

**GAO**

United States General Accounting Office

Fact Sheet for the Honorable  
Mark O. Hatfield, U.S. Senate

June 1988

# BUDGET ISSUES

## Status of Obligations at Selected Agencies



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United States  
General Accounting Office  
Washington, D.C. 20548

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Accounting and Financial  
Management Division

B-231218

June 28, 1988

The Honorable Mark O. Hatfield  
United States Senate

Dear Senator Hatfield:

This is the second in a series of responses to your March 30, 1988, letter in which you expressed concern that the executive agencies may not be planning to fully obligate funds for programs, projects, and activities. Specifically, you were interested in the items identified in the committees' reports accompanying the fiscal year 1988 appropriation bills (including those in the Continuing Resolution of Appropriations for 1988--Public Law 100-202).

You asked us to determine whether the executive agencies were in fact obligating or planning to obligate the selected items referred to in a March 15, 1988, Office of Management and Budget (OMB) memorandum. Our initial work involved studying and reporting on the process OMB used to develop the March 15, 1988, list of committee report projects. We provided you the results of that assessment in our May 13, 1988, letter.

As agreed with your office, this report provides the agencies' statements of intent as to whether they are planning to use the funds as specified by the committee reports and each project's current obligational status. Our work in this second phase covered the projects on OMB's list with partial or no obligations for the Departments of Agriculture (USDA), Commerce, Transportation, Defense, and the Interior. Appendix I includes a more extensive description of our scope and methodology.

Although the five agencies have provided us information regarding their intent to spend the full amount designated for the specified projects, the position on individual items may change. According to OMB officials, agency intentions are not necessarily administration intentions in all cases. They told us that the question of administration intentions is an evolving matter which will be determined on a project-by-project basis.

Notwithstanding the general intent of the five agencies to spend funds for the designated purposes, we found a number of instances where the agencies were not planning to follow through. For most of these cases, agency officials said they were planning to request congressional approval to reprogram these funds for other purposes.

A summary of the results of our work at the five selected agencies follows.

-- Department of Agriculture. Its officials informed us that, except for 5 of the 58 projects, they planned to obligate all funds as directed by the congressional reports. As of May 20, 1988, they had obligated \$35.7 million out of \$143.1 million, or 25 percent. The five exceptions are listed below:

1. Vegetable Laboratory, Charleston, South Carolina. USDA expects to carry over \$25,000 to fiscal year 1989.
2. Information and Technology Center, Gonzaga University, Washington. USDA is reviewing relevance to agricultural issues.
3. Picron-Peck Process Research, University of Illinois. A grant will not be made without assurance of matching funds from nonfederal sources.
4. Nantahala National Forest, North Carolina. USDA is reviewing the need to transfer these funds on an emergency basis to perform fire recovery work in other Forest Service regions.
5. Spruce Knob-Seneca Rocks National Recreation Area, West Virginia. USDA expects to carry over \$100,000 to fiscal year 1989.

Appendix II provides additional information on USDA's projects.

-- Department of Commerce. Although Commerce officials stated that they intended to obligate all the funds in question, we found that there were two categories of major exceptions--both concerning the National Oceanic and Atmospheric Administration (NOAA). First, 15 items considered to be of low priority and not central to NOAA's mission were proposed for reprogramming. Second, an across-the-board dollar reduction of 6.5 percent on all NOAA items on the list was proposed.

Agency officials told us that this reduction is necessary to prevent furloughs of agency personnel. Together, these exceptions accounted for 79 percent of the Commerce items on the list and 25 percent of the dollars involved. At the time of our study, Commerce had obligated \$21.1 million of the total \$71.8 million, or 29.4 percent.

Additional details on Commerce's items are included in appendix III.

-- Department of Defense. Our work covered three Department of Defense components--Army, Navy, and Corps of Engineers.

Army officials told us that they planned to obligate the funds for all items except the Maxi-Cube Cargo Handling system. Their reason for this exception was that the funds for the system had not been authorized for fiscal year 1988.

Navy officials are not planning to obligate funds for four of their seven items.

1. AN/SQR-17A Processors for the Mobile In-Shore Undersea Warfare Group. Funds were appropriated but not authorized.
2. MK-92 Cort Fire Control System Upgrade. These funds are being held as resources available for reprogramming.
3. Rankine Cycle Energy Recovery (RACER). The project has been terminated.
4. Navy Remote Ocean Sensing System (NROSS). The project has been terminated.

Corps of Engineers officials are not planning to use funds designated for three of their six projects.

1. New York State Barge Canal, New York. Funds will not be obligated because the canal is not an integral part of the nation's inland navigation system.
2. Wallisville Lake, Texas. Funds will not be obligated because it is a single-purpose water supply project with nonstandard cost sharing.

3. Maumee Bay, Ohio. The project was authorized in a prior bill and funded only for preconstruction design and engineering in the latest appropriations act.

At the time of our study, Defense had obligated about \$11 million out of \$438.3 million, or 2.5 percent of the funds in question. Appendix IV provides additional information on these projects.

-- Department of the Interior. Department officials stated that they intended to fully obligate the funds. However, we found that, at the Bureau level, at least 5 of 67 items totaling \$9.7 million (4 percent of the funds) were being considered for rescission, repeal, or amendment. They are as follows:

1. Mariposa Waste Disposal Facility.
2. Bicentennial Lighthouse Fund.
3. Choctaw Central School Construction.
4. Marine Minerals Tech. Center.
5. Wilkes-Barre.

At the time of our study, Interior had obligated \$77 million out of \$250.4 million, or 31 percent of the available funds. Interior's projects are further discussed in appendix V.

-- Department of Transportation. Department officials informed us that except for 3 of the 36 projects, Transportation intends to obligate all funds as directed by the congressional reports. As of May 31, 1988, the Department had obligated \$107.5 million out of \$838.5 million, or 13 percent. The three exceptions are listed below:

1. Establish or upgrade airport surveillance radars at Charlottesville-Albemarle Airport, Virginia; Nantucket, Massachusetts; Gainesville, Florida; and Missoula International Airport, Montana. The Department stated that \$38 million is insufficient to establish or upgrade airport surveillance radars at all four of the named locations. Therefore, the Department is considering various alternatives, including not doing the work at all four locations.

2. Installation of BRITE Display at Gary, Indiana. Department officials stated that \$95,000 is insufficient to procure and install BRITE radar at the Gary, Indiana, tower. Therefore, the Department will not obligate the funds until the additional needed funds are obtained. The additional amounts are being requested through reprogramming.
3. Alteration of the CSX railroad bridge, Pascagoula, Mississippi, and the Norfolk and Southern bridge, Hannibal, Missouri. A draft final report on the Hannibal bridge indicates that the bridge will qualify for alteration under the Truman-Hobbs Act. The CSX bridge, based on preliminary study, does not qualify for federal funding under Truman-Hobbs.

In addition there are three projects, not involving specific dollar earmarkings, for which the department does not plan to fully comply with report language.

1. Coast Guard Yard, Curtis Bay, Maryland. The staffing ceiling for industrial activities will not be removed because the Coast Guard intends to close the yard within a few years.
2. Federal Railroad Administration District Office, Bangor, Maine. Although there presently are no plans to close the office, the Department does not plan to increase staff.
3. Rulemaking on Charter Bus Regulation. The Department will proceed with proposed changes that do not conflict with the Urban Mass Transportation Act.

#### RELATED ISSUES

In response to your specific questions relating to the closing of weather stations, NOAA officials said they do not plan to reduce personnel or close any weather stations during fiscal year 1988. In fact, some of the proposals for reprogramming or for offsetting budget reductions (noted previously) were designed to provide funds to prevent these actions. However, the Department of Commerce's fiscal year 1989 budget submission to the Congress includes a request to eliminate 125 weather service staff positions and to close several weather stations.

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As you requested, we did not obtain official agency comments. However, the views of responsible agency officials were obtained during the course of our work and were incorporated as appropriate.

As agreed with your office, unless you publicly announce the contents of this report earlier, we will not distribute it until 30 days from its date. At that time, we will send copies to the Director of the Office of Management and Budget; the Secretaries of the Departments of Agriculture, Commerce, Transportation, Defense, and the Interior; interested congressional committees; and other parties. If you have any questions about the contents of this report, please contact me at (202) 275-9573.

Sincerely yours,

A handwritten signature in cursive script that reads "James L. Kirkman". The signature is written in black ink and is positioned above the typed name and title.

James L. Kirkman  
Associate Director





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#### ABBREVIATIONS

OMB	Office of Management and Budget
NOAA	National Oceanic and Atmospheric Administration
NROSS	Navy Remote Ocean Sensing System
RACER	Rankine Cycle Energy Recovery
USDA	Department of Agriculture

OBJECTIVES, SCOPE, AND METHODOLOGY

The purpose of our work was to determine the obligational status and intent of selected agencies to incur additional obligations for programs, projects, and activities identified in a list attached to a March 15, 1988, OMB memorandum to agency heads. Our work was done at the Departments of Agriculture, Commerce, Defense, Transportation, and the Interior during the period May 11, 1988, through May 31, 1988. As agreed with your office, we concentrated on ascertaining the status of projects on OMB's list with partial or no obligations and agencies' intentions to obligate any remaining funds.

In general, we

- reviewed OMB's March 15, 1988, list of items which were included in committee reports for fiscal year 1988 but were not requested in the President's Budget;
- traced those items to the conference report in the Continuing Resolution and then to the respective department's appropriation bill and fiscal year 1988 committee reports to verify that the list was complete;
- identified items on the OMB list which were not fully obligated;
- updated the obligation figures on a selective basis for items that had not been fully obligated;
- obtained copies of each department's reply to OMB's March 15, 1988, request for a separate description of agency plans to fully, partially, or not follow each of the congressional actions identified by OMB;
- interviewed various agency budget officers who prepared obligation status reports to determine how the reports were developed and the current obligation status; and
- reviewed documentation such as grants, contracts, proposals, accounting records, correspondence, and budget narratives to identify the current status of the amounts reported as obligated. In some cases where noncompliance was indicated, we traced the items to reprogramming requests submitted to OMB and the Congress.

We prepared schedules which present the obligation status of funds as of our review date and the agencies' intentions for future obligations. These schedules are included in appendixes II through VI.

DEPARTMENT OF AGRICULTURETable II.1: Summary of Status of Obligations and Agency Intent

	<u>Dollars</u>	<u>Percent</u>	<u>Number of</u> <u>items</u>	<u>Percent</u>
	(in millions)			
<u>Status of Obligations</u>				
Fully Obligated	\$ 18.5	13	15	26
Partially Obligated	17.2	12	15	26
Unobligated	<u>107.4</u>	<u>75</u>	<u>28</u>	<u>48</u>
Total Funds Appropriated <sup>a</sup>	<u>\$143.1</u>	100	<u>58</u>	100
<u>Agency Intent to Follow Committee Reports</u>				
Fully Follow	\$127.2	89	53	91
Partially Follow	16.0	11	5	9
Not Follow	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total	<u>\$143.1<sup>b</sup></u>	100	<u>58</u>	100

EXCEPTIONS

- Agency expects to carry over \$25,000 for the Vegetable Laboratory, Charleston, South Carolina, to fiscal year 1989.
- Agency expects to carry over \$100,000 for Spruce Knob Seneca Rocks National Recreation Area, West Virginia, to fiscal year 1989.
- Agency is reviewing project's relevance to agricultural issues for Information and Technology Center, Gonzaga University, State of Washington--\$7.5 million.
- Agency will not make a grant of \$2.1 million for Picron-Peck Process Research, University of Illinois, without assurance of matching funds.
- Agency is reviewing need to transfer funds for Nantahala National Forest, North Carolina, on an emergency basis to perform fire recovery work in other forest regions--\$6 million.

<sup>a</sup>For all schedules, this amount refers to the amount of appropriation that was set aside for the items on OMB's list.

<sup>b</sup>Does not add due to rounding.

Table II.2: Agriculture's Project Obligational Status and Agency Intent

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
AGRICULTURAL RESEARCH SERVICE								
AG 2	Vegetable Laboratory, Charleston, South Carolina	\$ 50	\$	\$	\$ 50 <sup>a</sup>		x	
AG 3	Fruit and Vegetable Laboratory, Yakima, Washington	1,000	1,000			x		
AG18	Equipment and Furnishings, Sunflower and Sugar Beet Laboratory, Fargo, North Dakota	<u>53</u>	<u>53</u>			<u>x</u>		
	Total	<u>\$ 1,103</u>	<u>\$ 1,053</u>	<u>\$ 0</u>	<u>\$ 50</u>	2	1	0
COOPERATIVE STATE RESEARCH SERVICE								
AG 1	National Veterinary Center, Oklahoma State University	\$ 50	\$ 50	\$	\$	x		
AG 4	National Center for Product Development, Iowa State University	6,375			6,375	x		
AG 5	Biotechnology Laboratory, Houston, Texas A&M University	50	50			x		
AG 6	Bioscience Center, Rutgers University	50	50			x		

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
AG 7	Biotechnology Center, Virginia Polytechnic Institute and State University	50	50			x		
AG 8	Poultry Laboratory, West Virginia University	375			375	x		
AG 9	Information and Technology Center, Gonzaga University, Washington	7,500			7,500 <sup>b</sup>		x	
AG10	Food and Nutrition Facility, Washington State University	5,540			5,540	x		
AG11	Poultry Laboratory, University of Arkansas	375			375	x		
AG12	Wheat Marketing Center, Portland, Oregon	6,000			6,000	x		
AG13	Microbiology Center, University of Vermont	2,200			2,200	x		
AG14	Consolidation of Facilities, North Dakota State University	300			300	x		
AG15	Biotechnology and Insect Science Laboratory, University of Arizona	4,575			4,575	x		
AG16	Agricultural Sciences Facility, Oregon State University	2,500 <sup>c</sup>			2,500	x		

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
------(Dollars in thousands)-----								
AG17	Cooperative Center for Technology Development, University of Mississippi	50	50					x
AG19	Veterinary Medicine, Leesburg, Virginia	50	50					x
AG20	Agricultural Biotechnology Institute, University of Florida	50	50					x
AG21	Biocontainment Center, University of Georgia	50	50					x
AG22	Aquaculture Research, Oceanic Institute, Hawaii	6,375				6,375		x
AG23	Special Research Grants <sup>d</sup>	26,958	3,112	5,981	17,865			x
AG24	Polymer Institute, University of Southern Mississippi	3,750			3,750			x
AG25	Forest Products Trade Center, University of Washington and Washington State University	1,000			1,000			x
AG26	North Dakota Oilseeds, North Dakota State University	500			500			x
AG27	Physical Acoustics Laboratory, University of Mississippi	500			500			x



<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
AG28	Oklahoma Trade Center, Oklahoma State University	100			100	x		
AG29	Kentucky Trade Center, University of Kentucky	227			227	x		
AG30	Aquaculture Centers, Various Locations	3,500			3,500	x		
AG31	Gulf Coast Shrimp Aquaculture, Hawaii and Mississippi	2,236	2,236			x		
AG32	Picron-Peck Process Research, University of Illinois	2,100			2,100 <sup>o</sup>		x	
AG33	Kansas World Trade Center, Wichita, Kansas	2,500			2,500	x		
AG34	Curriculum Development, Mississippi Valley State University	<u>625</u>	<u>625</u>			<u>x</u>		
	Total	<u>\$86,511</u>	<u>\$ 6,373</u>	<u>\$ 5,981</u>	<u>\$74,157</u>	29	2	0
SOIL CONSERVATION SERVICE								
AG38	Technical Assistance to Soil Conservation Districts in Idaho	\$ 150	\$	\$ 91	\$ 59	x		
AG39	Michigan Sub-Irrigation Study Various Counties in Michigan	200		176	24	x		

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
AG40	Staff Allocation to Chesapeake Bay Project	<u>1,143</u>	_____	<u>728</u>	<u>415</u>	<u>x</u>	—	—
	Total	<u>\$ 1,493</u>	<u>\$ 0</u>	<u>\$ 995</u>	<u>\$ 498</u>	3	0	0
FOREST SERVICE								
AG42	Columbia River Gorge, Oregon and Washington	\$4,670	\$	\$ 2,218	\$ 2,452	x		
AG43	Haida Native Corporation, Alaska	11,000			11,000	x		
AG44	Lake Tahoe, California and Nevada	5,000		3,500	1,500	x		
AG45	Monongahela National Forest, West Virginia	8,000	8,000			x		
AG46	Toiyabe National Forest, California	1,700		182	1,518	x		
AG47	Sequoia National Forest, California	2,000	2,000			x		
AG48	Rapid River Wild and Scenic River, Idaho	675	672		3 <sup>f</sup>	x		
AG49	Nantahala National Forest, North Carolina	6,000			6,000 <sup>9</sup>		x	
AG50	Wayne National Forest, Ohio	2,000		398	1,602	x		

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
------(Dollars in thousands)-----								
AG51	Mendocino National Forest, California	800			800	x		
AG52	Spruce Knob-Seneca Rocks National Recreation Area, West Virginia	300			300 <sup>h</sup>		x	
AG53	Other Appalachian Trail Land Exchanges and Kellogg, Idaho, Gondola Project	2,700		1,962	738	x		
AG54	Monongahela National Forest, West Virginia, Facilities and Trails	800		111	689	x		
AG55	Hell's Canyon National Recreation Area, Oregon	600		35	565	x		
AG56	Snow Bowl Road, Arizona	1,700		1,579	121	x		
AG57	Research Lab Renovation Albany/Berkley, California	2,300			2,300 <sup>i</sup>	x		
AG58	Facility Preconstruction at Mono Lake National Scenic Area, California	<u>257</u>		<u>223</u>	<u>34</u>	<u>x</u>	<u>—</u>	<u>—</u>
	Total	<u>\$50,502</u>	<u>\$10,672</u>	<u>\$10,208</u>	<u>\$29,622</u>	15	2	0

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
NATIONAL AGRICULTURAL LIBRARY								
AG59	Law Research and Information Center, University of Arkansas	\$ <u>370</u>	\$ <u>370</u>	\$ _____	\$ _____	x	—	—
	Total	\$ <u>370</u>	\$ <u>370</u>	\$ <u>0</u>	\$ <u>0</u>	1	0	0
FOOD AND NUTRITION SERVICE								
AG66	School Food Service Management Institute, Mississippi	\$ <u>50</u>	\$ _____	\$ _____	\$ <u>50</u>	x	—	—
	Total	\$ <u>50</u>	\$ <u>0</u>	\$ <u>0</u>	\$ <u>50</u>	1	0	0
FARMERS HOME ADMINISTRATION								
AG35	Rural Industrialization, Pontotoc County, Oklahoma	\$ <u>3,000</u>	\$ _____	\$ _____	\$ <u>3,000</u>	x	—	—
	Total	\$ <u>3,000</u>	\$ <u>0</u>	\$ <u>0</u>	\$ <u>3,000</u>	1	0	0
AGRICULTURAL COOPERATIVE SERVICE								
AG60	Hawaii Field Office	\$ <u>99</u>	_____	\$ <u>41</u>	\$ <u>58</u>	x	—	—
	Total	\$ <u>99</u>	\$ <u>0</u>	\$ <u>41</u>	\$ <u>58</u>	1	0	0
	GRAND TOTAL	\$ <u>143,128</u>	\$ <u>18,468</u>	\$ <u>17,225</u>	\$ <u>107,435</u>	<u>53</u>	<u>5</u>	<u>0</u>

## Notes:

<sup>a</sup>Less than \$1,000 obligated. Agency expects to carry over \$25,000 to fiscal year 1989.

<sup>b</sup>Agency is reviewing project's relevance to agricultural issues.

<sup>c</sup>Congress intends to appropriate an additional \$7.5 million in fiscal year 1989.

<sup>d</sup>Includes 60 individual grants.

<sup>e</sup>A grant will not be made without assurance of matching funds from nonfederal sources.

<sup>f</sup>\$3,000 will be reprogrammed.

<sup>g</sup>Agency is reviewing the need to transfer these funds on an emergency basis to perform fire recovery work in Forest Service regions 5 and 6.

<sup>h</sup>Agency expects to carry over \$100,000 to fiscal year 1989.

<sup>i</sup>Less than \$1,000 obligated.

<sup>j</sup>Agency did not include status because project in law.

DEPARTMENT OF COMMERCETable III.1: Summary of Status of Obligations and Agency Intent

	<u>Dollars</u>	<u>Percent</u>	<u>Number of</u> <u>items</u>	<u>Percent</u>
	(in millions)			
<u>Status of Obligations</u>				
Fully Obligated	\$ 0.2	0.3	1	2
Partially Obligated	20.9	29.0	16	37
Unobligated	<u>50.8</u>	<u>70.8</u>	<u>26</u>	<u>61</u>
Total Funds Appropriated	\$ <u>71.8<sup>a</sup></u>	100.0 <sup>a</sup>	<u>43</u>	100
<u>Agency Intent to Follow Committee Reports</u>				
Fully Follow	\$ 47.3	65.8	23	53
Partially Follow	2.2	3.1	5	12
Not Follow	<u>22.4</u>	<u>31.2</u>	<u>15</u>	<u>35</u>
Total	\$ <u>71.8<sup>a</sup></u>	100 <sup>a</sup>	<u>43</u>	100

EXCEPTIONS

- For the 34 NOAA items, Commerce plans to withhold 6.5 percent or \$4 million. It will, to prevent furloughs, reprogram these funds to other programs experiencing budget reduction.
- NOAA plans to reprogram funds for 15 NOAA items (\$14.5 million) to programs experiencing budget reductions.

<sup>a</sup>Does not add due to rounding.

Table III.2: Commerce's Project Obligational Status and Agency Intent

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
------(Dollars in thousands)-----								
CENSUS BUREAU								
DOC 1	Horticulture Survey	\$ 155	\$155	\$	\$	x		
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION <sup>a</sup>								
NATIONAL OCEAN SERVICE								
DOC 2	Multi-purpose Cadastre	1,835			1,835	x		
DOC 3	Wetlands Demonstration Project	705		505	200	x		
DOC 4	Maine CEIF Deobligation <sup>b</sup>	150			150			x
DOC 5	Marine Electronics Agenda <sup>b</sup>	635			635			x
DOC 6	State Specific Geodetic Surveys	377		176	201	x		
DOC 7	Tides and Water Level Program/Chesapeake Bay Studies <sup>c</sup>	1,619			1,619		x	
DOC 8	Circulatory Survey	659		308	351	x		
DOC 9	Ocean Assessment Activities	6,025		4,233	1,792	x		
DOC10	California Data Buoys	150		140	10	x		
NATIONAL MARINE FISHERIES SERVICE								
DOC11	MARFIN	3,295		506	2,789	x		
DOC12	SEAMAP	942		867	75	x		
DOC13	Salmon Treaty Programs	4,708			4,708	x		
DOC14	Salmon Enhancement Activities in Alaska <sup>b</sup>	3,766			3,766			x

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
DOC15	Stuttgart Catfish Farm <sup>b</sup>	1,295			1,295			x
DOC16	Model Seafood Inspection Program	330		304	26	x		
DOC17	Seafood Consumer Research Institute Feasibility Study	14			14	x		
DOC18	Aquaculture Program	2,233		1,027	1,206	x		
DOC19	Lake Mead Limnological Research <sup>b</sup>	282			282			x
DOC20	Sub-Arctic Bottom Fish Research <sup>b</sup>	753			753			x
DOC21	Columbia River Hatcheries Program	7,771			7,771	x		
DOC22	Fish Oil Research/ Menhaden Surimi <sup>d</sup>	1,884		675	1,209		x	
DOC23	Mahi Mahi Export Strategies <sup>b</sup>	376			376			x
DOC24	Vessel Safety	38			38	x		
DOC25	Hawaii Stock Management <sup>b</sup>	471			471			x
DOC26	New England Stock Depletion/Manage Georges Bank Fisheries <sup>e</sup>	1,083		12	1,071		x	
DOC27	Oregon Harbor Seals and Sea Lions	36			36	x		
OCEANIC AND ATMOSPHERIC RESEARCH								
DOC28	National Undersea Research Program	11,237		9,343	1,894	x		



<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
DOC29	National Coastal Resources Research and Development Institute <sup>b</sup>	1,177			1,177			x
DOC30	State Weather Modification Grants <sup>b</sup>	2,212			2,212			x
DOC31	Regional Climate Centers	1,205		260	945	x		
DOC32	Aquaculture Science Exchanges U.S./Asia <sup>b</sup>	282			282			x
DOC33	Southeast U.S./Carribean FOCI Program <sup>b</sup>	942			942			x
NATIONAL WEATHER SERVICE								
DOC34	Susquehanna River Flood Warning System	1,883		1,406	477	x		
DOC35	Agriculture Weather, Fruit Frost and Fire Weather Service	1,618		809	809	x		
NATIONAL BUREAU OF STANDARDS								
DOC36	Centers for Transfer of Manufacturing Technology	5,000		301	4,699	x		
DOC37	Center for Fire Research <sup>f</sup>	0						x
ECONOMIC DEVELOPMENT ADMINISTRATION								
DOC38	West Virginia Study <sup>g</sup>	262			262			x
DOC39	University Centers	0				x		
DOC40	Staffing Levels	0					x	
DOC41	Technical Assistance	0				x		
DOC42	OCS Joint Funding <sup>g</sup>	3,000			3,000			x

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
------(Dollars in thousands)-----								
	INTERNATIONAL TRADE ADMINISTRATION							
DOC43	Sematech <sup>h</sup>	<u>1,440</u>	---	---	<u>1,440</u>	---	<u>x</u>	---
	GRAND TOTAL	<u>\$71,845</u>	<u>\$155</u>	<u>\$20,872</u>	<u>\$50,818</u>	<u>23</u>	<u>5</u>	<u>15</u>

Notes:

<sup>a</sup>NOAA plans an across-the-board 6.474 percent reduction and a reprogramming of these funds to other programs which experienced budget cuts. Fully complying items in NOAA include this reduction.

<sup>b</sup>NOAA plans to reprogram the funds for this item to other programs which experienced budget cuts.

<sup>c</sup>NOAA plans to reprogram all funds (\$1,600,000) for the Chesapeake Bay Studies part of this item and 6.474 percent (\$1,000) of the funds for the Tide and Water Level Program part of this item to other programs which experienced budget cuts.

<sup>d</sup>NOAA plans to reprogram about 60 percent of the funds (\$542,000) for the Menhaden Surimi part of this item and 6.474 percent (\$61,000) of the funds for the Fish Oil Research part of this item to other programs which experienced budget cuts.

<sup>e</sup>NOAA plans to reprogram all unobligated funds for this project to other programs which experienced budget cuts.

<sup>f</sup>NBS has not been provided any funds for this item.

<sup>g</sup>EDA plans to reprogram all of the funds for this item to higher ranked grant applicants.

<sup>h</sup>ITA plans to reprogram about 40 percent of the funds (\$590,000) for this item to other programs which experienced budget cuts.

DEPARTMENT OF DEFENSETable IV.1: Summary of Army's Status of Obligations and Agency Intent

	<u>Dollars</u>	<u>Percent</u>	<u>Number of</u> <u>items</u>	<u>Percent</u>
	(in millions)			
<u>Status of Obligations</u>				
Fully Obligated	\$ 0	0	0	0
Partially Obligated	11.1	5	3	50
Unobligated	<u>212.9</u>	<u>95</u>	<u>3</u>	<u>50</u>
Total Funds Appropriated	<u>\$224.0</u>	100	<u>6</u>	100
<u>Agency Intent to Follow Committee Reports</u>				
Fully Follow	\$ 214	96	5	83
Partially Follow	0	0	0	0
Not Follow	<u>10</u>	<u>5</u>	<u>1</u>	<u>17</u>
Total	<u>\$ 224</u>	100 <sup>a</sup>	<u>6</u>	100

EXCEPTION

- Army will not obligate \$10 million for the Maxi-Cube Cargo Handling project because the funds have not been authorized for fiscal year 1988.

<sup>a</sup>Does not add due to rounding.

Table IV.2: Army's Project Obligational Status and Agency Intent

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
DOD 4	National Guard Miscellaneous Equipment	\$100,000	\$ 0	\$	\$100,000 <sup>a</sup>	X		
	Army Reserve Miscellaneous Equipment	85,000			85,000 <sup>b</sup>			
DOD 6	Maxi-Cube Cargo Handling	10,000 <sup>c</sup>			10,000			X
DOD 7	Weasel Evaluation	1,000			1,000 <sup>d</sup>	X		
DOD 8	Wood Research	500		400	100	X		
DOD 12	Army National Guard Armories	12,500		10,000	2,500 <sup>e</sup>	X		
DOD 14	Watertown Lab, MA	<u>15,000</u>		<u>700</u>	<u>14,300<sup>f</sup></u>	<u>X</u>	<u>-</u>	<u>-</u>
	GRAND TOTAL	<u>\$224,000</u>	<u>\$ 0</u>	<u>\$11,100</u>	<u>\$212,900</u>	<u>5</u>	<u>0</u>	<u>1</u>

## Notes:

<sup>a</sup>Army plans to obligate \$79.8 million in FY 1988. Remainder to be obligated the following year.

<sup>b</sup>Reserve plans to commit \$85 million by the end of FY 1988. AMC Commodity Commands are expected to obligate.

<sup>c</sup>Appropriated but not authorized. The Senate Armed Services Committee directed that none of the \$10 million be obligated or expended in the FY 1988 supplemental authorization.

<sup>d</sup>Army officials told us that they do not need to obligate the \$1 million in FY 1988 funds until FY 1989, because they still have \$6.6 million in unobligated FY 1987 funds.

<sup>e</sup>All funds allocated to the states. The Army estimates 80 percent to 90 percent obligated, and plans to obligate the balance by the end of FY 1988.

<sup>f</sup>An Army official confirmed that \$719,000 has been obligated. The Army plans to obligate \$15.5 million this fiscal year.

Table IV.3: Summary of Navy's Status of Obligations and Agency Intent

	<u>Dollars</u>	<u>Percent</u>	<u>Number of</u> <u>items</u>	<u>Percent</u>
	(in millions)			
<u>Status of Obligations</u>				
Fully Obligated	\$ 0	0	0	0
Partially Obligated	.1	.05	1	14
Unobligated	<u>199.9</u>	<u>99.95</u>	<u>6</u>	<u>86</u>
Total Funds Appropriated	<u>\$200.0</u>	100.00	<u>7</u>	<u>100</u>
<u>Agency Intent to Follow</u> <u>Committee Reports</u>				
Fully Follow	\$ 67	34	3	43
Partially Follow	0	0	0	0
Not Follow	<u>133</u>	<u>67</u>	<u>4</u>	<u>57</u>
Total	<u>\$ 200</u>	100 <sup>a</sup>	<u>7</u>	100

EXCEPTIONS

- \$10 million for AN/SQR-17A Processors was not authorized.
- \$78 million for MK-92 Cort Fire Control System Upgrade is being held for reprogramming.
- \$5 million for the RACER and \$40 million for the Navy Remote Ocean Sensing System will not be obligated because the projects have been terminated.

<sup>a</sup>Does not add due to rounding.

Table IV.4: Navy's Project Obligational Status and Agency Intent

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
DOD 1	AN/SQR-17 Torpedo Detection Program	\$12,000	\$	\$	\$ 12,000 <sup>a</sup>	x		
DOD 2	AN/SQR-17A Processors for the Mobile In-Shore Undersea Warfare Group	10,000 <sup>b</sup>			10,000			x
DOD 3	MK-92 Cont Fire Control System Upgrade	78,000			78,000 <sup>c</sup>			x
DOD 5	KC-130T Aircraft	40,000		100	39,900 <sup>d</sup>	x		
DOD 9	RACER	5,000			5,000 <sup>e</sup>			x
DOD 10	Quick Reaction Surveillance System (QRSS)	15,000			15,000 <sup>f</sup>	x		
DOD 11	Navy Remote Ocean Sensing System (NROSS)	<u>40,000</u>	_____	_____	<u>40,000</u> <sup>g</sup>	—	—	<u>x</u>
	GRAND TOTAL	<u>\$200,000</u>	\$ <u>0</u>	<u>\$100</u>	<u>\$199,900</u>	<u>3</u>	<u>0</u>	<u>4</u>

Notes:

<sup>a</sup>The project has been delayed because the contractor needs to improve the product.

<sup>b</sup>Funds are appropriated but not authorized. The Senate Armed Services Committee has specifically denied the authorization of any funds to buy SQR-17As.

<sup>c</sup>These funds are being held as resources available for reprogramming. This is subject to change depending on whether the funds are used as a reprogramming source.

<sup>d</sup>The \$40.0 million appropriated is \$3.7 million under budget for the required purchase of two KC-130T aircraft. The program office is currently holding the money while it waits for further directions.

<sup>e</sup>DOD plans to spend \$900,000 to summarize this project which has been terminated.

<sup>f</sup>The project has been delayed until a lease or buy decision is made.

<sup>g</sup>The Navy has terminated this project.

Table IV.5 Summary of Army Corps of Engineers' Status of Obligations and Agency Intent

	<u>Dollars</u>	<u>Percent</u>	<u>Number of</u> <u>items</u>	<u>Percent</u>
	(in millions)			
<u>Status of Obligations</u>				
Fully Obligated	\$ 0	0	0	0
Partially Obligated	.05	.3	1	17
Unobligated	<u>14.28</u>	<u>99.7</u>	<u>5</u>	<u>83</u>
Total Funds Appropriated	<u>\$14.33</u>	100.00	<u>6</u>	100
<u>Agency Intent to Follow Committee Reports</u>				
Fully Follow	\$ 1.7	12	1	17
Partially Follow	2.0	14	2	33
Not Follow	<u>10.63</u>	<u>74</u>	<u>3</u>	<u>50</u>
Total	<u>\$14.33</u>	100	<u>6</u>	100

EXCEPTIONS

- The Corps will not obligate \$10 million for the New York State Barge Canal because the canal is owned by the state and is not an integral part of the nation's inland navigation system.
- The Corps will not obligate \$500,000 for Wallisville Lake, Texas, because it is a single-purpose water supply project with nonstandard cost sharing.
- The Corps will not obligate \$130,000 for Maumee Bay, Ohio, because it was authorized in a prior bill and funded only for preconstruction design and engineering in the latest appropriations act.

Table IV.6: Corps of Engineers' Project Obligational Status and Agency Intent

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
D00C1	New York State Barge Canal	\$10,000	\$	\$	\$10,000 <sup>a</sup>			X
D00C2	Acequias Irrigation System Rehabilitation, NM	1,700			1,700	X		
D00C3	Wallisville Lake, TX	500			500 <sup>b</sup>			X
D00C4	Maumee Bay, OH	130			130 <sup>c</sup>			X
D00C5	Campground Lake, KY	250			250 <sup>d</sup>		X	
D00C6	Yazoo Backwater Pumping Plant, MS	<u>1,750</u>	<u>          </u>	<u>50<sup>e</sup></u>	<u>1,700</u>	<u>          </u>	<u>X</u>	<u>          </u>
	GRAND TOTAL	<u>\$14,330</u>	<u>\$ 0</u>	<u>\$50</u>	<u>\$14,280</u>	<u>1</u>	<u>2</u>	<u>3</u>

## Notes:

<sup>a</sup>The canal is owned and operated by the state and "is not an integral part of the nation's inland navigation system."

<sup>b</sup>The Corps does not plan to comply because it is a single-purpose water supply project with nonstandard cost sharing.

<sup>c</sup>Authorized in a prior bill and funded in the latest appropriations act.

<sup>d</sup>Obligation is planned if there is local interest in the project, including sharing the cost, and the project is found to be viable.

<sup>e</sup>A portion of funds has been obligated for completing plans and specifications for pumps and motors.



DEPARTMENT OF THE INTERIORTable V.1: Summary of Status of Obligations and Agency Intent

	<u>Dollars</u>	<u>Percent</u>	<u>Number of</u> <u>items</u>	<u>Percent</u>
	(in millions)			
<u>Status of Obligations</u>				
Fully Obligated	\$ 6.5	3	10	15
Partially Obligated	70.5	28	35	52
Unobligated	<u>173.4</u>	<u>69</u>	<u>22</u>	<u>33</u>
Total Funds Appropriated	<u>\$250.4</u>	100	<u>67</u>	100
<u>Agency Intent to Follow Committee Reports</u>				
Fully Follow	\$250.4	100	67	100
Partially Follow	0	0	0	0
Not Follow	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total	<u>\$250.4</u>	100	<u>67</u>	100

EXCEPTIONS

— The following five items totaling \$9.7 million were being considered for rescission, repeal, or amendment:

1. Mariposa Waste Disposal Facility (\$300,000)
2. Bicentennial Lighthouse Fund (\$1 million)
3. Marine Minerals Tech. Center (\$1.2 million)
4. Wilkes-Barre (\$800,000)
5. Choctaw Central School Construction (\$6.4 million)

Table V.2: Interior's Project Obligational Status and Agency Intent

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
Notes: 1. Item numbers with the letter "A" attached to them were added by Interior to OMB's March 15, 1988, list of "wasteful" committee report projects.								
2. Amounts in parentheses are sub-accounts of specific items without parentheses and are already included in the total amounts. For example, \$2,500 for IN 6-Tensas NWR is already included in the \$53,270 for IN 5-Land Acquisition.								
FISH AND WILDLIFE SERVICE								
IN 1	Cooperative Fish/Wildlife Units	\$ 800	\$	\$ 467	\$ 333	x		
IN 2	National Fishery R&D Center	300		175	125	x		
IN 3	Kenai NWR (Construction)	(350)		(8)	(342)	x		
IN 4	Kodiak NWR (Construction)	(500)		(2)	(498)	x		
IN 4A	Construction	16,400		1,417	14,983	x		
IN 5	Land Acquisition	53,270		17,255	36,015	x		
IN 6	Tensas NWR (Land Acquisition)	(2,500)		(1,045)	(1,455)	x		
IN 7	Crystal River NWR (Land Acquisition)	(650)			(650)	x		

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
IN 8	North American Waterfowl Management Plan	(6,000)		(1,747)	(4,253)	x		
IN 9	Arkansas NWR (Land Acquisition)	(5,000)	(5,000)			x		
	Total	<u>\$70,770</u>	<u>\$ 0</u>	<u>\$19,314</u>	<u>\$51,456</u>	10	0	0
NATIONAL PARK SERVICE								
IN10	Operations	\$ 14,384	\$	\$ 6,769	\$ 7,615	x		
IN11	Jean Lafitte NHP	(332)		(253)	(79)	x		
IN12	West Virginia Park Areas	(665)		(425)	(240)	x		
IN12A	Harpers Ferry Police Protection	(85)	(85)			x		
IN13	Arkansas Park Areas	(425)		(336)	(89)	x		
IN14	Construction	61,990		7,600	54,390	x		
IN14A	Mariposa Waste Disposal Facility	(300) <sup>a</sup>			(300)	x		
IN15	Johnstown Flood Museum	(4,300)		(1,500)	(2,800)	x		
IN16	Faneuil Hall, Boston NHP	(3,000)			(3,000)	x		
IN17	Lafitte NHP	(6,300)			(6,300)	x		
IN18	Buffalo National River	(4,450)		(215)	(4,235)	x		

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
IN19	Lowell Preservation Commission	(1,500)		(100)	(1,400)	x		
IN20	Cuyahoga Valley NRA	(1,535)		(166)	(1,369)	x		
IN21	New River Gorge	(4,135)		(5)	(4,130)	x		
IN22	Tuskegee National Historic Site	(2,600)	(2,600)			x		
IN23	Guadalupe Mountains NRA	(3,650)			(3,650)	x		
IN24	Land Acquisition	29,325		7,656	21,669	x		
IN25	Home of FDR National Historic Site	(500)			(500)	x		
IN26	Cuyahoga Valley NRA	(5,000)			(5,000)	x		
IN27	Santa Monica Mountain NRA	(1,000)			(1,000)	x		
IN28	Rocky Mountain NP	(1,100)			(1,100)	x		
IN29	Chattahoochee NRA	(3,000)			(3,000)	x		
IN30	New River Gorge National River	(2,000)			(2,000)	x		

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
IN31	State Land Acquisition Grants	16,567		13,300	3,267	x		
IN31A	Bicentennial Lighthouse Fund	<u>1,000<sup>a</sup></u>			<u>1,000</u>	<u>x</u>		
	Total	<u>\$123,266</u>	<u>\$ 0</u>	<u>\$35,325</u>	<u>\$87,941</u>	25	0	0
BUREAU OF INDIAN AFFAIRS								
IN32	Navajo Indian Irrigation Project	\$ 3,500	\$	\$ 2,475	\$ 1,025	x		
IN33	Other Indian Irrigation Projects	4,450		499	3,951	x		
IN34	Pierre Indian Learning Center	1,200			1,200	x		
IN35	Honobia Indian Road	1,000			1,000	x		
IN36	Ops. Add-ons for Tribes	9,693		7,027	2,666	x		
IN37	Washington State Timber-Fish-Wildlife Initiative	(2,000)		(1,904)	(6)	x		
IN38	Gila River Farms Crop Estab.	(650)			(650)	x		
IN39	Upper Columbia River Fisheries Research Center	(496)	(496)			x		

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
IN40	Puyallup Land Claim Negot.	(400)	(400)			x		
IN41	Maine Indian Education Choctaw Central School	(397)			(397)	x		
IN41A	Construction	<u>6,400<sup>a</sup></u>			<u>6,400</u>	x		
	Total	<u>\$26,243</u>	<u>\$ 0</u>	<u>\$10,001</u>	<u>\$16,242</u>	11	0	0
BUREAU OF MINES								
IN42	St. Joe Flame Reactor	\$ 600	\$	\$	\$ 600	x		
IN42A	Marine Minerals Tech. Center	<u>1,200<sup>a</sup></u>			<u>1,200</u>	x		
	Total	<u>\$ 1,800</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 1,800</u>	2	0	0
GEOLOGICAL SURVEY								
IN43	Core-Hydrology Research	<u>\$ 750</u>	<u>\$ 750</u>	\$	\$	x		
	Total	<u>\$ 750</u>	<u>\$ 750</u>	<u>\$ 0</u>	<u>\$ 0</u>	1	0	0
SURFACE MINING RECLAMATION AND ENFORCEMENT								
IN44	Rural Abandonment Mine Program	\$15,000	\$	\$ 4,800	\$10,200	x		
IN44A	Wilkes-Barre	<u>(800)<sup>a</sup></u>		(400)	(400)	x		
	Total	<u>\$15,000</u>	<u>\$ 0</u>	<u>\$ 4,800</u>	<u>\$10,200</u>	2	0	0

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
BUREAU OF RECLAMATION								
IN45	Davis Creek Dam (North Loup Project)	\$ 1,000	\$ _____	\$ _____	\$ 1,000	x	—	—
	Total	\$ 1,000	\$ _____0	\$ _____0	\$ 1,000	1	0	0
LAND MANAGEMENT								
IN46	Pacific Crest Trail (Construction)	\$ 700	\$ _____	\$ 15	\$ 685	x		
IN47	Quail Hill Road (Reconstruction)	350		3	347	x		
IN48	Colorado River Boat Ramps (Construction)	125			125	x		
IN49	Fairbanks Smokejumper Ops. Building	420			420	x		
IN50	Fairbanks District/Alaska Fire Move	560		413	147	x		
IN51	Acquisition Management	600		200	400	x		
IN52	King Range Construction Area	1000		115	885	x		
IN53	Desert Tortoise Habitat	600	600			x		
IN54	Pacific Crest Trail	60		25	35	x		

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
IN55	Warner Basin Potholes	1000		20	980	x		
IN56	Wilderness Study Potholes Inholdings	500		232	268	x		
IN57	Upper Missouri Wild/Scenic River	500		4	496	x		
IN58	Carrizo Plains	4,000	4,000			x		
IN59	Owhye River	<u>615</u>	<u>615</u>			<u>x</u>		
	Total	<u>\$11,030</u>	<u>\$5,215</u>	<u>\$1,027</u>	<u>\$ 4,788</u>	14	0	0
TERRITORIAL AND INTERNATIONAL AFFAIRS								
IN60	Close Up Foundation	<u>\$ 540</u>	<u>\$ 540</u>	<u>\$ _____</u>	<u>\$ _____</u>	<u>x</u>		
	Total	<u>\$ 540</u>	<u>\$ 540</u>	<u>\$ _____</u>	<u>\$ _____</u>	1	0	0
	Grand Total	<u>\$250,399</u>	<u>\$ 6,505</u>	<u>\$70,467</u>	<u>\$173,427</u>	<u>67</u>	<u>0</u>	<u>0</u>

Note:

<sup>a</sup>Candidate for rescission, repeal, or amendment.



DEPARTMENT OF TRANSPORTATIONTable VI.1: Summary of Status of Obligations and Agency Intent

	<u>Dollars</u>	<u>Percent</u>	<u>Number of</u> <u>items</u>	<u>Percent</u>
	(in millions)			
<u>Status of Obligations</u>				
Fully Obligated	\$ 4.7 <sup>a</sup>	0.6	1	3
Partially Obligated	102.8	12.3	5	14
Unobligated	<u>731.0</u>	<u>87.2</u>	<u>30</u>	<u>83</u>
Total Funds Appropriated	<u>\$838.5</u>	100.0 <sup>b</sup>	<u>36</u>	100
<u>Agency Intent to Follow</u> <u>Committee Reports</u>				
Fully Follow	\$809.4	96.5	30	83.3
Partially Follow	29.1 <sup>c</sup>	3.5	5	13.9
Not Follow	<u>0</u>	<u>0</u>	<u>1</u>	<u>2.8</u>
Total	<u>\$838.5</u>	100	<u>36</u>	<u>100</u>

EXCEPTIONS

- Establish or upgrade airport surveillance radars at Charlottesville-Albemarle Airport, Virginia; Nantucket, Massachusetts; Gainesville, Florida; and Missoula International Airport, Montana. The Department stated that \$38 million is insufficient to establish or upgrade airport surveillance radars at all four of the named locations. Therefore, the Department is considering various alternatives, including not doing the work at all four locations.
- Installation of BRITE Display at Gary, Indiana. Department officials stated that \$95,000 is insufficient to procure and install BRITE radar at the Gary, Indiana, tower. Therefore, the Department will not obligate the funds until the additional needed funds are obtained. The additional amounts are being requested through reprogramming.

- Alteration of the CSX railroad bridge, Pascagoula, Mississippi, and the Norfolk and Southern bridge, Hannibal, Missouri. A draft final report on the Hannibal bridge indicates that the bridge will qualify for alteration under the Truman-Hobbs Act. The CSX bridge, based on preliminary study, does not qualify for federal funding under Truman-Hobbs.

In addition there are three projects, not involving specific dollar earmarkings, for which the department does not plan to fully comply with report language.

- Coast Guard Yard, Curtis Bay, Maryland. The staffing ceiling for industrial activities will not be removed because the Coast Guard intends to close the yard within a few years.
- Federal Railroad Administration District Office, Bangor, Maine. Although there presently are no plans to close the office, the Department does not plan to increase staff.
- Rulemaking on Charter Bus Regulation. The Department will proceed with proposed changes that do not conflict with the Urban Mass Transportation Act.

<sup>a</sup>This represents fully obligated amounts by item number.

<sup>b</sup>Does not add due to rounding.

<sup>c</sup>For \$28.6 million of the \$29.1 million we were unable to determine what is to be obligated. Therefore, we included the total funds.

**Table VI.2: Transportation's Project Obligational Status and Agency Intent**

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
	FEDERAL AVIATION ADMINISTRATION							
DOT 1	Airway Science Curriculum Programs	\$	\$	\$	\$ 5,225			x
	1. University of North Dakota	475						
	2. Florida Memorial College	1,425						
	3. Auburn University	237						
	4. Embry-Riddle Aeronautical University	1,425						
	5. Polytechnic University	1,425						
	6. Florida Institute of Technology	<u>238</u>						
	Subtotal	5,225	0	0	5,225			
DOT 2	Establish & Upgrade Airport Surveillance Radars	28,500 <sup>a</sup>			28,500			x
	1. Charlottesville-Albemarle Airport, Virginia							
	2. Nantucket, Massachusetts							
	3. Gainesville, Florida							
	4. Missoula International Airport, Montana							
DOT 3	Installation of Instrument Landing Systems	950			950			x
	1. Ardmore, Oklahoma							
	2. Petersburg, West Virginia							
DOT 4	Installation of BRITE Display at Gary, Indiana	95			95			x

APPENDIX VI

APPENDIX VI

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
DOT 5	Grants-in-Aid for Airports	10,000			10,000	x		
	1. Appleton, Wisconsin							
	2. Hilton Head, South Carolina							
	3. Salt Lake City, Utah (runway 16R, CAT. III)							
	4. Newark, New Jersey (runway 22R)							
	5. Elizabethtown, Kentucky							
	6. Port Huron, Michigan							
	7. Newport, Oregon							
	8. Rogers, Arkansas							
	9. Las Cruces, New Mexico							
	10. Jimmy Stewart Airport, Pennsylvania							
DOT 6	Jetstar Replacement	4,275			4,275	x		
DOT 7	Salisbury, Maryland Flight Service Station	0				x		
DOT 8	Flight Service Stations	0				x		
DOT 9	Phase-Out of Contractual Support	0				x		
DOT10	Directive to Propose Legislation	0				x		
DOT11	Prohibition on Tower Closures in West Virginia	0				x		
	Total	<u>\$49,045</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$49,045</u>	<u>9</u>	<u>2</u>	<u>0</u>

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
------(Dollars in thousands)-----								
	FEDERAL RAILROAD ADMINISTRATION							
DOT12	Northeast Corridor Improvement Program							
	1. North Philadelphia Station	\$ 4,750	\$ 4,750	\$	\$			x
DOT13	Local Rail Service Assistance Discretionary Allocation							x
	1. Massachusetts Rail Rehab.	960			960			
	2. Mississippi Rail Rehab.	960	960					
	3. Manteno, Illinois Rail Spur	580			580			
	4. Binghamton Rail Structure New York	385			385			
	5. Soo Line RR Wheat Line North Dakota	375	375					
	6. Wheeling Terminal Chapline Tunnel, West Virginia	300	300					
	7. Chicago Central & Pacific Line, Iowa	865			865			
	8. New York, Susquehanna & Western, New Jersey	960			960			
	9. Keokuk Junction Railway, Illinois	580			580			
	10. Burlington Northern Railroad, Illinois	290			290			
	11. CSX Line, Midland City to Greenfield, Ohio	<u>915</u>			<u>915</u>			
	Subtotal	7,170	1,635	0	5,535			
DOT14	Railroad Safety	<u>0</u>						x
	Total	<u>\$11,920</u>	<u>\$ 6,385</u>	<u>\$ 0</u>	<u>\$ 5,535</u>	<u>2</u>	<u>1</u>	<u>0</u>

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
------(Dollars in thousands)-----								
URBAN MASS TRANSPORTATION ADMINISTRATION								
DOT15	New Starts							x
	1. Los Angeles	\$140,500	\$	\$	\$140,500			
	2. Seattle	67,500			67,500			
	3. Miami	17,500			17,500			
	4. Atlanta	106,000			106,000			
	5. St. Louis	54,450			54,450			
	6. Denver	14,200			14,200			
	7. Salt Lake City	3,600			3,600			
	8. Jacksonville	<u>4,000</u>			<u>4,000</u>			
	Subtotal	407,750	0	0	407,750			
DOT16	Rail Modernization							x
	1. Long Island Railroad Electrification	40,000			40,000			
	2. Long Island Railroad Protection Barriers	5,000			5,000			
	3. Baltimore Traffic Control System	9,000	9,000					
	Philadelphia Rail Modernization Projects: Southeastern Pennsylvania Transportation Authority							
	4. Automatic Speed Controls	9,500		7,500	2,000			
	5. Temple University Station	5,700			5,700			
	6. University City Station	2,850			2,850			
	7. Amtrak North Philadelphia Station	950			950			
	8. San Francisco/San Jose Caltrain	22,600			22,600			
	9. NW Indiana Southern Shore Commuter Rail	<u>200</u>			<u>200</u>			
	Subtotal	95,800	9,000	7,500	79,300			

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
DOT17	Buses and Bus Facilities					x		
	1. New Orleans Bridge Transit Lanes	18,750			18,750			
	2. Vail, Colorado Bus Purchases	900			900			
	3. Baltimore Bus Location System	5,000			5,000			
	4. Buffalo, New York Bus Garage	<u>6,600</u>			<u>6,600</u>			
	Subtotal	31,250	0	0	31,250			
DOT18	Washington, D.C., Metrorail System	500			500	x		
DOT19	Rulemaking on Charter Bus Regulation	0					x	
DOT20	Cold Weather Research Earmark	0				x		
DOT21	Coordinating Council on Transportation Earmark	0				x		
DOT22	Specialized Transit and Rural Training (START)	0				x		
DOT23	Privatization Funding Limit	0				x		
DOT24	Planning Study	150			150	x		
DOT25	Project Management Oversight Policy	0				x		

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
----- (Dollars in thousands) -----								
DOT26	Interstate Transfer Grants- Transit					x		
	1. Chicago	42,465			42,465			
	2. Boston	4,905			4,905			
	3. New York City	8,942			8,942			
	4. Baltimore	5,130			5,130			
	5. Construction Management	<u>309</u>		<u>37</u>	<u>272</u>			
	Subtotal	61,751	0	37	61,714			
DOT27	Tri-County Metropolitan District of Oregon	0				x		
DOT28	Research, Training and Human Resources					x		
	1. Phosphoric Acid Fuel Cell Bus Technology Development Program	1,000			1,000			
	2. National Easter Seal Society	<u>1,000</u>			<u>1,000</u>			
	Subtotal	2,000	0	0	2,000			
DOT29	University Transportation Center	0				x		
DOT30	Safety Related Improvements	<u>0</u>				x		
	Total	<u>\$599,201</u>	<u>\$ 9,000</u>	<u>\$ 7,537</u>	<u>\$582,664</u>	<u>15</u>	<u>1</u>	<u>0</u>



<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
------(Dollars in thousands)-----								
OFFICE OF THE SECRETARY								
DOT31	Policy Research and Development					x		
	1. Nashville-Davidson County, Tennessee Long-range Transportation Planning Study (LRTPS)	\$ 238	\$	\$ 100	\$ 138			
	2. New York, New Jersey, Connecticut Metropolitan Region LRTPS	238		150	88			
	3. Sacramento-San Francisco-Oakland Region Transportation Planning Study	238		150	88			
	4. Southern New Jersey/Southeast Pennsylvania LRTPS	238		150	88			
	5. Washington, D.C. LRTPS	<u>238</u>		<u>200</u>	<u>38</u>			
	Total	<u>\$ 1,190</u>	<u>\$ 0</u>	<u>\$ 750</u>	<u>\$ 440</u>	<u>1</u>	<u>0</u>	<u>0</u>
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION								
DOT32	Emergency Medical Services (EMS)					x		
	1. EMS Sensitivity Index Demonstration Project	\$ 240	\$	\$	\$ 240			
	2. Dade County, Florida EMS	<u>144</u>			<u>144</u>			
	Total	<u>\$ 384</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 384</u>	<u>1</u>	<u>0</u>	<u>0</u>

<u>Item number</u>	<u>Description</u>	<u>Funds appropriated</u>	<u>Current Status</u>			<u>Agency Intent to Follow</u>		
			<u>Fully obligated</u>	<u>Partially obligated</u>	<u>Not obligated</u>	<u>Yes</u>	<u>Partially</u>	<u>No</u>
------(Dollars in thousands)-----								
COAST GUARD								
DOT33	Alteration of Bridges							x
	1. CSX Railroad Bridge Mississippi	\$ 470	\$	\$		\$ 470		
	2. Norfolk and Southern Bridge Hannibal, Missouri	470				470		
DOT34	Coast Guard Yard	<u>0</u>				<u>0</u>	—	—
	Total	<u>\$ 940</u>	<u>\$ 0</u>	<u>\$ 0</u>		<u>\$ 940</u>	<u>1</u>	<u>1</u>
FEDERAL HIGHWAY ADMINISTRATION								
DOT35	Federal-Aid Highways: Interstate Transfer Grants-Highways							x
	1. Colorado	\$ 5,000	\$	\$		\$ 5,000		
	2. Connecticut	36,607				36,607		
	3. Illinois	46,152			35,478	10,674		
	4. Iowa	16,670		16,670				
	5. Maryland	20,000		20,000				
	6. Minnesota	6,000			1,310	4,690		
	7. Nebraska	8,631			6,545	2,086		
	8. Ohio	12,000				12,000		
	9. Oregon	9,300			329	8,971		
	10. Pennsylvania	12,000				12,000		
	11. Virginia	3,505		3,505				
DOT36	Lake Street Bridge	<u>0</u>					<u>x</u>	—
	Total	<u>\$175,865</u>	<u>\$40,175</u>	<u>\$43,662</u>		<u>\$ 92,028</u>	<u>2</u>	<u>0</u>
	GRAND TOTAL	<u>\$838,545</u>	<u>\$55,560</u>	<u>\$51,949</u>		<u>\$731,036</u>	<u>30</u>	<u>5</u>

<sup>a</sup>OMB document shows budget authority of \$28.5 million; the Conference Report includes \$38 million to establish or upgrade airport surveillance radars at four locations.

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