EFS-Web 1.1 New Features



The EFS-Web 1.1 release brings new features and functionality to e-Filers, which enables e-Filers to file more types of documents and submissions.

These enhancements include:

A new filing type

Two new existing application/patent (follow on) types

Two additional types of documents that can be uploaded

Three new USPTO fillable forms

Several new document descriptions and fees in support of the new and existing filing types such as PCT, Petition, and Accelerated Exam

Web Screen Changes

The home page of EFS-Web 1.1 has been changed to support the new functionality of the system. Registered e-Filers will now see two new selections, Existing Application/Patent and My Workplace. e-Filers selecting Existing Application/Patent will be able to select one of three types of filings. e-Filers selecting My Workplace can access Saved Submission Package and View Last 20 eFiling Acknowledgement Receipts.

Unregistered e-Filers will only have one option to select under Existing Application/Patent and there is no My Workspace selection.

Legal language disclaimers have been added to various web pages.

New Application Type

The added filing type is Patent Cooperation Treaty (PCT) International Application for filing in the US receiving office. With this new filing type, e-Filers will have the option to attach the RO101 form in PDF format or, if e-Filers currently use PCT-SAFE software, the resultant zip file can be attached. Several new document descriptions and fees in support of this new type have been included in EFS-Web 1.1.

Existing Application/Patent

Registered e-Filers will have three options from which to choose:

- (Current) Documents/Fees for an existing application
- (New) Petition to Accept Unintentionally Delayed Payment of Maintenance Fee in an Expired Patent (37 CFR 1.378(c))
- (New) Electronic Pre-Grant Publication submissions under 37 CFR 1.211 to 1.221

Unregistered e-Filers will have one option from which to choose:

• (New) Petition to Accept Unintentionally Delayed Payment of Maintenance Fee in an Expired Patent (37 CFR 1.378(c))

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The Petition to Accept Unintentionally Delayed Payment of Maintenance Fee in an Expired Patent (37 CFR 1.378(c)) will auto-grant an expired patent due to late payment of maintenance fees provided the expiration of the patent is less than 24 months past the maintenance window fee cycle. A new fillable form (PTO/SB66) was developed which will enable auto-processing of the petition and also stream-line the EFS-Web submission process.

Electronic Pre-Grant Publication submissions under 37 CFR 1.211 to 1.221 allow e-Filers to submit a request for amended publication, redacted publication, early publication, voluntary publication, or republication of a patent application. When filing a Pre-Grant Publication submission the Application Data Sheet (ADS) fillable form (PTO/SB14) is required to fulfill bibliographic data requirements.

Document Types

EFS-Web 1.1 now accepts text (.TXT) and PCT-SAFE zip (.ZIP) files in addition to .PDF files. Sequence listing, mega tables and computer program listings are the only allowable text file attachments. .ZIP files will be allowed only if the e-Filer is filing a new PCT application, and the .ZIP file is the product of the PCT-SAFE software package.

Fillable Forms

Three new fillable forms have been created:

- Petition to Make Special under Accelerated Examination Program (PTO/SB28) (Available August 25, 2006)
- Petition to Accept Unintentionally Delayed Payment of Maintenance Fee in an Expired Patent (37 CFR 1.378(c)) (PTO/SB66)
- Request for Continued Examination (RCE) (PTO/SB30)

All USPTO fillable forms require Adobe Reader version 7.0.8 or above and version 2.0 and above of the form itself. The form version number can be located in the lower left hand corner of each page.