506).

Program Authorization: Rehabilitation Act of 1973 (P.L. 93-112).

Public Availability: Urban Institute, Working Paper 963-13.

E00509-039

Making Social Service Choices at the State Level: practice and problems in four states. Urban Institute, Washington, D.C. 963-39.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Community Services Administration, SRS.

Program(s) Evaluated: Public Assistance--Social Services (13.754).

Budget Function/Subfunction: Social services (506).

Program Authorization: Social Security Act of 1935 (P.L. 74-271).

Public Availability: Urban Institute, Working Paper 963-39.

E00509-040

The Determinants of Vocational Rehabilitation Costs: an exploratory analysis. Urban Institute, Washington, D.C. 963-36.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Administration, HEW.

Program(s) Evaluated: Rehabilitation Services and Facilities--Basic Support (13.624).

Budget Function/Subfunction: Social services (506).

Program Authorization: Rehabilitation Act of 1973 (P.L. 93-112).

Public Availability: Urban Institute, Working Paper 963-36.

E00509-041

Determinants of Long-Term Care Facility Utilization by the Elderly: an empirical analysis. Urban Institute, Washington, D.C. 963-35.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Administration on Aging, HEW.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

Public Availability: Urban Institute, Working Paper 963-35.

E00509-042

Characteristics of the Demand for Disabled Workers. Urban Institute, Washington, D.C. 963-34.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Rehabilitation Services Administration, HEW.

Program(s) Evaluated: Rehabilitation Services and Facilities--Basic Support (13.624).

Budget Function/Subfunction: Social services (506).

Program Authorization: Rehabilitation Act of 1973 (P.L. 93-112).

Public Availability: Urban Institute, Working Paper 963-34.

E00509-043

Disability and Labor Supply. Urban Institute, Washington, D.C. 963-32.

Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.

Agency Managing Program: Rehabilitation Services Administration, HEW.

Program(s) Evaluated: Rehabilitation Services and Facilities--Basic Support (13.624).

Budget Function/Subfunction: Social services (506).

Program Authorization: Rehabilitation Act of 1973 (P.L. 93-112).
Public Availability: Urban Institute, Working Paper 963-32.

E00509-044

Medicaid in California: a descriptive analysis. Working paper. Urban Institute, Washington, D.C. 963-33.
Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.
Agency Managing Program: Medical Services Administration, SRS.
Program(s) Evaluated: Medical Assistance (13.714).
Budget Function/Subfunction: Social services (506).
Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).
Public Availability: Urban Institute.

E00509-045

Organizational Issues in the Provision of Community Care to the Impaired Elderly. Working paper. William Pollak. Urban Institute, Washington, D.C. 963-29.
Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.
Agency Managing Program: Administration on Aging, HEW.
Program(s) Evaluated: Special Programs for the Aging (13.609).
Budget Function/Subfunction: Social services (506).
Program Authorization: Older Americans Act of 1965 (P.L. 89-73).
Public Availability: Urban Institute.

E00509-046

Isolation Among the Elderly: definition, measurement, and policy considerations. Working paper. Burton Dunlop. Urban Institute, Washington, D.C. 963-24.
Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.
Agency Managing Program: Administration on Aging, HEW.
Program(s) Evaluated: Special Programs for the Aging (13.609).
Budget Function/Subfunction: Social services (506).
Program Authorization: Older Americans Act of 1965 (P.L. 89-73).
Public Availability: Urban Institute.

E00509-047

Vocational Rehabilitation Programs Undergoing Rapid Expansion: a simulation study of cost and caseload statistics. Philip A. Armstrong. Urban Institute, Washington, D.C. 963-23.
Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.
Agency Managing Program: Rehabilitation Services Administration, HEW.
Program(s) Evaluated: Rehabilitation Services and Facilities--Basic Support (13.624).

Budget Function/Subfunction: Social services (506).
Program Authorization: Rehabilitation Act of 1973 (P.L. 93-112).
Public Availability: Urban Institute, Working Paper 963-23.

E00509-048

Issues in Medicaid. John Holahan. Urban Institute, Washington, D.C. 963-18.
Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.
Agency Managing Program: Medical Services Administration, SRS.
Program(s) Evaluated: Medical Assistance (13.714).
Budget Function/Subfunction: Social services (506).
Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).
Public Availability: Urban Institute, Working Papers 963-18.

E00509-049

Special Revenue Sharing in Support of Public Social Services. Melvin B. Moguloff. Urban Institute, Washington, D.C. URI 52000. 1973. 70 pp.
Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.
Agency Managing Program: Community Services Administration, SRS.
Program(s) Evaluated: Public Assistance--Social Services (13.754).
Budget Function/Subfunction: Social services (506).
Program Authorization: Public Welfare Amendments of 1962 (P.L. 87-543).
Public Availability: Urban Institute, URI 52000.

E00509-050

Deinstitutionalization--Delinquent Children. Jeffrey Koshel. Urban Institute, Washington, D.C. URI 59000. 1973. 74 pp.
Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.
Agency Managing Program: Office of Youth Development, HEW.
Program(s) Evaluated: Youth Development and Delinquency Prevention (13.000).
Budget Function/Subfunction: Social services (506).
Program Authorization: Juvenile Delinquency Prevention Act (P.L. 92-381).
Public Availability: Urban Institute.

E00509-051

Measuring Benefits from Prison Reform. John Holahan. Urban Institute, Washington, D.C. 963-14.
Agency Sponsoring Evaluation: Associate Administrator for Planning, Research and Evaluation, SRS.
Agency Managing Program: Office of Youth Development, HEW.
Program(s) Evaluated: Youth Development and Delinquency Protection (13.000).

Budget Function/Subfunction: Social services (506).
Program Authorization: Juvenile Delinquency Prevention Act (P.L. 92-381).
Public Availability: Urban Institute, Working Paper 963-14.

E00510-001

Medical Care Costs and Prices: background book. Miscellaneous report. September 1973. 90 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

Public Availability: GPO.

This publication presents in summary from comprehensive data on medical care costs and prices in the United States. Subjects range from the cost of private health insurance to public benefit payments; from doctors' and dentists' fees to who pays them.

E00510-002

Selected State Data Medicare Fiscal Years 1968-72. Annual report. 1974. 54 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

This document summarizes basic Medicare information for each state, the District of Columbia, and Puerto Rico; data on enrollment, amounts reimbursed, the providers of services, as well as some utilization data for hospitals and extended care facilities.

E00510-003

Size and Shape of the Medical Care Dollar, 1975. Chart book. 1975. 35 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

A two-color chart book which presents in graphic form the background facts relating to the medical care dollar--who pays; what and how much is bought; for whom it is spent; and how and why it has grown.

E00510-006

Medicare Patients: Regional Differences in Lengths of Hospital Stays, 1969-71. Social Security Bulletin Reprint. Marion Gornick. July 1975. 18 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

E00510-007

Social Security, Savings, and Capital Formation. Social Security Bulletin Reprint. S. Lesnoy and J. Hambor. July 1975. 13 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA; Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801); Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803); Social Security--Special Benefits for Persons Aged 72 & Over (13.804); Social Security--Survivors Insurance (13.805).

Budget Function/Subfunction: Health care services (551); General retirement and disability insurance (601).

Program Authorization: Social Security Amendments of 1965, Title XVIII, Parts A and B (P.L. 89-97; P.L. 90-248; P.L. 92-603; P.L. 93-233; 42 USC 1395); Social Security Act of 1935, as amended (P.L. 74-271; P.L. 93-66; 42 USC 401-429); Tax Adjustment Act of 1966 (P.L. 87-368; 42 USC 427-428).

Much controversy has developed recently over capital formation and the claim that the social security system has reduced savings and as a result the potential for economic growth in this country. Concerned that there was much misunderstanding with respect to the subject, the Commissioner of Social Security asked the Office of Research and Statistics to make a presentation to top-level staff to clarify the concept, stimulate intelligent discussion, and place the issues in proper perspective. This article is based on the presentation made at the Executive Staff Meeting on April 18, 1975. To facilitate understanding of the issues, the article begins with a discussion of the general nature of capital formation and its relationship to saving and economic growth. The balance of the article focuses on three questions: What is the impact of social security on saving? If social security reduces saving, is this necessarily bad? If it is desirable to increase saving and economic growth, what alternative policies can be considered?

E00510-008

Conversions to Supplemental Security Income from State Assistance: A Program Records Study. Social Security Bulletin Reprint. Kennedy, Thomas, and Schmulowitz. June 1975. 13 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Supplemental Security Income (13.807).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Amendments of 1972, Title XVI, Parts A and B (P.L. 92-603; P.L. 93-66; P.L. 93-233).

In January 1974 payments were made under the new supplemental security income program to about 3 million persons who had been transferred from the previous state assistance programs. This paper presents the results of a study of the conversion population: their income and employment patterns, the amount of state assistance provided, and the impact of the SSI program on them. Over half of the persons converted from state programs had no income other than their assistance payment. For those with some income the predominant source was social security benefits, while only a small proportion reported income from employment or other types of pensions and benefits. One-third of the aged individuals and two-thirds of the disabled were awarded Federal SSI payments at the maximum amount payable in January 1974.

E00510-009

Age Differences in Health Care Spending, Fiscal Year 1974. Social Security Bulletin Reprint. Mueller and Gibson. June 1975. 14 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare-Hospital Insurance (13.800); Medicare-Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

This study of health care spending in fiscal year 1974 reveals that, of the \$90.3 billion spent by the Nation for personal health care, 15 percent was spent for the young (under age 19), 56 percent for persons 19-64, and 30 percent for those aged 65 and over. The aged individual had the largest per capita medical bill, \$1,218; the bill was \$420 for a person in the intermediate age group and \$183 for a young person. Third-party payments by government, private health insurance, philanthropy, and industry accounted for almost two-thirds of health expenditures for both the aged and those under age 65. Public funds alone paid for nearly 30 percent of personal health care spending for the two younger groups and 60 percent for the aged. As in 1973, Medicare met 38 percent of the health bill for the oldest group. The average direct payment rose \$3 (to \$117) for

persons under age 65 and reached \$415 (\$26 higher than in 1973) for the aged.

E00510-010

Employee-Benefit Plans, 1973. Social Security Bulletin Reprint. W. Kolodrubetz. May 1975. 8 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Income Maintenance (13.899).

Budget Function/Subfunction: Income Security (600).

Some slackening in the growth rate of employee-benefit plans providing income-maintenance and health expense benefits was apparent in 1973; despite continuing inflationary pressures. Group health insurance plans in particular showed moderate rates of increase in contributions and benefits, as the health industry continued to be fully subject to the economic stabilization program during the year. This year's review includes some preliminary data on the distribution of these health plans by size of company, industry, type of worker, method of financing, and collective bargaining status.

E00510-011

Young Widows and Their Children: A Comparative Report. Social Security Bulletin Reprint. Lucy B. Mallan. May 1975. 2 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA; Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Medicare-Hospital Insurance (13.800); Medicare-Supplementary Medical Insurance (13.801); Social Security-Disability Insurance (13.802); Social Security-Retirement Insurance (13.803); Social Security-Special Benefits for Persons Aged 72 & Over (13.804); Social Security-Survivors Insurance (13.805).

Budget Function/Subfunction: Health care services (551); General retirement and disability insurance (601).

Program Authorization: Social Security Amendments of 1965, Title XVIII, Parts A and B (P.L. 89-97; P.L. 90-248; P.L. 92-603; P.L. 93-233; 42 USC 1395); Social Security Act of 1935, as amended (P.L. 74-271; P.L. 93-66; 42 USC 401-429); Tax Adjustment Act of 1966 (P.L. 87-368; 42 USC 427-428).

Financial resources of widows under age 60 are analyzed in this study, using data from the Current Population Survey. Comparisons are made with divorced and separated women. These 'young' widows have two major sources of income: their own earnings and, if they have children under age 18, social security benefits. Without social security, most of these widowed mothers would be poor. Even with it, one-third of them are poor; with poverty more likely if there are three or more children. As these benefits are not in general available to divorced and separated mothers, over one-half of these women are poor. Widows with children are often discouraged by the social security earnings test from working to supplement their benefits. Yet only with substantial earnings can most widows with or without children attain incomes even half as high as those of husband-wife families with the same number of children. Many widows under age 60 find it impossible to earn this

much and subsist at a low level. If they have no social security benefits they rely principally on public assistance, income from savings, veterans' benefits, and living with relatives.

E00510-012

Blacks and Social Security Benefits: Trends, 1960-1973. Social Security Bulletin Reprint. Gayle Thompson. April 1975. 11 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA; Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801); Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803); Social Security--Special Benefits for Persons Aged 72 & Over (13.804); Social Security--Survivors Insurance (13.805).

Budget Function/Subfunction: Health care services (551); General retirement and disability insurance (601).

Program Authorization: Social Security Amendments of 1965, Title XVIII, Parts A and B (P.L. 89-97; P.L. 90-248; P.L. 92-603; P.L. 93-233; 42 USC 1395); Social Security Act of 1935, as amended (P.L. 74-271; P.L. 93-66; 42 USC 401-429); Tax Adjustment Act of 1966 (P.L. 87-368; 42 USC 427-428).

Blacks and whites are compared with respect to selected provisions of the OASDHI program--type of beneficiary, age of beneficiaries, size of benefits, and size of covered earnings--for the period from 1960 to 1973. There have been substantial increases in the number of black beneficiaries since 1960, and in most beneficiary groups blacks have increased proportionately more than whites. Blacks are heavily represented among young beneficiaries but are underrepresented among aged beneficiaries. The average monthly benefit of black beneficiaries was substantially below that of white beneficiaries in 1973, and the gap in benefit levels has narrowed only slightly since 1960. Several reasons for this discrepancy--the most important of which are differentials in the size of covered earnings and years in covered employment--are discussed. The major conclusion is that the present discrepancies in benefit levels will persist for some time, at least among men, because of continued earnings differences within the younger generation.

E00510-013

Some Aspects of Medicare Experience with Group-Practice Prepayment Plans. Social Security Bulletin Reprint. M. Corbin and A. Krute. March 1975. 9 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

This study of Medicare experience with seven selected group-practice prepayment plans compares utilization and reimbursement for beneficiaries who were members with comparable control groups of beneficiaries who received services in the fee-for-service delivery system. The data support previous studies showing longer hospital inpatient costs and higher physician costs for plan members than for nonmembers. The net result is not always less costly total health care services; in two plans, Medicare payments for all covered services were higher than they were under the comparable controls. Particular attention is given to members' use of out-of-plan services, a factor that appears closely related to cost experience.

E00510-014

Private Health Insurance in 1973: A Review of Coverage, Enrollment, and Financial Experience. Social Security Bulletin Reprint. Marjorie Mueller. February 1975. 20 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Health Insurance (13.899).

Budget Function/Subfunction: Health care services (551).

E00510-015

National Health Expenditures, 1929-1974. Social Security Bulletin Reprint. Nancy Worthington. February 1975. 18 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

Preliminary estimates of the nation's health spending in fiscal year 1974 and data for previous years are presented in this annual article. In fiscal year 1974, health expenditures passed the \$100 billion mark, reaching an estimated \$104.2 billion, or \$485 per capita. The increase for 1974 in total spending was 10.6 percent, slightly higher than the revised annual increase of 9.1 percent for 1973 when mandatory economic controls were fully in effect for the health industry. Despite the acceleration, health expenditures remained at the 1973 proportion of the gross national product--7.7 percent. Public spending increased twice as fast as private, mainly because of the expansion of the Medicare and Medicaid programs. Third parties financed an estimated 65 percent of all personal health care spending, with the government's share 38 percent and that of private health insurance 26 percent. Direct out-of-pocket payments in 1974 amounted to \$149 per person, compared with \$142 the previous year.

E00510-016

The Disabled Widow. Social Security Bulletin Reprint. Paula Franklin. January 1975. 8 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Disability Insurance, SSA.

Program(s) Evaluated: Social Security--Disability Insurance (13.802).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

Almost 80,000 disabled widows and a few disabled widowers currently receive benefits under provisions of the 1967 social security amendments. Most are in their late 50's, and more live in the South than in the Northeast, North Central, or West. Most of the applications for disabled-widow benefits were from persons with diseases of the circulatory system. Ischemic heart disease was the most frequent primary diagnosis among the 1971 awards. Disabled widows who were awarded benefits differ from those denied in the limitations on their physical mobility and in the severity of their disabling condition. Because of the strictness of the program's definition, prognosis for recovery may be expected to be poor. In spite of the recommendations of two advisory councils to remove the restriction, the minimum age for disabled-widow benefits remains at age 50. Since more women are in the labor force than ever before, more are earning disability coverage in their own right. Thus, the number of disabled-widow beneficiaries should decrease as more women receive benefits as disabled workers.

E00510-017

Social Welfare Expenditures, Fiscal Year 1974. Social Security Bulletin Reprint. Skolnik and Dales. January 1975. 17 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA; Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801); Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803); Social Security--Special Benefits for Persons Aged 72 & Over (13.804); Social Security--Survivors Insurance (13.805).

Budget Function/Subfunction: Health care services (551); General retirement and disability insurance (601).

Program Authorization: Social Security Amendments of 1965, Title XVIII, Parts A and B (P.L. 89-97; P.L. 90-248; P.L. 92-603; P.L. 93-233; 42 USC 1395); Social Security Act of 1935, as amended (P.L. 74-271; P.L. 93-66; 42 USC 401-429); Tax Adjustment Act of 1966 (P.L. 87-368; 42 USC 427-428).

Most of the \$28 billion expansion that took place in social welfare expenditures under public programs in fiscal year 1974 was eaten up by inflation. Data compiled for this annual series revealed that the 13-percent increase in social welfare expenditures, when adjusted for price and population changes, reduces to 3 percent,

the smallest annual rate of growth in real terms since 1960. Nonetheless, the proportion of the gross national product that was directed to public social welfare expenditures rose during the year to 18 percent. The proportion of government spending for such purposes also rose--to 56 percent.

E00510-018

Pension Reform Legislation of 1974. Social Security Bulletin Reprint. Alfred Skolnik. December 1974. 8 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-660; P.L. 92-233).

Practically every private pension and welfare plan in the country is affected by the new comprehensive pension reform legislation enacted this past September. Pension plans must now conform to minimum standards designed to provide greater assurance that workers will receive the benefits due them. An insurance system has been instituted to protect workers in plans that terminate. Both pension and welfare plans have new reporting, disclosure, and fiduciary responsibilities. Non-covered workers and self-employed persons are encouraged through tax incentives to set up their own retirement plans. A variety of other provisions touch upon almost every aspect of pension funding and administration. This article summarizes the major features of the new law as they apply generally. The many complex provisions and detailed formulas incorporated in the law to meet the special situations that abound in the pension field are not treated here.

E00510-019

Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970. Social Security Bulletin Reprint. E. W. Jones, and others. December 1974. 32 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

The Health Insurance Plan of Greater New York, under contract with the Social Security Administration, carried out a 3-year experiment with financial incentives to reduce the total cost of care for its Medicare enrollment. An added objective was to maintain or improve the standards of care. One part of evaluating the experiment is a detailed statistical study of utilization and reimbursed charges for Medicare-covered services in 1969, the year before the experiment, and in 1970-72,

the experiment years. The HIP beneficiaries, by type of group and type of enrollment, are compared with a 5-percent sample of beneficiaries living in the same geographic area but not enrolled in HIP. Data on characteristics of the study populations and utilization and charges for 1969 and 1970 are presented here. It appears that the objective of cost containment was reached in the experiment's first year. Total reimbursed per capita charges for HIP beneficiaries (including the capitation payment to HIP for Medicare-covered services provided by the plan) were \$442.46 in 1969--about \$42 more than the amount for non-HIP beneficiaries in the same year. In 1970, reimbursed charges for non-HIP rose 8 percent to \$435.96 per capita, and the figure for HIP declined 1 percent to \$438.16.

E00510-020

State Supplementation Under Federal SSI (Supplemental Security Income) Program. Social Security Bulletin Reprint. Donald E. Rigby. October 1974. 8 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Supplemental Security Income for Aged, Blind and Disabled, SSA.

Program(s) Evaluated: Supplemental Security Income (13.807).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Amendments of 1972, Title XVI, Parts A and B (P.L. 92-603; P.L. 93-66; P.L. 93-233).

The legislation authorizing the supplemental security income (SSI) program provides for state payments as a supplement to the basic Federal SSI payment to aged, blind, and disabled persons. For former public assistance recipients, state payments are required, if needed, to maintain the earlier income level; for newly eligible persons, the provision of additional state payments is optional. Most of the states have elected to provide supplementary payments to newly eligible persons as well as to the former public assistance recipients who were transferred to the SSI program. Sixteen states are providing state payments to former public assistance recipients only. In January 1974, more than 1.8 million persons received state supplementary payments totaling over \$119 million. By June 1974, the number of persons receiving supplementary payments decreased by approximately 60,000 to 1,791,000, but the amount of state payments rose to \$127 million. This article discusses state supplementary payment standards and provides data on numbers of persons receiving state payments and on total and average amounts of state payments for selected months since the SSI program began.

E00510-021

Social Security Act Amendments, 1974. Social Security Bulletin Reprint. October 1974. 4 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Program Management, SSA (13.899).

Budget Function/Subfunction: Health care services (551); Public assistance and other income supplements (604).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97); Social Security Amendments of 1972, Title XVI, Parts A and B (P.L. 92-603; P.L. 93-66; P.L. 93-233).

E00510-022

Workmen's Compensation Under Security. Social Security Bulletin Reprint. A. Skolnik and D. Price. October 1974. 23 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Disability Insurance, SSA.

Program(s) Evaluated: Social Security--Disability Insurance (13.802).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

This article looks at recent coverage, cost, and earnings-replacement trends in workmen's compensation in light of the recommendations of the National Commission on State Workmen's Compensation Laws. The many recent coverage extensions are not reflected in the latest data, which show that 84 percent of the civilian wage and salary labor force have the protection of the program. Mainly through 'flexible' maximum weekly benefit provisions, 21 jurisdictions in 1973 paid the average injured worker the full statutory wage-replacement rate; in 1969, only 5 areas did so. Nationwide, the average worker without dependents could expect in 1973 to have 57 percent of his weekly wage restored in case of wage loss from work injury; the proportion was 58 percent in 1969. Because of rising benefit levels, program costs have been mounting. Private carriers report that the ratio of benefit payments to earned premiums has also gone up. State funds continue to pay out more of their premiums in benefits than do private carriers, as their expenses absorb a relatively smaller share of premiums.

E00510-023

An Analysis of Medicare Administrative Costs. Social Security Bulletin Reprint. R. Vogel and R. Blair. August 1974. 21 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA; Bureau of Supplemental Security Income for Aged, Blind and Disabled, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

Since Medicare is an established form of national health insurance for the aged, an analysis of the program's administrative cost experience should yield

valuable insights for discussing administrative aspects of national health insurance. This article points out the pitfalls of blindly using the commonly accepted administrative costs-to-premiums ratios in comparing the administrative efficiency of differing health insurers. On a ratio basis and on a per enrollee basis Medicare's HI has proven to be less expensive to administer than SMI, but SMI administrative costs are lower on a per claim basis. Medicare business accounts for a large proportion of the health insurance business of the program intermediaries and carriers, but no statistically significant relationship could be found between the administrative costs in their regular business and in their Medicare business. There is a direct relationship between the proportion of extended-care facility bills handled and intermediary administrative costs. Because SMI claims are more amenable to data-processing handling, the level of individual carrier administrative costs reflects the stage of development of their electronic data-processing systems.

E00510-024

Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63. Retirement History Study. Karen Schwab. August 1974. 15 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA; Bureau of Disability Insurance, SSA.

Program(s) Evaluated: Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

Who is out of the labor force early: the unhealthy or the healthy; the financially fortunate or the unfortunate? To answer these and other questions about retirement in the United States, personal interviews were conducted in 1969 with 8,133 men representing the noninstitutionalized population aged 58-63. The interviews revealed that 17 percent of the men were out of the labor force at the time of the interview. Over half of these 'nonparticipants' had been without work 3 years or longer. Most men out of the labor force cited poor health as the reason for leaving their last regular job. Health-imposed work limitations, education, occupational background, and race were all related to labor-force participation, but health appeared to be the underlying factor. Nonparticipants tended to have lower incomes and fewer assets than participants in the labor force.

E00510-025

The Retirement Test: An International Study. Social Security Bulletin Reprint. Elizabeth Kirkpatrick. July 1974. 14 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA; Bureau of Disability Insurance, SSA.

Program(s) Evaluated: Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

In a survey of more than 100 countries, it was found that over 80 percent of the social security systems have retirement test provisions. The number has been increasing. Most of the more advanced countries permit some limited amount of earnings. A number of the older and more mature systems often have no earnings limitations for old-age pensioners. These systems also provide some of the highest replacement rates in the world so that they actually encourage the worker to withdraw from the labor force at the earliest possible age. Total withdrawal from covered or paid employment is required for receipt of a pension in most developing countries that have agricultural economies and relatively new pension systems or provident funds. Whether the country has a retirement test or not, the general pattern appears to be one of decreasing labor-force participation by those of retirement age.

E00510-026

Value for Money in Health Services. Social Security Bulletin Reprint. Brian Abel-Smith. July 1974. 12 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

The Robert M. Ball Lecture Series, established in 1973 as a tribute to the former Commissioner of Social Security, was designed to present the ideas of distinguished leaders in the field of social policy to the staff of the Social Security Administration and their guests. The first of the lectures was given by one of Britain's foremost authorities on social welfare and health services. Professor Abel-Smith discusses current attitudes toward the provision of health services; presents his views on quality of health care, and looks at different approaches to the problems of the health delivery system--regulation, structured planning, and improvements relating to those who operate the system.

E00510-027

Early Experience Under the Supplemental Security Income Program. Social Security Bulletin Reprint. James C. Callison. June 1974. 9 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Supplemental Security Income for Aged, Blind and Disabled, SSA.

Program(s) Evaluated: Supplemental Security Income (13.807).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Amendments of 1972, Title XVI, Parts A and B (P.L. 92-603; P.L. 93-66; P.L. 93-233).

On January 1, 1974, the supplemental security income program established under the Social Security Amendments of 1972 replaced the former Federal grants to state for aid to the aged, blind, and permanently and totally disabled. The SSI program provides for both Federal payments based upon uniform national standards and state supplementary payments, which vary from state to state. More than 3,200,000 persons received SSI benefits during January. Of these, 1.9 million were aged, and just under 1.3 million were disabled. Approximately 3 million persons had been receiving state public assistance payments, and the rest represented new awardees under the SSI program. The nationwide average of Federal benefits paid in January was \$88.01, and state supplementary payments administered by the Federal Government averaged \$70.93. This article discusses the major provisions of the SSI program and highlights the first month's experience.

E00510-029

Earnings of Disabled-Worker Beneficiaries. Social Security Bulletin Reprint. Paula Franklin. June 1974. 6 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

Information from the 1-Percent Continuous Work History Sample describes some of the major characteristics of employed disabled-worker beneficiaries. The earner represents a small minority within the beneficiary population as a whole. Younger beneficiaries, men, blacks, the most recently entitled, and those with the highest predisability earnings are most likely to continue working. The dominant pattern of employment appears to be part-time, intermittent work resulting in a low earnings level. Ninety percent of the beneficiary population earn nothing, 5 percent earn less than \$600, 3 percent earn between \$600 and \$1,679, and only about 2 percent earn \$1,680 or more a year. The very few beneficiaries able to sustain themselves through long-term, full-time employment are probably in the process of the trial work period and consequent termination of benefits.

E00510-030

Group Health Insurance Coverage of Full-Time Employees, 1972. Social Security Bulletin Reprint. W. Kolodrubetz. April 1974. 19 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

Forty-six million full-time workers in private industry and government jobs--70 percent of those employed full-time at the time of the survey in April 1972--were covered by a group health insurance plan. This article reports on the characteristics of workers covered by group health insurance plans and those not covered. Some of the details of group health insurance provisions, such as financing arrangements and types of benefits provided, are also discussed.

E00510-031

Social Security Programs in the United States, 1973. Miscellaneous report. 1973. 133 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA; Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801); Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803); Social Security--Special Benefits for Persons Aged and Over (13.804); Social Security--Survivors Insurance (13.805).

Budget Function/Subfunction: Health care services (551); General retirement and disability insurance (601).

Program Authorization: Social Security Amendments of 1965, Title XVIII, Parts A and B (P.L. 89-97; P.L. 90-248; P.L. 92-603; P.L. 93-233; 42 USC 1395); Social Security Act of 1935, as amended (P.L. 74-271; P.L. 93-66; 42 USC 401-429); Tax Adjustment Act of 1966 (P.L. 87-368; 42 USC 427-428).

This document brings together information through July 1973 on the historical development and present status of all the major income-maintenance programs in the United States and on the health insurance and medical assistance programs under the Social Security Act.

E00510-032

Social Security Beneficiaries in Metropolitan Areas, 1973. Miscellaneous report. 1973. 52 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA; Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801); Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803); Social Security--Special Benefits for Persons Aged 72 and Over (13.804); Social Security--Survivors Insurance (13.805).

Budget Function/Subfunction: Health care services (551); General retirement and disability insurance (601).

Program Authorization: Social Security Amendments of 1965, Title XVIII, Parts A and B (P.L. 89-97; P.L. 90-248; P.L. 92-603; P.L. 93-233; 42 USC 1395); Social Security

Act of 1935, as amended (P.L. 74-271; P.L. 93-66; 42 USC 401-429); Tax Adjustment Act of 1966 (P.L. 87-368; 42 USC 427-428).

This report presents detailed small-area benefit data unavailable elsewhere; the data are useful in determining the extent to which the social security program has affected specific geographical areas through payments to workers, their dependents and survivors.

E00510-033

National Health Insurance Proposals as of July 1974. Miscellaneous report. July 1974. 228 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare-Hospital Insurance (13.800); Medicare-Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

Public Availability: GPO.

This report updates two earlier versions, and summarizes proposals to July 1974 of alternative national health insurance plans for the United States as introduced in the Congress.

E00510-034

National Health Systems in Eight Countries. Miscellaneous report. 1975. 107 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare-Hospital Insurance (13.800); Medicare-Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

This report describes the basic assumptions and applications of health care delivery systems in eight countries: Australia, Canada, Federal Republic of Germany, France, Netherlands, New Zealand, Sweden, and the United Kingdom. It includes a bibliography on each country's system.

E00510-035

Selected Characteristics of the State Supplementation Programs Under the Supplementary Security Income Program (SSI). 1975.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Supplemental Security Income for Aged, Blind, and Disabled, SSA.

Program(s) Evaluated: Supplemental Security Income (13.807).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Amendments of 1972, Title XVI, Parts A and B (P.L. 92-603; P.L. 93-66; P.L. 93-233).

This report covers selected characteristics of all 50 states and the District of Columbia's supplementation programs regarding eligibility provisions and basic levels of assistance payments. It includes additional data on Federal/state administrative responsibility for making payments and on optional state payments for emergencies.

E00510-036

Unemployment and Disability: An Econometric Analysis with Time Series Data. 04Staff paper. John C. Harbor. November 1974. 23 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Disability Insurance, SSA.

Program(s) Evaluated: Social Security-Disability Insurance (13.802).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

Public Availability: GPO.

An eight-equation recursive model of the social security disability program is specified and estimated with quarterly data from 1964 to 1971. Along with demographic factors, the unemployment rate is found to be a statistically significant variable in exploring the level of initial program applications as well as the fraction of applications which become awards. The model is tested further in this paper with forecasts within and outside the sample period. The results of the latter tests show that, despite significant unemployment effects during the sample period, the model tends to underpredict after 1971. The paper concludes that these underpredictions indicate the possibility of structural change or spurious correlation.

E00510-037

Self-Employment in the Covered Work Force. Staff paper. Marcia Levy. December 1974. 65 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA; Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Medicare-Hospital Insurance (13.800); Medicare-Supplementary Medical Insurance (13.801); Social Security-Disability Insurance (13.802); Social Security-Retirement Insurance (13.803); Social Security-Special Benefits for Persons Aged 72 and Over (13.804); Social Security-Survivors Insurance (13.805).

Budget Function/Subfunction: Health care services (551); General retirement and disability insurance (601).

Program Authorization: Social Security Amendments of 1965, Title XVIII, Parts A and B (P.L. 89-97; P.L. 90-248; P.L. 92-603; P.L. 93-233; 42 USC 1395); Social Security Act of 1935, as amended (P.L. 74-271; P.L. 93-66; 42 USC 401-429); Tax Adjustment Act of 1966 (P.L. 87-368; 42 USC 427-428).

Farm self-employment, although contracting more rapidly than self-employment as a whole, remained the most common form of self-employment activity in the first half of the 1960's. Close to one-third of all self-employed persons were farm operators in 1960 and 1963, and about 28 percent reported farm self-employment income in 1965. Two other industry divisions were responsible for nearly as large proportions of self-employed workers--the service industries and wholesale and retail trade. Together, these three industry divisions accounted for almost 4 out of 5 self-employed persons in the years mentioned. In setting the social security tax rate on self-employment income at a point approximately halfway between the employee and combined employee-employer rate, it was assumed that the self-employed, because of their long worklife, would receive benefits for fewer years than employees. The retirement experience of self-employed workers in the early 1960's was mixed relative to this expectation. In applying for a full benefit award at age 65 or beyond, the self-employed were somewhat more likely to delay entitlement than were persons in wage and salary employment. However, equally as large proportions of self-employed workers as wage workers accepted a permanent reduction in their benefit amount in order to receive social security payments up to three years before their 65th birthday.

E00510-038

Comparison of Cost and Benefit Incidence on Government Medical Care Programs, FY 1966 and 1969. Staff paper. Barbara Cooper and Nancy Worthington. September 1974. 91 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

Public Availability: GPO.

With so much emphasis today on the formulation of national health insurance plans, determination of an appropriate financing mechanism is a major concern. This report examines the mechanisms used for the current government health programs in terms of who ultimately pays for these programs and who receives their benefits. Data are presented and analyzed by state with particular emphasis on the impact of the programs according to the income level of the states. It is hoped that the financial successes and failures highlighted in this report will be noted by planners and policymakers and taken into account as they formulate future proposals.

E00510-039

The Supplemental Security Income Program for the Aged, Blind, and Disabled. Staff paper. L. Ireland, and others. September 1974. 44pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Supplemental Security Income for Aged, Blind, and Disabled, SSA.

Program(s) Evaluated: Supplemental Security Income (13.807).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Amendments of 1972, Title XVI, Parts A and B (P.L. 92-603; P.L. 93-66; P.L. 93-233).

On January 1, 1974, the supplemental security income program (SSI), a Federal program providing cash assistance to needy aged, blind, and disabled persons, was established in the United States under the administration of the Social Security Administration. The establishment of this Federal program is a significant development in the history of cash-assistance programs in the United States and represents the first recognition of direct Federal responsibility for providing income to certain categories of persons in need throughout the Nation. The four papers presented here introduce the SSI program; discuss some of its potential effects on needy aged, blind, and disabled persons; and introduce some of the research approaches being developed at SSA's Office of Research and Statistics to investigate program effects on beneficiaries. They are modified versions of presentations made at the 26th annual meeting of the Gerontological Society (American), held in November 1973. The first paper, 'Federalization of Old-Age Assistance: Potential Impact on the Aged Poor' (by Malcolm H. Morrison), presents an overview of SSI provisions and potential impact. The second, 'Federal Welfare Reform and the Economic Status of the Aged Poor' (by Mollie Orshansky), discusses in greater detail, using poverty statistics, possible consequences of the new program for the low income population SSI should serve. The third, 'Federal Welfare Reform and Retirement Expectations' (by Lola Ireland), presents certain data from the SSA Retirement History Survey relevant to the potential SSI population. And the fourth, 'The Survey of Low Income Aged and Disabled Persons: An Introduction' (by Thomas Tissue), presents an overview of one of the SSI research studies underway to comparatively analyze recipients before and after the SSI program began.

E00510-040

Supplemental Security Income for the Aged: A Comparison of Five Countries. Staff paper. Max Horlick. July 1973. 108 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Supplemental Security Income for Aged, Blind, and Disabled, SSA.

Program(s) Evaluated: Supplemental Security Income (13.807).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Amendments of 1972, Title XVI, Parts A and B (P.L. 92-603; P.L. 93-66; P.L. 93-233).

Public Availability: GPO.

E00510-041

Covered Employment and the Age Men Claim Retirement Benefits. Social Security Bulletin Reprint. Julian Abbott. April 1974. 14 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

Data from the Social Security Administration's Continuous Work-History Sample have been studied to determine the relationship between a retiree's work experience and the decision to claim a reduced social security benefit. The study focuses on the differences in preentitlement work experience for men aged 62 and those aged 65. Most men who became entitled in 1970 elected reduced benefits payable at award. Those who chose to start receiving full benefits at age 65 had higher earnings and more years of employment at these higher earnings than the men claiming their benefits at the earliest possible age--62. Those claiming full benefits also suffered a smaller decline in earnings in the years just before retirement. Consequently, their primary insurance amounts were higher than those for the younger men. Some differences between the two groups have narrowed. A relative improvement has been noted in length of employment and earnings levels of retirees aged 62. Thus, though many men entitled at age 62 are comparatively disadvantaged, some have had favorable work and earnings experience and presumably feel financially able to retire at the earliest possible age.

E00510-042

Women Born in Early 1900's: Employment, Earnings and Benefit Levels. Social Security Bulletin Reprint. Lucy B. Mallan. March 1974. 22 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA; Bureau of Health Insurance, SSA.

Program(s) Evaluated: Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

The women who became newly entitled to retirement benefits in 1970 were the war workers of the 1940's. They pioneered the trend for large numbers of married women to work outside the home. This article reports on their lifetime work experience--comparing their years of covered employment, covered earnings, and social security benefits to men's. As expected, their years of employment were fewer and less continuous than men's and their highest annual earnings were lower. A part, but only a part, of the difference in earnings between the sexes would disappear if employment histories were the same. Moreover, the difference in benefits, although already substantial, does not yet fully reflect these differences in employment and earnings.

E00510-043

National Health Expenditures, 1929-73. Social Security Bulletin Reprint. Cooper, Pio, and Washington. February 1974. 17 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

Preliminary estimates of the nation's health spending in fiscal year 1973 and data on past trends are presented in this annual article. In fiscal year 1973 health spending reached an estimated \$94.1 billion, averaging \$441 per person; health outlays increased at the lowest rate in several years, 11.0 percent, and remained at their 1972 proportion of the gross national product--7.7 percent; private spending for health rose 10.1 percent--slightly faster than in the previous year; public spending for health was up 12.5 percent--considerably slower than in the previous year; Medicare outlays had their lowest annual increase in the program's history--7.5 percent; third parties paid an estimated 65 percent of the individual's personal health bill, with the government's share 38 percent and that of private health insurance 26 percent; and direct out-of-pocket payments in 1973 amounted to \$132 per person, \$9 more than in the previous year.

E00510-044

Cash Benefits for Short-Term Sickness, 1948-72. Social Security Bulletin Reprint. Daniel Price. January 1974. 12 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

The nation has been giving considerable attention in the past few years to income-maintenance and health programs to protect workers. Yet one major gap in income-maintenance protection to workers has received little if any attention--cash sickness benefits for short-term non-work-connected disability. Five states, Puerto Rico, and the railroad industry have statutory programs providing insurance benefits to workers when they become sick, but in all other jurisdictions workers have this type of protection only where voluntary plans have been established. Estimates are compiled yearly by the Social Security Administration on the income loss accompanying temporary nonoccupational disability and on the extent of protection presently available against such loss. This article presents data for 1972 as well as for earlier years, and includes a technical note describing the concepts and methods used in making the estimates.

E00510-045

Social Welfare Expenditures, 1972-73. Social Security Bulletin Reprint. Skolnik and Dales. January 1974. 16 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Program Management, SSA (13.899).

Budget Function/Subfunction: Health care services (551); Public assistance and other income supplements (604).

Program Authorization: Social Security Amendments of 1965, Title XVIII, Parts A and B (P.L. 89-97; P.L. 90-248; P.L. 92-603; P.L. 93-233; 42 USC 1395); Social Security Act of 1935, as amended (P.L. 74-271; P.L. 93-66; 42 USC 401-429); Tax Adjustment Act of 1966 (P.L. 87-368; 42 USC 427-428).

Social welfare expenditures under public laws rose from \$193 billion in fiscal year 1972 to \$215 billion in 1973, amounting to more than \$1,000 per person in the United States. Although the 1973 increase of about 12 percent was not as great as that of earlier years, it reflected a continuing commitment of the country to social welfare. Social welfare expenditures in 1973 amounted to 17.6 percent of the gross national product, compared with 17.5 percent in 1972. They represented 55 percent of total government expenditures, compared with 53 percent in the preceding year. Even after adjustment for population and price changes, a real growth of 7 percent was registered. The average annual increase in real terms was 9 percent between 1965 and 1972 and 5 percent between 1960 and 1965.

E00510-046

Coverage and Vesting of Full-Time Employees Under Private Retirement Plans. Social Security Bulletin Reprint. Kolodrubetz and Landay. November 1973. 17 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

E00510-047

Financial Position of Private Community Hospitals, 1961-71. Social Security Bulletin Reprint. J. Pettengill. November 1973. 17 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

This article describes the trends in the financial position of nongovernmental community hospitals in the period 1961-71 and identifies where possible the important causes of net income variation during the period, both overall and between hospitals of different size and ownership. Variations in net income by bed size of hospital were also studied for 1971 in an attempt to shed additional light on factors influencing financial position. As a result of several factors, including greater third-party coverage and increases in the range and complexity of services, the net income of hospitals improved substantially in 1961-71. Net income as a rate of return on plant assets in non-profit hospitals rose from 1.4 percent to 3.0 percent in the decade; the rate of return in for-profit hospitals increased from 8.9 percent to 16.2 percent. The 1971 data show that net income differentials result from the simultaneous interaction of many factors--from relative prices to relative efficiency of hospital operation. Among the factors identified, the range and complexity of services, geographical location, and ownership seemed most important in the determination of financial position.

E00510-048

Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969. Social Security Bulletin Reprint. J. Scharff and E. Peel. October 1973. 22 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

This examination of the impact of the cost-sharing requirements (deductible and coinsurance) of the supplementary medical insurance program of Medicare on persons enrolled in the program is in response to the mandate contained in section 1875 of the Social Security Act. The study is based on Current Medicare Survey data for 1969. The study divided the SMI population without hospital stays in 1969 into subgroups and compared their use of out-of-hospital medical care and the charges

incurred for that care. According to the study, enrollees with low-to-moderate family incomes felt the impact of the deductible more heavily, reported a higher incidence of unmet needs for physician's services, and appeared to have a different threshold of health for seeking medical care than did persons with high family incomes or with private insurance to help pay the cost-sharing requirements. Persons with public medical assistance were the heaviest users of SMI ambulatory services, reflecting the combined effect of characteristics associated with a high incidence of illness and the fact that public assistance paid all or part of their cost-sharing obligations.

E00510-049

Family Structure in Pre-Retirement Years. Social Security Bulletin Reprint. J. Murray. October 1973. 21 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

This report, analyzing data from the Retirement History Study of preretirees aged 58-63, focuses on their living arrangements--chiefly household and generational composition and size of family units--and on the support of or contributions from relatives outside the household, and the frequency of contacts with them. Living alone (or only with spouse if married) rather than with relatives seemed to be the preferred arrangement. Of all households in the sample, more than 25 percent were two-generation, but only about 5 percent had three or more lineal generations. Only 4 percent of all households included a parent, but as many as 13 percent of the never-married men did so. Contributions from children were most often received by nonmarried women. Only about 10 percent of the age group studied either had no children or were not in frequent touch if they had living children. Although many factors are related to happiness, the data suggest that more unhappy, lonely older persons are to be found among those who do not keep in touch with relatives than among those who do.

E00510-050

Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. Social Security Bulletin Reprint. P. Goff. September 1973. 21 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Disability Insurance, SSA.

Program(s) Evaluated: Social Security--Disability Insurance (13.802).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

At the end of 1970, disabled workers accounted for 10 percent of the 26 million old-age, survivors, and

disability insurance beneficiaries and received 10 percent of the \$32 billion paid for all benefits during that year. In 1971, 13 out of every 1,000 insured workers aged 18-64 were receiving a disability benefit: 1 in every 1,000 was under age 25 and 10 in 1,000 were aged 60-64. For the nation as a whole, there were 25 disabled-worker beneficiaries for every 1,000 insured workers with earnings in 1968. Rates were lowest in the New England region and highest in the East South Central states. Alaska had the lowest state average and West Virginia had the highest. Minority race workers were much more likely than white workers to become entitled to benefits because of a disability. Almost half of those receiving disabled-worker benefits can be categorized under 10 major physical and mental conditions causing disability (with diseases of the circulatory system accounting for 25 percent). Most of the disabled-worker beneficiaries lived in urban areas, although more than one-third of them lived in the 12 states comprising Appalachia.

E00510-051

History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971. Annual Supplement to Bulletin. 1971. 14 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA; Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801); Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803); Social Security--Special Benefits for Persons Aged 72 & Over (13.804); Social Security--Survivors Insurance (13.805).

Budget Function/Subfunction: Health care services (551); General retirement and disability insurance (601).

Program Authorization: Social Security Amendments of 1965, Title XVIII, Parts A and B (P.L. 89-97; P.L. 90-248; P.L. 92-603; P.L. 93-233; 42 USC 1395); Social Security Act of 1935, as amended (P.L. 74-271; P.L. 93-66; 42 USC 401-429); Tax Adjustment Act of 1966 (P.L. 87-368; 42 USC 427-428).

E00510-052

Hospital Costs and the Medicare Program. Social Security Bulletin Reprint. K. Davis. August 1973. 19 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

E00510-053

Assets on the Threshold of Retirement. Social Security Bulletin Reprint. S. Sherman. August 1973. 15 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

Since retirement is usually associated with a decline in income, the Social Security Administration study of retirement included an examination of assets as an alternative economic resource. This article describes the property and financial assets owned by persons in their preretirement years. Although these older persons are in general not very well-off, some of them are financially comfortable. Married men are more likely than nonmarried persons to own various assets. In addition, the value of owned assets is higher for the married man than for the nonmarried men and women. Homeownership is especially important, not only because of the value of the home itself but also because homeowners are more likely than non-homeowners to have other assets.

E00510-054

The European Experience in Social Health Insurance. Social Security Bulletin Reprint. J. V. Langendonck. July 1973. 10 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

Summarized here are the results of a study from the European point of view, of the main trends in social health insurance as exemplified by the system of six European countries--from the beginning of the nineteenth century to the present day. The systems are reviewed briefly with respect to their evolution, coverage, benefits, financing, and relationship with the providers of medical care.

E00510-055

Medical Care Price Changes Under the Economic Stabilization Program. L. Horowitz. Social Security Bulletin Reprint. June 1973. 5 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

E00510-056

Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries. Social Security Bulletin Reprint. J. Schmulowitz. June 1973. 13 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Disability Insurance, SSA.

Program(s) Evaluated: Social Security--Disability Insurance (13.802).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 93-66; P.L. 93-233; P.L. 92-603).

In recent years, the benefits of about 40,000 disabled-worker beneficiaries have been terminated annually because of recovery. The results of a study of disabled beneficiaries whose social security payments were discontinued for this reason in 1967 are reported here. Information was collected on their program experience and earnings between the time of the benefit termination and March 1970. In that month, 68 percent of the recovered workers were still in nonbeneficiary status, but 21 percent had become reentitled to disability benefits. The remainder had either become entitled to retirement benefits or died. The most important factor in post-termination experience appeared to be age, since the proportion in nonbeneficiary status declined for each successive age group. Workers whose benefits were terminated and who continued in nonbeneficiary status through March 1970 had fairly regular employment, but 11 percent had no earnings in either 1968 or 1969 and 16 percent averaged less than \$1,800 annually. A significant finding was that a large proportion of the beneficiaries had received rehabilitation services from state vocational rehabilitation agencies.

E00510-057

Utilization and Reimbursements Under Medicare for 1967 and 1968 Decedents. Social Security Bulletin Reprint. P. Piro and M. Lutins. May 1973. 4 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

E00510-058

Medical Care Expenditures in Seven Countries. Social Security Bulletin Reprint. J. Simanis. March 1973. 4 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

E00510-059

Major Social Security Issues: Japan, 1972. Social Security Bulletin Reprint. P. Fisher. March 1973. 13 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

This article focuses on issues related to Japanese pensions, health insurance and family allowances in 1972. Much of the background data and information was obtained by the author during a visit to Japan in the spring of 1972. The current concern about the slow rise in benefit levels despite significant economic growth is discussed, and Japan's ratio of social insurance expenditures to its gross national product is compared with that of several industrial countries. Some reactions to proposals for unifying the two major pension systems are presented. A look is taken at the benefit structure and financing of the two chief health insurance systems, as well as at proposals for program revisions. The new family allowances program and government plans for its future development are discussed briefly.

E00510-060

Social Security Amendments of 1972: Summary and Legislative History. Social Security Bulletin Reprint. R. Ball. March 1973. 23 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Program Management, SSA (13.899).

Budget Function/Subfunction: Health care services (551); Public assistance and other income supplements (604).

Program Authorization: Social Security Amendments of 1972 (P.L. 92-603; P.L. 93-66; P.L. 93-233).

E00510-061

Health in the Years Before Retirement. Retirement History Study. D. Motley. December 1972. 19 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, as amended (P.L. 89-97).

The worker who approaches retirement in good health, and with the means of maintaining it, possesses a major source of well-being for the years ahead. In a cohort of people not yet 65, three-fourths reported that their health was at least on a par with that of others their age and three-fifths were free of disabling health conditions. Nine out of 10 had made some contact with the medical world in the survey year, but some--a fourth in all--were postponing medical care for conditions they felt needed attention. At intervals during the 10 years following the baseline year of 1968, the sample members of the Retirement History Study will bring their reports on these topics up to date. Changes will be observed and weighed against the surrounding circumstances--chiefly, work status, living arrangements and expenses, and income. Analysis of the interaction of these factors during a decade of change from a work-dominated life to a leisure-dominated life is expected to add dimension to social planning for American people in their retirement years.

E00510-062

Retirement History Study: Introduction. Social Security Bulletin Reprint. L. Ireland. November 1972. 6 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

A national sample of 11,153 persons aged 58-63 and over has been selected to participate in a 10-year study of the retirement process by the Office of Research and Statistics of the Social Security Administration. They were interviewed for the first time in 1969. Information was collected on their work lives, health, living arrangements, financial resources, and assets, expenditures, and retirement plans. Initial, descriptive statistics are reported in this article. Subsequent papers will report in detail on several individual aspects of American pre-retirement.

E00510-063

Survey of Newly Entitled Beneficiaries. 1975.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803).

E00510-063

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

Public Availability: GPO.

E00510-064

Demographic and Economic Characteristics of Aged-1968 Survey. July 1974. 186 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Programs: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

Public Availability: GPO.

E00510-065

Social Security Programs Throughout the World, 1973. December 1973. 243 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Supplemental Security Income for Aged, Blind, and Disabled, SSA; Bureau of Health Insurance, SSA.

Program(s) Evaluated: Program Management, SSA (13.800).

Budget Function/Subfunction: General retirement and disability insurance (601); Health care services (551); Public assistance and other income supplements (604).

Program Authorization: Social Security Amendments of 1965 and 1972 (P.L. 89-97; P.L. 90-248; P.L. 92-603; P.L. 93-233; 42 USC 1395); Social Security Act of 1935, as amended (P.L. 74-271; P.L. 93-66; 42 USC 401-429); Tax Adjustment Act of 1966 (P.L. 87-368; 42 USC 427-428); Federal Coal Mine Health and Safety Act of 1969, as amended (P.L. 91-173).

Public Availability: GPO.

E00510-066

International Social Security Agreements. William M. Yoffee. October 1973. 116 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

Public Availability: GPO.

Department of Health, Education, and Welfare

E00510-130

Medicare Impact on Hospital Utilization and Financing. O. Peterson. Howard Medical School, Howard University, Washington, D.C. December 31, 1974. 350 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00510-131

Work After Retirement: some psychological factors. George L. Maddox. Duke University. March 31, 1975. 181 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

E00510-132

The Economics of Non-Hospital Clinical Laboratories. Richard Bailey. University of California at Berkeley. April 30, 1975. 91 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00510-133

Service Organizations and Clients. Saad Z. Nagi. Ohio State University. June 30, 1975. 108 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Human Services (13.899); Income Maintenance (13.899).

Budget Function/Subfunction: Income Security (600).

E00510-134

Effects of Ability, Family Background and Education on Earnings and Careers. W. Sewell. University of Wisconsin. June 30, 1973. 310 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Income Maintenance (13.899).

Budget Function/Subfunction: Income Security (600).

E00510-135

Evaluation of Surgical Consultation Insurance Benefit. Gordon H. Hatcher. Albany Medical College. July 3, 1975.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare-Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00510-136

Hospital Reimbursement and Regulation Under Medicare. Judith Feder and Patrick O'Donoghue. Policy Center, Inc. May 31, 1975. 231 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare-Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00510-137

Collection and Analysis of Prescreening Surgical Consultation Benefit Program. Eugene P. McCarthy. Cornell Medical College. June 30, 1975. 40 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare-Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00510-139

Variations in Prescription Markups on Retail. C. Rodowskas. Ohio State University. August 31, 1972. 225 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare-Hospital Insurance (13.800); Medicare-Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00510-141

The Effects of Welfare Payments on Family Expenditures. T. Hu. Pennsylvania State University. 268 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Income Maintenance (13.899).

Budget Function/Subfunction: Income Security (600).

E00510-142

Eligibility Requirements for Income Maintenance and Related Benefits. B. Burnstein. Center for New York City Affairs. February 28, 1973. 142 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA; Bureau of Disability Insurance, SSA; Bureau of Retirement and Survivors Insurance, SSA; Bureau of Supplemental Security Income for Aged, Blind, and Disabled, SSA.

Program(s) Evaluated: Social Security-Disability Insurance (13.802); Social Security-Retirement Insurance (13.803); Special Benefits for Disabled Coal Miners (13.806); Supplemental Security Income (13.807).

Budget Function/Subfunction: General retirement and disability insurance (601); Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233); Federal Coal Mine Health and Safety Act of 1969, as amended (P.L. 92-303); Social Security Amendments of 1972 (P.L. 92-603; P.L. 93-66; P.L. 93-233).

E00510-143

Hospital Organization: Effectiveness of Patient Care. G. Gordon. Cornell University. August 31, 1974. 155 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare-Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00510-144

E00510-144

Finnish and Swedish Pension Re-Insurance Programs. J. Krislov. University of Kentucky. December 31, 1972. 29 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L.92-603; P.L. 93-66; P.L. 93-233).

E00510-145

Disabling Effects of Chronic Conditions of Male Family Heads. T. Wan. Cornell University. July 31, 1973. 333 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00510-146

Drug Programs Under British National Health Services. M. Smith. University of Mississippi. July 31, 1973. 77 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00510-147

The True Cost of Filling a Prescription in Kansas in 1971. H. Cotton. Kansas Pharmaceutical Association. November 30, 1972.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Department of Health, Education, and Welfare

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00510-148

Cost-Benefit Analysis of SSDI (Social Security Disability Income) Trust Fund Program. M. Teitz. University of California. August 31, 1974.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Disability Insurance, SSA.

Program(s) Evaluated: Social Security--Disability Insurance (13.802).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66, P.L. 93-233).

E00510-149

Effects of Interstate Migration in the United States. G. Laber. University of Vermont. October 31, 1973. 119 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Income Maintenance (13.899).

Budget Function/Subfunction: Income Security (600).

E00510-150

The Effect of Social Security on Personal Saving. A. Munnell. Harvard University. August 31, 1973. 225 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA; Bureau of Disability Insurance, SSA.

Program(s) Evaluated: Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

E00510-151

Relatedness Patterns of Puerto Rican Centerians. Belle Boone Beard. Sweet Briar College. March 30, 1974. 22 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Retirement and Survivors Insurance, SSA.

Program(s) Evaluated: Social Security--Retirement Insurance (13.803).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271; P.L. 92-603; P.L. 93-66; P.L. 93-233).

E00510-157

Age Differences in Health Care Spending, F.Y. 1974. M. Mueller and R. Gibson. 1975. 16 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00510-165

Age Differences in Medical Care Spending, Fiscal Year 1973. B. Cooper and P. Prio. March 27, 1974. 14 pp.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Bureau of Health Insurance, SSA.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

E00510-239

Reducing Social Security Contributions for Low-Income Workers: Issues and Analysis. November 1974.

Agency Sponsoring Evaluation: Office of Research and Statistics, SSA.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Income Maintenance (13.899).

Budget Function/Subfunction: Income Security (600).

Public Availability: GPO.

This collection of three papers is concerned with plans that would extend relief in selective fashion to workers whose earnings do not exceed a specified level. Paper No. 1, 'Analysis of Issues in Payroll Tax Relief,' by John Snee and David Podoff, examines some issues involved in the question of whether the amounts of social security contributions paid by low-income workers should be reduced and, if so, how that reduction should be accomplished. The analysis relies heavily on the two other papers in the collection, both of which are reprinted here from the series 'Studies in Income Distribution.' Paper No. 2, 'A Structure for Payroll Tax Relief Proposals,' by Ronald Hoffman and David Podoff, develops a general structure for analysis of payroll tax relief plans and analyzes various conceptual problems in specifying a rebate plan. Paper No. 3, 'Payroll Tax Relief and Its Distribution in the Population--Method of Estimation and Results for 1975,' by Dorothy S. Projector, David Podoff,

and Mary P. Johnston, relates the general structure described in Paper No. 2 to the data available for estimating the effect upon the distribution of income among economic units and for estimating the tax revenue cost of the plan.

E00511-001

Technical Proposal of Implementing a Methodology for the Validation of the State Social Indicators for the Aged. Booz, Allen, and Hamilton Inc., Washington, D.C. October 31, 1972.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

E00511-002

Evaluating the State Social Indicators System in Aging. Arthur D. Little Inc., Cambridge, Mass. October 1972.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

E00511-003

Methodology for Evaluation and Validation of State Social Indicator System in Aging. Resource Management Corp., Bethesda, Md. October 27, 1972.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

In 1969, the Institute for Interdisciplinary Studies developed, for AOA, a Social Indicators Questionnaire for use by State Agencies on Aging. AOA decided to validate and revise this questionnaire after its use by 26 states. In order to obtain a better final product, AOA let three small contracts to develop detailed methodologies for such a validation and revision. The three firms contracted with provided detailed validation methodologies in their final reports. Based upon these reports, AOA selected Resource Management Corporation to perform the actual validation and revision.

E00511-004

Evaluation of the Older Americans Act Traineeship Grant Program. Ketron, Inc., Arlington, Va. September 10, 1973.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

In 1973, past participants of the Grant Program were interviewed regarding their entrance into the program, the substance of their training, and their job placements since leaving the program. The four volume final report detailed the findings from this study along with some data on programs from selected universities awarding grants. The study determined the program's use in attracting persons into gerontology programs and whether persons who had received traineeship grants found job placements working with the elderly.

E00511-005

Social Statistics for the Elderly Area Level System. Bureau of the Census, Washington, D.C. March 1975.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

This work was done in order to determine the feasibility of the development of a system for use by area agencies on aging which would employ secondary data to devise social statistics to describe the status and needs of the elderly within the planning and service area. Secondary data are existing data which have been collected by other organizations. In areas with Standard Metropolitan Statistical Areas (SMSA's), the data could be tabulated by census tract while other areas would have the data at the county level. The Stage I Report provides information on the cost and personnel requirements for the system initiation and operation for area agencies as well as development cost estimates. The report details the first phase of work which entails the identification of data sources and judging their suitability for use in the system.

E00511-006

Development of Data Base for Administration on Aging. Contemporary Research Inc., Washington, D.C. September 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

The first part of the development of the data base involved an assessment of the needs of potential AOA users and selection of an indexing and retrieval system. Data sources were located on the two priority AOA target groups of the low-income and impaired, noninstitutionalized elderly and were indexed for inclusion in the data base utilizing 200 terms. A TERMATREX system was selected for retrieving document listings. The final report summarizes the work conducted during the first year of the project.

E00511-007

Survey Guide to Accompany the Older Americans Assessment Questionnaire. Doris N. Krug and Walter M. Perkins. Westat, Inc. Rockville, Md. August 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

Three manuals were designed for use by area agencies on aging. The first manual, on Self-Assessment Procedures, is to assist an area agency on aging in: (1) assessing how well it is performing functions to fulfill its fundamental responsibilities and suggesting areas that might be given further attention, (2) determining its progress in meeting its objectives, and (3) if it has an accounting system, assessing its fiscal management. The second manual, on Award Procedures, provides a model to assist area agencies in designing and implementing a systematic procedure for awarding contracts or grants. The third manual, on Awards Monitoring Procedures, is to assist area agencies to monitor and assess the activities of those agencies and organizations which have received Title III awards to support the development of a comprehensive and coordinated system of services for older people.

E00511-008

Guide to Sources and Uses of Current Data on the Aging. Rose V. Siegel and Doris N. Krug. Westat, Inc., Rockville, Md. August 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

The manual describes (1) secondary data available from Federal publications including, among others, the Bureau of Census and the National Center for Health Statistics, (2) state and local data sources which are generally available and additional sources to look for, (3) criteria for the evaluation of the validity and quality of

secondary data, (4) the use of National data in making homemade estimates for an individual state, (5) limitations on the use of secondary data, and (6) the use of secondary data in support of sample surveys.

E00511-009

Interviewer Manual for the Older Americans Survey. Diane M. Cedell, and others. Westat, Inc., Rockville, Md. August 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

E00511-010

Assessing the Status and Needs of Older Americans; survey utilization manual. Resource Management Corp., Bethesda, Md. April 1975.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

E00511-011

Manual of Award Procedures and Awards Monitoring Procedures. Abt Associates Inc., Cambridge, Mass. March 1975.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

E00511-012

Systematic Review of Area Agencies on Aging. Associate Consultants, Inc., Washington, D.C. February 1975.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

This project resulted in print-outs displaying data concerning the characteristics of area agencies on aging, characteristics of planning and service areas, and plans of the area agencies. The data collection involved requests for specific exhibits from Area Plans from the Regional Offices. The data were then tabulated nationally and by state for each region. The regional print-outs were distributed to the respective Regional Offices, and the national print-out was maintained in the Central Office.

E00511-013

Longitudinal Study Design for Evaluation of the National Nutrition Program for Elderly. Kirschner Associates, Albuquerque, N.M. September 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, AOA.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

E00512-001

A Sequential Approach to Early Childhood and Elementary Education. Vernon Van de Rief and Michael B. Resnick. Florida Educational Research and Development Council, Gainesville, Fla.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

Public Availability: ERIC, Order No. ED-085-101.

E00512-002

Design for a National Evaluation of Social Competence in Head Start Children. Senta Raizen and Sue Berryman Bobrow. Rand Corp., Santa Monica, Calif. R-1557-HEW. November 1974. 450 pp.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

This study specifies the design for a national evaluation of the Head Start program but recommends a system of careful studies in preference to a national evaluation. It discusses normative and theoretical problems of defining the criterion variable 'social competence.' Longitudinal evaluation of Head Start is not

recommended because of inadequacies in child development theory, measurement incomparabilities, sample mortality, and inappropriate expectations for effects of limited social intervention. Evaluating a major social program should take account of the multiple constituencies by allowing local constituents to choose a small number of outcomes and to assign their own priorities to cross-site outcomes. Head Start places important constraints on the technical design, i.e., treatment variations, site, and children characteristics are confounded. Children should be randomly assigned within-site to treatment and control conditions; a less-preferred alternative is one based on growth curves (value-added design). Bases for choosing specific statistical analyses of evaluation data are recommended. A final recommendation is to pilot test the total evaluation.

E00512-003

Toward a New Cognitive Effects Battery for Project Head Start. John A. Butler. Rand Corp., Santa Monica, Calif. R-1556-HEW. November 1974. 82 pp.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.
Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

This report discusses positions that might be taken to demonstrate the success of cognitive effects in Head Start: that some kinds of programs must achieve sizable effects; that there must be great benefits for some groups of children; or that cognitive effects need only be demonstrated as a moderator variable--cognitive goals are not the principal outcomes to be evaluated. A small-scale, well-controlled study of program prototypes would be most effective with true randomization of children to programs, clear definitions of program prototypes, and sufficient controls. Outcomes might be emphasized in health and nutrition, social development, or the effects of Head Start on the family. At present there is no battery of face-valid, empirically based, criterion-referenced instruments to measure short-term effects. Choice of measures is integrally related to choice of evaluation design. Fast evaluations tried to investigate too much, throwing even elementary conclusions into doubt.

E00512-004

Overview of the Parent-Child Center Management Information System. Abt Associates, Inc., Cambridge, Mass. June 11, 1973.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.
Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

Public Availability: ERIC, Order No. ED-088-217.

E00512-005

Health Start: Evaluation of the First Year Program. Leona M. Vogt and Joseph S. Wholey. Urban Institute, Washington, D.C. September 29, 1972.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.
Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

Public Availability: ERIC, Order No. ED-071-768.

E00512-006

Perspectives on Parent Participation in Project Head Start: an analysis and critique. MIDCO Educational Associates. October 1972.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.
Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

Public Availability: ERIC, Order No. ED-080-217.

E00512-007

Short-Term Cognitive Effects of Head Start Programs. Herbert I. Weisberg. Huron Institute, Cambridge, Mass. H-1926. June 1974. 509 pp.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.
Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

Public Availability: ERIC, Order No. ED-093-497.

This report focuses on three main questions: (1) to what extent does a Head Start experience accelerate the rate at which disadvantaged preschoolers acquire cognitive skills? (2) are the Planned Variation models, simply by virtue of sponsorship more effective than ordinary non-sponsored Head Start programs? and (3) are some Planned Variation models particularly effective at imparting certain skills? The first chapter gives an overall picture of the Head Start Planned Variation Study, while the second chapter summarizes data concerning background characteristics and distribution of test scores. Chapter 3 provides a general discussion of methodological issues and some of the major difficulties resulting from the study design. Chapters 4-7 attempt to present a picture of the pattern of overall effects of various

programs through ranking analysis, residual analysis, analysis of covariance, and resistant analysis. The final chapters explore the question of whether the relative effectiveness of various programs is related to certain child background characteristics, such as sex, ethnicity, age, prior school experience, and mother's education. One major conclusion drawn as a result of the intermodel comparisons was that Head Start programs are quite homogeneous in their ability to promote general cognitive development.

E00512-008

Health Start Final Report of the Evaluation of the Second Year Program. Leona M. Vogt, and others. Urban Institute, Washington, D.C. H-3941. December 1973. 273 pp.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.
Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development-Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

Public Availability: Urban Institute, Order No. URI 63000.

This report evaluates the Health Start program during its second year of operation (1972-73) and compares achievements with the previous year. Thirty separate projects that served 20,000 children over two years were analyzed. The authors conclude that Health Start met its operational goals but failed as a 'demonstration' because the program had no predefined design, objectives, or problems to overcome. Yet it produced data which point up the specific health care needs of economically disadvantaged children from birth to six years of age and the costs of filling those needs. The analysis includes a wide range of information on total and median costs (for projects and per child), the kinds of communities served, ethnic groups served (whites predominated, but less so in the second year), the percent and type of prior medical and dental care, the immunization status achieved, and major medical problems detected. Many findings, such as the more serious health problems of migrant children, might have been anticipated. Some surprises also appeared, such as the fact that the highest number of completed treatments per enrolled child occurred in a community with only one active physician while three projects with the fewest completed treatments all had a high number of available health resources.

E00512-009

Final Report of Monitoring Contract of Seven Home Start Grantees. Development Associates, Inc., Washington, D.C. May 31, 1973.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.
Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development-Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

E00512-010

Social Competency in Young Children. Scarvia Anderson and Samuel Messick. Educational Testing Service, Princeton, N.J. March 1973.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development-Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

Public Availability: ERIC, Order No. ED-082-812.

E00512-011

Implementation of Head Start Planned Variation Testing and Data Collection Effort. Stanford Research Institute, Menlo Park, Calif. September 29, 1972.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development-Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

Public Availability: ERIC, Order No. ED-070-532.

E00512-012

Supplementary Evaluation of the Responsive Environment Head Start Program. Responsive Environment Corp. June 1973.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development-Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

E00512-013

Evaluation Study for the Institute for Developmental Studies Head Start Planned Variation Study. New York University, New York, N.Y. June 1973.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

E00512-013

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

E00512-014

Sponsor Supported Research of the University of Kansas Planned Variation Program. University of Kansas. June 1973.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

E00512-015

Bank Street Supplemental Study. Bank Street College, New York, N.Y. H-2995. June 1973.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

E00512-016

Research-Based Evaluation of the Tucson Early Education Model. University of Arizona, Tucson, Ariz. H-9782. June 1973.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

E00512-017

Supplementary Research on Responsive Model for Planned Variation Communities. Far West Laboratory for Educational Research, Berkeley, Calif. H-9788. June 1973.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Department of Health, Education, and Welfare

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

E00512-018

Evaluation of Head Start Experience with 'Healthy, That's Me.' Richard B. Zamoff, and others. Urban Institute, Washington, D.C. H-3941. September 28, 1973.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

Public Availability: ERIC, Order No. ED-073-822.

E00512-019

Retrospective Study of Employee Mobility in Head Start Programs. Booz Allen and Hamilton, Inc., Washington, D.C. May 18, 1973.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

E00512-020

Summative Evaluation of the Child and Family Resource Program. Jerry Brookins and Tony Bryk. Huron Institute, Cambridge, Mass. December 1974.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

E00512-021

Serving Handicapped Children. Systems Research, Inc. September 16, 1974.

Agency Sponsoring Evaluation: Evaluation Branch, OCD.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506); Elementary, secondary, and vocational education (501).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 91-177); Community Services Act of 1974 (P.L. 93-644).

E00513-001

National Evaluation of Youth Service Systems. Behavioral Research and Evaluation Corp. August 1974.

Agency Sponsoring Evaluation: Office of Research and Evaluation, HEW.

Agency Managing Program: Office of Youth Development, OHD.

Program(s) Evaluated: Youth Development and Delinquency Prevention (13.000).

Budget Function/Subfunction: Social services (506).

Program Authorization: Juvenile Delinquency Prevention Act (P.L. 92-381).

The purpose of this study was to provide hard data regarding the impact of seven youth services systems on both the youth served and on the youth-serving agencies and organizations in the community. Specific attention was directed towards determining the following: (1) the extent to which diversion from the juvenile justice system was being realized, whether it could be attributed to the youth services system or whether it resulted from extraneous factors (e.g., changes in juvenile court policy), and the cost/benefits realized; (2) the extent to which the youth services system provides youth with access to desirable social roles and, conversely, reduces the incidence of juvenile delinquency and contributes to a reduction in the negative labeling of youth and youth-adult alienation; and (3) the extent to which the projects represent a system effort in terms of their ability to effect changes in institutional practices, policies, and procedures which adversely affect youth and to mobilize the necessary Federal, state, and local resources to provide a coordinated delivery of services to youth.

E00581-001

Assessment of the Teacher Corps Program. B-164031(1). July 14, 1972. 50 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Teachers Corps--Operations and Training (13.489).

Budget Function/Subfunction: Higher education (502).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329).

Public Availability: GAO, Distribution Section.

The Teacher Corps program strengthened educational opportunities for children in low-income area schools and broadened teacher preparation programs. Among other things, corps members provided individualized instruction and introduced several innovative teaching

methods. A majority of the corps members who graduated from the program remained in the field of education, and most of them taught in low-income-area schools. The program would have been more effective if local educational agencies had continued more of the Teacher Corps approaches and if participating colleges had identified successful teaching approaches and had incorporated them into their regular teacher preparation programs. State departments of education and the Office of Education need to accumulate and disseminate information on successful Teacher Corps features. HEW concurred with GAO's recommendations and stated that actions were being taken or planned to implement them.

E00581-002

Training America's Labor Force: Potential, Progress, and Problems of Vocational Education. B-164031(1). October 18, 1972. 45 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Vocational Education--Basic Grants to States (13.493).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Vocational Education Amendments of 1968 (P.L. 90-576).

Public Availability: GAO, Distribution Section.

Federal expenditures for vocational education increased from \$57 million in FY 1963 to \$507 million in FY 1972, while state and local expenditures rose from \$254 million in FY 1963 to \$1,951 million in FY 1971. The percentage of the secondary students enrolled in vocational education rose from 24 percent in FY 1965 to 38 percent in FY 1971. However, the objective of Federal assistance had not been achieved nationwide or in any of four states where GAO conducted its review: California, Michigan, Ohio, and Pennsylvania. According to HEW, 37 percent of the high school students presumed to need vocational education were not receiving it. In the four states, even greater proportions of the high school students--44 to 75 percent--needing it were not receiving it. Vocational educators say that the causes of this situation are: insufficient financial support at all levels of government, and an unfavorable image of, and a resulting aversion to, vocational education.

E00581-003

Supply and Demand Conditions for Teachers and Implications for Federal Programs. B-164031(1). March 6, 1974. 41 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educational Personnel Training Grants--Career Opportunities (13.421).

Budget Function/Subfunction: Higher education (502); Elementary, secondary, and vocational education (501).

Program Authorization: Education Professions Development Act (P.L. 90-35).

Public Availability: GAO, Distribution Section.

The Federal government had initiated extensive financial assistance programs for college students during the early 1960s to meet the growing need for elementary

and secondary school teachers but these assistance programs may now be contributing to teacher surpluses. There is no central source for identifying the programs affecting the teaching profession. Also, complete and consistent data about teacher supply and demand is not available. GAO conducted a survey of teacher supply and demand at selected school districts, colleges of teacher education, and all 50 state departments of education. The survey showed a general surplus of elementary and secondary school teachers with the surplus varying among geographic areas and teaching subject fields. Some school districts reported a need for additional teachers in such fields as education of the physically or mentally handicapped and vocational education but lacked suitable applicants. The organizations surveyed cited lack of adequate counseling in the colleges and universities and a lack of communication within the education community as major causes of the surplus. Suggested remedies for the situation included retraining teachers and changing curriculums to better meet the needs of prospective employers.

E00581-004

Problems of the Upward Bound Program in Preparing Disadvantaged Students for a Postsecondary Education. B-164031(1). March 7, 1974. 59 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Upward Bound (13.492).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Higher Education Act of 1965 (P.L. 89-329).

Public Availability: GAO, Distribution Section.

Although the Upward Bound program might be motivating students to enroll in college, the Office of Education does not know how effective the program has been in achieving its goal of equipping low-income, disadvantaged students with the academic skills and motivation necessary for success in college. Available data indicates that the program apparently has not achieved this goal. Contrary to HEW guidelines, the Office of Education did not develop specific, measurable objectives of improving academic skills and increasing motivation. The program's management information system was not providing program managers with the data needed to develop, plan, and evaluate the program. Projects were not required to (1) develop comprehensive need assessments on all students, including motivation levels, (2) design a curriculum to meet identified needs, and (3) periodically measure progress made in meeting these needs. GAO also reported that the program's monitoring system should be strengthened to insure that all projects operate in accordance with national intent and that the Department's regional offices should insure that projects select students in accordance with guidelines and document the basis used. The Department generally agreed with GAO's recommendations and outlined actions to carry them out.

E00581-005

Federal Programs for the Education of the Handicapped: issues and problems. MWD-74-162. December 5, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Educationally Deprived Children--Handicapped (13.427).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Elementary and Secondary Education Act of 1963, as amended (P.L. 89-313).

Public Availability: GAO, Distribution Section.

HEW estimated that more than 2 million handicapped individuals have been afforded education and rehabilitation opportunities in the last 5 years. Despite this growth, approximately 60 percent of the estimated 7 million handicapped children in the United States do not receive appropriate educational services enabling them to have equality of opportunity. Although about 90 percent of the cost is funded with state and local money, Federal funds increased from a negligible amount in fiscal year 1966 to several hundred million dollars in fiscal year 1973. These programs are administered by at least 14 separate organizational units in HEW.

E00581-006

Federal Library Support Programs: progress and problems. MWD-75-4. December 30, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Library Services--Grants for Public Libraries (13.464).

Budget Function/Subfunction: Research and general education aids (503).

Program Authorization: Library Services and Construction Act (P.L. 84-597).

Public Availability: GAO, Distribution Section.

Library support programs have helped to improve library materials and services in school and public libraries nationwide. Many states, however, have retained large portions of Library Services and Construction Act funds at the state level for administration, services, and statewide programs. This reduces funds available to provide new or improved library services to cities and towns where such services were lacking or inadequate. GAO said the Congress should consider amending present legislation to specify a dollar amount or a percentage limit that the states may retain for administration, services, and statewide programs.

E00581-007

What Is the Role of Federal Assistance for Vocational Education? MWD-75-31. December 31, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Vocational Education--Basic Grants to States (13.493).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Vocational Education Amendments of 1968 (P.L. 90-576).

Public Availability: GAO, Distribution Section.

Use of Federal funds has not been evaluated adequately at Federal, state, or local levels. The Office of Education has not provided adequate guidance to help achieve purposes envisioned by Congress. Federal funds often have been used to provide basic support for traditional local programs rather than vocational education. GAO found that: large amounts of Federal funds have been retained at the state level for administrative purposes; in some states the ratio of state and local support to Federal support has declined; persons with special needs for vocational opportunities have not been given a high priority; and increased funding has not necessarily resulted in proportionately increased enrollment.

E00581-008

The Adult Basic Education Program: Progress in Reducing Illiteracy and Improvements Needed. MWD-75-61. June 4, 1975.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Education, HEW.

Program(s) Evaluated: Adult Education--Grants to States (13.400).

Budget Function/Subfunction: Elementary, secondary, and vocational education (501).

Program Authorization: Adult Education Act of 1966 (P.L. 91-230).

Public Availability: GAO, Distribution Section.

Although the Adult Basic Education Program has made positive achievements, it reaches only a small fraction of those needing it. In the program's first 9 years, about 1 percent of the 57 million adults in the Adult Education target population and 4 percent of the 15 million adults with less than 8 years of school participated in any given year. The Office of Education has not established or directed states to establish realistic and measurable Adult Education program goals or given states definitive criteria to evaluate the program.

E00582-001

Educational Laboratory and Research and Development Center Programs Need To Be Strengthened. B-164031(1). November 16, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: National Institute of Education, HEW.

Program(s) Evaluated: Educational Research and Development (13.575).

Budget Function/Subfunction: Research and general education aids (503).

Program Authorization: Cooperative Research Act of 1965 (P.L. 89-10); Education Amendments of 1974 (P.L. 93-380).

Public Availability: GAO, Distribution Section.

The institutions of higher education and nonprofit organizations participating in the laboratory and research and development center programs could have been more effective. The organizations involved could not show that most of the new educational products and practices that they developed met desired objectives or were readily and economically available for classroom use. GAO reported that the programs could have been more effective had the organizations (1) stated product objectives in terms of specific educational changes, (2) strengthened evaluation procedures to determine product effectiveness for both the short and long term, and (3) demonstrated the marketability of the proposed products. The National Institute of Education could improve the programs by setting standards for evaluating and monitoring the evaluation processes used by the research organizations and by developing alternative means of disseminating products. The Department agreed with GAO's recommendations and stated that actions were either being planned or taken to carry them out.

E00584-001

Review of Selected Communicable Disease Control Efforts. B-164031(2). June 10, 1974. 38 pp. + appendix.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: Center for Disease Control Investigations, Surveillance and Technical Assistance (13.283); Program Management, CDC (13.000); Disease Control Project Grants (13.268).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III, sec. 314(d)&(e), 317, 318 (42 USC 246(d)&(e), 247(b)&(c)).

Public Availability: GAO, Distribution Section.

The Center for Disease Control assists state programs to control communicable disease directly through grants and indirectly through in-house and other research. GAO reviewed programs covering about 25 percent of the Nation's total population to decide if Federal control programs could be improved. In its report, GAO discussed the incidence of (1) venereal diseases, particularly gonorrhea which has increased to epidemic proportions, and (2) tuberculosis, which still accounts for two-thirds of deaths caused by all communicable diseases. GAO commented also that immunization for a variety of communicable diseases was below medically necessary levels. The Department agreed with the recommendation that it foster more economic procurement of vaccines by encouraging the states to (1) use the Center's centralized procurement system and (2) accept vaccines supplied by the Department instead of financial assistance. HEW indicated that the recommendation for periodic reviews of results being achieved under gonorrhea control projects might not be appropriate for measuring the effectiveness of the projects.

E00584-002

The Urban Rat Control Program Is in Trouble. MWD-75-90. September 29, 1975. 25 pp. + appendixes.

E00584-002

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Center for Disease Control, PHS.

Program(s) Evaluated: Urban Rat Control (13.267).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, Title III, sec. 314e (42 USC 246).

Public Availability: GAO, Distribution Section.

Although HEW has reported progress in the fight to control rats, problems affecting this Federally supported program not only impede further advances but may also negate some of the earlier reported gains. GAO's review of the program included six rat control projects located in cities in Georgia, Maryland, Pennsylvania, Tennessee, and Virginia.

E00585-001

Lack of Authority Limits Consumer Protection: Problems in Identifying and Removing from the Market Products which Violate the Law. B-164031(2). September 14, 1972. 31 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Program Management, FDA (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug, and Cosmetic Act, as amended (21 USC 321 et seq.); Federal Hazardous Substances Act, as amended (P.L. 86-613; 15 USC 1261 et seq.).

Public Availability: GAO, Distribution Section.

FDA is responsible for enforcing the Federal Food, Drug, and Cosmetic Act and the Federal Hazardous Substances Act. However, FDA's authority to enforce these laws is inadequate. Except for prescription drugs, the law does not require firms to provide FDA access to manufacturers' records needed to identify, examine, and remove products suspected or known to be defective. FDA also lacks the authority to temporarily detain suspected defective products from reaching the market. Once products suspected or known to be defective are on the market, FDA's methods of removing them--seizures and voluntary recalls--are often ineffective. GAO's report suggested that the Congress consider amending the Federal Food, Drug and Cosmetic Act and the Federal Hazardous Substances Act to provide FDA with authority to (1) examine production and distribution records, (2) detain products suspected or known to violate these laws, and (3) recall products.

E00585-002

An Incident of Contamination of Livestock Feed and Certain Consumer Products. B-164031(2). December 1, 1972. 24 pp + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS; Department of Agriculture.

Department of Health, Education, and Welfare

Program(s) Evaluated: Program Management, Food and Drug Administration (13.000); Animal Drugs and Feeds (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug, and Cosmetic Act, Chapter V (21 USC 351 et seq.); Wholesome Poultry Products Act (21 USC 451); Wholesome Meat Act (21 USC 601); Egg Products Inspection Act (21 USC 1031).

Public Availability: GAO, Distribution Section.

Between April 30 and June 28, 1971, a leak of a toxic industrial chemical in the processing equipment at an East Coast terminal contaminated about 11,987 tons of fishmeal used as an ingredient in feed for livestock. The Senate Agriculture and Forestry Committee asked GAO to review handling of the incident by FDA and USDA. GAO found investigations and testing procedures adequate but recommended better coordination of future investigations and improved exchange of information between the two agencies.

E00585-003

Problems in Regulating Selected Vaccines. B-164031(3). February 7, 1973. 21 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Biologics Program (13.000); Program Management, Bureau of Biologics (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, sec. 351 (42 USC 262); Federal Food, Drug, and Cosmetic Act, sec. 505 (21 USC 355).

Public Availability: GAO, Distribution Section.

GAO reported that three vaccine manufacturers did not meet potency requirements for any of three adenovirus vaccine licenses and for one of two adenovirus-influenza vaccine licenses issued by NIH. Test results for lots of vaccines released between 1957 and 1964 showed that about 50 percent of the lots failed to meet potency requirements. GAO recommended that HEW revoke the production licenses because: (1) four of the five licenses had been issued on the basis of vaccine samples which did not meet requirements and (2) after the licenses were issued, certain vaccine ingredients had been shown to cause tumors in hamsters. This raised significant questions of the vaccine's safety. GAO also made a recommendation designed to preclude marketing subpotent biological products. GAO advised the Senate Subcommittee on Executive Reorganization and Government Research that GAO's recommendations should be brought to the attention of HEW for action. On February 16, 1973, the Subcommittee brought its recommendations to the attention of HEW and requested that they be acted upon.

E00585-004

Problems in Obtaining and Enforcing Compliance with Good Manufacturing Practices for Drugs. B-164031(2). March 29, 1973. 44 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Human Drugs (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug, and Cosmetic Act (21 USC 321 et seq.).

Public Availability: GAO, Distribution Section.

FDA is required by law to insure that adulterated drugs do not reach the market. The law defines an 'adulterated drug' as one which, among other things, has not been produced in conformity with good manufacturing practices. The law requires FDA to inspect drug manufacturers and producers at least once every 2 years. FDA inspections have shown that a large number of the Nation's 6,400 drug producers deviate from good manufacturing practices. GAO cited several factors which have hindered FDA in insuring the drug producers' compliance with good manufacturing practices. FDA did not always aggressively enforce drug producers' compliance with good manufacturing practices, and its efforts to obtain voluntary compliance were often ineffective. Its follow-up inspections were not usually made on time, if made at all, and were often ineffective when firms were found to have taken no action. FDA lacks an effective means to insure biennial inspection of all drug producers and does not have a complete and accurate list of drug producers required to be registered and inspected. HEW concurred in the recommendations and advised that several corrective actions had been, or would be, taken.

E00585-005

Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters). B-164031(2). March 29, 1973. 60 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Food Safety (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Public Health Service Act, sec. 301, 311 and 361 (42 USC 241 et seq.); Federal Food, Drug, and Cosmetic Act, Chapters I-V (21 USC 321 et seq.).

Public Availability: GAO, Distribution Section.

FDA is required by law to insure that food products--including shellfish--shipped across state borders are safe, pure, wholesome, and processed under sanitary conditions. FDA generally relies on its participation in the National Shellfish Sanitation Program--a voluntary, tripartite cooperative program of Federal, state, and shellfish industry representatives--to achieve this goal for shellfish. GAO reviewed activities of four shellfish-producing states--Maryland, Massachusetts, New York, and Washington--which accounted for about 53 percent of the dollar value of the national shellfish production in 1971. In these four states, shellfish not meeting the program's bacteriological standards were reaching the consumer in quantities sufficient for us to question the program's effectiveness. FDA was not adequately monitoring the states to insure that shellfish reaching the consumer were pure, safe, and wholesome, and the four states were not insuring that shellfish were harvested from safe waters and processed under sanitary conditions. Of the

15.8 million pounds of shellfish imported in 1971, 12.4 million pounds were harvested from waters uncertified under the program's standards. GAO made recommendations to HEW to strengthen the program and recommended that the Congress consider enacting legislation which permits importing shellfish from only those countries that harvest and process shellfish under conditions which are at least equal to domestic standards. HEW concurred in the recommendations and advised that it had taken or would take a number of corrective actions.

E00585-006

Supervision Over Investigational Use of Selected Drugs. B-164031(2). July 23, 1973. 49 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Program Management, Bureau of Drugs (13.000); Human Drugs (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug, and Cosmetic Act, Chapter V (21 USC 351 et seq.).

Public Availability: GAO, Distribution Section.

At the request of the Chairman, Subcommittee on Reorganization, Research and International Organizations, Senate Committee on Government Operations, GAO reported on FDA's supervision over selected investigational new drugs. FDA is responsible for monitoring the drug's safety and efficacy during clinical (human) tests. GAO reported that: (1) FDA failed to halt human tests after receiving indications that certain of the drugs were unsafe, (2) drug companies did not always report adverse drug reactions occurring in animal studies to FDA promptly; and (3) FDA did not require necessary patient followup in several instances after receiving such reports. GAO recommended that FDA: (1) make a written determination that a drug's benefits outweigh the possible risks of its experimental use before allowing clinical tests to begin or continue when serious safety questions concerning testing drugs in humans arise; (2) institute a program to insure prompt reporting of animal studies; and (3) establish followup policies and requirements. The Department concurred in GAO's recommendations and advised that it had started actions to implement them.

E00585-007

Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs. B-164031(2). March 7, 1974. 49 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Human Drugs (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug, and Cosmetic Act (21 USC 351-360).

Public Availability: GAO, Distribution Section.

FDA is responsible by law for regulating and insuring that drugs involved in interstate commerce are safe and effective. Advising the public and doctors of adverse reactions caused by drugs is a fundamental part of this responsibility. To identify information on adverse drug reactions and to assist in drug regulation, FDA created an adverse drug reaction reporting system. FDA's monitoring unit is to develop sources of adverse drug reaction reports and to collect, analyze, centrally store, and forward the information to FDA's regulatory divisions. These divisions are responsible for taking needed action to regulate marketed drugs. GAO reported that the system was not adequately used to regulate drugs. Some medical officers in the regulatory divisions: (1) did not use it; (2) did not know it existed; or (3) were uncertain whether FDA had the burden of proving whether a specific drug caused adverse reactions. Also, the system was deficient in that the monitoring unit: (1) received only a limited number of adverse reaction reports; (2) did not always obtain additional information needed to evaluate reports received; (3) did not store centrally all information available within FDA; and (4) did not send complete information to the regulatory divisions and did not send it on a systematic basis. GAO made a number of recommendations to improve the system and its use as an aid in drug regulation. The Department agreed with the recommendations and outlined several actions which it had taken or planned to take to improve the system and its use.

E00585-008

Salmonella in Raw Meat and Poultry: an assessment of the problem. B-164031(2). July 22, 1974. 52 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS; Animal and Plant Health Inspection Service, USDA.

Program(s) Evaluated: Food Safety (13.000); Meat and Poultry Inspection (10.027).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Wholesome Meat Act (P.L. 90-201; 21 USC 601-624, 641-645, 661, 671-680); Wholesome Poultry Products Act (P.L. 90-492; 21 USC 451-469); Federal Food, Drug, and Cosmetic Act (21 USC 321 et seq.); Public Health Service Act, sec. 301, 311, 316 (42 USC 241 et seq.).

Public Availability: GAO, Distribution Section.

An estimated 2 million cases of salmonella, resulting from a bacteria often causing poisoning in raw meat and poultry products, occur annually. Costs in medical payments and lost working days total at least \$300 million. Although the Food and Drug Administration and the Animal and Plant Health Inspection Service view consumer education as an essential safeguard, their programs to inform the public about salmonellosis need to be improved. GAO contracted with the Gallup Organization to determine what the nation's 73 million women know about salmonella. Results: 74 percent, or 54 million women, did not know salmonella is a bacteria which may cause food poisoning; 66 percent, or 48 million women, did not know how to minimize the spread of salmonella within the home; and 39 percent, or 28 million, were certain raw meat and poultry on the market were inspected by Federal or state inspectors for salmonella, when, in fact, they are not.

E00585-009

Extending Effective Date of the Food and Drug Administration's Diagnostic X-Ray Equipment Standard. P-74-MWD-180. September 23, 1974. 18 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Radiologic Health (13.000); Program Management, Bureau of Radiologic Health (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Radiation Control for Health and Safety Act of 1968 (42 USC 263); Federal Food, Drug, and Cosmetic Act (21 USC 351 et seq.).

Public Availability: GAO, Distribution Section.

FDA proposed amendments to the standard requiring all diagnostic X-ray equipment reassembled, rebuilt, or refurbished on or after August 1, 1974, to comply. After comments from manufacturers, state and local agencies, physicians, and others, FDA determined that the applicable date for proposed amendments should be revised from August 1, 1974, to August 1, 1979. Adherence to the August 1, 1974, date could have led to a reduction in availability of diagnostic X-ray services in areas unable to afford purchase of new equipment. FDA concluded it would be in the public interest to allow a gradual phasing out of noncertified components and subsystems.

E00585-010

Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered. P-75-RED-276. October 23, 1974. 22 pp. + appendixes.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS; Environmental Protection Agency.

Program(s) Evaluated: Food Safety (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug, and Cosmetic Act, Chapter I-V (21 USC 321 et seq.); Federal Insecticide, Fungicide and Rodenticide Act of 1974, as amended (P.L. 80-104; 7 USC 135, 137).

Public Availability: GAO, Distribution Section.

Because there is no consensus among researchers as to the safety of the pesticide maleic hydrazide used on potatoes, onions, and other crops, GAO recommended 'that additional testing and research be performed by EPA and FDA.' Data on maleic hydrazide and diethanolamine metabolites are insufficient to determine whether they present health risks. These and other unresolved questions emphasize the need for additional research effort.

E00585-011

Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Recalled by the General Electric Company. MWD-75-71. March 10, 1975. 23 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Medical Devices and Diagnostic Products (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug, and Cosmetic Act, sec. 501-503 (21 USC 351-353); Public Health Service Act, Title II (42 USC).

Public Availability: GAO, Distribution Section.

FDA needs to strengthen its regulation of pacemakers. Its recall procedures call for measures to insure prompt removal of defective products from the market and identification and correction of the cause of the defect. FDA did not take such measures in connection with GE's recall of defective pacemakers. Since GE's 1972 pacemaker recall, GE and three other manufacturers—Cordis Corporation, Biotronik Corporation and Vitatron Medical, Incorporated—have recalled 22,310 pacemakers. Because manufacturers are not required to notify FDA of their recalls, it did not become aware of three of the recalls until 6 to 16 months later.

E00585-012

Excluding Substandard Canned Pineapple from the United States. MWD-75-40. March 13, 1975. 17 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Food Safety (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug, and Cosmetic Act, sec. 401 (21 USC 341).

Public Availability: GAO, Distribution Section.

The Food and Drug Administration should provide for special inspection of pineapple imported from Malaysia, Mexico, and Taiwan to protect consumers as provided by the Federal Food, Drug, and Cosmetic Act. The Act prohibits marketing adulterated or misbranded food in interstate commerce. To make the best use of the limited resources of the Food and Drug Administration, its inspection activities could focus on specific processors found to be most responsible for introducing substandard pineapple to the American market.

E00585-013

Public Hazards from Unsatisfactory Medical Diagnostic Products. MWD-75-52. April 30, 1975. 55 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Medical Devices and Diagnostic Products (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Food, Drug, and Cosmetic Act, sec. 501-503 (21 USC 351-353); Public Health Service Act, Title III, (42 USC 241 et seq.).

Public Availability: GAO, Distribution Section.

Unreliable diagnostic products, taken internally, are being marketed in the United States and exported. Such products could pose a serious hazard to the public. Although the Food and Drug Administration has regulatory responsibility for diagnostic products taken internally, its regulations have not been effective. There is need for legislation to clarify FDA's authority to regulate diagnostic products taken internally, especially the applicability of the Public Health Service Act to these products. Several bills were introduced in the 93rd Congress which would have given FDA some additional authority to control such diagnostics. However, the methods proposed by the bills are essentially the same as those provided for by FDA's current diagnostic program. Unless FDA is more aggressive in implementing its current program, the immediate impact such legislation would have is questionable.

E00585-014

Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public. MWD-75-64. May 20, 1975. 28 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, PHS.

Program(s) Evaluated: Food Economics (13.000); Food Safety (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Fair Packaging and Labeling Act, sec. 4-5 (P.L. 89-755; 15 USC 1453-1454); Federal Food, Drug, and Cosmetic Act, sec. 301, 401-403 (21 USC 331, 341-343); Public Health Service Act, sec. 301, 311, 361 (42 USC 241 et seq.).

Public Availability: GAO, Distribution Section.

During distribution, some food produced in America becomes damaged or subjected to contamination. If not destroyed, this food is salvaged and sold, often through outlets specializing in this service. Much salvaged food is sold in outlets in low-income, urban areas. It is also bought by social institutions and private organizations, such as nursing homes, orphanages, schools, restaurants, and bakeries. Although neither HEW nor Agriculture knows the number of food salvage outlets in the Nation, GAO estimated there are more than 1,000 salvage outlets nationwide, with annual sales volumes ranging from \$5,000 to \$1.5 million. Twenty-six of the 30 outlets GAO visited had food for sale which was insect infested or in leaking, rusted, stained, swollen, or badly damaged containers. In addition, 14 to 25 institutions visited had containers which were swollen or had severe rim or seam dents, rust, or leakage. Few Federal, state, and local health organizations or private institutions have complete, specific food salvage laws, regulations, or guidelines.

E00586-001

Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education. B-164031(2). October 3, 1972. 47 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of the Assistant Secretary for Health, PHS; Bureau of Health Manpower, HRA.

Program(s) Evaluated: Health Manpower and Training (13.000); Health Professions Capitation Grants (13.339); Health Professions Teaching Facilities (13.340); Health Professions Scholarships (13.341); Health Professions Student Loans (13.342).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 770, as amended (42 USC 295).

Public Availability: GAO, Distribution Section.

The shortage of physicians and dentists in the United States is estimated at 50,000 and 20,000, respectively. Grants awarded under NIH's Health Professions Educational Improvement Program--about \$373 million between July 1, 1965, and June 30, 1971--have assisted medical and dental schools to increase enrollments and have provided assistance which could improve educational quality. However, HEW and NIH had not established the annual enrollment increases needed to eliminate the shortages. In fact, there were no specific estimates of what the supply of health professionals should be. HEW stated that a coordinated approach to determining manpower requirements and establishing a plan for meeting the requirements was underway.

E00586-002

Study of Health Facilities Construction Cost. B-164031(3). November 20, 1972. 810 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Health Facilities Construction--Grants (13.220); Health Facilities Construction--Technical Assistance (13.223).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, Title VI, as amended (P.L. 88-443; 42 USC 291); (P.L. 93-641; 42 USC 300K et seq.).

Public Availability: GAO, Distribution Section.

This report on costs of health services in the United States was prepared in response to a requirement in the Comprehensive Health Manpower Training Act of 1971. Its 160 pages plus four supplements of 650 pages make it one of the most informative ever produced by GAO. One part of the study focuses on the possibility of reducing costs of constructing health facilities and deals specifically with new building techniques, materials, and possibly, waiver of Federal standards that are unnecessarily costly. Another part concentrates on facilities for patient care--hospitals primarily. It considers the cost of operating hospitals, as well as building them. It identifies ways in which demand for health facilities could be reduced or eliminated. The report emphasizes the importance of preventive medicine, sharing of services

among hospitals, the increase of health insurance incentives, and use of regional services in meeting rising demands for health care and in offsetting increasing costs.

E00586-003

Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities. B-164031(3). December 13, 1972. 37 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of the Secretary, HEW; Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Civil Rights Compliance Activity (13.602); Health Facilities Construction Grants (13.220); Health Facilities Construction Technical Assistance (13.223).

Budget Function/Subfunction: Health planning and construction (554); Federal law enforcement and prosecution (751).

Program Authorization: Civil Rights Act of 1964 (42 USC 2000d); Public Health Service Act, Title VI (42 USC 291 et seq.).

Public Availability: GAO, Distribution Section.

At the request of the Chairman, House Committee on the Judiciary, GAO reported on the Hill-Burton program's implementation of the Civil Rights Act of 1964 in selected minority areas in Texas and Pennsylvania. This program provides financial assistance for the construction and modernization of health facilities. Although GAO observed no discrimination by the state agencies in administering the program, GAO recommended and HEW agreed that: (1) HEW should require state agencies to verify the legitimacy of the determination of need and actively pursue ways to meet the need by examining service areas which have had the greatest continuing need for health facilities but which had not received assistance; (2) Hill-Burton state plans should identify service areas with substantial minority or low-income populations; and (3) state agencies should be required to periodically determine whether health facility needs of such populations are being adequately met.

E00586-004

Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States. B-164031(2). April 18, 1974. 45 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Comprehensive Health Program Area Wide Grants (13.206); Comprehensive Health Planning Program Grants to States (13.207).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, Title III, sec. 314b, as amended (42 USC 246).

Public Availability: GAO, Distribution Section.

In its report to the Congress on comprehensive health planning carried out by state and areawide

agencies in three states, GAO concluded that the agencies had a beneficial impact on the health care delivery system mostly by: (1) reviewing and commenting on Federally financed projects for delivery of health services; (2) reviewing and approving proposed health facility construction projects; and (3) reacting to health problems brought to their attention by various sources rather than through a systematic planning process. GAO recommended that the Secretary of HEW direct the development of legislative or administrative action to alleviate such problems as difficulties in raising required matching funds, lack of adequate staffs, selection and participation of volunteers in planning activities, proper relationships between state and areawide agencies, and lack of an implementation process for developed recommendations. The Department concurred and said it was working to alleviate the problems noted and to develop a significant legislative proposal.

E00586-005

Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program. MWD-74-159. May 3, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Bureau of Health Planning and Resources Development, HRA.

Program(s) Evaluated: Health Facilities Construction--Grants (13.220); Health Facilities Construction--Technical Assistance (13.223).

Budget Function/Subfunction: Health planning and construction (554).

Program Authorization: Public Health Service Act, Title VI, as amended (P.L. 88-443; 42 USC 291); (P.L. 93-641; 42 USC 300K et seq.).

Public Availability: GAO, Distribution Section.

This report provides information on the need for constructing and modernizing hospitals and constructing ambulatory care facilities, sources of training for construction and modernization, and the extent to which recovery of depreciation expenses through third party reimbursement program serves as a revenue source for new construction.

E00586-006

Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met. B-164031(2). May 24, 1974. 66 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Health Professions--Scholarships (13.380); Health Professions--Student Loans (13.342).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, Title VII (42 USC 294-295g).

Public Availability: GAO, Distribution Section.

The objectives of the Health Professions Student Assistance Program to alleviate expected shortages of doctors, dentists, and other health professionals were not being met. The program was expected to improve the quality of students, encourage graduates to practice in

shortage areas, and increase the proportion of students from low-income families. GAO recommended that the program goals be accomplished through other existing Federal programs, such as Federal assistance in construction of teaching facilities, Federally insured loans, and the Shortage Area Scholarship Program. If the Congress decided to continue the program, GAO offered suggestions to improve its effectiveness. These included: (1) combining scholarship funds with loan funds; (2) providing loans at interest rates that are comparable to those available to the Government in view of the high-income potential of the students; (3) increasing the number of health professions students from low-income families; and (4) coordinating the various Federal programs providing aid to health professions students. In addition, GAO cited weaknesses in the administration of the program and made recommendations for improvement. The Department subsequently announced plans to improve the program's administration and agreed that the program has not had much impact in influencing doctors and dentists to practice in shortage areas. HEW disagreed, however, with GAO views on the program's impact on increasing the number and improving the quality of health professions students.

E00586-007

Progress and Problems in Training and Use of Assistants to Primary Care Physicians. MWD-75-35. April 8, 1975. 38 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Bureau of Health Manpower, HRA.

Program(s) Evaluated: Health Manpower and Training (13.000); Health Manpower Education Initiative Awards (13.380).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Public Health Service Act, sec. 774a & b, as amended (P.L. 92-157; 42 USC 295f-4).

Public Availability: GAO, Distribution Section.

Because of the impact that new professionals--assistants to primary care physicians--could have on the health care system under a program authorized by the Congress, GAO reviewed 19 programs in 13 states to determine whether their objectives and congressional expectations were being achieved. It found that a number of problems need to be worked out. GAO recommended that HEW make sure that assistants to physicians are trained in the most efficient and economical manner to do tasks that otherwise must be done by physicians, that they are granted appropriate and essential professional and legal recognition, and that their employers are reimbursed equitably for services provided by the new assistants.

E00587-001

Implementation of a Policy of Self-Support by Neighborhood Health Centers. B-164031(2). May 2, 1973. 27 pp. + appendix.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Community Health Centers (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

Public Availability: GAO, Distribution Section.

GAO reported on actions taken or planned by HEW to replace direct Federal support of Neighborhood Health Centers--funded under section 314(e) of the Public Health Service Act--with increased third-party and other reimbursements. GAO concluded that some of the services--such as nutrition, optometry, speech therapy, and mental health activities--being offered by the centers would have to be reduced or eliminated if the centers placed greater reliance on third-party reimbursement programs. The centers could become increasingly self-supporting by eliminating inefficient operating practices and by obtaining recognition as providers of services eligible under Federal and Federally assisted programs. In June 1973 GAO testified on both Community Mental Health Centers and Neighborhood Health Centers.

E00587-002

Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia. B-118638. July 31, 1973. 32 pp. + exhibits + appendixes.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Bureau of Community Health Services, HSA; Social and Rehabilitation Service, HEW.

Program(s) Evaluated: Community Health Centers (13.000); National and Child Health Services (13.232); Medicaid (13.714).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Act, Title XIX, as amended (42 USC 1396 et seq.); Social Security Act, Title V, sec. 503 (P.L. 90-248; 42 USC 703); Public Health Service Act, Title III, sec. 314e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

Public Availability: GAO, Distribution Section.

The District of Columbia, through its Department of Human Resources, and three private nonprofit organizations provide health care in 21 outpatient health centers. Funds for the operation of these centers are provided by the District under one program and by the Federal government under seven programs. The systems for providing health services in outpatient health centers were uncoordinated. The individual agency and program approach was used rather than a District-wide coordinated program approach. No one organization had authority over all centers. The nonprofit organizations and the District planned individually for the location of health centers. This resulted in (1) an imbalance in the location of outpatient health centers, (2) the capability in some areas to provide more health care than residents were seeking, and (3) a lack of capability in other areas to provide sufficient health care.

E00587-003

Progress and Problems in Providing Health Services to Indians. B-164031(2). March 11, 1974. 63 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Indian Health Services, HSA.

Program(s) Evaluated: Indian Health Services (13.228).

Budget Function/Subfunction: Health care services (551).

Program Authorization: P.L. 83-568; 42 USC 2001-2004a; P.L. 86-500; P.L. 89-702; P.L. 89-749; P.L. 90-179; P.L. 91-224.

Public Availability: GAO, Distribution Section.

Indian health--although substantially improved since HEW assumed responsibility for Indian health care in 1955--was still worse than that of the general population. GAO's report discussed needs that have not been met in such areas as alcoholism and alcohol abuse; maternal and child health; otitis media; tuberculosis; venereal disease; and environmentally related diseases, such as infectious hepatitis. Indians die of tuberculosis at a rate about four times that of the general population, and otitis media--inflammation of the middle ear--has been the number one reported disease among Indians, especially children, since 1964. GAO's report included several recommendations to improve the delivery of health services to Indians. HEW agreed with most of GAO's recommendations and reported actions taken or planned to implement them. GAO reported that further action is required in certain program areas involving maternal and child health, otitis media, and tuberculosis.

E00587-004

Better Use Should be Made of Physicians and Dentists in Health Centers. P-74-MWD-131. April 9, 1974. 36 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Bureau of Community Health Services, HSA.

Program(s) Evaluated: Community Health Centers (13.000).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, Title III, sec. 413e, as amended (42 USC 246); Special Health Revenue Sharing Act of 1975 (P.L. 94-63).

Public Availability: GAO, Distribution Section.

GAO reported that physicians and dentists were being underused in comprehensive health centers funded by OEO and HEW. Causes of this underuse include: overstaffing due to unrealistic estimates and the failure to reduce staffing after it became clear the estimates were wrong, the large number of appointments which patients missed, and the unpredictability of patients visiting the centers without appointments. GAO reported that neither the professional medical and dental organizations nor OEO and HEW have established standards of acceptable productivity. Reluctance to set such standards was because physicians and dentists might regard such standards as an infringement on their practice of medicine. HEW officials agreed to move toward strengthening its center management information reporting system to provide detailed data to associate productivity levels with other aspects of center operations.

E00587-005

Better Controls Needed for Health Maintenance Organizations under Medicaid in California. P-75-MWD-6. September 16, 1974. 34 pp. + appendix.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Bureau of Community Health Services, HSA; Social and Rehabilitation Service, HEW.

Program(s) Evaluated: Health Maintenance Organizations Service (13.256); Medicaid (13.714).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222); Social Security Act, Title XIX, as amended (42 USC 1396 et seq.).

Public Availability: GAO, Distribution Section.

GAO evaluated California's procedures regarding establishment of payment rates; enrollment, disenrollment, and grievance procedures; and capability of health maintenance organizations to deliver quality services. As with any new program, a number of problems have been encountered. HEW has relied heavily on California to resolve these problems. Although considerable progress has been made, problems still exist in insuring that prepaid health plans provide quality medical care to enrollees at a cost less than that of the traditional fee-for-service system.

E00587-006

Improving Federally Assisted Family Planning Programs. MWD-72-25. April 15, 1975. 73 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Bureau of Community Health Services, HSA; Social and Rehabilitation Service, HEW.

Program(s) Evaluated: Family Planning Projects (13.217); Medicaid (13.714); (13.761).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Public Health Service Act, as amended, Title X, sec. 100 (P.L. 91-572; 42 USC 300); Social Security Act, Title XIX, as amended (42 USC 1396 et seq.); Social Security Act, Titles I, IV Part A, X, XI, XIV, XVI (P.L. 74-271; 42 USC 602 et seq., 1301 et seq.); (P.L. 86-571; 24 USC 321 et seq.).

Public Availability: GAO, Distribution Section.

Although HEW regulations require local projects to give priority in providing family planning services to low-income persons, projects generally have not established procedures for enrolling such persons. Coordination between local welfare offices and family planning projects has not been adequate. Lack of HEW guidance and monitoring and insufficient staff at state and local levels contributed to the failure to fully implement the 1967 requirement for enrolling persons on welfare. This situation still exists despite 1972 amendments, which increased the Federal matching rate for family planning services to 90 percent. Although HEW required all family planning projects receiving Federal support to participate in a national reporting system, some projects do not report and others do not report regularly. HEW regional offices, states, and projects GAO visited concluded that reports generated by the national system were of little value. Also, reports have been incomplete, inaccurate, and late.

E00587-007

Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organizations and Community Health Networks. MWD-75-98. November 21, 1975. 110 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Health Services Administration, HEW; Social Security Administration, HEW.

Program(s) Evaluated: Health Maintenance Organization Service (13.256); Medicare (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Health Maintenance Organization Act of 1973 (P.L. 93-222); Social Security Amendments of 1972 (P.L. 92-603; 86 Stat. 1329).

Public Availability: GAO, Distribution Section.

This report describes how effectively health maintenance organizations and community health networks, under programs initiated in 1971, had utilized their grants, and suggests ways to improve such activities.

E00589-001

The Quality Control System in Welfare: Its Implementation in Pennsylvania. B-164031(3). November 18, 1972. 56 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act (P.L. 74-271).

Public Availability: GAO, Distribution Section.

This report concerns GAO's review of the operation of the quality control system, designed to control ineligibility and incorrect payments of the welfare programs in Pennsylvania. The system is a coordinated effort by the Department of Health, Education, and Welfare (HEW) and all states to continuously control welfare payments. HEW originally required the states in 1964 to implement a quality control system. The system was revised on October 1, 1970. Among other things, GAO reported that: (1) Pennsylvania did not implement the revised system on October 1, 1970, contrary to HEW guidelines, and had not fully implemented the system as of June 30, 1972; (2) HEW was ineffectual in bringing about the state's compliance with HEW quality control regulations; (3) In December 1971 the state initiated the Secretary of Welfare's eligibility evaluation project (SWEEP), designed to remove ineligible persons from the welfare rolls and correct erroneous payments. SWEEP merely duplicated what should have been done initially; (4) Future progress in implementing the Federal system and in achieving the desired degree of control over the welfare program will depend on the state's ability to solve its problems, especially those related to staffing.

E00589-002

Problems in Administering Two Eligibility Aspects in the Aid to Families with Dependent Children Program. B-164031(3). June 27, 1973. 56 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Assistance Payments Administration, SRS.

Program(s) Evaluated: Public Assistance--Maintenance Assistance (13.761).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act (P.L. 74-271).

Public Availability: GAO, Distribution Section.

To better understand problems involved in administering two eligibility aspects--incapacity and unemployment--in the Aid to Families with Dependent Children (AFDC) program, the House Committee on Ways and Means asked GAO to review these aspects of the program in Pennsylvania. Pennsylvania ranked third in total AFDC expenditures nationwide for FY 1972. AFDC accounted for about 81 percent of all assistance payments and 87 percent of all recipients under the Federally supported public assistance programs in Pennsylvania in fiscal year 1972. GAO made this review in 11 Pennsylvania counties that accounted for about 71 percent of its regular AFDC cases (119,000 of 168,000 cases) and 91 percent of its unemployed-father cases (3,400 of 3,700 cases). HEW and Pennsylvania generally agreed with GAO's several findings and recommendations, and have taken or agreed to take action to implement them. The state noted that it had resolved its fiscal problems and that welfare caseloads are dropping because of tighter administration controls.

E00589-003

Some Problems in Contracting for Federally Assisted Child-Care Services. B-164031(3). June 13, 1973. 51 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Community Services Administration, SRS.

Program(s) Evaluated: Work Incentives--Child-Care Employment Related Supportive Services (13.748).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

Public Availability: GAO, Distribution Section.

The U.S. shares with states expenses of child-care services under the Aid to Families with Dependent Children Program--the largest of several child-care programs administered by HEW. At the time of GAO's fieldwork, HEW regulations required that child-care be provided to public assistance recipients working or training. Regulations also permitted these services for other low-income families even though the parents were not working. A significant number of available spaces provided by contracted child-care services were used for the nonworking, nontraining group because many recipients who were working or training elected to receive case allowances and pay for child-care arrangements made on their own, or applied for the services after the nonworking, nontraining parents had already enrolled their children. Recent changes to Federal regulations on social

services and revised Federal day-care requirements will affect some of the problems discussed in this report. There was much controversy over these proposed regulation changes which would have substantially reduced the number of persons eligible for child-care services.

E00589-004

Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency? B-164031(3). June 27, 1973. 123 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Community Services Administration, SRS.

Program(s) Evaluated: Public Assistance--Social Services (13.754).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Public Welfare Amendments of 1962 (P.L. 87-543).

Public Availability: GAO, Distribution Section.

Federal expenditures for social services have increased greatly in recent years, especially in the Aid to Families with Dependent Children program which increased from \$625 million in fiscal year 1970 to an estimated \$1.9 billion in fiscal year 1973. The goals of social service programs are to help recipients get off welfare, to prevent or reduce illegitimate births, to strengthen family life, to attain or retain personal independence, and to protect children. GAO reported that social services had only a minor impact on helping recipients to develop and use the skills necessary to become more independent or self-supporting. Therefore, one of the basic goals for the services has not been achieved. Considering the nature of services provided, the method for determining who should receive certain services, and present economic constraints, it is unrealistic to expect social services to play a major role in helping recipients become more independent or self-supporting. Still, the social services program has positive aspects. Developmental services helped some recipients obtain employment and maintenance services helped many recipients cope with overcome specific day-to-day problems, strengthen their family life, and increase their self-confidence. In the long run, these benefits are necessary if recipients are to ultimately become self-supporting. HEW generally agreed with GAO's recommendations to improve program administration.

E00589-005

Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients. B-164031(3). August 14, 1973. 31 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Medical Services Administration, SRS.

Program(s) Evaluated: Medical Assistance (13.714).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

Public Availability: GAO, Distribution Section.

Enrolling welfare recipients in the Medicare supplementary insurance program is referred to as the buy-in program. As of December 1971 about 2 million persons were enrolled in the program for supplementary benefits. In 1971, the states paid premiums of about \$134 million on behalf of these people. GAO reported that, since 1966, the buy-in program has experienced major administrative problems. Some of these problems had not been resolved and as a result: (1) not all eligible welfare recipients were enrolled, because local welfare offices had not obtained the necessary information or because identification data was not correct or complete; (2) two states received about \$2.9 million in overpayments for premiums that should have been paid entirely by the states, because the states' procedures did not adequately identify premiums paid for persons not receiving cash assistance; (3) substantial amounts of premiums were lost to the Federal Supplementary Medical Insurance Trust Fund, because the Social Security Administration made refunds to states for premiums paid for persons several months after they became ineligible for the buy-in program. Thus, while medical bills were paid for from the trust fund, neither the states nor the beneficiaries were paying the related premiums. These problems could have been reduced by closer coordination between state and Federal agencies to implement procedures to insure that recipients were identified and enrolled within a reasonable time and to insure that Federal funds were accurately claimed.

E00589-007

Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program. MWD-75-13. January 9, 1975. 48 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Medical Services Administration, SRS.

Program(s) Evaluated: Medical Assistance (13.714).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

Public Availability: GAO, Distribution Section.

Under this congressionally mandated program, states are required to get more actively involved in preventive health care by identifying and treating medical problems early. In the long run this approach has great potential for reducing the incidence of long-term, costly medical care. However, HEW has not been aggressive in attempting to make states comply with the law and has been slow in developing regulations. As a result, only a small percentage of an estimated 10 million eligible children have been screened. If emphasis were placed on informing families about the program and if allied health professionals were used in areas with a shortage of physicians, more children could be screened. HEW concurred with GAO's recommendations and described actions that had been, or will be, taken. HEW said these plans necessarily are contingent upon the availability of staff.

E00589-008

Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements. MWD-75-46. March 18, 1975. 57 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Medical Services Administration, SRS.

Program(s) Evaluated: Medical Assistance (13.714).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

Public Availability: GAO, Distribution Section.

HEW regional offices in late 1973 submitted data on 7,318 skilled nursing homes showing that more than 4,300 homes had fire safety code deficiencies. In April 1974, GAO accompanied HEW inspectors on visits to 32 skilled nursing homes in 6 states and found that 23 homes had fire safety code deficiencies. HEW needs to: improve its administration and enforcement of Federal fire safety requirements to insure the safety of nursing home patients; monitor state inspection and certification activities; and increase its efforts to insure that nursing homes comply with their plans for correction.

E00589-009

Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse. MWD-75-74. April 14, 1975. 45 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Medical Services Administration, SRS.

Program(s) Evaluated: Medical Assistance (13.714).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

Public Availability: GAO, Distribution Section.

A system for paying claims under Medicaid Illinois needs improvement in such areas as: lack of accountability of claims, unnecessary manual processing, ineffective use of computers, inaccurate files of those eligible to receive Medicaid, and insufficient provider and employee training. Many deficiencies GAO identified in the management of the Medicaid program also were identified in a February 1970 report by the staff of the Senate Committee on Finance. Improved coordination of state Medicaid fraud and abuse investigations with Medicare data is needed. A combined Medicare-Medicaid investigative unit should improve HEW's ability to investigate fraud and abuse under both programs.

E00589-010

Outpatient Health Care in Inner Cities: Its Users, Services, and Problems. MWD-75-81. June 6, 1975. 23 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Medical Services Administration, SRS.

Program(s) Evaluated: Medical Assistance (13.714).

Budget Function/Subfunction: Public assistance and other income supplements (604); Social services (506).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248).

Public Availability: GAO, Distribution Section.

During 1973, 1.2 million outpatient visits were made to 22 outpatient facilities--17 hospitals and 5 health centers--in Cleveland and Buffalo. People eligible for Medicaid or Medicare made about 480,000 or 40 percent of the visits. The medically indigent made about 590,000 or 48 percent of the visits. Most outpatient visits were made because patients were unable to obtain services from private physicians. This resulted from the physician shortage in inner city poverty areas. Using a department measurement technique, GAO found that poverty areas in both cities were medically underserved. To improve the health care delivery system and enable it to provide comprehensive care, health administrators in Cleveland and Buffalo believe coverage under existing programs should be broadened to include the medically indigent.

E00589-011

Increased Compliance Needed with Nursing Home Health and Sanitary Standards. MWD-76-8. August 18, 1975. 14 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Social and Rehabilitation Service, HEW; Social Security Administration, HEW.

Program(s) Evaluated: Medicare (13.800); Medicaid (13.714).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965, Title XVIII, Part A (42 USC 1395 et seq.); Social Security Act, as amended, Title XIX (42 USC 1396 et seq.).

Public Availability: GAO, Distribution Section.

GAO visits to skilled nursing facilities in six states showed that more needs to be done to obtain compliance with Federal guidelines and state standards on adequacy of patient care. More than one-half of the facilities visited were not complying with the standard for frequency of physician visits to patients. Almost one-half of the facilities were not meeting state standards or Federal guidelines for number of nursing care hours provided to patients. GAO recommended that enforcement be expedited of HEW's 1974 regulations requiring facilities to retain medical directors in states and facilities not meeting the Federal standards. It also recommended that HEW review state minimum standards for measuring adequacy of nursing care and establishing a uniform system.

E00590-001

More Needs to be Done to Assure that Physicians' Services--Paid for by Medicare and Medicaid--Are Necessary. B-164031(4). August 2, 1972. 50 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

Public Availability: GAO, Distribution Section.

Medicare and Medicaid paid more than \$2 billion for physicians' services during 1971. GAO reviewed what HEW had done to detect and prevent payments for unnecessary physicians' services for patients qualifying for Medicare and Medicaid benefits. GAO's report stated that HEW and paying agents have tried, with some success, to devise review systems for identifying and preventing these payments. However, more needs to be done because (1) the paying agents' systems for reviewing the necessity for physicians' services are based on widely varying methods, (2) paying agents investigated relatively few unusual patterns of services, and (3) paying agents for Medicare and Medicaid had not exchanged useful information. HEW agreed with GAO's recommendations for improving these systems and outlined several actions which it had taken, or proposed to take, to improve the systems.

E00590-002

Problems Associated With Reimbursements to Hospitals for Services Furnished Under Medicare. B-164031(4). August 3, 1972. 65 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

Public Availability: GAO, Distribution Section.

Hospitals received about 70 percent of the \$7.5 billion paid in Medicare benefits in 1971. GAO reviewed the Federally prescribed systems and procedures used by 14 hospitals in 5 states to find out whether they insured that Medicare payments complied with the law and regulations. GAO reported to the Congress that, although most of the \$20 million of claims reviewed were correct, they noted several problems in the administration of the present Medicare hospital reimbursement system. GAO questioned net charges to Medicare of about \$622,300 involving payments to 12 of the 14 hospitals. HEW generally agreed with GAO's recommendations which called for (1) informing those responsible for Medicare hospital payments of the problems identified, (2) improved audits, (3) adjustments in cost reports, and (4) recovery of overpayments.

E00590-003

Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows. B-164031(4). September 5, 1972. 72 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Special Benefits for Disabled Coal Miners (13.806); Coal Mine Workers' Compensation (17.307).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Federal Coal Mine Health and Safety Act of 1969 (P.L. 91-173); Black Lung Benefits Act of 1972 (P.L. 92-303).

Public Availability: GAO, Distribution Section.

On May 19, 1972, the Black Lung Benefits Act of 1972 was enacted. The 1972 act extends for 18 additional months the Federal government's responsibility for the black lung benefit provisions of the 1969 act and provides various liberalizations in the black lung benefits program. The 1972 act shows that the Congress has recognized that the Social Security Administration has experienced problems in administering the program. This report discusses these problems, the major provisions of the new law, and further steps needed to improve program effectiveness.

E00590-004

Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare. B-164031(4). December 20, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

Public Availability: GAO, Distribution Section.

This is a review of charge provisions for paying physicians' fees under part B of the Medicare program by carriers in four states; Idaho, Missouri, New Jersey, and California. The four carriers--Equitable, General American, Prudential, and California Blue Shield--reported that, during the first nine months of 1972, they reduced amounts claimed in 40 to 55 percent of the processed claims because amounts charged exceeded the carriers' determinations of reasonable charges. From 40 to 70 percent of services on the reduced claims were subject to reasonable charge reductions whereas charges for other services were allowed in full. Rate of reductions for only those services where the charges were reduced ranged from 17 to 25 percent.

E00590-005

Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned. B-164031(4). April 19, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800); Social Security--Disability Insurance (13.802); Social Security--Retirement Insurance (13.803); Social Security--Survivors Insurance (13.805); Supplemental Security Income (13.807).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Social Security Act of 1935, as amended (P.L. 74-271).

Public Availability: GAO, Distribution Section.

This report describes SSA's automated information processing systems and actions needed to improve them. GAO reviewed SSA's systems because they process claims which result in the issuance of benefit checks--the only income for many aged or disabled. GAO wanted to know whether SSA's systems have remained current. Changes in legislation have greatly increased the workload of SSA's information processing systems. Its inventory of computers has grown from 3 in 1960 to 51 in 1973. SSA's equipment had an installed value of \$61 million as of March 1973 and the annual cost to operate the systems exceeded \$60 million. GAO believes the effort to redesign SSA systems would be worthwhile and could produce savings.

E00590-006

Need to More Consistently Reimburse Health Facilities Under Medicare and Medicaid. P-74-MWD-177. August 16, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Medicare--Hospital Insurance (13.800).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

Public Availability: GAO, Distribution Section.

From July 1966 through June 1973 about \$33.6 billion in Medicare benefits were paid for care provided in hospitals and skilled nursing facilities (SNF). Medicaid payments for hospital and SNF care from January 1966 through June 1973 totaled about \$22 billion, including a Federal share of about \$11.3 billion. Most Medicare payments were made by 10 private organizations (or intermediaries) under contracts with HEW, including Blue Cross and 73 Blue Cross plan subcontractors. Medicaid payments were made by 49 states, 4 other governmental jurisdictions, or their subcontractors (fiscal agents). Overpayments had occurred for a variety of reasons. Instances were noted where overpayments might have been avoided or discovered earlier by an intermediary had HEW's advice to one intermediary on a specific reimbursement question been made available to others. As of September 30, 1973, Medicare overpayments not recovered amounted to about \$105 million.

E00590-007

The Manner in Which Medicare Reimbursement is Made to a Laboratory Facility: Phoenix, Arizona. October 21, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Medicare--Supplementary Medical Insurance (13.801).

Budget Function/Subfunction: Health care services (551).

Program Authorization: Social Security Amendments of 1965 (P.L. 89-97).

Public Availability: GAO, Distribution Section.

E00590-008

Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits. MWD-75-44. December 31, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Social Security Administration, HEW.

Program(s) Evaluated: Special Benefits for Disabled Coal Miners (13.806); Coal Mine Workers Compensation (17.307).

Budget Function/Subfunction: General retirement and disability insurance (601).

Program Authorization: Federal Coal Mine Health and Safety Act of 1969 (P.L. 91-73); Black Lung Benefits Act of 1972 (P.L. 92-303).

Public Availability: GAO, Distribution Section.

A study of 100 cases showed that after an application was filed it often took almost 12 weeks to complete processing even though HEW received most of the applications within a week after filing. To expedite claims processing, HEW agreed to GAO's suggestion for improving the existing manual process by using a teletype network, instead of surface mail. This change could reduce the median conversion time from 6 to about 4 weeks. The conversion process can be further improved by using a fully automatic death-termination and benefit process and a joint payment procedure similar to that authorized by the Social Security Act for the Old-Age Retirement and Survivors program that would permit a surviving widow to cash her husband's benefit check.

E00591-001

Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services. B-164031(3). April 5, 1974. 37 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Administration on Aging, OHD.

Program(s) Evaluated: Special Programs for the Aging (13.609).

Budget Function/Subfunction: Social services (506).

Program Authorization: Older Americans Act of 1965 (P.L. 89-73).

Public Availability: GAO, Distribution Section.

At the request of the Chairman, Senate Special Committee on Aging, GAO reported on Project FIND, a campaign to contact and enroll eligible elderly citizens in food stamp and commodity distribution programs. The target population for Project FIND was about 3 million persons, 60 years of age or older, living on incomes below the national poverty line and eligible for, but not receiving, Federal food assistance. GAO reported that an increase in enrollments for Federal food assistance programs did occur in late 1972 and early 1973; however, not all the increase could be attributed to Project FIND. In addition, the elderly identified as

needing other services consisted of a very small percentage of those contacted. When such cases were identified they were referred to the local agencies which provided such assistance. GAO noted various Project FIND problems. Among the factors affecting the project's success were incorrect eligibility information in brochures mailed to the elderly residing in some states, limited training provided to volunteers, and a lack of effective coordination between volunteers and food assistance office staff. Problems of the type encountered during the project could be reduced in future similar projects by (1) better advance planning, (2) establishment of reasonable time frames for completion, and (3) development of project monitoring and evaluation procedures during the planning stage.

E00591-002

Project Head Start: achievements and problems. MWD-75-51. May 20, 1975. 36 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Child Development, OHD.

Program(s) Evaluated: Child Development--Head Start (13.600).

Budget Function/Subfunction: Social services (506).

Program Authorization: Economic Opportunity Act of 1964 (P.L. 88-452); Community Services Act of 1974 (P.L. 93-644).

Public Availability: GAO, Distribution Section.

Independent studies have concluded that Head Start goals have been partially realized, especially from a short-range view. The consensus is that Head Start participants are better prepared to enter local schools than their disadvantaged, nonparticipating peers. Recent research suggests that if a child continues to attend a special program or receives special attention beyond that given in the regular school system, short-term gains may be sustained. Although Head Start has served handicapped children, it has generally not served severely handicapped children. Local officials said additional resources, including professional staff, training facilities, and equipment, were needed to adequately serve the severely handicapped. Until HEW provides a means for obtaining needed resources, local programs should not be expected to enroll severely handicapped children.

E00591-003

Review of Vending Operations on Federally Controlled Property. B-176886. September 27, 1972. 61 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Rehabilitation Services Administration, OHD.

Program(s) Evaluated: Rehabilitation Services and Facilities--Basic Support (13.624).

Budget Function/Subfunction: Social services (506).

Program Authorization: Vocational Rehabilitation Act of 1973 (P.L. 93-112).

Public Availability: GAO, Distribution Section.

E00591-004

Effectiveness of Vocational Rehabilitation in Helping the Handicapped. B-164031(3). April 3, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Rehabilitation Services Administration, OHD.

Program(s) Evaluated: Rehabilitation Services and Facilities--Basic Support (13.624).

Budget Function/Subfunction: Social services (506).

Program Authorization: Vocational Rehabilitation Act of 1973 (P.L. 93-112).

Public Availability: GAO, Distribution Section.

Because of the large and increasing costs and the number of persons affected, GAO reviewed programs in Michigan, North Carolina, and Oklahoma under the Vocational Rehabilitation Act to determine the programs' ability to meet the needs of handicapped persons. The vocational rehabilitation program has not been able to help all handicapped persons--possibly 7 million--who need and would benefit from the program. Although the number of persons rehabilitated annually is increasing, it is still not as large as the number of persons becoming eligible each year, according to Rehabilitation Services Administration estimates. The Administration projected that in a few years more persons would become rehabilitated than would become eligible. Then the number of persons needing services would begin to decrease. Whether this happens could be affected by many factors, such as new legislation making more groups eligible for services. GAO reported that some clients received only intangible benefits but that there were other measurable benefits. Often the accomplishments were limited, although some clients may have improved or progressed to their capacity. Others who had been helped considerably still needed additional services. Many persons reported as successfully rehabilitated did not become self-sufficient or competitive with nonhandicapped persons. GAO made several recommendations to improve the program. HEW and the state agencies generally agreed and began to implement them.

E00599-001

Better Management Needed of Health Research Equipment by NIH Grantees. B-164031(2). July 17, 1973. 22 pp. + appendix.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: National Institutes of Health, PHS.

Program(s) Evaluated: Program Management, NIH (13.000).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: P.L. 85-934; 42 USC 1892; 72 Stat. 1793.

Public Availability: GAO, Distribution Section.

Institutions receiving NIH grants lacked records adequate to enable them to comply with HEW instructions. Moreover, NIH had not issued guidelines suitable for grantees to carry out HEW's instructions. Adequate records would have enabled researchers to locate and use equipment available and would have prevented unnecessary expenditure of research money for equipment.

E00599-002

Federal Efforts to Combat Drug Abuse. B-164031(2). August 14, 1972.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: National Institute of Drug Abuse, ADAMHA; Special Action Office for Drug Abuse Prevention.

Program(s) Evaluated: SAODAP Program (73.003); Program Management, NIDA (13.000).

Budget Function/Subfunction: Health research and education (552).

Program Authorization: Drug Abuse Office and Treatment Act of 1972, Title II (P.L. 92-255, sec. 223; 42 USC 2688 et seq.).

Public Availability: GAO, Distribution Section.

GAO's report on drug abuse was the result of its government-wide survey to identify and determine the extent of Federal agencies' involvement in drug abuse programs. GAO did not evaluate individual programs but observed some major problems and overall efforts. GAO concluded that--although Federal agencies had increased their funding for treatment and rehabilitation, law enforcement and control, education and training, and research--they were not effective in curbing drug abuse. The President has emphasized a need for a national strategy to coordinate the various solutions.

E00599-003

Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles, New York City; San Francisco and Alameda Counties; and Washington, D.C. B-166217. 1972-1973. 5 vols.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: National Institute of Drug Abuse, ADAMHA; Department of Justice.

Program(s) Evaluated: Narcotic Addict Rehabilitation Contracts (13.239).

Budget Function/Subfunction: Health research and education (552); Federal law enforcement and prosecution (751).

Program Authorization: Narcotic Addict Rehabilitation Act of 1966 (P.L. 89-793, sec. 607; 42 USC 3411-3426); Public Health Service Act, Sec. 341-347 (42 USC 257-261).

Public Availability: GAO, Distribution Section.

At the request of the Chairman, Subcommittee No. 4, House Committee on the Judiciary, GAO reported on the treatment and rehabilitation of narcotic addicts in Los Angeles, San Francisco, and Alameda Counties, California; Chicago, Illinois; New York City; and Washington, D.C. The reports issued on these subjects covered such matters as the amount of money being spent by government agencies on narcotic treatment and rehabilitation, program goals, methods and costs of treatment, number of patients treated, criteria used to select patients, efforts made to assess program performance, and lessons learned from the assessment. During fiscal year 1973 GAO testified three times on various aspects of the programs, including the criminal justice system in New York City and elsewhere and its relation to treatment programs for narcotic addicts.

E00599-004

Need for More Effective Management of Community Mental Health Centers Program. P-75-MWD-151. August 27, 1974. 69 pp. + appendixes.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: National Institute of Mental Health, ADAMHA.

Program(s) Evaluated: Community Mental Health Centers (13.240).

Budget Function/Subfunction: Health planning and construction (554); Health research and education (552); Health care services (551).

Program Authorization: Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended (P.L. 88-164; 42 USC 2681-2688); (P.L. 89-105); (P.L. 90-31); (P.L. 90-574); (P.L. 91-513); (P.L. 91-515).

Public Availability: GAO, Distribution Section.

Through August 1973, 392 Community Mental Health Centers were operating and centers have increased the accessibility, quantity, and type of community services available and have improved the responsiveness of mental health services to individual needs. Some success also has been realized in mobilizing state and local resources to support the program. The 12 centers GAO reviewed have established the five services the National Institute of Mental Health considers essential: inpatient, outpatient, partial hospitalization, emergency, and consultation and education. Areas that should be improved include planning for the area to be served and services provided, centers' ability to operate without continued Federal assistance, monitoring and evaluation, coordination of center activities, and use of construction resources.

E00599-005

Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969. B-170686. July 5, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Bureau of Mines, Dept. of the Interior; National Institute for Occupational Safety and Health, CDC.

Program(s) Evaluated: Program Management, NIOSH (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Coal Mine Health and Safety Act of 1969 (P.L. 92-303; 30 USC 902 et seq.).

Public Availability: GAO, Distribution Section.

The Bureau of Mines made progress in 1971 in carrying out the safety and health inspections and other activities required under the act and in monitoring the actions taken by the mine operators. To obtain full compliance with the statutory provisions, however, continuing efforts by the Bureau are needed. With a larger and adequately trained inspection force, the Bureau expects to be able to place needed emphasis on health inspections and to meet both safety and health inspection requirements.

E00599-006

Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places. B-163375. September 28, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: National Institute for Occupational Safety and Health, CDC.

Program(s) Evaluated: Center for Disease Control Investigations, Surveillance and Technical Assistance (13.283); Program Management, NIOSH (13.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Occupational Safety and Health Act of 1970 (P.L. 91-596).

Public Availability: GAO, Distribution Section.

This is the second of a series of GAO reports reviewing the activities carried out under the Occupational Safety and Health Act of 1970 by the Occupational Safety and Health Administration, Labor, and the National Institute for Occupational Safety and Health, HEW. This report concerns the problems and progress of the Institute in developing health and safety standards for toxic substances and harmful physical agents in occupational environments. Because of the Institute's slow progress, GAO recommended that the Secretary of HEW consider the adequacy of the Institute's resources to effectively carry out its responsibilities. It also recommended that the Senate Committee on Labor and Public Welfare consider asking the Congress to amend the act to allow the Secretary of Labor more than the six months now specified in the act to promulgate a permanent standard after issuing an emergency temporary standard.

Department of Housing and Urban Development**E00600-001**

New Communities Evaluation. May 1, 1975.

Agency Sponsoring Evaluation: Office of Program Analysis and Evaluation, HUD.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

This study is a theoretical and empirical investigation of various justifications for Federal support of new community development to determine the most appropriate Federal role and the choice between direct grants and loan guarantees to implement that role. The study also examines the costs of the program and recent information on the advantages of new communities, primarily non-Federally assisted new communities.

E00600-002

Analyses of the Causes of the Growth in Public Housing Operating Subsidies. January 1974.

Agency Sponsoring Evaluation: Office of Program Analysis and Evaluation, HUD.

Agency Managing Program: Housing Production and Mortgage Credit/FHA; HUD; Housing Management, HUD.

Program(s) Evaluated: Public Housing--Leased (14.148).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Housing and Urban Development Act of 1965 (P.L. 89-117, sec. 103(a), 502; 42 USC 1410(c), 1421B).

This study assesses the relative importance of such factors as program growth, the Brooke Amendments, and inflation in contributing to the rapid growth of low rent public housing (LRPH) operating subsidies over the past five years.

E00600-003

Single-Family Property Disposition.

Agency Sponsoring Evaluation: Office of Program Analysis and Evaluation, HUD.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Property Disposition (14.000).

Budget Function/Subfunction: Community development (451).

Program Authorization: National Housing Act, 1934, as amended (P.L. 73-479).

This study analyzes the multiple objectives of HUD disposition policy and the relative merits of various strategies for achieving these objectives. It also examines the incentive structure associated with the acquisition and disposition of homes.

E00600-004

Multi-Family Default Study. March 1975.

Agency Sponsoring Evaluation: Office of Program Analysis and Evaluation, HUD.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Mortgage Insurance--Rental Housing (14.134).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act Amendments of 1938 (P.L. 75-424; 12 USC 1713).

This study estimates the relative importance of various alleged determinants of default and foreclosure in subsidized multi-family housing. The study isolates the independent effect of various neighborhood characteristics, tenant characteristics, building design, and HUD processing variables.

E00600-005

Default Counseling. July 1974.

Agency Sponsoring Evaluation: Office of Program Analysis and Evaluation, HUD.

Agency Managing Program: Housing Management, HUD

Program(s) Evaluated: Counseling Assistance (14.000).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401); Public assistance and other income supplements (604).

Program Authorization: National Housing Act, 1934, as amended (P.L. 73-479; P.L. 90-448).

This is a cost/benefit study of the impact of default/delinquency counseling on mortgagors. It discusses the relative effectiveness of counseling in different environments.

E00600-006

Title X Land Development. May 1975.

Agency Sponsoring Evaluation: Office of Program Analysis and Evaluation, HUD.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Mortgage Insurance--Land Development and New Communities (14.125).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing and Urban Development Act of 1965, Title X (P.L. 89-117).

This study evaluates the Title X program in light of recent policy decisions regarding the future course of Federally-guaranteed new towns. It also explores the potential of Title X for stimulating land development which is more innovative and on a larger scale than typical tract development, but which is smaller scale than new communities.

E00600-007

Coordination of Federal Planning Assistance Programs. March 1975.

Agency Sponsoring Evaluation: Office of Program Analysis and Evaluation, HUD.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Comprehensive Planning Assistance (14.203).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Housing Act of 1954, as amended (P.L. 83-560; sec. 701; 40 USC 461).

This paper discusses coordination of Federal planning assistance programs and studies the factors associated with the implementation of Section 701 plans. Under what environmental/policy conditions are the recommendations of Section 701 plans followed and completed? A study of state land use planning activities is tentatively planned.

E00600-008

An Analysis of Section 234--HUD Condominium Programs. April 4, 1975.

Agency Sponsoring Evaluation: Office of Program Analysis and Evaluation, HUD.

Agency Managing Program: Office of Program Analysis and Evaluation, HUD.

Program(s) Evaluated: Mortgage Insurance--Construction or Rehabilitation of Condominium Projects (14.112); Mortgage Insurance--Purchase of Units in Condominiums (14.333).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

E00600-008

Program Authorization: National Housing Act, 1934, as amended (P.L. 87-70; P.L. 88-560; P.L. 90-448).

This evaluation focuses on the reasons for limited use of the HUD condominium program and on the features that differentiate it from conventional condominium activity.

E00600-009

Policy Analyses for Evaluation of New Communities Program. Decision Sciences Corporation. July 1974.

Agency Sponsoring Evaluation: Office of Policy Development and Research, HUD.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

Public Availability: HUD Library, 451 7th St., SW, Washington, D.C. 20410.

E00600-010

The Effects of FHA Activity in Older, Urban, Declining Areas: a review of existing, related analysis. Peter Greenston, and others. Urban Institute, Washington, D.C. February 1975.

Agency Sponsoring Evaluation: Office of Policy Development and Research, HUD.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Mortgage Insurance--Housing in Older, Declining Areas (14.123).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: Housing and Urban Development Act of 1968 (P.L. 90-448; 12 USC 1715(b) & (n)).

Public Availability: HUD Library, 451 7th St., SW, Washington, D.C. 20410.

E00600-011

Determinants of State and Local Expenditures: an annotated bibliography. John E. Fredlund. Urban Institute, Washington, D.C. December 1974.

Agency Sponsoring Evaluation: Office of Policy Development and Research, HUD.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing and Community Development Act of 1974 (P.L. 93-383).

Public Availability: HUD Library, 451 7th St., SW, Washington, D.C. 20410.

E00600-013

Housing in the Seventies; a report of the National Housing Policy Review. November 1974. 258 pp.

Department of Housing and Urban Development

Agency Sponsoring Evaluation: National Housing Policy Review, HUD.

Agency Managing Program: Government-wide.

Program(s) Evaluated: Housing (14.000).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401); Community development (451); Public assistance and other income supplements (604).

Public Availability: GPO, Stock No. 2300-00269, \$5.10.

Subsidized housing programs enacted in 1968 have developed many basic inequities. Comparable subsidy benefits are not being provided for all those with comparable problems; many moderate income families benefit while most lower income families do not; and millions of people with incomes only slightly above those of program beneficiaries live in units older and poorer than those subsidized with their tax dollars. Consequently, this study was designed to focus on the following: are the correct roles of the Federal government in housing and housing finance conflicting or complementary? What have been the effects of these roles? How efficiently have they been performed? What should be the role of government in housing and housing finance? What changes in policy and programs are necessary to achieve the appropriate role of the government in housing and housing finance? The study has embraced the housing activities of the 11 Federal agencies that operate in this policy area, and the corresponding legislation drafted over the years by 12 congressional committees that write laws substantially affecting housing.

E00600-018

An Inquiry into the Relative Emphasis of Program Policies on Housing Needs in the Suburbs and in Older Declining Areas. Semer and Zimmerman, Washington, D.C. October 1974.

Agency Sponsoring Evaluation: Office of Policy Development and Research, HUD.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Mortgage Insurance--Housing in Older, Declining Areas (14.123).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: Housing and Urban Development Act of 1968 (P.L. 90-448; 12 USC 1715(b) & (n)).

Public Availability: HUD Library, 451 7th St., SW, Washington, D.C. 20410.

E00600-025

The Changing Role of FHA Mortgage Insurance in the Mortgage Market and the Secondary Market. Semer & Zimmerman, Washington, D.C. January 1975.

Agency Sponsoring Evaluation: Office of Policy Development and Research, HUD.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Mortgage Insurance (14.000).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479).

E00600-060

Rehabilitation Loans and Grants. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Housing Rehabilitation Grants (14.200).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949 (P.L. 81-171; 42 USC 1406); Housing Act of 1964 (P.L. 88-560).

E00600-061

Model Cities Program. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

E00600-062

Neighborhood Development Program. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Neighborhood Development (14.306).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949 (P.L. 81-171).

E00600-063

Close-out of Urban Renewal Projects. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

E00600-064

Comprehensive Planning Programs. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Comprehensive Planning Assistance (14.203).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1954, as amended (P.L. 83-560; 40 USC 461).

E00600-065

Code Enforcement Program. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Code Enforcement (14.309).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949 (P.L. 81-171).

E00600-066

Urban Renewal Land Acquisition (Proclaimer). 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

E00600-067

Relocation Payments. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Relocation (14.000).

Budget Function/Subfunction: Community development (451).

Program Authorization: United States Housing Act of 1937 (P.L. 75-412); Housing Act of 1949 (P.L. 81-171); Housing and Urban Development Act of 1965 (P.L. 89-117); Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646).

E00600-068

Annual Arrangements. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

E00600-069

E00600-069

Open Space Program. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Open Space Land (14.303).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1961, as amended (P.L. 87-70).

E00600-070

Neighborhood Facilities Program. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Neighborhood Facilities (14.302).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing and Urban Development Act of 1965 (P.L. 89-117).

E00600-071

Non-cash Local Grants-in-aid. 1973-1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

E00600-072

Urban Renewal Land Disposition Activities. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

E00600-073

New Community Guarantee Program. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Department of Housing and Urban Development

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00600-074

Area Office of Application Procedures. June 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307); Open Space Land (14.303); Water and Sewer Facilities (14.301); Neighborhood Facilities (14.302); Neighborhood Development (14.306).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949 (P.L. 81-171); Housing Act of 1961 (P.L. 87-70); Housing and Urban Development Act of 1965 (P.L. 89-117).

E00600-075

Mortgagees' Origination Costs. March 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Mortgage Insurance--Homes (14.117).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479; 12 USC 1709, 1715).

E00600-076

Mobile Home Parks Underwriting Procedures. April 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Mortgage Insurance--Mobile Home Parks (14.127).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: Housing Amendments of 1955 (P.L. 84-345; 12 USC 1713).

E00600-077

Leased Housing Program. 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Public Housing--Leased (14.148).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1423, 1410 (c)).

E00600-078

Repairs--Single Family Acquired Property. 1973-1975.
Agency Sponsoring Evaluation: Office of Audit, Inspector General.
Agency Managing Program: Housing Management, HUD.
Program(s) Evaluated: Public Housing--Modernization of Projects (14.607).
Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412).

E00600-079

Low Rent Housing Maintenance and Engineering. 1973.
Agency Sponsoring Evaluation: Office of Audit, Inspector General.
Agency Managing Program: Housing Management, HUD.
Program(s) Evaluated: Public Housing--Modernization of Projects (14.607).
Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412).

E00600-080

Tenant Eligibility. 1973-1974.
Agency Sponsoring Evaluation: Office of Audit, Inspector General.
Agency Managing Program: Housing Management, HUD.
Program(s) Evaluated: Public Housing--Leased (14.148).
Budget Function/Subfunction: Public assistance and other income supplements (604).
Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1410 (c), 1421B).

E00600-081

Servicing Problem Projects. 1973-1974.
Agency Sponsoring Evaluation: Office of Audit, Inspector General.
Agency Managing Program: Housing Management, HUD.
Program(s) Evaluated: Public Housing--Modernization of Projects (14.607).
Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412).

E00600-082

Low Rent Housing Modernization Project. 1973.
Agency Sponsoring Evaluation: Office of Audit, Inspector General.
Agency Managing Program: Housing Management, HUD.
Program(s) Evaluated: Public Housing--Modernization of Projects (14.607).
Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412).

E00600-083

Independent Public Accountant Services. 1973.
Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.
Program(s) Evaluated: Public Housing--Leased (14.148).
Budget Function/Subfunction: Public assistance and other income supplements (604).
Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1423, 1410(c)).

E00600-084

Equal Opportunity Activities. 1973.
Agency Sponsoring Evaluation: Office of Audit, Inspector General.
Agency Managing Program: Office of Equal Opportunity, HUD.
Program(s) Evaluated: Equal Opportunity in Housing (14.400).
Budget Function/Subfunction: Federal law enforcement and prosecution (751).
Program Authorization: Civil Rights Amendments, 1968 (P.L. 90-284; 42 USC 3601); Housing and Community Development Act of 1974 (P.L. 93-383; 12 USC 1735; 42 USC 3605-3606, 3631).

E00600-085

Urban Renewal Land Disposition. 1974.
Agency Sponsoring Evaluation: Office of Audit, Inspector General.
Agency Managing Program: Community Planning and Development, HUD.
Program(s) Evaluated: Urban Renewal (14.307).
Budget Function/Subfunction: Community development (451).
Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

E00600-087

Relocation. 1974.
Agency Sponsoring Evaluation: Office of Audit, Inspector General.
Agency Managing Program: Community Planning and Development, HUD.
Program(s) Evaluated: Relocation (14.000).
Budget Function/Subfunction: Community development (451).
Program Authorization: United States Housing Act of 1937 (P.L. 75-412); Housing Act of 1949 (P.L. 81-171); Housing and Urban Development Act of 1965 (P.L. 89-117); Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646).

E00600-088

Public Facility Loans. 1974.
Agency Sponsoring Evaluation: Office of Audit, Inspector General.
Agency Managing Program: Community Planning and Development, HUD.
Program(s) Evaluated: Public Facilities (14.304).

E00600-088

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Amendments of 1955, as amended (P.L. 84-345).

E00600-089

Evaluation of Operating Agencies--Model Cities. 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

E00600-090

Final Land Acquisition--Urban Renewal. May 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

E00600-091

Annual Administrative Budget. July 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

E00600-092

Evaluation of Urban Renewal Activities. May 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

E00600-093

Closeout of Urban Renewal Projects. October 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Department of Housing and Urban Development

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

E00600-094

Section 312 Loans (Limited Review). November 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Rehabilitation Loans (14.000).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1964 (P.L. 88-560).

E00600-095

Single Family Appraisal/Inspection. 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Mortgage Insurance--Homes (14.117).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479; 12 USC 1709, 1715).

E00600-096

Multi-Family Application Processing. 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Multi-Family Mortgage (14.000).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479).

E00600-097

Mobile Home Courts--Application Processing. 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Mortgage Insurance--Mobile Home Parks (14.127).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: Housing Amendments of 1955 (P.L. 84-345; 12 USC 1713).

E00600-098

New Construction--Single-Family Accelerated Subdivision Processing. March 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Mortgage Insurance--Homes (14.117).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479; 12 USC 1709, 1715).

E00600-099

Project Rehabilitation. January 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Property Improvement (14.142-14.145).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934, as amended (P.L. 73-479; P.L. 84-1020; P.L. 90-448; 12 USC 1703).

E00600-100

Operations of a Special Mortgagor. October 1973.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Multi-Family Mortgage (14.000).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479).

E00600-102

Liquidation of Public Works Planning Advances. 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Public Housing--Modernization of Projects (14.607).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412).

E00600-105

Low Rent Occupancy. 1974-1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Public Housing--Leased (14.148).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1410 (c), 1421B).

E00600-106

Turnkey III--Homeownership. March 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Public Housing--Homeownership For Low Income Families (14.147).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401-1435).

E00600-108

New Communities Project Review. June 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00600-109

Program Evaluation. February 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Policy Development and Research, HUD.

Program(s) Evaluated: General Research and Technology Activity (14.506).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing and Urban Development Act of 1970, as amended (P.L. 91-609).

E00600-111

Use of Consultants. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300); Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Housing Act of 1949 (P.L. 81-171).

E00600-112

Urban Renewal Land Disposition Activities. July 1974.

E00600-112

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

E00600-113

Review of Model Cities Program--Housing and Economic Development Operating Agencies. August 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

E00600-114

Evaluation of On-Going Urban Renewal Activities. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

E00600-115

Areawide Planning Certificates. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Water and Sewer Facilities (14.301); Open Space Land (14.303).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing and Urban Development Act of 1965 (P.L. 89-117); Housing Act of 1961 (P.L. 87-70).

E00600-116

Planned Variation Cities. October 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300).

Budget Function/Subfunction: Community development (451).

Department of Housing and Urban Development

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

E00600-117

Urban Renewal Land Acquisition Activities. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

E00600-118

Uncompleted Resources Development Projects. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Water and Sewer Facilities (14.301); Public Facility Loans (14.304); Neighborhood Facilities (14.302); Open Space Land (14.303).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1961 (P.L. 87-70); Housing and Urban Development Act of 1965 (P.L. 89-117); Housing Amendments of 1955, as amended (P.L. 84-345).

E00600-119

Use of Facilities. May 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Neighborhood Facilities (14.304); Public Water and Sewer Facilities (14.301).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing and Urban Development Act of 1965 (P.L. 89-117).

E00600-120

Procedures for Distribution of Community Development Block Grant Funds. January 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Community Development Block Grants--Entitlement Grants (14.218); Community Development Block Grants--Discretionary Grants (14.219).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing and Community Development Act of 1974 (P.L. 93-383; 42 USC 5301-5317).

E00600-121

Government National Mortgage Association (GNMA) Mortgage-Backed Securities. August 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Mortgage Insurance (14.000).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: Housing and Urban Development Act of 1968 (P.L. 90-448).

E00600-122

Residential Subdivision Processing. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Mortgage Insurance--Homes (14.117).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479; 12 USC 1709, 1715).

E00600-123

Delays in Final Endorsement. June 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Multi-Family Mortgage (14.000).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479).

E00600-124

Mortgage Insurance for Nursing Homes--Section 232. May 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Mortgage Insurance--Nursing Homes and Related Care Facilities (14.129).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479); Housing Act of 1959 (P.L. 86-372); Housing and Urban Development Act of 1969 (P.L. 91-152).

E00600-125

Title I Property Improvement and Mobile Home Loans. June 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Mobile Home Loan Insurance--Financing Purchase of Mobile Homes as Principal Residence (14.110).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479; 12 USC 1703).

E00600-126

Multi-Family Mortgage Insurance Programs--Feasibility Processing to Final Endorsement. March 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Mortgage Insurance--Homes for Low and Moderate Income Families (14.120); Mortgage Insurance--Homes in Urban Renewal Areas (14.122).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: Housing Act of 1954, as amended (P.L. 83-560; 12 USC 1715).

E00600-129

College Housing Management. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: College Housing (14.000).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1950, as amended (P.L. 81-475).

E00600-130

Reports on Rentals. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Public Housing--Leased (14.148).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1410(c), 1421B).

E00600-131

Disposition of Acquired Single Family Properties. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Property Disposition (14.000).

Budget Function/Subfunction: Community development (451).

Program Authorization: National Housing Act, 1934, as amended (P.L. 73-479).

E00600-132

E00600-132

College Housing Loan. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: College Housing (14.000).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1950, as amended (P.L. 81-475).

E00600-133

Area Managers Activities. June 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Property Disposition (14.000).

Budget Function/Subfunction: Community development (451).

Program Authorization: National Housing Act, 1934, as amended (P.L. 73-479).

E00600-134

Multifamily Acquired Properties Rehabilitation/Disposition. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Property Disposition (14.000).

Budget Function/Subfunction: Community development (451).

Program Authorization: National Housing Act, 1934, as amended (P.L. 73-479).

E00600-135

Loan Management Functions on Multifamily Projects. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Multi-Family Mortgage (14.000).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479).

E00600-136

Operating Subsidies--Low Rent Housing Programs. 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Public Housing--Leased (14.148).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1410(c), 1421B).

Department of Housing and Urban Development

E00600-137

Equal Opportunity--Program Evaluation and Compliance. 1974-1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Office of Equal Opportunity, HUD.

Program(s) Evaluated: Equal Opportunity in Housing (14.400).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Civil Rights Amendments of 1968 (P.L. 90-284; 42 USC 3601); Housing and Community Development Act of 1974 (P.L. 93-383; 12 USC 1735; 42 USC 3605-3606, 3631).

E00600-138

Housing Assistance Research. August 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Office of Policy Development and Research, HUD.

Program(s) Evaluated: Mortgage Insurance--Experimental Homes (14.152).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: Housing Act of 1961 (P.L. 87-70; 12 USC 1715(x)).

E00600-139

Bulk Sales--Rehabilitation, Property Disposition: Banner Ltd. August 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Office of Policy Development and Research, HUD.

Program(s) Evaluated: Property Disposition (14.000).

Budget Function/Subfunction: Community development (451).

Program Authorization: National Housing Act, 1934, as amended (P.L. 73-479).

E00600-140

National Flood Insurance. December 1974.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Federal Insurance Administration, HUD.

Program(s) Evaluated: Flood Insurance (14.001).

Budget Function/Subfunction: Disaster relief and insurance (453).

Program Authorization: Housing and Urban Development of 1968 (P.L. 90-448; 42 USC 4011, 4127, 4056, 4021); Flood Disaster Protection Act of 1973 (P.L. 93-234).

E00600-141

Limited Review--Soul City. January 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00600-142

Limited Review--Riverton and Gananda. March 1975.

Agency Sponsoring Evaluation: Office of Audit, Inspector General.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-014

Summary Benefits of Title VII-Type Suburban New Communities. J. Underhill. May 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-015

St. Charles Communities Impact Evaluation Study: a comprehensive planning, research and demonstration program. Tri-County Council for Southern Maryland; Barton Aschman Associates, Inc. October 1973.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-016

The Economic Impact of Reston on Fairfax County Government. Booz, Allen and Hamilton, Inc. April 2, 1973.

Agency Sponsoring Evaluation: Gulf Reston, Inc.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-017

Social Aspects of New Communities. Metropolitan Applied Research Institute. May 1972.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-018

Modernizing the Central City--New Towns Intown and Beyond. Harvey S. Perloff. University of Southern California. June 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-019

Advantages of New Communities. R. Anderson. May 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-020

Comparative Summary of the Development Task in New Communities and PUD's (Planned Unit Developments). R. Anderson. May 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-021

E00601-021

Impact of Satellite New Communities on Central Cities. Nelson Foote. February 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-022

Economic and Fiscal Effects of Satellite New Communities on Control Cities. J. Dickie. May 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-023

The Necessity and Impact of Federal Guarantees and Other Financial Aid on New Community Development. R. Anderson. May 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-024

New Communities and the States--New Community Potential. Richard Langendorf. May 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-143

Future Direction for New Communities--Key Issues. Fred McLaughlin. January 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Department of Housing and Urban Development

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-144

New Communities and the Energy Crisis. November 1973.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-145

Some Trends and Conditions Affecting New Communities. Nelson Foote. February 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-146

The Federal New Community Program in the Context of an Emerging National Growth Policy. Peter C. Labovity. November 1973.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-147

New Communities Program, Options for Future Directions. Fred McLaughlin. December 1973.

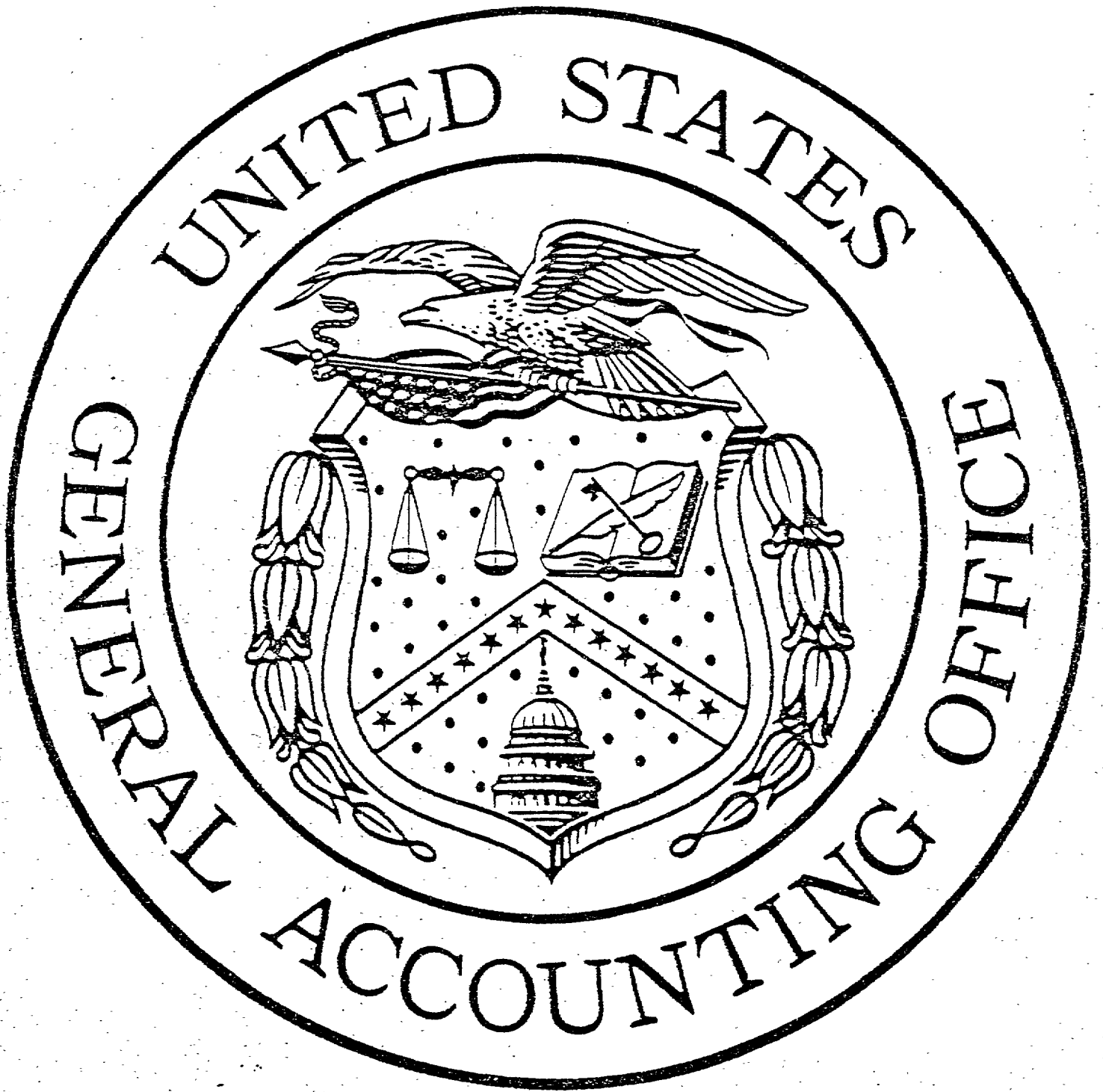
Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

3 OF 10



Program Authorization: Urban Growth and Community Development Act of 1970 (P.L. 91-609).

E00601-148

New-Towns-in-Town: their potential and problems. J. Underhill. February 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-149

Does the New Communities Program Suffer from Multiple Objectives? J. Underhill. September 1973.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-150

Is the Title VII Program a Viable Program Without Basic or Supplementary Grants? J. Underhill. September 1973.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-151

Are HUD Processing Procedures an Onerous Disincentive to Other than Marginal Developers? J. Underhill. September 1973.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-152

Summary Recommendations on Low and Moderate Income Housing. January 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-153

Subsidized Housing in New Communities. February 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-154

National Growth Policy and New Communities. Fred McLaughlin. February 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-155

Alternative Federal Policies for New Community Development. Buffalo Organization for Social and Technical Innovation. October 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-156

Strategies for New Communities. Fred McLaughlin, Collison. Buffalo Organization for Social and Technical Innovation. April 30, 1974.

E00601-156

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-157

Trends and Implications of No-Growth Movement for New Communities. Green & Green. July 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-158

Report to House Subcommittee on HUD Independent Agencies: Federal assistance to new communities. April 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-159

Cost of Sprawl: comparison of planned vs. unplanned communities. Real Estate Resource Corporation. April 1974.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-160

New Communities Policy and Options Paper. June 1975.

Agency Sponsoring Evaluation: Office of Policy Development and Research, HUD.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Department of Housing and Urban Development

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-161

New Communities, USA. University of North Carolina. June 1975.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00601-162

A Delphi Report: Future of New Communities. Burt Nanus and David Mars. University of California, Los Angeles (UCLA). June 1975.

Agency Sponsoring Evaluation: New Communities Administration, Community Planning and Development.

Agency Managing Program: New Communities Administration, Community Planning and Development.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609).

E00602-032

Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs. (Community Development Evaluation Series, No. 8). Marshall Kaplan, Gans and Kahn; and the Research Group. August 1972.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Comprehensive Planning Assistance (14.203).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1954, as amended (P.L. 83-560; 40 USC 461).

Public Availability: GPO, Stock No. 2300-00206, \$1.50.

This is a joint HUD-HEW report which speaks directly to the issue of building local capacity in the context of existing coordinative mechanisms; such as, the OMB Circular A-95, the Law Enforcement Assistance Administration plan, Labor's CAMPs mechanisms, HEW's 314(b) (Comprehensive Area Health Planning), 4C's Councils, Elementary and Secondary Education Act, certification sign-off, and OEO's Community Action Agency Check-point procedure. The report also contains descriptions of each of the mechanisms in five cities.

E00602-033

Use of the CDA Sign-off on Model Cities for Planning and Coordinating HEW Programs. (Community Development Evaluation Series, No. 9). Marshall, Kaplan, Gans and Kahn; and the Research Group. August 1972.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

Public Availability: GPO, Stock No. 2300-00207, \$1.50.

This is a joint HUD-HEW report which discusses the general utility of the HEW Model Cities sign-off mechanism, and includes recommendations for improving HEW sign-off procedures and the role played by the local chief executive. Because the report involves interviews with Federal, as well as local staff, it presents an informative composite of views.

E00602-034

The Federal Grant Process: an analysis of the use of supplemental and categorical funds in the model cities program. (Community Development Evaluation Series, No. 10). Marshall, Kaplan, Gans and Kahn; and the Research Group. August 1972.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

Public Availability: GPO, Stock No. 2340-00208, \$.85.

This report examines local use of Model Cities supplemental funds, which are the flexible monies provided local governments for activities under the Model Cities program. Because one of the legislative objectives of supplemental funds is to permit more innovative programming at the local level, the report looks at the actual use of the funds, the relationship between supplemental funds and the categorical programs and funds that were part of the Model Cities package, use of joint-funding sources in the Model Cities program, and program transfers from supplemental to categorical funds. The report is timely for cities as they consider the use of revenue sharing funds.

E00602-035

The Changing Demand for Local Capacity and Analysis of Functional Programming and Policy Planning. (Community Development Evaluation Series, No. 12). Marshall, Kaplan, Gans and Kahn; and the Research Group. August 1972.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

Public Availability: GPO, Stock No. 2300-00209, \$1.50.

This report is based upon a case study approach of five cities. The report examines two major types of local capacity: (a) the local capacity for such skills as program budgeting, resource allocation, and evaluation; and (b) the policy planning framework within which these activities are undertaken. The report also contains brief sketches of the development of demand for local programming and planning skills, as well as a discussion of present trends in Federal programming and the impact they are likely to have on local staffing patterns.

E00602-036

Planned Variations: first year survey. (Community Development Evaluation Series, No. 7). October 1972.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

Public Availability: GPO, Stock No. 2300-00205, \$2.75.

This report examines the experiences of the twenty (20) Planned Variation cities during the first year of the demonstration. The findings focus on the ability of the cities to coordinate Federal funds and set their own priorities, and on the amount of bureaucratic paperwork and the extent of delay in processing.

E00602-037

Local Government Participation in the A-95 Project Notification and Review System. (Community Development Evaluation Series, No. 11). Marshall, Kaplan, Gans and Kahn; and the Research Group. March 1973.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: A-95 (14.000); Model Cities (14.300).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Intergovernmental Cooperation Act of 1968 (P.L. 90-577).

Public Availability: GPO, Stock No. 2300-00216, \$.65.

This report is a joint HUD-HEW report which discusses the A-95 review process. Based upon the Intergovernmental Coordination Act of 1968, A-95 (an Office of Management and Budget issuance) is an attempt to coordinate Federal development aid for an area with the area's existing comprehensive plans. This report contains recommendations for both the Federal and the local levels of government and pinpoints issues

E00602-037

which must be resolved if local governments are to participate actively and effectively.

E00602-038

Annual Arrangements: improving coordination of community development programs. (Community Development Evaluation Series, No. 14). May 1973.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14,300).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

Public Availability: GPO, Stock No. 2300-00236, \$1.25.

This report is a follow-up of Community Development Evaluation Series #6, Annual Arrangements: Phase I, which described Annual Arrangements as an innovative mechanism for delivering Federal funds to units of general purpose local government in a way that emphasizes comprehensive planning and program coordination under the direction of the local chief executive. This is a more extensive report based on data from 84 Annual Arrangement cities. The focus of the report is on the impact the arrangement process has had on local government processes and the extent to which it has assisted cities in preparing for special revenue sharing.

E00602-039

Local Government Approaches to Capacity Building. (Community Development Evaluation Series, No. 15). National League of Cities/U.S. Conference of Mayors. May 1973.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Program Management (14,000).

Budget Function/Subfunction: Community development (451).

Public Availability: GPO, Stock No. 2300-00223, \$1.

This report analyzes the administrative, professional, and technical skills needed in local governments, and the sources available to fill these needs. It also examines the implied manpower problems that will be characteristic of urban environments in five to fifteen years, and the effect current manpower policies and programs have on local government capacity to perform in the area of manpower delivery.

E00602-040

The Model Cities Program: a comparative analysis of city response patterns and their relation to future urban policy. Marshall, Kaplan, Gans and Kahn; and the Research Group. July 1973.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14,300).

Department of Housing and Urban Development

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

Public Availability: GPO, Stock No. 2300-00240, \$1.25.

This report compares the local response of 21 cities to Model Cities. It also identifies and analyzes the reasons for similarities as well as differences in city response patterns. Recommendations based on Model City experiences are expected to strengthen government efforts to define more responsive Federal, state and local roles in meeting the Nation's urban problems.

E00602-041

The Model Cities Program: a comparative analysis of participating cities, process, product, performance and prediction. Marshall, Kaplan, Gans and Kahn; and the Research Group. July 1973.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14,300).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

Public Availability: GPO, Stock No. 2300-00242, \$.55.

This report is directed at testing some of the initial findings generated from previously published analyses of the Model Cities program in various representative cities. It provides data on the nature of the planning process and the first year of program activities in 147 Model Cities. It shows how relationships between and among local chief executives and Model Cities resident groups affected the planning and execution of plans in an area.

E00602-042

The Model Cities Program: ten model cities--a comparative analysis of second round planning years. Marshall, Kaplan, Gans and Kahn; and the Research Group. July 1973.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14,300).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754).

Public Availability: GPO, Stock No. 2300-00243, \$2.10.

This report summarizes four Model Cities planning systems, including the parity planning model, the staff-dominant planning system, and the staff and resident-influence systems as performed in 10 second-round Model Cities. It shows how the parity city dealt successfully with each of the five performance criteria, and by comparison with the submissions from the other nine second-round cities, developed the best plan. It also notes how during the planning year of second-round Model Cities programs, HUD shifted its emphasis in several of its performance criteria and emphasized the importance of the chief executive's role.

E00602-043

Urban Renewal Land Disposition Studies: Kingston, N.Y. Real Estate Research Corporation. February 1974.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: NTIS, PB 231 133/AS.

E00602-044

An Assessment of the Open Space Certification Procedure. Working paper. August 1973.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Open Space Land (14.303).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1961, as amended (P.L. 87-70).

Public Availability: GPO.

E00602-045

The Impact of Exogenous Factors Upon Urban Renewal Land Disposition. Real Estate Research Corporation. December 1973.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: NTIS, PB 228 675/AS.

E00602-046

Guidelines for Urban Renewal Land Disposition. Real Estate Research Corporation. H-3610R-HUD. April 1975.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: GPO.

E00602-047

Urban Renewal Land Disposition Study: literature search. Real Estate Research Corporation. February 1974.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: NTIS, PB 228 674/AS.

E00602-048

Urban Renewal Land Disposition Studies: Boston, Mass. Real Estate Research Corporation. February 1974.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: NTIS, PB 231 152/AS.

E00602-049

Urban Renewal Land Disposition Studies: Supplementary Cities. Real Estate Research Corp. April 1974.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: NTIS, PB 232 014/AS.

E00602-050

Survey of Housing Rehabilitation Loan and Grant Program. Working paper. April 1974.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Housing Rehabilitation Grants (14.220).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Housing and Urban Development Act of 1965 (P.L. 89-117, sec. 106(2); 42 USC 1406).

E00602-051

E00602-051

One for One OE/CPD Replacement Housing: the impact of section 105(h) on the NDP/Urban Renewal Program. Working paper. June 1974.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: GPO.

E00602-052

Linear Parkway: bay area rapid transit urban beautification demonstration project. San Francisco Bay Area Rapid Transit District. July 1974.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Open Space Land (14.303).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1961, as amended (P.L. 87-70).

Public Availability: GPO.

E00602-053

Open Space and Recreation Opportunity in America's Inner Cities. National Recreation and Parks Association. H-1286-HUD. July 1974.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Open Space Land (14.303).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1961, as amended (P.L. 87-70).

Public Availability: GPO.

E00602-054

Evaluating Local Urban Renewal Projects. Real Estate Research Corporation. H-3610R-HUD. April 1975.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: GPO.

Department of Housing and Urban Development

E00602-055

The Future of Local Urban Development. Real Estate Research Corporation. H-3610R-HUD. April 1975.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: GPO.

E00602-056

Urban Renewal Land Disposition Studies: Durham, NC. Real Estate Research Corporation. February 1974.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: NTIS, PB 231 139/AS.

E00602-057

Urban Renewal Land Disposition Studies: St. Paul, Minn. Real Estate Research Corporation. February 1974.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: NTIS, PB 231 140/AS.

E00602-058

Urban Renewal Land Disposition Studies: Louisville, Ky. Real Estate Research Corporation. February 1974.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: NTIS, PB 231 151/AS.

E00602-059

Urban Renewal Land Disposition Studies: Tulsa, Oklahoma. Real Estate Research Corporation. February 1974.

Agency Sponsoring Evaluation: Office of Evaluation, Community Planning and Development.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171).

Public Availability: NTIS, PB 231 274/AS.

E00603-031

Assessment of Programs Assigned to Assistant Secretary for Housing Production and Mortgage Credit. April 1973.

Agency Sponsoring Evaluation: Housing Production and Mortgage Credit/FHA, HUD.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Housing Production and Mortgage Credit (14.000).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401); Community development (451).

Program Authorization: National Housing Act, 1934 (P.L. 73-479).

E00604-026

Management Performance in Public Housing. Urban Institute, Washington, D.C. H-990-HUD. 1973-1975.

Agency Sponsoring Evaluation: Office of Program Evaluation, Housing Management.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Public Housing (14.146).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401-1435).

E00604-027

Evaluation of the Operation of Concentrated Default Counseling. Organization for Social and Technical Innovation. H-1881-HUD. February 1973.

Agency Sponsoring Evaluation: Office of Management Information and Field Support, Housing Management.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Counseling Assistance (14.000).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401); Public assistance and other income supplements (604).

Program Authorization: National Housing Act, 1934, as amended (P.L. 73-479; P.L. 90-448).

E00604-028

Evaluation of HUD-Mandated Model Lease and Grievance Procedures. March 1973.

Agency Sponsoring Evaluation: Office of Program Evaluation, Housing Management.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Public Housing (14.146).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401-1435).

E00604-029

Evaluation of Housing Management Goals Management System. Arthur Young and Co. March 1975.

Agency Sponsoring Evaluation: Office of Program Evaluation, Housing Management.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Property Disposition (14.000); Loan Management (14.000); Housing (14.000); Counseling Assistance (14.000).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401); Public assistance and other income supplements (604).

Program Authorization: National Housing Act, 1934 (P.L. 73-479).

E00604-030

Target Problem Projects Evaluation. Urban Institute, Washington, D.C. H-2162R-HUD. 1975.

Agency Sponsoring Evaluation: Office of Program Evaluation, Housing Management.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Public Housing (14.146).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401-1435).

E00690-001

Greater Benefits Can Be Derived from Improved HUD Audits of Program Participants. B-114860. November 25, 1974. 31 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of Housing and Urban Development.

Program(s) Evaluated: Housing Management (14.000).

Budget Function/Subfunction: Community development (451).

Program Authorization: National Housing Act, 1934 (P.L. 73-479).

Public Availability: GAO, Distribution Section.

The Congress and its committees have shown considerable interest in Federal agencies' use of their auditing function as a management control to help insure proper expenditure of Federal funds and efficient administration of authorized programs. This report shows the need for HUD to improve the effectiveness of two aspects of its audit activities: identifying the causes of deficiencies noted in audit reports; and clearing findings in project reviews.

E00691-001

Improvements Needed in Department of Housing and Urban Development's Administration of the New Community Development Program. July 27, 1973. 8 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: New Communities Administration, HUD.

Program(s) Evaluated: New Communities (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Urban Growth and New Community Development Act of 1970 (P.L. 91-609); Housing and Urban Development Act of 1968 (P.L. 90-448).

Public Availability: GAO, Distribution Section.

E00692-001

Procedures and Practices for Providing Technical Assistance to Cities Participating in the Model Cities Program. September 19, 1972. 4 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Housing and Urban Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-002

Need for More Timely and Accurate Data on the Operations of Certain Community Development Programs. October 13, 1972. 4 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing and Community Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-003

Selected Aspects of the Boston, Massachusetts Model Cities Program. December 11, 1972. 6 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Housing and Urban Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-004

Opportunities for Improving the Model Cities Program in San Antonio, Texas. B-171500. January 9, 1973. 74 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Housing and Urban Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

Because of its demonstrating new approaches to solving social, economic and physical problems of the cities, GAO examined major areas of the planning, development and implementation of the Model Cities Program in San Antonio. The city received \$177,772 for planning and \$9,590,000 in each of three action years as grants from HUD. As of June 1971--13 months after start of the project--one new school had been constructed and nine schools had been remodeled. A \$2.9 million Apache Creek channel improvement project to eliminate major flooding in the model neighborhood called for a series of new bridges and bridge dam. Two years after start of the project, one bridge had been completed, four were under construction, three had been designed and two others were being designed. Success of the Model Cities Program, the report said, depends on continuous financial, technical and administrative support by participating Federal, state, and local agencies. Also essential are citizen participation, effective program evaluation, and coordination among various agencies.

E00692-005

Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana. B-171500. January 16, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Housing and Urban Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

Kansas City and St. Louis, Missouri, and New Orleans, Louisiana, had varying degrees of success in attaining the annual goals of their Model Cities projects in the manpower, economic development, and health areas. In education, all three cities reached their annual project goals. The following weaknesses in HUD's and city demonstration agencies' administration of the program may have affected the attainment of project goals: (1) the cities developed plans using data on neighborhood conditions that was, in many cases, neither current nor complete; (2) the cities used HUD supplemental funds to expand existing programs instead of to develop new and innovative projects; (3) the cities generally were not successful in obtaining Federal grant-in-aid funds or State and local funds to support the programs; and (4) the cities did not develop suitable evaluation plans to measure progress. Although HUD generally agreed with GAO's findings and recommendations, it said that city demonstration agencies' administrative capabilities and economic conditions, rather than insufficient data, were the more salient causes of difficulties in the manpower and economic development areas. It said also that neither the statute nor HUD's guidelines required innovation within each project or as an essential approach to the cities' longstanding problems. GAO believes that the legislative history of the Model Cities Act clearly shows that the Congress anticipated that emphasis would be placed on new and innovative projects and that supplemental funds would be used for such projects.

E00692-006

Selected Aspects of the Neighborhood Development Program. February 2, 1973. 14 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Neighborhood Development (14.306); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171); Housing and Community Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-007

Prices Paid for Property Acquired in Urban Renewal Programs in the District of Columbia. B-118754. April 3, 1973. 30 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949, as amended (P.L. 81-171); Housing and Community Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-008

Review of Certain Aspects of the Model Cities Program in Newark, New Jersey. B-171500. May 7, 1973. 9 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Housing and Urban Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-011

Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia. B-171500. July 25, 1973. 60 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Housing Rehabilitation Grants (14.220).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949 (P.L. 89-171; 42 USC 1406).

Public Availability: GAO, Distribution Section.

E00692-012

Review of Certain Aspects of the Urban Renewal Program in Lynn, Massachusetts. B-118754. August 1, 1973. 61 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949 (P.L. 81-171); Housing and Community Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-013

Survey of Administration of the Planned Variations Program. B-171500. August 27, 1973. 5 pp.

E00692-013

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Comprehensive Planning Assistance (14.203).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1954, as amended (P.L. 83-560; 40 USC 461).

Public Availability: GAO, Distribution Section.

E00692-014

Review of Certain Aspects of the Grand Rapids, Michigan, Model Cities Program. B-171500. November 1, 1973. 28 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Housing and Urban Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-017

Opportunities for Improvement in Coordination of Housing Planning Efforts between State and Interstate Regional Planning Agencies and in the Department of Housing and Urban Development Guidelines on Updating Housing Studies. February 20, 1974. 3 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Comprehensive Planning Assistance (14.203).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1954, as amended (P.L. 83-560; 40 USC 461); Housing and Urban Development Act of 1968 (P.L. 90-448).

Public Availability: GAO, Distribution Section.

E00692-018

Need to Improve Management of Urban Renewal Projects. March 28, 1974. 7 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Department of Housing and Urban Development

Program Authorization: Housing Act of 1949 (P.L. 81-171); Housing and Community Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-019

Review of the San Jose, California, Model Cities Program. B-171500. April 19, 1974. 32 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300); Community Development Block Grants (14.216).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Housing and Urban Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-020

Review of Certain Aspects of the Federally Assisted Code Enforcement Program in Denver, Colorado. B-118754. May 7, 1974. 16 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Code Enforcement (14.309); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1949 (P.L. 81-171); Housing and Community Development Act of 1974 (P.L. 93-383); Housing Act of 1965 (42 USC 1468).

Public Availability: GAO, Distribution Section.

E00692-021

Review of the Model Cities Program in East St. Louis, Illinois. B-171500. July 30, 1974. 5 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Housing and Urban Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-022

Need for HUD to Prepare an Environmental Impact Statement for Town of Leesburg, Virginia, Potomac River Water Supply Project. B-181960. August 7, 1974. 6 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Governmental Management--Technical Assistance and Information Services (14.216).

Budget Function/Subfunction: Community development (451).

Program Authorization: Department of Housing and Urban Development Act of 1965 (P.L. 89-174).

Public Availability: GAO, Distribution Section.

E00692-023

Getting the New Communities Program Started; progress and problems. B-170971. November 15, 1974. 70 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: New Communities Administration, HUD.

Program(s) Evaluated: New Communities--Loan Guarantees (14.207).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing and Urban Development Act of 1970 (P.L. 91-609); Housing and Urban Development Act of 1968 (P.L. 90-448).

Public Availability: GAO, Distribution Section.

From 1968 through January 1, 1974, HUD guaranteed obligations of about \$222 million for 10 new community projects. HUD is committed to guarantee \$120.5 million for five other projects and was determined that two more are eligible for certain assistance. GAO reported that few projects were being undertaken in certain locations; four projects HUD guaranteed had questionable market and financial feasibility; HUD's financial monitoring system was inadequate; and the Federal government's collateral for the guaranteed obligations might be inadequate. GAO suggested that if the Congress wished to encourage development of new community projects--particularly in central cities, small towns, and rural areas--it should consider: increasing the \$50 million guarantee limit, providing grants for land acquisition, and providing additional financial incentives to state and local governments; and providing additional tax incentives to encourage businesses and industries to locate in new community projects in small towns and rural areas. GAO also recommended that HUD reevaluate the current market and financial feasibility of all its projects, and that HUD improve its program administration in other areas.

E00692-024

Review of the Model Cities Program in Lansing, Michigan. B-178677. November 22, 1974. 6 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Housing and Urban Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-025

Review of the Model Cities Program in Dayton, Ohio. RED-75-317. December 23, 1974. 6 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Housing and Urban Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-027

Follow-Up Review of the Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia. February 7, 1975. 6 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Housing Rehabilitation Grants (14.220).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing Act of 1964 (42 USC 1452); Housing Act of 1949, as amended (P.L. 59-117; 42 USC 1966).

Public Availability: GAO, Distribution Section.

E00692-028

Review of Certain Allegations Concerning the Model Cities Planning Council in Dayton, Ohio. RED-75-355. April 24, 1975. 15 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Model Cities (14.300); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: Demonstration Cities and Metropolitan Development Act of 1966 (P.L. 89-754); Housing and Urban Development Act of 1974 (P.L. 93-383).

Public Availability: GAO, Distribution Section.

E00692-999

The Low Rent Housing Project on Quebec Road in Cincinnati. B-173350. July 25, 1972. 22 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Public Housing--Acquisition (14.146).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401-1435).

Public Availability: GAO, Distribution Section.

This is a review of a segment of the project being developed under the turnkey method for the Cincinnati Metropolitan Housing Authority with HUD financial assistance. The report discusses acquisition of the site, cost of site improvements and structures, HUD policies on public hearings, and possible associations among turnkey developers in Ohio, Kentucky and Michigan.

E00693-000

Weaknesses in Administration of the Home-Ownership Program for Low-Income Families. August 1, 1972. 6 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Public Housing--Homeownership for Low Income Families (14.147).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401-1435).

Public Availability: GAO, Distribution Section.

E00693-001

HUD's Comparison of Costs under Sections 202 and 236 for Housing Projects for the Elderly. B-167637. August 1, 1972. 39 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Mortgage Insurance--Rental Housing for the Elderly (14.138).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: Housing Act of 1959 (P.L. 86-372; 73 USC 654; 12 USC 1715(v)).

Public Availability: GAO, Distribution Section.

E00693-002

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans. B-114860. October 20, 1972.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Mortgage Insurance--Homes (14.117); Veterans Housing--Guaranteed and Insured Loans (64.114); Veterans Housing--Direct Loans and Advances (64.113).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401); Veterans housing (704).

Program Authorization: National Housing Act, 1934 (P.L. 73-479); Serviceman's Readjustment Act of 1944, as amended (38 USC 1801); Housing Act of 1950 (38 USC 1811); Veterans Readjustment Benefit Act of 1966 (38 USC 1818).

Public Availability: GAO, Distribution Section.

The Government could reduce foreclosure costs on HUD-insured, VA-guaranteed, and VA-financed loans by using the 'power of sale' method of foreclosure more widely. Costs could further be reduced if HUD and VA placed more emphasis on the 'voluntary deed' method of terminating such loans; if VA paid mortgage claims on defaulted loans as HUD does; and if HUD relied on mortgagees' title evidence for foreclosed properties. HUD and VA generally agreed with GAO's recommendation that the Congress establish a Federal power-of-sale foreclosure law for all Federally financed, insured, or guaranteed home mortgages. HUD supported new initiatives to encourage mortgagees to accept voluntary deeds but VA said its existing policy was adequate. VA objected to adopting HUD's policy of paying mortgagee claims on the basis that its existing policies make VA loans attractive investments and encourage mortgagees to be lenient with defaulting mortgagors. GAO believes HUD's policy also encourages leniency. HUD objected to discontinuing the purchase of title evidence for foreclosed properties because many foreclosures are faulty. GAO believes HUD's risk of title defects is minimal and that the costs it incurs for additional title evidence are unnecessary.

E00693-003

Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs. B-171630. November 22, 1972.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Interest Reduction Payments (14.103); Interest Subsidy (14.104).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: National Housing Act, 1934, as amended (P.L. 90-448).

Public Availability: GAO, Distribution Section.

As authorized by sections 235 and 236 of the National Housing Act, HUD makes monthly assistance payments to mortgagees so that low income families may purchase or rent housing. HUD insures that the mortgage loans will be paid and charges the mortgagees for the incurrence premiums. HUD's payments include the monthly amounts of the mortgage insurance premiums; the mortgagees annually pay the accumulated premiums to HUD in the anniversary month of each mortgage. Because HUD's payments include the mortgage insurance premiums, HUD is paying out funds which it must collect from the mortgagees, so the government cannot use such funds for an average of six months each year. GAO estimated that the interest costs applicable to the

insurance premiums for the sections 235 and 236 mortgage balances of about \$11 billion would be at least \$1.6 million during fiscal year 1973. GAO proposed that HUD save such interest costs by deducting the premiums from the monthly assistance payments. HUD did not agree with this proposal, citing certain reasons with which GAO did not agree. GAO therefore recommended that the Congress authorize HUD to waive the mortgage insurance premiums for the section 235 and 236 housing programs. Such a waiver would be similar to the waiver of premiums provided for mortgages insured under the low- and moderate-income rental housing program authorized by section 221(d)(3) of the National Housing Act.

E00693-004

Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs. B-171630. December 29, 1972.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD; Farmers Home Admin., USDA.

Program(s) Evaluated: Interest Subsidy--Acquisition and Rehabilitation (14.104); Low-to-Moderate Income Housing Loans (10.410).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, as amended (P.L. 90-448); Housing Act of 1949, as amended (P.L. 89-117; 42 USC 1472a).

Public Availability: GAO, Distribution Section.

HUD and Agriculture (1) had not allocated program resources so as to insure that all eligible families had the same opportunity to participate, (2) had approved for mortgage insurance or loans housing with significant defects which could affect occupants' health and safety, (3) had not provided their field offices with adequate guidelines for the types of housing eligible under homeownership assistance programs, and (4) had not determined what caused mortgage defaults or ways of reducing the default rate. Because the government can borrow money at rates lower than those available in the private mortgage money market, the government could greatly reduce its cost if the two agencies' programs were financed directly by the government rather than by private lenders. For example, if Treasury borrowings financed HUD loans planned for fiscal year 1973 through 1978, the present value of the savings to the government would be about \$1 billion. HUD and Agriculture have increasingly considered need in allocating program resources, but GAO believes that both agencies should identify true needs and allocate resources accordingly. Both HUD and Agriculture acted to clarify the types of program housing to be provided and both mentioned procedures for finding out what caused defaults. However, they should emphasize analyzing causes of defaults and minimizing future defaults. HUD, the Treasury Department, and the Office of Management and Budget agreed that the cost of government financing would be lower than the cost of financing through private lenders but said that factors other than cost must be considered.

E00693-005

Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs. B-171630. January 10, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Interest Reduction Payments (14.103).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401); Public assistance and other income supplements (604).

Program Authorization: Housing Act of 1968 (P.L. 90-448; 12 USC 1715).

Public Availability: GAO, Distribution Section.

HUD had not made sure that all eligible families had the same opportunity to participate in the rental assistance program regardless of where they lived. Also, HUD had not adequately identified the need for allocating the limited program resources. HUD could save about \$1.2 billion in program costs if mortgage loans for fiscal years 1973-78 could be financed directly through government borrowings, rather than through private lenders, because of the lower interest rate at which the government can borrow. GAO questioned whether existing incentives, such as low capital investment and income tax shelters, would be sufficient to make sure that private investors gave high-quality management services over the lives of the projects. HUD said it had been considering a more intensive effort to stimulate housing production where it was most needed. HUD, the Treasury Department, and the Office of Management and Budget agreed that direct government financing would result in cost savings, but they favored the present method of private financing because of other factors. Treasury questioned whether the existing tax shelters encouraged investors to sell or neglect properties after the shelters expired. HUD believed that, rather than changing existing incentives, new incentives were needed and said it planned to explore the matter in depth.

E00693-006

Need for Improvement in Providing Funds to Local Public Agencies. January 16, 1973. 7 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Community Planning and Development, HUD.

Program(s) Evaluated: Urban Renewal (14.307); Community Development Block Grants (14.218).

Budget Function/Subfunction: Community development (451).

Program Authorization: National Housing Act, 1934 (P.L. 73-479).

Public Availability: GAO, Distribution Section.

E00693-008

Certain Aspects of the Contract Award for a Low-Rent Housing Project for the Elderly in Rock Island, Illinois. B-177148. April 17, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Mortgage Insurance--Rental Housing for the Elderly (14.138).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: Housing Act of 1959 (P.L. 86-372; 73 USC 654; 12 USC 1715(v)).

Public Availability: GAO, Distribution Section.

E00693-010

Observation on Program to Repair Existing Houses Insured under the Section 235 Program--District of Columbia Area Office. July 16, 1973. 2 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Interest Subsidy (14.104; 14.105; 14.106).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: National Housing Act, 1934, as amended (P.L. 90-448; 12 USC 1715).

Public Availability: GAO, Distribution Section.

E00693-011

Processes for Approving and Monitoring Nonsupervised Mortgages. B-114860. November 8, 1973. 54 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Mortgage Insurance--Homes (14.117).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479; 12 USC 1709, 1715).

Public Availability: GAO, Distribution Section.

E00693-013

Opportunities for Energy Conservation in Federally Insured Homes by Revising Current Thermal Standards and Establishing Additional Standards Where Necessary. B-114860. January 3, 1974. 8 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Mortgage Insurance--Homes (14.117).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479; 12 USC 1709, 1715).

Public Availability: GAO, Distribution Section.

E00693-015

Disposal of Acquired Properties in Detroit, Michigan: progress and problems. B-114860. March 12, 1974.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Mortgage Insurance--Homes (14.117).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479; 12 USC 1709, 1715).

Public Availability: GAO, Distribution Section.

In HUD's Detroit office area, the inventory of acquired properties rose from 810 to 15,484 properties during the 4-year period from July 1, 1969, through July 31, 1973. Concerned over the continuing increase in inventory, HUD sent a task force to Detroit in May 1972 to help prevent further foreclosures and to dispose of its huge inventory. The special HUD task force helped the office improve its property disposition by increasing the staff to handle the growing volume of properties; instituting new methods to contract for needed repairs; intensifying efforts to demolish houses not worth repairing so repaired houses and vacant lots could be sold; and developing and implementing new techniques to sell all homes.

E00693-016

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. B-114860. March 14, 1974.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Mortgage Insurance--Homes in Urban Renewal Areas (14.122); Mortgage Insurance--Rental Housing (14.134); Mortgage Insurance--Rental Housing for the Elderly (14.138); Mortgage Insurance--Nursing Homes (14.129).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401); Public assistance and other income supplements (604).

Program Authorization: National Housing Act, 1934 (P.L. 73-479; 12 USC 1709, 1715).

Public Availability: GAO, Distribution Section.

If the mortgagor on a HUD-insured multifamily mortgage defaults, the mortgagee may assign the mortgage note to HUD and collect insurance benefits. HUD may hold the mortgage and give the mortgagor an opportunity for reinstatement, or it may proceed with acquisition of the property title through foreclosure. As of March 31, 1973, HUD held mortgages for 1,098 multifamily projects with outstanding principal balances of about \$1.2 billion. GAO reported that its review of selected activities of 15 projects had disclosed problems that would result in substantial future losses unless corrected. Controls over the receipt and disbursement of project funds had been inadequate, HUD had not adequately monitored the financial activities of defaulted projects, and workout arrangements had been allowed to

continue long after it had become apparent that they were not successful. Also, because there were no specific factors which field offices consistently considered in determining whether to foreclose a mortgage loan, foreclosure generally had been a last resort resulting from the project owner's complete disregard of his financial obligation. GAO recommended that HUD provide uniform guidance to its field offices for the management and monitoring of multifamily projects and require its officials to discontinue workout arrangements and seek other alternatives when it becomes apparent that the arrangement will not achieve timely reinstatement.

E00693-018

Problems in the Homeownership Opportunities Program for low Income Families (HOPLIF). B-171603. March 27, 1974. 44 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Public Housing--Home Ownership (14.147).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401-1435).

Public Availability: GAO, Distribution Section.

The homeownership opportunities program for low-income families was established in 1968 to help low-income families become homeowners. GAO reported that (1) the program had not attracted a sufficient number of qualified low-income families with homeownership potential, (2) many local housing authorities had altered selection standards to qualify some participants in the program, (3) many families accepted in the program did not have homeownership potential and had not accepted homeownership responsibilities, with the result that routine maintenance generally had not been performed and properties had been vandalized, (4) local housing authorities or homebuyer associations had failed to provide adequate homeownership training, and (5) various other factors had hindered the accomplishment of program objectives. GAO recommended that the HUD Secretary evaluate the significance of these problems and either discontinue the program or strengthen the program to insure that the objectives are achieved. HUD said that it did not have enough experience to make a definite decision on the program's effectiveness, but that it would continue its evaluations so it could make a final decision on continuing the program.

E00693-019

Observation on Housing Allowances and the Experimental Housing Allowance Program. B-171630. March 28, 1974. 58 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Office of Policy Development and Research, HUD.

Program(s) Evaluated: General Research and Technology Activity (14.506).

Budget Function/Subfunction: Community development (451).

Program Authorization: Housing and Urban Development Act of 1970, as amended (12 USC 1701).

Public Availability: GAO, Distribution Section.

In 1972 HUD began implementing one of the largest social experiments ever undertaken in the United States--the Experimental Housing Allowance Program. The Program, which was authorized by the Housing and Urban Development Act of 1970, was intended to provide low-income families with housing allowances to help them rent housing of their choice in existing standard housing units. GAO evaluated this program, analyzed other similar programs, and provided the Congress with this information and observations on the costs and likely effects of a national housing allowance program. Because the impact of a direct cash assistance program is unknown and because of the great cost involved--estimated to range from \$7 billion to \$10 billion annually--GAO recommended that the Congress, in considering future legislation authorizing a national housing allowance program, wait until the Experimental Housing Allowance Program is complete and more information is available on the likely impact of the program. Also, because the sites selected for the program were average or above average in terms of both housing quality and vacancy rates, GAO recommended that the Congress require HUD to provide assurance that the results achieved are representative of what might occur at other locations which have low housing quality and low vacancy rates.

E00693-020

Summary Information on HUD-Held Multifamily Mortgages. B-114860. April 10, 1974. 33 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Mortgage Insurance--Homes (14.117).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479; 12 USC 1709, 1715).

Public Availability: GAO, Distribution Section.

E00693-021

Department of Housing and Urban Development's 'as is' Sales Program in Flint, Michigan. B-114860. April 19, 1974. 7 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Mortgage Insurance--Homes (14.117).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479; 12 USC 1709, 1715).

Public Availability: GAO, Distribution Section.

E00693-022

Differences in Costs of Purchasing Houses in Seven States through the Departments of Housing and Urban Development and Agriculture. B-114873; B-114860. May 15, 1974. 39 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Farmers Home Administration, USDA; Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Low-to-Moderate Income Housing Loans (10.410); Mortgage Insurance--Homes (14.117).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Program Authorization: National Housing Act, 1934 (P.L. 73-479; 12 USC 1709, 1715).

Public Availability: GAO, Distribution Section.

E00693-023

Department of Housing and Urban Development Should Reduce Handling Fees Paid to Mortgagees Servicing Subsidized Mortgages. B-171630. May 31, 1974.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Housing Management, HUD.

Program(s) Evaluated: Interest Reduction Payments (14.103); Interest Subsidy (14.105).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: National Housing Act, as amended (P.L. 90-448; 12 USC 1715).

Public Availability: GAO, Distribution Section.

E00693-024

Housing for the Elderly--Factors Which Should be Evaluated Before Deciding on Low- or High-Rise Construction. RED-75-308. January 9, 1975. 23 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Public Housing--Acquisition etc. (14.146).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401-1435).

Public Availability: GAO, Distribution Section.

HUD does not identify factors to be considered by local housing authorities in determining whether low- or high-rise buildings should be constructed, nor does it require them to make analysis to determine which building is the most suitable. Before applying to HUD for financial assistance, local authorities should make thorough analyses of both low- and high-rise buildings to determine which type is most suitable. Analyses should include cost of constructing, operating, and maintaining each type of structure and availability, location, and cost of land.

E00693-025

Weaknesses in Administration of the Program to Correct Defects in Housing Insured under the Section 235 Program. RED-75-340. March 19, 1975.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit, FHA.

Program(s) Evaluated: National Housing Act, as amended (P.L. 90-448; 12 USC 1715, 1735b).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: National Housing Act, 1934 (P.L. 73-479).

Public Availability: GAO, Distribution Section.

On the basis of a HUD audit, GAO estimated that under the homeownership assistance program 39 percent of houses sold, as of November 1970, had defects. By January 31, 1973, HUD had spent about \$6.1 million to correct defects in 4,250 houses. By June 30, 1974, expenditures had risen to \$7.4 million. On the average, 298 days elapsed from HUD's receipt of a homeowner's request until completion of repairs and its acceptance of the work. Actual time range was from 18 to 791 days. Many houses contained serious defects affecting the safety and health of occupants. Headquarters and field offices had not established time standards or monitoring procedures to be sure that necessary repairs were made promptly. Lack of adequate guidance from headquarters and of control at all levels prevented effective use of staff and contributed to unnecessary delays.

E00694-001

Benefits Could Be Realized by Revising Policies and Practices for Acquiring Existing Structures for Low Rent Public Housing. B-114863. September 7, 1972.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Public Housing--Modernization of Projects (14.607).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412).

Public Availability: GAO, Distribution Section.

Although HUD's assistance to local housing authorities in acquiring privately owned standard housing had increased the supply of low-rent housing, it had not alleviated the shortage of standard housing. Also, it had not substantially reduced the number of people living in substandard housing, because many occupants of the acquired housing previously lived in standard dwellings. In addition, some displaced occupants had not been assisted in relocating and HUD's procedures did not adequately insure that the purchase prices or acquired properties were reasonable. HUD said that GAO's recommendations for limiting financial assistance to local housing authorities to acquire standard housing in specific locations where the supply of such housing exceeded the demand, and for terminating projects in the planning or early development stages, would be too restrictive. It said that a more reasonable guideline for using the direct acquisition method would be the method's effect on the private rental market. GAO agrees

that, if standard housing has a high vacancy rate and can be purchased at an acceptable price, acquiring the housing would be beneficial. HUD said it had no authority to establish admission policies for low-rent public housing. However, those most in need should be given preference, and GAO suggested that the Congress require local housing authorities to give preference to occupants of private substandard housing. HUD is taking steps to insure compliance with its relocation requirements and agrees that more adequate appraisal requirements for the direct acquisition of properties should be established.

E00694-002

Review of Modernization Program for Low-Rent Public Housing. December 5, 1972. 9 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Public Housing--Modernization of Projects (14.607).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401).

Public Availability: GAO, Distribution Section.

E00694-003

Observations on Operation of National Capital Housing Authority. December 18, 1972. 4 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Program Management (14.000).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401).

Public Availability: GAO, Distribution Section.

E00694-004

Ways to Improve Management of Acquired Residential Properties. B-156010. February 28, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD; Department of Veterans Benefits, Veterans Administration.

Program(s) Evaluated: Mortgage Insurance--Homes (14.117); Veteran Housing, Guaranteed and Insured Loans (64.114).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401); Veterans housing (704).

Program Authorization: Servicemen's Readjustment Act of 1944, as amended (38 USC 1810, 1814); Housing Act of 1950 (38 USC 1811); Veterans Readjustment Act (38 USC 1818).

Public Availability: GAO, Distribution Section.

E00694-006

Need for HUD to Collect Excessive Funds Provided to a Housing Authority. August 30, 1974.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Public Housing--Modernization of Projects (14.607).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401).

Public Availability: GAO, Distribution Section.

E00694-007

Slow Progress of Federal and State Housing Programs in Meeting Housing Needs in Rural Alaska. B-118718. September 24, 1974. 12 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit, HUD.

Program(s) Evaluated: Program Management, HUD (14.000).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1949 (42 USC 1441).

Public Availability: GAO, Distribution Section.

E00694-008

Ineffective HUD Monitoring of Indian Housing Authorities' Activities. B-114868. October 4, 1974. 14 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Management, HUD.

Program(s) Evaluated: Program Management, HUD (14.000).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401).

Public Availability: GAO, Distribution Section.

E00694-009

Inquiry into the Construction of Housing Projects on the Blackfeet Indian Reservation. RED-75-316. December 27, 1974. 10 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Public Housing--Acquisition, Construction, Rehabilitation (14.146).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401).

Public Availability: GAO, Distribution Section.

E00694-011

Monitoring of Housing and Urban Development Investigation of the Pueblo of Laguna Housing Authority. B-176794. July 13, 1973. 13 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit/FHA, HUD.

Program(s) Evaluated: Public Housing--Acquisition, Construction, Rehabilitation (14.146); Program Management, HUD (14.000).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401).

Public Availability: GAO, Distribution Section.

E00694-012

Local Housing Authorities Can Improve Their Operations and Reduce Dependence on Operating Subsidies. RED-75-321. February 11, 1975. 60 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Housing Production and Mortgage Credit, HUD; Housing Management, HUD.

Program(s) Evaluated: Public Housing--Leased (14.148); Program Management, HUD (14.000).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: United States Housing Act of 1937, as amended (P.L. 75-412; 42 USC 1401).

Public Availability: GAO, Distribution Section.

E00696-001

Need for Improved Consumer Protection in Interstate Land Sales. B-118754. June 13, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Office of Interstate Land Sales Registration, HUD.

Program(s) Evaluated: Land Sales--Undeveloped Plots In Interstate Commerce (14.004).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Interstate Land Sales Full Disclosure Act (P.L. 90-448, Title XIV sec. 1401).

Public Availability: GAO, Distribution Section.

Before selling undeveloped land to the public, developers generally must file statements of record with HUD which supply specific physical, financial, and legal facts on the land; supporting documentation; and certain certifications. Prior to or at the time of sale, developers must give buyers accurate and reliable reports disclosing all pertinent facts about the land. These reports provide buyers with information to assist them in deciding whether to buy the land. Because HUD's Office of Interstate Land Sales Registration had a small headquarters staff and no field support, we could not identify all unregistered land developers, effectively coordinate consumer protection activities with the states, or adequately verify land developers' registration information. Also, the Office could not investigate all significant

violations of the law or take prompt enforcement action against developers. HUD is considering assigning some responsibility to HUD field office personnel and is striving to eliminate its complaint backlog and act more effectively against violators. It disagreed that subdivisions should be inspected before registration, however, because it believed the states could do this better. GAO believes that, until HUD is reasonably satisfied that the states' inspection programs are adequate, the Federal government should take the initiative in making such inspections.

E00696-002

Actions Needed to Provide Greater Insurance Protection to Flood-Prone Communities. B-178737. July 19, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Federal Insurance Administration, HUD.

Program(s) Evaluated: Flood Insurance (14.001).

Budget Function/Subfunction: Disaster relief and insurance (453).

Program Authorization: Housing and Urban Development Act of 1968 (P.L. 90-448, Title XIII); Flood Disaster Protection Act of 1973 (P.L. 93-234).

Public Availability: GAO, Distribution Section.

Improvements were needed in the National Flood Insurance Program administered by the Federal Insurance Administration (FIA). The objectives of the program are to provide flood insurance and to encourage adoption of land-use and control measures by flood-prone communities. Responses to questionnaires GAO sent to a sample of nonparticipating communities indicated that many communities were not aware of the program. Maximum benefits were not available to some participating communities because the FIA had not completed the communities' flood plain studies and had not been able to review land-use and control measures submitted by the communities. Also, FIA had not monitored the implementation of the communities' land-use and control measures. The FIA Administrator agreed that improvements in these areas were needed and took action to implement GAO recommendations.

E00696-003

The Federal Crime Insurance Program: how it can be made more effective. RED-75-333. April 11, 1975.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Federal Insurance Administration, HUD.

Program(s) Evaluated: Crime Insurance (14.003).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Housing and Urban Development Act of 1970 (P.L. 91-609, Title VI).

Public Availability: GAO, Distribution Section.

HUD has not been effective in telling the public about this program; its requirements for safety devices have hindered sales of policies; and it has not reviewed private and state crime insurance programs adequately. Agents and brokers--HUD's major marketing mechanism--generally are apathetic toward selling this insurance. To improve administration of the program and to achieve its

objectives more effectively, the Congress should consider requiring HUD to: increase commissions of agents and brokers; train inner-city residents to sell Federal crime insurance; increase its promotion efforts through paid advertising; direct servicing companies to encourage agents and brokers to concentrate selling efforts in the high-crime, inner-city areas; reevaluate its protective device requirements with a view toward reducing costs; and make more in-depth reviews of the program's need in states where Federal crime insurance is not available.

E00698-001

Certain Aspects of Internal Audit Coverage Need Improvement at Federal National Mortgage Association's Regional Offices. February 15, 1974.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Government National Mortgage Association.

Program(s) Evaluated: Housing Management (14.000).

Budget Function/Subfunction: Mortgage credit and thrift insurance (401).

Public Availability: GAO, Distribution Section.

Department of the Interior**E00700-001**

Youth Conservation Corps Pilot Program, 1972. Annual report. 1972. 139 pp.

Agency Sponsoring Evaluation: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Agency Managing Program: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Program(s) Evaluated: Youth Conservation Corps Pilot Program (10.661).

Budget Function/Subfunction: Social services (506); Conservation and land management (302).

Program Authorization: Youth Conservation Corps Act of 1970 (P.L. 91-378).

Public Availability: Department of the Interior; Forest Service, USDA.

Based on information provided by the Departments of the Interior and Agriculture administrative and operational personnel involved in the Youth Conservation Corps (YCC) in 1972, this joint report details the contribution of the YCC in its second year of operation. Included in the data are the responses and achievements of the 3,495 Corpsmen who participated. Recommendations for improving the YCC, which is supposed to provide employment of America's youth, ages 15 to 18, during the summer in a healthful outdoor atmosphere, include increasing opportunities for girls, minorities, and urban and disadvantaged youth in all camps. Typical jobs performed by the youths were in water and soil conservation projects, timber management, recreation development and maintenance, visitor services, range management, fire control and several others. Classroom instruction was also an integral part of the program.

E00700-002

Youth Conservation Corps Pilot Program, 1973. Annual report. 1973. 39 pp. + appendices.

Agency Sponsoring Evaluation: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Agency Managing Program: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Program(s) Evaluated: Youth Conservation Corps Pilot Program (10.661).

Budget Function/Subfunction: Social services (506); Conservation and land management (302).

Program Authorization: Youth Conservation Act of 1970, as amended (P.L. 91-378; P.L. 92-597).

Public Availability: Department of the Interior; Forest Service, USDA.

This annual report details contributions of the Youth Conservation Corps Program to the youth and natural resources of the nation during its 3-year pilot program, with information on program administration, budget and cost data, conclusions and recommendations. Answers are provided for the concerns of Congress on long term effects on knowledge, attitude, and behavior of participants, most effective type, size, and length of camp, comparable effectiveness of educational aspects, appropriate salary level, and direction of work towards highest priority needs. The following conclusions and recommendations are presented: (1) participants received benefits of a well planned and implemented environmental education program, the quality of which was improved by expanded staff training prior to camp opening, improvement of teaching and testing materials, use of program evaluations, and camp-designed programs; (2) seven day residential camps were most advantageous to youth and natural resources, producing greatest cost benefit and social advantages; (3) the work program increased due to the involvement of enrollees in all phases of the program, with Agency stress placed on highest priority programs and obtaining the best dollar return for each investment of time and materials; (4) youths were satisfied with the program and the minimum wage salary; (5) the Administrative Services Center provided the most practical and effective means for fiscal management and services; (6) contractors and cooperators should still be used for individual programs, with support provided by military and other equipment facilities. Appendices contain University of Michigan Evaluation Summary, Work Accomplishment Statistics, and Demographic Data.

E00700-003

An Evaluation of the 1973 Youth Conservation Corps. Annual report. Jerome Johnston, and others. Institute for Social Research, University of Michigan, Ann Arbor, Mich. 1974. 158 pp.

Agency Sponsoring Evaluation: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Agency Managing Program: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Program(s) Evaluated: Youth Conservation Corps Pilot Program (10.661).

Budget Function/Subfunction: Social services (506); Conservation and land management (302); Agricultural research and services (352).

Program Authorization: Youth Conservation Corps Act of 1970, as amended (P.L. 91-378; P.L. 92-597).

Public Availability: Institute for Social Research, University of Michigan, Ann Arbor, Mich. 48106.

This report, prepared for the Forest Service, Departments of Agriculture and Interior, evaluates the 1973 Youth Conservation Corps. Based on the analysis of the responses of approximately 3,200 American youths ages 15 to 18, who participated in the program, and other data, it was recommended that: staff members be trained to identify and solve problems that might arise in the course of the summer; each regional training team have an expert in workshop design as a member of the team; the current training of environmental education teachers-one session lasting one to five days-be increased to improve the quality of teaching. Other recommendations included devising ways that camp staffs may help Corps members devise ways in which they can use their ecological learnings at home, and that the agencies set up a conference designed for this purpose.

E00700-004

Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps. John C. Scott, and others. Survey Research Center, Institute for Social Research, University of Michigan, Ann Arbor, Mich. ISR Code No. 3499. 1973. 319 pp.

Agency Sponsoring Evaluation: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Agency Managing Program: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Program(s) Evaluated: Youth Conservation Pilot Program (10.661).

Budget Function/Subfunction: Social services (506); Conservation and land management (302).

Program Authorization: Youth Conservation Corps Act of 1970 (P.L. 91-378).

Public Availability: Institute for Social Research, University of Michigan, Ann Arbor, Mich. 48106.

This report presents the results of the evaluation of the 1972 Youth Conservation Corps Program. The evaluation focuses on environmental learning, including relevant attitudes, values, and orientations toward environmental action. The primary method of gathering data was a group administered questionnaire given to all corps members in their first and final weeks of camp, and to corps administrators. Supplemental information was collected from site visits to various camps. Most relationships observed are not strong, but are fairly consistent. The first eight chapters contain analysis and interpretation of results in detail; the final chapter presents conclusions, recommendations and suggestions for future study. Primary conclusions are as follows: 1) corps members respond favorably to the program with a high level of satisfaction; 2) higher levels of satisfaction are found in camps where members are allowed to participate in government with friendly, open staffs, in non-residential camps, and in four-week camps, 3) members rated themselves high in environmental concern, with an increase in willingness to take action and actual efforts to do so, 4) knowledge gain is approximately equivalent to one year in school, with a gain two to three times greater in 1972 than in 1971, 5) members value opportunities to confront new people and situations. Recommendations encompass camp composition by sex, background, income, and race; type of camp (residential vs nonresidential); length of camp; and administration orientation and training. Confirmation in

1972 of 1971 findings diminishes the need for across-the-board evaluation; future evaluation research should concentrate on experimental procedures.

E00700-005

Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974 YCC program. William C. Morris, and others. Institute for Social Research, University of Michigan, Ann Arbor, Mich. January 1975. 168 pp.

Agency Sponsoring Evaluation: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Agency Managing Program: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Program(s) Evaluated: Youth Conservation Corps Pilot Program (10.661).

Budget Function/Subfunction: Social services (506); Conservation and land management (302).

Program Authorization: Youth Conservation Corps Act of 1970, as amended (P.L. 91-378; P.L. 92-597).

Public Availability: Institute for Social Research, University of Michigan, Ann Arbor, Mich. 48106.

This study contains more than 50 recommendations for improving the quality and extent of minority involvement and participation in the Youth Conservation Corps (YCC) program. These recommendations are in the areas of recruitment, minority-majority relationships, the YCC program, and pre-camp staff training.

E00700-006

Post-camp Uses and Effects of YCC Experiences. Short summary. Donna A. Lingwood. Institute for Social Research, University of Michigan, Ann Arbor, Mich. March 1975. 74 pp.

Agency Sponsoring Evaluation: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Agency Managing Program: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Program(s) Evaluated: Youth Conservation Corps Pilot Program (10.661).

Budget Function/Subfunction: Social services (506); Conservation and land management (302).

Program Authorization: Youth Conservation Corps Act of 1970, as amended (P.L. 91-378; P.L. 92-597).

Public Availability: Institute for Social Research, University of Michigan, Ann Arbor, Mich. 48106.

A study of the responses of the approximately 3,200 youths who were members of the Youth Conservation Corps (YCC) in the summer of 1973 indicates that the environmental knowledge used by the camp member after his departure from the YCC does not relate to the amount of environmental knowledge gained at camp. Rather, the use of environmental knowledge in the post-camp setting relates primarily to certain in-camp and post-camp variables for nonwhite campers; and it relates to post-camp interpersonal variables for white campers. For all YCC members, relevance of environmental knowledge to their post-camp setting is the major predictor of use of their environmental knowledge in the post-camp setting. Also white campers use their environmental knowledge more than nonwhite campers.

E00700-007

Department of the Interior Aircraft Study and Recommendations. 1973. 47 pp. + appendices.

Agency Sponsoring Evaluation: Office of the Secretary, Dept. of the Interior.

Agency Managing Program: Department of the Interior.

Program(s) Evaluated: Program Management, Interior (15.000).

Budget Function/Subfunction: Natural Resources, Environment, and Energy (300).

Program Authorization: Reorganization Plan No. 3 of 1950.

Public Availability: Department of the Interior.

A review of aircraft operations revealed the following major problems: numerous accidents and resultant high costs, fragmented and inadequate management control, inconsistent priorities for use, poor utilization, duplicated effort, obsolete equipment, and improper budgeting and financial management. Recommendations include the creation of an Office of Aircraft Services (OAS) at the departmental level with the responsibility to coordinate and direct all Department of the Interior aircraft by assignment or direct control; establish and maintain departmental air operations standards involving safety, procurement, and utilization; budget for and financially control all aircraft owned or operated by the Department; and provide technical services to bureaus for aircraft-related problems. It was also recommended that air operations councils be established, and that they be composed of representatives from bureaus using OAS. Other recommendations also are included in this review.

E00700-008

Study of Vocational Training Skills Achievement at Departmental Job Corps Centers. July 2, 1975. 8 pp. + appendixes.

Agency Sponsoring Evaluation: Office of Audit and Investigation, Dept. of the Interior.

Agency Managing Program: Department of the Interior.

Program(s) Evaluated: Job Corps (17.211).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203, Title IV).

A study of vocational training skills (VTS) achievement at nine of the 10 Job Corps Centers operated by the Department of the Interior indicates that of the total man-days available for training, 52 percent were used to train corpsmen achieving a VTS of 50 or higher. The range of individual centers was 30-68 percent. The average VTS for the 2,032 rated corpsmen was 24. The range at individual centers was 16 to 33. In comparing VTS's of zero and 100, corpsman scoring 100 showed an initial average reading score 12 points higher and an initial math score 9 points higher than those scoring zero. For the population as a whole, a close correlation exists between days spent in the program and VTS. However, this correlation does not hold consistently true when comparing individual centers. No significant correlations can be shown between age at entry and VTS. For example, 16-year olds did about the same as other age groups. Similarly, no significant differences are reflected in comparing age at entry with initial math and reading scores. In addition, other findings are reported in the study.

E00709-001

Review of Wetlands Acquisition Program. June 11, 1975. 20 pp. + appendices.

Agency Sponsoring Evaluation: Office of Audit and Investigation, Dept. of the Interior.

Agency Managing Program: Fish and Wildlife Service, Dept. of the Interior.

Program(s) Evaluated: Accelerated Wetlands Acquisition (15.699).

Budget Function/Subfunction: Conservation and land management (302); Recreational resources (303).

Program Authorization: Wetlands Act (P.L. 87-383).

The principal finding of the audit was that the Wetlands Acquisition Program of the U.S. Fish and Wildlife Service (FWS) could be enhanced by adopting priorities and procedures, including use of condemnation procedures when necessary, that result in the acquisition of best-quality habitats. In addition, it was recommended that FWS determine the need for wetlands to be preserved in geographically-manageable areas; develop a plan for managing acquired tracts and tracts to be acquired; determine the impact of wetlands acquired and destroyed in North Dakota and other areas on the migratory waterfowl population; continue to refine knowledge about the factors that control migratory bird populations, such as optimum size and mix of land and water for population, predator-control through habitat manipulation, and effects of both upland and wetland habitat loss or destruction of migratory bird population; and continue to refine methods to better determine the specific geographic areas that are essential to migratory bird production.

E00709-002

Review of Cooperative Fisheries and Wildlife Unit Program. April 14, 1975. 31 pp. + appendix.

Agency Sponsoring Evaluation: Office of Audit and Investigation, Dept. of the Interior.

Agency Managing Program: Division of Cooperative Research, Fish and Wildlife Service.

Program(s) Evaluated: Cooperative Fisheries and Wildlife Unit (15.699).

Budget Function/Subfunction: Recreational resources (303).

Program Authorization: P.L. 86-686.

This report evaluates the effectiveness of the cooperative research and training program of the United States Fish and Wildlife Service (FWS), and determines the cooperative units' responsiveness to current FWS research needs. Only 42 percent of the research projects of the eastern cooperative units were directed to national research needs due to insufficient guidance from FWS on the desired direction of efforts, and the responsiveness of the cooperative units to non-Federal research sponsors. The eastern cooperative units also devote more resources and effort to training than desired by FWS at the expense of the FWS related research directive. A policy has not been established to require coordination between cooperative units and FWS research labs and centers that operate in similar program areas. The only coordination is through an exchange of quarterly and annual reports, for which progress reporting criteria has not been established. FWS has not established criteria for monitoring and/or determining the number of graduate students each unit should be serving. University restric-

tions and projects' financial support are major factors that limit a unit's student workload.

E00709-003

Program Evaluation, Youth Conservation Corps. August 1974. 10 pp.

Agency Sponsoring Evaluation: Fish and Wildlife Service, Dept. of the Interior.

Agency Managing Program: Fish and Wildlife Service, Dept. of the Interior.

Program(s) Evaluated: Youth Conservation Corps Pilot Program (10.661).

Budget Function/Subfunction: Social services (506); Conservation and land management (302).

Program Authorization: Youth Conservation Corps Act of 1970, as amended (P.L. 91-378; P.L. 92-597).

A study of the 36 camps operated by the U.S. Fish and Wildlife Service indicates that improvements would occur in the operation of the program if project managers hosting residential camps explained the state-wide recruitment procedures to the public during open house activities. Also, enrollees should be aware of all aspects of the Youth Conservation Corps (YCC) program, including work activities; steps should be taken to insure that the program is offered in areas where there are minorities and individuals from low income families; the feasibility of developing procedures on reporting total program costs should be investigated; testing methods should be reevaluated; a reexamination of the programs funding-posture should take place, with remedial action taken to provide additional funds to support the YCC camps; areas should be identified where the program can be expanded to include other Service activities besides wildlife refuges and fish hatcheries; and it should be ascertained prior to approval of an expansion whether the program is of high enough priority to compete for Service funds to support overhead costs.

E00709-006

Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974. Annual. Fish and Wildlife Service, Dept. of the Interior. FWS-C-3-5006. 18 pp.

Budget Function/Subfunction: Conservation and land management (302); Recreational resources (303).

Program Authorization: Migratory Bird Conservation Act (P.L. 70-770, sec. 3; 16 USC 715b; 45 Stat. 1223). Fiscal year report due the following first Monday in December.

Congressional Recipients: House Merchant Marine and Fisheries Committee. Senate Commerce Committee.

The report contains narrative and statistical information on work done by the Migratory Bird Conservation Commission, and includes a table indicating acreage approved for bird refuges, by state; information on the Nisqually National Wildlife Refuge, Pierce and Thurston Counties, Wa., including a map of the refuge area; and funds obligated for migratory bird habitat acquisition, by refuge unit. The report also contains various information on migratory birds, including acreage size of the different refuges, a map locator, waterfowl production areas; tables indicating refuges and states, lands purchased and leased with duck stamp funds and through other sources, showing the cumulative cost and acreage of duck stamp lands at the end of the fiscal year, together with cumulative totals of donations, other acquisitions

and total acreage of migratory bird refuge lands. Similar data for the waterfowl is also included.

E00710-001

Study of National Park Service Concessions Management. Memorandum. 12 pp.

Agency Sponsoring Evaluation: Office of the Secretary, Dept. of the Interior.

Agency Managing Program: National Park Service, Dept. of the Interior.

Program(s) Evaluated: Program Management, National Park Service (15.949).

Budget Function/Subfunction: Recreational resources (303).

Program Authorization: P.L. 89-249.

This report presents the results of the study of existing National Park Service concessions management policies and practices, and recommends short and long term steps for improvement. Positive aspects of concession management include the fact that many managers have already taken steps to correct problems, that capable individuals are working in concession management, and that the public is being well served by the majority of operations. The basic failing of the Park Service concessions management is its failure to change with the times. Specific recommendations for improvements are as follows: 1) Possessory interests should be granted only when there are no alternatives. 2) Contracting procedures should be published in the form of regulations and accompanying handbook. 3) Proper priority should be afforded throughout the system, with responsibilities clearly stated. 4) Management should develop the capability to assess economic feasibility and profitability. 5) More emphasis should be placed on the recruitment of highly qualified individuals. 6) Reliable financial information should be integrated into decision-making. 7) The policy of approving concessioner charges based on 'comparability' should be examined. 8) Additional sanctions for unsatisfactory performance should be amended to contracts. 9) Concessioners should be included early in the planning process.

E00712-001

First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. George F. Mechlin, and others. Marine Board, National Academy of Engineering. January 1974. 7 pp.

Agency Sponsoring Evaluation: Geological Survey, Dept. of the Interior.

Agency Managing Program: Geological Survey, Dept. of the Interior.

Program(s) Evaluated: Outer Continental Shelf Inspection and Enforcement (15.849).

Budget Function/Subfunction: Conservation and land management (302); Pollution control and abatement (304); Energy (305).

Program Authorization: Outer Continental Shelf Lands Act (P.L. 83-212; 43 USC 1332).

Public Availability: U.S. Geological Survey, Conservation Division, Reston, Va. 22092.

This report summarizes the activities of the Review Committee on Safety of Outer Continental Shelf (OCS) Petroleum Operations. Activity has been focused in five

areas: a technical review of selected draft standards and specifications; the application of system analysis techniques to offshore oil and gas operations; the U.S. Geological Survey (USGS) Safety Alert Notices System; extension of OCS Order Number 8 to include Caisson-type structures; and a preliminary look at the conduct and planning for environmental baselines. The following conclusions and recommendations have resulted. (1) Utilization of American Petroleum Institute Spec. 14A and RP 14B on an appropriately time-phased basis in the implementation of OCS Order No. 5. (2) Continuation of efforts by the USGS to adapt system analysis to the requirements of offshore operations. (3) Each Alert Notice should make reference to specific OCS Orders, API Specifications, or other documentation discussing the system which failed, as well as recommended actions for correction. 4) The environmental baseline study system does not provide by itself a rational approach for knowing or understanding continued variation and evaluation. Instead, a three-phase approach is recommended to conduct in-depth studies of selected sites, project data to similar areas, and check projections with additional data.

E00712-002

Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. George F. Mechlin, and others. Marine Board, National Academy of Engineering. June 1974. 20 pp.

Agency Sponsoring Evaluation: Geological Survey, Dept. of the Interior.

Agency Managing Program: Geological Survey, Dept. of the Interior.

Program(s) Evaluated: Outer Continental Shelf Inspection and Enforcement (15.849).

Budget Function/Subfunction: Conservation and land management (302); Pollution control and abatement (304); Energy (305).

Program Authorization: Outer Continental Shelf Lands Act (P.L. 83-212; 43 USC 1332).

Public Availability: U.S. Geological Survey, Conservation Division, Reston, Va. 22092.

This report on the safety of Outer Continental Shelf (OCS) petroleum operations focuses on three issues: policy and program planning by the U.S. Geological Survey (USGS) for the assurance of safety and pollution control in OCS petroleum operations, implementation actions and priority assignments by the USGS on the basis of safety study recommendations, and application of systems-analysis techniques to offshore oil and gas operations. It is recommended that the USGS undertake immediate and concerted action to develop and promulgate a basic program plan for the assurance of safety and pollution control in OCS petroleum operations; that, as recommended in the first report, the USGS investigate the feasibility of carrying American Petroleum Institute-developed standards through the procedures of the American National Standards Institute, in order to provide wider credibility and acceptance; and that adaptations of advanced safety and reliability analysis techniques can be quite valuable in enhancing the safety, reliability, and overall economy of offshore petroleum operations.

E00712-003

Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. George F. Mechlin, and others. Marine Board, Assembly of Engineering, National Research Council. March 1975. 12 pp.

Agency Sponsoring Evaluation: Geological Survey, Dept. of the Interior.

Agency Managing Program: Geological Survey, Dept. of the Interior.

Program(s) Evaluated: Outer Continental Shelf Inspection and Enforcement (15.849).

Budget Function/Subfunction: Conservation and land management (302); Pollution control and abatement (304); Energy (305).

Program Authorization: Outer Continental Shelf Lands Act (P.L. 83-212; 43 USC 1332).

Public Availability: U.S. Geological Survey, Conservation Division, Reston, Va. 22092.

This review concerns three topics: standards development for Outer Continental Shelf (OCS) operations, inspection strategies for use in the OCS, and methods for determining the condition of existing pipelines. It is recommended that the U.S. Geological Survey (USGS) further study, with a view towards initiating, the use of third-party inspection procedures in conjunction with the execution of its plans for the use of American National Standards Institute standards in the implementation of OCS orders; that periodic inspection of submarine pipelines be required; and that the USGS list the procedures and equipment that are acceptable in whole or in part and allow the operator to propose, for USGS approval, the use and conditions under which he will make the test. Several other recommendations are also made.

E00712-004

Report of the Work Group on OCS Safety and Pollution Control. W. A. Radlinski, and others. May 1973. 33 pp. + appendix.

Agency Sponsoring Evaluation: Geological Survey, Dept. of the Interior.

Agency Managing Program: Geological Survey, Dept. of the Interior.

Program(s) Evaluated: Outer Continental Shelf Inspection and Enforcement (15.849).

Budget Function/Subfunction: Conservation and land management (302); Pollution control and abatement (304); Energy (305).

Program Authorization: Outer Continental Shelf Lands Act (P.L. 83-212; 43 USC 1332).

Public Availability: U.S. Geological Survey Conservation Division, Reston, Va. 22092.

This report provides the results of the U.S. Geological Survey (USGS) Work Group review of the findings of three studies on improving safety and pollution control in the management of Outer Continental Shelf (OCS) oil and gas operations. Also provided are recommendations for appropriate implementation actions. The report is organized into the following sections: failure reporting and corrective action, accident investigation and reporting, information exchange, research and development, standards and specifications, systems analysis, engineering documentation, wearout prevention, training and certification, motivation program, lease management program,

inspection procedures, OCS order development, standardization of forms, and safety and advisory committees. Each section contains the related recommendations from the three study reports, some remarks, the Work Group's recommendation, and finally the implementation action required. Several actions have already been taken where an immediate response was considered necessary or desirable.

E00712-005

Report of the Work Group on OCS Safety and Pollution Control, May 1973. Supplement No. 1. W. A. Radlinski, and others. May 1974. 17 pp. + appendix.

Agency Sponsoring Evaluation: Geological Survey, Dept. of the Interior.

Agency Managing Program: Geological Survey, Dept. of the Interior.

Program(s) Evaluated: Outer Continental Shelf Inspection and Enforcement (15.849).

Budget Function/Subfunction: Conservation and land management (302); Pollution control and abatement (304); Energy (305).

Program Authorization: Outer Continental Shelf Lands Act (P.L. 83-212; 43 USC 1332).

Public Availability: U.S. Geological Survey, Conservation Division, Reston, Va. 22092.

This supplement is a response to recommendations of the report, 'Energy Under the Oceans,' a technological assessment of Outer Continental Shelf (OCS) oil and gas operations, published in November 1973. 'Energy Under the Oceans' contains 39 recommendations concerning oil and gas operations on the OCS, which fall into four categories: recommendations over which the U.S. Geological Survey has no control; recommendations already implemented or in progress; recommendations calling for modifications of earlier responses; and new recommendations. All recommendations, excluding the first category, are discussed in this supplement.

E00712-006

Report of the Work Group on OCS Safety and Pollution Control, May 1973. Supplement No. 2. W. A. Radlinski, and others. November 1974. 12 pp.

Agency Sponsoring Evaluation: Geological Survey, Dept. of the Interior.

Agency Managing Program: Geological Survey, Dept. of the Interior.

Program(s) Evaluated: Outer Continental Shelf Inspection and Enforcement (15.849).

Budget Function/Subfunction: Conservation and land management (302); Pollution control and abatement (304); Energy (305).

Program Authorization: Outer Continental Shelf Lands Act (P.L. 83-212; 43 USC 1332).

Public Availability: U.S. Geological Survey, Conservation Division, Reston, Va. 22092.

This supplement is a response to the pertinent recommendations of the report, 'OCS Oil and Gas--An Environmental Assessment,' April 1974, which is the result of a study of the environmental impact of oil and gas production on the Atlantic Outer Continental Shelf and in the Gulf of Alaska.

E00712-007

Onshore Lease Management Program Study for the U.S. Geological Survey. National Aeronautics and Space Administration. December 20, 1974. 91 pp. + appendices.

Agency Sponsoring Evaluation: Geological Survey, Dept. of the Interior.

Agency Managing Program: Conservation Division, Geological Survey.

Program(s) Evaluated: Onshore Lease Management (15.849).

Budget Function/Subfunction: Conservation and land management (302); Pollution control and abatement (304); Energy (305).

Program Authorization: Mining Law of 1872 (30 USC 22); Mineral Leasing Act of 1920, as amended (P.L. 86-705; 30 USC 181); Mineral Leasing Act for Acquired Lands (P.L. 80-382; 30 USC 351).

Public Availability: U.S. Geological Survey, Conservation Division, Reston, Va. 22092.

A study of the Onshore Lease Management Program indicates a need for improvement in several key areas: policies, procedures, and technical standards; organization and staffing; plans and controls; management information systems; internal communications; personnel; inspection; enforcement; supervision of operators; legislation and regulations; fair market value; safety; and other areas, including the use of helicopters, computer terminals, the assurance of ethical conduct, contracting for work, relations with other organizations, relationship with the Outer Continental Shelf (OCS) program, and burden of proof.

E00712-008

Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey. A. D. Acuff, and others. May 1975. 120 pp. + appendices.

Agency Sponsoring Evaluation: Conservation Division, Geological Survey.

Agency Managing Program: Conservation Division, Geological Survey.

Program(s) Evaluated: Onshore Lease Management (15.849).

Budget Function/Subfunction: Conservation and land management (302); Pollution control and abatement (304); Energy (305).

Program Authorization: Mining Law of 1872 (30 USC 22); Mineral Leasing Act of 1920, as amended (P.L. 86-705; 30 USC 181); Mineral Leasing Act for Acquired Lands (P.L. 80-382; 30 USC 351).

Public Availability: U.S. Geological Survey, Conservation Division, Reston, Va. 22092.

This task force report on the 'Onshore Lease Management Program Study' recommends that 66 of the 79 National Aeronautics and Space Administration recommendations be fully adopted, 11 be adopted with some change, and that only two recommendations not be adopted. Areas covered include objectives, policies, and procedures; organizations, personnel, and funding; management information systems; plans, controls, and communications; training; inspection, enforcement, and supervision; regulations; operating orders and technical standards; legislation and lease terms; fair market value; safety; environmental analyses and statements; external

relationships; and additional task force recommendations. Task force recommendations are related to division resource evaluation activities and a study of division organization.

E00712-009

Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California. Preliminary report. W. J. Kockelman. San Francisco Bay Region Environmental and Resources Planning Study, Menlo Park, Calif. Open-file report no. 75-276. 1975. 110 pp.

Agency Sponsoring Evaluation: Geological Survey, Dept. of the Interior.

Agency Managing Program: Geological Survey, Dept. of the Interior.

Program(s) Evaluated: San Francisco Bay Region Environment and Resources Planning Study (15.849).

Budget Function/Subfunction: Earth sciences (252).

Program Authorization: 42 USC 3531-3537; 43 USC 31.

Public Availability: San Francisco Bay Region Environmental and Resources Planning Study, 345 Middlefield Road, Menlo Park, Calif. 94025.

This report contains the results of an inventory of the use of U.S. Geological Survey (USGS) products in selected planning studies, plans, plan implementation devices, and other planning-related activities for the 91 cities in the nine-county San Francisco Bay region. These earth science products are prepared as a part of the San Francisco Bay Region Environment and Resources Planning Study (SFBRP). Cities in the Bay region have considerable familiarity with, and have made substantial use of, SFBRP products, despite the small size of many cities, their limited staff capacity, and the regional design of the products. Types of applications most often indicated are: geologic hazards studies; seismic safety, public safety, conservation, and open-space plan elements; general reference; and the preparation and review of environmental impact reports. Improvements suggested by the cities were larger scale or more detail, and less technical or more interpretive products. Suggestions are made to ensure more familiarity with, and more effective use of, earth science data in the future.

E00712-010

Research and Development in Topographic Mapping. Annual report. Editor: Madonna Elliott. April 1975. 75 pp.

Agency Sponsoring Evaluation: Topographic Division, Geological Survey.

Agency Managing Program: Topographic Division, Geological Survey.

Program(s) Evaluated: National Mapping Program (15.849).

Budget Function/Subfunction: Earth sciences (252).

Program Authorization: 43 USC 38, 42, 50; 25 Stat. 505, 526.

Public Availability: NTIS, Order No. PC-243 596/AS.

This report summarizes the activities of the Topographic Division in research and development. Advances in field surveying deal with instruments and equipment, the Geodetic Data Management Agreement, map accuracy testing, contour intervals, subsidence investigations, and earthquake monitor leveling. Photogrammetry research topics include digital and computational photo-

grammetry, map compilation, various instruments and equipment, and computer programs including the Wang 700/720 program library, and new Wang 720 programs. Cartographic achievements cover metric maps, five color printing, land-use map overlays, NOAA/USGS experimental maps, flood-plain, 50-states, slope, and 1:100,000-scale maps. Equipment and techniques, quality control, and orthophoto products such as coastal wetland mapping and 1:125,000 maps for urban area study are being researched in orthophotomapping. Developments in space technology include satellite image maps, LANDSAT investigations, and specifications for LANDSAT-C satellites. Lists of research papers, USGS professional papers, and topographic instructions supplement the report.

E00712-011

The Application of a Computerized Environmental Information System to Master and Sector Planning. John C. Stewart and J. Nicholas Van Driel. Maryland-National Capital Park and Planning Commission. Environmental Planning Monograph # 8. July 1975. 22 pp. + appendices.

Agency Sponsoring Evaluation: Geological Survey, Dept. of the Interior.

Agency Managing Program: Geological Survey, Dept. of the Interior.

Program(s) Evaluated: Computer Applications Projects (15.849).

Budget Function/Subfunction: Community development (451); Earth sciences (252).

Program Authorization: 43 USC 31.

Public Availability: Maryland-National Capital Park and Planning Commission.

In a unique cooperative pilot project with the U.S. Geological Survey, the Environmental Planning Division of the M-NCPPC has employed a computer mapping system for combining environmental information to be used in support of land use decisions. The computer mapping system was used to produce maps showing optimum areas for various types of urban development based on environmental factors in the Shady Grove Planning Sector, an area which will be impacted by a Washington-Metropolitan rapid transit terminal. A preliminary analysis showed that presence of shallow bedrock, alluvium, steep slopes, surface water and mature trees were the most important natural features affecting urban development in the Shady Grove Planning Sector. Maps showing these features were prepared for the computer analysis by digitizing. Using the computer mapping system, different weights were assigned to the digitized environmental factors to produce maps showing optimal locations for low, medium and high density urban development. Present land use was combined with the environmental factors to exclude developed and committed land. A mylar overlay of the proposed area master plans were superimposed on the various optimization maps, and zones of conflict were immediately apparent where development was planned in environmentally sensitive areas.

E00712-012

Status and Plans of the Department of the Interior EROS Program. Editors: Richard S. Williams, Jr. and William D. Carter. Open file report No. 75-376. July 1975. 91 pp.

Agency Sponsoring Evaluation: Geological Survey, Dept. of the Interior.

Agency Managing Program: Geological Survey, Dept. of the Interior.

Program(s) Evaluated: Earth Resources Observation Systems (EROS) (15.849); Geologic and Mineral Resource Surveys and Mapping (15.800); Water Resources Investigations (15.804).

Budget Function/Subfunction: Earth sciences (252); Space science, applications, and technology (254).

Program Authorization: 43 USC 31.

Public Availability: U.S. Geological Survey, EROS Program Office, Reston, Va. 22090.

The Earth Resources Observation Systems Program of the Department of the Interior has been actively participating in the LANDSAT program and other investigations with remotely-sensed data. A large number of applications have been demonstrated that can assist in the discovery of nonrenewable resources, monitoring a real extent of renewable resources, monitoring environmental change, and in providing repetitive data for planimetric revision of small-scale maps and maps showing land cover classes. A new and potentially revolutionary approach, that of 'automated cartography,' has been initiated through the versatile nature of the data available from LANDSAT. Also, providing high-quality data in a timely manner to users is an area where some progress has been made, but additional improvements are needed. The technical solutions to these problems are known, but the ability to speedily implement these solutions will depend on the levels of support available to the program.

E00712-013

Review of Royalty Accounting System for Onshore Oil and Gas Leases. June 9, 1975. 106 pp. + appendix.

Agency Sponsoring Evaluation: Office of Audit and Investigation, Dept. of the Interior.

Agency Managing Program: Conservation Division, Geological Survey.

Program(s) Evaluated: Onshore Lease Management (15.849).

Budget Function/Subfunction: Conservation and land management (302); Pollution control and abatement (304); Energy (305).

Program Authorization: Mining Law of 1872 (30 USC 22); Mineral Leasing Act of 1920, as amended (P.L. 86-705; 30 USC 181); Mineral Leasing Act for Acquired Lands (P.L. 80-382; 30 USC 351).

This report summarizes the deficiencies in the Geological Survey Conservation Division Royalty Accounting System (RAS) for onshore oil and gas, and makes related recommendations. The principal reasons for the operational and procedural problems is a chronic understaffing problem; a staff increase of 37 positions is recommended. The following additional recommendations are made: 1) requirement of an established reporting package from each lessee, including essential sale and production data, submission of purchaser's report, and use of Federal lease identification numbers; 2) conversion of companies having the capability and volume to magnetic tape; 3) establishment of standard remittance advice; 4) various improvement to provide meaningful accounting records and statements of account; 5) establishment of standard procedures for error correction and computer input, including recognition of

persistent errors by companies; 6) establishment of procedures for monitoring and collection of delinquent payments, and meaningful penalties; 7) initiation of a policy of immediate response to late reports, and establishment of significant penalties; 8) emphasizing an annual post-audit review of accounts; 9) application of all royalty payments directly to lease accounts; 10) provision of staff capability and expertise, especially a single production valuation team; and 11) various improvements dealing with operating inefficiencies in area accounting offices.

E00712-014

Royalty Accounting System Study of Solid Mineral Leasing Activities. August 11, 1975. 24 pp. + appendix.

Agency Sponsoring Evaluation: Office of Audit and Investigation, Dept. of the Interior.

Agency Managing Program: Conservation Division, Geological Survey.

Program(s) Evaluated: Onshore Lease Management Program (15.849).

Budget Function/Subfunction: Conservation and land management (302); Energy (305); Earth sciences (252).

Program Authorization: Mining Law of 1872 (30 USC 22); Mineral Leasing Act of 1920, as amended (P.L. 86-705; 30 USC 181); Mineral Leasing Act for Acquired Lands (P.L. 80-382; 30 USC 351).

This report summarizes the deficiencies in the Geological Survey Conservation Division royalty accounts system for solid mineral leasing activities, and provides recommendations for their improvement. Except for certain weaknesses in internal control, the system is procedurally adequate to account for and collect royalties on leasable solid minerals from Federal lands. The basic system for internal control over the accounting and collection functions is inadequate to assure that all royalty payments are properly collected, deposited, and recorded in the lease accounting records. Since one person in each office is solely responsible for this accounting, no system of checks and balances exists. It is recommended that collection, accounting, and billing of royalty receipts be separated in the offices. Division officers are not maximizing the use of the independent financial audit; a formalized package of audit report requirements should be established. Officials are not requiring all leasees to adhere to the royalty reporting and payment provisions of their leases; strict enforcement should be maintained to avoid unnecessary interest expense. Revenue from the majority of Indian solid mineral leases has not been placed under any formalized system of accounting control. These leases should be placed under the control of the royalty accounting system.

E00714-001

Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada. February 1975. 74 pp. + appendices.

Agency Sponsoring Evaluation: Bureau of Land Management, Dept. of the Interior.

Agency Managing Program: Bureau of Land Management, Dept. of the Interior.

Program(s) Evaluated: Nevada Range Management (15.299); Grazing Privileges (15.206).

Budget Function/Subfunction: Conservation and land management (302).

Program Authorization: Taylor Grazing Act P.L. 73-482.

An analysis of the range management program and its conflicts with other resources indicates that with existing manpower it is not possible to provide adequate livestock grazing supervision on the National Resource Lands in Nevada, that funds for the range and watershed improvement have not been used to mitigate the effects of these programs on other resource values, and that range and livestock management outside of intensive management areas is insufficient to sustain the forage required for wildlife, livestock, wild horses, and ground cover for watershed protection. In addition, most allotment management plans that are now operational were designed and initiated prior to 1970. Most of these plans did not consider the requirements of other resources that would be directly affected by the application of the grazing system. In addition to several other findings, it was learned that the data gathered is insufficient to serve as a base for accurately evaluating the effects of custodial grazing management, grazing management plans, or allotment management plans on other resources.

E00716-001

The Federal Reclamation Program; its impacts, issues and future considerations. Dean C. Coddington, and others. Bickert, Browne, Coddington & Associates, Inc., Denver, Colo. December 1972. 100 pp.

Agency Sponsoring Evaluation: Bureau of Reclamation, Dept. of the Interior.

Agency Managing Program: Bureau of Reclamation, Dept. of the Interior.

Program(s) Evaluated: Federal Reclamation (15.599); Program Management, Bureau of Reclamation (15.599).

Budget Function/Subfunction: Water resources and power (301).

Program Authorization: Reclamation Act of 1902, as amended (P.L. 57-161; 43 USC 391); Federal Property and Administrative Services Act of 1949, as amended (P.L. 81-152, sec. 302).

This report summarizes the features and benefits of the Federal Reclamation Program in its 70 years of developing the West. In this period, 150 water resource development projects or unit projects have been placed in operation at a national investment of \$6 billion. Nearly nine million acres of farmland receive full or supplemental irrigation, 168 communities receive water, and a 7,490 megawatt capacity of installed hydroelectric power exists. Special features of the program include development of 17 Western states through irrigation, recovery of the major portion or costs through nonreimbursable costs (\$1.1 billion to date), and the extensive amount of economic analysis undertaken. The nation receives an annual increase in economic activity of over \$4 billion due to the program, with aversion of flood damages totalling \$68 million in FY 1971. The improvement of the quality of life, positive environmental effects, and development and use of new technology have also resulted. Notable regional impacts have occurred in the San Joaquin Valley in California, Salt River Valley in Arizona, and in the Columbia River Basin in Washington. Broad future concerns include responsiveness to changing national needs, and a continuing need for water resource development and management.

E00716-002

Water & Land Resource Accomplishments, 1973. Summary report. September 1974. 59 pp.

Agency Sponsoring Evaluation: Bureau of Reclamation, Dept. of the Interior.

Agency Managing Program: Bureau of Reclamation, Dept. of the Interior.

Program(s) Evaluated: Federal Reclamation Program (15.599).

Budget Function/Subfunction: Water resources and power (301).

Program Authorization: Fact Finders Act of 1924 (P.L. 68-292); Reclamation Project Act of 1939 (P.L. 76-260; 43 USC 391).

In 1973, the Federal reclamation program established several record-setting accomplishments, among them population served, the gross value of all crops, and recreation use. Some 16.6 million persons were served, an increase of 400,000 over 1972. Of this number, 14.9 million received municipal and industrial water, and the remaining 1.7 million received irrigation service on urban, suburban, commercial, and industrial lands and on full or part-time irrigated farms. The gross value of all crops produced totalled \$3.9 billion, a 58 percent increase over 1972; and recreation use at 251 recreation areas totalled 56.4 million visitor days, surpassing 1972 by 600,000. In addition, electrical energy revenues were \$173 million and growing at an average of more than \$8 million a year. These energy revenues comprised about 89 percent of the program's total income from operations. Among the many other achievements, flood control benefits amounted to \$42 million, slightly above the 1950-73 average of \$40.6 million.

E00780-001

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands. B-148623. August 10, 1972. 36 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of the Interior.

Program(s) Evaluated: Federal Coal-Leasing (15.000).

Budget Function/Subfunction: Conservation and land management (302); Energy (305).

Program Authorization: Mineral Leasing Act of 1920, as amended (P.L. 86-705; 30 USC 181); Mineral Leasing Act for Acquired Lands (P.L. 80-382; 30 USC 351).

Public Availability: GAO, Distribution Section.

GAO's work on mineral resources, performed at the request of the Conservation and Natural Resources Subcommittee, House Committee on Government Operations, covered the regulation of surface mining, the implementation of the Federal Coal Mine Health and Safety Act of 1969, and the administration of oil and gas operations on the Outer Continental Shelf with respect to preventing oil spills. Regulations for surface exploration and mining and reclamation of public and Indian coal lands, although in effect for more than 3 years, had not been effectively enforced in several areas. For example, required technical examinations to determine environmental effects and to establish reclamation requirements

had not been made for many permits and leases. Some permittees had been operating without approved exploration plans, and some plans had been approved without technical examinations. The Bureau of Land Management had not complied with the Council on Environmental Quality's guidelines for preparing environmental impact statements, and the Bureau of Indian Affairs had not developed procedures for preparing such statements. GAO recommended that the Secretary of the Interior clarify the Department's regulations and adopt procedures for preparing environmental impact statements properly.

E00780-002

Southwestern Federal Power Program--Financial Progress and Problems. B-125031. November 22, 1972. 63 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of the Interior; Department of the Army.

Program(s) Evaluated: Program Management, Dept. of the Interior (15.000).

Budget Function/Subfunction: Water resources and power (301).

Program Authorization: Flood Control Act of 1944 (P.L. 78-534).

Public Availability: GAO, Distribution Section.

The Southwestern Power Administration, the marketing agency for power generated at Federal water resources projects in the Southwestern United States, is required to recover the Federal investment in such projects through rates charged power users and to make repayments to the Treasury within 50 years from the date each project is placed in service. At the end of fiscal year 1970, after 25 years into the repayment period, the Administration had not repaid any portion of the Federal investment in the system and was about \$29.7 million in arrears in meeting other costs. It had, however, prepared rate and repayment studies showing that the repayment requirements would be met. GAO's report questioned the validity of the study because of (1) weaknesses inherent in developing the study as a result of the speculative nature of the long-range cost and revenue projections and (2) deficiencies in projecting system costs and revenues. The Department of the Interior agreed that a revised rate and repayment study should be prepared and that tentative cost allocations should be firmed up. The Department did not agree that it was necessary to compare actual repayments of the Federal investment with annually scheduled repayments established on an orderly basis. GAO believes such information would be useful to the Congress and the Southwestern Power Administration.

E00780-003

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement. P-74-RED-234. June 5, 1974. 38 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of the Interior; Department of the Army.

Program(s) Evaluated: Pacific Northwest Hydro-Thermal Power (15.000).

Budget Function/Subfunction: Energy (305).

Program Authorization: Public Works Appropriation Act of 1970 (P.L. 91-144); (P.L. 91-439).

Public Availability: GAO, Distribution Section.

Because most desirable hydroelectric sites have been developed, the program aims to satisfy future power needs, in large part, through energy from thermal plants--coal burning or nuclear power. Cost of a January 1969 plan was estimated at about \$17.9 billion through July 1990. Of this, \$6.1 billion would be provided by the Federal Government and about \$11.8 billion by 108 non-Federal utilities. As of September 30, 1973, 4,200 megawatts of additional generating capacity had been provided; however, 1,590 megawatts scheduled by September 30, had not.

E00780-004

Information on Certain Oil and Gas Industry Oversight Responsibilities. P-74-RED-247. June 17, 1974. 12 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of the Interior.

Program(s) Evaluated: Program Management, Dept. of the Interior (15.000).

Budget Function/Subfunction: Conservation and land management (302); Energy (305).

Program Authorization: Outer Continental Shelf Lands Act (P.L. 83-212; 43 USC 1332).

Public Availability: GAO, Distribution Section.

This report provides data relating to the Interior Department's oversight responsibilities of oil and gas industries. The data involve the sources of Geological Survey statistics on U.S. oil and gas reserves, exchange of Outer Continental Shelf (offshore) data between Survey and the Bureau of Land Management, justification for not releasing offshore geologic and seismic data to the public, basis for Survey testimony before the House Committee on Interior and Insular Affairs during December 1973 regarding capped wells on Federal lands, and prior employment by the oil industry of certain Department officials.

E00780-005

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management. B-118678. July 25, 1974. 52 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of the Interior; Department of Agriculture.

Program(s) Evaluated: Program Management, Dept. of the Interior (15.000); Program Management, USDA (10.000).

Budget Function/Subfunction: Conservation and land management (302); Pollution control and abatement (304); Energy (305).

Program Authorization: Mining Law of 1872 (30 USC 22); Mineral Leasing Act of 1920, as amended (P.L. 86-705; 30 USC 181); Mineral Leasing Act for Acquired Lands (P.L. 80-382; 30 USC 351).

Public Availability: GAO, Distribution Section.

Contrary to its intended purpose the 1872 law is not effectively encouraging development of minerals on

Federal land and has adversely affected management and use of land. GAO's visits to a randomly selected sample of 240 mining claims and 93 mineral patents in 10 counties in Arizona, California, Colorado, and Wyoming produced evidence supporting the need for modernizing the mining law. GAO estimates no minerals had ever been extracted on 197,000 of the estimated 200,000 claims in the 10 counties during the 25-year period. Administration of mineral development on Federal land needs improvement which could best be made by adopting mineral leasing legislation. GAO favors the leasing system to serve public needs and national interests. A major segment of the mineral industry--including the coal, oil, and gas producers--operates under a leasing system.

E00780-006

Managing Recreation Facilities at Bureau of Reclamation Reservoirs. B-174172. July 29, 1974. 37 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of the Interior.

Program(s) Evaluated: Program Management, Dept. of the Interior (15,000).

Budget Function/Subfunction: Recreational resources (303).

Program Authorization: Federal Water Project Recreation Act of 1965 (P.L. 89-72; 16 USC 460).

Public Availability: GAO, Distribution Section.

Because the Bureau lacks authority and funds to provide recreational facilities at its reservoirs, it has for years transferred management of reservoir recreational areas to other agencies. Management of reservoirs in or adjacent to national forests has been transferred to the Forest Service. Reservoirs in national recreation areas are managed by the National Park Service. For the remaining reservoirs, the Bureau encourages State and local agencies to assume management. GAO's review of 15 Bureau reservoirs in California, Oregon, Washington, Colorado, Wyoming, and Nebraska, showed that recreational facilities were adequate at non-Federal agencies. At several Bureau reservoirs, however, GAO found problems.

E00780-007

Problem in Identifying, Developing, and Using Geothermal Resources. RED-75-330. March 6, 1975. 71 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of the Interior; Energy Research and Development Administration.

Program(s) Evaluated: Program Management, Dept. of the Interior (15,000); Program Management, ERDA (24,000).

Budget Function/Subfunction: Energy (305).

Program Authorization: Colorado River Basin Project Act of 1968 (P.L. 90-537; 43 USC 1501-56); Geothermal Steam Act of 1970 (P.L. 91-581; 30 USC 1001-25); Geothermal Energy Research, Development, and Demonstration Act of 1974 (P.L. 93-410).

Public Availability: GAO, Distribution Section.

In this report, GAO assesses the potential and progress of developing geothermal resources as a source of energy and water and identifies problems being

encountered. Geothermal resources--the natural heat of the earth--include dry steam, hot mineralized water, hot dry rock, and geopressured zones. These resources also can be used to produce minerals.

E00780-008

Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf. RED-75-343. March 19, 1975. 40 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of the Interior; Federal Energy Administration.

Program(s) Evaluated: Shelf Oil and Gas Leasing (15,000).

Budget Function/Subfunction: Conservation and land management (302); Energy (305).

Program Authorization: Outer Continental Shelf Lands Act (P.L. 83-212; 43 USC 1332).

Public Availability: GAO, Distribution Section.

This report contains information on a critical Federal policy decision regarding leasing of the Outer Continental Shelf, which has far-reaching implications on the direction of future energy resources development. GAO recommended that Interior clearly define its leasing goals for developing oil and gas resources on the Outer Continental Shelf and specify how these will be met and how they relate to overall national energy goals and plans. Early attention and resolution of the issues discussed in this report are important for the success of any program which may be established for increasing domestic oil and gas production.

E00780-009

Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program. RED-75-346. April 28, 1975. 21 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of the Interior.

Program(s) Evaluated: Federal Coal-Leasing (15,000).

Budget Function/Subfunction: Earth sciences (252); Conservation and land management (302).

Program Authorization: National Environmental Policy Act of 1969 (P.L. 91-190; 42 USC 4321); Mineral Leasing Act Revision of 1960 (P.L. 86-705; 30 USC 201(a)).

Public Availability: GAO, Distribution Section.

This report describes actions taken by the Interior Department on recommendations in GAO's 1972 report on Federal coal leasing. It also lists the 15 companies which lease the largest amount of Federal coal lands and the number of acres leased by each. As of December 31, 1974, there were 533 Federal coal leases on about 785,000 acres of land in 15 states. The 15 largest acreage holders of Federal coal leases held 247 leases involving 453,015 acres. The Interior Department has not been fully responsive to GAO's recommendations; therefore, GAO is recommending that corrective action be taken.

E00780-010

Youth Conservation Corps Program, 1974. Annual Report. 50 pp. + appendices.

Agency Sponsoring Evaluation: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Agency Managing Program: Office of the Secretary, Dept. of the Interior; Forest Service, USDA.

Program(s) Evaluated: Youth Conservation Corps (10.661).

Budget Function/Subfunction: Conservation and land management (302).

Program Authorization: Youth Conservation Corps Act of 1970, as amended (P.L. 91-370; P.L. 92-597; P.L. 93-408).

Public Availability: Office of the Secretary, Department of the Interior; Forest Service, USDA.

E00791-001

Improvements Needed in the Assessment and Collection of Penalties--Federal Coal Mine Health and Safety Act of 1969. B-170686. July 5, 1972. 47 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Bureau of Mines, Dept. of the Interior.

Program(s) Evaluated: Coal Mine Health and Safety Standards Enforcement (15.000).

Budget Function/Subfunction: Energy (305); Prevention and control of health problems (553).

Program Authorization: Federal Coal Mine Health and Safety Act of 1969 (P.L. 91-173; 30 USC 801).

Public Availability: GAO, Distribution Section.

This review is directed to timely and efficient assessment and collection actions and the consideration given to six statutory factors in assessing civil penalties for violations of the mandatory Federal health and safety standards by coal mine operators and miners. GAO found that about 4 months elapsed from citation of a violation by a mine inspector to assessment of a penalty and about 10 weeks elapsed from the request for a hearing by a mine operator to initiation of the hearings process. Significant delays in referring cases for hearings and in conducting hearings on cases disputed by mine operators resulted in a backlog of 1,062 cases awaiting hearings (\$2.8 million in assessments) by December 31, 1971.

E00791-002

Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969. B-170686. July 5, 1973. 33 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Bureau of Mines, Dept. of the Interior.

Program(s) Evaluated: Coal Mine Health and Safety (15.000).

Budget Function/Subfunction: Pollution control and abatement (304); Energy (305); Prevention and control of health problems (553).

Program Authorization: Federal Coal Mine Health and Safety Act of 1969 (P.L. 91-173; 30 USC 801).

Public Availability: GAO, Distribution Section.

GAO reported on the Bureau of Mines' implementation of the civil penalty provisions of the Federal Coal Mine Health and Safety Act of 1969. The Bureau delayed a long time in (1) assessing penalties after Bureau inspectors had cited mine operators for health or safety violations, (2) referring cases for hearings, and (3) holding hearings on cases disputed by mine operators. In addition, the Bureau had not tried to collect on an estimated 60 percent of the assessments and its collection was not prompt on the remaining 40 percent. The act established six factors to be considered in making assessments, but the Bureau did not develop written guidelines to aid the assessors in considering these factors; the consideration given to each factor was not documented, nor did the Bureau require such documentation. The Bureau later improved its procedures for assessing penalties and holding hearings on disputed cases. It also acted on GAO's recommendations that it issue guidelines for considering the six statutory factors and give the same priority to collecting penalties as it gave to assessing them.

E00792-001

Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf. B-146333. June 29, 1973. 44 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Geological Survey, Dept. of the Interior.

Program(s) Evaluated: Outer Continental Shelf Inspection and Enforcement (15.000).

Budget Function/Subfunction: Conservation and land management (302); Pollution control and abatement (304); Energy (305).

Program Authorization: Outer Continental Shelf Lands Act (P.L. 83-212; 43 USC 1332); Federal Water Pollution Control Act (33 USC 1161).

Public Availability: GAO, Distribution Section.

GAO was asked to find out if the Interior Department's inspection and regulation of oil and gas operations on Federal leaseholds on the Outer Continental Shelf was adequate to prevent oil spills. GAO reported that the Department's Geological Survey could improve its supervision of these operations by: (1) strengthening enforcement actions against operators violating Survey regulations; (2) establishing a realistic policy on the frequency of inspections of various types of offshore operations, considering the resources available and the risks of oil spills, and (3) regulating certain operations which were not regulated at the time of GAO's review but which had pollution potential. Department officials informed GAO that actions underway would be responsive to most of the recommendations. Also, the Survey was implementing numerous recommendations made by recent studies to improve Federal safety and pollution controls.

E00793-001

Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved. RED-75-360. June 4, 1975. 27 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Bureau of Indian Affairs, Dept. of the Interior.

Program(s) Evaluated: Indian Lands--Real Estate Appraisal (15.120); Indian Lands--Real Estate Services (15.121).

Budget Function/Subfunction: Conservation and land management (302).

Program Authorization: 25 CFR 151; Snyder Act of November 2, 1921 (P.L. 67-85).

Public Availability: GAO, Distribution Section.

Specific duties and responsibilities of tribes, groups, and individuals under Indian self-determination, whereby Indians administer their own affairs, have not been defined. This has led to conflicts between the Bureau and the Indians. The Bureau should: resolve the conflict between interpretations of the Bureau's trust responsibility and Indian self-determination; determine what factors should be considered by the Bureau in determining if a proposed land sale is in the long-range best interest of an Indian owner; and provide written notices to Indian owners that mineral rights in land to be sold may be reserved.

E00795-001

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants. B-176823. October 5, 1972. 61 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Bureau of Outdoor Recreation, Dept. of the Interior; Department of Housing and Urban Development.

Program(s) Evaluated: Open Space and Urban Beautification Grants, HUD (14.999); Land and Water Conservation Fund (15.499).

Budget Function/Subfunction: Recreational resources (303).

Program Authorization: Land and Water Conservation Fund Act of 1965, as amended (P.L. 85-578; P.L. 91-98); Housing and Urban Development Act of 1970 (P.L. 91-609; 42 USC 1500).

Public Availability: GAO, Distribution Section.

HUD and the Department of the Interior's Bureau of Outdoor Recreation provide grants to state and local governments for recreation projects on non-Federal lands. GAO reported that these projects had met some of the nation's recreation needs, but many were located in rural and suburban areas where residents already had access to recreation opportunities. Greater benefits could have been achieved if more projects had been located in densely populated, low-income areas whose residents could not easily travel. GAO identified several reasons why the grant programs had not been more successful in meeting urban recreation needs: (1) the absence of a nationwide recreation plan or inventory of recreation needs and resources; (2) statutory requirements for apportioning grant funds among the states; (3) lack of the required 50-percent local matching funds; (4) inadequacies of state recreational plans; and (5) restrictions imposed by the states. GAO made several recom-

mendations to Interior and HUD and the two departments generally agreed with them. GAO also recommended that the Congress authorize the Department of the Interior to increase its grant allocations to the more densely populated states and provide a flexible matching formula for both Interior and HUD grant programs.

E00796-001

Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects. B-125045. November 30, 1972. 28 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Bureau of Reclamation, Dept. of the Interior.

Program(s) Evaluated: Program Management, Bureau of Reclamation (15.500).

Budget Function/Subfunction: Water resources and power (301).

Program Authorization: Reclamation Act of 1902, as amended (43 USC 391, 434); 1914 Reclamation Extension Act (43 USC 418); Omnibus Adjustment Act of 1926 (43 USC 423e).

Public Availability: GAO, Distribution Section.

The Reclamation Act of 1902 limits to 160 acres the land on which any one owner can receive irrigation benefits from a Federally subsidized water resources project. This limitation was intended to promote the family-size farm as a desirable form of rural life and to spread the benefits of the subsidized irrigation program to the maximum number of people. At the Central Valley project in California--the largest subsidized irrigation project administered by the Bureau of Reclamation--the limitation had not prevented large landowners and farm operators from benefiting under the program or beneficiaries from acquiring or retaining large landholdings. Beneficiaries had leased land from individual owners or had retained or controlled land by establishing corporations, partnerships, and trusts. GAO recommended that the Congress reevaluate the 160-acre limitation and either (1) enact legislation to prevent large landowners and operators from benefiting through their control of numerous 160-acre tracts by organizing corporations, partnerships, and trusts and/or by leasing 160-acre tracts, or (2) establish a new acreage limit for family farms eligible to receive Federal project water at subsidized rates and require owners and/or operators of farms of greater acreages to pay the full costs of Federal project water provided.

E00796-002

More Effective Procedures Are Needed for Establishing Payment Terms and Development Periods for Irrigation Projects. RED-75-372. May 23, 1975. 12 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Programs: Bureau of Reclamation, Dept. of the Interior.

Program(s) Evaluated: Program Management, Bureau of Reclamation (15.500).

Budget Function/Subfunction: Water resources and power (301).

Program Authorization: Reclamation Act of 1902, as amended (32 Stat. 388; 43 USC 391); Reclamation Project Act of 1939 (P.L. 76-260; 43 USC 391a).

Public Availability: GAO, Distribution Section.

GAO's review was performed primarily at Bureau regional offices in Sacramento, California; Salt Lake City, Utah; and Boise, Idaho. Findings by GAO concern the need for: uniform guidelines for establishing irrigation payment terms and development periods, and provisions in future contracts for periodic redeterminations of irrigation repayment rates.

Department of Justice

E00800-001

A Program for Progress. FY 1973.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: Department of Justice.

Program(s) Evaluated: Program Management, Justice (16.000).

Budget Function/Subfunction: Law Enforcement and Justice (750).

Program Authorization: Title 5, United States Code, Government Organization and Employees (P.L. 89-554).

E00800-002

INTERPOL: A Discussion of Its Activities and Membership. FY 1973.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: Department of the Treasury.

Program(s) Evaluated: INTERPOL Program (21.000).

Budget Function/Subfunction: Conduct of foreign affairs (152); Federal law enforcement and prosecution (751).

Program Authorization: P.L. 75-587, as amended.

E00800-003

A Review and Appraisal of the Status of JIMS--The Justice Information Management System. FY 1973.

Agency Sponsoring Evaluation: Office Management and Finance, Dept. of Justice.

Agency Managing Program: Department of Justice.

Program(s) Evaluated: Program Management, Justice (16.000).

Budget Function/Subfunction: Law Enforcement and Justice (750).

Program Authorization: Title 5, United States Code, Government Organization and Employees (P.L. 89-554).

E00800-004

The Management, Staffing and Organization of the United States Marshals Service: a critical analysis. FY 1974.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: United States Marshals Service, Dept. of Justice.

Program(s) Evaluated: United States Marshals (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Title 5, United States Code, Government Organization and Employees (P.L. 89-554).

E00800-005

Drug Treatment Programs and the Federal Criminal Justice System: an analysis and recommendations. FY 1974.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: Drug Enforcement Administration; Dept. of Justice.

Program(s) Evaluated: Drug Treatment (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Narcotic Addict Rehabilitation Act of 1966 (P.L. 89-793); Omnibus Crime Control and Safe Streets Act of 1968 (P.L. 90-351).

E00800-006

Board of Immigration Appeals: a discussion and recommendations for change. FY 1974.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: Immigration and Naturalization Service, Dept. of Justice.

Program(s) Evaluated: Immigration Programs (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Immigration and Nationality Act (P.L. 82-414).

E00800-007

DEA Task Force Program: an analysis and recommendations. FY 1975.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: DEA Task Force Program (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Reorganization Plan No. 2 of 1973.

E00800-008

An Evaluation of the U.S. Board of Parole Reorganization. FY 1975.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: Board of Parole, Dept. of Justice.

Program(s) Evaluated: Program Management, Justice (16.000).

Budget Function/Subfunction: Federal correctional and rehabilitative activities (753).

Program Authorization: Title 18, United States Code, Crimes and Criminal Procedure, as amended (P.L. 80-772).

E00800-009

Law Enforcement Training: an analysis of current and future requirements. FY 1975.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: Law Enforcement Assistance Administration, Dept. of Justice; Federal Bureau of Investigation, Dept. of Justice.

Program(s) Evaluated: Law Enforcement Assistance-Training (16.513).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968 (P.L. 90-351).

E00800-010

Justice Litigation Management; A Review of the Process: Policy, Authority, Direction. FY 1975.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: All Legal Divisions in Department of Justice.

Program(s) Evaluated: Program Management, Justice (16.000).

Budget Function/Subfunction: Federal judicial activities (752).

Program Authorization: Title 5, United States Code, Government Organization and Employees (P.L. 89-554).

E00800-011

Office of the Solicitor General: an analysis and recommendations. FY 1975.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: Office of the Solicitor General, Dept. of Justice.

Program(s) Evaluated: Program Management, Justice (16.000).

Budget Function/Subfunction: Federal judicial activities (752).

Program Authorization: Title 5, United States Code, Government Organization and Employees (P.L. 89-554).

E00800-012

Alternatives for Establishing a Central Statistical Capability Within the Department of Justice. FY 1975.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: Department of Justice.

Program(s) Evaluated: Program Management, Justice (16.000).

Budget Function/Subfunction: Law Enforcement and Justice (750).

Program Authorization: Title 5, United States Code, Government Organization and Employees (P.L. 89-554).

E00800-013

A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice. FY 1975.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: Immigration and Naturalization Service, Dept. of Justice.

Program(s) Evaluated: Border Patrol (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Immigration and Nationality Act (P.L. 82-414).

E00800-014

The Department of Justice's Civil Disturbance Analysis and Evaluation Function; Report and Recommendations on the Intelligence Analysis Unit. FY 1975.

Agency Sponsoring Evaluation: Office of Management and Finance, Dept. of Justice.

Agency Managing Program: Department of Justice.

Program(s) Evaluated: Program Management, Justice (16.000).

Budget Function/Subfunction: Law Enforcement and Justice (750).

Program Authorization: Title 10, United States Code, Chap. 15, Insurrection (P.L. 84-1028; 70A Stat. 15).

E00809-001

A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice. FY 1974.

Agency Sponsoring Evaluation: Immigration and Naturalization Service, Dept. of Justice.

Agency Managing Program: Immigration and Naturalization Service, Dept. of Justice.

Program(s) Evaluated: Border Patrol (16.000).

Budget Function/Subfunction: Conduct of foreign affairs (152); Federal law enforcement and prosecution (751).

Program Authorization: Immigration and Nationality Act of 1952 (P.L. 82-414).

E00809-002

Immigration and Naturalization Service; Critical Needs. FY 1974.

Agency Sponsoring Evaluation: Immigration and Naturalization Service Dept. of Justice.

Agency Managing Program: Immigration and Naturalization Service, Dept. of Justice.

Program(s) Evaluated: Immigration and Naturalization (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Immigration and Nationality Act of 1952 (P.L. 82-414).

E00809-003

Establishment of Operational Priorities--FY 1975. FY 1975.

Agency Sponsoring Evaluation: Immigration and Naturalization Service, Dept. of Justice.

Agency Managing Program: Immigration and Naturalization Service, Dept. of Justice.

Program(s) Evaluated: Immigration and Naturalization (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Immigration and Nationality Act of 1952 (P.L. 82-414).

E00809-004

A Review of the Organization of I&NS Regional Offices. FY 1975.

Agency Sponsoring Evaluation: Immigration and Naturalization Service, Dept. of Justice.

Agency Managing Program: Immigration and Naturalization Service, Dept. of Justice.

Program(s) Evaluated: Program Management, Immigration and Naturalization (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Immigration and Nationality Act of 1952 (P.L. 82-414).

E00810-001

Report on the Committee of the Basic Agent Training Program. May 1974.

Agency Sponsoring Evaluation: Drug Enforcement Administration, Dept. of Justice.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Training (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: President's Reorganization Plan No. 2 of 1973.

E00810-002

A Brief Analysis of DEA Coordination with Patrol Forces on the Southwest Border. June 28, 1974.

Agency Sponsoring Evaluation: Drug Enforcement Administration, Dept. of Justice.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Enforcement (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: President's Reorganization Plan No. 2 of 1973.

E00810-003

Improved Staffing on Major Decisions. July 18, 1974.

Agency Sponsoring Evaluation: Drug Enforcement Administration, Dept. of Justice.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Program Management (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: President's Reorganization Plan No. 2 of 1973.

E00810-004

An Analysis of the Resources, Policies, and Procedures of the Office of Inspection and Internal Security. November 1974.

Agency Sponsoring Evaluation: Drug Enforcement Administration, Dept. of Justice.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Program Management (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: President's Reorganization Plan No. 2 of 1973.

E00810-005

DEA Performance Measurement System (PMS). Quarterly.

Agency Sponsoring Evaluation: Drug Enforcement Administration, Dept. of Justice.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Enforcement (16.000); Drugs--Compliance (16.000); Drugs--Training (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: President's Reorganization Plan No. 2 of 1973.

E00810-006

Enforcement Productivity and Placement of Resources. February 1975.

Agency Sponsoring Evaluation: Drug Enforcement Administration, Dept. of Justice.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Enforcement (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: President's Reorganization Plan No. 2 of 1973.

E00810-007

An Analysis of Cross Regional Case Development. March 1975.

Agency Sponsoring Evaluation: Drug Enforcement Administration, Dept. of Justice.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Enforcement (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: President's Reorganization Plan No. 2 of 1973.

E00810-008

An Analysis of DEA Marihuana Arrestees. November 1974.

Agency Sponsoring Evaluation: Drug Enforcement Administration, Dept. of Justice.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Enforcement (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: President's Reorganization Plan No. 2 of 1973.

E00810-009

An Analysis of the Effects of Criminalization in Dangerous Drug Arrests. November 1974.

Agency Sponsoring Evaluation: Drug Enforcement Administration, Dept. of Justice.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Compliance (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: President's Reorganization Plan No. 2 of 1973.

E00811-001

Analysis of the Los Angeles District Attorney's Office. Rand Corporation, Santa Monica, Calif. 71-122-G. March 31, 1973.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-531; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-002

Test of Gimelli System for Training Court Reporters. National Center for State Courts, Washington, D.C. 72-NI-99-0031-G. July 31, 1973.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-003

Demonstration of the Reduction of Pre-Trial Delay. Case Western Reserve University Law School, Cleveland, Ohio. 73-NI-99-0015-G. February 28, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-004

Evaluation of the LEAA Courts Improvement Program, Phase I. Rand Corporation, Santa Monica, Calif. 74-NI-99-0009-G. March 12, 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-005

Demonstration Project for Closed Circuit Television Case Screening and Legal Counseling. Philadelphia District Attorney's Office, Philadelphia, Pa. 74-NI-99-015-G. June 30, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-006

Computer-Aided Transcription Service Center--Evaluation of Commercial Feasibility. National Center for State Courts, Denver, Co. 73-NI-99-0050-G. March 31, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-007

Evaluation Design for Indigents Defense Programs. National Legal Aid and Defender Association, Chicago, Ill. 74-NI-99-0049. June 23, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-008

Devices for Controlling Sentencing Disparity. University of Chicago Law School, Chicago, Ill. 75-NI-99-0020. June 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-009

Evaluation of the California Probation Subsidy Program, Phase II. University of California, Davis, Calif. 72-NI-99-0029-G. August 30, 1973.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-010

Evaluation of Youth Services Bureaus. Department of the California Youth Authority, Sacramento, Calif. 71-137-G. March 31, 1973.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-011

Evaluation of the Effects of Methadone Treatment on Crime and Criminal Addicts. Vera Institute of Justice, New York, N.Y. 73-NI-99-0022-G. February 28, 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-012

Evaluation of the Effects of Alternatives to Incarceration on Juvenile Offenders. Harvard College, Cambridge, Mass. 73-NI-99-0055-G. July 14, 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-013

Detoxification, Decriminalization and the Criminal Justice System. City of Boston, Mass. 74-NI-99-0038. December 31, 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-014

The Impact of Decriminalization on the Intake Process for Public Inebriates. American University, Washington, D.C. 74-NI-99-0055. January 31, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-015

Crime Reduction Through Aerial Patrol. Metropolitan Police Department, Washington, D.C. 70-089. August 1, 1972.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-016

Contract to Evaluate the New England Organized Crime Intelligence System (NEOCIS). Dulap and Associates, Inc., Darien, Conn. 71-095-C. December 31, 1972.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-017

An Examination of the Impact of Intensive Police Patrol. University of Rhode Island, Kingston, R.I. 71-114-PG. October 31, 1972.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-018

Develop, Test, and Implement a Computer Simulation Model. Metropolitan Police Department, Washington, D.C. 73-NI-99-0030. April 30, 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-019

An Analysis of the Criminal Investigation Process. Rand Corporation, Santa Monica, Calif. 73-NI-99-0037-G. May 31, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-020

Response Time Analysis. Kansas City Police Department, Kansas City, Mo. 73-NI-99-0047-G. December 31, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-021

An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department. Cedar Rapids Police Department, Cedar Rapids, Iowa. 70-009. September 1, 1972.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-022

An Evaluation of Small Business and Residential Alarm Systems. Sylvania Electronic Systems, Mountain View, Calif. 71-061-C. August 4, 1972.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-023

Architectural Design to Improve Security in Urban Residential Areas. New York University, New York, N.Y. 71-127-G. January 31, 1973.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-024

Residential Neighborhood Crime Control. Hartford Institute of Criminal and Social Justice, Hartford, Conn. 73-NI-99-044-G. March 31, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-025

Completion of the Kansas City Lighting Study. University of Michigan, Ann Arbor, Mich. 73-NI-99-0046-G. December 1, 1973.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-026

Evaluation of the All-Purpose Communications/Protective Helmet. Biological Sciences, U.S. Army Land Warfare Laboratory, Aberdeen Proving Grounds, Md. 71-144-1A. May 30, 1973.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-027

Evaluation of Instrumentation for the Detection of Polar Organic Aids. University of California, Los Alamos Scientific Laboratory, Los Alamos, N.M. 71-159-1A. January 12, 1973.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-028

Test and Evaluation of Less Lethal Weapons, Material, and Techniques. U.S. Army Land Warfare Laboratory, Aberdeen Proving Ground, Md. 73-NI-99-0017-1A. August 31, 1973.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-029

Project SEARCH--Latent Fingerprint Study. California Crime Technological Research Foundation, Sacramento, Calif. 73-NI-99-0035-G, December 18, 1973.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-030

Study for Alameda County 911. Alameda Regional Criminal Justice Planning Board, Oakland, Calif. 73-NI-99-0059-G, June 30, 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-031

Evaluative Study of the Equipment Systems Improvement Program (ESIP). Northwestern University, Evanston, Ill. 74-NI-99-0004-G, July 14, 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-032

Lightweight Body Armor. Biomedical Laboratory, Edgewood Arsenal, Md. LEAA-J-IAA-005-74, August 30, 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-033

Sulfur Hexafluoride Taggant for Explosives Detection. Atomic Energy Commission. LEAA-J-IAA-011-4, March 1, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-034

Feasibility Investigation and Test of Coded Taggant Materials for the Identification of Explosives. Atomic Energy Commission. LEAA-J-IAA-012-4, January 16, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-035

Law Enforcement Standards Laboratory and Support Services. National Bureau of Standards, Washington, D.C. LEAA-J-IAA-021-3, 4, June 30, 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-036

National Impact Program Evaluation. USAF Electronics Systems Division, Bedford, Mass. 72-NI-99-0025. December 31, 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-037

Annual Sample Survey and Area-Based Crime Estimates. Oregon Law Enforcement Council, Portland, Oreg. 74-NI-99-0016-G. April 1, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-038

Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects. Urban and Rural Systems Associates, San Francisco, Calif. J-LEAA-013-74. November 21, 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-039

Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects. Abt Associates, Cambridge, Mass. J-LEAA-014-74. November 6, 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-040

National Evaluation of the LEAA Pilot Cities. American Institute for Research, Kensington, Md. J-LEAA-016-74. August 5, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-041

Evaluation of Community-Based Programs for Adult Offenders. Florida State University, Tallahassee, Fla. 74-NI-99-0051. December 14, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-042

Impact Funding for Planning and Evaluation. Terry Siler. Atlanta Regional Commission. 73-NI-04-0004. FY 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-043

Baltimore Impact Planning and Evaluation. Richard Friedman. Mayor's Coordinating Council on Criminal Justice, Baltimore, Md. 74-NI-03-0001. FY 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-044

Planning and Evaluation Program, Cleveland Impact Program. Richard Boylan. Impact Cities Program, Cleveland, Ohio. 74-NI-05-0004. FY 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-045

Dallas Impact Fundings, Evaluation. Donald Cleveland. Dallas Area Criminal Justice Council. 74-NI-06-0003. FY 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-046

Denver Impact City Crime Analysis Team. Charles D. Weller. Denver Coordinating Council on Criminal Justice. 74-NI-08-0002. FY 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-047

Newark High Impact Evaluation Unit, Office of the Mayor. Hubert Williams. High Impact Anti-Crime Program, Newark, N.J. 73-NI-02-0002. FY 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-048

Portland Impact Evaluation Plan. Michael Letter. Impact Task Force Staff. 74-NI-10-0002. FY 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-049

St. Louis Hi-Impact Anti-Crime Evaluation Program. Otto Heinece. St. Louis Commission on Crime and Law Enforcement. 73-NI-07-0005. FY 1974.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00811-050

Evaluation of Criminal Justice Planning Institute. American Justice Institute. 75-NI-99-0009. April 14, 1975.

Agency Sponsoring Evaluation: National Institute of Law Enforcement and Criminal Justice, LEAA.

Agency Managing Program: National Institute of Law Enforcement and Criminal Justice, LEAA.

Program(s) Evaluated: Law Enforcement Research and Development--Project Grants (16.507).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351; P.L. 91-644; P.L. 93-83; P.L. 93-415).

E00880-001

Efforts to Prevent Heroin from Illicitly Reaching the U.S. B-164031(2). October 20, 1972.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Department of Justice; Department of State.

Program(s) Evaluated: Drugs--Criminal (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Reorganization Plan No. 2 of 1973.

Public Availability: GAO, Distribution Section.

GAO looked into the progress being made in controlling illicit narcotics traffic in Europe and the Near East (sources of most heroin reaching the United States). GAO reported that the Bureau of Narcotics and Dangerous Drugs and U.S. Embassy officials have succeeded in getting foreign governments to better control illicit narcotics traffic; however, the following obstacles must be overcome: (1) national police forces lack reliable means of exchanging intelligence and make little effort to recruit and use informers; (2) national laws prevent police forces from performing undercover work; (3) national laws in some countries do not permit law enforcement authorities to grant immunity or to bargain for reduced sentences; (4) Bureau agents assigned overseas do not speak or write fluently in the language of countries where they operate; and (5) the Bureau does not have a policy encouraging agents with special interests and skills to accept long-term overseas assignments. GAO recommended that the Attorney General take necessary actions to insure that all special agents assigned to foreign posts are proficient in the host country language; and establish a policy which would encourage agents with special interests and skills to accept long-term overseas assignments. The Bureau agreed with these findings and conclusions and said that actions had been or would be taken to implement the recommendations.

E00880-002

Letter Report Issues Concerning New Legitimate Sources for Opium, Technology Required to Increase Production from Present Sources, and the State of Research with respect to Synthetic Alternatives. B-173123. November 21, 1974.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Department of Justice.

Program(s) Evaluated: Drugs--Health (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Reorganization Plan No. 2 of 1973.

Public Availability: GAO, Distribution Section.

E00880-003

Federal Regulation of Lobbying Act--Difficulties in Enforcement and Administration. GGD-75-79. April 2, 1975.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Department of Justice.

Budget Function/Subfunction: Law Enforcement and Justice (750).

Program Authorization: Federal Regulation of Lobbying Act (P.L. 79-601).

Public Availability: GAO, Distribution Section.

Much of the past criticism of the act concerns the difficulty of determining (1) whether a person is principally engaged in lobbying activities and (2) the narrow definition given to 'lobbying.' The Clerk of the House and the Secretary of the Senate do not have investigative authority, the right to inspect records, or enforcement power, and therefore do not monitor the registration and reporting requirements. If Congress believes there is a need for stronger administration of the act, it may wish to pursue these lacks, with the Clerk of the House and Secretary of the Senate, to determine whether the act should be strengthened. Congress may also want to discuss with them follow-up efforts necessary to encourage complete and timely reporting.

E00888-001

Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough. B-133223. November 6, 1973.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Bureau of Prisons, Dept. of Justice.

Program(s) Evaluated: Corrections (16.000).

Budget Function/Subfunction: Federal correctional and rehabilitative activities (753).

Public Availability: GAO, Distribution Section.

The Bureau of Prisons has made progress in developing educational, vocational, and related programs for rehabilitating Federal offenders but, in relation to the total problem, this progress has been limited, because: (1) available rehabilitation programs were not fully used because inmates were not motivated to improve themselves; (2) shortages of psychiatrists, psychologists, and social caseworkers prevented treatment for some inmates needing help; (3) inmates had only limited opportunities to learn marketable skills; (4) vocational programs were limited by availability of Federal Prison Industries, Incorporated, funding; and (5) sufficient emphasis was not placed on preparing inmates for jobs. The Department of Justice generally agreed with the findings and conclusions concerning the deficiencies noted and told GAO that the recommendations to correct the deficiencies are being carried out as resources are made available.

E00888-002

Proposals and Actions for Improving the Federal Parole System. B-133223. May 24, 1974.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Bureau of Prisons, Dept. of Justice.

Program(s) Evaluated: Corrections (16.000).

Budget Function/Subfunction: Federal correctional and rehabilitative activities (753).

Public Availability: GAO, Distribution Section.

E00888-003

Use of Statutory Authority for Providing Inmate Release Funds. B-133223. August 16, 1974.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Bureau of Prisons, Dept. of Justice.

Program(s) Evaluated: Corrections (16.000).

Budget Function/Subfunction: Federal correctional and rehabilitative activities (753).

Public Availability: GAO, Distribution Section.

A person convicted under U.S. laws must be furnished transportation, clothing, and not more than \$100 upon discharge from prison or release on parole. Use of surplus commissary funds for advances (loans) to inmates at time of release as an aid to rehabilitation is also authorized. This loan may not exceed \$150. Since it is unclear whether the law (18 USC 4284) authorizes loans to prisoners in the work release program, GAO recommended that legislation authorizing or prohibiting the making of such loans be enacted.

E00889-001

A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports. B-114898. May 30, 1973.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Immigration and Naturalization Service, Dept. of Justice; U.S. Customs Service, Dept. of the Treasury.

Program(s) Evaluated: Inspection for Admission into the United States (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Immigration and Nationality Act (P.L. 66-414).

Public Availability: GAO, Distribution Section.

Inspection resources of responsible Federal agencies--Immigration and Naturalization Service, Department of Justice; Bureau of Customs, Department of the Treasury; Public Health Service, Department of Health, Education, and Welfare; and Department of Agriculture--are strained by the increased number of arrivals, particularly at international airports. This situation is further aggravated by the uneven distribution of arrivals during the day and year. The increases in and concentration of air arrivals and the present four-way division of inspections contribute to management problems. At Kennedy airport in New York, the agencies must staff and supervise inspection operations at four different locations; have different policies and procedures for establishing work shifts and billing airlines for services rendered; and have different pay scales for overtime work which results in wide disparities in pay for inspectors performing similar work. GAO recommended that the Office of Management and Budget cooperate

with the four agencies to implement single-agency management of port-of-entry inspections.

E00889-002

More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States. B-125051. July 31, 1973.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Immigration and Naturalization Service, Dept. of Justice.

Program(s) Evaluated: Inspection for Admission into the United States (16.000); Adjudications (16.000); Detention and Deportation (16.000); Border Patrol (16.000); Investigating Alien Status (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Immigration and Nationality Act (P.L. 66-414).

Public Availability: GAO, Distribution Section.

The Immigration and Naturalization Service does not have the illegal alien problem in the U.S. under control. The increasing number of illegal aliens far exceeds INS's ability to cope with the problem. Illegal aliens have increased from about 200,000 in FY 1968 to over 500,000 in FY 1972. These people have a strong incentive to enter the U.S. in search of employment. Many employers continue to hire illegal aliens even after repeated INS visits and other INS efforts to dissuade these employers from hiring such aliens. GAO recommends that the Senate give favorable consideration to aspects of House Bill 982 which make it unlawful to hire illegal aliens.

E00889-003

Certain Activities of the N.Y. District Office of the Immigration and Naturalization Service, Department of Justice. September 10, 1974.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Immigration and Naturalization Service, Dept. of Justice.

Program(s) Evaluated: Investigating Aliens' Status (16.000); Adjudications (16.000); Inspection for Admission into the United States (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Immigration and Nationality Act (P.L. 66-414).

Public Availability: GAO, Distribution Section.

E00889-004

Better Controls Needed to Prevent Foreign Students from Violating the Conditions of Their Entry and Stay in the U.S. B-125051. February 4, 1975.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Immigration and Naturalization Service, Dept. of Justice.

Program(s) Evaluated: Inspection for Admission into the United States (16.000); Adjudications (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Immigration and Nationality Act (P.L. 66-414).

Public Availability: GAO, Distribution Section.

E00889-005

Need to Reduce Public Expenditures for Newly Arrived Immigrants and Correct Inequity in Current Immigration Law. GGD-75-107. July 15, 1975.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Immigration and Naturalization Service, Dept. of Justice.

Program(s) Evaluated: Inspection for Admission into the United States (16.000); Adjudications (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Immigration and Nationality Act (P.L. 66-414).

Public Availability: GAO, Distribution Section.

E00890-001

Federal Efforts to Combat Drug Abuse. B-164031(2). August 8, 1972.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Criminal (16.000); Drugs--Compliance (16.000); Drugs--Training (16.000); Drugs--Health (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Reorganization Plan No. 2 of 1973.

Public Availability: GAO, Distribution Section.

E00890-002

Heroin Being Smuggled into New York City Successfully. B-164031(2). December 7, 1972.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Criminal (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Reorganization Plan No. 2 of 1973.

Public Availability: GAO, Distribution Section.

GAO examined efforts being made to intercept heroin entering Harlem in New York City through the Port of New York and Kennedy International Airport. The report concluded that the nature and magnitude of heroin smuggling and the Bureau of Customs' mission, workload, and manpower make it unrealistic to expect Customs inspections to prevent most heroin from being smuggled into the United States. GAO recommended that the Secretary of the Treasury: (1) establish, on a test basis, a mobile blitz force to make intensive searches of

cargo which, on the basis of supplied intelligence, is a suspected means of smuggling; (2) develop a management information system to provide continuing data on the effectiveness of inspectors; and (3) obtain intelligence from the National Intelligence Office established by the President in the Department of Justice and from the international narcotics data bank being established by the Bureau of Narcotics and Dangerous Drugs.

E00890-003

Difficulties in Immobilizing Major Narcotics Traffickers. B-175425. December 21, 1973.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Criminal (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Reorganization Plan No. 2 of 1973.

Public Availability: GAO, Distribution Section.

A program to immobilize major narcotics traffickers and to stop the flow of drugs into the United States was started by the Bureau of Narcotics and Dangerous Drugs and was continued by its successor agency, the Drug Enforcement Administration. Obstacles in arresting the selected major traffickers were: (1) they did not personally handle illicit drugs, (2) they lived or operated outside the United States where the Bureau activities and authority were limited, or (3) their cases were not being investigated due to lack of informants, intelligence, and/or Bureau resources. Other factors were: (1) some individuals had been erroneously identified as major traffickers, (2) written plans for investigation were lacking, and (3) better coordination between the Bureau and other Federal law enforcement agencies was needed. Also, arrested narcotics traffickers were not being effectively immobilized because, for the most part, they were (1) released on bail for long periods and thus were free to continue their operations, (2) sentenced to short prison terms which tended to negate the deterrent effect of prosecution, (3) acquitted after trial or had their cases dismissed because of inadequate development or presentation of cases, or (4) permitted to plead to a reduced charge and thus immobilized for a much shorter period of time than might have been the case if processed further.

E00890-004

Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough. B-175425. June 7, 1974.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Criminal (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Reorganization Plan No. 2 of 1973.

Public Availability: GAO, Distribution Section.

The abuse of dangerous drugs--including barbiturates, amphetamines, and such hallucinogens as LSD--has become a serious problem. The Drug Enforcement Administration established some programs for identifying and investigating illicit sources of dangerous drugs. These programs have brought about arrests of national and international drug traffickers and have closed illicit dangerous drug laboratories. Weaknesses in several areas, however, have limited the programs' effectiveness. The agency needs to increase enforcement efforts which have been relatively low in comparison to heroin enforcement, efforts to control production and smuggling through Mexico, and the effectiveness of its program for controlling the ingredients used in manufacturing illicit dangerous drugs. The Department of Justice stated that dangerous drug enforcement had received a lesser priority until early 1973 because the entire Federal community had emphasized heroin as the primary drug problem and because dangerous drug enforcement is a new and highly innovative endeavor. Therefore, it could not provide definite comments on GAO's recommendations without further analysis.

E00890-005

Issues Concerning Supply and Demand for Licit Opium in U.S. as of July 1, 1974. B-173123. July 23, 1974.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Compliance (16.000); Drugs--Health (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Reorganization Plan No. 2 of 1973.

Public Availability: GAO, Distribution Section.

E00890-006

Efforts to Stop Narcotics and Dangerous Drugs Coming from and through Mexico and Central America. GGD-75-44. December 31, 1974.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice; Department of State.

Program(s) Evaluated: Drugs--Criminal (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Reorganization Plan No. 2 of 1973.

Public Availability: GAO, Distribution Section.

The flow of narcotics and dangerous drugs from and through Mexico to the United States is increasing and the U.S. is trying to stop the flow by: forcibly preventing shipment of drugs to the United States (called interdiction); eliminating illicit production in Mexico; and assisting the Mexican Government's antidrug efforts. This report reviews efforts needed, and being taken, to reduce this flow of drugs into the U.S. from Mexico and Central America and to assist those congressional committees having oversight responsibilities in this area.

E00890-007

Problems in Slowing the Flow of Cocaine and Heroin from and through South America. GGD-75-80. May 30, 1975.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Drug Enforcement Administration, Dept. of Justice.

Program(s) Evaluated: Drugs--Criminal (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Reorganization Plan No. 2 of 1973.

Public Availability: GAO, Distribution Section.

E00891-001

Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting. B-171019. January 16, 1973.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Law Enforcement Assistance Administration, Dept. of Justice.

Program(s) Evaluated: Criminal Justice--Statistics Development (16.509).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351); 1973 Crime Control Act (P.L. 93-83).

A nationwide computerized criminal history exchange system will enable law enforcement agencies, courts, and correctional institutions to determine, in seconds, whether an individual has a criminal record. The Law Enforcement Assistance Administration awarded grants totaling about \$4 million for developing a prototype of such a system. According to Administration and state officials, an exchange system for the 50 states could cost at least \$100 million but the cost to develop a fully operational system had not been determined. Therefore, Federal, state, and local governments cannot determine whether they will be able, or willing, to meet the financial requirements of developing and operating the system. Also, law enforcement agencies, courts, and correctional institutions had not reported all arrest and disposition data to the states for entry into the system. Until they do, system users have no assurance that the data they receive is complete or accurate. The Department of Justice stated that it was taking actions to provide cost data and improve the reporting of arrest and disposition data.

E00891-002

Letter Report on Administration of Discretionary Grant Program by LEAA. October 25, 1973.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Law Enforcement Assistance Administration, Dept. of Justice.

Program(s) Evaluated: Law Enforcement Assistance--Discretionary Grants (16.501).

Budget Function/Subfunction: Law enforcement assistance (754).

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Budget Function/Subfunction: Law enforcement assistance (754).

Public Availability: GAO, Distribution Section.

GAO reviewed LEAA grants designed to solve state and local court problems in California, Colorado, Illinois, Massachusetts, New York, and Pennsylvania. During fiscal years 1969-73, the states had allocated about \$180 million of LEAA funds to programs to improve court procedures and systems. Problems in developing LEAA-supported state plans and in providing technical assistance limited the abilities of states and LEAA to improve court systems. LEAA had not made sure that its grants for state court improvement programs were directed to causes of the most serious problems. GAO recommended that LEAA require states to develop plans with specific goals, provide states with evaluation criteria, adequately staff its regional offices, determine the effectiveness of organizations receiving LEAA funds, and insure that court statistical reporting systems are developed and the results of projects funded are made available to the states.

E00891-010

Progress in Determining Approaches Which Work in the Criminal Justice System. B-171019. October 21, 1974. 42 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Law Enforcement Assistance Administration, Dept. of Justice.

Program(s) Evaluated: Law Enforcement Assistance--Improving and Strengthening Law Enforcement Criminal Justice (16.502).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351); Juvenile Justice and Delinquency Prevention Act of 1974 (P.L. 93-415).

Public Availability: GAO, Distribution Section.

The need to identify what approaches to prevent or reduce crime best assist the criminal justice system--police, courts, and corrections--has led to a mandate in the Crime Control Act of 1973 that LEAA evaluate its programs. The act required that the states assist LEAA by providing information and by making evaluations of their own. Although states made progress between 1968 and 1973, few were doing outcome evaluations; most were planning how to do them. LEAA and the states are becoming increasingly concerned about this need and are planning to meet requirements of the new legislation.

E00891-011

Long Term Impact of Law Enforcement Assistance Grants Can Be Improved. GGD-75-1. December 23, 1974.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Law Enforcement Assistance Administration, Dept. of Justice.

Program(s) Evaluated: Law Enforcement Assistance--Improving and Strengthening Law Enforcement and Criminal Justice (16.502).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351); Juvenile Justice and Delinquency Prevention Act of 1974 (P.L. 93-415).

Public Availability: GAO, Distribution Section.

As a result of inadequate Federal guidelines, states' policies regarding continuation of Law Enforcement Assistance Administration projects varied significantly. States' success rates on continuing worthwhile projects also varied. This report gives a detailed review of the continuation policies and practices in Alabama, California, Michigan, Ohio, Oregon, and Washington, and is based on responses to a GAO questionnaire by 39 states and the District of Columbia. Neither the Federal agency nor the six states emphasized sufficiently the problems of how to continue worthwhile projects, a matter that Congress may want to follow up.

E00891-012

Pilot Cities Program: Phaseout Needed Due to Limited National Benefits. B-171019. February 3, 1975.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Law Enforcement Assistance Administration, Dept. of Justice.

Program(s) Evaluated: Law Enforcement Assistance--Discretionary Grants (16.501).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351); Juvenile Justice and Delinquency Prevention Act of 1974 (P.L. 93-415).

Public Availability: GAO, Distribution Section.

E00891-013

How Federal Efforts to Coordinate Programs to Mitigate Juvenile Delinquency Proved Ineffective. GGD-75-76. April 21, 1975.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Law Enforcement Assistance Administration, Dept. of Justice.

Program(s) Evaluated: Law Enforcement Assistance--Improving and Strengthening Law Enforcement and Criminal Justice (16.502).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351); Juvenile Justice and Delinquency Prevention Act of 1974 (P.L. 93-415).

Public Availability: GAO, Distribution Section.

E00891-014

Federal Guidance Needed if Halfway Houses are to be a Viable Alternative to Prison. GGD-75-70. May 28, 1975.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Law Enforcement Assistance Administration, Dept. of Justice.

Program(s) Evaluated: Law Enforcement Assistance--Improving and Strengthening Law Enforcement and Criminal Justice (16.502); Law Enforcement Assistance Technical Assistance (16.503).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351); Juvenile Justice and Delinquency Prevention Act of 1974 (P.L. 93-415).

Public Availability: GAO, Distribution Section.

LEAA has assisted halfway houses financially but has provided little guidance in planning or operating them. GAO estimated that about half of all offenders treated by 15 houses had been rehabilitated; that is, they had successfully completed their programs and had not become recidivists. GAO recommended that the Attorney General should direct LEAA to: (1) require states to describe how they will develop an adequate system for coordinating halfway houses with other correctional efforts or improve existing systems and what standards halfway houses must meet to receive Federal funds; and (2) determine the best aspects of the different approaches now used by halfway houses and develop criteria to assess the houses' effectiveness.

E00891-015

Problems in Administering Programs to Improve Law Enforcement Education. GGD-75-67. June 11, 1975. 56 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Law Enforcement Assistance Administration, Dept. of Justice.

Program(s) Evaluated: Law Enforcement Education--Student Financial Aid (16.504); Law Enforcement Research and Development--Graduate Research Fellowships (16.505); Law Enforcement Assistance--Educational Development (16.511); Law Enforcement Assistance--Internships (16.512).

Budget Function/Subfunction: Law enforcement assistance (754).

Program Authorization: Omnibus Crime Control and Safe Streets Act of 1968, as amended (P.L. 90-351); Juvenile Justice and Delinquency Prevention Act of 1974 (P.L. 93-415).

Public Availability: GAO, Distribution Section.

Before 1974, management of the three law enforcement education programs was inadequate. This resulted in: untimely and subjective distribution of funds to schools; inefficient use of funds; deficiencies in accounting so that the agency was unable to hold individuals accountable for education funds; and insufficient program monitoring and no program evaluation. Results of a GAO questionnaire showed 39 percent of respondents without prior experience who actively looked for work in criminal justice failed to find employment 6 months after they graduated. Sixty-five percent of women could not find criminal justice jobs compared to 32 percent of men. Overall, 48 percent of graduates with no prior criminal justice experience did not obtain criminal justice employment.

E00894-001

The Heroin Hotline. Report No. 155. September 26, 1972.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Office of Drug Abuse Law Enforcement, Dept. of Justice.

Program(s) Evaluated: Drugs--Criminal (16.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Reorganization Plan No. 2 of 1973.

Public Availability: GAO, Distribution Section.

Department of Labor

E00900-001

The Evaluation of the WIN II Program: methodological issues and some initial results. Project Officer: Gary Reed. February 1974.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Manpower Administration, DOL.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Social Security Act, as amended (P.L. 90-248; P.L. 92-223); Revenue Act of 1971 (P.L. 92-178).

This study has three major purposes: (1) to explore various methodological issues in deriving conclusive, long-run estimates of the impact of the WIN II program; (2) to develop an analytical framework for making short-run assessments of the WIN II program; (3) to develop some tentative estimates of WIN II impact on participant earnings. The study concludes that an adequate assessment of the net impact of WIN II on participant earnings requires estimates of both the increase in earnings due to participation during the first year after the program and the rate at which this net impact depreciates over time. Using indirect evidence from program data and data on the AFDC population, the study concludes that the social rate of return due to WIN program expenditures in FY 1973 probably was positive for males but may have been zero or lower for females, and that the welfare savings rate of return in the same year may have been negative for both males and females. The report stresses, however, that these estimates are partially informed guesses.

E00900-002

An Impact Evaluation of the Public Employment Program. Project Officer: George E. Johnson. April 1974.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Manpower Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

The purpose of this study is to evaluate the Public Employment Program. The authors focus on three questions: (1) What was the effect of PEP subsidies on net employment creation by state and local governments? (2) Did the PEP program focus on the relatively disadvantaged members of the work force? (3) How effective is public employment as an antirecession measure? The study found that: (1) PEP funds were subject to a substantial displacement. Furthermore, displacement under the public employment component of CETA will be at least as serious. (2) PEP participants had a relatively high average educational attainment, which is not surprising, since state and local government is a skill-intensive industry. (3) In the short-run (one or two quarters) a public employment program will have the greatest impact on total employment of all fiscal policy options considered. However, after five or six quarters, government spending probably has a greater impact if displacement is as high as the estimates suggest.

E00900-003

Compliance with the Minimum Wage Law: progress report. Project Officer: Robert S. Smith. April 1974.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation and Research, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Fair Labor Standards Act of 1938 (P.L. 75-718); Walsh-Healy Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

This study provides estimates of compliance with the Federal minimum wage law across regions, as well as by race, sex, and age of affected workers. The objectives of the study are to (1) construct a useful definition of compliance, (2) test the implicit assumption of the most studies concerning the minimum wage that the law is fully complied with, and (3) evaluate the effectiveness of ESA efforts to achieve compliance with the law. There seems to be little incentive to comply with the minimum wage law in advance of an inspection visit by an ESA compliance officer. However, it appears that in the sectors where ESA concentrates its compliance efforts (South, minorities, women) compliance is highest. The overall compliance rate is about 70%.

E00900-004

Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential. Project Officer: George Johnson. May 15, 1974.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Manpower Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

This study examined the effect of training programs initiated under the Manpower Development Training Act of 1962 on the average wage of black workers relative to the average wage of white workers. It found that the estimated effect of manpower training programs on the black/white wage ratio are seen to be positive but minor. These estimates are composed on enrollment, training, and displacement effects.

E00900-005

Analysis of Social Security Data to Estimate the Impact of Manpower Programs. Project Officer: Orley Ashenfelter. 1973.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Manpower Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training Programs (17.232).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1964 (P.L. 93-567).

E00900-006

National Academy of Science Critical Review of the Use of Social Security Data to Estimate the Effect of Manpower Programs. Project Officer: James L. Blum. 1973.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Manpower Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

E00900-007

An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit. Project Officer: Ken McLennan. Urban Institute, Washington, D.C. #L-73-4. May 1973.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Manpower Administration, DOL.

Program(s) Evaluated: Work Incentives (17.226).

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E00900-012

Differences in the Total Employment Effects of Government Purchases from the Private Sector and Direct Government Hiring of the Unemployed. Working draft. George E. Johnson: University of Michigan. July 1973. 35 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

The results of this study indicate that government hiring has a greater multiplier effect on total employment relative to the multiplier effect on government purchases of goods and services from the private sector, and that the reverse is true; that it is more likely to be more expansionary than government purchases of the output of the private sector; and that the effect of government hiring on total employment is more likely to be greater than the effect of the other types of government spending. In addition, if real wages are not free to fall, then government expenditures from the private sector will have no effect on employment; if labor market equilibrium requires a certain level of unemployment in the private sector, government hiring will probably have a positive effect on total employment; and that there is no evidence that workers are more likely to consume than the recipients of property income, thus ruling out the argument that government purchases from the private sector will be more expansionary than the government wage bill because the resources put into the hands of workers will be translated into aggregate demand more quickly. The study also contains other findings.

E00900-013

Minimum Wage Legislation in the United States. Working draft. Finis Welch. Rand Corporation, Santa Monica, Calif. August 1973. 48 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Fair Labor Standards Act (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

According to this analysis paper, minimum wage legislation has reduced employment among teenagers, has heightened the vulnerability of teenage employment to the vagaries of the business cycle, and has had very large effects on the industrial distribution of teenage employment. Furthermore, at the present time no empirical study exists on the effect of minimum wage

legislation on school enrollment, and such a study focusing especially on traditionally economically-disadvantaged demographic groups is important. In aiding further research, the following types of information should be obtained; own and cross-elasticities of demand between various groups of laborers, not only for minimum wage legislation but for all simulations of the effect of welfare-oriented policies; information concerning labor supply functions of low-wage workers; which will help determine how school enrollment rates of teenagers are affected and how the labor-force participation rates of females are affected; and information dealing with the question of effects of minimum wage legislation on wage distributions, so that the question of enforcement and compliance along with that of wage depression in uncovered sectors may be answered.

E00900-014

Compensating Wage Differentials and Hazardous Work. Working draft. Robert S. Smith. August 1973. 37 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Occupational Safety and Health Administration, DOL.

Program(s) Evaluated: Occupational Safety and Health (17.500).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Occupational Safety and Health Act of 1970 (P.L. 91-596); Construction Safety Act (P.L. 91-54); Service Contract Act (P.L. 89-286); Maritime Safety Act (P.L. 85-742); Walsh-Healey Public Contracts Act (P.L. 74-846).

This study parameterizes a basic wage equation which incorporates the risk of death, permanent impairment and temporary disability, and tests various specifications on a sample of 3183 white males. Section I parameterizes the loss function associated with injuries and incorporates the relevant injury variables into a fairly standard wage equation. Section II reports on the empirical tests of the models developed, and Section III summarizes the findings and their implications. The results indicate that compensating differentials related to the probability of being fatally injured do exist, with an estimated proportionate differential of creditable size. The evidence of a differential related to nonfatal injuries is scant, and there is no evidence of a differential for temporary injuries. If this remains true in future study results, government interference in the market, through some sort of information program safety legislation, or tax on injuries, may be justified.

E00900-015

Estimating the Benefits of Job Banks as a Computerized Record Keeping System. Working draft. David A. Smith. August 1973. 24 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30); Social Security Act of 1935 (P.L. 74-271); Work Incentive Program (42 USC 602); Provisions Relating to Employment Security (P.L. 86-778); Vietnam Era Veterans' Readjustment Assistance Act of 1974 (P.L. 93-508); Trade Expansion Act of 1962 (P.L. 87-794); Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Rehabilitation Act of 1973 (P.L. 93-112); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

This evaluation of the Employment Service (ES) indicates that job banks do not seem to have had a significant influence in reducing any discrimination that existed prior to job banks in the job placement activities of the ES. It is clear that the benefits of the ES can be precisely specified, but there simply isn't a large enough body of adequate data to make reliable measurements for answering specific questions. Gathering a data base that is both appropriate and reasonably large must be the first step in determining more about the impact of job banks on the ES.

E00900-016

The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates. Arlene Holen and Stanley Horowitz. August 1973. 31 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30); Social Security Act of 1935 (P.L. 74-271); Work Incentive Program (42 USC 602); Provisions Relating to Employment Security (P.L. 86-778); Vietnam Era Veterans' Readjustment Assistance Act of 1974 (P.L. 93-508); Trade Expansion Act of 1962 (P.L. 87-794); Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Rehabilitation Act of 1973 (P.L. 93-112); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

This study of the administration of unemployment insurance laws concludes that states that turn down more persons for unemployment benefits because they aren't available for work have lower unemployment rates; that Federal expenditures for unemployment insurance administration are positively related to nonavailability denials; that the proportion of unemployment insurance benefit-time spent on nonmonetary determination is positively associated with denials; and that states which allow mail claims may have lower denial rates. In addition, the study concludes that states that allow biweekly claims may have higher denial rates; that there is very weak evidence that the lower unemployment rates associated with more denials are due both to a drop in labor-force participation and a roughly equal increase in employment; and that the payment of a higher fraction of wages as unemployment benefits may be related to higher unemployment rates, but the hypothesis that more liberal unemployment insurance provisions are associated with higher unemployment rates remains unconfirmed.

E00900-017

The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings. Working draft. Project Officer: Louis S. Jacobson. Public Research Institute. September 1973.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training Programs (17.232).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

This report discusses the use of Social Security data to measure the effect of Manpower Development and Training Act (MDTA) programs on the earnings of participants. It recommends procedures for use by the Department of Labor in producing a more accurate assessment of returns. The first part examines the basic problems that must be solved to correctly analyze the MDTA impact on earnings. It shows that models of income determination must include variables to measure the factors which induced trainees to join the MDTA program. The second part calculates the impact of MDTA training on earnings using respecified models. Finally, additional modifications are made in the basic model to produce assessments with increased accuracy. In spite of earlier studies reaching invalid conclusions, the report determines that Social Security data can be used effectively to obtain an accurate assessment of the earnings impact of manpower programs. In addition, it concludes that the MDTA program has enabled participants to raise their earnings above what they would have obtained without training.

E00900-018

The Neighborhood Youth Corps: An Impact Evaluation. Working draft. Robert S. Smith and Hugh M. Pitcher. September 1973. 69 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

This study finds that the Neighborhood Youth Corps (NYC) program is essentially redistributive in that income is transferred from middle and upper income groups to the poor. The summer program appears to be slightly more redistributive than the in-school program, at least in the short-run. The evidence also indicates that the employment effects of NYC are not conclusive or credible. At this point, there is insufficient data with which to evaluate changes in teenage employment that may have occurred as a result of NYC. In addition, the effects of NYC on teenage crime rates are essentially

unknown, and unknowable using current data; evidence with respect to the long-run impact of NYC on earnings is likewise unconvincing, for the estimated effects on earnings alternate between rates of return that may be inordinately high and rates of return that are zero; and that evidence with respect to educational attainment is also conflicting.

E00900-019

Economic Considerations for Manpower Revenue Sharing. Working draft. Daniel Hamermesh and Hugh Pitcher. July 1973. 32 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

This report reviews the allocation of expenditures for manpower programs across geographical areas, and constructs alternative formulae designed to reflect various goals of manpower policy. Section II provides critical considerations for evaluating formulae for manpower sharing. Section III presents a model based on the goal of maximizing the efficiency of manpower programs in benefit-cost terms, and derives a formula for revenue sharing based on this formula. Section IV presents proxies for the variables used for the formula, and formulae that can be applied to data for the jurisdictions that will receive revenue sharing funds. The formulae based on efficiency are modified in Section V to include income redistribution. Section VI compares the formula allocations implied with those actually existing in 1973, and those implied by the work-force unemployment-poverty formula. The report determines that any formula must utilize factors considering the net flow of workers into central cities from suburban areas. The use of the squared employment rate is necessary to reflect differences in opportunity costs, and to account for different communities' ability to finance expenditures themselves.

E00900-020

Job Matching Under Imperfect Information. Working draft. Dale T. Mortensen. Northwestern University, Chicago, Ill. October 1973. 84 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30); Social Security Act of 1935 (P.L. 74-271); Work Incentives Program (42 USC 602); Provisions Relating to Employment Security (P.L. 86-778); Vietnam Era Veterans' Readjustment Assistance Act of 1974 (P.L. 93-508); Trade Expansion Act of 1962 (P.L. 87-794); Comprehen-

sive Employment and Training Act of 1973 (P.L. 93-203); Rehabilitation Act of 1973 (P.L. 93-112); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

This study conceptualizes the benefits that might be attributable to government policies designed to improve the match of jobs and workers. The job-matching problem is to allocate workers to jobs in such a way that total productivity is as large as possible given each worker's willingness to work and the costs of other factors of production. The study states that because the elasticity with respect to turnover is proportional to the range of wage distribution, the magnitude of the improvement attributable to reducing the effective number of exchanges by introducing a multi-list of jobs and workers also depends on the extent of wage dispersion. In addition, because the equilibrium acceptance-wage is independent of the number of labor exchanges, the elasticity of employment with respect to the number of exchanges equals the elasticity of the employment ratio with respect to the number of exchanges. However, for the purpose of using the empirical estimates to evaluate the potential benefits attributable to a specific policy proposal, the effects of the proposed policy on the parameters of the model would also have to be determined. This is a task that could be performed only after the details of the policy proposal are clearly stated.

E00900-021

Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act. Working draft. Orley Ashenfelter. October 1973. 21 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

The latest analysis of the effects of Manpower Training and Development Act (MDTA) training on the subsequent earnings of trainees who started and completed training in 1964 suggests that the earnings of institutional trainees decline relative to the earnings of the comparison group during the year the institutional trainees are in training; that the average earnings of all MDTA trainee groups are increased above what they otherwise would have been over the period 1965-69; and that the average effects of training on earnings varied depending on the race and sex of the trainee. In addition, the average effects of training on earnings varied depending on the type of training programs; the effects of training on earnings are largest in the year immediately after training, and tend to decline in the years following training; and that where the above results are based on large samples of data, they tend to be relatively insensitive to changes in the basic trainee sample or comparison group sample that are designed to make both groups more homogeneous.

E00900-022

Theories of Search in a Labor Market. Working draft. Kenneth Burdett. Northwestern University, Chicago, Ill. October 1973. 49 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30); Social Security Act of 1935 (P.L. 74-271); Work Incentives Program (42 USC 602); Provisions Relating to Employment Security (P.L. 86-778); Vietnam Era Veterans' Readjustment Assistance Act of 1974 (P.L. 93-508); Trade Expansion Act of 1962 (P.L. 87-794); Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Rehabilitation Act of 1973 (P.L. 93-112); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

This report reviews the major results of new developments in the problem of unemployment and the unemployment-inflation trade-off. It provides a detailed analysis of the individual search model, and its application to the macroeconomic situation. The difficulty of previous studies centered on the one-sidedness of the market considered. The difficulties of a full market approach are discussed in detail. Aspects of the problems which are excluded are nonsequential search, problems associated with a market in which offers have different contract lengths, and models in which individuals choose their own intensity of search. Section II introduces the various search models, and studies the nature of the problem and its solution. Section III summarizes the known distribution models of search, and Section IV the models of unknown distribution. Section V discusses the application of the individual search model through a derivation of the Phillips relationship, and the policy issues raised in considering the technology of search. Section VI presents the market approach to the search problems. The appendix provides proofs of the propositions set forth in the report.

E00900-023

The Predicted Impact of the Black Lung Benefits Program on the Coal Industry. Working draft. 2nd revision. Morris Goldstein and Robert S. Smith. December 1973. 68 pp. + appendixes.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Occupational Safety and Health Administration, DOL.

Program(s) Evaluated: Occupational Safety and Health (17.500).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604); Prevention and control of health problems (553).

Program Authorization: Occupational Safety and Health Act of 1970 (P.L. 91-596); Construction Safety Act (P.L. 91-54); Service Contract Act (P.L. 89-286); Maritime Safety Act (P.L. 85-742); Walsh-Healey Public Contracts Act (P.L. 74-846).

An analysis of various production relationships led to the conclusion that it was appropriate to assume Cobb-Douglas production functions with constant returns to scale in each sector. The demand for coal was characterized by a two-equation system: the demand function for total coal was estimated and then the breakdown between underground and surface-mined coal was determined; the level of employment in each sector was determined from the profit-maximizing marginal productivity condition for labor. Using the insurance rates being adopted by states, it was estimated that employment in the underground sector would have been 9-13 percent lower in 1971 than actually occurred had the insurance premiums been in effect; and in the strip-mining sector, employment would have been between 2.6 percent lower and 1.2 percent higher than actually occurred. An output tax designed to generate identical revenues would have saved some 7,000 jobs compared to a tax on payrolls. The output tax would have caused output and employment in strip-mining to increase relative to output and employment in underground mining. The analysis produced additional conclusions to those cited above.

E00900-024

An Evaluation Strategy and Plan for Department of Labor. John Scanlon. Urban Institute, Washington, D.C. December 1972.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Department of Labor.

Program(s) Evaluated: Program Management, DOL (17.000).

Budget Function/Subfunction: Other manpower services (505).

E00900-025

The Economics of Distribution; the impact of public policy. George Burman. University of Chicago, Chicago, Ill. June 1972.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Equal Employment Opportunity by Federal Contractors (17.301).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Executive Order 11246, September 24, 1965, as amended by Executive Order 11375, October 13, 1967.

E00900-026

Manpower Program Follow-up Systems. William Morsch. Columbia Research Associates. March 1973.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Manpower Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

E00900-027

Black-White Earnings and Employment: 1960-1970. Working draft. James P. Smith and Finis R. Welch. Rand Corporation; National Bureau of Economic Research. June 1975. 67 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30); Social Security Act of 1935 (P.L. 74-271); Work Incentives Program (42 USC 602); Provisions Relating to Employment Security (P.L. 86-778); Vietnam Era Veteran's Readjustment Assistance Act of 1974 (P.L. 93-508); Trade Expansion Act of 1962 (P.L. 87-794); Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Rehabilitation Act of 1973 (P.L. 93-112); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

This report documents black/white patterns of earnings change in the decade of the sixties. The findings are based on two bodies of data, the 1 in 100 Samples of the 1960 and 1970 Censuses of Population in the United States. The first section of the report summarizes relative black/white earnings and wage ratios by schooling class and estimated time out of school. The second presents a partial accounting giving order-of-magnitude estimates of import of some sources of black/white income differences as of 1970 and of changes between 1960 and 1970. The third section describes earnings distributions and the final section summarizes changes in occupational and industrial distributions of employment. Earnings of blacks have definitely increased relative to earnings of whites. Most of the gain was broad-based, although younger blacks gained relative to older groups, and experience classes, rising schooling levels, and migration have contributed to increased black earnings. There was a marked convergence of occupational distributions, with the most marked change among blacks entering the workforce during this period. Strong geographical patterns are in evidence, with stronger gains registered in the South and North Central regions. More variance is found among blacks than whites.

E00900-028

The Effect of Manpower Training on Earnings: preliminary results. Working draft. Orley Ashenfelter. June 1975. 12 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Manpower Research and Development Projects (17.233).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248); Comprehensive Employment and Training Act of 1973 (P.L. 93-203).

The results of a study on the effectiveness of manpower-training programs show that training in 1964 did raise the earnings of trainees above what they otherwise would have been. In 1965, for example, the earnings of white male trainees were raised \$139-322, or 8.18 percent, above what they otherwise would have been; and although the training effects decline with time, they still exist up to five years following training. However, the major conclusion of this study states that manpower-training programs are not the cure for resolving the problems of chronically unemployed workers, nor are they failures, either. Neither judgment about manpower-training programs is supported by any careful empirical analysis.

E00900-029

The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors. Working draft. Morris Goldstein and Robert S. Smith. May 1975. 50 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30); Social Security Act of 1935 (P.L. 74-271); Work Incentives Program (42 USC 602); Provisions Relating to Employment Security (P.L. 86-778); Vietnam Era Veterans' Readjustment Assistance Act of 1974 (P.L. 93-508); Trade Expansion Act of 1962 (P.L. 87-794); Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Rehabilitation Act of 1973 (P.L. 93-112); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

The results of this study indicate that for black males the change in relative employment and 'wage-bill' shares were greater in contractor than in noncontractor firms and still greater in contractor firms subjected to a compliance review. For black females, however, no statistically-significant difference could be found between the targetted and nontargetted sectors. White males, contrary to expectations, showed statistically-significant gains in the targetted relative to the nontargetted sector, while white females experienced changes similar in magnitude but opposite in sign to that of white males. By race, the primary gainers were blacks, the primary losers were other minorities, and the largely unaffected group was white. By sex, males seem to have benefited from the program, whereas females were adversely affected by it.

E00900-030

Indexing for Inflation: Some Formal Models and an Informal Policy Proposal. Working draft. Alan S. Blinder. May 1975. 64 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Bureau of Labor Statistics, DOL.

Program(s) Evaluated: Economic Research Data (17.006).

Budget Function/Subfunction: Other manpower services (505).

Program Authorization: Department of Labor establishing Act (P.L. 62-426).

This report discusses the economic theory of indexing. Its focus is on the effects of inflation on the well-being of a typical worker-consumer, and on how indexing would alter these effects. Part II studies consumer-worker reactions to a change in the fully anticipated inflation rate, according to two factors: 1) the degree of escalation of wages, and 2) the effect of inflation on the nominal interest rate. If (1) exceeds (2), the worker gains from inflation, with a rise in consumption and a decrease in labor supply. If (2) exceeds (1), an opposite effect is achieved. Part III treats inflation as a random occurrence, against which workers can purchase insurance from employers through an escalator clause. A wage escalator in excess of 100 percent provides the best coverage. Part IV suggests that the reason for the lack of development of indexed contracts is the different needs of workers and firms for insurance when the inflation rate is random. A solution would be a National Wage Fund, into which firms would pay wages on their workers' behalf. The wages would be index-linked to the prices received by the industry for its output, then paid by the fund according to a broad price index.

E00900-031

Major Evaluation Questions Concerning the Federal Contract Compliance Program: Federal Contracts for Goods and Services. Working draft. Robert S. Smith. May 1975. 15 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Equal Employment Opportunity by Federal Contractors (17.301).

Budget Function/Subfunction: Other manpower services (505).

Program Authorization: Executive Order 11246, as amended by Executive Order 11375.

This analysis paper lists the kinds of information needed to estimate the impact and performance of the Federal Contract Compliance Program. It should be explained what effect the program has on the utilization and earnings of minorities and women in the entire economy; how the utilization of minorities and women by Federal contractors compares with that of noncontractors over time; what the relative effect of different compliance actions is on the utilization of minorities and women by Federal contractors; and how the contract compliance program affects the utilization and earnings of minorities and women compared to those of other Federal civil rights activities.

E00900-032

An Econometric Analysis of the Costs of Selected Manpower Programs: final report. K. Moayed-Dadkhah,

and others. Indiana University, Bloomington, Ind. MEL 74-08. April 1974. 227 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Manpower Administration, DOL.

Program(s) Evaluated: Manpower Research and Development Projects (17.233).

Budget Function/Subfunction: Other manpower services (505).

Program Authorization: Social Security Amendments of 1967 (P.L. 90-248); Comprehensive Employment and Training Act of 1973 (P.L. 93-203).

This report attempts to improve the state of the art of cost measurement of manpower programs in order to achieve the following goals: 1) Development of a framework for economic cost analysis to be used in a cost-benefit analysis of the longitudinal evaluation study of four manpower training programs. 2) Presentation of estimates of average and marginal costs by program for selected manpower programs for fiscal years 1970 and 1971. 3) Improvement of the estimates of foregone earnings of trainees during training. 4) Estimation of the total and average cost functions of the four programs in order to identify their functional relationships. Chapter II analyzes the cost structure of the four Federal programs: the Job Corps, the Manpower Development and Training Program/Institutional (MDTA), the National Alliance of Businessmen-Job Opportunities in the Business Sector (JOBS), and the Neighborhood Youth Corps/Out-of-School (NYC/OS). The structure is analyzed in terms of the social cost. Chapter III contains the analysis of earnings and income foregone by trainees, and Chapter IV presents the estimates of average and marginal costs and expenditures for use in cost-benefit analysis, along with general applications.

E00900-033

Impact of the Recent Inflation on Labor Skill Differentials. Robert N. Schoepfli. University of Illinois. April 1975.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Bureau of Labor Statistics, DOL.

Program(s) Evaluated: Economic Research Data (17.006).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Department of Labor Establishing Act (P.L. 62-426).

The aggregate (or pooled) labor skill differential in the manufacturing sector remains relatively stable over the twenty-two year period 1952-73, despite swings in the business cycle over this time plus sharply increasing rates of inflation the past decade. Within this overall picture, one discerns interesting secular trends among individual cities (metropolitan labor markets) when the manufacturing sector is disaggregated. The twenty-two year movements in the manufacturing skill differentials for 10 of 16 U.S. cities is toward convergence at one of two central-tendency values. A comparison of degrees of unionization of all 16 cities with respective secular skill differential estimates in 1973 supports the proposition that within the manufacturing sector the secular reduction in variance among cities is accompanied by a

realignment into 'unionized city' and 'less-unionized city' elements. The manufacturing skill differential central-tendency value for less-unionized cities is about 15 percent greater than that for the unionized cities. In the non-manufacturing sector during 1965-73, the labor skill differentials widened dramatically in 12 of 14 cities with adequate data. Skill differentials in the non-manufacturing sector generally are more responsive to changing market conditions than in the manufacturing sector, so further tests provide estimates of the 'pure inflation' effects on the non-manufacturing skill differentials of the respective cities.

E00900-034

The Challenge of Dual and Radical Theories of the Labor Market to Orthodox Theory. Working draft. Glen G. Cain. University of Wisconsin. January 1975. 74 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Bureau of Labor Statistics, DOL.

Program(s) Evaluated: Economic Research Data (17.006).

Budget Function/Subfunction: Other manpower services (505).

Program Authorization: Department of Labor establishing Act (P.L. 62-426).

An analysis of the dual and radical (D-R) theories of the labor market indicates that they do not begin to offer a theory of the labor market that can replace neoclassical theory, despite dissatisfaction with the empirical corpus of that theory. The D-R's theoretical and methodological criticisms of the neoclassical theory are not substantial and are often misguided; nevertheless, a tradition of criticism of orthodox economics is sustained. The main theoretical contributions, which amount to modifications and additions to orthodox theory, are the ideas of the endogenous determination of attitudinal variables among workers and the institutional dimensions of internal labor markets. At the practical level of suggested areas of research and policy analysis that stem from D-R challenge and neoclassical response, the following are mentioned: the nonwage dimension of employment; occupational promotion paths; the role of psychological variables in the workers' performance in the labor market; and benefit-cost analyses of governmental intervention.

E00900-035

Land Reclamation Requirements and Their Estimated Effects on the Coal Industry. Working draft. Morris Goldstein and Robert S. Smith. January 1975. 34 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Occupational Safety and Health Administration, DOL.

Program(s) Evaluated: Occupational Safety and Health (17.500).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Occupational Safety and Health Act of 1970 (P.L. 91-596); Construction Safety Act (P.L. 91-54); Service Contract Act (P.L. 89-286); Maritime

Safety Act (P.L. 85-742); Walsh-Healey Public Contracts Act (P.L. 74-846).

The main conclusion of this analysis is that a requirement to return strip-mined land to its approximate original contour and vegetative cover would have only a slight effect on output and employment in the bituminous coal industry. Employing the most likely range of reclamation costs and demand elasticities suggests that strip-mining output and employment would have decreased one to six percent in 1971 had reclamation been required then--the best estimate being 2.3 percent. This slight decline in strip-mining would have been accompanied by output and employment increases in underground mining such that total output would have remained almost constant while total coal employment would have risen slightly, 0.3-2.4 percent. On the other hand, the impact of the Seiberling amendment, which would tax strip-mined coal much more heavily than underground coal, is more substantial. It is estimated that this amendment would have caused output and employment in strip-mining to decrease in 1971 by 12-25 percent, aggregate coal output to decline by 2-3 percent, and aggregate employment in coal mining to increase by 3-8 percent.

E00900-036

Economic Incentives and Occupational Safety. Working draft. Robert S. Smith. April 1974. 23 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Occupational Safety and Health Administration, DOL.

Program(s) Evaluated: Occupational Safety and Health (17.500).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Occupational Safety and Health Act of 1970 (P.L. 91-596); Construction Safety Act (P.L. 91-54); Service Contract Act of 1965 (P.L. 89-286); Maritime Safety Act (P.L. 85-742); Walsh-Healey Public Contracts Act (P.L. 74-846).

This paper analyzes the feasibility of replacing the current 'standards' approach to occupational safety with a 'tax' approach. This approach requires an employer to pay a financial penalty for each injury occurring in the firm. The study seeks to determine 1) whether injury rates across industries are inversely correlated with injury costs, and 2) what sizes of taxes would be required to achieve given reductions in the injury rate. Section I develops an empirically testable theory of work injuries, with results and policy implications of the test reported in Section II. General conclusions presented in Section III indicate that the injury rate is sensitive to the costs of injury, but that the 'taxes' required to reduce these rates by even moderate amounts are most likely large.

E00900-037

Evaluating the Impact of CETA (Comprehensive Employment and Training Act) Program on Participants' Earnings: Methodological Issues and Problems. Working draft. George E. Johnson. January 1975. 30 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Manpower Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

This paper reviews the conceptual issues associated with performing impact evaluation of programs under the Comprehensive Employment and Training Act of 1973 (CETA). It concentrates on the effects of the various CETA programs on the earnings and employability of participants. Section II explains the concept of the net impact of the program, and stresses that evaluators must employ a comparison group methodology in order to estimate net impact. Difficulties associated with the identification of the program's net impact are discussed in Section III. Section IV discusses the strategy of estimating relative program impact, although this is no substitute for net impact evaluation. Section V considers the problem of the duration of program effects and its implications about the necessary scale of the evaluation effort. Additional conceptual difficulties in the interpretation of results are discussed in Section VI. Section VII covers the optimal scale of evaluation effort under CETA.

E00900-038

Evaluation Questions Under the Comprehensive Employment and Training Act of 1973. Working draft. George E. Johnson. July 1974. 10 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Manpower Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

This report discusses the kinds of information on the Comprehensive Employment and Training Act of 1973 (CETA) which will be required by policy makers in the next two or three years. Section II is concerned with the effect of CETA subsidies on expenditures by state and local governments on employment and training. Section III describes the effect of CETA on the nature and distribution of services. The net impact of CETA services on the future earnings and employability of program participants is discussed in Section IV. The primary responsibility for evaluating the impact of CETA programs must remain with the Federal government, since local governments lack the resources and expertise to make reliable assessments.

E00900-039

The Four Questions for Evaluating the Effects of the FLSA (Federal Labor Standards Act) Minimum Wage Provisions. Daniel S. Hamermesh. August 1974. 13 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Fair Labor Standards Act (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

The four questions dealt with in this analysis are designed to determine the extent to which those workers covered by the Minimum Wage Act who received less than the minimum before the Act now receive the minimum or more; the net impact on wage rates, both of workers directly affected by the Act and those who experience its effects only indirectly; the net impact on employment and unemployment, both in the aggregate and by demographic group; and the effects on the composition of labor if there is a net impact on wages. The analysis states that what is needed is a study that estimates what the geographical distribution of employment and output over the past 35 years would have been in the absence of the imposed minimum wage. It is recommended that a two-step procedure be undertaken to measure the magnitudes of the impact on output by industry. First, it is necessary to measure employment shifts due to the minimum wage; and second, it is necessary to use employment-output relations to estimate the impact of these employment changes on output by industry.

E00900-040

The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact. Robert J. Flanagan. February 1975. 46 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Manpower Administration, DOL.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30); Social Security Act of 1935 (P.L. 74-271); Work Incentives Program (42 USC 602); Provisions Relating to Employment Security (P.L. 86-778); Vietnam Era Veterans' Readjustment Assistance Act of 1974 (P.L. 93-508); Trade Expansion Act of 1962 (P.L. 87-794); Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Rehabilitation Act of 1973 (P.L. 93-112); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

According to this study changes in the quantity and quality of schooling by race have been in the direction of eroding racial wage differences, as has the impact of manpower-training programs. However, the magnitude of the impact of manpower programs is small, in part due to the capacity of the program. In addition, the impact of recent government antidiscrimination activity is still uncertain, but a methodological review of several efforts to measure this impact indicates that it is probably small; and time-series studies tend to confound the effects of

antidiscrimination policy with general changes in labor market conditions. Also, studies of the marginal impact of the Office of Federal Compliance (OFCC) yield estimates that are most usefully regarded as upper bounds on the actual impact. The likely upward bias in current measurements of program impact is due to omission of the influence of the contract compliance program on the behavior of noncontractor establishments, omission of the effects of other government antidiscrimination programs whose targets are likely to overlap those of OFCC, and the omission of local labor market influences.

E00900-041

Further Evidence on the Impact of the WIN II Program. Working draft. George E. Johnson and Gary B Reed. January 1975. 44 pp.

Agency Sponsoring Evaluation: Assistant Secretary for Policy, Evaluation, and Research, DOL.

Agency Managing Program: Manpower Administration, DOL.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Social Security Act as amended (P.L. 90-248; P.L. 92-223); Revenue Act of 1971 (P.L. 92-178).

This paper presents additional evidence on the impact of the Work Incentive Program (WIN) II on participant earnings, and assesses whether this evidence is consistent with the revised focus of the WIN program. The original range estimates of WIN II impact FY 1973 are revised and range estimates of the program impact in FY 1974 are developed. However, precise or even semi-precise estimates of the benefits of WIN II are still undevelopable due to lack of adequate data. Range estimates for 1973 and 1974 of 1) the social rate of return to resources allocated to WIN II and 2) the welfare savings rate of return attributable to WIN II, indicate that costs of the program were justified neither by increased earnings nor savings in welfare expenditures. The program impact appears to be consistent with the nature of the program and the problems in administration. The discrepancy between apparent WIN benefits and estimated net impact is due to the fact that the transition into employment by WIN participants would have occurred without the program.

E00902-001

Evaluation of the National Ironworkers and Employers Training Program. Program Officer: Charles Green. November 15, 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Apprenticeship Training (17.201).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415).

The National Ironworkers and Employers Training Program (NIETP) was set up in response to concerns about minority employment in the Ironworkers union. The study goal was to determine the effectiveness of the

program in increasing employment of minority groups by (1) describing the program and the trainees, (2) analyzing the program to see what factors contributed to or hindered its success, and (3) measuring the impact of the program on minority employment, wages, and journeyman status. Case study evidence is presented which indicates that the program has made substantial progress in becoming accepted by union members and contractors. A number of factors are suggested as being responsible for this acceptance in what is clearly a difficult situation. Among these are firm national control over each project, the exclusive use of journeyman ironworkers as project directors, and the use of local councils consisting equally of union and contractor representatives to help with local problems. However, as is made clear, it is too early to tell if the acceptance will extend to allowing trainees to become journeymen ironworkers.

E00902-002

Evaluation of the Pilot Accelerated Apprenticeship Program. Project Officer: Stan Markuson. Amex Systems, Inc. 83-48-73-04. February 15, 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Apprenticeship Training (17.201).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415).

Public Availability: NTIS, Order No. PB 231 440.

The purpose of this case study was to determine the degree to which an accelerated apprenticeship program would represent an effective new approach to the training of high school seniors for post-school careers. Because of the recognized methodological limitations of this study, the findings are based mainly on impressionistic judgments of the evaluators. First, it is apparent that this one pilot project has not tested the feasibility of generalizing it throughout Washington, D.C., much less the construction industry. It is a relatively costly program, both in terms of direct outlays per student and in terms of the costs to the students themselves. Since employers or the unions cannot be expected to pay for the direct costs of the program, it will, in the long run and if it is generalized, have to be paid for from public resources. Secondly, although 21 of the 25 students finished the program and were generally favorable towards it, only 12 of the 21 completers were still working at carpentry eight months after the end of the program. Nevertheless, as a method of accelerating the apprenticeship process, the concept has merit.

E00902-003

Validation and Evaluation of the Impact of the WIN II Data System. Project Officer: Bernard Burnette. Koba Associates, Inc. 53-3-012-42. May 15, 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Social Security Act, as amended (P.L. 90-248; P.L. 92-223).

Public Availability: NTIS, Order No. PB 234 593/AS.

This report validates and assesses the WIN II data reporting system with regard to accuracy, completeness, currency and relevance of reported data. The study also determines how WIN II reporting systems vary between states and how this affects WIN II national summary data.

E00902-004

Evaluation of the High School Equivalency Programs for Seasonal Farmworkers. Project Officer: Curtis Gatlin. May 15, 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Migrant/Seasonal Farmworkers (17.000).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Economic Opportunity Act, as amended (P.L. 88-452).

The purpose of this study is to provide a list of recommendations for making the HEP programs more effective. To achieve this goal, three objectives were pursued: (1) to provide a description of the program, (2) to assess the effectiveness with which the program meets its goals of providing a high school equivalency certificate and employment, vocational training, or college admission, and (3) to determine those factors which affect the relative success of the program sites providing services. There are three major recommendations: (1) to require at least sixth grade reading skills for entering trainees; (2) to limit the length of time participants are allowed to stay in the program to an average of twelve weeks; and (3) to make more extensive use of local agencies for recruiting and placing students.

E00902-005

An Evaluation of the Economic Impact Project of the Public Employment Program. Project Officer: Stan Markuson. National Planning Association. 43-2-001-11; 63-11-73-04. May 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Public Employment (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Emergency Employment Act (P.L. 92-54).

Public Availability: NITS, Order Nos. PB 236 892/AS - 895/AS.

The purpose of this study is to determine the effectiveness of the Public Employment Program High Impact Demonstrations. Program Effectiveness: 1) The program had no measurable effects on total employment

or income. Although unemployment levels and rates decline, the decreases could not be attributed to PEP. These results are not surprising since the Demonstrations were smaller than originally planned and since only about 54% of the PEP jobs were net additions to employment after less than 1 year. 2) Although the results are clouded by lack of a control group, in the short run PEP apparently increased the income of the 'structurally' unemployed and provided a source of income for the 'cyclically' unemployed. However, the long-run (post-PEP) impact of participation on earnings disabilities is in doubt. This is not unusual since no training was performed under PEP. 3) Less than 1 year after the program began, the net job creation effect of the PEP Demonstrations was only 54%, implying a 2.3% increase in public services.

E00902-006

Evaluation of the Public Employment Program for Reservation Indians. Project Officer: Robert David. American Indian Consultants. 63-11-72-08. November 30, 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Public Employment (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Emergency Employment Act (P.L. 92-54).

Public Availability: NTIS, Order No. PB 228 185.

The primary purpose of the study is to analyze (1) services provided to Indian communities as a result of the Public Employment Program; (2) the PEP jobs for reservation Indians in relation to their capabilities; and (3) PEP administrative problems. The report concludes, among other things, that (1) PEP noticeably improved public services; (2) PEP jobs represented a definite upgrading for the participants; and (3) some administrative problems were present but these did not prevent a smooth functioning of the program. The report, however, does not present adequate data or analysis to support these sweeping conclusions. For example, the analysis of services apparently is based only on the opinions of participants and program sub-agents, rather than on the analysis of project records, the assessment of public services prior to PEP, and the opinions of community members receiving PEP services.

E00902-007

An Evaluation of the Arizona Job College. Project Officer: George W. Dougherty. Systems Sciences Software, Inc.; Policy Development Consultants. Boo-5192; P.O. 43-4-003-06. March 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Migrant/Seasonal Farmworkers (17.000).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Economic Opportunity Act, as amended (P.L. 88-452).

Public Availability: NTIS, Order No. PB 231 538/AS.

The purpose of this study is to evaluate and assess the effects of the Arizona Job College (AJC) demonstration project—a family rehabilitation program providing comprehensive manpower and social services to rural-poor families drawn from the migrant and seasonal farm worker population of Arizona. The study concludes that the AJC program resulted in substantial gains for participants and was successful in rehabilitating rural families. Although operating costs were high, the AJC is the best investment relative to a wide range of Federal manpower programs analyzed. Given the methodological deficiencies of the study, however, these findings should be considered as only suggestive of potential program impacts. Given the limited sample size, the lack of a comparison group and the dubious assumptions in the cost-benefit analysis, the only conclusive statement that can be made about the AJC program is that it provides a high standard of living for families during program participation. The pre/post earnings change does suggest that the program could possibly have a positive effect on earnings, but it is not at all clear whether the program is cost-effective.

E00902-008

Evaluation Study of the Developmental Stages of the Comprehensive Manpower Program. Project Officer: Gerald Gunderson. December 1, 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Pilot Comprehensive Manpower Program (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415).

The purpose of this study is to explore basic factors associated with developing and planning a Comprehensive Manpower Program (CMP) and to identify major issues and problems resulting from decentralization and decategorization of manpower programs. There are two major findings: (1) the emergence of a CMP within diverse political jurisdictions required sizable adjustments on the part of state and local governments to a new and relatively abstruse manpower system; and (2) the scope and nature of the problems encountered in implementing a CMP make it likely that any effective conversion to a system of locally-run comprehensive manpower services will be gradual rather than abrupt.

E00902-009

Evaluation of ES (Employment Service) Technical Services Activity. Project Officer: Charles Green. July 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Employment Service (17:207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30).

E00902-010

Evaluation of the Smaller Communities Program. Project Officer: Gus Morrison. June 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30).

E00902-011

Analysis of COMO (Comprehensive Model) Follow-up Data. Project Officer: Stan Markuson. Abt Associates, Inc., Cambridge, Mass. 83-25-72-07. July 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30).

Public Availability: NTIS, Order No. PB 223 749/AS.

The study evaluates services provided to job-applicants by the 'COMO' (Comprehensive Model) model Employment Service offices. One-month follow-up data was collected on samples of ES applicants in 6 COMO cities and 3 non-COMO cities at different points in time for analysis. Analysis was based on measures of applicant need, impact on applicants, and staff productivity. The study was structured to answer such questions as: (1) How does COMO performance compare with non-COMO performance? (2) What is the pattern of Service Area impact on applicants, applicant job-readiness, and staff productivity? and (3) What is the relative COMO impact for different target groups?

E00902-012

Evaluation Study of Model Ex-Offender Program, Phase III. Project Officer: Gerald Gunderson. December 1972.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Employment Service (17:207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30).

E00902-013

Longitudinal Evaluation of WIN Program. Project Officer: Stan Markuson. Auerbach Associates, Inc. 53-40-69-02. September 1972. 3 vols.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Social Security Act, as amended (P.L. 90-248; P.L. 92-223).

Public Availability: NTIS, Order Nos. PB 212 985; PB 212 986; PB 213 579.

The first volume of this study contains the findings and summary of an evaluation of the Work Incentive Program (WIN) with the focus on three areas of the program: (1) the degree to which the WIN population represents the AFDC population; (2) the degree to which WIN enrollees become economically self-sufficient; and (3) the degree to which the WIN program contributes to their economic self-sufficiency. This was a national study with 50 projects involved. The second volume contains detailed analysis of: WIN program enrollee characteristics, vocational related training under WIN, supportive services provided to WIN enrollees, and post-WIN work and welfare experiences of WIN participants. The third and last volume contains the final one way marginal tabulations of all data retrieved in the study from data instruments used in the study; enrollee questionnaires, record analyses, and the project rating system instruments.

E00902-014

Evaluation of Effectiveness and Results of LINCS (Labor Inventory Communication System). Project Officer: Stan Markuson. Ultrasystems, Inc. 83-06-72-01. January 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30).

E00902-015

Evaluation Study of MDTA--Section 241 Program. Project Officer: Charles Green. December 1972.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Prisoner Training (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415).

E00902-016

Evaluating the Impact of MDTA Programs on Earnings under Varying Labor Market Conditions. Project Officer: Robert David. University of Pennsylvania. 83-42-71-04. October 1972.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Institutional Training (17.000); On-The-Job Training (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415).

Public Availability: NTIS, Order No. PB 220 883.

The report demonstrates that national manpower data, Social Security files and local labor-market information can be used to measure the effects of manpower training on earnings in various labor markets. The report contains some surprising findings on these effects.

E00902-017

Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions. Project Officer: Jaime Salgado. Sam Harris Associates, Ltd. 83-11-72-14. May 15, 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Institutional Training (17.000).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415).

Public Availability: NTIS, Order No. PB 222 129.

The study was undertaken for the purpose of developing and testing a methodology for measuring the relative cost-effectiveness of MDTA training conducted within public vocational schools, community colleges and private training institutions. The three principal objectives of the study were: (1) to compare the cost-effectiveness of each type of facility for selected occupational offerings; (2) ascertaining and comparing the cost-effectiveness in terms of outcomes; and (3) analyzing the interrelationship between trainee characteristics, type of training facility, and outcome variables.

E00902-018

Survey of Public Employment Terminations. Project Officer: Bernard Burnette. Automated Services, Inc. 63-11-72-09. June 15, 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Public Employment (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Emergency Employment Act (P.L. 92-54).

Public Availability: NTIS, Order No. PB 222 660.

The study examines Public Employment Program terminations in order to (1) find out why participants left the program, and (2) determine the post-PEP labor-force status of terminees. Neither question had been adequately answered by information contained in the national PEP data file. The contractor conducted a nationwide survey of ten urban PEP projects during November and December of 1972 and questioned program terminees and project administrators.

E00902-019

Evaluation Study of the Technology Mobilization and Reemployment Program. Project Officer: John Elliott. June 15, 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30).

E00902-020

Evaluation Study of the Mandatory Listing Activity. Project Officer: Charles Green. June 30, 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30).

E00902-021

Evaluation Study of Job Corps Residential Support Centers. Project Officer: John Elliott. April 30, 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Job Corps (17.000).

Budget Function/Subfunction: Manpower training (504); Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Economic Opportunity Act, as amended (P.L. 88-452).

E00902-022

Cost Effectiveness Evaluation of the Urban Concentrated Employment Program. Project Officer: Robert David. System Development Corporation. 43-1-017-51. May 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Concentrated Employment Program (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415); Economic Opportunity Act, as amended (P.L. 88-452).

Public Availability: NTIS, Order No. PB 222 582.

The study develops a general cost-effectiveness model for evaluating manpower programs and applies it to ten urban Concentrated Employment Program projects. The model relates differences between pre- and post-program earnings of participants to program costs incurred. Specific questions which the study attempts to answer include: (1) What is the relative cost-effectiveness of CEP for various participant classifications. (2) What is the relative cost-effectiveness of each of the CEP projects studied? and (3) What is the estimated cost of each of the program's components?

E00902-023

Analysis of Early Phase Problems of Comprehensive Manpower Program. Project Officer: Gerald Gunderson. December 1, 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Pilot Comprehensive Manpower Program (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415).

The objectives of this study are: to explore basic problems associated with developing and planning a CMP; to determine major issues surrounding process of decategorization and folding of categorical programs into CMP; to identify some of the main problems resulting from decentralization of program activity and implementation of comprehensive manpower system.

E00902-024

Design and Development of a Data System for PEP (Public Employment Program). Project Officer: Robert David. MACRO Systems, Inc. SRS-71-48. FY 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Public Employment Program (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Emergency Employment Act (P.L. 92-54).

E00902-025

Evaluation of Pre and Post-Enrollment Services to Job Corps Enrollees. Project Officer: Jaime Salgado. UNCO, Inc. 43-1-016-11. August 1972.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Job Corps (17.000).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Economic Opportunity Act, as amended (P.L. 88-452).

Public Availability: NTIS, Order No. PB 212 955.

The study assesses: (1) the relative effectiveness of pre- and post-enrollment services provided to Job Corps enrollees by the various organizations serving them; (2) the effectiveness of the administrative arrangements made by Job Corps with other organizations for providing pre- and post-enrollment services; and (3) the cost of the various services as well as those of the same service from different sources.

E00902-026

Design and Evaluation of Innovative Staffing Pattern for WIN Child Care Establishments. Project Officer: Stan Markuson. Cunningham, Short, and Berryman. SR-71-30 August 1972.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Social Security Act, as amended (P.L. 90-248; P.L. 92-223).

E00902-027

Evaluation Study of the Work Sample Activity. Project Officer: John Elliott. August 1972.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30).

E00902-028

Survey of State ES Experiences in Following Up MA Program Clients. Project Officer: Gerald Gunderson. July 1972.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415).

E00902-029

Evaluation of Job Development in SMSA. Project Officer: George Dougherty. Booz Allen Public Admin. Services, Inc. 43-1-002-11. September 1972.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Job Development (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415); Economic Opportunity Act, as amended (P.L. 88-452); Wagner-Peyser Act of 1933 (P.L. 73-30).

Public Availability: NTIS, Order Nos. PB 213 243-244.

This volume reports on job development activities being carried on by the ES, WIN, CEP, MDTA-Institutional, JOBS, and Job Corps in 16 SMSAs. The report analyzes the viability and effectiveness of current job development systems, the reasons why some systems are more effective than others, the major problems inhibiting the success of job development, the best ways to reach employers, basic improvements needed in the systems, and the basic composition of a successful job development system. The report is based on data collected from over 2,000 personal interviews, and supplemented by administrative and program reports from 16 SMSAs.

E00902-030

Evaluation of Supportive Services Provided for Participants of Manpower Programs. Project Officer: Stan Markuson. Camil Associates. 43-1-008-42. September 1972.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Public assistance and other income supplements (604); Other manpower services (505).

Program Authorization: Social Security Act, as amended (P.L. 90-248; P.L. 92-223).

Public Availability: NTIS, Order No. PB 214 005.

The primary information contained in the report includes: key study findings; the nature of supportive services and their place in manpower planning; results of interviews with manpower program staff, participants and employers; a national review of the service provisions in the four manpower programs; the service needs, delivery and effect; and participant comments on services.

E00902-031

Evaluation of Child Care Services. Project Officer: Stan Markuson. Avco, Inc. SRS-71-48. September 1972.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Social Security Act, as amended (P.L. 90-248; P.L. 92-223).

E00902-032

Evaluation Study of the Counselor Training Program. Project Officer: George Dougherty. Human Resources Research Organization. 43-1-014-51. September 1972. 2 vols.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30).

Public Availability: NTIS, Order Nos. PB 214 532; PB 219 409.

The first part of this study assesses and analyzes the degree to which the training system conformed to established guidelines, the coordination of administrative processes, and the quality and effectiveness of instructional programs. The data was collected from a sample of 23 university/college training program sites and over 600 personal interviews were conducted with trainees, instructors, and employment service personnel. The second part of the study concerns the development of a job-oriented training model for assessing ES counseling effectiveness and synthesizing training and job performance relationships. The data are based on 700 self-administered questionnaires which covered among other things the duties, tasks and skill and knowledge required of an ES entry level counselor. As an addendum to this part, there are two supplements. Supplement I contains a series of training objectives with corresponding knowledge and skill requirements that can be used in developing training content tailored to meet local conditions. Supplement II contains materials for assessing the quality of counseling skills possessed by first-level ES counselors.

E00902-033

Evaluation of MA Services and Supportive Services to American Indians on Reservations. Project Officer: Jaime Salgado. SBA, American Indian Consultants, Inc. 53-1-006-06. October 1972.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Indian Programs (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Economic Opportunity Act, as amended (P.L. 88-452); Wagner-Peyser Act of 1933 (P.L. 73-30); Manpower Development and Training Act, as amended (P.L. 87-415).

Public Availability: NTIS, Order No. PB 213 030.

This study describes the manpower services available and their utilization on 10 Indian Reservations. An inventory of services is presented. The utilization of these services in terms of training and placement is documented. Additional needs and supportive services are presented along with a special emphasis on the needs of special groups such as the young, women, older workers, or people on welfare. Finally, data are presented which reflects the degree of cooperation among various manpower programs.

E00902-034

Evaluation of MA and Supportive Services to Mexican Americans. Project Officer: Jaime Salgado. SBA, J. A. Reyes Associates. 53-1-005-42. October 1972. 2 vols.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Mexican-American Programs (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Economic Opportunity Act, as amended (P.L. 88-452); Wagner-Peyser Act of 1933 (P.L. 73-30); Manpower Development and Training Act, as amended (P.L. 87-415).

Public Availability: NTIS, Order Nos. PB 213 399-400.

The study assesses: (1) the extent to which manpower services are available to Mexican Americans in the Southwestern United States; (2) the extent to which these services are utilized; and (3) the effectiveness of the services provided in assisting Mexican Americans to attain suitable and financially rewarding employment. The first volume of the study contains the findings and conclusions; the second volume contains the tabulation of survey responses of the Mexican American working population included in the sample. The tabulations include respondents' opinions of manpower services.

E00902-035

Evaluation of Special Manpower Services to Veterans. Project Officer: George Dougherty. Kirschner Associates. 43-1-007-35. September 1972. 2 vols.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Veterans Programs (17.000).

Budget Function/Subfunction: Other manpower services (505); Veterans education, training, and rehabilitation (702).

Program Authorization: Economic Opportunity Act, as amended (P.L. 88-452); Wagner-Peyser Act of 1933 (P.L. 73-30); Manpower Development and Training Act, as amended (P.L. 87-415).

Public Availability: NTIS, Order Nos. PB 213 489-490.

The first volume of this study assesses and analyzes the nature and extent of manpower services available to veterans, the relevance and quality of the services, the effectiveness of the delivery system, and the management and administration of manpower services being provided to veterans primarily through the nationwide network of Employment Service Agencies. The data were collected primarily through personal interviews with public officers, employers, and veterans in twenty five (25) sites nationally. Over 4,000 interviews were conducted. The second volume contains an inventory of programs of national organizations which offer manpower services for veterans, as well as statistical and analytic information supportive of the analysis in volume I of the report. An appendix contains the instrument used to collect the data for the various programs.

E00902-036

Impact Analysis of MDTA Project Transition. Project Officer: George Dougherty. Kirschner Associates. 43-1-007-35. September 1973.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Veterans Programs (17.000).

Budget Function/Subfunction: Other manpower services (505); Veterans education, training, and rehabilitation (702).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415).

Public Availability: NTIS, Order No. PB 224 815/AS.

This report provides an analysis of the impact of the MDTA Transition program on participants who entered the program between February 1971 and June 1972. It assesses the net impact of MDTA Transition on trainees in terms of post-military labor market behavior and success. The study was nationwide in scope and includes a randomly selected sample of veterans trained in MDTA Transition and a sample comparison group of veterans who did not participate in the program. In all, over 3,000 personal interviews were conducted.

E00902-037

Study of Impact of Program Variables Upon Effectiveness of MDTA-OJT and Institutional Training Programs on Earnings. Project Officer: George Dougherty. Carnegie-Mellon University, Pittsburgh, Pa. 43-4-001-42. August 31, 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: On-the-Job Training (17.000); Institutional Training (17.000).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415).

The purpose of this study is to determine effects of MDTA-OJT and institutional training programs on earnings of participants over several years and to establish validity and reliability of methodology and techniques developed in an earlier study.

E00902-038

Evaluation of Work Registration Activities Under the Food Stamp Program. Project Officer: Charles J. Green. July 31, 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Food Stamp Act (P.L. 91-671).

The objectives of this study are: (1) to describe and analyze the basic components, processes, and linkages associated with the mandatory work registration provisions of the Food Stamp Program; (2) to identify the types of food stamp recipients registered with the ES and to assess the impact of the services provided them; (3) to explore the factors underlying substantial variations between states in terms of participant noncompliance, and selected key indices of services rendered cooperating participants; (4) to determine the reliability of the input data and effectiveness of existing records and report systems; and (5) to recommend appropriate actions designed to improve the effectiveness of ES services to food stamp recipients.

E00902-039

Job Bank Evaluation. Project Officer: Joseph Seiler. Ultrasystems, Inc. 43-4-005-06. September 30, 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Employment Service Job Bank System (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Wagner-Peyser Act of 1933 (P. L. 73-30); Manpower Development and Training Act, as amended (P. L. 87-415).

The purpose of this evaluation is to examine anew, with some additional analyses, the findings of two previously funded job bank studies conducted during the early formative period of the job bank program. The new contract will attempt to discern whether the additional years have enabled job banks to become better organized and have greater impact.

E00902-040

Evaluation of the National Migrant Worker Program. Project Officer: George Dougherty. Urban Research Group. 83-48-73-01. July 31, 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Migrant Workers (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Manpower Development and Training Act, as amended (P. L. 87-415).

The objectives of this study are: (1) to assess the validity of the approach of providing manpower services to migrants who wish to leave the migrant stream; (2) to assess effectiveness and the feasibility of the National Migrant Worker Program, as a model of this approach, in meeting the goals of the program; and (3) to assess the impact of the program upon participants, agencies, institutions, employers, and communities.

E00902-041

Evaluation of the Impact of PEP (Public Employment Program) on the Public Sector. Project Officer: Bernard Burnette. J. A. Reyes Associates, Inc. 63-11-73-03. August 31, 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Public Employment (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Emergency Employment Act (P.L. 92-54).

This study examines the ways in which subsidized public employment impacts upon state and local institutions, the changes that may result, and the extent to which manpower objectives are met.

E00902-042

Longitudinal Evaluation of PEP (Public Employment Program) and Validation of the PEP Data Bank. Project Officer: Robert David. Westat, Inc. 63-08-72-07. December 31, 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Public Employment (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Emergency Employment Act (P.L. 92-54).

This study will validate the information in the PEP Data Bank, and evaluate the Public Employment Program through a longitudinal study aimed at determining how

well the program has met its goals as set forth in the Emergency Employment Act of 1971.

E00902-043

Evaluation of the Pre-Trial Intervention Program. Project Officer: Stan Markuson. Abt Associates, Inc., Cambridge, Mass. 83-25-72-06. July 31, 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Pre-Trial Intervention (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Manpower Development and Training Act, as amended (P.L. 87-415).

The overall goal of this study is to provide DOL with information from the experiences of the seven pre-trial intervention projects which will assist the Department in reaching policy decisions regarding the expansion or modification of the concept of pre-trial intervention as a vehicle for providing manpower, education, and supportive services to defendants prior to court adjudication.

E00902-044

Evaluation of PEP (Public Employment Program) Welfare Demonstration Project. Project Officer: Stan Markuson. Auerbach Associates, Inc. 53-2-004-42. February 28, 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Public Employment (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Emergency Employment Act (P.L. 92-54).

This evaluation will compare the results of the 15 Welfare Demonstration models in order to correlate successful outcomes to project model characteristics. The successful factors will be combined in the project model to be used for a national program implementation. Also, the impact of the models on public agencies, program participants and communities will be measured.

E00902-045

12 Area Study (PEP)(Public Employment Program). Project Officer: Stan Markuson. Bureau of the Census, DOL. 43-2-003-11. March 1974.

Agency Sponsoring Evaluation: Manpower Administration, Dept. of Labor.

Agency Managing Program: Manpower Administration, Dept. of Labor.

Program(s) Evaluated: Public Employment (17.000).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Emergency Employment Act (P.L. 92-54).

This project consists of household surveys in the 12 areas of EEA Economic Impact. Demonstration projects will cover labor force status, work experience, and other relevant information using the basic CPS questionnaires.

E00903-001

Evaluation of the LMSA--Management Information System. FY 1975.

Agency Sponsoring Evaluation: Labor Management Services Administration, DOL.

Agency Managing Program: Labor Management Services Administration, DOL.

Program(s) Evaluated: Labor-Management Relations Services (17.100); Labor Organization Reports (17.101); Veterans Reemployment Rights (17.102); Welfare and Pension Plan Reports (17.103).

Budget Function/Subfunction: Other manpower services (505).

Program Authorization: Labor-Management Reporting and Disclosure Act of 1959, as amended (P.L. 86-257); Employee Retirement Income Security Act of 1974 (P.L. 93-406); Urban Mass Transportation Act of 1964 (P.L. 88-365); High-Speed Ground Transportation Act of 1965 (P.L. 89-220); Rail Passenger Service Act of 1970 (P.L. 91-518); Federal-Aid Highway Act of 1973 (87 Stat. 250); National Mass Transportation Assistance Act of 1974 (88 Stat. 1565).

The purpose of this study was: (1) To determine information needed by national offices and field managers to effectively discharge their functional responsibilities; (2) To survey and evaluate existing information systems to identify such variances as may exist between available information and needed information; and (3) To recommend measures to assure that information needed is available in the right form at the right time in an economic manner.

E00904-001

Private Household Workers: data pertinent to an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974. 1974.

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Fair Labor Standards Act of 1938 (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

E00904-002

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). January 1973.

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Fair Labor Standards Act of 1938 (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

This report discusses Congressional activities to amend the FLSA, equal pay under the Act, administration and enforcement, special studies on wages and hours of work of nonsupervisory employees in selected nonagricultural industries and private household workers, recent independent studies of the FLSA, and coverage under the Act.

E00904-003

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). Project Officer: Jack Karlin. January 1974

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Fair Labor Standards Act of 1938 (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

This report, submitted annually to Congress by authority cited below, summarizes Department of Labor activities relevant to minimum wages, overtime, and equal pay. A discussion of current and future research activities, and the results of several surveys are included. The survey results consist primarily of statistical tabulations and contain little in the way of analysis. Subjects of the surveys include private household workers, the work clothing industry, and nine nonagricultural industries whose average wage was substantially below the national average. The goal of the surveys was to reveal more accurately the potential impact of minimum wage law changes. Since data was collected only on wage cost and distribution, no economically sound conclusions are possible as to the potential impact of minimum wage changes. For the purpose of setting standards, future research will concern sex discrimination and the determination of current practice with respect to incentive pay, wage garnishment, work schedules, retirement, and maternity leave.

E00904-004

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act. January 1975. 61 pp.

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Fair Labor Standards Act of 1938 (P.L. 75-718); Walsh-Healey Public Contract Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

This report contains an evaluation of the administration and enforcement of the first phase of the 1974 amendments to the FLSA. It also contains summaries of major studies completed during the year and a discussion of research and evaluation studies in process.

E00904-005

Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1972. January 1973.

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Age Discrimination in Employment (17.300).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Age Discrimination in Employment Act of 1967 (P.L. 90-202).

E00904-006

Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1973. Project Officer: Jack Karlin. January 1974.

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Age Discrimination in Employment (17.300).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Age Discrimination in Employment Act of 1967 (P.L. 90-202).

This report, submitted annually to Congress by authority cited below, summarizes ADEA activities of the Department of Labor and also includes a progress report on a study of involuntary retirement. The summary includes the following findings, conclusions and recommendations: (1) the scope of ADEA embraces 685,000 establishments and 43 million persons; (2) the labor force comprises 86.7 million persons total, 36.9 million aged 40-65 years; (3) legislation was recommended to extend ADEA to 10 million state and local government employees; (4) Fiscal Year 1972 activities included 25,000 noninvestigative compliance contracts; 6,067 investigative actions which disclosed violations in 36% of the cases; underpayments of \$1,650,039 found due 964 employees; and 106,000 job opportunities for older workers as a result of eliminating discriminatory practices. The progress report on the study of involuntary retirement indicates that (1) many complaints of involuntary retirement have been received; (2) data from Social Security's SNEB survey indicated that 1/8 of the 62-

65-year old nonworking male Social Security beneficiaries cited compulsory retirement as the reason for having left their last job; and (3) data from Dr. Parnes's longitudinal study indicated that 2/5 of all male employees working in establishments with compulsory retirement plans disclosed a preference for retirement at a younger age.

E00904-007

Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1974. Project Officer: Jack Karlin. January 1975. 53 pp.

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Age Discrimination in Employment (17.300).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Age Discrimination in Employment Act of 1967 (P.L. 90-202).

E00904-008

The San Francisco Review. April 1974

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Age Discrimination in Employment (17.300); Equal Employment Opportunity by Federal Contractors (17.301); Longshoremen's and Harbor Workers' Compensation (17.302); Minimum Wage and Hour Standards (17.303); Women's Special Employment Assistance (17.305); Consumer Credit Protection (17.306); Farm Labor Contractor Registration (17.308).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Age Discrimination in Employment Act of 1967 (P.L. 90-202); Executive Order 11246, as amended by Executive Order 11375; Longshoremen's and Harbor Workers' Compensation Act (P.L. 69-803; P.L. 70-419; P.L. 77-208; P.L. 83-212; P.L. 82-297; P.L. 92-576); Fair Labor Standards Act of 1938 (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798); Consumer Credit Protection Act (P.L. 90-321); Farm Labor Contractor Registration Act (P.L. 88-582); Establishment of Women's Bureau (P.L. 66-259).

E00904-010

Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act. August 1975.

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Manpower and Employment Data (17.002); Wages and Industrial Relations Data (17.005);

Economic Research Data (17.006); Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Department of Labor establishing Act (P.L. 62-426; 29 USC 2,5); Fair Labor Standards Act of 1938 (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

This survey, which will be repeated on a triennial basis, will provide empirical information on a variety of subjects related to the Employment Standards Administration's program areas and interests. The initial survey will be conducted in two parts. The first part will provide general information on: (1) the prevalence of wage garnishments and wage assignments; (2) overtime premium pay practices; (3) maternity leave policies; (4) involuntary retirement; (5) the demographic characteristics of the private non-agricultural non-supervisory workforce; and (6) private supplementary compensation plans. The second part of the survey, to be fielded a year later, will provide quantitative data on wages and hours of work for all private non-agricultural non-supervisory employees by region and by major industrial group.

E00904-011

An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program. May 1975.

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Economic Research Data (17.006); Manpower Research and Development Projects (17.233); Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505).

Program Authorization: Department of Labor Establishing Act (P.L. 62-426; 29 USC 2); Social Security Amendment of 1967 (P.L. 90-248); Comprehensive Employment and Training Act of 1973 (P.L. 93-203; 29 USC 801); Fair Labor Standards Act of 1938 (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

E00904-012

Sheltered Workshops Under the Fair Labor Standards Act. Project Officer: Jack Karlin. December 1975.

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Economic Research Data (17.006); Manpower Research and Development Projects (17.233); Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Department of Labor establishing Act (P.L. 62-426; 29 USC 2); Social Security Amendments of 1967 (P.L. 90-248); Comprehensive Employ-

ment and Training Act of 1973 (P.L. 93-203; 29 USC 801); Fair Labor Standards Act of 1938 (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

The survey seeks information on a wide range of topics, including staff members, professional services provided handicapped clients, employment and payroll data, training and placement, productivity, financial information, fringe benefits, collective bargaining, major commodities or services, effects of the 1966 Amendments, wage subsidies and make-up pay, and employment of the hard-to-employ individuals. The survey will cover all of the sheltered workshops in the United States (approximately 2,500). In addition, a random sample of 3,000 clients or their guardians will be interviewed regarding benefits derived from the sheltered workshop program.

E00904-016

Black Lung Benefits Act of 1972; first annual report on administration of the Act during calendar year 1974 and the transitional period in the second half of 1973. Project Officer: Jack Karlin. May 1975. 15 pp. + 19 pp. of appendices.

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Coal Mine Workers' Compensation (17.307).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Federal Coal Mine Health and Safety Act of 1969, as amended (P.L. 91-73).

This report covers the Department's activities and experience in administration of the Black Lung Benefits Program. It provides a synopsis of the significant program problems and issues encountered and the Department's efforts to deal with them. The report also includes data covering the number of claims filed and processed, actual and projected program costs, and, in the Appendices, among other things, the regulations promulgated for this program.

E00904-020

Private Household Workers...Feasibility and Implications of Extending Minimum Wage Protection Under FLSA. Department of Commerce. October 1973.

Agency Sponsoring Evaluation: Employment Standards Administration, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Fair Labor Standards Act of 1938 (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

E00906-002

Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data). Project Officer: Robert Owens. July 1975.

Agency Sponsoring Evaluation: Bureau of Labor Statistics, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Wages and Industrial Relations Data (17.005); Economic Research Data (17.006); Equal Employment Opportunity by Federal Contractors (17.301); Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Department of Labor Establishing Act (P.L. 62-426; 29 USC 2); Executive Order 11246, September 24, 1965; Fair Labor Standards Act of 1938 (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

The survey is designed to gather occupational wage data by race in the construction industry. This collection will be carried on as a part of the annual BLS Construction Industry Wage Survey. The data will enable the Office of Federal Contract Compliance to develop an accurate picture of the construction industry in the 20 survey areas. The data will be used to evaluate reported progress in 'Plan Cities' and over time will build a data base for charting overall minority participation and occupational progress in the industry.

E00906-003

Demographic Characteristics of Workers in the Work Clothing Industry. Project Officer: Jack Karlin. September 1975.

Agency Sponsoring Evaluation: Bureau of Labor Statistics, DOL.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Economic Research Data (17.006); Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505).

Program Authorization: Department of Labor Establishing Act (P.L. 62-426; 29 USC 2); Fair Labor Standards Act of 1938 (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

This is a pilot study to test the efficacy of collecting employee characteristics data partly from the employer and, with the employer's assistance, from his employees. This study will provide nationwide data on characteristics such as age, sex, race, wage earner status, hourly earnings and annual earnings of non-supervisory workers in a low-wage industry. This information will be useful in assessing the potential impact of an increase in the minimum wage on the workforce of the work clothing industry and possibly, on its competitive position vis-a-vis foreign producers.

E00992-001

Concentrated Employment Program in New York City Has Not Met Its Employment Objectives. B-130515. September 7, 1972. 35 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

The Concentrated Employment Program is designed to combine all manpower services necessary to help unemployed and low-income persons obtain and hold regular jobs. GAO wanted to determine how well this was being done in New York City. The program was established in the South Bronx, East Harlem, and Central Harlem areas of New York City. GAO's evaluation mainly covered South Bronx because the program was just getting underway in East and Central Harlem when GAO started its fieldwork. Although many thousands of residents in the three areas needed assistance, the program fell considerably short of its goals in all three areas. The Department of Labor agreed that program accomplishments in New York City had been unsatisfactory and that the improvements GAO recommended must be implemented if satisfactory performance is to be attained.

E00992-002

Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act. B-163922. October 3, 1972 34 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203).

Public Availability: GAO, Distribution Section.

From 1962 through fiscal 1970 the government obligated \$1.6 billion for classroom-type manpower training under the Manpower Development and Training Act. GAO evaluated results of selected courses in one area where characteristics of physical isolation and lack of economic development make it difficult for graduates to find jobs. During an eight-year period, GAO found that 10,816 persons enrolled, 7,561 completed training, 5,661 were employed, and 4,779 obtained training-related employment.

E00992-003

Selection and Enrollment of Participants in Programs Under the Emergency Employment Act of 1971. B-163922. October 12, 1972. 28 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

This is the fourth of a series of GAO reports concerning the selection and enrollment of participants in programs under the Emergency Employment Act of 1971 (EEA). To implement the programs, the Department of Labor awarded grants totaling about \$959 million during fiscal year 1972 to about 700 states, counties, cities, and Indian tribes serving as program agents. As of June 1972 EEA had obtained public service jobs in state and local governments for 168,700 persons.

E00992-004

Review of Effectiveness and Management of the Neighborhood Youth Corps In-School Program for FY 1971 at Three Locations. October 24, 1972. 9 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

E00992-005

Observations on the Effectiveness and Management of Upgrading Training. November 3, 1972. 7 pp.

Agency Sponsoring Evaluation: Manpower Administration, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

E00992-006

Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971. B-163922. November 27, 1972. 19 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

This report contains overall observations of GAO concerning the types of jobs offered to unemployed and underemployed persons under the Emergency Employment Act of 1971 (EEA). It is one of a series of GAO reports in this area. Program agents' records showed that the largest number of jobs were to provide educational, law enforcement, public works, and transportation services. Previous GAO reports on EEA programs have commented on allocation of funds, delays in hiring, preparation and approval of program plans, and selection and enrollment of participants.

E00992-007

Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems. B-130515. February 20, 1973. 52 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

A part of the Neighborhood Youth Corps program, called the in-school program, provides part-time employment and support services to economically disadvantaged youths to help them stay in school. The Department of Labor allocated about \$59.1 million for about 95,000 youths to participate in the program in fiscal year 1971. Community sponsors--public or private nonprofit agencies--plan, administer, coordinate, and evaluate the program. GAO's earlier reviews indicated that the in-school program did not significantly affect whether a youth stayed in school. This situation has not changed. Also, many enrollees did not have meaningful jobs. Sponsors need to develop useful and diversified work assignments, consistent with the enrollees' goals or interests. The Department of Labor concurred in GAO's recommendations for corrective action and advised us that new guidelines would soon be implemented to eliminate or diminish these problems.

E00992-008

Program Administration at the Atterbury Job Corps Center in Indiana. B-130515. March 1, 1973. 28 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

E00992-009

Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971. B-163992. March 14, 1973. 41pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

About \$8.4 million was allocated during FY 1972 to tribes on 122 Federal reservations and was administered through 23 tribal organizations serving as program agents. In addition, about \$1.4 million was allocated to tribes by state, city and county program agents. The EEA program has created additional jobs for unemployed Indians and alleviated some public service needs of the tribes. The EEA program as presently funded, cannot be expected, however, to have a major impact on the chronic shortage of jobs on or near most reservations. Overall, program agents serving Indian tribes were more effective than other program agents, such as states, counties, and cities, in placing EEA participants in permanent nonsubsidized jobs on or near reservations. Unemployment and the need for additional public services form the prime targets of the EEA program. GAO reported that the rate of unemployment is among the highest of any segment of the population.

E00992-010

Public Service Benefits from Jobs Under the Emergency Employment Act of 1971. B-163922. June 8, 1973. 16 pp.

Agency Sponsoring Evaluation: Employment and Training Administration, DOL.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

This is the seventh of a series of GAO reports in response to requests of the Chairman, Senate Subcommittee on Employment, Manpower, and Poverty to review and evaluate the Department of Labor's programs to implement the Emergency Employment Act of 1971. This report provides information on benefits to public services from jobs under the Act. Nationwide, about 200,000

public service jobs were established by state and local governments under the Act. Although GAO found it somewhat difficult to determine the extent of changes in public services resulting from the program, it appears that the public service benefit objective was being met.

E00992-011

Public Employment Programs in Selected Rural and Urban Areas. B-163922. August 1, 1973. 47 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

GAO assessed public employment programs administered by cities and counties serving as program agents under the Emergency Employment Act of 1971 (EEA). It is its eighth report on emergency employment programs. GAO evaluated accomplishments under the program in two cities--New York and Detroit; and in eight rural areas in three States--Louisiana, Kentucky, and Washington. Many rural and urban areas throughout the country, plagued persistently by high unemployment, have been unable to meet public service needs because of shortages of money. Hard-pressed units of local government in these areas have welcomed EEA programs. EEA has enabled: (1) large cities to provide jobs for residents, and to offer services which might otherwise have been curtailed; and (2) rural areas to increase public services.

E00992-012

Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks. B-133182. December 5, 1973. 38 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

GAO reported on a reemployment program authorized in 1971 when an estimated 75,000 to 100,000 engineers, scientists, and technicians were unemployed. The Department of Labor allocated \$42 million for retraining, job search, relocation grants, and skill conversion studies. GAO pointed out that the program was reasonably successful, particularly in preparing skill conversion studies which identified industries and public service areas that have potential for new job creation for former aerospace and defense workers in the immediate or near future. Included in its recommendations to the Department was that in any such program in the future, the Department should develop a plan which would

provide for giving high priority to the use of skill conversion studies and automatically implementing a monitoring system.

E00992-013

Cleveland Summer Youth Employment Programs. B-163922. February 25, 1974. 31 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

This report showed that Cleveland was not prepared to handle the 1973 summer youth employment programs. The Department of Labor allocated \$4.37 million to employ 11,000 youths. Cleveland subcontracted \$1.45 million to the board of education. GAO found that: ineligible youths were employed; many youths were not paid on time or in correct amounts; and youths who did not work were paid; and adequate supervision was not available at all worksites. The board of education also had difficulties; many youths were not paid correct amounts, and programs which included classroom sessions did not have Department of Labor approval.

E00992-014

Neighborhood Youth Corps and Emergency Employment Programs in Artesia, New Mexico. B-130515. March 11, 1974. 4 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

E00992-015

The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements. B-163922. March 29, 1974. 41 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

In fiscal year 1974 GAO completed its eight and ninth of a series of reports over a 2-year period initiated at the request of the Chairman, for the Subcommittee on Employment, Poverty, and Migratory Labor, Senate Committee on Labor and Public Welfare. These reports were on the operation of public employment programs in selected rural and urban areas, and on the progress of agents in permanently placing participants in nonsubsidized jobs and revising hiring requirements. Program agents generally selected their jobs on the basis of public service needs and urban agents generally exceeded the national average in hiring participants who were minority members or were classified as disadvantaged. A considerable portion of participants employed by urban agents were former agency employees. Most of the agents used little of the funds programed for supportive services, including training. The urban areas used the funds to prevent a decrease in services while the rural areas provided additional public services. Although program agents were able to help many participants move into nonsubsidized jobs, the agents and the Department of Labor had to make more intensive efforts if this major objective of the act was to be reached. Many agents had or were in the process of revising some hiring requirements. The majority of revisions were intended to change unreasonable educational requirements, waive or eliminate written tests, or modify existing civil service systems to more easily accommodate enrollees' transition to permanent, nonsubsidized jobs.

E00992-016

Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas. B-130515. April 2, 1974. 41 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

In 1969 GAO reported on the Neighborhood Youth Corps out-of-school program and questioned the need for it because of the availability of other similar programs. In January 1970 the Department of Labor restructured this program and in 1973 GAO reviewed the implementation of five new projects in Birmingham, Cleveland, Philadelphia, San Antonio, and San Francisco. Because the Department had not set specific goals or measurement standards, it was difficult to tell whether the restructured program was successful in meeting its new objectives. The five projects varied widely in program thrust, the types of services offered, and the manner in which services were performed. GAO made a number of recommendations that the Department agreed with, including establishing goals for measuring project success, preparing employability plans specifying how enrollee needs will be met and periodically evaluating enrollee progress.

E00992-018

Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia. B-163031(3). July 10, 1974. 50 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Social Security Act, as amended (P.L. 90-248; P.L. 92-223); Revenue Act of 1971 (P.L. 92-178).

Public Availability: GAO, Distribution Section.

The Chairman, Senate Committee on Finance, asked GAO to examine the implementation of the Work Incentive program and to determine how well the Department of Labor was implementing legislative provisions-effective July 1, 1972-which changed the program's operations and emphasis. Problems existed in moving registrants through screening and selection to job readiness, goals were not met in developing on-the-job training and public service employment positions, few participants obtained unsubsidized jobs, and the management information system needed improvement. The Department of Labor said action has been taken on a number of GAO's recommendations to improve program operations.

E00992-019

Public Employment Programs in East St. Louis, Illinois. B-171500. July 11, 1974. 23 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

E00992-020

Assessment of the Work Incentive Program in Washington State. B-164031(3). August 6, 1974. 37 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Social Security Act, as amended (P.L. 90-248; P.L. 92-223); Revenue Act of 1971 (P.L. 92-178).

Public Availability: GAO, Distribution Section.

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Department of Labor was implementing legislative provisions-effective July 1, 1972-which changed the program's operations and emphasis. Problems existed in moving registrants through screening and selection to job readiness, goals were not met in developing on-the-job training and public service employment positions; few participants obtained unsubsidized jobs, and the management information system needed improvement. The Department of Labor said action has been taken on a number of GAO's recommendations to improve program operations.

E00992-021

From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan. B-164031(3). August 20, 1974. 41 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Social Security Act, as amended (P.L. 90-248; P.L. 92-223); Revenue Act of 1971 (P.L. 92-178).

Public Availability: GAO, Distribution Section.

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E00992-022

Opportunity to Increase Effectiveness of JOBS-Type Programs to be Funded Under CETA (Comprehensive Employment and Training Act). B-163922. September 23, 1974. 11 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

This is a follow-up review of a 1971 GAO report. It recommends that the Labor Department insure that prime sponsors who carry out JOBS-type programs under the Comprehensive Employment and Training Act: (1) limit training to disadvantaged individuals requiring costly on-the-job training and support services; and (2)

provide payments to employers only for the costs of training and support services to program enrollees over and above those normally provided by an employer.

E00992-023

Problems in Filling Job Orders and Placing Job Applicants in Massachusetts. B-179083. October 30, 1974. 49 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30); Social Security Act of 1935 (P.L. 74-271); Work Incentive Program (42 USC 602); Provisions Relating to Employment Security (P.L. 86-778); Vietnam Era Veterans' Readjustment Assistance Act of 1974 (P.L. 93-508); Trade Expansion Act of 1962 (P.L. 87-794); Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Rehabilitation Act of 1973 (P.L. 93-112); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

In a state with high unemployment, it seems reasonable to expect that employers having job openings would receive prompt referrals of qualified applicants, especially when the number of applicants exceeds job openings. However, this was not the case in Massachusetts. Except when an applicant is physically present at a local employment office, the state division of employment security generally made little attempt to match him to a job opening even though GAO's analyses of applicants and jobs showed that, in general, there were openings available for applicants.

E00992-024

Employment Services for Vietnam-Era Veterans Could Be Improved. B-178741. November 29, 1974. 63 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Employment Service (17.207).

Budget Function/Subfunction: Other manpower services (505); Veterans education, training, and rehabilitation (702).

Program Authorization: Wagner-Peyser Act of 1933 (P.L. 73-30); Social Security Act of 1935 (P.L. 74-271); Work Incentive Program (42 USC 602); Provisions Relating to Employment Security (P.L. 86-778); Vietnam Era Veterans' Readjustment Assistance Act of 1974 (P.L. 93-508); Trade Expansion Act of 1962 (P.L. 87-794); Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Rehabilitation Act of 1973 (P.L. 93-112); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

A synopsis of GAO's essential conclusions follows: (1) With regard to employment organizations serving veterans, the Labor Department did not appoint promptly all the assistant representatives required by law. More

assistants could have been used; the positions should have been filled. (2) Extent of compliance with mandatory job-listing requirements is not known. Virtually all Federal contracting agencies, contractors, and employment service offices are responsible for implementing the requirements. (3) The Federal employment service has helped many veterans obtain jobs. Action is needed to better provide prompt, preferential services. Certain practical problems will be difficult to solve. (4) Efforts should be expended to improve the accuracy of the Employment Security Automated Reporting System data for managing the employment services' operations and for evaluating their performance. (5) Though the notification procedure for veterans receiving unemployment compensation benefits was operating more effectively in some locations than in others, there was room for improvements.

E00992-025

Kentucky Equine Education Program (KEEP). B-163922. December 27, 1974. 7 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Comprehensive Employment and Training (17.232).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Emergency Jobs and Unemployment Assistance Act of 1974 (P.L. 93-567).

Public Availability: GAO, Distribution Section.

E00992-026

Federal Unemployment Benefits Due to Agnes Disaster in Pennsylvania. December 31, 1974. 12 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Unemployment Insurance--Grants to States (17.225).

Budget Function/Subfunction: Unemployment insurance (603).

Program Authorization: Social Security Act, as amended (P.L. 90-248; P.L. 92-223); Disaster Relief Act of 1970 (42 USC 4401).

Public Availability: GAO, Distribution Section.

E00992-027

Problems in the Work Incentive Program in Los Angeles and San Diego. MWD-75-24. January 29, 1975. 70 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Social Security Act, as amended (P.L. 90-248; P.L. 92-223); Revenue Act of 1971 (P.L. 92-178).

Public Availability: GAO, Distribution Section.

The Chairman, Senate Committee on Finance, asked GAO to examine the implementation of the Work Incentive program and to determine how well the Department of Labor was implementing legislative provisions--effective July 1, 1972--which changed the program's operations and emphasis. Problems existed in moving registrants through screening and selection to job readiness, goals were not met in developing on-the-job training and public service employment positions, few participants obtained unsubsidized jobs, and the management information system needed improvement. The Department of Labor said action has been taken on a number of GAO's recommendations to improve program operations.

E00992-028

Slow Implementation of the Work Incentive Program in New York City. MWD-75-41. March 17, 1975. 47 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Work Incentives (17.226).

Budget Function/Subfunction: Manpower training (504); Public assistance and other income supplements (604).

Program Authorization: Social Security Act, as amended (P.L. 90-248; P.L. 92-223); Revenue Act of 1971 (P.L. 92-178).

Public Availability: GAO, Distribution Section.

The Chairman, Senate Committee on Finance, asked GAO to examine the implementation of the Work Incentive program and to determine how well the Department of Labor was implementing legislative provisions--effective July 1, 1972--which changed the program's operations and emphasis. Problems existed in moving registrants through screening and selection to job readiness, goals were not met in developing on-the-job training and public service employment positions, few participants obtained unsubsidized jobs, and the management information system needed improvement. The Department of Labor said action has been taken on a number of GAO's recommendations to improve program operations.

E00992-029

Administration of the Alien Labor Certification Program Should Be Strengthened. MWD-75-2. May 16, 1975. 84 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment and Training Administration, DOL.

Program(s) Evaluated: Certification for Immigrant Nonagricultural Workers (17.203).

Budget Function/Subfunction: Other manpower services (505); Conduct of foreign affairs (152).

Program Authorization: Immigration and Nationality Act of 1952, as amended (P.L. 82-414).

Public Availability: GAO, Distribution Section.

In seeking to determine whether the program was achieving its legislative objective of protecting the domestic labor force from alien competition, GAO encountered difficulties in assessing it because three Federal departments--Justice, State and Labor--dealing with aliens did not maintain adequate and comparable data. Judging from data GAO evaluated, it appears the program had little effect because many aliens entering this country are not required to obtain certification. Labor's regional offices and state and local employment service offices were not adequately documenting reasons for decisions for granting or refusing labor certifications. Monitoring of certification at Labor regional offices and employment service offices visited was not done in most cases, and when done was usually inadequate. Although potential for improving effectiveness of the program exists, offices visited generally were not making such evaluations.

E00994-001

Propriety of Minimum Wage Determinations for Clerical and Other Office Employees Under the Service Contract Act. B-151261. November 30, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Fair Labor Standards Act (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

Public Availability: GAO, Distribution Section.

E00994-002

The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved. MWD-75-63. April 29, 1975.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Equal Employment Opportunity by Federal Contractors (17.301).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Executive Order 11246, September 24, 1965, as amended; Executive Order 11375, October 13, 1967.

Public Availability: GAO, Distribution Section.

This program is intended to insure that Federal contractors in the nonconstruction industry provide equal employment opportunity. The Department of Labor has overall responsibility for the program. The Department does not yet have a fully operational system for assessing progress of Federal nonconstruction contractors in increasing employment of minorities and women. The Department needs to provide additional guidance and training. Officials of several agencies cited various

areas in which Department guidance and training was needed to enable more thorough compliance reviews.

E00994-003

Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters. MWD-75-75. June 3, 1975.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Employment Standards Administration, DOL.

Program(s) Evaluated: Minimum Wage and Hour Standards (17.303).

Budget Function/Subfunction: Other manpower services (505); Public assistance and other income supplements (604).

Program Authorization: Fair Labor Standards Act (P.L. 75-718); Walsh-Healey Public Contracts Act (P.L. 74-846); Service Contract Act of 1965 (P.L. 89-286); Davis-Bacon Act (P.L. 71-798).

Public Availability: GAO, Distribution Section.

E00995-001

Review of Occupational Safety and Health Activities of Federal Civilian Agencies. B-163375. January 31, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Occupational Safety and Health Administration, DOL.

Program(s) Evaluated: Occupational Safety and Health (17.500).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Occupational Safety and Health Act of 1970 (P.L. 91-596); Construction Safety Act (P.L. 91-54); Service Contract Act (P.L. 89-286); Maritime Safety Act (P.L. 85-742); Walsh-Healey Public Contracts Act (P.L. 74-846).

Public Availability: GAO, Distribution Section.

E00995-002

Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department (2). B-163375. January 31, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Occupational Safety and Health Administration, DOL.

Program(s) Evaluated: Occupational Safety and Health (17.500).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Occupational Safety and Health Act of 1970 (P.L. 91-596); Construction Safety Act (P.L. 91-54); Service Contract Act (P.L. 89-286); Maritime Safety Act (P.L. 85-742); Walsh-Healey Public Contracts Act (P.L. 74-846).

Public Availability: GAO, Distribution Section.

E00995-003

Review of Occupational Safety and Health Activities of Federal Civilian Agencies--GSA (2). B-163375. January 30, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Occupational Safety and Health Administration, DOL.

Program(s) Evaluated: Occupational Safety and Health (17.500).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Occupational Safety and Health Act of 1970 (P.L. 91-596); Construction Safety Act (P.L. 91-54); Service Contract Act (P.L. 89-286); Maritime Safety Act (P.L. 85-742); Walsh-Healey Public Contracts Act (P.L. 74-846).

Public Availability: GAO, Distribution Section.

E00995-004

More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs. B-163375. March 15, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Occupational Safety and Health Administration, DOL.

Program(s) Evaluated: Occupational Safety and Health (17.500).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Occupational Safety and Health Act of 1970 (P.L. 91-596); Construction Safety Act (P.L. 91-54); Service Contract Act (P.L. 89-286); Maritime Safety Act (P.L. 85-742); Walsh-Healey Public Contracts Act (P.L. 74-846).

Public Availability: GAO, Distribution Section.

At the request of the Chairman, Senate Committee on Labor and Public Welfare, GAO reported on aspects of the Occupational Safety and Health Act of 1970. Federal safety program requirements apply to about 3 million civilian employees in 5,000 occupations in approximately 120 Federal departments and agencies. In 1971 about 37,000 Federal employees were disabled and 255 were killed in accidents; the estimated cost for compensation and medical expense was \$118 million. Related property damage costs are estimated between a quarter and a half billion dollars annually. The Department of Labor inspects private businesses and can penalize violators of health and safety standards. However, the 1970 law states that Federal agencies can be inspected only if they consent. GAO inspected workplaces of four Federal agencies in the Washington, D.C. area and found about 200 violations of safety and health standards. The Department generally concurred in GAO's recommendations to achieve a more concerted effort by the Federal Government to insure safe and healthful workplaces for Federal employees and advised GAO that it had taken or planned actions along the lines suggested. Because of the number and severity of the violations, GAO recommended that the Committee consider amending the Act to include Federal workplaces under the inspection responsibility of the Occupational Safety and Health Administration.

E00995-006

Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois. July 18, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Occupational Safety and Health Administration, DOL.

Program(s) Evaluated: Occupational Safety and Health (17.500).

Budget Function/Subfunction: Other manpower services (505).

Program Authorization: Occupational Safety and Health Act of 1970 (P.L. 91-596); Construction Safety Act (P.L. 91-54); Service Contract Act (P.L. 89-286); Maritime Safety Act (P.L. 85-742); Walsh-Healy Public Contracts Act (P.L. 74-846).

Public Availability: GAO, Distribution Section.

E00995-007

Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970. B-163375. December 13, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Occupational Safety and Health Administration, DOL.

Program(s) Evaluated: Occupational Safety and Health (17.500).

Budget Function/Subfunction: Public assistance and other income supplements (604).

Program Authorization: Occupational Safety and Health Act of 1970 (P.L. 91-596); Construction Safety Act (P.L. 91-54); Service Contract Act (P.L. 89-286); Maritime Safety Act (P.L. 85-742); Walsh-Healey Public Contracts Act (P.L. 74-846).

Public Availability: GAO, Distribution Section.

The Occupational Safety and Health Administration (OSHA) promulgated its initial occupational safety and health standards in May 1971. GAO reported to the Committee on Labor and Public Welfare that copies of the standards and the numerous subsequent revisions had not been printed in sufficient quantities to meet the needs of businesses required to comply with the act. OSHA also experienced delays and problems in disseminating the printed material, which further limited its ability to inform the affected businesses of their responsibilities. Most of the problems OSHA encountered can be attributed to the fact that (1) it was a newly created agency, (2) it did not have an adequate data base on which to estimate the number of copies of standards required to meet the needs of affected businesses, and (3) the OSHA staff was inexperienced at such large undertakings.

Department of State

E01017-001

Food for Peace; an Evaluation of P.L. 480, Title II. Checchi and Company, Washington, D.C. July 1972. Multiple vols.

Agency Sponsoring Evaluation: Office of Food for Peace, AID.

Agency Managing Program: Agency for International Development.

Program(s) Evaluated: Food for Peace (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195, sec. 103-107); Food for Peace Act (7 USC 1701 et seq.).

E01017-002

Intercountry Evaluation of Education Credit Institutions in Latin America. Allison Herrick, and others. June 1974. 45 pp. + tables.

Agency Sponsoring Evaluation: Office of Development Programs, Bureau for Latin America, AID.

Agency Managing Program: Bureau for Latin America, AID.

Program(s) Evaluated: Program Management, Bureau for Latin America (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151). Education and Human Resources Development (19.000).

Program Authorization: Foreign Assistance Act of 1961 (P.L. 87-195, sec. 103-107).

This study reviewed the experience of the Agency for International Development in assisting education credit institutions, and assessed the strengths and weaknesses of student loan programs and the problems they face. According to the study, the student loan movement in Latin America is growing steadily in size and influence. It is developing and enlarging sources of financing for education credit and is helping to create acceptance for the idea that students should undertake greater financial responsibility for their own education. Credit is usually granted for study in fields related to current estimates of national needs and priorities as seen by the lending institution or established by the government. In addition, the goal to broaden the opportunity for access to education is more or less explicit in most countries, but implementation of this goal has been subsidiary to efforts to fulfill the manpower goal. Education credit, however, does make it possible for students at higher levels to complete courses of study in fields of their choice, so long as they are within the eligible priority fields.

E01017-003

Intercountry Evaluation of Agriculture Sector Programs: Colombia, Costa Rica, Guatemala. Edmond Hutchinson, and others. June 1974. 4 vols., 376 pp.

Agency Sponsoring Evaluation: Office of Development Programs, Bureau for Latin America, AID.

Agency Managing Program: Bureau for Latin America, AID.

Program(s) Evaluated: Program Management, Bureau for Latin America (19.000); Food Production and Nutrition (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961 (P.L. 87-195, sec. 103-107).

This summary report is an evaluation of the agricultural 'sector approach' as it has been applied in Colombia, Guatemala, and Costa Rica. The sector approach represents a creative and innovative approach to providing assistance which avoids the weaknesses of traditional programs. It has promoted a 'holistic' approach to problems, and has directed attention to the small farmer. Beginnings of a process of analysis for exploring interrelationships within sectors in an integrated and disciplined manner are being achieved. The greatest success has occurred in strengthening the institutional base for dealing with sector problems and bringing services to the small farmer. The program has not yet demonstrated that improvement of the welfare of the whole can be achieved through assistance for the small farmer. There is a need to establish an institutionalized process of analysis, investigation, and evaluation; consider alternative approaches to small farmer improvement; review AID criteria and procedures for analyzing, financing, implementing, and monitoring programs; and to improve AID staff in numbers and skills.

E01017-004

Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama. Bernice Goldstein. June 1974. 25 pp.

Agency Sponsoring Evaluation: Office of Development Programs, Bureau for Latin America, AID.

Agency Managing Program: Bureau for Latin America, AID.

Program(s) Evaluated: Program Management, Bureau for Latin America (19.000); Food Production and Nutrition (19.000); Cadastral (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961 (P.L. 87-195, sec. 103-107).

An evaluation of Agency for International Development (AID)-supported cadastral programs indicates that while cadastral programs can contribute to fiscal improvements and land reform, they are not the first requirements for the accomplishments of these objectives. In addition, experience shows that while a cadastral program can improve property tax administration through the installation of an equitable and efficient property tax system, it cannot of itself bring about high levels of property tax revenues; that while the type of cadastral program that AID has supported in the past can provide the basis for a modern land registry system and can provide the data useful for development in the rural sector, it cannot of itself bring about accelerated titling, improved distribution and utilization of lands, and other agricultural reform measures; and that while valuable data have been generated, their utilization appears limited relative to the cost of producing the data, suggesting the need to assess with care any future proposals.

E01017-005

A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range

management. Final report. James D. Bates, and others. Research Triangle Institute, Research Triangle Park, N.C. RTI Project 26U-853. May 1975. 80 pp. + appendices.

Agency Sponsoring Evaluation: Bureau for Africa, AID.

Agency Managing Program: Bureau for Africa, AID.

Program(s) Evaluated: Program Management, Bureau for Africa (19.000); Food Production and Nutrition (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961 (P.L. 87-195, sec. 103-107).

A review of the range management aspects of livestock production in northern Nigeria, the traditional production system, the Agency for International Development (AID) range management projects in Nigeria, and the current status of range management suggests that AID incorporate the basic approach developed in Nigeria into range management projects designed for West African countries with similar conditions. Furthermore, in countries with few if any professionally-trained personnel, training in range management at the B.S. degree level should be implemented in the early stages of a development program; that existing and future range management projects be modified to include provisions to insure that basic land tenure rights of grazing areas be given or in some manner transferred to the traditional herdsman; and that when initiating range management projects in a country, strong consideration be given to the establishment of a large, centrally-located heavy-equipment pool that has the capability of furnishing the equipment required for the construction of all range facilities, and the capacity to carry out major repairs.

E01017-008

Evaluating Fertilizer Subsidies in Developing Countries. Dana G. Dalrymple. July 1975. 64 pp.

Agency Sponsoring Evaluation: Bureau for Program and Policy Coordination, AID.

Agency Managing Program: Bureau for Program and Policy Coordination, AID.

Program(s) Evaluated: Food Production and Nutrition (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195, sec. 103-107).

E01097-001

Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis. B-173651. June 29, 1972. 44 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Refugee Relief. (Bangladesh) (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

Several factors indicate that it was appropriate for the United States to provide wheat to rice-eating East Pakistani victims of the November 1970 cyclone. No wheat was provided to the Government of India for refugee feeding. In Pakistan dollar assistance was used to finance the foreign exchange costs of items not available within that country. In contrast, almost one-half-about \$13.7 million-of the U.S. dollar assistance given to India through the United Nations was used to buy goods and services within the country. The result was additional free foreign exchange for India. About fifty 16-foot assault boats, furnished by the United States at a cost of \$63,000 for relief assistance after the cyclone, were confiscated by the West Pakistan military at the outbreak of civil hostilities in late March 1971.

E01097-002

Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India. B-146749. August 3, 1972.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, Bureau for Near East and South Asia (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

From 1951 through 1970 AID made dollar loans totaling \$712.3 million and local currency loans equivalent to \$394.9 million for capital projects in India--concentrated in electric power, manufacturing, and railways. GAO reviewed capital projects involving AID assistance of \$5 million or more which had been completed at least 1 year but not more than 10 years, to try to determine the success of these projects, achievements of project goals, and AID's actions when goals and objectives were not met. There were 19 such projects having loans totaling \$687.4 million--\$418.1 million in dollars and the equivalent of \$269.3 million in local currency. AID was limited in its ability to assess the effectiveness of its assistance and lacked information on which to propose and to negotiate corrective action with appropriate Indian agencies. AID recently established a system for obtaining production and other data necessary to ascertain whether anticipated levels of production and use are being achieved and to identify and analyze reasons for shortfalls. The system is directed only to projects to be completed in the future.

E01097-003

Need to Improve Language Training Programs and Assignments for U.S. Government Personnel Overseas. B-176049. January 22, 1973. 92 pp. + appendix.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, AID (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

The U.S. Government spends about \$60 million annually for training and associated language research for about 18,000 military and civilian personnel in about 150 foreign languages. In 1960 the Congress required that foreign language competence of key U.S. Government representatives be improved. Little progress was achieved in the past decade toward substantially raising foreign language competence of U.S. staffs abroad. In the State Department, language-essential positions not satisfactorily filled increased from 38 percent in 1963 to 43 percent in 1972. In virtually all agencies, language-essential positions were staffed with individuals lacking required capabilities. GAO made a number of recommendations to improve Government management of language-training facilities and programs and use of the language-proficient personnel.

E01097-004

What Should U.S. Policy Be for Development Assistance to Ecuador? B-146998. February 27, 1973. 80 pp. + appendices.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State; Department of Defense; U.S. Information Agency; ACTION.

Program(s) Evaluated: Program Management, AID (19.000); Program Management, ACTION (72.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended, (P.L. 87-195).

Public Availability: GAO, Distribution Section.

GAO reported on the administration and effectiveness of U.S. economic and military aid to Ecuador--a country where political instability has affected U.S. policy decisions and continuity of operations. GAO noted that: Short-term U.S. program objectives, goals, and priorities in Ecuador have shifted frequently and long-term U.S. objectives remain uncertain. U.S. assistance has helped to (1) construct or modernize transportation and power production facilities, health accommodations, and schools, (2) feed people, (3) transfer skills, (4) change attitudes, (5) cement relations, and (6) protect certain U.S. economic interests. Some accomplishments were small, measured against the aggregate need. U.S. assistance has not helped Ecuador achieve increased political stability or accelerate progress in economic productivity, social reform, educational opportunity, housing, health, or employment availabilities--the basic and ultimate purpose of U.S. developmental assistance. GAO recommended legislative action which would provide guidance for foreign assistance program implementation.

E01097-005

United States Interests and Activities in Nepal. B-177681. March 16, 1973. 63 pp. + appendix.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, Bureau for Near East and South Asia (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

The United States has no vested interests in Nepal. Trade between the two countries averages \$3 million annually. There is one private American investment of about \$250,000. The AID program is consistent with U.S. interests in Nepal. It helps to develop technical skills and the economic and administrative machinery necessary for Nepal to absorb capital assistance from other donors. A unique feature has been the use of U.S. owned excess Indian rupees for program financing, which in fiscal year 1971 financed over 80 percent of AID's \$15.4 million program in Nepal. India has been unwilling thus far to extend its longstanding agreement for this use of rupees beyond fiscal year 1973. GAO pointed out that, unless rupee support is continued, dollar financing would need to be increased if U.S. assistance to Nepal is to continue at its present level.

E01097-006

Audit of Loan Program Financial Statements for Fiscal Years 1971, 1970, and 1969. B-133220. May 18, 1973. 66 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, AID (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961 (P.L. 87-195, sec. 635; 22 USC 2395).

Public Availability: GAO, Distribution Section.

AID's system of accounting and internal control during fiscal years 1969-1971 could not be fully relied on to insure that Loan Program assets were properly accounted for or to produce reliable overall financial statements and management reports for internal and external use. GAO believes that major improvement is needed in AID's loan accounting system and that AID should place more emphasis on the value of financial reports for management purposes.

E01097-007

Assistance to Family Planning Programs in Southeast Asia. B-173240. May 23, 1973. 53 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Population Planning and Health (19.000); Program Management, Bureau for East Asia (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195, sec. 291-292; 22 USC 2219).

Public Availability: GAO, Distribution Section.

GAO's review of the family planning programs funded by AID in Indonesia, Laos, Thailand, and the Philippines showed that these programs are definitely needed to reduce population growth rates in all but sparsely populated Laos. AID funds available for the programs were more than needed and during the last month of the fiscal year AID obligated large amounts for undefined program requirements so funds would not be lost to the program. The countries' inadequate administrative and logistics systems contributed to problems of defining program requirements, making proper distribution, and maintaining accountability for the commodities. GAO made several recommendations to the Administrator of AID for improving control over program funds. In view of AID's using Foreign Assistance Act title X funds (for programs relating to population growth) for health and nutrition programs not directly related to reducing population growth rates, GAO suggested that the Congress may wish to consider expanding the purposes of title X to provide for a coordinated program of family planning, health, and nutrition.

E01097-008

Interim Report on the Agency for International Development's Housing Investment Guaranty Program. B-171526. May 22, 1973. 43 pp. + appendixes.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Housing Investment Guaranty (19.008).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended, Title III, (P.L. 87-195).

Public Availability: GAO, Distribution Section.

At the request of the Chairman, Senate Committee on Foreign Relations, GAO reviewed the program's effectiveness in Central America and the financial aspects of the program managed in Washington, D.C. In attempting to fulfill its legislative objectives, the Housing Investment Guaranty Program in Central America: financed functional, attractive, and generally self-liquidating housing; contributed immeasurably to the development of housing institutions and the mobilization of savings; had no appreciable impact on improving housing conditions for lower income families because only the upper 25 percent of the economic stratum could afford housing under the program; had no appreciable impact on improving housing conditions when measured against relative need. GAO made several recommendations directed at improving financial reporting and management of the program.

E01097-009

Progress and Problems of U.S. Assistance for Land Reform in Vietnam. B-159451. June 22, 1973. 24 pp. + appendixes.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, Bureau for East Asia (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

The primary aim of land reform by the Government of Vietnam is to broaden the political base by breaking up large landholdings and giving rural families title to the land they farm. The program consists of two parts: the Land-to-the-Tiller (LTTT) program and the Montagniard land reform program. Under the LTTT program, 1 million hectares (2.5 million acres) had been distributed to about 650,000 tenant farmers as of March 1973. Time will tell whether program beneficiaries will support GVN politically. The Montagniard program has made slow progress. It has been carried out poorly, has not received adequate GVN support, and has received a disproportionately low share of U.S. support.

E01097-010

Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development. B114898. June 25, 1973.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State; Customs Service, Dept. of the Treasury.

Program(s) Evaluated: Program Management, AID (19.000); Processing Cargo (21.299).

Budget Function/Subfunction: Foreign economic and financial assistance (151); Federal law enforcement and prosecution (751).

Program Authorization: Tariff Act of 1930 (P.L. 71-361).

Public Availability: GAO, Distribution Section.

The Tariff Act of 1930 provides for refunding duty (drawbacks) on exports manufactured from duty-paid imported material or from similar domestic material substituted for the duty-paid imported material. This provision is designed to encourage exports by recovering duties on exports which compete with foreign-made goods. In its report to the Chairman, Senate Committee on Finance and House Ways and Means Committee, GAO concluded that paying drawback on exports under Agency for International Development programs is not necessary to encourage foreign commerce because the terms of the agreements preclude foreign competition. Accordingly, GAO recommended that the Committee consider legislation to amend the Tariff Act of 1930 to prohibit drawback for products exported under AID programs.

E01097-011

Development Assistance to Korea. B-164264. July 12, 1973. 74 pp. + appendixes.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, Bureau for East Asia (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

During fiscal years 1968-72, direct U.S. bilateral economic assistance to Korea totaled \$1,194 million and direct military assistance totaled \$2,635 million. Korea benefited also from the substantial U.S. expenditures made to support U.S. military forces in Korea and from additional sums received for sending its troops to Vietnam. Although U.S. assistance had undoubtedly stimulated Korea's economic expansion, it also may have inadvertently contributed to Korea's economic problems. For example, U.S. concessional aid has helped to make it possible for Korea to get large amounts of nonconcessional credit which has caused Korea's external debt situation to worsen. In addition, subsidization of food and fiber programs has built up Korean demand for imported products, thus adding to its trade gap. GAO recommended that the Congress inquire into (1) Department of State and AID plans for terminating the development loan program for Korea and (2) reasons for the increased Public Law 480 program in Korea and uses to which the sales proceeds are being put.

E01097-012

Regional Poultry Project in West Africa. B-145883. May 10, 1973. 23 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, Bureau for Africa (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

Economic viability of this regional project never has been demonstrated. Management by AID to execute the project was inadequate. AID should not have incurred substantial expenditures over a considerable period of time without assurance that the project was both economically viable and adequately managed.

E01097-013

U.S. Foreign Aid to Education: Does Brazil Need It? B-133283. July 30, 1973. 83 pp. + appendixes.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, Bureau of Latin America (19.000); Program Management, AID (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

GAO reported on the effectiveness of and need for U.S. assistance to education in Brazil--a country whose foreign exchange reserves at the start of 1973 were about \$4.2 billion. Brazil received about \$187 million in direct and indirect education aid for fiscal years 1965 to 1972. The U.S.-supported educational assistance program was not designed to improve inequities in the Brazilian education system; U.S. efforts were directed to solving the basic cause of the shortage in qualified primary school teachers. Brazil had not complied with financial commitments in the U.S. loans requiring increases in its Federal resources for education, and planned increases in secondary school enrollment were being adversely affected by school site locations. External assistance to Brazil needed improved coordination. Activities and programs provided by some donors in the education sector overlapped, involved duplication or marginal benefits, and supported conflicting objectives. GAO recommended that the Secretary of State and the Administrator of AID correct or alleviate identified planning, implementation, and evaluation problems and develop policy guidance on appropriateness of providing U.S. assistance to a country allocating a substantial share of its public education resources to private schools. GAO also recommended legislative action that would require the Department of State and AID to identify precisely and objectively that point at which a country, such as Brazil, no longer requires U.S. concessional assistance.

E01097-014

Summary of U.S. Assistance to Jordan. B-179001. August 8, 1973. 55 pp. + appendixes.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, AID (19.000); Program Management, Bureau for Near East and South Asia (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

Jordan has received \$887.2 million in U.S. economic and military assistance for fiscal years 1952-72, about half of which has been in untied cash grants for budget support. This comprehensive summary of assistance to Jordan should be useful to the committees and individual Members of the Congress in their legislative work relating to U.S. interests in the Middle East.

E01097-015

U.S. Grant Support of International Planned Parenthood Federation Needs Better Oversight. B-173240. September 14, 1973. 29 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Population Planning and Health (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, Title X (P.L. 87-195).

Public Availability: GAO, Distribution Section.

GAO reviewed the system of management controls surrounding the grant of about \$10 million annually by AID out of \$19 million used for family planning activities carried out by the Federation. AID evaluates the Federation's performance on the basis of its annual reports, audits at the Federation's central office, and other internal information. To help improve evaluation of the Federation's use of AID funds, GAO recommended that AID assist the Federation in working out a specific, phased plan for more effective and timely implementation of management controls.

E01097-016

U.S. Assistance to the Khmer Republic (Cambodia). B-169832. October 10, 1973. 59 pp. + appendixes.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, Bureau for Near East and South Asia (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

The goals and objectives of U.S. economic and military assistance programs in Cambodia have been related to the military effort in Indochina. During fiscal years 1970-73 the United States provided \$516 million in military and \$216 million in economic assistance to Cambodia, not including costs of combat air operations. GAO provided information concerning the administration and effectiveness of U.S. economic and military assistance. GAO reported that military members were not assigned as advisors but were acting beyond their primary function of auditing deliveries. Additionally, while executive branch expenditures probably did not exceed the 1972 ceiling, all costs were not reported. GAO also reported that AID requested more money for economic assistance than the country could absorb and that the Cambodian tax policies delayed some commodities from being used in the economy. GAO recommended that the executive agencies more fully inform the Congress of the type and organizational level of advisor assistance given to the Cambodian military and provide more complete reports on expenditures for all assistance-related activities.

E01097-017

Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis. B-177521. October 26, 1973. 18 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Refugee Relief (Bangladesh) (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

AID chartered the SS Manhattan to transport about 66,000 tons of wheat and to serve as a floating silo off the Bangladesh coast because it was the only ship offered that was in position to load wheat at gulf coast ports, was offered at the lowest cost per deadweight ton, and was a U.S.-flag ship. GAO's review was performed at the request of Senator William Proxmire. The U.N. Relief Operation, Bangladesh, accepted operational responsibility for the ship and AID did not directly monitor the ship's operations. GAO noted indications that the charter of the Manhattan could possibly have been terminated in mid-September 1972 before the specified termination date of November 12, 1972, which would have resulted in savings of about \$925,000.

E01097-018

United States Programs in Ghana. B-179421. February 12, 1974. 56 pp. + appendixes.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, Bureau for Africa (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

For a 5-year period through FY 1971, the U.S. gave about \$155 million, or an average of \$31 million a year, in bilateral economic assistance to Ghana. This was the largest single source of assistance to that country. However, this assistance has been primarily balance-of-payments support through loans to finance Ghana's import of commodities and Public Law 480 sales of agricultural commodities. The 1973 U.S. program of \$25.3 million reserved \$19 million to be loaned to Ghana as soon as Ghana and its creditors agree on resolving that country's debt problem. Because of the seriousness of the problem, Congress may wish to review with the State Department and AID the role of foreign donors, including the U.S., in providing a net-aid flow to support Ghana's economic development.

E01097-020

The Brazilian Economic Boom: How Should the United States Relate to It? ID-74-55. August 26, 1974. 69 pp. + appendixes.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, AID (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

Since 1962 the U.S. has provided Brazil with over \$4.3 billion in assistance through country-to-country or international programs. Today Brazil has one of the world's fastest growing economies. State and AID recognize that Brazil has less need for economic assistance. GAO said that they should end this assistance expeditiously. State should shift responsibilities for uncompleted AID projects to Brazilian agencies and reduce staff until AID can be phased out. State and AID should try to renegotiate terms of AID loans to accelerate loan repayments or to increase the interest rates to cover U.S. costs for servicing these loans. This would save over \$94 million in debt-service costs for 14 loans made to Brazil since 1970.

E01097-021

Cost and Use of Personnel in the Agency for International Development. P-75-1D-2. September 9, 1974. 30 pp. + appendixes.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, AID (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

An increase in numbers and salaries of Foreign Service personnel has caused a large increase in the average salary of Washington-based personnel--from \$12,168 to \$20,383. Sixty-six percent of personnel are assigned to positions which could be filled by lower graded personnel. Continuing promotions have contributed to the overgrading problem. Also, the CSC's last review of AID, in 1966-67, indicated serious problems in the classifying of GS positions, with 62 percent being overclassified. AID's average grade levels generally are higher than those of other government agencies with overseas-oriented programs. Its salaries are considerably higher than those of private nonprofit organizations having large developmental assistance programs in foreign countries.

E01097-022

Rescission of the Opium Poppy Growing Ban by Turkey. P-75-1D-11. September 9, 1974. 6 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, AID (19.000); Program Management, Bureau for Near East and South Asia (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151); Federal law enforcement and prosecution (751).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

This report provides current information that may be useful to the Congress on the rescission of the opium poppy growing ban by Turkey. The report consists primarily of first-hand information obtained by GAO from U.S. Embassy and Agency for International Development officials during a visit to Turkey in mid-July 1974, including income replacement projects in former poppy-growing areas. Legislation before both Houses provides that it is the sense of the Congress that the President (1) immediately initiate negotiations with the Turkish Government to prevent resumption of opium production or (2) eventually suspend all assistance to Turkey or any other opium-producing country that fails to prevent diversion of drugs into illicit channels.

E01097-023

United States Economic Assistance to Turkey. P-74-1D-64. September 16, 1974. 65 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, AID (19.000); Program Management, Bureau for Near East and South Asia (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

Over 27 years, the U.S. has provided Turkey with \$6.7 billion--\$3.7 billion for military assistance, \$3 billion for economic assistance. Despite a decade of talk directed toward phasing out U.S. economic assistance by 1973, the AID program in Turkey continues. Recent events in Turkey created a favorable climate for phasing out this assistance: certain types of aid no longer are needed, and AID has had difficulty using funds allocated for Turkey's development. The FY 1974 AID program presentation to the Congress did not discuss a new \$114 million U.S. loan to Turkey in 1973 or the debt relief it represents. It did not provide full and timely information to congressional committees responsible for authorizing and appropriating U.S. economic assistance.

E01097-024

Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. 071D-75-9. November 25, 1974. 51 pp. + appendices.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Housing Investment Guaranty (19.008).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, Title III, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

This report summarizes GAO's review of the Agency for International Development's Housing Investment Guaranty Program in Washington, D.C., and in Argentina, Guatemala, Nicaragua, Venezuela, Israel, Thailand, and Tunisia. Housing for lower income persons and families under section 222 (b)(3) of the Foreign Assistance Act has been a legislative objective since 1965 in Latin America and since 1969 on a worldwide basis. As of October 1974, however, no projects were being constructed in line with this section and only three were authorized, those being in Africa.

E01097-025

Funding and Reporting of Agency for International Development Overhead Costs Should be More Realistic. ID-75-50. April 28, 1975.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Agency for International Development, Dept. of State.

Program(s) Evaluated: Program Management, AID (19.000).

Budget Function/Subfunction: Foreign economic and financial assistance (151).

Program Authorization: Foreign Assistance Act of 1961, as amended (P.L. 87-195).

Public Availability: GAO, Distribution Section.

For a cleaner alignment of congressional overview and the appropriation process, all the Agency's administrative and overhead costs should be funded by its administrative expense account. GAO recommended that AID identify for separate justification and funding all program support activities, such as technical support, program support, and other indirect costs described as nonoperating expenses. Concerning GAO's recommendation for changes in funding and reporting of administrative and overhead dollar costs, AID expressed a strong preference for continued funding of operating expenses from various program appropriations, saying it needs such flexibility so that it can respond to constant changes occurring in the program.

Department of Transportation

E01101-001

A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels. Educational Testing Service, Princeton, N.J. July 13, 1973.

Agency Sponsoring Evaluation: Plans Evaluation Division, U.S. Coast Guard.

Agency Managing Program: U.S. Coast Guard, DOT.

Program(s) Evaluated: Commercial Vessel Safety (20.000).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Vessels-Personnel-Licensing Act (P.L. 92-339); Coast Guard Act (P.L. 81-207).

This study concludes: (1) that a requirement to carry an engineer on board, while underway, would not

significantly improve the safe navigation of uninspected towing vessels; (2) the average annual reported engineering related casualty rate for uninspected towing vessels is one out of one hundred; and (3) engineering related casualties represent only six percent of total reported casualties involving uninspected towing vessels.

E01101-002

Licensing of Deck and Engineering Officers in the U.S. Merchant Marine. Educational Testing Service, Princeton, N.J. March 1973.

Agency Sponsoring Evaluation: Plans Evaluation Division, U.S. Coast Guard.

Agency Managing Program: U.S. Coast Guard, DOT.

Program(s) Evaluated: Commercial Vessel Safety (20,000).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

This report assumes that no recommendations for modifying the licensing system are likely to be viable if they fail to take into account the human and institutional relations which exist in the maritime industry today. Study conclusions are: (1) under the past system, grading tended to be subjective; (2) few major changes had been made to the System in the last 30 years despite criticism; (3) the pool of questions has not been kept up to date; (4) coaching schools are said to possess virtually all of the past questions and answers; and (5) special problems are posed in the CG by staff shortage, high personnel turnover, and constantly increasing responsibilities.

E01101-003

International Inventory and Forecast of Offshore Petroleum and Mineral Activity. Gulf Universities Research Consortium. February 1973.

Agency Sponsoring Evaluation: Plans Evaluation Division, U.S. Coast Guard.

Agency Managing Program: U.S. Coast Guard, DOT.

Program(s) Evaluated: Commercial Vessel Safety (20,000).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Outer Continental Shelf Lands Act (P.L. 83-212); Coast Guard Act (P.L. 81-207).

This study reports that, as of year-end 1972, U.S. petroleum companies and their foreign subsidiaries owned 231 mobile offshore rigs, in operation or under construction, with semi-submersibles comprising 65 percent of the latter; 1548 vessels, with a ratio between logistic service vessels and rigs of 4.5 to 1; and 35 saturation diving systems, with 30 more under construction, plus other undersea equipment. The study predicts that by 1985, for given political and economic conditions, one-third of the Free World's petroleum supply will be obtained from the ocean offshore, with a U.S. inventory of 465 mobile rigs, 1850 vessels and 335 saturation diving systems. Other forecasts are included in the report.

E01101-004

Radar Observer Testing. Hughes Aircraft Co. February 1973.

Agency Sponsoring Evaluation: Plans Evaluation Division, U.S. Coast Guard.

Agency Managing Program: U.S. Coast Guard, DOT.

Program(s) Evaluated: Commercial Vessel Safety (20,000).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

This study assumes that no recommendations for modifying the procedure for testing radar observers will be viable unless that system incorporates real-life or simulation testing. The report concludes that: (1) under the present system grading is inconsistent and subjective; (2) the present system incorporates only written tests, with no real-life or simulation testing; and (3) coaching schools are said to possess virtually all of the past questions and answers.

E01101-005

Development of CVS Management Information System. December 1972.

Agency Sponsoring Evaluation: Plans Evaluation Division, Coast Guard.

Agency Managing Program: Coast Guard, DOT.

Program(s) Evaluated: Commercial Vessel Safety (20,000).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

In this study the following assumptions were made: (1) Reduction of files is not sufficient justification for automation; and 'hard copy' files will still be utilized unless it can be shown that automated filing systems are more responsive for management purposes. (2) A common standardized and rigid terminology for all users of the system will be acceptable to top management. The definitions used will be based upon those definitions which provide maximum overall management effectiveness unless otherwise precluded by legal definitions. (3) There is no limiting constraint on computer file size. (4) Implementation will be accomplished in a step fashion over a period of time. The priority of implementation will be based upon the priority of management needs rather than ease of accomplishment. (5) A reduction in the number of personnel employed is not an objective of the system. It was concluded that (1) data storage and processing will be performed at the highest possible organizational level whereas operational control will be exercised by the lowest organizational level consistent with effective management; (2) input reporting will have a high degree of rigidity and discipline whereas output reporting procedures will have the capacity for maximum flexibility; and (3) the management information system will be designed such that future subsystems can be compatible and will serve as an extension of the accessible data base.

E01101-006

Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973. Annual compilation. Hilbert V.

Schenck and John J. McAniff. University of Rhode Island. May 1975.

Agency Sponsoring Evaluation: Plans Evaluation Division, Coast Guard.

Agency Managing Program: Coast Guard, DOT.

Program(s) Evaluated: Commercial Vessel Safety (20.000).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

Given the assumption that statistics will be based on U.S. citizens diving for non-military purposes, the study arrived at the following conclusions: (1) it is estimated that the coverage of 1971 accidents (131 fatal accidents) exceeds 85% of actual fatalities; (2) it is possible for the first time using 1970-1971 accident data, to detect trends in diving accidents in the U.S.; (3) using this trend analysis, intelligent and purposeful action can be taken to reduce fatal events; and (4) though novice accidents continue at a high level, improved education of novice divers has already taken place as a result of the 1970 survey. The greatest potential for reducing fatal accidents involves instructors and instructions. Less than 20% of the fatal accidents involved lone divers, while a significant percent of fatal accidents occurred during diver training activities.

E01101-007

Supertanker Environmental Study. Task-force report. November 1972.

Agency Sponsoring Evaluation: Plans Evaluation Division, Coast Guard.

Agency Managing Program: Coast Guard, DOT.

Program(s) Evaluated: Marine Environmental Protection (20.005).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Water and Environmental Quality Improvement Act of 1970 (P.L. 91-224).

The study was based on the following assumptions: (1) Supertanker entry into U.S. ports, harbors, and/or waterways will require development of new reception facilities. (2) Only technically feasible solutions, based upon commonly accepted predictions of possible solutions considering economic and environmental realities, will be chosen for detailed study. With regard to primary effects of superport development, it was concluded that (1) vulnerability to environmental damage is greatest at inshore sites, less at offshore sites, and least at far offshore sites; (2) major spills would have critical impacts at inshore and offshore sites; potentially less critical at far offshore sites; and (3) chronic, low-level oil spills may have critical impacts at inshore sites and may be less damaging at offshore and far offshore sites. On the basis of oil spill probabilities and analysis of environmental controls, it was concluded that (1) the following methods of reducing oil spills damage were found to be cost-effective: transshipment by pipeline, double bottoms on supertankers, siting superports away from shore, containment at berth, and traffic controls; and (2) the following oil spill damage control methods were found to be not cost-effective: containment at sea (inadequacy of present response capacity and technology), and use of double-bottom barges (compared to alternative pipeline for transshipment). With respect to secondary effects of superport development (in whatever area selected), it

was concluded that (1) new refineries and petrochemical plants will require large amounts of land and water, and they will increase air and water pollution; (2) the new industries will create large numbers of new jobs and generate still greater employment in associated industries and in services to the increased number of workers and their families; and (3) increases in employment and population will cause attendant pressures on land for residential housing, water supply, and public services.

E01101-008

Vessel Traffic Systems Issue Study. Computer Sciences Corporation. June 1973.

Agency Sponsoring Evaluation: Plans Evaluation Division, Coast Guard.

Agency Managing Program: Coast Guard, DOT.

Program(s) Evaluated: Marine Environmental Protection (20.005).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Ports and Waterways Safety Act of 1972 (P.L. 92-340).

The study was based on the assumptions that (1) enabling legislation would be passed allowing the Coast Guard additional latitude in using vessel traffic management as a means of improving marine safety; and (2) marine traffic accident records, increased waterborne commerce, antipollution efforts and political interest are factors which will impel the Coast Guard to pursue vessel traffic management to fulfill the objective of port and waterway safety legislation. It was concluded that (1) though vessel bridge-to-bridge radiotelephone procedures will be cost-effective in reducing marine accidents, vessel traffic systems will bring about additional, significant reductions in marine accidents, but at a higher cost; (2) vessel traffic systems are effective in preventing accidents caused by collisions, ramming and groundings (C/R/G); (3) a quantitative method has been developed to estimate annual losses from collisions, rammings, and groundings in specified geographic areas; (4) the Coast Guard will play a major role in the development and management of a national vessel traffic system program; and (5) a complete analysis of benefits cannot be done with existing data.

E01101-009

Cutter Issue Study. Study group report; annual. April 1975.

Agency Sponsoring Evaluation: Plans Evaluation Division, Coast Guard.

Agency Managing Program: Coast Guard, DOT.

Program(s) Evaluated: Search and Rescue (20.003).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

The study was based on the following assumptions: (1) time frame was limited to 1974-1985; (2) cutter construction requirements are to be indicated for replacement of resources beyond service life and to meet needs of program growth; (3) recent developments in high performance watercraft are not sufficiently advanced to influence the present study. Replacement cutters are to be comparable in capability to existing fleet; (4) multiple crewing concepts are not sufficiently developed to permit consideration of single crew-ship

operations; (5) trade-offs between boats, cutters, and aircraft are made on the basis of full effectiveness at least cost; (6) cutter standby time constitutes work and can be measured as a proportionate part of a mission performance day; and (7) cutters can lose operational suitability because of technological obsolescence or due to change in program requirements. It was concluded that (1) all program requirements for cutters cannot be satisfied at the exact time indicated by the program managers and still achieve level funding during the plan period. Minor adjustments in acquisition scheduling are considered acceptable as a means of achieving level funding; (2) the most significant constraint on cutter availability for mission performance under the single crew concept is the 180 day away from home-port criterion; (3) the information and numbers of cutters provided by this plan will allow Area and District Commanders to fully satisfy all operational requirements; (4) the models developed by the plan are suitable for computer programming to facilitate production of future cutter plans following analysis of revised inputs.

E01101-010

Aviation Issue Study. Study group report; annual. April 1975.

Agency Sponsoring Evaluation: Plans Evaluation Division, Coast Guard.

Agency Managing Program: Coast Guard, DOT.

Program(s) Evaluated: Search and Rescue (20.003).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

The following assumptions were made: (1) AVPLAN will provide information readily translatable to budget requests, with amplifying data to reflect current time-frame of priorities; (2) AVPLAN will be flexibly structured to be amenable to changes without voiding the entire plan; (3) new technology considerations will be limited to items of low technical risk and straight-forward development effort. It was concluded that aviation resources, with only a few exceptions, are located appropriately for the missions assigned. Program requirements for flight hours exceed flight hours available, even after overlapping multimission allocation. The largest deficiency in flight hours is in WRS hours and is caused by the retirement of obsolescent HU16E A/C. Because many facilities are in poor condition, there is need for a regular program of physical plant maintenance.

E01101-011

Radio Aids to Navigation for the U.S. Coastal Confluence Region. Polhemus Navigational Sciences, Inc. July 1972.

Agency Sponsoring Evaluation: Plans Evaluation Division, U.S. Coast Guard.

Agency Managing Program: U.S. Coast Guard, DOT.

Program(s) Evaluated: Radionavigation (20.010).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

The evaluation of advocate inputs with respect to performance required to meet the safety-related criteria indicates that Decca and Loran-C provide almost equivalent capabilities. The behavior of Differential Omega, as determined by both empirical and analytical evaluation, fails at night to fully satisfy the Gulf of Mexico, inshore

and Great Lakes requirements; it also scores much lower with respect to satisfying the navigational needs of U.S. fishermen. A comparison of implementation plus 10 year operating and maintenance costs for the three aids indicates that Loran-C is expected to cost approximately three times as much as Differential Omega, but only one-third as much as Decca.

E01101-012

Great Lakes Pilotage Review. June 1973.

Agency Sponsoring Evaluation: Plans Evaluation Division, Coast Guard.

Agency Managing Program: Coast Guard, DOT.

Program(s) Evaluated: Port Safety and Security (20.009).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Great Lakes Pilotage Act of 1960 (P.L. 86-555).

The study was based on the assumptions that (1) any conclusions, recommendations, or alternatives offered as a result of the study will have international implications for Canada and other nations with respect to operation and use of the system; (2) all aspects of the present system are under review; (3) under the Great Lakes Pilotage Act of 1960, as amended, no state, municipality, or other local authority shall have any power to require the use of pilots or to regulate any aspect of pilotage. The study concluded that an overall pilotage system is required throughout the Great Lakes with the degree of pilotage service varying considerably within different areas (i.e., designated waters, ports and open lakes). The Great Lakes Pilotage System should consist of a system in which the ports of the Great Lakes and certain other restricted waters are designated as requiring mandatory pilotage for registered vessels of the United States and foreign merchant vessels; and in which the open lakes are undesignated, requiring appropriate certification of these vessels and personnel or the presence on board of an appropriate 'navigational assistant' provided by the pilotage system. At the present, the U.S. domestic laker fleet, which are enrolled, rather than registered vessels of the United States with all deck officers holding First Class Pilot Licenses, should continue to be excluded from additional pilotage requirements. Additionally, the current exception of the Canadian domestic Lake fleet from 'foreign vessels' described in the Great Lakes Pilotage Act should continue.

E01101-013

A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates. Geomet, Inc., Rockville, Md. CG-10283-A. October 1972.

Agency Sponsoring Evaluation: Plans Evaluation Division, U.S. Coast Guard.

Agency Managing Program: U.S. Coast Guard, DOT.

Program(s) Evaluated: Personnel Support (20.008).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

The rate of attrition at the Coast Guard Academy has been historically higher than that of the other military academies. Attrition at the Academy must be viewed in context of the specificity of its goals. Non-cognitive factors are the most important reasons for withdrawal from the Academy. In this study, ten instruments were

used for evaluation: five were standard psychological inventories of personality traits and interests; and five were Academy-initiated questionnaires containing information on the cadet's academic, athletic, and family background. The study conclusions are: (1) the best single predictor of cadet graduation is the Strong Vocational Interest Blank (SVIB); (2) the best indicator was a combination of the Strong Vocational Interest Blank and the Adjective Check List (ACL). None of the other instruments improved prediction; (3) use of selection procedures for cadets could result in improvement of eight percent in the rate of graduation, and (4) no improvement in retention of junior officers beyond the mandatory service period can be made from the selection instruments.

E01101-014

A Study of the Minority Officer Procurement Program of the U.S. Coast Guard. Evaluation Technologies, Inc. September 1972.

Agency Sponsoring Evaluation: Plans Evaluation Division, U.S. Coast Guard.

Agency Managing Program: U.S. Coast Guard, DOT.

Program(s) Evaluated: Personnel Support (20.008).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

This study assumes that entrance standards for officers will not be changed, and that Selective Service quotas will be at a low level or non-existent. The study conclusions are: (1) the primary minority group prospects for OCS are black graduates of smaller predominately black colleges in the South; (2) there is little on-campus potential for obtaining Spanish-surnamed candidates because so few are graduating; (3) the appeal of the Coast Guard image to minority target populations is slightly greater than that of the other services; (4) the possibility of two years active duty as an enlisted man for failure to complete OCS discourages many applicants; (5) there is a widespread impression that the services' minority group recruiting efforts have been primarily politically generated and motivated; (6) there is a definite need for minority group officers in operational positions in the Coast Guard; (7) the Coast Guard's missions are not well known among college seniors; and (8) it is not desirable to utilize minority group recruitment literature showing minority group personnel in roles disproportionate to their actual number in the service.

E01101-015

Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center. E. L. Shriver and F. L. Hart. URS Systems Corporation, Falls Church, Va. CG-13542-A. May 1973.

Agency Sponsoring Evaluation: Plans Evaluation Division, Coast Guard.

Agency Managing Program: Coast Guard, DOT.

Program(s) Evaluated: Personnel Support (20.008).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

The following assumptions were made: (1) With the increasing complexity of electronic equipment, new methods of training ET's in maintenance of this equipment was required. (2) Use of U.S. Army CONARC

Regulation 350-100 would increase the efficiency of technical training. (3) Four equipments were selected for training, AN/SPS-51A Radar, R-1051/URR Radio Receiver, AN/SPN-45 Loran Receiver, AN/URT-23 Transmitting Set. The following conclusions were reached: (1) The training approach developed avoids the requirement to make training equipment specific in order to achieve performance capability with first-tour personnel. (2) There is equipment specific training benefit to the four training modules for the four equipments selected. (3) It is feasible and desirable to eliminate a great deal of theory, increase 'hand-on' and lab-type training, utilize more performance testing, use criterion-based performance tests in lieu of normative tests and modify instructor technique.

E01101-016

Human Relations in the Coast Guard. National Urban League. CG-20509-A. September 1973.

Agency Sponsoring Evaluation: Plans Evaluation Division, U.S. Coast Guard.

Agency Managing Program: U.S. Coast Guard, DOT.

Program(s) Evaluated: Personnel Support (20.008).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

E01101-017

A Study of the U.S. Coast Guard Intelligence and Security Functions. November 1972.

Agency Sponsoring Evaluation: Plans Evaluation Division, U.S. Coast Guard.

Agency Managing Program: U.S. Coast Guard, DOT.

Program(s) Evaluated: Intelligence and Security (20.007).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

This study concludes that: (1) the Coast Guard investigative and security functions should be identified as a support program; (2) current recruiting, training and retention practices and procedures for investigative personnel are inadequate and directly affect the quality of work, professionalism and overall image of Coast Guard intelligence; (3) the number and types of Coast Guard security and criminal investigations have increased over the past 10 years, while manning levels have remained constant; (4) intelligence and security functions are organizationally fragmented throughout Headquarters, a situation inconsistent with good management practice; and (5) planning, programming and budgeting coordination is more complicated than it needs to be. The report recommends that: (1) all physical security functions in the Military Readiness Division should be removed and vested in the Intelligence and Security Division; (2) all reports and investigations concerning breaches of physical security, such as loss of small arms, should be reviewed by G-OIS; and (3) all physical security functions in the Communications Division and all personnel security functions in the Personnel Services Division should be removed and vested in the Intelligence and Security Division.

E01101-018

Government Maritime Communications. Computer Sciences Corp. April 1972.

Agency Sponsoring Evaluation: Plans Evaluation Division, U.S. Coast Guard.

Agency Managing Program: U.S. Coast Guard, DOT.

Program(s) Evaluated: Communications (20.006).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

This study concludes that: (1) most problems in government maritime communications (GMC) exist at departmental (bureau) levels where different maritime communications systems operate and compete for resources; (2) the lack of common national objectives in GMC and of a system plan to achieve these objectives impacts on Coast Guard (CG) planning, budgeting, and operating capabilities; (3) GMC is made up of 29 subsystems of which only four (CG; Navy's Military Sealift Command, Trust Territories, and COE locks) have centralized direction or are capable of continuous operation on more than one frequency; (4) government operates 922 coastal radio stations (including 435 by the Coast Guard) which serve vessels ranging from 30 to 500 feet in length with a majority in the 30 to 75 foot category; (5) the existing GMC radio facilities ashore represent a \$30 million plant investment of which approximately 2/3 is CG plant. The communications mode of approximately 95 percent of these facilities is voiced in the 2MHz-MF, HF, and VHF-FM bands; (6) the current total traffic handled in 1970 by CG radio stations (excluding group offices and stations) was approximately one million messages of which 51 percent were in support of other government agencies. Coast Guard Group Offices handle an additional 1.3 million messages per year; and (7) GMC message requirements, exclusive of CG Group Office traffic, will increase from 1.4 million in 1970 to 2.4 million in 1980 to 3.2 million by 1990.

E01101-019

Recreational Boating in the Continental United States in 1973: the nationwide boating survey. Chilton Research Services. October 1974.

Agency Sponsoring Evaluation: Plans Evaluation Division, U.S. Coast Guard.

Agency Managing Program: U.S. Coast Guard, DOT.

Program(s) Evaluated: Boating Safety (20.001).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Federal Boating Act (P.L. 85-911); Federal Boat Safety Act of 1971 (P.L. 92-75).

Public Availability: NTIS, Order No. AD A 000 471.

In order to expand and clarify existing information on recreational boating and provide measures of effectiveness of the boating safety program, a nationwide survey of 1973 boating activities was conducted by the U.S. Coast Guard. The final report provides: (1) an estimate of the number of recreational boats and their characteristics; (2) information on safety and communications equipment on board; (3) feedback on the emergency assistance and boating education programs. The most significant information obtained provides estimates of boating exposure in terms of boat-hours and passenger-hours.

E01101-020

Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions. Edward J. Mueller, and others. Westinghouse Electric, Baltimore, Md. FIS-75-0018. November 1974.

Agency Sponsoring Evaluation: Plans Evaluation Division, U.S. Coast Guard.

Agency Managing Program: U.S. Coast Guard, DOT.

Program(s) Evaluated: Communications Support (20.006).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Coast Guard Act (P.L. 81-207).

This report analyzes the potential application of communications, navigation, and surveillance telecommunication systems to U.S. Coast Guard programs. The intra-coast guard ship/shore communications can be cost-effectively met by military satellite systems. As commercial maritime communications shift to satellites, the Coast Guard interface in the areas of MIB, AMVER, METEO, and SAR can best be handled through the appropriate public correspondence satellite system.

E01102-001

Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing. J. E. Mayer. Boeing Co., Wichita, Kans. D3-9042-4, February 1974. 406 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards Service (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 878 610.

The Phase I quiet nacelle includes a two-ring acoustically treated inlet, hydraulically powered fan thrust reverser, 3/4 length acoustically treated fan ducts, and revised contour aft translating sleeve. The nacelle retains the standard Boeing 707 primary thrust reverser and tailpipe. An extensive ground test program, both model and full-scale was conducted to establish acoustic and propulsion performance and to confirm the adequacy of the design of the Phase I quiet nacelles. Four Phase I quiet nacelles were installed on a leased 707-331B airplane, flight tests were conducted to determine far 36 type acoustic data and fuel mileage of the quiet nacelle configured aircraft. Subsequent to the quiet nacelle phase of flying, the airplane was reconfigured to the standard configuration and a similar series of tests were performed thereby permitting a 'back-to-back' comparison.

E01102-002

Quiet Nacelle Retrofit Feasibility Program: compatibility analysis and design study. Boeing Co., Wichita, Kans. November 1973. 86 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards Service (20.199).

Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 783 187.

Task I of this study consisted of a design study of the possible application of the Boeing JT31 quiet nacelle to the current DC-8 short duct and long duct domestic aircraft fleet as exemplified by the DC-8-61 and DC-8-63 aircraft. The Task I study identified many physical and functional problems associated with the use of Boeing quiet nacelle on DC-8 aircraft. Of the configurations studied, two configurations for the DC-8-61 and two configurations for the DC-8-63 were selected for cost evaluation. The configuration selected for each of the two DC-8 models were identified as Family I and Family II. During the conduct of the Task I study, it appeared that Douglas discrete nacelles should also be studied to determine the most advantageous approach from an overall cost standpoint.

E01102-003

Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique. Leo J. Garodz, and others. NA-73-89. August 1974. 231 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards Service (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. 786 569.

The results are presented on a series of low altitude (100-300 feet above ground level) flight tests performed at the National Aviation Facilities Experimental Center (NAFEC), Atlantic City, New Jersey, in which the trailing vortices of the Boeing 727 airplane were investigated, using a 140-foot tower instrumented with air velocity, direction, temperature, and humidity sensors. Flow visualization was also employed, using colored smoke streams, and film records were made. The airplane was tracked by the NAFEC Phototheodolite Facility. The data presented consist of plots of vortex tangential velocity distribution, peak tangential velocity as a function of time, airplane configuration, windspeed, and airplane altitude in proximity to the tower, vortex descent rates, lateral transport velocities, and specimen time histories of the velocities measured by individual sensors.

E01102-004

Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. Scott A. Manatt. Airesearch Mfg. Co., Torrance, Calif. 74-10261. June 1974. 207 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards Service (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 784 950.

Nitrogen fuel tank inerting has been shown to be an effective means of providing aircraft explosion prevention by reducing the O₂ concentration below the lower limit for fuel vapor ignition. A program for the feasibility study and laboratory demonstration of fuel tank inerting by nitrogen generation was conducted. Typical system requirements have been defined using the McDonnell Douglas DC-10 as a transport aircraft representative of potential applications. The study concluded that both the catalytic combustion of air with turbine engine fuels and air separation by hollow fiber permeable membranes are viable candidates. A preliminary design for a DC-10 system based on hollow fiber permeable membranes has been established. Data from laboratory testing demonstrate system operation as an inert gas generator and good compliance with performance predictions.

E01102-005

Aircraft Taxiing Noise Measurement. Robert L. Hershey and Louie Turner. Booz-Allen Applied Research, Inc., Bethesda, Md. RD-74-114. August 1974. 139 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards Service (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 787 235.

Taxiing noise measurements were performed at Atlanta Airport from December 10 to December 19, 1973. The measurement series included passby noise measurements of taxiing planes and ambient noise samples from the terminal roof and inside the terminal building. The primary purpose of these measurements was to determine whether changes in noise level result from shutting off some engines during taxiing for fuel conservation and air pollution reduction. After analysis of the noise data from this test series it was concluded that although the noise levels of individual planes on the taxiways were reduced by engine shutoff, the noise environment of the air terminal building was unaffected, because of the large distances from taxiways involved.

E01102-006

Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis. I. T. Wang, and others. Energy and Environmental Systems Division, Argonne National Laboratory. RD-74-132. July 1974. 211 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards Service (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 001 564.

The report describes a new version of the Airport Vicinity Air Pollution Model (AVAP Model) developed by the Energy and Environmental Systems Division of Argonne National Laboratory. The new AVAP Model

features a simplified and generalized input structure as well as a built-in airport emissions computational package. Application and validation of the model have been carried out using the airport activity and air quality data collected at Washington National Airport. A detailed account of this effort is given. The report also includes an analysis of the impact on air quality in the vicinity of Washington National Airport of airport-related pollutant emissions using the AVAP Model. The air quality impact is evaluated by comparing the computed air quality concentrations with the appropriate Federal Air Quality Standards for a variety of combinations of airport activity and meteorological conditions.

E01102-007

General Aviation Aircraft Safety: The Princeton University Conference. Conference report. Department of Aerospace and Mechanical Sciences, Princeton University, Princeton, N.J. RD-74-154. October 1974. 152 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards Service (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 003 124.

The conference was organized to examine accident record of general aviation aircraft, and to explore possible approaches to its improvement. Current research and development efforts in the areas of airworthiness and crashworthiness were discussed along with the cost and regulatory implications of increased safety. An integral part of the conference was the continuous display and demonstration of aircraft used for lightplane research. This display was located at the airport on the James Forrestal Campus. The document is a record of the conference, and it includes presentations by those working to enhance general aviation safety-government, several universities, aviation insurance companies, and the aircraft manufacturers.

E01102-008

Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique. Leo J. Garodz, and others. NA-74-28. November 1974. 100 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards Service (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 001 456.

This report presents the results of a series of low-altitude (approximately 200 feet above ground level) flight tests in which the trailing vortices of the McDonnell-Douglas DC9 airplane were investigated, using a 140-foot instrumented tower. Data presented consist of plots of vortex tangential velocity as a function of time, airplane configuration and windspeed, vortex descent rates, and lateral transport rates. Principal findings were

that: (1) within the time period 30-100 seconds after vortex generation the peak velocities within the vortices were bounded by the function $V \sin \theta = 396 \exp(-0.0347t)$, with a half-life of 20 seconds; (2) vortex cores were uniformly small (1-2 feet) in both configurations tested (takeoff and landing), and little or no growth with time was found; (3) vortex lateral transport velocities correlated well with the crosswind measured at 140 feet; and (4) the presence of a temperature inversion markedly retarded the vortex descent rates. The highest peak recorded tangential velocity was 120-130 feet per second, found to occur in both configurations tested.

E01102-009

Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System. Warella Browell, and others. General Electric Co., Lynn, Mass. RD-74-194. January 1974. 76 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards Service (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 003 799.

A liquid nitrogen fuel tank inerting system has been certificated and is in operation in the FAA DC-9 jet transport. This system reduces the fuel tank ullage space and vent system oxygen concentration to a non-combustible level by dilution with nitrogen which is stored aboard the airplane in a liquid state. The objective of this contractual effort was to investigate whether the new concept of on-board nitrogen generation by separating nitrogen from engine bleed air using ultrathin semi-permeable membranes will eliminate the requirement to carry liquid nitrogen in the airplane and thus reduce the logistics requirements of nitrogen inerting systems. The function of the inerting system is to reduce the oxygen concentration in the fuel tank vapor spaces and vent lines to a level below which combustion and thus explosion cannot occur, regardless of the presence of an ignition source.

E01102-010

Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic. C. D. Burns, and others. Army Engineers Waterways Station, Vicksburg, Miss. RD-73-198. June 1974. 256 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 785 024.

Rigid and flexible pavement test sections were constructed to evaluate the performance of pavements incorporating a membrane-enveloped soil layer, insulating materials, chemically stabilized soil layers, and

various types of surfacing including fibrous-reinforced concrete, plain portland cement concrete, and asphaltic concrete. These test sections were trafficked with 200- and 240-kip twin-tandem assemblies (Boeing 747 spacing) and a 50-kip single-wheel assembly. The design, construction, and behavior under traffic of the pavements are reported herein; the data will be used in further studies to determine the response of the pavement to both static and dynamic loads and to develop design and construction criteria. These studies will be reported in subsequent volumes.

E01102-011

Aircraft-Pavement Compatibility Study. F. H. Griffis and M. A. Gamon. Army Engineers Waterways Station, Vicksburg, Miss. RD-73-206. September 1974. 277 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 001 408.

An economic analysis was performed to relate pavement upgrading cost to penalty cost associated with adding gears and wheels to aircraft in order to provide adequate flotation for present-day pavement design criteria. A basic assumption was made that the widebody jets and a 15-million-lb. aircraft (Categories I and II aircraft, respectively) would use the projected 26 major hub airports by the year 1985. Three gear types were designed for Categories I and II aircraft; current-flotation compatible with present pavement criteria; median-compromise design considering present pavement criteria and optimal gear for aircraft structure; and optimal-gear optimized for aircraft structure with no regard for pavement flotation requirements. Costs were based on each gear type for both categories of aircraft. Pavements data were surveyed for all projected 1985 major hub airports. Rigid and flexible pavement thickness were determined for Categories I and II aircraft.

E01102-012

Development of a Non-Survey Method Estimating Traffic at Non-Towered Airport. Bruce Brown. Systems Consultants, Inc., San Diego, Calif. SCI-4013. November 1974. 164 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 002 397.

The necessary for an annual reporting of operations at each airport in the United States established the need for this report which defines a standardized procedure that can be utilized in estimating traffic at non-towered airports. The report identifies a method for estimating itinerant, total and local operations at any non-towered

airport and presents the reasoning on which these procedures were derived. In addition, procedures for estimating peak traffic per day as well as per hour have been developed and are described. The estimating techniques enable the measurement of operation levels at individual airports according to the airport's own unique characteristics. An estimate of annual operations may be made with less than a manhour of effort; non-statistically oriented personnel can implement the estimating process and the method is not dependent on computer processing.

E01102-013

New York Offshore Airport Feasibility Study. Saphier Lerner Schindler Environetics, New York, N.Y. RD-74-184. December 1974. 3 vols.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order Nos. AD A 003 125, AD A 003 126, AD A 003 798.

This report details all the technical aspects of the development of the feasibility study for establishing an offshore airport to serve New York City. The report contains air traffic projections, air side operations, site selection, ground access, and structural concepts.

E01102-014

Augmented Radar Beacon Tracking Level System Design Specification. L. Huff and M. Wold. Sperry Univac, St. Paul, Minn. PX-7981-F. May 1974. 409 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Air Traffic Service (20.197).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 002 415.

This document is a system specification for the Augmented Radar Beacon Tracking Level (RBTL) system. The Augmented RBTL expands the Beacon Tracking Level (BTL) ARTS III system by providing the capability of automatically tracking and displaying information on both non-beacon and beacon-equipped aircraft. In addition, this system provides improved tracking techniques together with new techniques for improving the controller-computer interface. The Augmented RBTL system expands the ARTS III but retains the ARTS III features. Primary radar processing is accomplished through the addition of a Radar Data Acquisition Subsystem (RDAS) together with radar processing software. The RDAS accepts basic timing and radar video information from a search radar. It provides quantitized video hits to the ARTS computer (IOP) in the form of 30 bit words. The computer uses these hits to perform radar target detection and position estimation.

E01102-015

Discrete Address Beacon System/Air Traffic Control Interface Configuration Document. R. A. Westcott. Mitre Corp., Atlantic City, N.J. MTR-4243. September 1974. 67 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Air Traffic Service (20.197).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 00 541.

The document defines the interface data formats to be used for Phase I DABS/Intermittent Positive Control (IPC) testing and Control Message Automation (CMA) testing involving NAFEC facilities and the DABSEF. The formats are a revised version of the formats previously documented in the WP-8858 series which this document supersedes. These formats have been reviewed and accepted in working group meetings involving Mitre, Lincoln Laboratory, and FAA. It is intended that this document provide the baseline for data format configuration control. This report is being prepared in three volumes. Volume II describes the DABS/En Route hardware interfaces; Volume III describes the DABS Terminal hardware interfaces.

E01102-016

Radar Target Detection Employing On-Line Estimation of the Video Correlation. Lakshman P. Sinha. Mitre Corp., Atlantic City, N.J. MTR-4240. May 1974. 86 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Air Traffic Service (20.197).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 787 687.

The report presents a new target detection scheme for sliding window detection. The scheme calls for adaptively adjusting leading and trailing edge target detection threshold based upon the existing coefficient of correlation between the consecutive radar returns. The coefficient of a correlation is estimated on-line by examining the ZERO-ONE pattern over a window of fixed size every scan, and updating the estimate for a specified number of scans using a least square error estimation technique. The number of scans is selected as a parameter. The recursive estimation technique facilitates the estimation of the coefficient of correlation with minimal storage requirements. A constant check on the coefficient of correlation between consecutive radar returns is made by repeating the process of on-line estimation and selection of a new set of leading and trailing edge thresholds after every preset time interval. This preset time interval reflects the time span during which the weather may be considered constant over a certain area.

E01102-017

DAIR System Radar Target Relationships. Allen C. Busch and Paul W. Bradbury. NA-74-4. October 1974. 33 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Air Traffic Service (20.197).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 001 037.

An analysis was made of the relative accuracy of the digitized radar target symbol position versus the primary radar target. Radar track of one aircraft on radial courses was photographed as displayed in both primary and beacon radar modes, and also as processed through the DAIR radar target position about the center of the primary radar target position. Results indicated that the DAIR system tended to display the DAIR center mark at a closer range and at a smaller azimuth angle than the center of the primary radar signal. The altitude of the aircraft did not appear to have any consistent effect on these measurements; however, the range of the aircraft did indicate a tendency to affect the measurements.

E01102-018

Measurement and Analyses of ASR-4 System Error. Allen C. Busch and Paul Bradbury. NA-74-55. November 1974. 2 vols.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Air Traffic Service (20.197).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order Nos. AD A 004 309, AD A 002 748.

The positional accuracy of aircraft radar targets as displayed in an air traffic control airport surveillance radar system (ASR-4) was sought as one of the inputs essential for determining aircraft separation standards. Using radar track input from the Atlantic City, New Jersey, ASR-4, the radar targets of two test aircraft executing flight patterns of varying relative spacing were photographed as displayed in both beacon and primary radar modes on scan-covered and PPI displays. The displayed positions were related to simultaneous precision track from single-target instrumentation radars (EAIR and TAIR) to derive error measures for range, azimuth, and separation. The extensive analysis program employed a 'least-squares' analysis of variance.

E01102-019

Military Air Traffic Forecast: 1975-1986. September 1974. 39 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Air Traffic Service (20.197).

Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 001 018.

The report presents forecasts of military air traffic activity at facilities operated by the Federal Aviation Administration for fiscal years 1975 through 1986. These data are required for proper planning to meet the demands which the United States military services will place on the National Aviation System. The report is used as a guide in determining the need for larger or additional FAA facilities, for change or consolidations, and for possible increases or decreases in personnel at existing facilities.

E01102-020

An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded Third Generation ATC System. R.M. Harris, and others. Mitre Corp., McLean, Va. MTR-6419. March 1974. 6 vols.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Air Traffic Service (20.197).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order Nos. AD 785 023, AD 785 264, AD 785 308, AD 785 311, AD 785 312, AD 785 334.

The Advanced Air Traffic Management System (AATMS) study was initiated by the Department of Transportation in an effort to evaluate various air traffic control concepts in the 1995 era. The purpose was to aid in the long-range planning of engineering and development, and to identify areas that appear the most promising for early initiation. The system proposed in this study to handle the traffic and provide the services required in 1995 is composed of extensions of the Upgrade Third Generation ATC System. This report summarizes the findings and recommendations of the MITRE/OSEM study. This study examined techniques and costs for alternative ways of building upon the 1982 Baseline ATC System.

E01102-021

Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers. John J. Mathews, and others. Civil Aeromedical Institute, Oklahoma City, Okla. AM-74-7. August 1974. 25 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Aviation Medicine (20.196).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 787 238.

Air traffic controller (ATC) attrition rates have been investigated recently for sex differences in the proportion of trainees completing FAA Academy training; the percentage of women who subsequently left ATC work

was twice that of men. In the present comparison, questionnaires concerning aspects of job-related attitudes were given to 56 male and 56 female former trainees (attritions) who were matched on several variables, and also to a sample of controllers (63 women and 63 matched men) who entered training at the same time as the attritions, but who were still in ATC work (retentions). The results are reported.

E01102-022

Aircraft-Pilot and other Pre-Employment Experience as Factors in the Selection of Air Traffic Controllers Trainees. Civil Aeromedical Institute, Oklahoma City, Okla. AM-74-8. September 1974. 38 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Aviation Medicine (20.196).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 001 039.

E01102-023

Behavioral, Automatic, and Subjective Reactions to Low and Moderate Level Simulated Sonic Booms. Richard I. Thackray, and others. AM-74-9. September 1974. 16 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Aviation Medicine (20.196).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 002 266.

Two separate studies are reported. The first attempted to determine a sonic boom exposure level below which startle reactions would not occur. Subjects were exposed indoors to six simulated sonic booms having various outside overpressures. In the second study, subjects were exposed indoors to a series of 12 simulated booms in order to assess habitual effects. Automatic and eyeblink responses, as well as ratings of subjective annoyance, were obtained in both studies. The final section of the report summarizes the expected behavioral, automatic, and subjective effects of exposure to various levels of sonic booms.

E01102-024

Pulse Compression Radar Study. Lawrence H. Regan. Texas Instruments, Inc., Dallas, Tex. TI UI 964622. March 1974. 102 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

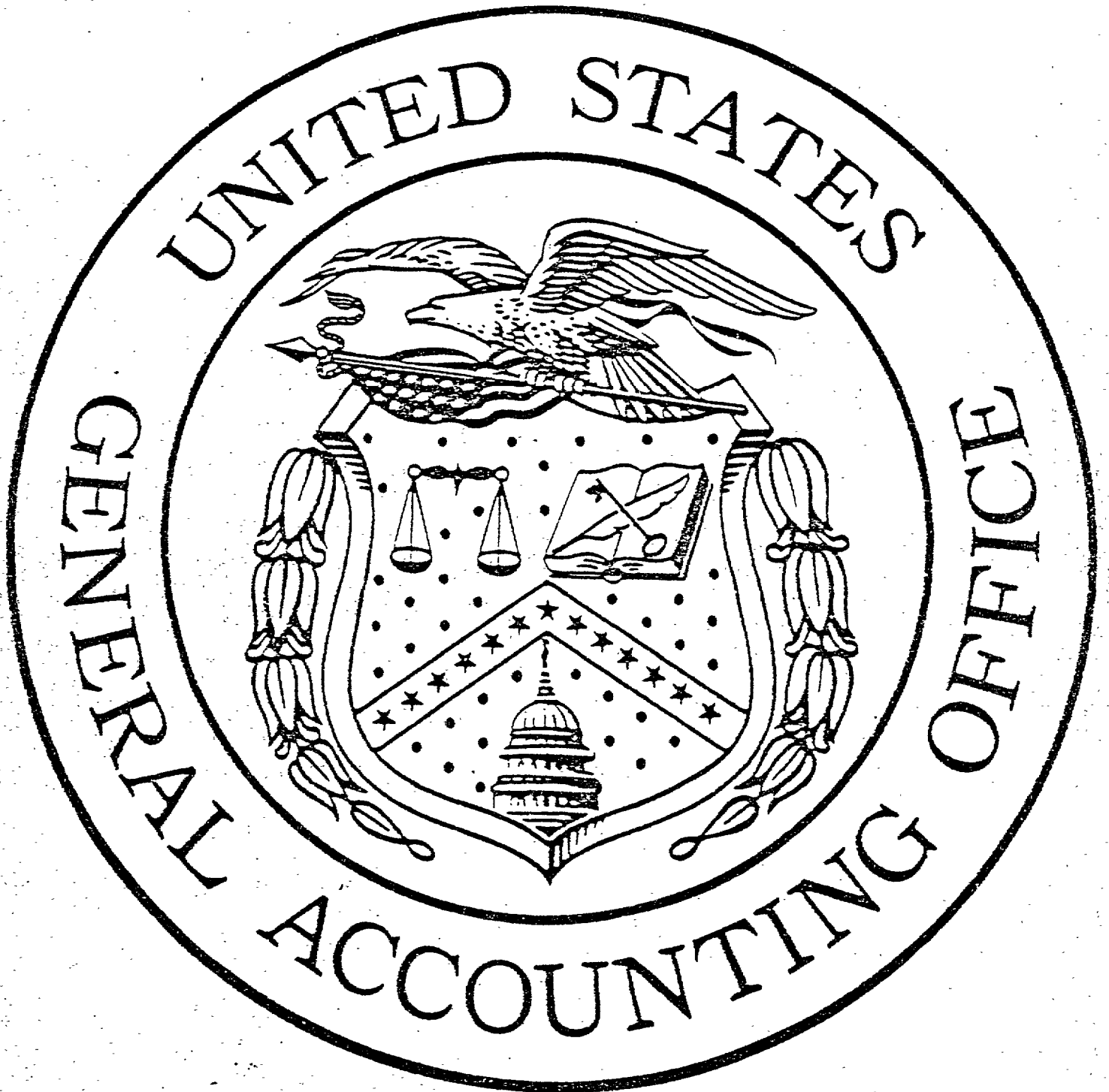
Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

4 OF 10



Public Availability: NTIS, Order No. DA 787 233.

Pulse compression provides increased detectability by increasing the average transmitted power. This is done by increasing the transmitted pulsewidth instead of the peak power. The technique provides economic advantages by reducing the peak-to-average power ratio. Radar resolution is maintained by compressing the transmitted pulse into a much narrower pulse of nearly arbitrary width. This compression effect is achieved by sweeping the transmit frequency, either linearly or nonlinearly. A nonlinear FM waveform offers a higher signal-to-noise ratio and a narrower occupied bandwidth than linear FM, and would be the logical choice for air traffic control radars. The pulse waveform should be chosen to operate the klystron at its design peak power and rates average power simultaneously. The pulse compression system showed superior performance both over clutter and in the clear. The only possible deleterious effect of the pulse compression system was the displaying of range sidelobes on strong moving targets. The envelope of the pulse compression spectrum falls below the envelope of a conventional spectrum for frequencies removed from the carrier, even though the 'shoulders' of the pulse compression spectrum are wider. Inversion of sweep sense provides another dimension of interference discrimination not available to conventional radars.

E01102-025

Collision Avoidance Ground Station Analysis. John L. Mohr. McDonnell Douglas Electronics, St. Charles, Mo. RD-74-4. March 1974. 78 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 786 686.

Three different collision avoidance system (CAS) analyses were performed: ground station clock requirement, Loran-C accuracy and comparisons, and CAS monitoring requirements. Cesium beam standards are evaluated to determine their time accuracy, the number required to attain and maintain time to within 0.5 microseconds, 3 sigma. The Loran-C system is reviewed to determine the potential time accuracy attainable by monitoring the Loran-C transmission. The results are then compared to the accuracy attainable from satellite, television, WWV and WWVB time transfer. The CAS equipment has certain built-in tests. These tests, as well as additional external monitors, are reviewed to categorize the test type and effectiveness.

E01102-026

Provisional Message Formats for the DABS/NAS Interface. D. Reiner and H. F. Vendevenne. Lincoln Laboratories, Massachusetts Institute of Technology, Cambridge, Mass. ATL-33. October 10, 1974. 43 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 000 257.

This document defines formats for messages which are to be transmitted between DABS and NAS facilities (en route or terminal). These messages include one-way Surveillance Reports to NAS and two-way Communications Messages. The latter support data link functions between NAS and DABS-equipped aircraft, as well as aid in the monitoring and control of DABS sensors. These message formats will be used in the design and construction of interface equipment and ground data links for prototype system test and evaluation at NAFEC during Phase II of the DABS Development Program; and, with modifications resulting from this test and evaluation effort, will form the basis for the operational implementation of DABS.

E01102-027

ATC Surveillance/Communication Analysis and Planning. Quarterly technical summary. Lincoln Laboratories, Massachusetts Institute of Technology, Cambridge, Mass. RD-74-105. June 1, 1974. 20 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. 783 184.

FAA-funded ATC Surveillance/Communication Analysis and Planning activities during the period 1 March to 31 May 1974 are reported. Under the airport surveillance radar improvement program, several changes to the FPS-18 radar were completed to improve its stability under variable prf conditions. The digital interfaces to the NOVA computer were finished and put into operation. System tests commenced and demonstrated good aircraft detection when operating on all but the zero-velocity filter. Correction of this problem is under active investigation. Precision altitude and landing monitor system tests were conducted. Some initial data were in good agreement with theoretical predictions; some in gross disagreement. Investigation disclosed a problem in two of the five antennas, and this is being corrected. Test results are reported.

E01102-028

A Simulation of the DABS Sensor for Evaluating Reply Processor Performance. R. J. McAulay and V. Vitto. Lincoln Laboratories, Massachusetts Institute of Technology, Cambridge, Mass. ATC-28. September 16, 1974. 50 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 787 633.

In order to evaluate the effects of ATCRBS interference on the design of a Discrete Address Beacon System (DABS) sensor, a simulation program was developed. A detailed model of the sensor is presented that takes into account many of the antenna pattern and signal processing design parameters. A model for the fruit generator is developed so that reply processor performance in typical 1980 high density fruit environments can be evaluated. A particular reply processing algorithm is adopted as a baseline to decode DABS messages and produce a data edited azimuth estimate, so that the design methodology can be illustrated. Statistics characterizing the reliability of the processor are computed as a function of fruit rate and signalling conditions. The simulation is an invaluable tool for performing sensor/reply processor tradeoff studies.

E01102-029

Development of a Discrete Address Beacon System. Quarterly technical summary report. Lincoln Laboratories, Massachusetts Institute of Technology, Cambridge, Mass. RD-74-136. June 1, 1974. 78 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 786 272.

E01102-030

Technical Evaluation of Weather Clutter Feasibility Model. Donald S. Bassford. NA-74-25. September 1974. 66 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 787 607.

An ASR-5 weather clutter feasibility model was developed and evaluated to determine its capability to provide air traffic controllers with a weather display (clutter free) of air traffic and a contour depiction of weather detected by radar. The technical tests included the determination of technical characteristics of each of four modifications (narrow transmitter pulse width, non-coherent moving target indicator, dual frequency diversity, and logarithmic/fast time constant) which comprised the weather rejection portion of the system along with their capabilities to provide clutter rejection and target detection in weather. The four modifications were then tested in unison to determine the system's overall capability. The weather channel portion of the equipment was tested to determine its capability to provide weather clutter formatting in the form of isoamplitude contours.

E01102-031

Airport Opposition: Examination and Program Development. Urban Systems Research & Engineering, Inc., Cambridge, Mass. AAV-73-5. June 1973. 19 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airport Development Aid (20.102).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Airport and Airway Development Act of 1970 (P.L. 91-258).

Public Availability: NTIS, Order No. AD A 001 350.

For an individual to rationally oppose airport development at a particular site, the cost of opposition must be less than the expected payoff of that opposition. Of the many possible means of reducing opposition, four appear particularly promising: redistribution of airport benefits, congestion pricing, compatible land use control, and noise abatement operating procedures and technology. A program is recommended to test the accuracy of the public's perception of new airport development.

E01102-032

Alternatives to the Airport Development Aid Program. Urban Systems Research & Engineering, Inc., Cambridge, Mass. AAV-73-3. March 1973. 27 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airport Development Aid (20.102).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Airport and Airway Development Act of 1970 (P.L. 91-258).

Public Availability: NTIS, Order No. AD A 001 348.

The report evaluates possible modifications to the Airport Development Aid Program (ADAP). The most desirable improvement to ADAP is obtained by extending ADAP eligibility to include terminal area investment. This would permit more efficient and more equitable use of ADAP funds. Increasing the Federal share of project costs to 75%, to some extent, also appears desirable. This modification would allow increased support of airport development more comparable to Federal support of other transportation modes.

E01102-033

ADAP Aid to Private Airports: A Preliminary Assessment and Related Questions for Research. Urban Systems Research and Engineering, Inc., Cambridge, Mass. AAV-73-4. March 1973. 42 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airport Development Aid (20.102).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Airport and Airway Development Act of 1970 (P.L. 91-258).

Public Availability: NTIS, Order No. AD A 001 349.

The report presents a preliminary analysis of the arguments for and against extending Airport Development Aid Program (ADAP) aid to private airports. The arguments revolve around five basic questions: will ADAP assistance to private airports contribute to the permanence of these airports; will ADAP assistance to private airports encourage development which is important to the national airport system; are the users of private airports discriminated against if private airports do not receive ADAP assistance; are private airport operators at a competitive disadvantage vis-a-vis public airport operators if they do not receive ADAP aid; is ADAP assistance to private airports administratively feasible.

E01102-034

Airport Vicinity Air Pollution Study. RD-73-113. December 1973. 396 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 780 049.

E01102-035

FAA Lightning Protection Study. RD-74-104. April 1974. 84 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 781 319.

E01102-036

FAA Report on Airport Capacity. National summary. EM-74-5. January 1974. 389 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airports Service (20.195).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order Nos. AD 774 784, AD 774 789.

E01102-037

Dual Lane Runway Study. RD-74-80. May 1974. 16 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airports Service (20.195).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 779 496.

E01102-038

Systems for Transporting Aircraft on the Ground: feasibility and concept study. RD-74-92. December 1973. 262 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airports Service (20.195).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 781 357.

E01102-039

Automated Reliability Assessment Program. RD-74-16. April 1974. 37 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Air Traffic Service (20.197).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Administration, DOT.

Public Availability: NTIS, Order No. AD 778 935.

E01102-040

Report of National Airspace System En Route Related Support and Data Reduction and Analysis Programs. RD-74-76. March 1974. 242 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Air Traffic Service (20.197).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 779 495.

E01102-041

Prevalence and Incidence of Disease Among Airmen Medically Certified. AM-73-8. April 1973. 22 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Aviation Medicine (20.196).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).
Public Availability: NTIS, Order No. AD 773 544.

E01102-042

Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar. Clifford Chapman. NA-74-10. September 1974. 35 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).
Public Availability: NTIS, Order No. AD 786 704.

A modified dual feedhorn antenna assembly for the air route surveillance radar (ARSR-2) was evaluated on a comparison basis with the normal feedhorn in attaining optimum radar coverage. Data indicated that ground clutter at close-in radar ranges was significantly reduced by use of a high-angle antenna beam (passive feedhorn) resulting in improvement in signal-to-clutter response at these ranges. Installation of the dual feedhorn antenna assembly as a field modification is considered feasible.

E01102-043

Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Tevised Radar Display Applications. James G. Dong. National Aeronautical Laboratory, Bangalore, India. NA-74-14. September 1974. 28 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).
Public Availability: NTIS, Order No. AD 786 273.

Evaluation was accomplished on engineering models of a remote control system (RCS) for a BRITE-2 tevised display and a radiofrequency (RF) repeater operating at 14.87 and 15.07 GHz. Major portions of the laboratory tests were achieved during the factory acceptance tests. Testing at the National Aviation Facilities Experimental Center was directed toward establishing a system configuration appropriate for a satellite airport. The RCS operational capability utilizing a CL-conditioned voice-grade line was assured. The RF repeater was compatible with an existing microwaver link which permitted experiments with mixed radar video and alphanumeric data inputs into the RF system. With the addition of the new equipment, system versatility was increased.

E01102-044

Multipath Channel Simulation and Modern Evaluation Program. Interim report. Christopher B. Duncombe.

Environmental Research and Technology, Inc., Lexington, Mass. RD-74-151. August 1974. 70 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 787 453.

The DOT Transportation Systems Center has developed a laboratory communications test facility to evaluate, in a controlled simulated environment, alternative modulation techniques having potential application in aeronautical and maritime satellite communications and surveillance systems. The main feature of the communication test facility is the hardware for emulating, by means of laboratory equipment, the narrowband (50 KHz) AEROSAT satellite-to-aircraft propagation channel. The simulator also simulates the maritime satellite-to-ship propagation channel and is expandable to wideband (10 MHz) system; e.g., future CONUS ATC Systems. The simulator includes provisions for duplicating multipath phenomena over the satellite links and includes provisions for adjustment and programming of channel parameters covering a wide range of environmental conditions.

E01102-045

Test and Evaluation of ATRCBS Electronic Scan Antenna. Interim report. Anthony D. Bradley. NA-74-9. November 1974. 62 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 002 417.

The air traffic control radar beacon system (ATCRBS) electronic scan antenna (ESA) as designed and built consists of 56 array modules placed on a platform (ring) constructed around the top of an airport surveillance radar tower. A shelter beneath the tower provides an enclosure for the control and radio frequency distribution system of the ESA. The report covers the preparatory testing to determine the readiness of the terminal radar/beacon test facility (TR/BTF) at the National Aviation Facilities Experimental Center to accept the ESA and provides a data base for comparisons during the test program. In addition, the installation phase of the test and evaluation of the ATCRBS ESA is discussed in the report.

E01102-046

Aircraft Sound Description System Application Procedures. Donald Goldman and Francis X. Maginnis. Mitre Corp., McLean, Va. MTR-6616. March 1974. 4 vols.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order Nos. AD 786 612 to AD 786 615.

The Aircraft Sound Description System (ASDS) is a method of describing aircraft noise. It has been established as the basic FAA technique for predicting community noise exposure caused by aircraft operations. This report (in four volumes) contains a description of the manual and computer techniques for applying ASDS as well as a current set of noise exposure contours.

E01102-047

Development of Microwave Landing System Implementation Criteria. Thomas L. Crosswell. Avcon Universal Consultants Corp. AV-MLS-74-1. July 1974. 50 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 785 220.

The study derives guidelines for MLS implementation planning from basic safety requirements for landing systems, from contemporary qualification criteria and from existing system status. A quantitative method is developed to facilitate evaluation of alternative MLS implementation plans, based on the conclusion that instrument approaches to ILS and MLS represent an increase in safety over approaches made to non-precision facilities. Substantiation of this conclusion, validation of the method, projection of instrument approaches and other steps leading to a detailed MLS implementation schedule are defined and their accomplishment recommended.

E01102-048

Development and Test of Low Impact Resistance Structures. Phase 1 final report. Robert W. Harralson, and others. Ace, Inc., Pennsauken, N.J. RD-73-187. February 1974. 164 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order Nos. AD 783 185, AD 781 859.

E01102-049

Evaluation of an Experimental Elevated High-Intensity Runway Edge Light. Interim report. E. Leon Reamer. NA 74-23. September 1974. 85 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD 785 016.

The subject effort was to determine the suitability of a newly designated elevated runway edge light to function in category I and category II operations when a high-intensity runway-light environment is prevalent. The work involved laboratory photometric measurements and analysis of pilot response after test flying the newly designed runway lighting system. The results of the tests indicated that the new design concept is appropriate for use under the conditions tested.

E01102-050

Evaluation of an Experimental High-Intensity, Inset Runway Edge Light Fixture. Interim report. E. Leon Reamer. NA 74-41. November 1974. 19 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 002 416.

E01102-051

Evaluation of a Very Low Frequency Navigation System. RD-74-198. January 1975. 109 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: NTIS, Order No. AD A 005 043.

E01102-052

Objective Measurement Techniques for Evaluating Voice Communication Channels. Final report on task A. R. W. Hubbard and W. J. Hartman. Institute for Telecommunication Sciences, Boulder, Colo. RD-74-77. July 1974. 66 pp.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).
Public Availability: NTIS, Order No. AD 786 667.

E01102-053

TCA/ERS Effectiveness Study. R. A. Rucker and T. R. Simpson. Mitre Corp., McLean, Va. MTR-6766. September 1974. 120 pp.
Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.
Agency Managing Program: Federal Aviation Administration, DOT.
Program(s) Evaluated: Air Traffic Service (20.197).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).
Public Availability: NTIS, Order No. AD A 001 236.

E01102-054

Evaluation of the Rubino Procedure for Radio Telemetric Theodolite Positioning. EM-74-17. January 1975. 46 pp.
Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.
Agency Managing Program: Federal Aviation Administration, DOT.
Program(s) Evaluated: Air Traffic Service (20.197).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).
Public Availability: NTIS, Order No. AD A 004 317.

E01102-055

Concorde Landing Requirement Evaluation Tests. Leslie R. Merritt. FS-160-74-2. August 1974. 218 pp.
Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.
Agency Managing Program: Federal Aviation Administration, DOT.
Program(s) Evaluated: Flight Standards Service (20.199).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).
Public Availability: NTIS, Order No. AD A 000 014.

E01102-056

The Airport Passenger Head Tax: analysis of its potential impact. AVP-74-1. July 1974. 154 pp.
Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.
Agency Managing Program: Federal Aviation Administration, DOT.
Program(s) Evaluated: Airport Development Aid (20.102).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Airport and Airway Development Act of 1970 (P.L. 81-258).

Public Availability: NTIS, Order No. AD A 004 308.

E01102-057

Hazardous Materials Program Review. July 1974.
Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.
Agency Managing Program: Federal Aviation Administration, DOT.
Program(s) Evaluated: Flight Standards Service (20.199).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

E01102-058

Evaluation of Free Distribution of AIM. May 1974.
Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.
Agency Managing Program: Federal Aviation Administration, DOT.
Program(s) Evaluated: Flight Standards Service (20.199).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

E01102-059

Evaluation of Nation-Wide Preflight Weather Briefing Procedures. November 1974.
Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.
Agency Managing Program: Federal Aviation Administration, DOT.
Program(s) Evaluated: Air Traffic Service (20.197).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

E01102-060

Evaluation of Terminal Radar Service: stage III. November 1973.
Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.
Agency Managing Program: Federal Aviation Administration, DOT.
Program(s) Evaluated: Air Traffic Service (20.197).
Budget Function/Subfunction: Air transportation (405).
Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

E01102-061

Evaluation of Premature Descents at High Density Terminals. April 1974.
Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.
Agency Managing Program: Federal Aviation Administration, DOT.
Program(s) Evaluated: Air Traffic Service (20.197).
Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

E01102-062

Evaluation of Facilities and Equipment: Great Lakes Region. March 1974.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

E01102-063

Evaluation of Technician Certification Program. July 1972.

Agency Sponsoring Evaluation: Federal Aviation Administration, DOT.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.198).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

E01103-001

Program Review of Right-of-Way Program. A. G. Wanick. January 26, 1973. 30 pp.

Agency Sponsoring Evaluation: Program Review Division, FHWA.

Agency Managing Program: Federal Highway Administration, DOT.

Program(s) Evaluated: Highway Research, Planning, and Construction (20.205).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Federal-Aid Highway Act of 1973 (P.L. 93-87).

This report reviews the Right-of-Way Program in order to determine what changes in practices could be implemented in order to redirect manpower resources toward newer programs. The following recommendations have been taken or agreed to: 1) Coordination of efforts between right-of-way staffs, external auditors, and states' internal audit units to eliminate duplication. 2) Issuance of guidelines and checks or controls in the implementation of the Replacement Housing Policy. 3) Expedition of a policy simplifying right-of-way authorization procedures. 4) Restriction of reviews to only those revisions involving major changes affecting the taking requirements and valuations thereof. 5) Incorporation of recommended documentation requirements presented in Interim Report #1 into the proposed revision of PPM 80-3, or transmitted by other means. These recommendations are a) inclusion of reasonable severance damages in value findings and abbreviated appraisals on partial takings when estimated cost does not exceed \$2,500, and b) reduction of documentation requirements of appraisals on owner-occupied single family residences with values of \$15,000 or less. Savings resulting from

complete implementation would be 15-16 manyears, and \$800,000 per year.

E01103-002

Federal Participation in Cost of States' Internal Review Activities. A. G. Wancik. February 6, 1973. 14 pp.

Agency Sponsoring Evaluation: Program Review Division, FHWA.

Agency Managing Program: Federal Highway Administration, DOT.

Program(s) Evaluated: Highway Research, Planning, and Construction (20.205).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Federal-Aid Highway Act of 1973 (P.L. 93-87).

A review of the right-of-way program by the Federal Highway Administration (FHWA) indicates that lack of progress in achieving manpower savings is caused by the lack of adequate staffing, which in turn is caused by either budget limitations restricting employment of additional personnel and/or salary scales not conducive to attracting and holding capable internal audit personnel. It was concluded that the FHWA will not be effective in persuading the states to establish internal audit units unless the FHWA shares the costs. However, one fact that precludes Federal participation in internal audit activity is that Federal funds cannot participate in administrative costs, and even if these costs can be effectively taken out of the administrative costs category, another provision in Federal regulations limits participation only to those costs that are directly attributable and properly allocable to specific projects.

E01103-003

Review of Construction Inspection Procedures. Final report. F. J. Dooley. June 22, 1973. 17 pp.

Agency Sponsoring Evaluation: Program Review Division, FHWA.

Agency Managing Program: Federal Highway Administration, DOT.

Program(s) Evaluated: Highway Research, Planning, and Construction (20.205).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Federal-Aid Highway Act of 1973 (P.L. 93-87).

A review of construction inspection procedures of highway programs recommends that PPM 20-6.1 be rewritten to incorporate the following concepts: the Washington office's national policy, the minimum mandatory constraints, and the broad national goals and objectives of the overall construction inspection program; that mandatory inspection coverage, on an each-project basis, be minimized and that the new procedures include sufficient flexibility to enable the program managers to implement the new management techniques; and that the division office be the level where most of the detailed procedures and management techniques be developed and tailored to fit local conditions and needs. Furthermore, it is believed that the division offices should continue to submit their proposed annual construction inspection programs and subsequent program summary evaluations to the region offices for review and approval action, and that both the region and Washington offices

continue to supplement their office reviews with field reviews and evaluations of on-going construction-inspection programs in the divisions.

E01103-004

Advance Right-of-Way Acquisition Program. Summary report. A. G. Wanick. May 14, 1974. 12 pp.

Agency Sponsoring Evaluation: Program Review Division, FHWA.

Agency Managing Program: Federal Highway Administration, DOT.

Program(s) Evaluated: Highway Research, Planning, and Construction (20.205).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Federal-Aid Highway Act of 1973 (P.L. 93-87).

This report provides a review of the Advance Right-of-Way Acquisition Program (revolving fund). The purpose of the fund is to facilitate substantial savings by acquiring properties where extensive private development is being planned before the improvements can be made. Although some savings are being achieved, potential savings are not being realized due to procedural requirements which preclude states from acquiring land at a much earlier date. The biggest state complaint is the red tape involved in securing cash advances to proceed with the program. The Finance Division has suggested using a letter of credit in lieu of cash advances, but this would not alleviate or simplify the problem. States are advised to use their own funds and request reimbursement; however, many states are not able to do so. It is therefore recommended that a procedure be established whereby states, subsequent to reaching an agreement with owners, and after accumulating such agreements for a specific period, can request a cash advance in the exact amount covered by the agreements. The Office of Administration has altered its procedures to enable states to request funds on estimated needs for a 90-day period based on recorded liabilities or actual disbursements.

E01103-005

Preparation of Programming Documents. October 29, 1973.

Agency Sponsoring Evaluation: Program Review Division, FHWA.

Agency Managing Program: Federal Highway Administration, DOT.

Program(s) Evaluated: Highway Research, Planning, and Construction (20.205).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Federal-Aid Highway Act of 1973 (P.L. 93-87).

E01103-006

Review of Materials Sampling and Testing Procedures. Final report. F. J. Dooley. September 1974. 14 pp.

Agency Sponsoring Evaluation: Program Review Division, FHWA.

Agency Managing Program: Federal Highway Administration, DOT.

Program(s) Evaluated: Highway Research, Planning, and Construction (20.205).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Federal-Aid Highway Act of 1973 (P.L. 93-87).

A review of materials sampling and testing procedures by the Federal Highway Administration (FHWA) led to the following recommendations: combine existing progress record and final record samples and tests into a single simplified category of independent samples and tests; reduce existing definitions and simplify instructions relative to taking and testing samples; combine under a single heading the several existing paragraphs that collectively describe the elements required in a state's sampling and testing program; describe the functions of the various FHWA levels with respect to the state's testing program, in lieu of the existing paragraphs; and, in general, increase the authority and flexibility of the field offices in determining the extent of independent sampling and testing needed in a given state's testing program for Federally-funded work. It is also recommended that PPM 20-6.2 be rewritten with the assistance of FHWA field managers.

E01103-007

Review of Right-of-Way Records and Reports. June 11, 1974.

Agency Sponsoring Evaluation: Program Review, Division, FHWA.

Agency Managing Program: Federal Highway Administration, DOT.

Program(s) Evaluated: Highway Research, Planning, and Construction (20.205).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Federal-Aid Highway Act of 1973 (P.L. 93-87).

E01103-008

Review of Availability of Right-of-Way Statistics. March 27, 1975.

Agency Sponsoring Evaluation: Program Review Division, FHWA.

Agency Managing Program: Federal Highway Administration, DOT.

Program(s) Evaluated: Highway Research, Planning, and Construction (20.205).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Federal-Aid Highway Act of 1973 (P.L. 93-87).

E01105-001

Capital Assistance to Bus Transit Systems. Summary report. March 1975. 20 pp.

Agency Sponsoring Evaluation: Division of Program Evaluation, UMTA.

Agency Managing Program: Urban Mass Transportation Administration, DOT.

Program(s) Evaluated: Urban Mass Transportation Capital Improvement Grants (20.500).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Urban Mass Transportation Act of 1964 (P.L. 88-365).

This report on the in-house evaluation of the bus-purchase portion of the Urban Mass Transportation Administration's (UMTA) capital-grant program, indicates that UMTA, in response to a need for an urban transportation reporting system, and with the assistance of the transit industry, state and local governments, metropolitan planning organizations, and urban transportation experts, is developing a uniform set of accounts and a reporting system. These systems are to generate data necessary for planning, programing, and evaluation at all levels of government. In addition, the reporting system will accumulate data that will permit the system operators to evaluate the effects of their innovations when it is complemented by the internal management performance measures. These measures will be designed to enable the operators to monitor their own systems individually, including system performance by route or small area. Also, the dissemination of marketing and market research techniques has been accelerated recently, with the emphasis being placed on convincing transit operators to adopt marketing tools.

E01105-002

Fostering Urban Transportation Activities in Universities. Program of Policy Studies in Science, George Washington University, Washington, D.C. DC-11-0004. February 1974.

Agency Sponsoring Evaluation: Division of Program Evaluation, UMTA.

Agency Managing Program: Urban Mass Transportation Administration, DOT.

Program(s) Evaluated: Urban Mass Transportation Grants for University Research and Training (20.502).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Urban Mass Transportation Act of 1964 (P.L. 88-365).

E01105-003

National Urban Reporting System. July 1975.

Agency Sponsoring Evaluation: Division of Program Evaluation, UMTA.

Agency Managing Program: Urban Mass Transportation Administration, DOT.

Program(s) Evaluated: Urban Mass Transportation Capital Improvement Grants (20.500); Urban Mass Transportation Capital Improvement Loans (20.501); Mass Transportation Technology (20.504); Urban Mass Transportation Technical Studies Grants (20.505).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Urban Mass Transportation Act of 1964 (P.L. 88-365).

E01107-001

Assessment of Selected State and Community Programs. October 1973. 96 pp.

Agency Sponsoring Evaluation: Office of Program Evaluation, NHTSA.

Agency Managing Program: National Highway Traffic Safety Administration, DOT.

Program(s) Evaluated: State and Community Highway Safety (20.600).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Highway Safety Act of 1966 (P.L. 89-564).

This report is part of a reassessment of the State and Community Grant Program conducted by the National Highway Traffic Safety Administration. This stage of the reassessment seeks to identify what was purchased with Federal grants and state and local matching resources from fiscal years 1968 through 1973, and to trace the effects which took place. In the five year period, items costing over \$315 million were purchased; over \$240 million, or 76 percent, of this amount were Federal funds. Funds spent for driver education purchased driving simulators and ranges, resulting in reduction of instructor costs and expansion of driver education to more students and schools. Funds allocated to programs on alcohol were used primarily for personnel, training, and education; 60 percent of the funds were used for enforcement and evidence collection. Of the total Federal funds for traffic records systems, 92 percent was spent on systems design and development, implementation, and equipment purchases and rentals. Purchases for police traffic services include training, enforcement projects, and equipment, resulting in increased manpower of three percent. However, this is offset by rises in average speed, use of alcohol, younger drivers, and night and weekend drivers.

E01107-002

Alcohol Safety Action Project: evaluation of operations. HS-801-155. June 1974. 3 volumes.

Agency Sponsoring Evaluation: Office of Program Evaluation, NHTSA.

Agency Managing Program: National Highway Traffic Safety Administration, DOT.

Program(s) Evaluated: State and Community Highway Safety (20.600).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Highway Safety Act of 1966 (P.L. 89-564).

Starting in 1971, the Department of Transportation began a series of projects called Alcohol Safety Action Projects (ASAPs) to demonstrate a systems approach to solving the drunk driver problem through a multifaceted countermeasure program. Results from the first year of operations by the 29 ASAPs covered in this report indicate success in generating countermeasure activity, as shown by large increases in alcohol-related traffic arrests, cases processed by the courts, and drinking drivers in rehabilitation programs. In addition, projects have demonstrated success in serving as the community focal point and coordination center for a systematic attack on the problem of drunk driving. Data from the 19 ASAPs which have conducted roadside surveys of the blood alcohol concentrations of nighttime drivers indicate a reduction in the number of motorists with high blood alcohol concentrations. Nighttime fatal crashes were used as the best criterion measure of project impact since the improvements in data collection produced by the ASAPs reduced the usefulness of other measures (such as the blood alcohol concentration of fatally injured

drivers) as indicators of project effectiveness. An analysis of quarterly data covering 3 baseline and 2 operational years for the 8 ASAPs which began in January 1971, show a statistically significant drop in the number of nighttime fatal crashes during project operations. The 21 projects operational for one year did not demonstrate a statistically significant reduction in nighttime fatal crashes. In individual evaluation reports, seven out of twenty-nine sites reported statistically significant reductions in one or more categories of crash data. This report contains conclusions and recommendations for improving the effectiveness of activities in each of the major countermeasure areas.

E01107-003

Alcohol Safety Action Projects: evaluation of operations, 1973. HS-800-973. April 1, 1974. 45 pp.

Agency Sponsoring Evaluation: Office of Program Evaluation, NHTSA.

Agency Managing Program: National Highway Traffic Safety Administration, DOT.

Program(s) Evaluated: State and Community Highway Safety (20.600).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Highway Safety Act of 1966 (P.L. 89-564).

This report on the effectiveness of the Alcohol Safety Action Projects (ASAPs) indicates that ASAPs have produced a sharp increase in safety activity as indicated by an overall growth of more than 150 percent in alcohol-related arrests at the average site during the first two years. ASAPs have been effective in improving the overall safety system as evidenced by the increase in the numbers of arrested drivers who are handled effectively by the courts and enter into treatment programs. There has been a small, but statistically significant, reduction in high blood-alcohol-concentration drivers using the road; a 10-19 percent decrease in the ratio of nighttime to daytime fatal crashes for the 29 projects that have been operational for two years; and a statistically significant reduction in both nighttime and total fatal crashes at the first eight ASAPs, which began operations in January 1971. Reductions in the 21 ASAPs that began operation in 1972 are in the expected direction but are not statistically significant.

E01107-004

Evaluation of Motor Vehicle Safety Standards. Center for the Environment and Man, Hartford, Conn. HS-801-012. December 1973. 163 pp.

Agency Sponsoring Evaluation: Office of Program Evaluation, NHTSA.

Agency Managing Program: National Highway Traffic Safety Administration, DOT.

Program(s) Evaluated: State and Community Highway Safety (20.600).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Highway Safety Act of 1966 (P.L. 89-564).

Public Availability: NTIS, Order No. PB 226 074.

State accident records from Connecticut, Virginia and Texas were analyzed for differences in the accident

experience among vehicles of different model years, and for differences in the frequency of accidents and injuries in accident situations affected by specific motor vehicle safety standards. The literature on the effects of motor vehicle safety standards was reviewed and quantitative information synthesized into estimates of the effectiveness of certain groups of standards. This information was then combined into effectiveness estimates of both pre-crash and crash phase safety standards. The injury reducing effects of these standards for single vehicle crashes was projected to 1985.

E01190-001

Department of Transportation's Cargo Security Program. RED-75-263. September 18, 1974.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of Transportation.

Program(s) Evaluated: Cargo Security (20.000).

Budget Function/Subfunction: Ground transportation (404); Air transportation (405); Water transportation (406).

Program Authorization: Department of Transportation Act (P.L. 89-670).

Public Availability: GAO, Distribution Section.

This report contains information on (1) DOT programs to reduce cargo thefts in the transportation industry and an assessment of industry's awareness of these programs; and (2) GAO's evaluation of a consultant's study of theft-related cargo losses.

E01190-002

Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials. B-164497. May 1, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Office of Hazardous Materials, DOT.

Program(s) Evaluated: Hazardous Materials (20.000).

Budget Function/Subfunction: Ground transportation (404); Air transportation (405); Water transportation (406).

Program Authorization: Department of Transportation Act (P.L. 89-670).

Public Availability: GAO, Distribution Section.

Hazardous material shipments present an increasing danger to public safety. Hundreds of new materials are developed each year and thousands of shipments are made daily; the annual volume is estimated to reach 1.5 billion tons by 1980. GAO reported that the Department of Transportation needs to work toward a more effective program to insure compliance with regulations for safely transporting hazardous materials. The Department's program has been handicapped by: (1) lack of data on hazardous material shipments; (2) insufficient and unsystematic inspections; and (3) inadequate enforcement. Also, because a Federal agency can directly assess civil penalties without the delays of processing criminal

cases, effective enforcement would be promoted if authority to impose civil fines were extended to the Federal Railroad Administration and the Federal Highway Administration. The Coast Guard and the Federal Aviation Administration already have such authority. The Department said that it found GAO's recommendations valuable for improving the program and that it planned to initiate several actions similar to those suggested.

E01191-001

Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents? RED-75-319. January 21, 1975.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Coast Guard, DOT.

Program(s) Evaluated: Marine Environmental Protection (20.099).

Budget Function/Subfunction: Water transportation (406).

Program Authorization: Ports and Waterways Safety Act of 1972 (P.L. 92-340).

Public Availability: GAO, Distribution Section.

Accidents in U.S. waters in 1974 included 1,700 vessel collisions, ramblings, and groundings, generally considered preventable by vessel traffic systems. Since 1972, the Coast Guard has been developing or operating vessel traffic systems in six U.S. ports using various combinations of electronic surveillance. However, it has made limited use of its authority to regulate vessel movements in U.S. ports and waterways. Regulations can play an important role in improving the safety of marine traffic in U.S. ports and waterways. District and local Coast Guard officials said there had been little direction from headquarters to develop regulations. Officials said at one district they did not follow up recommendations for additional regulations made in Coast Guard studies because they had not been directed to do so by headquarters. Officials say development of regulations is controversial because of the maritime industry's reluctance to relinquish any degree of control over vessel operation.

E01192-001

Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft. B-164497(1). June 8, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards Service (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: GAO, Distribution Section.

E01192-002

Public Involvement in Planning Public Works Projects Should Be Increased. RED-75-282. December 6, 1974.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Federal Aviation Administration, DOT; Federal Highway Administration, DOT.

Program(s) Evaluated: Highway Research, Planning, and Construction (20.205); Airport Development Aid (20.102).

Budget Function/Subfunction: Ground transportation (404); Air transportation (405).

Program Authorization: Federal-Aid Highway Act of 1973 (P.L. 93-87); Airport and Airway Development Act of 1970 (P.L. 91-258).

Public Availability: GAO, Distribution Section.

This report discloses weaknesses in three of the major public works agencies in carrying out their public involvement activities. It also points out the need for overall Federal guidance to assist all agencies in involving the public in their decision making process and calls on the Office of Management and Budget to provide such guidance.

E01192-003

Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights. RED-75-289. December 10, 1974.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Airway Facilities Service (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Airport and Airway Development Act of 1970 (P.L. 91-258); Federal Aviation Act of 1958 (P.L. 85-726).

Public Availability: GAO, Distribution Section.

GAO reviewed Federal Aviation Administration (FAA) requirements and costs for selected items of equipment and facilities to determine whether the requirements were too stringent and caused associated costs to be unnecessarily high. GAO found that FAA air traffic control towers for low activity airports are more costly than those constructed by local governments. Although differences exist, both apparently perform the same basic functions satisfactorily. Design and maintenance of FAA omnirange facilities result in more than 99 percent availability. Although such high availability may be justified by safety considerations, in some cases, the associated cost is significant. FAA lighting requirements result in substantially more costly lighting systems than the systems installed by small airport sponsors without Federal financing. Because of the controversy between the agency and the aviation community over its costly requirements, a study was being made to review and identify lighting and runway construction needs of small airports.

E01192-004

Improved Procedures Needed for Implementing Safety Recommendations. RED-75-334. March 6, 1975.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Federal Aviation Administration, DOT.

Program(s) Evaluated: Flight Standards Service (20.199).

Budget Function/Subfunction: Air transportation (405).

Program Authorization: Department of Transportation Act (P.L. 89-670); Transportation Safety Act of 1974 (P.L. 93-633).

Public Availability: GAO, Distribution Section.

During fiscal years 1970-74, the National Transportation Safety Board made 655 safety recommendations to the Federal Aviation Administration. As of August 29, 1974, 222 of these recommendations were classified by the Board as needing followup. The Federal Aviation Administration does not always take prompt and effective action on the Board's recommendations. It has not monitored adequately the status of actions promised. As a result, actions may be dormant for long periods or forgotten. Neither have the Board's procedures for evaluating and followup been effective in determining whether the Administration has considered adequately its recommendations or has made adequate progress in correcting problems.

E01193-001

Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. B-148044. June 7, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Federal Highway Administration, DOT.

Program(s) Evaluated: Highway Research, Planning, and Construction (20.205).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Federal-Aid Highway Act of 1973 (P.L. 93-87); Uniform Relocation Assistance and Real Property Acquisition Policies Act (P.L. 91-646).

Public Availability: GAO, Distribution Section.

The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 provides for uniform and equitable treatment of persons displaced from their homes, businesses, or farms by Federal and Federally assisted programs. At the request of the Chairman, Subcommittee on Intergovernmental Relations, Senate Committee on Government Operations, GAO reviewed Federal, state, and local agencies' progress in implementing the act in Missouri, California, Wisconsin, and Pennsylvania. GAO reported that the Office of Management and Budget, Corps of Engineers, Department of Housing and Urban Development, and Federal Highway Administration had: (1) assisted the states in enacting legislation authorizing their participation in the program; and (2) made progress in identifying and resolving agencies' differences in providing relocation payments and services. Opportunities existed, however, to improve program administration and to make payments more uniform. Although relocation payments generally had been computed according to OMB guidelines, displacees with similar housing needs had not received similar payments because local offices could and did use more than one method of computation. OMB generally agreed with the findings and said it would pay special attention to resolving the payment differences.

E01195-001

Grants to Improve Bus Transit Systems--Progress and Problems. RED-75-275. November 25, 1974.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Urban Mass Transportation Administration, DOT.

Program(s) Evaluated: Urban Mass Transportation Capital Improvement Grants (20.500).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: Urban Mass Transportation Act of 1964 (P.L. 88-365).

Public Availability: GAO, Distribution Section.

Capital grants totaling \$2.4 billion were provided as of December 31, 1973 to help local authorities develop transit systems. This report discusses the progress and problems experienced by four public bodies in taking over bus transit systems, as well as areas for improvements in administration. The four transit systems--Atlanta, Honolulu, Minneapolis-St. Paul, and Portland, Oregon--succeeded in reversing a long decline in ridership. Aggregate figures show an increase of about 20 million riders in 1973 over early low points.

E01197-001

For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. B-164497(3). April 24, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: National Highway Traffic Safety Administration, DOT.

Program(s) Evaluated: State and Community Highway Safety (20.600).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: National Traffic and Motor Vehicle Safety Act of 1966 (P.L. 89-563); Highway Safety Act of 1966 (P.L. 89-564).

Public Availability: GAO, Distribution Section.

GAO reported that the National Highway Traffic Safety Administration's testing program--its major activity for determining manufacturer's compliance with Federal motor vehicle safety standards--provided little assurance that motor vehicles complied with the standards and provided the safety benefits intended--protection against unreasonable risk of accidents, injury, or death. The testing program had not systematically focused on problem areas having a high potential for reducing highway accidents, deaths, and injuries. Also, the Safety Administration had not used manufacturers' certification data to supplement and refine its limited testing program and had not acted promptly to resolve test failure cases. The Transportation Department said it was doing as well as could be expected with available resources. The Department and automobile industry representatives cautioned that use of accident data should involve meaningful evaluation of its relationship to specific vehicle safety standards. The representatives agreed, however, that results of accident investigations could help determine priorities for compliance checking and enforcement.

E01197-002

Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards. RED-74-251. July 22, 1974.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: National Highway Traffic Safety Administration, DOT.

Program(s) Evaluated: State and Community Highway Safety (20.600).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: National Traffic and Motor Vehicle Safety Act of 1966 (P.L. 89-563); Highway Safety Act of 1966 (P.L. 89-564).

Public Availability: GAO, Distribution Section.

The Safety Administration uses benefit-cost analyses primarily to establish an order of priority for planning and implementing safety standards. It also uses these analyses, along with other factors, to evaluate merits of a proposed safety standard. An analysis estimates the dollar benefits from establishing a standard and the cost to comply with the standard. The Safety Administration measures benefits by estimating how much accidents will cost society and by evaluating a standard's effectiveness in reducing costs. The Safety Administration's estimates of accident costs yearly (\$46 billion) was significantly higher than the National Safety Council's (\$15.8 billion) and the Office of Science and Technology's (\$18.7 billion) because data sources, statistical bases, assumptions, and calculations of costs varied. The Senate committee should give the Safety Administration an opportunity to seek manufacturers' cooperation in providing costs and leadtime data. If these efforts fail, the Committee should consider legislation requiring the industry to furnish such data.

E01197-003

Improvements Needed in Planning and Using Motor Vehicle Safety Research. RED-75-265. September 16, 1974.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: National Highway Traffic Safety Administration, DOT.

Program(s) Evaluated: State and Community Highway Safety (20.600).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: National Traffic and Motor Vehicle Safety Act of 1966 (P.L. 89-563); Highway Safety Act of 1966 (P.L. 89-564).

Public Availability: GAO, Distribution Section.

There is need for closer coordination between the Research and Development Office, responsible for planning and conducting research, and the Motor Vehicle Program Office, responsible for developing standards of safety. A program properly coordinated is necessary to research priority areas adequately for subsequent rule-making within time and funds available. The Safety Administration should make sure that the Motor Vehicle Program Office promptly use contractor's research findings, if feasible and desirable, to develop safety standards, or obtain on a priority basis additional research need to support rulemaking.

E01197-004

Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs. RED-75-315. February 4, 1975.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: National Highway Traffic Safety Administration, DOT.

Program(s) Evaluated: State and Community Highway Safety (20.600).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: National Traffic and Motor Vehicle Safety Act of 1966 (P.L. 89-563); Highway Safety Act of 1966 (P.L. 89-564).

Public Availability: GAO, Distribution Section.

This is GAO's third report on major areas of Federal safety programs and discusses contributions made by the National Motor Vehicle Safety Advisory Council and the Youths Highway Safety Advisory Committee. The Transportation Department said effectiveness of the Council should be measured by its formal resolutions and the public voice it has provided through numerous meetings and public forums. The real and tangible contributions of the Council are the positive actions taken as a direct results of advice received from the Council. The Department also looked to the Committee to provide a catalytic effect for promoting highway safety among young drivers.

E01197-005

The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles. RED-75-324. February 11, 1975.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: National Highway Traffic Safety Administration, DOT.

Program(s) Evaluated: State and Community Highway Safety (20.600).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: National Traffic and Motor Vehicle Safety Act of 1966 (P.L. 89-563); Highway Safety Act of 1966 (P.L. 89-564).

Public Availability: GAO, Distribution Section.

Under the Highway Safety Act of 1966, the Safety Administration has provided matching funds of over \$434 million through June 30, 1974, to encourage the states to adopt and implement 15 nationally uniform highway safety standards. One of these standards--periodic motor vehicle inspection--has been implemented by 31 states; the District of Columbia and Puerto Rico. From the start of the safety program in 1966 through June 1974, domestic and foreign manufacturers conducted 1,582 recalls, involving over 45.7 million vehicles, for various safety reasons. The Safety Administration reports it has influenced 160 recalls for safety defects, involving about 22.7 million vehicles and 1.2 million equipment items.

E01197-006

Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires. RED-75-344. March 28, 1975.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: National Highway Traffic Safety Administration, DOT.

Program(s) Evaluated: State and Community Highway Safety (20.600).

Budget Function/Subfunction: Ground transportation (404).

Program Authorization: National Traffic and Motor Vehicle Safety Act of 1966 (P.L. 89-563); Highway Safety Act of 1966 (P.L. 89-564).

Public Availability: GAO, Distribution Section.

The long delay in meeting the law's requirements for a grading system for tires can be attributed to technical difficulties in developing acceptable tire testing procedures and the Safety Administration's inability to provide effective leadership and resolve internal disagreements. The latest quality grading system was proposed on June 14, 1974. With modifications issued in January 1975, the current proposal will become effective May 1, 1975. It requires quality grading of tires for high speed, treadwear, and traction. With the exception of grading traction, the grading techniques are not much different from earlier proposals.

Department of the Treasury**E01200-001**

Organization and Management Review of Office of Revenue Sharing. December 1973.

Agency Sponsoring Evaluation: Office of Management and Organization, Office of the Secretary (Treasury).

Agency Managing Program: Office of Revenue Sharing, Dept. of the Treasury.

Program(s) Evaluated: Distribution of Revenue Sharing Entitlements (21.000).

Budget Function/Subfunction: General Revenue Sharing (851).

Program Authorization: State and Local Fiscal Assistance Act of 1972 (P.L. 92-512); Revenue Sharing Act (P.L. 92-512).

E01200-002

Management and Operational Review of the Office of Equal Opportunity Program. December 1973.

Agency Sponsoring Evaluation: Office of Management and Organization, Office of the Secretary (Treasury).

Agency Managing Program: Office of Equal Opportunity Program, Office of the Secretary (Treasury).

Program(s) Evaluated: Equal Opportunity Contract Compliance (21.000).

Budget Function/Subfunction: Central fiscal operations (803).

Program Authorization: Executive Order 11246, as amended of September 24, 1965.

E01200-003

Evaluation of the Implementation of Alcohol, Tobacco and Firearms as an Independent Bureau. January 1974.

Agency Sponsoring Evaluation: Office of Management and Organization, Office of the Secretary (Treasury).

Agency Managing Program: Bureau of Alcohol, Tobacco and Firearms, Dept. of the Treasury.

Program(s) Evaluated: Program Management, Bureau of Alcohol, Tobacco and Firearms (21.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

E01200-004

Organization, Function and Staffing Review of Office of Administrative Programs. July 1974.

Agency Sponsoring Evaluation: Office of Management and Organization, Office of the Secretary (Treasury).

Agency Managing Program: Office of Administrative Programs, Office of the Secretary (Treasury).

Program(s) Evaluated: Program Management, Office of Administrative Programs (Treasury) (21.000).

Budget Function/Subfunction: Central fiscal operations (803).

E01200-005

Comprehensive Review of Office of the Secretary Personnel Division. April 1973.

Agency Sponsoring Evaluation: Office of Management and Organization, Office of the Secretary (Treasury).

Agency Managing Program: Personnel Division, Office of the Secretary (Treasury).

Program(s) Evaluated: Program Management, Office of the Secretary (Treasury) (21.000).

Budget Function/Subfunction: Central fiscal operations (803).

E01200-006

Survey Leading to Transfer of Office of Industrial Economics from Internal Revenue Service to the Office of Tax Policy. January 1973.

Agency Sponsoring Evaluation: Office of Management and Organization, Office of the Secretary (Treasury).

Agency Managing Program: Office of Industrial Economics, Office of Tax Policy (Treasury).

Program(s) Evaluated: Program Management, Treasury (21.000).

Budget Function/Subfunction: Central fiscal operations (803).

E01200-007

Alternative Location Site Study for Bureau of Engraving and Printing. C.F. Murphy Associates. December 1972.

Agency Sponsoring Evaluation: Office of Management and Organization, Office of the Secretary (Treasury).

Agency Managing Program: Bureau of Engraving and Printing, Dept. of the Treasury.

Program(s) Evaluated: Program Management, Bureau of Engraving and Printing (21.000).

Budget Function/Subfunction: Central fiscal operations (803).

E01280-001

Need for a Uniform Method for Paying Interest on Government Trust Funds. B-154394. January 10, 1975. 51 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Department of the Treasury.
Program(s) Evaluated: Banking and Cash Management (21.000).

Budget Function/Subfunction: Central fiscal operations (803).

Program Authorization: P.L. 93-53; Reorganization Plan of 1939 (5 USCA App.); Executive Order No. 6166, Sec. 4, June 10, 1933.

Public Availability: GAO, Distribution Section.

Government trust funds are accounts maintained to record the receipts, expenditures, and balances of funds held in trust by the government for use in carrying out specific purposes or programs in accordance with authorizing legislation. Trust Fund receipts not needed for program purposes are invested in government obligations. At June 30, 1974, these funds had about \$124 billion invested in government obligations of which about \$111 billion was invested in special issues. Special issues are nonmarketable government obligations designed for direct issuance to the trust funds. The bases for assigning the interest rates are not the same and result in inequities in the assignment of interest rates. Different interest rates are assigned to the investments of the major trust funds in special issues of the same maturity. GAO recommended that the Congress consider enacting one law for the investment of the major trust funds. GAO recommended that the legislation provide that trust funds not be invested in specific government securities but instead be paid interest on the trust fund balances used for nontrust purposes. The rate assigned to each fund should be the same and in line with the cost of borrowing by Treasury from the public.

E01280-002

States Need, But Are Not Getting, Full Information on Federal Financial Assistance Received. GGD-75-55. March 4, 1975. 49 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Department of the Treasury.
Program(s) Evaluated: Program Management, Treasury (21.000).

Budget Function/Subfunction: Central fiscal operations (803).

Program Authorization: Intergovernmental Cooperation Act of 1968, Title II (P.L. 90-577; 42 USC 4201).

Public Availability: GAO, Distribution Section.

With the transfer to Treasury of the responsibility for providing states with grant award data, the Office of Management and Budget may have underestimated the need for coordination among Federal agencies to provide

states with full information. As a result, states lacked full information on who in each state received what Federal assistance, why it was provided, and where in a state the assistance was important. That this objective may be met, GAO said the Congress should amend the Intergovernmental Cooperation Act of 1968 to require that Federal agencies report to each state all Federal financial assistance to a state and its political subdivisions.

E01280-003

Effectiveness of Independent and Comprehensive Audits of the Inter-American Development Bank. B-146937. November 26, 1974. 26 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Department of the Treasury.
Program(s) Evaluated: Program Management, Treasury (21.000).

Budget Function/Subfunction: Central fiscal operations (803).

Program Authorization: Inter-American Development Bank Act, as amended (P.L. 86-147; P.L. 90-88; 22 USC 283).

Public Availability: GAO, Distribution Section.

This is GAO's second report on the audit work of the Group of Controllers created to make independent and comprehensive audits of the Bank. GAO recommended that the U.S. propose to the Bank's Board of Directors that the Controllers (1) place greater emphasis on evaluation of and reporting on how the economic and social objectives of the bank's programs and projects are being met, and (2) try to issue reports which can be distributed freely and not restricted by unnecessary classification.

E01280-004

Improvements Needed in System for Managing U.S. Participation in the Asian Development Bank. B-173240. May 8, 1973. 69 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Department of the Treasury.
Program(s) Evaluated: Program Management, Treasury (21.000).

Budget Function/Subfunction: Central fiscal operations (803).

Program Authorization: Asian Development Bank Act (P.L. 89-369; 22 USC 285).

Public Availability: GAO, Distribution Section.

The U.S. role in the Asian Development Bank's lending activities involves reviewing projects for Bank financing and suggesting needed changes in the projects. Although improvements have been noted, the Treasury was not in a position to assure the Congress that funds contributed were being used effectively to accomplish the objectives. GAO made several recommendations to the Secretary of the Treasury for improving the U.S. management system. GAO also recommended that the Congress consider having the U.S. representative to the Bank propose a program of continuing independent reviews of Bank development activities. With GAO's assistance, a legislative proposal to accomplish these objectives in the World Bank, the Asian Development Bank, and the United Nations system was introduced in

June 1973 as an amendment to the Foreign Assistance Act.

E01280-005

More Effective United States Participation Needed in World Bank and International Development Association. B-161470. February 14, 1973. 85 pp.

Agency Sponsoring Evaluation: International Division, GAO.

Agency Managing Program: Department of the Treasury.

Program(s) Evaluated: Program Management, Treasury (21,000).

Budget Function/Subfunction: Central fiscal operations (803).

Program Authorization: Bretton Woods Agreements Act (P.L. 79-171; 22 USC 286); International Development Association Act (P.L. 86-565; 22 USC 284).

Public Availability: GAO, Distribution Section.

The United States is the largest financial contributor to the World Bank and the International Development Association; as of June 30, 1972, it had contributed 26 percent and 33 percent of the total amounts paid to the Bank and the Association, respectively, by their members. The Treasury did not yet have an adequate system for managing U.S. participation in the Bank. GAO also reported that (1) although the Bank had increased loan commitments substantially during the past several years, loan disbursements continued to lag and (2) because of the slowness in getting projects underway--as measured by gross disbursements--and the rapid growth in principal and interest payments, the Bank has not been a significant factor in the net transfer of resources to developing countries in recent years. GAO made several recommendations to the Secretary of the Treasury for improving the system for managing U.S. participation in the Bank. GAO also recommended that the Secretary should seek establishment of a review body to effectively evaluate Bank and Association activities.

E01280-006

Opportunities for Savings in Interest Cost Through Improved Letter-of-Credit Methods in Federal Grant Programs. FGMSD-75-17. April 29, 1975. 26 pp.

Agency Sponsoring Evaluation: Financial and General Management Studies Division, GAO.

Agency Managing Program: Department of the Treasury; General Services Administration.

Program(s) Evaluated: Banking and Cash Management (21,000).

Budget Function/Subfunction: Central fiscal operations (803).

Program Authorization: Reorganization Plan of 1939 (5 USCA App.); Executive Order No. 6166, sec. 4, June 10, 1933; Treasury Circular No. 1075.

Public Availability: GAO, Distribution Section.

Recommendations in this report are designed to strengthen the leadership provided by the Treasury and the General Services Administration in improving letter-of-credit financing methods by Federal agencies. The congressional appropriations and oversight committees may wish to monitor actions by these two central agencies to reduce the Government's interest cost, especially through more effective use of the letters of credit which has risen to over \$38 billion a year.

E01280-007

Revenue Sharing: Its Use By and Impact on State Governments. B-146285. August 2, 1973. 59 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Office of Revenue Sharing, Dept. of the Treasury.

Program(s) Evaluated: Distribution of Revenue Sharing Entitlements (21,000).

Budget Function/Subfunction: General Revenue Sharing (851).

Program Authorization: State and Local Fiscal Assistance Act of 1972 (Revenue Sharing Act; P.L. 92-512).

Public Availability: GAO, Distribution Section.

The State and Local Fiscal Assistance Act of 1972, commonly called the Revenue Sharing Act, provides for distributing about \$30.2 billion of Federal funds to state and local governments over a 5-year period beginning January 1, 1972. That act directs the Department of the Treasury to consult with the Comptroller General in developing fiscal, accounting, and audit guidelines that are to be followed by state and local governments that receive revenue sharing funds. The act also provides that the Comptroller General review the work done by Treasury and the state and local governments to enable the Congress to evaluate compliance and operations under the act. GAO met with Treasury officials on numerous occasions to discuss various provisions they were considering for inclusion in the revenue sharing regulations that were published in the Federal Register on April 10, 1973. As of June 30, 1973, GAO had nearly completed a review of the use of \$1.7 billion revenue sharing funds received by the 50 state governments and the District of Columbia for calendar year 1972. GAO had also started a similar review at selected county and municipal governments.

E01280-008

Revenue Sharing: Its Use By and Impact on Local Governments. B-146285. April 25, 1974. 159 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Office of Revenue Sharing, Dept. of the Treasury.

Program(s) Evaluated: Distribution of Revenue Sharing Entitlements (21,000).

Budget Function/Subfunction: General Revenue Sharing (851).

Program Authorization: State and Local Fiscal Assistance Act of 1972 (Revenue Sharing Act; P.L. 92-512).

Public Availability: GAO, Distribution Section.

GAO reported on the status and uses of \$1.7 billion in revenue sharing funds distributed to 250 selected local governments through June 30, 1973. These governments--124 cities, 116 counties, and 10 townships--received 38 percent of the \$4.4 billion paid to all local governments as of that date. Of the \$1.4 billion appropriated by the 250 governments, about two-thirds was for operating and maintenance expenses and one-third for capital outlays. About three-fourths of the governments were using their funds in a manner which had reduced or was expected to reduce local tax pressures; there was evidence that revenue sharing had promoted citizen participation in local affairs; the funds had a variety of effects on the level of services delivered

by the governments; and there was evidence that revenue sharing had encouraged some intergovernmental cooperation. GAO reported that because of the inherent nature of revenue sharing; (1) an objective identification and measurement of the extent to which specific tax levels, programs, or groups of citizens benefited from revenue sharing would be extremely difficult; and (2) the effectiveness of the act's restriction on the direct uses of the funds was doubtful.

E01280-009

Case Studies of Revenue Sharing in 26 Local Governments. GGD-75-77. July 21, 1975. 59 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Office of Revenue Sharing, Dept. of the Treasury.

Program(s) Evaluated: Distribution of Revenue Sharing Entitlements (21.000).

Budget Function/Subfunction: General Revenue Sharing (851).

Program Authorization: State and Local Fiscal Assistance Act of 1972 (Revenue Sharing Act; P.L. 92-512).

Public Availability: GAO, Distribution Section.

This summary report is accompanied by separately bound case studies on each of the 26 governments. These case studies highlight differences in local government structure, responsibility, revenue-raising capability, financial condition, and work force composition. Separate reports are available on each local government included in this review.

E01280-010

Revenue Sharing: An Opportunity for Improved Public Awareness of State and Local Government Operations. GGD-76-2. September 9, 1975. 40 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Office of Revenue Sharing, Dept. of the Treasury.

Program(s) Evaluated: Distribution of Revenue Sharing Entitlements (21.000).

Budget Function/Subfunction: General Revenue Sharing (851).

Program Authorization: State and Local Fiscal Assistance Act of 1972 (Revenue Sharing Act; P.L. 92-512).

Public Availability: GAO, Distribution Section.

In place of the present system followed by state and local governments in reporting uses of revenue sharing funds, recipient governments should be required by the Congress to report to residents of their jurisdictions the purposes for which total available resources, including revenue sharing, are budgeted and spent. Each recipient government should also be required to assure the Secretary of the Treasury that it will give its residents adequate opportunities to express their views on the uses made of all resources available.

E01282-001

Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses. B-114898. January 18, 1973.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Customs Service, Dept. of the Treasury.

Program(s) Evaluated: Processing Cargo (21.299).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: Traffic Act of 1930 (P.L. 71-361).

Public Availability: GAO, Distribution Section.

During 1971 American smelting and refining companies imported about 128 million pounds of lead and about 455 million pounds of zinc into bonded warehouses. Duties on these imports totaled about \$4 million. The Tariff Act of 1930 permits deferring payments of duties on lead and zinc until the metal enters domestic commerce, or until 3 years elapse, whichever happens first. If metals are exported, charges are canceled. GAO's report to the Chairman, Senate Committee on Finance and House Ways and Means Committee, stated that some importers delayed the payment of duties by including in their reported inventories wastage metal, which is not dutiable, and slag metal, which was accumulated more than 30 years ago, and by transferring liabilities for duty payments from one company to another. GAO recommended that the Committees consider amending the Traffic Act of 1930 to (1) prohibit the inclusion of lead and zinc wastage and slag in inventories and (2) delete the provision permitting transfer of liability for duty payments from one company to another without a transfer of the metal.

E01282-002

Services for Special Beneficiaries: Costs Not Being Recovered. GGD-75-72. March 10, 1975. 15 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Customs Service, Dept. of the Treasury.

Program(s) Evaluated: Processing Persons (21.000); Processing Cargo (21.000); Processing Mail (21.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

Program Authorization: 31 USC 483a; OMB Circular A-25.

Public Availability: GAO, Distribution Section.

Although Customs has reviewed some user charges, GAO found a need for improvement in these areas: (1) full costs are not being recovered by administrative fees or by fees and reimbursable services governed by statute; (2) some user charges are obsolete or do not reflect modern day Customs procedures; (3) Customs does not collect administrative overhead associated with reimbursable services (which caused the Government to absorb \$4.5 million for services to special beneficiaries in FY 1974).

Environmental Protection Agency**E02300-001**

Report of the Permit Program Review Group. Draft. James R. Janis, and others. October 1973. 61 pp.

Agency Sponsoring Evaluation: Office of Planning and Management, EPA.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: National Pollutant Discharge Elimination System (NPDES) Permits (66.000).

Budget Function/Subfunction: Water resources and power (301); Pollution control and abatement (304).

Program Authorization: Federal Water Pollution Control Act of 1956, as amended (P.L. 84-660, sec. 402; 33 USC 1151 et seq.).

Public Availability: Environmental Protection Agency, Office of Public Information, Washington, D.C. 20460.

The task facing EPA was the issuance of some 50,000 discharging permits by the statutory deadline of December 31, 1974. The study analyzes the process then followed and makes a number of specific recommendations to improve the management of the program both at Headquarters and the Regions.

E02300-002

The Final Report of the Permit Program Review Group. Draft. James R. Janis, and others. June 1974. 54 pp. + appendix.

Agency Sponsoring Evaluation: Office of Planning and Management, EPA.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: National Pollutant Discharge Elimination System (NPDES) Permits (66.000).

Budget Function/Subfunction: Water resources and power (301); Pollution control and abatement (304).

Program Authorization: Federal Water Pollution Control Act of 1956, as amended (P.L. 84-660; 33 USC 1151 et seq.).

Public Availability: Environmental Protection Agency, Office of Public Information, Washington, D.C. 20460.

This study was a follow-up to the Review Group's earlier effort (see Report of the Permit Program Review Group, October 1973). The Group assessed progress made in implementing previous recommendations, identified remaining problem areas, and developed recommendations for additional improvements.

E02300-003

Report of a Study of Control Agency Revenue Fees. C. Frank Lane. July 2, 1974. 44 pp. + appendixes.

Agency Sponsoring Evaluation: Office of Planning and Management, EPA.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Air Pollution Control Program Grants (66.001); Water Pollution Control State and Interstate Program Grants (66.419).

Budget Function/Subfunction: Water resources and power (301); Pollution control and abatement (304).

Program Authorization: Clean Air Act of 1963 (P.L. 88-206, sec. 105 et seq.); Federal Water Pollution Control Act Amendments of 1972 (P.L. 92-500, sec. 106 et seq.; 33 USC 1256).

Public Availability: Environmental Protection Agency, Office of Public Information, Washington, D.C. 20460.

In early 1974, EPA agreed with the Office of Management and Budget to examine options for encouraging state and local governments to become more self-sufficient in financing their air and water pollution control agencies. The study reviewed alternative sources available to the control agencies for defraying their operating expenses. Generally, the study concluded that about 30 percent of the funding required by such agencies nationwide could be financed through fees. Major obstacles to a greater degree of self-financing were found to be state and local laws and the attitude of some officials.

E02300-004

Review of the Municipal Waste Water Treatment Works Program. November 30, 1974. 93 pp.

Agency Sponsoring Evaluation: Construction Grants Review Group, EPA.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Construction Grants for Wastewater Treatment Works (66.418).

Budget Function/Subfunction: Water resources and power (301); Pollution control and abatement (304).

Program Authorization: Federal Water Pollution Control Act of 1956 (P.L. 84-660; 33 USC 1151 et seq.); Federal Water Pollution Control Act, as amended (P.L. 92-500).

Public Availability: Environmental Protection Agency, Office of Public Information, Washington, D.C. 20460.

The report is a review of the Municipal Wastewater Treatment Works Program in which EPA policies, procedures, and performance were examined. The report presents a series of recommendations designed to ensure that EPA is making adequate progress toward meeting the program's statutory and other goals.

E02300-005

Evaluation of the Report on Interceptor Sewers and Suburban Sprawl. January 1975. 35 pp.

Agency Sponsoring Evaluation: Office of Planning and Management, EPA.

Agency Managing Program: Council on Environmental Quality.

Program(s) Evaluated: Construction Grants for Wastewater Treatment Works (66.418).

Budget Function/Subfunction: Water resources and power (301); Pollution control and abatement (304).

Program Authorization: Federal Water Pollution Control Act of 1956 (P.L. 84-660; 33 USC 1151 et seq.); Federal Water Pollution Control Act, as amended (P.L. 92-500).

Public Availability: Environmental Protection Agency, Office of Public Information, Washington, D.C. 20460.

The study evaluated the conclusions and recommendations of a report prepared for the Council on Environmental Quality by a contractor. The contractor's central conclusion was that the procedures employed in the physical design, review, and financing of interceptor sewers results in the construction of significant excess capacity that fosters low-density development of land. The EPA study supports the contractor's findings that improvement is needed in the areas of population forecasts, flow rate design, environmental impacts, and public participation. It did not agree, however, with the contractor's findings that (1) the design life of sewers should be limited to an arbitrary number of years and (2)

Federal financial assistance should be limited to that portion of interceptors needed to serve existing populations. Instead, the EPA study concludes that (1) the design period be based upon cost-effectiveness analysis and (2) that there is merit in limiting assistance to 25 years of growth, but that the consequences of such a policy should be explored through public hearings.

E02300-006

General Report of the Air Compliance Review Group. Barry Korb, and others. January 1975. 100 pp.

Agency Sponsoring Evaluation: Air Compliance Review Group, EPA.

Agency Managing Program: Division of Stationary Source Enforcement, EPA; Office of Air Quality Planning and Standards, EPA; Regional Offices, EPA.

Program(s) Evaluated: Stationary Source and Air Quality Standards (66.000); Air Quality Information System (66.000); Federal Activities/Air (66.000); Technical Support and Evaluation/Air (66.000); Air Control Agency Support-Administration (66.000); Stationary Source Enforcement (66.000).

Budget Function/Subfunction: Pollution control and abatement (304).

Program Authorization: Clean Air Act of 1963 (P.L. 88-206; 42 USC 1857 et seq.).

Public Availability: Environmental Protection Agency, Office of Public Information, Washington, D.C. 20460.

In May 1974 EPA's Assistant Administrators for Planning and Management Enforcement and General Counsel, and Air and Waste Management established the Interoffice Air Compliance Program Review Group to review the Air Stationary Source Compliance Program. Responsibility for the implementation of this program rests with several offices within EPA and with state and local air pollution control agencies. The Review Group addressed: the present and projected compliance levels for point sources; the status of the various elements of the program; the efficiency of the program; resource requirements; and interactions among the various groups responsible for the program. Major recommendations of the Review Group were incorporated into an implementation memorandum that was approved by the initiating Assistant Administrators and the Administrator.

E02300-007

National Safe Drinking Water Strategy: One Step at a Time. Draft. May 1975. 65 pp.

Agency Sponsoring Evaluation: Environmental Protection Agency.

Program(s) Evaluated: Safe Drinking Water Act (66.000).

Budget Function/Subfunction: Water resources and power (301); Pollution control and abatement (304).

Program Authorization: Safe Drinking Water Act (P.L. 93-523).

Public Availability: Environmental Protection Agency, Office of Public Information, Washington, D.C. 20460.

The study is an effort to lay out the basic strategy for EPA's implementation of the Safe Drinking Water Act. It attempts to delineate an explicit structure that systematically relates the overall goal of the program (to assure that the public has safe water to drink) to major purposes, program objectives, and program activities. The purpose of the study was not only to contribute to

EPA's success in discharging a new set of legal responsibilities, but also to improve the program's future evaluability.

E02300-008

Report of the Administrator's Special Construction Grants Task Force. May 31, 1975. 36 pp.

Agency Sponsoring Evaluation: Environmental Protection Agency.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Construction Grants for Wastewater Treatment Works (66.418).

Budget Function/Subfunction: Water resources and power (301); Pollution control and abatement (304).

Program Authorization: Federal Water Pollution Control Act, as amended (P.L. 92-500; 33 USC 1281).

Public Availability: Environmental Protection Agency, Office of Public Information, Washington, D.C. 20460.

The Task Force was directed by the Administrator to 'identify bottlenecks in the grant process and to make recommendations for simplified implementation of the regulations consistent with environmental integrity, fiscal integrity, and cost-effectiveness.' The study reviews the entire process and makes specific findings and recommendations with respect to application development, application processing, the past-award stage and problems for Headquarters attention.

E02300-009

Development of a Contingency Plan for Certification of Pesticide Applications. George Alapas and Bruce Engelbert. June 27, 1975. 23 pp.

Agency Sponsoring Evaluation: Office of Planning and Management, EPA.

Agency Managing Program: Office of Pesticide Programs, EPA.

Program(s) Evaluated: Pesticides (66.000).

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Federal Insecticide, Fungicide, and Rodenticide Act of 1947 (P.L. 80-104; 7 USC 135); Federal Environmental Pesticide Control Act of 1972 (P.L. 92-516; 7 USC 135 et seq.).

Public Availability: Environmental Protection Agency, Office of Public Information, Washington, D.C. 20460.

The Federal Environmental Pesticide Control Act requires that all applicators of restricted use pesticides must be certified as being competent to apply these more dangerous chemicals. EPA is relying on the states to develop plans to complete the certification and to implement these plans. The study examines the ability and intentions of the states to complete these tasks and the amount of time necessary to prepare a Federal alternative to state certification if they fail.

E02300-010

First Report of the Environmental Measures Project: Analysis and Applications of Environmental Quality Indicators. July 1975. 45 pp.

Agency Sponsoring Evaluation: Office of Planning and Management, EPA.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Air and Water Programs (66.000).

Budget Function/Subfunction: Water resources and power (301); Pollution control and abatement (304).

Public Availability: Environmental Protection Agency, Office of Public Information, Washington, D.C. 20460.

The study is an overview of the means by which EPA does and can measure its progress toward achieving its objectives in the area of air and water pollution abatement. The review first presents the results of a survey on the kind of measures being used by Agency managers and the extent to which they are used. Then the potential usefulness of ultimate impact indicators, ambient trend indicators, discharge or emissions indicators and program management indicators is assessed. The study concludes that all four types of indicators are useful for differing purposes and makes recommendations for further development in all four areas.

E02305-100

Crop Insurance and Information Services to Control Use of Pesticides. John A. Miranowski, and others. Abt Associates, Inc., Cambridge, Mass. September 1974.

Agency Sponsoring Evaluation: Environmental Protection Agency.

Agency Managing Program: Extension Service, USDA; Animal Plant and Health Inspection Service, USDA.

Program(s) Evaluated: Plant and Animal Disease and Pest Control (10.025).

Budget Function/Subfunction: Agricultural research and services (352).

Program Authorization: Department of Agriculture Organic Act of 1944; Public Resolution No. 20, April 6, 1937; Federal Plant Pest Act (P.L. 85-36).

Public Availability: Environmental Protection Agency, Office of Public Information, Washington, D.C. 20460.

The empirical findings of this study are based upon 1972 data and preliminary 1973 data on cotton pest management programs. In 1972 about 3.4 million acres of cotton, one-quarter of the U.S. total, were being scouted. Private sector consultants were doing the work on 1.3 million acres, Extension Service scouts on 0.9 million acres, growers 0.8 million acres, and various agribusiness farms (chemical companies, beginners, etc.) scouted 0.4 million acres. During 1972, the USDA pest management program provided Federal funds for 22 new or existing cotton field scouting programs in 111 counties. About 5,000 producers participated on 574,000 acres. The total cost of field scouting has averaged about \$3.00 per acre with private sources and public funds each providing about half. Projects generally show reductions in pesticide usage of between 2 and 4 applications and cost of production, but data on yield effects are sparse.

E02390-001

Need for Federal Agencies to Improve Solid Waste Management Practices. B-166506. October 26, 1972. 90 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of Agriculture; Department of Defense; Department of the Interior; General Services Administration; Environmental Protection Agency.

Program(s) Evaluated: Solid Waste Abatement and Control (66.000).

Budget Function/Subfunction: Pollution Control and abatement (304).

Program Authorization: Solid Waste Disposal Act (P.L. 89-272; 42 USC 3251); Executive Order 11282; National Environmental Policy Act of 1969 (P.L. 91-190; 42 USC 4321); Resource Recovery Act of 1970 (P.L. 91-512).

Public Availability: GAO, Distribution Section.

Federal regulations generally prohibit Federal agencies from burning wastes in open fires and from using open dumps. However, open burning and open dumping was widespread on Federal lands administered by the Bureau of Land Management, the Forest Service, and the National Park Service. The Army was disposing of its unsalvageable wastes satisfactorily. Although the General Services Administration and the Army had recovered some wastes for reuse to recycling, they could have recovered much more. Generally the Army was recovering wastes only when economically advantageous; it gave little consideration to salvaging and recycling primarily for environmental benefits. GAO reported to the Congress that the agencies needed: more effective responsibility centers; improved policy guidance from headquarters and policy implementation by regional offices; and more effective inspection procedures. Also, GSA and Army needed to be aware of and emphasize the environmental benefits they could obtain by using more reusable or recyclable materials, containers, and packaging. The agencies generally agreed with GAO's findings and conclusions.

E02390-002

Need to Improve Administration of the Water Pollution Research, Development, and Demonstration Program. B-166506. November 21, 1972. 49 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Water Pollution Research and Development (66.505).

Budget Function/Subfunction: Pollution control and abatement (304).

Program Authorization: Federal Water Pollution Control Act of 1956, as amended (P.L. 84-660; 33 USC 1151); Water Quality Act of 1965 (P.L. 89-234); Federal Water Pollution Control Act Amendments 1972 (P.L. 92-500; 33 USC 1254-1258).

Public Availability: GAO, Distribution Section.

EPA conducts the nation's major Federal Water Pollution control research program. Because of the large amount of funds appropriated, \$350 million in FY 1956 through 1972, GAO examined administration of its demonstration grant program and its research grants and contracts, utilization of its laboratory facilities, and management of its research equipment. GAO reported that, although the Federal Water Pollution Control Act authorizes grants primarily for demonstrating new or improved methods of controlling water pollution, includ-

ing industrial waste treatment methods, EPA had awarded many grants for constructing and operating full-scale waste treatment projects which used mainly conventional methods of water pollution control. GAO attributed this condition to the lack of clear guidelines for reviewing and evaluating grant applications under the demonstration program. Also, EPA took considerable time to review and approve applications, so new projects and extensions of ongoing projects were delayed; it inadequately monitored ongoing research projects; and it did not promptly obtain the results of completed research projects from grantees and contractors and distribute them to potential users. In addition, EPA underused its laboratories for in-house research; they were not fully staffed; and the research staffs spent considerable time on other duties. GAO identified laboratory equipment that was measured or excess to EPA's needs, and thus available for sharing or pooling. EPA agreed to implement GAO's recommendations.

E02390-003

Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff. B-166506. March 28, 1973. 47 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Water Pollution Construction Grants (66.418).

Budget Function/Subfunction: Pollution control and Abatement (304).

Program Authorization: Federal Water Pollution Control Act, as amended (P.L. 84-660; 33 USC 1151); Water Quality Act of 1965 (P.L. 89-234); Federal Water Pollution Control Act amendments of 1972 (P.L. 92-500).

Public Availability: GAO, Distribution Section.

Untreated or inadequately treated sewage discharged from sewers carrying both sewage and storm runoff (combined sewers) is a major pollution problem and has prevented many areas, primarily in the Northeast and Midwest, from attaining Federal and state water quality goals. GAO reported that, although some communities had tried to abate such discharges, Federal and state water pollution control agencies had placed little emphasis on correcting the problem, primarily because of the high cost which, as of October 1972, EPA estimated would amount to \$70 billion. Limited Federal and state funds have been available for projects to control such discharges. Many municipalities could, however, achieve substantial benefits through a program of phased construction. The Federal Water Pollution Control Act Amendments of 1972 (Public Law 92-500) provide additional funding and authority which should enable EPA to deal more effectively with the combined sewer problem. In line with GAO's recommendations, EPA agree to require (1) states to identify all municipalities having combined sewer discharges, (2) states and municipalities to study their combined sewer problems and the alternatives available on a municipality-by-municipality basis, and (3) states and municipalities to develop and submit to EPA plans, including phased construction programs, for controlling and abating the pollution discharges. EPA agreed also to consider awarding construction grants for phased construction projects.

E02390-004

Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade. B-133192. April 26, 1973. 44 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Pesticides Enforcement (66.000); Pesticide Abatement and Control (66.000).

Budget Function/Subfunction: Prevention and Control of Health Problems (553).

Program Authorization: Federal Insecticide, Fungicide, and Renticide Act of 1947 (P.L. 80-104; 7 USC 135); Federal Environmental Pesticide Control Act of 1972 (P.L. 92-516; 7 USC 135 et seq.).

Public Availability: GAO, Distribution Section.

GAO reported that EPA's suspensions and cancellations of the registrations of certain hazardous pesticides had been only partly effective because EPA allowed other pesticides containing the same ingredients and registered for the same uses to remain on the market. For example, although EPA suspended certain 2, 4, 5-T registrations because of a highly toxic contaminant-dioxin-it did not suspend other pesticides containing dioxin. EPA said the factors affecting suspension were too complex to permit uniform criteria and that the question of dioxin safety was being researched. Registrants who appealed EPA decisions to cancel registrations were allowed to continue marketing the pesticides for all registered uses until the appeals were resolved. GAO recommended, and EPA agreed, that the pesticides be marketed only for the specific uses that were appealed. Also, EPA had not consistently applied its policy of requesting manufacturers to recall hazardous pesticides whose registrations were suspended. EPA stated that recalling some pesticides would be more hazardous than using them according to label directions. GAO believes that recall would create no hazards other than those encountered in distributing the pesticides and would insure proper disposal.

E02390-005

Assessment of Federal and state Enforcement Efforts to Control Air Pollution from Stationary Sources. B-166506. August 23, 1973. 43 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Air Pollution Enforcement (66.000).

Budget Function/Subfunction: Pollution Control and Abatement (304).

Program Authorization: Clean Air Act of 1963 (P.L. 88-206; 42 USC 1857 et seq.); Air Quality of 1967 (P.L. 90-148); Clean Air Amendments of 1970 (P.L. 91-604).

Public Availability: GAO, Distribution Section.

GAO reported that EPA and seven states covered by GAO's review had relied heavily on voluntary compliance with laws and regulations to control air pollution from stationary sources, such as industrial smoke stacks and municipal incinerators. The states are responsible for carrying out plans to control air pollution and for vigorously enforcing compliance when polluters do not

meet clean-air requirements, but the Federal Government assumes this responsibility when the states fail to act. Both levels of Government, however, had been reluctant to enforce air-pollution-control laws and regulations. EPA agreed that the states had not effectively enforced air-pollution-control laws and regulations but stated that local air-pollution-control agencies had. It stated also that it had begun to implement and enforce national standards.

E02390-006

Improved Federal and State Programs Needed to Insure the Purity and Safety of Drinking Water in the United States. B-166596. November 15, 1973. 84 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Drinking Water Abatement and Control (66.000).

Budget Function/Subfunction: Pollution control and abatement (304); Prevention and Control of Health Problems (553).

Program Authorization: Public Health Service Act (42 USC 264).

Public Availability: GAO, Distribution Section.

In a report regarding Federal and state programs designed to insure the acceptable quality of drinking water, GAO pointed out with respect to the state and local governments that (1) potentially dangerous water was being delivered to some consumers, particularly by small water treatment systems, (2) in many instances, water supply systems had not been tested as frequently as recommended by guidelines issued by EPA, (3) over half of the interstate carrier water systems reviewed had not been inspected by the states in 1972, (4) EPA had not always reclassified water supplies promptly from 'approved' to 'provisionally approved' when deficiencies were noted during inspections, and (5) the Federal Government did not have a formal program for monitoring the quality of bottle water. EPA generally agreed with GAO's recommendations for improving its administration of the interstate carrier water supply program.

E02390-007

Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do. B-166506. January 16, 1974. 447 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Water Pollution Research and Development (66.595).

Budget Function/Subfunction: Water resources and power (301); Conservation and land management (302); Pollution control and abatement (304).

Program Authorization: Federal Water Pollution Control Act Amendments of 1972 (P.L. 92-500; 33 USC 1251).

Public Availability: GAO, Distribution Section.

It is doubtful that the Federal Government will meet the 1985 goal set by the Congress to eliminate the discharge of pollutants into the Nation's waters because much of the necessary research and development work is

still to be done. This report identifies current funding levels for water pollution R&D as the central reason why the Federal Government is presently failing to develop the technology to achieve water quality goals established in 1972 by the Congress. In addition, GAO said both the management and the coordination of numerous Federal R&D programs must be improved. The report identified seven areas where improvements are required to achieve goals specified in the 1972 Federal Water Pollution Control Act: research to determine how pollutants get into the water, what happens to them, and what is their effect; minimizing the cost of treating municipal sewage--a prime objective; technology needed to control pollution from industrial and other sources such as agriculture; need for a water pollution R&D strategy; making the R&D program of the Environmental Protection Agency more responsive to operating programs; need for a national plan to improve coordination of water pollution R&D; need for a Federal focal point to coordinate the dissemination of research information.

E02390-008

Pesticides: Action Needed to Protect the Consumer from Defective Products. B-133192. May 23, 1974. 54 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Pesticides Abatement and Control (66.000).

Budget Function/Subfunction: Prevention and Control of Health Problems (553).

Program Authorization: Federal Insecticide, Fungicide, and Rodenticide Act of 1947 (P.L. 80-104; 7 USC 135); Federal Environmental Pesticide Control Act of 1972 (P.L. 92-516; 7 USC 136).

Public Availability: GAO, Distribution Section.

In the second of a series of reports on the shortcomings of EPA's pesticide program, GAO reported that consumers had not been adequately protected from defective pesticides. Because of an inadequate program, some pesticides were repeatedly sampled while others were never sampled. In the 3 regions included in GAO's review, about 64 percent of the manufacturers did not have any of their pesticides sampled during a 4-1/2-year period. This important sampling program also needed improvement. EPA did not have the capability to test most of the samples it collected--only 19 percent had been tested for safety and 32 percent had been tested for effectiveness. It found, however, that 16 percent of the samples tested for safety and 28 percent tested for effectiveness were defective. Thus the number of unsafe and ineffective pesticides offered for sale to consumers could be significant. In addition, the agency had not normally notified the public when it discovered an ineffective or chemically deficient pesticide, and it had not used the enforcement alternatives of cancelling registrations and recalling products to prevent marketing of repeatedly ineffective pesticides. GAO also pointed out that the effective life of decomposable pesticides should be determined and shown on labels, and the types of pesticides which have been repeatedly found to be defective, especially disinfectants and rodenticides, should be tested by EPA before being registered. EPA agreed with GAO's findings and recommendation and said it had already taken steps either to carry out new programs or to change existing programs in line with our

suggestions. EPA has started cancelling registrations found to be ineffective and has issued guidelines providing for the prompt public release of information concerning its enforcement activities.

E02390-009

Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow. B-166506. December 20, 1974. 62 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Water Pollution Abatement and Control (66.419). Water Pollution Enforcement (66.000); Water Pollution Construction Grants (66.418).

Budget Function/Subfunction: Water Resources and Power (301); Conservation and land management (302); Pollution Control and abatement (304).

Program Authorization: Federal Water Pollution Control Act Amendments of 1972 (P.L. 92-500; 33 USC 1251).

Public Availability: GAO, Distribution Section.

The 1972 amendments required grantees to meet many new and changing requirements. Many states and municipalities did not meet EPA's requirements implementing legislative provisions and were unable to qualify projects for available Federal funds. Impoundment of \$9 billion authorized by the Congress could seriously hamper achieving the goal of eliminating discharge of pollutants into navigable waters by 1985 once administrative and legislative requirements are met.

E02390-010

Using Solid Waste to Conserve Resources and to Create Energy. RED-75-326. February 27, 1975. 69 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Solid Waste Abatement and Control (66.000); Solid Waste Research and Development (66.000).

Budget Function/Subfunction: Pollution control and abatement (304); Energy (305).

Program Authorization: Solid Waste Disposal Act of 1965 (P.L. 93-14; P.L. 324; 42 USC 3251); Resource Recovery Act of 1970 (P.L. 91-512; 42 USC 325).

Public Availability: GAO, Distribution Section.

In view of increasing public and congressional concern over solid waste disposal problems, GAO examined steps taken toward resource recovery with Federal assistance since the passage of the Resource Recovery Act of 1970. Although the thrust of the Federal solid waste management activities was redirected from disposal to resource recovery by the 1970 act, progress has been slow. Several major unresolved issues hindered the development of resource recovery. GAO concluded that the Federal role in assisting states and local communities to establish resource recovery systems needs to be expanded.

E02390-011

Cleaning Up the Great Lakes: United States and Canada Are Making Progress in Controlling Pollution from Cities and Towns. RED-75-338. March 21, 1975. 36 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Environmental Protection Agency.

Program(s) Evaluated: Water Pollution Research and Development (66.505); Water Pollution Construction Grants (66.418).

Budget Function/Subfunction: Water Resources and Power (301) Conservation and land management (302); Pollution Control and Abatement (304).

Program Authorization: Federal Water Pollution Control Act Amendments of 1972 (P.L. 92-500; 33 USC 1252); United States and Canada Great Lakes Water Quality Agreement of 1972; Boundary Waters Treaty of 1909.

Public Availability: GAO, Distribution Section.

According to EPA and Canadian estimates, the U.S. is trailing Canada by at least three years in constructing needed municipal waste treatment facilities for controlling pollution in the Great Lakes. The problem in the U.S. portion of the Great Lakes was more serious than in Canada and required considerably more funds.

Federal Energy Administration**E02990-001**

Problems in the Federal Energy Office's Implementation of Emergency Petroleum Allocation Programs at Regional and State Levels. B-178205. July 23, 1974. 16 pp.

Agency Sponsoring Evaluation: Office of Special Programs, GAO.

Agency Managing Program: Federal Energy Administration.

Program(s) Evaluated: Emergency Petroleum Allocation.

Budget Function/Subfunction: Energy (305).

Program Authorization: Emergency Petroleum Allocation Act of 1973 (P.L. 93-159); Executive Order 11748.

Public Availability: GAO, Distribution Section.

This study reports on: how FEA is adopting and administering regulations; how FEA detects and stops violators to insure compliance; and the extent and effectiveness of state and local participation in its programs. GAO's study showed that: FEA's regional offices were not processing applications for allocations promptly or correctly; the management information system designed to keep track of allocation cases was ineffective; and the enforcement and compliance effort was rather limited and may have been misdirected.

E02990-002

Problem in the Federal Energy Administration's Compliance and Enforcement Effort. B-178205. December 6, 1974. 22 pp.

Agency Sponsoring Evaluation: Office of Special Programs, GAO.

Agency Managing Program: Federal Energy Administration.

Program(s) Evaluated: Regulatory Programs.

Budget Function/Subfunction: Energy (305).

Program Authorization: Emergency Petroleum Allocation Act of 1973 (P.L. 93-159); Federal Energy Administration Act of 1974 (P.L. 93-275; 15 USC 761-786).

Public Availability: GAO, Distribution Section.

Information on audits completed by the Federal Energy Administration at all levels of the oil industry revealed significant problems in compliance with price controls by crude oil producers, refiners of petroleum products, wholesalers, and retailers. There was almost no direct audit of operations by crude oil producers; FEA audits at the retail level showed numerous violations and there was evidence of large violations at the wholesale level; audits of refiner operations were not completed; substantive issues relating to the adequacy of regulations remain unsolved; and organizational disputes within FEA hindered its refinery audit work. Federal Energy Administration officials estimated that the magnitude of refiners' potential violations could be between \$1 and \$2 billion. FEA will have to strengthen its compliance and enforcement program at all levels if there is to be adequate assurance that firms are complying substantially with price regulations.

E02990-003

Problems of Independent Refiners and Gasoline Retailers. OSP-75-11. April 4, 1975. 16 pp.

Agency Sponsoring Evaluation: Office of Special Programs, GAO.

Agency Managing Program: Federal Energy Administration.

Program(s) Evaluated: Regulatory Programs.

Budget Function/Subfunction: Energy (305).

Program Authorization: Emergency Petroleum Allocation Act of 1973 (P.L. 93-159); Federal Energy Administration Act of 1974 (P.L. 93-275; 15 USC 761-786).

Public Availability: GAO, Distribution Section.

Under FEA's revised crude oil allocation regulations, small refiners, on the average, operated above 1972 levels, but the four large independent refiners, which refine a substantial portion of the crude oil not marketed by the major refiners, operated below 1972 levels. Under the 'two tier' pricing system used for crude oil, small refiners and large independent refiners generally paid higher prices for crude oil than the major oil companies. At the retail level, the Administration was not prompt in developing and reporting data on the market share of independents although required to do so by law. However, studies indicate that the number of independent retail dealers appears to have decreased, although the proportion of refiner owned and operated stations has increased.

General Services Administration

E03700-001

Integrated Grant Administration Assessment Report. April 1975. 45 pp.

Agency Sponsoring Evaluation: Office of Federal Management Policy, GSA; Office of Management Systems and Special Projects, GSA.

Agency Managing Program: Office of Federal Management Policy, GSA; Office of Management Systems and Special Projects, GSA.

Program(s) Evaluated: Integrated Grant Administration (joint funding pilot).

Budget Function/Subfunction: General property and records management (804).

Program Authorization: Intergovernmental Cooperation Act of 1968, Title IV (P.L. 90-577); Economy Act of 1932, sec. 601, (31 USC 686); Joint Funding Simplification Act of 1974 (P.L. 93-510).

This report presents findings and recommendations of a nationwide assessment of the Integrated Grant Administration (IGA), a pilot effort to test simplified application procedures designed to facilitate the delivery of jointly funded Federal assistance to state and local governments. The Joint Funding Simplification Act (JFSA) of 1974 has since been signed into law, and supersedes the IGA. Recommendations to improve IGA will now serve as guides in regulation development for implementation of JFSA. Recommendations for joint funding policies state that Federal agencies should place sufficient priority on implementation of JFSA, identify programs suitable for joint funding, and designate coordinators. Local governments should coordinate joint funding applications with appropriate state agencies. Recommendations for joint funding regulations include the provision of detailed descriptions of administrative procedures, specification of the responsibilities of Federal agencies, specification of project audit requirements, encouragement of the use of the letter of credit, and provision for technical assistance. Recommended areas for further study and action are the development of a technical definition of a jointly funded project, definition of anticipated costs and benefits, and determination of the feasibility of a formal regional and/or national arbitration process to resolve administrative disputes.

E03700-002

A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717. Clifford W. Graves and Gordon T. Yamada, Co-Chairmen. June 1974. 48 pp.

Agency Sponsoring Evaluation: General Services Administration.

Agency Managing Program: Office of Federal Management Policy, GSA.

Program(s) Evaluated: Implementation of the Office of Federal Management Policy.

Program Authorization: Executive Order 11717; 3 USC 301; Federal Property and Administrative Services Act of 1949, as amended (P.L. 81-152); Budget and Accounting Act of 1921, as amended (P.L. 67-13); Budget and Accounting Procedures Act of 1950, as amended (P.L. 81-784).

This report assesses the efforts of the Office of Management and Budget (OMB) and the General Services Administration (GSA) to implement the transfer of certain functions from OMB to GSA. It determines how well the partnership is working, and identifies the extent of problems and issues relating to the effectiveness to which functions are carried out. The GSA has: 1) established an independent Office of Federal Management Policy (OFMP) to accommodate the reassigned functions; 2) contracted an independent management consulting firm to study the role, organization, and structure of the OFMP; 3) established the Federal Management Circular system for the issuance of policy directives; and 4) taken actions to continue ongoing and

increased workloads. The OMB has: 1) facilitated the transfer of OMB circulars to GSA; 2) transferred responsibility for 15 circulars to GSA; 3) developed guidelines for the coordination of policy issuances; and 4) arranged for GSA participation in OMB staff meetings. The extra management attention to perpetuate the transfer has been lacking in both the GSA and OMB, and each agency has been more passive than the situation warrants. The report provides recommendations to achieve developmental planning, re-examine resource levels, obtain more active OMB support, and receive interagency collaboration.

E03700-003

Study of Administrative Costs in Federally-Aided Domestic Programs. Jerry G. Bridges. September 29, 1975. 57 pp.

Agency Sponsoring Evaluation: Office of Federal Management Policy, GSA; Office of Financial Management, GSA.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Headstart; National School Lunch; Elementary and Secondary Education Act-Title 1; Work Incentive Program; Federal-Aid Highway Program.

Budget Function/Subfunction: General property and records management (804).

Program Authorization: Executive Order 11717.

This report analyzes the portion of the total spending on Federally-aided domestic programs which goes directly for goods and services delivered to participants, and that which is consumed in administrative monitoring of the program. It also analyzes the direct and administrative cost areas by major cost category. In the Headstart Program, administrative costs account for 35 percent of the total Federal spending on the program. A total of 9.1 percent of the program dollars were applied to administrative costs in the National School Lunch Program, with 94 percent of the burden for such costs borne by the local level schools and school districts. Twenty-nine percent of the Federal funds for the Elementary and Secondary Education Act are used in administrative costs, and 34 percent of Work Incentive Program funds. In the Federal-Aid Highway Program, 2.3 percent of the total funds go to administrative costs. For the Headstart Program, Elementary and Secondary Education Act, and Work Incentive Program, redirection of Federal funds from administrative to direct costs would increase their impact. The administrative requirements imposed by state and Federal governments in the National School Lunch Program, plus increased coverage may discourage local school system and student participation.

E03700-004

Money Management Study. Jerry G. Bridges, and others. Money Management Task Group; Joint Financial Management Improvement Program. October 1975. 85 pp.

Agency Sponsoring Evaluation: Office of Federal Management Policy, GSA; Office of Financial Management, GSA.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Cash Management.

Budget Function/Subfunction: General property and records management (804).

Program Authorization: Executive Order 11717.

This study reviews and evaluates current cash management practices of Federal agencies, and develops conclusions and recommendations for improvement. The Department of Agriculture is the base for the study, with additional examples drawn from other agencies. There is a lack of awareness of cash management policies; however, agencies show interest in their development when techniques are made known, and when higher authority directs the changes. Three approaches are evaluated. The first involves implementation of efficient disbursement and collection practices within current organizational structures. The second approach charges the agency 'interest' for funds used, and returns 'interest' for funds not used. The third approach entails a centralized management system, providing specialized techniques and full-time professionals. Working within the current system appears to be the most reasonable. A three-pronged plan is recommended for this approach. 1) Development of an educational system, including workshops and technical materials. 2) Utilization of agency input to form an Executive Branch Policy statement. 3) Authorization of an independent study group to develop a system of incentives for agency encouragement.

E03700-005

NARS A-1 General Systems Study Report: Control and Description of Records in the National Archives. Summary of four volumes. May 1974. 37 pp.

Agency Sponsoring Evaluation: Planning and Analysis Division, NARS/Office of the Executive Director.

Agency Managing Program: Office of the National Archives, NARS.

Program(s) Evaluated: Office of the National Archives accessioning and description programs.

Budget Function/Subfunction: General property and records management (804).

Program Authorization: 44 USC 2103-2105.

The NARS-A-1 General Systems Study describes the current system for the control and description of records in the National Archives and outlines a proposed system, with its costs and benefits. This summary highlights the information contained in the volumes of the systems study and briefly describes the requirements of a general systems study and the background of the study undertaken.

E03700-006

Appraisal of Government-Wide and Internal ADP-Related Activities, General Services Administration. Fry Consultants, Incorporated. March 13, 1972 (issued FY 1973). 543 pp. + appendix.

Agency Sponsoring Evaluation: Management Systems Division, GSA.

Agency Managing Program: General Services Administration.

Program(s) Evaluated: ADP activities.

Budget Function/Subfunction: General property and records management (804).

Program Authorization: Federal Property and Administrative Services Act of 1949, as amended (40 USC -757, 759, 481).

GSA has broad Government-wide authority in the ADP area to establish policies and procedures, and to establish supportive central services which would most economically meet the needs of the agencies. Govern-

ment-wide ADP-related programs evaluated in the study included: procurement of ADP equipment; joint utilization/sharing of facilities and equipment; operation of the ADP Fund; advisory and direct ADP-related assistance to other agencies; provision and management of data communications services; provision of records management support; and facilities design services. Activities related to GSA's internal provision and use of ADP were also covered. The report contained a large number of recommendations for procedural and organizational changes to improve GSA's performance Government-wide and internally.

E03700-007

Evaluation of the Common Services Pilot Project in the Arcade Plaza Building, Seattle, Washington. August 1972. 69 pp. + exhibits.

Agency Sponsoring Evaluation: Management Systems Division, GSA.

Agency Managing Program: Office of Administration, Region 10, GSA.

Program(s) Evaluated: Common Services.

Budget Function/Subfunction: General property and records management (804).

Program Authorization: Federal Property and Administrative Services Act of 1949, as amended (40 USC 481).

The Arcade Plaza common services pilot operation at Seattle is a unique twist to the well established GSA concept of providing centralized services. Here, under one manager, the following common services are provided to HEW, HUD, DOT, Labor, and OEO: mail and messenger, procurement, supplies, duplicating. This is in contrast to the customary arrangement whereby each major GSA unit provides the type of service for which it is functionally responsible. The evaluation conducted at the request of the Office of Management and Budget, recommended, among other things, that this arrangement be made permanent and be considered for nationwide expansion and that additional services be considered.

E03700-008

Report on Airport/Community Emergency Medical Preparedness and Federal Emergency Health Preparedness. National Health Resources Advisory Committee. October 11-12, 1973.

Agency Sponsoring Evaluation: Federal Preparedness Agency, GSA.

Program(s) Evaluated: Health and medical preparedness.

Budget Function/Subfunction: General property and records management (804).

Program Authorization: Executive Order 11051, September 27, 1962; Executive Order 11415, June 24, 1968.

E03700-009

Federal Emergency Health Activities. National Health Resources Advisory Committee. Dec. 13-14, 1973.

Agency Sponsoring Evaluation: Federal Preparedness Agency, GSA.

Program(s) Evaluated: Health and medical preparedness.

Budget Function/Subfunction: General property and records management (804).

Program Authorization: Executive Order 11051, September 27, 1962; Executive Order 11415, June 24, 1968.

E03700-010

Current Concepts for Preparedness. National Health Resources Advisory Committee. May 23-24, 1974.

Agency Sponsoring Evaluation: Federal Preparedness Agency, GSA.

Program(s) Evaluated: Health and medical preparedness.

Budget Function/Subfunction: General property and records management (804).

Program Authorization: Executive Order 11051, September 27, 1962; Executive Order 11415, June 24, 1968.

E03790-001

The Federal Catalog Program: Progress and Problems in Attaining a Uniform Identification System for Supplies. B-146778. June 20, 1973. 26 pp.

Agency Sponsoring Evaluation: Logistics and Communications Division, GAO.

Agency Managing Program: General Services Administration.

Program(s) Evaluated: Federal Catalog Program (39.000).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Federal Property and Administrative Services Act of 1949 (P.L. 81-152); Defense Cataloging and Standardization Act (P.L. 82-436).

Public Availability: GAO, Distribution Section.

The catalog program in the government has improved considerably since the first cataloging act was passed 23 years ago, but some conditions which prompted passage of the law still prevail. Some government organizations are not participating fully in the Federal Catalog System but are using local systems instead to identify many items which they buy, stock, and dispose of. The Secretary of Defense and the Administrator of General Services should (1) determine the extent that organizations are maintaining local item identification systems, (2) find out why locally assigned numbers are used, and (3) replace local numbers with FSNs when appropriate. DOD and GSA should be able to improve program operations and save millions of dollars a year in operating costs by taking effective action on GAO's recommendations.

E03790-002

Ways to Improve Records Management Practices in the Federal Government. B-146743. August 13, 1973. 42 pp.

Agency Sponsoring Evaluation: General Accounting Office.

Agency Managing Program: National Archives and Records Service, GSA.

Budget Function/Subfunction: General property and records management (804).

Program Authorization: Public Printing and Documents Act (P.L. 90-620).

Public Availability: GAO, Distribution Section.

Since 1966, estimated Federal costs of paperwork have increased from \$8 billion to \$15 billion a year. Similarly, Federal Records Center holdings have increased by more than 2.8 million cubic feet and now total over 11.6 million cubic feet. The National Archives and Records Service has had limited success in persuading Federal agencies to correct weaknesses in their records management programs. One reason is that the Service identifies needed improvements and makes sweeping recommendations without demonstrating corresponding savings. GAO recommended that the Service assume a stronger role by attempting to convince agencies that suggested changes could save time and money. If this does not work, the Service must exercise its authority (which has never been used) to report to the President, the Congress, or the Office of Management and Budget those instances when agencies do not take action. Additionally, the Service could be more effective in minimizing the time that records are retained in Federal Records Centers and in insuring that records are destroyed when their period of retention has elapsed.

E03790-003

Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites. B-165511. February 15, 1974. 29 pp.

Agency Sponsoring Evaluation: Logistics and Communications Division, GAO.

Agency Managing Program: General Services Administration.

Program(s) Evaluated: Disposal of Federal Surplus Real Property (39.002).

Budget Function/Subfunction: General property and records management (804).

Program Authorization: Federal Property and Administrative Services Act of 1949 (P.L. 81-152; 40 USC 490); Public Buildings Act of 1959 (P.L. 86-249; 40 USC 601-606).

Public Availability: GAO, Distribution Section.

Under competitive bidding, the government would be better assured of receiving the highest value for excess property than it would under negotiated exchanges based on appraised fair market value. Appraised values do not insure that the government is receiving the highest value obtainable because participation in an exchange is limited to one individual. In some cases, former government property was sold shortly after an exchange at prices much higher than the appraised value at which it had been exchanged. To provide all interested parties an opportunity to acquire excess government property in exchanges, GAO recommended that the Congress amend the law to permit the General Services Administration to offer such property at competitive bid and to deposit the cash proceeds into a building fund to be used, subject to annual appropriation acts, for acquiring public building sites. GAO also recommended that the Congress amend the law to eliminate the provisions authorizing the acquisition of property by the exchange method.

E03790-004

More Specific Criteria Needed to Improve Real Property Surveys. 7B-165511. July 10, 1974. 31 pp.

Agency Sponsoring Evaluation: Logistics and Communications Division, GAO.

Agency Managing Program: General Services Administration.

Program(s) Evaluated: Disposal of Federal Surplus Real Property (39.002).

Program Authorization: Federal Property and Administrative Services Act of 1949 (P.L. 81-152); Executive Order 11508.

Public Availability: GAO, Distribution Section.

GAO found that criteria for identifying unneeded real property were not specific enough to support land-use evaluations. In addition, more specific guidelines were needed to determine when rights provided through restrictive covenants would be adequate to control land use. Recommendations by GAO said GSA should establish more specific measures for using land effectively and economically and for determining when restrictive covenants would be adequate to control land use.

E03790-005

Management of Federal Supply Service Procurement Programs Can Be Improved. PSAD-75-32. December 31, 1974. 36 pp.

Agency Sponsoring Evaluation: Procurement and Systems Acquisition Division, GAO.

Agency Managing Program: General Services Administration.

Program(s) Evaluated: Depot Program (39.000); Federal Supply Schedule (39.000); Social Buying (39.000).

Budget Function/Subfunction: General property and records management (804).

Program Authorization: Federal Property and Administrative Services Act of 1949 (P.L. 81-152).

Public Availability: GAO, Distribution Section.

The General Services Administration is responsible for providing procurement leadership to Federal executive agencies. In fulfilling its leadership responsibilities, the agency could be more effective in providing the management necessary to carry out its responsibility for operating an effective and economical supply system. To accomplish this, the General Services Administration must increase its ability to identify its customers; determine their needs and requirements, as well as problems; and monitor the buying habits of its customers.

National Science Foundation

E04600-001

Science Development, University Development, and the Federal Government. National Board on Graduate Education. NBGE report, no. 4. June 1975. 48 pp.

Agency Sponsoring Evaluation: National Board on Graduate Education.

Agency Managing Program: National Science Foundation.

Program(s) Evaluated: Science Development (47.000).

Budget Function/Subfunction: General science and basic research (251); Higher education (502); Research and general education aids (503).

Program Authorization: National Science Foundation Act of 1950, as amended (42 USC 1861-1875).

Public Availability: National Board on Graduate Education, 2101 Constitution Avenue, N.W., Washington, D.C. 20418.

A study made of the Science Development program indicates that certain factors have been confirmed by the experience of the study and may be useful in future programs. Among these are the ideas that if institutional excellence is an objective, then a positive plan for such achievement--a plan that has some reasonable prospect of realization--is essential; that the institutions to receive developments grants should be selected on the basis of objective and specific criteria; and that it is essential that institutions (and state governments, where applicable) have a full understanding of the obligations they assume by participating in the development program and that they make adequate preparations to fulfill those obligations. In addition, some elasticity in the implementation of the development plan is as important as the element of accountability. Close and cooperative communication is desirable between the recipient institution and the granting agency. Also, when there is reason to change or modify the development emphasis, the program management should be flexible enough to allow for such adjustment.

E04600-002

Science Development: an evaluation study. Technical report. David E. Drew. National Board on Graduate Education. Technical Report No. 4. June 1975. 182 pp.

Agency Sponsoring Evaluation: National Board on Graduate Education.

Agency Managing Program: National Science Foundation.

Program(s) Evaluated: Science Development (47.000).

Budget Function/Subfunction: General science and basic research (251); Higher education (502); Research and general education aids (503).

Program Authorization: National Science Foundation Act of 1950, as amended (42 USC 1861-1875).

Public Availability: National Board on Graduate Education, 2101 Constitution Avenue, N.W., Washington, D.C. 20418. \$5.75.

This report offers an evaluation of the Science Development program. In addition, it is in itself an experiment in institutional evaluation. The purpose of the program was to develop the competence of selected universities in preparing research scholars and in conducting research projects. Institutions were selected which had the potential for developing their science capability in a short period of time. In general, improvement was notable, although funding ended at the same time the financial crunch in higher education began, leaving institutions hard-pressed to fulfill obligations and maintain improvements. Funding was concentrated in physics, chemistry, and mathematics, and produced the following results: 1) enlargement of faculties; 2) lack of development from recruiting from leading physics departments; 3) increased journal publications, although due primarily to increased faculty size; 4) some attraction of higher quality graduate students, but no increase numerically; 5) increase in production of Ph.D.s in physics, and in mathematics only in the private sector;

and 6) no significant change in post doctorate employment.

E04690-001

Achievements, Cost, and Administration of the Ocean Sediment Coring Program. B-171989. November 1, 1972. 54 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: National Science Foundation.

Program(s) Evaluated: Ocean Sediment Coring (47.000).

Budget Function/Subfunction: General Science and Basic Research (251).

Program Authorization: National Science Foundation Act of 1950, as amended (P L. 81-507; 42 USC 1861-1875).

Public Availability: GAO, Distribution Section.

Through scientific studies of sedimentary core samples from the ocean floor, the ocean sediment coring program seeks to expand knowledge about the history, age, and structure of ocean basins and the evolution of marine life. Under a 10-year contract with the National Science Foundation ending June 1976, with estimated costs of \$68 million, the Scripps Institution of Oceanography of the University of California obtains and distributes core samples for detailed study. Scientists can finance core studies through NSF research grants. GAO's report described program activities and accomplishments and identified opportunities for improving program administration. The National Science Foundation responded that it had acted to minimize time require to publish core descriptions and to distribute core materilas for study, to improve contract management including cost control, and to insure scientific community access to all core study results.

Small Business Administration

E05507-001

A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives. S. Linchuck. September 1974.

Agency Sponsoring Evaluation: Planning and Program Evaluation Division, SBA.

Agency Managing Program: Small Business Administration.

Program(s) Evaluated: Small Business Loans (59.012); Economic Opportunity Loans for Small Businesses (59.003); Management and Technical Assistance for Disadvantaged Businessmen (59.007).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Small Business Act (P.L. 85-536); Economic Opportunity Act of 1964 (P.L. 88-452); Economic Opportunity Amendments of 1967 (P.L. 90-222).

E05507-002

Management Assistance: An Evaluation of its Extent and Impact on Minority Owned Businesses. S. Linchuck. December 1974.

Agency Sponsoring Evaluation: Planning and Program Division, SBA.

Agency Managing Program: Small Business Administration.

Program(s) Evaluated: Management and Technical Assistance for Disadvantaged Businessmen (59.007); Economic Opportunity Loans for Small Businesses (59.003); Small Business Loans (59.012).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Economic Opportunity Amendments of 1967 (P.L. 90-222).

E05507-003

Evaluation of Small Business Administration Lease Guarantee Program. Grant Moon. January 1975.

Agency Sponsoring Evaluation: Planning and Program Division, SBA.

Agency Managing Program: Small Business Administration.

Program(s) Evaluated: Lease Guarantees for Small Businesses (59.004); Economic Opportunity Loans for Small Businesses (59.003); Small Business Loans (59.012).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Small Business Investment Act of 1958 (P.L. 85-699).

E05507-004

Design of Performance Impact Measures. R. Liberati. January 1975.

Agency Sponsoring Evaluation: Planning and Program Evaluation Division, SBA.

Agency Managing Program: Small Business Administration.

Program(s) Evaluated: Small Business Loans (59.012); Economic Opportunity Loans for Small Businesses (59.003); State and Local Development Company Loans (59.013); Small Business Investment Companies (59.011); Displaced Business Loans (59.001); Physical Disaster Loans (59.008); Lease Guarantees for Small Business (59.004); Management Assistance to Small Businesses (59.005).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Small Business Act (P.L. 85-536); Economic Opportunity Act of 1964, as amended (P.L. 88-452); Small Business Investment Act of 1958 (P.L. 85-699); Disaster Relief Act of 1970 (P.L. 91-606).

E05507-005

The Economic Opportunity Loan Program: Continuing Evaluation. Preliminary version. A. Odendahl. February 1975.

Agency Sponsoring Evaluation: Planning and Program Evaluation Division, SBA.

Agency Managing Program: Small Business Administration.

Program(s) Evaluated: Economic Opportunity Loans for Small Businesses (59.003).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Economic Opportunity Act of 1964 (P.L. 88-452).

E05507-006

Program Analysis of the Small Business Administration. Preliminary version. F. Stokes. April 1975.

Agency Sponsoring Evaluation: Planning and Program Evaluation Division, SBA.

Agency Managing Program: Small Business Administration.

Program(s) Evaluated: Program Management, SBA (59.000).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Small Business Act (P.L. 85-536).

E05507-007

An Analysis of Selected Factors of the SBA-Forest Service Timber Set-Aside Program. Alan Odendahl, May 1973.

Agency Sponsoring Evaluation: Planning and Program Evaluation Division, SBA.

Agency Managing Program: Small Business Administration.

Program(s) Evaluated: Timber Set-Aside (10.600); Sales of Government Property (39.000); Sale of Federal Surplus Personal Property (39.007).

Budget Function/Subfunction: Other advancement and regulation of commerce (403); Conservation and land management (302).

Program Authorization: Federal Property and Administrative Services Act of 1949, as amended (P.L. 81-152).

E05507-008

Evaluation of the 8(a) Contract Program (Phases I-III). Robert Dennis. November 1973.

Agency Sponsoring Evaluation: Planning and Program Evaluation Division, SBA.

Agency Managing Program: Small Business Administration.

Program(s) Evaluated: Minority Business Development-Procurement Assistance (59.006).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Economic Opportunity Act of 1964, as amended (P.L. 88-452); Small Business Act, as amended (P.L. 85-536).

E05507-009

Evaluation of the Small Business Institute Program-Spring 1973. Grant Moon. February 1974.

Agency Sponsoring Evaluation: Planning and Program Evaluation Division, SBA.

Agency Managing Program: Small Business Administration

Program(s) Evaluated: Management Assistance to Small Businesses (59.005); Management and Technical Assistance for Disadvantaged Businessmen (59.007); Small Business Institute (59.005).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Small Business Act, as amended (P.L. 85-536; 15 USC 631, 637, 638); Economic Opportunity Act of 1964, as amended (P.L. 88-452).

E05507-010

Evaluation of the Small Business Institute Program-Fall 1972. Grant Moon. February 1974.

Agency Sponsoring Evaluation: Planning and Program Evaluation Division, SBA.

Agency Managing Program: Small Business Administration.

Program(s) Evaluated: Management Assistance to Small Businesses (59.005); Management and Technical Assistance for Disadvantaged Businessmen (59.007); Small Business Institute (59.005).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Small Business Act, as amended (P.L. 85-536; 15 USC 631, 637, 638); Economic Opportunity Act of 1964, as amended (P.L. 88-452).

E05507-011

Study of Minority Borrowers Prior and Subsequent to SBA Assistance. Stan Linchuck. June 1974.

Agency Sponsoring Evaluation: Planning and Program Evaluation Division, SBA.

Agency Managing Program: Small Business Administration.

Program(s) Evaluated: Economic Opportunity Loans for Small Businesses (59.003); Management and Technical Assistance for Disadvantaged Businessmen (59.007); Small Business Loans (59.012).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Small Business Act, as amended (P.L. 85-536); Economic Opportunity Act of 1964, as amended (P.L. 90-222); Economic Opportunity Act of 1964, as amended (P.L. 88-452).

E05590-001

Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims. B-167790. November 5, 1973. 19 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Federal Disaster Assistance Administration, HUD; Small Business Administration.

Program(s) Evaluated: Emergency Loans FmHA (10.404); Economic Injury Disaster Loans (59.002).

Budget Function/Subfunction: Farm income stabilization (351); Other advancement and regulation of commerce (403).

Program Authorization: Consolidated Farm and Rural Development Act, as amended (P.L. 92-419; P.L. 93-237); Disaster Relief Act of 1970 (P.L. 91-606); Small Business Act, as amended (P.L. 85-536).

Public Availability: GAO, Distribution Section.

The report pertains primarily to the administrative problems experienced by the Office of Emergency Preparedness (now the Federal Disaster Assistance Administration) in helping state and local governments recover from the effects of natural disasters. Federal disaster assistance generally was timely and had helped disaster-ravaged communities recover from the physical and economic losses caused by large-scale natural disasters. The manner in which this assistance was provided, however, could have been improved. GAO suggested the congressional committee may wish to question the Federal Disaster Assistance Administration on (1) providing definitive and timely guidance on the eligibility of costs and (2) reducing the detail and documentation required to support a community's application for assistance and its subsequent claim for reimbursement. The committee may also wish to discuss with the Federal Highway Administration the feasibility of initiating assistance on the basis of a state's disaster declaration issued in obtaining a Presidential declaration rather than a separate declaration by a state concurred in by the Federal Highway Administration.

E05590-002

Information on Federal Disaster Relief Programs. B-178415. November 5, 1973. 37 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Federal Disaster Assistance Administration, HUD; Small Business Administration; Farmers Home Administration, USDA.

Program(s) Evaluated: Emergency Loans FmHA (10.404); Economic Injury Disaster Loans (59.002).

Budget Function/Subfunction: Farm income stabilization (351); Other advancement and regulation of commerce (403).

Program Authorization: Consolidated Farm and Rural Development Act, as amended (P.L. 92-419; P.L. 93-237); Disaster Relief Act of 1970 (P.L. 91-606); Small Business Act, as amended (P.L. 85-536).

Public Availability: GAO, Distribution Section.

GAO evaluated the administration of disaster relief programs for aiding individuals, businesses, and state and local governments during the recovery period and found there was a lack of uniformity among Federal Disaster Assistance Programs. For example, Farmers Home Administration loans were subject to a 5-percent rate of interest, but Small Business Administration loans were subject to a 3-percent rate. GAO suggested that the congressional committees may wish to consider: (1) the feasibility of providing for uniformity among Federal disaster loan programs; (2) the feasibility of assigning to one agency disaster responsibilities which are now allocated among various Federal agencies; (3) whether congressional intent regarding the applicability of local codes, specifications, and standards needs to be clarified; (4) the policy of following state laws in the establishment of fair market value, which permitted the payment of pre-flood value for flood-damaged Pennsylvania

nia properties acquired for Federally financed urban renewal projects, resulting in larger payments to these property owners than to other property owners whose property was not acquired for urban renewal projects; and (5) whether relocation payments for disaster-related projects should include the victim's losses.

E05590-003

Limited Success of Federally Financed Minority Businesses in Three Cities. B-149685. November 8, 1973. 88 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Small Business Administration; Office of Minority Business Enterprise, Dept. of Commerce.

Program(s) Evaluated: Economic Opportunity Loans for Small Business (59.003); Management and Technical Assistance for Disadvantaged Businessmen (59.007); Small Business Loans (59.012).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Small Business Act (P.L. 85-536); Economic Opportunity Act of 1964 (P.L. 88-452); Economic Opportunity Amendment of 1967 (P.L. 90-222).

Public Availability: GAO, Distribution Section.

Federally financed minority businesses have had limited success. Of 443 businesses examined, GAO identified about 27 percent as failures, about 25 percent as probable failures, about 31 percent as probable successes, and about 17 percent as undeterminable. Although a lack of managerial capability was the sole reason or contributing reason most noted for failure or probable failure of the businesses, GAO found that: (1) borrowers using loan funds to purchase existing businesses were more successful than borrowers using funds to expand their existing businesses or to start new businesses; (2) unfavorable business locations usually resulted in failure; and (3) businesses with bank loans were more successful than businesses with direct Small Business Administration loans. Inadequate loan servicing has resulted in ineffective and untimely management assistance. As a consequence, the Administration's management assistance has had little effect on business success. The Administration and the Office of Minority Business Enterprise, Department of Commerce, have taken steps to develop loan approval criteria and improve the effectiveness of management assistance programs.

E05590-004

Administration of Small Business Loan Program Under the Occupational Safety and Health Act. P-74-MWD-123. April 4, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Small Business Administration; Occupational Safety and Health Administration, DOL.

Program(s) Evaluated: Occupational Safety and Health Loans (59.018).

Budget Function/Subfunction: Prevention and control of health problems (553); Other advancement and regulation of commerce (403).

Program Authorization: Occupational Safety and Health Act of 1970 (P.L. 91-596); Small Business Act, as amended (P.L. 93-237, sec. 7(b)5; 15 USC 636).

Public Availability: GAO, Distribution Section.

The 1970 act authorized the Small Business Administration to provide financial assistance through loans and loan guarantees to small businesses who would suffer substantial economic injury in complying with the safety and health standards issued under the act. OSHA provides assistance to SBA in determining loan eligibility. GAO reported to the Senate Committee on Labor and Public Welfare that: (1) SBA had not defined the term 'substantial economic injury' nor had it established policies and procedures outlining how loan processing officers should decide if the applicant would suffer economic injury; (2) SBA and OSHA were not following prescribed policies and procedures in processing and approving some loans; and (3) intensified promotional activities by OSHA and SBA increased the number of loans made from 8 in the first 14 months of operation to 56 during the next 14 months. SBA and OSHA agreed to take the corrective actions that GAO suggested. GAO also suggested that the Committee consider amending the act to allow OSHA to make consultative visits to small businesses applying for a loan.

E05590-005

Opportunities to Improve Financial Management and Reduce Costs of Loan Administration. B-114835. July 11, 1973. 12 pp.

Agency Sponsoring Evaluation: Financial and General Management Studies Division, GAO.

Agency Managing Program: Small Business Administration.

Program(s) Evaluated: Program Management, SBA (59.000).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Budget and Accounting Procedures Act of 1950 (P.L. 81-784).

Public Availability: GAO, Distribution Section.

SBA had 242,085 loans valued at over \$3.7 billion outstanding at June 30, 1972. GAO found that SBA had many opportunities to improve financial management and to reduce costs of its loan administration activities.

E05590-006

Questionable Effectiveness of the 8(a) Procurement Program. GGD-75-57. April 16, 1975. 76 pp.

Agency Sponsoring Evaluation: General Government Division, GAO.

Agency Managing Program: Small Business Administration.

Program(s) Evaluated: Minority Business Development-Procurement Assistance (59.006); Procurement Assistance to Small Business (59.009).

Budget Function/Subfunction: Other advancement and regulation of commerce (403).

Program Authorization: Small Business Act, as amended (P.L. 85-536, sec. 8).

Public Availability: GAO, Distribution Section.

This report demonstrates the need for fundamental changes in the Small Business Administration's 8(a) program if the longstanding congressional aim of

assisting disadvantaged businessmen is to be achieved. GAO evaluated the progress of 110 firms and determined that 73 had not reached self-sufficiency. A major reason for this lack of success was SBA's inability to control the supply of contracts from Federal agencies. The report also discusses the extent and effect of sponsorships as well as SBA's requirement that owners of applicant firms be socially or economically disadvantaged to be eligible for the program. The Congress may wish to review what is being done to correct the program's problems when considering future authorization and appropriation requests.

Civil Service Commission

E05900-001

Managerial and Executive Development Needs in the Federal Service and Recommended Actions for Meeting the Needs. October 1972.

Agency Sponsoring Evaluation: Civil Service Commission.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Employee Training (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Government Employees Training Act (P.L. 85-507); Civil Service Act (P.L. 89-554).

E05908-001

Employment of Handicapped Individuals in the Federal Service. Hedwig Oswald. June 1974.

Agency Sponsoring Evaluation: Bureau of Recruiting and Examining, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Employment for the Handicapped (27.005).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554); Rehabilitation Act of 1973 (P.L. 93-112).

E05908-002

Employment Programs for Public Offenders in the Federal Service. Hedwig Oswald. June 1974.

Agency Sponsoring Evaluation: Bureau of Recruiting and Examining, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Employment of Public Offenders (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Civil Service Act (P.L. 89-554).

E05908-003

Evaluation of Regional Certification Practices. Peggy Randall and Jim Sugiyama. August 1974.

Agency Sponsoring Evaluation: Bureau of Recruiting and Examining, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Civil Service Employment (27.001).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

E05908-004

Evaluation of the Federal Summer Intern Program. Annual report. James Poole. November 1974.

Agency Sponsoring Evaluation: Bureau of Recruiting and Examining, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Summer Employment (27.006).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

E05908-005

Progress Report on Pilot Efforts: Federal Junior Fellowship Program. Annual report. James Poole. November 1974.

Agency Sponsoring Evaluation: Bureau of Recruiting and Examining, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Agency Personnel Management (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

E05908-006

Report on the Affirmative Steps Taken to Ensure the Protection from Coercion of Employees Who Fall within the Purview of the Major RIF Retirement Law. J. R. Edman. November 1974.

Agency Sponsoring Evaluation: Bureau of Recruiting and Examining, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Agency Personnel Management (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554); Federal Employees Immediate Retirement Act (P.L. 93-39).

E05908-007

Report to Congress on Major Reductions in Force. J. R. Edman. November 1974.

Agency Sponsoring Evaluation: Bureau of Recruiting and Examining, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Agency Personnel Management (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Federal Employees Immediate Retirement Act (P.L. 93-39); Civil Service Act (P.L. 89-554).

E05908-008

Employment of Handicapped Individuals and Disabled Veterans in the Federal Government. Annual report. Hedwig Oswald. June 1975.

Agency Sponsoring Evaluation: Bureau of Recruiting and Examining, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Employment Assistance for Veterans (27.002); Federal Employment for the Handicapped (27.005).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Veterans Preference Act of 1944 (P.L. 78-359); Civil Service Act (P.L. 89-554); Rehabilitation Act of 1973 (P.L. 93-112); Comprehensive Employment and Training Act of 1973 (P.L. 93-203); Vietnam Era Veterans' Readjustment Assistance Act of 1974 (P.L. 93-508).

E05909-001

Health Benefits Program Audit Report: Aetna of Williamsport, Pennsylvania. January 13, 1973.

Agency Sponsoring Evaluation: Program Review and Audits Office, Bureau of Retirement, Insurance and Occupational Health.

Agency Managing Program: Bureau of Retirement, Insurance and Occupational Health, CSC.

Program(s) Evaluated: Federal Employee Health Benefits (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

E05909-002

Health Benefits Program Audit Report: Blue Cross-Blue Shield of Des Moines, Iowa. April 12, 1973.

Agency Sponsoring Evaluation: Program Review and Audits Office, Bureau of Retirement, Insurance and Occupational Health.

Agency Managing Program: Bureau of Retirement, Insurance and Occupational Health, CSC.

Program(s) Evaluated: Federal Employee Health Benefits (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

E05911-001

Report of the Study of Classification Accuracy in GS Grade Levels 12-15. December 1974.

Agency Sponsoring Evaluation: Bureau of Personnel Management Evaluation, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal White-Collar Position Classification (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

E05913-001

Evaluation of the Federal Executive Development Program Assessment Center. Dale R. Baker and Charles G. Martin. September 1974.

Agency Sponsoring Evaluation: Bureau of Policies and Standards, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Employee Training (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Government Employees Training Act (P.L. 85-507); Civil Service Act (P.L. 89-554).

E05913-002

Productivity Enhancement Efforts in the Federal Government: a report of survey results, program report evaluation, and implications for research. William B. Owen and Paul R. Croll. November 1974.

Agency Sponsoring Evaluation: Bureau of Policies and Standards, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Productivity Enhancement (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

E05913-003

Computer-Assisted Testing: calibration and evaluation of the verbal ability bank. Vern W. Urry. December 1974.

Agency Sponsoring Evaluation: Bureau of Policies and Standards, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Civil Service Employment (27.001).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

E05914-001

Audit of Intergovernmental Personnel Act Grant to the City of Des Moines. December 1972.

Agency Sponsoring Evaluation: Office of Management Analysis and Audits, CSC.

Agency Managing Program: Bureau of Intergovernmental Personnel Programs, CSC.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05914-002

Audit of Intergovernmental Personnel Act Grant to the University of Maine. May 1973.

Agency Sponsoring Evaluation: Office of Management Analysis and Audits, CSC.

Agency Managing Program: Bureau of Intergovernmental Personnel Programs, CSC.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05914-003

Audit of Intergovernmental Personnel Act Grant to the State of Colorado. August 1973.

Agency Sponsoring Evaluation: Office of Management Analysis and Audits, CSC.

Agency Managing Program: Bureau of Intergovernmental Personnel Programs, CSC.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05914-004

Audit of Intergovernmental Personnel Act Grant to the State of Oklahoma. January 1974.

Agency Sponsoring Evaluation: Office of Management Analysis and Audits, CSC.

Agency Managing Program: Bureau of Intergovernmental Personnel Programs, CSC.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05914-005

Audit of Intergovernmental Personnel Act Grant to Cook County, Illinois. March 1974.

Agency Sponsoring Evaluation: Office of Management Analysis and Audits, CSC.

Agency Managing Program: Bureau of Intergovernmental Personnel Programs, CSC.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05914-006

Audit of Intergovernmental Personnel Act Grant to the City of Chicago. April 1974.

Agency Sponsoring Evaluation: Office of Management Analysis and Audits, CSC.

Agency Managing Program: Bureau of Intergovernmental Personnel Programs, CSC.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05914-007

Audit of Intergovernmental Personnel Act Grant to the Kansas League of Municipalities. April 1974.

Agency Sponsoring Evaluation: Office of Management Analysis and Audits, CSC.

Agency Managing Program: Bureau of Intergovernmental Personnel Programs, CSC.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05914-008

Audit of Intergovernmental Personnel Act Grants to the State of North Carolina. May 1975.

Agency Sponsoring Evaluation: Office of Management Analysis and Audits, CSC.

Agency Managing Program: Bureau of Intergovernmental Personnel Programs, CSC.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05916-001

Quality Assurance Program for Reimbursable Training. December 1972.

Agency Sponsoring Evaluation: Bureau of Training, CSC.
Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Employee Training (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Government Employees Training Act (P.L. 85-507); Civil Service Act (P.L. 89-554).

E05916-002

Training Leadership Study. December 1973.

Agency Sponsoring Evaluation: Bureau of Training, CSC.
Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Employee Training (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Government Employees Training Act (P.L. 85-507); Civil Service Act (P.L. 89-554).

E05916-003

Disincentives to Effective Employee Training and Development. December 1973.

Agency Sponsoring Evaluation: Bureau of Training, CSC.
Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Employee Training (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Government Employees Training Act (P.L. 89-507); Civil Service Act (P.L. 89-554).

E05916-004

Training Value Model I. January 1974.

Agency Sponsoring Evaluation: Bureau of Training, CSC.
Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Employee Training (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Government Employees Training Act (P.L. 85-507); Civil Service Act (P.L. 89-554).

E05916-005

Employee Training in the Federal Service, Fiscal Year 1973. January 1974.

Agency Sponsoring Evaluation: Bureau of Training, CSC.
Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Employee Training (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Government Employees Training Act (P.L. 85-507); Civil Service Act (P.L. 89-554).

E05916-006

Assessing the Training Needs of Personnel Specialists. September 1974.

Agency Sponsoring Evaluation: Bureau of Training, CSC.
Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Federal Employee Training (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Government Employees Training Act (P.L. 85-507); Civil Service Act (P.L. 89-554).

E05917-001

The IPA Title IV Intergovernmental Assignment Program, 1973 Report. October 1973.

Agency Sponsoring Evaluation: Bureau of Intergovernmental Personnel Programs, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05917-002

Guidelines for Qualitative Evaluation of Personnel Operations in State and Local Governments. January 1974.

Agency Sponsoring Evaluation: Bureau of Intergovernmental Personnel Programs, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05917-003

IPA Impact. May 1974.

Agency Sponsoring Evaluation: Bureau of Intergovernmental Personnel Programs, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05917-004

The IPA Intergovernmental Assignment Programs. October 1974.

Agency Sponsoring Evaluation: Bureau of Intergovernmental Personnel Programs, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05917-005

Guidelines for Evaluation of Employment Practices Under the CETA Act. July 1974.

Agency Sponsoring Evaluation: Bureau of Intergovernmental Personnel Programs, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Comprehensive Employment and Training Programs (17.232).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Comprehensive Employment and Training Act of 1973 (P.L. 93-203).

E05917-006

Technical Assistance Impact Study. Frederick W. Zuercher. August 1974.

Agency Sponsoring Evaluation: Bureau of Intergovernmental Personnel Programs, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05917-007

Training Can Make a Difference. November 1974.

Agency Sponsoring Evaluation: Bureau of Intergovernmental Personnel Programs, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05917-008

The IPA Results. April 1975.

Agency Sponsoring Evaluation: Bureau of Intergovernmental Personnel Programs, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05917-009

State and Local Personnel Systems. Annual statistical report. June 1975.

Agency Sponsoring Evaluation: Bureau of Intergovernmental Personnel Programs, CSC.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Intergovernmental Personnel Grants (27.012).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

E05990-001

Information on the Federal Program for Training Physician's Assistants. B-140300. October 10, 1973.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Civil Service Employment (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

E05990-002

Improving the Effectiveness of the Government Employee's Incentive Awards Program. B-166802. November 1, 1973.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Employee Incentive Awards (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

GAO reported the results of its review of the Incentive Awards Program for Federal employees. During fiscal year 1972, the Government granted over \$33 million for 133,731 performance awards (lump-sum special achievement awards and quality increases). About \$4.6 million was granted for 56,606 employee

suggestions. The Civil Service Commission reported measurable benefits of \$315 million related to special achievements and adopted suggestions; benefits related to quality increases were not measured. Performance awards were not as effective as they could have been. Of more than 1,900 randomly selected employees, 56 percent indicated the program had not motivated them to do a better job, and 67 percent believed favoritism was shown in granting cash performance awards. It appeared that this lack of confidence occurred because: cash performance awards had been used inconsistently; program administrators were confused about the circumstances under which each type of award could be granted; many employees were not told why specific awards were granted; and about one-fourth of Federal civilian employees were not eligible for quality increase awards. Employees generally had more confidence in suggestion awards than in performance awards. However, employee participation in the suggestion portion of the program could have been increased had it been more actively promoted and if suggestions had been processed promptly. GAO recommended to the Chairman, Civil Service Commission, a number of actions that would improve the operation and management of the program and increase its credibility among Federal employees. The Commission responded promptly to implement the recommendations.

E05990-003

Survey of Protective Measures to Safeguard Information in Personnel Files Against Unauthorized Disclosure and Use. B-179810. July 5, 1974.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Agency Personnel Security (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Privacy Act of 1974 (P.L. 93-579); Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

E05990-004

Assessment of Process of Translating Private Enterprise Salary Data into Federal White Collar Salary Adjustments. B-167266. July 12, 1974.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Employee Compensation (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Federal Pay Comparability Act of 1970 (P.L. 91-656); Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

E05990-005

Improvements Needed in Examining and Selecting Applicants for Federal Employment. P-74-FPCD-57. July 22, 1974.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Civil Service Employment (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

The reliability of CSC's unassembled examinations needs improvement so that applicants' scores can be determined more consistently. At GAO's request, CSC rerated several hundred applications selected at random from CSC files. Rerated scores varied an average of about 3.5 to 5.5 points from original scores. CSC procedures should provide: applicants with a reasonable opportunity to be considered for employment; agency managements with greater opportunities to identify and select well-qualified applicants; and safeguards to insure compliance with merit principles. Each agency should be permitted to choose the selection procedures best suited to its particular needs--as in TVA and in AEC.

E05990-006

Federal Retirement System--Key Issues, Financial Data, and Benefit Provisions. P-74-FPCD-93. July 30, 1974.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Employee Retirement (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

There is no overall Federal retirement policy to provide objectives and principles to guide the development and improvement of the Government's 10 retirement systems, covering some 5.9 million civilian and military personnel. No uniform practices exist on financing Federal retirement systems. Some are financed on a contributory basis, some provide for fully funding benefits as they accrue, some provide for partial funding, some are unfunded. GAO suggests that the appropriate Committees of the Congress hold hearings to consider in depth the issues raised in this report. The principal purpose should be to develop legislation that would establish an overall Federal policy to guide development and improvement of government retirement systems and a centralized mechanism for monitoring development, interrelationship, and cost of Federal retirement systems and to improve financial data reporting.

E05990-007

Employment Opportunities in the Federal Government for the Physically Handicapped. P-75-FPCD-74. September 16, 1974.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Employment for the Handicapped (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554); Rehabilitation Act of 1973 (P.L. 93-112).

Public Availability: GAO, Distribution Section.

More than 18 million U.S. adults have physical handicaps severe enough to limit in some way their ability to hold a job. GAO wanted to know how the Federal government was providing employment opportunities for, and serving as an exemplary employer of, the handicapped. The overall Federal program could be strengthened. Changes should be made in planning, managing, and implementing the Selective Placement Program.

E05990-008

Agencies' Personnel Management Can be Enhanced by Improving the Evaluation Process. P-75-FPCD-95. September 17, 1974.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Agency Personnel Management (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

The Civil Service Commission has made a good start in establishing standards and goals for the evaluation process. The Commission's approach and certain practices in the past have detracted from the effectiveness of its evaluation because of the strong emphasis placed on obtaining agency cooperation and participation in its reviews, and several weaknesses in its reporting practices. GAO noted that agencies have done less than they should to develop acceptable personnel management evaluation systems and the Commission has spent relatively little effort to improve agencies' systems.

E05990-009

Assistance Programs for Displaced Federal Civilian Employees. P-74-FPCD-90. October 18, 1974.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Agency Personnel Management (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554); Manpower Development and Training Act (P.L. 87-415).

Public Availability: GAO, Distribution Section.

Although four separate programs had been established to help these employees obtain other employment and retrain for new jobs, some programs provided little assistance. Some displaced employees felt the assistance programs were inadequate. A single program, incorporating the best features of the various programs and coordinated by the Civil Service Commission, would provide more effective assistance with the resources available than several separate programs. GAO recommended that the Commission, with the cooperation of Defense and Labor, study the feasibility of establishing a single program for assisting displaced Federal civilian employees.

E05990-010

Federal Pre-Retirement Counseling Programs. B-180257. November 13, 1974.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Employee Retirement (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

E05990-011

Personnel Security Investigations; Inconsistent Standards and Procedures. FPCD-75-113. December 2, 1974.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Agency Personnel Security (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Omnibus Crime Control Act (P.L. 91-617); Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

There is a need to consider centralizing Federal agencies' civilian personnel investigating to insure uniformity of scope of investigations and a more economical and efficient investigative program. Currently, the Civil Service Commission and four agencies conduct personnel security investigations. Employing agencies differ greatly as to who will be investigated, the scope of the investigation, and how results will be used.

E05990-012

Method Used to Accomplish Goal of 40,000 Reduction in Civilian Workforce During Fiscal 1975. B-180257. January 17, 1975.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Agency Personnel Management (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

E05990-013

Review of the Management of the President's Executive Interchange Program. B-181013. January 20, 1975.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: President's Executive Interchange Program (27.000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Executive Order 11541, January 19, 1969.

Public Availability: GAO, Distribution Section.

E05990-014

Survey of the Federal Personnel Management Information System. B-179810. February 10, 1975.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Agency Personnel Management (27,000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

E05990-015

Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970. FPCD-75-85. March 7, 1975.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Intergovernmental Personnel Grants (27,000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Intergovernmental Personnel Act of 1970 (P.L. 91-648).

Public Availability: GAO, Distribution Section.

Selection processes for assignments under this Act vary among agencies and involve a three-way agreement with the employee, the Federal agency, and the state or local government. Although many assignments were arranged properly, others were made to: resolve personality conflicts within a Federal agency, remove employees from specific positions, satisfy personal considerations, ease out employees nearing retirement, or meet manpower planning (ceiling) objectives. Disparity between salaries of state and local government employees and often higher salaries of Federal employees doing similar work impedes greater use of the mobility program. If the Congress acts on recently proposed amendments to the Act, supplementing salaries of state and local government employees, it should alleviate this problem.

E05990-016

Survey of the Civil Service Commission's Federal Automated Career System. B-179810. April 18, 1975.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Agency Personnel Management (27,000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

E05990-017

Upward Mobility Programs in the Federal Government Should Be Made More Effective. FPCD-75-84. April 29, 1975.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Employee Upward Mobility (27,000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Equal Employment Opportunity Act of 1972 (P.L. 92-261); Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

This report discloses weaknesses in the management of upward mobility programs and calls for the Civil Service Commission and all Federal Departments and agencies to provide needed upward mobility opportunities to all employees as required by Executive Order 11478 and the Equal Employment Opportunity Act of 1972. GAO's analysis strongly indicated that: only limited upward mobility had been attained; ten of the agencies had not initiated significant efforts; in the nine agencies that had made significant efforts, programs were structured poorly and ineffectively; and some agencies may have violated chapter 41, title 5, of the United States Code, concerning training and education of Federal employees.

E05990-018

Survey of the Civil Service Commission's Executive Assignment System. B-101892. May 9, 1975.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Agency Personnel Management (27,000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

E05990-019

Improving the Pay Determination Process for Federal Blue-Collar Employees. FPCD-75-122. June 3, 1975. 66 pp.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Employee Compensation (27,000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Federal Pay Comparability Act of 1970 (P.L. 91-656); Civil Service Act (P.L. 89-554).

Public Availability: GAO, Distribution Section.

Federal blue-collar wage rates for about 475,000 employees often exceed local prevailing rates and put the government at a competitive advantage in the labor market. Annual surveys are made of private industry wages in 137 geographic areas. To insure that data are

representative of local prevailing wages, Congress may wish to include state and local governments in the survey process. The Civil Service Commission should also expand wage surveys to cover the broadest feasible universe of private sector establishments; and reassess periodically and adjust as necessary wage and survey area boundaries.

E05990-020

Effectiveness of the Requirement for Supervisory Training. B-70896. June 12, 1975.

Agency Sponsoring Evaluation: Federal Personnel and Compensation Division, GAO.

Agency Managing Program: Civil Service Commission.

Program(s) Evaluated: Federal Employee Training (27,000).

Budget Function/Subfunction: Central personnel management (805).

Program Authorization: Civil Service Act (P.L. 89-554); Government Employee Training Act (P.L. 85-507).

Public Availability: GAO, Distribution Section.

Energy Research and Development Administration

E06086-001

Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area. B-164105. April 2, 1974. 83 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Atomic Energy Commission; Department of the Interior; Federal Power Commission.

Program(s) Evaluated: Plowshare Program (24,000).

Budget Function/Subfunction: Energy (305).

Program Authorization: Atomic Energy Act of 1954 (P.L. 83-703; 42 USC 2051).

Public Availability: GAO, Distribution Section.

The report covers economic, technical, and environmental aspects of nuclear stimulation, massive hydraulic, and chemical explosive techniques. It provides information on (1) techniques thought capable of stimulating the recovery of significant amounts of gas in tight geological formations; and (2) problems affecting the development and use of other energy resources in the Rocky Mountain area. The report should help the Congress in considering the funding levels and priorities for the Federal programs designed to develop these gas stimulation techniques. It should also help in Congressional deliberations on the Nation's energy problems.

Nuclear Regulatory Commission

E06290-001

Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes. B-164105. August 18, 1972. 93 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Atomic Energy Commission.

Program(s) Evaluated: Program Management, AEC (24,000).

Budget Function/Subfunction: Energy (305).

Program Authorization: Atomic Energy Act of 1954, as amended (P.L. 83-703; 42 USC 2011).

Public Availability: GAO, Distribution Section.

AEC issues licenses to industrial, commercial, medical, and educational users to insure that they possess, use, and dispose of radioactive materials so as to protect public health and safety. However, GAO reported that AEC had not been successful in having some licensees promptly correct questionable or potentially hazardous operating practices. It had no written criteria as to when strong enforcement actions should be taken and had taken such actions infrequently. AEC suspended licensees' operations only when the threat to the public was immediate or when a significant accident occurred. Medical licensees and AEC inspectors did not have to report accidental overexposures of patients to radiation. Further, suppliers of radioactive materials had no specific requirement for how they were to verify that customers were authorized to receive the quantities or types of material shipped. AEC generally agreed with GAO's recommendations and stated that it had begun improving its regulation of users of radioactive materials. It said, however, that the recommendation on reporting wrong doses or overdoses was under study and would be reviewed by its Advisory Committee on the Medical Uses of Isotopes.

E06290-002

Opportunities for Improving AEC's Administration of Agreements with States Regulating Users of Radioactive Materials. B-155352. June 11, 1973. 80 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Atomic Energy Commission.

Program(s) Evaluated: Program Management, AEC (24,000).

Budget Function/Subfunction: Energy (305).

Program Authorization: Atomic Energy Act of 1954, as amended (P.L. 83-703; 42 USC 2011).

Public Availability: GAO, Distribution Section.

Under the Atomic Energy Act, AEC may, by formal agreement, relinquish to a state certain of its regulatory authority over radioactive materials when it determines that the state's program is compatible with AEC's program for regulating these materials and is adequate to protect public health and safety. GAO, at the request of the Chairman, Joint Committee on Atomic Energy, reported that the states were making concerted efforts to exercise strong radiation control programs and that AEC was providing assistance to, and cooperating with, the states in these efforts. However, AEC needed to strengthen its administration of the state programs in a number of areas. AEC agreed with our recommendations and took or agreed to take actions to develop and implement an on-the-job training program for state personnel, provide more guidance to the states and AEC's state program reviewers, and improve its assistance to the states.

Opportunity for AEC to Improve Its Procedures for Making Sure that Containers Used for Transporting Radioactive Materials Are Safe. B-164105. July 31, 1973. 32 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Atomic Energy Commission.

Program(s) Evaluated: Program Management, AEC (24.000).

Budget Function/Subfunction: Energy (305).

Program Authorization: Atomic Energy Act of 1954, as amended (P.L. 83-703; 42 USC 2011); Department of Transportation Act (P.L. 89-670; 49 USC 1651).

Public Availability: GAO, Distribution Section.

GAO reported that AEC had not defined the (1) scope of its reviews of container design, (2) extent of documentation required to support its decisions, and (3) type of expertise required by its review staffs. As a result, the review scope, support documentation, and expertise of the review staff varied significantly among the various AEC organizations. GAO made recommendations designed to insure that (1) container designs meet AEC's performance standards, (2) the fabrication of containers conforms with the approved designs, and (3) all contamination problems resulting from the transportation of radioactive materials that might warrant an AEC investigation are reported. AEC established new regulations and procedures to carry out the recommendations.

E06290-004

Improvements Needed in the Program for the Protection of Special Nuclear Material. B-164105. November 7, 1973. 52 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Atomic Energy Commission.

Program(s) Evaluated: Program Management, AEC (24.000).

Budget Function/Subfunction: Atomic energy defense activities (053); Energy (305).

Program Authorization: Atomic Energy Act of 1954, as amended (P.L. 83-703; 42 USC 2011).

Public Availability: GAO, Distribution Section.

Potentially dangerous consequences could result from a single theft from, or loss by, authorized possessors of fissionable uranium or plutonium, GAO reported in a study on AEC's program for the protection of this material in hands of licensees. At two of three plants operated by licensee/contractors, GAO found conditions which limited their capability for preventing, detecting, and effectively responding to a possible diversion or diversion attempt. AEC generally agreed with GAO's recommendations for improving the inplant physical protection of special nuclear material and said that it had taken or was taking actions to carry them out.

E06290-005

Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems. B-164105. April 12, 1974. 19 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Atomic Energy Commission.

Program(s) Evaluated: Program Management, AEC (24.000).

Budget Function/Subfunction: Atomic energy defense activities (053); Energy (305).

Program Authorization: Atomic Energy Act of 1954, as amended (P.L. 83-703; 42 USC 2011).

Public Availability: GAO, Distribution Section.

GAO said protection of 'special nuclear materials' is essential because of the potentially dangerous consequences from a diversion or attempted diversion of these materials. GAO's report stressed two points: AEC has not established a government-operated transportation system for plutonium and enriched uranium but relies on private shippers; and AEC lacks authority to determine trustworthiness of the vehicle drivers and escorting guards of private companies which handle fissionable materials in transit. Shortly after GAO began its review, AEC took action to strengthen the protection of special nuclear material in transit. If AEC finds that these new protection methods are inadequate, it should, as recommended by an AEC study group, consider using a government-operated or government-controlled system for shipping special nuclear material. GAO made no recommendations to AEC but suggested that the Joint Committee on Atomic Energy might wish to consider amending the Atomic Energy Act to give AEC the authority to predetermine the trustworthiness of drivers and guards.

Veterans Administration

E06504-001

Review of Veterans Administration Program Evaluation: Department of Veterans Benefits. July 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, VA.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Program Management (64.000).

Budget Function/Subfunction: Income security for veterans (701); Veterans education, training, and rehabilitation (702); Veterans housing (704).

Program Authorization: Veterans' Benefits Act (P.L. 85-857); Veterans Readjustment Benefits Act of 1966, as amended (P.L. 89-358).

E06504-002

Study of the Beneficiary Identification and Records Locator Subsystem (BIRLS). 1975.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, VA.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Program Management (64.000).

Budget Function/Subfunction: Income security for veterans (701); Veterans education, training, and rehabilitation (702).

Program Authorization: Veterans' Benefits Act (P.L. 85-857); Veterans Readjustment Benefits Act of 1966, as amended (P.L. 89-358).

E06504-003

Cost Study Pilot/Target Systems Test. January 1975.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, VA.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Compensation (64.102; 64.109; 64.110); Pension (64.104; 64.105); Education (64.111; 64.116; 64.117).

Budget Function/Subfunction: Income security for veterans (701); Veterans education, training, and rehabilitation (702).

Program Authorization: Veterans' Benefits Act (P.L. 85-857); Veterans Readjustment Benefits Act of 1966, as amended (P.L. 89-358).

E06504-004

Organization: Placement and Functions of the National Cemetery System in the VA. January 1975.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, VA.

Agency Managing Program: National Cemetery System, VA.

Program(s) Evaluated: National Cemeteries (64.201).

Budget Function/Subfunction: Other veterans benefits and services (705).

Program Authorization: National Cemeteries Act of 1973 (P.L. 93-43).

E06504-005

Review of the On-Campus Veterans Student Services Program. February 1975.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, VA.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Veterans Educational Assistance (64.111).

Budget Function/Subfunction: Veterans education, training, and rehabilitation (702).

Program Authorization: Veterans Readjustment Benefits Act of 1966, as amended (P.L. 89-358; 38 USC 1661).

E06504-006

Establishment of National Cemetery System in the Veterans Administration. July 1973.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, VA.

Agency Managing Program: Army Memorial Affairs Agency (AMAA), VA.

Program(s) Evaluated: National Cemeteries (64.201).

Budget Function/Subfunction: Other veterans benefits and services (705).

Program Authorization: National Cemeteries Act of 1973 (P.L. 93-43).

E06504-007

Review of Education Certification Program. February 1974.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, VA.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Veterans Educational Assistance (64.111).

Budget Function/Subfunction: Veterans education, training, and rehabilitation (702).

Program Authorization: Veterans Readjustment Benefits Act of 1966, as amended (P.L. 89-358; 38 USC 1661).

E06504-008

Cost Analysis: Present Compensation, Pension and Education Programs. May 1973.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, VA.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Compensation (64.102; 64.109; 64.110); Pension (64.104; 64.105); Education (64.111; 64.116; 64.117).

Budget Function/Subfunction: Income security for veterans (701); Veterans education, training, and rehabilitation (702).

Program Authorization: Veterans' Benefits Act (P.L. 85-857); Veterans Readjustment Benefits Act of 1966, as amended (P.L. 89-358).

E06504-009

Analysis of the Predischarge Education Program. May 1973.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, VA.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Veterans Educational Assistance (64.111).

Budget Function/Subfunction: Veterans education, training, and rehabilitation (702).

Program Authorization: Veterans Readjustment Benefits Act of 1966, as amended (P.L. 89-358; 38 USC 1661).

E06504-010

Development of Long-Range Findings Plans for VA-ADP Activities. June 1973.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, VA.

Agency Managing Program: Department of Data Management, VA.

Program(s) Evaluated: Data Management (64.000).

Budget Function/Subfunction: Other veterans benefits and services (705).

E06504-011

Study of the Agency's Guardianship Role. June 1973.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, VA.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Compensation (64.102; 64.109; 64.110); Pension (64.104; 64.105).

Budget Function/Subfunction: Income security for veterans (701).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

E06504-012

Cost Benefit Study: Optical Character Recognition Equipment and Proposed System. June 1973.

Agency Sponsoring Evaluation: Office of Planning and Evaluation, VA.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Veterans Housing; Guaranteed and Insured Loans (64.114).

Budget Function/Subfunction: Veterans housing (704).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

E06504-013

Centralized Mail-Out Prescription Systems. September 1974.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Prescription Service (64.012).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857; P.L. 91-500; P.L. 93-82).

E06504-014

Outpatient Sub-Clinic in Massachusetts. October 1974.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Outpatient Care (64.011).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857; P.L. 88-450; P.L. 93-82).

E06504-015

Title VI Civil Rights Enforcement Program. March 1975.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Veterans Administration.

Program(s) Evaluated: Civil Rights Enforcement (64.000).

Budget Function/Subfunction: Federal law enforcement and prosecution (751).

E06504-016

Architect/Engineer Firm Selection Process. 1975.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Office of Construction, VA.

Program(s) Evaluated: Hospital Construction (64.000).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act of 1957 (P.L. 85-56).

E06504-017

Beneficiary Identification Records Locator Subsystem. 1973.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Department of Data Management, VA; Department of Veterans Benefits, VA.

Program(s) Evaluated: Data Management (64.000); Veterans Benefits (64.000).

Budget Function/Subfunction: Income security for veterans (701); Veterans education, training, and rehabilitation (702); Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

E06504-018

ADP Laboratory System. 1973.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans Benefits Act (P.L. 85-857).

E06504-019

Small Data Processing Centers. 1973.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Department of Data Management, VA.

Program(s) Evaluated: Data Management (64.000).

Budget Function/Subfunction: Other veterans benefits and services (705).

E06504-020

Regional Patient Data Base. 1973.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

E06504-021

Reorganization: Department of Medicine and Surgery. 1973.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Program Management (64.000).
Budget Function/Subfunction: Veterans benefits and services (700).

E06504-022

Transfer of Insurance Functions. 1973.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Program Management (64.000); Life Insurance for Veterans (64.103).

Budget Function/Subfunction: Income security for veterans (701).

Program Authorization: Veterans' Benefits Act (P.L. 85-857; P.L. 85-896; P.L. 92-95; P.L. 92-193).

E06504-023

Work Simplification Systems. 1973.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Veterans Administration.

Program(s) Evaluated: Program Management (64.000).

Budget Function/Subfunction: Veterans benefits and services (700).

E06504-024

Domiciliary Program. 1973.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Domiciliary Care (64.008).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Executive Order 5398, July 21, 1930 (38 USC 610).

E06504-025

Communication and Inquiries Function: Department of Medicine and Surgery. 1974.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Program Management (64.000).

Budget Function/Subfunction: Veterans benefits and services (700).

E06504-026

VA Construction Project Approval: Processes and Budgetary Practices. 1974.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Office of Construction, VA.

Program(s) Evaluated: Hospital Construction (64.000).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act of 1957 (P.L. 85-56).

E06504-027

Organization: Education and Rehabilitation Service. 1974.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Program Management (64.000).

Budget Function/Subfunction: Veterans benefits and services (700).

E06504-028

Medical Administrative Processes. 1974.

Agency Sponsoring Evaluation: Internal Audit Service, VA.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

E06504-029

Department of Medicine and Surgery Survey Report: VA Hospital, Palo Alto, California. January 1973.

Agency Sponsoring Evaluation: Department of Medicine and Surgery, VA.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Program Management (64.000).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

E06504-030

Systematic External Review Program Survey Report: Veterans Administration Center, Bay Pines, Florida. April 1974.

Agency Sponsoring Evaluation: Office of Policy and Planning, Department of Medicine and Surgery.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Program Management (64.000).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act, as amended (P.L. 85-857).

E06590-003

Veterans Assistance Program in the Philippines Should Be Improved. MWD-76-6. August 4, 1975.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Veterans Administration.

Program(s) Evaluated: Veterans Hospitalization (64.009); Pension for Non-Service Connected Disability for Veterans (64.104); Veterans Compensation for Service Connected Disability (64.109); Veterans Educational Assistance (64.111).

Budget Function/Subfunction: Veterans education, training, and rehabilitation (702); Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

Public Availability: GAO, Distribution Section.

The Veterans Administration needs to revise its program in the Philippines. The average compensation and pension payment to U.S. and Filipino veterans is several times greater than the average annual wage in the Philippines. These differences in the payments existing in the Philippines may disrupt the socio-economic structure, to some degree, throughout the islands, and demonstrate the need to reevaluate the VA program.

E06593-001

Information on the Selection of Independent Architect Engineers by the Veterans Administration. B-152306. September 13, 1972.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Construction, VA.

Program(s) Evaluated: Hospital Construction (64.000).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act of 1957 (P.L. 85-56).

E06593-003

The Implementation of Value Engineering. B-163762. August 15, 1973.

Agency Sponsoring Evaluation: Logistics and Communications Division, GAO.

Agency Managing Program: Office of Construction, VA.

Program(s) Evaluated: Hospital Construction (64.000).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act of 1957 (P.L. 85-56).

E06593-004

Need for Increased Use of Value Engineering: A Proven Cost Saving Technique in Federal Construction. B-163762. May 6, 1974.

Agency Sponsoring Evaluation: Logistics and Communications Division, GAO.

Agency Managing Program: Office of Construction, VA.
Program(s) Evaluated: Hospital Construction (64.000).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act of 1957 (P.L. 85-56).

Public Availability: GAO, Distribution Section.

E06593-005

Monitoring of Demographic Study by VA of Loma Linda, Calif. B-133044. July 19, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Construction, VA.

Program(s) Evaluated: Hospital Construction (64.000).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act of 1957 (P.L. 85-56).

Public Availability: GAO, Distribution Section.

E06593-007

Contracts With Architect-Engineering Firms. MWD-75-100. June 20, 1975.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Construction, VA.

Program(s) Evaluated: Hospital Construction (64.000).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act of 1957 (P.L. 85-56).

Public Availability: GAO, Distribution Section.

E06593-008

Survey of VA Post Activation Evaluation Program. June 30, 1975.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Office of Construction, VA.

Program(s) Evaluated: Hospital Construction (64.000).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act of 1957 (P.L. 85-56).

Public Availability: GAO, Distribution Section.

E06595-001

Program for Providing Nursing Home Care to Veterans in State-Operated Nursing Homes. B-167656. September 14, 1972.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans State Nursing Home Care (64.015).

Budget Function/Subfunction: Hospital and medical care for veterans (703); Other veterans benefits and services (705).

Program Authorization: Veterans Nursing Home Care (P.L. 88-450; P.L. 93-82).

Public Availability: GAO, Distribution Section.

E06595-003

Potential for Using Alternative Dietary Systems in Veterans Administration Hospitals. December 14, 1972.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

Public Availability: GAO, Distribution Section.

E06595-004

Methods for Estimating Hospital and Nursing Home Bed Requirements in the San Francisco Area. B-167656. February 20, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

Public Availability: GAO, Distribution Section.

E06595-005

Administration of Special Provision in Public Law 92-383, the 1973 Appropriations Act. B-160299. April 3, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857; P.L. 92-383).

Public Availability: GAO, Distribution Section.

E06595-006

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans. B-167656. April 11, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

Public Availability: GAO, Distribution Section.

VA spent about \$311 million to operate outpatient clinics in fiscal year 1971. GAO reported that, at six hospitals visited, about 146,000, or 15 percent, of the 1 million hospital days furnished patients during fiscal year 1971 could have been avoided through better use of outpatient services. Productivity and efficiency of dental clinic operations could also have been improved. Less than 10 percent of the patients admitted to the hospitals received outpatient care for diagnostic testing before hospitalization; many patients could have been discharged earlier if greater use was made of outpatient facilities or if nursing care bed facilities had been available. Poor planning and coordination of hospital admissions with available surgical facilities unnecessarily lengthened the hospitalization. Dental clinics would have been more productive if more parodontal personnel and more than one chair per dentist were used where possible. The number of veterans referred to private dentists could also be reduced if dental resources among neighboring VA stations were better coordinated. VA agreed generally with GAO's recommendations for improving out-patient services and advised GAO that it had taken or would take the corrective actions needed.

E06595-007

Information on Professional Fees and Other Compensation Paid for Services of Selected Physicians Employed at the Veterans Administration Hospital in Miami, Florida. B-166866. June 1, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

Public Availability: GAO, Distribution Section.

E06595-009

Review of Veterans Administration Closed Circuit Television System. B-164497(3). September 14, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

Public Availability: GAO, Distribution Section.

E06595-010

Information Concerning the Potential Transfer of the St. Albans Naval Hospital to the Veterans Administration. B-133044. September 27, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.O. 85-857).

Public Availability: GAO, Distribution Section.

E06595-011

Improvements Needed in Certain Hospitals' Laboratory Service Activities. B-133044. November 13, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857; 38 USC Ch. 17).

Public Availability: GAO, Distribution Section.

GAO reported that, although users were generally satisfied with test results, VA's laboratory program providing services in support of health care could be improved by (1) coordinating blood bank activities with the military to take advantage of available volunteer blood when needed, (2) determining present electron microscope requirements on the basis of program objectives for diagnostic and training applications, and (3) developing a method for informing hospitals of tests available throughout the VA system in its general reference laboratories. The Administrator agreed with the recommendations and stated that VA would act to increase program effectiveness.

E06595-012

Improvements Needed in Program to Contact and Assist Recently Discharged Veterans. B-114859. January 7, 1974. 18 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Veterans Assistance Centers (64.107); Veterans Information and Assistance (64.115).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans Education and Training Amendments Act of 1970 (P.L. 91-219; 38 USC 240); Veterans' Benefits Act (P.L. 85-857); (38 USC 212, 230, 231, 240-243).

Public Availability: GAO, Distribution Section.

GAO reported to the Administrator on the way VA had carried out its mandate to assist recently discharged veterans, especially the educationally disadvantaged.

GAO concluded that the results of VA's contact and assistance efforts could be improved by more fully coordinating these efforts with those of other Federal, state, and local agencies and with veterans organizations.

E06595-013

Purchase of Foreign-Made Medical Supplies and Equipment by the Veterans Administration. B-164151. February 8, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefit Act (P.L. 85-857; 38 USC Ch. 17).

Public Availability: GAO, Distribution Section.

E06595-015

Reuse of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals. B-133044. March 14, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

Public Availability: GAO, Distribution Section.

GAO reported to the Secretary of Defense that some military hospitals were reusing disposable catheters and guidewires in vascular studies and that DOD should establish guidelines concerning the appropriate use of these devices. In April 1974 the Assistant Secretary of Defense for Health and Environment issued a policy that such devices should be used only once and then discarded to avoid potential infections and other health problems. A similar report was sent to the Administrator of VA who took immediate action to insure that the practice of reusing disposable catheters and guidewires would be discontinued.

E06595-016

Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals. B-133044. March 20, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

Public Availability: GAO, Distribution Section.

GAO pointed out that spinal cord injury patients, particularly servicemen, were not being transferred to VA specialized treatment centers as soon as possible or within 30 days, to reduce medical complications. Spinal cord injury patients were detained at military hospitals for administrative processing and VA had done little to advise non-Federal hospital officials on availability or need to promptly obtain specialized care. Records of 177 patients admitted to 4 spinal cord treatment centers showed that only 24 arrived at the centers within 30 days of injury. GAO recommended that the VA Administrator (1) require VA hospitals to justify in writing all cases taking more than 30 days to transfer patients, (2) work with the military to expedite transfer of patients, and (3) inform non-Federal hospital officials and veterans of the medical advantages and availability of specialized care. VA agreed with GAO's recommendations and planned to use them. The Department of Defense agreed to revise military procedures to expedite transfers.

E06595-017

Better Planning and Management Needed to Improve Utilization and Quality of Specialized Medical Services. B-133044. June 19, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009); Sharing Medical Facilities, Equipment and Information (64.018).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act, as amended (P.L. 85-857; P.L. 89-785; P.L. 91-496; P.L. 93-82).

Public Availability: GAO, Distribution Section.

VA has allowed hospitals to establish and operate specialized medical service programs--supervoltage therapy, kidney transplants, hemodialysis--although many are underused and duplicate existing facilities. GAO reported that VA could improve the management and operation of its specialized medical programs and recommended that the agency establish, maintain, and periodically review criteria and guidelines for development of specialized programs, enforce the criteria and guidelines, and provide information necessary to periodically evaluate the programs' effectiveness. VA agreed with these recommendations and commented on actions taken and planned to carry them out.

E06595-018

Information on Veterans Administration's Drug Treatment Program and Specific Data on Veterans Administration's Drug Dependents Treatment Centers in New York State. B-114859. October 16, 1974.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Rehabilitation--Alcohol and Drug Dependency (64.019).

Budget Function/Subfunction: Veterans education, training, and rehabilitation (702); Hospital and medical care for veterans (703).

Program Authorization: Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255).

E06595-020

Condition of Veterans Memorial Hospital of Republic of the Philippines. MWD-75-58. February 11, 1975.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857; 38 USC Ch. 17).

Public Availability: GAO, Distribution Section.

E06595-021

Review of Allegations by Veterans About Patient Treatment in the Iowa City VA Hospital. MWD-75-59. February 19, 1975.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857; 38 USC Ch. 17).

Public Availability: GAO, Distribution Section.

E06595-022

Controls on Use of Psychotherapeutic Drugs and Improved Psychiatrist Staffing Are Needed in Veterans Administration Hospitals. MWD-75-47. April 18, 1975.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857).

Public Availability: GAO, Distribution Section.

In many instances psychotherapeutic drugs have not been used as recommended and VA officials generally were unaware that drugs were not being administered in accordance with medical references. VA has had problems recruiting enough physicians to provide care to psychiatric patients. Many physicians assigned to provide psychiatric care have not had formal psychiatric training, and the number of patients for which a physician was responsible varied widely.

E06595-023

Adequacy of Medical Staffing in Selected VA Hospitals. MWD-75-83. May 5, 1975.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Hospitalization (64.009).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Veterans' Benefits Act (P.L. 85-857; 38 USC Ch. 17).

Public Availability: GAO, Distribution Section.

E06595-025

Report on Survey of VA's Durable Medical Appliances Activities. MWD-75-104. July 21, 1975.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Medicine and Surgery, VA.

Program(s) Evaluated: Veterans Prosthetic Appliances (64.013).

Budget Function/Subfunction: Hospital and medical care for veterans (703).

Program Authorization: Servicemen's Readjustment Act of 1944, as amended (P.L. 79-268).

Public Availability: GAO, Distribution Section.

E06596-001

Opportunities to Reduce Costs in Acquiring Properties Resulting from Defaults on Home Loans. B-114860. October 20, 1972. 52 pp.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Veterans Housing--Direct Loans and Advances (64.113); Veterans Housing--Guaranteed and Insured Loans (64.114).

Budget Function/Subfunction: Veterans housing (704).

Program Authorization: Housing Act of 1950 (38 USC 1811); Veterans Readjustment Act of 1966 (38 USC 1818).

Public Availability: GAO, Distribution Section.

E06596-002

Mobile-Home Loan Guaranty Program. B-118660. December 7, 1972. 6 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Veterans Housing--Mobile Home Loans (64.119).

Budget Function/Subfunction: Veterans housing (704).

Program Authorization: Veterans' Housing Act of 1970 (P.L. 91-506).

Public Availability: GAO, Distribution Section.

E06596-003

Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans. B-114859. October 17, 1973. 21 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Veterans Educational Assistance (64.111); Veterans Information and Assistance (64.115).

Budget Function/Subfunction: Veterans education, training, and rehabilitation (702).

Program Authorization: Veterans Education and Training Amendments Act of 1970 (P.L. 91-219; 38 USC 240); Veterans Readjustment Benefits Act of 1966 (P.L. 89-358; 38 USC 1651).

Public Availability: GAO, Distribution Section.

E06596-004

Management Practices Used by the VA's Denver Regional Office in Assisting Veterans. B-118660. September 11, 1974. 14 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Veterans Information and Assistance (64.115).

Budget Function/Subfunction: Veterans education, training, and rehabilitation (702).

Program Authorization: Veterans Education and Training Amendments Act of 1970 (P.L. 91-219; 38 USC 240).

Public Availability: GAO, Distribution Section.

E06596-005

Need for Improved Outreach Efforts for Veterans in Prison or on Parole. MWD-75-48. December 30, 1974. 20 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Veterans Information and Assistance (64.115); Veterans Educational Assistance (64.111).

Budget Function/Subfunction: Other veterans benefits and services (705).

Program Authorization: Veterans Education and Training Amendments Act of 1970 (P.L. 91-219; 38 USC 240); Veterans Readjustment Benefits Act of 1966 (P.L. 89-358; 38 USC 1651).

Public Availability: GAO, Distribution Section.

E06596-006

Ways to Improve Management of Acquired Residential Properties. B-156010. February 28, 1973.

Agency Sponsoring Evaluation: Resources and Economic Development Division, GAO.

Agency Managing Program: Department of Veterans Benefits, VA; Department of Housing and Urban Development.

Program(s) Evaluated: Veterans Housing--Direct Loans and Advances (64.113); Veterans Housing--Guaranteed and Insured Loans (64.114).

Budget Function/Subfunction: Veterans housing (704).

Program Authorization: Housing Act of 1950 (P.L. 81-475; 38 USC 1811); Veterans Readjustment Benefits Act of 1966 (P.L. 89-358; 38 USC 1818).

Public Availability: GAO, Distribution Section.

E06596-010

Information on Implementation of the Amendments of Public Law 92-540 Which Impacts on Veterans Taking Correspondence Training. B-114859. 8 June 5, 1974. 10 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Veterans Educational Assistance (64.111).

Budget Function/Subfunction: Veterans education, training, and rehabilitation (702).

Program Authorization: Veterans Readjustment Benefits Act of 1966 (P.L. 89-358); 38 USC 1651.

E06596-013

Delays Experienced by Veterans in Receiving Educational Assistance Payments, Veterans Administration. B-140300. November 15, 1974. 11 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Department of Veterans Benefits, VA.

Program(s) Evaluated: Veterans Educational Assistance (64.111); Dependents Education Assistance (64.117).

Budget Function/Subfunction: Veterans education, training, and rehabilitation (702).

Program Authorization: Veterans Readjustment Benefits Act of 1966, as amended (P.L. 89-358); 38 USC 1651.

Multi-Agency

E30400-101

Implementation of the Poison Prevention Packaging Act of 1970. B-164031(2). May 15, 1973. 27 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Food and Drug Administration, HEW; Consumer Product Safety Commission.

Program(s) Evaluated: Program Management, FDA (13.000); Consumer Product Safety Commission.

Budget Function/Subfunction: Prevention and control of health problems (553).

Program Authorization: Poison Prevention Packaging Act of 1970 (P.L. 91-601; 15 USC 1471 et seq.); Consumer Product Safety Act of 1972 (P.L. 92-573; 15 USC 2051 et seq.).

Public Availability: GAO, Distribution Section.

As of Dec. 1, 1972, the Food and Drug Administration (FDA) had issued seven packaging standards--three not to become effective until Apr. 11, 1973--and had proposed four others. These standards cover products involved in 52 percent of the 80,000 ingestions by children under five years of age of products susceptible to packaging reported to FDA in 1971. These standards also cover products involved in 73 percent of the reported 4,800 ingestions of products susceptible to packaging that resulted in children being hospitalized. The FDA extended to Jan. 22, 1973 the effective date of the standards requiring retail pharmacists to dispense prescription drugs that contain aspirin, methyl salicylate, or controlled drugs in special packaging. This extension was granted because manufacturers were unable to supply enough special packaging well in advance of the effective dates. Special packages must be used as soon as they become available.

E99999-001

How to Improve the Procurement and Supply of Drugs in the Federal Government (DOD, VA, HEW, OMB, and GSA). B-164031(2). December 6, 1973.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Hospital Supply (64.000).

Budget Function/Subfunction: Other veterans benefits and services (705).

Program Authorization: Federal Property and Administrative Services Act of 1949 (P.L. 81-152; 40 USC 471).

Public Availability: GAO, Distribution Section.

GAO reported to the Congress several ways in which the Department of Defense, the Veterans Administration, and other Federal agencies could improve their activities relating to the procurement and supply of drugs. GAO recommended to these agencies that there was a need for (1) greater coordination of Federal drug procurement activities, (2) specifications and central management in procuring drugs, (3) a uniform reporting system for locally purchased drugs, and (4) improved coordination of quality assurance activities. The agencies involved expressed agreement with the findings and have started actions to carry out GAO's recommendations.

E99999-002

Proper Use of the Economic Order Quantity Principle Can Lead to More Savings. B-133396. June 27, 1974.

Agency Sponsoring Evaluation: Logistics and Communications Division, GAO.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Hospital Supply (64.000).

Budget Function/Subfunction: Other veterans benefits and services (705).

Program Authorization: Federal Property and Administrative Services Act of 1949 (P.L. 81-152; 40 USC 471).

Public Availability: GAO, Distribution Section.

E99999-003

Need for a National Weather Modification Research Program. B-133202. August 23, 1974. 64 pp.

Agency Sponsoring Evaluation: Manpower and Welfare Division, GAO.

Agency Managing Program: Departments of the executive branch.

Program(s) Evaluated: Weather Modification Research.

Budget Function/Subfunction: General science and basic research (251).

Program Authorization: P.L. 92-205; P.L. 92-125; P.L. 83-256; Executive Order 10807; Executive Order 11541; OMB Circular A-62.

Public Availability: GAO, Distribution Section.

Studies of the administration of Federal weather modification research have identified common problems hindering progress, such as no central authority to direct departments' efforts, ineffective coordination, and insufficient resources to achieve timely, effective results. Estimated cost for this research increased from about \$3 million in fiscal year 1959 to about \$17.4 million in fiscal year 1974. A rational program is needed to administer fragmented Federal weather modification research activities effectively. The program should include goals, priorities, and plans for allocating resources to meet priority objectives.

APPENDIX

OVERVIEW OF FEDERAL AGENCY PROGRAM EVALUATION ACTIVITIES
JOINT INQUIRY BY THE OFFICE OF MANAGEMENT AND BUDGET
AND THE GENERAL ACCOUNTING OFFICE

Introduction

The Office of Management and Budget (OMB) and the General Accounting Office (GAO) share a common requirement to gather certain basic information on the activities and output of program evaluation units within the Federal government. This questionnaire has been developed jointly by OMB and GAO to meet the information needs of both groups. OMB's main interest is in updating and expanding their current file of descriptive information on Federal evaluation activities, which was begun in 1974 by their Evaluation and Program Implementation Division, focusing on:

- Identifying organizational settings and priorities of units for which program evaluation represents a major and continuing responsibility;
- Discerning the nature of operating relationships between evaluation units and units involved in related information producing activities;
- Describing the processes employed to plan, coordinate, and disseminate evaluation studies; and
- Obtaining evaluation expenditure and resource information.

The primary interest of the GAO in this joint activity is in responding to requirements of the Congressional Budget Act of 1974. This act gives GAO responsibilities, shared with the OMB, the Congressional Budget Office, and the Secretary of the Treasury to:

1. Develop, establish, and maintain an up-to-date inventory and directory of sources and information systems containing fiscal, budgetary, and program-related data and information, and a brief description of their content.
2. Provide, upon request, assistance to committees, joint committees, and Members of Congress in securing Federal fiscal, budgetary, and program-related data and information from the sources identified in such inventory and directory.

The basic data gathered as a result of this inquiry will be organized into a pilot file system for evaluative data on agency programs by the Congressional Information Services Group, Financial and General Management Studies Division of GAO, for use in responding to congressional requests. OMB will utilize this same body of information to examine the way in which evaluation efforts are structured and managed, and how the results of evaluation studies are disseminated.

APPENDIX

Personnel from OMB and GAO will work closely in arranging for interviews with agency officials and will exchange the basic data collected to minimize the demands on the time of agency evaluation staffs. It is recognized that some time will be needed by these staffs to collect certain information. Return visits will be made as needed for clarification of questions or understanding of information provided.

Definition of Evaluation

For the purpose of this inquiry, evaluation is defined as relatively structured, systematic analysis of actual performance of operating programs, and comparison of alternative programs, to assess the impact or effectiveness in attaining stated goals or objectives or to assess their efficiency.

Within this broad definition are four general categories of evaluation, delineated generally on the basis of their purpose and predominant methodology. These are; (1) substantive impact evaluations, (2) relative effectiveness evaluations, (3) process or management evaluations, and (4) project evaluations:

1. Substantive impact evaluations attempt to measure the impact which Federal programs have upon their stated objectives. This type of evaluation seeks to determine what the program accomplishes, how these accomplishments compare to their intended purposes, and their costs. The purpose of such evaluations is primarily to provide information for use in major policy formulation.
2. Relative effectiveness evaluations seek to compare the effectiveness of two or more major program strategies or approaches in attaining ultimate objectives within a national program. These studies are designed to help policy officials and program managers select the most effective mix of services to maximize programs' total impact, such as the mix of skill training, remedial education, and job search assistance in a manpower program. However, these studies do not necessarily measure the impact of the total program in absolute terms on its objectives.
3. Process of management evaluations are designed to measure the operating efficiency of national programs. They are intended principally to help program managers achieve the most efficient deployment of available resources, rather than help policy officials arrive at major decisions affecting the scope and focus of the national programs.
4. Project evaluations may entail any of the three preceding types (substantive impact, relative effectiveness, or process evaluation) as well as project rating -- comparing the effectiveness of one or more individual projects against others. Project evaluations are directed to individual, locally-based projects which

APPENDIX

are components of a national program, and are not necessarily intended to yield conclusions regarding the impact or efficiency of the total national program.

Exclusions

For the purpose of this inquiry, the following information producing activities, although related, are excluded:

- Routine policy analysis and budget review;
- Basic research not directed toward program outcomes;
- Data collection; and
- Audits for legal or fiscal compliance (however, audits that deal with program results or impact are of interest to us).

Definition of an Evaluation Unit

Within each department or agency being interviewed, a number of distinct organizational units may be performing program evaluation as described above. Each agency may have a different organizational structure for assigning evaluation responsibilities. It is desired to:

- Identify and interview the head of any unit that has responsibility for evaluating the entire range of agency programs.
- Identify and interview the heads of any units having responsibility for evaluating portions of the entire range of agency programs.

This inquiry is directed to those organizational units for which evaluation activities represent a primary if not sole responsibility. This may be a separate office of a department or agency, or a visible organizational unit.

APPENDIX

B-115398

I fully recognize the degree of effort necessary to meet this request. I believe that the potential benefits--to the Congress and to the executive branch--make such an effort worthwhile. Your assistance and cooperation are appreciated.

Sincerely yours,

**Comptroller General
of the United States**

Enclosures - 3

INDEXES

SUBJECT INDEX

A-95	Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs. Local Government Participation in the A-95 Project Notification and Review System.	E00602-032 E00602-037
ABATEMENT		
Air Pollution	First Report of the Environmental Measures Project: Analysis and Applications of Environmental Quality Indicators. Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.	E02300-010 E00300-007
Noise Pollution	Airport Opposition: Examination and Program Development. Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.	E01102-031 E00300-007
Polluting Discharges	Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.	E02390-003
Pollution	International Trade Study. Report on Audit of Selected Pollution Abatement Activities, Maritime Administration.	E00300-024 E00300-012
Water Pollution	First Report of the Environmental Measures Project: Analysis and Applications of Environmental Quality Indicators. Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.	E02300-010 E00300-007
ABSTINENCE PROGRAMS (OUTPATIENT)	Staffing and Efficiency of Drug Treatment Programs.	E00506-074
ABSTRACTS (CANCER CHEMOTHERAPY)	Cancer Chemotherapy Abstracts/Cancer Chemotherapy Reports Evaluation.	E00508-039
ACADEMY (COAST GUARD)	A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates.	E01101-013
ACADEMY (FAA)	Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers.	E01102-021
ACCIDENTS	Evaluation of Motor Vehicle Safety Standards. For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes. Report of the Work Group on OCS Safety and Pollution Control. Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973.	E01107-004 E01197-001 E06290-001 E00712-004 E01101-006
Aviation	General Aviation Aircraft Safety: The Princeton University Conference.	E01102-007

ACCIDENTS**SUBJECT INDEX**

Collisions Vessel Traffic Systems Issue Study.	E01101-008
Compensation More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.	E00995-004
Costs Department of the Interior Aircraft Study and Recommendations. Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards. Vessel Traffic Systems Issue Study.	E00700-007 E01197-002 E01101-008
Disabilities Yeatman Baseline Survey.	E00507-011
Diving Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973.	E01101-006
Fatalities Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973.	E01101-006
Highway For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards.	E01197-001
Hospitalization Yeatman Baseline Survey.	E00507-011
Injuries Evaluation of Motor Vehicle Safety Standards.	E01107-004
Marine Vessel Traffic Systems Issue Study.	E01101-008
Records Evaluation of Motor Vehicle Safety Standards. Vessel Traffic Systems Issue Study.	E01107-004 E01101-008
Sports Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973.	E01101-006
Vessel Department of Transportation's Cargo Security Program.	E01190-001
Water Department of Transportation's Cargo Security Program. Vessel Traffic Systems Issue Study.	E01190-001 E01101-008

ACCOUNTANTS

Review of use of Financial Reports Required by the Office of Education under the Teacher Corps Program.	E00500-105
---	-------------------

ACCOUNTING

Audit of Loan Program Financial Statements for Fiscal Years 1971, 1970, and 1969.	E01097-006
Capital Assistance to Bus Transit Systems.	E01105-001
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Need for a Uniform Method for Paying Interest on Government Trust Funds.	E01280-001
Problems in Administering Programs to Improve Law Enforcement Education.	E00891-015
Revenue Sharing: Its Use By and Impact on State Governments.	E01280-007
Review of Royalty Accounting System for Onshore Oil and Gas Leases.	E00712-013
Royalty Accounting System Study of Solid Mineral Leasing Activities.	E00712-014

Food Stamps

National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.	E00207-003
---	-------------------

Information Systems

Review of the Implementation of Comparability Provisions, Public Law 91-230, Office of Education.	E00500-119
---	-------------------

Loans

Audit of Loan Program Financial Statements for Fiscal Years 1971, 1970, and 1969.	E01097-006
---	-------------------

SUBJECT INDEX**ACREAGE****Overtime Payments**

Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health.

E00500-102**Royalty System**

Review of Royalty Accounting System for Onshore Oil and Gas Leases.
Royalty Accounting System Study of Solid Mineral Leasing Activities.

**E00712-013
E00712-014****ACCREDITATION**

Private Accreditation and Public Eligibility.

E00501-008**ACHIEVEMENT AWARDS**

Improving the Effectiveness of the Government Employee's Incentive Awards Program.

E05990-002**ACOUSTIC DATA**

Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing.

E01102-001**ACP**

An Evaluation of Subsidy Forms for Soil and Water Conservation.

E00219-005**ACREAGE**

Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment.

E00200-003

Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).

**E00209-001
E00209-004**

Farm Programs, Pesticide Use, and Social Costs.

Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program.

E00780-009

Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program.

E00299-008

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.

E00216-001

Program Evaluation on 1973 Feedgrain Program Performance.

E00209-002

Progress and Problems of U.S. Assistance for Land Reform in Vietnam.

E01097-009**Agribusiness**

Crop Insurance and Information Services to Control Use of Pesticides.

E02305-100**Bird Refuges**

Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.

E00709-006**Conservation**

Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).

E00209-001**Cotton**

Crop Insurance and Information Services to Control Use of Pesticides.

**E02305-100
E02305-100****Feedgrain**

Program Evaluation on 1973 Feedgrain Program Performance.

E00209-002**Field Scouting**

Crop Insurance and Information Services to Control Use of Pesticides.

E02305-100**Fish**

Youth Conservation Corps-Pilot Program 1973.

E00216-004**Forest**

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.

E00216-001**Grazing**

Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).

E00209-001

Youth Conservation Corps-Pilot Program 1973.

E00216-004**Peanuts**

Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program.

E00299-008

ACREAGE**SUBJECT INDEX**

Pest Management Crop Insurance and Information Services to Control Use of Pesticides.	E02305-100
Set-Aside Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton). Program Evaluation on 1973 Feedgrain Program Performance.	E00209-001 E00209-002
Soil Erosion Youth Conservation Corps-Pilot Program 1973.	E00216-004
Soybeans Program Evaluation on 1973 Feedgrain Program Performance.	E00209-002
Timber Youth Conservation Corps-Pilot Program 1973.	E00216-004
Trees Youth Conservation Corps-Pilot Program 1973.	E00216-004
Wild Hemp Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001
Wildlife Youth Conservation Corps-Pilot Program 1973.	E00216-004
ACS Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.	E00503-052
ACTRBS ELECTRONIC SCAN ANTENNA A Simulation of the DABS Sensor for Evaluating Reply Processor Performance.	E01102-028
ADDICTION Evaluation of the Effects of Methadone Treatment on Crime and Criminal Addicts. Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C.	E00811-011 E00599-003
ADENOVIRUS VACCINE Problems in Regulating Selected Vaccines.	E00585-003
ADJUDICATION Evaluation of the Pre-Trial Intervention Program.	E00902-043
ADOLESCENTS The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude. The Provision of Health Care Services to Adolescents in the 1970's.	E00507-035 E00507-083
ADP ADP Laboratory System.	E06504-018
ADP DATA COLLECTION Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II.	E00503-029
ADP EQUIPMENT (IN GOVERNMENT) Appraisal of Government-Wide and Internal ADP-Related Activities, General Services Administration.	E03700-006
ADULT OFFENDERS Evaluation of Community-Based Programs for Adult Offenders.	E00811-041
ADULT POPULATION Analysis of Medicaid Buy-In Experience.	E00509-015

SUBJECT INDEX**AEROSPACE (DEFENSE CUTBACKS)****ADULTS****Labor Force**

National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.

E00207-003

Physically Handicapped

Employment Opportunities in the Federal Government for the Physically Handicapped.

E05990-007

Students

Evaluation of the Community-Based Right to Read Program.

E00501-011

ADVERTISING

Review of the Organization and Operations of the United States Travel Service (USTS).

E00300-029

ADVERTISING (SMOKING)

Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking.

E00504-003

ADVERTISING AWARENESS (TELEVISION)

Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse.

E00503-053

ADVOCACY (CHILD)

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas.

E00503-033

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina.

E00503-032

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project.

E00503-035

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif.

E00503-043

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania.

E00503-034

ADVOCACY (CONSUMER)

Report on Survey of the Fishery Products Inspection Service, National Oceanic and Atmospheric Administration.

E00300-008

ADVOCACY (MINORITY)

A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives.

E05507-001

ADVOCACY ACTION RESEARCH

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina.

E00503-032

AERIAL PATROLS

Crime Reduction Through Aerial Patrol.

E00811-015

AERONAUTICAL SATELLITE COMMUNICATION

Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting.

E01102-004

AERONAUTICS

Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey.

E00712-008

AEROSAT

Multipath Channel Simulation and Modern Evaluation Program.

E01102-044

AEROSPACE (DEFENSE CUTBACKS)

Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks.

E00992-012

AFRICA**SUBJECT INDEX****AFRICA**

Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. E01097-024

AFRICA (WEST)

A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management. E01017-005
Regional Poultry Project in West Africa. E01097-012

AGE

Compliance with the Minimum Wage Law: progress report. E00900-003
Demographic Characteristics of Workers in the Work Clothing Industry. E00906-003
Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050
Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services. E00591-001
Family Structure in Pre-Retirement Years. E00510-049
Health in the Years Before Retirement. E00510-061
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971. E00510-051
Implementation of the Poison Prevention Packaging Act of 1970. E30400-101
Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries. E00510-056
Report on Review of the Division of International Operations, Social Security Administration. E00500-103
Review of SSA's Fraud Deterrence Program. E00500-107
Study of Cost Differentials Between Skilled Nursing Facilities and Intermediate Care Facilities. E00509-006
Study of Vocational Training Skills Achievement at Departmental Job Corps Centers. E00700-008

Birth to Six Years

Health Start Final Report of the Evaluation of the Second Year Program. E00512-008

Discrimination in Employment

Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1972. E00904-005
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973. E00904-006
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1974. E00904-007

Early Retirement

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973. E00904-006

Ethnicity

Short-Term Cognitive Effects of Head Start Programs. E00512-007

Health Care Spending

Age Differences in Health Care Spending, F.Y. 1974. E00510-157
Age Differences in Medical Care Spending, Fiscal Year 1973. E00510-165

Sex

Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. E00504-003
Short-Term Cognitive Effects of Head Start Programs. E00512-007
Yeatman Baseline Survey. E00507-011

AGED

Age Differences in Health Care Spending, Fiscal Year 1974. E00510-009
Conversions to Supplemental Security Income from State Assistance: A Program Records Study. E00510-008
Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050
Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned. E00590-005
Retirement History Study: Introduction. E00510-062
State Supplementation Under Federal SSI (Supplemental Security Income) Program. E00510-020

Disability Benefits

Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050

Economic Characteristics

Demographic and Economic Characteristics of Aged--1968 Survey. E00510-064

SUBJECT INDEX**AGENCY FOR INTERNATIONAL DEVELOPMENT****Health Insurance**

Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California. E00500-115

Legislation

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973. E00904-006

Low Income

The Supplemental Security Income Program for the Aged, Blind, and Disabled. E00510-039

Preretirees

Family Structure in Pre-Retirement Years. E00510-049

Security Income

Supplemental Security Income for the Aged: A Comparison of Five Countries. E00510-040
The Supplemental Security Income Program for the Aged, Blind, and Disabled. E00510-039

AGED BENEFICIARIES

Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012

AGENCY FOR INTERNATIONAL DEVELOPMENT

A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management. E01017-005

A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions. E00503-037

Alternatives to the Airport Development Aid Program. E01102-032

An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded Third Generation ATC System. E01102-020

Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs. E00585-007

Assistance to Family Planning Programs in Southeast Asia. E01097-007

Audit of Loan Program Financial Statements for Fiscal Years 1971, 1970, and 1969. E01097-006

ADAP Aid to Private Airports: A Preliminary Assessment and Related Questions for Research. E01102-033

Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met. E00586-006

Cost and Use of Personnel in the Agency for International Development. E01097-011

Evaluation of Emergency Medical Service Systems Impact. E00507-073

Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969. E00791-002

Food for Peace: An Evaluation of P.L. 480--Title II. E00212-002

Food Distribution and Food Stamp Program Effects on Nutritional Achievement. E00207-002

Funding and Reporting of Agency for International Development Overhead Costs Should be More Realistic. E01097-025

Impact of USDA Programs Upon Rural Cooperatives. E00200-002

Intercountry Evaluation of Agriculture Sector Programs: Colombia, Costa Rica, Guatemala. E01017-003

Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama. E01017-004

Intercountry Evaluation of Education Credit Institutions in Latin America. E01017-002

Interim Report on the Agency for International Development's Housing Investment Guaranty Program. E01097-008

Local Government Participation in the A-95 Project Notification and Review System. E00602-037

Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. E01097-024

ID-75-9. E01097-024

Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India. E01097-002

Participation in the Aid to Families with Dependent Children. E00509-029

Problems in Administering Two Eligibility Aspects in the Aid to Families with Dependent Children Program. E00589-002

Provisional Message Formats for the DABS/NAS Interface. E01102-026

Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development. E01097-010

Regional Poultry Project in West Africa. E01097-012

Rescission of the Opium Poppy Growing Ban by Turkey. E01097-022

Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis. E01097-017

Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency? E00589-004

AGENCY FOR INTERNATIONAL DEVELOPMENT**SUBJECT INDEX**

Some Problems in Contracting for Federally Assisted Child-Care Services.	E00589-003
The Case of P.L. 480, The Side Effects of Foreign Aid: Wheat in Colombia.	E00212-001
The Necessity and Impact of Federal Guarantees and Other Financial Aid on New Community Development.	E00601-023
U.S. Assistance to the Khmer Republic (Cambodia).	E01097-016
U.S. Foreign Aid to Education: Does Brazil Need It?	E01097-013
U.S. Grant Support of International Planned Parenthood Federation Needs Better Oversight.	E01097-015
United States Economic Assistance to Turkey.	E01097-023
United States Interests and Activities in Nepal.	E01097-005
United States Programs in Ghana.	E01097-018
Use of Statutory Authority for Providing Inmate Release Funds.	E00888-003
What Should U.S. Policy Be for Development Assistance to Ecuador?	E01097-004
Indonesia	
Assistance to Family Planning Programs in Southeast Asia.	E01097-007
Laos	
Assistance to Family Planning Programs in Southeast Asia.	E01097-007
Loans	
The Brazilian Economic Boom: How Should the United States Relate to It?	E01097-020
Mexico	
Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy.	E00504-007
Thailand	
Assistance to Family Planning Programs in Southeast Asia.	E01097-007
AGING	
Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services.	E00591-001
Systematic Review of Area Agencies on Aging.	E00511-012
Guide to Sources and Uses of Current Data on the Aging.	E00511-008
AGNES DISASTER	
Federal Unemployment Benefits Due to Agnes Disaster in Pennsylvania.	E00992-026
AGRIBUSINESS	
Crop Insurance and Information Services to Control Use of Pesticides.	E02305-100
AGRICULTURAL COMMODITIES	
Analysis of the Tokyo Trade Center Show.	E00212-005
United States Programs in Ghana.	E01097-018
AGRICULTURAL LOANS	
Practices in Obtaining Credit Reports for Housing and Agricultural Loans.	E00299-020
AGRICULTURAL RESEARCH SERVICE	
Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment.	E00200-003
AGRICULTURE	
A Follow-Up Study of Attitudes of Participants in U.S. Department of Agriculture-Hotelympia 1974.	E00212-011
A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports.	E00889-001
Aspects of the Beekeeper Indemnity Payment Program.	E00299-006
Differences in Costs of Purchasing Houses in Seven States through the Departments of Housing and Urban Development and Agriculture.	E00693-022
Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama.	E01017-004
Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do.	E02390-007
Intercountry Evaluation of Agriculture Sector Programs: Colombia, Costa Rica, Guatemala.	E01017-003

SUBJECT INDEX**AIR STATIONARY SOURCE****AID TO FAMILIES WITH DEPENDENT CHILDREN**

- A Diffusion Analysis of AFDC Participation. E00509-024
A Gross Flows Model of AFDC Participation. E00509-021
A Retrospective Case Review of WIN II Completed Job Entries: grant reductions, services, and welfare savings. E00509-012
Analysis of the Utilization of Social Services by AFDC Recipients. E00509-023
Assessment of Quality Control and Corrective Action Activities in Region V. E00500-202
Income Transfers as a Public Good: An Application to AFDC. E00500-076
Longitudinal Evaluation of WIN Program. E00902-013
National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits. E00207-003
Problems in Administering Two Eligibility Aspects in the Aid to Families with Dependent Children Program. E00589-002
Service Utilization Patterns Derived from Cross Tabulations of the 1971 AFDC Survey: a guide to the perplexed. E00509-016
The Evaluation of the WIN II Program: methodological issues and some initial results. E00900-001

Productivity

- Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment. E00200-003

AIR ARRIVALS

- A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports. E00889-001

AIR COMPLIANCE PROGRAM

- General Report of the Air Compliance Review Group. E02300-006

AIR OPERATIONS

- Department of the Interior Aircraft Study and Recommendations. E00700-007
New York Offshore Airport Feasibility Study. E01102-013
U.S. Assistance to the Khmer Republic (Cambodia). E01097-016

AIR POLLUTION

- Aircraft Taxiing Noise Measurement. E01102-005
Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources. E02390-005
First Report of the Environmental Measures Project: Analysis and Applications of Environmental Quality Indicators. E02300-010
General Report of the Air Compliance Review Group. E02300-006
Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests. E00299-028
Report of a Study of Control Agency Revenue Fees. E02300-003
Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards. E00300-007
Supertanker Environmental Study. E01101-007

Airports

- Airport Vicinity Air Pollution Study. E01102-034
Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis. E01102-006

Laws and Regulations

- Assessment of Federal and state Enforcement Efforts to Control Air Pollution from Stationary Sources. E02390-005

AIR ROUTES

- Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar. E01102-042

AIR SEPARATION

- Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. E01102-004

AIR STATIONARY SOURCE

- General Report of the Air Compliance Review Group. E02300-006

AIR TERMINALS**SUBJECT INDEX****AIR TERMINALS****Noise Environment**

Aircraft Taxiing Noise Measurement. E01102-005

AIR TRAFFIC

An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded
Third Generation ATC System. E01102-020
Technical Evaluation of Weather Clutter Feasibility Model. E01102-030

Air Side Operations

New York Offshore Airport Feasibility Study. E01102-013

Forecast: 1975-1986

Military Air Traffic Forecast: 1975-1986. E01102-019

Management System

An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded
Third Generation ATC System. E01102-020

Military

Military Air Traffic Forecast: 1975-1986. E01102-019

AIR TRAFFIC CONTROL

An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded
Third Generation ATC System. E01102-020
Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights. E01192-003
Pulse Compression Radar Study. E01102-024

Airport Surveillance

Measurement and Analyses of ASR-4 System Error. E01102-018

Radar

Measurement and Analyses of ASR-4 System Error. E01102-018
Test and Evaluation of ATCRBS Electronic Scan Antenna. E01102-045

AIR TRAFFIC CONTROLLERS

Aircraft-Pilot and other Pre-Employment Experience as Factors in the Selection of
Air Traffic Controllers Trainees. E01102-022
Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers. E01102-021
Technical Evaluation of Weather Clutter Feasibility Model. E01102-030

AIR VELOCITY

Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By
Technique. E01102-003

AIRCRAFT

Aircraft-Pavement Compatibility Study. E01102-011
Cutter Issue Study. E01101-009
Department of the Interior Aircraft Study and Recommendations. E00700-007
DAIR System Radar Target Relationships. E01102-017
Export of U.S.-Manufactured Aircraft-Financing and Competitiveness. E00399-003
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. E01102-004
General Aviation Aircraft Safety: The Princeton University Conference. E01102-007
Need for Improved Controls for Identifying and Correcting Safety Defects on Light
Aircraft. E01192-001
Provisional Message Formats for the DABS/NAS Interface. E01102-026
Quiet Nacelle Retrofit Feasibility Program: compatibility analysis and design study. E01102-002
Systems for Transporting Aircraft on the Ground: feasibility and concept study. E01102-038

Detection

ATC Surveillance/Communication Analysis and Planning. E01102-027

Explosion Prevention

Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. E01102-004

Flight Patterns

Measurement and Analyses of ASR-4 System Error. E01102-018

Foreign Airlines

Export of U.S.-Manufactured Aircraft-Financing and Competitiveness. E00399-003

SUBJECT INDEX**AIRPORTS****Lightplane Research**

General Aviation Aircraft Safety: The Princeton University Conference.

E01102-007

Manufacturers

General Aviation Aircraft Safety: The Princeton University Conference.

E01102-007

Noise

Aircraft Sound Description System Application Procedures.

E01102-046

Quiet Nacelle Retrofit Feasibility Program: compatibility analysis and design study.

E01102-002

Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing.

E01102-001

Pavement Compatibility

Aircraft-Pavement Compatibility Study.

E01102-011

Radar

Augmented Radar Beacon Tracking Level System Design Specification.

E01102-014

DAIR System Radar Target Relationships.

E01102-017

Measurement and Analyses of ASR-4 System Error.

E01102-018

Safety

General Aviation Aircraft Safety: The Princeton University Conference.

E01102-007

Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft.

E01192-001

Separation Standards

Measurement and Analyses of ASR-4 System Error.

E01102-018

Taxiing Noise

Aircraft Taxiing Noise Measurement.

E01102-005

Technical Services Facilities

Department of the Interior Aircraft Study and Recommendations.

E00700-007

AIRCRAFT PILOTS

Aircraft-Pilot and other Pre-Employment Experience as Factors in the Selection of Air Traffic Controllers Trainees.

E01102-022

AIRLINES

A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports.

E00889-001

Foreign

Export of U.S.-Manufactured Aircraft-Financing and Competitiveness.

E00399-003

AIRMEN

Prevalence and Incidence of Disease Among Airmen Medically Certified.

E01102-041

AIRPLANES

Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System.

E01102-009

Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique.

E01102-003

Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique.

E01102-008

Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing.

E01102-001

AIRPLANES (FLIGHT TESTS)

Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing.

E01102-001

AIRPORTS

A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports.

E00889-001

Airport Opposition: Examination and Program Development.

E01102-031

ADAP Aid to Private Airports: A Preliminary Assessment and Related Questions for Research.

E01102-033

Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.

E01192-003

Development of a Non-Survey Method Estimating Traffic at Non-Towered Airport.

E01102-012

General Aviation Aircraft Safety: The Princeton University Conference.

E01102-007

Air Quality Data

Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis.

E01102-006

AIRPORTS

SUBJECT INDEX

Air Traffic Control Measurement and Analyses of ASR-4 System Error.	E01102-018
Atlanta Aircraft Taxiing Noise Measurement.	E01102-005
Capacity FAA Report on Airport Capacity.	E01102-036
Congestion Pricing Airport Opposition: Examination and Program Development.	E01102-031
Development Airport Opposition: Examination and Program Development. Alternatives to the Airport Development Aid Program. ADAP Aid to Private Airports: A Preliminary Assessment and Related Questions for Research.	E01102-031 E01102-032 E01102-033
Federal Financing Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.	E01192-003
Inspections A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports.	E00889-001
Kennedy International A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports. Heroin Being Smuggled into New York City Successfully.	E00889-001 E00890-002
Lighting Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.	E01192-003
Medical Preparedness Report on Airport/Community Emergency Medical Preparedness and Federal Emergency Health Preparedness.	E03700-008
Meteorological Conditions Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis.	E01102-006
Non-Towered Development of a Non-Survey Method Estimating Traffic at Non-Towered Airport.	E01102-012
Offshore New York Offshore Airport Feasibility Study.	E01102-013
Passenger Head Tax The Airport Passenger Head Tax: analysis of its potential impact.	E01102-056
Pollutant Emissions Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis.	E01102-006
Radar Surveillance ATC Surveillance/Communication Analysis and Planning. Measurement and Analyses of ASR-4 System Error. Test and Evaluation of ATCRBS Electronic Scan Antenna.	E01102-027 E01102-018 E01102-045
Satellite Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Televised Radar Display Applications.	E01102-043
Washington National Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis.	E01102-006
1985 Aircraft-Pavement Compatibility Study.	E01102-011

SUBJECT INDEX**ALCOHOLICS****AIRSPACE SYSTEM**

Report of National Airspace System En Route Related Support and Data Reduction and Analysis Programs.

E01102-040**AIRWORTHINESS**

General Aviation Aircraft Safety: The Princeton University Conference.

E01102-007**ALABAMA**

Long Term Impact of Law Enforcement Assistance Grants Can Be Improved.

E00891-011**ALAMEDA COUNTY**

Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C.

Study for Alameda County 911.

E00599-003**E00811-030****ALARM SYSTEMS**

An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department.

E00811-021

An Evaluation of Small Business and Residential Alarm Systems.

E00811-022**ALASKA**

Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns.

E00510-050

Quality of Day Care in Region X Before and After School Care. Phase I.

E00500-211

Report of the Work Group on OCS Safety and Pollution Control, May 1973.

E00712-006

Slow Progress of Federal and State Housing Programs in Meeting Housing Needs in Rural Alaska.

E00694-007**ALASKAN NATIVES**

The Expectations and Commitments of HPSC-OPSAM (Health Program Systems Center-Operations Systems Analysis Module): A documentary review.

E00507-017**ALCOHOL**

A comparative Consumer Survey on Human Services for American Indians in North Dakota.

E00500-209

Assessment of Selected State and Community Programs.

E01107-001

Evaluation of the Implementation of Alcohol, Tobacco and Firearms as an Independent Bureau.

E01200-003

Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse.

E00503-053**ALCOHOL ABUSERS**

Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.

E00503-052**ALCOHOL CONSUMPTION**

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.

E00503-059**ALCOHOL RELATED ARRESTS**

Alcohol Safety Action Project: evaluation of operations.

E01107-002

Alcohol Safety Action Projects: evaluation of operations, 1973.

E01107-003**ALCOHOL RELATED DISABILITIES**

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.

E00503-059**ALCOHOL SAFETY ACTION**

Alcohol Safety Action Project: evaluation of operations.

E01107-002

Alcohol Safety Action Projects: evaluation of operations, 1973.

E01107-003

Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.

E00503-052**ALCOHOLICS**

Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry.

E00503-046

ALCOHOLISM**SUBJECT INDEX****ALCOHOLISM**

- A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism. E00503-059
Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II. E00503-029
American Indian Alcoholism Evaluation--Monitoring--Design Project. E00503-044
Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report. E00503-052
Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program. E00503-047
Monitoring and Evaluating the Operation of Ten DOT/NIAA Joint Alcoholism Programs--Phase I Report and Research Plan. E00503-028
Progress and Problems in Providing Health Services to Indians. E00587-003
Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse. E00503-053
The Public Evaluates the National Institute on Alcohol Abuse and Alcoholism Public Education Campaign. E00503-061

Treatment Centers

- A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism. E00503-059
Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II. E00503-029

ALIENS

- Administration of the Alien Labor Certification Program Should Be Strengthened. E00992-029
Evaluation of VISA Medical Activities. E00504-004

ALIENS (ILLEGAL)

- More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States. E00889-002

AMPHETAMINES

- Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough. E00890-004

ANIMAL HUSBANDRY

- Supervision Over Investigational Use of Selected Drugs. E00585-006
The Southwestern Screwworm Eradication Program: a review. E00205-002

ANTENNAS

- ATC Surveillance/Communication Analysis and Planning. E01102-027
Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar. E01102-042
Test and Evaluation of ATCRBS Electronic Scan Antenna. E01102-045
A Simulation of the DABS Sensor for Evaluating Reply Processor Performance. E01102-028

ANTIBIOTICS

- Evaluation Study of the Antibiotic Certification Program; final report. E00505-013

APACHE CREEK CHANNEL

- Opportunities for Improving the Model Cities Program in San Antonio, Texas. E00692-004

APHIS

- APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. E00205-004
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. E00205-005

API

- First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. E00712-001

APPALACHIA

- Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050

APPALACHIA (KENTUCKY)

- Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act. E00992-002

SUBJECT INDEX**ARIZONA****APPLIANCES (MEDICAL)**

Report on Survey of VA's Durable Medical Appliances Activities.

E06595-025**APPLICANTS**

A Study of the Minority Officer Procurement Program of the U.S. Coast Guard.
Assessment of Child Mental Health Needs and Programs.
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.
Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber
Marking Assists.

E01101-014**E00503-062****E00992-023****E00216-003****Jobs**

Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.

E00992-023**Jobs (Federal)**Improvements Needed in Examining and Selecting Applicants for Federal
Employment.**E05990-005****APPOINTMENTS (PATIENTS)**

Better Use Should be Made of Physicians and Dentists in Health Centers.

E00587-004**APPRENTICESHIP PROGRAMS**

Evaluation of the Pilot Accelerated Apprenticeship Program.

E00902-002**ARCHITECT ENGINEERS**Information on the Selection of Independent Architect Engineers by the Veterans
Administration.**E06593-001****ARCHITECT/ENGINEER FIRMS**

Architect/Engineer Firm Selection Process.

E06504-016Information on Professional Fees and Other Compensation Paid for Services of
Selected Physicians Employed at the Veterans Administration Hospital in Miami,
Florida.**E06595-007****ARCHITECTURAL DESIGN****Security**

Architectural Design to Improve Security in Urban Residential Areas.

E00811-023**ARCHIVES**

NARS A-1 General Systems Study Report: Control and Description of Records in the
National Archives.
Ways to Improve Records Management Practices in the Federal Government.

E03700-005**E03790-002****AREA ACCOUNTING OFFICES**

Review of Royalty Accounting System for Onshore Oil and Gas Leases.

E00712-013**AREA AGENCIES ON AGING**

Systematic Review of Area Agencies on Aging.

E00511-012**AREA ENGINEER**

Review of Materials Sampling and Testing Procedures.

E01103-006**AREA MANAGERS**

Area Managers Activities.

E00600-133**ARGENTINA**Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program.
ID-75-9.**E01097-024****ARGONNE NATIONAL LABORATORY**Airport Vicinity Air Pollution Study: model application and validation and air quality
impact analysis.**E01102-006****ARIZONA**

An Evaluation of the Arizona Job College.

E00902-007

ARIZONA**SUBJECT INDEX**

- Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management. E00780-005
- ARIZONA JOB COLLEGE**
An Evaluation of the Arizona Job College. E00902-007
- ARKANSAS**
Complaints Concerning the Rural Housing Loan Program in Five Arkansas Counties. E00299-014
- ARMOR (LIGHTWEIGHT BODY)**
Lightweight Body Armor. E00811-032
- ARMS LOSS**
A Study of the U.S. Coast Guard Intelligence and Security Functions. E01101-017
- ARMY**
Need for Federal Agencies to Improve Solid Waste Management Practices. E02390-001
- ARMY CONARC REGULATION 350-100**
Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center. E01101-015
- ARMY MEDICAL RESEARCH INSTITUTE**
Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. E00504-007
- ARRESTS**
Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting. E00891-001
Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough. E00890-004
- Alcohol Related Traffic**
Alcohol Safety Action Project: evaluation of operations. E01107-002
- Dangerous Drug**
An Analysis of the Effects of Criminalization in Dangerous Drug Arrests. E00810-009
- Drivers**
Alcohol Safety Action Projects: evaluation of operations, 1973. E01107-003
- Narcotics**
An Analysis of DEA Marihuana Arrestees. E00810-008
Difficulties in Immobilizing Major Narcotics Traffickers. E00890-003
- ARTERY DISEASES**
Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center. E00507-012
- ARTESIA, NEW MEXICO**
Neighborhood Youth Corps and Emergency Employment Programs in Artesia, New Mexico. E00992-014
- ARTHUR D. LITTLE**
Viability of the Catchment Area Concept in the Community Mental Health Centers Program. E00503-038
- ASAPS**
Alcohol Safety Action Project: evaluation of operations. E01107-002
Alcohol Safety Action Projects: evaluation of operations, 1973. E01107-003
Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report. E00503-052

SUBJECT INDEX**ATOMIC ENERGY****ASIA**

Import Demand for Rice in the EEC: Implications of U.S. Market Promotion.

E00212-003**ASIAN DEVELOPMENT BANK**

Improvements Needed in System for Managing U.S. Participation in the Asian Development Bank.

E01280-004**ASPHALTIC CONCRETE**

Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic.

E01102-010**ASPIRIN**

Implementation of the Poison Prevention Packaging Act of 1970.

E30400-101**ASR-4 RADAR**

Measurement and Analyses of ASR-4 System Error.

E01102-018**ASR-5 RADAR**

Technical Evaluation of Weather Clutter Feasibility Model.

E01102-030**ASSAULT BOATS**

Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis.

E01097-001**ATC PROGRAM**

Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II.

E00503-029**ATC SYSTEMS**

An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded Third Generation ATC System.
ATC Surveillance/Communication Analysis and Planning.

**E01102-020
E01102-027****ATC SYSTEMS (CONUS)**

Multipath Channel Simulation and Modern Evaluation Program.

E01102-044**ATCRBS ELECTRONIC SCAN ANTENNA**

Test and Evaluation of ATCRBS Electronic Scan Antenna.

E01102-045**ATLANTA, GEORGIA**

Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia.
Aircraft Taxiing Noise Measurement.

**E00692-011
E01102-005**

Follow-Up Review of the Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia.

**E00692-027
E00992-018**

Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia.

E00507-035

The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude.

ATLANTIC CITY, NEW JERSEY

Measurement and Analyses of ASR-4 System Error.

E01102-018

Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique.

E01102-003**ATLANTIC OUTER CONTINENTAL SHELF**

Report of the Work Group on OCS Safety and Pollution Control, May 1973.

E00712-006**ATOMIC ENERGY**

Opportunities for Improving AEC's Administration of Agreements with States Regulating Users of Radioactive Materials.

E06290-002

Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes.

E06290-001

Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems.

E06290-005

ATS-1 BIOMEDICAL SATELLITE**SUBJECT INDEX****ATS-1 BIOMEDICAL SATELLITE**

Health Care and Satellite Radio Communication in Village Alaska--Final Report of the
ATS-1 Biomedical Satellite Experiment Evaluation.

E00508-043**ATTERBURY JOB CORPS CENTER**

Program Administration at the Atterbury Job Corps Center in Indiana.

E00992-008**AUCTIONS**

Evaluation and Determination of the Validity of Proposed Rate Increases for Public
Markets.

E00208-002**AUDIOLOGISTS**

Manpower Resources and Needs in Speech Pathology/Audiology.

E00508-045**AUDIOLOGY**

Manpower Resources and Needs in Speech Pathology/Audiology.

E00508-045**AUDITING**

Follow-up Review of Migrant Children Education, Office of Education.
Greater Benefits Can Be Derived from Improved HUD Audits of Program
Participants.
Report on Administration of the Program to Reduce Crime in Minnesota.
U.S. Assistance to the Khmer Republic (Cambodia).

E00500-100**E00690-001****E00891-004****E01097-016****AUDITORS**

Federal Participation in Cost of States' Internal Review Activities.
Program Review of Right-of-Way Program.

E01103-002**E01103-001****AUGMENTED RADAR BEACON TRACKING**

Augmented Radar Beacon Tracking Level System Design Specification.

E01102-014**AUSTRALIA**

National Health Systems in Eight Countries.

E00510-034**AUTOMOBILE MORTALITIES**

Forward Plan for Health: FY 1977-81.

E00500-008**AVIATION**

Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.

E01192-003**AWARDS (INCENTIVE)**

Improving the Effectiveness of the Government Employee's Incentive Awards
Program.

E05990-002**BABYSITTERS**

Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the
Fair Labor Standards Act on Working Parents Employing Domestic Service Work-
ers as Babysitters.
WIN II Initial Impact Study.

E00994-003**E00509-009****BACTERIA**

Salmonella in Raw Meat and Poultry: an assessment of the problem.

E00585-008**BACTERIOLOGICAL STANDARDS**

Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and
Oysters).

E00585-005**BAKERIES**

Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome
and Misbranded Foods to the Public.

E00585-014**BALANCE OF PAYMENTS**

Status of Efforts to Offset Balance-of-Payment Deficit for Fiscal Year 1974 Attributa-
ble to Maintaining U.S. Forces in Europe.
United States Programs in Ghana.
Foreign Visitor Travel to The United States can be Increased.

E00399-002**E01097-018****E00399-004**

SUBJECT INDEX**BARGES****BALTIMORE, MARYLAND****Health Department**

Personnel Distribution in a City Health Department.

E00507-048

Impact Planning and Evaluation

Baltimore Impact Planning and Evaluation.

E00811-043

Medical Programs

Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.

E00507-020

BANDWIDTH

Pulse Compression Radar Study.

E01102-024

BANGLADESH

Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis.

E01097-017

BANK (ASIAN DEVELOPMENT)

Improvements Needed in System for Managing U.S. Participation in the Asian Development Bank.

E01280-004

BANK (EXPORT-IMPORT)

Report on Audit of the Cargo Preference Program, Maritime Administration.

E00300-004

BANK (INTER-AMERICAN DEVELOPMENT)

Effectiveness of Independent and Comprehensive Audits of the Inter-American Development Bank.

E01280-003

BANK (WORLD)

More Effective United States Participation Needed in World Bank and International Development Association.

E01280-005

BANKSA Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives.
Improvements Needed in System for Managing U.S. Participation in the Asian Development Bank.

E05507-001

E01280-004

Blood

Improvements Needed in Certain Hospitals' Laboratory Service Activities.

E06595-011

Data

Heroin Being Smuggled into New York City Successfully.

E00890-002

Job

Estimating the Benefits of Job Banks as a Computerized Record Keeping System. Job Bank Evaluation.

E00900-015

E00902-039

Pep Data

Longitudinal Evaluation of PEP (Public Employment Program) and Validation of the PEP Data Bank.

E00902-042

Verbal Ability

Computer-Assisted Testing: calibration and evaluation of the verbal ability bank.

E05913-003

BANNER LTD

Bulk Sales--Rehabilitation, Property Disposition: Banner Ltd.

E00600-139

BARBITURATES

Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough.

E00890-004

BARGES

Supertanker Environmental Study.

E01101-007

BARRIOS**SUBJECT INDEX****BARRIOS**

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas. E00503-033

BASINS (OCEAN)

Achievements, Cost, and Administration of the Ocean Sediment Coring Program. E04690-001

BAY AREA RAPID TRANSIT

Linear Parkway: bay area rapid transit urban beautification demonstration project. E00602-052

BAY PINES, FLORIDA

Systematic External Review Program Survey Report: Veterans Administration Center, Bay Pines, Florida. E06504-030

BCHS FAMILY PLANNING

Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981. E00507-064
Forward Plan for BCHS Family Planning Program. E00507-044

BEACON (RADAR)

A Simulation of the DABS Sensor for Evaluating Reply Processor Performance. E01102-028
Augmented Radar Beacon Tracking Level System Design Specification. E01102-014
Development of a Discrete Address Beacon System. E01102-029
Discrete Address Beacon System/Air Traffic Control Interface Configuration Document. E01102-015
DAIR System Radar Target Relationships. E01102-017
Measurement and Analyses of ASR-4 System Error. E01102-018
Test and Evaluation of ATCRBS Electronic Scan Antenna. E01102-045

BEAM (ANTENNA)

Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar. E01102-042

BEAM (CESIUM)

Collision Avoidance Ground Station Analysis. E01102-025

BEAUTIFICATION

Linear Parkway: bay area rapid transit urban beautification demonstration project. E00602-052

BED NEEDS

Evaluation of Hill-Burton Bed Need Formula: Short-Term General Hospital Beds. E00506-035

BEEF

Evaluation of the FY 1974 USDA Special Beef Purchase. E00200-004

BEEKEEPERS

Aspects of the Beekeeper Indemnity Payment Program. E00299-006
Need for More Guidance in Establishing Recovery Periods for Damaged Bee Colonies to More Effectively Control Payments to Beekeepers. E00299-007

BEETS

Analysis of Individual Underwriting Progress and Problems. E00211-002

BEHAVIOR

Radio Aids to Navigation for the U.S. Coastal Confluence Region. E01101-011
Rat Control Evaluation Project; Philadelphia Household Interview and Environmental Survey. E00504-012
The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact. E00900-040
Youth Conservation Corps-Pilot Program 1973. E00216-004

Alcohol

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism. E00503-059

Drinking

Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse. E00503-053

SUBJECT INDEX**BICYCLING**

Environmental Rat Control Evaluation Project; Philadelphia Household Interview and Environmental Survey.	E00504-012
Labor Market Impact Analysis of MDTA Project Transition.	E00902-036
BEHAVIORAL CHANGE An Analysis of Actual and Potential Uses of Nursing Homes.	E00506-049
BEHAVIORAL IMPAIRMENT A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.	E00503-059
BENEFICIARIES Services for Special Beneficiaries: Costs Not Being Recovered. Survey of Newly Entitled Beneficiaries.	E01282-002 E00510-063
Disabled Worker Earnings of Disabled-Worker Beneficiaries.	E00510-029
Social Security Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
BENEFIT CHECKS Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits. Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned.	E00590-008 E00590-005
BENEFITS Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Retirement Covered Employment and the Age Men Claim Retirement Benefits.	E00510-041
Social Security Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
BHME EVALUATION STRATEGY FY 74 Evaluation Plan, Bureau of Health Manpower Education.	E00506-060
BIBLIOGRAPHIES Automated Psychiatric Record Systems Today: an assessment. Bibliographies, Notes and Summaries Concerning WIN. Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA. Determinants of State and Local Expenditures: an annotated bibliography. Development of Alternatives for an Evaluation of the Costs and Effectiveness of Inclusion of Mental, Dental, and Pharmaceutical Benefits in Health Maintenance or Related Organizations. Evaluation of Emergency Medical Service Systems Impact. Initial Manpower Management Program (MMP). Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals. The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers. Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.	E00503-031 E00509-008 E00506-061 E00600-011 E00507-059 E00507-073 E00507-056 E00503-019 E00503-030 E00507-012
BICENTENNIAL Review of the Organization and Operations of the United States Travel Service (USTS).	E00300-029
BICYCLING Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.	E00216-001

BIG GAME HUNTING**SUBJECT INDEX****BIG GAME HUNTING**

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.

E00216-001**BIOCHEMISTRY**

Evaluation of Linkage Between Research Publications and NIH Funding.

E00508-049**BIOCOMMUNICATIONS**

An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III.

E00508-032**BIOLOGICAL PRODUCTS**

Problems in Regulating Selected Vaccines.

E00585-003**BIOLOGY**

Evaluation of the National Cancer Institute Associate Program by Former, Current, and Potential Associates.

E00508-036**BIOMATERIALS**

Proceedings of a Symposium--Dental Biomaterials Research Priorities.

E00508-031**BIOMEDICAL COMMUNICATIONS**

Computer Aided Instruction (CAI) Network Evaluation Criteria and Methodology. Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine.

E00508-033**E00508-053****BIOMEDICAL RESEARCH**

Development of a Price Deflator for Biomedical Research (report on Phase I).
Development of a Price Deflator for Biomedical Research: a report on Phase II.
Evaluation of Linkage Between Research Publications and NIH Funding.

E00508-044**E00508-050****E00508-049****BIOMEDICAL SATELLITE**

Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite Experiment Evaluation.

E00508-043**BIOMETRY**

Effectiveness Criteria in Venereal Disease Control Programs.

E00504-020**BIOTRONIK CORPORATION**

Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Recalled by the General Electric Company.

E00585-011**BIRDS**

Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.
Review of Wetlands Acquisition Program.

E00709-006**E00709-001****BIRMINGHAM, ALABAMA**

On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.
Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas.

E00506-087**E00992-016****BIRTH CONTROL**

Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements.

E00507-018**BIRTHS**

Follow-up of Non-Respondent Mothers and Editing, Coding, and Key-Taping of Records in the 1972 National Birth Survey.

E00506-079**BIRTHS (ILLEGITIMATE)**

Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency?

E00589-004

SUBJECT INDEX**BLACKS****BITUMINOUS COAL INDUSTRY**

Land Reclamation Requirements and Their Estimated Effects on the Coal Industry. E00900-035

BLACK BENEFICIARIES

Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012

BLACK COLLEGES

A Study of the Minority Officer Procurement Program of the U.S. Coast Guard. E01101-014

BLACK FEMALES

The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors. E00900-029

BLACK GRADUATES

A Study of the Minority Officer Procurement Program of the U.S. Coast Guard. E01101-014

BLACK LUNG BENEFITS

Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows. E00590-003

Black Lung Benefits Act of 1972; first annual report on administration of the Act during calendar year 1974 and the transitional period in the second half of 1973. E00904-016

Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits. E00590-008

The Predicted Impact of the Black Lung Benefits Program on the Coal Industry. E00900-023

BLACK MALES

Measuring the Effect of the Federal Government on the Change in the Labor Market Position of Black Male Workers Relative to White Male Workers: 1966 to 1970. E00900-010

The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors. E00900-029

BLACK PATIENTS

Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area. E00503-018

BLACK RESIDENTS

FmHA Water and Waste Disposal Program Assistance to Small Rural Communities. E00200-005

BLACK WORKERS

Measuring the Effect of the Federal Government on the Change in the Labor Market Position of Black Male Workers Relative to White Male Workers: 1966 to 1970. E00900-010

Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential. E00900-004

BLACK/WHITE EARNINGS

Black-White Earnings and Employment: 1960-1970. E00900-027

Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential. E00900-004

BLACKFEET INDIAN RESERVATION

Inquiry into the Construction of Housing Projects on the Blackfeet Indian Reservation. E00694-009

BLACKFLIES

Citrus Blackfly Program Evaluation. E00205-003

BLACKS

Black-White Earnings and Employment: 1960-1970. E00900-027

Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012

National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits. E00207-003

The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors. E00900-029

BLIND PERSONS**SUBJECT INDEX**

BLIND PERSONS
The Supplemental Security Income Program for the Aged, Blind, and Disabled. E00510-039

BLITZ FORCE
Heroin Being Smuggled into New York City Successfully. E00890-002

BLOCK GRANT FUNDS
Procedures for Distribution of Community Development Block Grant Funds. E00600-120

BLOOD
Alcohol Safety Action Project: evaluation of operations. E01107-002

BLOOD (ALCOHOL CONCENTRATION)
Alcohol Safety Action Projects: evaluation of operations, 1973.. E01107-003

BLOOD BANK
Improvements Needed in Certain Hospitals' Laboratory Service Activities.
Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health. E06595-011
E00500-102

BLUE COLLAR WAGES
Improving the Pay Determination Process for Federal Blue-Collar Employees. E05990-019

BLUE CROSS
Health Benefits Program Audit Report: Blue Cross-Blue Shield of Des Moines, Iowa.
Need to More Consistently Reimburse Health Facilities Under Medicare and Medicaid. E05909-002
E00590-006

BLUE SHIELD
Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare. E00590-004

BOARD OF EDUCATION
Cleveland Summer Youth Employment Programs. E00992-013

BOARD OF IMMIGRATION
Board of Immigration Appeals: a discussion and recommendations for change. E00800-006

BOARD OF PAROLE
An Evaluation of the U.S. Board of Parole Reorganization. E00800-008

BOATS
Recreational Boating in the Continental United States in 1973: the nationwide boating survey. E01101-019

Assault
Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis. E01097-001

BODY ARMOR
Lightweight Body Armor. E00811-032

BOEING JT31 QUIET NACELLE
Quiet Nacelle Retrofit Feasibility Program: compatibility analysis and design study. E01102-002

BOEING 707
Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing. E01102-001

BOEING 727
Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique. E01102-003

BOISE, IDAHO
More Effective Procedures Are Needed for Establishing Payment Terms and Development Periods for Irrigation Projects. E00796-002

SUBJECT INDEX**BUILDING CODES****BONDED WAREHOUSES**

Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses.

E01282-001**BONUS STAMPS**Food Distribution and Food Stamp Program Effects on Nutritional Achievement.
Food Stamps and Nutrition.**E00207-002**
E00207-001**BOOMS (SONIC)**

Behavioral, Automatic, and Subjective Reactions to Low and Moderate Level Simulated Sonic Booms.

E01102-023**BORROWERS**Controls Exercised by Rural Electrification Administration over Borrowers' Activities.
Limited Success of Federally Financed Minority Businesses in Three Cities.
Rural Development as Related to REA Borrower's Activities.
Study of Minority Borrowers Prior and Subsequent to SBA Assistance.**E00299-034**
E05590-003
E00203-001
E05507-011**BOSTON, MASSACHUSETTS**On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.
Selected Aspects of the Boston, Massachusetts Model Cities Program.
Urban Renewal Land Disposition Studies: Boston, Mass.**E00506-087**
E00692-003
E00602-048**BOTTLED WATER**

Improved Federal and State Programs Needed to Insure the Purity and Safety of Drinking Water in the United States.

E02390-006**BRAZIL**The Brazilian Economic Boom: How Should the United States Relate to It?
U.S. Foreign Aid to Education: Does Brazil Need It?**E01097-020**
E01097-013**BRIDGE-TO-BRIDGE RADIOTELEPHONE**

Vessel Traffic Systems Issue Study.

E01101-008**BRIDGES**

Opportunities for Improving the Model Cities Program in San Antonio, Texas.

E00692-004**BRIEFING PROCEDURES**

Evaluation of Nation-Wide Preflight Weather Briefing Procedures.

E01102-059**BRITE-2 TELEVISED DISPLAY**

Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Televised Radar Display Applications.

E01102-043**BRITISH AIRWAYS**

Export of U.S.-Manufactured Aircraft--Financing and Competitiveness.

E00399-003**BRITISH HEALTH SERVICES**

Drug Programs Under British National Health Services.

E00510-146**BRONX, NEW YORK**

Concentrated Employment Program in New York City Has Not Met Its Employment Objectives.

E00992-001**BUFFALO, NEW YORK**On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.
Outpatient Health Care in Inner Cities: Its Users, Services, and Problems.**E00506-087**
E00589-010**BUILDERS**

Maritime Policy Study.

E00300-025**BUILDING CODES**

Evaluation of Legal Barriers to Emergency Medical Systems Implementation.

E00507-053

BUILDING DESIGNS**SUBJECT INDEX****BUILDING DESIGNS**

Multi-Family Default Study. E00600-004

BUILDINGS

Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981. E00507-064

Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites. E03790-003

Housing for the Elderly--Factors Which Should be Evaluated Before Deciding on Low- or High-Rise Construction. E00693-024

Air Terminal

Aircraft Taxiing Noise Measurement. E01102-005

Hospital

Study of Health Facilities Construction Cost. E00586-002

BURGLAR ALARMS

An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department. E00811-021

BUS TRANSIT SYSTEMS

Capital Assistance to Bus Transit Systems. E01105-001

Grants to Improve Bus Transit Systems--Progress and Problems. E01195-001

BUSINESS CYCLES

Minimum Wage Legislation in the United States. E00900-013

BUSINESS DEVELOPMENT

Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program. E00300-027

BUSINESS EDUCATION

Final Report of the OMBE (Office of Minority Business Enterprise) Management Task Force. E00300-026

BUSINESS LOANS

Administration of Small Business Loan Program Under the Occupational Safety and Health Act. E05590-004

Ways to Improve Effectiveness of Rural Business Loan Programs. E00299-017

BUSINESS RESEARCH

Interim Report on the Review of the Domestic and International Business Administration. E00300-023

BUSINESS SUCCESS

Limited Success of Federally Financed Minority Businesses in Three Cities. E05590-003

BUSINESSMEN

Interim Report on the Review of the Domestic and International Business Administration. E00300-023

Questionable Effectiveness of the 8(a) Procurement Program. E05590-006

BUSINESSMEN (NATIONAL ALLIANCE OF)

An Econometric Analysis of the Costs of Selected Manpower Programs: final report. E00900-032

BUY-IN PROGRAM

Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients. E00589-005

BUYERS

Need for Improved Consumer Protection in Interstate Land Sales. E00696-001

BUYING HABITS

Management of Federal Supply Service Procurement Programs Can Be Improved. E03790-005

SUBJECT INDEX**CALORIES****CADASTRAL PROGRAMS**

Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama.

E01017-004**CADETS**

A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates.

E01101-013**CAISSON**

First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-001**CALCIUM**

Food Distribution and Food Stamp Program Effects on Nutritional Achievement.

E00207-002**CALDWELL CHILD ADVOCACY PROJECT**

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project.

E00503-035**CALIFORNIA**

Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California.
Better Controls Needed for Health Maintenance Organizations under Medicaid in California.

E00500-115**E00587-005**

Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects.

E00796-001

Department of Medicine and Surgery Survey Report: VA Hospital, Palo Alto, California.

E06504-029

Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.

E01193-001

Evaluation of the California Probation Subsidy Program, Phase II.

E00811-009

Long Term Impact of Law Enforcement Assistance Grants Can Be Improved.

E00891-011

Managing Recreation Facilities at Bureau of Reclamation Reservoirs.

E00780-006

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.

E00780-005

More Effective Procedures Are Needed for Establishing Payment Terms and Development Periods for Irrigation Projects.

E00796-002

Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C.

E00599-003

Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare.

E00590-004

The Federal Reclamation Program; its impacts, issues and future considerations.

E00716-001

Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California.

E00712-009

WIN II Administrative Impact Study.

E00509-010**Courts**

Federally Supported Attempts to Solve State and Local Court Problems: More Needs to be Done.

E00891-007**Irrigation**

Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects.

E00796-001**Medicaid**

Better Controls Needed for Health Maintenance Organizations under Medicaid in California.

E00587-005**Model Cities Programs**

Review of the San Jose, California, Model Cities Program.

E00692-019**CALIFORNIA (UNIVERSITY OF)**

Achievements, Cost, and Administration of the Ocean Sediment Coring Program.

E04690-001**CALORIES**

Food Distribution and Food Stamp Program Effects on Nutritional Achievement.

E00207-002

CAMBODIA**SUBJECT INDEX****CAMBODIA**

U.S. Assistance to the Khmer Republic (Cambodia). E01097-016

CAMPERS

Post-camp Uses and Effects of YCC Experiences. E00700-006

CAMPING

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. E00216-001

CAMPS

An Evaluation of the 1973 Youth Conservation Corps. E00700-003

Post-camp Uses and Effects of YCC Experiences. E00700-006

Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps. E00700-004

Youth Conservation Corps Pilot Program, 1972. E00700-001

Youth Conservation Corps Pilot Program, 1973. E00700-002

Youth Conservation Corps-Pilot Program 1973. E00216-004

Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs. E00602-032

Program Evaluation, Youth Conservation Corps. E00709-003

CAMPUSES

The Demand for Facilities in the Post-secondary Sector, 1975 to 1990. E00501-010

CANADA

Cleaning Up the Great Lakes: United States and Canada Are Making Progress in Controlling Pollution from Cities and Towns. E02390-011

Great Lakes Pilotage Review. E01101-012

National Health Systems in Eight Countries. E00510-034

CANCER BIOLOGY AND DIAGNOSIS

Evaluation of the National Cancer Institute Associate Program by Former, Current, and Potential Associates. E00508-036

CANCER CHEMOTHERAPY ABSTRACTS

Cancer Chemotherapy Abstracts/Cancer Chemotherapy Reports Evaluation. E00508-039

CANCER CHEMOTHERAPY REPORTS

Cancer Chemotherapy Abstracts/Cancer Chemotherapy Reports Evaluation. E00508-039

CANNED PINEAPPLE

Excluding Substandard Canned Pineapple from the United States. E00585-012

CAPABILITIES (FOREIGN LANGUAGES)

Report on Audit of Activities Associated With Domestic Tourist Services and Facilities, United States Travel Service. E00300-005

CAPITAL

A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970. E00209-005

Ways to Improve Effectiveness of Rural Business Loan Programs. E00299-017

CAPITAL ASSISTANCE

Grants to Improve Bus Transit Systems--Progress and Problems. E01195-001

United States Interests and Activities in Nepal. E01097-005

CAPITAL ASSISTANCE (BUS TRANSIT SYSTEMS)

Capital Assistance to Bus Transit Systems. E01105-001

CAPITAL FORMATION

Social Security, Savings, and Capital Formation. E00510-007

CAPITAL INVESTMENTS

Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India. E01097-002

SUBJECT INDEX**CARTELS**

Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs. Revenue Sharing: Its Use By and Impact on Local Governments.	E00693-005 E01280-008
CAPITATION PAYMENTS Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970.	E00510-019
CAPPED WELLS Information on Certain Oil and Gas Industry Oversight Responsibilities.	E00780-004
CARCASSES (LIVESTOCK) Survey of Grade and Weight Selling of Livestock.	E00208-001
CARDIAC PACE MAKERS Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Recalled by the General Electric Company.	E00585-011
CAREERS An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program. An Evaluation of Allied Health Advanced Traineeship Grants. Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments. Effects of Ability, Family Background and Education on Earnings and Careers. Evaluation of the Career Development Program in Global Community Health. Indian Health Service Upward Mobility. Survey of the Civil Service Commission's Federal Automated Career System. The Program Services and Support System of the Rehabilitation Services Administration.	E00904-011 E00506-091 E00507-055 E00510-134 E00506-056 E00507-058 E05990-016 E00509-003
CAREERS (COMMUNITY MENTAL HEALTH) Strategies for Change in Community Mental Health: New Careers.	E00503-039
CARGO Department of Transportation's Cargo Security Program. Heroin Being Smuggled into New York City Successfully.	E01190-001 E00890-002
CARGO LOSSES Department of Transportation's Cargo Security Program. Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft.	E01190-001 E01192-001
CARGO PREFERENCE LAWS Report on Audit of the Cargo Preference Program, Maritime Administration.	E00300-004
CARGO SECURITY PROGRAMS Department of Transportation's Cargo Security Program.	E01190-001
CARGO THEFTS Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft.	E01192-001
CARPENTRY Evaluation of the Pilot Accelerated Apprenticeship Program.	E00902-002
CAREERS Evaluation of the Pilot Accelerated Apprenticeship Program.	E00902-002
CARRIERS Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare.	E00590-004
CARTELS Pricing Under Federal Milk Market Regulation: theory, objectives and impact.	E00204-002

CARTOGRAPHY**SUBJECT INDEX****CARTOGRAPHY**

Research and Development in Topographic Mapping. E00712-010

CASCADE HEALTH CARE, INC.

Report on Audit of the Family Health Center Program, Cascade Health Care, Inc.,
Portland, Oregon. E00500-116

CASE ALLOWANCES

Some Problems in Contracting for Federally Assisted Child-Care Services. E00589-003

CASE STUDIES

A Study of Ways in Which Community Mental Health Center Services Are Integrated
into Other Health Caregiving Functions. E00503-037

An Analysis of Cross Regional Case Development. E00810-007

Case Studies of Revenue Sharing in 26 Local Governments. E01280-009

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center
for Biomedical Communications, National Library of Medicine. E00508-053

Nursing Home Ombudsmen; five case studies. E00506-071

Report on Review of the Division of International Operations, Social Security
Administration. E00500-103

Review of SSA's Fraud Deterrence Program. E00500-107

The Changing Demand for Local Capacity and Analysis of Functional Programming
and Policy Planning. E00602-035

Trends in Sources of Funds for Community Mental Health Centers. E00503-064

CASEBOOK (DECISION-MAKING PROCESS)

Toward the Development of a Casebook on the Decision-Making Process in Neigh-
borhood Health Centers. E00507-081

CASELOAD

WIN II Initial Impact Study. E00509-009

CASELOADS (WELFARE)

Problems in Administering Two Eligibility Aspects in the Aid to Families with De-
pendent Children Program. E00589-002

CASEWORKERS

Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough. E00888-001

CASH

Changes in Law Recommended to Enable GSA to be More Effective in Selling
Excess Properties and in Acquiring Public Building Sites. E03790-003

Food Stamps and Nutrition. E00207-001

National Survey of Food Stamp and Food Distribution Program Recipients: a summa-
ry of findings on income sources and amounts and incidence of multiple
benefits. E00207-003

CASH ADVANCES

Advance Right-of-Way Acquisition Program. E01103-004

CASH ASSISTANCE

Improvement Needed in the Administration of the Program to Provide Medicare
Benefits for Welfare Recipients. E00589-005

Observation on Housing Allowances and the Experimental Housing Allowance
Program. E00693-019

Summary of U.S. Assistance to Jordan. E01097-014

The Supplemental Security Income Program for the Aged, Blind, and Disabled. E00510-039

CASH BENEFITS (SHORT-TERM SICKNESS)

Cash Benefits for Short-Term Sickness, 1948-72. E00510-044

CASH GRAIN PRICE REPORTING

Cash Grain Price Reporting in the Chicago Market. E00204-005

CASH MANAGEMENT

Improving the Effectiveness of the Government Employee's Incentive Awards
Program. E05990-002

SUBJECT INDEX**CHEMICAL REFERENCE DATA**

Money Management Study. E03700-004

CASUALTIES
A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels. E01101-001

CATCHMENT
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area. E00503-018
Two Studies on Catchmenting and Catchment-Oriented Services. E00503-042
Viability of the Catchment Area Concept in the Community Mental Health Centers Program. E00503-038

CATCHMENT (COMMUNITY MENTAL HEALTH CENTERS)
Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City. E00503-026

CATHETERS (DISPOSABLE)
Reuse of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals. E06595-015

CATTLE
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton). E00209-001
Evaluation of the FY 1974 USDA Special Beef Purchase. E00200-004
Survey of Grade and Weight Selling of Livestock. E00208-001

CEMENT (CONCRETE)
Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic. E01102-010

CEMETERIES
Establishment of National Cemetery System in the Veterans Administration. E06504-006
Organization: Placement and Functions of the National Cemetery System in the VA. E06504-004

CENSUS
Black-White Earnings and Employment: 1960-1970. E00900-027
The Demand for Facilities in the Post-secondary Sector, 1975 to 1990. E00501-010

CENTENARIANS
Relatedness Patterns of Puerto Rican Centenarians. E00510-151

CENTER FOR DISEASE CONTROL
Effectiveness Criteria in Venereal Disease Control Programs. E00504-020
Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. E00504-003
Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. E00504-007
Evaluation of Status of Standards for Diagnostic Materials. E00504-018

CESIUM BEAM STANDARDS
Collision Avoidance Ground Station Analysis. E01102-025

CHATTANOOGA, TENNESSEE
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants. E00507-020
On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies. E00506-087

CHEMICAL EXPLOSIVES
Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area. E06086-001

CHEMICAL REFERENCE DATA
Report on Audit of the Office of Standard Reference Data, National Bureau of Standards. E00300-009

CHEMICALLY STABLIZED SOIL**SUBJECT INDEX****CHEMICALLY STABLIZED SOIL**

Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic. E01102-010

CHEMICALS (DANGEROUS)

Development of a Contingency Plan for Certification of Pesticide Applications. E02300-009

CHEMISTRY

Science Development: an evaluation study. E04600-002

CHEMOTHERAPY (ABSTRACTS)

Cancer Chemotherapy Abstracts/Cancer Chemotherapy Reports Evaluation. E00508-039

CHEST X-RAYS

Evaluation of VISA Medical Activities. E00504-004

CHICAGO, ILLINOIS

Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V. E00500-201

Audit of Intergovernmental Personnel Act Grant to the City of Chicago. E05914-006

Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C. E00599-003

CHILD ADVOCACY

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas. E00503-033

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina. E00503-032

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project. E00503-035

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif. E00503-043

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania. E00503-034

CHILD CARE

A comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209

Design and Evaluation of Innovative Staffing Pattern for WIN Child Care Establishments. E00902-026

Evaluation of Child Care Services. E00902-031

Food for Peace: An Evaluation of P.L. 480--Title II. E00212-002

Review of Child Care Services Provided Under Title IV, Social Security Act. E00500-101

Some Problems in Contracting for Federally Assisted Child-Care Services. E00589-003

WIN II Initial Impact Study. E00509-009

CHILDHOOD

A Sequential Approach to Early Childhood and Elementary Education. E00512-001

CHILDREN

An Appraisal of the Special Summer Food Service Program for Children. E00299-024

Assessment of Child Mental Health Needs and Programs. E00503-062

Design for a National Evaluation of Social Competence in Head Start Children. E00512-002

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas. E00503-033

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project. E00503-035

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania. E00503-034

Family Structure in Pre-Retirement Years. E00510-049

Health Start Final Report of the Evaluation of the Second Year Program. E00512-008

Implementation of the Poison Prevention Packaging Act of 1970. E30400-101

Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program. E00589-007

Observations on Evaluation of the Special Supplemental Food Program. E00299-023

SUBJECT INDEX**COMMUNITY HEALTH**

Presidential Objective on Child Nutrition Programs.	E00207-008
Progress and Problems in Achieving Objectives of School Lunch Program.	E00299-021
Project Head Start: achievements and problems.	E00591-002
Short-Term Cognitive Effects of Head Start Programs.	E00512-007
The Demand for Facilities in the Post-secondary Sector, 1975 to 1990.	E00501-010
The Family Planning Evaluation Project.	E00507-026
Toward a New Cognitive Effects Battery for Project Head Start.	E00512-003
Young Widows and Their Children: A Comparative Report.	E00510-011
1972 National School Lunch Program Survey.	E00207-006
Dependent	
Deinstitutionalization--Dependent and Neglected Children.	E00509-032
Participation in the Aid to Families with Dependent Children.	E00509-029
Problems in Administering Two Eligibility Aspects in the Aid to Families with Dependent Children Program.	E00589-002
Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency?	E00589-004
Some Problems in Contracting for Federally Assisted Child-Care Services.	E00589-003
Disadvantaged	
Health Start Final Report of the Evaluation of the Second Year Program.	E00512-008
Who's Picking Up the Check for Pennsylvania's School Lunches?	E00207-007
Diseases	
Food for Peace: An Evaluation of P.L. 480--Title II.	E00212-002
Health Start Final Report of the Evaluation of the Second Year Program.	E00512-008
Progress and Problems in Providing Health Services to Indians.	E00587-003
Project III-Description of Existing Maternal and Child Health Services and Resources in the United States.	E00507-082
Food Services	
Demonstration Project for Summer Special Food Service Program for Children.	E00207-026
An Appraisal of the Special Summer Food Services Program for Children.	E00299-024
Handicapped	
Improving Services to Handicapped Children.	E00500-069
Project Head Start: achievements and problems.	E00591-002
Health Services (Mental)	
Assessment of Child Mental Health Needs and Programs.	E00503-062
Hospitalized	
Implementation of the Poison Prevention Packaging Act of 1970.	E30400-101
Migrant	
Follow-up Review of Migrant Children Education, Office of Education.	E00500-100
Health Start Final Report of the Evaluation of the Second Year Program.	E00512-008
Minority	
1972 National School Lunch Program Survey.	E00207-006
Needy	
An Appraisal of the Special Summer Food Service Program for Children.	E00299-024
Deinstitutionalization--Dependent and Neglected Children.	E00509-032
Progress and Problems in Achieving Objectives of School Lunch Program.	E00299-021
Who's Picking Up the Check for Pennsylvania's School Lunches?	E00207-007
Nutrition	
Observations on Evaluation of the Special Supplemental Food Program.	E00299-023
Presidential Objective on Child Nutrition Programs.	E00207-008
School Feeding Effectiveness.	E00207-004
The USDA Study on High School Participation in Child Nutrition Programs.	E00207-005
School Age	
Quality of Day Care in Region X Before and After School Care. Phase I.	E00500-211
Social Competency	
Social Competency in Young Children.	E00512-010
COMMUNITY HEALTH	
Development, Application and Testing of a Need Assessment Manual for Areawide CHP Agencies.	E00506-072

CHRONICALLY UNEMPLOYED WORKERS**SUBJECT INDEX****CHRONICALLY UNEMPLOYED WORKERS**

The Effect of Manpower Training on Earnings: preliminary results. E00900-028

CIGARETTES

Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. E00504-003

CINCINNATI, OHIO

The Low Rent Housing Project on Quebec Road in Cincinnati. E00692-999

CIRCULAR A-95

Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs. E00602-032

CIRCULATORY SYSTEM DISEASES

Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050

CIRCULATORY SYSTEMS

The Disabled Widow. E00510-016

CITIZEN PARTICIPATION

Revenue Sharing: Its Use By and Impact on Local Governments. E01280-008

CITRUS BLACKFLY

Citrus Blackfly Program Evaluation. E00205-003

CITRUS PRODUCING AREAS

Citrus Blackfly Program Evaluation. E00205-003

CITY CRIMES

Denver Impact City Crime Analysis Team. E00811-046

CITY DEMONSTRATION AGENCIES

Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana. E00692-005

CITY HEALTH SERVICES

Personnel Distribution in a City Health Department. E00507-048

CITY LIGHTING

Completion of the Kansas City Lighting Study. E00811-025

CITY PLANNING AGENCIES

Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California. E00712-009

CIVIL DISTURBANCES

The Department of Justice's Civil Disturbance Analysis and Evaluation Function; Report and Recommendations on the Intelligence Analysis Unit. E00800-014

CIVIL PENALTIES

Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969. E00791-002

Improvements Needed in the Assessment and Collection of Penalties--Federal Coal Mine Health and Safety Act of 1969. E00791-001

Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials. E01190-002

CIVIL RIGHTS

Major Evaluation Questions Concerning the Federal Contract Compliance Program: Federal Contracts for Goods and Services. E00900-031

Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities. E00586-003

Title VI Civil Rights Enforcement Program. E06504-015

SUBJECT INDEX**CLAIMS****CIVIL SERVICE**

The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements.

E00992-015

CIVIL SERVICE COMMISSION

Agencies' Personnel Management Can be Enhanced by Improving the Evaluation Process.

E05990-008
E05990-009

Assistance Programs for Displaced Federal Civilian Employees.

Improving the Effectiveness of the Government Employee's Incentive Awards Program.

E05990-002
E05990-019
E05990-011
E05990-018
E05990-016

Improving the Pay Determination Process for Federal Blue-Collar Employees.

Personnel Security Investigations; Inconsistent Standards and Procedures.

Survey of the Civil Service Commission's Executive Assignment System.

Survey of the Civil Service Commission's Federal Automated Career System.

Upward Mobility Programs in the Federal Government Should Be Made More Effective.

E05990-017

CIVILIAN EMPLOYEES

Assistance Programs for Displaced Federal Civilian Employees.

E05990-009
E05990-006

Federal Retirement System--Key Issues, Financial Data, and Benefit Provisions.

Improving the Effectiveness of the Government Employee's Incentive Awards Program.

E05990-002

More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.

E00995-004

Need to Improve Language Training Programs and Assignments for U.S. Government Personnel Overseas.

E01097-003
E05990-011

Personnel Security Investigations; Inconsistent Standards and Procedures.

CLAIMS

An Analysis of Medicare Administrative Costs.

E00510-023

Black Lung Benefits Act of 1972; first annual report on administration of the Act during calendar year 1974 and the transitional period in the second half of 1973.

E00904-016
E00509-013

Comparison of State Agency and Fiscal Agent Performance in Medicaid.

Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse.

E00589-009

Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned.

E00590-005

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.

E00780-005

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.

E00693-002

Problems Associated With Reimbursements to Hospitals for Services Furnished Under Medicare.

E00590-002
E00500-114

Program for School Assistance in Federally Affected Areas (SAFA).

Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare.

E00590-004

The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates.

E00900-016

Black Lung Benefits

Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits.

E00590-008

Foreign

Report on Review of the Division of International Operations, Social Security Administration.

E00500-103

Mail

The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates.

E00900-016

Medicaid

Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse.

E00589-009

Mining

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.

E00780-005

CLAIMS**SUBJECT INDEX**

Mortgage Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.	E00693-002
Widows Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits.	E00590-008
CLASSIFICATION (GS GRADES) Cost and Use of Personnel in the Agency for International Development. Report of the Study of Classification Accuracy in GS Grade Levels 12-15.	E01097-021 E05911-001
CLASSROOM INSTRUCTION Cleveland Summer Youth Employment Programs. Evaluation of the Community Based Right to Read Program. Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast. Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act.	E00992-013 E00501-011 E00508-034 E00992-002
CLASSROOMS A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates. A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects.	E00501-007 E00501-005
CLEAN AIR REQUIREMENTS Assessment of Federal and state Enforcement Efforts to Control Air Pollution from Stationary Sources.	E02390-005
CLEARCUTTING Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.	E00216-003
CLEARINGHOUSES (MENTAL HEALTH INFORMATION) Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration.	E00500-104
CLEARINGHOUSES (POISON CONTROL) Evaluation of Poison Control System: Vol. I, Project Results, Averages. Models for performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat.	E00505-010
CLEVELAND, OHIO Planning and Evaluation Program, Cleveland Impact Program. Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas.	E00811-044 E00992-016
Health Services Outpatient Health Care in Inner Cities: Its Users, Services, and Problems.	E00589-010
Summer Youth Employment Cleveland Summer Youth Employment Programs.	E00992-013
CLINICAL CENTERS Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health.	E00500-102
CLINICAL INFORMATION Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.	E00507-012
CLINICAL JOURNALS Evaluation of Linkage Between Research Publications and NIH Funding.	E00508-049

SUBJECT INDEX**COAL****CLINICAL LABORATORIES**

Evaluation Report on the Effectiveness of the Clinical Laboratories Improvement Program.
The Economics of Non-Hospital Clinical Laboratories.

E00504-005
E00510-132

CLINICAL RECORDS

Automated Psychiatric Record Systems Today: an assessment.

E00503-031

CLINICAL RESEARCH

Evaluation Report: General Clinical Research Centers Program, Division of Research Resources.

E00508-038

CLINICAL SERVICES

Forward Plan for BCHS Family Planning Program.

E00507-044

CLINICAL TESTS

Evaluation of Linkage Between Research Publications and NIH Funding.
Supervision Over Investigational Use of Selected Drugs.

E00508-049
E00585-006

CLINICAL TOXICOLOGY

Evaluation of Poison Control System: Vol. I, Project Results, Averages. Models for Performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat.

E00505-010

CLINICAL TRAINING

A Study of the Problems Encountered in the Provision of Clinical Training for Allied Health Professions.

E00506-094

CLINICS

Clinic Self-Evaluation Manual for Determination and Improvement of Clinic Efficiency.
Evaluation Report: General Clinical Research Centers Program, Division of Research Resources.

E00507-084
E00508-038

CLINICS (DENTAL)

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans.

E06595-006

CLINICS (OUTPATIENT)

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans.

E06595-006

CLOSED-CIRCUIT TELEVISION

Review of Veterans Administration Closed Circuit Television System.

E06595-009

CLOSED CIRCUIT TELEVISION (CASE SCREENING)

Demonstration Project for Closed Circuit Television Case Screening and Legal Counseling.

E00811-005

CLOTHING INDUSTRY

Demographic Characteristics of Workers in the Work Clothing Industry.

E00906-003

CLUTTER REJECTION

Technical Evaluation of Weather Clutter Feasibility Model.

E01102-030

COACHING SCHOOLS

Licensing of Deck and Engineering Officers in the U.S. Merchant Marine.
Radar Observer Testing.

E01101-002
E01101-004

COAL

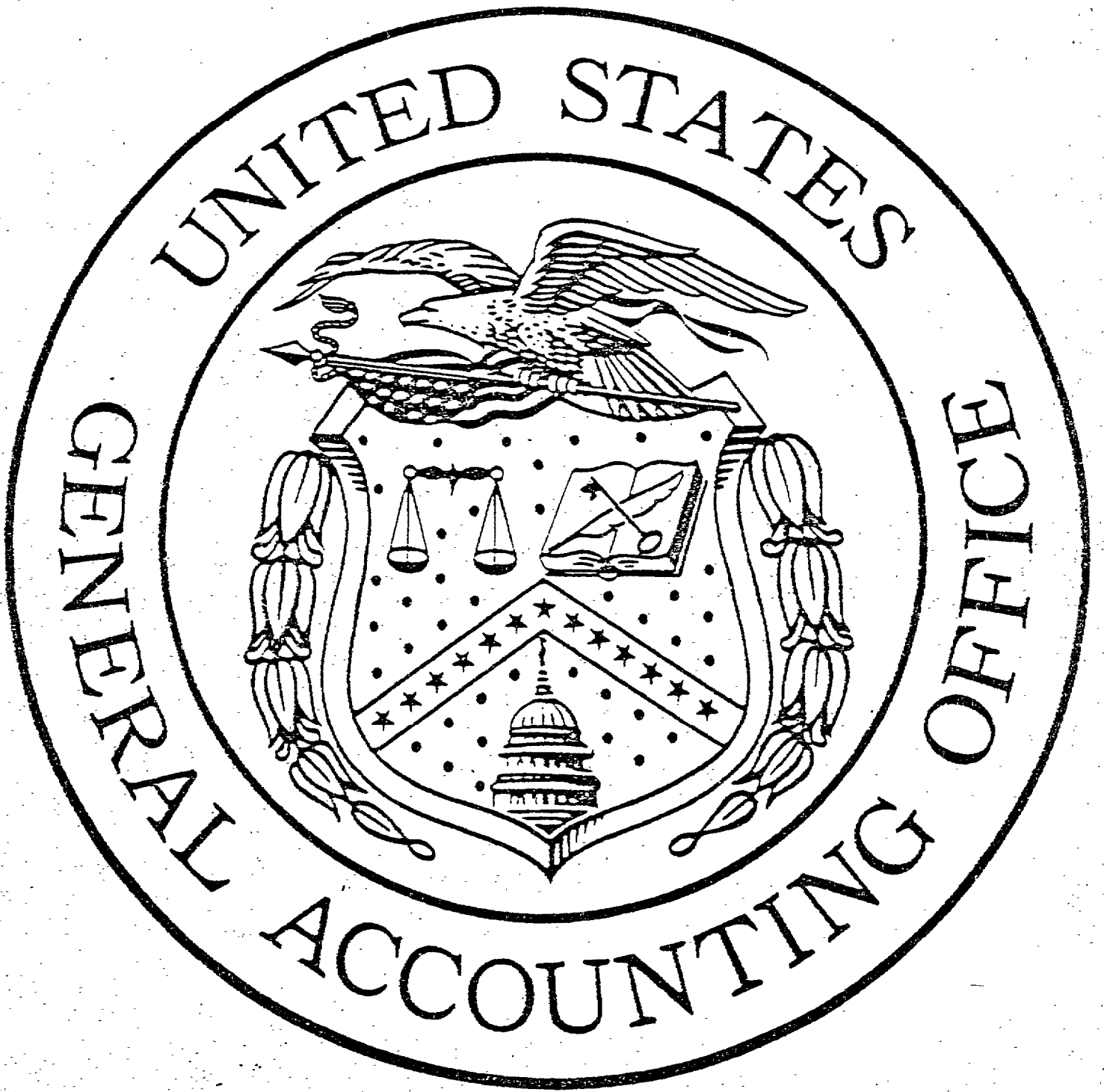
Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands.
Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969.
Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program.

E00780-001
E00791-002
E00780-009

COAL**SUBJECT INDEX**

Land Reclamation Requirements and Their Estimated Effects on the Coal Industry. The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.	E00900-035 E00900-023
COAL INDUSTRY The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.	E00900-023
COAL MINE HEALTH AND SAFETY Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands.	E00780-001
Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969.	E00599-005
Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969.	E00791-002
Improvements Needed in the Assessment and Collection of Penalties--Federal Coal Mine Health and Safety Act of 1969.	E00791-001
COAL MINERS Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows.	E00590-003
Improvements Needed in the Assessment and Collection of Penalties--Federal Coal Mine Health and Safety Act of 1969.	E00791-001
Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Pro- duction, Protect the Environment, and Improve Public Land Management.	E00780-005
COAL MINING Land Reclamation Requirements and Their Estimated Effects on the Coal Industry. The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.	E00900-035 E00900-023
COAST GUARD A Study of the Minority Officer Procurement Program of the U.S. Coast Guard.	E01101-014
A Study of the U.S. Coast Guard Intelligence and Security Functions.	E01101-017
Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions.	E01101-020
Department of Transportation's Cargo Security Program.	E01190-001
Evaluation of the Distribution and Utilization of FHPS Manpower in the U.S. Coast Guard Medical Program.	E00507-039
Government Maritime Communications.	E01101-018
Human Relations in the Coast Guard.	E01101-016
Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials.	E01190-002
Recreational Boating in the Continental United States in 1973: the nationwide boat- ing survey.	E01101-019
Report on Audit of the Ship Transfer Program, Maritime Administration.	E00300-006
The Medical Care Requirements of the U.S. Coast Guard.	E00507-045
Vessel Traffic Systems Issue Study.	E01101-008
Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents?	E01191-001
COAST GUARD ACADEMY A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates.	E01101-013
COAST GUARD TRAINING CENTER Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center.	E01101-015
COASTAL CONFLUENCE REGIONS Radio Aids to Navigation for the U.S. Coastal Confluence Region.	E01101-011
COASTAL RADIO STATIONS Government Maritime Communications.	E01101-018
COASTAL WETLAND MAPPING Research and Development in Topographic Mapping.	E00712-010
COBB-DOUGLAS PRODUCTION FUNCTIONS The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.	E00900-023

5 OF 10



SUBJECT INDEX**COLORADO****COCAINE**

Problems in Slowing the Flow of Cocaine and Heroin from and through South America.

E00890-007**CODE DEFICIENCIES**

Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements.

E00589-008**CODE ENFORCEMENT**

Code Enforcement Program.

Review of Certain Aspects of the Federally Assisted Code Enforcement Program in Denver, Colorado.

E00600-065**E00692-020****CODES (BUILDINGS)**

Evaluation of Legal Barriers to Emergency Medical Systems Implementation.

E00507-053**CODES (LOCAL)**

Information on Federal Disaster Relief Programs.

E05590-002**CODES (VEHICLES)**

Evaluation of Legal Barriers to Emergency Medical Systems Implementation.

E00507-053**COERCION**

Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.

E00503-052

Report on the Affirmative Steps Taken to Ensure the Protection from Coercion of Employees Who Fall within the Purview of the Major RIF Retirement Law.

E05908-006**COGNITIVE PROCESSES**

Short-Term Cognitive Effects of Head Start Programs.

E00512-007

Toward a New Cognitive Effects Battery for Project Head Start.

E00512-003**COLLEGE COMMUNITIES**

Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions.

E00902-017**COLLEGE HOUSING**

College Housing Loan.

E00600-132

College Housing Management.

E00600-129**COLLEGES**

Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions.

E00902-017**COLLEGES (BLACK)**

A Study of the Minority Officer Procurement Program of the U.S. Coast Guard.

E01101-014**COLLISIONS**

Collision Avoidance Ground Station Analysis.

E01102-025**Vessel**

Vessel Traffic Systems Issue Study.

E01101-008

Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents?

E01191-001

Department of Transportation's Cargo Security Program.

E01190-001**COLOMBIA**

Intercountry Evaluation of Agriculture Sector Programs: Colombia, Costa Rica, Guatemala.

E01017-003

The Case of P.L. 480, The Side Effects of Foreign Aid: Wheat in Colombia.

E00212-001**COLORADO**

Audit of Intergovernmental Personnel Act Grant to the State of Colorado.

E05914-003

Federally Supported Attempts to Solve State and Local Court Problems: More Needs to be Done.

E00891-007

Managing Recreation Facilities at Bureau of Reclamation Reservoirs.

E00780-006

COLORADO**SUBJECT INDEX**

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management. E00780-005

COMBUSTION
Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System. E01102-009
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. E01102-004

COMMERCE
Draft Report to Steering Committee on Commerce Legislative Affairs Study. E00300-021
Interim Report on Department of Commerce Field Operations. E00300-022
International Trade Study. E00300-024
Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration. E00300-011
Survey of Bureau Management by Objectives Programs. E00300-020

Domestic
Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973. E01101-006

Foreign
Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development. E01097-010

Interstate
Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs. E00585-007
Excluding Substandard Canned Pineapple from the United States. E00585-012

Waterborne
Vessel Traffic Systems Issue Study. E01101-008

COMMERCIAL DIVING ACCIDENTS
Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973. E01101-006

COMMERCIAL JET AIRCRAFT
Export of U.S.-Manufactured Aircraft-Financing and Competitiveness. E00399-003

COMMERCIAL LANDS
Water & Land Resource Accomplishments, 1973. E00716-002

COMMERCIAL MARITIME COMMUNICATIONS
Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions. E01101-020

COMMERCIAL PRODUCTS (TOXICOLOGY)
Evaluation of Poison Control System: Vol. I, Project Results, Averages. Models for performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat. E00505-010

COMMERCIAL STORAGE
Uniform Grain Storage Agreement. E00209-003

COMMERCIAL USERS
Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes. E06290-001

COMMISSARY FUNDS
Use of Statutory Authority for Providing Inmate Release Funds. E00888-003

COMMISSIONS (BROKERAGE)
The Federal Crime Insurance Program: how it can be made more effective. E00696-003

COMMISSIONS (REGIONAL)
EDA-Regional Commission Coordination Review. E00300-019

SUBJECT INDEX**COMMUNICATION****COMMODITIES**

Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy. E00209-006
 Food Distribution and Food Stamp Program Effects on Nutritional Achievement. E00207-002
 Implications of Discontinuing USDA Commodity Acquisition and Distribution Activities. E00200-009
 Implications of Discontinuing USDA Commodity Acquisitions and Distribution Activities. E00200-006
 Program Evaluation of the Commodity Intelligence System, Tobacco Division. E00212-006
 Report on Review of Market Development Activities, Maritime Administration. E00300-016
 Sheltered Workshops Under the Fair Labor Standards Act. E00904-012
 U.S. Assistance to the Khmer Republic (Cambodia). E01097-016

COMMODITIES (FARM)

Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert. E00204-001
 Food for Peace: An Evaluation of P.L. 480--Title II. E00212-002
 United States Programs in Ghana. E01097-018

COMMODITY CREDIT CORPORATION

Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain. E00299-010
 Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program. E00299-008
 Uniform Grain Storage Agreement. E00209-003

COMMODITY DISTRIBUTION

Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services. E00591-001

COMMODITY FUTURES TRADING

Improvements Needed in Regulation of Commodity Futures Trading. E00299-012

COMMODITY LOANS

Uniform Grain Storage Agreement. E00209-003

COMMODITY PRICE SUPPORT

Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved. E00299-035

COMMODITY PRICES

Farm Programs, Pesticide Use, and Social Costs. E00209-004

COMMUNICABLE DISEASE CENTER

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. E00504-007

COMMUNICATION

Assessment of Emergency Medical Systems. E00507-052
 Science Development, University Development, and the Federal Government. E04600-001

Radio

Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite Experiment Evaluation. E00508-043

Biomedical

Computer Aided Instruction (CAI) Network Evaluation Criteria and Methodology. E00508-033
 Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine. E00508-053

Maritime

Multipath Channel Simulation and Modern Evaluation Program. E01102-044

Ship/Shore

Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions. E01101-020

COMMUNICATION (VOICE)**SUBJECT INDEX****COMMUNICATION (VOICE)**

Objective Measurement Techniques for Evaluating Voice Communication Channels. **E01102-052**

COMMUNICATIONS

A Study of the Nature and Function of the Emergency Medical Service Advisory Councils in Relation to Selected Community Variables. **E00507-021**

Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions. **E01101-020**

Evaluation of Legal Barriers to Emergency Medical Systems Implementation. **E00507-053**

Provisional Message Formats for the DABS/NAS Interface. **E01102-026**

WIN II Initial Impact Study. **E00509-009**

Data

Appraisal of Government-Wide and Internal ADP-Related Activities, General Services Administration. **E03700-006**

Maritime

Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions. **E01101-020**

COMMUNICATIONS (MARITIME)

Government Maritime Communications. **E01101-018**

COMMUNICATIONS EQUIPMENT

Recreational Boating in the Continental United States in 1973: the nationwide boating survey. **E01101-019**

COMMUNICATIONS TEST FACILITY

Multipath Channel Simulation and Modern Evaluation Program. **E01102-044**

COMMUNITIES

Evaluation of the Smaller Communities Program. **E00902-010**

Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services. **E00507-023**

COMMUNITY ACTION AGENCIES

Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs. **E00602-032**

COMMUNITY AGENCIES

Assessment of Child Mental Health Needs and Programs. **E00503-062**

COMMUNITY COLLEGES

Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions. **E00902-017**

COMMUNITY DEVELOPMENT

Alternative Federal Policies for New Community Development. **E00601-155**

Annual Arrangements: improving coordination of community development programs. **E00602-038**

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania. **E00503-034**

Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview. **E00201-001**

Improvements Needed in Department of Housing and Urban Development's Administration of the New Community Development Program. **E00691-001**

Need for More Timely and Accurate Data on the Operations of Certain Community Development Programs. **E00692-002**

New Communities Evaluation. **E00600-001**

Procedures for Distribution of Community Development Block Grant Funds. **E00600-120**

Rural Development as Related to REA Borrower's Activities. **E00203-001**

The Necessity and Impact of Federal Guarantees and Other Financial Aid on New Community Development. **E00601-023**

COMMUNITY FACILITIES

FmHA Water and Waste Disposal Program Assistance to Small Rural Communities. **E00200-005**

SUBJECT INDEX**COMMUNITY SERVICES****COMMUNITY GUARANTEE PROGRAM**

New Community Guarantee Program.

E00600-073

COMMUNITY HEALTH

Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981.
Community Health Medic Evaluation.

E00507-064
E00507-025

Development of a Uniform Accounting System for Comprehensive Health Centers
Which Are Funded by 314(e) Grants.

E00507-020

Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organiza-
tions and Community Health Networks.

E00587-007

Evaluation of the Career Development Program in Global Community Health.

E00506-056

Initial Manpower Management Program (MMP).

E00507-056

Strategies for Change in Community Mental Health: New Careers.

E00503-039

COMMUNITY HEALTH CENTERS

Assessment of Child Mental Health Needs and Programs.

E00503-062

Development of a Uniform Accounting System for Comprehensive Health Centers

Which Are Funded by 314(e) Grants.

E00507-020

COMMUNITY HEALTH SERVICES

National Indian Health Board: Consumer Evaluation of Indian Health Services.

E00507-030

COMMUNITY HOSPITALS

Financial Position of Private Community Hospitals, 1961-71.

E00510-047

Interactive Television: A Study of Its Effectiveness As a Medical Education Resource
in the Rural Northeast.

E00508-034

COMMUNITY MENTAL HEALTH CENTER

A Study of Ways in Which Community Mental Health Center Services Are Integrated
into Other Health Caregiving Functions.

E00503-037

COMMUNITY MENTAL HEALTH CENTERS

A Systematic Approach to the Evaluation of Drug Programs at the National Institute
of Mental Health.

E00503-023

Design of an Evaluation System for the National Institute of Mental Health.

E00503-024

Evaluation of the Impact of Community Mental Health Center Consultation Services
on School Systems.

E00503-041

Implementation of a Policy of Self-Support by Neighborhood Health Centers.

E00587-001

Need for More Effective Management of Community Mental Health Centers Program.

E00599-004

Services to the Mentally Disabled of the Metropolitan Hospital Community Mental
Health Center Catchment Area in New York City.

E00503-026

Study of the Relationship Between Community Mental Health Centers and State
Mental Hospitals.

E00503-019

The Impact of Preferential Poverty Funding on the Operations of Community Mental
Health Centers.

E00503-030

Trends in Sources of Funds for Community Mental Health Centers.

E00503-064

Two Studies on Catchmenting and Catchment-Oriented Services.

E00503-042

Viability of the Catchment Area Concept in the Community Mental Health Centers
Program.

E00503-038

COMMUNITY NEEDS

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of
Education: East Nashville, Caldwell Child Advocacy Project.

E00503-035

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of
Education: Philadelphia Urban League Advocacy Project, Philadelphia,
Pennsylvania.

E00503-034

COMMUNITY PROGRAMS

Assessment of Selected State and Community Programs.

E01107-001

Staffing and Efficiency of Drug Treatment Programs.

E00506-074

The Federal New Community Program in the Context of an Emerging National
Growth Policy.

E00601-146

COMMUNITY SERVICES

An Evaluation of State Hill-Burton Reporting Systems for Free Care and Community
Services to the Poor, Provided for by Federally Assisted Health Facilities.

E00506-105

Need for More Effective Management of Community Mental Health Centers Program.

E00599-004

COMMUNITY WORK EXPERIENCE PROGRAM**SUBJECT INDEX****COMMUNITY WORK EXPERIENCE PROGRAM**

WIN II Administrative Impact Study. E00509-010

COMPANIES

Crop Insurance and Information Services to Control Use of Pesticides. E02305-100

Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program. E00780-009

Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems. E06290-005

Review of Royalty Accounting System for Onshore Oil and Gas Leases. E00712-013

The Federal Crime Insurance Program: how it can be made more effective. E00696-003

Aviation Insurance

General Aviation Aircraft Safety: The Princeton University Conference. E01102-007

Drug

Supervision Over Investigational Use of Selected Drugs. E00585-006

Export Management

Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration. E00300-015

Oil

Problems of Independent Refiners and Gasoline Retailers. E02990-003

Petroleum

International Inventory and Forecast of Offshore Petroleum and Mineral Activity. E01101-003

Smelting and Refining

Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses. E01282-001

COMPENSATION

Cost Analysis: Present Compensation, Pension and Education Programs. E06504-008

Information on Professional Fees and Other Compensation Paid for Services of Selected Physicians Employed at the Veterans Administration Hospital in Miami, Florida. E06595-007

More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs. E00995-004

Veterans Assistance Program in the Philippines Should Be Improved. E06590-003

Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act. E00904-010

COMPENSATION (UNEMPLOYMENT)

Employment Services for Vietnam-Era Veterans Could Be Improved. E00992-024

COMPENSATORY EDUCATION

The Impact of Compensatory Education and Desegregation on Juvenile Delinquency. E00509-033

COMPETENCY

Design for a National Evaluation of Social Competence in Head Start Children. E00512-002

Need to Improve Language Training Programs and Assignments for U.S. Government Personnel Overseas. E01097-003

Science Development: an evaluation study. E04600-002

Children

Social Competency in Young Children. E00512-010

Foreign Language

Need to Improve Language Training Programs and Assignments for U.S. Government Personnel Overseas. E01097-003

Head Start Children

Design for a National Evaluation of Social Competence in Head Start Children. E00512-002

Social

Design for a National Evaluation of Social Competence in Head Start Children. E00512-002

Social Competency in Young Children. E00512-010

COMPETITION

Administration of the Alien Labor Certification Program Should Be Strengthened. E00992-029

SUBJECT INDEX**COMPUTER AIDED INSTRUCTION**

Can University Extension Run Consumer Health Education Programs? An Evaluative Study of Four Demonstrations and Four Controls.	E00506-050
Demographic Characteristics of Workers in the Work Clothing Industry.	E00906-003
Effectiveness of Vocational Rehabilitation in Helping the Handicapped.	E00591-004
Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets.	E00208-002
Export of U.S.-Manufactured Aircraft--Financing and Competitiveness.	E00399-003
International Trade Study.	E00300-024
Maritime Policy Study.	E00300-025
Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.	E01097-010
The Expectations and Commitments of HPSC--OPSAM (Health Program Systems Center--Operations Systems Analysis Module): A documentary review.	E00507-017
Travel	
Foreign Visitor Travel to The United States can be Increased.	E00399-004
COMPETITIVE BIDS	
Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites.	E03790-003
COMPREHENSIVE CMHC NETWORK	
Assessment of Child Mental Health Needs and Programs.	E00503-062
COMPREHENSIVE EMPLOYMENT AND TRAINING ACT	
An Impact Evaluation of the Public Employment Program.	E00900-002
Evaluating the Impact of CETA (Comprehensive Employment and Training Act) Program on Participants' Earnings: Methodological Issues and Problems.	E00900-037
Evaluation of Planning and Coordination of Manpower Programs--Phase II.	E00500-218
Evaluation Questions Under the Comprehensive Employment and Training Act of 1973.	E00900-038
Guidelines for Evaluation of Employment Practices Under the CETA Act.	E05917-005
Opportunity to Increase Effectiveness of JOBS-Type Programs to be Funded Under CETA (Comprehensive Employment and Training Act).	E00992-022
COMPREHENSIVE EMPLOYMENT PROGRAM	
Evaluation of Job Development in SMSA.	E00902-029
COMPREHENSIVE HEALTH	
Case Studies of Statewide Comprehensive Health Planning; a final report.	E00506-033
Evaluation of Graduate Training Programs in Health Planning.	E00506-047
Evaluation Manual for Comprehensive Health Service Projects.	E00507-024
COMPREHENSIVE HEALTH INSURANCE PLAN	
An Economic Analysis of HSA Program Potential FY 1976 to FY 1981.	E00507-075
Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP).	E00507-060
Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981.	E00507-064
Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insurance Impact on HSA Activities.	E00507-076
COMPREHENSIVE HEALTH MANPOWER TRAINING	
Study of Health Facilities Construction Cost.	E00586-002
COMPREHENSIVE MANPOWER PROGRAM	
Evaluation Study of the Developmental Stages of the Comprehensive Manpower Program.	E00902-008
COMPRESSION (PULSE)	
Pulse Compression Radar Study.	E01102-024
COMPULSORY RETIREMENT	
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973.	E00904-006
COMPUTER AIDED INSTRUCTION	
Computer Aided Instruction (CAI) Network Evaluation Criteria and Methodology.	E00508-033

COMPUTER AIDED INSTRUCTION (BIOMEDICAL)**SUBJECT INDEX****COMPUTER AIDED INSTRUCTION (BIOMEDICAL)**

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine.

E00508-053**COMPUTER-AIDED TRANSCRIPTION**

Computer-Aided Transcription Service Center--Evaluation of Commercial Feasibility.

E00811-006**COMPUTER-ASSISTED TESTING**

Computer-Assisted Testing: calibration and evaluation of the verbal ability bank.

E05913-003**COMPUTER LABORATORIES**

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine.

E00508-053**COMPUTER MAPPING**

The Application of a Computerized Environmental Information System to Master and Sector Planning.

E00712-011**COMPUTER SIMULATION MODELS**

Develop, Test, and Implement a Computer Simulation Model.

E00811-018**COMSUMER PRODUCT SAFETY COMMISSION**

Evaluation of Poison Control System: Vol. I, Project Results, Averages. Models for performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat.

E00505-010**CONARC REGULATION 350-100**

Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center.

E01101-015**CONCENTRATED DEFAULT COUNSELING**

Evaluation of the Operation of Concentrated Default Counseling.

E00604-027**CONCENTRATED EMPLOYMENT PROGRAM**

Concentrated Employment Program in New York City Has Not Met Its Employment Objectives.
Cost Effectiveness Evaluation of the Urban Concentrated Employment Program.

**E00992-001
E00902-022****CONCESSIONS MANAGEMENT**

Study of National Park Service Concessions Management.

E00710-001**CONCORDE**

Concorde Landing Requirement Evaluation Tests.

E01102-055**CONCRETE**

Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic.

E01102-010**CONDEMNATION PROCEDURES**

Review of Wetlands Acquisition Program.

E00709-001**CONDOMINIUMS**

An Analysis of Section 234--HUD Condominium Programs.

E00600-008**CONNECTICUT**

Evaluation of Motor Vehicle Safety Standards.

E01107-004**CONNECTICUT MENTAL HEALTH CENTER**

Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.

E00503-018**CONSERVATION**

An Evaluation of the 1973 Youth Conservation Corps.
Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment.

E00700-003**E00200-003**

SUBJECT INDEX**CONSUMER PANEL**

Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps.	E00700-004
Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California.	E00712-009
Youth Conservation Corps Pilot Program, 1972.	E00700-001
Youth Conservation Corps Pilot Program, 1973.	E00700-002
Energy	
Opportunities for Energy Conservation in Federally Insured Homes by Revising Current Thermal Standards and Establishing Additional Standards Where Necessary.	E00693-013
Fuel	
Aircraft Taxiing Noise Measurement.	E01102-005
Migratory Birds	
Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.	E00709-006
Soil	
An Evaluation of Subsidy Forms for Soil and Water Conservation.	E00219-005
Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment.	E00200-003
Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved.	E00299-035
Water	
An Evaluation of Subsidy Forms for Soil and Water Conservation.	E00219-005
Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved.	E00299-035
CONSTRUCTION	
Evaluation of LSCA Services to Special Target Groups.	E00501-009
CONSTRUCTION GRANTS	
Report of the Administrator's Special Construction Grants Task Force.	E02300-008
CONSTRUCTION INDUSTRY	
Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).	E00906-002
CONSTRUCTION INSPECTION PROGRAMS	
Review of Construction Inspection Procedures.	E01103-003
CONSTRUCTION (SHIP)	
Government Support of the Shipbuilding Industrial Base.	E00399-005
CONSULTANTS	
Hill Burton Consultation Activities.	E00506-059
Use of Consultants.	E00600-111
CONSUMER ADVOCATES	
Report on Survey of the Fishery Products Inspection Service, National Oceanic and Atmospheric Administration.	E00300-008
CONSUMER EDUCATION	
Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report.	E00505-014
Salmonella in Raw Meat and Poultry: an assessment of the problem.	E00585-008
CONSUMER HEALTH	
Evaluation of Consumer Health Training and Education Programs.	E00506-042
CONSUMER INFORMATION	
Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report.	E00505-014
CONSUMER PANEL	
An Investigation of Consumers' Perceptions of Adverse Reactions to Cosmetic Products; final report.	E00505-012

CONSUMER PARTICIPATION**SUBJECT INDEX****CONSUMER PARTICIPATION**

Evaluation of Consumer Health Training and Education Programs. E00506-042

CONSUMER PRODUCTS

An Incident of Contamination of Livestock Feed and Certain Consumer Products. E00585-002

CONSUMER PROTECTION

Aspects of the Beekeeper Indemnity Payment Program. E00299-006

Consumer Protection Would Be Increased by Improving the Administration of Intrastate Meat Plant Inspection Programs. E00299-011

Need for Improved Consumer Protection in Interstate Land Sales. E00696-001

Private Accreditation and Public Eligibility. E00501-008

CONSUMER SURVEY

A Comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209

CONSUMER WELFARE

Food Stamps and Nutrition. E00207-001

CONSUMERS

A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970. E00209-005

An Investigation of Consumers' Perceptions of Adverse Reactions to Cosmetic Products; final report. E00505-012

Evaluation of Consumer Health Training and Education Programs. E00506-042

Excluding Substandard Canned Pineapple from the United States. E00585-012

Improved Federal and State Programs Needed to Insure the Purity and Safety of Drinking Water in the United States. E02390-006

Indexing for Inflation: Some Formal Models and an Informal Policy Proposal. E00900-030

Pesticides: Action Needed to Protect the Consumer from Defective Products. E02390-008

Pricing Under Federal Milk Market Regulation: theory, objectives and impact. E00204-002

Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters). E00585-005

The Sugar Program: Large Costs and Small Benefits. E00209-007

CONSUMERS (JAPANESE)

Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan Cotton Promotion Institution. E00212-004

CONSUMPTION

Indexing for Inflation: Some Formal Models and an Informal Policy Proposal. E00900-030

Alcohol

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism. E00503-059

Cigarette

Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. E00504-003

Food

Food Stamps and Nutrition. E00207-001

Tobacco Products

Program Evaluation of the Commodity Intelligence System, Tobacco Division.

CONTAINERS

Need for Federal Agencies to Improve Solid Waste Management Practices. E02390-001

Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public. E00585-014

Opportunity for AEC to Improve Its Procedures for Making Sure that Containers Used for Transporting Radioactive Materials Are Safe. E06290-003

CONTAINMENT AT SEA

Supertanker Environmental Study. E01101-007

SUBJECT INDEX**CONTRACTORS****CONTAMINANTS**

Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.

E02390-004

CONTAMINATION

An Incident of Contamination of Livestock Feed and Certain Consumer Products. Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public.

E00585-002

Opportunity for AEC to Improve Its Procedures for Making Sure that Containers Used for Transporting Radioactive Materials Are Safe.

E00585-014

E06290-003

CONTINENTAL SHELF (OUTER)

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands.

E00780-001

First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-001

Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf.

E00792-001

Information on Certain Oil and Gas Industry Oversight Responsibilities.

E00780-004

Onshore Lease Management Program Study for the U.S. Geological Survey.

E00712-007

Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf.

E00780-008

Report of the Work Group on OCS Safety and Pollution Control.

E00712-004

Report of the Work Group on OCS Safety and Pollution Control, May 1973.

E00712-005

Report of the Work Group on OCS Safety and Pollution Control, May 1973.

E00712-006

Review of Royalty Accounting System for Onshore Oil and Gas Leases.

E00712-013

Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-002

Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-003

CONTOUR AFT TRANSLATING SLEEVE

Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing.

E01102-001

CONTOURS

Research and Development in Topographic Mapping.

E00712-010

CONTOURS (ISOAMPLITUDE)

Technical Evaluation of Weather Clutter Feasibility Model.

E01102-030

CONTOURS (NOISE EXPOSURE)

Aircraft Sound Description System Application Procedures.

E01102-046

CONTRACEPTIVES

Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972.

E00506-039

CONTRACTING AGENCIES (FEDERAL)

Employment Services for Vietnam-Era Veterans Could Be Improved.

E00992-024

CONTRACTORS

Employment Services for Vietnam-Era Veterans Could Be Improved.

E00992-024

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine.

E00508-053

Final Report of the OMBE (Office of Minority Business Enterprise) Management Task Force.

E00300-026

Need to Improve Administration of the Water Pollution Research, Development, and Demonstration Program.

E02390-002

The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved.

E00994-002

Federal

Major Evaluation Questions Concerning the Federal Contract Compliance Program: Federal Contracts for Goods and Services.

E00900-031

The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors.

E00900-029

CONTRACTORS**SUBJECT INDEX**

Market Research
Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration. E00300-011

Nonconstruction
The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved. E00994-002

CONTRACTS COMPLIANCE
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973. E00904-006

CONTROL AGENCIES

Air Pollution
General Report of the Air Compliance Review Group. E02300-006

Federal
Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff. E02390-003

CONTROLLERS (AIR TRAFFIC)
Aircraft-Pilot and other Pre-Employment Experience as Factors in the Selection of Air Traffic Controllers Trainees. E01102-022
Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers. E01102-021
Technical Evaluation of Weather Clutter Feasibility Model. E01102-030

CONUS ATC SYSTEMS
Multipath Channel Simulation and Modern Evaluation Program. E01102-044

CONVICTIONS
Review of SSA's Fraud Deterrence Program. E00500-107

COOK COUNTY, ILLINOIS
Audit of Intergovernmental Personnel Act Grant to Cook County, Illinois. E05914-005

COOKING
School Feeding Effectiveness. E00207-004

COOPERATIVES
Impact of USDA Programs Upon Rural Cooperatives. E00200-002
Ways to Improve Effectiveness of Rural Business Loan Programs. E00299-017

CORN
An Evaluation of the Witchweed Program. E00205-001
Evaluation of Experience Under Improved Management Practice for Corn. E00211-003
Program Evaluation on 1973 Feedgrain Program Performance. E00209-002
Uniform Grain Storage Agreement. E00209-003

CORONARY ARTERY DISEASES
Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center. E00507-012

CORONARY CARE
Impact of Regional Medical Programs on Coronary Care in New England. E00506-034

CORRECTIONAL INSTITUTIONS
Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting. E00891-001

CORRECTIONAL PROCESSES
Federal Guidance Needed if Halfway Houses are to be a Viable Alternative to Prison. E00891-014

CORRESPONDENCE SATELLITES
Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions. E01101-020

SUBJECT INDEX**COUNTERMEASURES****CORRESPONDENCE STUDY**

Information on Implementation of the Amendments of Public Law 92-540 Which
Impacts on Veterans Taking Correspondence Training. E06596-010

COSMETICS

An Investigation of Consumers' Perceptions of Adverse Reactions to Cosmetic Prod-
ucts; final report. E00505-012

COSTA RICA

Intercountry Evaluation of Agriculture Sector Programs: Columbia, Costa Rica,
Guatemala. E01017-003

Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua,
Panama. E01017-004

COTTON

An Evaluation of Insurance Experience. E00211-001

Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy. E00209-006

Cotton Evaluation of Coverage Reductions and Rate Increases. E00211-004

Crop Insurance and Information Services to Control Use of Pesticides. E02305-100

Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland
Cotton). E00209-001

Evaluation of Experience Under Improved Management Practice for Corn. E00211-003

Evaluation Report on Cotton Council International's Promotion of American Cotton
Through Japan Cotton Promotion Institution. E00212-004

Crop Insurance

Cotton Evaluation of Coverage Reductions and Rate Increases. E00211-004

Pest Management

Crop Insurance and Information Services to Control Use of Pesticides. E02305-100

Promotion

Evaluation Report on Cotton Council International's Promotion of American Cotton
Through Japan Cotton Promotion Institution. E00212-004

Scouting Programs

Crop Insurance and Information Services to Control Use of Pesticides. E02305-100

COTTON (INTERNATIONAL INSTITUTE FOR)

Review of the International Institute for Cotton (IIC) Market Development Program. E00212-009

COUNSELING

An Analysis of the Allocation of Counseling Resources in Vocational Rehabilitation
Agencies. E00509-037

Default Counseling. E00600-005

Evaluation Study of the Counselor Training Program. E00902-032

Forward Plan for BCHS Family Planning Program. E00507-044

Review of the Organization and Operations of the United States Travel Service
(USTS). E00300-029

Default

Evaluation of the Operation of Concentrated Default Counseling. E00604-027

Group

A Study of Placement in PA/VR (Public Assistance Vocational Rehabilitation). E00509-002

Legal

Demonstration Project for Closed Circuit Television Case Screening and Legal
Counseling. E00811-005

Pre-retirement

Federal Pre-Retirement Counseling Programs. E05990-010

COUNTERMEASURES

Alcohol Safety Action Project: evaluation of operations. E01107-002

Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten
DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program,
Phase II Final Report. E00503-052

COUNTRIES**SUBJECT INDEX****COUNTRIES**

More Effective United States Participation Needed in World Bank and International Development Association. E01280-005

COURT ADJUDICATION

Evaluation of the Pre-Trial Intervention Program. E00902-043

COURT REPORTERS

Test of Gimelli System for Training Court Reporters. E00811-002

COURTS

Federally Supported Attempts to Solve State and Local Court Problems: More Needs to be Done. E00891-007

CREW-SHIP OPERATIONS

Cutter Issue Study. E01101-009

CREWING CONCEPTS

Cutter Issue Study. E01101-009

CRIME

Annual Sample Survey and Area-Based Crime Estimates. E00811-037

Crime Reduction Through Aerial Patrol. E00811-015

Denver Impact City Crime Analysis Team. E00811-046

Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime. E00891-006

Evaluation of the Effects of Methadone Treatment on Crime and Criminal Addicts. E00811-011

Residential Neighborhood Crime Control. E00811-024

Criminal Addicts

Progress in Determining Approaches Which Work in the Criminal Justice System. E00891-010

Insurance

The Federal Crime Insurance Program: how it can be made more effective. E00696-003

Intelligence System

Contract to Evaluate the New England Organized Crime Intelligence System (NEOCIS). E00811-016

Minnesota

Report on Administration of the Program to Reduce Crime in Minnesota. E00891-004

CRIMINAL CASES

Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials. E01190-002

CRIMINAL DATA EXCHANGE SYSTEM

Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting. E00891-001

CRIMINAL HISTORY

Letter Report on Computerized Criminal History Information. E00891-005

CRIMINAL INVESTIGATIONS

A Study of the U.S. Coast Guard Intelligence and Security Functions. E01101-017

An Analysis of the Criminal Investigation Process. E00811-019

CRIMINAL JUSTICE

Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects. E00811-038

Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects. E00811-039

Detoxification, Decriminalization and the Criminal Justice System. E00811-013

Evaluation of Criminal Justice Planning Institute. E00811-050

Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C. E00599-003

SUBJECT INDEX**DANGEROUS DRUGS**

Progress in Determining Approaches Which Work in the Criminal Justice System. E00891-010

CRIMINAL JUSTICE (EMPLOYMENT)
Problems in Administering Programs to Improve Law Enforcement Education. E00891-015

CRIMINAL JUSTICE (FEDERAL)
Drug Treatment Programs and the Federal Criminal Justice System: an analysis and recommendations. E00800-005

CROPS

Acreage
An Evaluation of Insurance Experience. E00211-001
Farm Programs, Pesticide Use, and Social Costs. E00209-004

Cotton
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton). E00209-001

Cotton
Cotton Evaluation of Coverage Reductions and Rate Increases. E00211-004

Information Services
Crop Insurance and Information Services to Control Use of Pesticides. E02305-100

Insurance
Analysis of Individual Underwriting Progress and Problems. E00211-002
Cotton Evaluation of Coverage Reductions and Rate Increases. E00211-004
Crop Insurance and Information Services to Control Use of Pesticides. E02305-100

Yield
Analysis of Individual Underwriting Progress and Problems. E00211-002

CRUDE OIL

Allocation
Problems of Independent Refiners and Gasoline Retailers. E02990-003

Price Controls
Problem in the Federal Energy Administration's Compliance and Enforcement Effort. E02990-002

Producers
Problem in the Federal Energy Administration's Compliance and Enforcement Effort. E02990-002

CRYSTAL CITY, TEXAS
Need Assessment and Evaluation of Region VI Health and Education Projects. E00500-207

CURRICULA
Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine. E00508-053

CUTTERS
Cutter Issue Study. E01101-009

CVS MANAGEMENT INFORMATION SYSTEM
Development of CVS Management Information System. E01101-005

DAMAGES (WIND EROSION)
Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment. E00200-003

DANGEROUS CHEMICALS
Development of a Contingency Plan for Certification of Pesticide Applications. E02300-009

DANGEROUS DRUGS
An Analysis of the Effects of Criminalization in Dangerous Drug Arrests. E00810-009
Difficulties in Immobilizing Major Narcotics Traffickers. E00890-003
Efforts to Prevent Heroin from Illicitly Reaching the U.S. E00880-001
Efforts to Stop Narcotics and Dangerous Drugs Coming from and through Mexico and Central America. E00890-006
Heroin Being Smuggled into New York City Successfully. E00890-002

DANGEROUS DRUGS**SUBJECT INDEX**

Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough. E00890-004

DANGEROUS WATER
Improved Federal and State Programs Needed to Insure the Purity and Safety of Drinking Water in the United States. E02390-006

DAY CARE
Quality of Day Care in Region X Before and After School Care. Phase I. E00500-211
Quality of Day Care in Region X--Phase II. E00500-216

DAY CARE SERVICES
Some Problems in Contracting for Federally Assisted Child-Care Services. E00589-003

DAYTON, OHIO
Review of the Model Cities Program in Dayton, Ohio. E00692-025

DC-8 AIRCRAFT
Quiet Nacelle Retrofit Feasibility Program: compatibility analysis and design study. E01102-002

DC-9 AIRCRAFT
Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique. E01102-008

DC-10 AIRCRAFT
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. E01102-004

DC-9 JET TRANSPORT
Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System. E01102-009

DEATH
Compensating Wage Differentials and Hazardous Work. E00900-014
For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. E01197-001

DEATH BENEFITS
Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits. E00590-008

DEATHS (HIGHWAY)
For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. E01197-001

DECISION MAKERS
An Analysis With Improvement Postulation for the Neighborhood Environmental Evaluation and Decision System (NEEDS Validation Study). E00504-010
Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine. E00508-053

DECISION MAKING
A Study of the Systems Development Project. E00506-066
A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health. E00503-023
Decision-Making and Information Utilization in Three Public Health Service Regional Offices: final report. E00500-002
Design of an Evaluation System for the National Institute of Mental Health. E00503-024
Evaluation/Decisionmaking in Health Planning and Administration. E00506-080
Public Involvement in Planning Public Works Projects Should Be Increased. E01192-002
Study of National Park Service Concessions Management. E00710-001
Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students. E00506-081
The Medical Care Requirements of the U.S. Coast Guard. E00507-045

DECISION SCIENCES CORPORATION
Organizational Evaluation and Information Needs Study. E00504-014

SUBJECT INDEX**DELINQUENCY****DEFAULTED LOANS**

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans. E00693-002

DEFAULTS

Default Counseling. E00600-005

Evaluation of the Operation of Concentrated Default Counseling. E00604-027

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016

Multi-Family Default Study. E00600-004

Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs. E00693-004

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans. E00693-002

Opportunities to Reduce Costs in Acquiring Properties Resulting from Defaults on Home Loans. E06596-001

DEFAULTS (MORTGAGE)

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans. E00693-002

DEFECTIVE PRODUCTS

Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Recalled by the General Electric Company. E00585-011

Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft. E01192-001

Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs. E00693-004

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans. E00693-002

Pesticides: Action Needed to Protect the Consumer from Defective Products. E02390-008

Weaknesses in Administration of the Program to Correct Defects in Housing Insured under the Section 235 Program. E00693-025

DEFECTIVE VEHICLES

The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles. E01197-005

DEFENDANTS

Evaluation of the Pre-Trial Intervention Program. E00902-043

DEFENSE

Assistance Programs for Displaced Federal Civilian Employees. E05990-009

Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals. E06595-016

Evaluation Design for Indigents Defense Programs. E00811-007

How to Improve the Procurement and Supply of Drugs in the Federal Government (DOD, VA, HEW, OMB, and GSA). E99999-001

Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks. E00992-012

DEFENSE AUTHORIZATION

Status of Efforts to Offset Balance-of-Payment Deficit for Fiscal Year 1974 Attributable to Maintaining U.S. Forces in Europe. E00399-002

DEFICIT (BALANCE OF PAYMENTS)

Foreign Visitor Travel to The United States can be Increased. E00399-004

DEFLATION

Development of a Price Deflator for Biomedical Research (report on Phase I). E00508-044

Development of a Price Deflator for Biomedical Research: a report on Phase II. E00508-050

DELINQUENCY

How Federal Efforts to Coordinate Programs to Mitigate Juvenile Delinquency Proved Ineffective. E00891-013

The Impact of Compensatory Education and Desegregation on Juvenile Delinquency. E00509-033

DELPHI REPORT

A Delphi Report: Future of New Communities.

E00601-162

DEMOGRAPHICS

Demographic Characteristics of Workers in the Work Clothing Industry.

E00906-003

Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of

Family Growth, Washington, D.C., July 1971-October 1972.

E00506-039

Manpower Resources and Needs in Speech Pathology/Audiology.

E00508-045

Minimum Wage Legislation in the United States.

E00900-013

Need for Improved Outreach Efforts for Veterans in Prison or on Parole.

E06596-005

Services to the Mentally Disabled of the Metropolitan Hospital Community Mental

Health Center Catchment Area in New York City.

E00503-026

Strategies for Change in Community Mental Health: New Careers.

E00503-039

The Four Questions for Evaluating the Effects of the FLSA (Federal Labor Standards

Act) Minimum Wage Provisions.

E00900-039

Unemployment and Disability: An Econometric Analysis with Time Series Data.

E00510-036

Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture

Industries by Covered Status under the Fair Labor Standards Act.

E00904-010

Yeatman Baseline Survey.

E00507-011

DENTAL CARE

Dental Auxiliary Utilization: a National Evaluation.

E00506-044

Health Start Final Report of the Evaluation of the Second Year Program.

E00512-008

Yeatman Baseline Survey.

E00507-011

DENTAL CLINICS

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve

Health-Care Delivery to Veterans.

E06595-006

DENTAL EDUCATION

An Approach to the Evaluation of the Effectiveness of Continuing Dental Education.

E00506-093

DENTAL RESEARCH

Follow-up Survey of National Institute of Dental Research Graduate Trainees and

Postdoctoral Fellows.

E00508-030

DENTAL SCHOOLS

Program to Increase Graduates from Health Professions Schools and Improve the

Quality of Their Education.

E00586-001

Study to Document the Effects of Altering Traditional Dental School Recruitment and

Admissions Policies for Minority Students.

E00506-081

DENTAL SERVICES

Development of Alternatives for an Evaluation of the Costs and Effectiveness of

Inclusion of Mental, Dental, and Pharmaceutical Benefits in Health Maintenance

or Related Organizations.

E00507-059

DENTISTS

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve

Health-Care Delivery to Veterans.

E06595-006

Better Use Should be Made of Physicians and Dentists in Health Centers.

E00587-004

Congressional Objectives of Federal Loans and Scholarships to Health Professions

Students Not Being Met.

E00586-006

Dental Auxiliary Utilization: a National Evaluation.

E00506-044

Medical Care Costs and Prices: background book.

E00510-001

DENVER, COLORADO

Denver Impact City Crime Analysis Team.

E00811-046

Management Practices Used by the VA's Denver Regional Office in Assisting

Veterans.

E06596-004

Review of Certain Aspects of the Federally Assisted Code Enforcement Program in

Denver, Colorado.

E00692-020

DEPENDENT CHILDREN

Assessment of Quality Control and Corrective Action Activities in Region V.

E00500-202

Income Transfers as a Public Good: An Application to AFDC.

E00500-076

Problems in Administering Two Eligibility Aspects in the Aid to Families with De-

pendent Children Program.

E00589-002

SUBJECT INDEX**DIPLOMA MILLS**

Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency?
Some Problems in Contracting for Federally Assisted Child-Care Services. E00589-004
E00589-003

DEPENDENTS
Information on Veterans Administration's Drug Treatment Program and Specific Data on Veterans Administration's Drug Dependents Treatment Centers in New York State. E06595-018
Social Security Beneficiaries in Metropolitan Areas, 1973, E00510-032
Workmen's Compensation Under Security. E00510-022

DESEGREGATION
The Impact of Compensatory Education and Desegregation on Juvenile Delinquency. E00509-033

DETECTION
Evaluation of Instrumentation for the Detection of Polar Organic Aids. E00811-027
Radar Target Detection Employing On-Line Estimation of the Video Correlation. E01102-016

Aircrafts
ATC Surveillance/Communication Analysis and Planning. E01102-027

Explosives
Sulfur Hexafluoride Taggant for Explosives Detection. E00811-033

Targets
Augmented Radar Beacon Tracking Level System Design Specification. E01102-014
Radar Target Detection Employing On-Line Estimation of the Video Correlation. E01102-016
Technical Evaluation of Weather Clutter Feasibility Model. E01102-030

DETERRANCE PROGRAMS
Review of SSA's Fraud Deterrence Program. E00500-107

DETROIT, MICHIGAN
Disposal of Acquired Properties in Detroit, Michigan: progress and problems. E00693-015
Public Employment Programs in Selected Rural and Urban Areas. E00992-011

DIAGNOSES
Evaluation of Services Provided by Federal Health Program Service Facilities. E00507-034

DIAGNOSTIC MATERIALS
Evaluation of Status of Standards for Diagnostic Materials. E00504-018
Public Hazards from Unsatisfactory Medical Diagnostic Products. E00585-013

DIET
Assessment of Dietary Adequacy of Program Participants. E00207-021
Food Distribution and Food Stamp Program Effects on Nutritional Achievement. E00207-002
Potential for Using Alternative Dietary Systems in Veterans Administration Hospitals. E06595-003

DIETHANOLAMINE METABOLITES
Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered. E00585-010

DIETITIANS
Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert. E00204-001

DIFFUSION ANALYSIS
A Diffusion Analysis of AFDC Participation. E00509-024

DIOXIN
Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade. E02390-004

DIPLOMA MILLS
Private Accreditation and Public Eligibility. E00501-008

DISABILITIES**SUBJECT INDEX****DISABILITIES**

A Study of Feasibility of Securing Adequate Information for the Federal Evaluation of the Developmental Disabilities Formula Grant Program. E00509-007
An Evaluation of the Economic Impact Project of the Public Employment Program. E00902-005
Cost-Benefit Analysis of SSDI (Social Security Disability Income) Trust Fund Program. E00510-148
Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971. E00510-051
Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries. E00510-056
The Disabled Widow. E00510-016
Unemployment and Disability: An Econometric Analysis with Time Series Data. 04Staff paper.

Accidents

Yeatman Baseline Survey. E00507-011

Alcohol Related

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism. E00503-059

Mental

Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050

Nonoccupational

Cash Benefits for Short-Term Sickness, 1948-72. E00510-044

Temporary

Compensating Wage Differentials and Hazardous Work. E00900-014

DISABILITY

Unemployment and Disability: An Econometric Analysis with Time Series Data. 04Staff paper. E00510-036

DISABLED

Conversions to Supplemental Security Income from State Assistance: A Program Records Study. E00510-008
Early Experience Under the Supplemental Security Income Program. E00510-027
The Disabled Widow. E00510-016

DISABLED PERSONS

Earnings of Disabled-Worker Beneficiaries. E00510-029
State Supplementation Under Federal SSI (Supplemental Security Income) Program. E00510-020
The Disabled Widow. E00510-016
The Program Services and Support System of the Rehabilitation Services Administration. E00509-003
The Supplemental Security Income Program for the Aged, Blind, and Disabled. E00510-039

DISABLED VETERANS

Employment of Handicapped Individuals and Disabled Veterans in the Federal Government. E05908-008

DISABLED WORKERS

Earnings of Disabled-Worker Beneficiaries. E00510-029

DISADVANTAGED

Covered Employment and the Age Men Claim Retirement Benefits. E00510-041
Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems. E00992-007
Evaluation of LSCA Services to Special Target Groups. E00501-009
Health Start Final Report of the Evaluation of the Second Year Program. E00512-008
Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans. E06596-003
Opportunity to Increase Effectiveness of JOBS-Type Programs to be Funded Under CETA (Comprehensive Employment and Training Act). E00992-022
Questionable Effectiveness of the 8(a) Procurement Program. E05590-006
Short-Term Cognitive Effects of Head Start Programs. E00512-007
Youth Conservation Corps Pilot Program, 1972. E00700-001

SUBJECT INDEX**DISEASES****DISASTER RELIEF**

Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims.
Information on Federal Disaster Relief Programs.

E05590-001
E05590-002

DISASTER VICTIMS

Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims.

E05590-001

DISCRIMINATION

Estimating the Benefits of Job Banks as a Computerized Record Keeping System.
Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.

E00900-015

Pulse Compression Radar Study.

E00586-003
E01102-024

Age

Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1972.

E00904-005

In Employment

Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1972.

E00904-005

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973.

E00904-006

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1974.

E00904-007

Racial

FmHA Water and Waste Disposal Program Assistance to Small Rural Communities.

E00200-005

Sex

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).

E00904-003

DISEASES

Development of Data Base for Assessing Impact of Newly Established Venereal Disease Control Program.

E00504-019
E00504-003

Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking.

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy.

E00504-007

More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand.

E00299-029

Study of Programs for Unwed Teenage Mothers.

E00507-031

Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.

E00216-003

The Disabled Widow.

E00510-016

Among Airmen

Prevalence and Incidence of Disease Among Airmen Medically Certified.

E01102-041

Among Indians

Progress and Problems in Providing Health Services to Indians.

E00587-003

Artery

Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.

E00507-012

Circulatory System

Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns.

E00510-050

Communicable

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy.

E00504-007

Environmental

Progress and Problems in Providing Health Services to Indians.

E00587-003

DISEASES**SUBJECT INDEX****Epidemic**

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy.

E00504-007

Heart

The Utilization and Impact of the I.C.H.D. (Inter-Society Commission on Heart Disease) Reports; an early evaluation.

E00506-054

Infectious

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy.

E00504-007

Morbidity Data

Effectiveness Criteria in Venereal Disease Control Programs.

E00504-020

Venereal

Development of Data Base for Assessing Impact of Newly Established Venereal Disease Control Program.

E00504-019

Extent and Characteristics of Venereal Disease Education in School Systems.

E00504-006

Progress and Problems in Providing Health Services to Indians.

E00587-003

DISEASES (CENTER FOR)

Evaluation of Status of Standards for Diagnostic Materials.

E00504-018

Evaluation of VISA Medical Activities.

E00504-004

DISINCENTIVES

Are HUD Processing Procedures an Onerous Disincentive to Other than Marginal Developers?

E00601-151

Disincentives to Effective Employee Training and Development.

E05916-003

DISINFECTANTS

Pesticides: Action Needed to Protect the Consumer from Defective Products.

E02390-008

DISPLACEMENT

Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential.

E00900-004

DISPOSABLE CATHETERS

Reuse of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals.

E06595-015

DISTRICT OF COLUMBIA

Evaluation of the Pilot Accelerated Apprenticeship Program.

E00902-002

Extent and Characteristics of Venereal Disease Education in School Systems.

E00504-006

Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972.

E00506-039

Long Term Impact of Law Enforcement Assistance Grants Can Be Improved.

E00891-011

Prices Paid for Property Acquired in Urban Renewal Programs in the District of Columbia.

E00692-007

Revenue Sharing: Its Use By and Impact on State Governments.

E01280-007

Selected Characteristics of the State Supplementation Programs Under the Supplementary Security Income Program (SSI).

E00510-035

Selected State Data Medicare Fiscal Years 1968-72.

E00510-002

Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.

E00587-002

The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles.

E01197-005

DIVING

International Inventory and Forecast of Offshore Petroleum and Mineral Activity.

E01101-003

DIVING ACCIDENTS

Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973.

E01101-006

DIVORCED WOMEN

Young Widows and Their Children: A Comparative Report.

E00510-011

SUBJECT INDEX**DRUG ARRESTS****DOCTORAL SCIENTISTS AND ENGINEERS**

Doctoral Scientists and Engineers in the U.S.: 1973 Profile. **E00508-040**

DOCTORATES

Summary Report 1972: Doctorate Recipients from United States Universities. **E00508-035**
 Summary Report 1973: Doctorate Recipients from U.S. Universities. **E00508-042**

DOCTORS

Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs. **E00585-007**
 Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met. **E00586-006**
 Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite Experiment Evaluation. **E00508-043**
 Medical Care Costs and Prices: background book. **E00510-001**

DOCUMENTATION CENTERS

Index and User's Guide for Evaluation Documentation Center. **E00500-074**

DOMICILIARY PROGRAM

Domiciliary Program. **E06504-024**

DONATED COMMODITIES

Food Distribution and Food Stamp Program Effects on Nutritional Achievement. **E00207-002**

DONATIONS

A Study of Alternatives to Commodity Donations to Schools. **E00200-008**
 Food for Peace: An Evaluation of P.L. 480--Title II. **E00212-002**
 Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974. **E00709-006**
 Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health. **E00500-102**

DONORS

U.S. Foreign Aid to Education: Does Brazil Need It? **E01097-013**
 United States Interests and Activities in Nepal. **E01097-005**
 United States Programs in Ghana. **E01097-018**

DRINKING

Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report. **E00503-052**
 Improved Federal and State Programs Needed to Insure the Purity and Safety of Drinking Water in the United States. **E02390-006**
 National Safe Drinking Water Strategy: One Step at a Time. **E02300-007**
 Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse. **E00503-053**

DRIVERS

Alcohol Safety Action Project: evaluation of operations. **E01107-002**
 Assessment of Selected State and Community Programs. **E01107-001**
 Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems. **E06290-005**
 Alcohol Safety Action Projects: evaluation of operations, 1973. **E01107-003**

Safety Among Young

Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs. **E01197-004**

DRUG ABUSE

An Evaluation of Five Drug Abuse Education Projects: Parts I & II. **E00500-072**
 Federal Efforts to Combat Drug Abuse. **E00599-002**
 Federal Efforts to Combat Drug Abuse. **E00890-001**
 Rationale of the Joint NIMH-TCU Drug Abuse Reporting Program. **E00503-016**
 The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health. **E00500-068**

DRUG ARRESTS

An Analysis of the Effects of Criminalization in Dangerous Drug Arrests. **E00810-009**

DRUG CLASSES**SUBJECT INDEX****DRUG CLASSES**

Evaluation Study of the Antibiotic Certification Program; final report. E00505-013

DRUG COUNSELING CENTERS

Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime. E00891-006

DRUG EDUCATION

An Evaluation of Five Drug Abuse Education Projects: Parts I & II. E00500-072

DRUG ENFORCEMENT

Difficulties in Immobilizing Major Narcotics Traffickers. E00890-003

Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough. E00890-004

DRUG PROGRAMS

A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health. E00503-023

DRUG REACTIONS

Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs. E00585-007

Supervision Over Investigational Use of Selected Drugs. E00585-006

DRUG TRAFFICKERS

Design of an Evaluation System for the National Institute of Mental Health. E00503-024

DRUG TREATMENT

Drug Treatment Programs and the Federal Criminal Justice System: an analysis and recommendations. E00800-005

Information on Veterans Administration's Drug Treatment Program and Specific Data on Veterans Administration's Drug Dependents Treatment Centers in New York State. E06595-018

DRUG USERS

Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program). E00503-054

DRUGS

A comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209

Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs. E00585-007

Controls on Use of Psychotherapeutic Drugs and Improved Psychiatrist Staffing Are Needed in Veterans Administration Hospitals. E06595-022

Drug Programs Under British National Health Services. E00510-146

Efforts to Stop Narcotics and Dangerous Drugs Coming from and through Mexico and Central America. E00890-006

Evaluation of Poison Control System: Vol. I, Project Results, Averages. Models for performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat. E00505-010

Heroin Being Smuggled into New York City Successfully. E00890-002

How to Improve the Procurement and Supply of Drugs in the Federal Government (DOD, VA, HEW, OMB, and GSA). E99999-001

Implementation of the Poison Prevention Packaging Act of 1970. E30400-101

Problems in Obtaining and Enforcing Compliance with Good Manufacturing Practices for Drugs. E00585-004

Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II. E00503-036

Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health. E00500-102

Supervision Over Investigational Use of Selected Drugs. E00585-006

Adulterated

Problems in Obtaining and Enforcing Compliance with Good Manufacturing Practices for Drugs. E00585-004

SUBJECT INDEX**EARNINGS**

Dangerous	
Difficulties in Immobilizing Major Narcotics Traffickers.	E00890-003
Efforts to Prevent Heroin from Illicitly Reaching the U.S.	E00880-001
Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough.	E00890-004
Illicit	
Difficulties in Immobilizing Major Narcotics Traffickers.	E00890-003
DRUNK DRIVING	
Alcohol Safety Action Project: evaluation of operations.	E01107-002
DUAL FEEDHORN ANTENNA	
Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar.	E01102-042
DUAL LANE RUNWAY STUDY	
Dual Lane Runway Study.	E01102-037
DUCK STAMPS	
Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.	E00709-006
DUMPING	
Need for Federal Agencies to Improve Solid Waste Management Practices.	E02390-001
DURHAM, NORTH CAROLINA	
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Urban Renewal Land Disposition Studies: Durham, NC.	E00602-056
DUTIES (CUSTOMS)	
Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses.	E01282-001
Evaluation Study of the Counselor Training Program.	E00902-032
Need to Improve Administration of the Water Pollution Research, Development, and Demonstration Program.	E02390-002
Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.	E01097-010
DWELLINGS	
Benefits Could Be Realized by Revising Policies and Practices for Acquiring Existing Structures for Low Rent Public Housing.	E00694-001
EARLY CHILDHOOD	
A Sequential Approach to Early Childhood and Elementary Education.	E00512-001
EARNINGS	
An Econometric Analysis of the Costs of Selected Manpower Programs: final report.	E00900-032
An Evaluation of the Arizona Job College.	E00902-007
An Evaluation of the Economic Impact Project of the Public Employment Program.	E00902-005
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
Cost Effectiveness Evaluation of the Urban Concentrated Employment Program.	E00902-022
Covered Employment and the Age Men Claim Retirement Benefits.	E00510-041
Demographic Characteristics of Workers in the Work Clothing Industry.	E00906-003
Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns.	E00510-050
Earnings of Disabled-Worker Beneficiaries.	E00510-029
Effects of Ability, Family Background and Education on Earnings and Careers.	E00510-134
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-003
Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries.	E00510-056
Reducing Social Security Contributions for Low-Income Workers: Issues and Analysis.	E00510-239
The Effect of Manpower Training on Earnings: preliminary results.	E00900-028
The Retirement Test: An International Study.	E00510-025
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
Workmen's Compensation Under Security.	E00510-022
Young Widows and Their Children: A Comparative Report.	E00510-011
Black/White Patterns	
Black-White Earnings and Employment: 1960-1970.	E00900-027

EARNINGS**SUBJECT INDEX**

CETA		
Evaluating the Impact of CETA (Comprehensive Employment and Training Act) Program on Participants' Earnings: Methodological Issues and Problems.	E00900-037	
Evaluation Questions Under the Comprehensive Employment and Training Act of 1973.	E00900-038	
Institutional Training		
Study of Impact of Program Variables Upon Effectiveness of MDTA-OJT and Institutional Training Programs on Earnings.	E00902-037	
Manpower Training		
The Effect of Manpower Training on Earnings: preliminary results.	E00900-028	
Minorities		
Major Evaluation Questions Concerning the Federal Contract Compliance Program: Federal Contracts for Goods and Services.	E00900-031	
MDTA		
Evaluating the Impact of MDTA Programs on Earnings under Varying Labor Market Conditions.	E00902-016	
The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings.	E00900-017	
The Neighborhood Youth Corps: An Impact Evaluation.	E00900-018	
Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act.	E00900-021	
WIN II		
Further Evidence on the Impact of the WIN II Program.	E00900-041	
The Evaluation of the WIN II Program: methodological issues and some initial results.	E00900-001	
EARTH		
Problem in Identifying, Developing, and Using Geothermal Resources.	E00780-007	
EARTH RESOURCES OBSERVATION		
Status and Plans of the Department of the Interior EROS Program.	E00712-012	
EARTH SCIENCE		
Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California.	E00712-009	
EARTHQUAKES		
Research and Development in Topographic Mapping.	E00712-010	
ECONOMETRICS		
An Econometric Analysis of the Costs of Selected Manpower Programs: final report.	E00900-032	
An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit.	E00900-007	
An Econometric Model of the Low-Skill Labor Market.	E00509-025	
ECONOMIC ANALYSIS		
Aircraft-Pavement Compatibility Study.	E01102-011	
An Economic Analysis of HSA Program Potential FY 1976 to FY 1981.	E00507-075	
The Federal Reclamation Program; its impacts, issues and future considerations.	E00716-001	
Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature.	E00900-011	
ECONOMIC ASSISTANCE		
Brazil		
The Brazilian Economic Boom: How Should the United States Relate to It?	E01097-020	
Cambodia		
U.S. Assistance to the Khmer Republic (Cambodia).	E01097-016	
Ecuador		
What should U.S. Policy Be For Development Assistance to Ecuador?	E01097-004	
Ghana		
United States Programs in Ghana.	E01097-018	

SUBJECT INDEX**EDUCATION****Jordan**

Summary of U.S. Assistance to Jordan.

E01097-014

Korea

Development Assistance to Korea.

E01097-011

Turkey

United States Economic Assistance to Turkey.

E01097-023

ECONOMIC DEVELOPMENT

Employment Impacts of the Economic Development Administration's Public Works Program.

E00201-002

Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana.

E00692-005

Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act.

E00992-002

Report on Audit of the Technical Assistance Program, Office of Technical Assistance, Economic Development Administration.

E00300-002

Report on Minimum EDA FY 1975 Staffing Requirements.

E00300-018

Review of Model Cities Program-Housing and Economic Development Operating Agencies.

E00600-113

The Case of P.L. 480, The Side Effects of Foreign Aid: Wheat in Colombia.

E00212-001

United States Programs in Ghana.

E01097-018

ECONOMIC IMPACT

An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.

E00904-011

Economic Impact of Proposed Changes in Beef Grades.

E00204-004

The Economic Impact of Reston on Fairfax County Government.

E00601-016

ECONOMIC OPPORTUNITY

Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.

E00507-020

The Economic Opportunity Loan Program: Continuing Evaluation.

E05507-005

Ways to Improve Effectiveness of Rural Business Loan Programs.

E00299-017

ECONOMIC RESEARCH

Uniform Grain Storage Agreement.

E00209-003

ECONOMIC STABILIZATION

Employee-Benefit Plans, 1973.

E00510-010

Medical Care Price Changes Under the Economic Stabilization Program.

E00510-055

ECONOMIC THEORY

Indexing for Inflation: Some Formal Models and an Informal Policy Proposal.

E00900-030

ECONOMICS

Survey Leading to Transfer of Office of Industrial Economics from Internal Revenue Service to the Office of Tax Policy.

E01200-006

The Challenge of Dual and Radical Theories of the Labor Market to Orthodox Theory.

E00900-034

ECUADOR

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy.

E00504-007

What Should U.S. Policy Be for Development Assistance to Ecuador?

E01097-004

EDUCATION

An Evaluation of Five Drug Abuse Education Projects: Parts I & II.

E00500-072

An Evaluative Study of Early and Periodic Screening, Diagnosis and Treatment Program in Ohio and Wisconsin.

E00500-204

Analysis of the Predischarge Education Program.

E06504-009

Analysis of Office of Education Special Project Grant Distribution to Local Education Agencies in Region V.

E00500-206

Assessment of Selected State and Community Programs.

E01107-001

Effects of Ability, Family Background and Education on Earnings and Careers.

E00510-134

Consumer

- Evaluation of Consumer Health Training and Education Programs. E00506-042
- Final Report of the OMBE (Office of Minority Business Enterprise) Management Task Force. E00300-026
- Follow-up Review of Migrant Children Education, Office of Education. E00500-100
- Handbook on the Management of Evaluation Contracts. E00500-073
- Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite Experiment Evaluation. E00508-043
- Intercountry Evaluation of Education Credit Institutions in Latin America. E01017-002
- Kentucky Equine Education Program (KEEP). E00992-025
- Need Assessment and Evaluation of Region VI Health and Education Projects. E00500-207
- Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel. E00506-070
- Organization: Education and Rehabilitation Service. E06504-027
- Private Accreditation and Public Eligibility. E00501-008
- Problems in Administering Programs to Improve Law Enforcement Education. E00891-015
- Program for School Assistance in Federally Affected Areas (SAFA). E00500-114
- Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas. E00501-001
- Recreational Boating in the Continental United States in 1973: the nationwide boating survey. E01101-019
- Review of the Implementation of Comparability Provisions, Public Law 91-230, Office of Education. E00500-119
- Review of use of Financial Reports Required by the Office of Education under the Teacher Corps Program. E00500-105
- Review of Education Certification Program. E06504-007
- Science Development: an evaluation study. E04600-002
- Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973. E01101-006
- Strategies for Change in Community Mental Health: New Careers. E00503-039
- The Impact of Compensatory Education and Desegregation on Juvenile Delinquency. E00509-033
- The Public Evaluates the National Institute on Alcohol Abuse and Alcoholism Public Education Campaign. E00503-061
- U.S. Foreign Aid to Education: Does Brazil Need It? E01097-013
- Upward Mobility Programs in the Federal Government Should Be Made More Effective. E05990-017
- Youth Conservation Corps-Pilot Program 1973. E00216-004
- Can University Extension Run Consumer Health Education Programs? An Evaluative Study of Four Demonstrations and Four Controls. E00506-050
- Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report. E00505-014
- Salmonella in Raw Meat and Poultry: an assessment of the problem. E00585-008

Dental

- An Approach to the Evaluation of the Effectiveness of Continuing Dental Education. E00506-093

Drug Abuse

- An Evaluation of Five Drug Abuse Education Projects: Parts I & II. E00500-072

Elementary and Secondary

- Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs. E00602-032
- Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas. E00501-001
- Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York. E00500-118
- Study of Administrative Costs in Federally-Aided Domestic Programs. E03700-003

Environmental

- Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-003
- Youth Conservation Corps Pilot Program, 1973. E00700-002

Health

- Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education. E00506-099
- Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education. E00506-078
- Extent and Characteristics of Venereal Disease Education in School Systems. E00504-006
- Forward Plan for BCHS Family Planning Program. E00507-044
- FY 74 Evaluation Plan, Bureau of Health Manpower Education. E00506-060
- National Area Health Education Center Programs External Assessment Design. E00506-069

SUBJECT INDEX**ELDERLY**

- Higher**
A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects. E00501-006
- Law Enforcement**
Letter Report on Administration of the Law Enforcement Education Program. E00891-003
Problems in Administering Programs to Improve Law Enforcement Education. E00891-015
- Medical**
Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast. E00508-034
- Nursing**
Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA. E00506-061
Evaluation of the Application of Instructional Technology in Selected Basic Nursing Education Programs. E00506-064
- Nutrition**
Food Distribution and Food Stamp Program Effects on Nutritional Achievement. E00207-002
Survey of Expanded Food and Nutrition Education Program. E00299-013
- Special**
Improving Services to Handicapped Children. E00500-069
- Teacher**
A Study of Teacher Training of Sixth-Cycle Teacher Corps Projects. E00501-005
A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates. E00501-007
- Vocational**
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas. E00501-001
- EDUCATIONAL AGENCIES**
Review of the Implementation of Comparability Provisions, Public Law 91-230, Office of Education. E00500-119
- EDUCATIONAL ASSISTANCE**
Delays Experienced by Veterans in Receiving Educational Assistance Payments, Veterans Administration. E06596-013
U.S. Foreign Aid to Education: Does Brazil Need It? E01097-013
- EDUCATIONAL ATTAINMENT**
An Impact Evaluation of the Public Employment Program. E00900-002
The Neighborhood Youth Corps: An Impact Evaluation. E00900-018
Youth Conservation Corps-Pilot Program 1973. E00216-004
- EDUCATIONAL PROGRAMS**
An Evaluation of Allied Health Advanced Traineeship Grants. E00506-091
Interim Report on the Review of the Domestic and International Business Administration. E00300-023
Report of the Panel on the Impact of Information on Drug Use and Misuse-Phase I and Phase II. E00503-036
- EDUCATIONAL SYSTEMS**
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina. E00503-032
Money Management Study. E03700-004
- EDUCATIONALLY DISADVANTAGED**
Improvements Needed in Program to Contact and Assist Recently Discharged Veterans. E06595-012
Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans. E06596-003
- ELDERLY**
- Care**
Costs of Alternative Care Settings for the Elderly. E00509-035
Utilization of Alternative Care Settings by the Elderly: normative estimates and current patterns. E00509-036

ELDERLY**SUBJECT INDEX****Housing**

Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois. E00693-008
Housing for the Elderly--Factors Which Should be Evaluated Before Deciding on Low- or High-Rise Construction. E00693-024
HUD's Comparison of Costs under Sections 202 and 236 for Housing Projects for the Elderly. E00693-001

Nursing Homes

An Analysis of Actual and Potential Uses of Nursing Homes. E00506-049
Nursing Home Ombudsmen; five case studies. E00506-071

Nutrition

Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services. E00591-001
Longitudinal Study Design for Evaluation of the National Nutrition Program for Elderly. E00511-013

ELECTRIC BORROWERS

Rural Development as Related to REA Borrower's Activities. E00203-001

ELECTRIC COOPERATIVES

Impact of USDA Programs Upon Rural Cooperatives. E00200-002

ELECTRIC POWER

Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India. E01097-002
Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement. E00780-003

ELECTRON MICROSCOPE

Improvements Needed in Certain Hospitals' Laboratory Service Activities. E06595-011

ELECTRONIC EQUIPMENT

Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center. E01101-015

ELECTRONIC SCAN ANTENNA

Test and Evaluation of ATCRBS Electronic Scan Antenna. E01102-045

ELECTRONIC SURVEILLANCE

Department of Transportation's Cargo Security Program. E01190-001

ELECTRONIC TECHNICIAN TRAINING

Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center. E01101-015

ELEMENTARY EDUCATION

A Sequential Approach to Early Childhood and Elementary Education. E00512-001
Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs. E00602-032
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas. E00501-001
Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York. E00500-118
Study of Administrative Costs in Federally-Aided Domestic Programs. E03700-003

ELEMENTARY SCHOOL TEACHERS

A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects. E00501-005

SUBJECT INDEX**EMERGENCY PERTOLEUM ALLOCATIONS****ELEMENTARY SCHOOLS**

Who's Picking Up the Check for Pennsylvania's School Lunches? E00207-007

EMBASSIES

Efforts to Prevent Heroin from Illicitly Reaching the U.S. E00880-001
Need for Better Identification and Analysis of Nontariff Barriers to Trade. E00399-001
Rescission of the Opium Poppy Growing Ban by Turkey. E01097-022

EMERGENCIES

Selected Characteristics of the State Supplementation Programs Under the Supplementary Security Income Program (SSI). E00510-035

EMERGENCY ASSISTANCE

Recreational Boating in the Continental United States in 1973: the nationwide boating survey. E01101-019

EMERGENCY EMPLOYMENT

Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971. E00992-009
Longitudinal Evaluation of PEP (Public Employment Program) and Validation of the PEP Data Bank. E00902-042
Neighborhood Youth Corps and Emergency Employment Programs in Artesia, New Mexico. E00992-014
Public Employment Programs in Selected Rural and Urban Areas. E00992-011
Public Service Benefits from Jobs Under the Emergency Employment Act of 1971. E00992-010
Selection and Enrollment of Participants in Programs Under the Emergency Employment Act of 1971. E00992-003
The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements. E00992-015
Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971. E00992-006

EMERGENCY HEALTH ACTIVITIES

Federal Emergency Health Activities. E03700-009

EMERGENCY HEALTH PREPAREDNESS

Report on Airport/Community Emergency Medical Preparedness and Federal Emergency Health Preparedness. E03700-008

EMERGENCY HOSPITALS

Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite Experiment Evaluation. E00508-043

EMERGENCY MEDICAL CARE

Evaluation of Emergency Medical Service Systems Impact. E00507-073

EMERGENCY MEDICAL SERVICES

A Study of the Nature and Function of the Emergency Medical Service Advisory Councils in Relation to Selected Community Variables. E00507-021
Assessment of Emergency Medical Systems. E00507-052
Evaluation of the Emergency Medical Services Demonstration Projects. E00506-103
Evaluation of Emergency Medical Service Systems Impact. E00507-073
Evaluation of Poison Control System: Vol. I, Project Results, Averages. Models for Performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat. E00505-010
Guidelines for Patient Record-Keeping Systems for Emergency Medical Service. E00507-051

EMERGENCY MEDICAL SYSTEMS

Assessment of Emergency Medical Systems. E00507-052
Evaluation of Legal Barriers to Emergency Medical Systems Implementation. E00507-053

EMERGENCY PERTOLEUM ALLOCATIONS

Problems in the Federal Energy Office's Implementation of Emergency Petroleum Allocation Programs at Regional and State Levels. E02990-001

EMERGENCY PREPAREDNESS**SUBJECT INDEX****EMERGENCY PREPAREDNESS**

Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims. E05590-001

EMIGRANT PESTS

APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. E00205-004
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. E00205-005

EMISSIONS

Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis. E01102-006

First Report of the Environmental Measures Project: Analysis and Applications of Environmental Quality Indicators. E02300-010

EMORY UNIVERSITY

Effectiveness Criteria in Venereal Disease Control Programs. E00504-020

EMPLOYEE AWARDS

Improving the Effectiveness of the Government Employee's Incentive Awards Program. E05990-002

EMPLOYEE BENEFIT PLANS

Employee-Benefit Plans, 1973. E00510-010

EMPLOYEE BENEFITS

Employee-Benefit Plans, 1973. E00510-010

EMPLOYEE CHARACTERISTICS

Demographic Characteristics of Workers in the Work Clothing Industry. E00906-003

EMPLOYEE TRAINING

Disincentives to Effective Employee Training and Development. E05916-003

Employee Training in the Federal Service, Fiscal Year 1973. E05916-005

Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse. E00589-009

EMPLOYEES

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973. E00904-006

An Employee Evaluation of the HEW Departmental Library. E00500-077

Coverage and Vesting of Full-Time Employees Under Private Retirement Plans. E00510-046

Demographic Characteristics of Workers in the Work Clothing Industry. E00906-003

More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs. E00995-004

Personnel Distribution in a City Health Department. E00507-048

Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970. E05990-015

Propriety of Minimum Wage Determinations for Clerical and Other Office Employees Under the Service Contract Act. E00994-001

Report on the Affirmative Steps Taken to Ensure the Protection from Coercion of Employees Who Fall within the Purview of the Major RIF Retirement Law. E05908-006

Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health. E00500-102

Self-Employment in the Covered Work Force. E00510-037

The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements. E00992-015

Government

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973. E00904-006

Improving the Effectiveness of the Government Employee's Incentive Awards Program. E05990-002

Assistance Programs for Displaced Federal Civilian Employees. E05990-009

Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970. E05990-015

Upward Mobility Programs in Federal Government Should Be Made more Effective. E05990-017

Improving the Pay Determination for Federal Blue-Collar Employees. E05990-019

SUBJECT INDEX**ENERGY****Nonsupervisory**

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.

E00904-002

E00904-010

Priority Categories

Indian Health Service Upward Mobility.

E00507-058

EMPLOYERS

Evaluation of the Pilot Accelerated Apprenticeship Program.
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.

E00902-002

E00501-001

EMPLOYMENT

A comparative Consumer Survey on Human Services for American Indians in North Dakota.

E00500-209

An Evaluation of the Economic Impact Project of the Public Employment Program.
Analysis of COMO (Comprehensive Model) Follow-up Data.

E00902-005

E00902-011

Blacks and Social Security Benefits: Trends, 1960-1973.

E00510-012

Conversions to Supplemental Security Income from State Assistance: A Program Records Study.

E00510-008

Covered Employment and the Age Men Claim Retirement Benefits.

E00510-041

Earnings of Disabled-Worker Beneficiaries.

E00510-029

Econometric Estimation of the Macro Impact of Public Employment and Public Spending.

E00900-009

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.

E00501-002

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.

E00501-003

Evaluation of the High School Equivalency Programs for Seasonal Farmworkers.

E00902-004

Evaluation of the National Ironworkers and Employers Training Program.

E00902-001

Evaluation of the Public Employment Program for Reservation Indians.

E00902-006

Self-Employment in the Covered Work Force.

E00510-037

Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns.

E00900-008

The Retirement Test: An International Study.

E00510-025

Women Born in Early 1900's: Employment, Earnings and Benefit Levels.

E00510-042

Youth Conservation Corps Pilot Program, 1972.

E00700-001

EMPLOYMENT PATTERNS

Conversions to Supplemental Security Income from State Assistance: A Program Records Study.

E00510-008

Earnings of Disabled-Worker Beneficiaries.

E00510-029

EMPLOYMENT SERVICES

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.

E00501-002

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.

E00501-003

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.

E00501-004

ENCEPHALITIS

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy.

E00504-007

ENERGY

Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis.

E01102-006

New Communities and the Energy Crisis.

E00601-144

Opportunities for Energy Conservation in Federally Insured Homes by Revising Current Thermal Standards and Establishing Additional Standards Where Necessary.

E00693-013

Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf.

E00780-008

Problem in the Federal Energy Administration's Compliance and Enforcement Effort.

E02990-002

Problems in the Federal Energy Office's Implementation of Emergency Petroleum Allocation Programs at Regional and State Levels.

E02990-001

ENERGY**SUBJECT INDEX**

Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area. **E06086-001**
 Using Solid Waste to Conserve Resources and to Create Energy. **E02390-010**
 Water & Land Resource Accomplishments, 1973. **E00716-002**

Atomic

Opportunities for Improving AEC's Administration of Agreements with States Regulating Users of Radioactive Materials. **E06290-002**

Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes. **E06290-001**

Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems. **E06290-005**

Electrical

Water & Land Resource Accomplishments, 1973. **E00716-002**

Geothermal

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement. **E00780-003**

Problem in Identifying, Developing, and Using Geothermal Resources. **E00780-007**

ENERGY CONSERVATION

Opportunities for Energy Conservation in Federally Insured Homes by Revising Current Thermal Standards and Establishing Additional Standards Where Necessary. **E00693-013**

ENGINEERING

A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels. **E01101-001**

An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded Third Generation ATC System. **E01102-020**

Licensing of Deck and Engineering Officers in the U.S. Merchant Marine. **E01101-002**

Low Rent Housing Maintenance and Engineering. **E00600-079**

Potential for Using Alternative Dietary Systems in Veterans Administration Hospitals. **E06595-003**

Report of the Work Group on OCS Safety and Pollution Control. **E00712-004**

Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Televised Radar Display Applications. **E01102-043**

ENGINEERS

A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels. **E01101-001**

Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. **E01193-001**

Doctoral Scientists and Engineers in the U.S.: 1973 Profile. **E00508-040**

Information on the Selection of Independent Architect Engineers by the Veterans Administration. **E06593-001**

Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks. **E00992-012**

ENGINES

Aircraft Taxiing Noise Measurement. **E01102-005**

Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System. **E01102-009**

Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. **E01102-004**

ENROLLMENT

Better Controls Needed for Health Maintenance Organizations under Medicaid in California. **E00587-005**

Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services. **E00591-001**

Minimum Wage Legislation in the United States. **E00900-013**

Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education. **E00586-001**

Selection and Enrollment of Participants in Programs Under the Emergency Employment Act of 1971. **E00992-003**

Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential. **E00900-004**

Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971. **E00992-006**

U.S. Foreign Aid to Education: Does Brazil Need It? **E01097-013**

SUBJECT INDEX**ENVIRONMENTAL POLLUTION**

Who's Picking Up the Check for Pennsylvania's School Lunches? 1972 National School Lunch Program Survey.	E00207-007 E00207-006
ENVIRONMENTAL AFFAIRS International Trade Study.	E00300-024
ENVIRONMENTAL ANALYSES Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey.	E00712-008
ENVIRONMENTAL BASELINES First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-001
ENVIRONMENTAL DAMAGES Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests. Supertanker Environmental Study.	E00299-028 E01101-007
ENVIRONMENTAL EDUCATION An Evaluation of the 1973 Youth Conservation Corps. Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps. Youth Conservation Corps Pilot Program, 1973.	E00700-003 E00700-004 E00700-002
ENVIRONMENTAL HEALTH SURVEYS Review of Selected Aspects of the Administration of the Indian Health Service, Health Services Administration.	E00500-106
ENVIRONMENTAL IMPACTS Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land. Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands. Evaluation of the Report on Interceptor Sewers and Suburban Sprawl. Farm Programs, Pesticide Use, and Social Costs. Need for HUD to Prepare an Environmental Impact Statement for Town of Leesburg, Virginia, Potomac River Water Supply Project. Report of the Work Group on OCS Safety and Pollution Control, May 1973. The Federal Reclamation Program; its impacts, issues and future considerations. Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California.	E00299-027 E00780-001 E02300-005 E00209-004 E00692-022 E00712-006 E00716-001 E00712-009
ENVIRONMENTAL INFORMATION The Application of a Computerized Environmental Information System to Master and Sector Planning.	E00712-011
ENVIRONMENTAL MANAGEMENT An Evaluation of Program Elements and Impact of Federal and Local Lead-Based Paint Poisoning Grant Programs. Supertanker Environmental Study.	E00504-017 E01101-007
ENVIRONMENTAL MEASURES First Report of the Environmental Measures Project: Analysis and Applications of Environmental Quality Indicators.	E02300-010
ENVIRONMENTAL PESTICIDE CONTROL Development of a Contingency Plan for Certification of Pesticide Applications.	E02300-009
ENVIRONMENTAL PLANNING The Application of a Computerized Environmental Information System to Master and Sector Planning.	E00712-011
ENVIRONMENTAL POLLUTION Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.	E00300-007

ENVIRONMENTAL PROBLEMS**SUBJECT INDEX****ENVIRONMENTAL PROBLEMS**

An Analysis With Improvement Postulation for the Neighborhood Environmental Evaluation and Decision System (NEEDS Validation Study). E00504-010

ENVIRONMENTAL PROTECTION

Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade. E02390-004

Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do. E02390-007

ENVIRONMENTAL QUALITY

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands. E00780-001

Evaluation of the Report on Interceptor Sewers and Suburban Sprawl. E02300-005

First Report of the Environmental Measures Project: Analysis and Applications of Environmental Quality Indicators. E02300-010

Report on Audit of Selected Pollution Abatement Activities, Maritime Administration. E00300-012

EPIDEMIOLOGY

An Investigation of Consumers' Perceptions of Adverse Reactions to Cosmetic Products; final report. E00505-012

Development of Data Base for Assessing Impact of Newly Established Venereal Disease Control Program. E00504-019

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. E00504-007

EQUAL EMPLOYMENT OPPORTUNITY

The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved. E00994-002

Upward Mobility Programs in the Federal Government Should Be Made More Effective. E05990-017

EQUAL OPPORTUNITY

Equal Opportunity Activities. E00600-084

Equal Opportunity--Program Evaluation and Compliance. E00600-137

Management and Operational Review of the Office of Equal Opportunity Program. E01200-002

The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved. E00994-002

EQUAL PAY

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-002

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-003

EQUINE EDUCATION

Kentucky Equine Education Program (KEEP). E00992-025

EQUINE ENCEPHALITIS

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. E00504-007

EQUIVALENCY PROGRAMS

Evaluation of the High School Equivalency Programs for Seasonal Farmworkers. E00902-004

ERIE, PENNSYLVANIA

On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies. E00506-087

EROSION

Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment. E00200-003

Youth Conservation Corps-Pilot Program 1973. E00216-004

SUBJECT INDEX**EXPERIMENTAL TECHNOLOGY****ESCALATOR CLAUSE**

Indexing for Inflation: Some Formal Models and an Informal Policy Proposal. E00900-030

ETHICAL CONDUCT

Onshore Lease Management Program Study for the U.S. Geological Survey. E00712-007

ETHNIC GROUPS

Health Start Final Report of the Evaluation of the Second Year Program. E00512-008

ETHNICITY

Short-Term Cognitive Effects of Head Start Programs. E00512-007

EUROPE

Efforts to Prevent Heroin from Illicitly Reaching the U.S. E00880-001

Status of Efforts to Offset Balance-of-Payment Deficit for Fiscal Year 1974 Attributable to Maintaining U.S. Forces in Europe. E00399-002

EXCESS GOVERNMENT PROPERTY

Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites. E03790-003

EXCESS INDIAN RUPEES

United States Interests and Activities in Nepal. E01097-005

EXECUTIVE ASSIGNMENT

Survey of the Civil Service Commission's Executive Assignment System. E05990-018

EXECUTIVE DEVELOPMENT

Evaluation of the Federal Executive Development Program Assessment Center. E05913-001

Managerial and Executive Development Needs in the Federal Service and Recommended Actions for Meeting the Needs. E05900-001

EXECUTIVE INTERCHANGE

Review of the Management of the President's Executive Interchange Program. E05990-013

EXECUTIVE ORDER 11478

Upward Mobility Programs in the Federal Government Should Be Made More Effective. E05990-017

EXECUTIVE ORDER 11717

A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717. E03700-002

EXIMBANK

Export of U.S.-Manufactured Aircraft--Financing and Competitiveness. E00399-003

Report on Audit of the Cargo Preference Program, Maritime Administration. E00300-004

EXPERIMENTAL CAI NETWORK

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine. E00508-053

EXPERIMENTAL HOUSING ALLOWANCE

Observation on Housing Allowances and the Experimental Housing Allowance Program. E00693-019

EXPERIMENTAL MAPS

Research and Development in Topographic Mapping. E00712-010

EXPERIMENTAL MEDICAL CARE

Evaluation of the Experimental Medical Care Review Organization (EMCRO) Programs. E00506-051

EXPERIMENTAL TECHNOLOGY

Report on Audit of Experimental Technology Incentives Program, National Bureau of Standards. E00300-017

EXPERIMENTS**SUBJECT INDEX****EXPERIMENTS**

Health Care and Satellite Radio Communication in Village Alaska--Final Report of the
ATS-1 Biomedical Satellite Experiment Evaluation. E00508-043
Observation on Housing Allowances and the Experimental Housing Allowance
Program. E00693-019
Test and Evaluation of a Remote Control and Radiofrequency Repeater System for
Televised Radar Display Applications. E01102-043

EXPLOSIONS

Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank
Inerting System. E01102-009
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. E01102-004

EXPLOSIVES

Feasibility Investigation and Test of Coded Taggant Materials for the Identification of
Explosives. E00811-034
Progress and Problems in Developing Nuclear and Other Experimental Techniques
for Recovering Natural Gas in the Rocky Mountain Area. E06086-001
Sulfur Hexafluoride Taggant for Explosives Detection. E00811-033

EXPORT-IMPORT BANK

Report on Audit of the Cargo Preference Program, Maritime Administration. E00300-004

EXPORTERS

Evaluation Report on Cotton Council International's Promotion of American Cotton
Through Japan Cotton Promotion Institution. E00212-004

EXPORTS

Export of U.S.-Manufactured Aircraft--Financing and Competitiveness. E00399-003
Impact of USDA Programs Upon Rural Cooperatives. E00200-002
Import Demand for Rice in the EEC: Implications of U.S. Market Promotion. E00212-003
Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under
Programs of the Agency for International Development. E01097-010
Report on Audit of Industry/Market Targeting System, Bureau of International Com-
merce, Domestic and International Business Administration. E00300-010
Report on Audit of Selected Program Development Activities, Bureau of International
Commerce, Domestic and International Business Administration. E00300-011

EXPOSITIONS

Review of the Organization and Operations of the United States Travel Service
(USTS). E00300-029

EXPOSURE

Aircraft Sound Description System Application Procedures. E01102-046
Behavioral, Automatic, and Subjective Reactions to Low and Moderate Level Simulat-
ed Sonic Booms. E01102-023
Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. E00504-003
Evaluation of Methods for Setting Occupational Health Standards for Uranium
Miners. E00504-011
Recreational Boating in the Continental United States in 1973: the nationwide boat-
ing survey. E01101-019

EXTENDED CARE FACILITIES

Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization
of Health Services. E00507-023
Selected State Data Medicare Fiscal Years 1968-72. E00510-002

EXTENSION CENTERS

Can University Extension Run Consumer Health Education Programs? An Evaluative
Study of Four Demonstrations and Four Controls. E00506-050

EXTENSION PROGRAMS

EDA-Regional Commission Coordination Review. E00300-019

EXTENSION SERVICE SCOUTS

Crop Insurance and Information Services to Control Use of Pesticides. E02305-100

SUBJECT INDEX**FAMILIES****EYEBLINK RESPONSES**

Behavioral, Automatic, and Subjective Reactions to Low and Moderate Level Simulated Sonic Booms.

E01102-023

FACTORY ACCEPTANCE TESTS

Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Televised Radar Display Applications.

E01102-043

FACULTIES

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine.
Science Development: an evaluation study.

E00508-053
E04600-002**FAIR LABOR STANDARDS**

Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters.

E00994-003
E00904-004

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act.
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).

E00904-002

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).

E00904-003

Private Household Workers: data pertinent to an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974.

E00904-001
E00904-012

Sheltered Workshops Under the Fair Labor Standards Act.

Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.

E00904-010

FAIRFAX COUNTY

The Economic Impact of Reston on Fairfax County Government.

E00601-016

FAIRS

Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration.

E00300-015

FAMILIES

A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates.

E01101-013
E00902-007
E00500-202

An Evaluation of the Arizona Job College.

Assessment of Quality Control and Corrective Action Activities in Region V.

Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module.

E00506-075

Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met.

E00586-006

Disabling Effects of Chronic Conditions of Male Family Heads.

E00510-145

Effects of Ability, Family Background and Education on Earnings and Careers.

E00510-134

Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking.

E00504-003

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas.

E00503-033

Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972.

E00506-039

Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program.

E00589-007

Income Transfers as a Public Good: An Application to AFDC.

E00500-076

Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program.

E01097-024

National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.

E00207-003

Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.

E00693-004

Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.

E00693-005

Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.

E00693-003

Participation in the Aid to Families with Dependent Children.

E00509-029

FAMILIES**SUBJECT INDEX**

Problems in Administering Two Eligibility Aspects in the Aid to Families with Dependent Children Program.	E00589-002
Program Evaluation, Youth Conservation Corps.	E00709-003
Progress and Problems in Achieving Objectives of School Lunch Program.	E00299-021
Quiet Nacelle Retrofit Feasibility Program: compatibility analysis and design study.	E01102-002
Report on Audit of the Family Health Center Program, Cascade Health Care, Inc., Portland, Oregon.	E00500-116
Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency?	E00589-004
Supertanker Environmental Study.	E01101-007
The Effects of Welfare Payments on Family Expenditures.	E00510-141
Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature.	E00900-011
Weaknesses in Administration of the Home-Ownership Program for Low-Income Families.	E00693-000
Yeatman Baseline Survey.	E00507-011
Youth Conservation Corps-Pilot Program 1973.	E00216-004
Husband-Wife	
Young Widows and Their Children: A Comparative Report.	E00510-011
Low Income	
Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met.	E00586-006
Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement.	E00207-020
Housing in the Seventies; a report of the National Housing Policy Review.	E00600-013
Observation on Housing Allowances and the Experimental Housing Allowance Program.	E00693-019
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.	E00693-003
Problems in the Homeownership Opportunities Program for low Income Families (HOPLIF).	E00693-018
Some Problems in Contracting for Federally Assisted Child-Care Services.	E00589-003
Moderate Income	
Housing in the Seventies; a report of the National Housing Policy Review.	E00600-013
Rural	
Progress and Problems of U.S. Assistance for Land Reform in Vietnam.	E01097-009
Rural Poor	
An Evaluation of the Arizona Job College.	E00902-007
FAMILY ALLOWANCES	
Major Social Security Issues: Japan, 1972.	E00510-059
FAMILY ASSISTANCE	
Regional Adjustments to Family Assistance Plan Payments.	E00509-028
FAMILY FARMS	
Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects.	E00796-001
FAMILY FOOD ASSISTANCE	
Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota.	E00299-022
FAMILY INCOME	
Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969.	E00510-048
FAMILY PLANNING	
A Comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209
Assistance to Family Planning Programs in Southeast Asia.	E01097-007
Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981.	E00507-064
Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972.	E00506-039

SUBJECT INDEX**FARMLAND**

Financial Assistance to NCFPS (National Center for Family Planning Services) to Develop Family Planning Project Evaluation Process; final report July 1973-June 1974.	E00507-049
Forward Plan for BCHS Family Planning Program.	E00507-044
Improving Federally Assisted Family Planning Programs.	E00587-006
Need for Subsidizing Family Planning Services; United States, each state and county, 1971.	E00507-033
Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements.	E00507-018
The Family Planning Evaluation Project.	E00507-026
The Family Planning Evaluation Project.	E00507-027
U.S. Grant Support of International Planned Parenthood Federation Needs Better Oversight.	E01097-015
FAMILY REHABILITATION	
An Evaluation of the Arizona Job College.	E00902-007
FAMILY SPLITTING	
Welfare Policy and Family Splitting.	E00509-020
FARM COMMODITIES	
Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert.	E00204-001
Food for Peace: An Evaluation of P.L. 480--Title II.	E00212-002
FARM INCOME	
Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy.	E00209-006
Food Stamps and Nutrition.	E00207-001
Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
FARM LABOR	
A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970.	E00209-005
Self-Employment in the Covered Work Force.	E00510-037
FARM OPERATORS	
Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects.	E00796-001
FARM PRODUCTS	
Analysis of the Tokyo Trade Center Show.	E00212-005
FARM PROGRAMS	
A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970.	E00209-005
Farm Programs, Pesticide Use, and Social Costs.	E00209-004
FARM TECHNOLOGY	
Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program.	E00299-008
FARMERS	
A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970.	E00209-005
An Evaluation of Subsidy Forms for Soil and Water Conservation.	E00219-005
Analysis of Individual Underwriting Progress and Problems.	E00211-002
Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy.	E00209-006
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001
Cotton Evaluation of Coverage Reductions and Rate Increases.	E00211-004
Evaluation of Experience Under Improved Management Practice for Corn.	E00211-003
Intercountry Evaluation of Agriculture Sector Programs: Colombia, Costa Rica, Guatemala.	E01017-003
Progress and Problems of U.S. Assistance for Land Reform in Vietnam.	E01097-009
Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved.	E00299-035
FARMLAND	
An Evaluation of the ASCS Pilot Public Access Program.	E00209-008
The Federal Reclamation Program; its impacts, issues and future considerations.	E00716-001

FARMS**SUBJECT INDEX****FARMS**

An Evaluation of the Arizona Job College. E00902-007
Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives
for Improved Damage Assessment. E00200-003
Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive
Water from Federal Water Resources Projects. E00796-001
Crop Insurance and Information Services to Control Use of Pesticides. E02305-100
Differences in Administration of the Uniform Relocation Assistance and Real Proper-
ty Acquisition Policies Act of 1970. E01193-001
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland
Cotton). E00209-001
Self-Employment in the Covered Work Force. E00510-037
Water & Land Resource Accomplishments, 1973. E00716-002

FARMWORKERS

Evaluation of the High School Equivalency Programs for Seasonal Farmworkers. E00902-004

FATALITIES

Alcohol Safety Action Project: evaluation of operations. E01107-002
Alcohol Safety Action Projects: evaluation of operations, 1973. E01107-003
Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973. E01101-006

FAYETTE, MISSISSIPPI

Development of a Uniform Accounting System for Comprehensive Health Centers
Which Are Funded by 314(e) Grants. E00507-020

FEED

An Incident of Contamination of Livestock Feed and Certain Consumer Products. E00585-002
Evaluation of the FY 1974 USDA Special Beef Purchase. E00200-004
Examination into Feed Grains and Related Matters. E00299-009
What Should U.S. Policy Be for Development Assistance to Ecuador? E01097-004

FEEDGRAINS

Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy. E00209-006
Program Evaluation on 1973 Feedgrain Program Performance. E00209-002

FEEDHORN ANTENNAS

Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar. E01102-042

FEMALE TRAINEES

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and
Employment Services for Women. E00501-002
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and
Employment Services for Women. E00501-003
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and
Employment Services for Women. E00501-004

FEMALES

Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. E00504-003
Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers. E01102-021
National Survey of Food Stamp and Food Distribution Program Recipients: a summa-
ry of findings on income sources and amounts and incidence of multiple
benefits. E00207-003
The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors. E00900-029
The Evaluation of the WIN II Program: methodological issues and some initial
results. E00900-001

FIBER PERMEABLE MEMBRANES

Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. E01102-004

FILIPINO VETERANS

Veterans Assistance Program in the Philippines Should Be Improved. E06590-003

FINANCIAL ASSISTANCE

Administration of Small Business Loan Program Under the Occupational Safety and
Health Act. E05590-004

SUBJECT INDEX**FISH**

Benefits Could Be Realized by Revising Policies and Practices for Acquiring Existing Structures for Low Rent Public Housing.	E00694-001
Evaluation of the Report on Interceptor Sewers and Suburban Sprawl.	E02300-005
Financial Assistance to NCFPS (National Center for Family Planning Services) to Develop Family Planning Project Evaluation Process; final report July 1973-June 1974.	E00507-049
Housing for the Elderly--Factors Which Should be Evaluated Before Deciding on Low- or High-Rise Construction.	E00693-024
Improving Services to Handicapped Children.	E00500-069
States Need, But Are Not Getting, Full Information on Federal Financial Assistance Received.	E01280-002
The Low Rent Housing Project on Quebec Road in Cincinnati.	E00692-999
The Necessity and Impact of Federal Guarantees and Other Financial Aid on New Community Development.	E00601-023
FINANCIAL DATA REPORTING	
Federal Retirement System--Key Issues, Financial Data, and Benefit Provisions.	E05990-006
FINANCIAL INCENTIVES	
Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970.	E00510-019
FINANCIAL MANAGEMENT	
A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717.	E03700-002
Department of the Interior Aircraft Study and Recommendations.	E00700-007
Follow-up Review of Migrant Children Education, Office of Education.	E00500-100
Opportunities to Improve Financial Management and Reduce Costs of Loan Administration.	E05590-005
Survey of Bureau Management by Objectives Programs.	E00300-020
FINANCIAL MONITORING	
Getting the New Communities Program Started; progress and problems.	E00692-023
FINANCIAL PROBLEMS	
A comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209
FINGERPRINTS	
Project SEARCH--Latent Fingerprint Study.	E00811-029
FINNISH PENSIONS	
Finnish and Swedish Pension Re-Insurance Programs.	E00510-144
FIRE HAZARDS	
Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests.	E00299-028
FIRE PROTECTION	
Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.	E00216-003
FIRE SAFETY	
Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements.	E00589-008
FIREARMS	
Evaluation of the Implementation of Alcohol, Tobacco and Firearms as an Independent Bureau.	E01200-003
FIRES	
Need for Federal Agencies to Improve Solid Waste Management Practices.	E02390-001
FISH	
Program Evaluation, Youth Conservation Corps.	E00709-003
Review of Cooperative Fisheries and Wildlife Unit Program.	E00709-002
Review of Wetlands Acquisition Program.	E00709-001

FISH**SUBJECT INDEX**

Youth Conservation Corps-Pilot Program 1973. E00216-004

FISH HATCHERIES
Program Evaluation, Youth Conservation Corps. E00709-003

FISHERMEN
Radio Aids to Navigation for the U.S. Coastal Confluence Region. E01101-011

FISHERY PRODUCTS
Report on Survey of the Fishery Products Inspection Service, National Oceanic and Atmospheric Administration. E00300-008

FISHING
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. E00216-001

FISHMEAL
An Incident of Contamination of Livestock Feed and Certain Consumer Products. E00585-002

FISSIONABLE MATERIALS
Improvements Needed in the Program for the Protection of Special Nuclear Material. E06290-004
Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems. E06290-005

FLIES
The Southwestern Screwworm Eradication Program: a review. E00205-002
Citrus Black fly Program Evaluation. E00205-003

FLIGHT PATTERNS
Measurement and Analyses of ASR-4 System Error. E01102-018

FLIGHT TESTS
Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique. E01102-003
Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique. E01102-008
Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing. E01102-001

FLINT, MICHIGAN
Department of Housing and Urban Development's 'as is' Sales Program in Flint, Michigan. E00693-021

FLOATING SILO
Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis. E01097-017

FLOOD CONTROL
Water & Land Resource Accomplishments, 1973. E00716-002

FLOOD DAMAGES
The Federal Reclamation Program; its impacts, issues and future considerations. E00716-001

FLOOD INSURANCE
Actions Needed to Provide Greater Insurance Protection to Flood-Prone Communities. E00696-002
National Flood Insurance. E00600-140

FLOODING
Opportunities for Improving the Model Cities Program in San Antonio, Texas. E00692-004

FLORIDA
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants. E00507-020
Information on Professional Fees and Other Compensation Paid for Services of Selected Physicians Employed at the Veterans Administration Hospital in Miami, Florida. E06595-007

SUBJECT INDEX**FOOD STAMPS**

On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.	E00506-087
Systematic External Review Program Survey Report: Veterans Administration Center, Bay Pines, Florida.	E06504-030
FOOD AND FIBER	
Development Assistance to Korea.	E01097-011
FOOD AND NUTRITION EDUCATION	
Survey of Expanded Food and Nutrition Education Program.	E00299-013
FOOD ASSISTANCE	
Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services.	E00591-001
Food Distribution and Food Stamp Program Effects on Nutritional Achievement.	E00207-002
National Survey of Family Food Assistance Participants.	E00207-019
National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.	E00207-003
1972 National School Lunch Program Survey.	E00207-006
FOOD CONSUMPTION	
Food Stamps and Nutrition.	E00207-001
FOOD DISTRIBUTION	
Food Distribution and Food Stamp Program Effects on Nutritional Achievement.	E00207-002
National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.	E00207-003
FOOD DONATION	
Food Distribution and Food Stamp Program Effects on Nutritional Achievement.	E00207-002
FOOD FOR PEACE	
Food for Peace; an Evaluation of P.L. 480, Title II.	E01017-001
Food for Peace: An Evaluation of P.L. 480--Title II.	E00212-002
FOOD FOR WORK	
Food for Peace: An Evaluation of P.L. 480--Title II.	E00212-002
FOOD HABITS	
Factors Affecting Food Habits.	E00207-017
FOOD MANAGEMENT	
Five County Food Management Improvement Project.	E00207-010
FOOD MARKETING ALERT	
Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert.	E00204-001
Evaluation of the USDA Food Supply Release, Food Marketing Alert.	E00204-003
FOOD POISONING	
Salmonella in Raw Meat and Poultry: an assessment of the problem.	E00585-008
FOOD SERVICES	
An Appraisal of the Special Summer Food Service Program for Children.	E00299-024
Comparison of Type A Pattern and Nutrient Standard Approaches to School Food Service Menu Planning.	E00207-012
Demonstration Project for Summer Special Food Service Program for Children.	E00207-026
School Feeding Effectiveness.	E00207-004
FOOD STAMPS	
Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota.	E00299-022
Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement.	E00207-020

FOOD STAMPS**SUBJECT INDEX**

Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services. E00591-001
 Evaluation of Work Registration Activities Under the Food Stamp Program. E00902-038
 Factors Contributing to the Varying Rates of Participation in the Food Stamp Program. E00299-018
 Food Distribution and Food Stamp Program Effects on Nutritional Achievement. E00207-002
 Food Stamps and Nutrition. E00207-001
 National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits. E00207-003
 Observations on the Food Stamp Program. E00299-025
 Presidential Objective on Child Nutrition Programs. E00207-008

FOOD SUPPLY

Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert. E00204-001
 Evaluation of the USDA Food Supply Release, Food Marketing Alert. E00204-003

FOODS

Cost Survey of Foods Purchased by the USDA and Local School Systems. E00207-009
 Food Stamps and Nutrition. E00207-001
 Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public. E00585-014
 School Feeding Effectiveness. E00207-004

FORAGE

Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada. E00714-001
 Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. E00216-001

FORECASTS

An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III. E00508-032

Military Air Traffic Activity

Military Air Traffic Forecast: 1975-1986. E01102-019

Offshore Petroleum and Mineral

International Inventory and Forecast of Offshore Petroleum and Mineral Activity. E01101-003

Population

Evaluation of the Report on Interceptor Sewers and Suburban Sprawl. E02300-005

FORECLOSURES

Disposal of Acquired Properties in Detroit, Michigan: progress and problems. E00693-015
 Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016
 Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans. E00693-002

FOREIGN AIRLINES

Export of U.S.-Manufactured Aircraft--Financing and Competitiveness. E00399-003

FOREIGN ASSISTANCE

Assistance to Family Planning Programs in Southeast Asia. E01097-007
 Food for Peace: An Evaluation of P.L. 480--Title II. E00212-002
 Improvements Needed in System for Managing U.S. Participation in the Asian Development Bank. E01280-004
 Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. ID-75-9. E01097-024
 What Should U.S. Policy Be for Development Assistance to Ecuador? E01097-004

FOREIGN BENEFICIARIES

Report on Review of the Division of International Operations, Social Security Administration. E00500-103

SUBJECT INDEX**FORESTRY****FOREIGN COUNTRIES**

Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis. E01097-001
Need for Better Identification and Analysis of Nontariff Barriers to Trade. E00399-001

FOREIGN ECONOMIC DEVELOPMENT

The Case of P.L. 480; The Side Effects of Foreign Aid: Wheat in Colombia. E00212-001

FOREIGN GOVERNMENTS

Efforts to Prevent Heroin from Illicitly Reaching the U.S. E00880-001

FOREIGN LANGUAGES

Need to Improve Language Training Programs and Assignments for U.S. Government Personnel Overseas. E01097-003

Report on Audit of Activities Associated With Domestic Tourist Services and Facilities, United States Travel Service. E00300-005

FOREIGN MERCHANT VESSELS

Great Lakes Pilotage Review. E01101-012

FOREIGN QUARANTINE

Evaluation of VISA Medical Activities. E00504-004

FOREIGN RELATIONS

Interim Report on the Agency for International Development's Housing Investment Guaranty Program. E01097-008

FOREIGN SERVICE

Cost and Use of Personnel in the Agency for International Development. E01097-021

More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand. E00299-029

Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration. E00300-011

FOREIGN STUDENTS

Better Controls Needed to Prevent Foreign Students from Violating the Conditions of Their Entry and Stay in the U.S. E00889-004

FOREIGN TRAVEL

Report on Audit of Activities Associated With Domestic Tourist Services and Facilities, United States Travel Service. E00300-005

FOREIGN VISITORS

Foreign Visitor Travel to The United States can be Increased. E00399-004

FOREST ACREAGE

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. E00216-001

FOREST MANAGEMENT

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. E00216-001

Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists. E00216-003

FOREST SERVICE

Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land. E00299-027

An Evaluation of the 1973 Youth Conservation Corps. E00700-003

Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests. E00299-028

Managing Recreation Facilities at Bureau of Reclamation Reservoirs. E00780-006

More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand. E00299-032

Need for Federal Agencies to Improve Solid Waste Management Practices. E02390-001

Youth Conservation Corps-Pilot Program 1973. E00216-004

FORESTRY

An Incident of Contamination of Livestock Feed and Certain Consumer Products. E00585-002

FORESTRY**SUBJECT INDEX**

Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies.	E00299-033
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.	E00216-001
Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.	E00216-003
FORESTS	
Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land.	E00299-027
Allowable Harvest Determinations on National Forest Lands.	E00299-031
Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests.	E00299-028
Managing Recreation Facilities at Bureau of Reclamation Reservoirs.	E00780-006
More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand.	E00299-032
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.	E00216-001
FRANCE	
National Health Systems in Eight Countries.	E00510-034
FRAUDS	
Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse.	E00589-009
Review of SSA's Fraud Deterrence Program.	E00500-107
FREE LUNCHES	
Presidential Objective on Child Nutrition Programs.	E00207-008
Progress and Problems in Achieving Objectives of School Lunch Program.	E00299-021
Who's Picking Up the Check for Pennsylvania's School Lunches?	E00207-007
FREE MARKETS	
Interim Report on the Review of the Domestic and International Business Administration.	E00300-023
FREIGHT	
Maritime Policy Study.	E00300-025
FRESH WATER FISHING	
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.	E00216-001
FRUITS	
A Simulation of the DABS Sensor for Evaluating Reply Processor Performance.	E01102-028
An Evaluation of Insurance Experience.	E00211-001
Evaluation of Experience Under Improved Management Practice for Corn.	E00211-003
Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
FUEL CONSERVATION	
Aircraft Taxiing Noise Measurement.	E01102-005
FUEL TANK INERTING	
Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System.	E01102-009
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting.	E01102-004
FUEL VAPOR IGNITION	
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting.	E01102-004
GAS	
Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.	E00780-005
Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area.	E06086-001
Report of the Work Group on OCS Safety and Pollution Control.	E00712-004

SUBJECT INDEX**GEOLOGICAL SURVEYS**

Report of the Work Group on OCS Safety and Pollution Control, May 1973.	E00712-005
Report of the Work Group on OCS Safety and Pollution Control, May 1973.	E00712-006
Federal Leaseholds	
Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf.	E00792-001
Geological Formations	
Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area.	E06086-001
Industries	
Information on Certain Oil and Gas Industry Oversight Responsibilities.	E00780-004
Leases	
Review of Royalty Accounting System for Onshore Oil and Gas Leases.	E00712-013
Offshore	
First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-001
Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-002
Onshore	
Review of Royalty Accounting System for Onshore Oil and Gas Leases.	E00712-013
Outer Continental Shelf	
Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands.	E00780-001
Report of the Work Group on OCS Safety and Pollution Control, May 1973.	E00712-005
Resources	
Information on Certain Oil and Gas Industry Oversight Responsibilities.	E00780-004
Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf.	E00780-008
Rocky Mountain Area	
Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area.	E06086-001
GAS GENERATORS	
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting.	E01102-004
GASOLINE RETAILERS	
Problems of Independent Refiners and Gasoline Retailers.	E02990-003
GEARS	
Aircraft-Pavement Compatibility Study.	E01102-011
GENERAL ELECTRIC COMPANY	
Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Recalled by the General Electric Company.	E00585-011
GEODETTIC DATA	
Research and Development in Topographic Mapping.	E00712-010
GEOLOGIC DATA	
Information on Certain Oil and Gas Industry Oversight Responsibilities.	E00780-004
GEOLOGICAL FORMATIONS	
Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area.	E06086-001
GEOLOGICAL HAZARDS	
Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California.	E00712-009
GEOLOGICAL SURVEYS	
Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey.	E00712-008

GEOLOGICAL SURVEYS**SUBJECT INDEX**

- First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. E00712-001
- Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf. E00792-001
- Information on Certain Oil and Gas Industry Oversight Responsibilities. E00780-004
- Onshore Lease Management Program Study for the U.S. Geological Survey. E00712-007
- Report of the Work Group on OCS Safety and Pollution Control. E00712-004
- Report of the Work Group on OCS Safety and Pollution Control, May 1973. E00712-005
- Review of Royalty Accounting System for Onshore Oil and Gas Leases. E00712-013
- Royalty Accounting System Study of Solid Mineral Leasing Activities. E00712-014
- Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. E00712-002
- The Application of a Computerized Environmental Information System to Master and Sector Planning. E00712-011
- Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. E00712-003
- Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California. E00712-009
- GEOHERMAL RESOURCES**
- Problem in Identifying, Developing, and Using Geothermal Resources. E00780-007
- GERMANY**
- National Health Systems in Eight Countries. E00510-034
- Observations Regarding the Promotion of Processed Food Products in Germany and the United Kingdom. E00212-008
- GHANA**
- United States Programs in Ghana. E01097-018
- GIMELLI SYSTEM**
- Test of Gimelli System for Training Court Reporters. E00811-002
- GONORRHEA**
- Development of Data Base for Assessing Impact of Newly Established Venereal Disease Control Program. E00504-019
- GRADING TRACTION**
- Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires. E01197-006
- GRADUATE PROGRAMS**
- An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning. E00506-055
- GRADUATE STUDENTS**
- Review of Cooperative Fisheries and Wildlife Unit Program. E00709-002
- Science Development: an evaluation study. E04600-002
- GRADUATE TRAINEES**
- Follow-up Survey of National Cancer Institute Postdoctoral Fellows (and) Follow-up Survey of National Cancer Institute Graduate Research Trainees; final report. E00508-029
- Follow-up Survey of National Institute of Dental Research Graduate Trainees and Postdoctoral Fellows. E00508-030
- GRADUATES**
- A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates. E00501-007
- A Study of the Minority Officer Procurement Program of the U.S. Coast Guard. E01101-014
- A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates. E01101-013
- An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III. E00508-032
- Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA. E00506-061
- Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met. E00586-006
- Evaluation of Graduate Training Programs in Health Planning. E00506-047

SUBJECT INDEX**GROSS NATIONAL PRODUCT**

Problems in Administering Programs to Improve Law Enforcement Education.	E00891-015
Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act.	E00992-002
Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education.	E00586-001
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.	E00501-001
GRAIN STORAGE	
Uniform Grain Storage Agreement.	E00209-003
GRAND RAPIDS, MICHIGAN	
Review of Certain Aspects of the Grand Rapids, Michigan, Model Cities Program.	E00692-014
GRANT AWARDS	
States Need, But Are Not Getting, Full Information on Federal Financial Assistance Received.	E01280-002
GRANT POLICIES	
FmHA Water and Waste Disposal Program Assistance to Small Rural Communities.	E00200-005
GRANT PROCESSES	
Report of the Administrator's Special Construction Grants Task Force.	E02300-008
The Federal Grant Process: an analysis of the use of supplemental and categorical funds in the model cities program.	E00602-034
GRANTS MANAGEMENT	
Decision-Making and Information Utilization in Three Public Health Service Regional Offices: final report.	E00500-002
GRAZING LAND	
A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management.	E01017-005
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).	E00209-001
Youth Conservation Corps-Pilot Program 1973.	E00216-004
GRAZING MANAGEMENT	
Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada.	E00714-001
GREAT LAKES	
Cleaning Up the Great Lakes: United States and Canada Are Making Progress in Controlling Pollution from Cities and Towns.	E02390-011
Evaluation of Facilities and Equipment: Great Lakes Region.	E01102-062
Great Lakes Pilotage Review.	E01101-012
Radio Aids to Navigation for the U.S. Coastal Confluence Region.	E01101-011
GREAT PLAINS	
Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment.	E00200-003
Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved.	E00299-035
GREENVILLE, SOUTH CAROLINA	
On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.	E00506-087
GRIEVANCE PROCEDURES	
Better Controls Needed for Health Maintenance Organizations under Medicaid in California.	E00587-005
Evaluation of HUD-Mandated Model Lease and Grievance Procedures.	E00604-028
GROSS NATIONAL PRODUCT	
Major Social Security Issues: Japan, 1972.	E00510-059
National Health Expenditures, 1929-73.	E00510-043
Social Welfare Expenditures, 1972-73.	E00510-045

GROUND BEEF**SUBJECT INDEX****GROUND BEEF**

Evaluation of the FY 1974 USDA Special Beef Purchase. E00200-004

GROUND DATA LINKS

Provisional Message Formats for the DABS/NAS Interface. E01102-026

GROUND LEVEL FLIGHT TESTS

Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique. E01102-003

Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique. E01102-008

GROUND STATIONS

Collision Avoidance Ground Station Analysis. E01102-025

GROUND TESTS

Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing. E01102-001

GROUNDINGS

Department of Transportation's Cargo Security Program. E01190-001
Vessel Traffic Systems Issue Study. E01101-008

GROUP COUNSELING

A Study of Placement in PA/VR (Public Assistance Vocational Rehabilitation). E00509-002

GROUP HEALTH INSURANCE

Employee-Benefit Plans, 1973. E00510-010
Group Health Insurance Coverage of Full-Time Employees, 1972. E00510-030

GROUP METHODOLOGY

Evaluating the Impact of CETA (Comprehensive Employment and Training Act) Program on Participants' Earnings: Methodological Issues and Problems. E00900-037

GROUP PRACTICE

Evaluation of the Accomplishments of Selected HMOS Funded Projects. E00507-054

GROUP PRACTICE PLANS

Some Aspects of Medicare Experience with Group-Practice Prepayment Plans. E00510-013

GROUP RECRUITMENT

A Study of the Minority Officer Procurement Program of the U.S. Coast Guard. E01101-014

GROWERS

Analysis of Individual Underwriting Progress and Problems. E00211-002

Cotton Evaluation of Coverage Reductions and Rate Increases. E00211-004

Crop Insurance and Information Services to Control Use of Pesticides. E02305-100

The Sugar Program: Large Costs and Small Benefits. E00209-007

GROWTH POLICIES

National Growth Policy and New Communities. E00601-154

The Federal New Community Program in the Context of an Emerging National Growth Policy. E00601-146

GS POSITIONS

Cost and Use of Personnel in the Agency for International Development. E01097-021

Report of the Study of Classification Accuracy in GS Grade Levels 12-15. E05911-001

GUATEMALA

Intercountry Evaluation of Agriculture Sector Programs: Colombia, Costa Rica, Guatemala. E01017-003

Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama. E01017-004

Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. ID-75-9. E01097-024

SUBJECT INDEX**HANDICAPPED CHILDREN****GUIDEWIRES**

Reuse of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals.

E06595-015**GULF COAST PORTS**

Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis.

E01097-017**GULF OF ALASKA**

Report of the Work Group on OCS Safety and Pollution Control, May 1973.

E00712-006**GULF OF MEXICO**

Radio Aids to Navigation for the U.S. Coastal Confluence Region.

E01101-011**HABITATS**

An Evaluation of the ASCS Pilot Public Access Program.
Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.
Review of Wetlands Acquisition Program.

E00209-008**E00709-006****E00709-001****HABITS**

Factors Affecting Food Habits.
Management of Federal Supply Service Procurement Programs Can Be Improved.

E00207-017**E03790-005****HALF LIFE**

Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique.

E01102-008**HALFWAY HOUSES**

Federal Guidance Needed if Halfway Houses are to be a Viable Alternative to Prison.

E00891-014**HALLUCINOGENS**

Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough.

E00890-004**HAMILTON, NEW YORK**

Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973.

E00504-008**HAMSTERS**

Problems in Regulating Selected Vaccines.

E00585-003**HANDICAPPED**

Effectiveness of Vocational Rehabilitation in Helping the Handicapped.
Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials.
The Program Services and Support System of the Rehabilitation Services Administration.

E00591-004**E01190-002****E00509-003****HANDICAPPED (EMPLOYMENT)**

Employment of Handicapped Individuals and Disabled Veterans in the Federal Government.
Employment of Handicapped Individuals in the Federal Service.
Sheltered Workshops Under the Fair Labor Standards Act.

E05908-008**E05908-001****E00904-012****HANDICAPPED (MENTALLY)**

Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.

E00503-019**HANDICAPPED (PHYSICALLY)**

Employment Opportunities in the Federal Government for the Physically Handicapped.

E05990-007**HANDICAPPED CHILDREN**

Improving Services to Handicapped Children.

E00500-069

HANDICAPPED CHILDREN**SUBJECT INDEX**

Project Head Start: achievements and problems.

E00591-002

HANDICAPPED PERSONS

Evaluation of LSCA Services to Special Target Groups.

E00501-009

Improving Services to Handicapped Children.

E00500-069

Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials.

E01190-002

HAPPINESS

Family Structure in Pre-Retirement Years.

E00510-049

HARBORS

Supertanker Environmental Study.

E01101-007

HARD-TO-EMPLOY INDIVIDUALS

Sheltered Workshops Under the Fair Labor Standards Act.

E00904-012

HARDWARE EVALUATION

Prepare Natality Data and Evaluate OCR Hardware and Techniques; final report.

E00506-040

HARDWARE SYSTEMS

A Planning Study to Develop a Demonstration Design for Use of Telecommunications in Public Service Delivery.

E00500-071

HARDWOOD

Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.

E00216-003

HARLEM, NEW YORK

Concentrated Employment Program in New York City Has Not Met Its Employment Objectives.

E00992-001

Heroin Being Smuggled into New York City Successfully.

E00890-002

HARLEM, MONTANA

Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana.

E00507-050

HARMFUL PHYSICAL AGENTS

Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places.

E00599-006

HARMFUL SHELLFISH

Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters).

E00585-005

HARVEST AREAS REFORESTATION

More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand.

E00299-032

HATCHERIES (FISH)

Program Evaluation, Youth Conservation Corps.

E00709-003

HAZARDOUS MATERIALS

Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials.

E01190-002

HAZARDOUS PESTICIDES

Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.

E02390-004

HAZARDOUS SMOKING

Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking.

E00504-003

SUBJECT INDEX**HEALTH****HAZARDS**

Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes.

E06290-001**Geologic**

Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California.

E00712-009**Medical**

Public Hazards from Unsatisfactory Medical Diagnostic Products.

E00585-013**Wind Erosion**

Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment.

E00200-003**HEAD START**

Evaluation Study for the Institute for Developmental Studies Head Start Planned Variation Study.

E00512-013

Implementation of Head Start Planned Variation Testing and Data Collection Effort.

E00512-011

Perspectives on Parent Participation in Project Head Start: an analysis and critique.

E00512-006

Project Head Start: achievements and problems.

E00591-002

Short-Term Cognitive Effects of Head Start Programs.

E00512-007

Study of Administrative Costs in Federally-Aided Domestic Programs.

E03700-003

Toward a New Cognitive Effects Battery for Project Head Start.

E00512-003**HEAD START CHILDREN**

Design for a National Evaluation of Social Competence in Head Start Children.

E00512-002**HEAD TARIFFS**

Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets.

E00208-002**HEAD TAX**

The Airport Passenger Head Tax: analysis of its potential impact.

E01102-056**HEALTH**

Community Health Medic Evaluation.

E00507-025

Evaluation of the Distribution and Utilization of FHPS Manpower in the U.S. Coast Guard Medical Program.

E00507-039

Evaluation Manual for Comprehensive Health Service Projects.

E00507-024

Handbook on the Management of Evaluation Contracts.

E00500-073

Management Information System, Phase I.

E00507-029

Need Assessment and Evaluation of Region VI Health and Education Projects.

E00500-207

Private Accreditation and Public Eligibility.

E00501-008

Reuse of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals.

E06595-015**British**

Drug Programs Under British National Health Services.

E00510-146**Consumer Education**

Can University Extension Run Consumer Health Education Programs? An Evaluative Study of Four Demonstrations and Four Controls.

E00506-050**Consumers**

Report on Survey of the Fishery Products Inspection Service; National Oceanic and Atmospheric Administration.

E00300-008**Hospital Expenditures**

A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.

E00503-020**Indian**

National Indian Health Board: Consumer Evaluation of Indian Health Services.

E00507-030**Mental**

Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.

E00506-043

The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health.

E00500-068

HEALTH**SUBJECT INDEX**

Migrant		
Migrant Health Program--Final Report.		E00507-028
Nutrition		
Assistance to Family Planning Programs in Southeast Asia.		E01097-007
Toward a New Cognitive Effects Battery for Project Head Start.		E00512-003
Occupants		
Weaknesses in Administration of the Program to Correct Defects in Housing Insured under the Section 235 Program.		E00693-025
Safety		
Improvements Needed in the Assessment and Collection of Penalties--Federal Coal Mine Health and Safety Act of 1969.		E00791-001
More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.		E00995-004
Opportunities for Improving AEC's Administration of Agreements with States Regulating Users of Radioactive Materials.		E06290-002
Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.		E00693-004
Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes.		E06290-001
Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places.		E00599-006
Sanitary		
Increased Compliance Needed with Nursing Home Health and Sanitary Standards.		E00589-011
Toxic Substances		
Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places.		E00599-006
HEALTH ACTIVITIES		
Implementation of a Policy of Self-Support by Neighborhood Health Centers.		E00587-001
Federal		
Federal Emergency Health Activities.		E03700-009
Review of Occupational Safety and Health Activities of Federal Civilian Agencies.		E00995-001
Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department (2).		E00995-002
Review of Occupational Safety and Health Activities of Federal Civilian Agencies--GSA (2).		E00995-003
Financial Data		
A Study to Develop a Conceptual Model of a National Health Service Corps Management and Control System.		E00507-015
HEALTH AGENCIES		
A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.		E00503-037
Effectiveness Criteria in Venereal Disease Control Programs.		E00504-020
Evaluation of Graduate Training Programs in Health Planning.		E00506-047
The Utilization and Impact of the I.C.H.D. (Inter-Society Commission on Heart Disease) Reports; an early evaluation.		E00506-054
HEALTH AIDES		
Personnel Distribution in a City Health Department.		E00507-048
HEALTH BENEFITS		
Federal		
Health Benefits Program Audit Report: Aetna of Williamsport, Pennsylvania.		E05909-001
HEALTH BILLS		
Age Differences in Health Care Spending, Fiscal Year 1974.		E00510-009
National Health Expenditures, 1929-1974.		E00510-015
HEALTH CARE		
Age Differences in Health Care Spending, Fiscal Year 1974.		E00510-009
Evaluation of Consumer Health Training and Education Programs.		E00506-042

SUBJECT INDEX**HEALTH CENTERS**

Evaluation of Services Provided by Federal Health Program Service Facilities.	E00507-034
Health Statistics Today and Tomorrow.	E00506-038
HMO Evaluation Schema--Phase I.	E00507-038
Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services.	E00507-023
National Health Expenditures, 1929-1974.	E00510-015
National Health Systems in Eight Countries.	E00510-034
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.	E00506-043
Report on Audit of the Family Health Center Program, Cascade Health Care, Inc., Portland, Oregon.	E00500-116
Some Aspects of Medicare Experience with Group-Practice Prepayment Plans.	E00510-013
Study of Health Facilities Construction Cost.	E00586-002
Value for Money in Health Services.	E00510-026
Adolescents	
The Provision of Health Care Services to Adolescents in the 1970's.	E00507-083
Alaskan Bush	
Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite Experiment Evaluation.	E00508-043
Ambulatory	
Ambulatory Health Care Information.	E00507-016
Delivery System	
Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States.	E00586-004
Outpatient Health Care in Inner Cities: Its Users, Services, and Problems.	E00589-010
Economically Disadvantaged	
Health Start Final Report of the Evaluation of the Second Year Program.	E00512-008
Expenditures	
Age Differences in Health Care Spending, FY 1974.	E00510-157
Federal Expenditures	
Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insurance Impact on HSA Activities.	E00507-076
Indians	
Menominee Tribal Health Care Program Planning.	E00507-068
Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
Progress and Problems in Providing Health Services to Indians.	E00587-003
Information Systems	
Ambulatory Health Care Information.	E00507-016
Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module.	E00506-075
Menominee Tribal	
Menominee Tribal Health Care Program Planning.	E00507-068
Outpatients	
Outpatient Health Care in Inner Cities: Its Users, Services, and Problems.	E00589-010
Tribal	
Menominee Tribal Health Care Program Planning.	E00507-068

HEALTH CENTERS

Community	
Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP).	E00507-060
Assessment of Child Mental Health Needs and Programs.	E00503-062
Better Use Should be Made of Physicians and Dentists in Health Centers.	E00587-004
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Community Mental	
Design of an Evaluation System for the National Institute of Mental Health.	E00503-024
Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Implementation of a Policy of Self-Support by Neighborhood Health Centers.	E00587-001

HEALTH CENTERS**SUBJECT INDEX**

Need for More Effective Management of Community Mental Health Centers Program. Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00599-004 E00503-019
The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers.	E00503-030
Trends in Sources of Funds for Community Mental Health Centers.	E00503-064
Two Studies on Catchmenting and Catchmented-Oriented Services.	E00503-042
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.	E00503-038
Comprehensive Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Family Report on Audit of the Family Health Center Program, Cascade Health Care, Inc., Portland, Oregon.	E00500-116
Mental A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.	E00503-037
A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health.	E00503-023 E00503-018
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area. Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City.	E00503-026
Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00503-019
Neighborhood Toward the Development of a Casebook on the Decision-Making Process in Neigh- borhood Health Centers. Yeatman Baseline Survey.	E00507-081 E00507-011
Outpatient Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002
HEALTH CONDITIONS Health in the Years Before Retirement.	E00510-061
HEALTH DELIVERY Evaluation of Emergency Medical Service Systems Impact.	E00507-073
HEALTH EDUCATION Can University Extension Run Consumer Health Education Programs? An Evaluative Study of Four Demonstrations and Four Controls. Evaluation of Consumer Health Training and Education Programs. National Area Health Education Center Programs External Assessment Design.	E00506-050 E00506-042 E00506-069
HEALTH EXAMINATION Yeatman Health Examination Survey: final report on the development and demon- stration of baseline clinical information on a target population of a neighborhood comprehensive health services center.	E00507-012
HEALTH EXPENDITURES A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States. National Health Expenditures, 1929-73.	E00503-020 E00510-043
HEALTH EXPENSE BENEFITS Employee-Benefit Plans, 1973.	E00510-010
HEALTH FACILITIES An Evaluation of State Hill-Burton Reporting Systems for Free Care and Community Services to the Poor, Provided for by Federally Assisted Health Facilities. Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States.	E00506-105 E00586-004

SUBJECT INDEX**HEALTH MAINTENANCE ORGANIZATIONS**

Hill Burton Consultation Activities.	E00506-059
Construction	
Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program.	E00586-005
Construction Cost	
Study of Health Facilities Construction Cost.	E00586-002
HEALTH INDUSTRY	
Employee-Benefit Plans, 1973.	E00510-010
National Health Expenditures, 1929-1974.	E00510-015
HEALTH INFORMATION	
Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration.	E00500-104
HEALTH INSPECTION	
Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969.	E00599-005
Animals And Plants	
Consumer Protection Would Be Increased by Improving the Administration of Intrastate Meat Plant Inspection Programs.	E00299-011
Salmonella in Raw Meat and Poultry: an assessment of the problem.	E00585-008
HEALTH INSURANCE	
Age Differences in Health Care Spending, Fiscal Year 1974.	E00510-009
An Analysis of Medicare Administrative Costs.	E00510-023
Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California.	E00500-115
Comparison of Cost and Benefit Incidence on Government Medical Care Programs, FY 1966 and 1969.	E00510-038
Employee-Benefit Plans, 1973.	E00510-010
Forward Plan for Health: FY 1977-81.	E00500-008
Group Health Insurance Coverage of Full-Time Employees, 1972.	E00510-030
Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970.	E00510-019
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
National Health Expenditures, 1929-1974.	E00510-015
National Health Insurance Proposals as of July 1974.	E00510-033
Private Health Insurance in 1973: A Review of Coverage, Enrollment, and Financial Experience.	E00510-014
Social Security Programs in the United States, 1973.	E00510-031
The European Experience in Social Health Insurance.	E00510-054
HEALTH INTERVIEWS	
Evaluation of Current Practices and Methods in Utilization of Data for Health Program Planning Management and Evaluation-An Evaluation of the Uses of Data From State Health Interview Surveys.	E00506-100
HEALTH LEGISLATION	
Forward Plan for Health: FY 1977-81.	E00500-008
HEALTH MAINTENANCE	
Can University Extension Run Consumer Health Education Programs? An Evaluative Study of Four Demonstrations and Four Controls.	E00506-050
HEALTH MAINTENANCE ORGANIZATIONS	
Analysis of Barriers to Successful HMO Development.	E00507-063
Better Controls Needed for Health Maintenance Organizations under Medicaid in California.	E00587-005
Development of Alternatives for an Evaluation of the Costs and Effectiveness of Inclusion of Mental, Dental, and Pharmaceutical Benefits in Health Maintenance or Related Organizations.	E00507-059
Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organizations and Community Health Networks.	E00587-007
Evaluation of the Accomplishments of Selected HMOS Funded Projects.	E00507-054

HEALTH MAINTENANCE ORGANIZATIONS**SUBJECT INDEX**

Evaluation of Intermediate Care Beds in a Health Maintenance Organization. E00507-085
 Health Maintenance Organization Cost Study. E00507-043
 HMO Evaluation Schema--Phase I. E00507-038
 Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services. E00507-023
 Management and Manpower Study of Health Maintenance Organization (HMO) Activities in HSA. E00507-061
 Marketing Health Maintenance Organizations to Low Income Persons: a case study approach. E00507-032
 Potential Impact of the Health Maintenance Organization Act of 1973 on the Development of Rural HMO's. E00507-067

HEALTH MANPOWER

Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems. E00506-086
 Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met. E00586-006
 Credentialling of Health Care Professionals: its costs and some alternatives for HSA. E00507-066
 Evaluation of the Accomplishments of Selected HMOS Funded Projects. E00507-054
 Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems. E00503-041
 Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education. E00506-099
 FY 74 Evaluation Plan, Bureau of Health Manpower Education. E00506-060
 Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program. E00589-007
 Installing and Validating a Data Collection and Analysis System for the Uniform Health Manpower Evaluation. E00506-045
 Personnel Distribution in a City Health Department. E00507-048
 Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education. E00586-001
 Study of Health Facilities Construction Cost. E00586-002
 The Utilization and Impact of the I.C.H.D. (Inter-Society Commission on Heart Disease) Reports; an early evaluation. E00506-054

HEALTH NEEDS

Need Assessment and Evaluation of Region VI Health and Education Projects. E00500-207
 Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration. E00506-043

HEALTH NURSES

Personnel Distribution in a City Health Department. E00507-048

HEALTH ORGANIZATIONS

Development of Alternatives for an Evaluation of the Costs and Effectiveness of Inclusion of Mental, Dental, and Pharmaceutical Benefits in Health Maintenance or Related Organizations. E00507-059
 Evaluation of Status of Standards for Diagnostic Materials. E00504-018
 Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public. E00585-014

HEALTH PLANNING

An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning. E00506-055
 Better Controls Needed for Health Maintenance Organizations under Medicaid in California. E00587-005
 Case Studies of Statewide Comprehensive Health Planning; a final report. E00506-033
 Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States. E00586-004
 Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs. E00602-032
 Evaluation of Consumer Health Training and Education Programs. E00506-042
 Evaluation of Graduate Training Programs in Health Planning. E00506-047
 Evaluation/Decisionmaking in Health Planning and Administration. E00506-080
 On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies. E00506-087

INDEX

HEALTH C

PLANNING AGENCIES

Development of a Training Package and Delivery of Training for Site Assessment of Comprehensive Health Planning Agencies 314b and the Development of Assessment Components, Procedures, and Reporting Formats for 314 Agency Site Assessments.

E0051

Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.

E0050

Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.

E0050

HEALTH PREPAREDNESS

Report on Airport/Community Emergency Medical Preparedness and Federal Emergency Health Preparedness.

E0

HEALTH PROBLEMS

An Analysis With Improvement Postulation for the Neighborhood Environmental Evaluation and Decision System (NEEDS Validation Study).

E0050

Assessment of Child Mental Health Needs and Programs.

E0050

Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States.

E0051

Health Start Final Report of the Evaluation of the Second Year Program.

E00512

Use of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals.

E065

HEALTH PROFESSIONS

Study of the Problems Encountered in the Provision of Clinical Training for Allied Health Professions.

E005

Professional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met.

E005

Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education.

E005

HEALTH EDUCATION

An Evaluation of Allied Health Advanced Traineeship Grants.

E00506

Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education.

E00506

HEALTH SCHOOLS

Evaluation of EEO Policies and Practices in Health Professions Educational Institutions.

E005

HEALTH PROGRAMS

Cash Benefits for Short-Term Sickness, 1948-72.

E

Evaluation of Current Practices and Methods in Utilization of Data for Health Program Planning Management and Evaluation-An Evaluation of the Uses of Data From State Health Interview Surveys.

E

Forward Plan for Health: FY 1977-81.

E01

Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana.

E

Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.

E005

Program for More Effective Management of Community Mental Health Centers Program.

E005

Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements.

E1

Preventive Health Programs (Part II): Tuberculosis Control Planning Models.

E

Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration.

E01

Expectations and Commitments of HPSC-OPSAM (Health Program Systems Inter-Operations Systems Analysis Module): A documentary review.

E01

Studies on Catchmenting and Catchment-Oriented Services.

E005

HEALTH PROVIDERS

Training of Health Care Professionals: its costs and some alternatives for HSA.

E0050

HEALTH SERVICES

Information of the National Institute of Occupational Safety and Health Query and Answer Technical Information Service.

E

HEALTH RESEARCH**SUBJECT INDEX****HEALTH RESEARCH**

Better Management Needed of Health Research Equipment by NIH Grantees. E00599-001

HEALTH RESOURCES

Health Start Final Report of the Evaluation of the Second Year Program. E00512-008

Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel. E00506-070

Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements. E00507-018

HEALTH REVENUES

A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States. E00503-020

HEALTH RISKS

Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered. E00585-010

HEALTH SERVICES

A Comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209

A Study of HSMHA Site Assessment Procedures. E00506-082

A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions. E00503-037

Case Studies of Statewide Comprehensive Health Planning; a final report. E00506-033

Community Health Medic Evaluation. E00507-025

Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module. E00506-075

Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States. E00586-004

Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants. E00507-020

Evaluation Manual for Comprehensive Health Service Projects. E00507-013

Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services. E00507-023

Initial Manpower Management Program (MMP). E00507-056

Project III Description of Existing Maternal and Child Health Services and Resources in the United States. E00507-082

Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration. E00500-104

Study of Response Errors in the Health Interview Survey. E00506-037

The Expectations and Commitments of HPSC-OPSAM (Health Program Systems Center-Operations Systems Analysis Module): A documentary review. E00507-017

Value for Money in Health Services. E00510-026

Community

Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981. E00507-064

Costs

Study of Health Facilities Construction Cost. E00586-002

Indian

Evaluation of the Indian Health Service Unmet Needs Estimating Methodology. E00507-065

Final Report of the Evaluation of the Oklahoma City Area Indian Health Services Offices. E00507-088

Menominee Tribal Health Care Program Planning. E00507-068

National Indian Health Board; Consumer Evaluation of Indian Health Services. E00507-030

Potential Medicare and Medicaid Reviews for the Indian Health Services. E00507-070

Progress and Problems in Providing Health Services to Indians. E00587-003

Mental

Assessment of Child Mental Health Needs and Programs. E00503-062

Feasibility Study and Measuring Efficiency of Mental Health Services. E00503-060

Review of Research Administration by the Division of Mental Health Service Programs; Health Services and Mental Health Administration. E00500-104

Strategies for Change in Community Mental Health: New Careers. E00503-039

Two Studies on Catchmenting and Catchment-Oriented Services. E00503-042

CT INDEX

HEART DIS

borhood

Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.

E00507

ient

Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.

E00587-01

SERVICES ADMINISTRATION

Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP)

E005

Redevelopment of Health Care Professionals: its costs and some alternatives for HSA Evaluation Strategy and Plan for Fiscal Year 1975 (Health Services Administration)

E00507

Initial Manpower Management Program (MMP)

E0050

Review of Selected Aspects of the Administration of the Indian Health Service, Health Services Administration

E0050

Role of Health Services Administration, Role of Health Services Administrator

E005

Planning and Monitoring System User Manual (Health Services Administration)

E00

E005

E005

NDARDS

Administration of Small Business Loan Program Under the Occupational Safety and Health Act

E0559

Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970

E0099

Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners

E00504-01

Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs

E00995-00

LTH STATISTICS

Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972

E00506-

Guide to Sources and Uses of Current Data on the Aging

E00511

Health Statistics Today and Tomorrow

E00502

SURVEYS

Review of Selected Aspects of the Administration of the Indian Health Service, Health Services Administration

EC

LTH SYSTEMS

Automated Psychiatric Record Systems Today: an assessment

E005

LTH TRAINING

Evaluation of National Institute for Occupational Safety and Health Short Term Training Program

E005

Evaluation of Public Health Training Grants

E005

H UTILIZATION

Yeatman Baseline Survey

E00

LTH VIOLATIONS

Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969

E007915

LTH WORKERS

Comprehensive Health Care Information System, Mark II: Family Health Worker and Health Practitioner Module

Evaluation of Mid-Level Health Workers-Task Profile Analysis Medex, Primary Care Preceptors

Services to Handicapped Children

and Dow

HEART DISEASE

The Utilization and Impact of the I.C.H.D. (Inter-Society Commission on Heart Disease) Reports; an early evaluation.

E00506-054**HEAVY EQUIPMENT**

A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management.

E01017-005**HELICOPTERS**

Onshore Lease Management Program Study for the U.S. Geological Survey.

E00712-007**HELMETS**

Evaluation of the All-Purpose Communications/Protective Helmet.

E00811-026**HEMODIALYSIS**

Better Planning and Management Needed to Improve Utilization and Quality of Specialized Medical Services.

E06595-017**HEMP**

Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.

E00200-001**HEPATITIS**

Progress and Problems in Providing Health Services to Indians.

E00587-003**HERDSMEN**

A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management.

E01017-005**HEROIN**

Efforts to Prevent Heroin from Illicitly Reaching the U.S.
Heroin Being Smuggled into New York City Successfully.
Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough.
Problems in Slowing the Flow of Cocaine and Heroin from and through South America.

E00880-001**E00890-002****E00890-004****E00890-007****HEROIN HOTLINE**

The Heroin Hotline.

E00894-001**HEXAFLUORIDE TAGGANT (SULFUR)**

Sulfur Hexafluoride Taggant for Explosives Detection.

E00811-033**HIGH-CRIME AREAS**

The Federal Crime Insurance Program: how it can be made more effective.

E00696-003**HIGH-INCOME POTENTIAL (STUDENTS)**

Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met.

E00586-006**HIGHER EDUCATION**

A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects.

E00501-006**HIGHRISE BUILDINGS**

Housing for the Elderly--Factors Which Should be Evaluated Before Deciding on Low- or High-Rise Construction.

E00693-024**HIGHWAY ACCIDENTS**

For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards.

E01197-001**HIGHWAY PROGRAMS**

Review of Construction Inspection Procedures.
Study of Administrative Costs in Federally-Aided Domestic Programs.

E01103-003**E03700-003**

SUBJECT INDEX

HIGHWAY SAFETY

Assignment of Selected State and Community Programs. E01110
 Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs. E01110
 Safer Motor Vehicles—More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. E01110
 The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles. E01110

HILL BURTON

Evaluation of State Hill-Burton Reporting Systems for Free Care and Community Services to the Poor, Provided for by Federally Assisted Health Facilities. E00501
 Evaluation of Hill-Burton Bed-Need Formula: Short-Term General Hospital Beds. E00506:U
 Hill-Burton Construction Activities. E00506:U
 Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in Hill-Burton Program for the Construction and Modernization of Health Facilities. E00587
 Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program. E00587

Survey of Grade and Weight Selling of Livestock. E00201

HOME LOANS

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans. E00693
 Opportunities to Reduce Costs in Acquiring Properties Resulting from Defaults on Home Loans. E00693

HOME MORTGAGES

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans. E00693

HOME NURSING

Yeatman Baseline Survey. E00693

HOMEOWNERS

Issues on the Threshold of Retirement. E00693
 Problems in the Homeownership Opportunities Program for Low Income Families (HOPLIF). E00693

HOMEOWNERSHIP

Issues on the Threshold of Retirement. E00510:U
 Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs. E00693
 Problems in the Homeownership Opportunities Program for Low Income Families (HOPLIF). E00693
 Weaknesses in Administration of the Home-Ownership Program for Low Income Families. E00693
 Weaknesses in Administration of the Program to Correct Defects in Housing Insured under the Section 235 Program. E00693

HOUSING

Weaknesses in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. E01119
 Disposal of Acquired Properties in Detroit, Michigan: progress and problems. E00693
 Proposed for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public. E00587
 Salmonella in Raw Meat and Poultry: an assessment of the problem. E00587
 Single-Family Property Disposition. E00693

FEDERALLY INSURED

Opportunities for Energy Conservation in Federally Insured Homes by Revising Current Thermal Standards and Establishing Additional Standards Where Necessary. E00693

NURSING

An Analysis of Actual and Potential Uses of Nursing Homes. E00693
 Mortgage Insurance for Nursing Homes—Section 232. E00693
 Nursing Home Evaluation Contract Report on an Evaluation of Eleven Fairing Programs for Nursing Home Personnel. E00506-07

HONOLULU, HAWAII**SUBJECT INDEX****HONOLULU, HAWAII**

Grants to Improve Bus Transit Systems--Progress and Problems.

E01195-001**HORSES (WILD)**

Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada.

E00714-001**HOSPITAL ADMINISTRATORS**

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine.

E00508-053**HOSPITAL ADMISSIONS**

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans.

E06595-006**HOSPITAL BED REQUIREMENTS**

Methods for Estimating Hospital and Nursing Home Bed Requirements in the San Francisco Area.

E06595-004**HOSPITAL CARE**

Need to More Consistently Reimburse Health Facilities Under Medicare and Medicaid.

E00590-006**HOSPITAL COSTS**

An Evaluation of the Effects of Hospital Cost-Finding Procedures on the Determination of Ambulatory Service Costs.
Hospital Costs and the Medicare Program.

**E00506-102
E00510-052****HOSPITAL DISCHARGE DATA**

Evaluation of the Uniform Hospital Discharge Abstract Site Test.

E00506-053**HOSPITAL EMERGENCY ROOMS**

Evaluation of Poison Control System: Vol. I, Project Results, Averages. Models for performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat.

E00505-010**HOSPITAL EXPENDITURES**

A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.

E00503-020**HOSPITAL NURSING**

Yeatman Baseline Survey.

E00507-011**HOSPITAL REIMBURSEMENT (MEDICARE)**

Problems Associated With Reimbursements to Hospitals for Services Furnished Under Medicare.

E00590-002**HOSPITAL STAYS**

Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969.

E00510-048**HOSPITALIZATION**

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans.
Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite Experiment Evaluation.
Need for More Effective Management of Community Mental Health Centers Program.
Yeatman Baseline Survey.

E06595-006**E00508-043
E00599-004
E00507-011****HOSPITALS**

Evaluation of Hill-Burton Bed Need Formula: Short-Term General Hospital Beds.
Financial Position of Private Community Hospitals, 1961-71.
Hospital Discharge Evaluation Project.
Hospital Organization: Effectiveness of Patient Care.
Hospital Reimbursement and Regulation Under Medicare.
Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services.

**E00506-035
E00510-047
E00506-036
E00510-143
E00510-136
E00507-023**

LECT INDEX

HOUSEHOLD WORKERS

Management Information System, Phase I. E00507
 Medicare Impact on Hospital Utilization and Financing. E005
 Medicare Patients: Regional Differences in Lengths of Hospital Stays, 1969-71. E005
 Inpatient Health Care in Inner Cities: Its Users, Services, and Problems. E0058
 Problems Associated With Reimbursements to Hospitals for Services Furnished Under Medicare. E0059
 Selected State Data Medicare Fiscal Years 1968-72. E0051
 Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City. E005
 Some Aspects of Medicare Experience with Group-Practice Prepayment Plans. E0051
 The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers. E005

Regulatory Care

Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program. E005

Laboratory Services

Improvements Needed in Certain Hospitals' Laboratory Service Activities. E0659

Residing Facilities

Need to More Consistently Reimburse Health Facilities Under Medicare and Medicaid. E00590

Veterans Administration

Adequacy of Medical Staffing in Selected VA Hospitals. E06595

Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals. E06595

Controls on Use of Psychotherapeutic Drugs and Improved Psychiatrist Staffing Are Needed in Veterans Administration Hospitals. E06595

Department of Medicine and Surgery Survey Report: VA Hospital, Palo Alto, California. E06504

Information on Professional Fees and Other Compensation Paid for Services of Selected Physicians Employed at the Veterans Administration Hospital in Miami, Florida. E065

Potential for Using Alternative Dietary Systems in Veterans Administration Hospitals. E06595

Reuse of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals. E0659

TEDDY ROCK

Problem in Identifying, Developing, and Using Geothermal Resources. E005

LABOR STANDARDS

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act. E005

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E005

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904

HOUSEHOLD COMPOSITION

A Comparative Consumer Survey on Human Services for American Indians in North Dakota. E005

HOUSEHOLD SURVEYS

Rat Control Evaluation Project, Philadelphia Household Interview and Environmental Survey. E005

12 Area Study (PEP)(Public Employment Program). E00902

HOUSEHOLD WORKERS

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act (d). E005

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act (d). E00904

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act (d). E00904

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act (d). E00904

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act (d). E00904

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act (d). E00904

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act (d). E00904

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act (d). E00904

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act (d). E00904

HOUSEHOLDS**SUBJECT INDEX****HOUSEHOLDS**

Family Structure in Pre-Retirement Years. E00510-049
 Food Distribution and Food Stamp Program Effects on Nutritional Achievement. E00207-002

Female Headed

National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits. E00207-003

HOUSES

Disposal of Acquired Properties in Detroit, Michigan: progress and problems. E00693-015
 Observation on Program to Repair Existing Houses Insured under the Section 235 Program--District of Columbia Area Office. E00693-010

HOUSING

A comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209
 An Inquiry into the Relative Emphasis of Program Policies on Housing Needs in the Suburbs and in Older Declining Areas. E00600-018
 Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. E01193-001
 Evaluation of USDA Research Program on Housing. E00213-001
 Housing in the Seventies; a report of the National Housing Policy Review. E00600-013
 Interim Report on the Agency for International Development's Housing Investment Guaranty Program. E01097-008
 Management Performance in Public Housing. E00604-026
 Observation on Housing Allowances and the Experimental Housing Allowance Program. E00693-019
 Opportunities for Improvement in Coordination of Housing Planning Efforts between State and Interstate Regional Planning Agencies and in the Department of Housing and Urban Development Guidelines on Updating Housing Studies. E00692-017
 Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs. E00693-004
 Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs. E00693-005
 Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs. E00693-003
 Slow Progress of Federal and State Housing Programs in Meeting Housing Needs in Rural Alaska. E00694-007

Elderly

Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois. E00693-008
 Housing for the Elderly--Factors Which Should be Evaluated Before Deciding on Low or High-Rise Construction. E00693-024
 HUD's Comparison of Costs under Sections 202 and 236 for Housing Projects for the Elderly. E00693-001

Finances

Housing in the Seventies; a report of the National Housing Policy Review. E00600-013

Institutions

Interim Report on the Agency for International Development's Housing Investment Guaranty Program. E01097-008

Leased

Leased Housing Program. E00600-077

Loans

Assessment of Programs Assigned to Assistant Secretary for Housing Production and Mortgage Credit. E00603-031
 College Housing Loan. E00600-132
 Compliants Concerning the Rural Housing Loan Program in Five Arkansas Counties. E00299-014
 Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs. E00693-003
 Practices in Obtaining Credit Reports for Housing and Agricultural Loans. E00299-020
 Survey of Housing Rehabilitation Loan and Grant Program. E00602-050

Low Income

Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. 07ID-75-9. E01097-024

PROJECT INDEX

HUB AIRPORT

Summary Recommendations on Low and Moderate Income Housing	E00601
Rent	
Benefits Could Be Realized by Revising Policies and Practices for Acquiring Existing Structures for Low Rent Public Housing	E00694
Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois	E00693
Operating Subsidies - Low Rent Housing Programs	E00600-T
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs	E00693-0
Review of Modernization Program for Low Rent Public Housing	E00694-C
Family	
Multi-Family Default Study	E
Analyses of the Causes of the Growth in Public Housing Operating Subsidies	E0061
Rental Assistance	
Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs	E00693
Identical	
Supertanker Environmental Study	E0110
Subsidized	
Housing in the Seventies, a report of the National Housing Policy Review	E00601
Housing Assistance Research	E00600
Observation on Housing Allowances and the Experimental Housing Allowance Program	E00693
Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs	E00693
Substandard	
Benefits Could Be Realized by Revising Policies and Practices for Acquiring Existing Structures for Low Rent Public Housing	E00694-0
Vacancy Rates	
Observation on Housing Allowances and the Experimental Housing Allowance Program	E00693-C
HOUSING INVESTMENTS	
Interim Report on the Agency for International Development's Housing Investment Guaranty Program	E0109
Low Income Groups Not Helped by AID's Housing Investment Guaranty Program, ID-75-9	E0109
HOUSING MAINTENANCE	
Low Rent Housing Maintenance and Engineering	E00601
HOUSING MANAGEMENT	
College Housing Management	E00601
Evaluation of Housing Management Goals Management System	E00601
HOUSING MODERNIZATION	
Low Rent Housing Modernization Project	E00600
HOUSING NEEDS	
Slow Progress of Federal and State Housing Programs in Meeting Housing Needs in Rural Alaska	E0069
HOUSING RESEARCH	
Evaluation of USDA Research Program on Housing	E0021
Opportunities for Improvement in Coordination of Housing Planning Efforts between State and Interstate Regional Planning Agencies and in the Department of Housing and Urban Development Guidelines on Updating Housing Studies	E0069
HUB AIRPORTS	
Aircraft Pavement Compatibility Study	E0

HUD FINANCIAL MONITORING**SUBJECT INDEX****HUD FINANCIAL MONITORING**

Getting the New Communities Program Started; progress and problems.

E00692-023

HUD HELD MULTIFAMILY MORTGAGES

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.

E00693-016

Summary Information on HUD-Held Multifamily Mortgages.

E00693-020

HUD INSURED

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.

E00693-002

HUD LOANS

Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.

E00693-004

HUD MANDATED MODEL LEASE

Evaluation of HUD-Mandated Model Lease and Grievance Procedures.

E00604-028

HUD POLICIES ON PUBLIC HEARINGS

The Low Rent Housing Project on Quebec Road in Cincinnati.

E00692-999

HUMAN RELATIONS

Human Relations in the Coast Guard.

E01101-016

HUMAN RESOURCES

Human Resources Demonstration Project.

Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.

E00500-215

Summary Report 1973: Doctorate Recipients from U.S. Universities.

E00587-002

E00508-042

HUMAN SERVICES

A comparative Consumer Survey on Human Services for American Indians in North Dakota.

E00500-209

A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.

E00503-037

HUMAN TESTS

Supervision Over Investigational Use of Selected Drugs.

E00585-006

HUMIDITY SENSORS

Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique.

E01102-003

HUNGER

Food Stamps and Nutrition.

E00207-001

HUNTERS

An Evaluation of the ASCS Pilot Public Access Program.

E00209-008

HUNTING

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.

E00216-001

HYDROELECTRIC POWER

The Federal Reclamation Program; its impacts, issues and future considerations.

E00716-001

HYDROTHERMAL POWER

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement.

E00780-003

IDAHO

Quality of Day Care in Region X Before and After School Care. Phase I Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare.

E00500-211

E00590-004

SUBJECT INDEX

IMPORTS

LEGAL, ALIENS

More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States.

E00889-00

LEGITIMACY

Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency?
Welfare and Illegitimacy: an economic model and some preliminary results.

E00500
E00500

ILLEGAL DRUGS

Difficulties in Immobilizing Major Narcotics Traffickers.
Efforts to Prevent Heroin from Illicitly Reaching the U.S.
Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough.

E00890
E00880
E00880

ILLINOIS

In Assessment of the Intermediate Care Facility Program in Region V.
Audit of Intergovernmental Personnel Act Grant to Cook County, Illinois.
Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois.
Federally Supported Attempts to Solve State and Local Court Problems: More Needs to be Done.
Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse.
Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C.
Public Employment Programs in East St. Louis, Illinois.
Review of the Model Cities Program in East St. Louis, Illinois.
Operations of Occupational Safety and Health Administration's Training Center in Rosemont, Illinois.

E00500
E05914
E00693
E00891
E00585
E00599
E00992
E00692
E00995

Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969.

E00510

MIGRANTS

Evaluation of VISA Medical Activities.
Need to Reduce Public Expenditures for Newly Arrived Immigrants and Correct Inequity in Current Immigration Law.

E00500
E00885

MIGRATION

Board of Immigration Appeals: a discussion and recommendations for change.
Certain Activities of the N.Y. District Office of the Immigration and Naturalization Service, Department of Justice.
Evaluation of VISA Medical Activities.
Immigration and Naturalization Service: Critical Needs.
More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States.
Need to Reduce Public Expenditures for Newly Arrived Immigrants and Correct Inequity in Current Immigration Law.

E00800
E00500
E00500
E00800
E00800
E00885

IMMUNIZATION

Health Start Final Report of the Evaluation of the Second Year Program.

E00510

IMPORTERS

Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses.

E01200

Government Assistance to Korea.
Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses.
Marketing Substandard Canned Pineapple from the United States.
Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters).
Drawbacks of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.
Case of P.L. 480: The Side Effects of Foreign Aid: West Colombia.

E01000
E01280
E00580
E00580
E01000
E00200

IMPORTS**SUBJECT INDEX**

The Sugar Program: Large Costs and Small Benefits.

E00209-007

IMPOUNDMENT

Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow.

E02390-009

IN-HOSPITAL PERSONNEL COSTS

Professional Standards and Review Organization (PSRO) Cost Study.

E00507-046

IN-KIND INCOME

National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.

E00207-003

IN-SCHOOL PROGRAM

Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems.

E00992-007

Review of Effectiveness and Management of the Neighborhood Youth Corps In-School Program for FY 1971 at Three Locations.

E00992-004

The Neighborhood Youth Corps: An Impact Evaluation.

E00900-018

INCARCERATION

Evaluation of the Effects of Alternatives to Incarceration on Juvenile Offenders.

E00811-012

INCENTIVES

Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970.

E00510-019

Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.

E00693-005

Pension Reform Legislation of 1974.

E00510-018

INCINERATORS

Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources.

E02390-005

INCOME

An Evaluation of the Economic Impact Project of the Public Employment Program. Conversions to Supplemental Security Income from State Assistance: A Program Records Study.

E00902-005

Early Experience Under the Supplemental Security Income Program.

E00510-008

Eligibility Requirements for Income Maintenance and Related Benefits.

E00510-027

Marketing Health Maintenance Organizations to Low Income Persons: a case study approach.

E00510-142

Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.

E00507-032

Report of Region V Assessment of the Supplementary Security Income Program. Selected Characteristics of the State Supplementation Programs Under the Supplementary Security Income Program (SSI).

E00693-003

E00500-200

Supplemental Security Income for the Aged: A Comparison of Five Countries.

E00510-035

The Supplemental Security Income Program for the Aged, Blind, and Disabled.

E00510-040

E00510-039

'Cyclically' Unemployed

An Evaluation of the Economic Impact Project of the Public Employment Program.

E00902-005

Level

Comparison of Cost and Benefit Incidence on Government Medical Care Programs, FY 1966 and 1969.

E00510-038

State Supplementation Under Federal SSI (Supplemental Security Income) Program.

E00510-020

Levels

A comparative Consumer Survey on Human Services for American Indians in North Dakota.

E00500-209

Patterns

Conversions to Supplemental Security Income from State Assistance: A Program Records Study.

E00510-008

Self Employment

Self-Employment in the Covered Work Force.

E00510-037

SUBJECT INDEX**INDIAN ALCOHOLISM**

Supplemental Security State Supplementation Under Federal SSI (Supplemental Security Income) Program.	E00510-020
INCOME DISTRIBUTION Economic Considerations for Manpower Revenue Sharing. Reducing Social Security Contributions for Low-Income Workers: Issues and Analysis.	E00900-019 E00510-239
INCOME MAINTENANCE A Retrospective Case Review of WIN II Completed Job Entries: grant reductions, services, and welfare savings. Cash Benefits for Short-Term Sickness, 1948-72. Employee-Benefit Plans, 1973. Index and User's Guide for Evaluation Documentation Center. Social Security Programs in the United States, 1973. Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature.	E00509-012 E00510-044 E00510-010 E00500-074 E00510-031 E00900-011
INCOME SECURITY Full Employment and Income Security: the role of wage subsidy programs as policy weapons.	E00509-018
INCOME TAX Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs. Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature.	E00693-005 E00900-011
INCOME TAX SHELTERS Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.	E00693-005
INCOME TRANSFERS Income Transfers as a Public Good: An Application to AFDC.	E00500-076
INDEXES Development of a Price Deflator for Biomedical Research (report on Phase I). Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. Indexing for Inflation: Some Formal Models and an Informal Policy Proposal. Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse. Rat Control Evaluation Project; Philadelphia Household Interview and Environmental Survey. Report on Audit of Experimental Technology Incentives Program, National Bureau of Standards.	E00508-044 E00504-003 E00900-030 E00503-053 E00504-012 E00300-017
INDEXING Health Statistics Today and Tomorrow. Indexing for Inflation: Some Formal Models and an Informal Policy Proposal. Quality and Consistency Evaluation of Medlars Indexing: final report.	E00506-038 E00900-030 E00508-037
INDIA Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis. Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India. United States Interests and Activities in Nepal.	E01097-001 E01097-002 E01097-005
INDIAN AFFAIRS Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands. Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests.	E00780-001 E00299-028
INDIAN ALCOHOLISM American Indian Alcoholism Evaluation--Monitoring--Design Project.	E00503-044

INDIAN COAL LANDS**SUBJECT INDEX****INDIAN COAL LANDS**

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands. E00780-001

INDIAN HEALTH SERVICES

Assessment of the Unmet Needs of the Indian Health Service. E00507-071
Evaluation of the Indian Health Service Unmet Needs Estimating Methodology. E00507-065

Final Report of the Evaluation of the Oklahoma City Area Indian Health Services Offices. E00507-088

Indian Health Service Upward Mobility. E00507-058

Initial Manpower Management Program (MMP). E00507-056

National Indian Health Board: Consumer Evaluation of Indian Health Services. E00507-030

Potential Medicare and Medicaid Reviews for the Indian Health Services. E00507-070

Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements. E00507-018

Progress and Problems in Providing Health Services to Indians. E00587-003

Review of Selected Aspects of the Administration of the Indian Health Service, Health Services Administration. E00500-106

The Expectations and Commitments of HPSC--OPSAM (Health Program Systems Center--Operations Systems Analysis Module): A documentary review. E00507-017

INDIAN HOUSING AUTHORITIES

Ineffective HUD Monitoring of Indian Housing Authorities' Activities. E00694-008

INDIAN INFANT CARE

A Study of Maternal and Infant Care of Indian and Native Alaskan Americans. E00507-087

INDIAN LAND

Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests. E00299-028

Land Measurement Activities on Three Indian Reservations in South Dakota Can Be Improved. E00793-001

INDIAN MINERAL RIGHTS

Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved. E00793-001

Royalty Accounting System Study of Solid Mineral Leasing Activities. E00712-014

INDIAN RESERVATIONS

Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota. E00299-022

Evaluation of MA Services and Supportive Services to American Indians on Reservation. E00902-033

Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana. E00507-050

Inquiry into the Construction of Housing Projects on the Blackfeet Indian Reservations. E00694-009

Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved. E00793-001

INDIAN RUPEES

United States Interests and Activities in Nepal. E01097-005

INDIAN TRIBES

Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971. E00992-009

Selection and Enrollment of Participants in Programs Under the Emergency Employment Act of 1971. E00992-003

INDIANA

An Assessment of the Intermediate Care Facility Program in Region V. E00500-219
Program Administration at the Atterbury Job Corps Center in Indiana. E00992-008

SUBJECT INDEX**INDUSTRIES****INDIANS**

A Comparative Consumer Survey on Human Services for American Indians in North Dakota.

E00500-209

Evaluation of the Public Employment Program for Reservation Indians.

E00902-006

Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971.

E00992-009

Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved.

E00793-001**INDIANS (MENOMINEE)**

Menominee Tribal Health Care Program Planning.

E00507-068**INDOCHINA**

U.S. Assistance to the Khmer Republic (Cambodia).

E01097-016**INDONESIA**

Assistance to Family Planning Programs in Southeast Asia.

E01097-007**INDUSTRIAL ALCOHOLISM MONITORING**

Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program.

E00503-047**INDUSTRIAL ANALYSIS**

Interim Report on the Review of the Domestic and International Business Administration.

E00300-023**INDUSTRIAL ECONOMICS**

Survey Leading to Transfer of Office of Industrial Economics from Internal Revenue Service to the Office of Tax Policy.

E01200-006**INDUSTRIAL EXHIBITS**

Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration.

E00300-015**INDUSTRIAL WASTE TREATMENT**

Needed to Improve Administration of the Water Pollution Research, Development, and Demonstration Program.

E02390-002**INDUSTRIES**

Age Differences in Health Care Spending, Fiscal Year 1974.

E00510-009

Demographic Characteristics of Workers in the Work Clothing Industry.

E00906-003

Employee-Benefit Plans, 1973.

E00510-010

Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry.

E00503-046

Getting the New Communities Program Started; progress and problems.

E00692-023

Group Health Insurance Coverage of Full-Time Employees, 1972.

E00510-030

Improving the Pay Determination Process for Federal Blue-Collar Employees.

E05990-019

Indexing for Inflation: Some Formal Models and an Informal Policy Proposal.

E00900-030

Interim Report on the Review of the Domestic and International Business Administration.

E00300-023

Maritime Policy Study.

E00300-025

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).

E00904-003

Need for Better Identification and Analysis of Nontariff Barriers to Trade.

E00399-001

Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards.

E01197-002

Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks.

E00992-012

Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration.

E00300-010

Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.

E00300-007

Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration.

E00300-011

Self-Employment in the Covered Work Force.

E00510-037

Supertanker Environmental Study.

E01101-007

Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).

E00906-002

The Four Questions for Evaluating the Effects of the FLSA (Federal Labor Standards Act) Minimum Wage Provisions.

E00900-039

INDUSTRIES**SUBJECT INDEX**

Automobile For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards.	E01197-001
Coal Land Reclamation Requirements and Their Estimated Effects on the Coal Industry. The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.	E00900-035 E00900-023
Compliance Rates Preliminary Field Resource Allocation Model.	E00505-015
Construction Evaluation of the Pilot Accelerated Apprenticeship Program. Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).	E00902-002 E00906-002
Food Salvage Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public.	E00585-014
Foreign Maritime Policy Study.	E00300-025
Health Employee-Benefit Plans, 1973. National Health Expenditures, 1929-1974.	E00510-010 E00510-015
Health Care An Analysis of Actual and Potential Uses of Nursing Homes.	E00506-049
Injury Rates Economic Incentives and Occupational Safety.	E00900-036
Livestock Evaluation of the FY 1974 USDA Special Beef Purchase. Survey of Grade and Weight Selling of Livestock.	E00200-004 E00208-001
Maritime Licensing of Deck and Engineering Officers in the U.S. Merchant Marine. Maritime Policy Study.	E01101-002 E00300-025
Mineral Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.	E00780-005
New Supertanker Environmental Study.	E01101-007
Nonagricultural Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-002 E00904-003 E00904-010
Nonconstruction The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved.	E00994-002
Oil and Gas Information on Certain Oil and Gas Industry Oversight Responsibilities. Problem in the Federal Energy Administration's Compliance and Enforcement Effort.	E00780-004 E02990-002
Prison Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough.	E00888-001
Railroad Cash Benefits for Short-Term Sickness, 1948-72.	E00510-044
Service Self-Employment in the Covered Work Force.	E00510-037

SUBJECT INDEX**INMATES**

- Shellfish**
Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters). E00585-005
- Shipbuilding**
Government Support of the Shipbuilding Industrial Base. E00399-005
Maritime Policy Study. E00300-025
- Skill-Intensive**
An Impact Evaluation of the Public Employment Program. E00900-002
- Transportation**
Capital Assistance to Bus Transit Systems. E01105-001
Department of Transportation's Cargo Security Program. E01190-001
Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft. E01192-001
- Work Clothing**
Demographic Characteristics of Workers in the Work Clothing Industry. E00906-003
- INEBRIATES**
Pilot Program for Monitoring and Evaluating the Public Inebriate Program. E00503-045
The Impact of Decriminalization on the Intake Process for Public Inebriates. E00811-014
- INERT GAS GENERATORS**
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. E01102-004
- INFANT CARE**
A Study of Maternal and Infant Care of Indian and Native Alaskan Americans. E00507-087
Evaluation Studies on Maternal and Infant Care Projects. E00507-036
- INFANTS**
Observations on Evaluation of the Special Supplemental Food Program. E00299-023
- INFECTIOUS DISEASES**
Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. E00504-007
Progress and Problems in Providing Health Services to Indians. E00587-003
- INFLATION**
Analyses of the Causes of the Growth in Public Housing Operating Subsidies. E00600-002
Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972. E00506-039
Forward Plan for Health: FY 1977-81. E00500-008
Impact of the Recent Inflation on Labor Skill Differentials. E00900-033
Indexing for Inflation: Some Formal Models and an Informal Policy Proposal. E00900-030
Social Welfare Expenditures, Fiscal Year 1974. E00510-017
- INFORMERS**
Efforts to Prevent Heroin from Illicitly Reaching the U.S. E00880-001
- INJURIES**
Administration of Small Business Loan Program Under the Occupational Safety and Health Act. E05590-004
An Investigation of Consumers' Perceptions of Adverse Reactions to Cosmetic Products; final report. E00505-012
Compensating Wage Differentials and Hazardous Work. E00900-014
Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals. E06595-016
Economic Incentives and Occupational Safety. E00900-036
Evaluation of Motor Vehicle Safety Standards. E01107-004
For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. E01197-001
- INMATES**
Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough. E00888-001
Use of Statutory Authority for Providing Inmate Release Funds. E00888-003

INNER CITIES**SUBJECT INDEX****INNER CITIES**

Open Space and Recreation Opportunity in America's Inner Cities. E00602-053
Outpatient Health Care in Inner Cities: Its Users, Services, and Problems. E00589-010
The Federal Crime Insurance Program: how it can be made more effective. E00696-003

INPATIENT COSTS

Some Aspects of Medicare Experience with Group-Practice Prepayment Plans. E00510-013

INPATIENTS

Need for More Effective Management of Community Mental Health Centers Program. E00599-004
Project III Description of Existing Maternal and Child Health Services and Resources
in the United States. E00507-082

INSANITY

Evaluation of VISA Medical Activities. E00504-004

INSECTS

More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand. E00299-029
Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome
and Misbranded Foods to the Public. E00585-014

INSPECTIONS

Evaluation Study of the Antibiotic Certification Program; final report. E00505-013
Need for Improved Inspection and Enforcement in Regulating Transportation of
Hazardous Materials. E01190-002
Preliminary Field Resource Allocation Model. E00505-015

INSTITUTE FOR CHILD ADVOCACY

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of
Education: Institute for Child Advocacy, Los Angeles, Calif. E00503-043

INSTITUTE FOR DEVELOPMENTAL STUDIES

Evaluation Study for the Institute for Developmental Studies Head Start Planned
Variation Study. E00512-013

INSTITUTIONAL EVALUATION

Science Development: an evaluation study. E04600-002

INSTITUTIONAL TRAINEES

Progress Report on the Development of Continuous Performance Information on the
Impact of the Manpower Development and Training Act. E00900-021

INSTITUTIONAL TRAINING

Comparative Study of MDTA Institutional Training in Community Colleges, Public
Vocational Schools and Private Institutions. E00902-017
Study of Impact of Program Variables Upon Effectiveness of MDTA-OJT and Institu-
tional Training Programs on Earnings. E00902-037

INSTRUCTION

Youth Conservation Corps Pilot Program, 1972. E00700-001

INSTRUCTIONAL PROGRAMS

Evaluation Study of the Counselor Training Program. E00902-032

INSTRUCTIONAL TECHNOLOGY

Evaluation of the Application of Instructional Technology in Selected Basic Nursing
Education Programs. E00506-064

INSTRUCTOR COSTS

Assessment of Selected State and Community Programs. E01107-001

INSTRUCTOR TECHNIQUES

Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard
Training Center. E01101-015

SUBJECT INDEX**INSURANCE****INSTRUMENT APPROACHES**

Development of Microwave Landing System Implementation Criteria. E01102-047

INSTRUMENTATION

Evaluation of Instrumentation for the Detection of Polar Organic Aids. E00811-027
Measurement and Analyses of ASR-4 System Error. E01102-018

INSULATING MATERIALS

Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic. E01102-010

INSURANCE

Actions Needed to Provide Greater Insurance Protection to Flood-Prone Communities. E00696-002
An Evaluation of Insurance Experience. E00211-001
Analysis of Individual Underwriting Progress and Problems. E00211-002
Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981. E00507-064
Cash Benefits for Short-Term Sickness, 1948-72. E00510-044
Cotton Evaluation of Coverage Reductions and Rate Increases. E00211-004
Covered Employment and the Age Men Claim Retirement Benefits. E00510-041
Evaluation of Legal Barriers to Emergency Medical Systems Implementation. E00507-053
Evaluation of Surgical Consultation Insurance Benefit. E00510-135
Group Health Insurance Coverage of Full-Time Employees, 1972. E00510-030
Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969. E00510-048
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016
Indexing for Inflation: Some Formal Models and an Informal Policy Proposal. E00900-030
Major Social Security Issues: Japan, 1972. E00510-059
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs. E00693-003
Pension Reform Legislation of 1974. E00510-018
The Predicted Impact of the Black Lung Benefits Program on the Coal Industry. E00900-023
Transfer of Insurance Functions. E06504-022
Yeatman Baseline Survey. E00507-011

Aged

Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California. E00500-115

Aviation

General Aviation Aircraft Safety: The Princeton University Conference. E01102-007

Crime

The Federal Crime Insurance Program: how it can be made more effective. E00696-003

Crop

Analysis of Individual Underwriting Progress and Problems. E00211-002
Cotton Evaluation of Coverage Reductions and Rate Increases. E00211-004
Crop Insurance and Information Services to Control Use of Pesticides. E02305-100

Disability

Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050

Flood

Actions Needed to Provide Greater Insurance Protection to Flood-Prone Communities. E00696-002
National Flood Insurance. E00600-140

Group Health

Employee-Benefit Plans, 1973. E00510-010
Group Health Insurance Coverage of Full-Time Employees, 1972. E00510-030

Health

An Analysis of Medicare Administrative Costs. E00510-023
Analysis of Medicaid Buy-In Experience. E00509-015
Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP). E00507-060
Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California. E00500-115
Capacity Building Options for the BCHS Family Planning Program, FY 1977-81. E00507-064
Forward Plan for Health: FY 1977-81. E00500-008
Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970. E00510-019

INSURANCE**SUBJECT INDEX**

History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969.	E00510-048
Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients.	E00589-005
Major Social Security Issues: Japan, 1972.	E00510-059
Medical Care Costs and Prices: background book.	E00510-001
National Health Expenditures, 1929-73.	E00510-043
National Health Insurance Proposals as of July 1974.	E00510-033
Social Security Programs in the United States, 1973.	E00510-031
Study of Health Facilities Construction Cost.	E00586-002
Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insurance Impact on HSA Activities.	E00507-076
The European Experience in Social Health Insurance.	E00510-054
Medicare	
Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients.	E00589-005
Mortgage	
Mortgage Insurance for Nursing Homes--Section 232.	E00600-124
Multi-Family Mortgage Insurance Programs--Feasibility Processing to Final Endorsement.	E00600-126
Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.	E00693-004
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.	E00693-003
The Changing Role of FHA Mortgage Insurance in the Mortgage Market and the Secondary Market.	E00600-025
National Health	
An Analysis of Medicare Administrative Costs.	E00510-023
Comparison of Cost and Benefit Incidence on Government Medical Care Programs, FY 1966 and 1969.	E00510-038
National Health Insurance Proposals as of July 1974.	E00510-033
Nursing Homes	
Mortgage Insurance for Nursing Homes--Section 232.	E00600-124
Private Health	
Age Differences in Health Care Spending, Fiscal Year 1974.	E00510-009
National Health Expenditures, 1929-1974.	E00510-015
Private Health Insurance in 1973: A Review of Coverage, Enrollment, and Financial Experience.	E00510-014
Unemployment	
The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates.	E00900-016
INTELLIGENCE	
A Study of the U.S. Coast Guard Intelligence and Security Functions.	E01101-017
Contract to Evaluate the New England Organized Crime Intelligence System (NEO-CIS).	E00811-016
Difficulties in Immobilizing Major Narcotics Traffickers.	E00890-003
Efforts to Prevent Heroin from Illicitly Reaching the U.S.	E00880-001
Heroin Being Smuggled into New York City Successfully.	E00890-002
Program Evaluation of the Commodity Intelligence System, Tobacco Division. 08August 1974.	E00212-006
The Department of Justice's Civil Disturbance Analysis and Evaluation Function; Report and Recommendations on the Intelligence Analysis Unit.	E00800-014
INTER-AMERICAN DEVELOPMENT BANK	
Effectiveness of Independent and Comprehensive Audits of the Inter-American Development Bank.	E01280-003
INTERACTIVE TELEVISION	
Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast.	E00508-034

SUBJECT INDEX**INTERNATIONAL DEVELOPMENT****INTERAGENCY AGREEMENTS**

Development of Model Interagency Strategy for Early Periodic Screening, Diagnosis and Treatment (EPSDT) Implementation, Monitoring and Evaluation. E00500-203

INTERCEPTOR SEWERS

Evaluation of the Report on Interceptor Sewers and Suburban Sprawl. E02300-005

INTERDICTION

Efforts to Stop Narcotics and Dangerous Drugs Coming from and through Mexico and Central America. E00890-006

INTERGOVERNMENTAL COOPERATION

Local Government Participation in the A-95 Project Notification and Review System. Revenue Sharing: Its Use By and Impact on Local Governments. E00602-037
E01280-008

States Need, But Are Not Getting, Full Information on Federal Financial Assistance Received. E01280-002

INTERGOVERNMENTAL PERSONNEL

Audit of Intergovernmental Personnel Act Grant to the City of Chicago. E05914-006

Audit of Intergovernmental Personnel Act Grant to the City of Des Moines. E05914-001

Audit of Intergovernmental Personnel Act Grant to the Kansas League of Municipalities. E05914-007

Audit of Intergovernmental Personnel Act Grant to the State of Colorado. E05914-003

Audit of Intergovernmental Personnel Act Grant to the State of Oklahoma. E05914-004

Audit of Intergovernmental Personnel Act Grant to the University of Maine. E05914-002

Audit of Intergovernmental Personnel Act Grant to Cook County, Illinois. E05914-005

Audit of Intergovernmental Personnel Act Grants to the State of North Carolina. E05914-008

Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970. E05990-015

INTERLIBRARY LOANS

An Employee Evaluation of the HEW Departmental Library. E00500-077

INTERNATIONAL AIRPORTS

A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports. E00889-001

Heroin Being Smuggled into New York City Successfully. E00890-002

INTERNATIONAL BUSINESS

Interim Report on the Review of the Domestic and International Business Administration. E00300-023

Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration. E00300-010

Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration. E00300-011

Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration. E00300-015

INTERNATIONAL COMMERCE

Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration. E00300-010

Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration. E00300-011

Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration. E00300-015

INTERNATIONAL DEVELOPMENT

A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management. E01017-005

Cost and Use of Personnel in the Agency for International Development. E01097-021

Funding and Reporting of Agency for International Development Overhead Costs Should be More Realistic. E01097-025

Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama. E01017-004

Intercountry Evaluation of Education Credit Institutions in Latin America. E01017-002

INTERNATIONAL DEVELOPMENT**SUBJECT INDEX**

Interim Report on the Agency for International Development's Housing Investment Guaranty Program.	E01097-008
Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program.	E01097-024
Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.	E01097-010
Rescission of the Opium Poppy Growing Ban by Turkey.	E01097-022
INTERNATIONAL DEVELOPMENT ASSOCIATION	
More Effective United States Participation Needed in World Bank and International Development Association.	E01280-005
INTERNATIONAL INSTITUTE FOR COTTON	
Review of the International Institute for Cotton (IIC) Market Development Program.	E00212-009
INTERNATIONAL MARKETING	
Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration.	E00300-011
INTERNATIONAL NARCOTICS DATA BANK	
Heroin Being Smuggled into New York City Successfully.	E00890-002
INTERNATIONAL PLANNED PARENTHOOD FEDERATION	
U.S. Grant Support of International Planned Parenthood Federation Needs Better Oversight.	E01097-015
INTERNATIONAL TRADE	
International Trade Study.	E00300-024
INTERNATIONAL TRAVEL	
Foreign Visitor Travel to The United States can be Increased.	E00399-004
INTERNS	
A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects.	E00501-005
INTERNSHIP	
An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III.	E00508-032
INTERPOL	
INTERPOL: A Discussion of Its Activities and Membership.	E00800-002
INTERSTATE CARRIER WATER SYSTEMS	
Improved Federal and State Programs Needed to Insure the Purity and Safety of Drinking Water in the United States.	E02390-006
INTERSTATE COMMERCE	
Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs.	E00585-007
Excluding Substandard Canned Pineapple from the United States.	E00585-012
INTERSTATE LAND SALES	
Need for Improved Consumer Protection in Interstate Land Sales.	E00696-001
INTERSTATE MIGRATION	
Effects of Interstate Migration in the United States.	E00510-149
INTRASTATE MEAT PLANTS	
Consumer Protection Would Be Increased by Improving the Administration of Intrastate Meat Plant Inspection Programs.	E00299-011
INVESTIGATIONAL DRUGS	
Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health.	E00500-102

SUBJECT INDEX**JAPAN**

Supervision Over Investigational Use of Selected Drugs.

E00585-006**INVESTMENT**

An Evaluation of the Arizona Job College.

E00902-007

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.

E00693-002

Youth Conservation Corps Pilot Program, 1973.

E00700-002**INVESTORS**

Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.

E00693-005**INVOLUNTARY RETIREMENT**

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973.

E00904-006

Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.

E00904-010**IOWA**

Health Benefits Program Audit Report: Blue Cross-Blue Shield of Des Moines, Iowa.

E05909-002**IOWA CITY VA HOSPITAL**

Review of Allegations by Veterans About Patient Treatment in the Iowa City VA Hospital.

E06595-021**IRONWORKERS**

Evaluation of the National Ironworkers and Employers Training Program.

E00902-001**IRRIGATION**

Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects.

E00796-001

More Effective Procedures Are Needed for Establishing Payment Terms and Development Periods for Irrigation Projects.

E00796-002**ISCHEMIC HEART DISEASE**

The Disabled Widow.

E00510-016**ISOAMPLITUDE CONTOURS**

Technical Evaluation of Weather Clutter Feasibility Model.

E01102-030**ISOTOPES**

Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes.

E06290-001**ISRAEL**

Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. ID-75-9.

E01097-024**ITALIAN SOYBEAN OIL**

Evaluation of the Italian Identified Soybean Oil Promotion.

E00212-012**JACKSONVILLE, FLORIDA**

On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.

E00506-087**JAMES FORRESTAL CAMPUS**

General Aviation Aircraft Safety: The Princeton University Conference.

E01102-007**JAPAN**

Analysis of the Tokyo Trade Center Show.

E00212-005

Export of U.S.-Manufactured Aircraft--Financing and Competitiveness.

E00399-003

Major Social Security Issues: Japan, 1972.

E00510-059

JAPAN COTTON PROMOTION INSTITUTION**SUBJECT INDEX****JAPAN COTTON PROMOTION INSTITUTION**

Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan Cotton Promotion Institution.

E00212-004**JAPANESE CONSUMERS**

Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan Cotton Promotion Institution.

E00212-004**JAPANESE PENSIONS**

Major Social Security Issues: Japan, 1972.

E00510-059**JET AIRCRAFT**

Aircraft-Pavement Compatibility Study.
Export of U.S.-Manufactured Aircraft--Financing and Competitiveness.

**E01102-011
E00399-003****JET TRANSPORT FUEL**

Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System.

E01102-009**JOB ALTERNATIVES**

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.

E00501-004**JOB APPLICANTS**

Analysis of COMO (Comprehensive Model) Follow-up Data.

E00902-011**JOB ATTITUDES**

Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers.

E01102-021**JOB BANKS**

Estimating the Benefits of Job Banks as a Computerized Record Keeping System.
Job Bank Evaluation.
Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns.

**E00900-015
E00902-039
E00900-008****JOB CORPS**

Evaluation of Job Development in SMSA.
Evaluation of Pre and Post-Enrollment Services to Job Corps Enrollees.
Evaluation Study of the Counselor Training Program.
Evaluation Study of Job Corps Residential Support Centers.
Program Administration at the Atterbury Job Corps Center in Indiana.
Study of Vocational Training Skills Achievement at Departmental Job Corps Centers.

**E00902-029
E00902-025
E00902-032
E00902-021
E00992-008
E00700-008****JOB CREATION**

An Evaluation of the Economic Impact Project of the Public Employment Program.

E00902-005**JOB DEVELOPMENT**

Employment Impacts of the Economic Development Administration's Public Works Program.
Evaluation of Job Development in SMSA.
Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks.

**E00201-002
E00902-029
E00992-012****JOB MATCHING**

Job Matching Under Imperfect Information.

E00900-020**JOB OPPORTUNITIES**

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973.

E00904-006**JOB PLACEMENT**

Estimating the Benefits of Job Banks as a Computerized Record Keeping System.
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.
Improving Services to Handicapped Children.

**E00900-015
E00501-003
E00500-069**

SUBJECT INDEX**JOBS****JOB READINESS**

Assessment of the Work Incentive Program in Washington State. E00992-020
 From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan. E00992-021
 Problems in the Work Incentive Program in Los Angeles and San Diego. E00992-027
 Slow Implementation of the Work Incentive Program in New York City. E00992-028
 Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia. E00992-018

JOB TRAINING

Evaluation Study of the Counselor Training Program. E00902-032

JOBS

An Evaluation of the Economic Impact Project of the Public Employment Program. E00902-005
 Assistance Programs for Displaced Federal Civilian Employees. E05990-009
 Concentrated Employment Program in New York City Has Not Met Its Employment Objectives. E00992-001
 Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems. E00992-007
 Employment Impacts of the Economic Development Administration's Public Works Program. E00201-002
 Employment Services for Vietnam-Era Veterans Could Be Improved. E00992-024
 Evaluation of the Public Employment Program for Reservation Indians. E00902-006
 Evaluation of Job Development in SMSA. E00902-029
 Group Health Insurance Coverage of Full-Time Employees, 1972. E00510-030
 Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971. E00992-009
 Job Matching Under Imperfect Information. E00900-020
 Opportunity to Increase Effectiveness of JOBS-Type Programs to be Funded Under CETA (Comprehensive Employment and Training Act). E00992-022
 Personnel Distribution in a City Health Department. E00507-048
 Problems in Filling Job Orders and Placing Job Applicants in Massachusetts. E00992-023
 Public Employment Programs in Selected Rural and Urban Areas. E00992-011
 Public Service Benefits from Jobs Under the Emergency Employment Act of 1971. E00992-010
 Supertanker Environmental Study. E01101-007
 The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements. E00992-015
 The Predicted Impact of the Black Lung Benefits Program on the Coal Industry. E00900-023
 Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971. E00992-006
 Youth Conservation Corps Pilot Program, 1972. E00700-001

Criminal Justice

Problems in Administering Programs to Improve Law Enforcement Education. E00891-015

For Graduates

Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act. E00992-002

For Inmates

Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough. E00888-001

For Unemployed Indians

Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971. E00992-009

For Unemployed Persons

Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971. E00992-006

Multi-List Of

Job Matching Under Imperfect Information. E00900-020

Public Service

Selection and Enrollment of Participants in Programs Under the Emergency Employment Act of 1971. E00992-003

Unsubsidized

Assessment of the Work Incentive Program in Washington State. E00992-020
 From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan. E00992-021
 Problems in the Work Incentive Program in Los Angeles and San Diego. E00992-027
 Slow Implementation of the Work Incentive Program in New York City. E00992-028
 Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia. E00992-018

JOBS**SUBJECT INDEX**

The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements.	E00992-015
JOINT FUNDING	
Integrated Grant Administration Assessment Report.	E03700-001
The Federal Grant Process: an analysis of the use of supplemental and categorical funds in the model cities program.	E00602-034
JORDAN	
Summary of U.S. Assistance to Jordan.	E01097-014
JOURNALS	
Evaluation of Linkage Between Research Publications and NIH Funding.	E00508-049
Report on Audit of the Office of Standard Reference Data, National Bureau of Standards.	E00300-009
Science Development: an evaluation study.	E04600-002
JUDICIAL PROCESS	
Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.	E00503-052
JUNIOR FELLOWSHIPS	
Progress Report on Pilot Efforts: Federal Junior Fellowship Program.	E05908-005
JUNIOR OFFICERS	
A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates.	E01101-013
JUSTICE INFORMATION MANAGEMENT	
A Review and Appraisal of the Status of JIMS--The Justice Information Management System.	E00800-003
JUSTICE LITIGATION MANAGEMENT	
Justice Litigation Management; A Review of the Process: Policy, Authority, Direction.	E00800-010
JUVENILE DELINQUENCY	
Evaluation of the Effects of Alternatives to Incarceration on Juvenile Offenders.	E00811-012
How Federal Efforts to Coordinate Programs to Mitigate Juvenile Delinquency Proved Ineffective.	E00891-013
The Impact of Compensatory Education and Desegregation on Juvenile Delinquency.	E00509-033
JUVENILE PROBLEMS	
A Comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209
JUVENILES	
Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime.	E00891-006
KANSAS CITY	
Completion of the Kansas City Lighting Study.	E00811-025
Decision-Making and Information Utilization in Three Public Health Service Regional Offices: final report.	E00500-002
KANSAS	
Audit of Intergovernmental Personnel Act Grant to the Kansas League of Municipalities.	E05914-007
Sponsor Supported Research of the University of Kansas Planned Variation Program.	E00512-014
The True Cost of Filling a Prescription in Kansas in 1971.	E00510-147
KANSAS CITY	
Completion of Kansas City Lighting Study.	E00811-025
Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana.	E00692-005

SUBJECT INDEX**LABOR MARKET****KENNEDY INTERNATIONAL AIRPORT**

A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports.
Heroin Being Smuggled into New York City Successfully.

E00889-001
E00890-002

KENTUCKY EQUINE EDUCATION

Kentucky Equine Education Program (KEEP).

E00992-025

KERN COUNTY, CALIFORNIA

Food Distribution and Food Stamp Program Effects on Nutritional Achievement.

E00207-002

KHMER REPUBLIC

U.S. Assistance to the Khmer Republic (Cambodia).

E01097-016

KIDNEY TRANSPLANTS

Better Planning and Management Needed to Improve Utilization and Quality of Specialized Medical Services.

E06595-017

KINGSTON, NEW YORK

Urban Renewal Land Disposition Studies: Kingston, N.Y.

E00602-043

KITCHEN SYSTEMS

School Feeding Effectiveness.

E00207-004

KITSAP COUNTY

Human Resources Demonstration Project.

E00500-215

KLYSTRON

Pulse Compression Radar Study.

E01102-024

KOREA

Development Assistance to Korea.

E01097-011

LABELS

Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.
Pesticides: Action Needed to Protect the Consumer from Defective Products.

E02390-004
E02390-008

LABOR CERTIFICATIONS

Administration of the Alien Labor Certification Program Should Be Strengthened.

E00992-029

LABOR FORCE

Administration of the Alien Labor Certification Program Should Be Strengthened.
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973.
Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63.
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.
National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.
12 Area Study (PEP)(Public Employment Program).

E00992-029
E00904-006
E00510-024
E00501-004
E00207-003
E00902-045

LABOR MARKET

An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit.
An Econometric Model of the Low-Skill Labor Market.
Differences in the Total Employment Effects of Government Purchases from the Private Sector and Direct Government Hiring of the Unemployed.
Evaluating the Impact of MDTA Programs on Earnings under Varying Labor Market Conditions.
Impact Analysis of MDTA Project Transition.
Improving the Pay Determination Process for Federal Blue-Collar Employees.
Measuring the Effect of the Federal Government on the Change in the Labor Market Position of Black Male Workers Relative to White Male Workers: 1966 to 1970.
The Challenge of Dual and Radical Theories of the Labor Market to Orthodox Theory.

E00900-007
E00509-025
E00900-012
E00902-016
E00902-036
E05990-019
E00900-010
E00900-034

LABOR MARKET**SUBJECT INDEX**

The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact. E00900-040
The Program Services and Support System of the Rehabilitation Services Administration. E00509-003
Theories of Search in a Labor Market. E00900-022

LABOR SKILL DIFFERENTIALS

Impact of the Recent Inflation on Labor Skill Differentials. E00900-033

LABOR STANDARDS

Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters. E00994-003
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act. E00904-004
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-002
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-003
Private Household Workers: data pertinent to an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974. E00904-001
Sheltered Workshops Under the Fair Labor Standards Act. E00904-012
The Four Questions for Evaluating the Effects of the FLSA (Federal Labor Standards Act) Minimum Wage Provisions. E00900-039
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act. E00904-010

LABOR SUPPLY

Indexing for Inflation: Some Formal Models and an Informal Policy Proposal. E00900-030
Minimum Wage Legislation in the United States. E00900-013
Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature. E00900-011

LABOR UNIONS

Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Industrial Loan and Grant Program. E00299-019

LABORATORIES

Evaluation Report on the Effectiveness of the Clinical Laboratories Improvement Program. E00504-005
Final Report of a Pilot Project to Develop a Methodology for Cost-Benefit Analysis of Research Animal Diagnostic and Investigative Laboratories. E00508-047
Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough. E00890-004
Improvements Needed in Certain Hospitals' Laboratory Service Activities. E06595-011
Needed to Improve Administration of the Water Pollution Research, Development, and Demonstration Program. E02390-002
The Economics of Non-Hospital Clinical Laboratories. E00510-132

LABORATORY EQUIPMENT

Multipath Channel Simulation and Modern Evaluation Program. E01102-044
Needed to Improve Administration of the Water Pollution Research, Development, and Demonstration Program. E02390-002

LABORERS

Minimum Wage Legislation in the United States. E00900-013

LAGUNA HOUSING AUTHORITY

Monitoring of Housing and Urban Development Investigation of the Pueblo of Laguna Housing Authority. E00694-011

LAKER FLEET

Great Lakes Pilotage Review. E01101-012

LAND ACQUISITION

Final Land Acquisition--Urban Renewal. E00600-090

SUBJECT INDEX**LAND SALES**

Getting the New Communities Program Started; progress and problems. Urban Renewal Land Acquisition (Proclaimer). Urban Renewal Land Acquisition Activities.	E00692-023 E00600-066 E00600-117
LAND COVER CLASSES Status and Plans of the Department of the Interior EROS Program.	E00712-012
LAND DAMAGE Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment.	E00200-003
LAND DEVELOPERS Need for Improved Consumer Protection in Interstate Land Sales.	E00696-001
LAND DEVELOPMENT Title X Land Development.	E00600-006
LAND DISPOSITION Guidelines for Urban Renewal Land Disposition. The Impact of Exogenous Factors Upon Urban Renewal Land Disposition. Urban Renewal Land Disposition Activities. Urban Renewal Land Disposition Activities. Urban Renewal Land Disposition Studies: Boston, Mass. Urban Renewal Land Disposition Studies: Durham, NC. Urban Renewal Land Disposition Studies: Kingston, N.Y. Urban Renewal Land Disposition Studies: Louisville, Ky. Urban Renewal Land Disposition Studies: St. Paul, Minn. Urban Renewal Land Disposition Studies: Supplementary Cities. Urban Renewal Land Disposition Studies: Tulsa, Oklahoma. Urban Renewal Land Disposition Study: literature search. Urban Renewal Land Disposition.	E00602-046 E00602-045 E00600-112 E00600-072 E00602-048 E00602-056 E00602-043 E00602-058 E00602-057 E00602-049 E00602-059 E00602-047 E00600-085
LAND INVENTORY DATA More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand.	E00299-032
LAND MANAGEMENT Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land. Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands. Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Envi- ronmental Damage in Federal Forests. Information on Certain Oil and Gas Industry Oversight Responsibilities. Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved. Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Pro- duction, Protect the Environment, and Improve Public Land Management. More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand. Need for Federal Agencies to Improve Solid Waste Management Practices.	E00299-027 E00780-001 E00299-028 E00780-004 E00793-001 E00780-005 E00299-029 E02390-001
LAND RECLAMATION Land Reclamation Requirements and Their Estimated Effects on the Coal Industry.	E00900-035
LAND REFORM Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama. Progress and Problems of U.S. Assistance for Land Reform in Vietnam.	E01017-004 E01097-009
LAND REGISTRY Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama.	E01017-004
LAND SALES Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved. Need for Improved Consumer Protection in Interstate Land Sales.	E00793-001 E00696-001

LAND TENURE RIGHTS**SUBJECT INDEX****LAND TENURE RIGHTS**

A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management. **E01017-005**

LAND USE

Actions Needed to Provide Greater Insurance Protection to Flood-Prone Communities. **E00696-002**
Airport Opposition: Examination and Program Development. **E01102-031**
Coordination of Federal Planning Assistance Programs. **E00600-007**
Farm Programs, Pesticide Use, and Social Costs. **E00209-004**
More Specific Criteria Needed to Improve Real Property Surveys. **E03790-004**
Research and Development in Topographic Mapping. **E00712-010**
The Application of a Computerized Environmental Information System to Master and Sector Planning. **E00712-011**

LAND-TO-THE-TILLER PROGRAM

Progress and Problems of U.S. Assistance for Land Reform in Vietnam. **E01097-009**

LANDHOLDINGS

Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects. **E00796-001**
Progress and Problems of U.S. Assistance for Land Reform in Vietnam. **E01097-009**

LANDOWNERS

A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970. **E00209-005**
An Evaluation of Subsidy Forms for Soil and Water Conservation. **E00219-005**
Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects. **E00796-001**
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. **E00216-001**
Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved. **E00299-035**

LANDS

Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama. **E01017-004**
More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand. **E00299-029**
Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974. **E00709-006**

Duck Stamp

Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974. **E00709-006**

Federal

Information on Certain Oil and Gas Industry Oversight Responsibilities. **E00780-004**
Need for Federal Agencies to Improve Solid Waste Management Practices. **E02390-001**
Royalty Accounting System Study of Solid Mineral Leasing Activities. **E00712-014**

Federal Coal

Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program. **E00780-009**

Indian Coal

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands. **E00780-001**

Industrial

Water & Land Resource Accomplishments, 1973. **E00716-002**

Migratory Bird Refuge

Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974. **E00709-006**

National Forest

Allowable Harvest Determinations on National Forest Lands. **E00299-031**

National Resource

Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada. **E00714-001**

Non-Federal

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants. **E00795-001**

SUBJECT INDEX**LEASE GUARANTEES**

Private		
	Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.	E00216-003
Timber		
	Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.	E00216-003
LANDSAT		
	Research and Development in Topographic Mapping.	E00712-010
	Status and Plans of the Department of the Interior EROS Program.	E00712-012
LANGUAGE TRAINING		
	Need to Improve Language Training Programs and Assignments for U.S. Government Personnel Overseas.	E01097-003
LANSING, MICHIGAN		
	Review of the Model Cities Program in Lansing, Michigan.	E00692-024
LAOS		
	Assistance to Family Planning Programs in Southeast Asia.	E01097-007
LATIN AMERICA		
	Intercountry Evaluation of Education Credit Institutions in Latin America.	E01017-002
	Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. ID-75-9.	E01097-024
LAW ENFORCEMENT		
	Federal Efforts to Combat Drug Abuse.	E00599-002
	Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971.	E00992-006
Agencies		
	Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting.	E00891-001
	Difficulties in Immobilizing Major Narcotics Traffickers.	E00890-003
	Efforts to Prevent Heroin from Illicitly Reaching the U.S.	E00880-001
Assistance		
	Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs.	E00602-032
	Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting.	E00891-001
	Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime.	E00891-006
	Long Term Impact of Law Enforcement Assistance Grants Can Be Improved.	E00891-011
Education		
	Law Enforcement Training: an analysis of current and future requirements.	E00800-009
	Letter Report on Administration of the Law Enforcement Education Program.	E00891-003
	Problems in Administering Programs to Improve Law Enforcement Education.	E00891-015
Standards		
	Law Enforcement Standards Laboratory and Support Services.	E00811-035
LEAD PAINT POISONING		
	An Evaluation of Program Elements and Impact of Federal and Local Lead-Based Paint Poisoning Grant Programs.	E00504-017
LEAKS		
	An Incident of Contamination of Livestock Feed and Certain Consumer Products.	E00585-002
	Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public.	E00585-014
LEASE GUARANTEES		
	Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program.	E00300-027
	Evaluation of Small Business Administration Lease Guarantee Program.	E05507-003

LEASE MANAGEMENT**SUBJECT INDEX****LEASE MANAGEMENT**

Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey.
Onshore Lease Management Program Study for the U.S. Geological Survey.
Report of the Work Group on OCS Safety and Pollution Control.

E00712-008
E00712-007
E00712-004

LEASED HOUSING

Leased Housing Program.

E00600-077

LEASEHOLDS

Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf.

E00792-001

LEASING

Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects.

E00796-001

Coal

Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program.

E00780-009

Mineral

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.
Royalty Accounting System Study of Solid Mineral Leasing Activities.

E00780-005
E00712-014

Oil and Gas

Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf.

E00780-008

Outer Continental Shelf

Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf.

E00780-008

LEESBURG, VIRGINIA

Need for HUD to Prepare an Environmental Impact Statement for Town of Leesburg, Virginia, Potomac River Water Supply Project.

E00692-022

LEGAL BARRIERS

Evaluation of Legal Barriers to Emergency Medical Systems Implementation.

E00507-053

LEGAL COUNSELING

Demonstration Project for Closed Circuit Television Case Screening and Legal Counseling.

E00811-005

LEISURE

Health in the Years Before Retirement.

E00510-061

LETHAL WEAPONS

Test and Evaluation of Less Lethal Weapons, Material, and Techniques.

E00811-028

LIBRARIANS

An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that Might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III.

E00508-032

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine.

E00508-053

LIBRARIES

An Employee Evaluation of the HEW Departmental Library.

E00500-077

An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that Might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III.

E00508-032

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine.

E00508-053

SUBJECT INDEX**LIVESTOCK**

Evaluation of LSCA Services to Special Target Groups. E00501-009

LIBRARY SERVICES
An Employee Evaluation of the HEW Departmental Library. E00500-077
Evaluation of LSCA Services to Special Target Groups. E00501-009

LIGHT AIRCRAFT
General Aviation Aircraft Safety: The Princeton University Conference. E01102-007
Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft. E01192-001

LIGHTING
Completion of the Kansas City Lighting Study. E00811-025
Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights. E01192-003
Evaluation of an Experimental Elevated High-Intensity Runway Edge Light. E01102-049

LIGHTNING PROTECTION
FAA Lightning Protection Study. E01102-035

LIGHTWEIGHT BODY ARMOR
Lightweight Body Armor. E00811-032

LINCOLN COMMUNITY HEALTH CENTER
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants. E00507-020

LINCOLN LABORATORY
Discrete Address Beacon System/Air Traffic Control Interface Configuration Document. E01102-015

LIQUID NITROGEN
Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System. E01102-009

LISTER HILL NATIONAL CENTER
Computer Aided Instruction (CAI) Network Evaluation Criteria and Methodology. E00508-033
Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine. E00508-053

LIVESTOCK
Survey of Grade and Weight Selling of Livestock. E00208-001

Feed
An Incident of Contamination of Livestock Feed and Certain Consumer Products. E00585-002

Grazing Supervision
Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada. E00714-001

Losses
The Southwestern Screwworm Eradication Program: a review. E00205-002

Management
A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management. E01017-005
Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada. E00714-001

Marketing Services
Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets. E00208-002

Producers
Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets. E00208-002
Recovery of Nonpayments to Livestock Producers (Sellers Under the Bonds of Registrants). E00208-003

LOAN ACCOUNTING**SUBJECT INDEX****LOAN ACCOUNTING**

Audit of Loan Program Financial Statements for Fiscal Years 1971, 1970, and 1969. E01097-006

LOAN ADMINISTRATION

Opportunities to Improve Financial Management and Reduce Costs of Loan Administration. E05590-005

LOAN GUARANTEES

Administration of Small Business Loan Program Under the Occupational Safety and Health Act. E05590-004
New Communities Evaluation. E00600-001

LOAN PROGRAMS

Audit of Loan Program Financial Statements for Fiscal Years 1971, 1970, and 1969. E01097-006
Uniform Grain Storage Agreement. E00209-003
Ways to Improve Effectiveness of Rural Business Loan Programs. E00299-017

Commodity

Uniform Grain Storage Agreement. E00209-003

Economic Opportunity

The Economic Opportunity Loan Program: Continuing Evaluation. E05507-005
Ways to Improve Effectiveness of Rural Business Loan Programs. E00299-017

Federal Disaster

Information on Federal Disaster Relief Programs. E05590-002

Rural Business

Ways to Improve Effectiveness of Rural Business Loan Programs. E00299-017

Rural Housing

Complaints Concerning the Rural Housing Loan Program in Five Arkansas Counties. E00299-014

Small Business

Administration of Small Business Loan Program Under the Occupational Safety and Health Act. E05590-004

Student

Intercountry Evaluation of Education Credit Institutions in Latin America. E01017-002

LOANS

Administration of Small Business Loan Program Under the Occupational Safety and Health Act. E05590-004

Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met. E00586-006

Limited Success of Federally Financed Minority Businesses in Three Cities. E05590-003

More Effective United States Participation Needed in World Bank and International Development Association. E01280-005

Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India. E01097-002

Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs. E00693-004

Opportunities to Improve Financial Management and Reduce Costs of Loan Administration. E05590-005

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans. E00693-002

Private Accreditation and Public Eligibility. E00501-008

Section 312 Loans (Limited Review). E00600-094

The Brazilian Economic Boom: How Should the United States Relate to It? E01097-020

U.S. Foreign Aid to Education: Does Brazil Need It? E01097-013

Use of Statutory Authority for Providing Inmate Release Funds. E00888-003

Ways to Improve Effectiveness of Rural Business Loan Programs. E00299-017

Agricultural

Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Industrial Loan and Grant Program. E00299-019

Practices in Obtaining Credit Reports for Housing and Agricultural Loans. E00299-020

AID

Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India. E01097-002

SUBJECT INDEX**LOBBYING**

The Brazilian Economic Boom: How Should the United States Relate to It?	E01097-020
Brazil	
The Brazilian Economic Boom: How Should the United States Relate to It?	E01097-020
Cooperative Associations	
Ways to Improve Effectiveness of Rural Business Loan Programs.	E00299-017
Defaults	
Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.	E00693-002
Opportunities to Reduce Costs in Acquiring Properties Resulting from Defaults on Home Loans.	E06596-001
Farm Ownership	
Actions Needed to Terminate Federal Administration of State Rural Rehabilitation Funds Program.	E00299-015
Farmers Home Administration	
Information on Federal Disaster Relief Programs.	E05590-002
Ghana	
United States Programs in Ghana.	E01097-018
Health Professions	
Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met.	E00586-006
Interlibrary	
An Employee Evaluation of the HEW Departmental Library.	E00500-077
Korea	
Development Assistance to Korea.	E01097-011
Mobile Home	
Title I Property Improvement and Mobile Home Loans.	E00600-125
Mortgage	
Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.	E00693-005
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.	E00693-003
Prisoners	
Use of Statutory Authority for Providing Inmate Release Funds.	E00888-003
Public Facility	
Public Facility Loans.	E00600-088
Rehabilitation	
Rehabilitation Loans and Grants.	E00600-060
REA	
Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
Small Business	
Administration of Small Business Loan Program Under the Occupational Safety and Health Act.	E05590-004
Small Business Administration	
Information on Federal Disaster Relief Programs.	E05590-002
Limited Success of Federally Financed Minority Businesses in Three Cities.	E05590-003
Student	
Private Accreditation and Public Eligibility.	E00501-008
Turkey	
United States Economic Assistance to Turkey.	E01097-023
VA	
Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.	E00693-002
LOBBYING	
Federal Regulation of Lobbying Act-Difficulties in Enforcement and Administration.	E00880-003

LOCAL EDUCATIONAL AGENCIES**SUBJECT INDEX****LOCAL EDUCATIONAL AGENCIES**

Analysis of Office of Education Special Project Grant Distribution to Local Education Agencies in Region V. E00500-206

LOCAL GOVERNMENTS

Case Studies of Revenue Sharing in 26 Local Governments. E01280-009

Revenue Sharing: An Opportunity for Improved Public Awareness of State and Local Government Operations. E01280-010

Revenue Sharing: Its Use By and Impact on Local Governments. E01280-008

LOGISTICS SYSTEMS

Assistance to Family Planning Programs in Southeast Asia. E01097-007

LOMA LINDA, CALIFORNIA

Administration of Special Provision in Public Law 92-383, the 1973 Appropriations Act. E06595-005

LONELY OLDER PERSONS

Family Structure in Pre-Retirement Years. E00510-049

LONG TERM CARE FACILITIES

Survey of Title XIX Long-Term Care Facilities and Patients, volume I. E00509-005

LORAN

Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center. E01101-015

Collision Avoidance Ground Station Analysis. E01102-025

Radio Aids to Navigation for the U.S. Coastal Confluence Region. E01101-011

LOS ANGELES, CALIFORNIA

Analysis of the Los Angeles District Attorney's Office. E00811-001

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif. E00503-043

Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C. E00599-003

Problems in the Work Incentive Program in Los Angeles and San Diego. E00992-027

LOUISIANA

Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets. E00208-002

Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana. E00692-005

LOUISVILLE, KENTUCKY

Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants. E00507-020

Urban Renewal Land Disposition Studies: Louisville, Ky. E00602-058

LOW INCOME AREAS

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants. E00795-001

Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public. E00585-014

Who's Picking Up the Check for Pennsylvania's School Lunches? E00207-007

LOW INCOME FAMILIES

Acceptability and Suitability of the Expanded Thrifty Recipe Flyers by Low-Income Families. E00207-022

Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met. E00586-006

Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement. E00207-020

Observation on Housing Allowances and the Experimental Housing Allowance Program. E00693-019

Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs. E00693-003

6 OF 10



SUBJECT INDEX**LYNN, MASSACHUSETTS**

- Problems in the Homeownership Opportunities Program for low Income Families (HOPLIF). E00693-018
Program Evaluation, Youth Conservation Corps. E00709-003
Some Problems in Contracting for Federally Assisted Child-Care Services. E00589-003
Weaknesses in Administration of the Home-Ownership Program for Low-Income Families. E00693-000
- LOW INCOME GROUPS**
Concentrated Employment Program in New York City Has Not Met Its Employment Objectives. E00992-001
Food Distribution and Food Stamp Program Effects on Nutritional Achievement. E00207-002
Improving Federally Assisted Family Planning Programs. E00587-006
Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. ID-75-9. E01097-024
Marketing Health Maintenance Organizations to Low Income Persons: a case study approach. E00507-032
Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities. E00586-003
Reducing Social Security Contributions for Low-Income Workers: Issues and Analysis. E00510-239
The Supplemental Security Income Program for the Aged, Blind, and Disabled. E00510-039
- LOW INCOME WOMEN**
Need for Subsidizing Family Planning Services; United States, each state and county, 1971. E00507-033
- LOW RENT HOUSING**
Analyses of the Causes of the Growth in Public Housing Operating Subsidies. E00600-002
Benefits Could Be Realized by Revising Policies and Practices for Acquiring Existing Structures for Low Rent Public Housing. E00694-001
Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois. E00693-008
Low Rent Housing Maintenance and Engineering. E00600-079
Low Rent Housing Modernization Project. E00600-082
Review of Modernization Program for Low-Rent Public Housing. E00694-002
The Low Rent Housing Project on Quebec Road in Cincinnati. E00692-999
- LOW RENT OCCUPANCY**
Low Rent Occupancy. E00600-105
- LOW RISK WOMEN**
Evaluation Studies on Maternal and Infant Care Projects. E00507-036
- LOW SKILL LABOR MARKET**
An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit. E00900-007
An Econometric Model of the Low-Skill Labor Market. E00509-025
- LOW WAGE INDUSTRY**
Demographic Characteristics of Workers in the Work Clothing Industry. E00906-003
- LOW WAGE WORKERS**
Minimum Wage Legislation in the United States. E00900-013
- LSD**
Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough. E00890-004
- LUNCHES**
Presidential Objective on Child Nutrition Programs. E00207-008
Progress and Problems in Achieving Objectives of School Lunch Program. E00299-021
School Feeding Effectiveness. E00207-004
Who's Picking Up the Check for Pennsylvania's School Lunches? E00207-007
1972 National School Lunch Program Survey. E00207-006
- LYNN, MASSACHUSETTS**
Review of Certain Aspects of the Urban Renewal Program in Lynn, Massachusetts. E00692-012

MADAGASCAR**SUBJECT INDEX****MADAGASCAR**

Import Demand for Rice in the EEC: Implications of U.S. Market Promotion. E00212-003

MAIL

Evaluation of the National Cancer Institute Associate Program by Former, Current, and Potential Associates. E00508-036

Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits. E00590-008

The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates. E00900-016

MAIL-OUT PRESCRIPTIONS

Centralized Mail-Out Prescription Systems. E06504-013

MAINE

A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States. E00503-020

Case Studies of Statewide Comprehensive Health Planning: a final report. E00506-033

MAINE (UNIVERSITY OF)

Audit of Intergovernmental Personnel Act Grant to the University of Maine. E05914-002

MALAYSIA

Excluding Substandard Canned Pineapple from the United States. E00585-012

MALE EMPLOYMENT

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973. E00904-006

Compensating Wage Differentials and Hazardous Work. E00900-014

Measuring the Effect of the Federal Government on the Change in the Labor Market Position of Black Male Workers Relative to White Male Workers: 1966 to 1970. E00900-010

The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors. E00900-029

MALE FAMILY HEADS

Disabling Effects of Chronic Conditions of Male Family Heads. E00510-145

MALE TRAINEES

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-003

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-004

MALEIC HYDRAZIDE

Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered. E00585-010

MANAGEMENT ASSISTANCE

Limited Success of Federally Financed Minority Businesses in Three Cities. E05590-003

Management Assistance: An Evaluation of its Extent and Impact on Minority Owned Businesses. E05507-002

MANAGEMENT COMPANIES

Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration. E00300-015

MANAGEMENT CONCEPTS

Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas. E00501-001

MANAGEMENT EVALUATIONS

Ways to Improve Effectiveness of Rural Business Loan Programs. E00299-017

MANAGEMENT INFORMATION

A Study of the Systems Development Project. E00506-066

Assessment of the Work Incentive Program in Washington State. E00992-020

Better Use Should be Made of Physicians and Dentists in Health Centers. E00587-004

SUBJECT INDEX**MANPOWER**

Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey.	E00712-008
Development of CVS Management Information System.	E01101-005
From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan.	E00992-021
Heroin Being Smuggled into New York City Successfully.	E00890-002
Management Information System, Phase I.	E00507-029
Onshore Lease Management Program Study for the U.S. Geological Survey.	E00712-007
Overview of the Parent-Child Center Management Information System.	E00512-004
Problems in the Federal Energy Office's Implementation of Emergency Petroleum Allocation Programs at Regional and State Levels.	E02990-001
Problems in the Work Incentive Program in Los Angeles and San Diego.	E00992-027
Slow Implementation of the Work Incentive Program in New York City.	E00992-028
Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia.	E00992-018
Survey of the Federal Personnel Management Information System.	E05990-014
MANAGEMENT MANPOWER	
Evaluation of the Career Development Program in Global Community Health.	E00506-056
MANAGEMENT POLICIES	
Evaluation of Graduate Training Programs in Health Planning.	E00506-047
MANAGEMENT PROGRAMS	
Ways to Improve Records Management Practices in the Federal Government.	E03790-002
MANAGEMENT SYSTEMS	
A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717.	E03700-002
A Review and Appraisal of the Status of JIMS--The Justice Information Management System.	E00800-003
MANPOWER	
An Econometric Analysis of the Costs of Selected Manpower Programs: final report.	E00900-032
An Evaluation of the Arizona Job College.	E00902-007
Development of Alternatives for an Evaluation of the Costs and Effectiveness of Inclusion of Mental, Dental, and Pharmaceutical Benefits in Health Maintenance or Related Organizations.	E00507-059
Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada.	E00714-001
Evaluating the Impact of MDTA Programs on Earnings under Varying Labor Market Conditions.	E00902-016
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-002
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-004
Evaluation of the Career Development Program in Global Community Health.	E00506-056
Evaluation of the Distribution and Utilization of FHPS Manpower in the U.S. Coast Guard Medical Program.	E00507-039
Evaluation of the Impact of PEP (Public Employment Program) on the Public Sector.	E00902-041
Evaluation of the Pre-Trial Intervention Program.	E00902-043
Federal Participation in Cost of States' Internal Review Activities.	E01103-002
Heroin Being Smuggled into New York City Successfully.	E00890-002
Impact of Regional Medical Programs on Coronary Care in New England.	E00506-034
Interim Report on the Review of the Domestic and International Business Administration.	E00300-023
Local Government Approaches to Capacity Building.	E00602-039
Management and Manpower Study of Health Maintenance Organization (HMO) Activities in HSA.	E00507-061
Manpower Resources and Needs in Speech Pathology/Audiology.	E00508-045
Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana.	E00692-005
Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education.	E00586-001
Program Review of Right-of-Way Program.	E01103-001
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.	E00501-001
Report on Review of Market Development Activities, Maritime Administration.	E00300-016
Review of the Organization and Operations of the United States Travel Service (USTS).	E00300-029

MANPOWER**SUBJECT INDEX**

Strategies for Change in Community Mental Health: New Careers.	E00503-039
Coast Guard	
Evaluation of the Distribution and Utilization of FHPS Manpower in the U.S. Coast Guard Medical Program.	E00507-039
Health	
Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems.	E00506-086
Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education.	E00506-099
Installing and Validating a Data Collection and Analysis System for the Uniform Health Manpower Evaluation.	E00506-045
Management and Manpower Study of Health Maintenance Organization (HMO) Activities in HSA.	E00507-061
Study of Health Facilities Construction Cost.	E00586-002
HMO Programs	
Management and Manpower Study of Health Maintenance Organization (HMO) Activities in HSA.	E00507-061
NIH Research grants	
Manpower Engaged on NIH Research Grants in 1970.	E00508-048
Survey Methodologies	
Initial Manpower Management Program (MMP).	E00507-056
MANPOWER CONVERSION CONCEPT	
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.	E00501-001
MANPOWER DEVELOPMENT	
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-004
Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act.	E00992-002
Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act.	E00900-021
Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential.	E00900-004
The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings.	E00900-017
MANPOWER DEVELOPMENT SYSTEMS	
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.	E00501-001
MANPOWER MANAGEMENT PROGRAMS	
Initial Manpower Management Program (MMP).	E00507-056
MANPOWER PLANNING	
Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems.	E00506-086
Evaluation of Planning and Coordination of Manpower Programs--Phase II.	E00500-218
Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education.	E00506-099
Evaluation of Supportive Services Provided for Participants of Manpower Programs.	E00902-030
Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970.	E05990-015
MANPOWER POLICIES	
Economic Considerations for Manpower Revenue Sharing.	E00900-019
Local Government Approaches to Capacity Building.	E00602-039
MANPOWER PROGRAMS	
An Econometric Analysis of the Costs of Selected Manpower Programs: final report.	E00900-032
Analysis of Early Phase Problems of Comprehensive Manpower Program.	E00902-023
Analysis of Social Security Data to Estimate the Impact of Manpower Programs.	E00900-005
Cost Effectiveness Evaluation of the Urban Concentrated Employment Program.	E00902-022
Economic Considerations for Manpower Revenue Sharing.	E00900-019

Evaluation of MA Services and Supportive Services to American Indians on Reservations.	E00902-033
Evaluation of Planning and Coordination of Manpower Programs--Phase II.	E00500-218
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.	E00500-212
Evaluation of Supportive Services Provided for Participants of Manpower Programs.	E00902-030
Evaluation Study of the Developmental Stages of the Comprehensive Manpower Program.	E00902-008
Inventory of Selected Federal Manpower Programs.	E00500-213
Manpower Program Follow-up System.	E00900-026
National Academy of Science Critical Review of the Use of Social Security Data to Estimate the Effect of Manpower Programs.	E00900-006
The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact.	E00900-040
The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings.	E00900-017
MANPOWER REVENUE SHARING	
Economic Considerations for Manpower Revenue Sharing.	E00900-019
MANPOWER SERVICES	
Concentrated Employment Program in New York City Has Not Met Its Employment Objectives.	E00992-001
Evaluation of the National Migrant Worker Program.	E00902-040
Evaluation of MA and Supportive Services to Mexican Americans.	E00902-034
Evaluation of MA Services and Supportive Services to American Indians on Reservations.	E00902-033
Evaluation of Special Manpower Services to Veterans.	E00902-035
MANPOWER SERVICES TO RURAL POOR	
An Evaluation of the Arizona Job College.	E00902-007
MANPOWER SUPPLY-DEMAND	
Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems.	E00506-086
MANPOWER TRAINING	
An Econometric Analysis of the Costs of Selected Manpower Programs: final report.	E00900-032
Evaluating the Impact of MDTA Programs on Earnings under Varying Labor Market Conditions.	E00902-016
Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act.	E00992-002
Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential.	E00900-004
Study of Health Facilities Construction Cost.	E00586-002
The Effect of Manpower Training on Earnings: preliminary results.	E00900-028
The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings.	E00900-017
MANPOWER TRAINING FOR WOMEN	
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-002
MANPOWER UTILIZATION	
Manpower Resources and Needs in Speech Pathology/Audiology.	E00508-045
MANUFACTURERS	
Extending Effective Date of the Food and Drug Administration's Diagnostic X-Ray Equipment Standard.	E00585-009
Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Recalled by the General Electric Company.	E00585-011
Implementation of the Poison Prevention Packaging Act of 1970.	E30400-101
Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards.	E01197-002
Pesticides: Action Needed to Protect the Consumer from Defective Products.	E02390-008
The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles.	E01197-005
Aircraft	
General Aviation Aircraft Safety: The Princeton University Conference.	E01102-007

MANUFACTURERS**SUBJECT INDEX**

Certification Data For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards.	E01197-001
Compliance For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards.	E01197-001
Drugs Problems in Obtaining and Enforcing Compliance with Good Manufacturing Practices for Drugs.	E00585-004
Pesticides Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.	E02390-004
Vaccines Problems in Regulating Selected Vaccines.	E00585-003
MANUFACTURING Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough.	E00890-004
Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India.	E01097-002
Problems in Obtaining and Enforcing Compliance with Good Manufacturing Practices for Drugs.	E00585-004
MANUFACTURING SKILL DIFFERENTIAL Impact of the Recent Inflation on Labor Skill Differentials.	E00900-033
MAPPING Research and Development in Topographic Mapping.	E00712-010
MAPS Analysis of Individual Underwriting Progress and Problems.	E00211-002
Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.	E00709-006
Research and Development in Topographic Mapping.	E00712-010
Status and Plans of the Department of the Interior EROS Program.	E00712-012
The Application of a Computerized Environmental Information System to Master and Sector Planning.	E00712-011
MARIHUANA An Analysis of DEA Marihuana Arrestees.	E00810-008
MARINE ACCIDENTS Vessel Traffic Systems Issue Study.	E01101-008
MARINE LIFE Achievements, Cost, and Administration of the Ocean Sediment Coring Program.	E04690-001
MARINE RESOURCES Report on Audit of the Marine Resources Monitoring, Assessment and Prediction Program, National Oceanic and Atmospheric Administration.	E00300-001
MARINE SAFETY A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels.	E01101-001
MARINE TRAFFIC Department of Transportation's Cargo Security Program.	E01190-001
Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents?	E01191-001
MARITAL PROBLEMS A Comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209
MARITIME ADMINISTRATION Government Support of the Shipbuilding Industrial Base.	E00399-005

SUBJECT INDEX**MARKETING**

Report on Audit of the Cargo Preference Program, Maritime Administration.	E00300-004
Report on Audit of the Ship Transfer Program, Maritime Administration.	E00300-006
Report on Audit of Selected Pollution Abatement Activities, Maritime Administration.	E00300-012
Report on Review of Market Development Activities, Maritime Administration.	E00300-016
MARITIME COMMUNICATIONS	
Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions.	E01101-020
Government Maritime Communications.	E01101-018
MARITIME INDUSTRIES	
Department of Transportation's Cargo Security Program.	E01190-001
Licensing of Deck and Engineering Officers in the U.S. Merchant Marine.	E01101-002
Maritime Policy Study.	E00300-025
MARITIME INDUSTRY	
Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents?	E01191-001
MARITIME POLICY	
Maritime Policy Study.	E00300-025
MARITIME SATELLITE COMMUNICATIONS	
Multipath Channel Simulation and Modern Evaluation Program.	E01102-044
MARKET RESEARCH TECHNIQUES	
Capital Assistance to Bus Transit Systems.	E01105-001
MARKETING	
An Analysis of Actual and Potential Uses of Nursing Homes.	E00506-049
Capital Assistance to Bus Transit Systems.	E01105-001
Evaluation of the Accomplishments of Selected HMOS Funded Projects.	E00507-054
Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert.	E00204-001
Excluding Substandard Canned Pineapple from the United States.	E00585-012
Farm Programs, Pesticide Use, and Social Costs.	E00209-004
Marketing Health Maintenance Organizations to Low Income Persons: a case study approach.	E00507-032
Potential Impact of the Health Maintenance Organization Act of 1973 on the Development of Rural HMO's.	E00507-067
Problems in Regulating Selected Vaccines.	E00585-003
Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration.	E00300-011
Southwestern Federal Power Program--Financial Progress and Problems.	E00780-002
The Case of P.L. 480, The Side Effects of Foreign Aid: Wheat in Colombia.	E00212-001
Ways to Improve Effectiveness of Rural Business Loan Programs.	E00299-017
Export	
Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
Food	
Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert.	E00204-001
Evaluation of the USDA Food Supply Release, Food Marketing Alert.	E00204-003
HUD's	
The Federal Crime Insurance Program: how it can be made more effective.	E00696-003
International	
Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration.	E00300-011
Livestock	
Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets.	E00208-002
Milk	
Pricing Under Federal Milk Market Regulation: theory, objectives and impact.	E00204-002

MARKETING**SUBJECT INDEX**

Pesticides	
Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.	E02390-004
Pesticides: Action Needed to Protect the Consumer from Defective Products.	E02390-008
MARMAP	
Report on Audit of the Marine Resources Monitoring, Assessment and Prediction Program, National Oceanic and Atmospheric Administration.	E00300-001
MARRIED WOMEN	
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
MARSHALS	
The Management, Staffing and Organization of the United States Marshals Service: a critical analysis.	E00800-004
MARYLAND	
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
MASSACHUSETTS	
Federally Supported Attempts to Solve State and Local Court Problems: More Needs to be Done.	E00891-007
On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.	E00506-087
Outpatient Sub-Clinic in Massachusetts.	E06504-014
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.	E00992-023
Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters).	E00585-005
Review of Certain Aspects of the Urban Renewal Program in Lynn, Massachusetts.	E00692-012
Selected Aspects of the Boston, Massachusetts Model Cities Program.	E00692-003
Urban Renewal Land Disposition Studies: Boston, Mass.	E00602-048
MATCHING FUNDS	
Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States.	E00586-004
Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants.	E00795-001
The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles.	E01197-005
MATERNAL CARE	
A Study of Maternal and Infant Care of Indian and Native Alaskan Americans.	E00507-087
Personnel Distribution in a City Health Department.	E00507-048
MATERNAL HEALTH	
Food for Peace: An Evaluation of P.L. 480--Title II.	E00212-002
Progress and Problems in Providing Health Services to Indians.	E00587-003
Project III Description of Existing Maternal and Child Health Services and Resources in the United States.	E00507-082
MATERNITY LEAVE	
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).	E00904-003
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010
MATHEMATICS	
Science Development: an evaluation study.	E04600-002
MCDONNELL DOUGLAS DC-10	
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting.	E01102-004
MCDONNELL DOUGLAS DC9	
Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique.	E01102-008

SUBJECT INDEX**MEDICAL AUDIO VISUALS****MDTA**

- Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-002
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-003
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-004
Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns. E00900-008

MEALS

- School Feeding Effectiveness. E00207-004

MEAT

- Salmonella in Raw Meat and Poultry: an assessment of the problem. E00585-008

MEAT PLANTS

- Consumer Protection Would Be Increased by Improving the Administration of Intra-state Meat Plant Inspection Programs. E00299-011

MEDIA CENTERS

- Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine. E00508-053

MEDICAID

- Analysis of Medicaid Buy-In Experience. E00509-015
Analysis of Medicaid Spend-Down Experience. E00509-004
Better Controls Needed for Health Maintenance Organizations under Medicaid in California. E00587-005
Comparison of State Agency and Fiscal Agent Performance in Medicaid. E00509-013
Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module. E00506-075
Cost Benefit of Utilization Review Techniques in Medicaid. E00509-014
Forward Plan for Health: FY 1977-81. E00500-008
Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse. E00589-009
Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program. E00589-007
Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements. E00589-008
Medicaid Study--Public Spending for Personal Medical Care: An Analysis of the Utilization, Cost, and Quality of Care. E00506-104
More Needs to be Done to Assure that Physicians' Services--Paid for by Medicare and Medicaid--Are Necessary. E00590-001
National Health Expenditures, 1929-1974. E00510-015
National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits. E00207-003
Need to More Consistently Reimburse Health Facilities Under Medicare and Medicaid. E00590-006
Outpatient Health Care in Inner Cities: Its Users, Services, and Problems. E00589-010
Potential Medicare and Medicaid Reviews for the Indian Health Services. E00507-070
Reference Manual on Title XIX (Social Security Act). E00507-057

MEDICAL ABSTRACTS

- Project III Description of Existing Maternal and Child Health Services and Resources in the United States. E00507-082

MEDICAL APPLIANCES

- Report on Survey of VA's Durable Medical Appliances Activities. E06595-025

MEDICAL ASSISTANCE

- Social Security Programs in the United States, 1973. E00510-031

MEDICAL AUDIO VISUALS

- Evaluation of User Utilization of the National Medical Audio Visual Center Media Distribution Program. E00508-046

MEDICAL AUDITS**SUBJECT INDEX****MEDICAL AUDITS**

Evaluation of Services Provided by Federal Health Program Service Facilities. E00507-034

MEDICAL BENEFITS

Observations on Evaluation of the Special Supplemental Food Program. E00299-023

MEDICAL BILLS

Age Differences in Health Care Spending, Fiscal Year 1974. E00510-009

Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients. E00589-005

MEDICAL CARE

Age Differences in Medical Care Spending, Fiscal Year 1973. E00510-165

Better Controls Needed for Health Maintenance Organizations under Medicaid in California. E00587-005

Establishment of a Contract Medical Care. E00507-022

Evaluation of the Experimental Medical Care Review Organization (EMCRO) Programs. E00506-051

Evaluation of Emergency Medical Service Systems Impact. E00507-073

Health in the Years Before Retirement. E00510-061

Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970. E00510-019

Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969. E00510-048

Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services. E00507-023

Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program. E00589-007

Medicaid Study-Public Spending for Personal Medical Care: An Analysis of the Utilization, Cost, and Quality of Care. E00506-104

Medical Care Costs and Prices: background book. E00510-001

Medical Care Expenditures in Seven Countries. E00510-058

Medical Care Price Changes Under the Economic Stabilization Program. E00510-055

Professional Standards and Review Organization (PSRO) Cost Study. E00507-046

Size and Shape of the Medical Care Dollar, 1975. E00510-003

Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insurance Impact on HSA Activities. E00507-076

The European Experience in Social Health Insurance. E00510-054

The Medical Care Requirements of the U.S. Coast Guard. E00507-045

Yeatman Baseline Survey. E00507-011

MEDICAL CENTERS

Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast. E00508-034

MEDICAL DIAGNOSTIC PRODUCTS

Public Hazards from Unsatisfactory Medical Diagnostic Products. E00585-013

MEDICAL EDUCATION

Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast. E00508-034

MEDICAL EXAMINATION

Evaluation of VISA Medical Activities. E00504-004

MEDICAL EXPENSE

More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs. E00995-004

MEDICAL FACILITIES

Evaluation of the Distribution and Utilization of FHPS Manpower in the U.S. Coast Guard Medical Program. E00507-039

MEDICAL FAMILY PLANNING SERVICES

Need for Subsidizing Family Planning Services; United States, each state and county, 1971. E00507-033

SUBJECT INDEX**MEDICAL SCHOOLS****MEDICAL INSURANCE**

Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969. **E00510-048**
Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients. **E00589-005**

MEDICAL LIBRARIANS

An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that Might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III. **E00508-032**

MEDICAL OFFICERS

Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs. **E00585-007**
The Medical Care Requirements of the U.S. Coast Guard. **E00507-045**

MEDICAL ORGANIZATIONS

Better Use Should be Made of Physicians and Dentists in Health Centers. **E00587-004**

MEDICAL PAYMENTS

Salmonella in Raw Meat and Poultry: an assessment of the problem. **E00585-008**

MEDICAL PRACTICE

Evaluation of the Accomplishments of Selected HMOS Funded Projects. **E00507-054**
Evaluation of Legal Barriers to Emergency Medical Systems Implementation. **E00507-053**

MEDICAL PREPAREDNESS

Report on Airport/Community Emergency Medical Preparedness and Federal Emergency Health Preparedness. **E03700-008**

MEDICAL PROBLEMS

Health Start Final Report of the Evaluation of the Second Year Program. **E00512-008**
Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program. **E00589-007**

MEDICAL PROGRAMS

Better Planning and Management Needed to Improve Utilization and Quality of Specialized Medical Services. **E06595-017**
Evaluation of Facilitation in the Regional Medical Program. **E00506-058**

Coast Guard

Evaluation of the Distribution and Utilization of FHPS Manpower in the U.S. Coast Guard Medical Program. **E00507-039**
The Medical Care Requirements of the U.S. Coast Guard. **E00507-045**

East Baltimore

Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants. **E00507-020**

Regional

An Evaluation of the Regional Medical Program (RMP) Review Criteria. **E00506-063**
Evaluation of Facilitation in the Regional Medical Program. **E00506-058**
Impact of Regional Medical Programs on Coronary Care in New England. **E00506-034**
Information Support System Approach to Problem-Oriented Program Assessment of Local Regional Medical Programs. **E00506-046**
Project Termination in RMPS (Regional Medical Program Service). **E00506-041**

MEDICAL RESEARCH

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. **E00504-007**
Evaluation Report: General Clinical Research Centers Program, Division of Research Resources. **E00508-038**

MEDICAL SCHOOLS

Can University Extension Run Consumer Health Education Programs? An Evaluative Study of Four Demonstrations and Four Controls. **E00506-050**

MEDICAL SCHOOLS**SUBJECT INDEX**

Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education. E00586-001

MEDICAL SERVICES

Initial Manpower Management Program (MMP). E00507-056

Emergency

A Study of the Nature and Function of the Emergency Medical Service Advisory Councils in Relation to Selected Community Variables. E00507-021
 Assessment of Emergency Medical Systems. E00507-052
 Evaluation of the Emergency Medical Services Demonstration Projects. E00506-103
 Evaluation of Emergency Medical Service Systems Impact. E00507-073
 Evaluation of Legal Barriers to Emergency Medical Systems Implementation. E00507-053
 Guidelines for Patient Record-Keeping Systems for Emergency Medical Service. E00507-051

Specialized

Better Planning and Management Needed to Improve Utilization and Quality of Specialized Medical Services. E06595-017

MEDICAL STAFFING

Adequacy of Medical Staffing in Selected VA Hospitals. E06595-023
 The Medical Care Requirements of the U.S. Coast Guard. E00507-045

MEDICAL SUPPLIES

Purchase of Foreign-Made Medical Supplies and Equipment by the Veterans Administration. E06595-013

MEDICALLY UNDERSERVED AREAS

Potential Impact of the Health Maintenance Organization Act of 1973 on the Development of Rural HMO's. E00507-067

MEDICALLY UNDERSERVED GROUPS

Marketing Health Maintenance Organizations to Low Income Persons: a case study approach. E00507-032

MEDICALLY UNDERSERVED PERSONS

Outpatient Health Care in Inner Cities: Its Users, Services, and Problems. E00589-010

MEDICALLY UNDERSERVED POPULATIONS

An Economic Analysis of HSA Program Potential FY 1976 to FY 1981. E00507-075

MEDICARE

Age Differences in Health Care Spending, Fiscal Year 1974. E00510-009
 An Analysis of Medicare Administrative Costs. E00510-023
 Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module. E00506-075
 Forward Plan for Health: FY 1977-81. E00500-008
 Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970. E00510-019
 Hospital Costs and the Medicare Program. E00510-052
 Hospital Reimbursement and Regulation Under Medicare. E00510-136
 Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969. E00510-048
 Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients. E00589-005
 Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse. E00589-009
 Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements. E00589-008
 Medicare Impact on Hospital Utilization and Financing. E00510-130
 Medicare Patients: Regional Differences in Lengths of Hospital Stays, 1969-71. E00510-006
 More Needs to be Done to Assure that Physicians' Services--Paid for by Medicare and Medicaid--Are Necessary. E00590-001
 National Health Expenditures, 1929-1974. E00510-015
 National Health Expenditures, 1929-73. E00510-043
 Need to More Consistently Reimburse Health Facilities Under Medicare and Medicaid. E00590-006
 Outpatient Health Care in Inner Cities: Its Users, Services, and Problems. E00589-010
 Potential Medicare and Medicaid Reviews for the Indian Health Services. E00507-070

SUBJECT INDEX**MENTAL WAIVER PROGRAM**

Problems Associated With Reimbursements to Hospitals for Services Furnished Under Medicare.	E00590-002
Selected State Data Medicare Fiscal Years 1968-72.	E00510-002
Some Aspects of Medicare Experience with Group-Practice Prepayment Plans.	E00510-013
Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare.	E00590-004
The Manner in Which Medicare Reimbursement is Made to a Laboratory Facility: Phoenix, Arizona.	E00590-007
Utilization and Reimbursements Under Medicare for 1967 and 1968 Decedents.	E00510-057
MEDICINE	
Evaluation of Linkage Between Research Publications and NIH Funding.	E00508-049
Study of Health Facilities Construction Cost.	E00586-002
MEDLARS	
Quality and Consistency Evaluation of Medlars Indexing: final report.	E00508-037
MENOMINEE INDIANS	
Menominee Tribal Health Care Program Planning.	E00507-068
MENTAL HEALTH	
A comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209
A study of HSMHA Site Assessment Procedures.	E00506-082
A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.	E00503-020
Assessment of Child Mental Health Needs and Programs.	E00503-062
Automated Psychiatric Record Systems Today: an assessment.	E00503-031
Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
Feasibility Study and Measuring Efficiency of Mental Health Services.	E00503-060
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.	E00506-043
Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration.	E00500-104
Strategies for Change in Community Mental Health: New Careers.	E00503-039
The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health.	E00500-068
MENTAL HEALTH CENTERS	
A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.	E00503-037
A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health.	E00503-023
Design of an Evaluation System for the National Institute of Mental Health.	E00503-024
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.	E00503-018
Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Implementation of a Policy of Self-Support by Neighborhood Health Centers.	E00587-001
Need for More Effective Management of Community Mental Health Centers Program.	E00599-004
Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City.	E00503-026
Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00503-019
The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers.	E00503-030
Trends in Sources of Funds for Community Mental Health Centers.	E00503-064
Two Studies on Catchmenting and Catchment-Oriented Services.	E00503-042
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.	E00503-038
MENTAL HOSPITALS	
A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.	E00503-020
Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00503-019
MENTAL WAIVER PROGRAM	
Evaluation of VISA Medical Activities.	E00504-004

MENTALLY DISABLED**SUBJECT INDEX****MENTALLY DISABLED**

Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City. E00503-026

Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals. E00503-019

MENU PLANNING

Computer Associated Menu Planning (CAMP). E00207-018

Comparison of Type A Pattern and Nutrient Standard Approaches to School Food Service Menu Planning. E00207-012

MERCHANT MARINE

Licensing of Deck and Engineering Officers in the U.S. Merchant Marine. E01101-002

Maritime Policy Study. E00300-025

MERCHANT SHIPBUILDING

Government Support of the Shipbuilding Industrial Base. E00399-005

MERCHANT VESSELS

Great Lakes Pilotage Review. E01101-012

MERGERS

Impact of USDA Programs Upon Rural Cooperatives. E00200-002

MESBIC LICENSING

Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program. E00300-027

METABOLITES

Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered. E00585-010

METALS

Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses. E01282-001

METEOROLOGICAL CONDITIONS

Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis. E01102-006

METHADONE

Evaluation of the Effects of Methadone Treatment on Crime and Criminal Addicts. E00811-011

Staffing and Efficiency of Drug Treatment Programs. E00506-074

METRIC MAPS

Research and Development in Topographic Mapping. E00712-010

METROPOLITAN PLANNING ORGANIZATIONS

Capital Assistance to Bus Transit Systems. E01105-001

METROPOLITAN PLANNING/COORDINATION MODELS

Evaluation of the Planning and Coordination of Manpower Programs/Phase I. E00500-212

MEXICAN AMERICANS

Evaluation of MA and Supportive Services to Mexican Americans. E00902-034

MEXICO

Citrus Blackfly Program Evaluation. E00205-003

Cost Benefit of Utilization Review Techniques in Medicaid. E00509-014

Efforts to Stop Narcotics and Dangerous Drugs Coming from and through Mexico and Central America. E00890-006

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. E00504-007

Excluding Substandard Canned Pineapple from the United States. E00585-012

Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough. E00890-004

SUBJECT INDEX**MIGRATORY BIRD CONSERVATION COMMISSION**

The Southwestern Screwworm Eradication Program: a review.	E00205-002
MIAMI, FLORIDA	
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Information on Professional Fees and Other Compensation Paid for Services of Selected Physicians Employed at the Veterans Administration Hospital in Miami, Florida.	E06595-007
MICHIGAN	
An Assessment of the Intermediate Care Facility Program in Region V.	E00500-219
Department of Housing and Urban Development's 'as is' Sales Program in Flint, Michigan.	E00693-021
Disposal of Acquired Properties in Detroit, Michigan: progress and problems.	E00693-015
Effectiveness of Vocational Rehabilitation in Helping the Handicapped.	E00591-004
Effectiveness Criteria in Venereal Disease Control Programs.	E00504-020
From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan.	E00992-021
Long Term Impact of Law Enforcement Assistance Grants Can Be Improved.	E00891-011
Review of the Model Cities Program in Lansing, Michigan.	E00692-024
Review of Certain Aspects of the Grand Rapids, Michigan, Model Cities Program.	E00692-014
The Low Rent Housing Project on Quebec Road in Cincinnati.	E00692-999
MICROSCOPE	
Improvements Needed in Certain Hospitals' Laboratory Service Activities.	E06595-011
MICROWAVE LANDING SYSTEM	
Development of Microwave Landing System Implementation Criteria.	E01102-047
MICROWAVE TV NETWORK	
Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast.	E00508-034
MIDDLE EAR	
Progress and Problems in Providing Health Services to Indians.	E00587-003
MIDDLE EAST	
Import Demand for Rice in the EEC: Implications of U.S. Market Promotion.	E00212-003
Summary of U.S. Assistance to Jordan.	E01097-014
MIDWEST	
Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.	E02390-003
MIGRANT CHILDREN	
Follow-up Review of Migrant Children Education, Office of Education.	E00500-100
Health Start Final Report of the Evaluation of the Second Year Program.	E00512-008
MIGRANT FARMWORKERS	
An Evaluation of the Arizona Job College.	E00902-007
MIGRANT HEALTH	
Migrant Health Program--Final Report.	E00507-028
MIGRANT POPULATIONS	
Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insurance Impact on HSA Activities.	E00507-076
MIGRANTS	
Evaluation of the National Migrant Worker Program.	E00902-040
MIGRATION	
Effects of Interstate Migration in the United States.	E00510-149
MIGRATORY BIRD CONSERVATION COMMISSION	
Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.	E00709-006

MIGRATORY BIRDS**SUBJECT INDEX****MIGRATORY BIRDS**

Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.
Review of Wetlands Acquisition Program.

E00709-006
E00709-001

MIGRATORY LABOR

The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and
Revising Hiring Requirements.

E00992-015

MILITARY ACADEMIES

A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers
Who Are Academy Graduates.

E01101-013

MILITARY AIR TRAFFIC

Military Air Traffic Forecast: 1975-1986.

E01102-019

MILITARY ASSISTANCE

Development Assistance to Korea.
Summary of U.S. Assistance to Jordan.
U.S. Assistance to the Khmer Republic (Cambodia).
United States Economic Assistance to Turkey.
What Should U.S. Policy Be for Development Assistance to Ecuador?

E01097-011
E01097-014
E01097-016
E01097-023
E01097-004

MILITARY HOSPITALS

Complications Incurred Because of Delays in Transferring Patients to Spinal Cord
Injury Treatment Centers at Veterans Administration Hospitals.
Reuse of Disposable Catheters and Guidewires in Various Veterans Administration
Hospitals.

E06595-016

E06595-015

MILITARY PERSONNEL

Federal Retirement System--Key Issues, Financial Data, and Benefit Provisions.
U.S. Assistance to the Khmer Republic (Cambodia).

E05990-006
E01097-016

MILITARY READINESS

A Study of the U.S. Coast Guard Intelligence and Security Functions.

E01101-017

MILITARY SATELLITES

Analysis of the Potential Application of Space Telecommunications Systems to the
U.S. Coast Guard Missions.

E01101-020

MILITARY SEALIFT COMMAND

Government Maritime Communications.

E01101-018

MILITARY SERVICES

Military Air Traffic Forecast: 1975-1986.

E01102-019

MILK

Pricing Under Federal Milk Market Regulation: theory, objectives and impact.

E00204-002

MINERAL ACTIVITY

International Inventory and Forecast of Offshore Petroleum and Mineral Activity.

E01101-003

MINERAL INDUSTRY

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Pro-
duction, Protect the Environment, and Improve Public Land Management.

E00780-005

MINERAL LEASING

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Pro-
duction, Protect the Environment, and Improve Public Land Management.
Royalty Accounting System Study of Solid Mineral Leasing Activities.

E00780-005
E00712-014

MINERAL RESOURCES

Administration of Regulations for Surface Exploration, Mining, and Reclamation of
Public and Indian Coal Lands.

E00780-001

SUBJECT INDEX**MINNESOTA****MINERAL RIGHTS**

Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved.

E00793-001**MINERALIZED WATER**

Problem in Identifying, Developing, and Using Geothermal Resources.

E00780-007**MINERALS**

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.
Problem in Identifying, Developing, and Using Geothermal Resources.
Royalty Accounting System Study of Solid Mineral Leasing Activities.

**E00780-005
E00780-007
E00712-014****MINERS**

Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners.

E00504-011**Coal**

Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows.
Improvements Needed in the Assessment and Collection of Penalties--Federal Coal Mine Health and Safety Act of 1969.

E00590-003**E00791-001****Uranium**

Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners.

E00504-011**MINIMUM WAGE**

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).

E00904-002**E00904-003****E00904-004****MINING**

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands.

E00780-001**Claims**

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.

E00780-005**Coal**

Land Reclamation Requirements and Their Estimated Effects on the Coal Industry.

E00900-035**Law**

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.

E00780-005**Surface**

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands.

E00780-001**Underground**

Land Reclamation Requirements and Their Estimated Effects on the Coal Industry.
The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.

E00900-035**E00900-023****MINNEAPOLIS-ST. PAUL**

Grants to Improve Bus Transit Systems--Progress and Problems.

E01195-001**MINNESOTA**

An Assessment of the Intermediate Care Facility Program in Region V.
Case Studies of Statewide Comprehensive Health Planning; a final report.
Evaluation of Experience Under Improved Management Practice for Corn.
Pricing Under Federal Milk Market Regulation: theory, objectives and impact.
Report on Administration of the Program to Reduce Crime in Minnesota.
Urban Renewal Land Disposition Studies: St. Paul, Minn.

**E00500-219
E00506-033
E00211-003
E00204-002
E00891-004
E00602-057**

MINORITIES**SUBJECT INDEX****MINORITIES**

Audit of Minority Reporting System. E00506-032
 Compliance with the Minimum Wage Law: progress report. E00900-003
 Department of Commerce Analysis of Organizational Options for the Federal Minority
 Business Development Program. E00300-027
 Earnings of Disabled-Worker Beneficiaries. E00510-029
 Evaluation of the National Ironworkers and Employers Training Program. E00902-001
 Major Evaluation Questions Concerning the Federal Contract Compliance Program:
 Federal Contracts for Goods and Services. E00900-031
 Program Evaluation, Youth Conservation Corps. E00709-003
 The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and
 Revising Hiring Requirements. E00992-015
 The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors. E00900-029
 Youth Conservation Corps Pilot Program, 1972. E00700-001

Earnings

Major Evaluation Questions Concerning the Federal Contract Compliance Program:
 Federal Contracts for Goods and Services. E00900-031

Employment

The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be
 Improved. E00994-002

MINORITY ADVOCATE ORGANIZATIONS

A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concern-
 ing Minority Business Alternatives. E05507-001

MINORITY AFFAIRS

Study to Document the Effects of Altering Traditional Dental School Recruitment and
 Admissions Policies for Minority Students. E00506-081

MINORITY AREAS

Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in
 the Hill-Burton Program for the Construction and Modernization of Health
 Facilities. E00586-003

MINORITY BORROWERS

Study of Minority Borrowers Prior and Subsequent to SBA Assistance. E05507-011

MINORITY BUSINESS

A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concern-
 ing Minority Business Alternatives. E05507-001
 Department of Commerce Analysis of Organizational Options for the Federal Minority
 Business Development Program. E00300-027
 Final Report of the OMBE (Office of Minority Business Enterprise) Management Task
 Force. E00300-026
 Limited Success of Federally Financed Minority Businesses in Three Cities. E05590-003
 Management Assistance: An Evaluation of its Extent and Impact on Minority Owned
 Businesses. E05507-002
 Report on Audit of the Technical Assistance Program, Office of Technical Assist-
 ance, Economic Development Administration. E00300-002

MINORITY CHILDREN

1972 National School Lunch Program Survey. E00207-006

MINORITY EMPLOYMENT

Evaluation of the National Ironworkers and Employers Training Program. E00902-001

MINORITY GROUP RECRUITING

A Study of the Minority Officer Procurement Program of the U.S. Coast Guard. E01101-014

MINORITY OFFICER PROCUREMENT

A Study of the Minority Officer Procurement Program of the U.S. Coast Guard. E01101-014

MINORITY PARTICIPATION

Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974
 YCC program. E00700-005

SUBJECT INDEX**MOBILE-HOME LOAN GUARANTY PROGRAM**

Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data). E00906-002

MINORITY POPULATIONS
A Study of the Minority Officer Procurement Program of the U.S. Coast Guard. E01101-014
Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities. E00586-003

MINORITY PROCUREMENT CONTRACTS
Final Report of the OMBE (Office of Minority Business Enterprise) Management Task Force. E00300-026

MINORITY RACE WORKERS
Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050

MINORITY STATUS REPORTS
Audit of Minority Reporting System. E00506-032

MINORITY STUDENTS
Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students. E00506-081

MINORITY-MAJORITY RELATIONSHIPS
Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974 YCC program. E00700-005

MINORS
Forward Plan for Health: FY 1977-81. E00500-008

MISBRANDED FOODS
Excluding Substandard Canned Pineapple from the United States. E00585-012
Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public. E00585-014

MISSISSIPPI
Cost Benefit of Utilization Review Techniques in Medicaid. E00509-014
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants. E00507-020

MISSOURI
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants. E00507-020
Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. E01193-001
Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana. E00692-005
Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare. E00590-004
Yeatman Baseline Survey. E00507-011

MITRE CORPORATION
Discrete Address Beacon System/Air Traffic Control Interface Configuration Document. E01102-015

MOBILE HOMES
Mobile Home Courts--Application Processing. E00600-097
Mobile Home Parks Underwriting Procedures. E00600-076
Title I Property Improvement and Mobile Home Loans. E00600-125

MOBILE OFFSHORE RIGS
International Inventory and Forecast of Offshore Petroleum and Mineral Activity. E01101-003

MOBILE-HOME LOAN GUARANTY PROGRAM
Mobile-Home Loan Guaranty Program. E06596-002

MOCK JOB INTERVIEWS**SUBJECT INDEX****MOCK JOB INTERVIEWS**

A Study of Placement in PA/VR (Public Assistance Vocational Rehabilitation). E00509-002

MODEL CITIES

Model Cities Program. E00600-061
Opportunities for Improving the Model Cities Program in San Antonio, Texas. E00692-004
Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana. E00692-005
Procedures and Practices for Providing Technical Assistance to Cities Participating in the Model Cities Program. E00692-001
Review of the Model Cities Program in Dayton, Ohio. E00692-025
Review of the Model Cities Program in East St. Louis, Illinois. E00692-021
Review of the Model Cities Program in Lansing, Michigan. E00692-024
Review of the San Jose, California, Model Cities Program. E00692-019
Review of Certain Allegations Concerning the Model Cities Planning Council in Dayton, Ohio. E00692-028
Review of Certain Aspects of the Grand Rapids, Michigan, Model Cities Program. E00692-014
Review of Certain Aspects of the Model Cities Program in Newark, New Jersey. E00692-008
Review of Model Cities Program--Housing and Economic Development Operating Agencies. E00600-113
Selected Aspects of the Boston, Massachusetts Model Cities Program. E00692-003
The Federal Grant Process: an analysis of the use of supplemental and categorical funds in the model cities program. E00602-034
The Model Cities Program: a comparative analysis of city response patterns and their relation to future urban policy. E00602-040
The Model Cities Program: a comparative analysis of participating cities, process, product, performance and prediction. E00602-041
The Model Cities Program: ten model cities--a comparative analysis of second round planning years. E00602-042
Use of the CDA Sign-off on Model Cities for Planning and Coordinating HEW Programs. E00602-033

MODERATE-INCOME HOUSING

Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs. E00693-003

MODULAR SCHEDULING

The USDA Study on High School Participation in Child Nutrition Programs. E00207-005

MODULATION TECHNIQUES

Multipath Channel Simulation and Modern Evaluation Program. E01102-044

MONEY MANAGEMENT

Money Management Study. E03700-004

MONTAGNARD LAND REFORM

Progress and Problems of U.S. Assistance for Land Reform in Vietnam. E01097-009

MONTANA

Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana. E00507-050

MORALITY

Design for a National Evaluation of Social Competence in Head Start Children. E00512-002
Evaluation of Emergency Medical Service Systems Impact. E00507-073
Evaluation of Poison Control System: Vol. I, Project Results, Averages. Models for Performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat. E00505-010
Forward Plan for Health: FY 1977-81. E00500-008

MORBIDITY

Effectiveness Criteria in Venereal Disease Control Programs. E00504-020
Evaluation of Emergency Medical Service Systems Impact. E00507-073
Evaluation of Poison Control System: Vol. I, Project Results, Averages. Models for Performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat. E00505-010

SUBJECT INDEX**MORTGAGES**

Forward Plan for Health: FY 1977-81.

E00500-008

MORGANTOWN, NORTH CAROLINA

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina.

E00503-032

MORTGAGE CLAIMS

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.

E00693-002

MORTGAGE COSTS

Mortgagees' Origination Costs.

E00600-075

MORTGAGE CREDIT

Assessment of Programs Assigned to Assistant Secretary for Housing Production and Mortgage Credit.

E00603-031

MORTGAGE DEFAULTS

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.

E00693-016

Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.

E00693-004

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.

E00693-002

MORTGAGE INSURANCE

Mortgage Insurance for Nursing Homes--Section 232.

E00600-124

Multi-Family Mortgage Insurance Programs--Feasibility Processing to Final Endorsement.

E00600-126

Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.

E00693-004

Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.

E00693-003

The Changing Role of FHA Mortgage Insurance in the Mortgage Market and the Secondary Market.

E00600-025

MORTGAGE INSURANCE PREMIUMS

Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.

E00693-003

MORTGAGE LOANS

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.

E00693-016

Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.

E00693-004

Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.

E00693-005

MORTGAGE-BACKED SECURITIES

Government National Mortgage Association (GNMA) Mortgage-Backed Securities.

E00600-121

MORTGAGES

Certain Aspects of Internal Audit Coverage Need Improvement at Federal National Mortgage Association's Regional Offices.

E00698-001

Department of Housing and Urban Development Should Reduce Handling Fees Paid to Mortgagees Servicing Subsidized Mortgages.

E00693-023

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.

E00693-016

Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.

E00693-004

Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.

E00693-002

Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.

E00693-003

Processes for Approving and Monitoring Nonsupervised Mortgages.

E00693-011

Summary Information on HUD-Held Multifamily Mortgages.

E00693-020

MOTHERS**SUBJECT INDEX****MOTHERS**

Study of Programs for Unwed Teenage Mothers. E00507-031
Young Widows and Their Children: A Comparative Report. E00510-011

MOTOR VEHICLE INSPECTION

The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles. E01197-005

MOTOR VEHICLE SAFETY

Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs. E01197-004
Evaluation of Motor Vehicle Safety Standards. E01107-004
For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. E01197-001
Improvements Needed in Planning and Using Motor Vehicle Safety Research. E01197-003
Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards. E01197-002

MOTOR VEHICLE TIRES

Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires. E01197-006

MOTORISTS

Alcohol Safety Action Project: evaluation of operations. E01107-002

MULTIFAMILY APPLICATION PROCESSING

Multi-Family Application Processing. E00600-096

MULTIFAMILY HOUSING

Multi-Family Default Study. E00600-004

MULTIFAMILY MORTGAGE DEFAULTS

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016

MULTIFAMILY MORTGAGE INSURANCE

Multi-Family Mortgage Insurance Programs--Feasibility Processing to Final Endorsement. E00600-126

MULTIFAMILY MORTGAGES

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016
Summary Information on HUD-Held Multifamily Mortgages. E00693-020

MULTIFAMILY PROJECTS

Loan Management Functions on Multifamily Projects. E00600-135

MULTIFAMILY PROPERTIES

Multifamily Acquired Properties Rehabilitation/Disposition. E00600-134

MULTIPATH PHENOMENA

Multipath Channel Simulation and Modern Evaluation Program. E01102-044

MULTIPLE CREWING CONCEPTS

Cutter Issue Study. E01101-009

MULTIPLE REGRESSION

Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments. E00507-055

MUNICIPAL GOVERNMENTS

Revenue Sharing: Its Use By and Impact on State Governments. E01280-007

MUNICIPAL INCINERATORS

Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources. E02390-005

SUBJECT INDEX**NATIONAL ACADEMY OF SCIENCE****MUNICIPAL POLICE**

An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department.

E00811-021**MUNICIPAL SEWAGE**

Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do.

E02390-007**MUNICIPAL WASTE TREATMENT**

Cleaning Up the Great Lakes: United States and Canada Are Making Progress in Controlling Pollution from Cities and Towns.

E02390-011**MUNICIPAL WASTEWATER TREATMENT**

Review of the Municipal Waste Water Treatment Works Program.

E02300-004**MUNICIPALITIES**

Audit of Intergovernmental Personnel Act Grant to the Kansas League of Municipalities.

E05914-007

Great Lakes Pilotage Review.

E01101-012

Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow. Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.

E02390-009**E02390-003****MUSSELS**

Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters).

E00585-005**MYOCARDIAL INFRACTION**

Impact of Regional Medical Programs on Coronary Care in New England.

E00506-034**NAAP**

American Indian Alcoholism Evaluation--Monitoring--Design Project.

E00503-044**NACELLES**

Quiet Nacelle Retrofit Feasibility Program: compatibility analysis and design study. Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing.

E01102-002**E01102-001****NARCOTIC ADDICTS**

A Follow-up of NARA (Narcotic Addict Rehabilitation Act) Patients Who Drop Out Before Completion.

E00503-040

Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C.

E00599-003**NARCOTICS**

Difficulties in Immobilizing Major Narcotics Traffickers.

E00890-003

Efforts to Prevent Heroin from Illicitly Reaching the U.S.

E00880-001

Efforts to Stop Narcotics and Dangerous Drugs Coming from and through Mexico and Central America.

E00890-006

Heroin Being Smuggled into New York City Successfully.

E00890-002**NARS A-1 STUDY**

NARS A-1 General Systems Study Report: Control and Description of Records in the National Archives.

E03700-005**NASHVILLE, TENNESSEE**

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project.

E00503-035**NATALITY**

Prepare Natality Data and Evaluate OCR Hardware and Techniques; final report.

E00506-040**NATIONAL ACADEMY OF SCIENCE**

National Academy of Science Critical Review of the Use of Social Security Data to Estimate the Effect of Manpower Programs.

E00900-006

NATIONAL ALLIANCE OF BUSINESSMEN**SUBJECT INDEX****NATIONAL ALLIANCE OF BUSINESSMEN**

An Econometric Analysis of the Costs of Selected Manpower Programs: final report. E00900-032

NATIONAL CAPITAL HOUSING AUTHORITY

Observations on Operation of National Capital Housing Authority. E00694-003

NATIONAL CEMETERY SYSTEM

Establishment of National Cemetery System in the Veterans Administration. E06504-006
Organization: Placement and Functions of the National Cemetery System in the VA. E06504-004

NATIONAL CENTERS**Antibiotic Analysis**

Evaluation Study of the Antibiotic Certification Program; final report. E00505-013

Health Services Research

Installing and Validating a Data Collection and Analysis System for the Uniform Health Manpower Evaluation. E00506-045

Health Statistics

Health Statistics Today and Tomorrow. E00506-038

NATIONAL COMMISSIONS**State Workmen's Compensation**

Workmen's Compensation Under Security. E00510-022

NATIONAL FORESTS

Allowable Harvest Determinations on National Forest Lands. E00299-031

Managing Recreation Facilities at Bureau of Reclamation Reservoirs. E00780-006

More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand. E00299-032

NATIONAL HEALTH CARE

Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services. E00507-023

NATIONAL HEALTH INSURANCE

An Analysis of Medicare Administrative Costs. E00510-023

Comparison of Cost and Benefit Incidence on Government Medical Care Programs, FY 1966 and 1969. E00510-038

National Health Insurance Proposals as of July 1974. E00510-033

NATIONAL HEALTH SERVICES

Drug Programs Under British National Health Services. E00510-146

NATIONAL HEALTH SYSTEMS

National Health Systems in Eight Countries. E00510-034

NATIONAL INDIAN HEALTH BOARD

National Indian Health Board: Consumer Evaluation of Indian Health Services. E00507-030

NATIONAL LIBRARY OF MEDICINE

An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that Might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III. E00508-032

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine. E00508-053

NATIONAL MEDICAL AUDIO VISUAL CENTER

Evaluation of User Utilization of the National Medical Audio Visual Center Media Distribution Program. E00508-046

NATIONAL MOTOR VEHICLE SAFETY ADVISORY COUNCIL

Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs. E01197-004

SUBJECT INDEX**NEBRASKA****NATIONAL SAFETY COUNCIL**

Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards.

E01197-002

NATIONAL SCIENCE FOUNDATION

Achievements, Cost, and Administration of the Ocean Sediment Coring Program.

E04690-001

NATIONAL STANDARDS

Early Experience Under the Supplemental Security Income Program.

E00510-027

NATIONAL SURVEY OF FAMILY GROWTH

Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972.

E00506-039

NATIONAL TECHNICAL INFORMATION SERVICE

Report on Audit of Matters of Particular Interest to the Department's Office of Budget and Program Analysis Concerning the National Technical Information Service.

E00300-014

Report on Audit of Selected Areas of the National Technical Information Service.

E00300-013

NATIONAL TRANSPORTATION SAFETY BOARD

Improved Procedures Needed for Implementing Safety Recommendations.

E01192-004

NATIONAL WILDLIFE REFUGE

Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.

E00709-006

NATIVE ALASKAN AMERICANS

A Study of Maternal and Infant Care of Indian and Native Alaskan Americans.

E00507-087

NATIVE AMERICAN ALCOHOLISM PROGRAM

American Indian Alcoholism Evaluation--Monitoring--Design Project.

E00503-044

NATURAL DISASTERS

Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims.

E05590-001

NATURAL GAS

Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area.

E06086-001

NATURAL RESOURCES

Youth Conservation Corps Pilot Program, 1973.

E00700-002

NAVAL HOSPITAL

Information Concerning the Potential Transfer of the St. Albans Naval Hospital to the Veterans Administration.

E06595-010

NAVIGATION

A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels.

E01101-001

Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions.

E01101-020

Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.

E01192-003

Evaluation of a Very Low Frequency Navigation System.

E01102-051

Radio Aids to Navigation for the U.S. Coastal Confluence Region.

E01101-011

NAVIGATION AIDS

Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.

E01192-003

NCHS

Hospital Discharge Evaluation Project.

E00506-036

NEBRASKA

A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.

E00503-020

Managing Recreation Facilities at Bureau of Reclamation Reservoirs.

E00780-006

NEEDY**SUBJECT INDEX****NEEDY**

The Supplemental Security Income Program for the Aged, Blind, and Disabled. E00510-039

NEEDY CHILDREN

An Appraisal of the Special Summer Food Service Program for Children. E00299-024
Presidential Objective on Child Nutrition Programs. E00207-008
Progress and Problems in Achieving Objectives of School Lunch Program. E00299-021
Who's Picking Up the Check for Pennsylvania's School Lunches? E00207-007

NEEDY RURAL COMMUNITIES

FmHA Water and Waste Disposal Program Assistance to Small Rural Communities. E00200-005

NEGLECTED CHILDREN

Deinstitutionalization--Dependent and Neglected Children. E00509-032

NEGLECTED POPULATIONS

Strategies for Change in Community Mental Health: New Careers. E00503-039

NEIGHBORHOOD

Opportunities for Improving the Model Cities Program in San Antonio, Texas. E00692-004
Residential Neighborhood Crime Control. E00811-024
Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center. E00507-012

NEIGHBORHOOD CHARACTERISTICS

Multi-Family Default Study. E00600-004

NEIGHBORHOOD CONDITIONS

Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana. E00692-005

NEIGHBORHOOD CRIME CONTROL

Residential Neighborhood Crime Control. E00811-024

NEIGHBORHOOD DEVELOPMENT PROGRAM

Neighborhood Development Program. E00600-062
Selected Aspects of the Neighborhood Development Program. E00692-006

NEIGHBORHOOD ENVIRONMENTAL EVALUATION

An Analysis With Improvement Postulation for the Neighborhood Environmental Evaluation and Decision System (NEEDS Validation Study). E00504-010

NEIGHBORHOOD FACILITIES PROGRAM

Neighborhood Facilities Program. E00600-070

NEIGHBORHOOD HEALTH CENTERS

Implementation of a Policy of Self-Support by Neighborhood Health Centers. E00587-001
Toward the Development of a Casebook on the Decision-Making Process in Neighborhood Health Centers. E00507-081
Yeatman Baseline Survey. E00507-011

NEIGHBORHOOD YOUTH

Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report. E00505-014

NEIGHBORHOOD YOUTH CORPS

An Econometric Analysis of the Costs of Selected Manpower Programs: final report. E00900-032
Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems. E00992-007
Neighborhood Youth Corps and Emergency Employment Programs in Artesia, New Mexico. E00992-014
Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas. E00992-016
Review of Effectiveness and Management of the Neighborhood Youth Corps In-School Program for FY 1971 at Three Locations. E00992-004
The Neighborhood Youth Corps: An Impact Evaluation. E00900-018

SUBJECT INDEX**NONAGRICULTURAL INDUSTRIES**

NEPAL
United States Interests and Activities in Nepal. E01097-005

NETHERLANDS
National Health Systems in Eight Countries. E00510-034

NEVADA
Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada. E00714-001

NEW ORLEANS
Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana. E00692-005

NEW YORK
Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970. E00510-019
Urban Renewal Land Disposition Studies: Kingston, N.Y. E00602-043

NEWARK
Newark High Impact Evaluation Unit, Office of the Mayor. E00811-047
Review of Certain Aspects of the Model Cities Program in Newark, New Jersey. E00692-008

NICARAGUA
Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama. E01017-004
Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. ID-75-9. E01097-024

NICOTINE
Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. E00504-003

NIGERIA
A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management. E01017-005

NIGHTTIME CRASHES
Alcohol Safety Action Projects: evaluation of operations, 1973. E01107-003

NIGHTTIME DRIVERS
Alcohol Safety Action Project: evaluation of operations. E01107-002
Assessment of Selected State and Community Programs. E01107-001

NISQUALLY NATIONAL WILDLIFE REFUGE
Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974. E00709-006

NITROGEN
Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System. E01102-009
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. E01102-004

NITROGEN FERTILIZER
Evaluation of Experience Under Improved Management Practice for Corn. E00211-003

NOISE
Aircraft Sound Description System Application Procedures. E01102-046
Aircraft Taxiing Noise Measurement. E01102-005
Airport Opposition: Examination and Program Development. E01102-031
Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards. E00300-007

NONAGRICULTURAL INDUSTRIES
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-002
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-003

NONCONSTRUCTION**SUBJECT INDEX****NONCONSTRUCTION**

The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved. E00994-002

NONPROFIT ORGANIZATIONS

Cost and Use of Personnel in the Agency for International Development. E01097-021

Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems. E00992-007

Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia. E00587-002

NONSUBSIDIZED JOBS

Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971. E00992-009

The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements. E00992-015

NONSUPERVISED MORTGAGES

Processes for Approving and Monitoring Nonsupervised Mortgages. E00693-011

NONSUPERVISORY EMPLOYEES

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-002

NONSUPERVISORY WORKFORCE

Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act. E00904-010

NONTARIFF BARRIERS TO TRADE

Need for Better Identification and Analysis of Nontariff Barriers to Trade. E00399-001

NORTH CAROLINA

An Evaluation of the Witchweed Program. E00205-001

Audit of Intergovernmental Personnel Act Grants to the State of North Carolina. E05914-008

Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants. E00507-020

Effectiveness of Vocational Rehabilitation in Helping the Handicapped. E00591-004

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina. E00503-032

Urban Renewal Land Disposition Studies: Durham, NC. E00602-056

NORTH DAKOTA

A comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209

Review of Wetlands Acquisition Program. E00709-001

NUCLEAR MATERIAL

Improvements Needed in the Program for the Protection of Special Nuclear Material. E06290-004

Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems. E06290-005

NURSE TRAINEESHIP

Evaluation of the Primary Objectives of the Long-term Professional Nurse Traineeship and Special Nurse Fellowship Programs. E00506-052

NURSES

Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA. E00506-061

Personnel Distribution in a City Health Department. E00507-048

The Geographic Distribution of Nurses and Public Policy. E00506-088

NURSING CARE

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans. E06595-006

Development of a System to Monitor Quality Nursing Care. E00506-084

Increased Compliance Needed with Nursing Home Health and Sanitary Standards. E00589-011

Study of Cost Differentials Between Skilled Nursing Facilities and Intermediate Care Facilities. E00509-006

SUBJECT INDEX**NUTRITION****NURSING EDUCATION**

Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA.
Evaluation of the Application of Instructional Technology in Selected Basic Nursing Education Programs.

E00506-061

E00506-064

NURSING FACILITIES

Increased Compliance Needed with Nursing Home Health and Sanitary Standards.
Need to More Consistently Reimburse Health Facilities Under Medicare and Medicaid.
Study of Cost Differentials Between Skilled Nursing Facilities and Intermediate Care Facilities.

E00589-011

E00590-006

E00509-006

NURSING HOMES

An Analysis of Actual and Potential Uses of Nursing Homes.
Methods for Estimating Hospital and Nursing Home Bed Requirements in the San Francisco Area.
Mortgage Insurance for Nursing Homes--Section 232.
Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public.
Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel.
Program for Providing Nursing Home Care to Veterans in State-Operated Nursing Homes.
Study of Cost Differentials Between Skilled Nursing Facilities and Intermediate Care Facilities.
Survey of Title XIX Long-Term Care Facilities and Patients, volume I.
Yeatman Baseline Survey.

E00506-049

E06595-004

E00600-124

E00585-014

E00506-070

E06595-001

E00509-006

E00509-005

E00507-011

Health and Sanitary Standards

Increased Compliance Needed with Nursing Home Health and Sanitary Standards.

E00589-011

Medicare and Medicaid

Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements.

E00589-008

Ombudsmen

Nursing Home Ombudsmen; five case studies.

E00506-071

Patients

An Analysis of Actual and Potential Uses of Nursing Homes.
Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements.

E00506-049

E00589-008

Personnel

Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel.

E00506-070

Safety

Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements.

E00589-008

NUTRIENTS

Comparison of Type A Pattern and Nutrient Standard Approaches to School Food Service Menu Planning.
Food Distribution and Food Stamp Program Effects on Nutritional Achievement.
School Feeding Effectiveness.

E00207-012

E00207-002

E00207-004

NUTRITION

An Appraisal of the Special Summer Food Service Program for Children.
Assistance to Family Planning Programs in Southeast Asia.
Food for Peace: An Evaluation of P.L. 480--Title II.
Food Stamps and Nutrition.
Implementation of a Policy of Self-Support by Neighborhood Health Centers.
Longitudinal Study Design for Evaluation of the National Nutrition Program for Elderly.
Presidential Objective on Child Nutrition Programs.
Survey of Expanded Food and Nutrition Education Program.
The USDA Study on High School Participation in Child Nutrition Programs.
Toward a New Cognitive Effects Battery for Project Head Start.
Who's Picking Up the Check for Pennsylvania's School Lunches?

E00299-024

E01097-007

E00212-002

E00207-001

E00587-001

E00511-013

E00207-008

E00299-013

E00207-005

E00512-003

E00207-007

NUTRITIONAL ACHIEVEMENT**SUBJECT INDEX****NUTRITIONAL ACHIEVEMENT**

Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement. E00207-020
Food Distribution and Food Stamp Program Effects on Nutritional Achievement. E00207-002

NUTRITIONAL ASSISTANCE

Observations on Evaluation of the Special Supplemental Food Program. E00299-023

NUTRITIONAL EDUCATION

Food Distribution and Food Stamp Program Effects on Nutritional Achievement. E00207-002

NUTRITIONAL GOALS

Food for Peace: An Evaluation of P.L. 480--Title II. E00212-002

NUTS

Impact of USDA Programs Upon Rural Cooperatives. E00200-002

OBSOLESCENCE

Cutter Issue Study. E01101-009

OCCUPATIONAL BACKGROUND

Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63. E00510-024

OCCUPATIONAL CATEGORIES

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-003

OCCUPATIONAL DISTRIBUTIONS

Black-White Earnings and Employment: 1960-1970. E00900-027

OCCUPATIONAL ENVIRONMENTS

Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places. E00599-006

OCCUPATIONAL HEALTH STANDARDS

Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners. E00504-011

OCCUPATIONAL PROMOTION

The Challenge of Dual and Radical Theories of the Labor Market to Orthodox Theory. E00900-034

OCCUPATIONAL SAFETY

Administration of Small Business Loan Program Under the Occupational Safety and Health Act. E05590-004

Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970. E00995-007
E00900-036

Economic Incentives and Occupational Safety. E00504-009
Evaluation of the National Institute of Occupational Safety and Health Query and Answer Technical Information Service.

Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners. E00504-011

Evaluation of National Institute for Occupational Safety and Health Short Term Training Program. E00504-016

More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs. E00995-004
E00504-014

Organizational Evaluation and Information Needs Study.
Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973. E00504-008

Review of Occupational Safety and Health Activities of Federal Civilian Agencies. E00995-001

Review of Occupational Safety and Health Activities of Federal Civilian Agencies--GSA (2). E00995-003

Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department (2). E00995-002

Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois. E00995-006

SUBJECT INDEX**OHIO**

Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places. E00599-006

OCCUPATIONAL WAGES
Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data). E00906-002

OCCUPATIONS
Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions. E00902-017
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-002
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-004
Measuring the Effect of the Federal Government on the Change in the Labor Market Position of Black Male Workers Relative to White Male Workers: 1966 to 1970. E00900-010
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas. E00501-001

OCEAN BASINS
Achievements, Cost, and Administration of the Ocean Sediment Coring Program. E04690-001

OCEAN SEDIMENT CORING
Achievements, Cost, and Administration of the Ocean Sediment Coring Program. E04690-001

OCEANIC AND ATMOSPHERIC ADMINISTRATION
Report on Audit of the Marine Resources Monitoring, Assessment and Prediction Program, National Oceanic and Atmospheric Administration. E00300-001
Report on Audit of the National Sea Grant Program, National Oceanic and Atmospheric Administration. E00300-003
Report on Survey of the Fishery Products Inspection Service, National Oceanic and Atmospheric Administration. E00300-008

OCEANOGRAPHY
Achievements, Cost, and Administration of the Ocean Sediment Coring Program. E04690-001

OCEANS
Report of the Work Group on OCS Safety and Pollution Control, May 1973. E00712-005

OFFENDERS
Employment Programs for Public Offenders in the Federal Service. E05908-002
Evaluation of the Effects of Alternatives to Incarceration on Juvenile Offenders. E00811-012
Evaluation of Community-Based Programs for Adult Offenders. E00811-041
Federal Guidance Needed if Halfway Houses are to be a Viable Alternative to Prison. E00891-014
Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough. E00888-001

OFFSHORE AIRPORTS
New York Offshore Airport Feasibility Study. E01102-013

OFFSHORE GEOLOGIC DATA
Information on Certain Oil and Gas Industry Oversight Responsibilities. E00780-004

OFFSHORE PETROLEUM
First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. E00712-001
International Inventory and Forecast of Offshore Petroleum and Mineral Activity. E01101-003
Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. E00712-002

OFFSHORE SITES
Supertanker Environmental Study. E01101-007

OHIO
An Evaluative Study of Early and Periodic Screening, Diagnosis and Treatment Program in Ohio and Wisconsin. E00500-204

OHIO**SUBJECT INDEX**

Development of Model Interagency Strategy for Early Periodic Screening, Diagnosis and Treatment (EPSDT) Implementation, Monitoring and Evaluation. **E00500-203**

OIL ALLOCATION REGULATIONS

Problems of Independent Refiners and Gasoline Retailers. **E02990-003**

OIL AND GAS

Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf. **E00780-008**

Report of the Work Group on OCS Safety and Pollution Control, May 1973. **E00712-005**

Report of the Work Group on OCS Safety and Pollution Control, May 1973. **E00712-006**

Review of Royalty Accounting System for Onshore Oil and Gas Leases. **E00712-013**

Federal Leaseholds

Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf. **E00792-001**

Industries

Information on Certain Oil and Gas Industry Oversight Responsibilities. **E00780-004**

Outer Continental Shelf

First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. **E00712-001**

Report of the Work Group on OCS Safety and Pollution Control. **E00712-004**

Report of the Work Group on OCS Safety and Pollution Control, May 1973. **E00712-005**

Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. **E00712-002**

OIL SPILLS

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands. **E00780-001**

Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf. **E00792-001**

Supertanker Environmental Study. **E01101-007**

OKLAHOMA

Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy. **E00209-006**

Audit of Intergovernmental Personnel Act Grant to the State of Oklahoma. **E05914-004**

Effectiveness of Vocational Rehabilitation in Helping the Handicapped. **E00591-004**

Urban Renewal Land Disposition Studies: Tulsa, Oklahoma. **E00602-059**

OKLAHOMA CITY

Final Report of the Evaluation of the Oklahoma City Area Indian Health Services Offices. **E00507-088**

OLD AGE

History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971. **E00510-051**

The Supplemental Security Income Program for the Aged, Blind, and Disabled. **E00510-039**

OLD AGE PENSIONERS

The Retirement Test: An International Study. **E00510-025**

OLD AGE RETIREMENT

Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits. **E00590-008**

OLDER AMERICANS

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973. **E00904-006**

Assessing the Status and Needs of Older Americans; survey utilization manual. **E00511-010**

Assets on the Threshold of Retirement. **E00510-053**

Black-White Earnings and Employment: 1960-1970. **E00900-027**

Evaluation of MA Services and Supportive Services to American Indians on Reservations. **E00902-033**

Family Structure in Pre-Retirement Years. **E00510-049**

Interviewer Manual for the Older Americans Survey. **E00511-009**

SUBJECT INDEX**OUT-OF-HOSPITAL MEDICAL CARE****OMB CIRCULARS**

A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717. **E03700-002**
Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs. **E00602-032**

OMB GUIDELINES

Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. **E01193-001**

ON-THE-JOB TRAINING

Assessment of the Work Incentive Program in Washington State. **E00992-020**
From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan. **E00992-021**
Opportunities for Improving AEC's Administration of Agreements with States Regulating Users of Radioactive Materials. **E06290-002**
Opportunity to Increase Effectiveness of JOBS-Type Programs to be Funded Under CETA (Comprehensive Employment and Training Act). **E00992-022**
Problems in the Work Incentive Program in Los Angeles and San Diego. **E00992-027**
Slow Implementation of the Work Incentive Program in New York City. **E00992-028**
Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia. **E00992-018**
The Program Services and Support System of the Rehabilitation Services Administration. **E00509-003**

ONSHORE LEASES

Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey. **E00712-008**
Onshore Lease Management Program Study for the U.S. Geological Survey. **E00712-007**

ONSHORE OIL AND GAS

Review of Royalty Accounting System for Onshore Oil and Gas Leases. **E00712-013**

OPIUM

Issues Concerning Supply and Demand for Licit Opium in U.S. as of July 1, 1974. **E00890-005**
Letter Report Issues Concerning New Legitimate Sources for Opium, Technology Required to Increase Production from Present Sources, and the State of Research with respect to Synthetic Alternatives. **E00880-002**
Rescission of the Opium Poppy Growing Ban by Turkey. **E01097-022**

OPTICAL CHARACTER RECOGNITION

Cost Benefit Study: Optical Character Recognition Equipment and Proposed System. **E06504-012**

OPTOMETRY

Implementation of a Policy of Self-Support by Neighborhood Health Centers. **E00587-001**

OREGON

Evaluation of the Planning and Coordination of Manpower Programs/Phase I. **E00500-212**
Grants to Improve Bus Transit Systems--Progress and Problems. **E01195-001**
Quality of Day Care in Region X Before and After School Care. Phase I. **E00500-211**

ORPHANAGES

Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public. **E00585-014**

ORSHANKY POVERTY DATA

Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas. **E00501-001**

ORTHOPHOTOMAPPING

Research and Development in Topographic Mapping. **E00712-010**

OTTIS MEDIA

Progress and Problems in Providing Health Services to Indians. **E00587-003**

OUT-OF-HOSPITAL MEDICAL CARE

Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969. **E00510-048**

OUT-OF-POCKET PAYMENTS**SUBJECT INDEX****OUT-OF-POCKET PAYMENTS**

National Health Expenditures, 1929-73.

E00510-043**OUT-OF-SCHOOL ADULTS**

Evaluation of the Community Based Right to Read Program.

E00501-011**OUT-OF-SCHOOL PROGRAM**

Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas.

E00992-016**OUTDOOR RECREATION**

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants.

E00795-001**OUTER CONTINENTAL SHELF**

First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-001

Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf.

E00792-001

Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf.

E00780-008

Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-002

Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-003**OUTPATIENT ABSTINENCE PROGRAMS**

Staffing and Efficiency of Drug Treatment Programs.

E00506-074**OUTPATIENT CLINICS**

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans.

E06595-006

Outpatient Sub-Clinic in Massachusetts.

E06504-014

Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.

E00587-002**OUTPATIENT DATA**

Evaluation of Services Provided by Federal Health Program Service Facilities.

E00507-034**OUTPATIENT FACILITIES**

Outpatient Health Care in Inner Cities: Its Users, Services, and Problems.

E00589-010**OUTPATIENT HEALTH CARE**

Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.

E00503-052

Outpatient Health Care in Inner Cities: Its Users, Services, and Problems.

E00589-010**OUTPATIENT REPORTING PROCEDURES**

Development of CVS Management Information System.

E01101-005**OUTPATIENT SERVICES**

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans.

E06595-006**OUTREACH PROGRAMS**

The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers.

E00503-030**OVERSEAS ASSIGNMENTS**

Efforts to Prevent Heroin from Illicitly Reaching the U.S.

E00880-001**OVERTIME COSTS**

Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health.

E00500-102

SUBJECT INDEX**PARAPROFESSIONALS****OVERTIME COVERAGE**

Private Household Workers: data pertinent to an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974.

E00904-001**OVERTIME PREMIUM**

Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.

E00904-010**OVERTIME WAGE PROVISIONS**

Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters.

E00994-003**OVERTIME WORK**

A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports.

E00889-001

Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health.

E00500-102**OXYGEN**

Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System.

E01102-009**PACEMAKERS**

Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Recalled by the General Electric Company.

E00585-011**PACIFIC NORTHWEST**

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement.

E00780-003**PACKAGING**

Implementation of the Poison Prevention Packaging Act of 1970.
Need for Federal Agencies to Improve Solid Waste Management Practices.

**E30400-101
E02390-001****PAKISTAN**

Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis.

E01097-001**PALO ALTO, CALIFORNIA**

Department of Medicine and Surgery Survey Report: VA Hospital, Palo Alto, California.

E06504-029**PANAMA**

Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama.

E01017-004**PAPERWORK**

Draft Report to Steering Committee on Commerce Legislative Affairs Study.
Planned Variations: first year survey.
Ways to Improve Records Management Practices in the Federal Government.

**E00300-021
E00602-036
E03790-002****PARADENTAL PERSONNEL**

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans.

E06595-006**PARAMEDICAL PERSONNEL**

Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module.

E00506-075**PARAMEDICAL TRAINING**

Evaluation of Legal Barriers to Emergency Medical Systems Implementation.

E00507-053**PARAPROFESSIONALS**

Strategies for Change in Community Mental Health: New Careers.

E00503-039

PARAPROFESSIONALS**SUBJECT INDEX**

The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health. E00500-068

PARENT-CHILD CENTER

Overview of the Parent-Child Center Management Information System. E00512-004

PARENTHOOD

A Comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209

PARENTS

A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates. E00501-007

Assessment of Child Mental Health Needs and Programs. E00503-062

Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters. E00994-003

Family Structure in Pre-Retirement Years. E00510-049

Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas. E00501-001

Some Problems in Contracting for Federally Assisted Child-Care Services. E00589-003

PAROLE SYSTEM

Proposals and Actions for Improving the Federal Parole System. E00888-002

PARTNERSHIPS

Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects. E00796-001

PASSBY NOISE MEASUREMENTS

Aircraft Taxiing Noise Measurement. E01102-005

PASSENGER HEAD TAX

The Airport Passenger Head Tax: analysis of its potential impact. E01102-056

PATENT OFFICE

Strengthening the Role of the U.S. Patent Office. E00300-028

Survey of Bureau Management by Objectives Programs. E00300-020

PATENTS

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management. E00780-005

Strengthening the Role of the U.S. Patent Office. E00300-028

PATHOLOGISTS

Manpower Resources and Needs in Speech Pathology/Audiology. E00508-045

PATIENT CARE

Evaluation of the Indian Health Service Unmet Needs Estimating Methodology. E00507-065

Follow-up Survey of National Institute of Dental Research Graduate Trainees and Postdoctoral Fellows. E00508-030

Hospital Organization: Effectiveness of Patient Care. E00510-143

Increased Compliance Needed with Nursing Home Health and Sanitary Standards. E00589-011

Study of Health Facilities Construction Cost. E00586-002

PATIENT COST SHARING

An Economic Analysis of HSA Program Potential FY 1976 to FY 1981. E00507-075

Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP). E00507-060

PATIENT DATA BASE

Regional Patient Data Base. E06504-020

PAVEMENTS

Aircraft-Pavement Compatibility Study. E01102-011

Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic. E01102-010

SUBJECT INDEX**PERSONALITY DISORDERS****PAYROLL DATA**

Sheltered Workshops Under the Fair Labor Standards Act. E00904-012

PAYROLL TAX

Reducing Social Security Contributions for Low-Income Workers: Issues and Analysis. E00510-239
The Predicted Impact of the Black Lung Benefits Program on the Coal Industry. E00900-023

PEANUTS

Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program. E00299-008

PEAS

An Evaluation of Insurance Experience. E00211-001
Evaluation of Experience Under Improved Management Practice for Corn. E00211-003

PENNSYLVANIA

Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. E01193-001

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania. E00503-034

Federal Unemployment Benefits Due to Agnes Disaster in Pennsylvania. E00992-026

Federally Supported Attempts to Solve State and Local Court Problems: More Needs to be Done. E00891-007

Health Benefits Program Audit Report: Aetna of Williamsport, Pennsylvania. E05909-001

Information on Federal Disaster Relief Programs. E00590-002

Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities. E00586-003

On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies. E00506-087

Problems in Administering Two Eligibility Aspects in the Aid to Families with Dependent Children Program. E00589-002

The Quality Control System in Welfare: Its Implementation in Pennsylvania. E00589-001

Who's Picking Up the Check for Pennsylvania's School Lunches? E00207-007

PENSION PLANS

Conversions to Supplemental Security Income from State Assistance: A Program Records Study. E00510-008

Pension Reform Legislation of 1974. E00510-018

The Retirement Test: An International Study. E00510-025

PENSIONS

Cost Analysis: Present Compensation, Pension and Education Programs. E06504-008

Finnish and Swedish Pension Re-Insurance Programs. E00510-144

Major Social Security Issues: Japan, 1972. E00510-059

Pension Reform Legislation of 1974. E00510-018

Veterans Assistance Program in the Philippines Should Be Improved. E06590-003

PEP

An Evaluation of the Economic Impact Project of the Public Employment Program. E00902-005

An Impact Evaluation of the Public Employment Program. E00900-002

Evaluation of the Public Employment Program for Reservation Indians. E00902-006

Longitudinal Evaluation of PEP (Public Employment Program) and Validation of the PEP Data Bank. E00902-042

Survey of Public Employment Terminations. E00902-018

PERFORMANCE MEASURES

Design of Performance Impact Measures. E05507-004

Survey of Bureau Management by Objectives Programs. E00300-020

WIN II Administrative Impact Study. E00509-010

PERSONAL HEALTH CARE

Age Differences in Health Care Spending, Fiscal Year 1974. E00510-009

National Health Expenditures, 1929-1974. E00510-015

PERSONALITY DISORDERS

Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area. E00503-018

PERSONALITY TRAITS**SUBJECT INDEX****PERSONALITY TRAITS**

A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates.

E01101-013

PERSONALIZED INSURANCE

Analysis of Individual Underwriting Progress and Problems.

E00211-002

PERSONNEL MANAGEMENT

Agencies' Personnel Management Can be Enhanced by Improving the Evaluation Process.

E05990-008

Survey of the Federal Personnel Management Information System.

E05990-014

PESTICIDES

Aspects of the Beekeeper Indemnity Payment Program.

E00299-006

Citrus Blackfly Program Evaluation.

E00205-003

Crop Insurance and Information Services to Control Use of Pesticides.

E02305-100

Development of a Contingency Plan for Certification of Pesticide Applications.

E02300-009

Farm Programs, Pesticide Use, and Social Costs.

E00209-004

Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.

E02390-004

Pesticides: Action Needed to Protect the Consumer from Defective Products.

E02390-008

Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered.

E00585-010

PESTS

APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.

E00205-004

Citrus Blackfly Program Evaluation.

E00205-003

Crop Insurance and Information Services to Control Use of Pesticides.

E02305-100

Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.

E00216-003

The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.

E00205-005

PETROLEUM

First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-001

International Inventory and Forecast of Offshore Petroleum and Mineral Activity.

E01101-003

Problems in the Federal Energy Office's Implementation of Emergency Petroleum

Allocation Programs at Regional and State Levels.

E02990-001

Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-002

Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-003

PETROLEUM COMPANIES

International Inventory and Forecast of Offshore Petroleum and Mineral Activity.

E01101-003

PETROLEUM PRODUCTS

Problem in the Federal Energy Administration's Compliance and Enforcement Effort.

E02990-002

Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.

E01097-010

PHARMACEUTICAL BENEFITS

Development of Alternatives for an Evaluation of the Costs and Effectiveness of Inclusion of Mental, Dental, and Pharmaceutical Benefits in Health Maintenance or Related Organizations.

E00507-059

PHARMACIES

Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services.

E00507-023

PHARMACISTS

Implementation of the Poison Prevention Packaging Act of 1970.

E30400-101

PHILADELPHIA

Decision-Making and Information Utilization in Three Public Health Service Regional Offices: final report.

E00500-002

SUBJECT INDEX**PHYSICIANS**

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania.	E00503-034
Rat Control Evaluation Project; Philadelphia Household Interview and Environmental Survey.	E00504-012
Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas.	E00992-016
PHILANTHROPY	
Age Differences in Health Care Spending, Fiscal Year 1974.	E00510-009
PHILIPPINES	
Assistance to Family Planning Programs in Southeast Asia.	E01097-007
Veterans Assistance Program in the Philippines Should Be Improved.	E06590-003
PHILLIPS RELATIONSHIP	
Theories of Search in a Labor Market.	E00900-022
PHOENIX, ARIZONA	
The Manner in Which Medicare Reimbursement is Made to a Laboratory Facility: Phoenix, Arizona.	E00590-007
PHOTOGRAMMETRY	
Research and Development in Topographic Mapping.	E00712-010
PHOTOMETRIC MEASUREMENTS	
Evaluation of an Experimental Elevated High-Intensity Runway Edge Light.	E01102-049
PHOTOTHEODOLITES	
Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique.	E01102-003
PHYSICAL HANDICAPS	
Employment Opportunities in the Federal Government for the Physically Handicapped.	E05990-007
PHYSICAL MOBILITY	
The Disabled Widow.	E00510-016
PHYSICAL SECURITY FUNCTIONS	
A Study of the U.S. Coast Guard Intelligence and Security Functions.	E01101-017
PHYSICIANS	
An Investigation of Consumers' Perceptions of Adverse Reactions to Cosmetic Products; final report.	E00505-012
Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments.	E00507-055
Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs.	E00585-007
Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California.	E00500-115
Better Use Should be Made of Physicians and Dentists in Health Centers.	E00587-004
Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module.	E00506-075
Controls on Use of Psychotherapeutic Drugs and Improved Psychiatrist Staffing Are Needed in Veterans Administration Hospitals.	E06595-022
Cost Benefit of Utilization Review Techniques in Medicaid.	E00509-014
Evaluation of the Impact of Physician Extender Algorithms on Productivity.	E00506-089
Extending Effective Date of the Food and Drug Administration's Diagnostic X-Ray Equipment Standard.	E00585-009
FY 74 Evaluation Plan, Bureau of Health Manpower Education.	E00506-060
Health Start Final Report of the Evaluation of the Second Year Program.	E00512-008
Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969.	E00510-048
Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program.	E00589-007
Increased Compliance Needed with Nursing Home Health and Sanitary Standards.	E00589-011
Information on the Federal Program for Training Physician's Assistants.	E05990-001

PHYSICIANS**SUBJECT INDEX**

Information on Professional Fees and Other Compensation Paid for Services of Selected Physicians Employed at the Veterans Administration Hospital in Miami, Florida.	E06595-007
More Needs to be Done to Assure that Physicians' Services--Paid for by Medicare and Medicaid--Are Necessary.	E00590-001
National Evaluation of Mid-Level Health Workers--Task Profile Analysis Medex, Primex, and Preceptors.	E00506-065
Outpatient Health Care in Inner Cities: Its Users, Services, and Problems.	E00589-010
Personnel Distribution in a City Health Department.	E00507-048
Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education.	E00586-001
Progress and Problems in Training and Use of Assistants to Primary Care Physicians.	E00586-007
Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health.	E00500-102
Some Aspects of Medicare Experience with Group-Practice Prepayment Plans.	E00510-013
Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare.	E00590-004
Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insurance Impact on HSA Activities.	E00507-076
PHYSICS	
Science Development: an evaluation study.	E04600-002
PHYSIOLOGY	
Evaluation of Linkage Between Research Publications and NIH Funding.	E00508-049
PICNICING	
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.	E00216-001
Youth Conservation Corps-Pilot Program 1973.	E00216-004
PIECE COUNTY, WASHINGTON	
Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.	E00709-006
PILOT CITIES	
National Evaluation of the LEAA Pilot Cities.	E00811-040
Pilot Cities Program: Phaseout Needed Due to Limited National Benefits.	E00891-012
PILOT LICENSES	
Great Lakes Pilotage Review.	E01101-012
PILOTS	
Great Lakes Pilotage Review.	E01101-012
PINAL COUNTY, ARIZONA	
Cotton Evaluation of Coverage Reductions and Rate Increases.	E00211-004
PINEAPPLE	
Excluding Substandard Canned Pineapple from the United States.	E00585-012
PIPELINES	
Supertanker Environmental Study.	E01101-007
Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-003
PITUITARY (AGENCY)	
Evaluation of the National Pituitary Agency.	E00508-051
PLACEMENT	
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-002
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-003
Evaluation of Graduate Training Programs in Health Planning.	E00506-047
Improving Services to Handicapped Children.	E00500-069

SUBJECT INDEX**POLLUTION****PLANNERS (HUMAN RESOURCE)**

Human Resources Demonstration Project.

E00500-215**PLANNERS (MANPOWER)**

Evaluation of Planning and Coordination of Manpower Programs--Phase II.

E00500-218**PLANNING CERTIFICATES**

Areawide Planning Certificates.

E00600-115**PLANT HEALTH (INSPECTION)**

Consumer Protection Would Be Increased by Improving the Administration of Intrastate Meat Plant Inspection Programs.

E00299-011

Salmonella in Raw Meat and Poultry: an assessment of the problem.

E00585-008**PLUTONIUM**

Improvements Needed in the Program for the Protection of Special Nuclear Material.

E06290-004

Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems.

E06290-005**PLYWOOD**

More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand.

E00299-029**POISONING**

An Evaluation of Program Elements and Impact of Federal and Local Lead-Based Paint Poisoning Grant Programs.

E00504-017

Evaluation of Poison Control System: Vol. I, Project Results, Averages. Models for performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat.

E00505-010

Salmonella in Raw Meat and Poultry: an assessment of the problem.

E00585-008**POLAR ORGANIC AIDS**

Evaluation of Instrumentation for the Detection of Polar Organic Aids.

E00811-027**POLICE**

An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department.

E00811-021

An Examination of the Impact of Intensive Police Patrol.

E00811-017

Assessment of Selected State and Community Programs.

E01107-001

Efforts to Prevent Heroin from Illicitly Reaching the U.S.

E00880-001**POLLUTANTS**

Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow.

E02390-009

Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do.

E02390-007**Airport-Related**

Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis.

E01102-006**POLLUTION**

Cleaning Up the Great Lakes: United States and Canada Are Making Progress in Controlling Pollution from Cities and Towns.

E02390-011

Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf.

E00792-001

International Trade Study.

E00300-024

Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.

E02390-004

Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.

E02390-003

Needed to Improve Administration of the Water Pollution Research, Development, and Demonstration Program.

E02390-002

Report of the Work Group on OCS Safety and Pollution Control.

E00712-004

Report of the Work Group on OCS Safety and Pollution Control, May 1973.

E00712-005

Report of the Work Group on OCS Safety and Pollution Control, May 1973.

E00712-006

Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.

E00300-007

Report on Audit of Selected Pollution Abatement Activities, Maritime Administration.

E00300-012

POLLUTION**SUBJECT INDEX**

Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-002

Air

Aircraft Taxiing Noise Measurement.

E01102-005

Airport Vicinity Air Pollution Study.

E01102-034

Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis.

E01102-006

Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources.

E02390-005

General Report of the Air Compliance Review Group.

E02300-006

Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests.

E00299-028

Report of a Study of Control Agency Revenue Fees.

E02300-003

Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration.

E00300-010

Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.

E00300-007

Supertanker Environmental Study.

E01101-007

Environment

Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.

E00300-007

Noise

Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.

E00300-007

Water

Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow. Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests.

E02390-009

Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.

E00299-028

Needed to Improve Administration of the Water Pollution Research, Development, and Demonstration Program.

E02390-003

Report of a Study of Control Agency Revenue Fees.

E02390-002

Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration.

E02300-003

Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.

E00300-010

Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do.

E00300-007

Supertanker Environmental Study.

E02390-007

E01101-007

POOR PERSONS

An Evaluation of the Arizona Job College.

E00902-007

An Evaluation of State Hill-Burton Reporting Systems for Free Care and Community Services to the Poor, Provided for by Federally Assisted Health Facilities.

E00506-105

Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63.

E00510-024

Food for Peace: An Evaluation of P.L. 480--Title II.

E00212-002

Food Stamps and Nutrition.

E00207-001

Presidential Objective on Child Nutrition Programs.

E00207-008

The Disabled Widow.

E00510-016

The Neighborhood Youth Corps: An Impact Evaluation.

E00900-018

The Supplemental Security Income Program for the Aged, Blind, and Disabled.

E00510-039

Young Widows and Their Children: A Comparative Report.

E00510-011

POPULATION FORECASTS

Evaluation of the Report on Interceptor Sewers and Suburban Sprawl.

E02300-005

POPULATION GROWTH

Assistance to Family Planning Programs in Southeast Asia.

E01097-007

Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview.

E00201-001

POPULATIONS

Employment Impacts of the Economic Development Administration's Public Works Program.

E00201-002

Rural Development as Related to REA Borrower's Activities.

E00203-001

SUBJECT INDEX**PORTS**

AFDC	The Evaluation of the WIN II Program: methodological issues and some initial results.	E00900-001
Indian	Evaluation of the Indian Health Service Unmet Needs Estimating Methodology.	E00507-065
	Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements.	E00507-018
	The Expectations and Commitments of HPSC--OPSAM (Health Program Systems Center--Operations Systems Analysis Module): A documentary review.	E00507-017
Livestock	The Southwestern Screwworm Eradication Program: a review.	E00205-002
Low-Income	Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.	E00586-003
Medically Underserved	An Economic Analysis of HSA Program Potential FY 1976 to FY 1981.	E00507-075
Mexican American	Evaluation of MA and Supportive Services to Mexican Americans.	E00902-034
Migrant	Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insurance Impact on HSA Activities.	E00507-076
Migratory Bird	Review of Wetlands Acquisition Program.	E00709-001
Minority	Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.	E00586-003
Plant	Evaluation of Experience Under Improved Management Practice for Corn.	E00211-003
Small Towns	Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview.	E00201-001
Wildlife	The Southwestern Screwworm Eradication Program: a review.	E00205-002
1960 and 1970 Censuses	Black-White Earnings and Employment: 1960-1970.	E00900-027
PORK	Evaluation of the FY 1974 USDA Special Beef Purchase.	E00200-004
PORT-OF-ENTRY INSPECTIONS	A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports.	E00889-001
PORTLAND CEMENT	Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic.	E01102-010
PORTLAND, OREGON	Grants to Improve Bus Transit Systems--Progress and Problems.	E01195-001
	Report on Audit of the Family Health Center Program, Cascade Health Care, Inc., Portland, Oregon.	E00500-116
PORTS	Department of Transportation's Cargo Security Program.	E01190-001
	Great Lakes Pilotage Review.	E01101-012
	Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis.	E01097-017
	Supertanker Environmental Study.	E01101-007

PORTS**SUBJECT INDEX**

Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents? E01191-001

POST-SCHOOL CAREERS

Evaluation of the Pilot Accelerated Apprenticeship Program. E00902-002

POST-SECONDARY EDUCATION

The Demand for Facilities in the Post-secondary Sector, 1975 to 1990. E00501-010

POST-SECONDARY INSTITUTIONS

Private Accreditation and Public Eligibility. E00501-008

POST-TRAINING EMPLOYMENT

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-003

POTATOES

Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered. E00585-010

POTOMAC RIVER

Need for HUD to Prepare an Environmental Impact Statement for Town of Leesburg, Virginia, Potomac River Water Supply Project. E00692-022

POULTRY

Evaluation of the FY 1974 USDA Special Beef Purchase. E00200-004
Regional Poultry Project in West Africa. E01097-012
Salmonella in Raw Meat and Poultry: an assessment of the problem. E00585-008

POVERTY

A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates. E00501-007
Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972. E00506-039
Outpatient Health Care in Inner Cities: Its Users, Services, and Problems. E00589-010
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas. E00501-001
The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers. E00503-030
The Supplemental Security Income Program for the Aged, Blind, and Disabled. E00510-039
Ways to Improve Effectiveness of Rural Business Loan Programs. E00299-017
Who's Picking Up the Check for Pennsylvania's School Lunches? E00207-007
Young Widows and Their Children: A Comparative Report. E00510-011

POWER

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement. E00780-003
Pulse Compression Radar Study. E01102-024
Southwestern Federal Power Program--Financial Progress and Problems. E00780-002
What Should U.S. Policy Be for Development Assistance to Ecuador? E01097-004

Coal Burning

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement. E00780-003

Electric

Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India. E01097-002
Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement. E00780-003

Hydroelectric

The Federal Reclamation Program; its impacts, issues and future considerations. E00716-001

Hydrothermal

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement. E00780-003

Nuclear

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement. E00780-003

SUBJECT INDEX**PROPERTY ACQUISITION****PREDATOR CONTROL**

Review of Wetlands Acquisition Program.

E00709-001

PREGNANCYEvaluation Studies on Maternal and Infant Care Projects.
Yeatman Baseline Survey.E00507-036
E00507-011**Adolescent**

The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude.

E00507-035

School Age

Study of Programs for Unwed Teenage Mothers.

E00507-031

PREGNANT STUDENTS

The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude.

E00507-035

PRENATAL ACTIVITIES

Evaluation Studies on Maternal and Infant Care Projects.

E00507-036

PRESCHOOLERS

Short-Term Cognitive Effects of Head Start Programs.

E00512-007

PRESCRIPTIONS

Variations in Prescription Markups-on-Retail.

E00510-139

PRICE SUPPORTNeed Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program.
Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved.

E00299-008

E00299-035

PRISONERS

Use of Statutory Authority for Providing Inmate Release Funds.

E00888-003

PRISONS

Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough.

E00888-001

PROBATION

Evaluation of the California Probation Subsidy Program, Phase II.

E00811-009

PROCUREMENT CONTRACTS (MINORITY)

Final Report of the OMBE (Office of Minority Business Enterprise) Management Task Force.

E00300-026

PRODUCTIVITYAnalysis of COMO (Comprehensive Model) Follow-up Data.
Dental Auxiliary Utilization: a National Evaluation.

E00902-011

E00506-044

PROFESSIONAL CAREERS

An Evaluation of Allied Health Advanced Traineeship Grants.

E00506-091

PROFESSIONAL SOCIETIES

Credentialling of Health Care Professionals: its costs and some alternatives for HSA.

E00507-066

PROFESSIONAL STANDARDSEvaluation of the Experimental Medical Care Review Organization (EMCRO) Programs.
Professional Standards and Review Organization (PSRO) Cost Study.

E00506-051

E00507-046

PROPERTYDisposal of Acquired Properties in Detroit, Michigan: progress and problems.
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.

E00693-015

E00693-016

PROPERTY ACQUISITION

Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites.

E03790-003

PROPERTY DAMAGE**SUBJECT INDEX****PROPERTY DAMAGE**

More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.

E00995-004**PROPERTY DISPOSITION**

Bulk Sales--Rehabilitation, Property Disposition: Banner Ltd.
Disposal of Acquired Properties in Detroit, Michigan: progress and problems.
Single-Family Property Disposition.

E00600-139
E00693-015
E00600-003**PROPERTY IMPROVEMENT**

Title I Property Improvement and Mobile Home Loans.

E00600-125**PROPERTY SURVEYS**

More Specific Criteria Needed to Improve Real Property Surveys.

E03790-004**PROPERTY TAX**

Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama.

E01017-004**PROPULSION**

Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing.

E01102-001**PROTEIN**

Food Distribution and Food Stamp Program Effects on Nutritional Achievement.

E00207-002**PRUDENTIAL**

A Study of the Systems Development Project.

E00500-004**PSYCHIATRIC CARE**

Controls on Use of Psychotherapeutic Drugs and Improved Psychiatrist Staffing Are Needed in Veterans Administration Hospitals.

E06595-022**PSYCHIATRIC RECORDS**

Automated Psychiatric Record Systems Today: an assessment.

E00503-031**PSYCHIATRIC TRAINING**

Controls on Use of Psychotherapeutic Drugs and Improved Psychiatrist Staffing Are Needed in Veterans Administration Hospitals.

E06595-022**PSYCHIATRISTS**

Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough.

E00888-001**PSYCHOLOGISTS**

Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough.

E00888-001**PSYCHOSES**

Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.

E00503-018**PSYCHOTHERAPY**

Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.

E00503-018**PUBLIC EMPLOYMENT PROGRAM**

Design and Development of a Data System for PEP (Public Employment Program).
Evaluation of the Impact of PEP (Public Employment Program) on the Public Sector.
Evaluation of PEP (Public Employment Program) Welfare Demonstration Project.
Longitudinal Evaluation of PEP (Public Employment Program) and Validation of the PEP Data Bank.

E00902-024
E00902-041
E00902-044
E00902-042**PUEBLO**

Comparative Summary of the Development Task in New Communities and PUD's (Planned Unit Developments).

E00601-020**PUERTO RICANS**

Monitoring of Housing and Urban Development Investigation of the Pueblo of Laguna Housing Authority.

E00694-011

SUBJECT INDEX**RADIATION****PUERTO RICO**

Relatedness Patterns of Puerto Rican Centenarians. E00510-151
 Selected State Data Medicare Fiscal Years 1968-72. E00510-002
 The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles. E01197-005

PUGET SOUND

Human Resources Demonstration Project. E00500-215

PULSE COMPRESSION

Cash Benefits for Short-Term Sickness, 1948-72. E00510-044

PUPIL PERFORMANCE

A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates. E00501-007

PUPILS

Pulse Compression Radar Study. E01102-024

QUARANTINE

Evaluation of VISA Medical Activities. E00504-004

QUEBEC

The Low Rent Housing Project on Quebec Road in Cincinnati. E00692-999

RACE

Compliance with the Minimum Wage Law: progress report. E00900-003
 Demographic Characteristics of Workers in the Work Clothing Industry. E00906-003
 Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050
 Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972. E00506-039
 Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act. E00900-021
 Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas. E00501-001
 Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data). E00906-002
 The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors. E00900-029
 The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact. E00900-040

RACIAL COMPOSITION

FmHA Water and Waste Disposal Program Assistance to Small Rural Communities. E00200-005
 Racial Composition in the National School Lunch Program. E00207-011

RADAR

Augmented Radar Beacon Tracking Level System Design Specification. E01102-014
 DAIR System Radar Target Relationships. E01102-017
 Evaluation of Terminal Radar Service: stage III. E01102-060
 Measurement and Analyses of ASR-4 System Error. E01102-018
 Pulse Compression Radar Study. E01102-024
 Radar Observer Testing. E01101-004
 Radar Target Detection Employing On-Line Estimation of the Video Correlation. E01102-016
 Technical Evaluation of Weather Clutter Feasibility Model. E01102-030
 Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Televised Radar Display Applications. E01102-043
 Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar. E01102-042
 Test and Evaluation of ATCRBS Electronic Scan Antenna. E01102-045

RADIATION

Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners. E00504-011
 Opportunities for Improving AEC's Administration of Agreements with States Regulating Users of Radioactive Materials. E06290-002
 Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes. E06290-001

RADIO**SUBJECT INDEX****RADIO**

Health Care and Satellite Radio Communication in Village Alaska--Final Report of the
ATS-1 Biomedical Satellite Experiment Evaluation. E00508-043

RADIO AIDS

Radio Aids to Navigation for the U.S. Coastal Confluence Region. E01101-011

RADIO RECEIVERS

Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard
Training Center. E01101-015

RADIO SATELLITES

Health Care and Satellite Radio Communication in Village Alaska--Final Report of the
ATS-1 Biomedical Satellite Experiment Evaluation. E00508-043

RADIO STATIONS

Government Maritime Communications. E01101-018

RADIO THEODOLITE POSITIONING

Evaluation of the Rubino Procedure for Radio Telemetric Theodolite Positioning. E01102-054

RADIOACTIVE MATERIALS

Opportunities for Improving AEC's Administration of Agreements with States Regulat-
ing Users of Radioactive Materials. E06290-002

Opportunity for AEC to Improve Its Procedures for Making Sure that Containers
Used for Transporting Radioactive Materials Are Safe. E06290-003

Problems of the Atomic Energy Commission Associated with the Regulation of Users
of Radioactive Materials for Industrial, Commercial, Medical, and Related
es. E06290-001

RADIOTELEPHONE PROCEDURES

Vessel Traffic Systems Issue Study. E01101-008

RAILROAD INDUSTRY

Cash Benefits for Short-Term Sickness, 1948-72. E00510-044

RAILWAYS

Need for Improvements in the Management System to Assess Performance of AID-
Financed Projects in India. E01097-002

RAMMINGS

Department of Transportation's Cargo Security Program. E01190-001

Vessel Traffic Systems Issue Study. E01101-008

Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents? E01191-001

RANGE MANAGEMENT

A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an
assessment of range management. E01017-005

Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource
Values in Nevada. E00714-001

Youth Conservation Corps Pilot Program, 1972. E00700-001

READING ACHIEVEMENT

Evaluation of the Community Based Right to Read Program. E00501-011

READING SCORES

Study of Vocational Training Skills Achievement at Departmental Job Corps Centers. E00700-008

RECIDIVISM

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by
the National Institute on Alcohol Abuse and Alcoholism. E00503-059

Federal Guidance Needed if Halfway Houses are to be a Viable Alternative to
Prison. E00891-014

SUBJECT INDEX**REGIONAL COUNCILS****RECLAMATION**

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands. E00780-001
Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects. E00796-001
Land Reclamation Requirements and Their Estimated Effects on the Coal Industry. E00900-035
The Federal Reclamation Program; its impacts, issues and future considerations. E00716-001
Water & Land Resource Accomplishments, 1973. E00716-002

RECREATION

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants. E00795-001
Managing Recreation Facilities at Bureau of Reclamation Reservoirs. E00780-006
Open Space and Recreation Opportunity in America's Inner Cities. E00602-053
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. E00216-001
Youth Conservation Corps Pilot Program, 1972. E00700-001

RECREATIONAL BOATING

Recreational Boating in the Continental United States in 1973: the nationwide boating survey. E01101-019

RECRUITMENT

Evaluation of the High School Equivalency Programs for Seasonal Farmworkers. E00902-004
A Study of the Minority Officer Procurement Program of the U.S. Coast Guard. E01101-014
Evaluation of the Career Development Program in Global Community Health. E00506-056
Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974 YCC program. E00700-005
Program Evaluation, Youth Conservation Corps. E00709-003
Strategies for Change in Community Mental Health: New Careers. E00503-039
Study of National Park Service Concessions Management. E00710-001
Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students. E00506-081

RECYCLING

Need for Federal Agencies to Improve Solid Waste Management Practices. E02390-001

REEMPLOYMENT

Evaluation Study of the Technology Mobilization and Reemployment Program. E00902-019
Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks. E00992-012

REFINERIES

Supertanker Environmental Study. E01101-007

REFINERS

Problem in the Federal Energy Administration's Compliance and Enforcement Effort. E02990-002
Problems of Independent Refiners and Gasoline Retailers. E02990-003

REFORESTATION

More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand. E00299-032

REFUGEES

Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis. E01097-001

REFUGES (BIRD)

Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974. E00709-006

REFUGES (WILDLIFE)

Program Evaluation, Youth Conservation Corps. E00709-003

REGIONAL COMMISSIONS

EDA-Regional Commission Coordination Review. E00300-019

REGIONAL COUNCILS

EDA-Regional Commission Coordination Review. E00300-019

REGIONAL COUNCILS**SUBJECT INDEX**

Interim Report on Department of Commerce Field Operations. E00300-022

REGIONAL HEALTH

Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration. E00506-043

REGIONAL PLANNING AGENCIES

Opportunities for Improvement in Coordination of Housing Planning Efforts between State and Interstate Regional Planning Agencies and in the Department of Housing and Urban Development Guidelines on Updating Housing Studies. E00692-017

REGIONALIZATION OF CORONARY CARE

Impact of Regional Medical Programs on Coronary Care in New England. E00506-034

REHABILITATION

An Evaluation of the Arizona Job College. E00902-007

Federal Efforts to Combat Drug Abuse. E00599-002

Multifamily Acquired Properties Rehabilitation/Disposition. E00600-134

Organization: Education and Rehabilitation Service. E06504-027

Project Rehabilitation. E00600-099

Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough. E00888-001

Review of Child Care Services Provided Under Title IV, Social Security Act. E00500-101

The Program Services and Support System of the Rehabilitation Services Administration. E00509-003

Use of Statutory Authority for Providing Inmate Release Funds. E00888-003

Drinking Drivers

Alcohol Safety Action Project: evaluation of operations. E01107-002

Drug Abuse

A Follow-up of NARA (Narcotic Addict Rehabilitation Act) Patients Who Drop Out Before Completion. E00503-040

Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program). E00503-054

Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C. E00599-003

Staffing and Efficiency of Drug Treatment Programs. E00506-074

Housing

Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia. E00692-011

Follow-Up Review of the Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia. E00692-027

Rehabilitation Loans and Grants. E00600-060

Survey of Housing Rehabilitation Loan and Grant Program. E00602-050

Vocational

A Study of Placement in PA/VR (Public Assistance Vocational Rehabilitation). E00509-002

An Analysis of the Allocation of Counseling Resources in Vocational Rehabilitation Agencies. E00509-037

Effectiveness of Vocational Rehabilitation in Helping the Handicapped. E00591-004

Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries. E00510-056

Vocational Rehabilitation State Allocation Study. E00509-001

RELIEF**Debt**

United States Economic Assistance to Turkey. E01097-023

Disasters

Information on Federal Disaster Relief Programs. E05590-002

Payroll Tax

Reducing Social Security Contributions for Low-Income Workers: Issues and Analysis. E00510-239

United Nations

Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis. E01097-017

SUBJECT INDEX**RESIDENTIAL CRIME CONTROL****RELIEF ASSISTANCE**

Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis. E01097-001

RELIGION

Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972. E00506-039

RELOCATION

Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. E01193-001
Information on Federal Disaster Relief Programs. E05590-002
Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks. E00992-012
Relocation Payments. E00600-067

RENTAL ASSISTANCE

Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs. E00693-005

RENTAL HOUSING

Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs. E00693-003

RENTALS

Reports on Rentals. E00600-130

RESIDENTS

Two Studies on Catchmenting and Catchmented-Oriented Services. E00503-042

RESERVATIONS

A Comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209
Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota. E00299-022
Evaluation of the Public Employment Program for Reservation Indians. E00902-006
Evaluation of MA Services and Supportive Services to American Indians on Reservations. E00902-033
Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana. E00507-050
Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971. E00992-009
Inquiry into the Construction of Housing Projects on the Blackfeet Indian Reservation. E00694-009
Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved. E00793-001

RESERVOIRS

Managing Recreation Facilities at Bureau of Reclamation Reservoirs. E00780-006

RESIDENT GROUPS

The Model Cities Program: a comparative analysis of participating cities, process, product, performance and prediction. E00602-041

RESIDENT INFLUENCE SYSTEMS

The Model Cities Program: ten model cities--a comparative analysis of second round planning years. E00602-042

RESIDENTIAL ALARM SYSTEMS

An Evaluation of Small Business and Residential Alarm Systems. E00811-022

RESIDENTIAL CAMPS

Program Evaluation, Youth Conservation Corps. E00709-003
Youth Conservation Corps Pilot Program, 1973. E00700-002
Youth Conservation Corps-Pilot Program 1973. E00216-004

RESIDENTIAL CRIME CONTROL

Residential Neighborhood Crime Control. E00811-024

RESIDENTIAL HOUSING**SUBJECT INDEX****RESIDENTIAL HOUSING**

Supertanker Environmental Study.

E01101-007

RESIDENTIAL PROPERTIESWays to Improve Management of Acquired Residential Properties.
Ways to Improve Management of Acquired Residential Properties.E00694-004
E06596-006**RESIDENTIAL SUBDIVISIONS**

Residential Subdivision Processing.

E00600-122

RESIDENTIAL SUPPORT CENTERS

Evaluation Study of Job Corps Residential Support Centers.

E00902-021

RESIDENTIAL THERAPEUTICS

Staffing and Efficiency of Drug Treatment Programs.

E00506-074

RESIDENTS

Concentrated Employment Program in New York City Has Not Met Its Employment Objectives.

E00992-001

FmHA Water and Waste Disposal Program Assistance to Small Rural Communities.

E00200-005

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants.

E00795-001

National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.

E00207-003

Public Employment Programs in Selected Rural and Urban Areas.

E00992-011

Rat Control Evaluation Project; Philadelphia Household Interview and Environmental Survey.

E00504-012

Revenue Sharing: An Opportunity for Improved Public Awareness of State and Local Government Operations.

E01280-010

Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City.

E00503-026

Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.

E00587-002

Survey of Title XIX Long-Term Care Facilities and Patients, volume I.

E00509-005

The Federal Crime Insurance Program: how it can be made more effective.

E00696-003

Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.

E00507-012

RETAIL TRADE

Self-Employment in the Covered Work Force.

E00510-037

RETAILERS

Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan Cotton Promotion Institution.

E00212-004

Problem in the Federal Energy Administration's Compliance and Enforcement Effort.

E02990-002

Problems of Independent Refiners and Gasoline Retailers.

E02990-003

RETIREMENT

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973.

E00904-006

Assets on the Threshold of Retirement.

E00510-053

Aviation Issue Study.

E01101-010

Covered Employment and the Age Men Claim Retirement Benefits.

E00510-041

Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63.

E00510-024

Federal Retirement System--Key Issues, Financial Data, and Benefit Provisions.

E05990-006

Health in the Years Before Retirement.

E00510-061

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).

E00904-003

Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970.

E05990-015

Report on the Affirmative Steps Taken to Ensure the Protection from Coercion of Employees Who Fall within the Purview of the Major RIF Retirement Law.

E05908-006

Retirement History Study: Introduction.

E00510-062

Self-Employment in the Covered Work Force.

E00510-037

The Retirement Test: An International Study.

E00510-025

The Supplemental Security Income Program for the Aged, Blind, and Disabled.

E00510-039

SUBJECT INDEX**RIGHT TO READ**

Work After Retirement: some psychological factors.	E00510-131
Compulsory	
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973.	E00904-006
Involuntary	
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973.	E00904-006
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010
Old-Age	
Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits.	E00590-008
RETIREMENT BENEFITS	
Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries.	E00510-056
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
RETIREMENT HISTORY	
Family Structure in Pre-Retirement Years.	E00510-049
RETIREMENT PLANS	
Coverage and Vesting of Full-Time Employees Under Private Retirement Plans.	E00510-046
Pension Reform Legislation of 1974.	E00510-018
REVENUE SHARING	
Annual Arrangements: improving coordination of community development programs.	E00602-038
Case Studies of Revenue Sharing in 26 Local Governments.	E01280-009
Economic Considerations for Manpower Revenue Sharing.	E00900-019
Organization and Management Review of Office of Revenue Sharing.	E01200-001
Revenue Sharing: An Opportunity for Improved Public Awareness of State and Local Government Operations.	E01280-010
Revenue Sharing: Its Use By and Impact on Local Governments.	E01280-008
Revenue Sharing: Its Use By and Impact on State Governments.	E01280-007
The Federal Grant Process: an analysis of the use of supplemental and categorical funds in the model cities program.	E00602-034
RIBOFLAVIN	
Food Distribution and Food Stamp Program Effects on Nutritional Achievement.	E00207-002
RICE	
Import Demand for Rice in the EEC: Implications of U.S. Market Promotion.	E00212-003
RICE COUNCIL	
Review of the Rice Council for Market Development Brand Incentive Program.	E00212-010
RIDERSHIP	
Grants to Improve Bus Transit Systems--Progress and Problems.	E01195-001
RIF RETIREMENT LAW	
Report on the Affirmative Steps Taken to Ensure the Protection from Coercion of Employees Who Fall within the Purview of the Major RIF Retirement Law.	E05908-006
RIGHT OF WAY	
Advance Right-of-Way Acquisition Program.	E01103-004
Federal Participation in Cost of States' Internal Review Activities.	E01103-002
Program Review of Right-of-Way Program.	E01103-001
Review of Availability of Right-of-Way Statistics.	E01103-008
Review of Right-of-Way Records and Reports.	E01103-007
RIGHT TO READ	
Evaluation of the Community Based Right to Read Program.	E00501-011

RISKS**SUBJECT INDEX****RISKS****Accidents**

For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards.

E01197-001**RIVERS**

Need for HUD to Prepare an Environmental Impact Statement for Town of Leesburg, Virginia, Potomac River Water Supply Project.
The Federal Reclamation Program; its impacts, issues and future considerations.

**E00692-022
E00716-001****ROAD CONSTRUCTION**

Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land.

E00299-027**ROADSIDE SURVEYS**

Alcohol Safety Action Project: evaluation of operations.

E01107-002**ROCK ISLAND, ILLINOIS**

Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois.

E00693-008**ROCKY MOUNTAIN AREA**

Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area.

E06086-001**RODENTICIDES**

Pesticides: Action Needed to Protect the Consumer from Defective Products.

E02390-008**ROSEMONT, ILLINOIS**

Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois.

E00995-006**ROYALTY ACCOUNTING SYSTEM**

Review of Royalty Accounting System for Onshore Oil and Gas Leases.
Royalty Accounting System Study of Solid Mineral Leasing Activities.

**E00712-013
E00712-014****RUBINO PROCEDURE**

Evaluation of the Rubino Procedure for Radio Telemetric Theodolite Positioning.

E01102-054**RUNWAYS**

Dual Lane Runway Study.

E01102-037**Construction**

Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.

E01192-003**Lighting**

Evaluation of an Experimental Elevated High-Intensity Runway Edge Light.
Evaluation of an Experimental High-Intensity, Inset Runway Edge Light Fixture.

**E01102-049
E01102-050****RUPEES**

United States Interests and Activities in Nepal.

E01097-005**RURAL BUSINESS LOANS**

Ways to Improve Effectiveness of Rural Business Loan Programs.

E00299-017**RURAL COOPERATIVES**

Impact of USDA Programs Upon Rural Cooperatives.

E00200-002**RURAL DEVELOPMENT**

FmHA Responsiveness to State and Local Rural Development Plans and Priorities.
Rural Development as Related to REA Borrower's Activities.
Ways to Improve Effectiveness of Rural Business Loan Programs.

**E00200-010
E00203-001
E00299-017****RURAL ELECTRIFICATION**

Controls Exercised by Rural Electrification Administration over Borrowers' Activities.

E00299-034

SUBJECT INDEX**SAFETY STANDARDS****RURAL FAMILIES**

An Evaluation of the Arizona Job College. **E00902-007**
Progress and Problems of U.S. Assistance for Land Reform in Vietnam. **E01097-009**

RURAL HOUSING

Complaints Concerning the Rural Housing Loan Program in Five Arkansas Counties. **E00299-014**
Effectiveness and Administration of the Rural Rental-Housing Program. **E00299-016**

RURAL LIFE

Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive
Water from Federal Water Resources Projects. **E00796-001**

RURAL NORTHEAST

Interactive Television: A Study of Its Effectiveness As a Medical Education Resource
in the Rural Northeast. **E00508-034**

RURAL REHABILITATION

Actions Needed to Terminate Federal Administration of State Rural Rehabilitation
Funds Program. **E00299-015**

RURAL RESIDENTS

National Survey of Food Stamp and Food Distribution Program Recipients: a summa-
ry of findings on income sources and amounts and incidence of multiple
benefits. **E00207-003**

RUSSIA

Export of U.S.-Manufactured Aircraft--Financing and Competitiveness. **E00399-003**

SACRAMENTO, CALIFORNIA

More Effective Procedures Are Needed for Establishing Payment Terms and Develop-
ment Periods for Irrigation Projects. **E00796-002**

SAFE DRINKING WATER

National Safe Drinking Water Strategy: One Step at a Time. **E02300-007**

SAFE WATERS

Opportunity for AEC to Improve Its Procedures for Making Sure that Containers
Used for Transporting Radioactive Materials Are Safe. **E06290-003**

SAFETY

Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards. **E01197-002**

Aircraft

General Aviation Aircraft Safety: The Princeton University Conference. **E01102-007**

Need for Improved Controls for Identifying and Correcting Safety Defects on Light
Aircraft. **E01192-001**

Highway

For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with
Federal Safety Standards. **E01197-001**

Transportation

Improved Procedures Needed for Implementing Safety Recommendations. **E01192-004**

SAFETY DEVICES

The Federal Crime Insurance Program: how it can be made more effective. **E00696-003**

SAFETY LEGISLATION

Compensating Wage Differentials and Hazardous Work. **E00900-014**
Vessel Traffic Systems Issue Study. **E01101-008**

SAFETY STANDARDS**Motor Vehicles**

Evaluation of Motor Vehicle Safety Standards. **E01107-004**

Improvements Needed in Planning and Using Motor Vehicle Safety Research. **E01197-003**

SAFETY STANDARDS

SUBJECT INDEX

For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards.
Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards.

E01197-001
E01197-002

Health

Improvements Needed in the Assessment and Collection of Penalties--Federal Coal Mine Health and Safety Act of 1969.
More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.

E00791-001
E00995-004

Motor Vehicles

The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles.

E01197-005

Toxic Substances

Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places.

E00599-006

SAFETY VIOLATIONS

Mine Operators

Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969.

E00791-002

SALARIES

An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III.

E00508-032

Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments.

E00507-055
E01097-021

Cost and Use of Personnel in the Agency for International Development.

National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.

E00207-003

Federal Employees

Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970.

E05990-015

Foreign Service Personnel

Cost and Use of Personnel in the Agency for International Development.

E01097-021

State and Local Government Employees

Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970.

E05990-015

SALARY DATA

Assessment of Process of Translating Private Enterprise Salary Data into Federal White Collar Salary Adjustments.

E05990-004

SALARY SCALES

Federal Participation in Cost of States' Internal Review Activities.

E01103-002

SALMONELLA

Salmonella in Raw Meat and Poultry: an assessment of the problem.

E00585-008

SALT LAKE CITY, UTAH

More Effective Procedures Are Needed for Establishing Payment Terms and Development Periods for Irrigation Projects.

E00796-002

SALT RIVER VALLEY, ARIZONA

The Federal Reclamation Program; its impacts, issues and future considerations.

E00716-001

SALVAGE (FOOD)

Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public.

E00585-014

SALVAGE (TIMBER)

More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand.

E00299-029

SUBJECT INDEX**SBA-FOREST SERVICE PROGRAM****SAMPLE SURVEYS**

Annual Sample Survey and Area-Based Crime Estimates. E00811-037
Guide to Sources and Uses of Current Data on the Aging. E00511-008

SAN ANTONIO, TEXAS

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of
Education: Child Advocacy Project, San Antonio, Texas. E00503-033
Opportunities for Improving the Model Cities Program in San Antonio, Texas. E00692-004
Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas. E00992-016

SAN DIEGO, CALIFORNIA

Problems in the Work Incentive Program in Los Angeles and San Diego. E00992-027

SAN FRANCISCO BAY REGION

Use of USGS Earth Science Products by City Planning Agencies in the San Francis-
co Bay Region, California. E00712-009

SAN FRANCISCO, CALIFORNIA

Audit of Administrative Costs Proposed for Administration of Health Insurance for
the Aged Program, California Physicians' Service, San Francisco, California. E00500-115
Methods for Estimating Hospital and Nursing Home Bed Requirements in the San
Francisco Area. E06595-004
Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the
County of Los Angeles; New York City; San Francisco and Alameda Counties; and
Washington, D.C. E00599-003
Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas. E00992-016

SAN JOAQUIN VALLEY

The Federal Reclamation Program; its impacts, issues and future considerations. E00716-001

SAN JOSE, CALIFORNIA

Review of the San Jose, California, Model Cities Program. E00692-019

SANITARY STANDARDS

Increased Compliance Needed with Nursing Home Health and Sanitary Standards. E00589-011

SANITATION

Consumer Protection Would Be Increased by Improving the Administration of Intra-
state Meat Plant Inspection Programs. E00299-011
Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and
Oysters). E00585-005

SATELLITE COMMUNICATIONS

Health Care and Satellite Radio Communication in Village Alaska--Final Report of the
ATS-1 Biomedical Satellite Experiment Evaluation. E00508-043
Multipath Channel Simulation and Modern Evaluation Program. E01102-044

SATELLITE IMAGE MAPS

Research and Development in Topographic Mapping. E00712-010

SATELLITES

Analysis of the Potential Application of Space Telecommunications Systems to the
U.S. Coast Guard Missions. E01101-020

SAWTIMBER

Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtim-
ber Supplies. E00299-033

SBA MINORITY PROGRAMS

Study of Minority Borrowers Prior and Subsequent to SBA Assistance. E05507-011
Department of Commerce Analysis of Organizational Options for the Federal Minority
Business Development Program. E00300-027

SBA-FOREST SERVICE PROGRAM

An Analysis of Selected Factors of the SBA-Forest Service Timber Set-Aside Program. E05507-007

SCAN ANTENNAS**SUBJECT INDEX****SCAN ANTENNAS**

Test and Evaluation of ATRCBS Electronic Scan Antenna. E01102-045

SCHOLARS

Science Development: an evaluation study. E04600-002

SCHOLARSHIPS

Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met. E00586-006

SCHOOL ADMINISTRATORS

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine. E00508-053
The USDA Study on High School Participation in Child Nutrition Programs. E00207-005

SCHOOL AGE MOTHERS

Study of Programs for Unwed Teenage Mothers. E00507-031

SCHOOL ASSISTANCE

Program for School Assistance in Federally Affected Areas (SAFA). E00500-114

SCHOOL AUTHORITIES

An Evaluative Study of Early and Periodic Screening, Diagnosis and Treatment Program in Ohio and Wisconsin. E00500-204

Analysis of Office of Education Special Project Grant Distribution to Local Education Agencies in Region V. E00500-206

SCHOOL CARE

Quality of Day Care in Region X Before and After School Care. Phase I. E00500-211

SCHOOL CLOSURES

Private Accreditation and Public Eligibility. E00501-008

SCHOOL CONSULTATION

Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems. E00503-041

SCHOOL DISTRICTS

Study of Administrative Costs in Federally-Aided Domestic Programs. E03700-003

SCHOOL ENROLLMENTS

Minimum Wage Legislation in the United States. E00900-013

SCHOOL EQUIVALENCY PROGRAMS

Evaluation of the High School Equivalency Programs for Seasonal Farmworkers. E00902-004

SCHOOL FOOD SERVICE

Comparison of Type A Pattern and Nutrient Standard Approaches to School Food Service Menu Planning. E00207-012

SCHOOL LUNCHES

An Economic Evaluation of School Lunch Systems. E00207-016

Cost Structure of the School Lunch Program. E00207-013

Food for Peace: An Evaluation of P.L. 480--Title II. E00212-002

Impact of Price on School Lunch Participation--Washington State. E00207-014

Presidential Objective on Child Nutrition Programs. E00207-008

Progress and Problems in Achieving Objectives of School Lunch Program. E00299-021

Racial Composition in the National School Lunch Program. E00207-011

School Feeding Effectiveness. E00207-004

Study of Administrative Costs in Federally-Aided Domestic Programs. E03700-003

The USDA Study on High School Participation in Child Nutrition Programs. E00207-005

Who's Picking Up the Check for Pennsylvania's School Lunches? E00207-007

1972 National School Lunch Program Survey. E00207-006

SUBJECT INDEX**SCRIPPS INSTITUTION OF OCEANOGRAPHY****SCHOOL RECRUITMENT**

Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students. E00506-081

SCHOOL SITE LOCATIONS

U.S. Foreign Aid to Education: Does Brazil Need It? E01097-013

SCHOOL SYSTEMS

Cost Survey of Foods Purchased by the USDA and Local School Systems. E00207-009

Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems. E00503-041

Extent and Characteristics of Venereal Disease Education in School Systems. E00504-006

Project Head Start: achievements and problems. E00591-002

Study of Administrative Costs in Federally-Aided Domestic Programs. E03700-003

SCHOOL TEACHERS

A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects. E00501-005

U.S. Foreign Aid to Education: Does Brazil Need It? E01097-013

SCHOOLING

Black-White Earnings and Employment: 1960-1970. E00900-027

The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact. E00900-040

SCHOOLS

Dental Auxiliary Utilization: a National Evaluation. E00506-044

Private Accreditation and Public Eligibility. E00501-008

Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas. E00501-001

The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude. E00507-035

Post-Secondary

Private Accreditation and Public Eligibility. E00501-008

The Demand for Facilities in the Post-secondary Sector, 1975 to 1990. E00501-010

Veterinary

Private Accreditation and Public Eligibility. E00501-008

SCIENCE

Achievements, Cost, and Administration of the Ocean Sediment Coring Program. E04690-001

National Academy of Science Critical Review of the Use of Social Security Data to Estimate the Effect of Manpower Programs. E00900-006

Organizational Evaluation and Information Needs Study. E00504-014

Science Development, University Development, and the Federal Government. E04600-001

Science Development: an evaluation study. E04600-002

Strengthening the Role of the U.S. Patent Office. E00300-028

Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California. E00712-009

SCIENTIFIC INFORMATION

Report on Audit of Matters of Particular Interest to the Department's Office of Budget and Program Analysis Concerning the National Technical Information Service. E00300-014

SCIENTISTS

Achievements, Cost, and Administration of the Ocean Sediment Coring Program. E04690-001

Doctoral Scientists and Engineers in the U.S.: 1973 Profile. E00508-040

Evaluation of USDA Research Program on Housing. E00213-001

Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks. E00992-012

SCREWWORM

The Southwestern Screwworm Eradication Program: a review. E00205-002

SCRIPPS INSTITUTION OF OCEANOGRAPHY

Achievements, Cost, and Administration of the Ocean Sediment Coring Program. E04690-001

SEA GRANTS**SUBJECT INDEX****SEA GRANTS**

Report on Audit of the National Sea Grant Program, National Oceanic and Atmospheric Administration. E00300-003

SEARCH MODELS

Theories of Search in a Labor Market. E00900-022

SEARCH RADAR

Augmented Radar Beacon Tracking Level System Design Specification. E01102-014

SEASONAL FARMWORKERS

An Evaluation of the Arizona Job College. E00902-007

SEATTLE, WASHINGTON

Evaluation of the Common Services Pilot Project in the Arcade Plaza Building, Seattle, Washington. E03700-007

Evaluation of the Planning and Coordination of Manpower Programs/Phase I. E00500-212

SECONDARY EDUCATION

Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs. E00602-032

Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York. E00500-118

Study of Administrative Costs in Federally-Aided Domestic Programs. E03700-003

SECONDARY SCHOOL ENROLLMENTS

U.S. Foreign Aid to Education: Does Brazil Need It? E01097-013

SECULAR SKILL DIFFERENTIALS

Impact of the Recent Inflation on Labor Skill Differentials. E00900-033

SECURITIES

Government National Mortgage Association (GNMA) Mortgage-Backed Securities. E00600-121

Need for a Uniform Method for Paying Interest on Government Trust Funds. E01280-001

SECURITY

A Study of the U.S. Coast Guard Intelligence and Security Functions. E01101-017

Architectural Design to Improve Security in Urban Residential Areas. E00811-023

Department of Transportation's Cargo Security Program. E01190-001

SECURITY INVESTIGATIONS

Personnel Security Investigations; Inconsistent Standards and Procedures. E05990-011

SEDIMENT CORING PROGRAM

Achievements, Cost, and Administration of the Ocean Sediment Coring Program. E04690-001

SEISMIC DATA

Information on Certain Oil and Gas Industry Oversight Responsibilities. E00780-004

SEISMIC SAFETY

Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California. E00712-009

SELF CONTAINED CLASSROOMS

A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates. E00501-007

SELF-EMPLOYMENT

Pension Reform Legislation of 1974. E00510-018

SELF-EVALUATION

Clinic Self-Evaluation Manual for Determination and Improvement of Clinic Efficiency. E00507-084

SELF-FINANCING

An Evaluation of Insurance Experience. E00211-001

Report of a Study of Control Agency Revenue Fees. E02300-003

SUBJECT INDEX**SEX DATA (MANPOWER)****SELF-LIQUIDATING HOUSING**

Interim Report on the Agency for International Development's Housing Investment Guaranty Program.

E01097-008

SELF-SUFFICIENCY

Longitudinal Evaluation of WIN Program.

E00902-013

SELF-EMPLOYMENT

Self-Employment in the Covered Work Force.

E00510-037

SEMINARS

Evaluation Handbook.
Evaluation Manual for Comprehensive Health Service Projects.

E00500-208
E00507-024**SEMISUBMERSIBLES**

International Inventory and Forecast of Offshore Petroleum and Mineral Activity.

E01101-003

SENSORS

A Simulation of the DABS Sensor for Evaluating Reply Processor Performance.
Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique.
Provisional Message Formats for the DABS/NAS Interface.

E01102-028

E01102-003

E01102-026

SENTENCING

Devices for Controlling Sentencing Disparity.

E00811-008

SEPARATED MOTHERS

Young Widows and Their Children: A Comparative Report.

E00510-011

SERVICEMEN

Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals.

E06595-016

SET-ASIDE ACREAGE

Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).
Program Evaluation on 1973 Feedgrain Program Performance.

E00209-001

E00209-002

SET-ASIDE TIMBER

An Analysis of Selected Factors of the SBA-Forest Service Timber Set-Aside Program.

E05507-007

SEVERANCE DAMAGES

Program Review of Right-of-Way Program.

E01103-001

SEWERS

Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.
Employment Impacts of the Economic Development Administration's Public Works Program.

E02390-003

E00201-002

E02300-005

Evaluation of the Report on Interceptor Sewers and Suburban Sprawl.
Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview.

E00201-001

SEX DATA

Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act.
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.

E00900-021

E00501-001

Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps.

E00700-004

SEX DATA (MANPOWER)

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.

E00501-002

SEX DISCRIMINATION**SUBJECT INDEX****SEX DISCRIMINATION**

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act,
4 (d). E00904-003

SEXES (EARNINGS)

Women Born in Early 1900's: Employment, Earnings and Benefit Levels. E00510-042

SHADY GROVE PLANNING

The Application of a Computerized Environmental Information System to Master and
Sector Planning. E00712-011

SHELLFISH

Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and
Oysters). E00585-005

SHELTERED WORKSHOPS

Sheltered Workshops Under the Fair Labor Standards Act. E00904-012

SHELTERWOOD

Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber
Marking Assists. E00216-003

SHIP INDUSTRY

Maritime Policy Study. E00300-025

SHIP TRANSFER PROGRAM

Report on Audit of the Ship Transfer Program, Maritime Administration. E00300-006

SHIP/SHORE COMMUNICATIONS

Analysis of the Potential Application of Space Telecommunications Systems to the
U.S. Coast Guard Missions. E01101-020

SHIPBUILDING

Government Support of the Shipbuilding Industrial Base. E00399-005

SHIPS

Maritime Policy Study. E00300-025

SICKNESS BENEFITS

Cash Benefits for Short-Term Sickness, 1948-72. E00510-044

SIMULATION

A Simulation of the DABS Sensor for Evaluating Reply Processor Performance. E01102-028
Assessment of the Applicability of Simulation Models for Analysis of Health Manpow-
er Supply-Demand Problems. E00506-086
Develop, Test, and Implement a Computer Simulation Model. E00811-018
Radar Observer Testing. E01101-004

SINGLE FAMILY PROPERTIES

Disposition of Acquired Single Family Properties. E00600-131
Single Family Appraisal/Inspection. E00600-095
Single Family Property Disposition. E00600-003

SINGLE FAMILY RESIDENCES

Program Review of Right-of-Way Program. E01103-001

SITE ASSESSMENTS

A Study of HSMHA Site Assessment Procedures. E00506-082
Development of a Training Package and Delivery of Training for Site Assessment of
Comprehensive Health Planning Agencies 314b and the Development of Assess-
ment Components, Procedures, and Reporting Formats for 314 Agency Site
Assessments. E00506-057

SUBJECT INDEX**SMI PREMIUMS****SITE ASSESSORS**

Training of Site Assessors.

E00506-083**SIXTHCYCLE TEACHER CORPS**

A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects.

E00501-005

A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates.

E00501-007**SKILLED MANPOWER**

Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.

E00501-001**SKILLS**

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.

E00501-002

Evaluation of the High School Equivalency Programs for Seasonal Farmworkers.

E00902-004

Impact of the Recent Inflation on Labor Skill Differentials.

E00900-033**SKIP-LOT TESTING**

Evaluation Study of the Antibiotic Certification Program; final report.

E00505-013**SLAG METAL**

Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses.

E01282-001**SMALL ARMS**

A Study of the U.S. Coast Guard Intelligence and Security Functions.

E01101-017**SMALL BUSINESS ALARM SYSTEMS**

An Evaluation of Small Business and Residential Alarm Systems.

E00811-022**SMALL BUSINESS DEVELOPMENT**

Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program.

E00300-027**SMALL BUSINESS INSTITUTE**

Evaluation of the Small Business Institute Program--Fall 1972.

E05507-010

Evaluation of the Small Business Institute Program--Spring 1973.

E05507-009**SMALL BUSINESS LEASE GUARANTEES**

Evaluation of Small Business Administration Lease Guarantee Program.

E05507-003**SMALL BUSINESS LOANS**

Administration of Small Business Loan Program Under the Occupational Safety and Health Act.

E05590-004

Information on Federal Disaster Relief Programs.

E05590-002

Limited Success of Federally Financed Minority Businesses in Three Cities.

E05590-003**SMALL BUSINESSES**

Final Report of the OMBE (Office of Minority Business Enterprise) Management Task Force.

E00300-026**SMALL GAME HUNTING**

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.

E00216-001**SMELTING AND REFINING COMPANIES**

Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses.

E01282-001

Need for a Uniform Method for Paying Interest on Government Trust Funds.

E01280-001**SMI AMBULATORY SERVICES**

Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969.

E00510-048**SMI PREMIUMS**

Analysis of Medicaid Buy-In Experience.

E00509-015

SMOKE STACKS**SUBJECT INDEX****SMOKE STACKS**

Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources. E02390-005

SMOKING

Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. E00504-003

SMUGGLING

Heroin Being Smuggled into New York City Successfully. E00890-002
Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough. E00890-004

SOCIAL ACTION RESEARCH CENTER

Strategies for Change in Community Mental Health: New Careers. E00503-039

SOCIAL AND REHABILITATION SERVICE

Review of Child Care Services Provided Under Title IV, Social Security Act. E00500-101

SOCIAL CASEWORKERS

Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough. E00888-001

SOCIAL EXPERIMENTS

Observation on Housing Allowances and the Experimental Housing Allowance Program. E00693-019

SOCIAL HEALTH INSURANCE

The European Experience in Social Health Insurance. E00510-054

SOCIAL SECURITY

A comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209

Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows. E00590-003

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973. E00904-006

Analysis of Medicaid Buy-In Experience. E00509-015

Analysis of Social Security Data to Estimate the Impact of Manpower Programs. E00900-005

Assets on the Threshold of Retirement. E00510-053

Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012

Cash Benefits for Short-Term Sickness, 1948-72. E00510-044

Early Experience Under the Supplemental Security Income Program. E00510-027

Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits. E00590-008

Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970. E00510-019

Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969. E00510-048

Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients. E00589-005

Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned. E00590-005

International Social Security Agreements. E00510-066

Major Social Security Issues: Japan, 1972. E00510-059

National Academy of Science Critical Review of the Use of Social Security Data to Estimate the Effect of Manpower Programs. E00900-006

National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits. E00207-003

Professional Standards and Review Organization (PSRO) Cost Study. E00507-046

Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries. E00510-056

Reducing Social Security Contributions for Low-Income Workers: Issues and Analysis. E00510-239

Report on Review of the Division of International Operations, Social Security Administration. E00500-103

Retirement History Study: Introduction. E00510-062

Review of Child Care Services Provided Under Title IV, Social Security Act. E00500-101

Review of SSA's Fraud Deterrence Program. E00500-107

Self-Employment in the Covered Work Force. E00510-037

Social Security Act Amendments, 1974. E00510-021

SUBJECT INDEX**SOFTWARE**

Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security Programs Throughout the World, 1973.	E00510-065
Social Security, Savings, and Capital Formation.	E00510-007
The Effect of Social Security on Personal Saving.	E00510-150
The Family Planning Evaluation Project.	E00507-026
The Supplemental Security Income Program for the Aged, Blind, and Disabled.	E00510-039
The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings.	E00900-017
Value for Money in Health Services.	E00510-026
SOCIAL SECURITY AMENDMENTS	
The Disabled Widow.	E00510-016
SOCIAL SECURITY BENEFITS	
Conversions to Supplemental Security Income from State Assistance: A Program Records Study.	E00510-008
Covered Employment and the Age Men Claim Retirement Benefits.	E00510-041
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
Young Widows and Their Children: A Comparative Report.	E00510-011
SOCIAL SECURITY DATA	
Evaluating the Impact of MDTA Programs on Earnings under Varying Labor Market Conditions.	E00902-016
SOCIAL SECURITY DISABILITY PROGRAM	
Unemployment and Disability: An Econometric Analysis with Time Series Data.	E00510-036
SOCIAL SECURITY SYSTEMS	
The Retirement Test: An International Study.	E00510-025
SOCIAL SECURITY TAX RATES	
Self-Employment in the Covered Work Force.	E00510-037
SOCIAL SERVICES	
An Evaluation of the Arizona Job College.	E00902-007
Analysis of the Utilization of Social Services by AFDC Recipients.	E00509-023
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas.	E00503-033
Fee Schedules and Social Services.	E00509-034
Index and User's Guide for Evaluation Documentation Center.	E00500-074
Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency?	E00589-004
Some Problems in Contracting for Federally Assisted Child-Care Services.	E00589-003
SOCIAL STABILITY	
A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.	E00503-059
SOCIAL WELFARE	
Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V.	E00500-201
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Value for Money in Health Services.	E00510-026
SOCIAL WELFARE EXPENDITURES	
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Social Welfare Expenditures, 1972-73.	E00510-045
SOFTWARE	
Augmented Radar Beacon Tracking Level System Design Specification.	E01102-014
National Evaluation of Mid-Level Health Workers--Task Profile Analysis Medex, Primex, and Preceptors.	E00506-065
Prepare Natality Data and Evaluate OCR Hardware and Techniques; final report.	E00506-040

SOFTWOOD**SUBJECT INDEX****SOFTWOOD**

Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies. E00299-033
Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists. E00216-003

SOIL CONSERVATION

An Evaluation of Subsidy Forms for Soil and Water Conservation. E00219-005
Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment. E00200-003
Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved. E00299-035
Youth Conservation Corps Pilot Program, 1972. E00700-001

SOIL EROSION

Youth Conservation Corps-Pilot Program 1973. E00216-004

SOLID MINERAL LEASING

Royalty Accounting System Study of Solid Mineral Leasing Activities. E00712-014

SOLID WASTE MANAGEMENT

Need for Federal Agencies to Improve Solid Waste Management Practices. E02390-001
Using Solid Waste to Conserve Resources and to Create Energy. E02390-010

SONIC BOOMS

Behavioral, Automatic, and Subjective Reactions to Low and Moderate Level Simulated Sonic Booms. E01102-023

SORGHUM

An Evaluation of the Witchweed Program. E00205-001

SOUTH AMERICA

Problems in Slowing the Flow of Cocaine and Heroin from and through South America. E00890-007

SOUTH BRONX

Concentrated Employment Program in New York City Has Not Met Its Employment Objectives. E00992-001

SOUTH CAROLINA

A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States. E00503-020
An Evaluation of the Witchweed Program. E00205-001
On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies. E00506-087

SOUTH DAKOTA

Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota. E00299-022
Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved. E00793-001

SOUTHEAST ASIA

Assistance to Family Planning Programs in Southeast Asia. E01097-007

SOUTHWESTERN FEDERAL POWER PROGRAM

Southwestern Federal Power Program--Financial Progress and Problems. E00780-002

SOYBEAN OIL

Evaluation of the Italian Identified Soybean Oil Promotion. E00212-012

SOYBEANS

Program Evaluation on 1973 Feedgrain Program Performance. E00209-002
Uniform Grain Storage Agreement. E00209-003

SUBJECT INDEX**STOCKYARDS****SPACE TECHNOLOGY**

Research and Development in Topographic Mapping.

E00712-010**SPACE TELECOMMUNICATIONS SYSTEMS**

Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions.

E01101-020**SPANISH**

Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V.

E00500-201**SPEECH PATHOLOGY**

Manpower Resources and Needs in Speech Pathology/Audiology.

E00508-045**SPEECH THERAPY**

Implementation of a Policy of Self-Support by Neighborhood Health Centers.

E00587-001**SPILLS**

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands.

E00780-001

Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf.

E00792-001

Supertanker Environmental Study.

E01101-007**SPINAL CORD INJURY**

Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals.

E06595-016**SPINNERS**

Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan Cotton Promotion Institution.

E00212-004**SPLIT-SHELF SCHEDULING**

The USDA Study on High School Participation in Child Nutrition Programs.

E00207-005**SS MANHATTAN**

Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis.

E01097-017**STEERS**

Evaluation of the FY 1974 USDA Special Beef Purchase.

E00200-004**STEREOTYPING**

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.

E00501-002**ST. LOUIS**

Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana.

E00692-005**STERILIZATION**

Forward Plan for Health: FY 1977-81.

E00500-008**STOCKYARDS**

Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets.

E00208-002

STREET PROJECTS**SUBJECT INDEX****STREET PROJECTS**

Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V. E00500-201

STRIP-MINING

Land Reclamation Requirements and Their Estimated Effects on the Coal Industry. E00900-035
The Predicted Impact of the Black Lung Benefits Program on the Coal Industry. E00900-023

STUDENT ASSISTANCE

Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met. E00586-006

STUDENT ENROLLMENT

Who's Picking Up the Check for Pennsylvania's School Lunches? E00207-007

STUDENT LOANS

Intercountry Evaluation of Education Credit Institutions in Latin America. E01017-002
Private Accreditation and Public Eligibility. E00501-008

STUDENT PARTICIPATION

School Feeding Effectiveness. E00207-004
Study of Administrative Costs in Federally-Aided Domestic Programs. E03700-003

STUDENT SERVICES

Review of the On-Campus Veterans Student Services Program. E06504-005

STUDENTS

An Evaluation of Allied Health Advanced Traineeship Grants. E00506-091
Assessment of Selected State and Community Programs. E01107-001

Better Controls Needed to Prevent Foreign Students from Violating the Conditions of Their Entry and Stay in the U.S. E00889-004

Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met. E00586-006

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-002

Evaluation of the Community Based Right to Read Program. E00501-011

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine. E00508-053

Evaluation of the High School Equivalency Programs for Seasonal Farmworkers. E00902-004

Evaluation of the Pilot Accelerated Apprenticeship Program. E00902-002

Extent and Characteristics of Venereal Disease Education in School Systems. E00504-006

Intercountry Evaluation of Education Credit Institutions in Latin America. E01017-002

Manpower Resources and Needs in Speech Pathology/Audiology. E00508-045

Review of Cooperative Fisheries and Wildlife Unit Program. E00709-002

School Feeding Effectiveness. E00207-004

Science Development: an evaluation study. E04600-002

Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students. E00506-081

Supervision Over Investigational Use of Selected Drugs. E00585-006

The USDA Study on High School Participation in Child Nutrition Programs. E00207-005

1972 National School Lunch Program Survey. E00207-006

Dental

Dental Auxiliary Utilization: a National Evaluation. E00506-044

Pregnant

The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude. E00507-035

SUBSIDIZED FAMILY PLANNING

Need for Subsidizing Family Planning Services; United States, each state and county, 1971. E00507-033

SUBSIDIZED HOUSING

Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs. E00693-005

SUGAR BEETS

Analysis of Individual Underwriting Progress and Problems. E00211-002

SUBJECT INDEX**SURVEY METHODOLOGIES**

SUGAR CANE An Evaluation of the Witchweed Program.	E00205-001
SUGAR IMPORTS The Sugar Program: Large Costs and Small Benefits.	E00209-007
SULFUR HEXAFLUORIDE Sulfur Hexafluoride Taggant for Explosives Detection.	E00811-033
SUMMER EMPLOYMENT Youth Conservation Corps-Pilot Program 1973.	E00216-004
SUMMER FOOD SERVICE An Appraisal of the Special Summer Food Service Program for Children. Demonstration Project for Summer Special Food Service Program for Children.	E00299-024 E00207-026
SUMMER INTERNS Evaluation of the Federal Summer Intern Program.	E05908-004
SUMMER YOUTH EMPLOYMENT Cleveland Summer Youth Employment Programs.	E00992-013
SUPERTANKERS Supertanker Environmental Study.	E01101-007
SUPPLEMENTAL SECURITY INCOME Conversions to Supplemental Security Income from State Assistance: A Program Records Study. Early Experience Under the Supplemental Security Income Program. Report of Region V Assessment of the Supplementary Security Income Program. State Supplementation Under Federal SSI (Supplemental Security Income) Program. Supplemental Security Income for the Aged: A Comparison of Five Countries. The Supplemental Security Income Program for the Aged, Blind, and Disabled.	E00510-008 E00510-027 E00500-200 E00510-020 E00510-040 E00510-039
SURFACE WATER The Application of a Computerized Environmental Information System to Master and Sector Planning.	E00712-011
SURGERY Communication and Inquiries Function: Department of Medicine and Surgery. Department of Medicine and Surgery Survey Report: VA Hospital, Palo Alto, California. Reorganization: Department of Medicine and Surgery.	E06504-025 E06504-029 E06504-021
SURGICAL CONSULTATION Collection and Analysis of Prescreening Surgical Consultation Benefit Program. Evaluation of Surgical Consultation Insurance Benefit.	E00510-137 E00510-135
SURGICAL FACILITIES Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans.	E06595-006
SURINAM Import Demand for Rice in the EEC: Implications of U.S. Market Promotion.	E00212-003
SURVEILLANCE RADAR Measurement and Analyses of ASR-4 System Error. Multipath Channel Simulation and Modern Evaluation Program. Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar. Test and Evaluation of ATCRBS Electronic Scan Antenna.	E01102-018 E01102-044 E01102-042 E01102-045
SURVEYS Group Health Insurance Coverage of Full-Time Employees, 1972.	E00510-030
SURVEY METHODOLOGIES An Analysis of Actual and Potential Uses of Nursing Homes. Initial Manpower Management Program (MMP).	E00506-049 E00507-056

SURVEYS**SUBJECT INDEX****SURVEYS**

A Comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209
An Employee Evaluation of the HEW Departmental Library. E00500-077
Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972. E00506-039
Need for Subsidizing Family Planning Services; United States, each state and county, 1971. E00507-033
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration. E00506-043
The Retirement Test: An International Study. E00510-025

SURVIVAL RATES

Impact of Regional Medical Programs on Coronary Care in New England. E00506-034

SWEDEN

National Health Systems in Eight Countries. E00510-034

SWEDISH PENSIONS

Finnish and Swedish Pension Re-Insurance Programs. E00510-144

SWIMMING

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. E00216-001

TAGGANT MATERIALS

Feasibility Investigation and Test of Coded Taggant Materials for the Identification of Explosives. E00811-034
Sulfur Hexafluoride Taggant for Explosives Detection. E00811-033

TAIR (RADAR)

Measurement and Analyses of ASR-4 System Error. E01102-018

TAIWAN

Excluding Substandard Canned Pineapple from the United States. E00585-012

TANGENTIAL VELOCITY

Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique. E01102-003
Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique. E01102-008

TAR

Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. E00504-003

TARE WEIGHT SETTINGS

Survey of Grade and Weight Selling of Livestock. E00208-001

TARGET DETECTION

Technical Evaluation of Weather Clutter Feasibility Model. E01102-030

TARGET DETECTION (RADAR)

Augmented Radar Beacon Tracking Level System Design Specification. E01102-014
DAIR System Radar Target Relationships. E01102-017
Measurement and Analyses of ASR-4 System Error. E01102-018
Radar Target Detection Employing On-Line Estimation of the Video Correlation. E01102-016

TARGETING SYSTEM (INDUSTRY/MARKET)

Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration. E00300-010

TARIFFS

Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses. E01282-001

SUBJECT INDEX**TECHNICIAN CERTIFICATION PROGRAM**

Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets.	E00208-002
Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.	E01097-010
Uniform Grain Storage Agreement.	E00209-003
TAX (SOCIAL SECURITY)	
Self-Employment in the Covered Work Force.	E00510-037
TAX CREDITS	
An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit.	E00900-007
Employment and Wage Effects of the WIN Tax Credit.	E00509-026
TAX INCENTIVES	
Getting the New Communities Program Started; progress and problems.	E00692-023
Pension Reform Legislation of 1974.	E00510-018
TAX POLICIES	
U.S. Assistance to the Khmer Republic (Cambodia).	E01097-016
TAX SHELTERS	
Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.	E00693-005
TAXES	
A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970.	E00209-005
An Evaluation of Subsidy Forms for Soil and Water Conservation.	E00219-005
Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama.	E01017-004
Reducing Social Security Contributions for Low-Income Workers: Issues and Analysis.	E00510-239
Revenue Sharing: Its Use By and Impact on Local Governments.	E01280-008
TAXIING	
Aircraft Taxiing Noise Measurement.	E01102-005
TAXPAYERS	
A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970.	E00209-005
Income Transfers as a Public Good: An Application to AFDC.	E00500-076
The Sugar Program: Large Costs and Small Benefits.	E00209-007
Review of use of Financial Reports Required by the Office of Education under the Teacher Corps Program.	TEACHERS E00500-105
TEACHERS	
A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates.	E00501-007
A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects.	E00501-005
An Evaluation of the 1973 Youth Conservation Corps.	E00700-003
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.	E00501-001
The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude.	E00507-035
U.S. Foreign Aid to Education: Does Brazil Need It?	E01097-013
TEACHING	
An Evaluation of the 1973 Youth Conservation Corps.	E00700-003
Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met.	E00586-006
Evaluation Handbook.	E00500-208
Follow-up Survey of National Institute of Dental Research Graduate Trainees and Postdoctoral Fellows.	E00508-030
Manpower Resources and Needs in Speech Pathology/Audiology.	E00508-045
Youth Conservation Corps Pilot Program, 1973.	E00700-002
TECHNICIAN CERTIFICATION PROGRAM	
Evaluation of Technician Certification Program.	E01102-063

TECHNICIAN TRAINING**SUBJECT INDEX****TECHNICIAN TRAINING**

Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center.

E01101-015**TECHNICIANS (UNEMPLOYED)**

Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks.

E00992-012**TECHNOLOGICAL OBSOLESCENCE**

Cutter Issue Study.

E01101-009**TECHNOLOGY**

Supertanker Environmental Study.

E01101-007**TEENAGE MOTHERS**

Study of Programs for Unwed Teenage Mothers.

E00507-031**TEENAGERS**

Minimum Wage Legislation in the United States.
The Neighborhood Youth Corps: An Impact Evaluation.

**E00900-013
E00900-018****TEHUANTEPEC, MEXICO**

The Southwestern Screwworm Eradication Program: a review.

E00205-002**TELECOMMUNICATIONS**

A Planning Study to Develop a Demonstration Design for Use of Telecommunications in Public Service Delivery.
Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions.

E00500-071**E01101-020****TELEMETRIC THEODOLITE POSITIONING**

Evaluation of the Rubino Procedure for Radio Telemetric Theodolite Positioning.

E01102-054**TELEPHONES**

Follow-up of Non-Respondent Mothers and Editing, Coding, and Key-Taping of Records in the 1972 National Birth Survey.

E00506-079**TELETYPE NETWORK**

Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits.

E00590-008**TELEVISED RADAR DISPLAY**

Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Televised Radar Display Applications.

E01102-043**TELEVISION**

Collision Avoidance Ground Station Analysis.
Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast.

E01102-025**E00508-034****TELEVISION ADVERTISING**

Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse.

E00503-053**TELEVISION CASE SCREENING**

Demonstration Project for Closed Circuit Television Case Screening and Legal Counseling.

E00811-005**TEMPERATURE INVERSION**

Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique.

E01102-008**TENANTS**

Multi-Family Default Study.
Progress and Problems of U.S. Assistance for Land Reform in Vietnam.
Tenant Eligibility.

**E00600-004
E01097-009
E00600-080**

SUBJECT INDEX**TESTING****TENNESSEE**

Case Studies of Statewide Comprehensive Health Planning; a final report. E00506-033

TENURE RIGHTS

A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management. E01017-005

TERMINAL AREA INVESTMENTS

Alternatives to the Airport Development Aid Program. E01102-032

TERMINALS

Aircraft Taxiing Noise Measurement. E01102-005
Evaluation of Premature Descents at High Density Terminals. E01102-061
Provisional Message Formats for the DABS/NAS Interface. E01102-026
Test and Evaluation of ATCRBS Electronic Scan Antenna. E01102-045
The Application of a Computerized Environmental Information System to Master and Sector Planning. E00712-011

TERMINALS (COMPUTER)

Onshore Lease Management Program Study for the U.S. Geological Survey. E00712-007

TERMINOLOGY

Development of CVS Management Information System. E01101-005

TESTING

Analysis of Individual Underwriting Progress and Problems. E00211-002
Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center. E01101-015
Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions. E00902-017
Computer-Assisted Testing: calibration and evaluation of the verbal ability bank. E05913-003
Development, Application and Testing of a Need Assessment Manual for Areawide CHP Agencies. E00506-072
Discrete Address Beacon System/Air Traffic Control Interface Configuration Document. E01102-015
Evaluation Report on the Effectiveness of the Clinical Laboratories Improvement Program. E00504-005
For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. E01197-001
Implementation of Head Start Planned Variation Testing and Data Collection Effort. Program Evaluation, Youth Conservation Corps. E00512-011
Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered. E00709-003
Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing. E00585-010
Radar Observer Testing. E01102-001
Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration. E01101-004
Research and Development in Topographic Mapping. E00300-015
Review of Materials Sampling and Testing Procedures. E00712-010
Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Televised Radar Display Applications. E01103-006
Test and Evaluation of ATCRBS Electronic Scan Antenna. E01102-043
The Model Cities Program: a comparative analysis of participating cities, process, product, performance and prediction. E01102-045
E00602-041

Diagnostic

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans. E06595-006

Drugs

Supervision Over Investigational Use of Selected Drugs. E00585-006

Laboratory

Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. Report on Survey of the Fishery Products Inspection Service, National Oceanic and Atmospheric Administration. E01102-004
E00300-008

Low Cost Techniques

Analysis of Individual Underwriting Progress and Problems. E00211-002

TESTING**SUBJECT INDEX****Student**

Evaluation of the Community Based Right to Read Program. E00501-011

Tires

Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires. E01197-006

TEXAS

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. E00504-007

Evaluation of Motor Vehicle Safety Standards. E01107-004

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas. E00503-033

Need Assessment and Evaluation of Region VI Health and Education Projects. E00500-207

Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities. E00586-003

Opportunities for Improving the Model Cities Program in San Antonio, Texas. E00692-004

THAILAND

Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. ID-75-9. E01097-024

THEFTS

Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft. E01192-001

THEFTS (TRANSPORTATION INDUSTRY)

Department of Transportation's Cargo Security Program. E01190-001

THEODOLITE POSITIONING

Evaluation of the Rubino Procedure for Radio Telemetric Theodolite Positioning. E01102-054

THERAPEUTIC COMMUNITY PROGRAMS

Staffing and Efficiency of Drug Treatment Programs. E00506-074

THERAPEUTIC REGIMENS

Impact of Regional Medical Programs on Coronary Care in New England. E00506-034

THERMAL ENERGY

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement. E00780-003

THERMAL STANDARDS

Opportunities for Energy Conservation in Federally Insured Homes by Revising Current Thermal Standards and Establishing Additional Standards Where Necessary. E00693-013

THIAMINES

Food Distribution and Food Stamp Program Effects on Nutritional Achievement. E00207-002

THRUST REVERSERS

Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing. E01102-001

TIMBER

Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land. E00299-027

Follow-up on the Need to Resolve Differences in Procedures Used by Federal Timber Management Agencies in Appraising Timber Offered for Sale. E00299-030

Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests. E00299-028

More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand. E00299-032

More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand. E00299-029

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. E00216-001

SUBJECT INDEX**TRADE****TIMBER MANAGEMENT**

Youth Conservation Corps Pilot Program, 1972.

E00700-001**TIMBER SET-ASIDE PROGRAM**

An Analysis of Selected Factors of the SBA-Forest Service Timber Set-Aside Program.

E05507-007**TIME SERIES**

The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact.

E00900-040**TIRES**

Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires.

E01197-006**TITLES**

Quality and Consistency Evaluation of Medlars Indexing: final report.

E00508-037**TOBACCO**Evaluation of the Implementation of Alcohol, Tobacco and Firearms as an Independent Bureau.
Program Evaluation of the Commodity Intelligence System, Tobacco Division.**E01200-003****E00212-006****TOKYO TRADE CENTER**

Analysis of the Tokyo Trade Center Show.

E00212-005**TOURIST SERVICES**

Report on Audit of Activities Associated With Domestic Tourist Services and Facilities, United States Travel Service.

E00300-005**TOWERS (AIRPORT)**Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.
Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique.**E01192-003****E01102-003****TOWING VESSELS**

A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels.

E01101-001**TOXICS**Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.
Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places.**E02390-004****E00599-006****TOXICS (CLINICAL)**

Evaluation of Poison Control System: Vol. I, Project Results, Averages. Models for performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat.

E00505-010**TOXICS (INDUSTRIAL)**

An Incident of Contamination of Livestock Feed and Certain Consumer Products.

E00585-002**TRACKING**

Augmented Radar Beacon Tracking Level System Design Specification.

E01102-014**TRACT DEVELOPMENT**

Title X Land Development.

E00600-006**TRACTION**

Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires.

E01197-006**TRADE**Development Assistance to Korea.
Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.**E01097-011****E02390-004**

TRADE**SUBJECT INDEX**

The Sugar Program: Large Costs and Small Benefits. **E00209-007**
Need for Better Identification and Analysis of Nontariff Barriers to Trade. **E00399-001**

TRADE CENTERS

Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits,
Bureau of International Commerce, Domestic and International Business
Administration. **E00300-015**
Analysis of the Tokyo Trade Center Show. **E00212-005**

TRADE DATA

Report on Review of Market Development Activities, Maritime Administration. **E00300-016**

TRADE SHOWS

Review of the Organization and Operations of the United States Travel Service
(USTS). **E00300-029**

TRADE STAMPS

Food Stamps and Nutrition. **E00207-001**

TRADEMARKS

Strengthening the Role of the U.S. Patent Office. **E00300-028**

TRADING (COMMODITY FUTURES)

Improvements Needed in Regulation of Commodity Futures Trading. **E00299-012**

TRAFFIC**Air**

An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded
Third Generation ATC System. **E01102-020**
Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.
Development of a Non-Survey Method Estimating Traffic at Non-Tower
Airport. **E01192-003**
Military Air Traffic Forecast: 1975-1986. **E01102-012**
New York Offshore Airport Feasibility Study. **E01102-019**
Technical Evaluation of Weather Clutter Feasibility Model. **E01102-013**
E01102-030

Highway

Comparative Performance of Structural Layers in Pavement Systems; design, con-
struction, and behavior under traffic. **E01102-010**
For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with
Federal Safety Standards. **E01197-001**

Marine

Department of Transportation's Cargo Security Program. **E01190-001**
Vessel Traffic Systems Issue Study. **E01101-008**
Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents? **E01191-001**

TRAFFIC ACCIDENTS (MARINE)

Vessel Traffic Systems Issue Study. **E01101-008**

TRAFFIC ARRESTS

Alcohol Safety Action Project: evaluation of operations. **E01107-002**

TRAFFIC CONTROL

General Aviation Aircraft Safety: The Princeton University Conference. **E01102-007**

SUBJECT INDEX**TRAINING****TRAFFIC CONTROL (AIR)**

An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded Third Generation ATC System.
 Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights. Discrete Address Beacon System/Air Traffic Control Interface Configuration Document.
 Measurement and Analyses of ASR-4 System Error.
 Pulse Compression Radar Study.
 Test and Evaluation of ATCRBS Electronic Scan Antenna.

E01102-020
 E01192-003
 E01102-015
 E01102-018
 E01102-024
 E01102-045

TRAFFIC FORECASTS

Government Maritime Communications.

E01101-018

Military Air

Military Air Traffic Forecast: 1975-1986.

E01102-019

TRAFFIC SERVICES

Assessment of Selected State and Community Programs.

E01107-001

TRAFFICKERS (NARCOTICS)

Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough.
 Difficulties in Immobilizing Major Narcotics Traffickers.

E00890-004
 E00890-003

TRAINEES

Aircraft-Pilot and other Pre-Employment Experience as Factors in the Selection of Air Traffic Controllers Trainees.
 Evaluation Study of the Counselor Training Program.
 Follow-up Survey of National Cancer Institute Postdoctoral Fellows (and) Follow-up Survey of National Cancer Institute Graduate Research Trainees; final report.
 Follow-up Survey of National Institute of Dental Research Graduate Trainees and Postdoctoral Fellows.
 Impact Analysis of MDTA Project Transition.
 Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers.
 Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act.
 Strategies for Change in Community Mental Health: New Careers.
 The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings.

E01102-022
 E00902-032
 E00508-029
 E00508-030
 E00902-036
 E01102-021
 E00900-021
 E00503-039
 E00900-017

TRAINEES (EARNINGS)

An Econometric Analysis of the Costs of Selected Manpower Programs: final report.
 The Effect of Manpower Training on Earnings: preliminary results.

E00900-032
 E00900-028

TRAINING

A Retrospective Case Review of WIN II Completed Job Entries: grant reductions, services, and welfare savings.
 A Study of the Problems Encountered in the Provision of Clinical Training for Allied Health Professions.
 A Study of Placement in PA/VR (Public Assistance Vocational Rehabilitation). Ambulatory Health Care Information.
 An Econometric Analysis of the Costs of Selected Manpower Programs: final report.
 An Evaluation of the Economic Impact Project of the Public Employment Program.
 Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments.
 Assessment of Selected State and Community Programs.
 Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey.
 Controls on Use of Psychotherapeutic Drugs and Improved Psychiatrist Staffing Are Needed in Veterans Administration Hospitals.
 Development of a Training Package and Delivery of Training for Site Assessment of Comprehensive Health Planning Agencies 314b and the Development of Assessment Components, Procedures, and Reporting Formats for 314 Agency Site Assessments.
 Effectiveness of the Requirement for Supervisory Training.
 Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.
 Evaluation of Legal Barriers to Emergency Medical Systems Implementation.

E00509-012
 E00506-094
 E00509-002
 E00507-016
 E00900-032
 E00902-005
 E00507-055
 E01107-001
 E00712-008
 E06595-022
 E00506-057
 E05990-020
 E00501-004
 E00507-053

TRAINING

SUBJECT INDEX

Evaluation of MA Services and Supportive Services to American Indians on Reservations.	E00902-033
Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education.	E00506-078
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas.	E00503-033
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project.	E00503-035
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania.	E00503-034
Evaluation Questions Under the Comprehensive Employment and Training Act of 1973.	E00900-038
Evaluation Study of the Counselor Training Program.	E00902-032
Federal Efforts to Combat Drug Abuse.	E00599-002
Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972.	E00506-039
Follow-up Survey of National Cancer Institute Postdoctoral Fellows (and) Follow-up Survey of National Cancer Institute Graduate Research Trainees; final report.	E00508-029
Impact of Regional Medical Programs on Coronary Care in New England.	E00506-034
Improvements Needed in Certain Hospitals' Laboratory Service Activities.	E06595-011
Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse.	E00589-009
Indian Health Service Upward Mobility.	E00507-058
Information on Implementation of the Amendments of Public Law 92-540 Which Impacts on Veterans Taking Correspondence Training.	E06596-010
Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers.	E01102-021
Law Enforcement Training: an analysis of current and future requirements.	E00800-009
Manpower Resources and Needs in Speech Pathology/Audiology.	E00508-045
Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974 YCC program.	E00700-005
Observations on the Effectiveness and Management of Upgrading Training.	E00992-005
On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.	E00506-090
Prepare Natality Data and Evaluate OCR Hardware and Techniques; final report.	E00506-040
Problems in the Homeownership Opportunities Program for low Income Families (HOPLIF).	E00693-018
Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act.	E00992-002
Progress and Problems in Training and Use of Assistants to Primary Care Physicians.	E00586-007
Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act.	E00900-021
Project Head Start: achievements and problems.	E00591-002
Quality Assurance Program for Reimbursable Training.	E05916-001
Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program.	E00586-005
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential.	E00900-004
Some Problems in Contracting for Federally Assisted Child-Care Services.	E00589-003
Study of Impact of Program Variables Upon Effectiveness of MDTA-OJT and Institutional Training Programs on Earnings.	E00902-037
Study of Vocational Training Skills Achievement at Departmental Job Corps Centers.	E00700-008
The Effect of Manpower Training on Earnings: preliminary results.	E00900-028
The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements.	E00992-015
The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved.	E00994-002
The Program Services and Support System of the Rehabilitation Services Administration.	E00509-003
The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings.	E00900-017
Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps.	E00700-004
Training Can Make a Difference.	E05917-007
Youth Conservation Corps Pilot Program, 1973.	E00700-002
Consumer Health	
Evaluation of Consumer Health Training and Education Programs.	E00506-042

SUBJECT INDEX**TRAINING**

Costs	
Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions.	E00902-017
Opportunity to Increase Effectiveness of JOBS-Type Programs to be Funded Under CETA (Comprehensive Employment and Training Act).	E00992-022
Court Reporters	
Test of Gimelli System for Training Court Reporters.	E00811-002
Dental Students	
Dental Auxiliary Utilization: a National Evaluation.	E00506-044
Disadvantaged	
Opportunity to Increase Effectiveness of JOBS-Type Programs to be Funded Under CETA (Comprehensive Employment and Training Act).	E00992-022
Drug Abuse	
The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health.	E00500-068
Electronic Technicians	
Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center.	E01101-015
Environmental Education	
An Evaluation of the 1973 Youth Conservation Corps.	E00700-003
Federal Employees	
Upward Mobility Programs in the Federal Government Should Be Made More Effective.	E05990-017
Health Planning	
Evaluation of Graduate Training Programs in Health Planning.	E00506-047
High School Seniors	
Evaluation of the Pilot Accelerated Apprenticeship Program.	E00902-002
Ironworkers	
Evaluation of the National Ironworkers and Employers Training Program.	E00902-001
Manpower Development	
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-002
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-003
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-004
Medical Librarians	
An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III.	E00508-032
MDTA Institutional	
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-002
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-003
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-004
Nursing Home Personnel	
Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel.	E00506-070
On-the-Job	
Assessment of the Work Incentive Program in Washington State.	E00992-020
From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan.	E00992-021
Problems in the Work Incentive Program in Los Angeles and San Diego.	E00992-027
Slow Implementation of the Work Incentive Program in New York City.	E00992-028
Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia.	E00992-018

TRAINING**SUBJECT INDEX**

Para Professionals Strategies for Change in Community Mental Health: New Careers.	E00503-039
Physician's Assistants Information on the Federal Program for Training Physician's Assistants.	E05990-001
Regional An Evaluation of the 1973 Youth Conservation Corps.	E00700-003
State Education Staff Private Accreditation and Public Eligibility.	E00501-008
State Personnel Need for More Timely and Accurate Data on the Operations of Certain Community Development Programs.	E00692-002
Teachers A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects. A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects. A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates.	E00501-005 E00501-006 E00501-007
Vocational Evaluation of the High School Equivalency Programs for Seasonal Farmworkers. Improving Services to Handicapped Children. Longitudinal Evaluation of WIN Program.	E00902-004 E00500-069 E00902-013
Women Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-002 E00501-004
TRAINING GRANTS Evaluation of Public Health Training Grants.	E00506-096
TRAINING INSTITUTIONS	
Vocational Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions. Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois.	E00902-017 E00995-006
TRAINING LEADERSHIP STUDY Training Leadership Study.	E05916-002
TRAINING NEEDS Assessing the Training Needs of Personnel Specialists.	E05916-006
TRAINING PERSONS FOR FEDERAL MANAGEMENT Evaluation of the Career Development Program in Global Community Health.	E00506-056
TRAINING SKILLS Indian Health Service Upward Mobility.	E00507-058
Vocational Study of Vocational Training Skills Achievement at Departmental Job Corps Centers.	E00700-008
TRANSCRIPTION SERVICE Computer-Aided Transcription Service Center--Evaluation of Commercial Feasibility.	E00811-006
TRANSFER PATIENTS Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals.	E06595-016
TRANSIT SYSTEMS Grants to Improve Bus Transit Systems--Progress and Problems.	E01195-001

SUBJECT INDEX**TREES (FRUIT)****TRANSPORTATION**

Alternatives to the Airport Development Aid Program. E01102-032
Capital Assistance to Bus Transit Systems. E01105-001
For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with
Federal Safety Standards. E01197-001
Need for Improved Controls for Identifying and Correcting Safety Defects on Light
Aircraft. E01192-001
Types of Jobs Offered to Unemployed Persons Under the Emergency Employment
Act of 1971. E00992-006

TRANSPORTATION INDUSTRY

Department of Transportation's Cargo Security Program. E01190-001

TRANSPORTATION SAFETY

Improved Procedures Needed for Implementing Safety Recommendations. E01192-004

TRANSPORTING (AIRCRAFT)

A Simulation of the DABS Sensor for Evaluating Reply Processor Performance. E01102-028

TRANSPORTING (HAZARDOUS MATERIALS)

Need for Improved Inspection and Enforcement in Regulating Transportation of
Hazardous Materials. E01190-002

TRANSPORTING (RADIOACTIVE MATERIALS)

Opportunity for AEC to Improve Its Procedures for Making Sure that Containers
Used for Transporting Radioactive Materials Are Safe. E06290-003
Protecting Special Nuclear Material in Transit: Improvements Made and Existing
Problems. E06290-005

TRANSHIPMENT BY PIPELINE

Supertanker Environmental Study. E01101-007

TRAVEL

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recrea-
tion Grants. E00795-001

TRAVEL SERVICES

Foreign Visitor Travel to The United States can be Increased. E00399-004
Report on Audit of Activities Associated with Domestic Tourist Services and Facili-
ties, United States Travel Service. E00300-005
Review of the Organization and Operations of the United States Travel Service
(USTS). E00300-029

TREADWEAR

Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires. E01197-006

TREATY OBLIGATIONS

Report on Audit of the Marine Resources Monitoring, Assessment and Prediction
Program, National Oceanic and Atmospheric Administration. E00300-001

TREE REMOVAL

Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber
Marking Assists. E00216-003

TREES

Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Envi-
ronmental Damage in Federal Forests. E00299-028
More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand.
The Application of a Computerized Environmental Information System to Master and
Sector Planning. E00712-011
Youth Conservation Corps-Pilot Program 1973. E00216-004

TREES (FRUIT)

An Evaluation of Insurance Experience. E00211-001
Evaluation of Experience Under Improved Management Practice for Corn. E00211-003

TREND ANALYSIS**SUBJECT INDEX****TREND ANALYSIS**

First Report of the Environmental Measures Project: Analysis and Applications of Environmental Quality Indicators. **E02300-010**
Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973. **E01101-006**
Summary Report 1973: Doctorate Recipients from U.S. Universities. **E00508-042**

TRIBAL GOVERNMENT

Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota. **E00299-022**

TRIBAL HEALTH CARE

Menominee Tribal Health Care Program Planning. **E00507-068**

TRIBAL ORGANIZATIONS

Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971. **E00992-009**

TRIBAL PARTICIPATION

National Indian Health Board: Consumer Evaluation of Indian Health Services. **E00507-030**

TRIBES

Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971. **E00992-009**
Land Management Activities on Three Reservations in South Dakota Can Be Improved. **E00793-001**
Selection and Enrollment of Participants in Programs Under the Emergency Employment Act of 1971. **E00992-003**

TROOP REDUCTION

Status of Efforts to Offset Balance-of-Payment Deficit for Fiscal Year 1974 Attributable to Maintaining U.S. Forces in Europe. **E00399-002**

TROOPS (VIETNAM)

Development Assistance to Korea. **E01097-011**

TRUST FUNDS

Cost-Benefit Analysis of SSDI (Social Security Disability Income) Trust Fund Program. **E00510-148**
Need for a Uniform Method for Paying Interest on Government Trust Funds. **E01280-001**

TRUST TERRITORIES

Government Maritime Communications. **E01101-018**

TUBERCULOSIS

Evaluation of VISA Medical Activities. **E00504-004**
Preventive Health Program (Part II): Tuberculosis Control Planning Models. **E00507-019**
Progress and Problems in Providing Health Services to Indians. **E00587-003**
The Effect of Discontinuing Project Grants for Tuberculosis. **E00504-021**

TULSA, OKLAHOMA

Urban Renewal Land Disposition Studies: Tulsa, Oklahoma. **E00602-059**

TUMORS

Problems in Regulating Selected Vaccines. **E00585-003**

TUNISIA

Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. **E01097-024**

TUBRINE ENGINE FUELS

Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. **E01102-004**

TURKEY

Rescission of the Opium Poppy Growing Ban by Turkey. **E01097-022**

Economic Assistance

United States Economic Assistance to Turkey. **E01097-023**

SUBJECT INDEX**UNDERSEA EQUIPMENT****TURNKEY DEVELOPERS**

The Low-Rent Housing Project on Quebec Road in Cincinnati.

E00692-999

TURNKEY III--HOMEOWNERSHIP

Turnkey III--Homeownership.

E00600-106

TURNOVER RATES

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.

E00501-004

TURTLE MOUNTAIN

A Comparative Consumer Survey on Human Services for American Indians in North Dakota.

E00500-209

TUTORING ACTIVITIES

Evaluation of the Community Based Right to Read Program.

E00501-011

TV NETWORK (MEDICAL CENTERS)

Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast.

E00508-034

TWIN-TANDEM ASSEMBLIES (BOEING 747 SPACING)

Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic.

E01102-010

TWOEQUATION SYSTEM

The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.

E00900-023

TYPING EQUIPMENT (AUTOMATED)

Interim Report on the Review of the Domestic and International Business Administration.

E00300-023

U.N. RELIEF OPERATIONS

Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis.

E01097-017

U.S. FLAG SHIPS

Selected and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis.

E01097-017

U.S. MANUFACTURED AIRCRAFT

Export of U.S.-Manufactured Aircraft--Financing and Competitiveness.

E00399-003

UNDERCOVER WORK

Efforts to Prevent Heroin from Illicitly Reaching the U.S.

E00880-001

UNDEREMPLOYED

Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971.

E00992-006

UNDERGROUND COAL MINING

Land Reclamation Requirements and Their Estimated Effects on the Coal Industry. The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.

E00900-035

E00900-023

UNDERNOURISHED

Food for Peace: An Evaluation of P.L. 480--Title II.

E00212-002

UNDERSEA EQUIPMENT

International Inventory and Forecast of Offshore Petroleum and Mineral Activity.

E01101-003

UNDERWRITING CROP INSURANCE**SUBJECT INDEX****UNDERWRITING CROP INSURANCE**

Analysis of Individual Underwriting Progress and Problems. E00211-002

UNDERWRITING MOBILE HOME PARKS

Mobile Home Parks Underwriting Procedures. E00600-076

UNDISCIPLINARY TRAINING

Nursing Home Evaluation Contract: Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel. E00506-070

UNEMPLOYED

Concentrated Employment Program in New York City Has Not Met Its Employment Objectives. E00992-001

Differences in the Total Employment Effectes of Government Purchases from the Private Sector and Direct Government Hiring of the Unemployed. E00900-012

Forward Plan for Health: FY 1977-81. E00500-008

Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks. E00992-012

The Effect of Manpower Training on Earnings: Preliminary results. E00900-028

Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971. E00992-006

UNEMPLOYED FATHERS

Problems in Administering Two Eligibility Aspects in the Aid to Families with Dependent Children Program. E00589-002

UNEMPLOYED INDIANS

Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971. E00992-009

UNEMPLOYMENT

An Evaluation of the Economic Impact Project of the Public Employment Program. E00902-005

Economic Considerations for Manpower Revenue Sharing. E00900-019

Theories of Search in a Labor Market. E00900-022

Unemployment and Disability: An Econometric Analysis with Time Series Data. E00510-036

UNEMPLOYMENT BENEFITS

Employment Services for Vietnam-Era Veterans Could Be Improved. E00992-024

The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates. E00900-016

UNEMPLOYMENT INSURANCE

The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates. E00900-016

UNFAVORABLE BUSINESS LOCATIONS

Limited Success of Federally Financed Minority Businesses in Three Cities. E05590-003

UNFAVORABLE INSURANCE EXPERIENCE

Analysis of Individual Underwriting Progress and Problems. E00211-002

UNIFORM ACCOUNTING SYSTEM

Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants. E00507-020

UNIFORM BASIC DATA SET

Evaluation of the Uniform Hospital Discharge Abstract Site Test. E00506-053

UNIFORM GRAIN STORAGE AGREEMENT

Uniform Grain Storage Agreement. E00209-003

UNIFORM HIGHWAY SAFETY STANDARDS

The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles. E01197-005

SUBJECT INDEX**URBAN AREAS****UNIFORM IDENTIFICATION SYSTEM**

The Federal Catalog Program: Progress and Problems in Attaining a Uniform Identification System for Supplies.

E03790-001**UNIONIZATION**

Impact of the Recent Inflation on Labor Skill Differentials.

E00900-033**UNIONS**

Evaluation of the National Ironworkers and Employers Training Program.
Evaluation of the Pilot Accelerated Apprenticeship Program.

**E00902-001
E00902-002****UNIVERSITY OF MICHIGAN**

Youth Conservation Corps Pilot Program, 1973.

E00700-002**UNIVERSITY TRAINING**

Evaluation Study of the Counselor Training Program.

E00902-032**UNPLANNED COMMUNITIES**

Cost of Sprawl: comparison of planned vs. unplanned communities.

E00601-159**UNWED MOTHERS**

Study of Programs for Unwed Teenage Mothers.

E00507-031**UNWED PARENTHOOD**

A Comparative Consumer Survey on Human Services for American Indians in North Dakota.

E00500-209**UNWHOLESOME FOODS**

Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public.

E00585-014**UPGRADING TRAINING**

Observations on the Effectiveness and Management of Upgrading Training.

E00992-005**UPLAND COTTON**

Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).

E00209-001**UPLAND HABITAT**

Review of Wetlands Acquisition Program.

E00709-001**UPPER INCOME GROUPS**

The Neighborhood Youth Corps: An Impact Evaluation.

E00900-018**UPPER INCOME INVESTORS**

An Evaluation of Subsidy Forms for Soil and Water Conservation.

E00219-005**UPWARD MOBILITY**

Indian Health Service Upward Mobility.
Upward Mobility Programs in the Federal Government Should Be Made More Effective.

**E00507-058
E05990-017****URANIUM**

Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners.
Improvements Needed in the Program for the Protection of Special Nuclear Material.

**E00504-011
E06290-004****URANIUM (ENRICHED)**

Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems.

E06290-005**URBAN AREAS**

Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns.
Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public.
Public Employment Programs in Selected Rural and Urban Areas.

**E00510-050
E00585-014
E00992-011**

URBAN AREAS**SUBJECT INDEX**

Research and Development in Topographic Mapping. E00712-010
 Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas. E00992-016
 Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insurance Impact on HSA Activities. E00507-076
 The Effects of FHA Activity in Older, Urban, Declining Areas: a review of existing, related analysis. E00600-010
 The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements. E00992-015
 Who's Picking Up the Check for Pennsylvania's School Lunches? E00207-007

URBAN BEAUTIFICATION

Linear Parkway: bay area rapid transit urban beautification demonstration project. E00602-052

URBAN DEVELOPMENT

Department of Housing and Urban Development Should Reduce Handling Fees Paid to Mortgagees Servicing Subsidized Mortgages. E00693-023
 Department of Housing and Urban Development's 'as is' Sales Program in Flint, Michigan. E00693-021
 Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. E01193-001
 Differences in Costs of Purchasing Houses in Seven States through the Departments of Housing and Urban Development and Agriculture. E00693-022
 Improvements Needed in Department of Housing and Urban Development's Administration of the New Community Development Program. E00691-001
 Monitoring of Housing and Urban Development Investigation of the Pueblo of Laguna Housing Authority. E00694-011
 Observation on Housing Allowances and the Experimental Housing Allowance Program. E00693-019
 Opportunities for Improvement in Coordination of Housing Planning Efforts between State and Interstate Regional Planning Agencies and in the Department of Housing and Urban Development Guidelines on Updating Housing Studies. E00692-017
 The Application of a Computerized Environmental Information System to Master and Sector Planning. E00712-011
 The Future of Local Urban Development. E00602-055

URBAN EMPLOYMENT

Cost Effectiveness Evaluation of the Urban Concentrated Employment Program. E00902-022

URBAN ENVIRONMENT

Local Government Approaches to Capacity Building. E00602-039

URBAN LEAGUE ADVOCACY PROJECT

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania. E00503-034

URBAN PEP PROJECTS

Survey of Public Employment Terminations. E00902-018

URBAN PROBLEMS

The Model Cities Program: a comparative analysis of city response patterns and their relation to future urban policy. E00602-040

URBAN RAT CONTROL

Rat Control Evaluation Project; Philadelphia Household Interview and Environmental Survey. E00504-012

URBAN RECREATION

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants. E00795-001

URBAN RENEWAL

Close-out of Urban Renewal Projects. E00600-063
 Closeout of Urban Renewal Projects. E00600-093
 Evaluating Local Urban Renewal Projects. E00602-054
 Evaluation of On-Going Urban Renewal Activities. E00600-114
 Evaluation of Urban Renewal Activities. E00600-092
 Guidelines for Urban Renewal Land Disposition. E00602-046

SUBJECT INDEX**VEHICLE CODES**

Information on Federal Disaster Relief Programs.	E05590-002
Need to Improve Management of Urban Renewal Projects.	E00692-018
Prices Paid for Property Acquired in Urban Renewal Programs in the District of Columbia.	
Review of Certain Aspects of the Urban Renewal Program in Lynn, Massachusetts.	E00692-007
The Impact of Exogenous Factors Upon Urban Renewal Land Disposition.	E00692-012
Urban Renewal Land Acquisition (Proclaimer).	E00602-045
Urban Renewal Land Acquisition Activities.	E00600-066
Urban Renewal Land Disposition Activities.	E00600-072
Urban Renewal Land Disposition Activities.	E00600-112
Urban Renewal Land Disposition Activities.	E00600-117
Urban Renewal Land Disposition Studies: Boston, Mass.	E00602-048
Urban Renewal Land Disposition Studies: Durham, NC.	E00602-056
Urban Renewal Land Disposition Studies: Kingston, N.Y.	E00602-043
Urban Renewal Land Disposition Studies: Louisville, Ky.	E00602-058
Urban Renewal Land Disposition Studies: St. Paul, Minn.	E00602-057
Urban Renewal Land Disposition Studies: Supplementary Cities.	E00602-049
Urban Renewal Land Disposition Studies: Tulsa, Oklahoma.	E00602-059
Urban Renewal Land Disposition Study: literature search.	E00602-047
Urban Renewal Land Disposition.	E00600-085
URBAN REPORTING SYSTEM	
National Urban Reporting System.	E01105-003
URBAN RESIDENTIAL AREAS	
Architectural Design to Improve Security in Urban Residential Areas.	E00811-023
URBAN TRANSPORTATION	
Capital Assistance to Bus Transit Systems.	E01105-001
Fostering Urban Transportation Activities in Universities.	E01105-002
URBANIZATION	
Professional Standards and Review Organization (PSRO) Cost Study.	E00507-046
UTILITY GRADE STEERS	
Evaluation of the FY 1974 USDA Special Beef Purchase.	E00200-004
VACANCY RATES	
Benefits Could Be Realized by Revising Policies and Practices for Acquiring Existing Structures for Low Rent Public Housing.	E00694-001
Observation on Housing Allowances and the Experimental Housing Allowance Program.	E00693-019
VACANT LOTS	
Disposal of Acquired Properties in Detroit, Michigan: progress and problems.	E00693-015
VACCINES	
Problems in Regulating Selected Vaccines.	E00585-003
VALUE ENGINEERING	
Methods for Estimating Hospital and Nursing Home Bed Requirements in the San Francisco Area.	E06595-004
Potential for Using Alternative Dietary Systems in Veterans Administration Hospitals.	E06595-003
VAPOR IGNITION	
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting.	E01102-004
VASCULAR STUDIES	
Reuse of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals.	E06595-015
VEGETABLES	
Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
VEHICLE CODES	
Evaluation of Legal Barriers to Emergency Medical Systems Implementation.	E00507-053

VEHICLE CRASHES**SUBJECT INDEX****VEHICLE CRASHES**

Evaluation of Motor Vehicle Safety Standards. E01107-004

VEHICLE DRIVERS

Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems. E06290-005

VEHICLE SAFETY

Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs. E01197-004

For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. E01197-001

Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards. E01197-002

VENEREAL DISEASES

Development of Data Base for Assessing Impact of Newly Established Venereal Disease Control Program. E00504-019

Effectiveness Criteria in Venereal Disease Control Programs. E00504-020

Extent and Characteristics of Venereal Disease Education in School Systems. E00504-006

Progress and Problems in Providing Health Services to Indians. E00587-003

VENEZUELA

Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. ID-75-9. E01097-024

VENEZUELAN EQUINE ENCEPHALITIS

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. E00504-007

VENT SYSTEM OXYGEN CONCENTRATION

Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System. E01102-009

VERBAL ABILITY BANK

Computer-Assisted Testing: calibration and evaluation of the verbal ability bank. E05913-003

VESSEL ACCIDENTS

Department of Transportation's Cargo Security Program. E01190-001

VESSEL COLLISIONS

Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents? E01191-001

VESSEL OPERATIONS

Department of Transportation's Cargo Security Program. E01190-001

VESSEL RADIOTELEPHONE

Vessel Traffic Systems Issue Study. E01101-008

VESSEL TRAFFIC

Department of Transportation's Cargo Security Program. E01190-001

Vessel Traffic Systems Issue Study. E01101-008

Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents? E01191-001

VESSELS

Government Maritime Communications. E01101-018

Great Lakes Pilotage Review. E01101-012

International Inventory and Forecast of Offshore Petroleum and Mineral Activity. E01101-003

Report on Audit of the Ship Transfer Program, Maritime Administration. E00300-006

Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents? E01191-001

Towing

A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels. E01101-001

U.S. Flag

Report on Audit of the Cargo Preference Program, Maritime Administration. E00300-004

SUBJECT INDEX**VETERANS BENEFITS**

Report on Review of Market Development Activities, Maritime Administration. E00300-016

VETERANS

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans. E06595-006
Credentialling of Health Care Professionals: its costs and some alternatives for HSA. E00507-066
Evaluation of Special Manpower Services to Veterans. E00902-035
Improvements Needed in Program to Contact and Assist Recently Discharged Veterans. E06595-012
Management Practices Used by the VA's Denver Regional Office in Assisting Veterans. E06596-004
Private Accreditation and Public Eligibility. E00501-008
Veterans Assistance Program in the Philippines Should Be Improved. E06590-003
Young Widows and Their Children: A Comparative Report. E00510-011

Disabled

Employment of Handicapped Individuals and Disabled Veterans in the Federal Government. E05908-008

Drug Treatment

Information on Veterans Administration's Drug Treatment Program and Specific Data on Veterans Administration's Drug Dependents Treatment Centers in New York State. E06595-018

Educational Assistance

Delays Experienced by Veterans in Receiving Educational Assistance Payments, Veterans Administration. E06596-013

Educationally Disadvantaged

Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans. E06596-003

Health Care Delivery

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans. E06595-006

In Prison or on Parole

Need for Improved Outreach Efforts for Veterans in Prison or on Parole. E06596-005

Jobs

Employment Services for Vietnam-Era Veterans Could Be Improved. E00992-024

Medical Advantages

Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals. E06595-016

Nursing Homes

Program for Providing Nursing Home Care to Veterans in State-Operated Nursing Homes. E06595-001

Patients

Review of Allegations by Veterans About Patient Treatment in the Iowa City VA Hospital. E06595-021

VETERANS ADMINISTRATION

Review of Veterans Administration Program Evaluation: Department of Veterans Benefits. E06504-001

VETERANS ADMINISTRATION HOSPITALS

Information on Professional Fees and Other Compensation Paid for Services of Selected Physicians Employed at the Veterans Administration Hospital in Miami, Florida. E06595-007
Potential for Using Alternative Dietary Systems in Veterans Administration Hospitals. E06595-003

VETERANS BENEFITS

Review of Veterans Administration Program Evaluation: Department of Veterans Benefits. E06504-001

VETERANS STUDENT SERVICES**SUBJECT INDEX****VETERANS STUDENT SERVICES**

Review of the On-Campus Veterans Student Services Program. E06504-005

VETERINARY SCHOOLS

Private Accreditation and Public Eligibility. E00501-008

VHF FM BANDS

Government Maritime Communications. E01101-018

VICTIMS

Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims. E05590-001

VIDEO

Augmented Radar Beacon Tracking Level System Design Specification. E01102-014

VIDEO CORRELATION

Radar Target Detection Employing On-Line Estimation of the Video Correlation. E01102-016

VIDEO DATA INPUTS

Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Televised Radar Display Applications. E01102-043

VIETNAM

Development Assistance to Korea. E01097-011

Progress and Problems of U.S. Assistance for Land Reform in Vietnam. E01097-009

VIRGINIA

A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States. E00503-020

Cost Benefit of Utilization Review Techniques in Medicaid. E00509-014

Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050

Evaluation of Motor Vehicle Safety Standards. E01107-004

Need for HUD to Prepare an Environmental Impact Statement for Town of Leesburg, Virginia, Potomac River Water Supply Project. E00692-022

VISA

Evaluation of VISA Medical Activities. E00504-004

VISITORS

Foreign Visitor Travel to The United States can be Increased. E00399-004

Report on Audit of Activities Associated With Domestic Tourist Services and Facilities, United States Travel Service. E00300-005

Review of the Organization and Operations of the United States Travel Service (USTS). E00300-029

VITAMINS

School Feeding Effectiveness. E00207-004

VITATRON MEDICAL, INCORPORATED

Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Recalled by the General Electric Company. E00585-011

VOCATIONAL TRAINING

Evaluation of National Institute for Occupational Safety and Health Short Term Training Program. E00504-016

VOICE COMMUNICATION

Objective Measurement Techniques for Evaluating Voice Communication Channels. E01102-052

VOLUNTARY AGENCIES

Food for Peace: An Evaluation of P.L. 480--Title II. E00212-002

VOLUNTEERS

Nursing Home Ombudsmen; five case studies. E00506-071

SUBJECT INDEX**WAGES****VORTICES**

- Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique. E01102-003
 Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique. E01102-008

WAGE DIFFERENTIALS

- Compensating Wage Differentials and Hazardous Work. E00900-014
 Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential. E00900-004
 The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact. E00900-040

WAGE DISTRIBUTIONS

- Job Matching Under Imperfect Information. E00900-020
 Minimum Wage Legislation in the United States. E00900-013

WAGE EQUATION

- Compensating Wage Differentials and Hazardous Work. E00900-014

WAGE ESCALATOR

- Indexing for Inflation: Some Formal Models and an Informal Policy Proposal. E00900-030

WAGE GARNISHMENT

- Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-003
 Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act. E00904-010

WAGE SUBSIDIES

- Categorical Wage-Bill Subsidies: theory and application. E00509-027
 Full Employment and Income Security: the role of wage subsidy programs as policy weapons. E00509-018
 Sheltered Workshops Under the Fair Labor Standards Act. E00904-012
 Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature. E00900-011

WAGES

- Black-White Earnings and Employment: 1960-1970. E00900-027
 Compliance with the Minimum Wage Law: progress report. E00900-003
 Differences in the Total Employment Effects of Government Purchases from the Private Sector and Direct Government Hiring of the Unemployed. E00900-012
 Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-002
 Evaluation of the National Ironworkers and Employers Training Program. E00902-001
 Improving the Pay Determination Process for Federal Blue-Collar Employees. E05990-019
 Indexing for Inflation: Some Formal Models and an Informal Policy Proposal. E00900-030
 Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-002
 Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-003
 Minimum Wage Legislation in the United States. E00900-013
 Self-Employment in the Covered Work Force. E00510-037
 Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential. E00900-004
 Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data). E00906-002
 The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates. E00900-016
 The Four Questions for Evaluating the Effects of the FLSA (Federal Labor Standards Act) Minimum Wage Provisions. E00900-039
 Veterans Assistance Program in the Philippines Should Be Improved. E06590-003
 Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act. E00904-010
 Workmen's Compensation Under Security. E00510-022
 Youth Conservation Corps-Pilot Program 1973. E00216-004

WAREHOUSEMEN**SUBJECT INDEX****WAREHOUSEMEN**

Uniform Grain Storage Agreement. **E00209-003**

WAREHOUSES

Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses. **E01282-001**

WASHINGTON (STATE)

Assessment of the Work Incentive Program in Washington State. **E00992-020**

Case Studies of Statewide Comprehensive Health Planning; a final report. **E00506-033**

Dual Operation in State of Washington. **E00207-023**

Evaluation of Planning and Coordination of Manpower Programs-Phase II. **E00500-218**

Evaluation of the Common Services Pilot Project in the Arcade Plaza Building, Seattle, Washington. **E03700-007**

Human Resources Demonstration Project. **E00500-215**

Quality of Day Care in Region X Before and After School Care. Phase I. **E00500-211**

WASHINGTON, D.C.

A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States. **E00503-020**

Cost Benefit of Utilization Review Techniques in Medicaid. **E00509-014**

Evaluation of the Pilot Accelerated Apprenticeship Program. **E00902-002**

Interim Report on the Agency for International Development's Housing Investment Guaranty Program. **E01097-008**

Long Term Impact of Law Enforcement Assistance Grants Can Be Improved. **E00891-011**

Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. ID-75-9. **E01097-024**

Managing Recreation Facilities at Bureau of Reclamation Reservoirs. **E00780-006**

More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs. **E00995-004**

Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C. **E00599-003**

Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters). **E00585-005**

Public Employment Programs in Selected Rural and Urban Areas. **E00992-011**

The Federal Reclamation Program; its impacts, issues and future considerations. **E00716-001**

WASHINGTON METROPOLITAN RAPID TRANSIT

The Application of a Computerized Environmental Information System to Master and Sector Planning. **E00712-011**

WASHINGTON NATIONAL AIRPORT

Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis. **E01102-006**

WASTE DISPOSAL

FmHA Water and Waste Disposal Program Assistance to Small Rural Communities. **E00200-005**

Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview. **E00201-001**

Using Solid Waste to Conserve Resources and to Create Energy. **E02390-010**

WASTE MANAGEMENT

Cleaning Up the Great Lakes: United States and Canada Are Making Progress in Controlling Pollution from Cities and Towns. **E02390-011**

General Report of the Air Compliance Review Group. **E02300-006**

Need for Federal Agencies to Improve Solid Waste Management Practices. **E02390-001**

Need to Improve Administration of the Water Pollution Research, Development, and Demonstration Program. **E02390-002**

Using Solid Waste to Conserve Resources and to Create Energy. **E02390-010**

SUBJECT INDEX**WATERWAYS****WASTEWATER MANAGEMENT**

Review of the Municipal Waste Water Treatment Works Program. E02300-004

WATER CONSERVATION

An Evaluation of Subsidy Forms for Soil and Water Conservation. E00219-005

Progress in Meeting Important Objectives of the Great Plains Conservation Program
Could Be Improved. E00299-035

Youth Conservation Corps Pilot Program, 1972. E00700-001

WATER POLLUTION

First Report of the Environmental Measures Project: Analysis and Applications of
Environmental Quality Indicators. E02300-010

Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow.
Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Envi-
ronmental Damage in Federal Forests. E02390-009

Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.
Need to Improve Administration of the Water Pollution Research, Development, and
Demonstration Program. E00299-028

Report of a Study of Control Agency Revenue Fees. E02390-003

Report on Audit of Selected Aspects of the Environmental Pollution Measurement
Programs, National Bureau of Standards. E02390-002

Research and Demonstration Programs to Achieve Water Quality Goals: What the
Federal Government Needs to Do. E02300-003

Supertanker Environmental Study. E00300-007

Supertanker Environmental Study. E02390-007

Supertanker Environmental Study. E01101-007

WATER QUALITY

Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff. E02390-003

Research and Demonstration Programs to Achieve Water Quality Goals: What the
Federal Government Needs to Do. E02390-007

WATER RESOURCES

Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive
Water from Federal Water Resources Projects. E00796-001

National Safe Drinking Water Strategy: One Step at a Time. E02300-007

Southwestern Federal Power Program--Financial Progress and Problems. E00780-002

The Federal Reclamation Program; its impacts, issues and future considerations. E00716-001

WATER SUPPLIES

Employment Impacts of the Economic Development Administration's Public Works
Program. E00201-002

Improved Federal and State Programs Needed to Insure the Purity and Safety of
Drinking Water in the United States. E02390-006

Need for HUD to Prepare an Environmental Impact Statement for Town of Leesburg,
Virginia, Potomac River Water Supply Project. E00692-022

Supertanker Environmental Study. E01101-007

WATER TREATMENT

Improved Federal and State Programs Needed to Insure the Purity and Safety of
Drinking Water in the United States. E02390-006

Review of the Municipal Waste Water Treatment Works Program. E02300-004

WATERBORNE COMMERCE

Vessel Traffic Systems Issue Study. E01101-008

WATERCRAFT

Cutter Issue Study. E01101-009

WATERFOWL

Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974. E00709-006

Review of Wetlands Acquisition Program. E00709-001

WATERSHEDS

Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource
Values in Nevada. E00714-001

WATERWAYS

Department of Transportation's Cargo Security Program. E01190-001

WATERWAYS**SUBJECT INDEX**

Supertanker Environmental Study. E01101-007
Vessel Traffic Systems Issue Study. E01101-008
Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents? E01191-001

WAYNE COUNTY, MICHIGAN

From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan. E00992-021

WEAPONS

Test and Evaluation of Less Lethal Weapons, Material, and Techniques. E00811-028

WEATHER

Evaluation of Nation-Wide Preflight Weather Briefing Procedures. E01102-059
Program Evaluation of the Commodity Intelligence System, Tobacco Division. E01102-016
Radar Target Detection Employing On-Line Estimation of the Video Correlation. E01102-030
Technical Evaluation of Weather Clutter Feasibility Model. E00205-002
The Southwestern Screwworm Eradication Program: a review.

WEAVERS

Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan Cotton Promotion Institution. E00212-004

WELFARE

A Retrospective Case Review of WIN II Completed Job Entries: grant reductions, services, and welfare savings. E00509-012
Analysis of Medicaid Buy-In Experience. E00509-015
Evaluation of PEP (Public Employment Program) Welfare Demonstration Project. E00902-044
Food Stamps and Nutrition. E00207-001
Handbook on the Management of Evaluation Contracts. E00500-073
Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite Experiment Evaluation. E00508-043
Improving Federally Assisted Family Planning Programs. E00587-006
Longitudinal Evaluation of WIN Program. E00902-013
Pension Reform Legislation of 1974. E00510-018
Private Accreditation and Public Eligibility. E00501-008
Problems in Administering Two Eligibility Aspects in the Aid to Families with Dependent Children Program. E00589-002
Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places. E00599-006
Social Welfare Expenditures, Fiscal Year 1974. E00510-017
The Effects of Welfare Payments on Family Expenditures. E00510-141
The Evaluation of the WIN II Program: methodological issues and some initial results. E00900-001
The Quality Control System in Welfare: Its Implementation in Pennsylvania. E00589-001
Value for Money in Health Services. E00510-026
Welfare and Illegitimacy: an economic model and some preliminary results. E00509-022
Welfare Policy and Family Splitting. E00509-020
WIN II Initial Impact Study. E00509-009

WELFARE EXPENDITURES

Considerations in Developing Welfare Cost Standards. E00509-019
Further Evidence on the Impact of the WIN II Program. E00900-041
Social Welfare Expenditures, Fiscal Year 1974. E00510-017
Social Welfare Expenditures, 1972-73. E00510-045

WELFARE NEEDS

Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V. E00500-201

WELFARE PLANS

Pension Reform Legislation of 1974. E00510-018

WELFARE RECIPIENTS

Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients. E00589-005
Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency? E00589-004

SUBJECT INDEX**WIDOWS****WEST AFRICA**

A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management.
Regional Poultry Project in West Africa.

E01017-005
E01097-012

WEST PAKISTAN

Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis.

E01097-001

WEST VIRGINIA

Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns.

E00510-050

WETLANDS

Research and Development in Topographic Mapping.
Review of Wetlands Acquisition Program.

E00712-010
E00709-001

WHEAT

Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy.
Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis.
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).
Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis.
The Case of P.L. 480, The Side Effects of Foreign Aid: Wheat in Colombia.

E00209-006
E01097-001
E00209-001
E01097-017
E00212-001

WHEELS

Aircraft-Pavement Compatibility Study.

E01102-011

WHITE CAMPERS

Post-camp Uses and Effects of YCC Experiences.

E00700-006

WHITE COLLAR SALARIES

Assessment of Process of Translating Private Enterprise Salary Data into Federal White Collar Salary Adjustments.

E05990-004

WHITE MALES

Compensating Wage Differentials and Hazardous Work.
Measuring the Effect of the Federal Government on the Change in the Labor Market Position of Black Male Workers Relative to White Male Workers: 1966 to 1970.
The Effect of Manpower Training on Earnings: preliminary results.
The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors.

E00900-014
E00900-010
E00900-028
E00900-029

WHITE WORKERS

Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns.
Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential.

E00510-050
E00900-004

WHITES

Black-White Earnings and Employment: 1960-1970.
Blacks and Social Security Benefits: Trends, 1960-1973.
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.
The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors.

E00900-027
E00510-012
E00503-018
E00900-029

WIDEBODY JETS

Aircraft-Pavement Compatibility Study.

E01102-011

WIDOWERS

The Disabled Widow.

E00510-016

WIDOWS

Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows.
Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits.
The Disabled Widow.
Young Widows and Their Children: A Comparative Report.

E00590-003
E00590-008
E00510-016
E00510-011

WILD FLIES**SUBJECT INDEX****WILD FLIES**

The Southwestern Screwworm Eradication Program: a review. E00205-002

WILD HEMP

Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971. E00200-001

WILD HORSES

Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada. E00714-001

WILDLIFE

An Evaluation of the ASCS Pilot Public Access Program. E00209-008

Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada. E00714-001

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. E00216-001

Program Evaluation, Youth Conservation Corps. E00709-003

Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974. E00709-006

Review of Cooperative Fisheries and Wildlife Unit Program. E00709-002

Review of Wetlands Acquisition Program. E00709-001

The Southwestern Screwworm Eradication Program: a review. E00205-002

Youth Conservation Corps-Pilot Program 1973. E00216-004

WILLIAMSPORT, PENNSYLVANIA

Health Benefits Program Audit Report: Aetna of Williamsport, Pennsylvania. E05909-001

WIN II

A Retrospective Case Review of WIN II Completed Job Entries: grant reductions, services, and welfare savings. E00509-012

Bibliographies, Notes and Summaries Concerning WIN. E00509-008

Evaluation of Job Development in SMSA. E00902-029

Further Evidence on the Impact of the WIN II Program. E00900-041

Longitudinal Evaluation of WIN Program. E00902-013

Special Approval and Evaluation of the WIN II Program. E00509-011

The Evaluation of the WIN II Program: methodological issues and some initial results. E00900-001

Validation and Evaluation of the Impact of the WIN II Data System. E00902-003

WIN II Administrative Impact Study. E00509-010

WIN II Initial Impact Study. E00509-009

Benefits

Design and Evaluation of Innovative Staffing Pattern for WIN Child Care Establishments. E00902-026
E00900-001

Guidelines

WIN II Initial Impact Study. E00509-009

Tax Credit

An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit. E00900-007

Employment and Wage Effects of the WIN Tax Credit. E00509-026

WIND EROSION

Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment. E00200-003

WISCONSIN

An Assessment of the Intermediate Care Facility Program in Region V. E00500-219

An Evaluative Study of Early and Periodic Screening, Diagnosis and Treatment Program in Ohio and Wisconsin. E00500-204

Development of Model Interagency Strategy for Early Periodic Screening, Diagnosis and Treatment (EPSDT) Implementation, Monitoring and Evaluation. E00500-203

Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. E01193-001

Evaluation of Experience Under Improved Management Practice for Corn. E00211-003

Menominee Tribal Health Care Program Planning. E00507-068

Pricing Under Federal Milk Market Regulation: theory, objectives and impact. E00204-002

7 OF 10



SUBJECT INDEX**WORK EXPERIENCE****WITCHWEED**

An Evaluation of the Witchweed Program. E00205-001

WOMEN

Assets on the Threshold of Retirement. E00510-053

Compliance with the Minimum Wage Law: progress report. E00900-003

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-002

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-003

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-004

Evaluation of MA Services and Supportive Services to American Indians on Reservations. E00902-033

Evaluation Studies on Maternal and Infant Care Projects. E00507-036

Family Structure in Pre-Retirement Years. E00510-049

Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972. E00506-039

Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers. E01102-021

Major Evaluation Questions Concerning the Federal Contract Compliance Program: Federal Contracts for Goods and Services. E00900-031

Need for Subsidizing Family Planning Services; United States, each state and county, 1971. E00507-033

Observations on Evaluation of the Special Supplemental Food Program. E00299-023

Problems in Administering Programs to Improve Law Enforcement Education. E00891-015

Salmonella in Raw Meat and Poultry: an assessment of the problem. E00585-008

The Disabled Widow. E00510-016

The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved. E00994-002

Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature. E00900-011

Women born in Early 1900's: employment, Earnings and Benefit Levels. E00510-042

Disabled

The Disabled Widow. E00510-016

Manpower Programs

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-002

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-004

Training

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-002

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-003

Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-004

WOOD

Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests. E00299-028

More Usable Dead or Damaged Trees Should Be Salvaged To Help Timber Demand. E00299-029

WORK

Evaluation of Work Registration Activities Under the Food Stamp Program. E00902-038

WORK CLOTHING INDUSTRY

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-003

WORK EXPERIENCE

An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program. E00904-011

WIN II Administrative Impact Study. E00509-010

12 Area Study (PEP)(Public Employment Program). E00902-045

WORK FORCE**SUBJECT INDEX****WORK FORCE**

Case Studies of Revenue Sharing in 26 Local Governments. E01280-009
Self-Employment in the Covered Work Force. E00510-037

WORK HISTORY

Covered Employment and the Age Men Claim Retirement Benefits. E00510-041
Earnings of Disabled-Worker Beneficiaries. E00510-029

WORK INCENTIVES

A Retrospective Case Review of WIN II Completed Job Entries: grant reductions, services, and welfare savings. E00509-012
Assessment of the Work Incentive Program in Washington State. E00992-020
From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan. E00992-021
Further Evidence on the Impact of the WIN II Program. E00900-041
Longitudinal Evaluation of WIN Program. E00902-013
Problems in the Work Incentive Program in Los Angeles and San Diego. E00992-027
Slow Implementation of the Work Incentive Program in New York City. E00992-028
Study of Administrative Costs in Federally-Aided Domestic Programs. E03700-003
Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia. E00992-018
WIN II Initial Impact Study. E00509-009

WORK INJURIES

Economic Incentives and Occupational Safety. E00900-036
Workmen's Compensation Under Security. E00510-022

WORK PLANNING

Work Planning and Monitoring System User Manual (Health Services Administration). E00507-074

WORK RELEASE PROGRAM

Use of Statutory Authority for Providing Inmate Release Funds. E00888-003

WORK SIMPLIFICATION

Work Simplification Systems. E06504-023

WORKERS

Employee-Benefit Plans, 1973. E00510-010
Pension Reform Legislation of 1974. E00510-018
Self-Employment in the Covered Work Force. E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973. E00510-032
The Retirement Test: An International Study. E00510-025
Women Born in Early 1900's: Employment, Earnings and Benefit Levels. E00510-042

Disabled

The Disabled Widow. E00510-016

Full Time

Group Health Insurance Coverage of Full-Time Employees, 1972. E00510-030

Group Health Insurance

Group Health Insurance Coverage of Full-Time Employees, 1972. E00510-030

Injured

Workmen's Compensation Under Security. E00510-022

Seasonal Farm

An Evaluation of the Arizona Job College. E00902-007

Self Employed

Self-Employment in the Covered Work Force. E00510-037

WORKMEN'S COMPENSATION

Workmen's Compensation Under Security. E00510-022

WORKPLACES

More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs. E00995-004

SUBJECT INDEX**ZINC****WORKSHOPS**

An Evaluation of the 1973 Youth Conservation Corps. E00700-003
Evaluation Manual for Comprehensive Health Service Projects. E00507-024

WORLD BANK

Improvements Needed in System for Managing U.S. Participation in the Asian Development Bank. E01280-004

More Effective United States Participation Needed in World Bank and International Development Association. E01280-005

WORLD HEALTH ORGANIZATION

Evaluation of Status of Standards for Diagnostic Materials. E00504-018

WORRY INDEX

Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse. E00503-053

WYOMING

Managing Recreation Facilities at Bureau of Reclamation Reservoirs. E00780-006

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management. E00780-005

X-RAY PROGRAMS

Evaluation of VISA Medical Activities. E00504-004

Extending Effective Date of the Food and Drug Administration's Diagnostic X-Ray Equipment Standard. E00585-009

YEATMAN DISTRICT COMMUNITY CORPORATION

Yeatman Baseline Survey. E00507-011

YEATMAN HEALTH CARE CENTER

Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants. E00507-020

YOUTH CONSERVATION CORPS

An Evaluation of the 1973 Youth Conservation Corps. E00700-003

Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974 YCC program. E00700-005

Post-camp Uses and Effects of YCC Experiences. E00700-006

Program Evaluation, Youth Conservation Corps. E00709-003

Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps. E00700-004

Youth Conservation Corps Pilot Program, 1972. E00700-001

Youth Conservation Corps Pilot Program, 1973. E00216-004

Youth Conservation Corps-Pilot Program 1973. E00700-002

YOUTH CONSUMER EDUCATION

Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report. E00505-014

YOUTH EMPLOYMENT

Cleveland Summer Youth Employment Programs. E00992-013

YOUTH SERVICE BUREAUS

Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime. E00891-006

YOUTHS

An Evaluation of the 1973 Youth Conservation Corps. E00700-003

Improving Services to Handicapped Children. E00500-069

Youth Conservation Corps Pilot Program, 1972. E00700-001

Youth Conservation Corps Pilot Program, 1973. E00700-002

ZINC

Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses. E01282-001

AGENCY INDEX

AGRICULTURE DEPARTMENT

A Comparative Cost Analysis of Alternative Methods for Selling FHA Notes.	E00200-007
A Study of Alternatives to Commodity Donations to Schools.	E00200-008
Action Needed to Discourage the Removal of Trees That Shelter Cropland in the Great Plains.	E00299-002
Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment.	E00200-003
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment. Programs In 1971.	E00200-001
Evaluation of the FY 1974 USDA Special Beef Purchase.	E00200-004
Examination into Feed Grains and Related Matters.	E00299-009
FmHA Responsiveness to State and Local Rural Development Plans and Priorities.	E00200-010
FmHA Water and Waste Disposal Program Assistance to Small Rural Communities.	E00200-005
Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
Implications of Discontinuing USDA Commodity Acquisition and Distribution Activities.	E00200-009
Implications of Discontinuing USDA Commodity Acquisitions and Distribution Activities.	E00200-006
Agricultural Marketing Service	
Administration of Marketing Orders for Fresh Fruits and Vegetables.	E00299-004
Cash Grain Price Reporting in the Chicago Market.	E00204-005
Economic Impact of Proposed Changes in Beef Grades.	E00204-004
Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert.	E00204-001
Evaluation of the USDA Food Supply Release, Food Marketing Alert.	E00204-003
Pricing under Federal Milk Market Regulation: theory, objectives and impact.	E00204-002
Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation in Some Plants Needs Improvement.	E00299-003
Agricultural Research Service	
Evaluation of USDA Research Program on Housing.	E00213-001
Agricultural Stabilization and Conservation Service	
A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970.	E00209-005
An Evaluation of the ASCS Pilot Public Access Program.	E00209-008
Appraising the Effects of the Agricultural Act of 1970 upon Oklahoma's Economy.	E00209-006
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).	E00209-001
Farm Programs, Pesticide Use, and Social Costs.	E00209-004
Need for More Guidance in Establishing Recovery Periods for Damaged Bee Colonies to More Effectively Control Payments to Beekeepers.	E00299-007
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.	E00299-010
Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program.	E00299-008
Policies and Practices for Assessing Fees for Processing Price-Support and Storage Facility and Equipment Loans.	E00299-005
Program Evaluation on 1973 Feedgrain Program Performance.	E00209-002
The Sugar Program: Large Costs and Small Benefits.	E00209-007
Uniform Grain Storage Agreement.	E00209-003
Animal and Plant Health Inspection Service	
An Evaluation of the Witchweed Program.	E00205-001
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.	E00205-004
Citrus Blackfly Program Evaluation.	E00205-003
Consumer Protection Would Be Increased by Improving the Administration of Intrastate Meat Plant Inspection Programs.	E00299-011

AGRICULTURE DEPARTMENT

AGENCY INDEX

The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.	E00205-005
The Southwestern Screwworm Eradication Program: a review.	E00205-002
Commodity Exchange Authority	
Improvements Needed in Regulation of Commodity Futures Trading.	E00299-012
Economic Research Service	
An Evaluation of Subsidy Forms for Soil and Water Conservation.	E00219-005
Aspects of the Beekeeper Indemnity Payment Program.	E00299-006
Bonus Food Stamps and Cash Income Supplements.	E00219-008
Capitalization of Farm Program Benefits into Land Values.	E00219-001
Distribution of Farm Program Payments by Income of Sole Proprietors.	E00219-002
Effect of the Small Watershed Program on Major Land Uses.	E00219-007
Evaluation of Four Completed Small Watershed Projects: South Carolina, Maryland, Idaho-Nevada, and West Virginia.	E00219-009
Impact of Cashing Out the Food Distribution Program.	E00219-004
Reaction to the National Agricultural Outlook Conference.	E00219-006
Social Cost of Alternative Dairy Price Support Levels.	E00219-003
Extension Service	
An Evaluation of the Mulligan Stew 4-H Television Series for Extension Service, USDA.	E00215-001
Survey of Expanded Food and Nutrition Education Program.	E00299-013
Farmer Cooperative Service	
Evaluation Report on the Technical Assistance Effort Devoted to Improving Cooperative Farm Operations, FY 1973.	E00220-001
National Rural Development Efforts and the Impact of Federal Programs on a 12-County Rural Area in South Dakota.	E00299-001
Price Impacts of Federal Market Order Programs.	E00220-002
Farmers Home Administration	
A New Federalism Program Analysis.	E00201-003
Actions Needed to Terminate Federal Administration of State Rural Rehabilitation Funds Program.	E00299-015
Complaints Concerning the Rural Housing Loan Program in Five Arkansas Counties.	E00299-014
Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Industrial Loan and Grant Program.	E00299-019
Effectiveness and Administration of the Rural Rental-Housing Program.	E00299-016
Employment Impacts of the Economic Development Administration's Public Works Program.	E00201-002
Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview.	E00201-001
Practices in Obtaining Credit Reports for Housing and Agricultural Loans.	E00299-020
Ways to Improve Effectiveness of Rural Business Loan Programs.	E00299-017
Federal Crop Insurance Corporation	
An Evaluation of Insurance Experience.	E00211-001
Analysis of Individual Underwriting Progress and Problems.	E00211-002
Cotton Evaluation of Coverage Reductions and Rate Increases.	E00211-004
Evaluation of Experience Under Improved Management Practice for Corn.	E00211-003
Food and Nutrition Service	
'Computer Associated Menu Planning (CAMP).'	E00207-018
Acceptability and Suitability of the Expanded Thrifty Recipe Flyers by Low-Income Families.	E00207-022
Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota.	E00299-022
An Appraisal of the Special Summer Food Service Program for Children.	E00299-024
An Economic Evaluation of School Lunch Systems.	E00207-016
Assessment of Dietary Adequacy of Program Participants.	E00207-021
Comparison of Type A Pattern and Nutrient Standard Approaches to School Food Service Menu Planning.	E00207-012
Cost Structure of the School Lunch Program.	E00207-013
Cost Survey of Foods Purchased by the USDA and Local School Systems.	E00207-009
Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement.	E00207-020
Demonstration Project for Summer Special Food Service Program for Children.	E00207-026
Dual Operation in State of Washington.	E00207-023

AGENCY INDEX

AGRICULTURE DEPARTMENT

Factors Affecting Food Habits.	E00207-017
Factors Contributing to the Varying Rates of Participation in the Food Stamp Program.	E00299-018
Five County Food Management Improvement Project.	E00207-010
Food Distribution and Food Stamp Program Effects on Nutritional Achievement.	E00207-002
Food Stamps and Nutrition.	E00207-001
Impact of Price on Participation in NSLP: a summary.	E00207-015
Impact of Price on School Lunch Participation-Washington State.	E00207-014
National Survey of Family Food Assistance Participants.	E00207-019
National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.	E00207-003
Observations on the Food Stamp Program.	E00299-025
Observations on Evaluation of the Special Supplemental Food Program.	E00299-023
Phase II Food Survey of Institutions.	E00207-025
Presidential Objective on Child Nutrition Programs.	E00207-008
Progress and Problems in Achieving Objectives of School Lunch Program.	E00299-021
Racial Composition in the National School Lunch Program.	E00207-011
Relationship Between Program Participation and Level of Economic Activity.	E00207-024
School Feeding Effectiveness.	E00207-004
The USDA Study on High School Participation in Child Nutrition Programs.	E00207-005
Who's Picking up the Check for Pennsylvania's School Lunches?	E00207-007
1972 National School Lunch Program Survey.	E00207-006
Foreign Agricultural Service	
A Follow-Up Study of Attitudes of Participants in U.S. Department of Agriculture-Hotelympia 1974.	E00212-011
Analysis of the Tokyo Trade Center Show.	E00212-005
Evaluation of the Italian Identified Soybean Oil Promotion.	E00212-012
Evaluation Report on Cotton Council International's Promotion of American Cotton through Japan Cotton Promotion Institution.	E00212-004
Food for Peace: An Evaluation of P.L. 480--Title II.	E00212-002
Import Demand for Rice in the EEC: Implications of U.S. Market Promotion.	E00212-003
Observations Regarding the Promotion of Processed Food Products in Germany and the United Kingdom.	E00212-008
Program Evaluation of the Commodity Intelligence System, Tobacco Division.	E00212-006
Review of the International Institute for Cotton (IIC) Market Development Program.	E00212-009
Review of the National Renderers Association Program in the Far East.	E00212-007
Review of the Rice Council for Market Development Brand Incentive Program.	E00212-010
The Case of P.L. 480, The Side Effects of Foreign Aid: Wheat in Colombia.	E00212-001
Forest Service	
Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land.	E00299-027
Allowable Harvest Determinations on National Forest Lands.	E00299-031
Follow-up on the Need to Resolve Differences in Procedures Used by Federal Timber Management Agencies in Appraising Timber Offered for Sale.	E00299-030
Improvements Needed in Planning Allowable Harvests.	E00299-026
Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests.	E00299-028
More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand.	E00299-032
More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand.	E00299-029
Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies.	E00299-033
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.	E00216-001
Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.	E00216-003
The Forest Products Utilization Program (Its Effectiveness and Future Opportunities).	E00216-002
Youth Conservation Corps-Pilot Program 1973.	E00216-004
National Agricultural Library	
Evaluation of the TRI-X Regional Document Delivery Service.	E00217-001
The Delivery of Library and Information Services to USDA Research Personnel in the Field.	E00217-002
Packers and Stockyards Administration	
Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets.	E00208-002

AGRICULTURE DEPARTMENT

AGENCY INDEX

Recovery of Nonpayments to Livestock Producers (Sellers under the Bonds of Registrants). **E00208-003**
 Survey of Grade and Weight Selling of Livestock. **E00208-001**

Rural Electrification Administration

Controls Exercised by Rural Electrification Administration over Borrowers' Activities. **E00299-034**
 Rural Development as Related to REA Borrower's Activities. **E00203-001**

Soil Conservation Service

A Program Evaluation of the Great Plains Conservation Program. **E00218-001**
 Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved. **E00299-035**

CIVIL SERVICE COMMISSION

Agencies' Personnel Management Can be Enhanced by Improving the Evaluation Process. **E05990-008**

Assessment of Process of Translating Private Enterprise Salary Data into Federal White Collar Salary Adjustments. **E05990-004**

Assistance Programs for Displaced Federal Civilian Employees. **E05990-009**

Effectiveness of the Requirement for Supervisory Training. **E05990-020**

Employment Opportunities in the Federal Government for the Physically Handicapped. **E05990-007**

Federal Pre-Retirement Counseling Programs. **E05990-010**

Federal Retirement System--Key Issues, Financial Data, and Benefit Provisions. **E05990-006**

Improvements Needed in Examining and Selecting Applicants for Federal Employment. **E05990-005**

Improving the Effectiveness of the Government Employee's Incentive Awards Program. **E05990-002**

Improving the Pay Determination Process for Federal Blue-Collar Employees. **E05990-019**

Information on the Federal Program for Training Physician's Assistants. **E05990-001**

Managerial and Executive Development Needs in the Federal Service and Recommended Actions for Meeting the Needs. **E05900-001**

Method Used to Accomplish Goal of 40,000 Reduction in Civilian Workforce During Fiscal 1975. **E05990-012**

Personnel Security Investigations; Inconsistent Standards and Procedures. **E05990-011**

Review of the Management of the President's Executive Interchange Program. **E05990-013**

Survey of the Civil Service Commission's Executive Assignment System. **E05990-018**

Survey of the Civil Service Commission's Federal Automated Career System. **E05990-016**

Survey of the Federal Personnel Management Information System. **E05990-014**

Survey of Protective Measures to Safeguard Information in Personnel Files Against Unauthorized Disclosure and Use. **E05990-003**

Upward Mobility Programs in the Federal Government Should Be Made More Effective. **E05990-017**

Bureau of Intergovernmental Personnel Programs

Guidelines for Evaluation of Employment Practices Under the CETA Act. **E05917-005**

Guidelines for Qualitative Evaluation of Personnel Operations in State and Local Governments. **E05917-002**

IPA Impact. **E05917-003**

Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970. **E05990-015**

State and Local Personnel Systems. **E05917-009**

Technical Assistance Impact Study. **E05917-006**

The IPA Intergovernmental Assignment Programs. **E05917-004**

The IPA Results. **E05917-008**

The IPA Title IV Intergovernmental Assignment Program, 1973 Report. **E05917-001**

Training Can Make a Difference. **E05917-007**

Bureau of Recruiting and Examining

Employment of Handicapped Individuals and Disabled Veterans in the Federal Government. **E05908-008**

Employment of Handicapped Individuals in the Federal Service. **E05908-001**

Employment Programs for Public Offenders in the Federal Service. **E05908-002**

Evaluation of the Federal Summer Intern Program. **E05908-004**

Evaluation of Regional Certification Practices. **E05908-003**

Progress Report on Pilot Efforts: Federal Junior Fellowship Program. **E05908-005**

Report on the Affirmative Steps Taken to Ensure the Protection from Coercion of Employees Who Fall within the Purview of the Major RIF Retirement Law. **E05908-006**

Report to Congress on Major Reductions in Force. **E05908-007**

AGENCY INDEX

COMMERCE DEPARTMENT

Bureau of Retirement, Insurance, and Occupational Health	
Health Benefits Program Audit Report: Aetna of Williamsport, Pennsylvania.	E05909-001
Health Benefits Program Audit Report: Blue Cross-Blue Shield of Des Moines, Iowa.	E05909-002
Bureau of Training	
Assessing the Training Needs of Personnel Specialists.	E05916-006
Disincentives to Effective Employee Training and Development.	E05916-003
Employee Training in the Federal Service, Fiscal Year 1973.	E05916-005
Quality Assurance Program for Reimbursable Training.	E05916-001
Training Leadership Study.	E05916-002
Training Value Model I.	E05916-004
Management Services	
Audit of Intergovernmental Personnel Act Grant to the City of Chicago.	E05914-006
Audit of Intergovernmental Personnel Act Grant to the City of Des Moines.	E05914-001
Audit of Intergovernmental Personnel Act Grant to the Kansas League of Municipalities.	E05914-007
Audit of Intergovernmental Personnel Act Grant to the State of Colorado.	E05914-003
Audit of Intergovernmental Personnel Act Grant to the State of Oklahoma.	E05914-004
Audit of Intergovernmental Personnel Act Grant to the University of Maine.	E05914-002
Audit of Intergovernmental Personnel Act Grant to Cook County, Illinois.	E05914-005
Audit of Intergovernmental Personnel Act Grants to the State of North Carolina.	E05914-008
Personnel Management Evaluation	
Report of the Study of Classification Accuracy in GS Grade Levels 12-15.	E05911-001
Policies and Standards	
Computer-Assisted Testing: calibration and evaluation of the verbal ability bank.	E05913-003
Evaluation of the Federal Executive Development Program Assessment Center.	E05913-001
Productivity Enhancement Efforts in the Federal Government: a report of survey results, program report evaluation, and implications for research.	E05913-002
COMMERCE DEPARTMENT	
Interim Report on Department of Commerce Field Operations.	E00300-022
Survey of Bureau Management by Objectives Programs.	E00300-020
Domestic and International Business Administration	
Export of U.S.-Manufactured Aircraft-Financing and Competitiveness.	E00399-003
Interim Report on the Review of the Domestic and International Business Administration.	E00300-023
International Trade Study.	E00300-024
Need for Better Identification and Analysis of Nontariff Barriers to Trade.	E00399-001
Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration.	E00300-010
Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration.	E00300-011
Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration.	E00300-015
Status of Efforts to Offset Balance-of-Payment Deficit for Fiscal Year 1974 Attributable to Maintaining U.S. Forces in Europe.	E00399-002
Economic Development Administration	
EDA-Regional Commission Coordination Review.	E00300-019
Report on Audit of the Technical Assistance Program, Office of Technical Assistance, Economic Development Administration.	E00300-002
Report on Minimum EDA FY 1975 Staffing Requirements.	E00300-018
Maritime Administration	
Government Support of the Shipbuilding Industrial Base.	E00399-005
Maritime Policy Study.	E00300-025
Report on Audit of the Cargo Preference Program, Maritime Administration.	E00300-004
Report on Audit of the Ship Transfer Program, Maritime Administration.	E00300-006
Report on Audit of Selected Pollution Abatement Activities, Maritime Administration.	E00300-012
Report on Review of Market Development Activities, Maritime Administration.	E00300-016
National Bureau of Standards	
Report on Audit of the Office of Standard Reference Data, National Bureau of Standards.	E00300-009

COMMERCE DEPARTMENT**AGENCY INDEX**

Report on Audit of Experimental Technology Incentives Program, National Bureau of Standards.	E00300-017
Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.	E00300-007
National Oceanic and Atmospheric Administration	
Report on Audit of the Marine Resources Monitoring, Assessment and Prediction Program, National Oceanic and Atmospheric Administration.	E00300-001
Report on Audit of the National Sea Grant Program, National Oceanic and Atmospheric Administration.	E00300-003
Report on Survey of the Fishery Products Inspection Service, National Oceanic and Atmospheric Administration.	E00300-008
National Technical Information Service	
Report on Audit of Matters of Particular Interest to the Department's Office of Budget and Program Analysis Concerning the National Technical Information Service.	E00300-014
Report on Audit of Selected Areas of the National Technical Information Service.	E00300-013
Office of Congressional Affairs	
Draft Report to Steering Committee on Commerce Legislative Affairs Study.	E00300-021
Office of Minority Business Enterprise	
Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program.	E00300-027
Final Report of the OMBE (Office of Minority Business Enterprise) Management Task Force.	E00300-026
Patent Office	
Strengthening the Role of the U.S. Patent Office.	E00300-028
U.S. Travel Service	
Foreign Visitor Travel to the United States Can Be Increased.	E00399-004
Report on Audit of Activities Associated With Domestic Tourist Services and Facilities, United States Travel Service.	E00300-005
Review of the Organization and Operations of the United States Travel Service (USTS).	E00300-029
ENERGY RESEARCH AND DEVELOPMENT ADMINISTRATION	
Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area.	E06086-001
ENVIRONMENTAL PROTECTION AGENCY	
Development of a Contingency Plan for Certification of Pesticide Applications.	E02300-009
Evaluation of the Report on Interceptor Sewers and Suburban Sprawl.	E02300-005
First Report of the Environmental Measures Project: Analysis and Applications of Environmental Quality Indicators.	E02300-010
General Report of the Air Compliance Review Group.	E02300-006
National Safe Drinking Water Strategy: One Step at a Time.	E02300-007
Report of a Study of Control Agency Revenue Fees.	E02300-003
Report of the Administrator's Special Construction Grants Task Force.	E02300-008
Report of the Permit Program Review Group.	E02300-001
Review of the Municipal Waste Water Treatment Works Program.	E02300-004
The Final Report of the Permit Program Review Group.	E02300-002
Assistant Administrator for Research and Development	
Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources.	E02390-005
Cleaning Up the Great Lakes: United States and Canada Are Making Progress in Controlling Pollution from Cities and Towns.	E02390-011
Crop Insurance and Information Services to Control Use of Pesticides.	E02305-100
Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow.	E02390-009
Improved Federal and State Programs Needed to Insure the Purity and Safety of Drinking Water in the United States.	E02390-006
Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.	E02390-004
Need for Federal Agencies to Improve Solid Waste Management Practices.	E02390-001
Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.	E02390-003

AGENCY INDEX**HEALTH, EDUCATION, AND WELFARE DEPARTMENT**

Need to Improve Administration of the Water Pollution Research, Development, and Demonstration Program. E02390-002
 Pesticides: Action Needed to Protect the Consumer from Defective Products. E02390-008
 Problem in the Federal Energy Administration's Compliance and Enforcement Effort. E02990-002
 Problems in the Federal Energy Office's Implementation of Emergency Petroleum Allocation Programs at Regional and State Levels. E02990-001
 Problems of Independent Refiners and Gasoline Retailers. E02990-003
 Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do. E02390-007
 Using Solid Waste to Conserve Resources and to Create Energy. E02390-010

FEDERAL ENERGY ADMINISTRATION

Problems in the Federal Energy Administration's Compliance and Enforcement Effort. E02990-002
 Problems in the Federal Energy Office's Implementation of Emergency Petroleum Allocation Programs at Regional and State Levels. E02990-001
 Problems of Independent Refiners and Gasoline Retailers. E02990-003

GENERAL SERVICES ADMINISTRATION

A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717. E03700-002
 Appraisal of Government-Wide and Internal ADP-Related Activities, General Services Administration. E03700-006
 Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites. E03790-003
 Current Concepts for Preparedness. E03700-010
 Evaluation of the Common Services Pilot Project in the Arcade Plaza Building, Seattle, Washington. E03700-007
 Federal Emergency Health Activities. E03700-009
 Integrated Grant Administration Assessment Report. E03700-001
 Money Management Study. E03700-004
 NARS A-1 General Systems Study Report: Control and Description of Records in the National Archives. E03700-005
 Report on Airport/Community Emergency Medical Preparedness and Federal Emergency Health Preparedness. E03700-008
 Study of Administrative Costs in Federally-Aided Domestic Programs. E03700-003
 Ways to Improve Records Management Practices in the Federal Government. E03790-002

Federal Supply Service

Management of Federal Supply Service Procurement Programs Can Be Improved. E03790-005
 The Federal Catalog Program: Progress and Problems in Attaining a Uniform Identification System for Supplies. E03790-001

Public Buildings Service

More Specific Criteria Needed to Improve Real Property Surveys. E03790-004

HEALTH, EDUCATION, AND WELFARE DEPARTMENT

A Comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209
 A Feasibility Study of Integrating Federally Funded Local Health Services. E00500-038
 A Management Plan for the Regional Office Technical Assistance Effort. E00500-005
 A Planning Study to Develop a Demonstration Design for Use of Telecommunications in Public Service Delivery. E00500-071
 A Proficiency Test Assessment of Clinical Laboratory Capability in the United States. E00500-052
 A Study of the Systems Development Project. E00500-004
 A Study of Hospital Productivity Trends for Short-Term General Hospitals. E00500-039
 A Survey of the Effectiveness of Coordination of HEW Funded Programs Related to Mental Retardation. E00500-047
 An Assessment of the Intermediate Care Facility Program in Region V. E00500-219
 An Employee Evaluation of the HEW Departmental Library. E00500-077
 An Evaluation of the Effectiveness of Loan Forgiveness as an Incentive for Health Practitioners to Locate in Medically Underserved Areas. E00500-045
 An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III. E00508-032
 An Evaluation of Five Drug Abuse Education Projects: Parts I & II. E00500-072
 An Evaluation to Determine the Effectiveness of Coordination, Administration, and Delivery of Services by a Multi-Service Center in Rural Arkansas. E00500-065

HEALTH, EDUCATION, AND WELFARE DEPARTMENT

AGENCY INDEX

An Evaluation Study of the Special Currency Program in Yugoslavia.	E00500-043
An Evaluative Study of Early and Periodic Screening, Diagnosis and Treatment Program in Ohio and Wisconsin.	E00500-204
Analysis of Office of Education Special Project Grant Distribution to Local Education Agencies in Region V.	E00500-206
Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V.	E00500-201
Assessment of Quality Control and Corrective Action Activities in Region V.	E00500-202
Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California.	E00500-115
Capital Financing Study.	E00500-061
Clinical Laboratory Performance Analysis Using Proficiency Test Statistics.	E00500-036
Cost Analysis of Blood Banking Alternatives.	E00500-037
Decision-Making and Information Utilization in Three Public Health Service Regional Offices: final report.	E00500-002
Development of a Conceptual Approach to Strategic Health Planning.	E00500-049
Development of Model Interagency Strategy for Early Periodic Screening, Diagnosis and Treatment (EPSDT) Implementation, Monitoring and Evaluation.	E00500-203
Evaluation of a Selected Sample of Office of Education Community-Based Drug Abuse Prevention Projects.	E00500-060
Evaluation of the Health Facilities Building Process.	E00500-048
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.	E00500-212
Evaluation of Drug Education Programs.	E00500-034
Evaluation of Educational Achievement Test Measures as an Eligibility Criterion in the Better Schools Act Formula.	E00500-056
Evaluation of Hospital Productivity Trends.	E00500-044
Evaluation of Lead-Poisoning Prevention Programs.	E00500-032
Evaluation of Non-Response in the Health and Nutrition Examination Survey (HANES): Part I: Development and Evaluation of Imputation Procedures for HANES; Part II: Study of Factors Leading to Participation and Non-Participation in HANES.	E00506-092
Evaluation of Planning and Coordination of Manpower Programs--Phase II.	E00500-218
Evaluation of Poison Control System: Vol. I, Project Results, Conclusions and Recommendations, Vol II Evaluation Framework and Models for performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Regional and National Averages.	E00505-010
Evaluation of Selected Components of Office of Education 'Help Communities Help Themselves' Drug Abuse Prevention Program.	E00500-058
Evaluation Handbook.	E00500-208
Evaluation Study of the Impact of the Special Foreign Currency Health Projects in Yugoslavia and the United States: report of the Yugoslav evaluation team, 1973.	E00500-064
Factors Affecting Specialty Choice and the Interrelationship Between Specialty Choice and Geographic Location.	E00500-059
Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969.	E00599-005
Follow-up Review of Migrant Children Education, Office of Education.	E00500-100
Forward Plan for Health: FY 1977-81.	E00500-008
FY 1974 Evaluation Plan: Indian Health Services, Health Services and Mental Health Administration.	E00500-053
Handbook on the Management of Evaluation Contracts.	E00500-073
Human Resources Demonstration Project.	E00500-215
Improving Services to Handicapped Children.	E00500-069
Income Transfers as a Public Good: An Application to AFDC.	E00500-076
Index and User's Guide for Evaluation Documentation Center.	E00500-074
Inventory of Selected Federal Manpower Programs.	E00500-213
Medical Clinics Manpower Utilization.	E00500-054
Monitoring Handbook: a management guide.	E00500-217
Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C.	E00599-003
Need Assessment and Evaluation of Region VI Health and Education Projects.	E00500-207
Program for School Assistance in Federally Affected Areas (SAFA).	E00500-114
Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education.	E00586-001
Quality of Day Care in Region X Before and After School Care; Phase I.	E00500-211
Quality of Day Care in Region X--Phase II.	E00500-216
Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York.	E00500-118
Report of Region V Assessment of the Supplementary Security Income Program.	E00500-200

Report on Audit of the Family Health Center Program, Cascade Health Care, Inc., Portland, Oregon.	E00500-116
Report on Review of the Division of International Operations, Social Security Administration.	E00500-103
Review of the Implementation of Comparability Provisions, Public Law 91-230, Office of Education.	E00500-119
Review of use of Financial Reports Required by the Office of Education under the Teacher Corps Program.	E00500-105
Review of Child Care Services Provided Under Title IV, Social Security Act.	E00500-101
Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health.	E00500-102
Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration.	E00500-104
Review of Selected Aspects of the Administration of the Indian Health Service, Health Services Administration.	E00500-106
Review of SSA's Fraud Deterrence Program.	E00500-107
Review of Vending Operations on Federally Controlled Property.	E00591-003
Satellite Clinics--Delivery Techniques in Medical Clinics in Relation to Health Needs of Underserved Areas.	E00500-051
Sixteen Best Practice Techniques in U.S. General and Teaching Hospitals.	E00500-066
Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places.	E00599-006
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
The Design of a Health Information System (HIS) Model for the Oregon Department of Human Resources: an exploratory study.	E00500-050
The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health.	E00500-068
The International Dimension, HEW: summary and final report.	E00500-063
The Role of Health Services Administration.	E00500-003
Training Program in Health Program Evaluation Techniques.	E00500-040
VD Control--A National Strategy Under Review. Vol. 1., Information Materials and Campaigns; Vol. 2., Client Reaction to Treatment; and Vol. 3., The Private Physician.	E00500-057

Administration on Aging

Assessing the Status and Needs of Older Americans; survey utilization manual.	E00511-010
Development of Data Base for Administration on Aging.	E00511-006
Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services.	E00591-001
Evaluating the State Social Indicators System in Aging.	E00511-002
Evaluation of the Older Americans Act Traineeship Grant Program.	E00511-004
Guide to Sources and Uses of Current Data on the Aging.	E00511-008
Interviewer Manual for the Older Americans Survey.	E00511-009
Longitudinal Study Design for Evaluation of the National Nutrition Program for Elderly.	E00511-013
Manual of Award Procedures and Awards Monitoring Procedures.	E00511-011
Methodology for Evaluation and Validation of State Social Indicator System in Aging.	E00511-003
Social Statistics for the Elderly Area Level System.	E00511-005
Survey Guide to Accompany the Older Americans Assessment Questionnaire.	E00511-007
Systematic Review of Area Agencies on Aging.	E00511-012
Technical Proposal of Implementing a Methodology for the Validation of the State Social Indicators for the Aged.	E00511-001

Alcohol, Drug Abuse, and Mental Health Administration

A Follow-up of NARA (Narcotic Addict Rehabilitation Act) Patients Who Drop Out Before Completion.	E00503-040
A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.	E00503-059
A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.	E00503-020
A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.	E00503-037
A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health.	E00503-023
Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II.	E00503-029
American Indian Alcoholism Evaluation--Monitoring--Design Project.	E00503-044
Assessment of Child Mental Health Needs and Programs.	E00503-062
Automated Psychiatric Record Systems Today: an assessment.	E00503-031

Chlorpromazine: Innovation and Revolution in the Drug Treatment of Mental Disease States.	E00503-021
Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont.	E00503-049
Computerized Summaries of Evaluation Contracts.	E00503-017
Design of an Evaluation System for the National Institute of Mental Health.	E00503-024
Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.	E00503-052
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.	E00503-018
Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry.	E00503-046
Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program).	E00503-054
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas.	E00503-033
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina.	E00503-032
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project.	E00503-035
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif.	E00503-043
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania.	E00503-034
Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
Feasibility Study and Measuring Efficiency of Mental Health Services.	E00503-060
Federal Efforts to Combat Drug Abuse.	E00599-002
Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program.	E00503-047
Monitoring and Evaluating the Operation of Ten DOT/NIAA Joint Alcoholism Programs--Phase I Report and Research Plan.	E00503-028
Need for More Effective Management of Community Mental Health Centers Program.	E00599-004
North Carolina Community Mental Health Center Evaluation Project; final report of planning phase.	E00503-051
Pilot Program for Monitoring and Evaluating the Public Inebriate Program.	E00503-045
Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse.	E00503-053
Rationale of the Joint NIMH-TCU Drug Abuse Reporting Program.	E00503-016
Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II.	E00503-036
Resource Materials for Community Mental Health Program Evaluation.	E00503-055
Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City.	E00503-026
Strategies for Change in Community Mental Health: New Careers.	E00503-039
Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00503-019
The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers.	E00503-030
The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
The Public Evaluates the National Institute on Alcohol Abuse and Alcoholism Public Education Campaign.	E00503-061
Trends in Sources of Funds for Community Mental Health Centers.	E00503-064
Two Studies on Catchmenting and Catchment-Oriented Services.	E00503-042
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.	E00503-038
Center for Disease Control	
An Analysis With Improvement Postulation for the Neighborhood Environmental Evaluation and Decision System (NEEDS Validation Study).	E00504-010
An Evaluation of Program Elements and Impact of Federal and Local Lead-Based Paint Poisoning Grant Programs.	E00504-017
Development of Data Base for Assessing Impact of Newly Established Venereal Disease Control Program.	E00504-019
Effectiveness Criteria in Venereal Disease Control Programs.	E00504-020
Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking.	E00504-003

Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy.	E00504-007
Evaluation of the National Institute of Occupational Safety and Health Query and Answer Technical Information Service.	E00504-009
Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners.	E00504-011
Evaluation of National Institute for Occupational Safety and Health Short Term Training Program.	E00504-016
Evaluation of Status of Standards for Diagnostic Materials.	E00504-018
Evaluation of VISA Medical Activities.	E00504-004
Evaluation Report on the Effectiveness of the Clinical Laboratories Improvement Program.	E00504-005
Extent and Characteristics of Venereal Disease Education in School Systems.	E00504-006
Organizational Evaluation and Information Needs Study.	E00504-014
Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973.	E00504-008
Program Operational Planning.	E00504-015
Rat Control Evaluation Project; Philadelphia Household Interview and Environmental Survey.	E00504-012
Review of Selected Communicable Disease Control Efforts.	E00584-001
The Effect of Discontinuing Project Grants for Tuberculosis.	E00504-021
The Urban Rat Control Program Is in Trouble.	E00584-002
Food and Drug Administration	
An Incident of Contamination of Livestock Feed and Certain Consumer Products.	E00585-002
An Investigation of Consumers' Perceptions of Adverse Reactions to Cosmetic Products; final report.	E00505-012
Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs.	E00585-007
Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report.	E00505-014
Evaluation of the FDA Consumer Deputy Program.	E00505-009
Evaluation Study of the Antibiotic Certification Program; final report.	E00505-013
Excluding Substandard Canned Pineapple from the United States.	E00585-012
Extending Effective Date of the Food and Drug Administration's Diagnostic X-Ray Equipment Standard.	E00585-009
Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Recalled by the General Electric Company.	E00585-011
Lack of Authority Limits Consumer Protection: Problems in Identifying and Removing from the Market Products which Violate the Law.	E00585-001
Manpower Management Program, Executive Director of Regional Operations (EDRO), Field Organization, FDA.	E00505-011
Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project Idea 35.	E00505-006
Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public.	E00585-014
Preliminary Field Resource Allocation Model.	E00505-015
Problems in Obtaining and Enforcing Compliance with Good Manufacturing Practices for Drugs.	E00585-004
Problems in Regulating Selected Vaccines.	E00585-003
Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters).	E00585-005
Public Hazards from Unsatisfactory Medical Diagnostic Products.	E00585-013
Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered.	E00585-010
Salmonella in Raw Meat and Poultry: an assessment of the problem.	E00585-008
Supervision Over Investigational Use of Selected Drugs.	E00585-006
Health Resources Administration	
A Study for Evaluation of Methods for Determining Outpatient Facility Needs; final report.	E00506-097
A Study of HSMHA Site Assessment Procedures.	E00506-082
A Study of the Problems Encountered in the Provision of Clinical Training for Allied Health Professions.	E00506-094
A Study of the Systems Development Project.	E00506-066
An Analysis of Actual and Potential Uses of Nursing Homes.	E00506-049
An Approach to the Evaluation of the Effectiveness of Continuing Dental Education.	E00506-093

An Evaluation of the Effects of Hospital Cost Finding Procedures on the Determination of Ambulatory Service Costs.	E00506-102
An Evaluation of the Regional Medical Program (RMP) Review Criteria.	E00506-063
An Evaluation of Allied Health Advanced Traineeship Grants.	E00506-091
An Evaluation of Deficiencies in Program Study Designs.	E00506-106
An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning.	E00506-055
An Evaluation of State Hill-Burton Reporting Systems for Free Care and Community Services to the Poor, Provided for by Federally Assisted Health Facilities.	E00506-105
Analysis of Results of State and Areawide Comprehensive Health Planning Agency Assessments.	E00506-098
Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems.	E00506-086
Audit of Minority Reporting System.	E00506-032
Can University Extension Run Consumer Health Education Programs? An Evaluative Study of Four Demonstrations and Four Controls.	E00506-050
Case Studies of Statewide Comprehensive Health Planning; a final report.	E00506-033
Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA.	E00506-061
Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module.	E00506-075
Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met.	E00586-006
Conversion Implementation and Initial Operation of the Agency Program Reporting System.	E00506-068
Dental Auxiliary Utilization: a National Evaluation.	E00506-044
Development of a System to Monitor Quality Nursing Care.	E00506-084
Development of a Training Package and Delivery of Training for Site Assessment of Comprehensive Health Planning Agencies 314b and the Development of Assessment Components, Procedures, and Reporting Formats for 314 Agency Site Assessments.	E00506-057
Development, Application and Testing of a Need Assessment Manual for Areawide CHP Agencies.	E00506-072
Effectiveness and Efficiency of Nursing Education Programs.	E00506-062
Evaluation of the Application of Instructional Technology in Selected Basic Nursing Education Programs.	E00506-064
Evaluation of the Career Development Program in Global Community Health.	E00506-056
Evaluation of the Effectiveness of CHPS Agency Assessments, Performance Standards, and Technical Assistance Activities in Strengthening CHP (Comprehensive Health Planning) Agency Performance.	E00506-101
Evaluation of the Emergency Medical Services Demonstration Projects.	E00506-103
Evaluation of the Experimental Medical Care Review Organization (EMCRO) Programs.	E00506-051
Evaluation of the Impact of Physician Extender Algorithms on Productivity.	E00506-089
Evaluation of the Primary Objectives of the Long-term Professional Nurse Traineeship and Special Nurse Fellowship Programs.	E00506-052
Evaluation of the State Hill-Burton Agencies and the Future of Health Care Services Planning at the State Level.	E00506-067
Evaluation of the Uniform Hospital Discharge Abstract Site Test.	E00506-053
Evaluation of Consumer Health Training and Education Programs.	E00506-042
Evaluation of Current Practices and Methods in Utilization of Data for Health Program Planning Management and Evaluation--An Evaluation of the Uses of Data from State Health Interview Surveys.	E00506-100
Evaluation of EEO Policies and Practices in Health Professions Educational Institutions.	E00506-107
Evaluation of Facilitation in the Regional Medical Program.	E00506-058
Evaluation of Graduate Training Programs in Health Planning.	E00506-047
Evaluation of Hill-Burton Bed Need Formula: Short-Term General Hospital Beds.	E00506-035
Evaluation of Hill-Burton Standards.	E00506-085
Evaluation of Public Health Training Grants.	E00506-096
Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education.	E00506-099
Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education.	E00506-078
Evaluation/Decision making in Health Planning and Administration.	E00506-080
Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972.	E00506-039
Follow-up of Non-Respondent Mothers and Editing, Coding, and Key-Taping of Records in the 1972 National Birth Survey.	E00506-079
FY 74 Evaluation Plan, Bureau of Health Manpower Education.	E00506-060
Health Statistics Today and Tomorrow.	E00506-038

Hill-Burton Consultation Activities.	E00506-059
Hospital Discharge Evaluation Project.	E00506-036
Impact of Regional Medical Programs on Coronary Care in New England.	E00506-034
Information Support System Approach to Problem-Oriented Program Assessment of Local Regional Medical Programs.	E00506-046
Installing and Validating a Data Collection and Analysis System for the Uniform Health Manpower Evaluation.	E00506-045
Investigation and Evaluation of Employment Opportunities for Newly-Licensed Nurses.	E00506-095
Medicaid Study--Public Spending for Personal Medical Care: An Analysis of the Utilization, Cost, and Quality of Care.	E00506-104
National Area Health Education Center Programs External Assessment Design.	E00506-069
National Evaluation of Mid-Level Health Workers--Task Profile Analysis Medex, Primex, and Preceptors.	E00506-065
Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel.	E00506-070
Nursing Home Ombudsmen; five case studies.	E00506-071
Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.	E00586-003
On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.	E00506-090
On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.	E00506-087
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.	E00506-043
Prepare Natality Data and Evaluate OCR Hardware and Techniques; final report.	E00506-040
Progress and Problems in Training and Use of Assistants to Primary Care Physicians.	E00586-007
Project Termination in RMPS (Regional Medical Program Service).	E00506-041
Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program.	E00586-005
Staffing and Efficiency of Drug Treatment Programs.	E00506-074
Study of Health Facilities Construction Cost.	E00586-002
Study of Response Errors in the Health Interview Survey.	E00506-037
Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students.	E00506-081
The Geographic Distribution of Nurses and Public Policy.	E00506-088
The Utilization and Impact of the I.C.H.D. (Inter-Society Commission on Heart Disease) Reports; an early evaluation.	E00506-054
Training of Site Assessors.	E00506-083

Health Services Administration

A Study of the Nature and Function of the Emergency Medical Service Advisory Councils in Relation to Selected Community Variables.	E00507-021
A Study of Maternal and Infant Care of Indian and Native Alaskan Americans.	E00507-087
A Study to Develop a Conceptual Model of a National Health Service Corps Management and Control System.	E00507-015
Ambulatory Health Care Information.	E00507-016
An Economic Analysis of HSA Program Potential FY 1976 to FY 1981.	E00507-075
An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00507-047
Analysis of Barriers to Successful HMO Development.	E00507-063
Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments.	E00507-055
Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP).	E00507-060
Assessment of the Unmet Needs of the Indian Health Service.	E00507-071
Assessment of Emergency Medical Systems.	E00507-052
Better Controls Needed for Health Maintenance Organizations under Medicaid in California.	E00587-005
Better Use Should be Made of Physicians and Dentists in Health Centers.	E00587-004
Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981.	E00507-064
Clinic Self-Evaluation Manual for Determination and Improvement of Clinic Efficiency.	E00507-084
Community Health Medic Evaluation.	E00507-025
Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States.	E00586-004
Credentiailling of Health Care Professionals: its costs and some alternatives for HSA.	E00507-066
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020

HEALTH, EDUCATION, AND WELFARE DEPARTMENT

AGENCY INDEX

Development of Alternatives for an Evaluation of the Costs and Effectiveness of Inclusion of Mental, Dental, and Pharmaceutical Benefits in Health Maintenance or Related Organizations.	E00507-059
Development of Baseline Data for Evaluation of Selected HMO's.	E00507-037
Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organizations and Community Health Networks.	E00587-007
Establishment of a Contract Medical Care.	E00507-022
Evaluation of the Accomplishments of Selected HMOS Funded Projects.	E00507-054
Evaluation of the Distribution and Utilization of FHPS Manpower in the U.S. Coast Guard Medical Program.	E00507-039
Evaluation of the Indian Health Service Unmet Needs Estimating Methodology.	E00507-065
Evaluation of Emergency Medical Service Systems Impact.	E00507-073
Evaluation of Intermediate Care Beds in a Health Maintenance Organization.	E00507-085
Evaluation of Legal Barriers to Emergency Medical Systems Implementation.	E00507-053
Evaluation of Services Provided by Federal Health Program Service Facilities.	E00507-034
Evaluation Manual for Comprehensive Health Service Projects.	E00507-013
Evaluation Manual for Comprehensive Health Service Projects.	E00507-024
Evaluation Strategy and Plan for Fiscal Year 1975 (Health Services Administration).	E00507-072
Evaluation Studies on Maternal and Infant Care Projects.	E00507-036
Feasibility of Collecting Baseline Data for Professional Standards Review Organizations; a report to the Office of Professional Standards Review.	E00507-062
Final Report of the Evaluation of the Oklahoma City Area Indian Health Services Offices.	E00507-088
Financial Assistance to NCFPS (National Center for Family Planning Services) to Develop Family Planning Project Evaluation Process; final report July 1973-June 1974.	E00507-049
Forward Plan for the Health Services Administration, F.Y. 1977-81.	E00507-069
Forward Plan for BCHS Family Planning Program.	E00507-044
Guidelines for Patient Record-Keeping Systems for Emergency Medical Service.	E00507-051
Health Maintenance Organization Cost Study.	E00507-043
Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana.	E00507-050
HMO Evaluation Schema--Phase I.	E00507-038
Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services.	E00507-023
Implementation of a Policy of Self-Support by Neighborhood Health Centers.	E00587-001
Improving Federally Assisted Family Planning Programs.	E00587-006
Indian Health Service Upward Mobility.	E00507-058
Initial Manpower Management Program (MMP).	E00507-056
Issue Paper on Impact of Evaluation Studies on Policy.	E00507-042
Management and Manpower Study of Health Maintenance Organization (HMO) Activities in HSA.	E00507-061
Management Information System, Phase I.	E00507-029
Marketing Health Maintenance Organizations to Low Income Persons: a case study approach.	E00507-032
Menominee Tribal Health Care Program Planning.	E00507-068
Migrant Health Program--Final Report.	E00507-028
National Indian Health Board: Consumer Evaluation of Indian Health Services.	E00507-030
Need for Subsidizing Family Planning Services; United States, each state and county, 1971.	E00507-033
Personnel Distribution in a City Health Department.	E00507-048
Potential Impact of the Health Maintenance Organization Act of 1973 on the Development of Rural HMO's.	E00507-067
Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements.	E00507-018
Preventive Health Programs (Part II): Tuberculosis Control Planning Models.	E00507-019
Professional Standards and Review Organization (PSRO) Cost Study.	E00507-046
Progress and Problems in Providing Health Services to Indians.	E00587-003
Project III Description of Existing Maternal and Child Health Services and Resources in the United States.	E00507-082
PHS Hospital Manpower Analysis.	E00507-041
Reference Manual on Title XIX (Social Security Act).	E00507-057
Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002
Study of Programs for Unwed Teenage Mothers.	E00507-031
Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insurance Impact on HSA Activities.	E00507-076
The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude.	E00507-035

The Expectations and Commitments of HPSC--OPSAM (Health Program Systems Center--Operations Systems Analysis Module): A documentary review.	E00507-017
The Family Planning Evaluation Project.	E00507-027
The Family Planning Evaluation Project.	E00507-026
The Medical Care Requirements of the U.S. Coast Guard.	E00507-045
The Provision of Health Care Services to Adolescents in the 1970's.	E00507-083
The Role of Health Services Administrator.	E00507-086
Toward the Development of a Casebook on the Decision-Making Process in Neighborhood Health Centers.	E00507-081
User Manual: HSA work planning and monitoring system.	E00507-040
Work Planning and Monitoring System User Manual (Health Services Administration).	E00507-074
Yeatman Baseline Survey.	E00507-011
Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.	E00507-012
National Institute of Education	
Educational Laboratory and Research and Development Center Programs Need To Be Strengthened.	E00582-001
National Institutes of Health	
Better Management Needed of Health Resources Equipment by NIH Grantees.	E00599-001
Cancer Chemotherapy Abstracts/Cancer Chemotherapy Reports Evaluation.	E00508-039
Computer Aided Instruction (CAI) Network Evaluation Criteria and Methodology.	E00508-033
Contributions of Surgical Research to Health Care, 1945-1970: report of the Research Subcommittee of the Study on Surgical Services in the United States.	E00508-025
Development of a Price Deflator for Biomedical Research (report on Phase I).	E00508-044
Development of a Price Deflator for Biomedical Research: a report on Phase II.	E00508-050
Doctoral Scientists and Engineers in the U.S.: 1973 Profile.	E00508-040
Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine.	E00508-053
Evaluation of the National Cancer Institute Associate Program by Former, Current, and Potential Associates.	E00508-036
Evaluation of the National Prtuitary Agency.	E00508-051
Evaluation of Interactive Networks: Final Evaluation Report for Planning Phase of ATSF Satellite Health Experiments.	E00508-023
Evaluation of Linkage Between Research Publications and NIH Funding.	E00508-049
Evaluation of User Utilization of the National Medical Audio Visual Center Media Distribution Program.	E00508-046
Evaluation Report: General Clinical Research Centers Program, Division of Research Resources.	E00508-038
Final Report of a Pilot Project to Develop a Methodology for Cost-Benefit Analysis of Research Animal Diagnostic and Investigative Laboratories.	E00508-047
Five and Ten Years After College Entry.	E00508-052
Follow-up Survey of National Cancer Institute Postdoctoral Fellows (and) Follow-up Survey of National Cancer Institute Graduate Research Trainees; final report.	E00508-029
Follow-up Survey of National Institute of Dental Research Graduate Trainees and Postdoctoral Fellows.	E00508-030
Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite Experiment Evaluation.	E00508-043
Impact of NIH Training Grant Programs on Full-Time Medical School Faculty.	E00508-024
Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast.	E00508-034
Manpower Engaged on NIH Research Grants in 1970.	E00508-048
Manpower Resources and Needs in Speech Pathology/Audiology.	E00508-045
National Institutes of Health, Evaluation Plan, FY 1974.	E00508-022
Postdoctoral Training in the Biomedical Sciences: An Evaluation of NIGMS Postdoctoral Traineeship and Fellowship Programs.	E00508-027
Proceedings of a Symposium--Dental Biomaterials Research Priorities.	E00508-031
Quality and Consistency Evaluation of Medlars Indexing: final report.	E00508-037
Summary Report 1972: Doctorate Recipients from United States Universities.	E00508-035
Summary Report 1973: Doctorate Recipients from U.S. Universities.	E00508-042
The Totally Implantable Artificial Heart.	E00508-028
Office of Child Development	
A Sequential Approach to Early Childhood and Elementary Education.	E00512-001
Bank Street Supplemental Study.	E00512-015
Design for a National Evaluation of Social Competence in Head Start Children.	E00512-002
Evaluation of Head Start Experience with 'Healthy, That's Me.'	E00512-018

Evaluation Study for the Institute for Developmental Studies Head Start Planned Variation Study.	E00512-013
Final Report of Monitoring Contract of Seven Home Start Grantees.	E00512-009
Health Start Final Report of the Evaluation of the Second Year Program.	E00512-008
Health Start: Evaluation of the First Year Program.	E00512-005
Implementation of Head Start Planned Variation Testing and Data Collection Effort.	E00512-011
Overview of the Parent-Child Center Management Information System.	E00512-004
Perspectives on Parent Participation in Project Head Start: an analysis and critique.	E00512-006
Project Head Start: achievements and problems.	E00591-002
Research-Based Evaluation of the Tucson Early Education Model.	E00512-016
Retrospective Study of Employee Mobility in Head Start Programs.	E00512-019
Serving Handicapped Children.	E00512-021
Short-Term Cognitive Effects of Head Start Programs.	E00512-007
Social Competency in Young Children.	E00512-010
Sponsor Supported Research of the University of Kansas Planned Variation Program.	E00512-014
Summative Evaluation of the Child and Family Resource Program.	E00512-020
Supplementary Evaluation of the Responsive Environment Head Start Program.	E00512-012
Supplementary Research on Responsive Model for Planned Variation Communities.	E00512-017
Toward a New Cognitive Effects Battery for Project Head Start.	E00512-003
Office of Education.	
A Longitudinal Evaluation of the Audit Basic Education Program.	E00501-015
A Process Evaluation of the Bilingual Education Program, Title VII, Elementary and Secondary Education Act.	E00501-025
A Study of the Education Professions Development Act Training Programs for Higher Education Personnel.	E00501-020
A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates.	E00501-007
A Study of the Special Services for Disadvantaged Students Program.	E00501-041
A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects.	E00501-005
A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects.	E00501-006
A Study of Title III of the Higher Education Act: The Developing Institutions Program.	E00501-019
An Analysis of the Relationship Between Reading and Mathematics Achievement Gains and Per-Pupil Expenditures in California Title I Projects.	E00501-014
An Assessment of Career Guidance, Counseling, and Placement Activities in Secondary Schools for Non-College Bound Students.	E00501-016
An Assessment of School-Supervised Work Education Programs.	E00501-017
An Evaluation of Educational Programs in State Operated and State-Supported Schools for Handicapped Children.	E00501-033
An Evaluation of Federal Programs to Increase the Pool of Special Education Teachers.	E00501-035
Analysis of Comparative Impacts of Existing BEOG Needs Analysis System.	E00501-039
Analysis of Differential Treatments Under Basic Educational Opportunity Grants Needs Analysis.	E00501-038
Assessment of the Teacher Corps Program.	E00581-001
Assessment of Vocational Education for Handicapped Students.	E00501-032
Design of Evaluation Plan for the Right to Read Community Based Projects.	E00501-028
Development of Packages for Effective Approaches in Compensatory Education.	E00501-046
Effectiveness of Educational Laboratories and Centers.	E00501-029
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-002
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-003
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-004
Evaluation of the Community Based Right to Read Program.	E00501-011
Evaluation of the Impact of ESEA Title I Programs for Migrant Children of Migrant Agricultural Workers.	E00501-027
Evaluation of the Public Library and Analysis of its Present and Future Role.	E00501-031
Evaluation of Basic Education Programs Conducted Under MDTA Institution Training Program.	E00501-044
Evaluation of Community Group Projects of the Emergency School Assistance Program.	E00501-024
Evaluation of Federal Programs Supporting Educational Change.	E00501-047
Evaluation of LSCA Services to Special Target Groups.	E00501-009
Evaluation of Selected Aid-to-States Programs for the Education of the Handicapped.	E00501-034
Evaluation of Vocational Exemplary Programs.	E00501-042
Federal Library Support Programs: progress and problems.	E00581-006
Federal Programs for the Education of the Handicapped: issues and problems.	E00581-005
Federal Role in Children's Television Programming.	E00501-030

Follow Through National Evaluation: Data Collection and Data Processing.	E00501-023
Full-Scale Implementation of a Process Evaluation System for Programs of the National Center for the Improvement of Educational Systems.	E00501-021
Further Study of Performance Contracting in Education.	E00501-045
Innovation and Change: A Study of Strategies in Selected Projects Supported by the National Center for the Improvement of Educational Systems.	E00501-022
Longitudinal Study of Educational Effects: Vocational Education.	E00501-043
Private Accreditation and Public Eligibility.	E00501-008
Problems of the Upward Bound Program in Preparing Disadvantaged Students for a Postsecondary Education.	E00581-004
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.	E00501-001
Southern Schools: An Evaluation of the Effect of the Emergency School Assistance Program and of School Desegregation.	E00501-026
Study of Cooperative Education.	E00501-037
Study of Special Allowance and Servicing Costs in the Guaranteed Student Loan Program.	E00501-036
Supply and Demand Conditions for Teachers and Implications for Federal Programs.	E00581-003
Survey of Lender Prepayment Terms and Survey of Repayment Burden Among Borrowers.	E00501-040
The Adult Basic Education Program: Progress in Reducing Illiteracy and Improvements Needed.	E00581-008
The Demand for Facilities in the Post-secondary Sector, 1975 to 1990.	E00501-010
The Evolution of Educational Performance Contracting in Five School Districts.	E00501-013
The Federal College Work-Study Program: a status report.	E00501-018
The Manner in Which Medicare Reimbursement is Made to a Laboratory Facility: Phoenix, Arizona.	E00590-007
The Process of Funds Allocation Under Title I of the ESEA of 1965.	E00501-012
Training America's Labor Force: Potential, Progress, and Problems of Vocational Education.	E00581-002
What Is the Role of Federal Assistance for Vocational Education?	E00581-007
Office of Youth Development	
National Evaluation of Youth Service Systems.	E00513-001
Social and Rehabilitation Service	
A Diffusion Analysis of AFDC Participation.	E00509-024
A Gross Flows Model of AFDC Participation.	E00509-021
A Retrospective Case Review of WIN II Completed Job Entries: grant reductions, services, and welfare savings.	E00509-012
A Study of Feasibility of Securing Adequate Information for the Federal Evaluation of the Developmental Disabilities Formula Grant Program.	E00509-007
A Study of Placement in PA/VR (Public Assistance Vocational Rehabilitation).	E00509-002
An Analysis of the Allocation of Counseling Resources in Vocational Rehabilitation Agencies.	E00509-037
An Econometric Model of the Low-Skill Labor Market.	E00509-025
Analysis of the Utilization of Social Services by AFDC Recipients.	E00509-023
Analysis of Medicaid Buy-In Experience.	E00509-015
Analysis of Medicaid Spend-Down Experience.	E00509-004
Bibliographies, Notes and Summaries Concerning WIN.	E00509-008
Categorical Wage-Bill Subsidies: theory and application.	E00509-027
Characteristics of the Demand for Disabled Workers.	E00509-042
Comparison of State Agency and Fiscal Agent Performance in Medicaid.	E00509-013
Considerations in Developing Welfare Cost Standards.	E00509-019
Cost Benefit of Utilization Review Techniques in Medicaid.	E00509-014
Costs of Alternative Care Settings for the Elderly.	E00509-035
Deinstitutionalization--Delinquent Children.	E00509-050
Deinstitutionalization--Dependent and Neglected Children.	E00509-032
Determinants of Long-Term Care Facility Utilization by the Elderly: an empirical analysis.	E00509-041
Disability and Labor Supply.	E00509-043
Effectiveness of Vocational Rehabilitation in Helping the Handicapped.	E00591-004
Employment and Wage Effects of the WIN Tax Credit.	E00509-026
Fee Schedules and Social Services.	E00509-034
Full Employment and Income Security: the role of wage subsidy programs as policy weapons.	E00509-018
Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients.	E00589-005
Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse.	E00589-009

HEALTH, EDUCATION, AND WELFARE DEPARTMENT

AGENCY INDEX

Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program.	E00589-007
Increased Compliance Needed with Nursing Home Health and Sanitary Standards.	E00589-011
Isolation Among the Elderly: definition, measurement, and policy considerations.	E00509-046
Issues in Medicaid.	E00509-048
Making Social Service Choices at the State Level: practice and problems in four states.	E00509-039
Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements.	E00589-008
Measures of Delinquency: problems and findings.	E00509-031
Measuring Benefits from Prison Reform.	E00509-051
Medicaid in California: a descriptive analysis.	E00509-044
Organizational Issues in the Provision of Community Care to the Impaired Elderly.	E00509-045
Outpatient Health Care in Inner Cities: Its Users, Services, and Problems.	E00589-010
Participation in the Aid to Families with Dependent Children.	E00509-029
Problems in Administering Two Eligibility Aspects in the Aid to Families with Dependent Children Program.	E00589-002
Regional Adjustments to Family Assistance Plan Payments.	E00509-028
Service Utilization Patterns Derived from Cross Tabulations of the 1971 AFDC Survey: a guide to the perplexed.	E00509-016
Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency?	E00589-004
Some Problems in Contracting for Federally Assisted Child-Care Services.	E00589-003
Special Approval and Evaluation of the WIN II Program.	E00509-011
Special Revenue Sharing in Support of Public Social Services.	E00509-049
Study of Cost Differentials Between Skilled Nursing Facilities and Intermediate Care Facilities.	E00509-006
Survey of Title XIX Long-Term Care Facilities and Patients, volume I.	E00509-005
The Determinants of Vocational Rehabilitation Costs: an exploratory analysis.	E00509-040
The Impact of Compensatory Education and Desegregation on Juvenile Delinquency.	E00509-033
The Program Services and Support System of the Rehabilitation Services Administration.	E00509-003
The Quality Control System in Welfare: Its Implementation in Pennsylvania.	E00589-001
Utilization of Alternative Care Settings by the Elderly: normative estimates and current patterns.	E00509-036
Utilization of Ambulatory Care.	E00509-030
Variation in Payment Formulas and Work Incentives.	E00509-017
Vocational Rehabilitation Programs Undergoing Rapid Expansion: a simulation study of cost and caseload statistics.	E00509-047
Vocational Rehabilitation State Allocation Study.	E00509-001
Welfare and Illegitimacy: an economic model and some preliminary results.	E00509-022
Welfare Policy and Family Splitting.	E00509-020
WIN II Administrative Impact Study.	E00509-010
WIN II Initial Impact Study.	E00509-009

Social Security Administration

Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows.	E00590-003
Age Differences in Health Care Spending, F.Y. 1974.	E00510-157
Age Differences in Health Care Spending, Fiscal Year 1974.	E00510-009
Age Differences in Medical Care Spending, Fiscal Year 1973.	E00510-165
An Analysis of Medicare Administrative Costs.	E00510-023
Assets on the Threshold of Retirement.	E00510-053
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
Cash Benefits for Short-Term Sickness, 1948-72.	E00510-044
Collection and Analysis of Prescreening Surgical Consultation Benefit Program.	E00510-137
Comparison of Cost and Benefit Incidence on Government Medical Care Programs, FY 1966 and 1969.	E00510-038
Conversions to Supplemental Security Income from State Assistance: A Program Records Study.	E00510-008
Cost-Benefit Analysis of SSDI (Social Security Disability Income) Trust Fund Program.	E00510-148
Coverage and Vesting of Full-Time Employees Under Private Retirement Plans.	E00510-046
Covered Employment and the Age Men Claim Retirement Benefits.	E00510-041
Demographic and Economic Characteristics of Aged--1968 Survey.	E00510-064
Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns.	E00510-050
Disabling Effects of Chronic Conditions of Male Family Heads.	E00510-145
Drug Programs Under British National Health Services.	E00510-146
Early Experience Under the Supplemental Security Income Program.	E00510-027
Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63.	E00510-024
Earnings of Disabled-Worker Beneficiaries.	E00510-029

AGENCY INDEX

HEALTH, EDUCATION, AND WELFARE DEPARTMENT

Effects of Ability, Family Background and Education on Earnings and Careers.	E00510-134
Effects of Interstate Migration in the United States.	E00510-149
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Employee-Benefit Plans, 1973.	E00510-010
Evaluation of Surgical Consultation Insurance Benefit.	E00510-135
Family Structure in Pre-Retirement Years.	E00510-049
Financial Position of Private Community Hospitals, 1961-71.	E00510-047
Finnish and Swedish Pension Re-Insurance Programs.	E00510-144
Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits.	E00590-008
Group Health Insurance Coverage of Full-Time Employees, 1972.	E00510-030
Health in the Years Before Retirement.	E00510-061
Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970.	E00510-019
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
Hospital Costs and the Medicare Program.	E00510-052
Hospital Organization: Effectiveness of Patient Care.	E00510-143
Hospital Reimbursement and Regulation Under Medicare.	E00510-136
Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969.	E00510-048
Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned.	E00590-005
International Social Security Agreements.	E00510-066
Major Social Security Issues: Japan, 1972.	E00510-059
Medical Care Costs and Prices: background book.	E00510-001
Medical Care Expenditures in Seven Countries.	E00510-058
Medical Care Price Changes Under the Economic Stabilization Program.	E00510-055
Medicare Impact on Hospital Utilization and Financing.	E00510-130
Medicare Patients: Regional Differences in Lengths of Hospital Stays, 1969-71.	E00510-006
More Needs to be Done to Assure that Physicians' Services--Paid for by Medicare and Medicaid--Are Necessary.	E00590-001
National Health Expenditures, 1929-1974.	E00510-015
National Health Expenditures, 1929-73.	E00510-043
National Health Insurance Proposals as of July 1974.	E00510-033
National Health Systems in Eight Countries.	E00510-034
Need to More Consistently Reimburse Health Facilities Under Medicare and Medicaid.	E00590-006
Pension Reform Legislation of 1974.	E00510-018
Private Health Insurance in 1973: A Review of Coverage, Enrollment, and Financial Experience.	E00510-014
Problems Associated With Reimbursements to Hospitals for Services Furnished Under Medicare.	E00590-002
Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries.	E00510-056
Reducing Social Security Contributions for Low-Income Workers: Issues and Analysis.	E00510-239
Relatedness Patterns of Puerto Rican Centenarians.	E00510-151
Retirement History Study: Introduction.	E00510-062
Selected Characteristics of the State Supplementation Programs Under the Supplementary Security Income Program (SSI).	E00510-035
Selected State Data Medicare Fiscal Years 1968-72.	E00510-002
Self-Employment in the Covered Work Force.	E00510-037
Service Organizations and Clients.	E00510-133
Size and Shape of the Medical Care Dollar, 1975.	E00510-003
Social Security Act Amendments, 1974.	E00510-021
Social Security Amendments of 1972: Summary and Legislative History.	E00510-060
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security Programs Throughout the World, 1973.	E00510-065
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Social Welfare Expenditures, 1972-73.	E00510-045
Some Aspects of Medicare Experience with Group-Practice Prepayment Plans.	E00510-013
State Supplementation Under Federal SSI (Supplemental Security Income) Program.	E00510-020
Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare.	E00590-004
Supplemental Security Income for the Aged: A Comparison of Five Countries.	E00510-040
Survey of Newly Entitled Beneficiaries.	E00510-063
The Disabled Widow.	E00510-016
The Economics of Non-Hospital Clinical Laboratories.	E00510-132
The Effect of Social Security on Personal Saving.	E00510-150

HEALTH, EDUCATION, AND WELFARE DEPARTMENT**AGENCY INDEX**

The Effects of Welfare Payments on Family Expenditures.	E00510-141
The European Experience in Social Health Insurance.	E00510-054
The Retirement Test: An International Study.	E00510-025
The Supplemental Security Income Program for the Aged, Blind, and Disabled.	E00510-039
The True Cost of Filling a Prescription in Kansas in 1971.	E00510-147
Unemployment and Disability: An Econometric Analysis with Time Series Data.	E00510-036
Utilization and Reimbursements Under Medicare for 1967 and 1968 Decedents.	E00510-057
Value for Money in Health Services.	E00510-026
Variations in Prescription Markups-on-Retail.	E00510-139
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
Work After Retirement: some psychological factors.	E00510-131
Workmen's Compensation Under Security.	E00510-022
Young Widows and Their Children: A Comparative Report.	E00510-011

HOUSING AND URBAN DEVELOPMENT DEPARTMENT

An Analysis of Section 234--HUD Condominium Programs.	E00600-008
An Inquiry into the Relative Emphasis of Program Policies on Housing Needs in the Suburbs and in Older Declining Areas.	E00600-018
Analyses of the Causes of the Growth in Public Housing Operating Subsidies.	E00600-002
Annual Administrative Budget.	E00600-091
Annual Arrangements.	E00600-068
Area Managers Activities.	E00600-133
Area Office of Application Procedures.	E00600-074
Areawide Planning Certificates.	E00600-115
Bulk Sales--Rehabilitation, Property Disposition: Banner Ltd.	E00600-139
Close-out of Urban Renewal Projects.	E00600-063
Closeout of Urban Renewal Projects.	E00600-093
Code Enforcement Program.	E00600-065
College Housing Loan.	E00600-132
College Housing Management.	E00600-129
Comprehensive Planning Programs.	E00600-064
Coordination of Federal Planning Assistance Programs.	E00600-007
Default Counseling.	E00600-005
Delays in Final Endorsement.	E00600-123
Determinants of State and Local Expenditures: an annotated bibliography.	E00600-011
Disposition of Acquired Single Family Properties.	E00600-131
Equal Opportunity Activities.	E00600-084
Equal Opportunity--Program Evaluation and Compliance.	E00600-137
Evaluation of On-Going Urban Renewal Activities.	E00600-114
Evaluation of Operating Agencies--Model Cities.	E00600-089
Evaluation of Urban Renewal Activities.	E00600-092
Final Land Acquisition--Urban Renewal.	E00600-090
Government National Mortgage Association (GNMA) Mortgage-Backed Securities.	E00600-121
Greater Benefits Can Be Derived from Improved HUD Audits of Program Participants.	E00690-001
Housing in the Seventies; a report of the National Housing Policy Review.	E00600-013
Housing Assistance Research.	E00600-138
Independent Public Accountant Services.	E00600-083
Leased Housing Program.	E00600-077
Limited Review--Riverton and Gananda.	E00600-142
Limited Review--Soul City.	E00600-141
Liquidation of Public Works Planning Advances.	E00600-102
Loan Management Functions on Multifamily Projects.	E00600-135
Low Rent Housing Maintenance and Engineering.	E00600-079
Low Rent Housing Modernization Project.	E00600-082
Low Rent Occupancy.	E00600-105
Mobile Home Courts--Application Processing.	E00600-097
Mobile Home Parks Underwriting Procedures.	E00600-076
Model Cities Program.	E00600-061
Mortgage Insurance for Nursing Homes--Section 232.	E00600-124
Mortgagees' Origination Costs.	E00600-075
Multi-Family Application Processing.	E00600-096
Multi-Family Default Study.	E00600-004
Multi-Family Mortgage Insurance Programs--Feasibility Processing to Final Endorsement.	E00600-126
Multifamily Acquired Properties Rehabilitation/Disposition.	E00600-134
National Flood Insurance.	E00600-140
Neighborhood Development Program.	E00600-062
Neighborhood Facilities Program.	E00600-070
New Communities Evaluation.	E00600-001

AGENCY INDEX

HOUSING AND URBAN DEVELOPMENT DEPARTMENT

New Communities Project Review.	E00600-108
New Community Guarantee Program.	E00600-073
New Construction--Single-Family Accelerated Subdivision Processing.	E00600-098
Non-cash Local Grants-in-aid.	E00600-071
Open Space Program.	E00600-069
Operating Subsidies--Low Rent Housing Programs.	E00600-136
Operations of a Special Mortgagor.	E00600-100
Planned Variation Cities.	E00600-116
Policy Analyses for Evaluation of New Communities Program.	E00600-009
Procedures for Distribution of Community Development Block Grant Funds.	E00600-120
Program Evaluation.	E00600-109
Project Rehabilitation.	E00600-099
Public Facility Loans.	E00600-088
Rehabilitation Loans and Grants.	E00600-060
Relocation Payments.	E00600-067
Relocation.	E00600-087
Repairs--Single Family Acquired Property.	E00600-078
Reports on Rentals.	E00600-130
Residential Subdivision Processing.	E00600-122
Review of Model Cities Program--Housing and Economic Development Operating Agencies.	E00600-113
Section 312 Loans (Limited Review).	E00600-094
Servicing Problem Projects.	E00600-081
Single Family Appraisal/Inspection.	E00600-095
Single-Family Property Disposition.	E00600-003
Tenant Eligibility.	E00600-080
The Changing Role of FHA Mortgage Insurance in the Mortgage Market and the Secondary Market.	E00600-025
The Effects of FHA Activity in Older, Urban, Declining Areas: a review of existing, related analysis.	E00600-010
Title I Property Improvement and Mobile Home Loans.	E00600-125
Title X Land Development.	E00600-006
Turnkey III--Homeownership.	E00600-106
Uncompleted Resources Development Projects.	E00600-118
Urban Renewal Land Acquisition (Proclaimers).	E00600-066
Urban Renewal Land Acquisition Activities.	E00600-117
Urban Renewal Land Disposition.	E00600-085
Urban Renewal Land Disposition Activities.	E00600-072
Urban Renewal Land Disposition Activities.	E00600-112
Use of Consultants.	E00600-111
Use of Facilities.	E00600-119

Community Planning and Development

Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia.	E00692-011
An Assessment of the Open Space Certification Procedure.	E00602-044
Annual Arrangements: improving coordination of community development programs.	E00602-038
Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs.	E00602-032
Evaluating Local Urban Renewal Projects.	E00602-054
Follow-Up Review of the Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia.	E00692-027
Getting the New Communities Program Started; progress and problems.	E00692-023
Guidelines for Urban Renewal Land Disposition.	E00602-046
Linear Parkway: bay area rapid transit urban beautification demonstration project.	E00602-052
Local Government Approaches to Capacity Building.	E00602-039
Local Government Participation in the A-95 Project Notification and Review System.	E00602-037
Need for HUD to Prepare an Environmental Impact Statement for Town of Leesburg, Virginia, Potomac River Water Supply Project.	E00692-022
Need for More Timely and Accurate Data on the Operations of Certain Community Development Programs.	E00692-002
Need to Improve Management of Urban Renewal Projects.	E00692-018
One for One OE/CPD Replacement Housing: the impact of section 105(h) on the NDP/Urban Renewal Program.	E00602-051
Open Space and Recreation Opportunity in America's Inner Cities.	E00602-053
Opportunities for Improving the Model Cities Program in San Antonio, Texas.	E00692-004
Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana.	E00692-005
Planned Variations: first year survey.	E00602-036
Prices Paid for Property Acquired in Urban Renewal Programs in the District of Columbia.	E00692-007

HOUSING AND URBAN DEVELOPMENT DEPARTMENT

AGENCY INDEX

Procedures and Practices for Providing Technical Assistance to Cities Participating in the Model Cities Program.	E00692-001
Review of the Model Cities Program in Dayton, Ohio.	E00692-025
Review of the Model Cities Program in East St. Louis, Illinois.	E00692-021
Review of the Model Cities Program in Lansing, Michigan.	E00692-024
Review of the San Jose, California, Model Cities Program.	E00692-019
Review of Certain Allegations Concerning the Model Cities Planning Council in Dayton, Ohio.	E00692-028
Review of Certain Aspects of the Federally Assisted Code Enforcement Program in Denver, Colorado.	E00692-020
Review of Certain Aspects of the Grand Rapids, Michigan, Model Cities Program.	E00692-014
Review of Certain Aspects of the Model Cities Program in Newark, New Jersey.	E00692-008
Review of Certain Aspects of the Urban Renewal Program in Lynn, Massachusetts.	E00692-012
Selected Aspects of the Boston, Massachusetts Model Cities Program.	E00692-003
Selected Aspects of the Neighborhood Development Program.	E00692-006
Survey of Administration of the Planned Variations Program.	E00692-013
Survey of Housing Rehabilitation Loan and Grant Program.	E00602-050
The Changing Demand for Local Capacity and Analysis of Functional Programming and Policy Planning.	E00602-035
The Federal Grant Process: an analysis of the use of supplemental and categorical funds in the model cities program.	E00602-034
The Future of Local Urban Development.	E00602-055
The Impact of Exogenous Factors Upon Urban Renewal Land Disposition.	E00602-045
The Low Rent Housing Project on Quebec Road in Cincinnati.	E00692-999
The Model Cities Program: a comparative analysis of city response patterns and their relation to future urban policy.	E00602-040
The Model Cities Program: a comparative analysis of participating cities, process, product, performance and prediction.	E00602-041
The Model Cities Program: ten model cities--a comparative analysis of second round planning years.	E00602-042
Urban Renewal Land Disposition Studies: Boston, Mass.	E00602-048
Urban Renewal Land Disposition Studies: Durham, NC.	E00602-056
Urban Renewal Land Disposition Studies: Kingston, N.Y.	E00602-043
Urban Renewal Land Disposition Studies: Louisville, Ky.	E00602-058
Urban Renewal Land Disposition Studies: St. Paul, Minn.	E00602-057
Urban Renewal Land Disposition Studies: Supplementary Cities.	E00602-049
Urban Renewal Land Disposition Studies: Tulsa, Oklahoma.	E00602-059
Urban Renewal Land Disposition Study: literature search.	E00602-047
Use of the CDA Sign-off on Model Cities for Planning and Coordinating HEW Programs.	E00602-033
Federal Insurance	
Actions Needed to Provide Greater Insurance Protection to Flood-Prone Communities.	E00696-002
Need for Improved Consumer Protection in Interstate Land Sales.	E00696-001
The Federal Crime Insurance Program: how it can be made more effective.	E00696-003
Government National Mortgage Association	
Certain Aspects of Internal Audit Coverage Need Improvement at Federal National Mortgage Association's Regional Offices.	E00698-001
Housing Management	
Benefits Could Be Realized by Revising Policies and Practices for Acquiring Existing Structures for Low Rent Public Housing.	E00694-001
Evaluation of the Operation of Concentrated Default Counseling.	E00604-027
Evaluation of Housing Management Goals Management System.	E00604-029
Evaluation of HUD-Mandated Model Lease and Grievance Procedures.	E00604-028
Ineffective HUD Monitoring of Indian Housing Authorities' Activities.	E00694-008
Inquiry into the Construction of Housing Projects on the Blackfeet Indian Reservation.	E00694-009
Local Housing Authorities Can Improve Their Operations and Reduce Dependence on Operating Subsidies.	E00694-012
Management Performance in Public Housing.	E00604-026
Monitoring of Housing and Urban Development Investigation of the Pueblo of Laguna Housing Authority.	E00694-011
Need for HUD to Collect Excessive Funds Provided to a Housing Authority.	E00694-006
Observations on Operation of National Capital Housing Authority.	E00694-003
Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.	E00693-004
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.	E00693-003

AGENCY INDEX

HOUSING AND URBAN DEVELOPMENT DEPARTMENT

Review of Modernization Program for Low-Rent Public Housing.	E00694-002
Slow Progress of Federal and State Housing Programs in Meeting Housing Needs in Rural Alaska.	E00694-007
Summary Information on HUD-Held Multifamily Mortgages.	E00693-020
Target Problem Projects Evaluation.	E00604-030
Ways to Improve Management of Acquired Residential Properties.	E00694-004
Weaknesses in Administration of the Home-Ownership Program for Low-Income Families.	E00693-000
Housing Production and Mortgage Credit	
Assessment of Programs Assigned to Assistant Secretary for Housing Production and Mortgage Credit.	E00603-031
Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois.	E00693-008
Department of Housing and Urban Development Should Reduce Handling Fees Paid to Mortgagees Servicing Subsidized Mortgages.	E00693-023
Department of Housing and Urban Development's 'as is' Sales Program in Flint, Michigan.	E00693-021
Differences in Costs of Purchasing House in Seven States through the Departments of Housing and Urban Development and Agriculture.	E00693-022
Disposal of Acquired Properties in Detroit, Michigan: progress and problems.	E00693-015
Housing for the Elderly--Factors Which Should be Evaluated Before Deciding on Low- or High-Rise Construction.	E00693-024
HUD's Comparison of Costs under Sections 202 and 236 for Housing Projects for the Elderly.	E00693-001
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.	E00693-016
Need for Improvement in Providing Funds to Local Public Agencies.	E00693-006
Observation on Housing Allowances and the Experimental Housing Allowance Program.	E00693-019
Observation on Program to Repair Existing Houses Insured under the Section 235 Program--District of Columbia Area Office.	E00693-010
Opportunities for Energy Conservation in Federally Insured Homes by Revising Current Thermal Standards and Establishing Additional Standards Where Necessary.	E00693-013
Opportunities for Improvement in Coordination of Housing Planning Efforts between State and Interstate Regional Planning Agencies and in the Department of Housing and Urban Development Guidelines on Updating Housing Studies.	E00692-017
Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.	E00693-005
Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.	E00693-002
Problems in the Homeownership Opportunities Program for Low Income Families (HOPLIF).	E00693-018
Processes for Approving and Monitoring Nonsupervised Mortgages.	E00693-011
Weaknesses in Administration of the Program to Correct Defects in Housing Insured under the Section 235 Program.	E00693-025
New Communities	
A Delphi Report: Future of New Communities.	E00601-162
Advantages of New Communities.	E00601-019
Alternative Federal Policies for New Community Development.	E00601-155
Are HUD Processing Procedures an Onerous Disincentive to Other than Marginal Developers?	E00601-151
Comparative Summary of the Development Task in New Communities and PUD's (Planned Unit Developments).	E00601-020
Cost of Sprawl: comparison of planned vs. unplanned communities.	E00601-159
Does the New Communities Program Suffer from Multiple Objectives?	E00601-149
Economic and Fiscal Effects of Satellite New Communities on Control Cities.	E00601-022
Future Direction for New Communities--Key Issues.	E00601-143
Impact of Satellite New Communities on Central Cities.	E00601-021
Improvements Needed in Department of Housing and Urban Development's Administration of the New Community Development Program.	E00691-001
Is the Title VII Program a Viable Program Without Basic or Supplementary Grants?	E00601-150
Modernizing the Central City--New Towns Intown and Beyond.	E00601-018
National Growth Policy and New Communities.	E00601-154
New Communities and the Energy Crisis.	E00601-144
New Communities and the States--New Community Potential.	E00601-024
New Communities Policy and Options Paper.	E00601-160
New Communities Program, Options for Future Directions.	E00601-147
New Communities, USA.	E00601-161

HOUSING AND URBAN DEVELOPMENT DEPARTMENT

AGENCY INDEX

New-Towns-in-Town: their potential and problems. E00601-148
 Report to House Subcommittee on HUD Independent Agencies: Federal assistance to
 new communities. E00601-158
 Social Aspects of New Communities. E00601-017
 Some Trends and Conditions Affecting New Communities. E00601-145
 St. Charles Communities Impact Evaluation Study: a comprehensive planning, re-
 search and demonstration program. E00601-015
 Strategies for New Communities. E00601-156
 Subsidized Housing in New Communities. E00601-153
 Summary Benefits of Title VII-Type Suburban New Communities. E00601-014
 Summary Recommendations on Low and Moderate Income Housing. E00601-152
 The Economic Impact of Reston on Fairfax County Government. E00601-016
 The Federal New Community Program in the Context of an Emerging National
 Growth Policy. E00601-146
 The Necessity and Impact of Federal Guarantees and Other Financial Aid on New
 Community Development. E00601-023
 Trends and Implications of No-Growth Movement for New Communities. E00601-157

INTERIOR DEPARTMENT

Administration of Regulations for Surface Exploration, Mining, and Reclamation of
 Public and Indian Coal Lands. E00780-001
 An Evaluation of the 1973 Youth Conservation Corps. E00700-003
 Department of the Interior Aircraft Study and Recommendations. E00700-007
 Future Action Needed on Recommendations for Improving the Administration of
 Federal Coal-Leasing Program. E00780-009
 Information on Certain Oil and Gas Industry Oversight Responsibilities. E00780-004
 Managing Recreation Facilities at Bureau of Reclamation Reservoirs. E00780-006
 Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974
 YCC program. E00700-005
 Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Pro-
 duction, Protect the Environment, and Improve Public Land Management. E00780-005
 Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the
 Outer Continental Shelf. E00780-008
 Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting
 Electric Power Requirement. E00780-003
 Post-camp Uses and Effects of YCC Experiences. E00700-006
 Problem in Identifying, Developing, and Using Geothermal Resources. E00780-007
 Southwestern Federal Power Program--Financial Progress and Problems. E00780-002
 Study of Vocational Training Skills Achievement at Departmental Job Corps Centers.
 Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation
 Corps. E00700-004
 Youth Conservation Corps Pilot Program, 1972. E00700-001
 Youth Conservation Corps Pilot Program, 1973. E00700-002
 Youth Conservation Corps Program, 1974. E00780-010

Bureau of Indian Affairs

Land Management Activities on Three Indian Reservations in South Dakota Can Be
 Improved. E00793-001

Bureau of Land Management

Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource
 Values in Nevada. E00714-001

Bureau of Mines

Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of
 1969. E00791-002
 Improvements Needed in the Assessment and Collection of Penalties--Federal Coal
 Mine Health and Safety Act of 1969. E00791-001

Bureau of Outdoor Recreation

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recrea-
 tion Grants. E00795-001

Bureau of Reclamation

Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive
 Water from Federal Water Resources Projects. E00796-001
 More Effective Procedures Are Needed for Establishing Payment Terms and Develop-
 ment Periods for Irrigation Projects. E00796-002
 The Federal Reclamation Program; its impacts, issues and future considerations.
 Water & Land Resource Accomplishments, 1973. E00716-001
 E00716-002

AGENCY INDEX**JUSTICE DEPARTMENT****Geological Survey**

Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey. E00712-008

First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. E00712-001

Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf. E00792-001

Onshore Lease Management Program Study for the U.S. Geological Survey. E00712-007

Report of the Work Group on OCS Safety and Pollution Control. E00712-004

Report of the Work Group on OCS Safety and Pollution Control, May 1973. E00712-005

Report of the Work Group on OCS Safety and Pollution Control, May 1973. E00712-006

Research and Development in Topographic Mapping. E00712-010

Review of Royalty Accounting System for Onshore Oil and Gas Leases. E00712-013

Royalty Accounting System Study of Solid Mineral Leasing Activities. E00712-014

Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. E00712-002

Status and Plans of the Department of the Interior EROS Program. E00712-012

The Application of a Computerized Environmental Information System to Master and Sector Planning. E00712-011

Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey. E00712-003

Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California. E00712-009

National Park Service

Study of National Park Service Concessions Management. E00710-001

United States Fish and Wildlife Service

Program Evaluation, Youth Conservation Corps. E00709-003

Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974. E00709-006

Review of Cooperative Fisheries and Wildlife Unit Program. E00709-002

Review of Wetlands Acquisition Program. E00709-001

JUSTICE DEPARTMENT

A Program for Progress. E00800-001

A Review and Appraisal of the Status of JIMS--The Justice Information Management System. E00800-003

A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice. E00800-013

Alternatives for Establishing a Central Statistical Capability Within the Department of Justice. E00800-012

An Evaluation of the U.S. Board of Parole Reorganization. E00800-008

Board of Immigration Appeals: a discussion and recommendations for change. E00800-006

Drug Treatment Programs and the Federal Criminal Justice System: an analysis and recommendations. E00800-005

DEA Task Force Program: an analysis and recommendations. E00800-007

Efforts to Prevent Heroin from Illicitly Reaching the U.S. E00880-001

Federal Regulation of Lobbying Act--Difficulties in Enforcement and Administration. E00880-003

INTERPOL: A Discussion of Its Activities and Membership. E00800-002

Justice Litigation Management; A Review of the Process: Policy, Authority, Direction. E00800-010

Law Enforcement Training: an analysis of current and future requirements. E00800-009

Letter Report Issues Concerning New Legitimate Sources for Opium, Technology Required to Increase Production from Present Sources, and the State of Research with respect to Synthetic Alternatives. E00880-002

Office of the Solicitor General: an analysis and recommendations. E00800-011

The Department of Justice's Civil Disturbance Analysis and Evaluation Function; Report and Recommendations on the Intelligence Analysis Unit. E00800-014

The Management, Staffing and Organization of the United States Marshals Service: a critical analysis. E00800-004

Bureau of Prisons

Proposals and Actions for Improving the Federal Parole System. E00888-002

Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough. E00888-001

Use of Statutory Authority for Providing Inmate Release Funds. E00888-003

Drug Enforcement Administration

A Brief Analysis of DEA Coordination with Patrol Forces on the Southwest Border. E00810-002

An Analysis of the Effects of Criminalization in Dangerous Drug Arrests. E00810-009

An Analysis of the Resources, Policies, and Procedures of the Office of Inspection and Internal Security. E00810-004

An Analysis of Cross Regional Case Development. E00810-007

JUSTICE DEPARTMENT

AGENCY INDEX

An Analysis of DEA Marihuana Arrestees.	E00810-008
Difficulties in Immobilizing Major Narcotics Traffickers.	E00890-003
DEA Performance Measurement System (PMS).	E00810-005
Efforts to Stop Narcotics and Dangerous Drugs Coming from and through Mexico and Central America.	E00890-006
Enforcement Productivity and Placement of Resources.	E00810-006
Federal Efforts to Combat Drug Abuse.	E00890-001
Heroin Being Smuggled into New York City Successfully.	E00890-002
Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough.	E00890-004
Improved Staffing on Major Decisions.	E00810-003
Issues Concerning Supply and Demand for Licit Opium in U.S. as of July 1, 1974.	E00890-005
Problems in Slowing the Flow of Cocaine and Heroin from and through South America.	E00890-007
Report on the Committee of the Basic Agent Training Program.	E00810-001
The Heroin Hotline.	E00894-001
Federal Bureau of Investigation	
Letter Report on Computerized Criminal History Information.	E00891-005
Immigration and Naturalization Service	
A Review of the Organization of I&NS Regional Offices.	E00809-004
A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice.	E00809-001
A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports.	E00889-001
Better Controls Needed to Prevent Foreign Students from Violating the Conditions of Their Entry and Stay in the U.S.	E00889-004
Certain Activities of the N.Y. District Office of the Immigration and Naturalization Service, Department of Justice.	E00889-003
Establishment of Operational Priorities--FY 1975.	E00809-003
Immigration and Naturalization Service; Critical Needs.	E00809-002
More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States.	E00889-002
Need to Reduce Public Expenditures for Newly Arrived Immigrants and Correct Inequity in Current Immigration Law.	E00889-005
Law Enforcement Assistance Administration	
An Analysis of the Criminal Investigation Process.	E00811-019
An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department.	E00811-021
An Evaluation of Small Business and Residential Alarm Systems.	E00811-022
An Examination of the Impact of Intensive Police Patrol.	E00811-017
Analysis of the Los Angeles District Attorney's Office.	E00811-001
Annual Sample Survey and Area-Based Crime Estimates.	E00811-037
Architectural Design to Improve Security in Urban Residential Areas.	E00811-023
Baltimore Impact Planning and Evaluation.	E00811-043
Completion of the Kansas City Lighting Study.	E00811-025
Computer-Aided Transcription Service Center--Evaluation of Commercial Feasibility.	E00811-006
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects.	E00811-038
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects.	E00811-039
Contract to Evaluate the New England Organized Crime Intelligence System (NEO-CIS).	E00811-016
Crime Reduction Through Aerial Patrol.	E00811-015
Dallas Impact Fundings, Evaluation.	E00811-045
Demonstration of the Reduction of Pre-Trial Delay.	E00811-003
Demonstration Project for Closed Circuit Television Case Screening and Legal Counseling.	E00811-005
Denver Impact City Crime Analysis Team.	E00811-046
Detoxification, Decriminalization and the Criminal Justice System.	E00811-013
Develop, Test, and Implement a Computer Simulation Model.	E00811-018
Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting.	E00891-001
Devices for Controlling Sentencing Disparity.	E00811-008
Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime.	E00891-006
Evaluation of the All-Purpose Communications/Protective Helmet.	E00811-026
Evaluation of the California Probation Subsidy Program, Phase II.	E00811-009
Evaluation of the Effects of Alternatives to Incarceration on Juvenile Offenders.	E00811-012

AGENCY INDEX

LABOR DEPARTMENT

Evaluation of the Effects of Methadone Treatment on Crime and Criminal Addicts.	E00811-011
Evaluation of the LEAA Courts Improvement Program, Phase I.	E00811-004
Evaluation of Community-Based Programs for Adult Offenders.	E00811-041
Evaluation of Criminal Justice Planning Institute.	E00811-050
Evaluation of Instrumentation for the Detection of Polar Organic Aids.	E00811-027
Evaluation of Youth Services Bureaus.	E00811-010
Evaluation Design for Indigents Defense Programs.	E00811-007
Evaluative Study of the Equipment Systems Improvement Program (ESIP).	E00811-031
Feasibility Investigation and Test of Coded Taggant Materials for the Identification of Explosives.	E00811-034
Federal Guidance Needed if Halfway Houses are to be a Viable Alternative to Prison.	E00891-014
Federally Supported Attempts to Solve State and Local Court Problems: More Needs to be Done.	E00891-007
How Federal Efforts to Coordinate Programs to Mitigate Juvenile Delinquency Proved Ineffective.	E00891-013
Impact Funding for Planning and Evaluation.	E00811-042
Law Enforcement Standards Laboratory and Support Services.	E00811-035
Letter Report on Administration of the Law Enforcement Education Program.	E00891-003
Letter Report on Administration of Discretionary Grant Program by LEAA.	E00891-002
Lightweight Body Armor.	E00811-032
Long Term Impact of Law Enforcement Assistance Grants Can Be Improved.	E00811-011
National Evaluation of the LEAA Pilot Cities.	E00811-040
National Impact Program Evaluation.	E00811-036
Newark High Impact Evaluation Unit, Office of the Mayor.	E00811-047
Pilot Cities Program: Phaseout Needed Due to Limited National Benefits.	E00891-012
Planning and Evaluation Program, Cleveland Impact Program.	E00811-044
Portland Impact Evaluation Plan.	E00811-048
Problems in Administering Programs to Improve Law Enforcement Education.	E00891-015
Progress in Determining Approaches Which Work in the Criminal Justice System.	E00891-010
Project SEARCH--Latent Fingerprint Study.	E00811-029
Report on Administration of the Program to Reduce Crime in Minnesota.	E00891-004
Residential Neighborhood Crime Control.	E00811-024
Response Time Analysis.	E00811-020
St. Louis Hi-Impact Anti-Crime Evaluation Program.	E00811-049
Study for Alameda County 911.	E00811-030
Sulfur Hexafluoride Taggant for Explosives Detection.	E00811-033
Test and Evaluation of Less Lethal Weapons, Material, and Techniques.	E00811-028
Test of Gimelli System for Training Court Reporters.	E00811-002
The Impact of Decriminalization on the Intake Process for Public Inebriates.	E00811-014

LABOR DEPARTMENT

An Econometric Analysis of the Costs of Selected Manpower Programs: final report.	E00900-032
An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit.	E00900-007
An Evaluation Strategy and Plan for Department of Labor.	E00900-024
An Impact Evaluation of the Public Employment Program.	E00900-002
Analysis of Social Security Data to Estimate the Impact of Manpower Programs.	E00900-005
Black-White Earnings and Employment: 1960-1970.	E00900-027
Compensating Wage Differentials and Hazardous Work.	E00900-014
Compliance with the Minimum Wage Law: progress report.	E00900-003
Differences in the Total Employment Effects of Government Purchases from the Private Sector and Direct Government Hiring of the Unemployed.	E00900-012
Econometric Estimation of the Macro Impact of Public Employment and Public Spending.	E00900-009
Economic Considerations for Manpower Revenue Sharing.	E00900-019
Economic Incentives and Occupational Safety.	E00900-036
Estimating the Benefits of Job Banks as a Computerized Record Keeping System.	E00900-015
Evaluating the Impact of CETA (Comprehensive Employment and Training Act) Program on Participants' Earnings: Methodological Issues and Problems.	E00900-037
Evaluation Questions Under the Comprehensive Employment and Training Act of 1973.	E00900-038
Further Evidence on the Impact of the WIN II Program.	E00900-041
Impact of the Recent Inflation on Labor Skill Differentials.	E00900-033
Indexing for Inflation: Some Formal Models and an Informal Policy Proposal.	E00900-030
Job Matching Under Imperfect Information.	E00900-020
Land Reclamation Requirements and Their Estimated Effects on the Coal Industry.	E00900-035
Major Evaluation Questions Concerning the Federal Contract Compliance Program: Federal Contracts for Goods and Services.	E00900-031
Manpower Program Follow-up Systems.	E00900-026

Measuring the Effect of the Federal Government on the Change in the Labor Market Position of Black Male Workers Relative to White Male Workers: 1966 to 1970.	E00900-010
Minimum Wage Legislation in the United States.	E00900-013
National Academy of Science Critical Review of the Use of Social Security Data to Estimate the Effect of Manpower Programs.	E00900-006
Private Household Workers: data pertinent to an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974.	E00904-001
Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act.	E00900-021
Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential.	E00900-004
Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns.	E00900-008
The Challenge of Dual and Radical Theories of the Labor Market to Orthodox Theory.	E00900-034
The Economics of Distribution; the impact of public policy.	E00900-025
The Effect of Manpower Training on Earnings: preliminary results.	E00900-028
The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates.	E00900-016
The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors.	E00900-029
The Evaluation of the WIN II Program: methodological issues and some initial results.	E00900-001
The Four Questions for Evaluating the Effects of the FLSA (Federal Labor Standards Act) Minimum Wage Provisions.	E00900-039
The Influence of Government Antidiscrimination Programs; Actual vs. Potential Im- pact.	E00900-040
The Neighborhood Youth Corps: An Impact Evaluation.	E00900-018
The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.	E00900-023
The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings.	E00900-017
Theories of Search in a Labor Market.	E00900-022
Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature.	E00900-011
Bureau of Labor Statistics	
Demographic Characteristics of Workers in the Work Clothing Industry.	E00906-003
Survey of Occupational Wages and Supplemental Benefits in the Construction Indus- try (1971 and 1972 Data).	E00906-002
Employment and Training Administration	
Administration of the Alien Labor Certification Program Should Be Strengthened.	E00992-029
Assessment of the Work Incentive Program in Washington State.	E00992-020
Cleveland Summer Youth Employment Programs.	E00992-013
Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems.	E00992-007
Employment Services for Vietnam-Era Veterans Could Be Improved.	E00992-024
Federal Unemployment Benefits Due to Agnes Disaster in Pennsylvania.	E00992-026
From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan.	E00992-021
Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971.	E00992-009
Kentucky Equine Education Program (KEEP).	E00992-025
Neighborhood Youth Corps and Emergency Employment Programs in Artesia, New Mexico.	E00992-014
Observations on the Effectiveness and Management of Upgrading Training.	E00992-005
Opportunity to Increase Effectiveness of JOBS-Type Programs to be Funded Under CETA (Comprehensive Employment and Training Act).	E00992-022
Problems in the Work Incentive Program in Los Angeles and San Diego.	E00992-027
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.	E00992-023
Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act.	E00992-002
Program Administration at the Atterbury Job Corps Center in Indiana.	E00992-008
Propriety of Minimum Wage Determinations for Clerical and Other Office Employees Under the Service Contract Act.	E00994-001
Public Employment Programs in East St. Louis, Illinois.	E00992-019
Public Employment Programs in Selected Rural and Urban Areas.	E00992-011
Public Service Benefits from Jobs Under the Emergency Employment Act of 1971.	E00992-010
Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks.	E00992-012

AGENCY INDEX

LABOR DEPARTMENT

Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas. E00992-016
 Review of Effectiveness and Management of the Neighborhood Youth Corps In-School Program for FY 1971 at Three Locations. E00992-004
 Selection and Enrollment of Participants in Programs Under the Emergency Employment Act of 1971. E00992-003
 Slow Implementation of the Work Incentive Program in New York City. E00992-028
 Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia. E00992-018
 The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements. E00992-015
 Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971. E00992-006

Employment Standards Administration

Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1972. E00904-005
 Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1973. E00904-006
 Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1974. E00904-007
 An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program. E00904-011
 Black Lung Benefits Act of 1972; first annual report on administration of the Act during calendar year 1974 and the transitional period in the second half of 1973. E00904-016
 Concentrated Employment Program in New York City Has Not Met Its Employment Objectives. E00992-001
 Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters. E00994-003
 Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-002
 Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act. E00904-004
 Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). E00904-003
 Private Household Workers...Feasibility and Implications of Extending Minimum Wage Protection Under FLSA. E00904-020
 Sheltered Workshops Under the Fair Labor Standards Act. E00904-012
 The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved. E00994-002
 The San Francisco Review. E00904-008
 Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act. E00904-010

Labor-Management Services Administration

Evaluation of the LMSA--Management Information System. E00903-001

Manpower Administration

An Evaluation of the Arizona Job College. E00902-007
 An Evaluation of the Economic Impact Project of the Public Employment Program. E00902-005
 Analysis of COMO (Comprehensive Model) Follow-up Data. E00902-011
 Analysis of Early Phase Problems of Comprehensive Manpower Program. E00902-023
 Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions. E00902-017
 Cost Effectiveness Evaluation of the Urban Concentrated Employment Program. E00902-022
 Design and Development of a Data System for PEP (Public Employment Program). E00902-024
 Design and Evaluation of Innovative Staffing Pattern for WIN Child Care Establishments. E00902-026
 Evaluating the Impact of MDTA Programs on Earnings under Varying Labor Market Conditions. E00902-016
 Evaluation of the High School Equivalency Programs for Seasonal Farmworkers. E00902-004
 Evaluation of the Impact of PEP (Public Employment Program) on the Public Sector. E00902-041
 Evaluation of the National Ironworkers and Employers Training Program. E00902-001
 Evaluation of the National Migrant Worker Program. E00902-040
 Evaluation of the Pilot Accelerated Apprenticeship Program. E00902-002
 Evaluation of the Pre-Trial Intervention Program. E00902-043
 Evaluation of the Public Employment Program for Reservation Indians. E00902-006
 Evaluation of the Smaller Communities Program. E00902-010
 Evaluation of Child Care Services. E00902-031
 Evaluation of Effectiveness and Results of LINCS (Labor Inventory Communication System). E00902-014

LABOR DEPARTMENT**AGENCY INDEX**

Evaluation of ES (Employment Service) Technical Services Activity.	E00902-009
Evaluation of Job Development in SMSA.	E00902-029
Evaluation of MA and Supportive Services to Mexican Americans.	E00902-034
Evaluation of MA Services and Supportive Services to American Indians on Reservations.	E00902-033
Evaluation of Pre and Post-Enrollment Services to Job Corps Enrollees.	E00902-025
Evaluation of PEP (Public Employment Program) Welfare Demonstration Project.	E00902-044
Evaluation of Special Manpower Services to Veterans.	E00902-035
Evaluation of Supportive Services Provided for Participants of Manpower Programs.	E00902-030
Evaluation of Work Registration Activities Under the Food Stamp Program.	E00902-038
Evaluation Study of the Counselor Training Program.	E00902-032
Evaluation Study of the Developmental Stages of the Comprehensive Manpower Program.	E00902-008
Evaluation Study of the Mandatory Listing Activity.	E00902-020
Evaluation Study of the Technology Mobilization and Reemployment Program.	E00902-019
Evaluation Study of the Work Sample Activity.	E00902-027
Evaluation Study of Job Corps Residential Support Centers.	E00902-021
Evaluation Study of Model Ex-Offender Program, Phase III.	E00902-012
Evaluation Study of MDTA--Section 241 Program.	E00902-015
Impact Analysis of MDTA Project Transition.	E00902-036
Job Bank Evaluation.	E00902-039
Longitudinal Evaluation of PEP (Public Employment Program) and Validation of the PEP Data Bank.	E00902-042
Longitudinal Evaluation of WIN Program.	E00902-013
Study of Impact of Program Variables Upon Effectiveness of MDTA-OJT and Institutional Training Programs on Earnings.	E00902-037
Survey of Public Employment Terminations.	E00902-018
Survey of State ES Experiences in Following Up MA Program Clients.	E00902-028
Validation and Evaluation of the Impact of the WIN II Data System.	E00902-003
12 Area Study (PEP)(Public Employment Program).	E00902-045

Occupational Safety and Health Administration

Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970.	E00995-007
More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.	E00995-004
Review of Occupational Safety and Health Activities of Federal Civilian Agencies.	E00995-001
Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department (2).	E00995-002
Review of Occupational Safety and Health Activities of Federal Civilian Agencies--GSA (2).	E00995-003
Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois.	E00995-006

NATIONAL SCIENCE FOUNDATION

Achievements, Cost, and Administration of the Ocean Sediment Coring Program.	E04690-001
Science Development, University Development, and the Federal Government.	E04600-001
Science Development: an evaluation study.	E04600-002

NUCLEAR REGULATORY COMMISSION

Improvements Needed in the Program for the Protection of Special Nuclear Material.	E06290-004
Opportunities for Improving AEC's Administration of Agreements with States Regulating Users of Radioactive Materials.	E06290-002
Opportunity for AEC to Improve Its Procedures for Making Sure that Containers Used for Transporting Radioactive Materials Are Safe.	E06290-003
Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes.	E06290-001
Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems.	E06290-005

SMALL BUSINESS ADMINISTRATION

A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives.	E05507-001
Administration of Small Business Loan Program Under the Occupational Safety and Health Act.	E05590-004
Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims.	E05590-001
An Analysis of Selected Factors of the SBA-Forest Service Timber Set-Aside Program.	E05507-007

AGENCY INDEX**TRANSPORTATION DEPARTMENT**

Design of Performance Impact Measures.	E05507-004
Evaluation of the Small Business Institute Program--Fall 1972.	E05507-010
Evaluation of the Small Business Institute Program--Spring 1973.	E05507-009
Evaluation of the 8(a) Contract Program (Phases I-III).	E05507-008
Evaluation of Small Business Administration Lease Guarantee Program.	E05507-003
Information on Federal Disaster Relief Programs.	E05590-002
Limited Success of Federally Financed Minority Businesses in Three Cities.	E05590-003
Management Assistance: An Evaluation of its Extent and Impact on Minority Owned Businesses.	E05507-002
Opportunities to Improve Financial Management and Reduce Costs of Loan Administration.	E05590-005
Program Analysis of the Small Business Administration.	E05507-006
Questionable Effectiveness of the 8(a) Procurement Program.	E05590-006
Study of Minority Borrowers Prior and Subsequent to SBA Assistance.	E05507-011
The Economic Opportunity Loan Program: Continuing Evaluation.	E05507-005

STATE DEPARTMENT**Agency for International Development**

A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management.	E01017-005
Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis.	E01097-001
Assistance to Family Planning Programs in Southeast Asia.	E01097-007
Audit of Loan Program Financial Statements for Fiscal Years 1971, 1970, and 1969.	E01097-006
Cost and Use of Personnel in the Agency for International Development.	E01097-021
Development Assistance to Korea.	E01097-011
Evaluating Fertilizer Subsidies in Developing Countries.	E01017-008
Food for Peace, an Evaluation of P.L. 480, Title II.	E01017-001
Funding and Reporting of Agency for International Development Overhead Costs Should be More Realistic.	E01097-025
Intercountry Evaluation of Agriculture Sector Programs: Colombia, Costa Rica, Guatemala.	E01017-003
Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama.	E01017-004
Intercountry Evaluation of Education Credit Institutions in Latin America.	E01017-002
Interim Report on the Agency for International Development's Housing Investment Guaranty Program.	E01097-008
Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program.	E01097-024
Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India.	E01097-002
Need to Improve Language Training Programs and Assignments for U.S. Government Personnel Overseas.	E01097-003
Progress and Problems of U.S. Assistance for Land Reform in Vietnam.	E01097-009
Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.	E01097-010
Regional Poultry Project in West Africa.	E01097-012
Rescission of the Opium Poppy Growing Ban by Turkey.	E01097-022
Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis.	E01097-017
Summary of U.S. Assistance to Jordan.	E01097-014
The Brazilian Economic Boom: How Should the United States Relate to It?	E01097-020
U.S. Assistance to the Khmer Republic (Cambodia).	E01097-016
U.S. Foreign Aid to Education: Does Brazil Need It?	E01097-013
U.S. Grant Support of International Planned Parenthood Federation Needs Better Oversight.	E01097-015
United States Economic Assistance to Turkey.	E01097-023
United States Interests and Activities in Nepal.	E01097-005
United States Programs in Ghana.	E01097-018
What Should U.S. Policy Be for Development Assistance to Ecuador?	E01097-004

TRANSPORTATION DEPARTMENT**Federal Aviation Administration**

A Simulation of the DABS Sensor for Evaluating Reply Processor Performance.	E01102-028
Aircraft Sound Description System Application Procedures.	E01102-046
Aircraft Taxiing Noise Measurement.	E01102-005
Aircraft--Pilot and other Pre-Employment Experience as Factors in the Selection of Air Traffic Controllers Trainees.	E01102-022
Aircraft-Pavement Compatibility Study.	E01102-011

TRANSPORTATION DEPARTMENT

AGENCY INDEX

Airport Opposition: Examination and Program Development.	E01102-031
Airport Vicinity Air Pollution Study.	E01102-034
Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis.	E01102-006
Alternatives to the Airport Development Aid Program.	E01102-032
An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded Third Generation ATC System.	E01102-020
Augmented Radar Beacon Tracking Level System Design Specification.	E01102-014
Automated Reliability Assessment Program.	E01102-039
ADAP Aid to Private Airports: A Preliminary Assessment and Related Questions for Research.	E01102-033
ATC Surveillance/Communication Analysis and Planning.	E01102-027
Behavioral, Automatic, and Subjective Reactions to Low and Moderate Level Simulated Sonic Booms.	E01102-023
Collision Avoidance Ground Station Analysis.	E01102-025
Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic.	E01102-010
Concorde Landing Requirement Evaluation Tests.	E01102-055
Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.	E01192-003
Development and Test of Low Impact Resistance Structures.	E01102-048
Development of a Discrete Address Beacon System.	E01102-029
Development of a Non-Survey Method Estimating Traffic at Non-Towered Airport.	E01102-012
Development of Microwave Landing System Implementation Criteria.	E01102-047
Discrete Address Beacon System/Air Traffic Control Interface Configuration Document.	E01102-015
Dual Lane Runway Study.	E01102-037
DAIR System Radar Target Relationships.	E01102-017
Evaluation of a Very Low Frequency Navigation System.	E01102-051
Evaluation of an Experimental Elevated High-Intensity Runway Edge Light.	E01102-049
Evaluation of an Experimental High-Intensity, Inset Runway Edge Light Fixture.	E01102-050
Evaluation of the Rubino Procedure for Radio Telemetric Theodolite Positioning.	E01102-054
Evaluation of Facilities and Equipment: Great Lakes Region.	E01102-062
Evaluation of Free Distribution of AIM.	E01102-058
Evaluation of Nation-Wide Preflight Weather Briefing Procedures.	E01102-059
Evaluation of Premature Descents at High Density Terminals.	E01102-061
Evaluation of Technician Certification Program.	E01102-063
Evaluation of Terminal Radar Service: stage III.	E01102-060
Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System.	E01102-009
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting.	E01102-004
FAA Lightning Protection Study.	E01102-035
FAA Report on Airport Capacity.	E01102-036
General Aviation Aircraft Safety: The Princeton University Conference.	E01102-007
Hazardous Materials Program Review.	E01102-057
Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers.	E01102-021
Measurement and Analyses of ASR-4 System Error.	E01102-018
Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique.	E01102-003
Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique.	E01102-008
Military Air Traffic Forecast: 1975-1986.	E01102-019
Multipath Channel Simulation and Modern Evaluation Program.	E01102-044
New York Offshore Airport Feasibility Study.	E01102-013
Objective Measurement Techniques for Evaluating Voice Communication Channels.	E01102-052
Prevalence and Incidence of Disease Among Airmen Medically Certified.	E01102-041
Provisional Message Formats for the DABS/NAS Interface.	E01102-026
Pulse Compression Radar Study.	E01102-024
Quiet Nacelle Retrofit Feasibility Program: compatibility analysis and design study.	E01102-002
Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing.	E01102-001
Radar Target Detection Employing On-Line Estimation of the Video Correlation.	E01102-016
Report of National Airspace System En Route Related Support and Data Reduction and Analysis Programs.	E01102-040
Systems for Transporting Aircraft on the Ground: feasibility and concept study.	E01102-038
Technical Evaluation of Weather Clutter Feasibility Model.	E01102-030
Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Televised Radar Display Applications.	E01102-043
Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar.	E01102-042
Test and Evaluation of ATRBS Electronic Scan Antenna.	E01102-045
The Airport Passenger Head Tax: analysis of its potential impact.	E01102-056
TCA/ERS Effectiveness Study.	E01102-053

AGENCY INDEX**TREASURY DEPARTMENT****Federal Highway Administration**

Advance Right-of-Way Acquisition Program. E01103-004
 Federal Participation in Cost of States' Internal Review Activities. E01103-002
 Preparation of Programming Documents. E01103-005
 Program Review of Right-of-Way Program. E01103-001
 Review of Availability of Right-of-Way Statistics. E01103-008
 Review of Construction Inspection Procedures. E01103-003
 Review of Materials Sampling and Testing Procedures. E01103-006
 Review of Right-of-Way Records and Reports. E01103-007

National Highway Traffic Safety Administration

Alcohol Safety Action Project: evaluation of operations. E01107-002
 Alcohol Safety Action Projects: evaluation of operations, 1973. E01107-003
 Assessment of Selected State and Community Programs. E01107-001
 Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs. E01197-004
 Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires. E01197-006
 Department of Transportation's Cargo Security Program. E01190-001
 Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. E01193-001
 Evaluation of Motor Vehicle Safety Standards. E01107-004
 For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. E01197-001
 Grants to Improve Bus Transit Systems--Progress and Problems. E01195-001
 Improved Procedures Needed for Implementing Safety Recommendations. E01192-004
 Improvements Needed in Planning and Using Motor Vehicle Safety Research. E01197-003
 Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft. E01192-001
 Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials. E01190-002
 Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards. E01197-002
 Public Involvement in Planning Public Works Projects Should Be Increased. E01192-002
 The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles. E01197-005
 Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents? E01191-001

United States Coast Guard

A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels. E01101-001
 A Study of the Minority Officer Procurement Program of the U.S. Coast Guard. E01101-014
 A Study of the U.S. Coast Guard Intelligence and Security Functions. E01101-017
 A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates. E01101-013
 Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions. E01101-020
 Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center. E01101-015
 Aviation Issue Study. E01101-010
 Cutter Issue Study. E01101-009
 Development of CVS Management Information System. E01101-005
 Government Maritime Communications. E01101-018
 Great Lakes Pilotage Review. E01101-012
 Human Relations in the Coast Guard. E01101-016
 International Inventory and Forecast of Offshore Petroleum and Mineral Activity. E01101-003
 Licensing of Deck and Engineering Officers in the U.S. Merchant Marine. E01101-002
 Radar Observer Testing. E01101-004
 Radio Aids to Navigation for the U.S. Coastal Confluence Region. E01101-011
 Recreational Boating in the Continental United States in 1973: the nationwide boating survey. E01101-019
 Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973. E01101-006
 Supertanker Environmental Study. E01101-007
 Vessel Traffic Systems Issue Study. E01101-008

Urban Mass Transportation Administration

Capital Assistance to Bus Transit Systems. E01105-001
 Fostering Urban Transportation Activities in Universities. E01105-002
 National Urban Reporting System. E01105-003

TREASURY DEPARTMENT

Alternative Location Site Study for Bureau of Engraving and Printing. E01200-007
 Case Studies of Revenue Sharing in 26 Local Governments. E01280-009

TREASURY DEPARTMENT

AGENCY INDEX

Comprehensive Review of Office of the Secretary Personnel Division. E01200-005
 Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses. E01282-001
 Effectiveness of Independent and Comprehensive Audits of the Inter-American Development Bank. E01280-003
 Evaluation of the Implementation of Alcohol, Tobacco and Firearms as an Independent Bureau. E01200-003
 Improvements Needed in System for Managing U.S. Participation in the Asian Development Bank. E01280-004
 Management and Operational Review of the Office of Equal Opportunity Program. E01200-002
 More Effective United States Participation Needed in World Bank and International Development Association. E01280-005
 Need for a Uniform Method for Paying Interest on Government Trust Funds. E01280-001
 Opportunities for Savings in Interest Cost Through Improved Letter-of-Credit Methods in Federal Grant Programs. E01280-006
 Organization and Management Review of Office of Revenue Sharing. E01200-001
 Organization, Function and Staffing Review of Office of Administrative Programs. E01200-004
 Revenue Sharing: An Opportunity for Improved Public Awareness of State and Local Government Operations. E01280-010
 Revenue Sharing: Its Use By and Impact on Local Governments. E01280-008
 Revenue Sharing: Its Use By and Impact on State Governments. E01280-007
 Services for Special Beneficiaries: Costs Not Being Recovered. E01282-002
 States Need, But Are Not Getting, Full Information on Federal Financial Assistance Received. E01280-002
 Survey Leading to Transfer of Office of Industrial Economics from Internal Revenue Service to the Office of Tax Policy. E01200-006

VETERANS ADMINISTRATION

Veterans Assistance Program in the Philippines Should Be Improved. E06590-003

Assistant Administrator for Construction

Contracts With Architect-Engineering Firms. E06593-007
 Information on the Selection of Independent Architect Engineers by the Veterans Administration. E06593-001
 Monitoring of Demographic Study by VA of Loma Linda, Calif. E06593-005
 Need for Increased Use of Value Engineering: A Proven Cost Saving Technique in Federal Construction. E06593-004
 Survey of VA Post Activation Evaluation Program. E06593-008
 The Implementation of Value Engineering. E06593-003

Assistant Administrator for Planning and Evaluation

Analysis of the Predischarge Education Program. E06504-009
 Architect/Engineer Firm Selection Process. E06504-016
 ADP Laboratory System. E06504-018
 Beneficiary Identification Records Locator Subsystem. E06504-017
 Centralized Mail-Out Prescription Systems. E06504-013
 Communication and Inquiries Function: Department of Medicine and Surgery. E06504-025
 Cost Analysis: Present Compensation, Pension and Education Programs. E06504-008
 Cost Benefit Study: Optical Character Recognition Equipment and Proposed System. E06504-012
 Cost Study Pilot/Target Systems Test. E06504-003
 Department of Medicine and Surgery Survey Report: VA Hospital, Palo Alto, California. E06504-029
 Development of Long-Range Findings Plans for VA-ADP Activities. E06504-010
 Domiciliary Program. E06504-024
 Establishment of National Cemetery System in the Veterans Administration. E06504-006
 Medical Administrative Processes. E06504-028
 Organization: Education and Rehabilitation Service. E06504-027
 Organization: Placement and Functions of the National Cemetery System in the VA. E06504-004
 Outpatient Sub-Clinic in Massachusetts. E06504-014
 Regional Patient Data Base. E06504-020
 Reorganization of Medicine and Surgery. E06504-021
 Review of the On-Campus Veterans Student Services Program. E06504-005
 Review of Education Certification Program. E06504-007
 Review of Veterans Administration Program Evaluation: Department of Veterans Benefits. E06504-001
 Small Data Processing Centers. E06504-019
 Study of the Agency's Guardianship Role. E06504-011
 Study of the Beneficiary Identification and Records Locator Subsystem (BIRLS). E06504-002
 Systematic External Review Program Survey Report: Veterans Administration Center, Bay Pines, Florida. E06504-030
 Title VI Civil Rights Enforcement Program. E06504-015

AGENCY INDEX**VETERANS ADMINISTRATION**

Transfer of Insurance Functions.	E06504-022
VA Construction Project Approval: Processes and Budgetary Practices.	E06504-026
Work Simplification Systems.	E06504-023
Department of Medicine and Surgery	
Adequacy of Medical Staffing in Selected VA Hospitals.	E06595-023
Administration of Special Provision in Public Law 92-383, the 1973 Appropriations Act.	E06595-005
Better Planning and Management Needed to Improve Utilization and Quality of Specialized Medical Services.	E06595-017
Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans.	E06595-006
Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals.	E06595-016
Condition of Veterans Memorial Hospital of Republic of the Philippines.	E06595-020
Controls on Use of Psychotherapeutic Drugs and Improved Psychiatrist Staffing Are Needed in Veterans Administration Hospitals.	E06595-022
Improvements Needed in Certain Hospitals' Laboratory Service Activities.	E06595-011
Improvements Needed in Program to Contact and Assist Recently Discharged Veterans.	E06595-012
Information on Professional Fees and Other Compensation Paid for Services of Selected Physicians Employed at the Veterans Administration Hospital in Miami, Florida.	E06595-007
Information on Veterans Administration's Drug Treatment Program and Specific Data on Veterans Administration's Drug Dependents Treatment Centers in New York State.	E06595-018
Information Concerning the Potential Transfer of the St. Albans Naval Hospital to the Veterans Administration.	E06595-010
Methods for Estimating Hospital and Nursing Home Bed Requirements in the San Francisco Area.	E06595-004
Program for Providing Nursing Home Care to Veterans in State-Operated Nursing Homes.	E06595-001
Purchase of Foreign-Made Medical Supplies and Equipment by the Veterans Administration.	E06595-013
Report on Survey of VA's Durable Medical Appliances Activities.	E06595-025
Reuse of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals.	E06595-015
Review of Allegations by Veterans About Patient Treatment in the Iowa City VA Hospital.	E06595-021
Review of Veterans Administration Closed Circuit Television System.	E06595-009
Department of Veterans Benefits	
Delays Experienced by Veterans in Receiving Educational Assistance Payments, Veterans Administration.	E06596-013
Information on Implementation of the Amendments of Public Law 92-540 Which Impacts on Veterans Taking Correspondence Training.	E06596-010
Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans.	E06596-003
Management Practices Used by the VA's Denver Regional Office in Assisting Veterans.	E06596-004
Mobile-Home Loan Guaranty Program.	E06596-002
Need for Improved Outreach Efforts for Veterans in Prison or on Parole.	E06596-005
Opportunities to Reduce Costs in Acquiring Properties Resulting from Defaults on Home Loans.	E06596-001
Potential for Using Alternative Dietary Systems in Veterans Administration Hospitals.	E06595-003
Ways to Improve Management of Acquired Residential Properties.	E06596-006
Information Service	
How to Improve the Procurement and Supply of Drugs in the Federal Government (DOD, VA, HEW, OMB, and GSA).	E99999-001
Proper Use of the Economic Order Quantity Principle Can Lead to More Savings.	E99999-002

BUDGET FUNCTION/SUBFUNCTION CLASSIFICATION INDEX

050 NATIONAL DEFENSE

053 Atomic energy defense activities

Improvements Needed in the Program for the Protection of Special Nuclear Material. E06290-004
 Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems. E06290-005

150 INTERNATIONAL AFFAIRS

151 Foreign economic and financial assistance

A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management. E01017-005
 An Evaluation Study of the Special Currency Program in Yugoslavia. E00500-043
 Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis. E01097-001
 Assistance to Family Planning Programs in Southeast Asia. E01097-007
 Audit of Loan Program Financial Statements for Fiscal Years 1971, 1970, and 1969. E01097-006
 Cost and Use of Personnel in the Agency for International Development. E01097-021
 Development Assistance to Korea. E01097-011
 Evaluating Fertilizer Subsidies in Developing Countries. E01017-008
 Evaluation Study of the Impact of the Special Foreign Currency Health Projects in Yugoslavia and the United States: report of the Yugoslav evaluation team, 1973. E00500-064
 Evaluation of the Italian Identified Soybean Oil Promotion. E00212-012
 Export of U.S.-Manufactured Aircraft-Financing and Competitiveness. E00399-003
 Food for Peace, an Evaluation of P.L. 480, Title II. E01017-001
 Food for Peace: An Evaluation of P.L. 80--Title II. E00212-002
 Funding and Reporting of Agency for International Development Overhead Costs Should be More Realistic. E01097-025
 E01017-002
 Inter-country Evaluation of Education Credit Institutions in Latin America. E01017-003
 Inter-country Evaluation of Agriculture Sector Programs: Colombia, Costa Rica, Guatemala. E01017-003
 Inter-country Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama. E01017-004
 Interim Report on the Agency for International Development's Housing Investment Guaranty Program. E01097-008
 Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. 07ID-75-9. E01097-024
 Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India. E01097-002
 Need to Improve Language Training Programs and Assignments for U.S. Government Personnel Overseas. E01097-003
 Observations Regarding the Promotion of Processed Food Products in Germany and the United Kingdom. E00212-008
 E01097-009
 Progress and Problems of U.S. Assistance for Land Reform in Vietnam. E01097-010
 Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development. E01097-012
 E01097-022
 Regional Poultry Project in West Africa. E00212-009
 E00212-007
 Rescission of the Opium Poppy Growing Ban by Turkey. E01097-017
 Review of the International Institute for Cotton (IIC) Market Development Program. E00399-002
 E01097-014
 Review of the National Renderers Association Program in the Far East. E01097-020
 E00212-001
 Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis. E01097-016
 Status of Efforts to Offset Balance-of-Payment Deficit for Fiscal Year 1974 Attributable to Maintaining U.S. Forces in Europe.
 Summary of U.S. Assistance to Jordan.
 The Brazilian Economic Boom: How Should the United States Relate to It?
 The Case of P.L. 480, The Side Effects of Foreign Aid: Wheat in Colombia.
 U.S. Assistance to the Khmer Republic (Cambodia).

INTERNATIONAL AFFAIRS**BUDGET INDEX**

U.S. Foreign Aid to Education: Does Brazil Need It? E01097-013
 U.S. Grant Support of International Planned Parenthood Federation Needs Better Oversight. E01097-015
 United States Economic Assistance to Turkey. E01097-023
 United States Interests and Activities in Nepal. E01097-005
 United States Programs in Ghana. E01097-018
 What Should U.S. Policy Be for Development Assistance to Ecuador? E01097-004

152 Conduct of foreign affairs

A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice. E00809-001
 Administration of the Alien Labor Certification Program Should Be Strengthened. E00992-029
 INTERPOL: A Discussion of Its Activities and Membership. E00800-002

153 Foreign information and exchange activities

Analysis of the Tokyo Trade Center Show. E00212-005
 Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan Cotton Promotion Institution. E00212-004
 Import Demand for Rice in the EEC: Implications of U.S. Market Promotion. E00212-003
 Need for Better Identification and Analysis of Nontariff Barriers to Trade. E00399-001
 Program Evaluation of the Commodity Intelligence System, Tobacco Division. E00212-006

250 GENERAL SCIENCE, SPACE, AND TECHNOLOGY**251 General science and basic research**

Achievements, Cost, and Administration of the Ocean Sediment Coring Program. E04690-001
 Need for a National Weather Modification Research Program. E99999-003
 Science Development, University Development, and the Federal Government. E04600-001
 Science Development: an evaluation study. E04600-002

252 Earth sciences

Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program. E00780-009
 Research and Development in Topographic Mapping. E00712-010
 Royalty Accounting System Study of Solid Mineral Leasing Activities. E00712-014
 Status and Plans of the Department of the Interior EROS Program. E00712-012
 The Application of a Computerized Environmental Information System to Master and Sector Planning. E00712-011
 Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California. E00712-009

254 Space science, applications, and technology

Status and Plans of the Department of the Interior EROS Program. E00712-012

300 NATURAL RESOURCES, ENVIRONMENT, AND ENERGY

Department of the Interior Aircraft Study and Recommendations. E00700-007

301 Water resources and power

Cleaning Up the Great Lakes: United States and Canada Are Making Progress in Controlling Pollution from Cities and Towns. E02390-011
 Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects. E00796-001
 Evaluation of the Report on Interceptor Sewers and Suburban Sprawl. E02300-005
 First Report of the Environmental Measures Project: Analysis and Applications of Environmental Quality Indicators. E02300-010
 Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow. More Effective Procedures Are Needed for Establishing Payment Terms and Development Periods for Irrigation Projects. E02390-009
 National Safe Drinking Water Strategy: One Step at a Time. E00796-002
 Report of a Study of Control Agency Revenue Fees. E02300-007
 Report of the Administrator's Special Construction Grants Task Force. E02300-003
 Report of the Permit Program Review Group. E02300-008
 Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do. E02300-001
 Review of the Municipal Waste Water Treatment Works Program. E02390-007
 Southwestern Federal Power Program--Financial Progress and Problems. E02300-004
 The Federal Reclamation Program; its impacts, issues and future considerations. E00780-002
 The Final Report of the Permit Program Review Group. E00716-001
 Water & Land Resource Accomplishments, 1973. E02300-002
 E00716-002

302 Conservation and land management

A Program Evaluation of the Great Plains Conservation Program.	E00218-001
Action Needed to Discourage the Removal of Trees That Shelter Cropland in the Great Plains.	E00299-002
Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land.	E00299-027
Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands.	E00780-001
Allowable Harvest Determinations on National Forest Lands.	E00299-031
An Analysis of Selected Factors of the SBA-Forest Service Timber Set-Aside Program.	E05507-007
An Evaluation of Subsidy Forms for Soil and Water Conservation.	E00219-005
An Evaluation of the 1973 Youth Conservation Corps.	E00700-003
Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment.	E00200-003
Cleaning Up the Great Lakes: United States and Canada Are Making Progress in Controlling Pollution from Cities and Towns.	E02390-011
Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey.	E00712-008
Effect of the Small Watershed Program on Major Land Uses.	E00219-007
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).	E00209-001
Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada.	E00714-001
Evaluation of Four Completed Small Watershed Projects: South Carolina, Maryland, Idaho-Nevada, and West Virginia.	E00219-009
First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-001
Follow-up on the Need to Resolve Differences in Procedures Used by Federal Timber Management Agencies in Appraising Timber Offered for Sale.	E00299-030
Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program.	E00780-009
Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow.	E02390-009
Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf.	E00792-001
Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests.	E00299-028
Information on Certain Oil and Gas Industry Oversight Responsibilities.	E00780-004
Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved.	E00793-001
Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974 YCC program.	E00700-005
Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.	E00780-005
More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand.	E00299-029
Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies.	E00299-033
Onshore Lease Management Program Study for the U.S. Geological Survey.	E00712-007
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.	E00216-001
Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf.	E00780-008
Post-camp Uses and Effects of YCC Experiences.	E00700-006
Program Evaluation, Youth Conservation Corps.	E00709-003
Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved.	E00299-035
Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.	E00709-006
Report of the Work Group on OCS Safety and Pollution Control.	E00712-004
Report of the Work Group on OCS Safety and Pollution Control, May 1973.	E00712-005
Report of the Work Group on OCS Safety and Pollution Control, May 1973.	E00712-006
Report on Audit of the Marine Resources Monitoring, Assessment and Prediction Program, National Oceanic and Atmospheric Administration.	E00300-001
Report on Minimum EDA FY 1975 Staffing Requirements.	E00300-018
Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do.	E02390-007
Review of Royalty Accounting System for Onshore Oil and Gas Leases.	E00712-013
Review of Wetlands Acquisition Program.	E00709-001
Royalty Accounting System Study of Solid Mineral Leasing Activities.	E00712-014
Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-002

NATURAL RESOURCES, ENVIRONMENT, AND ENERGY

BUDGET INDEX

Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.	E00216-003
The Forest Products Utilization Program (Its Effectiveness and Future Opportunities).	E00216-002
Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-003
Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps.	E00700-004
Youth Conservation Corps-Pilot Program 1973.	E00216-004
Youth Conservation Corps Pilot Program, 1972.	E00700-001
Youth Conservation Corps Pilot Program, 1973.	E00700-002
Youth Conservation Corps Program, 1974.	E00780-010
303 Recreational resources	
Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land.	E00299-027
An Evaluation of the ASCS Pilot Public Access Program.	E00209-008
Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants.	E00795-001
Managing Recreation Facilities at Bureau of Reclamation Reservoirs.	E00780-006
Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.	E00709-006
Review of Cooperative Fisheries and Wildlife Unit Program.	E00709-002
Review of Wetlands Acquisition Program.	E00709-001
Study of National Park Service Concessions Management.	E00710-001
304 Pollution control and abatement	
Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources.	E02390-005
Cleaning Up the Great Lakes: United States and Canada Are Making Progress in Controlling Pollution from Cities and Towns.	E02390-011
Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey.	E00712-008
Evaluation of the Report on Interceptor Sewers and Suburban Sprawl.	E02300-005
First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-001
First Report of the Environmental Measures Project: Analysis and Applications of Environmental Quality Indicators.	E02300-010
FmHA Water and Waste Disposal Program Assistance to Small Rural Communities.	E00200-005
Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969.	E00791-002
General Report of the Air Compliance Review Group.	E02300-006
Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow.	E02390-009
Improved Federal and State Programs Needed to Insure the Purity and Safety of Drinking Water in the United States.	E02390-006
Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf.	E00792-001
Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.	E00780-005
National Safe Drinking Water Strategy: One Step at a Time.	E02300-007
Need for Federal Agencies to Improve Solid Waste Management Practices.	E02390-001
Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.	E02390-003
Need to Improve Administration of the Water Pollution Research, Development, and Demonstration Program.	E02390-002
Onshore Lease Management Program Study for the U.S. Geological Survey.	E00712-007
Report of a Study of Control Agency Revenue Fees.	E02300-003
Report of the Administrator's Special Construction Grants Task Force.	E02300-008
Report of the Permit Program Review Group.	E02300-001
Report of the Work Group on OCS Safety and Pollution Control.	E00712-004
Report of the Work Group on OCS Safety and Pollution Control, May 1973.	E00712-005
Report of the Work Group on OCS Safety and Pollution Control, May 1973.	E00712-006
Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.	E00300-007
Report on Audit of Selected Pollution Abatement Activities, Maritime Administration.	E00300-012
Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do.	E02390-007
Review of Royalty Accounting System for Onshore Oil and Gas Leases.	E00712-013
Review of the Municipal Waste Water Treatment Works Program.	E02300-004
Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-002
The Final Report of the Permit Program Review Group.	E02300-002

BUDGET INDEX**AGRICULTURE**

Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.
Using Solid Waste to Conserve Resources and to Create Energy.

E00712-003
E02390-010

305 Energy

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands.

E00780-001

Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey.

E00712-008

First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-001

Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969.

E00791-002

Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf.

E00792-001

Improvements Needed in the Assessment and Collection of Penalties--Federal Coal Mine Health and Safety Act of 1969.

E00791-001

Improvements Needed in the Program for the Protection of Special Nuclear Material. Information on Certain Oil and Gas Industry Oversight Responsibilities.

E06290-004
E00780-004

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.

E00780-005

Onshore Lease Management Program Study for the U.S. Geological Survey.

E00712-007

Opportunities for Improving AEC's Administration of Agreements with States Regulating Users of Radioactive Materials.

E06290-002

Opportunity for AEC to Improve Its Procedures for Making Sure that Containers Used for Transporting Radioactive Materials Are Safe.

E06290-003

Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf.

E00780-008

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement.

E00780-003

Problem in Identifying, Developing, and Using Geothermal Resources.

E00780-007

Problem in the Federal Energy Administration's Compliance and Enforcement Effort.

E02990-002

Problems in the Federal Energy Office's Implementation of Emergency Petroleum Allocation Programs at Regional and State Levels.

E02990-001

Problems of Independent Refiners and Gasoline Retailers.

E02990-003

Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes.

E06290-001

Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area.

E06086-001

Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems.

E06290-005

Report of the Work Group on OCS Safety and Pollution Control.

E00712-004

Report of the Work Group on OCS Safety and Pollution Control, May 1973.

E00712-005

Report of the Work Group on OCS Safety and Pollution Control, May 1973.

E00712-006

Review of Royalty Accounting System for Onshore Oil and Gas Leases.

E00712-013

Royalty Accounting System Study of Solid Mineral Leasing Activities.

E00712-014

Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-002

Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-003

Using Solid Waste to Conserve Resources and to Create Energy.

E02390-010

306 Other natural resources

Report on Audit of the Marine Resources Monitoring, Assessment and Prediction Program, National Oceanic and Atmospheric Administration.

E00300-001

Report on Audit of the National Sea Grant Program, National Oceanic and Atmospheric Administration.

E00300-003

Report on Survey of the Fishery Products Inspection Service, National Oceanic and Atmospheric Administration.

E00300-008

350 AGRICULTURE**351 Farm income stabilization**

A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970.

E00209-005

Administration of Marketing Orders for Fresh Fruits and Vegetables.

E00299-004

Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims.

E05590-001

Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy.

E00209-006

Aspects of the Beekeeper Indemnity Payment Program.

E00299-006

AGRICULTURE

BUDGET INDEX

Capitalization of Farm Program Benefits into Land Values.	E00219-001
Distribution of Farm Program Payments by Income of Sole Proprietors.	E00219-002
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).	E00209-001
Examination into Feed Grains and Related Matters.	E00299-009
Farm Programs, Pesticide Use, and Social Costs.	E00209-004
Information on Federal Disaster Relief Programs.	E05590-002
Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program.	E00299-008
Need for More Guidance in Establishing Recovery Periods for Damaged Bee Colonies to More Effectively Control Payments to Beekeepers.	E00299-007
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.	E00299-010
Policies and Practices for Assessing Fees for Processing Price-Support and Storage Facility and Equipment Loans.	E00299-005
Pricing Under Federal Milk Market Regulation: theory, objectives and impact.	E00204-002
Program Evaluation on 1973 Feedgrain Program Performance.	E00209-002
Social Cost of Alternative Dairy Price Support Levels.	E00219-003
The Sugar Program: Large Costs and Small Benefits.	E00209-007
Uniform Grain Storage Agreement.	E00209-003
352 Agricultural research and services	
'Computer Associated Menu Planning (CAMP).'	E00207-018
1972 National School Lunch Program Survey.	E00207-006
A Comparative Cost Analysis of Alternative Methods for Selling FHA Notes.	E00200-007
A Follow-Up Study of Attitudes of Participants in U.S. Department of Agriculture-Hotelympia 1974.	E00212-011
A New Federalism Program Analysis.	E00201-003
A Program Evaluation of the Great Plains Conservation Program.	E00218-001
A Study of Alternatives to Commodity Donations to Schools.	E00200-008
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.	E00205-004
Acceptability and Suitability of the Expanded Thrifty Recipe Flyers by Low-Income Families.	E00207-022
Action Needed to Discourage the Removal of Trees That Shelter Cropland in the Great Plains.	E00299-002
Actions Needed to Terminate Federal Administration of State Rural Rehabilitation Funds Program.	E00299-015
Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land.	E00299-027
Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota.	E00299-022
Allowable Harvest Determinations on National Forest Lands.	E00299-031
An Appraisal of the Special Summer Food Service Program for Children.	E00299-024
An Economic Evaluation of School Lunch Systems.	E00207-016
An Evaluation of Insurance Experience.	E00211-001
An Evaluation of Subsidy Forms for Soil and Water Conservation.	E00219-005
An Evaluation of the Witchweed Program.	E00205-001
An Evaluation of the ASCS Pilot Public Access Program.	E00209-008
An Evaluation of the Mulligan Stew 4-H Television Series for Extension Service, USDA.	E00215-001
An Evaluation of the 1973 Youth Conservation Corps.	E00700-003
Analysis of Individual Underwriting Progress and Problems.	E00211-002
Analysis of the Tokyo Trade Center Show.	E00212-005
Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment.	E00200-003
Assessment of Dietary Adequacy of Program Participants.	E00207-021
Bonus Food Stamps and Cash Income Supplements.	E00219-008
Cash Grain Price Reporting in the Chicago Market.	E00204-005
Citrus Blackfly Program Evaluation.	E00205-003
Comparison of Type A Pattern and Nutrient Standard Approaches to School Food Service Menu Planning.	E00207-012
Complaints Concerning the Rural Housing Loan Program in Five Arkansas Counties.	E00299-014
Consumer Protection Would Be Increased by Improving the Administration of Intrastate Meat Plant Inspection Programs.	E00299-011
Controls Exercised by Rural Electrification Administration over Borrowers' Activities.	E00299-034
Cost Structure of the School Lunch Program.	E00207-013
Cost Survey of Foods Purchased by the USDA and Local School Systems.	E00207-009
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001
Cotton Evaluation of Coverage Reductions and Rate Increases.	E00211-004
Crop Insurance and Information Services to Control Use of Pesticides.	E02305-100

BUDGET INDEX

AGRICULTURE

Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement.	E00207-020
Demonstration Project for Summer Special Food Service Program for Children.	E00207-026
Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Industrial Loan and Grant Program.	E00299-019
Dual Operation in State of Washington.	E00207-023
Economic Impact of Proposed Changes in Beef Grades.	E00204-004
Effect of the Small Watershed Program on Major Land Uses.	E00219-007
Effectiveness and Administration of the Rural Rental-Housing Program.	E00299-016
Employment Impacts of the Economic Development Administration's Public Works Program.	E00201-002
Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan Cotton Promotion Institution.	E00212-004
Evaluation Report on the Technical Assistance Effort Devoted to Improving Cooperative Firm Operations, FY 1973.	E00220-001
Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets.	E00208-002
Evaluation of Experience Under Improved Management Practice for Corn.	E00211-003
Evaluation of Four Completed Small Watershed Projects: South Carolina, Maryland, Idaho-Nevada, and West Virginia.	E00219-009
Evaluation of USDA Research Program on Housing.	E00213-001
Evaluation of the FY 1974 USDA Special Beef Purchase.	E00200-004
Evaluation of the Italian Identified Soybean Oil Promotion.	E00212-012
Evaluation of the TRI-X Regional Document Delivery Service.	E00217-001
Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert.	E00204-001
Evaluation of the USDA Food Supply Release, Food Marketing Alert.	E00204-003
Factors Affecting Food Habits.	E00207-017
Factors Contributing to the Varying Rates of Participation in the Food Stamp Program.	E00299-018
Five County Food Management Improvement Project.	E00207-010
FmHA Responsiveness to State and Local Rural Development Plans and Priorities.	E00200-010
FmHA Water and Waste Disposal Program Assistance to Small Rural Communities.	E00200-005
Follow-up on the Need to Resolve Differences in Procedures Used by Federal Timber Management Agencies in Appraising Timber Offered for Sale.	E00299-030
Food Distribution and Food Stamp Program Effects on Nutritional Achievement.	E00207-002
Food Stamps and Nutrition.	E00207-001
Food for Peace: An Evaluation of P.L. 480--Title II.	E00212-002
Impact of Cashing Out the Food Distribution Program.	E00219-004
Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview.	E00201-001
Impact of Price on Participation in NSLP: a summary.	E00207-015
Impact of Price on School Lunch Participation--Washington State.	E00207-014
Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
Implications of Discontinuing USDA Commodity Acquisitions and Distribution Activities.	E00200-006
Implications of Discontinuing USDA Commodity Acquisition and Distribution Activities.	E00200-009
Import Demand for Rice in the EEC: Implications of U.S. Market Promotion.	E00212-003
Improvements Needed in Regulation of Commodity Futures Trading.	E00299-012
Improvements Needed in Planning Allowable Harvests.	E00299-026
Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests.	E00299-028
More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand.	E00299-032
More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand.	E00299-029
National Rural Development Efforts and the Impact of Federal Programs on a 12-County Rural Area in South Dakota.	E00299-001
National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.	E00207-003
National Survey of Family Food Assistance Participants.	E00207-019
Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies.	E00299-033
Observations Regarding the Promotion of Processed Food Products in Germany and the United Kingdom.	E00212-008
Observations on Evaluation of the Special Supplemental Food Program.	E00299-023
Observations on the Food Stamp Program.	E00299-025

AGRICULTURE

BUDGET INDEX

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.	E00216-001
Phase II Food Survey of Institutions.	E00207-025
Practices in Obtaining Credit Reports for Housing and Agricultural Loans.	E00299-020
Presidential Objective on Child Nutrition Programs.	E00207-008
Price Impacts of Federal Market Order Programs.	E00220-002
Pricing Under Federal Milk Market Regulation: theory, objectives and impact.	E00204-002
Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	E00299-003
Program Evaluation of the Commodity Intelligence System, Tobacco Division. 08August 1974.	E00212-006
Progress and Problems in Achieving Objectives of School Lunch Program.	E00299-021
Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved.	E00299-035
Racial Composition in the National School Lunch Program.	E00207-011
Reaction to the National Agricultural Outlook Conference.	E00219-006
Recovery of Nonpayments to Livestock Producers (Sellers Under the Bonds of Registrants).	E00208-003
Relationship Between Program Participation and Level of Economic Activity.	E00207-024
Review of the International Institute for Cotton (IIC) Market Development Program.	E00212-009
Review of the National Renderers Association Program in the Far East.	E00212-007
Review of the Rice Council for Market Development Brand Incentive Program.	E00212-010
Rural Development as Related to REA Borrower's Activities.	E00203-001
School Feeding Effectiveness.	E00207-004
Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.	E00216-003
Survey of Expanded Food and Nutrition Education Program.	E00299-013
Survey of Grade and Weight Selling of Livestock.	E00208-001
The Case of P.L. 480, The Side Effects of Foreign Aid: Wheat in Colombia.	E00212-001
The Delivery of Library and Information Services to USDA Research Personnel In the Field.	E00217-002
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.	E00205-005
The Forest Products Utilization Program (Its Effectiveness and Future Opportunities).	E00216-002
The Southwestern Screwworm Eradication Program: a review.	E00205-002
The USDA Study on High School Participation in Child Nutrition Programs.	E00207-005
Ways to Improve Effectiveness of Rural Business Loan Programs.	E00299-017
Who's Picking Up the Check for Pennsylvania's School Lunches?	E00207-007
Youth Conservation Corps-Pilot Program 1973.	E00216-004

400 COMMERCE AND TRANSPORTATION

401 Mortgage credit and thrift insurance

An Analysis of Section 234--HUD Condominium Programs.	E00600-008
An Inquiry into the Relative Emphasis of Program Policies on Housing Needs in the Suburbs and in Older Declining Areas.	E00600-018
Assessment of Programs Assigned to Assistant Secretary for Housing Production and Mortgage Credit.	E00603-031
Certain Aspects of Internal Audit Coverage Need Improvement at Federal National Mortgage Association's Regional Offices.	E00698-001
Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois.	E00693-008
Default Counseling.	E00600-005
Delays in Final Endorsement.	E00600-123
Department of Housing and Urban Development's 'as is' Sales Program in Flint, Michigan.	E00693-021
Differences in Costs of Purchasing Houses in Seven States through the Departments of Housing and Urban Development and Agriculture.	E00693-022
Disposal of Acquired Properties in Detroit, Michigan: progress and problems.	E00693-015
Evaluation of Housing Management Goals Management System.	E00604-029
Evaluation of the Operation of Concentrated Default Counseling.	E00604-027
Government National Mortgage Association (GNMA) Mortgage-Backed Securities.	E00600-121
HUD's Comparison of Costs under Sections 202 and 236 for Housing Projects for the Elderly.	E00693-001
Housing Assistance Research.	E00600-138
Housing in the Seventies; a report of the National Housing Policy Review.	E00600-013
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.	E00693-016
Loan Management Functions on Multifamily Projects.	E00600-135
Mobile Home Courts--Application Processing.	E00600-097
Mobile Home Parks Underwriting Procedures.	E00600-076

BUDGET INDEX

COMMERCE AND TRANSPORTATION

Mortgage Insurance for Nursing Homes--Section 232.	E00600-124
Mortgagees' Origination Costs.	E00600-075
Multi-Family Application Processing.	E00600-096
Multi-Family Default Study.	E00600-004
Multi-Family Mortgage Insurance Programs--Feasibility Processing to Final Endorsement.	E00600-126
New Construction--Single-Family Accelerated Subdivision Processing.	E00600-098
Operations of a Special Mortgagor.	E00600-100
Opportunities for Energy Conservation in Federally Insured Homes by Revising Current Thermal Standards and Establishing Additional Standards Where Necessary.	E00693-013
Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.	E00693-004
Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.	E00693-005
Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.	E00693-002
Processes for Approving and Monitoring Nonsupervised Mortgages.	E00693-011
Project Rehabilitation.	E00600-099
Residential Subdivision Processing.	E00600-122
Single Family Appraisal/Inspection.	E00600-095
Summary Information on HUD-Held Multifamily Mortgages.	E00693-020
The Changing Role of FHA Mortgage Insurance in the Mortgage Market and the Secondary Market.	E00600-025
The Effects of FHA Activity in Older, Urban, Declining Areas: a review of existing, related analysis.	E00600-010
Title I Property Improvement and Mobile Home Loans.	E00600-125
Ways to Improve Management of Acquired Residential Properties.	E00694-004
403 Other advancement and regulation of commerce	
A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives.	E05507-001
Administration of Small Business Loan Program Under the Occupational Safety and Health Act.	E05590-004
Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims.	E05590-001
An Analysis of Selected Factors of the SBA-Forest Service Timber Set-Aside Program.	E05507-007
Coordination of Federal Planning Assistance Programs.	E00600-007
Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program.	E00300-027
Design of Performance Impact Measures.	E05507-004
Evaluation of Small Business Administration Lease Guarantee Program.	E05507-003
Evaluation of the 8(a) Contract Program (Phases I-III).	E05507-008
Evaluation of the Small Business Institute Program--Spring 1973.	E05507-009
Evaluation of the Small Business Institute Program--Fall 1972.	E05507-010
Foreign Visitor Travel to the United States Can Be Increased.	E00399-004
Information on Federal Disaster Relief Programs.	E05590-002
Limited Success of Federally Financed Minority Businesses in Three Cities.	E05590-003
Management Assistance: An Evaluation of Its Extent and Impact on Minority Owned Businesses.	E05507-002
Need for Improved Consumer Protection in Interstate Land Sales.	E00696-001
Opportunities to Improve Financial Management and Reduce Costs of Loan Administration.	E05590-005
Program Analysis of the Small Business Administration.	E05507-006
Questionable Effectiveness of the 8(a) Procurement Program.	E05590-006
Report on Audit of Activities Associated With Domestic Tourist Services and Facilities, United States Travel Service.	E00300-005
Report on Audit of Experimental Technology Incentives Program, National Bureau of Standards.	E00300-017
Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration.	E00300-010
Report on Audit of Matters of Particular Interest to the Department's Office of Budget and Program Analysis Concerning the National Technical Information Service.	E00300-014
Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.	E00300-007
Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration.	E00300-011
Report on Audit of Selected Areas of the National Technical Information Service.	E00300-013

COMMERCE AND TRANSPORTATION

BUDGET INDEX

Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration. **E00300-015**
 Report on Audit of the Office of Standard Reference Data, National Bureau of Standards. **E00300-009**
 Study of Minority Borrowers Prior and Subsequent to SBA Assistance. **E05507-011**
 The Economic Opportunity Loan Program: Continuing Evaluation. **E05507-005**
 The Federal Crime Insurance Program: how it can be made more effective. **E00696-003**

404 Ground transportation

Advance Right-of-Way Acquisition Program. **E01103-004**
 Alcohol Safety Action Project: evaluation of operations. **E01107-002**
 Alcohol Safety Action Projects: evaluation of operations, 1973. **E01107-003**
 Assessment of Selected State and Community Programs. **E01107-001**
 Capital Assistance to Bus Transit Systems. **E01105-001**
 Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs. **E01197-004**
 Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires. **E01197-006**
 Department of Transportation's Cargo Security Program. **E01190-001**
 Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. **E01193-001**
 Evaluation of Motor Vehicle Safety Standards. **E01107-004**
 Federal Participation in Cost of States' Internal Review Activities. **E01103-002**
 For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. **E01197-001**
 Fostering Urban Transportation Activities in Universities. **E01105-002**
 Grants to Improve Bus Transit Systems--Progress and Problems. **E01195-001**
 Improvements Needed in Planning and Using Motor Vehicle Safety Research. **E01197-003**
 National Urban Reporting System. **E01105-003**
 Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials. **E01190-002**
 Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards. **E01197-002**
 Preparation of Programming Documents. **E01103-005**
 Program Review of Right-of-Way Program. **E01103-001**
 Public Involvement in Planning Public Works Projects Should Be Increased. **E01192-002**
 Review of Availability of Right-of-Way Statistics. **E01103-008**
 Review of Construction Inspection Procedures. **E01103-003**
 Review of Materials Sampling and Testing Procedures. **E01103-006**
 Review of Right-of-Way Records and Reports. **E01103-007**
 The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles. **E01197-005**

405 Air transportation

A Simulation of the DABS Sensor for Evaluating Reply Processor Performance. **E01102-028**
 ADAP Aid to Private Airports: A Preliminary Assessment and Related Questions for Research. **E01102-033**
 ATC Surveillance/Communication Analysis and Planning. **E01102-027**
 Aircraft Sound Description System Application Procedures. **E01102-046**
 Aircraft Taxiing Noise Measurement. **E01102-005**
 Aircraft--Pilot and other Pre-Employment Experience as Factors in the Selection of Air Traffic Controllers Trainees. **E01102-022**
 Aircraft-Pavement Compatibility Study. **E01102-011**
 Airport Opposition: Examination and Program Development. **E01102-031**
 Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis. **E01102-006**
 Airport Vicinity Air Pollution Study. **E01102-034**
 Alternatives to the Airport Development Aid Program. **E01102-032**
 An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded Third Generation ATC System. **E01102-020**
 Augmented Radar Beacon Tracking Level System Design Specification. **E01102-014**
 Automated Reliability Assessment Program. **E01102-039**
 Behavioral, Automatic, and Subjective Reactions to Low and Moderate Level Simulated Sonic Booms. **E01102-023**
 Collision Avoidance Ground Station Analysis. **E01102-025**
 Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic. **E01102-010**
 Concorde Landing Requirement Evaluation Tests. **E01102-055**
 Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights. **E01192-003**
 DAIR System Radar Target Relationships. **E01102-017**
 Department of Transportation's Cargo Security Program. **E01190-001**
 Development and Test of Low Impact Resistance Structures. **E01102-048**

BUDGET INDEX

COMMERCE AND TRANSPORTATION

Development of Microwave Landing System Implementation Criteria.	E01102-047
Development of a Discrete Address Beacon System.	E01102-029
Development of a Non-Survey Method Estimating Traffic at Non-Towered Airport.	E01102-012
Discrete Address Beacon System/Air Traffic Control Interface Configuration Document.	E01102-015
Dual Lane Runway Study.	E01102-037
Evaluation of Facilities and Equipment: Great Lakes Region.	E01102-062
Evaluation of Free Distribution of AIM.	E01102-058
Evaluation of Nation-Wide Preflight Weather Briefing Procedures.	E01102-059
Evaluation of Premature Descents at High Density Terminals.	E01102-061
Evaluation of Technician Certification Program.	E01102-063
Evaluation of Terminal Radar Service: stage III.	E01102-060
Evaluation of a Very Low Frequency Navigation System.	E01102-051
Evaluation of an Experimental Elevated High-Intensity Runway Edge Light.	E01102-049
Evaluation of an Experimental High-Intensity, Inset Runway Edge Light Fixture.	E01102-050
Evaluation of the Rubino Procedure for Radio Telemetric Theodolite Positioning.	E01102-054
FAA Lightning Protection Study.	E01102-035
FAA Report on Airport Capacity.	E01102-036
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting.	E01102-004
Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System.	E01102-009
General Aviation Aircraft Safety: The Princeton University Conference.	E01102-007
Hazardous Materials Program Review.	E01102-057
Improved Procedures Needed for Implementing Safety Recommendations.	E01192-004
Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers.	E01102-021
Measurement and Analyses of ASR-4 System Error.	E01102-018
Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique.	E01102-003
Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique.	E01102-008
Military Air Traffic Forecast: 1975-1986.	E01102-019
Multipath Channel Simulation and Modern Evaluation Program.	E01102-044
Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft.	E01192-001
Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials.	E01190-002
New York Offshore Airport Feasibility Study.	E01102-013
Objective Measurement Techniques for Evaluating Voice Communication Channels.	E01102-052
Prevalence and Incidence of Disease Among Airmen Medically Certified.	E01102-041
Provisional Message Formats for the DABS/NAS Interface.	E01102-026
Public Involvement in Planning Public Works Projects Should Be Increased.	E01192-002
Pulse Compression Radar Study.	E01102-024
Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing.	E01102-001
Quiet Nacelle Retrofit Feasibility Program: compatibility analysis and design study.	E01102-002
Radar Target Detection Employing On-Line Estimation of the Video Correlation.	E01102-016
Report of National Airspace System En Route Related Support and Data Reduction and Analysis Programs.	E01102-040
Systems for Transporting Aircraft on the Ground: feasibility and concept study.	E01102-038
TCA/ERS Effectiveness Study.	E01102-053
Technical Evaluation of Weather Clutter Feasibility Model.	E01102-030
Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar.	E01102-042
Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Televised Radar Display Applications.	E01102-043
Test and Evaluation of ATCRBS Electronic Scan Antenna.	E01102-045
The Airport Passenger Head Tax: analysis of its potential impact.	E01102-056
406 Water transportation	
A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels.	E01101-001
A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates.	E01101-013
A Study of the Minority Officer Procurement Program of the U.S. Coast Guard.	E01101-014
A Study of the U.S. Coast Guard Intelligence and Security Functions.	E01101-017
Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions.	E01101-020
Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center.	E01101-015
Aviation Issue Study.	E01101-010
Cutter Issue Study.	E01101-009
Department of Transportation's Cargo Security Program.	E01190-001

COMMERCE AND TRANSPORTATION

BUDGET INDEX

Development of CVS Management Information System.	E01101-005
Government Maritime Communications.	E01101-018
Government Support of the Shipbuilding Industrial Base.	E00399-005
Great Lakes Pilotage Review.	E01101-012
Human Relations in the Coast Guard.	E01101-016
International Inventory and Forecast of Offshore Petroleum and Mineral Activity.	E01101-003
Licensing of Deck and Engineering Officers in the U.S. Merchant Marine.	E01101-002
Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials.	E01190-002
Radar Observer Testing.	E01101-004
Radio Aids to Navigation for the U.S. Coastal Confluence Region.	E01101-011
Recreational Boating in the Continental United States in 1973: the nationwide boating survey.	E01101-019
Report on Audit of the Cargo Preference Program, Maritime Administration.	E00300-004
Report on Audit of the Ship Transfer Program, Maritime Administration.	E00300-006
Report on Review of Market Development Activities, Maritime Administration.	E00300-016
Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973.	E01101-006
Supertanker Environmental Study.	E01101-007
Vessel Traffic Systems Issue Study.	E01101-008
Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents?	E01191-001

450 COMMUNITY AND REGIONAL DEVELOPMENT

451 Community development

A Delphi Report: Future of New Communities.	E00601-162
A New Federalism Program Analysis.	E00201-003
Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia.	E00692-011
Advantages of New Communities.	E00601-019
Alternative Federal Policies for New Community Development.	E00601-155
An Assessment of the Open Space Certification Procedure.	E00602-044
Annual Administrative Budget.	E00600-091
Annual Arrangements.	E00600-068
Annual Arrangements: improving coordination of community development programs.	E00602-038
Are HUD Processing Procedures an Onerous Disincentive to Other than Marginal Developers?	E00601-151
Area Managers Activities.	E00600-133
Area Office of Application Procedures.	E00600-074
Areawide Planning Certificates.	E00600-115
Assessment of Programs Assigned to Assistant Secretary for Housing Production and Mortgage Credit.	E00603-031
Bulk Sales--Rehabilitation, Property Disposition: Banner Ltd.	E00600-139
Close-out of Urban Renewal Projects.	E00600-063
Closeout of Urban Renewal Projects.	E00600-093
Code Enforcement Program.	E00600-065
College Housing Loan.	E00600-132
College Housing Management.	E00600-129
Comparative Summary of the Development Task in New Communities and PUD's (Planned Unit Developments).	E00601-020
Comprehensive Planning Programs.	E00600-064
Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs.	E00602-032
Cost of Sprawl: comparison of planned vs. unplanned communities.	E00601-159
Determinants of State and Local Expenditures: an annotated bibliography.	E00600-011
Disposition of Acquired Single Family Properties.	E00600-131
Does the New Communities Program Suffer from Multiple Objectives?	E00601-149
Economic and Fiscal Effects of Satellite New Communities on Control Cities.	E00601-022
Evaluating Local Urban Renewal Projects.	E00602-054
Evaluation of On-Going Urban Renewal Activities.	E00600-114
Evaluation of Operating Agencies--Model Cities.	E00600-089
Evaluation of USDA Research Program on Housing.	E00213-001
Evaluation of Urban Renewal Activities.	E00600-092
Final Land Acquisition--Urban Renewal.	E00600-090
FmHA Responsiveness to State and Local Rural Development Plans and Priorities.	E00200-010
FmHA Water and Waste Disposal Program Assistance to Small Rural Communities.	E00200-005
Follow-Up Review of the Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia.	E00692-027
Future Direction for New Communities--Key Issues.	E00601-143
Getting the New Communities Program Started; progress and problems.	E00692-023
Greater Benefits Can Be Derived from Improved HUD Audits of Program Participants.	E00690-001

BUDGET INDEX

COMMUNITY AND REGIONAL DEVELOPMENT

Guidelines for Urban Renewal Land Disposition.	E00602-046
Housing in the Seventies; a report of the National Housing Policy Review.	E00600-013
Impact of Satellite New Communities on Central Cities.	E00601-021
Improvements Needed in Department of Housing and Urban Development's Administration of the New Community Development Program.	E00691-001
Is the Title VII Program a Viable Program Without Basic or Supplementary Grants?	E00601-150
Limited Review--Riverton and Gananda.	E00600-142
Limited Review--Soul City.	E00600-141
Linear Parkway: bay area rapid transit urban beautification demonstration project.	E00602-052
Local Government Approaches to Capacity Building.	E00602-039
Local Government Participation in the A-95 Project Notification and Review System.	E00602-037
Model Cities Program.	E00600-061
Modernizing the Central City--New Towns Intown and Beyond.	E00601-018
Multifamily Acquired Properties Rehabilitation/Disposition.	E00600-134
National Growth Policy and New Communities.	E00601-154
Need for HUD to Prepare an Environmental Impact Statement for Town of Leesburg, Virginia, Potomac River Water Supply Project.	E00692-022
Need for Improvement in Providing Funds to Local Public Agencies.	E00693-006
Need for More Timely and Accurate Data on the Operations of Certain Community Development Programs.	E00692-002
Need to Improve Management of Urban Renewal Projects.	E00692-018
Neighborhood Development Program.	E00600-062
Neighborhood Facilities Program.	E00600-070
New Communities Evaluation.	E00600-001
New Communities Policy and Options Paper.	E00601-160
New Communities Program, Options for Future Directions.	E00601-147
New Communities Project Review.	E00600-108
New Communities and the States--New Community Potential.	E00601-024
New Communities and the Energy Crisis.	E00601-144
New Communities, USA.	E00601-161
New Community Guarantee Program.	E00600-073
New-Towns-in-Town: their potential and problems.	E00601-148
Non-cash Local Grants-in-aid.	E00600-071
Observation on Housing Allowances and the Experimental Housing Allowance Program.	E00693-019
One for One OE/CPD Replacement Housing: the impact of section 105(h) on the NDP/Urban Renewal Program.	E00602-051
Open Space Program.	E00600-069
Open Space and Recreation Opportunity in America's Inner Cities.	E00602-053
Opportunities for Improving the Model Cities Program in San Antonio, Texas.	E00692-004
Opportunities for Improvement in Coordination of Housing Planning Efforts between State and Interstate Regional Planning Agencies and in the Department of Housing and Urban Development Guidelines on Updating Housing Studies.	E00692-017
Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana.	E00692-005
Planned Variation Cities.	E00600-116
Planned Variations: first year survey.	E00602-036
Policy Analyses for Evaluation of New Communities Program.	E00600-009
Prices Paid for Property Acquired in Urban Renewal Programs in the District of Columbia.	E00692-007
Procedures and Practices for Providing Technical Assistance to Cities Participating in the Model Cities Program.	E00692-001
Procedures for Distribution of Community Development Block Grant Funds.	E00600-120
Program Evaluation.	E00600-109
Public Facility Loans.	E00600-088
Rehabilitation Loans and Grants.	E00600-060
Relocation Payments.	E00600-067
Relocation.	E00600-087
Report to House Subcommittee on HUD Independent Agencies: Federal assistance to new communities.	E00601-158
Review of Certain Allegations Concerning the Model Cities Planning Council in Dayton, Ohio.	E00692-028
Review of Certain Aspects of the Model Cities Program in Newark, New Jersey.	E00692-008
Review of Certain Aspects of the Urban Renewal Program in Lynn, Massachusetts.	E00692-012
Review of Certain Aspects of the Grand Rapids, Michigan, Model Cities Program.	E00692-014
Review of Certain Aspects of the Federally Assisted Code Enforcement Program in Denver, Colorado.	E00692-020
Review of Model Cities Program--Housing and Economic Development Operating Agencies.	E00600-113
Review of the Model Cities Program in East St. Louis, Illinois.	E00692-021

COMMUNITY AND REGIONAL DEVELOPMENT

BUDGET INDEX

Review of the Model Cities Program in Lansing, Michigan.	E00692-024
Review of the Model Cities Program in Dayton, Ohio.	E00692-025
Review of the San Jose, California, Model Cities Program.	E00692-019
Section 312 Loans (Limited Review).	E00600-094
Selected Aspects of the Boston, Massachusetts Model Cities Program.	E00692-003
Selected Aspects of the Neighborhood Development Program.	E00692-006
Single-Family Property Disposition.	E00600-003
Social Aspects of New Communities.	E00601-017
Some Trends and Conditions Affecting New Communities.	E00601-145
St. Charles Communities Impact Evaluation Study: a comprehensive planning, re- search and demonstration program.	E00601-015
Strategies for New Communities.	E00601-156
Subsidized Housing in New Communities.	E00601-153
Summary Benefits of Title VII-Type Suburban New Communities.	E00601-014
Summary Recommendations on Low and Moderate Income Housing.	E00601-152
Survey of Administration of the Planned Variations Program.	E00692-013
The Application of a Computerized Environmental Information System to Master and Sector Planning.	E00712-011
The Changing Demand for Local Capacity and Analysis of Functional Programming and Policy Planning.	E00602-035
The Economic Impact of Reston on Fairfax County Government.	E00601-016
The Federal Grant Process: an analysis of the use of supplemental and categorical funds in the model cities program.	E00602-034
The Federal New Community Program in the Context of an Emerging National Growth Policy.	E00601-146
The Future of Local Urban Development.	E00602-055
The Impact of Exogenous Factors Upon Urban Renewal Land Disposition.	E00602-045
The Model Cities Program: a comparative analysis of city response patterns and their relation to future urban policy.	E00602-040
The Model Cities Program: a comparative analysis of participating cities, process, product, performance and prediction.	E00602-041
The Model Cities Program: ten model cities--a comparative analysis of second round planning years.	E00602-042
The Necessity and Impact of Federal Guarantees and Other Financial Aid on New Community Development.	E00601-023
Title X Land Development.	E00600-006
Trends and Implications of No-Growth Movement for New Communities.	E00601-157
Uncompleted Resources Development Projects.	E00600-118
Urban Renewal Land Acquisition (Proclaimer).	E00600-066
Urban Renewal Land Acquisition Activities.	E00600-117
Urban Renewal Land Disposition Activities.	E00600-072
Urban Renewal Land Disposition.	E00600-085
Urban Renewal Land Disposition Activities.	E00600-112
Urban Renewal Land Disposition Studies: Kingston, N.Y.	E00602-043
Urban Renewal Land Disposition Study: literature search.	E00602-047
Urban Renewal Land Disposition Studies: Boston, Mass.	E00602-048
Urban Renewal Land Disposition Studies: Supplementary Cities.	E00602-049
Urban Renewal Land Disposition Studies: Durham, NC.	E00602-056
Urban Renewal Land Disposition Studies: St. Paul, Minn.	E00602-057
Urban Renewal Land Disposition Studies: Louisville, Ky.	E00602-058
Urban Renewal Land Disposition Studies: Tulsa, Oklahoma.	E00602-059
Use of Consultants.	E00600-111
Use of Facilities.	E00600-119
Use of the CDA Sign-off on Model Cities for Planning and Coordinating HEW Programs.	E00602-033
452 Area and regional development	
A Comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209
National Rural Development Efforts and the Impact of Federal Programs on a 12- County Rural Area in South Dakota.	E00299-001
Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements.	E00507-018
Preventive Health Programs (Part II): Tuberculosis Control Planning Models.	E00507-019
Report on Audit of the Technical Assistance Program, Office of Technical Assis- tance, Economic Development Administration.	E00300-002
The Expectations and Commitments of HPSC--OPSAM (Health Program Systems Center--Operations Systems Analysis Module): A documentary review.	E00507-017
453 Disaster relief and insurance	
Actions Needed to Provide Greater Insurance Protection to Flood-Prone Communities.	E00696-002
National Flood Insurance.	E00600-140

BUDGET INDEX

EDUCATION, MANPOWER, AND SOCIAL SCIENCES

500 EDUCATION, MANPOWER, AND SOCIAL SCIENCES

Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V.	E00500-201
501 Elementary, secondary, and vocational education	
A Longitudinal Evaluation of the Audit Basic Education Program.	E00501-015
A Process Evaluation of the Bilingual Education Program, Title VII, Elementary and Secondary Education Act.	E00501-025
A Sequential Approach to Early Childhood and Elementary Education.	E00512-001
A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects.	E00501-005
A Study of the Education Professions Development Act Training Programs for Higher Education Personnel.	E00501-020
A Comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209
An Analysis of the Relationship Between Reading and Mathematics Achievement Gains and Per-Pupil Expenditures in California Title I Projects.	E00501-014
An Assessment of School-Supervised Work Education Programs.	E00501-017
An Evaluation of Educational Programs in State Operated and State-Supported Schools for Handicapped Children.	E00501-033
An Evaluation of Federal Programs to Increase the Pool of Special Education Teachers.	E00501-035
Assessment of Vocational Education for Handicapped Students.	E00501-032
Bank Street Supplemental Study.	E00512-015
Design for a National Evaluation of Social Competence in Head Start Children.	E00512-002
Design of Evaluation Plan for the Right to Read Community Based Projects.	E00501-028
Development of Packages for Effective Approaches in Compensatory Education.	E00501-046
Evaluation Study for the Institute for Developmental Studies Head Start Planned Variation Study.	E00512-013
Evaluation of Community Group Projects of the Emergency School Assistance Program.	E00501-024
Evaluation of Educational Achievement Test Measures as an Eligibility Criterion in the Better Schools Act Formula.	E00500-056
Evaluation of Federal Programs Supporting Educational Change.	E00501-047
Evaluation of Head Start Experience with 'Healthy, That's Me.'	E00512-018
Evaluation of Selected Aid-to-States Programs for the Education of the Handicapped.	E00501-034
Evaluation of Vocational Exemplary Programs.	E00501-042
Evaluation of the Community Based Right to Read Program.	E00501-011
Evaluation of the Impact of ESEA Title I Programs for Migrant Children of Migrant Agricultural Workers.	E00501-027
Federal Programs for the Education of the Handicapped: issues and problems.	E00581-005
Final Report of Monitoring Contract of Seven Home Start Grantees.	E00512-009
Follow Through National Evaluation: Data Collection and Data Processing.	E00501-023
Follow-up Review of Migrant Children Education, Office of Education.	E00500-100
Full-Scale Implementation of a Process Evaluation System for Programs of the National Center for the Improvement of Educational Systems.	E00501-021
Further Study of Performance Contracting in Education.	E00501-045
Health Start Final Report of the Evaluation of the Second Year Program.	E00512-008
Health Start: Evaluation of the First Year Program.	E00512-005
Implementation of Head Start Planned Variation Testing and Data Collection Effort.	E00512-011
Innovation and Change: A Study of Strategies in Selected Projects Supported by the National Center for the Improvement of Educational Systems.	E00501-022
Longitudinal Study of Educational Effects: Vocational Education.	E00501-043
Overview of the Parent-Child Center Management Information System.	E00512-004
Perspectives on Parent Participation in Project Head Start: an analysis and critique.	E00512-006
Problems of the Upward Bound Program in Preparing Disadvantaged Students for a Postsecondary Education.	E00581-004
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.	E00501-001
Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York.	E00500-118
Research-Based Evaluation of the Tucson Early Education Model.	E00512-016
Retrospective Study of Employee Mobility in Head Start Programs.	E00512-019
Review of the Implementation of Comparability Provisions, Public Law 91-230, Office of Education.	E00500-119
Serving Handicapped Children.	E00512-021
Short-Term Cognitive Effects of Head Start Programs.	E00512-007

Social Competency in Young Children.	E00512-010
Southern Schools: An Evaluation of the Effect of the Emergency School Assistance Program and of School Desegregation.	E00501-026
Sponsor Supported Research of the University of Kansas Planned Variation Program.	E00512-014
Summative Evaluation of the Child and Family Resource Program.	E00512-020
Supplementary Evaluation of the Responsive Environment Head Start Program.	E00512-012
Supplementary Research on Responsive Model for Planned Variation Communities.	E00512-017
Supply and Demand Conditions for Teachers and Implications for Federal Programs.	E00581-003
The Adult Basic Education Program: Progress in Reducing Illiteracy and Improvements Needed.	E00581-008
The Process of Funds Allocation Under Title I of the ESEA of 1965.	E00501-012
Toward a New Cognitive Effects Battery for Project Head Start.	E00512-003
Training America's Labor Force: Potential, Progress, and Problems of Vocational Education.	E00581-002
What Is the Role of Federal Assistance for Vocational Education?	E00581-007
502 Higher education	
A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects.	E00501-006
A Study of Title III of the Higher Education Act: The Developing Institutions Program.	E00501-019
A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates.	E00501-007
A Study of the Special Services for Disadvantaged Students Program.	E00501-041
Analysis of Comparative Impacts of Existing BEOG Needs Analysis System.	E00501-039
Analysis of Differential Treatments Under Basic Educational Opportunity Grants Needs Analysis.	E00501-038
Assessment of the Teacher Corps Program.	E00581-001
Maritime Policy Study.	E00300-025
Private Accreditation and Public Eligibility.	E00501-008
Science Development, University Development, and the Federal Government.	E04600-001
Science Development: an evaluation study.	E04600-002
Study of Cooperative Education.	E00501-037
Study of Special Allowance and Servicing Costs in the Guaranteed Student Loan Program.	E00501-036
Supply and Demand Conditions for Teachers and Implications for Federal Programs.	E00581-003
Survey of Bureau Management by Objectives Programs.	E00300-020
Survey of Lender Prepayment Terms and Survey of Repayment Burden Among Borrowers.	E00501-040
The Demand for Facilities in the Post-secondary Sector, 1975 to 1990.	E00501-010
The Federal College Work-Study Program: a status report.	E00501-018
503 Research and general education aids	
An Assessment of Career Guidance, Counseling, and Placement Activities in Secondary Schools for Non-College Bound Students.	E00501-016
Analysis of Office of Education Special Project Grant Distribution to Local Education Agencies in Region V.	E00500-206
Educational Laboratory and Research and Development Center Programs Need To Be Strengthened.	E00582-001
Effectiveness of Educational Laboratories and Centers.	E00501-029
Evaluation Handbook.	E00500-208
Evaluation of Federal Programs Supporting Educational Change.	E00501-047
Evaluation of LSCA Services to Special Target Groups.	E00501-009
Evaluation of the Public Library and Analysis of Its Present and Future Role.	E00501-031
Federal Library Support Programs: progress and problems.	E00581-006
Federal Role in Children's Television Programming.	E00501-030
Human Resources Demonstration Project.	E00500-215
Monitoring Handbook: a management guide.	E00500-217
Need Assessment and Evaluation of Region VI Health and Education Projects.	E00500-207
Review of Use of Financial Reports Required by the Office of Education under the Teacher Corps Program.	E00500-105
Science Development, University Development, and the Federal Government.	E04600-001
Science Development: an evaluation study.	E04600-002
504 Manpower training	
A Retrospective Case Review of WIN II Completed Job Entries: grant reductions, services, and welfare savings.	E00509-012
An Econometric Model of the Low-Skill Labor Market.	E00509-025
An Evaluation of the Arizona Job College.	E00902-007
Assessment of the Work Incentive Program in Washington State.	E00992-020
Bibliographies, Notes and Summaries Concerning WIN.	E00509-008
Categorical Wage-Bill Subsidies: theory and application.	E00509-027

BUDGET INDEX

EDUCATION, MANPOWER, AND SOCIAL SCIENCES

Cleveland Summer Youth Employment Programs.	E00992-013
Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions.	E00902-017
Concentrated Employment Program in New York City Has Not Met Its Employment Objectives.	E00992-001
Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems.	E00992-007
Employment and Wage Effects of the WIN Tax Credit.	E00509-026
Evaluation Study of Job Corps Residential Support Centers.	E00902-021
Evaluation Study of the Counselor Training Program.	E00902-032
Evaluation of Basic Education Programs Conducted Under MDTA Institution Training Program.	E00501-044
Evaluation of Planning and Coordination of Manpower Programs--Phase II.	E00500-218
Evaluation of Pre and Post-Enrollment Services to Job Corps Enrollees.	E00902-025
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-002
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-003
Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-004
Evaluation of the High School Equivalency Programs for Seasonal Farmworkers.	E00902-004
Evaluation of the National Ironworkers and Employers Training Program.	E00902-001
Evaluation of the Pilot Accelerated Apprenticeship Program.	E00902-002
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.	E00500-212
From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan.	E00992-021
Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971.	E00992-009
Inventory of Selected Federal Manpower Programs.	E00500-213
Kentucky Equine Education Program (KEEP).	E00992-025
Neighborhood Youth Corps and Emergency Employment Programs in Artesia, New Mexico.	E00992-014
Observations on the Effectiveness and Management of Upgrading Training.	E00992-005
Opportunity to Increase Effectiveness of JOBS-Type Programs to be Funded Under CETA (Comprehensive Employment and Training Act).	E00992-022
Problems in the Work Incentive Program in Los Angeles and San Diego.	E00992-027
Program Administration at the Atterbury Job Corps Center in Indiana.	E00992-008
Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act.	E00900-021
Public Employment Programs in Selected Rural and Urban Areas.	E00992-011
Public Employment Programs in East St. Louis, Illinois.	E00992-019
Public Service Benefits from Jobs Under the Emergency Employment Act of 1971.	E00992-010
Quality of Day Care in Region X--Phase II.	E00500-216
Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks.	E00992-012
Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas.	E00992-016
Review of Effectiveness and Management of the Neighborhood Youth Corps In-School Program for FY 1971 at Three Locations.	E00992-004
Selection and Enrollment of Participants in Programs Under the Emergency Employment Act of 1971.	E00992-003
Slow Implementation of the Work Incentive Program in New York City.	E00992-028
Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential.	E00900-004
Special Approval and Evaluation of the WIN II Program.	E00509-011
Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns.	E00900-008
Study of Impact of Program Variables Upon Effectiveness of MDTA-OJT and Institutional Training Programs on Earnings.	E00902-037
Study of Vocational Training Skills Achievement at Departmental Job Corps Centers.	E00700-008
Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia.	E00992-018
The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements.	E00992-015
The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings.	E00900-017
Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971.	E00992-006
WIN II Administrative Impact Study.	E00509-010
WIN II Initial Impact Study.	E00509-009

505 Other manpower services

12 Area Study (PEP)(Public Employment Program).	E00902-045
---	------------

EDUCATION, MANPOWER, AND SOCIAL SCIENCES

BUDGET INDEX

Administration of the Alien Labor Certification Program Should Be Strengthened.	E00992-029
Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1972.	E00904-005
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973.	E00904-006
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1974.	E00904-007
An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.	E00904-011
An Econometric Analysis of the Costs of Selected Manpower Programs: final report.	E00900-032
An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit.	E00900-007
An Evaluation Strategy and Plan for Department of Labor.	E00900-024
An Evaluation of the Economic Impact Project of the Public Employment Program.	E00902-005
An Impact Evaluation of the Public Employment Program.	E00900-002
Analysis of COMO (Comprehensive Model) Follow-up Data.	E00902-011
Analysis of Early Phase Problems of Comprehensive Manpower Program.	E00902-023
Analysis of Social Security Data to Estimate the Impact of Manpower Programs.	E00900-005
Black Lung Benefits Act of 1972; first annual report on administration of the Act during calendar year 1974 and the transitional period in the second half of 1973.	E00904-016
Compliance with the Minimum Wage Law: progress report.	E00900-003
Cost Effectiveness Evaluation of the Urban Concentrated Employment Program.	E00902-022
Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters.	E00994-003
Demographic Characteristics of Workers in the Work Clothing Industry.	E00906-003
Design and Development of a Data System for PEP (Public Employment Program).	E00902-024
Design and Evaluation of Innovative Staffing Pattern for WIN Child Care Establishments.	E00902-026
Differences in the Total Employment Effects of Government Purchases from the Private Sector and Direct Government Hiring of the Unemployed.	E00900-012
Econometric Estimation of the Macro Impact of Public Employment and Public Spending.	E00900-009
Economic Considerations for Manpower Revenue Sharing.	E00900-019
Economic Incentives and Occupational Safety.	E00900-036
Employment Services for Vietnam-Era Veterans Could Be Improved.	E00992-024
Estimating the Benefits of Job Banks as a Computerized Record Keeping System.	E00900-015
Evaluating the Impact of CETA (Comprehensive Employment and Training Act) Program on Participants' Earnings: Methodological Issues and Problems.	E00900-037
Evaluating the Impact of MDTA Programs on Earnings under Varying Labor Market Conditions.	E00902-016
Evaluation Questions Under the Comprehensive Employment and Training Act of 1973.	E00900-038
Evaluation Study of the Developmental Stages of the Comprehensive Manpower Program.	E00902-008
Evaluation Study of Model Ex-Offender Program, Phase III.	E00902-012
Evaluation Study of MDTA-Section 241 Program.	E00902-015
Evaluation Study of the Technology Mobilization and Reemployment Program.	E00902-019
Evaluation Study of the Mandatory Listing Activity.	E00902-020
Evaluation Study of Job Corps Residential Support Centers.	E00902-021
Evaluation Study of the Work Sample Activity.	E00902-027
Evaluation of Child Care Services.	E00902-031
Evaluation of ES (Employment Service) Technical Services Activity.	E00902-009
Evaluation of Effectiveness and Results of LINCS (Labor Inventory Communication System).	E00902-014
Evaluation of Job Development in SMSA.	E00902-029
Evaluation of MA Services and Supportive Services to American Indians on Reservations.	E00902-033
Evaluation of MA and Supportive Services to Mexican Americans.	E00902-034
Evaluation of PEP (Public Employment Program) Welfare Demonstration Project.	E00902-044
Evaluation of Special Manpower Services to Veterans.	E00902-035
Evaluation of Supportive Services Provided for Participants of Manpower Programs.	E00902-030
Evaluation of Work Registration Activities Under the Food Stamp Program.	E00902-038
Evaluation of the Impact of PEP (Public Employment Program) on the Public Sector.	E00902-041
Evaluation of the LMSA-Management Information System.	E00903-001
Evaluation of the National Migrant Worker Program.	E00902-040
Evaluation of the Pre-Trial Intervention Program.	E00902-043
Evaluation of the Public Employment Program for Reservation Indians.	E00902-006
Evaluation of the Smaller Communities Program.	E00902-010

Further Evidence on the Impact of the WIN II Program.	E00900-041
Impact Analysis of MDTA Project Transition.	E00902-036
Impact of the Recent Inflation on Labor Skill Differentials.	E00900-033
Indexing for Inflation: Some Formal Models and an Informal Policy Proposal.	E00900-030
Job Bank Evaluation.	E00902-039
Job Matching Under Imperfect Information.	E00900-020
Land Reclamation Requirements and Their Estimated Effects on the Coal Industry.	E00900-035
Longitudinal Evaluation of WIN Program.	E00902-013
Longitudinal Evaluation of PEP (Public Employment Program) and Validation of the PEP Data Bank.	E00902-042
Major Evaluation Questions Concerning the Federal Contract Compliance Program: Federal Contracts for Goods and Services.	E00900-031
Manpower Program Follow-up Systems.	E00900-026
Measuring the Effect of the Federal Government on the Change in the Labor Market Position of Black Male Workers Relative to White Male Workers: 1966 to 1970.	E00900-010
Minimum Wage Legislation in the United States.	E00900-013
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).	E00904-002
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).	E00904-003
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act.	E00904-004
National Academy of Science Critical Review of the Use of Social Security Data to Estimate the Effect of Manpower Programs.	E00900-006
Private Household Workers: data pertinent to an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974.	E00904-001
Private Household Workers...Feasibility and Implications of Extending Minimum Wage Protection Under FLSA.	E00904-020
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.	E00992-023
Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act.	E00992-002
Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois.	E00995-006
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns.	E00900-008
Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).	E00906-002
Survey of Public Employment Terminations.	E00902-018
Survey of State ES Experiences in Following Up MA Program Clients.	E00902-028
The Challenge of Dual and Radical Theories of the Labor Market to Orthodox Theory.	E00900-034
The Economics of Distribution; the impact of public policy.	E00900-025
The Effect of Manpower Training on Earnings: preliminary results.	E00900-028
The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates.	E00900-016
The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved.	E00994-002
The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors.	E00900-029
The Evaluation of the WIN II Program: methodological issues and some initial results.	E00900-001
The Four Questions for Evaluating the Effects of the FLSA (Federal Labor Standards Act) Minimum Wage Provisions.	E00900-039
The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact.	E00900-040
The Neighborhood Youth Corps: An Impact Evaluation.	E00900-018
The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.	E00900-023
The San Francisco Review.	E00904-008
Theories of Search in a Labor Market.	E00900-022
Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature.	E00900-011
Validation and Evaluation of the Impact of the WIN II Data System.	E00902-003
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010
506 Social services	
A Planning Study to Develop a Demonstration Design for Use of Telecommunications in Public Service Delivery.	E00500-071
A Sequential Approach to Early Childhood and Elementary Education.	E00512-001

EDUCATION, MANPOWER, AND SOCIAL SCIENCES

BUDGET INDEX

A Study of Feasibility of Securing Adequate Information for the Federal Evaluation of the Developmental Disabilities Formula Grant Program.	E00509-007
A Study of Placement in PA/VR (Public Assistance Vocational Rehabilitation).	E00509-002
An Analysis of the Allocation of Counseling Resources in Vocational Rehabilitation Agencies.	E00509-037
An Evaluation of the 1973 Youth Conservation Corps.	E00700-003
An Evaluation to Determine the Effectiveness of Coordination, Administration, and Delivery of Services by a Multi-Service Center in Rural Arkansas.	E00500-065
Analysis of Medicaid Spend-Down Experience.	E00509-004
Analysis of Medicaid Buy-In Experience.	E00509-015
Assessing the Status and Needs of Older Americans; survey utilization manual.	E00511-010
Bank Street Supplemental Study.	E00512-015
Characteristics of the Demand for Disabled Workers.	E00509-042
Comparison of State Agency and Fiscal Agent Performance in Medicaid.	E00509-013
Cost Benefit of Utilization Review Techniques in Medicaid.	E00509-014
Costs of Alternative Care Settings for the Elderly.	E00509-035
Deinstitutionalization--Dependent and Neglected Children.	E00509-032
Deinstitutionalization--Delinquent Children.	E00509-050
Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program.	E00300-027
Design for a National Evaluation of Social Competence in Head Start Children.	E00512-002
Determinants of Long-Term Care Facility Utilization by the Elderly: an empirical analysis.	E00509-041
Development of Data Base for Administration on Aging.	E00511-006
Disability and Labor Supply.	E00509-043
Draft Report to Steering Committee on Commerce Legislative Affairs Study.	E00300-021
Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services.	E00591-001
Effectiveness of Vocational Rehabilitation in Helping the Handicapped.	E00591-004
Evaluating the State Social Indicators System in Aging.	E00511-002
Evaluation Study for the Institute for Developmental Studies Head Start Planned Variation Study.	E00512-013
Evaluation of Head Start Experience with 'Healthy, That's Me.'	E00512-018
Evaluation of the Older Americans Act Traineeship Grant Program.	E00511-004
Fee Schedules and Social Services.	E00509-034
Final Report of Monitoring Contract of Seven Home Start Grantees.	E00512-009
Final Report of the OMBE (Office of Minority Business Enterprise) Management Task Force.	E00300-026
Guide to Sources and Uses of Current Data on the Aging.	E00511-008
Health Start Final Report of the Evaluation of the Second Year Program.	E00512-008
Health Start: Evaluation of the First Year Program.	E00512-005
Implementation of Head Start Planned Variation Testing and Data Collection Effort.	E00512-011
Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients.	E00589-005
Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program.	E00589-007
Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse.	E00589-009
Improving Services to Handicapped Children.	E00500-069
Interim Report on Department of Commerce Field Operations.	E00300-022
Interim Report on the Review of the Domestic and International Business Administration.	E00300-023
International Trade Study.	E00300-024
Interviewer Manual for the Older Americans Survey.	E00511-009
Isolation Among the Elderly: definition, measurement, and policy considerations.	E00509-046
Issues in Medicaid.	E00509-048
Longitudinal Study Design for Evaluation of the National Nutrition Program for Elderly.	E00511-013
Making Social Service Choices at the State Level: practice and problems in four states.	E00509-039
Manual of Award Procedures and Awards Monitoring Procedures.	E00511-011
Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements.	E00589-008
Measures of Delinquency: problems and findings.	E00509-031
Measuring Benefits from Prison Reform.	E00509-051
Medicaid in California: a descriptive analysis.	E00509-044
Methodology for Evaluation and Validation of State Social Indicator System in Aging.	E00511-003
Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974 YCC program.	E00700-005
National Evaluation of Youth Service Systems.	E00513-001

BUDGET INDEX**HEALTH**

Organizational Issues in the Provision of Community Care to the Impaired Elderly.	E00509-045
Outpatient Health Care in Inner Cities: Its Users, Services, and Problems.	E00589-010
Overview of the Parent-Child Center Management Information System.	E00512-004
Perspectives on Parent Participation in Project Head Start: an analysis and critique.	E00512-006
Post-camp Uses and Effects of YCC Experiences.	E00700-006
Program Evaluation, Youth Conservation Corps.	E00709-003
Project Head Start: achievements and problems.	E00591-002
Research-Based Evaluation of the Tucson Early Education Model.	E00512-016
Retrospective Study of Employee Mobility in Head Start Programs.	E00512-019
Review of Vending Operations on Federally Controlled Property.	E00591-003
Review of the Organization and Operations of the United States Travel Service (USTS).	E00300-029
Serving Handicapped Children.	E00512-021
Short-Term Cognitive Effects of Head Start Programs.	E00512-007
Social Competency in Young Children.	E00512-010
Social Statistics for the Elderly Area Level System.	E00511-005
Some Problems in Contracting for Federally Assisted Child-Care Services.	E00589-003
Special Revenue Sharing in Support of Public Social Services.	E00509-049
Sponsor Supported Research of the University of Kansas Planned Variation Program.	E00512-014
Strengthening the Role of the U.S. Patent Office.	E00300-028
Study of Cost Differentials Between Skilled Nursing Facilities and Intermediate Care Facilities.	E00509-006
Summative Evaluation of the Child and Family Resource Program.	E00512-020
Supplementary Evaluation of the Responsive Environment Head Start Program.	E00512-012
Supplementary Research on Responsive Model for Planned Variation Communities.	E00512-017
Survey Guide to Accompany the Older Americans Assessment Questionnaire.	E00511-007
Survey of Bureau Management by Objectives Programs.	E00300-020
Survey of Title XIX Long-Term Care Facilities and Patients, volume I.	E00509-005
Systematic Review of Area Agencies on Aging.	E00511-012
Technical Proposal of Implementing a Methodology for the Validation of the State Social Indicators for the Aged.	E00511-001
The Determinants of Vocational Rehabilitation Costs: an exploratory analysis.	E00509-040
The Impact of Compensatory Education and Desegregation on Juvenile Delinquency.	E00509-033
The Program Services and Support System of the Rehabilitation Services Administration.	E00509-003
Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps.	E00700-004
Toward a New Cognitive Effects Battery for Project Head Start.	E00512-003
Utilization of Alternative Care Settings by the Elderly: normative estimates and current patterns.	E00509-036
Utilization of Ambulatory Care.	E00509-030
Vocational Rehabilitation State Allocation Study.	E00509-001
Vocational Rehabilitation Programs Undergoing Rapid Expansion: a simulation study of cost and caseload statistics.	E00509-047
Youth Conservation Corps Pilot Program, 1972.	E00700-001
Youth Conservation Corps Pilot Program, 1973.	E00700-002

550 HEALTH**551 Health care services**

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.	E00503-059
A Proficiency Test Assessment of Clinical Laboratory Capability in the United States.	E00500-052
A Study of Maternal and Infant Care of Indian and Native Alaskan Americans.	E00507-087
A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.	E00503-020
A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.	E00503-037
A Study of the Nature and Function of the Emergency Medical Service Advisory Councils in Relation to Selected Community Variables.	E00507-021
A Study of the Systems Development Project.	E00500-004
A Study to Develop a Conceptual Model of a National Health Service Corps Management and Control System.	E00507-015
A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health.	E00503-023
Age Differences in Health Care Spending, Fiscal Year 1974.	E00510-009
Age Differences in Health Care Spending, F.Y. 1974.	E00510-157
Age Differences in Medical Care Spending, Fiscal Year 1973.	E00510-165
Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II.	E00503-029

HEALTH

BUDGET INDEX

Ambulatory Health Care Information.	E00507-016
American Indian Alcoholism Evaluation--Monitoring--Design Project.	E00503-044
An Analysis of Medicare Administrative Costs.	E00510-023
An Assessment of the Intermediate Care Facility Program in Region V.	E00500-219
An Economic Analysis of HSA Program Potential FY 1976 to FY 1981.	E00507-075
An Evaluation of the Effectiveness of Loan Forgiveness as an Incentive for Health Practitioners to Locate in Medically Underserved Areas.	E00500-045
An Evaluation of the Regional Medical Program (RMP) Review Criteria.	E00506-063
An Evaluation of the Effects of Hospital Cost Finding Procedures on the Determination of Ambulatory Service Costs.	E00506-102
An Evaluation to Determine the Effectiveness of Coordination, Administration, and Delivery of Services by a Multi-Service Center in Rural Arkansas.	E00500-065
An Evaluative Study of Early and Periodic Screening, Diagnosis and Treatment Program in Ohio and Wisconsin.	E00500-204
An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00507-047
Analysis of Barriers to Successful HMO Development.	E00507-063
Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments.	E00507-055
Analysis of Medicaid Spend-Down Experience.	E00509-004
Analysis of Medicaid Buy-In Experience.	E00509-015
Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP).	E00507-060
Assessment of Child Mental Health Needs and Programs.	E00503-062
Assessment of Emergency Medical Systems.	E00507-052
Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V.	E00500-201
Assessment of the Unmet Needs of the Indian Health Service.	E00507-071
Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California.	E00500-115
Automated Psychiatric Record Systems Today: an assessment.	E00503-031
Better Controls Needed for Health Maintenance Organizations under Medicaid in California.	E00587-005
Better Use Should be Made of Physicians and Dentists in Health Centers.	E00587-004
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981.	E00507-064
Cash Benefits for Short-Term Sickness, 1948-72.	E00510-044
Clinic Self-Evaluation Manual for Determination and Improvement of Clinic Efficiency.	E00507-084
Collection and Analysis of Prescreening Surgical Consultation Benefit Program.	E00510-137
Community Health Medic Evaluation.	E00507-025
Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont.	E00503-049
Comparison of Cost and Benefit Incidence on Government Medical Care Programs, FY 1966 and 1969.	E00510-038
Comparison of State Agency and Fiscal Agent Performance in Medicaid.	E00509-013
Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module.	E00506-075
Cost Benefit of Utilization Review Techniques in Medicaid.	E00509-014
Credentialing of Health Care Professionals: its costs and some alternatives for HSA.	E00507-066
Design of an Evaluation System for the National Institute of Mental Health.	E00503-024
Development of Alternatives for an Evaluation of the Costs and Effectiveness of Inclusion of Mental, Dental, and Pharmaceutical Benefits in Health Maintenance or Related Organizations.	E00507-059
Development of Baseline Data for Evaluation of Selected HMO's.	E00507-037
Development of Model Interagency Strategy for Early Periodic Screening, Diagnosis and Treatment (EPSDT) Implementation, Monitoring and Evaluation.	E00500-203
Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.	E00503-052
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Disabling Effects of Chronic Conditions of Male Family Heads.	E00510-145
Drug Programs Under British National Health Services.	E00510-146
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.	E00503-018
Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organizations and Community Health Networks.	E00587-007
Establishment of a Contract Medical Care.	E00507-022
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina.	E00503-032

BUDGET INDEX**HEALTH**

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas.	E00503-033
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania.	E00503-034
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project.	E00503-035
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif.	E00503-043
Evaluation Manual for Comprehensive Health Service Projects.	E00507-013
Evaluation Manual for Comprehensive Health Service Projects.	E00507-024
Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
Evaluation Strategy and Plan for Fiscal Year 1975 (Health Services Administration).	E00507-072
Evaluation Studies on Maternal and Infant Care Projects.	E00507-036
Evaluation of Emergency Medical Service Systems Impact.	E00507-073
Evaluation of Facilitation in the Regional Medical Program.	E00506-058
Evaluation of Hospital Productivity Trends.	E00500-044
Evaluation of Intermediate Care Beds in a Health Maintenance Organization.	E00507-085
Evaluation of Legal Barriers to Emergency Medical Systems Implementation.	E00507-053
Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry.	E00503-046
Evaluation of Services Provided by Federal Health Program Service Facilities.	E00507-034
Evaluation of Surgical Consultation Insurance Benefit.	E00510-135
Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program).	E00503-054
Evaluation of the Accomplishments of Selected HMOS Funded Projects.	E00507-054
Evaluation of the Distribution and Utilization of FHPS Manpower in the U.S. Coast Guard Medical Program.	E00507-039
Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Evaluation of the Indian Health Service Unmet Needs Estimating Methodology.	E00507-065
FY 1974 Evaluation Plan: Indian Health Services, Health Services and Mental Health Administration.	E00500-053
Feasibility Study and Measuring Efficiency of Mental Health Services.	E00503-060
Feasibility of Collecting Baseline Data for Professional Standards Review Organizations; a report to the Office of Professional Standards Review.	E00507-062
Final Report of the Evaluation of the Oklahoma City Area Indian Health Services Offices.	E00507-088
Financial Assistance to NCFPS (National Center for Family Planning Services) to Develop Family Planning Project Evaluation Process; final report July 1973-June 1974.	E00507-049
Financial Position of Private Community Hospitals, 1961-71.	E00510-047
Forward Plan for BCHS Family Planning Program.	E00507-044
Forward Plan for the Health Services Administration, F.Y. 1977-81.	E00507-069
Group Health Insurance Coverage of Full-Time Employees, 1972.	E00510-030
Guidelines for Patient Record-Keeping Systems for Emergency Medical Service.	E00507-051
HMO Evaluation Schema--Phase I.	E00507-038
Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970.	E00510-019
Health Maintenance Organization Cost Study.	E00507-043
Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana.	E00507-050
Health in the Years Before Retirement.	E00510-061
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
Hospital Costs and the Medicare Program.	E00510-052
Hospital Organization: Effectiveness of Patient Care.	E00510-143
Hospital Reimbursement and Regulation Under Medicare.	E00510-136
Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969.	E00510-048
Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services.	E00507-023
Impact of Regional Medical Programs on Coronary Care in New England.	E00506-034
Implementation of a Policy of Self-Support by Neighborhood Health Centers.	E00587-001
Improving Federally Assisted Family Planning Programs.	E00587-006
Increased Compliance Needed with Nursing Home Health and Sanitary Standards.	E00589-011
Indian Health Service Upward Mobility.	E00507-058
Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program.	E00503-047
Information Support System Approach to Problem-Oriented Program Assessment of Local Regional Medical Programs.	E00506-046
Initial Manpower Management Program (MMP).	E00507-056

HEALTH

BUDGET INDEX

Issue Paper on Impact of Evaluation Studies on Policy.	E00507-042
Management Information System, Phase I.	E00507-029
Management and Manpower Study of Health Maintenance Organization (HMO) Activities in HSA.	E00507-061
Marketing Health Maintenance Organizations to Low Income Persons: a case study approach.	E00507-032
Medicaid Study--Public Spending for Personal Medical Care: An Analysis of the Utilization, Cost, and Quality of Care.	E00506-104
Medical Care Costs and Prices: background book.	E00510-001
Medical Care Expenditures in Seven Countries.	E00510-058
Medical Care Price Changes Under the Economic Stabilization Program.	E00510-055
Medicare Impact on Hospital Utilization and Financing.	E00510-130
Medicare Patients: Regional Differences in Lengths of Hospital Stays, 1969-71.	E00510-006
Menominee Tribal Health Care Program Planning.	E00507-068
Migrant Health Program--Final Report.	E00507-028
Monitoring and Evaluating the Operation of Ten DOT/NIAA Joint Alcoholism Programs--Phase I Report and Research Plan.	E00503-028
More Needs to be Done to Assure that Physicians' Services--Paid for by Medicare and Medicaid--Are Necessary.	E00590-001
National Health Expenditures, 1929-1974.	E00510-015
National Health Expenditures, 1929-73.	E00510-043
National Health Insurance Proposals as of July 1974.	E00510-033
National Health Systems in Eight Countries.	E00510-034
National Indian Health Board: Consumer Evaluation of Indian Health Services.	E00507-030
Need for More Effective Management of Community Mental Health Centers Program.	E00599-004
Need for Subsidizing Family Planning Services; United States, each state and county, 1971.	E00507-033
Need to More Consistently Reimburse Health Facilities Under Medicare and Medicaid.	E00590-006
North Carolina Community Mental Health Center Evaluation Project; final report of planning phase.	E00503-051
Nursing Home Ombudsmen; five case studies.	E00506-071
PHS Hospital Manpower Analysis.	E00507-041
Personnel Distribution in a City Health Department.	E00507-048
Pilot Program for Monitoring and Evaluating the Public Inebriate Program.	E00503-045
Potential Impact of the Health Maintenance Organization Act of 1973 on the Development of Rural HMO's.	E00507-067
Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements.	E00507-018
Preventive Health Programs (Part II): Tuberculosis Control Planning Models.	E00507-019
Private Health Insurance in 1973: A Review of Coverage, Enrollment, and Financial Experience.	E00510-014
Problems Associated With Reimbursements to Hospitals for Services Furnished Under Medicare.	E00590-002
Professional Standards and Review Organization (PSRO) Cost Study.	E00507-046
Progress and Problems in Providing Health Services to Indians.	E00587-003
Project III Description of Existing Maternal and Child Health Services and Resources in the United States.	E00507-082
Project Termination in RMPS (Regional Medical Program Service).	E00506-041
Reference Manual on Title XIX (Social Security Act).	E00507-057
Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II.	E00503-036
Report on Audit of the Family Health Center Program, Cascade Health Care, Inc., Portland, Oregon.	E00500-116
Resource Materials for Community Mental Health Program Evaluation.	E00503-055
Review of SSA's Fraud Deterrence Program.	E00500-107
Review of Selected Aspects of the Administration of the Indian Health Service, Health Services Administration.	E00500-106
Satellite Clinics--Delivery Techniques in Medical Clinics in Relation to Health Needs of Underserved Areas.	E00500-051
Selected State Data Medicare Fiscal Years 1968-72.	E00510-002
Self-Employment in the Covered Work Force.	E00510-037
Size and Shape of the Medical Care Dollar, 1975.	E00510-003
Social Security Act Amendments, 1974.	E00510-021
Social Security Amendments of 1972: Summary and Legislative History.	E00510-060
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security Programs Throughout the World, 1973.	E00510-065
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017

BUDGET INDEX

HEALTH

Social Welfare Expenditures, 1972-73.	E00510-045
Some Aspects of Medicare Experience with Group-Practice Prepayment Plans.	E00510-013
Staffing and Efficiency of Drug Treatment Programs.	E00506-074
Strategies for Accomodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
Study of Cost Differentials Between Skilled Nursing Facilities and Intermediate Care Facilities.	E00509-006
Study of Programs for Unwed Teenage Mothers.	E00507-031
Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002
Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare.	E00590-004
Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00503-019
Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insurance Impact on HSA Activities.	E00507-076
Survey of Title XIX Long-Term Care Facilities and Patients, volume I.	E00509-005
The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude.	E00507-035
The Economics of Non-Hospital Clinical Laboratories.	E00510-132
The European Experience in Social Health Insurance.	E00510-054
The Expectations and Commitments of HPSC--OPSAM (Health Program Systems Center--Operations Systems Analysis Module): A documentary review.	E00507-017
The Family Planning Evaluation Project.	E00507-026
The Family Planning Evaluation Project.	E00507-027
The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers.	E00503-030
The Manner in Which Medicare Reimbursement is Made to a Laboratory Facility: Phoenix, Arizona.	E00590-007
The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
The Medical Care Requirements of the U.S. Coast Guard.	E00507-045
The Provision of Health Care Services to Adolescents in the 1970's.	E00507-083
The Role of Health Services Administrator.	E00507-086
The True Cost of Filling a Prescription in Kansas in 1971.	E00510-147
Toward the Development of a Casebook on the Decision-Making Process in Neighborhood Health Centers.	E00507-081
Trends in Sources of Funds for Community Mental Health Centers.	E00503-064
Two Studies on Catchmenting and Catchmented-Oriented Services.	E00503-042
User Manual: HSA work planning and monitoring system.	E00507-040
Utilization and Reimbursements Under Medicare for 1967 and 1968 Decedents.	E00510-057
Utilization of Ambulatory Care.	E00509-030
Value for Money in Health Services.	E00510-026
Variations in Prescription Markups-on-Retail.	E00510-139
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.	E00503-038
Work Planning and Monitoring System User Manual (Health Services Administration).	E00507-074
Yeatman Baseline Survey.	E00507-011
Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.	E00507-012
Young Widows and Their Children: A Comparative Report.	E00510-011
552 Health research and education	
A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.	E00503-059
A Follow-up of NARA (Narcotic Addict Rehabilitation Act) Patients Who Drop Out Before Completion.	E00503-040
A Study of Hospital Productivity Trends for Short-Term General Hospitals.	E00500-039
A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.	E00503-020
A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.	E00503-037
A Study of the Problems Encountered in the Provision of Clinical Training for Allied Health Professions.	E00506-094
A Survey of the Effectiveness of Coordination of HEW Funded Programs Related to Mental Retardation.	E00500-047
A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health.	E00503-023
Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II.	E00503-029
American Indian Alcoholism Evaluation--Monitoring--Design Project.	E00503-044

HEALTH**BUDGET INDEX**

An Approach to the Evaluation of the Effectiveness of Continuing Dental Education.	E00506-093
An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning.	E00506-055
An Evaluation of Five Drug Abuse Education Projects: Parts I & II.	E00500-072
An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III.	E00508-032
Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments.	E00507-055
Assessment of Child Mental Health Needs and Programs.	E00503-062
Automated Psychiatric Record Systems Today: an assessment.	E00503-031
Better Management Needed of Health Research Equipment by NIH Grantees.	E00599-001
Cancer Chemotherapy Abstracts/Cancer Chemotherapy Reports Evaluation.	E00508-039
Chlorpromazine: Innovation and Revolution in the Drug Treatment of Mental Disease States.	E00503-021
Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont.	E00503-049
Computer Aided Instruction (CAI) Network Evaluation Criteria and Methodology.	E00508-033
Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met.	E00586-006
Contributions of Surgical Research to Health Care, 1945-1970: report of the Research Subcommittee of the Study on Surgical Services in the United States.	E00508-025
Dental Auxiliary Utilization: a National Evaluation.	E00506-044
Design of an Evaluation System for the National Institute of Mental Health.	E00503-024
Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.	E00503-052
Development of a System to Monitor Quality Nursing Care.	E00506-084
Doctoral Scientists and Engineers in the U.S.: 1973 Profile.	E00508-040
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.	E00503-018
Effectiveness and Efficiency of Nursing Education Programs.	E00506-062
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina.	E00503-032
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas.	E00503-033
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania.	E00503-034
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project.	E00503-035
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif.	E00503-043
Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
Evaluation Report: General Clinical Research Centers Program, Division of Research Resources.	E00508-038
Evaluation of Drug Education Programs.	E00500-034
Evaluation of EEO Policies and Practices in Health Professions Educational Institutions.	E00506-107
Evaluation of Graduate Training Programs in Health Planning.	E00506-047
Evaluation of Interactive Networks: Final Evaluation Report for Planning Phase of ATS-F Satellite Health Experiments.	E00508-023
Evaluation of Linkage Between Research Publications and NIH Funding.	E00508-049
Evaluation of Planning and Coordination of Manpower Programs-Phase II.	E00500-218
Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry.	E00503-046
Evaluation of Public Health Training Grants.	E00506-096
Evaluation of Selected Components of Office of Education 'Help Communities Help Themselves' Drug Abuse Prevention Program.	E00500-058
Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education.	E00506-099
Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education.	E00506-078
Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program).	E00503-054
Evaluation of User Utilization of the National Medical Audio Visual Center Media Distribution Program.	E00508-046
Evaluation of a Selected Sample of Office of Education Community-Based Drug Abuse Prevention Projects.	E00500-060

BUDGET INDEX**HEALTH**

Evaluation of the Application of Instructional Technology in Selected Basic Nursing Education Programs.	E00506-064
Evaluation of the Career Development Program in Global Community Health.	E00506-056
Evaluation of the Emergency Medical Services Demonstration Projects.	E00506-103
Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine.	E00508-053
Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Evaluation of the Impact of Physician Extender Algorithms on Productivity.	E00506-089
Evaluation of the National Cancer Institute Associate Program by Former, Current, and Potential Associates.	E00508-036
Evaluation of the National Pituitary Agency.	E00508-051
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.	E00500-212
Evaluation of the Primary Objectives of the Long-term Professional Nurse Traineeship and Special Nurse Fellowship Programs.	E00506-052
Factors Affecting Specialty Choice and the Interrelationship Between Specialty Choice and Geographic Location.	E00500-059
Feasibility Study and Measuring Efficiency of Mental Health Services.	E00503-060
Federal Efforts to Combat Drug Abuse.	E00599-002
Final Report of a Pilot Project to Develop a Methodology for Cost-Benefit Analysis of Research Animal Diagnostic and Investigative Laboratories.	E00508-047
Five and Ten Years After College Entry.	E00508-052
Follow-up Survey of National Cancer Institute Postdoctoral Fellows (and) Follow-up Survey of National Cancer Institute Graduate Research Trainees; final report.	E00508-029
Follow-up Survey of National Institute of Dental Research Graduate Trainees and Postdoctoral Fellows.	E00508-030
Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite Experiment Evaluation.	E00508-043
Impact of NIH Training Grant Programs on Full-Time Medical School Faculty.	E00508-024
Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program.	E00503-047
Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast.	E00508-034
Investigation and Evaluation of Employment Opportunities for Newly-Licensed Nurses.	E00506-095
Manpower Engaged on NIH Research Grants in 1970.	E00508-048
Manpower Resources and Needs in Speech Pathology/Audiology.	E00508-045
Medical Clinics Manpower Utilization.	E00500-054
Monitoring and Evaluating the Operation of Ten DOT/NIAA Joint Alcoholism Programs--Phase I Report and Research Plan.	E00503-028
Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C.	E00599-003
National Area Health Education Center Programs External Assessment Design.	E00506-069
Need for More Effective Management of Community Mental Health Centers Program.	E00599-004
North Carolina Community Mental Health Center Evaluation Project; final report of planning phase.	E00503-051
Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel.	E00506-070
Personnel Distribution in a City Health Department.	E00507-048
Pilot Program for Monitoring and Evaluating the Public Inebriate Program.	E00503-045
Postdoctoral Training in the Biomedical Sciences: An Evaluation of NIGMS Postdoctoral Traineeship and Fellowship Programs.	E00508-027
Proceedings of a Symposium--Dental Biomaterials Research Priorities.	E00508-031
Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education.	E00586-001
Progress and Problems in Training and Use of Assistants to Primary Care Physicians.	E00586-007
Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse.	E00503-053
Quality and Consistency Evaluation of Medlars Indexing: final report.	E00508-037
Rationale of the Joint NIMH-TCU Drug Abuse Reporting Program.	E00503-016
Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II.	E00503-036
Resource Materials for Community Mental Health Program Evaluation.	E00503-055
Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health.	E00500-102
Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration.	E00500-104
Sixteen Best Practice Techniques in U.S. General and Teaching Hospitals.	E00500-066
Strategies for Change in Community Mental Health: New Careers.	E00503-039

HEALTH

BUDGET INDEX

Strategies for Change in Community Mental Health: New Careers. E00503-039
 Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals. E00503-019
 Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students. E00506-081
 Summary Report 1972: Doctorate Recipients from United States Universities. E00508-035
 Summary Report 1973: Doctorate Recipients from U.S. Universities. E00508-042
 The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health. E00500-068
 The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers. E00503-030
 The Market for Evaluation Services in the Department of Health, Education, and Welfare. E00503-063
 The Public Evaluates the National Institute on Alcohol Abuse and Alcoholism Public Education Campaign. E00503-061
 The Totally Implantable Artificial Heart. E00508-028
 Trends in Sources of Funds for Community Mental Health Centers. E00503-064
 Two Studies on Catchmenting and Catchment-Oriented Services. E00503-042
 Viability of the Catchment Area Concept in the Community Mental Health Centers Program. E00503-038

553 Prevention and control of health problems

Administration of Small Business Loan Program Under the Occupational Safety and Health Act. E05590-004
 An Analysis With Improvement Postulation for the Neighborhood Environmental Evaluation and Decision System (NEEDS Validation Study). E00504-010
 An Evaluation of Program Elements and Impact of Federal and Local Lead-Based Paint Poisoning Grant Programs. E00504-017
 An Incident of Contamination of Livestock Feed and Certain Consumer Products. E00585-002
 An Investigation of Consumers' Perceptions of Adverse Reactions to Cosmetic Products; final report. E00505-012
 Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs. E00585-007
 Cost Analysis of Blood Banking Alternatives. E00500-037
 Development of Data Base for Assessing Impact of Newly Established Venereal Disease Control Program. E00504-019
 Development of a Contingency Plan for Certification of Pesticide Applications. E02300-009
 Effectiveness Criteria in Venereal Disease Control Programs. E00504-020
 Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. E00504-003
 Evaluation Report on the Effectiveness of the Clinical Laboratories Improvement Program. E00504-005
 Evaluation Study of the Antibiotic Certification Program; final report. E00505-013
 Evaluation of Lead-Poisoning Prevention Programs. E00500-032
 Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners. E00504-011
 Evaluation of National Institute for Occupational Safety and Health Short Term Training Program. E00504-016
 Evaluation of Poison Control System: Vol. I, Project Results, Averages, Models for Performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat. E00505-010
 Evaluation of Status of Standards for Diagnostic Materials. E00504-018
 Evaluation of VISA Medical Activities. E00504-004
 Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report. E00505-014
 Evaluation of the FDA Consumer Deputy Program. E00505-009
 Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. E00504-007
 Evaluation of the National Institute of Occupational Safety and Health Query and Answer Technical Information Service. E00504-009
 Excluding Substandard Canned Pineapple from the United States. E00585-012
 Extending Effective Date of the Food and Drug Administration's Diagnostic X-Ray Equipment Standard. E00585-009
 Extent and Characteristics of Venereal Disease Education in School Systems. E00504-006
 Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969. E00599-005
 Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969. E00791-002

BUDGET INDEX

HEALTH

Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Recalled by the General Electric Company.	E00585-011
Implementation of the Poison Prevention Packaging Act of 1970.	E30400-101
Improved Federal and State Programs Needed to Insure the Purity and Safety of Drinking Water in the United States.	E02390-006
Improvements Needed in the Assessment and Collection of Penalties--Federal Coal Mine Health and Safety Act of 1969.	E00791-001
Lack of Authority Limits Consumer Protection: Problems in Identifying and Removing from the Market Products which Violate the Law.	E00585-001
Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.	E02390-004
Manpower Management Program, Executive Director of Regional Operations (EDRO), Field Organization, FDA.	E00505-011
Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project Idea 35.	E00505-006
Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public.	E00585-014
Organizational Evaluation and Information Needs Study.	E00504-014
Pesticides: Action Needed to Protect the Consumer from Defective Products.	E02390-008
Preliminary Field Resource Allocation Model.	E00505-015
Problems in Obtaining and Enforcing Compliance with Good Manufacturing Practices for Drugs.	E00585-004
Problems in Regulating Selected Vaccines.	E00585-003
Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973.	E00504-008
Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	E00299-003
Program Operational Planning.	E00504-015
Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters).	E00585-005
Public Hazards from Unsatisfactory Medical Diagnostic Products.	E00585-013
Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered.	E00585-010
Rat Control Evaluation Project; Philadelphia Household Interview and Environmental Survey.	E00504-012
Review of Selected Communicable Disease Control Efforts.	E00584-001
Salmonella in Raw Meat and Poultry: an assessment of the problem.	E00585-008
Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places.	E00599-006
Supervision Over Investigational Use of Selected Drugs.	E00585-006
The Effect of Discontinuing Project Grants for Tuberculosis.	E00504-021
The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.	E00900-023
The Urban Rat Control Program Is in Trouble.	E00584-002
VD Control--A National Strategy Under Review. Vol. 1., Information Materials and Campaigns; Vol. 2., Client Reaction to Treatment; and Vol. 3., The Private Physician.	E00500-057
554 Health planning and construction	
A Feasibility Study of Integrating Federally Funded Local Health Services.	E00500-038
A Management Plan for the Regional Office Technical Assistance Effort.	E00500-005
A Proficiency Test Assessment of Clinical Laboratory Capability in the United States.	E00500-052
A Study for Evaluation of Methods for Determining Outpatient Facility Needs; final report.	E00506-097
A Study of Hospital Productivity Trends for Short-Term General Hospitals.	E00500-039
A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.	E00503-020
A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.	E00503-037
A Study of the Systems Development Project.	E00500-004
A Study of the Systems Development Project.	E00506-066
A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health.	E00503-023
A Study of HSMHA Site Assessment Procedures.	E00506-082
Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II.	E00503-029
An Analysis of Actual and Potential Uses of Nursing Homes.	E00506-049
An Evaluation Study of the Special Currency Program in Yugoslavia.	E00500-043
An Evaluation of Allied Health Advanced Traineeship Grants.	E00506-091
An Evaluation of Deficiencies in Program Study Designs.	E00506-106

HEALTH

BUDGET INDEX

An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning.	E00506-055
An Evaluation of State Hill-Burton Reporting Systems for Free Care and Community Services to the Poor, Provided for by Federally Assisted Health Facilities.	E00506-105
An Evaluation of the Regional Medical Program (RMP) Review Criteria.	E00506-063
An Evaluation to Determine the Effectiveness of Coordination, Administration, and Delivery of Services by a Multi-Service Center in Rural Arkansas.	E00500-065
Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP).	E00507-060
Analysis of Results of State and Areawide Comprehensive Health Planning Agency Assessments.	E00506-098
Assessment of Child Mental Health Needs and Programs.	E00503-062
Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems.	E00506-086
Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V.	E00500-201
Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California.	E00500-115
Audit of Minority Reporting System.	E00506-032
Automated Psychiatric Record Systems Today: an assessment.	E00503-031
Can University Extension Run Consumer Health Education Programs? An Evaluative Study of Four Demonstrations and Four Controls.	E00506-050
Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981.	E00507-064
Capital Financing Study.	E00500-061
Case Studies of Statewide Comprehensive Health Planning; a final report.	E00506-033
Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA.	E00506-061
Clinical Laboratory Performance Analysis Using Proficiency Test Statistics.	E00500-036
Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont.	E00503-049
Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States.	E00586-004
Computerized Summaries of Evaluation Contracts.	E00503-017
Contributions of Surgical Research to Health Care, 1945-1970: report of the Research Subcommittee of the Study on Surgical Services in the United States.	E00508-025
Conversion Implementation and Initial Operation of the Agency Program Reporting System.	E00506-068
Decision-Making and Information Utilization in Three Public Health Service Regional Offices: final report.	E00500-002
Dental Auxiliary Utilization: a National Evaluation.	E00506-044
Design of an Evaluation System for the National Institute of Mental Health.	E00503-024
Development of a Conceptual Approach to Strategic Health Planning.	E00500-049
Development of a Price Deflator for Biomedical Research (report on Phase I).	E00508-044
Development of a Price Deflator for Biomedical Research: a report on Phase II.	E00508-050
Development of a Training Package and Delivery of Training for Site Assessment of Comprehensive Health Planning Agencies 314b and the Development of Assessment Components, Procedures, and Reporting Formats for 314 Agency Site Assessments.	E00506-057
Development, Application and Testing of a Need Assessment Manual for Areawide CHP Agencies.	E00506-072
Doctoral Scientists and Engineers in the U.S.: 1973 Profile.	E00508-040
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.	E00503-018
Effectiveness and Efficiency of Nursing Education Programs.	E00506-062
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina.	E00503-032
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas.	E00503-033
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania.	E00503-034
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project.	E00503-035
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif.	E00503-043
Evaluation Handbook.	E00500-208
Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
Evaluation Study of the Impact of the Special Foreign Currency Health Projects in Yugoslavia and the United States: report of the Yugoslav evaluation team, 1973.	E00500-064
Evaluation of Consumer Health Training and Education Programs.	E00506-042

BUDGET INDEX

HEALTH

Evaluation of Current Practices and Methods in Utilization of Data for Health Program Planning Management and Evaluation--An Evaluation of the Uses of Data From State Health Interview Surveys.	E00506-100
Evaluation of EEO Policies and Practices in Health Professions Educational Institutions.	E00506-107
Evaluation of Facilitation in the Regional Medical Program.	E00506-058
Evaluation of Graduate Training Programs in Health Planning.	E00506-047
Evaluation of Hill-Burton Bed Need Formula: Short-Term General Hospital Beds.	E00506-035
Evaluation of Hill-Burton Standards.	E00506-085
Evaluation of Hospital Productivity Trends.	E00500-044
Evaluation of Linkage Between Research Publications and NIH Funding.	E00508-049
Evaluation of National Institute for Occupational Safety and Health Short Term Training Program.	E00504-016
Evaluation of Non-Response in the Health and Nutrition Examination Survey (HANES): Part I: Development and Evaluation of Imputation Procedures for HANES; Part II: Study of Factors Leading to Participation and Non-Participation in HANES.	E00506-092
Evaluation of the Effectiveness of CHPS Agency Assessments, Performance Standards, and Technical Assistance Activities in Strengthening CHP (Comprehensive Health Planning) Agency Performance.	E00506-101
Evaluation of the Experimental Medical Care Review Organization (EMCRO) Programs.	E00506-051
Evaluation of the Health Facilities Building Process.	E00500-048
Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Evaluation of the National Cancer Institute Associate Program by Former, Current, and Potential Associates.	E00508-036
Evaluation of the National Pituitary Agency.	E00508-051
Evaluation of the State Hill-Burton Agencies and the Future of Health Care Services Planning at the State Level.	E00506-067
Evaluation of the Uniform Hospital Discharge Abstract Site Test.	E00506-053
Evaluation/Decisionmaking in Health Planning and Administration.	E00506-080
FY 74 Evaluation Plan, Bureau of Health Manpower Education.	E00506-060
Feasibility Study and Measuring Efficiency of Mental Health Services.	E00503-060
Final Report of a Pilot Project to Develop a Methodology for Cost-Benefit Analysis of Research Animal Diagnostic and Investigative Laboratories.	E00508-047
Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972.	E00506-039
Financial Assistance to NCFPS (National Center for Family Planning Services) to Develop Family Planning Project Evaluation Process; final report July 1973-June 1974.	E00507-049
Follow-up Survey of National Cancer Institute Postdoctoral Fellows (and) Follow-up Survey of National Cancer Institute Graduate Research Trainees; final report.	E00508-029
Follow-up Survey of National Institute of Dental Research Graduate Trainees and Postdoctoral Fellows.	E00508-030
Follow-up of Non-Respondent Mothers and Editing, Coding, and Key-Taping of Records in the 1972 National Birth Survey.	E00506-079
Forward Plan for Health: FY 1977-81.	E00500-008
Handbook on the Management of Evaluation Contracts.	E00500-073
Health Statistics Today and Tomorrow.	E00506-038
Hill Burton Consultation Activities.	E00506-059
Hospital Discharge Evaluation Project.	E00506-036
Human Resources Demonstration Project.	E00500-215
Impact of NIH Training Grant Programs on Full-Time Medical School Faculty.	E00508-024
Impact of Regional Medical Programs on Coronary Care in New England.	E00506-034
Index and User's Guide for Evaluation Documentation Center.	E00500-074
Information Support System Approach to Problem-Oriented Program Assessment of Local Regional Medical Programs.	E00506-046
Initial Manpower Management Program (MMP).	E00507-056
Installing and Validating a Data Collection and Analysis System for the Uniform Health Manpower Evaluation.	E00506-045
Issue Paper on Impact of Evaluation Studies on Policy.	E00507-042
Management Information System, Phase I.	E00507-029
Manpower Engaged on NIH Research Grants in 1970.	E00508-048
Manpower Resources and Needs in Speech Pathology/Audiology.	E00508-045
Monitoring Handbook: a management guide.	E00500-217
National Evaluation of Mid-Level Health Workers--Task Profile Analysis Medex, Primex, and Preceptors.	E00506-065
National Institutes of Health, Evaluation Plan, FY 1974.	E00508-022
Need Assessment and Evaluation of Region VI Health and Education Projects.	E00500-207

HEALTH**BUDGET INDEX**

Need for More Effective Management of Community Mental Health Centers Program.	E00599-004
North Carolina Community Mental Health Center Evaluation Project; final report of planning phase.	E00503-051
Nursing Home Ombudsmen; five case studies.	E00506-071
Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.	E00586-003
On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.	E00506-087
On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.	E00506-090
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.	E00506-043
Postdoctoral Training in the Biomedical Sciences: An Evaluation of NIGMS Postdoctoral Traineeship and Fellowship Programs.	E00508-027
Prepare Natality Data and Evaluate OCR Hardware and Techniques; final report.	E00506-040
Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973.	E00504-008
Professional Standards and Review Organization (PSRO) Cost Study.	E00507-046
Program Operational Planning.	E00504-015
Project Termination in RMPS (Regional Medical Program Service).	E00506-041
Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse.	E00503-053
Rationale of the Joint NIMH-TCU Drug Abuse Reporting Program.	E00503-016
Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II.	E00503-036
Resource Materials for Community Mental Health Program Evaluation.	E00503-055
Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program.	E00586-005
Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health.	E00500-102
Review of SSA's Fraud Deterrence Program.	E00500-107
Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City.	E00503-026
Strategies for Change in Community Mental Health: New Careers.	E00503-039
Study of Health Facilities Construction Cost.	E00586-002
Study of Response Errors in the Health Interview Survey.	E00506-037
Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00503-019
Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students.	E00506-081
Summary Report 1972: Doctorate Recipients from United States Universities.	E00508-035
The Design of a Health Information System (HIS) Model for the Oregon Department of Human Resources: an exploratory study.	E00500-050
The Effect of Discontinuing Project Grants for Tuberculosis.	E00504-021
The Geographic Distribution of Nurses and Public Policy.	E00506-088
The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers.	E00503-030
The International Dimension, HEW: summary and final report.	E00500-063
The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
The Public Evaluates the National Institute on Alcohol Abuse and Alcoholism Public Education Campaign.	E00503-061
The Role of Health Services Administration.	E00500-003
The Utilization and Impact of the I.C.H.D. (Inter-Society Commission on Heart Disease) Reports; an early evaluation.	E00506-054
Training Program in Health Program Evaluation Techniques.	E00500-040
Training of Site Assessors.	E00506-083
Two Studies on Catchmenting and Catchment-Oriented Services.	E00503-042
User Manual: HSA work planning and monitoring system.	E00507-040
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.	E00503-038
600 INCOME SECURITY	
Effects of Ability, Family Background and Education on Earnings and Careers.	E00510-134
Effects of Interstate Migration in the United States.	E00510-149
Employee-Benefit Plans, 1973.	E00510-010
Reducing Social Security Contributions for Low-Income Workers: Issues and Analysis.	E00510-239

Service Organizations and Clients.	E00510-133
The Effects of Welfare Payments on Family Expenditures.	E00510-141
601 General retirement and disability insurance	
Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows.	E00590-003
Assets on the Threshold of Retirement.	E00510-053
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
Cost-Benefit Analysis of SSDI (Social Security Disability Income) Trust Fund Program.	E00510-148
Coverage and Vesting of Full-Time Employees Under Private Retirement Plans.	E00510-046
Covered Employment and the Age Men Claim Retirement Benefits.	E00510-041
Demographic and Economic Characteristics of Aged-1968 Survey.	E00510-064
Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns.	E00510-050
Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63.	E00510-024
Earnings of Disabled-Worker Beneficiaries.	E00510-029
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Family Structure in Pre-Retirement Years.	E00510-049
Finnish and Swedish Pension Re-Insurance Programs.	E00510-144
Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits.	E00590-008
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
International Social Security Agreements.	E00510-066
Major Social Security Issues: Japan, 1972.	E00510-059
Pension Reform Legislation of 1974.	E00510-018
Program for School Assistance in Federally Affected Areas (SAFA).	E00500-114
Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries.	E00510-056
Relatedness Patterns of Puerto Rican Centenarians.	E00510-151
Retirement History Study: Introduction.	E00510-062
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security Programs Throughout the World, 1973.	E00510-065
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Survey of Newly Entitled Beneficiaries.	E00510-063
The Disabled Widow.	E00510-016
The Effect of Social Security on Personal Saving.	E00510-150
The Retirement Test: An International Study.	E00510-025
Unemployment and Disability: An Econometric Analysis with Time Series Data.	E00510-036
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
Work After Retirement: some psychological factors.	E00510-131
Workmen's Compensation Under Security.	E00510-022
Young Widows and Their Children: A Comparative Report.	E00510-011
603 Unemployment insurance	
Federal Unemployment Benefits Due to Agnes Disaster in Pennsylvania.	E00992-026
604 Public assistance and other income supplements	
'Computer Associated Menu Planning (CAMP).'	E00207-018
12 Area Study (PEP)(Public Employment Program).	E00902-045
1972 National School Lunch Program Survey.	E00207-006
A Comparative Cost Analysis of Alternative Methods for Selling FHA Notes.	E00200-007
A Diffusion Analysis of AFDC Participation.	E00509-024
A Gross Flows Model of AFDC Participation.	E00509-021
A Retrospective Case Review of WIN II Completed Job Entries: grant reductions, services, and welfare savings.	E00509-012
A Study of Alternatives to Commodity Donations to Schools.	E00200-008
A Study of Feasibility of Securing Adequate Information for the Federal Evaluation of the Developmental Disabilities Formula Grant Program.	E00509-007
Acceptability and Suitability of the Expanded Thrifty Recipe Flyers by Low-Income Families.	E00207-022
Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota.	E00299-022
Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1972.	E00904-005
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973.	E00904-006

INCOME SECURITY

BUDGET INDEX

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1974.	E00904-007
An Appraisal of the Special Summer Food Service Program for Children.	E00299-024
An Econometric Model of the Low-Skill Labor Market.	E00509-025
An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit.	E00900-007
An Economic Evaluation of School Lunch Systems.	E00207-016
An Evaluation of the Economic Impact Project of the Public Employment Program.	E00902-005
An Evaluation of the Arizona Job College.	E00902-007
An Impact Evaluation of the Public Employment Program.	E00900-002
Analyses of the Causes of the Growth in Public Housing Operating Subsidies.	E00600-002
Analysis of COMO (Comprehensive Model) Follow-up Data.	E00902-011
Analysis of Early Phase Problems of Comprehensive Manpower Program.	E00902-023
Analysis of Medicaid Spend-Down Experience.	E00509-004
Analysis of Medicaid Buy-In Experience.	E00509-015
Analysis of Social Security Data to Estimate the Impact of Manpower Programs.	E00900-005
Analysis of the Utilization of Social Services by AFDC Recipients.	E00509-023
Assessment of Dietary Adequacy of Program Participants.	E00207-021
Assessment of Quality Control and Corrective Action Activities in Region V.	E00500-202
Assessment of the Work Incentive Program in Washington State.	E00992-020
Benefits Could Be Realized by Revising Policies and Practices for Acquiring Existing Structures for Low Rent Public Housing.	E00694-001
Bibliographies, Notes and Summaries Concerning WIN.	E00509-008
Black Lung Benefits Act of 1972; first annual report on administration of the Act during calendar year 1974 and the transitional period in the second half of 1973.	E00904-016
Black-White Earnings and Employment: 1960-1970.	E00900-027
Bonus Food Stamps and Cash Income Supplements.	E00219-008
Categorical Wage-Bill Subsidies: theory and application.	E00509-027
Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions.	E00902-017
Comparison of State Agency and Fiscal Agent Performance in Medicaid.	E00509-013
Comparison of Type A Pattern and Nutrient Standard Approaches to School Food Service Menu Planning.	E00207-012
Compensating Wage Differentials and Hazardous Work.	E00900-014
Compliance with the Minimum Wage Law: progress report.	E00900-003
Considerations in Developing Welfare Cost Standards.	E00509-019
Conversions to Supplemental Security Income from State Assistance: A Program Records Study.	E00510-008
Cost Benefit of Utilization Review Techniques in Medicaid.	E00509-014
Cost Effectiveness Evaluation of the Urban Concentrated Employment Program.	E00902-022
Cost Structure of the School Lunch Program.	E00207-013
Cost Survey of Foods Purchased by the USDA and Local School Systems.	E00207-009
Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters.	E00994-003
Default Counseling.	E00600-005
Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement.	E00207-020
Demonstration Project for Summer Special Food Service Program for Children.	E00207-026
Department of Housing and Urban Development Should Reduce Handling Fees Paid to Mortgagees Servicing Subsidized Mortgages.	E00693-023
Design and Development of a Data System for PEP (Public Employment Program).	E00902-024
Design and Evaluation of Innovative Staffing Pattern for WIN Child Care Establishments.	E00902-026
Differences in the Total Employment Effects of Government Purchases from the Private Sector and Direct Government Hiring of the Unemployed.	E00900-012
Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970.	E00995-007
Dual Operation in State of Washington.	E00207-023
Early Experience Under the Supplemental Security Income Program.	E00510-027
Econometric Estimation of the Macro Impact of Public Employment and Public Spending.	E00900-009
Economic Considerations for Manpower Revenue Sharing.	E00900-019
Economic Incentives and Occupational Safety.	E00900-036
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Employment and Wage Effects of the WIN Tax Credit.	E00509-026
Estimating the Benefits of Job Banks as a Computerized Record Keeping System.	E00900-015
Evaluating the Impact of CETA (Comprehensive Employment and Training Act) Program on Participants' Earnings: Methodological Issues and Problems.	E00900-037

BUDGET INDEX

INCOME SECURITY

Evaluating the Impact of MDTA Programs on Earnings under Varying Labor Market Conditions.	E00902-016
Evaluation Handbook.	E00500-208
Evaluation Questions Under the Comprehensive Employment and Training Act of 1973.	E00900-038
Evaluation Study of the Developmental Stages of the Comprehensive Manpower Program.	E00902-008
Evaluation Study of Model Ex-Offender Program, Phase III.	E00902-012
Evaluation Study of MDTA--Section 241 Program.	E00902-015
Evaluation Study of the Technology Mobilization and Reemployment Program.	E00902-019
Evaluation Study of the Mandatory Listing Activity.	E00902-020
Evaluation Study of Job Corps Residential Support Centers.	E00902-021
Evaluation Study of the Work Sample Activity.	E00902-027
Evaluation Study of the Counselor Training Program.	E00902-032
Evaluation of Child Care Services.	E00902-031
Evaluation of ES (Employment Service) Technical Services Activity.	E00902-009
Evaluation of Effectiveness and Results of LINCS (Labor Inventory Communication System).	E00902-014
Evaluation of HUD-Mandated Model Lease and Grievance Procedures.	E00604-028
Evaluation of Housing Management Goals Management System.	E00604-029
Evaluation of Job Development in SMSA.	E00902-029
Evaluation of MA Services and Supportive Services to American Indians on Reservations.	E00902-033
Evaluation of MA and Supportive Services to Mexican Americans.	E00902-034
Evaluation of PEP (Public Employment Program) Welfare Demonstration Project.	E00902-044
Evaluation of Pre and Post-Enrollment Services to Job Corps Enrollees.	E00902-025
Evaluation of Supportive Services Provided for Participants of Manpower Programs.	E00902-030
Evaluation of Work Registration Activities Under the Food Stamp Program.	E00902-038
Evaluation of the High School Equivalency Programs for Seasonal Farmworkers.	E00902-004
Evaluation of the Impact of PEP (Public Employment Program) on the Public Sector.	E00902-041
Evaluation of the National Migrant Worker Program.	E00902-040
Evaluation of the Operation of Concentrated Default Counseling.	E00604-027
Evaluation of the Pre-Trial Intervention Program.	E00902-043
Evaluation of the Public Employment Program for Reservation Indians.	E00902-006
Evaluation of the Smaller Communities Program.	E00902-010
Evaluation of the USDA Food Supply Release, Food Marketing Alert.	E00204-003
Factors Affecting Food Habits.	E00207-017
Factors Contributing to the Varying Rates of Participation in the Food Stamp Program.	E00299-018
Five County Food Management Improvement Project.	E00207-010
Food Distribution and Food Stamp Program Effects on Nutritional Achievement.	E00207-002
Food Stamps and Nutrition.	E00207-001
From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan.	E00992-021
Full Employment and Income Security: the role of wage subsidy programs as policy weapons.	E00509-018
Further Evidence on the Impact of the WIN II Program.	E00900-041
Housing for the Elderly--Factors Which Should be Evaluated Before Deciding on Low- or High-Rise Construction.	E00693-024
Housing in the Seventies; a report of the National Housing Policy Review.	E00600-013
Human Resources Demonstration Project.	E00500-215
Impact of Price on Participation in NSLP: a summary.	E00207-015
Impact of Price on School Lunch Participation--Washington State.	E00207-014
Impact of the Recent Inflation on Labor Skill Differentials.	E00900-033
Implications of Discontinuing USDA Commodity Acquisition and Distribution Activities.	E00200-009
Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients.	E00589-005
Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program.	E00589-007
Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse.	E00589-009
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.	E00693-016
Income Transfers as a Public Good: An Application to AFDC.	E00500-076
Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned.	E00590-005
Independent Public Accountant Services.	E00600-083
Ineffective HUD Monitoring of Indian Housing Authorities' Activities.	E00694-008

Inquiry into the Construction of Housing Projects on the Blackfeet Indian Reservation.	E00694-009
Job Bank Evaluation.	E00902-039
Job Matching Under Imperfect Information.	E00900-020
Kentucky Equine Education Program (KEEP).	E00992-025
Land Reclamation Requirements and Their Estimated Effects on the Coal Industry.	E00900-035
Leased Housing Program.	E00600-077
Local Housing Authorities Can Improve Their Operations and Reduce Dependence on Operating Subsidies.	E00694-012
Longitudinal Evaluation of WIN Program.	E00902-013
Longitudinal Evaluation of PEP (Public Employment Program) and Validation of the PEP Data Bank.	E00902-042
Low Rent Occupancy.	E00600-105
Management Performance in Public Housing.	E00604-026
Manpower Program Follow-up Systems.	E00900-026
Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements.	E00589-008
Measuring the Effect of the Federal Government on the Change in the Labor Market Position of Black Male Workers Relative to White Male Workers: 1966 to 1970.	E00900-010
Minimum Wage Legislation in the United States.	E00900-013
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).	E00904-002
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).	E00904-003
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act.	E00904-004
Monitoring Handbook: a management guide.	E00500-217
Monitoring of Housing and Urban Development Investigation of the Pueblo of Laguna Housing Authority.	E00694-011
More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.	E00995-004
National Academy of Science Critical Review of the Use of Social Security Data to Estimate the Effect of Manpower Programs.	E00900-006
National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.	E00207-003
National Survey of Family Food Assistance Participants.	E00207-019
Need for HUD to Collect Excessive Funds Provided to a Housing Authority.	E00694-006
Observation on Program to Repair Existing Houses Insured under the Section 235 Program--District of Columbia Area Office.	E00693-010
Observations on Evaluation of the Special Supplemental Food Program.	E00299-023
Observations on Operation of National Capital Housing Authority.	E00694-003
Observations on the Food Stamp Program.	E00299-025
Operating Subsidies--Low Rent Housing Programs.	E00600-136
Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.	E00693-005
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.	E00693-003
Outpatient Health Care in Inner Cities: Its Users, Services, and Problems.	E00589-010
Participation in the Aid to Families with Dependent Children.	E00509-029
Phase II Food Survey of Institutions.	E00207-025
Practices in Obtaining Credit Reports for Housing and Agricultural Loans.	E00299-020
Presidential Objective on Child Nutrition Programs.	E00207-008
Private Household Workers: data pertinent to an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974.	E00904-001
Private Household Workers...Feasibility and Implications of Extending Minimum Wage Protection Under FLSA.	E00904-020
Problems in Administering Two Eligibility Aspects in the Aid to Families with Dependent Children Program.	E00589-002
Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act.	E00992-002
Problems in the Homeownership Opportunities Program for Low Income Families (HOPLIF).	E00693-018
Problems in the Work Incentive Program in Los Angeles and San Diego.	E00992-027
Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act.	E00900-021
Progress and Problems in Achieving Objectives of School Lunch Program.	E00299-021
Propriety of Minimum Wage Determinations for Clerical and Other Office Employees Under the Service Contract Act.	E00994-001
Quality of Day Care in Region X Before and After School Care. Phase I.	E00500-211

BUDGET INDEX

INCOME SECURITY

Racial Composition in the National School Lunch Program.	E00207-011
Recovery of Nonpayments to Livestock Producers (Sellers Under the Bonds of Registrants).	E00208-003
Regional Adjustments to Family Assistance Plan Payments.	E00509-028
Relationship Between Program Participation and Level of Economic Activity.	E00207-024
Report of Region V Assessment of the Supplementary Security Income Program.	E00500-200
Report on Review of the Division of International Operations, Social Security Administration.	E00500-103
Reports on Rentals.	E00600-130
Review of Child Care Services Provided Under Title IV, Social Security Act.	E00500-101
Review of Modernization Program for Low-Rent Public Housing.	E00694-002
Review of Occupational Safety and Health Activities of Federal Civilian Agencies.	E00995-001
Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department (2).	E00995-002
Review of Occupational Safety and Health Activities of Federal Civilian Agencies--GSA (2).	E00995-003
Rural Development as Related to REA Borrower's Activities.	E00203-001
School Feeding Effectiveness.	E00207-004
Selected Characteristics of the State Supplementation Programs Under the Supplementary Security Income Program (SSI).	E00510-035
Service Utilization Patterns Derived from Cross Tabulations of the 1971 AFDC Survey: a guide to the perplexed.	E00509-016
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
Slow Implementation of the Work Incentive Program in New York City.	E00992-028
Slow Progress of Federal and State Housing Programs in Meeting Housing Needs in Rural Alaska.	E00694-007
Social Security Act Amendments, 1974.	E00510-021
Social Security Amendments of 1972: Summary and Legislative History.	E00510-060
Social Security Programs Throughout the World, 1973.	E00510-065
Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency?	E00589-004
Social Welfare Expenditures, 1972-73.	E00510-045
Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential.	E00900-004
Some Problems in Contracting for Federally Assisted Child-Care Services.	E00589-003
Special Approval and Evaluation of the WIN II Program.	E00509-011
State Supplementation Under Federal SSI (Supplemental Security Income) Program. Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns.	E00900-008
Study of Cost Differentials Between Skilled Nursing Facilities and Intermediate Care Facilities.	E00509-006
Study of Impact of Program Variables Upon Effectiveness of MDTA-OJT and Institutional Training Programs on Earnings.	E00902-037
Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia.	E00992-018
Supplemental Security Income for the Aged: A Comparison of Five Countries.	E00510-040
Survey of Housing Rehabilitation Loan and Grant Program.	E00602-050
Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).	E00906-002
Survey of Public Employment Terminations.	E00902-018
Survey of State ES Experiences in Following Up MA Program Clients.	E00902-028
Survey of Title XIX Long-Term Care Facilities and Patients, volume I.	E00509-005
Target Problem Projects Evaluation.	E00604-030
Tenant Eligibility.	E00600-080
The Economics of Distribution; the impact of public policy.	E00900-025
The Effect of Manpower Training on Earnings: preliminary results.	E00900-028
The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates.	E00900-016
The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved.	E00994-002
The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors.	E00900-029
The Evaluation of the WIN II Program: methodological issues and some initial results.	E00900-001
The Four Questions for Evaluating the Effects of the FLSA (Federal Labor Standards Act) Minimum Wage Provisions.	E00900-039
The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact.	E00900-040
The Low Rent Housing Project on Quebec Road in Cincinnati.	E00692-999
The Neighborhood Youth Corps: An Impact Evaluation.	E00900-018
The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.	E00900-023
The Quality Control System in Welfare: Its Implementation in Pennsylvania.	E00589-001

INCOME SECURITY

BUDGET INDEX

The San Francisco Review. E00904-008
 The Supplemental Security Income Program for the Aged, Blind, and Disabled. E00510-039
 The USDA Study on High School Participation in Child Nutrition Programs. E00207-005
 The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings. E00900-017
 Theories of Search in a Labor Market. E00900-022
 Turnkey III--Homeownership. E00600-106
 Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature. E00900-011
 Validation and Evaluation of the Impact of the WIN II Data System. E00902-003
 Variation in Payment Formulas and Work Incentives. E00509-017
 WIN II Administrative Impact Study. E00509-010
 WIN II Initial Impact Study. E00509-009
 Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act. E00904-010
 Weaknesses in Administration of the Home-Ownership Program for Low-Income Families. E00693-000
 Weaknesses in Administration of the Program to Correct Defects in Housing Insured under the Section 235 Program. E00693-025
 Welfare Policy and Family Splitting. E00509-020
 Welfare and Illegitimacy: an economic model and some preliminary results. E00509-022
 Who's Picking Up the Check for Pennsylvania's School Lunches? E00207-007

700

VETERANS BENEFITS AND SERVICES

Communication and Inquiries Function: Department of Medicine and Surgery. E06504-025
 Organization: Education and Rehabilitation Service. E06504-027
 Reorganization: Department of Medicine and Surgery. E06504-021
 Work Simplification Systems. E06504-023

701 Income security for veterans

Beneficiary Identification Records Locator Subsystem. E06504-017
 Cost Analysis: Present Compensation, Pension and Education Programs. E06504-008
 Cost Study Pilot/Target Systems Test. E06504-003
 Review of Veterans Administration Program Evaluation: Department of Veterans Benefits. E06504-001
 Study of the Agency's Guardianship Role. E06504-011
 Study of the Beneficiary Identification and Records Locator Subsystem (BIRLS). E06504-002
 Transfer of Insurance Functions. E06504-022

702 Veterans education, training, and rehabilitation

Analysis of the Predischarge Education Program. E06504-009
 Beneficiary Identification Records Locator Subsystem. E06504-017
 Cost Analysis: Present Compensation, Pension and Education Programs. E06504-008
 Cost Study Pilot/Target Systems Test. E06504-003
 Delays Experienced by Veterans in Receiving Educational Assistance Payments, Veterans Administration. E06596-013
 Employment Services for Vietnam-Era Veterans Could Be Improved. E00992-024
 Evaluation of Special Manpower Services to Veterans. E00902-035
 Impact Analysis of MDTA Project Transition. E00902-036
 Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans. E06596-003
 Information on Implementation of the Amendments of Public Law 92-540 Which Impacts on Veterans Taking Correspondence Training. E06596-010
 Information on Veterans Administration's Drug Treatment Program and Specific Data on Veterans Administration's Drug Dependents Treatment Centers in New York State. E06595-018
 Management Practices Used by the VA's Denver Regional Office in Assisting Veterans. E06596-004
 Review of Education Certification Program. E06504-007
 Review of Veterans Administration Program Evaluation: Department of Veterans Benefits. E06504-001
 Review of the On-Campus Veterans Student Services Program. E06504-005
 Study of the Beneficiary Identification and Records Locator Subsystem (BIRLS). E06504-002
 Veterans Assistance Program in the Philippines Should Be Improved. E06590-003

703 Hospital and medical care for veterans

ADP Laboratory System. E06504-018
 Adequacy of Medical Staffing in Selected VA Hospitals. E06595-023

BUDGET INDEX

VETERANS BENEFITS AND SERVICES

Administration of Special Provision in Public Law 92-383, the 1973 Appropriations Act.	E06595-005
Architect/Engineer Firm Selection Process.	E06504-016
Beneficiary Identification Records Locator Subsystem.	E06504-017
Better Planning and Management Needed to Improve Utilization and Quality of Specialized Medical Services.	E06595-017
Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans.	E06595-006
Centralized Mail-Out Prescription Systems.	E06504-013
Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals.	E06595-016
Condition of Veterans Memorial Hospital of Republic of the Philippines.	E06595-020
Contracts With Architect-Engineering Firms.	E06593-007
Controls on Use of Psychotherapeutic Drugs and Improved Psychiatrist Staffing Are Needed in Veterans Administration Hospitals.	E06595-022
Department of Medicine and Surgery Survey Report: VA Hospital, Palo Alto, California.	E06504-029
Domiciliary Program.	E06504-024
Improvements Needed in Certain Hospitals' Laboratory Service Activities.	E06595-011
Improvements Needed in Program to Contact and Assist Recently Discharged Veterans.	E06595-012
Information Concerning the Potential Transfer of the St. Albans Naval Hospital to the Veterans Administration.	E06595-010
Information on Professional Fees and Other Compensation Paid for Services of Selected Physicians Employed at the Veterans Administration Hospital in Miami, Florida.	E06595-007
Information on Veterans Administration's Drug Treatment Program and Specific Data on Veterans Administration's Drug Dependents Treatment Centers in New York State.	E06595-018
Information on the Selection of Independent Architect Engineers by the Veterans Administration.	E06593-001
Medical Administrative Processes.	E06504-028
Methods for Estimating Hospital and Nursing Home Bed Requirements in the San Francisco Area.	E06595-004
Monitoring of Demographic Study by VA of Loma Linda, Calif.	E06593-005
Need for Increased Use of Value Engineering: A Proven Cost Saving Technique in Federal Construction.	E06593-004
Outpatient Sub-Clinic in Massachusetts.	E06504-014
Potential for Using Alternative Dietary Systems in Veterans Administration Hospitals.	E06595-003
Program for Providing Nursing Home Care to Veterans in State-Operated Nursing Homes.	E06595-001
Purchase of Foreign-Made Medical Supplies and Equipment by the Veterans Administration.	E06595-013
Regional Patient Data Base.	E06504-020
Report on Survey of VA's Durable Medical Appliances Activities.	E06595-025
Reuse of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals.	E06595-015
Review of Allegations by Veterans About Patient Treatment in the Iowa City VA Hospital.	E06595-021
Review of Veterans Administration Closed Circuit Television System.	E06595-009
Survey of VA Post Activation Evaluation Program.	E06593-008
Systematic External Review Program Survey Report: Veterans Administration Center, Bay Pines, Florida.	E06504-030
The Implementation of Value Engineering.	E06593-003
VA Construction Project Approval: Processes and Budgetary Practices.	E06504-026
Veterans Assistance Program in the Philippines Should Be Improved.	E06590-003
704 Veterans housing	
Cost Benefit Study: Optical Character Recognition Equipment and Proposed System.	E06504-012
Mobile-Home Loan Guaranty Program.	E06596-002
Opportunities to Reduce Costs in Acquiring Properties Resulting from Resulting Defaults on Home Loans.	E00693-002
Opportunities to Reduce Costs in Acquiring Properties Resulting from Defaults on Home Loans.	E06596-001
Review of Veterans Administration Program Evaluation: Department of Veterans Benefits.	E06504-001
Ways to Improve Management of Acquired Residential Properties.	E00694-004
Ways to Improve Management of Acquired Residential Properties.	E06596-006

VETERANS BENEFITS AND SERVICES

BUDGET INDEX

705 Other veterans benefits and services

Development of Long-Range Findings Plans for VA-ADP Activities. E06504-010
 Establishment of National Cemetery System in the Veterans Administration. E06504-006
 How to Improve the Procurement and Supply of Drugs in the Federal Government (DOD, VA, HEW, OMB, and GSA). E99999-001
 Need for Improved Outreach Efforts for Veterans in Prison or on Parole. E06596-005
 Organization: Placement and Functions of the National Cemetery System in the VA. E06504-004
 Program for Providing Nursing Home Care to Veterans in State-Operated Nursing Homes. E06595-001
 Proper Use of the Economic Order Quantity Principle Can Lead to More Savings. E99999-002
 Small Data Processing Centers. E06504-019

750 LAW ENFORCEMENT AND JUSTICE

A Program for Progress. E00800-001
 A Review and Appraisal of the Status of JIMS--The Justice Information Management System. E00800-003
 Alternatives for Establishing a Central Statistical Capability Within the Department of Justice. E00800-012
 Federal Regulation of Lobbying Act--Difficulties in Enforcement and Administration. E00880-003
 The Department of Justice's Civil Disturbance Analysis and Evaluation Function; Report and Recommendations on the Intelligence Analysis Unit. E00800-014

751 Federal law enforcement and prosecution

A Brief Analysis of DEA Coordination with Patrol Forces on the Southwest Border. E00810-002
 A Follow-up of NARA (Narcotic Addict Rehabilitation Act) Patients Who Drop Out Before Completion. E00503-040
 A Review of the Organization of I&NS Regional Offices. E00809-004
 A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice. E00800-013
 A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice. E00809-001
 A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports. E00889-001
 An Analysis of Cross Regional Case Development. E00810-007
 An Analysis of DEA Marihuana Arrestees. E00810-008
 An Analysis of the Effects of Criminalization in Dangerous Drug Arrests. E00810-009
 An Analysis of the Resources, Policies, and Procedures of the Office of Inspection and Internal Security. E00810-004
 Better Controls Needed to Prevent Foreign Students from Violating the Conditions of Their Entry and Stay in the U.S. E00889-004
 Board of Immigration Appeals: a discussion and recommendations for change. E00800-006
 Certain Activities of the N.Y. District Office of the Immigration and Naturalization Service, Department of Justice. E00889-003
 DEA Performance Measurement System (PMS). E00810-005
 DEA Task Force Program: an analysis and recommendations. E00800-007
 Difficulties in Immobilizing Major Narcotics Traffickers. E00890-003
 Drug Treatment Programs and the Federal Criminal Justice System: an analysis and recommendations. E00800-005
 Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses. E01282-001
 Efforts to Prevent Heroin from Illicitly Reaching the U.S. E00880-001
 Efforts to Stop Narcotics and Dangerous Drugs Coming from and through Mexico and Central America. E00890-006
 Enforcement Productivity and Placement of Resources. E00810-006
 Equal Opportunity Activities. E00600-084
 Equal Opportunity--Program Evaluation and Compliance. E00600-137
 Establishment of Operational Priorities--FY 1975. E00809-003
 Evaluation of the Implementation of Alcohol, Tobacco and Firearms as an Independent Bureau. E01200-003
 Federal Efforts to Combat Drug Abuse. E00890-001
 Heroin Being Smuggled into New York City Successfully. E00890-002
 INTERPOL: A Discussion of Its Activities and Membership. E00800-002
 Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough. E00890-004
 Immigration and Naturalization Service; Critical Needs. E00809-002
 Improved Staffing on Major Decisions. E00810-003
 Issues Concerning Supply and Demand for Licit Opium in U.S. as of July 1, 1974. E00890-005
 Letter Report Issues Concerning New Legitimate Sources for Opium, Technology Required to Increase Production from Present Sources, and the State of Research with respect to Synthetic Alternatives. E00880-002
 Letter Report on Computerized Criminal History Information. E00891-005
 More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States. E00889-002

Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C.	E00599-003
Need to Reduce Public Expenditures for Newly Arrived Immigrants and Correct Inequity in Current Immigration Law.	E00889-005
Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.	E00586-003
Problems in Slowing the Flow of Cocaine and Heroin from and through South America.	E00890-007
Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.	E01097-010
Report on the Committee of the Basic Agent Training Program.	E00810-001
Rescission of the Opium Poppy Growing Ban by Turkey.	E01097-022
Services for Special Beneficiaries: Costs Not Being Recovered.	E01282-002
The Heroin Hotline.	E00894-001
The Management, Staffing and Organization of the United States Marshals Service: a critical analysis.	E00800-004
Title VI Civil Rights Enforcement Program.	E06504-015
752 Federal judicial activities	
Justice Litigation Management; A Review of the Process: Policy, Authority, Direction.	E00800-010
Office of the Solicitor General: an analysis and recommendations.	E00800-011
753 Federal correctional and rehabilitative activities	
An Evaluation of the U.S. Board of Parole Reorganization.	E00800-008
Proposals and Actions for Improving the Federal Parole System.	E00888-002
Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough.	E00888-001
Use of Statutory Authority for Providing Inmate Release Funds.	E00888-003
754 Law enforcement assistance	
An Analysis of the Criminal Investigation Process.	E00811-019
An Evaluation of Small Business and Residential Alarm Systems.	E00811-022
An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department.	E00811-021
An Examination of the Impact of Intensive Police Patrol.	E00811-017
Analysis of the Los Angeles District Attorney's Office.	E00811-001
Annual Sample Survey and Area-Based Crime Estimates.	E00811-037
Architectural Design to Improve Security in Urban Residential Areas.	E00811-023
Baltimore Impact Planning and Evaluation.	E00811-043
Completion of the Kansas City Lighting Study.	E00811-025
Computer-Aided Transcription Service Center--Evaluation of Commercial Feasibility.	E00811-006
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects.	E00811-038
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects.	E00811-039
Contract to Evaluate the New England Organized Crime Intelligence System (NEOCIS).	E00811-016
Crime Reduction Through Aerial Patrol.	E00811-015
Dallas Impact Fundings, Evaluation.	E00811-045
Demonstration Project for Closed Circuit Television Case Screening and Legal Counseling.	E00811-005
Demonstration of the Reduction of Pre-Trial Delay.	E00811-003
Denver Impact City Crime Analysis Team.	E00811-046
Detoxification, Decriminalization and the Criminal Justice System.	E00811-013
Develop, Test, and Implement a Computer Simulation Model.	E00811-018
Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting.	E00891-001
Devices for Controlling Sentencing Disparity.	E00811-008
Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime.	E00891-006
Evaluation Design for Indigents Defense Programs.	E00811-007
Evaluation of Community-Based Programs for Adult Offenders.	E00811-041
Evaluation of Criminal Justice Planning Institute.	E00811-050
Evaluation of Instrumentation for the Detection of Polar Organic Aids.	E00811-027
Evaluation of Youth Services Bureaus.	E00811-010
Evaluation of the California Probation Subsidy Program, Phase II.	E00811-009
Evaluation of the Effects of Methadone Treatment on Crime and Criminal Addicts.	E00811-011
Evaluation of the Effects of Alternatives to Incarceration on Juvenile Offenders.	E00811-012
Evaluation of the LEAA Courts Improvement Program, Phase I.	E00811-004

LAW ENFORCEMENT AND JUSTICE

BUDGET INDEX

Evaluative Study of the Equipment Systems Improvement Program (ESIP).	E00811-031
Feasibility Investigation and Test of Coded Taggant Materials for the Identification of Explosives.	E00811-034
Federal Guidance Needed if Halfway Houses are to be a Viable Alternative to Prison.	E00891-014
Federally Supported Attempts to Solve State and Local Court Problems: More Needs to be Done.	E00891-007
How Federal Efforts to Coordinate Programs to Mitigate Juvenile Delinquency Proved Ineffective.	E00891-013
Impact Funding for Planning and Evaluation.	E00811-042
Law Enforcement Standards Laboratory and Support Services.	E00811-035
Law Enforcement Training: an analysis of current and future requirements.	E00800-009
Letter Report on Administration of Discretionary Grant Program by LEAA.	E00891-002
Letter Report on Administration of the Law Enforcement Education Program.	E00891-003
Letter Report on Computerized Criminal History Information.	E00891-005
Lightweight Body Armor.	E00811-032
Long Term Impact of Law Enforcement Assistance Grants Can Be Improved.	E00891-011
National Evaluation of the LEAA Pilot Cities.	E00811-040
National Impact Program Evaluation.	E00811-036
Newark High Impact Evaluation Unit, Office of the Mayor.	E00811-047
Pilot Cities Program: Phaseout Needed Due to Limited National Benefits.	E00891-012
Planning and Evaluation Program, Cleveland Impact Program.	E00811-044
Portland Impact Evaluation Plan.	E00811-048
Problems in Administering Programs to Improve Law Enforcement Education.	E00891-015
Progress in Determining Approaches Which Work in the Criminal Justice System.	E00891-010
Project SEARCH--Latent Fingerprint Study.	E00811-029
Report on Administration of the Program to Reduce Crime in Minnesota.	E00891-004
Residential Neighborhood Crime Control.	E00811-024
Response Time Analysis.	E00811-020
St. Louis Hi-Impact Anti-Crime Evaluation Program.	E00811-049
Study for Alameda County 911.	E00811-030
Sulfur Hexafluoride Taggant for Explosives Detection.	E00811-033
Test and Evaluation of Less Lethal Weapons, Material, and Techniques.	E00811-028
Test of Gimelli System for Training Court Reporters.	E00811-002
The Impact of Decriminalization on the Intake Process for Public Inebriates.	E00811-014

800 GENERAL GOVERNMENT

803 Central fiscal operations

Alternative Location Site Study for Bureau of Engraving and Printing.	E01200-007
Comprehensive Review of Office of the Secretary Personnel Division.	E01200-005
Effectiveness of Independent and Comprehensive Audits of the Inter-American Development Bank.	E01280-003
Improvements Needed in System for Managing U.S. Participation in the Asian Development Bank.	E01280-004
Management and Operational Review of the Office of Equal Opportunity Program.	E01200-002
More Effective United States Participation Needed in World Bank and International Development Association.	E01280-005
Need for a Uniform Method for Paying Interest on Government Trust Funds.	E01280-001
Opportunities for Savings in Interest Cost Through Improved Letter-of-Credit Methods in Federal Grant Programs.	E01280-006
Organization, Function and Staffing Review of Office of Administrative Programs.	E01200-004
States Need, But Are Not Getting, Full Information on Federal Financial Assistance Received.	E01280-002
Survey Leading to Transfer of Office of Industrial Economics from Internal Revenue Service to the Office of Tax Policy.	E01200-006

804 General property and records management

Appraisal of Government-Wide and Internal ADP-Related Activities, General Services Administration.	E03700-006
Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites.	E03790-003
Current Concepts for Preparedness.	E03700-010
Evaluation of the Common Services Pilot Project in the Arcade Plaza Building, Seattle, Washington.	E03700-007
Federal Emergency Health Activities.	E03700-009
Integrated Grant Administration Assessment Report.	E03700-001
Management of Federal Supply Service Procurement Programs Can Be Improved.	E03790-005
Money Management Study.	E03700-004

NARS A-1 General Systems Study Report: Control and Description of Records in the National Archives.	E03700-005
Report on Airport/Community Emergency Medical Preparedness and Federal Emergency Health Preparedness.	E03700-008
Study of Administrative Costs in Federally-Aided Domestic Programs.	E03700-003
The Federal Catalog Program: Progress and Problems in Attaining a Uniform Identification System for Supplies.	E03790-001
805 Central personnel management	
Agencies' Personnel Management Can be Enhanced by Improving the Evaluation Process.	E05990-008
Assessing the Training Needs of Personnel Specialists.	E05916-006
Assessment of Process of Translating Private Enterprise Salary Data into Federal White Collar Salary Adjustments.	E05990-004
Assistance Programs for Displaced Federal Civilian Employees.	E05990-009
Audit of Intergovernmental Personnel Act Grant to the City of Des Moines.	E05914-001
Audit of Intergovernmental Personnel Act Grant to the University of Maine.	E05914-002
Audit of Intergovernmental Personnel Act Grant to the State of Colorado.	E05914-003
Audit of Intergovernmental Personnel Act Grant to the State of Oklahoma.	E05914-004
Audit of Intergovernmental Personnel Act Grant to Cook County, Illinois.	E05914-005
Audit of Intergovernmental Personnel Act Grant to the City of Chicago.	E05914-006
Audit of Intergovernmental Personnel Act Grant to the Kansas League of Municipalities.	E05914-007
Audit of Intergovernmental Personnel Act Grants to the State of North Carolina.	E05914-008
Computer-Assisted Testing: calibration and evaluation of the verbal ability bank.	E05913-003
Disincentives to Effective Employee Training and Development.	E05916-003
Effectiveness of the Requirement for Supervisory Training.	E05990-020
Employee Training in the Federal Service, Fiscal Year 1973.	E05916-005
Employment Opportunities in the Federal Government for the Physically Handicapped.	E05990-007
Employment Programs for Public Offenders in the Federal Service.	E05908-002
Employment of Handicapped Individuals in the Federal Service.	E05908-001
Employment of Handicapped Individuals and Disabled Veterans in the Federal Government.	E05908-008
Evaluation of Regional Certification Practices.	E05908-003
Evaluation of the Federal Summer Intern Program.	E05908-004
Evaluation of the Federal Executive Development Program Assessment Center.	E05913-001
Federal Pre-Retirement Counseling Programs.	E05990-010
Federal Retirement System-Key Issues, Financial Data, and Benefit Provisions.	E05990-006
Guidelines for Evaluation of Employment Practices Under the CETA Act.	E05917-005
Guidelines for Qualitative Evaluation of Personnel Operations in State and Local Governments.	E05917-002
Health Benefits Program Audit Report: Aetna of Williamsport, Pennsylvania.	E05909-001
Health Benefits Program Audit Report: Blue Cross-Blue Shield of Des Moines, Iowa.	E05909-002
IPA Impact.	E05917-003
Improvements Needed in Examining and Selecting Applicants for Federal Employment.	E05990-005
Improving the Effectiveness of the Government Employee's Incentive Awards Program.	E05990-002
Improving the Pay Determination Process for Federal Blue-Collar Employees.	E05990-019
Information on the Federal Program for Training Physician's Assistants.	E05990-001
Managerial and Executive Development Needs in the Federal Service and Recommended Actions for Meeting the Needs.	E05900-001
Method Used to Accomplish Goal of 40,000 Reduction in Civilian Workforce During Fiscal 1975.	E05990-012
Personnel Security Investigations; Inconsistent Standards and Procedures.	E05990-011
Productivity Enhancement Efforts in the Federal Government: a report of survey results, program report evaluation, and implications for research.	E05913-002
Progress Report on Pilot Efforts: Federal Junior Fellowship Program.	E05908-005
Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970.	E05990-015
Quality Assurance Program for Reimbursable Training.	E05916-001
Report of the Study of Classification Accuracy in GS Grade Levels 12-15.	E05911-001
Report on the Affirmative Steps Taken to Ensure the Protection from Coercion of Employees Who Fall within the Purview of the Major RIF Retirement Law.	E05908-006
Report to Congress on Major Reductions in Force.	E05908-007
Review of the Management of the President's Executive Interchange Program.	E05990-013
State and Local Personnel Systems.	E05917-009
Survey of Protective Measures to Safeguard Information in Personnel Files Against Unauthorized Disclosure and Use.	E05990-003

GENERAL GOVERNMENT

BUDGET INDEX

Survey of the Civil Service Commission's Federal Automated Career System.	E05990-016
Survey of the Civil Service Commission's Executive Assignment System.	E05990-018
Survey of the Federal Personnel Management Information System.	E05990-014
Technical Assistance Impact Study.	E05917-006
The IPA Intergovernmental Assignment Programs.	E05917-004
The IPA Results.	E05917-008
The IPA Title IV Intergovernmental Assignment Program, 1973 Report.	E05917-001
Training Can Make a Difference.	E05917-007
Training Leadership Study.	E05916-002
Training Value Model I.	E05916-004
Upward Mobility Programs in the Federal Government Should Be Made More Effective.	E05990-017

850 REVENUE SHARING AND GENERAL PURPOSE FISCAL ASSISTANCE

851 General Revenue Sharing

Case Studies of Revenue Sharing in 26 Local Governments.	E01280-009
Organization and Management Review of Office of Revenue Sharing.	E01200-001
Revenue Sharing: An Opportunity for Improved Public Awareness of State and Local Government Operations.	E01280-010
Revenue Sharing: Its Use By and Impact on State Governments.	E01280-007
Revenue Sharing: Its Use By and Impact on Local Governments.	E01280-008

LAW INDEX

Adult Education Act of 1966	
A Longitudinal Evaluation of the Adult Basic Education Program.	E00501-015
The Adult Basic Education Program: Progress in Reducing Illiteracy and Improvements Needed.	E00581-008
Age Discrimination in Employment Act of 1967	
Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1972.	E00904-005
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973.	E00904-006
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1974.	E00904-007
The San Francisco Review.	E00904-008
Agricultural Act of 1949	
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).	E00209-001
Program Evaluation on 1973 Feedgrain Program Performance.	E00209-002
Agricultural Act of 1954	
A Follow-Up Study of Attitudes of Participants in U.S. Department of Agriculture-Hotelympia 1974.	E00212-011
Analysis of the Tokyo Trade Center Show.	E00212-005
Evaluation of the Italian Identified Soybean Oil Promotion.	E00212-012
Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan Cotton Promotion Institution.	E00212-004
Import Demand for Rice in the EEC: Implications of U.S. Market Promotion.	E00212-003
Observations Regarding the Promotion of Processed Food Products in Germany and the United Kingdom.	E00212-008
Review of the International Institute for Cotton (IIC) Market Development Program.	E00212-009
Review of the National Renderers Association Program in the Far East.	E00212-007
Review of the Rice Council for Market Development Brand Incentive Program.	E00212-010
Agricultural Act of 1964	
A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970.	E00209-005
Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy.	E00209-006
Capitalization of Farm Program Benefits into Land Values.	E00219-001
Distribution of Farm Program Payments by Income of Sole Proprietors.	E00219-002
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).	E00209-001
Examination into Feed Grains and Related Matters.	E00299-009
Farm Programs, Pesticide Use, and Social Costs.	E00209-004
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.	E00299-010
Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program.	E00299-008
Pricing Under Federal Milk Market Regulation: theory, objectives and impact.	E00204-002
Social Cost of Alternative Dairy Price Support Levels.	E00219-003
Uniform Grain Storage Agreement.	E00209-003
Agricultural Act of 1970	
An Evaluation of the ASCS Pilot Public Access Program.	E00209-008
Aspects of the Beekeeper Indemnity Payment Program.	E00299-006
Need for More Guidance in Establishing Recovery Periods for Damaged Bee Colonies to More Effectively Control Payments to Beekeepers.	E00299-007

Agricultural Adjustment Act

A Study of Alternatives to Commodity Donations to Schools. E00200-008
 Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement. E00207-020
 Evaluation of the FY 1974 USDA Special Beef Purchase. E00200-004
 Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert. E00204-001
 Impact of Cashing Out the Food Distribution Program. E00219-004
 Implications of Discontinuing USDA Commodity Acquisition and Distribution Activities. E00200-009
 Implications of Discontinuing USDA Commodity Acquisition and Distribution Activities. E00200-006
 National Survey of Family Food Assistance Participants. E00207-019
 Phase II Food Survey of Institutions. E00207-025

Agricultural Adjustment Act of 1938

Evaluation of the USDA Food Supply Release, Food Marketing Alert. E00204-003

Agricultural Adjustment Act of 1938, as amended

Action Needed to Discourage the Removal of Trees That Shelter Cropland in the Great Plains. E00299-002

Agricultural Extension Work

Survey of Expanded Food and Nutrition Education Program. E00299-013

Agricultural Marketing Act of 1946

Administration of Marketing Orders for Fresh Fruits and Vegetables. E00299-004
 Cash Grain Price Reporting in the Chicago Market. E00204-005
 Processed Fruits and Vegetables:-Potentially Adulterated Products Need to Be Better Controlled:-Sanitation In Some Plants Needs Improvement. E00299-003
 Reaction to the National Agricultural Outlook Conference. E00219-006
 Report on Survey of the Fishery Products Inspection Service, National Oceanic and Atmospheric Administration. E00300-008

Agricultural Marketing Agreement Act of 1937

Price Impacts of Federal Market Order Programs. E00220-002
 Pricing Under Federal Milk Market Regulation: theory, objectives and impact. E00204-002
 Social Cost of Alternative Dairy Price Support Levels. E00219-003

Agricultural Trade Development and Assistance Act of 1954

A Follow-Up Study of Attitudes of Participants in U.S. Department of Agriculture-Hotelympia 1974. E00212-011
 Analysis of the Tokyo Trade Center Show. E00212-005
 Evaluation of the Italian Identified Soybean Oil Promotion. E00212-012
 Food for Peace: An Evaluation of P.L. 480--Title II. E00212-002
 Observations Regarding the Promotion of Processed Food Products in Germany and the United Kingdom. E00212-008
 Review of the International Institute for Cotton (IIC) Market Development Program. E00212-009
 Review of the National Renderers Association Program in the Far East. E00212-007
 Review of the Rice Council for Market Development Brand Incentive Program. E00212-010
 The Case of P.L. 480, The Side Effects of Foreign Aid: Wheat in Colombia. E00212-001

Agricultural Trade Development and Assistance Act of 1954, as amended

An Evaluation Study of the Special Currency Program in Yugoslavia. E00500-043
 Evaluation Study of the Impact of the Special Foreign Currency Health Projects in Yugoslavia and the United States: report of the Yugoslav evaluation team, 1973. E00500-064

Agriculture and Consumer Protection Act of 1973

Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies. E00299-033

Agriculture Marketing Act of 1946**LAW INDEX****Agriculture Marketing Act of 1946**

Consumer Protection Would Be Increased by Improving the Administration of Intra-state Meat Plant Inspection Programs.

E00299-011

Air Quality Act of 1967

Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources.

E02390-005

Airport and Airway Development Act of 1970

ADAP Aid to Private Airports: A Preliminary Assessment and Related Questions for Research.

E01102-033

Airport Opposition: Examination and Program Development.

E01102-031

Alternatives to the Airport Development Aid Program.

E01102-032

Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.

E01192-003

The Airport Passenger Head Tax: analysis of its potential impact.

E01102-056

Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Title III, Part A

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.

E00503-059

Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II.

E00503-029

American Indian Alcoholism Evaluation--Monitoring--Design Project.

E00503-044

Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.

E00503-052

Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry. Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program).

E00503-046

Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program.

E00503-054

Pilot Program for Monitoring and Evaluating the Public Inebriate Program.

E00503-047

E00503-045

Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Title III Part B.

An Evaluation of Five Drug Abuse Education Projects: Parts I & II.

E00500-072

Evaluation of Drug Education Programs.

E00500-034

Alcoholic and Narcotic Addict Rehabilitation Amendments of 1968, Title III, Part B, as amended

Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II.

E00503-036

Asian Development Bank Act

Improvements Needed in System for Managing U.S. Participation in the Asian Development Bank.

E01280-004

Atomic Energy Act of 1954

Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area.

E06086-001

Atomic Energy Act of 1954, as amended

Improvements Needed in the Program for the Protection of Special Nuclear Material. Opportunities for Improving AEC's Administration of Agreements with States Regulating Users of Radioactive Materials.

E06290-004

Opportunity for AEC to Improve Its Procedures for Making Sure that Containers Used for Transporting Radioactive Materials Are Safe.

E06290-002

Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes.

E06290-003

Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems.

E06290-001

E06290-005

Bretton Woods Agreements Act

More Effective United States Participation Needed in World Bank and International Development Association.

E01280-005

Budget and Accounting Act of 1921, as amended

A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717. E03700-002

Budget and Accounting Procedures Act of 1950

Opportunities to Improve Financial Management and Reduce Costs of Loan Administration. E05590-005

Budget and Accounting Procedures Act of 1950, as amended

A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717. E03700-002

Child Nutrition Act of 1966

Observations on Evaluation of the Special Supplemental Food Program. E00299-023
Presidential Objective on Child Nutrition Programs. E00207-008

Civil Rights Act of 1964

Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities. E00586-003

Civil Rights Amendments of 1968

Equal Opportunity--Program Evaluation and Compliance. E00600-137
Equal Opportunity Activities. E00600-084

Civil Service Act

Agencies' Personnel Management Can be Enhanced by Improving the Evaluation Process. E05990-008

Assistance Programs for Displaced Federal Civilian Employees. E05990-009

Computer-Assisted Testing: calibration and evaluation of the verbal ability bank. E05913-003

Effectiveness of the Requirement for Supervisory Training. E05990-020

Employment of Handicapped Individuals in the Federal Service. E05908-001

Employment Opportunities in the Federal Government for the Physically Handicapped. E05990-007

Evaluation of the Federal Summer Intern Program. E05908-004

Evaluation of Regional Certification Practices. E05908-003

Federal Pre-Retirement Counseling Programs. E05990-010

Federal Retirement System--Key Issues, Financial Data, and Benefit Provisions. E05990-006

Health Benefits Program Audit Report: Aetna of Williamsport, Pennsylvania. E05909-001

Health Benefits Program Audit Report: Blue Cross-Blue Shield of Des Moines, Iowa. E05909-002

Improvements Needed in Examining and Selecting Applicants for Federal Employment. E05990-005

Improving the Effectiveness of the Government Employee's Incentive Awards Program. E05990-002

Information on the Federal Program for Training Physician's Assistants. E05990-001

Method Used to Accomplish Goal of 40,000 Reduction in Civilian Workforce During Fiscal 1975. E05990-012

Personnel Security Investigations; Inconsistent Standards and Procedures. E05990-011

Productivity Enhancement Efforts in the Federal Government: a report of survey results, program report evaluation, and implications for research. E05913-002

Progress Report on Pilot Efforts: Federal Junior Fellowship Program. E05908-005

Report of the Study of Classification Accuracy in GS Grade Levels 12-15. E05911-001

Report on the Affirmative Steps Taken to Ensure the Protection from Coercion of Employees Who Fall within the Purview of the Major RIF Retirement Law. E05908-006

Survey of the Civil Service Commission's Executive Assignment System. E05990-018

Survey of the Civil Service Commission's Federal Automated Career System. E05990-016

Survey of the Federal Personnel Management Information System. E05990-014

Survey of Protective Measures to Safeguard Information in Personnel Files Against Unauthorized Disclosure and Use. E05990-003

Clean Air Act of 1963

Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources. E02390-005

General Report of the Air Compliance Review Group. E02300-006

Report of a Study of Control Agency Revenue Fees. E02300-003

Clean Air Act of 1970**LAW INDEX****Clean Air Act of 1970**

Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.

E00300-007**Clean Air Amendments of 1970**

Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources.

E02390-005**Coal Mine Health and Safety Act of 1969**

Evaluation of National Institute for Occupational Safety and Health Short Term Training Program.

E00504-016**Coast Guard Act**

A Study of the Minority Officer Procurement Program of the U.S. Coast Guard.

E01101-014

A Study of the U.S. Coast Guard Intelligence and Security Functions.

E01101-017

A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates.

E01101-013

Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions.

E01101-020

Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center.

E01101-015

Aviation Issue Study.

E01101-010

Cutter Issue Study.

E01101-009

Development of CVS Management Information System.

E01101-005

Government Maritime Communications.

E01101-018

Human Relations in the Coast Guard.

E01101-016

Licensing of Deck and Engineering Officers in the U.S. Merchant Marine.

E01101-002

Radar Observer Testing.

E01101-004

Radio Aids to Navigation for the U.S. Coastal Confluence Region.

E01101-011

Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973.

E01101-006**Colorado River Basin Project Act of 1968**

Problem in Identifying, Developing, and Using Geothermal Resources.

E00780-007**Commercial Fisheries Research and Development Act of 1964, as amended**

Report on Audit of the Marine Resources Monitoring, Assessment and Prediction Program, National Oceanic and Atmospheric Administration.

E00300-001**Commodity Credit Corporation Charter Act**

Policies and Practices for Assessing Fees for Processing Price-Support and Storage Facility and Equipment Loans.

E00299-005

Program Evaluation on 1973 Feedgrain Program Performance.

E00209-002**Commodity Exchange Act, as amended**

Improvements Needed in Regulation of Commodity Futures Trading.

E00299-012**Communicable Disease Control Amendments of 1972**

The Effect of Discontinuing Project Grants for Tuberculosis.

E00504-021**Community Mental Health Centers Act**

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.

E00503-059

Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry.

E00503-046

Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program.

E00503-047**Community Mental Health Centers Amendments of 1970**

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif.

E00503-043**Community Mental Health Centers Amendments of 1970, Title III**

American Indian Alcoholism Evaluation--Monitoring--Design Project.

E00503-044

Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program,

Phase II Final Report.

E00503-052

LAW INDEX**Comprehensive Employment and Training Act of 1973**

Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry. E00503-046
 Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program). E00503-054
 Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program. E00503-047
 Pilot Program for Monitoring and Evaluating the Public Inebriate Program. E00503-045

Community Services Act of 1974

Bank Street Supplemental Study. E00512-015
 Evaluation of Head Start Experience with 'Healthy, That's Me.' E00512-018
 Follow Through National Evaluation: Data Collection and Data Processing. E00501-023
 Research-Based Evaluation of the Tucson Early Education Model. E00512-016
 Retrospective Study of Employee Mobility in Head Start Programs. E00512-019
 Serving Handicapped Children. E00512-021
 Summative Evaluation of the Child and Family Resource Program. E00512-020
 Supplementary Research on Responsive Model for Planned Variation Communities. E00512-017

Comprehensive Alcohol Abuse and Alcoholism Prevention Treatment and Rehabilitation Act of 1970

Monitoring and Evaluating the Operation of Ten DOT/NIAA Joint Alcoholism Programs--Phase I Report and Research Plan. E00503-028

Comprehensive Alcohol Abuse and Alcoholism Prevention Treatment and Rehabilitation Act of 1970, sec. 304 and 311, as amended

American Indian Alcoholism Evaluation--Monitoring--Design Project. E00503-044
 A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism. E00503-059
 Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report. E00503-052
 Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry. E00503-046
 Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program. E00503-047
 Pilot Program for Monitoring and Evaluating the Public Inebriate Program. E00503-045

Comprehensive Drug Abuse Prevention and Control Act of 1970, Title I

An Evaluation of Five Drug Abuse Education Projects: Parts I & II. E00500-072
 Evaluation of Drug Education Programs. E00500-034
 Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program). E00503-054
 Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II. E00503-036

Comprehensive Employment and Training Act of 1973

An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program. E00904-011
 Cleveland Summer Youth Employment Programs. E00992-013
 Concentrated Employment Program in New York City Has Not Met Its Employment Objectives. E00992-001
 Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems. E00992-007
 Employment of Handicapped Individuals and Disabled Veterans in the Federal Government. E05908-008
 Employment Programs for Public Offenders in the Federal Service. E05908-002
 Employment Services for Vietnam-Era Veterans Could Be Improved. E00992-024
 Evaluation of Basic Education Programs Conducted under MDTA Institution Training Program. E00501-044
 Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. E00501-002
 E00501-003
 E00501-004
 E05917-005
 E00992-009
 E00992-025
 Guidelines for Evaluation of Employment Practices Under the CETA Act.
 Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971.
 Kentucky Equine Education Program (KEEP).

Comprehensive Employment and Training Act of 1973**LAW INDEX**

National Rural Development Efforts and the Impact of Federal Programs on a 12-County Rural Area in South Dakota.	E00299-001
Neighborhood Youth Corps and Emergency Employment Programs in Artesia, New Mexico.	E00992-014
Observations on the Effectiveness and Management of Upgrading Training.	E00992-005
Opportunity to Increase Effectiveness of JOBS-Type Programs to be Funded Under CETA (Comprehensive Employment and Training Act).	E00992-022
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.	E00992-023
Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act.	E00992-002
Program Administration at the Atterbury Job Corps Center in Indiana.	E00992-008
Public Employment Programs in East St. Louis, Illinois.	E00992-019
Public Employment Programs in Selected Rural and Urban Areas.	E00992-011
Public Service Benefits from Jobs Under the Emergency Employment Act of 1971.	E00992-010
Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks.	E00992-012
Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas.	E00992-016
Review of Effectiveness and Management of the Neighborhood Youth Corps In-School Program for FY 1971 at Three Locations.	E00992-004
Selection and Enrollment of Participants in Programs Under the Emergency Employment Act of 1971.	E00992-003
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
Study of Vocational Training Skills Achievement at Departmental Job Corps Centers.	E00700-008
The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements.	E00992-015
Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971.	E00992-006

Comprehensive Health Manpower and Training Act of 1971

A Study of the Systems Development Project.	E00506-066
A Study of HSMHA Site Assessment Procedures.	E00506-082
Audit of Minority Reporting System.	E00506-032
Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA.	E00506-061
Dental Auxiliary Utilization: a National Evaluation.	E00506-044
Evaluation/Decisionmaking in Health Planning and Administration.	E00506-080
Factors Affecting Specialty Choice and the Interrelationship Between Specialty Choice and Geographic Location.	E00500-059
Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel.	E00506-070
Nursing Home Ombudsmen; five case studies.	E00506-071
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.	E00506-043

Comprehensive Health Planning Act of 1966

Can University Extension Run Consumer Health Education Programs? An Evaluative Study of Four Demonstrations and Four Controls.	E00506-050
Evaluation of Consumer Health Training and Education Programs.	E00506-042

Consolidated Farm and Rural Development Act

A New Federalism Program Analysis.	E00201-003
FmHA Responsiveness to State and Local Rural Development Plans and Priorities.	E00200-010
FmHA Water and Waste Disposal Program Assistance to Small Rural Communities.	E00200-005

Consolidated Farm and Rural Development Act, as amended

Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims.	E05590-001
Information on Federal Disaster Relief Programs.	E05590-002

Consolidated Farmers Home Administration Act of 1961

A Comparative Cost Analysis of Alternative Methods for Selling FHA Notes.	E00200-007
Actions Needed to Terminate Federal Administration of State Rural Rehabilitation Funds Program.	E00299-015
Complaints Concerning the Rural Housing Loan Program in Five Arkansas Counties.	E00299-014

LAW INDEX**Defense Cataloging and Standardization Act**

Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Industrial Loan and Grant Program.	E00299-019
Effectiveness and Administration of the Rural Rental-Housing Program.	E00299-016
Employment Impacts of the Economic Development Administration's Public Works Program.	E00201-002
Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview.	E00201-001
Practices in Obtaining Credit Reports for Housing and Agricultural Loans.	E00299-020
Ways to Improve Effectiveness of Rural Business Loan Programs.	E00299-017
Consumer Credit Protection Act	
The San Francisco Review.	E00904-008
Consumer Product Safety Act of 1972	
Implementation of the Poison Prevention Packaging Act of 1970.	E30400-101
Cooperative Agricultural Extension Work	
An Evaluation of the Mulligan Stew 4-H Television Series for Extension Service, USDA.	E00215-001
Cooperative Forest Management Act	
The Forest Products Utilization Program (Its Effectiveness and Future Opportunities).	E00216-002
Cooperative Marketing Act of 1926	
Evaluation Report on the Technical Assistance Effort Devoted to Improving Cooperative Firm Operations, FY 1973.	E00220-001
Cooperative Research Act	
Design of Evaluation Plan for the Right to Read Community Based Projects.	E00501-028
Evaluation of the Community Based Right to Read Program.	E00501-011
Evaluation of Federal Programs Supporting Educational Change.	E00501-047
Cooperative Research Act of 1965	
Educational Laboratory and Research and Development Center Programs Need To Be Strengthened.	E00582-001
Cotton Statistics and Estimates Act	
Cash Grain Price Reporting in the Chicago Market.	E00204-005
Economic Impact of Proposed Changes in Beef Grades.	E00204-004
Crime Control Act	
Letter Report on Computerized Criminal History Information.	E00891-005
Crime Control Act of 1973	
Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting.	E00891-001
Davis-Bacon Act	
An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.	E00904-011
Demographic Characteristics of Workers in the Work Clothing Industry.	E00906-003
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).	E00906-002
The San Francisco Review.	E00904-008
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010
Defense Cataloging and Standardization Act	
The Federal Catalog Program: Progress and Problems in Attaining a Uniform Identification System for Supplies.	E03790-001

Demonstration Cities and Metropolitan Development Act of 1966**LAW INDEX****Demonstration Cities and Metropolitan Development Act of 1966**

Annual Arrangements.	E00600-068
Annual Arrangements: improving coordination of community development programs.	E00602-038
Evaluation of Operating Agencies--Model Cities.	E00600-089
Local Government Participation in the A-95 Project Notification and Review System.	E00602-037
Model Cities Program.	E00600-061
Opportunities for Improving the Model Cities Program in San Antonio, Texas.	E00692-004
Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana.	E00692-005
Planned Variation Cities.	E00600-116
Planned Variations: first year survey.	E00602-036
Procedures and Practices for Providing Technical Assistance to Cities Participating in the Model Cities Program.	E00692-001
Review of the Model Cities Program in Dayton, Ohio.	E00692-025
Review of the Model Cities Program in East St. Louis, Illinois.	E00692-021
Review of the Model Cities Program in Lansing, Michigan.	E00692-024
Review of the San Jose, California, Model Cities Program.	E00692-019
Review of Certain Allegations Concerning the Model Cities Planning Council in Dayton, Ohio.	E00692-028
Review of Certain Aspects of the Grand Rapids, Michigan, Model Cities Program.	E00692-014
Review of Certain Aspects of the Model Cities Program in Newark, New Jersey.	E00692-008
Review of Model Cities Program--Housing and Economic Development Operating Agencies.	E00600-113
Selected Aspects of the Boston, Massachusetts Model Cities Program.	E00692-003
The Changing Demand for Local Capacity and Analysis of Functional Programming and Policy Planning.	E00602-035
The Federal Grant Process: an analysis of the use of supplemental and categorical funds in the model cities program.	E00602-034
The Model Cities Program: a comparative analysis of city response patterns and their relation to future urban policy.	E00602-040
The Model Cities Program: a comparative analysis of participating cities, process, product, performance and prediction.	E00602-041
The Model Cities Program: ten model cities--a comparative analysis of second round planning years.	E00602-042
Use of the CDA Sign-off on Model Cities for Planning and Coordinating HEW Programs.	E00602-033
Use of Consultants.	E00600-111

Department of Agriculture Organic Act of 1944:

Crop Insurance and Information Services to Control Use of Pesticides.	E02305-100
An Evaluation of the Witchweed Program.	E00205-001
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.	E00205-004
Citrus Blackfly Program Evaluation.	E00205-003
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.	E00205-005
The Southwestern Screwworm Eradication Program: a review.	E00205-002

Department of Defense Appropriation Authorization Act of 1974

PHS Hospital Manpower Analysis.	E00507-041
---------------------------------	------------

Department of Defense Authorization Act, 1974

Status of Efforts to Offset Balance-of-Payment Deficit for Fiscal Year 1974 Attributable to Maintaining U.S. Forces in Europe.	E00399-002
--	------------

Department of Housing and Urban Development Act of 1965

Need for HUD to Prepare an Environmental Impact Statement for Town of Leesburg, Virginia, Potomac River Water Supply Project.	E00692-022
---	------------

Department of Labor Establishing Act

An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.	E00904-011
Demographic Characteristics of Workers in the Work Clothing Industry.	E00906-003
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).	E00906-002

LAW INDEX**Economic Opportunity Act of 1964, as amended**

Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010
Department of Transportation Act	
Department of Transportation's Cargo Security Program.	E01190-001
Improved Procedures Needed for Implementing Safety Recommendations.	E01192-004
Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials.	E01190-002
Developmental Disabilities Services and Facilities Construction Amendments of 1970	
A Study of Feasibility of Securing Adequate Information for the Federal Evaluation of the Developmental Disabilities Formula Grant Program.	E00509-007
Disaster Relief Act of 1970	
Design of Performance Impact Measures.	E05507-004
Information on Federal Disaster Relief Programs.	E05590-002
Drug Abuse Education Act of 1970	
Evaluation of a Selected Sample of Office of Education Community-Based Drug Abuse Prevention Projects.	E00500-060
Evaluation of Selected Components of Office of Education 'Help Communities Help Themselves' Drug Abuse Prevention Program.	E00500-058
Drug Abuse Office and Treatment Act of 1972	
Information on Veterans Administration's Drug Treatment Program and Specific Data on Veterans Administration's Drug Dependents Treatment Centers in New York State.	E06595-018
The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health.	E00500-068
Drug Abuse Office and Treatment Act of 1972, Title II	
Federal Efforts to Combat Drug Abuse.	E00599-002
Drug Abuse Office and Treatment Act of 1972, Title IV	
An Evaluation of Five Drug Abuse Education Projects: Parts I & II.	E00500-072
Evaluation of Drug Education Programs.	E00500-034
Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II.	E00503-036
Economic Opportunity Act of 1964	
Limited Success of Federally Financed Minority Businesses in Three Cities.	E05590-003
Project Head Start: achievements and problems.	E00591-002
The Economic Opportunity Loan Program: Continuing Evaluation.	E05507-005
Economic Opportunity Act of 1964, as amended	
A Sequential Approach to Early Childhood and Elementary Education.	E00512-001
Bank Street Supplemental Study.	E00512-015
Design for a National Evaluation of Social Competence in Head Start Children.	E00512-002
Evaluation of the Small Business Institute Program--Fall 1972.	E05507-010
Evaluation of the Small Business Institute Program--Spring 1973.	E05507-009
Evaluation of the 8(a) Contract Program (Phases I-III).	E05507-008
Evaluation of Head Start Experience with 'Healthy, That's Me.'	E00512-018
Evaluation Study for the Institute for Developmental Studies Head Start Planned Variation Study.	E00512-013
Final Report of Monitoring Contract of Seven Home Start Grantees	E00512-009
Health Start Final Report of the Evaluation of the Second Year Program.	E00512-008
Health Start: Evaluation of the First Year Program.	E00512-005
Implementation of Head Start Planned Variation Testing and Data Collection Effort.	E00512-011
Overview of the Parent-Child Center Management Information System.	E00512-004
Perspectives on Parent Participation in Project Head Start: an analysis and critique.	E00512-006
Research-Based Evaluation of the Tucson Early Education Model.	E00512-016
Retrospective Study of Employee Mobility in Head Start Programs.	E00512-019
Serving Handicapped Children.	E00512-021
Short-Term Cognitive Effects of Head Start Programs.	E00512-007

Economic Opportunity Act of 1964, as amended**LAW INDEX**

Social Competency in Young Children.	E00512-010
Sponsor Supported Research of the University of Kansas Planned Variation Program.	E00512-014
Study of Minority Borrowers Prior and Subsequent to SBA Assistance.	E05507-011
Summative Evaluation of the Child and Family Resource Program.	E00512-020
Supplementary Evaluation of the Responsive Environment Head Start Program.	E00512-012
Supplementary Research on Responsive Model for Planned Variation Communities.	E00512-017
Toward a New Cognitive Effects Battery for Project Head Start.	E00512-003
Ways to Improve Effectiveness of Rural Business Loan Programs.	E00299-017
Economic Opportunity Amendments of 1967	
A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives.	E05507-001
Limited Success of Federally Financed Minority Businesses in Three Cities.	E05590-003
Management Assistance: An Evaluation of its Extent and Impact on Minority Owned Businesses.	E05507-002
Economy Act of 1932	
Integrated Grant Administration Assessment Report.	E03700-001
Education of the Handicapped Act	
An Evaluation of Federal Programs to Increase the Pool of Special Education Teachers.	E00501-035
Education of the Handicapped Act, Title VI	
Improving Services to Handicapped Children.	E00500-069
Education Amendments of 1972	
Evaluation of Community Group Projects of the Emergency School Assistance Program.	E00501-024
Southern Schools: An Evaluation of the Effect of the Emergency School Assistance Program and of School Desegregation.	E00501-026
Education Amendments of 1974	
Effectiveness of Educational Laboratories and Centers.	E00501-029
Education Professions Development Act	
Full-Scale Implementation of a Process Evaluation System for Programs of the National Center for the Improvement of Educational Systems.	E00501-021
Innovation and Change: A Study of Strategies in Selected Projects Supported by the National Center for the Improvement of Educational Systems.	E00501-022
Supply and Demand Conditions for Teachers and Implications for Federal Programs.	E00581-003
Education Professions Development Act, Part B-1	
Review of use of Financial Reports Required by the Office of Education under the Teacher Corps Program.	E00500-105
Educational Television and Radio Amendments of 1969	
Federal Role in Children's Television Programming.	E00501-030
Egg Products Inspection Act	
An Incident of Contamination of Livestock Feed and Certain Consumer Products.	E00585-002
Elementary and Secondary Education Act of 1963, as amended	
Federal Programs for the Education of the Handicapped: issues and problems.	E00581-005
Elementary and Secondary Education Act of 1965	
A Process Evaluation of the Bilingual Education Program, Title VII, Elementary and Secondary Education Act.	E00501-025

An Analysis of the Relationship Between Reading and Mathematics Achievement Gains and Per-Pupil Expenditures in California Title I Projects.	E00501-014
An Assessment of Career Guidance, Counseling, and Placement Activities in Secondary Schools for Non-College Bound Students.	E00501-016
An Evaluation of Educational Programs in State Operated and State-Supported Schools for Handicapped Children.	E00501-033
Development of Packages for Effective Approaches in Compensatory Education.	E00501-046
Evaluation of the Impact of ESEA Title I Programs for Migrant Children of Migrant Agricultural Workers.	E00501-027
Evaluation of Federal Programs Supporting Educational Change.	E00501-047
Evaluation of Selected Aid-to-States Programs for the Education of the Handicapped.	E00501-034
Further Study of Performance Contracting in Education.	E00501-045
The Evolution of Educational Performance Contracting in Five School Districts.	E00501-013
The Process of Funds Allocation Under Title I of the ESEA of 1965.	E00501-012
Elementary and Secondary Education Act of 1965, Title I	
Evaluation of Educational Achievement Test Measures as an Eligibility Criterion in the Better Schools Act Formula.	E00500-056
Elementary and Secondary Education Act of 1965, Title I, as amended	
Follow-up Review of Migrant Children Education, Office of Education.	E00500-100
Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York.	E00500-118
Emergency Jobs and Unemployment Assistance Act of 1974	
Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems.	E00992-007
Program Administration at the Atterbury Job Corps Center in Indiana.	E00992-008
Public Service Benefits from Jobs Under the Emergency Employment Act of 1971.	E00992-010
Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks.	E00992-012
Emergency Medical Services Act of 1973	
Assessment of Emergency Medical Systems.	E00507-052
Evaluation of Emergency Medical Service Systems Impact.	E00507-073
Evaluation of Legal Barriers to Emergency Medical Systems Implementation.	E00507-053
Guidelines for Patient Record-Keeping Systems for Emergency Medical Service.	E00507-051
Emergency Medical Services Systems Act	
A Study of the Nature and Function of the Emergency Medical Service Advisory Councils in Relation to Selected Community Variables.	E00507-021
Emergency Petroleum Allocation Act of 1973	
Problem in the Federal Energy Administration's Compliance and Enforcement Effort.	E02990-002
Problems in the Federal Energy Office's Implementation of Emergency Petroleum Allocation Programs at Regional and State Levels.	E02990-001
Problems of Independent Refiners and Gasoline Retailers.	E02990-003
Employment Security	
Employment Services for Vietnam-Era Veterans Could Be Improved.	E00992-024
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.	E00992-023
Equal Employment Opportunity Act of 1972	
Upward Mobility Programs in the Federal Government Should Be Made More Effective.	E05990-017
Executive Order No. 6166, Sec. 4, June 10, 1933	
Need for a Uniform Method for Paying Interest on Government Trust Funds.	E01280-001
Opportunities for Savings in Interest Cost Through Improved Letter-of-Credit Methods in Federal Grant Programs.	E00509-001
Executive Order 11051, September 27, 1962	
Current Concepts for Preparedness.	E03700-010
Federal Emergency Health Activities.	E03700-009
Report on Airport/Community Emergency Medical Preparedness and Federal Emergency Health Preparedness.	E03700-008

8 OF 10



Executive Order 11246, September 24, 1965, as amended

LAW INDEX

Executive Order 11246, September 24, 1965, as amended

Management and Operational Review of the Office of Equal Opportunity Program.

E01200-002

Executive Order 11246, September 24, 1965, as amended; Executive Order 11375, October 13, 1967

The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved.

E00994-002

Executive Order 11282; National Environmental Policy Act of 1969

Need for Federal Agencies to Improve Solid Waste Management Practices.

E02390-001

Executive Order 11508

More Specific Criteria Needed to Improve Real Property Surveys.

E03790-004

Executive Order 11541, January 19, 1969

Review of the Management of the President's Executive Interchange Program.

E05990-013

Executive Order 11625

Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program.

E00300-027

Final Report of the OMBE (Office of Minority Business Enterprise) Management Task Force.

E00300-026

Executive Order 11717

Money Management Study.

E03700-004

Study of Administrative Costs in Federally-Aided Domestic Programs.

E03700-003

Executive Order 5398, July 21, 1930

Domiciliary Program.

E06504-024

Fact Finders Act of 1924

Water & Land Resource Accomplishments, 1973.

E00716-002

Fair Labor Standards Act

Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters.

E00994-003

Propriety of Minimum Wage Determinations for Clerical and Other Office Employees Under the Service Contract Act.

E00994-001

Fair Labor Standards Act of 1938

An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.

E00904-011

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act.

E00904-002

E00904-003

E00904-004

Private Household Workers...Feasibility and Implications of Extending Minimum Wage Protection Under FLSA.

E00904-020

Private Household Workers: data pertinent of an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974.

E00904-001

Sheltered Workshops Under the Fair Labor Standards Act.

E00904-012

The San Francisco Review.

E00904-008

Fair Packaging and Labeling Act

Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report.

E00505-014

Evaluation of the FDA Consumer Deputy Program.

E00505-009

Manpower Management Program, Executive Director of Regional Operations (EDRO), Field Organization, FDA.

E00505-011

Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project Idea 35.

E00505-006

LAW INDEX**Federal Aviation Act of 1958****Fair Packaging and Labeling Act, sec. 4-5**

Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public.

E00585-014

Farm Labor Contractor Registration Act

The San Francisco Review.

E00904-008

Farmers Home Administration Act of 1946

Actions Needed to Terminate Federal Administration of State Rural Rehabilitation Funds Program.

E00299-015

Federal Aviation Act of 1958

A Simulation of the DABS Sensor for Evaluating Reply Processor Performance.

E01102-028

Aircraft Sound Description System Application Procedures.

E01102-046

Aircraft Taxiing Noise Measurement.

E01102-005

Aircraft-Pilot and other Pre-Employment Experience as Factors in the Selection of Air Traffic Controllers Trainees.

E01102-022

Aircraft-Pavement Compatibility Study.

E01102-011

Airport Vicinity Air Pollution Study.

E01102-034

Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis.

E01102-006

An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded Third Generation ATC System.

E01102-020

Augmented Radar Beacon Tracking Level System Design Specification.

E01102-014

ATC Surveillance/Communication Analysis and Planning.

E01102-027

Behavioral, Automatic, and Subjective Reactions to Low and Moderate Level Simulated Sonic Booms.

E01102-023

Collision Avoidance Ground Station Analysis.

E01102-025

Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic.

E01102-010

Concorde Landing Requirement Evaluation Tests.

E01102-055

Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.

E01192-003

Development and Test of Low Impact Resistance Structures.

E01102-048

Development of a Discrete Address Beacon System.

E01102-029

Development of a Non-Survey Method Estimating Traffic at Non-Towered Airport.

E01102-012

Development of Microwave Landing System Implementation Criteria.

E01102-047

Discrete Address Beacon System/Air Traffic Control Interface Configuration Document.

E01102-015

Dual Lane Runway Study.

E01102-037

DAIR System Radar Target Relationships.

E01102-017

Evaluation of a Very Low Frequency Navigation System.

E01102-051

Evaluation of an Experimental Elevated High-Intensity Runway Edge Light.

E01102-049

Evaluation of an Experimental High-Intensity, Inset Runway Edge Light Fixture.

E01102-050

Evaluation of the Rubino Procedure for Radio Telemetric Theodolite Positioning.

E01102-054

Evaluation of Facilities and Equipment: Great Lakes Region.

E01102-062

Evaluation of Free Distribution of AIM.

E01102-058

Evaluation of Nation-Wide Preflight Weather Briefing Procedures.

E01102-059

Evaluation of Premature Descents at High Density Terminals.

E01102-061

Evaluation of Technician Certification Program.

E01102-063

Evaluation of Terminal Radar Service: stage III.

E01102-060

Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System.

E01102-009

Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting.

E01102-004

FAA Lightning Protection Study.

E01102-035

FAA Report on Airport Capacity.

E01102-036

General Aviation Aircraft Safety: The Princeton University Conference.

E01102-007

Hazardous Materials Program Review.

E01102-057

Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers.

E01102-021

Measurement and Analyses of ASR-4 System Error.

E01102-018

Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique.

E01102-003

Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique.

E01102-008

Military Air Traffic Forecast: 1975-1986.

E01102-019

Multipath Channel Simulation and Modern Evaluation Program.

E01102-044

Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft.

E01192-001

Federal Aviation Act of 1958**LAW INDEX**

New York Offshore Airport Feasibility Study.	E01102-013
Objective Measurement Techniques for Evaluating Voice Communication Channels.	E01102-052
Prevalence and Incidence of Disease Among Airmen Medically Certified.	E01102-041
Provisional Message Formats for the DABS/NAS Interface.	E01102-026
Pulse Compression Radar Study.	E01102-024
Quiet Nacelle Retrofit Feasibility Program: compatibility analysis and design study.	E01102-002
Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing.	E01102-001
Radar Target Detection Employing On-Line Estimation of the Video Correlation.	E01102-016
Report of National Airspace System En Route Related Support and Data Reduction and Analysis Programs.	E01102-040
Systems for Transporting Aircraft on the Ground: feasibility and concept study.	E01102-038
Technical Evaluation of Weather Clutter Feasibility Model.	E01102-030
Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Televised Radar Display Applications.	E01102-043
Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar.	E01102-042
Test and Evaluation of ATCRBS Electronic Scan Antenna.	E01102-045
TCA/ERS Effectiveness Study.	E01102-053
Federal Aviation Administration, DOT.	
Automated Reliability Assessment Program.	E01102-039
Federal Boat Safety Act of 1971	
Recreational Boating in the Continental United States in 1973: the nationwide boating survey.	E01101-019
Federal Boating Act nationwide boating survey.	
Recreational Boating in the Continental United States in 1973: the nationwide boating survey.	E01101-019
Federal Bureau of Investigation	
Letter Report on Computerized Criminal History Information.	E00891-005
Federal Coal Mine Health and Safety Act of 1969	
Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows.	E00590-003
Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969.	E00791-002
	E00599-005
Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits.	E00590-008
Improvements Needed in the Assessment and Collection of Penalties--Federal Coal Mine Health and Safety Act of 1969.	E00791-001
Organizational Evaluation and Information Needs Study.	E00504-014
Program Operational Planning.	E00504-015
Federal Coal Mine Health and Safety Act of 1969, as amended	
Black Lung Benefits Act of 1972; first annual report on administration of the Act during calendar year 1974 and the transitional period in the second half of 1973.	E00904-016
Federal Crop Insurance Act	
An Evaluation of Insurance Experience.	E00211-001
Analysis of Individual Underwriting Progress and Problems.	E00211-002
Cotton Evaluation of Coverage Reductions and Rate Increases.	E00211-004
Evaluation of Experience Under Improved Management Practice for Corn.	E00211-003
Federal Employees Immediate Retirement Act	
Report on the Affirmative Steps Taken to Ensure the Protection from Coercion of Employees Who Fall within the Purview of the Major RIF Retirement Law.	E05908-006
Report to Congress on Major Reductions in Force.	E05908-007
Federal Energy Administration Act of 1974	
Problems of Independent Refiners and Gasoline Retailers.	E02990-003

LAW INDEX**Federal Import Milk Act****Federal Environmental Pesticide Control Act of 1972**

Development of a Contingency Plan for Certification of Pesticide Applications. E02300-009
Man and His Environment: Environmental Protection Agency Efforts to Remove
Hazardous Pesticides from the Channels of Trade. E02390-004
Pesticides: Action Needed to Protect the Consumer from Defective Products. E02390-008

Federal Food, Drug, and Cosmetic Act

A Proficiency Test Assessment of Clinical Laboratory Capability in the United States. E00500-052
Assessment of the Food and Drug Administration's Handling of Reports on Adverse
Reactions from the Use of Drugs. E00585-007
Extending Effective Date of the Food and Drug Administration's Diagnostic X-Ray
Equipment Standard. E00585-009
Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project
Idea 35. E00505-006
Preliminary Field Resource Allocation Model. E00505-015
Problems in Obtaining and Enforcing Compliance with Good Manufacturing Practices
for Drugs. E00585-004
Processed Fruits and Vegetables:--Potentially Adulterated Products Need to Be Better
Controlled--Sanitation In Some Plants Needs Improvement. E00299-003

Federal Food, Drug, and Cosmetic Act, as amended

Lack of Authority Limits Consumer Protection: Problems in Identifying and Removing
from the Market Products which Violate the Law. E00585-001

Federal Food, Drug, and Cosmetic Act, sec. 301, 401-403

Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome
and Misbranded Foods to the Public. E00585-014

Federal Food, Drug, and Cosmetic Act, sec. 401

Excluding Substandard Canned Pineapple from the United States. E00585-012

Federal Food, Drug, and Cosmetic Act, sec. 501-503

Evaluation of Status of Standards for Diagnostic Materials. E00504-018
Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Re-
called by the General Electric Company. E00585-011
Public Hazards from Unsatisfactory Medical Diagnostic Products. E00585-013

Federal Food, Drug and Cosmetic Act, sec. 505

Evaluation of Poison Control System: Vol. I, Project Results, Conclusions, and Rec-
ommendations; Vol. II, Evaluation Framework and Models for performing On-Go-
ing Evaluation of the Poison Control System; Vol. III, Ta E00505-010

Federal Food, Drug and Cosmetic Act, sec. 601-603

An Investigation of Consumers' Perceptions of Adverse Reactions to Cosmetic Prod-
ucts; final report. E00505-012

Federal Food, Drug, and Cosmetic Act, Chapter I-V

Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and
Other Crops Have Not Been Answered. E00585-010

Federal Food, Drug, and Cosmetic Act, Chapter V

An Incident of Contamination of Livestock Feed and Certain Consumer Products. E00585-002
Evaluation Study of the Antibiotic Certification Program; final report. E00505-013
Supervision Over Investigational Use of Selected Drugs. E00585-006

Federal Hazardous Substances Act, as amended

Lack of Authority Limits Consumer Protection: Problems in Identifying and Removing
from the Market Products which Violate the Law. E00585-001

Federal Import Milk Act

Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project
Idea 35. E00505-006

Federal Insecticide, Fungicide and Rodenticide Act of 1974, as amended

LAW INDEX

Federal Insecticide, Fungicide and Rodenticide Act of 1974, as amended

Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered.

E00585-010

Federal Insecticide, Fungicide, and Rodenticide Act

Aspects of the Beekeeper Indemnity Payment Program.

E00299-006

Need for More Guidance in Establishing Recovery Periods for Damaged Bee Colonies to More Effectively Control Payments to Beekeepers.

E00299-007

Federal Insecticide, Fungicide, and Rodenticide Act of 1947

Development of a Contingency Plan for Certification of Pesticide Applications.
Man and His Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.

E02300-009

Pesticides: Action Needed to Protect the Consumer from Defective Products.

E02390-004
E02390-008

Federal Noxious Weed Act

APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.

E00205-004

Citrus Blackfly Program Evaluation.

E00205-003

Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.

E00200-001

The Southwestern Screwworm Eradication Program: a review.

E00205-002

Federal Pay Comparability Act of 1970

Assessment of Process of Translating Private Enterprise Salary Data into Federal White Collar Salary Adjustments.

E05990-004

Improving the Pay Determination Process for Federal Blue-Collar Employees.

E05990-019

Federal Plant Pest Act

An Evaluation of the Witchweed Program.

E00205-001

APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.

E00205-004

Citrus Blackfly Program Evaluation.

E00205-003

Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.

E00200-001

The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.

E00205-005

The Southwestern Screwworm Eradication Program: a review.

E00205-002

Federal Property and Administrative Services Act of 1949

Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites.

E03790-003

How to Improve the Procurement and Supply of Drugs in the Federal Government (DOD, VA, HEW, OMB, and GSA).

E99999-001

Management of Federal Supply Service Procurement Programs Can Be Improved.

E03790-005

More Specific Criteria Needed to Improve Real Property Surveys.

E03790-004

The Federal Catalog Program: Progress and Problems in Attaining a Uniform Identification System for Supplies.

E03790-001

Federal Property and Administrative Services Act of 1949, as amended

An Analysis of Selected Factors of the SBA-Forest Service Timber Set-Aside Program.
Evaluation of the Common Services Pilot Project in the Arcade Plaza Building, Seattle, Washington.

E05507-007

NARS A-1 General Systems Study Report: Control and Description of Records in the National Archives.

E03700-007

The Federal Reclamation Program; its impacts, issues and future considerations.

E03700-005

E00716-001

Federal Regulation of Lobbying Act

Federal Regulation of Lobbying Act--Difficulties in Enforcement and Administration.

E00880-003

Federal Water Pollution Control Act

Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf,

E00792-001

Federal Water Pollution Control Act of 1956

Evaluation of the Report on Interceptor Sewers and Suburban Sprawl.

E02300-005

Review of the Municipal Waste Water Treatment Works Program.

E02300-004

LAW INDEX**Food Stamp Act of 1964**

Federal Water Pollution Control Act of 1956, as amended	
Need to Improve Administration of the Water Pollution Research, Development, and Demonstration Program.	E02390-002
Report of the Permit Program Review Group.	E02300-001
The Final Report of the Permit Program Review Group.	E02300-002
Federal Water Pollution Control Act Amendments of 1972	
Cleaning Up the Great Lakes: United States and Canada are Making Progress in Controlling Pollution from Cities and Towns.	E02390-011
Implementation of Federal Water Pollution Control Act Amendments of 1972 is slow. International Trade Study.	E02390-009
Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.	E00300-024
Need to Improve Administration of the Water Pollution Research, Development, and Demonstration Program.	E02390-003
Report of a Study of Control Agency Revenue Fees.	E02390-002
Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do.	E02300-003
	E02390-007
Federal Water Pollution Control Act, as amended	
Evaluation of the Report on Interceptor Sewers and Suburban Sprawl.	E02300-005
Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.	E02390-003
Report of the Administrator's Special Construction Grants Task Force.	E02300-008
Review of the Municipal Waste Water Treatment Works Program.	E02300-004
Federal Water Project Recreation Act of 1965	
Managing Recreation Facilities at Bureau of Reclamation Reservoirs.	E00780-006
Federal-Aid Highway Act of 1973	
Advance Right-of-Way Acquisition Program.	E01103-004
Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.	E01193-001
Federal Participation in Cost of States Internal Review Activities.	E01103-002
Preparation of Programming Documents.	E01103-005
Program Review of Right-of-Way Program.	E01103-001
Public Involvement in Planning Public Works Projects Should Be Increased.	E01192-002
Review of Availability of Right-of-Way Statistics.	E01103-008
Review of Construction Inspection Procedures.	E01103-003
Review of Materials Sampling and Testing Procedures.	E01103-006
Review of Right-of-Way Records and Reports.	E01103-007
Federally Impacted Areas, Titles I and IV	
Program for School Assistance in Federally Affected Areas (SAFA).	E00500-114
Flood Control Act of 1944	
Southwestern Federal Power Program--Financial Progress and Problems.	E00780-002
Flood Disaster Protection Act of 1973	
Actions Needed to Provide Greater Insurance Protection to Flood-Prone Communities.	E00696-002
National Flood Insurance.	E00600-140
Food and Agriculture Act of 1965	
Farm Programs, Pesticide Use, and Social Costs.	E00209-004
Food for Peace Act	
Food for Peace, an Evaluation of P.L. 480, Title II.	E01017-001
Food Stamp Act of 1964	
Acceptability and Suitability of the Expanded Thrifty Recipe Flyers by Low-Income Families.	E00207-022

Food Stamp Act of 1964**LAW INDEX**

Assessment of Dietary Adequacy of Program Participants.	E00207-021
Bonus Food Stamps and Cash Income Supplements.	E00219-008
Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement.	E00207-020
Dual Operation in State of Washington.	E00207-023
Food Distribution and Food Stamp Program Effects on Nutritional Achievement.	E00207-002
Food Stamps and Nutrition.	E00207-001
National Survey of Family Food Assistance Participants.	E00207-019
National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.	E00207-003
Observations on the Food Stamp Program.	E00299-025
Relationship Between Program Participation and Level of Economic Activity.	E00207-024
Food Stamp Act of 1964, as amended	
Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota.	E00299-022
Factors Contributing to the Varying Rates of Participation in the Food Stamp Program.	E00299-018
Foreign Assistance Act of 1961	
A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management.	E01017-005
Audit of Loan Program Financial Statements for Fiscal Years 1971, 1970, and 1969.	E01097-006
Intercountry Evaluation of Agriculture Sector Programs: Colombia, Costa Rica, Guatemala.	E01017-003
Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama.	E01017-004
Intercountry Evaluation of Education Credit Institutions in Latin America.	E01017-002
Foreign Assistance Act of 1961, as amended	
Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis.	E01097-001
Assistance to Family Planning Programs in Southeast Asia.	E01097-007
Cost and Use of Personnel in the Agency for International Development.	E01097-021
Development Assistance to Korea.	E01097-011
Evaluating Fertilizer Subsidies in Developing Countries.	E01017-008
Food for Peace, an Evaluation of P.L. 480, Title II.	E01017-001
Funding and Reporting of Agency for International Development Overhead Costs Should be More Realistic.	E01097-025
Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India.	E01097-002
Need to Improve Language Training Programs and Assignments for U.S. Government Personnel Overseas.	E01097-003
Progress and Problems of U.S. Assistance for Land Reform in Vietnam.	E01097-009
Regional Poultry Project in West Africa.	E01097-012
Rescission of the Opium Poppy Growing Ban by Turkey.	E01097-022
Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis.	E01097-017
Summary of U.S. Assistance to Jordan.	E01097-014
The Brazilian Economic Boom: How Should the United States Relate to It?	E01097-020
U.S. Assistance to the Khmer Republic (Cambodia).	E01097-016
U.S. Foreign Aid to Education: Does Brazil Need It?	E01097-013
United States Economic Assistance to Turkey.	E01097-023
United States Interests and Activities in Nepal.	E01097-005
United States Programs in Ghana.	E01097-018
What Should U.S. Policy Be for Development Assistance to Ecuador?	E01097-004
Foreign Assistance Act of 1961, Title III, as amended	
Interim Report on the Agency for International Development's Housing Investment Guaranty Program.	E01097-008
Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program.	E01097-024
Foreign Assistance Act of 1961, Title X	
U.S. Grant Support of International Planned Parenthood Federation Needs Better Oversight.	E01097-015

Forest and Grasslands, Protection

- Allowable Harvest Determinations on National Forest Lands. E00299-031
 Follow-up on the Need to Resolve Differences in Procedures Used by Federal Timber Management Agencies in Appraising Timber Offered for Sale. E00299-030
 Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests. E00299-028
 More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand. E00299-029

Forest Perpetuation and Reforestation

- Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies. E00299-033
 Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. E00216-001
 Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists. E00216-003
 The Forest Products Utilization Program (Its Effectiveness and Future Opportunities). E00216-002

Forest Products, etc.

- Improvements Needed in Planning Allowable Harvests. E00299-026

Forest Service

- Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land. E00299-027

General Education Provisions Act

- Review of the Implementation of Comparability Provisions, Public Law 91-230, Office of Education. E00500-119

Geothermal Steam Act of 1970

- Problem in Identifying, Developing, and Using Geothermal Resources. E00780-007

Golden Nematode Act

- APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. E00205-004
 Citrus Blackfly Program Evaluation. E00205-003
 Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971. E00200-001
 The Southwestern Screwworm Eradication Program: a review. E00205-002

Government Employees Training Act

- Assessing the Training Needs of Personnel Specialists. E05916-006
 Disincentives to Effective Employee Training and Development. E05916-003
 Employee Training in the Federal Service, Fiscal Year 1973. E05916-005
 Evaluation of the Federal Executive Development Program Assessment Center. E05913-001
 Managerial and Executive Development Needs in the Federal Service and Recommended Actions for Meeting the Needs. E05900-001
 Quality Assurance Program for Reimbursable Training. E05916-001
 Training Leadership Study. E05916-002
 Training Value Model I. E05916-004

Great Lakes Pilotage Act of 1960

- Great Lakes Pilotage Review. E01101-012

Halogeton Glomeratus Act

- An Evaluation of the Witchweed Program. E00205-001
 APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. E00205-004
 Citrus Blackfly Program Evaluation. E00205-003
 The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. E00205-005
 The Southwestern Screwworm Eradication Program: a review. E00205-002

Hatch Act

- Evaluation of USDA Research Program on Housing. E00213-001

Health Maintenance Organization Act of 1973

- Analysis of Barriers to Successful HMO Development. E00507-063

Health Maintenance Organization Act of 1973

LAW INDEX

Better Controls Needed for Health Maintenance Organizations under Medicaid in California.	E00587-005
Development of Alternatives for an Evaluation of the Costs and Effectiveness of Inclusion of Mental, Dental, and Pharmaceutical Benefits in Health Maintenance or Related Organizations.	E00507-059
Development of Baseline Data for Evaluation of Selected HMO's.	E00507-037
Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organizations and Community Health Networks.	E00587-007
Evaluation of the Accomplishments of Selected HMO'S Funded Projects.	E00507-054
Evaluation of Intermediate Care Beds in a Health Maintenance Organization.	E00507-085
Health Maintenance Organization Cost Study.	E00507-043
HMO Evaluation Schema-Phase I.	E00507-038
Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services.	E00507-023
Management and Manpower Study of Health Maintenance Organization (HMO) Activities in HSA.	E00507-061
Marketing Health Maintenance Organizations to Low Income Persons: a case study approach.	E00507-032
Potential Impact of the Health Maintenance Organization Act of 1973 on the Development of Rural HMO's.	E00507-067
Health Manpower and Improvement Act of 1970	
Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education.	E00506-078
Health Manpower and Training Act of 1971 Collection and Dissemination for Health Professions Education.	
Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education.	E00506-078
Health Manpower Act of 1968	
An Approach to the Evaluation of the Effectiveness of Continuing Dental Education.	E00506-093
Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems.	E00506-086
Dental Auxiliary Utilization: a National Evaluation.	E00506-044
Development of a System to Monitor Quality Nursing Care.	E00506-084
Effectiveness and Efficiency of Nursing Education Programs.	E00506-062
Evaluation of the Application of Instructional Technology in Selected Basic Nursing Education Programs.	E00506-064
Evaluation of the Primary Objectives of the Long-term Professional Nurse Traineeship and Special Nurse Fellowship Programs.	E00506-052
Evaluation of EEO Policies and Practices in Health Professions Educational Institutions.	E00506-107
Evaluation of Public Health Training Grants.	E00506-096
National Area Health Education Center Programs External Assessment Design.	E00506-069
Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students.	E00506-081
The Geographic Distribution of Nurses and Public Policy.	E00506-088
Health Manpower Act of 1971	
Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education.	E00506-078
Health Programs Extension Act of 1968	
Evaluation of the Effectiveness of CHPS Agency Assessments, Performance Standards, and Technical Assistance Activities in Strengthening CHP (Comprehensive Health Planning) Agency Performance.	E00506-101
Health Programs Extension Act of 1973	
A Study for Evaluation of Methods for Determining Outpatient Facility Needs; final report.	E00506-097
An Evaluation of Deficiencies in Program Study Designs.	E00506-106
An Evaluation of State Hill-Burton Reporting Systems for Free Care and Community Services to the Poor, Provided for by Federally Assisted Health Facilities.	E00506-105
Development of a Training Package and Delivery of Training for Site Assessment of Comprehensive Health Planning Agencies 3144b and the Development of Assessment Components, Procedures, and Reporting Formats for 314 Agency Site Assessments.	E00506-057

Evaluation of the Emergency Medical Services Demonstration Projects.	E00506-103
Evaluation of the Impact of Physician Extender Algorithms on Productivity.	E00506-089
Evaluation of Current Practices and Methods in Utilization of Data for Health Program Planning Management and Evaluation--An Evaluation of the Uses of Data From State Health Interview Surveys.	E00506-100
Evaluation of Non-Response in the Health and Nutrition Examination Survey (HANES); Part I: Development and Evaluation of Imputation Procedures for HANES; Part II: Study of Factors Leading to Participation and Non-Participation.	E00506-092
Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education.	E00506-099
Medicaid Study--Public Spending for Personal Medical Care: An Analysis of the Utilization, Cost, and Quality of Care.	E00506-104
On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.	E00506-090 E00506-087
Health Services Research, Health Statistics and Medical Libraries Act of 1974	
A Study of the Systems Development Project.	E00506-066
A Study of HSMHA Site Assessment Procedures.	E00506-082
An Evaluation of the Effects of Hospital Cost Finding Procedures on the Determination of Ambulatory Service Costs.	E00506-102
Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA.	E00506-061
Evaluation/Decisionmaking in Health Planning and Administration.	E00506-080
Health Statistics Today and Tomorrow.	E00506-038
Nursing Home Ombudsmen; five case studies.	E00506-071
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.	E00506-043
Health Training Improvement Act of 1970	
Dental Auxiliary Utilization: a National Evaluation.	E00506-044
Effectiveness and Efficiency of Nursing Education Programs.	E00506-062
National Area Health Education Center Programs External Assessment Design.	E00506-069
Higher Education Act of 1965	
A Study of the Education Professions Development Act Training Programs for Higher Education Personnel.	E00501-020
A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates.	E00501-007
A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects.	E00501-005 E00501-006
A Study of Title III of the Higher Education Act: The Developing Institutions Program.	E00501-019
Assessment of the Teacher Corps Program.	E00581-001
Private Accreditation and Public Eligibility.	E00501-008
Problems of the Upward Bound Program in Preparing Disadvantaged Students for a Postsecondary Education.	E00581-004
Study of Cooperative Education.	E00501-037
Study of Special Allowance and Servicing Costs in the Guaranteed Student Loan Program.	E00501-036

Higher Education Act of 1965**LAW INDEX**

- Survey of Lender Prepayment Terms and Survey of Repayment Burden Among Borrowers. E00501-040
The Demand for Facilities in the Post-secondary Sector, 1975 to 1990. E00501-010
The Federal College Work-Study Program: a status report. E00501-018
- Higher Education Amendments of 1968**
A Study of the Special Services for Disadvantaged Students Program. E00501-041
- Higher Education Amendments of 1972**
Analysis of Comparative Impacts of Existing BEOG Needs Analysis System. E00501-039
Analysis of Differential Treatments Under Basic Educational Opportunity Grants Needs Analysis. E00501-038
- Highway Safety Act of 1966**
Alcohol Safety Action Project: evaluation of operations. E01107-002
Alcohol Safety Action Projects: evaluation of operations, 1973. E01107-003
Assessment of Selected State and Community Programs. E01107-001
Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs. E01197-004
Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires. E01197-006
Evaluation of Motor Vehicle Safety Standards. E01107-004
For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. E01197-001
Improvements Needed in Planning and Using Motor Vehicle Safety Research. E01197-003
Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards. E01197-002
The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles. E01197-005
- Highways**
Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land. E00299-027
- Honeybee Act**
An Evaluation of the Witchweed Program. E00205-001
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. E00205-004
Citrus Blackfly Program Evaluation. E00205-003
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971. E00200-001
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. E00205-005
The Southwestern Screwworm Eradication Program: a review. E00205-002
- Housing and Community Development Act of 1974**
Determinants of State and Local Expenditures: an annotated bibliography. E00600-011
National Rural Development Efforts and the Impact of Federal Programs on a 12-County Rural Area in South Dakota. E00299-001
Need for More Timely and Accurate Data on the Operations of Certain Community Development Programs. E00692-002
Procedures for Distribution of Community Development Block Grant Funds. E00600-120
- Housing and Urban Development of 1968**
National Flood Insurance. E00600-140
- Housing and Urban Development Act of 1965**
Analyses of the Causes of the Growth in Public Housing Operating Subsidies. E00600-002
Areawide Planning Certificates. E00600-115
Neighborhood Facilities Program. E00600-070
Relocation Payments. E00600-067
Relocation. E00600-087
Survey of Housing Rehabilitation Loan and Grant Program. E00602-050
Uncompleted Resources Development Projects. E00600-118
Use of Facilities. E00600-119
- Housing and Urban Development Act of 1965, Title X**
Title X Land Development. E00600-006
- Housing and Urban Development Act of 1968**
Actions Needed to Provide Greater Insurance Protection to Flood-Prone Communities. E00696-002

An Inquiry into the Relative Emphasis of Program Policies on Housing Needs in the Suburbs and in Older Declining Areas.	E00600-018
Government National Mortgage Association (GNMA) Mortgage-Backed Securities.	E00600-121
Opportunities for Improvement in Coordination of Housing Planning Efforts between State and Interstate Regional Planning Agencies and in the Department of Housing and Urban Development Guidelines on Updating Housing Studies.	E00692-017
The Effects of FHA Activity in Older, Urban, Declining Areas: a review of existing, related analysis.	E00600-010
Housing and Urban Development Act of 1969	
Mortgage Insurance for Nursing Homes--Section 232.	E00600-124
Housing and Urban Development Act of 1970	
Getting the New Communities Program Started; progress and problems.	E00692-023
Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants.	E00795-001
The Federal Crime Insurance Program: how it can be made more effective.	E00696-003
Housing and Urban Development Act of 1970, as amended	
Observation on Housing Allowances and the Experimental Housing Allowance Program.	E00693-019
Program Evaluation.	E00600-109
Housing and Urban Development Act of 1974	
Opportunities for Improving the Model Cities Program in San Antonio, Texas.	E00692-004
Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana.	E00692-005
Procedures and Practices for Providing Technical Assistance to Cities Participating in the Model Cities Program.	E00692-001
Review of the Model Cities Program in Dayton, Ohio.	E00692-025
Review of the Model Cities Program in East St. Louis, Illinois.	E00692-021
Review of the Model Cities Program in Lansing, Michigan.	E00692-024
Review of the San Jose, California, Model Cities Program.	E00692-019
Review of Certain Allegations Concerning the Model Cities Planning Council in Dayton, Ohio.	E00692-028
Review of Certain Aspects of the Grand Rapids, Michigan, Model Cities Program.	E00692-014
Review of Certain Aspects of the Model Cities Program in Newark, New Jersey.	E00692-008
Selected Aspects of the Boston, Massachusetts Model Cities Program.	E00692-003
Housing Act of 1937, as amended	
Problems in the Homeownership Opportunities Program for Low Income Families (HOPLIF).	E00693-018
Housing Act of 1949	
Actions Needed to Terminate Federal Administration of State Rural Rehabilitation Funds Program.	E00299-015
Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia.	E00692-011
Area Office of Application Procedures.	E00600-074
Code Enforcement Program.	E00600-065
Complaints Concerning the Rural Housing Loan Program in Five Arkansas Counties.	E00299-014
Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Industrial Loan and Grant Program.	E00299-019
Effectiveness and Administration of the Rural Rental-Housing Program.	E00299-016
Need to Improve Management of Urban Renewal Projects.	E00692-018
Neighborhood Development Program.	E00600-062
Practices in Obtaining Credit Reports for Housing and Agricultural Loans.	E00299-020
Rehabilitation Loans and Grants.	E00600-060
Review of Certain Aspects of the Federally Assisted Code Enforcement Program in Denver, Colorado.	E00692-020
Review of Certain Aspects of the Urban Renewal Program in Lynn, Massachusetts.	E00692-012
Use of Consultants.	E00600-111
Ways to Improve Effectiveness of Rural Business Loan Programs.	E00299-017
Housing Act of 1949, as amended	
Annual Administrative Budget.	E00600-091
Close-out of Urban Renewal Projects.	E00600-063

Housing Act of 1949, as amended**LAW INDEX**

Closeout of Urban Renewal Projects.	E00600-093
Evaluating Local Urban Renewal Projects.	E00602-054
Evaluation of On-Going Urban Renewal Activities.	E00600-114
Evaluation of Urban Renewal Activities.	E00600-092
Final Land Acquisition--Urban Renewal.	E00600-090
Guidelines for Urban Renewal Land Disposition.	E00602-046
Non-cash Local Grants-in-aid.	E00600-071
One for One OE/CPD Replacement Housing: the impact of section 105(h) on the NDP/Urban Renewal Program.	E00602-051
Prices Paid for Property Acquired in Urban Renewal Programs in the District of Columbia.	E00692-007
Selected Aspects of the Neighborhood Development Program.	E00692-006
The Future of Local Urban Development.	E00602-055
The Impact of Exogenous Factors Upon Urban Renewal Land Disposition.	E00602-045
Urban Renewal Land Acquisition (Proclaimer).	E00600-066
Urban Renewal Land Acquisition Activities.	E00600-117
Urban Renewal Land Disposition.	E00600-085
Urban Renewal Land Disposition Activities.	E00600-072
	E00600-112
Urban Renewal Land Disposition Studies: Boston, Mass.	E00602-048
Urban Renewal Land Disposition Studies: Durham, N.C.	E00602-056
Urban Renewal Land Disposition Studies: Kingston, N.Y.	E00602-043
Urban Renewal Land Disposition Studies: Louisville, Ky.	E00602-058
Urban Renewal Land Disposition Studies: St. Paul, Minn.	E00602-057
Urban Renewal Land Disposition Studies: Supplementary Cities.	E00602-049
Urban Renewal Land Disposition Studies: Tulsa, Oklahoma.	E00602-059
Urban Renewal Land Disposition Study: literature search.	E00602-047
Housing Act of 1950	
Opportunities to Reduce Costs in Acquiring Properties Resulting from Defaults on Home Loans.	E06596-001
Ways to Improve Management of Acquired Residential Properties.	E00694-004
	E06596-006
Housing Act of 1950, as amended	
College Housing Loan.	E00600-132
College Housing Management.	E00600-129
Housing Act of 1954, as amended	
Comprehensive Planning Programs.	E00600-064
Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs.	E00602-032
Coordination of Federal Planning Assistance Programs.	E00600-007
Multi-Family Mortgage Insurance Programs--Feasibility Processing to Final Endorsement.	E00600-126
Opportunities for Improvement in Coordination of Housing Planning Efforts between State and Interstate Regional Planning Agencies and in the Department of Housing and Urban Development Guidelines on Updating Housing Studies.	E00692-017
Survey of Administration of the Planned Variations Program.	E00692-013
Housing Act of 1959	
Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois.	E00693-008
HUD's Comparison of Costs under Sections 202 and 236 for Housing Projects for the Elderly.	E00693-001
Mortgage Insurance for Nursing Homes--Section 232.	E00600-124
Housing Act of 1961	
Area Office of Application Procedures.	E00600-074
Housing Assistance Research.	E00600-138
Uncompleted Resources Development Projects.	E00600-118
Housing Act of 1961, as amended	
An Assessment of the Open Space Certification Procedure.	E00602-044
Linear Parkway: bay area rapid transit urban beautification demonstration project.	E00602-052
Open Space and Recreation Opportunity in America's Inner Cities.	E00602-053
Open Space Program.	E00600-069

LAW INDEX**Intergovernmental Personnel Act of 1970**

Housing Act of 1964 Follow-Up Review of the Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia. Section 312 Loans (Limited Review).	E00692-027 E00600-094
Housing Act of 1965 Review of Certain Aspects of the Federally Assisted Code Enforcement Program in Denver, Colorado.	E00692-020
Housing Act of 1968 Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.	E00693-005
Housing Amendments of 1955 Mobile Home Courts--Application Processing. Mobile Home Parks Underwriting Procedures.	E00600-097 E00600-076
Housing Amendments of 1955, as amended Public Facility Loans. Uncompleted Resources Development Projects.	E00600-088 E00600-118
Humane Slaughter Act Consumer Protection Would Be Increased by Improving the Administration of Intrastate Meat Plant Inspection Programs.	E00299-011
Immigration and Nationality Act A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice. A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports. Better Controls Needed to Prevent Foreign Students from Violating the Conditions of Their Entry and Stay in the U.S. Board of Immigration Appeals: a discussion and recommendations for change. Certain Activities of the N.Y. District Office of the Immigration and Naturalization Service, Department of Justice. More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States. Need to Reduce Public Expenditures for Newly Arrived Immigrants and Correct Inequity in Current Immigration Law.	E00800-013 E00889-001 E00889-004 E00800-006 E00889-003 E00889-002 E00889-005
Immigration and Nationality Act of 1952 A Review of the Organization of I&NS Regional Offices. A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice. Establishment of Operational Priorities--FY 1975. Immigration and Naturalization Service...Critical Needs.	E00809-004 E00809-001 E00809-003 E00809-002
Immigration and Nationality Act of 1952, as amended Administration of the Alien Labor Certification Program Should Be Strengthened.	E00992-029
Inter-American Development Bank Act, as amended Effectiveness of Independent and Comprehensive Audits of the Inter-American Development Bank.	E01280-003
Intergovernmental Cooperation Act of 1968 Local Government Participation in the A-95 Project Notification and Review System.	E00602-037
Intergovernmental Cooperation Act of 1968, Title II States Need, But are not Getting, Full Information on Federal Financial Assistance Received.	E01280-002
Intergovernmental Cooperation Act of 1968, Title IV Integrated Grant Administration Assessment Report.	E03700-001
Intergovernmental Personnel Act of 1970 Audit of Intergovernmental Personnel Act Grant to the City of Chicago. Audit of Intergovernmental Personnel Act Grant to the City of Des Moines.	E05914-006 E05914-001

Intergovernmental Personnel Act of 1970**LAW INDEX**

Audit of Intergovernmental Personnel Act Grant to the Kansas League of Municipalities.	E05914-007
Audit of Intergovernmental Personnel Act Grant to the State of Colorado.	E05914-003
Audit of Intergovernmental Personnel Act Grant to the State of Oklahoma.	E05914-004
Audit of Intergovernmental Personnel Act Grant to the University of Maine.	E05914-002
Audit of Intergovernmental Personnel Act Grant to Cook County, Illinois.	E05914-005
Audit of Intergovernmental Personnel Act Grants to the State of North Carolina.	E05914-008
Guidelines for Qualitative Evaluation of Personnel Operations in State and Local Governments.	E05917-002
IPA Impact.	E05917-003
Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970.	E05990-015
State and Local Personnel Systems.	E05917-009
Technical Assistance Impact Study.	E05917-006
The IPA Intergovernmental Assignment Programs.	E05917-004
The IPA Results.	E05917-008
The IPA Title IV Intergovernmental Assignment Program, 1973 Report.	E05917-001
Training Can Make a Difference.	E05917-007
International Travel Act of 1961	
Foreign Visitor Travel to The United States can be Increased.	E00399-004
Report on Audit of Activities Associated With Domestic Tourist Services and Facilities, United States Travel Service.	E00300-005
Review of the Organization and Operations of the United States Travel Service (USTS).	E00300-029
Interstate Land Sales Full Disclosure Act	
Need for Improved Consumer Protection in Interstate Land Sales.	E00696-001
Juvenile Delinquency Prevention Act	
Deinstitutionalization--Delinquent Children.	E00509-050
Measures of Delinquency: problems and findings.	E00509-031
Measuring Benefits from Prison Reform.	E00509-051
National Evaluation of Youth Service Systems.	E00513-001
The Impact of Compensatory Education and Desegregation on Juvenile Delinquency.	E00509-033
Juvenile Justice and Delinquency Prevention Act of 1974	
Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime.	E00891-006
Letter Report on Administration of the Law Enforcement Education Program.	E00891-003
Letter Report on Administration of Discretionary Grant Program by LEAA.	E00891-002
Progress in Determining Approaches Which Work in the Criminal Justice System.	E00891-010
Report on Administration of the Program to Reduce Crime in Minnesota.	E00891-004
Land and Water Conservation Fund Act of 1965, as amended	
Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants.	E00795-001
Lead-Based Paint Poisoning Prevention Act, Title I, as amended	
An Evaluation of Program Elements and Impact of Federal and Local Lead-Based Paint Poisoning Grant Programs.	E00504-017
Evaluation of Lead-Poisoning Prevention Programs.	E00500-032
Library Services and Construction Act	
Evaluation of the Public Library and Analysis of its Present and Future Role.	E00501-031
Evaluation of LSCA Services to Special Target Groups.	E00501-009
Federal Library Support Programs: progress and problems.	E00581-006
Manpower Development and Training Act	
Assistance Programs for Displaced Federal Civilian Employees.	E05990-009

Marine Resources and Engineering Development Act of 1966	
Report on Audit of the National Sea Grant Program, National Oceanic and Atmospheric Administration.	E00300-003
Medical Facilities Construction and Modernization Act of 1970	
Evaluation of Hill-Burton Bed Need Formula: Short-Term General Hospital Beds.	E00506-035
Hill Burton Consultation Activities.	E00506-059
Mental Retardation Facilities and Community Health Centers Construction Act of 1963, as amended	
A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.	E00503-020
A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.	E00503-037
A Survey of the Effectiveness of Coordination of HEW Funded Programs Related to Mental Retardation.	E00500-047
Assessment of Child Mental Health Needs and Programs.	E00503-062
Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont.	E00503-049
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.	E00503-018
Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Feasibility Study and Measuring Efficiency of Mental Health Services.	E00503-060
Need for More Effective Management of Community Mental Health Centers Program.	E00599-004
North Carolina Community Mental Health Center Evaluation Project; final report of planning phase.	E00503-051
Resource Materials for Community Mental Health Program Evaluation.	E00503-055
Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City.	E00503-026
Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00503-019
The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers.	E00503-030
Trends in Sources of Funds for Community Mental Health Centers.	E00503-064
Two Studies on Catchmenting and Catchment-Oriented Services.	E00503-042
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.	E00503-038
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif.	E00503-043
Mental Retardation Facilities and Community Mental Health Centers Construction Act of 1963, Title II, Part F, as amended	
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas.	E00503-033
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina.	E00503-032
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project.	E00503-035
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania.	E00503-034
Merchant Marine Act of 1936	
Government Support of the Shipbuilding Industrial Base.	E00399-005
Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration.	E00300-010
Report on Audit of Selected Pollution Abatement Activities, Maritime Administration.	E00300-012
Merchant Marine Act of 1936, as amended	
Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration.	E00300-015
Merchant Marine Act of 1970	
Government Support of the Shipbuilding Industrial Base.	E00399-005
Report on Audit of the Cargo Preference Program, Maritime Administration.	E00300-004

Mexican Pink Bollworm Act**LAW INDEX****Mexican Pink Bollworm Act**

An Evaluation of the Witchweed Program. E00205-001
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. E00205-004
Citrus Blackfly Program Evaluation. E00205-003
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971. E00200-001
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. E00205-005
The Southwestern Screwworm Eradication Program: a review. E00205-002

Migratory Bird Conservation Act

Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974. E00709-006

Mineral Leasing Act for Acquired Lands

Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey. E00712-008
Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management. E00780-005
Onshore Lease Management Program Study for the U.S. Geological Survey. E00712-007
Review of Royalty Accounting System for Onshore Oil and Gas Leases. E00712-013
Royalty Accounting System Study of Solid Mineral Leasing Activities. E00712-014

Mineral Leasing Act of 1920, as amended

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands. E00780-001
Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey. E00712-008
Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management. E00780-005
Onshore Lease Management Program Study for the U.S. Geological Survey. E00712-007

Mineral Leasing Act Revision of 1960

Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program. E00780-009

Mining Law of 1872

Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey. E00712-008
Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management. E00780-005
Onshore Lease Management Program Study for the U.S. Geological Survey. E00712-007
Review of Royalty Accounting System for Onshore Oil and Gas Leases. E00712-013
Royalty Accounting System Study of Solid Mineral Leasing Activities. E00712-014

Multiple Use and Sustained Yield Act of 1960

Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land. E00299-027
More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand. E00299-032

Narcotic Addict Rehabilitation Act of 1966

A Follow-up of NARA (Narcotic Addict Rehabilitation Act) Patients Who Drop Out Before Completion. E00503-040
Drug Treatment Programs and the Federal Criminal Justice System: an analysis and recommendations. E00800-005
Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C. E00599-003

National Cemeteries Act of 1973

Establishment of National Cemetery System in the Veterans Administration. E06504-006
Organization: Placement and Functions of the National Cemetery System in the VA. E06504-004

National Environmental Policy Act of 1969

Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program. E00780-009

National Forests, Management

Allowable Harvest Determinations on National Forest Lands.	E00299-031
Follow-up on the Need to Resolve Differences in Procedures Used by Federal Timber Management Agencies in Appraising Timber Offered for Sale.	E00299-030
Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests.	E00299-028
More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand.	E00299-032
More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand.	E00299-029

National Health Planning and Resources Development Act of 1974

A Study of the Systems Development Project.	E00506-066
A Study of HSMHA Site Assessment Procedures.	E00506-082
An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning.	E00506-055
Analysis of Results of State and Areawide Comprehensive Health Planning Agency Assessments.	E00506-098
Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA.	E00506-061
Development, Application and Testing of a Need Assessment Manual for Areawide CHP Agencies.	E00506-072
Evaluation of Hill-Burton Standards.	E00506-085
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.	E00506-043
Training of Site Assessors.	E00506-083

National Housing Act Amendments of 1938

Multi-Family Default Study.	E00600-004
-----------------------------	------------

National Housing Act, as amended

Department of Housing and Urban Development Should Reduce Handling Fees Paid to Mortgagees Servicing Subsidized Mortgages.	E00693-023
Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.	E00693-004

National Housing Act, 1934

Assessment of Programs Assigned to Assistant Secretary for Housing Production and Mortgage Credit.	E00603-031
Delays in Final Endorsement.	E00600-123
Department of Housing and Urban Development's 'as is' Sales Program in Flint, Michigan.	E00693-021
Differences in Costs of Purchasing Houses in Seven States through the Departments of Housing and Urban Development and Agriculture.	E00693-022
Disposal of Acquired Properties in Detroit, Michigan: progress and problems.	E00693-015
Evaluation of Housing Management Goals Management System.	E00604-029
Greater Benefits Can Be Derived from Improved HUD Audits of Program Participants.	E00690-001
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.	E00693-016
Loan Management Functions on Multifamily Projects.	E00600-135
Mortgage Insurance for Nursing Homes--Section 232.	E00600-124
Mortgagees' Origination Costs.	E00600-075
Multi-Family Application Processing.	E00600-096
Need for Improvement in Providing Funds to Local Public Agencies.	E00693-006
New Construction--Single-Family Accelerated Subdivision Processing.	E00600-098
Operations of a Special Mortgage.	E00600-100
Opportunities for Energy Conservation in Federally Insured Homes by Revising Current Thermal Standards and Establishing Additional Standards Where Necessary.	E00693-013
Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.	E00693-002
Processes for Approving and Monitoring Nonsupervised Mortgages.	E00693-011
Residential Subdivision Processing.	E00600-122
Single Family Appraisal/Inspection.	E00600-095
Summary Information on HUD-Held Multifamily Mortgages.	E00693-020
The Changing Role of FHA Mortgage Insurance in the Mortgage Market and the Secondary Market.	E00600-025
Title I Property Improvement and Mobile Home Loans.	E00600-125
Weaknesses in Administration of the Program to Correct Defects in Housing Insured under the Section 235 Program.	E00693-025

National Housing Act, 1934, as amended**LAW INDEX****National Housing Act, 1934, as amended**

An Analysis of Section 234--HUD Condominium Programs.	E00600-008
Area Managers Activities.	E00600-133
Bulk Sales--Rehabilitation, Property Disposition: Banner Ltd.	E00600-139
Default Counseling.	E00600-005
Disposition of Acquired Single Family Properties.	E00600-131
Evaluation of the Operation of Concentrated Default Counseling.	E00604-027
Multifamily Acquired Properties Rehabilitation/Disposition.	E00600-134
Observation on Program to Repair Existing Houses Insured under the Section 235 Program--District of Columbia Area Office.	E00693-010
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.	E00693-003
Project Rehabilitation.	E00600-099
Single-Family Property Disposition.	E00600-003

National School Fund Act, as amended

An Appraisal of the Special Summer Food Service Program for Children.	E00299-024
---	------------

National School Lunch Act

'Computer Associated Menu Planning (CAMP).'	E00207-018
An Economic Evaluation of School Lunch Systems.	E00207-016
Comparison of Type A Pattern and Nutrient Standard Approaches to School Food Service Menu Planning.	E00207-012
Cost Structure of the School Lunch Program.	E00207-013
Cost Survey of Foods Purchased by the USDA and Local School Systems.	E00207-009
Demonstration Project for Summer Special Food Service Program for Children.	E00207-026
Factors Affecting Food Habits.	E00207-017
Five County Food Management Improvement Project.	E00207-010
Impact of Price on Participation in NSLP: a summary.	E00207-015
Impact of Price on School Lunch Participation--Washington State.	E00207-014
Implications of Discontinuing USDA Commodity Acquisitions and Distribution Activities.	E00200-006
Racial Composition in the National School Lunch Program.	E00207-011
School Feeding Effectiveness.	E00207-004
The USDA Study on High School Participation in Child Nutrition Programs.	E00207-005
Who's Picking Up the Check for Pennsylvania's School Lunches?	E00207-007
1972 National School Lunch Program Survey.	E00207-006

National School Lunch Act, as amended

Progress and Problems in Achieving Objectives of School Lunch Program.	E00299-021
--	------------

National Science Foundation Act of 1950, as amended

Achievements, Cost, and Administration of the Ocean Sediment Coring Program.	E04690-001
Science Development: an evaluation study.	E04600-002
Science Development, University Development, and the Federal Government.	E04600-001

National Sea Grant College and Program Act of 1966

Report on Audit of the National Sea Grant Program, National Oceanic and Atmospheric Administration.	E00300-003
---	------------

National Traffic and Motor Vehicle Safety Act of 1966

Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs.	E01197-004
Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires.	E01197-006
For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards.	E01197-001
Improvements Needed in Planning and Using Motor Vehicle Safety Research.	E01197-003
Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards.	E01197-002
The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles.	E01197-005

Naval Stores Act

Economic Impact of Proposed Changes in Beef Grades.	E00204-004
---	------------

Nurse Training Act of 1971

An Evaluation of the Effectiveness of Loan Forgiveness as an Incentive for Health Practitioners to Locate in Medically Underserved Areas.	E00500-045
---	------------

Effectiveness and Efficiency of Nursing Education Programs.	E00506-062
Evaluation of the Application of Instructional Technology in Selected Basic Nursing Education Programs.	E00506-064
FY 74 Evaluation Plan, Bureau of Health Manpower Education.	E00506-060
The Geographic Distribution of Nurses and Public Policy.	E00506-088
Nurse Training Act of 1975	
A Study of the Systems Development Project.	E00506-066
A Study of HSMHA Site Assessment Procedures.	E00506-082
Audit of Minority Reporting System.	E00506-032
Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA.	E00506-061
Evaluation/Decisionmaking in Health Planning and Administration.	E00506-080
Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel.	E00506-070
Nursing Home Ombudsmen; five case studies.	E00506-071
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.	E00506-043
Occupational Safety and Health Act of 1970	
Administration of Small Business Loan Program Under the Occupational Safety and Health Act.	E05590-004
Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970.	E00995-007
More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.	E00995-004
Program Operational Planning.	E00504-015
Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department (2).	E00995-002
Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois.	E00995-006
Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places.	E00599-006
Older Americans Act of 1965	
Assessing the Status and Needs of Older Americans; survey utilization manual.	E00511-010
Costs of Alternative Care Settings for the Elderly.	E00509-035
Determinants of Long-Term Care Facility Utilization by the Elderly: an empirical analysis.	E00509-041
Development of Data Base for Administration on Aging.	E00511-006
Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services.	E00591-001
Evaluating the State Social Indicators System in Aging.	E00511-002
Evaluation of the Older Americans Act Traineeship Grant Program.	E00511-004
Guide to Sources and Uses of Current Data on the Aging.	E00511-008
Interviewer Manual for the Older Americans Survey.	E00511-009
Isolation Among the Elderly: definition, measurement, and policy considerations.	E00509-046
Longitudinal Study Design for Evaluation of the National Nutrition Program for Elderly.	E00511-013
Manual of Award Procedures and Awards Monitoring Procedures.	E00511-011
Methodology for Evaluation and Validation of State Social Indicator System in Aging.	E00511-003
Organizational Issues in the Provision of Community Care to the Impaired Elderly.	E00509-045
Social Statistics for the Elderly Area Level System.	E00511-005
Survey Guide to Accompany the Older Americans Assessment Questionnaire.	E00511-007
Systematic Review of Area Agencies on Aging.	E00511-012
Technical Proposal of Implementing a Methodology for the Validation of the State Social Indicators for the Aged.	E00511-001
Utilization of Alternative Care Settings by the Elderly: normative estimates and current patterns.	E00509-036
Omnibus Adjustment Act of 1926	
Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects.	E00796-001

Omnibus Crime Control and Safe Streets Act of 1968, as amended**LAW INDEX****Omnibus Crime Control and Safe Streets Act of 1968**

Drug Treatment Programs and the Federal Criminal Justice System: an analysis and recommendations.
Law Enforcement Training: an analysis of current and future requirements.

E00800-005
E00800-009

Omnibus Crime Control and Safe Streets Act of 1968, as amended

An Analysis of the Criminal Investigation Process.
An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department.
An Evaluation of Small Business and Residential Alarm Systems.
An Examination of the Impact of Intensive Police Patrol.
Analysis of the Los Angeles District Attorney's Office.
Annual Sample Survey and Area-Based Crime Estimates.
Architectural Design to Improve Security in Urban Residential Areas.
Baltimore Impact Planning and Evaluation.
Completion of the Kansas City Lighting Study.
Computer-Aided Transcription Service Center--Evaluation of Commercial Feasibility.
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects.

E00811-019

E00811-021
E00811-022
E00811-017
E00811-001
E00811-037
E00811-023
E00811-043
E00811-025
E00811-006

E00811-038
E00811-039

Contract to Evaluate the New England Organized Crime Intelligence System (NEO-CIS).

E00811-016

Crime Reduction Through Aerial Patrol.

E00811-015

Dallas Impact Fundings, Evaluation.

E00811-045

Demonstration of the Reduction of Pre-Trial Delay.

E00811-003

Demonstration Project for Closed Circuit Television Case Screening and Legal Counseling.

E00811-005

Denver Impact City Crime Analysis Team.

E00811-046

Detoxification, Decriminalization and the Criminal Justice System.

E00811-013

Develop, Test, and Implement a Computer Simulation Model.

E00811-018

Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting.

E00891-001

Devices for Controlling Sentencing Disparity.

E00811-008

Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime.

E00891-006

Evaluation of the All-Purpose Communications/Protective Helmet.

E00811-026

Evaluation of the California Probation Subsidy Program, Phase II.

E00811-009

Evaluation of the Effects of Alternatives to Incarceration on Juvenile Offenders.

E00811-012

Evaluation of the Effects of Methadone Treatment on Crime and Criminal Addicts.

E00811-011

Evaluation of the LEAA Courts Improvement Program, Phase I.

E00811-004

Evaluation of Community-Based Programs for Adult Offenders.

E00811-041

Evaluation of Criminal Justice Planning Institute.

E00811-050

Evaluation of Instrumentation for the Detection of Polar Organic Aids.

E00811-027

Evaluation of Youth Services Bureaus.

E00811-010

Evaluation Design for Indigents Defense Programs.

E00811-007

Evaluative Study of the Equipment Systems Improvement Program (ESIP).

E00811-031

Feasibility Investigation and Test of Coded Taggant Materials for the Identification of Explosives.

E00811-034

Impact Funding for Planning and Evaluation.

E00811-042

Law Enforcement Standards Laboratory and Support Services.

E00811-035

Letter Report on Administration of the Law Enforcement Education Program.

E00891-003

Letter Report on Administration of Discretionary Grant Program by LEAA.

E00891-002

Lightweight Body Armor.

E00811-032

National Evaluation of the LEAA Pilot Cities.

E00811-040

National Impact Program Evaluation.

E00811-036

Newark High Impact Evaluation Unit, Office of the Mayor.

E00811-047

Planning and Evaluation Program, Cleveland Impact Program.

E00811-044

Portland Impact Evaluation Plan.

E00811-048

Progress in Determining Approaches Which Work in the Criminal Justice System.

E00891-010

Project SEARCH--Latent Fingerprint Study.

E00811-029

Report on Administration of the Program to Reduce Crime in Minnesota.

E00891-004

Residential Neighborhood Crime Control.

E00811-024

Response Time Analysis.

E00811-020

St. Louis Hi-Impact Anti-Crime Evaluation Program.

E00811-049

Study for Alameda County 911.

E00811-030

Sulfur Hexafluoride Taggant for Explosives Detection.	E00811-033
Test and Evaluation of Less Lethal Weapons, Material, and Techniques.	E00811-028
Test of Gimelli System for Training Court Reporters.	E00811-002
The Impact of Decriminalization on the Intake Process for Public Inebriates.	E00811-014
Omnibus Crime Control Act	
Personnel Security Investigations; Inconsistent Standards and Procedures.	E05990-011
Organic Act creating the Commerce Department	
Export of U.S.-Manufactured Aircraft-Financing and Competitiveness.	E00399-003
Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration.	E00300-011
Organic Act of 1944	
Evaluation of the TRI-X Regional Document Delivery Service.	E00217-001
Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	E00299-003
The Delivery of Library and Information Services to USDA Research Personnel In the Field.	E00217-002
Outer Continental Shelf Lands Act	
First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-001
Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf.	E00792-001
Information on Certain Oil and Gas Industry Oversight Responsibilities.	E00780-004
International Inventory and Forecast of Offshore Petroleum and Mineral Activity.	E01101-003
Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf.	E00780-008
Report of the Work Group on OCS Safety and Pollution Control.	E00712-004
Report of the Work Group on OCS Safety and Pollution Control, May 1973.	E00712-006
	E00712-005
Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-002
Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-003
OMB Circular A-25.	
Services for Special Beneficiaries: Costs Not Being Recovered.	E01282-002
Packers and Stockyard Act of 1921, as amended	
Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets.	E00208-002
Recovery of Nonpayments to Livestock Producers (Sellers Under the Bonds of Registrants).	E00208-003
Survey of Grade and Weight Selling of Livestock.	E00208-001
Plant Quarantine Act	
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001
Poison Prevention Packaging Act of 1970	
Implementation of the Poison Prevention Packaging Act of 1970.	E30400-101
Ports and Waterways Safety Act of 1972	
Vessel Traffic Systems Issue Study.	E01101-008
Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents?	E01191-001
Poultry Products Inspection Act	
Consumer Protection Would Be Increased by Improving the Administration of Intrastate Meat Plant Inspection Programs.	E00299-011
President's Reorganization Plan No. 2 of 1973.	
A Brief Analysis of DEA Coordination with Patrol Forces on the Southwest Border.	E00810-002

President's Reorganization Plan No. 2 of 1973**LAW INDEX**

An Analysis of the Effects of Criminalization in Dangerous Drug Arrests.	E00810-009
An Analysis of the Resources, Policies, and Procedures of the Office of Inspection and Internal Security.	E00810-004
An Analysis of Cross Regional Case Development.	E00810-007
An Analysis of DEA Marihuana Arrestees.	E00810-008
DEA Performance Measurement System (PMS).	E00810-005
Enforcement Productivity and Placement of Resources.	E00810-006
Improved Staffing on Major Decisions.	E00810-003
Report on the Committee of the Basic Agent Training Program.	E00810-001
Privacy Act of 1974	
Survey of Protective Measures to Safeguard Information in Personnel Files Against Unauthorized Disclosure and Use.	E05990-003
Produce Agency Act	
Administration of Marketing Orders for Fresh Fruits and Vegetables.	E00299-004
Public Buildings Act of 1959	
Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites.	E03790-003
Public Health Centers Act, Title III, sec. 314e, as amended	
Satellite Clinics--Delivery Techniques in Medical Clinics in Relation to Health Needs of Underserved Areas.	E00500-051
Public Health Service Act	
A Proficiency Test Assessment of Clinical Laboratory Capability in the United States.	E00500-052
Chlorpromazine: Innovation and Revolution in the Drug Treatment of Mental Disease States.	E00503-021
Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report.	E00505-014
Evaluation of the FDA Consumer Deputy Program.	E00505-009
Improved Federal and State Programs Needed to Insure the Purity and Safety of Drinking Water in the United States.	E02390-006
Manpower Management Program, Executive Director of Regional Operations (EDRO), Field Organization, FDA.	E00505-011
Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project Idea 35.	E00299-003
Preliminary Field Resource Allocation Model.	E00505-006
Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration.	E00500-104
The Medical Care Requirements of the U.S. Coast Guard.	E00507-045
Public Health Service Act Amendments of 1970	
An Analysis of Actual and Potential Uses of Nursing Homes.	E00506-049
An Evaluation of the Regional Medical Program (RMP) Review Criteria.	E00506-063
Case Studies of Statewide Comprehensive Health Planning; a final report.	E00506-033
Conversion Implementation and Initial Operation of the Agency Program Reporting System.	E00506-068
Evaluation of the Experimental Medical Care Review Organization (EMCRO) Programs.	E00506-051
Evaluation of the Uniform Hospital Discharge Abstract Site Test.	E00506-053
Evaluation of Facilitation in the Regional Medical Program.	E00506-058
Evaluation of Graduate Training Programs in Health Planning.	E00506-047
Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972.	E00506-039
Follow-up of Non-Respondent Mothers and Editing, Coding, and Key-Taping of Records in the 1972 National Birth Survey.	E00506-079
Hospital Discharge Evaluation Project.	E00506-036
Impact of Regional Medical Programs on Coronary Case in New England.	E00506-034
Information Support System Approach to Problem-Oriented Program Assessment of Local Regional Medical Programs.	E00506-046
Installing and Validating a Data Collection and Analysis System for the Uniform Health Manpower Evaluation.	E00506-045
National Evaluation of Mid-Level Health Workers--Task Profile Analysis Medex, Primex, and Preceptors.	E00506-065
Prepare Natalty Data and Evaluate OCR Hardware and Techniques; final report.	E00506-040
Project Termination in RMPs (Regional Medical Program Service).	E00506-041
Study of Response Errors in the Health Interview Survey.	E00506-037

LAW INDEX**Public Health Service Act, sec. 301(c), 444, 472; as amended**

The Utilization and Impact of the I.C.H.D. (Inter-Society Commission on Heart Disease) Reports; an early evaluation.	E00506-054
Public Health Service Act, as amended	
A Study of Hospital Productivity Trends for Short-Term General Hospitals.	E00500-039
Development of a Price Deflator for Biomedical Research (report on Phase I).	E00508-044
Evaluation of Hospital Productivity Trends.	E00500-044
Five and Ten Years After College Entry.	E00508-052
Follow-up Survey of National Institute of Dental Research Graduate Trainees and Postdoctoral Fellows.	E00508-030
Forward Plan for Health: FY 1977-81.	E00500-008
Sixteen Best Practice Techniques in U.S. General and Teaching Hospitals.	E00500-066
The Role of Health Services Administration.	E00500-003
Public Health Service Act, as amended sec. 301, 311	
Evaluation of National Institute for Occupational Safety and Health Short Term Training Program.	E00504-016
Public Health Service Act, as amended sec. 513	
Decision-Making and Information Utilization in Three Public Health Service Regional Offices: final report.	E00500-002
Training Program in Health Program Evaluation Techniques.	E00500-040
Public Health Service Act, as amended, sec. 301 and 472	
Final Report of a Pilot Project to Develop a Methodology for Cost-Benefit Analysis of Research Animal Diagnostic and Investigative Laboratories.	E00508-047
Public Health Service Act, as amended, Title III	
Organizational Evaluation and Information Needs Study.	E00504-014
Program Operational Planning.	E00504-015
Public Health Service Act, as amended, Title X, sec. 100	
Improving Federally Assisted Family Planning Programs.	E00587-006
Public Health Service Act, sec. 1001, as amended	
The Family Planning Evaluation Project.	E00507-026 E00507-027
Public Health Service Act, sec. 301	
Computerized Summaries of Evaluation Contracts.	E00503-017
Evaluation Report: General Clinical Research Centers Program, Division of Research Resources.	E00508-038
Impact of NIH Training Grant Programs on Full-Time Medical School Faculty.	E00508-024
Manpower Engaged on NIH Research Grants in 1970.	E00508-048
Public Health Service Act, sec. 301(c)	
Doctoral Scientists and Engineers in the U.S.: 1973 Profile.	E00508-040
Manpower Resources and Needs in Speech Pathology/Audiology.	E00508-045
Summary Report 1972: Doctorate Recipients from United States Universities.	E00508-035
Summary Report 1973: Doctorate Recipients from U.S. Universities.	E00508-042
Public Health Service Act, sec. 301(c), 412, 472, as amended	
The Totally Implantable Artificial Heart.	E00508-028
Public Health Service Act, sec. 301(c), 422, and 472, as amended	
Proceedings of a Symposium--Dental Biomaterials Research Priorities.	E00508-031
Public Health Service Act, sec. 301(c), 444, 472; as amended	
Contributions of Surgical Research to Health Care, 1945-1970: report of the Research Subcommittee of the Study on Surgical Services in the United States.	E00508-025

Public Health Service Act, sec. 301(d), 433 and 472, as amended	LAW INDEX
Public Health Service Act, sec. 301(d), 433 and 472, as amended Evaluation of the National Pituitary Agency.	E00508-051
Public Health Service Act, sec. 301, as amended Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health.	E00500-102
Public Health Service Act, sec. 301, 311 and 361 Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters).	E00585-005
Public Health Service Act, sec. 301, 311, 316 Salmonella in Raw Meat and Poultry: an assessment of the problem.	E00585-008
Public Health Service Act, sec. 301, 311, 361 Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public. Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	E00585-014 E00299-003
Public Health Service Act, sec. 301, 315 A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health. Automated Psychiatric Record Systems Today: an assessment. Evaluation Planning at the National Institute of Mental Health: a case history. Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse. Rationale of the Joint NIMH-TCU Drug Abuse Reporting Program. Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II. The Market for Evaluation Services in the Department of Health, Education, and Welfare. The Public Evaluates the National Institute on Alcohol Abuse and Alcoholism Public Education Campaign.	E00503-023 E00503-031 E00503-050 E00503-053 E00503-016 E00503-036 E00503-063 E00503-061
Public Health Service Act, sec. 301, 444 Postdoctoral Training in the Biomedical Sciences: An Evaluation of NIGMS Postdoctoral Traineeship and Fellowship Programs.	E00508-027
Public Health Service Act, sec. 303 and 472 The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health.	E00500-068
Public Health Service Act, sec. 303, 472 Strategies for Change in Community Mental Health: New Careers.	E00503-039
Public Health Service Act, sec. 317, 318, as amended The Effect of Discontinuing Project Grants for Tuberculosis.	E00504-021
Public Health Service Act, sec. 326, as amended Evaluation of the Distribution and Utilization of FHPS Manpower in the U.S. Coast Guard Medical Program. The Medical Care Requirements of the U.S. Coast Guard.	E00507-039 E00507-045
Public Health Service Act, sec. 328 Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments. PHS Hospital Manpower Analysis.	E00507-055 E00507-041
Public Health Service Act, sec. 329, as amended A Study to Develop a Conceptual Model of a National Health Service Corps Management and Control System.	E00507-015

LAW INDEX

Public Health Service Act, sec. 774a & b, as amended

- Public Health Service Act, sec. 341-347**
Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C. **E00599-003**
- Public Health Service Act, sec. 341-347, as amended**
A Follow-up of NARA (Narcotic Addict Rehabilitation Act) Patients Who Drop Out Before Completion. **E00503-040**
- Public Health Service Act, sec. 351**
Cost Analysis of Blood Banking Alternatives. **E00500-037**
Problems in Regulating Selected Vaccines. **E00585-003**
- Public Health Service Act, sec. 381**
An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job **E00508-032**
Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine. **E00508-053**
Evaluation of Interactive Networks: Final Evaluation Report for Planning Phase of ATS-F Satellite Health Experiments. **E00508-023**
Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite Experiment Evaluation. **E00508-043**
Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast. **E00508-034**
- Public Health Service Act, sec. 390(b)(4) and 395;**
Evaluation of User Utilization of the National Medical Audio Visual Center Media Distribution Program. **E00508-046**
- Public Health Service Act, sec. 390(b)(6) and 397**
Quality and Consistency Evaluation of Medlars Indexing: final report. **E00508-037**
- Public Health Service Act, sec. 394**
An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job **E00508-032**
- Public Health Service Act, sec. 407(b) and 472, as amended**
Evaluation of the National Cancer Institute Associate Program by Former, Current, and Potential Associates. **E00508-036**
Follow-up Survey of National Cancer Institute Postdoctoral Fellows (and) Follow-up Survey of National Cancer Institute Graduate Research Trainees; final report. **E00508-029**
- Public Health Service Act, sec. 513**
A Management Plan for the Regional Office Technical Assistance Effort. **E00500-005**
A Study of the Systems Development Project. **E00500-004**
Development of a Price Deflator for Biomedical Research: a report on Phase II. **E00508-050**
Evaluation of Linkage Between Research Publications and NIH Funding. **E00508-049**
National Institutes of Health, Evaluation Plan, FY 1974. **E00508-022**
- Public Health Service Act, sec. 770, as amended**
Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education. **E00586-001**
- Public Health Service Act, sec. 774 a and b, as amended**
Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education. **E00506-099**
- Public Health Service Act, sec. 774a & b, as amended**
Evaluation of the Career Development Program in Global Community Health. **E00506-056**
National Area Health Education Center Programs External Assessment Design. **E00506-069**
Progress and Problems in Training and Use of Assistants to Primary Care Physicians. **E00586-007**

Public Health Service Act, Title III

LAW INDEX

Public Health Service Act, Title II (42 USC) Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Re-called by the General Electric Company.	E00585-013
Public Health Service Act, Title III An Analysis With Improvement Postulation for the Neighborhood Environmental Eval-uation and Decision System (NEEDS Validation Study). An Economic Analysis of HSA Program Potential FY 1976 to FY 1981. Clinical Laboratory Performance Analysis Using Proficiency Test Statistics. Effectiveness Criteria in Venereal Disease Control Programs. Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephali-tis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. Evaluation of the National Institute of Occupational Safety and Health Query and Answer Technical Information Service. Evaluation of Methods for Setting Occupational Health Standards for Uranium Min-ers. Evaluation of Status of Standards for Diagnostic Materials. Evaluation of VISA Medical Activities. Evaluation Report on the Effectiveness of the Clinical Laboratories Improvement Program. Evaluation Strategy and Plan for Fiscal Year 1975 (Health Services Administration). Extent and Characteristics of Venereal Disease Education in School Systems. Forward Plan for the Health Services Administration, F.Y. 1977-81. Issue Paper on Impact of Evaluation Studies on Policy. Management Information System, Phase I. Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementa-tion, Hamiiton, New York, on February 26-March 1, 1973. Public Hazards from Unsatisfactory Medical Diagnostic Products. Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insur-ance Impact on HSA Activities. The Role of Health Services Administrator. User Manual: HSA work planning and monitoring system. Work Planning and Monitoring System User Manual (Health Services Administration).	E00504-010 E00507-075 E00500-036 E00504-020 E00504-003 E00504-007 E00504-009 E00504-011 E00504-018 E00504-004 E00504-005 E00507-072 E00504-006 E00507-069 E00507-042 E00507-029 E00504-008 E00585-013 E00507-076 E00507-086 E00507-040 E00507-074
Public Health Service Act, Title III, sec. 134e, as amended Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP).	E00507-060
Public Health Service Act, Title III, sec. 301, 315 Design of an Evaluation System for the National Institute of Mental Health.	E00503-024
Public Health Service Act, Title III, sec. 313(a) An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning. Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students.	E00506-055 E00506-081
Public Health Service Act, Title III, sec. 314(b), as amended Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States. A Feasibility Study of Integrating Federally Funded Local Health Services.	E00586-004 E00500-038
Public Health Service Act, Title III, sec. 314(d)&(e), 317, 318 Review of Selected Communicable Disease Control Efforts.	E00584-001
Public Health Service Act, Title III, sec. 314(d), as amended Development of a Conceptual Approach to Strategic Health Planning. The Design of a Health Information System (HIS) Model for the Oregon Department of Human Resources: an exploratory study.	E00500-049 E00500-050
Public Health Service Act, Title III, sec. 314(e) Rat Control Evaluation Project; Philadelphia Household Interview and Environmental Survey.	E00504-012

Public Health Service Act, Title III, sec. 314(e), as amended	
Ambulatory Health Care Information.	E00507-016
Comprehensive Health Care Information System Mark II: Family Health Worker and Mid-Level Practitioner Module.	E00506-075
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Evaluation Manual for Comprehensive Health Service Projects.	E00507-024
	E00507-013
Implementation of a Policy of Self-Support by Neighborhood Health Centers.	E00587-001
Report on Audit of the Family Health Center Program, Cascade Health Care, Inc., Portland, Oregon.	E00500-116
Staffing and Efficiency of Drug Treatment Programs.	E00506-074
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002
Public Health Service Act, Title III, sec. 314(e)	
The Urban Rat Control Program Is in Trouble.	E00584-002
Public Health Service Act, Title III, sec. 314(e), as amended	
Toward the Development of a Casebook on the Decision-Making Process in Neighborhood Health Centers.	E00507-081
Yeatman Baseline Survey.	E00507-011
Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.	E00507-012
Public Health Service Act, Title III, sec. 319, as amended	
Migrant Health Program--Final Report.	E00507-028
Public Health Service Act, Title III, sec. 390(b)(6)	
Cancer Chemotherapy Abstracts/Cancer Chemotherapy Reports Evaluation.	E00508-039
Public Health Service Act, Title III, sec. 413e, as amended	
Better Use Should be Made of Physicians and Dentists in Health Centers.	E00587-004
Public Health Service Act, Title III, sec. 422f	
An Approach to the Evaluation of the Effectiveness of Continuing Dental Education.	E00506-093
Public Health Service Act, Title III, Parts A, B, C, F, & Q	
VD Control--A National Strategy Under Review. Vol. 1., Information Materials and Campaigns; Vol. 2., Client Reaction to Treatment; and Vol. 3., The Private Physician.	E00500-057
Public Health Service Act, Title VI	
Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.	E00586-003
Public Health Service Act, Title VI, as amended	
Capital Financing Study.	E00500-061
Evaluation of the Health Facilities Building Process.	E00500-048
Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program.	E00586-005
Study of Health Facilities Construction Cost.	E00586-002
Public Health Service Act, Title VII	
Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met.	E00586-006
Public Health Service Act, Title VII, as amended	
An Evaluation of the Effectiveness of Loan Forgiveness as an Incentive for Health Practitioners to Locate in Medically Underserved Areas.	E00500-045

Public Health Service Act, Title VII, sec. 769A

LAW INDEX

Public Health Service Act, Title VII, sec. 769A

Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems.

E00506-086

Public Health Service Act, Title VII, sec. 792

A Study of the Problems Encountered in the Provision of Clinical Training for Allied Health Professions.

E00506-094

Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education.

E00506-078

Public Health Service Act, Title VII, sec. 793

An Evaluation of Allied Health Advanced Traineeship Grants.

E00506-091

Public Health Service Act, Title VII, sec. 805(a)

Effectiveness and Efficiency of Nursing Education Programs.

E00506-062

Public Health Service Act, Title VII, sec. 805(a), as amended by the Nurse Training Act of 1971

Investigation and Evaluation of Employment Opportunities for Newly-Licensed Nurses.

E00506-095

Public Health Service Act, Title VIII, sec. 809

Effectiveness and Efficiency of Nursing Education Programs.

E00506-062

Public Health Service Act, Title X, sec. 1001, as amended

Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981.

E00507-064

Financial Assistance to NCFPS (National Center for Family Planning Services) to Develop Family Planning Project Evaluation Process; final report July 1973-June 1974.

E00507-049

Forward Plan for BCHS Family Planning Program.

E00507-044

Need for Subsidizing Family Planning Services; United States, each state and county, 1971.

E00507-033

Public Health Service Act, Titles III and X

Evaluation of Services Provided by Federal Health Program Service Facilities.

E00507-034

Public Health Service Act, Titles III and X

Initial Manpower Management Program (MMP).

E00507-056

Public Printing and Documents Act

Ways to Improve Records Management Practices in the Federal Government.

E03790-002

Public Welfare Amendments of 1962

Fee Schedules and Social Services.

E00509-034

Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency?

E00589-004

Special Revenue Sharing in Support of Public Social Services.

E00509-049

Public Works and Economic Development Act of 1965

EDA-Regional Commission Coordination Review.

E00300-019

Report on Audit of the Technical Assistance Program, Office of Technical Assistance, Economic Development Administration.

E00300-002

Report on Minimum EDA FY 1975 Staffing Requirements.

E00300-018

Public Works Appropriation Act of 1970

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement.

E00780-003

Radiation Control for Health and Safety Act of 1968

Extending Effective Date of the Food and Drug Administration's Diagnostic X-Ray Equipment Standard.

E00585-009

Reclamation Act of 1902, as amended

The Federal Reclamation Program; its impacts, issues and future considerations.

E00716-001

Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects.

E00796-001

LAW INDEX**Revenue Sharing Act**

More Effective Procedures Are Needed for Establishing Payment Terms and Development Periods for Irrigation Projects.	E00796-002
Reclamation Extension Act of 1973	
Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects.	E00796-001
Reclamation Project Act of 1939	
More Effective Procedures Are Needed for Establishing Payment Terms and Development Periods for Irrigation Projects.	E00796-002
Rehabilitation Act of 1973	
An Analysis of the Allocation of Counseling Resources in Vocational Rehabilitation Agencies.	E00509-037
Characteristics of the Demand for Disabled Workers.	E00509-042
Disability and Labor Supply.	E00509-043
Employment of Handicapped Individuals and Disabled Veterans in the Federal Government.	E05908-008
Employment Opportunities in the Federal Government for the Physically Handicapped.	E05990-007
The Determinants of Vocational Rehabilitation Costs: an exploratory analysis.	E00509-040
The Program Services and Support System of the Rehabilitation Services Administration.	E00509-003
Vocational Rehabilitation Programs Undergoing Rapid Expansion: a simulation study of cost and caseload statistics.	E00509-047
Vocational Rehabilitation State Allocation Study.	E00509-001
Reorganization Plan of 1939;	
Opportunities for Savings in Interest Cost Through Improved Letter-of-Credit Methods in Federal Grant Programs.	E01280-006
Reorganization Plan No. 2 of 1973.	
Difficulties in Immobilizing Major Narcotics Traffickers.	E00890-003
DEA Task Force Program: an analysis and recommendations.	E00800-007
Efforts to Prevent Heroin from Illicitly Reaching the U.S.	E00880-001
Efforts to Stop Narcotics and Dangerous Drugs Coming from and through Mexico and Central America.	E00890-006
Federal Efforts to Combat Drug Abuse.	E00890-001
Heroin Being Smuggled into New York City Successfully.	E00890-002
Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not Enough.	E00890-004
Issues Concerning Supply and Demand for Licit Opium in U.S. as of July 1, 1974.	E00890-005
Letter Report Issues Concerning New Legitimate Sources for Opium, Technology Required to Increase Production from Present Sources, and the State of Research with respect to Synthetic Alternatives.	E00880-002
Problems in Slowing the Flow of Cocaine and Heroin from and through South America.	E00890-007
Reorganization Plan No. 3 of 1950.	
Department of the Interior Aircraft Study and Recommendations.	E00700-007
Reorganization Plan No. 21 of 1950.	
Maritime Policy Study.	E00300-025
Research and Technical Services Act	
Report on Audit of Matters of Particular Interest to the Department's Office of Budget and Program Analysis Concerning the National Technical Information Service.	E00300-014
Report on Audit of Selected Areas of the National Technical Information Service.	E00300-013
Resource Recovery Act of 1970	
Need for Federal Agencies to Improve Solid Waste Management Practices.	E02390-001
Using Solid Waste to Conserve Resources and to Create Energy.	E02390-010
Revenue Sharing Act	
Case Studies of Revenue Sharing in 26 Local Governments.	E01280-009

Revenue Sharing Act**LAW INDEX**

- Organization and Management Review of Office of Revenue Sharing. **E01200-001**
Revenue Sharing: An Opportunity for Improved Public Awareness of State and Local Government Operations. **E01280-010**
Revenue Sharing: Its Use By and Impact on Local Governments. **E01280-008**
Revenue Sharing: Its Use By and Impact on State Governments. **E01280-007**
- Rural Development Act of 1972**
National Rural Development Efforts and the Impact of Federal Programs on a 12-County Rural Area in South Dakota. **E00299-001**
- Rural Electrification Act of 1936**
Controls Exercised by Rural Electrification Administration over Borrowers' Activities. **E00299-034**
Rural Development as Related to REA Borrower's Activities. **E00203-001**
- Safe Drinking Water Act**
National Safe Drinking Water Strategy: One Step at a Time. **E02300-007**
- Science and Technology Message of the President, FY 1973.**
Report on Audit of Experimental Technology Incentives Program, National Bureau of Standards. **E00300-017**
- Service Contract Act**
Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970. **E00995-007**
More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs. **E00995-004**
Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department. **E00995-002**
Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois. **E00995-006**
- Service Contract Act of 1965**
An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program. **E00904-011**
Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters. **E00994-003**
Demographic Characteristics of Workers in the Work Clothing Industry. **E00906-003**
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act. **E00904-002**
E00904-003
E00904-004
Private Household Workers...Feasibility and Implications of Extending Minimum Wage Protection Under FLSA. **E00904-020**
Private Household Workers: data pertinent of an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974. **E00904-001**
Propriety of Minimum Wage Determinations for Clerical and Other Office Employees Under the Service Contract Act. **E00994-001**
Sheltered Workshops Under the Fair Labor Standards Act. **E00904-012**
The San Francisco Review. **E00904-008**
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act. **E00904-010**
- Servicemen's Readjustment Act of 1944, as amended**
Report on Survey of VA's Durable Medical Appliances Activities. **E06595-025**
Ways to Improve Management of Acquired Residential Properties. **E00694-004**
- Shipping Act of 1916**
Report on Audit of the Ship Transfer Program, Maritime Administration. **E00300-006**

LAW INDEX**Social Security Act of 1935, as amended****Small Business Act**

A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives. E05507-001
 Design of Performance Impact Measures. E05507-004
 Limited Success of Federally Financed Minority Businesses in Three Cities. E05590-003
 Program Analysis of the Small Business Administration. E05507-006

Small Business Act, as amended

Evaluation of the Small Business Institute Program--Fall 1972. E05507-010
 Evaluation of the Small Business Institute Program--Spring 1973. E05507-009
 Evaluation of the 8(a) Contract Program (Phases I-III). E05507-008
 Questionable Effectiveness of the 8(a) Procurement Program. E05590-006
 Study of Minority Borrowers Prior and Subsequent to SBA Assistance. E05507-011

Small Business Investment Act of 1958

Design of Performance Impact Measures. E05507-004
 Evaluation of Small Business Administration Lease Guarantee Program. E05507-003

Smith Lever Act

An Evaluation of the Mulligan Stew 4-H Television Series for Extension Service, USDA. E00215-001

Snyder Act of November 2, 1921

Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved. E00793-001

Social Security Act

Problems in Administering Two Eligibility Aspects in the Aid to Families with Dependent Children Program. E00589-002
 The Quality Control System in Welfare: Its Implementation in Pennsylvania. E00589-001

Social Security Act of 1935

A Diffusion Analysis of AFDC Participation. E00509-024
 A Gross Flows Model of AFDC Participation. E00509-021
 Analysis of the Utilization of Social Services by AFDC Recipients. E00509-023
 Considerations in Developing Welfare Cost Standards. E00509-019
 Employment Services for Vietnam-Era Veterans Could Be Improved. E00992-024
 Full Employment and Income Security: the role of wage subsidy programs as policy weapons. E00509-018
 Making Social Service Choices at the State Level: practice and problems in four states. E00509-039
 Participation in the Aid to Families with Dependent Children. E00509-029
 Problems in Filling Job Orders and Placing Job Applicants in Massachusetts. E00992-023
 Regional Adjustments to Family Assistance Plan Payments. E00509-028
 Service Utilization Patterns Derived from Cross Tabulations of the 1971 AFDC Survey: a guide to the perplexed. E00509-016
 Variation in Payment Formulas and Work Incentives. E00509-017
 Welfare and Illegitimacy: an economic model and some preliminary results. E00509-022
 Welfare Policy and Family Splitting. E00509-020

Social Security Act of 1935, as amended

Assets on the Threshold of Retirement. E00510-053
 Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012
 Cost-Benefit Analysis of SSDI (Social Security Disability Income) Trust Fund Program. E00510-148
 Coverage and Vesting of Full-Time Employees Under Private Retirement Plans. E00510-046
 Covered Employment and the Age Men Claim Retirement Benefits. E00510-041
 Demographic and Economic Characteristics of Aged--1968 Survey. E00510-064
 Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050
 Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63. E00510-024
 Earnings of Disabled-Worker Beneficiaries. E00510-029
 Eligibility Requirements for Income Maintenance and Related Benefits. E00510-142
 Family Structure in Pre-Retirement Years. E00510-049
 Finnish and Swedish Pension Re-Insurance Programs. E00510-144
 History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971. E00510-051
 Income Transfers as a Public Good: An Application to AFDC. E00500-076

Social Security Act of 1935, as amended**LAW INDEX**

Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned.	E00590-005
International Social Security Agreements.	E00510-066
Major Social Security Issues: Japan, 1972.	E00510-059
Pension Reform Legislation of 1974.	E00510-018
Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries.	E00510-056
Relatedness Patterns of Puerto Rican Centenarians.	E00510-151
Retirement History Study: Introduction.	E00510-062
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security Programs Throughout the World, 1973.	E00510-065
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Social Welfare Expenditures, 1972-73.	E00510-045
Survey of Newly Entitled Beneficiaries.	E00510-063
The Disabled Widow.	E00510-016
The Effect of Social Security on Personal Saving.	E00510-150
The Retirement Test: An International Study.	E00510-025
Unemployment and Disability: An Econometric Analysis with Time Series Data.	E00510-036
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
Work After Retirement: some psychological factors.	E00510-131
Workmen's Compensation Under Security.	E00510-022
Social Security Act, as amended	
Assessment of the Work Incentive Program in Washington State.	E00992-020
Federal Unemployment Benefits Due to Agnes Disaster in Pennsylvania.	E00992-026
From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan.	E00992-021
Problems in the Work Incentive Program in Los Angeles and San Diego.	E00992-027
Slow Implementation of the Work Incentive Program in New York City.	E00992-028
Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia.	E00992-018
Social Security Act, as amended Title XX.	
Quality of Day Care in Region X Before and After School Care. Phase I.	E00500-211
Social Security Act, Title IV, as amended	
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.	E00500-212
Evaluation of Planning and Coordination of Manpower Programs--Phase II.	E00500-218
Social Security Act, Title IV A, as amended	
Quality of Day Care in Region X--Phase II.	E00500-216
Review of Child Care Services Provided Under Title IV, Social Security Act.	E00500-101
Social Security Act, Title V, sec. 503	
Clinic Self-Evaluation Manual for Determination and Improvement of Clinic Efficiency.	E00507-084
Evaluation Studies on Maternal and Infant Care Projects.	E00507-036
Personnel Distribution in a City Health Department.	E00507-048
Project III Description of Existing Maternal and Child Health Services and Resources in the United States.	E00507-082
Study of Programs for Unwed Teenage Mothers.	E00507-031
The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude.	E00507-035
The Family Planning Evaluation Project.	E00507-027
	E00507-026
The Provision of Health Care Services to Adolescents in the 1970's.	E00507-083
Social Security Act, Title XI, Part B, as amended	
Credentialling of Health Care Professionals: its costs and some alternatives for HSA.	E00507-066
Feasibility of Collecting Baseline Data for Professional Standards Review Organizations; a report to the Office of Professional Standards Review.	E00507-062
The Medical Care Requirements of the U.S. Coast Guard.	E00507-045

LAW INDEX**Social Security Amendments of 1965, as amended**

Social Security Act, Title XIX as amended An Assessment of the Intermediate Care Facility Program in Region V.	E00500-219
Social Security Act, Title XIX, as amended An Evaluative Study of Early and Periodic Screening, Diagnosis and Treatment Program in Ohio and Wisconsin. Development of Model Interagency Strategy for Early Periodic Screening, Diagnosis and Treatment (EPSDT) Implementation, Monitoring and Evaluation. Potential Medicare and Medicaid Reviews for the Indian Health Services. Reference Manual on Title XIX (Social Security Act). Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00500-204 E00500-203 E00507-070 E00507-057 E00587-002
Social Security Act, Titles I, IV Part A, X, XI, XIV, XVI Improving Federally Assisted Family Planning Programs.	E00587-006
Social Security Act, Titles I, IVA, X, XI, XIV, XVI Assessment of Quality Control and Corrective Action Activities in Region V.	E00500-202
Social Security Amendments of 1965 A Study of Placement in PA/VR (Public Assistance Vocational Rehabilitation). Age Differences in Health Care Spending, F.Y. 1974. Age Differences in Medical Care Spending, Fiscal Year 1973. Analysis of Medicaid Buy-In Experience. Analysis of Medicaid Spend-Down Experience. Collection and Analysis of Prescreening Surgical Consultation Benefit Program. Comparison of State Agency and Fiscal Agent Performance in Medicaid. Cost Benefit of Utilization Review Techniques in Medicaid. Disabling Effects of Chronic Conditions of Male Family Heads. Drug Programs Under British National Health Services. Evaluation of Surgical Consultation Insurance Benefit. Hospital Organization: Effectiveness of Patient Care. Hospital Reimbursement and Regulation Under Medicare. Issues in Medicaid. Medicaid in California: a descriptive analysis. Medicare Impact on Hospital Utilization and Financing. More Needs to be Done to Assure that Physicians' Services--Paid for by Medicare and Medicaid--Are Necessary. National Health Expenditures, 1929-73. Need to More Consistently Reimburse Health Facilities Under Medicare and Medicaid. Problems Associated With Reimbursements to Hospitals for Services Furnished Under Medicare. Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare. Study of Cost Differentials Between Skilled Nursing Facilities and Intermediate Care Facilities. Survey of Title XIX Long-Term Care Facilities and Patients, volume I. The Economics of Non-Hospital Clinical Laboratories. The Manner in Which Medicare Reimbursement is Made to a Laboratory Facility: Phoenix, Arizona. The True Cost of Filling a Prescription in Kansas in 1971. Utilization of Ambulatory Care. Variations in Prescription Markups-on-Retail.	E00509-002 E00510-157 E00510-165 E00509-015 E00509-004 E00510-137 E00509-013 E00509-014 E00510-145 E00510-146 E00510-135 E00510-143 E00510-136 E00509-048 E00509-044 E00510-130 E00590-001 E00510-043 E00590-006 E00590-002 E00590-004 E00509-006 E00509-005 E00510-132 E00590-007 E00510-147 E00509-030 E00510-139
Social Security Amendments of 1965 and 1972 Social Security Programs Throughout the World, 1973.	E00510-065
Social Security Amendments of 1965, as amended Age Differences in Health Care Spending, Fiscal Year 1974. An Analysis of Medicare Administrative Costs. Cash Benefits for Short-Term Sickness, 1948-72. Comparison of Cost and Benefit Incidence on Government Medical Care Programs, FY 1966 and 1969. Financial Position of Private Community Hospitals, 1961-71. Group Health Insurance Coverage of Full-Time Employees, 1972. Health in the Years Before Retirement.	E00510-009 E00510-023 E00510-044 E00510-038 E00510-047 E00510-030 E00510-061

Social Security Amendments of 1965, as amended**LAW INDEX**

Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970.	E00510-019
Hospital Costs and the Medicare Program.	E00510-052
Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969.	E00510-048
Medical Care Costs and Prices: background book.	E00510-001
Medical Care Expenditures in Seven Countries.	E00510-058
Medical Care Price Changes Under the Economic Stabilization Program.	E00510-055
Medicare Patients: Regional Differences in Lengths of Hospital Stays, 1969-71.	E00510-006
National Health Expenditures, 1929-1974.	E00510-015
National Health Insurance Proposals as of July 1974.	E00510-033
National Health Systems in Eight Countries.	E00510-034
Selected State Data Medicare Fiscal Years 1968-72.	E00510-002
Size and Shape of the Medical Care Dollar, 1975.	E00510-003
Social Security Act Amendments, 1974.	E00510-021
Some Aspects of Medicare Experience with Group-Practice Prepayment Plans.	E00510-013
The European Experience in Social Health Insurance.	E00510-054
Utilization and Reimbursements Under Medicare for 1967 and 1968 Decedents.	E00510-057
Value for Money in Health Services.	E00510-026
Social Security Amendments of 1965, Title XVIII, Part A	
Increased Compliance Needed with Nursing Home Health and Sanitary Standards.	E00589-011
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
Social Security Amendments of 1965, Title XVIII, Part A, as amended	
An Assessment of the Intermediate Care Facility Program in Region V.	E00500-219
Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California.	E00500-115
Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
Social Security Amendments of 1965, Title XVIII, Parts A and B	
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Social Welfare Expenditures, 1972-73.	E00510-045
Young Widows and Their Children: A Comparative Report.	E00510-011
Social Security Amendments of 1967	
A Retrospective Case Review of WIN II Completed Job Entries: grant reductions, services, and welfare savings.	E00509-012
An Econometric Model of the Low-Skill Labor Market.	E00509-025
Bibliographies, Notes and Summaries Concerning WIN.	E00509-008
Categorical Wage-Bill Subsidies: theory and application.	E00509-027
Deinstitutionalization--Dependent and Neglected Children.	E00509-032
Employment and Wage Effects of the WIN Tax Credit.	E00509-026
Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients.	E00589-005
Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse.	E00589-009
Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program.	E00589-007
Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements.	E00589-008
Outpatient Health Care in Inner Cities: Its Users, Services, and Problems.	E00589-010
Some Problems in Contracting for Federally Assisted Child-Care Services.	E00589-003
Special Approval and Evaluation of the WIN II Program.	E00509-011
WIN II Administrative Impact Study.	E00509-010
WIN II Initial Impact Study.	E00509-009
Social Security Amendments of 1972	
An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00507-047

Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organizations and Community Health Networks.	E00587-007
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Social Security Amendments of 1972: Summary and Legislative History.	E00510-060
Social Security Amendments of 1972, Title XVI, Parts A and B	
Conversions to Supplemental Security Income from State Assistance: A Program Records Study.	E00510-008
Early Experience Under the Supplemental Security Income Program.	E00510-027
Selected Characteristics of the State Supplementation Programs Under the Supplementary Security Income Program (SSI).	E00510-035
Social Security Act Amendments, 1974.	E00510-021
State Supplementation Under Federal SSI (Supplemental Security Income) Program.	E00510-020
Supplemental Security Income for the Aged: A Comparison of Five Countries.	E00510-040
The Supplemental Security Income Program for the Aged, Blind, and Disabled.	E00510-039
Report of Region V Assessment of the Supplementary Security Income Program.	E00500-200
Soil Bank Act	
Farm Programs, Pesticide Use, and Social Costs.	E00209-004
Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies.	E00299-033
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.	E00216-001
Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.	E00216-003
The Forest Products Utilization Program (Its Effectiveness and Future Opportunities).	E00216-002
Soil Conservation and Domestic Allotment Act	
A Program Evaluation of the Great Plains Conservation Program.	E00218-001
Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment.	E00200-003
Farm Programs, Pesticide Use, and Social Costs.	E00209-004
Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved.	E00299-035
Soil Conservation and Domestic Allotment Act of 1936	
An Evaluation of Subsidy Forms for Soil and Water Conservation.	E00219-005
Soil Conservation and Domestic Allotment Act of 1936, as amended	
Action Needed to Discourage the Removal of Trees That Shelter Cropland in the Great Plains.	E00299-002
Solid Waste Disposal Act	
Need for Federal Agencies to improve Solid Waste Management Practices.	E02390-001
Solid Waste Disposal Act of 1965	
Using Solid Waste to Conserve Resources and to Create energy.	E02390-010
Special Health Revenue Sharing Act of 1975	
Ambulatory Health Care Information.	E00507-016
Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP).	E00507-060
Better Use Should be Made of Physicians and Dentists in Health Centers.	E00587-004
Clinic Self-Evaluation Manual for Determination and Improvement of Clinic Efficiency.	E00507-084
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Evaluation Manual for Comprehensive Health Service Projects.	E00507-013
	E00507-024
FY 1974 Evaluation Plan: Indian Health Services, Health Services and Mental Health Administration.	E00500-053
Implementation of a Policy of Self-Support by Neighborhood Health Centers.	E00587-001
Satellite Clinics--Delivery Techniques in Medical Clinics in Relation to Health Needs of Underserved Areas.	E00500-051
Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002

Special Health Revenue Sharing Act of 1975**LAW INDEX**

Toward the Development of a Casebook on the Decision-Making Process in Neighborhood Health Centers.	E00507-081
Yeatman Baseline Survey.	E00507-011
Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.	E00507-012
Standard Reference Data Act	
Report on Audit of the Office of Standard Reference Data, National Bureau of Standards.	E00300-009
Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.	E00300-007
State and Local Fiscal Assistance Act of 1972	
Case Studies of Revenue Sharing in 26 Local Governments.	E01280-009
Organization and Management Review of Office of Revenue Sharing.	E01200-001
Revenue Sharing: An Opportunity for Improved Public Awareness of State and Local Government Operations.	E01280-010
Revenue Sharing: Its Use By and Impact on Local Governments.	E01280-008
Revenue Sharing: Its Use By and Impact on State Governments.	E01280-007
Sugar Act of 1948	
The Sugar Program: Large Costs and Small Benefits.	E00209-007
Tariff Act of 1930	
Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.	E01097-010
Tax Adjustment Act of 1966	
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security Programs Throughout the World, 1973.	E00510-065
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, 1972-73.	E00510-045
Young Widows and Their Children: A Comparative Report.	E00510-011
Taylor Grazing Act	
Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada.	E00714-001
Terminal Inspection Act	
An Evaluation of the Witchweed Program.	E00205-001
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.	E00205-004
Citrus Blackfly Program Evaluation.	E00205-003
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.	E00205-005
The Southwestern Screwworm Eradication Program: a review.	E00205-002
Tobacco Inspection Act	
Cash Grain Price Reporting in the Chicago Market.	E00204-005
Economic Impact of Proposed Changes in Beef Grades.	E00204-004
Trade Act of 1974	
Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration.	E00300-010
Trade Expansion Act of 1962	
Employment Services for Vietnam-Era Veterans Could Be Improved.	E00992-024
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.	E00992-023

LAW INDEX**Urban Growth and New Community Development Act of 1970**

Traffic Act of 1930	
Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses.	E01282-001
Treasury Circular No 1075	
Opportunities for Savings in Interest Cost Through Improved Letter-of-Credit Methods in Federal Grant Programs.	E00509-001
U.S. Cotton Standards Act	
Economic Impact of Proposed Changes in Beef Grades.	E00204-004
U.S. Grain Standards Act	
Economic Impact of Proposed Changes in Beef Grades.	E00204-004
United States and Canada Great Lakes Water Quality Agreement of 1972	
Cleaning Up the Great Lakes: United States and Canada are Making Progress in Controlling Pollution from Cities and Towns.	E02390-011
United States Housing Act of 1937	
Relocation Payments.	E00600-067
Relocation.	E00600-087
United States Housing Act of 1937, as amended	
Benefits Could Be Realized by Revising Policies and Practices for Acquiring Existing Structures for Low Rent Public Housing.	E00694-001
Evaluation of HUD-Mandated Model Lease and Grievance Procedures.	E00604-028
Housing for the Elderly--Factors Which Should be Evaluated Before Deciding on Low- or High-Rise Construction.	E00693-024
Independent Public Accountant Services.	E00600-083
Ineffective HUD Monitoring of Indian Housing Authorities' Activities.	E00694-008
Inquiry into the Construction of Housing Projects on the Blackfeet Indian Reservation.	E00694-009
Leased Housing Program.	E00600-077
Liquidation of Public Works Planning Advances.	E00600-102
Local Housing Authorities Can Improve Their Operations and Reduce Dependence on Operating Subsidies.	E00694-012
Low Rent Housing Maintenance and Engineering.	E00600-079
Low Rent Housing Modernization Project.	E00600-082
Low Rent Occupancy.	E00600-105
Management Performance in Public Housing.	E00604-026
Monitoring of Housing and Urban Development Investigation of the Pueblo of Laguna Housing Authority.	E00694-011
Need for HUD to Collect Excessive Funds Provided to a Housing Authority.	E00694-006
Observations on Operation of National Capital Housing Authority.	E00694-003
Operating Subsidies--Low Rent Housing Programs.	E00600-136
Repairs--Single Family Acquired Property.	E00600-078
Reports on Rentals.	E00600-130
Review of Modernization Program for Low-Rent Public Housing.	E00694-002
Servicing Problem Projects.	E00600-081
Target Problem Projects Evaluation.	E00604-030
Tenant Eligibility.	E00600-080
The Low Rent Housing Project on Quebec Road in Cincinnati.	E00692-999
Turnkey III--Homeownership.	E00600-106
Weaknesses in Administration of the Home-Ownership Program for Low-Income Families.	E00693-000
United States Housing Act of 1949	
Slow Progress of Federal and State Housing Programs in Meeting Housing Needs in Rural Alaska.	E00694-007
Urban Growth and Community Development Act of 1970	
A Delphi Report: Future of New Communities.	E00601-162
Advantages of New Communities.	E00601-019
Alternative Federal Policies for New Community Development.	E00601-155
Are HUD Processing Procedures an Onerous Disincentive to Other than Marginal Developers?	E00601-151
Comparative Summary of the Development Task in New Communities and HUD's (Planned Unit Developments).	E00601-020

Urban Growth and New Community Development Act of 1970**LAW INDEX**

Cost of Sprawl: comparison of planned vs. unplanned communities.	E00601-159
Does the New Communities Program Suffer from Multiple Objectives?	E00601-149
Economic and Fiscal Effects of Satellite New Communities on Control Cities.	E00601-022
Future Direction for New Communities--Key Issues.	E00601-143
Impact of Satellite New Communities on Central Cities.	E00601-021
Improvements Needed in Department of Housing and Urban Development's Administration of the New Community Development Program.	E00691-001
Is the Title VII Program a Viable Program Without Basic or Supplementary Grants?	E00601-150
Limited Review--Riverton and Gananda.	E00600-142
Limited Review--Soul City.	E00600-141
Modernizing the Central City--New Towns Intown and Beyond.	E00601-018
National Growth Policy and New Communities.	E00601-154
New Communities and the Energy Crisis.	E00601-144
New Communities and the States--New Community Potential.	E00601-024
New Communities Evaluation.	E00600-001
New Communities Policy and Options Paper.	E00601-160
New Communities Program, Options for Future Directions.	E00601-147
New Communities Project Review.	E00600-108
New Communities, USA.	E00601-161
New Community Guarantee Program.	E00600-073
New-Towns-in-Town: their potential and problems.	E00601-148
Policy Analyses for Evaluation of New Communities Program.	E00600-009
Report to House Subcommittee on HUD Independent Agencies: Federal assistance to new communities.	E00601-158
Social Aspects of New Communities.	E00601-017
Some Trends and Conditions Affecting New Communities.	E00601-145
St. Charles Communities Impact Evaluation Study: a comprehensive planning, research and demonstration program.	E00601-015
Strategies for New Communities.	E00601-156
Subsidized Housing in New Communities.	E00601-153
Summary Benefits of Title VII-Type Suburban New Communities.	E00601-014
Summary Recommendations on Low and Moderate Income Housing.	E00601-152
The Economic Impact of Reston on Fairfax County Government.	E00601-016
The Federal New Community Program in the Context of an Emerging National Growth Policy.	E00601-146
The Necessity and Impact of Federal Guarantees and Other Financial Aid on New Community Development.	E00601-023
Trends and Implications of No-Growth Movement for New Communities.	E00601-157
Urban Mass Transportation Act of 1964	
Capital Assistance to Bus Transit Systems.	E01105-001
Fostering Urban Transportation Activities in Universities.	E01105-002
Grants to Improve Bus Transit Systems--Progress and Problems.	E01195-001
National Urban Reporting System.	E01105-003
USDA Appropriation of 1950	
Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	E00299-003
USDA Appropriation of 1952 to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	
Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	E00299-003
Vessels-Personnel-Licensing Act	
A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels.	E01101-001
Veterans Benefits Act	
ADP Laboratory System.	E06504-018
Veterans Education and Training Amendments Act of 1970	
Improvements Needed in Program to Contact and Assist Recently Discharged Veterans.	E06595-012
Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans.	E06596-003
Management Practices Used by the VA's Denver Regional Office in Assisting Veterans.	E06596-004
Need for Improved Outreach Efforts for Veterans in Prison or on Parole.	E06596-005

LAW INDEX**Veterans' Benefits Act**

Veterans Nursing Home Care Program for Providing Nursing Home Care to Veterans in State-Operated Nursing Homes.	E06595-001
Veterans Preference Act of 1944 Employment of Handicapped Individuals and Disabled Veterans in the Federal Government.	E05908-008
Veterans Readjustment Act Ways to Improve Management of Acquired Residential Properties.	E00694-004
Veterans Readjustment Benefits Act of 1966 Information on Implementation of the Amendments of Public Law 92-540 Which Impacts on Veterans Taking Correspondence Training.	E06596-010
Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans.	E06596-003
Need for Improved Outreach Efforts for Veterans in Prison or on Parole.	E06596-005
Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.	E00693-002
Veterans Readjustment Benefits Act of 1966, as amended Analysis of the Predischarge Education Program.	E06504-009
Cost Analysis: Present Compensation, Pension and Education Programs.	E06504-008
Cost Study Pilot/Target Systems Test.	E06504-003
Delays Experienced by Veterans in Receiving Educational Assistance Payments, Veterans Administration.	E06596-013
Review of the On-Campus Veterans Student Services Program.	E06504-005
Review of Education Certification Program.	E06504-007
Review of Veterans Administration Program Evaluation: Department of Veterans Benefits.	E06504-001
Study of the Beneficiary Identification and Records Locator Subsystem (BIRLS).	E06504-002
Veterans' Benefits Act Adequacy of Medical Staffing in Selected VA Hospitals.	E06595-023
Administration of Special Provision in Public Law 92-383, the 1973 Appropriations Act.	E06595-005
Beneficiary Identification Records Locator Subsystem.	E06504-017
Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans.	E06595-006
Centralized Mail-Out Prescription Systems.	E06504-013
Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals.	E06595-016
Condition of Veterans Memorial Hospital of Republic of the Philippines.	E06595-020
Controls on Use of Psychotherapeutic Drugs and Improved Psychiatrist Staffing Are Needed in Veterans Administration Hospitals.	E06595-022
Cost Analysis: Present Compensation, Pension and Education Programs.	E06504-008
Cost Benefit Study: Optical Character Recognition Equipment and Proposed System.	E06504-012
Cost Study Pilot/Target Systems Test.	E06504-003
Department of Medicine and Surgery Survey Report: VA Hospital, Palo Alto, California.	E06504-029
Improvements Needed in Certain Hospitals' Laboratory Service Activities.	E06595-011
Improvements Needed in Program to Contact and Assist Recently Discharged Veterans.	E06595-012
Information on Professional Fees and Other Compensation Paid for Services of Selected Physicians Employed at the Veterans Administration Hospital in Miami, Florida.	E06595-007
Information Concerning the Potential Transfer of the St. Albans Naval Hospital to the Veterans Administration.	E06595-010
Medical Administrative Processes.	E06504-028
Methods for Estimating Hospital and Nursing Home Bed Requirements in the San Francisco Area.	E06595-004

Veterans' Benefits Act**LAW INDEX**

Outpatient Sub-Clinic in Massachusetts.	E06504-014
Potential for Using Alternative Dietary Systems in Veterans Administration Hospitals.	E06595-003
Purchase of Foreign-Made Medical Supplies and Equipment by the Veterans Administration.	E06595-013
Regional Patient Data Base.	E06504-020
Reuse of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals.	E06595-015
Review of Allegations by Veterans About Patient Treatment in the Iowa City VA Hospital.	E06595-021
Review of Veterans Administration Closed Circuit Television System.	E06595-009
Review of Veterans Administration Program Evaluation: Department of Veterans Benefits.	E06504-001
Study of the Agency's Guardianship Role.	E06504-011
Study of the Beneficiary Identification and Records Locator Subsystem (BIRLS).	E06504-002
Transfer of Insurance Functions.	E06504-022
Veterans Assistance Program in the Philippines Should Be Improved.	E06590-003
Veterans' Benefits Act of 1957	
Architect/Engineer Firm Selection Process.	E06504-016
Contracts With Architect-Engineering Firms.	E06593-007
Information on the Selection of Independent Architect Engineers by the Veterans Administration.	E06593-001
Monitoring of Demographic Study by VA of Loma Linda, Calif.	E06593-005
Need for Increased Use of Value Engineering: A Proven Cost Saving Technique in Federal Construction.	E06593-004
Survey of VA Post Activation Evaluation Program.	E06593-008
The Implementation of Value Engineering.	E06593-003
VA Construction Project Approval: Processes and Budgetary Practices.	E06504-026
Veterans' Benefits Act, as amended	
Better Planning and Management Needed to Improve Utilization and Quality of Specialized Medical Services.	E06595-017
Systematic External Review Program Survey Report: Veterans Administration Center, Bay Pines, Florida.	E06504-030
Veterans' Housing Act of 1970	
Mobile-Home Loan Guaranty Program.	E06596-002
Vietnam Era Veterans' Readjustment Assistance Act of 1974	
Employment of Handicapped Individuals and Disabled Veterans in the Federal Government.	E05908-008
Employment Services for Vietnam-Era Veterans Could Be Improved.	E00992-024
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.	E00992-023
Vocational Education Amendments of 1968	
An Assessment of School-Supervised Work Education Programs.	E00501-017
Assessment of Vocational Education for Handicapped Students.	E00501-032
Evaluation of Federal Programs Supporting Educational Change.	E00501-047
Evaluation of Vocational Exemplary Programs.	E00501-042
Longitudinal Study of Educational Effects: Vocational Education.	E00501-043
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.	E00501-001
Training America's Labor Force: Potential, Progress, and Problems of Vocational Education.	E00581-002
What Is the Role of Federal Assistance for Vocational Education?	E00581-007
Vocational Rehabilitation Act of 1973	
Effectiveness of Vocational Rehabilitation in Helping the Handicapped.	E00591-004
Review of Vending Operations on Federally Controlled Property.	E00591-003
Wagner-Peyser Act of 1933	
Employment Services for Vietnam-Era Veterans Could Be Improved.	E00992-024
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.	E00992-023

Walsh-Healey Public Contracts Act

An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.	E00904-011
Demographic Characteristics of Workers in the Work Clothing Industry.	E00906-003
Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970.	E00995-007
More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.	E00995-004
Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department (2).	E00995-002
Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois.	E00995-006
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010

Water and Environmental Quality Improvement Act of 1970

Supertanker Environmental Study.	E01101-007
----------------------------------	------------

Water Pollution Control Act Amendments of 1972

Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs.	E00300-007
--	------------

Water Quality Act of 1965

Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.	E02390-003
Needed to improve Administration of the Water Pollution Research, Development, and Demonstration Program.	E02390-002

Watershed Protection and Flood Prevention Act

Effect of the Small Watershed Program on Major Land Uses.	E00219-007
Evaluation of Four Completed Small Watershed Projects: South Carolina, Maryland, Idaho-Nevada, and West Virginia.	E00219-009

Wetlands Act

Review of Wetlands Acquisition Program.	E00709-001
---	------------

Wholesome Meat Act

An Incident of Contamination of Livestock Feed and Certain Consumer Products. Salmonella in Raw Meat and Poultry: an assessment of the problem.	E00585-002
	E00585-008

Wholesome Meat Act of 1967

Consumer Protection Would Be Increased by Improving the Administration of Intra-state Meat Plant Inspection Programs.	E00299-011
---	------------

Wholesome Poultry Products Act

Salmonella in Raw Meat and Poultry: an assessment of the problem.	E00585-008
---	------------

Work Incentive Program

Employment Services for Vietnam-Era Veterans Could Be Improved.	E00992-024
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.	E00992-023

Youth Conservation Corps Act of 1970

Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps.	E00700-004
Youth Conservation Corps Pilot Program, 1972.	E00700-001

Youth Conservation Corps Act of 1970, as amended

An Evaluation of the 1973 Youth Conservation Corps.	E00700-003
Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974 YCC program.	E00700-005
Post-camp Uses and Effects of YCC Experiences.	E00700-006
Program Evaluation, Youth Conservation Corps.	E00709-003
Youth Conservation Corps Program, 1974.	E00780-010
Youth Conservation Corps-Pilot Program 1973.	E00216-004
Youth Conservation Corps Pilot Program, 1973.	E00700-002

PL 49-314	Evaluation of USDA Research Program on Housing.	E00213-001
PL 57-161	The Federal Reclamation Program; its impacts, issues and future considerations.	E00716-001
PL 59-117	Follow-Up Review of the Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia.	E00692-027
PL 62-275	An Evaluation of the Witchweed Program.	E00205-001
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.	E00205-004	
Citrus Blackfly Program Evaluation.	E00205-003	
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001	
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.	E00205-005	
The Southwestern Screwworm Eradication Program: a review.	E00205-002	
PL 62-299	Export of U.S.-Manufactured Aircraft--Financing and Competitiveness.	E00399-003
Need for Better Identification and Analysis of Nontariff Barriers to Trade.	E00399-001	
Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration.	E00300-010	
Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration.	E00300-011	
PL 62-426	An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.	E00904-011
Demographic Characteristics of Workers in the Work Clothing Industry.	E00906-003	
Impact of the Recent Inflation on Labor Skill Differentials.	E00900-033	
Indexing for Inflation: Some Formal Models and an Informal Policy Proposal.	E00900-030	
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012	
Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).	E00906-002	
The Challenge of Dual and Radical Theories of the Labor Market to Orthodox Theory.	E00900-034	
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010	
PL 63-95	An Evaluation of the Mulligan Stew 4-H Television Series for Extension Service, USDA.	E00215-001
Survey of Expanded Food and Nutrition Education Program.	E00299-013	
PL 63-293	An Evaluation of the Witchweed Program.	E00205-001
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.	E00205-004	
Citrus Blackfly Program Evaluation.	E00205-003	
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001	
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.	E00205-005	
The Southwestern Screwworm Eradication Program: a review.	E00205-002	

LAW INDEX**PL 68-292**

- PL 63-392**
Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies. **E00299-033**
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. **E00216-001**
Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists. **E00216-003**
The Forest Products Utilization Program (Its Effectiveness and Future Opportunities). **E00216-002**
- PL 64-190**
Economic Impact of Proposed Changes in Beef Grades. **E00204-004**
Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement. **E00299-003**
- PL 64-260**
Report on Audit of the Ship Transfer Program, Maritime Administration. **E00300-006**
- PL 65-40**
An Evaluation of the Witchweed Program. **E00205-001**
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. **E00205-004**
Citrus Blackfly Program Evaluation. **E00205-003**
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971. **E00200-001**
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. **E00205-005**
The Southwestern Screwworm Eradication Program: a review. **E00205-002**
- PL 66-259**
The San Francisco Review. **E00904-008**
- PL 66-414**
A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports. **E00889-001**
Better Controls Needed to Prevent Foreign Students from Violating the Conditions of Their Entry and Stay in the U.S. **E00889-004**
Certain Activities of the N.Y. District Office of the Immigration and Naturalization Service, Department of Justice. **E00889-003**
More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States. **E00889-002**
Need to Reduce Public Expenditures for Newly Arrived Immigrants and Correct Inequity in Current Immigration Law. **E00889-005**
- PL 67-13**
A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717. **E03700-002**
- PL 67-51**
Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets. **E00208-002**
Recovery of Nonpayments to Livestock Producers (Sellers Under the Bonds of Registrants). **E00208-003**
Survey of Grade and Weight Selling of Livestock. **E00208-001**
- PL 67-85**
Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved. **E00793-001**
- PL 67-478**
Economic Impact of Proposed Changes in Beef Grades. **E00204-004**
- PL 67-539**
Economic Impact of Proposed Changes in Beef Grades. **E00204-004**
- PL 68-292**
Water & Land Resource Accomplishments, 1973. **E00716-002**

PL 69-450 Evaluation Report on the Technical Assistance Effort Devoted to Improving Cooperative Firm Operations, FY 1973.	E00220-001
PL 69-625 Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project Idea 35. Preliminary Field Resource Allocation Model.	E00505-006 E00505-015
PL 69-712 Administration of Marketing Orders for Fresh Fruits and Vegetables.	E00299-004
PL 69-740 Cash Grain Price Reporting in the Chicago Market. Economic Impact of Proposed Changes in Beef Grades.	E00204-005 E00204-004
PL 69-803 The San Francisco Review.	E00904-008
PL 70-419 The San Francisco Review.	E00904-008
PL 70-466 Improvements Needed in Planning Allowable Harvests.	E00299-026
PL 70-661 Cash Grain Price Reporting in the Chicago Market.	E00204-005
PL 70-770 Report of the Migratory Bird Conservation Commission for the Fiscal Year 1974.	E00709-006
PL 71-325 Administration of Marketing Orders for Fresh Fruits and Vegetables.	E00299-004
PL 71-361 Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses. Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.	E01282-001 E01097-010
PL 71-798 An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program. Compliance with the Minimum Wage Law: progress report. Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters. Demographic Characteristics of Workers in the Work Clothing Industry. Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act. Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d). Minimum Wage Legislation in the United States. Private Household Workers...Feasibility and Implications of Extending Minimum Wage Protection Under FLSA. Private Household Workers: data pertinent of an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974. Propriety of Minimum Wage Determinations for Clerical and Other Office Employees Under the Service Contract Act. Sheltered Workshops Under the Fair Labor Standards Act. Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data). The Four Questions for Evaluating the Effects of the FLSA (Fair Labor Standards Act) Minimum Wage Provisions.	E00904-011 E00900-003 E00994-003 E00906-003 E00904-004 E00904-003 E00904-002 E00900-013 E00904-020 E00904-001 E00994-001 E00904-012 E00906-002 E00900-039

LAW INDEX**PL 73-479**

The San Francisco Review.
 Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.

E00904-008**E00904-010****PL 73-10**

A Study of Alternatives to Commodity Donations to Schools.
 Assessment of Dietary Adequacy of Program Participants.
 Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement.
 Evaluation of the FY 1974 USDA Special Beef Purchase.
 Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert.
 Food Distribution and Food Stamp Program Effects on Nutritional Achievement.
 Impact of Cashing Out the Food Distribution Program.
 Implications of Discontinuing USDA Commodity Acquisitions and Distribution Activities.
 National Survey of Family Food Assistance Participants.
 National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.
 Phase II Food Survey of Institutions.

E00200-008**E00207-021****E00207-020****E00200-004****E00204-001****E00207-002****E00219-004****E00200-006****E00207-019****E00207-003****E00207-025****PL 73-30**

Analysis of COMO (Comprehensive Model) Follow-up Data.
 Black-White Earnings and Employment: 1960-1970.
 Employment Services for Vietnam-Era Veterans Could Be Improved.
 Estimating the Benefits of Job Banks as a Computerized Record Keeping System.
 Evaluation of the Smaller Communities Program.
 Evaluation of Effectiveness and Results of LINCS (Labor Inventory Communication System).
 Evaluation of ES (Employment Service) Technical Services Activity.
 Evaluation of Job Development in SMSA.
 Evaluation of MA and Supportive Services to Mexican Americans.
 Evaluation of MA Services and Supportive Services to American Indians on Reservations.
 Evaluation of Special Manpower Services to Veterans.
 Evaluation Study of the Counselor Training Program.
 Evaluation Study of the Mandatory Listing Activity.
 Evaluation Study of the Technology Mobilization and Reemployment Program.
 Evaluation Study of the Work Sample Activity.
 Evaluation Study of Model Ex-Offender Program, Phase III.
 Job Matching Under Imperfect Information.
 Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.
 Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns.
 The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates.
 The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors.
 The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact.
 Theories of Search in a Labor Market.

E00902-011**E00900-027****E00992-024****E00900-015****E00902-010****E00902-014****E00902-009****E00902-029****E00902-034****E00902-033****E00902-035****E00902-032****E00902-020****E00902-019****E00902-027****E00902-012****E00900-020****E00992-023****E00900-008****E00900-016****E00900-029****E00900-040****E00900-022****PL 73-137**

Assessment of Dietary Adequacy of Program Participants.

E00207-021**PL 73-479**

Area Managers Activities.
 Assessment of Programs Assigned to Assistant Secretary for Housing Production and Mortgage Credit.
 Bulk Sales--Rehabilitation, Property Disposition: Banner Ltd.
 Default Counseling.
 Delays in Final Endorsement.
 Department of Housing and Urban Development's 'as is' Sales Program in Flint, Michigan.
 Differences in Costs of Purchasing Houses in Seven States through the Departments of Housing and Urban Development and Agriculture.
 Disposal of Acquired Properties in Detroit, Michigan: progress and problems.
 Disposition of Acquired Single Family Properties.

E00600-133**E00603-031****E00600-139****E00600-005****E00600-123****E00693-021****E00693-022****E00693-015****E00600-131**

Evaluation of the Operation of Concentrated Default Counseling.	E00604-027
Evaluation of Housing Management Goals Management System.	E00604-029
Greater Benefits Can Be Derived from Improved HUD Audits of Program Participants.	E00690-001
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.	E00693-016
Loan Management Functions on Multifamily Projects.	E00600-135
Mortgage Insurance for Nursing Homes--Section 232.	E00600-124
Mortgagees' Origination Costs.	E00600-075
Multi-Family Application Processing.	E00600-096
Multifamily Acquired Properties Rehabilitation/Disposition.	E00600-134
Need for Improvement in Providing Funds to Local Public Agencies.	E00693-006
New Construction--Single-Family Accelerated Subdivision Processing.	E00600-098
Operations of a Special Mortgagor.	E00600-100
Opportunities for Energy Conservation in Federally Insured Homes by Revising Current Thermal Standards and Establishing Additional Standards Where Necessary.	E00693-013
Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.	E00693-002
Processes for Approving and Monitoring Nonsupervised Mortgages.	E00693-011
Project Rehabilitation.	E00600-099
Residential Subdivision Processing.	E00600-122
Single Family Appraisal/Inspection.	E00600-095
Single-Family Property Disposition.	E00600-003
Summary Information on HUD-Held Multifamily Mortgages.	E00693-020
The Changing Role of FHA Mortgage Insurance in the Mortgage Market and the Secondary Market.	E00600-025
Title I Property Improvement and Mobile Home Loans.	E00600-125
Weaknesses in Administration of the Program to Correct Defects in Housing Insured under the Section 235 Program.	E00693-025
PL 73-482	
Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada.	E00714-001
PL 74-271	
A Diffusion Analysis of AFDC Participation.	E00509-024
A Gross Flows Model of AFDC Participation.	E00509-021
Analysis of the Utilization of Social Services by AFDC Recipients.	E00509-023
Assets on the Threshold of Retirement.	E00510-053
Black-White Earnings and Employment: 1960-1970.	E00900-027
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
Considerations in Developing Welfare Cost Standards.	E00509-019
Cost-Benefit Analysis of SSDI (Social Security Disability Income) Trust Fund Program.	E00510-148
Coverage and Vesting of Full-Time Employees Under Private Retirement Plans.	E00510-046
Covered Employment and the Age Men Claim Retirement Benefits.	E00510-041
Demographic and Economic Characteristics of Aged--1968 Survey.	E00510-064
Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns.	E00510-050
Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63.	E00510-024
Earnings of Disabled-Worker Beneficiaries.	E00510-029
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Employment Services for Vietnam-Era Veterans Could Be Improved.	E00992-024
Estimating the Benefits of Job Banks as a Computerized Record Keeping System.	E00900-015
Family Structure in Pre-Retirement Years.	E00510-049
Finnish and Swedish Pension Re-Insurance Programs.	E00510-144
Full Employment and Income Security: the role of wage subsidy programs as policy weapons.	E00509-018
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
Improving Federally Assisted Family Planning Programs.	E00587-006
Income Transfers as a Public Good: An Application to AFDC.	E00500-076
Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned.	E00590-005
International Social Security Agreements.	E00510-066
Job Matching Under Imperfect Information.	E00900-020
Major Social Security Issues: Japan, 1972.	E00510-059
Making Social Service Choices at the State Level: practice and problems in four states.	E00509-039
Participation in the Aid to Families with Dependent Children.	E00509-029
Pension Reform Legislation of 1974.	E00510-018

Problems in Administering Two Eligibility Aspects in the Aid to Families with Dependent Children Program.	E00589-002
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.	E00992-023
Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries.	E00510-056
Regional Adjustments to Family Assistance Plan Payments.	E00509-028
Relatedness Patterns of Puerto Rican Centenarians.	E00510-151
Retirement History Study: Introduction.	E00510-062
Self-Employment in the Covered Work Force.	E00510-037
Service Utilization Patterns Derived from Cross Tabulations of the 1971 AFDC Survey: a guide to the perplexed.	E00509-016
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security Programs Throughout the World, 1973.	E00510-065
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Social Welfare Expenditures, 1972-73.	E00510-045
Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns.	E00900-008
Survey of Newly Entitled Beneficiaries.	E00510-063
The Disabled Widow.	E00510-016
The Effect of Social Security on Personal Saving.	E00510-150
The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates.	E00900-016
The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors.	E00900-029
The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact.	E00900-040
The Quality Control System in Welfare: Its Implementation in Pennsylvania.	E00589-001
The Retirement Test: An International Study.	E00510-025
Theories of Search in a Labor Market.	E00900-022
Unemployment and Disability: An Econometric Analysis with Time Series Data.	E00510-036
Variation in Payment Formulas and Work Incentives.	E00509-017
Welfare and Illegitimacy: an economic model and some preliminary results.	E00509-022
Welfare Policy and Family Splitting.	E00509-020
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
Work After Retirement: some psychological factors.	E00510-131
Workmen's Compensation Under Security.	E00510-022
Young Widows and Their Children: A Comparative Report.	E00510-011
PL 74-314	
Cash Grain Price Reporting in the Chicago Market.	E00204-005
Economic Impact of Proposed Changes in Beef Grades.	E00204-004
PL 74-605	
Controls Exercised by Rural Electrification Administration over Borrowers' Activities.	E00299-034
Rural Development as Related to REA Borrower's Activities.	E00203-001
PL 74-675	
Improvements Needed in Regulation of Commodity Futures Trading.	E00299-012
PL 74-835	
Government Support of the Shipbuilding Industrial Base.	E00399-005
Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration.	E00300-010
Report on Audit of Selected Pollution Abatement Activities, Maritime Administration.	E00300-012
PL 74-846	
An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.	E00904-011
Compensating Wage Differentials and Hazardous Work.	E00900-014
Compliance with the Minimum Wage Law: progress report.	E00900-003
Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters.	E00994-003
Demographic Characteristics of Workers in the Work Clothing Industry.	E00906-003
Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970.	E00995-007
Economic Incentives and Occupational Safety.	E00900-036

Land Reclamation Requirements and Their Estimated Effects on the Coal Industry.	E00900-035
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act.	E00904-004
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).	E00904-002
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).	E00904-003
Minimum Wage Legislation in the United States.	E00900-013
More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.	E00995-004
Private Household Workers...Feasibility and Implications of Extending Minimum Wage Protection Under FLSA.	E00904-020
Private Household Workers: data pertinent of an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974.	E00904-001
Propriety of Minimum Wage Determinations for Clerical and Other Office Employees Under the Service Contract Act.	E00994-001
Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department (2).	E00995-002
Review of Occupational Safety and Health Activities of Federal Civilian Agencies.	E00995-001
Review of Occupational Safety and Health Activities of Federal Civilian Agencies--GSA (2).	E00995-003
Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois.	E00995-006
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
The Four Questions for Evaluating the Effects of the FLSA (Fair Labor Standards Act) Minimum Wage Provisions.	E00900-039
The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.	E00900-023
The San Francisco Review.	E00904-008
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010
PL 75-137	
A Study of Alternatives to Commodity Donations to Schools.	E00200-008
Demand of Low-Income Families for Food, Food Stamps and Nutritional Achieve- ment.	E00207-020
Evaluation of the FY 1974 USDA Special Beef Purchase.	E00200-004
Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Market- ing Alert.	E00204-001
Impact of Cashing Out the Food Distribution Program.	E00219-004
Implications of Discontinuing USDA Commodity Acquisition and Distribution Activi- ties.	E00200-009
Implications of Discontinuing USDA Commodity Acquisitions and Distribution Activi- ties.	E00200-006
National Survey of Family Food Assistance Participants.	E00207-019
Phase II Food Survey of Institutions.	E00207-025
Price Impacts of Federal Market Order Programs.	E00220-002
Pricing Under Federal Milk Market Regulation: theory, objectives and impact.	E00204-002
Social Cost of Alternative Dairy Price Support Levels.	E00219-003
PL 75-412	
Benefits Could Be Realized by Revising Policies and Practices for Acquiring Existing Structures for Low Rent Public Housing.	E00694-001
Evaluation of HUD-Mandated Model Lease and Grievance Procedures.	E00604-028
Housing for the Elderly--Factors Which Should be Evaluated Before Deciding on Low- or High-Rise Construction.	E00693-024
Independent Public Accountant Services.	E00600-083
Ineffective HUD Monitoring of Indian Housing Authorities' Activities.	E00694-008
Inquiry into the Construction of Housing Projects on the Blackfeet Indian Reserva- tion.	E00694-009
Leased Housing Program.	E00600-077
Liquidation of Public Works Planning Advances.	E00600-102
Local Housing Authorities Can Improve Their Operations and Reduce Dependence on Operating Subsidies.	E00694-012
Low Rent Housing Maintenance and Engineering.	E00600-079
Low Rent Housing Modernization Project.	E00600-082
Low Rent Occupancy.	E00600-105
Management Performance in Public Housing.	E00604-026
Monitoring of Housing and Urban Development Investigation of the Pueblo of Lagu- na Housing Authority.	E00694-011

LAW INDEX

PL 76-260

Need for HUD to Collect Excessive Funds Provided to a Housing Authority.	E00694-006
Observations on Operation of National Capital Housing Authority.	E00694-003
Operating Subsidies--Low Rent Housing Programs.	E00600-136
Problems in the Homeownership Opportunities Program for Low Income Families (HOPLIF).	E00693-018
Relocation Payments.	E00600-067
Relocation.	E00600-087
Repairs--Single Family Acquired Property.	E00600-078
Reports on Rentals.	E00600-130
Review of Modernization Program for Low-Rent Public Housing.	E00694-002
Servicing Problem Projects.	E00600-081
Target Problem Projects Evaluation.	E00604-030
Tenant Eligibility.	E00600-080
The Low Rent Housing Project on Quebec Road in Cincinnati.	E00692-999
Turnkey III--Homeownership.	E00600-106
Weaknesses in Administration of the Home-Ownership Program for Low-Income Families.	E00693-000
PL 75-424	
MultiFamily Default Study.	E00600-004
PL 75-430	
An Evaluation of Insurance Experience.	E00211-001
Analysis of Individual Underwriting Progress and Problems.	E00211-002
Cotton Evaluation of Coverage Reductions and Rate Increases.	E00211-004
Evaluation of the USDA Food Supply Release, Food Marketing Alert.	E00204-003
Evaluation of Experience Under Improved Management Practice for Corn.	E00211-003
PL 75-587	
INTERPOL: A Discussion of Its Activities and Membership.	E00800-002
PL 75-718	
An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.	E00904-011
Compliance with the Minimum Wage Law: progress report.	E00900-003
Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters.	E00994-003
Demographic Characteristics of Workers in the Work Clothing Industry.	E00906-003
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act.	E00904-004
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).	E00904-002
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).	E00904-003
Minimum Wage Legislation in the United States.	E00900-013
Private Household Workers...Feasibility and Implications of Extending Minimum Wage Protection Under FLSA.	E00904-020
Private Household Workers: data pertinent of an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974.	E00904-001
Propriety of Minimum Wage Determinations for Clerical and Other Office Employees Under the Service Contract Act.	E00994-001
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).	E00906-002
The Four Questions for Evaluating the Effects of the FLSA (Fair Labor Standards Act) Minimum Wage Provisions.	E00900-039
The San Francisco Review.	E00904-008
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010
PL 76-260	
More Effective Procedures Are Needed for Establishing Payment Terms and Development Periods for Irrigation Projects.	E00796-002
Water & Land Resources Accomplishments, 1973.	E00716-002

PL 77-208**LAW INDEX****PL 77-208**

The San Francisco Review.

E00904-008**PL 78-359**

Employment of Handicapped Individuals and Disabled Veterans in the Federal Government.

E05908-008**PL 78-401**

Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health.

E00500-102**PL 78-410**

Chlorpromazine: Innovation and Revolution in the Drug Treatment of Mental Disease States.

E00503-021

Contributions of Surgical Research to Health Care, 1945-1970: report of the Research Subcommittee of the Study on Surgical Services in the United States.

E00508-025

Evaluation of the National Pituitary Agency.

E00508-051

Evaluation Report: General Clinical Research Centers Program, Division of Research Resources.

E00508-038

Follow-up Survey of National Cancer Institute Postdoctoral Fellows (and) Follow-up Survey of National Cancer Institute Graduate Research Trainees; final report.

E00508-029

Proceedings of a Symposium--Dental Biomaterials Research Priorities.

E00508-031

Strategies for Change in Community Mental Health: New Careers.

E00503-039

The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health.

E00500-068

The Totally Implantable Artificial Heart.

E00508-028**PL 78-425**

Evaluation of the TRI-X Regional Document Delivery Service.

E00217-001

Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.

E00299-003

The Delivery of Library and Information Services to USDA Research Personnel In the Field.

E00217-002**PL 78-534**

Southwestern Federal Power Program--Financial Progress and Problems.

E00780-002**PL 79-171**

More Effective United States Participation Needed in World Bank and International Development Association.

E01280-005**PL 79-268**

Report on Survey of VA's Durable Medical Appliances Activities.

E06595-025**PL 79-396**

'Computer Associated Menu Planning (CAMP).'

E00207-018

An Economic Evaluation of School Lunch Systems.

E00207-016

Comparison of Type A Pattern and Nutrient Standard Approaches to School Food Service Menu Planning.

E00207-012

Cost Structure of the School Lunch Program.

E00207-013

Cost Survey of Foods Purchased by the USDA and Local School Systems.

E00207-009

Demonstration Project for Summer Special Food Service Program for Children.

E00207-026

Factors Affecting Food Habits.

E00207-017

Five County Food Management Improvement Project.

E00207-010

Impact of Price on Participation in NSLP: a summary.

E00207-015

Impact of Price on School Lunch Participation--Washington State.

E00207-014

Implications of Discontinuing USDA Commodity Acquisitions and Distribution Activities.

E00200-006

Presidential Objective on Child Nutrition Programs.

E00207-008

Progress and Problems in Achieving Objectives of School Lunch Program.

E00299-021

Racial Composition in the National School Lunch Program.

E00207-011

School Feeding Effectiveness.

E00207-004

The USDA Study on High School Participation in Child Nutrition Programs.

E00207-005

Who's Picking Up the Check for Pennsylvania's School Lunches?

E00207-007

1972 National School Lunch Program Survey.

E00207-006

LAW INDEX**PL 80-806****PL 79-601**

Federal Regulation of Lobbying Act--Difficulties in Enforcement and Administration.

E00880-003**PL 79-733**

Administration of Marketing Orders for Fresh Fruits and Vegetables.

E00299-004

Cash Grain Price Reporting in the Chicago Market.

E00204-005

Consumer Protection Would Be Increased by Improving the Administration of Intra-state Meat Plant Inspection Programs.

E00299-011

Economic Impact of Proposed Changes in Beef Grades.

E00204-004

Evaluation Report on the Technical Assistance Effort Devoted to Improving Cooperative Firm Operations, FY 1973.

E00220-001

Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.

E00299-003

Reaction to the National Agricultural Outlook Conference.

E00219-006

Report on Survey of the Fishery Products Inspection Service, National Oceanic and Atmospheric Administration.

E00300-008**PL 80-104**

Aspects of the Beekeeper Indemnity Payment Program.

E00299-006

Development of a Contingency Plan for Certification of Pesticide Applications.

E02300-009

Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.

E02390-004

Need for More Guidance in Establishing Recovery Periods for Damaged Bee Colonies to More Effectively Control Payments to Beekeepers.

E00299-007

Pesticides: Action Needed to Protect the Consumer from Defective Products.

E02390-008

Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered.

E00585-010**PL 80-382**

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands.

E00780-001

Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey.

E00712-008

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.

E00780-005

Onshore Lease Management Program Study for the U.S. Geological Survey.

E00712-007

Review of Royalty Accounting System for Onshore Oil and Gas Leases.

E00712-013

Royalty Accounting System Study of Solid Mineral Leasing Activities.

E00712-014**PL 80-388**

The Sugar Program: Large Costs and Small Benefits.

E00209-007**PL 80-645**

An Evaluation of the Witchweed Program.

E00205-001

APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.

E00205-004

Citrus Blackfly Program Evaluation.

E00205-003

Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.

E00200-001

The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.

E00205-005

The Southwestern Screwworm Eradication Program: a review.

E00205-002**PL 80-772**

An Evaluation of the U.S. Board of Parole Reorganization.

E00800-008**PL 80-806**

A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970.

E00209-005

Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy.

E00209-006

Capitalization of Farm Program Benefits into Land Values.

E00219-001

Distribution of Farm Program Payments by Income of Sole Proprietors.

E00219-002

Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).

E00209-001

Examination into Feed Grains and Related Matters.

E00299-009

Farm Programs, Pesticide Use, and Social Costs.

E00209-004

Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.

E00299-010

Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program.

E00299-008

Policies and Practices for Assessing Fees for Processing Price-Support and Storage Facility and Equipment Loans.	E00299-005
Pricing Under Federal Milk Market Regulation: theory, objectives and impact.	E00204-002
Program Evaluation on 1973 Feedgrain Program Performance.	E00209-002
Social Cost of Alternative Dairy Price Support Levels.	E00219-003
Uniform Grain Storage Agreement.	E00209-003
PL 81-146	
Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	E00299-003
PL 81-152	
A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717.	E03700-002
An Analysis of Selected Factors of the SBA-Forest Service Timber Set-Aside Program.	E05507-007
Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites.	E03790-003
How to Improve the Procurement and Supply of Drugs in the Federal Government (DOD, VA, HEW, OMB, and GSA).	E99999-001
Management of Federal Supply Service Procurement Programs Can Be Improved.	E03790-005
More Specific Criteria Needed to Improve Real Property Surveys.	E03790-004
Proper Use of the Economic Order Quantity Principal Can Lead to More Savings.	E99999-002
The Federal Catalog Program: Progress and Problems in Attaining a Uniform Identification System for Supplies.	E03790-001
The Federal Reclamation Program; its impacts, issues and future considerations.	E00716-001
PL 81-171	
A Comparative Cost Analysis of Alternative Methods for Selling FHA Notes.	E00200-007
Actions Needed to Terminate Federal Administration of State Rural Rehabilitation Funds Program.	E00299-015
Annual Administrative Budget.	E00600-091
Area Office of Application Procedures.	E00600-074
Close-out of Urban Renewal Projects.	E00600-063
Closeout of Urban Renewal Projects.	E00600-093
Code Enforcement Program.	E00600-065
Complaints Concerning the Rural Housing Loan Program in Five Arkansas Counties.	E00299-014
Effectiveness and Administration of the Rural Rental-Housing Program.	E00299-016
Employment Impacts of the Economic Development Administration's Public Works Program.	E00201-002
Evaluating Local Urban Renewal Projects.	E00602-054
Evaluation of On-Going Urban Renewal Activities.	E00600-114
Evaluation of Urban Renewal Activities.	E00600-092
Final Land Acquisition--Urban Renewal.	E00600-090
Guidelines for Urban Renewal Land Disposition.	E00602-046
Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview.	E00201-001
Need to Improve Management of Urban Renewal Projects.	E00692-018
Neighborhood Development Program.	E00600-062
Non-cash Local Grants-in-aid.	E00600-071
One for One OE/CPD Replacement Housing: the impact of section 105(h) on the NDP/Urban Renewal Program.	E00602-051
Practices in Obtaining Credit Reports for Housing and Agricultural Loans.	E00299-020
Prices Paid for Property Acquired in Urban Renewal Programs in the District of Columbia.	E00692-007
Rehabilitation Loans and Grants.	E00600-060
Relocation Payments.	E00600-067
Relocation.	E00600-087
Review of Certain Aspects of the Federally Assisted Code Enforcement Program in Denver, Colorado.	E00692-020
Review of Certain Aspects of the Urban Renewal Program in Lynn, Massachusetts.	E00692-012
Selected Aspects of the Neighborhood Development Program.	E00692-006
The Future of Local Urban Development.	E00602-055
The Impact of Exogenous Factors Upon Urban Renewal Land Disposition.	E00602-045
Urban Renewal Land Acquisition (Proclaimer).	E00600-066
Urban Renewal Land Acquisition Activities.	E00600-117
Urban Renewal Land Disposition Activities.	E00600-072
Urban Renewal Land Disposition Activities:	E00600-112
Urban Renewal Land Disposition Studies: Boston, Mass.	E00602-048
Urban Renewal Land Disposition Studies: Durham, NC.	E00602-056

Urban Renewal Land Disposition Studies: Kingston, N.Y.	E00602-043
Urban Renewal Land Disposition Studies: Louisville, Ky.	E00602-058
Urban Renewal Land Disposition Studies: St. Paul, Minn.	E00602-057
Urban Renewal Land Disposition Studies: Supplementary Cities.	E00602-049
Urban Renewal Land Disposition Studies: Tulsa, Oklahoma.	E00602-059
Urban Renewal Land Disposition Study: literature search.	E00602-047
Urban Renewal Land Disposition.	E00600-085
Use of Consultants.	E00600-111
Ways to Improve Effectiveness of Rural Business Loan Programs.	E00299-017
PL 81-207	
A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels.	E01101-001
A Study of the Minority Officer Procurement Program of the U.S. Coast Guard.	E01101-014
A Study of the U.S. Coast Guard Intelligence and Security Functions.	E01101-017
A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates.	E01101-013
Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions.	E01101-020
Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center.	E01101-015
Aviation Issue Study.	E01101-010
Cutter Issue Study.	E01101-009
Development of CVS Management Information System.	E01101-005
Government Maritime Communications.	E01101-018
Human Relations in the Coast Guard.	E01101-016
International Inventory and Forecast of Offshore Petroleum and Mineral Activity.	E01101-003
Licensing of Deck and Engineering Officers in the U.S. Merchant Marine.	E01101-002
Radar Observer Testing.	E01101-004
Radio Aids to Navigation for the U.S. Coastal Confluence Region.	E01101-011
Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973.	E01101-006
PL 81-258	
The Airport Passenger Head Tax: analysis of its potential impact.	E01102-056
PL 81-439	
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).	E00209-001
Program Evaluation on 1973 Feedgrain Program Performance.	E00209-002
PL 81-475	
College Housing Loan.	E00600-132
College Housing Management.	E00600-129
Ways to Improve Management of Acquired Residential Properties.	E06596-006
PL 81-507	
Achievements, Cost, and Administration of the Ocean Sediment Coring Program.	E04690-001
PL 81-729	
Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies.	E00299-033
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.	E00216-001
Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.	E00216-003
The Forest Products Utilization Program (Its Effectiveness and Future Opportunities).	E00216-002
PL 81-776	
Report on Audit of Matters of Particular Interest to the Department's Office of Budget and Program Analysis Concerning the National Technical Information Service.	E00300-014
Report on Audit of Selected Areas of the National Technical Information Service.	E00300-013
PL 81-784	
A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717.	E03700-002

PL 81-784**LAW INDEX**

Opportunities to Improve Financial Management and Reduce Costs of Loan Administration.

E05590-005

PL 81-874

Program for School Assistance in Federally Affected Areas (SAFA).

E00500-114

PL 82-297

The San Francisco Review.

E00904-008

PL 82-414

A Review of the Organization of I&NS Regional Offices.
 A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice.
 A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice.
 Administration of the Alien Labor Certification Program Should Be Strengthened.
 Board of Immigration Appeals: a discussion and recommendations for change.
 Establishment of Operational Priorities--FY 1975.
 Immigration and Naturalization Service...Critical Needs.

E00809-004
 E00809-001
 E00800-013
 E00992-029
 E00800-006
 E00809-003
 E00809-002

PL 82-436

The Federal Catalog Program: Progress and Problems in Attaining a Uniform Identification System for Supplies.

E03790-001

PL 82-529

An Evaluation of the Witchweed Program.
 APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.
 Citrus Blackfly Program Evaluation.
 The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.
 The Southwestern Screwworm Eradication Program: a review.

E00205-001
 E00205-004
 E00205-003
 E00205-005
 E00205-002

PL 83-83

An Evaluation of the Mulligan Stew 4-H Television Series for Extension Service, USDA.

E00215-001

PL 83-212

First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.
 Information on Certain Oil and Gas Industry Oversight Responsibilities.
 International Inventory and Forecast of Offshore Petroleum and Mineral Activity.
 Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf.
 Report of the Work Group on OCS Safety and Pollution Control.
 Report of the Work Group on OCS Safety and Pollution Control, May 1973.
 Report of the Work Group on OCS Safety and Pollution Control, May 1973.
 The San Francisco Review.
 Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-001
 E00780-004
 E01101-003
 E00780-008
 E00712-004
 E00712-005
 E00712-006
 E00904-008
 E00712-003

PL 83-480

A Follow-Up Study of Attitudes of Participants in U.S. Department of Agriculture-Hotelympia 1974.
 An Evaluation Study of the Special Currency Program in Yugoslavia.
 Analysis of the Tokyo Trade Center Show.
 Evaluation of the Italian Identified Soybean Oil Promotion.
 Evaluation Study of the Impact of the Special Foreign Currency Health Projects in Yugoslavia and the United States: report of the Yugoslav evaluation team, 1973.
 Food for Peace: An Evaluation of P.L. 480--Title II.
 Observations Regarding the Promotion of Processed Food Products in Germany and the United Kingdom.
 Review of the International Institute for Cotton (IIC) Market Development Program.
 Review of the National Renderers Association Program in the Far East.
 Review of the Rice Council for Market Development Brand Incentive Program.
 The Case of P.L. 480, The Side Effects of Foreign Aid: Wheat in Colombia.

E00212-011
 E00500-043
 E00212-005
 E00212-012
 E00500-064
 E00212-002
 E00212-008
 E00212-009
 E00212-007
 E00212-010
 E00212-001

PL 83-531

Design of Evaluation Plan for the Right to Read Community Based Projects.
 Evaluation of the Community Based Right to Read Program.

E00501-028
 E00501-011

LAW INDEX**PL 83-703**

Evaluation of Federal Programs Supporting Educational Change. **E00501-047**

PL 83-560

Comprehensive Planning Programs. **E00600-064**
Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs. **E00602-032**
Coordination of Federal Planning Assistance Programs. **E00600-007**
Multi-Family Mortgage Insurance Programs--Feasibility Processing to Final Endorsement. **E00600-126**
Opportunities for Improvement in Coordination of Housing Planning Efforts between State and Interstate Regional Planning Agencies and in the Department of Housing and Urban Development Guidelines on Updating Housing Studies. **E00692-017**
Survey of Administration of the Planned Variations Program. **E00692-013**

PL 83-566

Effect of the Small Watershed Program on Major Land Uses. **E00219-007**
Evaluation of Four Completed Small Watershed Projects: South Carolina, Maryland, Idaho-Nevada, and West Virginia. **E00219-009**

PL 83-568

A Comparative Consumer Survey on Human Services for American Indians in North Dakota. **E00500-209**
A Study of Maternal and Infant Care of Indian and Native Alaskan Americans. **E00507-087**
Assessment of the Unmet Needs of the Indian Health Service. **E00507-071**
Community Health Medic Evaluation. **E00507-025**
Establishment of a Contract Medical Care. **E00507-022**
Evaluation of the Indian Health Service Unmet Needs Estimating Methodology. **E00507-065**
Final Report of the Evaluation of the Oklahoma City Area Indian Health Services Offices. **E00507-088**
FY 1974 Evaluation Plan: Indian Health Services, Health Services and Mental Health Administration. **E00500-053**
Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana. **E00507-050**
Indian Health Service Upward Mobility. **E00507-058**
Menominee Tribal Health Care Program Planning. **E00507-068**
National Indian Health Board: Consumer Evaluation of Indian Health Services. **E00507-030**
Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements. **E00507-018**
Preventive Health Programs (Part II): Tuberculosis Control Planning Models. **E00507-019**
Progress and Problems in Providing Health Services to Indians. **E00587-003**
The Expectations and Commitments of HPSC--OPSAM (Health Program Systems Center--Operations Systems Analysis Module): A documentary review. **E00507-017**

PL 83-664

Report on Audit of the Cargo Preference Program, Maritime Administration. **E00300-004**

PL 83-690

A Follow-Up Study of Attitudes of Participants in U.S. Department of Agriculture-Hotelympia 1974. **E00212-011**
Analysis of the Tokyo Trade Center Show. **E00212-005**
Evaluation of the Italian Identified Soybean Oil Promotion. **E00212-012**
Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan Cotton Promotion Institution. **E00212-004**
Import Demand for Rice in the EEC: Implications of U.S. Market Promotion. **E00212-003**
Observations Regarding the Promotion of Processed Food Products in Germany and the United Kingdom. **E00212-008**
Review of the International Institute for Cotton (IIC) Market Development Program. **E00212-009**
Review of the National Renderers Association Program in the Far East. **E00212-007**
Review of the Rice Council for Market Development Brand Incentive Program. **E00212-010**

PL 83-703

Improvements Needed in the Program for the Protection of Special Nuclear Material. **E06290-004**
Opportunities for Improving AEC's Administration of Agreements with States Regulating Users of Radioactive Materials. **E06290-002**
Opportunity for AEC to Improve Its Procedures for Making Sure that Containers Used for Transporting Radioactive Materials are Safe. **E06290-003**

PL 83-703**LAW INDEX**

Problems of the Atomic Energy Commission Associated with the Regulation of Users of Radioactive Materials for Industrial, Commercial, Medical, and Related Purposes. **E06290-001**

Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area. **E06086-001**

Protecting Special Nuclear Material in Transit: Improvements Made and Existing Problems. **E06290-005**

PL 84-345

Mobile Home Courts--Application Processing. **E00600-097**

Mobile Home Parks Underwriting Procedures. **E00600-076**

Public Facility Loans. **E00600-088**

Uncompleted Resources Development Projects. **E00600-118**

PL 84-540

Farm Programs, Pesticide Use, and Social Costs. **E00209-004**

Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies. **E00299-033**

Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land. **E00216-001**

Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists. **E00216-003**

The Forest Products Utilization Program (Its Effectiveness and Future Opportunities). **E00216-002**

PL 84-597

Evaluation of the Public Library and Analysis of its Present and Future Role. **E00501-031**

Evaluation of LSCA Services to Special Target Groups. **E00501-009**

Federal Library Support Programs: progress and problems. **E00581-006**

PL 84-660

Evaluation of the Report on Interceptor Sewers and Suburban Sprawl. **E02300-005**

Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff. **E02390-003**

Need to Improve Administration of the Water Pollution Research, Development, and Demonstration Program. **E02390-002**

Report of the Permit Program Review Group. **E02300-001**

Review of the Municipal Waste Water Treatment Works Program. **E02300-004**

The Final Report of the Permit Program Review Group. **E02300-002**

PL 84-1020

Project Rehabilitation. **E00600-099**

PL 84-1021

A Program Evaluation of the Great Plains Conservation Program. **E00218-001**

Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment. **E00200-003**

Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved. **E00299-035**

PL 84-1024

Report on Survey of the Fishery Products Inspection Service, National Oceanic and Atmospheric Administration. **E00300-008**

PL 84-1028

The Department of Justice's Civil Disturbance Analysis and Evaluation Function; Report and Recommendations on the Intelligence Analysis Unit. **E00800-014**

PL 85-36

An Evaluation of the Witchweed Program. **E00205-001**

APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. **E00205-004**

Citrus Blackfly Program Evaluation. **E00205-003**

Crop Insurance and Information Services to Control Use of Pesticides. **E02305-100**

The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. **E00205-005**

The Southwestern Screwworm Eradication Program: a review. **E00205-002**

PL 85-56

Architect/Engineer Firm Selection Process. **E06504-016**

LAW INDEX

PL 85-726

Contracts With Architect-Engineering Firms. E06593-007
Information on the Selection of Independent Architect Engineers by the Veterans Administration. E06593-001
Monitoring of Demographic Study by VA of Loma Linda, Calif. E06593-005
Need for Increased Use of Value Engineering: A Proven Cost Saving Technique in Federal Construction. E06593-004
Survey of VA Post Activation Evaluation Program. E06593-008
The Implementation of Value Engineering. E06593-003
VA Construction Project Approval: Processes and Budgetary Practices. E06504-026

PL 85-172

Consumer Protection Would Be Increased by Improving the Administration of Intra-state Meat Plant Inspection Programs. E00299-011

PL 85-507

Assessing the Training Needs of Personnel Specialists. E05916-006
Effectiveness of the Requirement for Supervisory Training. E05990-020
Employee Training in the Federal Service, Fiscal Year 1973. E05916-005
Evaluation of the Federal Executive Development Program Assessment Center. E05913-001
Managerial and Executive Development Needs in the Federal Service and Recommended Actions for Meeting the Needs. E05900-001
Quality Assurance Program for Reimbursable Training. E05916-001
Training Leadership Study. E05916-002
Training Value Model I. E05916-004

PL 85-536

A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives. E05507-001
Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims. E05590-001
Design of Performance Impact Measures. E05507-004
Evaluation of the Small Business Institute Program--Fall 1972. E05507-010
Evaluation of the Small Business Institute Program--Spring 1973. E05507-009
Evaluation of the 8(a) Contract Program (Phases I-III). E05507-008
Information on Federal Disaster Relief Programs. E05590-002
Limited Success of Federally Financed Minority Businesses in Three Cities. E05590-003
Program Analysis of the Small Business Administration. E05507-006
Questionable Effectiveness of the 8(a) Procurement Program. E05590-006
Study of Minority Borrowers Prior and Subsequent to SBA Assistance. E05507-011

PL 85-578

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants. E00795-001

PL 85-699

Design of Performance Impact Measures. E05507-004
Evaluation of Small Business Administration Lease Guarantee Program. E05507-003

PL 85-726

A Simulation of the DABS Sensor for Evaluating Reply Processor Performance. E01102-028
Aircraft Sound Description System Application Procedures. E01102-046
Aircraft Taxiing Noise Measurement. E01102-005
Aircraft--Pilot and Other Pre-Employment Experience as Factors in the Selection of Air Traffic Controllers Trainees. E01102-022
Aircraft-Pavement Compatibility Study. E01102-011
Airport Vicinity Air Pollution Study. E01102-034
Airport Vicinity Air Pollution Study: model application and validation and air quality impact analysis. E01102-006
An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded Third Generation ATC System. E01102-020
Augmented Radar Beacon Tracking Level System Design Specification. E01102-014
ATC Surveillance/Communication Analysis and Planning. E01102-027
Behavioral, Automatic, and Subjective Reactions to Low and Moderate Level Simulated Sonic Booms. E01102-023
Collision Avoidance Ground Station Analysis. E01102-025
Comparative Performance of Structural Layers in Pavement Systems: design, construction, and behavior under traffic. E01102-010

Concorde Landing Requirement Evaluation Tests.	E01102-055
Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights.	E01192-003
Development and Test of Low Impact Resistance Structures.	E01102-048
Development of a Discrete Address Beacon System.	E01102-029
Development of a Non-Survey Method Estimating Traffic at Non-Towered Airport.	E01102-012
Development of Microwave Landing System Implementation Criteria.	E01102-047
Discrete Address Beacon System/Air Traffic Control Interface Configuration Document.	E01102-015
Dual Lane Runway Study.	E01102-037
DAIR System Radar Target Relationships.	E01102-017
Evaluation of a Very Low Frequency Navigation System.	E01102-051
Evaluation of an Experimental Elevated High-Intensity Runway Edge Light.	E01102-049
Evaluation of an Experimental High-Intensity, Inset Runway Edge Light Fixture.	E01102-050
Evaluation of the Rubino Procedure for Radio Telemetric Theodolite Positioning.	E01102-054
Evaluation of Facilities and Equipment: Great Lakes Region.	E01102-062
Evaluation of Free Distribution of AIM.	E01102-058
Evaluation of Nation-Wide Preflight Weather Briefing Procedures.	E01102-059
Evaluation of Premature Descents at High Density Terminals.	E01102-061
Evaluation of Technician Certification Program.	E01102-063
Evaluation of Terminal Radar Service: stage III.	E01102-060
Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank Inerting System.	E01102-009
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting.	E01102-004
FAA Lightning Protection Study.	E01102-035
FAA Report on Airport Capacity.	E01102-036
General Aviation Aircraft Safety: The Princeton University Conference.	E01102-007
Hazardous Materials Program Review.	E01102-057
Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers.	E01102-021
Measurement and Analyses of ASR-4 System Error.	E01102-018
Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By Technique.	E01102-003
Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower Fly-By Technique.	E01102-008
Military Air Traffic Forecast: 1975-1986.	E01102-019
Multipath Channel Simulation and Modern Evaluation Program.	E01102-044
Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft.	E01192-001
New York Offshore Airport Feasibility Study.	E01102-013
Objective Measurement Techniques for Evaluating Voice Communication Channels.	E01102-052
Prevalence and Incidence of Disease Among Airmen Medically Certified.	E01102-041
Provisional Message Formats for the DABS/NAS Interface.	E01102-026
Pulse Compression Radar Study.	E01102-024
Quiet Nacelle Retrofit Feasibility Program: compatibility analysis and design study.	E01102-002
Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing.	E01102-001
Radar Target Detection Employing On-Line Estimation of the Video Correlation.	E01102-016
Report of National Airspace System En Route Related Support and Data Reduction and Analysis Programs.	E01102-040
Systems for Transporting Aircraft on the Ground: feasibility and concept study.	E01102-038
Technical Evaluation of Weather Clutter Feasibility Model.	E01102-030
Test and Evaluation of a Remote Control and Radiofrequency Repeater System for Televised Radar Display Applications.	E01102-043
Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar.	E01102-042
Test and Evaluation of ATCRBS Electronic Scan Antenna.	E01102-045
TCA/ERS Effectiveness Study.	E01102-053

PL 85-742

Compensating Wage Differentials and Hazardous Work.	E00900-014
Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970.	E00995-007
Economic Incentives and Occupational Safety.	E00900-036
Land Reclamation Requirements and Their Estimated Effects on the Coal Industry.	E00900-035
More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.	E00995-004
Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department (2).	E00995-002
Review of Occupational Safety and Health Activities of Federal Civilian Agencies.	E00995-001
Review of Occupational Safety and Health Activities of Federal Civilian Agencies--GSA (2).	E00995-003
Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois.	E00995-006

LAW INDEX**PL 85-911**

The Predicted Impact of the Black Lung Benefits Program on the Coal Industry.

E00900-023**PL 85-765**

Consumer Protection Would Be Increased by Improving the Administration of Intra-state Meat Plant Inspection Programs.

E00299-011**PL 85-767**

Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land.

E00299-027**PL 85-857**

Adequacy of Medical Staffing in Selected VA Hospitals.

E06595-023

Administration of Special Provision in Public Law 92-383, the 1973 Appropriations Act.

E06595-005

ADP Laboratory System.

E06504-018

Beneficiary Identification Records Locator Subsystem.

E06504-017

Better Planning and Management Needed to Improve Utilization and Quality of Specialized Medical Services.

E06595-017

Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans.

E06595-006

Centralized Mail-Out Prescription Systems.

E06504-013

Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals.

E06595-016

Condition of Veterans Memorial Hospital of Republic of the Philippines.

E06595-020

Controls on Use of Psychotherapeutic Drugs and Improved Psychiatrist Staffing Are Needed in Veterans Administration Hospitals.

E06595-022

Cost Analysis: Present Compensation, Pension and Education Programs.

E06504-008

Cost Benefit Study: Optical Character Recognition Equipment and Proposed System.

E06504-012

Cost Study Pilot/Target Systems Test.

E06504-003

Department of Medicine and Surgery Survey Report: VA Hospital, Palo Alto, California.

E06504-029

Improvements Needed in Certain Hospitals' Laboratory Service Activities.

E06595-011

Improvements Needed in Program to Contact and Assist Recently Discharged Veterans.

E06595-012

Information on Professional Fees and Other Compensation Paid for Services of Selected Physicians Employed at the Veterans Administration Hospital in Miami, Florida.

E06595-007

Medical Administrative Processes.

E06504-028

Methods for Estimating Hospital and Nursing Home Bed Requirements in the San Francisco Area.

E06595-004

Outpatient Sub-Clinic in Massachusetts.

E06504-014

Potential for Using Alternative Dietary Systems in Veterans Administration Hospitals.

E06595-003

Purchase of Foreign-Made Medical Supplies and Equipment by the Veterans Administration.

E06595-013

Regional Patient Data Base.

E06504-020

Reuse of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals.

E06595-015

Review of Allegations by Veterans About Patient Treatment in the Iowa City VA Hospital.

E06595-021

Review of Veterans Administration Closed Circuit Television System.

E06595-009

Review of Veterans Administration Program Evaluation: Department of Veterans Benefits.

E06504-001

Study of the Agency's Guardianship Role.

E06504-011

Study of the Beneficiary Identification and Records Locator Subsystem (BIRLS).

E06504-002

Systematic External Review Program Survey Report: Veterans Administration Center, Bay Pines, Florida.

E06504-030

Transfer of Insurance Functions.

E06504-022

Veterans Assistance Program in the Philippines Should Be Improved.

E06590-003**PL 85-896**

Transfer of Insurance Functions.

E06504-022**PL 85-911**

Recreational Boating in the Continental United States in 1973: the nationwide boating survey.

E01101-019

PL 85-934**LAW INDEX****PL 85-934**

Better Management Needed of Health Research Equipment by NIH Grantees.

E00599-001**PL 86-147**

Effectiveness of Independent and Comprehensive Audits of the Inter-American Development Bank.

E01280-003**PL 86-249**

Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites.

E03790-003**PL 86-257**

Evaluation of the LMSA--Management Information System.

E00903-001**PL 86-372**

Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois.

E00693-008

HUD's Comparison of Costs under Sections 202 and 236 for Housing Projects for the Elderly.

E00693-001

Mortgage Insurance for Nursing Homes--Section 232.

E00600-124**PL 86-500**

A Study of Maternal and Infant Care of Indian and Native Alaskan Americans.

E00507-087

Assessment of the Unmet Needs of the Indian Health Service.

E00507-071

Community Health Medic Evaluation.

E00507-025

Establishment of a Contract Medical Care.

E00507-022

Evaluation of the Indian Health Service Unmet Needs Estimating Methodology.

E00507-065

Final Report of the Evaluation of the Oklahoma City Area Indian Health Services Offices.

E00507-088

Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana.

E00507-050

Indian Health Service Upward Mobility.

E00507-058

Menominee Tribal Health Care Program Planning.

E00507-068

National Indian Health Board: Consumer Evaluation of Indian Health Services.

E00507-030

Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements.

E00507-018

Preventive Health Programs (Part II): Tuberculosis Control Planning Models.

E00507-019

Progress and Problems in Providing Health Services to Indians.

E00587-003

The Expectations and Commitments of HPSC--OPSAM (Health Program Systems Center--Operations Systems Analysis Module): A documentary review.

E00507-017**PL 86-509**

Program Evaluation of the Commodity Intelligence System, Tobacco Division.

E00212-006**PL 86-517**

Allowable Harvest Determinations on National Forest Lands.

E00299-031

Follow-up on the Need to Resolve Differences in Procedures Used by Federal Timber Management Agencies in Appraising Timber Offered for Sale.

E00299-030

Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests.

E00299-028

More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand.

E00299-032

More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand.

E00299-029**PL 86-555**

Great Lakes Pilotage Review.

E01101-012**PL 86-565**

More Effective United States Participation Needed in World Bank and International Development Association.

E01280-005**PL 86-571**

Income Transfers as a Public Good: An Application to AFDC.

E00500-076

LAW INDEX**PL 87-128****PL 86-613**

Lack of Authority Limits Consumer Protection: Problems in Identifying and Removing from the Market Products which Violate the Law.

E00585-001**PL 86-686**

Review of Cooperative Fisheries and Wildlife Unit Program.

E00709-002**PL 86-705**

Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands.

E00780-001

Conservation Division Task Force Report on the Onshore Lease Management Program Study for the U.S. Geological Survey.

E00712-008

Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program.

E00780-009

Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management.

E00780-005

Onshore Lease Management Program Study for the U.S. Geological Survey.

E00712-007

Review of Royalty Accounting System for Onshore Oil and Gas Leases.

E00712-013

Royalty Accounting System Study of Solid Mineral Leasing Activities.

E00712-014**PL 86-778**

Black-White Earnings and Employment: 1960-1970.

E00900-027

Employment Services for Vietnam-Era Veterans Could Be Improved.

E00992-024

Estimating the Benefits of Job Banks as a Computerized Record Keeping System.

E00900-015

Job Matching Under Imperfect Information.

E00900-020

Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.

E00992-023

Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks

Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns.

E00900-008

The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates.

E00900-016

The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors.

E00900-029

The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact.

E00900-040

Theories of Search in a Labor Market.

E00900-022**PL 87-63**

Foreign Visitor Travel to The United States can be Increased.

E00399-004

Report on Audit of Activities Associated With Domestic Tourist Services and Facilities, United States Travel Service.

E00300-005

Review of the Organization and Operations of the United States Travel Service (USTS).

E00300-029**PL 87-70**

An Analysis of Section 234--HUD Condominium Programs.

E00600-008

An Assessment of the Open Space Certification Procedure.

E00602-044

Area Office of Application Procedures.

E00600-074

Areawide Planning Certificates.

E00600-115

Housing Assistance Research.

E00600-138

Linear Parkway: bay area rapid transit urban beautification demonstration project.

E00602-052

Open Space and Recreation Opportunity in America's Inner Cities.

E00602-053

Open Space Program.

E00600-069

Uncompleted Resources Development Projects.

E00600-118**PL 87-128**

A Comparative Cost Analysis of Alternative Methods for Selling FHA Notes.

E00200-007

Actions Needed to Terminate Federal Administration of State Rural Rehabilitation Funds Program.

E00299-015

Complaints Concerning the Rural Housing Loan Program in Five Arkansas Counties.

E00299-014

Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Industrial Loan and Grant Program.

E00299-019

Effectiveness and Administration of the Rural Rental-Housing Program.

E00299-016

Employment Impacts of the Economic Development Administration's Public Works Program.

E00201-002

Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview.

E00201-001

Practices in Obtaining Credit Reports for Housing and Agricultural Loans.

E00299-020

- Ways to Improve Effectiveness of Rural Business Loan Programs. E00299-017
- PL 87-171**
Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Industrial Loan and Grant Program. E00299-019
- PL 87-195**
A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an assessment of range management. E01017-005
Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis. E01097-001
Assistance to Family Planning Programs in Southeast Asia. E01097-007
Audit of Loan Program Financial Statements for Fiscal Years 1971, 1970, and 1969. E01097-006
Cost and Use of Personnel in the Agency for International Development. E01097-021
Development Assistance to Korea. E01097-011
Evaluating Fertilizer Subsidies in Developing Countries. E01017-008
Food for Peace, an Evaluation of P.L. 480, Title II. E01017-001
Funding and Reporting of Agency for International Development Overhead Costs Should be More Realistic. E01097-025
Intercountry Evaluation of Agriculture Sector Programs: Colombia, Costa Rica, Guatemala. E01017-003
Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama. E01017-004
Intercountry Evaluation of Education Credit Institutions in Latin America. E01017-002
Interim Report on the Agency for International Development's Housing Investment Guaranty Program. E01097-008
Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program. E01097-024
Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India. E01097-002
Need to Improve Language Training Programs and Assignments for U.S. Government Personnel Overseas. E01097-003
Progress and Problems of U.S. Assistance for Land Reform in Vietnam. E01097-009
Regional Poultry Project in West Africa. E01097-012
Rescission of the Opium Poppy Growing Ban by Turkey. E01097-022
Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis. E01097-017
Summary of U.S. Assistance to Jordan. E01097-014
The Brazilian Economic Boom: How Should the United States Relate to It? E01097-020
U.S. Assistance to the Khmer Republic (Cambodia). E01097-016
U.S. Foreign Aid to Education: Does Brazil Need It? E01097-013
U.S. Grant Support of International Planned Parenthood Federation Needs Better Oversight. E01097-015
United States Economic Assistance to Turkey. E01097-023
United States Interests and Activities in Nepal. E01097-005
United States Programs in Ghana. E01097-018
What Should U.S. Policy Be for Development Assistance to Ecuador? E01097-004
- PL 87-368**
Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971. E00510-051
Self-Employment in the Covered Work Force. E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973. E00510-032
Social Security Programs in the United States, 1973. E00510-031
Social Security Programs Throughout the World, 1973. E00510-065
Social Security, Savings, and Capital Formation. E00510-007
Social Welfare Expenditures, 1972-73. E00510-045
Young Widows and Their Children: A Comparative Report. E00510-011
- PL 87-383**
Review of Wetlands Acquisition Program. E00709-001
- PL 87-415**
Analysis of Early Phase Problems of Comprehensive Manpower Program. E00902-023
Assistance Programs for Displaced Federal Civilian Employees. E05990-009
Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions. E00902-017
Cost Effectiveness Evaluation of the Urban Concentrated Employment Program. E00902-022

LAW INDEX

PL 87-794

- Evaluating the Impact of MDTA Programs on Earnings under Varying Labor Market Conditions. E00902-016
 Evaluation of the National Ironworkers and Employers Training Program. E00902-001
 Evaluation of the Pilot Accelerated Apprenticeship Program. E00902-002
 Evaluation of the Pre-Trial Intervention Program. E00902-043
 Evaluation of Job Development in SMSA. E00902-029
 Evaluation of MA and Supportive Services to Mexican Americans. E00902-034
 Evaluation of MA Services and Supportive Services to American Indians on Reservations. E00902-033
 Evaluation of Special Manpower Services to Veterans. E00902-035
 Evaluation Study of the Developmental Stages of the Comprehensive Manpower Program. E00902-008
 Evaluation Study of MDTA--Section 241 Program. E00902-015
 Impact Analysis of MDTA Project Transition. E00902-036
 Study of Impact of Program Variables Upon Effectiveness of MDTA-OJT and Institutional Training Programs on Earnings. E00902-037
 Survey of State ES Experiences in Following Up MA Program Clients. E00902-028
- PL 87-517**
 Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land. E00299-027
- PL 87-539**
 An Evaluation of the Witchweed Program. E00205-001
 APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. E00205-004
 Citrus Blackfly Program Evaluation. E00205-003
 Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971. E00200-001
 The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. E00205-005
 The Southwestern Screwworm Eradication Program: a review. E00205-002
- PL 87-543**
 Fee Schedules and Social Services. E00509-034
 Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced Dependency? E00589-004
 Special Revenue Sharing in Support of Public Social Services. E00509-049
- PL 87-692**
 Migrant Health Program--Final Report. E00507-028
- PL 87-703**
 Action Needed to Discourage the Removal of Trees That Shelter Cropland in the Great Plains. E00299-002
 An Evaluation of Subsidy Forms for Soil and Water Conservation. E00219-005
 Farm Programs, Pesticide Use, and Social Costs. E00209-004
- PL 87-718**
 Consumer Protection Would Be Increased by Improving the Administration of Intrastate Meat Plant Inspection Programs. E00299-011
- PL 87-788**
 Improvements Needed in Planning Allowable Harvests. E00299-026
- PL 87-794**
 Black-White Earnings and Employment: 1960-1970. E00900-027
 Employment Services for Vietnam-Era Veterans Could Be Improved. E00992-024
 Estimating the Benefits of Job Banks as a Computerized Record Keeping System. E00900-015
 Job Matching Under Imperfect Information. E00900-020
 Problems in Filling Job Orders and Placing Job Applicants in Massachusetts. E00992-023
 Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns. E00900-008
 The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates. E00900-016
 The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors. E00900-029
 The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact. E00900-040
 Theories of Search in a Labor Market. E00900-022

PL 87-869

Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land.	E00299-027
Allowable Harvest Determinations on National Forest Lands.	E00299-031
Follow-up on the Need to Resolve Differences in Procedures Used by Federal Timber Management Agencies in Appraising Timber Offered for Sale.	E00299-030
Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests.	E00299-028
More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand.	E00299-032
More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand.	E00299-029

PL 88-164

A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.	E00503-020
A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.	E00503-037
A Survey of the Effectiveness of Coordination of HEW Funded Programs Related to Mental Retardation.	E00500-047
Assessment of Child Mental Health Needs and Programs.	E00503-062
Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont.	E00503-049
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.	E00503-018
Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas.	E00503-033
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina.	E00503-032
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project.	E00503-035
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif.	E00503-043
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania.	E00503-034
Feasibility Study and Measuring Efficiency of Mental Health Services.	E00503-060
Need for More Effective Management of Community Mental Health Centers Program.	E00599-004
North Carolina Community Mental Health Center Evaluation Project; final report of planning phase.	E00503-051
Resource Materials for Community Mental Health Program Evaluation.	E00503-055
Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City.	E00503-026
Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00503-019
The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers.	E00503-030
Trends in Sources of Funds for Community Mental Health Centers.	E00503-064
Two Studies on Catchmenting and Catchment-Oriented Services.	E00503-042
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.	E00503-038

PL 88-206

Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources.	E02390-005
General Report of the Air Compliance Review Group.	E02300-006
Report of a Study of Control Agency Revenue Fees.	E02300-003

PL 88-297

A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970.	E00209-005
Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy.	E00209-006
Capitalization of Farm Program Benefits into Land Values.	E00219-001
Distribution of Farm Program Payments by Income of Sole Proprietors.	E00219-002
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).	E00209-001
Examination into Feed Grains and Related Matters.	E00299-009
Farm Programs, Pesticide Use, and Social Costs.	E00209-004
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.	E00299-010

LAW INDEX

PL 88-525

Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program. E00299-008
 Pricing Under Federal Milk Market Regulation: theory, objectives and impact. E00204-002
 Program Evaluation on 1973 Feedgrain Program Performance. E00209-002
 Social Cost of Alternative Dairy Price Support Levels. E00219-003
 Uniform Grain Storage Agreement. E00209-003

PL 88-309
 Report on Audit of the Marine Resources Monitoring, Assessment and Prediction Program, National Oceanic and Atmospheric Administration. E00300-001

PL 88-365
 Capital Assistance to Bus Transit Systems. E01105-001
 Evaluation of the LMSA--Management Information System. E00903-001
 Fostering Urban Transportation Activities in Universities. E01105-002
 Grants to Improve Bus Transit Systems--Progress and Problems. E01195-001
 National Urban Reporting System. E01105-003

PL 88-443
 Capital Financing Study. E00500-061
 Evaluation of the Health Facilities Building Process. E00500-048
 Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program. E00586-005
 Study of Health Facilities Construction Cost. E00586-002

PL 88-450
 Outpatient Sub-Clinic in Massachusetts. E06504-014
 Program for Providing Nursing Home Care to Veterans in State-Operated Nursing Homes. E06595-001

PL 88-452
 A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives. E05507-001
 An Evaluation of the Arizona Job College. E00902-007
 Cost Effectiveness Evaluation of the Urban Concentrated Employment Program. E00902-022
 Design of Performance Impact Measures. E05507-004
 Evaluation of the High School Equivalency Programs for Seasonal Farmworkers. E00902-004
 Evaluation of the Small Business Institute Program--Fall 1972. E05507-010
 Evaluation of the Small Business Institute Program--Spring 1973. E05507-009
 Evaluation of the 8(a) Contract Program (Phases I-III). E05507-008
 Evaluation of Job Development in SMSA. E00902-029
 Evaluation of MA and Supportive Services to Mexican Americans. E00902-034
 Evaluation of MA Services and Supportive Services to American Indians on Reservations. E00902-033
 Evaluation of Pre and Post-Enrollment Services to Job Corps Enrollees. E00902-025
 Evaluation of Special Manpower Services to Veterans. E00902-035
 Evaluation Study of Job Corps Residential Support Centers. E00902-021
 Limited Success of Federally Financed Minority Businesses in Three Cities. E05590-003
 Project Head Start: achievements and problems. E00591-002
 Study of Minority Borrowers Prior and Subsequent to SBA Assistance. E05507-011
 The Economic Opportunity Loan Program: Continuing Evaluation. E05507-005

PL 88-525
 Acceptability and Suitability of the Expanded Thrifty Recipe Flyers by Low-Income Families. E00207-022
 Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota. E00299-022
 Assessment of Dietary Adequacy of Program Participants. E00207-021
 Bonus Food Stamps and Cash Income Supplements. E00219-008
 Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement. E00207-020
 Dual Operation in State of Washington. E00207-023
 Factors Contributing to the Varying Rates of Participation in the Food Stamp Program. E00299-018
 Food Distribution and Food Stamp Program Effects on Nutritional Achievement. E00207-002
 Food Stamps and Nutrition. E00207-001
 National Survey of Family Food Assistance Participants. E00207-019

- National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.
Observations on the Food Stamp Program.
Relationship Between Program Participation and Level of Economic Activity. E00207-003
E00299-025
E00207-024
- PL 88-537**
Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land. E00299-027
Allowable Harvest Determinations on National Forest Lands. E00299-031
Follow-up on the Need to Resolve Differences in Procedures Used by Federal Timber Management Agencies in Appraising Timber Offered for Sale. E00299-030
Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests. E00299-028
More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand. E00299-032
More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand. E00299-029
- PL 88-560**
An Analysis of Section 234--HUD Condominium Programs. E00600-008
Rehabilitation Loans and Grants. E00600-060
Section 312 Loans (Limited Review). E00600-094
- PL 88-582**
The San Francisco Review. E00904-008
- PL 89-10**
A Process Evaluation of the Bilingual Education Program, Title VII, Elementary and Secondary Education Act. E00501-025
An Analysis of the Relationship Between Reading and Mathematics Achievement Gains and Per-Pupil Expenditures in California Title I Projects. E00501-014
An Assessment of Career Guidance, Counseling, and Placement Activities in Secondary Schools for Non-College Bound Students. E00501-016
An Evaluation of Educational Programs in State Operated and State-Supported Schools for Handicapped Children. E00501-033
Development of Packages for Effective Approaches in Compensatory Education. E00501-046
Educational Laboratory and Research and Development Center Programs Need To Be Strengthened. E00582-001
Evaluation of the Impact of ESEA Title I Programs for Migrant Children of Migrant Agricultural Workers. E00501-027
Evaluation of Educational Achievement Test Measures as an Eligibility Criterion in the Better Schools Act Formula. E00500-056
Evaluation of Federal Programs Supporting Educational Change. E00501-047
Evaluation of Selected Aid-to-States Programs for the Education of the Handicapped. E00501-034
Follow-up Review of Migrant Children Education, Office of Education. E00500-100
Further Study of Performance Contracting in Education. E00501-045
The Evolution of Educational Performance Contracting in Five School Districts. E00501-013
The Process of Funds Allocation Under Title I of the ESEA of 1965. E00501-012
- PL 89-66**
Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration. E00300-015
- PL 89-72**
Managing Recreation Facilities at Bureau of Reclamation Reservoirs. E00780-006
- PL 89-73**
Assessing the Status and Needs of Older Americans; survey utilization manual. E00511-010
Costs of Alternative Care Settings for the Elderly. E00509-035
Determinants of Long-Term Care Facility Utilization by the Elderly: an empirical analysis. E00509-041
Development of Data Base for Administration on Aging. E00511-006
Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services. E00591-001
Evaluating the State Social Indicators System in Aging. E00511-002
Evaluation of the Older Americans Act Traineeship Grant Program. E00511-004

Guide to Sources and Uses of Current Data on the Aging.	E00511-008
Interviewer Manual for the Older Americans Survey.	E00511-009
Isolation Among the Elderly: definition, measurement, and policy considerations.	E00509-046
Longitudinal Study Design for Evaluation of the National Nutrition Program for Elderly.	E00511-013
Manual of Award Procedures and Awards Monitoring Procedures.	E00511-011
Methodology for Evaluation and Validation of State Social Indicator System in Aging.	E00511-003
Organizational Issues in the Provision of Community Care to the Impaired Elderly.	E00509-045
Social Statistics for the Elderly Area Level System.	E00511-005
Survey Guide to Accompany the Older Americans Assessment Questionnaire.	E00511-007
Systematic Review of Area Agencies on Aging.	E00511-012
Technical Proposal of Implementing a Methodology for the Validation of the State Social Indicators for the Aged.	E00511-001
Utilization of Alternative Care Settings by the Elderly: normative estimates and current patterns.	E00509-036
PL 89-97	
A Study of Placement in PA/VR (Public Assistance Vocational Rehabilitation).	E00509-002
Age Differences in Health Care Spending, F.Y. 1974.	E00510-157
Age Differences in Health Care Spending, Fiscal Year 1974.	E00510-009
Age Differences in Medical Care Spending, Fiscal Year 1973.	E00510-165
An Analysis of Medicare Administrative Costs.	E00510-023
Analysis of Medicaid Buy-In Experience.	E00509-015
Analysis of Medicaid Spend-Down Experience.	E00509-004
Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California.	E00500-115
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
Cash Benefits for Short-Term Sickness, 1948-72.	E00510-044
Collection and Analysis of Prescreening Surgical Consultation Benefit Program.	E00510-137
Comparison of Cost and Benefit Incidence on Government Medical Care Programs, FY 1966 and 1969.	E00510-038
Comparison of State Agency and Fiscal Agent Performance in Medicaid.	E00509-013
Cost Benefit of Utilization Review Techniques in Medicaid.	E00509-014
Disabling Effects of Chronic Conditions of Male Family Heads.	E00510-145
Drug Programs Under British National Health Services.	E00510-146
Evaluation of Surgical Consultation Insurance Benefit.	E00510-135
Financial Position of Private Community Hospitals, 1961-71.	E00510-047
Group Health Insurance Coverage of Full-Time Employees, 1972.	E00510-030
Health in the Years Before Retirement.	E00510-061
Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970.	E00510-019
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
Hospital Costs and the Medicare Program.	E00510-052
Hospital Organization: Effectiveness of Patient Care.	E00510-143
Hospital Reimbursement and Regulation Under Medicare.	E00510-136
Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969.	E00510-048
Issues in Medicaid.	E00509-048
Medicaid in California: a descriptive analysis.	E00509-044
Medical Care Costs and Prices: background book.	E00510-001
Medical Care Expenditures in Seven Countries.	E00510-058
Medical Care Price Changes Under the Economic Stabilization Program.	E00510-055
Medicare Impact on Hospital Utilization and Financing.	E00510-130
Medicare Patients: Regional Differences in Lengths of Hospital Stays, 1969-71.	E00510-006
More Needs to be Done to Assure that Physicians' Services--Paid for by Medicare and Medicaid--Are Necessary.	E00590-001
National Health Expenditures, 1929-1974.	E00510-015
National Health Expenditures, 1929-73.	E00510-043
National Health Insurance Proposals as of July 1974.	E00510-033
National Health Systems in Eight Countries.	E00510-034
Need to More Consistently Reimburse Health Facilities Under Medicare and Medicaid.	E00590-006
Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
Problems Associated With Reimbursements to Hospitals for Services Furnished Under Medicare.	E00590-002
Selected State Data Medicare Fiscal Years 1968-72.	E00510-002
Self-Employment in the Covered Work Force.	E00510-037
Size and Shape of the Medical Care Dollar, 1975.	E00510-003
Social Security Act Amendments, 1974.	E00510-021
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032

Social Security Programs in the United States, 1973.	E00510-031
Social Security Programs Throughout the World, 1973.	E00510-065
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Social Welfare Expenditures, 1972-73.	E00510-045
Some Aspects of Medicare Experience with Group-Practice Prepayment Plans.	E00510-013
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare.	E00590-004
Study of Cost Differentials Between Skilled Nursing Facilities and Intermediate Care Facilities.	E00509-006
Survey of Title XIX Long-Term Care Facilities and Patients, volume I.	E00509-005
The Economics of Non-Hospital Clinical Laboratories.	E00510-132
The European Experience in Social Health Insurance.	E00510-054
The Manner in Which Medicare Reimbursement is Made to a Laboratory Facility: Phoenix, Arizona.	E00590-007
The True Cost of Filling a Prescription in Kansas in 1971.	E00510-147
Utilization and Reimbursements Under Medicare for 1967 and 1968 Decedents.	E00510-057
Utilization of Ambulatory Care.	E00509-030
Value for Money in Health Services.	E00510-026
Variations in Prescription Markups-on-Retail.	E00510-139
Young Widows and Their Children: A Comparative Report.	E00510-011
PL 89-105	
A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.	E00503-020
A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.	E00503-037
Assessment of Child Mental Health Needs and Programs.	E00503-062
Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont.	E00503-049
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.	E00503-018
Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Feasibility Study and Measuring Efficiency of Mental Health Services.	E00503-060
Need for More Effective Management of Community Mental Health Centers Program.	E00599-004
North Carolina Community Mental Health Center Evaluation Project; final report of planning phase.	E00503-051
Resource Materials for Community Mental Health Program Evaluation.	E00503-055
Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City.	E00503-026
Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00503-019
The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers.	E00503-030
Trends in Sources of Funds for Community Mental Health Centers.	E00503-064
Two Studies on Catchmenting and Catchment-Oriented Services.	E00503-042
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.	E00503-038
PL 89-109	
Migrant Health Program--Final Report.	E00507-028
PL 89-117	
Analyses of the Causes of the Growth in Public Housing Operating Subsidies.	E00600-002
Area Office of Application Procedures.	E00600-074
Areawide Planning Certificates.	E00600-115
Neighborhood Facilities Program.	E00600-070
Relocation Payments.	E00600-067
Relocation.	E00600-087
Survey of Housing Rehabilitation Loan and Grant Program.	E00602-050
Title X Land Development.	E00600-006
Uncompleted Resources Development Projects.	E00600-118
Use of Facilities.	E00600-119
PL 89-136	
EDA-Regional Commission Coordination Review.	E00300-019

LAW INDEX

PL 89-286

Report on Audit of the Technical Assistance Program, Office of Technical Assistance, Economic Development Administration.
Report on Minimum EDA FY 1975 Staffing Requirements.

E00300-002
E00300-018**PL 89-171**

Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia.

E00692-011

PL 89-174

Need for HUD to Prepare an Environmental Impact Statement for Town of Leesburg, Virginia, Potomac River Water Supply Project.

E00692-022

PL 89-212

Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.

E00712-002

PL 89-220

Evaluation of the LMSA--Management Information System.

E00903-001

PL 89-234

Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.
Need to Improve Administration of the Water Pollution Research, Development, and Demonstration Program.

E02390-003

E02390-002

PL 89-249

Study of National Park Service Concessions Management.

E00710-001

PL 89-272

Need for Federal Agencies to Improve Solid Waste Management Practices.

E02390-001

PL 89-286

An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.

E00904-011

Compliance with the Minimum Wage Law: progress report.

E00900-003

Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters.

E00994-003

E00906-003

Demographic Characteristics of Workers in the Work Clothing Industry.

Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970.

E00995-007

Economic Incentives and Occupational Safety.

E00900-036

Land Reclamation Requirements and Their Estimated Effects on the Coal Industry.

E00900-035

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act.

E00904-004

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).

E00904-002

Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).

E00904-003

Minimum Wage Legislation in the United States.

E00900-013

More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.

E00995-004

Private Household Workers...Feasibility and Implications of Extending Minimum Wage Protection Under FLSA.

E00904-020

Private Household Workers: data pertinent of an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974.

E00904-001

Propriety of Minimum Wage Determinations for Clerical and Other Office Employees Under the Service Contract Act.

E00994-001

Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department (2).

E00995-002

Review of Occupational Safety and Health Activities of Federal Civilian Agencies.

E00995-001

Review of Occupational Safety and Health Activities of Federal Civilian Agencies--GSA (2).

E00995-003

Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois.

E00995-006

Sheltered Workshops Under the Fair Labor Standards Act.

E00904-012

Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).

E00906-002

- The Four Questions for Evaluating the Effects of the FLSA (Fair Labor Standards Act) Minimum Wage Provisions. E00900-039
 The San Francisco Review. E00904-008
 Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act. E00904-010
- PL 89-313**
 Federal Programs for the Education of the Handicapped: issues and problems. E00581-005
- PL 89-321**
 Farm Programs, Pesticide Use, and Social Costs. E00209-004
- PL 89-329**
 A Study of the Education Professions Development Act Training Programs for Higher Education Personnel. E00501-020
 A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates. E00501-007
 A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects. E00501-005
 A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects. E00501-006
 A Study of Title III of the Higher Education Act: The Developing Institutions Program. E00501-019
 Assessment of the Teacher Corps Program. E00581-001
 Private Accreditation and Public Eligibility. E00501-008
 Problems of the Upward Bound Program in Preparing Disadvantaged Students for a Postsecondary Education. E00581-004
 Study of Cooperative Education. E00501-037
 Study of Special Allowance and Servicing Costs in the Guaranteed Student Loan Program. E00501-036
 Survey of Lender Prepayment Terms and Survey of Repayment Burden Among Borrowers. E00501-040
 The Demand for Facilities in the Post-secondary Sector, 1975 to 1990. E00501-010
 The Federal College Work-Study Program: a status report. E00501-018
- PL 89-358**
 Analysis of the Predischarge Education Program. E06504-009
 Cost Analysis: Present Compensation, Pension and Education Programs. E06504-008
 Cost Study Pilot/Target Systems Test. E06504-003
 Delays Experienced by Veterans in Receiving Educational Assistance Payments, Veterans Administration. E06596-013
 Information on Implementation of the Amendments of Public Law 92-540 Which Impacts on Veterans Taking Correspondence Training. E06596-010
 Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans. E06596-003
 Need for Improved Outreach Efforts for Veterans in Prison or on Parole. E06596-005
 Review of the On-Campus Veterans Student Services Program. E06504-005
 Review of Education Certification Program. E06504-007
 Review of Veterans Administration Program Evaluation: Department of Veterans Benefits. E06504-001
 Study of the Beneficiary Identification and Records Locator Subsystem (BIRLS). E06504-002
 Ways to Improve Management of Acquired Residential Properties. E06596-006
- PL 89-369**
 Improvements Needed in System for Managing U.S. Participation in the Asian Development Bank. E01280-004
- PL 89-454**
 Report on Audit of the National Sea Grant Program, National Oceanic and Atmospheric Administration. E00300-003
- PL 89-507**
 Disincentives to Effective Employee Training and Development. E05916-003
- PL 89-554**
 A Program for Progress. E00800-001
 A Review and Appraisal of the Status of JIMS--The Justice Information Management System. E00800-003

Agencies' Personnel Management Can be Enhanced by Improving the Evaluation Process.	E05990-008
Alternatives for Establishing a Central Statistical Capability Within the Department of Justice.	E00800-012
Assessing the Training Needs of Personnel Specialists.	E05916-006
Assessment of Process of Translating Private Enterprise Salary Data into Federal White Collar Salary Adjustments.	E05990-004
Assistance Programs for Displaced Federal Civilian Employees.	E05990-009
Computer-Assisted Testing: calibration and evaluation of the verbal ability bank.	E05913-003
Effectiveness of the Requirement for Supervisory Training.	E05990-020
Employee Training in the Federal Service, Fiscal Year 1973.	E05916-005
Employment of Handicapped Individuals and Disabled Veterans in the Federal Government.	E05908-008
Employment of Handicapped Individuals in the Federal Service.	E05908-001
Employment Opportunities in the Federal Government for the Physically Handicapped.	E05990-007
Evaluation of the Federal Executive Development Program Assessment Center.	E05913-001
Evaluation of the Federal Summer Intern Program.	E05908-004
Evaluation of Regional Certification Practices.	E05908-003
Federal Pre-Retirement Counseling Programs.	E05990-010
Federal Retirement System--Key Issues, Financial Data, and Benefit Provisions.	E05990-006
Health Benefits Program Audit Report: Aetna of Williamsport, Pennsylvania.	E05909-001
Health Benefits Program Audit Report: Blue Cross-Blue Shield of Des Moines, Iowa.	E05909-002
Improvements Needed in Examining and Selecting Applicants for Federal Employment.	E05990-005
Improving the Effectiveness of the Government Employee's Incentive Awards Program.	E05990-002
Information on the Federal Program for Training Physician's Assistants.	E05990-001
Justice Litigation Management; A Review of the Process: Policy, Authority, Direction.	E00800-010
Letter Report on Computerized Criminal History Information.	E00891-005
Managerial and Executive Development Needs in the Federal Service and Recommended Actions for Meeting the Needs.	E05900-001
Method Used to Accomplish Goal of 40,000 Reduction in Civilian Workforce During Fiscal 1975.	E05990-012
Office of the Solicitor General: an analysis and recommendations.	E00800-011
Personnel Security Investigations; Inconsistent Standards and Procedures.	E05990-011
Productivity Enhancement Efforts in the Federal Government: a report of survey results, program report evaluation, and implications for research.	E05913-002
Progress Report on Pilot Efforts: Federal Junior Fellowship Program.	E05908-005
Report of the Study of Classification Accuracy in GS Grade Levels 12-15.	E05911-001
Report on the Affirmative Steps Taken to Ensure the Protection from Coercion of Employees Who Fall within the Purview of the Major RIF Retirement Law.	E05908-006
Report to Congress on Major Reductions in Force.	E05908-007
Survey of the Civil Service Commission's Executive Assignment System.	E05990-018
Survey of the Civil Service Commission's Federal Automated Career System.	E05990-016
Survey of the Federal Personnel Management Information System.	E05990-014
Survey of Protective Measures to Safeguard Information in Personnel Files Against Unauthorized Disclosure and Use.	E05990-003
The Management, Staffing and Organization of the United States Marshals Service: a critical analysis.	E00800-004
Training Leadership Study.	E05916-002
Training Value Model I.	E05916-004

PL 89-563

Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs.	E01197-004
Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires.	E01197-006
For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards.	E01197-001
Improvements Needed in Planning and Using Motor Vehicle Safety Research.	E01197-003
Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards.	E01197-002
The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles.	E01197-005

PL 89-564

Alcohol Safety Action Project: evaluation of operations.	E01107-002
Alcohol Safety Action Projects: evaluation of operations, 1973.	E01107-003
Assessment of Selected State and Community Programs.	E01107-001
Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs.	E01197-004

PL 89-564**LAW INDEX**

- Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires. **E01197-006**
 Evaluation of Motor Vehicle Safety Standards. **E01107-004**
 For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with
 Federal Safety Standards. **E01197-001**
 Improvements Needed in Planning and Using Motor Vehicle Safety Research. **E01197-003**
 Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards. **E01197-002**
 The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles. **E01197-005**
- PL 89-642**
 Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement. **E00207-020**
 National Survey of Family Food Assistance Participants. **E00207-019**
 Observations on Evaluation of the Special Supplemental Food Program. **E00299-023**
 Presidential Objective on Child Nutrition Programs. **E00207-008**
- PL 89-670**
 Department of Transportation's Cargo Security Program. **E01190-001**
 Improved Procedures Needed for Implementing Safety Recommendations. **E01192-004**
 Need for Improved Inspection and Enforcement in Regulating Transportation of
 Hazardous Materials. **E01190-002**
- PL 89-688**
 Report on Audit of the National Sea Grant Program, National Oceanic and Atmospheric Administration. **E00300-003**
- PL 89-702**
 Establishment of a Contract Medical Care. **E00507-022**
 Evaluation of the Indian Health Service Unmet Needs Estimating Methodology. **E00507-065**
 Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana. **E00507-050**
 Indian Health Service Upward Mobility. **E00507-058**
 Menominee Tribal Health Care Program Planning. **E00507-068**
 National Indian Health Board: Consumer Evaluation of Indian Health Services. **E00507-030**
 Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the
 American Indian Population and Health Resource Requirements. **E00507-018**
 Preventive Health Programs (Part II): Tuberculosis Control Planning Models. **E00507-019**
 Progress and Problems in Providing Health Services to Indians. **E00587-003**
- PL 89-749**
 A Feasibility Study of Integrating Federally Funded Local Health Services. **E00500-038**
 Can University Extension Run Consumer Health Education Programs? An Evaluative
 Study of Four Demonstrations and Four Controls. **E00506-050**
 Establishment of a Contract Medical Care. **E00507-022**
 Evaluation of the Indian Health Service Unmet Needs Estimating Methodology. **E00507-065**
 Evaluation of Consumer Health Training and Education Programs. **E00506-042**
 Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana. **E00507-050**
 Indian Health Service Upward Mobility. **E00507-058**
 Menominee Tribal Health Care Program Planning. **E00507-068**
 National Indian Health Board: Consumer Evaluation of Indian Health Services. **E00507-030**
 Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the
 American Indian Population and Health Resource Requirements. **E00507-018**
 Preventive Health Programs (Part II): Tuberculosis Control Planning Models. **E00507-019**
 Satellite Clinics--Delivery Techniques in Medical Clinics in Relation to Health Needs
 of Underserved Areas. **E00500-051**
- PL 89-750**
 Follow-up Review of Migrant Children Education, Office of Education. **E00500-100**
- PL 89-754**
 Annual Arrangements. **E00600-068**
 Annual Arrangements: improving coordination of community development programs. **E00602-038**
 Evaluation of Operating Agencies--Model Cities. **E00600-089**
 Local Government Participation in the A-95 Project Notification and Review System. **E00602-037**
 Model Cities Program. **E00600-061**
 Opportunities for Improving the Model Cities Program in San Antonio, Texas. **E00692-004**

- Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana. E00692-005
 Planned Variation Cities. E00600-116
 Planned Variations: first year survey. E00602-036
 Procedures and Practices for Providing Technical Assistance to Cities Participating in the Model Cities Program. E00692-001
 Relocation Payments. E00600-067
 Relocation. E00600-087
 Review of the Model Cities Program in Dayton, Ohio. E00692-025
 Review of the Model Cities Program in East St. Louis, Illinois. E00692-021
 Review of the Model Cities Program in Lansing, Michigan. E00692-024
 Review of the San Jose, California, Model Cities Program. E00692-019
 Review of Certain Allegations Concerning the Model Cities Planning Council in Dayton, Ohio. E00692-028
 Review of Certain Aspects of the Grand Rapids, Michigan, Model Cities Program. E00692-014
 Review of Certain Aspects of the Model Cities Program in Newark, New Jersey. E00692-008
 Review of Model Cities Program--Housing and Economic Development Operating Agencies. E00600-113
 Selected Aspects of the Boston, Massachusetts Model Cities Program. E00692-003
 The Changing Demand for Local Capacity and Analysis of Functional Programming and Policy Planning. E00602-035
 The Federal Grant Process: an analysis of the use of supplemental and categorical funds in the model cities program. E00602-034
 The Model Cities Program: a comparative analysis of city response patterns and their relation to future urban policy. E00602-040
 The Model Cities Program: a comparative analysis of participating cities, process, product, performance and prediction. E00602-041
 The Model Cities Program: ten model cities--a comparative analysis of second round planning years. E00602-042
 Use of the CDA Sign-off on Model Cities for Planning and Coordinating HEW Programs. E00602-033
 Use of Consultants. E00600-111
- PL 89-755**
 Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report. E00505-014
 Evaluation of the FDA Consumer Deputy Program. E00505-009
 Manpower Management Program, Executive Director of Regional Operations (EDRO), Field Organization, FDA. E00505-011
 Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project Idea 35. E00505-006
 Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public. E00585-014
 Preliminary Field Resource Allocation Model. E00505-015
- PL 89-793**
 A Follow-up of NARA (Narcotic Addict Rehabilitation Act) Patients Who Drop Out Before Completion. E00503-040
 Drug Treatment Programs and the Federal Criminal Justice System: an analysis and recommendations. E00800-005
 Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C. E00599-003
- PL 89-808**
 Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan Cotton Promotion Institution. E00212-004
 Import Demand for Rice in the EEC: Implications of U.S. Market Promotion. E00212-003
- PL 90-31**
 A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States. E00503-020
 A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions. E00503-037
 Assessment of Child Mental Health Needs and Programs. E00503-062
 Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont. E00503-049
 Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area. E00503-018

- Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems. E00503-041
 Need for More Effective Management of Community Mental Health Centers Program. North Carolina Community Mental Health Center Evaluation Project; final report of planning phase. E00599-004
 Resource Materials for Community Mental Health Program Evaluation. E00503-051
 Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City. E00503-055
 Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals. E00503-026
 The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers. E00503-019
 Two Studies on Catchmenting and Catchment-Oriented Services. E00503-030
 Viability of the Catchment Area Concept in the Community Mental Health Centers Program. E00503-042
 E00503-038
- PL 90-35**
 Full-Scale Implementation of a Process Evaluation System for Programs of the National Center for the Improvement of Educational Systems. E00501-021
 Innovation and Change: A Study of Strategies in Selected Projects Supported by the National Center for the Improvement of Educational Systems. E00501-022
 Review of use of Financial Reports Required by the Office of Education under the Teacher Corps Program. E00500-105
 Supply and Demand Conditions for Teachers and Implications for Federal Programs. E00581-003
- PL 90-88**
 Effectiveness of Independent and Comprehensive Audits of the Inter-American Development Bank. E01280-003
- PL 90-148**
 Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources. E02390-005
 Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards. E00300-007
- PL 90-179**
 Establishment of a Contract Medical Care. E00507-022
 Evaluation of the Indian Health Service Unmet Needs Estimating Methodology. Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana. E00507-065
 Indian Health Service Upward Mobility. E00507-050
 Menominee Tribal Health Care Program Planning. E00507-058
 National Indian Health Board: Consumer Evaluation of Indian Health Services. E00507-068
 Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements. E00507-030
 Preventive Health Programs (Part II): Tuberculosis Control Planning Models. E00507-018
 Progress and Problems in Providing Health Services to Indians. E00507-019
 E00587-003
- PL 90-201**
 Consumer Protection Would Be Increased by Improving the Administration of Intra-state Meat Plant Inspection Programs. E00299-011
 Salmonella in Raw Meat and Poultry: an assessment of the problem. E00585-008
- PL 90-202**
 Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1972. E00904-005
 Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973. E00904-006
 Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1974. E00904-007
 The San Francisco Review. E00904-008
- PL 90-209**
 Migrant Health Program--Final Report. E00507-028

LAW INDEX

PL 90-248

PL 90-222

- A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives. E05507-001
- Limited Success of Federally Financed Minority Businesses in Three Cities. E05590-003
- Management Assistance: An Evaluation of its Extent and Impact on Minority Owned Businesses. E05507-002
- Study of Minority Borrowers Prior and Subsequent to SBA Assistance. E05507-011

PL 90-248

- A Retrospective Case Review of WIN II Completed Job Entries: grant reductions, services, and welfare savings. E00509-012
- An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program. E00904-011
- An Econometric Analysis of the Costs of Selected Manpower Programs: final report. E00900-032
- An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit. E00900-007
- An Econometric Model of the Low-Skill Labor Market. E00509-025
- Assessment of the Work Incentive Program in Washington State. E00992-020
- Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California. E00500-115
- Bibliographies, Notes and Summaries Concerning WIN. E00509-008
- Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012
- Categorical Wage-Bill Subsidies: theory and application. E00509-027
- Clinic Self-Evaluation Manual for Determination and Improvement of Clinic Efficiency. E00507-084
- Deinstitutionalization--Dependent and Neglected Children. E00509-032
- Design and Evaluation of Innovative Staffing Pattern for WIN Child Care Establishments. E00902-026
- Employment and Wage Effects of the WIN Tax Credit. E00509-026
- Evaluation of Child Care Services. E00902-031
- Evaluation of Supportive Services Provided for Participants of Manpower Programs. E00902-030
- Evaluation Studies on Maternal and Infant Care Projects. E00507-036
- Federal Unemployment Benefits Due to Agnes Disaster in Pennsylvania. E00992-026
- From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan. E00992-021
- Further Evidence on the Impact of the WIN II Program. E00900-041
- History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971. E00510-051
- Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients. E00589-005
- Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse. E00589-009
- Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program. E00589-007
- Longitudinal Evaluation of WIN Program. E00902-013
- Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements. E00589-008
- Outpatient Health Care in Inner Cities: Its Users, Services, and Problems. E00589-010
- Personnel Distribution in a City Health Department. E00507-048
- Potential Medicare and Medicaid Reviews for the Indian Health Services. E00507-070
- Problems in the Work Incentive Program in Los Angeles and San Diego. E00992-027
- Project III Description of Existing Maternal and Child Health Services and Resources in the United States. E00507-082
- Self-Employment in the Covered Work Force. E00510-037
- Sheltered Workshops Under the Fair Labor Standards Act. E00904-012
- Slow Implementation of the Work Incentive Program in New York City. E00992-028
- Social Security Beneficiaries in Metropolitan Areas, 1973. E00510-032
- Social Security Programs in the United States, 1973. E00510-031
- Social Security Programs Throughout the World, 1973. E00510-065
- Social Security, Savings, and Capital Formation. E00510-007
- Social Welfare Expenditures, Fiscal Year 1974. E00510-017
- Social Welfare Expenditures, 1972-73. E00510-045
- Some Problems in Contracting for Federally Assisted Child-Care Services. E00589-003
- Special Approval and Evaluation of the WIN II Program. E00509-011
- Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia. E00587-002
- Study of Programs for Unwed Teenage Mothers. E00507-031
- Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia. E00992-018
- The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude. E00507-035
- The Effect of Manpower Training on Earnings: preliminary results. E00900-028

The Evaluation of the WIN II Program: methodological issues and some initial results.	E00900-001
The Family Planning Evaluation Project.	E00507-026
The Family Planning Evaluation Project.	E00507-027
The Provision of Health Care Services to Adolescents in the 1970's.	E00507-083
Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature.	E00900-011
Validation and Evaluation of the Impact of the WIN II Data System.	E00902-003
WIN II Administrative Impact Study.	E00509-010
WIN II Initial Impact Study.	E00509-009
Young Widows and Their Children: A Comparative Report.	E00510-011
PL 90-284	
Equal Opportunity Activities.	E00600-084
Equal Opportunity--Program Evaluation and Compliance.	E00600-137
PL 90-302	
An Appraisal of the Special Summer Food Service Program for Children.	E00299-024
PL 90-321	
The San Francisco Review.	E00904-008
PL 90-351	
An Analysis of the Criminal Investigation Process.	E00811-019
An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department.	E00811-021
An Evaluation of Small Business and Residential Alarm Systems.	E00811-022
An Examination of the Impact of Intensive Police Patrol.	E00811-017
Annual Sample Survey and Area-Based Crime Estimates.	E00811-037
Architectural Design to Improve Security in Urban Residential Areas.	E00811-023
Baltimore Impact Planning and Evaluation.	E00811-043
Completion of the Kansas City Lighting Study.	E00811-025
Computer-Aided Transcription Service Center--Evaluation of Commercial Feasibility.	E00811-006
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects.	E00811-039
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects.	E00811-038
Contract to Evaluate the New England Organized Crime Intelligence System (NEO-CIS).	E00811-016
Crime Reduction Through Aerial Patrol.	E00811-015
Dallas Impact Fundings, Evaluation.	E00811-045
Demonstration of the Reduction of Pre-Trial Delay.	E00811-003
Demonstration Project for Closed Circuit Television Case Screening and Legal Counseling.	E00811-005
Denver Impact City Crime Analysis Team.	E00811-046
Detoxification, Decriminalization and the Criminal Justice System.	E00811-013
Develop, Test, and Implement a Computer Simulation Model.	E00811-018
Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting.	E00891-001
Devices for Controlling Sentencing Disparity.	E00811-008
Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime.	E00891-006
Drug Treatment Programs and the Federal Criminal Justice System: an analysis and recommendations.	E00800-005
Evaluation of the All-Purpose Communications/Protective Helmet.	E00811-026
Evaluation of the California Probation Subsidy Program, Phase II.	E00811-009
Evaluation of the Effects of Alternatives to Incarceration on Juvenile Offenders.	E00811-012
Evaluation of the Effects of Methadone Treatment on Crime and Criminal Addicts.	E00811-011
Evaluation of the LEAA Courts Improvement Program, Phase I.	E00811-004
Evaluation of Community-Based Programs for Adult Offenders.	E00811-041
Evaluation of Criminal Justice Planning Institute.	E00811-050
Evaluation of Instrumentation for the Detection of Polar Organic Aids.	E00811-027
Evaluation of Youth Services Bureaus.	E00811-010
Evaluation Design for Indigents Defense Programs.	E00811-007
Evaluative Study of the Equipment Systems Improvement Program (ESIP).	E00811-031
Feasibility Investigation and Test of Coded Taggant Materials for the Identification of Explosives.	E00811-034

Federal Guidance Needed if Halfway Houses are to be a Viable Alternative to Prison.	E00891-014
How Federal Efforts to Coordinate Programs to Mitigate Juvenile Delinquency Proved Ineffective.	E00891-013
Impact Funding for Planning and Evaluation.	E00811-042
Law Enforcement Standards Laboratory and Support Services.	E00811-035
Law Enforcement Training: an analysis of current and future requirements.	E00800-009
Letter Report on Administration of the Law Enforcement Education Program.	E00891-003
Letter Report on Administration of Discretionary Grant Program by LEAA.	E00891-002
Letter Report on Computerized Criminal History Information.	E00891-005
Lightweight Body Armor.	E00811-032
Long Term Impact of Law Enforcement Assistance Grants Can Be Improved.	E00891-011
National Evaluation of the LEAA Pilot Cities.	E00811-040
National Impact Program Evaluation.	E00811-036
Newark High Impact Evaluation Unit, Office of the Mayor.	E00811-047
Pilot Cities Program: Phaseout Needed Due to Limited National Benefits.	E00891-012
Planning and Evaluation Program, Cleveland Impact Program.	E00811-044
Portland Impact Evaluation Plan.	E00811-048
Problems in Administering Programs to Improve Law Enforcement Education.	E00891-015
Progress in Determining Approaches Which Work in the Criminal Justice System.	E00891-010
Project SEARCH--Latent Fingerprint Study.	E00811-029
Report on Administration of the Program to Reduce Crime in Minnesota.	E00891-004
Residential Neighborhood Crime Control.	E00811-024
Response Time Analysis.	E00811-020
St. Louis Hi-Impact Anti-Crime Evaluation Program.	E00811-049
Study for Alameda County 911.	E00811-030
Sulfur Hexafluoride Taggant for Explosives Detection.	E00811-033
Test and Evaluation of Less Lethal Weapons, Material, and Techniques.	E00811-028
Test of Gimelli System for Training Court Reporters.	E00811-002
The Impact of Decriminalization on the Intake Process for Public Inebriates.	E00811-014
PL 90-396	
Report on Audit of the Office of Standard Reference Data, National Bureau of Standards.	E00300-009
Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.	E00300-007
PL 90-448	
Actions Needed to Provide Greater Insurance Protection to Flood-Prone Communities.	E00696-002
An Analysis of Section 234--HUD Condominium Programs.	E00600-008
An Inquiry into the Relative Emphasis of Program Policies on Housing Needs in the Suburbs and in Older Declining Areas.	E00600-018
Default Counseling.	E00600-005
Department of Housing and Urban Development Should Reduce Handling Fees Paid to Mortgagees Servicing Subsidized Mortgages.	E00693-023
Evaluation of the Operation of Concentrated Default Counseling.	E00604-027
Getting the New Communities Program Started; progress and problems.	E00692-023
Government National Mortgage Association (GNMA) Mortgage-Backed Securities.	E00600-121
Improvements Needed in Department of Housing and Urban Development's Administration of the New Community Development Program.	E00691-001
National Flood Insurance.	E00600-140
Need for Improved Consumer Protection in Interstate Land Sales.	E00696-001
Observation on Program to Repair Existing Houses Insured under the Section 235 Program--District of Columbia Area Office.	E00693-010
Opportunities for Improvement in Coordination of Housing Planning Efforts between State and Interstate Regional Planning Agencies and in the Department of Housing and Urban Development Guidelines on Updating Housing Studies.	E00692-017
Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.	E00693-004
Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.	E00693-005
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.	E00693-003
Project Rehabilitation.	E00600-099
The Effects of FHA Activity in Older, Urban, Declining Areas: a review of existing, related analysis.	E00600-010
PL 90-490	
An Approach to the Evaluation of the Effectiveness of Continuing Dental Education.	E00506-093

Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems.	E00506-086
Dental Auxiliary Utilization: a National Evaluation.	E00506-044
Development of a System to Monitor Quality Nursing Care.	E00506-084
Effectiveness and Efficiency of Nursing Education Programs.	E00506-062
Evaluation of the Application of Instructional Technology in Selected Basic Nursing Education Programs.	E00506-064
Evaluation of the Primary Objectives of the Long-term Professional Nurse Traineeship and Special Nurse Fellowship Programs.	E00506-052
Evaluation of EEO Policies and Practices in Health Professions Educational Institutions.	E00506-107
Evaluation of Public Health Training Grants.	E00506-096
National Area Health Education Center Programs External Assessment Design.	E00506-069
Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students.	E00506-081
PL 90-492	
Salmonella in Raw Meat and Poultry: an assessment of the problem.	E00585-008
PL 90-531	
Analysis of the Los Angeles District Attorney's Office.	E00811-001
PL 90-537	
Problem in Identifying, Developing, and Using Geothermal Resources.	E00780-007
PL 90-574	
A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.	E00503-059
A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.	E00503-020
A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.	E00503-037
Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II.	E00503-029
American Indian Alcoholism Evaluation--Monitoring--Design Project.	E00503-044
An Evaluation of Five Drug Abuse Education Projects: Parts I & II.	E00500-072
Assessment of Child Mental Health Needs and Programs.	E00503-062
Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont.	E00503-049
Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.	E00503-052
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.	E00503-018
Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Evaluation of Drug Education Programs.	E00500-034
Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry.	E00503-046
Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program).	E00503-054
Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program.	
Migrant Health Program--Final Report.	E00507-028
Monitoring and Evaluating the Operation of Ten DOT/NIAA Joint Alcoholism Programs--Phase I Report and Research Plan.	E00503-028
Need for More Effective Management of Community Mental Health Centers Program.	E00599-004
North Carolina Community Mental Health Center Evaluation Project; final report of planning phase.	E00503-051
Pilot Program for Monitoring and Evaluating the Public Inebriate Program.	E00503-045
Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II.	E00503-036
Resource Materials for Community Mental Health Program Evaluation.	E00503-055
Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City.	E00503-026
Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00503-019
The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers.	E00503-030

LAW INDEX**PL 91-144**

Trends in Sources of Funds for Community Mental Health Centers.
Two Studies on Catchmenting and Catchmented-Oriented Services.
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.

E00503-064
E00503-042
E00503-038

PL 90-575

A Study of the Education Professions Development Act Training Programs for Higher Education Personnel.
A Study of the Special Services for Disadvantaged Students Program.

E00501-020
E00501-041

PL 90-576

An Assessment of School-Supervised Work Education Programs.
Assessment of Vocational Education for Handicapped Students.
Evaluation of Federal Programs Supporting Educational Change.
Evaluation of Vocational Exemplary Programs.
Longitudinal Study of Educational Effects: Vocational Education.
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.
Training America's Labor Force: Potential, Progress, and Problems of Vocational Education.
What Is the Role of Federal Assistance for Vocational Education?

E00501-017
E00501-032
E00501-047
E00501-042
E00501-043
E00501-001
E00581-002
E00581-007

PL 90-577

Integrated Grant Administration Assessment Report.
Local Government Participation in the A-95 Project Notification and Review System.
States Need, But are not Getting, Full Information on Federal Financial Assistance Received.

E03700-001
E00602-037
E01280-002

PL 90-603

Potential Medicare and Medicaid Reviews for the Indian Health Services.

E00507-070

PL 90-620

Ways to Improve Records Management Practices in the Federal Government.

E03790-002

PL 91-54

Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970.
Economic Incentives and Occupational Safety.
Land Reclamation Requirements and Their Estimated Effects on the Coal Industry.
More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs.
Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department (2).
Review of Occupational Safety and Health Activities of Federal Civilian Agencies.
Review of Occupational Safety and Health Activities of Federal Civilian Agencies--GSA (2).
Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois.

E00995-007
E00900-036
E00900-035
E00995-004
E00995-002
E00995-001
E00995-003
E00995-006

PL 91-73

Black Lung Benefits Act of 1972; first annual report on administration of the Act during calendar year 1974 and the transitional period in the second half of 1973.
Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits.

E00904-016
E00590-008

PL 91-97

Federal Role in Children's Television Programming.

E00501-030

PL 91-98

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants.

E00795-001

PL 91-144

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement.

E00780-003

PL 91-152**LAW INDEX****PL 91-152**

Mortgage Insurance for Nursing Homes--Section 232.

E00600-124**PL 91-158**

Development of a System to Monitor Quality Nursing Care.

E00506-084**PL 91-173**

Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows.

E00590-003

Evaluation of National Institute for Occupational Safety and Health Short Term Training Program.

E00504-016

Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969.

E00791-002

Improvements Needed in the Assessment and Collection of Penalties--Federal Coal Mine Health and Safety Act of 1969.

E00791-001

Organizational Evaluation and Information Needs Study.

E00504-014

Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973.

E00504-008

Program Operational Planning.

E00504-015

Social Security Programs Throughout the World, 1973.

E00510-065**PL 91-177**

A Sequential Approach to Early Childhood and Elementary Education.

E00512-001

Bank Street Supplemental Study.

E00512-015

Design for a National Evaluation of Social Competence in Head Start Children.

E00512-002

Evaluation of Head Start Experience with 'Healthy, That's Me.'

E00512-018

Evaluation Study for the Institute for Developmental Studies Head Start Planned Variation Study.

E00512-013

Final Report of Monitoring Contract of Seven Home Start Grantees.

E00512-009

Health Start Final Report of the Evaluation of the Second Year Program.

E00512-008

Health Start: Evaluation of the First Year Program.

E00512-005

Implementation of Head Start Planned Variation Testing and Data Collection Effort.

E00512-011

Overview of the Parent-Child Center Management Information System.

E00512-004

Perspectives on Parent Participation in Project Head Start: an analysis and critique.

E00512-006

Research-Based Evaluation of the Tucson Early Education Model.

E00512-016

Retrospective Study of Employee Mobility in Head Start Programs.

E00512-019

Serving Handicapped Children.

E00512-021

Short-Term Cognitive Effects of Head Start Programs.

E00512-007

Social Competency in Young Children.

E00512-010

Sponsor Supported Research of the University of Kansas Planned Variation Program.

E00512-014

Summative Evaluation of the Child and Family Resource Program.

E00512-020

Supplementary Evaluation of the Responsive Environment Head Start Program.

E00512-012

Supplementary Research on Responsive Model for Planned Variation Communities.

E00512-017

Toward a New Cognitive Effects Battery for Project Head Start.

E00512-003**PL 91-190**

Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program.

E00780-009

Need for Federal Agencies to Improve Solid Waste Management Practices.

E02390-001**PL 91-211**

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.

E00503-059

Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II.

E00503-029

American Indian Alcoholism Evaluation--Monitoring--Design Project.

E00503-044

Assessment of Child Mental Health Needs and Programs.

E00503-062

Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.

E00503-052

Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.

E00503-018

Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry.

E00503-046

Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program).

E00503-054

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas.

E00503-033

LAW INDEX

PL 91-296

Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina. E00503-032
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project. E00503-035
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif. E00503-043
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania. E00503-034
Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program. E00503-047
Pilot Program for Monitoring and Evaluating the Public Inebriate Program. E00503-045
Trends in Sources of Funds for Community Mental Health Centers. E00503-064

PL 91-219

Improvements Needed in Program to Contact and Assist Recently Discharged Veterans. E06595-012
Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans. E06596-003
Management Practices Used by the VA's Denver Regional Office in Assisting Veterans. E06596-004
Need for Improved Outreach Efforts for Veterans in Prison or on Parole. E06596-005

PL 91-224

Establishment of a Contract Medical Care. E00507-022
Evaluation of the Indian Health Service Unmet Needs Estimating Methodology. E00507-065
Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana. E00507-050
Indian Health Service Upward Mobility. E00507-058
Menominee Tribal Health Care Program Planning. E00507-068
National Indian Health Board: Consumer Evaluation of Indian Health Services. E00507-030
Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements. E00507-018
Preventive Health Programs (Part II): Tuberculosis Control Planning Models. E00507-019
Progress and Problems in Providing Health Services to Indians. E00587-003
Supertanker Environmental Study. E01101-007

PL 91-230

A Longitudinal Evaluation of the Audit Basic Education Program. E00501-015
An Evaluation of Federal Programs to Increase the Pool of Special Education Teachers. E00501-035
Improving Services to Handicapped Children. E00500-069
Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York. E00500-118
Review of the Implementation of Comparability Provisions, Public Law 91-230, Office of Education. E00500-119
The Adult Basic Education Program: Progress in Reducing Illiteracy and Improvements Needed. E00581-008

PL 91-258

Airport Opposition: Examination and Program Development. E01102-031
Alternatives to the Airport Development Aid Program. E01102-032
ADAP Aid to Private Airports: A Preliminary Assessment and Related Questions for Research. E01102-033
Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights. E01192-003
Public Involvement in Planning Public Works Projects Should Be Increased. E01192-002

PL 91-296

Capital Financing Study. E00500-061
Evaluation of the Health Facilities Building Process. E00500-048
Evaluation of the State Hill-Burton Agencies and the Future of Health Care Services Planning at the State Level. E00506-067
Evaluation of Hill-Burton Bed Need Formula: Short-Term General Hospital Beds. E00506-035
Hill-Burton Consultation Activities. E00506-059

PL 91-316**LAW INDEX****PL 91-316**

Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.

E00300-007

PL 91-370

Youth Conservation Corps Program, 1974.

E00780-010

PL 91-378

An Evaluation of the 1973 Youth Conservation Corps.
 Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974 YCC program.
 Post-camp Uses and Effects of YCC Experiences.
 Program Evaluation, Youth Conservation Corps.
 Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps.
 Youth Conservation Corps Pilot Program, 1972.
 Youth Conservation Corps Pilot Program, 1973.
 Youth Conservation Corps-Pilot Program 1973.

E00700-003

E00700-005

E00700-006

E00709-003

E00700-004

E00700-001

E00700-002

E00216-004

PL 91-439

Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement.

E00780-003

PL 91-469

Government Support of the Shipbuilding Industrial Base.
 Report on Audit of the Cargo Preference Program, Maritime Administration.

E00399-005

E00300-004

PL 91-477

Foreign Visitor Travel to The United States can be Increased.
 Report on Audit of Activities Associated With Domestic Tourist Services and Facilities, United States Travel Service.

E00399-004

E00300-005

PL 91-490

The Geographic Distribution of Nurses and Public Policy.

E00506-088

PL 91-500

Centralized Mail-Out Prescription Systems.

E06504-013

PL 91-506

Mobile-Home Loan Guaranty Program.

E06596-002

PL 91-512

Need for Federal Agencies to Improve Solid Waste Management Practices.
 Using Solid Waste to Conserve Resources and to Create Energy.

E02390-001

E02390-010

PL 91-513

A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.
 A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.
 An Evaluation of Five Drug Abuse Education Projects: Parts I & II.
 Assessment of Child Mental Health Needs and Programs.
 Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont.
 Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.
 Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.
 Evaluation of Drug Education Programs.
 Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program).
 Need for More Effective Management of Community Mental Health Centers Program.
 North Carolina Community Mental Health Center Evaluation Project; final report of planning phase.
 Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II.
 Resource Materials for Community Mental Health Program Evaluation.

E00503-020

E00503-037

E00500-072

E00503-062

E00503-049

E00503-018

E00503-041

E00500-034

E00503-054

E00599-004

E00503-051

E00503-036

E00503-055

LAW INDEX

PL 91-519

Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City. E00503-026
 Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals. E00503-019
 The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers. E00503-030
 Trends in Sources of Funds for Community Mental Health Centers. E00503-064
 Two Studies on Catchmenting and Catchment-Oriented Services. E00503-042
 Viability of the Catchment Area Concept in the Community Mental Health Centers Program. E00503-038

PL 91-515

A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions. E00503-037
 An Analysis of Actual and Potential Uses of Nursing Homes. E00506-049
 An Evaluation of the Regional Medical Program (RMP) Review Criteria. E00506-063
 Assessment of Child Mental Health Needs and Programs. E00503-062
 Case Studies of Statewide Comprehensive Health Planning; a final report. E00506-033
 Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont. E00503-049
 Conversion Implementation and Initial Operation of the Agency Program Reporting System. E00506-068
 Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area. E00503-018
 Evaluation of the Experimental Medical Care Review Organization (EMCRO) Programs. E00506-051
 Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems. E00503-041
 Evaluation of the Uniform Hospital Discharge Abstract Site Test. E00506-053
 Evaluation of Facilitation in the Regional Medical Program. E00506-058
 Evaluation of Graduate Training Programs in Health Planning. E00506-047
 Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of Family Growth, Washington, D.C., July 1971-October 1972. E00506-039
 Follow-up of Non-Respondent Mothers and Editing, Coding, and Key-Taping of Records in the 1972 National Birth Survey. E00506-079
 Hospital Discharge Evaluation Project. E00506-036
 Impact of Regional Medical Programs on Coronary Care in New England. E00506-034
 Information Support System Approach to Problem-Oriented Program Assessment of Local Regional Medical Programs. E00506-046
 Installing and Validating a Data Collection and Analysis System for the Uniform Health Manpower Evaluation. E00506-045
 National Evaluation of Mid-Level Health Workers--Task Profile Analysis Medex, Primex, and Preceptors. E00506-065
 Need for More Effective Management of Community Mental Health Centers Program. E00599-004
 North Carolina Community Mental Health Center Evaluation Project; final report of planning phase. E00503-051
 Prepare Natality Data and Evaluate OCR Hardware and Techniques; final report. E00506-040
 Project Termination in RMPS (Regional Medical Program Service). E00506-041
 Resource Materials for Community Mental Health Program Evaluation. E00503-055
 Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City. E00503-026
 Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals. E00503-019
 Study of Response Errors in the Health Interview Survey. E00506-037
 The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers. E00503-030
 The Utilization and Impact of the I.C.H.D. (Inter-Society Commission on Heart Disease) Reports; an early evaluation. E00506-054
 Trends in Sources of Funds for Community Mental Health Centers. E00503-064
 Two Studies on Catchmenting and Catchment-Oriented Services. E00503-042
 Viability of the Catchment Area Concept in the Community Mental Health Centers Program. E00503-038

PL 91-517

A Study of Feasibility of Securing Adequate Information for the Federal Evaluation of the Developmental Disabilities Formula Grant Program. E00509-007

PL 91-519

An Approach to the Evaluation of the Effectiveness of Continuing Dental Education. Dental Auxiliary Utilization: a National Evaluation. E00506-093
 E00506-044

PL 91-519**LAW INDEX**

- Development of a System to Monitor Quality Nursing Care. **E00506-084**
 Effectiveness and Efficiency of Nursing Education Programs. **E00506-062**
 Evaluation of the Application of Instructional Technology in Selected Basic Nursing Education Programs. **E00506-064**
 Evaluation of the Primary Objectives of the Long-term Professional Nurse Traineeship and Special Nurse Fellowship Programs. **E00506-052**
 Evaluation of EEO Policies and Practices in Health Professions Educational Institutions. **E00506-107**
 Evaluation of Public Health Training Grants. **E00506-096**
 Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education. **E00506-078**
 National Area Health Education Center Programs External Assessment Design. **E00506-069**
 The Geographic Distribution of Nurses and Public Policy. **E00506-088**
- PL 91-524**
 An Evaluation of the ASCS Pilot Public Access Program. **E00209-008**
- PL 91-527**
 Evaluation of a Selected Sample of Office of Education Community-Based Drug Abuse Prevention Projects. **E00500-060**
 Evaluation of Selected Components of Office of Education 'Help Communities Help Themselves' Drug Abuse Prevention Program. **E00500-058**
- PL 91-572**
 Financial Assistance to NCFPS (National Center for Family Planning Services) to Develop Family Planning Project Evaluation Process; final report July 1973-June 1974. **E00507-049**
 Forward Plan for BCHS Family Planning Program. **E00507-044**
 Improving Federally Assisted Family Planning Programs. **E00587-006**
 Need for Subsidizing Family Planning Services; United States, each state and county, 1971. **E00507-033**
 The Family Planning Evaluation Project. **E00507-027**
 The Family Planning Evaluation Project. **E00507-026**
- PL 91-581**
 Problem in Identifying, Developing, and Using Geothermal Resources. **E00780-007**
- PL 91-596**
 Administration of Small Business Loan Program Under the Occupational Safety and Health Act. **E05590-004**
 Compensating Wage Differentials and Hazardous Work. **E00900-014**
 Dissemination of Safety and Health Standards to Business Subject to the Occupational Safety and Health Act of 1970. **E00995-007**
 Economic Incentives and Occupational Safety. **E00900-036**
 Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners. **E00504-011**
 Evaluation of National Institute for Occupational Safety and Health Short Term Training Program. **E00504-016**
 Land Reclamation Requirements and Their Estimated Effects on the Coal Industry. **E00900-035**
 More Concerted Effort Needed by the Federal Government on Occupational Safety and Health Programs. **E00995-004**
 Organizational Evaluation and Information Needs Study. **E00504-014**
 Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973. **E00504-008**
 Program Operational Planning. **E00504-015**
 Review of Occupational Safety and Health Activities of Federal Civilian Agencies Interior Department (2). **E00995-002**
 Review of Occupational Safety and Health Activities of Federal Civilian Agencies. **E00995-001**
 Review of Occupational Safety and Health Activities of Federal Civilian Agencies--GSA (2). **E00995-003**
 Review of Operations of Occupational Safety and Health Administration's Training Institute in Rosemont, Illinois. **E00995-006**
 Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places. **E00599-006**
 The Predicted Impact of the Black Lung Benefits Program on the Coal Industry. **E00900-023**

LAW INDEX**PL 91-616****PL 91-601**

Implementation of the Poison Prevention Packaging Act of 1970.

E30400-101**PL 91-604**

Assessment of Federal and State Enforcement Efforts to Control Air Pollution from Stationary Sources.

E02390-005**PL 91-606**Design of Performance Impact Measures.
Information on Federal Disaster Relief Programs.**E05507-004
E05590-002****PL 91-609**

A Delphi Report: Future of New Communities. **E00601-162**
 Advantages of New Communities. **E00601-019**
 Alternative Federal Policies for New Community Development. **E00601-155**
 Are HUD Processing Procedures an Onerous Disincentive to Other than Marginal Developers? **E00601-151**
 Comparative Summary of the Development Task in New Communities and PUD's (Planned Unit Developments). **E00601-020**
 Cost of Sprawl: comparison of planned vs. unplanned communities. **E00601-159**
 Does the New Communities Program Suffer from Multiple Objectives? **E00601-149**
 Economic and Fiscal Effects of Satellite New Communities on Control Cities. **E00601-022**
 Future Direction for New Communities--Key Issues. **E00601-143**
 Getting the New Communities Program Started; progress and problems. **E00692-023**
 Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants. **E00795-001**
 Impact of Satellite New Communities on Central Cities. **E00601-021**
 Improvements Needed in Department of Housing and Urban Development's Administration of the New Community Development Program. **E00691-001**
 Is the Title VII Program a Viable Program Without Basic or Supplementary Grants? **E00601-150**
 Limited Review--Riverton and Gananda. **E00600-142**
 Limited Review--Soul City. **E00600-141**
 Modernizing the Central City--New Towns Intown and Beyond. **E00601-018**
 National Growth Policy and New Communities. **E00601-154**
 New Communities and the Energy Crisis. **E00601-144**
 New Communities and the States--New Community Potential. **E00601-024**
 New Communities Evaluation. **E00600-001**
 New Communities Policy and Options Paper. **E00601-160**
 New Communities Program, Options for Future Directions. **E00601-147**
 New Communities Project Review. **E00600-108**
 New Communities, USA. **E00601-161**
 New Community Guarantee Program. **E00600-073**
 New-Towns-in-Town: their potential and problems. **E00601-148**
 Policy Analyses for Evaluation of New Communities Program. **E00600-009**
 Program Evaluation. **E00600-109**
 Report to House Subcommittee on HUD-Independent Agencies: Federal assistance to new communities. **E00601-158**
 Social Aspects of New Communities. **E00601-017**
 Some Trends and Conditions Affecting New Communities. **E00601-145**
 St. Charles Communities Impact Evaluation Study: a comprehensive planning, research and demonstration program. **E00601-015**
 Strategies for New Communities. **E00601-156**
 Subsidized Housing in New Communities. **E00601-153**
 Summary Benefits of Title VII-Type Suburban New Communities. **E00601-014**
 Summary Recommendations on Low and Moderate Income Housing. **E00601-152**
 The Economic Impact of Reston on Fairfax County Government. **E00601-016**
 The Federal Crime Insurance Program: how it can be made more effective. **E00696-003**
 The Federal New Community Program in the Context of an Emerging National Growth Policy. **E00601-146**
 The Necessity and Impact of Federal Guarantees and Other Financial Aid on New Community Development. **E00601-023**
 Trends and Implications of No-Growth Movement for New Communities. **E00601-157**

PL 91-616

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism. **E00503-059**
 Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II. **E00503-029**

PL 91-616**LAW INDEX**

American Indian Alcoholism Evaluation--Monitoring--Design Project.	E00503-044
Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.	E00503-052
Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry.	E00503-046
Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program.	E00503-047
Monitoring and Evaluating the Operation of Ten DOT/NIAA Joint Alcoholism Programs--Phase I Report and Research Plan.	E00503-028
Pilot Program for Monitoring and Evaluating the Public Inebriate Program.	E00503-045
PL 91-617	
Personnel Security Investigations; Inconsistent Standards and Procedures.	E05990-011
PL 91-623	
A Study to Develop a Conceptual Model of a National Health Service Corps Management and Control System.	E00507-015
PL 91-644	
An Analysis of the Criminal Investigation Process.	E00811-019
An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department.	E00811-021
An Evaluation of Small Business and Residential Alarm Systems.	E00811-022
An Examination of the Impact of Intensive Police Patrol.	E00811-017
Analysis of the Los Angeles District Attorney's Office.	E00811-001
Annual Sample Survey and Area-Based Crime Estimates.	E00811-037
Architectural Design to Improve Security in Urban Residential Areas.	E00811-023
Baltimore Impact Planning and Evaluation.	E00811-043
Completion of the Kansas City Lighting Study.	E00811-025
Computer-Aided Transcription Service Center--Evaluation of Commercial Feasibility.	E00811-006
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects.	E00811-038
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects.	E00811-039
Contract to Evaluate the New England Organized Crime Intelligence System (NEOCIS).	E00811-016
Crime Reduction Through Aerial Patrol.	E00811-015
Dallas Impact Fundings, Evaluation.	E00811-045
Demonstration of the Reduction of Pre-Trial Delay.	E00811-003
Demonstration Project for Closed Circuit Television Case Screening and Legal Counseling.	E00811-005
Denver Impact City Crime Analysis Team.	E00811-046
Detoxification, Decriminalization and the Criminal Justice System.	E00811-013
Develop, Test, and Implement a Computer Simulation Model.	E00811-018
Devices for Controlling Sentencing Disparity.	E00811-008
Evaluation of the All-Purpose Communications/Protective Helmet.	E00811-026
Evaluation of the California Probation Subsidy Program, Phase II.	E00811-009
Evaluation of the Effects of Alternatives to Incarceration on Juvenile Offenders.	E00811-012
Evaluation of the Effects of Methadone Treatment on Crime and Criminal Addicts.	E00811-011
Evaluation of the LEAA Courts Improvement Program, Phase I.	E00811-004
Evaluation of Community-Based Programs for Adult Offenders.	E00811-041
Evaluation of Criminal Justice Planning Institute.	E00811-050
Evaluation of Instrumentation for the Detection of Polar Organic Aids.	E00811-027
Evaluation of Youth Services Bureaus.	E00811-010
Evaluation Design for Indigents Defense Programs.	E00811-007
Evaluative Study of the Equipment Systems Improvement Program (ESIP).	E00811-031
Feasibility Investigation and Test of Coded Taggant Materials for the Identification of Explosives.	E00811-034
Impact Funding for Planning and Evaluation.	E00811-042
Law Enforcement Standards Laboratory and Support Services.	E00811-035
Lightweight Body Armor.	E00811-032
National Evaluation of the LEAA Pilot Cities.	E00811-040
National Impact Program Evaluation.	E00811-036
Newark High Impact Evaluation Unit, Office of the Mayor.	E00811-047
Planning and Evaluation Program, Cleveland Impact Program.	E00811-044
Portland Impact Evaluation Plan.	E00811-048
Project SEARCH--Latent Fingerprint Study.	E00811-029
Residential Neighborhood Crime Control.	E00811-024
Response Time Analysis.	E00811-020

LAW INDEX

PL 92-54

St. Louis Hi-Impact Anti-Crime Evaluation Program. E00811-049
 Study for Alameda County 911. E00811-030
 Sulfur Hexafluoride Taggant for Explosives Detection. E00811-033
 Test and Evaluation of Less Lethal Weapons, Material, and Techniques. E00811-028
 Test of Gimelli System for Training Court Reporters. E00811-002
 The Impact of Decriminalization on the Intake Process for Public Inebriates. E00811-014

PL 91-646

Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. E01193-001
 Relocation Payments. E00600-067
 Relocation. E00600-087

PL 91-648

Audit of Intergovernmental Personnel Act Grant to the City of Chicago. E05914-006
 Audit of Intergovernmental Personnel Act Grant to the City of Des Moines. E05914-001
 Audit of Intergovernmental Personnel Act Grant to the Kansas League of Municipalities. E05914-007
 Audit of Intergovernmental Personnel Act Grant to the State of Colorado. E05914-003
 Audit of Intergovernmental Personnel Act Grant to the State of Oklahoma. E05914-004
 Audit of Intergovernmental Personnel Act Grant to the University of Maine. E05914-002
 Audit of Intergovernmental Personnel Act Grant to Cook County, Illinois. E05914-005
 Audit of Intergovernmental Personnel Act Grants to the State of North Carolina. E05914-008
 Guidelines for Qualitative Evaluation of Personnel Operations in State and Local Governments. E05917-002
 IPA Impact. E05917-003
 Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970. E05990-015
 State and Local Personnel Systems. E05917-009
 Technical Assistance Impact Study. E05917-006
 The IPA Intergovernmental Assignment Programs. E05917-004
 The IPA Results. E05917-008
 The IPA Title IV Intergovernmental Assignment Program, 1973 Report. E05917-001
 Training Can Make a Difference. E05917-007

PL 91-656

Assessment of Process of Translating Private Enterprise Salary Data into Federal White Collar Salary Adjustments. E05990-004
 Improving the Pay Determination Process for Federal Blue-Collar Employees. E05990-019

PL 91-671

Acceptability and Suitability of the Expanded Thrifty Recipe Flyers by Low-Income Families. E00207-022
 Assessment of Dietary Adequacy of Program Participants. E00207-021
 Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement. E00207-020
 Dual Operation in State of Washington. E00207-023
 Evaluation of Work Registration Activities Under the Food Stamp Program. E00902-038
 Food Distribution and Food Stamp Program Effects on Nutritional Achievement. E00207-002
 Food Stamps and Nutrition. E00207-001
 National Survey of Family Food Assistance Participants. E00207-019
 National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits. E00207-003
 Relationship Between Program Participation and Level of Economic Activity. E00207-024

PL 92-54

An Evaluation of the Economic Impact Project of the Public Employment Program. E00902-005
 Design and Development of a Data System for PEP (Public Employment Program). E00902-024
 Evaluation of the Impact of PEP (Public Employment Program) on the Public Sector. E00902-041
 Evaluation of the Public Employment Program for Reservation Indians. E00902-006
 Evaluation of PEP (Public Employment Program) Welfare Demonstration Project. E00902-044
 Longitudinal Evaluation of PEP (Public Employment Program) and Validation of the PEP Data Bank. E00902-042
 Survey of Public Employment Terminations. E00902-018
 12 Area Study (PEP) (Public Employment Program). E00902-045

PL 92-75**LAW INDEX****PL 92-75**

Recreational Boating in the Continental United States in 1973: the nationwide boating survey.

E01101-019

PL 92-95

Transfer of Insurance Functions.

E06504-022

PL 92-125

Need for a National Weather Modification Research Program.

E99999-003

PL 92-157

A study of HSMHA Site Assessment Procedures.

E00506-082

A Study of the Systems Development Project.

E00506-066

Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems.

E00506-086

Audit of Minority Reporting System.

E00506-032

Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA.

E00506-061

Dental Auxiliary Utilization: a National Evaluation.

E00506-044

Evaluation of the Career Development Program in Global Community Health.

E00506-056

Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education.

E00506-099

Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education.

E00506-078

Evaluation/Decisionmaking in Health Planning and Administration.

E00506-080

FY 74 Evaluation Plan, Bureau of Health Manpower Education.

E00506-060

National Area Health Education Center Programs External Assessment Design.

E00506-069

Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel.

E00506-070

Nursing Home Ombudsmen; five case studies.

E00506-071

Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.

E00506-043

Progress and Problems in Training and Use of Assistants to Primary Care Physicians.

E00586-007

PL 92-158

An Evaluation of the Effectiveness of Loan Forgiveness as an Incentive for Health Practitioners to Locate in Medically Underserved Areas.

E00500-045

Effectiveness and Efficiency of Nursing Education Programs.

E00506-062

Evaluation of the Application of Instructional Technology in Selected Basic Nursing Education Programs.

E00506-064

Evaluation of the Primary Objectives of the Long-term Professional Nurse Traineeship and Special Nurse Fellowship Programs.

E00506-052

Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education.

E00506-078

FY 74 Evaluation Plan, Bureau of Health Manpower Education.

E00506-060

Investigation and Evaluation of Employment Opportunities for Newly-Licensed Nurses.

E00506-095

The Geographic Distribution of Nurses and Public Policy.

E00506-088

PL 92-171

Factors Affecting Specialty Choice and the Interrelationship Between Specialty Choice and Geographic Location.

E00500-059

PL 92-178

An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit.

E00900-007

Assessment of the Work Incentive Program in Washington State.

E00992-020

From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan.

E00992-021

Further Evidence on the Impact of the WIN II Program.

E00900-041

Problems in the Work Incentive Program in Los Angeles and San Diego.

E00992-027

Slow Implementation of the Work Incentive Program in New York City.

E00992-028

Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia.

E00992-018

The Evaluation of the WIN II Program: methodological issues and some initial results.

E00900-001

Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature.

E00900-011

LAW INDEX

PL 92-318

PL 92-193

Transfer of Insurance Functions.

E06504-022

PL 92-205

Need for a National Weather Modification Research Program.

E99999-003

PL 92-223

An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit.

E00900-007

Assessment of the Work Incentive Program in Washington State.

E00992-020

Design and Evaluation of Innovative Staffing Pattern for WIN Child Care Establishments.

E00902-026

Evaluation of Child Care Services.

E00902-031

Evaluation of Supportive Services Provided for Participants of Manpower Programs.

E00902-030

Federal Unemployment Benefits Due to Agnes Disaster in Pennsylvania.

E00992-026

From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan.

E00992-021

Further Evidence on the Impact of the WIN II Program.

E00900-041

Longitudinal Evaluation of WIN Program.

E00902-013

Problems in the Work Incentive Program in Los Angeles and San Diego.

E00992-027

Slow Implementation of the Work Incentive Program in New York City.

E00992-028

Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia.

E00992-018

The Evaluation of the WIN II Program: methodological issues and some initial results.

E00900-001

Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature.

E00900-011

Validation and Evaluation of the Impact of the WIN II Data System.

E00902-003

PL 92-233

Potential Medicare and Medicaid Reviews for the Indian Health Services.

E00507-070

PL 92-255

An Evaluation of Five Drug Abuse Education Projects: Parts I & II.

E00500-072

Evaluation of Drug Education Programs.

E00500-034

Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program).

E00503-054

Federal Efforts to Combat Drug Abuse.

E00599-002

Information on Veterans Administration's Drug Treatment Program and Specific Data on Veterans Administration's Drug Dependents Treatment Centers in New York State.

E06595-018

Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II.

E00503-036

The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health.

E00500-068

PL 92-261

Upward Mobility Programs in the Federal Government Should Be Made More Effective.

E05990-017

PL 92-303

Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows.

E00590-003

Eligibility Requirements for Income Maintenance and Related Benefits.

E00510-142

Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969.

E00599-005

Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits.

E00590-008

PL 92-318

Analysis of Comparative Impacts of Existing BEOG Needs Analysis System.

E00501-039

Analysis of Differential Treatments Under Basic Educational Opportunity Grants Needs Analysis.

E00501-038

Evaluation of Community Group Projects of the Emergency School Assistance Program.

E00501-024

Southern Schools: An Evaluation of the Effect of the Emergency School Assistance Program and of School Desegregation.

E00501-026

PL 92-339**LAW INDEX****PL 92-339**

A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels.

E01101-001

PL 92-340

Vessel Traffic Systems Issue Study.
Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents?

E01101-008
E01191-001**PL 92-381**

Deinstitutionalization--Delinquent Children.
Measures of Delinquency: problems and findings.
Measuring Benefits from Prison Reform.
National Evaluation of Youth Service Systems.
The Impact of Compensatory Education and Desegregation on Juvenile Delinquency.

E00509-050
E00509-031
E00509-051
E00513-001
E00509-033**PL 92-383**

Administration of Special Provision in Public Law 92-383, the 1973 Appropriations Act.

E06595-005

PL 92-419

A New Federalism Program Analysis.
Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims.
FmHA Responsiveness to State and Local Rural Development Plans and Priorities.
FmHA Water and Waste Disposal Program Assistance to Small Rural Communities.
Information on Federal Disaster Relief Programs.

E00201-003
E05590-001
E00200-010
E00200-005
E05590-002**PL 92-449**

The Effect of Discontinuing Project Grants for Tuberculosis.

E00504-021

PL 92-500

Cleaning Up the Great Lakes: United States and Canada are Making Progress in Controlling Pollution from Cities and Towns.
Evaluation of the Report on Interceptor Sewers and Suburban Sprawl.
Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow.
International Trade Study.
Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.
Need to Improve Administration of the Water Pollution Research, Development, and Demonstration Program.
Report of a Study of Control Agency Revenue Fees.
Report of the Administrator's Special Construction Grants Task Force.
Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.
Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do.
Review of the Municipal Waste Water Treatment Works Program.

E02390-011
E02300-005
E02390-009
E00300-024
E02390-003
E02390-002
E02300-003
E02300-008
E00300-007
E02390-007
E02300-004**PL 92-512**

Case Studies of Revenue Sharing in 26 Local Governments.
Organization and Management Review of Office of Revenue Sharing.
Revenue Sharing: Its Use By and Impact on Local Governments.
Revenue Sharing: Its Use By and Impact on State Governments.
Revenue Sharing: An Opportunity for Improved Public Awareness of State and Local Government Operations.

E01280-009
E01200-001
E01280-008
E01280-007
E01280-010**PL 92-516**

Development of a Contingency Plan for Certification of Pesticide Applications.
Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.
Pesticides: Action Needed to Protect the Consumer from Defective Products.

E02300-009
E02390-004
E02390-008**PL 92-573**

Implementation of the Poison Prevention Packaging Act of 1970.

E30400-101

PL 92-576

The San Francisco Review.

E00904-008

PL 92-597

An Evaluation of the 1973 Youth Conservation Corps.
 Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974 YCC program.
 Post-camp Uses and Effects of YCC Experiences.
 Program Evaluation, Youth Conservation Corps.
 Youth Conservation Corps Pilot Program, 1973.
 Youth Conservation Corps Program, 1974.
 Youth Conservation Corps-Pilot Program 1973.

E00700-003
 E00700-005
 E00700-006
 E00709-003
 E00700-002
 E00780-010
 E00216-004

PL 92-603

An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.
 Assets on the Threshold of Retirement.
 Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California.
 Blacks and Social Security Benefits: Trends, 1960-1973.
 Conversions to Supplemental Security Income from State Assistance: A Program Records Study.
 Cost-Benefit Analysis of SSDI (Social Security Disability Income) Trust Fund Program.
 Coverage and Vesting of Full-Time Employees Under Private Retirement Plans.
 Credentialling of Health Care Professionals: its costs and some alternatives for HSA.
 Demographic and Economic Characteristics of Aged--1968 Survey.
 Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns.
 Early Experience Under the Supplemental Security Income Program.
 Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63.
 Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organizations and Community Health Networks.
 Eligibility Requirements for Income Maintenance and Related Benefits.
 Family Structure in Pre-Retirement Years.
 Feasibility of Collecting Baseline Data for Professional Standards Review Organizations; a report to the Office of Professional Standards Review.
 History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.
 International Social Security Agreements.
 Major Social Security Issues: Japan, 1972.
 Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries.
 Relatedness Patterns of Puerto Rican Centenarians.
 Report of Region V Assessment of the Supplementary Security Income Program.
 Retirement History Study: Introduction.
 Selected Characteristics of the State Supplementation Programs Under the Supplementary Security Income Program (SSI).
 Self-Employment in the Covered Work Force.
 Social Security Act Amendments, 1974.
 Social Security Amendments of 1972: Summary and Legislative History.
 Social Security Beneficiaries in Metropolitan Areas, 1973.
 Social Security Programs in the United States, 1973.
 Social Security Programs Throughout the World, 1973.
 Social Security, Savings, and Capital Formation.
 Social Welfare Expenditures, Fiscal Year 1974.
 Social Welfare Expenditures, 1972-73.
 State Supplementation Under Federal SSI (Supplemental Security Income) Program.
 Supplemental Security Income for the Aged: A Comparison of Five Countries.
 Survey of Newly Entitled Beneficiaries.
 The Disabled Widow.
 The Effect of Social Security on Personal Saving.
 The Medical Care Requirements of the U.S. Coast Guard.
 The Retirement Test: An International Study.
 The Supplemental Security Income Program for the Aged, Blind, and Disabled.
 Women Born in Early 1900's: Employment, Earnings and Benefit Levels.
 Young Widows and Their Children: A Comparative Report.

E00507-047
 E00510-053
 E00500-115
 E00510-012
 E00510-008
 E00510-148
 E00510-046
 E00507-066
 E00510-064
 E00510-050
 E00510-027
 E00510-024
 E00587-007
 E00510-142
 E00510-049
 E00507-062
 E00510-051
 E00510-066
 E00510-059
 E00510-056
 E00510-151
 E00500-200
 E00510-062
 E00510-035
 E00510-037
 E00510-021
 E00510-060
 E00510-032
 E00510-031
 E00510-065
 E00510-007
 E00510-017
 E00510-045
 E00510-020
 E00510-040
 E00510-063
 E00510-016
 E00510-150
 E00507-045
 E00510-025
 E00510-039
 E00510-042
 E00510-011

PL 93-14

Using Solid Waste to Conserve Resources and to Create Energy.

E02390-010

PL 93-39

Report on the Affirmative Steps Taken to Ensure the Protection from Coercion of Employees Who Fall within the Purview of the Major RIF Retirement Law.

E05908-006

Report to Congress on Major Reductions in Force. E05908-007

PL 93-43

Establishment of National Cemetery System in the Veterans Administration. E06504-006
 Organization: Placement and Functions of the National Cemetery System in the VA. E06504-004

PL 93-45

A Study for Evaluation of Methods for Determining Outpatient Facility Needs: final report. E00506-097
 A Study of the Problems Encountered in the Provision of Clinical Training for Allied Health Professions. E00506-094
 An Evaluation of Allied Health Advanced Traineeship Grants. E00506-091
 An Evaluation of Deficiencies in Program Study Designs. E00506-106
 An Evaluation of State Hill-Burton Reporting Systems for Free Care and Community Services to the Poor, Provided for by Federally Assisted Health Facilities. E00506-105
 Capital Financing Study. E00500-061
 Development of a Training Package and Delivery of Training for Site Assessment of Comprehensive Health Planning Agencies 3144b and the Development of Assessment Components, Procedures, and Reporting Formats for 3 14 Agency Site Assessments. E00506-057
 Evaluation of the Effectiveness of CHPS Agency Assessments, Performance Standards, and Technical Assistance Activities in Strengthening CHP (Comprehensive Health Planning) Agency Performance. E00506-101
 Evaluation of the Emergency Medical Services Demonstration Projects. E00506-103
 Evaluation of the Health Facilities Building Process. E00500-048
 Evaluation of the Impact of Physician Extender Algorithms on Productivity. E00506-089
 Evaluation of Current Practices and Methods in Utilization of Data for Health Program Planning Management and Evaluation--An Evaluation of the Uses of Data From State Health Interview Surveys. E00506-100
 Evaluation of Non-Response in the Health and Nutrition Examination Survey (HANES): Part I: Development and Evaluation of Imputation Procedures for HANES; Part II: Study of Factors Leading to Participation and Non-Participation in HANES. E00506-092
 Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education. E00506-099
 Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education. E00506-078
 Medicaid Study--Public Spending for Personal Medical Care: An Analysis of the Utilization, Cost, and Quality of Care. E00506-104
 On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies. E00506-087
 On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies. E00506-090

PL 93-53

Need for a Uniform Method for Paying Interest on Government Trust Funds. E01280-001

PL 93-66

Assets on the Threshold of Retirement. E00510-053
 Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012
 Conversions to Supplemental Security Income from State Assistance: A Program Records Study. E00510-008
 Coverage and Vesting of Full-Time Employees Under Private Retirement Plans. E00510-046
 Demographic and Economic Characteristics of Aged--1968 Survey. E00510-064
 Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050
 Early Experience Under the Supplemental Security Income Program. E00510-027
 Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63. E00510-024
 Eligibility Requirements for Income Maintenance and Related Benefits. E00510-142
 Family Structure in Pre-Retirement Years. E00510-049
 History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971. E00510-051
 International Social Security Agreements. E00510-066
 Major Social Security Issues: Japan, 1972. E00510-059
 Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries. E00510-056
 Relatedness Patterns of Puerto Rican Centenarians. E00510-151
 Retirement History Study: Introduction. E00510-062

Selected Characteristics of the State Supplementation Programs Under the Supplementary Security Income Program (SSI).	E00510-035
Self-Employment in the Covered Work Force.	E00510-037
Social Security Act Amendments, 1974.	E00510-021
Social Security Amendments of 1972: Summary and Legislative History.	E00510-060
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security Programs Throughout the World, 1973.	E00510-065
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Social Welfare Expenditures, 1972-73.	E00510-045
State Supplementation Under Federal SSI (Supplemental Security Income) Program.	E00510-020
Supplemental Security Income for the Aged: A Comparison of Five Countries.	E00510-040
Survey of Newly Entitled Beneficiaries.	E00510-063
The Disabled Widow.	E00510-016
The Retirement Test: An International Study.	E00510-025
The Supplemental Security Income Program for the Aged, Blind, and Disabled.	E00510-039
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
Young Widows and Their Children: A Comparative Report.	E00510-011
PL 93-82	
Centralized Mail-Out Prescription Systems.	E06504-013
Outpatient Sub-Clinic in Massachusetts.	E06504-014
Program for Providing Nursing Home Care to Veterans in State-Operated Nursing Homes.	E06595-001
PL 93-83	
An Analysis of the Criminal Investigation Process.	E00811-019
An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department.	E00811-021
An Evaluation of Small Business and Residential Alarm Systems.	E00811-022
An Examination of the Impact of Intensive Police Patrol.	E00811-017
Analysis of the Los Angeles District Attorney's Office.	E00811-001
Annual Sample Survey and Area-Based Crime Estimates.	E00811-037
Architectural Design to Improve Security in Urban Residential Areas.	E00811-023
Baltimore Impact Planning and Evaluation.	E00811-043
Completion of the Kansas City Lighting Study.	E00811-025
Computer-Aided Transcription Service Center--Evaluation of Commercial Feasibility.	E00811-006
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects.	E00811-038
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects.	E00811-039
Contract to Evaluate the New England Organized Crime Intelligence System (NEO-CIS).	E00811-016
Crime Reduction Through Aerial Patrol.	E00811-015
Dallas Impact Findings, Evaluation.	E00811-045
Demonstration of the Reduction of Pre-Trial Delay.	E00811-003
Demonstration Project for Closed Circuit Television Case Screening and Legal Counseling.	E00811-005
Denver Impact City Crime Analysis Team.	E00811-046
Detoxification, Decriminalization and the Criminal Justice System.	E00811-013
Develop, Test, and Implement a Computer Simulation Model.	E00811-018
Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting.	E00891-001
Devices for Controlling Sentencing Disparity.	E00811-008
Evaluation of the All-Purpose Communications/Protective Helmet.	E00811-026
Evaluation of the California Probation Subsidy Program, Phase II.	E00811-009
Evaluation of the Effects of Alternatives to Incarceration on Juvenile Offenders.	E00811-012
Evaluation of the Effects of Methadone Treatment on Crime and Criminal Addicts.	E00811-011
Evaluation of the LEAA Courts Improvement Program, Phase I.	E00811-004
Evaluation of Community-Based Programs for Adult Offenders.	E00811-041
Evaluation of Criminal Justice Planning Institute.	E00811-050
Evaluation of Instrumentation for the Detection of Polar Organic Aids.	E00811-027
Evaluation of Youth Services Bureaus.	E00811-010
Evaluation Design for Indigents Defense Programs.	E00811-007
Evaluative Study of the Equipment Systems Improvement Program (ESIP).	E00811-031
Feasibility Investigation and Test of Coded Taggant Materials for the Identification of Explosives.	E00811-034
Impact Funding for Planning and Evaluation.	E00811-042

Law Enforcement Standards Laboratory and Support Services. E00811-035
 Letter Report on Computerized Criminal History Information. E00891-005
 Lightweight Body Armor. E00811-032
 National Evaluation of the LEAA Pilot Cities. E00811-040
 National Impact Program Evaluation. E00811-036
 Newark High Impact Evaluation Unit, Office of the Mayor. E00811-047
 Planning and Evaluation Program, Cleveland Impact Program. E00811-044
 Portland Impact Evaluation Plan. E00811-048
 Project SEARCH--Latent Fingerprint Study. E00811-029
 Residential Neighborhood Crime Control. E00811-024
 Response Time Analysis. E00811-020
 St. Louis Hi-Impact Anti-Crime Evaluation Program. E00811-049
 Study for Alameda County 911. E00811-030
 Sulfur Hexafluoride Taggant for Explosives Detection. E00811-033
 Test and Evaluation of Less Lethal Weapons, Material, and Techniques. E00811-028
 Test of Gimelli System for Training Court Reporters. E00811-002
 The Impact of Decriminalization on the Intake Process for Public Inebriates. E00811-014

PL 93-86

Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota. E00299-022

PL 93-87

Advance Right-of-Way Acquisition Program. E01103-004
 Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. E01193-001
 Federal Participation in Cost of States Internal Review Activities. E01103-002
 Preparation of Programming Documents. E01103-005
 Program Review of Right-of-Way Program. E01103-001
 Public Involvement in Planning Public Works Projects Should Be Increased. E01192-002
 Review of Availability of Right-of-Way Statistics. E01103-008
 Review of Construction Inspection Procedures. E01103-003
 Review of Materials Sampling and Testing Procedures. E01103-006
 Review of Right-of-Way Records and Reports. E01103-007

PL 93-112

An Analysis of the Allocation of Counseling Resources in Vocational Rehabilitation Agencies. E00509-037
 Black-White Earnings and Employment: 1960-1970. E00900-027
 Characteristics of the Demand for Disabled Workers. E00509-042
 Disability and Labor Supply. E00509-043
 Effectiveness of Vocational Rehabilitation in Helping the Handicapped. E00591-004
 Employment of Handicapped Individuals and Disabled Veterans in the Federal Government. E05908-008
 Employment of Handicapped Individuals in the Federal Service. E05908-001
 Employment Opportunities in the Federal Government for the Physically Handicapped. E05990-007
 Estimating the Benefits of Job Banks as a Computerized Record Keeping System. E00900-015
 Job Matching Under Imperfect Information. E00900-020
 Review of Vending Operations on Federally Controlled Property. E00591-003
 Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns. E00900-008
 The Determinants of Vocational Rehabilitation Costs: an exploratory analysis. E00509-040
 The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates. E00900-016
 The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors. E00900-029
 The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact. E00900-040
 The Program Services and Support System of the Rehabilitation Services Administration. E00509-003
 Theories of Search in a Labor Market. E00900-022
 Vocational Rehabilitation Programs Undergoing Rapid Expansion: a simulation study of cost and caseload statistics. E00509-047
 Vocational Rehabilitation State Allocation Study. E00509-001

PL 93-151

An Evaluation of Program Elements and Impact of Federal and Local Lead-Based Paint Poisoning Grant Programs. E00504-017

LAW INDEX

PL 93-203

Evaluation of Lead-Poisoning Prevention Programs.

E00500-032

PL 93-154

A Study of the Nature and Function of the Emergency Medical Service Advisory Councils in Relation to Selected Community Variables.
 Assessment of Emergency Medical Systems.
 Evaluation of Emergency Medical Service Systems Impact.
 Evaluation of Legal Barriers to Emergency Medical Systems Implementation.
 Guidelines for Patient Record-Keeping Systems for Emergency Medical Service.

E00507-021
 E00507-052
 E00507-073
 E00507-053
 E00507-051

PL 93-155

Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments.
 PHS Hospital Manpower Analysis.
 Status of Efforts to Offset Balance-of-Payment Deficit for Fiscal Year 1974 Attributable to Maintaining U.S. Forces in Europe.

E00507-055
 E00507-041
 E00399-002

PL 93-159

Problem in the Federal Energy Administration's Compliance and Enforcement Effort.
 Problems in the Federal Energy Office's Implementation of Emergency Petroleum Allocation Programs at Regional and State Levels.
 Problems of Independent Refiners and Gasoline Retailers.

E02990-002
 E02990-001
 E02990-003

PL 93-203

An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.
 An Econometric Analysis of the Costs of Selected Manpower Programs: final report.
 An Impact Evaluation of the Public Employment Program.
 Analysis of Social Security Data to Estimate the Impact of Manpower Programs.
 Black-White Earnings and Employment: 1960-1970.
 Cleveland Summer Youth Employment Programs.
 Concentrated Employment Program in New York City Has Not Met Its Employment Objectives.
 Differences in the Total Employment Effects of Government Purchases from the Private Sector and Direct Government Hiring of the Unemployed.
 Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems.
 Econometric Estimation of the Macro Impact of Public Employment and Public Spending.
 Economic Considerations for Manpower Revenue Sharing.
 Employment of Handicapped Individuals and Disabled Veterans in the Federal Government.
 Employment Programs for Public Offenders in the Federal Service.
 Employment Services for Vietnam-Era Veterans Could Be Improved.
 Estimating the Benefits of Job Banks as a Computerized Record Keeping System.
 Evaluating the Impact of CETA (Comprehensive Employment and Training Act) Program on Participants' Earnings: Methodological Issues and Problems.
 Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.
 Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.
 Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.
 Evaluation of the Planning and Coordination of Manpower Programs/Phase I.
 Evaluation of Basic Education Programs Conducted Under MDTA Institution Training Program.
 Evaluation Questions Under the Comprehensive Employment and Training Act of 1973.
 Guidelines for Evaluation of Employment Practices Under the CETA Act.
 Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971.
 Job Matching Under Imperfect Information.
 Kentucky Equine Education Program (KEEP).
 Manpower Program Follow-up Systems.
 Measuring the Effect of the Federal Government on the Change in the Labor Market Position of Black Male Workers Relative to White Male Workers: 1966 to 1970.
 National Academy of Science Critical Review of the Use of Social Security Data to Estimate the Effect of Manpower Programs.

E00904-011
 E00900-032
 E00900-002
 E00900-005
 E00900-027
 E00992-013
 E00992-001
 E00900-012
 E00992-007
 E00900-009
 E00900-019
 E05908-008
 E05908-002
 E00992-024
 E00900-015
 E00900-037
 E00501-003
 E00501-004
 E00501-002
 E00500-212
 E00501-044
 E00900-038
 E05917-005
 E00992-009
 E00900-020
 E00992-025
 E00900-026
 E00900-010
 E00900-006

9 OF 10



National Rural Development Efforts and the Impact of Federal Programs on a 12-County Rural Area in South Dakota.	E00299-001
Neighborhood Youth Corps and Emergency Employment Programs in Artesia, New Mexico.	E00992-014
Observations on the Effectiveness and Management of Upgrading Training.	E00992-005
Opportunity to Increase Effectiveness of JOBS-Type Programs to be Funded Under CETA (Comprehensive Employment and Training Act).	E00992-022
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.	E00992-023
Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act.	E00992-002
Program Administration at the Atterbury Job Corps Center in Indiana.	E00992-008
Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act.	E00900-021
Public Employment Programs in East St. Louis, Illinois.	E00992-019
Public Employment Programs in Selected Rural and Urban Areas.	E00992-011
Public Service Benefits from Jobs Under the Emergency Employment Act of 1971.	E00992-010
Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks.	E00992-012
Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas.	E00992-016
Review of Effectiveness and Management of the Neighborhood Youth Corps In-School Program for FY 1971 at Three Locations.	E00992-004
Selection and Enrollment of Participants in Programs Under the Emergency Employment Act of 1971.	E00992-003
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential.	E00900-004
Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns.	E00900-008
Study of Vocational Training Skills Achievement at Departmental Job Corps Centers.	E00700-008
The Effect of Manpower Training on Earnings: preliminary results.	E00900-028
The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates.	E00900-016
The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements.	E00992-015
The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors.	E00900-029
The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact.	E00900-040
The Neighborhood Youth Corps: An Impact Evaluation.	E00900-018
The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings.	E00900-017
Theories of Search in a Labor Market.	E00900-022
Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971.	E00992-006

PL 93-222

Analysis of Barriers to Successful HMO Development.	E00507-063
Better Controls Needed for Health Maintenance Organizations under Medicaid in California.	E00587-005
Development of Alternatives for an Evaluation of the Costs and Effectiveness of Inclusion of Mental, Dental, and Pharmaceutical Benefits in Health Maintenance or Related Organizations.	E00507-059
Development of Baseline Data for Evaluation of Selected HMO's.	E00507-037
Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organizations and Community Health Networks.	E00587-007
Evaluation of the Accomplishments of Selected HMOS Funded Projects.	E00507-054
Evaluation of Intermediate Care Beds in a Health Maintenance Organization.	E00507-085
Health Maintenance Organization Cost Study.	E00507-043
HMO Evaluation Schema--Phase I.	E00507-038
Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services.	E00507-023
Management and Manpower Study of Health Maintenance Organization (HMO) Activities in HSA.	E00507-061
Marketing Health Maintenance Organizations to Low Income Persons: a case study approach.	E00507-032
Potential Impact of the Health Maintenance Organization Act of 1973 on the Development of Rural HMO's.	E00507-067
The Role of Health Services Administrator.	E00507-086

LAW INDEX

PL 93-282

PL 93-233

Assets on the Threshold of Retirement. E00510-053
 Audit of Administrative Costs Proposed for Administration of Health Insurance for
 the Aged Program, California Physicians' Service, San Francisco, California. E00500-115
 Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012
 Conversions to Supplemental Security Income from State Assistance: A Program
 Records Study. E00510-008
 Coverage and Vesting of Full-Time Employees Under Private Retirement Plans. E00510-046
 Demographic and Economic Characteristics of Aged--1968 Survey. E00510-064
 Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns. E00510-050
 Early Experience Under the Supplemental Security Income Program. E00510-027
 Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63. E00510-024
 Eligibility Requirements for Income Maintenance and Related Benefits. E00510-142
 Family Structure in Pre-Retirement Years. E00510-049
 History of the Provisions of Old Age, Survivors, Disability, and Health Insurance,
 Annual Statistical Supplement, 1971. E00510-051
 International Social Security Agreements. E00510-066
 Major Social Security Issues: Japan, 1972. E00510-059
 Recovery and Benefit Termination: Program Experience of Disabled-Worker Benefici-
 aries. E00510-056
 Relatedness Patterns of Puerto Rican Centenarians. E00510-151
 Retirement History Study: Introduction. E00510-062
 Selected Characteristics of the State Supplementation Programs Under the Supple-
 mentary Security Income Program (SSI). E00510-035
 Self-Employment in the Covered Work Force. E00510-037
 Social Security Act Amendments, 1974. E00510-021
 Social Security Amendments of 1972: Summary and Legislative History. E00510-060
 Social Security Beneficiaries in Metropolitan Areas, 1973. E00510-032
 Social Security Programs in the United States, 1973. E00510-031
 Social Security Programs Throughout the World, 1973. E00510-065
 Social Security, Savings, and Capital Formation. E00510-007
 Social Welfare Expenditures, Fiscal Year 1974. E00510-017
 Social Welfare Expenditures, 1972-73. E00510-045
 State Supplementation Under Federal SSI (Supplemental Security Income) Program. E00510-020
 Supplemental Security Income for the Aged: A Comparison of Five Countries. E00510-040
 Survey of Newly Entitled Beneficiaries. E00510-063
 The Disabled Widow. E00510-016
 The Retirement Test: An International Study. E00510-025
 The Supplemental Security Income Program for the Aged, Blind, and Disabled. E00510-039
 Women Born in Early 1900's: Employment, Earnings and Benefit Levels. E00510-042

PL 93-234

Actions Needed to Provide Greater Insurance Protection to Flood-Prone Communities. E00696-002
 National Flood Insurance. E00600-140

PL 93-237

Administration of Small Business Loan Program Under the Occupational Safety and
 Health Act. E05590-004
 Information on Federal Disaster Relief Programs. E05590-002

PL 93-275

Problems of Independent Refiners and Gasoline Retailers. E02990-003

PL 93-282

A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by
 the National Institute on Alcohol Abuse and Alcoholism. E00503-059
 Alcoholism Program Monitoring System Development: Evaluation of the ATC Pro-
 gram, Phase I and Phase II. E00503-029
 American Indian Alcoholism Evaluation--Monitoring--Design Project. E00503-044
 Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten
 DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program,
 Phase II Final Report. E00503-052
 Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry. E00503-046
 Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Pro-
 gram. E00503-047
 Monitoring and Evaluating the Operation of Ten DOT/NIAA Joint Alcoholism Pro-
 grams--Phase I Report and Research Plan. E00503-028
 Pilot Program for Monitoring and Evaluating the Public Inebriate Program. E00503-045

PL 93-353

A study of HSMHA Site Assessment Procedures.	E00506-082
A Study of the Systems Development Project.	E00506-066
An Evaluation of the Effects of Hospital Cost Finding Procedures on the Determination of Ambulatory Service Costs.	E00506-102
An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning.	E00506-055
Cancer Chemotherapy Abstracts/Cancer Chemotherapy Reports Evaluation.	E00508-039
Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA.	E00506-061
Evaluation of User Utilization of the National Medical Audio Visual Center Media Distribution Program.	E00508-046
Evaluation/Decisionmaking in Health Planning and Administration.	E00506-080
Health Statistics Today and Tomorrow.	E00506-038
Nursing Home Ombudsmen; five case studies.	E00506-071
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.	E00506-043
Quality and Consistency Evaluation of Medlars Indexing: final report.	E00508-037
Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students.	E00506-081

PL 93-357

A Comparative Cost Analysis of Alternative Methods for Selling FHA Notes.	E00200-007
Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Industrial Loan and Grant Program.	E00299-019
Effectiveness and Administration of the Rural Rental-Housing Program.	E00299-016
Employment Impacts of the Economic Development Administration's Public Works Program.	E00201-002
Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview.	E00201-001
Ways to Improve Effectiveness of Rural Business Loan Programs.	E00299-017

PL 93-380

Educational Laboratory and Research and Development Center Programs Need To Be Strengthened.	E00582-001
Effectiveness of Educational Laboratories and Centers.	E00501-029
Evaluation of Educational Achievement Test Measures as an Eligibility Criterion in the Better Schools Act Formula.	E00500-056
Follow-up Review of Migrant Children Education, Office of Education.	E00500-100
Program for School Assistance in Federally Affected Areas (SAFA).	E00500-114
Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York.	E00500-118

PL 93-383

Determinants of State and Local Expenditures: an annotated bibliography.	E00600-011
Equal Opportunity Activities.	E00600-084
Equal Opportunity--Program Evaluation and Compliance.	E00600-137
National Rural Development Efforts and the Impact of Federal Programs on a 12-County Rural Area in South Dakota.	E00299-001
Need for More Timely and Accurate Data on the Operations of Certain Community Development Programs.	E00692-002
Need to Improve Management of Urban Renewal Projects.	E00692-018
Opportunities for Improving the Model Cities Program in San Antonio, Texas.	E00692-004
Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana.	E00692-005
Prices Paid for Property Acquired in Urban Renewal Programs in the District of Columbia.	E00692-007
Procedures and Practices for Providing Technical Assistance to Cities Participating in the Model Cities Program.	E00692-001
Procedures for Distribution of Community Development Block Grant Funds.	E00600-120
Review of the Model Cities Program in Dayton, Ohio.	E00692-025
Review of the Model Cities Program in East St. Louis, Illinois.	E00692-021
Review of the Model Cities Program in Lansing, Michigan.	E00692-024
Review of the San Jose, California, Model Cities Program.	E00692-019
Review of Certain Allegations Concerning the Model Cities Planning Council in Dayton, Ohio.	E00692-028
Review of Certain Aspects of the Federally Assisted Code Enforcement Program in Denver, Colorado.	E00692-020
Review of Certain Aspects of the Grand Rapids, Michigan, Model Cities Program.	E00692-014

LAW INDEX**PL 93-415**

Review of Certain Aspects of the Model Cities Program in Newark, New Jersey. E00692-008
 Review of Certain Aspects of the Urban Renewal Program in Lynn, Massachusetts. E00692-012
 Selected Aspects of the Boston, Massachusetts Model Cities Program. E00692-003
 Selected Aspects of the Neighborhood Development Program. E00692-006

PL 93-406

Evaluation of the LMSA--Management Information System. E00903-001

PL 93-408

Youth Conservation Corps Program, 1974. E00780-010

PL 93-410

Problem in Identifying, Developing, and Using Geothermal Resources. E00780-007

PL 93-415

An Analysis of the Criminal Investigation Process. E00811-019

An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department. E00811-021

An Evaluation of Small Business and Residential Alarm Systems. E00811-022

An Examination of the Impact of Intensive Police Patrol. E00811-017

Analysis of the Los Angeles District Attorney's Office. E00811-001

Annual Sample Survey and Area-Based Crime Estimates. E00811-037

Architectural Design to Improve Security in Urban Residential Areas. E00811-023

Baltimore Impact Planning and Evaluation. E00811-043

Completion of the Kansas City Lighting Study. E00811-025

Computer-Aided Transcription Service Center--Evaluation of Commercial Feasibility. E00811-006

Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects. E00811-038

Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects. E00811-039

Contract to Evaluate the New England Organized Crime Intelligence System (NEO-CIS). E00811-016

Crime Reduction Through Aerial Patrol. E00811-015

Dallas Impact Fundings, Evaluation. E00811-045

Demonstration of the Reduction of Pre-Trial Delay. E00811-003

Demonstration Project for Closed Circuit Television Case Screening and Legal Counseling. E00811-005

Denver Impact City Crime Analysis Team. E00811-046

Detoxification, Decriminalization and the Criminal Justice System. E00811-013

Develop, Test, and Implement a Computer Simulation Model. E00811-018

Devices for Controlling Sentencing Disparity. E00811-008

Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime. E00891-006

Evaluation of the All-Purpose Communications/Protective Helmet. E00811-026

Evaluation of the California Probation Subsidy Program, Phase II. E00811-009

Evaluation of the Effects of Alternatives to Incarceration on Juvenile Offenders. E00811-012

Evaluation of the Effects of Methadone Treatment on Crime and Criminal Addicts. E00811-011

Evaluation of the LEAA Courts Improvement Program, Phase I. E00811-004

Evaluation of Community-Based Programs for Adult Offenders. E00811-041

Evaluation of Criminal Justice Planning Institute. E00811-050

Evaluation of Instrumentation for the Detection of Polar Organic Aids. E00811-027

Evaluation of Youth Services Bureaus. E00811-010

Evaluation Design for Indigents Defense Programs. E00811-007

Evaluative Study of the Equipment Systems Improvement Program (ESIP). E00811-031

Feasibility Investigation and Test of Coded Taggant Materials for the Identification of Explosives. E00811-034

Federal Guidance Needed if Halfway Houses are to be a Viable Alternative to Prison. E00891-014

How Federal Efforts to Coordinate Programs to Mitigate Juvenile Delinquency Proved Ineffective. E00891-013

Impact Funding for Planning and Evaluation. E00811-042

Law Enforcement Standards Laboratory and Support Services. E00811-035

Letter Report on Administration of the Law Enforcement Education Program. E00891-003

Letter Report on Administration of Discretionary Grant Program by LEAA. E00891-002

Lightweight Body Armor. E00811-032

Long Term Impact of Law Enforcement Assistance Grants Can Be Improved. E00891-011

National Evaluation of the LEAA Pilot Cities. E00811-040

National Impact Program Evaluation. E00811-036

- Newark High Impact Evaluation Unit, Office of the Mayor. E00811-047
 Pilot Cities Program: Phaseout Needed Due to Limited National Benefits. E00891-012
 Planning and Evaluation Program, Cleveland Impact Program. E00811-044
 Portland Impact Evaluation Plan. E00811-048
 Problems in Administering Programs to Improve Law Enforcement Education. E00891-015
 Progress in Determining Approaches Which Work in the Criminal Justice System. E00891-010
 Project SEARCH-Latent Fingerprint Study. E00811-029
 Report on Administration of the Program to Reduce Crime in Minnesota. E00891-004
 Residential Neighborhood Crime Control. E00811-024
 Response Time Analysis. E00811-020
 St. Louis Hi-Impact Anti-Crime Evaluation Program. E00811-049
 Study for Alameda County 911. E00811-030
 Sulfur Hexafluoride Taggant for Explosives Detection. E00811-033
 Test and Evaluation of Less Than Lethal Weapons, Material, and Techniques. E00811-028
 Test of Gimelli System for Training Court Reporters. E00811-002
 The Impact of Decriminalization on the Intake Process for Public Inebriates. E00811-014
- PL 93-463**
 Improvements Needed in Regulation of Commodity Futures Trading. E00299-012
- PL 93-508**
 Black-White Earnings and Employment: 1960-1970. E00900-027
 Employment of Handicapped Individuals and Disabled Veterans in the Federal Government. E05908-008
 Employment Services for Vietnam-Era Veterans Could Be Improved. E00992-024
 Estimating the Benefits of Job Banks as a Computerized Record Keeping System. E00900-015
 Job Matching Under Imperfect Information. E00900-020
 Problems in Filling Job Orders and Placing Job Applicants in Massachusetts. E00992-023
 Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns. E00900-008
 The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates. E00900-016
 The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors. E00900-029
 The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact. E00900-040
 Theories of Search in a Labor Market. E00900-022
- PL 93-510**
 Integrated Grant Administration Assessment Report. E03700-001
- PL 93-523**
 National Safe Drinking Water Strategy: One Step at a Time. E02300-007
- PL 93-567**
 An Impact Evaluation of the Public Employment Program. E00900-002
 Analysis of Social Security Data to Estimate the Impact of Manpower Programs. E00900-005
 Black-White Earnings and Employment: 1960-1970. E00900-027
 Concentrated Employment Program in New York City Has Not Met Its Employment Objectives. E00992-001
 Differences in the Total Employment Effects of Government Purchases from the Private Sector and Direct Government Hiring of the Unemployed. E00900-012
 Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems. E00992-007
 Econometric Estimation of the Macro Impact of Public Employment and Public Spending. E00900-009
 Economic Considerations for Manpower Revenue Sharing. E00900-019
 Employment Services for Vietnam-Era Veterans Could Be Improved. E00992-024
 Estimating the Benefits of Job Banks as a Computerized Record Keeping System. E00900-015
 Evaluating the Impact of CETA (Comprehensive Employment and Training Act) Program on Participants' Earnings: Methodological Issues and Problems. E00900-037
 Evaluation Questions Under the Comprehensive Employment and Training Act of 1973. E00900-038
 Job Matching Under Imperfect Information. E00900-020
 Manpower Program Follow-up Systems. E00900-026
 Measuring the Effect of the Federal Government on the Change in the Labor Market Position of Black Male Workers Relative to White Male Workers: 1966 to 1970. E00900-010
 National Academy of Science Critical Review of the Use of Social Security Data to Estimate the Effect of Manpower Programs. E00900-006

LAW INDEX

PL 93-644

- Observations on the Effectiveness and Management of Upgrading Training. **E00992-005**
- Problems in Filling Job Orders and Placing Job Applicants in Massachusetts. **E00992-023**
- Program Administration at the Atterbury Job Corps Center in Indiana. **E00992-008**
- Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act. **E00900-021**
- Public Service Benefits from Jobs Under the Emergency Employment Act of 1971. **E00992-010**
- Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks. **E00992-012**
- Selection and Enrollment of Participants in Programs Under the Emergency Employment Act of 1971. **E00992-003**
- Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential. **E00900-004**
- Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns. **E00900-008**
- The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates. **E00900-016**
- The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors. **E00900-029**
- The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact. **E00900-040**
- The Neighborhood Youth Corps: An Impact Evaluation. **E00900-018**
- The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings. **E00900-017**
- Theories of Search in a Labor Market. **E00900-022**
- Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971. **E00992-006**

PL 93-579

- Survey of Protective Measures to Safeguard Information in Personnel Files Against Unauthorized Disclosure and Use. **E05990-003**

PL 93-618

- Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration. **E00300-010**

PL 93-641

- A study of HSMHA Site Assessment Procedures. **E00506-082**
- A Study of the Systems Development Project. **E00506-066**
- An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning. **E00506-055**
- Analysis of Results of State and Areawide Comprehensive Health Planning Agency Assessments. **E00506-098**
- Capital Financing Study. **E00500-061**
- Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA. **E00506-061**
- Development of a Conceptual Approach to Strategic Health Planning. **E00500-049**
- Development, Application and Testing of a Need Assessment Manual for Areawide CHP Agencies. **E00506-072**
- Evaluation of the Health Facilities Building Process. **E00500-048**
- Evaluation of Hill-Burton Standards. **E00506-085**
- Evaluation/Decisionmaking in Health Planning and Administration. **E00506-080**
- Nursing Home Ombudsmen; five case studies. **E00506-071**
- Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration. **E00506-043**
- Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program. **E00586-005**
- Study of Health Facilities Construction Cost. **E00586-002**
- The Design of a Health Information System (HIS) Model for the Oregon Department of Human Resources: an exploratory study. **E00500-050**

PL 93-644

- Bank Street Supplemental Study. **E00512-015**
- Evaluation of Head Start Experience with 'Healthy, That's Me.' **E00512-018**
- Follow Through National Evaluation: Data Collection and Data Processing. **E00501-023**
- Project Head Start: achievements and problems. **E00591-002**
- Research-Based Evaluation of the Tucson Early Education Model. **E00512-016**
- Retrospective Study of Employee Mobility in Head Start Programs. **E00512-019**
- Serving Handicapped Children. **E00512-021**
- Summative Evaluation of the Child and Family Resource Program. **E00512-020**
- Supplementary Research on Responsive Model for Planned Variation Communities. **E00512-017**

PL 94-63

A study of HSMHA Site Assessment Procedures.	E00506-082
A Feasibility Study of Integrating Federally Funded Local Health Services.	E00500-038
A Study of the Systems Development Project.	E00506-066
Ambulatory Health Care Information.	E00507-016
Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP).	E00507-060
Audit of Minority Reporting System.	E00506-032
Better Use Should be Made of Physicians and Dentists in Health Centers.	E00587-004
Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA.	E00506-061
Clinic Self-Evaluation Manual for Determination and Improvement of Clinic Efficiency.	E00507-084
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Evaluation Manual for Comprehensive Health Service Projects.	E00507-024
Evaluation Manual for Comprehensive Health Service Projects.	E00507-013
Evaluation/Decisionmaking in Health Planning and Administration.	E00506-080
FY 1974 Evaluation Plan: Indian Health Services, Health Services and Mental Health Administration.	E00500-053
Implementation of a Policy of Self-Support by Neighborhood Health Centers.	E00587-001
Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel.	E00506-070
Nursing Home Ombudsmen; five case studies.	E00506-071
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.	E00506-043
Satellite Clinics--Delivery Techniques in Medical Clinics in Relation to Health Needs of Underserved Areas.	E00500-051
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002
The Role of Health Services Administrator.	E00507-086
Toward the Development of a Casebook on the Decision-Making Process in Neighborhood Health Centers.	E00507-081
Yeatman Baseline Survey.	E00507-011
Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.	E00507-012
PL 94-64	
Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981.	E00507-064
Forward Plan for BCHS Family Planning Program.	E00507-044

3 USC 301

A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717.	E03700-002
---	------------

7 USC 51-65

Economic Impact of Proposed Changes in Beef Grades.	E00204-004
---	------------

7 USC 71

Economic Impact of Proposed Changes in Beef Grades.	E00204-004
---	------------

7 USC 91-99

Economic Impact of Proposed Changes in Beef Grades.	E00204-004
---	------------

7 USC 135

Development of a Contingency Plan for Certification of Pesticide Applications.	E02300-009
Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.	E02390-004
Pesticides: Action Needed to Protect the Consumer from Defective Products.	E02390-008
Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered.	E00585-010

LAW INDEX**7 USC 473****7 USC 135 et seq.**

Development of a Contingency Plan for Certification of Pesticide Applications.
Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.

E02300-009**E02390-004****7 USC 136**

Pesticides: Action Needed to Protect the Consumer from Defective Products.

E02390-008**7 USC 145**

An Evaluation of the Witchweed Program.
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.
The Southwestern Screwworm Eradication Program: a review.

E00205-001**E00200-001****E00205-005****E00205-002****7 USC 147-148**

An Evaluation of the Witchweed Program.
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.
Citrus Blackfly Program Evaluation.
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.
The Southwestern Screwworm Eradication Program: a review.

E00205-001**E00205-004****E00205-003****E00200-001****E00205-005****E00205-002****7 USC 149**

An Evaluation of the Witchweed Program.
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.
Citrus Blackfly Program Evaluation.
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.
The Southwestern Screwworm Eradication Program: a review.

E00205-001**E00205-004****E00205-003****E00200-001****E00205-005****E00205-002****7 USC 150**

An Evaluation of the Witchweed Program.
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.
Citrus Blackfly Program Evaluation.
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.
The Southwestern Screwworm Eradication Program: a review.

E00205-001**E00205-004****E00205-003****E00200-001****E00205-005****E00205-002****7 USC 151-164a**

An Evaluation of the Witchweed Program.
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.
Citrus Blackfly Program Evaluation.
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.
The Southwestern Screwworm Eradication Program: a review.

E00205-001**E00205-004****E00205-003****E00200-001****E00205-005****E00205-002****7 USC 166**

An Evaluation of the Witchweed Program.
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.
Citrus Blackfly Program Evaluation.
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.
The Southwestern Screwworm Eradication Program: a review.

E00205-001**E00205-004****E00205-003****E00200-001****E00205-005****E00205-002****7 USC 281-282**

An Evaluation of the Witchweed Program.
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest.
Citrus Blackfly Program Evaluation.
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS.
The Southwestern Screwworm Eradication Program: a review.

E00205-001**E00205-004****E00205-003****E00205-005****E00205-002****7 USC 471-473**

Cash Grain Price Reporting in the Chicago Market.

E00204-005**7 USC 473**

Economic Impact of Proposed Changes in Beef Grades.

E00204-004

7 USC 501**LAW INDEX**

- 7 USC 501**
Cash Grain Price Reporting in the Chicago Market. E00204-005
- 7 USC 511**
Cash Grain Price Reporting in the Chicago Market. E00204-005
Economic Impact of Proposed Changes in Beef Grades. E00204-004
- 7 USC 1001**
Actions Needed to Terminate Federal Administration of State Rural Rehabilitation Funds Program. E00299-015
- 7 USC 1334**
Action Needed to Discourage the Removal of Trees That Shelter Cropland in the Great Plains. E00299-002
- 7 USC 1622**
Cash Grain Price Reporting in the Chicago Market. E00204-005
Economic Impact of Proposed Changes in Beef Grades. E00204-004
- 7 USC 1651-1656**
An Evaluation of the Witchweed Program. E00205-001
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. E00205-004
Citrus Blackfly Program Evaluation. E00205-003
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. E00205-005
The Southwestern Screwworm Eradication Program: a review. E00205-002
- 7 USC 1701 et seq.**
Food for Peace, an Evaluation of P.L. 480, Title II. E01017-001
- 7 USC 1921**
National Rural Development Efforts and the Impact of Federal Programs on a 12-County Rural Area in South Dakota. E00299-001
- 7 USC 2801-2813**
An Evaluation of the Witchweed Program. E00205-001
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. E00205-004
Citrus Blackfly Program Evaluation. E00205-003
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971. E00200-001
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. E00205-005
The Southwestern Screwworm Eradication Program: a review. E00205-002
- 10 USC 1073**
The Medical Care Requirements of the U.S. Coast Guard. E00507-045
- 12 USC 1701**
Observation on Housing Allowances and the Experimental Housing Allowance Program. E00693-019
- 12 USC 1703**
Project Rehabilitation. E00600-099
Title I Property Improvement and Mobile Home Loans. E00600-125
- 12 USC 1709**
Department of Housing and Urban Development's 'as is' Sales Program in Flint, Michigan. E00693-021
Differences in Costs of Purchasing Houses in Seven States through the Departments of Housing and Urban Development and Agriculture. E00693-022
Disposal of Acquired Properties in Detroit, Michigan: progress and problems. E00693-015
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016
Mortgagees' Origination Costs. E00600-075
New Construction--Single-Family Accelerated Subdivision Processing. E00600-098
Processes for Approving and Monitoring Nonsupervised Mortgages. E00693-011
Residential Subdivision Processing. E00600-122
Single Family Appraisal/Inspection. E00600-095

LAW INDEX

15 USC 1451 et seq.

- Summary Information on HUD-Held Multifamily Mortgages. E00693-020
- 12 USC 1713**
Mobile Home Courts--Application Processing. E00600-097
Mobile Home Parks Underwriting Procedures. E00600-076
Multi-Family Default Study. E00600-004
- 12 USC 1715**
Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs. E00693-005
- 12 USC 1715 (b)&(n)**
An Inquiry into the Relative Emphasis of Program Policies on Housing Needs in the Suburbs and in Older Declining Areas. E00600-018
The Effects of FHA Activity in Older, Urban, Declining Areas: a review of existing, related analysis. E00600-010
- 12 USC 1715 (v)**
Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois. E00693-008
HUD's Comparison of Costs under Sections 202 and 236 for Housing Projects for the Elderly. E00693-001
- 12 USC 1715 (x)**
Housing Assistance Research. E00600-138
- 12 USC 1735**
Equal Opportunity Activities. E00600-084
Equal Opportunity--Program Evaluation and Compliance. E00600-137
- 15 USC 171**
Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration. E00300-010
- 15 USC 290**
Report on Audit of the Office of Standard Reference Data, National Bureau of Standards. E00300-009
Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards. E00300-007
- 15 USC 636**
Administration of Small Business Loan Program Under the Occupational Safety and Health Act. E05590-004
- 15 USC 761-786**
Problems of Independent Refiners and Gasoline Retailers. E02990-003
- 15 USC 1151-1157**
Report on Audit of Matters of Particular Interest to the Department's Office of Budget and Program Analysis Concerning the National Technical Information Service. E00300-014
Report on Audit of Selected Areas of the National Technical Information Service. E00300-013
- 15 USC 1261 et seq.**
Lack of Authority Limits Consumer Protection: Problems in Identifying and Removing from the Market Products which Violate the Law. E00585-001
- 15 USC 1451 et seq.**
Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project Idea 35. E00505-006
Preliminary Field Resource Allocation Model. E00505-015

15 USC 1451-1461**LAW INDEX****15 USC 1451-1461**

Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report. **E00505-014**
Evaluation of the FDA Consumer Deputy Program. **E00505-009**
Manpower Management Program, Executive Director of Regional Operations (EDRO), Field Organization, FDA. **E00505-011**

15 USC 1501

Need for Better Identification and Analysis of Nontariff Barriers to Trade. **E00399-001**
Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration. **E00300-010**

15 USC 2051 et seq.

Implementation of the Poison Prevention Packaging Act of 1970. **E30400-101**

16 USC 528

Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land. **E00299-027**
More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand. **E00299-032**
More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand. **E00299-029**

16 USC 1509-1510

Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies. **E00299-033**

16 USC 1701-1706

Youth Conservation Corps-Pilot Program 1973. **E00216-004**

20 USC 236-241-e

Program for School Assistance in Federally Affected Areas (SAFA). **E00500-114**

20 USC 241

Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York. **E00500-118**

20 USC 241A-241m

Evaluation of Educational Achievement Test Measures as an Eligibility Criterion in the Better Schools Act Formula. **E00500-056**

20 USC 241 (b)

Follow-up Review of Migrant Children Education, Office of Education. **E00500-100**

20 USC 1101-1107a

Review of use of Financial Reports Required by the Office of Education under the Teacher Corps Program. **E00500-105**

20 USC 1411-1414

Improving Services to Handicapped Children. **E00500-069**

21 USC 321

Problems in Obtaining and Enforcing Compliance with Good Manufacturing Practices for Drugs. **E00585-004**

21 USC 321 et seq.

A Proficiency Test Assessment of Clinical Laboratory Capability in the United States. **E00500-052**
Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report. **E00505-014**
Evaluation of the FDA Consumer Deputy Program. **E00505-009**
Lack of Authority Limits Consumer Protection: Problems in Identifying and Removing from the Market Products which Violate the Law. **E00585-001**
Manpower Management Program, Executive Director of Regional Operations (EDRO), Field Organization, FDA. **E00505-011**

LAW INDEX**22 USC 283**

Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement. **E00299-003**
 Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters). **E00585-005**
 Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered. **E00585-010**
 Salmonella in Raw Meat and Poultry: an assessment of the problem. **E00585-008**

21 USC 331
 Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public. **E00585-014**

21 USC 351 et seq.
 Evaluation Study of the Antibiotic Certification Program; final report. **E00505-013**
 Extending Effective Date of the Food and Drug Administration's Diagnostic X-Ray Equipment Standard. **E00585-009**

21 USC 351-353
 Evaluation of Status of Standards for Diagnostic Materials. **E00504-018**
 Public Hazards from Unsatisfactory Medical Diagnostic Products. **E00585-013**

21 USC 351-360
 Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs. **E00585-007**

21 USC 355
 Cost Analysis of Blood Banking Alternatives. **E00505-010**
 Cost Analysis of Blood Banking Alternatives. **E00500-037**
 Evaluation of Poison Control Systems: Vol. I Project Results, Colclusions and Recommendations; Vol II, Evaluation Framework and Models for Performing On-Going Evaluation of the Poison Control System: Vol III, Tabulation of performance and Cost Indicators for Individual Centers and Comparison with Regional and National Averages. **E00505-010**
 Problems in Regulating Selected Vaccines. **E00585-003**

21 USC 361-363
 An Investigation of Consumers' Perceptions of Adverse Reactions to Cosmetic Products; final report. **E00505-012**

21 USC 451
 An Incident of Contamination of Livestock Feed and Certain Consumer Products. **E00585-002**

21 USC 451-469
 Salmonella in Raw Meat and Poultry: an assessment of the problem. **E00585-008**

21 USC 601
 An Incident of Contamination of Livestock Feed and Certain Consumer Products. **E00585-002**

21 USC 601-624
 Salmonella in Raw Meat and Poultry: an assessment of the problem. **E00585-008**

21 USC 1002-1004
 Evaluation of a Selected Sample of Office of Education Community-Based Drug Abuse Prevention Projects. **E00500-060**
 Evaluation of Selected Components of Office of Education 'Help Communities Help Themselves' Drug Abuse Prevention Program. **E00500-058**

21 USC 1031
 An Incident of Contamination of Livestock Feed and Certain Consumer Products. **E00585-002**

22 USC 283
 Effectiveness of Independent and Comprehensive Audits of the Inter-American Development Bank. **E01280-003**

	LAW INDEX
22 USC 284	
22 USC 284 More Effective United States Participation Needed in World Bank and International Development Association.	E01280-005
22 USC 2219 Assistance to Family Planning Programs in Southeast Asia.	E01097-007
22 USC 2395 Audit of Loan Program Financial Statements for Fiscal Years 1971, 1970, and 1969.	E01097-006
24 USC 321 et seq. Assessment of Quality Control and Corrective Action Activities in Region V. Income Transfers as a Public Good: An Application to AFDC.	E00500-202 E00500-076
29 USC 2 An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.	E00904-011
29 USC 801 Sheltered Workshops Under the Fair Labor Standards Act. An Analysis of the Educational and Economic Import of the Work Experience and Career Exploration Program. Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012 E00904-011 E00904-012
30 USC 902 et seq. Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969.	E00599-005
30 USC 902-951 Program Operational Planning.	E00504-015
31 USC 483 Services for Special Beneficiaries: Costs Not Being Recovered.	E01282-002
31 USC 686 Integrated Grant Administration Assessment Report.	E03700-001
33 USC 1151 Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff. Need to improve Administration of the Water Pollution Research, Development, and Demonstration Program.	E02390-003 E02390-002
33 USC 1151 et seq. Evaluation of the Report on Interceptor Sewers and Suburban Sprawl. Report of the Permit Program Review Group. Review of the Municipal Waste Water Treatment Works Program. The Final Report of the Permit Program Review Group.	E02300-005 E02300-001 E02300-004 E02300-002
33 USC 1251 Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow. Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do.	E02390-009 E02390-007
33 USC 1252 Cleaning Up the Great Lakes: United States and Canada are Making Progress in Controlling Pollution from Cities and Towns.	E02390-011
33 USC 1254-1258 Need to improve Administration of the Water Pollution Research, Development, and Demonstration Program.	E02390-002
33 USC 1281 Report of the Administrator's Special Construction Grants Task Force.	E02300-008

LAW INDEX**40 USC 601-606**

38 USC 17	Adequacy of Medical Staffing in Selected VA Hospitals. Condition of Veterans Memorial Hospital of Republic of the Philippines. Improvements Needed in Certain Hospitals' Laboratory Service Activities. Purchase of Foreign-Made Medical Supplies and Equipment by the Veterans Administration.	E06595-023 E06595-020 E06595-011 E06595-013
38 USC 240	Improvements Needed in Program to Contact and Assist Recently Discharged Veterans. Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans. Management Practices Used by the VA's Denver Regional Office in Assisting Veterans. Need for Improved Outreach Efforts for Veterans in Prison or on Parole.	E06595-012 E06596-003 E06596-004 E06596-005
38 USC 610	Domiciliary Program.	E06504-024
38 USC 1651	Delays Experienced by Veterans in Receiving Educational Assistance Payments, Veterans Administration. Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans. Information on Implementation of the Amendments of Public Law 92-540 Which Impacts on Veterans Taking Correspondence Training. Need for Improved Outreach Efforts for Veterans in Prison or on Parole.	E06596-013 E06596-003 E06596-010 E06596-005
38 USC 1801	Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.	E00693-002
38 USC 1810	Ways to Improve Management of Acquired Residential Properties.	E00694-004
38 USC 1811	Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans. Opportunities to Reduce Costs in Acquiring Properties Resulting from Defaults on Home Loans. Ways to Improve Management of Acquired Residential Properties.	E00693-002 E06596-001 E00694-004
38 USC 1818	Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans. Opportunities to Reduce Costs in Acquiring Properties Resulting from Defaults on Home Loans. Ways to Improve Management of Acquired Residential Properties. Ways to Improve Management of Acquired Residential Properties.	E00693-002 E06596-001 E00694-004 E06596-006
40 USC 471	How to Improve the Procurement and Supply of Drugs in the Federal Government (DOD, VA, HEW, OMB, and GSA).	E99999-001
40 USC 481	Evaluation of the Common Services Pilot Project in the Arcade Plaza Building, Seattle, Washington.	E03700-007
40 USC 490	Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites.	E03790-003
40 USC 601-606	Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites.	E03790-003

40 USC 757

Appraisal of Government-Wide and Internal ADP-Related Activities, General Services Administration. E03700-006

42 USC 201 et seq.

A Proficiency Test Assessment of Clinical Laboratory Capability in the United States. E00500-052
 A Study of Hospital Productivity Trends for Short-Term General Hospitals. E00500-039
 An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Development of a Price Deflator for Biomedical Research (report on Phase I). E00508-032
 Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report. E00508-044
 Evaluation of the FDA Consumer Deputy Program. E00505-014
 Evaluation of Hospital Productivity Trends. E00505-009
 Five and Ten Years After College Entry. E00500-044
 Follow-up Survey of National Institute of Dental Research Graduate Trainees and Postdoctoral Fellows. E00508-052
 Forward Plan for Health: FY 1977-81. E00508-030
 Manpower Management Program, Executive Director of Regional Operations (EDRO), Field Organization, FDA. E00500-008
 Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project Idea 35. E00505-011
 Preliminary Field Resource Allocation Model. E00505-006
 Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration. E00505-015
 Sixteen Best Practice Techniques in U.S. General and Teaching Hospitals. E00500-104
 The Role of Health Services Administration. E00500-066
 E00500-003

42 USC 219-229a

Development of a Price Deflator for Biomedical Research: a report on Phase II. E00508-050
 Evaluation of Linkage Between Research Publications and NIH Funding. E00508-049
 National Institutes of Health, Evaluation Plan, FY 1974. E00508-022

42 USC 229

A Management Plan for the Regional Office Technical Assistance Effort. E00500-005
 A Study of the Systems Development Project. E00500-004
 Training Program in Health Program Evaluation Techniques. E00500-040

42 USC 241

A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health. E00503-023
 An Approach to the Evaluation of the Effectiveness of Continuing Dental Education. E00506-093
 Automated Psychiatric Record Systems Today: an assessment. E00503-031
 Chlorpromazine: Innovation and Revolution in the Drug Treatment of Mental Disease States. E00503-021
 Contributions of Surgical Research to Health Care, 1945-1970: report of the Research Subcommittee of the Study on Surgical Services in the United States. E00508-025
 Design of an Evaluation System for the National Institute of Mental Health. E00503-024
 Doctoral Scientists and Engineers in the U.S.: 1973 Profile. E00508-040
 Evaluation of the National Pituitary Agency. E00508-051
 Evaluation of National Institute for Occupational Safety and Health Short Term Training Program. E00504-016
 Evaluation Planning at the National Institute of Mental Health: a case history. E00503-050
 Evaluation Report: General Clinical Research Centers Program, Division of Research Resources. E00508-038
 Impact of NIH Training Grant Programs on Full-Time Medical School Faculty. E00508-024
 Manpower Engaged on NIH Research Grants in 1970. E00508-048
 Manpower Resources and Needs in Speech Pathology/Audiology. E00508-045
 Proceedings of a Symposium--Dental Biomaterials Research Priorities. E00508-031
 Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse. E00503-053
 Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II. E00503-036
 Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health. E00500-102
 Strategies for Change in Community Mental Health: New Careers. E00503-039
 Summary Report 1972: Doctorate Recipients from United States Universities. E00508-035

LAW INDEX**42 USC 246**

- Summary Report 1973: Doctorate Recipients from U.S. Universities. E00508-042
The Market for Evaluation Services in the Department of Health, Education, and Welfare. E00503-063
The Public Evaluates the National Institute on Alcohol Abuse and Alcoholism Public Education Campaign. E00503-061
The Totally Implantable Artificial Heart. E00508-028
- 42 USC 241 and 289I-1**
Final Report of a Pilot Project to Develop a Methodology for Cost-Benefit Analysis of Research Animal Diagnostic and Investigative Laboratories. E00508-047
- 42 USC 241 et seq.**
Clinical Laboratory Performance Analysis Using Proficiency Test Statistics. E00500-036
Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public. E00585-014
Processed Fruits and Vegetables:-Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement. E00299-003
Public Hazards from Unsatisfactory Medical Diagnostic Products. E00585-013
Salmonella in Raw Meat and Poultry: an assessment of the problem. E00585-008
- 42 USC 241-242a and 289c**
The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health. E00500-068
- 42 USC 241-247**
Evaluation of Status of Standards for Diagnostic Materials. E00504-018
- 42 USC 241-271**
Organizational Evaluation and Information Needs Study. E00504-014
Program Operational Planning. E00504-015
- 42 USC 241-271 and 42 USC 229b**
VD Control--A National Strategy Under Review. Vol. 1., Information Materials and Campaigns; Vol. 2., Client Reaction to Treatment; and Vol. 3., The Private Physician. E00500-057
- 42 USC 241-280b-12**
Evaluation Strategy and Plan for Fiscal Year 1975 (Health Services Administration). E00507-072
The Role of Health Services Administrator. E00507-086
Work Planning and Monitoring System User Manual (Health Services Administration). E00507-074
- 42 USC 241-280G-12 and 42 USC 300d-1--300d-3**
Evaluation of Services Provided by Federal Health Program Service Facilities. E00507-034
- 42 USC 242**
Migrant Health Program--Final Report. E00507-028
- 42 USC 242 et seq.**
A study of HSMHA Site Assessment Procedures. E00506-082
A Study of the Systems Development Project. E00506-066
Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA. E00506-061
Health Statistics Today and Tomorrow. E00506-038
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration. E00506-043
- 42 USC 246**
A Feasibility Study of Integrating Federally Funded Local Health Services. E00500-038
Ambulatory Health Care Information. E00507-016
Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP). E00507-060
Better Use Should be Made of Physicians and Dentists in Health Centers. E00587-004
Clinic Self-Evaluation Manual for Determination and Improvement of Clinic Efficiency. E00507-084
Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module. E00506-075

Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States.	E00586-004
Development of a Conceptual Approach to Strategic Health Planning.	E00500-049
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Evaluation Manual for Comprehensive Health Service Projects.	E00507-013
Evaluation Manual for Comprehensive Health Service Projects.	E00507-024
FY 1974 Evaluation Plan: Indian Health Services, Health Services and Mental Health Administration.	E00500-053
Implementation of a Policy of Self-Support by Neighborhood Health Centers.	E00587-001
Rat Control Evaluation Project; Philadelphia Household Interview and Environmental Survey.	E00504-012
Report on Audit of the Family Health Center Program, Cascade Health Care, Inc., Portland, Oregon.	E00500-116
Satellite Clinics--Delivery Techniques in Medical Clinics in Relation to Health Needs of Underserved Areas.	E00500-051
Staffing and Efficiency of Drug Treatment Programs.	E00506-074
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002
The Design of a Health Information System (HIS) Model for the Oregon Department of Human Resources: an exploratory study.	E00500-050
The Urban Rat Control Program Is in Trouble.	E00584-002
Toward the Development of a Casebook on the Decision-Making Process in Neighborhood Health Centers.	E00507-081
Yeatman Baseline Survey.	E00507-011
Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.	E00507-012
42 USC 246 (d)&(e)	
Review of Selected Communicable Disease Control Efforts.	E00584-001
42 USC 247	
The Effect of Discontinuing Project Grants for Tuberculosis.	E00504-021
42 USC 248	
A Study to Develop a Conceptual Model of a National Health Service Corps Management and Control System.	E00507-015
42 USC 253	
Evaluation of the Distribution and Utilization of FHPS Manpower in the U.S. Coast Guard Medical Program.	E00507-039
The Medical Care Requirements of the U.S. Coast Guard.	E00507-045
42 USC 254	
PHS Hospital Manpower Analysis.	E00507-041
42 USC 257-261	
A Follow-up of NARA (Narcotic Addict Rehabilitation Act) Patients Who Drop Out Before Completion.	E00503-040
Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C.	E00599-003
42 USC 262	
Problems in Regulating Selected Vaccines.	E00585-003
42 USC 263	
Extending Effective Date of the Food and Drug Administration's Diagnostic X-Ray Equipment Standard.	E00585-009
42 USC 264	
Improved Federal and state programs Needed to Insure the Purity and Safety of Drinking Water in the United States.	E02390-006

42 USC 275

- An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job
E00508-032
- Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine.
E00508-053
- Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATS-1 Biomedical Satellite Experiment Evaluation.
E00508-043
- Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast.
E00508-034

42 USC 280

- Evaluation of User Utilization of the National Medical Audio Visual Center Media Distribution Program.
E00508-046

42 USC 280 (b-4)

- An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III.
E00508-032

42 USC 280 (b), 280(b-8)

- Quality and Consistency Evaluation of Medlars Indexing: final report.
E00508-037

42 USC 286 (a), 289(I-1)

- Follow-up Survey of National Cancer Institute Postdoctoral Fellows (and) Follow-up Survey of National Cancer Institute Graduate Research Trainees; final report.
E00508-029

42 USC 289

- Postdoctoral Training in the Biomedical Sciences: An Evaluation of NIGMS Postdoctoral Traineeship and Fellowship Programs.
E00508-027

42 USC 291

- Evaluation of the Health Facilities Building Process.
E00500-048
- Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program.
E00586-005
- Study of Health Facilities Construction Cost.
E00586-002

42 USC 291 and 42 USC 300K et seq.

- Capital Financing Study.
E00500-061

42 USC 291 et seq.

- Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.
E00586-003

42 USC 292 et seq.

- A Study of HSMHA Site Assessment Procedures.
E00506-082
- A Study of the Systems Development Project.
E00506-066
- Audit of Minority Reporting System.
E00506-032
- Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA.
E00506-061
- Dental Auxiliary Utilization: a National Evaluation.
E00506-044
- Evaluation/Decisionmaking in Health Planning and Administration.
E00506-080
- FY 74 Evaluation Plan, Bureau of Health Manpower Education.
E00506-060
- Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel.
E00506-070
- Nursing Home Ombudsmen; five case studies.
E00506-071
- Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration.
E00506-043

42 USC 294 et seq.

- An Evaluation of the Effectiveness of Loan Forgiveness as an Incentive for Health Practitioners to Locate in Medically Underserved Areas.
E00500-045

42 USC 294-295g**LAW INDEX**

- 42 USC 294-295g**
Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met. E00586-006
- 42 USC 295**
An Evaluation of Allied Health Advanced Traineeship Grants. E00506-091
- 42 USC 295h-1**
A Study of the Problems Encountered in the Provision of Clinical Training for Allied Health Professions. E00506-094
- 42 USC 295e-4**
Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems. E00506-086
- 42 USC 295f-4**
Evaluation of the Career Development Program in Global Community Health. E00506-056
Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education. E00506-099
- 42 USC 295h-1**
Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education. E00506-078
- 42 USC 295f-4**
National Area Health Education Center Programs External Assessment Design. E00506-069
- 42 USC 296**
Effectiveness and Efficiency of Nursing Education Programs. E00506-062
Investigation and Evaluation of Employment Opportunities for Newly-Licensed Nurses. E00506-095
- 42 USC 296 et seq.**
Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel. E00506-070
- 42 USC 296-298b**
A Study of HSMHA Site Assessment Procedures. E00506-082
A Study of the Systems Development Project. E00506-066
Audit of Minority Reporting System. E00506-032
Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA. E00506-061
Evaluation/Decisionmaking in Health Planning and Administration. E00506-080
Nursing Home Ombudsmen; five case studies. E00506-071
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration. E00506-043
- 42 USC 300**
Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981. E00507-064
Financial Assistance to NCFPS (National Center for Family Planning Services) to Develop Family Planning Project Evaluation Process; final report July 1973-June 1974. E00507-049
Forward Plan for BCHS Family Planning Program. E00507-044
Need for Subsidizing Family Planning Services; United States, each state and county, 1971. E00507-033
The Family Planning Evaluation Project. E00507-026
The Family Planning Evaluation Project, E00507-027
- 42 USC 300 et seq.**
A Study of HSMHA Site Assessment Procedures. E00506-082
A Study of the Systems Development Project. E00506-066
An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning. E00506-055
Analysis of Results of State and Areawide Comprehensive Health Planning Agency Assessments. E00506-098
Development, Application and Testing of a Need Assessment Manual for Areawide CHP Agencies. E00506-072

LAW INDEX

42 USC 1395

- Evaluation of Hill-Burton Standards. E00506-085
 Evaluation/Decisionmaking in Health Planning and Administration. E00506-080
 Nursing Home Ombudsmen; five case studies. E00506-071
 Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration. E00506-043
 Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program. E00586-005
 Study of Health Facilities Construction Cost. E00586-002
 Training of Site Assessors. E00506-083
- 42 USC 325**
 Using Solid Waste to Conserve Resources and to Create Energy. E02390-010
- 42 USC 381**
 Evaluation of Interactive Networks: Final Evaluation Report for Planning Phase of ATSF Satellite Health Experiments. E00508-023
- 42 USC 401-429**
 Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012
 History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971. E00510-051
 Self-Employment in the Covered Work Force. E00510-037
 Social Security Beneficiaries in Metropolitan Areas, 1973. E00510-032
 Social Security Programs in the United States, 1973. E00510-031
 Social Security Programs Throughout the World, 1973. E00510-065
 Social Security, Savings, and Capital Formation. E00510-007
 Social Welfare Expenditures, 1972-73. E00510-045
 Young Widows and Their Children: A Comparative Report. E00510-011
- 42 USC 427-428**
 Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012
 History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971. E00510-051
 Self-Employment in the Covered Work Force. E00510-037
 Social Security Beneficiaries in Metropolitan Areas, 1973. E00510-032
 Social Security Programs in the United States, 1973. E00510-031
 Social Security Programs Throughout the World, 1973. E00510-065
 Social Security, Savings, and Capital Formation. E00510-007
 Social Welfare Expenditures, 1972-73. E00510-045
 Young Widows and Their Children: A Comparative Report. E00510-011
- 42 USC 480**
 An Evaluation of Program Elements and Impact of Federal and Local Lead-Based Paint Poisoning Grant Programs. E00504-017
 Evaluation of Lead-Poisoning Prevention Programs. E00500-032
- 42 USC 602**
 Employment Services for Vietnam-Era Veterans Could Be Improved. E00992-024
 Problems in Filling Job Orders and Placing Job Applicants in Massachusetts. E00992-023
- 42 USC 602 et seq.**
 Assessment of Quality Control and Corrective Action Activities in Region V. E00500-202
 Evaluation of Planning and Coordination of Manpower Programs--Phase II. E00500-218
 Income Transfers as a Public Good: An Application to AFDC. E00500-076
 Quality of Day Care in Region X--Phase II. E00500-216
- 42 USC 703**
 Personnel Distribution in a City Health Department. E00507-048
 Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia. E00587-002
 The Family Planning Evaluation Project. E00507-026
- 42 USC 1395**
 Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012
 History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971. E00510-051

Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs Throughout the World, 1973.	E00510-065
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Social Welfare Expenditures, 1972-73.	E00510-045
42 USC 1395 et seq.	
An Assessment of the Intermediate Care Facility Program in Region V.	E00500-219
Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California.	E00500-115
Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
42 USC 1396 et seq.	
An Assessment of the Intermediate Care Facility Program in Region V.	E00500-219
An Evaluative Study of Early and Periodic Screening, Diagnosis and Treatment Program in Ohio and Wisconsin.	E00500-204
Better Controls Needed for Health Maintenance Organizations under Medicaid in California.	E00587-005
Development of Model Interagency Strategy for Early Periodic Screening, Diagnosis and Treatment (EPSDT) Implementation, Monitoring and Evaluation.	E00500-203
Increased Compliance Needed with Nursing Home Health and Sanitary Standards.	E00589-011
Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
Reference Manual on Title XIX (Social Security Act).	E00507-057
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
42 USC 1401-1435	
Evaluation of HUD-Mandated Model Lease and Grievance Procedures.	E00604-028
Housing for the Elderly--Factors Which Should be Evaluated Before Deciding on Low- or High-Rise Construction.	E00693-024
Management Performance in Public Housing.	E00604-026
Problems in the Homeownership Opportunities Program for low Income Families (HOPLIF).	E00693-018
Target Problem Projects Evaluation.	E00604-030
The Low Rent Housing Project on Quebec Road in Cincinnati.	E00692-999
Turnkey III--Homeownership.	E00600-106
Weaknesses in Administration of the Home-Ownership Program for Low-Income Families.	E00693-000
42 USC 1406	
Rehabilitation Loans and Grants.	E00600-060
Survey of Housing Rehabilitation Loan and Grant Program.	E00602-050
42 USC 1410 (c), 1421B	
Analyses of the Causes of the Growth in Public Housing Operating Subsidies.	E00600-002
Low Rent Occupancy.	E00600-105
42 USC 1441	
Slow Progress of Federal and State Housing Programs in Meeting Housing Needs in Rural Alaska.	E00694-007
42 USC 1452	
Follow-Up Review of the Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia.	E00692-027
42 USC 1857 et seq.	
Assessment of Federal and state Enforcement Efforts to Control Air Pollution from Stationary Sources.	E02390-005
42 USC 1861-1875	
Achievements, Cost, and Administration of the Ocean Sediment Coring Program.	E04690-001
Science Development, University Development, and the Federal Government.	E04600-001
Science Development: an evaluation study.	E04600-002

- 42 USC 1892**
Better Management Needed of Health Research Equipment by NIH Grantees. E00599-001
- 42 USC 1966**
Follow-Up Review of the Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia. E00692-027
- 42 USC 2000**
Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities. E00586-003
- 42 USC 2001-2004a**
A Comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209
A Study of Maternal and Infant Care of Indian and Native Alaskan Americans. E00507-087
Assessment of the Unmet Needs of the Indian Health Service. E00507-071
Community Health Medic Evaluation. E00507-025
Establishment of a Contract Medical Care. E00507-022
Evaluation of the Indian Health Service Unmet Needs Estimating Methodology. E00507-065
Final Report of the Evaluation of the Oklahoma City Area Indian Health Services Offices. E00507-088
FY 1974 Evaluation Plan: Indian Health Services, Health Services and Mental Health Administration. E00500-053
Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana. E00507-050
Indian Health Service Upward Mobility. E00507-058
Menominee Tribal Health Care Program Planning. E00507-068
National Indian Health Board: Consumer Evaluation of Indian Health Services. E00507-030
Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements. E00507-018
Preventive Health Programs (Part II): Tuberculosis Control Planning Models. E00507-019
Progress and Problems in Providing Health Services to Indians. E00587-003
The Expectations and Commitments of HPSC--OPSAM (Health Program Systems Center--Operations Systems Analysis Module): A documentary review. E00507-017
- 42 USC 2051**
Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area. E06086-001
- 42 USC 2296**
Decision-Making and Information Utilization in Three Public Health Service Regional Offices: final report. E00500-002
- 42 USC 2681**
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas. E00503-033
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina. E00503-032
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project. E00503-035
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif. E00503-043
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania. E00503-034
- 42 USC 2681 et seq.**
A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism. E00503-059
Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II. E00503-029
American Indian Alcoholism Evaluation--Monitoring--Design Project. E00503-044
Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report. E00503-052
Evaluation of Drug Education Programs. E00500-034

42 USC 2681 et seq.**LAW INDEX**

Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry.	E00503-046
Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program).	E00503-054
Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program.	E00503-047
Pilot Program for Monitoring and Evaluating the Public Inebriate Program.	E00503-045
Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II.	E00503-036
42 USC 2681-2688	
A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.	E00503-037
A Survey of the Effectiveness of Coordination of HEW Funded Programs Related to Mental Retardation.	E00500-047
Assessment of Child Mental Health Needs and Programs.	E00503-062
Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont.	E00503-049
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.	E00503-018
Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Feasibility Study and Measuring Efficiency of Mental Health Services.	E00503-060
Need for More Effective Management of Community Mental Health Centers Program.	E00599-004
North Carolina Community Mental Health Center Evaluation Project; final report of planning phase.	E00503-051
Resource Materials for Community Mental Health Program Evaluation.	E00503-055
Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00503-019
Trends in Sources of Funds for Community Mental Health Centers.	E00503-064
Two Studies on Catchmenting and Catchmented-Oriented Services.	E00503-042
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.	E00503-038
42 USC 2688 et seq.	
Federal Efforts to Combat Drug Abuse.	E00599-002
42 USC 2841	
Ways to Improve Effectiveness of Rural Business Loan Programs.	E00299-017
42 USC 3251	
Need for Federal Agencies to improve Solid Waste Management Practices.	E02390-001
Using Solid Waste to Conserve Resources and to Create Energy.	E02390-010
42 USC 3411-3426	
A Follow-up of NARA (Narcotic Addict Rehabilitation Act) Patients Who Drop Out Before Completion.	E00503-040
Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C.	E00599-003
42 USC 3601	
Equal Opportunity Activities.	E00600-084
42 USC 3605-3606	
Equal Opportunity Activities.	E00600-084
Equal Opportunity--Program Evaluation and Compliance.	E00600-137
42 USC 4011	
National Flood Insurance.	E00600-140
42 USC 4201	
States Need, But are not Getting, Full Information on Federal Financial Assistance Received.	E01280-002
42 USC 4321	
Need for Federal Agencies to improve Solid Waste Management Practices.	E02390-001

LAW INDEX

73 USC 654

- 42 USC 4401**
Federal Unemployment Benefits Due to Agnes Disaster in Pennsylvania. **E00992-026**
- 44 USC 2103-2105**
NARS A-1 General Systems Study Report: Control and Description of Records in the National Archives. **E03700-005**
- 46 USC 1101**
Government Support of the Shipbuilding Industrial Base. **E00399-005**
- 46 USC 1122**
Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration. **E00300-015**
- 47 USC 245**
An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning. **E00506-055**
Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students. **E00506-081**
- 73 USC 654**
Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois. **E00693-008**
HUD's Comparison of Costs under Sections 202 and 236 for Housing Projects for the Elderly. **E00693-001**

INDEX OF EVALUATED PROGRAMS AND AGENCIES

Administration on Aging, OHD.**Special Programs for the Aging**

Assessing the Status and Needs of Older Americans; survey utilization manual.	E00511-010
Costs of Alternative Care Settings for the Elderly.	E00509-035
Determinants of Long-Term Care Facility Utilization by the Elderly: an empirical analysis.	E00509-041
Development of Data Base for Administration on Aging.	E00511-006
Effectiveness of Project FIND--Helping the Elderly Obtain Food Assistance and Other Services.	E00591-001
Evaluating the State Social Indicators System in Aging.	E00511-002
Evaluation of the Older Americans Act Traineeship Grant Program.	E00511-004
Guide to Sources and Uses of Current Data on the Aging.	E00511-008
Interviewer Manual for the Older Americans Survey.	E00511-009
Isolation Among the Elderly: definition, measurement, and policy considerations.	E00509-046
Longitudinal Study Design for Evaluation of the National Nutrition Program for Elderly.	E00511-013
Manual of Award Procedures and Awards Monitoring Procedures.	E00511-011
Methodology for Evaluation and Validation of State Social Indicator System in Aging.	E00511-003
Organizational Issues in the Provision of Community Care to the Impaired Elderly.	E00509-045
Social Statistics for the Elderly Area Level System.	E00511-005
Survey Guide to Accompany the Older Americans Assessment Questionnaire.	E00511-007
Systematic Review of Area Agencies on Aging.	E00511-012
Technical Proposal of Implementing a Methodology for the Validation of the State Social Indicators for the Aged.	E00511-001
Utilization of Alternative Care Settings by the Elderly: normative estimates and current patterns.	E00509-036

Agency for International Development, Dept. of State.**Food for Peace**

Food for Peace, an Evaluation of P.L. 480, Title II.	E01017-001
--	------------

Housing Investment Guaranty

Interim Report on the Agency for International Development's Housing Investment Guaranty Program.	E01097-008
Low-Income Groups Not Helped by AID's Housing Investment Guaranty Program.	E01097-024

Management, ACTION

What Should U.S. Policy Be for Development Assistance to Ecuador?	E01097-004
---	------------

Population Planning and Health

Assistance to Family Planning Programs in Southeast Asia.	E01097-007
U.S. Grant Support of International Planned Parenthood Federation Needs Better Oversight.	E01097-015

Processing Cargo

Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.	E01097-010
---	------------

Program Management, AID

Audit of Loan Program Financial Statements for Fiscal Years 1971, 1970, and 1969.	E01097-006
Cost and Use of Personnel in the Agency for International Development.	E01097-021
Funding and Reporting of Agency for International Development Overhead Costs Should be More Realistic.	E01097-025

Need to Improve Language Training Programs and Assignments for U.S. Government Personnel Overseas.	E01097-003
Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.	E01097-010
Rescission of the Opium Poppy Growing Ban by Turkey.	E01097-022
Summary of U.S. Assistance to Jordan.	E01097-014
The Brazilian Economic Boom: How Should the United States Relate to It?	E01097-020
U.S. Foreign Aid to Education: Does Brazil Need It?	E01097-013
United States Economic Assistance to Turkey.	E01097-023
What Should U.S. Policy Be for Development Assistance to Ecuador?	E01097-004
Program Management, Bureau for Africa	
Regional Poultry Project in West Africa.	E01097-012
United States Programs in Ghana.	E01097-018
Program Management, Bureau for East Asia	
Assistance to Family Planning Programs in Southeast Asia.	E01097-007
Development Assistance to Korea.	E01097-011
Progress and Problems of U.S. Assistance for Land Reform in Vietnam.	E01097-009
Program Management, Bureau for Near East and South Asia	
Need for Improvements in the Management System to Assess Performance of AID-Financed Projects in India.	E01097-002
Rescission of the Opium Poppy Growing Ban by Turkey.	E01097-022
Summary of U.S. Assistance to Jordan.	E01097-014
U.S. Assistance to the Khmer Republic (Cambodia).	E01097-016
United States Economic Assistance to Turkey.	E01097-023
United States Interests and Activities in Nepal.	E01097-005
Program Management, Bureau of Latin America	
U.S. Foreign Aid to Education: Does Brazil Need It?	E01097-013
Refugee Relief (Bangladesh)	
Aspects of U.S. Assistance to Disaster-Stricken East Pakistanis.	E01097-001
Selection and Use of SS Manhattan as a Floating Silo During the Bangladesh Food Crisis.	E01097-017
Agricultural Marketing Service, USDA.	
Agreements and Orders	
Social Cost of Alternative Dairy Price Support Levels.	E00219-003
Agricultural Product Grading	
Economic Impact of Proposed Changes in Beef Grades.	E00204-004
Dairy Products	
Pricing Under Federal Milk Market Regulation: theory, objectives and impact.	E00204-002
Social Cost of Alternative Dairy Price Support Levels.	E00219-003
Inspection, Grading, Classing and Standardization	
Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	E00299-003
Market News	
Cash Grain Price Reporting in the Chicago Market.	E00204-005
Market Supervision	
Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	E00299-003
Marketing Agreements and Orders	
Price Impacts of Federal Market Order Programs.	E00220-002
Pricing Under Federal Milk Market Regulation: theory, objectives and impact.	E00204-002
Perishable Agricultural Commodities Act and Produce Agency Act	
Administration of Marketing Orders for Fresh Fruits and Vegetables.	E00299-004

PROGRAM AND AGENCY INDEX**Agricultural Stabilization and Conservation Service, USDA**

Plentiful Foods	
Evaluation of the USDA Food Supply Release, Food Marketing Alert.	E00204-003
Safety	
Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	E00299-003
Section 32 Acquisitions	
Evaluation of the FY 1974 USDA Special Beef Purchase.	E00200-004
Evaluation of the U.S. Department of Agriculture Food Supply Release, Food Marketing Alert.	E00204-001
Impact of Cashing Out the Food Distribution Program.	E00219-004
Section 416 Acquisitions	
Impact of Cashing Out the Food Distribution Program.	E00219-004
USDA Programs Directed to Cooperatives	
Impact of USDA Programs Upon Rural Cooperatives.	E00200-002

Agricultural Research Service, USDA.

Hatch Act Agricultural Research	
Evaluation of USDA Research Program on Housing.	E00213-001
Research on Housing	
Evaluation of USDA Research Program on Housing.	E00213-001

Agricultural Stabilization and Conservation Service, USDA.

Agreements and Orders	
Social Cost of Alternative Dairy Price Support Levels.	E00219-003
Agricultural Conservation	
An Evaluation of Subsidy Forms for Soil and Water Conservation.	E00219-005
Animal and Plant Disease and Pest Control	
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001
Commodities	
Uniform Grain Storage Agreement.	E00209-003
Commodity Programs	
A Neoclassical Analysis of the U.S. Farm Sector, 1948-1970.	E00209-005
Crop Supply Adjustment	
Farm Programs, Pesticide Use, and Social Costs.	E00209-004
Dairy and Beekeeper Indemnity	
Aspects of the Beekeeper Indemnity Payment Program.	E00299-006
Need for More Guidance in Establishing Recovery Periods for Damaged Bee Colonies to More Effectively Control Payments to Beekeepers.	E00299-007
Dairy Products	
Pricing Under Federal Milk Market Regulation: theory, objectives and impact.	E00204-002
Social Cost of Alternative Dairy Price Support Levels.	E00219-003
Dry Edible Beans Program	
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.	E00299-010
Extension Education	
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001
Farm Storage and Drying Equipment Loan	
Policies and Practices for Assessing Fees for Processing Price-Support and Storage Facility and Equipment Loans.	E00299-005

Feed Grains and Products	
Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy.	E00209-006
Capitalization of Farm Program Benefits into Land Values.	E00219-001
Distribution of Farm Program Payments by Income of Sole Proprietors.	E00219-002
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).	E00209-001
Examination into Feed Grains and Related Matters.	E00299-009
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.	E00299-010
Program Evaluation on 1973 Feedgrain Program Performance.	E00209-002
Flaxseed Program	
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.	E00299-010
Long-term Land Retirement	
Distribution of Farm Program Payments by Income of Sole Proprietors.	E00219-002
Marketing Agreements and Orders	
Pricing Under Federal Milk Market Regulation: theory, objectives and impact.	E00204-002
Peanut Program	
Capitalization of Farm Program Benefits into Land Values.	E00219-001
Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program.	E00299-008
Public Access to Farmlands	
An Evaluation of the ASCS Pilot Public Access Program.	E00209-008
Rice Program	
Capitalization of Farm Program Benefits into Land Values.	E00219-001
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.	E00299-010
Rye Program	
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.	E00299-010
Section 32 Acquisitions	
Impact of Cashing Out the Food Distribution Program.	E00219-004
Section 416 Acquisitions	
Impact of Cashing Out the Food Distribution Program.	E00219-004
Soybean Program	
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.	E00299-010
Sugar Act Program	
The Sugar Program: Large Costs and Small Benefits.	E00209-007
Tobacco Program	
Capitalization of Farm Program Benefits into Land Values.	E00219-001
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.	E00299-010
Upland Cotton	
Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy.	E00209-006
Capitalization of Farm Program Benefits into Land Values.	E00219-001
Distribution of Farm Program Payments by Income of Sole Proprietors.	E00219-002
Effectiveness of the 1971-73 Set-aside Programs (Feedgrains, Wheat and Upland Cotton).	E00209-001
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain.	E00299-010
USDA Programs Directed to Cooperatives	
Impact of USDA Programs Upon Rural Cooperatives.	E00200-002

PROGRAM AND AGENCY INDEX**Alcohol, Drug Abuse, and Mental Health Administration, PHS****Wheat and Products**

Appraising the Effects of the Agricultural Act of 1970 Upon Oklahoma's Economy. E00209-006
Capitalization of Farm Program Benefits into Land Values. E00219-001
Distribution of Farm Program Payments by Income of Sole Proprietors. E00219-002
Need for Strengthening Criteria in the Commodity Credit Corporation for Farm-Stored Grain. E00299-010

Wool

Distribution of Farm Program Payments by Income of Sole Proprietors. E00219-002

Alcohol, Drug Abuse, and Mental Health Administration, PHS.**ADAMHA Scientific Communication and Public Education**

A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health. E00503-023
Public Awareness of a NIAAA Advertising Campaign and Public Attitudes Towards Drinking and Alcohol Abuse. E00503-053
Rationale of the Joint NIMH-TCU Drug Abuse Reporting Program. E00503-016
The Public Evaluates the National Institute on Alcohol Abuse and Alcoholism Public Education Campaign. E00503-061

Community Mental Health Centers

A Survey of the Effectiveness of Coordination of HEW Funded Programs Related to Mental Retardation. E00500-047
A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health. E00503-023
Resource Materials for Community Mental Health Program Evaluation. E00503-055

Drug Abuse Community Service

Evaluation of Selected Components of Office of Education 'Help Communities Help Themselves' Drug Abuse Prevention Program. E00500-058
Evaluation of a Selected Sample of Office of Education Community-Based Drug Abuse Prevention Projects. E00500-060

Drug Abuse Education

Evaluation of Drug Education Programs. E00500-034

Drug Abuse Prevention

Evaluation of Selected Components of Office of Education 'Help Communities Help Themselves' Drug Abuse Prevention Program. E00500-058
Evaluation of a Selected Sample of Office of Education Community-Based Drug Abuse Prevention Projects. E00500-060
Evaluation of Drug Education Programs. E00500-034

Management, HSA

Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration. E00500-104

Program Management, ADAMHA

A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health. E00503-023
Computerized Summaries of Evaluation Contracts. E00503-017
Resource Materials for Community Mental Health Program Evaluation. E00503-055
Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration. E00500-104

Program Management, NIDA

A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health. E00503-023

Program Management, NIMH

A Survey of the Effectiveness of Coordination of HEW Funded Programs Related to Mental Retardation. E00500-047
A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health. E00503-023
Resource Materials for Community Mental Health Program Evaluation. E00503-055

All Legal Divisions in Department of Justice**PROGRAM AND AGENCY INDEX****All Legal Divisions in Department of Justice.****Program Management, Justice**

Justice Litigation Management; A Review of the Process: Policy, Authority, Direction. E00800-010

Animal and Plant Health Inspection Service, USDA.**Animal and Plant Disease and Pest Control**

Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971. E00200-001

Animal Disease and Pest Control

APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. E00205-004
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. E00205-005
The Southwestern Screwworm Eradication Program: a review. E00205-002

Extension Education

Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971. E00200-001

Food Safety

Salmonella in Raw Meat and Poultry: an assessment of the problem. E00585-008

Import Inspection

APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. E00205-004
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. E00205-005
The Southwestern Screwworm Eradication Program: a review. E00205-002

Meat and Poultry Inspection

Consumer Protection Would Be Increased by Improving the Administration of Intra-
state Meat Plant Inspection Programs. E00299-011
Salmonella in Raw Meat and Poultry: an assessment of the problem. E00585-008

Plant and Animal Disease and Pest Control

Crop Insurance and Information Services to Control Use of Pesticides. E02305-100

Plant Disease and Pest Control

An Evaluation of the Witchweed Program. E00205-001
APHIS Evaluation Task Force on McGregor Report: The Emigrant Pest. E00205-004
Citrus Blackfly Program Evaluation. E00205-003
The Emigrant Pest: a report to Dr. Francis J. Malhern, Admin., APHIS. E00205-005
The Southwestern Screwworm Eradication Program: a review. E00205-002

Army Memorial Affairs Agency (AMAA), VA.**National Cemeteries**

Establishment of National Cemetery System in the Veterans Administration. E06504-006

Assistance Payments Administration, SRS.**Public Assistance--Maintenance Assistance**

A Diffusion Analysis of AFDC Participation. E00509-024
A Gross Flows Model of AFDC Participation. E00509-021
Analysis of the Utilization of Social Services by AFDC Recipients. E00509-023
Considerations in Developing Welfare Cost Standards. E00509-019
Full Employment and Income Security: the role of wage subsidy programs as policy
weapons. E00509-018
Participation in the Aid to Families with Dependent Children. E00509-029
Problems in Administering Two Eligibility Aspects in the Aid to Families with De-
pendent Children Program. E00589-002
Regional Adjustments to Family Assistance Plan Payments. E00509-028
Service Utilization Patterns Derived from Cross Tabulations of the 1971 AFDC Sur-
vey: a guide to the perplexed. E00509-016
The Quality Control System in Welfare: Its Implementation in Pennsylvania. E00589-001
Variation in Payment Formulas and Work Incentives. E00509-017
Welfare and Illegitimacy: an economic model and some preliminary results. E00509-022
Welfare Policy and Family Splitting. E00509-020

PROGRAM AND AGENCY INDEX**Bureau for Latin America, AID****Assistant Secretary for Planning and Evaluation, HEW.****Departmental Management, HEW**Handbook on the Management of Evaluation Contracts.
Index and User's Guide for Evaluation Documentation Center.**E00500-073**
E00500-074**Departmental Management, Office of the Secretary, HEW**

An Employee Evaluation of the HEW Departmental Library.

E00500-077**Public Assistance--Maintenance Assistance (State Aid)**

Income Transfers as a Public Good: An Application to AFDC.

E00500-076**Atomic Energy Commission.****Plowshare Program**Progress and Problems in Developing Nuclear and Other Experimental Techniques
for Recovering Natural Gas in the Rocky Mountain Area.**E06086-001****Program Management, AEC**Improvements Needed in the Program for the Protection of Special Nuclear Material.
Opportunities for Improving AEC's Administration of Agreements with States Regulat-
ing Users of Radioactive Materials.**E06290-004****E06290-002**Opportunity for AEC to Improve Its Procedures for Making Sure that Containers
Used for Transporting Radioactive Materials Are Safe.**E06290-003**Problems of the Atomic Energy Commission Associated with the Regulation of Users
of Radioactive Materials for Industrial, Commercial, Medical, and Related Purpos-
es.**E06290-001**Protecting Special Nuclear Material in Transit: Improvements Made and Existing
Problems.**E06290-005****ACTION.****Management, ACTION**

What Should U.S. Policy Be for Development Assistance to Ecuador?

E01097-004**Program Management, AID**

What Should U.S. Policy Be for Development Assistance to Ecuador?

E01097-004**Board of Parole, Dept. of Justice.****Program Management, Justice**

An Evaluation of the U.S. Board of Parole Reorganization.

E00800-008**Bureau for Africa, AID.****Food Production and Nutrition**A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an
assessment of range management.**E01017-005****Program Management, Bureau for Africa**A Review of USAID Projects in Four Major Livestock Producing States in Nigeria: an
assessment of range management.**E01017-005****Bureau for Latin America, AID.****Cadastral**Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua,
Panama.**E01017-004****Food Production and Nutrition**Intercountry Evaluation of Agriculture Sector Programs: Colombia, Costa Rica, Guate-
mala.**E01017-003**Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua,
Panama.**E01017-004**

Bureau for Latin America, AID**PROGRAM AND AGENCY INDEX**

Program Management, Bureau for Latin America	
Intercountry Evaluation of Agriculture Sector Programs: Colombia, Costa Rica, Guatemala.	E01017-003
Intercountry Evaluation of Cadastral Programs: Costa Rica, Guatemala, Nicaragua, Panama.	E01017-004
Intercountry Evaluation of Education Credit Institutions in Latin America.	E01017-002
Bureau for Program and Policy Coordination, AID.	
Food Production and Nutrition	
Evaluating Fertilizer Subsidies in Developing Countries.	E01017-008
Bureau of Alcohol, Tobacco and Firearms, Dept. of the Treasury.	
Program Management, Bureau of Alcohol, Tobacco and Firearms	
Evaluation of the Implementation of Alcohol, Tobacco and Firearms as an Independent Bureau.	E01200-003
Bureau of Biologics, FDA.	
Biologics, FDA	
Cost Analysis of Blood Banking Alternatives.	E00500-037
Bureau of Community Health Services, HSA.	
Child Health Services	
Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002
Community Health Centers	
Ambulatory Health Care Information.	E00507-016
Better Use Should be Made of Physicians and Dentists in Health Centers.	E00587-004
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Evaluation Manual for Comprehensive Health Service Projects.	E00507-013
Implementation of a Policy of Self-Support by Neighborhood Health Centers.	E00587-001
Satellite Clinics--Delivery Techniques in Medical Clinics in Relation to Health Needs of Underserved Areas.	E00500-051
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002
Toward the Development of a Casebook on the Decision-Making Process in Neighborhood Health Centers.	E00507-081
Yeatman Baseline Survey.	E00507-011
Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.	E00507-012
Family Health Centers	
Ambulatory Health Care Information.	E00507-016
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Report on Audit of the Family Health Center Program, Cascade Health Care, Inc., Portland, Oregon.	E00500-116
Yeatman Baseline Survey.	E00507-011
Yeatman Health Examination Survey: final report on the development and demonstration of baseline clinical information on a target population of a neighborhood comprehensive health services center.	E00507-012
Family Planning	
Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981.	E00507-064

PROGRAM AND AGENCY INDEX

Bureau of Community Health Services, HSA

Financial Assistance to NCFPS (National Center for Family Planning Services) to Develop Family Planning Project Evaluation Process; final report July 1973-June 1974.	E00507-049
Forward Plan for BCHS Family Planning Program.	E00507-044
Improving Federally Assisted Family Planning Programs.	E00587-006
Need for Subsidizing Family Planning Services; United States, each state and county, 1971.	E00507-033
The Family Planning Evaluation Project.	E00507-026
The Family Planning Evaluation Project.	E00507-027
Family Planning Services--Training	
Forward Plan for BCHS Family Planning Program.	E00507-044
Health Maintenance Organization Service	
Analysis of Barriers to Successful HMO Development.	E00507-063
Better Controls Needed for Health Maintenance Organizations under Medicaid in California.	E00587-005
Development of Alternatives for an Evaluation of the Costs and Effectiveness of Inclusion of Mental, Dental, and Pharmaceutical Benefits in Health Maintenance or Related Organizations.	E00507-059
Development of Baseline Data for Evaluation of Selected HMO's.	E00507-037
Evaluation of Intermediate Care Beds in a Health Maintenance Organization.	E00507-085
Evaluation of the Accomplishments of Selected HMOS Funded Projects.	E00507-054
Health Maintenance Organization Cost Study.	E00507-043
HMO Evaluation Schema--Phase I.	E00507-038
Impact of HMO-Type Organizations in Two Communities: The Delivery and Utilization of Health Services.	E00507-023
Management and Manpower Study of Health Maintenance Organization (HMO) Activities in HSA.	E00507-061
Marketing Health Maintenance Organizations to Low Income Persons: a case study approach.	E00507-032
Potential Impact of the Health Maintenance Organization Act of 1973 on the Development of Rural HMO's.	E00507-067
Health Manpower and Training	
Personnel Distribution in a City Health Department.	E00507-048
Health Services Development--Project Grants	
Ambulatory Health Care Information.	E00507-016
Development of a Uniform Accounting System for Comprehensive Health Centers Which Are Funded by 314(e) Grants.	E00507-020
Evaluation Manual for Comprehensive Health Service Projects.	E00507-013
Evaluation Manual for Comprehensive Health Service Projects.	E00507-024
Maternal and Child Health Services	
Clinic Self-Evaluation Manual for Determination and Improvement of Clinic Efficiency.	E00507-084
Evaluation Studies on Maternal and Infant Care Projects.	E00507-036
Personnel Distribution in a City Health Department.	E00507-048
Project III Description of Existing Maternal and Child Health Services and Resources in the United States.	E00507-082
Study of Programs for Unwed Teenage Mothers.	E00507-031
The Family Planning Evaluation Project.	E00507-027
The Family Planning Evaluation Project.	E00507-026
The Provision of Health Care Services to Adolescents in the 1970's.	E00507-083
Medicaid	
Better Controls Needed for Health Maintenance Organizations under Medicaid in California.	E00587-005
Improving Federally Assisted Family Planning Programs.	E00587-006
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002
Medicare	
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046

Bureau of Community Health Services, HSA**PROGRAM AND AGENCY INDEX**

Migrant Health		
	Migrant Health Program--Final Report.	E00507-028
National Health Service Corps (13.258).		
	A Study to Develop a Conceptual Model of a National Health Service Corps Management and Control System.	E00507-015
Neighborhood Health Centers		
	Clinic Self-Evaluation Manual for Determination and Improvement of Clinic Efficiency.	E00507-084
Program Management, Bureau of Community Health Services		
	Capacity Building Options for the BCHS Family Planning Program, FY 1977-1981.	E00507-064
	Financial Assistance to NCFPS (National Center for Family Planning Services) to Develop Family Planning Project Evaluation Process; final report July 1973-June 1974.	E00507-049
Program Management, HSA		
	Evaluation Manual for Comprehensive Health Service Projects.	E00507-013
Bureau of Disability Insurance, SSA.		
Social Security--Disability Insurance		
	Cost-Benefit Analysis of SSDI (Social Security Disability Income) Trust Fund Program.	E00510-148
	Disabled-Workers Beneficiaries Under OASDHI: Regional and State Patterns.	E00510-050
	Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63.	E00510-024
	Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
	Recovery and Benefit Termination: Program Experience of Disabled-Worker Beneficiaries.	E00510-056
	The Disabled Widow.	E00510-016
	The Effect of Social Security on Personal Saving.	E00510-150
	The Retirement Test: An International Study.	E00510-025
	Unemployment and Disability: An Econometric Analysis with Time Series Data.	E00510-036
	Workmen's Compensation Under Security.	E00510-022
Social Security--Retirement Insurance		
	Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Special Benefits for Disabled Coal Miners		
	Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Supplemental Security Income		
	Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Bureau of Domestic Commerce, DIBA.		
International Trade Study Report		
	International Trade Study.	E00300-024
Bureau of Engraving and Printing, Dept. of the Treasury.		
Program Management, Bureau of Engraving and Printing		
	Alternative Location Site Study for Bureau of Engraving and Printing.	E01200-007
Bureau of Health Insurance, SSA.		
Health Insurance		
	Private Health Insurance in 1973: A Review of Coverage, Enrollment, and Financial Experience.	E00510-014
Medical--Hospital Insurance		
	Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970.	E00510-019
	Medical Care Costs and Prices: background book.	E00510-001
	The European Experience in Social Health Insurance.	E00510-054
	Utilization and Reimbursements Under Medicare for 1967 and 1968 Decedents.	E00510-057

Medicare--Hospital Insurance

Age Differences in Health Care Spending, F.Y. 1974.	E00510-157
Age Differences in Health Care Spending, Fiscal Year 1974.	E00510-009
Age Differences in Medical Care Spending, Fiscal Year 1973.	E00510-165
An Analysis of Medicare Administrative Costs.	E00510-023
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
Cash Benefits for Short-Term Sickness, 1948-72.	E00510-044
Collection and Analysis of Prescreening Surgical Consultation Benefit Program.	E00510-137
Comparison of Cost and Benefit Incidence on Government Medical Care Programs, FY 1966 and 1969.	E00510-038
Disabling Effects of Chronic Conditions of Male Family Heads.	E00510-145
Drug Programs Under British National Health Services.	E00510-146
Evaluation of Surgical Consultation Insurance Benefit.	E00510-135
Financial Position of Private Community Hospitals, 1961-71.	E00510-047
Group Health Insurance Coverage of Full-Time Employees, 1972.	E00510-030
Health in the Years Before Retirement.	E00510-061
Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970.	E00510-019
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance Act, Annual Statistical Supplement, 1971.	E00510-051
Hospital Costs and the Medicare Program.	E00510-052
Hospital Organization: Effectiveness of Patient Care.	E00510-143
Hospital Reimbursement and Regulation Under Medicare.	E00510-136
Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969.	E00510-048
Medical Care Costs and Prices: background book.	E00510-001
Medical Care Expenditures in Seven Countries.	E00510-058
Medical Care Price Changes Under the Economic Stabilization Program.	E00510-055
Medicare Impact on Hospital Utilization and Financing.	E00510-130
Medicare Patients: Regional Differences in Lengths of Hospital Stays, 1969-71.	E00510-006
National Health Expenditures, 1929-1974.	E00510-015
National Health Expenditures, 1929-73.	E00510-043
National Health Insurance Proposals as of July 1974.	E00510-033
National Health Systems in Eight Countries.	E00510-034
Selected State Data Medicare Fiscal Years 1968-72.	E00510-002
Self-Employment in the Covered Work Force.	E00510-037
Size and Shape of the Medical Care Dollar, 1975.	E00510-003
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Some Aspects of Medicare Experience with Group-Practice Prepayment Plans.	E00510-013
The Economics of Non-Hospital Clinical Laboratories.	E00510-132
The European Experience in Social Health Insurance.	E00510-054
The True Cost of Filling a Prescription in Kansas in 1971.	E00510-147
Utilization and Reimbursements Under Medicare for 1967 and 1968 Decedents.	E00510-057
Value for Money in Health Services.	E00510-026
Variations in Prescription Markups-on-Retail.	E00510-139
Young Widows and Their Children: A Comparative Report.	E00510-011

Medicare--Supplementary Medical Insurance

Age Differences in Health Care Spending, F.Y. 1974.	E00510-157
Age Differences in Health Care Spending, Fiscal Year 1974.	E00510-009
Age Differences in Medical Care Spending, Fiscal Year 1973.	E00510-165
An Analysis of Medicare Administrative Costs.	E00510-023
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
Cash Benefits for Short-Term Sickness, 1948-72.	E00510-044
Comparison of Cost and Benefit Incidence on Government Medical Care Programs, FY 1966 and 1969.	E00510-038
Disabling Effects of Chronic Conditions of Male Family Heads.	E00510-145
Drug Programs Under British National Health Services.	E00510-146
Health in the Years Before Retirement.	E00510-061
Health Insurance Plan Incentive Reimbursement Experiment: Utilization and Costs of Medical Care, 1969 and 1970.	E00510-019

History of the Provisions of Old Age, Survivors, Disability, and Health Insurance Act, Annual Statistical Supplement, 1971.	E00510-051
Hospital Costs and the Medicare Program.	E00510-052
Impact of Cost-Sharing on Use of Ambulatory Services Under Medicare, 1969.	E00510-048
Medical Care Costs and Prices: background book.	E00510-001
Medical Care Expenditures in Seven Countries.	E00510-058
Medicare Patients: Regional Differences in Lengths of Hospital Stays, 1969-71.	E00510-006
National Health Expenditures, 1929-1974.	E00510-015
National Health Expenditures, 1929-73.	E00510-043
National Health Insurance Proposals as of July 1974.	E00510-033
National Health Systems in Eight Countries.	E00510-034
Selected State Data Medicare Fiscal Years 1968-72.	E00510-002
Self-Employment in the Covered Work Force.	E00510-037
Size and Shape of the Medical Care Dollar, 1975.	E00510-003
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Some Aspects of Medicare Experience with Group-Practice Prepayment Plans.	E00510-013
The European Experience in Social Health Insurance.	E00510-054
The True Cost of Filling a Prescription in Kansas in 1971.	E00510-147
Utilization and Reimbursements Under Medicare for 1967 and 1968 Decedents.	E00510-057
Variations in Prescription Markups-on-Retail.	E00510-139
Young Widows and Their Children: A Comparative Report.	E00510-011
Program Management, SSA	
Social Security Programs Throughout the World, 1973.	E00510-065
Social Security--Disability Insurance	
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance Act, Annual Statistical Supplement, 1971.	E00510-051
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
Young Widows and Their Children: A Comparative Report.	E00510-011
Social Security--Retirement Insurance	
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance Act, Annual Statistical Supplement, 1971.	E00510-051
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
Young Widows and Their Children: A Comparative Report.	E00510-011
Social Security--Special Benefits for Persons Aged 72 and Over	
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance Act, Annual Statistical Supplement, 1971.	E00510-051
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Young Widows and Their Children: A Comparative Report.	E00510-011
Social Security--Survivors Insurance	
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012

PROGRAM AND AGENCY INDEX

Bureau of Health Manpower, HRA.

History of the Provisions of Old Age, Survivors, Disability, and Health Insurance Act, Annual Statistical Supplement, 1971.	E00510-051
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Young Widows and Their Children: A Comparative Report.	E00510-011
Supplemental Security Income	
Conversions to Supplemental Security Income from State Assistance: A Program Records Study.	E00510-008
Bureau of Health Manpower, HRA.	
Allied Health Professions Advanced Traineeships	
An Evaluation of Allied Health Advanced Traineeship Grants.	E00506-091
Allied Health Professions Special Project Grants	
A Study of the Problems Encountered in the Provision of Clinical Training for Allied Health Professions.	E00506-094
Evaluation of Training and Program Directions; Evaluation of Data Collection and Dissemination for Health Professions Education.	E00506-078
Continuing Dental Education	
An Approach to the Evaluation of the Effectiveness of Continuing Dental Education.	E00506-093
Grants for Computer Technology Health Care Demonstration Program	
Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems.	E00506-086
Health Manpower and Training	
An Evaluation of the Effectiveness of Loan Forgiveness as an Incentive for Health Practitioners to Locate in Medically Underserved Areas.	E00500-045
Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education.	E00586-001
Progress and Problems in Training and Use of Assistants to Primary Care Physicians.	E00586-007
Health Manpower Education Initiative Awards	
Evaluation of the Career Development Program in Global Community Health.	E00506-056
Evaluation of EEO Policies and Practices in Health Professions Educational Institutions.	E00506-107
National Area Health Education Center Programs External Assessment Design.	E00506-069
Progress and Problems in Training and Use of Assistants to Primary Care Physicians.	E00586-007
Health Professions Capitation Grants	
Evaluation of EEO Policies and Practices in Health Professions Educational Institutions.	E00506-107
Health Professions Scholarships	
Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met.	E00586-006
Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education.	E00586-001
Health Professions Special Projects	
Study to Document the Effects of Altering Traditional Dental School Recruitment and Admissions Policies for Minority Students.	E00506-081
Health Professions Student Loans	
An Evaluation of the Effectiveness of Loan Forgiveness as an Incentive for Health Practitioners to Locate in Medically Underserved Areas.	E00500-045
Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education.	E00586-001

Bureau of Health Manpower, HRA**PROGRAM AND AGENCY INDEX**

Health Professions Teaching Facilities Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education.	E00586-001
Health Services Research and Development--Fellowships and Training Evaluation of the Primary Objectives of the Long-term Professional Nurse Traineeship and Special Nurse Fellowship Programs.	E00506-052
Nurse Training Improvement--Special Projects Development of a System to Monitor Quality Nursing Care. Effectiveness and Efficiency of Nursing Education Programs. Evaluation of the Application of Instructional Technology in Selected Basic Nursing Education Programs. Investigation and Evaluation of Employment Opportunities for Newly-Licensed Nurses.	E00506-084 E00506-062 E00506-064 E00506-095
Nursing Schools Construction Loan Guarantees Effectiveness and Efficiency of Nursing Education Programs.	E00506-062
Professions Capitation Grants Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education.	E00586-001
Professions--Student Loans Congressional Objectives of Federal Loans and Scholarships to Health Professions Students Not Being Met.	E00586-006
Program Management, BHM Dental Auxiliary Utilization: a National Evaluation. FY 74 Evaluation Plan, Bureau of Health Manpower Education. Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel. Nursing Home Ombudsmen; five case studies.	E00506-044 E00506-060 E00506-070 E00506-071
Program Management, Health Nursing Home Ombudsmen; five case studies.	E00506-071
Program Management, HRA Assessment of the Applicability of Simulation Models for Analysis of Health Manpower Supply-Demand Problems. FY 74 Evaluation Plan, Bureau of Health Manpower Education.	E00506-086 E00506-060
Program Management, HSA Nursing Home Evaluation Contract; Report for an Evaluation of Eleven Training Programs for Nursing Home Personnel.	E00506-070
Project Grants for Graduate Training in Public Health Evaluation of Public Health Training Grants.	E00506-096
Special Health Careers Opportunity Grants Evaluation of State Mechanisms and Linkages Between Health Manpower Planning and the Allocation of Resources for Health Manpower Education.	E00506-099
Bureau of Health Planning and Resources Development, HRA.	
Civil Rights Compliance Activity Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.	E00586-003
Comprehensive Health Planning--Areawide Grants Analysis of Results of State and Areawide Comprehensive Health Planning Agency Assessments. Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States.	E00506-098 E00586-004

Conversion Implementation and Initial Operation of the Agency Program Reporting System.	E00506-068
Development, Application and Testing of a Need Assessment Manual for Areawide CHP Agencies.	E00506-072
Development of a Conceptual Approach to Strategic Health Planning.	E00500-049
Development of a Training Package and Delivery of Training for Site Assessment of Comprehensive Health Planning Agencies 314b and the Development of Assessment Components, Procedures, and Reporting Formats for 314 Agency Site Assessments.	E00506-057
Evaluation of the Effectiveness of CHPS Agency Assessments, Performance Standards, and Technical Assistance Activities in Strengthening CHP (Comprehensive Health Planning) Agency Performance.	E00506-101
On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.	E00506-087
On-Site Technical Assistance and Evaluation Services to Selected Area-Wide Comprehensive Health Planning Agencies.	E00506-090
The Design of a Health Information System (HIS) Model for the Oregon Department of Human Resources: an exploratory study.	E00500-050
The Geographic Distribution of Nurses and Public Policy.	E00506-088
Training of Site Assessors.	E00506-083
Comprehensive Health Planning--Grants to States	
An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning.	E00506-055
Analysis of Results of State and Areawide Comprehensive Health Planning Agency Assessments.	E00506-098
Case Studies of Statewide Comprehensive Health Planning; a final report.	E00506-033
Comprehensive Health Planning as Carried Out by State and Area Wide Agencies in Three States.	E00586-004
Conversion Implementation and Initial Operation of the Agency Program Reporting System.	E00506-068
Development of a Conceptual Approach to Strategic Health Planning.	E00500-049
Development of a Training Package and Delivery of Training for Site Assessment of Comprehensive Health Planning Agencies 314b and the Development of Assessment Components, Procedures, and Reporting Formats for 314 Agency Site Assessments.	E00506-057
Evaluation of Graduate Training Programs in Health Planning.	E00506-047
Evaluation of the Effectiveness of CHPS Agency Assessments, Performance Standards, and Technical Assistance Activities in Strengthening CHP (Comprehensive Health Planning) Agency Performance.	E00506-101
The Design of a Health Information System (HIS) Model for the Oregon Department of Human Resources: an exploratory study.	E00500-050
Training of Site Assessors.	E00506-083
Facilities Construction Grants	
Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.	E00586-003
Health Facilities Construction--Grants	
A Study for Evaluation of Methods for Determining Outpatient Facility Needs; final report.	E00506-097
An Evaluation of State Hill-Burton Reporting Systems for Free Care and Community Services to the Poor, Provided for by Federally Assisted Health Facilities.	E00506-105
Evaluation of Hill-Burton Bed Need Formula: Short-Term General Hospital Beds.	E00506-035
Evaluation of Hill-Burton Standards.	E00506-085
Hill Burton Consultation Activities.	E00506-059
Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program.	E00586-005
Study of Health Facilities Construction Cost.	E00586-002
Health Facilities Construction--Grants to States	
Evaluation of the Health Facilities Building Process.	E00500-048
Health Facilities Construction--Loans and Loan Guarantees	
An Evaluation of State Hill-Burton Reporting Systems for Free Care and Community Services to the Poor, Provided for by Federally Assisted Health Facilities.	E00506-105

Evaluation of the State Hill-Burton Agencies and the Future of Health Care Services Planning at the State Level.	E00506-067
Health Facilities Construction--Technical Assistance	
Evaluation of the Health Facilities Building Process.	E00500-048
Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.	E00586-003
Review of Certain Aspects of the Hill-Burton Health Facilities Construction and Modernization Program.	E00586-005
Study of Health Facilities Construction Cost.	E00586-002
Program Management, Health	
Development of a Conceptual Approach to Strategic Health Planning.	E00500-049
The Design of a Health Information System (HIS) Model for the Oregon Department of Human Resources: an exploratory study.	E00500-050
Project Grants for Graduate Training in Public Health	
An Evaluation of Factors Related to the Development of Effective Graduate Programs in Comprehensive Health Planning.	E00506-055
Evaluation of Graduate Training Programs in Health Planning.	E00506-047
Regional Medical Program Grants	
Evaluation of Facilitation of the Regional Medical Program.	E00506-058
Impact of Regional Medical Programs on Coronary Care in New England.	E00506-034
Information Support System Approach to Problem-Oriented Program Assessment of Local Regional Medical Programs.	E00506-046
Project Termination in RMPS (Regional Medical Program Service).	E00506-041
Bureau of Indian Affairs, Dept. of the Interior.	
Indian Lands--Real Estate Appraisal	
Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved.	E00793-001
Indian Lands--Real Estate Services	
Land Management Activities on Three Indian Reservations in South Dakota Can Be Improved.	E00793-001
Bureau of Intergovernmental Personnel Programs, CSC.	
Intergovernmental Personnel Grants	
Audit of Intergovernmental Personnel Act Grant to Cook County, Illinois.	E05914-005
Audit of Intergovernmental Personnel Act Grant to the City of Chicago.	E05914-006
Audit of Intergovernmental Personnel Act Grant to the City of Des Moines.	E05914-001
Audit of Intergovernmental Personnel Act Grant to the Kansas League of Municipalities.	E05914-007
Audit of Intergovernmental Personnel Act Grant to the State of Colorado.	E05914-003
Audit of Intergovernmental Personnel Act Grant to the State of Oklahoma.	E05914-004
Audit of Intergovernmental Personnel Act Grant to the University of Maine.	E05914-002
Audit of Intergovernmental Personnel Act Grants to the State of North Carolina.	E05914-008
Bureau of International Commerce, DIBA.	
Business Assistance, Services, and Information	
Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration.	E00300-010
Export Trade Promotion	
Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration.	E00300-010
Report on Audit of Selected U.S. Trade Centers and Trade and Industrial Exhibits, Bureau of International Commerce, Domestic and International Business Administration.	E00300-015

PROGRAM AND AGENCY INDEX**Bureau of Medical Services, HSA**

International Commercial Information	
Report on Audit of Industry/Market Targeting System, Bureau of International Commerce, Domestic and International Business Administration.	E00300-010
Program Development Activities	
Report on Audit of Selected Program Development Activities, Bureau of International Commerce, Domestic and International Business Administration.	E00300-011
Bureau of Labor Statistics, DOL.	
Economic Research Data	
Impact of the Recent Inflation on Labor Skill Differentials.	E00900-033
Indexing for Inflation: Some Formal Models and an Informal Policy Proposal.	E00900-030
The Challenge of Dual and Radical Theories of the Labor Market to Orthodox Theory.	E00900-034
Bureau of Laboratories, CDC.	
Program Management, Bureau of Laboratories, (CDC)	
Clinical Laboratory Performance Analysis Using Proficiency Test Statistics.	E00500-036
Bureau of Land Management, Dept. of the Interior.	
Grazing Privileges	
Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada.	E00714-001
Nevada Land Management	
Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada.	E00714-001
Nevada Range Management	
Effects of Livestock Grazing on Wildlife, Watershed, Recreation and Other Resource Values in Nevada.	E00714-001
Bureau of Medical Services, HSA.	
Coast Guard Medical Services	
Evaluation of the Distribution and Utilization of FHPS Manpower in the U.S. Coast Guard Medical Program.	E00507-039
The Medical Care Requirements of the U.S. Coast Guard.	E00507-045
Division of Hospitals & Clinics	
PHS Hospital Manpower Analysis.	E00507-041
Emergency Medical Services	
A Study of the Nature and Function of the Emergency Medical Service Advisory Councils in Relation to Selected Community Variables.	E00507-021
Assessment of Emergency Medical Systems.	E00507-052
Evaluation of Emergency Medical Service Systems Impact.	E00507-073
Evaluation of Legal Barriers to Emergency Medical Systems Implementation.	E00507-053
Guidelines for Patient Record-Keeping Systems for Emergency Medical Service.	E00507-051
Maternal and Child Health Services	
The Atlanta Adolescent Pregnancy Program: a study in attendance and attitude.	E00507-035
Program Management, Bureau of Medical Services	
Evaluation of Services Provided by Federal Health Program Service Facilities. Management Information System, Phase I.	E00507-034 E00507-029
Program Management, HSA	
Management Information System, Phase I.	E00507-029

Bureau of Mines, Dept. of the Interior

PROGRAM AND AGENCY INDEX

Bureau of Mines, Dept. of the Interior.

Coal Mine Health and Safety

Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969. E00791-002

Coal Mine Health and Safety Standards Enforcement

Improvements Needed in the Assessment and Collection of Penalties--Federal Coal Mine Health and Safety Act of 1969. E00791-001

Program Management, NIOSH

Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969. E00599-005

Bureau of Outdoor Recreation, Dept. of the Interior.

Land and Water Conservation Fund

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants. E00795-001

Open Space and Urban Beautification Grants, HUD

Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants. E00795-001

Bureau of Prisons, Dept. of Justice.

Corrections

Proposals and Actions for Improving the Federal Parole System. E00888-002
Rehabilitating Inmates of Federal Prisons: Special Programs Help, But Not Enough. E00888-001
Use of Statutory Authority for Providing Inmate Release Funds. E00888-003

Bureau of Quality Assurance, HSA.

Professional Standards Review Organizations

Credentialling of Health Care Professionals: its costs and some alternatives for HSA. E00507-066
Feasibility of Collecting Baseline Data for Professional Standards Review Organizations; a report to the Office of Professional Standards Review. E00507-062
Professional Standards and Review Organization (PSRO) Cost Study. E00507-046

Provider Standards and Certification

Credentialling of Health Care Professionals: its costs and some alternatives for HSA. E00507-066

Bureau of Reclamation, Dept. of the Interior.

Federal Reclamation

The Federal Reclamation Program; its impacts, issues and future considerations. E00716-001

Federal Reclamation Program

Water & Land Resource Accomplishments, 1973. E00716-002

Program Management, Bureau of Reclamation

Congress Should Reevaluate the 160-Acre Limitation on Land Eligible to Receive Water from Federal Water Resources Projects. E00796-001
More Effective Procedures Are Needed for Establishing Payment Terms and Development Periods for Irrigation Projects. E00796-002
The Federal Reclamation Program; its impacts, issues and future considerations. E00716-001

Bureau of Retirement and Survivors Insurance, SSA.

Medical--Hospital Insurance

Self-Employment in the Covered Work Force. E00510-037
Social Welfare Expenditures, Fiscal Year 1974. E00510-017

Medicare--Hospital Insurance

Blacks and Social Security Benefits: Trends, 1960-1973. E00510-012

History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Young Widows and Their Children: A Comparative Report.	E00510-011
Medicare--Supplementary Medical Insurance	
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Young Widows and Their Children: A Comparative Report.	E00510-011
Social Security--Disability Insurance	
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63.	E00510-024
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
International Social Security Agreements.	E00510-066
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Survey of Newly Entitled Beneficiaries.	E00510-063
The Effect of Social Security on Personal Saving.	E00510-150
The Retirement Test: An International Study.	E00510-025
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
Young Widows and Their Children: A Comparative Report.	E00510-011
Social Security--Retirement Insurance	
Assets on the Threshold of Retirement.	E00510-053
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
Coverage and Vesting of Full-Time Employees Under Private Retirement Plans.	E00510-046
Covered Employment and the Age Men Claim Retirement Benefits.	E00510-041
Demographic and Economic Characteristics of Aged--1968 Survey.	E00510-064
Early Labor-Force Withdrawal of Men: Participants and Non-Participants Aged 58-63.	E00510-024
Earnings of Disabled-Worker Beneficiaries.	E00510-029
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Family Structure in Pre-Retirement Years.	E00510-049
Finnish and Swedish Pension Re-Insurance Programs.	E00510-144
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
International Social Security Agreements.	E00510-066
Major Social Security Issues: Japan, 1972.	E00510-059
Pension Reform Legislation of 1974.	E00510-018
Relatedness Patterns of Puerto Rican Centenarians.	E00510-151
Retirement History Study: Introduction.	E00510-062
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Survey of Newly Entitled Beneficiaries.	E00510-063
The Effect of Social Security on Personal Saving.	E00510-150
The Retirement Test: An International Study.	E00510-025
Women Born in Early 1900's: Employment, Earnings and Benefit Levels.	E00510-042
Young Widows and Their Children: A Comparative Report.	E00510-011

Social Security--Special Benefits for Persons Aged 72 and Over	
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Young Widows and Their Children: A Comparative Report.	E00510-011
Social Security--Survivors Insurance	
Blacks and Social Security Benefits: Trends, 1960-1973.	E00510-012
History of the Provisions of Old Age, Survivors, Disability, and Health Insurance, Annual Statistical Supplement, 1971.	E00510-051
Self-Employment in the Covered Work Force.	E00510-037
Social Security Beneficiaries in Metropolitan Areas, 1973.	E00510-032
Social Security Programs in the United States, 1973.	E00510-031
Social Security, Savings, and Capital Formation.	E00510-007
Social Welfare Expenditures, Fiscal Year 1974.	E00510-017
Young Widows and Their Children: A Comparative Report.	E00510-011
Special Benefits for Disabled Coal Miners	
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Supplemental Security Income	
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Bureau of Retirement, Insurance and Occupational Health, CSC.	
Federal Employee Health Benefits	
Health Benefits Program Audit Report: Aetna of Williamsport, Pennsylvania.	E05909-001
Health Benefits Program Audit Report: Blue Cross-Blue Shield of Des Moines, Iowa.	E05909-002
Bureau of Supplemental Security Income for Aged, Blind, and Disabled, SSA.	
Medical--Hospital Insurance	
An Analysis of Medicare Administrative Costs.	E00510-023
Medicare--Hospital Insurance	
An Analysis of Medicare Administrative Costs.	E00510-023
Medicare--Supplementary Medical Insurance	
An Analysis of Medicare Administrative Costs.	E00510-023
Program Management, SSA	
Social Security Programs Throughout the World, 1973.	E00510-065
Social Security--Disability Insurance	
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Social Security--Retirement Insurance	
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Special Benefits for Disabled Coal Miners	
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Supplemental Security Income	
Early Experience Under the Supplemental Security Income Program.	E00510-027
Eligibility Requirements for Income Maintenance and Related Benefits.	E00510-142
Selected Characteristics of the State Supplementation Programs Under the Supplemental Security Income Program (SSI).	E00510-035
State Supplementation Under Federal SSI (Supplemental Security Income) Program.	E00510-020
Supplemental Security Income for the Aged: A Comparison of Five Countries.	E00510-040
The Supplemental Security Income Program for the Aged, Blind, and Disabled.	E00510-039

Center for Disease Control, PHS.

CDC Investigations, Surveillance and Technical Assistance

- An Analysis With Improvement Postulation for the Neighborhood Environmental Evaluation and Decision System (NEEDS Validation Study). E00504-010
- An Evaluation of Program Elements and Impact of Federal and Local Lead-Based Paint Poisoning Grant Programs. E00504-017
- Development of Data Base for Assessing Impact of Newly Established Venereal Disease Control Program. E00504-019
- Effectiveness Criteria in Venereal Disease Control Programs. E00504-020
- Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. E00504-003
- Evaluation of Status of Standards for Diagnostic Materials. E00504-018
- Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. E00504-007
- Evaluation of VISA Medical Activities. E00504-004
- Evaluation Report on the Effectiveness of the Clinical Laboratories Improvement Program. E00504-005
- Extent and Characteristics of Venereal Disease Education in School Systems. E00504-006
- Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973. E00504-008
- Review of Selected Communicable Disease Control Efforts. E00584-001
- The Effect of Discontinuing Project Grants for Tuberculosis. E00504-021
- VD Control--A National Strategy Under Review. Vol. 1., Information Materials and Campaigns; Vol. 2., Client Reaction to Treatment; and Vol. 3., The Private Physician. E00500-057

Childhood Lead-Based Paint Poisoning Control

- An Evaluation of Program Elements and Impact of Federal and Local Lead-Based Paint Poisoning Grant Programs. E00504-017
- Evaluation of Lead-Poisoning Prevention Programs. E00500-032

Disease Control Project Grants

- Review of Selected Communicable Disease Control Efforts. E00584-001
- The Effect of Discontinuing Project Grants for Tuberculosis. E00504-021

Medical Devices and Diagnostic Products

- Evaluation of Status of Standards for Diagnostic Materials. E00504-018

Occupational Safety and Health Research Grants

- Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973. E00504-008

Occupational Safety and Health Training Grants

- Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973. E00504-008

Preventive Health Services

- The Effect of Discontinuing Project Grants for Tuberculosis. E00504-021

Program Management, Bureau of Health Education

- Effects of Anti-Smoking Campaigns Aimed at Less Hazardous Smoking. E00504-003

Program Management, Bureau of Laboratories

- Evaluation Report on the Effectiveness of the Clinical Laboratories Improvement Program. E00504-005

Program Management, Bureau of Tropical Diseases

- Evaluation of the Human Disease Impact of the 1971 Venezuelan Equine Encephalitis Epidemic and the Role of the CDC Technical Assistance in the Control Strategy. E00504-007

Program Management, CDC	
An Analysis With Improvement Postulation for the Neighborhood Environmental Evaluation and Decision System (NEEDS Validation Study).	E00504-010
Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973.	E00504-008
Review of Selected Communicable Disease Control Efforts.	E00584-001
Program Management, Health	
VD Control--A National Strategy Under Review. Vol. 1., Information Materials and Campaigns; Vol. 2., Client Reaction to Treatment; and Vol. 3., The Private Physician.	E00500-057
Program Management, NIOSH	
Proceedings from the Strategic Planning and Evaluation Workshop for NIOSH, Held at the American Management Association's Center for Planning and Implementation, Hamilton, New York, on February 26-March 1, 1973.	E00504-008
Urban Rat Control	
Rat Control Evaluation Project; Philadelphia Household Interview and Environmental Survey.	E00504-012
The Urban Rat Control Program Is in Trouble.	E00584-002
Civil Service Commission.	
Federal Agency Personnel Management	
Agencies' Personnel Management Can be Enhanced by Improving the Evaluation Process.	E05990-008
Assistance Programs for Displaced Federal Civilian Employees.	E05990-009
Method Used to Accomplish Goal of 40,000 Reduction in Civilian Workforce During Fiscal 1975.	E05990-012
Survey of the Civil Service Commission's Executive Assignment System.	E05990-018
Survey of the Civil Service Commission's Federal Automated Career System.	E05990-016
Survey of the Federal Personnel Management Information System.	E05990-014
Federal Agency Personnel Security	
Personnel Security Investigations; Inconsistent Standards and Procedures.	E05990-011
Survey of Protective Measures to Safeguard Information in Personnel Files Against Unauthorized Disclosure and Use.	E05990-003
Federal Civil Service Employment	
Improvements Needed in Examining and Selecting Applicants for Federal Employment.	E05990-005
Information on the Federal Program for Training Physician's Assistants.	E05990-001
Federal Employee Compensation	
Assessment of Process of Translating Private Enterprise Salary Data into Federal White Collar Salary Adjustments.	E05990-004
Improving the Pay Determination Process for Federal Blue-Collar Employees.	E05990-019
Federal Employee Incentive Awards	
Improving the Effectiveness of the Government Employee's Incentive Awards Program.	E05990-002
Federal Employee Retirement	
Federal Pre-Retirement Counseling Programs.	E05990-010
Federal Retirement System--Key Issues, Financial Data, and Benefit Provisions.	E05990-006
Federal Employee Training	
Effectiveness of the Requirement for Supervisory Training.	E05990-020
Federal Employee Upward Mobility	
Upward Mobility Programs in the Federal Government Should Be Made More Effective.	E05990-017

PROGRAM AND AGENCY INDEX**Community Planning and Development, HUD**

Federal Employment for the Handicapped Employment Opportunities in the Federal Government for the Physically Handicapped.	E05990-007
Intergovernmental Personnel Grants Progress and Problems in Implementing the Intergovernmental Personnel Act of 1970.	E05990-015
President's Executive Interchange Program Review of the Management of the President's Executive Interchange Program.	E05990-013
Coast Guard, DOT.	
Commercial Vessel Safety Development of CVS Management Information System.	E01101-005
Sport and Commercial Diving Accidents and Fatalities: U.S. Citizens, 1973.	E01101-006
Marine Environmental Protection Supertanker Environmental Study.	E01101-007
Vessel Traffic Systems Issue Study.	E01101-008
Vessel Traffic Systems--What is Needed to Prevent and Reduce Vessel Accidents?	E01191-001
Personnel Support Assistance in Improving the Electronic Technician Training at the U.S. Coast Guard Training Center.	E01101-015
Port Safety and Security Great Lakes Pilotage Review.	E01101-012
Search and Rescue Aviation Issue Study.	E01101-010
Cutter Issue Study.	E01101-009
Commodity Credit Corporation, USDA.	
Peanut Program Need Intensifies to Amend Legislation to Reduce Government Losses on the Peanut Price-Support Program.	E00299-008
Commodity Exchange Authority, USDA.	
Futures Markets Supervision Improvements Needed in Regulation of Commodity Futures Trading.	E00299-012
Community Planning and Development, HUD.	
Procedures and Practices for Providing Technical Assistance to Cities Participating in the Model Cities Program.	E00692-001
A-95 Local Government Participation in the A-95 Project Notification and Review System.	E00602-037
Code Enforcement Code Enforcement Program.	E00600-065
Review of Certain Aspects of the Federally Assisted Code Enforcement Program in Denver, Colorado.	E00692-020
Community Development Block Determinants of State and Local Expenditures: an annotated bibliography.	E00600-011
Need for More Timely and Accurate Data on the Operations of Certain Community Development Programs.	E00692-002
Need to Improve Management of Urban Renewal Projects.	E00692-018
Opportunities for Improving the Model Cities Program in San Antonio, Texas.	E00692-004
Prices Paid for Property Acquired in Urban Renewal Programs in the District of Columbia.	E00692-007
Review of the Model Cities Program in Dayton, Ohio.	E00692-025

Review of the Model Cities Program in East St. Louis, Illinois.	E00692-021
Review of the Model Cities Program in Lansing, Michigan.	E00692-024
Review of Certain Allegations Concerning the Model Cities Planning Council in Dayton, Ohio.	E00692-028
Review of Certain Aspects of the Federally Assisted Code Enforcement Program in Denver, Colorado.	E00692-020
Review of Certain Aspects of the Grand Rapids, Michigan, Model Cities Program.	E00692-014
Review of Certain Aspects of the Model Cities Program in Newark, New Jersey.	E00692-008
Review of Certain Aspects of the Urban Renewal Program in Lynn, Massachusetts.	E00692-012
Selected Aspects of the Neighborhood Development Program.	E00692-006
Community Development Block Grants	
Need for Improvement in Providing Funds to Local Public Agencies.	E00693-006
Review of the San Jose, California, Model Cities Program.	E00692-019
Selected Aspects of the Boston, Massachusetts Model Cities Program.	E00692-003
Community Development Block Grants--Discretionary Grants	
Procedures for Distribution of Community Development Block Grant Funds.	E00600-120
Community Development Block Grants--Entitlement Grants	
Procedures for Distribution of Community Development Block Grant Funds.	E00600-120
Comprehensive Planning Assistance	
Comprehensive Planning Programs.	E00600-064
Coordinating Assistance in the Community: use of selected mechanisms for planning and coordinating Federal programs.	E00602-032
Coordination of Federal Planning Assistance Programs.	E00600-007
Opportunities for Improvement in Coordination of Housing Planning Efforts between State and Interstate Regional Planning Agencies and in the Department of Housing and Urban Development Guidelines on Updating Housing Studies.	E00692-017
Survey of Administration of the Planned Variations Program.	E00692-013
Facility Loans	
Uncompleted Resources Development Projects.	E00600-118
Governmental Management--Technical Assistance and Information Services	
Need for HUD to Prepare an Environmental Impact Statement for Town of Leesburg, Virginia, Potomac River Water Supply Project.	E00692-022
Housing Rehabilitation Grants	
Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia.	E00692-011
Follow-Up Review of the Administration of the Rehabilitation Loan and Grant Programs in Atlanta, Georgia.	E00692-027
Rehabilitation Loans and Grants.	E00600-060
Survey of Housing Rehabilitation Loan and Grant Program.	E00602-050
Land	
Areawide Planning Certificates.	E00600-115
Model Cities	
Annual Arrangements.	E00600-068
Annual Arrangements: improving coordination of community development programs.	E00602-038
Evaluation of Operating Agencies--Model Cities.	E00600-089
Model Cities Program.	E00600-061
Opportunities for Improving the Model Cities Program in San Antonio, Texas.	E00692-004
Opportunities to Improve the Model Cities Program in Kansas City and St. Louis, Missouri and New Orleans, Louisiana.	E00692-005
Planned Variation Cities.	E00600-116
Planned Variations: first year survey.	E00602-036
Procedures and Practices for Providing Technical Assistance to Cities Participating in the Model Cities Program.	E00692-001
Review of the Model Cities Program in Dayton, Ohio.	E00692-025
Review of the Model Cities Program in East St. Louis, Illinois.	E00692-021
Review of the Model Cities Program in Lansing, Michigan.	E00692-024
Review of the San Jose, California, Model Cities Program.	E00692-019
Review of Certain Allegations Concerning the Model Cities Planning Council in Dayton, Ohio.	E00692-028
Review of Certain Aspects of the Grand Rapids, Michigan, Model Cities Program.	

PROGRAM AND AGENCY INDEX

Community Planning and Development, HUD

Review of Certain Aspects of the Model Cities Program in Newark, New Jersey.	E00692-008
Review of Model Cities Program--Housing and Economic Development Operating Agencies.	E00600-113
Selected Aspects of the Boston, Massachusetts Model Cities Program.	E00692-003
The Changing Demand for Local Capacity and Analysis of Functional Programming and Policy Planning.	E00602-035
The Federal Grant Process: an analysis of the use of supplemental and categorical funds in the model cities program.	E00602-034
The Model Cities Program: a comparative analysis of city response patterns and their relation to future urban policy.	E00602-040
The Model Cities Program: a comparative analysis of participating cities, process, product, performance and prediction.	E00602-041
The Model Cities Program: ten model cities--a comparative analysis of second round planning years.	E00602-042
Use of Consultants.	E00600-111
Use of the CDA Sign-off on Model Cities for Planning and Coordinating HEW Programs.	E00602-033
Neighborhood Development	
Area Office of Application Procedures.	E00600-074
Neighborhood Development Program.	E00600-062
Selected Aspects of the Neighborhood Development Program.	E00692-006
Neighborhood Facilities	
Area Office of Application Procedures.	E00600-074
Neighborhood Facilities Program.	E00600-070
Uncompleted Resources Development Projects.	E00600-118
Use of Facilities.	E00600-119
New Communities	
Limited Review--Riverton and Gananda.	E00600-142
Limited Review--Soul City.	E00600-141
New Communities Evaluation.	E00600-001
New Communities Project Review.	E00600-108
New Community Guarantee Program.	E00600-073
Policy Analyses for Evaluation of New Communities Program.	E00600-009
Open Space Land	
An Assessment of the Open Space Certification Procedure.	E00602-044
Linear Parkway: bay area rapid transit urban beautification demonstration project.	E00602-052
Open Space and Recreation Opportunity in America's Inner Cities.	E00602-053
Open Space Program.	E00600-069
Uncompleted Resources Development Projects.	E00600-118
Program Management	
Local Government Approaches to Capacity Building.	E00602-039
Public Facilities	
Public Facility Loans.	E00600-088
Rehabilitation Loans	
Section 312 Loans (Limited Review).	E00600-094
Relocation	
Relocation Payments.	E00600-067
Relocation.	E00600-087
Renewal	
Need to Improve Management of Urban Renewal Projects.	E00692-018
Review of Certain Aspects of the Urban Renewal Program in Lynn, Massachusetts.	E00692-012
Sewer Facilities	
Use of Facilities.	E00600-119
Urban Renewal	
Annual Administrative Budget.	E00600-091
Area Office of Application Procedures.	E00600-074
Close-out of Urban Renewal Projects.	E00600-063

Closeout of Urban Renewal Projects. E00600-093
 Evaluating Local Urban Renewal Projects. E00602-054
 Evaluation of On-Going Urban Renewal Activities. E00600-114
 Evaluation of Urban Renewal Activities. E00600-092
 Final Land Acquisition--Urban Renewal. E00600-090
 Guidelines for Urban Renewal Land Disposition. E00602-046
 Need for Improvement in Providing Funds to Local Public Agencies. E00693-006
 Need to Improve Management of Urban Renewal Projects. E00692-018
 Non-cash Local Grants-in-aid. E00600-071
 One for One OE/CPD Replacement Housing: the impact of section 105(h) on the
 NDP/Urban Renewal Program. E00602-051
 Prices Paid for Property Acquired in Urban Renewal Programs in the District of
 Columbia. E00692-007
 Review of Certain Aspects of the Urban Renewal Program in Lynn, Massachusetts. E00692-012
 The Future of Local Urban Development. E00602-055
 The Impact of Exogenous Factors Upon Urban Renewal Land Disposition. E00602-045
 Urban Renewal Land Acquisition (Proclaimer). E00600-066
 Urban Renewal Land Acquisition Activities. E00600-117
 Urban Renewal Land Disposition Activities. E00600-072
 Urban Renewal Land Disposition Activities. E00600-112
 Urban Renewal Land Disposition Studies: Boston, Mass. E00602-048
 Urban Renewal Land Disposition Studies: Durham, NC. E00602-056
 Urban Renewal Land Disposition Studies: Kingston, N.Y. E00602-043
 Urban Renewal Land Disposition Studies: Louisville, Ky. E00602-058
 Urban Renewal Land Disposition Studies: St. Paul, Minn. E00602-057
 Urban Renewal Land Disposition Studies: Supplementary Cities. E00602-049
 Urban Renewal Land Disposition Studies: Tulsa, Oklahoma. E00602-059
 Urban Renewal Land Disposition Study: literature search. E00602-047
 Urban Renewal Land Disposition. E00600-085

Water and Sewer Facilities

Area Office of Application Procedures. E00600-074
 Areawide Planning Certificates. E00600-115
 Uncompleted Resources Development Projects. E00600-118

Community Services Administration, SRS.**Child Welfare Services**

Deinstitutionalization--Dependent and Neglected Children. E00509-032

Developmental Disabilities--Basic Support

A Study of Feasibility of Securing Adequate Information for the Federal Evaluation of
 the Developmental Disabilities Formula Grant Program. E00509-007

Public Assistance--Social Services

Fee Schedules and Social Services. E00509-034

Making Social Service Choices at the State Level: practice and problems in four
 states. E00509-039

Social Services: Do They Help Welfare Recipients Achieve Self-Support or Reduced
 Dependency? E00589-004

Special Revenue Sharing in Support of Public Social Services. E00509-049

Work Incentives

An Econometric Model of the Low-Skill Labor Market. E00509-025

Categorical Wage-Bill Subsidies: theory and application. E00509-027

Employment and Wage Effects of the WIN Tax Credit. E00509-026

Work Incentives--Child-Care Employment Related Supportive Services

Some Problems in Contracting for Federally Assisted Child-Care Services. E00589-003

Conservation Division, Geological Survey.**Onshore Lease Management**

Conservation Division Task Force Report on the Onshore Lease Management Pro-
 gram Study for the U.S. Geological Survey. E00712-008

Onshore Lease Management Program Study for the U.S. Geological Survey. E00712-007

Review of Royalty Accounting System for Onshore Oil and Gas Leases. E00712-013

PROGRAM AND AGENCY INDEX

Department of the Interior

Onshore Lease Management Program Royalty Accounting System Study of Solid Mineral Leasing Activities.	E00712-014
Consumer Product Safety Commission.	
Consumer Product Safety Commission. Implementation of the Poison Prevention Packaging Act of 1970.	E30400-101
Program Management, FDA Evaluation of the FDA Consumer Deputy Program. Implementation of the Poison Prevention Packaging Act of 1970.	E00505-009 E30400-101
Cooperative State Research Service, USDA.	
Hatch Act Agricultural Research Evaluation of USDA Research Program on Housing.	E00213-001
Research on Housing Evaluation of USDA Research Program on Housing.	E00213-001
USDA Programs Directed to Cooperatives Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
Council on Environmental Quality.	
Construction Grants for Wastewater Treatment Works Evaluation of the Report on Interceptor Sewers and Suburban Sprawl.	E02300-005
Customs Service, Dept. of the Treasury.	
Processing Cargo Duty Payments Delayed on Lead and Zinc Imported into Bonded Warehouses. Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development. Services for Special Beneficiaries: Costs Not Being Recovered.	E01282-001 E01097-010 E01282-002
Processing Mail Services for Special Beneficiaries: Costs Not Being Recovered.	E01282-002
Processing Persons Services for Special Beneficiaries: Costs Not Being Recovered.	E01282-002
Program Management, AID Refunds of Duty (Drawback) Upon the Exportation of Petroleum Products under Programs of the Agency for International Development.	E01097-010
Department of the Army.	
Pacific Northwest Hydro-Thermal Power Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement.	E00780-003
Program Management, Dept. of the Interior Southwestern Federal Power Program--Financial Progress and Problems.	E00780-002
Department of the Interior.	
Federal Coal-Leasing Administration of Regulations for Surface Exploration, Mining, and Reclamation of Public and Indian Coal Lands. Future Action Needed on Recommendations for Improving the Administration of Federal Coal-Leasing Program.	E00780-001 E00780-009
Interior Problem in Identifying, Developing, and Using Geothermal Resources.	E00780-007

Department of the Interior**PROGRAM AND AGENCY INDEX**

- Job Corps**
Study of Vocational Training Skills Achievement at Departmental Job Corps Centers. E00700-008
- Pacific Northwest Hydro-Thermal Power**
Pacific Northwest Hydro-Thermal Power Program--A Regional Approach to Meeting Electric Power Requirement. E00780-003
- Plowshare Program**
Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area. E06086-001
- Program Management, Dept. of the Interior**
Department of the Interior Aircraft Study and Recommendations. E00700-007
Information on Certain Oil and Gas Industry Oversight Responsibilities. E00780-004
Managing Recreation Facilities at Bureau of Reclamation Reservoirs. E00780-006
Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management. E00780-005
Southwestern Federal Power Program--Financial Progress and Problems. E00780-002
- Shelf Oil and Gas Leasing**
Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf. E00780-008
- Solid Waste Abatement and Control**
Need for Federal Agencies to Improve Solid Waste Management Practices. E02390-001

Department of the Treasury.

- Banking and Cash Management**
Need for a Uniform Method for Paying Interest on Government Trust Funds. E01280-001
Opportunities for Savings in Interest Cost Through Improved Letter-of-Credit Methods in Federal Grant Programs. E01280-006
- INTERPOL Program**
INTERPOL: A Discussion of Its Activities and Membership. E00800-002
- Program Management, Treasury**
Effectiveness of Independent and Comprehensive Audits of the Inter-American Development Bank. E01280-003
Improvements Needed in System for Managing U.S. Participation in the Asian Development Bank. E01280-004
More Effective United States Participation Needed in World Bank and International Development Association. E01280-005
States Need, But Are Not Getting, Full Information on Federal Financial Assistance Received. E01280-002

Department of Agriculture.

- Agricultural Conservation**
Action Needed to Discourage the Removal of Trees That Shelter Cropland in the Great Plains. E00299-002
- Animal Drugs and Feeds**
An Incident of Contamination of Livestock Feed and Certain Consumer Products. E00585-002
- Coordination of Federal Programs for Rural Development**
National Rural Development Efforts and the Impact of Federal Programs on a 12-County Rural Area in South Dakota. E00299-001
- Program Management, USDA**
Modernization of 1872 Mining Law Needed to Encourage Domestic Mineral Production, Protect the Environment, and Improve Public Land Management. E00780-005
- Solid Waste Abatement and Control**
Need for Federal Agencies to Improve Solid Waste Management Practices. E02390-001

PROGRAM AND AGENCY INDEX

Department of Health, Education, and Welfare

Department of Commerce.

Bureau-Level Management by Objectives
Survey of Bureau Management by Objectives Programs. E00300-020

Business Assistance, Services, and Information
Export of U.S.-Manufactured Aircraft--Financing and Competitiveness. E00399-003
Need for Better Identification and Analysis of Nontariff Barriers to Trade. E00399-001

Export Trade Promotion
Need for Better Identification and Analysis of Nontariff Barriers to Trade. E00399-001

International Commercial Information
Need for Better Identification and Analysis of Nontariff Barriers to Trade. E00399-001

Program Management, Commerce
Interim Report on Department of Commerce Field Operations. E00300-022
Survey of Bureau Management by Objectives Programs. E00300-020

Department of Data Management, VA.

Data Management
Beneficiary Identification Records Locator Subsystem. E06504-017
Development of Long-Range Findings Plans for VA-ADP Activities. E06504-010
Small Data Processing Centers. E06504-019

Department of Defense.

Management, ACTION
What Should U.S. Policy Be for Development Assistance to Ecuador? E01097-004

Program Management, AID
What Should U.S. Policy Be for Development Assistance to Ecuador? E01097-004

Solid Waste Abatement and Control
Need for Federal Agencies to Improve Solid Waste Management Practices. E02390-001

Department of Health, Education, and Welfare.

Community Health Centers
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid. E00500-046

Departmental Management, Office of the Secretary (HEW)
Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V. E00500-201

Medicaid
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid. E00500-046

Medicare
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid. E00500-046

Program Management, Education
Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V. E00500-201

Program Management, Health
Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V. E00500-201

Program Management, SSA
Assessment of the Principal Needs of the Spanish Speaking in Chicago and Evaluation of Street Projects for the Spanish Speaking in Region V. E00500-201

PROGRAM AND AGENCY INDEX

Department of Justice

Department of Housing and Urban Development.

- Government-wide Housing**
Housing in the Seventies; a report of the National Housing Policy Review. E00600-013
- Housing Management**
Greater Benefits Can Be Derived from Improved HUD Audits of Program Participants. E00690-001
- Land and Water Conservation Fund**
Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants. E00795-001
- Mortgage Insurance--Homes in Urban Renewal Areas**
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016
- Mortgage Insurance--Nursing Homes**
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016
- Mortgage Insurance--Rental Housing**
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016
- Mortgage Insurance--Rental Housing for the Elderly**
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016
- Open Space and Urban Beautification Grants, HUD**
Greater Benefits to More People Possible by Better Uses of Federal Outdoor Recreation Grants. E00795-001
- Public Housing--Leased**
Analyses of the Causes of the Growth in Public Housing Operating Subsidies. E00600-002
- Veterans Housing--Direct Loans and Advances**
Ways to Improve Management of Acquired Residential Properties. E06596-006
- Veterans Housing--Guaranteed and Insured Loans**
Ways to Improve Management of Acquired Residential Properties. E06596-006

Department of Justice.

- Drug Treatment**
Drug Treatment Programs and the Federal Criminal Justice System: an analysis and recommendations. E00800-005
- Drugs--Criminal**
Efforts to Prevent Heroin from Illicitly Reaching the U.S. E00880-001
- Drugs--Health**
Letter Report Issues Concerning New Legitimate Sources for Opium, Technology Required to Increase Production from Present Sources, and the State of Research with respect to Synthetic Alternatives. E00880-002
- Narcotic Addict Rehabilitation Contracts**
Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C. E00599-003
- Program Management, Justice**
 - A Program for Progress. E00800-001
 - A Review and Appraisal of the Status of JIMS--The Justice Information Management System. E00800-003
 - Alternatives for Establishing a Central Statistical Capability Within the Department of Justice. E00800-012

PROGRAM AND AGENCY INDEX**Department of Medicine and Surgery, VA**

The Department of Justice's Civil Disturbance Analysis and Evaluation Function; Report and Recommendations on the Intelligence Analysis Unit.	E00800-014
Department of Labor.	
Business and Industrial Development Grants Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Indus- trial Loan and Grant Program.	E00299-019
Business and Industrial Loans Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Indus- trial Loan and Grant Program.	E00299-019
Program Management, DOL An Evaluation Strategy and Plan for Department of Labor.	E00900-024
Department of Medicine and Surgery, VA.	
Program Management Communication and Inquiries Function: Department of Medicine and Surgery. Department of Medicine and Surgery Survey Report: VA Hospital, Palo Alto, Califor- nia. Reorganization: Department of Medicine and Surgery. Systematic External Review Program Survey Report: Veterans Administration Center, Bay Pines, Florida.	E06504-025 E06504-029 E06504-021 E06504-030
Sharing Medical Facilities, Equipment and Information Better Planning and Management Needed to Improve Utilization and Quality of Specialized Medical Services.	E06595-017
Veterans Domiciliary Care Domiciliary Program.	E06504-024
Veterans Hospitalization Adequacy of Medical Staffing in Selected VA Hospitals. Administration of Special Provision in Public Law 92-383, the 1973 Appropriations Act. ADP Laboratory System. Better Planning and Management Needed to Improve Utilization and Quality of Specialized Medical Services. Better Use of Outpatient Services and Nursing-Care Bed Facilities Could Improve Health-Care Delivery to Veterans. Complications Incurred Because of Delays in Transferring Patients to Spinal Cord Injury Treatment Centers at Veterans Administration Hospitals. Condition of Veterans Memorial Hospital of Republic of the Philippines. Controls on Use of Psychotherapeutic Drugs and Improved Psychiatrist Staffing Are Needed in Veterans Administration Hospitals. Improvements Needed in Certain Hospitals' Laboratory Service Activities. Information on Professional Fees and Other Compensation Paid for Services of Selected Physicians Employed at the Veterans Administration Hospital in Miami, Florida. Information Concerning the Potential Transfer of the St. Albans Naval Hospital to the Veterans Administration. Medical Administrative Processes. Methods for Estimating Hospital and Nursing Home Bed Requirements in the San Francisco Area. Potential for Using Alternative Dietary Systems in Veterans Administration Hospitals. Purchase of Foreign-Made Medical Supplies and Equipment by the Veterans Adminis- tration. Regional Patient Data Base. Reuse of Disposable Catheters and Guidewires in Various Veterans Administration Hospitals. Review of Allegations by Veterans About Patient Treatment in the Iowa City VA Hospital. Review of Veterans Administration Closed Circuit Television System.	E06595-023 E06595-005 E06504-018 E06595-017 E06595-006 E06595-016 E06595-020 E06595-022 E06595-011 E06595-007 E06595-010 E06504-028 E06595-004 E06595-003 E06595-013 E06504-020 E06595-015 E06595-021 E06595-009

Department of Medicine and Surgery, VA**PROGRAM AND AGENCY INDEX**

Veterans Outpatient Care Outpatient Sub-Clinic in Massachusetts.	E06504-014
Veterans Prescription Service Centralized Mail-Out Prescription Systems.	E06504-013
Veterans Prosthetic Appliances Report on Survey of VA's Durable Medical Appliances Activities.	E06595-025
Veterans Rehabilitation--Alcohol and Drug Dependency Information on Veterans Administration's Drug Treatment Program and Specific Data on Veterans Administration's Drug Dependents Treatment Centers in New York State.	E06595-018
Veterans State Nursing Home Care Program for Providing Nursing Home Care to Veterans in State-Operated Nursing Homes.	E06595-001

Department of State.

Drugs--Criminal Efforts to Prevent Heroin from Illicitly Reaching the U.S.	E00880-001
Efforts to Stop Narcotics and Dangerous Drugs Coming from and through Mexico and Central America.	E00890-006

Department of Transportation.

Alcohol Demonstration Monitoring and Evaluating the Operation of Ten DOT/NIAA Joint Alcoholism Programs--Phase I Report and Research Plan.	E00503-028
Alcohol Demonstration Programs Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report.	E00503-052
Cargo Security Department of Transportation's Cargo Security Program.	E01190-001

Department of Veterans Benefits, VA.

Compensation Cost Analysis: Present Compensation, Pension and Education Programs.	E06504-008
Cost Study Pilot/Target Systems Test.	E06504-003
Study of the Agency's Guardianship Role.	E06504-011
Data Management Beneficiary Identification Records Locator Subsystem.	E06504-017
Dependents Education Assistance Delays Experienced by Veterans in Receiving Educational Assistance Payments, Veterans Administration.	E06596-013
Education Cost Analysis: Present Compensation, Pension and Education Programs.	E06504-008
Cost Study Pilot/Target Systems Test.	E06504-003
Guaranteed and Insured Loans Cost Benefit Study: Optical Character Recognition Equipment and Proposed System.	E06504-012
Housing, Guaranteed and Insured Loans Ways to Improve Management of Acquired Residential Properties.	E00694-004
Mortgage Insurance--Homes Ways to Improve Management of Acquired Residential Properties.	E00694-004

PROGRAM AND AGENCY INDEX

Departments of the Executive Branch

Pension

Cost Analysis: Present Compensation, Pension and Education Programs. E06504-008
Cost Study Pilot/Target Systems Test. E06504-003
Study of the Agency's Guardianship Role. E06504-011

Program Management

Organization: Education and Rehabilitation Service. E06504-027
Review of Veterans Administration Program Evaluation: Department of Veterans Benefits. E06504-001
Study of the Beneficiary Identification and Records Locator Subsystem (BIRLS). E06504-002
Transfer of Insurance Functions. E06504-022

Veterans Assistance Centers

Improvements Needed in Program to Contact and Assist Recently Discharged Veterans. E06595-012

Veterans Educational Assistance

Analysis of the Predischarge Education Program. E06504-009
Delays Experienced by Veterans in Receiving Educational Assistance Payments, Veterans Administration. E06596-013
Information on Implementation of the Amendments of Public Law 92-540 Which Impacts on Veterans Taking Correspondence Training. E06596-010
Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans. E06596-003
Need for Improved Outreach Efforts for Veterans in Prison or on Parole. E06596-005
Review of the On-Campus Veterans Student Services Program. E06504-005
Review of Education Certification Program. E06504-007

Veterans Housing

Cost Benefit Study: Optical Character Recognition Equipment and Proposed System. E06504-012

Veterans Housing--Direct Loans and Advances

Opportunities to Reduce Costs in Acquiring Properties Resulting from Defaults on Home Loans. E06596-001
Ways to Improve Management of Acquired Residential Properties. E06596-006

Veterans Housing: Guaranteed and Insured Loans

Cost Benefit Study: Optical Character Recognition Equipment and Proposed System. E06504-012
Opportunities to Reduce Costs in Acquiring Properties Resulting from Defaults on Home Loans. E06596-001
Ways to Improve Management of Acquired Residential Properties. E06596-006

Veterans Housing--Mobile Home Loans

Mobile-Home Loan Guaranty Program. E06596-002

Veterans Information and Assistance

Improvements Needed in Program to Contact and Assist Recently Discharged Veterans. E06595-012
Information Concerning the Veterans Administration's Efforts to Assist Educationally Disadvantaged Veterans. E06596-003
Management Practices Used by the VA's Denver Regional Office in Assisting Veterans. E06596-004
Need for Improved Outreach Efforts for Veterans in Prison or on Parole. E06596-005

Departments of the Executive Branch

Cash Management.

Money Management Study. E03700-004

Comprehensive Employment and Training Programs

Guidelines for Evaluation of Employment Practices Under the CETA Act. E05917-005

Elementary and Secondary Education Act--Title 1

Study of Administrative Costs in Federally-Aided Domestic Programs. E03700-003

Employment for the Handicapped

Employment of Handicapped Individuals in the Federal Service. E05908-001

Departments of the Executive Branch**PROGRAM AND AGENCY INDEX**

Employment of Public Offenders Employment Programs for Public Offenders in the Federal Service.	E05908-002
Federal Agency Personnel Management Progress Report on Pilot Efforts: Federal Junior Fellowship Program.	E05908-005
Report on the Affirmative Steps Taken to Ensure the Protection from Coercion of Employees Who Fall within the Purview of the Major RIF Retirement Law.	E05908-006
Report to Congress on Major Reductions in Force.	E05908-007
Federal Civil Service Employment Computer-Assisted Testing: calibration and evaluation of the verbal ability bank. Evaluation of Regional Certification Practices.	E05913-003 E05908-003
Federal Employee Training Assessing the Training Needs of Personnel Specialists.	E05916-006
Disincentives to Effective Employee Training and Development.	E05916-003
Employee Training in the Federal Service, Fiscal Year 1973.	E05916-005
Evaluation of the Federal Executive Development Program Assessment Center.	E05913-001
Managerial and Executive Development Needs in the Federal Service and Recommended Actions for Meeting the Needs.	E05900-001
Quality Assurance Program for Reimbursable Training.	E05916-001
Training Leadership Study.	E05916-002
Training Value Model I.	E05916-004
Federal Employment Assistance for Veterans Employment of Handicapped Individuals and Disabled Veterans in the Federal Government.	E05908-008
Federal Employment for the Handicapped Employment of Handicapped Individuals and Disabled Veterans in the Federal Government.	E05908-008
Federal Summer Employment Evaluation of the Federal Summer Intern Program.	E05908-004
Federal White-Collar Position Classification Report of the Study of Classification Accuracy in GS Grade Levels 12-15.	E05911-001
Federal-Aid Highway Program. Study of Administrative Costs in Federally-Aided Domestic Programs.	E03700-003
Headstart Study of Administrative Costs in Federally-Aided Domestic Programs.	E03700-003
Hospital Supply How to Improve the Procurement and Supply of Drugs in the Federal Government (DOD, VA, HEW, OMB, and GSA). Proper Use of the Economic Order Quantity Principle Can Lead to More Savings.	E99999-001 E99999-002
Intergovernmental Personnel Grants Guidelines for Qualitative Evaluation of Personnel Operations in State and Local Governments.	E05917-002
IPA Impact.	E05917-003
State and Local Personnel Systems.	E05917-009
Technical Assistance Impact Study.	E05917-006
The IPA Intergovernmental Assignment Programs.	E05917-004
The IPA Results.	E05917-008
The IPA Title IV Intergovernmental Assignment Program, 1973 Report.	E05917-001
Training Can Make a Difference.	E05917-007
National School Lunch Study of Administrative Costs in Federally-Aided Domestic Programs.	E03700-003
Productivity Enhancement Productivity Enhancement Efforts in the Federal Government: a report of survey results, program report evaluation, and implications for research.	E05913-002
Weather Modification Research. Need for a National Weather Modification Research Program.	E99999-003

PROGRAM AND AGENCY INDEX**Drug Enforcement Administration, Dept. of Justice**

Work Incentive Program
Study of Administrative Costs in Federally-Aided Domestic Programs. E03700-003

Division of Cooperative Research, Fish and Wildlife Service.

Cooperative Fisheries and Wildlife Unit
Review of Cooperative Fisheries and Wildlife Unit Program. E00709-002

Division of Research Grants, NIH.

Health Manpower and Training
Manpower Engaged on NIH Research Grants in 1970. E00508-048

Program Management, NIH
Manpower Engaged on NIH Research Grants in 1970. E00508-048

Division of Research Resources, NIH.

Clinical Research
Evaluation Report: General Clinical Research Centers Program, Division of Research Resources. E00508-038

Laboratory Animal Sciences and Primate Research
Final Report of a Pilot Project to Develop a Methodology for Cost-Benefit Analysis of Research Animal Diagnostic and Investigative Laboratories. E00508-047

Division of Stationary Source Enforcement, EPA.

Air Control Agency Support-Administration
General Report of the Air Compliance Review Group. E02300-006

Air Quality Information System
General Report of the Air Compliance Review Group. E02300-006

Federal Activities/Air
General Report of the Air Compliance Review Group. E02300-006

Safe Drinking Water Act
National Safe Drinking Water Strategy: One Step at a Time. E02300-007

Stationary Source and Air Quality Standards
General Report of the Air Compliance Review Group. E02300-006

Stationary Source Enforcement
General Report of the Air Compliance Review Group. E02300-006

Technical Support and Evaluation/Air
General Report of the Air Compliance Review Group. E02300-006

Domestic and International Business Administration, Dept. of Commerce.

Domestic Business Service
Interim Report on the Review of the Domestic and International Business Administration. E00300-023

Drug Enforcement Administration, Dept. of Justice.

DEA Task Force Program
DEA Task Force Program: an analysis and recommendations. E00800-007

Drug Treatment
Drug Treatment Programs and the Federal Criminal Justice System: an analysis and recommendations. E00800-005

Drug Enforcement Administration, Dept. of Justice**PROGRAM AND AGENCY INDEX****Drugs--Compliance**

An Analysis of the Effects of Criminalization in Dangerous Drug Arrests. E00810-009
Federal Efforts to Combat Drug Abuse. E00890-001
Issues Concerning Supply and Demand for Licit Opium in U.S. as of July 1, 1974. E00890-005

Drugs--Criminal

Difficulties in Immobilizing Major Narcotics Traffickers. E00890-003
Efforts to Stop Narcotics and Dangerous Drugs Coming from and through Mexico
and Central America. E00890-006
Federal Efforts to Combat Drug Abuse. E00890-001
Heroin Being Smuggled into New York City Successfully. E00890-002
Identifying and Eliminating Sources of Dangerous Drugs: Efforts Being Made But Not
Enough. E00890-004
Problems in Slowing the Flow of Cocaine and Heroin from and through South
America. E00890-007

Drugs--Enforcement

A Brief Analysis of DEA Coordination with Patrol Forces on the Southwest Border. E00810-002
An Analysis of Cross Regional Case Development. E00810-007
An Analysis of DEA Marihuana Arrestees. E00810-008
DEA Performance Measurement System (PMS). E00810-005
Enforcement Productivity and Placement of Resources. E00810-006

Drugs--Health

Federal Efforts to Combat Drug Abuse. E00890-001

Drugs--Training

DEA Performance Measurement System (PMS). E00810-005
Federal Efforts to Combat Drug Abuse. E00890-001
Report on the Committee of the Basic Agent Training Program. E00810-001

Program Management

An Analysis of the Resources, Policies, and Procedures of the Office of Inspection
and Internal Security. E00810-004
Improved Staffing on Major Decisions. E00810-003

Economic Development Administration, Dept. of Commerce.**Economic Development--Technical Assistance**

Report on Audit of the Technical Assistance Program, Office of Technical Assis-
tance, Economic Development Administration. E00300-002

Field Technical Support Services

Report on Minimum EDA FY 1975 Staffing Requirements. E00300-018

Public Works Construction Management Information Services

Report on Minimum EDA FY 1975 Staffing Requirements. E00300-018

Economic Research Service, USDA.**Supply, Demand and Price Analysis--Forecasts and Projections**

Reaction to the National Agricultural Outlook Conference. E00219-006

USDA Programs Directed to Cooperatives

Impact of USDA Programs Upon Rural Cooperatives. E00200-002

Employment and Training Administration, DOL**Certification for Immigrant Nonagricultural Workers**

Administration of the Alien Labor Certification Program Should Be Strengthened. E00992-029

Comprehensive Employment and Training

Cleveland Summer Youth Employment Programs. E00992-013
Concentrated Employment Program in New York City Has Not Met Its Employment
Objectives. E00992-001

PROGRAM AND AGENCY INDEX

Employment and Training Administration, DOL

Differences in the Total Employment Effects of Government Purchases from the Private Sector and Direct Government Hiring of the Unemployed.	E00900-012
Difficulties of the Neighborhood Youth Corps In-School Program and Its Management Problems.	E00992-007
Economic Considerations for Manpower Revenue Sharing.	E00900-019
Impact of Grants to Indian Tribes Under the Emergency Employment Act of 1971.	E00992-009
Kentucky Equine Education Program (KEEP).	E00992-025
Measuring the Effect of the Federal Government on the Change in the Labor Market Position of Black Male Workers Relative to White Male Workers: 1966 to 1970.	E00900-010
Neighborhood Youth Corps and Emergency Employment Programs in Artesia, New Mexico.	E00992-014
Observations on the Effectiveness and Management of Upgrading Training.	E00992-005
Opportunity to Increase Effectiveness of JOBS-Type Programs to be Funded Under CETA (Comprehensive Employment and Training Act).	E00992-022
Problems in Operating Certain Training Programs in Appalachian Kentucky Under the Manpower Development and Training Act.	E00992-002
Program Administration at the Atterbury Job Corps Center in Indiana.	E00992-008
Progress Report on the Development of Continuous Performance Information on the Impact of the Manpower Development and Training Act.	E00900-021
Public Employment Programs in East St. Louis, Illinois.	E00992-019
Public Employment Programs in Selected Rural and Urban Areas.	E00992-011
Public Service Benefits from Jobs Under the Emergency Employment Act of 1971.	E00992-010
Reemployment Assistance for Engineers, Scientists, and Technicians Unemployed Because of Aerospace and Defense Cutbacks.	E00992-012
Restructured Neighborhood Youth Corps Out-of-School Program in Urban Areas.	E00992-016
Review of Effectiveness and Management of the Neighborhood Youth Corps In-School Program for FY 1971 at Three Locations.	E00992-004
Selection and Enrollment of Participants in Programs Under the Emergency Employment Act of 1971.	E00992-003
The Emergency Employment Act: Placing Participants in Nonsubsidized Jobs and Revising Hiring Requirements.	E00992-015
The Neighborhood Youth Corps: An Impact Evaluation.	E00900-018
The Use of Longitudinal Data to Assess the Impact of Manpower Training on Earnings.	E00900-017
Types of Jobs Offered to Unemployed Persons Under the Emergency Employment Act of 1971.	E00992-006
Employment Service	
Black-White Earnings and Employment: 1960-1970.	E00900-027
Employment Services for Vietnam-Era Veterans Could Be Improved.	E00992-024
Estimating the Benefits of Job Banks as a Computerized Record Keeping System.	E00900-015
Job Matching Under Imperfect Information.	E00900-020
Problems in Filling Job Orders and Placing Job Applicants in Massachusetts.	E00992-023
The Effect of Unemployment Insurance Laws and Administration on Unemployment Rates.	E00900-016
The Estimated Impact of Antidiscrimination Laws Aimed at Federal Contractors.	E00900-029
Theories of Search in a Labor Market.	E00900-022
Manpower Research and Development Projects	
The Effect of Manpower Training on Earnings: preliminary results.	E00900-028
Unemployment Insurance--Grants to States	
Federal Unemployment Benefits Due to Agnes Disaster in Pennsylvania.	E00992-026
Work Incentives	
Assessment of the Work Incentive Program in Washington State.	E00992-020
From Welfare to Self-Sufficiency: An Assessment of the Work Incentive Program in Wayne County, Michigan.	E00992-021
Problems in the Work Incentive Program in Los Angeles and San Diego.	E00992-027
Slow Implementation of the Work Incentive Program in New York City.	E00992-028
Substantial Improvements Needed in the Work Incentive Program, Atlanta, Georgia.	E00992-018
Using Estimates of Income and Substitution Parameters to Predict the Work Incentive Effects of Various Income Maintenance Programs; a brief exposition and partial survey of the empirical literature.	E00900-011
Employment Standards Administration, DOL	
Age Discrimination in Employment	
Age Discrimination in Employment Act of 1967; a report covering activities under the Act during 1972.	E00904-005

Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1973.	E00904-006
Age Discrimination in Employment Act of 1967: a report covering activities under the Act during 1974.	E00904-007
The San Francisco Review.	E00904-008
Coal Mine Workers' Compensation	
Black Lung Benefits Act of 1972; first annual report on administration of the Act during calendar year 1974 and the transitional period in the second half of 1973.	E00904-016
Consumer Credit Protection	
The San Francisco Review.	E00904-008
Economic Research Data	
An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.	E00904-011
Demographic Characteristics of Workers in the Work Clothing Industry.	E00906-003
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).	E00906-002
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010
Employment Opportunity by Federal Contractors	
The San Francisco Review.	E00904-008
Equal Employment Opportunity by Federal Contractors	
Major Evaluation Questions Concerning the Federal Contract Compliance Program: Federal Contracts for Goods and Services.	E00900-031
Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).	E00906-002
The Economics of Distribution; the impact of public policy.	E00900-025
The Equal Opportunity Program for Federal Nonconstruction Contractors Can Be Improved.	E00994-002
Farm Labor Contractor Registration	
The San Francisco Review.	E00904-008
Industrial Relations Data	
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010
Longshoremen's and Harbor Workers' Compensation	
The San Francisco Review.	E00904-008
Manpower and Employment Data	
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010
Manpower Research and Development Projects	
An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.	E00904-011
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
Minimum Wage and Hour Standards	
An Analysis of the Educational and Economic Impact of the Work Experience and Career Exploration Program.	E00904-011
Compliance with the Minimum Wage Law: progress report.	E00900-003
Data Available to Measure Impact of Minimum and Overtime Wage Provisions of the Fair Labor Standards Act on Working Parents Employing Domestic Service Workers as Babysitters.	E00994-003
Demographic Characteristics of Workers in the Work Clothing Industry.	E00906-003
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).	E00904-003
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act.	E00904-004
Minimum Wage and Maximum Hours Standards Under the Fair Labor Standards Act, 4 (d).	E00904-002

PROGRAM AND AGENCY INDEX**Environmental Protection Agency**

Minimum Wage Legislation in the United States.	E00900-013
Private Household Workers...Feasibility and Implications of Extending Minimum Wage Protection Under FLSA.	E00904-020
Private Household Workers: data pertinent to an evaluation of the feasibility of extending minimum wage and overtime coverage under the Fair Labor Standards Act, 1974.	E00904-001
Propriety of Minimum Wage Determinations for Clerical and Other Office Employees Under the Service Contract Act.	E00994-001
Sheltered Workshops Under the Fair Labor Standards Act.	E00904-012
Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).	E00906-002
The Four Questions for Evaluating the Effects of the FLSA (Federal Labor Standards Act) Minimum Wage Provisions.	E00900-039
The San Francisco Review.	E00904-008
Wages and Hours of Work of Non-Supervisory Employees in Selected Non-Agriculture Industries by Covered Status under the Fair Labor Standards Act.	E00904-010
Wages and Industrial Relations Data	
Survey of Occupational Wages and Supplemental Benefits in the Construction Industry (1971 and 1972 Data).	E00906-002
Women' Special Employment Assistance	
The San Francisco Review.	E00904-008
Energy Research and Development Administration.	
Program Management, ERDA	
Problem in Identifying, Developing, and Using Geothermal Resources.	E00780-007
Environmental Protection Agency.	
Air and Water Programs	
First Report of the Environmental Measures Project: Analysis and Applications of Environmental Quality Indicators.	E02300-010
Air Pollution Control Program Grants	
Report of a Study of Control Agency Revenue Fees.	E02300-003
Air Pollution Enforcement	
Assessment of Federal and state Enforcement Efforts to Control Air Pollution from Stationary Sources.	E02390-005
Construction Grants for Wastewater Treatment Works	
Report of the Administrator's Special Construction Grants Task Force.	E02300-008
Review of the Municipal Waste Water Treatment Works Program.	E02300-004
Control	
Using Solid Waste to Conserve Resources and to Create Energy.	E02390-010
Drinking Water Abatement and Control	
Improved Federal and State Programs Needed to Insure the Purity and Safety of Drinking Water in the United States.	E02390-006
Food Safety	
Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered.	E00585-010
National Pollutant Discharge Elimination System (NPDES) Permits	
Report of the Permit Program Review Group.	E02300-001
The Final Report of the Permit Program Review Group.	E02300-002
Pesticide Abatement and Control	
Man and His Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.	E02390-004
Pesticides: Action Needed to Protect the Consumer from Defective Products.	E02390-008

Environmental Protection Agency**PROGRAM AND AGENCY INDEX**

Pesticides Enforcement Man and his Environment: Environmental Protection Agency Efforts to Remove Hazardous Pesticides from the Channels of Trade.	E02390-004
Solid Waste Abatement and Control Need for Federal Agencies to Improve Solid Waste Management Practices. Using Solid Waste to Conserve Resources and to Create Energy.	E02390-001 E02390-010
Solid Waste Research and Development Using Solid Waste to Conserve Resources and to Create Energy.	E02390-010
Water Pollution Abatement and Control Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow.	E02390-009
Water Pollution Construction Grants Cleaning Up the Great Lakes: United States and Canada Are Making Progress in Controlling Pollution from Cities and Towns. Implementation of Federal Water Pollution Control Act Amendments of 1972 Is Slow. Need to Control Discharges from Sewers Carrying Both Sewage and Storm Runoff.	E02390-011 E02390-009 E02390-003
Water Pollution Control State and Interstate Program Grants Report of a Study of Control Agency Revenue Fees.	E02300-003
Water Pollution Research and Development Cleaning Up the Great Lakes: United States and Canada Are Making Progress in Controlling Pollution from Cities and Towns. Need to Improve Administration of the Water Pollution Research, Development, and Demonstration Program. Research and Demonstration Programs to Achieve Water Quality Goals: What the Federal Government Needs to Do.	E02390-011 E02390-002 E02390-007
Export-Import Bank of the United States.	
Business Assistance, Services, and Information Export of U.S.-Manufactured Aircraft--Financing and Competitiveness.	E00399-003
Extension Service, USDA.	
Animal and Plant Disease and Pest Control Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001
Expanded Nutrition Assistance and Family Education An Evaluation of the Mulligan Stew 4-H Television Series for Extension Service, USDA. Survey of Expanded Food and Nutrition Education Program.	E00215-001 E00299-013
Extension Education Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001
Plant and Animal Disease and Pest Control Crop Insurance and Information Services to Control Use of Pesticides.	E02305-100
USDA Programs Directed to Cooperatives Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
Farmer Cooperative Service, USDA.	
Technical Assistance to Cooperatives Evaluation Report on the Technical Assistance Effort Devoted to Improving Cooperative Firm Operations, FY 1973.	E00220-001
USDA Programs Directed to Cooperatives Impact of USDA Programs Upon Rural Cooperatives.	E00200-002

PROGRAM AND AGENCY INDEX

Farmers Home Administration, USDA

Farmers Home Administration, USDA.

Administrative Support for Rural Development

Actions Needed to Terminate Federal Administration of State Rural Rehabilitation Funds Program. **E00299-015**

Business and Industrial Development Grants

A New Federalism Program Analysis. **E00201-003**

Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Industrial Loan and Grant Program. **E00299-019**

Employment Impacts of the Economic Development Administration's Public Works Program. **E00201-002**

FmHA Responsiveness to State and Local Rural Development Plans and Priorities. **E00200-010**

Business and Industrial Loans

A New Federalism Program Analysis. **E00201-003**

Department of Labor Practices on Obtaining Labor Union Comments on Making Certifications on Loans and Grants Made Under Agriculture's Business and Industrial Loan and Grant Program. **E00299-019**

Employment Impacts of the Economic Development Administration's Public Works Program. **E00201-002**

FmHA Responsiveness to State and Local Rural Development Plans and Priorities. **E00200-010**

Ways to Improve Effectiveness of Rural Business Loan Programs. **E00299-017**

Farm Operating Loans

Practices in Obtaining Credit Reports for Housing and Agricultural Loans. **E00299-020**

Farm Ownership Loans

Practices in Obtaining Credit Reports for Housing and Agricultural Loans. **E00299-020**

Income Housing

Practices in Obtaining Credit Reports for Housing and Agricultural Loans. **E00299-020**

Interest Subsidy--Acquisition and Rehabilitation

Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs. **E00693-004**

Low Income Housing

Practices in Obtaining Credit Reports for Housing and Agricultural Loans. **E00299-020**

Low-to-Moderate Income Housing Loans

Differences in Costs of Purchasing Houses in Seven States through the Departments of Housing and Urban Development and Agriculture. **E00693-022**

Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs. **E00693-004**

Mortgage Insurance--Homes

Differences in Costs of Purchasing Houses in Seven States through the Departments of Housing and Urban Development and Agriculture. **E00693-022**

OEO Rural Cooperative Loans

Ways to Improve Effectiveness of Rural Business Loan Programs. **E00299-017**

Rural Community Facility Loans

A New Federalism Program Analysis. **E00201-003**

FmHA Responsiveness to State and Local Rural Development Plans and Priorities. **E00200-010**

Rural Development Insurance Fund

A Comparative Cost Analysis of Alternative Methods for Selling FHA Notes. **E00200-007**

Rural Housing Site Development Loans

Complaints Concerning the Rural Housing Loan Program in Five Arkansas Counties. **E00299-014**

Rural Rental Housing

Effectiveness and Administration of the Rural Rental-Housing Program. **E00299-016**

Rural Water and Waste Disposal Loan and Grant

Impact of New Community Water and Sewer Systems on the Population of Small Towns: an overview. E00201-001

Water and Sewer Loan and Development Grants

FmHA Water and Waste Disposal Program Assistance to Small Rural Communities. E00200-005

Water and Sewer Planning Grants

FmHA Water and Waste Disposal Program Assistance to Small Rural Communities. E00200-005

Federal Aviation Administration, DOT.**Air Traffic Service**

An Advanced Air Traffic Management Concept Based on Extensions of the Upgraded Third Generation ATC System. E01102-020

Augmented Radar Beacon Tracking Level System Design Specification. E01102-014

Automated Reliability Assessment Program. E01102-039

Discrete Address Beacon System/Air Traffic Control Interface Configuration Document. E01102-015

DAIR System Radar Target Relationships. E01102-017

Evaluation of Nation-Wide Preflight Weather Briefing Procedures. E01102-059

Evaluation of Premature Descents at High Density Terminals. E01102-061

Evaluation of Terminal Radar Service: stage III. E01102-060

Evaluation of the Rubino Procedure for Radio Telemetric Theodolite Positioning. E01102-054

Measurement and Analyses of ASR-4 System Error. E01102-018

Military Air Traffic Forecast: 1975-1986. E01102-019

Radar Target Detection Employing On-Line Estimation of the Video Correlation. E01102-016

Report of National Airspace System En Route Related Support and Data Reduction and Analysis Programs. E01102-040

TCA/ERS Effectiveness Study. E01102-053

Airport Development Aid

Airport Opposition: Examination and Program Development. E01102-031

Alternatives to the Airport Development Aid Program. E01102-032

ADAP Aid to Private Airports: A Preliminary Assessment and Related Questions for Research. E01102-033

Public Involvement in Planning Public Works Projects Should Be Increased. E01192-002

The Airport Passenger Head Tax: analysis of its potential impact. E01102-056

Airports Service

Dual Lane Runway Study. E01102-037

FAA Report on Airport Capacity. E01102-036

Systems for Transporting Aircraft on the Ground: feasibility and concept study. E01102-038

Airway Facilities Service

A Simulation of the DABS Sensor for Evaluating Reply Processor Performance. E01102-028

Aircraft Sound Description System Application Procedures. E01102-046

Aircraft-Pavement Compatibility Study. E01102-011

ATC Surveillance/Communication Analysis and Planning. E01102-027

Collision Avoidance Ground Station Analysis. E01102-025

Comparative Performance of Structural Layers in Pavement Systems; design, construction, and behavior under traffic. E01102-010

Costly Requirements for Certain Control Towers, Navigation Aids, and Airport Lights. E01192-003

Development and Test of Low Impact Resistance Structures. E01102-048

Development of a Discrete Address Beacon System. E01102-029

Development of a Non-Survey Method Estimating Traffic at Non-Towered Airport. E01102-012

Development of Microwave Landing System Implementation Criteria. E01102-047

Evaluation of a Very Low Frequency Navigation System. E01102-051

Evaluation of an Experimental Elevated High-Intensity Runway Edge Light. E01102-049

Evaluation of an Experimental High-Intensity, Inset Runway Edge Light Fixture. E01102-050

Evaluation of Facilities and Equipment: Great Lakes Region. E01102-062

Evaluation of Technician Certification Program. E01102-063

Multipath Channel Simulation and Modern Evaluation Program. E01102-044

New York Offshore Airport Feasibility Study. E01102-013

Objective Measurement Techniques for Evaluating Voice Communication Channels. E01102-052

Provisional Message Formats for the DABS/NAS Interface. E01102-026

Pulse Compression Radar Study. E01102-024

PROGRAM AND AGENCY INDEX**Federal Disaster Assistance Administration, HUD**

Technical Evaluation of Weather Clutter Feasibility Model. E01102-030
Test and Evaluation of a Remote Control and Radiofrequency Repeater System for
Televised Radar Display Applications. E01102-043
Test and Evaluation of the Passive Feedhorn for the Air Route Surveillance Radar. E01102-042
Test and Evaluation of ATCRBS Electronic Scan Antenna. E01102-045

Aviation Medicine

Aircraft-Pilot and other Pre-Employment Experience as Factors in the Selection of
Air Traffic Controllers Trainees. E01102-022
Behavioral, Automatic, and Subjective Reactions to Low and Moderate Level Simulat-
ed Sonic Booms. E01102-023
Job-Related Attitudes of Non-Journeyman FAA Air Traffic Controllers. E01102-021
Prevalence and Incidence of Disease Among Airmen Medically Certified. E01102-041

Flight Standards

Airport Vicinity Air Pollution Study. E01102-034
FAA Lightning Protection Study. E01102-035

Flight Standards Service

Aircraft Taxiing Noise Measurement. E01102-005
Airport Vicinity Air Pollution Study: model application and validation and air quality
impact analysis. E01102-006
Concorde Landing Requirement Evaluation Tests. E01102-055
Evaluation of Free Distribution of AIM. E01102-058
Feasibility of Adapting a Thin Film Permeable Membrane to Jet Transport Fuel Tank
Inerting System. E01102-009
Feasibility Study and Demonstration of Nitrogen Generation for Fuel Tonic Inerting. E01102-004
General Aviation Aircraft Safety: The Princeton University Conference. E01102-007
Hazardous Materials Program Review. E01102-057
Improved Procedures Needed for Implementing Safety Recommendations. E01192-004
Measurement of the Boeing 727 Trailing Vortex System Using the Tower Fly-By
Technique. E01102-003
Measurement of the McDonnell-Douglas DC9 Trailing Vortex System Using the Tower
Fly-By Technique. E01102-008
Need for Improved Controls for Identifying and Correcting Safety Defects on Light
Aircraft. E01192-001
Quiet Nacelle Retrofit Feasibility Program: compatibility analysis and design study. E01102-002
Quiet Nacelle Retrofit Feasibility Program: lower goal flight testing. E01102-001

Highway Research, Planning, and Construction

Public Involvement in Planning Public Works Projects Should Be Increased. E01192-002

Federal Bureau of Investigation, Dept. of Justice.**Criminal Justice--Statistics Development**

Letter Report on Computerized Criminal History Information. E00891-005

Law Enforcement Assistance--National Crime Information Center

Letter Report on Computerized Criminal History Information. E00891-005

Law Enforcement Assistance--Training

Law Enforcement Training: an analysis of current and future requirements. E00800-009

Federal Crop Insurance Corporation, USDA.**Federal Crop Insurance**

An Evaluation of Insurance Experience. E00211-001
Analysis of Individual Underwriting Progress and Problems. E00211-002
Cotton Evaluation of Coverage Reductions and Rate Increases. E00211-004
Evaluation of Experience Under Improved Management Practice for Corn. E00211-003

Federal Disaster Assistance Administration, HUD.**Disaster Loans**

Administrative Problems Experienced in Providing Federal Assistance to Disaster
Victims E05590-001

Federal Disaster Assistance Administration, HUD**PROGRAM AND AGENCY INDEX****Economic Injury Disaster Loans**

Information on Federal Disaster Relief Programs. E05590-002

Emergency Loans FmHA

Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims. E05590-001
Information on Federal Disaster Relief Programs. E05590-002

Federal Energy Administration.**Emergency Petroleum Allocation.**

Problems in the Federal Energy Office's Implementation of Emergency Petroleum Allocation Programs at Regional and State Levels. E02990-001

Regulatory Programs

Problem in the Federal Energy Administration's Compliance and Enforcement Effort. E02990-002
Problems of Independent Refiners and Gasoline Retailers. E02990-003

Shelf Oil and Gas Leasing

Outlook for Federal Goals to Accelerate Leasing of Oil and Gas Resources on the Outer Continental Shelf. E00780-008

Federal Highway Administration, DOT.**Airport Development Aid**

Public Involvement in Planning Public Works Projects Should Be Increased. E01192-002

Highway Research, Planning, and Construction

Advance Right-of-Way Acquisition Program. E01103-004
Differences in Administration of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. E01193-001
Federal Participation in Cost of States' Internal Review Activities. E01103-002
Preparation of Programming Documents. E01103-005
Program Review of Right-of-Way Program. E01103-001
Public Involvement in Planning Public Works Projects Should Be Increased. E01192-002
Review of Availability of Right-of-Way Statistics. E01103-008
Review of Construction Inspection Procedures. E01103-003
Review of Materials Sampling and Testing Procedures. E01103-006
Review of Right-of-Way Records and Reports. E01103-007

Federal Insurance Administration, HUD.**Crime Insurance**

The Federal Crime Insurance Program: how it can be made more effective. E00696-003

Flood Insurance

Actions Needed to Provide Greater Insurance Protection to Flood-Prone Communities. E00696-002
National Flood Insurance. E00600-140

Federal Power Commission.**Plowshare Program**

Progress and Problems in Developing Nuclear and Other Experimental Techniques for Recovering Natural Gas in the Rocky Mountain Area. E06086-001

Fish and Wildlife Service, Dept. of the Interior.**Accelerated Wetlands Acquisition**

Review of Wetlands Acquisition Program. E00709-001

Youth Conservation Corps Pilot Program

Program Evaluation, Youth Conservation Corps. E00709-003

PROGRAM AND AGENCY INDEX

Food and Drug Administration, PHS

Food and Drug Administration, PHS.

Animal Drugs and Feeds An Incident of Contamination of Livestock Feed and Certain Consumer Products.	E00585-002
Biologics Program Problems in Regulating Selected Vaccines.	E00585-003
Consumer Product Safety Commission. Implementation of the Poison Prevention Packaging Act of 1970.	E30400-101
Cosmetics Programs An Investigation of Consumers' Perceptions of Adverse Reactions to Cosmetic Products; final report.	E00505-012
CDC Investigations, Surveillance and Technical Assistance Evaluation of Status of Standards for Diagnostic Materials.	E00504-018
Food Economics Need for Regulating the Food Salvage Industry to Prevent Sales of Unwholesome and Misbranded Foods to the Public.	E00585-014
Food Safety Excluding Substandard Canned Pineapple from the United States. Protecting the Consumer from Potentially Harmful Shellfish (Clams, Mussels and Oysters). Questions on the Safety of the Pesticide Maleic Hydrazide Used on Potatoes and Other Crops Have Not Been Answered. Salmonella in Raw Meat and Poultry: an assessment of the problem.	E00585-012 E00585-005 E00585-010 E00585-008
Human Drugs Assessment of the Food and Drug Administration's Handling of Reports on Adverse Reactions from the Use of Drugs. Evaluation of Poison Control System: Vol. I, Project Results, Conclusions, and Recommendations; Vol. II, Evaluation Framework and Models for performing On-Going Evaluation of the Poison Control System; Vol. III, Tabulation of Performance and Cost Indicators for Individual Centers and Comparison with Reg. and Nat. Averages. Evaluation Study of the Antibiotic Certification Program; final report. Problems in Obtaining and Enforcing Compliance with Good Manufacturing Practices for Drugs. Supervision Over Investigational Use of Selected Drugs.	E00585-007 E00505-010 E00505-013 E00585-004 E00585-006
Inspection, Grading, Classing and Standardization Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	E00299-003
Market Supervision Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation In Some Plants Needs Improvement.	E00299-003
Meat and Poultry Inspection Salmonella in Raw Meat and Poultry: an assessment of the problem.	E00585-008
Medical Devices and Diagnostic Products Evaluation of Status of Standards for Diagnostic Materials. Food and Drug Administration's Investigation of Defective Cardiac Pace-Makers Recalled by the General Electric Company. Public Hazards from Unsatisfactory Medical Diagnostic Products.	E00504-018 E00585-011 E00585-013
Program Management, ASH A Proficiency Test Assessment of Clinical Laboratory Capability in the United States.	E00500-052
Program Management, Bureau of Biologics Problems in Regulating Selected Vaccines.	E00585-003

Food and Drug Administration, PHS**PROGRAM AND AGENCY INDEX**

Program Management, Bureau of Drugs Supervision Over Investigational Use of Selected Drugs.	E00585-006
Program Management, Bureau of Laboratories, CDC A Proficiency Test Assessment of Clinical Laboratory Capability in the United States.	E00500-052
Program Management, Bureau of Radiologic Health Extending Effective Date of the Food and Drug Administration's Diagnostic X-Ray Equipment Standard.	E00585-009
Program Management, FDA A Proficiency Test Assessment of Clinical Laboratory Capability in the United States.	E00500-052
An Incident of Contamination of Livestock Feed and Certain Consumer Products.	E00585-002
Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project; final report.	E00505-014
Evaluation of the FDA Consumer Deputy Program.	E00505-009
Implementation of the Poison Prevention Packaging Act of 1970.	E30400-101
Lack of Authority Limits Consumer Protection: Problems in Identifying and Removing from the Market Products which Violate the Law.	E00585-001
Manpower Management Program, Executive Director of Regional Operations (EDRO), Field Organization, FDA.	E00505-011
Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project Idea 35.	E00505-006
Preliminary Field Resource Allocation Model.	E00505-015
Program Management, Regional Operations Modernizing Techniques of Inspection; Abbreviated Inspection and Reporting; Project Idea 35.	E00505-006
Radiologic Health Extending Effective Date of the Food and Drug Administration's Diagnostic X-Ray Equipment Standard.	E00585-009
Safety Processed Fruits and Vegetables--Potentially Adulterated Products Need to Be Better Controlled--Sanitation in Some Plants Needs Improvement.	E00299-003
Food and Nutrition Service, USDA.	
Child Nutrition Presidential Objective on Child Nutrition Programs.	E00207-008
Direct Distribution of Food A Study of Alternatives to Commodity Donations to Schools.	E00200-008
Assessment of Dietary Adequacy of Program Participants.	E00207-021
Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement.	E00207-020
Implications of Discontinuing USDA Commodity Acquisition and Distribution Activities.	E00200-009
National Survey of Family Food Assistance Participants.	E00207-019
National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.	E00207-003
Phase II Food Survey of Institutions.	E00207-025
Distribution of Food Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota.	E00299-022
Financial Assistance to Counties for Direct Distribution Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota.	E00299-022
Food Stamps Acceptability and Suitability of the Expanded Thrifty Recipe Flyers by Low-Income Families.	E00207-022

PROGRAM AND AGENCY INDEX

Foreign Agricultural Service, USDA

Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota.	E00299-022
Assessment of Dietary Adequacy of Program Participants.	E00207-021
Bonus Food Stamps and Cash Income Supplements.	E00219-008
Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement.	E00207-020
Dual Operation in State of Washington.	E00207-023
Factors Contributing to the Varying Rates of Participation in the Food Stamp Program.	E00299-018
Food Distribution and Food Stamp Program Effects on Nutritional Achievement.	E00207-002
Food Stamps and Nutrition.	E00207-001
National Survey of Family Food Assistance Participants.	E00207-019
National Survey of Food Stamp and Food Distribution Program Recipients: a summary of findings on income sources and amounts and incidence of multiple benefits.	E00207-003
Observations on the Food Stamp Program.	E00299-025
Relationship Between Program Participation and Level of Economic Activity.	E00207-024
General Cash for Food Assistance for Lunches	
Progress and Problems in Achieving Objectives of School Lunch Program.	E00299-021
Non-School Food Program	
An Appraisal of the Special Summer Food Service Program for Children.	E00299-024
Demonstration Project for Summer Special Food Service Program for Children.	E00207-026
Operating Expenses for States for Direct Distribution	
Administration and Effectiveness of Family Food Programs on Selected Indian Reservations in New Mexico and South Dakota.	E00299-022
School Lunches	
An Economic Evaluation of School Lunch Systems.	E00207-016
Comparison of Type A Pattern and Nutrient Standard Approaches to School Food Service Menu Planning.	E00207-012
'Computer Associated Menu Planning (CAMP).'	E00207-018
Cost Structure of the School Lunch Program.	E00207-013
Cost Survey of Foods Purchased by the USDA and Local School Systems.	E00207-009
Factors Affecting Food Habits.	E00207-017
Five County Food Management Improvement Project.	E00207-010
Impact of Price on Participation in NSLP: a summary.	E00207-015
Impact of Price on School Lunch Participation--Washington State.	E00207-014
Racial Composition in the National School Lunch Program.	E00207-011
School Feeding Effectiveness.	E00207-004
The USDA Study on High School Participation in Child Nutrition Programs.	E00207-005
Who's Picking Up the Check for Pennsylvania's School Lunches?	E00207-007
1972 National School Lunch Program Survey.	E00207-006
Special Cash Assistance for Free or Reduced Price Lunches	
Progress and Problems in Achieving Objectives of School Lunch Program.	E00299-021
Special Supplemental Food	
Demand of Low-Income Families for Food, Food Stamps and Nutritional Achievement.	E00207-020
Supplemental Food	
National Survey of Family Food Assistance Participants.	E00207-019
Observations on Evaluation of the Special Supplemental Food Program.	E00299-023
Foreign Agricultural Service, USDA.	
Foreign Market Development and Promotion	
A Follow-Up Study of Attitudes of Participants in U.S. Department of Agriculture-Hotelympia 1974.	E00212-011
Analysis of the Tokyo Trade Center Show.	E00212-005
Evaluation of the Italian Identified Soybean Oil Promotion.	E00212-012
Evaluation Report on Cotton Council International's Promotion of American Cotton Through Japan Cotton Promotion Institution.	E00212-004
Import Demand for Rice in the EEC: Implications of U.S. Market Promotion.	E00212-003

Foreign Agricultural Service, USDA**PROGRAM AND AGENCY INDEX**

Observations Regarding the Promotion of Processed Food Products in Germany and the United Kingdom.	E00212-008
Review of the International Institute for Cotton (IIC) Market Development Program.	E00212-009
Review of the National Renderers Association Program in the Far East.	E00212-007
Review of the Rice Council for Market Development Brand Incentive Program.	E00212-010
Market Intelligence and Commodity Service	
Program Evaluation of the Commodity Intelligence System, Tobacco Division.	E00212-006
P.L. 480	
The Case of P.L. 480, The Side Effects of Foreign Aid: Wheat in Colombia.	E00212-001
P.L. 480-Title II	
Food for Peace: An Evaluation of P.L. 480-Title II.	E00212-002
USDA Programs Directed to Cooperatives	
Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
Forest Service, USDA.	
Cooperation in Forest Management and Processing	
Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies.	E00299-033
Opportunities for Improving Resource Management by Providing Technical Assistance for the Production of Non-Timber Benefits on Private Forest Land.	E00216-001
Survey and Analysis of CFM Forestry Assistance: Management Plans and Timber Marking Assists.	E00216-003
The Forest Products Utilization Program (Its Effectiveness and Future Opportunities).	E00216-002
Cooperation in Forestation and Tree Planting	
Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies.	E00299-033
Forest Harvesting and Engineering Research	
Improvements Needed in Planning Allowable Harvests.	E00299-026
Forest Roads	
Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land.	E00299-027
Forestry Incentives	
Need to Direct Cooperative Forestry Programs Toward Increasing Softwood Sawtimber Supplies.	E00299-033
National Forest Reforestation and Timber Stand Improvement	
More Intensive Reforestation and Timber Stand Improvement Programs Could Help Meet Timber Demand.	E00299-032
National Forest Timber Sales Preparation and Administration	
Additional Actions Needed to Minimize Adverse Environmental Impacts of Timber Harvesting and Road Construction on Forest Land.	E00299-027
Allowable Harvest Determinations on National Forest Lands.	E00299-031
Follow-up on the Need to Resolve Differences in Procedures Used by Federal Timber Management Agencies in Appraising Timber Offered for Sale.	E00299-030
Increased Use of Felled Wood Would Help Meet Timber Demand and Reduce Environmental Damage in Federal Forests.	E00299-028
More Usable Dead or Damaged Trees Should Be Salvaged to Help Timber Demand.	E00299-029
USDA Programs Directed to Cooperatives	
Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
Youth Conservation Corps Pilot Program	
An Evaluation of the 1973 Youth Conservation Corps.	E00700-003
Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974 YCC program.	E00700-005
Post-camp Uses and Effects of YCC Experiences.	E00700-006

Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps.	E00700-004
Youth Conservation Corps Pilot Program, 1972.	E00700-001
Youth Conservation Corps Pilot Program, 1973.	E00700-002
Youth Conservation Corps-Pilot Program 1973.	E00216-004
Youth Conservation Corps Program, 1974.	E00780-010
General Services Administration.	
ADP Activities	
Appraisal of Government-Wide and Internal ADP-Related Activities, General Services Administration.	E03700-006
Banking and Cash Management	
Opportunities for Savings in Interest Cost Through Improved Letter-of-Credit Methods in Federal Grant Programs.	E01280-006
Depot Program	
Management of Federal Supply Service Procurement Programs Can Be Improved.	E03790-005
Disposal of Federal Surplus Real Property	
Changes in Law Recommended to Enable GSA to be More Effective in Selling Excess Properties and in Acquiring Public Building Sites.	E03790-003
More Specific Criteria Needed to Improve Real Property Surveys.	E03790-004
Federal Catalog Program	
The Federal Catalog Program: Progress and Problems in Attaining a Uniform Identification System for Supplies.	E03790-001
Federal Supply Schedule	
Management of Federal Supply Service Procurement Programs Can Be Improved.	E03790-005
Social Buying	
Management of Federal Supply Service Procurement Programs Can Be Improved.	E03790-005
Solid Waste Abatement and Control	
Need for Federal Agencies to Improve Solid Waste Management Practices.	E02390-001
Special Buying	
Management of Federal Supply Service Procurement Programs Can Be Improved.	E03790-005
Geological Survey, Dept. of the Interior.	
Computer Applications Projects	
The Application of a Computerized Environmental Information System to Master and Sector Planning.	E00712-011
Earth Resources Observation Systems (EROS)	
Status and Plans of the Department of the Interior EROS Program.	E00712-012
Geologic and Mineral Resource Surveys and Mapping	
Status and Plans of the Department of the Interior EROS Program.	E00712-012
Outer Continental Shelf Inspection and Enforcement	
First Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-001
Improved Inspection and Regulation Could Reduce Possibility of Oil Spills on the Outer Continental Shelf.	E00792-001
Report of the Work Group on OCS Safety and Pollution Control.	E00712-004
Report of the Work Group on OCS Safety and Pollution Control, May 1973.	E00712-005
Report of the Work Group on OCS Safety and Pollution Control, May 1973.	E00712-006
Second Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-002
Third Report of the Review Committee on Safety of Outer Continental Shelf Petroleum Operations to the United States Geological Survey.	E00712-003

Geological Survey, Dept. of the Interior

PROGRAM AND AGENCY INDEX

San Francisco Bay Region Environment and Resources Planning Study
Use of USGS Earth Science Products by City Planning Agencies in the San Francisco Bay Region, California. E00712-009

Water Resources Investigations
Status and Plans of the Department of the Interior EROS Program. E00712-012

Government National Mortgage Association.

Housing Management
Certain Aspects of Internal Audit Coverage Need Improvement at Federal National Mortgage Association's Regional Offices. E00698-001

Health Resources Administration, PHS.

Comprehensive Health Planning--Areawide Grants
A Feasibility Study of Integrating Federally Funded Local Health Services. E00500-038

Comprehensive Health Planning--Grants to States
A Feasibility Study of Integrating Federally Funded Local Health Services. E00500-038

Health Facilities Construction Grants
Capital Financing Study. E00500-061

Health Services Research and Development--Grants and Contracts
An Evaluation of the Effects of Hospital Cost Finding Procedures on the Determination of Ambulatory Service Costs. E00506-102

Program Management, HRA
A Study of HSMHA Site Assessment Procedures. E00506-082
A Study of the Systems Development Project. E00506-066
An Analysis of Actual and Potential Uses of Nursing Homes. E00506-049
An Evaluation of Deficiencies in Program Study Designs. E00506-106
Audit of Minority Reporting System. E00506-032
Can University Extension Run Consumer Health Education Programs? An Evaluative Study of Four Demonstrations and Four Controls. E00506-050
Catalog of Evaluation Projects, Fiscal Years 1969-1973 HEW, HSMHA. E00506-061
Evaluation of Consumer Health Training and Education Programs. E00506-042
Evaluation/Decisionmaking in Health Planning and Administration. E00506-080
Perceptions of Decentralization in Two Regions of the Health Services and Mental Health Administration. E00506-043

Regional Medical Program Grants
An Analysis of Actual and Potential Uses of Nursing Homes. E00506-049

Health Services Administration, PHS.

Community Health Centers
Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP). E00507-060
Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module. E00506-075
Medical Clinics Manpower Utilization. E00500-054
Staffing and Efficiency of Drug Treatment Programs. E00506-074
The Role of Health Services Administrator. E00507-086

Division of Hospitals and Clinics, PHS
Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments. E00507-055

Family Health Centers
Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module. E00506-075
Staffing and Efficiency of Drug Treatment Programs. E00506-074

HSA		
	Reference Manual on Title XIX (Social Security Act).	E00507-057
Health Maintenance Organization Service		
	Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organizations and Community Health Networks.	E00587-007
	The Role of Health Services Administrator.	E00507-086
Health Manpower and Training		
	Analysis of Factors Influencing Physician Retention, Location of Practice and Related Assignments.	E00507-055
	Medical Clinics Manpower Utilization.	E00500-054
Management, HSA		
	A Study of the Systems Development Project.	E00500-004
	Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration.	E00500-104
Maternal & Child Health		
	A Study of the Systems Development Project.	E00500-004
Medicaid		
	An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00507-047
	Reference Manual on Title XIX (Social Security Act).	E00507-057
Medicare		
	An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00507-047
	Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organizations and Community Health Networks.	E00587-007
Program Management, ADAMHA		
	Review of Research Administration by the Division of Mental Health Service Programs, Health Services and Mental Health Administration.	E00500-104
Program Management, HSA		
	An Economic Analysis of HSA Program Potential FY 1976 to FY 1981.	E00507-075
	An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00507-047
	Analysis of Reimbursement of Health Services Administration Comprehensive Health Centers Under Comprehensive Health Insurance Plan (CHIP).	E00507-060
	Evaluation Strategy and Plan for Fiscal Year 1975 (Health Services Administration).	E00507-072
	Forward Plan for the Health Services Administration, F.Y. 1977-81.	E00507-069
	Initial Manpower Management Program (MMP).	E00507-056
	Issue Paper on Impact of Evaluation Studies on Policy.	E00507-042
	Support for Forward Plan Preparation (FY 1976 to FY 1980) National Health Insurance Impact on HSA Activities.	E00507-076
	The Role of Health Services Administrator.	E00507-086
	User Manual: HSA work planning and monitoring system.	E00507-040
	Work Planning and Monitoring System User Manual (Health Services Administration).	E00507-074
Program Management, MSA		
	Reference Manual on Title XIX (Social Security Act).	E00507-057
Program Management, NCHSR		
	Comprehensive Health Care Information System. Mark II: Family Health Worker and Mid-Level Practitioner Module.	E00506-075
	Staffing and Efficiency of Drug Treatment Programs.	E00506-074
Housing Management, HUD.		
College Housing		
	College Housing Loan.	E00600-132
	College Housing Management.	E00600-129
	Default Counseling.	E00600-005

Counseling Assistance	
Evaluation of the Operation of Concentrated Default Counseling.	E00604-027
Evaluation of Housing Management Goals Management System.	E00604-029
Housing	
Evaluation of Housing Management Goals Management System.	E00604-029
Interest Reduction Payments	
Department of Housing and Urban Development Should Reduce Handling Fees Paid to Mortgagees Servicing Subsidized Mortgages.	E00693-023
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.	E00693-003
Interest Subsidy	
Department of Housing and Urban Development Should Reduce Handling Fees Paid to Mortgagees Servicing Subsidized Mortgages.	E00693-023
Observation on Program to Repair Existing Houses Insured under the Section 235 Program--District of Columbia Area Office.	E00693-010
Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.	E00693-004
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.	E00693-003
Loan Management	
Evaluation of Housing Management Goals Management System.	E00604-029
Low-to-Moderate Income Housing Loans	
Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.	E00693-004
Mortgage Insurance--Homes	
Department of Housing and Urban Development's 'as is' Sales Program in Flint, Michigan.	E00693-021
Disposal of Acquired Properties in Detroit, Michigan: progress and problems.	E00693-015
Opportunities for Energy Conservation in Federally Insured Homes by Revising Current Thermal Standards and Establishing Additional Standards Where Necessary.	E00693-013
Processes for Approving and Monitoring Nonsupervised Mortgages.	E00693-011
Summary Information on HUD-Held Multifamily Mortgages.	E00693-020
Mortgage Insurance--Homes in Urban Renewal Areas	
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.	E00693-016
Mortgage Insurance--Nursing Homes	
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.	E00693-016
Mortgage Insurance--Rental Housing	
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.	E00693-016
Mortgage Insurance--Rental Housing for the Elderly	
Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages.	E00693-016
Multi-Family Mortgage	
Loan Management Functions on Multifamily Projects.	E00600-135
Program Management	
Observations on Operation of National Capital Housing Authority.	E00694-003
Program Management, HUD	
Ineffective HUD Monitoring of Indian Housing Authorities' Activities.	E00694-008
Local Housing Authorities Can Improve Their Operations and Reduce Dependence on Operating Subsidies.	E00694-012

PROGRAM AND AGENCY INDEX**Housing Production and Mortgage Credit/FHA****Property Disposition**

Area Managers Activities. E00600-133
Disposition of Acquired Single Family Properties. E00600-131
Evaluation of Housing Management Goals Management System. E00604-029
Multifamily Acquired Properties Rehabilitation/Disposition. E00600-134
Single-Family Property Disposition. E00600-003

Public Housing

Evaluation of HUD-Mandated Model Lease and Grievance Procedures. E00604-028
Management Performance in Public Housing. E00604-026
Target Problem Projects Evaluation. E00604-030

Public Housing--Acquisition

The Low Rent Housing Project on Quebec Road in Cincinnati. E00692-999

Public Housing--Homeownership for Low Income Families

Turnkey III--Homeownership. E00600-106

Weaknesses in Administration of the Home-Ownership Program for Low-Income Families. E00693-000

Public Housing--Leased

Analyses of the Causes of the Growth in Public Housing Operating Subsidies. E00600-002
Independent Public Accountant Services. E00600-083
Leased Housing Program. E00600-077

Local Housing Authorities Can Improve Their Operations and Reduce Dependence on Operating Subsidies. E00694-012

Low Rent Occupancy. E00600-105

Operating Subsidies--Low Rent Housing Programs. E00600-136

Reports on Rentals. E00600-130

Tenant Eligibility. E00600-080

Public Housing--Modernization of Projects

Benefits Could Be Realized by Revising Policies and Practices for Acquiring Existing Structures for Low Rent Public Housing. E00694-001

Liquidation of Public Works Planning Advances. E00600-102

Low Rent Housing Maintenance and Engineering. E00600-079

Low Rent Housing Modernization Project. E00600-082

Need for HUD to Collect Excessive Funds Provided to a Housing Authority. E00694-006

Repairs--Single Family Acquired Property. E00600-078

Review of Modernization Program for Low-Rent Public Housing. E00694-002

Servicing Problem Projects. E00600-081

Housing Production and Mortgage Credit/FHA.**Mortgage Insurance--Homes in Urban Renewal Areas**

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016

Mortgage Insurance--Nursing Homes

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016

Mortgage Insurance--Rental Housing

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016

Mortgage Insurance--Rental Housing for the Elderly

Improvements Needed in the Overall Management of HUD-Held Multifamily Mortgages. E00693-016

Public Housing--Leased

Analyses of the Causes of the Growth in Public Housing Operating Subsidies. E00600-002

Housing Production and Mortgage Credit/FHA, HUD.

Housing, Guaranteed and Insured Loans Ways to Improve Management of Acquired Residential Properties.	E00694-004
Housing Production and Mortgage Credit Assessment of Programs Assigned to Assistant Secretary for Housing Production and Mortgage Credit.	E00603-031
Interest Reduction Payments Department of Housing and Urban Development Should Reduce Handling Fees Paid to Mortgagees Servicing Subsidized Mortgages.	E00693-023
Opportunities to Improve Effectiveness and Reduce Costs of Rental Assistance Housing Programs.	E00693-005
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.	E00693-003
Interest Subsidy Department of Housing and Urban Development Should Reduce Handling Fees Paid to Mortgagees Servicing Subsidized Mortgages.	E00693-023
Observation on Program to Repair Existing Houses Insured under the Section 235 Program--District of Columbia Area Office.	E00693-010
Opportunity for Reducing Interest Costs Under Sections 235 and 236 Housing Programs.	E00693-003
Interest Subsidy--Acquisition and Rehabilitation Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.	E00693-004
Low-to-Moderate Income Housing Loans Differences in Costs of Purchasing Houses in Seven States through the Departments of Housing and Urban Development and Agriculture.	E00693-022
Opportunities to Improve Effectiveness and Reduce Costs of Homeownership Assistance Programs.	E00693-004
Mortgage Insurance The Changing Role of FHA Mortgage Insurance in the Mortgage Market and the Secondary Market.	E00600-025
Mortgage Insurance--Homes Department of Housing and Urban Development's 'as is' Sales Program in Flint, Michigan.	E00693-021
Differences in Costs of Purchasing Houses in Seven States through the Departments of Housing and Urban Development and Agriculture.	E00693-022
Disposal of Acquired Properties in Detroit, Michigan: progress and problems.	E00693-015
Opportunities for Energy Conservation in Federally Insured Homes by Revising Current Thermal Standards and Establishing Additional Standards Where Necessary.	E00693-013
Processes for Approving and Monitoring Nonsupervised Mortgages.	E00693-011
Summary Information on HUD-Held Multifamily Mortgages.	E00693-020
Ways to Improve Management of Acquired Residential Properties.	E00694-004
Mortgage Insurance--Housing in Older, Declining Areas An Inquiry into the Relative Emphasis of Program Policies on Housing Needs in the Suburbs and in Older Declining Areas.	E00600-018
The Effects of FHA Activity in Older, Urban, Declining Areas: a review of existing, related analysis.	E00600-010
Mortgage Insurance--Land Development and New Communities Title X Land Development.	E00600-006
Mortgage Insurance--Rental Housing Multi-Family Default Study.	E00600-004
Mortgage Insurance--Rental Housing for the Elderly Certain Aspects of the Contract Award for a Low Rent Housing Project for the Elderly in Rock Island, Illinois.	E00693-008
HUD's Comparison of Costs under Sections 202 and 236 for Housing Projects for the Elderly.	E00693-001

PROGRAM AND AGENCY INDEX

Housing Production and Mortgage Credit, HUD

National Housing Act, as amended (P.L. 90-448) Weaknesses in Administration of the Program to Correct Defects in Housing Insured under the Section 235 Program.	E00693-025
Program Management, HUD Monitoring of Housing and Urban Development Investigation of the Pueblo of Laguna Housing Authority.	E00694-011
Public Housing Evaluation of HUD-Mandated Model Lease and Grievance Procedures. Management Performance in Public Housing. Target Problem Projects Evaluation.	E00604-028 E00604-026 E00604-030
Public Housing--Acquisition, Construction, Rehabilitation Housing for the Elderly--Factors Which Should be Evaluated Before Deciding on Low- or High-Rise Construction.	E00693-024
Inquiry into the Construction of Housing Projects on the Blackfeet Indian Reservation.	E00694-009
Monitoring of Housing and Urban Development Investigation of the Pueblo of Laguna Housing Authority.	E00694-011
The Low Rent Housing Project on Quebec Road in Cincinnati.	E00692-999
Public Housing--Home Ownership Problems in the Homeownership Opportunities Program for Low Income Families (HOPLIF).	E00693-018
Public Housing--Homeownership for Low Income Families Weaknesses in Administration of the Home-Ownership Program for Low-Income Families.	E00693-000
Veterans Housing--Direct Loans and Advances Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.	E00693-002
Veterans Housing--Guaranteed and Insured Loans Opportunities to Reduce Costs in Acquiring Properties from Resulting Defaults on Home Loans.	E00693-002
Housing Production and Mortgage Credit, HUD.	
Mobile Home Loan Insurance--Financing Purchase of Mobile Homes as Principal Residence Title I Property Improvement and Mobile Home Loans.	E00600-125
Mortgage Insurance Government National Mortgage Association (GNMA) Mortgage-Backed Securities.	E00600-121
Mortgage Insurance--Homes Mortgagees' Origination Costs. New Construction--Single-Family Accelerated Subdivision Processing. Residential Subdivision Processing. Single Family Appraisal/Inspection.	E00600-075 E00600-098 E00600-122 E00600-095
Mortgage Insurance--Homes for Low and Moderate Income Families Multi-Family Mortgage Insurance Programs--Feasibility Processing to Final Endorsement.	E00600-126
Mortgage Insurance--Homes in Urban Renewal Areas Multi-Family Mortgage Insurance Programs--Feasibility Processing to Final Endorsement.	E00600-126
Mortgage Insurance--Mobile Home Parks Mobile Home Courts--Application Processing. Mobile Home Parks Underwriting Procedures.	E00600-097 E00600-076
Mortgage Insurance--Nursing Homes and Related Care Facilities Mortgage Insurance for Nursing Homes--Section 232.	E00600-124

Multi-Family Mortgage

Delays in Final Endorsement.
Multi-Family Application Processing.
Operations of a Special Mortgagor.

E00600-123
E00600-096
E00600-100

Program Management, HUD

Slow Progress of Federal and State Housing Programs in Meeting Housing Needs in Rural Alaska.

E00694-007

Property Improvement

Project Rehabilitation.

E00600-099

Public Housing--Leased

Local Housing Authorities Can Improve Their Operations and Reduce Dependence on Operating Subsidies.

E00694-012

Immigration and Naturalization Service, Dept. of Justice.

Adjudications

Better Controls Needed to Prevent Foreign Students from Violating the Conditions of Their Entry and Stay in the U.S.

E00889-004

Certain Activities of the N.Y. District Office of the Immigration and Naturalization Service, Department of Justice.

E00889-003

More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States.

E00889-002

Need to Reduce Public Expenditures for Newly Arrived Immigrants and Correct Inequity in Current Immigration Law.

E00889-005

Border Patrol

A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice.

E00809-001

A Secure Border; An Analysis of Issues Affecting the U.S. Department of Justice.

E00800-013

More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States.

E00889-002

Detention and Deportation

More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States.

E00889-002

Immigration and Naturalization

Establishment of Operational Priorities--FY 1975.
Immigration and Naturalization Service; Critical Needs.

E00809-003
E00809-002

Immigration Programs

Board of Immigration Appeals: a discussion and recommendations for change.

E00800-006

Inspection for Admission into the United States

A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports.

E00889-001

Better Controls Needed to Prevent Foreign Students from Violating the Conditions of Their Entry and Stay in the U.S.

E00889-004

Certain Activities of the N.Y. District Office of the Immigration and Naturalization Service, Department of Justice.

E00889-003

More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States.

E00889-002

Need to Reduce Public Expenditures for Newly Arrived Immigrants and Correct Inequity in Current Immigration Law.

E00889-005

Investigating Aliens' Status

Certain Activities of the N.Y. District Office of the Immigration and Naturalization Service, Department of Justice.

E00889-003

More Needs to be Done to Reduce the Number and Adverse Impact of Illegal Aliens in the United States.

E00889-002

Program Management, Immigration and Naturalization

A Review of the Organization of I&NS Regional Offices.

E00809-004

Indian Health Service, HSA.**Indian Health Service**

A Comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209
A Study of Maternal and Infant Care of Indian and Native Alaskan Americans.	E00507-087
Assessment of the Unmet Needs of the Indian Health Service.	E00507-071
Community Health Medic Evaluation.	E00507-025
Establishment of a Contract Medical Care.	E00507-022
Evaluation of the Indian Health Service Unmet Needs Estimating Methodology.	E00507-065
Final Report of the Evaluation of the Oklahoma City Area Indian Health Services Offices.	E00507-088
Health Program Evaluation Project No. HSM 85-73-116, Fort Belknap Indian Reservation, Fort Belknap Agency, Harlem, Montana.	E00507-050
Indian Health Service Upward Mobility.	E00507-058
Menominee Tribal Health Care Program Planning.	E00507-068
National Indian Health Board: Consumer Evaluation of Indian Health Services.	E00507-030
Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
Preventive Health Programs (Part I): The Dynamic Impact of Family Planning on the American Indian Population and Health Resource Requirements.	E00507-018
Preventive Health Programs (Part II): Tuberculosis Control Planning Models.	E00507-019
Review of Selected Aspects of the Administration of the Indian Health Service, Health Services Administration.	E00500-106
The Expectations and Commitments of HPSC--OPSAM (Health Program Systems Center--Operations Systems Analysis Module): A documentary review.	E00507-017

Indian Health Services

FY 1974 Evaluation Plan: Indian Health Services, Health Services and Mental Health Administration.	E00500-053
Progress and Problems in Providing Health Services to Indians.	E00587-003

Medicaid

Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
---	------------

Medicare

Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
---	------------

Program Management, HSA

Indian Health Service Upward Mobility.	E00507-058
--	------------

Program Management, OE

A Comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209
---	------------

Program Management, SRS

A Comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209
---	------------

Labor Management Services Administration, DOL.**Labor Organization Reports**

Evaluation of the LMSA--Management Information System.	E00903-001
--	------------

Labor-Management Relations Services

Evaluation of the LMSA--Management Information System.	E00903-001
--	------------

Veterans Reemployment Rights

Evaluation of the LMSA--Management Information System.	E00903-001
--	------------

Welfare and Pension Plan Reports

Evaluation of the LMSA--Management Information System.	E00903-001
--	------------

Law Enforcement Assistance Administration, Dept. of Justice.**Criminal Justice--Statistics Development**

Development of a Nationwide Criminal Data Exchange System--Need to Determine Cost and Improve Reporting.	E00891-001
Letter Report on Computerized Criminal History Information.	E00891-005

Law Enforcement Assistance--Discretionary Grants	
Letter Report on Administration of Discretionary Grant Program by LEAA.	E00891-002
Pilot Cities Program: Phaseout Needed Due to Limited National Benefits.	E00891-012
Law Enforcement Assistance--Educational Development	
Problems in Administering Programs to Improve Law Enforcement Education.	E00891-015
Law Enforcement Assistance--Improving and Strengthening Law Enforcement and Criminal Justice	
Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime.	E00891-006
Federal Guidance Needed if Halfway Houses are to be a Viable Alternative to Prison.	E00891-014
Federally Supported Attempts to Solve State and Local Court Problems: More Needs to be Done.	E00891-007
How Federal Efforts to Coordinate Programs to Mitigate Juvenile Delinquency Proved Ineffective.	E00891-013
Long Term Impact of Law Enforcement Assistance Grants Can Be Improved.	E00891-011
Progress in Determining Approaches Which Work in the Criminal Justice System.	E00891-010
Report on Administration of the Program to Reduce Crime in Minnesota.	E00891-004
Law Enforcement Assistance--Internships	
Problems in Administering Programs to Improve Law Enforcement Education.	E00891-015
Law Enforcement Assistance--National Crime Information Center	
Letter Report on Computerized Criminal History Information.	E00891-005
Law Enforcement Assistance--Technical Assistance	
Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime.	E00891-006
Federal Guidance Needed if Halfway Houses are to be a Viable Alternative to Prison.	E00891-014
Federally Supported Attempts to Solve State and Local Court Problems: More Needs to be Done.	E00891-007
Law Enforcement Assistance--Training	
Law Enforcement Training: an analysis of current and future requirements.	E00800-009
Law Enforcement Education Program--Student Financial Aid	
Letter Report on Administration of the Law Enforcement Education Program.	E00891-003
Law Enforcement Education--Student Financial Aid	
Problems in Administering Programs to Improve Law Enforcement Education.	E00891-015
Law Enforcement Research and Development--Graduate Research Fellowships	
Problems in Administering Programs to Improve Law Enforcement Education.	E00891-015
Law Enforcement Research and Development--Project Grants	
Difficulties of Assessing Results of Law Enforcement Assistance Administration Projects to Reduce Crime.	E00891-006
Manpower Administration, Dept. of Labor.	
Apprenticeship Training	
Evaluation of the National Ironworkers and Employers Training Program.	E00902-001
Evaluation of the Pilot Accelerated Apprenticeship Program.	E00902-002
Community Services Training Grants	
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.	E00500-212
Comprehensive Employment and Training	
An Impact Evaluation of the Public Employment Program.	E00900-002
Econometric Estimation of the Macro Impact of Public Employment and Public Spending.	E00900-009
Evaluating the Impact of CETA (Comprehensive Employment and Training Act) Program on Participants' Earnings: Methodological Issues and Problems.	E00900-037
Evaluation Questions Under the Comprehensive Employment and Training Act of 1973.	E00900-038
Manpower Program Follow-up Systems.	E00900-026
National Academy of Science Critical Review of the Use of Social Security Data to Estimate the Effect of Manpower Programs.	E00900-006
Some Evidence on the Effect of Manpower Training Programs on the Black/White Wage Differential.	E00900-004

PROGRAM AND AGENCY INDEX

Manpower Administration, Dept. of Labor

Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns.	E00900-008
Comprehensive Employment and Training Programs	
Analysis of Social Security Data to Estimate the Impact of Manpower Programs.	E00900-005
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.	E00500-212
Concentrated Employment Program	
Cost Effectiveness Evaluation of the Urban Concentrated Employment Program.	E00902-022
Employment Service	
Analysis of COMO (Comprehensive Model) Follow-up Data.	E00902-011
Evaluation of Effectiveness and Results of LINCS (Labor Inventory Communication System).	E00902-014
Evaluation of ES (Employment Service) Technical Services Activity.	E00902-009
Evaluation of the Smaller Communities Program.	E00902-010
Evaluation of Work Registration Activities Under the Food Stamp Program.	E00902-038
Evaluation Study of Model Ex-Offender Program, Phase III.	E00902-012
Evaluation Study of the Counselor Training Program.	E00902-032
Evaluation Study of the Mandatory Listing Activity.	E00902-020
Evaluation Study of the Technology Mobilization and Reemployment Program.	E00902-019
Evaluation Study of the Work Sample Activity.	E00902-027
Studies of: a. Determinants of MDTA Trainee Completion; b. Previous Job Banks Evaluations; c. Implicit Tax Rates of UI and Its Effect on Employment Patterns.	E00900-008
Survey of State ES Experiences in Following Up MA Program Clients.	E00902-028
The Influence of Government Antidiscrimination Programs; Actual vs. Potential Impact.	E00900-040
Employment Service Job Bank System	
Job Bank Evaluation.	E00902-039
Health Manpower and Training	
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.	E00500-212
Indian Programs	
Evaluation of MA Services and Supportive Services to American Indians on Reservations.	E00902-033
Institutional Training	
Comparative Study of MDTA Institutional Training in Community Colleges, Public Vocational Schools and Private Institutions.	E00902-017
Evaluating the Impact of MDTA Programs on Earnings under Varying Labor Market Conditions.	E00902-016
Job Corps	
Evaluation of Pre and Post-Enrollment Services to Job Corps Enrollees.	E00902-025
Evaluation Study of Job Corps Residential Support Centers.	E00902-021
Job Development	
Evaluation of Job Development in SMSA.	E00902-029
Manpower Research and Development Projects	
An Econometric Analysis of the Costs of Selected Manpower Programs: final report.	E00900-032
Mexican-American Programs	
Evaluation of MA and Supportive Services to Mexican Americans.	E00902-034
Migrant/Seasonal Farmworkers	
An Evaluation of the Arizona Job College.	E00902-007
Evaluation of the High School Equivalency Programs for Seasonal Farmworkers.	E00902-004
Migrant Workers	
Evaluation of the National Migrant Worker Program.	E00902-040
On-the-Job Training	
Study of Impact of Program Variables Upon Effectiveness of MDTA-OJT and Institutional Training Programs on Earnings.	E00902-037
Pilot Comprehensive Manpower Program	
Analysis of Early Phase Problems of Comprehensive Manpower Program.	E00902-023
Evaluation Study of the Developmental Stages of the Comprehensive Manpower Program.	E00902-008
Pre-Trial Intervention	
Evaluation of the Pre-Trial Intervention Program.	E00902-043

Prisoner Training		
Evaluation Study of MDTA--Section 241 Program.		E00902-015
Public Assistance, State and Local Training		
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.		E00500-212
Public Employment Program		
An Evaluation of the Economic Impact Project of the Public Employment Program.		E00902-005
Design and Development of a Data System for PEP (Public Employment Program).		E00902-024
Evaluation of PEP (Public Employment Program) Welfare Demonstration Project.		E00902-044
Evaluation of the Impact of PEP (Public Employment Program) on the Public Sector.		E00902-041
Evaluation of the Public Employment Program for Reservation Indians.		E00902-006
Longitudinal Evaluation of PEP (Public Employment Program) and Validation of the PEP Data Bank.		E00902-042
Survey of Public Employment Terminations.		E00902-018
12 Area Study (PEP)(Public Employment Program).		E00902-045
Training		
Evaluating the Impact of MDTA Programs on Earnings under Varying Labor Market Conditions.		E00902-016
Study of Impact of Program Variables Upon Effectiveness of MDTA-OJT and Institutional Training Programs on Earnings.		E00902-037
Veterans Programs		
Evaluation of Special Manpower Services to Veterans.		E00902-035
Impact Analysis of MDTA Project Transition.		E00902-036
Work Incentives		
An Econometric Model of the Low-Skill Labor Market and Employment and Wage Effects of the WIN Tax Credit.		E00900-007
Design and Evaluation of Innovative Staffing Pattern for WIN Child Care Establishments.		E00902-026
Evaluation of Child Care Services.		E00902-031
Evaluation of Supportive Services Provided for Participants of Manpower Programs.		E00902-030
Further Evidence on the Impact of the WIN II Program.		E00900-041
Longitudinal Evaluation of WIN Program.		E00902-013
The Evaluation of the WIN II Program: methodological issues and some initial results.		E00900-001
Validation and Evaluation of the Impact of the WIN II Data System.		E00902-003
Work Incentives--Child Care		
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.		E00500-212
Maritime Administration, Dept. of Commerce.		
Capital Construction Funds		
Government Support of the Shipbuilding Industrial Base.		E00399-005
Cargo Preference Program		
Report on Audit of the Cargo Preference Program, Maritime Administration.		E00300-004
Construction-Differential Subsidies		
Government Support of the Shipbuilding Industrial Base.		E00399-005
Market Development		
Report on Review of Market Development Activities, Maritime Administration.		E00300-016
Pollution Abatement		
Report on Audit of Selected Pollution Abatement Activities, Maritime Administration.		E00300-012
Reduction of Subsidies		
Maritime Policy Study.		E00300-025
Ship Transfer Program		
Report on Audit of the Ship Transfer Program, Maritime Administration.		E00300-006
Medical Services Administration, SRS.		
HSA		
Reference Manual on Title XIX (Social Security Act).		E00507-057

PROGRAM AND AGENCY INDEX

National Cancer Institute, NIH

Medicaid	
Reference Manual on Title XIX (Social Security Act).	E00507-057
Medical Assistance	
Analysis of Medicaid Buy-In Experience.	E00509-015
Analysis of Medicaid Spend-Down Experience.	E00509-004
Comparison of State Agency and Fiscal Agent Performance in Medicaid.	E00509-013
Cost Benefit of Utilization Review Techniques in Medicaid.	E00509-014
Improvements Needed in Medicaid Program Management Including Investigations of Suspected Fraud and Abuse.	E00589-009
Improvement Needed in the Administration of the Program to Provide Medicare Benefits for Welfare Recipients.	E00589-005
Improvements Needed to Speed Implementation of Medicaid's Early and Periodic Screening, Diagnosis and Treatment Program.	E00589-007
Issues in Medicaid.	E00509-048
Many Medicare and Medicaid Nursing Homes Do Not Meet Federal Fire Safety Requirements.	E00589-008
Medicaid in California: a descriptive analysis.	E00509-044
Outpatient Health Care in Inner Cities: Its Users, Services, and Problems.	E00589-010
Study of Cost Differentials Between Skilled Nursing Facilities and Intermediate Care Facilities.	E00509-006
Survey of Title XIX Long-Term Care Facilities and Patients, volume I.	E00509-005
Utilization of Ambulatory Care.	E00509-030
Program Management, MSA	
Reference Manual on Title XIX (Social Security Act).	E00507-057
National and Rehabilitation Service, HEW.	
Medical Assistance Program	
Medicaid Study--Public Spending for Personal Medical Care: An Analysis of the Utilization, Cost, and Quality of Care.	E00506-104
National Agricultural Library, USDA.	
National Agricultural Library	
Evaluation of the TRI-X Regional Document Delivery Service.	E00217-001
The Delivery of Library and Information Services to USDA Research Personnel In the Field.	E00217-002
National Bureau of Standards, Dept. of Commerce.	
Environmental Pollution Measurement	
Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.	E00300-007
Experimental Technology Incentives Program	
Report on Audit of Experimental Technology Incentives Program, National Bureau of Standards.	E00300-017
National Standard Reference Data System	
Report on Audit of Selected Aspects of the Environmental Pollution Measurement Programs, National Bureau of Standards.	E00300-007
Report on Audit of the Office of Standard Reference Data, National Bureau of Standards.	E00300-009
National Cancer Institute, NIH.	
Biomedical Scientific Publications	
Cancer Chemotherapy Abstracts/Cancer Chemotherapy Reports Evaluation.	E00508-039
Cancer Research Manpower	
Evaluation of the National Cancer Institute Associate Program by Former, Current, and Potential Associates.	E00508-036
Follow-up Survey of National Cancer Institute Postdoctoral Fellows (and) Follow-up Survey of National Cancer Institute Graduate Research Trainees; final report.	E00508-029
Cancer Treatment Research	
Cancer Chemotherapy Abstracts/Cancer Chemotherapy Reports Evaluation.	E00508-039

Health Manpower and Training

- Doctoral Scientists and Engineers in the U.S.: 1973 Profile. E00508-040
 Evaluation of the National Cancer Institute Associate Program by Former, Current,
 and Potential Associates. E00508-036
 Follow-up Survey of National Cancer Institute Postdoctoral Fellows (and) Follow-up
 Survey of National Cancer Institute Graduate Research Trainees; final report. E00508-029
 Summary Report 1973: Doctorate Recipients from U.S. Universities. E00508-042

Program Management, NCI

- Cancer Chemotherapy Abstracts/Cancer Chemotherapy Reports Evaluation. E00508-039

National Cemetery System, VA.**National Cemeteries**

- Organization: Placement and Functions of the National Cemetery System in the VA. E06504-004

National Center for Health Services Research, HRA.**Community Health Centers**

- Comprehensive Health Care Information System. Mark II: Family Health Worker and
 Mid-Level Practitioner Module. E00506-075
 Staffing and Efficiency of Drug Treatment Programs. E00506-074

Emergency Medical Services Systems Research

- Evaluation of the Emergency Medical Services Demonstration Projects. E00506-103

Family Health Centers

- Comprehensive Health Care Information System. Mark II: Family Health Worker and
 Mid-Level Practitioner Module. E00506-075
 Staffing and Efficiency of Drug Treatment Programs. E00506-074

Health Manpower Education Initiative Awards

- Evaluation of the Impact of Physician Extender Algorithms on Productivity. E00506-089

Program Management, BHM

- Installing and Validating a Data Collection and Analysis System for the Uniform
 Health Manpower Evaluation. E00506-045

Program Management, NCHSR

- Comprehensive Health Care Information System. Mark II: Family Health Worker and
 Mid-Level Practitioner Module. E00506-075
 Evaluation of the Experimental Medical Care Review Organization (EMCRO) Pro-
 grams. E00506-051
 Evaluation of the Uniform Hospital Discharge Abstract Site Test. E00506-053
 Installing and Validating a Data Collection and Analysis System for the Uniform
 Health Manpower Evaluation. E00506-045
 National Evaluation of Mid-Level Health Workers--Task Profile Analysis Medex, Pri-
 mex, and Preceptors. E00506-065
 Staffing and Efficiency of Drug Treatment Programs. E00506-074

National Center for Health Statistics, HRA.**National Health Statistics**

- Evaluation of Current Practices and Methods in Utilization of Data for Health Pro-
 gram Planning Management and Evaluation--An Evaluation of the Uses of Data
 From State Health Interview Surveys. E00506-100
 Evaluation of Non-Response in the Health and Nutrition Examination Survey
 (HANES); Part I: Development and Evaluation of Imputation Procedures for
 HANES; Part II: Study of Factors Leading to Participation and Non-Participation
 in HANES. E00506-092
 Final Report of the NCHS-CPRGU Planning Meetings for the National Survey of
 Family Growth, Washington, D.C., July 1971-October 1972. E00506-039
 Follow-up of Non-Respondent Mothers and Editing, Coding, and Key-Taping of Re-
 cords in the 1972 National Birth Survey. E00506-079
 Health Statistics Today and Tomorrow. E00506-038
 Hospital Discharge Evaluation Project. E00506-036

PROGRAM AND AGENCY INDEX**National Institute for Occupational Safety and Health, CDC**

Prepare Natality Data and Evaluate OCR Hardware and Techniques; final report. Study of Response Errors in the Health Interview Survey.	E00506-040 E00506-037
National Heart and Lung Institute, NIH.	
Heart and Vascular Diseases The Totally Implantable Artificial Heart.	E00508-028
National Highway Traffic Safety Administration, DOT.	
State and Community Highway Safety Alcohol Safety Action Project: evaluation of operations. Alcohol Safety Action Projects: evaluation of operations, 1973. Assessment of Selected State and Community Programs. Contributions of Advisory Groups to Federal Motor Vehicle and Traffic Safety Programs. Delays in Establishing a Uniform Quality Grading System for Motor Vehicle Tires. Evaluation of Motor Vehicle Safety Standards. For Safer Motor Vehicles--More Effective Efforts Needed to Insure Compliance with Federal Safety Standards. Improvements Needed in Planning and Using Motor Vehicle Safety Research. Need to Improve Benefit-Cost Analyses in Setting Motor Vehicle Safety Standards. The Auto Safety Program: Identifying Defects and Recalling Defective Vehicles.	E01107-002 E01107-003 E01107-001 E01197-004 E01197-006 E01107-004 E01197-001 E01197-003 E01197-002 E01197-005
National Institute for Occupational Safety and Health, CDC.	
CDC Investigations, Surveillance and Technical Assistance A Systematic Approach to the Evaluation of Drug Programs at the National Institute of Mental Health. Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners. Evaluation of National Institute for Occupational Safety and Health Short Term Training Program. Evaluation of the National Institute of Occupational Safety and Health Query and Answer Technical Information Service. Organizational Evaluation and Information Needs Study. Program Operational Planning. Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places.	E00503-023 E00504-011 E00504-016 E00504-009 E00504-014 E00504-015 E00599-006
Occupational Safety and Health Research Grants Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners.	E00504-011
Occupational Safety and Health Training Grants Evaluation of National Institute for Occupational Safety and Health Short Term Training Program.	E00504-016
Program Management, CDC Organizational Evaluation and Information Needs Study.	E00504-014
Program Management, NIOSH Evaluation of the National Institute of Occupational Safety and Health Query and Answer Technical Information Service. Evaluation of Methods for Setting Occupational Health Standards for Uranium Miners. Follow-up on Implementation of the Federal Coal Mine Health and Safety Act of 1969. Organizational Evaluation and Information Needs Study. Program Operational Planning. Slow Progress Likely in Development of Standards for Toxic Substances and Harmful Physical Agents Found in Work Places.	E00504-009 E00504-011 E00599-005 E00504-014 E00504-015 E00599-006

National Institute of Arthritis and Metabolic Disease, NIH**PROGRAM AND AGENCY INDEX****National Institute of Arthritis and Metabolic Disease, NIH.**

Diabetes, Endocrinology, and Metabolism
Evaluation of the National Pituitary Agency. E00508-051

Program Management, NIAMD
Evaluation of the National Pituitary Agency. E00508-051

National Institute of Dental Research, NIH.

Health Manpower and Training
Follow-up Survey of National Institute of Dental Research Graduate Trainees and Postdoctoral Fellows. E00508-030

Restorative Materials Research
Proceedings of a Symposium--Dental Biomaterials Research Priorities. E00508-031

National Institute of Education, HEW.

Educational Research and Development
Educational Laboratory and Research and Development Center Programs Need To Be Strengthened. E00582-001
Effectiveness of Educational Laboratories and Centers. E00501-029

National Institute of General Medical Sciences, NIH.

Clinical and Physiological Sciences
Contributions of Surgical Research to Health Care, 1945-1970: report of the Research Subcommittee of the Study on Surgical Services in the United States. E00508-025

Health Manpower and Training
Postdoctoral Training in the Biomedical Sciences: An Evaluation of NIGMS Postdoctoral Traineeship and Fellowship Programs. E00508-027

National Institute of Law Enforcement and Criminal Justice, LEAA.

Law Enforcement Research and Development--Project Grants
An Analysis of the Criminal Investigation Process. E00811-019
An Evaluation of the Effect of a Large-Scale Burglar Alarm System for a Municipal Police Department. E00811-021
An Evaluation of Small Business and Residential Alarm Systems. E00811-022
An Examination of the Impact of Intensive Police Patrol. E00811-017
Analysis of the Los Angeles District Attorney's Office. E00811-001
Annual Sample Survey and Area-Based Crime Estimates. E00811-037
Architectural Design to Improve Security in Urban Residential Areas. E00811-023
Baltimore Impact Planning and Evaluation. E00811-043
Completion of the Kansas City Lighting Study. E00811-025
Computer-Aided Transcription Service Center--Evaluation of Commercial Feasibility. E00811-006
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects. E00811-038
Contract for the Validation and Documentation of Criminal Justice Programs Proposed as Exemplary Projects. E00811-039
Contract to Evaluate the New England Organized Crime Intelligence System (NEOCIS). E00811-016
Crime Reduction Through Aerial Patrol. E00811-015
Dallas Impact Fundings, Evaluation. E00811-045
Demonstration of the Reduction of Pre-Trial Delay. E00811-003
Demonstration Project for Closed Circuit Television Case Screening and Legal Counseling. E00811-005
Denver Impact City Crime Analysis Team. E00811-046

PROGRAM AND AGENCY INDEX

National Institute of Mental Health, ADAMHA

Detoxification, Decriminalization and the Criminal Justice System.	E00811-013
Develop, Test, and Implement a Computer Simulation Model.	E00811-018
Devices for Controlling Sentencing Disparity.	E00811-008
Evaluation of the All-Purpose Communications/Protective Helmet.	E00811-026
Evaluation of the California Probation Subsidy Program, Phase II.	E00811-009
Evaluation of the Effects of Alternatives to Incarceration on Juvenile Offenders.	E00811-012
Evaluation of the Effects of Methadone Treatment on Crime and Criminal Addicts.	E00811-011
Evaluation of the LEAA Courts Improvement Program, Phase I.	E00811-004
Evaluation of Community-Based Programs for Adult Offenders.	E00811-041
Evaluation of Criminal Justice Planning Institute.	E00811-050
Evaluation of Instrumentation for the Detection of Polar Organic Aids.	E00811-027
Evaluation of Youth Services Bureaus.	E00811-010
Evaluation Design for Indigents Defense Programs.	E00811-007
Evaluative Study of the Equipment Systems Improvement Program (ESIP).	E00811-031
Feasibility Investigation and Test of Coded Taggant Materials for the Identification of Explosives.	E00811-034
Impact Funding for Planning and Evaluation.	E00811-042
Law Enforcement Standards Laboratory and Support Services.	E00811-035
Lightweight Body Armor.	E00811-032
National Evaluation of the LEAA Pilot Cities.	E00811-040
National Impact Program Evaluation.	E00811-036
Newark High Impact Evaluation Unit, Office of the Mayor.	E00811-047
Planning and Evaluation Program, Cleveland Impact Program.	E00811-044
Portland Impact Evaluation Plan.	E00811-048
Project SEARCH--Latent Fingerprint Study.	E00811-029
Residential Neighborhood Crime Control.	E00811-024
Response Time Analysis.	E00811-020
St. Louis Hi-Impact Anti-Crime Evaluation Program.	E00811-049
Study for Alameda County 911.	E00811-030
Sulfur Hexafluoride Taggant for Explosives Detection.	E00811-033
Test and Evaluation of Less Lethal Weapons, Material, and Techniques.	E00811-028
Test of Gimelli System for Training Court Reporters.	E00811-002
The Impact of Decriminalization on the Intake Process for Public Inebriates.	E00811-014

National Institute of Mental Health, ADAMHA.

ADAMHA Scientific Communication and Public Education

Chlorpromazine: Innovation and Revolution in the Drug Treatment of Mental Disease States.	E00503-021
Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050

Community Mental Health Centers

A Study of Mental Health Expenditures by Sources of Revenue in Ten Selected States.	E00503-020
A Study of Ways in Which Community Mental Health Center Services Are Integrated into Other Health Caregiving Functions.	E00503-037
Assessment of Child Mental Health Needs and Programs.	E00503-062
Community Mental Health Centers and State Hospital Utilization: Northeast Kingdom of Vermont.	E00503-049
Design of an Evaluation System for the National Institute of Mental Health.	E00503-024
Effect of the Federally-Funded Portion of CMHC's Service on its Catchment Area.	E00503-018
Evaluation of the Impact of Community Mental Health Center Consultation Services on School Systems.	E00503-041
Feasibility Study and Measuring Efficiency of Mental Health Services.	E00503-060
Need for More Effective Management of Community Mental Health Centers Program.	E00599-004
North Carolina Community Mental Health Center Evaluation Project; final report of planning phase.	E00503-051
Services to the Mentally Disabled of the Metropolitan Hospital Community Mental Health Center Catchment Area in New York City.	E00503-026
Study of the Relationship Between Community Mental Health Centers and State Mental Hospitals.	E00503-019
The Impact of Preferential Poverty Funding on the Operations of Community Mental Health Centers.	E00503-030
Trends in Sources of Funds for Community Mental Health Centers.	E00503-064
Two Studies on Catchmenting and Catchment-Oriented Services.	E00503-042
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.	E00503-038

Mental Health Training Grants	
Strategies for Change in Community Mental Health: New Careers.	E00503-039
Mental Health--Children's Services	
Assessment of Child Mental Health Needs and Programs.	E00503-062
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy Project, San Antonio, Texas.	E00503-033
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Child Advocacy System Project, Morgantown, North Carolina.	E00503-032
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: East Nashville, Caldwell Child Advocacy Project.	E00503-035
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Institute for Child Advocacy, Los Angeles, Calif.	E00503-043
Evaluation Design for Child Advocacy Projects Funded by NIMH and the Office of Education: Philadelphia Urban League Advocacy Project, Philadelphia, Pennsylvania.	E00503-034
Policy Research, Office of the Secretary (DHEW)	
Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
Program Analysis	
The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
Program Management, Assistant Secretary for Health	
Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
Program Management, ADAMHA	
Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.	E00503-038
Program Management, NIMH	
Automated Psychiatric Record Systems Today: an assessment.	E00503-031
Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
Viability of the Catchment Area Concept in the Community Mental Health Centers Program.	E00503-038
Program Management, Office of the Secretary, HEW	
The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
Scientific Communication and Public Education	
Automated Psychiatric Record Systems Today: an assessment.	E00503-031
National Institute on Alcohol Abuse and Alcoholism, ADAMHA.	
Alcohol Community Service Programs	
A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.	E00503-059
Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II.	E00503-029
Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry.	E00503-046
Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program.	E00503-047
Alcohol Demonstration Programs	
A Follow-up Study of Clients at Selected Alcoholism Treatment Centers Funded by the National Institute on Alcohol Abuse and Alcoholism.	E00503-059
Alcoholism Program Monitoring System Development: Evaluation of the ATC Program, Phase I and Phase II.	E00503-029

PROGRAM AND AGENCY INDEX

National Institutes of Health, PHS

- American Indian Alcoholism Evaluation--Monitoring--Design Project. E00503-044
- Development of a Pilot Program for Monitoring and Evaluating the Operation of Ten DOT/NIAAA Joint Alcoholism Programs; Evaluation of the ASAP/AC Program, Phase II Final Report. E00503-052
- Evaluation of Programs Oriented Toward Alcoholic Persons Employed in Industry. E00503-046
- Industrial Alcoholism Monitoring System Development: Evaluation of the IAC Program. E00503-047
- Monitoring and Evaluating the Operation of Ten DOT/NIAA Joint Alcoholism Programs--Phase I Report and Research Plan. E00503-028
- Pilot Program for Monitoring and Evaluating the Public Inebriate Program. E00503-045

National Institute on Drug Abuse, ADAMHA.

- ADAMHA Scientific Communication and Public Education**
 - Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II. E00503-036
- Drug Abuse Community Service Programs**
 - Evaluation of Treatment for Drug Users in DARP (Drug Abuse Rehabilitation Program). E00503-054
- Drug Abuse Education**
 - An Evaluation of Five Drug Abuse Education Projects: Parts I & II. E00500-072
 - Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II. E00503-036
- Drug Abuse Information**
 - Report of the Panel on the Impact of Information on Drug Use and Misuse--Phase I and Phase II. E00503-036
- Drug Abuse Training**
 - The Evaluation of Selected Drug Abuse Training Programs Administered by the National Institute of Mental Health. E00500-068
- Narcotic Addict Rehabilitation Contracts**
 - A Follow-up of NARA (Narcotic Addict Rehabilitation Act) Patients Who Drop Out Before Completion. E00503-040
 - Narcotic Addiction Treatment and Rehabilitation Programs in: Chicago, Illinois; the County of Los Angeles; New York City; San Francisco and Alameda Counties; and Washington, D.C. E00599-003
- Program Management, NIDA**
 - Federal Efforts to Combat Drug Abuse. E00599-002
- SAODAP Program**
 - Federal Efforts to Combat Drug Abuse. E00599-002

National Institutes of Health, PHS.

- Clinical Research**
 - Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health. E00500-102
- Health Manpower and Training**
 - Impact of NIH Training Grant Programs on Full-Time Medical School Faculty. E00508-024
 - Manpower Resources and Needs in Speech Pathology/Audiology. E00508-045
- Management, Assistant Secretary for Health**
 - Development of a Price Deflator for Biomedical Research (report on Phase I). E00508-044
 - Development of a Price Deflator for Biomedical Research: a report on Phase II. E00508-050
- Program Management, NIH**
 - Better Management Needed of Health Research Equipment by NIH Grantees. E00599-001
 - Development of a Price Deflator for Biomedical Research (report on Phase I). E00508-044
 - Development of a Price Deflator for Biomedical Research: a report on Phase II. E00508-050
 - Evaluation of Linkage Between Research Publications and NIH Funding. E00508-049

National Institutes of Health, PHS**PROGRAM AND AGENCY INDEX**

National Institutes of Health, Evaluation Plan, FY 1974. E00508-022
Review of Medical-Related and Administrative Activities of the Clinical Center, National Institutes of Health. E00500-102

Program Management, NINDS

Manpower Resources and Needs in Speech Pathology/Audiology. E00508-045

National Library of Medicine, NIH.**Biomedical Communications Research**

Computer Aided Instruction (CAI) Network Evaluation Criteria and Methodology. E00508-033

Evaluation of the Experimental CAI Network (1973-1975) of the Lister Hill Center for Biomedical Communications, National Library of Medicine. E00508-053

Evaluation of Interactive Networks: Final Evaluation Report for Planning Phase of ATSS-F Satellite Health Experiments. E00508-023

Health Care and Satellite Radio Communication in Village Alaska--Final Report of the ATSS-1 Biomedical Satellite Experiment Evaluation. E00508-043

Interactive Television: A Study of Its Effectiveness As a Medical Education Resource in the Rural Northeast. E00508-034

Biomedical Scientific Publications

Quality and Consistency Evaluation of Medlars Indexing: final report. E00508-037

Health Manpower and Training

An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III. E00508-032

Summary Report 1972: Doctorate Recipients from United States Universities. E00508-035

Medical Library Assistance--Library Resources

Evaluation of User Utilization of the National Medical Audio Visual Center Media Distribution Program. E00508-046

Medical Library Assistance-- Training Grants

An Evaluation of the NLM Training Grant Program, Part I; A Forecast of Events and Conditions that might Affect Job and Training Requirements for Medical Librarians, Part II; and Listing of Comments Pertaining to Future Job Duties and Training Requirements for Medical Librarians and Bio-Communications Personnel, Part III. E00508-032

National Oceanic and Atmospheric Administration, Dept. of Commerce.**Fishery Products Inspection and Certification**

Report on Survey of the Fishery Products Inspection Service, National Oceanic and Atmospheric Administration. E00300-008

Marine Resources Monitoring, Assessment and Prediction

Report on Audit of the Marine Resources Monitoring, Assessment and Prediction Program, National Oceanic and Atmospheric Administration. E00300-001

National Sea Grant Program

Report on Audit of the National Sea Grant Program, National Oceanic and Atmospheric Administration. E00300-003

National Park Service, Dept. of the Interior.**Program Management, National Park Service**

Study of National Park Service Concessions Management. E00710-001

National Science Foundation.**Ocean Sediment Coring**

Achievements, Cost, and Administration of the Ocean Sediment Coring Program. E04690-001

PROGRAM AND AGENCY INDEX**Occupational Safety and Health Administration, DOL****Science Development**

Science Development: an evaluation study. E04600-002
 Science Development, University Development, and the Federal Government. E04600-001

National Technical Information Service, Dept. of Commerce.**National Technical Information Service**

Report on Audit of Matters of Particular Interest to the Department's Office of
 Budget and Program Analysis Concerning the National Technical Information
 Service. E00300-014
 Report on Audit of Selected Areas of the National Technical Information Service. E00300-013

New Communities Administration, HUD.**New Communities**

A Delphi Report: Future of New Communities. E00601-162
 Advantages of New Communities. E00601-019
 Alternative Federal Policies for New Community Development. E00601-155
 Are HUD Processing Procedures an Onerous Disincentive to Other than Marginal
 Developers? E00601-151
 Comparative Summary of the Development Task in New Communities and PUD's
 (Planned Unit Developments). E00601-020
 Cost of Sprawl: comparison of planned vs. unplanned communities. E00601-159
 Does the New Communities Program Suffer from Multiple Objectives? E00601-149
 Economic and Fiscal Effects of Satellite New Communities on Control Cities. E00601-022
 Future Direction for New Communities--Key Issues. E00601-143
 Getting the New Communities Program Started; progress and problems. E00692-023
 Impact of Satellite New Communities on Central Cities. E00601-021
 Improvements Needed in Department of Housing and Urban Development's Adminis-
 tration of the New Community Development Program. E00691-001
 Is the Title VII Program a Viable Program Without Basic or Supplementary Grants? E00601-150
 Modernizing the Central City--New Towns Intown and Beyond. E00601-018
 National Growth Policy and New Communities. E00601-154
 New Communities and the Energy Crisis. E00601-144
 New Communities and the States--New Community Potential. E00601-024
 New Communities Policy and Options Paper. E00601-160
 New Communities Program, Options for Future Directions. E00601-147
 New Communities, USA. E00601-161
 New-Towns-in-Town: their potential and problems. E00601-148
 Report to House Subcommittee on HUD Independent Agencies: Federal assistance to
 new communities. E00601-158
 Social Aspects of New Communities. E00601-017
 Some Trends and Conditions Affecting New Communities. E00601-145
 St. Charles Communities Impact Evaluation Study: a comprehensive planning, re-
 search and demonstration program. E00601-015
 Strategies for New Communities. E00601-156
 Subsidized Housing in New Communities. E00601-153
 Summary Benefits of Title VII-Type Suburban New Communities. E00601-014
 Summary Recommendations on Low and Moderate Income Housing. E00601-152
 The Economic Impact of Reston on Fairfax County Government. E00601-016
 The Federal New Community Program in the Context of an Emerging National
 Growth Policy. E00601-146
 The Necessity and Impact of Federal Guarantees and Other Financial Aid on New
 Community Development. E00601-023
 Trends and Implications of No-Growth Movement for New Communities. E00601-157

Occupational Safety and Health Administration, DOL.**Occupational Safety and Health**

Compensating Wage Differentials and Hazardous Work. E00900-014
 Dissemination of Safety and Health Standards to Business Subject to the Occupa-
 tional Safety and Health Act of 1970. E00995-007
 Economic Incentives and Occupational Safety. E00900-036
 Land Reclamation Requirements and Their Estimated Effects on the Coal Industry. E00900-035
 More Concerted Effort Needed by the Federal Government on Occupational Safety
 and Health Programs. E00995-004

Occupational Safety and Health Administration, DOL**PROGRAM AND AGENCY INDEX**

Review of Occupational Safety and Health Activities of Federal Civilian Agencies. E00995-001
 Review of Occupational Safety and Health Activities of Federal Civilian Agencies--GSA
 (2). E00995-003
 Review of Occupational Safety and Health Activities of Federal Civilian Agencies
 Interior Department (2). E00995-002
 Review of Operations of Occupational Safety and Health Administration's Training
 Institute in Rosemont, Illinois. E00995-006
 The Predicted Impact of the Black Lung Benefits Program on the Coal Industry. E00900-023

Occupational Safety and Health Loans

Administration of Small Business Loan Program Under the Occupational Safety and
 Health Act. E05590-004

Office of the Assistant Secretary for Health (PHS).**ADAMHA Scientific Communication and Public Education**

Evaluation Planning at the National Institute of Mental Health: a case history. E00503-050

CDC Investigations, Surveillance and Technical Assistance

VD Control--A National Strategy Under Review. Vol. 1., Information Materials and
 Campaigns; Vol. 2., Client Reaction to Treatment; and Vol. 3., The Private
 Physician. E00500-057

Comprehensive Health Planning--Areawide Grants

Development of a Conceptual Approach to Strategic Health Planning. E00500-049

The Design of a Health Information System (HIS) Model for the Oregon Department
 of Human Resources: an exploratory study. E00500-050

Comprehensive Health Planning--Grants to States

Development of a Conceptual Approach to Strategic Health Planning. E00500-049

The Design of a Health Information System (HIS) Model for the Oregon Department
 of Human Resources: an exploratory study. E00500-050

Departmental Management, HEW

An Evaluation to Determine the Effectiveness of Coordination, Administration, and
 Delivery of Services by a Multi-Service Center in Rural Arkansas. E00500-065

Food for Peace

An Evaluation Study of the Special Currency Program in Yugoslavia. E00500-043

Evaluation Study of the Impact of the Special Foreign Currency Health Projects in
 Yugoslavia and the United States: report of the Yugoslav evaluation team, 1973. E00500-064

Health Manpower and Training

A Study of Hospital Productivity Trends for Short-Term General Hospitals. E00500-039

An Evaluation of the Effectiveness of Loan Forgiveness as an Incentive for Health
 Practitioners to Locate in Medically Underserved Areas. E00500-045
 E00500-044

Evaluation of Hospital Productivity Trends. E00500-044

Factors Affecting Specialty Choice and the Interrelationship Between Specialty Choice
 and Geographic Location. E00500-059

Program to Increase Graduates from Health Professions Schools and Improve the
 Quality of Their Education. E00586-001
 E00500-066

Sixteen Best Practice Techniques in U.S. General and Teaching Hospitals.

Health Professions Scholarships

Program to Increase Graduates from Health Professions Schools and Improve the
 Quality of Their Education. E00586-001

Health Professions Student Loans

An Evaluation of the Effectiveness of Loan Forgiveness as an Incentive for Health
 Practitioners to Locate in Medically Underserved Areas. E00500-045

Program to Increase Graduates from Health Professions Schools and Improve the
 Quality of Their Education. E00586-001

Health Professions Teaching Facilities

Program to Increase Graduates from Health Professions Schools and Improve the
 Quality of Their Education. E00586-001

PROGRAM AND AGENCY INDEX

Office of the National Archives, NARS

Mental Health--Community Mental Health Centers Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
Policy Research, Office of the Secretary (DHEW) Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
Professions Capitation Grants Program to Increase Graduates from Health Professions Schools and Improve the Quality of Their Education.	E00586-001
Program Analysis The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
Program Management, ADAMHA Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
Program Management, ASH A Study of Hospital Productivity Trends for Short-Term General Hospitals.	E00500-039
Program Management, Assistant Secretary for Health Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
Program Management, Health A Management Plan for the Regional Office Technical Assistance Effort.	E00500-005
An Evaluation Study of the Special Currency Program in Yugoslavia.	E00500-043
Decision-Making and Information Utilization in Three Public Health Service Regional Offices: final report.	E00500-002
Development of a Conceptual Approach to Strategic Health Planning.	E00500-049
Evaluation Study of the Impact of the Special Foreign Currency Health Projects in Yugoslavia and the United States: report of the Yugoslav evaluation team, 1973.	E00500-064
Forward Plan for Health: FY 1977-81.	E00500-008
Need Assessment and Evaluation of Region VI Health and Education Projects.	E00500-207
The Design of a Health Information System (HIS) Model for the Oregon Department of Human Resources: an exploratory study.	E00500-050
The Role of Health Services Administration.	E00500-003
Training Program in Health Program Evaluation Techniques.	E00500-040
VD Control--A National Strategy Under Review. Vol. 1., Information Materials and Campaigns; Vol. 2., Client Reaction to Treatment; and Vol. 3., The Private Physician.	E00500-057
Program Management, NIMH Evaluation Planning at the National Institute of Mental Health: a case history.	E00503-050
The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
Program Management, Office of Education Need Assessment and Evaluation of Region VI Health and Education Projects.	E00500-207
Program Management, Office of the Secretary, HEW. The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
PHS Regional Programs A Management Plan for the Regional Office Technical Assistance Effort.	E00500-005
Office of the National Archives, NARS.	
Office of the National Archives Accessioning and Description Programs NARS A-1 General Systems Study Report: Control and Description of Records in the National Archives.	E03700-005

Office of the Secretary, Dept. of the Interior.

Youth Conservation Corps

An Evaluation of the 1973 Youth Conservation Corps.	E00700-003
Minorities in the Youth Conservation Corps: a study of cultural groups in the 1974 YCC program.	E00700-005
Post-camp Uses and Effects of YCC Experiences.	E00700-006
Toward Environmental Understanding; an Evaluation of the 1972 Youth Conservation Corps.	E00700-004
Youth Conservation Corps Pilot Program, 1972.	E00700-001
Youth Conservation Corps Pilot Program, 1973.	E00700-002
Youth Conservation Corps Program, 1974.	E00780-010

Office of the Secretary, HEW.

Civil Rights Compliance Activity

Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.	E00586-003
--	------------

Departmental Management, HEW

A Planning Study to Develop a Demonstration Design for Use of Telecommunications in Public Service Delivery.	E00500-071
The International Dimension, HEW: summary and final report.	E00500-063

Departmental Management, Office of the Secretary (HEW)

Evaluation Handbook.	E00500-208
Human Resources Demonstration Project.	E00500-215
Inventory of Selected Federal Manpower Programs.	E00500-213
Monitoring Handbook: a management guide.	E00500-217

Facilities Construction Grants

Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.	E00586-003
--	------------

Health Facilities Construction Technical Assistance

Observations on the Implementation of Title VI of the Civil Rights Act of 1964 in the Hill-Burton Program for the Construction and Modernization of Health Facilities.	E00586-003
--	------------

Program Analysis

The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
---	------------

Program Management, ADAMHA

The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
---	------------

Program Management, Assistant Secretary for Health

The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
---	------------

Program Management, NIMH

The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
---	------------

Program Management, Office of the Secretary, HEW

The Market for Evaluation Services in the Department of Health, Education, and Welfare.	E00503-063
---	------------

Office of the Solicitor General, Dept. of Justice.

Program Management, Justice

Office of the Solicitor General: an analysis and recommendations.	E00800-011
---	------------

10 OF 10



PROGRAM AND AGENCY INDEX

Office of Child Development, OHD

Office of Administration, Region 10, GSA.**Common Services**

Evaluation of the Common Services Pilot Project in the Arcade Plaza Building,
Seattle, Washington. E03700-007

Health and Medical Preparedness

Current Concepts for Preparedness. E03700-010

Federal Emergency Health Activities. E03700-009

Report on Airport/Community Emergency Medical Preparedness and Federal Emer-
gency Health Preparedness. E03700-008

Office of Administrative Programs, Office of the Secretary (Treasury).**Program Management, Office of Administrative Programs (Treasury)**

Organization, Function and Staffing Review of Office of Administrative Programs. E01200-004

Office of Air Quality Planning and Standards, EPA.**Air Control Agency Support-Administration**

General Report of the Air Compliance Review Group. E02300-006

Air Quality Information System

General Report of the Air Compliance Review Group. E02300-006

Federal Activities/Air

General Report of the Air Compliance Review Group. E02300-006

Safe Drinking Water Act

National Safe Drinking Water Strategy: One Step at a Time. E02300-007

Stationary Source and Air Quality Standards

General Report of the Air Compliance Review Group. E02300-006

Stationary Source Enforcement

General Report of the Air Compliance Review Group. E02300-006

Technical Support and Evaluation/Air

General Report of the Air Compliance Review Group. E02300-006

Office of Child Development, OHD.**Child Development--Head Start**

A Sequential Approach to Early Childhood and Elementary Education. E00512-001

Bank Street Supplemental Study. E00512-015

Design for a National Evaluation of Social Competence in Head Start Children. E00512-002

Evaluation of Head Start Experience with 'Healthy, That's Me.' E00512-018

Evaluation Study for the Institute for Developmental Studies Head Start Planned
Variation Study. E00512-013

Final Report of Monitoring Contract of Seven Home Start Grantees. E00512-009

Health Start Final Report of the Evaluation of the Second Year Program. E00512-008

Health Start: Evaluation of the First Year Program. E00512-005

Implementation of Head Start Planned Variation Testing and Data Collection Effort. E00512-011

Overview of the Parent-Child Center Management Information System. E00512-004

Perspectives on Parent Participation in Project Head Start: an analysis and critique. E00512-006

Project Head Start: achievements and problems. E00591-002

Research-Based Evaluation of the Tucson Early Education Model. E00512-016

Retrospective Study of Employee Mobility in Head Start Programs. E00512-019

Serving Handicapped Children. E00512-021

Short-Term Cognitive Effects of Head Start Programs. E00512-007

Social Competency in Young Children. E00512-010

Sponsor Supported Research of the University of Kansas Planned Variation Program. E00512-014

Summative Evaluation of the Child and Family Resource Program. E00512-020

Supplementary Evaluation of the Responsive Environment Head Start Program. E00512-012

Supplementary Research on Responsive Model for Planned Variation Communities. E00512-017

Toward a New Cognitive Effects Battery for Project Head Start. E00512-003

Office of Child Development, OHD**PROGRAM AND AGENCY INDEX****Public Assistance Maintenance**

Review of Child Care Services Provided Under Title IV, Social Security Act. E00500-101

Work Incentives, Child Care

Review of Child Care Services Provided Under Title IV, Social Security Act. E00500-101

Office of Congressional Affairs, Office of the Secretary (Commerce).**Congressional and Legislative Affairs**

Draft Report to Steering Committee on Commerce Legislative Affairs Study. E00300-021

Office of Construction, VA.**Hospital Construction**

Architect/Engineer Firm Selection Process. E06504-016

Contracts With Architect-Engineering Firms. E06593-007

Information on the Selection of Independent Architect Engineers by the Veterans Administration. E06593-001

Monitoring of Demographic Study by VA of Loma Linda, Calif. E06593-005

Need for Increased Use of Value Engineering: A Proven Cost Saving Technique in Federal Construction. E06593-004

Survey of VA Post Activation Evaluation Program. E06593-008

The Implementation of Value Engineering. E06593-003

VA Construction Project Approval: Processes and Budgetary Practices. E06504-026

Office of Drug Abuse Law Enforcement, Dept. of Justice.**Drugs--Criminal**

The Heroin Hotline. E00894-001

Office of Education, HEW.**Adult Education--Grants to States**

A Longitudinal Evaluation of the Adult Basic Education Program. E00501-015

The Adult Basic Education Program: Progress in Reducing Illiteracy and Improvements Needed. E00581-008

Basic Educational Opportunity Grants

Analysis of Comparative Impacts of Existing BEOG Needs Analysis System. E00501-039

Analysis of Differential Treatments Under Basic Educational Opportunity Grants Needs Analysis. E00501-038

Bilingual Education

A Process Evaluation of the Bilingual Education Program, Title VII, Elementary and Secondary Education Act. E00501-025

Evaluation of Federal Programs Supporting Educational Change. E00501-047

Comprehensive Employment and Training

Evaluation of Basic Education Programs Conducted Under MDTA Institution Training Program. E00501-044

Drug Abuse Community Service

Evaluation of Selected Components of Office of Education 'Help Communities Help Themselves' Drug Abuse Prevention Program. E00500-058

Drug Abuse Education

Evaluation of Drug Education Programs. E00500-034

Drug Abuse Prevention

Evaluation of Drug Education Programs. E00500-034

Evaluation of Selected Components of Office of Education 'Help Communities Help Themselves' Drug Abuse Prevention Program. E00500-058

Educational Broadcast Facilities	
Federal Role in Children's Television Programming.	E00501-030
Educational Personnel Training Grants--Career Opportunities	
Full-Scale Implementation of a Process Evaluation System for Programs of the National Center for the Improvement of Educational Systems.	E00501-021
Innovation and Change: A Study of Strategies in Selected Projects Supported by the National Center for the Improvement of Educational Systems.	E00501-022
Supply and Demand Conditions for Teachers and Implications for Federal Programs.	E00581-003
Educationally Deprived Children--Handicapped	
An Evaluation of Educational Programs in State Operated and State-Supported Schools for Handicapped Children.	E00501-033
Evaluation of Selected Aid-to-States Programs for the Education of the Handicapped.	E00501-034
Federal Programs for the Education of the Handicapped: issues and problems.	E00581-005
Educationally Deprived Children--Local Educational Agencies	
An Analysis of the Relationship Between Reading and Mathematics Achievement Gains and Per-Pupil Expenditures in California Title I Projects.	E00501-014
Development of Packages for Effective Approaches in Compensatory Education.	E00501-046
Evaluation of Educational Achievement Test Measures as an Eligibility Criterion in the Better Schools Act Formula.	E00500-056
Review of the Implementation of Comparability Provisions, Public Law 91-230, Office of Education.	E00500-119
The Process of Funds Allocation Under Title I of the ESEA of 1965.	E00501-012
Educationally Deprived Children--Migrants	
Evaluation of the Impact of ESEA Title I Programs for Migrant Children of Migrant Agricultural Workers.	E00501-027
Follow-up Review of Migrant Children Education, Office of Education.	E00500-100
The Process of Funds Allocation Under Title I of the ESEA of 1965.	E00501-012
Educationally Deprived Children, Special Grants	
Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York.	E00500-118
Educationally Deprived Children, Special Incentive Grants	
Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York.	E00500-118
Educationally Deprived Children--State Administration	
Review of the Implementation of Comparability Provisions, Public Law 91-230, Office of Education.	E00500-119
The Process of Funds Allocation Under Title I of the ESEA of 1965.	E00501-012
Emergency School Aid Act--Basic Grants to Local Educational Agencies	
Evaluation of Community Group Projects of the Emergency School Assistance Program.	E00501-024
Southern Schools: An Evaluation of the Effect of the Emergency School Assistance Program and of School Desegregation	E00501-026
Follow Through	
Follow Through National Evaluation: Data Collection and Data Processing.	E00501-023
Handicapped Innovative Programs--Deaf-Blind Centers	
Improving Services to Handicapped Children.	E00500-069
Handicapped Preschool and School Programs	
Improving Services to Handicapped Children.	E00500-069
Handicapped Teacher Education	
An Evaluation of Federal Programs to Increase the Pool of Special Education Teachers.	E00501-035
Higher Education Act Insured Loans	
Study of Special Allowance and Servicing Costs in the Guaranteed Student Loan Program.	E00501-036

Survey of Lender Prepayment Terms and Survey of Repayment Burden Among Borrowers.	E00501-040
Higher Education--Cooperative Education Study of Cooperative Education.	E00501-037
Higher Education Personnel Development--Institutes and Short-term Training A Study of the Education Professions Development Act Training Programs for Higher Education Personnel.	E00501-020
Higher Education--Strengthening Developing Institutions A Study of Title III of the Higher Education Act: The Developing Institutions Program.	E00501-019
Higher Education Work-Study The Federal College Work-Study Program: a status report.	E00501-018
Indian Health Service A Comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209
Library Services--Grants for Public Libraries Evaluation of LSCA Services to Special Target Groups. Evaluation of the Public Library and Analysis of its Present and Future Role. Federal Library Support Programs: progress and problems.	E00501-009 E00501-031 E00581-006
Local Educational Agencies ESEA, Part A Report of Audit of the Elementary and Secondary Education Act, Title I, Administered by the State of New York.	E00500-118
Manpower Institutional Grants Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women. Evaluation of the Availability and Effectiveness of MDTA Institutional Training and Employment Services for Women.	E00501-002 E00501-003 E00501-004
OE Evaluation of Educational Achievement Test Measures as an Eligibility Criterion in the Better Schools Act Formula.	E00500-056
Post-secondary Education Comprehensive Planning Grants Private Accreditation and Public Eligibility. The Demand for Facilities in the Post-secondary Sector, 1975 to 1990.	E00501-008 E00501-010
Program Management Evaluation of Educational Achievement Test Measures as an Eligibility Criterion in the Better Schools Act Formula.	E00500-056
Program Management, Education Improving Services to Handicapped Children.	E00500-069
Program Management, Health Need Assessment and Evaluation of Region VI Health and Education Projects.	E00500-207
Program Management, Office of Education A Comparative Consumer Survey on Human Services for American Indians in North Dakota. Analysis of Office of Education Special Project Grant Distribution to Local Education Agencies in Region V. Need Assessment and Evaluation of Region VI Health and Education Projects. Review of use of Financial Reports Required by the Office of Education under the Teacher Corps Program.	E00500-209 E00500-206 E00500-207 E00500-105
Program Management, SRS A Comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209

PROGRAM AND AGENCY INDEX

Office of Federal Management Policy, GSA

Right to Read--Elimination of Illiteracy	
Design of Evaluation Plan for the Right to Read Community Based Projects.	E00501-028
Evaluation of the Community Based Right to Read Program.	E00501-011
Evaluation of Federal Programs Supporting Educational Change.	E00501-047
School Assistance in Federally Affected Areas	
Program for School Assistance in Federally Affected Areas (SAFA).	E00500-114
Special Services for Disadvantaged Students in Institutions of Higher Education	
A Study of the Special Services for Disadvantaged Students Program.	E00501-041
Strengthening State Departments of Education--Grants for Special Projects	
Further Study of Performance Contracting in Education.	E00501-045
Supplementary Educational Centers and Services, Guidance, Counseling, and Testing	
An Assessment of Career Guidance, Counseling, and Placement Activities in Secondary Schools for Non-College Bound Students.	E00501-016
Supplementary Educational Centers and Services--Special Programs and Projects	
Evaluation of Federal Programs Supporting Educational Change.	E00501-047
Teacher Corps--Operations and Training	
A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects.	E00501-005
A Study of Teacher Training at Sixth-Cycle Teacher Corps Projects.	E00501-006
A Study of the Effectiveness of Sixth-Cycle Teacher Corps Graduates.	E00501-007
Assessment of the Teacher Corps Program.	E00581-001
Review of use of Financial Reports Required by the Office of Education under the Teacher Corps Program.	E00500-105
Upward Bound	
Problems of the Upward Bound Program in Preparing Disadvantaged Students for a Postsecondary Education.	E00581-004
Vocational Education--Basic Grants to States	
Assessment of Vocational Education for Handicapped Students.	E00501-032
Longitudinal Study of Educational Effects: Vocational Education.	E00501-043
Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas.	E00501-001
Training America's Labor Force: Potential, Progress, and Problems of Vocational Education.	E00581-002
What Is the Role of Federal Assistance for Vocational Education?	E00581-007
Vocational Education--Innovation	
Evaluation of Federal Programs Supporting Educational Change.	E00501-047
Evaluation of Vocational Exemplary Programs.	E00501-042
Vocational Education--Work Study	
An Assessment of School-Supervised Work Education Programs.	E00501-017
Office of Equal Opportunity, HUD.	
Equal Opportunity in Housing	
Equal Opportunity Activities.	E00600-084
Equal Opportunity--Program Evaluation and Compliance.	E00600-137
Office of Equal Opportunity Program, Office of the Secretary (Treasury).	
Equal Opportunity Contract Compliance	
Management and Operational Review of the Office of Equal Opportunity Program.	E01200-002
Office of Federal Management Policy, GSA.	
Implementation of the Office of Federal Management Policy	
A Joint OMB/GSA Assessment of Management Systems and Financial Management Functions Reassigned from OMB to GSA by Authority of Executive Order 11717.	E03700-002

Office of Federal Management Policy, GSA

PROGRAM AND AGENCY INDEX

Integrated Grant Administration (joint funding pilot)
Integrated Grant Administration Assessment Report. E03700-001

Office of Hazardous Materials, DOT.

Hazardous Materials
Need for Improved Inspection and Enforcement in Regulating Transportation of Hazardous Materials. E01190-002

Office of Industrial Economics, Office of Tax Policy (Treasury).

Program Management, Treasury
Survey Leading to Transfer of Office of Industrial Economics from Internal Revenue Service to the Office of Tax Policy. E01200-006

Office of Interstate Land Sales Registration, HUD.

Land Sales--Undeveloped Plots In Interstate Commerce
Need for Improved Consumer Protection in Interstate Land Sales. E00696-001

Office of Management Systems and Special Projects, GSA.

Integrated Grant Administration (joint funding pilot)
Integrated Grant Administration Assessment Report. E03700-001

Office of Minority Business Enterprise, Dept. of Commerce.

Economic Opportunity Loans
Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program. E00300-027

Economic Opportunity Loans for Small Business
Limited Success of Federally Financed Minority Businesses in Three Cities. E05590-003

Federal Minority Enterprises, OMBE
Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program. E00300-027

Lease Guarantees
Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program. E00300-027

Local Development Company Loans
Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program. E00300-027

Management and Technical Assistance for Disadvantaged Businessmen
Limited Success of Federally Financed Minority Businesses in Three Cities. E05590-003

Program Management, OMBE
Final Report of the OMBE (Office of Minority Business Enterprise) Management Task Force. E00300-026

Regular Business Loans
Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program. E00300-027

Small Business Investment Company Financing
Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program. E00300-027

Small Business Loans
Limited Success of Federally Financed Minority Businesses in Three Cities. E05590-003

PROGRAM AND AGENCY INDEX

Office of Youth Development, OHD

Surety Bond Guarantees Department of Commerce Analysis of Organizational Options for the Federal Minority Business Development Program.	E00300-027
Office of Pesticide Programs, EPA.	
Pesticides Development of a Contingency Plan for Certification of Pesticide Applications.	E02300-009
Office of Planning and Evaluation, USDA.	
Section 6 Food Acquisitions Implications of Discontinuing USDA Commodity Acquisitions and Distribution Activities.	E00200-006
Section 32 Acquisitions Implications of Discontinuing USDA Commodity Acquisitions and Distribution Activities.	E00200-006
Section 709 Food Acquisitions Implications of Discontinuing USDA Commodity Acquisitions and Distribution Activities.	E00200-006
Office of Policy Development and Research, HUD.	
General Research and Technology Activity Observation on Housing Allowances and the Experimental Housing Allowance Program.	E00693-019
Mortgage Insurance--Experimental Homes Housing Assistance Research.	E00600-138
Property Disposition Bulk Sales--Rehabilitation, Property Disposition: Banner Ltd.	E00600-139
Office of Program Analysis and Evaluation, HUD.	
Mortgage Insurance--Construction or Rehabilitation of Condominium Projects An Analysis of Section 234--HUD Condominium Programs.	E00600-008
Mortgage Insurance--Purchase of Units in Condominiums An Analysis of Section 234--HUD Condominium Programs.	E00600-008
Office of Revenue Sharing, Dept. of the Treasury.	
Distribution of Revenue Sharing Entitlements Case Studies of Revenue Sharing in 26 Local Governments. Organization and Management Review of Office of Revenue Sharing. Revenue Sharing: An Opportunity for Improved Public Awareness of State and Local Government Operations. Revenue Sharing: Its Use By and Impact on Local Governments. Revenue Sharing: Its Use By and Impact on State Governments.	E01280-009 E01200-001 E01280-010 E01280-007 E01280-008
Office of Youth Development, OHD.	
Youth Development and Delinquency Prevention Deinstitutionalization--Delinquent Children. Measures of Delinquency: problems and findings. National Evaluation of Youth Service Systems. The Impact of Compensatory Education and Desegregation on Juvenile Delinquency.	E00509-050 E00509-031 E00513-001 E00509-033
Youth Development and Delinquency Protection Measuring Benefits from Prison Reform.	E00509-051

Packers and Stockyards Administration, USDA.

Maintenance of Equitable Marketing Conditions for Livestock and Poultry

Evaluation and Determination of the Validity of Proposed Rate Increases for Public Markets. E00208-002
 Recovery of Nonpayments to Livestock Producers (Sellers Under the Bonds of Registrants). E00208-003
 Survey of Grade and Weight Selling of Livestock. E00208-001

USDA Programs Directed to Cooperatives

Impact of USDA Programs Upon Rural Cooperatives. E00200-002

Patent Office, Dept. of Commerce.

Patents and Trademarks

Strengthening the Role of the U.S. Patent Office. E00300-028

Personnel Division, Office of the Secretary (Treasury).

Program Management, Office of the Secretary (Treasury)

Comprehensive Review of Office of the Secretary Personnel Division. E01200-005

Policy Development and Research, HUD.

General Research and Technology Activity

Program Evaluation. E00600-109

Public Health Service, HEW.

Community Services Training Grants

Evaluation of the Planning and Coordination of Manpower Programs/Phase I. E00500-212
 Evaluation of Planning and Coordination of Manpower Programs--Phase II. E00500-218

Comprehensive Employment and Training Programs

Evaluation of the Planning and Coordination of Manpower Programs/Phase I. E00500-212
 Evaluation of Planning and Coordination of Manpower Programs--Phase II. E00500-218

Health Manpower and Training

Evaluation of the Planning and Coordination of Manpower Programs/Phase I. E00500-212
 Evaluation of Planning and Coordination of Manpower Programs--Phase II. E00500-218

Public Assistance, State and Local Training

Evaluation of the Planning and Coordination of Manpower Programs/Phase I. E00500-212
 Evaluation of Planning and Coordination of Manpower Programs--Phase II. E00500-218

Work Incentives--Child Care

Evaluation of the Planning and Coordination of Manpower Programs/Phase I. E00500-212
 Evaluation of Planning and Coordination of Manpower Programs--Phase II. E00500-218

Regional Commissions.

Economic Development Resources Allocation, Commerce

EDA-Regional Commission Coordination Review. E00300-019

Regional Medical Program Service (now in Bureau of Health Planning & Resources Development, HRA).

Regional Medical Program Grants

An Evaluation of the Regional Medical Program (RMP) Review Criteria. E00506-063
 The Utilization and Impact of the I.C.H.D. (Inter-Society Commission on Heart Disease) Reports; an early evaluation. E00506-054

Regional Offices, EPA.

Air Control Agency Support-Administration

General Report of the Air Compliance Review Group. E02300-006

PROGRAM AND AGENCY INDEX**Small Business Administration**

Air Quality Information System General Report of the Air Compliance Review Group.	E02300-006
Federal Activities/Air General Report of the Air Compliance Review Group.	E02300-006
Safe Drinking Water Act National Safe Drinking Water Strategy: One Step at a Time.	E02300-007
Stationary Source and Air Quality Standards General Report of the Air Compliance Review Group.	E02300-006
Stationary Source Enforcement General Report of the Air Compliance Review Group.	E02300-006
Technical Support and Evaluation/Air General Report of the Air Compliance Review Group.	E02300-006
Rehabilitation Services Administration, OHD.	
Rehabilitation Services and Facilities--Basic Support An Analysis of the Allocation of Counseling Resources in Vocational Rehabilitation Agencies. Characteristics of the Demand for Disabled Workers. Disability and Labor Supply. Effectiveness of Vocational Rehabilitation in Helping the Handicapped. Review of Vending Operations on Federally Controlled Property. The Determinants of Vocational Rehabilitation Costs: an exploratory analysis. The Program Services and Support System of the Rehabilitation Services Administration. Vocational Rehabilitation Programs Undergoing Rapid Expansion: a simulation study of cost and caseload statistics. Vocational Rehabilitation State Allocation Study.	E00509-037 E00509-042 E00509-043 E00591-004 E00591-003 E00509-040 E00509-003 E00509-047 E00509-001
Vocational Rehabilitation Services for Social Security Disability Beneficiaries A Study of Placement in PA/VR (Public Assistance Vocational Rehabilitation).	E00509-002
Rural Electrification Administration, USDA.	
Administrative Support for Rural Electrification Loans Controls Exercised by Rural Electrification Administration over Borrowers' Activities.	E00299-034
Rural Electric Loans Controls Exercised by Rural Electrification Administration over Borrowers' Activities. Rural Development as Related to REA Borrower's Activities.	E00299-034 E00203-001
Rural Telephone Loans Controls Exercised by Rural Electrification Administration over Borrowers' Activities.	E00299-034
Telephone Loans Rural Development as Related to REA Borrower's Activities.	E00203-001
USDA Programs Directed to Cooperatives Impact of USDA Programs Upon Rural Cooperatives.	E00200-002
Small Business Administration.	
Disaster Loans Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims.	E05590-001
Displaced Business Loans Design of Performance Impact Measures.	E05507-004
Economic Opportunity Loans for Small Businesses A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives.	E05507-001

Small Business Administration**PROGRAM AND AGENCY INDEX**

Design of Performance Impact Measures.	E05507-004
Evaluation of Small Business Administration Lease Guarantee Program.	E05507-003
Limited Success of Federally Financed Minority Businesses in Three Cities.	E05590-003
Management Assistance: An Evaluation of its Extent and Impact on Minority Owned Businesses.	E05507-002
Study of Minority Borrowers Prior and Subsequent to SBA Assistance.	E05507-011
The Economic Opportunity Loan Program: Continuing Evaluation.	E05507-005
Emergency Loans FmHA	
Administrative Problems Experienced in Providing Federal Assistance to Disaster Victims.	E05590-001
Government Property	
An Analysis of Selected Factors of the SBA-Forest Service Timber Set-Aside Program.	E05507-007
Lease Guarantees for Small Business	
Design of Performance Impact Measures.	E05507-004
Evaluation of Small Business Administration Lease Guarantee Program.	E05507-003
Management and Technical Assistance for Disadvantaged Businessmen	
A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives.	E05507-001
Evaluation of the Small Business Institute Program--Fall 1972.	E05507-010
Evaluation of the Small Business Institute Program--Spring 1973.	E05507-009
Limited Success of Federally Financed Minority Businesses in Three Cities.	E05590-003
Management Assistance: An Evaluation of its Extent and Impact on Minority Owned Businesses.	E05507-002
Study of Minority Borrowers Prior and Subsequent to SBA Assistance.	E05507-011
Management Assistance to Small Businesses	
Design of Performance Impact Measures.	E05507-004
Evaluation of the Small Business Institute Program--Fall 1972.	E05507-010
Evaluation of the Small Business Institute Program--Spring 1973.	E05507-009
Minority Business Development--Procurement Assistance	
Evaluation of the 8(a) Contract Program (Phases I-III).	E05507-008
Questionable Effectiveness of the 8(a) Procurement Program.	E05590-006
Occupational Safety and Health Loans	
Administration of Small Business Loan Program Under the Occupational Safety and Health Act.	E05590-004
Physical Disaster Loans	
Design of Performance Impact Measures.	E05507-004
Procurement Assistance to Small Business	
Questionable Effectiveness of the 8(a) Procurement Program.	E05590-006
Program Management, SBA	
Opportunities to Improve Financial Management and Reduce Costs of Loan Administration.	E05590-005
Program Analysis of the Small Business Administration.	E05507-006
Sale of Federal Surplus Personal Property	
An Analysis of Selected Factors of the SBA-Forest Service Timber Set-Aside Program.	E05507-007
Small Business Institute	
Evaluation of the Small Business Institute Program--Fall 1972.	E05507-010
Evaluation of the Small Business Institute Program--Spring 1973.	E05507-009
Small Business Investment Companies	
Design of Performance Impact Measures.	E05507-004
Small Business Loans	
A Survey of Attitudes of Major Banks and Minority Advocate Organizations Concerning Minority Business Alternatives.	E05507-001
Design of Performance Impact Measures.	E05507-004

PROGRAM AND AGENCY INDEX

and Rehabilitation Service, HEW

Evaluation of Small Business Administration Lease Guarantee Program.	E05507-003
Limited Success of Federally Financed Minority Businesses in Three Cities.	E05590-003
Management Assistance: An Evaluation of its Extent and Impact on Minority Owned Businesses.	E05507-002
Study of Minority Borrowers Prior and Subsequent to SBA Assistance.	E05507-011
State and Local Development Company Loans	
Design of Performance Impact Measures.	E05507-004
Timber Set-Aside	
An Analysis of Selected Factors of the SBA-Forest Service Timber Set-Aside Program.	E05507-007
Small Business Administration; Farmers Home Administration, USDA.	
Economic Injury Disaster Loans	
Information on Federal Disaster Relief Programs.	E05590-002
Emergency Loans FmHA	
Information on Federal Disaster Relief Programs.	E05590-002
Social and Rehabilitation Service, HEW.	
Child Health Services	
Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002
Community Health Centers	
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002
Community Services Training Grants	
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.	E00500-212
Evaluation of Planning and Coordination of Manpower Programs--Phase II.	E00500-218
Comprehensive Employment and Training Programs	
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.	E00500-212
Evaluation of Planning and Coordination of Manpower Programs--Phase II.	E00500-218
Family Planning Projects	
Improving Federally Assisted Family Planning Programs.	E00587-006
Health Maintenance Organizations Service	
Better Controls Needed for Health Maintenance Organizations under Medicaid in California.	E00587-005
Health Manpower and Training	
Evaluation of the Planning and Coordination of Manpower Programs/Phase I.	E00500-212
Evaluation of Planning and Coordination of Manpower Programs--Phase II.	E00500-218
Indian Health Service	
A Comparative Consumer Survey on Human Services for American Indians in North Dakota.	E00500-209
Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
Medicaid	
An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00507-047
Better Controls Needed for Health Maintenance Organizations under Medicaid in California.	E00587-005
Improving Federally Assisted Family Planning Programs.	E00587-006
Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00500-046
Study of Programs for Health Services in Outpatient Health Centers in the District of Columbia.	E00587-002

Medicaid, EPSDT

- An Evaluative Study of Early and Periodic Screening, Diagnosis and Treatment Program in Ohio and Wisconsin. E00500-204
 Development of Model Interagency Strategy for Early Periodic Screening, Diagnosis and Treatment (EPSDT) Implementation, Monitoring and Evaluation. E00500-203

Medicare

- An Assessment of the Intermediate Care Facility Program in Region V. E00500-219
 An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid. E00507-047
 Increased Compliance Needed with Nursing Home Health and Sanitary Standards. Potential Medicare and Medicaid Reviews for the Indian Health Services. E00589-011
 Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid. E00507-070
 E00500-046

Program Management, HSA

- An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid. E00507-047

Program Management, OE

- A Comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209

Program Management, SRS

- A Comparative Consumer Survey on Human Services for American Indians in North Dakota. E00500-209

Public Assistance Maintenance

- Assessment of Quality Control and Corrective Action Activities in Region V. E00500-202
 Review of Child Care Services Provided Under Title IV, Social Security Act. E00500-101

Public Assistance--Social Services

- Quality of Day Care in Region X Before and After School Care. Phase I. E00500-211
 Quality of Day Care in Region X--Phase II. E00500-216

Public Assistance, State and Local Training

- Evaluation of the Planning and Coordination of Manpower Programs/Phase I. E00500-212
 Evaluation of Planning and Coordination of Manpower Programs--Phase II. E00500-218

Work Incentives--Child Care

- Evaluation of the Planning and Coordination of Manpower Programs/Phase I. E00500-212
 Evaluation of Planning and Coordination of Manpower Programs--Phase II. E00500-218
 Quality of Day Care in Region X Before and After School Care. Phase I. E00500-211
 Quality of Day Care in Region X--Phase II. E00500-216
 Review of Child Care Services Provided Under Title IV, Social Security Act. E00500-101

Social Security Administration, HEW.**Coal Mine Workers Compensation**

- Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows. E00590-003
 Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits. E00590-008

Health Maintenance Organization Service

- Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organizations and Community Health Networks. E00587-007

Human Services

- Service Organizations and Clients. E00510-133

Income Maintenance

- Effects of Ability, Family Background and Education on Earnings and Careers. E00510-134
 Effects of Interstate Migration in the United States. E00510-149
 Employee-Benefit Plans, 1973. E00510-010
 Reducing Social Security Contributions for Low-Income Workers: Issues and Analysis. E00510-239
 The Effects of Welfare Payments on Family Expenditures. E00510-141

PROGRAM AND AGENCY INDEX

Indian Health Service	
Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
Maintenance	
Service Organizations and Clients.	E00510-133
Medicaid	
An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00507-047
Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
Medicare	
An Assessment of the Intermediate Care Facility Program in Region V.	E00500-219
An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00507-047
Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California.	E00500-115
Effectiveness of Grant Programs Aimed at Developing Health Maintenance Organizations and Community Health Networks.	E00587-007
Increased Compliance Needed with Nursing Home Health and Sanitary Standards.	E00589-011
Potential Medicare and Medicaid Reviews for the Indian Health Services.	E00507-070
Medicare--Hospital Insurance	
Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned.	E00590-005
Need to More Consistently Reimburse Health Facilities Under Medicare and Medicaid.	E00590-006
Problems Associated With Reimbursements to Hospitals for Services Furnished Under Medicare.	E00590-002
Study of the Application of Reasonable Charge Provisions for Paying Physicians' Fees Under Medicare.	E00590-004
Medicare--Supplementary Medical Insurance	
More Needs to be Done to Assure that Physicians' Services--Paid for by Medicare and Medicaid--Are Necessary.	E00590-001
The Manner in Which Medicare Reimbursement is Made to a Laboratory Facility: Phoenix, Arizona.	E00590-007
Program Management, Bureau of Health Insurance	
Audit of Administrative Costs Proposed for Administration of Health Insurance for the Aged Program, California Physicians' Service, San Francisco, California.	E00500-115
Program Management, HSA	
An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid.	E00507-047
Program Management, SSA	
Report on Review of the Division of International Operations, Social Security Administration.	E00500-103
Review of SSA's Fraud Deterrence Program.	E00500-107
Social Security Act Amendments, 1974.	E00510-021
Social Security Amendments of 1972: Summary and Legislative History.	E00510-060
Social Welfare Expenditures, 1972-73.	E00510-045
Social Security--Disability Insurance	
Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned.	E00590-005
Social Security--Retirement Insurance	
Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned.	E00590-005
Work After Retirement: some psychological factors.	E00510-131
Social Security--Survivors Insurance	
Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned.	E00590-005

Special Benefits for Disabled Coal Miners	
Achievements, Administrative Problems, and Costs in Paying Black Lung Benefits to Coal Miners and Their Widows.	E00590-003
Further Improvements Needed in Processing Widows' Claims for Black Lung Benefits.	E00590-008
Supplemental Security Income	
Increased Efficiency Predicted if Information Processing Systems of the Social Security Administration are Redesigned.	E00590-005
Report of Region V Assessment of the Supplementary Security Income Program.	E00500-200
Soil Conservation Service, USDA.	
Animal and Plant Disease and Pest Control	
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001
Extension Education	
Cost-Effectiveness Aspects of Pilot Wild Hemp Treatment Programs In 1971.	E00200-001
Great Plains Conservation	
A Program Evaluation of the Great Plains Conservation Program.	E00218-001
Appraisal of SCS Wind Erosion Damage Assessment and Reporting and Alternatives for Improved Damage Assessment.	E00200-003
Progress in Meeting Important Objectives of the Great Plains Conservation Program Could Be Improved.	E00299-035
Long-Term Agricultural Conservation	
A Program Evaluation of the Great Plains Conservation Program.	E00218-001
Watershed Planning	
Effect of the Small Watershed Program on Major Land Uses.	E00219-007
Evaluation of Four Completed Small Watershed Projects: South Carolina, Maryland, Idaho-Nevada, and West Virginia.	E00219-009
Special Action Office for Drug Abuse Prevention.	
Program Management, NIDA	
Federal Efforts to Combat Drug Abuse.	E00599-002
SAODAP Program	
Federal Efforts to Combat Drug Abuse.	E00599-002
Topological Division, Geological Survey.	
National Mapping Program	
Research and Development in Topographic Mapping.	E00712-010
U.S. Coast Guard, DOT.	
Boating Safety	
Recreational Boating in the Continental United States in 1973: the nationwide boating survey.	E01101-019
Commercial Vessel Safety	
A Report to Congress Concerning the Need for Engineers on Uninspected Towing Vessels.	E01101-001
International Inventory and Forecast of Offshore Petroleum and Mineral Activity.	E01101-003
Licensing of Deck and Engineering Officers in the U.S. Merchant Marine.	E01101-002
Radar Observer Testing.	E01101-004
Communications	
Government Maritime Communications.	E01101-018
Communications Support	
Analysis of the Potential Application of Space Telecommunications Systems to the U.S. Coast Guard Missions.	E01101-020

PROGRAM AND AGENCY INDEX**Urban Mass Transportation Administration, DOT**

Intelligence and Security A Study of the U.S. Coast Guard Intelligence and Security Functions.	E01101-017
Personnel Support A Study of Attrition of U.S. Coast Guard Academy Cadets and of Junior Officers Who Are Academy Graduates. A Study of the Minority Officer Procurement Program of the U.S. Coast Guard. Human Relations in the Coast Guard.	E01101-013 E01101-014 E01101-016
Radionavigation Radio Aids to Navigation for the U.S. Coastal Confluence Region.	E01101-011
United States Marshals Service, Dept. of Justice.	
United States Marshals The Management, Staffing and Organization of the United States Marshals Service: a critical analysis.	E00800-004
United States Travel Service, Dept. of Commerce.	
Domestic Tourist Services and Facilities Report on Audit of Activities Associated With Domestic Tourist Services and Facilities, United States Travel Service.	E00300-005
Program Management, U.S. Travel Service Foreign Visitor Travel to The United States can be Increased.	E00399-004
U.S. Customs Service, Dept. of the Treasury.	
Inspection for Admission into the United States A Single Agency Needed to Manage Port-of-Entry Inspections Particularly at U.S. Airports.	E00889-001
U.S. Information Agency.	
Management, ACTION What Should U.S. Policy Be for Development Assistance to Ecuador?	E01097-004
Program Management, AID What Should U.S. Policy Be for Development Assistance to Ecuador?	E01097-004
Urban Mass Transportation Administration, DOT.	
Mass Transportation Technology National Urban Reporting System.	E01105-003
Urban Mass Transportation Capital Improvement Grants Capital Assistance to Bus Transit Systems. Grants to Improve Bus Transit Systems--Progress and Problems. National Urban Reporting System.	E01105-001 E01195-001 E01105-003
Urban Mass Transportation Capital Improvement Loans National Urban Reporting System.	E01105-003
Urban Mass Transportation Grants for University Research and Training Fostering Urban Transportation Activities in Universities.	E01105-002
Urban Mass Transportation Technical Studies Grants National Urban Reporting System.	E01105-003
Veterans Administration.	
Civil Rights Enforcement Title VI Civil Rights Enforcement Program.	E06504-015

Veterans Administration

PROGRAM AND AGENCY INDEX

Pension for Non-Service Connected Disability for Veterans Veterans Assistance Program in the Philippines Should Be Improved.	E06590-003
Program Management Work Simplification Systems.	E06504-023
Veterans Compensation for Service Connected Disability Veterans Assistance Program in the Philippines Should Be Improved.	E06590-003
Veterans Educational Assistance Veterans Assistance Program in the Philippines Should Be Improved.	E06590-003
Veterans Hospitalization Veterans Assistance Program in the Philippines Should Be Improved.	E06590-003

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