

**Table B – Part C Arizona
Previously Identified Issues**

Issue	State Submission	OSEP Analysis	Required Action
<p>Indicator 1:</p> <p>The December 2004 Compliance Agreement identified the following compliance outcome as required by December 16, 2007:</p> <p>OUTCOME: All appropriate early intervention services will be identified on the IFSP and provided in a timely manner to eligible infants and toddlers with disabilities, including infants and toddlers on reservations as required under 34 CFR §303.322.</p>	<p>The State reported data in the SPP from two sources and both indicated noncompliance with the requirements of Indicator 1 (34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1)). The following levels of compliance were reported:</p> <p>Program Self-Assessment = 65%; and Site Visits = 48%.</p> <p>The State’s progress report after its first year of implementation of the Compliance Agreement is due to OSEP by March 31, 2006.</p>	<p>The State’s data indicate noncompliance with the requirements of this indicator.</p>	<p>The State must continue to provide updated data and the information required under its Compliance Agreement quarterly reports. Under the Compliance Agreement, the State must demonstrate compliance with the requirements of this indicator by December 16, 2007. OSEP will respond in a separate letter to the quarterly progress reports due under the Compliance Agreement, after OSEP verifies the State’s first year of data under the Agreement.</p>
<p>Indicator 7:</p> <p>The December 2004 Compliance Agreement identifies the following compliance outcome as required by December 16, 2007:</p> <p>OUTCOME: The evaluation and assessment and initial IFSP meeting will be held within 45 days of the referral as required under 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a).</p>	<p>The State reported data in the SPP that included percent of eligible children with IFSPs completed within the Part C 45-day timeline. Of 3,266 eligible children, Arizona reported that 1,115 IFSPs were completed in the required timeline. The State’s data indicate a compliance level of 34% with the requirements of this indicator, specifically, the requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a). To determine compliance with the 45-day timeline requirement, Arizona calculates the time from the date of referral to the completion of the IFSP (rather than from the date of referral to the convening of the initial IFSP meeting).</p>	<p>The State’s data indicate noncompliance with the requirements of this indicator.</p>	<p>The State must continue to provide updated data and the information required under its Compliance Agreement quarterly reports. Under the Compliance Agreement, the State must demonstrate compliance with the requirements of this indicator by December 16, 2007. OSEP will respond in a separate letter to the quarterly progress reports due under the Compliance Agreement, after OSEP verifies the State’s first year of data under the Agreement.</p>

Issue	State Submission	OSEP Analysis	Required Action
	The State's progress report after its first year of implementation of the Compliance Agreement is due to OSEP by March 31, 2006.		
<p>Indicator 8:</p> <p>OSEP's September 8, 2005 letter, responding to the State's FFY 2003 APR, required Arizona to submit, in the SPP, data and analysis, along with a determination of compliance or noncompliance with transition planning requirements regarding transition steps and plan, LEA notification, and 90 day conference at 34 CFR §§303.148(b)(1) and (2); and if data indicate noncompliance with any requirement, the State's plan to address the noncompliance.</p>	<p>On page 22 of the SPP, the State provided monitoring data from its Program Self-Assessments and Site Visits. The State submitted baseline data (2004-2005) and analysis for the following requirements as follows:</p> <p>8A: IFSPs with transition steps and services</p> <p style="padding-left: 40px;">Program self-assessment = 35% compliant</p> <p style="padding-left: 40px;">Site Visits = 54% compliant</p> <p>8B: Notification of the LEA</p> <p style="padding-left: 40px;">= 97% compliance</p> <p>8C: Transition Conferences meet timelines</p> <p style="padding-left: 40px;">Program Self assessments = 67%</p> <p style="padding-left: 40px;">Site Visits = 47%</p> <p>On pages 24-25 of the SPP, the State explained that noncompliance is due to documentation issues by service coordinators and included improvement activities to address this issue.</p>	<p>The State reported two sets of noncompliance data (one based on program self-assessments and one based on site reviews) regarding 8A: IFSPs with transition steps and services as required at 34 CFR §§303.148(b)(4) and 303.344(h) and §303.148(b)(4); and 8C: the convening of the 90-day transition conference as required at 34 CFR §303.148(b)(2)(i).</p> <p>While OSEP is unable to determine the level of noncompliance because of the two data sets provided, data in both sets indicate noncompliance.</p>	<p>8A and 8C: The State must ensure that this noncompliance is corrected within one year of its identification and include valid and reliable data in the APR, due February 1, 2007, that demonstrate compliance with this requirement. The State should review and, if necessary revise, its improvement strategies included in the SPP to ensure they will enable the State to include data in the APR, that demonstrate full compliance with this requirement. Failure to include this information may affect OSEP's determination of the State's status under section 616(d) of the IDEA.</p>

Issue	State Submission	OSEP Analysis	Required Action
<p>Indicator 9: Identification and Correction of Noncompliance</p> <p>The December 2004 Compliance Agreement identified the following compliance outcome as required by December 16, 2007:</p> <p>OUTCOME: The Arizona Department of Economic Security (DES) will utilize effective monitoring and general supervision procedures to ensure the identification and correction of noncompliance with Part C as required by 34 CFR §303.501.</p>	<p>The State did not provide baseline data in the SPP in response to this indicator.</p> <p>The State reported that it would submit monitoring data for 9A with the March 2006 Compliance Agreement Progress Report.</p> <p>The State reported baseline data for 2004-2005 for 9B. No correction data were reported from 2003-2004.</p> <p>The State's progress report after its first year of implementation of the Compliance Agreement is due to OSEP by March 31, 2006.</p>	<p>OSEP was unable to determine whether the State is able to identify and correct in a timely manner noncompliance identified by OSEP and by Arizona. OSEP will review and respond separately to the progress reports under the Compliance Agreement.</p>	<p>The State must continue to provide updated data and the information required under its Compliance Agreement quarterly reports. Under the Compliance Agreement, the State must demonstrate compliance with the requirements of this indicator by December 16, 2007. OSEP will respond in a separate letter to the quarterly progress reports due under the Compliance Agreement, after OSEP verifies the State's first year of data under the Agreement.</p>
<p>Indicator 9: Identification and Correction of Noncompliance Related to Service Coordination</p> <p>The December 2004 Compliance Agreement identified the following compliance outcome as required by December 16, 2007:</p> <p>OUTCOME: All service coordination functions are implemented under 34 CFR §§303.23 and 303.344(g).</p>	<p>The State did not provide baseline data in the SPP in response to this indicator.</p> <p>The State's progress report after its first year of implementation of the Compliance Agreement is due to OSEP by March 31, 2006.</p>	<p>OSEP was unable to determine whether the State is able to identify and correct in a timely manner noncompliance identified by OSEP and by Arizona. OSEP will review and respond separately to the progress reports under the Compliance Agreement.</p>	<p>The State must continue to provide updated data and the information required under its Compliance Agreement quarterly reports. Under the Compliance Agreement, the State must demonstrate compliance with the requirements of this indicator by December 16, 2007. OSEP will respond in a separate letter to the quarterly progress reports due under the Compliance Agreement, after OSEP verifies the State's first year of data under the Agreement.</p>